

2017
Catalog
Of

Federal Domestic Assistance



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of the President
and Budget
Office of Management
and Budget
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INTRODUCTION

Catalog of Federal Domestic Assistance

The Catalog of Federal Domestic Assistance is a government-wide compendium of Federal programs, projects, services, and activities that provide assistance or benefits to the American public. It contains financial and nonfinancial assistance programs administered by departments and establishments of the Federal government.

In 1984, Public Law 98-169 authorized the transfer of responsibilities of the Federal Program Information Act from the Office of Management and Budget to the General Services Administration (GSA). The transfer took place in July 1984. These responsibilities include the dissemination of Federal domestic assistance program information through the Catalog of Federal Domestic Assistance, pursuant to the Federal Program Information Act, Public Law 95-220, as amended by Public Law 98-169. GSA now maintains the Federal assistance information database from which program information is obtained. The Office of Management and Budget serves as an intermediary agent between the Federal agencies and GSA, thus providing oversight to the necessary collection of Federal domestic assistance program data.

As the basic reference source of Federal programs, the primary purpose of the Catalog is to assist users in identifying programs that meet specific objectives of the potential applicant, and to obtain general information on Federal assistance programs. In addition, the intent of the Catalog is to improve coordination and communication between the Federal government and State and local governments.

The Catalog provides the user with access to programs administered by Federal departments and agencies in a single publication. Program information is cross referenced by functional classification (Functional Index), subject (Subject Index), applicant (Applicant Index), deadline(s) for program application submission (Deadlines Index), and authorizing legislation (Authorization Index). These are valuable resource tools that, if used carefully, can make it easier to identify specific areas of program interest more efficiently.

Other sections of the Catalog provide users with information on programs added and deleted since the last edition of the Catalog, a crosswalk of program numbers and title changes, regional and local offices, intergovernmental review requirements, definitions of the types of assistance under which programs are administered, proposal writing, grant application procedures, and additional sources of information on Federal programs and services.

Programs selected for inclusion in the Federal assistance data base are defined as any function of a Federal agency that provides assistance or benefits for a State or States, territorial possession, county, city, other political subdivision, grouping, or instrumentality thereof; any domestic profit or nonprofit corporation, institution, or individual, other than an agency of the Federal government.

A "Federal domestic assistance program" may in practice be called a program, an activity, a service, a project, a process, or some other name, regardless of whether it is identified as a separate program by statute or regulation. It will be identified in terms of its legal authority, administering office, funding, purpose, benefits, and beneficiaries.

"Assistance" or "benefits" refers to the transfer of money, property, services, or anything of value, the principal purpose of which is to accomplish a public purpose of support or stimulation authorized by Federal statute. Assistance includes, but is not limited to grants, loans, loan guarantees, scholarships, mortgage loans, insurance, and other types of financial assistance, including cooperative agreements; property, technical assistance, counseling, statistical, and other expert information; and service activities of regulatory agencies. It does not include the provision of conventional public information services.

For years, GSA has published a printed version of the Catalog of Federal Domestic Assistance (CFDA or Catalog), as required by legislation. That same legislation allowed GSA to distribute free copies of the printed Catalog to designated recipients. In fiscal year 2003, nearly 10,000 paper copies of the Catalog were distributed at no cost to the recipients.

Current legislation, however, authorizes GSA to determine in what form to prepare and publish the Catalog. Consistent with the Administration's Electronic-Government initiatives, the Government Paperwork Elimination Act, and a move to a paper free environment, GSA will now disseminate the Catalog electronically through the CFDA website on the Internet. As a result, effective immediately, GSA will no longer print and distribute free copies of the Catalog.

The Internet and GSA's free CFDA website at <http://www.cfda.gov> will be the primary means of disseminating the Catalog. The CFDA website will also contain a PDF file version of the Catalog that, when printed by any user, will have the same layout as the printed document that the Government Printing Office (GPO) has provided.

GPO will continue printing and selling the CFDA to interested buyers. For information about purchasing the Catalog of Federal Domestic Assistance from GPO, call the Superintendent of Documents at 202-512-1800 or toll free at 866-512-1800, or you may reach GPO's on-line bookstore at <http://bookstore.gpo.gov>.

Types Of Assistance

Currently, programs in the Catalog are being classified by GSA into 15 types of assistance. (Cooperative Agreements as a type of assistance is used for programs administered under that mechanism. However, the definition does not appear in this section.) Benefits and services of the programs are provided through seven financial types of assistance and eight nonfinancial types of assistance. The following list defines the types of assistance that are available through the programs. Code letters below (A through O) which identify the type of assistance will follow program titles in the Agency Index, Applicant Eligibility Index, the Functional Index, Deadlines Index, and in the list of added programs.

- A. Formula Grants - Allocations of money to States or their subdivisions in accordance with distribution formulas prescribed by law or administrative regulation, for activities of a continuing nature not confined to a specific project.
- B. Project Grants - The funding, for fixed or known periods, of specific projects. Project grants can include fellowships, scholarships, research grants, training grants, traineeships, experimental and demonstration grants, evaluation grants, planning grants, technical assistance grants, survey grants, and construction grants.
- C. Direct Payments for Specified Use - Financial assistance from the Federal government provided directly to individuals, private firms, and other private institutions to encourage or subsidize a particular activity by conditioning the receipt of the assistance on a particular performance by the recipient. This does not include solicited contracts for the procurement of goods and services for the Federal government.
- D. Direct Payments with Unrestricted Use - Financial assistance from the Federal government provided directly to beneficiaries who satisfy Federal eligibility requirements with no restrictions being imposed on the recipient as to how the money is spent. Included are payments under retirement, pension, and compensatory programs.
- E. Direct Loans - Financial assistance provided through the lending of Federal monies for a specific period of time, with a reasonable expectation of repayment. Such loans may or may not require the payment of interest.
- F. Guaranteed/Insured Loans - Programs in which the Federal government makes an arrangement to identify a lender against part or all of any defaults by those responsible for repayment of loans.
- G. Insurance - Financial assistance provided to assure reimbursement for losses

sustained under specified conditions. Coverage may be provided directly by the Federal government or through private carriers and may or may not involve the payment of premiums.

- H. Sale, Exchange, or Donation of Property and Goods - Programs which provide for the sale, exchange, or donation of Federal real property, personal property, commodities, and other goods including land, buildings, equipment, food and drugs. This does not include the loan of, use of, or access to Federal facilities or property.
- I. Use of Property, Facilities, and Equipment - Programs which provide for the loan of, use of, or access to Federal facilities or property wherein the federally owned facilities or property do not remain in the possession of the recipient of the assistance.
- J. Provision of Specialized Services - Programs that provide Federal personnel directly to perform certain tasks for the benefit of communities or individuals. These services may be performed in conjunction with nonfederal personnel, but they involve more than consultation, advice, or counseling.
- K. Advisory Services and Counseling - Programs which provide Federal specialists to consult, advise, or counsel communities or individuals to include conferences, workshops, or personal contacts. This may involve the use of published information, but only in a secondary capacity.
- L. Dissemination of Technical Information - Programs that provide for the publication and distribution of information or data of a specialized or technical nature frequently through clearinghouses or libraries. This does not include conventional public information services designed for general public consumption.
- M. Training - Programs that provide instructional activities conducted directly by a Federal agency for individuals not employed by the Federal government.
- N. Investigation of Complaints - Federal administrative agency activities that are initiated in response to requests, either formal or informal, to examine or investigate claims of violations of Federal statutes, policies, or procedure. The origination of such claims must come from outside the Federal government.
- O. Federal Employment - Programs that reflect the Governmentwide responsibilities of the Office of Personnel Management in the recruitment and hiring of Federal civilian agency personnel.

Contents of the Catalog

The Catalog contains Federal domestic assistance programs available to: State and local governments (including the District of Columbia and federally-recognized Indian tribal governments); Territories (and possessions) of the United States; domestic public, quasi-public, and private profit and nonprofit organizations and institutions; specialized groups; and individuals.

Programs in the Catalog provide information on the:

- Federal agency administering a program;
- Authorization upon which a program is based;
- Objectives and goals of a program;
- Types of financial and nonfinancial assistance offered under a program;
- Uses and restrictions placed upon a program; Eligibility requirements;
- Application and award process;
- Amount of obligations for the past, current, and future fiscal years;
- Regulations, guidelines and literature relevant to a program; Information contacts at the headquarters, regional, and local offices;
- Programs that are related based upon program objectives and uses;
- Examples of funded projects;
- Criteria for selecting proposals; and
- Individual agency policies and Federal management policy directives pertaining to a program.

Programs in the Catalog provide a wide range of benefits and services, which have been grouped into 20 basic functional categories, and 176 subcategories that identify specific areas of interest. Listed below are the 20 basic categories in which all programs have been grouped by primary purpose.

- Agriculture

- Business and Commerce
- Community Development
- Consumer Protection
- Cultural Affairs
- Disaster Prevention and Relief
- Education Employment, Labor, and Training
- Energy
- Environmental Quality
- Food and Nutrition
- Health
- Housing
- Income Security and Social Services
- Information and Statistics
- Law, Justice, and Legal Services
- Natural Resources
- Regional Development
- Science and Technology
- Transportation

Programs in the Catalog also include service activities of regulatory agencies.

The Catalog does not include:

- Solicited contracts administered under procurement laws and regulations for the purchase of goods and services for the Federal government;
- Foreign activities except as such programs have direct economic benefit in the domestic economy. (A program that provides both domestic and foreign assistance will be included with the description of the program oriented toward the domestic aspect.);
- Personnel recruitment programs of individual Federal departments which offer employment opportunities as part of normal recruiting operations, (the overall government-wide programs of the Office of Personnel Management are included);
- Benefits or assistance available only to current employees of the Federal government either civilian or military;
- New programs proposed in the Budget for which appropriations have not been enacted; or
- Programs that are no longer active due to expired authorization or appropriation.

Organization of the Catalog

The Catalog is divided into three basic sections -- the indices, the program descriptions, and the appendices.

INDICES --To locate specific programs of interest, determine eligibility, and to obtain information on the dates applications for assistance should be submitted to the funding agency, users may consult the Agency Program Index, the Functional Index, or the Subject Index; the Applicant Eligibility Index, and the Index listing deadlines for program applications.

The Agency Index Summary provides a description of the functions and activities of Federal agencies responsible for administering programs in the Catalog. Program numbers are also listed with the administering agency.

The Agency Program Index lists all programs in the Catalog in numerical order by the five-digit program identification number, the program title, the Federal agency responsible for administering the program, and whether the program offers financial assistance, nonfinancial assistance, or a combination of both.

The Functional Index Summary lists the basic functional categories and the subcategories that further identify specific areas of interest. Following the Summary is the Functional Index listing each program number and title under the appropriate basic category and subcategory.

The Subject Index provides a detailed listing of programs by various topics, popular name, general functional terms, categories of services, and selected beneficiaries, and is followed by the applicable program numbers.

The Applicant Eligibility Index is a listing in program number sequence, along with program titles, indicating the applicants eligible to apply. The index lists programs that may be applied for by:

- Any of the several States of the United States, the District of Columbia, or any agency or instrumentality of a State exclusive of State institutions of higher education and hospitals;
- Local governments which include a county, parish, municipality, city, town, township, village, State-designated Indian tribal government, local public authority, school district, special district, intrastate district, council of governments, sponsor group representative organizations, and other regional or interstate government entity, or any agency or instrumentality of a local government;
- U.S. Territories (and possessions) of the United States which include the Commonwealths of Puerto Rico and the Northern Mariana Islands, the Virgin Islands, Guam, Trust Territory of the Pacific Islands, and American Samoa;
- Federally-recognized Indian Tribal governments which include the governing body or a governmental agency of any Indian tribe, band, nation, or other organized group or community (including any Native village as defined in Section 3 of the Alaska Native Claims Settlement Act, 85 Stat. 688) certified by the Secretary of the Interior as eligible for the special programs and services provided through the Bureau of Indian Affairs;
- Nonprofit organizations and institutions which include quasi-public, public and private institutions of higher education and hospitals, Native American Indian Organizations, and other quasi-public and private nonprofit organizations such as, but not limited to, community action agencies (CAAs), Head Start agencies, research institutes, educational associations, and health centers. Excluded under this definition are government-owned contractor operated facilities or research centers providing support for mission-oriented, large scale programs that are government-owned or controlled, or are designed as federally-funded research and development centers; and
- Private individuals such as Native Americans, homeowners, students, farmers, artists, scientists, consumers, small business, refugees, aliens, veterans, senior citizens, low-income persons, health and education professionals, builders, contractors, developers, handicapped persons, the physically afflicted.

The Deadlines Index (for program applications) is a listing of program numbers and titles and the deadline date(s) by which funding agencies must receive applications. This information is also contained in the Deadlines Section of the program descriptions.

Users should also consult the following listings:

The Archived Programs list identifies programs that have been archived since the previous edition of the Catalog due to: expiration of budget authority; rescission of budget authority for the current fiscal year; program consolidation which has rendered the former program number(s) obsolete; the replacement of a categorical grant program by a block grant program; the replacement of two or more categorical programs by a block grant program; the abolishment of an agency; or the criteria for including a program in the Catalog no longer valid being (e.g., a program which no longer operates under Federal funding).

The Added Programs list identifies programs that have been added since the previous edition of the Catalog due to: the appropriation of new budget authority; the consolidation of two or more programs creating a new program; the splitting of elements from a former program creating two or more new programs; the transformation of a single categorical grant program into a block program; or the consolidation of two or more categorical grant programs into a block program.

The Crosswalk of Changes to Program Numbers and Titles which lists programs that have undergone a title change, or a program number change due to restructuring of programs, or reorganization of a Federal agency.

PROGRAM DESCRIPTIONS -- The center section of the printed Catalog and the listings available on this web site contain descriptions of Federal programs listed by program number in the same numerical sequence as in the Agency Program Index. Detailed information concerning programs is contained under

the description headings of each Catalog program. The following is an explanation of each program description heading followed by examples of the type of information found under each heading.

NOTE: The program used in the example below does not exist. It is provided for the purpose of illustration only.

PROGRAM NUMBER, TITLE, AND POPULAR NAME -- Each program in the Catalog is preceded by a five-digit program identification number. The first two digits identify the Federal department or agency that administers the program, and the last three numbers are assigned in numerical sequence. Thus, program number 10.500 is administered by the Department of Agriculture, 11.500 by the Department of Commerce, 12.500 by the Department of Defense, 93.500 by the Department of Health and Human Services, and so on. (In the numerical sequence of program numbers, some numbers do not appear due to program deletions or consolidations. To accommodate users' systems and records, the numbers are not reassigned to other programs but are reserved for the reinstated programs.) The program title is the descriptive name given to a program. The popular name, which is less descriptive than the program title, is the name by which programs are commonly known or most often used by applicants and agencies.

Example: 93.259 Mental Health -- Children's Services

FEDERAL AGENCY -- The Federal agency is the Federal department, agency, commission, council or instrumentality of the government, and the primary organizational sub-unit (the administering office) that has direct operational responsibility for managing a program.

Example: SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION, PUBLIC HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION -- This section lists the legal authority upon which a program is based (acts, amendments to acts, Public Law numbers, titles, sections, Statute Codes, citations to the U.S. Code, Executive Orders, Presidential Reorganization Plans, and Memoranda from an agency head).

Example: Community Mental Health Centers Act of 1975, Part A, Section 203(e), Public Law 94-63, 42 U.S.C. 2689.

OBJECTIVES -- This is a brief statement of specific objectives stated in terms of what the program is intended to accomplish along with the goals toward which the program is directed.

Example: To stimulate innovative approaches to children's mental health problems emphasizing prevention and coordination of community services; to expand training activities; and, to broaden resources for children's mental health services.

TYPES OF ASSISTANCE -- This section indicates the form in which the assistance is transmitted from the Federal government and is initially received for use or distribution by the applicant.

Example: Project Grants.

USES AND USE RESTRICTIONS -- This section describes the potential uses for the assistance provided to meet stated objectives, and the specific restrictions placed upon the use of funds. The section cites one or more applications depending upon the nature of a particular program. Since this section translates objectives into the uses of a program, users may develop a clearer understanding of the program's objectives.

Example: Support for Continuation Grants only. Program authorizes funds on a matching basis for initial staffing of facilities offering mental health services for children. Staffing grants may be used for a portion of the costs of professional and technical personnel to operate a facility for child mental health services; a higher percentage may be paid if an area has been designated a poverty area by the Secretary, HHS. The proposed program must provide consultation and

coordinating services with other community agencies serving children in service area, and must include a plan with the means by which it will be evaluated.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility -- This section indicates who can apply to the Federal government for assistance and the criteria the potential applicant must satisfy. For example, individuals may be eligible for research grants, and the criteria to be satisfied may be that they have a professional or scientific degree, 3 years of research experience, and be a citizen of the United States. Universities, medical schools, hospitals, or State and local governments may also be eligible. Where State governments are eligible, the type of State agency will be indicated (State welfare agency or State agency on aging) and the criteria that they must satisfy.

Certain programs in the Catalog (e.g., the Pell Grant program which provides grants to students) involve intermediate levels of application processing, i.e., applications are transmitted through colleges or universities that are neither the direct applicant nor the ultimate beneficiary. For these programs, the criteria that the intermediaries must satisfy are also indicated, along with intermediaries who are not eligible.

Example: To be eligible for staffing grants, applicants must be part of, or affiliated with, a community mental health center, unless there is no center serving the community. Applicants may then be any public or private nonprofit agency providing, or coordinating with programs that will provide a full range of mental health services for children and their families residing in the service area.

Beneficiary Eligibility -- This section lists the ultimate beneficiaries of a program, the criteria they must satisfy and who specifically is not eligible. The applicant and beneficiary will generally be the same for programs that provide assistance directly from a Federal agency. However, financial assistance that passes through State or local governments will have different applicants and beneficiaries since the assistance is transmitted to private sector beneficiaries who are not obligated to request or apply for the assistance.

Example: Children and their families in the service area as well as personnel of schools and other community agencies serving children.

Credentials/Documentation -- This is a brief description of the credentials or documentation required prior to, or along with, an application for assistance. The eligibility factors that must be proven, certified, or established are indicated in this section. This section also indicates whether OMB Circular No. A-87 requirements, "Cost Principles Applicable to Grants and Contracts with State and Local Governments," are applicable. In cases where specific Federal circulars or other regulatory requirements are not applicable to the program, disclaimer statements may be included referencing the requirement(s) from which the program is excluded, e.g., "This program is excluded from coverage under (applicable requirement)."

Example: Proof of nonprofit status is required of nonprofit organizations and institutions. This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination -- This section indicates whether any prior coordination or approval is required with governmental or nongovernmental units prior to the submission of a formal application to the Federal funding agency. For example, programs may require: (1) State agency approval prior to the submission of an application to a Federal agency; (2) the submission of environmental impact information as required by the National Environmental Policy Act of 1969, and Executive Order 11514 of March 4, 1970; (3) coordination with the policies of the recently revised OMB Circular No. A-102, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" (referenced here for construction, land acquisition, and land development projects for which Federal funding exceeds \$100,000); (4) coverage for eligibility under Executive Order 12372, "Intergovernmental Review of Federal Programs"; or (5) a preapplication or preapplication conference. Applicants should also ascertain from the Federal

agency the existence of other circular requirements not indicated by this section, and from the State, any State requirements that may be in effect. In cases where E.O. 12372 is not applicable to the program, a disclaimer statement is included referencing the exclusion, e.g., "This program is excluded from coverage under E.O. 12372."

Example: Preapplication consultation with the Substance Abuse and Mental Health Services Administration (SAMHSA) Branch of the HHS Regional Office is not mandatory. Application must be accompanied by evidence of approval and recommendation by the appropriate State agency or agencies. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A102, must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure -- This section discusses the basic procedural steps required by the Federal agency in the application process, beginning with the lowest level (e.g., State and local government units, institutions or organizations) and ending eventually with the Federal government. Each program will indicate whether applications are to be submitted to the Federal headquarters, regional or local office, or to a State or local government office. Numerous programs in the Catalog require the standard application forms in OMB Circular No. A-102 (Attachment M). Other applications may be in the form of a written request to the funding agency stating the need for assistance and requesting available services, or a formal proposal prepared in response to an announcement in the Federal Register or the Commerce Business Daily. Also indicated in this section is guidance concerning the applicability of OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations." In cases where specific Federal circulars or other regulatory requirements are not applicable to the program, disclaimer statements may be included referencing the requirement(s) from which the program is excluded, e.g., "This program is excluded from coverage under (applicable requirement)."

Example: Continuation Application Form ADM-115 should be used for staffing. Instructions and consultation may be obtained from the mental health section of the appropriate HHS Regional Office. Applications are sent to the Regional Office with copies to Acting Director, Division of Mental Health Service Programs, Substance Abuse and Mental Health Services Administration, 5600 Fishers Lane, Rockville, MD 20857. This program is subject to the provisions of OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102.

Award Procedure -- This section lists the basic procedural steps for awarding assistance, beginning with the organizational components of the Federal agency that has final approval authority for the application and ending with the lowest level at which Federal resources are expended. Also indicated is whether assistance passes through the initial applicant for further distribution by intermediate level applicants to groups or individuals in the private sector.

Accepted applications are subject to evaluation by the headquarters, regional, local or district office to determine the feasibility of the proposed project to include consistency with Federal and individual agency policies concerning its scope and purpose. Grant payments may be made by a letter of credit, advance by Treasury check, or reimbursement by Treasury check. The headquarters office may make awards directly to the applicant, an agency field office, a regional office, or by an authorized county office.

Example: The Regional Health Administrator makes awards to approved applicants.

Deadlines -- When available, this section indicates the deadlines for applications to the funding agency that will be stated in terms of the date(s) or between what dates the application should be received. Reference is made to new applications, continuations, renewals, and supplementals. Application deadline information is also indicated in the Deadlines Index, in the agency's program guidelines, or announced in the Federal Register. Where not available, applicants should contact the funding agency for deadline information.

Example: Staffing: Determined by award period (contact the Regional Office).

Range of Approval or Disapproval Time -- This section informs the applicant of the representative range of time required for the application to be processed (in terms of days or months) at the Federal level.

Example: From 90 to 120 days.

Appeals -- In some cases, there are no provisions for appeal. Where applicable, this section discusses appeal procedures or allowable rework time for resubmission of applications to be processed by the funding agency. Appeal procedures vary with individual programs and are either listed in this section or applicants are referred to appeal procedures documented in the relevant Code of Federal Regulations (CFR).

Example: Not applicable. (An appeal was not applicable in this case. A related program (93.231) allows applicants to reapply if revised applications are submitted.)

Renewals -- This section advises the applicant as to whether renewals or extensions of applications are available and indicates the appropriate procedures to follow. In some instances, renewal procedures may be the same as for the application procedure, e.g., for projects of a non-continuing nature renewals will be treated as new, competing applications; for projects of an ongoing nature, renewals may be given annually.

Example: This program is renewed annually. Grantees are required to update their plan and submit a current year budget.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements -- This section indicates the formula and matching requirements prescribed in the allocation of funds or maintenance of effort requirements. A formula may be based on population, per capita income, and other statistical factors. Applicants are informed whether there are any matching requirements to be met when participating in the cost of a project. In general, the matching share represents that portion of the project costs not borne by the Federal government. Usually, a minimum percentage for matching share is prescribed by program legislation, and matching share requirements are included in the grant agreement. Attachment F of OMB Circular No. A-102 sets forth the criteria and procedures for the evaluation of matching share requirements which may be cash or in-kind contributions made by State and local governments or other agencies, institutions, private organizations, or individuals to satisfy matching requirements of Federal grants or loans.

Cash contributions represent the grantees' cash outlay, including the outlay of money contributed to the grantee by other public agencies, institutions, private organizations, or individuals. When authorized by Federal regulation, Federal funds received from other grants may be considered as the grantees' cash contribution.

In-kind contributions represent the value of noncash contributions provided by the grantee, other public agencies and institutions, private organizations or individuals. In-kind contributions may consist of charges for real property and equipment, and value of goods and services directly benefiting and specifically identifiable to the grant program. When authorized by Federal legislation, property purchased with Federal funds may be considered as grantees' in-kind contribution.

Maintenance of effort (MOE) is a requirement contained in certain legislation, regulations, or administrative policies stating that a grantee must maintain a specified level of financial effort in a specific area in order to receive Federal grant funds, and that the Federal grant funds may be used only to supplement, not supplant, the level of grantee funds. Programs that have maintenance of effort requirements and have total allocations over \$100 million (current FY) should have the following statement in this section: This program has maintenance of effort (MOE) requirements; see funding agency for further details.

Example: Staffing: Federal funds on a decreasing percentage basis over a period of 8 years 90 percent - 1st and 2nd years; 80 percent - 3rd year; 75 percent - 4th

and 5th years; 70 percent - 6th, 7th and 8th years. Nonpoverty areas are entitled to: 80 percent - 1st and 2nd years; 75 percent - 3rd year; 60 percent - 4th year; 45 percent - 5th year; 30 percent - 6th, 7th and 8th years.

Length and Time Phasing of Assistance -- This section indicates the time period during which the assistance is normally available, whether there are any restrictions placed on the time permitted to use the funds awarded, and the timing of disbursement of the assistance, e.g., lump sum, annually, quarterly, or as required. Example: Staffing grants are limited to 8 years by law. Payments are made on a Monthly Cash Request System or under a Letter of Credit.

POST ASSISTANCE REQUIREMENTS:

Reports -- This section indicates whether program reports, expenditure reports, cash reports or performance monitoring is required by the Federal funding agency, and specifies at what time intervals (monthly, annually, etc.) this must be accomplished.

Example: Interim progress reports must be submitted annually as part of a non-competing application; reports of expenditures are due annually. Immediate reporting of any inventions is required.

Audits -- This section discusses audits required by the Federal agency. The procedures and requirements for State and local governments and nonprofit entities are set forth in OMB Circular No. A-133. These requirements pertain to awards made within the respective State's fiscal year -- not the Federal fiscal year, as some State and local governments may use the calendar year or other variation of time span designated as the fiscal year period, rather than that commonly known as the Federal fiscal year (from October 1st through September 30th).

Example: In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$300,000 or more in Federal awards will have an audit made for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records -- This section indicates the record retention requirements and the type of records the Federal agency may require. Not included are the normally imposed requirements of the General Accounting Office. For programs falling under the purview of OMB Circular No. A-102, record retention is set forth in Attachment C. For other programs, record retention is governed by the funding agency's requirements.

Example: Records must be retained at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

FINANCIAL INFORMATION:

Account Identification -- This 11-digit budget account identification code represents the account that funds a particular program. This code should be consistent with the code given for the program area as specified in Appendix II of the Budget of the United States Government. (See Appendix II for further information on the meaning of the 11 digits of this code.)

Example: 75-1361-0-1-550.

Obligations -- The dollar amounts listed in this section represent obligations for the past fiscal year (PY), estimates for the current fiscal year (CY), and estimates for the budget fiscal year (BY) as reported by the Federal agencies. In each succeeding edition of the Catalog, the dollar amounts are revised to reflect changes that may result from supplemental appropriations or amendments. Each program indicates what the obligation figures represent in terms of the type of assistance provided. Obligations for nonfinancial assistance programs indicate the administrative expenses involved in the operation of a program as an indication of the magnitude of the services being provided, or the items involved in obligations.

Example: (Grants) PY \$19,853,000; CY est. \$20,407,000; and BY est. \$14,830,000.

Range and Average of Financial Assistance -- This section lists the representative range (smallest to largest) of the amount of financial assistance available. These figures are based upon funds awarded in the past fiscal year and the current fiscal year to date. Also indicated is an approximate average amount of awards that were made in the past and current fiscal years.

Example: Formula: \$26,355 to \$691,481; \$235,305.

PROGRAM ACCOMPLISHMENTS -- This section briefly describes the accomplishments of a program using quantitative data, focusing on program output, results achieved, or services rendered during the past fiscal year, the current fiscal year, and projections for the coming fiscal year.

Example: In the past fiscal year, 140 applications were received and 140 staffing awards were issued. Approximately 147 continuation grants were funded during the current fiscal year and 103 are estimated to be funded in the budget fiscal year.

REGULATIONS, GUIDELINES, AND LITERATURE -- This section lists the title, number, and price of guidelines, handbooks, manuals, and other officially published information pertinent to a program. Since program regulations are published first in the Federal Register (FR) and later in the Code of Federal Regulations (CFR), citations to the CFR are listed.

Example: Interim guidelines available in application kits.

INFORMATION CONTACTS:

Regional or Local Office -- This section lists the agency contact person, address and telephone number of the Federal Regional or Local Office(s) to be contacted for detailed information regarding a program such as: (1) current availability of funds and the likelihood of receiving assistance within a given period; (2) preapplication and application forms required; (3) whether a preapplication conference is recommended; (4) assistance available in preparation of applications; (5) whether funding decisions are made at the headquarters, regional or local level; (6) application renewal procedures (including continuations and supplementals) or appeal procedures for rejected applications; and (7) recently published program guidelines and material.

However, for most programs in the Catalog, this section will instruct the reader to consult Appendix IV of the Catalog (Agency Regional and Local Office Addresses) due to the volume of Regional and Local Office Contacts for most agencies. For those agencies with fewer contacts, the actual information will be provided in this section.

Example: Substance Abuse and Mental Health Services Administration (SAMSHA) Branch of the appropriate HHS Regional Office (see Appendix IV of the Catalog for listing).

(Appendix IV Listing) Region 1
(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
William Farrow
John F. Kennedy Federal Building
Government Center
Boston, MA 02203
(617) 123-4567
(Use same number for FTS)

Headquarters Office -- This section lists names and addresses of the office at the headquarters level with direct operational responsibility for managing a program. A telephone number is provided in cases where a Regional or Local Office is not normally able to answer detailed inquiries concerning a program. Also listed are the name(s) and telephone number(s) of the information contact person(s) who can provide additional program information to applicants.

Example: Dr. Steven Sharfstein, Acting Director, Division of Mental Health

Service Programs, Substance Abuse and Mental Health Services Administration, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 123-4567. Use same number for FTS.

RELATED PROGRAMS -- This section of the program description lists all programs in the Catalog that are closely related based on objectives and program uses. Applicants should also refer to these programs, as they may provide additional assistance in a related area of interest.

Example: 93.232, Maternal and Child Health Services; 93.233, Maternal and Child Health Training; 93.242, Mental Health Research Grants; 93.295, Community Mental Health Centers-Comprehensive Support; 93.630, Developmental Disabilities-Basic Support.

EXAMPLES OF FUNDED PROJECTS -- This section indicates the different types of projects which have been funded in the past. Only projects funded under Project Grants or Direct Payments for Specified Use should be listed here. The examples give potential applicants an idea of the types of projects that may be accepted for funding. The agency should list at least five examples of the most recently funded projects.

Example: Awards are made only for staffing of facilities offering mental health services for children.

CRITERIA FOR SELECTING PROPOSALS -- This section indicates the criteria used by the Federal grantor agency to evaluate proposals in order to inform potential applicants of the application review process and the criteria used to award funds for projects.

Example: The criteria for selecting proposals are based upon the extent the project will contribute to needed services and training, capability of applicant to provide services and training, more effective utilization of personnel providing mental health services, and development of new methods or information.

APPENDICES -- The last section of the Catalog contains the following appendices: Programs Requiring Executive Order 12372 Review (Appendix I); Budget Functional Code Appendix (Appendix II); Sources of Additional Information Agency Regional and Local Office Addresses (Appendix III); Agency Regional and Local Office Addresses (Appendix IV); and in the December update to the Catalog, Historical Profile of Catalog Programs (Appendix V).

Appendix I -- Programs Requiring Executive Order 12372 Review:
This Appendix gives a brief description of Executive Order 12372. The description explains its purpose, identifies a listing of all Catalog program numbers and titles to which it applies, the general procedures to follow in applying for assistance, and the State Single Point of Contact List to which the States may refer for application coordination purposes.

Appendix II -- Budget Functional Code Appendix:
This appendix lists programs by the Budget functional classification. The three digits listed are the major and minor functional classifications used to identify the major purpose of the programs.

Appendix III -- Sources of Additional Information:
Information pertaining to Federal programs is available from Federal Information Centers and Federal Executive Boards as listed in this Appendix. Also listed are other government sources of information, including the 24 U.S. locations for the Government Printing Office Bookstores that sell the Catalog and other Federal publications.

Appendix IV -- Agency Regional and Local Office Addresses:
This appendix lists the names, addresses and telephone numbers of the regional and local offices that should be contacted for detailed information concerning a program and for initiating the process for applying for assistance. In cases where a Federal agency does not have a regional or local office, the headquarters office listed in the program description should be contacted.

Appendix V -- Historical Profile of Catalog Programs:

This appendix lists all programs that have been published in the Catalog of Federal Domestic Assistance beginning with the 1965 edition, and the subsequent action taken related to those programs. It can be found on the CFDA Web Site: <http://www.cfda.gov>

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AGENCY INDEX SUMMARY

The following is a summary description of the functions and activities of federal agencies responsible for administering programs listed in the Catalog. The CFDA program numbers are also listed next to the administering agency.

DEPARTMENT OF AGRICULTURE (10.001-10.999)

Through leadership and partnership with USDA agencies: ensure the provision of information, technical assistance, and training to all USDA customers with emphasis on under-served populations, to assure they have full access to all USDA programs and services. Maintains effective competition and fair trade practices in the marketing of livestock, poultry for the protection of livestock and poultry producers. Administers programs to make food assistance available to people who need it. These programs are operated in cooperation with State and local governments. Participates in a nationwide system of agricultural research program planning and coordination between the States and the Department of Agriculture to encourage and assist in the establishment and maintenance of cooperation within and among the States and between the States and their Federal research partners. The primary function is to administer the Acts of Congress that authorize Federal appropriations for agricultural research carried on by the State agricultural experiment stations of the 50 States, Puerto Rico, Guam, the Virgin Islands, the District of Columbia, American Samoa, and Micronesia the Northern Marianas, approved schools of forestry, the 1890 land-grant institutions and Tuskegee University, colleges of veterinary medicine, and other eligible institutions. The Extension activities of the Cooperative State Research, Education, and Extension Service coordinates and provides administrative, technical, and other services to a nationwide Cooperative Extension System, in partnership with State and local governments and the private sector. The primary function of this system is to take the research findings of the Department of Agriculture, the State Land-Grant Colleges and programs administered by the Department of Agriculture, and to develop and deliver informal, out-of-school educational programs. These programs communicate and demonstrate to people how they can apply research findings to identify and solve farm, home, and community problems. This work is carried out through extension offices in each State, Puerto Rico, Guam, the Virgin Islands, the District of Columbia, American Samoa, and Micronesia, plus 16, 1890 land-grant universities and Tuskegee University as the State partner.

Agricultural Marketing Service (10.153, 10.155-10.156, 10.162-10.165, 10.167-10.168, 10.170, 10.172-10.174)

Administers standardization, grading, voluntary inspection, market news, marketing orders, regulatory, and related programs.

Agricultural Research Service (10.001, 10.700)

Conducts research to develop new knowledge and technology to ensure an abundance of high quality agricultural commodities and products at reasonable prices to meet the increasing needs of an expanding economy and to provide for the continued improvement in the standard of living of all Americans. It conducts basic, applied, and developmental research on animal and plant production use and improvement of soil, water, and air processing, storage, distribution, food safety, consumer services and human nutrition research, and food and agriculture sciences. The National Agricultural Library of ARS disseminates useful information about agricultural and other related sciences to scientists and researchers, administrators and managers, farmers, and to the general public provides library services, such as bibliographies, reference services and document delivery.

Animal and Plant Health Inspection Service (10.025, 10.028, 10.030)

Conducts regulatory and control programs to protect and improve animal and plant health for the benefit of man and the environment and to control or reduce damage caused by nuisance mammals and birds and those mammal and bird

species that are reservoirs for zoonotic diseases, except for urban rodent control.

Departmental Management (10.443)

DM's mission is to provide management leadership to ensure that USDA administrative programs, policies, advice and counsel meet the needs of USDA program organizations, consistent with laws and mandates; and provide safe and efficient facilities and services to customers.

Economic Research Service (10.250, 10.253, 10.255)

The Economic Research Service produces economic and other social science information as a service to the general public and to help Congress and the administration develop, administer, and evaluate agricultural and rural policies and programs.

Farm Service Agency (10.051, 10.053-10.054, 10.056, 10.069, 10.087, 10.098, 10.102, 10.108-10.114, 10.116, 10.118, 10.171, 10.435, 10.449, 10.451)

Administers domestic commodity price and income support, farm loan, disaster assistance, and conservation cost-share programs for the Department of Agriculture.

Food Safety and Inspection Service (10.475, 10.477, 10.479)

Assures that meat, poultry and egg products moving in interstate and foreign commerce are safe, wholesome, unadulterated and accurately labeled, as required by the Federal Meat Inspection Act, the Poultry Products Inspection Act, and the Egg Products Inspection Act. The Meat, Poultry, and Egg Products Inspection Programs provide continuous in plant inspection of all domestic plants preparing meat, poultry, and egg products for sale or distribution in commerce. In addition, these acts include the review of inspection systems in foreign establishments that prepare meat, poultry, or egg products for export to the United States under inspection programs that are equivalent to the U.S. program. FSIS provides technical and financial assistance to States which maintain meat and poultry inspection programs equal to Federal inspection. FSIS also enters into Cooperative Agreements with Academic institutions; State, local and tribal government agencies; and non-profit organizations to improve Food Safety and Food Defense.

Food and Nutrition Service (10.536-10.538, 10.541, 10.543-10.545, 10.547, 10.551, 10.553, 10.555-10.559, 10.561, 10.565, 10.567-10.569, 10.572, 10.574-10.576, 10.578-10.580, 10.582, 10.586, 10.593-10.596)

The Food and Nutrition Service (FNS) administers the USDA food assistance programs. These programs, which serve one in six Americans, represent our Nation's commitment to the principle that no one in this country should fear hunger or experience want. They provide a Federal safety net to people in need. The goals of the programs are to provide needy persons with access to a more nutritious diet, to improve the eating habits of the Nation's children, and to help America's farmers by providing an outlet for distributing foods purchased under farmer assistance authorities. The Service works in partnership with the States in all its programs. State and local agencies determine most administrative details regarding distribution of food benefits and eligibility of participants and FNS provides commodities and funding for additional food and to cover administrative costs.

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Foreign Agricultural Service (10.600-10.601, 10.603-10.606, 10.608, 10.610, 10.612-10.616, 10.777, 10.960-10.962)

The export promotion and service agency for the U.S. Department of Agriculture. Stimulates overseas markets for U.S. agricultural products. FAS fulfills its role as the promotional agency for the world's largest agricultural export business through its network of agricultural counselors, attaches, and trade officers stationed overseas and its backup team of analysts, marketing specialists, negotiators, and related specialists. FAS maintains a worldwide agricultural intelligence and reporting system through its attached service. FAS also has a continuing market development program to develop, service, and expand commercial export markets for U.S. agricultural products. By virtue of the Agricultural Trade Act of 1978, FAS received authority to open at least 6 and not more than 25 agricultural trade offices overseas to develop, maintain and expand international markets for U.S. agricultural commodities. Ten of these offices have been established and are located in such key markets as West Germany, Saudi Arabia, Singapore, and Mexico City. To improve access for U.S. farm products abroad, FAS international trade policy specialists coordinate and direct USDA's responsibilities in international trade agreement programs and negotiations. FAS also manages the Public Law 480 Program, Titles I and III (Food for Peace Program), and the Commodity Credit Corporation (CCC) Export Credit Guarantee Programs. Public Law 480 is aimed at long-range improvement in the economies of developing countries. Commercial programs promote commercial agricultural exports by providing credit guarantees to exporters which are used to obtain additional U.S. exports. Title I, the concession sales section of Public Law 480, provides for low-interest, long-term credit to recipients of U.S. farm commodities. The Title II program authorizes the donation of agricultural commodities to foreign governments, voluntary relief agencies, or intergovernmental organizations. Title III permits multiyear programming and forgiveness of dollar payments, provided the recipient country undertakes specific agricultural and economic development projects for commodities delivered under Title I agreements. FAS focuses on sharing knowledge of agriculture through development assistance and cooperation with other countries. Primary activities are providing technical assistance and training in agriculture to other countries, particularly the developing world; working with international food and agricultural organizations to solve world food problems; and sponsoring scientific exchanges and research that will help farmers both at home and abroad.

Forest Service (10.652, 10.664-10.666, 10.672, 10.674-10.676, 10.678-10.684, 10.689-10.691, 10.693-10.694, 10.699, 10.701-10.705)

Cares for the land and serves people. Promotes the sustainability of ecosystems by ensuring their health, diversity, and productivity, which is coupled with this service ethic: work collaboratively and use appropriate scientific information in caring for the land and serving people. Provides financial, technical and scientific assistance to outside organizations in order to achieve these goals when authorized by statute. These land and service ethics are applied by the Forest Service through ecosystem management. Ecosystem management is the integration of ecological, economic, and social factors in order to maintain and enhance the quality of the environment to meet current and future needs. The four strategic goals of the Forest Service are to: (1) protect ecosystems, (2) restore deteriorated ecosystems, (3) provide multiple benefits for people within the capabilities of ecosystems, and (4) ensure organizational effectiveness. The Forest Service Natural Resource Agenda identifies four key areas of national focus. They are: watershed, health and restoration; sustainable forest ecosystem management; forest roads management; and recreation enhancement. Implementation of the agenda will help bring people together and help them find ways to live within the limits of the land. This in turn will ensure that future generations will forever be endowed with the rich natural bounty of our Nation.

National Agricultural Statistics Service (10.950)

Agricultural estimates involve collecting, analyzing, and publishing agricultural production and marketing data, including: number of farms and acreage in farms; crop acreage, yields, production, stocks, value, and utilization; inventories and production of livestock, poultry, eggs, and dairy products; prices received by farmers for products, prices paid for commodities and services for living and production, and related indexes; farm employment and wage rates; cold storage supplies; agricultural chemical use; aquaculture; and other relevant aspects of the agricultural economy. Estimates for about 120 crops and 45 livestock items are published in about 400 Federal and 9,000 State-Federal reports each year. Beginning in 1997, NASS is responsible for the Census of Agriculture, previously conducted by the Bureau of the Census, Commerce Department. The Census of Agriculture is taken every 5 years and provides comprehensive data down to the county level on all aspects of the agricultural economy for the U.S., as well as selected data for American Samoa, Puerto Rico, Guam, Virgin Islands, and the Northern Mariana Islands. All information is made available to the news media and the public at scheduled release times and is available for free on the Internet. Statistical research and service is directed toward improving crop and livestock estimating techniques. Considerable emphasis is placed on improving survey sample designs as well as testing new forecasting and estimating techniques, such as using satellite data.

National Institute of Food and Agriculture (10.200, 10.202-10.203, 10.205-10.207, 10.210, 10.212, 10.215-10.217, 10.219-10.223, 10.225-10.228, 10.303-10.304, 10.306-10.313, 10.318-10.320, 10.322, 10.326, 10.328-10.331, 10.334, 10.336, 10.500, 10.511-10.518, 10.520-10.521)

The National Institute of Food and Agriculture (NIFA) is an agency within the U.S. Department of Agriculture (USDA), part of the executive branch of the Federal Government. Congress created NIFA through the Food, Conservation, and Energy Act of 2008. NIFA replaced the former Cooperative State Research, Education, and Extension Service (CSREES), which had been in existence since 1994. NIFA's unique mission is to advance knowledge for agriculture, the environment, human health and well-being, and communities by supporting research, education, and extension programs in the Land-Grant University System and other partner organizations. NIFA doesn't perform actual research, education, and extension but rather helps fund it at the state and local level and provides program leadership in these areas.

Natural Resources Conservation Service (10.072, 10.093, 10.902-10.905, 10.907, 10.912-10.914, 10.916-10.917, 10.920-10.929, 10.931-10.933)

Develops and carries out a national soil and water conservation program in cooperation with landowners, operators and other land users and developers, community planning agencies and regional resource groups, Federal, State, and local government agencies; also assists in agricultural pollution control, environmental improvement, and rural community development. Preserves, protects, and restores valued wetlands, and improves wildlife and migratory bird habitat. Supports the objectives of the Nation's commitment to the 1973 International Boundary and Water Commission Agreement concerning the quality of water in the Colorado River delivered downstream to users in the United States and the Republic of Mexico. Conserves water; preserves, maintains, and improves migratory waterfowl habitat and other wildlife resources. Encourages good forestry management through the development, management, and protection of non-industrial private forest lands, to increase the production of timber and enhance other forest resources.

Risk Management Agency (10.450, 10.458, 10.460)

The Risk Management Agency (RMA) is part of USDA. RMA's role is to help producers manage their business risks through effective, market-based risk management solutions. RMA's mission is to promote, support, and regulate

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sound risk management solutions to preserve and strengthen the economic stability of America's agricultural producers. As part of this mission, RMA operates and manages the Federal Crop Insurance Corporation (FCIC). RMA was created in 1996; the FCIC was founded in 1938. RMA, via the FCIC, provides crop insurance to American producers. Private-sector insurance companies sell and service the policies. RMA develops and/or approves the premium rate, administers premium and expense subsidy, approves and supports products, and reinsures the insurance companies. RMA through the formation of partnerships with public and private organizations fund projects that:

(A) Create new products, seek enhancements in existing products, and expand the use of non-insurance risk management tools that will be utilized by agricultural producers to assist them in mitigating the risks inherent in agricultural production. Risk management tools include a variety of risk management options and strategies developed to assist producers in mitigating the risks inherent in agricultural production. Risk management tools may include financial management tools to mitigate price and production risks; tools to enhance measurement and prediction of risks in order to facilitate risk diversification; tools to improve production management, harvesting, record keeping or marketing. (B) Provide women, limited resource, socially disadvantaged, and other traditionally underserved producers of Priority Commodities with risk management training, informational opportunities, and assistance necessary to understand: (1) The kind of risks addressed by existing and emerging risk management tools; (2) The features and appropriate use of existing and emerging risk management tools; and (3) How to make sound risk management decisions. (C) Deliver crop insurance education and information to U.S. agricultural producers in certain States that have been designated as historically underserved with respect to crop insurance. The states, collectively referred to as Targeted States, are Connecticut, Delaware, Maine, Maryland, Massachusetts, Nevada, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Utah, Vermont, West Virginia, and Wyoming. (D) Deliver risk management education and information in the management of production, marketing, and financial risk to U.S. agricultural producers. The program gives priority to educating producers of crops currently not insured under Federal crop insurance, specialty crops, and underserved commodities, including livestock and forage.

Rural Business-Cooperative Service (10.350-10.352, 10.767-10.769, 10.771, 10.773, 10.782, 10.854, 10.865-10.868, 10.870-10.871, 10.874, 10.890)

The mission of the Rural Business-Cooperative Service is to enhance the quality of life for all rural Americans by providing leadership in building competitive businesses and sustainable cooperatives that can prosper in the global marketplace.

Rural Housing Service (10.405, 10.410, 10.415, 10.417, 10.420, 10.427, 10.433, 10.438, 10.446-10.448, 10.766)

Provides credit to families and communities that still do not have effective access to credit because of the isolated nature or small scale of the rural market and provides subsidies to those low income families and communities that could not otherwise afford rent or debt service payments.

Rural Utilities Service (10.751, 10.759-10.763, 10.770, 10.850-10.851, 10.855, 10.857-10.859, 10.862-10.864, 10.886)

Electricity, telephone, water and waste disposal services have been taken for granted in American cities since at least the 1920's. But if you lived in a rural area only 60 years ago, chances are you went without these necessities of modern life and high standard of living they make possible. Modern utilities came to rural America through some of the most successful government initiatives in American history, carried out through the U.S. Department of Agriculture (USDA) working with rural cooperatives, nonprofit organizations, public bodies, and for-profit utilities.

The Office of the Chief Economist (10.290-10.291)

The Office of the Chief Economist (OCE) advises the Secretary on the economic implications of policies and programs affecting the U.S. food and fiber system and rural areas as well as coordinates, reviews, and approves the Department's commodity and farm sector forecasts. OCE staff also coordinates USDA's Agricultural Outlook Forum, which has been hosted annually since 1923.

DEPARTMENT OF COMMERCE (11.001-11.999)

Bureau of Industry and Security (11.150)

No Description Provided

Economic Development Administration (11.300, 11.302-11.303, 11.307, 11.312)

The EDA's mission is to lead the federal economic development agenda by promoting innovation and competitiveness, preparing American regions for growth and success in the worldwide economy.

Minority Business Development Agency (11.802, 11.804-11.805)

Assists minority businesses in achieving effective and equitable participation in the American free enterprise system and in overcoming social and economic disadvantages that have limited their participation in the past. The agency provides national policies and leadership in forming and strengthening a partnership of business, industry, and government with the Nation's minority businesses. Management and technical assistance is provided to minority firms on request, primarily through a network of local business development organizations funded by the Agency.

National Institute of Standards and Technology (NIST) (11.013, 11.601, 11.603-11.604, 11.606, 11.609-11.612, 11.616, 11.619-11.620)

The NIST mission is to promote U.S. innovation and industrial competitiveness by advancing measurement science, standards, and technology in ways that enhance economic security and improve our quality of life. Since 1901, NIST, a non-regulatory agency, has been supplying the measurements and tools from standardized high-precision gage blocks needed to manufacture interchangeable parts to the world's most accurate atomic clock to help U.S. industry compete successfully through innovation. Over the last few decades, NIST has been assigned important new roles and responsibilities, including awarding competitive grants to foster development of promising, high-risk technologies; diffusing advanced technologies and business practices to smaller manufacturers; and promoting quality management methods in key sectors. Old and new, all NIST programs support the nation's agility, innovation, and competitiveness.

NIST Laboratory Program - For more than 100 years, NIST has maintained the national standards of measurement, a role that the U.S. Constitution assigns to the Federal Government. Today, the NIST Laboratories address increasingly complex measurement challenges. For example, NIST develops measurements focusing on the very small (e.g., nanotechnology devices) and the very large (e.g., skyscrapers), the physical methods for characterizing strands of DNA for forensic testing and the virtual methods for testing electronic health record systems, and tests the performance of walk-through metal detectors. The NIST Laboratories work at the frontiers of measurement science to ensure that the U.S. system of measurements is firmly grounded on a sound scientific and technical foundation. NIST promotes the use of measurements based on the international

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system of units (SI). The measurement science research at NIST is useful to all science and engineering disciplines. The NIST Laboratories work to assure that the U.S. realization of the basic and derived measurement units is consistent with the realization in other nations. NIST Laboratories engage in a number of international activities to support trade and global science, and to promote the international acceptance of U.S. measurement standards. The NIST Laboratories provide industry and academia with unique user facilities that support innovation in materials science, nanotechnology, and other emerging technology areas through the NIST Center for Neutron Research, which provides world class neutron measurement capabilities to the U.S. research community, and the NIST Center for Nanoscale Science and Technology, which supports nanotechnology development from discovery to production. The NIST Laboratories also support the development of standards and specifications that define technical and performance requirements for goods and services. These standards also known as documentary standards are often developed collaboratively with the private sector through an open, consensus-based process. NIST scientists and engineers lend their expertise to these efforts in order to promote standards that are based on sound science, and to ensure that the standards are supported by effective measurements and testing methods for conformity. In addition, NIST is designated under the National Technology Transfer Advancement Act (NTTAA) as the coordinator for all Federal agencies using documentary standards that are developed by private-sector consensus bodies to carry out their policy objectives.

Innovation and Industry Services Hollings Manufacturing Extension Partnership - Through partnerships between Federal and state governments and non-profit organizations, NIST's Hollings Manufacturing Extension Partnership (MEP) provides technical and business assistance to smaller manufacturers through a nationwide network in all 50 states and Puerto Rico. Field agents and programs are helping manufacturers understand, adopt, and apply new technologies and business practices, as well as reap the benefits through increased productivity, better performance, cost savings, waste reduction, and creation and retention of manufacturing jobs. MEP acts as a strategic advisor to promote business growth and innovation and to connect manufacturers to public and private resources essential for increased profitability and competitiveness in the global marketplace.

Technology Innovation Program (TIP) - The TIP statute originated in the America Creating Opportunities to Meaningfully Promote Excellence in Technology, Education, and Sciences (COMPETES) Act of 2007 (P.L. 110-69). The COMPETES Act was recently reauthorized as the America COMPETES Reauthorization Act of 2010 (P.L. 111-358). TIP was established to help U.S. businesses, institutions of higher education, and other organizations such as national laboratories and nonprofit research institutes to support, promote, and accelerate innovation in the United States through high-risk, high-reward research in areas of critical national need. The final FY 2012 appropriations did not provide funding for TIP. The program is currently implementing an orderly close down of the program.

Baldrige Performance Excellence Program (BPEP) - NIST's Baldrige Performance Excellence Program (BPEP) provides global leadership in the learning and sharing of successful strategies and performance practices, principles, and methodologies to strengthen U.S. organizations. The program promotes organizational excellence through education, outreach, and an annual Presidential awards program. The Baldrige Award is given to organizations in six categories: manufacturing, service, small business, health care, education, and nonprofit. BPEP works closely with these organizations to recognize and disseminate proven best practices for management and operation, leading to organizations that are more strategic, innovative, competitive, and effective. BPEP also takes advantage of more than 20 years worth of learning from Baldrige Award recipients to identify strategies and practices that are most likely to strengthen the performance and competitiveness of U.S. industry and other organizations.

National Oceanic and Atmospheric Administration (NOAA)
(11.008, 11.011-11.012, 11.017, 11.400, 11.407-11.408, 11.413,

11.415, 11.417, 11.419-11.420, 11.426-11.427, 11.429, 11.431-11.441, 11.451-11.452, 11.454-11.455, 11.457, 11.459-11.460, 11.463, 11.469, 11.472-11.474, 11.478, 11.482-11.483, 11.999)

Reports the weather of the U.S. and its possessions and provides weather forecasts to the general public, issues warnings against such destructive natural events as hurricanes, tornadoes, floods, and tsunamis and provides special services in support of aviation, marine activities, agriculture, forestry, urban air-quality control, and other weather-sensitive activities; monitors and reports all nonfederal weather modification activities conducted in the U.S.; conducts an integrated program of management, research, and services related to the protection and rational use of living marine mammals; prepares and issues nautical and aeronautical charts, provides the Nation's precise geodetic surveys, and conducts broad research programs in marine and atmospheric sciences, solar-terrestrial physics, and experimental meteorology, including weather modifications; predicts tides, currents, and the state of the oceans, conducts research and development aimed at providing alternatives to ocean dumping; provides Federal leadership in promoting wise and balanced management of the Nation's coastal zone; provides satellite observations of the environment by establishing and operating a national environmental satellite system; conducts integrated program of research and services relating to the oceans and inland waters, the lower and upper atmosphere, space environment, and the earth; acquires, stores, and disseminates worldwide environmental data through a system of meteorological, oceanographic, geodetic, and seismological data centers; develops a system of data buoys for automatically obtaining and disseminating marine environmental data; promotes the development of technology to meet future needs of the marine community.

National Telecommunications and Information Administration (11.014, 11.019, 11.549-11.550, 11.553)

Exercises significant responsibility in the areas of communication security, privacy protection, and the application of public service satellites, public telecommunications facilities planning and construction; formulates policies to support the development, growth and regulation of telecommunications, information, and related industries; furthers the efficient development and use of telecommunications and information services; provides policy and management for the use of electromagnetic spectrum; provides telecommunications facilities grants to public service users.

DEPARTMENT OF DEFENSE (12.001-12.999)

Principal advisor to the Under Secretary of Defense (Policy) and the Secretary of Defense on national security strategy and defense strategy and in the requirements, forces and contingency plans necessary to implement those strategies. Civil functions of the Department of the Army include the Civil Works Program, the administration of Arlington and Soldiers' Home National Cemeteries, and other related matters. The Army's Civil Works Program, a responsibility of the Corps of Engineers is the Nation's major Federal water resources development activity and involves engineering works such as major dams, reservoirs, levees, harbors, waterways, locks, and many other types of structures. These works provide flood protection for cities and major river valleys, reduce the cost of transportation, supply water for municipal and industrial use, generate hydroelectric power, provide recreational opportunities for vast numbers of people, regulate the rivers for many purposes including the improvement of water quality and the enhancement of fish and wildlife, protect the shores of the oceans and lakes, and provide still other types of benefits. Planning assistance is also provided to States and other nonfederal entities for the comprehensive management of water resources, including pollution abatement works. In addition, through the Civil Works Program the Federal government protects the navigable waters of the United States under legislation empowering the Secretary of the Army to prohibit activities which would reduce the value of such waters to the Nation. Includes two lead offices: the Office of

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Naval Research and the Office of Naval Technology. The Chief of Naval Research is responsible for the Department of Navy Research (6.1) and Exploratory Development (6.2) Programs. The Chief of Naval Research is responsible to the Secretary of the Navy through the Assistant Secretary (Research, Engineering and Systems) and is a principal adviser to the latter. The Chief of Naval Research is also responsible for providing leadership, management, and direction to the Department of Navy research and exploratory development programs and other research, development, technology, and equipment programs assigned to and conducted by the Office of the Chief of Naval Research; developing and formulating viable and responsive naval research and technology requirements based on current and projected Navy and Marine Corps long-range objectives and considerations of national security; and coordinating naval research and promoting cooperative research efforts within the Department of the Navy with other elements of the Department of Defense, National Science Foundation, National Aeronautics and Space Administration, Department of Energy, and other government research groups. The Office of Naval Research also conducts research in conjunction with the research and development conducted by other Department of Navy activities, coordinates the Naval Basic Research Program, and conducts a contract management program with educational institutions in support of all Federal agencies. The Office of Naval Technology, established in October 1980, manages the Department of Navy's Exploratory Development (6.2) Program, assessing, planning, programming, budgeting, directing, and monitoring the program, and manages the oversight activities in regard to the Industrial Independent Research and Development Program. The Secretary's responsibilities include matters pertaining to organization, training, logistical support, maintenance, welfare of personnel, administrative, recruiting, research and development, and other activities prescribed by the President or the Secretary of Defense. The principal assistant to the Secretary is the Under Secretary, who acts with the full authority of the Secretary on all affairs of the Department. The Air Force Materiel Command researches, develops, tests, acquires, delivers, and logistically supports every Air Force weapons system. It has responsibilities from inception of a weapons system through its operational life and final disposition. The Air Force Materiel Command operates major product centers, logistics centers, test centers, and laboratories. It was created in July 1992 through the integration of the Air Force Logistics Command and the Air Force Systems Command. The Defense Advanced Research Projects Agency is a separately organized agency within the Department of Defense under a Director appointed by the Secretary of Defense. The Agency engages in advanced, basic, and applied research and development projects essential to the Department of Defense, and conducts prototype projects that embody technology that may be incorporated into joint programs, programs in support of deployed U.S. forces, or selected Military Department programs and, on request, assists the Military Departments in their prototype efforts. In this regard, the Agency arranges, manages, and directs the performance of work connected with assigned advanced projects by the Military Departments, other government agencies, individuals, private business entities, as appropriate; recommends through the Under Secretary of Defense for Acquisition to the Secretary of Defense assignment of advanced projects to the Agency; keeps the Under Secretary, the Organization of Joint Chiefs of Staff, the Military Departments, and other Department of Defense agencies informed on significant new developments and technological advances within assigned projects; and performs other such functions as the Secretary of Defense or the Under Secretary may assign.

AF SAF AQC (12.840)

Contracting Policy for Air Force

Advanced Research Projects Agency (12.910)

No Description Provided

Army Contracting Command (12.432, 12.987)

No Description Provided

Defense Intelligence Agency (12.598)

No Description Provided

Defense Logistics Agency (12.002)

Provides effective and economical support to the military services, other Department of Defense components, Federal civil agencies, foreign governments, and others as authorized, for assigned material commodities and items of supply, logistics services directly associated with the supply management function, contract administration services, and other support services as directed by the Secretary of Defense.

Defense Threat Reduction Agency (12.351)

No Description Provided

Department of the Air Force, Materiel Command (12.800-12.801)

The Air Force Materiel Command researches, develops, tests, acquires, delivers, and logistically supports every Air Force weapons system. It has responsibilities from inception of a weapons system through its operational life and final disposition.

Department of the Navy, Office of the Chief of Naval Research (12.300, 12.330, 12.350)

No Description Provided

Federal Voting Assistance Program (12.219)

No Description Provided

Marine Corps Systems Command (12.369)

No Description Provided

National Security Agency (12.901, 12.905)

The National Security Agency/Central Security Service is responsible for the centralized coordination, direction, and performance of highly specialized technical functions in support of U.S. Government activities to protect U.S. communications and produce foreign intelligence information. The National Security Agency was established by Presidential directive in 1952 as a separately organized agency within the Department of Defense. In this directive, the President designated the Secretary of Defense Executive Agent for the signals intelligence and communications security activities of the Government. The Agency was charged with an additional mission, computer security, in a 1984 Presidential directive, and with an operations security training mission in a 1988 Presidential directive. In 1972 the Central Security Service was established, in accordance with a Presidential memorandum, to provide a more unified cryptologic organization within the Department of Defense and appointed the Director, National Security Agency, as Chief of the Central Security Service. The Agency has three primary missions: an information systems security mission, an operations security training mission, and a foreign intelligence information mission.

Office of the Secretary of Defense (12.355, 12.357, 12.360, 12.550, 12.558, 12.561, 12.579, 12.582, 12.630-12.631, 12.888)

The Secretary's responsibilities include matters pertaining to organization,

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training, logistical support, maintenance, welfare of personnel, administrations, recruiting, research and development, and other activities prescribed by the President or the Secretary of Defense. The principal assistant to the Secretary is the Under Secretary, who acts with the full authority of the Secretary on all affairs of the Department.

Office of the Secretary of Defense, Logistics and Material Readiness, Maintenance Policy & Programs (12.225)

No Description Provided

U.S. Army Materiel Command (12.431)

The Commanding General, United States Army Materiel Command, develops and provides materiel and related services to the Army. To Army elements of unified commands and specified commands, to Department of Defense agencies, and to other United States and foreign agencies as directed. His principal missions are to equip and sustain a trained, ready Army; to provide equipment and services to other nations through the security assistance program; to develop and acquire non-major systems and equipment; to provide development and acquisition support to program managers; to define, develop, and acquire superior technologies; to maintain the mobilization capabilities necessary to support the Army in emergencies; and to continue to improve productivity and quality of life.

U.S. Army Medical Command (12.420, 12.460)

The Commanding General, United States Army Medical Command, performs health services for the Army within the United States, and, as directed, for other governmental agencies and activities. Responsibilities include command of the Army hospital system within the United States and other organizations, units, and facilities as directed; medical professional education for Army personnel; health promotion and wellness for all beneficiaries; medical research and development; and development of medical doctrine, concepts, organizations, materiel requirements, and systems in support of the Army.

US Army Center of Military History (12.440)

No Description Provided

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (14.001-14.999)

The Assistant Secretary for Housing-Federal Housing Commissioner directs the Department's housing functions. He or she oversees aid for the construction and financing of new and rehabilitated housing and the preservation of existing housing. The Assistant Secretary is responsible for: Underwriting single family, multifamily, property improvement, and manufactured home loans; administering special purpose programs designed specifically for the elderly, the handicapped, and the chronically mentally ill; administering assisted housing programs for low-income families who are experiencing difficulties affording standard housing; administering grants to fund resident ownership of multifamily housing properties; protecting consumers against fraudulent practices of land developers and promoters, and administering housing development grants, where HUD provides grants to cities, urban counties, and States acting on behalf of local governments to support the development of rental housing in areas with severe rental housing shortages. Grantees use the HUD funds to provide capital grants or loans, interest-reduction payments, rental subsidies, or other types of assistance to facilitate the construction or substantial rehabilitation of rental projects by private owners. The Assistant Secretary for Community Planning and Development administers the grant programs that help communities plan and finance their growth and development, increase their capacity to govern, and provide shelter and services for homeless people. In

addition, the Assistant Secretary is responsible for: Community Development Block Grant (CDBG) Programs for Entitlement Communities, States and HUD-administered Small Cities, Section 108 Community Development Loan Guarantees, and Special Purpose Grants for Insular Areas, Historically Black Colleges and Universities, and Technical Assistance; Home Investment in Affordable Housing (HOME), providing Federal assistance for use by participating jurisdictions or Indian tribes for housing rehabilitation, tenant-based assistance, assistance to first time homebuyers and new construction when a jurisdiction is determined to need new rental housing; the Department's programs to address homelessness, including the Supportive Housing Program (Transitional Housing and Permanent Housing components), Shelter Plus Care, Surplus Property for Use to Assist the Homeless, Section 8 Moderate Rehabilitation Single Room Occupancy (SRO) Program, Housing Opportunities for Persons with AIDS, and Emergency Shelter Grants; The Youthbuild Program to provide opportunities and assistance to very low-income young adults who have dropped out of high school; Consolidated Plans; and Empowerment Zones and Enterprise Communities. The Assistant Secretary for Public and Indian Housing (PIH) directs the Department's low-income public housing program. This program provides funds for the development, operation, and modernization of public housing, including such housing for American Indians. It also promotes resident management and ownership of public housing. PIH administers Public and Indian Housing Programs, including rental and homeownership programs and provides technical and financial assistance in planning, developing, and managing low-income projects; provides operating subsidies for Public Housing Agencies (PHAs) and Indian Housing Authorities (IHAs), including procedures for the review of the management of PHAs and IHAs; administers the Capital Fund Program for the modernization of low-income housing projects to upgrade living conditions, correct physical deficiencies, and achieve operating efficiency and economy; administers the Resident Initiatives Program for resident participation, resident management; homeownership, economic development and supportive services, and drug-free neighborhood programs; implements and monitors program requirements related to program eligibility and admission of families to public and assisted housing, tenant income and rent requirements pertaining to continued occupancy; administers the HOPE VI and Vacancy Reductions Programs; administers the Section 8 Housing Choice Vouchers Program and the Moderate Rehabilitation Program; coordinates all departmental housing and community development programs for Indian and Alaska Natives; and awards grants to PHAs and IHAs for the construction, acquisition and operations of Public and Indian Housing Projects. The Office is responsible for: Increasing awareness of the public and building industry of the dangers of lead-based paint poisoning and the options for detection, risk reduction, and abatement; encouraging the development of safer, more effective, and less costly methods for detection, risk reduction, and abatement; encouraging State and local governments to develop lead-based paint programs covering primary prevention, public education, certification of contractors, hazard reduction, financing, and enforcement.

Office of Community Planning and Development (14.218, 14.225, 14.228, 14.231, 14.235, 14.238-14.239, 14.241, 14.247-14.250, 14.252, 14.259, 14.261, 14.265-14.273, 14.275-14.276, 14.278)

The Assistant Secretary for Community Planning and Development administers the grant programs that help communities plan and finance their growth and development, increase their capacity to govern, and provide shelter and services for homeless people. In addition, the Assistant Secretary is responsible for: Community Development Block Grant (CDBG) Programs for Entitlement Communities, States and HUD-administered Small Cities, Section 108 Community Development Loan Guarantees, and Special Purpose Grants for Insular Areas, Historically Black Colleges and Universities, and Technical Assistance; Home Investment in Affordable Housing (HOME) providing Federal assistance for use by participating jurisdictions or Indian tribes for housing rehabilitation, tenant-based assistance, assistance to first time home buyers and new construction when a jurisdiction is determined to need new

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rental housing; the Departments programs to address homelessness, including the Supportive Housing Program (Transitional Housing and Permanent Housing components), Shelter Plus Case, Surplus Property for Use to Assist the Homeless, Section 8 Moderate Rehabilitation Single Room Occupancy (SRO) Program, Housing Opportunities for Persons with AIDS, and Emergency Shelter Grants; the Youthbuild Program to provide opportunities and assistance to very low-income young adults who have dropped out of high school; Consolidated Plans; and Empowerment Zones and Enterprise Communities.

Office of Fair Housing and Equal Opportunity (14.400-14.401, 14.408, 14.416-14.418)

The Assistant Secretary for Fair Housing and Equal Opportunity (FHEO) supervises HUD's housing and community development activities to promote fair housing and equal opportunity for all, regardless of race, religion, sex, or national origin. He or she also promotes equal opportunity for disabled people and families with children. FHEO administers: Fair Housing laws and regulations; and the Fair Housing Assistance Program that provides financial and technical assistance to State and local government agencies to implement local fair housing laws and ordinances.

Office of Healthy Homes and Lead Hazard Control (14.900, 14.902, 14.905-14.906)

The Office is responsible for: Increasing awareness of the public and building industry of the dangers of lead-based paint poisoning and the options for detection, risk reduction, and abatement; encouraging the development of safer, more effective, and less costly methods for detection, risk reduction, and abatement; encouraging State and local governments to develop lead-based paint programs covering primary prevention, public education, certification of contractors, hazard reduction, financing, and enforcement.

Office of Policy Development and Research (14.008, 14.506, 14.516, 14.523-14.525, 14.529, 14.534-14.536)

The Assistant Secretary for Policy Development and Research (PD&R) supervises the Department's research activities and the development of its policies and is responsible for experimental housing and technical studies. PD&R develops a research agenda to reflect the overall policy needs of the Department; performs background analyses, studies, and priority assessments concerning housing and community development issues; provides economic analyses and recommendations, performs housing and financial market research, and designs and monitors several housing related data series; evaluates existing and new HUD programs to determine whether these programs are reaching their intended beneficiaries and providing the intended results, whether program costs are reasonable, and whether programs are having any unintended effects; analyzes the adequacy of existing and proposed program information systems and implement improvements or create systems to support new programs; evaluate new housing and construction materials and techniques and develop ways to encourage use of new technology; supports the Secretary in carrying out his regulatory oversight of the Federal National Mortgage Association and the Federal Home Loan Mortgage Corporation and prepares annual reports to the Congress; manages research contracts, cooperative agreements, and grants; and administers the Office of University Partnerships and oversees grants awarded for general research and technology, the Community Development Work Study Program, Hispanic-Serving Institutions Work Study Program, and the Community Outreach Programs.

Office of Public and Indian Housing (14.850, 14.856, 14.862, 14.865, 14.867, 14.869-14.874, 14.879, 14.881, 14.888-14.889, 14.891-14.896, 14.898)

The Assistant Secretary for Public and Indian Housing (PIH) directs the Department's low-income public housing program. This program provides funds

for the development, operation, and modernization of public housing, including such housing for American Indians. It also promotes resident management and ownership of public housing. PIH administers Public and Indian Housing Programs, including rental and home-ownership programs and provides technical and financial assistance in planning, developing, and managing low-income projects; provides operating subsidies for Public Housing Agencies (PHAs) and Indian Housing Authorities (IHAs), including procedures for the review of the management of PHAs and IHAs; administers the Capital Fund Program for the modernization of low-income housing projects to upgrade living conditions, correct physical deficiencies, and achieve operating efficiency and economy; administers the Resident Initiatives Program for resident participation, resident management; home-ownership, economic development and supportive services, and drug-free neighborhood programs; implements and monitors program requirements related to program eligibility and admission of families to public and assisted housing, tenant income and rent requirements pertaining to continued occupancy; administers the HOPE VI and Vacancy Reductions Programs; administers the Section 8 Housing Choice Vouchers Program and the Moderate Rehabilitation Program; coordinates all departmental housing and community development programs for Indian and Alaska Natives; and awards grants to PHAs and IHAs for the construction, acquisition and operations of Public and Indian Housing Projects.

DEPARTMENT OF THE INTERIOR (15.001-15.999)

The U.S. Department of the Interior uses sound science to manage and sustain Americas lands, water, wildlife, and energy resources, honors our nations responsibilities to tribal nations, and advocates for Americas island communities. As keepers our nations legacy, we manage the resources in our care to benefit Americans now and in the future. Under the leadership of Secretary Ken Salazar, our department and its employees are developing and implementing the cutting-edge science and expert management techniques that make this possible.

Bureau of Indian Affairs (15.020-15.022, 15.024-15.025, 15.029-15.038, 15.040-15.041, 15.048, 15.051-15.053, 15.057, 15.060-15.063, 15.065-15.067, 15.108, 15.113, 15.124, 15.133, 15.141, 15.144, 15.146-15.148, 15.156, 15.159-15.160)

Administers Federal Indian policy and discharges the Federal trust responsibility for American Indian tribes and Alaska Natives. Provides services directly, or through self-determination contract, grant and compact agreements with tribes and tribal organizations, to over 1.2 million American Indians and Alaska Natives to strengthen tribal governments, enhance the quality of life, promote economic opportunity, and protect and improve trust assets. The Bureau administers more than 43 million acres of tribally-owned land, over 10 million acres of individually-owned land held in trust status, and 417,000 acres of Federally-owned land.

Bureau of Indian Education (15.026-15.028, 15.042-15.044, 15.046-15.047, 15.058-15.059, 15.114, 15.130, 15.149-15.151)

As stated in Title 25 CFR Part 32.3, BIEs mission is to provide quality education opportunities from early childhood through life in accordance with a tribes needs for cultural and economic well-being, in keeping with the wide diversity of Indian tribes and Alaska Native villages as distinct cultural and governmental entities. Further, the BIE is to manifest consideration of the whole person by taking into account the spiritual, mental, physical, and cultural aspects of the individual within his or her family and tribal or village context.

Bureau of Land Management (15.214, 15.222, 15.224-15.225, 15.228-15.243)

Has responsibility for the total management of 341 million acres of public lands

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located primarily in the Far West and Alaska and scattered parcels located in other States. In addition to minerals management responsibilities on the public lands and the Outer Continental Shelf, the Bureau is responsible for subsurface resource management of an additional 169 million acres where mineral rights have been reserved to the Federal Government. Resources managed by the Bureau include timber, minerals, oil and gas, geothermal energy, wildlife habitat, endangered plant and animal species, rangeland vegetation, recreation and cultural values, wild and scenic rivers, designated conservation and wilderness areas, and open space; provides for the protection (including fire suppression), orderly development, and use of public lands and resources under principles of multiple use and sustained yield. Land use plans are developed with public involvement to provide orderly use and development while maintaining and enhancing the quality of the environment; manages watersheds to protect soil and enhance water quality; makes land available through sale to individuals, organizations, local governments, and other Federal agencies when such transfer is in the public interest. Lands may be leased to State and local government agencies and to nonprofit organizations for certain purposes; the Bureau issues rights-of-way, in certain instances, for crossing Federal lands under other agencies' jurisdictions; is responsible for the survey of Federal lands and establishes and maintains public land records and records of mining claims; and also administers a program of payments in lieu of taxes based on the amount of Federally-owned land in counties and other units of local government.

Bureau of Ocean Energy Management (15.408, 15.421-15.424, 15.428, 15.443-15.444)

On October 1, 2011, the new Bureau of Ocean Energy Management (BOEM) was created. BOEM is responsible for managing development of the nations offshore resources in an environmentally and economically responsible way. Functions include: Leasing, Plan Administration, Environmental Studies, National Environmental Policy Act (NEPA) Analysis, Resource Evaluation, Economic Analysis and the Renewable Energy Program.

Bureau of Reclamation (15.504, 15.506-15.512, 15.514, 15.516-15.522, 15.524-15.527, 15.529-15.534, 15.537-15.546, 15.548-15.562, 15.564, 15.566-15.567)

The Bureau of Reclamation manages, develops, and protects water and related resources in an environmentally and economically sound manner in the interest of the American public. The agency is the nation's second largest wholesale water supplier, administering 348 reservoirs with 245 million acre-feet of water. One out of five western farmers uses Reclamation water to irrigate 10 million acres of land, producing 60 percent of the nation's vegetables and 25 percent of its fruits and nuts. The agency also serves as the fifth largest electric utility in the 17 States west of the Mississippi River. Reclamation encourages and supports water conservation and environmental restoration through partnerships, incentive programs, and challenge grants. It also supports efforts to meet increasing water demands through water reclamation, recycling, and reuse.

Bureau of Safety and Environmental Enforcement (15.441-15.442)

On October 1, 2011, the new Bureau of Safety and Environmental Enforcement (BSEE) was created to enforce safety and environmental regulations. Functions include: All field operations including Permitting and Research, Inspections, Offshore Regulatory Programs, Oil Spill Response, and newly formed Training and Environmental Compliance functions.

Fish and Wildlife Service (15.605, 15.608, 15.611, 15.614-15.616, 15.619-15.623, 15.625-15.626, 15.628-15.631,

15.633-15.637, 15.639-15.645, 15.647-15.674, 15.676-15.681)

The Office of the Assistant Secretary for Fish and Wildlife and Parks has responsibility for programs associated with the use, management and conservation of natural resources, lands and cultural facilities associated with the National Park and National Refuge Systems, and the conservation and enhancement of fish, wildlife, vegetation, and habitat. The Office represents the Department in the coordination of marine ecosystems and biological resources programs with other Federal agencies. It also exercises secretarial direction and supervision over the United States Fish and Wildlife Service and the National Park Service.

National Park Service (15.406-15.407, 15.904, 15.912, 15.914-15.916, 15.918, 15.921-15.923, 15.925-15.931, 15.933, 15.935, 15.937-15.947, 15.949, 15.954-15.958, 15.961-15.962)

Administers for the American people an extensive system of national parks, monuments, historic sites, and recreation areas. The objectives of the National Park Service are to administer the properties under its jurisdiction for the enjoyment and education of our citizens, to protect the natural environment of the areas, and to assist States, local governments, and citizen groups in the development of park areas, the protection of the natural environment, and the preservation of historic properties.

Office of Surface Mining (15.250, 15.252-15.255)

Creation of a nationwide program that protects society and the environment from the adverse effects of coal mining operations, while ensuring an adequate supply of coal to meet the Nation's energy needs. Major objectives of the Office include establishment of minimum national standards for regulating the surface effects of coal mining, assistance to the States in developing and implementing regulatory programs, and promotion of the reclamation of previously mined areas.

Office of the Secretary (15.152-15.153, 15.155, 15.158, 15.226, 15.427, 15.429-15.440, 15.535)

No Description Provided

U.S. Geological Survey (15.805, 15.807-15.812, 15.814-15.815, 15.817-15.820, 15.978-15.981)

Perform surveys, investigations, and research covering topography, geology, biology, hydrology, and the mineral and water resources of the United States; classify lands as to their mineral and water resources; and publish and disseminate data relative to the foregoing activities.

DEPARTMENT OF JUSTICE (16.001-16.999)

Is responsible for enhancing the capacity and capability of State and local jurisdictions to prepare for and respond to incidents of domestic terrorism involving chemical and biological agents, radiological and explosive devices, and other weapons of mass destruction (WMD). It awards grants for equipment and provides training and technical assistance for State and local first responders. Provides policy coordination and general management authority over the Office of Justice Programs, which includes the Bureau of Justice Assistance, the Bureau of Justice Statistics, the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, and the Office for Victims of Crime. While each OJP Bureau and Office retains independent authority in awarding funds to carry out its programs, together these components function as a single agency whose goal is to promote innovative programs and to foster improvements in the Nation's criminal and juvenile justice systems.

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Bureau of Justice Assistance (16.015, 16.571, 16.578, 16.585, 16.593, 16.596, 16.606-16.610, 16.614-16.616, 16.735, 16.738, 16.740, 16.745-16.746, 16.751-16.754, 16.812, 16.815-16.817, 16.822, 16.824-16.825, 16.827-16.828, 16.833, 16.835, 16.837-16.838)

Administers programs designed to assist State and local governments with drug, crime, and violence control and prevention efforts, as well as criminal justice system improvements. The Edward Byrne Memorial State and Local Law Enforcement Assistance Program, which was established by the Anti-Drug Abuse Act of 1986, provides assistance to States to subgrant funds to locals in 26 broad purpose areas, as well as limited discretionary grant funds. The Public Safety Officers' Benefits Programs, the Regional Information Sharing Systems, the State Criminal Alien Assistance Program, and the Local Law Enforcement Block Grants Program are also part of BJA's portfolio. Through its programs, BJA serves as a partner with State and local criminal justice systems. Program innovations of State and locals from across the country are tested, translated into implementation strategies, and demonstrated. Training and technical assistance on program development, implementation, evaluation, and site specific problems are available to State and local agencies. Currently, BJA is providing broad assistance in the areas of community justice and strategic planning.

Bureau of Justice Statistics (16.550, 16.554, 16.734, 16.739, 16.813)

The primary source for justice statistics in the United States. BJS collects, analyzes, publishes, and disseminates information on crime, criminal offenders, victims of crime, and the operation of justice systems at all levels of government. BJS maintains more than two dozen major data collection series and publishes a wide variety of reports annually which receive nationwide distribution. Core statistical efforts include annual data on criminal victimization, populations under correctional supervision, federal criminal offenders, federal case processing, and criminal Justice expenditures and employment. Periodic data series are undertaken to provide statistical information on felony convictions, state court case processing, the composition and characteristics of correctional populations, prosecutorial practices and policies, and the administration of law enforcement agencies and correctional facilities. BJS conducts special studies and analyses on policy relevant issues and emerging areas of interest. In addition, BJS provides financial and technical support to State and local governments in developing capabilities in criminal justice statistics and improving their criminal history records and information systems.

Drug Enforcement Administration (16.001, 16.003-16.004)

Enforces the controlled substances laws and regulations, and brings to the criminal and civil justice system of the United States or any other competent jurisdiction, those organizations, and principal members of organizations involved in the cultivation, manufacture, or distribution of controlled substances appearing in or destined for the illicit traffic in the United States. DEA's mission requires it to provide a leadership role in narcotic and dangerous drug suppression programs at the national and international level.

Federal Bureau of Investigation (16.300-16.305, 16.307-16.309)

Investigates all violation of Federal laws with the exception of those which have been assigned by legislative enactment or otherwise to some other Federal agency. The FBI's jurisdiction includes a wide range of responsibilities in the criminal, civil, and security fields. Among these are espionage, sabotage, and other domestic security matters; kidnapping; extortion; bank robbery; interstate transportation of stolen property; civil rights matters; interstate gambling violations; fraud against the Government; and assault or killing the President or a Federal officer. Cooperative services of the FBI for other duly authorized law

enforcement agencies include fingerprint identification, laboratory services, police training, the National Crime Information Center. Uniform crime reports, and National Instant Criminal Background Check System.

National Institute of Justice (16.560, 16.562, 16.566, 16.741-16.742, 16.820)

Supports basic and applied research into criminal justice issues. Innovative approaches to controlling criminal behavior and improving law enforcement and criminal justice are tested and evaluated. Research results are disseminated through a wide variety of mechanisms including the quarterly "NIJ Reports" and a Research in Brief series designed for policy makers and criminal justice professionals. The Institute maintains a national and international clearinghouse on criminal justice issues. Its services are available to policymakers, criminal justice professionals, and the general public.

Office for Victims of Crime (16.320-16.321, 16.575-16.576, 16.582-16.583, 16.826, 16.834)

The mission is to enhance the Nation's capacity to assist crime victims and to provide leadership in changing attitudes, policies, and practices to promote justice and healing for all victims of crime. OVC works with national, international, State, military, and tribal victim assistance and criminal justice agencies, as well as other professional organizations, to promote fundamental rights and comprehensive services for crime victims. OVC improves the criminal justice system response to victims of crime, including Native American crime victims, through the delivery of direct service and funding, training and technical assistance, and through monitoring the implementation of statutes providing victims rights and assistance.

Office of Community Oriented Policing Services (16.710)

The Office seeks to expand the number of police officers and improve cooperative efforts between law enforcement agencies and members of the community to enhance public safety. The agency provides grants which fund new officers' salaries, training in community policing and problem-solving, and other innovative programs designed to bring law enforcement agencies into partnership with members of the community to address local crime problems.

Office of Juvenile Justice and Delinquency Prevention (16.123, 16.523, 16.540-16.541, 16.543-16.544, 16.548, 16.726-16.727, 16.730-16.731, 16.737, 16.756-16.758, 16.818-16.819, 16.821, 16.823, 16.830-16.832, 16.836)

Federal leadership in responding to the problems confronting the Nation's juvenile justice system is vested in the Office of Juvenile Justice and Delinquency Prevention (OJJDP). Established in 1974 by the Juvenile Justice and Delinquency Prevention Act, OJJDP is the Federal agency responsible for providing a comprehensive, coordinated approach to preventing and controlling juvenile crime and improving the juvenile justice system. OJJDP administers State Formula grants, State Challenge Grants, and the Title V Community Prevention Grants in States and territories; funds gang and mentoring programs under Parts D and G of the Act; funds numerous projects through its Special Emphasis Discretionary Grant Program and its National Institute for Juvenile Justice and Delinquency Prevention; and coordinates Federal activities related to juvenile justice and delinquency prevention. OJJDP also serves as the staff agency for the Coordinating Council on the Juvenile Justice and Delinquency Prevention, coordinates the concentration of the Federal Efforts Program, and administers both the Title IV Missing and Exploited Children's Program and programs under the Victims of Child Abuse Act of 1990.

Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking (16.203, 16.750)

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The SMART Office was authorized in the Adam Walsh Child Protection and Safety Act of 2006, which was signed into law on July 27, 2006. The responsibilities of the SMART Office include providing jurisdictions with guidance regarding the implementation of the Adam Walsh Act, and providing technical assistance to the states, territories, Indian tribes, local governments, and to public and private organizations. The SMART Office also tracks important legislative and legal developments related to sex offenders and administers grant programs related to the registration, notification, and management of sex offenders.

Violence Against Women Office (16.016-16.017, 16.019, 16.021, 16.023-16.029, 16.524-16.526, 16.528-16.529, 16.556-16.557, 16.587-16.590, 16.736, 16.888-16.889)

The mission is to restructure and strengthen the criminal justice system's response to crimes of violence committed against women, in particular, domestic violence, sexual assault, and stalking, and enhance the services available to victims of such violence through the award of formula and discretionary grants to States, units of local government, Indian tribal governments, and other public and private entities in rural States.

DEPARTMENT OF LABOR (17.001-17.999)

The Office of the Assistant Secretary for Veterans' Employment and Training (VETS) is responsible for the administration, formulating and implementation of policy and procedures affecting veterans as well as veteran's employment and training programs nationwide. VETS serves as a national leader on Veterans policy and procedure to the Department of Labor (DOL) and other Federal agencies. VETS fulfills a wide range of obligations prescribed by the Secretary of Labor regarding the employment and training needs of service-connected disabled veterans, Vietnam-era veterans, and veterans recently separated from military service. The VETS staff works closely with and provides technical assistance to State Employment Security Agencies and Job Training Partnership Act grant recipients to ensure that veterans are provided the priority services required by law. They also coordinate with employers, labor unions, veterans' service organizations, and community organizations through planned public information and outreach activities. Federal contractors are provided management assistance in complying with their veterans affirmative action and reporting obligations. Also administered by the Assistant Secretary through the Service is the Job Training Partnership Act, Title IV, Part C grant program designed to meet the employment and training needs of service-connected disabled veterans, Vietnam-era veterans, and veterans recently separated from military service. Job Training Partnership Act grants are awarded and monitored through the Services' national office and field staff. The Assistant Secretary also administers through the Service the Homeless Veterans Reintegration project grant program to provide employment, training, housing assistance, and supportive services to help homeless veterans reenter mainstream society. Homeless Veterans Reintegration Project grants are awarded and monitored through the Services' national office and field staff. Certain other Service staff also administer the veterans Uniformed Services Employment and Reemployment Rights Act program. They provide assistance to help restore job, seniority, and pension rights to veterans following absences from work for active military service and to protect employment and retention rights of members of the Reserve or National Guard. Other staff provide assistance to preference eligible veterans to ensure that they are not denied their veterans' preference benefits (preference in Federal government hiring and preference retention in reduction in force (RIF), under the Veterans Employment Opportunities Act (VEOA)). The Office of Disability Employment Policy (ODEP) provides national leadership on disability employment policy to the Department of Labor (DOL) and other Federal agencies. ODEP was established to bring a heightened, permanent focus to address the significant employment obstacles faced by individuals with disabilities and to ensure coordination among Federal agencies on matters related to or affecting people with disabilities. ODEP's research

provides new knowledge used to develop evidence-based disability employment policies and practices for dissemination to workforce systems and partners. ODEP works to achieve its mission through collaborating on inter- and intra-agency initiatives, commissions, councils, and workgroups; building partnerships with Federal, state, local, and non-governmental stakeholders; reviewing Federal legislation and policies; designing and conducting research studies; highlighting and promoting policies and practices that increase the employment of people with disabilities; and implementing education and outreach initiatives. ODEP's stakeholders include Federal, state, and local government agencies, private and public employers and their employees, educational and training institutions, individuals with disabilities and their families, and the disability community.

Bureau of International Labor Affairs (17.401)

No Description Provided

Bureau of Labor Statistics (17.002-17.005)

The Bureau of Labor Statistics (BLS) is the principal fact-finding agency for the Federal Government in the broad field of labor economics and statistics. The BLS is an independent national statistical agency that collects, processes, analyzes, and disseminates essential statistical data to the American public, the U.S. Congress, other Federal agencies, State and local governments, business, and labor. The BLS also serves as a statistical resource to the Department of Labor. BLS data must satisfy a number of criteria, including relevance to current social and economic issues, timeliness in reflecting today's rapidly changing economic conditions, accuracy and consistently high statistical quality, and impartiality in both subject matter and presentation.

Departmental Management (17.791)

No Description Provided

Employee Benefits Security Administration (17.150)

The Employee Retirement Income Security Act of 1974 (ERISA), (88 Stat. 829; 29 U.S.C. 1001 note), requires administrators of private pension and welfare plans to provide plan participants with easily understandable summaries of plans; to file those summaries in the Office of Pension and Welfare Benefit Programs; and to report annually on the financial operation of the plans and bonding of persons charged with handling plan funds and assets. Plan Administrators must also meet strict fiduciary responsibility standards which are enforced by the Office of Pension and Welfare Benefit Programs. Vesting, participation, and funding standards are, for the most part, administered by the Internal Revenue Service.

Employment Training Administration (17.201, 17.207, 17.225, 17.235, 17.245, 17.258-17.259, 17.261, 17.264-17.265, 17.267-17.268, 17.270-17.274, 17.276-17.278, 17.280-17.283, 17.285)

The Employment and Training Administration (ETA) administers and oversees a number of programs designed to assist unemployed, unskilled, dislocated workers and the economically disadvantaged get the job training and other services needed to become fully productive members of society, while improving the skills and productivity of those already employed. ETA fulfills a wide range of responsibilities assigned to the Secretary of Labor relating to employment services, job training, and unemployment insurance. ETA funds training programs which enable workers to attain the skills needed for employment; administers a Federal-State employment service system which helps people find jobs and employers find workers. It also offers wage replacement programs for workers who lose their jobs through no fault of their

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own, such as the Unemployment Insurance (UI) and the Trade Adjustment Assistance (TAA) programs. Special efforts are made to address the unique job market problems of groups having difficulty entering or returning to the work force, such as older workers, school dropouts, displaced homemakers and individuals with disabilities. ETA also is responsible for promoting apprenticeship standards and programs and conducting programs of research, development and evaluation. The Job Training Partnership Act, enacted in 1982, is designed to provide training and related education and employment services to economically disadvantaged adults and youth to ensure that they have the required marketable skills leading to productive, unsubsidized employment. JTPA also provides reemployment and retraining services for workers dislocated through plant closings or mass layoffs. Block grants are provided to the States and U.S. territories for the operation of the program. JTPA provides for a summer youth employment and training program and Job Corps as well as special activities which offer basic skills training, job training and support services for special targeted groups such as Native Americans, and seasonal and migrant farmworkers. Implementing regulations for JTPA issued by the Department of Labor are contained in Title 20 of the Code of Federal Regulations, Parts 626-638 and 675-684. Effective July 1, 2000, JTPA is repealed and replaced by the Workforce Investment Act (WIA). WIA establishes, with States and local communities, a revitalized workforce investment system that provides workers with the information, advice, job search assistance, and training they need to get and keep good jobs, and provides employers with skilled workers. WIA is administered through State and local Workforce Investment Boards and required partnerships of local One-Stop Career Centers. Funds are used for youth, adult and dislocated worker employment and training activities. The Act also authorizes a number of national programs and the Job Corps. The U.S. Employment Service (ES) seeks to match workers looking for employment with employers seeking workers, and is operated by the States. Programs such as Alien Labor Certification are also administered under the ES by State ES agencies through reimbursement agreements with the Department of Labor. The Senior Community Service Employment Program (SCSEP), or Older Worker Program is authorized by the Older Americans Act of 1965. It provides subsidized part-time community service work for unemployed low-income people ages 55 and over. The Assistant Secretary for Employment and Training is responsible for ensuring that programs funded through ETA are free from unlawful discrimination, fraud, and abuse. It is the policy of ETA to ensure full compliance with constitutional, statutory, and regulatory provisions and to promote equal opportunity, affirmative action, and fund integrity in programs to which ETA extends financial assistance.

Mine Safety and Health Administration (17.600-17.604)

Develops and promulgates mandatory safety and health standards, ensures compliance with such standards, assesses civil penalties for violations, investigates accidents, cooperates with and provides assistance to the States in the development of effective State mine safety and health programs, improves and expands training programs in cooperation with the States and the mining industry, and, in coordination with the Department of Health and Human Services contributes to the improvement and expansion of mine health research and development. All of these activities are aimed at preventing and reducing mine accidents and occupational diseases in the mining industry.

Occupational Safety and Health Administration (17.502-17.504)

Develops and promulgates occupational safety and health standards; develops and issues regulations; conducts investigations and inspections to determine the status of compliance with safety and health standards and regulations; and issues citations and proposes penalties for noncompliance with safety and health standards and regulations.

Office of Disability Employment Policy (17.720)

The Office of Disability Employment Policy (ODEP) provides national disability employment policy leadership by developing and influencing the implementation of disability employment policies and practices affecting the employment of people with disabilities. ODEPs response to low employment rates among such a large group of Americans is unique, comprehensive, and aggressive, and includes the active involvement and cooperation of Federal, State, and local public and private entities, including employers. ODEP also leads efforts to achieve the employment related goals of the Presidents New Freedom Initiative. ODEP addresses the significant barriers to employment faced by individuals with disabilities by developing and disseminating national, State, and local disability employment policy; by fostering implementation of innovative strategies and practices among employers and throughout the various systems serving people with disabilities; by conducting disability-related research to build knowledge to inform policy development; and by providing technical assistance to service delivery systems and employers to increase employment opportunities and the recruitment, retention, and promotion of people with disabilities.

Office of Labor-Management Standards (17.309)

The Office of Labor-Management Standards conducts criminal and civil investigations to safeguard the financial integrity of unions and to ensure union democracy, and conducts investigate audits of labor unions to uncover and remedy criminal and civil violations of the Labor-Management Reporting and Disclosure Act and related studies.

Office of Workers' Compensation Programs (17.302, 17.307, 17.310)

The Office of Workers' Compensation Programs administers four major disability compensation programs which provide wage replacement benefits, medical treatment, vocational rehabilitation and other benefits to certain workers or their dependents who experience work-related injury or occupational disease.

Office of the Secretary, Women's Bureau (17.700)

Formulates standards and policies that promote the welfare of wage earning women, improve their working conditions, increase their efficiency, advance their opportunities for profitable employment, and investigate and report on all matters pertinent to the welfare of women in industry. <104Office of Disability Employment Policy (17.720) Established to bring a heightened and permanent long-term focus to the goal of increasing employment of persons with disabilities. This is achieved through policy analysis, technical assistance, development of best practices, and outreach to persons with disabilities and employers. The Office develops and implements innovative pilot programs that integrate both youth and adults with significant disabilities into mainstream workforce programs.

Veterans' Employment and Training Service (17.801, 17.804-17.805, 17.807)

The Veterans Employment and Training Service (VETS) is responsible for administering veterans employment and training programs and activities to ensure that legislative and regulatory mandates are accomplished. The field staff works closely with and provides technical assistance to State employment security agencies and to Workforce Investment Act and Homeless Veterans Reintegration Programs grant recipients to ensure that veterans are provided the priority services required by law. They also coordinate with employers, labor unions, veterans service organizations, and community organizations through planned public information and outreach activities. VETS provides training to separating service members through its transition assistance program. Federal contractors are provided management assistance in complying with their

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veterans affirmative action and reporting obligations. VETS protects the employment and reemployment rights of service members and veterans by investigating complaints received under the Uniformed Services Employment and Reemployment Rights Act concerning denial of veterans preference in Federal hiring and also complaints concerning job, seniority, and pension rights to veterans following absences from work for active military service. VETS also protects employment and reemployment rights of members of the Reserve and National Guard.

DEPARTMENT OF STATE (19.001-19.999)

Advance freedom for the benefit of the American people and the international community by helping to build and sustain a more democratic, secure, and prosperous world composed of well-governed states that respond to the needs of their people, reduce widespread poverty, and act responsibly within the international system

Bureau of African Affairs (19.979)

No Description Provided

Bureau of Conflict and Stabilization Operations (19.121)

The Bureau of Conflict and Stabilization Operations (CSO) strengthens U.S. national security by breaking cycles of violent conflict and mitigating crisis in priority countries. Guided by local dynamics, CSO acts quickly to devise sustainable solutions to address the full spectrum of conflict, from prevention to crisis response to stabilization.

Bureau of Counterterrorism (19.701)

No Description Provided

Bureau of Democracy, Human Rights and Labor (19.345)

The Bureau of Democracy, Human Rights and Labor (DRL) leads the U.S. efforts to promote democracy, protect human rights and international religious freedom, and advance labor rights globally. The mission of DRL is to Work to strengthen or defend the three core pillars of an effective democracy: (1) unequivocal protection of universal rights and freedom, including free and fair elections and freedom of speech, assembly, press, religion, and internationally recognized worker rights; (2) good governance, where transparent and accountable institutions operate under the rule of law; and (3) a robust, active civil society with an independent media that can keep government true and honest, keep citizens engaged, and keep reforms on track.

Bureau of Educational and Cultural Affairs (19.009-19.012, 19.015, 19.022, 19.025, 19.400-19.402, 19.408, 19.415, 19.421, 19.432, 19.450-19.452)

Conducts a wide variety of communication activities, from academic and cultural exchanges to press, radio, television, film, seminar, library, and cultural center programs, abroad in order to strengthen foreign understanding of American society, obtain greater support of U.S. policies, and increase understanding between the United States and other countries.

Bureau of European and Eurasian Affairs (19.878)

No Description Provided

Bureau of Intelligence and Research (19.300)

The Bureau of Intelligence and Research coordinates programs of intelligence, research, and analysis for the Department and for other Federal agencies, and produces intelligence studies and current intelligence analyses essential to foreign policy determination and execution. In addition, the Bureau, through its Office of Research, maintains liaison with cultural and educational institutions and with other Federal agencies on a wide range of matters relating to Government contractual and private foreign affairs research.

Bureau of International Information Programs (19.440)

No Description Provided

Bureau of International Security and Nonproliferation (19.901)

No Description Provided

Bureau of Near Eastern Affairs (19.016, 19.021, 19.221-19.222, 19.500, 19.600-19.601)

No Description Provided

Bureau of Oceans and International Environmental and Scientific Affairs (19.017)

The Bureau of Oceans and International Environmental and Scientific Affairs (OES) serves as the foreign policy focal point for international oceans, environmental, and scientific efforts. OES projects, protects, and promotes U.S. global interests in these areas by articulating U.S. foreign policy, encouraging international cooperation, and negotiating treaties and other instruments of international law. The Bureau serves as the principal adviser to the Secretary of State on international environment, science, and technology matters and takes the lead in coordinating and brokering diverse interests in the interagency process, where the development of international policies or the negotiation and implementation of relevant international agreements are concerned. The Bureau seeks to promote the peaceful exploitation of outer space, develop and coordinate policy on international health issues, encourage government to government scientific cooperation, and prevent the destruction and degradation of the planets natural resources and the global environment.

Bureau of Population, Refugees, and Migration (19.018, 19.510-19.511, 19.515, 19.517-19.520, 19.522)

The Bureau of Population, Refugees, and Migration directs the Departments population, refugee, and migration policy development. It administers U.S. contributions to international organizations for humanitarian assistance- and protection-related programs on behalf of refugees, conflict victims, and internally displaced persons and provides U.S. contributions to nongovernmental organizations which provide assistance and protection to refugees abroad. The Bureau oversees the annual admissions of refugees to the United States for permanent resettlement, working closely with the Department of Homeland Security, the Department of Health and Human Services, and various State and private voluntary agencies. It coordinates U.S. international population policy and promotes its goals through bilateral and multilateral cooperation. It works closely with the U.S. Agency for International Development, which administers U.S. international population programs. The Bureau also coordinates the Departments international migration policy through bilateral and multilateral diplomacy. The Bureau oversees efforts to encourage greater participation in humanitarian assistance and refugee resettlement on the part of foreign governments and uses humanitarian diplomacy to increase access and assistance to those in need in the absence of political solutions.

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Diplomatic Security (19.031)

No Description Provided

International Narcotics and Law Enforcement Affairs (19.703-19.705)

No Description Provided

Office of Overseas Schools (19.023)

No Description Provided

Office of the Coordinator for Cyber Issues (19.035)

No Description Provided

Office of the Coordinator of U.S. Assistance to Europe and Eurasia (19.900)

No Description Provided

Office of the Secretary of State (19.301)

No Description Provided

Office to Monitor and Combat Trafficking in Persons (19.019)

The Office to Monitor and Combat Trafficking in Persons is on a mission to eradicate modern-day slavery, and, on this Web site, we invite you to learn about our approach of victim protection, prosecution of traffickers and prevention of trafficking. You can find information about programs the U.S. funds to help victims and improve law enforcement efforts worldwide, learn what you can do, and, of course, read our annual report on the actions countries are taking to combat trafficking in persons. This work, and cooperation around the world, is vital.

Political Military Affairs/ Weapons Removal and Abatement (19.800)

No Description Provided

Secretary's Office of Global Women's Issues (19.801)

No Description Provided

Under Secretary for Public Diplomacy and Public Affairs (19.040)

No Description Provided

DEPARTMENT OF TRANSPORTATION (20.001-20.999)

Under the authority of the motor carrier safety provisions of Title 49 of the United States Code, the agency exercises Federal regulatory jurisdiction over the safety performance of all commercial motor carriers engaged in interstate commerce. It deals with more than 500,000 carriers, approximately 13,000 passenger carriers and 42,000 hazardous material carriers. The primary mission of the Agency is to improve the safety of commercial vehicle operations on our nation's highways. To accomplish this mission the FMCSA focuses its efforts on reducing the number and severity of large truck-involved crashes through safety regulation and research, safety in commercial operations through training and enforcement, improvements to the commercial driver's license program, and

enhancing highway and transportation systems infrastructure. To accomplish these activities, the FMCSA works closely in partnership with other Federal and State agencies, private organizations and individuals. The FMCSA works with various governmental agencies, the commercial motor vehicle industry and other interested groups to promote truck and bus safety by addressing vehicle safety issues such as the mechanical condition of trucks and buses, infrastructure improvements including high profile grade crossings, and commercial operations such as commercial driver license and hours- of-service regulations.

Federal Aviation Administration (FAA) (20.106, 20.108-20.109)

Regulates air commerce in a manner that promotes its development and safety and fulfills the requirements of national defense; controls the use of navigable airspace of the United States and regulates both civil and military operations in such airspace in the interest of safety and efficiency; promotes, encourages, and develops civil aeronautics; consolidates research and development with respect to air navigation facilities; installs and operates air navigation facilities; develops and operates a common system of air traffic control and navigation for both civil and military aircraft; and develops and implements, programs and regulations to control aircraft noise, sonic boom, and other environmental effects of civil aviation.

Federal Highway Administration (FHWA) (20.200, 20.205, 20.215, 20.219, 20.223-20.224, 20.240)

Coordinates highways with other modes of transportation to achieve the most effective balance of transportation systems and facilities under cohesive Federal transportation policies pursuant to the Act. FHWA is concerned with the total operation and environment of highway systems, including highway safety. In administering its highway transportation programs, it gives full consideration to the impacts of highway development and travel; transportation needs; engineering and safety aspects; social, economic, and environmental effects; and project costs. It ensures balanced treatment of these factors by utilizing a systematic, interdisciplinary approach in providing for safe and efficient highway transportation.

Federal Motor Carrier Safety Administration (FMCSA) (20.218, 20.231-20.235, 20.237)

Under the authority of the motor carrier safety provisions of Title 49 of the United States Code, the agency exercises Federal regulatory jurisdiction over the safety performance of all commercial motor carriers engaged in interstate commerce. It deals with more than 500,000 carriers, approximately 13,000 passenger carriers and 42,000 hazardous material carriers. The primary mission of the Agency is to improve the safety of commercial vehicle operations on our nation's highways. To accomplish this mission the FMCSA focuses its efforts on reducing the number and severity of large truck-involved crashes through safety regulation and research, safety in commercial operations through training and enforcement, improvements to the commercial driver's license program, and enhancing highway and transportation systems infrastructure. To accomplish these activities the FMCSA works closely in partnership with other Federal and State agencies, private organizations and individuals. The FMCSA works with various governmental agencies, the commercial motor vehicle industry and other interested groups to promote truck and bus safety by addressing vehicle safety issues such as the mechanical condition of trucks and buses, infrastructure improvements including high profile grade crossings, and commercial operations such as commercial driver license and hours- of-service regulations.

Federal Railroad Administration (FRA) (20.301, 20.313-20.321, 20.323-20.324, 20.326)

Promulgates and enforces rail safety regulations, administer railroad financial

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assistance programs, conduct research and development in support of improved railroad safety and national rail transportation policy, provide for the rehabilitation of Northeast Corridor rail passenger service, and consolidate government support of rail transportation activities. **Railroad Safety:** The Administration administers and enforces the Federal laws and related regulations designed to promote safety on railroads; exercises jurisdiction over all areas of rail safety under the Rail Safety Act of 1970, such as track maintenance, inspection standards, equipment standards, and operating practices. It also administers and enforces regulations resulting from railroad safety legislation for locomotives, signals, safety appliances, power brakes, hours of service, transportation of explosives and other dangerous articles, and reporting and investigation of railroad accidents. Railroad and related industry equipment, facilities, and records are inspected and required reports reviewed. **Research and Development:** A ground transportation research and development program is administered to advance all aspects of intercity ground transportation and railroad safety pertaining to the physical sciences and engineering, in order to improve railroad safety and ensure that railroads continue to be a viable national transportation resource. **Transportation Test Center:** This 50-square-mile facility, located near Pueblo, CO, provides testing for advanced and conventional systems and techniques designed to improve ground transportation. The facility has been managed and staffed for the Administration by the Association of American Railroads since October 1, 1982. The United States and Canadian Governments and private industry use this facility to explore, under controlled conditions, the operation of both conventional and advanced systems. It is used by the Urban Mass Transportation Administration for testing of urban rapid transit vehicles. **Policy:** Program management for new and revised policies, plans and projects related to railroad transportation economics, finance, system planning, and operations is provided; appropriate studies and analyses are performed; relevant tests, demonstrations, and evaluations are conducted; and labor/management programs are evaluated. Analyses of issues before regulatory agencies are carried out and recommendations are made to the Secretary as to the positions to be taken by DOT. **Passenger and Freight Services:** The Administration administers a program of Federal assistance for national, regional, and local rail services. Programs include rail freight service assistance programs; rail service continuation programs and State rail planning; and rail passenger service on a national, regional, and local basis. The agency also administers programs to develop, implement, and administer rail system policies, plans and programs for the Northeast Corridor in support of applicable provisions of the Railroad Revitalization and Regulatory Reform Act of 1976 (45 U.S.C. 501), and related legislation.

Federal Transit Administration (FTA) (20.500, 20.505, 20.507, 20.509, 20.513-20.514, 20.516, 20.518-20.531)

Assists in the development of improved mass transportation facilities, equipment, techniques, and methods, with the cooperation of mass transportation companies both public and private; encourages the planning and establishment of areawide urban mass transportation systems needed for economical and desirable urban development, with the cooperation of mass transportation companies both public and private; and provides assistance to State and local governments and their instrumentalities in financing such systems, to be operated by public or private mass transportation companies as determined by local needs.

Maritime Administration (MARAD) (20.802-20.803, 20.806-20.808, 20.812-20.814, 20.816-20.822)

Administers programs to aid in the development, promotion, and operation of the U.S. Merchant Marine; organizes and directs emergency merchant ship operations; administers subsidy programs through the Maritime Subsidy Board, under which the Federal government, subject to statutory limitations, pays the difference between certain costs of operating ships under the U.S. flag and foreign competitive flags on essential services, and the difference between the costs of constructing ships in U.S. and foreign shipyards; provides financing

guarantees for the construction, reconstruction, and reconditioning of ships; and enters into capital construction fund agreements which grant tax deferrals on moneys to be used for the acquisition, construction, or reconstruction of ships; constructs or supervises the construction of merchant-type ships for the Federal government; it helps industry generate increased business for U.S. ships and conducts programs to develop ports, facilities, and intermodal transport, and to promote domestic shipping. Administers a War Risk Insurance program insuring operators and seamen against losses caused by hostile action if domestic commercial insurance is not available; Under emergency conditions, charters Government-owned ships to U.S. operators, requisitions or procures ships owned by U.S. citizens, and allocates them to meet defense needs. It maintains a National Defense Reserve Fleet of Government-owned ships that it operates through general agents when required in national defense interests. An element of this activity is the Ready Reserve Force consisting of a number of ships available for quick-response activation; regulates sales to aliens and transfers to foreign registry of ships that are fully or partially owned by U.S. citizens; also disposes of Government-owned ships found nonessential for national defense; operates the U.S. Merchant Marine Academy, Kings Point, NY, where young people are trained to become merchant marine officers, and conducts training in shipboard firefighting at Earle, NJ, and Toledo, OH. It also administers a Federal assistance program for the maritime academies operated by California, Maine, Massachusetts, Michigan, New York, and Texas.

National Highway Traffic Safety Administration (NHTSA) (20.600-20.602, 20.607-20.614, 20.616)

Carries out programs relating to the safety performance of motor vehicles and related equipment, motor vehicle drivers and pedestrians and a uniform nationwide speed limit under the National Traffic and Motor Vehicle Safety Act of 1966 (80 Stat. 718), as amended. Under the authority of the Motor Vehicle Information and Cost Saving Act (86 Stat. 947), as amended, the Administration carries out programs and studies aimed at reducing economic losses in motor vehicle crashes and repairs, through general motor vehicle programs; administers the Federal odometer law; and promulgates average fuel economy standards for passenger and nonpassenger motor vehicles. Under the authority of the Clean Air amendments of 1970 (84 Stat. 1700), the Administration certifies as to the consistency of Environmental Protection Agency State grants with any highway safety program developed pursuant to section 402 of Title 23 of the United States Code. The National Highway Traffic Safety Administration was established to carry out a congressional mandate to reduce the mounting number of deaths, injuries, and economic losses resulting from traffic accidents on the Nation's highways and to provide motor vehicle damage susceptibility and ease of repair information, motor vehicle inspection demonstrations, and protection of purchasers of motor vehicles having altered odometers, and to provide average standards for greater vehicle mileage per gallon of fuel for vehicle under 10,000 pounds (gross vehicle weight).

Office of the Secretary (OST) Administration Secretariate (20.701, 20.761-20.762, 20.901, 20.904-20.905, 20.910, 20.930-20.934)

Develops and evaluates public policy related to the transportation industries and their economic regulation; assures that the Department's regulatory programs remain consistent with established policy and maintains oversight of all departmental safety regulatory actions; proposes and coordinates on transportation-related legislation involving the private sector; provides analyses of current and emerging transportation policy issues to assess their economic and institutional implication, particularly with regard to Federal assistance, public trust funds, user charges, nondiscrimination of the handicapped in the provision of public transportation services, and energy and environmental aspects; undertakes studies and analyses to aid in the resolution of safety problems; develops policies to support the Department in aviation and maritime multilateral and bilateral negotiations with foreign governments and participates on the U.S. negotiating delegations; develops policies on a wide range of

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international transportation and trade matters; furnishes guidance to the United States Trade Representative's Trade Policy Committee in efforts to improve the U.S. balance of payments; coordinates efforts to combat transport-related terrorist acts and drug smuggling; arranges and coordinates cooperative agreements with foreign governments for the exchange of state-of-the-art scientific and technical information; provides assistance to the Agency for International Development's transportation programs in developing countries; and participates on the U.S.-Saudi Arabian Joint Commission for Economic Cooperation. The Assistant Secretary also: develops, coordinates, and carries out U.S. Government policy relating to the economic regulation of the airline industry, including licensing of U.S. and foreign carriers to serve in international air transportation and carrier fitness determinations; processes and resolves complaints concerning unfair competitive practices in international fares, rates, and tariff filings; establishes international and intra-Alaska mail rates; and determines the disposition of requests for approval and immunization from the antitrust laws of international aviation agreements. The Assistant Secretary also administers the essential air service program, which involves: establishing appropriate subsidy levels for subsidized carriers; processing applications to terminate, suspend, or reduce air service below the defined essential level; determining which carrier among various applicants should be selected to provide subsidized service; and continuously reviewing essential air service definitions for each community.

Pipeline and Hazardous Materials Safety Administration (20.700, 20.703, 20.710, 20.720-20.721, 20.723-20.725)

Responsible for a number of programs involving safety regulation, emergency preparedness, and research and development. Emphasis is given to hazardous material transportation and pipeline safety, transportation emergency preparedness, and safety training.

DEPARTMENT OF THE TREASURY (21.001-21.999)

The Department of the Treasury's mission highlights its role as the steward of U.S. economic and financial systems, and as an influential participant in the global economy. The Treasury Department is the executive agency responsible for promoting economic prosperity and ensuring the financial security of the United States. The Department is responsible for a wide range of activities such as advising the President on economic and financial issues, encouraging sustainable economic growth, and fostering improved governance in financial institutions. The Department of the Treasury operates and maintains systems that are critical to the nation's financial infrastructure, such as the production of coin and currency, the disbursement of payments to the American public, revenue collection, and the borrowing of funds necessary to run the federal government. The Department works with other federal agencies, foreign governments, and international financial institutions to encourage global economic growth, raise standards of living, and to the extent possible, predict and prevent economic and financial crises. The Treasury Department also performs a critical and far-reaching role in enhancing national security by implementing economic sanctions against foreign threats to the U.S., identifying and targeting the financial support networks of national security threats, and improving the safeguards of our financial systems.

Community Development Financial Institutions Fund (21.011-21.012, 21.014, 21.020-21.021)

Through monetary awards and the allocation of tax credits, the CDFI Fund helps promote access to capital and local economic growth in urban and rural low-income communities across the nation. Through its various programs, the CDFI Fund enables locally based organizations to further goals such as: economic development (job creation, business development, and commercial real estate development); affordable housing (housing development and

homeownership); and community development financial services (provision of basic banking services to underserved communities and financial literacy training).

Department of the Treasury (21.016)

No Description Provided

Internal Revenue Service (IRS) (21.006, 21.008)

Administers and enforces the Internal Revenue laws and related statutes, except those relating to alcohol, tobacco, firearms, and explosives. The IRS mission is to encourage and achieve the highest possible degree of voluntary compliance with the tax laws and regulations and to conduct itself so as to warrant the highest degree of public confidence in the integrity and efficiency of the Service. Accomplishment of this mission involves advising the public of its rights and responsibilities; communicating requirements of the law to the public; assisting taxpayers in complying with the laws and regulations, and taking those enforcement actions necessary for fair, effective, and impartial tax administration. Basic IRS activities include ensuring satisfactory resolution of taxpayer complaints, providing taxpayer service and education; determination, assessment, and collection of internal revenue taxes; determination of pension plan qualifications and exempt organization status; and preparation and issuance of rulings and regulations to supplement the provisions of the Internal Revenue Code.

APPALACHIAN REGIONAL COMMISSION (23.001-23.999)

The Appalachian Regional Commission is a Federal-State governmental agency concerned with the economic, physical, and social development of the 13-State Appalachian region, which includes parts of Alabama, Georgia, Kentucky, Maryland, Mississippi, New York, North Carolina, Ohio, Pennsylvania, South Carolina, Tennessee, Virginia, and all of West Virginia. The comprehensive goals of the Commission are to provide the people of Appalachia with the health and skills they need to compete for opportunities and to develop a self-sustaining economy and environment capable of supporting a population with rising incomes and standards of living and increasing employment opportunities. To accomplish this task, the Commission has concentrated on areas of development in which there remain great needs throughout the region: community development and housing, education, the environment, health and child development, industrial development and management, tourism, and transportation.

OFFICE OF PERSONNEL MANAGEMENT (27.001-27.999)

Administers a merit system for Federal employment, which includes recruiting, examining, training, and promoting people on the basis of their knowledge and skills, regardless of their race, religion, sex, political influence, or other nonmerit factors. OPM's role is to ensure that the Federal government provides an array of personnel services to applicants and employees. Through a range of programs designed to develop and encourage the effectiveness of the Government employee, OPM supports Government program managers in their personnel management responsibilities and provides benefits to employees and to retired employees and their survivors.

U.S. COMMISSION ON CIVIL RIGHTS (29.001-29.999)

Collects and studies information on discrimination or denials of equal protection of the laws because of race, color, religion, sex, age, disability or, national

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origin, or in the administration of justice in such areas as voting rights, enforcement of Federal civil rights laws, and equality of opportunity in education, employment, and housing.

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION (30.001-30.999)

Eliminates discrimination based on race, color, religion, sex, national origin, age or disability in hiring, promotion, firing, wages, testing, training, apprenticeship, and all other conditions of employment. The Commission also promotes voluntary action programs by employers, unions, and community organizations to make equal employment opportunity an actuality. EEOC also has oversight responsibility for all compliance and enforcement activities relating to equal employment opportunity among Federal employees and applicants, including discrimination against individuals with disabilities.

EXPORT - IMPORT BANK OF THE UNITED STATES (31.001-31.999)

The Export-Import Bank of the United States (Ex-Im Bank) is the official export credit agency of the United States. Ex-Im Bank's mission is to assist in financing the export of U.S. goods and services to international markets. Ex-Im Bank enables U.S. companies large and small to turn export opportunities into real sales that help to maintain and create U.S. jobs and contribute to a stronger national economy. Ex-Im Bank does not compete with private sector lenders but provides export financing products that fill gaps in trade financing. We assume credit and country risks that the private sector is unable or unwilling to accept. We also help to level the playing field for U.S. exporters by matching the financing that other governments provide to their exporters. Ex-Im Bank provides working capital guarantees (pre-export financing); export credit insurance; and loan guarantees and direct loans (buyer financing). No transaction is too large or too small. On average, 85% of our transactions directly benefit U.S. small businesses. With more than 70 years of experience, Ex-Im Bank has supported more than \$400 billion of U.S. exports, primarily to developing markets worldwide.

FEDERAL COMMUNICATIONS COMMISSION (32.001-32.999)

Regulates interstate and foreign communications by radio, television, wire, satellite, and cable. It is responsible for the orderly development and operation of broadcast services and the provision of rapid, efficient nationwide and worldwide telephone and telegraph services at reasonable rates. Its responsibilities also include the use of communications for promoting safety of life and property and for strengthening the national defense.

FEDERAL MARITIME COMMISSION (33.001-33.999)

Regulates the waterborne foreign and domestic offshore commerce of the United States, assures that United States international trade is open to all nations on fair and equitable terms, and protects against unauthorized, concerted activity in the waterborne commerce of the United States. This is accomplished through maintaining surveillance over steamship conferences and common carriers by water; assuring that only the rates on file with the Commission are charged; conducting analysis and appropriate disposition of agreements between persons subject to the Shipping Act of 1984 and the Shipping Act, 1916; guaranteeing equal treatment to shippers, carriers, and other persons subject to the shipping statutes; and ensuring that adequate levels of financial responsibility are maintained for indemnification of passengers.

FEDERAL MEDIATION AND CONCILIATION SERVICE (34.001-34.999)

Assists labor and management in resolving disputes bargaining contract negotiation through voluntary mediation and arbitration services; provides training to unions and management in cooperative processes to improve long-term relationship under the Labor-Management Cooperation Act of 1978, including Federal sector partnership training authorized by Executive Order 12871; provides alternative dispute resolution services and training to government agencies, including the facilitation of regulatory negotiations under Administrative Dispute Resolution and Negotiated Rulemaking Acts of 1990; awards competitive grants to joint labor-management committees to encourage innovative approaches to cooperative efforts.

FEDERAL TRADE COMMISSION (36.001-36.999)

Maintains competitive enterprise as the keystone of the American economic system. Although the duties of the Commission are many and varied, the foundation of public policy underlying all these duties is essentially the same: to prevent the free enterprise system from being fettered by monopoly or restraints on trade or corrupted by unfair or deceptive trade practices. In brief, the Commission is charged with keeping competition both free and fair.

GENERAL SERVICES ADMINISTRATION (39.001-39.999)

Establishes policy and provides for the Government an economical and efficient system for the management of its property including construction and operation of buildings, procurement and distribution of supplies, utilization and disposal of property, transportation, and travel management, and management of Government-wide information technology solutions and network services. GSA is organized much like a large corporation doing business in a number of different fields. It consists of operating services and supporting staff offices, with functions carried out at three levels of organization: The Central office, regional offices, and field activities. (See Appendix IV of the Catalog for a listing of these offices.) Various publications and catalogs published by GSA include: 1) The Catalog of Federal Domestic Assistance published jointly by GSA and the Office of Management and Budget; and 2) the Consumer Information Catalog which lists selected Federal publications of interest to consumers. GSA also administers the Federal Information Centers (FCIC) which are focal points for Information about the Federal government's services, programs, and regulations.

GOVERNMENT PUBLISHING OFFICE (40.001-40.999)

Executes orders for printing and binding placed by Congress and the departments and establishments of the Federal government. It furnishes blank paper, inks, and similar supplies to all governmental activities on order. It prepares catalogs and distributes and sells Government publications. GPO invites bids from commercial suppliers on a wide variety of printing and binding services, awards and administers contracts, and maintains liaison between ordering agencies and contractors. GPO sells through mail orders and Government bookstores approximately 10,000 publications that originate in various Government agencies, and administers the depository library program through which selected Government publications are made available in libraries throughout the country.

LIBRARY OF CONGRESS (42.001-42.999)

Under the organic law, the Library's first responsibility is service to Congress. One department, the Congressional Research Service, functions exclusively for

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the legislative branch of the Government. As the Library has developed, its range of service has come to include the entire governmental establishment in all its branches and the public at large, so that it has become a national library for the United States. The Library's extensive collections are universal in scope. They include books and pamphlets on every subject and in a multitude of languages. Among them are the most comprehensive collections of Chinese, Japanese, and Russian language books outside Asia and the Soviet Union; volumes relating to science and legal materials, outstanding for American and foreign law; the world's largest collection of published aeronautical literature; and the most extensive collection in the western hemisphere of books printed before 1501 A.D. The manuscript collections relate to manifold aspects of American history and civilization and include the personal papers of most of the Presidents from George Washington through Calvin Coolidge. The music collections contain volumes and pieces (manuscript and published) from classic works to the newest popular compositions. Other materials available for research include maps and views; photographic records from the daguerreotype to the latest news photo; recordings, including folksongs and other music, speeches, and poetry readings; prints, drawings, and posters; government documents, newspapers, and periodicals from all over the world; and motion pictures, microforms, and audio and video tapes. Admission to the various research facilities of the Library is free. No introduction or credentials are required for persons over high school age who wish to read in the general reading rooms; however, certain collections, like those of the Manuscript, Rare Book and Special Collections, Motion Picture, Broadcasting, and Recorded Sound Divisions, can be used only by those with a serious purpose for doing so. The Library is also responsible for copyrights, which are registered by the Copyright Office. All copyrightable works, whether published or unpublished, are subject to a system of statutory protection that gives the copyright owner certain exclusive rights, including the right to reproduce the copyrighted work and distribute it to the public by sale, rental, lease, or lending. Works of authorship include books, periodicals, and other literary works, musical compositions, song lyrics, dramas and dramatic musical compositions, pictorial, graphic, and sculptural works, pantomimes and choreographic works, motion pictures and other audiovisual works, and sound recordings. The Library extends its service through an interlibrary loan system; the photoduplication, at reasonable cost, subject to conditions of law, copyright, and deposit of books, manuscripts, maps, and newspapers, and prints in its collections; the sale of sound recordings, which are released by its Recording Laboratory; the exchange of duplicates with other institutions; the sale of printed catalog cards and magnetic tapes, and the publication in book format or microform of cumulative catalogs, which make available the results of the expert bibliographical and cataloging work of its technical personnel; a centralized acquisitions program whereby the Library of Congress acquires material published all over the world, catalogs it promptly, and distributes cataloging information in machine readable form as well as other means to the Nation's libraries; a cooperative catalog program, whereby the cataloging of data by name authority and bibliographic records, prepared by other libraries becomes part of the Library of Congress data base and distribute them through the MARC Distribution Service; a cataloging-in-publication program in cooperation with American publishers for printing and cataloging information in current books; the National Serials Data Program, a national center that maintains a record of serial titles to which International Standard Serial Numbers have been assigned and serves, with this file, as the United States Register; and the development of general schemes of classification (Library of Congress and Dewey Decimal), subject headings, and cataloging, embracing the entire field of printed matter. The American Folklife Center in the Library of Congress, has a coordinative function both in and outside the Federal establishment to carry out appropriate programs to support, preserve, and present American folklife through such activities as the collection and maintenance of archives, scholarly research, field projects, performances, exhibitions, festivals, workshops, publications, and audiovisual presentations. The Center for the Book was established in the Library of Congress to provide a program for investigating the transmission of human knowledge and to heighten public interest in the role of books and printing in the diffusion of knowledge. Drawing on the resources of the Library of Congress, the Center works closely with other

organizations to explore important issues in the book and educational communities, to encourage reading, and to encourage research about books and about reading. Its goal is to serve as a useful catalyst by bringing together authors, publishers, librarians, booksellers, educators, scholars, and readers to discuss common concerns and work toward the solution of common problems. The Library provides technical information related to the preservation and restoration of library and archival material. A series of leaflets on various preservation and conservation topics has been prepared by the Preservation Office. Information and publications are available from the National Preservation Program Office, Library of Congress. A free national library program of braille and recorded materials for blind and physically handicapped persons is administered by the National Library Service for the Blind and Physically Handicapped (NLS), Library of Congress. With the cooperation of authors and publishers who grant permission to use copyrighted works, NLS selects and produces full-length books and magazines in braille on recorded disc and cassette. Reading material are distributed to a cooperating network of regional and subregional (local) libraries where they are circulated to eligible borrowers. Reading material and playback machines are sent to borrowers and returned to libraries by postage-free mail. Established by an act of Congress in 1931 to serve blind adults, the program was expanded in 1952 to include children, in 1962 to provide music materials, and again in 1966 to include individuals with other physical impairments that prevent the reading of standard print. Information and publications are available from the National Library Service for the Blind and Physically Handicapped, Library of Congress, Washington, DC 20542.

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION (43.001-43.999)

Conducts research to solve problems of flight within and outside Earth atmosphere. Develops, constructs, tests, and operates aeronautical and space vehicles. Conducts activities required for the exploration of space with manned and unmanned vehicles; arranges for the most effective utilization of the scientific and engineering resources of the United States with other nations engaged in aeronautical and space activities for peaceful purposes.

NATIONAL CREDIT UNION ADMINISTRATION (44.001-44.999)

The National Credit Union Administration Board is responsible for chartering, insuring, supervising, and examining Federal credit unions and administering the National Credit Union Share Insurance Fund. The Board also manages the Central Liquidity Facility, a mixed-ownership Government corporation whose purpose is to supply emergency loans to member credit unions.

FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES (45.200-45.299)

Coordinates the activities of the two Endowments and related programs of other Federal agencies.

INSTITUTE OF MUSEUM AND LIBRARY SERVICES (45.300-45.399)

IMLS Vision - A democratic society where communities and individuals thrive with broad public access to knowledge, cultural heritage, and lifelong learning. IMLS Mission - The mission of IMLS is to inspire libraries and museums to advance innovation, lifelong learning, and cultural and civic engagement. We provide leadership through research, policy development, and grant making. IMLS Strategic Goals - 1. IMLS places the learner at the center and supports engaging experiences in libraries and museums that prepare people to be full

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participants in their local communities and our global society. 2. IMLS promotes museums and libraries as strong community anchors that enhance civic engagement, cultural opportunities, and economic vitality. 3. IMLS supports exemplary stewardship of museum and library collections and promotes the use of technology to facilitate discovery of knowledge and cultural heritage. 4. IMLS advises the President and Congress on plans, policies, and activities to sustain and increase public access to information and ideas. 5. IMLS achieves excellence in public management and performs as a model organization through strategic alignment of IMLS resources and prioritization of programmatic activities, maximizing value for the American public. Fast Facts - There are 17,500 museums and 123,000 libraries in the U.S.. IMLS supports the full range of libraries, including public, academic, research, special and tribal, and the full range of museums including art, history, science and technology, childrens museums, historical societies, tribal museums, planetariums, botanic gardens and zoos. 169 million people in the U.S. over the age of 14 (69% of the population) are library users. 148 million people in the U.S. over the age of 18 visit a museum annually.

NATIONAL ENDOWMENT FOR THE ARTS (45.01-45.99)

The National Endowment for the Arts is a public agency dedicated to supporting excellence in the arts, both new and established bringing the arts to all Americans, and providing leadership in arts education. The National Endowment for the Arts is the largest annual funder of the arts in the United States. An independent federal agency, the National Endowment for the Arts is the official arts organization of the United States government. The National Endowment for the Arts awards more than \$100 million annually investing in every state which in turn generates more than \$700 million in additional support. The Arts Endowment has played a transformative and sustaining role in the development of regional theater, opera, dance, orchestras, museums, and other arts both contemporary and traditional that Americans now enjoy.

NATIONAL ENDOWMENT FOR THE HUMANITIES (45.100-45.199)

Promotes and supports the production and dissemination of knowledge in the humanities. The term "humanities" includes, but is not limited to, the study of the following: language, both modern and classical; linguistics; literature; history; jurisprudence; philosophy; archaeology; comparative religion; ethics; the history, criticism, and theory of the arts; and those aspects of the social sciences that employ historical or philosophical approaches. The Endowment makes grants to individuals, groups, or institutions (schools, colleges, universities, museums, public television stations, libraries, public agencies, and nonprofit private groups) to increase understanding and appreciation of the humanities.

PEACE CORPS (45.400-45.499)

The mission of the Peace Corps is to promote world peace and friendship by placing Peace Corps Volunteers (PCVs) in over 70 countries to address and assist with community-based needs in the areas of education, community development, health, business, information technology, agriculture and the environment. From AIDS education to emerging technologies to environmental preservation to new market economies, Peace Corps Volunteers have helped people build better lives for themselves. Their work in villages, towns, and cities around the globe represents a legacy of service that has become a significant part of Americas history and positive image abroad.

The Peace Corps' mission has three simple goals: 1. Helping the people of interested countries in meeting their need for trained men and women. 2. Helping promote a better understanding of Americans on the part of the peoples

served. 3. Helping promote a better understanding of other peoples on the part of Americans.

NATIONAL LABOR RELATIONS BOARD (46.001-46.999)

Administers the Nation's principal law, the National Labor Relations Act. The NLRB is vested with the power to prevent or remedy unfair labor practices and to safeguard employees' rights to organize and determine through elections whether to have unions as their bargaining representatives.

NATIONAL SCIENCE FOUNDATION (47.001-47.999)

Promotes the progress of science and engineering through the support of research and education programs. Its major emphasis is on high quality, science-driven basic research, the search for improved understanding of the fundamental laws of nature upon which our future well-being as a Nation depends. The National Science Foundation also supports applied research in several areas. Its educational programs are aimed at ensuring increasing understanding of science and engineering at all educational levels and at training an adequate supply of scientists and engineers to meet our country's needs.

RAILROAD RETIREMENT BOARD (57.001-57.999)

Administers comprehensive retirement-survivor and unemployment-sickness benefit programs for the Nation's railroad workers and their families, under the Railroad Retirement and Railroad Unemployment Insurance Acts. The Board participates in the administration of the Social Security Act and the Health Insurance for the Aged Act insofar as they affect railroad retirement beneficiaries.

SECURITIES AND EXCHANGE COMMISSION (58.001-58.999)

Administers Federal securities laws that seek to provide for investors; to ensure that securities markets are fair and honest; and, when necessary, to provide the means to enforce securities laws through sanctions.

SMALL BUSINESS ADMINISTRATION (59.001-59.999)

Aids, counsels, assists, and protects the interests of small business; ensures that small business concerns receive a fair proportion of Government purchases, contracts, and subcontracts, as well as of the sales of Government property; makes loans to small business concerns, State and local development companies, and the victims of floods or other catastrophes, or certain types of economic injury; and licenses, regulates, and makes loans to small business investment companies.

DEPARTMENT OF VETERANS AFFAIRS (64.001-64.999)

Administers the National Cemetery Administration, which provides cemeterial services to veterans and other eligibles as prescribed by the Secretary of Veterans Affairs pursuant to the provisions of the National Cemeteries Act of 1973 and other statutory authorities and regulations. These services also include providing headstones and markers for the graves of eligibles in national and State veterans cemeteries and for veterans interred in private cemeteries. Monetary aid to States for establishment, expansion, and improvement of veterans' cemeteries is also available.

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VA Health Administration Center (64.054)

Provides hospital, nursing home and domiciliary care, and outpatient medical and dental care to eligible veterans of military service in the Armed Forces. Dependents of certain veterans are provided medical care supplied by non-VA institutions and physicians. The Department conducts both individual medical and health-care delivery research projects and multi-hospital research programs. It assists in the education of physicians and dentists and with training of many other health care professionals through affiliations with educational institutions and organizations.

Veterans Benefits Administration (64.030-64.032, 64.101, 64.104-64.106, 64.110, 64.124-64.125, 64.128)

Conducts an integrated program of veterans benefits: The Compensation and Pension (C & P) Service has responsibility for: claims for disability compensation, pension, and Spina Bifida monthly allowance under 38 U.S.C. 1805; automobile allowances and special adaptive equipment; claims for specially adapted housing; special clothing allowances; emergency officer's retirement pay; eligibility determinations based on military service for other VA benefits and services or those of other Government agencies; survivor's claims for death compensation, dependency and indemnity compensation, death pension, burial and plot allowance claims; claims for accrued benefits; forfeiture determinations; claims for adjusted compensation in death cases; and claims for reimbursement for headstones or markers. The Education Service has responsibility for: readjustment education benefits for post-Vietnam era veterans and recently-discharged veterans, as well as educational assistance for spouses, surviving spouses, and children of veterans who are permanently and totally disabled or die from disability incurred or aggravated in active service in the Armed forces, or are currently prisoners of war or missing in action. In addition, certain members of the selected Reserve or National Guard are also eligible for education benefits administered by the Service. Vocational Rehabilitation Service has responsibility for the rehabilitation of disabled veterans. Information, advice, and assistance are provided to veterans, their dependents and beneficiaries, representatives and others in applying for benefits administered by the Department of Veterans Affairs. Benefit information and readjustment assistance is provided to recently separated veterans. C&P also maintains a benefits protection program (fiduciary activities) for minors and incompetent veterans and other incompetent adult beneficiaries and provide field-investigative services. Special restorative training is also available to eligible children. The mission is also to provide credit assistance whereby the housing credit needs of eligible veterans and active duty service personnel may be satisfied by private capital on more liberal terms than generally available to non-veterans. Assistance is provided chiefly through substituting the Government's guaranty on loans made by private lenders in lieu of the downpayments, shorter terms, and other requirements generally required in conventional home mortgage transactions. Direct loans are made to Native Americans on trust land or to supplement a grant to get a specially adapted home for certain eligible veterans who have a permanent and total service-connected disability(ies). In addition, a system of direct financial grants is operated to help certain permanently disabled veterans to acquire specially adapted housing. VA life insurance operations are for the benefit of service members, veterans, and their beneficiaries. In addition, the VA is responsible for: supervision of the Servicemen's Group Life Insurance (SGLI) and Veterans Group Life Insurance (VGLI) Programs for today's service members and veterans. The Veterans' Insurance Act of 1974 (88 Stat. 165; 38 U.S.C. 765-779), effective May 24, 1974, has substantially amended the law provided for the conversion of SGLI to a 5-year nonrenewable term policy known as Veterans Group Life Insurance (VGLI). At the termination of the 5-year term period, it may be converted to an individual policy with any one of the many participating commercial insurance companies. Supervision of the Veterans Mortgage Life Insurance Program for those disabled veterans who receive a VA grant for specially adapted housing - under the terms of contractual agreement with a Primary Insurer. VA guarantees the protection of commercial life insurance (up to \$10,000) against premium payments for persons while in military or naval service, and 2 years thereafter.

ENVIRONMENTAL PROTECTION AGENCY (66.001-66.999)

The mission of EPA is to protect human health and the environment. EPA's purpose is to ensure that: all Americans are protected from significant risks to human health and the environment where they live, learn and work; national efforts to reduce environmental risk are based on the best available scientific information; federal laws protecting human health and the environment are enforced fairly and effectively; environmental protection is an integral consideration in U.S. policies concerning natural resources, human health, economic growth, energy, transportation, agriculture, industry, and international trade, and these factors are similarly considered in establishing environmental policy; all parts of society -- communities, individuals, businesses, and state, local and tribal governments -- have access to accurate information sufficient to effectively participate in managing human health and environmental risks; environmental protection contributes to making our communities and ecosystems diverse, sustainable and economically productive; and the United States plays a leadership role in working with other nations to protect the global environment.

Office of Administration and Resources Management (66.508, 66.518)

Provides for support grants as an alternative grant delivery mechanism to allow a State or local agency responsible for continuing pollution control programs to develop an integrated approach to pollution control.

Office of Air and Radiation (66.001, 66.032-66.034, 66.037-66.042)

Develops national programs, technical policies, and regulations for air pollution control; establishes national standards for ambient air quality, and emission standards for stationary sources: mobile sources and fuels; monitors acid deposition; environmental radiation, and other pollutants; provides technical, training, and financial support to states, tribes, and local governments. Also, develops national partnership programs and policies to address climate change and indoor pollution, and demonstrates new low emission vehicle technology.

Office of Chemical Safety and Pollution Prevention (66.612, 66.707-66.708, 66.716-66.717)

The Office of Chemical Safety and Pollution Prevention is the new agency name for the Office of Prevention, Pesticides, and Toxic Substances. The Office of the Assistant Administrator for Pesticides and Toxic Substances has responsibility for Agency strategies for implementation and integration of the pollution prevention, pesticides and toxic substances programs and developing and operating Agency programs and policies for assessment and control of pesticides and toxic substances as well as recommending policies and developing operating programs for implementing the Pollution Prevention Act. It is responsible for developing recommendations for Agency priorities for research, monitoring regulatory and information gathering activities relating to implementing the Pollution Prevention Act, pesticides and toxic substances; and monitoring and assessing pollution prevention, pesticides and toxic substances program operations in EPA Headquarters and Regional Offices.

Office of Enforcement and Compliance Assurance (66.305, 66.309-66.310, 66.313, 66.604, 66.700-66.701)

Develops national strategies for the control of toxic substances; directing the pesticides and toxic substances enforcement activities; developing criteria for assessing chemical substances, standards for test protocols for chemicals, rules and procedures for industry reporting and regulations for the control of substances deemed to be hazardous to man or the environment; and evaluating

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and assessing the impact of existing chemicals, new chemicals, and chemicals with new uses to determine the hazard and, if needed, develop appropriate restrictions. Additional activities include control and regulation of pesticides and reduction in their use to ensure human safety and protection of environmental quality; establishment of tolerance levels for pesticides that occur in or on food; monitoring of pesticide residue levels in food, humans, and nontarget fish and wildlife and their environments; and investigation of pesticide accidents. It also coordinates activities under its statutory responsibilities with other agencies for assessment and control of toxic substances and pesticides.

Office of Environmental Information (66.608)

The Office of Environmental Information (OEI) is headed by the EPA's Chief Information Officer and is responsible for managing the life cycle of information to support EPA's mission of protecting human health and the environment. OEI works to identify and implement innovative information technology and information management solutions that strengthen EPA's ability to achieve its mission. OEI does this by ensuring the quality of EPA's information, the efficiency and reliability of EPA's technology, data collection and exchange efforts, and access services.

Office of Environmental Justice (66.306)

Provides support for community-based projects, programs, and activities that seek to address local environmental justice and public health issues and enhance environmental justice/community-based environmental protection.

Office of International and Tribal Affairs (66.473, 66.926, 66.931)

EPA's Office of International and Tribal Affairs (OITA) plays a crucial role in advancing the United States' international environmental priorities. Working with the experts from EPA's other program and regional offices, other government agencies, and other nations and international organizations, OITA identifies international environmental issues and helps implement technical and policy options to address them.

OITA is comprised of a multi-disciplinary staff who respect and reflect the diversity of the global community. OITA's mission is to protect human health and environment while advancing U.S. national interests through international environmental collaboration.

Office of Research and Development (ORD) (66.509-66.511, 66.513-66.514, 66.516-66.517)

The Office of Research and Development is responsible for a national research program in pursuit of technological controls of all forms of pollution. It directly supervises the research activities of EPA's national laboratories and gives technical policy direction to those laboratories that support the program responsibilities of EPA's regional offices. Close coordination of the various research programs is designed to yield a synthesis of knowledge from the biological, physical, and social sciences that can be interpreted in terms of total human and environmental needs. General functions include management of selected demonstration programs, planning for Agency environmental quality monitoring programs, coordination of Agency monitoring efforts with those of other Federal agencies, the States, and other public bodies, and dissemination of Agency research, development, and demonstration results.

Office of Solid Waste and Emergency Response (66.801-66.802, 66.804-66.806, 66.808-66.809, 66.812-66.818)

Provides policy, guidance, and direction for EPA's hazardous waste and emergency response programs. The functions of these programs include development of policies, standards, and regulations for hazardous waste treatment, storage, and disposal; national management of the Superfund toxic

waste cleanup program; development of guidelines for the emergency preparedness and "Community Right To Know" programs; development of guidelines and standards for underground storage tanks; enforcement of applicable laws and regulations; analysis of technologies and methods for the recovery of useful energy from solid waste; and provision of technical assistance in the development, management, and operation of waste management activities.

Office of Water (66.203, 66.419, 66.424, 66.432-66.433, 66.436-66.437, 66.440, 66.454, 66.456, 66.458, 66.460-66.462, 66.466, 66.468-66.469, 66.472, 66.474-66.475, 66.481-66.483)

Develops national programs, technical policies, and regulations for water pollution control and water supply; ground water protection; marine and estuarine protection; enforcement of standards; water quality standards and effluent guidelines development; technical direction, support, and evaluation of regional water activities; development of programs for technical assistance and technology transfer; and provision of training in the field of water quality.

Office of the Administrator (66.605, 66.609-66.611, 66.950-66.951)

Provides for support grants as an alternative grant delivery mechanism to allow a State or local agency responsible for continuing pollution control programs to develop an integrated approach to pollution control.

Office of the Chief Financial Officer (66.202)

The Immediate Office of the Chief Financial Officer performs the following functions: Develops, manages, and supports a goal-based management system for the Agency that involves strategic planning and accountability for environmental, fiscal, and managerial results and manages the Agency-wide budget, resources management and financial management functions including program analysis and annual planning, budget formulation, preparation and execution; controls and systems for payroll and disbursements.

Region 1 (66.110)

No Description Provided

Region 4 (66.128)

No Description Provided

Region 6 (66.124-66.125)

EPA's Region 6 protects human health and the environment in New Mexico, Texas, Oklahoma, Arkansas, and Louisiana.

Region 10 (66.120-66.121)

EPA's Region 10 office serves Alaska, Idaho, Oregon, Washington, and 271 Native Tribes. Our Regional Administrator is appointed by the President of the United States to lead our staff of about 650 employees and oversee an annual budget of about \$500 million. Our main office is located in Seattle, Washington.

NATIONAL GALLERY OF ART (68.001-68.999)

The National Gallery's collections embrace every major school of western European art from the 13th century to the present and of American art from colonial days to the present. A professor-in-residence position is filled annually by a distinguished scholar in the field of art history; graduate and postgraduate research is conducted under a fellowship program; programs for children and the general public are conducted daily; and Extension Programs produces and

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distributes education resources for loan throughout the world; audiovisual materials include films, slide teaching programs, videocassettes, videodiscs, and CD-ROMS.

OVERSEAS PRIVATE INVESTMENT CORPORATION (70.001-70.999)

Offers United States investors assistance in finding investment opportunities, insurance, and loans and loan guaranties to help finance their projects in developing countries. It encourages investment projects that will help the social and economic development of these countries.

NUCLEAR REGULATORY COMMISSION (77.001-77.999)

Licenses and regulates the civilian uses of nuclear energy to protect the public health and safety and the environment. It does this by licensing persons and companies to build and operate nuclear reactors and other facilities and to own and use nuclear materials. The NRC makes rules and sets standards for these types of licenses. The NRC also carefully inspects the activities of the persons and companies licensed to ensure that they do not violate the safety rules of the Commission.

COMMODITY FUTURES TRADING COMMISSION (78.001-78.999)

Promotes healthy economic growth, protects the rights of customers, and ensures fairness and integrity in the marketplace through regulation of futures trading. To this end it also engages in the analysis of economic issues affected by or affecting futures trading.

DEPARTMENT OF ENERGY (81.001-81.999)

DOE is major government enterprise. If included among the Nation's Fortune 500 firms, it would rank in the top 50. Its \$16.5 billion appropriation comprises close to 3 percent of total Federal discretionary spending DOE Funds the largest environmental cleanup in history, and research and development that supports the Nation's defense and its energy and economic security. DOE employs over 11,000 Federal employees and about 108,000 contract employees. DOE owns and manages over 50 major installations located on 2.4 million acres in 35 States and is the fourth largest Federal landowner in the United States. DOE is an energy policy, supply, and technology enterprise. It invests in developing a secure, clean, and sustainable energy system. It helps the Nation meet its environmental challenges by administering the largest pollution prevention and efficiency program in the world, with partners from every sector of the economy. It enhances the Nation's energy security by increasing the diversity of energy, and fuel choices and sources; bringing renewable energy sources into the market, strengthening domestic production of oil and gas, maintaining the U.S. nuclear energy option, and increasing the efficiency with which we use energy and generate electricity. The Department also maintains the Strategic Petroleum Reserve and operates five Power Marketing Administrations that sell and distribute over \$3 billion of electric power generated at Federal hydroelectric plants. DOE is a national security enterprise. It is a key player in the Administration's furtherance of the Comprehensive Test Ban Treaty and its overall goal of reducing the global danger from nuclear weapons. It ensures the safety and reliability of the U.S. nuclear weapons stockpile without underground testing. At the same time, it manages and safely dismantles excess nuclear materials, and ensures the security of vital departmental nuclear assets. It provides policy and technical assistance to curb global proliferation of weapons of mass destruction, emphasizing U.S. nonproliferation, arms control, and nuclear safety objectives in the states of the former Soviet union and worldwide.

Further, it develops and ensures the safety and reliability of nuclear reactor plants to power U.S. Navy warships. DOE is an environmental remediation enterprise. It cleans up the 50-year environmental legacy left at the industrial complexes where nuclear weapons were designed and manufactured. It manages the problems associated with the large quantities of various types of radioactive wastes, surplus nuclear materials, and spent nuclear fuels that remain at the sites of the Nation's nuclear weapons facilities and at nuclear energy research and development sites. In addition, it must address the growing inventory of spent nuclear fuel from commercial nuclear reactors that is awaiting disposal. These wastes must be dealt with responsibly to ensure the safety and health of the public. DOE is a science and technology enterprise. At the center of all we do are our 27 laboratories, our additional scientific user facilities, and our researchers at the Nation's universities. These form the backbone of U.S. scientific leadership by conducting and facilitating breakthrough research in energy sciences and technology, high energy physics, global climate change, genomics, superconducting materials, accelerator technologies, environmental sciences, and super-computing in support of DOE's mission. The laboratories, described as the crown jewels of the Nation's science establishment, and the Department's funding of research at universities have resulted in 66 Nobel prize winners, including three in 1996. The Department is also an investor in the Nation's most precious resource - its youth - by supporting science and mathematics education in our schools through grants, educational programs, and fellowships. DOE is global enterprise. The outcome of our work is the technology that stimulates the private market for the expansion of clean energy to meet national and global energy requirements of almost 500 quadrillion Btu's by the year 2010 - a staggering 36 percent increase over 1995. Overseas energy market needs include coal, nuclear power, oil and gas exploration, energy efficiency, and renewable energy technologies that are available for export now or that will soon be available for the international marketplace. DOE supports the export of U.S. energy services and technologies by assisting the nations in Asia, South America, Eastern Europe, an Africa, and the states of the former Soviet Union in developing private markets for environmentally responsible, sustainable energy. These alliances support U.S. competitiveness in a global economy of growing energy infrastructure requirements and create jobs in the U.S. at all skill levels.

DEPARTMENT OF EDUCATION (84.001-84.999)

Administers programs designed to fund activities that assist students with limited English proficiency and minority languages populations by providing support for programs, activities, and management initiatives meeting the special educational needs of those populations. Provides national leadership in expanding fundamental knowledge and improving education. The Office is responsible for conducting and supporting education-related research activities; monitoring the state of education through the collection and analysis of statistical data; promoting the use and application of research and development to improve instructional practices in the classroom; and disseminating these findings to States and local education entities. The Assistant Secretary for Elementary and Secondary Education formulates policy for, directs, and coordinates the activities relating to preschool, elementary, and secondary education. Included are programs of grants to State educational agencies and local school districts, postsecondary schools, and nonprofit organizations for State and local reform, compensatory, migrant, and Indian education; drug-free schools; other school improvement programs; and impact aid. The Assistant Secretary for Postsecondary Education formulates policy, directs, and coordinates programs for assistance to postsecondary educational institutions and students pursuing a postsecondary education. Programs include assistance for the improvement and expansion of American educational resources for international studies and services, grants to improve instruction in crucial academic subjects, and construction assistance for academic facilities. The Office is headed by the Department's Chief Operating Officer and is the Federal Government's first performance based organization. The Office directs and coordinates activities that provide financial assistance through grants and work and loan programs to students pursuing a postsecondary education. Federal student financial aid

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programs include Stafford Loans, Parent Loans for Undergraduate Students (PLUS), Supplemental Loans to Students (SLS), Federal Insured Student Loans (FISL), consolidated loans, Perkins Loans, income contingent loans, Pell Grants, College Work-Study, Supplemental Educational Opportunity Grants (SEOG), and State Student Incentives Grants (SSIG). The Office provides leadership and direction for the Department in meeting its goals of excellence in credit management and debt collection through the collection of defaulted loans under the Guaranteed Student Loan Program and the Law Enforcement Education Program, and the collection of overpayments in the Pell Grant Program and Supplemental Educational Opportunities Program. The Assistant Secretary for Vocational and Adult Education administers programs of grants, contracts, and technical assistance for vocational and technical education, and for adult education and literacy. The Office is also responsible for coordinating these programs with other Education Department and Federal programs supporting services and research for adult education, literacy, and occupational training. The Secretary of Education advises the President on education plans, policies, and programs of the Federal government. The Secretary directs Department staff in carrying out the approved programs and activities of the Department and promotes general public understanding of the Department's goals, programs, and objectives. The Secretary also carries out certain Federal responsibilities for four federally aided corporations: The American Printing House of the Blind, Gallaudet University, Howard University, and the National Technical Institute for the Deaf. Provides leadership to ensure that people with disabilities have services, resources, and equal opportunities to learn, work, and live as fully integrated, contributing members of society. The Office coordinates the activities of the Office of Special Education Programs, which works to help States provide quality educational opportunities and early intervention services to help students with disabilities achieve their goals. Through the Rehabilitation Services Administration, the Office supports State vocational rehabilitation programs that give disabled people the education, job training, and job placement services they need to gain meaningful employment. Programs include support for training of teachers and other professional personnel; grants for research; financial aid to help States initiate, expand, and improve their resources; and media services and captioned films for the deaf. Each regional office serves as a center for the dissemination of information and provides technical assistance to State and local educational agencies and other institutions and individuals interested in Federal education activities. At present, offices are located in 10 cities: Atlanta, Boston, Chicago, Dallas, Denver, Kansas City, New York, Philadelphia, San Francisco, and Seattle.

Institute of Education Sciences (84.305, 84.324, 84.329, 84.372)

The Education Sciences Reform Act of 2002 established a new organization within the U.S. Department of Education, the Institute of Education Sciences. Our mission is to provide rigorous evidence on which to ground education practice and policy. By identifying what works, what doesn't, and why, we intend to improve the outcomes of education for all students, particularly those at risk of failure. The Institute funds hundreds of research studies on ways to improve academic achievement, conducts large-scale evaluations of federal education programs and reports a wide array of statistics on the condition of education such as the National Assessment of Educational Progress. We support the building of an infrastructure for evidence-based education through activities such as grants to states to develop longitudinal data systems. We disseminate evidence on education to the public and practitioners through the What Works Clearinghouse and the ERIC education database, conferences, publications and products and 10 regional education laboratories and 10 national research and development centers.

Office of Career, Technical, and Adult Education (84.002, 84.048, 84.051, 84.101, 84.191, 84.245, 84.259)

The Assistant Secretary for Career, Technical, and Adult Education administers programs of grants, contracts, and technical assistance for vocational and

technical education, and for adult education and literacy. The Office is also responsible for coordinating these programs with other Education Department and Federal programs supporting services and research for adult education, literacy, and occupational training.

Office of Elementary and Secondary Education (84.004, 84.010-84.011, 84.013, 84.040-84.041, 84.060, 84.141, 84.144, 84.149, 84.184, 84.196, 84.206, 84.256, 84.283, 84.287, 84.299, 84.330, 84.356, 84.358, 84.362, 84.365-84.369, 84.371, 84.377, 84.403, 84.415)

The Assistant Secretary for Elementary and Secondary Education formulates policy for, directs, and coordinates the activities relating to preschool, elementary, and secondary education. Included are programs of grants to State educational agencies and local school districts, postsecondary schools, and nonprofit organizations for State and local reform, compensatory, migrant, and Indian education; drug-free schools; other school improvement programs; and impact aid.

Office of Federal Student Aid (84.007, 84.033, 84.063, 84.268, 84.379, 84.408)

The Office is headed by the Department's Chief Operating Officer and is the Federal Government's first performance based organization. The Office directs and coordinates activities that provide financial assistance through grants and work and loan programs to students pursuing a postsecondary education. Federal student financial aid programs include Stafford Loans, Parent Loans for Undergraduate Students (PLUS), Supplemental Loans to Students (SLS), Federal Insured Student Loans (FISL), consolidated loans, Perkins Loans, income contingent loans, Pell Grants, College Work-Study, Supplemental Educational Opportunity Grants (SEOG), and State Student Incentives Grants (SSIG). The Office provides leadership and direction for the Department in meeting its goals of excellence in credit management and debt collection through the collection of defaulted loans under the Guaranteed Student Loan Program and the Law Enforcement Education Program, and the collection of overpayments in the Pell Grant Program and Supplemental Educational Opportunities Program.

Office of Human Resources and Administration (84.145)

No Description Provided

Office of Innovation and Improvement (84.165, 84.215, 84.282, 84.295, 84.336, 84.351, 84.354, 84.363, 84.370, 84.374, 84.411, 84.423)

The Office of Innovation and Improvement (OII) oversees competitive grant programs that support innovations in the educational system and disseminates the lessons learned from these innovative practices. OII also helps coordinate policies related to parental options and choice. OII administers, coordinates, and recommends programs and policy for improving the quality of activities designed to support and test innovations throughout the K12 system in areas such as parental choice, teacher quality, use of technology in education, and arts in education. OII encourages the establishment of charter schools through

Office of Postsecondary Education (84.015-84.018, 84.021-84.022, 84.031, 84.042, 84.044, 84.047, 84.066, 84.103, 84.120, 84.200, 84.217, 84.220, 84.229, 84.274, 84.334-84.335, 84.382, 84.407, 84.414)

The Assistant Secretary for Postsecondary Education formulates policy, directs, and coordinates programs for assistance to postsecondary educational institutions and students pursuing a postsecondary education. Programs include

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assistance for the improvement and expansion of American educational resources for international studies and services, grants to improve instruction in crucial academic subjects, and construction assistance for academic facilities.

Office of Special Education and Rehabilitative Services (84.027, 84.126, 84.129, 84.160-84.161, 84.173, 84.177, 84.181, 84.187, 84.235, 84.240, 84.246, 84.250, 84.263-84.264, 84.315, 84.323, 84.325-84.328, 84.373, 84.380, 84.418)

Provides leadership to ensure that people with disabilities have services, resources, and equal opportunities to learn, work, and live as fully integrated, contributing members of society. The Office coordinates the activities of the Office of Special Education Programs, which works to help States provide quality educational opportunities and early intervention services to help students with disabilities achieve their goals. Through the Rehabilitation Services Administration, the Office supports State vocational rehabilitation programs that give disabled people the education, job training, and job placement services they need to gain meaningful employment. Programs include support for training of teachers and other professional personnel; grants for research; financial aid to help States initiate, expand, and improve their resources; and media services and captioned films for the deaf.

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION (85.200-85.299)

Established in 1983 to honor former Senator Barry Goldwater through the operation of an education scholarship program, financed by a permanent trust fund endowment, designed to encourage outstanding students to pursue careers in mathematics, the natural sciences, and engineering.

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION (85.100-85.199)

Established by Congress in 1992 to award fellowships to encourage and support research, study, and labor designed to produce new discoveries in all fields of endeavor for the benefit of mankind.

HARRY S TRUMAN SCHOLARSHIP FOUNDATION (85.01-85.99)

Awards college scholarships of up to \$5 thousand annually for 4 years to persons who demonstrate outstanding potential for and who are preparing to pursue a career in public service.

INTER-AMERICAN FOUNDATION (85.750-85.799)

The Inter-American Foundation, an independent U.S. government agency, was created by Congress to channel development assistance directly to the organized poor in Latin America and the Caribbean. The IAF has carried out its mandate by responding with grant and other development support for the most creative ideas for self-help received from grassroots groups and nongovernmental organizations. It also encourages partnerships among community organizations, business and local government directed at improving the quality of life for poor people and strengthening democratic practices.

JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION (85.500-85.599)

Fellowships are awarded that seek to strengthen secondary school teaching of the principles, framing, and development of the U.S. Constitution. The program works to contribute to a deeper understanding of American government and to foster in both teachers and students the spirit of civic participation that inspired the Nation's founders.

MILLENNIUM CHALLENGE CORPORATION (85.700-85.749)

The Millennium Challenge Corporation (MCC) forms partnerships with some of the world's poorest countries, but only those committed to: good governance, economic freedom, and investments in their citizens. MCC provides these well-performing countries with large-scale grants to fund country-led solutions for reducing poverty through sustainable economic growth. MCC grants complement other U.S. and international development programs.

MORRIS K. UDALL FOUNDATION (85.400-85.499)

Scholarships, internships, and fellowships are financed by a permanent trust fund endowment. Programs are designed to develop increased opportunities for Americans to prepare for and pursue careers related to the environment and for Native Americans and Alaska Natives to pursue careers in health care and tribal public policy.

SMITHSONIAN INSTITUTION (85.600-85.649)

The Smithsonian Institution is an independent trust instrumentality of the United States which comprises the world's largest museum and research complex. The Smithsonian includes 19 museums and galleries, the National Zoo, and research facilities in several States and the Republic of Panama. It holds more than 136 million artifacts and specimens in its trust for the American people. The Smithsonian is dedicated to public education, national service, and scholarship in the arts, science, history, and culture. The Smithsonian Institution was created by an act of Congress on August 10, 1846 (20 U.S.C. 41 et seq.), to carry out the terms of the will of British scientist James Smithson (1765, 1829), who in 1826 had bequeathed his entire estate to the United States to found at Washington, under the name of the Smithsonian Institution, an establishment for increase and diffusion of knowledge among men. On July 1, 1836, Congress accepted the legacy and pledged the faith of the United States to the charitable trust. In September 1838, Smithson's legacy, which amounted to more than 100,000 gold sovereigns, was delivered to the mint at Philadelphia. Congress vested responsibility for administering the trust in the Secretary of the Smithsonian and the Smithsonian Board of Regents, composed of the Chief Justice, the Vice President, three Members of the Senate, three Members of the House of Representatives, and nine citizen members appointed by joint resolution of Congress.

US AFRICAN DEVELOPMENT FOUNDATION (85.650-85.699)

The U.S. African Development Foundation (USADF) is a small but effective tool in the U.S. Governments Foreign Assistance toolkit.

USADF is on the frontier of development, working directly with Africans on the ground to combat some of Africa's most difficult development and foreign policy challenges with programs to increase the U.S. diplomatic and development presence in the hardest to reach areas of extreme poverty.

The Agency effectively works with the most vulnerable in communities having successfully created development models for communities in fragile and post-conflict countries, ethnic and religious minorities, youth, the disabled, and

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nomadic populations.

USADF awards small grants (up to \$250,000) for technical assistance and capacity building to grassroots organizations, cooperatives and community enterprises that strengthen local institutions and achieve lasting impact. The grants help organizations create tangible benefits such as increasing or sustaining the number of jobs in a community, improving income levels, and addressing social development needs.

VIETNAM EDUCATION FOUNDATION (85.801-85.899)

The Vietnam Education Foundation (VEF) was established by the U.S. Congress under the Vietnam Education Foundation Act (2000) to create educational exchange activities for Vietnamese nationals to pursue graduate studies or professional development at U.S. universities and for Americans to teach at Vietnamese universities and, thus, build the bilateral relationship between the United States and Vietnam. VEF is governed by a thirteen-member Board of Directors: two U.S. Senators, two U.S. Representatives, three U.S. cabinet members, and six members from the U.S. citizenry appointed by the U.S. President. A Board-appointed American Executive Director oversees VEF operations, conducted through two highly coordinated offices: the U.S. Headquarters in the Washington, D.C., area and the Vietnam Field office in Hanoi. VEF receives an annual budget of U.S. \$5 million from the U.S. Congress until 2018.

The VEF programs, as prescribed in the VEF legislation, focus on the sciences (natural, physical, and environmental), engineering, mathematics, medicine (including public health and health sciences), and technology (including information technology), known as the STEM fields. The following are the core VEF activities: (1) Fellowship Program that brings Vietnamese nationals to the United States for graduate degree programs, funded through cost-sharing agreements with U.S. universities; (2) Visiting Scholar Program that funds Vietnamese nationals with a Ph.D. to develop their professional skills through studies, research, and/or observational activities at leading U.S. institutions; and (3) U.S. Faculty Scholar Program that provides grants to American professors to teach courses in English at Vietnamese institutions for one or two semesters, on site in Vietnam or via interactive, real-time videoconferencing. VEF capacity-building efforts build the relationship between U.S. and Vietnamese institutions and government entities and strengthen the foundations in Vietnam for the return of VEF Fellows and Visiting Scholars to their home country.

WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS (85.300-85.399)

Established by Congress in 1968 to honor the legacy and ideals of Woodrow Wilson by promoting research and dialogue on issues that link the world of scholarship and the world of public affairs. The Center achieves this mission through sustaining a community of scholars in Washington, and through sponsoring a regular program of meetings on the humanities and international affairs. The Center welcomes scholars of every nationality and from a wide variety of backgrounds including government, the corporate world, the professions, and academe.

PENSION BENEFIT GUARANTY CORPORATION (86.001-86.999)

The Pension Benefit Guaranty Corporation guarantees payment of nonforfeitable pension benefits in covered, private-sector-defined benefit pension plans. Title IV of the Employee Retirement Income Security Act of 1974 (29 U.S.C. 1301 et seq.) provides for coverage of most private-sector-defined benefit pension plans that provide a benefit based on factors such as age, years of service, and average or highest salary. The Corporation administers two benefit insurance programs

separately covering single-employer and multi-employer plans. Nearly 40 million workers participate in more than 112,000 covered plans. Single-Employer Insurance: Under the single-employer program, the Corporation guarantees payment of a covered plan's basic benefits if that plan terminates without sufficient assets to pay those guaranteed benefits. Multi-employer Insurance: Under Title IV of the Act, as originally enacted, the Corporation guaranteed nonforfeitable benefits for multi-employer plans in a similar fashion as for single-employer plans. However, the payment of guaranteed benefits was at the agency's discretion under the provisions of the law that remained in force until August 1, 1980. The Multi-employer Pension Plan Amendments Act of 1980 (29 U.S.C. 1001) revised the law applicable to multi-employer pension plans by changing the insurable event from plan termination to plan insolvency. In accordance with the Act, the Corporation provides financial assistance to plans that are unable to pay basic benefits. The plans are obligated to repay such assistance. The act also made employers withdrawing from a plan liable to the plan for a portion of its unfunded vested benefits. Premium Collections: All defined benefit pension plans covered by Title IV of Employee Retirement Income Security Act are required to pay premiums under prescribed rates to the Corporation.

CONSUMER PRODUCT SAFETY COMMISSION (87.01-87.50)

The U.S. Consumer Product Safety Commission (CPSC) is an independent federal regulatory agency, created in 1972 by the Consumer Product Safety Act (CPSA). In addition to the CPSA, as amended by the Consumer Product Safety Improvement Act of 2008 (CPSIA), and Public Law 11228, the CPSC also administers other laws, including the Federal Hazardous Substances Act, the Flammable Fabrics Act, the Child Safety Protection Act, the Poison Prevention Packaging Act, the Refrigerator Safety Act, the Virginia Graeme Baker (VGB) Pool and Spa Safety Act, and the Children's Gasoline Burn Prevention Act.

The CPSC has jurisdiction over thousands of types of consumer products used in and around the home, in recreation, and in schools, from children's toys to portable gas generators and toasters. Although the CPSC's regulatory purview is quite broad, a number of product categories fall outside the CPSC's jurisdiction.

GULF COAST ECOSYSTEM RESTORATION COUNCIL (87.51-87.100)

The Council was created by the Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (RESTORE) Act of 2012, Sec. 1603(t)(2). The Council is charged with helping to restore the ecosystem and economy of the Gulf Coast region by developing and overseeing implementation of a Comprehensive Plan and carrying out other responsibilities. The Council is directed by statute to expend funds made available from the Trust Fund to undertake projects and programs, using the best available science, that would restore and protect the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, coastal wetlands, and economy of the Gulf Coast.

ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD (88.001-88.999)

Ensures compliance to Federal laws requiring accessibility for physically handicapped persons in certain federally funded buildings and facilities throughout the Nation. This includes setting guidelines and requirements for accessibility standards prescribed by Federal agencies, providing technical assistance to organizations agencies and individuals requesting help in solving accessible design and construction problems, and conducting research to determine appropriate specifications for accessibility.

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NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (89.001-89.999)

Establishes policies and procedures for managing the records of the United States Government. NARA assists Federal agencies in adequately documenting their activities, administering their records management programs, scheduling their records, and retiring their noncurrent records to Federal Records Centers. The mission of the National Archives and Records Administration is to ensure, for the Citizen and the public servant, for the President and the Congress and the Courts, ready access to essential evidence. Managing the Presidential Libraries system, assisting the National Historical Publications and Records Commission in its grant program for State and local records and edited publications of prominent Americans, and publishing the laws, regulations, Presidential, and other public documents are also key functions of the National Archives and Records Administration.

BROADCASTING BOARD OF GOVERNORS (90.500-90.599)

The Broadcasting Board of Governors (BBG) encompasses all U.S. civilian international broadcasting, including the Voice of America (VOA), Radio Free Europe/Radio Liberty (RFE/RL), Radio Free Asia (RFA), Radio and TV Marti, and the Middle East Broadcasting Networks (MBN) -- Radio Sawa and Alhurra Television. BBG broadcasters distribute programming in 59 languages to an estimated weekly audience of 171 million people via radio, TV, the Internet and other news media. The BBG works to serve as an example of a free and professional press, reaching a worldwide audience with new, information, and relevant discussions.

DELTA REGIONAL AUTHORITY (90.200-90.299)

To assist the eight-state, 240-county Mississippi Delta region in obtaining the transportation and basic public infrastructure, skills training, and opportunities for economic development essential to strong local economies. The DRA was created as a Federal-State partnership. DRA will focus on: basic public infrastructure in distressed counties and isolated area of distress; transportation infrastructure facilitating the economic development of the region; business development; and job training or employment-related education.

DENALI COMMISSION (90.100-90.199)

Created by Congress in 1998, the Denali Commission is the Federal government and the State of Alaska working together to assist the most remote of American citizens to help themselves move closer to economic self-sufficiency, while preserving ancient cultural values and new opportunities to people living in American's last frontier. With the creation of the Denali Commission, Congress acknowledged the need for increased inter-agency cooperation and focus on these remote communities. This Federal-State partnership is intended to promote rural development, provide power generation and transmission facilities, modern communication systems, water and sewer systems and other infrastructure needs.

JAPAN U.S. FRIENDSHIP COMMISSION (90.300-90.399)

The Japan-United States Friendship Act of 1975 established the Japan-United States Friendship Trust Fund and created the Japan-United States Friendship Commission to make grants for the promotion of scholarly, cultural, and artistic activities between Japan and the United States. The Commission is authorized to make expenditures from the fund in an amount not to exceed 5 percent annually of the fund's original principal to pay Commission expenses and make grants to

support Japanese studies in American universities, policy oriented research, faculty and other professional exchanges, public affairs programs, and other cultural and educational activities primarily in the United States.

NORTHERN BORDER REGIONAL COMMISSION (90.601-90.699)

The Northern Border Regional Commission is a Federal-State governmental agency concerned with the economic well-being of the citizens and businesses within a thirty-six (36) county region of Maine, New Hampshire, New York, and Vermont (12 counties in Maine; 4 counties in New Hampshire, 14 counties in New York, and 6 counties in Vermont). The region served by the Commission has had chronic and contiguous long-term economic distress. The mission of the Commission is to eliminate these conditions, concentrating its efforts to develop water, sewer, energy and telecommunications infrastructure; providing job skills and employment related education, as well as entrepreneurship, technology and business development; provide basic health care and other public services for those areas that are severely economically distressed and underdeveloped; and to promote resource conservation, tourism, recreation, and preservation of open spaces in a manner consistent with economic development goals; and finally, to promote the development of renewable and alternative energy sources.

U.S. ELECTION ASSISTANCE COMMISSION (90.400-90.499)

The U.S. Election Assistance Commission (EAC) was established by the Help America Vote Act of 2002 (HAVA). Central to its role, the Commission serves as a national clearinghouse and resource for information and review of procedures with respect to the administration of Federal elections. According to the text of HAVA, the law was enacted to, establish a program to provide funds to States to replace punch card voting systems, to establish the Election Assistance Commission to assist in the administration of Federal elections and to otherwise provide assistance with the administration of certain Federal election laws and programs, to establish minimum election administration standards for States and units of local government with responsibility for the administration of Federal elections, and for other purposes. Excerpt from The Help America Vote Act of 2002 The Help America Vote Act of 2002 (HAVA) requires the Election Assistance Commission (EAC) to: Generate technical guidance on the administration of federal elections. Produce voluntary voting systems guidelines. Research and report on matters that affect the administration of federal elections. Otherwise provide information and guidance with respect to laws, procedures, and technologies affecting the administration of Federal elections. Administer payments to States to meet HAVA requirements. Provide grants for election technology development and for pilot programs to test election technology. Manage funds targeted to certain programs designed to encourage youth participation in elections. Develop a national program for the testing, certification, and decertification of voting systems. Maintain the national mail voter registration form that was developed in accordance with the National Voter Registration Act of 1993 (NVRA), report to Congress every two years on the impact of the NVRA on the administration of federal elections, and provide information to States on their responsibilities under that law. Audit persons who received federal funds authorized by HAVA from the General Services Administration or the Election Assistance Commission. Submit an annual report to Congress describing EAC activities for the previous fiscal year. About the U.S. Election Assistance Commission. The U.S. Election Assistance Commission (EAC) was established by the Help America Vote Act of 2002 (HAVA). Central to its role, the Commission serves as a national clearinghouse and resource for information and review of procedures with respect to the administration of Federal elections. HAVA requires the EAC to: Develop technical guidance on the administration of federal elections. Produce voluntary voting systems guidelines. Research and report on matters that affect the administration of federal elections. Provide information and guidance with

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respect to laws, procedures, and technologies affecting the administration of Federal elections. Administer payments to States to meet HAVA requirements. Provide grants for election technology development and for pilot programs to test election technology. Manage funds targeted to certain programs designed to encourage youth participation in elections. Develop a national program for the testing, certification, and decertification of voting systems. Maintain the national mail voter registration form that was developed in accordance with the National Voter Registration Act of 1993 (NVRA), report to Congress every two years on the impact of the NVRA on the administration of federal elections, and provide information to States on their responsibilities under that law. Audit persons who received federal funds authorized by HAVA from the General Services Administration or the Election Assistance Commission. Submit an annual report to Congress describing EAC activities for the previous fiscal year. For additional information, visit the U.S. Election Assistance Commission online at www.eac.gov.

UNITED STATES INSTITUTE OF PEACE (91.001-91.999)

The United States Institute of Peace was established as an independent, Federal, nonprofit corporation by act of October 19, 1984 (22 U.S.C. 4603). It was established to strengthen the Nation's capacity to promote international peace and the peaceful resolution of conflicts among the peoples and nations of the world. The purpose of the Institute is to develop and disseminate knowledge about the peaceful resolution of international conflict. To accomplish this the Institute has set the following goals: To provide creative practical insights through research, education, and training on negotiation, mediation, and other skills to those actively engaged in resolving international conflicts; to expand the body of knowledge about the nature and processes of peace, war, and international conflict management; and to disseminate information to the public about these subjects. Among the Institute's instruments are grants, fellowships, a library, and in-house projects. The grants program provides financial support to nonprofit organizations, including private colleges and universities; official public institutions, including public schools, colleges, universities, libraries, and Federal, State, and local agencies; and individuals, whether or not they are associated with nonprofit or official public institutions. The Jennings Randolph Program for International Peace offers senior fellowships annually to practitioners or scholars in the U.S. and around the world who join the Institute for about ten months to work on projects concerning the sources and nature of international conflict and ways of managing conflict and sustaining peace. The program also awards Peace Scholar Dissertation Fellowships annually to outstanding doctoral students enrolled in an accredited university in the U.S. who are researching or writing a dissertation that promises to contribute knowledge relevant to the formulation of policy on international peace and conflict issues. The Jeannette Rankin Library Program is developing four main components on international peacemaking: a specialized research library; a network with and support for other libraries, both specialized and public; an oral history resource; and bibliographic as well as other data bases. Institute-directed projects under the Education and Public Information Program include an educational TV program and cassette programs on such topics as U.S.-Soviet summitry and a National Peace Essay Contest for high school students. The in-house Research and Studies is completing a broad, systematic examination of peace and conflict management theories and features workshops and seminars. Institute publications include biennial report to Congress and the President; a newsletter, The United States Institute of Peace Journal; and short issue papers, in brief. For more information please visit the Institute's web site at www.usip.org.

NATIONAL COUNCIL ON DISABILITY (92.1-92.200)

NCD is an independent federal agency charged with advising the President, Congress, and other federal agencies regarding policies, programs, practices, and procedures that affect people with disabilities. NCD is comprised of a team of

Presidential and Congressional appointees, an Executive Director appointed by the Chair, and a full-time professional staff.

DEPARTMENT OF HEALTH AND HUMAN SERVICES (93.001-93.999)

The Secretary of HHS advises the President on health, welfare, and income security plans, policies, and programs of the Federal government. The Secretary administers these functions through the Office of the Secretary and the Department's 11 operating divisions, including a budget of \$460 billion and a workforce of 65,000 employees. Supports cross-cutting research on health care systems, health care quality and cost issues, and effectiveness of medical treatments. Provides a system of health surveillance to monitor and prevent the outbreak of diseases. With the assistance of States and other partners, CDC guards against international disease transmission, maintains national health statistics and provides for immunization services, and supports research into disease and injury prevention. Supports a network of 37 hospitals, 60 health centers, 3 school health centers, 46 health stations and 34 urban Indian health centers to provide services to nearly 1.5 million American Indians and Alaska Natives of 557 federally recognized tribes. Administers the Medicare and Medicaid programs, that provide health care to America's aged and indigenous populations. About one in every four Americans, including nearly 18 million children and nursing homes for low-income elderly persons are covered. CMS also administers the new Children's Health Insurance Program through approved State plans that cover more than 2.2 million children.

Administration for Children and Families (93.060, 93.086-93.087, 93.090, 93.092-93.093, 93.235, 93.254, 93.327, 93.340, 93.475, 93.508, 93.550-93.551, 93.556-93.558, 93.560, 93.563-93.564, 93.566-93.570, 93.575-93.576, 93.579, 93.581, 93.583-93.584, 93.586-93.587, 93.590-93.592, 93.594-93.605, 93.612, 93.623, 93.643, 93.645, 93.647-93.648, 93.652, 93.658-93.659, 93.667, 93.669-93.671, 93.674, 93.676, 93.714, 93.872)

Provides services and assistance for 60 programs to needy children and families, administers the new State-Federal welfare program, Temporary Assistance to Needy Families, administers the national child support enforcement system, and the Head Start program, provides funds to assist low-income families in paying for child care, and supports State programs to provide for foster care and adoption assistance.

Administration for Community Living (93.041-93.045, 93.047-93.048, 93.051-93.054, 93.071-93.072, 93.234, 93.324-93.325, 93.369, 93.432-93.433, 93.464, 93.613, 93.618, 93.630-93.632, 93.734, 93.747, 93.761, 93.763, 93.843-93.844, 93.873)

Works with States, localities, the nonprofit sector, businesses and families to help more seniors and people with disabilities have the option to live in their homes and fully participate in their communities. ACL's mission is to maximize health, well-being, and independence for older adults, for people with disabilities across their lifespan, and for their families and their caregivers, by advancing policies, services and supports so that these individuals can live with dignity, make their own choices, and participate fully in society.

Agency for Healthcare Research and Quality (93.225-93.226)

Supports cross-cutting research on health care systems, health care quality and cost issues, and effectiveness of medical treatments.

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Agency for Toxic Substances and Disease Registry (93.161, 93.240, 93.534)

Works with States and other Federal agencies to prevent exposure to hazardous substances from waste sites. The agency conducts public health assessments, health studies, surveillance activities, and health education training in communities around waste sites on the U.S. Environmental Protection Agency's National Priorities List.

Centers for Disease Control and Prevention (93.061-93.062, 93.064-93.070, 93.073-93.074, 93.079-93.080, 93.082-93.084, 93.094, 93.097-93.099, 93.116, 93.118, 93.135-93.136, 93.184-93.185, 93.197, 93.261-93.262, 93.268-93.270, 93.283, 93.292, 93.304-93.305, 93.312, 93.314-93.319, 93.322-93.323, 93.326, 93.328, 93.331, 93.334, 93.336, 93.338-93.339, 93.354, 93.366, 93.391, 93.424, 93.456, 93.465-93.466, 93.507, 93.521, 93.524, 93.531, 93.533, 93.535, 93.538-93.540, 93.542, 93.548, 93.606, 93.649, 93.733, 93.735, 93.738-93.739, 93.742-93.745, 93.749, 93.751-93.753, 93.755-93.759, 93.762, 93.765, 93.772, 93.800, 93.808-93.810, 93.813-93.816, 93.818, 93.823, 93.827, 93.832-93.833, 93.845, 93.850, 93.852, 93.858, 93.860-93.861, 93.874-93.875, 93.898, 93.919, 93.938-93.947, 93.967, 93.977-93.978, 93.980-93.981, 93.988, 93.991, 93.998)

Provides a system of health surveillance to monitor and prevent the outbreak of diseases. With the assistance of States and other partners, CDC guards against international disease transmission, maintains national health statistics and provides for immunization services, and supports research into disease and injury prevention.

Centers for Medicare and Medicaid Services (93.332, 93.341, 93.363, 93.413, 93.423, 93.506, 93.511, 93.519, 93.525, 93.529, 93.536-93.537, 93.545-93.546, 93.609-93.611, 93.621, 93.624, 93.626-93.628, 93.634, 93.636, 93.638-93.640, 93.644, 93.646, 93.650-93.651, 93.750, 93.767, 93.770, 93.773-93.775, 93.777-93.780, 93.784, 93.791, 93.796, 93.829, 93.881, 93.961, 93.966, 93.968, 93.986)

Administers the Medicare and Medicaid programs, that provide health care to America's aged and indigenous populations. About one in every four Americans, including nearly 18 million children and nursing homes for low-income elderly persons are covered. CMS also administers the new Children's Health Insurance Program through approved State plans that cover more than 2.2 million children.

Food and Drug Administration (93.103, 93.448-93.449, 93.876)

Assures the safety of foods and cosmetics, and the safety and efficacy of pharmaceuticals, biological products, and medical devices.

Health Resources and Services Administration (93.011, 93.059, 93.107, 93.110, 93.117, 93.124, 93.127, 93.129-93.130, 93.134, 93.145, 93.153, 93.155-93.157, 93.162, 93.165, 93.178, 93.186, 93.191, 93.211, 93.223-93.224, 93.236, 93.241, 93.247, 93.251, 93.253, 93.255, 93.257, 93.259, 93.264-93.267, 93.288, 93.300-93.301, 93.303, 93.329-93.330, 93.342, 93.358-93.359, 93.364-93.365, 93.501, 93.504-93.505, 93.510, 93.513-93.514, 93.516, 93.526-93.528, 93.530, 93.547, 93.615, 93.622, 93.732, 93.822, 93.824, 93.870, 93.877, 93.884, 93.908, 93.912-93.914, 93.917-93.918, 93.923-93.926, 93.928, 93.932, 93.964-93.965,

93.969, 93.976, 93.994)

The Health Resources and Services Administration (HRSA), an agency of the U.S. Department of Health and Human Services, has the mission to improve health and achieve health equity through access to quality services, a skilled health workforce and innovative programs. Tens of millions of Americans receive quality, affordable health care and other services through HRSA's programs.

HRSA programs help those in need of high quality primary health care, people living with HIV/AIDS, pregnant women and mothers. HRSA also supports the training of health professionals, the distribution of providers to areas where they are needed most and improvements in health care delivery.

HRSA oversees organ, bone marrow and cord blood donation. It compensates individuals harmed by vaccination, and maintains databases that protect against health care malpractice, waste, fraud and abuse.

Indian Health Service (93.123, 93.164, 93.193, 93.210, 93.228, 93.231, 93.237, 93.284, 93.441-93.442, 93.444, 93.933, 93.970-93.972)

Supports a network of 37 hospitals, 60 health centers, 3 school health centers, 46 health stations and 34 urban Indian health centers to provide services to nearly 1.5 million American Indians and Alaska Natives of 557 federally recognized tribes.

National Institutes of Health (93.077, 93.113, 93.121, 93.140, 93.142-93.143, 93.172-93.173, 93.187, 93.209, 93.213, 93.220, 93.232-93.233, 93.242, 93.273, 93.279-93.282, 93.285-93.286, 93.307-93.308, 93.310, 93.313, 93.321, 93.350-93.353, 93.361, 93.368, 93.370, 93.372, 93.389, 93.393-93.399, 93.701-93.702, 93.704, 93.837-93.840, 93.846-93.847, 93.853, 93.855-93.856, 93.859, 93.865-93.867, 93.879, 93.936, 93.989)

Works with 17 separate institutes, serves as the world's premier medical research organization, supporting some 35,000 research projects nationwide in diseases like cancer, Alzheimer's, diabetes, arthritis, heart ailments and AIDS.

Office of Disease Prevention and Health Promotion (93.990)

No Description Provided

Office of Minority Health (93.004, 93.137, 93.910)

No Description Provided

Office of Population Affairs (93.217, 93.260, 93.974)

No Description Provided

Office of the Secretary (93.001, 93.007-93.008, 93.015, 93.018-93.019, 93.057, 93.078, 93.081, 93.085, 93.088-93.089, 93.239, 93.290, 93.296-93.297, 93.311, 93.343-93.344, 93.355, 93.360, 93.452, 93.500, 93.718-93.719, 93.721, 93.727-93.728, 93.801, 93.817, 93.825-93.826, 93.830-93.831, 93.835, 93.851, 93.857, 93.878, 93.882-93.883, 93.889, 93.893, 93.983)

The Department of Health and Human Services (HHS) is the United States government's principal agency for protecting the health of all Americans and providing essential human services, especially for those who are least able to help themselves. The work of HHS is conducted by the Office of the Secretary and 11 agencies. The agencies perform a wide variety of tasks and services,

AGENCY INDEX SUMMARY

including research, public health, food and drug safety, grants and other funding, health insurance, and many others. The subdivisions of the Office of the Secretary provide direct support for the Secretary's initiatives.

President's Council on Fitness, Sports, and Nutrition (93.289)

The President's Council on Physical Fitness and Sports is an advisory committee of volunteer citizens who advise the President through the Secretary of Health and Human Services about physical activity, fitness, and sports in America. Through its programs and partnerships with the public, private and non-profit sectors, the Council serves as a catalyst to promote health, physical activity, fitness, and enjoyment for people of all ages, backgrounds and abilities through participation in physical activity and sports. The twenty Council members are appointed and serve at the pleasure of the President.

Program Support Center (93.291)

A service-for-fee organization, utilizes a pioneering business enterprise approach to provide government support services throughout HHS as well as other Departments and Federal agencies. Administrative operations, financial management and human resources are solution- and customer-oriented, state-of-the-art and highly responsive to customer needs.

Substance Abuse and Mental Health Services Administration (93.104, 93.138, 93.150, 93.243, 93.275-93.276, 93.748, 93.764, 93.788, 93.799, 93.958-93.959, 93.982, 93.997)

Works to improve the quality and availability of substance abuse prevention, addiction treatment, and mental health services.

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE (94.001-94.999)

The Corporation for National Service is a federal agency working in partnership with state and local governments and non-profit organizations. The Corporation administers the AmeriCorps national service program, which includes national and state grant programs, AmeriCorps*VISTA and the AmeriCorps*National Civilian Community Corps; the National Senior Service Corps (Foster Grandparents, Senior Companions and the Retired and Senior Volunteer Program); and the Learn and Serve America service-learning program. The Corporation's mission is to engage Americans of all backgrounds in community-based service. This service addresses the nation's education, public safety, human and environmental needs to achieve direct and demonstrable results. In doing so, the Corporation fosters civic responsibility, encourages community teamwork, and provides educational opportunity for those who make a substantial commitment to service.

EXECUTIVE OFFICE OF THE PRESIDENT (95.001-95.999)

SOCIAL SECURITY ADMINISTRATION (96.001-96.999)

On March 31, 1995, the Social Security Administration (SSA) became an independent agency. SSA administers a national program of contributory social insurance. Employees, employers, and the self-employed pay contributions which are pooled in special trust funds. When earnings cease or are reduced because the worker retires, dies, or becomes disabled, monthly cash benefits are paid to replace part of the earnings the family has lost. Part of the workers

contribution goes into a separate hospital insurance trust fund. This fund helps disabled workers, retirees, and their dependents with their hospital bills. They may also elect to receive assistance with medical expenses. This is done by the workers paying a percentage of supplementary medical insurance premiums. The Federal government pays the balance. Together, these two programs are often referred to as "Medicare." Medicare protection is also provided, under certain conditions, to Railroad Retirement beneficiaries based on a disability. The principal functions of SSA include, but are not limited to; research and recommendations oriented to the problems of poverty; health care for the aged, blind, and disabled; long-range planning, design, and development of SSA administrative plans; data processing systems used in establishing and maintaining records essential to its' various programs; statistical measurement and systematic evaluation of its' programs; policy guidance for the administration of the OASDI and SSI programs; and development of programs and materials to assure that Congress, Federal and State agencies, and the general public have an adequate understanding of the protections, rights, and responsibilities under SSA administered programs. In addition, SSA, through a world-wide organization of ten regional offices, six program service centers, and over 1,300 field offices, guides and directs all aspects of the cash benefit program operations of SSA

DEPARTMENT OF HOMELAND SECURITY (97.001-97.999)

On January 23, 2002, the Department of Homeland Security (DHS) was created through the Homeland Security Act of 2002. The Act provides grants award authority for DHS to award grants, cooperative agreements and other assistance to non-Federal entities in order to assist them with homeland security and disaster preparedness, security, migration and recovery measures. The primary mission of the Department is to prevent terrorist attacks within the United States; reduce the vulnerability of the United States to terrorism; minimize damage, and assist in the recovery from terrorist attacks that do occur within the United States; carry out all the functions of entities transferred to the Department, including by acting as focal point regarding natural and manmade crises and emergency planning; ensure that the function of the agencies and subdivisions within the Department that are not related directly to securing the homeland are not diminished or neglected except by a specific explicit Act of Congress; and monitor connection between illegal drug trafficking and terrorism, coordinate efforts to serve such connections, and otherwise contribute to efforts to interdict illegal drug trafficking.

Domestic Nuclear Detection Office (DNDO) (97.077, 97.106, 97.130)

No Description Provided

Federal Emergency Management Agency (FEMA) (97.005, 97.007-97.008, 97.018, 97.022-97.030, 97.032-97.034, 97.036, 97.039-97.048, 97.050, 97.052, 97.055-97.057, 97.067, 97.070, 97.075, 97.078, 97.082-97.083, 97.088-97.089, 97.092, 97.107, 97.110, 97.113, 97.115-97.116, 97.131-97.133)

No Description Provided

National Protection & Programs Directorate (NPPD) (97.120, 97.123, 97.127-97.128)

No Description Provided

Office of Health Affairs (OHA) (97.091, 97.122)

No Description Provided

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Science and Technology (S&T) (97.061-97.062, 97.104, 97.108)

No Description Provided

U.S. Citizenship and Immigration Services (USCIS) (97.009-97.010)

No Description Provided

U.S. Immigration and Customs Enforcement (ICE) (97.076)

No Description Provided

United States Coast Guard (USCG) (97.012)

No Description Provided

AGENCY FOR INTERNATIONAL DEVELOPMENT (98.001-98.999)

To promote transformational development in less-developed and selected middle-income countries. Transformational development brings far-reaching, fundamental changes in governance and institutional capacity, human capacity, and economic structure. Such development helps a country sustain further economic and social progress without depending on foreign aid. The goal of achieving transformational development pertains to stable developing countries which have significant need for concessional assistance and are committed to promoting economic freedom, ruling justly, and investing in people.

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AGENCY PROGRAM INDEX

This index provides a program list by agency showing the CFDA number and program title. It also distinguishes between programs that provide financial assistance and those that provide non-financial assistance in the form of services and technical assistance. The letter(s) in parentheses following the program title indicates the type of assistance available through that program. The following letter codes are used:

- A: Formula Grants
- B: Project Grants
- C: Direct Payments for Specified Use
- D: Direct Payments with Unrestricted Use
- E: Direct Loans
- F: Guaranteed/Insured Loans
- G: Insurance
- H: Sale, Exchange, or Donation of Property and Goods
- I: Use of Property, Facilities, and Equipment
- J: Provision of Specialized Services
- K: Advisory Services and Counseling
- L: Dissemination of Technical Information
- M: Training
- N: Investigation of Complaints
- O: Federal Employment

Program Description	Financial	Non-Financial
DEPARTMENT OF AGRICULTURE		
AGRICULTURAL MARKETING SERVICE		
10.153 Market News (L)		X
10.155 Marketing Agreements and Orders (J,K)		X
10.156 Federal-State Marketing Improvement Program (B)	X	
10.162 Inspection Grading and Standardization (J)		X
10.163 Market Protection and Promotion (J,K,M)		X
10.164 Wholesale Farmers and Alternative Market Development (K,M)		X
10.165 Perishable Agricultural Commodities Act (N)		X
10.167 Transportation Services (K,M)		X
10.168 Farmers Market Promotion Program (B)	X	
10.170 Specialty Crop Block Grant Program - Farm Bill (B)	X	
10.172 Local Food Promotion Program (B)	X	
10.173 Sheep Production and Marketing Grant Program (B)	X	
10.174 Acer Access Development Program (B)	X	
AGRICULTURAL RESEARCH SERVICE		
10.001 Agricultural Research Basic and Applied Research (B)	X	
10.700 National Agricultural Library (L)		X
ANIMAL AND PLANT HEALTH INSPECTION SERVICE		
10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)	X	
10.028 Wildlife Services (B)	X	
10.030 Indemnity Program (D)	X	
DEPARTMENTAL MANAGEMENT		
10.443 Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)	X	
ECONOMIC RESEARCH SERVICE		
10.250 Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (B,L)	X	
10.253 Consumer Data and Nutrition Research (B,L)	X	
10.255 Research Innovation and Development Grants in Economic (RIDGE) (L)		X
FARM SERVICE AGENCY		
10.051 Commodity Loans and Loan Deficiency Payments (D,E)	X	
10.053 Dairy Indemnity Program (D)	X	
10.054 Emergency Conservation Program (C)	X	

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Program Description	Financial	Non-Financial
10.056 Farm Storage Facility Loans (E)	X	
10.069 Conservation Reserve Program (C)	X	
10.087 Biomass Crop Assistance Program (D)	X	
10.098 Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (D)	X	
10.102 Emergency Forest Restoration Program (D)	X	
10.108 Livestock Indemnity Program-2014 Farm Bill (D)	X	
10.109 Livestock Forage Program-2014 Farm Bill (D)	X	
10.110 Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program-2014 Farm Bill (C)	X	
10.111 Tree Assistance Program-2014 Farm Bill (D)	X	
10.112 Price Loss Coverage (C)	X	
10.113 Agriculture Risk Coverage Program (C)	X	
10.114 Cotton Transition Assistance Program (D)	X	
10.116 The Margin Protection Program (C)	X	
10.118 Cotton Ginning Cost Share Program (D)	X	
10.171 Organic Certification Cost Share Programs (C)	X	
10.435 State Mediation Grants (B)	X	
10.449 Boll Weevil Eradication Loan Program (E)	X	
10.451 Noninsured Assistance (D)	X	
FOOD AND NUTRITION SERVICE		
10.536 CACFP Training Grants (B)	X	
10.537 Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) Data and Technical Assistance Grants (B)	X	
10.538 Mobile Technology for Child Nutrition Innovation Laboratory (B)	X	
10.541 Child Nutrition-Technology Innovation Grant (B)	X	
10.543 Healthier US School Challenge: Smarter Lunchrooms (C)	X	
10.544 Healthy Body Healthy Spirit (B)	X	
10.545 Farmers Market Supplemental Nutrition Assistance Program Support Grants (B)	X	
10.547 Professional Standards for School Nutrition Employees (B)	X	
10.551 Supplemental Nutrition Assistance Program (C)	X	
10.553 School Breakfast Program (A)	X	
10.555 National School Lunch Program (A)	X	
10.556 Special Milk Program for Children (A)	X	
10.557 WIC Special Supplemental Nutrition Program for Women, Infants, and Children (A,B)	X	
10.558 Child and Adult Care Food Program (A)	X	
10.559 Summer Food Service Program for Children (A)	X	
10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A)	X	
10.565 Commodity Supplemental Food Program (A,H)	X	
10.567 Food Distribution Program on Indian Reservations (B,H)	X	
10.568 Emergency Food Assistance Program (Administrative Costs) (A)	X	
10.569 Emergency Food Assistance Program (Food Commodities) (A)	X	
10.572 WIC Farmers' Market Nutrition Program (FMNP) (A)	X	
10.574 Team Nutrition Grants (B)	X	
10.575 Farm to School Grant Program (B,L,M)	X	
10.576 Senior Farmers Market Nutrition Program (A)	X	
10.578 WIC Grants To States (WGS) (B)	X	
10.579 Child Nutrition Discretionary Grants Limited Availability (B)	X	
10.580 Supplemental Nutrition Assistance Program, Process and Technology Improvement Grants (B)	X	
10.582 Fresh Fruit and Vegetable Program (B)	X	
10.586 Special Supplemental Nutrition Program for Women, Infants and Children; Nutrition Education Innovations (B)	X	
10.593 Bill Emerson National Hunger Fellows and Mickey Leland International Hunger Fellows Programs (B)	X	
10.594 Food Distribution Program on Indian Reservations Nutrition Education Grants (B)	X	
10.595 Farm to School Training and Technical Assistance (J,M)		X
10.596 Pilot Projects to Reduce Dependency and Increase Work Requirements and Work Effort under SNAP (B)	X	
FOOD SAFETY AND INSPECTION SERVICE		
10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
10.477 Meat, Poultry, and Egg Products Inspection (J)		X
10.479 Food Safety Cooperative Agreements (B)	X	
FOREIGN AGRICULTURAL SERVICE		
10.600 Foreign Market Development Cooperator Program (C)	X	
10.601 Market Access Program (A,C)	X	
10.603 Emerging Markets Program (C)	X	
10.604 Technical Assistance for Specialty Crops Program (C)	X	
10.605 Quality Samples Program (C)	X	
10.606 Food for Progress (C,H)	X	
10.608 Food for Education (C,H)	X	
10.610 Export Guarantee Program (F)	X	
10.612 USDA Local and Regional Food Aid Procurement Program (B)	X	
10.613 Faculty Exchange Program (B,C)	X	
10.614 Scientific Cooperation Exchange Program with China (B,C)	X	
10.615 Pima Agriculture Cotton Trust Fund (D)	X	
10.616 Agriculture Wool Apparel Manufacturers Trust Fund (D)	X	
10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (B,C)	X	
10.960 Technical Agricultural Assistance (B,C)	X	
10.961 Scientific Cooperation and Research (B,C)	X	
10.962 Cochran Fellowship Program-International Training-Foreign Participant (B,C)	X	
FOREST SERVICE		
10.652 Forestry Research (B)	X	
10.664 Cooperative Forestry Assistance (A,B)	X	
10.665 Schools and Roads - Grants to States (A)	X	
10.666 Schools and Roads - Grants to Counties (C)	X	
10.672 Rural Development, Forestry, and Communities (B)	X	
10.674 Wood Utilization Assistance (B)	X	
10.675 Urban and Community Forestry Program (B)	X	
10.676 Forest Legacy Program (B)	X	
10.678 Forest Stewardship Program (B)	X	
10.679 Collaborative Forest Restoration (B)	X	
10.680 Forest Health Protection (A,B)	X	
10.681 Wood Education and Resource Center (WERC) (B)	X	
10.682 National Forest Foundation (C)	X	
10.683 National Fish and Wildlife Foundation (C)	X	
10.684 International Forestry Programs (B)	X	
10.689 Community Forest and Open Space Conservation Program (CFP) (B)	X	
10.690 Lake Tahoe Erosion Control Grant Program (B)	X	
10.691 Good Neighbor Authority (B)	X	
10.693 Watershed Restoration and Enhancement Agreement Authority (B)	X	
10.694 Southwest Forest Health and Wildfire Prevention (C)	X	
10.699 Partnership Agreements (C)	X	
10.701 Stewardship Agreements (B)	X	
10.702 Alaska National Interest Lands Conservation Act (ANILCA) Agreements (C)	X	
10.703 Cooperative Fire Protection Agreement (C)	X	
10.704 Law Enforcement Agreements (B)	X	
10.705 Cooperative Forest Road Agreements (B)	X	
NATIONAL AGRICULTURAL STATISTICS SERVICE		
10.950 Agricultural Statistics Reports (L)		X
NATIONAL INSTITUTE OF FOOD AND AGRICULTURE		
10.200 Grants for Agricultural Research, Special Research Grants (B)	X	
10.202 Cooperative Forestry Research (A)	X	
10.203 Payments to Agricultural Experiment Stations Under the Hatch Act (A)	X	
10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University (A)	X	

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Program Description	Financial	Non-Financial
10.206 Grants for Agricultural Research_Competitive Research Grants (B)	X	
10.207 Animal Health and Disease Research (A)	X	
10.210 Higher Education Graduate Fellowships Grant Program (B)	X	
10.212 Small Business Innovation Research (B)	X	
10.215 Sustainable Agriculture Research and Education (B)	X	
10.216 1890 Institution Capacity Building Grants (B)	X	
10.217 Higher Education - Institution Challenge Grants Program (B)	X	
10.219 Biotechnology Risk Assessment Research (B)	X	
10.220 Higher Education - Multicultural Scholars Grant Program (B)	X	
10.221 Tribal Colleges Education Equity Grants (B)	X	
10.222 Tribal Colleges Endowment Program (A)	X	
10.223 Hispanic Serving Institutions Education Grants (B)	X	
10.225 Community Food Projects (B)	X	
10.226 Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (B)	X	
10.227 1994 Institutions Research Program (B)	X	
10.228 Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (B)	X	
10.303 Integrated Programs (B)	X	
10.304 Homeland Security Agricultural (B)	X	
10.306 Biodiesel (B)	X	
10.307 Organic Agriculture Research and Extension Initiative (B)	X	
10.308 Resident Instruction Grants for Insular Area Activities (B)	X	
10.309 Specialty Crop Research Initiative (B)	X	
10.310 Agriculture and Food Research Initiative (AFRI) (B)	X	
10.311 Beginning Farmer and Rancher Development Program (B)	X	
10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI) (B)	X	
10.313 Veterinary Medicine Loan Repayment Program (C)	X	
10.318 Women and Minorities in Science, Technology, Engineering, and Mathematics Fields (B)	X	
10.319 Farm Business Management and Benchmarking Competitive Grants Program (B)	X	
10.320 Sun Grant Program (B)	X	
10.322 Distance Education Grants for Institutions of Higher Education in Insular Areas (B)	X	
10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)	X	
10.328 National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Competitive Grants Program (B)	X	
10.329 Crop Protection and Pest Management Competitive Grants Program (B)	X	
10.330 Alfalfa and Forage Research Program (B)	X	
10.331 Food Insecurity Nutrition Incentive Grants Program (B)	X	
10.334 Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program (B)	X	
10.336 Veterinary Services Grant Program (B)	X	
10.500 Cooperative Extension Service (A,B)	X	
10.511 Smith-Lever Funding (Various Programs) (A,B)	X	
10.512 Agriculture Extension at 1890 Land-grant Institutions (A)	X	
10.513 1890 Facilities Grants Program (B)	X	
10.514 Expanded Food and Nutrition Education Program (A,B)	X	
10.515 Renewable Resources Extension Act and National Focus Fund Projects (A,B)	X	
10.516 Rural Health and Safety Education Competitive Grants Program (B)	X	
10.517 Tribal Colleges Extension Programs (B)	X	
10.518 Food Animal Residue Avoidance Databank (B)	X	
10.520 Agriculture Risk Management Education Partnerships Competitive Grants Program (B)	X	
10.521 Children, Youth and Families At-Risk (B)	X	
NATURAL RESOURCES CONSERVATION SERVICE		
10.072 Wetlands Reserve Program (B)	X	
10.093 Voluntary Public Access and Habitat Incentive Program (A)	X	
10.902 Soil and Water Conservation (K)		X
10.903 Soil Survey (L)		X
10.904 Watershed Protection and Flood Prevention (B,K)	X	
10.905 Plant Materials for Conservation (J)		X
10.907 Snow Survey and Water Supply Forecasting (L)		X

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
10.912 Environmental Quality Incentives Program (C)	X	
10.913 Farm and Ranch Lands Protection Program (C)	X	
10.914 Wildlife Habitat Incentive Program (C)	X	
10.916 Watershed Rehabilitation Program (K)		X
10.917 Agricultural Management Assistance (C)	X	
10.920 Grassland Reserve Program (C)	X	
10.921 Conservation Security Program (C)	X	
10.922 Healthy Forests Reserve Program (HFRP) (C)	X	
10.923 Emergency Watershed Protection Program (B)	X	
10.924 Conservation Stewardship Program (B)	X	
10.925 Agricultural Water Enhancement Program (C)	X	
10.926 Chesapeake Bay Watershed Program (C)	X	
10.927 Emergency Watershed Protection Program - Disaster Relief Appropriations Act (B)	X	
10.928 Emergency Watershed Protection Program - Floodplain Easements Disaster Relief Appropriations Act (C)	X	
10.929 Water Bank Program (C)	X	
10.931 Agricultural Conservation Easement Program (B)	X	
10.932 Regional Conservation Partnership Program (B)	X	
10.933 Wetlands Mitigation Banking Program (B)	X	
RISK MANAGEMENT AGENCY		
10.450 Crop Insurance (G)	X	
10.458 Crop Insurance Education in Targeted States (B)	X	
10.460 Risk Management Education Partnerships (B)	X	
RURAL BUSINESS-COOPERATIVE SERVICE		
10.350 Technical Assistance to Cooperatives (J,K,L,M)		X
10.351 Rural Business Development Grant (B)	X	
10.352 Value-Added Producer Grants (B)	X	
10.767 Intermediary Relending Program (E)	X	
10.768 Business and Industry Loans (F)	X	
10.769 Rural Business Enterprise Grants (B)	X	
10.771 Rural Cooperative Development Grants (B)	X	
10.773 Rural Business Opportunity Grants (B)	X	
10.782 Appropriate Technology Transfer for Rural Areas (B)	X	
10.854 Rural Economic Development Loans and Grants (B,E)	X	
10.865 Biorefinery Assistance (F)	X	
10.866 Repowering Assistance (C)	X	
10.867 Bioenergy Program for Advanced Biofuels (D)	X	
10.868 Rural Energy for America Program (F)	X	
10.870 Rural Microentrepreneur Assistance Program (B,E)	X	
10.871 Socially-Disadvantaged Groups Grant (B)	X	
10.874 Delta Health Care Services Grant Program (B)	X	
10.890 Rural Development Cooperative Agreement Program (B)	X	
RURAL HOUSING SERVICE		
10.405 Farm Labor Housing Loans and Grants (B,F)	X	
10.410 Very Low to Moderate Income Housing Loans (E,F)	X	
10.415 Rural Rental Housing Loans (B,E)	X	
10.417 Very Low-Income Housing Repair Loans and Grants (B,E)	X	
10.420 Rural Self-Help Housing Technical Assistance (B)	X	
10.427 Rural Rental Assistance Payments (C)	X	
10.433 Rural Housing Preservation Grants (B)	X	
10.438 Section 538 Rural Rental Housing Guaranteed Loans (F)	X	
10.446 Rural Community Development Initiative (B)	X	
10.447 The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (B)	X	
10.448 Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program (C)	X	
10.766 Community Facilities Loans and Grants (B,E,F)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
RURAL UTILITIES SERVICE		
10.751 Rural Energy Savings Program (RESP) (E)	X	
10.759 Part 1774 Special Evaluation Assistance for Rural Communities and Households Program (SEARCH) (B)	X	
10.760 Water and Waste Disposal Systems for Rural Communities (B,E,F)	X	
10.761 Technical Assistance and Training Grants (B)	X	
10.762 Solid Waste Management Grants (B)	X	
10.763 Emergency Community Water Assistance Grants (B)	X	
10.770 Water and Waste Disposal Loans and Grants (Section 306C) (B,E)	X	
10.850 Rural Electrification Loans and Loan Guarantees (E,F)	X	
10.851 Rural Telephone Loans and Loan Guarantees (E,F)	X	
10.855 Distance Learning and Telemedicine Loans and Grants (B,E)	X	
10.857 State Bulk Fuel Revolving Fund Grants (B)	X	
10.858 Denali Commission Grants and Loans (B)	X	
10.859 Assistance to High Energy Cost Rural Communities (B)	X	
10.862 Household Water Well System Grant Program (B)	X	
10.863 Community Connect Grant Program (B)	X	
10.864 Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)	X	
10.886 Rural Broadband Access Loans and Loan Guarantees (E,F)	X	
THE OFFICE OF THE CHIEF ECONOMIST		
10.290 Agricultural Market and Economic Research (B)	X	
10.291 Agricultural and Food Policy Research Centers (B)	X	
DEPARTMENT OF COMMERCE		
BUREAU OF INDUSTRY AND SECURITY		
11.150 Export Licensing Service and Information (K)		X
ECONOMIC DEVELOPMENT ADMINISTRATION		
11.300 Investments for Public Works and Economic Development Facilities (B)	X	
11.302 Economic Development Support for Planning Organizations (B)	X	
11.303 Economic Development Technical Assistance (B)	X	
11.307 Economic Adjustment Assistance (B)	X	
11.312 Research and Evaluation Program (B)	X	
MINORITY BUSINESS DEVELOPMENT AGENCY		
11.802 Minority Business Resource Development (B)	X	
11.804 MBDA Business Center - American Indian and Alaska Native (B)	X	
11.805 MBDA Business Center (B)	X	
NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)		
11.013 Education Quality Award Ambassadorship (B)	X	
11.601 Calibration Program (J)		X
11.603 National Standard Reference Data System (H)		X
11.604 Standard Reference Materials (H)		X
11.606 Weights and Measures Service (J,K,L,M)		X
11.609 Measurement and Engineering Research and Standards (B)	X	
11.610 Standards Information Center (L)		X
11.611 Manufacturing Extension Partnership (B,L)	X	
11.612 Advanced Technology Program (B)	X	
11.616 Technology Innovation Program (TIP) (B)	X	
11.619 Arrangements for Interdisciplinary Research Infrastructure (B)	X	
11.620 Science, Technology, Business and/or Education Outreach (B)	X	
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION (NOAA)		
11.008 NOAA Mission-Related Education Awards (B)	X	
11.011 Ocean Exploration (B)	X	
11.012 Integrated Ocean Observing System (IOOS) (B)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
11.017 Ocean Acidification Program (OAP) (B)	X	
11.400 Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)	X	
11.407 Interjurisdictional Fisheries Act of 1986 (A)	X	
11.408 Fishermen's Contingency Fund (D)	X	
11.413 Fishery Products Inspection and Certification (J)		X
11.415 Fisheries Finance Program (E)	X	
11.417 Sea Grant Support (B)	X	
11.419 Coastal Zone Management Administration Awards (A,B)	X	
11.420 Coastal Zone Management Estuarine Research Reserves (B)	X	
11.426 Financial Assistance for National Centers for Coastal Ocean Science (B)	X	
11.427 Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)	X	
11.429 Marine Sanctuary Program (B)	X	
11.431 Climate and Atmospheric Research (B)	X	
11.432 National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (B)	X	
11.433 Marine Fisheries Initiative (B)	X	
11.434 Cooperative Fishery Statistics (B)	X	
11.435 Southeast Area Monitoring and Assessment Program (B)	X	
11.436 Columbia River Fisheries Development Program (B)	X	
11.437 Pacific Fisheries Data Program (B)	X	
11.438 Pacific Coast Salmon Recovery Pacific Salmon Treaty Program (B)	X	
11.439 Marine Mammal Data Program (B)	X	
11.440 Environmental Sciences, Applications, Data, and Education (B)	X	
11.441 Regional Fishery Management Councils (B)	X	
11.451 Gulf Coast Ecosystem Restoration Science, Observation, Monitoring, and Technology (B)	X	
11.452 Unallied Industry Projects (B)	X	
11.454 Unallied Management Projects (B)	X	
11.455 Cooperative Science and Education Program (B)	X	
11.457 Chesapeake Bay Studies (B)	X	
11.459 Weather and Air Quality Research (B)	X	
11.460 Special Oceanic and Atmospheric Projects (B)	X	
11.463 Habitat Conservation (B)	X	
11.469 Congressionally Identified Awards and Projects (B)	X	
11.472 Unallied Science Program (B)	X	
11.473 Office for Coastal Management (B)	X	
11.474 Atlantic Coastal Fisheries Cooperative Management Act (B)	X	
11.478 Center for Sponsored Coastal Ocean Research Coastal Ocean Program (B)	X	
11.482 Coral Reef Conservation Program (B)	X	
11.483 NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)	X	
11.999 Marine Debris Program (B)	X	
NATIONAL TELECOMMUNICATIONS AND INFORMATION ADMINISTRATION		
11.014 Band 14 Incumbent Spectrum Relocation (B)	X	
11.019 State Alternative Plan Program (B)	X	
11.549 State and Local Implementation Grant Program (B)	X	
11.550 Public Telecommunications Facilities Planning and Construction (B)	X	
11.553 Special Projects (B)	X	
DEPARTMENT OF DEFENSE		
12.551 National Security Education Program David L. Boren Scholarships (B)	X	
12.552 National Security Education Program David L. Boren Fellowships (B)	X	
12.555 English for Heritage Language Speakers Scholarships (B)	X	
12.556 Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools (B)	X	
12.620 Troops to Teachers Grant Program (B)	X	
ADVANCED RESEARCH PROJECTS AGENCY		
12.910 Research and Technology Development (B)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
AF SAF AQC		
12.840 Civil Air Patrol Program (B)	X	
ARMY CONTRACTING COMMAND		
12.432 U.S. Military Academy Athletic Programs at West Point (B)	X	
12.987 Upper San Pedro Partnership Support (B)	X	
DEFENSE INTELLIGENCE AGENCY		
12.598 Centers for Academic Excellence (B)	X	
DEFENSE LOGISTICS AGENCY		
12.002 Procurement Technical Assistance For Business Firms (B)	X	
DEFENSE THREAT REDUCTION AGENCY		
12.351 Scientific Research - Combating Weapons of Mass Destruction (B)	X	
DEPARTMENT OF THE AIR FORCE, MATERIEL COMMAND		
12.800 Air Force Defense Research Sciences Program (B)	X	
12.801 Air Force Academy Athletic Programs (B)	X	
DEPARTMENT OF THE NAVY, OFFICE OF THE CHIEF OF NAVAL RESEARCH		
12.300 Basic and Applied Scientific Research (B)	X	
12.330 Science, Technology, Engineering & Mathematics (STEM) Education, Outreach and Workforce Program (B)	X	
12.350 Department of Defense HIV/AIDS Prevention Program (B)	X	
FEDERAL VOTING ASSISTANCE PROGRAM		
12.219 EASE 2.0 (B)	X	
MARINE CORPS SYSTEMS COMMAND		
12.369 Marine Corps Systems Command Federal Assistance Program (B)	X	
NATIONAL SECURITY AGENCY		
12.901 Mathematical Sciences Grants (B)	X	
12.905 CyberSecurity Core Curriculum (B)	X	
OFFICE OF THE SECRETARY OF DEFENSE		
12.355 Pest Management and Vector Control Research (B)	X	
12.357 ROTC Language and Culture Training Grants (B)	X	
12.360 Research on Chemical and Biological Defense (B)	X	
12.550 The Language Flagship Grants to Institutions of Higher Education (B)	X	
12.558 Department of Defense Impact Aid (Supplement, CWSD, BRAC) (D)	X	
12.561 Community Partners in Suicide Prevention (B)	X	
12.579 Language Training Center (B)	X	
12.582 Office for Reintegration Programs (B)	X	
12.630 Basic, Applied, and Advanced Research in Science and Engineering (B)	X	
12.631 Science, Technology, Engineering and Mathematics (STEM) Educational Program: Science, Mathematics And Research for Transformation (SMART) (B)	X	
12.888 OPA Research Fellowship Program (B)	X	
OFFICE OF THE SECRETARY OF DEFENSE, LOGISTICS AND MATERIAL READINESS, MAINTENANCE POLICY & PROGRAMS		
12.225 Commercial Technologies for Maintenance Activities Program (B)	X	
U.S. ARMY MATERIEL COMMAND		
12.431 Basic Scientific Research (B)	X	
U.S. ARMY MEDICAL COMMAND		
12.420 Military Medical Research and Development (B)	X	
12.460 Fisher House Foundation (B)	X	
US ARMY CENTER OF MILITARY HISTORY		

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
12.440 Dissertation Year Fellowship (B)	X	
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT		
OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT		
14.218 Community Development Block Grants/Entitlement Grants (A)	X	
14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas (B)	X	
14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A)	X	
14.231 Emergency Solutions Grant Program (A)	X	
14.235 Supportive Housing Program (B,C)	X	
14.238 Shelter Plus Care (B)	X	
14.239 Home Investment Partnerships Program (A)	X	
14.241 Housing Opportunities for Persons with AIDS (A,B)	X	
14.247 Self-Help Homeownership Opportunity Program (B)	X	
14.248 Community Development Block Grants Section 108 Loan Guarantees (F)	X	
14.249 Section 8 Moderate Rehabilitation Single Room Occupancy (B)	X	
14.250 Rural Housing and Economic Development (B)	X	
14.252 Section 4 Capacity Building for Community Development and Affordable Housing (B)	X	
14.259 Community Compass Technical Assistance and Capacity Building (B)	X	
14.261 Homeless Management Information Systems Technical Assistance (B)	X	
14.265 Rural Capacity Building for Community Development and Affordable Housing Grants (B)	X	
14.266 Border Community Capital Initiative (B)	X	
14.267 Continuum of Care Program (B,C)	X	
14.268 Rural Housing Stability Assistance Program (B,C)	X	
14.269 Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG-DR) (A)	X	
14.270 Appalachia Economic Development Initiative (B)	X	
14.271 Delta Community Capital Initiative (B)	X	
14.272 National Disaster Resilience Competition (B)	X	
14.273 Pay for Success Permanent Supportive Housing Demonstration (B)	X	
14.275 Housing Trust Fund (A)	X	
14.276 Youth Homelessness Demonstration Program (C)	X	
14.278 Veterans Housing Rehabilitation and Modification Program (B)	X	
OFFICE OF FAIR HOUSING AND EQUAL OPPORTUNITY		
14.400 Equal Opportunity in Housing (N)		X
14.401 Fair Housing Assistance Program State and Local (B)	X	
14.408 Fair Housing Initiatives Program (B)	X	
14.416 Education and Outreach Initiatives (B)	X	
14.417 Fair Housing Organization Initiatives (B)	X	
14.418 Private Enforcement Initiatives (B)	X	
OFFICE OF HEALTHY HOMES AND LEAD HAZARD CONTROL		
14.900 Lead-Based Paint Hazard Control in Privately-Owned Housing (B)	X	
14.902 Lead Technical Studies Grants (B)	X	
14.905 Lead Hazard Reduction Demonstration Grant Program (B)	X	
14.906 Healthy Homes Technical Studies Grants (B)	X	
OFFICE OF POLICY DEVELOPMENT AND RESEARCH		
14.008 Transformation Initiative: Choice Neighborhoods Demonstration Small Research Grant Program (B)	X	
14.506 General Research and Technology Activity (J)		X
14.516 Doctoral Dissertation Research Grants (B)	X	
14.523 Transformation Initiative Research Grants: Sustainable Community Research Grant Program (B)	X	
14.524 Transformation Initiative Research Grants: Natural Experiments (B)	X	
14.525 Transformation Initiative Research Grants: Demonstration and Related Small Grants (B)	X	
14.529 Fellowship Placement Pilot Program (M)		X
14.534 Strong Cities Strong Communities (SC2) National Resource Network (B)	X	
14.535 Transformation Initiative: Rental Assistance Demonstration Small Research Grant Program (B)	X	
14.536 Research and Evaluations, Demonstrations, and Data Analysis and Utilization (B)	X	

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Program Description	Financial	Non-Financial
OFFICE OF PUBLIC AND INDIAN HOUSING		
14.850 Public and Indian Housing (C)	X	
14.856 Lower Income Housing Assistance Program Section 8 Moderate Rehabilitation (C)	X	
14.862 Indian Community Development Block Grant Program (B)	X	
14.865 Public and Indian Housing Indian Loan Guarantee Program (F)	X	
14.867 Indian Housing Block Grants (A)	X	
14.869 Title VI Federal Guarantees for Financing Tribal Housing Activities (F)	X	
14.870 Resident Opportunity and Supportive Services - Service Coordinators (B)	X	
14.871 Section 8 Housing Choice Vouchers (C)	X	
14.872 Public Housing Capital Fund (A)	X	
14.873 Native Hawaiian Housing Block Grants (B)	X	
14.874 Loan Guarantees for Native Hawaiian Housing (F)	X	
14.879 Mainstream Vouchers (C)	X	
14.881 Moving to Work Demonstration Program (A)	X	
14.888 Lead-Based Paint Capital Fund Program (B)	X	
14.889 Choice Neighborhoods Implementation Grants (B)	X	
14.891 Public and Indian Housing Transformation Initiative (TI) Technical Assistance (TA) (J)		X
14.892 Choice Neighborhoods Planning Grants (B)	X	
14.893 Office of Native American Programs Training and Technical Assistance for Indian Housing Block Grant Program (M)		X
14.894 Office of Native American Programs Training and Technical Assistance for Native Hawaiian Housing Block Grant Program (M)		X
14.895 Jobs-Plus Pilot Initiative (B)	X	
14.896 Family Self-Sufficiency Program (B)	X	
14.898 ROSS Supportive Services Programs (B)	X	
DEPARTMENT OF THE INTERIOR		
15.154 21st Century Conservation Service Corps (B)	X	
BUREAU OF INDIAN AFFAIRS		
15.020 Aid To Tribal Governments (C)	X	
15.021 Consolidated Tribal Government (C)	X	
15.022 Tribal Self-Governance (C)	X	
15.024 Indian Self-Determination Contract Support (C)	X	
15.025 Services to Indian Children, Elderly and Families (C)	X	
15.029 Tribal Courts (C)	X	
15.030 Indian Law Enforcement (C)	X	
15.031 Indian Community Fire Protection (C)	X	
15.032 Indian Economic Development (C)	X	
15.033 Road Maintenance Indian Roads (C)	X	
15.034 Agriculture on Indian Lands (C,J,K)	X	
15.035 Forestry on Indian Lands (C,J,K)	X	
15.036 Indian Rights Protection (C)	X	
15.037 Water Resources on Indian Lands (C,J,K)	X	
15.038 Minerals and Mining on Indian Lands (C,J,L)	X	
15.040 Real Estate Programs Indian Lands (C)	X	
15.041 Environmental Management Indian (C)	X	
15.048 Bureau of Indian Affairs Facilities Operations and Maintenance (C)	X	
15.051 Endangered Species on Indian Lands (C,K,L)	X	
15.052 Litigation Support for Indian Rights (C)	X	
15.053 Attorney Fees Indian Rights (C)	X	
15.057 Navajo-Hopi Indian Settlement (C,J)	X	
15.060 Indian Vocational Training United Tribes Technical College (D,M)	X	
15.061 Indian Job Placement United Sioux Tribes Development Corporation (D,K)	X	
15.062 Replacement and Repair of Indian Schools (C)	X	
15.063 Improvement and Repair of Indian Detention Facilities (C)	X	
15.065 Safety of Dams on Indian Lands (C)	X	
15.066 Tribal Great Lakes Restoration Initiative (B)	X	

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Program Description	Financial	Non-Financial
15.067 Strengthening Tribal Nations (B,K,L,M)	X	
15.108 Indian Employment Assistance (C)	X	
15.113 Indian Social Services Welfare Assistance (C)	X	
15.124 Indian Loans Economic Development (F)	X	
15.133 Native American Business Development Institute (A)	X	
15.141 Indian Housing Assistance (B,L)	X	
15.144 Indian Child Welfare Act Title II Grants (B)	X	
15.146 Ironworker Training (B)	X	
15.147 Tribal Courts Trust Reform Initiative (B)	X	
15.148 Tribal Energy Development Capacity Grants (C)	X	
15.156 Tribal Climate Resilience (C)	X	
15.159 Cultural Resources Management (B,C)	X	
15.160 BIA Wildland Urban Interface Community Fire Assistance (B,I,L,M)	X	
BUREAU OF INDIAN EDUCATION		
15.026 Indian Adult Education (C)	X	
15.027 Assistance to Tribally Controlled Community Colleges and Universities (B)	X	
15.028 Tribally Controlled Community College Endowments (B)	X	
15.042 Indian School Equalization (C)	X	
15.043 Indian Child and Family Education (B,M)	X	
15.044 Indian Schools Student Transportation (C)	X	
15.046 Administrative Cost Grants for Indian Schools (B)	X	
15.047 Indian Education Facilities, Operations, and Maintenance (C)	X	
15.058 Indian Post Secondary Schools (M)		X
15.059 Indian Graduate Student Scholarships (B)	X	
15.114 Indian Education Higher Education Grant (B)	X	
15.130 Indian Education Assistance to Schools (C)	X	
15.149 FOCUS on Student Achievement (B)	X	
15.150 Juvenile Detention Education (B)	X	
15.151 Education Enhancements (B)	X	
BUREAU OF LAND MANAGEMENT		
15.214 Non-Sale Disposals of Mineral Material (H)		X
15.222 Cooperative Inspection Agreements with States and Tribes (B)	X	
15.224 Cultural and Paleontological Resources Management (B)	X	
15.225 Recreation Resource Management (B)	X	
15.228 BLM Wildland Urban Interface Community Fire Assistance (B,I,L,M)	X	
15.229 Wild Horse and Burro Resource Management (B)	X	
15.230 Invasive and Noxious Plant Management (B,M)	X	
15.231 Fish, Wildlife and Plant Conservation Resource Management (B,M)	X	
15.232 Wildland Fire Research and Studies (B)	X	
15.233 Forests and Woodlands Resource Management (B)	X	
15.234 Secure Rural Schools and Community Self-Determination (B,M)	X	
15.235 Southern Nevada Public Land Management (B)	X	
15.236 Environmental Quality and Protection (B)	X	
15.237 Rangeland Resource Management (B)	X	
15.238 Challenge Cost Share (B)	X	
15.239 Management Initiatives (B)	X	
15.240 Helium Resource Management (B)	X	
15.241 Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (B,M)	X	
15.242 BLM Rural Fire Assistance (B,I,J,K,L,M)	X	
15.243 Youth Conservation Opportunities on Public Lands (B)	X	
BUREAU OF OCEAN ENERGY MANAGEMENT		
15.408 Bureau of Ocean Energy Management Renewable Energy (B)	X	
15.421 Alaska Coastal Marine Institute (B)	X	
15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI) (B)	X	
15.423 Bureau of Ocean Energy Management (BOEM) Environmental Studies (ES) (B)	X	

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Program Description	Financial	Non-Financial
15.424 Marine Minerals Activities - Hurricane Sandy (B)	X	
15.428 Marine Gas Hydrate Research Activities (B)	X	
15.443 Not For Profit (B)	X	
15.444 Take Pride (B)	X	
BUREAU OF RECLAMATION		
15.504 Title XVI Water Reclamation and Reuse (A)	X	
15.506 Water Desalination Research and Development (B)	X	
15.507 Water SMART (Sustaining and Manage America's Resources for Tomorrow) (B)	X	
15.508 Providing Water to At-Risk Natural Desert Terminal Lakes (B)	X	
15.509 Title II, Colorado River Basin Salinity Control (B)	X	
15.510 Colorado Ute Indian Water Rights Settlement Act (B,C)	X	
15.511 Cultural Resources Management (B)	X	
15.512 Central Valley Improvement Act, Title XXXIV (B)	X	
15.514 Reclamation States Emergency Drought Relief (B)	X	
15.516 Fort Peck Reservation Rural Water System (B,C)	X	
15.517 Fish and Wildlife Coordination Act (B)	X	
15.518 Garrison Diversion Unit (B)	X	
15.519 Indian Tribal Water Resources Development, Management, and Protection (B,C)	X	
15.520 Lewis and Clark Rural Water System (B)	X	
15.521 Lower Rio Grande Valley Water Resources Conservation and Improvement (B)	X	
15.522 Mni Wiconi Rural Water Supply Project (B)	X	
15.524 Recreation Resources Management (B)	X	
15.525 Rocky Boy's/North Central Montana Regional Water System (B)	X	
15.526 San Gabriel Basin Restoration (B)	X	
15.527 San Luis Unit, Central Valley (B)	X	
15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery (B)	X	
15.530 Water Conservation Field Services (WCFS) (B)	X	
15.531 Yakima River Basin Water Enhancement (YRBWE) (B)	X	
15.532 Central Valley, Trinity River Division, Trinity River Fish and Wildlife Management (B)	X	
15.533 California Water Security and Environmental Enhancement (B)	X	
15.534 Miscellaneous Public Law 93-638 Contracts, Grants, and Cooperative Agreements (B,C)	X	
15.537 Middle Rio Grande Endangered Species Collaborative (B)	X	
15.538 Lower Colorado River Multi-Species Conservation (B)	X	
15.539 Equus Beds Division Aquifer Storage Recharge (B)	X	
15.540 Lake Mead/Las Vegas Wash (B)	X	
15.541 Colorado River Basin Act of 1968 (B)	X	
15.542 Arizona Water Settlement Act of 2004 (B,C)	X	
15.543 Lake Tahoe Regional Wetlands Development (B)	X	
15.544 Platte River Recovery Implementation (B)	X	
15.545 Bunker Hill Groundwater Basin, Riverside-Corona Feeder (B)	X	
15.546 Youth Conservation (B)	X	
15.548 Reclamation Rural Water Supply (B)	X	
15.549 Integrated Regional Water Plan for the Central Valley of California (B)	X	
15.550 Fishing Events for Disadvantaged Children (B)	X	
15.551 Madera Water Supply Enhancement (B)	X	
15.552 Navajo-Gallup Water Supply (B)	X	
15.553 Eastern New Mexico Rural Water System (B)	X	
15.554 Cooperative Watershed Management (B)	X	
15.555 San Joaquin River Restoration (B)	X	
15.556 Crow Tribe Water Rights Settlement (C)	X	
15.557 Desert and Southern Rockies Landscape Conservation Cooperatives (B)	X	
15.558 White Mountain Apache Tribe Rural Water System (C)	X	
15.559 New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure (B,C)	X	
15.560 SECURE Water Act Research Agreements (B)	X	
15.561 Savage Rapids Pumping Plant (B)	X	
15.562 Dixie Valley Water Export Study (B)	X	
15.564 Central Valley Project Conservation (B)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
15.566 Upper Klamath Basin Hydrolic Analyses (A,B,C,L)	X	
15.567 Colorado River Conservation System (Pilot) (B)	X	
BUREAU OF SAFETY AND ENVIRONMENTAL ENFORCEMENT		
15.441 Safety and Environmental Enforcement Research and Data Collection for Offshore Energy and Mineral Activities (B)	X	
15.442 Alaska Native Science and Engineering (B)	X	
FISH AND WILDLIFE SERVICE		
15.605 Sport Fish Restoration (A)	X	
15.608 Fish and Wildlife Management Assistance (B)	X	
15.611 Wildlife Restoration and Basic Hunter Education (A)	X	
15.614 Coastal Wetlands Planning, Protection and Restoration (B)	X	
15.615 Cooperative Endangered Species Conservation Fund (B)	X	
15.616 Clean Vessel Act (B)	X	
15.619 Rhinoceros and Tiger Conservation Fund (B)	X	
15.620 African Elephant Conservation Fund (B)	X	
15.621 Asian Elephant Conservation Fund (B)	X	
15.622 Sportfishing and Boating Safety Act (B)	X	
15.623 North American Wetlands Conservation Fund (B)	X	
15.625 Wildlife Conservation and Restoration (A)	X	
15.626 Enhanced Hunter Education and Safety (A)	X	
15.628 Multistate Conservation Grant (B)	X	
15.629 Great Apes Conservation Fund (B)	X	
15.630 Coastal (B)	X	
15.631 Partners for Fish and Wildlife (B)	X	
15.633 Landowner Incentive (B)	X	
15.634 State Wildlife Grants (A,B)	X	
15.635 Neotropical Migratory Bird Conservation (B)	X	
15.636 Alaska Subsistence Management (B)	X	
15.637 Migratory Bird Joint Ventures (B)	X	
15.639 Tribal Wildlife Grants (B)	X	
15.640 Wildlife Without Borders- Latin America and the Caribbean (B)	X	
15.641 Wildlife Without Borders-Mexico (B)	X	
15.642 Challenge Cost Share (B)	X	
15.643 Alaska Migratory Bird Co-Management Council (B)	X	
15.644 Federal Junior Duck Stamp Conservation and Design (B)	X	
15.645 Marine Turtle Conservation Fund (B)	X	
15.647 Migratory Bird Conservation (B)	X	
15.648 Central Valley Improvement (CVI) Anadromous Fish Restoration (AFR) (B)	X	
15.649 Service Training and Technical Assistance (Generic Training) (B)	X	
15.650 Research Grants (Generic) (B)	X	
15.651 Wildlife Without Borders-Africa (B)	X	
15.652 Invasive Species (B)	X	
15.653 National Outreach and Communication (B)	X	
15.654 National Wildlife Refuge System Enhancements (B)	X	
15.655 Migratory Bird Monitoring, Assessment and Conservation (B)	X	
15.656 Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (B)	X	
15.657 Endangered Species Conservation Recovery Implementation Funds (B)	X	
15.658 Natural Resource Damage Assessment, Restoration and Implementation (B)	X	
15.659 National Wildlife Refuge Fund (D)	X	
15.660 Endangered Species - Candidate Conservation Action Funds (B)	X	
15.661 Lower Snake River Compensation Plan (B)	X	
15.662 Great Lakes Restoration (B)	X	
15.663 National Fish and Wildlife Foundation (B)	X	
15.664 Fish and Wildlife Coordination and Assistance (B)	X	
15.665 National Wetlands Inventory (B)	X	
15.666 Endangered Species Conservation-Wolf Livestock Loss Compensation and Prevention (B)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
15.667 Highlands Conservation (B)	X	
15.668 Coastal Impact Assistance (A)	X	
15.669 Cooperative Landscape Conservation (B)	X	
15.670 Adaptive Science (B)	X	
15.671 Yukon River Salmon Research and Management Assistance (B)	X	
15.672 Wildlife Without Borders Amphibians in Decline (B)	X	
15.673 Wildlife Without Borders Critically Endangered Animal Conservation Fund (B)	X	
15.674 National Fire Plan-Wildland Urban Interface Community Fire Assistance (B,I,J,K,L,M)	X	
15.676 Youth Engagement, Education, and Employment (B)	X	
15.677 Hurricane Sandy Disaster Relief Activities-FWS (B)	X	
15.678 Cooperative Ecosystem Studies Units (B)	X	
15.679 Combating Wildlife Trafficking (B)	X	
15.680 Mexican Wolf Recovery (B)	X	
15.681 Cooperative Agriculture (I)		X
NATIONAL PARK SERVICE		
15.406 National Park Service Centennial Challenge (B)	X	
15.407 Keweenaw National Historical Park (NHP) and Keweenaw NHP Advisory Commission Partner Enhancement Grants (B)	X	
15.904 Historic Preservation Fund Grants-In-Aid (A)	X	
15.912 National Historic Landmark (K)		X
15.914 National Register of Historic Places (K)		X
15.915 Technical Preservation Services (K,L)		X
15.916 Outdoor Recreation Acquisition, Development and Planning (A,B)	X	
15.918 Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments (H)		X
15.921 Rivers, Trails and Conservation Assistance (B,K)	X	
15.922 Native American Graves Protection and Repatriation Act (B)	X	
15.923 National Center for Preservation Technology and Training (B)	X	
15.925 National Maritime Heritage Grants (B)	X	
15.926 American Battlefield Protection (B)	X	
15.927 Hydropower Recreation Assistance (K)		X
15.928 Civil War Battlefield Land Acquisition Grants (B)	X	
15.929 Save America's Treasures (B)	X	
15.930 Chesapeake Bay Gateways Network (B)	X	
15.931 Conservation Activities by Youth Service Organizations (B)	X	
15.933 Preservation of Japanese American Confinement Sites (B)	X	
15.935 National Trails System Projects (B)	X	
15.937 Redwood National Park Cooperative Management with the State of California (B,H,I,K,L,M)	X	
15.938 Boston African-American National Historic Site Cooperative Agreement with the Museum of African American History (B)	X	
15.939 National Heritage Area Federal Financial Assistance (B)	X	
15.940 New Bedford Whaling National Historic Park Cooperative Management (B)	X	
15.941 Mississippi National River and Recreation Area State and Local Assistance (B,K)	X	
15.942 Environmental Education and Conservation - North Cascades Bioregion (B)	X	
15.943 Challenge Cost Share (B,C)	X	
15.944 Natural Resource Stewardship (B)	X	
15.945 Cooperative Research and Training Programs Resources of the National Park System (B)	X	
15.946 Cultural Resources Management (B)	X	
15.947 Boston Harbor Islands Partnership (B,C)	X	
15.949 National Fire Plan - Rural Fire Assistance (B,I,J,K,L,M)	X	
15.954 National Park Service Conservation, Protection, Outreach, and Education (B)	X	
15.955 Martin Luther King Junior National Historic Site and Preservation District (B)	X	
15.956 Ebey's Landing National Historical Reserve and Ebey's Landing National Historical Reserve Trust Board (C,J)	X	
15.957 Historic Preservation Fund Grants to Provide Disaster Relief to Historic Properties Damaged by Hurricane Sandy (A,B)	X	
15.958 Route 66 Corridor Preservation (B)	X	
15.961 Federal Historic Preservation Tax Incentive (K)		X
15.962 National Wild and Scenic Rivers System (B)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
OFFICE OF SURFACE MINING		
15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B,C)	X	
15.252 Abandoned Mine Land Reclamation (AMLR) (A,B)	X	
15.253 Not-for-Profit AMD Reclamation (B)	X	
15.254 OSM/VISTA AmeriCorps (C)	X	
15.255 Science and Technology Projects Related to Coal Mining and Reclamation (B)	X	
OFFICE OF THE SECRETARY		
15.152 Land Buy-Back For Tribal Nations (B)	X	
15.153 Hurricane Sandy Disaster Relief Coastal Resiliency Grants. (B)	X	
15.155 Office of the Special Trustee for American Indians, Field Operations (B)	X	
15.158 DOI National Fire Plan (B)	X	
15.226 Payments in Lieu of Taxes (C,D)	X	
15.427 Federal Oil and Gas Royalty Management State and Tribal Coordination (B)	X	
15.429 State Select (D)	X	
15.430 8(g) State Coastal Zone (C)	X	
15.431 Alaska Settlement Agreement (D)	X	
15.432 California Refuge Account (D)	X	
15.433 Flood Control Act Lands (C)	X	
15.434 Geothermal Resources (C)	X	
15.435 GoMESA (C)	X	
15.436 Late Disbursement Interest (D)	X	
15.437 Minerals Leasing Act (C)	X	
15.438 National Forest Acquired Lands (C)	X	
15.439 National Petroleum Reserve - Alaska (C)	X	
15.440 South Half of the Red River (C)	X	
15.535 Upper Colorado River Basin Fish and Wildlife Mitigation (B)	X	
U.S. GEOLOGICAL SURVEY		
15.805 Assistance to State Water Resources Research Institutes (A,B)	X	
15.807 Earthquake Hazards Program Assistance (B)	X	
15.808 U.S. Geological Survey Research and Data Collection (B)	X	
15.809 National Spatial Data Infrastructure Cooperative Agreements (B)	X	
15.810 National Cooperative Geologic Mapping (B)	X	
15.811 Gap Analysis (B)	X	
15.812 Cooperative Research Units (B)	X	
15.814 National Geological and Geophysical Data Preservation (B)	X	
15.815 National Land Remote Sensing Education Outreach and Research (B)	X	
15.817 National Geospatial Program: Building The National Map (B)	X	
15.818 Volcano Hazards Program Research and Monitoring (B)	X	
15.819 Energy Cooperatives to Support the National Energy Resources Data System (B)	X	
15.820 National Climate Change and Wildlife Science Center (B)	X	
15.978 Upper Mississippi River System Long Term Resource Monitoring (B)	X	
15.979 Hurricane Sandy (B)	X	
15.980 National Ground-Water Monitoring Network (B)	X	
15.981 Water Use and Data Research (B)	X	
DEPARTMENT OF JUSTICE		
16.829 Juvenile Justice Education Collaboration Assistance (B)	X	
BUREAU OF JUSTICE ASSISTANCE		
16.015 Missing Alzheimer's Disease Patient Assistance Program (B)	X	
16.571 Public Safety Officers' Benefits Program (D)	X	
16.578 Federal Surplus Property Transfer Program (H)		X
16.585 Drug Court Discretionary Grant Program (B)	X	
16.593 Residential Substance Abuse Treatment for State Prisoners (A)	X	
16.596 Justice System Infrastructure Program for Indian Tribes (B)	X	
16.606 State Criminal Alien Assistance Program (C)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
16.607 Bulletproof Vest Partnership Program (C)	X	
16.608 Tribal Court Assistance Program (B)	X	
16.609 Project Safe Neighborhoods (B)	X	
16.610 Regional Information Sharing Systems (B)	X	
16.614 State and Local Anti-Terrorism Training (K,L,M)		X
16.615 Public Safety Officers' Educational Assistance (D)	X	
16.616 Indian Country Alcohol and Drug Prevention (B)	X	
16.735 PREA Program: Demonstration Projects to Establish "Zero Tolerance" Cultures for Sexual Assault in Correctional Facilities (B)	X	
16.738 Edward Byrne Memorial Justice Assistance Grant Program (A,B)	X	
16.740 Statewide Automated Victim Information Notification (SAVIN) Program (B)	X	
16.745 Criminal and Juvenile Justice and Mental Health Collaboration Program (B)	X	
16.746 Capital Case Litigation Initiative (B)	X	
16.751 Edward Byrne Memorial Competitive Grant Program (B)	X	
16.752 Economic High-Tech and Cyber Crime Prevention (B)	X	
16.753 Congressionally Recommended Awards (B)	X	
16.754 Harold Rogers Prescription Drug Monitoring Program (B)	X	
16.812 Second Chance Act Reentry Initiative (B)	X	
16.815 Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (B)	X	
16.816 John R. Justice Prosecutors and Defenders Incentive Act (B)	X	
16.817 Byrne Criminal Justice Innovation Program (B)	X	
16.822 National Center for Campus Public Safety (B)	X	
16.824 Emergency Law Enforcement Assistance Grant (B)	X	
16.825 Smart Prosecution Initiative (B)	X	
16.827 Justice Reinvestment Initiative (B)	X	
16.828 Swift, Certain, and Fair (SCF) Sanctions program: Replicating the Concepts behind Project HOPE (B)	X	
16.833 National Sexual Assault Kit Initiative (B)	X	
16.835 Body Worn Camera Policy and Implementation (A,B,I,J,K,L,M,N)	X	
16.837 Building Community Trust (B)	X	
16.838 Comprehensive Opioid Abuse Site-Based Program (B)	X	
BUREAU OF JUSTICE STATISTICS		
16.550 State Justice Statistics Program for Statistical Analysis Centers (B)	X	
16.554 National Criminal History Improvement Program (NCHIP) (B)	X	
16.734 Special Data Collections and Statistical Studies (B)	X	
16.739 National Prison Rape Statistics Program (B)	X	
16.813 NICS Act Record Improvement Program (B)	X	
DRUG ENFORCEMENT ADMINISTRATION		
16.001 Law Enforcement Assistance Narcotics and Dangerous Drugs Laboratory Analysis (J)		X
16.003 Law Enforcement Assistance Narcotics and Dangerous Drugs Technical Laboratory Publications (L)		X
16.004 Law Enforcement Assistance Narcotics and Dangerous Drugs Training (M)		X
FEDERAL BUREAU OF INVESTIGATION		
16.300 Law Enforcement Assistance FBI Advanced Police Training (M)		X
16.301 Law Enforcement Assistance FBI Crime Laboratory Support (J,M)		X
16.302 Law Enforcement Assistance FBI Field Police Training (M)		X
16.303 Law Enforcement Assistance FBI Fingerprint Identification (J)		X
16.304 Law Enforcement Assistance National Crime Information Center (J)		X
16.305 Law Enforcement Assistance Uniform Crime Reports (L)		X
16.307 Combined DNA Index System (B)	X	
16.308 Indian Country Investigations (M)		X
16.309 Law Enforcement Assistance National Instant Criminal Background Check System (J)		X
NATIONAL INSTITUTE OF JUSTICE		
16.560 National Institute of Justice Research, Evaluation, and Development Project Grants (B,L)	X	
16.562 Criminal Justice Research and Development Graduate Research Fellowships (B)	X	
16.566 National Institute of Justice W.E.B. DuBois Fellowship Program (B)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
16.741 DNA Backlog Reduction Program (B)	X	
16.742 Paul Coverdell Forensic Sciences Improvement Grant Program (A,B)	X	
16.820 Postconviction Testing of DNA Evidence (B)	X	
OFFICE FOR VICTIMS OF CRIME		
16.320 Services for Trafficking Victims (B,C)	X	
16.321 Antiterrorism Emergency Reserve (B)	X	
16.575 Crime Victim Assistance (A)	X	
16.576 Crime Victim Compensation (A)	X	
16.582 Crime Victim Assistance/Discretionary Grants (B,C)	X	
16.583 Children's Justice Act Partnerships for Indian Communities (B,C)	X	
16.826 Vision 21 (B)	X	
16.834 Domestic Trafficking Victim Program (B)	X	
OFFICE OF COMMUNITY ORIENTED POLICING SERVICES		
16.710 Public Safety Partnership and Community Policing Grants (B)	X	
OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION		
16.123 Community-Based Violence Prevention Program (B)	X	
16.523 Juvenile Accountability Block Grants (A,B)	X	
16.540 Juvenile Justice and Delinquency Prevention (A,B,M)	X	
16.541 Part E - Developing, Testing and Demonstrating Promising New Programs (B,J)	X	
16.543 Missing Children's Assistance (B)	X	
16.544 Youth Gang Prevention (B)	X	
16.548 Title V Delinquency Prevention Program (A)	X	
16.726 Juvenile Mentoring Program (B)	X	
16.727 Enforcing Underage Drinking Laws Program (B)	X	
16.730 Reduction and Prevention of Children's Exposure to Violence (B)	X	
16.731 Tribal Youth Program (B)	X	
16.737 Gang Resistance Education and Training (B)	X	
16.756 Court Appointed Special Advocates (B)	X	
16.757 Judicial Training on Child Maltreatment for Court Personnel Juvenile Justice Programs (B)	X	
16.758 Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (B)	X	
16.818 Children Exposed to Violence (B)	X	
16.819 National Forum on Youth Violence Prevention (B)	X	
16.821 Juvenile Justice Reform and Reinvestment Demonstration Program (B)	X	
16.823 Emergency Planning for Juvenile Justice Facilities (B)	X	
16.830 Girls in the Juvenile Justice System (B)	X	
16.831 Children of Incarcerated Parents (B)	X	
16.832 Children of Incarcerated Parents Web Portal (B)	X	
16.836 Indigent Defense (B)	X	
OFFICE OF SEX OFFENDER SENTENCING, MONITORING, APPREHENDING, REGISTERING, AND TRACKING		
16.203 Promoting Evidence Integration in Sex Offender Management Discretionary Grant Program (B,M)	X	
16.750 Support for Adam Walsh Act Implementation Grant Program (B)	X	
VIOLENCE AGAINST WOMEN OFFICE		
16.016 Culturally and Linguistically Specific Services Program (B)	X	
16.017 Sexual Assault Services Formula Program (A)	X	
16.019 Tribal Registry (B)	X	
16.021 Justice Systems Response to Families (B)	X	
16.023 Sexual Assault Services Culturally Specific Program (B)	X	
16.024 Tribal Sexual Assault Services Program (B)	X	
16.025 Special Domestic Violence Criminal Jurisdiction Implementation (B)	X	
16.026 OVW Research and Evaluation Program (B)	X	
16.027 National Clearinghouse on Sexual Assault of American Indian and Alaska Native Women (B)	X	
16.028 Resource Center on Workplace Response to Domestic Violence, Dating Violence, Sexual Assault, and Stalking (B)	X	

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Program Description	Financial	Non-Financial
16.029 Office on Violence Against Women Special Projects (B)	X	
16.524 Legal Assistance for Victims (B)	X	
16.525 Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (B)	X	
16.526 OVW Technical Assistance Initiative (B)	X	
16.528 Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)	X	
16.529 Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (B)	X	
16.556 State Domestic Violence and Sexual Assault Coalitions (B)	X	
16.557 Tribal Domestic Violence and Sexual Assault Coalitions Grant Program (B)	X	
16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments (B)	X	
16.588 Violence Against Women Formula Grants (A)	X	
16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)	X	
16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program (B)	X	
16.736 Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault (B)	X	
16.888 Consolidated And Technical Assistance Grant Program to Address Children and Youth Experiencing Domestic and Sexual Violence and Engage Men and Boys as Allies (B)	X	
16.889 Grants for Outreach and Services to Underserved Populations (B)	X	
DEPARTMENT OF LABOR		
BUREAU OF INTERNATIONAL LABOR AFFAIRS		
17.401 International Labor Programs (B)	X	
BUREAU OF LABOR STATISTICS		
17.002 Labor Force Statistics (B,L)	X	
17.003 Prices and Cost of Living Data (L)		X
17.004 Productivity and Technology Data (L)		X
17.005 Compensation and Working Conditions (B,L)	X	
DEPARTMENTAL MANAGEMENT		
17.791 Department of Labor Chief Evaluation Office (B)	X	
EMPLOYEE BENEFITS SECURITY ADMINISTRATION		
17.150 Employee Benefits Security Administration (L)		X
EMPLOYMENT TRAINING ADMINISTRATION		
17.201 Registered Apprenticeship (B,K,M)	X	
17.207 Employment Service/Wagner-Peyser Funded Activities (A,B)	X	
17.225 Unemployment Insurance (A,D)	X	
17.235 Senior Community Service Employment Program (A,B)	X	
17.245 Trade Adjustment Assistance (A)	X	
17.258 WIOA Adult Program (A)	X	
17.259 WIOA Youth Activities (A)	X	
17.261 WIOA Pilots, Demonstrations, and Research Projects (B)	X	
17.264 National Farmworker Jobs Program (A)	X	
17.265 Native American Employment and Training (A)	X	
17.267 Incentive Grants - WIA Section 503 (B)	X	
17.268 H-1B Job Training Grants (B)	X	
17.270 Reentry Employment Opportunities (B)	X	
17.271 Work Opportunity Tax Credit Program (WOTC) (A)	X	
17.272 Permanent Labor Certification for Foreign Workers (J)		X
17.273 Temporary Labor Certification for Foreign Workers (A,J,O)	X	
17.274 YouthBuild (B)	X	
17.276 Health Care Tax Credit (HCTC) National Emergency Grants (NEGs) (B)	X	
17.277 WIOA National Dislocated Worker Grants / WIA National Emergency Grants (B)	X	
17.278 WIOA Dislocated Worker Formula Grants (A)	X	
17.280 WIOA Dislocated Worker National Reserve Demonstration Grants (B)	X	
17.281 WIOA Dislocated Worker National Reserve Technical Assistance and Training (B)	X	
17.282 Trade Adjustment Assistance Community College and Career Training (TAACCT) Grants (B)	X	

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Program Description	Financial	Non-Financial
17.283 Workforce Innovation Fund (B)	X	
17.285 Apprenticeship USA Grants (B)	X	
MINE SAFETY AND HEALTH ADMINISTRATION		
17.600 Mine Health and Safety Grants (B)	X	
17.601 Mine Health and Safety Counseling and Technical Assistance (K,L)		X
17.602 Mine Health and Safety Education and Training (M)		X
17.603 Brookwood-Sago Grant (B)	X	
17.604 Safety and Health Grants (B)	X	
OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION		
17.502 Occupational Safety and Health Susan Harwood Training Grants (B)	X	
17.503 Occupational Safety and Health State Program (B)	X	
17.504 Consultation Agreements (B)	X	
OFFICE OF DISABILITY EMPLOYMENT POLICY		
17.720 Disability Employment Policy Development (B)	X	
OFFICE OF LABOR-MANAGEMENT STANDARDS		
17.309 Labor Organization Reports (K,L,N)		X
OFFICE OF THE SECRETARY, WOMEN'S BUREAU		
17.700 Women's Bureau (K)		X
OFFICE OF WORKERS' COMPENSATION PROGRAMS		
17.302 Longshore and Harbor Workers' Compensation (D)	X	
17.307 Coal Mine Workers' Compensation (D)	X	
17.310 Energy Employees Occupational Illness Compensation (D)	X	
VETERANS' EMPLOYMENT AND TRAINING SERVICE		
17.801 Disabled Veterans' Outreach Program (DVOP) (A)	X	
17.804 Local Veterans' Employment Representative Program (A)	X	
17.805 Homeless Veterans Reintegration Program (B)	X	
17.807 Transition Assistance Program (J)		X
DEPARTMENT OF STATE		
19.700 General Department of State Assistance (B)	X	
BUREAU OF AFRICAN AFFAIRS		
19.979 Office of Security Affairs (B)	X	
BUREAU OF CONFLICT AND STABILIZATION OPERATIONS		
19.121 Conflict and Stabilization Operations (B)	X	
BUREAU OF COUNTERTERRORISM		
19.701 Global Counterterrorism Programs (B)	X	
BUREAU OF DEMOCRACY, HUMAN RIGHTS AND LABOR		
19.345 International Programs to Support Democracy, Human Rights and Labor (B)	X	
BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS		
19.009 Academic Exchange Programs - Undergraduate Programs (B)	X	
19.010 Academic Exchange Programs - Hubert H. Humphrey Fellowship Program (B)	X	
19.011 Academic Exchange Programs - Special Academic Exchange Programs (B)	X	
19.012 Professional and Cultural Exchange Programs - Special Professional and Cultural Programs (B)	X	
19.015 Cultural, Technical and Educational Centers (B)	X	
19.022 Educational and Cultural Exchange Programs Appropriation Overseas Grants (B)	X	
19.025 U.S. Ambassadors Fund for Cultural Preservation (B)	X	
19.400 Academic Exchange Programs - Graduate Students (B)	X	

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Program Description	Financial	Non-Financial
19.401 Academic Exchange Programs - Scholars (B)	X	
19.402 Professional and Cultural Exchange Programs - International Visitor Leadership Program (B)	X	
19.408 Academic Exchange Programs - Teachers (B)	X	
19.415 Professional and Cultural Exchange Programs - Citizen Exchanges (B)	X	
19.421 Academic Exchange Programs - English Language Programs (B)	X	
19.432 Academic Exchange Programs - Educational Advising and Student Services (B)	X	
19.450 ECA Individual Grants (B)	X	
19.451 Special International Exchange Grant Programs (B)	X	
19.452 International Exchange Alumni Programs (B)	X	
BUREAU OF EUROPEAN AND EURASIAN AFFAIRS		
19.878 EUR-Other (B)	X	
BUREAU OF INTELLIGENCE AND RESEARCH		
19.300 Program for Study of Eastern Europe and the Independent States of the Former Soviet Union (B)	X	
BUREAU OF INTERNATIONAL INFORMATION PROGRAMS		
19.440 IIP Individual Grants (B)	X	
BUREAU OF INTERNATIONAL SECURITY AND NONPROLIFERATION		
19.901 Export Control and Related Border Security (B)	X	
BUREAU OF NEAR EASTERN AFFAIRS		
19.016 Iraq Assistance Program (B)	X	
19.021 Investing in People in The Middle East and North Africa (B)	X	
19.221 Iran Assistance Program (B)	X	
19.222 Trans-Sahara Counterterrorism Partnership (TSCTP) (B)	X	
19.500 Middle East Partnership Initiative (B)	X	
19.600 Bureau of Near Eastern Affairs (B)	X	
19.601 Syria Assistance Program (B)	X	
BUREAU OF OCEANS AND INTERNATIONAL ENVIRONMENTAL AND SCIENTIFIC AFFAIRS		
19.017 Environmental and Scientific Partnerships and Programs (B)	X	
BUREAU OF POPULATION, REFUGEES, AND MIGRATION		
19.018 Resettlement Support Centers (RSCs) for U.S. Refugee Resettlement (B)	X	
19.510 U.S. Refugee Admissions Program (B)	X	
19.511 Overseas Refugee Assistance Programs for East Asia (B)	X	
19.515 Contributions to International Organizations for Overseas Assistance (B,C)	X	
19.517 Overseas Refugee Assistance Programs for Africa (B)	X	
19.518 Overseas Refugee Assistance Programs for Western Hemisphere (B)	X	
19.519 Overseas Refugee Assistance Program for Near East and South Asia (B)	X	
19.520 Overseas Refugee Assistance Programs for Europe (B)	X	
19.522 Overseas Refugee Assistance Programs for Strategic Global Priorities (B)	X	
DIPLOMATIC SECURITY		
19.031 Research and Development - Physical Security Programs (B)	X	
INTERNATIONAL NARCOTICS AND LAW ENFORCEMENT AFFAIRS		
19.703 Criminal Justice Systems (B)	X	
19.704 Counter Narcotics (B)	X	
19.705 Trans-National Crime (B)	X	
OFFICE OF OVERSEAS SCHOOLS		
19.023 Overseas Schools Program (B)	X	
OFFICE OF THE COORDINATOR FOR CYBER ISSUES		
19.035 Cyber Capacity Building (B)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
OFFICE OF THE COORDINATOR OF U.S. ASSISTANCE TO EUROPE AND EURASIA		
19.900 AEECA/ESF PD Programs (B)	X	
OFFICE OF THE SECRETARY OF STATE		
19.301 The Secretary's Office of the Global Partnership Initiative (S/GPI) Grant Programs (B)	X	
OFFICE TO MONITOR AND COMBAT TRAFFICKING IN PERSONS		
19.019 International Programs to Combat Human Trafficking (B)	X	
POLITICAL MILITARY AFFAIRS/ WEAPONS REMOVAL AND ABATEMENT		
19.800 Weapons Removal and Abatement (B)	X	
SECRETARY'S OFFICE OF GLOBAL WOMEN'S ISSUES		
19.801 Office of Global Women's Issues (B)	X	
UNDER SECRETARY FOR PUBLIC DIPLOMACY AND PUBLIC AFFAIRS		
19.040 Public Diplomacy Programs (B)	X	
DEPARTMENT OF TRANSPORTATION		
20.325 Consolidated Rail Infrastructure and Safety Improvements (B)	X	
FEDERAL AVIATION ADMINISTRATION (FAA)		
20.106 Airport Improvement Program (B,K)	X	
20.108 Aviation Research Grants (B,I)	X	
20.109 Air Transportation Centers of Excellence (B,I,J)	X	
FEDERAL HIGHWAY ADMINISTRATION (FHWA)		
20.200 Highway Research and Development Program (B)	X	
20.205 Highway Planning and Construction (A,B)	X	
20.215 Highway Training and Education (B,M)	X	
20.219 Recreational Trails Program (A)	X	
20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E,F)	X	
20.224 Federal Lands Access Program (A,B)	X	
20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort (B)	X	
FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION (FMCSA)		
20.218 Motor Carrier Safety Assistance (A)	X	
20.231 Performance and Registration Information Systems Management (B)	X	
20.232 Commercial Driver's License Program Implementation Grant (B)	X	
20.233 Border Enforcement Grants (B)	X	
20.234 Safety Data Improvement Program (B)	X	
20.235 Commercial Motor Vehicle Operator Safety Training Grants (B)	X	
20.237 Motor Carrier Safety Assistance High Priority Activities Grants and Cooperative Agreements (B)	X	
FEDERAL RAILROAD ADMINISTRATION (FRA)		
20.301 Railroad Safety (B)	X	
20.313 Railroad Research and Development (B)	X	
20.314 Railroad Development (B)	X	
20.315 National Railroad Passenger Corporation Grants (B)	X	
20.316 Railroad Rehabilitation and Improvement Financing Program (E,F)	X	
20.317 Capital Assistance to States - Intercity Passenger Rail Service (B)	X	
20.318 Maglev Project Selection Program - SAFETEA-LU (B)	X	
20.319 High-Speed Rail Corridors and Intercity Passenger Rail Service - Capital Assistance Grants (B)	X	
20.320 Rail Line Relocation and Improvement (B)	X	
20.321 Railroad Safety Technology Grants (B)	X	
20.323 Fiscal Year 2013 Hurricane Sandy Disaster Relief Grants to the National Railroad Passenger Corporation (B)	X	
20.324 Restoration and Enhancement (B)	X	
20.326 Federal-State Partnership for State of Good Repair (B)	X	

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Program Description	Financial	Non-Financial
FEDERAL TRANSIT ADMINISTRATION (FTA)		
20.500 Federal Transit Capital Investment Grants (A,B)	X	
20.505 Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research (A)	X	
20.507 Federal Transit Formula Grants (A)	X	
20.509 Formula Grants for Rural Areas (A)	X	
20.513 Enhanced Mobility of Seniors and Individuals with Disabilities (A,B)	X	
20.514 Public Transportation Research, Technical Assistance, and Training (B,C,L,M)	X	
20.516 Job Access and Reverse Commute Program (B)	X	
20.518 Capital and Training Assistance Program for Over-the-Road Bus Accessibility (B)	X	
20.519 Clean Fuels (B)	X	
20.520 Paul S. Sarbanes Transit in the Parks (B)	X	
20.521 New Freedom Program (A)	X	
20.522 Alternatives Analysis (B)	X	
20.523 Capital Assistance Program for Reducing Energy Consumption and Greenhouse Gas Emissions (B)	X	
20.524 Passenger Rail Investment and Improvement (PRIIA) Projects for Washington Metropolitan Area Transit Authority (WMATA) (B)	X	
20.525 State of Good Repair Grants Program (A)	X	
20.526 Bus and Bus Facilities Formula Program (A,B)	X	
20.527 Public Transportation Emergency Relief Program (B)	X	
20.528 Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program (A)	X	
20.529 Bus Testing Facility (B)	X	
20.530 Public Transportation Innovation (B,C,L,M)	X	
20.531 Technical Assistance and Workforce Development (B,C,L,M)	X	
MARITIME ADMINISTRATION (MARAD)		
20.802 Federal Ship Financing Guarantees (F)	X	
20.803 Maritime War Risk Insurance (G)	X	
20.806 State Maritime Schools (B,C,I,M)	X	
20.807 U.S. Merchant Marine Academy (M)		X
20.808 Capital Construction Fund (C)	X	
20.812 Construction Reserve Fund (C)	X	
20.813 Maritime Security Fleet Program or Ship Operations Cooperation Program (C)	X	
20.814 Assistance to Small Shipyards (C)	X	
20.816 Americas Marine Highway Grants (B)	X	
20.817 Air Emissions and Energy Initiative (B)	X	
20.818 Great Ships Initiative (B)	X	
20.819 Ballast Water Treatment Technologies (B)	X	
20.820 Maritime Studies and Innovations (B)	X	
20.821 Women on the Water (WOW) (B)	X	
20.822 Port of Guam Improvement Enterprise Program (B)	X	
NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION (NHTSA)		
20.600 State and Community Highway Safety (A)	X	
20.601 Alcohol Impaired Driving Countermeasures Incentive Grants I (B)	X	
20.602 Occupant Protection Incentive Grants (B)	X	
20.607 Alcohol Open Container Requirements (B)	X	
20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated (B)	X	
20.609 Safety Belt Performance Grants (B)	X	
20.610 State Traffic Safety Information System Improvement Grants (B)	X	
20.611 Incentive Grant Program to Prohibit Racial Profiling (A)	X	
20.612 Incentive Grant Program to Increase Motorcyclist Safety (A)	X	
20.613 Child Safety and Child Booster Seats Incentive Grants (B)	X	
20.614 National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants and Cooperative Agreements (B)	X	
20.616 National Priority Safety Programs (A)	X	
OFFICE OF THE SECRETARY (OST) ADMINISTRATION SECRETARIATE		
20.701 University Transportation Centers Program (B)	X	

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Program Description	Financial	Non-Financial
20.761 Biobased Transportation Research (B)	X	
20.762 Research Grants (B)	X	
20.901 Payments for Essential Air Services (B,C)	X	
20.904 Bonding Assistance Program (M)		X
20.905 Disadvantaged Business Enterprises Short Term Lending Program (F)	X	
20.910 Assistance to Small and Disadvantaged Businesses (B)	X	
20.930 Payments for Small Community Air Service Development (B)	X	
20.931 Transportation Planning, Research and Education (B)	X	
20.932 Surface Transportation Discretionary Grants for Capital Investment (B)	X	
20.933 National Infrastructure Investments (B)	X	
20.934 Nationally Significant Freight and Highway Projects (B)	X	
PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION		
20.700 Pipeline Safety Program State Base Grant (A)	X	
20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)	X	
20.710 Technical Assistance Grants (B)	X	
20.720 State Damage Prevention Program Grants (B)	X	
20.721 PHMSA Pipeline Safety Program One Call Grant (B)	X	
20.723 PHMSA Pipeline Safety Research and Development Other Transaction Agreements (B)	X	
20.724 Pipeline Safety Research Competitive Academic Agreement Program (CAAP) (B)	X	
20.725 PHMSA Pipeline Safety Underground Natural Gas Storage Grant (A)	X	
DEPARTMENT OF THE TREASURY		
21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)	X	
COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND		
21.011 Capital Magnet Fund (B)	X	
21.012 Native Initiatives (B)	X	
21.014 Community Development Financial Institutions Bond Guarantee Program (F)	X	
21.020 Community Development Financial Institutions Program (B)	X	
21.021 Bank Enterprise Award Program (B)	X	
DEPARTMENT OF THE TREASURY		
21.016 Equitable Sharing (C)	X	
INTERNAL REVENUE SERVICE (IRS)		
21.006 Tax Counseling for the Elderly (B)	X	
21.008 Low Income Taxpayer Clinics (B)	X	
APPALACHIAN REGIONAL COMMISSION		
23.001 Appalachian Regional Development (See individual Appalachian Programs) (B)	X	
23.002 Appalachian Area Development (B)	X	
23.003 Appalachian Development Highway System (B)	X	
23.009 Appalachian Local Development District Assistance (B)	X	
23.011 Appalachian Research, Technical Assistance, and Demonstration Projects (B)	X	
EQUAL EMPLOYMENT OPPORTUNITY COMMISSION		
30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964 (K,N,O)		X
30.005 Employment Discrimination Private Bar Program (J)		X
30.008 Employment Discrimination Age Discrimination in Employment (K,N,O)		X
30.010 Employment Discrimination Equal Pay Act (K,N,O)		X
30.011 Employment Discrimination Title I of The Americans with Disabilities Act (K,N,O)		X
30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008 (K,N,O)		X
FEDERAL MEDIATION AND CONCILIATION SERVICE		
34.002 Labor Management Cooperation (B)	X	
GENERAL SERVICES ADMINISTRATION		

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Program Description	Financial	Non-Financial
39.002 Disposal of Federal Surplus Real Property (H)		X
39.003 Donation of Federal Surplus Personal Property (H)		X
39.007 Sale of Federal Surplus Personal Property (H)		X
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION		
43.001 Science (B,C,I,M)	X	
43.002 Aeronautics (B,L)	X	
43.003 Exploration (B,C,I,J,L,M)	X	
43.004 Aeronautics, Recovery Act (B)	X	
43.007 Space Operations (B)	X	
43.008 Education (B)	X	
43.009 Cross Agency Support (B)	X	
43.010 Construction & Environmental Compliance & Remediation (B)	X	
43.011 Office of Inspector General (B,C,I,J,K,L,N)	X	
43.012 Space Technology (B,C,I,M)	X	
NATIONAL CREDIT UNION ADMINISTRATION		
44.002 Community Development Revolving Loan Fund Program for Credit Unions (C,E)	X	
FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES		
45.201 Arts and Artifacts Indemnity (G)	X	
NATIONAL ENDOWMENT FOR THE ARTS		
45.024 Promotion of the Arts Grants to Organizations and Individuals (B)	X	
45.025 Promotion of the Arts Partnership Agreements (B,K)	X	
NATIONAL ENDOWMENT FOR THE HUMANITIES		
45.129 Promotion of the Humanities Federal/State Partnership (A)	X	
45.130 Promotion of the Humanities Challenge Grants (B)	X	
45.149 Promotion of the Humanities Division of Preservation and Access (B)	X	
45.160 Promotion of the Humanities Fellowships and Stipends (B)	X	
45.161 Promotion of the Humanities Research (B)	X	
45.162 Promotion of the Humanities Teaching and Learning Resources and Curriculum Development (B)	X	
45.164 Promotion of the Humanities Public Programs (B)	X	
45.169 Promotion of the Humanities Office of Digital Humanities (B)	X	
NATIONAL SCIENCE FOUNDATION		
47.041 Engineering Grants (B)	X	
47.049 Mathematical and Physical Sciences (B)	X	
47.050 Geosciences (B)	X	
47.070 Computer and Information Science and Engineering (B)	X	
47.074 Biological Sciences (B)	X	
47.075 Social, Behavioral, and Economic Sciences (B)	X	
47.079 Office of International Science and Engineering (B)	X	
47.083 Office of Integrative Activities (B)	X	
RAILROAD RETIREMENT BOARD		
57.001 Social Insurance for Railroad Workers (D)	X	
SMALL BUSINESS ADMINISTRATION		
59.006 8(a) Business Development Program (J)		X
59.007 7(j) Technical Assistance (B)	X	
59.008 Disaster Assistance Loans (E)	X	
59.011 Small Business Investment Companies (F)	X	
59.012 7(a) Loan Guarantees (F)	X	
59.016 Surety Bond Guarantees (G)	X	
59.026 SCORE (B)	X	
59.037 Small Business Development Centers (B,J,K,L)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
59.041 504 Certified Development Loans (F)	X	
59.043 Women's Business Ownership Assistance (B)	X	
59.044 Veterans Outreach Program (B)	X	
59.046 Microloan Program (A,E)	X	
59.050 Prime Technical Assistance (B)	X	
59.052 Native American Outreach (K)		X
59.053 Ombudsman and Regulatory Fairness Boards (N)		X
59.054 7(a)Export Loan Guarantees (F)	X	
59.055 HUBZone Program (J)		X
59.058 Federal and State Technology Partnership Program (B)	X	
59.061 State Trade Expansion (B)	X	
59.062 Intermediary Loan Program (E)	X	
59.064 Entrepreneurial Development Disaster Assistance (Disaster Relief Appropriations Act) (B,J,K,L)	X	
59.066 Transition Assistance Entrepreneurship Track (Boots to Business) (B)	X	
59.067 Regional Innovation Clusters (C)	X	
DEPARTMENT OF VETERANS AFFAIRS		
VA HEALTH ADMINISTRATION CENTER		
64.054 Research and Development (B)	X	
VETERANS BENEFITS ADMINISTRATION		
64.030 Life Insurance for Veterans Face Amount of New Life Insurance Policies Issued (G)	X	
64.031 Life Insurance for Veterans - Direct Payments for Insurance (G)	X	
64.032 Montgomery GI Bill Selected Reserve; Reserve Educational Assistance Program (D)	X	
64.101 Burial Expenses Allowance for Veterans (C)	X	
64.104 Pension for Non-Service-Connected Disability for Veterans (D)	X	
64.105 Pension to Veterans Surviving Spouses, and Children (D)	X	
64.106 Specially Adapted Housing for Disabled Veterans (C)	X	
64.110 Veterans Dependency and Indemnity Compensation for Service-Connected Death (D)	X	
64.124 All-Volunteer Force Educational Assistance (D)	X	
64.125 Vocational and Educational Counseling for Servicemembers and Veterans (K)		X
64.128 Vocational Training and Rehabilitation for Vietnam Veterans' Children with Spina Bifida or Other Covered Birth Defects (D)	X	
ENVIRONMENTAL PROTECTION AGENCY		
66.129 Southeast New England Coastal Watershed Restoration (B)	X	
66.130 Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component (B)	X	
66.441 Healthy Watersheds Consortium Grant Program (B)	X	
OFFICE OF ADMINISTRATION AND RESOURCES MANAGEMENT		
66.508 Senior Environmental Employment Program (B)	X	
66.518 State Senior Environmental Employment Program (B)	X	
OFFICE OF AIR AND RADIATION		
66.001 Air Pollution Control Program Support (B)	X	
66.032 State Indoor Radon Grants (B)	X	
66.033 Ozone Transport Commission (B)	X	
66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)	X	
66.037 Internships, Training and Workshops for the Office of Air and Radiation (B)	X	
66.038 Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)	X	
66.039 National Clean Diesel Emissions Reduction Program (B)	X	
66.040 State Clean Diesel Grant Program (A)	X	
66.041 Climate Showcase Communities Grant Program (B)	X	
66.042 Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)	X	
OFFICE OF CHEMICAL SAFETY AND POLLUTION PREVENTION		

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
66.612 Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (B)	X	
66.707 TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (A)	X	
66.708 Pollution Prevention Grants Program (B)	X	
66.716 Research, Development, Monitoring, Public Education, Outreach, Training, Demonstrations, and Studies (B)	X	
66.717 Source Reduction Assistance (B)	X	
OFFICE OF ENFORCEMENT AND COMPLIANCE ASSURANCE		
66.305 Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)	X	
66.309 Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (B)	X	
66.310 Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (B)	X	
66.313 International Compliance and Enforcement Projects (B)	X	
66.604 Environmental Justice Small Grant Program (B)	X	
66.700 Consolidated Pesticide Enforcement Cooperative Agreements (B)	X	
66.701 Toxic Substances Compliance Monitoring Cooperative Agreements (B)	X	
OFFICE OF ENVIRONMENTAL INFORMATION		
66.608 Environmental Information Exchange Network Grant Program and Related Assistance (B)	X	
OFFICE OF ENVIRONMENTAL JUSTICE		
66.306 Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)	X	
OFFICE OF INTERNATIONAL AND TRIBAL AFFAIRS		
66.473 Direct Implementation Tribal Cooperative Agreements (B)	X	
66.926 Indian Environmental General Assistance Program (GAP) (B)	X	
66.931 International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (B)	X	
OFFICE OF RESEARCH AND DEVELOPMENT (ORD)		
66.509 Science To Achieve Results (STAR) Research Program (B)	X	
66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)	X	
66.511 Office of Research and Development Consolidated Research/Training/Fellowships (B)	X	
66.513 Greater Research Opportunities (GRO) Fellowships For Undergraduate Environmental Study (B)	X	
66.514 Science To Achieve Results (STAR) Fellowship Program (B)	X	
66.516 P3 Award: National Student Design Competition for Sustainability (B)	X	
66.517 Regional Applied Research Efforts (RARE) (B)	X	
OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE		
66.801 Hazardous Waste Management State Program Support (A)	X	
66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)	X	
66.804 Underground Storage Tank Prevention, Detection and Compliance Program (A)	X	
66.805 Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)	X	
66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)	X	
66.808 Solid Waste Management Assistance Grants (B)	X	
66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements (B)	X	
66.812 Hazardous Waste Management Grant Program for Tribes (B)	X	
66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)	X	
66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)	X	
66.815 Environmental Workforce Development and Job Training Cooperative Agreements (B)	X	
66.816 Headquarters and Regional Underground Storage Tanks Program (B)	X	
66.817 State and Tribal Response Program Grants (A)	X	
66.818 Brownfields Assessment and Cleanup Cooperative Agreements (B)	X	
OFFICE OF THE ADMINISTRATOR		
66.605 Performance Partnership Grants (A,B)	X	
66.609 Protection of Children from Environmental Health Risks (B)	X	
66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)	X	
66.611 Environmental Policy and Innovation Grants (B)	X	

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Program Description	Financial	Non-Financial
66.950 National Environmental Education Training Program (B)	X	
66.951 Environmental Education Grants (B)	X	
OFFICE OF THE CHIEF FINANCIAL OFFICER		
66.202 Congressionally Mandated Projects (B)	X	
OFFICE OF WATER		
66.203 Environmental Finance Center Grants (B)	X	
66.419 Water Pollution Control State, Interstate, and Tribal Program Support (A)	X	
66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)	X	
66.432 State Public Water System Supervision (A)	X	
66.433 State Underground Water Source Protection (A)	X	
66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)	X	
66.437 Long Island Sound Program (B)	X	
66.440 Urban Waters Small Grants (B)	X	
66.454 Water Quality Management Planning (A)	X	
66.456 National Estuary Program (B)	X	
66.458 Capitalization Grants for Clean Water State Revolving Funds (A)	X	
66.460 Nonpoint Source Implementation Grants (A)	X	
66.461 Regional Wetland Program Development Grants (B)	X	
66.462 National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)	X	
66.466 Chesapeake Bay Program (B)	X	
66.468 Capitalization Grants for Drinking Water State Revolving Funds (A)	X	
66.469 Great Lakes Program (B,J,L)	X	
66.472 Beach Monitoring and Notification Program Implementation Grants (A)	X	
66.474 Water Protection Grants to the States (A)	X	
66.475 Gulf of Mexico Program (B)	X	
66.481 Lake Champlain Basin Program (B)	X	
66.482 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds (A)	X	
66.483 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds (A)	X	
REGION 1		
66.110 Healthy Communities Grant Program (B)	X	
REGION 10		
66.120 Puget Sound Watershed Management Assistance (B)	X	
66.121 Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (B)	X	
REGION 4		
66.128 Southeastern Multi-Media and Geographic Priority Projects (B)	X	
REGION 6		
66.124 Coastal Wetlands Planning Protection and Restoration Act (B)	X	
66.125 Lake Pontchartrain Basin Restoration Program (PRP) (B)	X	
NUCLEAR REGULATORY COMMISSION		
77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (B)	X	
77.007 U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (B)	X	
77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (B)	X	
77.009 U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (B)	X	
DEPARTMENT OF ENERGY		
81.003 Granting of Patent Licenses (L)		X
81.036 Inventions and Innovations (B,K,L)	X	
81.041 State Energy Program (A,L)	X	

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Program Description	Financial	Non-Financial
81.042	Weatherization Assistance for Low-Income Persons (A)	X
81.049	Office of Science Financial Assistance Program (B)	X
81.079	Regional Biomass Energy Programs (B)	X
81.086	Conservation Research and Development (B)	X
81.087	Renewable Energy Research and Development (B)	X
81.104	Environmental Remediation and Waste Processing and Disposal (B)	X
81.105	National Industrial Competitiveness through Energy, Environment, and Economics (B)	X
81.106	Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (B)	X
81.108	Epidemiology and Other Health Studies Financial Assistance Program (B)	X
81.112	Stewardship Science Grant Program (B)	X
81.113	Defense Nuclear Nonproliferation Research (B)	X
81.117	Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (B)	X
81.119	State Energy Program Special Projects (B)	X
81.121	Nuclear Energy Research, Development and Demonstration (B)	X
81.122	Electricity Delivery and Energy Reliability, Research, Development and Analysis (B)	X
81.123	National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program (B)	X
81.126	Federal Loan Guarantees for Innovative Energy Technologies (E,F)	X
81.127	Energy Efficient Appliance Rebate Program (EEARP) (A)	X
81.128	Energy Efficiency and Conservation Block Grant Program (EECBG) (A,B)	X
81.129	Energy Efficiency and Renewable Energy Technology Deployment, Demonstration and Commercialization (B)	X
81.135	Advanced Research Projects Agency - Energy (B)	X
81.136	Long-Term Surveillance and Maintenance (B)	X
81.137	Minority Economic Impact (B)	X
81.138	State Heating Oil and Propane Program (B)	X
81.139	Environmental Management R&D and Validation Testing on High Efficiency Particulate Air (HEPA) Filters (B)	X
81.140	Los Alamos National Laboratory - Fire Protection (B)	X
81.214	Environmental Monitoring/Cleanup, Cultural and Resource Mgmt., Emergency Response Research, Outreach, Technical Analysis (B)	X
81.250	Energy Policy and Systems Analysis (B)	X
DEPARTMENT OF EDUCATION		
84.417	Directed Grants and Awards (C)	X
84.419	Preschool Development Grants (B)	X
84.420	Performance Partnership Pilots for Disconnected Youth (B)	X
84.421	Disability Innovation Fund (DIF) (B)	X
84.422	American History and Civics Education (B)	X
84.424	Student Support and Academic Enrichment Program (A)	X
INSTITUTE OF EDUCATION SCIENCES		
84.305	Education Research, Development and Dissemination (B)	X
84.324	Research in Special Education (B)	X
84.329	Special Education Studies and Evaluations (B)	X
84.372	Statewide Longitudinal Data Systems (B)	X
OFFICE OF CAREER, TECHNICAL, AND ADULT EDUCATION		
84.002	Adult Education - Basic Grants to States (A)	X
84.048	Career and Technical Education -- Basic Grants to States (A)	X
84.051	Career and Technical Education -- National Programs (B)	X
84.101	Career and Technical Education - Grants to Native Americans and Alaska Natives (B)	X
84.191	Adult Education National Leadership Activities (B,C)	X
84.245	Tribally Controlled Postsecondary Career and Technical Institutions (B)	X
84.259	Native Hawaiian Career and Technical Education (B)	X
OFFICE OF ELEMENTARY AND SECONDARY EDUCATION		
84.004	Civil Rights Training and Advisory Services (also known as Equity Assistance Centers) (B)	X
84.010	Title I Grants to Local Educational Agencies (A)	X

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Program Description	Financial	Non-Financial
84.011 Migrant Education State Grant Program (A)	X	
84.013 Title I State Agency Program for Neglected and Delinquent Children and Youth (A)	X	
84.040 Impact Aid Facilities Maintenance (B)	X	
84.041 Impact Aid (A,B)	X	
84.060 Indian Education Grants to Local Educational Agencies (A)	X	
84.141 Migrant Education High School Equivalency Program (B)	X	
84.144 Migrant Education Coordination Program (B)	X	
84.149 Migrant Education College Assistance Migrant Program (B)	X	
84.184 School Safety National Activities (formerly, Safe and Drug-Free Schools and Communities-National Programs) (B)	X	
84.196 Education for Homeless Children and Youth (A)	X	
84.206 Javits Gifted and Talented Students Education (B)	X	
84.256 Territories and Freely Associated States Education Grant Program (B)	X	
84.283 Comprehensive Centers (B)	X	
84.287 Twenty-First Century Community Learning Centers (A)	X	
84.299 Indian Education -- Special Programs for Indian Children (B)	X	
84.330 Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (B)	X	
84.356 Alaska Native Educational Programs (B)	X	
84.358 Rural Education (A)	X	
84.362 Native Hawaiian Education (B)	X	
84.365 English Language Acquisition State Grants (A)	X	
84.366 Mathematics and Science Partnerships (A)	X	
84.367 Supporting Effective Instruction State Grants (formerly Improving Teacher Quality State Grants) (A)	X	
84.368 Competitive Grants for State Assessments (formerly Grants for Enhanced Assessment Instruments) (B)	X	
84.369 Grants for State Assessments and Related Activities (A)	X	
84.371 Striving Readers/Comprehensive Literacy Development (B)	X	
84.377 School Improvement Grants (A)	X	
84.403 Consolidated Grant to the Outlying Areas (A)	X	
84.415 State Tribal Education Partnership (STEP) (B)	X	
OFFICE OF FEDERAL STUDENT AID		
84.007 Federal Supplemental Educational Opportunity Grants (C)	X	
84.033 Federal Work-Study Program (C)	X	
84.063 Federal Pell Grant Program (C)	X	
84.268 Federal Direct Student Loans (E)	X	
84.379 Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (C)	X	
84.408 Postsecondary Education Scholarships for Veteran's Dependents (C)	X	
OFFICE OF HUMAN RESOURCES AND ADMINISTRATION		
84.145 Federal Real Property Assistance Program (H)		X
OFFICE OF INNOVATION AND IMPROVEMENT		
84.165 Magnet Schools Assistance (B)	X	
84.215 Fund for the Improvement of Education (B)	X	
84.282 Charter Schools (B)	X	
84.295 Ready-To-Learn Television (B)	X	
84.336 Teacher Quality Partnership Grants (B)	X	
84.351 Arts in Education (B)	X	
84.354 Credit Enhancement for Charter School Facilities (B)	X	
84.363 School Leader Recruitment and Support (formerly School Leadership) (B)	X	
84.370 DC School Choice Incentive Program (B)	X	
84.374 Teacher and School Leader Incentive Grants (formerly the Teacher Incentive Fund) (B)	X	
84.411 Education Innovation and Research (formerly Investing in Innovation (i3) Fund) (B)	X	
84.423 Supporting Effective Educator Development Program (B)	X	
OFFICE OF POSTSECONDARY EDUCATION		
84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)	X	
84.016 Undergraduate International Studies and Foreign Language Programs (B)	X	

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Program Description	Financial	Non-Financial
84.017 International Research and Studies (B)	X	
84.018 Overseas Programs Special Bilateral Projects (B)	X	
84.021 Overseas Programs - Group Projects Abroad (B)	X	
84.022 Overseas Programs - Doctoral Dissertation Research Abroad (B)	X	
84.031 Higher Education Institutional Aid (B)	X	
84.042 TRIO Student Support Services (B)	X	
84.044 TRIO Talent Search (B)	X	
84.047 TRIO Upward Bound (B)	X	
84.066 TRIO Educational Opportunity Centers (B)	X	
84.103 TRIO Staff Training Program (B)	X	
84.120 Minority Science and Engineering Improvement (B)	X	
84.200 Graduate Assistance in Areas of National Need (B)	X	
84.217 TRIO McNair Post-Baccalaureate Achievement (B)	X	
84.220 Centers for International Business Education (B)	X	
84.229 Language Resource Centers (B)	X	
84.274 American Overseas Research Centers (B)	X	
84.334 Gaining Early Awareness and Readiness for Undergraduate Programs (B)	X	
84.335 Child Care Access Means Parents in School (B)	X	
84.382 Strengthening Minority-Serving Institutions (B)	X	
84.407 Transition Programs for Students with Intellectual Disabilities into Higher Education (B)	X	
84.414 Graduate Research Opportunities for Minority Students (Minorities and Retirement Security Program) (B)	X	
OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES		
84.027 Special Education Grants to States (A)	X	
84.126 Rehabilitation Services Vocational Rehabilitation Grants to States (A)	X	
84.129 Rehabilitation Long-Term Training (B)	X	
84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)	X	
84.161 Rehabilitation Services Client Assistance Program (A)	X	
84.173 Special Education Preschool Grants (A)	X	
84.177 Rehabilitation Services Independent Living Services for Older Individuals Who are Blind (B)	X	
84.181 Special Education-Grants for Infants and Families (A)	X	
84.187 Supported Employment Services for Individuals with the Most Significant Disabilities (A)	X	
84.235 Rehabilitation Services Demonstration and Training Programs (B)	X	
84.240 Program of Protection and Advocacy of Individual Rights (A)	X	
84.246 Rehabilitation Short-Term Training (B)	X	
84.250 Rehabilitation Services American Indians with Disabilities (B)	X	
84.263 Rehabilitation Training Experimental and Innovative Training (B)	X	
84.264 Rehabilitation Training Continuing Education (B)	X	
84.315 Capacity Building for Traditionally Underserved Populations (B)	X	
84.323 Special Education - State Personnel Development (B)	X	
84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)	X	
84.326 Special Education Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)	X	
84.327 Special Education Educational Technology Media, and Materials for Individuals with Disabilities (B)	X	
84.328 Special Education Parent Information Centers (B)	X	
84.373 Special Education Technical Assistance on State Data Collection (B)	X	
84.380 Special Education -- Olympic Education Programs (B)	X	
84.418 Promoting Readiness of Minors in Supplemental Security Income (B)	X	
BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION		
85.200 Barry M. Goldwater Scholarship Program (C)	X	
INTER-AMERICAN FOUNDATION		
85.750 IAF Assistance for Overseas Programs (B)	X	
GULF COAST ECOSYSTEM RESTORATION COUNCIL		
87.051 Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component Program (B)	X	
87.052 Gulf Coast Ecosystem Restoration Council Oil Spill Impact Program (B)	X	

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Program Description	Financial	Non-Financial
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION		
89.001 National Archives Reference Services Historical Research (I,K,L)		X
89.003 National Historical Publications and Records Grants (B)	X	
DELTA REGIONAL AUTHORITY		
90.203 Delta Creative Place-Making Pilot Initiative (B)	X	
90.204 States' Economic Development Assistance Program (B)	X	
DENALI COMMISSION		
90.100 Denali Commission Program (B)	X	
90.199 Shared Services (B)	X	
NATIONAL COUNCIL ON DISABILITY		
92.002 National Council on Disability (B)	X	
DEPARTMENT OF HEALTH AND HUMAN SERVICES		
93.095 HHS Programs for Disaster Relief Appropriations Act - Non Construction (A,B)	X	
93.096 HHS Programs for Disaster Relief Appropriations Act - Construction (B)	X	
ADMINISTRATION FOR CHILDREN AND FAMILIES		
93.060 Sexual Risk Avoidance Education (B)	X	
93.086 Healthy Marriage Promotion and Responsible Fatherhood Grants (B,L)	X	
93.087 Enhance Safety of Children Affected by Substance Abuse (B)	X	
93.090 Guardianship Assistance (A)	X	
93.092 Affordable Care Act (ACA) Personal Responsibility Education Program (A,B)	X	
93.093 Affordable Care Act (ACA) Health Profession Opportunity Grants (B)	X	
93.235 Affordable Care Act (ACA) Abstinence Education Program (A)	X	
93.254 Infant Adoption Awareness Training (B)	X	
93.327 Demonstration Grants for Domestic Victims of Human Trafficking (B)	X	
93.340 Native American Community Research, Demonstration, and Pilot Projects (B)	X	
93.475 TANF Policy Academy for Innovative Employment Strategies (PAIES) (B)	X	
93.508 Affordable Care Act (ACA) Tribal Maternal, Infant, and Early Childhood Home Visiting Program (B)	X	
93.550 Transitional Living for Homeless Youth (B)	X	
93.551 Abandoned Infants (B)	X	
93.556 Promoting Safe and Stable Families (A,B)	X	
93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)	X	
93.558 Temporary Assistance for Needy Families (A,L)	X	
93.560 Family Support Payments to States Assistance Payments (A)	X	
93.563 Child Support Enforcement (A)	X	
93.564 Child Support Enforcement Research (B)	X	
93.566 Refugee and Entrant Assistance State/Replacement Designee Administered Programs (A)	X	
93.567 Refugee and Entrant Assistance Voluntary Agency Programs (A)	X	
93.568 Low-Income Home Energy Assistance (A)	X	
93.569 Community Services Block Grant (A)	X	
93.570 Community Services Block Grant Discretionary Awards (B)	X	
93.575 Child Care and Development Block Grant (A)	X	
93.576 Refugee and Entrant Assistance Discretionary Grants (B)	X	
93.579 U.S. Repatriation (B)	X	
93.581 Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (B)	X	
93.583 Refugee and Entrant Assistance Wilson/Fish Program (B)	X	
93.584 Refugee and Entrant Assistance Targeted Assistance Grants (A)	X	
93.586 State Court Improvement Program (A,B)	X	
93.587 Promote the Survival and Continuing Vitality of Native American Languages (B)	X	
93.590 Community-Based Child Abuse Prevention Grants (A)	X	
93.591 Family Violence Prevention and Services/State Domestic Violence Coalitions (A)	X	
93.592 Family Violence Prevention and Services/Discretionary (B)	X	
93.594 Tribal Work Grants (A)	X	

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Program Description	Financial	Non-Financial
93.595	Welfare Reform Research, Evaluations and National Studies (B)	X
93.596	Child Care Mandatory and Matching Funds of the Child Care and Development Fund (A)	X
93.597	Grants to States for Access and Visitation Programs (A)	X
93.598	Services to Victims of a Severe Form of Trafficking (B,C)	X
93.599	Chafee Education and Training Vouchers Program (ETV) (A)	X
93.600	Head Start (B,C)	X
93.601	Child Support Enforcement Demonstrations and Special Projects (B)	X
93.602	Assets for Independence Demonstration Program (B)	X
93.603	Adoption and Legal Guardianship Incentive Payments (A)	X
93.604	Assistance for Torture Victims (B,C)	X
93.605	Family Connection Grants (B)	X
93.612	Native American Programs (B)	X
93.623	Basic Center Grant (B)	X
93.643	Children's Justice Grants to States (A)	X
93.645	Stephanie Tubbs Jones Child Welfare Services Program (A)	X
93.647	Social Services Research and Demonstration (B)	X
93.648	Child Welfare Research Training or Demonstration (B)	X
93.652	Adoption Opportunities (B)	X
93.658	Foster Care Title IV-E (A,B)	X
93.659	Adoption Assistance (A)	X
93.667	Social Services Block Grant (A)	X
93.669	Child Abuse and Neglect State Grants (A)	X
93.670	Child Abuse and Neglect Discretionary Activities (B)	X
93.671	Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services (A)	X
93.674	Chafee Foster Care Independence Program (A)	X
93.676	Unaccompanied Alien Children Program (B)	X
93.714	ARRA Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program (A)	X
93.872	Tribal Maternal, Infant, and Early Childhood Home Visiting (B)	X
ADMINISTRATION FOR COMMUNITY LIVING		
93.041	Special Programs for the Aging, Title VII, Chapter 3, Programs for Prevention of Elder Abuse, Neglect, and Exploitation (A)	X
93.042	Special Programs for the Aging, Title VII, Chapter 2, Long Term Care Ombudsman Services for Older Individuals (A)	X
93.043	Special Programs for the Aging, Title III, Part D, Disease Prevention and Health Promotion Services (A)	X
93.044	Special Programs for the Aging, Title III, Part B, Grants for Supportive Services and Senior Centers (A)	X
93.045	Special Programs for the Aging, Title III, Part C, Nutrition Services (A)	X
93.047	Special Programs for the Aging, Title VI, Part A, Grants to Indian Tribes, Part B, Grants to Native Hawaiians (A)	X
93.048	Special Programs for the Aging, Title IV, and Title II, Discretionary Projects (B)	X
93.051	Alzheimer's Disease Demonstration Grants to States (B)	X
93.052	National Family Caregiver Support, Title III, Part E (A)	X
93.053	Nutrition Services Incentive Program (A)	X
93.054	National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (B)	X
93.071	Medicare Enrollment Assistance Program (A,B)	X
93.072	Lifespan Respite Care Program (B)	X
93.234	Traumatic Brain Injury State Demonstration Grant Program (B)	X
93.324	State Health Insurance Assistance Program (B)	X
93.325	Paralysis Resource Center (B)	X
93.369	ACL Independent Living State Grants (A)	X
93.432	ACL Centers for Independent Living (B)	X
93.433	ACL National Institute on Disability, Independent Living, and Rehabilitation Research (B)	X
93.464	ACL Assistive Technology (B)	X
93.613	President's Committee for People with Intellectual Disabilities (PCPID) (L)	X
93.618	Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (A,B)	X
93.630	Developmental Disabilities Basic Support and Advocacy Grants (A)	X
93.631	Developmental Disabilities Projects of National Significance (B)	X
93.632	University Centers for Excellence in Developmental Disabilities Education, Research, and Service (B)	X
93.734	Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education	X

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Program Description	Financial	Non-Financial
Programs financed by Prevention and Public Health Funds (PPHF) (B)		
93.747 Elder Abuse Prevention Interventions Program (B)	X	
93.761 Evidence-Based Falls Prevention Programs Financed Solely by Prevention and Public Health Funds (PPHF) (B)	X	
93.763 Alzheimers Disease Initiative: Specialized Supportive Services Project (ADI-SSS) thru Prevention and Public Health Funds (PPHF) (B)	X	
93.843 ACL Assistive Technology State Grants for Protection and Advocacy (A)	X	
93.844 ACL Centers for Independent Living, Recovery Act (B)	X	
93.873 State Grants for Protection and Advocacy Services (A)	X	
AGENCY FOR HEALTHCARE RESEARCH AND QUALITY		
93.225 National Research Service Awards Health Services Research Training (M)		X
93.226 Research on Healthcare Costs, Quality and Outcomes (B)	X	
AGENCY FOR TOXIC SUBSTANCES AND DISEASE REGISTRY		
93.161 Health Program for Toxic Substances and Disease Registry (B)	X	
93.240 State Capacity Building (B)	X	
93.534 Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (B)	X	
CENTERS FOR DISEASE CONTROL AND PREVENTION		
93.061 Innovations in Applied Public Health Research (B)	X	
93.062 Biomonitoring Programs for State Public Health Laboratories (B)	X	
93.064 Laboratory Training, Evaluation, and Quality Assurance Programs (B)	X	
93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (B)	X	
93.066 State Vital Statistics Improvement Program (B)	X	
93.067 Global AIDS (B)	X	
93.068 Chronic Diseases: Research, Control, and Prevention (B)	X	
93.069 Public Health Emergency Preparedness (B)	X	
93.070 Environmental Public Health and Emergency Response (B)	X	
93.073 Birth Defects and Developmental Disabilities - Prevention and Surveillance (B)	X	
93.074 Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements (A)	X	
93.079 Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (B)	X	
93.080 Blood Disorder Program: Prevention, Surveillance, and Research (B)	X	
93.082 Sodium Reduction in Communities (B)	X	
93.083 Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (B)	X	
93.084 Prevention of Disease, Disability, and Death by Infectious Diseases (B)	X	
93.094 Well-Integrated Screening and Evaluation for Women Across the Nation (B)	X	
93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (B)	X	
93.098 Tribal Public Health Capacity Building and Quality Improvement (B)	X	
93.099 Collaboration With the World Health Organization and its Regional Offices for Global Health Security and the International Health Regulations (IHR 2005) (B)	X	
93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)	X	
93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity (B)	X	
93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention (B)	X	
93.136 Injury Prevention and Control Research and State and Community Based Programs (B)	X	
93.184 Disabilities Prevention (B)	X	
93.185 Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects (B)	X	
93.197 Childhood Lead Poisoning Prevention Projects, State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)	X	
93.261 Scaling the National Diabetes Prevention Program to Priority Populations (B)	X	
93.262 Occupational Safety and Health Program (B,M)	X	
93.268 Immunization Cooperative Agreements (B)	X	
93.269 Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)	X	

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Program Description	Financial	Non-Financial
93.270 Viral Hepatitis Prevention and Control (B)	X	
93.283 Centers for Disease Control and Prevention Investigations and Technical Assistance (B)	X	
93.292 National Public Health Improvement Initiative (A)	X	
93.304 Racial and Ethnic Approaches to Community Health (B)	X	
93.305 National State Based Tobacco Control Programs (B)	X	
93.312 Child Development and, Surveillance, Research and Prevention (B)	X	
93.314 Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program (B)	X	
93.315 Rare Disorders: Research, Surveillance, Health Promotion, and Education (B)	X	
93.316 Public Health Preparedness and Response Science, Research, and Practice (B)	X	
93.317 Emerging Infections Programs (B)	X	
93.318 Protecting and Improving Health Globally: Building and Strengthening Public Health Impact, Systems, Capacity and Security (B)	X	
93.319 Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas (B)	X	
93.322 CSELS Partnership: Strengthening Public Health Laboratories (B)	X	
93.323 Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) (B)	X	
93.326 Protecting and Improving Health Globally: Strengthening Public Health through Surveillance, Epidemiologic Research, Disease Detection and Prevention (B)	X	
93.328 National Implementation and Dissemination for Chronic Disease Prevention (B)	X	
93.331 Partnerships to Improve Community Health (B)	X	
93.334 The Healthy Brain Initiative: Technical Assistance to Implement Public Health Actions related to Cognitive Health, Cognitive Impairment, and Caregiving at the State and Local Levels (B)	X	
93.336 Behavioral Risk Factor Surveillance System (B)	X	
93.338 Foreign Public Health Construction (B)	X	
93.339 Public Health Conference Support (B)	X	
93.354 Public Health Emergency Response: Cooperative Agreement for Emergency Response: Public Health Crisis Response (B)	X	
93.366 State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes (B)	X	
93.391 Activities to Support State, Tribal, Local and Territorial (STLT) Health Department Response to Public Health or Healthcare Crises (B)	X	
93.424 NON-ACA/PPHF/Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations (B)	X	
93.456 CDC Undergraduate Public Health Scholars Program (CUPS): A Public Health Experience to Expose Undergraduates Interested in Minority Health to Public Health and the Public Health Professions (B)	X	
93.465 Tobacco Prevention and Control Legal Technical Assistance (B)	X	
93.466 Building Capacity of the Public Health System to Address Ebola Through National Nonprofit Organizations (B)	X	
93.507 PPHF National Public Health Improvement Initiative (B)	X	
93.521 The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (B)	X	
93.524 Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by Prevention and Public Health Funds (PPHF) (B)	X	
93.531 PPHF - Community Transformation Grants and National Dissemination and Support for Community Transformation Grants - financed solely by Prevention and Public Health Funds (B)	X	
93.533 Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease (B)	X	
93.535 Affordable Care Act (ACA) Childhood Obesity Research Demonstration (B)	X	
93.538 Affordable Care Act - National Environmental Public Health Tracking Program-Network Implementation (B)	X	
93.539 PPHF Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by Prevention and Public Health Funds (B)	X	
93.540 Affordable Care Act Streamlined surveillance for ventilator-associated pneumonia: Reducing burden and demonstrating preventability; and Prevention and Public Health Fund (B)	X	
93.542 Health Promotion and Disease Prevention Research Centers: PPHF - Affordable Care Act Projects (B)	X	
93.548 PPHF: State Nutrition, Physical Activity, and Obesity Programs - financed in part by PPHF (B)	X	
93.606 Affordable Care Act - Preparedness and Emergency Response Learning Centers (B)	X	
93.649 Nutrition and Physical Activity Programs (B)	X	
93.733 Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by the Prevention and Public Health Fund (PPHF) (B)	X	
93.735 State Public Health Approaches for Ensuring Quitline Capacity Funded in part by Prevention and Public Health Funds (PPHF) (B)	X	

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Program Description	Financial	Non-Financial
93.738 PPHF: Racial and Ethnic Approaches to Community Health Program financed solely by Public Prevention and Health Funds (B)	X	
93.739 PPHF: Chronic Disease Innovation Grants - financed solely by Public Prevention Health Funds (B)	X	
93.742 PPHF: Early Childcare and Education Obesity Prevention Program - Obesity Prevention in Young Children - financed solely by Public Prevention and Health Funds (B)	X	
93.743 Racial and Ethnic Approaches to Community Health: Obesity and Hypertension Demonstration Projects financed solely by Prevention and Public Health Funds (B)	X	
93.744 PPHF: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by Prevention and Public Health Funds (B)	X	
93.745 PPHF: Health Care Surveillance/Health Statistics Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by Prevention and Public Health Fund (B)	X	
93.749 PPHF Public Health Laboratory Infrastructure financed solely by Prevention and Public Health Fund (B)	X	
93.751 PPHF: Consortium for Tobacco Use Cessation Technical Assistance financed by solely by Prevention and Public Health Funds (B)	X	
93.752 Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations financed in part by Prevention and Public Health Funds (B)	X	
93.753 Child Lead Poisoning Prevention Surveillance financed in part by Prevention and Public Health (PPHF) Program (B)	X	
93.755 Surveillance for Diseases Among Immigrants and Refugees financed in part by Prevention and Public Health Funds (PPHF) (B)	X	
93.756 Nutrition and Physical Activity Program funded solely by Prevention and Public Health Funds (PPHF) (B)	X	
93.757 State and Local Public Health Actions to Prevent Obesity, Diabetes, Heart Disease and Stroke (PPHF) (B)	X	
93.758 Preventive Health and Health Services Block Grant funded solely with Prevention and Public Health Funds (PPHF) (A)	X	
93.759 Consortium for Tobacco Use Cessation Technical Assistance financed solely by Prevention and Public Health Funds (B)	X	
93.762 A Comprehensive Approach to Good Health and Wellness in Indian County financed solely by Prevention and Public Health (B)	X	
93.765 PPHF-CDC Partnership: Strengthening Public Health Laboratories (A)	X	
93.772 Tribal Public Health Capacity Building and Quality Improvement Umbrella Cooperative Agreement (B)	X	
93.800 Organized Approaches to Increase Colorectal Cancer Screening (B)	X	
93.808 Increasing the Implementation of Evidence-Based Cancer Survivorship Interventions to Increase Quality and Duration of Life Among Cancer Patients (B)	X	
93.809 National Organizations for Chronic Disease Prevention and Health Promotion (B)	X	
93.810 Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (B)	X	
93.813 Heart Disease & Stroke Prevention Program and Diabetes Prevention State and Local Public Health Actions to Prevent Obesity, Diabetes, and Heart Disease and Stroke (B)	X	
93.814 Partner support for heart disease and stroke prevention (B)	X	
93.815 Domestic Ebola Supplement to the Epidemiology and Laboratory Capacity for Infectious Diseases (ELC). (B)	X	
93.816 Preventing Heart Attacks and Strokes in High Need Areas (B)	X	
93.818 Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa (B)	X	
93.823 Ebola Support: Transmission and Prevention Control, Public Health Preparedness, Vaccine Development (B)	X	
93.827 Educating State-level Stakeholders on Strategies to Address Winnable Battles in Public Health (B)	X	
93.832 Promoting the Cancer Surveillance Workforce, Education and Data Use (B)	X	
93.833 Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States (B)	X	
93.845 Promoting Population Health through Increased Capacity in Alcohol Epidemiology (B)	X	
93.850 Improving Epilepsy Programs, Services, and Outcomes through National Partnerships (B)	X	
93.852 National Syndromic Surveillance Program Community of Practice (NSSP CoP) (B)	X	
93.858 National Collaboration to Support Health, Wellness and Academic Success of School-Age Children (B)	X	
93.860 Emerging Infections Sentinel Networks (B)	X	
93.861 Strengthening the Public Health System in US-affiliated Pacific Islands (PPHF) (B)	X	
93.874 Strengthening the Public Health System in US-affiliated Pacific Islands (Non-PPHF) (B)	X	
93.875 Assistance for Oral Disease Prevention and Control (B)	X	
93.898 Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations (B)	X	
93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)	X	
93.938 Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (B)	X	

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Program Description	Financial	Non-Financial
93.939 HIV Prevention Activities Non-Governmental Organization Based (B)	X	
93.940 HIV Prevention Activities Health Department Based (B)	X	
93.941 HIV Demonstration, Research, Public and Professional Education Projects (B)	X	
93.942 Research, Prevention, and Education Programs on Lyme Disease in the United States (B)	X	
93.943 Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (B)	X	
93.944 Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)	X	
93.945 Assistance Programs for Chronic Disease Prevention and Control (B)	X	
93.946 Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)	X	
93.947 Tuberculosis Demonstration, Research, Public and Professional Education (B)	X	
93.967 CDC's Collaboration with Academia to Strengthen Public Health (B)	X	
93.977 Sexually Transmitted Diseases (STD) Prevention and Control Grants (B)	X	
93.978 Sexually Transmitted Diseases (STD) Provider Education Grants (B)	X	
93.980 Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease (B)	X	
93.981 Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (B)	X	
93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems (B)	X	
93.991 Preventive Health and Health Services Block Grant (A)	X	
93.998 Autism and Other Developmental Disabilities, Surveillance, Research, and Prevention (B)	X	
CENTERS FOR MEDICARE AND MEDICAID SERVICES		
93.332 Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Marketplaces (B)	X	
93.341 Analyses, Research and Studies to Address the Impact of CMS Programs on American Indian/Alaska Native (AI/AN) Beneficiaries and the Health Care System Serving these Beneficiaries (B)	X	
93.363 Flexible Alternatives for State Transformation Model (B)	X	
93.413 The State Flexibility to Stabilize the Market Grant Program (B)	X	
93.423 1332 State Innovation Waivers (B)	X	
93.506 ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (B)	X	
93.511 Affordable Care Act (ACA) Grants to States for Health Insurance Premium Review (B)	X	
93.519 Affordable Care Act (ACA) Consumer Assistance Program Grants (B)	X	
93.525 State Planning and Establishment Grants for the Affordable Care Act (ACA)s Exchanges (B)	X	
93.529 Pre-existing Condition Insurance Program (PCIP) (C)	X	
93.536 The Affordable Care Act Medicaid Incentives for Prevention of Chronic Disease Demonstration Project (B)	X	
93.537 Affordable Care Act Medicaid Emergency Psychiatric Demonstration (C)	X	
93.545 Consumer Operated and Oriented Plan [CO-OP] Program (E)	X	
93.546 Early Retiree Reinsurance Program (C)	X	
93.609 The Affordable Care Act Medicaid Adult Quality Grants (B)	X	
93.610 Health Care Innovation Awards (HCIA) (B)	X	
93.611 Strong Start for Mothers and Newborns (B)	X	
93.621 Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents (B)	X	
93.624 ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance (B)	X	
93.626 Affordable Care Act State Health Insurance Assistance Program (SHIP) and Aging and Disability Resource Center (ADRC) Options Counseling for Medicare-Medicaid Individuals in States with Approved Financial Alignment Models (B)	X	
93.627 Affordable Care Act: Testing Experience and Functional Assessment Tools (B)	X	
93.628 Affordable Care Act Implementation Support for State Demonstrations to Integrate Care for Medicare-Medicaid Enrollees (B)	X	
93.634 ACA Support for Demonstration Ombudsman Programs Serving Beneficiaries of State Demonstrations to Integrate Care for Medicare-Medicaid (B)	X	
93.636 ACA - Reinvestment of Civil Money Penalties to Benefit Nursing Home Residents (B)	X	
93.638 ACA-Transforming Clinical Practice Initiative: Practice Transformation Networks (PTNs) (B)	X	
93.639 ACA-Transforming Clinical Practice Initiative: Support and Alignment Networks (SANs) (B)	X	
93.640 Basic Health Program (Affordable Care Act) (G)	X	
93.644 Adult Medicaid Quality: Improving Maternal and Infant Health Outcomes in Medicaid and CHIP (B)	X	
93.646 ACA Testing a Model of Data Aggregation under the Comprehensive Primary Care initiative (B)	X	
93.650 Accountable Health Communities (A)	X	
93.651 Transforming Clinical Practice Initiative (TCPI) Support and Alignment Network (SAN) 2.0 (B)	X	

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Program Description	Financial	Non-Financial
93.750 PPHF Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Exchanges (B)	X	
93.767 Children's Health Insurance Program (A)	X	
93.770 Medicare Prescription Drug Coverage (C)	X	
93.773 Medicare Hospital Insurance (C)	X	
93.774 Medicare Supplementary Medical Insurance (C)	X	
93.775 State Medicaid Fraud Control Units (A)	X	
93.777 State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare (A)	X	
93.778 Medical Assistance Program (A)	X	
93.779 Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (B)	X	
93.780 Grants to States for Operation of Qualified High-Risk Pools (A)	X	
93.784 Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens (C)	X	
93.791 Money Follows the Person Rebalancing Demonstration (B)	X	
93.796 State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (A)	X	
93.829 Section 223 Demonstration Programs to Improve Community Mental Health Services (B)	X	
93.881 The Health Insurance Enforcement and Consumer Protections Grant Program (B)	X	
93.961 One-Time Funding in Support of the Vermont All-Payer ACO Model (B)	X	
93.966 The Zika Health Care Services Program (A)	X	
93.968 Funding in Support of the Pennsylvania Rural Health Model (B)	X	
93.986 Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program (A)	X	
FOOD AND DRUG ADMINISTRATION		
93.103 Food and Drug Administration Research (B)	X	
93.448 Food Safety and Security Monitoring Project (B)	X	
93.449 Ruminant Feed Ban Support Project (B)	X	
93.876 Antimicrobial Resistance Surveillance in Retail Food Specimens (B)	X	
HEALTH RESOURCES AND SERVICES ADMINISTRATION		
93.011 National Organizations of State and Local Officials (B)	X	
93.059 Training in General, Pediatric, and Public Health Dentistry (B)	X	
93.107 Area Health Education Centers (B)	X	
93.110 Maternal and Child Health Federal Consolidated Programs (B)	X	
93.117 Preventive Medicine and Public Health Residency Training Program, Integrative Medicine Program, and National Center for Integrative Primary Healthcare (B)	X	
93.124 Nurse Anesthetist Traineeship (A)	X	
93.127 Emergency Medical Services for Children (B)	X	
93.129 Technical and Non-Financial Assistance to Health Centers (B)	X	
93.130 Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (B)	X	
93.134 Grants to Increase Organ Donations (B)	X	
93.145 HIV-Related Training and Technical Assistance (B)	X	
93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)	X	
93.155 Rural Health Research Centers (B)	X	
93.156 Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (B)	X	
93.157 Centers of Excellence (B)	X	
93.162 National Health Service Corps Loan Repayment Program (C)	X	
93.165 Grants to States for Loan Repayment Program (B,C)	X	
93.178 Nursing Workforce Diversity (B)	X	
93.186 National Research Service Award in Primary Care Medicine (B)	X	
93.191 Graduate Psychology Education (B)	X	
93.211 Telehealth Programs (B)	X	
93.223 Development and Coordination of Rural Health Services (B)	X	
93.224 Health Center Program (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (B)	X	
93.236 Grants to States to Support Oral Health Workforce Activities (B)	X	
93.241 State Rural Hospital Flexibility Program (B)	X	
93.247 Advanced Nursing Education Workforce Grant Program (B)	X	
93.251 Universal Newborn Hearing Screening (B)	X	
93.253 Poison Center Support and Enhancement Grant Program (B)	X	

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Program Description	Financial	Non-Financial
93.255 Children's Hospitals Graduate Medical Education Payment Program (C)	X	
93.257 Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)	X	
93.259 Rural Access to Emergency Devices Grant and Public Access to Defibrillation Demonstration Grant (B)	X	
93.264 Nurse Faculty Loan Program (NFLP) (A)	X	
93.265 Comprehensive Geriatric Education Program (CGEP) (B)	X	
93.266 Health Systems Strengthening and HIV/AIDS Prevention, Care and Treatment under the President's Emergency Plan for AIDS Relief (B)	X	
93.267 State Grants for Protection and Advocacy Services (A)	X	
93.288 National Health Service Corps Scholarship Program (C)	X	
93.300 National Center for Health Workforce Analysis (B)	X	
93.301 Small Rural Hospital Improvement Grant Program (B)	X	
93.303 NURSE Corps Scholarship Program (C)	X	
93.329 Skills Training and Health Workforce Development of Paraprofessionals Grant Program (B)	X	
93.330 Leadership in Public Health Social Work Education Grant Program (B)	X	
93.342 Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (B)	X	
93.358 Advanced Education Nursing Traineeships (B)	X	
93.359 Nurse Education, Practice Quality and Retention Grants (B)	X	
93.364 Nursing Student Loans (E)	X	
93.365 Sickle Cell Treatment Demonstration Program (B)	X	
93.501 Grants for School-Based Health Center Capital Expenditures (B)	X	
93.504 Family to Family Health Information Centers (B)	X	
93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (A)	X	
93.510 Affordable Care Act (ACA) Primary Care Residency Expansion Program (B)	X	
93.513 Affordable Care Act (ACA) Advanced Nursing Education Expansion Initiative (B)	X	
93.514 Affordable Care Act (ACA) Expansion of Physician Assistant Training Program (B)	X	
93.516 Public Health Training Centers Program (B)	X	
93.526 Grants for Capital Development in Health Centers (B)	X	
93.527 Grants for New and Expanded Services under the Health Center Program (B)	X	
93.528 National Forum for State and Territorial Chief Executives (B)	X	
93.530 Teaching Health Center Graduate Medical Education Payment (A)	X	
93.547 National Health Service Corps (B,C)	X	
93.615 Maternal, Infant, and Early Childhood Home Visiting Research Programs (B)	X	
93.622 Coordinating Center for Interprofessional Education and Collaborative Practice (B)	X	
93.732 Mental and Behavioral Health Education and Training Grants (B)	X	
93.822 Health Careers Opportunity Program (B)	X	
93.824 Area Health Education Centers Infrastructure Development Awards (B)	X	
93.870 Maternal, Infant and Early Childhood Home Visiting Grant Program (A)	X	
93.877 Autism Collaboration, Accountability, Research, Education, and Support (B)	X	
93.884 Grants for Primary Care Training and Enhancement (B)	X	
93.908 Nurse Corps Loan Repayment Program (C)	X	
93.912 Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (B)	X	
93.913 Grants to States for Operation of State Offices of Rural Health (B)	X	
93.914 HIV Emergency Relief Project Grants (B)	X	
93.917 HIV Care Formula Grants (A)	X	
93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)	X	
93.923 Disadvantaged Health Professions Faculty Loan Repayment Program (FLRP) (C)	X	
93.924 Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants (A,B)	X	
93.925 Scholarships for Health Professions Students from Disadvantaged Backgrounds (B)	X	
93.926 Healthy Start Initiative (B)	X	
93.928 Special Projects of National Significance (B)	X	
93.932 Native Hawaiian Health Care Systems (B)	X	
93.964 Prevention and Public Health Fund (PPHF) Public Health Traineeships (B)	X	
93.965 Coal Miners Respiratory Impairment Treatment Clinics and Services (B)	X	
93.969 PPHF Geriatric Education Centers (B)	X	
93.976 Primary Care Medicine and Dentistry Clinician Educator Career Development Awards Program (B)	X	
93.994 Maternal and Child Health Services Block Grant to the States (A)	X	

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Program Description	Financial	Non-Financial
INDIAN HEALTH SERVICE		
93.123 Health Professions Pre-graduate Scholarship Program for Indians (M)		X
93.164 Indian Health Service Educational Loan Repayment (C)	X	
93.193 Urban Indian Health Services (B)	X	
93.210 Tribal Self-Governance Program: IHS Compacts/Funding Agreements (C)	X	
93.228 Indian Health Service, Health Management Development Program (B)	X	
93.231 Epidemiology Cooperative Agreements (B)	X	
93.237 Special Diabetes Program for Indians Diabetes Prevention and Treatment Projects (B)	X	
93.284 Injury Prevention Program for American Indians and Alaskan Natives Cooperative Agreements (B)	X	
93.441 Indian Self-Determination (C)	X	
93.442 Special Diabetes Program for Indians (SDPI) Diabetes Prevention and Healthy Heart Initiative (B)	X	
93.444 Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (B)	X	
93.933 Demonstration Projects for Indian Health (B)	X	
93.970 Health Professions Recruitment Program for Indians (B)	X	
93.971 Health Professions Preparatory Scholarship Program for Indians (M)		X
93.972 Health Professions Scholarship Program (M)		X
NATIONAL INSTITUTES OF HEALTH		
93.077 Family Smoking Prevention and Tobacco Control Act Regulatory Research (B,M)	X	
93.113 Environmental Health (B)	X	
93.121 Oral Diseases and Disorders Research (B)	X	
93.140 Intramural Research Training Award (C)	X	
93.142 NIEHS Hazardous Waste Worker Health and Safety Training (B)	X	
93.143 NIEHS Superfund Hazardous Substances_Basic Research and Education (B)	X	
93.172 Human Genome Research (B)	X	
93.173 Research Related to Deafness and Communication Disorders (B)	X	
93.187 Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (C)	X	
93.209 Contraception and Infertility Research Loan Repayment Program (C)	X	
93.213 Research and Training in Complementary and Integrative Health (B,M)	X	
93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (C)	X	
93.232 Loan Repayment Program for General Research (C)	X	
93.233 National Center on Sleep Disorders Research (B)	X	
93.242 Mental Health Research Grants (B,M)	X	
93.273 Alcohol Research Programs (B)	X	
93.279 Drug Abuse and Addiction Research Programs (B,M)	X	
93.280 National Institutes of Health Loan Repayment Program for Clinical Researchers (C)	X	
93.281 Mental Health Research Career/Scientist Development Awards (B)	X	
93.282 Mental Health National Research Service Awards for Research Training (M)		X
93.285 National Institutes of Health Pediatric Research Loan Repayment Program (C)	X	
93.286 Discovery and Applied Research for Technological Innovations to Improve Human Health (B)	X	
93.307 Minority Health and Health Disparities Research (B)	X	
93.308 National Institute on Minority Health and Health Disparities (NIMHD) Extramural Loan Repayment Programs (C)	X	
93.310 Trans-NIH Research Support (B,M)	X	
93.313 NIH Office of Research on Women's Health (B)	X	
93.321 Dietary Supplement Research Program (B)	X	
93.350 National Center for Advancing Translational Sciences (B)	X	
93.351 Research Infrastructure Programs (B)	X	
93.352 Construction Support (B)	X	
93.353 21st Century Cures Act - Beau Biden Cancer Moonshot (B)	X	
93.361 Nursing Research (B)	X	
93.368 21st Century Cures Act - Precision Medicine Initiative (B)	X	
93.370 21st Century Cures Act: Regenerative Medicine Initiative (B)	X	
93.372 21st Century Cures Act - Brain Research through Advancing Innovative Neurotechnologies (B)	X	
93.389 National Center for Research Resources (B)	X	
93.393 Cancer Cause and Prevention Research (B)	X	
93.394 Cancer Detection and Diagnosis Research (B)	X	
93.395 Cancer Treatment Research (B)	X	
93.396 Cancer Biology Research (B)	X	

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Program Description	Financial	Non-Financial
93.397 Cancer Centers Support Grants (B)	X	
93.398 Cancer Research Manpower (B)	X	
93.399 Cancer Control (B)	X	
93.701 Trans-NIH Recovery Act Research Support (B)	X	
93.702 National Center for Research Resources, Recovery Act Construction Support (B)	X	
93.704 Trans-NIH Recovery Act Loan Repayment Support (C)	X	
93.837 Cardiovascular Diseases Research (B)	X	
93.838 Lung Diseases Research (B)	X	
93.839 Blood Diseases and Resources Research (B)	X	
93.840 Translation and Implementation Science Research for Heart, Lung, Blood Diseases, and Sleep Disorders (B)	X	
93.846 Arthritis, Musculoskeletal and Skin Diseases Research (B)	X	
93.847 Diabetes, Digestive, and Kidney Diseases Extramural Research (B)	X	
93.853 Extramural Research Programs in the Neurosciences and Neurological Disorders (B)	X	
93.855 Allergy and Infectious Diseases Research (B)	X	
93.856 Microbiology and Infectious Diseases Research (B)	X	
93.859 Biomedical Research and Research Training (B)	X	
93.865 Child Health and Human Development Extramural Research (B)	X	
93.866 Aging Research (B)	X	
93.867 Vision Research (B)	X	
93.879 Medical Library Assistance (B)	X	
93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (C)	X	
93.989 International Research and Research Training (B,M)	X	
OFFICE OF DISEASE PREVENTION AND HEALTH PROMOTION		
93.990 National Health Promotion (B)	X	
OFFICE OF MINORITY HEALTH		
93.004 Cooperative Agreements to Improve the Health Status of Minority Populations (B)	X	
93.137 Community Programs to Improve Minority Health Grant Program (B)	X	
93.910 Family and Community Violence Prevention Program (B)	X	
OFFICE OF POPULATION AFFAIRS		
93.217 Family Planning Services (B)	X	
93.260 Family Planning Personnel Training (B)	X	
93.974 Family Planning Service Delivery Improvement Research Grants (B)	X	
OFFICE OF THE SECRETARY		
93.001 Civil Rights and Privacy Rule Compliance Activities (K,N)		X
93.007 Public Awareness Campaigns on Embryo Adoption (B)	X	
93.008 Medical Reserve Corps Small Grant Program (B)	X	
93.015 HIV Prevention Programs for Women (B)	X	
93.018 Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (B)	X	
93.019 Technical Assistance and Provision for Foreign Hospitals and Health Organizations (B)	X	
93.057 National Resource Center for HIV Prevention Among Adolescents (B)	X	
93.078 Strengthening Emergency Care Delivery in the United States Healthcare System through Health Information and Promotion (B)	X	
93.081 ASPR Science Preparedness and Response Grants (B)	X	
93.085 Research on Research Integrity (B)	X	
93.088 Advancing System Improvements for Key Issues in Women's Health (B)	X	
93.089 Emergency System for Advance Registration of Volunteer Health Professionals (B)	X	
93.239 Policy Research and Evaluation Grants (B)	X	
93.290 National Community Centers of Excellence in Women's Health (B)	X	
93.296 State Partnership Grant Program to Improve Minority Health (B)	X	
93.297 Teenage Pregnancy Prevention Program (B)	X	
93.311 Mobilization For Health: National Prevention Partnership Awards (B)	X	
93.343 Public Health Service Evaluation Funds (B)	X	
93.344 Research, Monitoring and Outcomes Definitions for Vaccine Safety (B)	X	
93.355 Certified Health IT Surveillance Capacity and Infrastructure Improvement Cooperative Agreement Program (B)	X	

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Program Description	Financial	Non-Financial
93.360 Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development (B)	X	
93.452 Health Improvement for Re-entering Ex-offenders Initiative (HIRE) HIV/AIDS (B)	X	
93.500 Pregnancy Assistance Fund Program (B)	X	
93.718 Health Information Technology Regional Extension Centers Program (B)	X	
93.719 Advance Interoperable Health Information Technology Services to Support Health Information Exchange (B)	X	
93.721 ARRA - Health Information Technology Professionals in Health Care (B)	X	
93.727 Beacon Communities - Community Health Peer Learning Program (B)	X	
93.728 ARRA - Strategic Health IT Advanced Research Projects (SHARP) (B)	X	
93.801 Ebola Healthcare Preparedness and Response for Select Cities with Enhanced Airport Entrance Screenings from Affected Countries in West Africa (B)	X	
93.817 Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities (A)	X	
93.825 National Ebola Training and Education Center (NETEC) (B)	X	
93.826 Closing the Gap Between Standards Development and Implementation (B)	X	
93.830 Interoperability Roadmap: Public/Private Partnership (B)	X	
93.831 Standards Development Organization Collaboration to Enhance Standards Alignment, Testing, and Measurement (B)	X	
93.835 Planning Grant for Healthcare and Public Health Sector Cybersecurity Information Sharing (B)	X	
93.851 Tracking Electronic Health Record Adoption and Capturing Related Insights in U.S. Hospitals (B)	X	
93.857 Measuring Interoperability Progress through Individuals Access and Use of the Electronic Health Data (B)	X	
93.878 Enhance the Ability of Emergency Medical Services (EMS) to transport patients with highly infectious diseases (HID) (B)	X	
93.882 High Impact Pilot Awards (B)	X	
93.883 Standards Exploration Award (B)	X	
93.889 National Bioterrorism Hospital Preparedness Program (A)	X	
93.893 Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)	X	
93.983 Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (B)	X	
PRESIDENT'S COUNCIL ON FITNESS, SPORTS, AND NUTRITION		
93.289 President's Council on Fitness, Sports, and Nutrition (K)		X
PROGRAM SUPPORT CENTER		
93.291 Surplus Property Utilization (H)		X
SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION		
93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)	X	
93.138 Protection and Advocacy for Individuals with Mental Illness (A)	X	
93.150 Projects for Assistance in Transition from Homelessness (PATH) (A)	X	
93.243 Substance Abuse and Mental Health Services Projects of Regional and National Significance (B)	X	
93.275 Substance Abuse and Mental Health Services-Access to Recovery (B)	X	
93.276 Drug-Free Communities Support Program Grants (B)	X	
93.748 PPHF Cooperative Agreements for Prescription Drug Monitoring Program Electronic Health Record (EHR) Integration and Interoperability Expansion (B)	X	
93.764 PPHF- Cooperative Agreements to Implement the National Strategy for Suicide Prevention (Short Title: National Strategy Grants) (B)	X	
93.788 Opioid STR (A)	X	
93.799 CARA Act Comprehensive Addiction and Recovery Act of 2016 (B)	X	
93.958 Block Grants for Community Mental Health Services (A)	X	
93.959 Block Grants for Prevention and Treatment of Substance Abuse (A)	X	
93.982 Mental Health Disaster Assistance and Emergency Mental Health (B)	X	
93.997 Assisted Outpatient Treatment (B)	X	
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE		
94.002 Retired and Senior Volunteer Program (B)	X	
94.003 State Commissions (B)	X	
94.006 AmeriCorps (B)	X	
94.007 Program Development and Innovation Grants (B)	X	
94.008 Commission Investment Fund (B)	X	
94.009 Training and Technical Assistance (L,M)		X

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Program Description	Financial	Non-Financial
94.011 Foster Grandparent Program (B)	X	
94.012 September 11th National Day of Service and Remembrance Grants (B)	X	
94.013 Volunteers in Service to America (J)		X
94.014 Martin Luther King Jr Day of Service Grants (B)	X	
94.016 Senior Companion Program (B)	X	
94.017 Senior Demonstration Program (B)	X	
94.019 Social Innovation Fund (B)	X	
94.020 CNCS Disaster Response Cooperative Agreement (B)	X	
94.021 Volunteer Generation Fund (B)	X	
94.023 AmeriCorps VISTA Training & Logistics Support (L,M)		X
94.024 Social Innovation Fund Pay for Success (B)	X	
94.025 Operation AmeriCorps (B)	X	
94.026 National Service and Civic Engagement Research Competition (B)	X	
EXECUTIVE OFFICE OF THE PRESIDENT		
95.001 High Intensity Drug Trafficking Areas Program (B)	X	
95.004 Anti-Doping Activities (B)	X	
95.005 Drug Court Training and Technical Assistance (B)	X	
95.006 Model State Drug Laws Initiative (B)	X	
95.007 Research and Data Analysis (B)	X	
95.008 Drug-Free Communities Support Program - National Youth Leadership Initiative (B)	X	
SOCIAL SECURITY ADMINISTRATION		
96.001 Social Security Disability Insurance (C,D)	X	
96.002 Social Security Retirement Insurance (D)	X	
96.004 Social Security Survivors Insurance (C,D)	X	
96.006 Supplemental Security Income (C,D)	X	
96.007 Social Security Research and Demonstration (B)	X	
96.008 Social Security - Work Incentives Planning and Assistance Program (B)	X	
96.009 Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (B)	X	
96.020 Special Benefits for Certain World War II Veterans (D)	X	
DEPARTMENT OF HOMELAND SECURITY		
97.134 Presidential Residence Protection Security Grant (B)	X	
DOMESTIC NUCLEAR DETECTION OFFICE (DNDO)		
97.077 Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection (B)	X	
97.106 Securing the Cities Program (B,I,L)	X	
97.130 National Nuclear Forensics Expertise Development Program (B)	X	
FEDERAL EMERGENCY MANAGEMENT AGENCY (FEMA)		
97.005 State and Local Homeland Security National Training Program (B)	X	
97.007 Homeland Security Preparedness Technical Assistance Program (B)	X	
97.008 Non-Profit Security Program (B)	X	
97.018 National Fire Academy Training Assistance (C)	X	
97.022 Flood Insurance (G)	X	
97.023 Community Assistance Program State Support Services Element (CAP-SSSE) (B)	X	
97.024 Emergency Food and Shelter National Board Program (B)	X	
97.025 National Urban Search and Rescue (US&R) Response System (B)	X	
97.026 Emergency Management Institute Training Assistance (C)	X	
97.027 Emergency Management Institute (EMI) Independent Study Program (M)		X
97.028 Emergency Management Institute (EMI) Resident Educational Program (M)		X
97.029 Flood Mitigation Assistance (B)	X	
97.030 Community Disaster Loans (E)	X	
97.032 Crisis Counseling (B)	X	
97.033 Disaster Legal Services (C)	X	
97.034 Disaster Unemployment Assistance (B)	X	

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Program Description	Financial	Non-Financial
97.036 Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)	X	
97.039 Hazard Mitigation Grant (B)	X	
97.040 Chemical Stockpile Emergency Preparedness Program (B)	X	
97.041 National Dam Safety Program (B)	X	
97.042 Emergency Management Performance Grants (A)	X	
97.043 State Fire Training Systems Grants (B)	X	
97.044 Assistance to Firefighters Grant (B)	X	
97.045 Cooperating Technical Partners (B)	X	
97.046 Fire Management Assistance Grant (B,J)	X	
97.047 Pre-Disaster Mitigation (B)	X	
97.048 Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B,C)	X	
97.050 Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)	X	
97.052 Emergency Operations Center (B)	X	
97.055 Interoperable Emergency Communications (B)	X	
97.056 Port Security Grant Program (B)	X	
97.057 Intercity Bus Security Grants (B)	X	
97.067 Homeland Security Grant Program (A)	X	
97.070 Map Modernization Management Support (B)	X	
97.075 Rail and Transit Security Grant Program (B)	X	
97.078 Buffer Zone Protection Program (BZPP) (B)	X	
97.082 Earthquake Consortium (B)	X	
97.083 Staffing for Adequate Fire and Emergency Response (SAFER) (B)	X	
97.088 Disaster Assistance Projects (B)	X	
97.089 Driver's License Security Grant Program (B)	X	
97.092 Repetitive Flood Claims (B)	X	
97.107 National Incident Management System (NIMS) (B)	X	
97.110 Severe Repetitive Loss Program (B)	X	
97.113 Rail and Transit Security Grant Program (ARRA) (B)	X	
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97.133 Preparing for Emerging Threats and Hazards (B)	X	
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11.019	State Alternative Plan Program (B)	84.382	Strengthening Minority-Serving Institutions (B)
20.600	State and Community Highway Safety (A)	93.018	Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (B)
16.614	State and Local Anti-Terrorism Training (K,L,M)	93.097	Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (B)
97.005	State and Local Homeland Security National Training Program (B)	93.874	Strengthening the Public Health System in US-affiliated Pacific Islands (Non-PPHF) (B)
11.549	State and Local Implementation Grant Program (B)	93.861	Strengthening the Public Health System in US-affiliated Pacific Islands (PPHF) (B)
93.757	State and Local Public Health Actions to Prevent Obesity, Diabetes, Heart Disease and Stroke (PPHF) (B)	15.067	Strengthening Tribal Nations (B,K,L,M)
66.817	State and Tribal Response Program Grants (A)	84.371	Striving Readers/Comprehensive Literacy Development (B)
10.857	State Bulk Fuel Revolving Fund Grants (B)	14.534	Strong Cities Strong Communities (SC2) National Resource Network (B)
93.240	State Capacity Building (B)	93.611	Strong Start for Mothers and Newborns (B)
66.040	State Clean Diesel Grant Program (A)	84.424	Student Support and Academic Enrichment Program (A)
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93.586	State Court Improvement Program (A,B)	93.275	Substance Abuse and Mental Health Services-Access to Recovery (B)
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20.720	State Damage Prevention Program Grants (B)	10.320	Sun Grant Program (B)
16.556	State Domestic Violence and Sexual Assault Coalitions (B)	66.809	Superfund State and Indian Tribe Core Program Cooperative Agreements (B)
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81.119	State Energy Program Special Projects (B)	66.806	Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)
97.043	State Fire Training Systems Grants (B)	10.551	Supplemental Nutrition Assistance Program (C)
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81.138	State Heating Oil and Propane Program (B)	16.750	Support for Adam Walsh Act Implementation Grant Program (B)
66.032	State Indoor Radon Grants (B)	93.818	Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa (B)
16.550	State Justice Statistics Program for Statistical Analysis Centers (B)	84.187	Supported Employment Services for Individuals with the Most Significant Disabilities (A)
20.806	State Maritime Schools (B,C,I,M)	93.833	Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States (B)
10.435	State Mediation Grants (B)	84.423	Supporting Effective Educator Development Program (B)
93.775	State Medicaid Fraud Control Units (A)	84.367	Supporting Effective Instruction State Grants (formerly Improving Teacher Quality State Grants) (A)
20.525	State of Good Repair Grants Program (A)	14.235	Supportive Housing Program (B,C)
93.296	State Partnership Grant Program to Improve Minority Health (B)	59.016	Surety Bond Guarantees (G)
93.525	State Planning and Establishment Grants for the Affordable Care Act (ACA)s Exchanges (B)		
93.735	State Public Health Approaches for Ensuring Quitline Capacity Funded in part by Prevention and Public Health Funds (PPHF) (B)		
66.432	State Public Water System Supervision (A)		
93.241	State Rural Hospital Flexibility Program (B)		
15.429	State Select (D)		
66.518	State Senior Environmental Employment Program (B)		
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93.755	Surveillance for Diseases Among Immigrants and Refugees financed in part by Prevention and Public Health Funds (PPHF) (B)	93.334 The Healthy Brain Initiative: Technical Assistance to Implement Public Health Actions related to Cognitive Health, Cognitive Impairment, and Caregiving at the State and Local Levels (B) 12.550
66.510	Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)	The Language Flagship Grants to Institutions of Higher Education (B) 10.116
66.610	Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)	The Margin Protection Program (C)
66.424	Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)	10.447 The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (B)
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66.034	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)	84.010 Title I Grants to Local Educational Agencies (A)
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16.828	Swift, Certain, and Fair (SCF) Sanctions program: Replicating the Concepts behind Project HOPE (B)	15.509 Title II, Colorado River Basin Salinity Control (B)
19.601	Syria Assistance Program (B)	16.548 Title V Delinquency Prevention Program (A)
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15.444	Take Pride (B)	15.504 Title XVI Water Reclamation and Reuse (A)
93.475	TANF Policy Academy for Innovative Employment Strategies (PAIES) (B)	93.465 Tobacco Prevention and Control Legal Technical Assistance (B)
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84.374	Teacher and School Leader Incentive Grants (formerly the Teacher Incentive Fund) (B)	93.851 Tracking Electronic Health Record Adoption and Capturing Related Insights in U.S. Hospitals (B)
84.379	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (C)	17.245 Trade Adjustment Assistance (A)
84.336	Teacher Quality Partnership Grants (B)	17.282 Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants (B)
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10.960	Technical Agricultural Assistance (B,C)	Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)
93.129	Technical and Non-Financial Assistance to Health Centers (B)	66.038 Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)
93.019	Technical Assistance and Provision for Foreign Hospitals and Health Organizations (B)	19.705 Trans-National Crime (B)
10.761	Technical Assistance and Training Grants (B)	93.704 Trans-NIH Recovery Act Loan Repayment Support (C)
20.531	Technical Assistance and Workforce Development (B,C,L,M)	93.701 Trans-NIH Recovery Act Research Support (B)
10.604	Technical Assistance for Specialty Crops Program (C)	93.310 Trans-NIH Research Support (B,M)
20.710	Technical Assistance Grants (B)	19.222 Trans-Sahara Counterterrorism Partnership (TSCTP) (B)
10.350	Technical Assistance to Cooperatives (J,K,L,M)	14.525 Transformation Initiative Research Grants: Demonstration and Related Small Grants (B)
15.915	Technical Preservation Services (K,L)	14.524 Transformation Initiative Research Grants: Natural Experiments (B)
11.616	Technology Innovation Program (TIP) (B)	14.523 Transformation Initiative Research Grants: Sustainable Community Research Grant Program (B)
93.297	Teenage Pregnancy Prevention Program (B)	14.008 Transformation Initiative: Choice Neighborhoods Demonstration Small Research Grant Program (B)
93.211	Telehealth Programs (B)	14.535 Transformation Initiative: Rental Assistance Demonstration Small Research Grant Program (B)
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93.536	The Affordable Care Act Medicaid Incentives for Prevention of Chronic Disease Demonstration Project (B)	
93.609	The Affordable Care Act Medicaid Adult Quality Grants (B)	
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<p>77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (B)</p> <p>19.025 U.S. Ambassadors Fund for Cultural Preservation (B)</p> <p>15.808 U.S. Geological Survey Research and Data Collection (B)</p> <p>20.807 U.S. Merchant Marine Academy (M)</p>	<p>V</p> <p>10.352 Value-Added Producer Grants (B)</p> <p>10.410 Very Low to Moderate Income Housing Loans (E,F)</p> <p>10.417 Very Low-Income Housing Repair Loans and Grants (B,E)</p> <p>64.110 Veterans Dependency and Indemnity Compensation for Service-Connected Death (D)</p> <p>14.278 Veterans Housing Rehabilitation and Modification Program (B)</p> <p>59.044 Veterans Outreach Program (B)</p> <p>10.313 Veterinary Medicine Loan Repayment Program (C)</p> <p>10.336 Veterinary Services Grant Program (B)</p> <p>16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments (B)</p> <p>16.588 Violence Against Women Formula Grants (A)</p> <p>93.270 Viral Hepatitis Prevention and Control (B)</p> <p>16.826 Vision 21 (B)</p> <p>93.867 Vision Research (B)</p> <p>64.125 Vocational and Educational Counseling for Servicemembers and Veterans (K)</p> <p>64.128 Vocational Training and Rehabilitation for Vietnam Veterans' Children with Spina Bifida or Other Covered Birth Defects (D)</p> <p>15.818 Volcano Hazards Program Research and Monitoring (B)</p> <p>10.093 Voluntary Public Access and Habitat Incentive Program (A)</p>

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21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)
94.013 Volunteers in Service to America (J)
93.618 Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (A,B)

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10.770 Water and Waste Disposal Loans and Grants (Section 306C) (B,E)
10.760 Water and Waste Disposal Systems for Rural Communities (B,E,F)
10.929 Water Bank Program (C)
15.530 Water Conservation Field Services (WCFS) (B)
15.506 Water Desalination Research and Development (B)
66.419 Water Pollution Control State, Interstate, and Tribal Program Support (A)
66.474 Water Protection Grants to the States (A)
66.454 Water Quality Management Planning (A)
15.037 Water Resources on Indian Lands (C,J,K)
15.507 Water SMART (Sustaining and Manage America's Resources for Tomorrow) (B)
15.981 Water Use and Data Research (B)
10.904 Watershed Protection and Flood Prevention (B,K)
10.916 Watershed Rehabilitation Program (K)
10.693 Watershed Restoration and Enhancement Agreement Authority (B)
19.800 Weapons Removal and Abatement (B)
11.459 Weather and Air Quality Research (B)
81.042 Weatherization Assistance for Low-Income Persons (A)
11.606 Weights and Measures Service (J,K,L,M)
93.595 Welfare Reform Research, Evaluations and National Studies (B)
93.094 Well-Integrated Screening and Evaluation for Women Across the Nation (B)
10.933 Wetlands Mitigation Banking Program (B)
10.072 Wetlands Reserve Program (B)
15.558 White Mountain Apache Tribe Rural Water System (C)
10.164 Wholesale Farmers and Alternative Market Development (K,M)
10.572 WIC Farmers' Market Nutrition Program (FMNP) (A)
10.578 WIC Grants To States (WGS) (B)
10.557 WIC Special Supplemental Nutrition Program for Women, Infants, and Children (A,B)
15.229 Wild Horse and Burro Resource Management (B)
15.232 Wildland Fire Research and Studies (B)
15.625 Wildlife Conservation and Restoration (A)
10.914 Wildlife Habitat Incentive Program (C)
15.611 Wildlife Restoration and Basic Hunter Education (A)
10.028 Wildlife Services (B)
15.672 Wildlife Without Borders Amphibians in Decline (B)
15.673 Wildlife Without Borders Critically Endangered Animal Conservation Fund (B)
15.640 Wildlife Without Borders- Latin America and the Caribbean (B)
15.651 Wildlife Without Borders-Africa (B)
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17.258 WIOA Adult Program (A)
17.278 WIOA Dislocated Worker Formula Grants (A)
17.280 WIOA Dislocated Worker National Reserve Demonstration Grants (B)
17.281 WIOA Dislocated Worker National Reserve Technical Assistance and Training (B)
17.277 WIOA National Dislocated Worker Grants / WIA National Emergency Grants (B)
17.261 WIOA Pilots, Demonstrations, and Research Projects (B)

17.259 WIOA Youth Activities (A)
10.318 Women and Minorities in Science, Technology, Engineering, and Mathematics Fields (B)
20.821 Women on the Water (WOW) (B)
17.700 Women's Bureau (K)
59.043 Women's Business Ownership Assistance (B)
10.681 Wood Education and Resource Center (WERC) (B)
10.674 Wood Utilization Assistance (B)
17.271 Work Opportunity Tax Credit Program (WOTC) (A)
17.283 Workforce Innovation Fund (B)

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15.531 Yakima River Basin Water Enhancement (YRBWE) (B)
15.546 Youth Conservation (B)
15.243 Youth Conservation Opportunities on Public Lands (B)
15.676 Youth Engagement, Education, and Employment (B)
16.544 Youth Gang Prevention (B)
14.276 Youth Homelessness Demonstration Program (C)
17.274 YouthBuild (B)
15.671 Yukon River Salmon Research and Management Assistance (B)

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The alphabet(s) in parenthesis following the program title, shows the type(s) of assistance available through that program. The alphabet codes with accompanying types of assistance are as follows:

- A: Formula Grants
- B: Project Grants
- C: Direct Payments for Specified Use
- D: Direct Payments with Unrestricted Use
- E: Direct Loans
- F: Guaranteed/Insured Loans
- G: Insurance
- H: Sale, Exchange, or Donation of Property and Goods
- I: Use of Property, Facilities, and Equipment
- J: Provision of Specialized Services
- K: Advisory Services and Counseling
- L: Dissemination of Technical Information
- M: Training
- N: Investigation of Complaints
- O: Federal Employment

Definitions of the types of applicants used in this Index are given below.

Individual - Any person or persons, as individuals, groups, or profit making organizations. Such persons and groups do not represent Federally Recognized Indian Tribal Governments. Includes Indians or other Native Americans who apply as individuals rather than as a member of a tribe or other Indian organization.

Local - Agencies or instrumentalities of political subdivisions within a State, to include cities, towns, townships, parishes, municipalities, villages, counties, school districts, and other special local districts. Included under local are Indian tribes on State reservations, Indian bands and group, Pueblos, Indian school boards, and State designated Indian tribes. Local does not include institutions of higher education and hospitals.

Nonprofit - A public or private agency or organization established by charter to perform specialized functions or services for the benefit of all or part of the general public. Functions or services are provided without charge or at cost, and earn no profit. The agency or organization has no shareholders to receive dividends.

State - Any agency or instrumentality of the fifty States of the United States, and the District of Columbia. State does not include the political subdivisions of the State, but does include institutions of higher education and hospitals.

U.S. Territories - Any agency or instrumentality of the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Trust Territories of the Pacific Islands, and Mariana Islands. Included are the political subdivisions of the territories, institutions of higher education, and hospitals.

Federally Recognized Indian Tribal Organizations - The governing body or a governmental agency of an Indian Tribe, nation, or other organized group or community recognized and certified by the Secretary of the Interior. Included are Native villages as defined in the Alaska Native Claims Settlement Act.

(Note: The information contained in this Index was taken from the Applicant Eligibility section of the program descriptions and from other sources. As a potential applicant, if you feel you also qualify to apply for assistance under a particular program but the Index says otherwise, always contact the agency contact persons. As regarding whether or not a potential applicant is eligible for a program, the agency is always the best source.)

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
DEPARTMENT OF AGRICULTURE						
10.001 Agricultural Research Basic and Applied Research (B)	X	X	X	X	X	X
10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)		X	X	X	X	
10.028 Wildlife Services (B)		X	X	X		X
10.030 Indemnity Program (D)	X	X	X	X	X	X
10.051 Commodity Loans and Loan Deficiency Payments (D,E)	X					
10.053 Dairy Indemnity Program (D)	X		X		X	
10.054 Emergency Conservation Program (C)	X					
10.056 Farm Storage Facility Loans (E)	X	X	X	X	X	X
10.069 Conservation Reserve Program (C)	X	X		X		
10.072 Wetlands Reserve Program (B)	X	X		X		X
10.087 Biomass Crop Assistance Program (D)						
10.093 Voluntary Public Access and Habitat Incentive Program (A)				X		X
10.098 Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (D)	X				X	

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
10.102 Emergency Forest Restoration Program (D)	X	X	X	X	X	X
10.108 Livestock Indemnity Program-2014 Farm Bill (D)	X					
10.109 Livestock Forage Program-2014 Farm Bill (D)	X					
10.110 Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program-2014 Farm Bill (C)	X	X	X	X	X	X
10.111 Tree Assistance Program-2014 Farm Bill (D)	X					
10.112 Price Loss Coverage (C)	X	X	X	X		X
10.113 Agriculture Risk Coverage Program (C)	X	X	X	X	X	X
10.114 Cotton Transition Assistance Program (D)	X	X	X	X	X	X
10.116 The Margin Protection Program (C)	X	X	X	X	X	X
10.118 Cotton Ginning Cost Share Program (D)	X					
10.153 Market News (L)				X		
10.155 Marketing Agreements and Orders (J,K)	X					
10.156 Federal-State Marketing Improvement Program (B)				X	X	
10.162 Inspection Grading and Standardization (J)						
10.163 Market Protection and Promotion (J,K,M)	X	X	X	X	X	X
10.164 Wholesale Farmers and Alternative Market Development (K,M)				X		
10.165 Perishable Agricultural Commodities Act (N)	X					
10.167 Transportation Services (K,M)	X	X	X	X	X	X
10.168 Farmers Market Promotion Program (B)		X				
10.170 Specialty Crop Block Grant Program - Farm Bill (B)				X		
10.171 Organic Certification Cost Share Programs (C)				X		
10.172 Local Food Promotion Program (B)		X	X			X
10.173 Sheep Production and Marketing Grant Program (B)			X			
10.174 Acer Access Development Program (B)			X	X		X
10.200 Grants for Agricultural Research, Special Research Grants (B)			X	X		
10.202 Cooperative Forestry Research (A)				X	X	
10.203 Payments to Agricultural Experiment Stations Under the Hatch Act (A)			X	X	X	
10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University (A)			X	X		
10.206 Grants for Agricultural Research_Competitive Research Grants (B)	X		X	X		
10.207 Animal Health and Disease Research (A)			X	X		
10.210 Higher Education Graduate Fellowships Grant Program (B)			X	X		
10.212 Small Business Innovation Research (B)						
10.215 Sustainable Agriculture Research and Education (B)			X	X		
10.216 1890 Institution Capacity Building Grants (B)			X	X		
10.217 Higher Education - Institution Challenge Grants Program (B)			X	X		
10.219 Biotechnology Risk Assessment Research (B)		X	X	X		X
10.220 Higher Education - Multicultural Scholars Grant Program (B)			X	X		X
10.221 Tribal Colleges Education Equity Grants (B)		X		X		
10.222 Tribal Colleges Endowment Program (A)		X		X		
10.223 Hispanic Serving Institutions Education Grants (B)			X	X		
10.225 Community Food Projects (B)			X			
10.226 Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (B)			X	X		
10.227 1994 Institutions Research Program (B)		X		X		
10.228 Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (B)			X	X		
10.250 Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (B,L)	X	X	X	X	X	X
10.253 Consumer Data and Nutrition Research (B,L)	X	X	X	X	X	X
10.255 Research Innovation and Development Grants in Economic (RIDGE) (L)	X	X	X	X	X	X
10.290 Agricultural Market and Economic Research (B)	X	X	X	X	X	X

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
10.291 Agricultural and Food Policy Research Centers (B)	X		X	X		
10.303 Integrated Programs (B)			X	X		
10.304 Homeland Security Agricultural (B)	X	X	X	X	X	X
10.306 Biodiesel (B)			X	X		
10.307 Organic Agriculture Research and Extension Initiative (B)	X		X	X		
10.308 Resident Instruction Grants for Insular Area Activities (B)			X	X	X	
10.309 Specialty Crop Research Initiative (B)	X	X	X	X	X	X
10.310 Agriculture and Food Research Initiative (AFRI) (B)	X		X	X		
10.311 Beginning Farmer and Rancher Development Program (B)		X	X	X		X
10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI) (B)			X	X		
10.313 Veterinary Medicine Loan Repayment Program (C)	X					
10.318 Women and Minorities in Science, Technology, Engineering, and Mathematics Fields (B)	X	X	X	X		X
10.319 Farm Business Management and Benchmarking Competitive Grants Program (B)	X		X	X		
10.320 Sun Grant Program (B)			X			
10.322 Distance Education Grants for Institutions of Higher Education in Insular Areas (B)				X		
10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)						
10.328 National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Competitive Grants Program (B)		X	X	X		X
10.329 Crop Protection and Pest Management Competitive Grants Program (B)			X			
10.330 Alfalfa and Forage Research Program (B)	X		X			
10.331 Food Insecurity Nutrition Incentive Grants Program (B)		X	X	X		X
10.334 Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program (B)			X			
10.336 Veterinary Services Grant Program (B)		X	X	X	X	X
10.350 Technical Assistance to Cooperatives (J,K,L,M)	X					
10.351 Rural Business Development Grant (B)		X	X	X		X
10.352 Value-Added Producer Grants (B)	X					
10.405 Farm Labor Housing Loans and Grants (B,F)	X	X	X	X	X	
10.410 Very Low to Moderate Income Housing Loans (E,F)	X					
10.415 Rural Rental Housing Loans (B,E)	X	X	X	X	X	
10.417 Very Low-Income Housing Repair Loans and Grants (B,E)	X					
10.420 Rural Self-Help Housing Technical Assistance (B)		X	X			
10.427 Rural Rental Assistance Payments (C)	X	X				
10.433 Rural Housing Preservation Grants (B)			X	X	X	
10.435 State Mediation Grants (B)				X		
10.438 Section 538 Rural Rental Housing Guaranteed Loans (F)						
10.443 Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)		X	X	X	X	X
10.446 Rural Community Development Initiative (B)			X			X
10.447 The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (B)	X	X	X	X	X	X
10.448 Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program (C)	X					
10.449 Boll Weevil Eradication Loan Program (E)						
10.450 Crop Insurance (G)	X					
10.451 Noninsured Assistance (D)		X				
10.458 Crop Insurance Education in Targeted States (B)			X	X		
10.460 Risk Management Education Partnerships (B)			X	X		X
10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)				X		
10.477 Meat, Poultry, and Egg Products Inspection (J)	X					

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
10.479 Food Safety Cooperative Agreements (B)		X		X		
10.500 Cooperative Extension Service (A,B)	X	X	X	X	X	X
10.511 Smith-Lever Funding (Various Programs) (A,B)			X	X	X	
10.512 Agriculture Extension at 1890 Land-grant Institutions (A)						
10.513 1890 Facilities Grants Program (B)			X	X		
10.514 Expanded Food and Nutrition Education Program (A,B)			X			
10.515 Renewable Resources Extension Act and National Focus Fund Projects (A,B)			X	X		
10.516 Rural Health and Safety Education Competitive Grants Program (B)			X			
10.517 Tribal Colleges Extension Programs (B)			X			
10.518 Food Animal Residue Avoidance Databank (B)			X			
10.520 Agriculture Risk Management Education Partnerships Competitive Grants Program (B)		X	X	X	X	
10.521 Children, Youth and Families At-Risk (B)				X		
10.536 CACFP Training Grants (B)		X	X	X		
10.537 Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) Data and Technical Assistance Grants (B)				X		
10.538 Mobile Technology for Child Nutrition Innovation Laboratory (B)			X			
10.541 Child Nutrition-Technology Innovation Grant (B)				X		
10.543 Healthier US School Challenge: Smarter Lunchrooms (C)		X		X	X	
10.544 Healthy Body Healthy Spirit (B)				X		
10.545 Farmers Market Supplemental Nutrition Assistance Program Support Grants (B)		X	X	X	X	X
10.547 Professional Standards for School Nutrition Employees (B)				X		
10.551 Supplemental Nutrition Assistance Program (C)				X	X	
10.553 School Breakfast Program (A)			X	X	X	
10.555 National School Lunch Program (A)			X	X	X	
10.556 Special Milk Program for Children (A)			X	X	X	
10.557 WIC Special Supplemental Nutrition Program for Women, Infants, and Children (A,B)		X	X	X	X	X
10.558 Child and Adult Care Food Program (A)			X	X	X	
10.559 Summer Food Service Program for Children (A)			X	X	X	
10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A)				X	X	
10.565 Commodity Supplemental Food Program (A,H)				X		X
10.567 Food Distribution Program on Indian Reservations (B,H)				X		X
10.568 Emergency Food Assistance Program (Administrative Costs) (A)				X		
10.569 Emergency Food Assistance Program (Food Commodities) (A)				X		
10.572 WIC Farmers' Market Nutrition Program (FMNP) (A)		X		X		
10.574 Team Nutrition Grants (B)				X		
10.575 Farm to School Grant Program (B,L,M)		X	X	X		X
10.576 Senior Farmers Market Nutrition Program (A)		X		X		
10.578 WIC Grants To States (WGS) (B)				X		
10.579 Child Nutrition Discretionary Grants Limited Availability (B)		X	X	X		X
10.580 Supplemental Nutrition Assistance Program, Process and Technology Improvement Grants (B)			X	X		
10.582 Fresh Fruit and Vegetable Program (B)				X		
10.586 Special Supplemental Nutrition Program for Women, Infants and Children; Nutrition Education Innovations (B)		X	X	X	X	X
10.593 Bill Emerson National Hunger Fellows and Mickey Leland International Hunger Fellows Programs (B)			X			
10.594 Food Distribution Program on Indian Reservations Nutrition Education Grants (B)				X		X

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
10.595 Farm to School Training and Technical Assistance (J,M)		X	X	X		
10.596 Pilot Projects to Reduce Dependency and Increase Work Requirements and Work Effort under SNAP (B)				X	X	
10.600 Foreign Market Development Cooperator Program (C)			X			
10.601 Market Access Program (A,C)			X			
10.603 Emerging Markets Program (C)			X	X		
10.604 Technical Assistance for Specialty Crops Program (C)			X	X		
10.605 Quality Samples Program (C)			X			
10.606 Food for Progress (C,H)			X			
10.608 Food for Education (C,H)			X			
10.610 Export Guarantee Program (F)			X			
10.612 USDA Local and Regional Food Aid Procurement Program (B)			X			
10.613 Faculty Exchange Program (B,C)			X	X	X	
10.614 Scientific Cooperation Exchange Program with China (B,C)		X	X	X	X	X
10.615 Pima Agriculture Cotton Trust Fund (D)			X			
10.616 Agriculture Wool Apparel Manufacturers Trust Fund (D)						
10.652 Forestry Research (B)	X	X	X	X	X	
10.664 Cooperative Forestry Assistance (A,B)		X	X	X		
10.665 Schools and Roads - Grants to States (A)		X		X	X	
10.666 Schools and Roads - Grants to Counties (C)				X		
10.672 Rural Development, Forestry, and Communities (B)		X	X	X		X
10.674 Wood Utilization Assistance (B)		X	X	X		
10.675 Urban and Community Forestry Program (B)		X	X	X		
10.676 Forest Legacy Program (B)		X		X		
10.678 Forest Stewardship Program (B)			X	X		
10.679 Collaborative Forest Restoration (B)		X	X			
10.680 Forest Health Protection (A,B)			X	X		
10.681 Wood Education and Resource Center (WERC) (B)		X	X	X		X
10.682 National Forest Foundation (C)			X			
10.683 National Fish and Wildlife Foundation (C)			X			
10.684 International Forestry Programs (B)	X		X	X	X	X
10.689 Community Forest and Open Space Conservation Program (CFP) (B)		X	X			X
10.690 Lake Tahoe Erosion Control Grant Program (B)		X	X	X		
10.691 Good Neighbor Authority (B)				X		
10.693 Watershed Restoration and Enhancement Agreement Authority (B)	X	X	X	X		X
10.694 Southwest Forest Health and Wildfire Prevention (C)						
10.699 Partnership Agreements (C)		X	X	X	X	X
10.700 National Agricultural Library (L)	X	X	X	X	X	
10.701 Stewardship Agreements (B)		X	X	X	X	X
10.702 Alaska National Interest Lands Conservation Act (ANILCA) Agreements (C)		X	X	X	X	X
10.703 Cooperative Fire Protection Agreement (C)		X		X	X	X
10.704 Law Enforcement Agreements (B)		X		X		
10.705 Cooperative Forest Road Agreements (B)		X		X		
10.751 Rural Energy Savings Program (RESP) (E)		X	X			
10.759 Part 1774 Special Evaluation Assistance for Rural Communities and Households Program (SEARCH) (B)		X	X	X	X	
10.760 Water and Waste Disposal Systems for Rural Communities (B,E,F)		X	X			X
10.761 Technical Assistance and Training Grants (B)			X			
10.762 Solid Waste Management Grants (B)		X	X			
10.763 Emergency Community Water Assistance Grants (B)		X	X			
10.766 Community Facilities Loans and Grants (B,E,F)		X	X	X	X	X
10.767 Intermediary Relending Program (E)		X	X	X		X
10.768 Business and Industry Loans (F)	X	X	X			X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
10.769 Rural Business Enterprise Grants (B)		X	X	X		X
10.770 Water and Waste Disposal Loans and Grants (Section 306C) (B,E)		X	X		X	X
10.771 Rural Cooperative Development Grants (B)			X			
10.773 Rural Business Opportunity Grants (B)	X	X	X	X	X	X
10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (B,C)			X	X		
10.782 Appropriate Technology Transfer for Rural Areas (B)						
10.850 Rural Electrification Loans and Loan Guarantees (E,F)		X	X		X	X
10.851 Rural Telephone Loans and Loan Guarantees (E,F)			X			
10.854 Rural Economic Development Loans and Grants (B,E)	X	X	X	X	X	X
10.855 Distance Learning and Telemedicine Loans and Grants (B,E)		X	X	X	X	
10.857 State Bulk Fuel Revolving Fund Grants (B)		X			X	
10.858 Denali Commission Grants and Loans (B)						
10.859 Assistance to High Energy Cost Rural Communities (B)		X		X	X	
10.862 Household Water Well System Grant Program (B)			X			
10.863 Community Connect Grant Program (B)		X	X	X		
10.864 Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)						
10.865 Biorefinery Assistance (F)	X	X	X	X	X	X
10.866 Repowering Assistance (C)						
10.867 Bioenergy Program for Advanced Biofuels (D)	X					
10.868 Rural Energy for America Program (F)	X	X	X	X	X	X
10.870 Rural Microentrepreneur Assistance Program (B,E)			X			X
10.871 Socially-Disadvantaged Groups Grant (B)						
10.874 Delta Health Care Services Grant Program (B)			X			
10.886 Rural Broadband Access Loans and Loan Guarantees (E,F)		X	X	X		
10.890 Rural Development Cooperative Agreement Program (B)	X	X	X	X		X
10.902 Soil and Water Conservation (K)	X	X		X	X	
10.903 Soil Survey (L)	X	X	X	X	X	X
10.904 Watershed Protection and Flood Prevention (B,K)		X	X	X	X	
10.905 Plant Materials for Conservation (J)				X	X	
10.907 Snow Survey and Water Supply Forecasting (L)	X	X	X	X	X	X
10.912 Environmental Quality Incentives Program (C)	X	X	X	X	X	X
10.913 Farm and Ranch Lands Protection Program (C)		X		X		
10.914 Wildlife Habitat Incentive Program (C)	X	X	X	X	X	X
10.916 Watershed Rehabilitation Program (K)		X		X		
10.917 Agricultural Management Assistance (C)				X		
10.920 Grassland Reserve Program (C)	X	X	X	X	X	X
10.921 Conservation Security Program (C)	X	X	X	X	X	X
10.922 Healthy Forests Reserve Program (HFRP) (C)						
10.923 Emergency Watershed Protection Program (B)	X	X	X	X	X	X
10.924 Conservation Stewardship Program (B)	X	X	X	X	X	X
10.925 Agricultural Water Enhancement Program (C)	X	X		X		
10.926 Chesapeake Bay Watershed Program (C)	X	X	X	X	X	X
10.927 Emergency Watershed Protection Program - Disaster Relief Appropriations Act (B)	X	X		X		
10.928 Emergency Watershed Protection Program - Floodplain Easements Disaster Relief Appropriations Act (C)	X	X	X	X	X	X
10.929 Water Bank Program (C)	X	X		X		
10.931 Agricultural Conservation Easement Program (B)		X		X		X
10.932 Regional Conservation Partnership Program (B)		X		X		X
10.933 Wetlands Mitigation Banking Program (B)	X		X	X	X	X
10.950 Agricultural Statistics Reports (L)	X	X	X	X	X	X
10.960 Technical Agricultural Assistance (B,C)			X	X	X	
10.961 Scientific Cooperation and Research (B,C)			X	X	X	
10.962 Cochran Fellowship Program-International Training-Foreign Participant (B,C)			X	X	X	

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
DEPARTMENT OF COMMERCE						
11.008 NOAA Mission-Related Education Awards (B)	X	X	X	X	X	X
11.011 Ocean Exploration (B)	X	X	X	X	X	X
11.012 Integrated Ocean Observing System (IOOS) (B)	X	X	X	X		X
11.013 Education Quality Award Ambassadorship (B)	X	X	X	X	X	X
11.014 Band 14 Incumbent Spectrum Relocation (B)		X		X		
11.017 Ocean Acidification Program (OAP) (B)		X	X	X	X	X
11.019 State Alternative Plan Program (B)				X		
11.150 Export Licensing Service and Information (K)	X	X	X	X	X	X
11.300 Investments for Public Works and Economic Development Facilities (B)		X	X	X	X	X
11.302 Economic Development Support for Planning Organizations (B)		X	X	X	X	X
11.303 Economic Development Technical Assistance (B)	X	X	X	X	X	X
11.307 Economic Adjustment Assistance (B)		X	X	X	X	X
11.312 Research and Evaluation Program (B)	X		X			
11.400 Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)		X		X		
11.407 Interjurisdictional Fisheries Act of 1986 (A)				X		
11.408 Fishermen's Contingency Fund (D)	X					
11.413 Fishery Products Inspection and Certification (J)	X	X		X		
11.415 Fisheries Finance Program (E)	X					
11.417 Sea Grant Support (B)	X	X	X	X	X	X
11.419 Coastal Zone Management Administration Awards (A,B)				X	X	
11.420 Coastal Zone Management Estuarine Research Reserves (B)				X	X	
11.426 Financial Assistance for National Centers for Coastal Ocean Science (B)	X	X	X	X		
11.427 Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)	X	X	X	X	X	X
11.429 Marine Sanctuary Program (B)		X	X	X	X	
11.431 Climate and Atmospheric Research (B)	X	X	X	X	X	X
11.432 National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (B)				X		
11.433 Marine Fisheries Initiative (B)	X	X	X	X	X	X
11.434 Cooperative Fishery Statistics (B)				X		
11.435 Southeast Area Monitoring and Assessment Program (B)				X		
11.436 Columbia River Fisheries Development Program (B)			X	X		
11.437 Pacific Fisheries Data Program (B)			X	X		
11.438 Pacific Coast Salmon Recovery Pacific Salmon Treaty Program (B)			X	X		
11.439 Marine Mammal Data Program (B)			X	X		
11.440 Environmental Sciences, Applications, Data, and Education (B)			X	X		
11.441 Regional Fishery Management Councils (B)			X			
11.451 Gulf Coast Ecosystem Restoration Science, Observation, Monitoring, and Technology (B)			X	X	X	X
11.452 Unallied Industry Projects (B)	X	X		X	X	X
11.454 Unallied Management Projects (B)	X	X	X	X	X	X
11.455 Cooperative Science and Education Program (B)			X	X	X	
11.457 Chesapeake Bay Studies (B)			X	X		
11.459 Weather and Air Quality Research (B)	X	X	X	X	X	X
11.460 Special Oceanic and Atmospheric Projects (B)		X	X	X		
11.463 Habitat Conservation (B)	X	X	X	X	X	X
11.469 Congressionally Identified Awards and Projects (B)	X	X	X	X	X	X
11.472 Unallied Science Program (B)	X	X	X	X	X	X
11.473 Office for Coastal Management (B)		X	X	X	X	X

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
11.474 Atlantic Coastal Fisheries Cooperative Management Act (B)				X		
11.478 Center for Sponsored Coastal Ocean Research Coastal Ocean Program (B)	X	X	X	X		X
11.482 Coral Reef Conservation Program (B)	X	X	X	X	X	X
11.483 NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)		X	X	X		X
11.549 State and Local Implementation Grant Program (B)				X		
11.550 Public Telecommunications Facilities Planning and Construction (B)		X	X	X	X	X
11.553 Special Projects (B)	X	X	X	X	X	X
11.601 Calibration Program (J)	X	X	X	X	X	X
11.603 National Standard Reference Data System (H)	X	X	X	X	X	X
11.604 Standard Reference Materials (H)	X	X	X	X	X	X
11.606 Weights and Measures Service (J,K,L,M)	X	X	X	X	X	X
11.609 Measurement and Engineering Research and Standards (B)		X	X	X		X
11.610 Standards Information Center (L)	X	X	X	X	X	X
11.611 Manufacturing Extension Partnership (B,L)		X	X	X		
11.612 Advanced Technology Program (B)						
11.616 Technology Innovation Program (TIIP) (B)			X			
11.619 Arrangements for Interdisciplinary Research Infrastructure (B)	X	X	X	X	X	X
11.620 Science, Technology, Business and/or Education Outreach (B)	X	X	X	X	X	X
11.802 Minority Business Resource Development (B)		X	X	X	X	X
11.804 MBDA Business Center - American Indian and Alaska Native (B)		X	X	X		X
11.805 MBDA Business Center (B)		X	X	X		X
11.999 Marine Debris Program (B)		X	X	X	X	X
DEPARTMENT OF DEFENSE						
12.002 Procurement Technical Assistance For Business Firms (B)		X	X	X	X	
12.219 EASE 2.0 (B)		X		X	X	
12.225 Commercial Technologies for Maintenance Activities Program (B)			X			
12.300 Basic and Applied Scientific Research (B)			X			
12.330 Science, Technology, Engineering & Mathematics (STEM) Education, Outreach and Workforce Program (B)			X			
12.350 Department of Defense HIV/AIDS Prevention Program (B)				X		
12.351 Scientific Research - Combating Weapons of Mass Destruction (B)			X			
12.355 Pest Management and Vector Control Research (B)			X		X	X
12.357 ROTC Language and Culture Training Grants (B)			X			
12.360 Research on Chemical and Biological Defense (B)		X	X			
12.369 Marine Corps Systems Command Federal Assistance Program (B)			X			
12.420 Military Medical Research and Development (B)		X	X	X	X	X
12.431 Basic Scientific Research (B)		X	X	X		
12.432 U.S. Military Academy Athletic Programs at West Point (B)			X			
12.440 Dissertation Year Fellowship (B)	X	X	X	X	X	X
12.460 Fisher House Foundation (B)			X			
12.550 The Language Flagship Grants to Institutions of Higher Education (B)			X			
12.551 National Security Education Program David L. Boren Scholarships (B)			X			
12.552 National Security Education Program David L. Boren Fellowships (B)			X			
12.555 English for Heritage Language Speakers Scholarships (B)			X			
12.556 Competitive Grants: Promoting K-12 Student Achievement at						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
Military-Connected Schools (B)						
12.558 Department of Defense Impact Aid (Supplement, CWSD, BRAC) (D)						
12.561 Community Partners in Suicide Prevention (B)			X			
12.579 Language Training Center (B)			X	X		
12.582 Office for Reintegration Programs (B)	X	X	X	X	X	X
12.598 Centers for Academic Excellence (B)			X			
12.620 Troops to Teachers Grant Program (B)		X		X	X	
12.630 Basic, Applied, and Advanced Research in Science and Engineering (B)			X			
12.631 Science, Technology, Engineering and Mathematics (STEM) Educational Program: Science, Mathematics And Research for Transformation (SMART) (B)			X			
12.800 Air Force Defense Research Sciences Program (B)			X			
12.801 Air Force Academy Athletic Programs (B)			X			
12.840 Civil Air Patrol Program (B)			X			
12.888 OPA Research Fellowship Program (B)			X		X	
12.901 Mathematical Sciences Grants (B)		X	X	X	X	X
12.905 CyberSecurity Core Curriculum (B)			X	X	X	
12.910 Research and Technology Development (B)			X			
12.987 Upper San Pedro Partnership Support (B)						
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT						
14.008 Transformation Initiative: Choice Neighborhoods Demonstration Small Research Grant Program (B)		X	X	X		
14.218 Community Development Block Grants/Entitlement Grants (A)						
14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas (B)					X	
14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A)				X		
14.231 Emergency Solutions Grant Program (A)		X	X	X	X	
14.235 Supportive Housing Program (B,C)		X	X			
14.238 Shelter Plus Care (B)		X		X		X
14.239 Home Investment Partnerships Program (A)		X		X		X
14.241 Housing Opportunities for Persons with AIDS (A,B)		X	X	X	X	
14.247 Self-Help Homeownership Opportunity Program (B)						
14.248 Community Development Block Grants Section 108 Loan Guarantees (F)				X	X	
14.249 Section 8 Moderate Rehabilitation Single Room Occupancy (B)			X			
14.250 Rural Housing and Economic Development (B)						
14.252 Section 4 Capacity Building for Community Development and Affordable Housing (B)						
14.259 Community Compass Technical Assistance and Capacity Building (B)			X	X		X
14.261 Homeless Management Information Systems Technical Assistance (B)		X	X	X	X	
14.265 Rural Capacity Building for Community Development and Affordable Housing Grants (B)			X			
14.266 Border Community Capital Initiative (B)			X			X
14.267 Continuum of Care Program (B,C)		X	X	X		
14.268 Rural Housing Stability Assistance Program (B,C)				X		
14.269 Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG-DR) (A)		X		X	X	
14.270 Appalachia Economic Development Initiative (B)			X			X
14.271 Delta Community Capital Initiative (B)			X			X
14.272 National Disaster Resilience Competition (B)		X		X	X	

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
14.273 Pay for Success Permanent Supportive Housing Demonstration (B)			X			
14.275 Housing Trust Fund (A)				X		
14.276 Youth Homelessness Demonstration Program (C)	X					
14.278 Veterans Housing Rehabilitation and Modification Program (B)			X			
14.400 Equal Opportunity in Housing (N)	X	X	X	X	X	X
14.401 Fair Housing Assistance Program State and Local (B)				X		
14.408 Fair Housing Initiatives Program (B)		X	X	X		
14.416 Education and Outreach Initiatives (B)		X	X	X		
14.417 Fair Housing Organization Initiatives (B)		X	X	X		
14.418 Private Enforcement Initiatives (B)		X	X	X		
14.506 General Research and Technology Activity (J)			X			
14.516 Doctoral Dissertation Research Grants (B)			X			
14.523 Transformation Initiative Research Grants: Sustainable Community Research Grant Program (B)		X	X	X	X	
14.524 Transformation Initiative Research Grants: Natural Experiments (B)			X	X	X	
14.525 Transformation Initiative Research Grants: Demonstration and Related Small Grants (B)			X	X		X
14.529 Fellowship Placement Pilot Program (M)			X			
14.534 Strong Cities Strong Communities (SC2) National Resource Network (B)			X			
14.535 Transformation Initiative: Rental Assistance Demonstration Small Research Grant Program (B)			X			
14.536 Research and Evaluations, Demonstrations, and Data Analysis and Utilization (B)		X	X	X		
14.850 Public and Indian Housing (C)		X				
14.856 Lower Income Housing Assistance Program Section 8 Moderate Rehabilitation (C)						
14.862 Indian Community Development Block Grant Program (B)						X
14.865 Public and Indian Housing Indian Loan Guarantee Program (F)		X	X			X
14.867 Indian Housing Block Grants (A)						X
14.869 Title VI Federal Guarantees for Financing Tribal Housing Activities (F)						X
14.870 Resident Opportunity and Supportive Services - Service Coordinators (B)			X			X
14.871 Section 8 Housing Choice Vouchers (C)			X	X		
14.872 Public Housing Capital Fund (A)		X		X		
14.873 Native Hawaiian Housing Block Grants (B)						
14.874 Loan Guarantees for Native Hawaiian Housing (F)						
14.879 Mainstream Vouchers (C)			X	X		
14.881 Moving to Work Demonstration Program (A)		X				
14.888 Lead-Based Paint Capital Fund Program (B)						
14.889 Choice Neighborhoods Implementation Grants (B)		X	X			
14.891 Public and Indian Housing Transformation Initiative (TI) Technical Assistance (TA) (J)						
14.892 Choice Neighborhoods Planning Grants (B)		X	X			
14.893 Office of Native American Programs Training and Technical Assistance for Indian Housing Block Grant Program (M)			X			X
14.894 Office of Native American Programs Training and Technical Assistance for Native Hawaiian Housing Block Grant Program (M)		X	X	X		
14.895 Jobs-Plus Pilot Initiative (B)		X	X	X		
14.896 Family Self-Sufficiency Program (B)						X
14.898 ROSS Supportive Services Programs (B)			X			X
14.900 Lead-Based Paint Hazard Control in Privately-Owned Housing (B)		X		X		X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
14.902 Lead Technical Studies Grants (B)		X	X	X		X
14.905 Lead Hazard Reduction Demonstration Grant Program (B)		X		X		X
14.906 Healthy Homes Technical Studies Grants (B)		X	X	X		X
DEPARTMENT OF THE INTERIOR						
15.020 Aid To Tribal Governments (C)						X
15.021 Consolidated Tribal Government (C)						X
15.022 Tribal Self-Governance (C)						X
15.024 Indian Self-Determination Contract Support (C)						X
15.025 Services to Indian Children, Elderly and Families (C)						X
15.026 Indian Adult Education (C)						X
15.027 Assistance to Tribally Controlled Community Colleges and Universities (B)						X
15.028 Tribally Controlled Community College Endowments (B)						X
15.029 Tribal Courts (C)						X
15.030 Indian Law Enforcement (C)						X
15.031 Indian Community Fire Protection (C)						X
15.032 Indian Economic Development (C)						X
15.033 Road Maintenance Indian Roads (C)						X
15.034 Agriculture on Indian Lands (C,J,K)						X
15.035 Forestry on Indian Lands (C,J,K)						X
15.036 Indian Rights Protection (C)						X
15.037 Water Resources on Indian Lands (C,J,K)						X
15.038 Minerals and Mining on Indian Lands (C,J,L)						X
15.040 Real Estate Programs Indian Lands (C)						X
15.041 Environmental Management Indian (C)						X
15.042 Indian School Equalization (C)						X
15.043 Indian Child and Family Education (B,M)						X
15.044 Indian Schools Student Transportation (C)						X
15.046 Administrative Cost Grants for Indian Schools (B)						X
15.047 Indian Education Facilities, Operations, and Maintenance (C)						X
15.048 Bureau of Indian Affairs Facilities Operations and Maintenance (C)						X
15.051 Endangered Species on Indian Lands (C,K,L)						X
15.052 Litigation Support for Indian Rights (C)						X
15.053 Attorney Fees Indian Rights (C)						X
15.057 Navajo-Hopi Indian Settlement (C,J)						X
15.058 Indian Post Secondary Schools (M)						
15.059 Indian Graduate Student Scholarships (B)						
15.060 Indian Vocational Training United Tribes Technical College (D,M)						
15.061 Indian Job Placement United Sioux Tribes Development Corporation (D,K)						
15.062 Replacement and Repair of Indian Schools (C)						X
15.063 Improvement and Repair of Indian Detention Facilities (C)						X
15.065 Safety of Dams on Indian Lands (C)						X
15.066 Tribal Great Lakes Restoration Initiative (B)						X
15.067 Strengthening Tribal Nations (B,K,L,M)						X
15.108 Indian Employment Assistance (C)	X					X
15.113 Indian Social Services Welfare Assistance (C)	X					X
15.114 Indian Education Higher Education Grant (B)	X					X
15.124 Indian Loans Economic Development (F)						X
15.130 Indian Education Assistance to Schools (C)				X		X
15.133 Native American Business Development Institute (A)						X
15.141 Indian Housing Assistance (B,L)	X					X
15.144 Indian Child Welfare Act Title II Grants (B)						X
15.146 Ironworker Training (B)						X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
15.147 Tribal Courts Trust Reform Initiative (B)						X
15.148 Tribal Energy Development Capacity Grants (C)						X
15.149 FOCUS on Student Achievement (B)						X
15.150 Juvenile Detention Education (B)						X
15.151 Education Enhancements (B)						X
15.152 Land Buy-Back For Tribal Nations (B)						X
15.153 Hurricane Sandy Disaster Relief Coastal Resiliency Grants. (B)	X	X	X	X	X	X
15.154 21st Century Conservation Service Corps (B)			X			
15.155 Office of the Special Trustee for American Indians, Field Operations (B)		X	X			X
15.156 Tribal Climate Resilience (C)						X
15.158 DOI National Fire Plan (B)			X			
15.159 Cultural Resources Management (B,C)		X	X	X		X
15.160 BIA Wildland Urban Interface Community Fire Assistance (B,I,L,M)		X	X	X		X
15.214 Non-Sale Disposals of Mineral Material (H)			X	X		
15.222 Cooperative Inspection Agreements with States and Tribes (B)				X		X
15.224 Cultural and Paleontological Resources Management (B)	X	X	X	X	X	X
15.225 Recreation Resource Management (B)	X	X	X	X	X	X
15.226 Payments in Lieu of Taxes (C,D)			X			
15.228 BLM Wildland Urban Interface Community Fire Assistance (B,I,L,M)		X	X	X		
15.229 Wild Horse and Burro Resource Management (B)	X	X	X	X	X	X
15.230 Invasive and Noxious Plant Management (B,M)		X		X		
15.231 Fish, Wildlife and Plant Conservation Resource Management (B,M)	X	X	X	X	X	X
15.232 Wildland Fire Research and Studies (B)	X	X	X	X	X	X
15.233 Forests and Woodlands Resource Management (B)	X	X	X	X	X	X
15.234 Secure Rural Schools and Community Self-Determination (B,M)		X	X	X		
15.235 Southern Nevada Public Land Management (B)		X				
15.236 Environmental Quality and Protection (B)	X	X	X	X	X	X
15.237 Rangeland Resource Management (B)	X	X	X	X	X	X
15.238 Challenge Cost Share (B)	X	X	X	X	X	X
15.239 Management Initiatives (B)	X	X	X	X	X	X
15.240 Helium Resource Management (B)			X			
15.241 Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (B,M)						X
15.242 BLM Rural Fire Assistance (B,I,J,K,L,M)		X	X	X		X
15.243 Youth Conservation Opportunities on Public Lands (B)		X	X	X		X
15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B,C)				X		
15.252 Abandoned Mine Land Reclamation (AMLR) (A,B)		X		X		X
15.253 Not-for-Profit AMD Reclamation (B)			X			
15.254 OSM/VISTA AmeriCorps (C)			X			
15.255 Science and Technology Projects Related to Coal Mining and Reclamation (B)		X	X	X		X
15.406 National Park Service Centennial Challenge (B)	X	X	X	X		X
15.407 Keweenaw National Historical Park (NHP) and Keweenaw NHP Advisory Commission Partner Enhancement Grants (B)	X	X	X	X		
15.408 Bureau of Ocean Energy Management Renewable Energy (B)			X	X		
15.421 Alaska Coastal Marine Institute (B)				X		
15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI) (B)						
15.423 Bureau of Ocean Energy Management (BOEM)						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
Environmental Studies (ES) (B)						
15.424 Marine Minerals Activities - Hurricane Sandy (B)				X		
15.427 Federal Oil and Gas Royalty Management State and Tribal Coordination (B)				X		X
15.428 Marine Gas Hydrate Research Activities (B)			X	X	X	
15.429 State Select (D)				X		
15.430 8(g) State Coastal Zone (C)				X		
15.431 Alaska Settlement Agreement (D)				X		
15.432 California Refuge Account (D)				X		
15.433 Flood Control Act Lands (C)				X		
15.434 Geothermal Resources (C)				X		
15.435 GoMESA (C)				X		
15.436 Late Disbursement Interest (D)				X		
15.437 Minerals Leasing Act (C)				X		
15.438 National Forest Acquired Lands (C)				X		
15.439 National Petroleum Reserve - Alaska (C)				X		
15.440 South Half of the Red River (C)				X		
15.441 Safety and Environmental Enforcement Research and Data Collection for Offshore Energy and Mineral Activities (B)				X		
15.442 Alaska Native Science and Engineering (B)		X		X		X
15.443 Not For Profit (B)	X	X	X	X	X	X
15.444 Take Pride (B)			X			
15.504 Title XVI Water Reclamation and Reuse (A)		X		X		
15.506 Water Desalination Research and Development (B)		X	X	X		X
15.507 Water SMART (Sustaining and Manage America's Resources for Tomorrow) (B)				X		
15.508 Providing Water to At-Risk Natural Desert Terminal Lakes (B)		X	X	X		
15.509 Title II, Colorado River Basin Salinity Control (B)	X	X	X	X	X	X
15.510 Colorado Ute Indian Water Rights Settlement Act (B,C)		X		X		
15.511 Cultural Resources Management (B)		X	X	X		X
15.512 Central Valley Improvement Act, Title XXXIV (B)		X		X		
15.514 Reclamation States Emergency Drought Relief (B)						
15.516 Fort Peck Reservation Rural Water System (B,C)						X
15.517 Fish and Wildlife Coordination Act (B)		X	X	X		X
15.518 Garrison Diversion Unit (B)		X				X
15.519 Indian Tribal Water Resources Development, Management, and Protection (B,C)		X				X
15.520 Lewis and Clark Rural Water System (B)						
15.521 Lower Rio Grande Valley Water Resources Conservation and Improvement (B)				X		
15.522 Mni Wiconi Rural Water Supply Project (B)						X
15.524 Recreation Resources Management (B)		X		X		
15.525 Rocky Boy's/North Central Montana Regional Water System (B)						X
15.526 San Gabriel Basin Restoration (B)						
15.527 San Luis Unit, Central Valley (B)		X	X	X		X
15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery (B)		X	X	X		X
15.530 Water Conservation Field Services (WCFS) (B)		X	X	X		
15.531 Yakima River Basin Water Enhancement (YRBWE) (B)		X		X		X
15.532 Central Valley, Trinity River Division, Trinity River Fish and Wildlife Management (B)		X	X	X		X
15.533 California Water Security and Environmental Enhancement (B)				X		
15.534 Miscellaneous Public Law 93-638 Contracts, Grants, and Cooperative Agreements (B,C)						X
15.535 Upper Colorado River Basin Fish and Wildlife Mitigation			X	X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
(B)						
15.537 Middle Rio Grande Endangered Species Collaborative (B)			X			X
15.538 Lower Colorado River Multi-Species Conservation (B)	X	X	X	X	X	X
15.539 Equus Beds Division Aquifer Storage Recharge (B)		X		X		
15.540 Lake Mead/Las Vegas Wash (B)				X		
15.541 Colorado River Basin Act of 1968 (B)		X		X		
15.542 Arizona Water Settlement Act of 2004 (B,C)		X				X
15.543 Lake Tahoe Regional Wetlands Development (B)		X	X	X		X
15.544 Platte River Recovery Implementation (B)				X		
15.545 Bunker Hill Groundwater Basin, Riverside-Corona Feeder (B)		X				
15.546 Youth Conservation (B)		X	X	X		X
15.548 Reclamation Rural Water Supply (B)		X		X		X
15.549 Integrated Regional Water Plan for the Central Valley of California (B)				X		
15.550 Fishing Events for Disadvantaged Children (B)	X		X	X		X
15.551 Madera Water Supply Enhancement (B)				X		
15.552 Navajo-Gallup Water Supply (B)		X	X			
15.553 Eastern New Mexico Rural Water System (B)		X		X		X
15.554 Cooperative Watershed Management (B)		X	X	X		X
15.555 San Joaquin River Restoration (B)	X	X	X	X	X	X
15.556 Crow Tribe Water Rights Settlement (C)						X
15.557 Desert and Southern Rockies Landscape Conservation Cooperatives (B)				X	X	X
15.558 White Mountain Apache Tribe Rural Water System (C)						X
15.559 New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure (B,C)						X
15.560 SECURE Water Act Research Agreements (B)			X	X		
15.561 Savage Rapids Pumping Plant (B)		X				
15.562 Dixie Valley Water Export Study (B)						
15.564 Central Valley Project Conservation (B)	X	X	X	X	X	X
15.566 Upper Klamath Basin Hydrolic Analyses (A,B,C,L)		X	X	X		X
15.567 Colorado River Conservation System (Pilot) (B)		X				
15.605 Sport Fish Restoration (A)				X	X	
15.608 Fish and Wildlife Management Assistance (B)				X		X
15.611 Wildlife Restoration and Basic Hunter Education (A)				X		
15.614 Coastal Wetlands Planning, Protection and Restoration (B)				X	X	
15.615 Cooperative Endangered Species Conservation Fund (B)				X	X	
15.616 Clean Vessel Act (B)				X	X	
15.619 Rhinoceros and Tiger Conservation Fund (B)		X	X	X		
15.620 African Elephant Conservation Fund (B)	X	X	X	X	X	X
15.621 Asian Elephant Conservation Fund (B)		X	X	X		
15.622 Sportfishing and Boating Safety Act (B)				X		
15.623 North American Wetlands Conservation Fund (B)				X		
15.625 Wildlife Conservation and Restoration (A)				X	X	
15.626 Enhanced Hunter Education and Safety (A)				X		
15.628 Multistate Conservation Grant (B)				X		
15.629 Great Apes Conservation Fund (B)		X	X	X		
15.630 Coastal (B)	X	X	X	X		X
15.631 Partners for Fish and Wildlife (B)			X			X
15.633 Landowner Incentive (B)				X	X	
15.634 State Wildlife Grants (A,B)				X		
15.635 Neotropical Migratory Bird Conservation (B)	X		X	X		
15.636 Alaska Subsistence Management (B)	X		X	X		X
15.637 Migratory Bird Joint Ventures (B)		X	X	X		X
15.639 Tribal Wildlife Grants (B)						X
15.640 Wildlife Without Borders- Latin America and the Caribbean			X	X		X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
(B)						
15.641 Wildlife Without Borders-Mexico (B)		X	X	X		
15.642 Challenge Cost Share (B)		X	X	X	X	
15.643 Alaska Migratory Bird Co-Management Council (B)		X	X			X
15.644 Federal Junior Duck Stamp Conservation and Design (B)	X	X	X	X		
15.645 Marine Turtle Conservation Fund (B)						
15.647 Migratory Bird Conservation (B)		X	X	X		X
15.648 Central Valley Improvement (CVI) Anadromous Fish Restoration (AFR) (B)		X	X	X		
15.649 Service Training and Technical Assistance (Generic Training) (B)						
15.650 Research Grants (Generic) (B)						
15.651 Wildlife Without Borders-Africa (B)		X	X	X		
15.652 Invasive Species (B)	X	X	X	X		
15.653 National Outreach and Communication (B)	X	X	X	X	X	X
15.654 National Wildlife Refuge System Enhancements (B)	X	X	X	X		
15.655 Migratory Bird Monitoring, Assessment and Conservation (B)		X	X	X	X	X
15.656 Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (B)	X	X	X	X	X	X
15.657 Endangered Species Conservation Recovery Implementation Funds (B)		X	X	X		X
15.658 Natural Resource Damage Assessment, Restoration and Implementation (B)	X	X	X	X	X	X
15.659 National Wildlife Refuge Fund (D)		X		X		
15.660 Endangered Species - Candidate Conservation Action Funds (B)	X	X	X	X	X	X
15.661 Lower Snake River Compensation Plan (B)				X		X
15.662 Great Lakes Restoration (B)				X		
15.663 National Fish and Wildlife Foundation (B)	X	X	X	X	X	X
15.664 Fish and Wildlife Coordination and Assistance (B)						
15.665 National Wetlands Inventory (B)	X	X	X	X	X	X
15.666 Endangered Species Conservation-Wolf Livestock Loss Compensation and Prevention (B)				X		
15.667 Highlands Conservation (B)				X		
15.668 Coastal Impact Assistance (A)						
15.669 Cooperative Landscape Conservation (B)	X	X	X	X		X
15.670 Adaptive Science (B)	X	X	X	X		X
15.671 Yukon River Salmon Research and Management Assistance (B)	X	X	X	X		
15.672 Wildlife Without Borders Amphibians in Decline (B)						
15.673 Wildlife Without Borders Critically Endangered Animal Conservation Fund (B)						
15.674 National Fire Plan-Wildland Urban Interface Community Fire Assistance (B,I,J,K,L,M)	X	X	X	X		X
15.676 Youth Engagement, Education, and Employment (B)		X	X	X		
15.677 Hurricane Sandy Disaster Relief Activities-FWS (B)		X	X	X		X
15.678 Cooperative Ecosystem Studies Units (B)		X		X		
15.679 Combating Wildlife Trafficking (B)						
15.680 Mexican Wolf Recovery (B)		X	X	X		
15.681 Cooperative Agriculture (I)	X					
15.805 Assistance to State Water Resources Research Institutes (A,B)				X	X	
15.807 Earthquake Hazards Program Assistance (B)	X	X	X	X		
15.808 U.S. Geological Survey Research and Data Collection (B)		X	X	X		
15.809 National Spatial Data Infrastructure Cooperative Agreements (B)		X	X	X	X	X
15.810 National Cooperative Geologic Mapping (B)		X	X	X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
15.811 Gap Analysis (B)		X	X	X		
15.812 Cooperative Research Units (B)						
15.814 National Geological and Geophysical Data Preservation (B)				X		
15.815 National Land Remote Sensing Education Outreach and Research (B)		X	X	X		
15.817 National Geospatial Program: Building The National Map (B)		X	X	X	X	X
15.818 Volcano Hazards Program Research and Monitoring (B)		X	X	X		
15.819 Energy Cooperatives to Support the National Energy Resources Data System (B)			X	X		
15.820 National Climate Change and Wildlife Science Center (B)			X			
15.904 Historic Preservation Fund Grants-In-Aid (A)			X	X	X	
15.912 National Historic Landmark (K)	X	X	X	X	X	X
15.914 National Register of Historic Places (K)				X	X	
15.915 Technical Preservation Services (K,L)	X	X	X	X		
15.916 Outdoor Recreation Acquisition, Development and Planning (A,B)	X	X	X	X	X	X
15.918 Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments (H)		X		X	X	
15.921 Rivers, Trails and Conservation Assistance (B,K)		X	X	X		
15.922 Native American Graves Protection and Repatriation Act (B)		X		X		X
15.923 National Center for Preservation Technology and Training (B)		X	X	X		X
15.925 National Maritime Heritage Grants (B)		X		X		
15.926 American Battlefield Protection (B)		X	X	X	X	X
15.927 Hydropower Recreation Assistance (K)			X	X		
15.928 Civil War Battlefield Land Acquisition Grants (B)		X	X	X		
15.929 Save America's Treasures (B)		X	X	X		X
15.930 Chesapeake Bay Gateways Network (B)	X	X	X	X	X	X
15.931 Conservation Activities by Youth Service Organizations (B)		X	X	X		
15.933 Preservation of Japanese American Confinement Sites (B)		X	X	X		X
15.935 National Trails System Projects (B)	X	X	X	X		X
15.937 Redwood National Park Cooperative Management with the State of California (B,H,I,K,L,M)				X		
15.938 Boston African-American National Historic Site Cooperative Agreement with the Museum of African American History (B)			X			
15.939 National Heritage Area Federal Financial Assistance (B)		X	X	X		
15.940 New Bedford Whaling National Historic Park Cooperative Management (B)		X	X	X		
15.941 Mississippi National River and Recreation Area State and Local Assistance (B,K)		X		X		
15.942 Environmental Education and Conservation - North Cascades Bioregion (B)						
15.943 Challenge Cost Share (B,C)	X	X	X	X		X
15.944 Natural Resource Stewardship (B)	X	X	X	X		
15.945 Cooperative Research and Training Programs Resources of the National Park System (B)	X	X	X	X		X
15.946 Cultural Resources Management (B)		X	X	X		X
15.947 Boston Harbor Islands Partnership (B,C)			X			
15.949 National Fire Plan - Rural Fire Assistance (B,I,J,K,L,M)		X	X	X		X
15.954 National Park Service Conservation, Protection, Outreach, and Education (B)		X	X	X		X
15.955 Martin Luther King Junior National Historic Site and Preservation District (B)	X	X	X	X	X	X
15.956 Ebey's Landing National Historical Reserve and Ebey's Landing National Historical Reserve Trust Board (C,J)		X	X	X		X
15.957 Historic Preservation Fund Grants to Provide Disaster Relief to Historic Properties Damaged by Hurricane Sandy (A,B)				X		X

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
15.958 Route 66 Corridor Preservation (B)	X	X	X	X	X	X
15.961 Federal Historic Preservation Tax Incentive (K)						
15.962 National Wild and Scenic Rivers System (B)			X	X		
15.978 Upper Mississippi River System Long Term Resource Monitoring (B)		X	X	X		
15.979 Hurricane Sandy (B)		X	X	X	X	X
15.980 National Ground-Water Monitoring Network (B)			X	X	X	X
15.981 Water Use and Data Research (B)				X		
DEPARTMENT OF JUSTICE						
16.001 Law Enforcement Assistance Narcotics and Dangerous Drugs Laboratory Analysis (J)		X		X		
16.003 Law Enforcement Assistance Narcotics and Dangerous Drugs Technical Laboratory Publications (L)						
16.004 Law Enforcement Assistance Narcotics and Dangerous Drugs Training (M)		X		X		
16.015 Missing Alzheimer's Disease Patient Assistance Program (B)		X	X			X
16.016 Culturally and Linguistically Specific Services Program (B)		X	X			
16.017 Sexual Assault Services Formula Program (A)				X	X	
16.019 Tribal Registry (B)		X				X
16.021 Justice Systems Response to Families (B)		X	X	X	X	X
16.023 Sexual Assault Services Culturally Specific Program (B)			X			
16.024 Tribal Sexual Assault Services Program (B)						X
16.025 Special Domestic Violence Criminal Jurisdiction Implementation (B)						X
16.026 OVW Research and Evaluation Program (B)		X	X	X	X	X
16.027 National Clearinghouse on Sexual Assault of American Indian and Alaska Native Women (B)			X			X
16.028 Resource Center on Workplace Response to Domestic Violence, Dating Violence, Sexual Assault, and Stalking (B)			X			
16.029 Office on Violence Against Women Special Projects (B)		X	X	X	X	X
16.123 Community-Based Violence Prevention Program (B)		X	X	X		X
16.203 Promoting Evidence Integration in Sex Offender Management Discretionary Grant Program (B,M)	X	X		X	X	
16.300 Law Enforcement Assistance FBI Advanced Police Training (M)	X	X		X		
16.301 Law Enforcement Assistance FBI Crime Laboratory Support (J,M)		X		X	X	
16.302 Law Enforcement Assistance FBI Field Police Training (M)		X		X		
16.303 Law Enforcement Assistance FBI Fingerprint Identification (J)		X		X		
16.304 Law Enforcement Assistance National Crime Information Center (J)				X		
16.305 Law Enforcement Assistance Uniform Crime Reports (L)	X	X		X		
16.307 Combined DNA Index System (B)		X		X		
16.308 Indian Country Investigations (M)		X				
16.309 Law Enforcement Assistance National Instant Criminal Background Check System (J)	X	X	X	X	X	X
16.320 Services for Trafficking Victims (B,C)		X	X	X		X
16.321 Antiterrorism Emergency Reserve (B)			X	X		
16.523 Juvenile Accountability Block Grants (A,B)		X		X	X	X
16.524 Legal Assistance for Victims (B)			X	X		
16.525 Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (B)			X	X		
16.526 OVW Technical Assistance Initiative (B)			X			
16.528 Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)		X	X	X		X
16.529 Education, Training, and Enhanced Services to End Violence						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
Against and Abuse of Women with Disabilities (B)						
16.540 Juvenile Justice and Delinquency Prevention (A,B,M)				X	X	
16.541 Part E - Developing, Testing and Demonstrating Promising New Programs (B,J)	X	X	X	X	X	X
16.543 Missing Children's Assistance (B)	X	X	X	X		X
16.544 Youth Gang Prevention (B)	X		X			
16.548 Title V Delinquency Prevention Program (A)		X		X		
16.550 State Justice Statistics Program for Statistical Analysis Centers (B)				X		
16.554 National Criminal History Improvement Program (NCHIP) (B)				X		
16.556 State Domestic Violence and Sexual Assault Coalitions (B)			X			
16.557 Tribal Domestic Violence and Sexual Assault Coalitions Grant Program (B)			X			
16.560 National Institute of Justice Research, Evaluation, and Development Project Grants (B,L)		X	X	X	X	
16.562 Criminal Justice Research and Development Graduate Research Fellowships (B)			X	X		
16.566 National Institute of Justice W.E.B. DuBois Fellowship Program (B)	X					
16.571 Public Safety Officers' Benefits Program (D)	X					
16.575 Crime Victim Assistance (A)			X	X	X	
16.576 Crime Victim Compensation (A)				X	X	
16.578 Federal Surplus Property Transfer Program (H)				X	X	
16.582 Crime Victim Assistance/Discretionary Grants (B,C)			X	X		
16.583 Children's Justice Act Partnerships for Indian Communities (B,C)		X	X			X
16.585 Drug Court Discretionary Grant Program (B)		X		X		
16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments (B)						X
16.588 Violence Against Women Formula Grants (A)				X	X	
16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)		X	X	X		X
16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program (B)		X	X	X	X	X
16.593 Residential Substance Abuse Treatment for State Prisoners (A)		X		X		
16.596 Justice System Infrastructure Program for Indian Tribes (B)						X
16.606 State Criminal Alien Assistance Program (C)		X		X	X	
16.607 Bulletproof Vest Partnership Program (C)				X	X	X
16.608 Tribal Court Assistance Program (B)						X
16.609 Project Safe Neighborhoods (B)		X	X	X		
16.610 Regional Information Sharing Systems (B)		X		X		
16.614 State and Local Anti-Terrorism Training (K,L,M)		X		X		
16.615 Public Safety Officers' Educational Assistance (D)						
16.616 Indian Country Alcohol and Drug Prevention (B)						X
16.710 Public Safety Partnership and Community Policing Grants (B)		X	X	X	X	X
16.726 Juvenile Mentoring Program (B)			X	X		
16.727 Enforcing Underage Drinking Laws Program (B)				X	X	
16.730 Reduction and Prevention of Children's Exposure to Violence (B)			X	X		
16.731 Tribal Youth Program (B)						X
16.734 Special Data Collections and Statistical Studies (B)		X	X	X	X	X
16.735 PREA Program: Demonstration Projects to Establish "Zero Tolerance" Cultures for Sexual Assault in Correctional Facilities (B)			X			
16.736 Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault (B)		X	X	X		X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
16.737 Gang Resistance Education and Training (B)		X		X	X	
16.738 Edward Byrne Memorial Justice Assistance Grant Program (A,B)		X		X	X	
16.739 National Prison Rape Statistics Program (B)	X	X	X	X		
16.740 Statewide Automated Victim Information Notification (SAVIN) Program (B)				X		
16.741 DNA Backlog Reduction Program (B)				X	X	
16.742 Paul Coverdell Forensic Sciences Improvement Grant Program (A,B)		X		X		
16.745 Criminal and Juvenile Justice and Mental Health Collaboration Program (B)		X		X		X
16.746 Capital Case Litigation Initiative (B)			X	X	X	
16.750 Support for Adam Walsh Act Implementation Grant Program (B)	X	X	X	X	X	X
16.751 Edward Byrne Memorial Competitive Grant Program (B)		X	X	X		X
16.752 Economic High-Tech and Cyber Crime Prevention (B)		X	X	X		X
16.753 Congressionally Recommended Awards (B)		X	X	X		X
16.754 Harold Rogers Prescription Drug Monitoring Program (B)		X		X	X	X
16.756 Court Appointed Special Advocates (B)						
16.757 Judicial Training on Child Maltreatment for Court Personnel Juvenile Justice Programs (B)		X	X			
16.758 Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (B)		X	X			
16.812 Second Chance Act Reentry Initiative (B)		X	X	X		X
16.813 NICS Act Record Improvement Program (B)				X	X	
16.815 Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (B)			X			X
16.816 John R. Justice Prosecutors and Defenders Incentive Act (B)				X		
16.817 Byrne Criminal Justice Innovation Program (B)		X	X	X	X	X
16.818 Children Exposed to Violence (B)		X	X	X		X
16.819 National Forum on Youth Violence Prevention (B)		X	X	X	X	X
16.820 Postconviction Testing of DNA Evidence (B)		X		X	X	
16.821 Juvenile Justice Reform and Reinvestment Demonstration Program (B)		X	X	X	X	
16.822 National Center for Campus Public Safety (B)		X	X	X	X	
16.823 Emergency Planning for Juvenile Justice Facilities (B)				X	X	
16.824 Emergency Law Enforcement Assistance Grant (B)				X		
16.825 Smart Prosecution Initiative (B)		X	X	X		X
16.826 Vision 21 (B)		X	X	X	X	X
16.827 Justice Reinvestment Initiative (B)				X		X
16.828 Swift, Certain, and Fair (SCF) Sanctions program: Replicating the Concepts behind Project HOPE (B)		X		X	X	X
16.829 Juvenile Justice Education Collaboration Assistance (B)		X	X			X
16.830 Girls in the Juvenile Justice System (B)		X	X			X
16.831 Children of Incarcerated Parents (B)		X	X	X	X	X
16.832 Children of Incarcerated Parents Web Portal (B)		X	X	X	X	X
16.833 National Sexual Assault Kit Initiative (B)		X	X	X		X
16.834 Domestic Trafficking Victim Program (B)					X	
16.835 Body Worn Camera Policy and Implementation (A,B,I,J,K,L,M,N)		X	X	X	X	X
16.836 Indigent Defense (B)			X	X	X	X
16.837 Building Community Trust (B)		X	X	X		X
16.838 Comprehensive Opioid Abuse Site-Based Program (B)		X		X	X	X
16.888 Consolidated And Technical Assistance Grant Program to Address Children and Youth Experiencing Domestic and Sexual Violence and Engage Men and Boys as Allies (B)		X	X	X		X
16.889 Grants for Outreach and Services to Underserved Populations (B)			X			

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
DEPARTMENT OF LABOR						
17.002 Labor Force Statistics (B,L)	X	X	X	X	X	X
17.003 Prices and Cost of Living Data (L)	X	X	X	X	X	X
17.004 Productivity and Technology Data (L)	X	X	X	X	X	X
17.005 Compensation and Working Conditions (B,L)	X	X	X	X	X	X
17.150 Employee Benefits Security Administration (L)	X					
17.201 Registered Apprenticeship (B,K,M)	X	X	X	X	X	X
17.207 Employment Service/Wagner-Peyser Funded Activities (A,B)				X	X	
17.225 Unemployment Insurance (A,D)				X	X	
17.235 Senior Community Service Employment Program (A,B)			X	X	X	
17.245 Trade Adjustment Assistance (A)		X	X	X		
17.258 WIOA Adult Program (A)		X		X	X	
17.259 WIOA Youth Activities (A)				X		
17.261 WIOA Pilots, Demonstrations, and Research Projects (B)		X	X	X		
17.264 National Farmworker Jobs Program (A)		X	X	X		X
17.265 Native American Employment and Training (A)						X
17.267 Incentive Grants - WIA Section 503 (B)				X	X	
17.268 H-1B Job Training Grants (B)			X	X		
17.270 Reentry Employment Opportunities (B)		X	X	X	X	X
17.271 Work Opportunity Tax Credit Program (WOTC) (A)				X		
17.272 Permanent Labor Certification for Foreign Workers (J)			X			
17.273 Temporary Labor Certification for Foreign Workers (A,J,O)			X	X	X	
17.274 YouthBuild (B)		X	X			X
17.276 Health Care Tax Credit (HCTC) National Emergency Grants (NEGs) (B)				X		
17.277 WIOA National Dislocated Worker Grants / WIA National Emergency Grants (B)		X		X	X	
17.278 WIOA Dislocated Worker Formula Grants (A)				X	X	
17.280 WIOA Dislocated Worker National Reserve Demonstration Grants (B)		X	X	X	X	
17.281 WIOA Dislocated Worker National Reserve Technical Assistance and Training (B)		X	X	X	X	
17.282 Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants (B)		X	X	X		
17.283 Workforce Innovation Fund (B)		X		X		X
17.285 Apprenticeship USA Grants (B)				X	X	
17.302 Longshore and Harbor Workers' Compensation (D)	X					
17.307 Coal Mine Workers' Compensation (D)	X					
17.309 Labor Organization Reports (K,L,N)	X		X			
17.310 Energy Employees Occupational Illness Compensation (D)	X					
17.401 International Labor Programs (B)	X	X		X	X	X
17.502 Occupational Safety and Health Susan Harwood Training Grants (B)			X			
17.503 Occupational Safety and Health State Program (B)				X		
17.504 Consultation Agreements (B)				X		
17.600 Mine Health and Safety Grants (B)				X		
17.601 Mine Health and Safety Counseling and Technical Assistance (K,L)	X	X	X	X	X	X
17.602 Mine Health and Safety Education and Training (M)	X	X	X	X	X	X
17.603 Brookwood-Sago Grant (B)			X	X		
17.604 Safety and Health Grants (B)			X	X		
17.700 Women's Bureau (K)	X	X	X	X	X	X
17.720 Disability Employment Policy Development (B)		X	X	X	X	X
17.791 Department of Labor Chief Evaluation Office (B)			X		X	X
17.801 Disabled Veterans' Outreach Program (DVOP) (A)				X	X	
17.804 Local Veterans' Employment Representative Program (A)				X	X	
17.805 Homeless Veterans Reintegration Program (B)		X	X	X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
17.807 Transition Assistance Program (J)						
DEPARTMENT OF STATE						
19.009 Academic Exchange Programs - Undergraduate Programs (B)			X			
19.010 Academic Exchange Programs - Hubert H. Humphrey Fellowship Program (B)			X			
19.011 Academic Exchange Programs - Special Academic Exchange Programs (B)			X			
19.012 Professional and Cultural Exchange Programs - Special Professional and Cultural Programs (B)			X			
19.015 Cultural, Technical and Educational Centers (B)			X			
19.016 Iraq Assistance Program (B)		X	X			
19.017 Environmental and Scientific Partnerships and Programs (B)			X			
19.018 Resettlement Support Centers (RSCs) for U.S. Refugee Resettlement (B)						
19.019 International Programs to Combat Human Trafficking (B)			X	X		
19.021 Investing in People in The Middle East and North Africa (B)			X			
19.022 Educational and Cultural Exchange Programs Appropriation Overseas Grants (B)			X			
19.023 Overseas Schools Program (B)			X			
19.025 U.S. Ambassadors Fund for Cultural Preservation (B)			X			
19.031 Research and Development - Physical Security Programs (B)		X	X	X		
19.035 Cyber Capacity Building (B)			X			
19.040 Public Diplomacy Programs (B)	X		X			
19.121 Conflict and Stabilization Operations (B)			X			
19.221 Iran Assistance Program (B)		X	X	X		
19.222 Trans-Sahara Counterterrorism Partnership (TSCTP) (B)			X			
19.300 Program for Study of Eastern Europe and the Independent States of the Former Soviet Union (B)			X			
19.301 The Secretary's Office of the Global Partnership Initiative (S/GPI) Grant Programs (B)						
19.345 International Programs to Support Democracy, Human Rights and Labor (B)			X			
19.400 Academic Exchange Programs - Graduate Students (B)			X			
19.401 Academic Exchange Programs - Scholars (B)			X			
19.402 Professional and Cultural Exchange Programs - International Visitor Leadership Program (B)			X			
19.408 Academic Exchange Programs - Teachers (B)			X			
19.415 Professional and Cultural Exchange Programs - Citizen Exchanges (B)			X			
19.421 Academic Exchange Programs - English Language Programs (B)			X			
19.432 Academic Exchange Programs - Educational Advising and Student Services (B)			X			
19.440 IIP Individual Grants (B)	X	X	X	X	X	X
19.450 ECA Individual Grants (B)	X	X	X	X	X	X
19.451 Special International Exchange Grant Programs (B)			X			
19.452 International Exchange Alumni Programs (B)			X			
19.500 Middle East Partnership Initiative (B)		X	X			
19.510 U.S. Refugee Admissions Program (B)				X		
19.511 Overseas Refugee Assistance Programs for East Asia (B)						
19.515 Contributions to International Organizations for Overseas Assistance (B,C)			X			
19.517 Overseas Refugee Assistance Programs for Africa (B)						
19.518 Overseas Refugee Assistance Programs for Western Hemisphere (B)						
19.519 Overseas Refugee Assistance Program for Near East and South Asia (B)						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
19.520 Overseas Refugee Assistance Programs for Europe (B)						
19.522 Overseas Refugee Assistance Programs for Strategic Global Priorities (B)			X			
19.600 Bureau of Near Eastern Affairs (B)		X	X	X	X	X
19.601 Syria Assistance Program (B)		X	X	X	X	
19.700 General Department of State Assistance (B)	X		X			
19.701 Global Counterterrorism Programs (B)			X			
19.703 Criminal Justice Systems (B)	X	X	X	X	X	X
19.704 Counter Narcotics (B)	X	X	X	X	X	X
19.705 Trans-National Crime (B)	X	X	X	X	X	X
19.800 Weapons Removal and Abatement (B)	X		X			
19.801 Office of Global Women's Issues (B)			X			
19.878 EUR-Other (B)						
19.900 AEECA/ESF PD Programs (B)	X		X			
19.901 Export Control and Related Border Security (B)			X	X	X	
19.979 Office of Security Affairs (B)		X	X	X		
DEPARTMENT OF TRANSPORTATION						
20.106 Airport Improvement Program (B,K)			X	X	X	X
20.108 Aviation Research Grants (B,I)			X	X	X	
20.109 Air Transportation Centers of Excellence (B,I,J)			X			
20.200 Highway Research and Development Program (B)	X	X	X	X	X	X
20.205 Highway Planning and Construction (A,B)		X			X	X
20.215 Highway Training and Education (B,M)		X		X		
20.218 Motor Carrier Safety Assistance (A)				X		
20.219 Recreational Trails Program (A)				X		
20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E,F)		X	X	X		
20.224 Federal Lands Access Program (A,B)		X			X	X
20.231 Performance and Registration Information Systems Management (B)					X	
20.232 Commercial Driver's License Program Implementation Grant (B)	X	X	X	X	X	X
20.233 Border Enforcement Grants (B)				X		
20.234 Safety Data Improvement Program (B)				X		
20.235 Commercial Motor Vehicle Operator Safety Training Grants (B)		X	X	X		
20.237 Motor Carrier Safety Assistance High Priority Activities Grants and Cooperative Agreements (B)		X		X	X	
20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort (B)				X		
20.301 Railroad Safety (B)		X	X			
20.313 Railroad Research and Development (B)			X			
20.314 Railroad Development (B)		X		X		
20.315 National Railroad Passenger Corporation Grants (B)						
20.316 Railroad Rehabilitation and Improvement Financing Program (E,F)				X		
20.317 Capital Assistance to States - Intercity Passenger Rail Service (B)				X		
20.318 Maglev Project Selection Program - SAFETEA-LU (B)				X		
20.319 High-Speed Rail Corridors and Intercity Passenger Rail Service Capital Assistance Grants (B)				X		
20.320 Rail Line Relocation and Improvement (B)						
20.321 Railroad Safety Technology Grants (B)				X		
20.323 Fiscal Year 2013 Hurricane Sandy Disaster Relief Grants to the National Railroad Passenger Corporation (B)						
20.324 Restoration and Enhancement (B)		X		X		
20.325 Consolidated Rail Infrastructure and Safety Improvements (B)			X	X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
20.326 Federal-State Partnership for State of Good Repair (B)		X		X		
20.500 Federal Transit Capital Investment Grants (A,B)		X	X	X	X	X
20.505 Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research (A)				X		
20.507 Federal Transit Formula Grants (A)		X		X		
20.509 Formula Grants for Rural Areas (A)		X	X	X		
20.513 Enhanced Mobility of Seniors and Individuals with Disabilities (A,B)		X	X	X		
20.514 Public Transportation Research, Technical Assistance, and Training (B,C,L,M)		X	X	X	X	X
20.516 Job Access and Reverse Commute Program (B)		X	X	X		
20.518 Capital and Training Assistance Program for Over-the-Road Bus Accessibility (B)			X			
20.519 Clean Fuels (B)		X		X		
20.520 Paul S. Sarbanes Transit in the Parks (B)		X		X		
20.521 New Freedom Program (A)		X	X	X		
20.522 Alternatives Analysis (B)		X		X		
20.523 Capital Assistance Program for Reducing Energy Consumption and Greenhouse Gas Emissions (B)		X				X
20.524 Passenger Rail Investment and Improvement (PRIIA) Projects for Washington Metropolitan Area Transit Authority (WMATA) (B)						
20.525 State of Good Repair Grants Program (A)		X			X	X
20.526 Bus and Bus Facilities Formula Program (A,B)			X	X	X	
20.527 Public Transportation Emergency Relief Program (B)		X		X	X	
20.528 Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program (A)				X		
20.529 Bus Testing Facility (B)	X	X	X	X	X	X
20.530 Public Transportation Innovation (B,C,L,M)		X	X		X	
20.531 Technical Assistance and Workforce Development (B,C,L,M)		X	X	X	X	X
20.600 State and Community Highway Safety (A)				X	X	X
20.601 Alcohol Impaired Driving Countermeasures Incentive Grants I (B)				X	X	
20.602 Occupant Protection Incentive Grants (B)				X	X	
20.607 Alcohol Open Container Requirements (B)				X		
20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated (B)				X		
20.609 Safety Belt Performance Grants (B)				X		
20.610 State Traffic Safety Information System Improvement Grants (B)				X		
20.611 Incentive Grant Program to Prohibit Racial Profiling (A)				X	X	
20.612 Incentive Grant Program to Increase Motorcyclist Safety (A)		X		X	X	
20.613 Child Safety and Child Booster Seats Incentive Grants (B)				X		
20.614 National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants and Cooperative Agreements (B)				X		
20.616 National Priority Safety Programs (A)				X	X	
20.700 Pipeline Safety Program State Base Grant (A)				X		
20.701 University Transportation Centers Program (B)			X			
20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)				X	X	X
20.710 Technical Assistance Grants (B)		X				X
20.720 State Damage Prevention Program Grants (B)				X	X	
20.721 PHMSA Pipeline Safety Program One Call Grant (B)				X	X	
20.723 PHMSA Pipeline Safety Research and Development Other Transaction Agreements (B)	X	X	X		X	X
20.724 Pipeline Safety Research Competitive Academic Agreement Program (CAAP) (B)			X	X	X	

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
20.725 PHMSA Pipeline Safety Underground Natural Gas Storage Grant (A)				X	X	
20.761 Biobased Transportation Research (B)			X			
20.762 Research Grants (B)			X			
20.802 Federal Ship Financing Guarantees (F)	X					
20.803 Maritime War Risk Insurance (G)						
20.806 State Maritime Schools (B,C,I,M)				X		
20.807 U.S. Merchant Marine Academy (M)	X					
20.808 Capital Construction Fund (C)	X					
20.812 Construction Reserve Fund (C)	X	X	X	X	X	X
20.813 Maritime Security Fleet Program or Ship Operations Cooperation Program (C)			X			
20.814 Assistance to Small Shipyards (C)		X		X		
20.816 Americas Marine Highway Grants (B)		X	X	X	X	X
20.817 Air Emissions and Energy Initiative (B)						
20.818 Great Ships Initiative (B)			X	X		
20.819 Ballast Water Treatment Technologies (B)			X	X		
20.820 Maritime Studies and Innovations (B)	X	X	X	X	X	X
20.821 Women on the Water (WOW) (B)			X	X		
20.822 Port of Guam Improvement Enterprise Program (B)					X	
20.901 Payments for Essential Air Services (B,C)						
20.904 Bonding Assistance Program (M)						
20.905 Disadvantaged Business Enterprises Short Term Lending Program (F)						
20.910 Assistance to Small and Disadvantaged Businesses (B)			X			
20.930 Payments for Small Community Air Service Development (B)		X				
20.931 Transportation Planning, Research and Education (B)				X	X	
20.932 Surface Transportation Discretionary Grants for Capital Investment (B)				X	X	
20.933 National Infrastructure Investments (B)		X		X		
20.934 Nationally Significant Freight and Highway Projects (B)		X		X		
DEPARTMENT OF THE TREASURY						
21.006 Tax Counseling for the Elderly (B)			X			X
21.008 Low Income Taxpayer Clinics (B)			X			
21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)		X	X			X
21.011 Capital Magnet Fund (B)			X			
21.012 Native Initiatives (B)			X			
21.014 Community Development Financial Institutions Bond Guarantee Program (F)			X			
21.016 Equitable Sharing (C)		X		X		
21.020 Community Development Financial Institutions Program (B)			X			
21.021 Bank Enterprise Award Program (B)						
APPALACHIAN REGIONAL COMMISSION						
23.001 Appalachian Regional Development (See individual Appalachian Programs) (B)		X	X	X		
23.002 Appalachian Area Development (B)		X	X	X		
23.003 Appalachian Development Highway System (B)				X		
23.009 Appalachian Local Development District Assistance (B)		X				
23.011 Appalachian Research, Technical Assistance, and Demonstration Projects (B)	X	X	X	X	X	X
EQUAL EMPLOYMENT OPPORTUNITY COMMISSION						
30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964 (K.N.O)	X	X	X	X	X	X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
30.005 Employment Discrimination Private Bar Program (J)	X					
30.008 Employment Discrimination Age Discrimination in Employment (K,N,O)	X				X	
30.010 Employment Discrimination Equal Pay Act (K,N,O)	X	X	X	X	X	X
30.011 Employment Discrimination Title I of The Americans with Disabilities Act (K,N,O)	X	X	X	X	X	X
30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008 (K,N,O)	X	X	X	X	X	X
FEDERAL MEDIATION AND CONCILIATION SERVICE						
34.002 Labor Management Cooperation (B)		X	X	X		
GENERAL SERVICES ADMINISTRATION						
39.002 Disposal of Federal Surplus Real Property (H)	X	X	X	X		
39.003 Donation of Federal Surplus Personal Property (H)		X	X	X		X
39.007 Sale of Federal Surplus Personal Property (H)	X	X	X	X	X	X
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION						
43.001 Science (B,C,I,M)	X	X	X	X	X	X
43.002 Aeronautics (B,L)	X	X	X	X	X	X
43.003 Exploration (B,C,I,J,L,M)	X	X	X	X	X	X
43.004 Aeronautics, Recovery Act (B)			X	X	X	
43.007 Space Operations (B)	X	X	X	X	X	X
43.008 Education (B)	X	X	X	X	X	X
43.009 Cross Agency Support (B)	X	X	X	X	X	X
43.010 Construction & Environmental Compliance & Remediation (B)	X	X	X	X	X	X
43.011 Office of Inspector General (B,C,I,J,K,L,N)	X	X	X	X	X	X
43.012 Space Technology (B,C,I,M)			X			X
NATIONAL CREDIT UNION ADMINISTRATION						
44.002 Community Development Revolving Loan Fund Program for Credit Unions (C,E)			X			
FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES						
45.201 Arts and Artifacts Indemnity (G)		X	X	X		
NATIONAL ENDOWMENT FOR THE ARTS						
45.024 Promotion of the Arts Grants to Organizations and Individuals (B)	X	X	X	X		X
45.025 Promotion of the Arts Partnership Agreements (B,K)				X	X	
NATIONAL ENDOWMENT FOR THE HUMANITIES						
45.129 Promotion of the Humanities Federal/State Partnership (A)			X			
45.130 Promotion of the Humanities Challenge Grants (B)			X			
45.149 Promotion of the Humanities Division of Preservation and Access (B)			X			
45.160 Promotion of the Humanities Fellowships and Stipends (B)	X					
45.161 Promotion of the Humanities Research (B)			X			
45.162 Promotion of the Humanities Teaching and Learning Resources and Curriculum Development (B)			X			
45.164 Promotion of the Humanities Public Programs (B)			X			
45.169 Promotion of the Humanities Office of Digital Humanities (B)			X			
NATIONAL SCIENCE FOUNDATION						
47.041 Engineering Grants (B)		X	X	X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
47.049 Mathematical and Physical Sciences (B)	X	X	X	X		
47.050 Geosciences (B)	X	X	X	X		
47.070 Computer and Information Science and Engineering (B)			X	X		
47.074 Biological Sciences (B)		X	X	X		
47.075 Social, Behavioral, and Economic Sciences (B)		X	X	X	X	
47.079 Office of International Science and Engineering (B)		X	X	X		
47.083 Office of Integrative Activities (B)		X	X	X		
RAILROAD RETIREMENT BOARD						
57.001 Social Insurance for Railroad Workers (D)	X					
SMALL BUSINESS ADMINISTRATION						
59.006 8(a) Business Development Program (J)						
59.007 7(j) Technical Assistance (B)	X		X			X
59.008 Disaster Assistance Loans (E)	X		X			
59.011 Small Business Investment Companies (F)						
59.012 7(a) Loan Guarantees (F)						
59.016 Surety Bond Guarantees (G)						
59.026 SCORE (B)	X					
59.037 Small Business Development Centers (B,J,K,L)		X	X			
59.041 504 Certified Development Loans (F)			X			
59.043 Women's Business Ownership Assistance (B)			X			
59.044 Veterans Outreach Program (B)			X			
59.046 Microloan Program (A,E)			X			
59.050 Prime Technical Assistance (B)			X			
59.052 Native American Outreach (K)						
59.053 Ombudsman and Regulatory Fairness Boards (N)			X			
59.054 7(a)Export Loan Guarantees (F)						
59.055 HUBZone Program (J)						
59.058 Federal and State Technology Partnership Program (B)		X	X	X		
59.061 State Trade Expansion (B)				X	X	
59.062 Intermediary Loan Program (E)			X			
59.064 Entrepreneurial Development Disaster Assistance (Disaster Relief Appropriations Act) (B,J,K,L)			X			
59.066 Transition Assistance Entrepreneurship Track (Boots to Business) (B)			X			X
59.067 Regional Innovation Clusters (C)	X	X	X	X	X	X
DEPARTMENT OF VETERANS AFFAIRS						
64.030 Life Insurance for Veterans Face Amount of New Life Insurance Policies Issued (G)	X	X	X	X	X	X
64.031 Life Insurance for Veterans - Direct Payments for Insurance (G)	X	X	X	X	X	X
64.032 Montgomery GI Bill Selected Reserve; Reserve Educational Assistance Program (D)	X					
64.054 Research and Development (B)						
64.101 Burial Expenses Allowance for Veterans (C)	X					
64.104 Pension for Non-Service-Connected Disability for Veterans (D)	X					
64.105 Pension to Veterans Surviving Spouses, and Children (D)	X					
64.106 Specially Adapted Housing for Disabled Veterans (C)	X					
64.110 Veterans Dependency and Indemnity Compensation for Service-Connected Death (D)	X					
64.124 All-Volunteer Force Educational Assistance (D)	X					
64.125 Vocational and Educational Counseling for Servicemembers and Veterans (K)						
64.128 Vocational Training and Rehabilitation for Vietnam Veterans' Children with Spina Bifida or Other Covered Birth Defects						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
(D)						
ENVIRONMENTAL PROTECTION AGENCY						
66.001 Air Pollution Control Program Support (B)		X		X	X	X
66.032 State Indoor Radon Grants (B)				X	X	X
66.033 Ozone Transport Commission (B)		X		X		
66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)		X	X	X	X	X
66.037 Internships, Training and Workshops for the Office of Air and Radiation (B)		X	X	X	X	X
66.038 Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)						X
66.039 National Clean Diesel Emissions Reduction Program (B)	X	X	X	X	X	X
66.040 State Clean Diesel Grant Program (A)				X	X	
66.041 Climate Showcase Communities Grant Program (B)		X				X
66.042 Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)	X	X	X	X	X	X
66.110 Healthy Communities Grant Program (B)		X	X	X		X
66.120 Puget Sound Watershed Management Assistance (B)		X				X
66.121 Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (B)	X	X	X	X	X	X
66.124 Coastal Wetlands Planning Protection and Restoration Act (B)		X		X		
66.125 Lake Pontchartrain Basin Restoration Program (PRP) (B)		X				
66.128 Southeastern Multi-Media and Geographic Priority Projects (B)		X	X	X		
66.129 Southeast New England Coastal Watershed Restoration (B)			X	X	X	X
66.130 Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component (B)		X	X	X		X
66.202 Congressionally Mandated Projects (B)		X	X	X	X	X
66.203 Environmental Finance Center Grants (B)			X			
66.305 Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)			X	X	X	X
66.306 Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)			X			X
66.309 Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (B)	X	X	X	X	X	X
66.310 Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (B)			X			X
66.313 International Compliance and Enforcement Projects (B)		X	X	X	X	X
66.419 Water Pollution Control State, Interstate, and Tribal Program Support (A)				X	X	X
66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)	X	X	X	X	X	X
66.432 State Public Water System Supervision (A)				X	X	X
66.433 State Underground Water Source Protection (A)				X	X	X
66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)	X	X	X	X	X	X
66.437 Long Island Sound Program (B)			X	X		
66.440 Urban Waters Small Grants (B)		X	X	X	X	X
66.441 Healthy Watersheds Consortium Grant Program (B)			X			
66.454 Water Quality Management Planning (A)				X		
66.456 National Estuary Program (B)	X		X	X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
66.458 Capitalization Grants for Clean Water State Revolving Funds (A)				X		X
66.460 Nonpoint Source Implementation Grants (A)				X	X	X
66.461 Regional Wetland Program Development Grants (B)		X		X		X
66.462 National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)			X			
66.466 Chesapeake Bay Program (B)		X	X	X		
66.468 Capitalization Grants for Drinking Water State Revolving Funds (A)				X	X	X
66.469 Great Lakes Program (B.I.L.)		X	X	X		X
66.472 Beach Monitoring and Notification Program Implementation Grants (A)		X		X	X	X
66.473 Direct Implementation Tribal Cooperative Agreements (B)						X
66.474 Water Protection Grants to the States (A)				X	X	X
66.475 Gulf of Mexico Program (B)		X	X	X		X
66.481 Lake Champlain Basin Program (B)			X	X		
66.482 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds (A)				X		
66.483 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds (A)				X		
66.508 Senior Environmental Employment Program (B)			X			
66.509 Science To Achieve Results (STAR) Research Program (B)		X	X	X	X	X
66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)	X	X	X	X	X	X
66.511 Office of Research and Development Consolidated Research/Training/Fellowships (B)		X	X	X	X	X
66.513 Greater Research Opportunities (GRO) Fellowships For Undergraduate Environmental Study (B)	X					
66.514 Science To Achieve Results (STAR) Fellowship Program (B)	X					
66.516 P3 Award: National Student Design Competition for Sustainability (B)			X			
66.517 Regional Applied Research Efforts (RARE) (B)	X	X	X	X	X	X
66.518 State Senior Environmental Employment Program (B)			X			
66.604 Environmental Justice Small Grant Program (B)	X		X		X	X
66.605 Performance Partnership Grants (A,B)				X	X	X
66.608 Environmental Information Exchange Network Grant Program and Related Assistance (B)				X	X	X
66.609 Protection of Children from Environmental Health Risks (B)			X	X	X	X
66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)			X	X	X	X
66.611 Environmental Policy and Innovation Grants (B)	X	X	X	X	X	X
66.612 Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (B)			X	X	X	X
66.700 Consolidated Pesticide Enforcement Cooperative Agreements (B)				X	X	X
66.701 Toxic Substances Compliance Monitoring Cooperative Agreements (B)				X	X	X
66.707 TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (A)				X	X	X
66.708 Pollution Prevention Grants Program (B)				X	X	X
66.716 Research, Development, Monitoring, Public Education, Outreach, Training, Demonstrations, and Studies (B)	X	X	X	X	X	X
66.717 Source Reduction Assistance (B)		X	X	X	X	X
66.801 Hazardous Waste Management State Program Support (A)				X	X	
66.802 Superfund State, Political Subdivision, and Indian Tribe						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
66.804 Site-Specific Cooperative Agreements (B) Underground Storage Tank Prevention, Detection and Compliance Program (A)				X	X	X
66.805 Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)				X	X	X
66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)			X			
66.808 Solid Waste Management Assistance Grants (B)		X	X	X	X	X
66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements (B)				X	X	X
66.812 Hazardous Waste Management Grant Program for Tribes (B)						X
66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)	X		X	X	X	X
66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)		X	X	X	X	X
66.815 Environmental Workforce Development and Job Training Cooperative Agreements (B)		X	X	X	X	X
66.816 Headquarters and Regional Underground Storage Tanks Program (B)		X	X	X		X
66.817 State and Tribal Response Program Grants (A)				X		X
66.818 Brownfields Assessment and Cleanup Cooperative Agreements (B)		X	X	X		X
66.926 Indian Environmental General Assistance Program (GAP) (B)						X
66.931 International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (B)		X	X	X	X	X
66.950 National Environmental Education Training Program (B)			X			
66.951 Environmental Education Grants (B)			X	X	X	
NUCLEAR REGULATORY COMMISSION						
77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (B)			X			
77.007 U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (B)			X	X	X	X
77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (B)			X	X	X	
77.009 U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (B)		X	X	X	X	X
DEPARTMENT OF ENERGY						
81.003 Granting of Patent Licenses (L)	X	X	X	X	X	X
81.036 Inventions and Innovations (B,K,L)	X	X	X	X	X	X
81.041 State Energy Program (A,L)				X	X	
81.042 Weatherization Assistance for Low-Income Persons (A)		X	X	X	X	X
81.049 Office of Science Financial Assistance Program (B)	X	X	X	X	X	X
81.079 Regional Biomass Energy Programs (B)			X	X		
81.086 Conservation Research and Development (B)	X	X	X	X		
81.087 Renewable Energy Research and Development (B)		X	X	X		
81.104 Environmental Remediation and Waste Processing and Disposal (B)		X	X	X	X	X
81.105 National Industrial Competitiveness through Energy, Environment, and Economics (B)				X		
81.106 Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (B)			X	X		X
81.108 Epidemiology and Other Health Studies Financial Assistance Program (B)			X		X	
81.112 Stewardship Science Grant Program (B)			X	X		
81.113 Defense Nuclear Nonproliferation Research (B)			X	X	X	X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (B)			X			
81.119 State Energy Program Special Projects (B)				X	X	
81.121 Nuclear Energy Research, Development and Demonstration (B)	X	X	X	X	X	X
81.122 Electricity Delivery and Energy Reliability, Research, Development and Analysis (B)		X	X	X		
81.123 National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program (B)			X	X	X	
81.126 Federal Loan Guarantees for Innovative Energy Technologies (E,F)		X	X	X		
81.127 Energy Efficient Appliance Rebate Program (EEARP) (A)				X	X	
81.128 Energy Efficiency and Conservation Block Grant Program (EECBG) (A,B)				X	X	
81.129 Energy Efficiency and Renewable Energy Technology Deployment, Demonstration and Commercialization (B)	X	X	X	X	X	X
81.135 Advanced Research Projects Agency - Energy (B)	X	X	X	X	X	X
81.136 Long-Term Surveillance and Maintenance (B)		X	X	X	X	X
81.137 Minority Economic Impact (B)		X	X			
81.138 State Heating Oil and Propane Program (B)				X		
81.139 Environmental Management R&D and Validation Testing on High Efficiency Particulate Air (HEPA) Filters (B)			X			
81.140 Los Alamos National Laboratory - Fire Protection (B)		X				
81.214 Environmental Monitoring/Cleanup, Cultural and Resource Mgmt., Emergency Response Research, Outreach, Technical Analysis (B)		X	X	X	X	
81.250 Energy Policy and Systems Analysis (B)			X			
DEPARTMENT OF EDUCATION						
84.002 Adult Education - Basic Grants to States (A)		X		X		
84.004 Civil Rights Training and Advisory Services (also known as Equity Assistance Centers) (B)		X	X			
84.007 Federal Supplemental Educational Opportunity Grants (C)			X	X		
84.010 Title I Grants to Local Educational Agencies (A)				X		X
84.011 Migrant Education State Grant Program (A)				X		
84.013 Title I State Agency Program for Neglected and Delinquent Children and Youth (A)				X		
84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)			X			
84.016 Undergraduate International Studies and Foreign Language Programs (B)			X	X		
84.017 International Research and Studies (B)	X		X			
84.018 Overseas Programs Special Bilateral Projects (B)	X					
84.021 Overseas Programs - Group Projects Abroad (B)		X	X	X		
84.022 Overseas Programs - Doctoral Dissertation Research Abroad (B)			X		X	
84.027 Special Education Grants to States (A)		X		X	X	
84.031 Higher Education Institutional Aid (B)			X	X	X	
84.033 Federal Work-Study Program (C)			X	X		
84.040 Impact Aid Facilities Maintenance (B)		X				
84.041 Impact Aid (A,B)		X				
84.042 TRIO Student Support Services (B)		X	X	X		
84.044 TRIO Talent Search (B)		X	X	X		
84.047 TRIO Upward Bound (B)			X	X		
84.048 Career and Technical Education -- Basic Grants to States (A)				X		
84.051 Career and Technical Education -- National Programs (B)			X	X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
84.060 Indian Education Grants to Local Educational Agencies (A)		X				X
84.063 Federal Pell Grant Program (C)	X					
84.066 TRIO Educational Opportunity Centers (B)		X	X	X		
84.101 Career and Technical Education - Grants to Native Americans and Alaska Natives (B)						X
84.103 TRIO Staff Training Program (B)			X	X		
84.120 Minority Science and Engineering Improvement (B)			X		X	
84.126 Rehabilitation Services Vocational Rehabilitation Grants to States (A)				X	X	
84.129 Rehabilitation Long-Term Training (B)		X	X	X	X	
84.141 Migrant Education High School Equivalency Program (B)			X			
84.144 Migrant Education Coordination Program (B)		X		X		
84.145 Federal Real Property Assistance Program (H)		X	X	X		
84.149 Migrant Education College Assistance Migrant Program (B)			X			
84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)		X	X	X	X	
84.161 Rehabilitation Services Client Assistance Program (A)				X	X	
84.165 Magnet Schools Assistance (B)		X				
84.173 Special Education Preschool Grants (A)				X		
84.177 Rehabilitation Services Independent Living Services for Older Individuals Who are Blind (B)				X	X	
84.181 Special Education-Grants for Infants and Families (A)				X	X	
84.184 School Safety National Activities (formerly, Safe and Drug-Free Schools and Communities-National Programs) (B)		X	X	X		
84.187 Supported Employment Services for Individuals with the Most Significant Disabilities (A)				X	X	
84.191 Adult Education National Leadership Activities (B,C)			X			
84.196 Education for Homeless Children and Youth (A)				X		
84.200 Graduate Assistance in Areas of National Need (B)			X	X		
84.206 Javits Gifted and Talented Students Education (B)				X		X
84.215 Fund for the Improvement of Education (B)			X	X		X
84.217 TRIO McNair Post-Baccalaureate Achievement (B)			X	X		
84.220 Centers for International Business Education (B)			X	X		X
84.229 Language Resource Centers (B)		X	X	X		
84.235 Rehabilitation Services Demonstration and Training Programs (B)			X	X		
84.240 Program of Protection and Advocacy of Individual Rights (A)		X	X		X	
84.245 Tribally Controlled Postsecondary Career and Technical Institutions (B)						X
84.246 Rehabilitation Short-Term Training (B)		X	X	X		
84.250 Rehabilitation Services American Indians with Disabilities (B)		X				X
84.256 Territories and Freely Associated States Education Grant Program (B)					X	
84.259 Native Hawaiian Career and Technical Education (B)			X			
84.263 Rehabilitation Training Experimental and Innovative Training (B)			X	X		
84.264 Rehabilitation Training Continuing Education (B)		X	X	X		
84.268 Federal Direct Student Loans (E)	X					
84.274 American Overseas Research Centers (B)			X	X		
84.282 Charter Schools (B)		X	X	X		
84.283 Comprehensive Centers (B)			X			
84.287 Twenty-First Century Community Learning Centers (A)		X	X	X		
84.295 Ready-To-Learn Television (B)						
84.299 Indian Education -- Special Programs for Indian Children (B)		X	X			
84.305 Education Research, Development and Dissemination (B)			X			
84.315 Capacity Building for Traditionally Underserved Populations (B)			X			

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
84.323 Special Education - State Personnel Development (B)				X	X	
84.324 Research in Special Education (B)			X			
84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)		X	X	X		
84.326 Special Education Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)		X	X	X		
84.327 Special Education Educational Technology Media, and Materials for Individuals with Disabilities (B)		X	X	X		
84.328 Special Education Parent Information Centers (B)			X			
84.329 Special Education Studies and Evaluations (B)			X			
84.330 Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (B)		X		X		
84.334 Gaining Early Awareness and Readiness for Undergraduate Programs (B)			X	X		
84.335 Child Care Access Means Parents in School (B)		X	X			
84.336 Teacher Quality Partnership Grants (B)		X				
84.351 Arts in Education (B)			X	X		
84.354 Credit Enhancement for Charter School Facilities (B)		X	X	X		
84.356 Alaska Native Educational Programs (B)						
84.358 Rural Education (A)		X				
84.362 Native Hawaiian Education (B)		X	X	X		
84.363 School Leader Recruitment and Support (formerly School Leadership) (B)			X	X		
84.365 English Language Acquisition State Grants (A)		X		X		
84.366 Mathematics and Science Partnerships (A)				X		
84.367 Supporting Effective Instruction State Grants (formerly Improving Teacher Quality State Grants) (A)				X		
84.368 Competitive Grants for State Assessments (formerly Grants for Enhanced Assessment Instruments) (B)				X		
84.369 Grants for State Assessments and Related Activities (A)				X		
84.370 DC School Choice Incentive Program (B)			X			
84.371 Striving Readers/Comprehensive Literacy Development (B)		X		X		
84.372 Statewide Longitudinal Data Systems (B)				X	X	
84.373 Special Education Technical Assistance on State Data Collection (B)				X		
84.374 Teacher and School Leader Incentive Grants (formerly the Teacher Incentive Fund) (B)		X		X		
84.377 School Improvement Grants (A)				X		
84.379 Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (C)		X		X		
84.380 Special Education -- Olympic Education Programs (B)						
84.382 Strengthening Minority-Serving Institutions (B)		X			X	X
84.403 Consolidated Grant to the Outlying Areas (A)					X	
84.407 Transition Programs for Students with Intellectual Disabilities into Higher Education (B)			X	X		
84.408 Postsecondary Education Scholarships for Veteran's Dependents (C)	X		X			
84.411 Education Innovation and Research (formerly Investing in Innovation (i3) Fund) (B)		X				
84.414 Graduate Research Opportunities for Minority Students (Minorities and Retirement Security Program) (B)			X			
84.415 State Tribal Education Partnership (STEP) (B)		X		X		
84.417 Directed Grants and Awards (C)			X			
84.418 Promoting Readiness of Minors in Supplemental Security Income (B)		X	X	X		
84.419 Preschool Development Grants (B)				X		
84.420 Performance Partnership Pilots for Disconnected Youth (B)		X		X	X	
84.421 Disability Innovation Fund (DIF) (B)			X	X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
84.422 American History and Civics Education (B)			X			
84.423 Supporting Effective Educator Development Program (B)			X	X		
84.424 Student Support and Academic Enrichment Program (A)				X		
BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION						
85.200 Barry M. Goldwater Scholarship Program (C)						
INTER-AMERICAN FOUNDATION						
85.750 IAF Assistance for Overseas Programs (B)						
GULF COAST ECOSYSTEM RESTORATION COUNCIL						
87.051 Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component Program (B)				X		
87.052 Gulf Coast Ecosystem Restoration Council Oil Spill Impact Program (B)				X		
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION						
89.001 National Archives Reference Services Historical Research (I,K,L)	X	X	X	X	X	X
89.003 National Historical Publications and Records Grants (B)		X	X	X	X	X
DELTA REGIONAL AUTHORITY						
90.203 Delta Creative Place-Making Pilot Initiative (B)			X	X		
90.204 States' Economic Development Assistance Program (B)			X	X		
DENALI COMMISSION						
90.100 Denali Commission Program (B)		X	X	X		
90.199 Shared Services (B)	X	X	X	X	X	X
NATIONAL COUNCIL ON DISABILITY						
92.002 National Council on Disability (B)	X	X	X	X	X	X
DEPARTMENT OF HEALTH AND HUMAN SERVICES						
93.001 Civil Rights and Privacy Rule Compliance Activities (K,N)	X	X	X	X	X	X
93.004 Cooperative Agreements to Improve the Health Status of Minority Populations (B)		X	X	X		X
93.007 Public Awareness Campaigns on Embryo Adoption (B)			X			
93.008 Medical Reserve Corps Small Grant Program (B)			X			
93.011 National Organizations of State and Local Officials (B)			X			
93.015 HIV Prevention Programs for Women (B)		X	X	X	X	X
93.018 Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (B)				X		
93.019 Technical Assistance and Provision for Foreign Hospitals and Health Organizations (B)			X			
93.041 Special Programs for the Aging, Title VII, Chapter 3, Programs for Prevention of Elder Abuse, Neglect, and Exploitation (A)			X	X	X	
93.042 Special Programs for the Aging, Title VII, Chapter 2, Long Term Care Ombudsman Services for Older Individuals (A)				X	X	
93.043 Special Programs for the Aging, Title III, Part D, Disease Prevention and Health Promotion Services (A)				X	X	
93.044 Special Programs for the Aging, Title III, Part B, Grants for Supportive Services and Senior Centers (A)				X	X	
93.045 Special Programs for the Aging, Title III, Part C, Nutrition Services (A)				X	X	
93.047 Special Programs for the Aging, Title VI, Part A, Grants to Indian Tribes, Part B, Grants to Native Hawaiians (A)		X				X
93.048 Special Programs for the Aging, Title IV, and Title II,						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
Discretionary Projects (B)						
93.051 Alzheimer's Disease Demonstration Grants to States (B)				X		
93.052 National Family Caregiver Support, Title III, Part E (A)		X		X	X	
93.053 Nutrition Services Incentive Program (A)				X	X	X
93.054 National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (B)						X
93.057 National Resource Center for HIV Prevention Among Adolescents (B)			X	X		
93.059 Training in General, Pediatric, and Public Health Dentistry (B)		X	X	X	X	X
93.060 Sexual Risk Avoidance Education (B)		X	X	X	X	X
93.061 Innovations in Applied Public Health Research (B)			X			
93.062 Biomonitoring Programs for State Public Health Laboratories (B)				X		
93.064 Laboratory Training, Evaluation, and Quality Assurance Programs (B)		X	X	X	X	
93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (B)				X		
93.066 State Vital Statistics Improvement Program (B)			X			
93.067 Global AIDS (B)	X	X	X	X	X	X
93.068 Chronic Diseases: Research, Control, and Prevention (B)		X	X	X	X	X
93.069 Public Health Emergency Preparedness (B)		X		X	X	
93.070 Environmental Public Health and Emergency Response (B)			X			X
93.071 Medicare Enrollment Assistance Program (A,B)						
93.072 Lifespan Respite Care Program (B)				X		
93.073 Birth Defects and Developmental Disabilities - Prevention and Surveillance (B)			X	X	X	X
93.074 Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements (A)		X		X	X	
93.077 Family Smoking Prevention and Tobacco Control Act Regulatory Research (B,M)			X			
93.078 Strengthening Emergency Care Delivery in the United States Healthcare System through Health Information and Promotion (B)			X	X		
93.079 Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (B)		X	X	X	X	
93.080 Blood Disorder Program: Prevention, Surveillance, and Research (B)		X	X	X	X	X
93.081 ASPR Science Preparedness and Response Grants (B)		X	X	X		
93.082 Sodium Reduction in Communities (B)		X		X		X
93.083 Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (B)		X	X	X	X	X
93.084 Prevention of Disease, Disability, and Death by Infectious Diseases (B)		X	X	X	X	
93.085 Research on Research Integrity (B)		X	X	X		
93.086 Healthy Marriage Promotion and Responsible Fatherhood Grants (B,L)		X	X	X	X	X
93.087 Enhance Safety of Children Affected by Substance Abuse (B)		X	X	X	X	X
93.088 Advancing System Improvements for Key Issues in Women's Health (B)		X	X	X	X	X
93.089 Emergency System for Advance Registration of Volunteer Health Professionals (B)			X	X	X	
93.090 Guardianship Assistance (A)				X	X	X
93.092 Affordable Care Act (ACA) Personal Responsibility Education Program (A,B)		X	X	X	X	X
			X			

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.093 Affordable Care Act (ACA) Health Profession Opportunity Grants (B)			X	X	X	X
93.094 Well-Integrated Screening and Evaluation for Women Across the Nation (B)		X		X		X
93.095 HHS Programs for Disaster Relief Appropriations Act - Non Construction (A,B)		X	X	X		X
93.096 HHS Programs for Disaster Relief Appropriations Act - Construction (B)			X			X
93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (B)						
93.098 Tribal Public Health Capacity Building and Quality Improvement (B)			X			
93.099 Collaboration With the World Health Organization and its Regional Offices for Global Health Security and the International Health Regulations (IHR 2005) (B)						
93.103 Food and Drug Administration Research (B)		X	X	X	X	X
93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)		X		X		
93.107 Area Health Education Centers (B)			X	X	X	
93.110 Maternal and Child Health Federal Consolidated Programs (B)		X	X	X	X	X
93.113 Environmental Health (B)		X	X	X	X	
93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)		X		X	X	
93.117 Preventive Medicine and Public Health Residency Training Program, Integrative Medicine Program, and National Center for Integrative Primary Healthcare (B)		X	X	X	X	X
93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity (B)		X	X	X	X	X
93.121 Oral Diseases and Disorders Research (B)		X	X	X	X	X
93.123 Health Professions Pre-graduate Scholarship Program for Indians (M)						
93.124 Nurse Anesthetist Traineeship (A)			X	X	X	
93.127 Emergency Medical Services for Children (B)			X	X	X	
93.129 Technical and Non-Financial Assistance to Health Centers (B)			X			X
93.130 Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (B)				X	X	
93.134 Grants to Increase Organ Donations (B)			X	X	X	X
93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention (B)			X	X		
93.136 Injury Prevention and Control Research and State and Community Based Programs (B)		X	X	X	X	X
93.137 Community Programs to Improve Minority Health Grant Program (B)		X	X	X	X	X
93.138 Protection and Advocacy for Individuals with Mental Illness (A)				X	X	
93.140 Intramural Research Training Award (C)	X					
93.142 NIEHS Hazardous Waste Worker Health and Safety Training (B)		X	X	X	X	
93.143 NIEHS Superfund Hazardous Substances_Basic Research and Education (B)		X	X	X	X	
93.145 HIV-Related Training and Technical Assistance (B)		X	X	X	X	
93.150 Projects for Assistance in Transition from Homelessness (PATH) (A)				X	X	
93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)		X	X	X	X	X
93.155 Rural Health Research Centers (B)		X	X	X		X
93.156 Geriatric Training for Physicians, Dentists and						

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
Behavioral/Mental Health Professionals (B)						
93.157 Centers of Excellence (B)			X	X	X	X
93.161 Health Program for Toxic Substances and Disease Registry (B)	X	X		X		
93.162 National Health Service Corps Loan Repayment Program (C)	X					
93.164 Indian Health Service Educational Loan Repayment (C)						
93.165 Grants to States for Loan Repayment Program (B,C)				X	X	X
93.172 Human Genome Research (B)		X	X	X	X	X
93.173 Research Related to Deafness and Communication Disorders (B)			X			
93.178 Nursing Workforce Diversity (B)		X	X	X	X	X
93.184 Disabilities Prevention (B)		X	X	X		X
93.185 Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects (B)		X	X	X	X	X
93.186 National Research Service Award in Primary Care Medicine (B)		X	X	X	X	X
93.187 Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (C)	X	X	X	X	X	X
93.191 Graduate Psychology Education (B)			X		X	X
93.193 Urban Indian Health Services (B)						
93.197 Childhood Lead Poisoning Prevention Projects, State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)		X	X	X	X	X
93.209 Contraception and Infertility Research Loan Repayment Program (C)						
93.210 Tribal Self-Governance Program: IHS Compacts/Funding Agreements (C)					X	X
93.211 Telehealth Programs (B)		X	X	X	X	X
93.213 Research and Training in Complementary and Integrative Health (B,M)	X	X	X	X		
93.217 Family Planning Services (B)		X	X	X	X	
93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (C)				X	X	
93.223 Development and Coordination of Rural Health Services (B)		X	X	X	X	X
93.224 Health Center Program (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (B)		X	X	X		X
93.225 National Research Service Awards Health Services Research Training (M)		X	X	X	X	
93.226 Research on Healthcare Costs, Quality and Outcomes (B)		X	X	X	X	X
93.228 Indian Health Service, Health Management Development Program (B)						X
93.231 Epidemiology Cooperative Agreements (B)		X				X
93.232 Loan Repayment Program for General Research (C)	X			X	X	
93.233 National Center on Sleep Disorders Research (B)	X	X	X			
93.234 Traumatic Brain Injury State Demonstration Grant Program (B)				X	X	X
93.235 Affordable Care Act (ACA) Abstinence Education Program (A)				X	X	
93.236 Grants to States to Support Oral Health Workforce Activities (B)			X	X	X	X
93.237 Special Diabetes Program for Indians Diabetes Prevention and Treatment Projects (B)		X				X
93.239 Policy Research and Evaluation Grants (B)		X	X	X		
93.240 State Capacity Building (B)		X		X	X	
93.241 State Rural Hospital Flexibility Program (B)				X		X
93.242 Mental Health Research Grants (B,M)	X	X	X	X	X	X
93.243 Substance Abuse and Mental Health Services Projects of			X	X	X	X

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
Regional and National Significance (B)						
93.247 Advanced Nursing Education Workforce Grant Program (B)			X		X	X
93.251 Universal Newborn Hearing Screening (B)			X	X	X	
93.253 Poison Center Support and Enhancement Grant Program (B)		X	X	X	X	X
93.254 Infant Adoption Awareness Training (B)		X	X	X		
93.255 Children's Hospitals Graduate Medical Education Payment Program (C)			X	X	X	X
93.257 Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)		X	X	X		X
93.259 Rural Access to Emergency Devices Grant and Public Access to Defibrillation Demonstration Grant (B)		X	X	X	X	X
93.260 Family Planning Personnel Training (B)		X	X	X	X	
93.261 Scaling the National Diabetes Prevention Program to Priority Populations (B)			X			X
93.262 Occupational Safety and Health Program (B,M)		X	X	X	X	
93.264 Nurse Faculty Loan Program (NFLP) (A)			X	X	X	
93.265 Comprehensive Geriatric Education Program (CGEP) (B)			X	X	X	X
93.266 Health Systems Strengthening and HIV/AIDS Prevention, Care and Treatment under the President's Emergency Plan for AIDS Relief (B)			X			
93.267 State Grants for Protection and Advocacy Services (A)				X		X
93.268 Immunization Cooperative Agreements (B)		X		X	X	
93.269 Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)			X	X	X	
93.270 Viral Hepatitis Prevention and Control (B)	X	X	X	X	X	X
93.273 Alcohol Research Programs (B)	X	X	X	X	X	X
93.275 Substance Abuse and Mental Health Services-Access to Recovery (B)		X		X	X	X
93.276 Drug-Free Communities Support Program Grants (B)			X		X	X
93.279 Drug Abuse and Addiction Research Programs (B,M)	X	X	X	X		
93.280 National Institutes of Health Loan Repayment Program for Clinical Researchers (C)						
93.281 Mental Health Research Career/Scientist Development Awards (B)	X	X	X	X		
93.282 Mental Health National Research Service Awards for Research Training (M)	X		X			
93.283 Centers for Disease Control and Prevention Investigations and Technical Assistance (B)	X	X	X	X		
93.284 Injury Prevention Program for American Indians and Alaskan Natives Cooperative Agreements (B)			X			X
93.285 National Institutes of Health Pediatric Research Loan Repayment Program (C)						
93.286 Discovery and Applied Research for Technological Innovations to Improve Human Health (B)			X		X	X
93.288 National Health Service Corps Scholarship Program (C)	X					
93.289 President's Council on Fitness, Sports, and Nutrition (K)	X	X	X	X	X	X
93.290 National Community Centers of Excellence in Women's Health (B)		X	X	X		
93.291 Surplus Property Utilization (H)		X	X	X		
93.292 National Public Health Improvement Initiative (A)		X		X	X	X
93.296 State Partnership Grant Program to Improve Minority Health (B)				X	X	
93.297 Teenage Pregnancy Prevention Program (B)		X	X	X	X	X
93.300 National Center for Health Workforce Analysis (B)		X	X	X		X
93.301 Small Rural Hospital Improvement Grant Program (B)		X		X		X
93.303 NURSE Corps Scholarship Program (C)	X					
93.304 Racial and Ethnic Approaches to Community Health (B)			X			
93.305 National State Based Tobacco Control Programs (B)				X		
93.307 Minority Health and Health Disparities Research (B)	X	X	X	X	X	X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.308 National Institute on Minority Health and Health Disparities (NIMHD) Extramural Loan Repayment Programs (C)				X		
93.310 Trans-NIH Research Support (B,M)		X	X	X		
93.311 Mobilization For Health: National Prevention Partnership Awards (B)		X	X	X	X	X
93.312 Child Development and, Surveillance, Research and Prevention (B)		X	X	X	X	X
93.313 NIH Office of Research on Women's Health (B)			X	X	X	
93.314 Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program (B)		X	X	X	X	X
93.315 Rare Disorders: Research, Surveillance, Health Promotion, and Education (B)		X	X	X	X	X
93.316 Public Health Preparedness and Response Science, Research, and Practice (B)		X	X	X	X	X
93.317 Emerging Infections Programs (B)				X		
93.318 Protecting and Improving Health Globally: Building and Strengthening Public Health Impact, Systems, Capacity and Security (B)					X	
93.319 Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas (B)						
93.321 Dietary Supplement Research Program (B)			X	X	X	X
93.322 CSELS Partnership: Strengthening Public Health Laboratories (B)	X	X	X	X	X	X
93.323 Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) (B)		X		X	X	
93.324 State Health Insurance Assistance Program (B)				X	X	
93.325 Paralysis Resource Center (B)			X			X
93.326 Protecting and Improving Health Globally: Strengthening Public Health through Surveillance, Epidemiologic Research, Disease Detection and Prevention (B)						
93.327 Demonstration Grants for Domestic Victims of Human Trafficking (B)		X	X	X	X	X
93.328 National Implementation and Dissemination for Chronic Disease Prevention (B)			X			
93.329 Skills Training and Health Workforce Development of Paraprofessionals Grant Program (B)			X		X	
93.330 Leadership in Public Health Social Work Education Grant Program (B)						X
93.331 Partnerships to Improve Community Health (B)		X	X			X
93.332 Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Marketplaces (B)	X		X			X
93.334 The Healthy Brain Initiative: Technical Assistance to Implement Public Health Actions related to Cognitive Health, Cognitive Impairment, and Caregiving at the State and Local Levels (B)			X			
93.336 Behavioral Risk Factor Surveillance System (B)				X	X	
93.338 Foreign Public Health Construction (B)			X			
93.339 Public Health Conference Support (B)	X	X	X	X	X	X
93.340 Native American Community Research, Demonstration, and Pilot Projects (B)						X
93.341 Analyses, Research and Studies to Address the Impact of CMS Programs on American Indian/Alaska Native (AI/AN) Beneficiaries and the Health Care System Serving these Beneficiaries (B)			X			
93.342 Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (B)			X	X	X	X
93.343 Public Health Service Evaluation Funds (B)		X	X	X	X	X
93.344 Research, Monitoring and Outcomes Definitions for Vaccine Safety (B)		X	X	X	X	X

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.350 National Center for Advancing Translational Sciences (B)		X	X	X		X
93.351 Research Infrastructure Programs (B)				X		
93.352 Construction Support (B)			X			
93.353 21st Century Cures Act - Beau Biden Cancer Moonshot (B)						
93.354 Public Health Emergency Response: Cooperative Agreement for Emergency Response: Public Health Crisis Response (B)		X		X	X	X
93.355 Certified Health IT Surveillance Capacity and Infrastructure Improvement Cooperative Agreement Program (B)						
93.358 Advanced Education Nursing Traineeships (B)		X	X	X		X
93.359 Nurse Education, Practice Quality and Retention Grants (B)		X	X	X	X	X
93.360 Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development (B)			X	X	X	X
93.361 Nursing Research (B)	X	X	X	X	X	X
93.363 Flexible Alternatives for State Transformation Model (B)						
93.364 Nursing Student Loans (E)			X		X	X
93.365 Sickle Cell Treatment Demonstration Program (B)			X	X	X	
93.366 State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes (B)				X	X	
93.368 21st Century Cures Act - Precision Medicine Initiative (B)			X			
93.369 ACL Independent Living State Grants (A)				X	X	
93.370 21st Century Cures Act: Regenerative Medicine Initiative (B)			X			
93.372 21st Century Cures Act - Brain Research through Advancing Innovative Neurotechnologies (B)			X			
93.389 National Center for Research Resources (B)		X	X	X		
93.391 Activities to Support State, Tribal, Local and Territorial (STLT) Health Department Response to Public Health or Healthcare Crises (B)						
93.393 Cancer Cause and Prevention Research (B)		X	X	X		
93.394 Cancer Detection and Diagnosis Research (B)		X	X	X		
93.395 Cancer Treatment Research (B)		X	X	X		
93.396 Cancer Biology Research (B)		X	X	X		
93.397 Cancer Centers Support Grants (B)		X	X	X		
93.398 Cancer Research Manpower (B)			X			
93.399 Cancer Control (B)		X	X	X		
93.413 The State Flexibility to Stabilize the Market Grant Program (B)				X		
93.423 1332 State Innovation Waivers (B)					X	
93.424 NON-ACA/PPHF Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations (B)			X			
93.432 ACL Centers for Independent Living (B)		X	X	X	X	
93.433 ACL National Institute on Disability, Independent Living, and Rehabilitation Research (B)	X	X	X	X		X
93.441 Indian Self-Determination (C)		X				
93.442 Special Diabetes Program for Indians (SDPI) Diabetes Prevention and Healthy Heart Initiative (B)				X		X
93.444 Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (B)						X
93.448 Food Safety and Security Monitoring Project (B)		X		X	X	X
93.449 Ruminant Feed Ban Support Project (B)		X		X	X	X
93.452 Health Improvement for Re-entering Ex-offenders Initiative (HIRE) HIV/AIDS (B)			X			X
93.456 CDC Undergraduate Public Health Scholars Program (CUPS): A Public Health Experience to Expose Undergraduates Interested in Minority Health to Public Health and the Public Health Professions (B)			X			
93.464 ACL Assistive Technology (B)				X		
93.465 Tobacco Prevention and Control Legal Technical Assistance						

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
(B)						
93.466 Building Capacity of the Public Health System to Address Ebola Through National Nonprofit Organizations (B)					X	
93.475 TANF Policy Academy for Innovative Employment Strategies (PAIES) (B)				X		
93.500 Pregnancy Assistance Fund Program (B)				X		X
93.501 Grants for School-Based Health Center Capital Expenditures (B)		X	X	X		X
93.504 Family to Family Health Information Centers (B)		X	X	X	X	X
93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (A)				X	X	
93.506 ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (B)				X	X	
93.507 PPHF National Public Health Improvement Initiative (B)		X		X	X	X
93.508 Affordable Care Act (ACA) Tribal Maternal, Infant, and Early Childhood Home Visiting Program (B)			X			X
93.510 Affordable Care Act (ACA) Primary Care Residency Expansion Program (B)			X	X		X
93.511 Affordable Care Act (ACA) Grants to States for Health Insurance Premium Review (B)				X		
93.513 Affordable Care Act (ACA) Advanced Nursing Education Expansion Initiative (B)		X	X	X	X	X
93.514 Affordable Care Act (ACA) Expansion of Physician Assistant Training Program (B)		X	X	X	X	X
93.516 Public Health Training Centers Program (B)			X			X
93.519 Affordable Care Act (ACA) Consumer Assistance Program Grants (B)				X		
93.521 The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (B)				X	X	
93.524 Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by Prevention and Public Health Funds (PPHF) (B)						
93.525 State Planning and Establishment Grants for the Affordable Care Act (ACA)s Exchanges (B)				X	X	
93.526 Grants for Capital Development in Health Centers (B)		X	X	X	X	
93.527 Grants for New and Expanded Services under the Health Center Program (B)		X	X	X	X	X
93.528 National Forum for State and Territorial Chief Executives (B)			X			
93.529 Pre-existing Condition Insurance Program (PCIP) (C)				X		
93.530 Teaching Health Center Graduate Medical Education Payment (A)		X	X			X
93.531 PPHF - Community Transformation Grants and National Dissemination and Support for Community Transformation Grants - financed solely by Prevention and Public Health Funds (B)		X	X	X	X	X
93.533 Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease (B)		X	X	X	X	X
93.534 Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (B)			X	X		X
93.535 Affordable Care Act (ACA) Childhood Obesity Research Demonstration (B)		X	X	X	X	X
93.536 The Affordable Care Act Medicaid Incentives for Prevention of Chronic Disease Demonstration Project (B)				X		

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.537 Affordable Care Act Medicaid Emergency Psychiatric Demonstration (C)				X		
93.538 Affordable Care Act - National Environmental Public Health Tracking Program-Network Implementation (B)		X		X		
93.539 PPHF Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by Prevention and Public Health Funds (B)		X		X	X	
93.540 Affordable Care Act Streamlined surveillance for ventilator-associated pneumonia: Reducing burden and demonstrating preventability; and Prevention and Public Health Fund (B)			X			
93.542 Health Promotion and Disease Prevention Research Centers: PPHF - Affordable Care Act Projects (B)						
93.545 Consumer Operated and Oriented Plan [CO-OP] Program (E)			X			
93.546 Early Retiree Reinsurance Program (C)			X	X		
93.547 National Health Service Corps (B,C)	X			X	X	
93.548 PPHF: State Nutrition, Physical Activity, and Obesity Programs - financed in part by PPHF (B)			X	X	X	X
93.550 Transitional Living for Homeless Youth (B)		X	X	X	X	X
93.551 Abandoned Infants (B)		X	X	X	X	X
93.556 Promoting Safe and Stable Families (A,B)			X	X	X	X
93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)		X	X	X	X	X
93.558 Temporary Assistance for Needy Families (A,L)				X	X	X
93.560 Family Support Payments to States Assistance Payments (A)					X	
93.563 Child Support Enforcement (A)				X	X	
93.564 Child Support Enforcement Research (B)				X		X
93.566 Refugee and Entrant Assistance State/Replacement Designee Administered Programs (A)				X		
93.567 Refugee and Entrant Assistance Voluntary Agency Programs (A)			X			
93.568 Low-Income Home Energy Assistance (A)				X	X	X
93.569 Community Services Block Grant (A)				X	X	X
93.570 Community Services Block Grant Discretionary Awards (B)			X	X		
93.575 Child Care and Development Block Grant (A)				X	X	X
93.576 Refugee and Entrant Assistance Discretionary Grants (B)		X	X	X		
93.579 U.S. Repatriation (B)			X	X	X	X
93.581 Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (B)						X
93.583 Refugee and Entrant Assistance Wilson/Fish Program (B)			X	X		
93.584 Refugee and Entrant Assistance Targeted Assistance Grants (A)				X		
93.586 State Court Improvement Program (A,B)				X		
93.587 Promote the Survival and Continuing Vitality of Native American Languages (B)		X	X		X	X
93.590 Community-Based Child Abuse Prevention Grants (A)				X	X	
93.591 Family Violence Prevention and Services/State Domestic Violence Coalitions (A)			X			
93.592 Family Violence Prevention and Services/Discretionary (B)		X	X			X
93.594 Tribal Work Grants (A)						X
93.595 Welfare Reform Research, Evaluations and National Studies (B)		X	X	X	X	X
93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund (A)				X		X
93.597 Grants to States for Access and Visitation Programs (A)					X	
93.598 Services to Victims of a Severe Form of Trafficking (B,C)		X	X	X		
93.599 Chafee Education and Training Vouchers Program (ETV) (A)				X	X	X
93.600 Head Start (B,C)		X	X		X	X

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.601 Child Support Enforcement Demonstrations and Special Projects (B)		X	X	X		X
93.602 Assets for Independence Demonstration Program (B)		X	X	X		X
93.603 Adoption and Legal Guardianship Incentive Payments (A)				X		X
93.604 Assistance for Torture Victims (B,C)			X			
93.605 Family Connection Grants (B)		X	X	X		
93.606 Affordable Care Act - Preparedness and Emergency Response Learning Centers (B)			X			
93.609 The Affordable Care Act Medicaid Adult Quality Grants (B)				X	X	
93.610 Health Care Innovation Awards (HCIA) (B)						
93.611 Strong Start for Mothers and Newborns (B)			X	X	X	
93.612 Native American Programs (B)		X	X		X	X
93.613 President's Committee for People with Intellectual Disabilities (PCPID) (L)	X	X	X	X	X	X
93.615 Maternal, Infant, and Early Childhood Home Visiting Research Programs (B)			X	X	X	X
93.618 Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (A,B)				X		
93.621 Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents (B)	X	X	X		X	X
93.622 Coordinating Center for Interprofessional Education and Collaborative Practice (B)			X		X	X
93.623 Basic Center Grant (B)		X	X	X	X	X
93.624 ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance (B)					X	
93.626 Affordable Care Act State Health Insurance Assistance Program (SHIP) and Aging and Disability Resource Center (ADRC) Options Counseling for Medicare-Medicaid Individuals in States with Approved Financial Alignment Models (B)				X	X	
93.627 Affordable Care Act: Testing Experience and Functional Assessment Tools (B)				X		
93.628 Affordable Care Act Implementation Support for State Demonstrations to Integrate Care for Medicare-Medicaid Enrollees (B)				X		
93.630 Developmental Disabilities Basic Support and Advocacy Grants (A)				X		
93.631 Developmental Disabilities Projects of National Significance (B)		X	X	X		
93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service (B)			X			
93.634 ACA Support for Demonstration Ombudsman Programs Serving Beneficiaries of State Demonstrations to Integrate Care for Medicare-Medicaid (B)						
93.636 ACA - Reinvestment of Civil Money Penalties to Benefit Nursing Home Residents (B)			X			
93.638 ACA-Transforming Clinical Practice Initiative: Practice Transformation Networks (PTNs) (B)		X	X			
93.639 ACA-Transforming Clinical Practice Initiative: Support and Alignment Networks (SANs) (B)			X			
93.640 Basic Health Program (Affordable Care Act) (G)				X		
93.643 Children's Justice Grants to States (A)				X	X	
93.644 Adult Medicaid Quality: Improving Maternal and Infant Health Outcomes in Medicaid and CHIP (B)					X	
93.645 Stephanie Tubbs Jones Child Welfare Services Program (A)				X	X	X
93.646 ACA Testing a Model of Data Aggregation under the Comprehensive Primary Care initiative (B)	X	X		X		
93.647 Social Services Research and Demonstration (B)		X	X	X	X	X

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.648 Child Welfare Research Training or Demonstration (B)		X	X	X		X
93.649 Nutrition and Physical Activity Programs (B)	X	X	X	X	X	X
93.650 Accountable Health Communities (A)			X	X	X	X
93.651 Transforming Clinical Practice Initiative (TCPI) Support and Alignment Network (SAN) 2.0 (B)			X			
93.652 Adoption Opportunities (B)		X	X	X		
93.658 Foster Care Title IV-E (A,B)				X	X	X
93.659 Adoption Assistance (A)				X	X	X
93.667 Social Services Block Grant (A)				X	X	
93.669 Child Abuse and Neglect State Grants (A)				X	X	
93.670 Child Abuse and Neglect Discretionary Activities (B)		X	X	X		
93.671 Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services (A)				X	X	X
93.674 Chafee Foster Care Independence Program (A)				X		X
93.676 Unaccompanied Alien Children Program (B)		X	X	X		
93.701 Trans-NIH Recovery Act Research Support (B)					X	
93.702 National Center for Research Resources, Recovery Act Construction Support (B)			X			
93.704 Trans-NIH Recovery Act Loan Repayment Support (C)						
93.714 ARRA Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program (A)				X	X	X
93.718 Health Information Technology Regional Extension Centers Program (B)			X			
93.719 Advance Interoperable Health Information Technology Services to Support Health Information Exchange (B)				X		
93.721 ARRA - Health Information Technology Professionals in Health Care (B)			X			
93.727 Beacon Communities - Community Health Peer Learning Program (B)		X	X	X	X	X
93.728 ARRA - Strategic Health IT Advanced Research Projects (SHARP) (B)			X		X	
93.732 Mental and Behavioral Health Education and Training Grants (B)			X	X	X	X
93.733 Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by the Prevention and Public Health Fund (PPHF) (B)		X		X	X	
93.734 Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs financed by Prevention and Public Health Funds (PPHF) (B)			X	X	X	
93.735 State Public Health Approaches for Ensuring Quitline Capacity Funded in part by Prevention and Public Health Funds (PPHF) (B)				X	X	
93.738 PPHF: Racial and Ethnic Approaches to Community Health Program financed solely by Public Prevention and Health Funds (B)			X	X	X	X
93.739 PPHF: Chronic Disease Innovation Grants - financed solely by Public Prevention Health Funds (B)			X			X
93.742 PPHF: Early Childcare and Education Obesity Prevention Program - Obesity Prevention in Young Children - financed solely by Public Prevention and Health Funds (B)			X			
93.743 Racial and Ethnic Approaches to Community Health: Obesity and Hypertension Demonstration Projects financed solely by Prevention and Public Health Funds (B)			X	X	X	X
93.744 PPHF: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by Prevention and Public Health Funds (B)				X	X	
93.745 PPHF: Health Care Surveillance/Health Statistics Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by Prevention and						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
Public Health Fund (B)						
93.747 Elder Abuse Prevention Interventions Program (B)			X	X		X
93.748 PPHF Cooperative Agreements for Prescription Drug Monitoring Program Electronic Health Record (EHR) Integration and Interoperability Expansion (B)				X	X	
93.749 PPHF Public Health Laboratory Infrastructure financed solely by Prevention and Public Health Fund (B)			X			
93.750 PPHF Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Exchanges (B)	X		X			X
93.751 PPHF: Consortium for Tobacco Use Cessation Technical Assistance financed by solely by Prevention and Public Health Funds (B)	X	X	X	X	X	X
93.752 Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations financed in part by Prevention and Public Health Funds (B)				X	X	X
93.753 Child Lead Poisoning Prevention Surveillance financed in part by Prevention and Public Health (PPHF) Program (B)		X	X	X	X	X
93.755 Surveillance for Diseases Among Immigrants and Refugees financed in part by Prevention and Public Health Funds (PPHF) (B)		X	X	X	X	X
93.756 Nutrition and Physical Activity Program funded solely by Prevention and Public Health Funds (PPHF) (B)	X	X	X	X	X	X
93.757 State and Local Public Health Actions to Prevent Obesity, Diabetes, Heart Disease and Stroke (PPHF) (B)				X		
93.758 Preventive Health and Health Services Block Grant funded solely with Prevention and Public Health Funds (PPHF) (A)				X	X	
93.759 Consortium for Tobacco Use Cessation Technical Assistance financed solely by Prevention and Public Health Funds (B)			X	X	X	
93.761 Evidence-Based Falls Prevention Programs Financed Solely by Prevention and Public Health Funds (PPHF) (B)			X	X	X	X
93.762 A Comprehensive Approach to Good Health and Wellness in Indian County financed solely by Prevention and Public Health (B)						
93.763 Alzheimers Disease Initiative: Specialized Supportive Services Project (ADI-SSS) thru Prevention and Public Health Funds (PPHF) (B)		X	X		X	X
93.764 PPHF- Cooperative Agreements to Implement the National Strategy for Suicide Prevention (Short Title: National Strategy Grants) (B)				X	X	
93.765 PPHF-CDC Partnership: Strengthening Public Health Laboratories (A)		X	X		X	X
93.767 Children's Health Insurance Program (A)		X		X	X	
93.770 Medicare Prescription Drug Coverage (C)						
93.772 Tribal Public Health Capacity Building and Quality Improvement Umbrella Cooperative Agreement (B)						X
93.773 Medicare Hospital Insurance (C)	X					
93.774 Medicare Supplementary Medical Insurance (C)	X					
93.775 State Medicaid Fraud Control Units (A)				X		
93.777 State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare (A)				X		
93.778 Medical Assistance Program (A)		X		X		
93.779 Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (B)			X	X		
93.780 Grants to States for Operation of Qualified High-Risk Pools (A)				X		
93.784 Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens (C)			X	X		
93.788 Opioid STR (A)					X	
93.791 Money Follows the Person Rebalancing Demonstration (B)				X		
93.796 State Survey Certification of Health Care Providers and						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.799 Suppliers (Title XIX) Medicaid (A) CARA Act Comprehensive Addiction and Recovery Act of 2016 (B)			X			
93.800 Organized Approaches to Increase Colorectal Cancer Screening (B)			X	X	X	X
93.801 Ebola Healthcare Preparedness and Response for Select Cities with Enhanced Airport Entrance Screenings from Affected Countries in West Africa (B)				X		
93.808 Increasing the Implementation of Evidence-Based Cancer Survivorship Interventions to Increase Quality and Duration of Life Among Cancer Patients (B)				X	X	
93.809 National Organizations for Chronic Disease Prevention and Health Promotion (B)			X			
93.810 Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (B)				X		
93.813 Heart Disease & Stroke Prevention Program and Diabetes Prevention State and Local Public Health Actions to Prevent Obesity, Diabetes, and Heart Disease and Stroke (B)				X		
93.814 Partner support for heart disease and stroke prevention (B)	X	X	X	X	X	X
93.815 Domestic Ebola Supplement to the Epidemiology and Laboratory Capacity for Infectious Diseases (ELC). (B)				X	X	
93.816 Preventing Heart Attacks and Strokes in High Need Areas (B)				X		
93.817 Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities (A)				X		
93.818 Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa (B)						
93.822 Health Careers Opportunity Program (B)		X	X	X		
93.823 Ebola Support: Transmission and Prevention Control, Public Health Preparedness, Vaccine Development (B)		X	X	X	X	X
93.824 Area Health Education Centers Infrastructure Development Awards (B)		X	X	X		
93.825 National Ebola Training and Education Center (NETEC) (B)			X			
93.826 Closing the Gap Between Standards Development and Implementation (B)						
93.827 Educating State-level Stakeholders on Strategies to Address Winnable Battles in Public Health (B)	X	X	X	X	X	X
93.829 Section 223 Demonstration Programs to Improve Community Mental Health Services (B)						
93.830 Interoperability Roadmap: Public/Private Partnership (B)			X			
93.831 Standards Development Organization Collaboration to Enhance Standards Alignment, Testing, and Measurement (B)		X	X	X		
93.832 Promoting the Cancer Surveillance Workforce, Education and Data Use (B)			X			
93.833 Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States (B)			X	X	X	X
93.835 Planning Grant for Healthcare and Public Health Sector Cybersecurity Information Sharing (B)			X			
93.837 Cardiovascular Diseases Research (B)	X	X	X			
93.838 Lung Diseases Research (B)	X	X	X			
93.839 Blood Diseases and Resources Research (B)	X	X	X			
93.840 Translation and Implementation Science Research for Heart, Lung, Blood Diseases, and Sleep Disorders (B)	X	X	X			
93.843 ACL Assistive Technology State Grants for Protection and Advocacy (A)				X		
93.844 ACL Centers for Independent Living, Recovery Act (B)						
93.845 Promoting Population Health through Increased Capacity in Alcohol Epidemiology (B)				X		
				X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.846 Arthritis, Musculoskeletal and Skin Diseases Research (B)	X	X	X	X		
93.847 Diabetes, Digestive, and Kidney Diseases Extramural Research (B)	X		X	X		X
93.850 Improving Epilepsy Programs, Services, and Outcomes through National Partnerships (B)	X	X	X	X	X	X
93.851 Tracking Electronic Health Record Adoption and Capturing Related Insights in U.S. Hospitals (B)			X			
93.852 National Syndromic Surveillance Program Community of Practice (NSSP CoP) (B)	X	X	X	X	X	X
93.853 Extramural Research Programs in the Neurosciences and Neurological Disorders (B)	X	X	X	X	X	
93.855 Allergy and Infectious Diseases Research (B)	X	X	X	X		
93.856 Microbiology and Infectious Diseases Research (B)	X	X	X	X		
93.857 Measuring Interoperability Progress through Individuals Access and Use of the Electronic Health Data (B)			X			
93.858 National Collaboration to Support Health, Wellness and Academic Success of School-Age Children (B)	X	X	X	X	X	X
93.859 Biomedical Research and Research Training (B)	X	X	X	X		
93.860 Emerging Infections Sentinel Networks (B)	X	X	X	X	X	X
93.861 Strengthening the Public Health System in US-affiliated Pacific Islands (PPHF) (B)						
93.865 Child Health and Human Development Extramural Research (B)	X	X	X	X		
93.866 Aging Research (B)	X	X	X	X	X	X
93.867 Vision Research (B)	X	X	X	X	X	X
93.870 Maternal, Infant and Early Childhood Home Visiting Grant Program (A)				X	X	
93.872 Tribal Maternal, Infant, and Early Childhood Home Visiting (B)		X	X			X
93.873 State Grants for Protection and Advocacy Services (A)				X		X
93.874 Strengthening the Public Health System in US-affiliated Pacific Islands (Non-PPHF) (B)						
93.875 Assistance for Oral Disease Prevention and Control (B)				X	X	
93.876 Antimicrobial Resistance Surveillance in Retail Food Specimens (B)		X	X	X	X	X
93.877 Autism Collaboration, Accountability, Research, Education, and Support (B)	X	X	X	X	X	X
93.878 Enhance the Ability of Emergency Medical Services (EMS) to transport patients with highly infectious diseases (HID) (B)			X			
93.879 Medical Library Assistance (B)		X	X	X	X	X
93.881 The Health Insurance Enforcement and Consumer Protections Grant Program (B)				X		
93.882 High Impact Pilot Awards (B)		X	X	X	X	X
93.883 Standards Exploration Award (B)		X	X	X	X	X
93.884 Grants for Primary Care Training and Enhancement (B)		X	X	X	X	X
93.889 National Bioterrorism Hospital Preparedness Program (A)		X		X	X	
93.893 Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)		X	X			
93.898 Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations (B)				X	X	X
93.908 Nurse Corps Loan Repayment Program (C)	X					X
93.910 Family and Community Violence Prevention Program (B)		X	X	X		X
93.912 Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (B)		X	X	X	X	X
93.913 Grants to States for Operation of State Offices of Rural Health (B)			X	X		X
93.914 HIV Emergency Relief Project Grants (B)		X	X	X	X	X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.917 HIV Care Formula Grants (A)				X	X	
93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)			X	X		X
93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)				X	X	X
93.923 Disadvantaged Health Professions Faculty Loan Repayment Program (FLRP) (C)			X	X	X	
93.924 Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants (A,B)			X	X	X	X
93.925 Scholarships for Health Professions Students from Disadvantaged Backgrounds (B)		X	X	X	X	X
93.926 Healthy Start Initiative (B)		X	X	X	X	X
93.928 Special Projects of National Significance (B)		X	X	X	X	X
93.932 Native Hawaiian Health Care Systems (B)			X			
93.933 Demonstration Projects for Indian Health (B)		X	X			X
93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (C)				X	X	
93.938 Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (B)			X	X	X	
93.939 HIV Prevention Activities Non-Governmental Organization Based (B)			X			
93.940 HIV Prevention Activities Health Department Based (B)		X		X		
93.941 HIV Demonstration, Research, Public and Professional Education Projects (B)		X	X	X		
93.942 Research, Prevention, and Education Programs on Lyme Disease in the United States (B)		X	X	X		X
93.943 Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (B)		X	X	X		
93.944 Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)		X		X	X	
93.945 Assistance Programs for Chronic Disease Prevention and Control (B)			X	X	X	
93.946 Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)		X	X	X	X	
93.947 Tuberculosis Demonstration, Research, Public and Professional Education (B)		X	X	X		
93.958 Block Grants for Community Mental Health Services (A)				X	X	
93.959 Block Grants for Prevention and Treatment of Substance Abuse (A)				X	X	X
93.961 One-Time Funding in Support of the Vermont All-Payer ACO Model (B)						
93.964 Prevention and Public Health Fund (PPHF) Public Health Traineeships (B)			X	X		X
93.965 Coal Miners Respiratory Impairment Treatment Clinics and Services (B)		X	X	X		X
93.966 The Zika Health Care Services Program (A)				X	X	X
93.967 CDC's Collaboration with Academia to Strengthen Public Health (B)	X	X	X	X	X	X
93.968 Funding in Support of the Pennsylvania Rural Health Model (B)				X		
93.969 PPHF Geriatric Education Centers (B)			X		X	
93.970 Health Professions Recruitment Program for Indians (B)		X	X			X
93.971 Health Professions Preparatory Scholarship Program for Indians (M)	X					
93.972 Health Professions Scholarship Program (M)	X					
93.974 Family Planning Service Delivery Improvement Research						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
Grants (B)						
93.976 Primary Care Medicine and Dentistry Clinician Educator Career Development Awards Program (B)			X			X
93.977 Sexually Transmitted Diseases (STD) Prevention and Control Grants (B)		X		X	X	
93.978 Sexually Transmitted Diseases (STD) Provider Education Grants (B)		X	X	X		
93.980 Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease (B)	X	X	X	X	X	X
93.981 Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (B)				X	X	X
93.982 Mental Health Disaster Assistance and Emergency Mental Health (B)		X	X	X		
93.983 Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (B)			X			
93.986 Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program (A)	X	X	X	X	X	X
93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems (B)				X	X	
93.989 International Research and Research Training (B,M)		X	X	X	X	X
93.990 National Health Promotion (B)			X			
93.991 Preventive Health and Health Services Block Grant (A)				X	X	X
93.994 Maternal and Child Health Services Block Grant to the States (A)				X		
93.997 Assisted Outpatient Treatment (B)				X		
93.998 Autism and Other Developmental Disabilities, Surveillance, Research, and Prevention (B)		X	X	X	X	X
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE						
94.002 Retired and Senior Volunteer Program (B)		X	X	X		X
94.003 State Commissions (B)				X	X	
94.006 AmeriCorps (B)		X	X	X	X	X
94.007 Program Development and Innovation Grants (B)		X	X	X	X	X
94.008 Commission Investment Fund (B)				X	X	
94.009 Training and Technical Assistance (L,M)				X		
94.011 Foster Grandparent Program (B)		X	X	X		X
94.012 September 11th National Day of Service and Remembrance Grants (B)		X	X	X	X	X
94.013 Volunteers in Service to America (J)		X	X	X	X	X
94.014 Martin Luther King Jr Day of Service Grants (B)		X	X	X	X	X
94.016 Senior Companion Program (B)		X	X	X		X
94.017 Senior Demonstration Program (B)		X	X	X	X	
94.019 Social Innovation Fund (B)		X	X	X	X	X
94.020 CNCS Disaster Response Cooperative Agreement (B)		X	X	X	X	X
94.021 Volunteer Generation Fund (B)				X	X	
94.023 AmeriCorps VISTA Training & Logistics Support (L,M)		X	X	X	X	X
94.024 Social Innovation Fund Pay for Success (B)		X	X	X	X	X
94.025 Operation AmeriCorps (B)		X	X	X	X	X
94.026 National Service and Civic Engagement Research Competition (B)			X		X	
EXECUTIVE OFFICE OF THE PRESIDENT						
95.001 High Intensity Drug Trafficking Areas Program (B)						
95.004 Anti-Doping Activities (B)						
95.005 Drug Court Training and Technical Assistance (B)			X			
95.006 Model State Drug Laws Initiative (B)			X	X		

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
95.007 Research and Data Analysis (B)			X			
95.008 Drug-Free Communities Support Program - National Youth Leadership Initiative (B)			X			
SOCIAL SECURITY ADMINISTRATION						
96.001 Social Security Disability Insurance (C,D)	X					
96.002 Social Security Retirement Insurance (D)	X					
96.004 Social Security Survivors Insurance (C,D)	X					
96.006 Supplemental Security Income (C,D)	X					
96.007 Social Security Research and Demonstration (B)			X	X	X	X
96.008 Social Security - Work Incentives Planning and Assistance Program (B)		X	X	X		
96.009 Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (B)		X	X	X		
96.020 Special Benefits for Certain World War II Veterans (D)	X					
DEPARTMENT OF HOMELAND SECURITY						
97.005 State and Local Homeland Security National Training Program (B)		X	X	X		X
97.007 Homeland Security Preparedness Technical Assistance Program (B)						
97.008 Non-Profit Security Program (B)		X				
97.009 Cuban/Haitian Entrant Program (B)	X		X			
97.010 Citizenship Education and Training (B)			X			
97.012 Boating Safety Financial Assistance (A,B)						
97.018 National Fire Academy Training Assistance (C)			X			
97.022 Flood Insurance (G)		X				
97.023 Community Assistance Program State Support Services Element (CAP-SSSE) (B)						
97.024 Emergency Food and Shelter National Board Program (B)			X			
97.025 National Urban Search and Rescue (US&R) Response System (B)						
97.026 Emergency Management Institute Training Assistance (C)		X			X	
97.027 Emergency Management Institute (EMI) Independent Study Program (M)		X				
97.028 Emergency Management Institute (EMI) Resident Educational Program (M)		X		X		
97.029 Flood Mitigation Assistance (B)				X	X	X
97.030 Community Disaster Loans (E)		X				
97.032 Crisis Counseling (B)				X	X	X
97.033 Disaster Legal Services (C)						
97.034 Disaster Unemployment Assistance (B)				X	X	X
97.036 Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)		X	X	X		
97.039 Hazard Mitigation Grant (B)				X	X	X
97.040 Chemical Stockpile Emergency Preparedness Program (B)		X				
97.041 National Dam Safety Program (B)						
97.042 Emergency Management Performance Grants (A)				X	X	
97.043 State Fire Training Systems Grants (B)						
97.044 Assistance to Firefighters Grant (B)		X			X	
97.045 Cooperating Technical Partners (B)		X	X	X	X	X
97.046 Fire Management Assistance Grant (B,J)				X	X	X
97.047 Pre-Disaster Mitigation (B)				X	X	X
97.048 Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B,C)	X					
97.050 Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)	X					
97.052 Emergency Operations Center (B)						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
97.055 Interoperable Emergency Communications (B)		X				
97.056 Port Security Grant Program (B)			X			
97.057 Intercity Bus Security Grants (B)			X			
97.061 Centers for Homeland Security (B)			X		X	
97.062 Scientific Leadership Awards (B,C)						
97.067 Homeland Security Grant Program (A)		X		X	X	
97.070 Map Modernization Management Support (B)		X		X	X	
97.075 Rail and Transit Security Grant Program (B)						
97.076 CyberTipline (B)			X			
97.077 Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection (B)		X	X	X		
97.078 Buffer Zone Protection Program (BZPP) (B)		X		X	X	X
97.082 Earthquake Consortium (B)						
97.083 Staffing for Adequate Fire and Emergency Response (SAFER) (B)		X				
97.088 Disaster Assistance Projects (B)	X	X	X	X		X
97.089 Driver's License Security Grant Program (B)				X	X	
97.091 Homeland Security Biowatch Program (B,I)		X		X		
97.092 Repetitive Flood Claims (B)				X	X	X
97.104 Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program (B)						
97.106 Securing the Cities Program (B,J,L)		X				
97.107 National Incident Management System (NIMS) (B)		X	X	X	X	X
97.108 Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (B)	X	X	X	X		X
97.110 Severe Repetitive Loss Program (B)				X	X	X
97.113 Rail and Transit Security Grant Program (ARRA) (B)						
97.115 Assistance to Firefighters Grant (ARRA) (B)		X				
97.116 Port Security Grant Program (ARRA) (B)						
97.120 Rural Emergency Medical Communications Demonstration Project (B)			X			
97.122 Bio-Preparedness Collaboratory (B)						
97.123 Multi-State Information Sharing and Analysis Center (B)						
97.127 Cybersecurity Education and Training Assistance Program (CETAP) (B)			X			
97.128 National Cyber Security Awareness (B)			X			
97.130 National Nuclear Forensics Expertise Development Program (B)			X			
97.131 Emergency Management Baseline Assessments Grant (EMBAG) (C)			X			
97.132 Financial Assistance for Countering Violent Extremism (B)		X	X	X		X
97.133 Preparing for Emerging Threats and Hazards (B)		X		X	X	X
97.134 Presidential Residence Protection Security Grant (B)		X		X		
AGENCY FOR INTERNATIONAL DEVELOPMENT						
98.001 USAID Foreign Assistance for Programs Overseas (B)	X	X	X	X	X	X
98.002 Cooperative Development Program (CDP) (B)						
98.003 Ocean Freight Reimbursement Program (OFR) (B)						
98.004 Non-Governmental Organization Strengthening (NGO) (B)			X			
98.005 Institutional Capacity Building (ICB) (B)			X			
98.006 Foreign Assistance to American Schools and Hospitals Abroad (ASHA) (B)			X			
98.007 Food for Peace Development Assistance Program (DAP) (B,H)			X			
98.008 Food for Peace Emergency Program (EP) (B,H)			X			
98.009 John Ogonowski Farmer-to-Farmer Program (B)		X	X	X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
98.010 Denton Program (J)			X			
98.011 Global Development Alliance (B)	X	X	X	X		
98.012 USAID Development Partnerships for University Cooperation and Development (B)				X		

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DEADLINES INDEX

This index provides a chronological list of program deadlines as submitted by the administering agency.

DECEMBER 31, 2016

15.668 Coastal Impact Assistance (A)

JANUARY 01, 2017

96.002 Social Security Retirement Insurance (D)

96.004 Social Security Survivors Insurance (C,D)

JANUARY 02, 2017

10.171 Organic Certification Cost Share Programs (C)

JANUARY 06, 2017

15.671 Yukon River Salmon Research and Management Assistance (B)

JANUARY 17, 2017

15.620 African Elephant Conservation Fund (B)

JANUARY 19, 2017

15.805 Assistance to State Water Resources Research Institutes (A,B)

JANUARY 23, 2017

15.651 Wildlife Without Borders-Africa (B)

JANUARY 25, 2017

10.541 Child Nutrition-Technology Innovation Grant (B)

JANUARY 31, 2017

15.814 National Geological and Geophysical Data Preservation (B)

20.807 U.S. Merchant Marine Academy (M)

FEBRUARY 03, 2017

15.634 State Wildlife Grants (A,B)

FEBRUARY 05, 2017

15.679 Combating Wildlife Trafficking (B)

FEBRUARY 07, 2017

93.788 Opioid STR (A)

FEBRUARY 09, 2017

90.204 States' Economic Development Assistance Program (B)

FEBRUARY 20, 2017

15.636 Alaska Subsistence Management (B)

FEBRUARY 24, 2017

15.623 North American Wetlands Conservation Fund (B)

FEBRUARY 28, 2017

93.971 Health Professions Preparatory Scholarship Program for Indians (M)

93.972 Health Professions Scholarship Program (M)

MARCH 01, 2017

20.807 U.S. Merchant Marine Academy (M)

34.002 Labor Management Cooperation (B)

MARCH 28, 2017

93.123 Health Professions Pre-graduate Scholarship Program for Indians (M)

MARCH 31, 2017

93.967 CDC's Collaboration with Academia to Strengthen Public Health (B)

APRIL 01, 2017

15.427 Federal Oil and Gas Royalty Management State and Tribal Coordination (B)

APRIL 03, 2017

15.645 Marine Turtle Conservation Fund (B)

APRIL 05, 2017

93.396 Cancer Biology Research (B)

APRIL 18, 2017

15.981 Water Use and Data Research (B)

MAY 01, 2017

15.639 Tribal Wildlife Grants (B)

21.006 Tax Counseling for the Elderly (B)

MAY 10, 2017

84.027 Special Education Grants to States (A)

84.173 Special Education Preschool Grants (A)

MAY 15, 2017

93.603 Adoption and Legal Guardianship Incentive Payments (A)

MAY 20, 2017

20.321 Railroad Safety Technology Grants (B)

MAY 30, 2017

15.647 Migratory Bird Conservation (B)

MAY 31, 2017

21.006 Tax Counseling for the Elderly (B)

34.002 Labor Management Cooperation (B)

90.204 States' Economic Development Assistance Program (B)

JUNE 01, 2017

15.661 Lower Snake River Compensation Plan (B)

15.815 National Land Remote Sensing Education Outreach and Research (B)

45.129 Promotion of the Humanities Federal/State Partnership (A)

93.456 CDC Undergraduate Public Health Scholars Program (CUPS): A Public Health Experience to Expose Undergraduates Interested in Minority Health to Public Health and the Public Health Professions (B)

JUNE 02, 2017

97.008 Non-Profit Security Program (B)

97.042 Emergency Management Performance Grants (A)

97.056 Port Security Grant Program (B)

97.067 Homeland Security Grant Program (A)

97.075 Rail and Transit Security Grant Program (B)

JUNE 12, 2017

20.701 University Transportation Centers Program (B)

JUNE 15, 2017

95.001 High Intensity Drug Trafficking Areas Program (B)

JUNE 20, 2017

21.008 Low Income Taxpayer Clinics (B)

JUNE 22, 2017

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97.008 Non-Profit Security Program (B)
97.042 Emergency Management Performance Grants (A)
97.056 Port Security Grant Program (B)
97.067 Homeland Security Grant Program (A)
97.075 Rail and Transit Security Grant Program (B)

JUNE 29, 2017

20.934 Nationally Significant Freight and Highway Projects (B)

JUNE 30, 2017

15.614 Coastal Wetlands Planning, Protection and Restoration (B)
15.623 North American Wetlands Conservation Fund (B)
93.466 Building Capacity of the Public Health System to Address Ebola Through National Nonprofit Organizations (B)
93.599 Chafee Education and Training Vouchers Program (ETV) (A)
93.645 Stephanie Tubbs Jones Child Welfare Services Program (A)
93.674 Chafee Foster Care Independence Program (A)

JULY 01, 2017

15.605 Sport Fish Restoration (A)
15.611 Wildlife Restoration and Basic Hunter Education (A)
15.626 Enhanced Hunter Education and Safety (A)
20.600 State and Community Highway Safety (A)

JULY 14, 2017

15.623 North American Wetlands Conservation Fund (B)

JULY 15, 2017

93.994 Maternal and Child Health Services Block Grant to the States (A)

JULY 19, 2017

12.888 OPA Research Fellowship Program (B)

JULY 20, 2017

93.980 Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease (B)

JULY 24, 2017

14.259 Community Compass Technical Assistance and Capacity Building (B)
93.570 Community Services Block Grant Discretionary Awards (B)

JULY 29, 2017

45.130 Promotion of the Humanities Challenge Grants (B)

JULY 31, 2017

15.657 Endangered Species Conservation Recovery Implementation Funds (B)
15.660 Endangered Species - Candidate Conservation Action Funds (B)
90.203 Delta Creative Place-Making Pilot Initiative (B)
93.355 Certified Health IT Surveillance Capacity and Infrastructure Improvement Cooperative Agreement Program (B)

AUGUST 01, 2017

10.112 Price Loss Coverage (C)

AUGUST 14, 2017

93.627 Affordable Care Act: Testing Experience and Functional Assessment Tools (B)

AUGUST 15, 2017

10.557 WIC Special Supplemental Nutrition Program for Women, Infants, and Children (A,B)
20.700 Pipeline Safety Program State Base Grant (A)

AUGUST 16, 2017

12.888 OPA Research Fellowship Program (B)
14.239 Home Investment Partnerships Program (A)
20.218 Motor Carrier Safety Assistance (A)
97.134 Presidential Residence Protection Security Grant (B)

AUGUST 18, 2017

20.701 University Transportation Centers Program (B)

AUGUST 25, 2017

15.623 North American Wetlands Conservation Fund (B)

AUGUST 31, 2017

93.636 ACA - Reinvestment of Civil Money Penalties to Benefit Nursing Home Residents (B)

SEPTEMBER 01, 2017

15.639 Tribal Wildlife Grants (B)
90.203 Delta Creative Place-Making Pilot Initiative (B)
93.209 Contraception and Infertility Research Loan Repayment Program (C)
93.568 Low-Income Home Energy Assistance (A)

SEPTEMBER 06, 2017

20.725 PHMSA Pipeline Safety Underground Natural Gas Storage Grant (A)

SEPTEMBER 13, 2017

20.721 PHMSA Pipeline Safety Program One Call Grant (B)

SEPTEMBER 27, 2017

45.160 Promotion of the Humanities Fellowships and Stipends (B)

SEPTEMBER 30, 2017

20.106 Airport Improvement Program (B,K)
20.218 Motor Carrier Safety Assistance (A)
20.700 Pipeline Safety Program State Base Grant (A)

OCTOBER 01, 2017

10.890 Rural Development Cooperative Agreement Program (B)
43.001 Science (B,C,I,M)
43.002 Aeronautics (B,L)
43.003 Exploration (B,C,J,J,L,M)
43.007 Space Operations (B)
43.008 Education (B)
43.009 Cross Agency Support (B)
84.007 Federal Supplemental Educational Opportunity Grants (C)
84.033 Federal Work-Study Program (C)

OCTOBER 05, 2017

93.172 Human Genome Research (B)

OCTOBER 17, 2017

45.162 Promotion of the Humanities Teaching and Learning Resources and Curriculum Development (B)

OCTOBER 18, 2017

20.721 PHMSA Pipeline Safety Program One Call Grant (B)

OCTOBER 19, 2017

15.623 North American Wetlands Conservation Fund (B)

OCTOBER 22, 2017

93.829 Section 223 Demonstration Programs to Improve Community Mental

DEADLINES INDEX

Health Services (B)

OCTOBER 25, 2017

20.725 PHMSA Pipeline Safety Underground Natural Gas Storage Grant (A)

OCTOBER 27, 2017

93.413 The State Flexibility to Stabilize the Market Grant Program (B)

OCTOBER 31, 2017

10.171 Organic Certification Cost Share Programs (C)

NOVEMBER 01, 2017

15.815 National Land Remote Sensing Education Outreach and Research (B)

NOVEMBER 02, 2017

20.934 Nationally Significant Freight and Highway Projects (B)

NOVEMBER 07, 2017

15.635 Neotropical Migratory Bird Conservation (B)

NOVEMBER 15, 2017

10.572 WIC Farmers' Market Nutrition Program (FMNP) (A)

10.576 Senior Farmers Market Nutrition Program (A)

15.810 National Cooperative Geologic Mapping (B)

93.140 Intramural Research Training Award (C)

93.209 Contraception and Infertility Research Loan Repayment Program (C)

93.280 National Institutes of Health Loan Repayment Program for Clinical Researchers (C)

93.285 National Institutes of Health Pediatric Research Loan Repayment Program (C)

NOVEMBER 29, 2017

45.130 Promotion of the Humanities Challenge Grants (B)

DECEMBER 06, 2017

45.161 Promotion of the Humanities Research (B)

DECEMBER 07, 2017

93.413 The State Flexibility to Stabilize the Market Grant Program (B)

DECEMBER 31, 2017

96.002 Social Security Retirement Insurance (D)

96.004 Social Security Survivors Insurance (C,D)

JANUARY 10, 2018

45.164 Promotion of the Humanities Public Programs (B)

JANUARY 15, 2018

12.440 Dissertation Year Fellowship (B)

JANUARY 17, 2018

15.980 National Ground-Water Monitoring Network (B)

JANUARY 31, 2018

10.051 Commodity Loans and Loan Deficiency Payments (D,E)

MARCH 01, 2018

93.140 Intramural Research Training Award (C)

MARCH 15, 2018

10.615 Pima Agriculture Cotton Trust Fund (D)

MARCH 31, 2018

17.791 Department of Labor Chief Evaluation Office (B)

APRIL 04, 2018

93.772 Tribal Public Health Capacity Building and Quality Improvement Umbrella Cooperative Agreement (B)

APRIL 11, 2018

45.160 Promotion of the Humanities Fellowships and Stipends (B)

45.160 Promotion of the Humanities Fellowships and Stipends (B)

45.161 Promotion of the Humanities Research (B)

APRIL 15, 2018

10.615 Pima Agriculture Cotton Trust Fund (D)

APRIL 27, 2018

93.570 Community Services Block Grant Discretionary Awards (B)

JUNE 06, 2018

45.164 Promotion of the Humanities Public Programs (B)

93.772 Tribal Public Health Capacity Building and Quality Improvement Umbrella Cooperative Agreement (B)

JUNE 26, 2018

45.162 Promotion of the Humanities Teaching and Learning Resources and Curriculum Development (B)

JUNE 30, 2018

93.057 National Resource Center for HIV Prevention Among Adolescents (B)

JULY 29, 2018

10.890 Rural Development Cooperative Agreement Program (B)

JULY 30, 2018

93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (B)

JULY 31, 2018

93.983 Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (B)

AUGUST 05, 2018

93.396 Cancer Biology Research (B)

AUGUST 08, 2018

45.161 Promotion of the Humanities Research (B)

AUGUST 31, 2018

15.634 State Wildlife Grants (A,B)

93.098 Tribal Public Health Capacity Building and Quality Improvement (B)

93.763 Alzheimers Disease Initiative: Specialized Supportive Services Project (ADI-SSS) thru Prevention and Public Health Funds (PPHF) (B)

DECEMBER 31, 2018

10.053 Dairy Indemnity Program (D)

93.624 ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance (B)

JANUARY 03, 2019

10.616 Agriculture Wool Apparel Manufacturers Trust Fund (D)

10.616 Agriculture Wool Apparel Manufacturers Trust Fund (D)

MARCH 01, 2019

10.616 Agriculture Wool Apparel Manufacturers Trust Fund (D)

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10.616 Agriculture Wool Apparel Manufacturers Trust Fund (D)

SEPTEMBER 30, 2019

17.276 Health Care Tax Credit (HCTC) National Emergency Grants (NEGs)
(B)

NOVEMBER 25, 2019

64.032 Montgomery GI Bill Selected Reserve; Reserve Educational
Assistance Program (D)

DECEMBER 31, 2019

20.108 Aviation Research Grants (B,I)

JUNE 30, 2020

93.322 CSELS Partnership: Strengthening Public Health Laboratories (B)

93.326 Protecting and Improving Health Globally: Strengthening Public
Health through Surveillance, Epidemiologic Research, Disease
Detection and Prevention (B)

93.765 PPHF-CDC Partnership: Strengthening Public Health Laboratories (A)

OCTOBER 23, 2020

93.621 Affordable Care Act Initiative to Reduce Avoidable Hospitalizations
among Nursing Facility Residents (B)

JULY 19, 2022

93.980 Increasing Public Awareness and Provider Education about Primary
Immunodeficiency Disease (B)

FUNCTIONAL INDEX

This index lists CFDA programs grouped by functional area as designated by the administering agency. The letter(s) in parentheses following the program title indicates the type of assistance available through that program. The following letter codes are used:

- A: Formula Grants
- B: Project Grants
- C: Direct Payments for Specified Use
- D: Direct Payments with Unrestricted Use
- E: Direct Loans
- F: Guaranteed/Insured Loans
- G: Insurance
- H: Sale, Exchange, or Donation of Property and Goods
- I: Use of Property, Facilities, and Equipment
- J: Provision of Specialized Services
- K: Advisory Services and Counseling
- L: Dissemination of Technical Information
- M: Training
- N: Investigation of Complaints
- O: Federal Employment

AGRICULTURAL

Resource Conservation and Development

- 10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)
- 10.028 Wildlife Services (B)
- 10.054 Emergency Conservation Program (C)
- 10.069 Conservation Reserve Program (C)
- 10.072 Wetlands Reserve Program (B)
- 10.215 Sustainable Agriculture Research and Education (B)
- 10.225 Community Food Projects (B)
- 10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)
- 10.334 Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program (B)
- 10.449 Boll Weevil Eradication Loan Program (E)
- 10.511 Smith-Lever Funding (Various Programs) (A,B)
- 10.515 Renewable Resources Extension Act and National Focus Fund Projects (A,B)
- 10.516 Rural Health and Safety Education Competitive Grants Program (B)
- 10.610 Export Guarantee Program (F)
- 10.614 Scientific Cooperation Exchange Program with China (B,C)
- 10.682 National Forest Foundation (C)
- 10.683 National Fish and Wildlife Foundation (C)
- 10.689 Community Forest and Open Space Conservation Program (CFP) (B)
- 10.690 Lake Tahoe Erosion Control Grant Program (B)
- 10.691 Good Neighbor Authority (B)
- 10.763 Emergency Community Water Assistance Grants (B)
- 10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (B,C)
- 10.864 Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)
- 10.902 Soil and Water Conservation (K)
- 10.905 Plant Materials for Conservation (J)
- 10.912 Environmental Quality Incentives Program (C)
- 10.913 Farm and Ranch Lands Protection Program (C)
- 10.914 Wildlife Habitat Incentive Program (C)
- 10.916 Watershed Rehabilitation Program (K)
- 10.920 Grassland Reserve Program (C)
- 10.921 Conservation Security Program (C)
- 10.924 Conservation Stewardship Program (B)

- 10.925 Agricultural Water Enhancement Program (C)
- 10.926 Chesapeake Bay Watershed Program (C)
- 10.929 Water Bank Program (C)
- 10.931 Agricultural Conservation Easement Program (B)
- 10.932 Regional Conservation Partnership Program (B)
- 11.419 Coastal Zone Management Administration Awards (A,B)
- 11.483 NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)
- 15.034 Agriculture on Indian Lands (C,J,K)
- 15.236 Environmental Quality and Protection (B)
- 15.518 Garrison Diversion Unit (B)
- 15.533 California Water Security and Environmental Enhancement (B)
- 15.535 Upper Colorado River Basin Fish and Wildlife Mitigation (B)
- 15.649 Service Training and Technical Assistance (Generic Training) (B)
- 15.667 Highlands Conservation (B)
- 15.681 Cooperative Agriculture (I)
- 15.921 Rivers, Trails and Conservation Assistance (B,K)
- 19.016 Iraq Assistance Program (B)
- 19.600 Bureau of Near Eastern Affairs (B)
- 85.750 IAF Assistance for Overseas Programs (B)
- 90.199 Shared Services (B)

Production and Operation

- 10.056 Farm Storage Facility Loans (E)
- 10.098 Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (D)
- 10.108 Livestock Indemnity Program-2014 Farm Bill (D)
- 10.109 Livestock Forage Program-2014 Farm Bill (D)
- 10.111 Tree Assistance Program-2014 Farm Bill (D)
- 10.112 Price Loss Coverage (C)
- 10.113 Agriculture Risk Coverage Program (C)
- 10.114 Cotton Transition Assistance Program (D)
- 10.116 The Margin Protection Program (C)
- 10.118 Cotton Ginning Cost Share Program (D)
- 10.171 Organic Certification Cost Share Programs (C)
- 10.307 Organic Agriculture Research and Extension Initiative (B)
- 10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)
- 10.330 Alfalfa and Forage Research Program (B)
- 10.334 Enhancing Agricultural Opportunities for Military Veterans

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- Competitive Grants Program (B)
- 10.352 Value-Added Producer Grants (B)
- 10.435 State Mediation Grants (B)
- 10.450 Crop Insurance (G)
- 10.511 Smith-Lever Funding (Various Programs) (A,B)
- 10.516 Rural Health and Safety Education Competitive Grants Program (B)
- 10.520 Agriculture Risk Management Education Partnerships Competitive Grants Program (B)
- 10.595 Farm to School Training and Technical Assistance (J,M)
- 10.606 Food for Progress (C,H)
- 10.610 Export Guarantee Program (F)
- 10.614 Scientific Cooperation Exchange Program with China (B,C)
- 10.681 Wood Education and Resource Center (WERC) (B)
- 10.690 Lake Tahoe Erosion Control Grant Program (B)
- 10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (B,C)
- 10.782 Appropriate Technology Transfer for Rural Areas (B)
- 10.865 Biorefinery Assistance (F)
- 15.034 Agriculture on Indian Lands (C,J,K)
- 15.955 Martin Luther King Junior National Historic Site and Preservation District (B)
- 19.016 Iraq Assistance Program (B)
- 19.600 Bureau of Near Eastern Affairs (B)
- 85.750 IAF Assistance for Overseas Programs (B)
- 90.199 Shared Services (B)
- Marketing**
- 10.053 Dairy Indemnity Program (D)
- 10.110 Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program-2014 Farm Bill (C)
- 10.153 Market News (L)
- 10.155 Marketing Agreements and Orders (J,K)
- 10.156 Federal-State Marketing Improvement Program (B)
- 10.162 Inspection Grading and Standardization (J)
- 10.163 Market Protection and Promotion (J,K,M)
- 10.164 Wholesale Farmers and Alternative Market Development (K,M)
- 10.165 Perishable Agricultural Commodities Act (N)
- 10.167 Transportation Services (K,M)
- 10.168 Farmers Market Promotion Program (B)
- 10.170 Specialty Crop Block Grant Program - Farm Bill (B)
- 10.172 Local Food Promotion Program (B)
- 10.173 Sheep Production and Marketing Grant Program (B)
- 10.174 Acer Access Development Program (B)
- 10.290 Agricultural Market and Economic Research (B)
- 10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)
- 10.334 Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program (B)
- 10.352 Value-Added Producer Grants (B)
- 10.500 Cooperative Extension Service (A,B)
- 10.511 Smith-Lever Funding (Various Programs) (A,B)
- 10.516 Rural Health and Safety Education Competitive Grants Program (B)
- 10.576 Senior Farmers Market Nutrition Program (A)
- 10.600 Foreign Market Development Cooperator Program (C)
- 10.601 Market Access Program (A,C)
- 10.605 Quality Samples Program (C)
- 10.606 Food for Progress (C,H)
- 10.608 Food for Education (C,H)
- 10.610 Export Guarantee Program (F)
- 10.614 Scientific Cooperation Exchange Program with China (B,C)
- 10.672 Rural Development, Forestry, and Communities (B)
- 10.674 Wood Utilization Assistance (B)
- 10.690 Lake Tahoe Erosion Control Grant Program (B)
- 10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (B,C)
- 19.016 Iraq Assistance Program (B)
- 19.600 Bureau of Near Eastern Affairs (B)
- 85.750 IAF Assistance for Overseas Programs (B)
- 90.199 Shared Services (B)
- 98.009 John Ogonowski Farmer-to-Farmer Program (B)
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- 10.001 Agricultural Research Basic and Applied Research (B)
- 10.200 Grants for Agricultural Research, Special Research Grants (B)
- 10.202 Cooperative Forestry Research (A)
- 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act (A)
- 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University (A)
- 10.206 Grants for Agricultural Research_Competitive Research Grants (B)
- 10.207 Animal Health and Disease Research (A)
- 10.210 Higher Education Graduate Fellowships Grant Program (B)
- 10.212 Small Business Innovation Research (B)
- 10.215 Sustainable Agriculture Research and Education (B)
- 10.216 1890 Institution Capacity Building Grants (B)
- 10.219 Biotechnology Risk Assessment Research (B)
- 10.220 Higher Education - Multicultural Scholars Grant Program (B)
- 10.227 1994 Institutions Research Program (B)
- 10.250 Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (B,L)
- 10.253 Consumer Data and Nutrition Research (B,L)
- 10.255 Research Innovation and Development Grants in Economic (RIDGE) (L)
- 10.290 Agricultural Market and Economic Research (B)
- 10.291 Agricultural and Food Policy Research Centers (B)
- 10.303 Integrated Programs (B)
- 10.309 Specialty Crop Research Initiative (B)
- 10.310 Agriculture and Food Research Initiative (AFRI) (B)
- 10.311 Beginning Farmer and Rancher Development Program (B)
- 10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI) (B)
- 10.320 Sun Grant Program (B)
- 10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)
- 10.328 National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Competitive Grants Program (B)
- 10.329 Crop Protection and Pest Management Competitive Grants Program (B)
- 10.334 Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program (B)
- 10.336 Veterinary Services Grant Program (B)
- 10.460 Risk Management Education Partnerships (B)
- 10.511 Smith-Lever Funding (Various Programs) (A,B)
- 10.513 1890 Facilities Grants Program (B)
- 10.516 Rural Health and Safety Education Competitive Grants Program (B)
- 10.518 Food Animal Residue Avoidance Databank (B)
- 10.600 Foreign Market Development Cooperator Program (C)
- 10.601 Market Access Program (A,C)
- 10.606 Food for Progress (C,H)
- 10.610 Export Guarantee Program (F)
- 10.614 Scientific Cooperation Exchange Program with China (B,C)

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- 10.652 Forestry Research (B)
10.681 Wood Education and Resource Center (WERC) (B)
10.690 Lake Tahoe Erosion Control Grant Program (B)
10.694 Southwest Forest Health and Wildfire Prevention (C)
10.771 Rural Cooperative Development Grants (B)
10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (B,C)
10.782 Appropriate Technology Transfer for Rural Areas (B)
10.960 Technical Agricultural Assistance (B,C)
10.961 Scientific Cooperation and Research (B,C)
10.962 Cochran Fellowship Program-International Training-Foreign Participant (B,C)
11.433 Marine Fisheries Initiative (B)
11.460 Special Oceanic and Atmospheric Projects (B)
15.236 Environmental Quality and Protection (B)
19.016 Iraq Assistance Program (B)
19.600 Bureau of Near Eastern Affairs (B)
85.750 IAF Assistance for Overseas Programs (B)
90.199 Shared Services (B)
- Technical Assistance, Information and Services**
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10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)
10.028 Wildlife Services (B)
10.030 Indemnity Program (D)
10.153 Market News (L)
10.155 Marketing Agreements and Orders (J,K)
10.156 Federal-State Marketing Improvement Program (B)
10.163 Market Protection and Promotion (J,K,M)
10.203 Payments to Agricultural Experiment Stations Under the Hatch Act (A)
10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University (A)
10.250 Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (B,L)
10.253 Consumer Data and Nutrition Research (B,L)
10.255 Research Innovation and Development Grants in Economic (RIDGE) (L)
10.313 Veterinary Medicine Loan Repayment Program (C)
10.319 Farm Business Management and Benchmarking Competitive Grants Program (B)
10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)
10.334 Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program (B)
10.350 Technical Assistance to Cooperatives (J,K,L,M)
10.351 Rural Business Development Grant (B)
10.443 Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)
10.458 Crop Insurance Education in Targeted States (B)
10.500 Cooperative Extension Service (A,B)
10.511 Smith-Lever Funding (Various Programs) (A,B)
10.515 Renewable Resources Extension Act and National Focus Fund Projects (A,B)
10.516 Rural Health and Safety Education Competitive Grants Program (B)
10.518 Food Animal Residue Avoidance Databank (B)
10.521 Children, Youth and Families At-Risk (B)
10.543 Healthier US School Challenge: Smarter Lunchrooms (C)
10.574 Team Nutrition Grants (B)
10.580 Supplemental Nutrition Assistance Program, Process and Technology Improvement Grants (B)
- 10.601 Market Access Program (A,C)
10.604 Technical Assistance for Specialty Crops Program (C)
10.610 Export Guarantee Program (F)
10.612 USDA Local and Regional Food Aid Procurement Program (B)
10.614 Scientific Cooperation Exchange Program with China (B,C)
10.690 Lake Tahoe Erosion Control Grant Program (B)
10.700 National Agricultural Library (L)
10.761 Technical Assistance and Training Grants (B)
10.769 Rural Business Enterprise Grants (B)
10.771 Rural Cooperative Development Grants (B)
10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (B,C)
10.782 Appropriate Technology Transfer for Rural Areas (B)
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14.183 Home Equity Conversion Mortgages (F)	19.123 EUR/ACE Humanitarian Assistance Program (B)
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14.188 Housing Finance Agencies (HFA) Risk Sharing (F)	19.204 Fishermen's Guaranty Fund (G)
14.189 Qualified Participating Entities (QPE) Risk Sharing (F)	19.224 Nonproliferation and Disarmament Fund (B)
14.191 Multifamily Housing Service Coordinators (B)	19.441 IIP - American Spaces (B)
14.195 Section 8 Housing Assistance Payments Program (C)	19.501 Public Diplomacy Programs for Afghanistan and Pakistan (B)
14.198 Good Neighbor Next Door Sales Program (H)	19.666 EUR/ACE National Endowment for Democracy Small Grants (B)
14.311 Single Family Property Disposition (H)	19.750 Bureau of Western Hemisphere Affairs (WHA) Grant Programs (including Energy and Climate Partnership for the Americas) (B)
14.313 Dollar Home Sales (H)	DEPARTMENT OF THE TREASURY
14.314 Assisted Living Conversion for Eligible Multifamily Housing Projects (B)	21.004 Exchange of Federal Tax Information With State Tax Agencies (J)
14.316 Housing Counseling Training Program (B)	21.010 Financial Education and Counseling Pilot Program (B)
14.319 Multifamily Energy Innovation Fund (B)	21.015 Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (A)
14.322 Tenant Resource Network Program (B)	EXPORT - IMPORT BANK OF THE UNITED STATES
14.326 Project Rental Assistance Demonstration (PRA Demo) Program of Section 811 Supportive Housing for Persons with Disabilities (B)	31.007 Export - Loan Guarantee/Insured Loans (E,F)
14.327 Performance Based Contract Administrator Program (C)	INSTITUTE OF MUSEUM AND LIBRARY SERVICES
14.329 HUD Multifamily PFS pilot (C)	45.301 Museums for America (B,C)
14.520 Historically Black Colleges and Universities Program (B)	45.308 Native American/Native Hawaiian Museum Services Program (B,C)
14.866 Demolition and Revitalization of Severely Distressed Public Housing (B)	45.309 Museum Grants for African American History and Culture (B,C)
14.877 Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services (B)	45.310 Grants to States (A)
14.878 Affordable Housing Development in Main Street Rejuvenation Projects (B)	45.311 Native American and Native Hawaiian Library Services (B,C)
14.880 Family Unification Program (FUP) (C)	45.312 National Leadership Grants (B,C)
14.897 Juvenile Reentry Assistance Program Juvenile Reentry Assistance Program (JRAP) (B,K)	45.313 Laura Bush 21st Century Librarian Program (B,C)
DEPARTMENT OF THE INTERIOR	NATIONAL ENDOWMENT FOR THE HUMANITIES
15.563 Suisun Marsh Preservation Agreement (B)	45.163 Promotion of the Humanities Professional Development (B)
15.565 Implementation of the Taos Pueblo Indian water rights settlement (B)	PEACE CORPS
	45.400 Peace Corps Global Health and PEPFAR Initiative Program (B)
	NATIONAL SCIENCE FOUNDATION
	47.076 Education and Human Resources (B)

Program Descriptions Not Updated for FY 2017

47.078 Polar Programs (B)
47.080 Office of Cyberinfrastructure (B)
47.082 Trans-NSF Recovery Act Reesearch Support (B)

DEPARTMENT OF VETERANS AFFAIRS

64.005 Grants to States for Construction of State Home Facilities (B)
64.008 Veterans Domiciliary Care (J)
64.011 Veterans Dental Care (J)
64.012 Veterans Prescription Service (H)
64.013 Veterans Prosthetic Appliances (J)
64.014 Veterans State Domiciliary Care (A)
64.015 Veterans State Nursing Home Care (A)
64.024 VA Homeless Providers Grant and Per Diem Program (B)
64.026 Veterans State Adult Day Health Care (A)
64.027 Post-9/11 Veterans Educational Assistance (C)
64.028 Post-9/11 Veterans Educational Assistance (D)
64.029 Purchase Care Program (C)
64.033 VA Supportive Services for Veteran Families Program (B)
64.034 VA Grants for Adaptive Sports Programs for Disabled Veterans and Disabled Members of the Armed Forces (B)
64.035 Veterans Transportation Program (B)
64.037 VA U.S. Paralympics Monthly Assistance Allowance Program (D)
64.038 Grants for the Rural Veterans Coordination Pilot (B)
64.039 CHAMPVA (C)
64.040 VHA Inpatient Medicine (D)
64.041 VHA Outpatient Specialty Care (C)
64.042 VHA Inpatient Surgery (C)
64.043 VHA Mental Health Residential (C)
64.044 VHA Home Care (C)
64.045 VHA Outpatient Ancillary Services (C)
64.046 VHA Inpatient Psychiatry (C)
64.047 VHA Primary Care (C)
64.048 VHA Mental Health clinics (C)
64.049 VHA Community Living Center (C)
64.050 VHA Diagnostic Care (C)
64.051 Specially Adapted Housing Assistive Technology Grant Program (B)
64.052 Veterans Employment Pay for Success Program (B)
64.053 Payments to States for Programs to Promote the Hiring and Retention of Nurses at State Veterans Homes (B)
64.100 Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces (C)
64.103 Life Insurance for Veterans (G)
64.109 Veterans Compensation for Service-Connected Disability (D)
64.114 Veterans Housing Guaranteed and Insured Loans (F)
64.116 Vocational Rehabilitation for Disabled Veterans (D)
64.117 Survivors and Dependents Educational Assistance (C)
64.118 Veterans Housing Direct Loans for Certain Disabled Veterans (E)
64.120 Post-Vietnam Era Veterans' Educational Assistance (D)
64.126 Native American Veteran Direct Loan Program (E)
64.203 Veterans Cemetery Grants Program (B)

ENVIRONMENTAL PROTECTION AGENCY

66.123 Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (B)
66.126 The San Francisco Bay Water Quality Improvement Fund (B)
66.204 Multipurpose Grants to States and Tribes (A)
66.418 Construction Grants for Wastewater Treatment Works (B)
66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support (A)
66.714 Regional Agricultural IPM Grants (B)

66.819 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)
66.954 Tribal ecoAmbassadors (B,M)

OVERSEAS PRIVATE INVESTMENT CORPORATION

70.002 Foreign Investment Financing (E,F)
70.003 Foreign Investment Insurance (G)

DEPARTMENT OF ENERGY

81.057 University Coal Research (B)
81.089 Fossil Energy Research and Development (B)
81.124 Predictive Science Academic Alliance Program (B)

MILLENNIUM CHALLENGE CORPORATION

85.002 MCC Foreign Assistance for Overseas Programs (B,C)

MORRIS K. UDALL FOUNDATION

85.400 Morris K. Udall Scholarship Program (C)
85.402 Morris K. Udall Native American Congressional Internship Program (C)

VIETNAM EDUCATION FOUNDATION

85.801 U.S. Faculty Scholar Grants (B)
85.802 Fellowship Program (B)
85.803 Visiting Scholar Grants (B)

PENSION BENEFIT GUARANTY CORPORATION

86.001 Pension Plan Termination Insurance (G)

CONSUMER PRODUCT SAFETY COMMISSION

87.002 Virginia Graeme Baker Pool and Spa Safety (B)

BROADCASTING BOARD OF GOVERNORS

90.500 International Broadcasting Independent Grantee Organizations (B)

DELTA REGIONAL AUTHORITY

90.200 Delta Regional Development (B)
90.201 Delta Area Economic Development (B)
90.202 Delta Local Development District Assistance (B)

JAPAN U.S. FRIENDSHIP COMMISSION

90.300 Japan-U.S. Friendship Commission Grants (B)

NORTHERN BORDER REGIONAL COMMISSION

90.601 Northern Border Regional Development (B)

U.S. ELECTION ASSISTANCE COMMISSION

90.401 Help America Vote Act Requirements Payments (C)

UNITED STATES INSTITUTE OF PEACE

91.004 Public Education for Peacebuilding Awards Program (B)

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ARCHIVED PROGRAMS

This index provides a list of CFDA programs archived within the fiscal year. Administering agencies archive programs for a variety of reasons to include budget authority expiration, program consolidation, or change in operational status. The date archived is provided for each program.

DEPARTMENT OF AGRICULTURE

10.080 Milk Income Loss Contract Program

Archived on 08/28/17

10.305 International Science and Education Grants

Archived on 07/11/17

10.314 New ERA Rural Technology Competitive Grants Program

Archived on 07/11/17

10.327 Common Bean Productivity Research for Global Food Security Competitive Program

Archived on 07/11/17

10.609 Trade Adjustment Assistance

Archived on 09/07/17

10.692 Disaster Relief Appropriations Act for Emergency Forest Restoration Program (EFRP)

Archived on 08/11/17

10.695 Eastern Nevada Landscape Restoration Project (ENLRP)

Archived on 08/11/17

DEPARTMENT OF COMMERCE

11.106 Remedies for Unfair Foreign Trade Practices_Antidumping and Countervailing Duty Investigations

Archived on 02/28/17

11.108 Commercial Service

Archived on 02/28/17

11.110 Industry and Analysis

Archived on 06/06/17

11.111 Foreign-Trade Zones in the United States

Archived on 06/06/17

11.430 Undersea Research

Archived on 08/17/17

11.558 State Broadband Data and Development Grant Program

Archived on 08/16/17

11.900 Patent and Trademark Technical Information Dissemination

Archived on 01/26/17

DEPARTMENT OF DEFENSE

12.217 Electronic Absentee Systems for Elections

Archived on 08/30/17

12.218 FVAP Policy Clearinghouse

Archived on 08/30/17

12.553 The Language Flagship Fellowships

Archived on 08/29/17

12.554 English for Heritage Language Speakers Grants to U.S. Institutions of Higher Education

Archived on 09/08/17

12.597 Task Force for Business & Stability Operations

Archived on 08/30/17

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

14.913 Healthy Homes Production Program

Archived on 08/25/17

14.914 Asthma Interventions in Public and Assisted Multifamily Housing

Archived on 08/25/17

DEPARTMENT OF THE INTERIOR

15.227 Distribution of Receipts to State and Local Governments

Archived on 07/03/17

15.260 Joint Abandoned Mine Land Reclamation Economic Development Pilot

Archived on 07/03/17

15.425 Offshore Research Technology Center (OTRC) Texas Engineering Experiment Station (TEES)

Archived on 08/28/17

15.850 Indian Arts and Crafts Development

Archived on 09/12/17

DEPARTMENT OF JUSTICE

16.012 Alcohol, Tobacco, and Firearms_Training Assistance

Archived on 08/30/17

16.013 Violence Against Women Act Court Training and Improvement Grants

Archived on 09/11/17

16.100 Desegregation of Public Education

Archived on 09/12/17

16.101 Equal Employment Opportunity

Archived on 09/12/17

16.103 Fair Housing and Equal Credit Opportunity

Archived on 09/12/17

16.104 Protection of Voting Rights

Archived on 09/12/17

16.105 Civil Rights of Institutionalized Persons

Archived on 09/12/17

16.109 Civil Rights Prosecution

Archived on 09/12/17

16.200 Community Relations Service

Archived on 09/12/17

16.527 Supervised Visitation, Safe Havens for Children

Archived on 09/11/17

16.755 Southwest Border Prosecution Initiative Program

Archived on 09/08/17

16.800 Recovery Act - Internet Crimes against Children Task Force Program (ICAC)

Archived on 08/25/17

16.801 Recovery Act - State Victim Assistance Formula Grant Program

Archived on 08/25/17

16.802 Recovery Act - State Victim Compensation Formula Grant Program

Archived on 08/25/17

16.803 Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories

Archived on 08/25/17

16.804 Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program / Grants To Units Of Local Government

Archived on 08/25/17

ARCHIVED PROGRAMS

16.807 Recovery Act - VOCA Crime Victim Assistance Discretionary Grant Program

Archived on 08/25/17

16.808 Recovery Act - Edward Byrne Memorial Competitive Grant Program

Archived on 08/25/17

16.809 Recovery Act - State and Local Law Enforcement Assistance Program: Combating Criminal Narcotics Activity Stemming from the Southern Border of the United States Competitive Grant Program

Archived on 08/25/17

16.810 Recovery Act Assistance to Rural Law Enforcement to Combat Crime and Drugs Competitive Grant Program

Archived on 08/25/17

16.811 Recovery Act - Correctional Facilities On Tribal Lands

Archived on 08/25/17

16.814 Northern Border Prosecution Initiative Program

Archived on 09/08/17

DEPARTMENT OF LABOR

17.284 Hurricane Sandy Disaster Relief Appropriations Act Supplemental - National Emergency Grants (NEGs)

Archived on 08/29/17

17.301 Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors

Archived on 09/12/17

17.505 OSHA Data Initiative

Archived on 08/17/17

17.506 Disaster Relief Appropriations Act, Susan Harwood Training Grants

Archived on 08/17/17

DEPARTMENT OF TRANSPORTATION

20.239 Motor Carrier Research and Technology Programs

Archived on 07/25/17

OFFICE OF PERSONNEL MANAGEMENT

27.001 Federal Civil Service Employment

Archived on 09/11/17

27.002 Federal Employment Assistance for Veterans

Archived on 09/11/17

27.003 Federal Student Temporary Employment Program

Archived on 09/11/17

27.005 Federal Employment for Individuals With Disabilities

Archived on 09/11/17

27.006 Federal Summer Employment

Archived on 09/11/17

27.011 Intergovernmental Personnel Act (IPA) Mobility Program

Archived on 09/11/17

27.013 Presidential Management Intern Program

Archived on 09/11/17

U.S. COMMISSION ON CIVIL RIGHTS

29.001 Clearinghouse Services, Civil Rights Discrimination Complaints

Archived on 09/11/17

FEDERAL COMMUNICATIONS COMMISSION

32.001 Communications Information and Assistance and Investigation of Complaints

Archived on 09/11/17

FEDERAL MARITIME COMMISSION

33.001 Shipping_Dispute Resolution and Investigation of Complaints

Archived on 08/28/17

FEDERAL MEDIATION AND CONCILIATION SERVICE

34.001 Labor Mediation and Conciliation

Archived on 09/11/17

FEDERAL TRADE COMMISSION

36.001 Fair Competition Counseling and Investigation of Complaints

Archived on 09/11/17

GOVERNMENT PUBLISHING OFFICE

40.001 Depository Libraries for Government Publications

Archived on 09/11/17

40.002 Government Publications Sales and Distribution

Archived on 09/11/17

LIBRARY OF CONGRESS

42.001 Books for the Blind and Physically Handicapped

Archived on 09/11/17

42.002 Copyright Service

Archived on 09/11/17

42.008 Semiconductor Chip Protection Service

Archived on 09/11/17

42.009 Vessel Hull Design Protection Service

Archived on 09/11/17

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

43.005 Exploration, Recovery Act

Archived on 08/01/17

43.006 Science, Recovery Act

Archived on 08/01/17

SECURITIES AND EXCHANGE COMMISSION

58.001 Securities_Investigation of Complaints and SEC Information

Archived on 09/11/17

SMALL BUSINESS ADMINISTRATION

59.063 Disaster Assistance Loans (Disaster Relief Appropriations Act)

Archived on 08/17/17

59.065 Growth Accelerator Fund Competition

Archived on 08/11/17

59.068 ScaleUp America

Archived on 08/11/17

59.069 SBA Emerging Leaders initiative

Archived on 08/11/17

DEPARTMENT OF VETERANS AFFAIRS

64.007 Blind Rehabilitation Centers

Archived on 09/12/17

64.009 Veterans Medical Care Benefits

Archived on 09/12/17

64.010 Veterans Nursing Home Care

Archived on 09/12/17

64.018 Sharing Specialized Medical Resources

Archived on 09/12/17

64.019 Veterans Rehabilitation_Alcohol and Drug Dependence

Archived on 09/12/17

ARCHIVED PROGRAMS

64.022 Veterans Home Based Primary Care

Archived on 09/12/17

64.036 Veterans Retraining Assistance Program (VRAP)

Archived on 09/08/17

64.115 Veterans Information and Assistance

Archived on 09/12/17

64.119 Veterans Housing_Manufactured Home Loans

Archived on 09/12/17

64.127 Monthly Allowance for Children of Vietnam Veterans Born with Spina Bifida

Archived on 09/12/17

64.201 National Cemeteries

Archived on 09/12/17

64.202 Procurement of Headstones and Markers and/or Presidential Memorial Certificates

Archived on 09/12/17

ENVIRONMENTAL PROTECTION AGENCY

66.439 Targeted Watersheds Grants

Archived on 08/01/17

NATIONAL GALLERY OF ART

68.001 National Gallery of Art Extension Service

Archived on 09/11/17

COMMODITY FUTURES TRADING COMMISSION

78.004 Commodity Futures Reparations Claims

Archived on 09/11/17

DEPARTMENT OF ENERGY

81.022 Laboratory Equipment Donation Program

Archived on 09/08/17

DEPARTMENT OF EDUCATION

84.116 Fund for the Improvement of Postsecondary Education

Archived on 09/05/17

84.350 Transition to Teaching

Archived on 08/01/17

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

85.102 Christopher Columbus Awards

Archived on 09/11/17

85.104 Life Sciences Awards

Archived on 09/11/17

85.105 Agriscience Awards

Archived on 09/11/17

HARRY S TRUMAN SCHOLARSHIP FOUNDATION

85.001 Harry S Truman Scholarship Program

Archived on 09/11/17

JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION

85.500 James Madison Memorial Fellowship Program

Archived on 09/11/17

MILLENNIUM CHALLENGE CORPORATION

85.003 MCC Domestic Assistance for Overseas Programs

Archived on 09/11/17

SMITHSONIAN INSTITUTION

85.601 Smithsonian Institution Fellowship Program

Archived on 09/11/17

WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS

85.300 Woodrow Wilson Center Fellowships in the Humanities and Social Sciences

Archived on 09/11/17

ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD

88.001 Architectural and Transportation Barriers Compliance Board

Archived on 09/11/17

U.S. ELECTION ASSISTANCE COMMISSION

90.400 Help America Vote College Program

Archived on 09/11/17

90.402 Help America Vote Mock Election Program

Archived on 09/11/17

90.403 U.S. Election Assistance Commission Research Grants

Archived on 09/11/17

UNITED STATES INSTITUTE OF PEACE

91.001 Annual Grant Competition

Archived on 09/11/17

91.002 Priority Grant Competition

Archived on 09/11/17

91.003 91.003 Annual Senior Fellowship Competition

Archived on 09/11/17

DEPARTMENT OF HEALTH AND HUMAN SERVICES

93.445 Indian Health Service Sanitation Facilities Construction Program

Archived on 05/03/17

93.520 Centers for Disease Control and Prevention Affordable Care Act (ACA) Communities Putting Prevention to Work

Archived on 08/11/17

93.541 The Patient Protection and Affordable Care Act of 2010 (ACA)

Archived on 08/11/17

93.544 The Patient Protection and Affordable Care Act of 2010 (Affordable Care Act) authorizes Coordinated Chronic Disease prevention and Health Promotion Program

Archived on 08/11/17

93.549 Affordable Care Act (ACA) The Primary Care Services Resource Coordination and Development Program

Archived on 08/16/17

93.703 ARRA Grants to Health Center Programs

Archived on 08/16/17

93.716 ARRA Temporary Assistance for Needy Families (TANF) Supplemental Grants

Archived on 08/28/17

93.723 ARRA - Prevention and Wellness-State, Territories and Pacific Islands

Archived on 08/16/17

93.724 ARRA - Prevention and Wellness Communities Putting Prevention to Work Funding Opportunities Announcement (FOA)

Archived on 08/11/17

93.737 PPHF: Community Transformation Grants -Small Communities Program financed solely by Public Prevention and Health Funds

Archived on 08/11/17

93.741 Breastfeeding Promotion and Support Improving Maternity Care Practices project financed solely by Public Prevention and Health Funds

ARCHIVED PROGRAMS

Archived on 08/11/17

93.754 Promotion and Support of Optimal Breastfeeding Practices throughout the United States financed solely by Prevention and Public Health Funding (PPHF)

Archived on 08/11/17

93.834 Capacity Building Assistance (CBA) for High-Impact HIV Prevention

Archived on 08/04/17

93.985 Assistance for Oral Disease Prevention and Control

Archived on 08/11/17

SOCIAL SECURITY ADMINISTRATION

96.021 Social Security Economic Recovery Act Payments

Archived on 09/08/17

DEPARTMENT OF HOMELAND SECURITY

97.031 Cora Brown Fund

Archived on 08/01/17

97.080 Information Analysis Infrastructure Protection (IAIP) and Critical Infrastructure Monitoring and Protection

Archived on 06/13/17

97.101 National Fallen Firefighters Memorial

Archived on 09/05/17

97.111 Regional Catastrophic Preparedness Grant Program (RCPGP)

Archived on 08/28/17

97.114 Emergency Food and Shelter National Board Program (ARRA)

Archived on 08/28/17

97.124 Interoperable Communications and Training Project

Archived on 06/13/17

97.126 National Special Security Event

Archived on 08/28/17

97.129 Securing Critical Underground Infrastructure Pilot Program

Archived on 06/13/17

ADDED PROGRAMS

This index provides a list of CFDA programs added to the catalog within the fiscal year. The date added is provided for each program.

DEPARTMENT OF AGRICULTURE

10.174 Acer Access Development Program

Added on 07/28/17

10.334 Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program

Added on 08/31/17

10.511 Smith-Lever Funding (Various Programs)

Added on 07/23/17

10.512 Agriculture Extension at 1890 Land-grant Institutions

Added on 07/09/17

10.513 1890 Facilities Grants Program

Added on 06/11/17

10.514 Expanded Food and Nutrition Education Program

Added on 07/23/17

10.515 Renewable Resources Extension Act and National Focus Fund Projects

Added on 07/28/17

10.516 Rural Health and Safety Education Competitive Grants Program

Added on 07/20/17

10.517 Tribal Colleges Extension Programs

Added on 07/20/17

10.518 Food Animal Residue Avoidance Databank

Added on 07/23/17

10.520 Agriculture Risk Management Education Partnerships Competitive Grants Program

Added on 07/09/17

10.521 Children, Youth and Families At-Risk

Added on 06/25/17

10.536 CACFP Training Grants

Added on 08/09/17

10.537 Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) Data and Technical Assistance Grants

Added on 07/01/17

10.538 Mobile Technology for Child Nutrition Innovation Laboratory

Added on 02/02/17

10.751 Rural Energy Savings Program (RESP)

Added on 08/02/17

DEPARTMENT OF COMMERCE

11.019 State Alternative Plan Program

Added on 07/08/17

DEPARTMENT OF DEFENSE

12.460 Fisher House Foundation

Added on 08/26/17

12.582 Office for Reintegration Programs

Added on 06/29/17

12.840 Civil Air Patrol Program

Added on 08/11/17

12.888 OPA Research Fellowship Program

Added on 07/16/17

12.905 CyberSecurity Core Curriculum

Added on 02/09/17

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

14.888 Lead-Based Paint Capital Fund Program

Added on 08/23/17

DEPARTMENT OF THE INTERIOR

15.160 BIA Wildland Urban Interface Community Fire Assistance

Added on 09/01/17

15.444 Take Pride

Added on 07/22/17

15.566 Upper Klamath Basin Hydrolic Analyses

Added on 06/25/17

15.567 Colorado River Conservation System (Pilot)

Added on 06/25/17

15.680 Mexican Wolf Recovery

Added on 06/15/17

15.681 Cooperative Agriculture

Added on 07/01/17

DEPARTMENT OF JUSTICE

16.838 Comprehensive Opioid Abuse Site-Based Program

Added on 01/18/17

DEPARTMENT OF STATE

19.035 Cyber Capacity Building

Added on 09/03/17

DEPARTMENT OF TRANSPORTATION

20.324 Restoration and Enhancement

Added on 06/04/17

20.325 Consolidated Rail Infrastructure and Safety Improvements

Added on 05/31/17

20.326 Federal-State Partnership for State of Good Repair

Added on 06/01/17

20.725 PHMSA Pipeline Safety Underground Natural Gas Storage Grant

Added on 07/28/17

20.822 Port of Guam Improvement Enterprise Program

Added on 07/02/17

DEPARTMENT OF THE TREASURY

21.016 Equitable Sharing

Added on 01/22/17

DEPARTMENT OF VETERANS AFFAIRS

64.054 Research and Development

Added on 04/13/17

ENVIRONMENTAL PROTECTION AGENCY

66.130 Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component

Added on 04/02/17

DEPARTMENT OF EDUCATION

84.424 Student Support and Academic Enrichment Program

Added on 05/27/17

DELTA REGIONAL AUTHORITY

90.203 Delta Creative Place-Making Pilot Initiative

ADDED PROGRAMS

Added on 06/03/17

90.204 States' Economic Development Assistance Program

Added on 06/03/17

DENALI COMMISSION

90.199 Shared Services

Added on 08/25/17

NATIONAL COUNCIL ON DISABILITY

92.002 National Council on Disability

Added on 06/08/17

DEPARTMENT OF HEALTH AND HUMAN SERVICES

93.353 21st Century Cures Act - Beau Biden Cancer Moonshot

Added on 06/25/17

**93.354 Public Health Emergency Response: Cooperative Agreement for
Emergency Response: Public Health Crisis Response**

Added on 06/02/17

**93.355 Certified Health IT Surveillance Capacity and Infrastructure
Improvement Cooperative Agreement Program**

Added on 06/22/17

93.363 Flexible Alternatives for State Transformation Model

Added on 07/09/17

**93.366 State Actions to Improve Oral Health Outcomes and Partner
Actions to Improve Oral Health Outcomes**

Added on 08/13/17

93.368 21st Century Cures Act - Precision Medicine Initiative

Added on 07/19/17

93.370 21st Century Cures Act: Regenerative Medicine Initiative

Added on 07/19/17

**93.372 21st Century Cures Act - Brain Research through Advancing
Innovative Neurotechnologies**

Added on 07/19/17

**93.391 Activities to Support State, Tribal, Local and Territorial (STLT)
Health Department Response to Public Health or Healthcare Crises**

Added on 08/23/17

93.413 The State Flexibility to Stabilize the Market Grant Program

Added on 09/07/17

93.423 1332 State Innovation Waivers

Added on 09/25/17

**93.456 CDC Undergraduate Public Health Scholars Program (CUPS): A
Public Health Experience to Expose Undergraduates Interested in Minority
Health to Public Health and the Public Health Professions**

Added on 02/02/17

**93.475 TANF Policy Academy for Innovative Employment Strategies
(PAIES)**

Added on 08/27/17

**93.772 Tribal Public Health Capacity Building and Quality Improvement
Umbrella Cooperative Agreement**

Added on 08/02/17

93.799 CARA Act Comprehensive Addiction and Recovery Act of 2016

Added on 08/31/17

93.967 CDC's Collaboration with Academia to Strengthen Public Health

Added on 01/22/17

**93.980 Increasing Public Awareness and Provider Education about
Primary Immunodeficiency Disease**

Added on 01/29/17

**93.981 Improving Student Health and Academic Achievement through
Nutrition, Physical Activity and the Management of Chronic Conditions in
Schools**

Added on 08/16/17

**93.983 Market Transparency Project for Health IT Interoperability
Services Cooperative Agreement Program**

Added on 06/22/17

**93.986 Medicare Access and CHIP Reauthorization Act (MACRA)
Funding Opportunity: Measure Development for the Quality Payment
Program**

Added on 05/12/17

**CORPORATION FOR NATIONAL AND COMMUNITY
SERVICE**

94.008 Commission Investment Fund

Added on 08/30/17

DEPARTMENT OF HOMELAND SECURITY

97.134 Presidential Residence Protection Security Grant

Added on 08/08/17

CROSSWALK OF CHANGES TO PROGRAM NUMBERS AND TITLES

This index highlights those CFDA programs that had a program title or number change within the fiscal year. The historical crosswalk in Appendix VI reflects the full program change history.

Title Changes

DEPARTMENT OF AGRICULTURE

- 10.168 Farmers Market Promotion Program
- 10.557 WIC Special Supplemental Nutrition Program for Women, Infants, and Children

DEPARTMENT OF COMMERCE

- 11.610 Standards Information Center

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

- 14.278 Veterans Housing Rehabilitation and Modification Program

DEPARTMENT OF THE INTERIOR

- 15.236 Environmental Quality and Protection
- 15.243 Youth Conservation Opportunities on Public Lands
- 15.819 Energy Cooperatives to Support the National Energy Resources Data System

DEPARTMENT OF JUSTICE

- 16.820 Postconviction Testing of DNA Evidence

DEPARTMENT OF LABOR

- 17.258 WIOA Adult Program
- 17.259 WIOA Youth Activities
- 17.261 WIOA Pilots, Demonstrations, and Research Projects
- 17.278 WIOA Dislocated Worker Formula Grants
- 17.280 WIOA Dislocated Worker National Reserve Demonstration Grants
- 17.281 WIOA Dislocated Worker National Reserve Technical Assistance and Training
- 17.805 Homeless Veterans Reintegration Program

DEPARTMENT OF TRANSPORTATION

- 20.232 Commercial Driver's License Program Implementation Grant
- 20.235 Commercial Motor Vehicle Operator Safety Training Grants
- 20.614 National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants and Cooperative Agreements
- 20.813 Maritime Security Fleet Program or Ship Operations Cooperation Program

DEPARTMENT OF EDUCATION

- 84.367 Supporting Effective Instruction State Grants (formerly Improving Teacher Quality State Grants)
- 84.371 Striving Readers/Comprehensive Literacy Development
- 84.411 Education Innovation and Research (formerly Investing in Innovation (i3) Fund)
- 84.422 American History and Civics Education

DEPARTMENT OF HEALTH AND HUMAN SERVICES

- 93.247 Advanced Nursing Education Workforce Grant Program
- 93.261 Scaling the National Diabetes Prevention Program to Priority Populations
- 93.270 Viral Hepatitis Prevention and Control
- 93.501 Grants for School-Based Health Center Capital Expenditures
- 93.530 Teaching Health Center Graduate Medical Education Payment
- 93.977 Sexually Transmitted Diseases (STD) Prevention and Control Grants
- 93.978 Sexually Transmitted Diseases (STD) Provider Education Grants

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PROGRAM DESCRIPTIONS

The following section provides the complete program text for each CFDA program as submitted by the administering agency.

DEPARTMENT OF AGRICULTURE

10.001 AGRICULTURAL RESEARCH BASIC AND APPLIED RESEARCH

(Extramural Research)

FEDERAL AGENCY:

Agricultural Research Service, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985, Public Law 99-198, 7 U.S.C. 427-427i, 1624.

OBJECTIVES:

To make agricultural research discoveries, evaluate alternative ways of attaining research goals, and provide scientific technical information.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research is conducted that is in cooperation with and is correlated with the Agricultural Research Service's in-house research programs and projects. Limited discretionary research funds are periodically made available.

Applicant Eligibility:

Usually nonprofit institutions of higher education or other nonprofit research organizations, whose primary purpose is conducting scientific research.

Beneficiary Eligibility:

Usually nonprofit institutions of higher education or other nonprofit research organizations, whose primary purpose is conducting scientific research.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Letters should be submitted to the Agricultural Research Service, Department of Agriculture. Give name of applicants, location of facilities, and State of incorporation, if any.

Award Procedure:

A peer review panel considers each proposal, evaluates the qualifications of applicants in line with research to be undertaken and determines priority for final negotiations of the grant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: None.

Reports:

Progress reports, final technical reports, financial statements, and inventions and subaward reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. As performed by cognizant audit agency.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of three years from the date of submission of the final expenditure report or, for awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, as authorized by the Federal awarding agency.

Account Identification:

12-1400-0-1-352.

Obligations:

(Project Grants) FY 16 \$45,381,885; FY 17 est \$35,000,000; and FY 18 est \$35,000,000

Range and Average of Financial Assistance:

\$1,000 to \$25,000. Average \$15,000.

TAFS Codes:

12-1400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200

Regional or Local Office:

See Regional Agency Offices. See the Agricultural Research Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Kathleen S. Townson, 5601 Sunnyside Ave, MS-5110, Beltsville, Maryland 20705 Email: kathleen.townson@ars.usda.gov Phone: (301) 504-1702.

Website Address:

<http://www.ars.usda.gov>

RELATED PROGRAMS:

10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.652 Forestry Research; 10.700 National Agricultural Library

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Peer review.

10.025 PLANT AND ANIMAL DISEASE, PEST CONTROL, AND ANIMAL CARE

FEDERAL AGENCY:

Animal and Plant Health Inspection Service, Department of Agriculture

AUTHORIZATION:

Plant Protection Act, Public Law 106-224, 7 U.S.C 7701-7772; Animal Welfare Act, as amended, 7 U.S.C 2131-2155; Farm Security and Rural Investment Act of 2002, Public Law 107-171, E U.S.C 10401-10418.

OBJECTIVES:

To protect U.S. agriculture from economically injurious plant and animal diseases and pests, ensure the safety and potency of veterinary biologic, and ensure the humane treatment of animals.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Conduct surveys, inspections to detect and appraise infestations, eradication and control activities, and carry out regulatory actions to prevent interstate spread of infestations and diseases.

Applicant Eligibility:

Foreign, State, local, and U.S. Territorial government agencies, nonprofit institutions of higher education, and nonprofit associations or organizations requiring Federal support to eradicate, control, or assess the status of injurious plant and animal diseases and pests that are a threat to regional or national agriculture and conduct related demonstration projects.

Beneficiary Eligibility:

Farmers, ranchers, agriculture producers, State, local, U.S. Territorial government agencies, public and private institutions and organizations benefit from Federal assistance to eradicate or control injurious plant and animal diseases and pests that are a threat to regional or national agriculture.

Credentials/Documentation:

Curriculum vitae for principal investigator, except for State, local, and Territorial government cooperators. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Comply with E.O. 12372, "Intergovernmental Review of Federal Programs," and submit a completed Standard Form 424 "Application for Federal Assistance (Non-construction)" and project proposal (work plan), financial plan, curriculum vitae, and other required certifications to the appropriate APHIS area, regional, or Headquarters Office. See Regional and Local Office Address Listing.

Award Procedure:

Applications are approved by the Administrator or Authorized Departmental Officers (ADOs) upon determination that the project will contribute toward accomplishment of the Agency's overall mission and meet any established project evaluation/selection criteria.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 120 days.

Appeals:

None.

Renewals:

Based on program needs and availability of annual funding.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Recipients share some project or program costs. The cost-sharing arrangements are developed between USDA and the recipients in advance of the program unless otherwise stated by Congress.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 1 year from the date of award. Funds are made available as required to cover expenditures. See the following for information on how assistance is awarded/released: Funds are provided to recipients when requests for advance or reimbursement are received and approved by the Agency.

Reports:

Program reports are not applicable. Cash reports are not applicable. Requirements are specifically indicated in the award documents and may vary for given programs; however, quarterly financial reports, annual progress reports, final financial and final summary progress reports are generally required. SF-425, Federal Financial Report. Narrative performance progress reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Instruction provided in the Notice of Award. Grantees are expected to maintain separate records for each grant to ensure that funds are used for the purpose for which the grant was made. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

12-9971-0-7-352; 12-1600-0-1-352.

Obligations:

(Salaries) FY 16 \$239,406,515; FY 17 est \$231,378,603; and FY 18 est \$204,155,600 - APHIS has a difference between budget authority and obligations because there is carryover funding available from no year funding.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1600.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Selected examples of progress: Brucellosis class free status States - 50 States and 3 Territories; Tuberculosis - number of States/Territories recognized as TB free - 49 States, 2 Territories. Fiscal Year 2017: Selected examples of progress: Brucellosis class free status States - 50 States and 3 Territories; Tuberculosis - number of States/Territories recognized as TB free - 49 States, 2 Territories. Fiscal Year 2018: Selected examples of progress: Brucellosis class free status States - 50 States and 3 Territories; Tuberculosis - number of States/Territories recognized as TB free - 49 States, 2 Territories.

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 2 CFR Part 200; Nonprocurement Debarment and Suspension 2 CFR 417; Requirements for Drug-Free Workplace", 2 CFR Part 421; "New Restrictions on Lobbying", 2 CFR Part 418; and Office of Management and Budget regulations governing "Controlling Paperwork Burdens on the Public", 5 CFR 1320

Regional or Local Office:

See Regional Agency Offices. Consult Appendix IV of the Catalog for

addresses of regional offices of the Animal and Plant Health Inspection Service.

Headquarters Office:

Eileen M. Berke, 4700 River Road, Unit 55, Riverdale, Maryland 20737 Email: eileen.m.berke@aphis.usda.gov Phone: (301) 851-2856

Website Address:

<http://www.aphis.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.028 Wildlife Services; 10.202 Cooperative Forestry Research; 10.207 Animal Health and Disease Research; 10.215 Sustainable Agriculture Research and Education; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.652 Forestry Research; 10.902 Soil and Water Conservation; 12.100 Aquatic Plant Control; 15.611 Wildlife Restoration

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.028 WILDLIFE SERVICES

FEDERAL AGENCY:

Animal and Plant Health Inspection Service, Department of Agriculture

AUTHORIZATION:

Animal Damage Control Act of 1931, 7 U.S.C 426,426b, 426c.

OBJECTIVES:

To reduce damage caused by mammals and birds and those mammal and bird species that are reservoirs for zoonotic diseases, (except for urban rodent control through control and research activities). Wherever feasible, humane methods will be emphasized.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Works closely with State departments of fish and game, agriculture, health, and counties in joint efforts to alleviate wild animal damage. Conduct surveys, campaigns to reduce wild animal damage including bird problems at airports, develop methods to control wild animal damage, and provide technical advice and assistance. For direct technical assistance, State fish and game departments should be contacted.

Applicant Eligibility:

State and local governments, federally recognized Indian tribal governments, public/private nonprofit organizations, nonprofit institutions of higher education, and individuals.

Beneficiary Eligibility:

States, local jurisdictions, U.S. Territorial government agencies, federally recognized Indian tribal governments, public and private institutions and organizations, farmers, ranchers, agricultural producers, and land/property owners benefit from Federal assistance in the control of nuisance mammals and birds and those mammal and bird species that are reservoirs for zoonotic diseases.

Credentials/Documentation:

Curriculum vitae for principal investigator, except for State, local, and Territorial government cooperators. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications are approved by the Administrator or authorized departmental officers (ADO's) upon determination that the project will contribute toward accomplishment of the Agency's overall mission and meet any established project evaluation/selection criteria.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 120 days.

Appeals:

Not Applicable.

Renewals:

Based on program needs and availability of annual funding.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to one year from the date of award. Funds are made available as required to cover expenditures. See the following for information on how assistance is awarded/released: Funds are provided to recipients when requests for advance or reimbursement are received and approved by the Agency.

Reports:

Program reports are not applicable. Cash reports are not applicable. No progress reports are required. SF-425, Federal Financial Report. Requirements are specifically indicated in the award documents and may vary for given programs. Narrative performance progress reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Instruction provided in the Notice of Award. Grantees are expected to maintain separate records for each grant to ensure that funds are used for the purpose for which the grant was made. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

12-1600-0-1-352.

Obligations:

(Salaries) FY 16 \$6,649,664; FY 17 est \$6,060,058; and FY 18 est \$5,333,154 - APHIS has a difference between budget authority and obligations because there is carryover funding available from no year funding.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1600.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 2 CFR Part 200; Nonprocurement Debarment and Suspension 2 CFR 417; Requirements for Drug-Free Workplace", 2 CFR Part 421; "New Restrictions on Lobbying", 2 CFR Part 418; and Office of Management and Budget regulations governing "Controlling Paperwork Burdens on the Public", 5 CFR 1320

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Eileen M. Berke, 4700 River Road, Unit 55, Suite 3B06.3, Riverdale, Maryland 20737 Email: eileen.m.berke@aphis.usda.gov Phone: (301) 851-2856

Website Address:

http://www.aphis.usda.gov

RELATED PROGRAMS:

10.025 Plant and Animal Disease, Pest Control, and Animal Care; 10.652 Forestry Research; 15.611 Wildlife Restoration

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Relevance to agency program mission and qualification of principle investigator and institution.

10.030 INDEMNITY PROGRAM

FEDERAL AGENCY:

Animal and Plant Health Inspection Service, Department of Agriculture

AUTHORIZATION:

Plant Protection Act, Title IV, Section 415(e); 9 CFR parts 50-54.

OBJECTIVES:

Animal and Plant Health Inspection Service administers regulations at 9 CFR parts 50 to 54 that authorizes payment for indemnities. This authority covers a wide variety of indemnity situations ranging from large livestock depopulations to small fowl depopulations, and there are various indemnity calculations and processes for determining the indemnity value for each specific species. The Secretary of Agriculture offers an opinion that constitutes an emergency and threatens the U.S. animal population. Payment for the destroyed animals is based on fair market value. Also, under Section 415 (e) of the Plant Protection Act (Title IV of Public Law 106-224), under a declaration of extraordinary emergency because of the presence of a plant pest or noxious weed that is new to or not known to be widely prevalent in the United States, the Secretary may pay compensation for economic losses incurred by as a result of actions taken under the authorities in this section (415).

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

Required documentation will be specified in the Declaration of Emergency issued by the Secretary of Agriculture. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. N/A

Award Procedure:

Required documentation will be specified in the Declaration of Emergency issued by the Secretary of Agriculture.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Time period of availability will be specified in the Declaration of Emergency issued by the Secretary of Agriculture. See the following for information on how assistance is awarded/released: Electronic Funds Transfer or paper check.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Record requirements will be specified in the Declaration of Emergency issued by the Secretary of Agriculture.

Account Identification:

12-1600-0-1-352.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$30,472,730; FY 17 est \$6,977,331; and FY 18 est \$6,977,331 - FY2018 estimate was made using FY2017 activities. Data was reviewed from FY2015-FY2017 and there was no identifiable trend/pattern. Also FY2015 and FY2016 had unforeseen outbreaks that cannot be anticipated for future years.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1600.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Donna Cichy 100 North 6th Street, Suite 510C
, Minneapolis, Minnesota 55403 Email: Donna.R.Cichy@aphis.usda.gov
Phone: 6123363261

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.051 COMMODITY LOANS AND LOAN DEFICIENCY PAYMENTS

Marketing Assistance Loans (MAL's) and Loan Deficiency Payments (LDP's)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Agricultural Act of 2014, Public Law 113-79.

OBJECTIVES:

To improve and stabilize farm income, to assist in bringing about a better balance between supply and demand of the commodities, and to assist farmers in the orderly marketing of their crops.

TYPES OF ASSISTANCE:

DIRECT LOANS; DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Commodity loans and loan deficiency payments (LDP's) give farmers a means of promoting more orderly marketing. Loans to producers may be "nonrecourse" which means that producers have the option of forfeiting the collateral to CCC at loan maturity in full satisfaction of the loan obligation; or "recourse" for low quality grain, or non-ginned seed cotton, which means that producers must repay the loans by maturity." If market prices are above the support level, producers may repay their loans at the original loan principal plus interest and market their commodities. When market prices are low, most nonrecourse commodity loan repayments are less than the original loan principal plus interest. Eligible commodities for loans are produced and harvested wheat, corn, grain sorghum, oats, barley, rice, peanuts, upland cotton, extra-long staple cotton, soybeans, crambe, canola, flaxseed, mustard seed, rapeseed, safflower, sunflower seed, sesame seed, dry peas, lentils, small and large chickpeas, graded and ungraded wool, honey, and sugar. LDP's are offered for produced and harvested wheat, corn, grain sorghum, oats, barley, upland cotton, rice, soybeans, crambe, canola, flaxseed, mustard seed, rapeseed, safflower, sunflower seed, sesame seed, peanuts, dry peas, lentils, small and large chickpeas, graded and ungraded wool, unshorn pelts, honey, hay, and silage. If the loan repayment rates for these commodities are less than the established loan levels, producers may, for most commodities that are eligible for a nonrecourse loan, agree to forego such loan and elect to receive an LDP. The LDP payment rate equals the amount by which the loan rate exceeds the loan repayment rate in effect at the time the LDP application is approved, or the delivery date, or date sold or date beneficial interest is lost, as applicable. The 2016 Consolidated Appropriations Act authorized producers the option of a commodity certificate exchange as a nonrecourse loan repayment mechanism. Effective for crop years 2015 through 2018, in situations when the loan rate exceeds the exchange rate, producers may opt to purchase a commodity certificate from the local FSA office and immediately exchange that certificate for their outstanding loan collateral.

Applicant Eligibility:

Owner, landlord, tenant, or sharecropper on an eligible farm that has produced the eligible commodities or, in the case of sugar, a processor or refiner who meets program requirements as announced by the Secretary.

Beneficiary Eligibility:

Owner, landlord, tenant, or sharecropper on a farm that has produced the eligible commodities, meets program requirements as announced by the Secretary, and maintains beneficial interest in the commodity. State and County governments may be eligible for MAL's and LDP's when they have a share in produced and harvested eligible commodities on land they own, if the benefits or payments are used to support public schools.

Credentials/Documentation:

The commodity must be produced and harvested by the producer, and the producer must meet program requirements as announced by the Secretary. Requirements include a record of the farming operation on file in the FSA county office and a complete acreage report to account for all cropland on the farm must be submitted for the applicable crop year. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. In the case of warehouse-stored commodities, producer or Cooperative Marketing Association presents warehouse receipts to the FSA county office (warehouse-stored peanut loans may be made through Designated Marketing Associations). In the case of farm-stored commodities (including

sugar), producer/processor or Cooperative Marketing Association requests a loan at the FSA county office.

Award Procedure:

Applications are approved by the FSA upon determination that applicant and commodity are eligible.

Deadlines:

Jan 31, 2018: Deadline for crop year 2017 peanuts and wool. Deadline for the year following year in which crop is normally harvested if as follows: Mar 31 - MAL's and LDP's are available for wheat, barley, oats, canola, flaxseed, crambe, rapeseed, sesame seed, and honey; May 31 - MAL's and LDP's are available for rice, corn, grain sorghum, cotton, soybeans, safflower, sunflower seed, mustard seed, small and large chickpeas, lentils, dry peas, and cotton; and Sept. 30 - Loans are available for sugar.

Range of Approval/Disapproval Time:

Approximately 3 days but could take from 15 to 30 days.

Appeals:

From 60 to 90 days. Applications may be reviewed by county, State, or national offices.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is generally available for 9 months or less, and is normally disbursed on a lump-sum basis. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Periodic and required spot checks of farm-stored grain will be made by the county FSA office. Recipients are subject to other audits by FSA and by the Office of Inspector General, USDA.

Records:

Not applicable.

Account Identification:

12-4336-0-3-351.

Obligations:

(Direct Loans) FY 16 \$6,560,230,313; FY 17 est \$6,914,144,153; and FY 18 est \$8,242,908,500. (Direct Payments with Unrestricted Use) FY 16 \$212,967,255; FY 17 est \$46,564,581; and FY 18 est \$74,570,000 - Loan Deficiency Payments.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations published in the Federal Register 7 CFR, Chapter XIV, Parts 1421, 1425, 1427, 1434, and 1435; announcements issued to news media and letters to producers; " FSA Commodity Fact Sheets, " no cost: The Price Support Program." ; Farm Service Agency, Department of Agriculture, STOP 0506, 1400 Independence Avenue S.W., Washington, DC 20250-0506.

Regional or Local Office:

None. Consult the local telephone directory for location of the FSA county office. If no listing, get in touch with appropriate FSA State office listed under the Farm Service Agency section of Appendix IV of the Catalog.

Headquarters Office:

DeAnn Allen 1400 Independence Avenue, SW, Stop 0510, Washington, District of Columbia 20250-0510 Email: deann.allen@wdc.usda.gov Phone: (202) 720-9889

Website Address:

<http://www.fsa.usda.gov/programs-and-services/price-support/Index>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.053 DAIRY INDEMNITY PROGRAM

DIPP

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Act of August 13, 1968, 82 Stat. 750, 7 U.S.C 450j-450l.

OBJECTIVES:

To protect dairy farmers and manufacturers of dairy products who through no fault of their own, are directed to remove their milk or dairy products from commercial markets because of contamination from pesticides which have been approved for use by the Federal government. Dairy farmers can also be indemnified because of contamination with chemicals or toxic substances, nuclear radiation or fallout.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Fair market value for the milk is paid to the dairy farmer who is unable to market because of any of the violating substances, and the fair market value of the dairy product is paid to the manufacturer who is unable to market because of pesticide residue. No payment may be made to any dairy farmer or any manufacturer whose milk or dairy product was removed from the market as a result of his negligence or his willful failure to follow procedures prescribed by the Federal government.

Applicant Eligibility:

Dairy farmers whose milk has been removed from the market by a public agency because of residue of any violating substance in such milk. Manufacturers of dairy products whose product has been removed from the market by a public agency because of pesticide residue in such product. This program is also available in Puerto Rico.

Beneficiary Eligibility:

Dairy farmers whose milk has been removed from the market by a public agency because of residue of any violating substance in such milk. Manufacturers of dairy products whose product has been removed from the market by a public agency because of pesticide residue in such product. This program is available in Puerto Rico.

Credentials/Documentation:

In the case of a dairy farmer, the notice removing the milk from the market along with a record of past marketing records for milk to determine the quantity and value of the milk not marketed, the violating substance involved and the uses of such violating substances during the previous 24 months. In the case of the manufacturer of dairy products, the notice removing the product from the market and sufficient data to determine the value of the product. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Producers must file an application for payment on Form FSA-373 with the local county FSA office. Manufacturers must file information on the cause and amount of their loss with the local county FSA office.

Award Procedure:

Initial approval is made by the county FSA committee. Final approval is made by the Price Support Division in Washington, DC.

Deadlines:

Feb 07, 2014 to Dec 31, 2018 Claims must be filed by December 31 following the fiscal year in which the loss is incurred.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days.

Appeals:

Applicants may appeal to the county Farm Service Agency Committee and to the FSA, Department of Agriculture, Appeals and Litigation Group, 1400 Independence Avenue, SW., Washington, DC 20250-0570.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Payment is made by Commodity Credit Corporation (CCC) check after claim approval. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

The dairy farmer and the manufacturer of dairy products must keep any records in applying for a payment for 3 years following the year in which an application for payment was filed.

Account Identification:

12-3314-0-1-351.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$161,410; FY 17 est \$465,500; and FY 18 est \$467,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3314.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations were published in the Federal Register, 7 CFR, 760, and announced through the news media, Handbook 3-LD, Circulars and regulations issued by FSA.

Regional or Local Office:

See Regional Agency Offices. Consult the local telephone directory for location of the county FSA office. If no listing, contact the appropriate State FSA office listed under the Farm Service Agency section of Appendix IV of the Catalog.

Headquarters Office:

Danielle Cooke 1400 Independence Avenue, SW, Washington, District of Columbia 20250-0512 Email: danielle.cooke@wdc.usda.gov Phone: (202)

720-1919

Website Address:

<http://www.fsa.usda.gov/programs-and-services/price-support/Index>

RELATED PROGRAMS:

10.500 Cooperative Extension Service

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.054 EMERGENCY CONSERVATION PROGRAM

(ECP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Agricultural Credit Act of 1978, Public Law 95-334, 92 Stat. 420, 16 U.S.C 2201-2205.

OBJECTIVES:

To enable farmers to perform emergency conservation measures to control wind erosion on farmlands, to rehabilitate farmlands damaged by wind erosion, floods, hurricanes, or other natural disasters and to carry out emergency water conservation or water enhancing measures during periods of severe drought.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Following a natural disaster, the county FSA committee determines, with concurrence from the State FSA committee, to make the program available in the county. Emergency cost-sharing is limited to new conservation problems created by natural disasters which, if not treated will impair or endanger the land, materially affect the productive capacity of the land, represent damage that is unusual in character and, except for wind erosion, is not the type that would recur frequently in the same area and will be so costly to rehabilitate that Federal assistance is or will be required to return the land to productive agricultural use. Eligible drought situations for water enhancing measures must be determined by the Deputy Administrator for Farm Programs, FSA.

Applicant Eligibility:

Any agricultural producer who as owner, landlord, tenant, or sharecropper on a farm or ranch, including associated groups, and bears a part of the cost of an approved conservation practice in a disaster area, is eligible to apply for cost-share conservation assistance. This program is also available in American Samoa, Guam, Commonwealth of the Northern Mariana Islands, Puerto Rico, and the Virgin Islands.

Beneficiary Eligibility:

Any agricultural producer who as owner, landlord, tenant, or sharecropper on a farm or ranch, including associated groups, and bears a part of the cost of an approved conservation practice in a disaster area, is eligible to apply for cost-share conservation assistance. This program is also available in American Samoa, Guam, Commonwealth of the Northern Mariana Islands, Puerto Rico, and the Virgin Islands.

Credentials/Documentation:

Identification as an eligible person and proof of contribution to the cost of performing the conservation practice. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Eligible persons may submit an application on Form AD-245, for cost-sharing, at the county FSA office for the county in which the affected

land is located. .

Award Procedure:

The county FSA committee reviews, prioritizes, and may approve applications in whole or in part. Approvals cannot exceed the county allocation of Federal funds for that purpose.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. From 2 to 3 weeks.

Appeals:

Participants may appeal to county FSA committee, State FSA committee, or National Appeals Division (NAD) on any determination. Matters that are generally applicable to all producers are not appealable.

Renewals:

Certain approvals may be extended by the FSA county committee, when necessary, with proper justification.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Practice cost-share approvals are given on a fiscal year basis. The approvals specify the time that the practice must be carried out. Payment is by check or electronic funds transfer following completion of the measure. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Maintained in the county FSA office and Federal record centers for a specified number of years.

Account Identification:

12-3316-0-1-453.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$71,000,000; FY 17 est \$90,000,000; and FY 18 est \$60,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3316.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations published in the Federal Register at 7 CFR, Part 701. Program is announced through the news media in the county area designated as a disaster area.

Regional or Local Office:

See Regional Agency Offices. Farmers are advised to contact their local county FSA office after a natural disaster has occurred to determine whether the program is available in the county and to determine eligibility for emergency cost-share assistance. Consult the local telephone directory for location of the county FSA office. If no listing, get in touch with the appropriate State FSA office listed in the Farm Service Agency section of Appendix IV of the Catalog.

Headquarters Office:

Martin Bomar 1400 Independence Ave. SW, Washington, District of Columbia 20250 Email: Martin.Bomar@wdc.usda.gov Phone: 202-205-4537 Fax: 202-720-4619

Website Address:

<http://www.fsa.usda.gov/programs-and-services/conservation-programs/emergency-conservation/index>

RELATED PROGRAMS:

10.102 Emergency Forest Restoration Program ; 10.404 Emergency Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.056 FARM STORAGE FACILITY LOANS

FSFL and SSFL

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Title 1, Part Subtitle 5, Section 1614, 7 U.S.C 7971, 8789, as amended by Food, Conservation, and Energy Act of 2008, Title 1, Section 1402; CCC Charter Act, 15 U.S.C 714.

OBJECTIVES:

The Farm Storage Facility Loan (FSFL) Program provides low-interest financing for producers to build, upgrade, or acquire farm storage and handling facilities, storage and handling equipment and trucks. The following commodities are eligible for on-farm storage, drying and handling equipment: corn, grain sorghum, rice, soybeans, oats, peanuts, wheat, barley, or minor oilseeds harvested as whole grain; corn, grain sorghum, wheat, oats or barley harvested as other-than-whole grain; pulse crops (lentils, chickpeas and dry peas); hay; honey; renewable biomass; fruits (includes nuts) and vegetables - cold storage facilities; aquaculture (excluding systems that maintain live animals through uptake and discharge of water); floriculture; hops; milk; rye; meat and poultry (unprocessed); eggs; cheese, butter and yogurt. The loan must be approved by the local FSA state or county committee before any site preparation, construction, and/or acquisition can be started. All loan requests are subject to an environmental evaluation. Accepting delivery of equipment, starting any site preparation, or construction before loan approval, may impede the successful completion of an environmental evaluation and may adversely affect loan eligibility.

The SSFL program provides loans to processors of domestically produced sugarcane and sugar beets for the construction or upgrading of storage and handling facilities for raw sugars and refined sugars.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

A FSFL is used to finance the purchase, construction, and/or upgrade of storage structures, storage and handling equipment and trucks--all eligible items may be new or used, permanently affixed or portable. The loan amount is limited to \$500,000 per storage facility for a regular loan and a \$50,000 aggregate balance for microloans.

A SSFL will be used for the purchase and installation of eligible storage facilities, permanently affixed handling equipment or the remodeling of existing facilities to store raw or refined sugar. Eligible facilities and equipment include: 1) New conventional type bins or silos; 2) New flat-type storage structures, including a permanent concrete floor and bulkheads; 3) New storage structures; 4) New electrical equipment; 5) New equipment to improve, maintain, or monitor the quality of storage sugar; and 5) New concrete foundations, aprons, pits and pads, including site preparation, labor and material. The following are security requirements for farm storage facility loans: All loans must be secured by a promissory note and security agreement, as well as a UCC-1 describing the storage facility and accompanying equipment; and severance agreements from all lien holders on the real estate where the facility will be located or from owners of real estate when the loan applicant is not the landowner, except when CCC holds the first lien on the real estate. For loans with an aggregate outstanding loan balance less than \$100,000, severance agreements will not be required if the borrower increases the down payment from 15 percent to 20 percent. For loans that exceed \$100,000 or the

borrower's aggregate outstanding loan balance exceeds \$100,000, the borrower must be able to provide at least one of the following: A first lien on the real estate on which the facility is located; Real estate owned by the borrower other than where the facility is located, provided the real estate offered is sufficient to secure the loan; or An irrevocable letter of credit from a financial institution in an amount sufficient to protect CCC's interest for each year the loan has an outstanding balance. Facility Loan Terms. The following are the terms for farm storage facility loans: A 15 percent minimum down payment is required for regular loans and 5 percent for microloans; thus, CCC's loan is limited to 85 percent (for regular loans), or 95 percent (for microloans), of the net cost of the eligible storage facility and permanent drying and handling equipment (subject to the applicant's storage needs test). The down payment cannot include any trade-in, discount, rebate, deferred payment, or post-dated check. Loan terms available are three (3), five (5), seven (7) years, ten (10) years or twelve (12) years depending on the amount of the loan. Interest rate is fixed for the loan term based on the rate in effect during the month the loan is initially approved. The interest rate is equivalent to the rate of interest charged on Treasury Securities of comparable term and maturity. Loans are to be repaid in equal amortized annual installments. Loan will not be disbursed until after the purchase or the facility has been erected and inspected with the exception of one (1) qualifying partial disbursement, once 50 percent of the facility has been completed at a maximum amount of 50 percent of the approved loan amount, not to exceed \$250,000. Cost of Obtaining a Loan. Each applicant will be charged a nonrefundable \$100 application fee. CCC will pay all collateral lien searches and recording fees for filing Form UCC-1 and credit reports. Applicants pay all other fees, such as severance agreements, attorney fees, real estate lien search fees, and instrument filing fees. For loans that require additional security and/or are greater than \$50,000, applicants will be required to pay the cost of obtaining a title search/opinion or title insurance. Persons Required to Sign the Note. The following persons are required to sign the loan agreement: For sole proprietorships and joint ventures, all individuals, including spouses, if applicable. For general partnerships, any member unless the Articles of Partnership are more restrictive. For corporations and limited partnerships, an individual with signature authority on file with FSA.

The maximum term for a SSFL is 15 years. No extensions will be granted. Additional terms and conditions are set forth in the loan application and note and security agreement that a processor must execute to receive a loans.

Applicant Eligibility:

A FSFL eligible borrower is any person who, as landowner, landlord, operator, producer, tenant, leaseholder, or sharecropper: (1) Has a satisfactory credit history and demonstrates an ability to repay the debt arising under this program using a financial statement acceptable to CCC prepared within 90 days of the date of application; (2) has no delinquent Federal debt defined by the Debt Collection Improvement Act of 1996 at the time of loan disbursement; (3) is a producer of a facility loan commodity as defined by CCC; (4) demonstrates a need for storage capacity as defined by CCC; (5) provides proof of crop insurance offered under the Federal Crop Insurance Program for crops of economic significance on all farms operated by the borrower in the county where the storage facility is located; (6) is in compliance with USDA provisions for highly erodible land and wetlands provisions according to 7 CFR Part 12; (7) demonstrates compliance with any applicable local zoning, land use, and building codes for the applicable farm storage facility structures; (8) provides proof of flood insurance if CCC determines such insurance is necessary to protect the interests of CCC, and proof of all peril structural insurance, to CCC annually; (9) demonstrates compliance with the National Environmental Policy Act regulations at 40 CFR, Parts 1500- 1508; and (10) has not been convicted under Federal or State law of a controlled substance violation under 7 CFR Part 718.

An eligible producer is the owner of a part or all of the domestically-grown sugar beets or sugarcane, including share rent landowners, at both the time of harvest and the time of delivery to the processor.

A sugar beet or sugarcane processor is eligible for loans only if the processor has agreed to all the terms and conditions in the loan application, and has executed a note and security agreement, and storage agreement with the Commodity Credit Corporation (CCC). Processors must: 1) Have a satisfactory

credit history; 2) Demonstrate a need for increased storage capacity; 3) Demonstrate compliance with an applicable local zoning, land use and building codes; 4) Annually provide CCC proof of all-peril insurance on the structure; 5) Demonstrate compliance with the National Environmental Policy Act; 6) Not have been convicted under federal or State law of disqualifying controlled substance violation; and 7) Be approved by CCC to store sugar either owned or pledged as security to CCC.

SSFL must be approved by the local FSA state or county committee before any site preparation, construction, and/or acquisition can be started. All loan requests are subject to an environmental evaluation. Accepting delivery of equipment, starting any site preparation, or construction before loan approval, may impede the successful completion of an environmental evaluation and may adversely affect loan eligibility.

Beneficiary Eligibility:

Applicants/borrowers are the direct beneficiaries when they meet all eligibility criteria. Landowners, landlords, operators, producers, tenants, leaseholders, or sharecroppers are the beneficiaries. The authorized SSFL will be used by the processor for the construction or upgrading of storage and handling facilities for raw sugars and refined sugars.

Credentials/Documentation:

Applicants must establish that they have a need for the storage capacity for for structures. There is no need to establish the storage need for handling equipment and trucks. The applicant must establish that he/she has the ability to repay the loan. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. FSFL Application Form CCC-185 provided by the Farm Service Agency must be presented, with supporting information, to the FSA county office serving the applicant's county. FSA personnel assist applicants in completing their application forms.

The SSFL processor must file a loan obligation with the State committee of the State where the processor is headquartered or with a county committee designated by the State committee. The processor must execute a note and security agreement, and storage agreement with CCC and provide quantity and quality information of the commodity to be used as collateral.

Award Procedure:

FSA State and County Committees are authorized to approve a FSFL after applicants are determined eligible. SSFL processor must pay a loan service fee (determined by CCC) before loans are disbursed until the sugar and in-process sugar have actually been produced and guaranteed as being eligible to be pledged as loan collateral.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The approval process may take from 2 to 6 weeks.

Appeals:

Applicants for loans may appeal adverse actions taken against them. The applicant is given an opportunity to appeal the decision to the National Appeals Division. Appeal regulations are set forth in parts 11 and 789 of 7CFR.

Renewals:

The loan term is 3, 5, 7, 10, or 12 years. The term of the loan may be extended only as an authorized exception. The loan is repaid in equal annual installments of principal and interest amortized over the loan term.

Formula and Matching Requirements:

Statutory Formula: Title Title 7 Agriculture, Chapter XIV, Part 1436, Public

Law 110-236.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Applicants shall report, by the 20th of each month, on CCC-required forms, its imports and receipts, processing inputs, production, distribution, stocks, and other information necessary to administer the sugar programs. If the 20th of the month falls on a weekend or a Federal holiday, the report shall be due the next business day.

By November 20 of each year, applicants will submit to CCC a report, as specified by CCC, from an independent Certified Public Accountant that reviews its information submitted to CCC during the previous October 1 through September 30 period. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Borrowers are required to annually submit proof, as applicable, of crop insurance, auto insurance, flood insurance, property insurance, hazard insurance, and property taxes. Applicants having custody of records required by CCC to operate FSFL and SSFL programs must retain financial books and records and other written or electronic data for not less than 3 years from the date a loan is disbursed, market data's are reported; or marketing's are conducted under marketing allotments.

Account Identification:

12-3301-0-1-351 - Account Identification for SSFL; 12-4158-0-3-351 - Account Identification for FSFL.

Obligations:

(Direct Loans) FY 16 Not Available; FY 17 est \$309,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3301; 12-4158.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

(1) A factsheet, press release, forms, and directives are available. Regulations at 7 CFR Part 1436 were published in the Federal Register under a final rule on August 18, 2009, amended on March 10, 2014 and on April 29, 2016.

Regional or Local Office:

See Regional Agency Offices. Consult the appropriate FSA State office listed in Appendix IV of the Catalog.

Headquarters Office:

Toni D. Williams USDA-FSA-PSD, Stop 0512, 1400 Independence Ave., SW, Washington, District of Columbia 20250-0512 Email: toni.williams@wdc.usda.gov Phone: 202-720-2270 Fax: 202-690-3307

Website Address:

<http://www.fsa.usda.gov/FSA/webapp?area=home&subject=prsu&topic=flp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.069 CONSERVATION RESERVE PROGRAM

(CRP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985, as amended, Section 1231, 16 U.S.C 3831-3835a.

OBJECTIVES:

To protect the Nation's long-term capability to produce food and fiber; to reduce soil erosion and sedimentation, improve water quality, and create or enhance habitat for wildlife.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Eligible owners or operators may place highly erodible or other environmentally sensitive land into a 10-15 year contract. The participant, in return for annual payments, agrees to implement a conservation plan approved by the local conservation district for converting highly erodible cropland or other environmentally sensitive land to a long-term resource conserving cover i.e., eligible land must be planted with a vegetative cover, such as, perennial grasses, legumes, fobs, shrubs, or trees. Financial and technical assistance are available to participants to assist in the establishment of a long-term resource conserving cover.

Applicant Eligibility:

An individual, partnership, association, Indian Tribal ventures corporation, estate, trust, other business enterprises or other legal entities and, whenever applicable, a State, a political subdivision of a State, or any agency thereof may submit an offer to enroll acreage.

Beneficiary Eligibility:

If their offer is accepted for enrollment, an individual, partnership, association, Indian Tribal ventures, corporation, estate, trust, other business enterprises or other legal entities and, whenever applicable, a State, political subdivision of State, or any agency thereof may earn benefits.

Credentials/Documentation:

The land must be owned or operated for not less than 12 months prior to the close of the signup period, unless the land was acquired by will or succession or FSA determines that ownership was not acquired for the purpose of placing the land in the CRP. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. FSA has three methods for enrolling acreage in the CRP. One method is a continuous signup process where acreage suitable for certain environmental priority practices, including but not limited to grass waterways, riparian buffers or filterstrips, and acreage within wellhead protection areas may be offered and accepted without going through a competitive offer process. The second method is similar to continuous signup and is available only in distinct geographic areas. These areas are targeted by FSA and State governments under partnership agreements.

The third method is to offer acreage during a general signup period where eligible offers to enroll highly erodible and other environmentally sensitive acreage are submitted and ranked competitively based on an environmental benefits index. Regardless of the method of enrollment, the local FSA office that serves the area in which the farm or ranch is located will provide the maximum payment rate CCC will accept to enroll certain acreage in the program. Producers may submit a rental rate per acre offered that may be equal to or less than CCC's maximum payment rate.

Award Procedure:

FSA will notify persons whose offers are determined acceptable as soon as practicable after the close of the signup.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 7 to 120 days.

Appeals:

Producers may appeal any determination to county FSA committee, State committee, or National Appeals Division. Matters that are generally applicable to all producers are not appealable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual rental payments will be made for 10-15 years. If cost-share assistance to establish the appropriate cover was requested, a payment will be made after the practice is successfully established according to applicable guidelines. FSA may provide certain incentives for restoring wetlands or other lands. See the following for information on how assistance is awarded/released: Annually and based on performance.

Reports:

Not Applicable.

Audits:

No audits are required for this program.

Records:

Maintained in county FSA office and Federal Record centers for a specified number of years.

Account Identification:

12-4336-0-3-999; 12-3319-0-1-302.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$2,120,000,000; FY 17 est \$2,133,000,000; and FY 18 est \$2,236,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336-015.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the county. Regulations published in the Federal Register, 7 CFR Part 1410.

Regional or Local Office:

See Regional Agency Offices. Consult the local telephone directory for location of the county FSA office, under U.S. Government, Department of Agriculture. If no listing, contact the appropriate State FSA office listed in the FSA section of Appendix IV of the Catalog.

Headquarters Office:

Beverly Preston 1400 Independence Ave. SW, Washington, District of Columbia 20250 Email: Beverly.Preston@wdc.usda.gov Phone: 202-720-9563 Fax: 202-720-4619

Website Address:

<http://www.fsa.usda.gov/programs-and-services/conservation-programs/conservation-reserve-program/index>

RELATED PROGRAMS:

10.054 Emergency Conservation Program; 10.072 Wetlands Reserve Program; 10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.072 WETLANDS RESERVE PROGRAM

(WRP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985 (This program was repealed by the Agricultural Act of 2014, effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.), Title XII, Part Subtitle D, Section 1237, Public Law 99-198, 99 Stat. 1504, 16 U.S.C 3831.

OBJECTIVES:

To assist landowners in restoring and protecting wetlands on eligible lands on which they agree to enter into a permanent or 30-year long-term easement (30-year contract for Indian Tribes), or a restoration cost-share agreement with the Secretary. The goal of WRP is to maximize wetland functions and values and wildlife benefits on every acre enrolled in the program.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Eligible landowners may offer farmed wetlands, prior converted wetlands, wetlands farmed under natural condition, former or degraded wetlands on lands that have been used or are currently being used for the production of food and fiber, including cropland, rangeland and forest production land, lands substantially altered by flooding, certain riparian areas, along with certain adjacent areas. The goal of the WRP is to achieve the greatest wetlands functions and values, along with optimum wildlife habitat on every acre enrolled in the program. At least 70 percent of the wetland and upland areas will be restored to the natural condition to the extent practicable; the remaining 30 percent of the project area may be restored to other than natural conditions. Enrollment options include permanent easements, 30-year easements, restoration cost-share agreements, and, for acreage owned by Indian Tribes, 30-year contracts. To be eligible for participation, land must be restorable and be suitable for wildlife benefits. Participating landowners must comply with the terms and conditions of their easement, agreement or contract for the duration of the document. For easement projects, landowners shall ensure the easement is superior to the rights of all others and shall agree to implement a wetland restoration plan designed to restore and maintain the easement area. Landowners must agree to a permanent retirement of crop acreage bases, allotments, and quotas to the extent that the sum of the crop acreage bases and allotments will not exceed the remaining cropland on the farm.

Participating landowners receive financial and technical assistance to install necessary restoration practices as follows:

Permanent easements: Easement duration is in perpetuity. Landowners receive an easement payment after the easement is filed. In addition, NRCS shall share the cost of carrying out the establishment conservation measures and practices, and the protection of wetland functions and values, including necessary maintenance activities, to the extent that the Secretary determines that cost-sharing is appropriate and in the public interest.

30-year easements: Easement duration is 30 years. Landowners receive an easement payment after the easement is filed that is the equivalent of 75 percent of the value for a permanent easement and up to 75 percent of the eligible restoration costs.

Restoration cost-share agreements: Restoration cost-share agreements are made available to participating landowners as an alternative mechanism to restore wetlands without requiring the participant to sell an easement. Agreements are generally for a 10-year period, although longer agreement periods may be required for unique projects that are funded at a higher level. There is no easement payment; however, NRCS pays up to 75 percent of the eligible restoration costs.

30-year contracts: Acreage owned by Indian Tribes can be enrolled through the use of a 30-year contract which shall be equivalent in value to a 30-year

easement.

For both permanent and 30-year easements, WRP pays for all the overhead costs associated with recording the easement in the local land records office including recording fees, charges for title abstracts, surveys, appraisal fees, records searches, and title insurance associated with acquiring an easement. These overhead costs are generally not paid to participants but are provided directly to the vendor performing the service. Therefore, payments appearing on USAspending.gov will be reflective of payments to participants and payments to vendors for services associated with restoration and management activities and administrative costs associated with recording an easement.

Eligible applicants must be in compliance with the highly erodible land and wetland conservation provisions in 7 CFR part 12 and the Adjusted Gross Income provisions in 7 CRP part 1400. They must be the landowner of the eligible land being offered for participation. For easement applications, the applicant must have owned the land for the 7-year period prior to the time the land is determined eligible for enrollment unless it is determined that the land was acquired by will or succession as a result of death of the previous owner; the ownership change occurred due to foreclosure and the owner of the land immediately before foreclosure exercises a right of redemption from the mortgage holder; or the land was acquired under circumstances that give adequate assurances, as determined by NRCS that such land was not acquired for the purposes of placing it in the program.

Applicant Eligibility:

An individual landowner, partnership, association, corporation, estate, trust, other business or other legal entities and Indian Tribe. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. Implementation of contracts entered into prior to that date will continue.

Beneficiary Eligibility:

An individual landowner, partnership, association, corporation, estate, trust, other business enterprises or other legal entities and Indian Tribe. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. Implementation of contracts entered into prior to that date will continue.

Credentials/Documentation:

The landowner must have owned the land offered for at least the preceding 7 years prior to the end of the period in which the intent to participate in an easement is declared unless the land was acquired by will or succession as a result of the death of the previous owner; or the Department determines that the new owner did not acquire such land for the purpose of placing it in the WRP. The 7 year requirement is not applicable to restoration agreement. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. Implementation of contracts entered into prior to that date will continue.

Award Procedure:

This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. Implementation of contracts entered into prior to that date will continue.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. Implementation of contracts entered into prior to that date will continue.

Appeals:

Landowner may appeal certain determinations to the National Appeals Division.

Renewals:

Not applicable. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. Implementation of contracts entered into prior to that date will continue.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. Implementation of contracts entered into prior to that date will continue.

Lump sum payments of no less than 5 nor more than 30 annual payments of equal or unequal value are made for easements. Cost-share payments of 100 percent of the cost of implementing the Wetland Restoration Plan will be paid for a permanent easement with 75 percent of permanent easement amounts being paid for 30-year easements, 30-year contracts and restoration cost-share agreements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cash easement payments will be made in a lump sum amount or in annual installments beginning at closing. Cost share payments for implementation of easement practices will be made when a specific practice has been implemented by either the landowner or contractor. See the following for information on how assistance is awarded/released: see above.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

Records will be maintained in the county NRCS office, State NRCS office and Federal Record Centers for the length of the agreement. The easement (deed restriction) and applicable documents will be filed in the local land records office for the duration of the easement. Agreements are filed with the Agency.

Account Identification:

12-4336-0-1-302; 12-1080-0-1-302; 12-1004-0-1-302.

Obligations:

(Salaries) FY 16 \$14,591,000; FY 17 est \$28,748,000; and FY 18 est \$0. (Cooperative Agreements) FY 16 \$39,735,000; FY 17 est \$137,423,000; and FY 18 est \$136,000,000

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations published in the Federal Register and 7 CFR XIV.

Regional or Local Office:

See Regional Agency Offices. Consult the local telephone directory for location of the NRCS office. If no listing, contact the appropriate State NRCS office listed in the NRCS Section of Appendix IV of the Catalog.

Headquarters Office:

John Rissler 1400 Independence Ave., Washington, District of Columbia 20013
Email: John.Rissler@wdc.usda.gov Phone: 202-720-3524

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/main/national/programs/easements/wetlands/>

RELATED PROGRAMS:

10.069 Conservation Reserve Program; 10.904 Watershed Protection and Flood Prevention; 10.913 Farm and Ranch Lands Protection Program; 10.920 Grassland Reserve Program; 10.931 Agricultural Conservation Easement Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. Implementation of contracts entered into prior to that date will continue.

10.087 BIOMASS CROP ASSISTANCE PROGRAM

(BCAP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Supplemental Appropriations Act, 2010, Title 1, Part Chapter 1, Section 101, Public Law 111-212; The Food, Conservation, and Energy Act of 2008, Title IX, Section 9001, Public Law 110-246; The Farm Security and Rural Investment Act of 2002, Title IX, 7 U.S.C 8101.

OBJECTIVES:

The purpose of BCAP is to encourage the production of biofuels. BCAP accomplishes this by providing funding for agricultural and forest land owners and operators to receive matching payments for certain eligible material sold to qualified biomass conversion facilities for conversion to heat, power, bio based products, or advanced biofuels. It also provides funding for producers of eligible crops of renewable biomass within specified project areas to receive establishment payments and annual payments.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

BCAP is intended to encourage the production of biofuels by assisting agricultural and forest land owners and operators with the establishment and production of eligible crops in selected project areas for conversion to bioenergy, and with the collection, harvest, storage, and transportation of eligible material for use in a biomass conversion facilities.

Applicant Eligibility:

To be eligible to enter into a BCAP contract for the purposes of receiving an annual payment or establishment payments, a person or legal entity must be an owner, operator, or tenant of eligible land within a project area. Eligible land must be agricultural land or nonindustrial private forest land. Eligible agricultural land includes cropland; grassland; pastureland, rangeland, hayland, and other land on which food fiber, or other agricultural products are produced or capable of being legally produced for which a valid conservation plan exists and is implemented. Ineligible land is as follows: (1) Federal lands; (2) State-owned, municipal, or other local government-owned lands; (3) Native sod; and (4) Land that is already enrolled in CCCs CRP, Wetlands Reserve Program, or Grassland Reserve Program. Eligible crops for annual and establishment payments are renewable plant materials such as feed grains, other agricultural commodities, or other plants and trees, and algae; waste materials

including vegetative waste, materials, such as woods wastes and wood residues, animal waste and byproducts, such as fats, oils, greases, and manure, food waste, and yard waste. Ineligible crops are any crop eligible to receive payments under Title I of the Food, Conservation, and Energy Act of 2008 (See 8-LP, paragraph 126 and 7-CN for Title I commodities); and any plant that is invasive or noxious or has the potential to become invasive or noxious. Eligible materials for matching payments include various types of renewable biomass collected or harvested directly from the land in accordance with an approved conservation plan, forest stewardship plan, or an equivalent plan before transport and delivery to the biomass conversion facility. Materials will only be eligible if USDA determines that there is no higher value use for that material within a reasonable distance of the biomass conversion facility.

Matching payments are not available for the following products:

(1) Material that is whole grain from any crop that is eligible to receive; payments under Title I of the Food, Conservation, and Energy Act of 2008 or an amendment made by that title, including, but not limited to, barley, corn, grain sorghum, oats, rice, or wheat; honey; or material that is mohair; certain oilseeds such as canola, crambe, flaxseed, mustard seed, rapeseed, safflower seed, soybeans, sesame seed, and sunflower seeds; peanuts; pulse crops such as small chickpeas, lentils, and dry peas; dairy products; sugar; wool; and cotton boll fiber; (2) Animal waste and by-products of animal waste including fats, oils, greases, and manure; (3) Food waste and yard waste; and (4) Algae.

All eligible material must be harvested or collected directly from the land by the eligible material owner according to a conservation, forest stewardship, or equivalent plan and be separated from the higher value product before the point of delivery. Woody biomass harvested or collected outside of project area contracts is limited to eligible material resulting from preventative treatments to address fire fuel load reduction, insect or disease outbreaks, or restore ecosystem health. Woody biomass harvested or collected outside of project area contracts is limited to eligible materials that do not have an existing market for non-biomass use and cannot be co-mingled with higher product value materials. Eligible material owner, for purposes of the matching payment, means a person or entity having the right to collect or harvest eligible material, who has the risk of loss in the material that is delivered to an eligible facility and who has directly or by agent delivered or intends to deliver the eligible material to a qualified biomass conversion facility, including: (1) For eligible material harvested or collected from private lands, including cropland, the owner of the land, the operator or producer conducting farming operations on the land, or any other person designated by the owner of the land; and (2) For eligible material harvested or collected from public lands, a person having the right to harvest or collect eligible material pursuant to a contract or permit with the US Forest Service or other appropriate Federal agency, such as a timber sale contract, stewardship contract or agreement, service contract or permit, or related applicable Federal land permit or contract, and who has submitted a copy of the permit or contract authorizing such collection to CCC. Eligible facilities are biomass conversion facilities that convert or propose to convert renewable biomass into heat, power, bio based products, or advanced biofuels and have been approved for the BCAP program by the CCC.

Beneficiary Eligibility:

Owners and operators of agricultural and non-industrial private forest land will receive the ultimate benefits because it will provide financial assistance to establish, produce, and deliver biomass feedstocks. Eligible material owners who are the person or entity having the right to collect or harvest eligible material, who has the risk of loss in the material that is delivered to an eligible facility and who has directly or by agent delivered or intends to deliver the eligible material to a qualified biomass conversion facility.

Credentials/Documentation:

In order to enroll land in BCAP, the participant must enter into a contract with the Commodity Credit Corporation (CCC). Acceptance or rejection will be at the sole discretion of CCC, and offers may be rejected for any reason as determined appropriate to accomplish the purposes of BCAP. Contracts will be for a term of up to five years for annual and non-woody perennial crops and 15 years for woody perennial crops; or time period may vary due to type of crop, agronomic conditions, and other factors. Eligible material owner who enter into a contract with CCC may receive matching payments up to a period of two-years following the first payment for the delivery of eligible material to a

qualified biomass conversion facility. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

FSA prepared a Final Programmatic Environmental Impact Statement (PEIS) for BCAP and NOFA and was published in the Federal Register on June 25, 2010 (75 FR 36386). On September 15, 2011, FSA published the BCAP interim rule (76 FR 56949). The BCAP interim rule provided FSA with the authority to prioritize establishment and annual payments (BCAP project areas) and if sufficient funding remains, to consider applications for matching payments. The interim rule also enables prioritization among project area proposals if eligible requests exceed available funding. Future funding for BCAP could make such prioritizing unnecessary. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. In order for a delivery of eligible materials to a biomass conversion facility to qualify for a BCAP payment, the receiving biomass conversion facility must be qualified for BCAP. To become qualified, the biomass conversion facility must enter into an agreement with CCC, through the FSA State office in the State where the facility is physically located. For any facility, whether or not yet in operation, the entity requesting that a facility become qualified must provide proof of all applicable Federal, State, local, and Tribal permits and licenses required for operation or proof of application completions or letters of renewal submissions from the applicable governmental entity. Applicable permits and licenses may include, but are not limited to, business licenses, air quality permits, water discharge permits, storm water permits, or Bureau of Alcohol, Tobacco, Firearms and Explosives registrations. Each biomass conversion facility must enter into a separate agreement with CCC regardless of whether a single owner has multiple facilities. CCC will issue a unique facility identification number to each qualifying biomass conversion facility. Eligible material owners must apply at an FSA county office and receive approval for that application before delivering the eligible material to the qualified biomass conversion facility. Applications must include the following based on information obtained from contracts, agreements, or binding letters of intent: (1) An estimate of the total dry tons of eligible material expected to be sold to the qualified biomass conversion facility; (2) The type(s) of eligible material that is expected to be sold; (3) The name of the qualified biomass conversion facility that will purchase the eligible material; (4) The expected, fair market, per dry ton payment rate the owner plans to receive for the delivery of the eligible material; (5) The date or dates the eligible material is expected to be delivered to the qualified biomass conversion facility; (6) A new or amended conservation plan, forest stewardship plan, or equivalent plan. Eligible material owners who deliver eligible material to more than one qualified biomass conversion facility must submit separate applications for each facility to which eligible material will be delivered. In order to enroll land for Establishment and Annual payments, the participant must enter into a contract with CCC. Only the owners, operators, or tenants of eligible land within a project area that will actually perform the work specified in the contract are eligible to apply for the program. The contract is comprised of: (1) The terms and conditions for participation in BCAP; (2) The conservation plan, forest stewardship plan, or equivalent plan; and (3) Any other materials or agreements determined necessary by CCC.

Award Procedure:

Contract approval for matching payments is subject to availability of funds and to eligible material owners completion of required conservation plan, forest stewardship plan or equivalent plan; presentation of proof of material ownership; and compliance with all eligible material provisions. Eligible material owners accepted into the program will receive their matching payments after they provide CCC documentation of deliveries to qualified biomass conversion facilities. Establishment payments will be made based on a determination by CCC that an eligible practice or an identifiable portion exists. Eligible practices are practices specified in the conservation plan, forest stewardship plan, or equivalent plan that meet all standards need to cost-effectively establish annual crops, non-wood perennial crops, and wood perennial crops. Payments will not be more than 75 percent of the cost for

establishing a perennial crop for seed and stock costs; planting costs, cost of site preparation for non-industrial forest land; could include but not limited to site preparation for non-tree planting and supplemental or temporary irrigation. Annual payments will be calculated on a per acre basis using market-based rental rates. Rental payment rates will be based on average soil rental rate for cropland and all other agricultural land; marginal pastureland; and for forest land. The first years' payments will be made as an advance in an amount equal to 50 percent with 30 days of contract approval, with the remaining 50 percent being paid within 30 days of the first-year contract anniversary date. Annual payments for subsequent years will be made within 30 days of the contract anniversary beginning with the second-year contract anniversary. If BCAP participants collect both annual and matching payments for their crops, they are subject to a reduction in their annual payment. The size of the reduction depends on the end use of the biomass being delivered to a biomass conversion facility. The annual payment will be reduced by: 1 percent if the eligible crop is delivered to a biomass conversion facility for conversion to cellulosic biofuels as defined by 40 CFR 80.1401; by 10 percent if the eligible crop is delivered to a biomass conversion facility for conversion to advanced biofuels; and by 25 percent if the eligible crop is delivered to a biomass conversion facility for conversion to heat, power, or biobased products.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Determinations may be appealed in accordance with the administrative appeal regulations at parts 11 and 780 of 7 CFR. Determinations by the Natural Resources Conservation Service may be appealed in accordance with procedures established under part 614 of 7 CFR.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7, Part 1450.

Matching Requirements: Matching payments may be available for the delivery of eligible material to qualified biomass conversion facilities by eligible material owners. Qualified biomass conversion facilities produce heat, power, biobased products, or advanced bio fuels from biomass feed stocks. Matching payments will be made at a rate of \$1 for each \$1 per dry ton paid by qualified biomass conversion facilities up to \$45 per dry ton. Payments are paid within 30 days after the request for payment by the eligible material owner is submitted at the FSA county office, including submission of sales invoice(s) issued by the qualified biomass conversion family. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are no restrictions place on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. Biomass Conversion Facilities agree to develop and maintain a BCAP Purchase List of all renewable biomass purchases and include purchases from both eligible material owner and sellers not participating in BCAP. No performance monitoring is required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. BCAP is a program operated by the Commodity Credit Corporation (CCC), and therefore is included in the CCC annual audit. All CCC audit procedures apply to BCAP.

Records:

Producers enrolling in all types of BCAP contracts may be reviewed or audited by FSA as appropriate. Recipients shall retain records which include spreadsheet, books, papers, records, contracts, scale tickets, settlement sheets, invoices, written price quotations, or other documents related to BCAP. Records shall be maintained and retained for no less than three years from the

date of payment for cultivation or renewable biomass purchases.

Account Identification:

12-4336-0-3-999.

Obligations:

(Direct Payments for Specified Use) FY 16 \$3,000,000; FY 17 est \$3,000,000; and FY 18 est \$3,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1450

Regional or Local Office:

None. Persons with disabilities who require alternative means for communication (Braille, large print, audiotape, etc.) should contact the: USDA Target Center
202-720-2600.

Headquarters Office:

Kelly Novak USDA, FSA, Conservation & Environmental Programs (CEPD)
14th & Independence Ave SW , Room 4975, Washington, District of Columbia
20250 Email: kelly.novak@wdc.usda.gov Phone: 202-720-4053.

Website Address:

<http://www.fsa.usda.gov/bcap>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.093 VOLUNTARY PUBLIC ACCESS AND HABITAT INCENTIVE PROGRAM

(VPA-HIP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

The Food Security Act of 1985; reauthorized by the Agricultural Act of 2014, P.L. 11379. , Public Law 99-198, 15 U.S.C 714b and 714c.

OBJECTIVES:

The primary objective of the VPA-HIP is to encourage owners and operators of privately-held farm, ranch, and forest land to voluntarily make that land available for access by the public for wildlife-dependent recreation, including hunting or fishing, under programs implemented by state or tribal governments.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The funding provided by the VPA-HIP will help states and tribal governments address many issues that can greatly increase access and recreational experiences. Grant recipients will be able to use the funding to provide higher rental payments, provide technical and conservation services to landowners, and increase acreage enrolled for public access. Twenty-six states have public access programs for hunting, fishing, and other related activities. These programs provide rental payments and other incentives, such as technical or conservation services to landowners who allow the public to hunt, fish, or otherwise appropriately recreate on their land. Section 726 of the Consolidated and Further Continuing Appropriations Act, 2012, effectively ended any new obligations, or modifications to existing obligations, under VPA-HIP. Only state and tribal governments are eligible to directly receive VPA-HIP grants.

Applicant Eligibility:

Only States and Tribal governments are eligible for VPA-HIP. An eligible State government means any State or local government, including State, city, town, or county government. An eligible Tribal Government means any Federally-recognized Indian tribe, band, nation, or other organized group, or community, including pueblos, rancherias, colonies and any Alaska Native Village, or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act (43 U.S.C 1601-1629H), which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians.

Beneficiary Eligibility:

The beneficiary eligibility is extended to the public for the purposes of expanding existing public access programs or create new public access programs or provide incentives to improve habitat on enrolled program lands. Proposals for grant money should be submitted to the local State or Tribal governments by owners and operators of privately-held farm, ranch, and forest land. An eligible owner is one who has legal ownership of farmland, ranchland, or forestland. An eligible operator (individual, entity, or joint operation) is one who is determined by the FSA county committee to be in control of the farming, ranching, or silvicultural operations on the farm. For the purposes of receiving grant money, an appropriate wildlife habitat should be suitable or proper, as determined by the applicable State or tribal government, to support fish and wildlife populations in the area. An eligible farmland or ranch land means the sum of the Direct and Counter-Cyclical (DCP) cropland, forest, acreage planted to an eligible crop acreage and other land on the farm. Eligible forest land is at least 120 feet wide and one acre in size with at least 10 percent cover (or equivalent stocking) by live trees of any size, including land that formerly had such tree cover and that will be naturally or artificially regenerated. Forest land includes transition zones, such as areas between forest and non-forest lands that have at least 10 percent cover (or equivalent stocking) with live trees and forest areas adjacent to urban and built-up lands. Roadside, streamside, and shelterbelt strips of trees must have a crown width of at least 120 feet and continuous length of at least 363 feet to qualify as forest land. Unimproved roads and trails, streams, and clearings in forest areas are classified as forest if they are less than 120 feet wide or an acre in size. Tree-covered areas in agricultural production settings, such as fruit orchards, or tree-covered areas in urban settings, such as city parks, are not considered forest land. Eligible privately-held land means farm, ranch, or forest land that is owned or operated by an individual or entity that is not an entity of any government unit or Tribe.

Credentials/Documentation:

Funding priority will be given to proposals that will use the grant money in a public access program to address these objectives: Maximize participation by landowners; Ensure that land enrolled in the program has appropriate wildlife habitat; Provide incentives to strengthen wildlife habitat improvement efforts on Conservation Reserve Enhancement Program (CREP) or other conservation program land; Supplement funding and services from other federal, state, tribal government or private resources that is provided in the form of cash or in-kind services; and Provide information to the public about the location of public access land. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The applicant is the individual State or tribal government. Any application from any unit of the State or tribal government must be coordinated for a single submission of one application from the State or Tribal government. Incomplete applications will not be considered for funding. Since the VPA-HIP is a competitive grants program, information furnished by grant applicants in their proposals will be used to determine eligibility for the VPA-HIP benefits and for ranking their proposals against others. Furnishing the data is voluntary; however, the failure to provide information could result in program benefits being withheld or denied. The following forms must be completed, signed, and submitted as part of the application; other forms may be required, as specified in the applicable

RFA/APF: 1. Application for Federal Assistance; 2. Budget Information-Non-Construction Programs; and 3. Assurances-Non-Construction Programs.

Each application must contain the following elements; additional required elements may be specified in the applicable RFA/APF: Title page; Table of contents; Executive summary, which includes activities which provide a summary of the application that briefly describes activities proposed to be funded under this grant; objectives, funding, performance which includes the tasks to be accomplished, the amount of funding requested, how the work will be performed, whether organizational staff, consultants or contractors will be used, and whether other resources will be used.

Award Procedure:

Grants will awarded through a competitive RFA/APF process. Before receiving grant funding, the grantee will be required to sign an agreement similar in form and substance to the form of agreement published within or as an appendix to the RFA/APF. The agreement will require the grantee to commit to do all the following:

1. Take all practicable steps to develop continuing sources of financial support from other Federal, State, tribal government, or private resources;
2. Make arrangements for the monitoring and evaluation of the activities related to implementation of the public access program of the owners or operators that enroll farm, ranch, and forest land; and
3. Provide an accounting for the money received by the grantee. The grantee will be required to monitor funds or services as specified and must agree to that monitoring before grant funds are awarded. The grantee must also certify that the grant funds and services will not be used for ineligible purposes.

Other requirements may be specified in the formal agreement between the Natural Resources Conservation Service and the awardee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Appeals will be handled according to 7 CFR parts 11 and 780.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Section 726 of the Consolidated and Further Continuing Appropriations Act, 2012, effectively ended any new VPA-HIP obligations, or modifications to existing obligations that were made under the Food Security Act of 1975. The VPA-HIP was reauthorized by the Agricultural Act of 2014, H.R. 2642; Pub.L. 11379. Method of awarding/releasing assistance is as documented in the specific agreements between NRCS and awardees. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are required from state and tribal grantees. Grantees must provide to NRCS a Financial Status Report listing expenditures according to agree upon budget categories on a periodic basis as specified in the grant document. Annual performance reports that compare accomplishments to the objectives stated in the application that also identify all tasks completed to date and provide documentation supporting the reported results, if the original schedule provided in the work plan is not being met, the report must discuss the problems or delays that may affect completion of the project, and list objectives for the next reporting period, and discuss compliance with any special conditions on the use of award funds. CCC will incorporate performance criteria in grant award documentation and will regularly evaluate the progress and performance of grant awardees. Final project performance reports are due within 90 days of the completion of the project. Grantees must provide to FSA a Financial Status Report listing expenditures according to agreed upon budget

categories on a periodic basis as specified in the grant document. Annual performance reports that compare accomplishments to the objectives stated in the application that also identify all tasks completed to date and provide documentation supporting the reported results, if the original schedule provided in the work plan is not being met, the report must discuss the problems or delays that may affect completion of the project, and list objectives for the next reporting period, and discuss compliance with any special conditions on the use of award funds. CCC will incorporate performance criteria in grant award documentation and will regularly evaluate the progress and performance of grant awardees. Final project performance reports are due within 90 days of the completion of the project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0. (Formula Grants) FY 16 \$19,683,000; FY 17 est \$21,000; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3015, Uniform Federal Assistance Regulations; 7 CFR Part 3016, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; 7 CFR Part 3017, Government wide Debarment and Suspension (non procurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; 7 CFR Part 3052, Audits of States, Local Governments and Non-profit Organizations

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Doug Holy 1400 Independence Ave. SW, Washington, District of Columbia 20250 Email: Doug.Holy@wdc.usda.gov Phone: 202-720-0372

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/detail/national/programs/farmbill/?cid=stelprdb1242739>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Incomplete applications will not be evaluated. All applications will be evaluated using the evaluation criteria and scored in accordance with the RFA/APF. All eligible applicants will be ranked in order and a list will be provided to the NRCS Chief along with funding level recommendations.

10.098 REIMBURSEMENT TRANSPORTATION COST PAYMENT PROGRAM FOR GEOGRAPHICALLY DISADVANTAGED FARMERS AND RANCHERS

(RTCP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Food, Conservation, and Energy Act of 2008, Title I, Section 1621, Public Law 110-246.

OBJECTIVES:

The intended goal of the program is to provide direct reimbursement payments to a geographically disadvantaged farmer or rancher who transports an agricultural commodity, or inputs used to produce an agricultural commodity during a fiscal year. Input transportation cost are transportation costs of inputs used to produce an agricultural commodity including, but not limited to, air, ocean, and land freight of chemicals, feed, fertilizer, fuel, seeds, plants, supplies, equipment parts, and other inputs.

The Farm Service Agency (FSA), Deputy Administrator for Farm Programs (DAFP) administers RTCP. The program will be carried out in the field by FSA State and county committee and FSA employees. State and local governments and their political subdivisions and related agencies are not eligible for RTCP payments.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

RTCP provides monetary assistance to geographically disadvantaged farmers and ranchers by reimbursing them for a portion of the transportation cost of their agricultural commodity, or transported inputs used to produce an agricultural commodity during the fiscal year. The payments provided by RTCP are intended to offset a portion of the high cost of transporting inputs for production purposes and transporting products to markets.

The types of transportation rates used to determine reimbursable amounts are:

- (1) Actual transportation rates which are based on the actual costs incurred by the applicant and must be determined in all cases from verifiable records.
- (2) Fixed transportation rates are determined by FSA and will establish per unit transportation costs for each eligible commodity or input used to produce the eligible commodity.
- (3) Set transportation rates are established for those transportation costs that are not on the FSA list of fixed rates and for which a actual rate cannot be documented. They will be set by FSA based on available data of transportation costs similar commodities and inputs.

Applicant Eligibility:

To be eligible to receive program benefits, a geographically disadvantaged farmer or rancher must:

- (1) Be a producer of an eligible agricultural commodity in substantial commercial quantities
- (2) Incur transportation costs for the transportation of the agricultural commodity or input used to produce the agriculture commodity
- (3) Submit an application for payment during the specified period applicable for each fiscal year.
- (4) Be in compliance with conservation and wetland protection requirements on all their land
- (5) Be a citizen of or legal resident alien of the U.S.

Eligible commodities include any agricultural commodity (including horticulture, aquaculture, and floriculture) food, feed, fiber, livestock (including elk, reindeer, bison, horses, and deer), insects or products thereof.

Beneficiary Eligibility:

The U.S. farmers and ranchers outside the continental U.S.(the 48 contiguous U.S.) receive the ultimate benefit from the program because they operate at a competitive disadvantage relative to farmers and ranchers in the continental U.S. This disadvantage is due to the high cost of transporting agricultural commodities from those areas to markets in the continental U.S. and in other countries, and the high cost of transporting agricultural inputs to those areas.

Geographically disadvantaged farmers and ranchers located in Hawaii, Alaska,

or an insular area such as the Commonwealth of Puerto Rico, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and the Virgin Islands of the U.S. are the primary beneficiaries.

Credentials/Documentation:

Each producer requesting payment must certify to the accuracy and truthfulness of the information in their application and any supporting documentation. Producers who submit actual costs for reimbursement at the actual cost rate, must provide verifiable records. Failure or refusal to allow FSA to verify the information provided will result in a denial of eligibility. Furnishing the information is voluntary; however, without it program benefits will not be approved. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. An application for payment must be submitted on a completed application form. Applications and any other supporting documentation must be submitted to the FSA county office that services the county where the agricultural operation is located, but, in any case, must be received by the FSA county office by the close of business on the last day of the application period established by the Deputy Administrator.

An application received after the close of business after the application period will not be eligible for benefits.

Award Procedure:

Eligibility reimbursement amount will be calculated by multiplying the number of units of the reported transportation amount times the applicable transportation fixed, set, or actual rate times the applicable non-foreign area cost of living allowance or post differential (COLA).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

From 15 to 30 days. The appellant must submit a written request asking the next level reviewing authority within FSA to review a decision. The appeal regulations are specified in 7CFR parts 11 and 780 apply to determinations for RTCP.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7, Chapter VII, Part 755.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Persons applying for RTCP payments must maintain records and accounts to document all eligibility requirements for three years after the date of payment to the producer.

Account Identification:

12-2701-0-1-351.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$1,904,955; FY 17 est \$1,996,000; and FY 18 est \$1,996,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-2701.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Danielle Cooke 1400 Independence Avenue, SW, Washington, District of Columbia 20250-0512 Email: danielle.cooke@wdc.usda.gov Phone: (202) 720-1919

Website Address:

<http://www.fsa.usda.gov/programs-and-services/price-support/RTCP-Program/index>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.102 EMERGENCY FOREST RESTORATION PROGRAM

(EFRP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Title VIII, Section 8203, Public Law 110-246, 122 Stat. 2051, 16 U.S.C 2201-2206; Consolidated and Further Continuing Appropriations Act, 2012 Division A, Title VII, Section 735, Public Law 112-55, 125 Stat.588.

OBJECTIVES:

The object of EFRP is to make financial assistance available to eligible participants on eligible land for certain practices to restore nonindustrial private forest land that has been damaged by a natural disaster. The financial assistance will be cost share payments to assist an EFRP participant to establish practices required to address qualifying damage suffered in connection with a qualifying disaster.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Subject to the availability of funds the Farm Service Agency (FSA) will provide cost share payments to owners of nonindustrial private forest land who carry out emergency measures to restore land damaged by a natural disaster on or after January 1, 201, as determined by FSA.

Applicant Eligibility:

To be eligible to participate in EFRP, a person or legal entity must be an owner of nonindustrial private forest land affected by a natural disaster, and must be liable for or have the expense that is the subject of the financial assistance. The owner must be a person or legal entity (including Indian tribes) with full decision-making authority over the land, as determined by FSA, or with such waivers as may be needed from lenders or others as may be required, to undertake program commitments. Federal agencies and States, including all agencies and political subdivisions of a State, are ineligible for EFRP. For land

to be eligible, it must be nonindustrial private forest land and must, as determined by FSA. The land must have existing tree cover or have had tree cover immediately before the natural disaster and be suitable for growing trees, have damage to natural resources caused by a natural disaster, which occurred on or after January 1, 2010, that, if not treated, would impair or endanger the natural resources on the land and would materially affect future use of the land. The land must be physically located in a county in which EFRP has been implemented and is ineligible for EFRP if FSA determines that the land is owned or controlled by the United States, or owned or controlled by States, including State agencies or political subdivisions of a State. A qualifying natural disaster means wildfires, hurricanes or excessive winds, drought, ice storms or blizzards, floods, or other naturally-occurring resource impacting events as determined by FSA. For EFRP, a natural disaster also includes insect or disease infestations as determined by FSA in consultation with other Federal and State agencies as appropriate.

Beneficiary Eligibility:

The owners of nonindustrial private forest land will receive the ultimate benefit.

Credentials/Documentation:

After completion of the approved practice, the participant must certify completion and request payment by the payment request deadline. FSA will provide the participant with a form or another manner to be used to request payment. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Participants who perform practices shall be responsible for obtaining the authorities, permits, rights, easements, or other approvals necessary to the performance and maintenance of the practices according to applicable laws and regulations. The EFRP participant shall be wholly responsible for any actions taken with respect to the project and shall, in addition, be responsible for returning and refunding any EFRP cost shares made, where the purpose of the project cannot be accomplished because of the applicants' lack of clearances or other problems.

Cost share assistance is dependent upon the availability of funds and the performance of the practice. An eligible applicant must certify and provide proof of completion of the practice. The eligible participant shall submit all information to their local FSA county office.

The enrollment period for submitting EFRP cost-share requests will be accepted after the announced enrollment period.

Award Procedure:

FSA will establish the minimum qualifying cost of restoration, which may vary by State or region. Participants are not eligible to receive funding under EFRP for land on which FSA determines that the participant has or will receive funding for the same or similar expenses under:

- The Emergency Conservation Program
- The Wetland Reserve Program
- The Emergency Wetland Reserve Program
- The Emergency Watershed Protection Program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

An onsite inspection must be made before approval of any request for EFRP assistance. The on site inspection may be waived by FSA, in its discretion only, where damage is so severe that an onsite inspection is unnecessary as determined by FSA. The time limits for submission of information shall be determined by the Deputy Administrator. The payment request deadline for

each EFRP practice will be provided in the agreement after the application is approved. Time limits may be extended where failure to submit required information within the applicable time limits is due to reasons beyond the control of the participant.

Appeals:

Determinations may be appealed in accordance with the administrative appeal regulations at parts 11 and 780 of 7 CFR .

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

For the purposes of EFRP, no receipt, invoice, or other record is required to be retained by any agricultural producer as evidence tending to show performance of a practice needs to be retained by such producer more than two years following the close of the program year of the program.

Account Identification:

12-0171-0-1-453.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$3,000,000; FY 17 est \$24,000,000; and FY 18 est \$24,000,000

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

12-0171.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 701 Provides the terms, conditions and requirements of EFRP.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

James Michaels 1400 Independence Ave. SW, Washington, District of Columbia 20250 Email: james.michaels@wdc.usda.gov Phone: 202-690-0794 Fax: 202-720-4619

Website Address:

<http://www.fsa.usda.gov/programs-and-services/disaster-assistance-program/emergency-forest-restoration/index>

RELATED PROGRAMS:

10.054 Emergency Conservation Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.108 LIVESTOCK INDEMNITY PROGRAM-2014 FARM BILL (LIP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Agricultural Act of 2014 (2014 Farm Bill), Title I, Part III, Section 1501, Public Law 113-79.

OBJECTIVES:

LIP provides benefits to eligible livestock owners or livestock contract growers for livestock deaths in excess of normal mortality caused by adverse weather. It also covers attacks by animals reintroduced into the wild by the federal government or protected by federal law, including wolves and avian predators.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

LIP is a permanent program and provides retroactive authority to cover eligible livestock losses back to October 1, 2011. Eligible livestock owners and contract growers will be compensated for eligible livestock deaths in the calendar year for which benefits are being requested as a direct result of an eligible adverse event.

Applicant Eligibility:

To be eligible for benefits, an individual or legal entity must be a citizen of the United States (U.S.); Resident alien; Partnership of citizens of the U.S.; or Corporation, limited liability corporation, or other farm organizational structure organized under State law. An eligible livestock owner must have had legal ownership of the eligible livestock on the day the livestock died and under conditions in which no contract grower could have been eligible for benefits with respect to the animal. To be eligible an eligible owner's livestock must have died as a direct result of an eligible adverse weather event occurring on or after October 1, 2011, no later than 60 calendar days from the ending date of the applicable adverse weather event, and in the calendar year for which benefits are requested. An eligible contract grower must have had possession and control of the eligible livestock and a written agreement with the eligible livestock owner setting the specific terms, conditions and obligations of the parties involved regarding the production of livestock on the day the livestock died. An eligible livestock for a livestock owner must be alpacas, adult or non-adult dairy cattle, buffalo, beefalo, elk, emus, equine, llamas, sheep, goats, swine, poultry, deer, or reindeer. An eligible livestock for a contract grower must be poultry or swine. The eligible livestock must have been maintained for commercial use as part of a farming operation before dying and on the day the eligible livestock died. An eligible adverse weather event is defined as an extreme or abnormal damaging weather event that is not expected to occur during the loss period or which it occurred, which results in eligible livestock death losses in excess of normal mortality. This includes but not limited to earthquake, lightning, tornado, tropical storm, typhoon, vog if directly related to a volcanic eruption, winter storm that last for three consecutive days and is accompanied by high winds, freezing rain or sleet, heavy snowfall and extremely cold temperatures, hurricanes, floods, blizzards, wildfires, extreme heat, extreme cold, anthrax, and a disease if exacerbated by other eligible adverse weather event. Drought is not an eligible adverse weather event except when anthrax, which is exacerbated by drought, causes the death of eligible livestock.

Beneficiary Eligibility:

The eligible livestock or contract owner will receive the ultimate benefit from LIP.

Credentials/Documentation:

Verifiable proof of death of livestock must show that the death of the eligible livestock occurred as a direct result of an eligible adverse weather event or attacks by animals reintroduced into the wild by the Federal Government or protected by Federal law. Verifiable proof that documents or verifies that the livestock died as a direct result of an eligible event are, purchase records; veterinarian records, bank or other loan documents; rendering truck receipts or certificates, Federal Emergency Management Agency records; National Guard records, written contracts, production records; records assembled for tax purposes, property tax records; private insurance documents; or similar documents. If verifiable proof of death documentation is not available, the producer may provide reliable records such as beginning and ending inventory records as proof of death. Reliable records may include contemporaneous producer records existing at the time of the adverse weather event, dairy herd improvement records, brand inspection records, vaccination records, dated pictures, certification of livestock deaths by a third party, and livestock

inventory reports. Please contact a local FSA county office for additional information for documentation requirements for livestock deaths that occurred after October 1, 2011 through December 31, 2014. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Eligible livestock and contract growers must submit a notice of loss and an application for payment to the local FSA office who maintains their farm records. A notice of loss must be submitted within the earlier of 30 calendar days of when the loss of livestock is apparent to the producer or 30 calendar days after the end of the calendar year in which the loss of livestock occurred.

If the date of livestock death is on or after October 1, 2011 and before January 1, 2015, the Notice of Loss and application must be submitted by January 30, 2015. If the date of livestock death is calendar years 2015 and subsequent years, the Notice of Loss must be submitted 30 days after death is apparent and the application must be submitted by January 30th of each year.

An eligible contract grower must provide a copy of the grower contract and the following supporting documentation

Award Procedure:

Eligible livestock owners LIP payments are calculated by multiplying the national payment rate for each livestock category by the number of eligible livestock in each category. LIP national payment rate for eligible livestock owners are based on 75 percent of the average fair market value of the livestock. The eligible livestock contract grower national payment rate is based on 75 percent of the average income loss sustained by the contract grower with respect to the dead livestock. The LIP payment will be reduced by the amount of monetary compensation received from their contractor for the loss of income suffered from the death of livestock under contract.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Appeal regulations set forth in parts 11 and 780 of 7CFR apply to determinations made under LIP.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Applicants receiving assistance for LIP shall maintain and retain financial books and records which will permit verification of all transactions for at least three years following the end of the calendar year in which assistance was provided and allow authorized representatives of USDA and the Government Accountability Office to inspect and verify all applicable livestock and acreage and to inspect, examine, and make copies of all financial books and records.

Account Identification:

12-4336-0-3-999.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$43,000,000; FY 17 est \$34,000,000; and FY 18 est \$31,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

LIP regulations are found in part 1416 of 7CFR. Program is also announced through press releases, news media, and newsletters.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Amy Mitchell, USDA, FSA, Production, Emergencies, & Compliance Division, 1400 Independence Ave SW Stop 0517, Washington, District of Columbia 20250 Email: Amy.Mitchell1@wdc.usda.gov Phone: (202) 720-8954 Fax: (202) 690-2130.

Website Address:

<http://www.fsa.usda.gov/programs-and-services/disaster-assistance-program/livestock-indemnity/index>

RELATED PROGRAMS:

10.109 Livestock Forage Program-2014 Farm Bill; 10.110 Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program-2014 Farm Bill; 10.111 Tree Assistance Program-2014 Farm Bill

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.109 LIVESTOCK FORAGE PROGRAM-2014 FARM BILL

(LFP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Agricultural Act of 2014 (The 2014 Farm Bill), Title I, Part III, Section 1501, Public Law 113-79.

OBJECTIVES:

LFP provides compensation to eligible livestock producers that have suffered grazing losses for covered livestock on land that is native or improved pastureland with permanent vegetative cover or is planted specifically for grazing. It also provides compensation to eligible livestock producers that have suffered grazing losses on rangeland managed by a federal agency if the eligible livestock producer is prohibited by the federal agency from grazing the normal permitted livestock on the managed rangeland due to a qualifying fire.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

LFP is a permanent program and provides retroactive authority to cover eligible losses back to October 1, 2011.

Applicant Eligibility:

To be eligible for benefits, an individual or legal entity must be a citizen of the United States (U.S.); Resident alien; Partnership of citizens of the U.S.; or Corporation, limited liability corporation, or other farm organization structure organized under State law. An eligible livestock producer must own, cash or share lease, or be a contract grower of covered livestock during the 60 calendar days before the beginning date of a qualifying drought or fire; provide pastureland or grazing land for covered livestock, including cash-rented

pastureland or grazing land that is either physically located in a country affected by a qualifying drought during the normal grazing period for the county, or rangeland managed by a federal agency and the eligible livestock producer is prohibited from grazing the normally permitted livestock because of a qualifying fire.

Beneficiary Eligibility:

The eligible livestock or contract owner will receive the ultimate benefit from LFP.

Credentials/Documentation:

The eligible livestock producer must certify that they have suffered a grazing loss because of a qualifying drought or fire and timely file an acreage report for all grazing land for which a loss of grazing is being claimed. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. To apply for LFP, the eligible livestock producer must submit a completed application for payment and required supporting documentation for grazing losses no later than 30 calendar days after the end of the calendar year in which the grazing loss occurred.

A contract grower must provide a copy of the grower contract along with the completed application and supporting documentation for payment.

Award Procedure:

Payment calculations for grazing losses because of a qualifying drought are equal to 1, 3, 4, or 5 times the LFP monthly payment rate. The monthly payment rate for drought is equal to 60 percent of the lesser of the monthly feed cost for all covered livestock owned or leased by the producer; or calculated by using the normal carrying capacity of the eligible grazing land of the producer. Total payments to a producer in a calendar year for grazing losses will not exceed five monthly payments for the same covered livestock. For losses suffered because of a qualifying fire on federally managed rangeland for which the producer is prohibited from grazing the normal permitted livestock, the payments begin on the first day the Federal agency excludes the eligible livestock producer from using the managed grazing land for grazing and end on the last day of the Federal lease not to exceed 180 days.

Deadlines:

Jan 31, 2015: Livestock producers have 30 calendar days after the end of the calendar year in which the loss occurs to submit a completed application for payment and required supporting documentation.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Appeal regulations set forth in parts 11 and 780 of 7CFR apply to determinations made under LFP.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

12-4336-0-3-999.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$430,000,000; FY 17 est \$312,000,000; and FY 18 est \$423,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Scotty Abbott USDA, Deputy Administrator for Farm Programs, Production, Emergencies, and Compliance Division, Disaster Assistance Branch, 14th and Independence Ave SW, Stop 0517, Washington, District of Columbia 20250
Email: Scotty.Abbott@wdc.usda.gov Phone: (202) 720-7997 Fax: (202) 690-2130

Website Address:

<http://www.fsa.usda.gov/programs-and-services/disaster-assistance-program/livestock-forage/index>

RELATED PROGRAMS:

10.108 Livestock Indemnity Program-2014 Farm Bill; 10.110 Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program-2014 Farm Bill

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.110 EMERGENCY ASSISTANCE FOR LIVESTOCK, HONEYBEES AND FARM-RAISED FISH PROGRAM-2014 FARM BILL

(ELAP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Agricultural Act of 2014 (The 2014 Farm Bill), Title I, Part III, Section 1501.

OBJECTIVES:

ELAP provides emergency assistance to eligible producers of livestock, honeybees and farm-raised fish who have losses due to an eligible adverse weather or eligible loss condition, including blizzards, disease (including cattle tick fever), water shortages and wildfires, as determined by the Secretary, that occurs on or after October 1, 2011. ELAP covers losses that are not covered under the Livestock Forage Program (LFP), and Livestock Indemnity Program (LIP).

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

ELAP is a permanent disaster program and provides retroactive authority to cover eligible losses back to October 1, 2011. Public Law 113-79 authorized up to \$20 million of Commodity Credit Corporation (CCC) funds in a fiscal year for ELAP. ELAP begins October 1 of the fiscal year and ends September 30 of the fiscal year. There are four categories of livestock losses covered by ELAP, livestock death losses caused by an eligible loss condition; livestock

feed and grazing losses that are not due to drought or wildfires on federally managed lands; losses resulting from the additional cost of transporting water to livestock due to an eligible drought; and losses resulting from the additional cost associated with gathering livestock for treatment related to cattle tick fever. Recipients of ELAP payments may receive a pro-rated reduced payment should the total annual national demand for ELAP exceed \$20 million in a fiscal year.

Applicant Eligibility:

To be eligible for benefits, an individual or legal entity must be a citizen of the United States (U.S.); Resident alien; Partnership of citizens of the U.S.; or Corporation, limited liability corporation, or other farm organizational structure organized under State law. The eligible applicant must have legal ownership of the livestock on the day the livestock died and must be a producer or contract grower of livestock, honeybee, or farm-raised fish that assumes the production and market risks associated with the agricultural production of crops or livestock on a farm and that meet the requirements to receive ELAP payments. Starting with the 2012 program year (October 1, 2011), an eligible livestock producer who certifies they are socially disadvantaged, limited resource, or a beginning farmer or rancher, will have their payments for livestock losses under ELAP based on a national payment factor of 90 percent.

Beneficiary Eligibility:

Eligible producers of livestock, honeybees, and farm-raised fish will receive the ultimate benefits from ELAP. An eligible livestock producer, honeybee producer, and eligible farm-raised fish producer may receive payments for eligible losses based on a national payment rate.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. To apply for ELAP, the participant must have suffered an eligible livestock, honeybee, or farm-raised fish loss. The eligible producer must submit a Notice of Loss and a completed application to the FSA administrative county office that maintains the participant's farm records for the agricultural operation. For livestock feed, grazing, and death losses and losses resulting from the transporting water and gathering livestock to treat for cattle tick fever the applicant must submit a completed Emergency Loss Assistance for Livestock application, for honeybee fee, colony, hive, or farm-raised fish feed or death losses, the applicant must complete a Emergency Loss Assistance for Honeybees or Farm-Raised Fish Application; a report of acreage (if applicable); a copy of the participant's grower's contract (if a contract grower); other supporting documents required by the Farm Service Agency (FSA); and a farm operating plan.

Award Procedure:

FSA will use the data furnished by the applicant to determine eligibility for program benefits. Furnishing the data is voluntary; however, without all required data program benefits will not be approved or provided.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

From 15 to 30 days. Appeal regulations are set for in Parts 11 and 780 of 7 CFR apply to determinations made under ELAP.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7 CFR, Part 1416, Subpart B, Public Law 113-79.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

The producer or any other legal entity or person who provides information enabling a producer to receive payments must maintain any books, records, and accounts supporting the information for three years following the end of the year during which the request for payment was submitted and allow authorized representatives of USDA and the U.S. Government Accountability Office during regular business hours, to inspect, examine, and make copies of such books or records, and to enter the farm and to inspect and verify all applicable acreage in which the producer has an interest for the purpose of confirming the accuracy of information provided by or for the producer.

Account Identification:

12-4336-0-3-999.

Obligations:

(Direct Payments for Specified Use) FY 16 \$17,000,000; FY 17 est \$17,000,000; and FY 18 est \$20,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the counties. Regulations published in the Federal Register, 7 CFR Part 1416.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Amy Mitchell, USDA, FSA, Production, Emergencies, & Compliance Division, 1400 Independence Ave SW Stop 0517, Washington, District of Columbia 20250 Email: Amy.Mitchell1@wdc.usda.gov Phone: (202) 720-8954 Fax: (202) 690-2130.

Website Address:

<http://www.fsa.usda.gov/programs-and-services/disaster-assistance-program/emergency-assist-for-livestock-honey-bees-fish/index>

RELATED PROGRAMS:

10.108 Livestock Indemnity Program-2014 Farm Bill; 10.109 Livestock Forage Program-2014 Farm Bill; 10.111 Tree Assistance Program-2014 Farm Bill

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.111 TREE ASSISTANCE PROGRAM-2014 FARM BILL

(TAP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Agricultural Act of 2014 (The Farm Bill), Title I, Part III, Section 1501, Public Law 113-79.

OBJECTIVES:

TAP provides financial assistance to eligible orchardists and nursery tree growers to replant or rehabilitate eligible trees, bushes, and vines damaged by natural disasters.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

TAP is a permanent disaster program and provides retroactive authority to cover eligible losses back to October 1, 2011. Eligible orchardists and nursery tree growers will be compensated for eligible tree, bush, and vine losses that occurred in the calendar year (or loss period in the case of plant disease) for which benefits are requested and as a direct result of a natural disaster.

Applicant Eligibility:

To be eligible for benefits, an individual or legal entity must be a citizen of the United States (U.S.); Resident alien; Partnership of citizens of the U.S.; or Corporation, limited liability corporation, or other farm organizational structure organized under State law. An eligible orchardist is a person or legal entity that produces annual crops from trees, bushes, or vines for commercial purposes. An eligible nursery tree grower is a person or legal entity that produces nursery, ornamental, fruit, nut, or Christmas trees for commercial sale. To qualify for TAP, the eligible orchardist or nursery tree grower must have planted, continuously owned, and suffered eligible losses of trees, bushes, or vines that were planted for commercial purposes; and replaced eligible trees, bushes, and vines within 12 months from the date the application is approved.

Eligible losses must be the result of a natural disaster. The stand must have sustained a mortality loss in excess of 15 percent after adjustment for normal mortality, or where applicable, damage in excess of 15 percent, adjusted for normal mortality and normal damage, that occurred in the calendar year (or loss period in the case of plant disease) for which benefits are being requested. The loss could not have been prevented through reasonable and available measures; the damage or loss must be visible and obvious to the FSA representative; and FSA may require information from a qualified expert to determine the extent of loss in the case of plant disease or insect infestation.

An eligible natural disaster means plant disease, insect infestation, drought, fire, freeze, flood, earthquake, lightning, or other natural occurrence of such magnitude or severity so as to be considered disastrous.

Beneficiary Eligibility:

The eligible orchardists and nursery tree grower receives the TAP benefit.

Credentials/Documentation:

The eligible applicant must present a written estimate of the number of trees, bushes, or vines lost or damaged that is certified by the producer or qualified expert, including the number of acres on which the loss occurred. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. To apply for TAP a orchardist or nursery tree grower must submit a completed application provided by FSA; an acreage report for the farming operation; a written estimate of the number of trees, bushes, or vines lost or damaged; and sufficient evidence of the loss, a farm operating plan.

Award Procedure:

Payments to eligible orchardist or nursery tree growers who suffered losses in excess of 15 percent damage or mortality will be calculated using the lesser of 65 percent of the actual cost of the practice or the amount calculated using rates established by the Deputy Administrator for the practice. For the cost of pruning, removal, and other costs incurred for salvaging damaged trees, bushes, or vines, or in the case of mortality, to prepare the land to replant trees, bushes, or vines, the lesser of 50 percent of the actual cost of the practice, or the amount calculated using rates established by the Deputy Administrator for the practice.

Deadlines:

Apr 15, 2014 to Dec 31, 2014: Applicants who suffered losses on or after October 1, 2011 may sign up for TAP benefits through the end of calendar year 2014. Applicants who suffered losses on or after October 1, 2011 may sign up for TAP benefits through the end of calendar year 2014. Applicants who suffered a loss on or after October 1, 2011, through the end of the 2014 calendar should submit an application and supporting documentation by the later of January 21, 2015, or 90 calendar days after the disaster event or date when the loss is apparent to the producer. During the 2015 calendar and subsequent years applicants must submit an application and supporting documentation to FSA within 90 calendar days of the disaster event or the date when the loss is apparent.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Appeal regulations are set forth in parts 11 and 780 of 7CFR apply to determinations made under TAP.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Applicants receiving assistance for TAP shall maintain and retain financial books and records which will permit verification of all transactions for at least three years, following the end of the calendar year in which assistance was provided and allow authorized representatives of the USDA and the Government Accountability Office to inspect all financial books, records, and all applicable livestock and acreage.

Account Identification:

12-4336-0-3-999.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$30,000,000; FY 17 est \$21,000,000; and FY 18 est \$20,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through press releases, news media, and newsletters.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Steve Peterson, USDA, Deputy Administrator for Farm Programs, Production, Emergencies, and Compliance Division, Disaster Assistance Branch, 14th and Independence Ave SW, Stop 0517, Washington, District of Columbia 20250
Email: Steve.Peterson@wdc.usda.gov Phone: (202) 720-5172 Fax: (202) 720-0051.

Website Address:

<http://www.fsa.usda.gov/programs-and-services/disaster-assistance-program/tr>

e-assistance-program/index

RELATED PROGRAMS:

10.108 Livestock Indemnity Program-2014 Farm Bill; 10.109 Livestock Forage Program-2014 Farm Bill; 10.110 Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program-2014 Farm Bill

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.112 PRICE LOSS COVERAGE

(PLC)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Agricultural Act of 2014, Title I, Part II, Section 1116, Public Law 113-79.

OBJECTIVES:

The Price Loss Coverage program (PLC) is similar to the previous Direct and Counter-cyclical Payments Program (DCP) and the Average Crop Revenue Election (ACRE) which were repealed by P.L. 113-79. PLC provide revenue and price loss payments to eligible producers.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The PLC yield for covered commodities on the farm is equal to the counter-cyclical payment yield established for each covered commodity on the farm that was effective on September 13, 2013, unless the PLC yield is updated.

Applicant Eligibility:

An eligible producer is eligible to enter into a contract if 1) the owner of the farm has an ownership of a crop and assumes all or a part of the risk producing a crop that is commensurate with that claimed ownership of the crop; 2) a producer, other than the owner, on a farm with a share-rent lease for such farm, regardless of the length of the lease, if the owner of the farm enters into the same contract; 3) a producer, other than an owner, on a farm who rents such farm under a lease expiring on or after September 30 of the year of the contract in which case the owner is not required to enter into the contract; 4) a producer, other than an owner, on a farm who cash rents such farm under a leasing expiring before September 30 of the year of the contract; 5) An owner of an eligible farm who cash rents such farm and the lease expires before September 30 of the year of the contract, if the tenant declines to enter into a contract for the applicable year.

Beneficiary Eligibility:

PLC provides payments to eligible producers on farms enrolled for the 2014 through 2018 crop years.

Credentials/Documentation:

It is understood and agreed that producers on a farm may participate in the program only by enrolling in a contract that is consistent with the election previously made for the farm and covered commodities of that farm. Election is not enrollment and the election that applies to a farm and the covered commodities of a farm applies without regard to whether or not producers choose to enroll the farm or not. Enrollment is required each and every contract year. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

PLC program payments are issued when the effective price of a covered commodity is less than the respective reference price for that commodity. The effective price equals the higher of the market year average price (MYA) or the national average loan rate for the covered commodity. Producers receive their PLC payments after October 1 following the end of the 12 month marketing year for the covered commodity as applicable.

Deadlines:

Dec 01, 2016 to Aug 01, 2017: This applies to crop year 2017. The 2017 enrollment will begin on December 1, 2016 and end on August 1, 2017; the 2018 enrollment will begin on December 1, 2017 and end on August 1, 2018.

Range of Approval/Disapproval Time:

Approval of payments depends on farmer compliance with conservation and wetland provisions.

Appeals:

From 1 to 15 days. A producer may obtain reconsideration and review of any adverse determination made under this part in accordance with the appeal regulations found at parts 11 and 780 of Title 7 Agriculture.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7, Chapter 1412, Subpart A, Public Law 113-79.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

PLC payments shall be made beginning October 1, or as soon as practicable thereafter, after

the end of the applicable marketing year for the covered commodity, this is statutory. There is no limit place on the time permitted to spend the money award. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Recipients under this program are subject to audit by the Office of the Regional Inspector General, USDA.

Records:

An owner or any other individual or entity receiving assistance for DCP shall maintain and retain financial books and records which will permit verification of all transactions for at least 3 years, following the end of the calendar year in which assistance was provided.

Account Identification:

12-4336-0-3-999.

Obligations:

(Direct Payments for Specified Use) FY 16 \$5,841,000,000; FY 17 est \$3,052,000,000; and FY 18 est \$2,959,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the counties. Regulations published in the Federal Register, 7 CFR Part 1412.

Regional or Local Office:

See Regional Agency Offices. Consult the local phone directory for location of the nearest county FSA office. If no listing, contact the appropriate State FSA office listed in the Farm Service Agency section of Appendix IV of the Catalog or on the WEB at <http://www.fsa.usda.gov/edso/>.

Headquarters Office:

Brent N Orr 1400 Independence Ave SW Room 4759-S, Washington, District of Columbia 20024 Email: Brent.Orr@wdc.usda.gov Phone: (202) 720-7641 Fax: (202) 690-2130

Website Address:

<http://www.fsa.usda.gov>

RELATED PROGRAMS:

10.113 Agriculture Risk Coverage Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.113 AGRICULTURE RISK COVERAGE PROGRAM

ARC

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The 2014 Farm Bill, Title 1, Section 1115, Public Law 113-79.

OBJECTIVES:

The ARC program is similar to the previous Direct and Counter-cyclical Payments Program (DCP) and the Average Crop Revenue Election (ACRE) which were repealed by P.L. 113-79. ARC provides income support to eligible producers of covered commodities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Agricultural Risk Coverage-County Coverage (ARC-CO) provides revenue less coverage at the county level for selected covered commodities on a farm.

The Agricultural Risk Coverage-Individual Coverage program provides revenue loss coverage at the farm level for all acreage devoted to covered commodities across all of a producer's ARC-IC farms.

ARC is a revenue-based program that is designed to cover a portion of a farmer's out-of-pocket loss (referred to as "shallow loss:") when crop revenues fall below benchmark revenue levels, with the benchmark revenue based on either county level historic revenue for ARC-CO or the individual farm's historic revenue for ARC-IC.

Applicant Eligibility:

An eligible producer is eligible to enter into a contract if 1) the owner of the farm has an ownership of a crop and assumes all or a part of the risk producing a crop that is commensurate with that claimed ownership of the crop; 2) a producer, other than the owner, on a farm with a share-rent lease for such farm, regardless of the length of the lease, if the owner of the farm enters into the same contract; 3) a producer, other than an owner, on a farm who rents such farm under a lease expiring on or after September 30 of the year of the contract in which case the owner is not required to enter into the contract; 4) a producer, other than an owner, on a farm who cash rents such farm under a leasing expiring before September 30 of the year of the contract; 5) An owner of an eligible farm who cash rents such farm and the lease expires before September 30 of the year of the contract, if the tenant declines to enter into a contract for the applicable year.

Beneficiary Eligibility:

ARC provides payments to eligible producers on farms enrolled for the 2014 through 2018 crop years.

Credentials/Documentation:

When required, the Farm Service Agency (FSA), may require documentary evidence supporting any certification of yield or production be provided to the county committee of the county where the farm is administratively located. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Not Applicable

Award Procedure:

ARC is a revenue-based program that is designed to cover a portion of a farmer's out-of-pocket loss when crop revenues fall below the guarantee, with the benchmark revenue based on either county level for ARC-CO or the individual farms historic revenue for ARC-IC. ARC-CO payment calculation is based on base acres including any base acres attributed to a covered commodity from generic base acres based on P&CP or eligible subsequently planted crop acreage. Payments are triggered when actual county crop revenue of a covered commodity is less than the ARC-CO guarantee for the covered commodity. ARC-IC payments are triggered when the actual crop revenue, averaged across all covered commodities planted or eligible subsequently planted crop acreage on the ARC-IC farm, is less than ARC-IC guarantee, averaged across those covered commodities planted or eligible subsequently planted crop acreage on the farm.

Producers receive their ARC payments after October 1.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days. Approval of payments depends on farmer compliance with conservation and wetland provisions.

Appeals:

From 15 to 30 days. A producer may obtain reconsideration and review of any adverse determination made under this part in accordance with the appeal regulations specified in 7 CFR parts 11 and 780.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Agriculture Risk Coverage, Chapter 7, Part 1412, Public Law 113-79.

Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There is no limit placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

An owner or any other individual or entity receiving assistance for ARC shall maintain and retain financial books and records which will permit verification of all transactions for at least three years, following the end of the calendar year in which assistance was provided.

Account Identification:

12-4336-0-3-999.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$17,907,000,000; FY 17 est \$2,970,000,000; and FY 18 est \$1,163,000,000

Range and Average of Financial Assistance:

No data available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the counties. Regulations published in the Federal Register and 7 CFR, Part 1412.

Regional or Local Office:

See Regional Agency Offices. Consult the local phone directory for location of nearest county Farm Service Agency (FSA) office. If no listing, contact the appropriate State FSA office listed in the FSA section of Appendix IV of the catalog or on the web.

Headquarters Office:

Brent N Orr 1400 Independence Ave SW Room 4759-S, Washington, District of Columbia 20024 Email: Brent.Orr@wdc.usda.gov Phone: (202) 720-7641 Fax: (202) 690-2130

Website Address:

http://www.fsa.usda.gov/programs-and-services/arcplc_program/index

RELATED PROGRAMS:

10.055 Direct and Counter-cyclical Payments Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.114 COTTON TRANSITION ASSISTANCE PROGRAM

(CTAP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Agricultural Act of 2014, Title I, Part II, Section 1119, Public Law 113-79.

OBJECTIVES:

CTAP is a temporary program that provides payments to producers on farms for which cotton base acres were in existence as of September 30, 2013.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

CTAP is intended to be a transition for producers on farms with upland cotton base acres that were in existence as of September 30, 2013, between the previous Direct and Counter-Cycle Program (DCP) payments and the new Stacked Income Protection Plan (STAX) which is scheduled to be made available to most cotton counties in 2015 crop year. CTAP is authorized for all counties and farms having cotton base acres in the 2014 crop year; however it will only operate in the 2015 crop year in counties where STAX is not yet available. STAX is specified in Section 11017 of P.L. 113-79. Similar to DCP, producers do not have to actually grow or harvest upland cotton to be eligible for CTAP.

CTAP payments will be made to eligible producers on or after October 1 of the crop year when upland cotton is or ordinarily would have been harvested. Similar to DCP, payment eligibility is based upon the number of upland cotton base acres, which are not required to be planted to cotton.

Applicant Eligibility:

An eligible producer for CTAP is required to be a person or legal entity who is actively engaged in farming and otherwise eligible to receive payment. CTAP payments in each of the 2014 and 2015 program years are limited to \$40,000 per person or legal entity, similar to the \$40,000 per person or legal entity limitation applied to DCP under The Food, Conservation, and Energy Act of 2008, P.L. 110-246. A person or legal entity is ineligible for payments if the person's or legal entity's average gross income (AGI) for the applicable compliance program year is in excess of \$900,000. If a person with an indirect interest in a legal entity has AGI in excess of \$900,000, the CTAP payments subject to AGI compliance provisions to the legal entity will be reduced as

calculated based on the percent interest of the person in the legal entity receiving the payment.

Beneficiary Eligibility:

The Farm Service Agency (FSA) will provide adequate notice to producer about the new CTAP regulations so they will be ready to begin sign-up for CTAP. Payments will process as soon as possible after October 1, 2014, as soon as the application period closes. Therefore, to begin providing benefits to producers in a timely fashion, the final rule is effective when published in the Federal Register.

Credentials/Documentation:

An eligible producer on a farm is required to provide a copy of their written lease to the county committee and, in the absence of a written lease, is required to provide the county committee a complete written description of the terms and conditions of any oral agreement or lease. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Eligible producers may apply for CTAP by completing CCC-957 application and providing supportive and necessary contractual documents to the FSA county office. For 2014 CTAP applications must be submitted by September 30, 2014 and for 2015 CTAP, by July 31, 2015.

Award Procedure:

Eligible producers receive their 2014 CTAP payments after October 1, 2014 after the end of the application period. Eligible producers receive their 2015 CTAP payments after October 1, 2015 after the end of the application period.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days. Each eligible producer on a farm may apply for and receive CTAP payments determined to be fair and equitable as agreed to by all producers on the farm and as approved by the county committee. CTAP payments will be determined based on shares recorded on the application for CTAP payments for the particular program year.

Appeals:

Generally, participants receiving an adverse program determination have 30 days from date of receipt to file an appeal of that decision. A participant may seek reconsideration and appeal review of any individual program eligibility adverse determination made in accordance with the appeal regulations found in 7 CFR, parts 11 and 780.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7 CFR, Chapter 1412, Part E, Public Law 113-79. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

An eligible producer or an other eligible persons or legal entity receiving CTAP assistance, shall maintain and retain financial books and records which will permit verification of all transactions for at least 3 years, following the end of

the calendar year in which assistance was provided.

Account Identification:

12-4336-0-3-999.

Obligations:

(Direct Payments for Specified Use) FY 16 \$1,000,000; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336-014.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the counties. Regulations published in the Federal Register, 7 CFR Part 1412.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Brent N Orr 1400 Independence Ave SW Room 4759-S, Washington, District of Columbia 20024 Email: Brent.Orr@wdc.usda.gov Phone: (202) 720-7641 Fax: (202) 690-2130

Website Address:

http://www.fsa.usda.gov/FSA/newsReleases?area=newsroom&subject=landing&topic=pf&newstype=prfactsheet&type=detail&item=pf_20150706_insup_en_ctap.html

RELATED PROGRAMS:

10.055 Direct and Counter-cyclical Payments Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.116 THE MARGIN PROTECTION PROGRAM

MPP-Dairy

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Agricultural Act of 2014, Title I, Part I, Section 1401, Public Law 113-79, 7 U.S.C 9051.

OBJECTIVES:

MPP-Dairy offers a protection plan which provides payments to dairy operations when the difference between the all-milk price and the average feed cost falls below a certain, producer selected, dollar amount. Producers will be eligible for a basic level of margin protection for a small administrative fee, and be able to purchase greater coverage for a premium. The Secretary of Agriculture (Secretary) will use administrative fees collected to cover administrative costs incurred to carry out the margin protection program.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Milk is produced in all 50 states and the maintenance and expansion of existing markets for dairy are vital to the welfare of milk producers in the United States (U.S.). The dairy industry has experienced dramatic structural changes at all levels of the marketing channel that have directly affected milk prices, dairy product sales, farm incomes, and other direct aspects of dairy profitability and volatility. Low margins have been a producer complaint for some time. In addition, low prices, high production costs, and oversupply have been issues for the dairy industry in recent years. In order to address these concerns, MPP-Dairy was included in P.L. 113-79 to replace existing dairy price and

income support programs. The MPP-Dairy program is much like an insurance program in that the dairy operation selects a level of coverage, and pays a premium based on the amount of coverage (and an administrative fee). As is customary with other insurance or insurance-like programs both agricultural and otherwise, the regulations only provide for coverage prospectively and contemplated that a party's level of coverage will remain unchanged unless during a designated enrollment period the party makes a different election. The amount of coverage chosen by producers in a participating dairy operation requires two selections. One is the margin trigger and the second is the amount of milk covered (which is based on a history of production).

Applicant Eligibility:

All dairy operations in the U.S. shall be eligible to participate in the MPP-Dairy program to receive margin protection payments. A dairy operation must produce milk from cows in the U.S. and must be commercially marketing milk produced at the time of enrollment and continue to market milk for the duration of the program. A dairy operation may participate in the MPP-Dairy program or the Livestock Gross Margin for Dairy (LGM-Dairy) but not both. LGM-Dairy is operated by the Risk Management Agency of the U.S. Department of Agriculture (USDA). However since the MPP-Dairy program is made available after potential applicants under MPP-Dairy have applied for coverage under LGM-Dairy, for the open enrollment period established for the 2014 and 2015 calendar year coverage only, a producer with coverage under LGM-Dairy that would like to participate in the MPP-Dairy program must register to participate in the MPP-Dairy program during the open enrollment period established for calendar 2014 and 2015 and agree not to extend or obtain new LGM-Dairy coverage.

Beneficiary Eligibility:

The ultimate benefit of the MPP-Dairy program will help protect farm equity and reduce financial losses that occur during times of low margins.

Credentials/Documentation:

To participate in the MPP-Dairy program, an eligible dairy operation must have a production history determined for the dairy operation; register to participate during a signup announced by the Farm Service Agency (FSA); pay a \$100.00 administrative fee annually for the duration of the MPP-Dairy program; select a coverage level ranging from \$4.00 to \$8.00 per cwt, in \$.50 increments; and select a coverage percentage of dairy operation's production history ranging from 25 percent to 90 percent, in 5 percent increments. For an existing dairy operation FSA will determine the production history from the highest of the 2011, 2012, and 2013 calendar years. For a new dairy operation (in operation for less than one year), FSA will determine the production history by either the volume of the actual milk marketings for the months the participating dairy operation has been in operation extrapolated to a yearly amount or an estimate of the actual milk marketing of the participating dairy operation based on the herd size of the participating dairy operation relative to the national rolling here average data published by USDA. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. A dairy operation must submit an accurate and complete contract to participate during the application period. The contract is prescribed by the Commodity Credit Corporation (CCC). Producers may obtain such contract in person, by mail, or by facsimile from any county FSA office. The form may be downloaded at <http://www.sc.egov.usda.gov>.

Contract offers must be received at FSA by the close of business on the last day of the open enrollment period.

Award Procedure:

An eligible dairy operation must complete and submit a contract; agree with all terms and conditions in the contract; comply with instructions issued by or for CCC; provide proof of milk production commercially marketed by all persons in the dairy operation; and provide any other supporting documentation to any

county FSA office. Producers must certify to accuracy and truthfulness of the information in their contracts and supporting documentation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Any producer who is dissatisfied with a determination made may request reconsideration or appeal of such determination under 7 CFR part 11 or part 780.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7 CFR, Chapter 1430, Subpart C, Public Law 113-79. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the program benefits. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Producers approved for MPP-Dairy benefits must maintain and retain accurate records and accounts that will document that they meet all eligibility requirements for three years after the date of payment to the dairy operation. Destruction of the records three years after the date of payment shall be the risk of the party undertaking the destruction.

Account Identification:

12-4336-0-1-351.

Obligations:

(Direct Payments for Specified Use) FY 16 \$10,678,513; FY 17 est \$100,000; and FY 18 est \$43,000,000 - Program payments began in Fiscal Year 2015.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media. Regulations published in the Federal Register, 7 CFR Part 1430. CCC is committed to complying with the E-Government Act, to promote the use of the Internet and other information technologies to provide increased opportunities for citizen access to Government information and services, and for other purposes.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Danielle Cooke 1400 Independence Avenue, SW, Washington, District of Columbia 20250-0512 Email: danielle.cooke@wdc.usda.gov Phone: (202) 720-1919 Fax: www.fsa.usda.gov

Website Address:

<http://www.fsa.usda.gov/programs-and-services/Dairy-MPP/index>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.118 COTTON GINNING COST SHARE PROGRAM

CGCS

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Executive Order This program is authorized by the Commodity Credit Corporation, 15 U.S.C 714c.

OBJECTIVES:

With respect to cotton ginning expenses for the 2015 cotton crop, the Cotton Ginning Cost-Share (CGCS) Program provides a one-time cost-share payment, not to exceed 40 percent of calculated ginning costs by region, to cotton producers certifying to the number of cotton acres reported as being planted, including failed acreage, for the 2015 crop year and the percentage of share they had in the cotton.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Funds can be used to assist with the costs associated with ginning cotton, and funds can be used to have a broader sense to aid in the expansion and development of new and additional markets for upland cotton and extra-long staple cotton produced in the United States. CGCS payments are limited to \$40,000 per applicant.

Applicant Eligibility:

1. Eligible applicants are individuals and legal entities with a share in the 2015 cotton crop reported as planted, including failed acres.
2. Use of the assistance is not restricted.
3. Cotton producers must comply with all USDA provisions on fraud (including the Federal Crop Insurance Corporation), conservation compliance, controlled substance, determined to be actively engaged in farming, and the adjusted gross non-farm income cannot exceed \$900,000.

Beneficiary Eligibility:

The beneficiary or estate of a deceased producer may submit a CGCS payment application provided the deceased producer or the estate meets all of the eligibility requirements required for a CGCS payment.

Credentials/Documentation:

Applicants are required to have an acreage report on file for the 2015 cotton crop either to Farm Service Agency on a FSA-578 form, or a crop insurance agent for Federal Crop Insurance purposes. The applicant must have a FSA-211 on file with FSA if an agent or representative of the applicant signs the CGCS application for payment on behalf of the applicant. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must sign and submit CCC-882 form CGCS Payment Application to FSA between June 20, 2016 to August 5, 2016 to be considered for a CGCS payment.

Applications submitted after August 5, 2016, will not be eligible for a CGCS payment. The signed and completed CCC-882 may be submitted in person, by mail, FAX, or by email to the local FSA office.

Award Procedure:

The payment is based upon the number of 2015 cotton crop acres reported as planted, including failed acres, times the applicants share in the 2015 cotton

crop, times the applicable production region cost-share rate based upon the location of the cotton production acres as identified on the CCC-882.

Deadlines:

Jun 20, 2016 to Aug 05, 2016

Range of Approval/Disapproval Time:

Applications must be approved and paid no later than September 30, 2016.

Appeals:

From 15 to 30 days. Applicants can appeal if all of the following occur: (1) the application was submitted by the signup deadline of August 5, 2016, (2) the number of acres, shares, and/or the production regional cost-share rate if the data is incorrect, and (3) a payment has not been disbursed.

Renewals:

Renewal of information is not required since the program will be offered as a one-time signup for a one-time payment.

Formula and Matching Requirements:

Statutory Formula: Title CFR, Chapter 7, Part 1400, Subpart E.

This program has no matching requirements. Not Applicable.

This program does not have MOE requirements. Not Applicable.

Length and Time Phasing of Assistance:

This payment is a one-time payment that must be completed by September 30, 2016. Method of awarding/releasing assistance: lump sum.

Reports:

Each state and county will be required to report the total number of applications received, the number approved, the number disapproved, and the total dollar amount paid. Total expenditures compared to available funds will be monitored at the National level. A supplemental request will be submitted if additional funds are required to process eligible payments. The Farm Service Agency (FSA) will monitor the progress of the program based upon the number of applications submitted and the disbursed amounts on a county and state basis to determine the percentage of program participation and the availability of funds. FSA will be required to report the total expenditure amount paid. Any excess funding will be returned to the OMB. All applications received by FSA either on or before August 5, 2016 must be reviewed and approved/disapproved, and paid by September 30, 2016. The National office will be able to monitor the number of applications based upon the dollar amount of payments processed.

Audits:

Not Applicable.

Records:

Applicant is not required to maintain any records.

Account Identification:

12-4336-0-3-351.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$330,000,000; FY 17 est \$0; and FY 18 est \$0 - Obligations are currently for FY 2016.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

CGCS regulations can be found in Notice of Funds Availability 81 FR 36861 (<https://www.federalregister.gov/d/2016-13672>), including part 718 and part 1400 of 7 CFR. Program is also announced through press release, news media, and newsletters.

Regional or Local Office:

None.

Headquarters Office:

Kelly Hereth 1400 Independence Avenue, SW, Stop 0512, Washington, District of Columbia 20250-0512 Email: kelly.hereth@wdc.usda.gov Phone:

202-720-0448 Fax: 202-690-3307

Website Address:

<http://www.fsa.usda.gov/cgcs>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.153 MARKET NEWS

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Cotton Statistics and Estimates Act, 7 U.S.C. 471- 473, 473b, 475-476; Tobacco Inspection Act, 7 U.S.C. 511h; Agricultural Marketing Act of 1946, 7 U.S.C. 1622k; Tobacco Statistics Act, 7 U.S.C. 501-508; Naval Stores Act, 7 U.S.C. 91-99; Cotton Futures Act, 7 U.S.C. 15b; Peanut Statistics Act, 7 U.S.C. 951-957; Food Security Act of 1985, as amended.

OBJECTIVES:

To provide timely and accurate information on prices, demand, movement, volume, and quality on all major U.S. agricultural commodities to state departments of agriculture.

TYPES OF ASSISTANCE:

Information

USES AND USE RESTRICTIONS:

The information is used by State Departments of Agriculture as a basis for judging prevailing commodity values. Market News information is widely distributed through a variety of electronic sources, as well as fax.

Applicant Eligibility:

State Departments of Agriculture may subscribe to existing market news reports or bulletins pertaining to specific agricultural commodities and markets.

Beneficiary Eligibility:

State Departments of Agriculture.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for Market News reports and information should be made to the appropriate commodity division of the AMS field office (see Appendix IV of the Catalog for listing of office locations).

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As provided for in Cooperative agreements with states, normally on an annual basis. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

12-2500-0-1-352.

Obligations:

(Information) FY 16 \$33,219,000; FY 17 est \$33,659,000; and FY 18 est \$32,150,000

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

12-2500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

MB-40, "The Federal- State Market News Services;" MB-41, "Market News Service on Cotton;" MB-61, "The Market News Service on Fruits, Vegetables, Ornamental and Specialty Crops;" MB-44, "The Market News Service on Grain;" MB-63 "Market News Service on Dairy, MB-60 Market News Service and Poultry Products;" MB-43, "Market News Service for Tobacco and Naval Stores;" Annual Market Statistical Summaries are available for sale only through the AMS commodity divisions.

Regional or Local Office:

See Regional Agency Offices. AMS field offices are listed in Appendix IV of the Catalog.

Headquarters Office:

Erin Morris 1400 Independence Ave SW , Washington, District of Columbia 20250 Phone: (202) 690-4024

Website Address:

<http://www.ams.usda.gov>

RELATED PROGRAMS:

10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.700 National Agricultural Library; 10.950 Agricultural Statistics Reports

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.155 MARKETING AGREEMENTS AND ORDERS

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Agricultural Marketing Agreement Act of 1937, as amended, 7 U.S.C. 601, 602, 608a-e, 612, 614, 624, 671-674; Omnibus Budget Reconciliation Act of 1987, Public Law 100-203, 7 U.S.C. 4501- 4513; Agriculture and Food Act of 1981, 7 U.S.C. 2242a.

OBJECTIVES:

Marketing Agreements and Orders are designed to stabilize market conditions and improve returns to producers through the establishment of minimum prices,

regulating the quality and quantity of commodities sold through commercial channels, and providing market promotion.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

The provisions of orders and agreements are designed specifically to meet the marketing and economic problems of the commodity or area covered. For dairy products, the orders establish orderly marketing conditions in the sale of milk by dairy farmers to handlers, whereas fruit, vegetable, nut, and grass seed, are established to regulate the quantity and quality of products sold in commercial channels.

Applicant Eligibility:

Marketing orders are issued by the Secretary of Agriculture only after a public hearing where milk, fruit and vegetable producers, marketers, and consumers testify, and after farmers vote approval through a referendum. Growers of certain fruits, vegetables, and specialty crops (like nuts, raisins, olives, and hops). Dairy farmers are the primary applicants.

Beneficiary Eligibility:

The beneficiaries are producers of milk, fruit and vegetable products.

Credentials/Documentation:

Evidence (usually hearings) required indicating that agreement or order will assist in the orderly marketing of a commodity. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Made in the form of a letter to the Secretary of Agriculture fully documenting the need for an agreement or order.

Award Procedure:

Decision to establish or not to establish an agreement and order is made by the Secretary of Agriculture after public hearings and referendums are conducted.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Subject to time required to hold public hearings, investigations and to conduct a referendum. Approximately 1 year after start of public hearings.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Duration of agreement and order. Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly and yearly financial and statistical reports are to be made to the applicable AMS commodity division by producers or handlers as provided for by each agreement and order. Quarterly and yearly financial and statistical reports are to be made to the applicable AMS commodity division by producers or handlers as provided for by each agreement and order. No progress reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Financial and program audits pertaining to marketing agreements and order transactions are made periodically.

Records:

Financial and statistical records relating to the operation of the agreement and order are to be maintained for 3 years.

Account Identification:

12-5209-0-2-605.

Obligations:

(Salaries) FY 16 \$20,489,000; FY 17 est \$20,705,000; and FY 18 est \$20,489,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

12-5209.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

AH-421, Compilation of Agricultural Marketing Agreement Act; PA947, Can a Marketing Order Help?; PA-1095, Marketing Agreements and Orders for Fruits and Vegetables; AMS-565, Sources of Milk for Federal Order Markets by State and County; AMS-559, Questions and Answers on Federal Milk Marketing Orders; MB-27, The Federal Milk Marketing Order Program; SB-625, Federal Milk Order Market Statistics, Annual Summary; SB-624, Dairy Market Statistics, Annual Summary; MB-63, Market News Service on Dairy Products; How to Buy Dairy Products, HG-201; How to Buy Cheese, HG-193; How to Buy Butter, HG-148; Dairy Products Grading Services, MB-69; Judging and Scoring Milk and Cheese, FB-2259.

Regional or Local Office:

None.

Headquarters Office:

Erin Morris 1400 Independence Ave, SW, Washington, District of Columbia 20250 Phone: 202-690-4024

Website Address:

<http://www.ams.usda.gov>

RELATED PROGRAMS:

10.051 Commodity Loans and Loan Deficiency Payments; 10.500 Cooperative Extension Service

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.156 FEDERAL-STATE MARKETING IMPROVEMENT PROGRAM FSMP

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Agricultural Marketing Act of 1946, Section 204b, 7 U.S.C. 1623(b).

OBJECTIVES:

To assist in exploring new market opportunities for U.S. food and agricultural products and to encourage research and innovation aimed at improving the efficiency and performance of the U.S. marketing system.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Proposals may deal with barriers, challenges or opportunities at any stage of the marketing chain including direct, wholesale, and retail. Proposals may involve small, medium or large scale agricultural entities but should potentially benefit multiple producers. Proprietary proposals that benefit one business or individual

will not be considered. Proposals that address issues of importance at the State, regional or national level are appropriate for FSMIP. FSMIP also seeks unique proposals on a smaller scale that may serve as pilot projects or case studies useful as a model for others. Of particular interest are proposals that reflect a collaborative approach between the States, academia, the farm sector and other appropriate entities and stakeholders.

Applicant Eligibility:

State Departments of Agriculture, State Agricultural Experiment Stations and other appropriate State agencies including State universities, State colleges, and State government entities such as State departments of forestry, natural resources, or energy.

Beneficiary Eligibility:

Producers, processors, marketing agencies, and general public.

Credentials/Documentation:

A grant agreement is entered into between the administering Federal agency and the State entity that submits the application. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR part 200 and 2 CFR 400 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR part 200 and 2 CFR 400 applies to this program. Applicants must submit forms SF-424 and SF-424B, a narrative description of the proposal, a supplemental budget and budget narrative, personnel qualifications, and 1-3 letters of support from beneficiaries.

Award Procedure:

The requests are reviewed and approved or disapproved by the Agricultural Marketing Service in competition with other applications received after review panels have scored and ranked assigned proposals. Recipients are notified of the amount awarded and the terms and conditions of award by email. Grant payments are made by electronic fund transfer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Annual application deadlines are posted in Grants.gov and on the program website at www.ams.usda.gov/fsmip. Funds are awarded by September 30 of each Federal fiscal year.

Appeals:

No formal appeal procedure.

Renewals:

Awards are for two years. Additional time to complete the project may be requested.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 100%. This program has no statutory formula.

Matching Requirements: Percent: 100%.

MOE requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Payments are made to the States on an as needed, reimbursable basis over the grant period. Advance payments may be requested. See the following for

information on how assistance is awarded/released: Payments are made to the States on an as needed, reimbursable basis over the grant period. Advance payments may be requested.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Six month performance reports and financial reports are required. A final performance report and a final financial report are required within 90 days after the expiration date of the grant period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. 2 CFR part 200, Subpart F - Audit requirements apply.

Records:

Recipients must retain financial and other records relating to grant for a period of three years after the final Federal Financial Report (SF-425) is received by the Agricultural Marketing Service or until final resolution of any audit findings or litigation claims relating to this program.

Account Identification:

12-2501-0-1-352.

Obligations:

(Project Grants (for specified projects)) FY 16 \$1,235,000; FY 17 est \$1,235,000; and FY 18 est \$1,109,000

Range and Average of Financial Assistance:

\$25,000 to \$1,000,000. Average: \$148,000.

TAFS Codes:

12-2501.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

A request for proposals is announced each year via, www.grants.gov, and the program web site. Additional information about the Federal-State Marketing Improvement Program is available at the program web site at www.ams.usda.gov/fsmip.

Regional or Local Office:

None.

Headquarters Office:

Martin Rosier 1400 Independence Ave SW, Rm. 4543 Mail Stop 0234, Washington, District of Columbia 20250 Email: martin.rosier@ams.usda.gov Phone: 202-260-8449

Website Address:

<https://www.ams.usda.gov/services/grants/fsmip>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Project selection is based on several factors, including: how well the proposal meets marketing and research requirements, quality of proposal, and impact on project beneficiaries. Eligible Promise Zone applicants will receive five priority points as a component of the criteria used to evaluate applications.

10.162 INSPECTION GRADING AND STANDARDIZATION

(Agricultural Fair Practices Act)

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Agricultural Marketing Act of 1946, 7 U.S.C. 1622a-J, 1-n; Cotton Statistics and Estimates Act, 7 U.S.C. 473d; Cotton Futures Act, 7 U.S.C. 15b; Cotton Standards Act, 7 U.S.C. 51-65; Naval Stores Act, 7 U.S.C. 91-99; Tobacco Inspection Act, 7 U.S.C. 511 i-q; Wool Standards Act, 7 U.S.C. 415b-d; Egg Products Inspection Act, Public Law 91-597, 21 U.S.C. 1031-1056; Dairy and Tobacco Adjustment Act of 1983, 7 U.S.C. 4501-4513; Tobacco Statistics Act, 7 U.S.C. 501-508; Food Security Act of 1985, as amended.

OBJECTIVES:

To develop and apply standards of quality and condition for agricultural commodities; to participate and assist in the development of international agricultural standards; and to conduct quarterly inspections of egg handlers and hatcheries.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

USDA grades are used by the owners of agricultural commodities and by dealers in these commodities to Hatcheries and shell egg handlers, except for producer-packers with an annual production from 3,000 hens or less packing for the retail consumer are required to be checked quarterly to determine the disposition of certain undergrade eggs.

Applicant Eligibility:

Any owner of or dealer in agricultural commodities who (a) has a financial interest in the commodity to be graded and (b) is located within the United States and its Territories. All hatcheries and shell egg handlers having an annual production from 3,000 or more hens who pack for the retail consumer and are located within the U.S. or its Territories.

Beneficiary Eligibility:

Buyers and sellers of agricultural commodities. Shell egg handlers having an annual production from 3,000 or more hens who pack for the retail consumer and are located in the U.S. or its Territories.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Request for grading of a commodity must be submitted to local AMS grading office in writing. Eligible hatcheries apply for registration by contacting: Chief, Poultry Grading Branch, Agricultural Marketing Service, Department of Agriculture, Washington, DC 20250.

Award Procedure:

Eligible hatcheries and shell egg handlers must complete registration forms.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

In cases in which applicants do not agree with the grade given, they may appeal for a review of the grade to the local AMS office.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Duration of agreements. See the following for information on how assistance is

awarded/released: N/A.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

12-2500-0-1-352; 12-8015-0-7-352.

Obligations:

(Salaries) FY 16 \$155,357,000; FY 17 est \$157,690,000; and FY 18 est \$160,473,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

12-2500; 12-8015.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 26-32, 41-70, 160- 171, 205; AH-533, "USDA Standards for Food and Farm Products;" Marketing Bulletin No. 37, "The Story of Cotton;" "Annual Report on Tobacco Statistics, AH-566, The Classification of Cotton;" Misc. Pub. No. 867, "Tobacco in the U.S.;" quarterly report-- "Tobacco Stocks Reports as of Jan. 1, April 1, July 1, and October 1;" MS-82, "Cotton Testing Service;" AMS-180 MB-41, "Market News Service on Cotton;" MB-43, 7 CFR 2851, 2852, 2853, 2855, 2856, 2858, 2870, 28171; AH-341, "USDA Standards for Food and Farm Products." AH-31, "Poultry Grading Manual." AH-75, "Egg Grading Manual." Egg and Egg Products Inspection Regulations (7 CFR Part 2859); POB-FL-33, Tobacco Market Review for Flue-cured; POB-LA-34, Tobacco Market Review for Burley; POB-LA-33, Tobacco Market Review for Fire and Dark Air.

Regional or Local Office:

See Regional Agency Offices. Local Agricultural Marketing Service inspection, grading, and classing offices are listed in Appendix IV of the Catalog.

Headquarters Office:

Erin Morris 1400 Independence Ave, SW, Washington, District of Columbia 20250 Phone: 202-690-4024

Website Address:

<http://www.ams.usda.gov>

RELATED PROGRAMS:

10.500 Cooperative Extension Service

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.163 MARKET PROTECTION AND PROMOTION

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Agricultural Marketing Act of 1946, 7 U.S.C. 1621-1627, 7 U.S.C. 2101-2119; Federal Seed Act, 7 U.S.C. 1551-1611; National Wool Act of 1954, as amended, 7 U.S.C. 1787; Plant Variety Protection Act, 7 U.S.C. 2321-2331, 2351-2357, 2371-2372, 2401- 2404, 2421-2427, 2441-2443, 2461-2463, 2481-2486, 2501-2504, 2531-2532, 2541-2545, 2561-2569, 2581-2583; 7 U.S.C. 4301-4319; Agricultural Fair Practices Act, 7 U.S.C. 2301-2306; Capper Volstead Act, 7 U.S.C 291-292; Organic Foods Production Act of 1990, 7 U.S.C. 6501-6522.;

OBJECTIVES:

The Federal Seed Program prevents mislabeled seed from moving in interstate

commerce by providing for random sampling of seeds to insure accurate labeling of seed characteristics. The Plant Variety Protection Program offers legal protection to developers of new varieties of plants which reproduce by providing the equivalent of a patent to the plant's developer. The Pesticide Data Program works with participating States to collect and analyze data on pesticide use and residue levels for selected commodities in the nation's food supply. This program provides statistically based data to Federal agencies for use in making policy decisions for regulatory and educational purposes.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; PROVISION OF SPECIALIZED SERVICES; TRAINING

USES AND USE RESTRICTIONS:

Assistance provided to assure fair and open marketing distribution system for agricultural products.

Applicant Eligibility:

Any State government, public and private organization and institution, business and industry, or individual may apply for technical assistance or service. State, trade associations, and universities may be eligible for cooperative agreements.

Beneficiary Eligibility:

Any State government, public and private organization and institution, business and industry, or individual may apply for technical assistance or service.

Credentials/Documentation:

As provided for in applicable Acts as referred to in the Authorization Section above. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Procedures vary as provided for in applicable Acts. Contact appropriate area offices as listed in Appendix IV of the Catalog.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

As provided for in applicable Acts.

Renewals:

As provided for in applicable Acts.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As provided in agreements. See the following for information on how assistance is awarded/released: As provided for in agreements.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

As provided for in applicable Acts.

Account Identification:

12-2500-0-1-352.

Obligations:

(Salaries) FY 16 \$17,338,000; FY 17 est \$17,398,000; and FY 18 est \$17,137,000

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

12-2500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PA-655 "Export Fruit Acts-Questions and Answers," AMS-592, Facts About Naming and Labeling Varieties of Seed," "The Plant Variety Protection Act," requirements under the Federal Seed Act for Labeling treated Seed," 7 CFR 33-35, 101- 151,201-202; PA-1005, "Farmers Rights Under the Agricultural Fair Practices Act."

Regional or Local Office:

See Regional Agency Offices. Regional and local offices are listed in Appendix IV of the Catalog.

Headquarters Office:

Erin Morris 1400 Independence Ave SW, Washington, District of Columbia 20250 Phone: 202-690-4024

Website Address:

<http://www.ams.usda.gov>

RELATED PROGRAMS:

10.155 Marketing Agreements and Orders

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.164 WHOLESALE FARMERS AND ALTERNATIVE MARKET DEVELOPMENT

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Agricultural Marketing Act of 1946.

OBJECTIVES:

To provide technical assistance to States and municipalities interested in creating or upgrading markets and marketing facilities.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; TRAINING

USES AND USE RESTRICTIONS:

Assistance provided in cooperation with other government agencies and private industry to reduce marketing costs.

Applicant Eligibility:

Other government agencies and private industry. State, trade associations, universities, and other nonprofit organizations are eligible to apply for cooperative agreements.

Beneficiary Eligibility:

Producers, processors, marketing agencies, and general public.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments, or OMB Circular No. A-21 for Educational Institutions. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed

in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact Deputy Administrator, Transportation and Marketing Programs, Agricultural Marketing Service, USDA. The standard application forms as furnished by the Federal agency must be used for this program.

Award Procedure:

As provided for in the Act.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

As provided for in the Act.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As provided for in agreements. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As provided for in the Agreement.

Account Identification:

12-2500-0-1-352.

Obligations:

(Salaries) FY 16 \$5,216,000; FY 17 est \$5,246,000; and FY 18 est \$4,254,000

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

12-2500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Arthur Neal 1400 Independence Ave SW, Washington, District of Columbia
20250 Phone: (202) 690-1300

Website Address:

<http://www.ams.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.165 PERISHABLE AGRICULTURAL COMMODITIES ACT

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Perishable Agricultural Commodities Act of 1930, as amended, 7 U.S.C. 499a-4995; Produce Agency Act, 7 U.S.C. 491-497.

OBJECTIVES:

To reduce unfair and fraudulent practices in the marketing of perishable agricultural commodities in interstate and foreign commerce. To prevent the destruction or dumping, without good and sufficient cause of farm produce received in interstate commerce by commission merchants and others and to require them truly and correctly to account for all farm produce received by them.

TYPES OF ASSISTANCE:

INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Assistance provided to assure a fair and open marketing distribution system for agricultural products. No specific restrictions.

Applicant Eligibility:

Business and industry or individuals may apply for a PACA license.

Beneficiary Eligibility:

Business and industry or individuals may apply for a PACA license.

Credentials/Documentation:

As provided for in the Perishable Agricultural Commodities Act, 1930 as amended, 7 U.S.C. 499a-4995; Produce Agency Act, 7 U.S.C. 491-497. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Contact appropriate area offices listed in Appendix IV of the Catalog.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

As provided for in applicable Acts.

Renewals:

As provided for in applicable Acts.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

N/A. See the following for information on how assistance is awarded/released:
As provided for in applicable Acts.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. As provided for in applicable Acts.

Records:

As provided for in applicable Acts.

Account Identification:

12-5070-0-2-352.

Obligations:

(Salaries) FY 16 \$11,452,000; FY 17 est \$10,423,000; and FY 18 est \$10,590,000

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

12-5070.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Perishable Agricultural Commodities Act of 1930, and Regulations (other than rules of practice).

Regional or Local Office:

See Regional Agency Offices. Regional and local offices listed in Appendix IV of the Catalog.

Headquarters Office:

Erin Morris 1400 Independence Ave SW, Washington, District of Columbia 20250 Phone: 202-690-4024

Website Address:

<http://www.ams.usda.gov>

RELATED PROGRAMS:

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.167 TRANSPORTATION SERVICES

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Agricultural Adjustment Act of 1938, 7 U.S.C 1281 et seq.; Agricultural Marketing Act of 1946, 7 U.S.C 1621; Rural Development Act of 1972, 7 U.S.C 2662-2663; Agricultural Trade and Assistance Act of 1954, 7 U.S.C 1427,1431,1691; International Carriage of Perishable Foodstuff Act of 1982; Cooperative Marketing Act of 1926, 7 U.S.C 291-292.

OBJECTIVES:

The Transportation Services program develops and promotes efficient agriculture transportation systems to help improve farm income, expand exports and meet the needs of rural America. The program provides technical and administrative direction, coordination, and leadership in the development and execution of agricultural transportation policies. Transportation Services provides a basis for Federal-State decision-makers in regulatory, policy and legislative matters in order to assure the transportation needs of rural communities and agriculture.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; TRAINING

USES AND USE RESTRICTIONS:

Assistance provided where it is determined that there is a significant regional or policy issue with potentially broad implications.

Applicant Eligibility:

Any State government, public and private organization and institution, business and industry, or individual may apply for technical assistance or service. State,

trade associations, universities, and nonprofit organizations may be eligible for cooperative agreements.

Beneficiary Eligibility:

Producers, processors, and general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No formal application procedure specified; informal correspondence or personal contact is permissible. Contact Deputy Administrator, Transportation and Marketing Programs, Agricultural Marketing Service, USDA.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As provided for in agreements. See the following for information on how assistance is awarded/released: As provided for in agreements.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

12-2500-0-1-352.

Obligations:

(Salaries) FY 16 \$2,901,000; FY 17 est \$3,929,000; and FY 18 est \$2,929,000

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

12-2500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Arthur Neal 1400 Independence Ave SW, Washington, District of Columbia 20250 Email: Arthur.Neal@ams.usda.gov Phone: (202) 690-1300

Website Address:

<http://www.ams.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.168 FARMERS MARKET PROMOTION PROGRAM

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Section 5 of the Farmer-to-Consumer Direct Marketing Act of 1976, Public Law 94-463, as amended by Section 10003 of the Agricultural Act of 2014, Public Law 113-79.

The authorization covers two competitive grants, the Farmers Market Promotion Program (FMPP), a no-match grant, under CFDA number 10.168; and Local Food Promotion Program (LFPP), a 25 percent matching grant, under CFDA number 10.172. , Public Law 113-79.

OBJECTIVES:

To increase domestic consumption of and access to locally and regionally produced agricultural products, and to develop new market opportunities for farm and ranch operations serving local markets, by developing, improving, expanding, and providing outreach, training, and technical assistance to, or assisting in the development, improvement, and expansion of domestic farmers markets, roadside stands, community-supported agriculture programs, agri-tourism activities and other direct producer-to-consumer market opportunities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Two types of applications will be accepted under the Program: Capacity Building and Community Development, Training, and Technical Assistance grants. Capacity Building (CB) projects are intended to assist applicants in the development, improvement, and expansion of domestic farmers markets, roadside stands, CSA programs, agritourism activities, and other direct producer-to-consumer market opportunities. CB projects should demonstrate a direct benefit to farm and ranch operations serving local markets (including new and beginning farmers) and maximize the involvement of farmers and ranchers and community organizations. Community Development, Training, and Technical Assistance (CTA) projects are intended to support applicants efforts to provide outreach, training, and technical assistance to farm and ranch operations serving local markets and other interested parties for developing, improving, and expanding of domestic farmers markets, roadside stands, CSA programs, agritourism activities, and other direct producer-to-consumer market opportunities. CTA projects should engage a diverse set of local and regional foods stakeholders, including farmers and ranchers, to illustrate a substantive effect on the local and regional food system and stakeholders.

Eligible entities may not use grant funds for the purchase, construction, or rehabilitation of a building or structure .

Applicant Eligibility:

Agricultural cooperatives, local governments, nonprofit corporations, producer networks, producer associations, community supported agriculture networks, community supported agriculture associations, public benefit corporations, economic development corporations, regional farmers market authorities, and Tribal governments. Projects and applicants must be owned, operated, and located within the 50 States, the District of Columbia, and the U.S. territories (American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Puerto Rico, and U.S. Virgin Islands).

Beneficiary Eligibility:

Projects that benefit producers, direct marketing enterprises, and consumers.

Credentials/Documentation:

A grant agreement is entered into between the administering Federal agency and the State agency that submits the application. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR part 200 and 2 CFR 400 applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR part 200 and 2 CFR 400 applies to this program. Applicants must submit forms SF-424, and SF-424B, an eligibility statement, written proof of eligibility, critical resources and infrastructure documentation, a narrative description of the proposal; a supplemental budget summary, and any supporting documents. Letters of commitment may be required as applicable.

Award Procedure:

The requests are reviewed and approved or disapproved by the Agricultural Marketing Service in competition with other applications received. Recipients are notified of the amount awarded by email. Grant payments are made by electronic fund transfer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Annual application deadlines are posted in Grants.gov and on the programs website at www.ams.usda.gov/FMPP. Funds are awarded by September 30 of each federal fiscal year.

Appeals:

Not Applicable.

Renewals:

Awards are generally for three years. Additional time to complete the project may be requested.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant payments are made on an as-needed reimbursable basis over the grant period. Advance payments may be requested. See the following for information on how assistance is awarded/released: As provided for in agreements.

Reports:

No program reports are required. Annual performance reports and financial reports are required. A final performance report and a final financial report are required within 90 days after the expiration date of the grant period. Progress reports are not applicable. Expenditure reports are not applicable. Annual performance reports and financial reports are required. A final performance report and a final financial report are required within 90 days after the expiration date of the grant period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. 2 CFR part 200, Subpart F - Audit requirements apply.

Records:

Recipients must retain financial and other records relating to grant for a period of three years after the final Federal Financial Report (SF-425) is received by the Agricultural Marketing Service or until final resolution of any audit findings or litigation claims relating to this program.

Account Identification:

12-2500-0-1-352.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 est \$15,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

As provided for in the applicable request for applications.

TAFS Codes:

12-2500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: Awards and final performance reports are posted on the programs website at www.ams.usda.gov/fmpp. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

A request for proposals is announced each year via Federal Register notice, www.grants.gov, and the program web site. Additional information about the Local Food Promotion Program is available at the program web site at www.ams.usda.gov/fmpp.

Regional or Local Office:

None.

Headquarters Office:

USDA FMPP 1400 Independence Ave SW, Washington, District of Columbia 20250 Email: USDAFMPPQuestions@ams.usda.gov Phone: 202-720-8317

Website Address:

<http://www.ams.usda.gov/FMPP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Project selection is based on several factors, including how well the proposed project will accomplish the Program objectives, quality of proposal, and impact on project beneficiaries. Eligible Promise Zone applicants will receive five priority points as a component of the criteria used to evaluate applications.

10.170 SPECIALTY CROP BLOCK GRANT PROGRAM - FARM BILL SCBGP

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Section 101 of the Specialty Crops Competitiveness Act of 2004 (7 U.S.C. 1621 note) and amended under section 10010 of the Agricultural Act of 2014, Public Law 113-79 (the Farm Bill). , Public Law 113-79.

OBJECTIVES:

To solely enhance the competitiveness of specialty crops defined as fruits and vegetables, dried fruit, tree nuts, horticulture, and nursery crops (including floriculture).

Specialty Crop Multi-State Program (SCMP) - To solely enhance the competitiveness of specialty crops by funding collaborative, multi-state projects on a competitive basis that address the following regional or national level

specialty crop issues: food safety, plant pests and disease, research, crop-specific projects addressing common issues, and marketing.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Projects must solely enhance the competitiveness of specialty crops. Projects must solely enhance the competitiveness of specialty crops.

Applicant Eligibility:

The State department of agriculture, agency, commission, or department of a State government responsible for agriculture within any of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands are eligible to receive grants under this program.

Beneficiary Eligibility:

Producers, processors, growers, state agencies, beginning and socially disadvantaged farmers, and general public.

Credentials/Documentation:

A grant agreement is entered into between the Agricultural Marketing Service and the State department of agriculture that submits the application. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR part 200 and 2 CFR 400 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR part 200 and 2 CFR 400 applies to this program. The State department of agriculture submits SF-424 and SF-424B, and a State plan identifying the lead agency charged with the responsibility of carrying out the plan and indicating how the grant funds will be utilized solely to enhance the competitiveness of specialty crops. In developing their plans, States are encouraged to take into consideration the views of beginning and socially disadvantaged farmers, and take steps to perform outreach to these groups. The States should develop a transparent process of receiving and considering public comment. State departments of agriculture are encouraged to develop their State plans through a competitive process in order to ensure maximum public input and benefit. States are encouraged to submit grant plans that include multi-State and regional project proposals.

For the SCMP the State department of agriculture must submit forms SF-424 and SF-424B, a narrative description of the proposal, a supplemental budget, budget narrative, personnel qualifications, letters of support from beneficiaries and other requirements outlined in the Request for Applications

Award Procedure:

SCBGP applications are reviewed by the Agricultural Marketing Service. SCMP applications are evaluated in competition with other applications by outside review panels that score and rank proposals against criteria set by the Agricultural Marketing Service. Recipients are notified of the amount awarded by a notice of award and the terms and conditions of award by email. Grant payments are made by electronic funds transfer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

A State department of agriculture eligible applicant should contact the headquarters office for application deadlines. Other applicants should contact their local State department of agriculture office. State contacts can be found at www.ams.usda.gov/services/grants/scbgp. Annual application deadlines for State departments of agriculture are posted in Grants.gov and on the program website at www.ams.usda.gov/scbgp. Funds are awarded by September 30 of each federal fiscal year. SCMP application deadlines for State departments of agriculture are posted on Grants.gov and on the program website at www.ams.usda.gov/services/grants/scmp.

Appeals:

No formal appeal procedure.

Renewals:

Awards are for 3 calendar years. Additional time to complete the project may be requested.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Each eligible State department of agriculture that submits an application that the SCBGP reviews and accepts is eligible to receive an amount that is at least equal to the higher of \$100,000 or 1/3 of 1% of the total amount of funding made available each fiscal year. The remainder of funding is allocated based on the average of the most recent available value of State specialty crop production and each States specialty crop acreage as demonstrated in the most recent Census of Agriculture data. Eligible State department of agriculture applicants who do not apply for or do not request all available funding during the specified grant application period will forfeit all or that portion of available funding not requested. SCBGP will allocate funds not applied for, by a date as determined by SCBGP, pro rata to the remaining State department of agriculture applicants who applied during the specified grant application period.

The SCMP does not authorize formula grants.

The SCBGP and SCMP do not have matching requirements.

The SCBGP and SCMP do not have MOE requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are advanced or reimbursed. Applicants have up to 3 calendar years to use the funds. See the following for information on how assistance is awarded/released: See www.ams.usda.gov/services/grants/scbgp and www.ams.usda.gov/services/grants/scmp for grant agreement award terms and conditions to obtain information on how assistance is awarded/released.

Reports:

Annual performance reports are required. A final financial report are required within 90 days after the expiration date of the grant period. The State departments of agriculture are required to monitor their sub-awardees' projects. No cash reports are required. Annual performance reports are required. A final financial report are required within 90 days after the expiration date of the grant period. The State departments of agriculture are required to monitor their sub-awardees' projects. Annual financial reports are required. A final financial report are required within 90 days after the expiration date of the grant period. The State departments of agriculture are required to monitor their sub-awardees' projects. See www.ams.usda.gov/services/grants/scbgp for the evaluation plan (performance measure) to obtain detailed information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For each year that a State receives a grant under this section, the State shall conduct an audit of the expenditures of grant funds by the State in accordance with 2 CFR part 200, Subpart F- Audit Requirements. Not later than 30 days after the completion of the audit, the State shall submit a copy of the audit to the SCBGP or SCMP, as appropriate.

Records:

Recipients must retain financial and other records relating to grant for a period

of three years after the final Federal Financial Report (SF-425) is received by the Agricultural Marketing Service or until final resolution of any audit findings or litigation claims relating to this program.

Account Identification:

12-2501-0-1-352.

Obligations:

(Formula Grants) FY 16 \$72,500,000; FY 17 est \$72,500,000; and FY 18 est \$85,000,000 - SCBG Only. (Project Grants) FY 16 \$3,000,000; FY 17 est \$4,000,000; and FY 18 est \$5,000,000 - SCMS Only.

Range and Average of Financial Assistance:

As provided for in the applicable request for applications.

TAFS Codes:

12-2501.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

A request for applications (RFA) is announced each fiscal year via www.grants.gov, in accordance with 200.203. Additional information about the Specialty Crop Block Grant Program is available at www.ams.usda.gov/services/grants/scbgp. Additional information about the Specialty Crop Multi-State Program is available at www.ams.usda.gov/services/grants/scmp.

Regional or Local Office:

None.

Headquarters Office:

Borgmeier 1400 Independence Ave. SW Rm. 4534, Stop 0269
, Washington, District of Columbia 20250 Email:
carlym.borgmeier@ams.usda.gov Phone: 202-260-8702

Website Address:

<http://www.ams.usda.gov/scbgp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Competitive Grants: FY 16 - \$3,000,000
Formula Grants: FY 16 - \$72,500,000. Fiscal Year 2017: Competitive Grants:
FY 17 - \$4,000,000; FY 18 - \$5,000,000.
Formula Grants FY-17est \$72,500,000; FY18 est \$85,000,000. Fiscal Year
2018: Competitive Grants: FY 18 - \$5,000,000.
Formula Grants FY18 est \$85,000,000.

CRITERIA FOR SELECTING PROPOSALS:

The authorizing legislation for the SCBGP places the responsibility and authority for selecting projects for grants with State officials. U.S. Department of Agriculture authority is limited to ensuring projects selected enhance the competitiveness of specialty crops. The responsibility and authority for submitting SCMP proposals to AMS headquarters rests with State officials. The U.S. Department of Agriculture is responsible for convening outside review panels to evaluate, score and rank submitted proposals on criteria outlined in the Request for Applications including the how well the proposal meets program objectives, the quality of proposal, and the impact on project beneficiaries.

10.171 ORGANIC CERTIFICATION COST SHARE PROGRAMS**OCCSP****FEDERAL AGENCY:**

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Agricultural Management Cost Share Program is authorized under the Federal Crop Insurance Act (FICA) as amended., Title 7, Part 36, Section 1501-1524, 7 U.S.C 1501-1524; The National Cost Share Program is authorized under 7 U.S.C. 7901 note, as amended by section 10004(c) of the Agriculture Act of 2014 (2014 Farm Bill), Title 7, Part 94, Section 7901, Public Law 113-79, 7 U.S.C 7901.

OBJECTIVES:

The objective of this program is to provide financial assistance to certified organic producers and handlers.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Organic Certification Cost Share Programs reimburse eligible producers and handlers for a portion of the costs of organic certification. Applicants may receive reimbursements of up to 75% of the costs of certification, with an annual maximum of \$750.

State agencies (typically Departments of Agriculture) work with the USDA to administer the program. After entering into a grant agreement, the state agencies process applications for cost share funds from certified organic producers and handlers, and the USDA reimburses them. FSA will also process applications through FSA county offices. Applicants typically submit a one-page application form, along with proof of certification and an itemized invoice, to their State department of agriculture or an FSA county office. Funds may only be used to provide reimbursements to certified organic and transitional producers and handlers. A 10% indirect cost recovery is allowed. Funds may only be used to provide reimbursements to certified organic producers and handlers. A 10% indirect cost recovery is allowed.

Applicant Eligibility:

To be eligible for cost share payments, a producer or handler must possess USDA organic certification and/or transitional certification at the time of application and have paid fees/expenses related to its initial certification or renewal of its certification from a certifying agent. State Agencies are eligible to establish an agreement to administer the program within their State.

Beneficiary Eligibility:

The eligible certified producer/handler receives the OCCSP benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

The USDA will coordinate with State governments to determine budgetary allocations. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The submission of a Standard Form 424 (Application for Federal Assistance) through Grants.Gov and the signing of a grant agreement with the USDA Farm Service Agency is required.

Award Procedure:

Allocations are awarded to State Departments of Agriculture or their equivalents based on the number of certified organic operations in the State, as well as the history of participation in the cost share program by certified organic operations in that State.

Payments to eligible operations will be calculated as 75 percent of the allowable costs incurred by an eligible operation, not to exceed a maximum of \$750 per certification scope.

Deadlines:

Jan 02, 2017 to Oct 31, 2017 States must submit applications to establish agreements to administer the program by January 16, 2017. Eligible operations that apply for cost share assistance through the Farm Service Agency must submit applications between January 2, 2017, and October 31, 2017.

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

Appeal regulations are set forth in parts 11 and 780 of 7CFR apply to determinations on applications submitted to the Farm Service Agency by certified organic and transitional operations.

Renewals:

Grant agreements last only one fiscal year in duration. Pending the availability of funds, States may sign a new grant agreement for the ensuing fiscal year.

Formula and Matching Requirements:

Statutory Formula: Title 7, Chapter 94, Part 7901, Public Law 113-79. Not applicable.

This program has no matching requirements. Not applicable.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for one federal fiscal year. Participating States must disburse funds and finalize reports within 90 days after the end of the federal fiscal year. See the following for information on how assistance is awarded/released: Funds are disbursed upon request, utilizing Standard Form 270, "Request for Advance or Reimbursement."

Reports:

A final narrative report is required, which is to be no more than 2 pages. The report should cover:

1. Description of the outreach activities carried out by the State and/or subrecipients, including the methods used to identify potential applicants, means of contact with potential applicants, and outreach materials for distribution, i.e. publications, announcements, press releases, etc.
2. Description of problems or obstacles related to reimbursement, program administration, outreach, etc., during the reporting period.
3. Explanation for any denial of requests for reimbursement. No cash reports are required. No progress reports are required. A final report is required, utilizing Standard Form 425, Federal Financial Report. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The program requires record of applicant by name, applicants' certification expenses, and the reimbursement paid to the applicants. In accordance with 7 CFR 3016.42, grant recipients should retain all records relating to the grant for a period of three years after the final Federal Financial Report been submitted to the Federal Agency, or until final resolution of any audit finding or litigation, whichever is later. Electronic records retention is acceptable.

Account Identification:

12-8015-0-7-352.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Available; FY 17 est \$12,500,000; and FY 18 Not Separately Identifiable - FY 2016: \$932,000 available under the AMA
\$10,718,000 available under the NOCCSP.

Range and Average of Financial Assistance:

Awards range from \$2,500 - \$3,000,000 annually.

TAFS Codes:

12-8015-123.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through press releases, news media, and newsletters. Guidelines and Regulations can be found at <http://www.fsa.usda.gov/programs-and-services/occsp>.

Regional or Local Office:

None.

Headquarters Office:

Rita Meade 1400 Independence Ave SW, Washington, District of Columbia
20250 Email: Rita.Meade@ams.usda.gov Phone: 202-260-8636

Website Address:

<http://www.fsa.usda.gov/programs-and-services/occcsp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.172 LOCAL FOOD PROMOTION PROGRAM

LFPP

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Section 5 of the Farmer-to-Consumer Direct Marketing Act of 1976, Public Law 94-463, as amended by Section 10003 of the Agricultural Act of 2014, Public Law 113-79, Public Law 113-79.

OBJECTIVES:

To increase domestic consumption of and access to locally and regionally produced agricultural products, and to develop new market opportunities for farm and ranch operations serving local markets, by developing, improving, expanding, and providing outreach, training, and technical assistance to, or assisting in the development, improvement, and expansion of local and regional food business enterprises (including those that are not direct producer-to-consumer markets). These businesses must process, distribute, aggregate, or store locally or regionally produced food products.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Two types of applications will be accepted under the Program: Planning and Implementation grants. Applicants cannot be awarded both grant types within the same grant cycle. Planning grants are used in the planning stages of establishing or expanding a local and regional food business enterprise. Eligible activities can include but are not limited to market research, feasibility studies, and business planning. Implementation grants are used to establish a new local and regional food business enterprise, or to improve or expand an existing local or regional food business enterprise. Eligible activities can include but are not limited to training and technical assistance for the business enterprise and/or for producers working with the business enterprise; outreach and marketing to buyers and consumers; and non-construction infrastructure improvements to business enterprise facilities or information technology systems.

Eligible entities may not use grant funds for the purchase, construction, or rehabilitation of a building or structure. Funds must be used in accordance with the requirements of the Request for Applications and 2 CFR 200.

Applicant Eligibility:

Agricultural businesses and cooperatives, local governments, nonprofit corporations, producer networks, producer associations, community supported agriculture networks, community supported agriculture associations, public benefit corporations, economic development corporations, regional farmers market authorities, and Tribal governments. Projects and applicants must be owned, operated, and located within the 50 States, the District of Columbia, and the U.S. territories (American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Puerto Rico, and U.S. Virgin Islands).

Beneficiary Eligibility:

Projects that benefit producers, local and regional food business enterprises, and consumers.

Credentials/Documentation:

A grant agreement is entered into between the administering Federal agency and the State agency that submits the application. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR part 200 and 2 CFR 400 applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR part 200 and 2 CFR 400 applies to this program. Applicants must submit forms SF-424, and SF-424B, critical resources and infrastructure documentation, a narrative description of the proposal with budget summary, and any supporting documents. Letters of commitment may be required as applicable. Matching funds are required in the form of cash or an in-kind contribution in an amount equal to 25 percent of the total cost of the project.

Award Procedure:

The requests are reviewed and approved or disapproved by the Agricultural Marketing Service in competition with other applications received. Recipients are notified of the amount awarded by email. Grant payments are made by electronic fund transfer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Annual application deadlines are posted in Grants.gov and on the programs website at www.ams.usda.gov/services/grants/lfpp. Funds are awarded by September 30 of each federal fiscal year.

Appeals:

Not Applicable.

Renewals:

Planning projects are not to exceed 18 months. Implementation projects are not to exceed 36 months. Additional time to complete the project may be requested.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. This program does not have a statutory formula or MOE requirements. Matching Requirements: Percent: 25%. Matching funds are required in the form of cash or an in-kind contribution in an amount equal to 25 percent of the total cost of the project. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant payments are made on an as-needed reimbursable basis over the grant period. Advance payments may be requested. See the following for information on how assistance is awarded/released: Assistance is awarded through a grant agreement. Grant payments are made by electronic fund transfer.

Reports:

Annual performance reports and financial reports are required. A final performance report and a final financial report are required within 90 days after the expiration date of the grant period. No cash reports are required. Annual performance reports are required. A final performance report is required within 90 days after the expiration date of the grant period. The details of the reporting requirements are included in the award terms and conditions. Annual financial reports (SF-425) are required. A final SF-425 is required within 90 days after the expiration date of the grant period. The details of the reporting

requirements are included in the award terms and conditions. AMS uses a variety of monitoring tools to ensure compliance with the legislated authority of the program and 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. 2 CFR part 200, Subpart F - Audit requirements apply.

Records:

Recipients must retain financial and other records relating to grant for a period of three years after the final Federal Financial Report (SF-425) is received by the Agricultural Marketing Service or until final resolution of any audit findings or litigation claims relating to this program.

Account Identification:

12-2500-0-1-352.

Obligations:

(Project Grants) FY 16 \$15,000,000; FY 17 est \$15,000,000; and FY 18 est \$15,000,000

Range and Average of Financial Assistance:

As provided for in the applicable request for applications. For planning grants, the minimum grant request is \$25,000; maximum grant request is \$100,000. For implementation grants, the minimum grant request is \$100,000; maximum grant request is \$500,000.

TAFS Codes:

12-2500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

A request for proposals is announced each year via Federal Register notice, www.grants.gov, and program web site. Additional information about the Local Food Promotion Program is available at the program web site at www.ams.usda.gov/services/grants/lfp.

Regional or Local Office:

None.

Headquarters Office:

USDA LFPP 1400 Independence Ave SW, RM 4543, Stop 0234, Washington, District of Columbia 20250 Email: USDALFPPQuestions@ams.usda.gov Phone: 202-720-8713

Website Address:

<http://www.ams.usda.gov/lfp>

RELATED PROGRAMS:

10.168 Farmers' Market Promotion Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Project selection is based on several factors, including how well the proposed project will accomplish the Program objectives, quality of proposal, and impact on project beneficiaries. Projects are peer review, scored and ranked by subject matter experts against the evaluation criteria in the grant program Request for Applications (RFA). Eligible Promise Zone applicants will receive five priority points as a component of the criteria used to evaluate applications.

10.173 SHEEP PRODUCTION AND MARKETING GRANT PROGRAM

Sheep Production and Marketing Grant Program

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

The Sheep Production and Marketing Grant Program (SPMGP) is authorized

under section 12102 of the Agricultural Act of 2014 (Farm Bill) that amends Subtitle A of the Agricultural Marketing Act of 1946 (7 U.S.C. 1621 et seq.) by adding section 209, Sheep Production and Marketing Grant Program, Public Law 113-79, 7 U.S.C 1621.

OBJECTIVES:

The purpose of SPMGP is to develop projects that strengthen and enhance the production and marketing of sheep and sheep products in the United States, including through the improvement of infrastructure, business, resource development, and through the development of innovative approaches to solve long-term needs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Projects that strengthen and enhance the production and marketing of sheep and sheep products in the United States, including through the improvement of infrastructure, business, resource development, and through the development of innovative approaches to solve long-term needs.

Applicant Eligibility:

National entities whose mission is consistent with strengthening and enhancing the production and marketing of sheep and sheep products in the United States.

Beneficiary Eligibility:

U.S. sheep producers.

Credentials/Documentation:

Applicants must provide documentation as to how they meet the definition of an eligible entity and be registered with www.SAM.gov to submit their application via Grants.gov. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must submit form SF-424, SF-424B, an eligibility statement, written proof of eligibility, critical resources and infrastructure documentation, a narrative description of the proposal, a supplemental budget summary, and any supporting documents. Letters of commitment may be required as applicable.

Award Procedure:

Applications are reviewed by qualified reviewers and approved or disapproved by the Agricultural Marketing Service in competition with other applications received. Grantees are notified of the amount awarded by allotment notification.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Application deadlines are posted on Grants.gov and on the Agricultural Marketing Service website at www.ams.usda.gov/SPMGP.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards will be made in FY 2014 and continue to be made until expended. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Awardee report requirements are stated in the grant agreement. Awardee report requirements are stated in the grant agreement. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees must keep all records relating to the grant for 3 years from the date the SF-425 is submitted to the Agricultural Marketing Service.

Account Identification:

12-8015-0-1-352.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Not to exceed \$1,500,000.

TAFS Codes:

12-8015.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Additional information is posted at <https://www.ams.usda.gov/services/grants/spmgrp> or may be obtained from the SPMGP contact.

Regional or Local Office:

None.

Headquarters Office:

Kenneth R. Payne, Director, 1400 Independence Ave SW, Washington, District of Columbia 20250 Email: Kenneth.Payne@ams.usda.gov Phone: 202-720-5705

Website Address:

<https://www.ams.usda.gov/services/grants/spmgrp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated based on projects that strengthen and enhance the production and marketing of sheep and sheep products in the United States, including through the improvement of infrastructure, business, resource development, and through the development of innovative approaches to solve long-term needs.

10.174 ACER ACCESS DEVELOPMENT PROGRAM

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Agricultural Act 2014, Public Law 113-79, 7 U.S.C 1632c.

OBJECTIVES:

To support the efforts of such States, tribal governments, and research institutions to promote the domestic maple syrup industry through the following activities:

- (1) Promotion of research and education related to maple syrup production.
- (2) Promotion of natural resource sustainability in the maple syrup industry.
- (3) Market promotion for maple syrup and maple-sap products.
- (4) Encouragement of owners and operators of privately held land containing

species of trees in the genus Acer-

- (A) to initiate or expand maple-sugaring activities on the land; or
- (B) to voluntarily make the land available, including by lease or other means, for access by the public for maple-sugaring activities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used to support one or more of the following project types as identified in the Request for Applications. Funds must be used in accordance with the requirements of the Request for Applications and 2 CFR 200.

Applicant Eligibility:

State agencies, tribal governments, and research institutions.

Beneficiary Eligibility:

Producers, processors, marketing agencies, and general public.

Credentials/Documentation:

A grant agreement is entered into between the administering Federal agency and the State entity that submits the application. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR part 200 and 2 CFR 400 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR part 200 and 2 CFR 400 applies to this program. Applications must be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a peer review by a panel of qualified persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, AMS will make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Recipients are notified of the amount awarded and the terms and conditions of award by email.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Annual application deadlines are posted in Grants.gov and on the AMS website (www.ams.usda.gov). Funds are awarded by September 30 of each Federal fiscal year.

Appeals:

No formal appeal procedure.

Renewals:

Awards are for 3 years in length. Additional time to complete the project may be requested.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Payments are made on an as needed, reimbursable basis over the 3 year grant period. Advance payments may be requested. See the following for information on how assistance is awarded/released: Assistance is awarded through a grant agreement. Grant payments are made by electronic fund transfer.

Reports:

No program reports are required. No cash reports are required. Annual performance reports are required. A final performance report is required within 90 days after the expiration date of the grant period. The details of the reporting requirements are included in the award terms and conditions. Annual financial reports (SF-425) are required. A final SF-425 is required within 90 days after the expiration date of the grant period. The details of the reporting requirements are included in the award terms and conditions. AMS uses a variety of monitoring tools to ensure compliance with the legislated authority of the program and 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients must retain financial and other records relating to grant for a period of three years after the final Federal Financial Report (SF-425) is received by the Agricultural Marketing Service or until final resolution of any audit findings or litigation claims relating to this program.

Account Identification:

12-2501-0-1-352.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

\$100,000 to \$500,000. Average \$350,000.

TAFS Codes:

12-2501-352.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

A request for proposals is announced each year via, www.grants.gov, and the program web site. Additional information about the Acer Access and Development Program is available at the program web site at www.ams.usda.gov/services/grants.

Regional or Local Office:

None.

Headquarters Office:

John Miklozek 1400 Independence Ave, SW Rm 4534, Mail Stop 0234, Washington, District of Columbia 20250 Email: john.miklozek@ams.usda.gov Phone: 202-720-1403

Website Address:

<http://www.ams.usda.gov/services/grants>

RELATED PROGRAMS:

10.156 Federal-State Marketing Improvement Program; 10.170 Specialty Crop Block Grant Program - Farm Bill

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.200 GRANTS FOR AGRICULTURAL RESEARCH, SPECIAL RESEARCH GRANTS

Special Research Grants (SRGP)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 2 (c), Public Law 89-106, 7 U.S.C. 450i(c), as amended.

OBJECTIVES:

To carry out research, to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the nation and to facilitate or expand on-going State-Federal food and agricultural research programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences. Awards are generally limited to high priority problems of a regional or national scope. NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Funds may not be used for any purposes other than those approved in the grant award documents.

Funds shall not be used for tuition remission.

Indirect Costs are generally not allowable for this program.

Generally, there are no matching requirements associated with Special Research Grant programs and matching resources will not be factored into the review process as evaluation criteria.

Please refer to RFA, Part IV.D. Applications Funding Restrictions for specific details.

Exceptions to the general rules, as related to Indirect Cost and matching requirements, are indicated below, as deemed appropriate and necessary.

The following programs are EXCEPTIONS to the general rules:

- (1) Critical Agricultural Materials (Pub. L. 95-592)
- (2) Federal Administration - Research
- (3) Pest Management Alternatives (aka PMAP)
- (4) Supplemental and Alternative Crops [7 U.S.C. 3319d (e)].
- (5) Policy Research Centers (Section 1419A of 7 U.S.C. 3155) and
- (6) Rangeland Research

For the above-referenced EXCEPTIONS to the general rule, [i.e. #'s (1) thru (5)], the following provisions are applicable:

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

Special Note on Indirect Costs as in-kind matching contributions: Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 30 percent pursuant to FY 2012 appropriated funds, Section 720 of the Agriculture, Rural Development, Food and Drug

Administration, and Related Agencies Appropriations Act, 2012 (Division A of Pub. L. 112-55), the awardee may request 15 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 30 percent.

Exception # 6:

For the Rangeland Research program the following provisions are applicable: Per 7 CFR 3401.5, Indirect Cost is allowable if the award is made to a Federal lab.

Pursuant to Section 1480 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 as amended [7 USC 3333(b)(1)], applicants are required to provide 50 percent matching funds from non-federal sources for all proposed Federal funds sought in the application. Non-federal matching contributions, such as cash and third party in kind, are accepted under this program as qualified by 7 USC 3015 and 7 USC 3019. Foregone indirect costs cannot be used as part of the required match. Fully discretionary.

Applicant Eligibility:

Special Research Grants: State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, private organizations or corporations and individuals having a demonstrable capacity to conduct research activities to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

Beneficiary Eligibility:

For Special Research Grants: State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, private organizations or corporations and individuals having a demonstrable capacity to conduct research activities to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

- (i) Be registered in SAM before submitting its application;
- (ii) Provide a valid DUNS number in its application; and
- (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of

forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):
<https://nifa.usda.gov/funding-opportunity/global-change-ultraviolet-radiation-monitoring-and-research-program>

Minor Crop Pest Management Program Interregional Research Project (IR-4):
<https://nifa.usda.gov/funding-opportunity/minor-crop-pest-management-program-interregional-research-project-4-ir-4>

Special Research Grants Program Potato Breeding Research:
<https://nifa.usda.gov/funding-opportunity/potato-breeding-research>

Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):
<https://nifa.usda.gov/funding-opportunity/special-research-grants-program-aquaculture-research>

Supplemental and Alternative Crops Competitive Grants Program (SACC):
<https://nifa.usda.gov/funding-opportunity/supplemental-and-alternative-crops-acc>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:
http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see:
<http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):
<https://nifa.usda.gov/funding-opportunity/global-change-ultraviolet-radiation-monitoring-and-research-program>

Minor Crop Pest Management Program Interregional Research Project (IR-4):
<https://nifa.usda.gov/funding-opportunity/minor-crop-pest-management-program-interregional-research-project-4-ir-4>

Special Research Grants Program Potato Breeding Research:
<https://nifa.usda.gov/funding-opportunity/potato-breeding-research>

Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):
<https://nifa.usda.gov/funding-opportunity/special-research-grants-program-aquaculture-research>

Supplemental and Alternative Crops Competitive Grants Program (SACC):
<https://nifa.usda.gov/funding-opportunity/supplemental-and-alternative-crops-sacc>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for

Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):
<https://nifa.usda.gov/funding-opportunity/global-change-ultraviolet-radiation-monitoring-and-research-program>

Minor Crop Pest Management Program Interregional Research Project (IR-4):
<https://nifa.usda.gov/funding-opportunity/minor-crop-pest-management-program-interregional-research-project-4-ir-4>

Special Research Grants Program Potato Breeding Research:
<https://nifa.usda.gov/funding-opportunity/potato-breeding-research>

Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):
<https://nifa.usda.gov/funding-opportunity/special-research-grants-program-aquaculture-research>

Supplemental and Alternative Crops Competitive Grants Program (SACC):
<https://nifa.usda.gov/funding-opportunity/supplemental-and-alternative-crops-sacc>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Specific deadline dates are announced on the Agency's website:

<http://www.nifa.usda.gov>

Contact the headquarters, as appropriate, for application deadlines. See Section : 152 for specific details.

Further, dates for specific deadlines are announced in the RFA each fiscal year.

Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>

<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):
<https://nifa.usda.gov/funding-opportunity/global-change-ultraviolet-radiation-monitoring-and-research-program>

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Minor Crop Pest Management Program Interregional Research Project (IR-4):
<https://nifa.usda.gov/funding-opportunity/minor-crop-pest-management-program-interregional-research-project-4-ir-4>

Special Research Grants Program Potato Breeding Research:
<https://nifa.usda.gov/funding-opportunity/potato-breeding-research>

Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):
<https://nifa.usda.gov/funding-opportunity/special-research-grants-program-aquaculture-research>

Supplemental and Alternative Crops Competitive Grants Program (SACC):
<https://nifa.usda.gov/funding-opportunity/supplemental-and-alternative-crops-sacc>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFAs are available as follows:

Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):
<https://nifa.usda.gov/funding-opportunity/global-change-ultraviolet-radiation-monitoring-and-research-program>

Minor Crop Pest Management Program Interregional Research Project (IR-4):
<https://nifa.usda.gov/funding-opportunity/minor-crop-pest-management-program-interregional-research-project-4-ir-4>

Special Research Grants Program Potato Breeding Research:
<https://nifa.usda.gov/funding-opportunity/potato-breeding-research>

Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):
<https://nifa.usda.gov/funding-opportunity/special-research-grants-program-aquaculture-research>

Supplemental and Alternative Crops Competitive Grants Program (SACC):
<https://nifa.usda.gov/funding-opportunity/supplemental-and-alternative-crops-sacc>

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e]. Generally, NIFA does NOT require matching or cost sharing support for this program. However, the Rangeland Research Program is an EXCEPTION to the general rule. The following represents pertinent information regarding the Rangeland Research Program [7 U.S.C. 3333(a)(1)]: Pursuant to Section 1480 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 as amended [7 USC 3333(b)(1)], applicants are required to provide 50 percent matching funds from non-federal sources for all proposed Federal funds sought in the application.

Non-federal matching contributions, such as cash and third party in kind, are accepted under this program as qualified by 7 USC 3015 and 7 USC 3019.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed three (3) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available as follows:

Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):
<https://nifa.usda.gov/funding-opportunity/global-change-ultraviolet-radiation-monitoring-and-research-program>

Minor Crop Pest Management Program Interregional Research Project (IR-4):
<https://nifa.usda.gov/funding-opportunity/minor-crop-pest-management-program-interregional-research-project-4-ir-4>

Special Research Grants Program Potato Breeding Research:
<https://nifa.usda.gov/funding-opportunity/potato-breeding-research>

Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):
<https://nifa.usda.gov/funding-opportunity/special-research-grants-program-aquaculture-research>

Supplemental and Alternative Crops Competitive Grants Program (SACC):
<https://nifa.usda.gov/funding-opportunity/supplemental-and-alternative-crops-sacc>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REEReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reereport> and the REEReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEReport Database--

Research, Education, and Extension project online reporting tool (REEReport) All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is:

REEReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.

Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. **PROGRESS REPORTS:** See above for pertinent and specific details. **EXPENDITURE REPORTS:** See above for pertinent and specific details. **PERFORMANCE MONITORING:** See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 16 \$20,712,503; FY 17 est \$21,010,272; and FY 18 est \$11,748,689 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

SPECIAL NOTE:

The FY 2018 amount is substantially less than for prior years. Several Programs have been eliminated in the President's FY 2018 budget.

NOTES:

In FY 2014, various Pest Management Programs are consolidated under Integrated Activities, Crop Protection/Pest Management Program.

Obligations for the Forest Products and Potato Breeding Research Programs are reported under Other Special Research Grants.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFAs are available as follows:

Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):
<https://nifa.usda.gov/funding-opportunity/global-change-ultraviolet-radiation-monitoring-and-research-program>

Minor Crop Pest Management Program Interregional Research Project (IR-4):
<https://nifa.usda.gov/funding-opportunity/minor-crop-pest-management-program-interregional-research-project-4-ir-4>

Special Research Grants Program Potato Breeding Research:
<https://nifa.usda.gov/funding-opportunity/potato-breeding-research>

Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):
<https://nifa.usda.gov/funding-opportunity/special-research-grants-program-aquaculture-research>

Supplemental and Alternative Crops Competitive Grants Program (SACC):
<https://nifa.usda.gov/funding-opportunity/supplemental-and-alternative-crops-sacc>.

TAFS Codes:

12-2017-2017-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The following represents accomplishments for active Programs:

(B) Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):

The program made one (1) competitive award to operate and manage USDAs ultraviolet radiation climatology network, and to conduct, in collaboration with another institution, research on ultraviolet radiation effects on agriculturally relevant crops and integration of crop models. Coupling with economic models, effects research and simulations enable us to project regional and national climate change impacts on agriculture. The program maintained high data collection rates, model runs were made for corn, and work with crop simulations continues. Economic analysis modules have been coupled with the integrated assessment framework.

The amount available to fund proposals was \$1,305,147.

(D) Minor Crop Pest Management Program Interregional Research Project #4:

Applications were received from four (4) regional centers and the National Headquarters Office. A diverse panel reviewed the applications and recommended funding each of the proposals received. A total of five (5) awards were made. Funds support research on pesticides for use in specialty crops and minor crops.

(F) Special Research Grants Program Potato Breeding Research:

For the Fiscal Year (FY) 2016 grant cycle, \$1,848,966 was available to fund proposals submitted to this program.

In 2016, four (4) proposals were submitted and they were reviewed in the same panel with canola proposals. There were ten (10) panelists.

Funds were available to support all four (4) awards.

The funding ratio for this program is 100%.

Funded projects will support potato (*Solanum tuberosum* L.) research that focuses on the development and testing of superior potato varieties for commercial production.

(H) Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):

The Fiscal Year (FY) 2016 Aquaculture Research Special Research Grants program panel met from July 12-14. A total of 56 proposals were received. Four (4) awards were made. The total amount of \$1,236,969 available to fund these four (4) proposals.

(I) Supplemental and Alternative Crops Competitive Grants Program (aka Canola Research and SACC):

For the Fiscal Year (FY) 2016 award cycle, \$767,790 was available to fund the proposals received by this program. The maximum award was \$195,000.

Ten (10) applications were received, a total of \$ 2,010,087 was requested for the FY 2016 competition. On June 6, 2016, a seven (7) member peer review panel evaluated the applications.

The peer panel included faculty from 1862 and 1890 land-grant institutions (LGIs), and Federal and industry scientists.

Funds were available to support a total of four (4) awards. The funding rate was 40%.

Funded projects will develop canola as a viable supplemental and alternative crop in the United States. They are supporting integrated research and extension projects that focus on developing and testing superior canola germplasm; improving methods of planting, cultivation and harvesting; and transferring the new knowledge to producers.

These projects involved stakeholders in priority setting, project development and implementation; and included multi-state cooperation. The funded projects will complement research being conducted by the U. S. Department of Agriculture's Agricultural Research Service (ARS).

The following Programs are no longer funded: hence, no action is required:

(A) Expert IPM Decision Support Systems

(C) Integrated Pest Management & Biological Control (Special Research Grants):

(E) Pest Management Alternatives (PMAP):

(G) Critical Agricultural Materials:

(J) Policy Research Centers (aka Agriculture and Rural Policy Research):

(K) McIntire-Stennis Cooperative Forestry Research aka Rangeland Research Program

(L) Forest Products Research Grants Program:

(M) Minor Use Animal Drugs:

(N) National Biological Impact Assessment Program:

(R) Supplemental Nutrition Assistance Program and Expanded Food and Nutrition Education Program (SNAP & EFNEP): Regional Nutrition Education and Obesity Prevention Centers of Excellence (RNECE). Fiscal Year 2017: The following represents accomplishments for active Programs:

(B) Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):

The program is in process of making one (1) continuation award to Colorado State University. The PIs operated and managed USDAs ultraviolet radiation climatology network, and conducted, in collaboration with other institutions, research on ultraviolet radiation effects on agriculturally relevant crops.

Projection of regional and national climate change impacts on cotton/maize/soybeans using economic models, effects research, and simulations continued. Anticipate that greater emphasis will be placed on modeling and simulation portions of the grant for remainder of the FY in response to panel comments and site visit conducted in FY 2016.

The amount available to fund proposals is \$1,302,426.

(D) Minor Crop Pest Management Program Interregional Research Project #4:

Applications are from four (4) regional centers and the National Headquarters Office. A diverse panel reviewed the applications and recommend funding accordingly. A total of five (5) awards were made. Funds support research on pesticides for use in specialty crops and minor crops.

The program will support the plant protection industry to develop registrations for specialty crop chemicals, IR-4 develops the data that support legal, effective, safe and IPM-compatible pest control agents for minor and specialty crops. This projects objectives for minor and specialty crops are to obtain pesticide clearances for food uses, further the development and adoption of bio-pesticides, and conduct research to protect ornamental crops. There are five (5) regions that can apply for IR-4 funding.

The Request for Applications (RFA) was published with a closing date of May 1, 2017. A total of five (5) applications were received and subjected to the peer panel review process. Five (5) awards made, representing a 100% success rate.

(F) Special Research Grants Program Potato Breeding Research:

For the Fiscal Year (FY) 2017 funding cycle, approximately \$2,077,895 was available to fund proposals submitted to this program.

Five (5) proposals were submitted to the program. One (1) was rejected for lack of program fit. Thus, four (4) were reviewed by a panel of five (5) reviewers.

Funded projects will support potato research that has a high potential to develop potato varieties with a high value to the potato industry.

(H) Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):

The Fiscal Year (FY) 2017 Aquaculture Research Special Research Grants program panel met from July 17-19. A total of 54 proposals were received. Four (4) proposals will be funded. There was approximately \$1,216,344 million available for these awards.

(I) Supplemental and Alternative Crops Competitive Grants Program (aka Canola Research and SACC):

For the Fiscal Year (FY) 2017 award cycle, approximately \$765,906 is available to fund proposals received by this program. Maximum award size is \$325,500.

Seven (7) applications were received, a total of \$ 1,397,133 was requested for the FY 2017 competition. On June 22, 2017, an eight (8) member peer review panel evaluated the applications.

The peer panel included faculty from 1862 land-grant institutions (LGIs), and Federal and industry scientists.

Funded projects will support integrated research and extension projects that focus on developing and testing superior canola germplasm; improving methods of planting, cultivation and harvesting; and transferring the new knowledge to producers. Fiscal Year 2018: The following represents accomplishments for active Programs:

(B) Global Change Ultraviolet Radiation Monitoring and Research Program

(GC/UV-B):

The program will make one (1) continuation award for the institution to continue operation and management USDAs ultraviolet radiation climatology network, and to conduct, in collaboration with the other institutions, research on ultraviolet radiation effects on agriculturally relevant crops. Projection of regional and national climate change impacts on cotton/maize/soybeans using economic models, effects research, and simulations will continue. Due to requested increase in emphasis of crop and economic modeling, site visits to both collaborative Universities [one (1) involved with the crop modeling effort and one (1) involved with economic modeling] are to be made.

It is projected that the amount to fund proposals for FY 2018 is zero (\$0).

(D) Minor Crop Pest Management Program Interregional Research Project #4:

For the Fiscal Year (FY) 2018 award cycle, it is anticipated that approximately \$11,000,000 will be available to fund proposals.

Applications are expected from four (4) regional centers and the National Headquarters Office. A diverse panel will review the applications and recommend funding accordingly. A total of five (5) awards may be made. Funds support research on pesticides for use in specialty crops and minor crops. It is anticipated that the awards made in FY 2017 will be continuations in FY 2018.

(F) Special Research Grants Program Potato Breeding Research:

All four (4) awards made in FY 2017 will be awarded continuation funding in FY 2018.

(H) Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):

It is anticipated that the Aquaculture Research Special Research Grants Program will not be included in the Presidents Fiscal Year (FY) 2018 budget request.

However, if funded in FY 2018, it is anticipated that an amount similar to the FY 2017 levels (\$1.35 million, appropriated) will be made available for this program with approximately 55 proposals submitted for funding. If funded, it is anticipated that approximately \$1.2 will be available for four (4) awards of approximately \$300,000 each.

It is projected that similar projects will be supported in FY 2018.

(I) Supplemental and Alternative Crops Competitive Grants Program (aka Canola Research and SACC):

Program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0).

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>

Regional or Local Office:

None. Section # 153 - Additional Websites:

<http://nifa.usda.gov/funding-opportunity/minor-crop-pest-management-program-interregional-research-project-4-ir-4>

<http://nifa.usda.gov/funding-opportunity/potato-breeding-research>

<http://nifa.usda.gov/funding-opportunity/special-research-grants-program-aquaculture-research>

<http://nifa.usda.gov/funding-opportunity/supplemental-and-alternative-crops-sacc>

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Plant Systems-Protection, 1400 Independence Avenue, SW., STOP 2240, Telephone: (202) 401-4939, Fax: (202) 1782.

ADDITIONAL CONTACT:

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate, and Environment, Division of Bioenergy, 1400 Independence Avenue, SW., STOP 2210, Washington, District of Columbia, 20250-2210, Telephone: (202) 401-5244, Fax: (202) 401-2653.

E-mail: policy@nifa.usda.gov, Washington, District of Columbia 20250-2240
Email: Policy@nifa.usda.gov Phone: (202) 401-4939

Website Address:

<http://nifa.usda.gov/grants>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.215 Sustainable Agriculture Research and Education; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.303 Integrated Programs; 10.309 Specialty Crop Research Initiative; 10.310 Agriculture and Food Research Initiative (AFRI); 10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI); 10.320 Sun Grant Program; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The following represents examples of funded projects for active Programs:

(B) Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):

The USDA has long been concerned about high levels of ultraviolet radiation from the Sun, which are known to have harmful effects on agricultural crops, rangelands, forest ecosystems, humans, and livestock. The purpose of this program is to support research which:
generates an uninterrupted stream of climatology data;
determines mechanisms and symptoms of plant and animal response; and
applies tightly integrated crop and climate models to assess regional and national impacts (both biological and economic) of multiple plant stressors.

(D) Minor Crop Pest Management Program Interregional Research Project #4:

This project continued support for four (4) regional Centers plus a National Headquarters Office. Since the plant protection industry has little economic incentive to develop registrations for specialty crop chemicals, IR-4 develops the data that provide legal, effective, safe and IPM-compatible pest control agents for minor and specialty crops. Without this program, many specialty crops could no longer be produced in the US or would be greatly compromised in cost and quality. This project's objectives for minor and specialty crops are to obtain pesticide clearances for food uses, further the development and adoption of biopesticides, and conduct research to protect ornamental crops.

(F) Special Research Grants Program Potato Breeding Research:

Potato Variety Development and Improvement in the Northwest

The objective of this research is to release and commercialize new potato varieties that will directly benefit all segments of the Northwest potato industry and indirectly benefit all US producing regions. The strategy is to identify traits, make crosses, and apply selection pressures that will increase the probability of developing varieties that can be produced and utilized more efficiently than existing varieties. Appropriate breeding goals include high yield, improved processing quality, genetic resistance to major pests and diseases, higher levels of resistance to stresses, increased nutrient use efficiency, improved human nutritional value, and high tuber quality. An additional environmental benefit comes with reduced use of pesticides, water, and fertilizers, which are normal by-products of improved varieties. This major objective is accompanied by myriad minor objectives involving germplasm

enhancement, germplasm production, selection procedures, disease and stress screening, variety trial design and conduct, seed increases, management studies, and commercial evaluations. Each objective is to be carried out by the Northwest institution(s) best equipped to complete the associated tasks. A heavy element of interdependence among all institutions is essential in achieving the outlined objectives.

Potato Breeding and Cultivar Development in the Southwest

The Southwestern Regional Potato Cultivar Development Project was initiated by several universities to meet the unique needs of the Southwest potato industry. Crosses and original selections are made in Colorado and Texas followed by formal regional evaluation trials in all three (3) states. Breeding stocks and advanced selections are openly shared with more than a dozen other states. Promising selections from the Project are further evaluated in the Western Regional Trials which include seven (7) Western states. Specific goals and objectives are developed and constantly reevaluated based on extensive stakeholder input. Since the inception of the Southwestern Regional Potato Cultivar Development Project in 1997, 48 new cultivars and clonal selections have been released or co-released with other institutions which make up substantial and increasing portions of the regional potato acreage and have become important contributors to the economies of the states. Of newly released cultivars since 1997, and ranking in the top 50 cultivars that were accepted for seed certification in the US in 2015, cultivars developed by the Southwestern Regional Potato Cultivar Development Project ranked second among the regional projects.

Development Of Multipurpose Potato Cultivars With Enhanced Quality, Disease And Pest Resistance North Central Region

Potato production in the North Central US is vital to the regional economy and plays an important role in the national potato supply. In 2015 the four (4) states of Michigan, Minnesota, North Dakota, and Wisconsin accounted for 22% of the US acreage, with a farm-gate value of \$856 million. All sectors of the potato market are present in this region, dominated by fry and chip processing markets, with a successful fresh market and expanding specialty market niches. Changes in climate, consumer preference, production economics, and environmental regulations require continual innovation in the potato industry, and plant breeding leading to variety commercialization is critical to meeting these evolving demands. This proposal, which is a joint effort of the potato breeding and genetics programs at four (4) North Central land-grant universities. There are four (4) specific objectives are detailed as follows:

- (1) to identify new varieties with superior agronomics and end-used quality via hybridization and selection;
- (2) to screen elite germplasm for resistance to key pests;
- (3) to use genetic markers to improve breeding efficiency; and
- (4) to transfer new varieties from the breeding programs to the commercial sector.

The four (4) project directors and their collaborators have the requisite experience, facilities, and stakeholder relationships to successfully complete these objectives.

The expected outcomes from the one-year grant include the release of new varieties, the selection of new breeding lines, new markers for marker-assisted selection, and preliminary data on the feasibility of using genome-wide marker analyses for improving variety development.

Potato Breeding and Variety Development for Improved Quality and Pest Resistance in the Eastern United States

This is an integrated, seven-state (Florida, Maine, North Carolina, New York, Ohio, Pennsylvania, and Virginia) potato breeding and variety development project for the eastern U.S. Four (4) states maintain breeding programs. Collaborative multi-site selection, evaluation, and variety development work is conducted in all states. Breeding objectives and priorities are determined from stakeholder input. The overall goal is to develop attractive, productive, disease- and insect-resistant potato varieties that can be employed by small and large

potato producers to enhance marketing opportunities, farm sustainability and profits. The specific objectives are:

- (1) Improve productivity and quality for important eastern U.S. markets by developing and releasing superior potato varieties using conventional and marker-assisted potato breeding methods;
- (2) Reduce the impact of economically important biotic and abiotic potato production constraints by breeding and developing improved potato germplasm;
- (3) Select widely-adapted potato varieties by screening yield, quality, and pest resistance traits at multiple eastern locations;
- (4) Facilitate commercial adoption of improved new varieties by coordinating initial commercial trials and by developing production management recommendations; and
- (5) Enhance the availability and use of project-related, research-based information through the use of digital media. Development of varieties with resistance to important diseases such as late blight, scab, potato virus y, early blight, and golden nematode is a long-term priority.

The project focuses on classical breeding techniques, but also includes development and use of marker-assisted selection for key traits. Our selection procedures utilize diverse eastern growing conditions to select potato germplasm with resistance to pests, heat stress, and stress-related defects.

(H) Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):

Development and Management of Canola in the Great Plains Region

The long-term goal of this project is to facilitate the adoption of winter canola into cropping systems of the Southern Great Plains (SGP). The project will focus on the high priority areas of developing and testing of superior cultivars, improving methods of production, and transferring of new knowledge to producers. New cultivars developed under SGP conditions will possess enhanced winter survival, higher yield potential, greater oil content, and herbicide tolerance. To date, the project has released a new variety of winter canola called Torrington, a variety that yields 9.7% greater than the most widely grown cultivars in the SGP.

Two (2) additional cultivars will be considered for full release in 2017. The project tested 48 varieties in FYs 2015/16, including 23 that are commercially available, and 36 in the FYs 2016/17 season. Project results can be found at <http://www.agronomy.k-state.edu/services/crop-performance-tests/index.html>. In addition the team has conducted seeding studies and harvest management studies that have been published as an extension bulletin to help farmers with their management. The team has communicated these results through 19 individual events in both Oklahoma and Kansas that reached 925 people.

Improving Canola Production and Production Systems with Genetic and Agronomic Advances to Increase Canola Acreage in the Pacific Northwest

Pacific Northwest (PNW) dryland agriculture is dominated by small-grain cereals due to high dryland wheat yields. This project will aim to increase canola production in this area since it can lend sustainability to a current system of monoculture cereal production, with some of the highest canola yields in the US. This project will develop genetically superior cultivars, identify and quantify economic and rotational benefits of integrating a canola rotation, identify management strategies, conduct surveys for the impact of blackleg disease, and produce a manual for PNW canola production. To date the team has identified and field evaluated just over 3000 genetic lines and regionally tested seven (7) advanced lines.

The spring canola cultivar empire was released and foundation seed was produced for cultivar that is a fry oil and three (3) new winter cultivars with high herbicide tolerance. The team has tested 74 regional cultivars throughout four (4) states.

The four (4) projects funded in the 2016 competition include:

- (1) Why has U.S. Aquaculture Struggled Economically? Identifying Key

Current and Future Determinants of Economic Sustainability;
(2) Improving Aquaculture's Value through Enhanced Nutrient Management;
(3) Identification of the risks of emerging Flavobacteria to early life stages of farmed salmonids and development of improved control strategies; and
(4) Validation of markers and marker-assisted selection of hard clam for resistance to QPX disease.

(I) Supplemental and Alternative Crops Competitive Grants Program (aka Canola Research and SACC):

Development and Management of Canola in the Great Plains Region

The long-term goal of this project is to facilitate the adoption of winter canola into cropping systems of the Southern Great Plains (SGP). The project will focus on the high priority areas of developing and testing of superior cultivars, improving methods of production, and transferring of new knowledge to producers. New cultivars developed under SGP conditions will possess enhanced winter survival, higher yield potential, greater oil content, and herbicide tolerance. To date, the project has released a new variety of winter canola called Torrington, a variety that yields 9.7% greater than the most widely grown cultivars in the SGP. Two additional cultivars will be considered for full release in 2017. The project tested 48 varieties in 2015/16, including 23 that are commercially available, and 36 in the 2016/17 season. Project results can be found at <http://www.agronomy.k-state.edu/services/crop-performance-tests/index.html>. In addition the team has conducted seeding studies and harvest management studies that have been published as an extension bulletin to help farmers with their management. The team has communicated these results through 19 individual events in both Oklahoma and Kansas that reached 925 people.

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The following Programs are no longer funded: hence, no action is required:

(A) Expert IPM Decision Support Systems

(C) Integrated Pest Management & Biological Control (Special Research Grants):

(E) Pest Management Alternatives (PMAP):

(G) Critical Agricultural Materials:

(J) Policy Research Centers (aka Agriculture and Rural Policy Research):

(K) McIntire-Stennis Cooperative Forestry Research aka Rangeland Research Program

(L) Forest Products Research Grants Program:

(M) Minor Use Animal Drugs:

(N) National Biological Impact Assessment Program:

(R) Supplemental Nutrition Assistance Program and Expanded Food and Nutrition Education Program (SNAP & EFNEP): Regional Nutrition Education and Obesity Prevention Centers of Excellence (RNECE): Fiscal Year 2017: The following represents examples of funded projects for active Programs:

(B) Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):

The USDA has long been concerned about high levels of ultraviolet radiation from the Sun, which are known to have harmful effects on agricultural crops, rangelands, forest ecosystems, humans, and livestock. The purpose of this program is to support research which:

generates an uninterrupted stream of climatology data;
determines mechanisms and symptoms of plant and animal response; and
applies tightly integrated crop and climate models to assess regional and national impacts (both biological and economic) of multiple plant stressors.

(D) Minor Crop Pest Management Program Interregional Research Project #4:

This project has continued support for four (4) regional Centers plus a National Headquarters Office. Since the plant protection industry has little economic incentive to develop registrations for specialty crop chemicals, IR-4 develops the data that provide legal, effective, safe and IPM-compatible pest control agents for minor and specialty crops. Without this program, many specialty crops could no longer be produced in the US or would be greatly compromised in cost and quality. This projects objectives for minor and specialty crops are to obtain pesticide clearances for food uses, further the development and adoption of biopesticides, and conduct research to protect ornamental crops. There are five (5) regions that can apply for IR-4 funding and five (5) awards were made.

(F) Special Research Grants Program Potato Breeding Research:

Three (3) of the projects above were awarded as renewals. The project entitled Potato Breeding and Cultivar Development in the Southwest was awarded as a new project with objectives to build on previous work.

(H) Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):

The four (4) projects funded in the FY 2017 competition include:

(1) Biomaniipulation as a tool to enhance aquaculture through the management of toxic cyanobacteria;
(2) Bioeconomics of columnaris vaccines in channel catfish aquaculture;
(3) Oral Vaccine for Infectious Hematopoietic Necrosis Virus; and
(4) Production of reproductively sterile rainbow trout for environmentally-responsible and economically-sustainable US aquaculture industry.

(I) Supplemental and Alternative Crops Competitive Grants Program (aka Canola Research and SACC):

Systems-based approach to winter canola management in the southern Great Plains (Lofton)

The goal of this project is to expand winter canola acres in the Southern Great Plains and provide growers with detailed knowledge of winter canola production and management. This will be achieved by addressing major aspects of canola production and extending research efforts to producers and industry representatives throughout the region through targeted outreach events and information sharing. The project innovate new planting, cultivating, harvesting, and processing methods for use in production systems that include canola and transfer this new knowledge to producers. Fiscal Year 2018: The following represents examples of funded projects for active Programs:

(B) Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):

The USDA has long been concerned about high levels of ultraviolet radiation from the Sun, which are known to have harmful effects on agricultural crops, rangelands, forest ecosystems, humans, and livestock. The purpose of this program is to support research which:

- generates an uninterrupted stream of climatology data;
- determines mechanisms and symptoms of plant and animal response; and
- applies tightly integrated crop and climate models to assess regional and national impacts (both biological and economic) of multiple plant stressors.

(D) Minor Crop Pest Management Program Interregional Research Project #4:

It is anticipated that this project will continue continued support for four (4) regional Centers plus a National Headquarters Office. Since the plant protection industry has little economic incentive to develop registrations for specialty crop chemicals, IR-4 develops the data that provide legal, effective, safe and IPM-compatible pest control agents for minor and specialty crops. Without this program, many specialty crops could no longer be produced in the US or would be greatly compromised in cost and quality. This projects objectives for minor and specialty crops are to obtain pesticide clearances for food uses, further the development and adoption of biopesticides, and conduct research to protect ornamental crops. There are five (5) regions that can apply for IR-4 funding and five (5) awards are made.

(F) Special Research Grants Program Potato Breeding Research:

All four (4) awards made in FY 2017 will be awarded continuation funding in FY 2018.

(H) Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):

The Aquaculture Research Special Research Grants program will likely not be included in the Presidents FY 2018 budget. However, if it is included in the final appropriation for FY 2018, pertinent details will be provided by Program at a future date.

(I) Supplemental and Alternative Crops Competitive Grants Program (aka Canola Research and SACC):

Data is not yet available. Pertinent data will be provided by program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available as follows:

Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):
<https://nifa.usda.gov/funding-opportunity/global-change-ultraviolet-radiation-monitoring-and-research-program>

Minor Crop Pest Management Program Interregional Research Project (IR-4):
<https://nifa.usda.gov/funding-opportunity/minor-crop-pest-management-program-interregional-research-project-4-ir-4>

Special Research Grants Program Potato Breeding Research:
<https://nifa.usda.gov/funding-opportunity/potato-breeding-research>

Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):
<https://nifa.usda.gov/funding-opportunity/special-research-grants-program-aquaculture-research>

Supplemental and Alternative Crops Competitive Grants Program (SACC):
<https://nifa.usda.gov/funding-opportunity/supplemental-and-alternative-crops-acc>.

10.202 COOPERATIVE FORESTRY RESEARCH

McIntire-Stennis Cooperative Forestry Research Act (M/S) Program

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Executive Order Public Law 87-778 (76 Stat. 806, 16 U.S.C. 582a, et seq.) signed into law on October 10, 1962 is also known as the McIntire-Stennis Cooperative Forestry Research Act. , 16 U.S.C 582a thru a-7.

OBJECTIVES:

The purpose of this funding is to increase forestry research in the production, utilization, and protection of forestland; to train future forestry scientists; and to involve other disciplines in forestry research. Funding should also address the high priority issues described in the current M/S Strategic Plan: Sustaining Healthy and Productive Forests: An Investment in Americas Competitive Position in the Global Marketplace: (1) science of integration; (2) forest ecosystem services; (3) human attitudes and behaviors; (4) conflict, uncertainty, and decision-making; (5) technological advancements, productivity, and forest applications; and (6) urban ecosystems.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The McIntire-Stennis Cooperative Forestry Research Act (M/S) grant is used to assist all states in carrying out a program of state forestry research at state forestry schools and colleges and developing a trained pool of forest scientists capable of conducting needed forestry research, which should include:

- (1) ecological restoration;
- (2) catastrophe management;
- (3) valuing and trading ecological services;
- (4) energy conservation, biomass energy and bio-based materials development;
- (5) forest fragmentation;
- (6) carbon sequestration and climate change; and
- (7) ways of fostering healthy forests and a globally competitive forest resources sector.

Additionally, M/S funds should be allocated to the following high priority issues:

- (1) science of integration (ecosystem or landscape approaches including interdisciplinary multi-state projects);
- (2) forest ecosystem services;
- (3) human attitudes and behaviors;
- (4) conflict, uncertainty, and decision-making;
- (5) technological advancements (biotechnology, nanotechnology and geospatial technology), productivity, and forest applications; and
- (6) urban ecosystems.

Funding is provided to the States through a formula-based allocation process which depends on several factors. First, a base amount (approximately \$25,000) is allocated to each State; however, this base amount is excluded from the formula. The balance of funding to each State is determined through a ranking process and dependent upon the following three factors:

- (1) forty percent of the remaining balance is allocated based on the area of non-Federal commercial forest land;
- (2) forty percent is allocated based upon the volume of timber cut annually from stock; and
- (3) twenty percent is allocated based on the total expenditures for forestry research from non-Federal sources.

Funds are then distributed to the eligible State-certified Institutions within the State as determined by the Governors designee. Applications may be

submitted by State-certified Schools of Forestry as stipulated in accordance with Section 2 of Public Law 87-788, McIntire-Stennis Act. Section 7412 of the Food, Conservation, and Energy Act of 2008 amended Section 2 of the McIntire-Stennis Cooperative Forestry Act (16 U.S.C. 582a-1) to include the 1890 Land-grant Institutions and made this change effective October 1, 2008.

(1) Approved NIFA M/S Projects, NIFA will fund the M/S Program for authorized activities. Funds must be expended on approved M/S projects.

(2) Cost-Sharing and Matching funds are mandated in Section 4 of the McIntire-Stennis Act (16 U.S.C. 582a-3). 7 CFR 3015.50-56 prescribes the standards applicable to determining the allowability of cash and in-kind contributions for matching funds. Matching funds also must be expended on approved M/S projects. Capacity grant recipients are to provide matching (as detailed in Part VIII.A.6 of the Capacity Request for Applications (RFA) , either cash or in-kind, on a dollar-for-dollar basis (100 percent) on all Federal funds allotted. Eligible institutions located in insular areas (i.e., American Samoa, Guam, Micronesia, Northern Marianas, Puerto Rico, and the Virgin Islands) are not required to match amounts at or below \$100,000, if the allocation is below \$200,000.

(3) Waiver of Indirect Costs. Only applicable to Insular Areas (i.e., American Samoa, Guam, Micronesia, Northern Marianas, Puerto Rico, and the Virgin Islands) for amounts at or below \$200,000.

(4) Indirect Costs and Tuition Remission. In accordance with Section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as an M/S formula grant or matching expenditure. Fully discretionary.

Applicant Eligibility:

Funds are appropriated by Congress for distribution to State institutions certified as eligible by a State representative designated by the Governor of each State. Funds are apportioned among States by the Secretary of Agriculture after consultation with a National Advisory Council representing the State-certified forestry schools and other groups concerned with forestry research. This program is also available to Guam, Puerto Rico, the Virgin Islands, American Samoa, Northern Mariana Islands, and Micronesia.

Beneficiary Eligibility:

Funds are appropriated by Congress for distribution to State institutions certified as eligible by a State representative designated by the Governor of each State. Funds are apportioned among States by the Secretary of Agriculture after consultation with a National Advisory Council representing the State-certified forestry schools and other groups concerned with forestry research. This program is also available in Guam, Puerto Rico, the Virgin Islands, American Samoa, Northern Mariana, and Micronesia.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is

not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026. Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:

<https://nifa.usda.gov/program/mcintire-stennis-capacity-grant>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All Request for Applications (RFAs) are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: <http://www.grants.gov/>.

NIFA Applications (R&R Other Project Information - Section 4.4) requires a response to the question regarding "Actual or Potential Impact on the Environment." For this program the response is "No.". Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.htm>

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/program/mcintire-stennis-capacity-grant>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Funding is provided to the States through a formula-based allocation process which depends on several factors. First, a base amount (approximately \$25,000) is allocated to each State; however, this base amount is excluded from the formula. The balance of funding to each State is determined through a ranking process and dependent upon the following three factors:

- (1) forty percent of the remaining balance is allocated based on the area of non-Federal commercial forest land;
- (2) forty percent is allocated based upon the volume of timber cut annually from stock; and
- (3) twenty percent is allocated based on the total expenditures for forestry research from non-Federal sources. Funds are then distributed to the eligible State-certified Institutions within the State as determined by the Governors designee.

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/program/mcintire-stennis-capacity-grant>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:
<https://nifa.usda.gov/program/mcintire-stennis-capacity-grant>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/program/mcintire-stennis-capacity-grant>.

Formula and Matching Requirements:

Statutory Formula: Public Law 87-778. 76 Stat. 806, 16 U.S.C. 582a, et seq. Matching Requirements: Percent: 100%. Funding is provided to the States through a formula-based allocation process which depends on several factors. First, a base amount (approximately \$25,000) is allocated to each State; however, this base amount is excluded from the formula. The balance of funding to each State is determined through a ranking process and dependent upon the following three factors: (1) forty percent of the remaining balance is allocated based on the area of non-Federal commercial forest land;

(2) forty percent is allocated based upon the volume of timber cut annually from stock; and

(3) twenty percent is allocated based on the total expenditures for forestry research from non-Federal sources. Funds are then distributed to the eligible State-certified Institutions within the State as determined by the Governors designee. Formula grant recipients are to provide matching [as detailed in Part VIII.A.6 of the Formula Grant Opportunity (FGO)], either cash or in-kind, on a dollar-for-dollar basis (100 percent) on all Federal funds allotted. Eligible institutions located in insular areas (i.e., American Samoa, Guam, Micronesia,

Northern Marianas, Puerto Rico, and the Virgin Islands) are not required to match amounts at or below \$100,000, if the allocation is below \$200,000. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

M/S funds are expected to be fully expended in the fiscal year (FY) of appropriation; however funds may be carried forward one (1) additional fiscal year (FY). These carryover funds must be fully expended by September 30 of the following year. No prior approval to carryover these funds is required from NIFA. Method of awarding/releasing assistance: quarterly.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:
<https://nifa.usda.gov/program/mcintire-stennis-capacity-grant>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

Grantees are to submit initial project information, annual summary and final reports through NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

The following is a description of project data reporting requirements through the REEport system (located at <http://portal.nifa.usda.gov>) as well as the SF-425 reporting requirements:

1. Financial Reporting

A Financial Report shall be submitted to NIFA through REEport annually for all eligible projects from the preceding fiscal year. A Financial Report is also required for expenditures on all State projects that are to be included in the non-Federal funds and matching funds computation. Reports shall be made on the federal fiscal year basis.

Financial reporting via the SF-425, Federal Financial Report is due to the Capacity Grants Branch of OGF on December 31 for the period October 1 through September 30, on an annual basis, until the award is closed out.

NIFA uses the SF-425, Federal Financial Report to monitor cash.

McIntire-Stennis funds are expected to be fully expended in the fiscal year of appropriation; however, funds may be carried over for up to one year after the end of the year for which they were appropriated. No prior approval is required to carry over funds for one additional year; however, no additional carryover requests may be considered or approved, as no legislative authority to do so is provided.

2. REEport Reporting

Institutions should submit a project proposal through the REEport systems. The Essentials of a McIntire-Stennis Proposal guideline document can assist you

in developing the format of your proposal. The guideline can be found at <http://nifa.usda.gov/resource/mcintire-stennis-project-proposal-essentials> under the Resources section or on Appendix B in the RFA.

The following is a description of project data reporting requirements through the REEport system (located at <http://portal.nifa.usda.gov>) as well as the SF-425 reporting requirements:

Institutions must submit a REEport Project Initiation which includes the Project Description; Project Classification; Assurance Form; and Project Proposal through the REEport System prior to the initiation of each capacity-funded project. The project must undergo a review process and be approved before it is incorporated into the Program of Research.

Each institution shall submit a REEport Progress Report annually for each eligible project. All Progress Reports from institutions are based on the federal fiscal year and shall be submitted by March 1 for the preceding fiscal year (FY).

A Final Report shall be submitted to NIFA through REEport for each completed or terminated project. Such reports shall be submitted at the same time as are progress reports on active projects and should include a summary of accomplishments for the entire life of the project.

See <http://nifa.usda.gov/program/mcintire-stennis-capacity-grant> for additional details of annual, final technical and financial reporting requirements. Instructions for using the REEport system are included on the NIFA web site at: <http://nifa.usda.gov/reeport-resources-land-grant-partners>.

The office listed below provides agency oversight of these reports:

Capacity Grants Branch
Awards Management Division
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2298
1400 Independence Avenue, SW
Washington, DC 20250-2298
Telephone: (202) 401-6520
Fax: (202) 690-3002
E-mail: formulagrantsquestions@nifa.usda.gov.

SPECIAL NOTES:

(1) Refer to the Capacity, Competitive, and/or Non-Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. NIFA uses the SF-425, Federal Financial Report to monitor cash. PROGRESS REPORTS:

See above for pertinent and specific details. EXPENDITURE REPORTS:
See above for pertinent and specific details. PERFORMANCE MONITORING:
See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart FAudit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entitys fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entitys fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Formula Grants (Apportionments)) FY 16 \$31,920,685; FY 17 est \$31,849,841; and FY 18 est \$27,066,778 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per the Capacity, Competitive, and/or Non-Competitive project grant, or cooperative agreement are established, these amounts will be announced in the annual Capacity, Competitive, and/or Non-Competitive Request for Application (RFA).

The most current RFA is available via:
<https://nifa.usda.gov/program/mcintire-stennis-capacity-grant>.

TAFS Codes:

12-2017-2017-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year (FY) 2016:

Congress appropriated \$33,961,000 for this Program for FY 2016. After legislatively authorized set-asides, the amount available to support approved projects was \$31,920,685. Fiscal Year 2017: Fiscal Year (FY) 2017:

Congress appropriated \$33,961,000 for the McIntire-Stennis program. About \$31,849,841 is available for distribution to states. Fiscal Year 2018: Fiscal Year (FY) 2018:

The projected amount, which is based upon the Presidents request for the Program is \$27,066,778.

Pertinent details to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:
<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE
NIFAs Federal Assistance Policy Guide describes agency policies and procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS
Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA
When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS
The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.
<https://nifa.usda.gov/federal-regulations>

FOIA
The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE
The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE
The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT
NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate and Environment Division of Environmental Systems, 1400 Independence Avenue, SW., STOP 2210., Washington, District of Columbia 20250-2210
Email: formulagrantquestions@nifa.usda.gov Phone: (202) 720-5229 Fax: (202) 720-3945

Website Address:

<http://nifa.usda.gov/program/mcintire-stennis-capacity-grant>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016; Fiscal Year (FY) 2016:

Examples of funded projects were:

- (1) Green building and climate variability;
- (2) Non-traditional forestry (agroforestry and urban forestry);
- (3) Nutrient and Carbon Cycling;
- (4) Liquid biofuels from woody biomass conversion;
- (5) Quantification of ecosystem goods and services;
- (6) Life cycle analysis and value chain; and
- (7) Ecosystem and watershed restoration. Fiscal Year 2017: Fiscal Year (FY) 2017:

Funding was distributed to states to address projects such as:

- (1) Nanotechnology in the forest products industry;
- (2) Bioenergy and biomass feedstock;
- (3) Forest fire;
- (4) Forest pest and diseases;
- (5) Watershed management;
- (6) Carbon sequestration;
- (7) Ecotourism and recreation; and
- (8) Climate change, mitigation and adaptation. Fiscal Year 2018: Fiscal Year (FY) 2018:

Projects anticipated to be funded in FY 2018 are predominantly extensions of projects funded in FYs 2016 and 2017 and some new ones representing emerging issues in the forest products industry such as:

- (1) Engineered wood products;
- (2) Development and production of nanomaterials;
- (3) Drought impacts on forests;
- (4) Quantification and modeling of ecosystem services;
- (5) Improvement in energy feedstock conversion; and
- (6) Climate Smart Forestry.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Capacity Request for Applications (RFA) [formerly known as Formula Grant Opportunity (FGO)].

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Capacity, Competitive, and/or Non-Competitive Request for Application (RFA). The most current RFAs are available via:

<https://nifa.usda.gov/program/mcintire-stennis-capacity-grant>.

10.203 PAYMENTS TO AGRICULTURAL EXPERIMENT STATIONS UNDER THE HATCH ACT

Hatch Act and The Hatch Act of 1887 aka

PAYMENTS TO AGRICULTURAL EXPERIMENT STATIONS UNDER THE HATCH ACT:

- (A) The Hatch Act of 1887 (Regular Research)
- (B) The Hatch Act of 1887 (Multistate Research Fund)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Hatch Act of 1887, as amended; Public Law 84-352, 7 U.S.C. 361a-361i; Education Amendments of 1972, Section 506, Public Law 92-318; Public Law 93-471; Public Law 95-113; Education Amendments of 1980, Section 1361, Public Law 96-374, 7 U.S.C. 301; Public Law 97-98; Public Law 99-198; Public Law 101-624; Public Law 104-127; Public Law 105-185, 7 U.S.C 361a-i.

OBJECTIVES:

To support agricultural research at State Agricultural Experiment Stations. Its purpose is to promote efficient production, marketing, distribution, and utilization of products of the farm as essential to the health and welfare of people and to promote a sound prosperous agriculture and rural life. Up to 25 percent (25%) of funds to be used for integrated cooperative research and extension activities.

Two (2) Programs are funded under CFDA 10.203. Specific Objectives are as follows:

(A) The Hatch Act of 1887 (Regular Research)

The Hatch Act of 1887 provides the basis for Federal funding for agricultural research activities at the State Agricultural Experiment Stations in the 50 States, the District of Columbia, and the Insular Areas.

State Agricultural Experiment Stations are eligible for funds appropriated under this Act according to the following formula: The previous years base plus the current year increase as follows:

Three percent (3%) for Federal Administration,

Twenty percent (20%) equally,

Twenty-six percent (26%) in an amount which bears the same ratio to the total amount to be allotted as the rural population of the State bears to the total rural population of all the States as determined by the last preceding decennial census;

Twenty-six percent (26%) in an amount which bears the same ratio to the total amount to be allotted as the farm population of the State bears to the total farm population of all the States as determined by the last preceding decennial census. Twenty-five percent (25%) for the Hatch Multistate Research Fund.

(B) The Hatch Act of 1887 (Multistate Research)

Not less than twenty-five percent (25%) of the total Hatch Act of 1887 funding is allotted to the States for cooperative research employing multidisciplinary approaches in which a State agricultural experiment station, working with another State agricultural experiment station, the Agricultural Research Service, or a college or university, cooperates to solve problems that concern more than one (1) State. These funds are designated as the Multistate Research Fund, State Agricultural Experiment Stations. Funds are allocated on a prorata basis and allocations are adjusted to support national and regional projects. These projects and their associated budgets are reviewed and approved annually.

The 25 percent applies to any amounts appropriated above the level of funding in 1955 (the base year).

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:**USES:**

Money appropriated pursuant to this Act shall also be available, in addition to meeting expenses for research and investigations conducted under authority of Section 2, for printing and disseminating the results of such research, retirement of employees subject to the provisions of an Act approved March 4, 1940 (54 Stat. 39), administrative planning and direction, and for the purchase and rental of land and the construction, acquisition, alteration, or repair of buildings necessary for conducting research. The State Agricultural Experiment Stations

are authorized to plan and conduct any research authorized under Section 2 of this Act in cooperation with each other and such other agencies and individuals as may contribute to the solution of the agricultural problems involved, and moneys appropriated pursuant to this Act shall be available for paying the necessary expenses of planning, coordinating, and conducting such cooperative research. Up to twenty-five percent (25%) of funds to be used for integrated cooperative research and extension activities.

(A) The Hatch Act of 1887 (Regular Research)

This grant is used to support continuing agricultural research at institutions eligible to receive funds under the Act approved July 2, 1862 (12 Stat. 503, as amended) (1862 Land-Grant Institutions), as well as State agricultural experiment stations. Funds appropriated under this section shall be used to conduct original and other researches, investigations, and experiments bearing directly on and contributing to the establishment and maintenance of a permanent and effective agricultural industry of the United States, including researches basic to the problems of agriculture in its broadest aspects, and such investigations as have for their purpose the development and improvement of the rural home and rural life and the maximum contribution by agriculture to the welfare of the consumer, as may be deemed advisable, having due regard to the varying conditions and needs of the respective States. Further, funds may be used printing and disseminating the results of such research, retirement of employees subject to the provisions of an Act approved March 4, 1940 (54 Stat. 39), administrative planning and direction, and for the purchase and rental of land and the construction, acquisition, alteration, or repair of buildings necessary for conducting research.

(B) The Hatch Act of 1887 (Multistate Research)

In addition to the uses applicable to the Regular Research funds, Multistate Research funds must be used for cooperative research employing multidisciplinary approaches in which a State agricultural experiment station, working with another State agricultural experiment station, the Agricultural Research Service, or a college or university, cooperates to solve problems that concern more than one (1) State.

These funds are known as the Hatch Multistate Research Fund (MRF).

RESTRICTIONS:

(A) The Hatch Act of 1887 (Regular Research)

(1) Approved NIFA Hatch Projects

Hatch Federal funding must be used on approved Hatch projects including Hatch Multistate Research Fund (MRF) projects.

(2) Matching

Section 3(d)(1) of the Hatch Act of 1887 (7 U.S.C. 361c(d)(1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for agricultural research and for the establishment and maintenance of facilities for the performance of the research. However, section 3(d)(4) of the Hatch Act of 1887 (7 U.S.C. 361c(d)(4)) provides that Effective beginning for fiscal year (FY) 2003, in lieu of the matching funds requirement of paragraph (1), the insular areas of American Samoa, the Commonwealth of Puerto Rico, Guam, Micronesia, Northern Mariana Islands, and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than fifty percent (50%) of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section. The Secretary may waive the matching fund requirement [of fifty percent (50%)] for any fiscal year (FY) if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year (FY).

Section 7403 of the Food, Conservation, and Energy Act of 2008 amended section 3(d)(4) of the Hatch Act to subject the District of Columbia to the same matching requirements as the insular 1862 Land-Grant Institutions upon enactment (May 22, 2008).

NIFA may consider and approve matching waivers submitted by State Agricultural Experiment Stations in the Insular Areas and the District of Columbia.

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as Hatch formula grant expenditures.

(B) The Hatch Act of 1887 (Multistate Research)

(1) Approved NIFA Hatch MRF Projects

Hatch MRF Federal funding must be used on approved Hatch MRF projects.

(2) Matching

Section 3(d)(1) of the Hatch Act of 1887 (7 U.S.C. 361c(d)(1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for agricultural research and for the establishment and maintenance of facilities for the performance of the research. However, section 3(d)(4) of the Hatch Act of 1887 (7 U.S.C. 361c(d)(4)) provides that Effective beginning for fiscal year (FY) 2003, in lieu of the matching funds requirement of paragraph (1), the insular areas of American Samoa, the Commonwealth of Puerto Rico, Guam, Micronesia, Northern Mariana Islands, and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than fifty percent (50%) of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section.

The Secretary may waive the matching fund requirement [of fifty percent (50%)] for any fiscal year (FY) if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year (FY).

Section 7403 of the Food, Conservation, and Energy Act of 2008 amended section 3(d)(4) of the Hatch Act to subject the District of Columbia to the same matching requirements as the insular 1862 Land-Grant Institutions upon enactment (May 22, 2008).

NIFA may consider and approve matching waivers submitted by State Agricultural Experiment Stations in the Insular Areas and the District of Columbia.

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as Hatch MRF formula grant expenditures.

Section 3(d)(1) of the Hatch Act of 1887 (7 U.S.C. 361c(d)(1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for agricultural research and for the establishment and maintenance of facilities for the performance of the research. However, section 3(d)(4) of the Hatch Act of 1887 (7 U.S.C. 361c(d)(4)) provides that Effective beginning for fiscal year (FY) 2003, in lieu of the matching funds requirement of paragraph (1), the insular areas of American Samoa, the Commonwealth of Puerto Rico, Guam, Micronesia, Northern Mariana Islands, and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than fifty percent (50%) of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section.

The Secretary may waive the matching fund requirement [of fifty percent (50%)] for any fiscal year (FY) if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year (FY).

Section 7403 of the Food, Conservation, and Energy Act of 2008 amended section 3(d)(4) of the Hatch Act to subject the District of Columbia to the same matching requirements as the insular 1862 Land-Grant Institutions upon enactment (May 22, 2008).

Applicant Eligibility:**(A) The Hatch Act of 1887 (Regular Research)**

Hatch Act funds are provided for agricultural research on an annual basis to the State Agricultural Experiment Stations (SAESs) which were established under the direction of the college or university or agricultural departments of the college or university in each State in accordance with the act approved July 2, 1862 (7 U.S.C. 301 et seq.); or such other substantially equivalent arrangements as any State shall determine.

Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project.

(B) The Hatch Act of 1887 (Multistate Research)

Hatch Act funds are provided for agricultural research on an annual basis to the State Agricultural Experiment Stations (SAESs) which were established under the direction of the college or university or agricultural departments of the college or university in each State in accordance with the act approved July 2, 1862 (7 U.S.C. 301 et seq.); or such other substantially equivalent arrangements as any State shall determine.

Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project.

Beneficiary Eligibility:

Funds under the Hatch Act are allocated in accordance with the statutory formula stated in the Act to the State agricultural experiment stations of the 50 States, the District of Columbia, Guam, Puerto Rico, the Virgin Islands, American Samoa, Micronesia, and Northern Mariana Islands. These institutions have been identified and declared eligible by their respective State legislatures.

Credentials/Documentation:

Credentials/Documentation (083):

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

- (i) Be registered in SAM before submitting its application;
- (ii) Provide a valid DUNS number in its application; and
- (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of

forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:

<https://nifa.usda.gov/program/hatch-act-1887>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All Request for Applications (RFAs) are published on the Agency's website and Grants.gov.

Applicants must complete the Grants.gov registration process.

Applications should be submitted as outlined in the RFA.

Applicants must follow the instructions provided per Grants.gov.

Please see the following NIFA Agency links for more information:
Hatch Act Regular: <http://nifa.usda.gov/program/hatch-act-1887>

Hatch Act Multistate:

<http://nifa.usda.gov/program/hatch-act-1887-multistate-research-fund>

Application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Research, Extension, and Education Project Online Reporting Tool (REEport), and together satisfy all legislative and regulatory pre-award requirements. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications should be submitted as outlined in the RFA. Applicants must follow the instructions provided per Grants.gov.

Application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Research, Extension, and Education Project Online Reporting Tool (REEport), and together satisfy all legislative and regulatory pre-award requirements.

The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via:
<https://nifa.usda.gov/program/hatch-act-1887>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Funds will be released on a quarterly basis to the institutions.

See Appendix A of the Request for Application (RFA) for the specific allocations.

Application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Research, Extension, and Education Project Online Reporting Tool (REEport), and together satisfy all legislative and regulatory pre-award requirements.

Hatch Act Funds must be fully expended in the fiscal year of appropriation; no waiver requests may be considered and approved as no carryover authority is provided in the authorizing legislation.

2 CFR Part 200 Subpart C and Appendix I apply to this program.

2 CFR Part 400 applies to this program.

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via:
<https://nifa.usda.gov/program/hatch-act-1887>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Section :094 - Deadlines:
Dates for specific deadlines are announced in the Capacity Request for Applications (RFA) each fiscal year (FY).

Section 095 - Range
From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:
<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:
<https://nifa.usda.gov/program/hatch-act-1887>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Not applicable, each year of funding is awarded as a new grant.

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:
<https://nifa.usda.gov/program/hatch-act-1887>.

Formula and Matching Requirements:

Statutory Formula: Title The Hatch Act of 1887, Public Law 084-352. 7 U.S.C. 361c(d)(1)

Formula: Payments to States for fiscal year (FY) 1955 was a fixed base and sums appropriated in excess of 1955 level use the following fund allocation statistical factors:

(1) Twenty percent (20%) allotted equally to each State;

(2) Not less than Fifty-two percent (52%) to States as follows: One-half (1/2) in an amount which bears the same ratio as the rural population of the State bears to the total rural population of all the States (and the source is the 1990 Decennial Census); and one-half (1/2) in an amount which bears the same ratio as the farm population of the State bears to the total farm population of all the States (and the source is the 1990 Decennial Census); and

(3) At least Twenty-five percent (25%) to States for cooperative research in which two (2) or more State agricultural experiment stations are cooperating to solve problems of agriculture in more than one (1) State.

Matching Requirements: Percent: 100%. (Other Matching Requirements:

A) The Hatch Act of 1887 (Regular Research)
100 percent.

However, the state agricultural experiment stations in the District of Columbia and the insular areas shall provide non-Federal matching funds equal to not less than 50% of the formula funds distributed by the Secretary of Agriculture. This requirement may be waived by the Secretary.

(B) The Hatch Act of 1887 (Multistate Research)
Required Multistate Match amounts are disclosed in RFA..
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Hatch funds are expected to be fully expended in the fiscal year (FY) of appropriation; however, funds may be carried over for up to one (1) year after the end of the year for which they were appropriated. No prior approval is required to carry over funds for one (1) additional year; however, no additional carryover requests may be considered or approved, as no legislative authority to do so is provided.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:
<https://nifa.usda.gov/program/hatch-act-1887>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Research, Extension, and Education Project Online Reporting Tool (REEport), and together satisfy all

legislative and regulatory pre-award requirements.

Institutions are expected to submit an annual Program of Research, which is a listing of all approved Hatch projects for said institution. Institutions must submit a Project Initiation form through the REEport System prior to the initiation of each AHDR project. The project must undergo a review process and be approved before it is incorporated into the Program of Research. Each institution shall submit a REEport Progress Report, annually for each eligible project. These reports shall be submitted by February 1 for the preceding fiscal year.

A Final Report shall be submitted through REEport to NIFA for each completed or terminated project. Such reports shall be submitted at the same time as are Progress Reports on active projects and should include a brief summary of accomplishments for the entire life of the project.

A REEport Project Financial Report, shall be submitted to NIFA annually for all projects. Reports shall be made on a fiscal year basis and are to be submitted by February 1.

Financial reporting via the SF-425, Federal Financial Report are due annually to the Capacity Grants Branch, Awards Management Division, Office of Grants and Financial Management, on December 31st, for the period of October 1st through September 30th, on an annual basis until the award is closed out.

For additional details regarding the annual, final technical and financial reporting requirements, please refer to the Agency websites at:
<http://nifa.usda.gov/program/hatch-act-1887>

<http://nifa.usda.gov/program/hatch-act-1887-multistate-research-fund>

Instructions for using the REEport system are included on the NIFA web site at:
<http://nifa.usda.gov/reepport-resources-land-grant-partners>.

Capacity Grants Branch
Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2298
Telephone: (202) 401-6520
Fax: (202) 690-3002
E-mail: formulagrantsquestions@nifa.usda.gov.

New Website:

NIMSS (National Information Management and Support System) for the Hatch Multistate Research Fund projects that the domain name for access has changed. It is now <http://www.nimss.org/>. NIFA utilizes the Federal Financial Report, Form SF-425 to monitor cash outlays. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entity's fiscal year in Federal awards is exempt from

Federal audit requirements for that year, except as noted in 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Formula Grants (Apportionments)) FY 16 \$228,687,214; FY 17 est \$228,105,022; and FY 18 est \$227,669,828 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Multi-state allocations represent 25% of all increases above the FY 1955 base year.

Range and Average of Financial Assistance:

See Appendix A of the Request for Applications (RFA) for the specific allocation.

If minimum or maximum amounts of funding per capacity, competitive and/or non-competitive project grant, or cooperative agreement are established, these amounts will be announced in the annual Capacity, Competitive, and/or Non-Competitive Request for Application (RFA).

The most current RFA is available via:
<https://nifa.usda.gov/program/hatch-act-1887>.

TAFS Codes:

12-2017-2017-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: (A) FISCAL YEAR (FY) 2016 HATCH REGULAR RESEARCH:

For the Fiscal Year (FY) 2016 award cycle, \$172,423,744 was appropriated for awards to the fifty-one (51) 1862 state land-grant universities and six (6) land-grants in insular areas.

There were 5,667 active Hatch Regular research projects associated with the Hatch Act appropriation reporting in the REEport systems. All Hatch projects are described as plans of work for a five-year period and roughly 20% of the total number of Hatch projects, about 1,000, are new each year.

Projects are reviewed internally at the host institution for scientific merit and again by national program staff at NIFA for program compliance. Projects report progress through the REEport interface and are publicly displayed through the CRIS system.

Projects may span the spectrum of research affecting all aspects of agriculture, including:

- (1) soil and water conservation and use;
- (2) plant and animal production, protection, and health;
- (3) processing, distribution, safety, marketing, and utilization of food and agricultural products;
- (4) forestry, including range management and range products;
- (5) multiple use of forest rangelands, and urban forestry;
- (6) aquaculture;
- (7) home economics and family life;
- (8) human nutrition;
- (9) rural and community development;
- (10) sustainable agriculture;
- (11) molecular biology; and
- (12) biotechnology.

Research may be conducted on problems of local, State, regional, or national concern.

(B) FISCAL YEAR (FY) 2016 HATCH MULTI-STATE RESEARCH:

The Hatch Act of 1887 provides Federal funding for agricultural research activities at the State Agricultural Experiment Stations in the 50 States, the District of Columbia, and the Insular Areas.

Not less than 25 percent of the total Hatch Act of 1887 funding is allotted to the States for cooperative research employing multidisciplinary approaches in which a State agricultural experiment station, working with another State agricultural experiment station, the Agricultural Research Service, or a college or university, cooperates to solve problems that concern more than one (1) State. These funds are designated as the Multistate Research Fund, State Agricultural Experiment Stations. Funds are allocated on a prorata basis and allocations are adjusted to support national and regional projects. These projects and their associated budgets are reviewed and approved annually. A matching fund requirement exists for this Capacity Grant Program (formerly known as Formula Grant Opportunity).

For the FY 2016 Capacity Grant (formerly known as Formula Grant Opportunity), \$59,909,414 was available for project grant awards.

NIFA received a total of 57 applications requesting a total of \$59,909,414 in FY 2016. Fiscal Year 2017: (A) FISCAL YEAR (FY) 2017 HATCH REGULAR RESEARCH:

For the Fiscal Year (FY) 2017 award cycle, \$171,984,121 was appropriated for awards to the 51 1862 state land-grant universities and six (6) land-grants in insular areas.

There are 4,712 active Hatch Regular research projects currently reporting in the REEport systems. All Hatch projects are described as plans of work for a five-year period and roughly 20% of the total number of Hatch projects, about 1,000, are new each year.

Projects are reviewed internally at the host institution for scientific merit and again by national program staff at NIFA for program compliance. Projects report progress through the REEport interface and are publicly displayed through the CRIS system.

(B) FISCAL YEAR (FY) 2017 HATCH MULTI-STATE RESEARCH:

The Hatch Act of 1887 provides Federal funding for agricultural research activities at the State Agricultural Experiment Stations in the 50 States, the District of Columbia, and the Insular Areas.

Not less than 25 percent of the total Hatch Act of 1887 funding is allotted to the States for cooperative research employing multidisciplinary approaches in which a State agricultural experiment station, working with another State

agricultural experiment station, the Agricultural Research Service, or a college or university, cooperates to solve problems that concern more than one (1) State. These funds are designated as the Multistate Research Fund, State Agricultural Experiment Stations. Funds are allocated on a prorata basis and allocations are adjusted to support national and regional projects. These projects and their associated budgets are reviewed and approved annually. A matching fund requirement exists for this Capacity Grant (formerly known as Formula Grant Opportunity).

For the FY 2017 Capacity Grants (formerly known as Formula Grant Opportunity), \$59,909,414 was available for project grant awards.

NIFA received a total of 57 applications requesting a total of \$59,909,414 in FY 2017.

The funding ratio for this program in FY 2017 was 100%.

Funded projects addressed multistate agricultural research projects. See Hatch Act research scope description in section 160 below. Fiscal Year 2018: (A) FISCAL YEAR (FY) 2018 HATCH REGULAR RESEARCH:

For the FY 2018 award cycle, \$171,661,518 appropriation is expected for the 51 1862 state land-grant universities and six (6) land-grants in insular areas.

We project that there will be approximately 5,000 active projects of which about 1,000 will be new in FY 2018.

(B) FISCAL YEAR (FY) 2018 HATCH MULTI-STATE RESEARCH:

The Hatch Act of 1887 provides Federal funding for agricultural research activities at the State Agricultural Experiment Stations in the 50 States, the District of Columbia, and the Insular Areas.

Not less than 25 percent of the total Hatch Act of 1887 funding is allotted to the States for cooperative research employing multidisciplinary approaches in which a State agricultural experiment station, working with another State agricultural experiment station, the Agricultural Research Service, or a college or university, cooperates to solve problems that concern more than one (1) State. These funds are designated as the Multistate Research Fund, State Agricultural Experiment Stations. Funds are allocated on a prorata basis and allocations are adjusted to support national and regional projects. These projects and their associated budgets are reviewed and approved annually. A matching fund requirement exists for this Capacity Grant (formerly known as Formula Grant Opportunity).

For the FY 2018 Capacity Grants (formerly known as Formula Grant Opportunity), NIFA expects a similar level of funding and similar number of Capacity Grants (formerly known as Formula Grant Opportunity), proposals as in FY 2017.

Funded projects should address multistate agricultural research projects. See Hatch Act research scope description funding in section 160 below.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:
<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None. Section # 153 - Additional Websites:

<http://nifa.usda.gov/program/hatch-act-1887>

<http://nifa.usda.gov/program/hatch-act-1887-multistate-research-fund>.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Plant Systems-Protection, 1400 Independence Avenue, SW., STOP 2240, Washington, District of Columbia 20250-2240
Email: formulagrantsquestions@nifa.usda.gov Phone: (202) 401-4939 Fax: (202) 401-1782

Website Address:

<http://nifa.usda.gov/grants>

RELATED PROGRAMS:

10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: (A) FISCAL YEAR (FY) 2016 HATCH REGULAR RESEARCH:

A Southern Region study was conducted to improve disease resistance and fruit quality in peaches using traditional breeding and molecular approaches because the perfect peach - consistently exceeding consumer expectations with satisfying appearance, aroma, flavor, shelf life, and texture and meeting industry needs for durable disease resistances - remains elusive. This work led to the development and application of DNA tests, which, when combined with phenotypic data, have facilitated breeding programs combining horticultural quality with leaf resistance to bacterial spot and fruit resistance to brown rot. A Western Region project studied *Staphylococcus aureus* (*S. aureus*) colonization and infection in horses and cattle. Investigators examined how different strains of *S. aureus* isolated from mastitic cows impact the outcome of mammary gland infection. This led to a better understanding of what virulence factors are used by *S. aureus* to cause disease, which will guide future vaccine designs.

A project from the North Central region investigated novel methods for soybean genetic improvement and genomic analysis. Researchers discovered new Quantitative Trait Loci (QTLs) for soybean seed protein and oil content, and one (1) of the QTLs greatly influences seed protein content.

(B) FISCAL YEAR (FY) 2016 HATCH MULTI-STATE RESEARCH:

A new project was initiated in FY 2016. The project will:

- a) develop chemical ecology tools and information to support sustainable agriculture by reducing damage by pests in crops such as potatoes, brassicas, cucurbits, apples, blueberries, and sweet corn, while maintaining pollinator health in agricultural systems;
- b) define variability of chemically mediated interactions between pests, crops, and beneficial organisms in terms of plant chemistry, species interactions and landscape factors in the Northeast;
- c) characterize the non-target effects of pesticides on pollinators and natural enemies of pests;
- d) assess the impact of domestication on plant and animal chemical ecology in agricultural fields and identify unifying patterns of human and natural selection on chemical interactions of crop plants;
- e) establish a chemical ecology analytical facility for the Northeast to allow researchers ready access to equipment and technical expertise; and
- f) use extension to facilitate adoption and awareness of science-based chemical ecology tools to support sustainable production.

Examples of research on continuing projects in FY 2016 include:

(1) A project will include:

- a) evaluation of microbial species with potential for biological control of soil-borne plant pathogens;
- b) validation of new collection, detection and diagnostic protocols;
- c) assessment of responses to sub-inhibitory fungicides in fungal plant pathogen populations;
- d) population genetic analysis of *P. capsici* using SNP database;
- e) data analyses, publication of scientific reports and outreach materials;
- f) progress reports at APS national and regional meetings as well as in the annual project meeting; and
- g) development and delivery of extension education and outreach materials.

(2) A project will continue:

- a) residue removal data collection including animal performance and subsequent yield;
- b) establishment of experiments that compare modified intensive-early stocking of rangeland with breeding cattle vs. continuous stocking of breeding cattle;

c) experiments evaluating modified intensive-early stocking vs. continuous stocking of breeding cattle and influence of grazing strategies on grazing land productivity, harvest efficiency, and health;

d) experiments evaluating inter-seeding annuals into cool-season and warm-season grass pastures to evaluate how weather variability influences results;

e) evaluation of forage yield, forage quality, animal performance, and subsequent crop yield from forage crop use;

f) evaluation of calf health, reproductive performance, cow body condition score, and calf performance from confined cow sites; and g) dissemination of information via Extension efforts. Fiscal Year 2017: (A) FISCAL YEAR (FY) 2017 HATCH REGULAR RESEARCH:

Investigators in the Western Region are working to connect water quantity and water quality considerations in water-scarce regions of the arid southwest. Researchers have completed a detailed analyses of water policies, including such topics as groundwater governance in the United States, the use of grey water by the building/engineering industries, and the detection of antibiotic resistance in environmental matrices, such as recycled water and grey water. This work will help to develop guidelines for the future matching of water supplies to appropriate uses.

(B) FISCAL YEAR (FY) 2017 HATCH MULTI-STATE RESEARCH:

New projects initiated/renewed in 2017 include the following:

(1) The project will:

- a) conduct multidisciplinary conventional and molecular marker-assisted breeding, germplasm enhancement, and early-generation selection research to improve potato productivity and quality for important Eastern U.S. markets. This will help develop new potato varieties with improved disease and insect resistance, resistance to internal heat necrosis, improved processing or fresh market characteristics, and enhanced nutritional quality will be released, providing growers with better marketing opportunities and/or improved resistance to pests;
- b) use novel and improved potato germplasm to reduce the impact of economically important potato pests in the Eastern US. This will increase adoption of new, high quality, pest resistant varieties, leading to increased profitability, greater worker safety, and reduced pesticide load in the environment and human diet;
- c) evaluate yield, quality, and pest resistance of preliminary and advanced potato breeding lines in experimental- and commercial-scale trials at multiple Eastern locations to aid industry adoption of new varieties. Multi-site evaluation and selection process will result in the release of new, broadly adapted potato varieties that will be stress tolerant and suitable for use in a changing climate; and
- d) provide timely and relevant information to stakeholders through various means including the maintenance of a project website and a web-based potato variety performance database for use by researchers, extension, potato growers, and allied industry members. Web-based and traditional conduits will be used for the distribution of timely and readily available potato variety production information to growers, allied industry members and consumers will be further developed and strengthened.

(2) The project will:

- a) develop new technologies for characterizing fundamental nanoscale processes;
- b) construct and characterize self-assembled nanostructures that will lead to development of tools and products and benefit different aspects of agriculture and biological engineering research;
- c) develop devices and systems incorporating microfabrication and nanotechnology;
- d) develop a framework for economic, environmental and health risk assessment for nanotechnologies applied to food, agriculture and biological systems;
- e) develop/improve education and outreach materials on nanofabrication, sensing, systems integration and application risk assessment; and
- f) improve academic-industry partnership to help move the developed technologies to commercialization phase.

Examples of research on continuing projects include:

(1) The project will:

a) develop new technologies for management of flies (biting and nuisance) in organic and conventional animal agriculture systems. This include in depth evaluation of the natural repellents used on livestock and further understand of how flies respond to repellents. Develop and evaluate recognition software to estimate fly population densities on livestock. Provide recommendations for alternative bedding materials to minimize fly development and optimal trapping strategies for target species;

b) detect Insecticide resistance and develop management strategies. Produce research and extension publications describing the mechanism(s) of resistance to insecticides in house flies, stable flies and horn flies. A database of resistance mechanisms by fly species and geographic distribution will be made available to support management efforts directed at house flies and stable flies. Develop a publicly-accessible database of the house fly and stable fly genomes that can be queried by fly researchers from various scientific disciplines;

c) investigate microbial ecology, epithelial immunity, and vector competence of biting and nuisance flies. Identification of attractive and repellent compounds from stable fly larval development substrates and from bacteria associated with flies and their development sites. Attractive lures will be developed and tested based upon fly response to these compounds. A database of bacterial, viral, and fungal taxa associated with blow flies will be developed. Produce research and extension publications describing infection of house flies by bacteria and other pathogens of concern to humans. Evaluate, develop and recommend selected essential oils with documented antimicrobial or microstatic activity against mastitis in dairy cattle;

d) characterize population biology of biting and nuisance flies. Datasets will be produced and made available describing the dispersal distance of stable flies with additional recorded data on environmental factors that affect dispersal. A population growth model for stable fly using time-series datasets will be provided to collaborators for use in further research or extension to growers and other extension clientele. A database of larval development site characteristics will be produced and made available;

e) community and stakeholder engagement by developing a searchable, national database of all pesticides registered in the US for use in animal agriculture will be compiled and updated annually. A national website with links to all currently available livestock entomology research and extension information from across the US will be made available to our stakeholders. Collaborate on developing regular state, regional and national updates for user groups (Extension agents, producer groups, veterinarians, etc.) through conference calls and/or on-line conferencing utilities. We will conduct pest specific webinars for farmers/stakeholders (conventional and organic), private practitioners. Fiscal Year 2018: (A) FISCAL YEAR (FY) 2018 HATCH REGULAR RESEARCH:

A North Central study is investigating biocontrol of foodborne pathogens on fresh produce. Recently, there has been an increase in the number of disease outbreaks associated with fresh produce contaminated with pathogens such as *Escherichia coli* O157:H7, *Salmonella* sp., *Listeria monocytogenes*, and others. Researchers are working to develop and optimize a bacteriophage treatment to control enterohemorrhagic *Escherichia coli* on fresh produce. Bacteriophages are potentially useful in controlling foodborne pathogens on minimally processed products since phage application is a non-destructive treatment.

(B) FISCAL YEAR (FY) 2018 HATCH MULTI-STATE RESEARCH:

New projects which will begin in 2018 include:

(1) Regulation of Photosynthetic Processes

Collaborators participating in this regional project will place considerable focus on understanding and improving the response of photosynthesis to genetic, developmental and environmental factors that limit productivity. The research spans all levels of organization from the molecular and cellular through the leaf, whole plant and canopy. Particular emphasis will be placed on abiotic stresses (i.e., heat, cold, drought and salinity), nitrogen- and water-use efficiency, carbon flux pathways, and the signal transduction mechanisms that initiate the plant response.

(2) Enteric Diseases of Food Animals: Enhanced Prevention, Control and Food Safety

The long-term goal of this collaborative project is to develop strategies to prevent and control enteric diseases of cattle, swine, and poultry, ultimately to decrease the incidence of enteric diseases in food animals, and decrease

zoonotic food and water-borne illnesses in the USA.

(3) Improving Forage and Bioenergy Crops for Better Adaptation, Resilience, and Flexibility

This project is directed to these focus areas: Breeding crops with higher forage yield, improved forage quality for livestock production, longevity, and resistance or tolerance to biotic and abiotic stress conditions will provide more economical food production; forage crops need to be developed that will be productive under abiotic stresses, including drought, flooding, cold and warm temperatures, and soil salinity; Research needs to be done on stand establishment (improved seed germination and seedling vigor), biomass production, disease and insect resistance, etc., across multiple environments, especially on marginal soils where these species are likely to be used without competing with food crops; and Improving the yield, nutritional quality, and storability of forage crops will ensure an ample supply of good quality feed to animals and an essential step in securing the food chain to the consumer.

(4) Elder Financial Exploitation: Family Risk and Protective Factors

This project will address five (5) objectives:

a) understand family members' experiences (thoughts and feelings) related to elder financial exploitation by a relative;

b) identify risk and protective factors in family systems that increase or decrease the likelihood of family elder financial exploitation;

c) identify the range and scope of family experiences foundational to family elder financial exploitation, including the consequences of EFE on family systems;

d) disseminate findings and implications to gerontology, family studies, and family economics researchers and educators, law enforcement and attorneys, community-based practitioners, and family members; and

e) continue to design further studies that build on earlier findings and create a conceptual model or expand Rabiner et al. (2004) Conceptual Model of Elder Financial Exploitation.

(5) Social, Economic and Environmental Causes and Consequences of Demographic Change in Rural America

This project proposes to undertake a comprehensive analysis of current population processes affecting U.S. rural areas and provide to stakeholders policy-relevant research findings on the demographic causes and consequences of socioeconomic and environmental change.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Formula Grant Opportunity (FGO).

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Capacity, Competitive, and/or Non-Competitive Request for Application (RFA). The most current RFAs are available via:

<https://nifa.usda.gov/program/hatch-act-1887>.

10.205 PAYMENTS TO 1890 LAND-GRANT COLLEGES AND TUSKEGEE UNIVERSITY

Evans-Allen Research and/or Agricultural Research at 1890 Land-Grant Institutions. Including Tuskegee University, West Virginia State University and Central State University.

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 1445 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), enacted as Title XIV of Public Law 95113 (The Food and Agriculture Act of 1977) on Sept. 29, 1977 is also known as the Evans-Allen Research Program. This law provides the basis for Federal funding for agricultural research activities at 1890 Land-Grant Institutions under the Act of August 30, 1890 (26 Stat. 417419, as amended; 7 U.S.C. 321326 and 328),

including Tuskegee University, West Virginia State University and Central State University. Amended by Public Law 95-547, Public Law 97-98, Public Law 99-198, Public Law 101-624, Public Law 104-127 and Public Law 105-185., 7 U.S.C 3222; Public Law 113-79 , 7 U.S.C 3222.

OBJECTIVES:

To support continuing agricultural research at colleges eligible to receive funds under the Act of August 30, 1890 (26 Stat. 417-419), as amended; 7 U.S.C. 321-326 and 328), including Tuskegee University, West Virginia State University and Central State University. Its purpose is to promote efficient production, marketing, distribution and utilization of products of the farm as essential to the health and welfare of people and to promote a sound prosperous agriculture and rural life.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

This grant is used to support continuing agricultural research at 1890 Land-Grant Institutions, including Tuskegee University, West Virginia State University and Central State University. Funds appropriated under this section shall be used for expenses of conducting agricultural research, printing, disseminating the results of such research, contributing to the retirement of employees subject to the provisions of the Act of March 4, 1940 (54 Stat. 3940, as amended; 7 U.S.C. 331), administrative planning and direction, and purchase and rental of land and the construction, acquisition, alteration, or repair of buildings necessary for conducting agricultural research. The eligible institutions are authorized to plan and conduct agricultural research in cooperation with each other and such agencies, institutions and individuals as may contribute to the solution to the solution of agricultural problems, and funds appropriated pursuant to section 1445 shall be available for paying the necessary expenses of planning, coordinating and conducting such cooperative research. **RESTRICTIONS:**

(1) Approved NIFA Evans-Allen Research Projects
NIFA will fund the Evans-Allen Research Program for authorized activities. Funds may be used on approved Evans-Allen Research Program projects.

(2) Matching

NARETPA section 1449 (7 U.S.C. 3222d) states that the State shall provide matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ... 100 percent of the formula [grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ... Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement.

7 CFR 3419.1 defines matching funds as funds from non-Federal sources made available by the State to the eligible institutions ... [for] programs or activities that fall within the purposes of agricultural research and cooperative extension under sections 1444 and 1445 of NARETPA ... or [for] qualifying educational activities. Matching funds means cash contributions and excludes in-kind matching contributions. It defines non-Federal sources as funds made available by the State to the eligible institution either through direct appropriation or under any authority (other than authority to charge tuition and fees paid by students) provided by a State to an eligible institution to raise revenue, such as gift acceptance authority or user fees. Finally, it defines qualifying educational activities as programs that address food and agricultural sciences components of an eligible institution.

7 CFR 3419.6 states that The required matching funds for the formula programs shall be used by an eligible institution for agricultural research and extension activities that have been approved in the plan of work required under sections 1444(d) and 1445(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977... or for .. qualifying education activities.

Please note that while Federal funds must be expended on agricultural research, the matching funds may be used for agricultural research, agricultural

extension, or qualifying educational activities.

NIFA may consider and approve matching waivers above the 50 percent level.

(3) Indirect Costs and Tuition Remission

In accordance with NARETPA section 1473 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as Evans-Allen Research Program Capacity Grant (formerly known as formula grant) expenditures. Fully discretionary.

Applicant Eligibility:

Applications may be submitted by 1890 Land-Grant Universities, including Tuskegee University, West Virginia State University and Central State University.

Beneficiary Eligibility:

Applications may be submitted by 1890 Land-Grant Universities, including Tuskegee University, West Virginia State University and Central State University.

Credentials/Documentation:

Credentials/Documentation (083):

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:
<https://nifa.usda.gov/sites/default/files/resources/FY18AgriculturalResearchat1890InstitutionsModification882017.pdf>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All Request for Applications (RFAs) are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:
<http://www.grants.gov>. This program is excluded from coverage under E.O. 12372.

Pre-award form submissions must be submitted to the Evans-Allen program as a new application on the www.grants.gov website.

As noted previously, these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Research, Extension, and Education Project Online Reporting Tool (REEport), and together satisfy all legislative and regulatory pre-award requirements.

Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 and 1890 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1862 and 1890 Land-Grant Institution must submit both a Five (5) Year Plan of Work Update (i.e., submitted each year as an update) and an Annual Report of Accomplishments and Results. Both reports were due by April 1.

Specific project details and pertinent information should be entered in the REEport. The REEport system collects technical and financial data about projects NIFA has funded and allows grantees to report significant accomplishments and impacts of their research, extension, and education work. An environmental impact statement is required for this program. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see:
<http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.htm>

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/sites/default/files/resources/FY18AgriculturalResearchat1890InstitutionsModification882017.pdf>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

The Planning, Accountability and Reporting Staff (PARS) and the Office of Grants and Financial Management (OGFM) Capacity Branch will be reviewing submitted documents to determine if all program, financial, and administrative requirements have been met and are current. To receive an annual allotment of funds, each eligible institution or State must first submit a plan of work to NIFA for approval. During the grant period, PARS will notify the OGFM Capacity Branch and the institution regarding each institutions compliance with the Plan of Work reporting requirements (i.e., Five (5) Year Plan of Work Update and an Annual Report of Accomplishments and Results). If all current program and administrative requirements have been met by the eligible institution, funding will continue to be released on a quarterly basis. The OGFM Capacity Branch will notify the institutions when all requirements have been met or approved. PARS will notify the OGFM Capacity Branch and the institution regarding each institutions compliance with the Plan of Work reporting requirements (i.e., Five (5) Year Plan of Work Update and an Annual Report of Accomplishments and Results). If all current program and administrative requirements have been met by the eligible institution, funding will continue to be released on a quarterly basis. The OGFM Capacity Branch will notify the institutions when all requirements have been met or approved.

2 CFR Part 200 Subpart C and Appendix I apply to this program.

2 CFR Part 400 applies to this program.

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via:
<https://nifa.usda.gov/sites/default/files/resources/FY18AgriculturalResearchat1890InstitutionsModification882017.pdf>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Deadlines (094):

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time (095):

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:
<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:
<https://nifa.usda.gov/sites/default/files/resources/FY18AgriculturalResearchat1890InstitutionsModification882017.pdf>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Not applicable, each year of funding is awarded as a new grant.

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/sites/default/files/resources/FY18AgriculturalResearchat1890InstitutionsModification882017.pdf>.

Formula and Matching Requirements:

Statutory Formula: Title Evans-Allen Research, Public Law 95-113. Statutory

Formula: Title Evans-Allen Research, Public Law 95-113. 7 U.S.C. 321326 and 328

The 1890 Land-Grant Institutions, including Tuskegee University, West Virginia State University and Central State University, are eligible for funds appropriated under this Act according to the following formula: Funds up to the total amount made available to all eligible institutions in the fiscal year ending September 30, 1978, under section 2 of the Act of August 4, 1965 (79 Stat.431; 7 U.S.C. 450i), shall be allocated among the eligible institutions in the same proportion as funds made available under section 2 of the Act of August 4, 1965, for the fiscal year ending September 30, 1978, are allocated among the eligible institutions. Of the funds in excess of that amount, 20 per cent shall be allotted among eligible institutions in equal proportions; 40 per cent shall be allotted among the eligible institutions in the proportion that the rural population of the State in which each eligible institution is located bears to the total rural population of all the States in which eligible institution are located, as determined by the last preceding decennial census current at the time each such additional sum is first appropriated; and the balance shall be allotted among the eligible institutions in the proportion that the farm population of the State in which each eligible institution is located bears to the total farm population of all the States in which the eligible institutions are located, as determined by the last preceding decennial census current at the time each such additional sum is first appropriated. In computing the distribution of the allocated funds, the allotments to Tuskegee University and Alabama A&M University shall be determined as if each institution were in a separate State. Matching Requirements: Percent: 100%. NARETPA section1449 (7 U.S.C. 3222d) states that the State shall provide matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ... 100 percent of the formula [grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ... Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement.. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Evans-Allen Research Program funds are fiscal year appropriated and expected to be fully expended in the fiscal year of appropriation; however, funds may be carried over for up to one additional year. Please note there is no limitation on the amount of Federal funds that may be carried over for this one additional fiscal year. No prior approval from NIFA is required. Since this limitation is legislatively mandated, NIFA is not authorized to grant any carryover requests beyond this one additional year.

2 CFR Part 200, Subpart D applies to this program.

Evans-Allen Research Program funds are fiscal year appropriated and expected to be fully expended in the fiscal year of appropriation; however, funds may be carried over for up to one (1) additional year. Please note there is no limitation on the amount of Federal funds that may be carried over for this one (1) additional fiscal year. No prior approval from NIFA is required. Since this limitation is legislatively mandated, NIFA is not authorized to grant any carryover requests beyond this one (1) additional year.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/sites/default/files/resources/FY18AgriculturalResearchat1890InstitutionsModification882017.pdf>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Pre-award form submissions must be submitted to the Evans-Allen Research Program as a new application on the www.grants.gov website. As noted previously, these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) and REEport and together satisfy all legislative and regulatory pre-award requirements. The Plan of Work Update and Annual Report of Accomplishments and Results should have been submitted by April 1 online at the web address: <http://pow.NIFA.usda.gov>. Section 225 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended NARETPA to require an approved Plan of Work for agricultural extension and research activities at 1890 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1890 Land-Grant Institution, including Tuskegee University, West Virginia State University and Central State University, must submit both a Five (5) Year Plan of Work Update and an Annual Report of Accomplishments and Results.

Both reports are due April 1. Specific project details and pertinent information should be entered in REEport. The REEport system collects technical and financial data about projects NIFA has funded and allows grantees to report significant accomplishments and impacts of their research, extension, and education work.

The Plan of Work (POW) and Annual Report of Accomplishments and Results should be submitted online through the NIFA Reporting Portal at the web address:

<http://portal.nifa.usda.gov/portal>. Additional information about the POW is available at: <http://nifa.usda.gov/tool/pow>

The following is a description of project data reporting requirements through the REEport system (located at <http://portal.nifa.usda.gov>) as well as the SF-425 reporting requirements:

Institutions must submit a REEport Project Initiation which includes the Project Description; Project Classification; Assurance Form; and Project Proposal through the REEport System prior to the initiation of each capacity-funded project. The project must undergo a review process and be approved before it is incorporated into the Program of Research.

Each institution shall submit a REEport Progress Report annually for each eligible project. All Progress Reports from institutions are based on the federal fiscal year and shall be submitted by March 1 for the preceding fiscal year.

A Financial Report shall be submitted to NIFA through REEport annually for all eligible projects from the preceding fiscal year. A Financial Report is also required for expenditures on all State projects that are to be included in the non-Federal funds and matching funds computation. Reports shall be made on the federal fiscal year basis.

Financial reporting via the SF-425, Federal Financial Report is due to the Capacity Grants Branch, Awards Management Division, OGF, on December 31 for the period October 1 through September 30 annually, until the award is

closed out.

A Final Report shall be submitted to NIFA through REEport for each completed or terminated project. Such reports shall be submitted at the same time as are progress reports on active projects and should include a summary of accomplishments for the entire life of the project.

See <http://www.nifa.usda.gov/business/awards/formula/evansallen.html> for additional details of annual, final technical and financial reporting requirements. Instructions for using the REEport system are included on the NIFA web site at: <http://nifa.usda.gov/reeport-resources-land-grant-partners>.

Capacity Branch
Awards Management Division
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA), STOP 2298
1400 Independence Avenue, SW, Washington, DC 20250-2298
Telephone: (202) 401-6520, Fax: (202) 690-3002, E-mail:
formulagrantsquestions@nifa.usda.gov.

2 CFR Part 200 - Subpart D applies to this program. NIFA uses the SF-425, Federal Financial Report to monitor cash. (Details regarding Progress Reports are provided above.). (Details regarding Expenditure Reports are provided above.). (Details regarding Performance Monitoring are provided above.).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entity fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entity fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR Part 200 - Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Formula Grants (Apportionments)) FY 16 \$50,910,586; FY 17 est \$50,780,008; and FY 18 est \$50,683,295 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

SPECIAL NOTE:

The FY 2016 budget reflected an increase funds to accommodate one (1) new 1890 Land Grant, Institution (Central State University) and to provide \$2.5 million for a new National competitive award program for these institutions.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Capacity, Competitive, and/or Non-Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/sites/default/files/resources/FY18AgriculturalResearchat1890InstitutionsModification882017.pdf>.

TAFS Codes:

12-2017-2017-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The appropriated amount for the 1890 Evans-Allen Program was \$54,185,000.

The funds available to the states after legislatively authorized set-asides were \$50,910,586

The 19 Institutions, located in 18 states, were awarded funds based on a formula and the awards ranged from \$1,242,633 to \$5,110,655.

Institutions were required to provide a 1:1 dollar match. However, matching waivers were an option for institutions that were unable to acquire the required match from the State due to financial constraints and reductions to State budgets. Fiscal Year 2017: In Fiscal Year (FY) 2017, the appropriated amount was \$54,185,000.

Funding to the 19 institutions after legislatively authorized set-asides were \$50,780,008. Funds will be distributed on a formula basis, with a 100 percent (100%) matching requirement by the State from non-federal sources. However, a matching waiver may be granted by the funding agency with appropriate justification/data demonstrating good faith efforts to obtain the 100% required match. Fiscal Year 2018: It is projected that this project will be funded at the Fiscal Year (FY) 2018 level of \$50,683,295, to support the 19 Institutions.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250 Email: formulagrants@nifa.usda.gov Phone: (202) 720-9278 Fax: (202) 720-9366

Website Address:

<http://nifa.usda.gov/program/agricultural-research-1890-land-grant-institutions>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.207 Animal Health and Disease Research; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: OPTIMAL AGRONOMIC PRACTICES TO REDUCE NUTRIENT LOADING IN WATER BODIES

The research objectives for this three-year project include the following:

(1) Develop a GIS based methodology to assess statewide agronomic practices in a dynamic manner in collaboration with different agencies and institutions. This effort will produce a GIS data base of the agronomic practices of farmers in the watershed that will include variables such as time lines for tillage,

fertilizing, planting, applications of chemicals for crop health and harvesting. The yield output cost input data would be included. Historic data on farmers; management practices with regard to tillage, fertilization, crop health, residue management will be captured through this effort with the ability to summarize the practices of individual farmers in the area. Small and disadvantaged farmers will be identified and the characteristics will be documented in the study;

(2) Develop an integrated methodology to study the impacts of hydrology on nutrient loading under the current agronomic practices. This effort will result in generating a GIS based, monthly rainfall predictive model using stochastic hydrology adjusted for climate change scenarios under prescribed levels of reliability around timelines of agronomic events such as tillage, fertilizing and other chemical applications; and

(3) Develop a methodology for comparatively studying the contributions to nutrients from different sources farms, municipal wastewater plants, discharges from industries.

ANALYZING THE ROLE OF HIGH PRO-INFLAMMATORY DIETS AND CHILDHOOD OBESITY IN THE RISK OF ADULT CARCINOGENESIS IN CHILDREN

This project was designed to better understand the relationship between pro-inflammatory diets, pro-inflammatory marker expression levels, and adult cancer risk in obese children. The central hypothesis of this study is that high pro-inflammatory diets and lack of exercise, which are obesity precursors, will result in increased levels of bio-inflammatory markers that have been linked to cancer diagnosis. The study proposes to address our goal in two specific aims: Specific Aim 1: To investigate the link between childhood obesity caused by pro-inflammatory (high-fat) diets and low physical activity as risk factors for carcinogenesis; we will measure the expression and functionality of pro-inflammation biomarkers (IL-1, IL-6, IL-8, IL12b, IL-10, TNF α leptin, VEGF, adiponectin, CRP, and SAA); and Specific Aim 2: To determine cancer risks by investigating differences in the pro-inflammatory gene signature according to demographics and socioeconomic status in racially-diverse populations (varying degrees of rurality, age, gender, income, and educational level) by data stratification. Accomplishments to-date for this three (3) year project are: Specific Aim 1: Preliminary data has been collected that compares the expression of the inflammation markers in non-cancer vs. prostate cancer DNA. There is evidence of marked increased expression in the inflammation markers, Serum amyloid A and C-Reactive Protein (SAA1 and CRP).

INVESTIGATIONS ON FOOD DERIVED AGES (ADVANCED GLYCATION END-PRODUCTS) IN RELATION TO OBESITY AND BREAST CANCER

Finding a cure for breast cancer is a national priority. The goals of this research over a two-year period are to:

- (1) Investigate the implications of carbohydrate and fat mediated modifications termed as Advanced Glycation End Products (AGEs) in relation to obesity (BMI>30), and ductal carcinoma breast cancer (known age and BMI values). This will help in early detection of breast cancer, obesity involvement and some predictions about the possible types of medications for prevention of ductal carcinoma type breast cancer. The results of our studies will help us understand the implications of glycoxidation reactions in obesity and ductal carcinoma type breast cancer; and
- (2) Involves undergraduate students in biomedical research. This will help student interns pursue career goals in the fields of food, nutrition and health. Two (2) to three (3) students are working in this project. Student participants work with sensitive analytical instruments, interpret the data, write research papers, and present these works in local and national scientific conferences. The research work in majority cases involve handling of important biological specimens of obese, cancerous and non-cancerous tissue samples of known BMI values from similar age groups of people. Fiscal Year 2017: IMPROVING AGRITOURISM MARKETING

This proposal examines the marketing capabilities of small farmers who engage in agritourism activities as a supplemental or primary means of earnings, and seeks to provide research based measures to improve the marketing viability of

such farms. Research activities will lead to the identification of current and potential small farm agritourism practitioners and the marketing challenges they face in developing and communicating agritourism experiences and resources. The identification of the "gaps" in agritourism marketing among small farmers will provide an opportunity for these farmers to develop marketing strategies and resources and in procuring the financial support or technical expertise needed to further develop their agritourism enterprises. As a result of this initial set of research activities, a set of "best practices" in agritourism marketing will be identified. These best practices will be formulated as a training resource to be delivered via, workshops, web-based curricula and outreach training for agritourism practitioners.

IDENTIFICATION AND CHARACTERIZATION OF VIRUSES INFECTING SOYBEAN (GLYCINE MAX L.) AND LIMA BEAN (PHASEOLUS LUNATUS) USING NEXT GENERATION SEQUENCING

Plant diseases caused by fungi, oomycetes, bacteria and viruses are one (1) of the main limiting factors affecting agriculture and food safety security worldwide. This proposal aims to identify and characterize viruses infecting two (2) important legume crops including soybean (*Glycine max L.*), and lima bean (*Phaseolus lunatus*). Through this project, researchers will apply NGS to explore the presence of RNA viruses infecting soybean and lima beans. Following discovery of any viral or viral-like sequences, scientists will design probes/primers for identifying RNA plant viruses for field surveys. Finally, they will develop and utilize for the routine detection for the identified viruses. Providing this information will improve knowledge about future disease management strategies of plant viruses. Identifying and characterizing new/emerging diseases is becoming more important as international trade of plant products increases and as trading partners seeks to protect themselves from the introduction of unwanted diseases.

LOW-FAT FRIED MUSCLE FOODS AND HUMAN HEALTH ENHANCEMENT: A THREE-PRONG STRATEGY

Fried foods have become a health concern and high consumption of fried foods has been associated with health conditions such as heart diseases, obesity, type 2 diabetes, premature aging and cancer. Hence, to address this problem, researchers propose to devise a three-prong strategy (a novel protein-based edible coating prepared from fish and chicken processing by-products activated with onion peel extract, and a new battering system consisting of sweet potato starch) to reduce the fat-uptake in deep-fat fried muscle foods. The specific objectives of this study are to:

- (1) Isolate the proteins from fish and chicken processing by-products and develop edible coatings;
- (2) Determine the effect of sweet potato starch-containing batter alone or in combination with edible coating on reducing the fat-uptake of fried muscle foods;
- (3) Evaluate the physicochemical properties of the fried muscle foods during refrigerated storage;
- (4) Investigate the antibacterial activities of the edible coating containing onion peel extract against pathogenic and spoilage bacteria;
- (5) Analyze sensory attributes of the fried muscle foods during refrigerated storage; and
- (6) Provide experiential and educational opportunities for minority students through undergraduate and graduate research assistantships. Fiscal Year 2018: It is projected that each of the 19 institutions will submit an approved research project under this Program that addresses current and emerging issues in the Food and Agricultural Sciences.

Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Capacity,

Competitive, and/or Non-Competitive Request for Application (RFA). The most current RFAs are available via:
<https://nifa.usda.gov/sites/default/files/resources/FY18AgriculturalResearchat1890InstitutionsModification882017.pdf>.

10.206 GRANTS FOR AGRICULTURAL RESEARCH_COMPETITIVE RESEARCH GRANTS

National Research Initiative Competitive Grants Program (NRI)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Competitive, Special and Facilities Research Grant Act, Section 2(2)(b), [7 U.S.C. 450i] , 7 U.S.C 450i.

OBJECTIVES:

To support research, education and extension grants that address key problems of national, regional and multistate importance in sustaining all components of agriculture (farming, ranching, forestry, aquaculture, rural communities, human nutrition and obesity, food and fiber processing, etc.) Providing this support to US agriculture requires that the NRI advance fundamental. Building on these discoveries will necessitate new efforts in education and extension that deliver science-based knowledge to people, allowing them to make informed practical decisions. All grants were awarded on a competitive basis.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

NIFA Competitive Programs Unit administers the NRI. The purpose of the NRI Competitive Grants Program was to support research grants and integrated research, extension, and education grants that address key problems of National, regional, and multi-state importance in sustaining all components of agriculture (farming, ranching, forestry including urban and agroforestry, aquaculture, rural communities, human nutrition, processing, etc.). Providing this support requires that NRI advances fundamental sciences in support of agriculture and coordinates opportunities to build on these discoveries. Building on these discoveries will necessitate new efforts in education and extension that deliver science-based knowledge to people, allowing them to make informed practical decisions. Hence, the NRI accepted applications for fundamental research, mission-linked research, and integrated research, extension, and education projects. However, applicants should know that the NRI utilized no more than twenty-two percent (22%) of available funds to support integrated projects and that these funds were not distributed uniformly, but targeted to specific priorities. Targeted priorities for integrated projects were clearly identified within the detailed descriptions of program offerings [see Part II, E of the Fiscal Year (FY) 08 Request for Applications (RFAs)]. Funds may not be used for the renovation or refurbishment of research spaces (including energy retrofitting); purchase or installations of fixed equipment in such spaces; or planning, repair, rehabilitation, acquisition, or construction of buildings or facilities. Fully discretionary.

Applicant Eligibility:

For research projects, the eligibility requirements for the NRI were as follows: except where otherwise prohibited by law, State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, national laboratories, private organizations or corporations, and individuals were eligible to apply for and to receive a competitive grant. The Agricultural Research Enhancement Awards (AREA) have some notable differences from these requirements. See Part II C, 2. of Fiscal Year (FY) 08 Requests for Applications (RFAs).

For integrated projects, the eligibility requirements for the NRI were as follows: except where otherwise prohibited by law, State agricultural experiment stations, all colleges and universities, research foundations maintained by colleges or universities, private research organizations with established and demonstrated capacities to perform research or technology transfer, Federal research agencies, and national laboratories were eligible to apply for and receive a competitive grant. The bridge grants have some notable differences from these requirements. See Part II, C, 3(b) of Fiscal Year (FY) 08 RFAs for details.

Unsolicited applications were not considered and applications from scientists at non-United States organizations were not accepted. Award recipients may subcontract to organizations not eligible to apply provided such organizations were necessary for the conduct of the project.

Beneficiary Eligibility:

For research grants eligibility includes State Agricultural Experiment Stations, U.S. colleges/universities, other U.S. research institutions and organizations, Federal agencies, national laboratories, private organizations or corporations, and individuals. For integrated research, education and extension grants eligibility includes State Agricultural Experiment Stations, U.S. colleges/universities, research foundations maintained by colleges or universities, private research organizations with established and demonstrated capacities to perform research or technology transfer, Federal research agencies and national laboratories. Proposals from scientists at non-U.S. organizations will not be considered for support.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the RFAs. Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but were not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one (1) time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there were factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

All RFAs were published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:

http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Award Procedure:

Applications were subjected to a system of peer and merit review in accordance with Section 103 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who were specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications were judged most meritorious under the procedures set forth in the RFA. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs. Reviewers were selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

094 Deadlines:

Dates for specific deadlines were announced in the RFA each fiscal year (FY).

:095 Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Proposals for renewal, were submitted at the announced deadline. Renewals were treated in competition with all other pending proposals. Authority has been repealed per Section 7406 of the Food, Conservation, and Energy Act of 2008 The Agriculture and Food Research Initiative (AFRI) was established to replace NRI. (See CFDA 10.310.).

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Funds were awarded competitively. No formula grants were awarded under this authority.

For equipment grants Unless criteria was met to qualify for waiver by the Secretary, matching shall not exceed 50 percent of the cost of the special research equipment or other equipment acquired using funds from the grant.

For applied research if the grant was commodity-specific; and not of national

scope, the grant recipient was required to match USDA funds awarded on a dollar-for-dollar basis from non-Federal sources with cash and/or in-kind contributions.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Normally, competitive research projects were supported for periods of up to four (4) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees were required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Quarterly Reports of Federal Cash Transactions (SF-272) were required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and were submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees were required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Quarterly Reports of Federal Cash Transactions (SF-272) were required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and were submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees were required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Quarterly Reports of Federal Cash Transactions (SF-272) were required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and were submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds were used for authorized purposes. Grant-related records were subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - NOTES: Program has not been funded since FY 2008. However, this CFDA is NOT being archived. Statutory time limit for awards has not yet been reached.

See CFDA 10.310 for current Agricultural & Food Research Initiative (AFRI) Grants.

Range and Average of Financial Assistance:

Minimum and maximum amounts of funding per grant were established by the annual program announcement or RFA.

TAFS Codes:

12-2016-X-1500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-Formula Federal Assistance Programs General Award Administrative Provisions; 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations (OMB Circular A-110); 2 CFR Part 220, Cost Principles for Educational Institutions (OMB Circular A-21); 2 CFR Part 225, Cost Principles for State, Local, and Indian Tribal Governments (OMB Circular A-87); 2 CFR Part 230, Cost Principles for Non-profit Organizations (OMB Circular A-122); 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, Competitive Programs, 1400 Independence Avenue, SW., Washington, District of Columbia 20250-2240 Phone: (202) 401-1782.

Website Address:

<http://nifa.usda.gov>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the RFAs.

10.207 ANIMAL HEALTH AND DISEASE RESEARCH (AHDR)**FEDERAL AGENCY:**

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Executive Order National Agricultural Research, Extension, and Teaching Policy Act of 1977, Section 1433, Public Law 95-113, as amended; Section 1429, Public Law 97-98; Public Law 99-198; Public Law 101-624; Public Law 104-127; Public Law 105-185.

, 7 U.S.C 3195.

OBJECTIVES:

The purpose of this funding is to increase animal health and disease research activities at accredited State veterinary schools or colleges or agricultural experiment stations that conduct animal health and disease research. To support animal health and disease research at eligible Schools and Colleges of Veterinary Medicine, and State Agricultural Experiment Stations whose purpose is to improve the health and productivity of food animals and horses through effective prevention, control or treatment of disease, reduction of losses from transportation and other hazards, and protect human health through control of animal diseases transmissible to people.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The National Institute of Food and Agriculture (NIFA) requests applications for the FY 2009 formula grants authorized under authorized under section 1433 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) for the Animal Health and Disease Research (AHDR) Program. These grants are used to assist all states in carrying out a program of research activities designed to:

- (1) to meet expenses of conducting animal health and disease research, publishing and disseminating the results of such research, and contributing to the retirement of employees subject to the provisions of the Act of March 4, 1940 (54 Stat. 3940, as amended; 7 U.S.C. 331);
- (2) for administrative planning and direction; and
- (3) to purchase equipment and supplies necessary for conducting such research.

States are eligible for funds appropriated under this Act according to the following formula: One half of the State allotment will be distributed among the States in the proportion that the value of and income to producers from domestic livestock, poultry, and commercial aquaculture species in each State bears to the total value of and income to producers from domestic livestock, poultry, and commercial aquaculture species in all the States, based on the most current inventory of all cattle, sheep, swine, horses, poultry, and commercial aquaculture species published by the U.S. Department of Agriculture. The remainder of the State allotment will be distributed among the States in the proportion that the animal health and disease research capacity of the eligible institutions in each State bears to the total animal health and disease research capacity in all the States, as determined by NIFA.

Uses:

Research on animal health and disease of food animals and horses conducted in eligible institutions under the following categories:

- (1) Infectious diseases;
 - (2) internal and external parasites;
 - (3) noninfectious diseases, toxins, poisons, transportation losses, predators and other hazards;
 - (4) diseases and parasites of wildlife transmissible to food animals and horses; and
 - (5) diseases and parasites of animals transmissible to people. Restrictions:
- (1) Approved NIFA AHDR Projects
AHDR Federal funding must be used on AHDR approved projects.

(2) Matching

7 U.S.C. 3200 provides that No funds in excess of \$100,000 shall be paid by the Federal Government to any State under this subchapter during any fiscal year in excess of the amount from non-Federal sources made available to and budgeted for expenditure by eligible institutions in the State during the same

fiscal year for animal health and disease research. The Secretary is authorized to make such payments in excess of \$100,000 on the certificate of the appropriate official of the eligible institution having charge of the animal health and disease research for which such payments are to be made. If any eligible institution certified for receipt of matching funds fails to make available and budget for expenditure for animal health and disease research in any fiscal year sums at least equal to the amount for which it is certified, the difference between the Federal matching funds available and the funds made available to and budgeted for expenditure by the eligible institution shall be reapportioned by the Secretary among other eligible institutions of the same State, if there are any which qualify therefor, and, if there are none, the Secretary shall reapportion such difference among the other States.

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as AHDR formula grant expenditures. Fully discretionary.

Applicant Eligibility:

Eligibility is restricted to the following public nonprofit institutions having demonstrable capacity in animal disease research:

- (1) Schools and Colleges of Veterinary Medicine; and
- (2) State Agricultural Experiment Stations.

Funds are appropriated by Congress for distribution to States and eligible State institutions according to the statutory formula stated in the Act.

Applications may be submitted by accredited State veterinary schools or colleges or agricultural experiment stations that conduct animal health and disease research in accordance with NARETPA section 1433(c): Auburn University, Agricultural Experiment Station; Auburn University, School of Veterinary Medicine; Tuskegee University, School of Veterinary Medicine; University of Alaska, Agricultural Experiment Station; University of Arizona, Agricultural Experiment Station; University of Arkansas, Agricultural Experiment Station; University of California-Oakland, Agricultural Experiment Station; University of California-Davis, School of Veterinary Medicine; Colorado State University, Agricultural Experiment Station and College of Veterinary Medicine; University of Connecticut-Storrs, Agricultural Experiment Station; University of Delaware, Agricultural Experiment Station; University of Florida, Agricultural Experiment Station; University of Florida, College of Veterinary Medicine; University of Georgia, Agricultural Experiment Station; University of Georgia, College of Veterinary Medicine; University of Hawaii, Agricultural Experiment Station; University of Idaho, Agricultural Experiment Station; University of Illinois, Agricultural Experiment Station and College of Veterinary Medicine; Purdue University, Agricultural Experiment Station and College of Veterinary Medicine; Iowa State University, Agricultural and Home Economics Experiment Station; Iowa State University, College of Veterinary Medicine; Kansas State University, Agricultural Experiment Station and College of Veterinary Medicine; University of Kentucky, Agricultural Experiment Station; Louisiana State University, Agricultural Experiment Station; Louisiana State University, College of Veterinary Medicine; University of Maine, Agricultural Experiment Station; University of Maryland, Agricultural Experiment Station; University of Massachusetts, Agricultural Experiment Station; Tufts University, School of Veterinary Medicine; Michigan State University, Agricultural Experiment Station and College of Veterinary Medicine; University of Minnesota, Agricultural Experiment Station; University of Minnesota, College of Veterinary Medicine; Mississippi State University, Agricultural and Forestry Experiment Station and College of Veterinary Medicine; University of Missouri, Agricultural Experiment Station; University of Missouri, College of Veterinary Medicine; Montana State University, Agricultural Experiment Station; University of Nebraska, Agricultural Experiment Station; University of Nevada, Agricultural Experiment Station; University of New Hampshire, Agricultural Experiment Station; Rutgers University, Agricultural Experiment Station; New Mexico State University, Agricultural Experiment Station; Cornell University, Agricultural Experiment Station; Cornell University, College of Veterinary Medicine; North Carolina State University, Agricultural Experiment Station; North Carolina State University, College of Veterinary

Medicine; North Dakota State University, Agricultural Experiment Station; Ohio State University, Ohio Agricultural Research & Development Center; Ohio State University, College of Veterinary Medicine; Oklahoma State University, Agricultural Experiment Station and College of Veterinary Medicine; Oregon State University, Agricultural Experiment Station; Pennsylvania State University, Agricultural Experiment Station; University of Pennsylvania, College of Veterinary Medicine; University of Puerto Rico, Agricultural Experiment Station; University of Rhode Island, Agricultural Experiment Station; Clemson University, Agricultural Experiment Station; South Dakota State University, Agricultural Experiment Station; University of Tennessee, Agricultural Experiment Station; University of Tennessee, College of Veterinary Medicine; Texas A&M University, Agricultural Experiment Station and College of Veterinary Medicine; Utah State University, Agricultural Experiment Station; University of Vermont, Agricultural Experiment Station; Virginia Polytechnic Institute and State University, Agricultural Experiment Station and College of Veterinary Medicine; Washington State University, Agricultural Experiment Station and College of Veterinary Medicine; West Virginia University, Agricultural and Forestry Experiment Station; University of Wisconsin, Agricultural Experiment Station and College of Veterinary Medicine; and University of Wyoming, Agricultural Experiment Station.

Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project or activity.

Beneficiary Eligibility:

Eligibility is restricted to the following public nonprofit institutions having demonstrable capacity in animal disease research:

- (1) Schools and Colleges of Veterinary Medicine; and
- (2) State Agricultural Experiment Stations.

Funds are appropriated by Congress for distribution to States and eligible State institutions according to the statutory formula stated in the Act.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

- (i) Be registered in SAM before submitting its application;
- (ii) Provide a valid DUNS number in its application; and
- (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of

forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

<https://nifa.usda.gov/program/animal-health-and-disease-research-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All Request for Applications (RFAs) are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process.

Application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Research, Extension, and Education Project Online Reporting Tool (REReport), and together satisfy all legislative and regulatory pre-award requirements.

Please see the following NIFA Agency link for more information: <http://nifa.usda.gov/program/animal-health-research-and-disease-program>. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications should be submitted as outlined in the RFA.

Applicants must follow the instructions provided per Grants.gov.

Application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Research, Extension, and Education Project Online Reporting Tool (REReport), and together satisfy all legislative and regulatory pre-award requirements.

The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.htm> I.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current

RFAs are available via: <https://nifa.usda.gov/program/animal-health-and-disease-research-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Funds will be released on a quarterly basis to the institutions.

See Appendix A of the Request for Application (RFA) for the specific allocation.

AHDR funds must be fully expended in the fiscal year of appropriation; no waiver requests may be considered and approved as no carryover authority is provided in the authorizing legislation.

2 CFR Part 200 Subpart C and Appendix I apply to this program.

2 CFR Part 400 applies to this program.

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/program/animal-health-and-disease-research-program>

RFAs are generally released annually. Hence, the RFAs provide the most

current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>

<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:

<https://nifa.usda.gov/program/animal-health-and-disease-research-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/program/animal-health-and-disease-research-program>.

Formula and Matching Requirements:

Statutory Formula: Title Food and Agriculture Act of 1977, Public Law 95-113. Public Law 95-113, As Amended Through P.L. 113-79, Enacted February 7, 2014.

Matching Requirements: 7 U.S.C. 3200 provides that No funds in excess of \$100,000 shall be paid by the Federal Government to any State under this subchapter during any fiscal year in excess of the amount from non-Federal sources made available to and budgeted for expenditure by eligible institutions in the State during the same fiscal year for animal health and disease research. The Secretary is authorized to make such payments in excess of \$100,000 on the certificate of the appropriate official of the eligible institution having charge of the animal health and disease research for which such payments are to be made. If any eligible institution certified for receipt of matching funds fails to make available and budget for expenditure for animal health and disease research in any fiscal year sums at least equal to the amount for which it is certified, the difference between the Federal matching funds available and the funds made available to and budgeted for expenditure by the eligible institution shall be reapportioned by the Secretary among other eligible institutions of the same State, if there are any which qualify therefore, and, if there are none, the Secretary shall reapportion such difference among the other States.. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

AHDR funds must be fully expended in the fiscal year of appropriation; no waiver requests may be considered and approved as no carryover authority is provided in the authorizing legislation.

2 CFR Part 200, Subpart D applies to this program.

AHDR funds are expected to be fully expended in the fiscal year of appropriation; however, funds may be carried over for up to one (1) additional year. Please note that there is no limitation on the amount of federal funds that may be carried over for this one (1) additional fiscal year. No prior approval from NIFA is required. Since this limitation is legislatively mandated, NIFA is not authorized to grant any carry-over requests beyond this one (1) additional year.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/program/animal-health-and-disease-research-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Research, Extension, and Education Project Online Reporting Tool (REReport), and together satisfy all legislative and regulatory pre-award requirements.

Institutions are expected to submit an annual Program of Research, which is a listing of all approved AHDR projects for said institution. Institutions must submit a Project Initiation form through the REReport System prior to the initiation of each AHDR project. The project must undergo a review process and be approved before it is incorporated into the Program of Research. Each institution shall submit a REReport Progress Report, annually for each eligible project. These reports shall be submitted by February 1 for the preceding fiscal year.

A Final Report shall be submitted through REReport to NIFA for each completed or terminated project. Such reports shall be submitted at the same time as are Progress Reports on active projects and should include a brief summary of accomplishments for the entire life of the project.

A REReport Project Financial Report, shall be submitted to NIFA annually for all projects. Reports shall be made on a fiscal year basis and are to be submitted by February 1.

Financial reporting via the SF-425, Federal Financial Report are due annually to the Capacity Grants Branch, Awards Management Division, OGF, on December 31st, for the period of October 1st through September 30th, on an annual basis until the award is closed out.

For additional details regarding the annual, final technical and financial reporting requirements, please refer to the Agencies website titled Animal Health Research and Disease Program at:

<http://nifa.usda.gov/program/animal-health-research-and-disease-program>

Instructions for using the REEport system are included on the NIFA web site at: <http://nifa.usda.gov/reeport-resources-land-grant-partners>.

Capacity Grants Branch
Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2298
Telephone: (202) 401-6520
Fax: (202) 690-3002
E-mail: formulagrantsquestions@nifa.usda.gov. NIFA uses the SF-425, Federal Financial Report to monitor cash. (Pertinent details regarding Progress Reports are provided above.). (Pertinent details regarding Expenditure Reports are provided above.). (Pertinent details regarding Performance Monitoring Reports are provided above.).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F - Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entity's fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in § 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Formula Grants (Apportionments)) FY 16 \$3,706,320; FY 17 est \$3,696,260; and FY 18 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTE:

For FY 2018, a Budget was not requested to fund this program.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per Capacity, Competitive, and/or Non-Competitive project grant, or cooperative agreement are established, these amounts will be announced in the annual Capacity, Competitive, and/or Non-Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/program/animal-health-and-disease-research-program>.

TAFS Codes:

12-2017-2017-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Sixty-seven Capacity block grants, for a total of \$3,706,320, as determined by the legislatively mandated formula and computed by the Office of Grants and Financial Management (OGFM), were awarded to 67 eligible State entities (all Agricultural Experiment Stations and U.S. Veterinary Colleges).

These funds were used at the discretion of authorized Deans and Directors to address local, regional or national agricultural animal health and disease research priorities of their respective states. Fiscal Year 2017: Sixty-seven Capacity block grants, for a total of \$3,696,260, as determined by the legislatively mandated formula and computed by the Office of Grants and Financial Management (OGFM), will be awarded to 67 eligible State entities (all Agricultural Experiment Stations and US Veterinary Colleges).

These funds were used at the discretion of authorized Deans and Directors to address local, regional or national agricultural animal health and disease research priorities of their respective states. Participation by Lincoln Memorial University (new Veterinary School in Tennessee) commenced in Fiscal Year 2017. The Alaska State Agricultural Experiment Stations (SAES) chose not to participate. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0).

However, if funded in FY 2018:

NIFA anticipates Sixty-eight Capacity block grants, for a projected amount similar to FY 2017. The projected total, as determined by the legislatively mandated formula and computed by the Office of Grants and Financial Management (OGFM), will be awarded to 68 eligible State entities (all Agricultural Experiment Stations and US Veterinary Colleges).

These funds will be used at the discretion of authorized Deans and Directors to address local, regional or national agricultural animal health and disease research priorities of their respective states. Midwestern University (new Veterinary School in Arizona) is scheduled to participate in FY 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Animal Systems, 1400 Independence Avenue, SW., STOP 2240, Washington, District of Columbia 20250-2240 Email: formulagrantsquestions@nifa.usda.gov Phone: (202) 401-4952 Fax: (202) 401-1602

Website Address:

<http://nifa.usda.gov/program/animal-health-research-and-disease-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Forty percent (48%) of the awards were less than \$30,000 each. Because so many smaller studies are supported annually, some aspect of each of the topic areas listed below are generally represented in one (1) or more projects each program year. In accordance with the legal authority for this

program, all of the following project topic areas relate directly toward the enhancement of animal health through the support of research activities related to: (1) reducing the incidence of food-borne illness and to provide a safer food supply; and

(2) to protect animal health by minimizing disease transmission through improved basic and applied mechanisms to prevent and control disease in livestock (i.e., vaccinology, improved diagnostic testing and capabilities, and improved herd and flock management). Fiscal Year 2017: Forty-two percent (42%) of the institutional awards were less than \$30,000 each. Because so many smaller studies will be supported annually, some aspect of each of the topic areas listed below are generally represented in one (1) or more projects each program year. In accordance with the legal authority for this program, all of the following project topic areas relate directly toward the enhancement of animal health through the support of research activities related to: (1) reducing the incidence of food-borne illness and to provide a safer food supply; and Fiscal Year (FY) 2017:

Forty-two percent (42%) of the institutional awards were less than \$30,000 each. Because so many smaller studies will be supported annually, some aspect of each of the topic areas listed below are generally represented in one (1) or more projects each program year. In accordance with the legal authority for this program, all of the following project topic areas relate directly toward the enhancement of animal health through the support of research activities related to: (1) reducing the incidence of food-borne illness and to provide a safer food supply; and

(2) to protect animal health by minimizing disease transmission through improved basic and applied mechanisms to prevent and control disease in livestock (i.e., vaccinology, improved diagnostic testing and capabilities, and improved herd and flock management).

Example of funded Research in Swine

NOVEL PORCINE EPIDEMIC DIARRHEA VIRUS VACCINE PIPELINE

Recent emergence of extremely contagious Porcine Epidemic Diarrhea virus (PEDV) with 80-100% mortality in neonate pigs calls for the development of an effective prophylactic vaccine. PED has been such an important disease that it brought attention to the NIFA Animal Health and Disease priority area (A1221). In this seed grant proposal, we propose to develop an effective novel vaccine candidate against PEDV infection. We will produce a ferritin nanoparticle immunogen coated with PEDV's spike (S) glycoprotein to induce neutralizing antibodies. We will formulate this novel nanoparticle into a Newcastle virus (NDV) vector with mucosal tropism derived from an attenuated vaccine strain LaSota. The NDV vector is effective in inducing protective immunity at both the mucosal and systemic levels and it has been extensively used to express various antigens of important viral diseases including the full-length spike glycoprotein of SARS coronavirus. Resultant vector vaccines have shown safe and protective efficacies in different animal models, and NDV vector replication in eggs is amenable to large scale and rapid production. We hypothesize that the nanoparticle vaccine delivered by NDV vector mimic native viruses, which in turn elicit high titer neutralizing antibody responses and provide protection against PEDV infection in pigs. We propose to employ a pregnant sow model to test this hypothesis. Sow model is of clinical relevance because successful vaccination of sows is likely to play an important role in the control and eradication of PED epidemics in piglets.

Example of funded research in Feeder Cattle

ALTERING THE TIME OF VACCINATION AGAINST RESPIRATORY PATHOGENS TO ENHANCE VACCINE EFFICACY, HEALTH, AND PERFORMANCE OF FEEDER CATTLE

The focus of this research project is to compare the effects of anticipated (15 days prior), delayed (15 days after), or vaccination at the time of weaning and feedlot entry on vaccine efficacy, health, and performance variables of feeder cattle.

Example of funded research in Dairy Cattle

ENHANCING DISEASE RESISTANCE IN DAIRY CATTLE

The long term goal of this project is to reduce the use of antimicrobials in dairy cattle by providing new methods to optimize innate immunity through nutritional and/or targeted pharmacological approaches during time of increased susceptibility to disease. Many dairy cattle diseases during the early lactation period can result from dysfunctional inflammatory responses that are characterized by an imbalance of the robust initial response needed for pathogen clearance and the prompt return to immune homeostasis. The initiation and resolution of the inflammatory response is regulated by the production of potent lipid mediators. The potential benefits of reducing inflammatory-based diseases of early lactation dairy cattle through modification of lipid metabolism has not been explored in depth. Therefore, the immediate goals of this project are to not only identify how lipid mediator biosynthesis changes during the transition period and during disease, but also the potential impact that lipid metabolism has on macrophage inflammatory functions. Therefore, the objectives of this project are to:

- (1) describe changes in lipid mediator biosynthesis during the transition period;
- (2) describe changes in lipid mediator biosynthesis during mastitis; and
- (3) determine the underlying mechanisms of how lipid mediators can impact macrophage inflammatory functions.

Example of funded research in Poultry

SAFE AND COST-EFFECTIVE NEXT GENERATION VACCINES AGAINST INFECTIOUS VIRUSES USING ESTABLISHED IMMORTAL AVIAN CELL LINES

The goal of this proposal is to improve animal health by developing an optimal system for the production of infectious vaccine viruses of genetically engineered-, non-reversible and live attenuated vaccines using established immortal avian cell lines. The specific objectives are to:

- (1) attenuate virulent and vaccine virus strains using immortal avian cell lines;
- (2) develop a new reverse genetics system retaining the complete full length sequence of viral RNA genome of vaccine strain using a novel artificial bacterial chromosome (BAC) vector and immortal avian cell line substrates to produce vaccine candidates;
- (3) compare commercial vaccines with the newly generated vaccines in this project in terms of immunogenic activity and protective efficacy; and
- (4) produce a large quantity of optimally infectious vaccine strains for the use of vaccination in commercial setting.

Example of funded research in sheep

ENDOCRINE DISRUPTING CHEMICALS AND PREGNANCY HEALTH IN SHEEP

The overall objective of this proposal is to define the molecular mechanisms whereby bisphenolic compounds (BPA, and BPA replacement chemicals) with estrogenic potential can induce placental dysfunction during pregnancy. Studies described in this proposal will test a novel hypothesis to assess the disease risk of exposure to endocrine disrupting chemicals during pregnancy in a relevant agricultural species, such as the sheep.

Objectives:

- (1) to test if gestational exposure to BPA or BPA replacement compounds leads to a disruption in the maternal endocrine milieu and fetal growth in sheep;
- (2) to test if gestational exposure to BPA or BPA replacement compounds leads to a disruption in placental function; and
- (3) to test if exposure to BPA or BPA replacement compounds impairs placental steroidogenic potential. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that numerous smaller (less than \$30,000 each) institutes receiving less than \$30,000 will make-up about 40% of the future funded projects. Because so many smaller studies will be supported annually, some aspect of each of the

topic areas listed below will generally be represented in one (1) or more projects each program year. In accordance with the legal authority for this program, all of the following project topic areas relate directly toward the enhancement of animal health through the support of research activities related to:

- (1) reducing the incidence of food-borne illness and to provide a safer food supply; and
- (2) to protect animal health by minimizing disease transmission through improved basic and applied mechanisms to prevent and control disease in livestock (i.e., vaccinology, improved diagnostic testing and capabilities, and improved herd and flock management).

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Capacity, Competitive, and/or Non-Competitive Request for Application (RFA). The most current RFAs are available via:

<https://nifa.usda.gov/program/animal-health-and-disease-research-program>.

10.210 HIGHER EDUCATION GRADUATE FELLOWSHIPS GRANT PROGRAM

Institution Challenge, Multicultural Scholars & Graduate Fellowships Grant Program (Graduate Fellowships)

[Formerly: National Needs Fellowship (NFF)]

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 7106 of the Food, Conservation, and Energy Act (FCEA) of 2008 (Public Law 110-246) amends the legislative authority for this program contained in Section 1417 of the National Agricultural Research, Extension and Teaching Policy Act of 1977 (NARETPA) (99 Stat. 1548; 7 U.S.C. 3152) to include the University of the District of Columbia as an eligible applicant. , 7 U.S.C 3152.

OBJECTIVES:

The purpose of the NNF Grants Program is to train students for Masters and/or doctoral degrees and provide additional postdoctoral training for Fellows who have completed their doctoral degrees at colleges and universities that have demonstrable teaching and research competencies in the food and agricultural sciences. Fellowships and IRTA awards are specifically intended to support traineeship programs that encourage outstanding students to pursue and complete their degrees or obtain postdoctoral training in areas where there is a national need for the development of scientific and professional expertise in the food and agricultural sciences. Fellowships and IRTA awards invest in graduate training and relevant international experiential learning for a cadre of diverse individuals who demonstrate their potential to successfully complete graduate degree programs in disciplines relevant to the mission of the USDA.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Competitive annual grants providing for a limited number of graduate stipends are awarded to selected U.S. institutions of higher education so as to distribute support for graduate study to promising food and agricultural scientists and professionals. Individuals selected initially by the institution must enroll in a program leading to a graduate, master's or doctoral degree in one of the targeted national needs areas of the food and agricultural sciences. Such persons may not have been enrolled previously in the program at the same degree level. Current fellows are eligible to receive supplemental grants of \$3,000 to cover travel and living expenses for special international study or thesis/dissertation research experiences. Funding for the supplemental grants will be awarded on a competitive basis using no-year funds drawn from expired fellowship grants with unspent funds remaining as set forth in the annual program guidelines. No indirect cost allowed. Institutional allowance in lieu of indirect cost.

NNF stipend funds for fellowship must be used to:

(1) support the same Fellow at the Masters degree level who maintains satisfactory progress and is eligible to receive grant support for a maximum of twenty-four (24) months during a thirty-month period, and/or

(2) support the same Fellow at the doctoral degree level who maintains satisfactory progress and is eligible to receive grant support for a maximum of thirty-six (36) months within a forty-two-month period. Institutions must use a minimum stipend of \$18,500 per year for Masters Fellows and \$24,500 per year for Doctoral Fellows.

If funds remain as a result of USDA Fellows completing their studies early (less than twenty-four months for a Masters degree or less than thirty-six months for a doctoral degree) or Fellowships being terminated prematurely, such funds may be used, within the time remaining on the award, to support:

(1) pursuit of a doctoral degree in a TESA in the food and agricultural sciences by a Masters degree level Fellow at the grantee institution, or

(2) a Replacement Fellow.

The tenure of such a Replacement Fellow is limited to whatever time is remaining on the grant before it expires; however, an institution may not appoint a Replacement Fellow unless there is time for the student to complete at least one semester/quarter of study before the expiration date of the grant. Replacement Fellows must meet all of the eligibility criteria and other requirements established for Fellow selection and are subject to the same provisions as other Fellows during their tenure in the program. Replacement Fellows are entitled, during their tenure, to the same benefits that other Fellows receive from the grant award and National Needs Graduate and Postdoctoral Fellowship Grants Program. Institutions may, but are not required to, continue a Replacement Fellow's tuition and other benefits beyond the expiration date of the grant using institutional or other funds. A Fellow may not be simultaneously supported under multiple National Needs Graduate and Postdoctoral Fellowship Grants Program awards. Remaining funds cannot be used to increase annual Fellowship stipends provided to existing Fellows. If less than one semester/quarter remains on the award, the grantee must refund unexpended monies to NIFA. Such funds cannot be used to increase the annual stipend amounts for current USDA Graduate Fellows. Should a USDA Fellow require additional time beyond the grant period to complete his or her degree, it is expected that the grantee will consider alternatives to continue supporting the Fellow through other means. Tuition and fees are the responsibility of the Fellow unless an institution elects to use its cost-of-education institutional allowance for this purpose or elects to pay such costs out of non-USDA monies. No dependency allowances are provided to any USDA Graduate Fellows. Stipend payments and special international study or thesis/dissertation research travel allowances may be made to Fellows by the institution, in accordance with standard institutional procedures for graduate fellowships and assistantships. When grants are for IRTAs that will be used by To-Be-Recruited Fellows: The IRTA funds for To-Be-Recruited fellows will be withheld until the Project Director has submitted, to the NNF Program Office, all of the required documentation for eligibility of the Graduate Fellow for the IRTA as well as the required specifics outlined in the RFA for the IRTA. The information must be submitted for approval, no less than 150 days prior to the use of the IRTA funds (see PART IV, B, 3, b, Section 1). This requirement will become a condition of the award. Fully discretionary.

Applicant Eligibility:

Proposals may be submitted by all U.S. colleges and universities that confer a master's or doctoral degree in at least one area of the food and agricultural sciences targeted for national needs fellowships. As defined in Section 1404 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (7 U.S.C. 3103), the terms "college" and "university" mean "an educational institution in any State which: (a) Admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such a certificate; (b) is legally authorized within such State to provide a program of education beyond

secondary education; (c) provides an educational program for which a bachelor's degree or any other higher degree is awarded; (d) is a public or other nonprofit institution; and (e) is accredited by a nationally recognized accrediting agency or association." Eligibility also applies to research foundations maintained by eligible colleges or universities.

Beneficiary Eligibility:

Funds awarded in this program are used to support the training of graduate students to obtain either a master's or doctoral degree in one of the targeted specializations of the food and agricultural sciences.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/food-and-agricultural-sciences-national-needs-graduate-and-postgraduate>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:
http://www.grants.gov/applicants/get_registered.jsp.

An Environmental Impact statement (EIS) (actual or potential) is not required for this program. However the NIFA Application (R&R Other Project Information Part 4.4) requires a statement to that effect. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see:
<http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.htm>

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be accessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via:
<https://nifa.usda.gov/funding-opportunity/food-and-agricultural-sciences-national-needs-graduate-and-postgraduate>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of

reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via:
<https://nifa.usda.gov/funding-opportunity/food-and-agricultural-sciences-national-needs-graduate-and-postgraduate>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year.

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/food-and-agricultural-sciences-national-needs-graduate-and-postgraduate>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.
2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/food-and-agricultural-sciences-national-needs-graduate-and-postgraduate>.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants cover a five (5) year period, however, fellows are supported for three (3) years for a doctoral degree or two (2) years for a masters during that five (5) year period.

2 CFR Part 200, Subpart D applies to this program.

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-and-agricultural-sciences-national-needs-graduate-and-postgraduate>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reereport> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport)
All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the

month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).
1890 Facilities Terms and Conditions (dated May, 2017).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. b. Annual Progress Reports.

All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

(1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).
1890 Facilities Terms and Conditions (dated May, 2017).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards.

EXPENDITURE REPORTS:

See above for pertinent and specific details. **PERFORMANCE MONITORING:**
See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000

during the non-Federal entity's fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 16 \$3,103,400; FY 17 est \$3,099,600; and FY 18 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTES:

(1) In terms of availability of appropriations (time), there are no time limits as to when "no-year" funds may be obligated and expended and the funds remain available for their original purposes until expended.

(2) Effective in FY 2012, funding for three (3) Higher Education Programs: (Graduate Fellowships CFDA 10.210; Challenge CFDA 10.217; and Multicultural Scholars CFDA 10.220), were consolidated under Research & Education Activities. However, different legislative authorities are applicable and separate Requests for Applications (RFAs) were issued under the respective CFDA numbers.

(3) The President's FY 2016 Budget proposal consolidated STEM-related programs in budgets for the Department of Education or the National Science Foundation.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:
<https://nifa.usda.gov/funding-opportunity/food-and-agricultural-sciences-national-needs-graduate-and-postgraduate>.

TAFS Codes:

12-2017-X-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, 89 applications were received for consideration.

The success rate was ~15.7%.

Fourteen proposals were funded, yielding a total of 22 masters-level fellowships, and 28 doctoral-level fellowships.

Applications addressed the following Targeted Expertise Shortage Areas:

- (1) Animal Production
 - (2) Plant Production
 - (3) Forest Resources
 - (4) Agricultural Education and Communication
 - (5) Agricultural Management and Economics
 - (6) Food Science, Human Nutrition and Human Sciences
 - (7) Agricultural Biosecurity
 - (8) Integrative Biosciences for Sustainable Food and Agricultural Systems.
- Fiscal Year 2017: Approximately 50 applications, and 13 awards are anticipated.

Actual data is not yet available. Pertinent details to be provided by Program at a future date. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded.

Data is not yet available. Pertinent details to be provided by program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:
<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.
<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Email: Policy@nifa.usda.gov Phone: (202) 720-2324 Fax: (202) 720-2030

Website Address:

<http://nifa.usda.gov/program/national-needs-graduate-and-postgraduate-fellows-hip-grants-program-funding-opportunity-nnf>

RELATED PROGRAMS:

10.216 1890 Institution Capacity Building Grants; 10.217 Higher Education Challenge Grants; 10.220 Higher Education Multicultural Scholars Program; 10.310 Agriculture and Food Research Initiative (AFRI)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Supported projects contribute to the development of a strong STEM workforce, which is needed to keep this Nation's competitiveness, through the research and academic training of graduate students. In addition, the awarded projects support the training of students at the Masters and doctoral levels, in order to fill the nationally identified expertise shortage areas in the food and agricultural sciences; encourage and recruit outstanding students, including those from traditionally underrepresented groups, to enter and complete graduate training in the food and agricultural sciences; and increase the quality and diversity of students completing graduate degrees in the food and agricultural sciences.

Further information is available at

<https://nifa.usda.gov/program/national-needs-graduate-and-postgraduate-fellow-ship-grants-program-funding-opportunity-nnf>. Fiscal Year 2017: Supported projects contribute to the development of a strong STEM workforce, which is needed to keep this Nation's competitiveness, through the research and academic training of graduate students. In addition, the awarded projects support the training of students at the Masters and doctoral levels, in order to fill the nationally identified expertise shortage areas in the food and agricultural sciences; encourage and recruit outstanding students, including those from traditionally underrepresented groups, to enter and complete graduate training in the food and agricultural sciences; and increase the quality and diversity of students completing graduate degrees in the food and agricultural sciences.

Further information is available at

<https://nifa.usda.gov/program/national-needs-graduate-and-postgraduate-fellow-ship-grants-program-funding-opportunity-nnf>. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded.

Data is not yet available. Pertinent details to be provided by program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

- (1) The degree to which the proposal clearly establishes that the proposed program of graduate training will result in the development of outstanding scientific/professional expertise related to the national need area and in a reasonable period of time;
- (2) the degree to which the proposed program of study reflects special features such as a combination-disciplinary, approach (inter-disciplinary, multi-disciplinary or cross-disciplinary), a unique collateral specialization in a related discipline, experimental learning opportunities, a unique mentoring program, seminars, or a multi-university collaborative approach;
- (3) the degree to which the proposal establishes that the institution's faculty, facilities and equipment, instructional support resources, and other attributes are excellent for providing outstanding graduate study and research at the forefront of science and technology related to the chosen area of national need;
- (4) the degree to which the institution's plans and procedures for recruitment and selection of academically outstanding fellows and for monitoring and facilitating fellow's progress through a program of study reflect excellence as documented in the proposal;
- (5) the degree to which supporting summary data substantiate program quality in the targeted national need area;
- (6) the quality of the proposal as reflected by its substantive content, organization, clarity, and accuracy; and
- (7) any additional evaluation criteria as cited in the annual program announcement published in the Federal Register.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-and-agricultural-sciences-national-needs-graduate-and-postgraduate>.

10.212 SMALL BUSINESS INNOVATION RESEARCH

(SBIR Program - Phase I and II)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

This program solicitation is issued pursuant to the Small Business Innovation Development Act of 1982, Public Law 97-219, as amended (15 U.S.C. 638) and Section 630 of the Act making appropriations for Agriculture, Rural Development and Related Agencies programs for fiscal year ending September 30, 1987, and for other purposes, as made applicable by Section 101(a) of Public Law 99-591, 100 Stat. 3341., 15 U.S.C 638.

OBJECTIVES:

To stimulate technological innovation in the private sector, strengthen the role of small businesses in meeting Federal research and development needs, increase private sector commercialization of innovations derived from USDA-supported research and development efforts, and foster and encourage participation, by women-owned and socially disadvantaged small business firms in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The selected areas for research are Forests and Related Resources; Plant

Production and Protection-Biology; Animal Production and Protection; Air, Water and Soils; Food Science and Nutrition; Rural and Community Development; Aquaculture; Biofuels and Biobased Products; Small and Mid-Size Farms, Plant Production and Protection-Engineering. The Small Business Innovation Research Program is carried out in three separate phases:

Phase I projects are supported to determine the scientific or technical feasibility of ideas submitted by small business applicants on the selected research areas;

Phase II awards are made to firms with approaches that appear sufficiently promising as a result of Phase I studies. Only those small businesses previously receiving Phase I awards in either of the two preceding fiscal years are eligible to submit Phase II proposals.

Phase II projects are limited to \$450,000 for a period normally not to exceed 24 months;

Phase III is to be conducted by the small business concern (including joint ventures and limited partnerships), and will be non-SBIR funded through the exercising of a follow-on funding commitment. The purpose of Phase III is to stimulate technological innovation and the national return on investment from research through the pursuit of commercialization objectives resulting from the USDA-supported work carried out in Phases I and II. Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences.

Fee:

A reasonable fee, not to exceed seven percent of total Federal funds awarded is permitted under this program, but applicants are encouraged to minimize fee requests due to the small amount of funds available. All fees are subject to negotiation with USDA.

Indirect Costs:

If available, the current rate negotiated with the cognizant Federal negotiating agency should be used. Indirect costs may not exceed the negotiated rate. If no rate has been negotiated, a reasonable dollar amount in lieu of indirect costs may be requested, which will be subject to approval by USDA. In the latter case, if an application is recommended for funding, an indirect cost rate application must be submitted to support the amount of indirect costs requested. NIFA will request an indirect cost rate application and provide instructions, as necessary.

An applicant may elect not to charge indirect costs and, instead, use all grant funds for direct costs.

Further detailed instructions are provided in the SBIR RFA (solicitation). Fully discretionary.

Applicant Eligibility:

Applicant Eligibility

(1) is organized for profit, with a place of business located in the United States, which operates primarily within the United States, or which makes a significant contribution to the United States economy through the payment of taxes or use of American products, materials or labor;

(2) is in the legal form of an individual proprietorship, partnership, limited liability company, corporation, joint venture, association, trust or cooperative, except that where the form is a joint venture, there can be no more than 49 percent participation by foreign business entities in the joint venture;

(3) is at least 51 percent owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in, the United States, except in the case of a joint venture, where each entity in the venture must be 51 percent owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in the United States; and

(4) has, including its affiliates, not more than 500 employees.

The term "affiliates" is defined in greater detail in 13 CFR 121.103. The term "number of employees" is defined in 13 CFR 121.106.

Beneficiary Eligibility:

Small businesses.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

SBIR Phase I

<https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-i>

SBIR Phase II

<https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-ii>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: SBIR Phase I <https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-i>

SBIR Phase II

<https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-ii>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with Section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the RFA.

2 CFR Part 200 Subpart C and Appendix I apply to this program.

2 CFR Part 400 applies to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: SBIR Phase I <https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-i>

SBIR Phase II

<https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-ii>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website.

Respective links are provided below: <http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: SBIR Phase I <https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-i>

SBIR Phase II:

<https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-ii>.

Appeals:

Phase I applications may be revised and resubmitted during a later funding cycle, provided the subject matter of the proposal is not changed, and the topic area under which the proposal was originally submitted is still listed in the solicitation. Phase I grantees may apply for a Phase II grant only once for each Phase I project funded.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

SBIR Phase I

<https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-i>

SBIR Phase II

<https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-ii>

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Funds are awarded competitively.

No formula grants are awarded under this authority. NIFA does not require matching or cost sharing support for this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Phase I grants are normally limited to 8 months. Phase II grants are normally limited to 2 years

2 CFR Part 200, Subpart D applies to this program..

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years for SBIR Phase II projects.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

SBIR Phase I

<https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-i>

SBIR Phase II

<https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-ii>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/rereport> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport) All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport

National Institute of Food and Agriculture

U.S. Department of Agriculture

STOP 2213

1400 Independence Avenue, S.W.

Washington, D.C. 20250-2213

Telephone: (202) 690-0009

E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).
1890 Facilities Terms and Conditions (dated May, 2017).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. **PROGRESS REPORTS:** See above for pertinent and specific details. **EXPENDITURE REPORTS:** See above for pertinent and specific details. **PERFORMANCE MONITORING:** See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entity fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entity fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1502-0-1-352; 12-1500-0-1-352; 12-1003-0-1-271.

Obligations:

(Project Grants) FY 16 \$21,813,069; FY 17 est \$22,206,532; and FY 18 est \$20,434,492 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTES:

(1) Some of these funds are "No Year."

(2) In terms of availability of appropriations (time), there are no time limits as to when "no-year" funds may be obligated and expended and the funds remain available for their original purposes until expended.

(3) SBIR is deducted from five (5) treasury symbols.

(4) The FY 2017 Budget proposes increased funds for research. Because SBIR is a required percentage of these funds, the funding available for this program must increase to commensurate with the appropriation request. Changes in funding represent changes per the President's Budget.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

SBIR Phase I
<https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-i>

SBIR Phase II

<https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-ii>

TAFS Codes:

12-2017-X-1003; 12-2017-2017-1500; 12-2017-X-1502; 12-2017-2017-1502; 12-2017-X-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: (A) Small Business Innovation Research (SBIR) - Phase I:

SBIR Phase I proposals were solicited in ten (10) different topic areas:

- (1) Forests and Related Resources;
- (2) Plant Production and Protection Biology;
- (3) Plant Production and Protection Engineering;
- (4) Animal Production and Protection;
- (5) Air, Water and Soils;
- (6) Food Science and Nutrition;
- (7) Rural and Community Development;
- (8) Aquaculture,
- (9) Biofuels and Biobased Products; and
- (10) Small and Mid-Size Farms.

A total of 483 Phase I applications were reviewed and 78 projects were funded for a success rate of 15%. A total of \$21,813,069 was awarded for this program.

A total of \$ 7,680,168 will be obligated on the Phase I projects.

(B) Small Business Innovation Research (SBIR) - Phase II:

SBIR Phase II proposals were solicited in ten (10) different topic areas:

- (1) Forests and Related Resources;
- (2) Plant Production and Protection Biology;

- (3) Plant Production and Protection Engineering;
- (4) Animal Production and Protection;
- (5) Air, Water and Soils;
- (6) Food Science and Nutrition;
- (7) Rural and Community Development;
- (8) Aquaculture,
- (9) Biofuels and Biobased Products; and
- (10) Small and Mid-Size Farms.

Phase II projects are funded based if a Phase I company successfully demonstrated feasibility of the SBIR funded innovation. Phase I companies are only allowed to apply for Phase II funding one (1) time.

A total of 65 Phase II applications were reviewed and 34 projects were funded for a success rate of 53%.

A total of \$19,679,276 was awarded for the Phase II projects. Fiscal Year 2017:
(A) Small Business Innovation Research (SBIR) - Phase I:

SBIR Phase I proposals were solicited in ten (10) different topic areas:

- (1) Forests and Related Resources;
- (2) Plant Production and Protection Biology;
- (3) Plant Production and Protection Engineering;
- (4) Animal Production and Protection;
- (5) Air, Water and Soils;
- (6) Food Science and Nutrition;
- (7) Rural and Community Development;
- (8) Aquaculture,
- (9) Biofuels and Biobased Products; and
- (10) Small and Mid-Size Farms.

A total of 526 Phase I applications were reviewed and 88 projects were funded for a success rate of 17%. A total of \$22,206,532 was awarded for this program.

A total of \$ 8,703,225 will be obligated on the Phase I projects.

(B) Small Business Innovation Research (SBIR) - Phase II:

SBIR Phase II proposals were solicited in ten (10) different topic areas:

- (1) Forests and Related Resources;
- (2) Plant Production and Protection Biology;
- (3) Plant Production and Protection Engineering;
- (4) Animal Production and Protection;
- (5) Air, Water and Soils;
- (6) Food Science and Nutrition;
- (7) Rural and Community Development;
- (8) Aquaculture,
- (9) Biofuels and Biobased Products; and
- (10) Small and Mid-Size Farms.

Phase II projects are funded based if a Phase I company successfully demonstrated feasibility of the SBIR funded innovation. Phase I companies are only allowed to apply for Phase II funding one (1) time.

A total of 55 Phase II applications were reviewed and 26 projects were funded for a success rate of 47%.

A total of \$15,482,865 was awarded for the Phase II projects. Fiscal Year 2018:
(A) Small Business Innovation Research (SBIR) - Phase I:

SBIR Phase I projections are to solicit proposals in ten (10) different topic areas:

- (1) Forests and Related Resources;
- (2) Plant Production and Protection Biology;
- (3) Plant Production and Protection Engineering;
- (4) Animal Production and Protection;
- (5) Air, Water and Soils;

- (6) Food Science and Nutrition;
- (7) Rural and Community Development;
- (8) Aquaculture,
- (9) Biofuels and Biobased Products; and
- (10) Small and Mid-Size Farms.

A total of \$20,434,492 was awarded for this program.

It is projected that approximately \$7,000,000 to \$8,000,000 will be available to be fund FY 2018 Phase I projects.

(B) Small Business Innovation Research (SBIR) - Phase II:

SBIR Phase II projections are to solicit proposals in ten (10) different topic areas:

- (1) Forests and Related Resources;
- (2) Plant Production and Protection Biology;
- (3) Plant Production and Protection Engineering;
- (4) Animal Production and Protection;
- (5) Air, Water and Soils;
- (6) Food Science and Nutrition;
- (7) Rural and Community Development;
- (8) Aquaculture,
- (9) Biofuels and Biobased Products; and
- (10) Small and Mid-Size Farms.

It is projected that approximately \$13,000,000 to \$15,000,000 will be available to be fund FY 2018 Phase II projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None. Section # 153 - Additional Websites:

<http://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-i>

<http://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-ii>.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate and Environment Division of Environmental Systems, 1400 Independence Avenue, SW., STOP 2210, Washington, District of Columbia, 20250-2210, Telephone: (202) 720-5229, Fax: (202) 720-3945.

ADDITIONAL CONTACT:

USDA, NIFA, National Program Leader, Institute of Food Safety and Nutrition, Division of Food Safety, 1400 Independence Avenue, SW., STOP 2225, Washington, District of Columbia 20250-2225 Phone: (202) 401-1954, Fax (202) 401-4888, Washington, District of Columbia 20250-2210 Email: Policy@nifa.usda.gov Phone: (202) 720-5229

Website Address:

<http://nifa.usda.gov/grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: (A) Small Business Innovation Research (SBIR) - Phase I:

- (1) Developing the Use of the Dietary Inflammatory Index among Children (C-DII)
- (2) Sweet Baits to Manage Mosquitoes in Rural Environments
- (3) Cracking Kyphosids: Developing Culture of High-Value Herbivores for Sustainable Seafood
- (4) Autonomous, low-energy separation of n-butanol produced from lignocellulosic resources

(5) New Technology with Miniaturized Handheld Grain Combine for Eliminating Increasing Costs of Outdated Practices for Pre-Harvest Sampling

(B) Small Business Innovation Research (SBIR) - Phase II:

- (1) New Ammonium-free Water Formulation for Fire Retardants for the Management of Wildland Fires
- (2) Advanced DPP technology for rapid animal-side detection of bovine tuberculosis
- (3) Advanced, High Efficiency Ventilation Fans For Animal Housing
- (4) ALARM: Next-generation food-borne pathogen detection
Aztech Phase II: Educational software for rural schools serving English learners. Fiscal Year 2017: (A) Small Business Innovation Research (SBIR) - Phase I:

- (1) Transparent Wood Composites for Energy Efficiency Applications
- (2) Development of rapid, point-of-use dry chemistry dip-stick assays for food quality.
- (3) BYOP - Brew your own pheromone: A bio-based production method for insect pheromones using yeast cell factories
- (4) Low Cost, Dust Resistant Poultry House Heat Exchanger
- (5) Broadcast Seeder Implement for Seeding Cover Crops in Standing Cash Crops

(B) Small Business Innovation Research (SBIR) - Phase II:

- (1) Upgrading Animal Manure Biogas to High-Value Chemicals Using Biological Fermentation
- (2) Deriving a new biobased product from wastewater: Production of a slow release algal-based fertilizer.
- (3) Dynamic Metabolic Control for the Production of Limonene
- (4) Development of statistical models required to implement a longleaf pine decision support system. Fiscal Year 2018: :

(A) Small Business Innovation Research (SBIR) - Phase I:

SBIR Phase I projections are to solicit proposals in ten (10) different topic areas:

- (1) Forests and Related Resources;
- (2) Plant Production and Protection Biology;
- (3) Plant Production and Protection Engineering;
- (4) Animal Production and Protection;
- (5) Air, Water and Soils;
- (6) Food Science and Nutrition;
- (7) Rural and Community Development;
- (8) Aquaculture,
- (9) Biofuels and Biobased Products; and
- (10) Small and Mid-Size Farms.

SBIR anticipates funding projects in these areas, however cannot project what the titles or projects will specifically focus on at this time.

Pertinent details to be provided by Program at a future date.

(B) Small Business Innovation Research (SBIR) - Phase II:

SBIR Phase II projections are to solicit proposals in ten (10) different topic areas:

- (1) Forests and Related Resources;
- (2) Plant Production and Protection Biology;
- (3) Plant Production and Protection Engineering;
- (4) Animal Production and Protection;
- (5) Air, Water and Soils;
- (6) Food Science and Nutrition;
- (7) Rural and Community Development;
- (8) Aquaculture,
- (9) Biofuels and Biobased Products; and
- (10) Small and Mid-Size Farms.

SBIR anticipates funding projects in these areas, however cannot project what the titles or projects will specifically focus on at this time.

Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

(a) The proposing firm must qualify as a small business concern as specified in the SBIR solicitation;

(b) The proposal must meet the Proposal Content and Format requirements as described in subsection 3.3 of the SBIR solicitation;

(c) Proposals must be limited to one research problem (see subsection 3.1 of the SBIR solicitation);

(d) The proposed budget must be within the dollar limit identified in subsection 1.2 of the SBIR solicitation;

(e) The proposed duration of Phase I projects should normally not exceed 8 months, except in special, justified circumstances, and the duration of Phase II projects should normally not exceed 24 months.

Where a proposed research project requires more than 8 months to complete in Phase I, a longer grant period, not to exceed 20 months, may be considered. An applicant of a Phase I project with an anticipated duration beyond 8 months should specify and justify the length of duration in the proposal at the time of its submission to USDA in order for it to be considered;

(f) Proposals must cover scientific research activities only (see subsection 3.1 of the SBIR solicitation);

(g) The proposed Phase I research must fall within a solicited topic area;

(h) A proposal must contain adequate scientific/technical information to state clearly the research plan and objectives. USDA reserves the right not to submit for review any proposal which it finds to have insufficient scientific/technical information;

(i) A resubmitted proposal must address concerns of the previous review panel. USDA reserves the right not to submit for review any proposal found not to be responsive to the previous review; and

(j) Is it clear that the project director will work a minimum of 51 percent of his/her time for the small business firm during the period of the grant and that the small business firm will conduct a minimum of two-thirds of the research effort?

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: SBIR Phase I
<https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-i>

SBIR Phase II
<https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-ii>

10.215 SUSTAINABLE AGRICULTURE RESEARCH AND EDUCATION

(SARE)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 1619-1624 of the Food, Agriculture, Conservation and Trade Act of 1990 (FACTA), Public Law 101-624 (7 U.S.C. 5801)., 7 U.S.C 5801; Section 1622 of the Food, Agriculture, Conservation, and Trade Act of 1990 (FACTA Act), and for selected national projects, Public Law 101-624, 7 U.S.C 5812; Section 1628 of the Food, Agriculture, Conservation, and Trade Act of 1990 (FACTA Act), Public Law 101-624, 7 U.S.C 5831; Section 1629 of the Food, Agriculture, Conservation, and Trade Act of 1990 (FACTA Act), Smith-Lever 3(d), Public Law 101-624, 7 U.S.C 5832; Section 1621 of the Food, Agriculture, Conservation, and Trade Act of 1990 (FACTA Act), Public Law 101-624, 7 U.S.C 5811.

OBJECTIVES:

The purpose of the Sustainable Agriculture Research and Education Program (SARE) program is to encourage research designed to increase our knowledge concerning agricultural production systems that: (1) maintain and enhance the quality and productivity of the soil; (2) conserve soil, water, energy, natural resources, and fish and wildlife habitat; (3) maintain and enhance the quality of surface and ground water; (4) protect the health and safety of persons involved in the food and farm system; (5) promote the well being of animals; and (6) increase employment opportunities in agriculture (section 1619-1624 of the Food, Agriculture, Conservation and Trade Act of 1990 (FACTA), Public Law 101-624 (7 U.S.C. 5801). The request for applications (RFA) is for the regional SARE host institutions to apply for funds to carry out the regional SARE programs under the direction of the SARE Regional Administrative Councils (section 1622 of FACTA (7 U.S.C. 5812)), and for selected national projects. Most SARE competitive grants are made by the SARE regions as subawards. Typically, approximately 85% of the award to a SARE regional host institution is used for subawards. Individuals and organizations interested in applying for SARE competitive grants should NOT respond to this funding opportunity; rather they should apply to the appropriate SARE regional program. (See <http://www.nifa.usda.gov/fo/sustainableagricultureresearchandeducation.cfm>).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences. Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees). Funds may not be used for any purposes other than those approved in the grant award documents. Funds shall not be used for tuition remission. Funds shall not be used for fixed equipment. Section 704 of the Consolidated and Further Continuing Appropriations Act, 2012 (P.L. 112-55) limits indirect costs to 10 percent of the total Federal funds provided under each cooperative agreements or similar arrangements. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 10 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

Land-grant colleges or universities, other universities, State agricultural experiment stations, State cooperative extension services, nonprofit organizations, and individuals with demonstrable expertise, or Federal or State governmental entities.

Beneficiary Eligibility:

Land-grant colleges or universities, other universities, State agricultural experiment stations, State cooperative extension services, nonprofit organizations, and individuals with demonstrable expertise, or Federal or State governmental entities.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR

25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/sare-regional-host-institution>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.htm> 1.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/sare-regional-host-institution>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

2 CFR Part 200 - Subpart C and Appendix I apply to this program.

Regional technical committees will review, rank and prioritize proposals received from public and private organizations and make recommendations for funding to the regional administrative councils. Regional administrative councils will approve proposals for funding and associated plans of work and submit them to USDA. Research and education subcommittee on sustainable agriculture will review plans of work and the Department will give final approval. Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/sare-regional-host-institution>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:
<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/sare-regional-host-institution>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

2 CFR Part 200 - Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:
<https://nifa.usda.gov/funding-opportunity/sare-regional-host-institution>.

Formula and Matching Requirements:

This program has no statutory formula.
 This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e]. NIFA does not require matching or cost sharing support for this program. Funds are awarded competitively. No formula grants are awarded under this authority. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

2 CFR Part 200, Subpart D applies to this program.

The term of a competitive grant under this authority may not exceed five (5) years.

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/sare-regional-host-institution>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REEReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reerport> and the REEReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEReport Database--

Research, Education, and Extension project online reporting tool (REEReport)
 All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is:

REEReport
 National Institute of Food and Agriculture
 U.S. Department of Agriculture
 STOP 2213
 1400 Independence Avenue, S.W.
 Washington, D.C. 20250-2213
 Telephone: (202) 690-0009
 E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

(1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions

in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. **PROGRESS REPORTS:** See above for pertinent and specific details. **EXPENDITURE REPORTS:** See above for pertinent and specific details. **PERFORMANCE MONITORING:** See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 16 \$23,147,513; FY 17 est \$25,297,920; and FY 18 est \$17,810,673 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTE: Effective Fiscal Year 2014, Programs under Sustainable Agriculture (research, education and extension) were merged into a single program under the research account.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/sare-regional-host-institution>.

TAFS Codes:

12-2017-2017-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: For Fiscal Year 2016, the SARE Research and Education funding line (CFDA 10.215) was consolidated with the SARE Professional Development Program funding line (CFDA 10.500) to be administered as a single program which would maintain the functions of both programs, (Chapters 1 and 3).

For the FY 2016 award cycle, \$ 23,147,513 was available after legislatively authorized set-asides were deducted.

Of this amount \$1,143, 813 was used for communications, outreach and coordination activities at the national level and \$22,003,700 was distributed equally among the four (4) SARE Regions.

Each region uses these funds to support several different types of competitive sub-awards. These include Research and Education grants, Farmer-Rancher grants, Producer plus Professional grants, Graduate Student grants and Youth Educator grants (North Central region only). The inclusion of the CDFA 10.500 has added Professional Development Program competitive grants and state grants for each of the fifty states, Washington DC and the island protectorates.

For FY 2016, all of the sub-awards have not been finalized. Hence, a comprehensive tabulation of the sub-awards by grant type is not yet available. Fiscal Year 2017: In FY 2017, the SARE Research and Education funding line (CFDA 10.215) was consolidated with the SARE Professional Development Program funding line (CDFA 10.500) to be administered as a single program which would maintain the functions of both program, (Chapters 1 and 3).

For the FY 2017 award cycle, \$25,297,920 will be available after legislatively authorized set-asides are deducted.

Of this amount \$1,662,989 will be used for communications, outreach and coordination activities at the national level and \$23,634,931 will be distributed equally among the four (4) SARE Regions. Fiscal Year 2018: The FY 2018 Funding Levels have not yet been established by Congressional Appropriations. However, the projected level of funding for the Program is \$17,810,673. Pertinent details to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:
<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE
NIFAs Federal Assistance Policy Guide describes agency policies and procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS
Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA
When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS
The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.
<https://nifa.usda.gov/federal-regulations>

FOIA
The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Agricultural Systems, 1400 Independence Avenue, SW., STOP 2240, Washington, District of Columbia 20250-2240 Email: Policy@nifa.usda.gov Phone: (202) 401-0151 Fax: (202) 401-5179

Website Address:

<http://nifa.usda.gov/program/sustainable-agriculture-program>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: An example of a Research and Education project from the Western region is SW16-031 - Optimizing Water and Nitrogen Use for Sustainable Wheat Production

An example of a farmer/rancher grant from the Northeastern region is FNE16-838 - Effect of perennial living mulch on mycorrhizal infection, disease severity, and marketable yield of organic tomatoes

An example of a farmer/rancher grant from the Northcentral region is FNC16-1029 - Exploring the Economic and Environmental Sustainability of No-Till Organic Sweet Potato Production

An example of a graduate student grant from the Western region is GW16-038 - Increasing sustainable agriculture through enhanced diagnostics with Brucella infection

An example of a Producer/Professional grant

from the Southern region is OS16-096 - Cover Crop Influence on Stored Soil Water Availability to Subsequent Crops

An example of a Professional Development Program Grant funded in the Northeastern region ENE16-143 - Whole farm nutrient mass balances for outcome-based adaptive management of nutrients on dairy farms. Fiscal Year 2017: FY 2017 Projects have not yet been funded. For the FY 2017 award cycle, it is anticipated that \$1,662,989 will be used for communications, outreach and coordination activities at the national level and \$23,634,931 will be distributed equally among the four (4) SARE Regions.

Pertinent details to be provided by Program at a future date. Fiscal Year 2018: The FY 2018 Funding Levels have not yet been established by Congressional Appropriations. Information is not yet available. Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/sare-regional-host-institution>.

10.216 1890 INSTITUTION CAPACITY BUILDING GRANTS

1890 Capacity (CBG)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Authority for this program is contained in section 1417 (b)(4) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (NARETPA) [7 U.S.C. 3152(b)(4)] (b)(4)), 7 U.S.C 3152(b)(4).

OBJECTIVES:

To build the research and teaching capacities of the 1890 land-grant institutions and Tuskegee University through cooperative programs with Federal and nonfederal entities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

For teaching grants, funds may be used in the following targeted areas:

- (1) Curricula design and materials development;
- (2) faculty preparation and enhancement for teaching;
- (3) instruction delivery systems;
- (4) scientific instrumentation for teaching;
- (5) student experiential learning; and
- (6) student recruitment and retention.

For research grants, funds may be used to address high- priority research initiatives in areas where there is a present or anticipated need for increased capabilities and in which it is feasible for applicants to develop programs recognized for their excellence.

Research areas include, but are not limited to:

- (1) Studies and experimentation in food and agricultural sciences;
- (2) centralized research support systems; and
- (3) technology delivery systems. With prior approval, in accordance with the cost principles set forth in OMB Circular No. A-21, some grant funds may be used for minor alterations, or repairs deemed necessary to retrofit existing teaching or research spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the alterations, or repairs are incidental to the major purpose for which a grant is issued.

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award.

Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

The 1890 land-grant institutions and Tuskegee University. The 1890 land-Grant Institutions are: Alabama A&M University; Alcorn State University; University of Arkansas-Pine Bluff; Delaware State University; Florida A&M University; Fort Valley State University; Kentucky State University; Lincoln University (MO); Langston University; University of Maryland-Eastern Shore; North Carolina A&T State University; Prairie View A&M University; South Carolina State University; Southern University and A&M College; Tennessee State University; Virginia State University; and West Virginia State College.

Beneficiary Eligibility:

The seventeen 1890 land-grant institutions and Tuskegee University, non-1890 academic institutions, private industry, and the Department of Agriculture.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/1890-institution-teaching-research-and-extension-capacity-building-grants-cbg>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USFAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/1890-institution-teaching-research-and-extension-capacity-building-grants-cbg>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with Section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to

include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the RFA.

2 CFR Part 200 - Subpart C and Appendix I apply to this program.

2 CFR Part 400 applies to this program.

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

(a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;

(b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;

(c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;

(d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/1890-institution-teaching-research-and-extension-capacity-building-grants-cbg>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section #

152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:
<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:
<https://nifa.usda.gov/funding-opportunity/1890-institution-teaching-research-and-extension-capacity-building-grants-cbg>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

2 CFR Part 400 applies to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:
<https://nifa.usda.gov/funding-opportunity/1890-institution-teaching-research-and-extension-capacity-building-grants-cbg>.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a one (1) to three (3) year period and may receive no-cost extensions of time as appropriate up to maximum of five (5) years.

2 CFR Part 200, Subpart D applies to this program.

2 CFR Part 400 applies to this program.

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:
<https://nifa.usda.gov/funding-opportunity/1890-institution-teaching-research-and-extension-capacity-building-grants-cbg>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA

database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/rereport> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport) All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The

following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

1890 Facilities Terms and Conditions (dated May, 2017).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. Cash reports are not applicable. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333

Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 16 \$18,293,606; FY 17 est \$18,260,989; and FY 18 est \$18,225,848 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

This program represents no-year funds.

SPECIAL NOTE:

FY 2017 includes a \$10 million increase to fund the 1890 Capacity Coordination initiative at three (3) Centers.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/1890-institution-teaching-research-and-extension-capacity-building-grants-cbg>.

TAFS Codes:

12-2017-X-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year (FY) 2016, \$18,293,606 million was available to support awards after administrative deductions.

A total of 193 applications were received in response to the FY 2017 RFA. Two (2) peer review panels were convened to review all of the applications. A 16-member peer review panel evaluated the Teaching and Extension applications, and a 17-member peer review panel evaluated the Research applications. The peer panel included faculty and administrators from land grant and non-land grant colleges and universities, industry reps, and practitioners from the food and agricultural sciences community.

Funds were available to support 46 awards, including 42 new awards and four (4) renewals. In terms of new projects, NIFA funded six (6) Extension projects, 13 Teaching projects, and 23 Research projects. Through this program, awards are only made to 1890 Land-Grant Universities.

The funding ratio for this program in FY 2017 was 24%.

Funded projects will:

- (1) build and strengthen institutional capacity through stimulating the development of high quality Teaching, Research and Extension programs at the 1890 Land-Grant Universities;
- (2) produce Baccalaureate and higher level degree graduates capable of strengthening the Nations food, agricultural, natural resources, and human sciences professionals and scientists;
- (3) develop strong research that addresses current challenges in todays agriculture; and
- (4) deliver needed help to communities, small farms and other clients. Fiscal Year 2017: In FY 2017 it is anticipated that \$18,260,989 will be available to support awards after administrative deductions.

A total of 169 applications were accepted for review in response to the FY 2017 Request for Applications (RFA). It is anticipated that approximately 50 awards will be granted in FY 2017. As of August 2017, the FY 2017 peer panels have

not been convened to evaluate the merit of the submitted applications. Therefore, further information is not available. Pertinent details will be provided by Program at a future date. Fiscal Year 2018: It is projected that \$ 18,225,848 will be available for similar projects in FY 2018. Pertinent details to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:
<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.
<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009

Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Email: Policy@nifa.usda.gov Phone: (202) 720-2324 Fax: (202) 720-2030.

Website Address:

<http://nifa.usda.gov/program/1890-land-grant-institutions-programs>

RELATED PROGRAMS:

10.200 Grants for Agricultural Research, Special Research Grants; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.217 Higher Education Challenge Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: A university is leading a project to bridge the gaps between production, value-added processing, packaging and marketing of vegetable crops by small farm operators for enhanced profitability and sustainability. Through this work, the university will develop a state-wide consortium that leverages the strengths of partners to build capacity and work together in the marketplace.

A university is leading a project to accomplish the following:

- (1) attract, retain and sustain freshmen and community college transfers in Ag-biotechnology and molecular biology;
- (2) train graduate students in molecular biology techniques and principles to equip them for either research or industry careers, and;
- (3) promote faculty development for young faculty members active in biotechnology.

A university is leading a project to strengthen and build animal and pre-veterinary science teaching capacity of the institution through recruitment outreach, curriculum enhancement and experiential learning. The immediate outcome of the project will be increased enrollment within the animal science program, and highly qualified minority students who can succeed in veterinary programs, graduate schools, and become leaders in the livestock industry.

A university is leading a project to accomplish the following:

- (1) conduct variety trials on three (3) high value fruits, five (5) vegetables, one (1) root crop, and one (1) herb to determine suitable varieties for the state and develop best cultivation practices including pest and disease management strategies;
- (2) collaborate with extension and USDA agencies to develop suitable hands-on training programs for limited resource farmers, and;
- (3) promote production of suitable crops in counties near the big urban areas across the state using the research information generated.

A university is leading a project to accomplish the following:

- (1) determine appropriate manure application placement and rates for organic farms growing selected leafy and fruiting crops,
- (2) compare temporal changes in pathogen levels and associated bacterial communities in different manure sources used as soil amendments during organic fresh produce production,
- (3) provide a summer camp on soil health and healthy food for high school scholars, and
- (4) provide outreach workshops and demonstrations to stakeholders on practices to manage soils and reduce the risk of foodborne pathogen contamination. It will be accomplished through field research and analyses for

microbial, and chemical components. Fiscal Year 2017: Project data is not yet available. The peer review panels have not met to evaluate proposals that were submitted in response to the FY 2017 RFA.

It is anticipated that funded projects will address one (1) or more of the following areas:

- (1) build and strengthen institutional capacity through stimulating the development of high quality Teaching, Research and Extension programs at the 1890 Land-Grant Universities;
- (2) produce Baccalaureate and higher level degree graduates capable of strengthening the Nations food, agricultural, natural resources, and human sciences professionals and scientists;
- (3) develop strong research that addresses current challenges in todays agriculture; and
- (4) deliver needed help to communities, small farms and other clients. Fiscal Year 2018: Data is not yet available. Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/1890-institution-teaching-research-and-extension-capacity-building-grants-cbg>.

10.217 HIGHER EDUCATION - INSTITUTION CHALLENGE GRANTS PROGRAM

Institution Challenge, Multicultural Scholars & Graduate Fellowships Grant Program (Institution Higher Education Challenge)

[Formerly: Challenge or HEC Grants]

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, 7 U.S.C 3152(b)(1); National Agricultural Research, Extension, and Teaching Policy Act of 1977, Section 1405, 7 U.S.C 3121.1417(b)(1).

OBJECTIVES:

To increase institutional capacities to respond to State, regional, national, or international educational needs by strengthening college and university teaching programs in the food and agricultural sciences.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used only in targeted areas, e.g., curricula design and materials development, faculty preparation and enhancement for teaching, instruction delivery systems, scientific instrumentation for teaching, student experiential learning, and student recruitment and retention, as cited in the annual program announcement in the Federal Register. Student tuition remission, room and board, fees or other financial assistance (scholarships, fellowships, etc.). Also, funds may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or to plan, repair, rehabilitate, acquire, or construct buildings or facilities (i.e., greenhouses, laboratories, or other structures). Promotional items (e.g., T-shirts and other give-a-ways) and food functions (e.g., cookouts or other social meal gatherings) are considered entertainment expenses, and are also not allowed under this grants program. Federal Cost Principles governing this grant program state that items not eligible for Federal funding may also not be used as an applicants contribution toward meeting matching requirements.

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser

of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

****Special Note on Indirect Costs as in-kind matching contributions:**

Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardees' indirect costs are capped at 30 percent pursuant to FY 2012 appropriated funds, Section 720 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012 (Division A of Pub. L. 112-55), the awardee may request 15 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 30 percent. Fully discretionary.

Applicant Eligibility:

All U.S. public and private nonprofit colleges and universities offering a baccalaureate or first professional degree in at least one discipline or area of the food and agricultural sciences.

Beneficiary Eligibility:

All U.S. colleges and universities having a demonstrable capacity to teach the food and agricultural sciences.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the

preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

<https://nifa.usda.gov/funding-opportunity/higher-education-challenge-hec-grant-s-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:
http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Formal proposals should be submitted to the Proposal Services Unit, NIFA, as outlined in the solicitation of applications. Application procedures are contained in the program regulations and required forms are contained in the Grant Application Kit. This program is subject to the regulatory provisions of USDA, 7 CFR Part 3015, et seq., and all successor regulations.

The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be accessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/higher-education-challenge-hec-grant-s-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

2 CFR Part 200 Subpart C and Appendix I apply to this program.

2 CFR Part 400 applies to this program.

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via:

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Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:

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Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/higher-education-challenge-hec-grants-program>.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25%. A grant recipient is required to match 25% of the USDA funds awarded. Matching funds must come from non-Federal sources, and are not required to come in any particular amount from any one source.

However, all matching funds pledged in the application must be accompanied by documentation.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a one (1) to three (3) year period and may receive no-cost extensions as appropriate up to a maximum of five (5) years.

2 CFR Part 200, Subpart D applies to this program.

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

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Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

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All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reepport> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport) All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
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1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
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E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

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1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).
1890 Facilities Terms and Conditions (dated May, 2017).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. Cash reports are not applicable. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entities fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entities fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and

the USDA Office of Inspector General.

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 16 \$4,570,425; FY 17 est \$4,566,840; and FY 18 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTES:

(1) In terms of availability of appropriations (time), there are no time limits as to when "no-year" funds may be obligated and expended and the funds remain available for their original purposes until expended.

(2) Effective in FY 2012, funding for three (3) Higher Education Programs: (Graduate Fellowships CFDA 10.210; Challenge CFDA 10.217; and Multicultural Scholars CFDA 10.220), were consolidated under a single funding line within the Research & Education Activities Account. However, different legislative authorities are applicable and separate Requests for Applications (RFAs) were issued under the respective CFDA numbers.

(3) The President's FY 2016 Budget proposal consolidates STEM-related programs in budgets for the Department of Education or the National Science Foundation.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/higher-education-challenge-hec-grants-program>.

TAFS Codes:

12-2017-X-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year (FY) 2016, \$4,570,425 million was available to support awards after legislatively authorized set-asides were deducted.

A total of 61 applications were reviewed in response to the FY 2016 RFA. A 13-member peer review panel evaluated the applications. The peer panel included faculty and administrators from land grant and non-land grant colleges and universities, and practitioners from the food and agricultural sciences community.

Funds were available to support 20 awards in the following Grant Types:

Conference/Planning Projects [Three (3) awards]
Regular Projects (13 awards)

Joint-Institution Projects [One (1) award]
Large-Scale Comprehensive Initiatives [Three (3) awards]

The funding ratio for this program in FY 2016 was 26%.

Funded projects will address one (1) or more of the following educational strategies:

- (1) Curriculum Development, Instructional Delivery Systems and Expanding Student Career Opportunities;
- (2) Faculty Preparation and Enhancement for Teaching; and/or
- (3) Facilitating Interaction with Other Academic Institutions.

The Higher Education Challenge (HEC) program seeks to improve formal, baccalaureate or masters degree level food, agricultural, natural resources, and human sciences (FANH) education and first professional degree-level education in veterinary medicine (DVM). HEC projects may improve the economic health and viability of communities through the development of degree programs that emphasize new and emerging employment opportunities. Projects may also address the national challenge to increase the number and diversity of students entering the FANH sciences. Fiscal Year 2017: In Fiscal Year (FY) 2017, it is anticipated that \$4,566,840 will be available to support awards after legislatively authorized set-asides are deducted.

A total of 105 applications were received in response to the FY 2017 RFA. It is anticipated that approximately 20 awards will be granted in FY 2017.

As of August 2017, the FY 2017 peer panel has not been convened to evaluate the merit of the submitted applications. Therefore, further information is not yet available. Pertinent data to be provided by Program at a future date. Fiscal Year 2018: The projected amount to fund proposals for FY 2018 is Zero (\$0). However, if the program is funded, it is projected that for similar projects will be awarded in FY 2018. Pertinent data to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:
<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.
<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Email: Policy@nifa.usda.gov Phone: (202) 720-2324 Fax: (202) 720-2030.

Website Address:

<http://nifa.usda.gov/program/higher-education-challenge-grants-program>

RELATED PROGRAMS:

10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.216 1890 Institution Capacity Building Grants; 10.226 Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: A university is leading a project to develop the Excellence in Education for Food, Energy, and Water (E2FEW) project, which will support the development, implementation, and evaluation of a 24-month sustained professional development program for postsecondary food, agricultural, natural resources, and human (FANH) sciences faculty. The faculty development program is designed around core tenets of effective undergraduate STEM instruction, including active learning, scientific teaching strategies, and the use of Undergraduate Learning Assistants.

A university was funded to:

- (1) improve the quality and relevance of fiber, textile, and apparel (FTA) curriculum and promote enhanced curriculum and instructional materials and methods;
- (2) attract, recruit, and retain more qualified and diverse students for the FTA sector; and
- (3) prepare graduates with the knowledge and skills to meet current and future workplace needs.

A university was funded to expand the opportunities within its Urban Food Systems Masters degree-level program in agriculture to include competencies (soft skills) in communication, problem solving, professionalism, teamwork, and leadership.

A university is leading a project to strengthen animal welfare education in the veterinary curriculum, with emphasis on food animal production. Project objectives include development of:

- (1) an online 1-credit animal welfare course suitable for freshman level veterinary students;
- (2) animal welfare case studies suitable for integration in advanced veterinary courses;
- (3) 1-week rotations for swine and dairy cattle animal welfare assessment;
- (4) effective multiple-choice examination questions to evaluate student competency; and
- (5) a "roadmap" of existing educational opportunities to facilitate veterinary student specialization in animal welfare.

A university was funded to lead a project that addresses the need to be ready and capable of solving global food security and hunger problems that span geo-political borders. The instructional opportunity addressed by this project is to reach graduate students in food and agricultural sciences by globalizing the curricula with contextually-rich reusable learning elements (RLEs) and authentic case studies. Instruction will be created in three (3) broad areas (Global Horticulture, Sustainable International Development, Human Health and Nutrition) with content experts creating the materials. Fiscal Year 2017: Project data is not yet available. The peer review panel has not met to evaluate proposals that were submitted in response to the FY 2017 RFA.

It is anticipated that funded projects will address one (1) or more of the following educational strategies:

- (1) Curriculum Development, Instructional Delivery Systems and Expanding Student Career Opportunities;
- (2) Faculty Preparation and Enhancement for Teaching; and
- (3) Facilitating Interaction with Other Academic Institutions.

Pertinent data to be provided by Program at a future date. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded.

Project data is not yet available. Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/higher-education-challenge-hec-grant-s-program>.

10.219 BIOTECHNOLOGY RISK ASSESSMENT RESEARCH

(BRAG)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Farm Security and Rural Investment Act of 2002, Section 7210, Public Law 101-171, 7 U.S.C. 5921; Food, Agriculture, Conservation, and Trade Act of 1990, Section 1668, 7 U.S.C. 7901.

OBJECTIVES:

The purpose of the BRAG program is to assist Federal regulatory agencies in making science-based decisions about the effects of introducing into the

environment genetically engineered organisms, including plants, microorganisms (including fungi, bacteria, and viruses), arthropods, fish, birds, mammals and other animals excluding humans. Investigations of effects on both managed and natural environments are relevant. The BRAG program accomplishes its purpose by providing Federal regulatory agencies with scientific information relevant to regulatory considerations derived from the risk assessment research that the program funds.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved research objectives. Funds may not be used for purposes other than those approved in the grant award documents. The use of grant funds to plan, acquire, or construct a building or facility is not allowed under this program. With prior approval, and in accordance with the cost principles set forth in the Office of Management and Budget (OMB) Circular No. A-21, (codified at 2 CFR 220), some grant funds may be used for minor alterations, renovations, or repairs deemed necessary to retrofit existing teaching or research spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the alterations, renovations, or repairs are essential to achieving the major purpose of the project. Grant funds may not be used for endowment investing.

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

****Special Note on Indirect Costs as in-kind matching contributions:**

Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 30 percent pursuant to FY 2012 appropriated funds, Section 720 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012 (Division A of Pub. L. 112-55), the awardee may request 15 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 30 percent. Fully discretionary.

Applicant Eligibility:

Any public or private research or educational institution or organization.

Beneficiary Eligibility:

Any public or private research or educational institution or organization.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

- (i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

<https://nifa.usda.gov/funding-opportunity/biotechnology-risk-assessment-research-grants-program-brag>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be accessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biotechnology-risk-assessment-research-grants-program-brag>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

(a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;

(b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;

(c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;

(d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biotechnology-risk-assessment-research-grants-program-brag>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>

<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/biotechnology-risk-assessment-research-grants-program-brag>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/biotechnology-risk-assessment-research-grants-program-brag>.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biotechnology-risk-assessment-research-grants-program-brag>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information

system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:**REEReport GRANT REPORTING:**

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reereport> and the REEReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEReport Database--

Research, Education, and Extension project online reporting tool (REEReport) All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is:

REEReport

National Institute of Food and Agriculture

U.S. Department of Agriculture

STOP 2213

1400 Independence Avenue, S.W.

Washington, D.C. 20250-2213

Telephone: (202) 690-0009

E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

(1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).
1890 Facilities Terms and Conditions (dated May, 2017).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. Cash reports are not applicable. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entity fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entity fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352; 12-1003-0-1-271; 12-1502-0-1-352.

Obligations:

(Project Grants) FY 16 \$2,433,375; FY 17 est \$3,926,101; and FY 18 est \$3,869,820 - NOTES:

(1) Some of these funds are no year. In terms of availability of appropriations (time), there are no time limits as to when "no-year" funds may be obligated and expended and the funds remain available for their original purposes until expended.

(2) BRAG funds are deducted from five (5) treasury symbols.

(3) Increased funding (for FY 2017) represents proposed increased funds for research and commensurate percentage of appropriation request. .

The increase in biotechnology related work is the result of increased funding for the Agriculture & Food Research Initiative (AFRI) program and related set-asides.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/biotechnology-risk-assessment-research-grants-program-brag>.

TAFS Codes:

12-2017-2017-1500; 12-2017-2017-1502; 12-2017-X-1003; 12-2017-X-1502; 12-2017-X-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: For the Fiscal Year (FY) 2016 award cycle, the (Payment to States) amount available for awards was \$ 2,433,375.

A total of 44 applications requesting a total of \$ 23,790,339 were received in this years competition. In June 2016, a 14-member peer review panel will evaluate these applications. The peer panel included faculty and administrators from land grant and non-land grant colleges and universities and practitioners from the food and agricultural sciences community.

Funds were available to support nine (9) awards in the following Project Types (conferences, standard, and priority grants)

The funding success rate for this program in FY 2016 was 22% for research grants. Fiscal Year 2017: For the Fiscal Year (FY) 2017 award cycle, the (Payment to States) amount available for awards was \$ 3,926,101.

A total of 42 applications requesting a total of \$ 19,129,634 were received in this years competition. In June 2017, a 13-member peer review panel will evaluate these applications. The peer panel included faculty and administrators

from land grant and non-land grant colleges and universities and practitioners from the food and agricultural sciences community.

Funds were available to support 13 awards in the following Project Types [Two (2) conferences for a total of \$50,000 and 11 standard grants for a total of \$5,345,838.]

The funding success rate for this program in FY 2017 was 28% for research grants. Fiscal Year 2018: It is projected that similar projects will be supported in FY 2018. Pertinent data will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:
<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.
<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and

agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Plant Systems-Production, 1400 Independence Avenue, SW., STOP 2240, Washington, District of Columbia 20250-2240
Email: Policy@nifa.usda.gov Phone: (202) 401-4202 Fax: (202) 401-1782

Website Address:

<http://nifa.usda.gov/program/biotechnology-risk-assessment-research-grants-program>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.207 Animal Health and Disease Research; 10.250 Agricultural and Rural Economic Research; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: (1) Development and evaluation of safeguards for conditional suppressive gene drives for spotted wing Drosophila and the New World screwworm (standard grant)
(2) Improving Bt Risk Assessment and Management by Genomic Monitoring (standard grant)
(3) A Data-Driven Approach to CRISPR Design for Reduced Off-Target Activity in Plant Genome Editing (standard grant)
(4) Designer Algae Biotechnology Risk Assessment (standard grant)
(5) Regulatory Protocols for Current and Emerging Genome Editing Technologies in Crop Improvement (conference grant). Fiscal Year 2017: (1) Neonicotinoid seed treatments in Bt maize: balancing contributions to insect resistance management with impacts on soil health (standard grant)
(2) Environmental Fate of RNA Molecules from RNA Interference (RNAi) Agricultural Biotechnology (standard grant)
(3) CRISPR/CAS9 mutagenesis for genetic containment of forest trees (standard grant)
(4) Development of a Transgene Biocontainment System for Switchgrass (standard grant)
(5) Monitoring and inhibiting interspecies gene flow in a OneHealth context (standard grant). Fiscal Year 2018: Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biotechnology-risk-assessment-research-grants-program-brag>.

10.220 HIGHER EDUCATION - MULTICULTURAL SCHOLARS GRANT PROGRAM

Institution Challenge, Multicultural Scholars & Graduate Fellowships Grant Program (Multicultural Scholars)

[Formerly: Minority Scholars Program]

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Legislative authority for this program is contained in section 1417 of the National Agricultural Research, Extension and Teaching Policy Act of 1977 (NARETPA) (99 Stat. 1548; 7 U.S.C. 3152). USDA/NIFA administers this federal assistance grant program to support food and agricultural sciences baccalaureate and Doctor of Veterinary Medicine (D.V.M.) degree training of the next generation of scientists, policy makers, and educators in the Food and Agricultural Sciences. USDA initiated the Higher Education Multicultural Scholars Program in FY 1994. This program, functioning collaboratively with eligible higher education institutions, is developing intellectual capital to secure the preeminence of U.S. food and agricultural systems., 7 U.S.C 3152.

OBJECTIVES:

To increase the ethnic and cultural diversity of the food and agricultural scientific and professional work force, and to advance the educational achievement of minority Americans, by providing grants to colleges and universities that have a demonstrable capacity to attract, educate, and graduate minority students for careers as agriscience and agribusiness professionals, and have unique capabilities for achieving the objective of full representation of minority groups in the fields of agriculture, natural resources, forestry, veterinary medicine, home economics, and disciplines closely allied to the food and agricultural system.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Competitive annual grants to selected U.S. institutions of higher education provide for a limited number of four-year undergraduate scholarships to support minority students pursuing baccalaureate degrees in the food and agricultural sciences. Institutions also receive an annual cost-of-education allowance for each scholar supported by a grant. The scholars are selected by the institutions and students must apply for the scholarships through procedures established by the individual institutions.

No scholarship grants are made directly to students and students cannot apply directly to USDA for scholarships. Scholarship monies may be used for tuition, fees, room, board, and other educational expenses as announced in a Federal Register notice inviting applications for new awards.

The institutional cost-of-education allowance may be used by the selected institutions to defray program expenses including, but not limited to, travel and publications costs incurred for student recruitment, and salaries of project personnel. Indirect cost is not allowable. Pursuant to 7 U.S.C. 3152(b), no indirect costs may be recovered under the MSP Program. Funds are restricted to the clearly designated uses found within the RFA.

For Award Category No. 2 Proposals, the budget sharing criteria are: (i) no single institution may receive more than forty percent (40%) and (ii) any institution may not receive less than fifteen percent (15%) of the requested funding for the baccalaureate and/or D.V.M. degree level training project (see Part II, C of the RFA).

When grant is for SEL that will be used by To-Be-Recruited Scholars: The SEL funds for To-Be-Recruited Scholars will be withheld until the Project Director has submitted, to the MSP Grants Program Office, all of the required documentation for eligibility of the MSP/SEL Scholar(s) for the SEL as well as the required specifics outlined in the RFA for the SEL. The information must be submitted for approval, no less than 90 days prior to the use of the SEL funds (see Part IV, B, 3, b, Section 1 of the RFA). Fully discretionary.

Applicant Eligibility:

Proposals may be submitted by all U.S. colleges and universities with baccalaureate or higher degree programs in agriculture, natural resources, forestry, veterinary medicine, home economics, and disciplines closely allied to the food and agricultural system, including land-grant colleges and universities, colleges and universities having significant minority enrollments and a demonstrable capacity to carry out the teaching of food and agricultural

sciences, and other colleges and universities having a demonstrable capacity to carry out the teaching of food and agricultural sciences.

Beneficiary Eligibility:

Funds awarded under this program are used to support full-time undergraduate students pursuing a baccalaureate degree in an area of the food and agricultural sciences or a closely allied field. Persons eligible to receive scholarships under this program are students who either are enrolled or have been accepted as full-time baccalaureate or DVM degree candidates, and who are members of groups traditionally under-represented in food and agricultural scientific and professional fields.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

<https://nifa.usda.gov/funding-opportunity/higher-education-multicultural-scholars-program-msp>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/higher-education-multicultural-scholars-program-msp>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/higher-education-multicultural-scholars-program-msp>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/higher-education-multicultural-scholars-program-msp>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.
2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/higher-education-multicultural-scholars-program-msp>.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a five (5) year period, however, scholars are supported for four (4) years during that five (5) year period. One (1) year of the grant period is available for student recruitment and for short interruptions of a scholar's educational advancement due to personal circumstances or emergencies.

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:
<https://nifa.usda.gov/funding-opportunity/higher-education-multicultural-scholars-program-msp>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:**REEport GRANT REPORTING:**

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEport Database--

Research, Education, and Extension project online reporting tool (REEport)
 All projects must be documented in REEport. The NIFA contact for all REEport documentation is:

REEport
 National Institute of Food and Agriculture
 U.S. Department of Agriculture
 STOP 2213
 1400 Independence Avenue, S.W.
 Washington, D.C. 20250-2213
 Telephone: (202) 690-0009
 E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
 Office of Grants and Financial Management (OGFM)
 National Institute of Food and Agriculture (NIFA)
 U.S. Department of Agriculture (USDA)
 STOP 2271
 1400 Independence Avenue, SW
 Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).
 1890 Facilities Terms and Conditions (dated May, 2017).

(3) Further guidance is provided under 2 CFR Part 200, Uniform

Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. Cash reports are not applicable. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entity fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entity fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 16 \$945,400; FY 17 est \$944,775; and FY 18 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTES:

(1) In terms of availability of appropriations (time), there are no time limits as to when "no-year" funds may be obligated and expended and the funds remain available for their original purposes until expended.

(2) Effective in FY 2012, funding for three (3) Higher Education Programs: (Graduate Fellowships CFDA 10.210; Challenge CFDA 10.216; and Multicultural Scholars CFDA 10.220), were consolidated under a single funding line within the Research & Education Activities Account. However, different legislative authorities are applicable and separate Requests for

Applications (RFAs) were issued under the respective CFDA numbers.

(3) The President's FY 2016 Budget proposal consolidates STEM-related programs in the budgets for the Department of Education or the National Science Foundation.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/higher-education-multicultural-scholars-program-msp>.

TAFS Codes:

12-2017-X-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year (FY) 2016, 34 applications were received for consideration. \$945,400 was appropriated for these programs.

The success rate was 14.7%.

Five (5) proposals were funded, yielding a total of 29 scholarships, inclusive of special experiential learning opportunities for students.

Applications addressed the following Program Areas of Emphasis:

- Veterinary Medicine;
- Agricultural Sciences and Engineering;
- Natural Resource Sciences Training;
- Human Sciences Training;
- Food Science and Human Nutrition Training;
- Agrosecurity Science Training; and
- Agricultural Education. Fiscal Year 2017: The Fiscal Year (FY) 2017 Request for Applications (RFA) is still pending. Approximately 30 applications, and four (4) awards are anticipated. \$944,775 has been appropriated for this program. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded. Approximately 30 applications, and four (4) awards are projected.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Email: Policy@nifa.usda.gov Phone: (202) 720-2324 Fax: (202) 720-2030

Website Address:

<http://nifa.usda.gov/program/higher-education-multicultural-scholars-program-mssp>

RELATED PROGRAMS:

10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.216 1890 Institution Capacity Building Grants; 10.217 Higher Education Challenge Grants; 10.310 Agriculture and Food Research Initiative (AFRI)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Supported projects advance national STEM education achievement by supporting students representing a multicultural pool of graduates entering the future food and agricultural scientific and professional workforce; support Americas academic competitiveness in STEM by supporting scholarships in D.V.M. and/or undergraduate degree level training grounded in curricula to advance food and agricultural sciences; increase the number of qualified students from traditionally underrepresented and underserved groups pursuing undergraduate/D.V.M. degrees in training programs that have the highest chance for success; and enhance workforce

diversity in STEM fields by supporting experiential learning opportunities of Multicultural Scholars (MSP) in the public and private sectors. Further information is available at <https://nifa.usda.gov/program/higher-education-multicultural-scholars-program-mssp>. Fiscal Year 2017: Supported projects advance national STEM education achievement by supporting students representing a multicultural pool of graduates entering the future food and agricultural scientific and professional workforce; support Americas academic competitiveness in STEM by supporting scholarships in D.V.M. and/or undergraduate degree level training grounded in curricula to advance food and agricultural sciences; increase the number of qualified students from traditionally underrepresented and underserved groups pursuing undergraduate/D.V.M. degrees in training programs that have the highest chance for success; and enhance workforce diversity in STEM fields by supporting experiential learning opportunities of MSP Scholars in the public and private sectors. Further information is available at <https://nifa.usda.gov/program/higher-education-multicultural-scholars-program-mssp>. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded. Approximately 30 applications, and four (4) awards are projected.

Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/higher-education-multicultural-scholars-program-mssp>.

10.221 TRIBAL COLLEGES EDUCATION EQUITY GRANTS

Higher Education Native American Formula and/or Higher Education Native American Institutions

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Authority for the Tribal Colleges Education Equity Grants (TCEG) program is contained in the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note) as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7601 note). Appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions) for Education capacity building and funds are to be distributed equally among institutions that meet eligibility requirements., 7 U.S.C 301 note.

OBJECTIVES:

This grants program is designed to promote and strengthen higher education instruction in the food and agricultural sciences at the 34 Tribal Colleges designated as 1994 Land-Grant Institutions in the Equity in Educational Land-Grant Status Act of 1994, as amended. Plans of Work should focus on undergraduate and/or graduate studies in the food and agricultural sciences in one or more of the following areas: Curricula Design and Materials Development, Faculty Development and Preparation for Teaching, Instruction Delivery Systems, Student Experiential Learning, Equipment and Instrumentation for Teaching, or Student Recruitment and Retention.

The purpose of the TCEG Program is to provide funding to enhance educational opportunities for Native Americans in the food and agricultural sciences. The TCEG program is intended to strengthen institutional capacity to deliver relevant formal education opportunities. The TCEG is intended to be a component of the applicant 1994 institutions land grant roadmap or strategic planning process. To the extent practicable, priorities should reflect the following national critical needs areas:

1. Sustainable energy
2. Global food security and hunger

3. Climate change
4. Nutrition and preventing childhood obesity
5. Food safety
6. Sustainable rural economies

Awards are made upon approval of each institutions application for a grant that relate to an institutions long-range goals. As indicated in Part 1, C. of the RFA, an application may address one (1) or more of the following program areas:

Curricula Design and Materials Development
 Faculty Development and Preparation for Teaching
 Instruction Delivery Systems
 Student Experiential Learning
 Equipment and Instrumentation for Teaching
 Student Recruitment and Retention

Recognizing that strengthening instructional programs is a long-term ongoing process, the TCEG program is interested in funding subsequent phases of previously funded projects in order to strengthen institutional capacity, and institutions are encouraged to build on a theme over several grant awards to reach institutional long-range goals.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Tribal College Equity Program (TCEG) provides funds to enhance educational opportunities for Native Americans by strengthening instructional programs in the food and agricultural sciences at the thirty four (34) tribal colleges designated as the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions).

Under this authority, appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions) for Education capacity building and funds are to be distributed equally among institutions that meet eligibility requirements. Funding Restrictions:

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

Indirect costs for the Equity program are not required and a 1994 institution may take less than the allowable amount or forego indirect costs altogether - adjust budgets accordingly.

Neither research nor extension projects are supported under the TCEG Program.

The use of grant funds to plan, acquire, or construct a building or facility is not allowed under this program. With prior approval, and in accordance with the cost principles set forth in OMB Circular No. A-21, some grant funds may be used for minor alterations, renovations, or repairs deemed necessary to retrofit existing teaching or research spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the alterations, renovations, or repairs are essential to achieving the major purpose of the project.

Grant funds may not be used for endowment investing.

Special Notices:

1. NIFA will withhold all funds for a TCEG award to an applicant requesting indirect costs if the applicant has not negotiated an indirect cost rate with its

cognizant federal agency.

2. If a grantee is in the process of negotiating an indirect cost rate with its federal agency, NIFA will withhold all funds from that grantee until the indirect cost rate has been established.

3. If an institutions indirect cost rate has expired or will expire in the near future, a clear statement on renegotiation efforts must be included in the application. (See Part IV, B.5. of the RFA - Budget Justification.)

4. It is incumbent on all applicants to have a current indirect cost rate or begin negotiations to establish an indirect cost rate prior to the TCEG submission deadline. Because it may take several months to obtain an indirect cost rate, applicants needing an indirect cost rate are encouraged to start work on establishing these rates well in advance of submitting a TCEG application.

5. In lieu of requesting indirect costs (if the applicant does not have a negotiated rate), an applicant may prepare a budget in which all charges in the budget are included as direct costs.

NIFA does not require matching support for this program. Fully discretionary.

Applicant Eligibility:

Applications may be submitted by any of the Tribal colleges and universities designated as 1994 Land-Grant Institutions under the Educational Land-Grant Status Act of 1994, as amended. This Act, as amended in Section 533(a), requires that each 1994 Land-Grant Institution be accredited or making progress towards accreditation and be recognized as a legal entity. If accreditation is being sought, a college must demonstrate its progress towards accreditation by a letter from a nationally recognized accreditation agency affirming receipt of application for an accreditation site visit or other such documentation.

An applicants failure to meet an eligibility criterion by the time of an application deadline will result in NIFA returning the application without review or, even though an application may be reviewed, will preclude NIFA from making an award.

Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of project goals and objectives.

Beneficiary Eligibility:

Current Listing of 1994 Land-Grant Institutions (aka Tribal Colleges):: Aaniiih Nakoda College; Bay Mills Community College; Blackfeet Community College; Cankdeska Cikana Community College; Chief Dull Knife College; College of Menominee Nation; College of the Muscogee Nation; Dine College; Fond du Lac Tribal and Community College; Fort Peck Community College; Haskell Indian Nations University; Ilisagvik College; Institute of American Indian Arts; Keweenaw Bay Ojibwa Community College; Lac Courte Oreilles Ojibwa Community College; Leech Lake Tribal College; Little Big Horn College; Little Priest Tribal College; Navajo Technical University; Nebraska Indian Community College; Nueta, Hidatsa and Sahnish College; Northwest Indian College; Oglala Lakota College; Saginaw Chippewa Tribal College; Salish Kootenai College; Sinte Gleska University; Sisseton Wahpeton College; Sitting Bull College; Southwestern Indian Polytechnic Institute; Stone Child College; Tohono Oodham Community College; Turtle Mountain Community College; United Tribes Technical College; and White Earth Tribal and Community College.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:
 (i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

<https://nifa.usda.gov/funding-opportunity/tribal-colleges-education-equity-program-tceg>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All Requests for Applications (RFAs) are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA

Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-education-equity-program-tceg>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

(a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;

(b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;

(c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;

(d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-education-equity-program-tceg>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>

<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-education-equity-program-tceg>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/tribal-colleges-education-equity-program-tceg>.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. There is no statutory formula for this program. However, appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions) for Education capacity building and funds are to be distributed equally among institutions that meet eligibility requirements. Equity Funds for ineligible 1994 institutions or of those who fail to apply by the application submission date will be redistributed equally among the remaining eligible 1994 institutions.

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Under this RFA, only new applications for four-year continuation awards may be submitted to the TCEG Program. These are project applications not previously submitted to TCEG. All new applications will be reviewed by a review panel using the process and criteria described in Part V of the RFA Application Review Requirements.

2 CFR Part 200, Subpart D applies to this program.

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/tribal-colleges-education-equity-program-tceg>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

Award Terms and Conditions:

Awards are accompanied by provisions including Terms and Conditions for the funded project. Grantees must stay informed and guided by the Terms and Conditions of the award regarding required reports, program/project data collection, program income, release of information, sharing of findings, data, and other project products, patents, inventions, copyrights, etc.

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Annual Performance Report:

Annual performance reports are due 30 days after the anniversary date of the award and should be submitted to Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects.

The details of the reporting requirements are included in the award terms and conditions. 1) a comparison of actual accomplishments with the goals established for the reporting period;

2) if established goals were not met, the reasons

3) documentation of outputs; i.e., significant activities, including dissemination activities, events, services or products that contribute toward achieving the goals and objectives of the project

4) outcomes/impacts; i.e., a change in knowledge, actions or conditions;

5) any other indication of increased capacity for carrying out the land grant mission

Final Technical Report:

A final technical report must be submitted within 90 days to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects.

The details of the reporting requirements are included in the award terms and conditions. Generally, the final technical report should be a summary of the completed project, including:

1. A review of project objectives and accomplishments;

2. A description of outcomes resulting from the project and activities undertaken to disseminate these outcomes;

3. An explanation of partnerships and collaborative ventures that resulted from the project, including future initiatives that are planned as a result of the project;

4. A description of the projects impact on the PD(s), the institution(s) involved, and the community;

5. Any pertinent data on project personnel and beneficiaries. The final technical report also must contain any other information specified in the terms and conditions of the award; and,

Quarterly Reports of Federal Cash Transactions (SF-272) were required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and were submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds.

A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271
Telephone: (202) 401-4986. NIFA uses SF-425, Federal Financial Report to monitor cash. (Pertinent details regarding Progress Reports are provided above.). A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271
Telephone: (202) 401-4986. (Pertinent details regarding Performance Monitoring Reports are provided above.).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entity's fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements,

Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 16 \$3,439,000; FY 17 est \$3,439,000; and FY 18 est \$3,432,000 - Based on current legislation there are no set-asides for the Higher-Ed Native American Institutions program.

Further, federal administration is not deducted from the program.

Range and Average of Financial Assistance:

Appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions) for Education capacity building and funds are to be distributed equally among institutions that meet eligibility requirements. Equity Funds for ineligible 1994 institutions or of those who fail to apply by the application submission date will be redistributed equally among the remaining eligible 1994 institutions.

Under this RFA, only new applications for four-year continuation awards may be submitted to the TCEG Program. These are project applications not previously submitted to TCEG. All new applications will be reviewed by a review panel using the process and criteria described in Part V of the RFA Application Review Requirements.

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/tribal-colleges-education-equity-program-tceg>.

TAFS Codes:

12-2017-2017-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The amount of \$3,439,000 were distributed among the 34 schools at approximately \$101,147 each.

Continuations allow schools to compete for funding that provides an annual installment of money over a multi-year period. Equity continuations run for four (4) years. In 2016, the awards represented the third year of a four-year continuation. Fiscal Year 2017: The grant program continued its fourth and final year of a four-year continuation cycle in 2017. Funds in the amount of \$3,439,000 were distributed among the 34 schools at approximately \$101,147 each.

Continuations allow the 34 qualifying schools to compete for funding that provides an annual installment of money over a multi-year period. Equity continuations run for four (4) years. In 2017, the awards represented the fourth year of a four-year continuation. Fiscal Year 2018: For FY 2018, \$3,432,000 has been appropriated for this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

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CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.
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ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
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Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

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<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Email: Policy@nifa.usda.gov Phone: (202) 720-2324 Fax: (202) 720-2030.

Website Address:

<http://nifa.usda.gov/program/tribal-equity-grants-program>

RELATED PROGRAMS:

10.222 Tribal Colleges Endowment Program; 10.500 Cooperative Extension Service

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: (1) Promoting Student Success through Experiential Learning in Environmental Science

The project promotes student participation, learning and success by providing engaging and relevant experiential learning activities across Aaniiih Nakoda College's Integrated Environmental Science (IES) curriculum.

(2) Experiential Learning at Blackfeet Community College

The Goal of this four-year project is to incorporate student experiential/nature-based learning across all curriculums at Blackfeet Community College to increase student retention by 30 percent (30%) by the end of this program.

(3) Native Food Pathways: An Ojibwa Approach to Food Science

The College seeks to expand offerings within the environmental science program to include food science. Topics will include organic gardening, fruit production, maple syrup and honey production.

(4) Education in Sustainability

The main goal of the project is to give students, faculty and staff the tools needed to increase environmental sustainability on campus. Capacity in sustainability will be built through experiential learning experiences for students and professional development for faculty and staff. The impact of this project will be campus wide, as even students who aren't participating in sustainability initiatives benefit from this work. Haskell will save money on energy, water, and waste disposal and those resources can be directed elsewhere.

(5) Revised Curricula in Agriculture and Initiation of Foods and Nutrition Programs for Health and Wellness

Project provides a rodeo program which involves about 150 students each year. The project also provides an equine assisted learning program. Fiscal Year 2017: (1) Bay Mills Academics and Agricultural Initiative

The Equity grant funded 13 student retention workshops attended by 270 students. These workshops, held at the Bay Mills Student Success Center, provided tutors in math, science and computer programming for free and 135 students rely on these services. In addition the colleges demonstration farm partnered with the USDA's Soil Conservation Service for an annual Education on the Farm event for 77 participants. In addition, Waishkey Bay Farm hosted six (6) sustainable agriculture workshops attended by 213 participants.

(2) DinSTEM-EQUITY Project

This project is designed to improve reservation math education from Kindergarten to high school. A total of 520 teachers and students benefitted from this project, including 54 math teachers who attended professional development workshops and 67 students who participated in a summer math camp and the Great Salt Lake Math Immersion Camp. In addition, the colleges STEM festival had 17 secondary schools participating with 360 teachers and students joining the event.

(3) SCC Native in Future America (NIFA) Project

The Equity grant at Stone Child College provides funding for tuition and stipends for students attending college. All students receive extensive mentoring to ensure they succeed. Of the students 14 students who participated in the scholarship program five (5) were majoring in natural resources, three (3) in math, two (2) in pre-engineering, two (2) in applied science and two (2) in

computer science. Of the eight (8) students receiving stipends five (5) were majoring in natural resources, two (2) were in pre-engineering and one in mathematics.

(4) NWICs Program to Create and Implement a Training Program for Our STEM Faculty and Tutors

This program provides professional training to math teachers for the colleges faculty and staff to increase the number of students on campus with enhanced knowledge and competence in mathematics. Faculty observe that some of the 160 students who participated are saying that mathematics actually makes more sense to them because of the new teaching methods.

(5) Creation of a Wildlife Biology Degree Program at the Salish Kootenai College

The Equity funding supports improvement for courses in GIS technology, hydrology and forest management. In addition, nine (9) new courses were added including laboratory classes in ornithology, entomology and ichthyology. A total of 95 students benefited from this grants because new and improved courses became available to them at the college. Fiscal Year 2018: Information is not yet available. Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-education-equity-program-tceg>.

10.222 TRIBAL COLLEGES ENDOWMENT PROGRAM

Tribal Colleges Endowment Interest Program
aka 1994 Institutions Endowment Interest Program

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Title V, Part C of the Improving Americas Schools Act of 1994, Public law 103-382 (October 20, 1994), 7 U.S.C. 301 note, as amended; Public Law 105-185, cited as Equity in Educational Land-Grant Status Act of 1994, (hereafter referred to as the Act) provides that certain tribal colleges, designated as 1994 Land-Grant Institutions, (hereafter referred to as LGIs) receive various benefits., 7 U.S.C 301 note.

OBJECTIVES:

To enhance educational opportunities at the thirty-four (34) Land Grant Institutions by strengthening their teaching programs in the food and agricultural sciences in targeted need areas.

The legislation designates that the interest from the endowment funds benefit the 1994 land-Grant institutions by supporting teaching programs in the food and agricultural sciences in the target areas of:

- 1) Curricula design and instructional materials
- 2) Faculty development and preparation for teaching
- 3) Instruction delivery systems
- 4) Student experiential learning
- 5) Equipment & instrumentation for teaching
- 6) Student recruitment and retention

Additionally, endowment funds released on or after October 1, 2001, also may be used for facility renovation, repair, construction and maintenance to support teaching programs in the food and agriculture sciences in addition to the above six (6) areas, to support the land-grant mission.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The Tribal Colleges Endowment Fund benefits the 1994 land-grant institutions by promoting capacity development in teaching programs in the food and agricultural sciences. The Tribal Colleges Endowment Fund, as a teaching capacity development program, is a companion program of the Tribal Colleges Equity Grants Program. It differs primarily from the Equity Grants Program in two (2) respects, namely:

Endowment funds may be escrowed indefinitely and used for major obligations relating to the allowable activities.

As of October 1, 2001, Endowment funds also may be used for facility renovation, repair, construction, and maintenance in support of and in addition to the six (6) targeted areas of support listed below.

Interest distribution from an endowed corpus based on a formula specific to this legislation.

The legislation designates that the interest from the endowment funds benefit the 1994 land-Grant institutions by supporting teaching programs in the food and agricultural sciences in the target areas of:

- 1) Curricula design and instructional materials
- 2) Faculty development and preparation for teaching
- 3) Instruction delivery systems
- 4) Student experiential learning
- 5) Equipment & instrumentation for teaching
- 6) Student recruitment and retention

Additionally, endowment funds released on or after October 1, 2001, also may be used for facility renovation, repair, construction and maintenance to support teaching programs in the food and agriculture sciences in addition to the above six (6) areas, to support the land-grant mission. Interest distribution from an endowed corpus based on a formula specific to this legislation. Distribution funds received prior to October, 2001 may not be used for new construction. Institutions that intend to use their Endowment Funds for new construction should submit their plans to USDA, NIFA, National Program Leader, Multicultural Alliances for approval. Institutions that list plans for new construction in their Planning Document will be sent specific guidelines. Section 7402 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Pub. L. 110-246), amends Section 534(a)(3) of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note; Public Law 103-382) to require that all eligible recipients of the 1994 Institutions Endowment Fund meet certain accreditation requirements in order to receive interest distributions from that fund. Those LGIs that do not meet these requirements will have their share of funds redistributed to those 1994 institutions which meet accreditation requirements.

Applicant Eligibility:

Eligibility is defined by legislation. An institution must be an accredited 1994 Land Grant Institution with current accreditation from a recognized accreditation organization. Section 7402 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Pub. L. 110-246), amends Section 532 of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note; Public Law 103-382) by adding at the end the following: (34) Iiisagvik College. The complete listing of 1994 Land-Grant Institutions (LGIs) is as follows: Bay Mills Community College, Blackfeet Community College, Cankdeska Cikana Community College, Chief Dull Knife College, College of the Menominee Nation, D-Q University, Dine College, Fond du Lac Tribal and Community College, Fort Belknap College, Fort Berthold Community College, Fort Peck Community College, Haskell Indian Nations University, Iiisagvik College, Institute of American Indian Arts, Leech Lake Tribal College, Little Big Horn College, Little Priest Tribal College, Navajo Technical College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Saginaw Chippewa Tribal College, Salish Kootenai College, Si Tanka University, Sinte Gleska University, Sisseton Wahpeton College, Sitting Bull College, Southwestern Indian Polytechnic Institute, Stone Child College, Tohono Oodham Community College, Turtle Mountain Community College, United Tribes Technical College, Lac Courte Oreilles Ojibwa Community College, and White Earth Tribal and Community

College.

Beneficiary Eligibility:

Eligibility is defined by legislation. An institution must be an accredited 1994 Land Grant Institution with current accreditation from a recognized accreditation organization. Section 7402 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Pub. L. 110-246), amends Section 532 of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note: Public Law 103-382) by adding at the end the following: (34) Ilisagvik College. The complete listing of 1994 Land-Grant Institutions (LGIs) is as follows: Bay Mills Community College, Blackfeet Community College, Cankdeska Cikana Community College, Chief Dull Knife College, College of the Menominee Nation, D-Q University, Dine College, Fond du Lac Tribal and Community College, Fort Belknap College, Fort Berthold Community College, Fort Peck Community College, Haskell Indian Nations University, Ilisagvik College, Institute of American Indian Arts, Leech Lake Tribal College, Little Big Horn College, Little Priest Tribal College, Navajo Technical College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Saginaw Chippewa Tribal College, Salish Kootenai College, Si Tanka University, Sinte Gleska University, Sisseton Wahpeton College, Sitting Bull College, Southwestern Indian Polytechnic Institute, Stone Child College, Tohono Oodham Community College, Turtle Mountain Community College, United Tribes Technical College, Lac Courte Oreilles Ojibwa Community College, and White Earth Tribal and Community College.

Credentials/Documentation:

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM) - each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) provide a valid DUNS number in its application; and (iii) continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. There is no application process. Please refer to Section :093 for specific details regarding the Award Procedure.

Award Procedure:

On the termination of each fiscal year, the Secretary of Agriculture withdraws the earned interest from the Endowment fund and distributes it to the 1994s on a pro rata basis. The pro rata basis formula is as follows: 60 percent is based on the Indian student count for each institution; 40 percent is distributed in equal shares. Interest distributions are made on an annual basis. The interest earned from the endowment corpus is distributed to each 1994 Institution based on a 60-40 percentage formula: 60 percent (60%) is based on the number of American Indian students enrolled (based on the annual Student Indian Count as defined in Section 390(3) of the Carl D. Perkins Vocational and Applied Technology Education Act) will be furnished to the agency by the American Indian Higher Education Consortium - AIHEC) and

40 percent (40%) on an equal base formula. The computation is made by NIFA and a letter of notification is sent to each 1994 institution President.

The Endowment Interest distribution is available to the 1994 Institutions to be drawn down at their discretion through the electronic payment systems of either the Department of Health and Human Services (PMS) or through the Treasury ASAP system. Upon notification of their annual distribution, 1994s are required to submit a Planning Document (subject to approval) on how their Endowment funds will be spent.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Not applicable, each year of funding is awarded as a new grant.

Formula and Matching Requirements:

Statutory Formula: Title Equity in Educational Land-Grant Status Act of 1994, Public Law 103-382. Title V, Part C of the Improving Americas Schools Act of 1994, Public law 103-382 (October 20, 1994), 7 U.S.C. 301 note, as amended; Public Law 105-185, cited as Equity in Educational Land-Grant Status Act of 1994, (hereafter referred to as the Act) provides that certain tribal colleges, designated as 1994 Land-Grant Institutions, (hereafter referred to as LGIs) receive various benefits. This program has no matching requirements. NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Interest distributions are made on an annual basis.

The Endowment Interest distribution is no-year funds and can be used or held indefinitely. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects.

The details of the reporting requirements are included in the award terms and conditions. As part of its oversight and accountability responsibilities,

NIFA requires that the following documents be submitted by September 30 of each year or within 90 days after having received the interest distribution notification:

Planning Document College intent to utilize the Endowment Funds (Institutions are encouraged to engage in long-range planning and the sharing of ideas within the Tribal College Community.).

Annual Technical/Progress Report How funds were spent over the past year and any impact of those funds.
Annual SF- 425, Federal Financial Report, per Agency instructions.

SPECIAL NOTE: Specific and detailed guidance regarding the format and content of the Planning Document and Annual Technical/Progress Report accompany the annual Interest Distribution letters which are sent to the Presidents of each 1994 Land-Grant Institution. Further, the reports are required to keep Congress informed of progress and accomplishments regarding the utilization of the Endowment funds. NIFA uses the SF-425, Federal Financial Report to monitor cash. (Pertinent details regarding Progress Reports are provided above.). Institutions are required to submit the SF-425, Federal Financial Report, per Agency instructions. The office listed below provides agency oversight of these reports:

Capacity Grant Branch
Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2298
1400 Independence Avenue, SW
Washington, DC 20250-2298

Telephone: (202) 401-6520
Fax: (202) 690-3002

E-mail: formulagrants@nifa.usda.gov. (Pertinent details regarding Performance Monitoring Reports are provided above.).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entity fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entity fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Formula Grants (Apportionments)) FY 16 \$4,517,676; FY 17 est \$4,629,955; and FY 18 est \$4,473,600 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as

appropriate, and in some cases the availability of obligational authority from prior years.

NOTES:

(1) We agreed to report payments to states for all three years. Amounts reflect deduction for federal administration..

(2) Due to declining interest rates, the income from interest earned on investments of the Endowment corpus have gone down, thus resulting in lower funding amounts for the grant program.

(3) This program represents no year funds. In terms of availability of appropriations (time), there are no time limits as to when "no-year" funds may be obligated and expended and the funds remain available for their original purposes until expended.

Range and Average of Financial Assistance:

The Endowment Interest distribution increases each year in proportion to the Endowment Corpus and the interest earnings for each year; as well as the number of American Indians enrolled at each institution. The highest amount awarded was in 2009 was \$299,509 and the lowest amount was \$57,866. Three Institutions received amounts over \$200,000; 11 Institutions received amounts over \$100,000 and 18 Institutions received amounts under \$99,000.

NOTE: For Fiscal Year (FY) 2009, two (2) LGIs did not meet eligibility criteria for interest distribution.

TAFS Codes:

12-2017-X-5205.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Total distribution to the 34 eligible 1994 Institutions was \$4,517,676. Fiscal Year 2017: Total distribution to the 34 eligible 1994 Institutions is \$4,629,955. Fiscal Year 2018: For FY 2018, the projected amount is between \$4,473,000 and \$5,000,000.

Pertinent data to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

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References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Email: Policy@nifa.usda.gov Phone: (202) 720-2324 Fax: (202) 720-2030

Website Address:

<http://nifa.usda.gov/program/tribal-college-endowment-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Leech Lake Tribal College Endowment Program
The college used the program for faculty development and kept some funding in reserve for campus emergencies.

Bay Mills Endowment Program

The college used the program funding for faculty development and to print and broadcast recruiting materials.

Nebraska Indian Community College Endowment Program

The college purchased vehicles and repair service so students and faculty could have reliable on-campus transportation

Cankdeska Cikana Community College

The college used their funding for the daily operations and maintenance of the campus greenhouse, professional development for faculty and administrative support at the Land Grant office.

Blackfeet Community College Endowment

The college used their funding to support planning meetings to develop campus improvements, including a 10-year plan to support Land Grant centers and student housing. Fiscal Year 2017: Data is not yet available. Pertinent data to be provided by Program at a future date. Fiscal Year 2018: Data is not yet available. Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.223 HISPANIC SERVING INSTITUTIONS EDUCATION GRANTS

HSI Grants

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

This program is administered under the provisions of section 1455 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (NARETPA) (7 U.S.C. 3241) pursuant to section 815 of Public Law 104-127 and Public Law 110-246. Section 1455 of NARETPA, as reauthorized by section 7128 of Public Law 110-246, provides the Secretary of Agriculture with the authority to make competitive grants (or grants without regard to any requirement for competition) to Hispanic-Serving Institutions for the purpose of promoting and strengthening the ability of Hispanic-Serving Institutions to carry out education, applied research, and related community development programs., 7 U.S.C 3241.

OBJECTIVES:

The objective of this program is to promote and strengthen the ability of Hispanic-Serving Institutions to carry out higher education programs in the food and agricultural sciences. These programs aim to attract outstanding students and produce graduates capable of enhancing the Nation's food and agricultural scientific and professional work force. Grants under this program will be awarded to:

- (1) support the activities of consortia of Hispanic-Serving Institutions to enhance educational equity for underrepresented students;
- (2) strengthen institutional educational capacities including libraries, curriculum, faculty, scientific instrumentation, instruction delivery systems, and student recruitment and retention, in order to respond to identified State, regional, national, or international educational needs in the food and agricultural sciences;
- (3) attract and support undergraduate and graduate students from underrepresented groups in order to prepare them for careers related to the food, agricultural, and natural resource systems of the United States, beginning with the mentoring of students at the high school level and continuing with the provision of financial support for students through their attainment of a doctoral degree; and
- (4) facilitate cooperative initiatives between two or more Hispanic-Serving Institutions, or between Hispanic-Serving Institutions and units of State government or the private sector, to maximize the development and use of resources, such as faculty, facilities, and equipment, to improve food and agricultural sciences teaching programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to support one or more of the following target areas or additional target areas as identified in the annual program announcement: Curricula design; materials development; library resources; faculty preparation and enhancement for teaching; instruction delivery systems; scientific instrumentation for teaching; student experiential learning; and student recruitment and retention. 1. Award Limitations - Effective FY 2009, a lead institution may receive up to two awards. Institutions could serve as collaborators in many applications and awards.

2. Funding Limitations - Please see Part II, C.3., Award Size, for maximum

budget requests for regular or collaboration project applications. Effective FY 2009, there is no limitation on the amount of funding a single awardee may receive either as a lead institution, a cooperating institution, a subawardee or any combination thereof. The lead institution on a Collaboration Project must retain at least 25 percent and no more than 75 percent of the funds awarded.

3. Use of Funds for Facilities -

Under the Hispanic-Serving Institutions Education Grants Program, the use of grant funds to plan, acquire, or construct a building or facility is not allowed. With prior approval, in accordance with the cost principles set forth in OMB Circular No. A-21, some grant funds may be used for minor alterations, renovations, or repairs deemed necessary to retrofit existing teaching spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the alterations, renovations, or repairs are incidental to the major purpose for which a grant is issued.

4. Indirect Costs - Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

Hispanic serving institutions are eligible to receive funds under this program. "Hispanic serving institutions" means an institution of higher education which, at the time of application, has an enrollment of undergraduate full-time equivalent students that is at least 25 percent Hispanic students, and which

(1) admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such certificate;

(2) is a public or other nonprofit institutions accredited by a nationally recognized accrediting body; and (3) is legally authorized to provide a program of education beyond the secondary level for which a 2-year associate, baccalaureate, or higher degree is awarded. Applications may be submitted by, and awards may only be made to, public or other non-profit Hispanic-Serving Institutions as defined in the definitions section of this solicitation.

For the purposes of this program, the individual branches of a State university system or public system of higher education that are separately accredited as degree granting institutions are treated as separate institutions eligible for awards. Accreditation must be by an agency or association recognized by the Secretary, U.S. Department of Education. Institutions also must be legally authorized to offer at least a two-year program of study creditable toward an associate's or bachelor's degree. Separate branches or campuses of a college or university that are not individually accredited as degree granting institutions are not treated as separate institutions.

To be eligible for competitive consideration for an award under this program, a Hispanic-Serving Institution must at the time of application:

(1) certify that it has an enrollment of undergraduate full-time equivalent students that is at least 25 percent Hispanic students; and

(2) provide assurances that not less than 50 percent of the institution's Hispanic students are low-income individuals as defined in the definitions section of this solicitation.

Beneficiary Eligibility:

Hispanic serving institutions, as identified above, are eligible to receive funds under this program.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

<https://nifa.usda.gov/funding-opportunity/hispanic-serving-institutions-education-grants-program-hsi>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see:

<http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/hispanic-serving-institutions-education-grants-program-hsi>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/hispanic-serving-institutions-education-grants-program-hsi>

n-grants-program-hsi

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:
<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/hispanic-serving-institutions-education-grants-program-hsi>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/hispanic-serving-institutions-education-grants-program-hsi>.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/hispanic-serving-institutions-education-grants-program-hsi>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reereport> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport)
All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

(1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. PROGRESS REPORTS:

See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entities fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entities fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503. Relation

to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 16 \$8,840,842; FY 17 est \$8,818,240; and FY 18 est \$8,789,420 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/hispanic-serving-institutions-education-grants-program-hsi>.

TAFS Codes:

12-2017-2017-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year (FY) 2016, a total of \$ 8,840,842 was available to support projects. A total of 90 proposals were submitted for consideration for funding for this year, the nineteenth year of the program. Institutions submitting proposals were from the following states: Arizona, California, Florida, Illinois, New Mexico, New York, Texas, Washington, and Puerto Rico. In a two day panel, May 25-26, 2016 the grant applications were evaluated by a peer review panel comprised of faculty members from land-grant and non-land-grant universities, members from private industry, and representatives of the Federal government. Panelists represented various academic areas including business, agriculture, natural resources, forestry, veterinary medicine, family and consumer sciences, and closely allied disciplines. Based on the peer review deliberations, funds were available to support 28 grants. Each project has planned some form of collaboration and coordination efforts with a local USDA office. Fiscal Year 2017: In Fiscal Year (FY) 2017 the Hispanic-Serving Institutions (HSI) program has \$8,818,240 available to support grants. After tax deductions and panel costs, \$8,815,888.64 is available to States.

The Program received 80 proposals on this years competition. The HSI Panel was held on April 5-6, 2017 to review 63 proposals, since 17 of the 80 were continuation proposals that do not required to be peer-reviewed. Twenty panelists with expertise in education, natural resources, biotechnology, general agriculture, food and nutrition sciences, horticulture and animal sciences reviewed the proposals. A total of 29 proposals were recommended for funding, including seventeen continuation grants. The remaining 51 proposals

were declined.

A total of 63 applications were submitted in this years competition.

A total of 12 projects are being recommended for funding.

17 Continuation projects are recommended for funding. Fiscal Year 2018: According to the NIFA FY 2017 budget, \$8,789,420 will be available to support the HSI grants. The FY18 HSI RFA will be published in October 2017. Funds for eight (8) continuation awards will be provided as well as 15 new projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of

payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Email: Policy@nifa.usda.gov Phone: (202) 720-2324 Fax: (202) 720-2030

Website Address:

<http://nifa.usda.gov/program/hispanic-serving-institutions-education-grants-program>

RELATED PROGRAMS:

10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.217 Higher Education Challenge Grants; 10.220 Higher Education Multicultural Scholars Program; 10.226 Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Experiential Training in Use of Unmanned Aerial Systems (UAS) Technology For Agriculture Applications Texas A&M University-Corpus Christi

The primary purpose of this four-year interdisciplinary multi-HSI project is to increase the number of south Texas students graduating from 2-yr Associate-granting institutions (DMC, TSTC) transitioning to 4-yr Bachelor of Science and Masters degrees (TAMUCC, TAMUK, UTRGV) in fields related to the agricultural, plant and biological sciences. Faculty and staff at partner institutions will provide undergraduate and graduate training and education in the use of Unmanned Aerial Systems (UAS) technology for precision farming in agriculture. Targeted coursework will be created to include two (2) permanent on-line introductory courses in UAV technology and ethics and one (1) blended (on-line/hands-on) course in UAS applications. Training will include internships and assistance in research projects aligned with UAS as a tool for crop/soil management, plant and natural resource sciences. Products will produce 28 Bachelor of Science and 11 Masters of Science graduates working in food, agriculture, and natural resources related fields. This project will also affect 48 students from two-year academic institutions. Educational needs areas addressed by this project include: (1) curricula design in terms of development of new courses of study and (5) student experiential learning.

Texas State University will complete the EverGreens goal is to increase the number of Hispanic students with advanced technical degrees at the food-water-energy intersection. To meet this goal, we will train a diverse future workforce with the leadership and cross-disciplinary skills in science, technology and innovation to solve today's complex agricultural problems specifically those due to a changing climate, widespread food insecurity, and the lack of available water. Hispanic students will be recruited from Palo Alto College and San Antonio College to seamlessly transition into and complete degrees at Texas State University. Forty-four Hispanic students will receive personalized support through mentorships, tutoring, and professional development, as well as financial assistance through scholarships and paid internships. Through the collective attainment of 16 associate, 16 bachelor, and 12 Master of Science degrees, students will be prepared to enter positions in well-paid, technical jobs in agricultural-related fields. Fiscal Year 2017: San Diego State University aims to provide solutions to food security through interdisciplinary collaborations and development of a career pipeline in the fields of food and agriculture. We will build lecture and laboratory curricula around a traditional Milpa (multispecies interplanting farm) while simultaneously introducing modern urban agriculture and scientific

instrumentation. Students will work alongside indigenous farmers in Oaxaca, document and preserve traditional farming techniques. The hands-on experiences will enhance academic excellence while providing marketable skills that address the food security challenge. The recognition of scientific and cultural importance of Milpa will attract underrepresented and Latino students and incentivize their career choice in agriculture.

Texas A M- Kingsville will conduct the LEADERS 2 the Doctorate project that focuses on increasing underrepresented student success by becoming well-rounded leaders prepared to address needs related to animal health, production, and well-being. The purpose of this project is to increase the number of Hispanic leaders in animal science by developing experiential learning activities that increase retention/graduation rates, enhance scientific skills, and develop professionalism of an audience of underrepresented B.S. (n=20), M.S. (n=8), and Ph.D. (n=2) students. The LEADERS 2 program will produce experienced underrepresented graduates who are qualified to obtain advanced degrees, enter the work force as leaders in their field, and provide human capital necessary to enhance the nation's food supply. Program objectives will be met with our unique university exchange program, summer research internships, student research opportunities, leadership/soft skill development, conference participation, language development/teambuilding workshops, and collaborative, partnerships between HSI animal science programs and faculty from Ph.D. granting institutions.

The Lee College District will conduct the Get Responsible and Own Work (GROW) project will impact the horticulture program for 200 inmate students that are part of a certificate and associate degree program in Texas. Its goals are:

- (1) Practice in applying communication, problem-solving, self-management, and teamwork soft skills;
- (2) Practice in applying financial management principles to personal and business finances;
- (3) Increased degree completion rate for horticulture students;
- (4) Increased number of students articulate into related bachelors degree program; and
- (5) Majority of released completers in related employment after release. Fiscal Year 2018: Fiscal Year (FY) 2018, funds will support 13 Continuation and 11 REGULAR awards.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/hispanic-serving-institutions-education-grants-program-hsi>.

10.225 COMMUNITY FOOD PROJECTS

Community Foods

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

The Community Food Projects Competitive Grants Program (CFPCGP) legislative authority is located in Section 25 of the Food Stamp Act of 1977 (7 U.S.C. 2034), as amended by the Food and Nutrition Act of 2008 and Section 4402 of the Food, Conservation, and Energy Act (FCEA) of 2008 (Pub. L. 110-246), which authorizes a program of federal grants to establish and carry out Community Food Projects., 7 U.S.C 2034.

OBJECTIVES:

To support the development of community food projects designed to meet the food needs of low-income people; increase the self-reliance of communities in providing for their own needs; and promote comprehensive responses to local food, farm, and nutrition issues.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Community food projects are intended to take a comprehensive approach to developing long-term solutions that help to ensure food security in communities by linking the food sector to community development, economic opportunity, and environmental enhancement. Comprehensive solutions may include elements such as:

- (1) Improved access to high quality, affordable food among low-income households;
- (2) support for local food systems, from urban gardening to local farms that provide high quality fresh food, ideally with minimal adverse environmental impact; and
- (3) expanded economic opportunities for community residents through local business or other economic development, improved employment opportunities, job training, youth apprenticeship, school-to-work transition, and the like. Any solution proposed must tie into community food needs.

Successful applicants must provide matching funds, either in cash or in-kind amounting to at least 50 percent of the total cost of the project during the term of the grant award. 1. Construction and Renovation: With prior approval, and in accordance with applicable Federal cost principles, grant funds may be used to plan, acquire, or construct a building or facility, or to acquire land; and for improvements, alterations, renovations, or repairs to land or buildings, necessary to carry out a funded project under this program. However, requests to use grant funds for such purposes must demonstrate that such expenditures are essential to achieving the major purpose for which the grant request is made.

2. Indirect Costs: Full Negotiated Rate.

Special Note on Indirect Costs as in-kind matching contributions:

Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less.

An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 30 percent pursuant to FY 2012 appropriated funds, Section 720 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012 (Division A of Pub. L. 112-55), the awardee may request 15 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 30 percent.

Applicant Eligibility:

Proposals may be submitted by private nonprofit entities. Because projects must promote comprehensive responses to local food, farm, and nutrition issues, applicants are encouraged to seek and create partnership among public, private nonprofit and private for-profit organizations or firms.

To be further eligible for a grant, a private nonprofit applicant must meet three mandatory requirements:

1. Have experience in the area of:

- (a) community food work, particularly concerning small and medium-sized farms, including the provision of food to people in low-income communities and the development of new markets in low-income communities for agricultural producers; or

(b) job training and business development activities in low-income communities;

2. demonstrate competency to implement a project, provide fiscal accountability and oversight, collect data, and prepare reports and other appropriate documentation; and

3. demonstrate a willingness to share information with researchers, practitioners, and other interested parties.

Beneficiary Eligibility:

Low income people.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/community-food-projects-cfp-competitive-grants-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be accessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/community-food-projects-cfp-competitive-grants-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to

minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/community-food-projects-cfp-competitive-grants-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:
<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/secondary-education-two-year-postsecondary-education-and-agriculture-k-12>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/community-food-projects-cfp-competitive-grants-program>.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 100%. Successful CFP applicants and PP award applicants MUST provide matching on a dollar-for-dollar basis (100%) for all federal funds awarded.

Matching funds are not required for T & TA grants.

The legislation establishing the FSLC requires that as a condition to receiving a

grant from NIFA, the NGO must contribute in-kind resources toward implementing the grant. To comply with this provision, NIFA has determined that applicants must provide at least 25 percent of total project resources on an in-kind basis during the term of the grant award. The Federal share of FSLC costs can be no more than 75 percent of total project costs. CFP, PP and FSCL grantees may provide matching funds through cash and/or in-kind contributions, including third-party in-kind contributions fairly evaluated, including facilities. The non-federal share of the funding may come from state government, local government, other non-profit entities, or private sources. Examples of qualifying matching contributions may include direct costs such as: rent for office space used exclusively for the funded project; duplication or postage costs; and staff time from an entity other than the applicant for job training or nutrition education.

SPECIAL NOTES:

(1) Use of Indirect Costs as In-Kind Matching Contributions. Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent. (2) Matching funds are not required for training and technical assistance (T & TA) grants. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years.

2 CFR Part 200, Subpart D applies to this program.

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/community-food-projects-cfp-competitive-grants-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REEReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reereport> and the REEReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEReport Database--

Research, Education, and Extension project online reporting tool (REEReport) All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is:

REEReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until

a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. Cash reports are not applicable. **PROGRESS REPORTS:** See above for pertinent and specific details. **EXPENDITURE REPORTS:** See above for pertinent and specific details. **PERFORMANCE MONITORING:** See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F - Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entities fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entities fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and

the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-3505-0-1-605.

Obligations:

(Project Grants) FY 16 \$8,640,000; FY 17 est \$8,640,000; and FY 18 est \$8,640,000 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/community-food-projects-cfp-competitive-grants-program>.

TAFS Codes:

12-2017-2017-3505.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: During Fiscal Year (FY) 2016, 173 applications were received, and 33 awards were funded, totaling \$8.64 million.

The funding ratio is 19%. Fiscal Year 2017: During Fiscal Year (FY) 2017, 239 applications were received, and awards were funded, totaling \$8.64 million.

The funding ratio is 17%. Fiscal Year 2018: For FY 2018, \$8.64 million is appropriated for this program.

Pertinent data to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives

supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.
<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Safety and Nutrition, Division of Nutrition, 1400 Independence Avenue, SW., STOP 2225, Washington, District of Columbia 20250-2225 Email: Policy@nifa.usda.gov Phone: (202) 401-2138 Fax: (202) 401-6488.

Website Address:

<http://nifa.usda.gov/program/community-food-projects-competitive-grant-program-cfpcgp>

RELATED PROGRAMS:

10.572 WIC Farmers' Market Nutrition Program (FMNP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The MPS Farm Project and The Farm at Nellie Stone
The MPS Farm Project is a model for engaging students, teachers and the community in growing food and building healthy food skills. Youth Farm, along with our key partners Minneapolis Public Schools Culinary & Nutrition Services (MPS) and Nellie Stone Johnson Community School (NSJ) are partnering to focus on four (4) goals:

- (1) Increase understanding of food production and nutrition among youth and teachers through agricultural education, classroom lessons and school meals;
- (2) Increase community understanding of food production, purchasing and preparation through nutrition education and engagement in the farm project to

support community health and self-reliance;

- (3) Increase access to diverse, healthy food options in order to support healthy Minneapolis communities; and
- (4) Create a scalable and replicable partnership model for supporting school communities in food, nutrition, youth development and agricultural education.

The MPS Farm Project and The Farm at Nellie Stone will be developed over the course of five (5) years, engaging students and teachers at NSJ, teachers and school garden coordinators throughout the MPS district, Youth Farm, youth, and community members from Hawthorne and surrounding neighborhoods. These partners will collaboratively build a 23-acre farm and outdoor education space, including a greenhouse and hoop house, creating food access and developing a model for partner schools that connects the farm, the cafeteria, and the classroom through experiential education that aligns with MPS standards and Youth Farms youth development model.

Integrating Workforce Training For Foster Youth With A Community Food Web: Culinary Arts, Agriculture, and Nutrition (I-Can)

The proposed project is designed to develop and maintain a network of services to assist young adults recently emancipated from the foster care system, and brings together the resources of a large comprehensive university, an arboretum, a non-profit social enterprise, and a NIFA-funded community-based education and research project. The goals of the program are to:

- (1) to increase knowledge and skills of sustainable urban agriculture;;
- (2) increase knowledge and practice of Dietary Guidelines for Americans in coordination with increasing culinary skills;
- (3) to develop business and entrepreneurial skills through the creation, testing, and sale of value-added products based on fruits and vegetables from the arboretum; and
- (4) to embed community-based research into the evaluation of the program.

Healthy Here: Bernalillo County Community Food Projects And Mobile Food Market

Presbyterian Healthcare Services will serve as the backbone agency for a collective impact partnership to address food insecurity and health disparities in Bernalillo County, New Mexico. Organization partners include Agri-Cultura Network, Adelante, Kids Cook!, Street Food Institute, and First Choice Community Healthcare. Partners along with a community-based Governance Committee will plan and carry out a four-year project incorporating a Mobile Food Market that sells locally grown produce and integrates outreach and education about cooking, nutrition, and gardening. Target beneficiaries are low-income residents of the South Valley and the International District. Residents here face challenges affording healthy produce and may not have ready transportation to reach the limited number of supermarkets and other retailers selling produce. The Mobile Food Market will stop at points of community intersection like schools, clinics, and senior centers. Programming also includes public workshops about gardening and food and a train-the-trainer model to produce Community Food Leaders. The project will also employ area residents as trained Community Food Educators. Project goals include increasing access to nutritious food among low-income residents; developing local leadership and food security; supporting local farming of high-value crops and agricultural economic development; improving healthy eating and reducing area health disparities; and strengthening linkages between agricultural, entrepreneurial, health, and human service sectors to create a vibrant local food economy.

Rebuilding Local Food Systems in Farmworker Communities Project
The Farmworker Association of Florida, a community-based organization, proposes to expand its Rebuilding Local Food Systems (RLFS) in Farmworker Communities project, whose goal is trifold: to increase small-scale food production in farmworker communities; to increase low-income community members access to and consumption of affordable, organic foods; and to raise low income community members awareness about the importance of producing and consuming fresh fruits and vegetables that are locally grown using methods that conserve natural resources. Toward this goal, the RLFS project has three (3) distinct objectives:

- (1) to establish/improve community gardens in four (4) farmworker communities to serve as small-scale agriculture demonstration sites to exemplify potential farm economic development opportunities;
- (2) to develop/implement a food and farm entrepreneur program to provide education and technical assistance to community members on becoming small farmers by establishing backyard farm operations or forming agricultural cooperatives; and
- (3) to provide sustainable agriculture, nutrition, and healthy living education to farm worker families and other low-income persons in the target communities.

The primary beneficiaries and engaged populations of the RLFS project are minority, low-income residents of the agricultural areas of South Apopka, Fellsmere, Pierson, and Homestead/Florida City. The Rebuilding Local Food Systems project aligns well with the following Community Food Projects Competitive Grants Program (CFPCGP) objectives and/or priorities: meet the food needs of low-income individuals; increase the self-reliance of communities in providing for the food needs of the communities; and promote comprehensive responses to local food access, farm, and nutrition issues. Fiscal Year 2017: Buffalo Community Food Hub Project will engage low-income community members, creating a neighborhood food hub, supporting market gardens, a Mobile market, job training and greater healthy food access. The Massachusetts Avenue Project (MAP) and Grassroots Gardens of Western NY (GGWNY) will partner to implement the Buffalo Community Food Hub Project (BCFHP). Building leadership among low-income youth, new Americans and community gardeners in Buffalo, NY, the project will increase food self-reliance of Buffalo residents, promote comprehensive responses to local food and nutrition issues and meet the food needs of low-income people. A new Farmhouse and Community Food Training Center will be established at MAP's urban farm on Buffalo's West Side that will serve as a neighborhood food hub and support the creation of market gardens and new market opportunities for local growers, food-based employment and training for disadvantaged youth, greater food access thru Mobile Market expansion, food preparation and preservation education and community organizing to support school and municipal policy in support of local procurement and greater food equity.

Da Bus Mobile Market and Food Pantry

Da Bus Mobile Market and Food Pantry Project is a three (3) year initiative of The Kohala Center and Hawaii Island Food Alliance to address food insecurity and health disparities on Hawaii Island. The project goals are to improve access to affordable healthy food among low-income residents; build target area community involvement in addressing food security; support local agricultural development; improve healthy eating and reduce health disparities in chronic diseases; and strengthen linkages between agricultural, entrepreneurial, health, and human service sectors to create a secure local food economy. To achieve these goals the project will provide for a Mobile Market which will distribute locally-grown produce, staple food items, seeds, and garden starts to low-income residents on Hawaii Island; integrate outreach education on cooking, nutrition, and gardening; provide Supplemental Nutrition Assistance Program (SNAP) Outreach materials and services; and serve as an emergency food pantry. The Mobile Market will stop at designated community gathering sites in low-income/low-access rural areas including senior centers, low-income housing complexes, and County Park and Recreation centers. Project partners include non-profits, health institutions, university agricultural extension agents and nutritionists, farmers, and local grocers. The project is in alignment with CFPCGP goals by directly addressing the needs of low-income individuals with limited access to healthy food through a long-term solution to food distribution; increasing community self-reliance and encouraging healthy lifestyle development through gardening and cooking classes; and increasing the economic viability of the local food economy by supporting local farmers and grocers.

Farms to Food Banks Capacity-Building Project

The Farms To Food Banks Capacity Building Project will reduce food insecurity in Kentucky and strengthen the linkages between key sectors of Kentucky's food system, including farmers, nonprofit food distributors and low-income consumers. Outcomes include increasing the amount of fresh produce distributed to low income Kentuckians by 9 million, increasing by 20% the number of food bank patrons that are aware of the benefits of healthy eating

and possessing the skill necessary to prepare fruits and vegetables; and increasing by 200 the number of Kentucky farmers benefitting from a new market for Number 2-grade and surplus produce and an average increase in cash flow of \$2,500.00. The goals and outcomes will be received by providing equipment, such as walk-in coolers and air filtration systems, necessary for safe distribution of fresh produce by food banks and food pantries, by paying farmers to help cover their costs of picking, packing and delivering fresh produce to food banks, and by providing nutrition education services to low-income patrons of food banks and food pantries. Low-income individuals were involved in program planning and will be actively engaged in program implementation by serving as paid evaluation assistants. The self-reliance of Kentucky communities will be increased by supporting Kentucky farmers working to diversify their production from tobacco to fruits and vegetables. Ultimately, the project will help Kentucky do a better job feeding itself.

Community to Gardens and Back Again: Feeding Laramie Valley's community-engaged and driven project to increase sustainable, equitable access to fresh, healthy food in Albany County, Wyoming
 Community to Gardens and Back Again: Feeding Laramie Valley's community-engaged and driven project to increase sustainable, equitable access to fresh, healthy food in Albany County, Wyoming. Feeding Laramie Valley (FLV) is a nonprofit organization located in the high elevation, rural community of Albany County, Wyoming. Headquartered in Laramie since its inception in 2009, FLV leads and facilitates collaborative community projects for sustainable food security, collecting and producing fresh fruits and vegetables for distribution to local residents who do not have sufficient access to enough fresh food to live a healthy life, in an effort to address the fact that Albany County has the highest food insecurity rate in Wyoming. The goals of a Community Food Project for Feeding Laramie Valley, are designed to create a sustainable, long term approach to addressing food security in Albany County, Wyoming; to open avenues for greater community voice and power in the local food system; to increase the availability of fresh, locally-produced, healthy, affordable food; reduce the high incidence of food insecurity in communities touched by FLV projects; increase awareness and understanding of the connection between fresh produce and improved health; and to forge stronger community ties and networking among gardeners, farmers, entrepreneurs, volunteers, food system. Plans to accomplish these goals include expansion of community and backyard.

Richmond Healthy Corner Store Initiative Expansion Project (RCHD)

In the first year of the proposed Healthy Corner Stores Sustainability Project, RCHD and its partner, Shalom Farms, a nonprofit which is currently the sole distributor of produce to Healthy Corner Stores, will introduce new approaches for produce aggregation, storage, and distribution with a small subset of stores in order to determine the best long-term market-driven "free market"-based model for corner stores in Richmond. In the remaining two (2) project years, RCHD and Shalom will onboard and/or transition all Healthy Corner Stores to the new model, creating a streamlined system by which produce from regional farmers is aggregated, stored, and distributed to corner stores through well-established retailers and intermediaries, primarily Rudy's Exotic Mushrooms and Produce. By 2020, the proposed project will transform corner store-based food access work in Richmond from a public health intervention reliant on grant funding for operation to a financially lucrative partnership between vendors and store owners which will sustain mutual investment, spur additional store owners and vendors to participate in future years, and ensure access to a variety of fresh, local produce in Richmond's communities of greatest need. Fiscal Year 2018: Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA). 1. The applicability and merit of the proposed project in regard to its ability to: Meet the food needs of low-income people in the proposed community for providing for its own food needs; and promote comprehensive responses to local food, farm, and nutrition needs; 2. the capacity to become self-sustaining once Federal funding ends; and 3. organizational and staff qualifications and experience; and 4. additional criteria will be considered relative to the extent the proposed project contributes

to: (a) developing linkages between two or more sectors of the food system; (b) supporting the development of entrepreneurial projects; (c) developing innovative linkages between the for-profit and nonprofit food sectors; (d) encouraging long-term planning activities and multi-system, interagency approaches; and (e) incorporating linkages to one or more ongoing USDA themes or initiatives referred to in the program guidelines and/or annual proposal solicitation.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/community-food-projects-cfp-competitive-grants-program>.

10.226 SECONDARY AND TWO-YEAR POSTSECONDARY AGRICULTURE EDUCATION CHALLENGE GRANTS

SPECA Grants Program

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, (7 U.S.C. 3121) designates the U.S. Department of Agriculture (USDA) as the lead Federal agency for agriculture research, extension and teaching in the food and agricultural sciences. Section 7109 of the Food, Conservation, and Energy Act of 2008 (P.L. 110-246) amends the authority for this program contained in section 1417(j) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (7 U.S.C. 3152(j)). In accordance with the statutory authority, subject to the availability of funds, the Secretary of Agriculture (USDA), who has delegated the authority to the Director of the National Institute of Food and Agriculture (NIFA), will award grants to: (a) promote and strengthen secondary and 2-year postsecondary agriscience and agribusiness education, and Agriculture in the K-12 Classroom, in order to help ensure the existence in the United States of a qualified workforce to serve the food and agricultural sciences system; and (b) promote complementary and synergistic linkages among secondary, 2-year postsecondary, and higher education programs in the food and agricultural sciences in order to attain excellence in education and to encourage more young Americans to pursue and complete a baccalaureate or higher degree in the food and agricultural sciences., 7 U.S.C 3121; Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, (7 U.S.C. 3121) designates the U.S. Department of Agriculture (USDA) as the lead Federal agency for agriculture research, extension and teaching in the food and agricultural sciences. Section 7109 of the Food, Conservation, and Energy Act of 2008 (P.L. 110-246) amends the authority for this program contained in section 1417(j) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (7 U.S.C. 3152(j))., 7 U.S.C 3152 (j).

OBJECTIVES:

The purpose of the Secondary Education, Two-Year Postsecondary Education, and Agriculture in the K-12 Classroom Challenge (SPECA) Grants Program is to: enhance curricula in agricultural education; increase faculty teaching competencies; interest young people in pursuing higher education in order to prepare for scientific and professional careers in the food and agricultural sciences; promote the incorporation of agriscience and agribusiness subject matter into other instructional programs, particularly classes in science, business, and consumer education; facilitate joint initiatives by the grant recipient with other secondary schools, institutions of higher education that award an associate's degree, institutions of higher education that award a bachelor's degree, and nonprofit organizations supporting agriscience and agribusiness education, to maximize the development and use of resources, such as faculty, facilities, and equipment, to improve agriscience and agribusiness education; support other initiatives designed to meet local, State, regional, or national needs related to promoting excellence in agriscience and

agribusiness education; and support current Agriculture in the Classroom programs for grades K-12.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used only in targeted areas, e.g., curricula design and materials development, promotion of teaching competencies, promotion of agriscience and agribusiness career information, instruction delivery systems, student experiential learning, and educational activities that increase the diversity of students pursuing degrees in agriscience and agribusiness. NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities. Tuition remission not allowed. Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

****Special Note on Indirect Costs as in-kind matching contributions:** Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less.

An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less.

For example, if an awardee's indirect costs are capped at 30 percent pursuant to FY 2012 appropriated funds, Section 720 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012 (Division A of Pub. L. 112-55), the awardee may request 15 percent of the indirect costs on both the Federal portion of the award and as a matching contribution.

Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 30 percent. Fully discretionary.

Applicant Eligibility:

Public secondary schools or public or private nonprofit junior and community colleges.

Beneficiary Eligibility:

Public secondary schools or public or private nonprofit junior and community colleges.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/secondary-education-two-year-postsecondary-education-and-agriculture-k-12>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USFAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for

Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/secondary-education-two-year-postsecondary-education-and-agriculture-k-12>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/secondary-education-two-year-postsecondary-education-and-agriculture-k-12>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:
<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:
<https://nifa.usda.gov/funding-opportunity/secondary-education-two-year-postsecondary-education-and-agriculture-k-12>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:
<https://nifa.usda.gov/funding-opportunity/secondary-education-two-year-postsecondary-education-and-agriculture-k-12>.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 100%. A grant recipient is required to match the USDA funds awarded on a dollar-for-dollar (100%) basis from non-Federal sources.

Matching funds are not required to come in any particular amount from any one source.

However, all matching funds pledged in this application must be accompanied by documentation. See Part IV, B.5. SF 424 (R&R) Budget Fed and Non-Fed, Field K-Budget Justification, for additional details.

****Note #2 - Use of Indirect Costs as In-Kind Matching Contributions.** Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget).

However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less.

An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution.

Or, the awardee may request any similar percentage that, when combined, does

not exceed the maximum indirect cost rate of 22 percent. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:
<https://nifa.usda.gov/funding-opportunity/secondary-education-two-year-postsecondary-education-and-agriculture-k-12>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REEReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reerport> and the REEReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEReport Database--

Research, Education, and Extension project online reporting tool (REEReport) All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is:

REEReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. **PROGRESS REPORTS:** See above for pertinent and specific details. **EXPENDITURE REPORTS:**

See above for pertinent and specific details. **PERFORMANCE MONITORING:** See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entity's fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 16 \$852,300; FY 17 est \$847,125; and FY 18 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTE:

Funding associated with this program has been transferred to the Department of Education or the National Science Foundation in the President's FY 2016 Budget proposal. Therefore, FY 2018 funds are not reported for the NIFA program.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/secondary-education-two-year-postsecondary-education-and-agriculture-k-12>.

TAFS Codes:

12-2017-2017-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The initial Appropriation was \$852,300. After legislatively mandated set-asides, the amount available to fund projects was \$841,730.

In FY 2016, 73 proposals were accepted for review. A total of 12 awards are recommended for funding.

The composition of awards follows: five (5) regular, three (3) planning, and four (4) joint projects.

The funding rate is 16.4%. Fiscal Year 2017: The initial Appropriation is \$847,125. After legislatively mandated set-asides, the amount available to fund projects is \$845,250.

In FY 2017, 66 proposals were accepted for review. A total of ten (10) awards are recommended for funding. The composition of awards follows: four (4) regular, three (3) planning, two (2) collaborative type 1, and one (1) collaborative type 2.

The funding rate is 15%. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded.

Information is not yet available. Pertinent data to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:
<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE
NIFAs Federal Assistance Policy Guide describes agency policies and procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS
Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA
When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS
The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.
<https://nifa.usda.gov/federal-regulations>

FOIA
The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE
The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE
The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT
NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Email: Policy@nifa.usda.gov Phone: (202) 720-2324 Fax: (202) 720-2030.

Website Address:

<http://nifa.usda.gov/program/secondary-education-two-year-postsecondary-education-and-agriculture-k-12-classroom>

RELATED PROGRAMS:

10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.216 1890 Institution Capacity Building Grants; 10.217 Higher Education Challenge Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Cultivating an Edible Education

Project incorporates both technical knowledge in the FANH sciences throughout core courses in biology, chemistry, physics, environmental science and history, and soft skills by employing project-based learning, dedicated instructional units, community engagement, field trips and summer programs to reach disadvantaged students from Rochester public schools.

Engaging secondary students in agricultural science career paths through integration of controlled environment food production education

The purpose of this project is to develop and deliver an innovative agriculture science curriculum and equip educators in its use to promote student proficiency in high school and increase enrollment in agriculture and food production tracks in post-secondary schools as well as later careers. This project will center on the use of controlled environment for soilless vegetable growing systems as a tool to enhance 9th through 12th grade chemistry, biology, and agriculture science education.

Building Capacity for School Based Agricultural Education in the Food Insecure Region of Michigan's Upper Peninsula

Michigan State University Upper Peninsula Research and Extension Center (UPREC) in partnership with the U.P. Food Exchange (UPFE) and the Growing

U.P. Agricultural Association (GUPAA) seeks to support the establishment of formal, school-based agricultural, food, and natural resource (AFNR) education programs in the Upper Peninsula (U.P.) of Michigan. Fiscal Year 2017:

Enhancing the future poultry workforce through STEM-based industry exposure and engagement

This three-year project will support a total of 405 students and faculty through a curriculum that combines STEM knowledge with career development using the poultry industry as its focal point.

Collaborative Learning between Higher Education and High School Students Dually-Enrolled in Online Nutrition

This two-year project will support partnerships and networking among academic institutions. It will advance agricultural education by fostering partnerships between high schools and colleges in the area of nutrition. The grant will strengthen content and delivery of a high school nutrition course and allow junior and senior high school students to take a dual enrollment in a college level nutrition course for credit.

Connecting Land-Grant University Research Centers to Secondary Agricultural Education Students in Idaho, Kansas, and Oklahoma

This three-year project will support 7,290 faculty and students through a curriculum development initiative involving a partnership of three Land Grant Institutions: University of Idaho, Kansas State University and Oklahoma State University. The project will create opportunities for high school students to have hands-on research experiences in agri-science at university research centers. To ensure the students get the most out of their research experience the project will provide mentoring to high school agricultural teachers and participating university researchers so they can optimize their ability to teach and develop students talents. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded.

Information is not yet available. Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/secondary-education-two-year-postsecondary-education-and-agriculture-k-12>.

10.227 1994 INSTITUTIONS RESEARCH PROGRAM

Tribal Colleges Research Grants Program (TCRGP)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), as amended (7 U.S.C. 3121), designates the U. S. Department of Agriculture (USDA) as the lead Federal Agency for agricultural research, extension, and teaching in the food and agricultural sciences. Authority for this program is contained in the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note), as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7601 note). In accordance with the statutory authority, subject to the availability of funds, the Secretary of Agriculture may award competitive grants, as defined in section 536 of the Equity in Educational Land Grant Status Act of 1994 (7 U.S.C. 301 note), to assist the 1994 Land-Grant Institutions in conducting agricultural research that addresses high priority concerns of tribal, national or multi-state significance., 7 U.S.C 301 note.

OBJECTIVES:

The Tribal Colleges Research Grants Program (TCRGP) (aka 1994 Institutions

Research Program) is a competitive grants program supporting fundamental and/ or applied agricultural research projects that address high priority concerns of tribal, national or multi-state significance. The program funds investigative and analytical studies and experimentation in the food and agricultural sciences (as defined in section 1404 of the NARETPA (7 U.S.C. 3103) and Part VIII, E. of this RFA). TCRGP seeks to advance the body of knowledge in the basic and applied natural and social sciences within the food and agricultural sciences.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

This research grants program is authorized to conduct agricultural research that addresses high priority concerns of tribal, national or multi-State significance. NIFA has determined that grant funds may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities. Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

Bay Mills Community College, Blackfeet Community College, Cankdeska Cikana Community College, Cheyenne River Community College, Dine Community College, D-Q University, Dullknife Memorial College, Fond Du Lac Community College, Fort Belknap Community College, Fort Berthold Community College, Fort Peck Community College, LacCourte Orielles Ojibwa Community College, Little Big Horn Community College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Salish Kootenai College, Sinte Gleska University, Sisseton Wahpeton Community College, Sitting Bull College, Stonechild Community College, Turtle Mountain Community College, United Tribes Technical College, Southwest Indian Polytechnic Institute, Institute of American Indian Arts, Crownpoint Institute of Technology, Haskell Indian National University, Leech Lake Tribal College, College of the Menominee Nation, and Little Priest Tribal College.

Beneficiary Eligibility:

Current Listing of 1994 Land-Grant Institutions (aka Tribal Colleges):

Aaniih Nakoda College; Bay Mills Community College; Blackfeet Community College; Cankdeska Cikana Community College; Chief Dull Knife College; College of Menominee Nation; College of the Muscogee Nation; Dine College; Fond du Lac Tribal and Community College; Fort Peck Community College; Haskell Indian Nations University; Ilisagvik College; Institute of American Indian Arts; Keweenaw Bay Ojibwa Community College; Lac Courte Oreilles Ojibwa Community College; Leech Lake Tribal College; Little Big Horn College; Little Priest Tribal College; Navajo Technical University; Nebraska Indian Community College; Nueta, Hidatsa and Sahnish College; Northwest Indian College; Oglala Lakota College; Saginaw Chippewa Tribal College; Salish Kootenai College; Sinte Gleska University; Sisseton Wahpeton College; Sitting Bull College; Southwestern Indian Polytechnic Institute; Stone Child College; Tohono Oodham Community College; Turtle Mountain Community College; United Tribes Technical College; and White Earth Tribal and Community College.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-research-grants-program-tcrgp>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and

Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-research-grants-program-tcrgp>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

(a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;

(b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;

(c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;

(d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-research-grants-program-tcrgp>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-research-grants-program-tcrpg>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.
2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-research-grants-program-tcrpg>.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. NIFA does not require matching or cost sharing support for this program.

Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e]. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years.

2 CFR Part 200, Subpart D applies to this program.

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-research-grants-program-tcrpg>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/rereport> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport) All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. Cash reports are not applicable. PROGRESS REPORTS:

See above for pertinent and specific details. EXPENDITURE REPORTS:
See above for pertinent and specific details. PERFORMANCE MONITORING:
See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entities fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entities fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503. Relation to other audit requirements, but records must be available for review or audit by

appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$1,674,391; FY 17 est \$1,668,633; and FY 18 est \$1,665,845 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

This program represents no year funds.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/tribal-colleges-research-grants-program-tcrgp>.

TAFS Codes:

12-2017-X-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The National Institute of Food and Agriculture (NIFA) made ten (10) awards to seven (7) institutions totaling \$1,674,391.

The 1994 Research Program is a competitive grant where recipients are selected via peer review.

Applicants may apply at four (4) different levels. A Student Research Experience can be funded up to \$65,000; a Research Capacity Building Option up to \$85,000 and a New Discovery Research option up to \$200,000 and New Discovery with significant student research [five (5) or more students] set at \$220,000.

There is no limit on the number of applications that can be submitted by an institution and multiple awards can be made.

In FY 2016, there were two (2) student projects, one (1) capacity and seven (7) new discovery; four (4) of those included significant student research.

Further, two (2) proposals that were not funded in FY 2015, re-submitted enhanced applications and received awards in FY 2016. Fiscal Year 2017: NIFA made ten (10) awards to seven (7) institutions totaling \$1,668,633. One (1) school received two (2) awards.

The 1994 Research Program is a competitive grant where recipients are selected via peer review. There were 18 proposals accepted for review. Each proposal was evaluated and scored by three (3) reviewers.

Applicants could apply at five (5) different levels. A Student Research Experience can be funded up to \$60,000; a Research Capacity Building Option up to \$95,000 and a New Discovery Research option up to \$200,000 and New Discovery with significant student research [five (5) or more students] set at \$220,000. In 2017 it was also possible to receive a Pathways to Research grant. This grant helps a 1994 Land Grant build scientific capacity in order to become a more competitive funding candidate. Pathways awards were funded up to \$59,954.

For the FY 2017 program, there were no restrictions in the number of applications that an eligible institution may submit through grants.gov. NIFA would only award up to two (2) research awards to any one (1) institution in the New Discovery Research option and up to two (2) awards in the Capacity Building option with a limit of three (3) awards total to any one (1) eligible institution in response to the FY 2017 RFA. An institution applying for a Pathways to Research award could not receive more than one (1) award overall.

In FY 2017, there were two (2) pathways projects, two (2) student projects, one (1) capacity and nine (9) new discovery; seven (7) of those included significant student research.

Further, one (1) proposal that was not funded in FY 2016, re-submitted enhanced applications and received an award in FY 2017. Fiscal Year 2018: Data is not yet available. NIFA projects that the amount available for awards will be \$1,665,845. Pertinent data to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:
<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE
NIFAs Federal Assistance Policy Guide describes agency policies and procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS
Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA
When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS
The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.
<https://nifa.usda.gov/federal-regulations>

FOIA
The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Email: Policy@nifa.usda.gov Phone: (202) 720-2324 Fax: (202) 720-2030

Website Address:

<http://nifa.usda.gov/program/tribal-college-research-grant-program>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016; Turtle Mountain Community College, Anishinabe Campus Student Research Experience. Using Biological Indicators as Measures for Water Quality

Turtle Mountain Community College students will use biological indicators to measure water quality in Belcourt Lake. This project builds off of previous student research projects, which also used macroinvertebrates to evaluate water quality in Ox Creek, a waterway fed by the spill way of Belcourt Lake. The previous project found high levels of lead in sloughs north and west of Belcourt Lake. The project director expects six (6) students to have an intensive training in research and leadership skills.

An Undergraduate Research Experience to Develop Improved Forest Carbon and Biomass Models for the Salish-Kootenai & Nez Perce Inventory Programs

The project supports sustainable silviculture of indigenous forests and bioenergy production. It will also train students through hands-on experiences

in forestry research. The collaborators are the University of Montana College of Forestry and Conservation, a McIntire-Stennis institution. Tribal partners are the forestry departments of the Nez Perce, Confederated Salish and Kootenai Tribes.

Conservation Bison Herd Health and Well-Being

This research will evaluate the effect of diet, parasites and disease on the reproductive fitness of Fort Peck Tribes bison herds. The Fort Peck Tribes Yellowstone conservation herd had a calving rate of essentially 100 percent in 2015. However, in the same year, the tribes commercial herds calving rate was only 75 percent. This study whether herd health is the deciding factor in the calving rates. The project director reports that the Fort Peck Tribes conservation herd offers a rare opportunity to conduct this evidence-based research, as its genetics are devoid of cattle DNA introgression. The work will be conducted with direct involvement of three (3) Fort Peck Community College students supervised by faculty from that institution.

Plant Phenology as an Indicator of Forest Community Resilience and Adaptive Capacity

This research will evaluate how climate changes affects how plants emerge, flower, produce seed and go dormant. Observing changes in plant life cycles and their reproductive fitness could provide strong indicators of changing climate conditions and assist natural resource managers in responding to change. In particular, this project will identify which plant species can provide early warning indicators of climate change in Northeastern Wisconsin.

New Discovery: Atmospheric Mercury Loading In The St. Louis River Watershed And Potential Correlation With Dragonfly Bioaccumulation

The project director will guide students as they measure the mercury deposition in the St. Louis River watershed. Students will test for correlation between dry deposition and bioaccumulation of mercury in dragonfly larvae. The data will help tribal natural resource managers and health officials to protect those who rely on the watersheds fish and plant life food. This study, which builds on previous student research, is also timely in that recent studies find that one (1) in ten (10) infants on the North Shore of Lake Superior have unsafe levels of mercury in their blood. Fiscal Year 2017: Building A Community-Based Research Plan At Tohono Oodham Community College

This two-year, Pathways to Research proposal will support a partnership with University of Arizona and meetings to develop an Indigenous Research program at the college. Project directors will reach out to students and the greater community to learn about what they would support in a new research program that is adapted to the needs of the Tohono O'odham Reservation.

IAIA Student Agricultural Research Project Phase II

This two-year Student Research project builds on a previous program to provide arts students with an opportunity to study science. Working with New Mexico State University, IAIA will train students in agriculture research methods applicable to farms along the Rio Grande and Pecos River corridors. This will enhance science literacy among all participants and may engage a few students in a new academic career.

Implementing Interdisciplinary Experiential Learning at a Tribal College

This two-year Capacity project will focus on building new collaborations with North Dakota State University. The project will investigate the potential of equine assisted learning as a means to address the community concerns about loss of culture and low academic achievement among reservation youth.

Effectiveness of sub-irrigation in the Milk River floodplain, Montana

This two-year, New Discovery project will investigate whether a sub-irrigation system can address the agricultural needs of in semi-arid regions of northcentral Montana. The Aaniiih Nakoda College community garden will serve as a demonstration plot for this new tool to manage soil moisture and nutrient

management. The project director also hopes to attract more students to the environmental science program with the project. Montana State University-Bozeman will partner with the college on this research.

Strengthening Little Big Horn College Research Capacity through Improving Rural Families' Access to Safe Drinking Water

This two-year, New Discovery project, a partnership with the University of Wisconsin-Madison, seeks to apply archeology to develop a more sustainable agriculture on the Menominee reservation. The project builds on three (3) tribal research capacity grants and will explore Menominee agricultural practices from 750 to 1650 AD to gain insights on systems that could benefit modern agriculture during times of climate change. Thirty students will benefit from this project, including ten student interns, qualifying it for the enhanced student funding option. Fiscal Year 2018: Data is not yet available. Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-research-grants-program-trcgp>.

10.228 ALASKA NATIVE SERVING AND NATIVE HAWAIIAN SERVING INSTITUTIONS EDUCATION GRANTS

ANNH Grants Program

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

This program is administered under the provisions of section 759 of Public Law 106-78 (7 U.S.C. 3242) which was amended and redesignated as section 1419B of the National Agricultural Research, Extension, and Teaching Policy Act (NARETPA) of 1977 (7 U.S.C. 3155). As legislatively reauthorized in the Public Law 110-246, the purpose of this program continues to promote and strengthen the ability of eligible Alaska Native-Serving Institutions and Native Hawaiian-Serving Institutions to carry out education, applied research, and related community development programs within a broadly defined area of food and agricultural sciences and related disciplines. In FY 2009, the Secretary will make competitive grant awards to individual eligible institutions or consortia of eligible institutions in Alaska and in Hawaii, with funds awarded equally to each of the states of Alaska and Hawaii., 7 U.S.C 3242.

OBJECTIVES:

The purpose of this program is to promote and strengthen the ability of Alaska Native-Serving Institutions and Native Hawaiian-Serving (ANNH) Institutions to carry out education, applied research, and related community development programs. NIFA intends this program to address educational needs, as determined by each institution, within a broadly defined area of food and agricultural sciences and related disciplines.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to enhance educational equity for under-represented students in order to prepare them for careers related to the food, agricultural, and natural resource systems of the United States; to strengthen institutional educational capacities, including libraries, curriculum, faculty, scientific instrumentation, instruction delivery systems, and student recruitment and retention, in order to respond to identified educational needs in the food and agricultural sciences; and to facilitate activities and cooperative initiatives between AN/NH Serving Institutions, or between AN/NH Serving Institutions and units of State government or the private sector, to maximize the development and use of resources, faculty, facilities, and equipment, to improve food and agricultural sciences teaching programs. The use of grant funds to

plan, acquire, or construct a building or facility is not allowed under this program. With prior approval, and in accordance with the cost principles set forth in OMB Circular No. A-21, some grant funds may be used for minor alterations, renovations, or repairs deemed necessary to retrofit existing teaching or research spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the alterations, renovations, or repairs are essential to achieving the major purpose of the project. Grant funds may not be used for endowment investing. Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Public Law 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

Individual public or private, non-profit Alaska Native-Serving and Native Hawaiian-Serving Institutions of higher education that meet the definitions of Alaska Native-Serving Institution or Native Hawaiian Serving Institution established in Title III, Part A of the Higher Education Act of 1965, as amended (20 U.S.C. 1059d.) are eligible institutions under this program.

Beneficiary Eligibility:

Alaska Native Serving Institutions and Native Hawaiian Serving Institutions.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

- (i) Be registered in SAM before submitting its application;
- (ii) Provide a valid DUNS number in its application; and
- (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of

Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/alaska-native-serving-and-native-hawaiian-serving-institutions-education>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USFAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/alaska-native-serving-and-native-hawaiian-serving-institutions-education>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;

(b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;

(c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;

(d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/alaska-native-serving-and-native-hawaiian-serving-institutions-education>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/alaska-native-serving-and-native-hawaiian-serving-institutions-education>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/alaska-native-serving-and-native-hawaiian-serving-institutions-education>.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. NIFA does not require matching or cost sharing support for this program.

Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/alaska-native-serving-and-native-hawaiian-serving-institutions-education>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reepreport> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport) All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions

in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. Cash reports are not applicable. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entity's fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 16 \$3,065,565; FY 17 est \$3,064,215; and FY 18 est \$3,058,455 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:
<https://nifa.usda.gov/funding-opportunity/alaska-native-serving-and-native-hawaiian-serving-institutions-education>.

TAFS Codes:

12-2017-2017-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: For the Fiscal Year (FY) 2016 ANNH award cycle, a total of \$3,065,565 was available for awards after deducting legislatively authorized set-asides.

Project types were: Regular (\$150,000 - \$450,000) and Consortium Grant (\$300,000 - \$1,500,000) applications.

Each eligible individual institution, independent branch campus, and branch institution of State system may receive, or be a party to, a maximum of two (2) grant awards one (1) Regular Grant and one (1) Consortium Grant. A total of 3 ANNH applications were received:

- (1) consortium project of nine (9) institutions in Alaska;
- (2) consortium project of nine (9) institutions in Hawaii; and,
- (3) regular application from one (1) institution in Hawaii.

As evaluated by a peer review panel, the 3 applications requested a total amount of \$3,478,786.68 which exceeded the award balance of \$3,056,928 by at least 12%. Hence, the regular application was not funded and referred to the Hawaii consortium project for future potential partnership.

The funding ratio for the ANNH Program in FY 2016 was 67%. Fiscal Year 2017: For the FY 2017 ANNH award cycle, a total of \$3,064,215 was available for awards after deducting legislatively authorized set-asides.

Grant types are as follows:

- Regular grants (\$150,000 - \$450,000)
- Collaborative grants (\$300,000 - \$1,500,000)

Each eligible individual institution, independent branch campus, and branch institution of State system may receive, or be a party to, a maximum of two (2) grant awards one (1) Regular Grant and one (1) Collaborative Grant. A total of four (4) ANNH applications were received:

- 1. collaborative grant for a consortium project of nine (9) institutions in Alaska;
- 2. collaborative grant of consortium project of nine (9) institutions in Hawaii;
- 3. regular application from one (1) institution in Hawaii; and,
- 4. regular application from one (1) institution in Alaska.

The peer review panel recommended three (3) of the proposals for funding two (2) collaborative grant applications, one (1) regular grant application). Since the three (3) recommended projects totaled \$3,478,215, budget modifications will be made. It is expected that all available funds of \$3,065,340 will be awarded in FY 2017.

The anticipated funding ratio for the ANNH Program in FY 2017 is 75%. Fiscal Year 2018: It is projected that \$3,058,455 will be available for similar projects in FY 2018. Pertinent details to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and

procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Email: Policy@nifa.usda.gov Phone: (202) 720-2324 Fax: (202) 720-2030

Website Address:

<http://nifa.usda.gov/program/alaska-native-serving-and-native-hawaiian-serving-institutions-education-competitive-grants>

RELATED PROGRAMS:

10.217 Higher Education Challenge Grants; 10.220 Higher Education

Multicultural Scholars Program; 10.223 Hispanic Serving Institutions Education Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: A consortium grant was funded to lead an Agribusiness Incubator Program that provides educational programs. These programs target a variety of degree levels, including Associate of Science, and Bachelors of Science. Also, the program emphasizes Student Experiential Learning, and Student Recruitment, Retention, and Educational Equality, with focus on developing student leadership skills, and building capacity among the states rural agriculture communities, including a large number of traditionally underserved minority populations. Project outcomes include a higher number of graduates in agricultural sciences; and increased productivity and profitability of the states agribusinesses.

A consortium grant was funded to plan for the sustainability of indigenous knowledge and usage paired with Western science programs to strengthen career pathways for the states native and rural students. Subsistence knowledge and usage is a term used to refer to those targeted USDA sciences that assist individuals and communities to better understand and improve food, shelter, transportation and other material aspects of rural/village life. The proposed plan of work continues to build on the accomplishments of earlier funding to deliver coursework and support students in Ethnobotany, Environmental Studies (including sustainable energy), High Latitude Range Management and continued development of new opportunities in tribal natural resource management. Funding will also support the continued development of a coastal resource science program and a continued marine science career pathway program. Fiscal Year 2017: A consortium of institutions will be funded to lead a collaborative grant that supports an Agribusiness Incubator Program, which provides educational programs for the state. These programs target a variety of degree levels, including Associate of Science, and Bachelors of Science. Also, the program emphasizes Student Experiential Learning, and Student Recruitment, Retention, and Educational Equality, with focus on developing student leadership skills, and building capacity among the states rural agriculture communities, including a large number of traditionally underserved minority populations. Project outcomes include a higher number of graduates in agricultural sciences; and increased productivity and profitability of the states agribusinesses.

A consortium of institutions will be funded to lead a collaborative grant to plan for the sustainability of indigenous knowledge and usage paired with Western science programs. This grant aims to build on previous work to strengthen career pathways for the states native and rural students. Subsistence knowledge and usage is a term used to refer to those targeted USDA sciences that assist individuals and communities to better understand and improve food, shelter, transportation, and other material aspects of rural/village life. The proposed plan of work continues to build on the accomplishments of earlier funding to deliver coursework and support students in Ethnobotany, Environmental Studies (including sustainable energy), High Latitude Range Management and continued development of new opportunities in tribal natural resource management. Funding will also support the continued development of a coastal resource science program and a continued marine science career pathway program.

A university will lead a regular grant to support the institutions approach to improve recruitment and retention efforts of underserved populations in the state. Through expanding educational opportunities and teaching resources, the institution seeks to create a well-supported, science-based and culturally-relevant learning pathway for underserved students from regional high schools into the institutions Sustainable Community Food Systems (SCFS) degree, while providing research, professional experience and leadership skills for their careers in food and agriculture. Goals of the project include: enhancing educational equity and leadership skills for underrepresented students in food system careers; strengthen educational capacities at the institution in the agri-food sciences to respond to state, regional and national needs; increased student enrollment and retention; support undergraduate students from underrepresented groups by partnering with K-12 institutions and providing financial support for students throughout college, and facilitate coalition building between other academic institutions in the state and

private and non-profit organizations to improve the teaching of agri-food sciences. Fiscal Year 2018: Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/alaska-native-serving-and-native-hawaiian-serving-institutions-education>.

10.250 AGRICULTURAL AND RURAL ECONOMIC RESEARCH, COOPERATIVE AGREEMENTS AND COLLABORATIONS

FEDERAL AGENCY:

Economic Research Service, Department of Agriculture

AUTHORIZATION:

FY 2012 Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 109-97, 7 U.S.C. 292, 411, 427, 1441a, 1621-1627, 1704, 1761-68, 2201, 2202, 3103, 3291, 3311, 3504; 22 U.S.C. 3101; 42 U.S.C. 1891-93; 44 U.S.C. 3501-11; 50 U.S.C. 2061 et seq., 2251 et seq., 2 CFR Part 400, 2 CFR Part 415, 2 CFR Part 416, 7 USC 3318b.

OBJECTIVES:

To provide economic and other social science information and analysis for public and private decisions on agriculture, food, natural resources, and rural America. ERS produces such information for use by the general public and to help the executive and legislative branches develop, administer, and evaluate agricultural and rural policies and programs.

TYPES OF ASSISTANCE:

Cooperative Agreements; DISSEMINATION OF TECHNICAL INFORMATION; Project Grants

USES AND USE RESTRICTIONS:

ERS performs economic research and analyses related to U.S. and world agriculture that address a multitude of economic concerns and decision making needs of Federal, State, and local governments, farmers, farm organizations, farm suppliers, marketers, processors, and consumers. There are no restrictions on the use of ERS produced information.

Applicant Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Beneficiary Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for technical information may be made to the Chief, Publishing and Communications Branch, Economic Research Service (ERS), 1400 Independence Avenue SW, Mailstop 1800, Washington, DC 20520-1800.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements. none.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:

None.

Reports:

Progress reports, financial reports, financial statements, and inventions and subawards reports. The frequency of reports is outlined in the terms of the agreement. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of 3 years from the date of submission of the final expenditure report or, for awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, as authorized by the Federal awarding agency.

Account Identification:

12-1701-0-1-352.

Obligations:

(Cooperative Agreements) FY 16 \$1,415,313; FY 17 est \$1,500,000; and FY 18 est \$1,500,000. (Project Grants) FY 16 \$874,710; FY 17 est \$900,000; and FY 18 est \$900,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1701-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: ERS research on the farm and rural economy found the following:

--ERS farm income indicators and forecasts measure the financial performance of the U.S. farm sector.

--Farming is still an industry of family businesses.

--The ERS commodity outlook program serves USDA stakeholders in the public and private sectors by delivering timely, independent and objective information about agricultural markets.

--Rural child poverty rose from 19 percent in 1999 to 26 percent by 2013.

--A variety of factors lead migrants to return to their rural home communities.

--A disproportionately small share of grants by large foundations were disbursed to rural recipients during 2005 to 2010.

ERS research and analysis of U.S. agricultural markets found the following:

--Producer participation in local food systems and the value of local food sales are growing.

--Most land in farms is operated by the land owner.

--Mandatory price reporting for livestock transactions over the past 15 years led to some improvements in price discovery and market efficiency.

--Renewable energy policies have emerged as key drivers in global markets for biofuels.

--ERS estimates that the effects of recent decreases in energy prices on acreage and production are relatively small.

ERS research on climate change found the following:

--Climate change is likely to increase the use of genetic resources for adaptation to heat and drought stress.

ERS research on conservation, water, and environmental issues found the following:

--Implementation of the Evidence and Innovation Agenda continues as experiments are used to test existing and new approaches to program delivery.

--ERS research examined the issues related to the declining effectiveness of glyphosate and choices for managing increased resistance to it.

--The cost, biophysical impacts, and benefit valuation of wetland restoration and protection efforts vary widely from place to place, depending on a host of factors.

--The 2012-2015 droughts in California are having a major impact on agriculture. A variety of mechanisms influence how those impacts are felt by farmers, crop and livestock consumers, and the food sector.

ERS research on the organic sector found the following:

--Organic field crops have been profitable compared with conventional field crops primarily due to the significant price premiums paid for certified organic production that more than offset the additional economic costs.

ERS research on global food security found the following:

--Food security is projected to improve for many developing countries.

--ERS research suggests that households do not distribute calories equitably across all household members in developing countries, and that the depth of undernourishment for certain household members may be greater than traditional household consumption surveys suggest.

ERS research on global agricultural markets found the following:

--USDA Agricultural Projections to 2024 suggest long run increases in global consumption, world trade, and agricultural commodity prices.

--The joint effects of sanitary and phytosanitary measures and tariff rate quotas maintained by the European Union (EU) significantly impede U.S. meat exports.

--Easing trade and travel restrictions could stimulate increased levels and a wider variety of U.S. agricultural exports to Cuba.

--Chinas accumulation of large cotton stockpiles to support prices for its domestic producers from 2011-2013 has introduced a new degree of uncertainty into world cotton markets. Fiscal Year 2017: ERS research explores how investments in rural people, business, and communities affect the capacity of rural economies to prosper in the new and changing global marketplace. The agency analyzes how demographic trends, employment opportunities, Federal policies, and public investment in infrastructure and technology enhance economic opportunity and quality of life for rural Americans. Equally important is ERSs commitment to help enhance the quality of life for the Nations farmers who increasingly depend on these rural economies for employment and economic support, as well as to analyze new developments in the linkages between these farmers, consumers, and local economies.

ERS continues to monitor changing economic and demographic trends in rural America, particularly the implications of these changes for the employment, education, income, and housing patterns of low-income rural populations. The rural development process is complex and sensitive to a wide range of factors that, to a large extent, are unique to each rural community. Nonetheless, ERS assesses general approaches to development to determine when, where, and under what circumstances rural development strategies will be most successful.

ERS research and analysis provide insight into market conditions facing U.S. agriculture, potential avenues for innovation and market expansion, and strategies for managing risk. ERS produces USDA's estimates of farm income. In addition, the ERS program identifies and analyzes market structure and technological developments that affect efficiency and profitability.

The ERS climate change research program develops models and other analytical techniques to predict responses of farmers to greenhouse gas mitigation options, analyze the impact of mitigation options on domestic and global agricultural markets and land and water use, and evaluate adaptation by farmers to a new climate regime through use of alternative technologies. The ERS climate change research program builds on extensive expertise on the economics of land use and land management, technology adoption, conservation program design, economics of biofuels, and value and dissemination of public investment in research and development.

In addition, ERS is continuing to contribute to USDAs efforts to improve the science behind Federal environmental, water and air quality regulations and programs. As part of its analysis of environmental regulations and conservation incentive policies, ERS research continues to provide insight into developing policies for controlling nonpoint source pollution. More generally, ERS research analyzes the economic efficiency, environmental effectiveness, and distributional implications of alternative designs of resource, conservation, environmental, and commodity programs and their linkages. ERS conducts research on technological innovation in agriculture, the economic performance, structure and viability of the farm sector and of different types of farms, and the state of global food security. ERS effectively communicates research findings to policy makers, program managers, and those shaping the public debate. The research program identifies key economic issues and uses sound analytical techniques to understand the immediate and broader economic and social consequences of alternative policies and programs related to the sustainability and use of biotechnology in U.S. agriculture, including policies to promote trade of U.S. products.

ERS has a broad program of work examining the production and marketing characteristics of the U.S. organic sector. Ongoing activities include research on the adoption of certified organic farming systems across the U.S., analysis of consumer demand and prices in specific markets, and surveys of organic producers and markets.

The ERS research program includes an ongoing assessment of global food security. ERS provides research, analysis, and information on food security, including factors affecting food production and ability to import food, in Africa, Asia, Latin America and the Caribbean, and the Commonwealth of Independent States to decision makers in the United States and throughout the world. ERS is also investigating conceptual and measurement challenges inherent in assessments of undernourishment at the country, household, and individual level with experts in academia and international organizations. An annual report provides ERS up-to-date assessment of global food security. Fiscal Year 2018: ERS conducts research that strengthens our understanding of American farms, the agricultural sector, and rural communities. This includes analysis of commodity markets, the competitiveness of U.S. farms at home and abroad, and the general health of the rural economy. ERS research and analysis provides insights into market conditions facing U.S. agriculture, potential avenues for innovation and market expansion, and the effects of farm policies. The agency conducts research on the effects of new agricultural technologies and practices on farm business and farm sector performance as well as their implications for the changing size and organization of U.S. farms. ERS produces USDAs estimates of farm business and farm household income and identifies and analyzes market structure and technological developments that affect farm efficiency and profitability. ERS research and analysis provides insights into how the agricultural sector is evolving in both the short and long term. Analysis of the major factors driving the near and long-term outlook for agricultural commodity markets plays a central role in supporting USDAs monthly flagship report, World Agriculture Supply and Demand Estimates (WASDE). This report serves as the benchmark for information on major global commodities, and also supports the annual USDA baseline, ten-year agricultural projections that go into the Presidents budget baseline. ERS research explores how investments in rural businesses, communities, and people affect the capacity of rural economies to prosper in a changing global marketplace. The agency analyzes how employment opportunities, Federal policies, demographic trends, and public investment in infrastructure and technology enhance economic opportunity and quality of life for rural Americans.

The ERS natural resources and environmental economics research program improves understanding of the economic relationships behind Federal environmental, water and air quality regulations and programs. As part of its analysis of environmental regulations and conservation incentive policies, ERS provides insight into developing policies for controlling nonpoint source pollution. More generally, ERS research analyzes the economic efficiency, environmental effectiveness, and distributional implications of alternative designs of resource, conservation, environmental, and commodity programs and their linkages.

ERS develops models and other analytical techniques to estimate the impacts of alternative approaches used by farmers to adapt to changing weather conditions and resource constraints as the demand for agricultural production grows. The models predict responses of farmers to USDA programs including voluntary incentives for drought mitigation, and improved soil health and nutrient management. A related area of research addresses the implications of regional drought for U.S. agriculture, including producers production and investment decisions, and their participation in conservation and other risk-mitigating programs. ERS research on farmer responses the implications for markets and natural resources builds on expertise in the economics of land use and land management, technology adoption, conservation program design, economics of biofuels, and value and dissemination of public investment in research and development.

ERS conducts research on the economic performance and competitiveness of the U.S. agriculture in international markets. U.S. producers rely on export markets to sell agricultural and food products, to sustain and grow revenues, and to contribute to employment, particularly in rural communities. This research program examines emerging patterns of agricultural trade and the associated economic drivers including income and population growth, and domestic and trade policies, and provides information on the principal underlying factors affecting U.S. and global agricultural trade.

ERS conducts research on the state of global food security, including factors affecting food production and the ability to import food, in Africa, Asia, Latin America and the Caribbean, and the Commonwealth of Independent States. By investigating conceptual and measurement challenges inherent in assessments of undernourishment at the country, household, and individual level, ERS informs decision makers in the United States and throughout the world with its annual assessment of global food security.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nancy A. Thomas 355 E Street SW, Room 5-254, Washington, District of Columbia 20024-3231 Email: NThomas@ers.usda.gov Phone: 2026945008

Website Address:

<http://www.ers.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: --Enhancement of procedures and documentation of farm sector forecasts & estimates& implementation of new imputation methods for missing data
--Food Security Model Development: Improving income response and food production projections
--Towards an Understanding of Supply Chains in Agriculture
--Towards a Computable General Equilibrium Model for the Analysis of Agricultural and Agriculture--Related Employment Issues
--The Food Insecurity Experience Scale and Household/Individual Correlates of Food Insecurity
--CPR Land Management and Pollinator Health
--The Ag Econ Scholars Mentoring Program
--Has the Industrialization of Livestock Marketing Shielded U.S. Livestock Prices from Global Shocks?
--Fruit and Vegetable Data Automation
--Commodity Data System and Lifecycle Development
--Case Studies Assessing the Readiness of an Challenges for the Mexican

Produce Industry in Relation to the Food Safety Modernization Act

- Foodborne Illness Outbreaks, Collective Reputation, & Voluntary Adoption of Industry-wide Food Safety Protocols by Fruit Vegetable Growers
- Income and Experimental Food Security
- An Assessment of Differential Item and Person Functioning Between Males and Females Using the Food Insecurity Experience Scale
- Exploring Migration & Food Insecurity Relationship: New Evidence from the Food & Agriculture Organization's Food Insecurity Experience Scale
- Exploring Grocery Retailer Food Safety Standards for Fresh Fruit and Vegetable Suppliers
- Price Determination and Margin Volatility in Thinly Traded Commodity Markets
- Improving and Expanding Food Price Forecasts at ERS
- New Imputation Procedures for the Agricultural Resource Management Survey
- Farm Risk Management. The Economics of Risk Management in Agriculture: Improving Our Understanding of How Farmers Manage Their Risk Exposure
- Advanced Computational Methods using GAMS
- Resilience to the Intergenerational Transmission of Poverty
- Hydrology and Agriculture
- Improving Imputation Methodology in the ARMS Household Section
- Enhancement of procedures and documentation of U.S. State-level Agricultural Productivity Accounts
- Competition for Water from Farmers and Energy Companies: The Importance of Water Rights
- Understanding the Geography of Stress-Related Mortality in the U.S., with a focus on Opiate Addiction and Drug Overdose Mortality
- Land Tenure and Oil and Gas Development
- Private Agricultural R & D in India
- Scenarios of Global Diets and the Impact on Land and Water Resources
- Economic Impacts of Food and Drug Administration Veterinary Feed Directive Rules on Beef Cattle Sector
- Conference on Environmental Economics and Policies
- Workshop on Water Resources & Policy
- Economic Effects of Changing Antibiotic Use Preferences in U.S. Livestock Production
- Rural Employment Sustainability and Establishment Dynamics
- AgEcon Search
- C-FARE 2016 Education & Outreach Programs
- AAEA Professional Development Activities
- International Agricultural Trade Research Consortium (IATRC)
- Economic Evaluation of the Food Safety Modernization Act (FSMA)
- Effect of Non-monetary Incentives on Phosphorus Use and Conservation Program Participation: A randomized Controlled Trial
- Enhancing enrollment of leased land in agri-environmental programs: an investigation of the Conservation Stewardship Program in Kansas
- Agricultural Productivity, Fiscal Year 2017: ERS research explores how investments in rural people, business, and communities affect the capacity of rural economies to prosper in the new and changing global marketplace. The agency analyzes how demographic trends, employment opportunities, Federal policies, and public investment in infrastructure and technology enhance economic opportunity and quality of life for rural Americans. Equally important is ERS's commitment to help enhance the quality of life for the Nations small farmers who increasingly depend on these rural economies for employment and economic support, as well as to analyze new developments in the linkages between these farmers, consumers, and local economies.

ERS continues to monitor changing economic and demographic trends in rural America, particularly the implications of these changes for the employment, education, income, and housing patterns of low-income rural populations. The rural development process is complex and sensitive to a wide range of factors that, to a large extent, are unique to each rural community. Nonetheless, ERS assesses general approaches to development to determine when, where, and under what circumstances rural development strategies will be most successful.

ERS research and analysis provide insight into market conditions facing U.S. agriculture, avenues for innovation, and market expansion to help farmers and ranchers manage risk. ERS produces USDAs estimates of farm income. In addition, the ERS program identifies and analyzes market structure and

technological developments that affect efficiency and profitability.

The ERS climate change research program develops models and other analytical techniques to predict responses of farmers to greenhouse gas mitigation options, analyze the impact of mitigation options on domestic and global agricultural markets and land and water use, and evaluate adaptation by farmers to a new climate regime through use of alternative technologies. The ERS climate change research program builds on extensive expertise on the economics of land use and land management, technology adoption, conservation program design, economics of biofuels, and value and dissemination of public investment in research and development. In addition, ERS is continuing to contribute to USDAs efforts to improve the science behind Federal environmental, water and air quality regulations and programs. As part of its analysis of environmental regulations and conservation incentive policies, ERS research continues to provide insight into developing policies for controlling nonpoint source pollution. More generally, ERS research analyzes the economic efficiency, environmental effectiveness, and distributional implications of alternative designs of resource, conservation, environmental, and commodity programs and their linkages. ERS conducts research on technological innovation in agriculture, the economic performance, structure and viability of the farm sector and of different types of farms, and the state of global food security. ERS effectively communicates research findings to policy makers, program managers, and those shaping the public debate. The research program identifies key economic issues and uses sound analytical techniques to understand the immediate and broader economic and social consequences of alternative policies and programs related to the sustainability and use of biotechnology in U.S. agriculture, including policies to promote trade of U.S. products. ERS has a broad program of work examining the production and marketing characteristics of the U.S. organic sector. Ongoing activities include research on the adoption of certified organic farming systems across the U.S., analysis of consumer demand and prices in specific markets, and several nationwide surveys of organic producers and markets. The ERS research program includes an ongoing assessment of global food security. ERS provides research, analysis, and information on food security, including factors affecting food production and ability to import food, in Africa, Asia, Latin America and the Caribbean, and the Commonwealth of Independent States to decision makers in the United States and throughout the world. An annual report provides an up-to-date assessment of global food security. Fiscal Year 2018: ERS will conduct the following research on the rural and farm economy:

Large-Scale Farms in the United States. Farm production continues to shift to larger operations. ERS will analyze this shift for different regions and commodities, and will assess reasons for its continuation. The study will also focus on the attributes of very large U.S. farms using a new top sales class of \$10 million or more. ERS will examine size and growth, management, ownership, commodity focus, and financial performance of the largest farms.

Implications of Changing Land Values for Financial Stress and Land Ownership. ERS research will examine the potential vulnerability of the farm sector to changes in agricultural land values, interest rates, and commodity prices. Farm real estate values reached record highs in 2013, but forecasts indicate a slowing rate of appreciation, or possibly even a decline in land values caused in part by lower commodity prices and rising interest rates.

Financial Stress, Bankruptcies, and Loan Delinquencies. After several years of strong growth in farm income, the sectors overall returns have declined, with net farm income now forecast for 2016 to be 45 percent below the \$123.8 billion peak in 2013. The decline may lead to increased financial stress within the sector; two possible indicators of financial stress would be increases in farm bankruptcies and in loan delinquencies. ERS is analyzing trends in bankruptcies and loan delinquencies over time in order to understand and identify drivers of financial stress in the sector. Preliminary results using the most recent available data indicate minimal signs of financial stress in the agricultural sector. Both farm bankruptcy rates, considered a lagging indicator of financial stress, and commercial bank delinquency rates for agricultural loans, are low compared to historical levels and to more recent history in the 2000s.

Trends in Mortality Rates among Rural Residents. Preliminary ERS research has identified rising mortality rates among some subgroups in the rural U.S. population during the twenty-first century. This analysis focuses on the largest such group, middle-aged white men, tracing the upward trend in death rates since 2000 and examining possible correlates of this trend, including access to health care and increasing economic distress in some rural regions.

Analysis of the Rural Rental Housing Program. ERS research will examine the current allocation of USDAs housing and the communities served, areas presently underserved or at risk of becoming underserved, the factors contributing to the risk of loss of affordable rental housing, and the communities and populations likely to be affected. Since 1963, USDA has made subsidized direct loans to developers to finance affordable, multi-family rental housing in rural areas for low and moderate income families, elderly people, and persons with disabilities. This research will inform policy makers concerned about the need for affordable rental housing in rural areas.

ERS will conduct the following research on farm and commodity policy:

Analysis of USDA Risk Management Programs. American farmers face risks from weather and markets for the inputs they purchase (e.g., energy, labor) and products they sell. ERS will continue to provide research that analyzes the environment in which farmers operate and USDAs risk management programs. Building on a set of ERS studies completed or to be completed in FY17 on risk management policies and programs under the 2014 Farm Bill programs, ERS will conduct analysis that could have implements for program design. One study will provide a broad overview of the different risk management tools available in different countries, which in turn will inform an empirical analysis for domestic producers of how changes to their agricultural risk management portfolio impacts their downside revenue risk. Motivated by the notion that weather data is rapidly collected for all counties, another study will empirically examine for U.S. crop producers the differences in impacts on risk management of crop insurance policies and other commodity support policies using a weather-based yield index rather than farm yield-based.

Improved Season-Average Price Forecasts. ERS will conduct research on using forward-looking data and methods to improve the accuracy and expand market information provided from USDAs situation and outlook program. The analysis will use public USDA reports and daily futures and options prices to better inform market participants about price uncertainty in major agricultural commodity markets. Ultimately, derivatives markets are a technology that improves the discovery of market price levels given supply and demand fundamentals. To the degree that the latter are well understood, markets function more efficiently.

Feed Grains Database Developments. The ERS Feed Grains Database is an important component in USDA monitoring of the grain, oilseed, and livestock complex, providing data on four feed grains (corn, grain sorghum, barley, and oats), seven foreign coarse grains (feed grains plus rye, millet, and mixed grains), hay, animal unit indexes of grain and roughage, rail rate indexes, and grain shipments. The database serves as an important, timely, accurate, reliable, and official source of information for stakeholders. Planned program enhancements include applications to enhance the delivery of information and expansion to other commodities.

Updated Commodity Cost and Returns Estimates. ERS produces annual cost and returns estimates for nine crop commodities, as well as hogs and milk. The annual estimates update baseline estimates with information on changes in input and commodity prices, while the baselines are set using data on technologies, production processes, and expense shares from commodity-specific questionnaires of the ARMS. ERS will set new baselines for corn and milk with surveys from the 2016 ARMS, and will design a wheat questionnaire for the 2017 ARMS to help set a new wheat baseline estimate (the surveys are completed by spring of the year following the reference year).

Analysis of the Dairy Margin Protection Program. ERS will conduct an ex-post examination of the Dairy Margin Protection Program, which offers dairy producers catastrophic coverage at no cost. The analysis will use the USDA

baseline to examine margin expectations and milk output and how margins are likely to increase in nominal terms by the next Farm Bill. This research will further explain how feed efficiency and milk output could impact the national averages calculated for the program.

U.S. Hog Production: Continuing Trends in Productivity Growth. Total factor productivity in the U.S. hog industry will be estimated using Agricultural Resource Management Survey (ARMS) data to determine productivity trends. Information pertaining to changes in industry structure and production practices including changes in the size of operations, the use of production contracts, growth-promoting antibiotics, and innovative technologies are reported and evaluated relative to productivity growth.

ERS will conduct the following activities related to homeland security:

Analysis of Animal Disease Outbreaks. ERS researchers will collaborate with Federal and academic researchers to examine how economic variables and factors affect animal and crop disease outbreak assessments. This work will examine how economic analysis can help to develop clearer views of actual and hypothetical outbreaks, and to more fully identify what factors are significant in measuring the success of a mitigation or prevention effort. This research focuses on efforts to introduce economic components into epidemiological analysis that will allow analysts and decision makers to include social (e.g., impacts on rural communities) considerations and expand the number of criteria that may be used to determine effective outbreak responses. ERS will continue to invest in the data and analytical capacity needed to provide the current market context and data needed to support USDA Homeland Security event assessments and planning efforts, and support the USDA Highly Pathogenic Avian Influenza multiagency coordination. In addition, ERS is contributing expertise as subject matter experts to the Department of Homeland Security, Science and Technology Directorate, for the Agro-terrorism Risk Assessment, and the NSTC Foreign Animal Disease Threats Interagency Working Group.

ERS will conduct the following research on conservation, water, and environmental issues:

Conservation Compliance. To maintain eligibility for most agriculture-related federal programs, Conservation Compliance requires farmers to implement approved conservation systems on highly erodible cropland and refrain from draining wetlands. The Agricultural Act of 2014 eliminated Direct Payments and Countercyclical Payments which previously accounted for a large proportion of compliance incentives but also created shallow loss programs and linked crop insurance premium subsidies to Conservation Compliance requirements. ERS research will investigate the effectiveness of conservation compliance, changes in incentives due to the Agricultural Act of 2014, and the effectiveness of these incentives in protecting highly erodible cropland and wetlands.

Economics of Reducing Nutrient Losses from Agriculture in the Mississippi Atchafalaya River Basin. ERS research will examine the economic consequences of reducing nutrient losses from agriculture to the Gulf of Mexico and its implications for improving environmental quality. Every summer a large hypoxic zone forms in the Gulf of Mexico. Low dissolved oxygen in the Gulf is a serious environmental concern that can impact valuable fisheries and disrupt sensitive ecosystems. Reducing agricultural nutrient losses has been a major conservation goal for USDA and many Mississippi Basin states. However, despite years of investment in conservation measures, most cropland does not meet criteria for good nutrient management. ERS expects to publish a report that examines policy options for reducing nutrient losses in the Mississippi/Atchafalaya River Basin.

Changes in Climate and Crop Insurance. The changing climate has the potential to introduce greater uncertainty into agricultural production, with implications for farm profitability. This can influence the demand for crop insurance products and the costs to government of providing crop insurance premium subsidies. ERS researchers are examining how a changing climate will affect crop insurance premium subsidies in 2080. The ERS analysis combines models of crop risk, land allocation, prices, and crop insurance premiums to simulate total premium subsidy costs under alternative scenarios.

Determinants of Unfinished Conservation Practices. Since 1996, USDA working lands programs have entered into hundreds of thousands of conservation contracts. Many of the practices specified in these contracts are never installed as planned, leading to lost opportunities for additional conservation activity. ERS researchers are examining reasons why practices get dropped, using EQIP program administrative data to ascertain whether changes to conservation plans are due solely to adaptive management (adjusting to unforeseen weather or financial conditions) or to other reasons related to contract design.

Conservation Legacy Effects. An aspect of conservation policy that has received little attention is how financial assistance may provide conservation benefits beyond the specific location and duration of program participation. ERS researchers are using EQIP administrative data along with satellite data to study the extent to which participation leads farmers to retain conservation tillage practices after the contract has expired or to adopt conservation tillage on non-contract fields, or the extent to which participation leads neighboring farmers to adopt conservation tillage on their land. This research improves our understanding of the long-term benefits from conservation, and would help in the development of better metrics for measuring program success.

Herbicide Resistant Weed Management in Corn. Weed resistance to the herbicide glyphosate (popularly known as Round-up) is a growing problem in field crops, and there are also emerging concerns with insect resistance to seeds genetically engineered with the Bt toxin. ERS has elicited information on pesticide use, seed choices, and resistance management practices from farmers in the 2016 Corn Production Practices questionnaire of the ARMS. ERS research will evaluate farm strategies to manage weed and insect resistance, and track how those strategies have changed since the earlier 2010 ARMS corn survey; the research will also draw on related ARMS soybean surveys for 2006 and 2012.

ERS will conduct the following research on global agricultural markets and food security:

Investments in Agricultural Research in High-Income Countries. There is a growing concern that agricultural productivity growth, especially in high-income countries, may be slowing, and that current agricultural R&D levels may not be sufficient to address this concern. Some Organization for Economic Cooperation and Development countries have introduced policy reforms to improve the financing and performance of their public agricultural R&D systems. However, there is a lack of comprehensive and comparable information on these trends and developments, particularly for countries outside of the U.S. ERS is analyzing trends in U.S. agricultural research funding and agricultural research policy reforms in the context of global changes. This analysis will demonstrate the impact of R&D investments on agricultural productivity growth and examine the complementary roles of public and private research, and relate public investments to the size of the agricultural sector and to public science investments across the economy.

The Next Horizon: The Agricultural Trade Policy Landscape in 2016 and Beyond. ERS will quantify the potential for gains from trade reform under existing forms of policies targeted at agriculture. The core of the product would be an estimate of economic impacts of selected current domestic and border intervention policies, focusing on tariffs and tariff-rate quotas and other trade-related policies, domestic support, and non-tariff measures. To examine the impacts of different policy scenarios, the trade modeling framework will remove these policies separately and in combination in the context of multilateral trade liberalization.

Global Price Determination. While price in a given region reflects local (current and expected) supply and demand fundamentals, important agricultural commodities are often traded internationally, meaning that regional prices are also affected by the prices and therefore the fundamentals of their trading partners. ERS research will examine whether shifting production and trading patterns for several major commodities have affected the degree to which U.S. prices inform global prices, and also the influence international production and demand shocks have over prices paid to farmers domestically.

Chinas Commodity Markets and Efficiency. China has become more integrated with global agricultural markets since its accession to the World Trade Organization (WTO) in 2001. China is the worlds largest producer and consumer of most agricultural commodities, so its integration with global markets is an important determinant of the efficiency of those markets. ERS research will evaluate the efficiency of agricultural commodity markets before and after Chinas WTO accession to characterize the countrys degree of global integration.

International Food Security Assessment. ERS produces an annual assessment of the prevalence and depth of food security in low- and middle-income countries. ERS makes available the full historical database used for the model projections on its website. ERS developed new model capabilities, included in the 2017 report, including the ability to assess the impact of changes in food prices and income on demand. This allows ERS analysis to address all four dimensions of food security: availability, access, utilization and stability.

Progress and Challenges in Global Food Security. ERS will analyze progress made in reducing global food security, as well as new areas of challenge, drawing on 25 years of data from the International Food Security database. The analysis will examine progress in food security measurement, agricultural trade and food security, agricultural productivity and food safety nets. It will also highlight emerging issues that create new food security challenges, such as nutrition, risk management, climate change and urbanization. It integrates the analysis with priorities developed in the Global Food Security Act of 2016.

ERS will conduct the following research on production technologies:

Developments in Markets for U.S. Organic Exports. ERS research will examine developments in the U.S. organic export market. In addition to developing absolute and relative measures of organic trade performance over time, by commodity, and by trading partner, ERS will analyze the impact of equivalency agreements on observed trade flows. Results will inform the extent to which observed increases in U.S. organic exports can be attributed to these agreements as compared to changes in market fundamentals.

Evolution of Markets for Genetically Engineered Seeds. Since their commercial introduction in 1996, most acreage planted to genetically engineered (GE) seeds have been planted to three crops: corn, cotton, and soybeans and has featured two traits, one for insect resistance and one for herbicide tolerance. In recent years, GE acreage has expanded to other field crops, such as alfalfa, canola, and sugarbeets, and seed developers have added more complex combinations of the two key traits as well as new traits for drought tolerance. ERS research will draw on questions introduced into the 2016 ARMS to track the spread of new types of GE technologies.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.253 CONSUMER DATA AND NUTRITION RESEARCH

FEDERAL AGENCY:

Economic Research Service, Department of Agriculture

AUTHORIZATION:

ACT: FY 2012 Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 109-97, 7 U.S.C. 292, 411, 427, 1441a, 1621-1627, 1704, 1761-68, 2201, 2202, 3103, 3291, 3311, 3504; 22 U.S.C. 3101; 42 U.S.C. 1891-93; 44 U.S.C. 3501-11; 50 U.S.C. 2061 et seq, 2251 et seq.
2 CFR Part 400, 2 CFR Part 415, 2 CFR Part 416, 7USC 3318c.

OBJECTIVES:

To provide economic and other social science information and analysis for public and private decisions on agriculture, food, natural resources, and rural America. ERS produces such information for use by the general public and to help the executive and legislative branches develop, administer, and evaluate agricultural and rural policies and programs.

TYPES OF ASSISTANCE:

Cooperative Agreements; DISSEMINATION OF TECHNICAL INFORMATION; Project Grants

USES AND USE RESTRICTIONS:

ERS performs economic research and analyses related to U.S. and world agriculture that address a multitude of economic concerns and decision making needs of Federal, State, and local governments, farmers, farm organizations, farm suppliers, marketers, processors, and consumers. There are no restrictions on the use of ERS produced information.

The objective of the consumer data program is to enhance existing public and private data collection systems and availability to answer the most important policy questions. This objective is achieved by: (1) supplementing existing government surveys with separate modules when possible, (2) integrating and linking data from disparate surveys, (3) investing in proprietary data, and (4) enhancing existing surveys.

Applicant Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Beneficiary Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Requests for technical information may be made to the Chief, Publishing and Communications Branch, Economic Research Service (ERS), 1400 Independence Avenue SW, Washington, DC 20520-1800.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Other. See the following for information on how assistance is awarded/released:
Other.

Reports:

Progress reports, financial reports, financial statements, and inventions and subawards reports. The frequency of reports is outlined in the terms of the agreement. Cash reports are not applicable. Progress reports, financial reports, financial statements, and inventions and subawards reports. The frequency of

reports is outlined in the terms of the agreement. Progress reports, financial reports, financial statements, and inventions and subawards reports. The frequency of reports is outlined in the terms of the agreement. Progress reports, financial reports, financial statements, and inventions and subawards reports. The frequency of reports is outlined in the terms of the agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of 3 years from the date of submission of the final expenditure report or, for awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, as authorized by the Federal awarding agency.

Account Identification:

12-1701-0-1-352.

Obligations:

(Cooperative Agreements) FY 16 \$1,074,282; FY 17 est \$1,100,000; and FY 18 est \$1,100,000. (Project Grants) FY 16 \$2,691,366; FY 17 est \$2,700,000; and FY 18 est \$2,700,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1701.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: ERS research on food choices and health outcomes showed the following:

Supplemental Nutrition Assistance Program (SNAP) participants are less likely to drive their own car to do their primary food shopping and more likely to get rides from someone else or take public transit. However, these differences in transportation mode do not translate into differences in the types of stores used for grocery shopping among SNAP households. The National Household Food Acquisition and Purchase Survey (FoodAPS) is the first survey to collect unique and comprehensive data about food purchases and acquisitions for a nationally representative sample of U.S. households. In March 2015, ERS published a report that compared shopping patterns of SNAP households to low- and higher income nonparticipant households and found that many households bypass the store that is closest to them to shop at another store. For example, among SNAP households, the nearest store was, on average, 2.0 miles from the household, but the store primarily used for grocery shopping was, on average, 3.4 miles from the household. Multiple intramural and extramural research projects are underway using FoodAPS with reports focusing on general food expenditures and WIC participant shopping behavior planned for release in 2016.

An estimated 86 percent of American households were food secure throughout the entire year in 2014, meaning that they had access at all times to enough food for an active, healthy life for all household members. The remaining households (14 percent) were food insecure at least some time during the year, including 5.6 percent with very low food security because the household lacked money and other resources for food, resulting in reduced food intake and disruptions in eating patterns for one or more household members. Additional research focused specifically on children shows that an estimated 90.6 percent of households with children were food secure throughout the year in 2011, which denotes that all household members had consistent access to adequate food for active, healthy lives. The ERS food security statistics are widely recognized as the benchmark for measuring food security in the U.S., and support decision making on USDA food assistance and nutrition programs.

Following Dietary Guidance need not cost more, but many Americans would

need to re-allocate their food budgets to do so. Behavioral changes can improve diet quality, but major improvements would require Americans to change how they allocate their food budgets across food groups. Most Americans across all income levels consume poor diets. Behavior changes, such as preparing food at home instead of eating out, are associated with improvements in diet quality. To realize the much larger improvements in diet quality required to meet the Dietary Guidelines for Americans, ERS research found that many Americans would need to reallocate their food budgets, spending a larger share on fruits and vegetables and a lower share on protein foods and foods high in solid fats, added sugars, and sodium. Briefings on this topic to senior USDA and other policy officials informed discussions of the upcoming release of the 2015 Dietary Guidelines.

An estimated 1,249 calories per capita per day are lost from the food supply. ERS published the latest estimates on the amount and value of food loss in the United States. These estimates are for more than 200 individual foods using ERS's Loss-Adjusted Food Availability data. In 2010, an estimated 31 percent, or 133 billion pounds, of the 430 billion pounds of food produced was not available for human consumption at the retail and consumer levels. This amount of loss totaled an estimated \$161.6 billion, as purchased at retail prices. For the first time, ERS estimates of the calories associated with food loss are presented in this report. The top three food groups in terms of the share of the total value of food loss at the retail and consumer levels are meat, poultry, and fish (30 percent), vegetables (19 percent), and dairy products (17 percent). Food loss data from ERS is used to support USDAs Food Waste Challenge initiative and also provides a model for other countries efforts to estimate food loss.

Households living in low-income, low food-access areas have only slightly lower diet quality than other households and this difference is partially alleviated when these consumers travel farther from their homes to purchase food. About 10 percent of the U.S. population lives in low-income areas more than 1 mile from the nearest supermarket. The diet quality of these consumers may be compromised by their food environment. Some may be unable to reach supermarkets regularly or without effort, instead buying food from closer stores that offer less healthy food products. ERS investigated the correlation between households that live in low-income, low-access areas and their purchases of 14 major food groups that vary in dietary quality using supermarket scanner data. Briefings on this topic to senior USDA and other policy officials informed discussions of continuing efforts to improve food access for low-income households across the U.S.

ERS research on USDAs food and nutrition assistance programs found the following:

ERS linked 2008-12 SNAP administrative records to data from the U.S. Census Bureau's American Community Survey (ACS) on the use of SNAP and other public assistance programs to provide better information on SNAP receipt than that which would be estimated by the ACS alone. SNAP provides food and nutrition benefits to low-income households based on a formula that adjusts the benefit amount a household receives based on monthly need. ERS assessed the extent to which SNAP reaches the poorest households, also known as benefit targeting, by estimating benefit receipt by annual household income relative to poverty. Estimates of SNAP targeting toward low-income households improve when using either of two measures of intensity of SNAP participation relative to measures of ever-in-the-year participation. Replacing survey-based data on SNAP benefit receipt with administrative records of SNAP benefit receipt and adjusting the survey households to more closely reflect administrative SNAP units also improves estimates of targeting to low-income participants. Briefings to senior official at FNCS and FNS informed decision makers about the effect of more expansive data on participation measures.

School meal programs are adjusting to stronger nutritional standards, but face challenges in maintaining paid lunch participation to meet revenue goals. School foodservice programs face ongoing tradeoffs between meal cost, student participation, and nutrition quality. Changes mandated by the Healthy, Hunger-Free Kids Act of 2010 strengthened nutritional standards for meals and competitive foods and set minimum levels for paid meal revenues, while new options allow more schools to offer free meals to all students at reduced

administrative burden. An ERS review of recent research results and new data on school lunch participation rates suggests that while many school districts have adjusted to new standards, maintaining paid meal participation remains most challenging for smaller and more rural districts. Briefings to senior USDA officials on this topic have informed USDA efforts to help States meet the challenges related to improving nutrition within allotted budgets.

ERS research on the safety of the nations food supply found the following:

Cost estimates of foodborne illnesses data provide Federal agencies with consistent, peer-reviewed estimates of the costs of foodborne illness that can be used in analyzing the impact of Federal regulations. ERS's Cost of Foodborne Illness data product, produced in collaboration with the Food Safety and Inspection Service, provides detailed data about the costs of major foodborne illnesses in the United States, including identification of specific disease outcomes for foodborne infections caused by 15 major pathogens in the United States, associated outpatient and inpatient expenditures on medical care, associated lost wages, and estimates of individuals willingness to pay to reduce mortality resulting from these foodborne illnesses. It also provides stakeholders and the general public with a means of understanding the relative impact of different foodborne infections in the United States. Cost estimates of foodborne illnesses have been used to help inform food-safety policy discussions, and these updated cost estimates provide a foundation for economic analysis of food safety policy.

New surveys on food safety practices. ERS launched an initiative to collect primary data on current food safety practices for produce growers and post-harvest firms to provide a baseline of compliance costs prior to the full implementation of the Food Safety Modernization Act (FSMA). The surveys will be completed by NASS in January 2016, and ERS will use the data in estimating the potential economic impacts of FSMA provisions on the fresh produce and animal feed sectors.

Consumers respond differently to foodborne disease outbreaks of different severities. A case study of pathogen-related recalls of cantaloupe in 2011 and 2012 suggests consumers food purchase responses take into account the relative risk severity of specific pathogens. Information from news media apparently plays a role. Federal health and safety officials warned consumers away from cantaloupes in 2011 and again in 2012. The warnings occurred under similar market conditions but were for contamination by two different foodborne microorganisms that posed entirely different health risks. After consumers were informed about the risk with the higher fatality rate, the demand for cantaloupes fell and consumers substituted other melons. No such shifts in demand were evident under the lower fatality risk, despite more illnesses attributed to it.

Establishments that bid on contracts to supply the USDAs National School Lunch Program had relatively higher levels of food safety, as measured by fewer samples of meat testing positive for Salmonella, than other establishments supplying ground beef to the commercial market. In December of 2014, ERS published a report that examined the food safety performance of suppliers of ground beef to the National School Lunch Program (NSLP) and found evidence of strategic behavior in which managers use information about their establishments past food safety performance to decide whether to bid on contracts to supply the NSLP. Research results from this report were presented at multiple briefings to senior USDA officials. Fiscal Year 2017: ERS studies the relationship among the many factors that influence food choices and health outcomes. At the household level, research focuses on food price trends, income, and individual characteristics such as age, race and ethnicity, household structure, knowledge of diet and health, and nutrition education. At the industry level, research focuses on the interaction among firms, consumers, and government programs and policies. Childrens food access, food security, and child and adult obesity continue to be important foci of the ERS research program. ERS research related to adult and child obesity includes approaches taken from behavioral economics to investigate how psychological mechanisms related to food choices might contribute to poor dietary quality and obesity.

Through its food assistance and nutrition research and by working closely with USDAs Food and Nutrition Service, ERS studies and analyzes the Nations nutrition assistance programs. These programs receive substantial Federal

funding and affect the daily lives of millions of Americas children. Long-term research themes include dietary and nutritional outcomes, food program targeting and delivery, and measurement of program participation. ERS research is designed to meet the critical information needs of USDA, Congress, program managers, policy officials, the research community, and the public at large.

ERS food safety research focuses on enhancing methodologies for valuing societal benefits associated with reducing food safety risks, understanding consumer response to food safety incidents, assessing industry incentives to enhance food safety through new technologies and supply chain linkages, and evaluating regulatory options and change. ERS research also investigates the safety of food imports and the efficacy of international food safety policies and practices. Fiscal Year 2018: ERS studies the relationship among the many factors that influence food choices and health outcomes. At the household level, research focuses on food price trends, income, and individual characteristics such as age, race and ethnicity, household structure, knowledge of diet and health, and nutrition education. At the industry level, research focuses on the interaction among firms, consumers, and government programs and policies. Childrens food access, food security, and child and adult obesity continue to be important foci of the ERS research program. ERS research related to adult and child obesity includes approaches taken from behavioral economics to investigate how psychological mechanisms related to food choices might contribute to poor dietary quality and obesity.

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REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nancy A. Thomas 355 E Street SW, Room 5-254, Washington, District of Columbia 20024-3231 Email: NThomas@ers.usda.gov Phone: 2026945008

Website Address:

<http://www.ers.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: --Assessing Alternative Methods for Measuring Food Security Among Households with Children
-- Understanding Produce Purchasing Behavior, Obesity, and BMI: Empirical Results from IRI Household Panel and Med Profiler Data
-- Local Market Structure, Store Characteristics, and Performance among Independent Grocers
-- Effect of Retail Market Structure and other Food Environment Characteristics on the Healthfulness of Consumer Food Purchases
--Consumer Preferences for Costly Brands and Products: Implications for Cost Containment in the Women, Infants, and Children (WIC) Program
-- Does the amount of SNAP benefits influence food choices and expenditures?
-- The effects of pricing strategies on the nutritional quality of food purchases

by SNAP and non-SNAP households

-- Community Eligibility Provision Take-Up by Rural vs. Urban School Districts and Effects on School Meal Participation and Student Attendance
-- Summer Meal Program participation by Rural vs. Urban School Districts
-- SNAP and Child Health: Evidence from Missouri Administrative Data
-- The Fill-In Trip Purchase Decision by SNAP and WIC Participants: An Analysis of Pricing, Nutrition, and Store Choices
-- Does inclusion on the WIC food list expand placement of food brands?
-- Performance Indicators of WIC Vendor Quality and Participant Satisfaction
-- Funding Research on Food Security Using the Panel Study of Income Dynamics
-- Exploring ways to increase healthy purchases within shoppers and retailers budget constraints
-- Health Outcomes and Redemption Behaviors among Virginia WIC Participants
-- Changes in Low-Income Households Spending Patterns in Response to the 2013 SNAP Benefit Cut
-- Understanding the Statistical Properties of IRI Store-based and House-based Scanner Data
-- Expert Technical Panel on Technical Questions and Data Gaps in the ERS Loss-Adjusted Food Availability (LAFA) Data Series
-- Expanding the Impact of the Cornell Center for Behavioral Economics in Child Nutrition Programs
-- Consumer Level Food Loss: An Update of Estimates for Cooking Loss and Uneaten Food at the Consumer Level
-- FoodAPS-2 Geography Study. Fiscal Year 2017: ERS will identify key economic issues affecting food prices, food access and availability, food consumption patterns, and food safety. ERS will use sound analytical techniques to understand the immediate and long-term efficiency, efficacy, and equity consequences of alternative policies and programs aimed at ensuring access by children and adults to safe, nutritious, affordable, and adequate meals. ERS ongoing research will also explore factors that can improve the effectiveness and efficiency of USDA Food and Nutrition Assistance programs. ERS will effectively communicate research results to policy makers, program managers, and those shaping efforts to promote abundant, safe, and healthful food at home and abroad. Examples of these activities include the following:

Providing economic analysis of the food marketing system to understand factors affecting the availability and affordability of food for American consumers.

Providing annual estimates of the quantity of food available for human consumption, and measures of disappearance and loss in the food system. Providing economic analysis of how people make food choices, including demands for more healthful, nutritious, and safer food, and of the determinants of those choices, including prices, income, education, and socio-economic characteristics.

Conducting analyses of the benefits and costs of policies to change behavior to improve diet and health, including nutrition education, labeling, advertising, and regulation.

Conducting economic analyses of the impacts of the Nations domestic nutrition assistance programs, including the Supplemental Nutrition Assistance Program (SNAP); the Special Supplemental Nutrition Program for Women, Infants, and Children; and the Child Nutrition Programs.

Conducting research on food program targeting and delivery to gauge the success of programs aimed at needy and at-risk population groups, and to identify program gaps and overlaps.

Conducting research on program dynamics and administration, focusing on how program needs change with local labor market conditions, economic growth and recession, and how changing State welfare programs interact with food and nutrition programs.

Providing food safety information through publications, web materials, and briefings that address the economics of food safety, including consumer knowledge and behavior, industry practices, the relationship between international trade and food safety, and government policies and regulations.

Working with Federal food safety agency partners to evaluate available food borne illness data related to meat, poultry and egg products, and to develop more accurate measures of the effectiveness of regulatory strategies in reducing preventable food borne illness. Fiscal Year 2018: ERS will conduct the following research on USDAs food and nutrition assistance programs:

Food Insecurity in Veteran Households. Food insecurity as a measure of well-being for veterans and their households is relatively unstudied. Previous studies have been restricted to non-nationally representative samples or focused on veterans with disabilities. This study uses a nationally representative sample of veterans to describe the prevalence of food insecurity among veterans, subpopulations of veterans, and veteran households by selected characteristics.

Using Administrative Data to Improve Research on the Causal Effects of the SNAP Program on Labor Supply. This project continues the work on the effects of food assistance program participation on individuals incentives to work. Previous work examined the issue using Current Population Survey (CPS) data from Census that contain labor supply information as well as information on whether the individual participated in the SNAP program. Misreporting of SNAP participation in CPS data is a major issue with uncertain effects on estimates of the effect of participation on outcomes. The goal of the project will be to link administrative data sources to CPS data, examine how estimates of causal effects of SNAP participation on labor supply are affected by SNAP misreporting, and examine possible solutions to bias created by misreporting.

The Food Assistance National Input-Output Multiplier (FANIOM) Model and Stimulus Effects of SNAP. This project will examine the role of SNAP as a stimulus measure during an economic downturn. Using the Food Assistance National Input-Output Multiplier (FANIOM) model, we estimate the multiplier effect of a hypothetical SNAP expansion on economic output and employment. Since the end of the Great Recession, new research and new data sources are available to inform on this topic. Borrowing on these new sources, this project extends the work of ERS researchers in 2012 to provide an updated estimate of the multiplier effect of the SNAP program on the economy. Additionally, we review new literature examining the impact of the SNAP program on the economy during the Great Recession.

The Role of SNAP in the Rural Economy. ERS research will compare the rural impacts of the Supplemental Food Assistance Program (SNAP) to those in urban areas and to impacts of other Federal programs targeted to rural areas, such as agricultural commodity and rural development programs. Although SNAP is the largest USDA program, little research has investigated the economic effects of SNAP in rural areas. The project will examine how SNAP affects household savings and consumption decisions, impacts of SNAP on employment in rural vs. urban communities, and impacts of SNAP compared to impacts of agricultural commodity programs nationally and in selected regions.

Characteristics of School Districts Offering Free School Meals to All Students Through the Community Eligibility Provision. This project will study the determinants of school and district participation in the Community Eligibility Provision (CEP). In 2010, Congress mandated the CEP as a reimbursement option that allows schools serving high percentages of low-income students to offer USDA school meals at no charge to all students with reduced administrative burden. USDA's Food and Nutrition Service expects the CEP to result in expanded participation with most impact on poor or near-poor students who may benefit the most from USDA's child nutrition programs.

Food Costs of Large School Food Authorities in the National School Lunch Program. The Food and Nutrition Service (FNS) reimburses school food authorities (SFAs) for the estimated costs of providing school lunches and breakfasts. Reimbursement rates are based on cost accounting techniques that measure SFA labor, food purchase, and operating material costs. All SFAs in the 48 contiguous states receive the same reimbursement rates regardless of size or geographic location. Thus FNS implicitly assumes that labor and food purchase costs are the same across SFAs. Recent research shows that economies of scale exist in meal service and food costs vary across SFAs. Yet SFAs vary in size from large, urban SFAs serving millions of meals per year to small, rural and suburban SFAs serving less than 10,000 meals. This research will determine if cost differences exist in food purchases and if so, how these differences in costs shape food choices.

WIC and the Retail Markup of Infant Formula. This project will examine the retail markup of the formula purchased through WIC. The number of

participants in WIC who can be served within the fixed budget depends heavily on the programs food-package costs, which in turn are significantly affected by the cost of infant formula. Do retailers charge higher markups for the WIC contract brand than for the non-WIC brands of formula? This is an important question because retail markups, along with the net prices, are what WIC and ultimately U.S. taxpayers pay for infant formula. The answer to this question may also have implications for the prices that non-WIC participants pay for infant formula.

ERS will conduct the following research on food safety, foodborne illness, and industry practices:

Estimating Food Attributable Fractions of Foodborne Illness from Time Series Data. Reliable measures of the relative role of different foods in foodborne illness caused by specific pathogens are critical to governments and industry's ability to target food safety interventions effectively. USDA, FDA and CDC have all identified a need for new, more reliable methods to estimate this relationship. This collaborative study between ERS, CDC and the University of California, Berkeley pioneers use of Nielsen HomeScan time series data on food consumption and FoodNet foodborne illness surveillance to estimate the relative contributions of specific foods to illnesses caused by major foodborne pathogens.

Trends in Food Product Recalls: 2004-2013. Food product recalls, the removal of risky food products from the marketplace, can impose significant burdens for consumers, producers, and regulators. This report analyzes trends and patterns of food product recall events from 2004 to 2013. The analysis considers multiple factors, including the types of foods being recalled, the reasons for initiating the recalls, the severity of the risks posed by the recalled products, and the geographic distribution.

The 2011 Food Safety Modernization Act (FSMA) and the Fresh Produce Industry. Using new survey data collected through a joint ERS/NASS initiative, ERS researchers will assess pre-implementation food safety practices relative to several FSMA rules specifically focused on fresh produce. Results will compare food safety practices and costs of adoption for different size farms or post-harvest operations, for different regions of the country, and to the extent possible for different produce commodities. The research will provide a baseline for eventual assessment of effectiveness of FSMA adoption.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.255 RESEARCH INNOVATION AND DEVELOPMENT GRANTS IN ECONOMIC (RIDGE)

FEDERAL AGENCY:

Economic Research Service, Department of Agriculture

AUTHORIZATION:

FY 2012 Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 109-97, 7 U.S.C. 292, 411, 427, 1441a, 1621-1627, 1704, 1761-68, 2201, 2202, 3103, 3291, 3311, 3504; 22 U.S.C. 3101; 42 U.S.C. 1891-93; 44 U.S.C. 3501-11; 50 U.S.C. 2061 et seq., 2251 et seq.
2CFR Part 400, 2CFR Part 415, 2CFR Part 416, 7USC 3318c.

OBJECTIVES:

To provide economic and other social science information and analysis for public and private decisions on agriculture, food, natural resources, and rural America. ERS produces such information for use by the general public and to help the executive and legislative branches develop, administer, and evaluate agricultural and rural policies and programs.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

ERS performs economic research and analyses related to U.S. and world agriculture that addresses a multitude of economic concerns and decision making needs of Federal, State, and local governments, farmers, farm organizations, farm suppliers, marketers, processors, and consumers. There are

no restrictions on the use of ERS produced information.

Applicant Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Beneficiary Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Requests for technical information may be made to the Chief, Publishing and Communications Branch, Economic Research Service (ERS), 1400 Independence Avenue SW, Mail Stop 1800, Washington, DC 20520-1800.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

None.

Appeals:

none.

Renewals:

none.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. none.
This program does not have MOE requirements. None.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
None.

Reports:

Progress reports, final technical reports, financial statements, and inventions and subaward reports. The frequency of reports is outlined in the terms of the agreement. Cash reports are not applicable. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of three years from the final expenditure report or, for awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, as authorized by the Federal awarding agency.

Account Identification:

12-1701-0-1-352.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 16 \$600,000; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1701.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The impact of food assistance programs on consumption patterns, food choices, and nutritional outcomes was a central theme of research funded through the RIDGE Program in FY 2016. Findings from these RIDGE research projects were presented at the annual conference held in Washington, DC, October 2016. Summaries of the studies were added to the ERS website at <https://data.ers.usda.gov/FANRP-ridge-project-summaries.aspx>. The research studies were:

The Effects of SNAP on Non-Food Consumption: An Instrumental Variables Approach
Lorenzo Almada, School of Social Work, Columbia University

Design Flaws: The Effect of the Coverage Gap in Food Assistance Programs on Childrens Well-Being
Irma Arteaga and Colleen Heflin, School of Public Affairs, University of Missouri

The Impact of Expanding the National School Meals Program on School Performance
Joseph Price, Department of Economics, Brigham Young University, and Daniel Rees, Department of Economics, University of Colorado, Denver

The Impacts of School Lunch Reforms on Student Outcomes
Diane Schanzenbach, School of Education and Social Policy, Northwestern University

Identifying Multiple Patterns of Characteristics that Result in U.S. Urban and Rural Households having Very Low Food Security Using Classification Trees
Edward Frongillo Jr., Maryah S. Fram, and Seul Ki Choi, University of South Carolina

Community Eligibility and Child Well-Being
Colleen Heflin, University of Missouri and Daniel P. Miller, Boston University School of Social Work

Spatially Heterogeneous Effects of SNAP Participation and Food Insecurity on Hispanic Childrens Physical and Mental Health
Shannon Monnat and Jennifer Van Hook, Pennsylvania State University

Rural and Urban Comparisons of SNAP Participation, Neighborhood Effects, and Food Outlet Availability on Childhood and Adult Time with Normal and Obese Weight: Using the NLSY
Thomas Vartanian, Bryn Mawr College

Using Geo-ethnography to Understand How Place and Space Matter in Issues of Food Access
Lillian MacNeill, North Carolina State University

Also in FY 2016, an Interagency Agreement between the Food and Nutrition Service (FNS) and the Economic Research Service (ERS) was initiated to provide funding for the recompetition of a grant to establish a Research Center to administer the Research Innovation and Development Grants in Economics (RIDGE) Program. The recompetition was implemented to (1) provide renewed focus on economic aspects of food and nutrition assistance research, (2) stimulate new and innovative research on domestic food and nutrition assistance issues by providing small grants for new analyses of the USDA major food and nutrition assistance programs, food security, and smaller, less studied programs such as the Summer Food Service Program, (3) broaden the support of both established and emerging scholars to increase the number and diversity of researchers who collaborate in tackling the unique food and

nutrition challenges that exist across communities, regions, and States, and (4) achieve cost savings through administration of program activities at a single center.

A grant was awarded to Tufts University and the University of Connecticut (Tufts/UConn) to jointly administer a program of sub-awards to investigators at universities and other institutions for research projects conducted during FY 2017-18. Tufts/UConn provides oversight of the application, peer review, sub-grant award, and quality-assurance processes of the RIDGE Program to (1) support food and nutrition assistance research at the national level as well as for specific populations, such as immigrants, Native Americans, people living in rural areas, or residents in areas who experience limited access to healthy foods; and (2) disseminate these research findings to a diverse stakeholder audience, including other researchers, policy and program officials, and the general public. Fiscal Year 2017: The Research Innovation and Development Grants in Economics (RIDGE) Program encourages new and innovative research on food and nutrition assistance issues and broadens the participation of social science scholars in such research. RIDGE is funded by USDAs Economic Research Service and Food and Nutrition Service and administered in partnership with several universities during FY 2016-18:

The RIDGE Center for National Food and Nutrition Assistance Research at the Institute for Research on Poverty, University of Wisconsin-Madison (ended FY 2016), and

The RIDGE Center for Targeted Food and Nutrition Assistance Research at the Center for Regional Development, Purdue University (ended FY 2016); Tufts University, Friedman School of Nutrition Science and Policy, and the University of Connecticut (beginning FY 2017).

The RIDGE partnership institutions oversee the application, peer review, award, and performance processes of the research grants provided through the RIDGE Program. The institutions serve as a hub for mentoring and training researchers interested in food and nutrition assistance issues and provide a source of timely and accessible information on new research findings.

RIDGE Program Activities, FY 2017

The newly established Tufts/UConn RIDGE Program announced a call for research projects in FY 2017. To evaluate the proposals, Tufts/UConn developed an online proposal and submission site through the FluidReview platform, formed an external advisory committee, and established a community of proposal reviewers and project mentors. A diverse set of projects was selected through this competitive review process, with input from ERS and FNS, for research to be conducted during FY 2017-18. Grants were awarded for:

Is Food Assistance Like Cash for Retailers?
Marianne Bitler, University of California, Davis

The Effects of Disability, SNAP Participation and Changes in Benefits on Food Insecurity
Seungyeon Cho, Texas A&M University, Texas AgriLife Research

Does SNAP Encourage Claiming Disability Insurance Benefits?
Emmanuel Drabo, Stanford University

Understanding the Impact of Changes to the Child and Adult Care Food Program on Food Expenditures, Food Quality, and Young Childrens Dietary Intake in Urban Family Child Care Homes
Erica Kenney, Harvard T.H. Chan School of Public Health

Food Choices of SNAP/WIC Participants at Convenience Stores and Supermarkets
Grace Melo, University of Georgia

Multi-generational Effects of Prenatal and Early Life Access to SNAP
Marianne Page, University of California, Davis

The Impact of the Monthly SNAP Issuance Cycle on Sugar-Sweetened

Beverage Purchasing and Implications for Policy Change
Eric Rimm, Harvard T.H. Chan School of Public Health

Does Universal Free School Meals Reduce Childhood Obesity?
Michah Rothbart, Maxwell School, Syracuse University

Organizational Readiness for Knowledge Translation and WIC Participation
Naisi Zhao, Tufts University School of Medicine. Fiscal Year 2018: The Research Innovation and Development Grants in Economics (RIDGE) Program encourages new and innovative research on food and nutrition assistance issues and broadens the participation of social science scholars in such research. RIDGE is funded by USDAs Economic Research Service and Food and Nutrition Service and administered in partnership with several universities during FY 2016-18:

The RIDGE Center for National Food and Nutrition Assistance Research at the Institute for Research on Poverty, University of Wisconsin-Madison (ended FY 2016), and

The RIDGE Center for Targeted Food and Nutrition Assistance Research at the Center for Regional Development, Purdue University (ended FY 2016); Tufts University, Friedman School of Nutrition Science and Policy, and the University of Connecticut (beginning FY 2017).

The RIDGE partnership institutions oversee the application, peer review, award, and performance processes of the research grants provided through the RIDGE Program. The institutions serve as a hub for mentoring and training researchers interested in food and nutrition assistance issues and provide a source of timely and accessible information on new research findings.

Projected RIDGE Program Activities, FY 2018

Research projects funded by Tufts/UConn in FY 2017 will be completed during FY 2018 and findings presented at the annual RIDGE Conference scheduled in October 2018. Additional activities of the Tufts/UConn RIDGE Program and awarding of further research projects are dependent upon availability of funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nancy A. Thomas 355 E Street SW, Room 5-254, Washington, District of Columbia 20024-3231 Email: NThomas@ers.usda.gov Phone: 202-694-5008

Website Address:

<http://www.ers.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Effects of SNAP on Non-Food Consumption: An Instrumental Variables Approach
Lorenzo Almada, School of Social Work, Columbia University

Design Flaws: The Effect of the Coverage Gap in Food Assistance Programs on Childrens Well-Being
Irma Arteaga and Colleen Heflin, School of Public Affairs, University of Missouri

The Impact of Expanding the National School Meals Program on School Performance
Joseph Price, Department of Economics, Brigham Young University, and Daniel Rees, Department of Economics, University of Colorado, Denver

The Impacts of School Lunch Reforms on Student Outcomes
Diane Schanzenbach, School of Education and Social Policy, Northwestern University

Identifying Multiple Patterns of Characteristics that Result in U.S. Urban and Rural Households having Very Low Food Security Using Classification Trees
Edward Frongillo Jr., Maryah S. Fram, and Seul Ki Choi, University of South Carolina

Community Eligibility and Child Well-Being
Colleen Heflin, University of Missouri and Daniel P. Miller, Boston University School of Social Work

Spatially Heterogeneous Effects of SNAP Participation and Food Insecurity on Hispanic Childrens Physical and Mental Health
Shannon Monnat and Jennifer Van Hook, Pennsylvania State University

Rural and Urban Comparisons of SNAP Participation, Neighborhood Effects, and Food Outlet Availability on Childhood and Adult Time with Normal and Obese Weight: Using the NLSY
Thomas Vartanian, Bryn Mawr College

Using Geo-ethnography to Understand How Place and Space Matter in Issues of Food Access
Lillian MacNell, North Carolina State University

Also in FY 2016, an Interagency Agreement between the Food and Nutrition Service (FNS) and the Economic Research Service (ERS) was initiated to provide funding for the recompetition of a grant to establish a Research Center to administer the Research Innovation and Development Grants in Economics (RIDGE) Program. The recompetition was implemented to (1) provide renewed focus on economic aspects of food and nutrition assistance research, (2) stimulate new and innovative research on domestic food and nutrition assistance issues by providing small grants for new analyses of the USDA major food and nutrition assistance programs, food security, and smaller, less studied programs such as the Summer Food Service Program, (3) broaden the support of both established and emerging scholars to increase the number and diversity of researchers who collaborate in tackling the unique food and nutrition challenges that exist across communities, regions, and States, and (4) achieve cost savings through administration of program activities at a single center.

A grant was awarded to Tufts University and the University of Connecticut (Tufts/UConn) to jointly administer a program of sub-awards to investigators at universities and other institutions for research projects conducted during FY 2017-18. Tufts/UConn provides oversight of the application, peer review, sub-grant award, and quality-assurance processes of the RIDGE Program to (1) support food and nutrition assistance research at the national level as well as for specific populations, such as immigrants, Native Americans, people living in rural areas, or residents in areas who experience limited access to healthy foods; and (2) disseminate these research findings to a diverse stakeholder audience, including other researchers, policy and program officials, and the general public. Fiscal Year 2017: The newly established Tufts/UConn RIDGE Program announced a call for research projects in FY 2017. To evaluate the proposals, Tufts/UConn developed an online proposal and submission site through the FluidReview platform, formed an external advisory committee, and established a community of proposal reviewers and project mentors. A diverse set of projects was selected through this competitive review process, with input from ERS and FNS, for research to be conducted during FY 2017-18. Grants were awarded for:

Is Food Assistance Like Cash for Retailers?
Marianne Bitler, University of California, Davis

The Effects of Disability, SNAP Participation and Changes in Benefits on Food Insecurity
Seungyeon Cho, Texas A&M University, Texas AgriLife Research

Does SNAP Encourage Claiming Disability Insurance Benefits?
Emmanuel Drabo, Stanford University

Understanding the Impact of Changes to the Child and Adult Care Food Program on Food Expenditures, Food Quality, and Young Childrens Dietary

Intake in Urban Family Child Care Homes
Erica Kenney, Harvard T.H. Chan School of Public Health

Food Choices of SNAP/WIC Participants at Convenience Stores and Supermarkets
Grace Melo, University of Georgia

Multi-generational Effects of Prenatal and Early Life Access to SNAP
Marianne Page, University of California, Davis

The Impact of the Monthly SNAP Issuance Cycle on Sugar-Sweetened Beverage Purchasing and Implications for Policy Change
Eric Rimm, Harvard T.H. Chan School of Public Health

Does Universal Free School Meals Reduce Childhood Obesity?
Michah Rothbart, Maxwell School, Syracuse University

Organizational Readiness for Knowledge Translation and WIC Participation
Naisi Zhao, Tufts University School of Medicine. Fiscal Year 2018: Research projects funded by Tufts/UConn in FY 2017 will be completed during FY 2018 and findings presented at the annual RIDGE Conference scheduled in October 2018. Additional activities of the Tufts/UConn RIDGE Program and awarding of further research projects are dependent upon availability of funding.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.290 AGRICULTURAL MARKET AND ECONOMIC RESEARCH
N/A

FEDERAL AGENCY:

The Office of the Chief Economist, Department of Agriculture

AUTHORIZATION:

7 U.S.C. Section 3318.

OBJECTIVES:

To conduct cooperative economic research and analyses in support of the Office of the Chief Economist's responsibility to advise the Secretary of Agriculture on the economic implications of Department policies, programs, and proposed legislation. These research projects and analyses cover the nation's agricultural commodity markets; risk analyses and cost-benefit analyses related to international food and agriculture; sustainable development; energy issues related to the agricultural economy; agricultural labor; global climate change; and any other topics that support the Department's consideration or review of policies and programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds can be used to conduct research that is in cooperation with the Office of the Chief Economist's in-house research and analysis programs and projects. Funds cannot be used for construction, renovation, real property purchases, and in most cases, equipment.

Applicant Eligibility:

Applicants must have substantial experience in the field of research for which they are applying.

Beneficiary Eligibility:

Funds are awarded directly to the ultimate beneficiary. This is not a pass-through program.

Credentials/Documentation:

Documentation is required, but will vary on the nature of the project and the beneficiary. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Interested applicants must contact the Office of the Chief Economist prior to submitting a proposal.

Award Procedure:

The Office of the Chief Economist considers proposals received, evaluates the qualifications of the proposal with respect to the research issue, and, in consultation with the selected cooperator institution, establishes a funding level and the specific deliverables due at the conclusion of the agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

7 CFR Part 11 does not apply to this program.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds may be awarded at any time during the fiscal year. The period of performance is typically restricted to one year, but may be longer at the discretion of the Agency. See the following for information on how assistance is awarded/released: Funds may be dispersed as often as monthly upon request of the cooperator.

Reports:

No program reports are required. Cash reports are not applicable. Progress reports are required semi-annually, or as otherwise directed by the Agency. A final report is required within 90 days of project completion. Financial reports are required semi-annually, or as otherwise directed by the Agency. A final report is required within 90 days of project completion. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of three years from the date of submission of the final expenditure report.

Account Identification:

12-0123-0-1-352.

Obligations:

(Cooperative Agreements) FY 16 \$1,476,093; FY 17 est \$1,000,000; and FY 18 est \$800,000

Range and Average of Financial Assistance:

For FY16, the range of Financial Assistance was \$15,000 to \$300,000, with an average award of \$107,282.

TAFS Codes:

12-0123.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Chief Economist 12th & Jefferson Drive, SW
Whitten Building, Room 112
, Washington, District of Columbia 20250 Phone: 202-720-4164

Website Address:

<http://www.usda.gov/oc>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: FY 2016: One project was funded to better understand the accuracy of USDA's World Agricultural Supply and Demand Estimate report estimates. It analyzed forecast errors for both foreign and domestic production, consumption, trade and stocks estimates, and decomposed the forecast error of key economic indicators such as domestic carryout levels, exports, and price projections.

One project was funded to support an in-depth study to determine the accuracy of biofuel models, by comparing model predictions of indirect land use change and food prices, with actual time series data for the U.S. and the world.

One project was funded to provide greater national collaboration and standardization of Water Quality Trading programs through the adoption of standardized program templates and frameworks based on the National Network's "Building a Water Quality Trading Program: Options and Considerations.". Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Review by professional economic and senior management staff at the Office of the Chief Economist for relevance of the proposed research topic to the Office of the Chief Economist's priorities, experience of the institution with respect to the research topic, cost effectiveness, and qualifications of key personnel.

**10.291 AGRICULTURAL AND FOOD POLICY RESEARCH CENTERS
FEDERAL AGENCY:**

The Office of the Chief Economist, Department of Agriculture

AUTHORIZATION:

Agricultural Act of 2014, Public Law 113-79, 7 U.S.C 3155.

OBJECTIVES:

To conduct research and education programs that are objective, operationally independent, and external to the Federal Government and that concern the effect of public policies and trade agreements on:

- (1) the farm and agricultural sectors (including commodities, livestock, dairy, and specialty crops);
- (2) the environment;
- (3) rural families, households, and economies; and
- (4) consumers, food, and nutrition.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding may be used for disciplinary and interdisciplinary research and education concerning policy research activities consistent with this section, including activities that

- (1) quantify the implications of public policies and regulations;
- (2) develop theoretical and research methods;
- (3) collect, analyze, and disseminate data for policymakers, analysts, and individuals; and
- (4) develop programs to train analysts. Funds cannot be used for construction, renovation, real property purchases, and in most cases, equipment.

Applicant Eligibility:

Applicants must have substantial experience in the field of research for which they are applying, including a history of providing (1) unbiased, nonpartisan economic analysis to Congress on the farm and agricultural sectors (including commodities, livestock, dairy, and specialty crops), the environment, rural families, households, and economies, and consumers, food, and nutrition; or (2)

objective, scientific information to Federal agencies and the public to support and enhance efficient, accurate implementation of Federal drought preparedness and drought response programs, including inter agency thresholds used to determine eligibility for mitigation or emergency assistance.

Beneficiary Eligibility:

Funds are awarded directly to the ultimate beneficiary. This is not a pass-through program.

Credentials/Documentation:

Applicants must provide evidence of legal capacity. Additional documentation may be required at the discretion of the agency. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Interested applicants must contact the Office of the Chief Economist prior to submitting a proposal. Applicants for cooperative agreements must provide an SF-424, evidence of legal capacity, a proposed work plan and budget, and a description of how the proposed project meets eligibility requirements.

Award Procedure:

The Office of the Chief Economist considers proposals received, evaluates the qualifications of the proposal with respect to the research issue, and, in consultation with the selected cooperator institution, establishes a funding level and the specific deliverables due at the conclusion of the agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 90 days, but no later than any Congressionally-mandated deadline for obligation.

Appeals:

7 CFR Part 11 does not apply to this program.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds may be awarded at any time during the fiscal year. The period of performance is typically restricted to one year, but may be longer at the discretion of the Agency. See the following for information on how assistance is awarded/released: Funds may be dispersed as often as monthly, upon request of the cooperator.

Reports:

No program reports are required. No cash reports are required. Progress reports are required semi-annually, or as directed by the Agency. A final report is required within 90 days of project completion. Financial reports are required semi-annually, or as otherwise directed by the Agency. A final report is required within 90 days of project completion. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients must comply with the record-keeping requirements in 2 C.F.R. 200.333-.337.

Account Identification:

12-0123-0-1-352.

Obligations:

(Cooperative Agreements) FY 16 \$3,800,000; FY 17 est \$3,800,000; and FY 18 est \$3,800,000

Range and Average of Financial Assistance:

2016 range: \$840,000 - \$1,500,000
2016 average: \$1,280,000
2017 range: \$840,000 - \$1,500,000
2017 average: \$1,280,000
2018 range: \$840,000 - \$1,500,000
2018 average: \$1,280,000.

TAFS Codes:

12-0123.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

William H. Colby 1400 Independence Ave., SW Rm 4434-S
, Washington, District of Columbia 20250-3812 Email: hcolby@oce.usda.gov
Phone: 2026902477

Website Address:

<http://www.usda.gov/oce>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Farm Level Analysis of Farm Policy and Crop Insurance, 2016-17.
Analysis of Agricultural Markets and Policies, 2016-2017.
Drought Information Services for Agriculture across the United States. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria may include, but are not limited to, the applicants experience performing the work for which funds are requested, the reasonableness of the budget, and past performance using funding awarded through this program.

10.303 INTEGRATED PROGRAMS

Integrated Programs

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 406 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7626), as reauthorized by Section 7306 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246), authorized the Secretary of Agriculture to establish a competitive grants program that provides funding for integrated, multifunctional agricultural research, extension, and education activities. Subject to the availability of appropriations to carry out this program, the Secretary may award grants to colleges and universities [as defined by section 1404 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) (7 U.S.C. 3103)], as amended, on a competitive basis for projects that address priorities in United States agriculture and involve integrated

research, education, and extension activities, as determined by the Secretary in consultation with the National Agricultural Research, Extension, Education, and Economics Advisory Board (NAREEEAB). Section 7129 of the FCEA amended section 406(b) of AREERA (7 U.S.C. 7626(b)), adding Hispanic-serving agricultural colleges and universities (HSACUs) as eligible entities for competitive funds awarded under this authority (see Part III.B. of RFA for more information).
, 7 U.S.C 7626; Section 2(c)(1)(B) of Public Law 89106, as amended.

, Public Law 89-106, 7 U.S.C 7626; Competitive, Special, and Facilities Research Grant Act, Public Law 89-106, 7 U.S.C 450i; Title V of the Rural Development Act of 1972, Public Law 92-419, 7 U.S.C 2204a.

OBJECTIVES:

GENERAL:

NIFA Integrated Programs provide support for integrated research, education, and extension activities. Integrated, multi-functional projects are particularly effective in addressing important agricultural issues through the conduct of problem-focused research that is combined with education and extension of knowledge to those in need of solutions. These activities address critical national, regional, and multi-state agricultural issues, priorities, or problems. Integrated Programs hold the greatest potential to produce and disseminate knowledge and technology directly to end users while providing for educational opportunities to assure agricultural expertise in future generations. See individual program Requests for Applications for additional information about the topics.

SEVERAL PROGRAMS ARE FUNDED UNDER CFDA 10.303. SPECIFIC OBJECTIVES ARE AS FOLLOWS:

(1) Integrated Research, Education, and Extension Competitive Grants Program: National Integrated Water Quality Program
The goal of the National Integrated Water Quality Program is to improve the quality of our Nation's surface water and groundwater resources through research, education, and extension activities. Projects funded through this program will facilitate achieving this goal by advancing and disseminating the knowledge base available to agricultural and rural communities. Funded projects should lead to science-based decision-making and management practices that improve the quality of the Nation's surface water and groundwater resources in agricultural and rural watersheds. See RFA for priority areas.

(2) Integrated Research, Education, and Extension Competitive Grants Program: National Integrated Food Safety Initiative
The purpose of the National Integrated Food Safety Initiative is to support food safety projects that demonstrate an integrated approach to solving problems in applied food safety research, education, or extension. Various models for integration of applied research, education, and extension will be considered for funding. Applications describing multi-state, multi-institutional, multidisciplinary, and multifunctional activities (and combinations thereof) are encouraged. Applicants are strongly encouraged to address at least two of the three functional areas of research, education, and extension (i.e., research and extension, research and education, or extension and education).

(3) Integrated Research, Education, And Extension Competitive Grants Program: Regional Integrated Pest Management Centers
The goal of the Regional Integrated Pest Management Centers (IPM Centers) is to promote the development and implementation of IPM by facilitating collaboration across states, disciplines, and purposes. IPM Centers will establish and maintain information networks, build partnerships to address pest management challenges and opportunities, evaluate the impact of IPM implementation, communicate positive outcomes to key stakeholders, and manage funding resources effectively. Successful applicants to this program will demonstrate the capacity and commitment necessary to advance the goals of the National Roadmap for Integrated Pest Management (www.ipmcenters.org/IPMRoadMap.pdf), and evaluate the progress of this advancement. The IPM Roadmap addresses pest management needs for production agriculture, natural resources and recreational environments, and residential and public areas.

(4) Integrated Pest Management: Crops at Risk Program

The goal of the CAR program is to enhance the development and implementation of innovative, ecologically based sustainable IPM system(s). Preferably, this should involve a diversity of tactics and approaches for a single or specific food or fiber commodity in commercial production for pre- and/or post-harvest system(s). The program addresses either a major acreage or high value crop commodity such as key fruits and vegetables. The primary emphasis is on crop productivity and profitability, while addressing critical environmental quality and human health issues. The CAR program will fund integrated multifunctional/multidisciplinary research, education, and extension projects for crops with high priority IPM needs as identified by stakeholders.

(5) Integrated Pest Management: Risk Avoidance and Mitigation Program

The goal of the Risk Avoidance and Mitigation (RAMP) program is to enhance the development and implementation of innovative, ecologically based sustainable IPM strategies and system(s) for (a) multi-crop food and fiber production systems; (b) an area-wide or a landscape scale agroecosystem; or (c) a documented pesticide impact on water, human or environmental health. RAMP applications may address major acreage agricultural production systems, high value crops such as key fruit and vegetable systems, or other agroecosystems. The primary emphasis of the application should be on productivity and profitability while addressing critical environmental quality and human health issues. The intent of RAMP is to fund medium-term projects that emphasize systems approaches.

(6) Integrated Research, Education, and Extension Competitive Grants

Program: Integrated Pest Management: Methyl Bromide Transitions Program
The goal of the Methyl Bromide Transitions (MBT) program is to support the discovery and implementation of practical pest management alternatives to methyl bromide uses or minimize methyl bromide emissions for which the United States is requesting critical use exemptions. The program is focused on integrated commercial or field scale research that targets short- to medium-term solutions.

(7) Integrated Organic Program

The purpose of the Integrated Organic Program is to solve critical organic agriculture issues, priorities, or problems through the integration of research, education, and extension activities. The Organic Transitions Program (ORG) funds the development and implementation of research, extension, and higher education programs to improve the competitiveness of organic producers and producers who are adopting organic practices. Funding opportunities for the ORG Program is included in the same Request for Applications (RFA) as the Organic Research and Extension Initiative (OREI).

PLEASE NOTE: THIS PROGRAM DOES NOT FUND START UP BUSINESSES.

(8) Regional Rural Development Centers (RRDCs):

The RRDCs play a unique role in USDA's service to rural America. They link the research and educational outreach capacity of the nation's public universities with communities, local decision makers, entrepreneurs, families, and farmers and ranchers to help address a wide range of development issues. They collaborate on national issues that span regions-like e-commerce, the changing interface between rural, suburban, and urban places, and workforce quality and jobs creation. Each tailors programs to address particular needs in its region.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This research, education, and extension competitive grants program provides funding for integrated, multi-functional agricultural research, extension, and education activities which addresses priorities in United States agriculture. Grant funds must be used for allowable costs necessary to conduct approved integrated research, extension and education objectives to address food and agricultural sciences, in the broadest sense. NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or

installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities. Funds may not be used for any purposes other than those approved in the grant award documents. Tuition remission is not allowable.

The following programs are authorized under (Section 406 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7626):

- (1) Water Quality
- (2) Food Safety
- (3) Regional Pest Management Centers
- (4) Crops at Risk
- (5) Risk Mitigation Program
- (6) Methyl Bromide Transition Program and
- (7) Organic Transition - Risk Assessment

Other Integrated Program(s):

- (8) Regional Rural Development Centers (7 U.S.C. 450i and 7 U.S.C. 2204a)

Section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319) prohibits indirect costs. Hence, indirect costs are unallowable for this program. Fully discretionary.

Applicant Eligibility:

State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research and extension institutions and organizations, Federal agencies, private organizations or corporations, and individuals to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

Beneficiary Eligibility:

State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research and extension institutions and organizations, Federal agencies, private organizations or corporations, and individuals to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

- (i) Be registered in SAM before submitting its application;
- (ii) Provide a valid DUNS number in its application; and
- (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on

an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

Methyl Bromide Transition Program (MBT):

<https://nifa.usda.gov/funding-opportunity/methyl-bromide-transition>

Organic Transition-Risk Assessment (ORG):

<https://nifa.usda.gov/funding-opportunity/organic-transitions-org>

Regional Rural Development Centers:

<https://nifa.usda.gov/regional-rural-development-centers>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.htm>

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via:

Methyl Bromide Transition Program (MBT):

<https://nifa.usda.gov/funding-opportunity/methyl-bromide-transition>

Organic Transition-Risk Assessment (ORG):

<https://nifa.usda.gov/funding-opportunity/organic-transitions-org>

Regional Rural Development Centers:

<https://nifa.usda.gov/regional-rural-development-centers>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via:

Methyl Bromide Transition Program (MBT):
<https://nifa.usda.gov/funding-opportunity/methyl-bromide-transition>

Organic Transition-Risk Assessment (ORG):
<https://nifa.usda.gov/funding-opportunity/organic-transitions-org>

Regional Rural Development Centers:
<https://nifa.usda.gov/regional-rural-development-centers>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:
<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:

Methyl Bromide Transition Program (MBT):
<https://nifa.usda.gov/funding-opportunity/methyl-bromide-transition>

Organic Transition-Risk Assessment (ORG):
<https://nifa.usda.gov/funding-opportunity/organic-transitions-org>

Regional Rural Development Centers:
<https://nifa.usda.gov/regional-rural-development-centers>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

Methyl Bromide Transition Program (MBT):
<https://nifa.usda.gov/funding-opportunity/methyl-bromide-transition>

Organic Transition-Risk Assessment (ORG):
<https://nifa.usda.gov/funding-opportunity/organic-transitions-org>

Regional Rural Development Centers:
<https://nifa.usda.gov/regional-rural-development-centers>.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. GENERAL RULES:

- (a) Funds are awarded competitively.
- (b) No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

SPECIFIC PROVISIONS:

Regarding Critical Issues and Regional Rural Development Centers (Section 2(c)(1)(B) of Public Law 89-106, as amended):

NIFA does not require matching or cost sharing support for the above-referenced programs. However, the provisions indicated below are applicable to the following programs which are authorized under Section 406 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7626):

- (1) Water Quality
- (2) Food Safety

- (3) Regional Pest Management Centers
- (4) Crops at Risk
- (5) Risk Mitigation
- (6) Methyl Bromide Transition and
- (7) Organic Transition - Risk Assessment

Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e]. If a grant provides a particular benefit to a specific agricultural commodity, and not of national scope, the grant recipient is required to match the USDA funds awarded on a dollar-for-dollar basis from non-Federal sources with cash and/or in-kind contributions. (See Part IV, B., 6. of the RFA for details.)

NIFA may waive the matching funds requirement for a grant if NIFA determines that:

(1) the results of the project, while of particular benefit to a specific agricultural commodity, are likely to be applicable to agricultural commodities generally; or

(2) the project involves a minor commodity, the project deals with scientifically important research, and the grant recipient is unable to satisfy the matching funds requirement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Regarding the Critical Issues and Regional Rural Development Centers (Section 2(c)(1)(B) of Public Law 89106, as amended), normally, competitive research projects will be supported for periods of up to three (3) years.

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

Methyl Bromide Transition Program (MBT):
<https://nifa.usda.gov/funding-opportunity/methyl-bromide-transition>

Organic Transition-Risk Assessment (ORG):
<https://nifa.usda.gov/funding-opportunity/organic-transitions-org>

Regional Rural Development Centers:
<https://nifa.usda.gov/regional-rural-development-centers>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REEport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at

<http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEport Database--

Research, Education, and Extension project online reporting tool (REEport) All projects must be documented in REEport. The NIFA contact for all REEport documentation is:

REEport
 National Institute of Food and Agriculture
 U.S. Department of Agriculture
 STOP 2213
 1400 Independence Avenue, S.W.
 Washington, D.C. 20250-2213
 Telephone: (202) 690-0009
 E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. **PROGRESS REPORTS:** See above for pertinent and specific details. **EXPENDITURE REPORTS:** See above for pertinent and specific details. **PERFORMANCE MONITORING:** See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entities fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entities fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3)

year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1502-0-1-352.

Obligations:

(Project Grants) FY 16 \$6,616,405; FY 17 est \$7,568,631; and FY 18 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

Methyl Bromide Transition Program (MBT):
<https://nifa.usda.gov/funding-opportunity/methyl-bromide-transition>

Organic Transition-Risk Assessment (ORG):
<https://nifa.usda.gov/funding-opportunity/organic-transitions-org>

Regional Rural Development Centers:
<https://nifa.usda.gov/regional-rural-development-centers>.

TAFS Codes:

12-2017-2017-1502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: For Fiscal Year (FY) 2016:

(A) Water Quality
(also Conservation Effects Assessment Projects (CEAP)
aka Integrated - Water Quality

No Action Needed (N/A/N):
Program has not been funded for several years.

(B) National Integrated Food Safety Initiative (aka NIFSI):

No Action Needed (N/A/N):
Program has not been funded for several years.

(C) (Regional) IPM (Integrated Pest Management) Centers (aka RIPM)
aka Integrated pest Mgmt./Biological Control
(aka IPM Centers - - NOT RIPM)

NOTE: Formerly RIPM - CFDA 10.500 and Research - CFDA 10.200 (funded via Smith-Lever 3 (d) and P.L. 89-106)

(D) Crops at Risk from FQPA Implementation

SPECIAL NOTE: See CPPM - CFDA # 10.329

(E) FQPA Risk Mitigation Program for Major Food Crop System (aka Risk Avoidance and Mitigation Program?)

SPECIAL NOTE: See CPPM - CFDA # 10.329

(F) Methyl Bromide Transition Program (MBT)
Integrated Research, Education, and Extension Competitive Grants
Program-Methyl Bromide Transition

For Fiscal Year (FY) 2016:

For the FY 2016, the National Institute of Food and Agriculture awarded four awards that ranged from \$418,313 to \$499,999 for a total of approximately \$1.89 million.

The funding rate was 31%.

(G) Organic Transition-Risk Assessment
aka Organic Transition Program (ORG) FDC "51106"

NOTE: Also see CFDA 10.307 OREI - FDC "51300"

For Fiscal Year (FY) 2016:

For the FY 2016 award cycle, \$3,777,222 was available for project grant awards after subtracting administrative costs. A total of 47 applications, requesting a total of \$22,790,906, were received. In July 2016, a 12-member peer review virtual panel evaluated these applications. The peer panel included faculty from land grant universities, researchers from USDA Agricultural Research Service and a non-profit stakeholder group. Funds were available to support a total of 8 new awards. The funding ratio for this program in FY 16 was 17%. Funded projects seek to support the development and implementation of research, extension and higher education programs to improve the competitiveness of organic livestock and crop producers, as well as those who are adopting organic practices by studying and documenting environmental services provided by organic farming systems in the area of soil conservation and climate change mitigation, including greenhouse gases. Projects were also funded to develop strategies to limit barriers to organic transition. All projects integrate research, education and extension activities.

(H) Regional Rural Development Centers

For Fiscal Year (FY) 2016:

The amount available for support of this program in FY 2016 \$950,720 million (\$237,680 per center)

Four proposals were submitted and accepted in GAC.

Four proposals underwent a Noncompetitive Merit Review by three reviewers with NIFA. All four were recommended to receive funding for Regional Rural Development Centers grant. Amounts awarded to the four institutions throughout the United States are:

Location #1 Amount: \$ 237,680

Location #2 Amount: \$ 237,680

Location #3 Amount: \$ 237,680

Location #4 Amount: \$ 237,680. Fiscal Year 2017: For Fiscal Year (FY) 2017:

(A) Water Quality
(also Conservation Effects Assessment Projects (CEAP)
aka Integrated - Water Quality

No Action Needed (N/A/N):
Program has not been funded for several years.

(B) National Integrated Food Safety Initiative (aka NIFSI):

No Action Needed (N/A/N):
Program has not been funded for several years.

(C) (Regional) IPM (Integrated Pest Management) Centers (aka RIPM)
aka Integrated pest Mgmt./Biological Control
(aka IPM Centers -- NOT RIPM)

NOTE: Formerly RIPM - CFDA 10.500 and Research - CFDA 10.200 (funded via Smith-Lever 3 (d) and P.L. 89-106)

(D) Crops at Risk from FQPA Implementation

SPECIAL NOTE: See CPPM - CFDA # 10.329

(E) FQPA Risk Mitigation Program for Major Food Crop System (aka Risk Avoidance and Mitigation Program?)

SPECIAL NOTE: See CPPM - CFDA # 10.329

(F) Methyl Bromide Transition Program (MBT)
Integrated Research, Education, and Extension Competitive Grants
Program-Methyl Bromide Transition

For Fiscal Year (FY) 2017:

For FY 2017, approximately \$1,800,000 is available for awards. The National Institute of Food and Agriculture will peer-review thirteen submitted proposal and make awards by September 30, 2017.

The funding rate is anticipated to be approximately 31%.

(G) Organic Transition-Risk Assessment
aka Organic Transition Program (ORG) FDC "51106"

NOTE: Also see CFDA 10.307 OREI - FDC "51300"

For Fiscal Year (FY) 2017:

For the FY 2017 award cycle, about \$3.7 millions was available for project grant awards after subtracting administrative costs. A total of 44 applications, requesting a total of \$20,832,046 were received. In June 2017, a 12-member (plus two ad hoc) peer review virtual panel evaluated these applications. The peer panel included faculty from land grant universities, researchers from USDA Agricultural Research Service and a non-profit stakeholder group. Funds were available to support a total of 8 new awards. The funding ratio for this program in FY16 was 18%. Funded projects seek to support the development and implementation of research, extension and higher education programs to improve the competitiveness of organic livestock and crop producers, as well as those who are adopting organic practices by studying and documenting environmental services provided by organic farming systems in the area of soil conservation and climate change mitigation, including greenhouse gases. Projects were also funded to develop cultural practices and other allowable alternatives to substances recommended for removal from the National Organic Programs National List of Allowed and Prohibited Substances. All projects integrate research, education and extension activities.

(H) Regional Rural Development Centers

For Fiscal Year (FY) 2017:

NIFA announced the availability of grant funds and requests applications for the Regional Rural Development Centers (RRDC) Competitive Grants Program for fiscal year (FY) 2017 to link the research and educational outreach capacity of the nation's public universities with communities, local decision makers, entrepreneurs, families, and farmers and ranchers to help address a wide range

of development issues for prosperity for sustainable and secure communities will always be a matter of public interest. RRDCs leverage land-grant resources in pursuit of USDA's rural development mission by bringing together the most innovative minds from inside and outside universities to address cutting-edge issues without regard to state boundaries. They respond to emerging issues, generate credible science-based information to clarify these issues, and create public-private partnerships to address them.

The amount available for support of this program in FY 2017 is \$1,899,520 (\$474, 880 per center). The grant deadline was July 7, 2017. Four proposals were reviewed and recommended for funding. Fiscal Year 2018: For Fiscal Year (FY) 2018:

(A) Water Quality
(also Conservation Effects Assessment Projects (CEAP)
aka Integrated - Water Quality

No Action Needed (N/A/N):
Program has not been funded for several years.

(B) National Integrated Food Safety Initiative (aka NIFSI):

No Action Needed (N/A/N):
Program has not been funded for several years.

(C) (Regional) IPM (Integrated Pest Management) Centers (aka RIPM)
aka Integrated pest Mgmt./Biological Control
(aka IPM Centers - - NOT RIPM)

NOTE: Formerly RIPM - CFDA 10.500 and Research - CFDA 10.200 (funded via Smith-Lever 3 (d) and P.L. 89-106)

(D) Crops at Risk from FQPA Implementation

SPECIAL NOTE: See CPPM - CFDA # 10.329

(E) FQPA Risk Mitigation Program for Major Food Crop System (aka Risk Avoidance and Mitigation Program?)

SPECIAL NOTE: See CPPM - CFDA # 10.329

(F) Methyl Bromide Transition Program (MBT)
Integrated Research, Education, and Extension Competitive Grants
Program-Methyl Bromide Transition

For Fiscal Year (FY) 2018:

It is unclear at this time whether funding will be available for this program in FY 2018 as the program was not in the President's FY 2018 budget request.

(G) Organic Transition-Risk Assessment
aka Organic Transition Program (ORG) FDC "51106"

NOTE: Also see CFDA 10.307 OREI - FDC "51300"

For Fiscal Year (FY) 2018:

The FY 2018 RFA will focus on the development and implementation of research, extension and higher education programs to improve the competitiveness of organic livestock and crop producers, as well as those who are adopting organic practices. The program will focus on the development and implementation of biologically-based pest management practices that mitigate the ecological, agronomic and economic risks associated with a

transition from conventional to organic agricultural production systems.

(H) Regional Rural Development Centers

For Fiscal Year (FY) 2018:

We do not anticipate a higher level of funding or programming in FY 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFA's partners and award recipients to support their adherence to federal regulations governing program performance:

NIFA's primary (main) website:
<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFA's Federal Assistance Policy Guide describes agency policies and procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.
<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and

agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None. Section # 153 - Additional Websites:

- <http://nifa.usda.gov/program/national-water-quality-program>
- <http://nifa.usda.gov/program/national-integrated-food-safety-initiative>
- <http://nifa.usda.gov/program/integrated-pest-management-program>
- http://www.epa.gov/pesticides/regulating/laws/fqpa/fqpa_implementation.htm
- <http://nifa.usda.gov/resource/integrated-programs-application-information>
- <http://nifa.usda.gov/funding-opportunity/methyl-bromide-transitions>
- <http://nifa.usda.gov/program/organic-agriculture-program>
- <http://nifa.usda.gov/regional-rural-development-centers>.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate and Environment Division of Environmental Systems, 1400 Independence Avenue, SW., STOP 2210, Washington, District of Columbia, 20250-2210, Telephone: (202) 720-5229, Fax: (202) 720-3945.

ADDITIONAL CONTACTS:

USDA, NIFA, National Program Leader; Institute of Food Safety and Nutrition, Division of Food Safety 1400 Independence Avenue, SW., STOP 2225, Washington, DC 20250-2220; Telephone: (202) 401-1954; Fax: (202) 401-14888;

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Animal Systems, 1400 Independence Avenue, SW., STOP 2240, Washington, DC 20250-2220; Telephone: (202) 401-6134; fax: 202-401-1602;

AND

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Family and Consumer Sciences 1400 Independence Avenue, SW., STOP 2250, Washington, DC 20250-2250; Telephone: (202) 720-4795; fax: 202-720-93662; , Washington , District of Columbia 20250-2210 Email: Policy@nifa.usda.gov Phone: (202) 720-5229 Fax: (202) 720-3945

Website Address:

<http://nifa.usda.gov/grants>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.212 Small Business Innovation Research; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.309 Specialty Crop Research Initiative; 10.310 Agriculture and Food Research Initiative

(AFRI) ; 10.311 Beginning Farmer and Rancher Development Program; 10.500 Cooperative Extension Service; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016; For Fiscal Year (FY) 2016:

(A) Water Quality
(also Conservation Effects Assessment Projects (CEAP)
aka Integrated - Water Quality

No Action Needed (N/A/N):
Program has not been funded for several years.

(B) National Integrated Food Safety Initiative (aka NIFSI):

No Action Needed (N/A/N):
Program has not been funded for several years.

(C) (Regional) IPM (Integrated Pest Management) Centers (aka RIPM)
aka Integrated pest Mgmt./Biological Control
(aka IPM Centers - - NOT RIPM)

NOTE: Formerly RIPM - CFDA 10.500 and Research - CFDA 10.200 (funded via Smith-Lever 3 (d) and P.L. 89-106)

(D) Crops at Risk from FQPA Implementation

SPECIAL NOTE: See CPPM - CFDA # 10.329

(E) FQPA Risk Mitigation Program for Major Food Crop System (aka Risk Avoidance and Mitigation Program?)

SPECIAL NOTE: See CPPM - CFDA # 10.329

(F) Methyl Bromide Transition Program (MBT)
Integrated Research, Education, and Extension Competitive Grants
Program-Methyl Bromide Transition

For Fiscal Year (FY) 2016:

1) Biological management of nematode-virus and nematode-fungal complexes in fruit crops. This award for \$418,313 will evaluate non-chemical approaches and develop environmentally and economically sustainable approaches to managing root lesion nematodes and BRR in strawberries and dagger nematodes that vector nepoviruses in grapes, blueberries, raspberries and peaches.

2) Refining anaerobic soil disinfestation for disease management in strawberry and apple production. This \$497,965 project aims to improve the reliability of Anaerobic Soil Disinfestation (ASD) as a non-fumigant alternative to methyl bromide for strawberry production and control of apple replant disease.

3) Improving Efficacy of Aerosol Applications for Control of Stored Product Insects in Wheat and Rice Mills. This research award for \$499,999 focuses on improving the distribution and efficacy of aerosol insecticides for control of stored-product insects in food storage and processing facilities.

4) Investigating the Potential of Ethanedinitrile as a Replacement for Soil Fumigation with Methyl Bromide. This award for \$ 472,506 will investigate the potential of ethanedinitrile (EDN) as a pre-plant soil fumigant for vegetable production.

(G) Organic Transition-Risk Assessment

aka Organic Transition Program (ORG) FDC "51106"

NOTE: Also see CFDA 10.307 OREI - FDC "51300"

For Fiscal Year (FY) 2016:

2016-06198: Going underground: Digging up the dirt on Metarhizium-plant-pest interactions in an organic cropping system. This study investigates the effects of cover crops and soil characteristics on the novel interactions among the fungus, Metarhizium, an endemic insect pathogen and plant-protective endophyte with crops and cover crops in an organic agronomic cropping system. Objectives include: 1) characterize Metarhizium isolates from on-going organic research-station and on-farm experiments; 2) determine the ability of endemic isolates to form endophytic relationships with cash crops and selected cover crops; 3) determine effects of endophytic Metarhizium in a model system of corn, black cutworm; and Cochliobolus heterostrophus, the causal agent of southern corn leaf blight; and 4) determine the effect of endophytic Metarhizium on the expression of key defense genes that protect plants from crop pests and diseases.

2016-06180: Decision Support to Quantify GHG Mitigation and Ecosystem Services from Organic Production Systems. This project will address ORG Program Priority by improving technologies and tools to document and optimize the environmental services and climate change mitigation ability of organic farming systems. The team will improve two state-of-the-art decision support systems (COMET-Farm and the Cool Farm Tool) for quantifying the impacts of land use and management practices on soil C and greenhouse gas GHG- emissions from agricultural systems at the farm-scale. These improved decision support systems will enable the industry to deliver improved storytelling about organic beyond the label and help to identify opportunity areas for working with farmers to improve both productivity and profitability as well as deliver on corporate commitments to meet GHG reduction goals.

2016-06197: Ensuring the best practical use of microbe-containing crop biostimulants/biofertilizers among (transitional)-organic vegetable growers. The long-term goal of this project is to create resources, tangible and human, ensuring the best practical use of Microbe-containing biostimulants and biofertilizers (MC BSs/BFs) among (transitional)-organic vegetable growers. The team will: a) complete stakeholder-focused experiments on farms and research stations, b) expand and strengthen a growing network of farmers and other professionals while evaluating and reporting on product performance, and c) establish, share, and help stakeholders implement core components of practical guidelines for using MC BSs/BFs during (transitional)-organic vegetable production.

2016-06199: The Development of an Organic Crop Budgeting Tool to Help Advise Producers. Budgets are one of the most important tools producers use when deciding on which crops to grow. Objective in this project is to determine the production practices of organic producers, collect price information about the needed inputs, develop a crop budgeting tool, and produce organic crop budgets so that the profitability of organic crops can be estimated for a producer. This contribution is significant because the team is developing a regionalized database of inputs and prices for organic crop production that doesn't currently exist and integrating this database into an organic crop budgeting tool that will make it significantly easier for producers and Extension personnel to estimate organic profitability.

2016-06181: Developing advanced perennial legume-grass mixtures harvested as stored feeds to improve herd productivity and mitigate greenhouse gas emissions in organic dairies in the Northeast. This project will fill knowledge gaps by advancing the scientific understanding about how potential changes in species persistence and forage botanical composition in various legume-grass mixtures across multiple years affect forage quality and stored feed fermentation characteristics and, consequently, milk production and greenhouse gas emissions when fed to organic dairy cows. The team will partner with three organic dairy farmers in the Northeast who will set up demonstration plots and coordinate field days, thus becoming peer leaders in their rural communities.

(H) Regional Rural Development Centers

For Fiscal Year (FY) 2016:

Examples of funded projects are:

1. Location 1: Focused on four priority areas: building a 21st century economy; sustainable communities; leadership development and civic engagement; and community health and wellness.
2. Location 2: Goal is to work as a regional catalyst to strengthen rural communities by sharing scientific discovery and application of sustainable practices with researchers, extension educators, and community development practitioners via conferences, trainings, workshops, and publications.
3. Location 3: Focused on three priority areas: Develop Pathways to Resilient Communities; Build Strategic Partnerships and Mobilize resources around emerging issues and opportunities.
- Location 4: Focused on four priority areas: Extension-,Community Capacity Building; Entrepreneurship and Job Creation; Local and Regional Foods; Land Use and Balanced Use of Natural Resources; and Mental Health Issues. Fiscal Year 2017: For Fiscal Year (FY) 2017:

(A) Water Quality
(also Conservation Effects Assessment Projects (CEAP)
aka Integrated - Water Quality

No Action Needed (N/A/N):
Program has not been funded for several years.

(B) National Integrated Food Safety Initiative (aka NIFSI):

No Action Needed (N/A/N):
Program has not been funded for several years.

(C) (Regional) IPM (Integrated Pest Management) Centers (aka RIPM)
aka Integrated pest Mgmt./Biological Control
(aka IPM Centers - NOT RIPM)

NOTE: Formerly RIPM - CFDA 10.500 and Research - CFDA 10.200 (funded via Smith-Lever 3 (d) and P.L. 89-106)

(D) Crops at Risk from FQPA Implementation

SPECIAL NOTE: See CPPM - CFDA # 10.329

(E) FQPA Risk Mitigation Program for Major Food Crop System (aka Risk Avoidance and Mitigation Program?)

SPECIAL NOTE: See CPPM - CFDA # 10.329

(F) Methyl Bromide Transition Program (MBT)
Integrated Research, Education, and Extension Competitive Grants
Program-Methyl Bromide Transition

For Fiscal Year (FY) 2017:

The National Institute of Food and Agriculture will make awards for FY 2017, totaling approximately \$1.8 million, by September 30, 2017. These awards will support the discovery and implementation of practical pest management alternatives for commodities and uses affected by the methyl bromide phase out. The National Institute of Food and Agriculture will provide more details on the funded projects at a future date.

(G) Organic Transition-Risk Assessment
aka Organic Transition Program (ORG) FDC "51106"

NOTE: Also see CFDA 10.307 OREI - FDC "51300"

For Fiscal Year (FY) 2017:

2017-03405: Going underground: Perennial and Annual Organic Transition Systems to Optimize Soil Health, Carbon Sequestration, and Profitability Production. Farmers interested in transitioning from conventional to organic systems are faced with unique challenges regarding weed control, fertility, improving soil health, and generating income during the transition period. Many transition systems rely on tillage to control weeds and annual crop rotations, which have been associated with soil carbon (C) loss. Intermediate wheatgrass (IWG) is a cool-season grass that is being bred for increased seed yields to become the first perennial grain crop. IWG could help producers overcome the challenges of organic transition, while also simplifying management, reducing tillage-associated C emissions, and improving soil health relative to annual crop-based organic transitions. This study will compare six organic transition systems that include IWG, summer and winter annuals, perennial legumes, and systems with various combinations of grain, forage, and cover crops.

2017-03371: Facilitating improved environmental and soil quality through increased biodiversity and crop/livestock integration on organic farms. Agricultural systems that restore biodiversity improve sustainability and lower dependence on external inputs, which is vital in organic production. The focus of this project is to investigate the effects of integration of cover crops and livestock grazing on soil quality and crop yield. Results will be utilized in the development of educational programs on organic crops, livestock and soil health through university curriculum development, courses on organic principles and practice, open-source learning modules, and producer outreach. The educational programming will improve understanding of organic principles by students who are both today's consumers and tomorrow's farmers and future drivers of agricultural policies and practices. Intermediate and long-term outcomes include producer adoption of practices increasing on-farm biodiversity, leading to greater economic and environmental stability in organic production.

2017-03378: Development of effective biological control of fire blight for the Eastern United States. Fire blight is a devastating disease of apples and pears. The termination of antibiotics in organic production requires alternative management options. Biological control represents an important group of organic management tools. Yet, none of the available biocontrols has provided consistent, high level of control against fire blight under humid climates in the Eastern U.S. This study will perform the first comprehensive search and testing for bacterial and fungal biocontrol strains isolated from apple stigmas under humid climate in Eastern U.S. Using a combined approach of antibiotic screen, crab apple bioassay, and metagenomics, the research team will identify microorganisms with antimicrobial producing abilities and preemptive exclusion properties.

2017-03409: An ecological approach to disease risk management on organic poultry farms. Outdoor poultry are exposed to parasites and pathogens in the soil, or vectored by wild birds. These infections endanger animal and human health. There have been very few holistic studies of factors that make organic poultry farms susceptible or resistant to parasite/pathogen invasion. Growers inability to predict and manage these risks forms a major barrier to organic transition. This study will (1) Measure frequencies of poultry contact with wild birds and soil; (2) Survey pathogen and parasite communities within on-farm wild bird populations, while characterizing transmission routes between wild and domesticated birds; and (3) Characterize properties of soil that affect persistence of fecal-borne poultry parasites and pathogens.

2017-03389: Introducing organic to producers of grain-only and pasture-grain wheat cropping systems of northern Texas. Organic agriculture is nearly absent in the northern Southern Great Plains region of Texas, despite large demand for organic products that could be produced there and low producer incomes. The team proposes to utilize a systems approach to directly compare conventional

grain-only and pasture-grain wheat systems to transitional organic systems with management customized to the region. The experiment will occur at a large field scale, which is the only way to provide results directly transferrable to regional stakeholders. We will quantify system management impacts on crop and animal performance; soil microbial properties, nutrient, greenhouse gas emission, and moisture dynamics; and economic outcomes.

(H) Regional Rural Development Centers

For Fiscal Year (FY) 2017:

RRDC Review Panel recommended four awards; these will not be announced until the Awards Management Division makes awards. Fiscal Year 2018: For Fiscal Year (FY) 2018:

(A) Water Quality
(also Conservation Effects Assessment Projects (CEAP)
aka Integrated - Water Quality

No Action Needed (N/A/N):
Program has not been funded for several years.

(B) National Integrated Food Safety Initiative (aka NIFSI):

No Action Needed (N/A/N):
Program has not been funded for several years.

(C) (Regional) IPM (Integrated Pest Management) Centers (aka RIPM)
aka Integrated pest Mgmt./Biological Control
(aka IPM Centers - - NOT RIPM)

NOTE: Formerly RIPM - CFDA 10.500 and Research - CFDA 10.200 (funded via Smith-Lever 3 (d) and P.L. 89-106)

(D) Crops at Risk from FQPA Implementation

SPECIAL NOTE: See CPPM - CFDA # 10.329

(E) FQPA Risk Mitigation Program for Major Food Crop System (aka Risk Avoidance and Mitigation Program?)

SPECIAL NOTE: See CPPM - CFDA # 10.329

(F) Methyl Bromide Transition Program (MBT)
Integrated Research, Education, and Extension Competitive Grants
Program-Methyl Bromide Transition

For Fiscal Year (FY) 2018:

The National Institute of Food and Agriculture is not certain that funding will be available for this program in FY 2018 as it was not included in the Presidents FY 2018 budget request.

(G) Organic Transition-Risk Assessment
aka Organic Transition Program (ORG) FDC "51106"

NOTE: Also see CFDA 10.307 OREI - FDC "51300"

For Fiscal Year (FY) 2018:

We anticipate making about 7 awards in 2018

(H) Regional Rural Development Centers

For Fiscal Year (FY) 2018:

No projections are currently available.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via:

Methyl Bromide Transition Program (MBT):
<https://nifa.usda.gov/funding-opportunity/methyl-bromide-transition>

Organic Transition-Risk Assessment (ORG):
<https://nifa.usda.gov/funding-opportunity/organic-transitions-org>

Regional Rural Development Centers:
<https://nifa.usda.gov/regional-rural-development-centers>.

10.304 HOMELAND SECURITY AGRICULTURAL

FOOD AND AGRICULTURE DEFENSE INITIATIVE (FADI)
(aka Homeland Security Program)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), Section 1472, 7 U.S.C. 3318, 7 U.S.C 3318; Homeland Security (Cooperative Agreements); Section 1484 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977., 7 U.S.C 3351.

OBJECTIVES:

To provide funding to protect the food supply and agricultural production. This is accomplished by providing federal assistance, through existing cooperative agreements, to four (4) national agricultural homeland security networks: the National Animal Health Laboratory Network (NAHLN), the National Plant Diagnostic Network (NPDN), the Extension Disaster Education Network (EDEN), and the Pest Information Platform for Education and Extension (ipmPIPE).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

These funds are subject to the provisions of 31 U.S.C. 1301 requiring their use only for the purpose for which they were appropriated. Funding is limited to support for the four (4) aforementioned agrosecurity networks. Section 704 of the Consolidated and Further Continuing Appropriations Act, 2012 (P.L. 112-55) limits indirect costs to 10 percent of the total Federal funds provided under each cooperative agreements or similar arrangements. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 10 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

In accordance with section 1472(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, (NARETPA) applicant may be: State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research or education institutions and

organizations, Federal and private agencies and organizations, individuals, and any other contractor or recipient, either foreign or domestic, to further research, extension, or teaching programs in the food and agricultural sciences of the Department of Agriculture.

Beneficiary Eligibility:

In accordance with section 1472(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, (NARETPA) applicant may be: State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research or education institutions and organizations, Federal and private agencies and organizations, individuals, and any other contractor or recipient, either foreign or domestic, to further research, extension, or teaching programs in the food and agricultural sciences of the Department of Agriculture.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the following websites for further specific and pertinent details:

National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN):
<https://nifa.usda.gov/national-plant-diagnostic-network>

National Animal Health Laboratory Network (NAHLN) for the Food and

Agricultural Defense Initiative
<https://nifa.usda.gov/national-animal-health-laboratory-network>

Extension Disaster Education Network (EDEN):
<https://nifa.usda.gov/extension-disaster-education-network>. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The National Institute of Food and Agriculture (NIFA) solicits proposals to fund existing and new cooperative agreements through a request for application process.

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be accessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the following websites for further specific and pertinent details:

National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN):
<https://nifa.usda.gov/national-plant-diagnostic-network>

National Animal Health Laboratory Network (NAHLN) for the Food and Agricultural Defense Initiative
<https://nifa.usda.gov/national-animal-health-laboratory-network>

Extension Disaster Education Network (EDEN):
<https://nifa.usda.gov/extension-disaster-education-network>

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

(a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;

(b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;

(c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;

(d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the following websites for further specific and pertinent details:

National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN):
<https://nifa.usda.gov/national-plant-diagnostic-network>

National Animal Health Laboratory Network (NAHLN) for the Food and Agricultural Defense Initiative
<https://nifa.usda.gov/national-animal-health-laboratory-network>

Extension Disaster Education Network (EDEN):
<https://nifa.usda.gov/extension-disaster-education-network>.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:
<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the following websites for further specific and pertinent details:

National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN):
<https://nifa.usda.gov/national-plant-diagnostic-network>

National Animal Health Laboratory Network (NAHLN) for the Food and Agricultural Defense Initiative
<https://nifa.usda.gov/national-animal-health-laboratory-network>

Extension Disaster Education Network (EDEN):
<https://nifa.usda.gov/extension-disaster-education-network>.

Appeals:

Not applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

SPECIAL NOTE:

Please refer to the following websites for further specific and pertinent details:

National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN):
<https://nifa.usda.gov/national-plant-diagnostic-network>

National Animal Health Laboratory Network (NAHLN) for the Food and Agricultural Defense Initiative
<https://nifa.usda.gov/national-animal-health-laboratory-network>

Extension Disaster Education Network (EDEN):
<https://nifa.usda.gov/extension-disaster-education-network>

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, the term of a Cooperative Agreement under this authority may not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the following websites for further specific and pertinent details:

National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN):
<https://nifa.usda.gov/national-plant-diagnostic-network>

National Animal Health Laboratory Network (NAHLN) for the Food and Agricultural Defense Initiative
<https://nifa.usda.gov/national-animal-health-laboratory-network>

Extension Disaster Education Network (EDEN):
<https://nifa.usda.gov/extension-disaster-education-network>. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REEport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and

final reporting (items b. and c. below) also is to be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEport Database--

Research, Education, and Extension project online reporting tool (REEport) All projects must be documented in REEport. The NIFA contact for all REEport documentation is:

REEport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

(1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the

expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entities fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entities fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for

each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1502-0-1-352.

Obligations:

(Cooperative Agreements) FY 16 \$6,432,000; FY 17 est \$7,680,000; and FY 18 est \$5,456,640 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

SPECIAL NOTE:

FY 2017 increase represents proposed increases in the President's Budget. Funding for FADI will support NPDN, EDEN, and NAHLN.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per Capacity, Competitive, and/or Non-Competitive project grant, or cooperative agreement are established, these amounts will be announced in the annual Capacity, Competitive, and/or Non-Competitive Request for Application (RFA).

SPECIAL NOTE:

Please refer to the following websites for further specific and pertinent details:

National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN):
<https://nifa.usda.gov/national-plant-diagnostic-network>

National Animal Health Laboratory Network (NAHLN) for the Food and Agricultural Defense Initiative
<https://nifa.usda.gov/national-animal-health-laboratory-network>

Extension Disaster Education Network (EDEN):
<https://nifa.usda.gov/extension-disaster-education-network>.

TAFS Codes:

12-2017-2018-1502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: For Fiscal Year 2016:

(A) National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN):

Six applications were received and awarded. The awards totaled \$3,030,660

(B) National Animal Health Laboratory Network (NAHLN) for the Food and Agricultural Defense Initiative (aka FADI):

FADI: For FY 2016, the Food and Agriculture Defense Initiative (FADI) will continued to support three multi-institutional homeland security networks via non-competitively awarded cooperative agreements: The National Plant Diagnostic Network, the National Animal Health Laboratory Network (NAHLN), and the Extension Disaster Education Network. All three networks continued to help prepare, prevent, detect, respond and recover from high-consequence disease threats and other disasters.

NAHLN: During 2016, NIFA disbursed \$ 3,018,000 payment to states to the NAHLN. NAHLN implemented a restructure plan that employs enhanced metrics and other semi-quantitative criteria to determine level-specific NAHLN membership eligibility. The agency made 16 new awards to support NAHLN labs. While NIFA reduced the number NAHLN Labs it is supporting in 2016 (compared to 2015), investments are the same as the previous year. The difference is that, due to the NAHLN restructure, there was a reassignment of which NAHLN labs are funded by NIFA and which are funded by APHIS which collaboratively funds NAHLN. APHIS has a larger percentage of the total labs in 2016. For 2016, NIFA supported a larger number of Level-1 labs

(largest award size under the NAHLN tier structure), hence the smaller number of total labs in 2016.

Critical surveillance and suspect-sample testing was supported to address the mission of safeguarding public health, enhancing the health of all animals, and improving the economic well-being of livestock producers nationwide. Thousands of samples were processed testing for high-consequence livestock pathogens and tens of thousands of samples for some of more common disease organisms. Member laboratories have completed or maintained proficiency certification for several important endemic and foreign animal diseases, including: avian influenza, Newcastle disease, Johne's disease, classical swine fever, foot and mouth disease, bovine spongiform encephalopathy, leptospirosis, bovine leukemia virus, bluetongue, chronic wasting disease, and equine infectious anemia.

(C) Extension Disaster Education Network (EDEN):

The Extension Disaster Education Network project further integrated and expanded the Cooperative Extension System's educational role before, during and after a disaster, using the all-hazards approach adopted by the U.S. Department of Homeland Security. Fiscal Year 2017: For Fiscal Year 2017: (A) National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN):

Six applications have been received and are being awarded. The awards totaled \$3,030,660

(B) National Animal Health Laboratory Network (NAHLN) for the Food and Agricultural Defense Initiative (aka FADI):

FADI: The Food and Ag Defense Initiative (FADI) will continue to support three multi-institutional homeland security networks via non-competitively awarded cooperative agreements: The National Plant Diagnostic Network, the National Animal Health Laboratory Network (NAHLN), and the Extension Disaster Education Network. New cooperative agreements were established in 2016 for the National Plant Diagnostic and National Animal Health Laboratory Networks; therefore, 2017 represents the first award renewal cycle under the new cooperative agreement.

NAHLN: Overall, NIFA is supporting 16 laboratories (10 level 1 labs; 2 level 2 labs; and, 4 level 3 labs) with \$4,278,540 payment to states. This amount represents an increase of \$ 1,260,540 (from 2016) provided in the FADI appropriation and designated by Congress specifically for NAHLN.

NIFA is funding two new labs (MI; PA-Harrisburg) and 14 renewal labs in 2017. The Wyoming lab moved up from a level 3 lab (2016) to a level 2 lab (2017). Other labs being supported by NIFA in 2017 maintained their same level as in 2016. Award recommendations are being processed with start dates anticipated to be September 1, 2017.

(C) Extension Disaster Education Network (EDEN):

This program continues to support three multi-institutional homeland security networks via non-competitively awarded cooperative agreements: The National Plant Diagnostic Network, the National Animal Health Laboratory Network, and the Extension Disaster Education Network. The agency is in the process of making awards. EDENs allocation is anticipated to be \$370,800. Fiscal Year 2018: For Fiscal Year 2018:

(A) National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN):

It is anticipated that six applications were received and awarded a total amount of \$3,030,660

(B) National Animal Health Laboratory Network (NAHLN) for the Food and Agricultural Defense Initiative (aka FADI):

FADI: It is projected that the Food and Ag Defense Initiative (FADI) will continue to support three multi-institutional homeland security networks via non-competitively awarded cooperative agreements: The National Plant

Diagnostic Network, the National Animal Health Laboratory Network (NAHLN), and the Extension Disaster Education Network.

NAHLN: It is anticipated that changes in lab membership from 2017 into 2018 will be minor since continuity is an important consideration in sustaining agrosecurity preparedness. The agency expects to make about 16 NAHLN renewal awards.

(C) Extension Disaster Education Network (EDEN):

This program is projected to continue support for EDEN.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None. Section # 153: - Additional Websites:

<http://nifa.usda.gov/national-animal-health-laboratory-network>

<http://nifa.usda.gov/extension-disaster-education-network>

<http://nifa.usda.gov/national-plant-diagnostic-network>.

Headquarters Office:

USDA, NIFA, National Program Leader, Office of the Director, 1400 Independence Avenue, SW, STOP 2201, Washington, District of Columbia 20250-2201 Email: Policy@nifa.usda.gov Phone: (202) 401-1112 Fax: (202) 401-6156

Website Address:

<http://nifa.usda.gov/grants>

RELATED PROGRAMS:

10.310 Agriculture and Food Research Initiative (AFRI) ; 10.500 Cooperative Extension Service

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: For Fiscal Year 2017:

(A) National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN):

The IT & Data Management Center and 5 regional centers were funded. These centers enhance agricultural security by protecting the health and productivity of agricultural plants and natural ecosystems through the U.S. They provide a cohesive, distributed system to quickly detect and identify pests and pathogens of concern. Results are reported to appropriate responders and decision-makers. The centers have the appropriate laboratory infrastructure and training, they have developed an extensive network of first detectors and have enhanced communication among public agencies and stakeholders in order to respond to and mitigate new outbreaks of pest and diseases of importance.

(B) National Animal Health Laboratory Network (NAHLN) for the Food and Agricultural Defense Initiative (aka FADI):

For FY 2017 The Food and Agriculture Defense Initiative (FADI) is expected to continue to support three multi-institutional homeland security networks via non-competitively awarded cooperative agreements: The National Plant Diagnostic Network, the National Animal Health Laboratory Network, and the Extension Disaster Education Network. The agency expects to make 20 to 30 award renewals.

Pertinent details to be provided by Program at a future date.

(C) Extension Disaster Education Network (EDEN):

EDEN is strategically planning for continuing to address disasters through education. Funding level will determine how and whether the network is able to expand to further combat reducing the impact of disasters through education.

Pertinent details to be provided by Program at a future date. Fiscal Year 2018:

No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

In Fiscal Year (FY) 2002, a panel of USDA scientists knowledgeable in the Nation's plant and animal disease diagnostic capability, recommended to the Secretary a plan of initiating a comprehensive national network of laboratories for the rapid detection and diagnosis of plant and animal diseases. The institutions selected by the panel for initial inclusion in the network were recommended using the following criteria:

- (1) Existing scientific capacity;
- (2) Existing laboratory capacity which could be modified to meet Biosafety Level 3 requirements;
- (3) Both animal and plant diagnostic capabilities;
- (4) Geographical location meeting one or more of the following requirements: located in an area with a high risk of disease/pest incursions; located in an area with high concentration of vulnerable agricultural activity; complements or supplements regional Federal laboratory activity or is in an area not effectively served by an existing Federal facility;
- (5) State-of-the-art communication and information technology systems. Must be capable of managing secure communications with other laboratories and central reporting unit; and
- (6) An effective outreach/extension system.

These recommendations were utilized to select the original cooperative agreement recipients and are annually evaluated by USDA/NIFA in network planning.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the following websites for further specific and pertinent details:

National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN):

<https://nifa.usda.gov/national-plant-diagnostic-network>

National Animal Health Laboratory Network (NAHLN) for the Food and Agricultural Defense Initiative

<https://nifa.usda.gov/national-animal-health-laboratory-network>

Extension Disaster Education Network (EDEN):

<https://nifa.usda.gov/extension-disaster-education-network>.

10.306 BIODIESEL

(Biodiesel Fuel Education Program)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 9006 of the Food, Conservation, and Energy Act of 2008 (Public Law 110-246), amended the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8101 et seq.) to re-establish the Biodiesel Fuel Education Program which requires that the Secretary make competitive grants to eligible entities to educate governmental and private entities that operate vehicle fleets, other interested entities (as determined by the Secretary), and the public about the benefits of biodiesel fuel use. The Secretary delegated this authority to the Chief Economist, who in turn delegated this authority to the Director of the Office of Energy Policy and New Uses (OEPNU). OEPNU has joined with

NIFA for assistance in administering Biodiesel Education under the authorities found in the Economy Act (31 U.S.C. 1535) and Section 1472 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3318). Specific Biodiesel Education administrative provisions are found in 7 CFR 2903., 7 U.S.C. 3318.

OBJECTIVES:

The goals of the Biodiesel Fuel Education Program as originally established in Section 9004 of the Farm Security Investment Act of 2002 (7 U.S.C. 8104) were to stimulate biodiesel consumption and to stimulate the development of a biodiesel infrastructure. The information and outreach activities to raise awareness of the benefits of biodiesel fuel use complemented the incentives provided by the Energy Policy Act of 2005 (EPA) (Public Law 109-58), and the Energy Independence and Security Act of 2007 (Public Law 110-140). As a result of increased awareness and consumption of biodiesel over the past 5 years, the FY 2008 Biodiesel Education program will focus on educational programs which will support advances in infrastructure, technology transfer, fuel quality, fuel safety and increasing feedstock production.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This funding is used for education and outreach activities. Program funds may not be used for renovation or refurbishment or research space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition or construction of buildings or facilities. Grant funds must be used for allowable costs necessary to conduct approved research and extension objectives. Funds may not be used for any purposes other than those approved in the grant award documents.

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

Funds made available under Biodiesel Education shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees).

Applicant Eligibility:

Eligibility is restricted to nonprofit organizations or institution of higher education (as defined in section 101 of the Higher Education Act of 1965 (20 U.S.C. 1001)).

Beneficiary Eligibility:

Nonprofit organizations or institutions of higher education (as defined in section 101 of the Higher Education Act of 1965 (20 U.S.C. 1001)).

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

- (i) Be registered in SAM before submitting its application;
- (ii) Provide a valid DUNS number in its application; and
- (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable

DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/biodiesel-fuel-education>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biodiesel-fuel-education>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biodiesel-fuel-education>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/biodiesel-fuel-education>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Awards are made as continuation grants, and subsequent annual funding is provided based on demonstrated progress.

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/biodiesel-fuel-education>.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/biodiesel-fuel-education>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REEReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a.

below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEport Database--

Research, Education, and Extension project online reporting tool (REEport)
All projects must be documented in REEport. The NIFA contact for all REEport documentation is:

REEport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. **PROGRESS REPORTS:** See above for pertinent and specific details. **EXPENDITURE REPORTS:** See above for pertinent and specific details. **PERFORMANCE MONITORING:** See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entity's fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be

retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0123-0-1-352.

Obligations:

(Project Grants) FY 16 \$894,720; FY 17 est \$893,760; and FY 18 est \$896,640
- The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:
<https://nifa.usda.gov/funding-opportunity/biodiesel-fuel-education>.

TAFS Codes:

12-2017-2017-0123.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In continued partnership with the Office of the Chief Economist, the program continued to support the work of professional education within the field of biodiesel production and use.
Two (2) proposals received continuation funding in the amount of \$894,720.
Fiscal Year 2017: This is a continuation grant. Funding level was \$893,760 for two (2) awards. Fiscal Year 2018: This is a continuation grant and funding is projected to be approximately \$896,640.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:
<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.
<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate, and Environment, Division of Bioenergy, 1400 Independence Avenue, SW., STOP 2210, Washington, District of Columbia 20250-2210 Email: Policy@nifa.usda.gov Phone: (202) 401-5244 Fax: (202) 401-2653.

Website Address:

<http://nifa.usda.gov/funding-opportunity/biodiesel-fuel-education>

RELATED PROGRAMS:

10.310 Agriculture and Food Research Initiative (AFRI)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Ninety K-12 students participated in tours of biodiesel research and utilization; increasing STEM educational opportunities.

A 300 gallon biodiesel production system was established for demonstration allowing interested stakeholders to investigate the production process; increasing awareness and reducing risk to investors and potential producers.
Fiscal Year 2017: In partnership with the Office of the Chief Economist, the program will continue to support the work of the program awarded in FY 2017.
Fiscal Year 2018: In partnership with the Office of the Chief Economist, the program will continue to support the work of the program awarded in FY 2018.

Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via:
<https://nifa.usda.gov/funding-opportunity/biodiesel-fuel-education>.

10.307 ORGANIC AGRICULTURE RESEARCH AND EXTENSION

INITIATIVE

(OREI)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 7206 of the Food, Conservation, and Energy Act of 2008 (FCEA) amended section 1672B of the Food, Agriculture, Conservation, and Trade (FACT) Act of 1990 (7 U.S.C. 5925b) amending and re-authorizing the Organic Agriculture Research and Extension Initiative (OREI). The FACT Act, as amended, authorizes the Secretary of Agriculture, in consultation with the National Agricultural Research, Extension, Education, and Economics Advisory Board (NAREEEAB), to make competitive grants to support research and extension activities regarding organically grown and processed agricultural commodities for eight legislatively-defined goals. See RFA Part I.B. for further general information and specific details. , 7 U.S.C 5925b.

OBJECTIVES:

Facilitate the development of organic agriculture production, breeding, and processing methods.
Functionally identify soil microbial communities and ways to manage microbial dynamics to enhance nutrient cycling and disease suppression;
Develop systemic approaches to weed, insect and disease management;
Prevent, control, and treat internal and external parasites in various livestock species; and Breed crops for disease and insect resistance, good yield in a biologically diverse system, compatibility with intercrops, good response to organic fertility sources, horizontal resistance (traits determined by multiple genes).
Evaluate the potential economic benefits to producers and processors who use organic methods.
Analyze potential economic costs, returns and risks of organic production systems;
Use environmental valuation tools to quantify externalities of producing food, and compare externalities of producing organic and conventional food; -
Analyze price and market structures, including ability of small, medium-, and large-scale growers to access different markets, in order to frame policies that minimize concentration within the industry; and
Analyze marketing channels to document how organic food is distributed, what share of the organic food dollar is returned to the farmer, and the implications of large-scale manufacturers entering the organic market.
Explore international trade opportunities for organically grown and processed agricultural commodities.
Compare compatibility of certification standards used in different parts of the world, with the ultimate goal of harmonization and reciprocity;
Undertake marketing studies of international consumer demand for U.S. produced organic goods; and
Perform Welfare analyses (quantified gains and losses for producers and consumers) of trade policies affecting international competitiveness, including implementation of the National Organic Program, domestic support programs such as the Conservation Security Act, country of origin labeling, GMO labeling, etc.
Determine desirable traits for organic commodities.
Examine relationships between nutrients in the soil and nutrients in the food grown on that soil, including long-term soil nutrient and crop nutrient profiles under conventional and organic management;
Perform comparisons of nutrient levels between organic and conventional crops and relationship, if any, between taste and nutrient profile; Investigate the role of post-harvest handling and treatment in the maintenance of quality in fresh market organic products; and Determine the reasons for consumer preferences for organic goods. Identify marketing and policy constraints on the expansion of organic agriculture.
Analyze opportunities and constraints to organic agriculture resulting from provisions of the Farm Security and Rural Investment Act of 2002;
Investigate specific barriers to markets, such as scale-based regulations that restrict family farm access to processors and/or markets;
Study negative lender perception of organic farming and ways to change this; and
Analyze regulatory barriers, such as lack of access to Federal farm programs, and developing solutions to these challenges.

Conduct advanced on-farm research and development that emphasizes observation of, experimentation with, and innovation for working organic farms, including research relating to production and marketing and to socioeconomic conditions.

(Note: Many topics from other goal areas can be conducted on working farms.)

Develop rigorous on-farm systems research designs; and Conduct long-term, interdisciplinary systems research.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Integrated Organic Program is particularly interested in proposed projects that emphasize research and outreach that assist farmers and ranchers with whole farm planning and ecosystem integration.
Projects should plan to deliver applied production information to producers. Fieldwork for both program areas must be done on certified organic land or on land in transition to organic certification, as appropriate to project goals and objectives. Refer to the USDA National Organic Program (<http://www.ams.usda.gov/nop>) for organic production standards. NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.
Section 7132 of the Food, Conservation, and Energy Act amended section 1462 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310), increasing the limit on recovery of indirect costs from 19 percent to 22 percent of total Federal funds provided under the award.
Therefore, the recovery of indirect costs on awards made by NIFA under this program area may not exceed the lesser of the institution's official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded.

****Special Note on Indirect Costs as in-kind matching contributions.** Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent.

Applicant Eligibility:

Applications may be submitted by State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, national laboratories, private organizations or corporations, and individuals. For both ORG and OREI, all award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project.

Beneficiary Eligibility:

State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, national laboratories, private organizations or corporations, and individuals.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM

(the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/organic-agriculture-research-and-extension-initiative>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.htm>

I.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/organic-agriculture-research-and-extension-initiative>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/organic-agriculture-research-and-extension-initiative>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/organic-agriculture-research-and-extension-initiative>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/organic-agriculture-research-and-extension-initiative>.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 100%. Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

Cost Sharing or Matching:

(1) General Requirement

The Secretary shall require the recipient of a grant under this section to provide funds or in-kind support from non-Federal sources in an amount at least equal to the amount provided by the Federal Government. See R&R Budget section regarding matching funds Part IV, B., 6. of this RFA for more details.

(2) Waiver

NIFA may waive the matching funds requirement specified in the above paragraph for a grant if NIFA determines that:

(a) the results of the project, while of particular benefit to a specific agricultural commodity, are likely to be applicable to agricultural commodities generally; or

(b) the project involves a minor commodity, the project deals with scientifically

important research, and the grant recipient is unable to satisfy the matching funds requirement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/organic-agriculture-research-and-extension-initiative>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/report> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport) All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport

National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the

information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. **PROGRESS REPORTS:**

See above for pertinent and specific details. **EXPENDITURE REPORTS:**

See above for pertinent and specific details. **PERFORMANCE MONITORING:**

See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1502-0-1-352.

Obligations:

(Project Grants) FY 16 \$17,640,143; FY 17 est \$17,589,850; and FY 18 est \$17,646,836 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTE:

Funding for this Farm Bill mandatory program is authorized for FY 2014 - FY 2018.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/organic-agriculture-research-and-extension-initiative>.

TAFS Codes:

12-2017-2017-1502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: For the Fiscal Year (FY) 2016 award cycle, \$17,640,143 was available for grant awards after legislatively authorized set-asides were deducted.

A total of 101 applications, requesting a total of \$84,624,106 were received in the FY 2016 competition.

Funds were available to support a total of 18 new awards.

The funding ratio for this program in FY 2016 was 18%.

Funded projects seek to solve critical organic agricultural issues, priorities, or problems through the integration of research, education and extension activities. They include high priority research, education and extension projects that will enhance the ability of producers and processors who have already adopted organic standards to grow and market high quality organic agricultural products. Priority concerns include biological, physical, and social sciences,

including economics. Fiscal Year 2017: For the Fiscal Year (FY) 2017 award cycle, about \$17,589,850 million was available for grant awards after legislatively authorized set-asides were deducted.

A total of 79 applications, requesting a total of \$78,732,241 were received in the FY 2017 competition.

Funds were available to support a total of 16 new awards.

The funding ratio for this program in FY 2017 was 20%.

Funded projects seek to solve critical organic agricultural issues, priorities, or problems through the integration of research, education and extension activities. They include high priority research, education and extension projects that will enhance the ability of producers and processors who have already adopted organic standards to grow and market high quality organic agricultural products. Priority concerns include biological, physical, and social sciences, including economics. Fiscal Year 2018: The Fiscal Year (FY) 2018 RFA will focus on the following eight (8) legislatively-defined goals:

- (1) Facilitating the development of organic agriculture production, breeding, and processing methods;
- (2) Evaluating the potential economic benefits of organic agricultural production and methods to producers, processors and rural communities;
- (3) Exploring international trade opportunities for organically grown and processed agricultural commodities;
- (4) Determining desirable traits for organic commodities;
- (5) Identifying marketing and policy constraints on the expansion of organic agriculture;
- (6) Conducting advanced on-farm research and development that emphasizes observation of, experimentation with, and innovation for working organic farms, including research relating to production and marketing, food safety, socioeconomic conditions, and farm business management;
- (7) Examining optimal conservation and environmental outcomes relating to organically produced agricultural products; and
- (8) Developing new and improved seed varieties that are particularly suited for organic agriculture.

A total of \$17,646,836 will be available for these programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:
<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Animal Systems, 1400 Independence Avenue, SW., STOP 2240, Washington, District of Columbia 20250-2240 Email: Policy@nifa.usda.gov Phone: (202) 401-6134 Fax: (202) 401-1602

Website Address:

<http://nifa.usda.gov/program/organic-agriculture-program>

RELATED PROGRAMS:

10.303 Integrated Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Building Resilience in the Northeast through Double Cropping and Diverse Forage Crop Mixtures.

The goal of this project is to meet the dry matter and forage nutrient needs of dairy farmers. Increasing cropping system diversity can increase yield stability and reduce the negative impact of variable weather. Guided by a stakeholder advisory board, the team will conduct farmer-focused socioeconomic research and field experiments on-farm and at research stations in NY, VT, and NH. They will quantify the productivity and economic viability of intercropping with intra- and interspecific mixtures of winter and summer annual forage crops as part of a double cropping system, and compare this double cropping system to perennial-based forage production. The research team will develop farmer resources on organic forage crop production and disseminate the information this new information through innovative extension strategies.

CIOA 2- Carrot Improvement for Organic Agriculture With Added Grower and Consumer Value.

Organic growers need vegetable varieties that are adapted to organic growing conditions and have market qualities demanded by organic consumers. In carrots, weed competition, nutrient acquisition, nematodes, and disease pressure are particularly critical challenges to both fresh market carrots and carrot seed production, while flavor, appearance, and nutrition are key market qualities. This project will deliver improved carrot varieties for organic producers and consumers; improved understanding of cultivar performance in organic systems; improved understanding of how carrot genotypes interact with the root microbiome to access key nutrients under limiting environments and avoid heavy metal uptake; and a breeding model that may be adapted to other crops for organic cultivar development. Organic farmers, students, and industry stakeholders in six (6) states participate in the breeding, variety trials, and planning aspects of the project. Project results are disseminated nationally.

Multi-regional risk analysis of farm manure use: Balancing soil health and food safety for organic fresh produce production.

Certified organic producers rely on manure-based soil amendments for crop nutrients and to maintain soil health. However, use of untreated animal manure in fresh produce cropping systems may introduce foodborne pathogens and increase the risk of foodborne illness for consumers. The project uses an integrated research-extension risk-based approach to address an urgent and critical need to assess current manure use by organic growers and evaluate pathogen survival time relative to soil health status. The long-term goal is to improve the microbial food safety of fresh organic produce (e.g., leafy greens, tomatoes, root vegetables) grown in soils amended with raw manure. The overall objective is to develop a customized risk-assessment based on good agriculture practices, rigorous microbial testing, self-assessment of soil health, and environmental factors.

Strengthening Organic Farming Infrastructure through Consumer Education, Market Development, and Integrated Extension and Research Programs in the Southeastern Region.

The project brings together a strong team of economists, extension educators, agronomists and horticulturists in the southeast region of the US to expand organic agriculture in the region. The southeast lags behind the rest of the US in production and sales of organically produced products. Tuskegee University, Auburn University, Mississippi State University and North Carolina State University will work together to double the number of certified organic operations in Alabama. This will be accomplished by creating market demand through consumer education and increased marketing efforts. Growth of organic agriculture in the region will be supported and expanded through effective participatory extension activities, which will deliver regionally specific research based production practices.

Understanding Parasite Resistance in Organic Livestock and Using a Systems Approach for Control.

One (1) of the greatest barriers to organic production of ruminant livestock is the control of gastrointestinal nematodes (GIN) or parasites. The goals of this project are to:

- (1) understand host mechanisms involved in GIN resistance/resilience by examining differences in immune response among susceptible, resilient, and resistant individuals and breed types;
- (2) identify genetic loci associated with resistance/resilience;
- (3) further examine successful systems approaches of GIN control, including fall lambing to minimize summer exposure of GIN to lambs, diverse forage and grazing systems to minimize GIN exposure and use secondary plant compounds for control; and
- (4) work with farmers enrolled in the National Sheep Improvement Program to understand tools for selection for GIN resistance.

Participating farmers are providing 5,000 DNA samples and phenotypes. Fiscal Year 2017: Developing Multi-use Naked Barley for Organic Farming Systems.

The long-term goal of this study is to provide organic gardeners, growers, processors, and consumers with an alternative crop, food, and raw material that will be economically rewarding and sustainable. The team will breed for naked barley and a modest level of β -glucan to create varieties suitable for brewing, feed use, and that will meet FDA guidelines for soluble fiber in human diets. The work will be conducted in five (5) representative regions/states - Pacific Northwest (OR, WA), Upper Midwest (MN, WI) and North East (NY). The outreach efforts will familiarize students, gardeners, growers, processors, and consumers with the benefits of naked barley varieties and provide guidance for capitalizing on the advantages these varieties can offer.

Economic and environmental sustainability of heifer development strategies in pasture-based organic dairy systems.

Dairy products constitute the second largest sector of the organic agriculture industry in the US. And dairy cow replacement costs are second only to feed costs in magnitude for the average dairy farm: between one-third (1/3) and one-fourth (1/4) of the entire herd is replaced every year. Given the National Organic Program requirement that ruminant animals be managed on pasture and graze daily throughout the grazing season, heifer development within organic systems is more challenging, and more costly than in confinement systems. The primary objective of the project is to innovate new strategies for organic forage-based dairy heifer development, and then to inspire widespread adoption of these practices for enhanced farm sustainability.

One-two punch for Organic Poultry Processing: Knocking out foodborne pathogens with plant derived antimicrobials and farmer training.

Both conventional and organic poultry products have been identified as major sources of Salmonella and Campylobacter, the two (2) most common food-borne pathogens in the US. This study aims to reduce Salmonella and Campylobacter on poultry meat/ eggs using plant-derived antimicrobials applied as wash, spray, fumigation or coating treatment at critical post-harvest control points.

Managing the Most Significant Biotic Challenges for Organic Onion Production Across the Great Lakes Region.

Organic onions are a highly valuable commodity in the Great Lakes region and their economic viability has been critically threatened by pests and pathogens that are difficult to manage. Stakeholders have identified onion thrips, *Thrips tabaci*, as the most serious insect pest and *Stemphylium* leaf blight (SLB), which is caused by *Stemphylium vesicarium*, as the most important foliar pathogen in this region. The long-term goal of this project is to develop and implement an effective IPM program for onion thrips and SLB that will ensure the sustainability and profitability of organic onion production in the Great Lakes.

Participatory Breeding and Testing Networks: A Maize Based Case Study for Organic Systems.

This integrated Multi-Regional Project will conduct advanced on-farm research to identify biophysical and social/legal factors influencing the performance of organic maize cultivars and dependent businesses. Objectives are to:

- (1) build capacity that supports a participatory testing and breeding program;
- (2) conduct a maize-based case study to explore on-farm factors influencing crop fitness and grain quality; and
- (3) identify and communicate how organizational structures, sharing and intellectual property agreements can promote client-oriented breeding programs to improve our organic seed supply. Important inputs include promising cultivars, experienced advisors, and significant farmer input. Fiscal Year 2018: We anticipate making about 20 awards in 2018. Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for

Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/organic-agriculture-research-and-extension-initiative>.

10.308 RESIDENT INSTRUCTION GRANTS FOR INSULAR AREA ACTIVITIES

Grants for Insular Areas - Resident Instruction Grants for Insular Areas (RIIA)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

This program is administered under the provisions of the Farm Security and Rural Investment Act of 2002 (Section 7501 of Public Law 107-171) as reauthorized in Section 7143 of Public Law 110-246, which amended the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3101 et seq.) by providing for a program of resident instruction grants for insular areas (7 U.S.C. 3363). Funds are available for a program of competitive grants, with funds to be awarded to one or more individual, eligible institutions of higher education in Insular Areas (Insular Area Institutions) or consortia of such eligible institutions, to carry out teaching and education programs in the food and agricultural sciences., 7 U.S.C 3363.

OBJECTIVES:

In General. -The Secretary of Agriculture shall make competitive grants to eligible institutions to-

- (1) strengthen institutional educational capacities, including libraries, curriculum, faculty, scientific instrumentation, instruction delivery systems, and student recruitment and retention, in order to respond to identified State, regional, national, or international education needs in the food and agricultural sciences;
- (2) attract and support undergraduate and graduate students in order to educate them in identified areas of national need in the food and agriculture sciences;
- (3) facilitate cooperative initiatives between two or more insular area eligible institutions, or between those institutions and units of State Government or organizations in the private sector, to maximize the development and use of resources such as faculty, facilities, and equipment to improve food and agricultural sciences teaching programs; and
- (4) conduct undergraduate scholarship programs to assist in meeting national needs for training food and agricultural scientists.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The purpose of the Resident Instruction Grants Program for Institutions of Higher Education in Insular Areas (RIIA) is to promote and strengthen the ability of Insular Area Institutions to carry out teaching and education programs within the broadly defined food and agricultural sciences-related disciplines. Food and agricultural sciences include programs in agriculture, food and fiber, renewable energy and natural resources, forestry, aquaculture, veterinary medicine, family and consumer sciences, home economics, nutrition and dietetics, rural human ecology, rural economic and community development, and other higher education activities and fields of study related to the production, processing, marketing, distribution, conservation, utilization, consumption, research, and development of food and agriculturally-related products and services. Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences.

Funds may not be used for any purposes other than those approved in the grant award documents.

The use of grant funds to plan, acquire, or construct a building or facility is not allowed under this program. With prior approval, and in accordance with the cost principles set forth in OMB Circular No. A-21, some grant funds may be used for minor alterations, renovations, or repairs deemed necessary to retrofit existing teaching or research spaces in order to carry out a funded project.

However, requests to use grant funds for such purposes must demonstrate that the alterations, renovations, or repairs are essential to achieving the major purpose of the project.

Grant funds may not be used for endowment investing. Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award.

Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

The Secretary of Agriculture shall ensure that each eligible institution, prior to receiving grant funds under subsection (a), shall have a significant demonstrable commitment to higher education programs in the food and agricultural sciences and to each specific subject area for which grant funds under this section are to be used.

The Secretary of Agriculture may require that any grant awarded under this section contain provisions that require funds to be targeted to meet the needs identified in section 1402.

Beneficiary Eligibility:

The Secretary of Agriculture shall ensure that each eligible institution, prior to receiving grant funds under subsection (a), shall have a significant demonstrable commitment to higher education programs in the food and agricultural sciences and to each specific subject area for which grant funds under this section are to be used.

The Secretary of Agriculture may require that any grant awarded under this section contain provisions that require funds to be targeted to meet the needs identified in section 1402.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d) is required to:

- (i) Be registered in SAM before submitting its application;
- (ii) Provide a valid DUNS number in its application; and
- (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on

an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/resident-instruction-grants-program-institutions-higher-education-insular-areas>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/resident-instruction-grants-program-institutions-higher-education-insular-areas>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible

applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/resident-instruction-grants-program-institutions-higher-education-insular-areas>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:
<http://nifa.usda.gov/>
<http://www.grants.gov>

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/resident-instruction-grants-program-institutions-higher-education-insular-areas>

RFAs are generally released annually. Hence, the RFAs provide the most

current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/resident-instruction-grants-program-institutions-higher-education-insular-areas>.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project period for most of the competitive grants and/or cooperative agreements under this program is for three (3) years.

However, the statutory time limit for all projects may not exceed five (5) years.

2 CFR Part 200, Subpart D applies to this program.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/resident-instruction-grants-program-institutions-higher-education-insular-areas>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REEReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reereport> and the REEReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEReport Database--

Research, Education, and Extension project online reporting tool (REEReport) All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is:

REEReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

(1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please

contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. **PROGRESS REPORTS:** See above for pertinent and specific details. **EXPENDITURE REPORTS:** See above for pertinent and specific details. **PERFORMANCE MONITORING:** See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entities fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entities fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 16 \$1,150,425; FY 17 est \$1,149,900; and FY 18 est \$1,116,160 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

SPECIAL NOTES:

(1) Effective FY '13, Appropriations for the Resident Instruction Grants (RIIA CFDA 10.308) and Distance Education Grants (DEG CFDA 10.322) were consolidated under Research & Education Activities, Grants for Insular Areas Program.

However, different legislative authorities are applicable and separate Requests for Applications (RFAs) were issued under the respective CFDA numbers.

(2) Please see CFDA 10.322 for other financial information and further pertinent details re: Insular Area Grants.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:
<https://nifa.usda.gov/funding-opportunity/resident-instruction-grants-program-institutions-higher-education-insular-areas>.

TAFS Codes:

12-2017-2017-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: For Fiscal Year (FY) 2016, the consolidated appropriation for Insular Area Grants was \$2 million. After deductions for legislatively authorized set-asides, the distributed Payment to States amount for the Resident Instruction Grants Program for Institutions of Higher Education in Insular Areas (RIIA) Grant Program was \$1,150,425 was available for awards.

Project type in FY 2016 is Regular Grant only at a ceiling amount of \$175,000. An eligible university system may receive up to a maximum of three (3) Regular grant awards.

A total of 14 RIIA applications were received.

As evaluated by a peer review panel and due to limited funding, only eight (8) RIIA out of 12 were funded. Two (2) projects were rated Do Not Fund (DNF).

The funding ratio for this program in FY 2016 is 54 percent (54%).

SPECIAL NOTE: See CFDA # 10.322 for other pertinent financial information. Fiscal Year 2017: For Fiscal Year (FY) 2017, the consolidated appropriation for Insular Area Grants (CFDA 10.308 and 10.322) was \$2 million. After deductions for legislatively authorized set-asides, a distributed Payment to States amount for the RIIA and Agriculture and Food Science Facilities and Equipment (AGFEI) Grant Programs was \$1,149,900.

AGFEI and RIIA Project type in FY 2017 is Regular Grant only at a ceiling amount of \$150,000. An eligible university system may receive up to a maximum of three (3) Regular grant awards.

A second program, the Agriculture and Food Sciences Facilities and Equipment (AGFEI) for land-grant colleges and universities in the Insular Areas was attached to this CFDA for the first time. The AGFEI's legislative authority is 7 U.S.C. 3222b-2.

A total of 15 applications, six for AGFEI and nine form RIIA were received. One application was declined for review due to submission guidelines

As evaluated by a peer review panel and due to limited funding, only four (4) RIIA and four (4) AGFEI out of the 14 proposals were funded.

The funding ratio for this program in FY 2017 is 57 percent (57%).

SPECIAL NOTE: See CFDA # 10.322 for other pertinent financial information. Fiscal Year 2018: For FY 2018 it is projected that the budget for the Insular Areas Program will be comparable to Fiscal Year FY 2017 and will be distributed in the same way.

Pertinent data to be provided by Program at a future date.

SPECIAL NOTE: See CFDA # 10.322 for other pertinent financial information.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:
<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE
NIFAs Federal Assistance Policy Guide describes agency policies and procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS
Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA
When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS
The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.
<https://nifa.usda.gov/federal-regulations>

FOIA
The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE
The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE
The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT
NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Email: Policy@nifa.usda.gov Phone: (202) 720-2324 Fax: (202) 720-2030

Website Address:

<http://nifa.usda.gov/program/resident-instruction-grants-riia-and-distance-education-grants-deg-institutions-higher>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The final eight (8) RIIA Awards ranged from the lowest of \$120,979 to the highest of \$175,000.

Developing Resident Instruction in Food & Agricultural Related Sciences

The purpose of this project is to:

- (1) increase the number and diversity of students who will pursue and complete a 2- or 4-year postsecondary degree in the food and agricultural related sciences;
- (2) enhance the quality of postsecondary instruction in order to help meet current and future national food and agricultural sciences workplace needs;
- (3) to provide off-island scholarships for students pursuing Bachelors degrees in food and agricultural related sciences; and
- (4) to provide financial assistance for faculty and staff professional development.

The goal is to increase the enrollment or the number of students who declare a major. The number of students who continue to use the student lab is at a steadily increasing rate.

The project will increase in the number of graduates, continue the funding source for faculty and staff, and increase in the number of students continuing their education at the Bachelors level. They will be funding: summer internships and summer institute financial assistance.

Working in Agricultural Training: Education by Research (WATER)

The main objective of the this project is to enhance the agriculture, natural sciences and engineering curriculum through the involvement of students and faculty in research projects related to reuse of water resources for agriculture, including experiential learning and K-12 outreach and extension activities.

Audience: Five (5) undergraduates and one graduate student will participate including seven faculty and one-extension personnel. An estimated of 100 K-12 students and 30 K-12 students and teachers will be impacted by the outreach and extension activities.

Activities/Products WATER products involves outreach materials, workshops for training students in research projects, research projects related to wastewater reuse, summer research experiences for undergraduate and graduate students for participating institutions, students internships at USDA, K-12 teachers workshops, K12 students motivational career workshops. Envisioned activities will be aimed at students development as well as the consolidation of a robust pipeline to attract, retain and graduate talented minority students from PR and USVI while preparing them for placement in Agriculture-related positions.

Promoting local research and internship experiences in the US for undergraduate students

This project will address the need to provide new learning paradigms and research skills through an undergraduate research program (URP), and a summer internship what will continue increasing the number of applications, enrollments and the retention rates on two campuses of the University. This institution has the capacity (faculty, laboratory space and equipment) to admit additional students and increase enrollments in the graduate programs, especially in food, agriculture, renewable natural resources and environment fields. Students from UPR-Ag majoring in science, technology, engineering, and mathematics (STEM) disciplines will be exposed to the opportunities of applying their basic knowledge to research problems related to FARNRE. Two mid-career young faculty members will be given the opportunity to renew their theoretical and applied knowledge and skills in the areas of assisted reproductive techniques, one, and food science microbiology, the other. They will be able to incorporate the obtained knowledge in their courses and research as well as in their activities as mentors of the institution funded by the project as well as enhance professional relations with the hosting institution. This project is aligned with the institutions long range goals as they are contained in its mission statement. The present tight fiscal situation at the campus, which is expected to continue, poses a challenge for the funding needed to accomplish the institutional goals established. This project will facilitate the development of inter-institutional partnerships and collaborations between at least three institutions.

Integrated Sustainable Small Farm Training in the Pacific Islands

The project will train students by establishing a field-based experiential learning program with utilization of the existing demonstration farm. Five (5) objectives of the project are:

- (1) Increase in students knowledge and skills of farm management and sustainable agriculture;
- (2) Increase in opportunities for students and public to gain knowledge of sustainable agriculture by attending workshops;
- (3) Develop students leadership skills, service learning, and oral communication skills of farm management and sustainable agriculture;
- (4) Develop students written communication skills of farm management and sustainable agriculture; and
- (5) Increase the number of deliverables for agriculture education and extension program.

The project addresses the following Educational Need Areas (ENA): Curricular Design, Materials Development, Library Resources; Student Experiential Learning; and Student recruitment, retention, and education equity.

For ENA, the manual and handouts created in the proposed project will be used as instructional materials to learn about sustainable agriculture as a farm tour guide or in classroom. For ENA, the proposed project offers the farm-based work study practicum and experiential learning by interaction of trainees with faculty to produce extension materials. The proposed project will also address ENA, student recruitment and retention by providing students job opportunities as financial supports.

Enhancing career opportunities in agricultural sciences for Pacific Islanders

The project will enhance agriculture education at secondary schools by offering workshops to secondary school teachers in Guam and delivering agricultural

related STEM instruction materials. The proposed project will increase undergraduate students opportunities to conduct agricultural research projects and participate in experiential learning programs. The proposed project will also provide support for Pacific Island students to pursue advanced degrees in agriculture by offering scholarships to qualified recipients. The proposed project supports University of Guam's long-term goals in two Educational Need Areas: (# e) Student Experiential Learning: to develop student scientific and professional competencies through experiential learning programs that provide students with opportunities to solve complex problems in the context of real world situations; and (# f) Student Recruitment, Retention, and Educational Equity: to strengthen student recruitment and retention programs to ensure the future strength of the Nations scientific, professional, and technical work force in the food and agricultural sciences. Fiscal Year 2017: The final eight (8) Awards will range from the lowest of \$138,686 to the highest of \$145,750.

RIIA project: Engineering Teams Optimizing Agricultural Technology

Work proposed herein takes advantage of the strong engineering programs available at the institution to foster the optimization of agricultural technology. Such goal will be achieved by preparing and focusing teams of two (2) to four (4) engineering students to work on specific agricultural science problems impacting the island. Attainment of such objective requires the establishment of a curricular sequence in Agricultural Science that allows Engineering students to grasp fundamental knowledge of agriculture. The curricular sequence includes a capstone/design course that requires application of the Engineering tools to a current problem of the islands agriculture. Student capstone design project will be identified after interaction with stakeholders (e.g., Extension agents, farmer or professional associations, government officials, industry representatives).

The project starts by testing the strategy at Industrial and Mechanical Engineering with the long-term goal of institutionalizing the improved approach to the various engineering departments at the institution. By intersecting engineering know-how with agricultural opportunity areas, the initiative should impact the islands economic activity.

RIIA Project: Developing Resident Instruction in Food & Agriculture Related Sciences at Community College.

RIIA funding will continue to support three (3) full-time instructors, which has resulted in increase in enrollment, number of graduates, students receiving scholarships and stipends, and students participating in experiential learning programs. Continued support from RIIA will help to ensure further successes in the institutions long-term measures. The project goals focuses on three (3) Educational Need Areas (ENA) ENA (2) Faculty Preparation & Enhancement for Teaching, ENA (5) Student Experiential Learning, and ENA (6) Student Recruitment, Retention, and Educational Equity. With the ENAs as a guiding tool, the objectives of the project are: to maintain a funding source for faculty; to participate in a Summer Internship project with insular area colleges/universities; to provide local and regional summer internships; to provide Summer Institute scholarships; to provide off-island scholarships for students pursuing Bachelors degrees in food and agricultural related sciences; and to provide financial support for faculty and staff professional development. These objectives will help the institution meet its outcomes and overall NIFA objectives of increasing the number and diversity of students pursuing degrees in food and agricultural sciences, and enhancing the quality of postsecondary instruction to help meet current and future workplace needs

RIIA project: Engaging Prebaccalaureate Students in Educational Agricultural Research

The project will engage undergraduate students in educational agricultural research opportunities. Research faculty at the Agricultural Experiment Station will engage students through mentoring them in educational agricultural research within their programs. One (1) of the challenges faced by institutions operating in insular areas is having sufficient resources for many aspects of everyday life and education. In addition to much needed financial support, this

RIIA grant will provide undergraduate students with opportunities to develop their problem solving and decision making capabilities and their level of technical expertise to enhance their overall college experience in leadership and to broaden the scope of their education. Students can use their experience in an Agriculture Extension Station (AES) research program to excel in subsequent academic ventures. A student will also be given an opportunity to participate in a summer internship in 2018 at another Insular Area Institution. The select student will be able to learn and experience tropical agriculture from a different region and share their experience upon return with other undergraduate students. Four students will also be selected to visit a continental US university's main campus and off campus research stations focusing on tropical agriculture to expose them to other students and research institutions.

AGEFEI Project: Rainwater Harvesting, Storage, and Micro-Irrigation for Water Self-Sufficiency

This project will merge existing irrigation infrastructure with rainwater catchment, distribution, and irrigation technologies that provide state-of-the-art solutions to water conservation and agricultural sustainability in the hot, humid tropics. They will construct a farm equipment building for storage and maintenance that will enhance the rainwater catchment capacity of the green water system. The green water system will utilize rainwater catchment, storage, and distribution at the AES field research site that incorporates micro-irrigation technologies. The goal of this proposal is that water use at the AES field research programs (irrigation and livestock consumption) will become self-sufficient from harvested rainwater.

AGEFEI Project: A Science Hub Facility for Agriculture and Plant Protection

The project aims to substantially upgrade and modernize the facilities located in a Center for Excellence in Quarantine and Invasive Species, with a view to strongly enhancing the technological aspects of agricultural education and training in the island. The Center for Excellence possesses lab space, greenhouses and a quarantine facility for the study of invasive pests and pathogens, constituting a front line initiative for their control, before they affect the mainland US. The Center is currently host to 12 undergraduate and graduate students, being trained in modern techniques of pest and pathogen detection, monitoring (GIS) and biological and biomolecular characterization, including Next Generation Sequencing (NGS) techniques. The project goals are to: Firstly, to develop networking capability, involving the creation of an improved multimedia classroom in the Library, which will act as hub for live teaching and seminars, teleconferencing, and the creation of online content in both Spanish and English. This will enable groups in diverse parts of the island to easily access and contribute expertise and knowledge. An important function will be to establish connectivity with groups outside the island, in the mainland US, Caribbean region, and rest of the world. Secondly, to implement computational infrastructure that will facilitate high throughput analyses, in particular genomics that may be used as a training tool in undergraduate and graduate research and education. Thirdly, to upgrade existing experimental equipment to the state of the art. This will include digitization and improvement of microscopic equipment, acquisition of high throughput sample processing equipment such as DNA automated extraction for sampling screening and biological process documentation. Fiscal Year 2018: For FY 2018, it is projected that approximately 15-20 applications will be received, and approximately seven (7) to eight (8) awards will be made.

Specific data is not yet available. Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/resident-instruction-grants-program-institutions-higher-education-insular-areas>.

10.309 SPECIALTY CROP RESEARCH INITIATIVE (SCRI)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

The Specialty Crop Research Initiative (SCRI) is authorized by Section 7311 of the Food, Conservation, and Energy Act of 2008, which added section 412 to the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA). Section 412 of AREERA establishes a specialty crop research and extension initiative to address the critical needs of the specialty crop industry by developing and disseminating science-based tools to address needs of specific crops and their regions. . Public Law 105-185, 7 U.S.C 7632.

OBJECTIVES:

The Specialty Crop Research Initiative (SCRI) was established to solve critical industry issues through research and extension activities. SCRI will give priority to projects that are multistate, multi-institutional, or trans-disciplinary; and include explicit mechanisms to communicate results to producers and the public. Projects must address at least one of five focus areas: research in plant breeding, genetics, and genomics to improve crop characteristics; efforts to identify and address threats from pests and diseases, including threats to specialty crop pollinators; efforts to improve production efficiency, productivity, and profitability over the long term; new innovations and technology, including improved mechanization and technologies that delay or inhibit ripening; and methods to prevent, detect, monitor, control, and respond to potential food safety hazards in the production and processing of specialty crops including fresh produce.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved research and extension objectives. Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees). Funds may not be used for any purposes other than those approved in the grant award documents. **INDIRECT COSTS:** Not to exceed 22% of Federal Funds awarded. Applicants may use both the unrecovered indirect costs associated with the Federal Budget and the unrecovered indirect costs associated with the Non-Federal Budget to meet their matching requirements.

Indirect costs may not be recovered on third-party matching contributions.

Applicant Eligibility:

Applications may be submitted by Federal agencies, national laboratories, colleges and universities, research institutions and organizations, private organizations or corporations, State agricultural experiment stations, individuals, or groups consisting of two or more of these entities.

Beneficiary Eligibility:

Applications may be submitted by Federal agencies, national laboratories, colleges and universities, research institutions and organizations, private organizations or corporations, State agricultural experiment stations, individuals, or groups consisting of two or more of these entities.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one-time basis, with updates on an as-needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/specialty-crop-research-initiative-scri>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Notice of Intent to Submit an Application: Prospective applicants are asked to email a notification of intent to submit an application. The notification of intent to submit is not required and does not enter into the review of a subsequent application. The information it contains will be used to help program staff plan the review and estimate the potential review workload. This email should include the following information:

Descriptive (draft) title of proposed research;
Name of the Project Director and applicant name if applicable;
Names of other potential co-Project Directors and their affiliations, if applicable;
Focus area(s) addressed, (see Part I(B) for specific details);
Likely type of proposal (Coordinated Agricultural Projects, Standard Research and Extension Projects, Regional Partnerships for Innovation Projects, eXtension Projects, and Research and Extension Planning Projects); and
Subject line of email should read: SCRI Intent to Submit. Emails should be sent to scri@csrees.usda.gov.

All RFAs are published on the Agency's website and Grants.gov.

Applicants must complete the Grants.gov registration process must follow the instructions provided per Grants.gov. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/specialty-crop-research-initiative-scri>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via:
<https://nifa.usda.gov/funding-opportunity/specialty-crop-research-initiative-scri>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:
<https://nifa.usda.gov/funding-opportunity/specialty-crop-research-initiative-scri>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/specialty-crop-research-initiative-scri>.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 100%. Applicants may use both the unrecovered indirect costs associated with the Federal Budget and the unrecovered indirect costs associated with the Non-Federal Budget to meet their matching requirements. Indirect costs may not be recovered on third-party matching contributions.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific

and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/specialty-crop-research-initiative-scri>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reepport> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport) All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

(1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. (6/21/17 FYI ONLY:

NIFA utilizes the Federal Financial Report, Form SF-425 to monitor cash outlays.). **PROGRESS REPORTS:**

See above for pertinent and specific details. **EXPENDITURE REPORTS:**

See above for pertinent and specific details. **PERFORMANCE MONITORING:**

See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1502-0-1-352.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$70,197,495; FY 17 est \$69,581,185; and FY 18 est \$69,806,900 - SPECIAL NOTES:

(1) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/specialty-crop-research-initiative-scri>.

TAFS Codes:

12-2017-2017-1502; 12-2017-X-1502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: For the Fiscal Year (FY) 2016 award cycle, approximately \$48,250,396 million was available for the Specialty Crop Research Initiative (SCRI) program and \$21,947,099 million was available for the Emergency Citrus Research and Extension Program (CDRE).

Pre-applications were solicited separately for each program and subject to separate stakeholder relevancy reviews.

A total of 120 pre-applications were received for SCRI and 38 pre-applications were received for CDRE.

Following the relevancy review processes, 61 full applications were solicited for SCRI and 21 for CDRE.

Separate scientific merit panels were then convened to evaluate the scientific quality of the applications and to combine that evaluation with the results of the relevancy review. Panelists included faculty from land grant and non-land grant colleges and universities, industry scientists, and practitioners from the food and agricultural sciences community.

NIFA made 18 new SCRI awards (approximately \$37 million), which translates into a 30% success rate for full applications. When the pre-application success rate is included, the combined success rate for applicants is 15%.

In addition, seven (7) SCRI continuation awards were made for approximately \$12 million.

NIFA made five (5) new CDRE awards (approximately \$18.4 million), which translates into a 24% success rate for full applications.

When the pre-application success rate is included, the combined success rate for CDRE applicants is 13.2%. Fiscal Year 2017: For the Fiscal Year (FY) 2017 award cycle, approximately \$47,927,218 million was available for the SCRI program and approximately \$21,653,967 million for CDRE.

Pre-applications were solicited separately for each program and subject to separate stakeholder relevancy reviews.

A total of 155 pre-applications were received for SCRI and 42 pre-applications were received for CDRE.

Following the relevancy review processes, 83 full applications were solicited for SCRI and 25 for CDRE.

Separate scientific merit panels were then convened to evaluate the scientific quality of the applications and to combine that evaluation with the results of the relevancy review. Panelists included faculty from land grant and non-land grant colleges and universities, industry scientists, and practitioners from the food and agricultural sciences community.

NIFA made 12 new SCRI awards (approximately \$35 million), which translates into a 14% success rate for full applications. When the pre-application success rate is included, the combined success rate for applicants is 7%.

In addition, five (5) SCRI continuation awards were made for approximately \$13 million.

For CDRE, the FY 2017 merit review panel has not convened, so information is not yet available for new awards. Pertinent details to be provided by Program at a future date. NIFA anticipates funding one (1) continuation award (approximately \$6 million). Fiscal Year 2018: For the Fiscal Year (FY) 2018 award cycle, approximately \$48,082,084 million is projected to be available for the SCRI program and \$21,724,816 million for CDRE.

Relevancy review of pre-applications will be followed by a scientific merit review of solicited full applications. These sequential review steps are conducted separately for SCRI and CDRE.

NIFA anticipates funding five (5) continuation awards for SCRI (approximately \$16 million) and two (2) continuation awards for CDRE (approximately \$4 million).

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:
<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE
NIFAs Federal Assistance Policy Guide describes agency policies and procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS
Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA
When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS
The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA
The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE
The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE
The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT
NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader; Institute of Food Production and Sustainability, Division of Plant Systems-Production, 1400 Independence Avenue, SW., STOP 2240, Washington, District of Columbia 20250-2240
Email: Policy@nifa.usda.gov Phone: (202) 401-4202 Fax: (202) 401-1782

Website Address:

<http://nifa.usda.gov/program/specialty-crop-research-initiative>

RELATED PROGRAMS:

10.200 Grants for Agricultural Research, Special Research Grants; 10.303 Integrated Programs; 10.307 Organic Agriculture Research and Extension Initiative; 10.329 Crop Protection and Pest Management Competitive Grants Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: MANAGEMENT OF BROWN MARMORATED STINK BUG IN US SPECIALTY CROPS

The brown marmorated stink bug (BMSB) is an invasive insect that first appeared as a severe economic pest of specialty crops in the Mid-Atlantic in 2010. It has since spread to 43 states and is now a threat to specialty crops across much of the US. This project will improve our knowledge of BMSB risk to crops through enhanced understanding of agroecology and landscape ecology, implement widespread biological control of BMSB with an exotic Asian parasitoid and native natural enemies, and develop management tools and strategies compatible with biological control and informed by risk from landscape factors. We will continue to determine the economic consequences of BMSB damage and how it is reduced by specific management strategies, and deliver science-based information with a robust and diversified outreach program.

PROTECTING POLLINATORS WITH ECONOMICALLY FEASIBLE AND

ENVIRONMENTALLY SOUND ORNAMENTAL HORTICULTURE

Recent scientific and public awareness about the status of domesticated and native pollinators in North America has led to consumers intentionally planting pollinator-friendly plants. Identifying ornamental horticulture plants that support pollinator populations will open new markets for growers. This address threats to specialty crop pollinators by:

- (1) identifying pollinator attractiveness of top selling crops;
- (2) filling specific regulatory data gaps for pollinator risk assessment of systemic insecticide residues within ornamental horticulture crops;
- (3) comparing current pest management practices with alternative strategies;
- (4) providing guidance to growers and landscape managers with updated Best Management Practices; and
- (5) developing outreach tools for multiple stakeholder audiences. Ultimately, this project will aid in reaching the President's goal of seven (7) million acres of restored or enhanced pollinator habitat by providing growers and landscape managers the knowledge to address pests while producing high quality plants for pollinator forage.

SOLID SET CANOPY DELIVERY SYSTEMS: AND EFFICIENT, SUSTAINABLE AND SAFER SPRAY TECHNOLOGY FOR TREE FRUIT

This multi-region project has a national scope completing the development and delivery of Solid Set Canopy Delivery Systems (SSCDS) for high-density tree fruit production and evaluate SSCDS spray coverage for additional perennial fruit crops. SSCDS consist of a network of microsprayers positioned in the tree canopy/trellis and connected to a pumping/mixing station. SSCDS application virtually eliminates applicator exposure common to tractor-based sprayers, while increasing farmers ability to apply sprays during critical weather periods. SSCDS would make frequent applications at low rates possible for modern agricultural chemicals, including nutrients and reduced-risk pesticides, to improve efficacy of soft impact IPM programs and reduce soil fertilizer levels. The specific objectives for this proposal are:

- (1) Optimize SSCDS technologies for modern orchard architectures for improved spray material application efficacy;
- (2) Determine and test SSCDS applications for standard and novel fruit production operations;
- (3) Determine the economic benefits and costs associated with SSCDS and identify non-economic barriers to grower adoption of SSCDS; and
- (4) Develop and deliver extension and outreach activities and materials including field scale and on-farm demonstrations to increase producer knowledge and adoption of SSCDS technologies.

FOOD SAFETY INNOVATIONS AND PREVENTIVE CONTROLS DURING FRESH AND FRESH-CUT PRODUCE WASHING, PACKING, AND RETAIL DISPLAY

Foodborne illness outbreaks associated with contaminated produce negatively impact public health, consumer confidence, the produce industry's economic well-being, and progress toward national nutritional goals. To reduce food safety risk, this project holistically addresses key steps in the supply chain where risk factors profoundly impact food safety, and controls are also feasible. Specifically, we will:

- (1) develop and validate preventive controls for cross-contamination during packinghouse and fresh-cut processing operations;
- (2) evaluate food safety improvement in nonimmersive fresh-cut washing;
- (3) advance scientific understanding of fresh-cut washing and sanitizing processes, and develop novel technologies to improve efficacy;
- (4) field-test, in cooperating retail stores, an energy-efficient approach to improve temperature control and prevent pathogen proliferation in fresh-cut leafy greens; and
- (5) perform cost-benefit analysis and outreach to stakeholders to facilitate technology adoption.

Project outcomes will enable development, validation, and adoption of science- and risk-based food safety standards and control limits, support implementation of the Food Safety Modernization Act, and benefit consumers, processors, packers, and retailers.

ACCELERATING THE DEVELOPMENT, EVALUATION, AND ADOPTION OF NEW APPLE ROOTSTOCK TECHNOLOGIES TO

IMPROVE APPLE GROWERS PROFITABILITY AND SUSTAINABILITY

The deficiencies of current apple rootstocks create an urgency to develop and adopt new improved rootstocks. Through this project, we seek to accelerate the development, evaluation and adoption of improved apple rootstocks by targeting research areas, not currently being addressed, which will result in more efficient rootstock development and improved implementation by growers and nurseries. We will focus on both evaluating new candidate rootstocks and identifying genetic makers for difficult to phenotype complex root traits like replant disease tolerance, nutrient uptake and partitioning (especially calcium), low/high soil pH and salinity to improve tolerance of rootstocks to these biotic and abiotic stresses, and improve fruit quality of high value cultivars such as Honeycrisp. Fiscal Year 2017: VitisGen2: Application of Next Generation Technologies to Accelerate Grapevine Cultivar Development

Recent analyses have emphasized the economic and environmental importance of developing new grape cultivars with high fruit quality (FQ) and resistance to powdery mildew (PM). An Advisory Panel of table, juice, raisin and wine grape industry members concurs that high-quality, PM-resistant cultivars are a top priority. The proposed project (VitisGen2) will: i) expand on VitisGen progress in developing novel economic, phenotyping and genetics knowledge and tools related to new grape cultivars; and ii) translate these and previous VitisGen innovations into new applications for improving grape breeding programs and managing existing vineyard plantings.

The Economics team will evaluate the consequences of introducing new grape traits, including impacts upon cost, yield, revenue, profit, pesticide use, and the environment. The Phenotyping team will develop novel high-throughput methods, and apply these along with proven approaches to characterize a range of phenotypes, such as PM resistance durability and undesirable fruit qualities, as well as locally important traits. The Genetics team will couple phenotyping results with high-resolution genetic maps, which can be combined with genome assembly and RNA-Seq analyses to develop inexpensive, high-resolution markers spanning key genes. Finally, the Outreach team will communicate scientific opportunities and discoveries, and provide stakeholders with knowledge of the benefits of adopting new high-quality PM-resistant cultivars along with new tools for characterizing PM and FQ in their existing plantings. The proposed work aligns with SCRI program goals by utilizing plant breeding and genomics approaches to improve grape characteristics and enhance the economic and environmental sustainability of grape production.

Table to Farm: A sustainable, systems-based approach for a safer and healthier melon supply chain in the U.S.

This project aims to improve the safety and quality of U.S.-produced melons (*Cucumis melo* L.) and therefore addresses SCRI goals by: strengthening the competitiveness and sustainability of the U.S. specialty crop industry and bolstering consumption of regionally produced specialty crops, expanding the diversity of adapted varieties through breeding, and improving production practices. It is anticipated that this stakeholder-driven approach will lead to development of unique cultivars (with improved quality, safety, and sensory attributes) and increase consumer loyalty and repeat purchasing (SCRI focus areas 1, 3, and 5).

The proposed project has five (5) objectives:

- (1) Identify and analyze factors influencing consumer behaviors related to melon purchase and consumption;
- (2) Develop cultivars that address producer and consumer needs using genomics-assisted selection and breeding to harness genetic diversity of melon varieties and improve nutritional quality, flavor, safety, and stress resistance;
- (3) Develop region- and cultivar-specific agronomic practices and technologies to improve production efficiency, nutritional quality and safety attributes;
- (4) Identify critical control points and develop pre- and post-harvest strategies to minimize microbial contamination; and
- (5) Establish a Center of Excellence Research, Extension, and Outreach Program for Melons with a focus on improving consumer awareness and meeting the consumer demand for safe, high-quality melons that exceed minimum quality standards thereby resulting in profitability for producers and retailers.

The CAP team spans 13 disciplines and institutions from seven (7) states. We expect that enhancing melon quality, nutrition, and safety, while providing elite cultivars well-suited to regional production and distribution, will benefit producers, processors, distributors, and consumers nation-wide.

Increasing Low-Input Turfgrass Adoption Through Breeding, Innovation, and Public Education

The public desires lower-input turfgrasses that provide functional turf areas while reducing inputs of water, fertilizer, mowing, and pesticides. Our surveys of consumer and public land managers, along with information from industry partners, suggests that having knowledge about the positive benefits of fine fescues, an important group of grasses well suited for low-input environments, is not enough to increase adoption. The long-term goal of this project is to increase the use of well-adapted fine fescue cultivars in sustainable landscapes. In our first objective, we will survey consumers, land managers, and seed producers to identify the barriers preventing them from using fine fescues. In the second objective, we will lead a sustained effort of cultivar development focused on improving important traits utilizing new molecular technologies and proven breeding approaches. The third objective will generate new knowledge about complex interactions between turfgrass genetics and management. Our approach in the fourth objective will use 30 years of publicly available data in an innovative way to improve consumer turfgrass purchasing decisions for improved fine fescue cultivars. Our fifth objective will identify solutions to several turfgrass management barriers that are preventing stakeholders from seeding fine fescues in landscapes and seed producers from growing this specialty crop. Finally, and most importantly, our sixth objective will deliver research-based information to consumers, seed producers, and land managers using new and innovative outreach methods. We will use plant breeding to improve low-input characteristics and increase the production and profitability of this specialty crop over the long-term.

Developing a sustainable stevia industry in the United States

Stevia rebaudiana (stevia) is a rapidly emerging new crop in the U.S., grown for the extraction of sweet-tasting steviol glycosides used as non-caloric sweeteners. Most stevia is currently produced in China, though production is increasing rapidly in the U.S. The combination of a favorable climate and existing infrastructure necessary for stevia production in the southeastern U.S. make this an ideal region for production of high quality stevia needed to meet the increasing demand for steviol glycosides. However, U.S. stevia growers confront multiple challenges, including a lack of varieties developed for U.S. conditions, a paucity of information on optimizing production practices, such as planting density, fertilization, weed and disease control, and a lack of information on costs of production, consumer preferences, and potential profitability. The specific objectives of this proposal, which were developed with stakeholders through an SCRI Planning Grant, are to:

- (1) Develop Best Management Practices to optimize stevia biomass and glycoside production in the southeastern U.S.;
- (2) Develop germplasm and genetic/genomic resources to enable selection and breeding of stevia cultivars adapted to U.S. growing conditions and producing consumer-desired steviol glycosides;
- (3) Develop consumer profile and market potential analyses for U.S. stevia products and determine consumer-preferred combinations of steviol glycosides;
- (4) Develop cost of production estimates for stevia in different cropping systems; and
- (5) Conduct outreach programs for producers, extension service providers, and industry professionals.

Completing these objectives will contribute substantially to filling the knowledge gaps, and the fostering development of new varieties to meet industry demand for next-generation sweeteners.

Integrating anaerobic soil disinfestation, crop rotation and variety for disease management in strawberry production

Strawberry production is a \$2.8 billion industry that provides livelihoods for

many hundreds of growers and employs over 80,000 workers in the US. The industry was built around the use of fumigants to manage soil borne diseases and pests. Increasing restrictions on fumigant use due to health and environmental concerns make the development of effective reduced/non-fumigant based management systems critical for survival of the industry in the US. This SREP project brings together a national team of researchers and stakeholders to develop and implement effective non/reduced fumigant management systems tailored for specific regions, pathogens and pests.

Biologically-based techniques, notably anaerobic soil disinfestation (ASD), crop rotation and cultivar resistance are promising alternatives to fumigation in strawberries; but their efficacy is dependent on environmental and biological variables. Improved understanding of management/environment/ pathogen/pest interactions with ASD is needed to develop site and pathogen specific management strategies. Any single biological technique is unlikely to provide efficacy in all situations, but combining multiple strategies should increase the reliability of pathogen control. Here we propose to optimize ASD in terms of carbon sources, combined use with biological agents and herbicides, reduced water use, nitrogen dynamics and genotypes, then put these together with crop rotation to design integrated systems targeted for specific regions in California, Florida, North Carolina and Tennessee.

Findings from this project will benefit other specialty crops where fumigants are used, and address SCRI priorities: identify and address threats from pests and diseases; improve production efficiency; and research on plant breeding. Fiscal Year 2018: Information is not yet available. Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/specialty-crop-research-initiative-scri>.

10.310 AGRICULTURE AND FOOD RESEARCH INITIATIVE (AFRI) (AFRI)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Competitive, Special and Facilities Research Grant Act, Section 2(2)(b), 7 U.S.C. 450i., 7 U.S.C 450i.

OBJECTIVES:

To establish a competitive grants program to provide funding for fundamental and applied research, extension, and education to address food and agricultural sciences.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences. The competitive grants program shall address the following areas:

- A) Plant health and production and plant products;
- B) Animal health and production and animal products;
- C) Food safety, nutrition, and health;
- D) Renewable energy, natural resources, and environment;
- E) Agriculture systems and technology; and
- F) Agriculture economics and rural communities.

Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or

facility (including site grading and improvement, and architect fees). Funds may not be used for any purposes other than those approved in the grant award documents. Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees).

Funds may not be used for any purposes other than those approved in the grant award documents.

Indirect cost is not allowable if awarded as an equipment, conference, or post-doc fellowship grant.

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. Special Note on Indirect Costs as in-kind matching contributions:

Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less.

For example, if an awardee's indirect costs are capped at 30 percent pursuant to FY 2012 appropriated funds, Section 720 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012 (Division A of Pub. L. 112-55), the awardee may request 15 percent of the indirect costs on both the Federal portion of the award and as a matching contribution.

Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 30 percent. Fully discretionary.

Applicant Eligibility:

This initiative supports integrated and non-integrated programs. Please refer to Part III, A of the current Agriculture and Food Research Initiative (AFRI) Request for Applications for the complete eligibility requirements.

Beneficiary Eligibility:

This initiative supports integrated and non-integrated programs. Please refer to Part III, A of the current Agriculture and Food Research Initiative (AFRI) Request for Applications (RFA) for the complete eligibility requirements.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or

plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

AFRI: Agricultural and Natural Resources Science for Climate Variability and Change:

<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-agriculture-and-natural-resources>

AFRI: Food Safety:

<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-safety-challenge-area>

AFRI: Sustainable Bioenergy:

<https://nifa.usda.gov/funding-opportunity/afri-foundational-bioenergy-natural-resources-and-environment>

AFRI: Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI):

<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-agriculture-natural-resources>

AFRI: Childhood Obesity Prevention:

<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-childhood-obesity-prevention-challenge>

AFRI: Foundational Program:

<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-foundational-program>

<https://nifa.usda.gov/funding-opportunity/afri-foundational-exploratory-research>

Joint Plant Feedstock:

<https://nifa.usda.gov/funding-opportunity/plant-feedstock-genomics-bioenergy-joint-research-solicitation-usda-doe>

AFRI: Water (for Agriculture):

<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-water-agriculture-challenge-area>

Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS):
<https://nifa.usda.gov/funding-opportunity/innovations-nexus-food-energy-and-water>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov.

Applicants must complete the Grants.gov registration process. Applications should be submitted as outlined in the Request for Applications (RFA). Applications must follow the instructions provided per Grants.gov. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

AFRI: Agricultural and Natural Resources Science for Climate Variability and Change:
<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-agriculture-and-natural-resources>

AFRI: Food Safety:
<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-safety-challenge-area>

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<https://nifa.usda.gov/funding-opportunity/afri-foundational-bioenergy-natural-resources-and-environment>

AFRI: Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI):

<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-agriculture-natural-resources>

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AFRI: Foundational Program:

<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-foundational-program>
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Joint Plant Feedstock:
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AFRI: Water (for Agriculture):
<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-water-agriculture-challenge-area>

Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS):
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Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

AFRI: Agricultural and Natural Resources Science for Climate Variability and

Change:

<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-agriculture-and-natural-resources>

AFRI: Food Safety:

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AFRI: Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI):

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RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>

<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

AFRI: Agricultural and Natural Resources Science for Climate Variability and Change:

<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-agriculture-and-natural-resources>

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<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-water-agriculture-challenge-area>

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Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFAs are available as follows:

AFRI: Agricultural and Natural Resources Science for Climate Variability and Change:

<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-agriculture-and-natural-resources>

AFRI: Food Safety:

<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-safety-challenge-area>

AFRI: Sustainable Bioenergy:

<https://nifa.usda.gov/funding-opportunity/afri-foundational-bioenergy-natural-resources-and-environment>

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Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 50%. Funds are awarded competitively. No formula grants are awarded under this authority. For equipment grants Unless criteria is met to qualify for waiver by the Secretary, matching shall not exceed 50 percent of the cost of the special research equipment or other equipment acquired using funds from the grant. For applied research if the grant is commodity-specific; and not of national scope, the grant recipient is required to match USDA funds awarded on a dollar-for-dollar basis from non-Federal sources with cash and/or in-kind contributions.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of a competitive grant under this program may not exceed ten (10) years.

2 CFR Part 200, Subpart D applies to this program.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available as follows:

AFRI: Agricultural and Natural Resources Science for Climate Variability and Change:
<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-agriculture-and-natural-resources>

AFRI: Food Safety:
<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-safety-challenge-area>

AFRI: Sustainable Bioenergy:
<https://nifa.usda.gov/funding-opportunity/afri-foundational-bioenergy-natural-resources-and-environment>

AFRI: Food, Agriculture, Natural Resources and Human Sciences Education

and Literacy Initiative (ELI):

<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-agriculture-natural-resources>

AFRI: Childhood Obesity Prevention:
<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-childhood-obesity-prevention-challenge>

AFRI: Foundational Program:
<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-foundational-program>
<https://nifa.usda.gov/funding-opportunity/afri-foundational-exploratory-research>

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AFRI: Water (for Agriculture):
<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-water-agriculture-challenge-area>

Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS):
<https://nifa.usda.gov/funding-opportunity/innovations-nexus-food-energy-and-water>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reepreport> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport) All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers

the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October

2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. PROGRESS REPORTS:

See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved

2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 16 \$320,740,856; FY 17 est \$342,971,061; and FY 18 est \$319,205,682 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTES:

(1) This program represents no year funds.

(2) These funds do not include carryover..

(4) FY 2016 funding proposes significant increases in AFRI Science Priorities.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFAs are available as follows:

AFRI: Agricultural and Natural Resources Science for Climate Variability and Change:
<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-agriculture-and-natural-resources>

AFRI: Food Safety:
<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-safety-challenge-area>

AFRI: Sustainable Bioenergy:
<https://nifa.usda.gov/funding-opportunity/afri-foundational-bioenergy-natural-r>

esources-and-environment

AFRI: Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI):

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<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-foundational-program>

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AFRI: Water (for Agriculture):

<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-water-agriculture-challenge-area>

Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS):

<https://nifa.usda.gov/funding-opportunity/innovations-nexus-food-energy-and-water>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

TAFS Codes:

12-2017-X-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The following represents accomplishments for active Programs:

(A) AFRI: Agricultural and Natural Resources Science for Climate Variability and Change

In Fiscal Year (FY) 2016 the AFRI Climate Change Challenge Area invested in the following priority areas:

- (1) Climate and Land Use and
- (2) Climate Masters

The Climate Change Challenge Area was funded at \$13.9 million to support agricultural production research, education, and extension to how the mosaic of land use types affect and are affected by climate variability and change through integrated projects that focus on sustainable intensive agricultural systems, including crop, livestock, and forestry production..

The due date for the Climate Masters program was September 14, 2016. A total of 10 application were received and subjected to the peer panel review process. Four (4) awards made, representing a 40% success rate.

The request for applications was published with a closing date of Nov 16, 2016 for the Climate and Land Use area. A total of 30 applications were received and were subjected to the peer panel review process. Five (5) awards made, representing a 17% success rate.

Funding was also provided for prior years Continuation awards.

(C) AFRI: Food Safety

In Fiscal Year (FY) 2016 the Antimicrobial Resistance program in the Food

Safety Challenge Area mad awards totaling about \$4.8 million. The program received 44 proposals and funded a total of five (5) grant projects. Funded projects included four (4) Standard Research grants at \$4,799,994.00 and one (1) Conference grant at \$50,000.

(D) AFRI: Sustainable Bioenergy

For Fiscal Year (FY) 2016, two (2) New continuation awards were made under the Coordinated Agricultural Projects (CAP) program. Three (3) new awards were made totaling \$9 million under the Investing in Americas Scientific Corps: Preparing a New Generation of Students, Faculty, and Workforce for Emerging Challenges in Bioenergy, Bioproducts, and the Bioeconomy priority all under Sustainable Bioenergy Challenge Area.

(E) AFRI: Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI)

NOTE: Formerly AFRI Fellowships

ELI Research and Extension Experiential Learning for Undergraduates (REEU) Program: NIFA accepted 75 proposals for review. 18 award recommendations were executed. The success rate for the program was ~24%. Applications addressed the goal of promoting research and extension experiential learning for undergraduates such that upon graduation they may enter the agriculture workforce with exceptional skills.

Professional Development Opportunities for Secondary School Teachers and Education Professionals (PD-STEP): NIFA accepted 31 proposals for review. 14 award recommendations were executed. The success rate for the program is ~44%.

Predocctoral and Postdoctoral Fellowships: NIFA received 260 proposals. 115 award recommendations were executed. The success rate for the program was ~40%. Applications addressed the development of technical and functional competence for predoctoral students, and the research independence and teaching credentials of postdoctoral scientists in the agriculture, forestry, and food sciences that are within NIFAs challenge and foundational program areas through well-developed and highly interactive mentoring and training activities.

(F) AFRI: Childhood Obesity Prevention:

For the Fiscal Year (FY) 2016 award cycle, approximately \$6.6 million was available for project grant awards.

Fifty-one applications requesting a total of \$157,552,541 were received in this years competition. In November 2016, a 15-member peer review panel evaluated these applications. The peer panel includes faculty from 1862 land grant universities, Minority Serving Institutions, private universities, USDA-EPSCoR states, and small and mid-sized universities.

Funds were available to support research, education and extension efforts for four (4) standard awards, two (2) conference award and two (2) strengthening awards that addressed the childhood obesity prevention program.

The funding ratio for this program for FY 2016 was 13%.

(G) AFRI: Foundational Program:

In Fiscal Year (FY) 2016, AFRI Foundational Program invested \$130 million to support biological research, education and extension programs.

The request for applications were published under 28 program area priorities:

- 1) Foundational Knowledge of Agricultural Production - The closing date for applications was August 17, 2016. A total of 48 applications were received and were subjected to the peer panel review process. Ten (10) awards were made, representing a 21% success rate.
- 2) Pests and Beneficial Species in Agricultural Production Systems - The closing date for applications was July 21, 2016. A total of 152 applications were received and were subjected to the peer panel review process. 21 awards were made, representing a 14% success rate.
- 3) Physiology of Agricultural Plants The closing date for applications was

August 11, 2016. A total of 150 applications were received and were subjected to the peer panel review process. 25 awards were made, representing a 17% success rate.

- 4) Plant Breeding for Agricultural Production - The closing date for applications was July 28, 2016. A total of 72 applications were received and were subjected to the peer panel review process. 19 awards were made, representing a 26% success rate.
- 5) Animal Reproduction - The closing date for applications was July 14, 2016. A total of 62 applications were received and were subjected to the peer panel review process. 16 awards were made, representing a 26% success rate.
- 6) Animal Nutrition, Growth and Lactation - The closing date for applications was July 14, 2016. A total of 92 applications were received and were subjected to the peer panel review process. 17 awards were made, representing an 18% success rate.
- 7) Animal Well-Being - The closing date for applications was July 14, 2016. A total of 19 applications were received and were subjected to the peer panel review process. 2 awards were made, representing an 11% success rate.
- 8) Animal Health and Disease - The closing date for applications was July 14, 2016. A total of 155 applications were received and were subjected to the peer panel review process. 33 awards were made, representing a 21% success rate.
- 9) Tools and Resources Animal Breeding, Genetics and Genomics - The closing date for applications was August 3, 2016. A total of 28 applications were received and were subjected to the peer panel review process. 7 awards were made, representing a 25% success rate.
- 10) Tools and Resources Immune Reagents for Agricultural Animals- The closing date for applications was July 14, 2016. A total of 2 applications were received and were subjected to the peer panel review process. One (1) award was made, representing a 50% success rate.
- 11) Improving Food Safety - The closing date for applications was August 10, 2016. A total of 119 applications were received and were subjected to the peer panel review process. 22 awards were made, representing an 18% success rate.
- 12) Improving Food Quality - The closing date for applications was July 14, 2016. A total of 94 applications were received and were subjected to the peer panel review process. 17 awards were made, representing an 18% success rate.
- 13) Understanding Antimicrobial Resistance - The closing date for applications was August 17, 2016. A total of 30 applications were received and were subjected to the peer panel review process. Two (2) awards were made, representing a 7% success rate.
- 14) Function and Efficacy of Nutrients - The closing date for applications was July 14, 2016. A total of 87 applications were received and were subjected to the peer panel review process. 14 awards were made, representing a 16% success rate.
- 15) Nitrogen and Phosphorus Cycling - The closing date for applications was July 21, 2016. A total of 51 applications were received and were subjected to the peer panel review process. 13 awards were made, representing a 25% success rate.
- 16) Agroecosystem Management - The closing date for applications was July 21, 2016. A total of 57 applications were received and were subjected to the peer panel review process. 12 awards were made, representing a 21% success rate.
- 17) Cover Crops for Bioenergy and Biobased Products - The closing date for applications was July 21, 2016. A total of 10 applications were received and were subjected to the peer panel review process. Five (5) awards were made, representing a 50% success rate.
- 18) Socioeconomic Implications and Public Policy Challenges of Bioenergy and Bioproducts Market Development and Expansion - The closing date for applications was July 21, 2016. A total of 22 applications were received and were subjected to the peer panel review process. Five (5) awards were made, representing a 23% success rate.
- 19) Agricultural Engineering - The closing date for applications was July 13, 2016. A total of 53 applications were received and were subjected to the peer panel review process. Nine (9) awards were made, representing a 17% success rate.
- 20) Bioprocessing and Bioengineering - The closing date for applications was July 13, 2016. A total of 71 applications were received and were subjected to the peer panel review process. Eight (8) awards were made, representing 11% success rate.
- 21) Nanotechnology for Agricultural and Food Systems - The closing date for applications was July 13, 2016. A total of 88 applications were received and

were subjected to the peer panel review process. 14 awards were made, representing a 16% success rate.

- 22) Economics, Markets and Trade - The closing date for applications was August 11, 2016. A total of 48 applications were received and were subjected to the peer panel review process. 13 awards were made, representing a 27% success rate.
- 23) Environmental and Natural Resources Economics - The closing date for applications was August 18, 2016. A total of 38 applications were received and were subjected to the peer panel review process. Ten (10) awards were made, representing a 26% success rate.
- 24) Behavioral and Experimental Economic Applications for Agri-Environmental Policy Design - The closing date for applications was July 14, 2016. A total of 3 applications were received and were subjected to the peer panel review process. 2 awards were made, representing a 67% success rate.
- 25) Small and Medium-sized Farms - The closing date for applications was August 25, 2016. A total of 32 applications were received and were subjected to the peer panel review process. Ten (10) awards were made, representing a 31% success rate.
- 26) Innovation for Rural Entrepreneurs and Communities - The closing date for applications was August 31, 2016. A total of 52 applications were received and were subjected to the peer panel review process. 16 awards were made, representing a 31% success rate.
- 27) Critical Agricultural Research and Extension - The closing date for applications was July 14, 2016. A total of 35 applications were received and were subjected to the peer panel review process. 17 awards were made, representing a 49% success rate.
- 28) Exploratory Research There is no closing date for Letter of Intent and the closing date for applications are 60 days after the encouragement to submit the full application based on the assessment of Letter of Intent. A total of 68 applications were received and were subjected to the peer panel review process. 12 awards were made, representing an 18% success rate.

(I) Joint Plant Feedstock:

Within this interagency program NIFA recommended and funded to awards totaling \$2 million in FY 2016.

(J) AFRI: Water (for Agriculture):

The AFRI: Water for Food Production Systems (WFPS) represents a newly funded program, which did not exist in FY 2016.

(M) Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS):

During Fiscal Year (FY) 2016 the program made \$5 million in new awards two (2) areas:

(1) Food, Energy, and Water System (FEW) Modeling; and

(2) Visualization and Decision Support for Cyber-Human-Physical Systems at the FEW Nexus.

NIFA fully funded two (2) awards. This program is joint program with the National Science Foundation (NSF). NSF fully funded 17 awards, contributing \$35 million in new awards in the above three

(3) areas as well as four (4) FEW Education and Workforce Development.

All proposals were received by NSF and evaluated by joint panel.

For priority 1, 100 applications were received, two (2) were jointly funded by both agencies and four (4) were funded by NSF.

For priority 2, 35 applications were received; one (1) was funded by NIFA and two (2) by NSF.

The following Programs are no longer funded: hence, no action is required:

(B) AFRI: Food Security

(L) International Wheat Yield Partnership. Fiscal Year 2017: The following

represents accomplishments for active Programs:

(A) AFRI: Agricultural and Natural Resources Science for Climate Variability and Change

In FY 2017 the AFRI Resilient Agroecosystems in a Changing Climate Challenge Area invested in one (1) priority area: Climate and Land Use.

The Climate Change Challenge Area was funded at \$12.9 million to support agricultural production research, education, and extension to how the mosaic of land use types affect and are affected by climate variability and change through integrated projects that focus on sustainable intensive agricultural systems, including crop, livestock, and forestry production.

The request for applications was published with a closing date of July 13, 2017 for the Climate and Land Use area. A total of 90 applications were received. The peer review panel will be held November 7-10, 2017.

Funding was also provided for prior years Continuation awards.

(C) AFRI: Food Safety

In FY 2017, the Challenge area anticipates making \$10.9 million available for new awards and \$5 million for continuation awards. Applications for FY 2017 were received on June 22, 2017 and the peer panel review process is anticipated to be held after September 2017.

(D) AFRI: Sustainable Bioenergy

For Fiscal Year (FY) 2017 it is anticipated that new awards will be made under the Sustainable Bioenergy Lignin or Nano-cellulosic Co Products from Biomass Feedstocks and Biomass Feedstock Genetic Development and Evaluation program areas.

(E) AFRI: Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI)

ELI REEU Program: Approximately 70 proposals and 15 award recommendations are anticipated. The projected success rate for the program is approximately 21.4%.

Professional Development Opportunities for Secondary School Teachers and Education Professionals (PD-STEP): Approximately 30 proposals and 10 award recommendations are anticipated. The projected success rate for the program is approximately 33.3%.

Predocctoral and Postdoctoral Fellowships: Approximately 230 proposals and 65 award recommendations are anticipated. A success rate of 28.2% is projected.

(F) AFRI: Childhood Obesity Prevention:

In FY 2017, NIFA anticipates \$6 million will be available to support new awards.

(G) AFRI: Foundational Program:

For FY 2017 AFRI Foundational award cycle, approximately, \$150.2 million is available to fund new applications submitted to the AFRI Foundational Program solicitation. Applications were solicited for 28 individual program area priorities. Currently, all the program area priorities are either receiving applications or the program staff is screening applications submitted to the program area priorities. Therefore, complete data for the Foundational program is not available at this time.

(I) Joint Plant Feedstock:

Two (2) applications have been recommend funding and will be awarded in FY 2018.

(J) AFRI: Water (for Agriculture):

There were 163 Letters of Intent (LOIs) sent in response to the NEW AFRI Water for Food Production Systems (WFPS) RFA, proposals due August 2, 2017. Therefore, it is anticipated that about 100 applications will be received and up to seven (7) new awards will be fully funded, totaling \$37 million.

(M) Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS):

In FY 2017 the program received nearly 300 proposals. The amount available for funding new awards was \$5 million. USDA NIFA contribution to the program. NSF is estimated to have \$25 million. NIFA will fully fund four (4) awards, with NSF funding an estimated 18 additional awards. Fiscal Year 2018: The following represents accomplishments for active Programs:

(A) AFRI: Agricultural and Natural Resources Science for Climate Variability and Change

This program is ending in FY 2017, therefore there will be no funding or Request for Applications (RFA) for FY 2018.

(J) AFRI: Water (for Agriculture):

The AFRI WFPS program will be replaced by a new program in Sustainable Agriculture. All FY 2017 CAP awards will be fully funded, no continuations. No more data available. Pertinent details to be provided by Program at a future date.

Information is not yet available for FY 2018. Pertinent details to be provided by Program at a future date for the following Programs.

(C) AFRI: Food Safety

(D) AFRI: Sustainable Bioenergy

(E) AFRI: Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI)

(F) AFRI: Childhood Obesity Prevention:

(G) AFRI: Foundational Program:

(I) Joint Plant Feedstock:

(M) Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS):.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415,

grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate

important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>

Regional or Local Office:

None. Section # 153 - Additional Websites:

<http://nifa.usda.gov/program/afri-climate-variability-and-change-challenge-area>

<http://nifa.usda.gov/program/afri-food-security-challenge-area>

<http://nifa.usda.gov/program/afri-food-safety-challenge-area>

<http://nifa.usda.gov/program/afri-sustainable-bioenergy-challenge-area>

<http://nifa.usda.gov/program/afri-education-and-literacy-initiative>

<http://nifa.usda.gov/program/afri-childhood-obesity-prevention-challenge-area>

<http://nifa.usda.gov/program/afri-foundational-program>

<http://nifa.usda.gov/funding-opportunity/ecology-and-evolution-infectious-diseases>

<http://nifa.usda.gov/press-release/research-projects-improve-plant-feedstocks-bioenergy-production-departments>

<http://nifa.usda.gov/program/afri-water-agriculture-challenge-area>.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Animal Systems, 1400 Independence Avenue, SW., STOP 2240, Telephone: (202) 401-6134; Fax: (202) 1602.

ADDITIONAL CONTACTS:

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate, and Environment, Division of Global Climate Change, 1400 Independence Avenue, SW., STOP 2210, Telephone: (202) 401-4926; Fax: (202) 401-1705;

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Plant Systems-Protection, 1400 Independence Avenue, SW., STOP 2240, Telephone: (202) 401-4939; Fax: (202) 401-1782:

USDA, NIFA, National Program Leader, Institute of Food Safety and Nutrition, Division of Food Safety, 1400 Independence Avenue, SW., STOP 2225, Telephone: (202) 401-1954; Fax: (202) 401-4888;

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate, and Environment, Division of Bioenergy, 1400 Independence Avenue, SW., STOP 2210, Telephone: (202) 401-5244; Fax: (202) 401-2653;

AND

USDA, NIFA, National Program Leader, Institute of Food Safety and Nutrition, Division of Nutrition, 1400 Independence Avenue, SW., STOP 2225, Telephone: (202) 401-2138; Fax: (202) 401-6488.

, Washington, District of Columbia 20250-2240 Email: Policy@nifa.usda.gov Phone: (202) 401-6134 Fax: (202) 401-1602

Website Address:

<http://nifa.usda.gov/grants>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.212 Small Business Innovation Research; 10.216 1890 Institution Capacity Building Grants; 10.217 Higher Education Challenge Grants; 10.219 Biotechnology Risk Assessment Research; 10.220 Higher Education Multicultural Scholars Program; 10.250 Agricultural and Rural Economic Research; 10.309 Specialty Crop Research Initiative; 10.500 Cooperative Extension Service; 10.652 Forestry Research; 93.535 Affordable Care Act (ACA) Childhood Obesity Research Demonstration

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The following represents examples of funded projects for active Programs:

(A) AFRI: Agricultural and Natural Resources Science for Climate Variability and Change

Climate Masters:

One (1) project aims to increase climate change literacy in the arid Southwest while supporting both adaptation and mitigation activities for different and diverse groups, such as tribal communities and Hispanic farmers through a planning and outreach program. Another project is developing a program that will help build climate resilient communities in rural Alaskan communities, including native Alaskan villages.

Climate and Land Use:

A project focused in the Southeast will identify and test climate adaptation and mitigation in fruit and vegetable supply chains using a holistic, systems approach based on crop, economic, and environmental modeling. Another Land Use and Climate project will look at how climate is impacting land-use

decision in the inland Pacific Northwest wheat-based systems to help adapt these systems to changing environments.

(C) AFRI: Food Safety

Mitigating the Risk of Antibiotic Resistance at Critical Control Points in the Beef Cattle Manure Management System
Developing Computational Tools to Identify Critical Control Points for Mitigating the Spread of Antibiotic Resistance in Agro-ecosystems
Mitigation of Fluoroquinolone-resistant *Campylobacter* in Cattle
Mitigating Transmission of Antimicrobial Resistance on Large Dairy Farms by Reducing Behavioral Pathways of Exposure
Support for Hosting the International Symposium on Environmental Dimensions of Antibiotic Resistance

(D) AFRI: Sustainable Bioenergy

A total of seven (7) awards were made in FY 2016 for the Bioenergy Challenge Area in various program areas. Examples included but not limited to:

NEWBio: Northeast Woody/Warm-season Biomass Consortium

NEWBio aims to build robust, scalable and sustainable value chains for biomass energy in the Northeast growing short rotation woody crops and perennial grasses on underutilized or marginal lands.

System For Advanced Biofuels Production From Woody Biomass In The Pacific Northwest

This project is to ready the Pacific Northwest (PNW) for a 2015 introduction of a 100% infrastructure compatible biofuels industry that meets the region's pro-rata share of Renewable Fuels Standard (RFS2) targets using sustainably grown regionally appropriate woody energy crops, thereby helping to revitalize the region's agriculture/forestry sectors with establishment of a sustainable advanced biofuels industry that supports both large and small growers and brings jobs to rural communities in the region.

(E) AFRI: Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI)

REEU: Projects were funded that promote research and extension learning experiences for undergraduates such that upon graduation they may enter the agricultural workforce with exceptional skills. Successful proposals enhanced the capacity of institutions to produce graduates with skills needed to address challenges of the 21st Century in the Food, Agricultural, Natural Resources, and Human (FANH) sciences.

PD-STEP: Projects were funded that increase the number of K-14 teachers and educational professionals trained in the FANH sciences. Successful proposals developed pathways to identify and replicate best practices to engage youth in science, technology, engineering and mathematics (STEM) fields within the FANH sciences

Predocctoral and Postdoctoral Fellowships: Projects were funded that will develop the next generation of leaders who will be actively engaged in agriculture, forestry, and food related research, education, and extension careers. Successful proposals focused on developing the next generation of scientists who will lead agriculture into the future by solving current and future challenges facing our society. The awarded projects also targeted talented, highly-motivated doctoral candidates and postdoctoral trainees that demonstrate remarkable promise and demonstrated the ability to increase the number of gifted agricultural scientists in the United States.

(F) AFRI: Childhood Obesity Prevention:

Starting Early: Expansion of a Primary Care-Based Early Child Obesity Prevention Program

This proposal is a multi-functional integrated research, education and extension project to evaluate the long term impacts of the Starting Early Program (STEP) and to develop and evaluate a two-fold expansion of this novel approach to

preventing early child obesity. This proposal fully integrates research to determine the efficacy of the STEP intervention and key factors causing early childhood obesity, education of pregnant women and mothers as well as dietetic/nutrition and primary health care providers and extension into:

- (1) the Families, Food and Fitness eXtension community of practice;
- (2) primary health care setting; and
- (3) Supplemental Nutrition Programs for Women, Infants and Children (WIC).

Advancing and Expanding HomeStyles: Shaping HOME Environments and LifeSTYLES to Prevent Childhood Obesity

This multi-disciplinary, multi-institutional, multi-state, integrated Research, Education, and Extension project will advance and expand the progress of HomeStyles toward reducing risk of childhood overweight and obesity. HomeStyles, an in-home family intervention, enables and motivates English- and Spanish-speaking parents to shape home environments and weight-related lifestyle practices to prevent childhood obesity. Segment 1 of HomeStyles focused families with preschoolers (ages 2-5).

Summer Harvest Adventure: A garden-based obesity prevention program for children residing in low-resource communities

This novel proposal is in direct response to the AFRI Childhood Obesity Prevention Challenge Area aligning with the USDA Strategic Plan. The long-term goal of our enhanced Team Nutrition-based intervention, "Summer Harvest Adventure," is to promote obesity prevention strategies (improve diet, physical activity) and help fill the summer meal gap for children, ages 8-11 years, residing in low-resource communities. The healthcare cost of every obese child in the U.S. over a lifetime is \$19,000 more than his/her normal-weight counterpart.

(G) AFRI: Foundational Program:

Only a few examples are listed below. Numerous other examples of funded foundational projects under all of the 28 Program areas are available via this following link for abstracts: [link](#)

- 1) Foundational Knowledge of Agricultural Production Systems:
 - i) Enhancing the rhizosphere microbiome and soil health to increase yield resilience to stress.
 - ii) Exploring soil biological health and pest management trade-offs to maximize crop productivity.
- 2) Pests and Beneficial Species in Agricultural Production Systems:
 - i) The role of eco-evolutionary dynamics in an expanding biocontrol agent.
 - ii) Genetics, Genomics, and transcriptomics of host specificity in aphid parasitoids.
- 3) Physiology of Agricultural Plants:
 - i) Developing low PH tolerant varieties of chickpea.
 - ii) Do Nitrogen fixation strategies align with plant growth strategies?
- 4) Plant Breeding for Agricultural Production:
 - i) Plant breeding for sweet corn improvement by rational design.
 - ii) Dissecting sea wheatgrass genome to transfer biotic stress resistance and abiotic stress tolerance into wheat.
- 5) Animal Reproduction:
 - i) Identifying metabolic markers of bull fertility.
 - ii) Follicle selection and development in chickens.
- i) Next generation spray drift mitigation via field-deployable, real-time weather monitoring and novel spray nozzle control technologies.
- ii) Improving variable rate irrigation efficiency using a real-time soil water adaptive control model informed by sensors deployed on unmanned aircraft system.

(I) Joint Plant Feedstock:

In Fiscal Year (FY) 2016, two (2) proposals were funded totaling \$2 million. Project objectives included, but were not limited to:
Identifying yield drought tolerance through genotyping and phenotyping of Camelia as a Biofuel Crop.

Understanding the Resistance of Stalk Pathogens for Bioenergy Sorghum

(J) AFRI: Water (for Agriculture):

The program became effective FY 2017. Hence, no data is available for FY 2016.

(M) Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS):

NIFA fully funded a \$2.4 million award to a university to research the interconnections between the dairy, anaerobic digestion, ethanol, and corn production in Wisconsin to develop decision support tools to reduce the environmental impact of agriculture in the region.

NIFA provided \$452,000 to a university and \$373,000 to another university with co-funding from NSF to a project researching how to best manage the competition for water between managing food, energy, and municipal uses in California.

A university received \$1.5 million to research cyberphysical systems to support a decision support system for coordination of adaptation planning among FEW actors in the Pacific Northwest.

The following Programs are no longer funded: hence, no action is required:

(B) AFRI: Food Security

(L) International Wheat Yield Partnership. Fiscal Year 2017: The following represents examples of funded projects for active Programs:

(A) AFRI: Agricultural and Natural Resources Science for Climate Variability and Change

The program just received 90 proposals. The peer review panel will be held November 7-10, 2017.

(C) AFRI: Food Safety
For Fiscal Year (FY) 2017:

Information is not yet available. FY 2017 applications are scheduled to be paneled for review in October, 2017.

(D) AFRI: Sustainable Bioenergy

For FY 2017 it is anticipated that new awards will be made under the Sustainable Bioenergy Lignin or Nano-cellulosic Co Products from Biomass Feedstocks and Biomass Feedstock Genetic Development and Evaluation program areas.

(E) AFRI: Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI)

Once awards are executed, information will be available at <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-agriculture-natural-resources>. Projects funded will support:

professional development opportunities for K-14 teachers and education professionals
training of undergraduate students in research and extension
fellowships for predoctoral and postdoctoral candidates

(F) AFRI: Childhood Obesity Prevention:

It is anticipated that projects funded in FY 2017 will increase our understanding of childhood obesity prevention.

(G) AFRI: Foundational Program:

Only a few examples are listed below. Numerous other examples of funded foundational projects under all of the 28 Program areas are available via this following link for abstracts: link

- 1) Foundational Knowledge of Agricultural Production Systems: Funded projects will lead to the development of innovative solutions to problems limiting or threatening the productivity, efficiency, and sustainability of the selected production system.
- 2) Pests and Beneficial Species in Agricultural Production Systems: Funded projects will lead to the development of innovative and environmentally-sound strategies to manage agriculturally-important plant pests.
- 3) Physiology of Agricultural Plants Program: Funded projects will use molecular, biochemical, whole-plant, agronomic or eco-physiological approaches to improve plant productivity or performance.
- 4) Plant Breeding for Agricultural Production: Funded projects will support public breeding efforts to improve crop productivity, efficiency, quality, performance, and/or local adaptation.
- 5) Pollinator Health: Research and Application: Funded projects will address factors affecting pollinators and impact an affordable and abundant food supply.
- 6) Small and Medium-sized Farms: Funded projects will focus on the development and/or adoption of new models to assist agricultural landowner/manager on decision making with respect to appropriate scale management strategies and technologies to enhance economic efficiency and sustainability.
- 7) Exploratory Research: Funded projects will develop proof of concept for untested novel ideas including high risk high impact work that will lead to a significant change in US agriculture.

(I) Joint Plant Feedstock:

In FY 2017, two (2) applications were recommended for funding and will be awarded in FY 2018. Anticipated Project objectives includes:

Advancing field pennycress as a new oilseed biofuels feedstock
Discovery and characterization of dose-dependent disease resistance loci in poplar

(J) AFRI: Water (for Agriculture):

The Proposal deadline was August 2, 2017.

Information is not yet available. Pertinent details to be provided by Program at a future date.

(M) Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS):

NIFA anticipates funding four (4) awards for this program.

NIFA funded \$1.2 million to a university to research reducing resource use at the seafood-energy-water Nexus focusing on reducing waste and water in aquaculture and energy production.

A \$1.25 million Food and Agricultural Science Enhancement (FASE) award to a university will support a project conducting research to sustain California's food production through integrated water and energy management.

A university received \$1.25 million to develop process-based model that support sustainable irrigation across the United States.

A university received \$1.24 million to develop new photovoltaic chemistry for greenhouse that would collect the wavelengths of light not need for plant

production for solar which would heat the greenhouse and recycle water in a closed loop, self-sustaining system. Fiscal Year 2018: The following represents examples of funded projects for active Programs:

(A) AFRI: Agricultural and Natural Resources Science for Climate Variability and Change

This program is ending in FY 2017, therefore there will be no funding or Request for Applications (RFA) for FY 2018.

(J) AFRI: Water (for Agriculture):

The AFRI WFPS program will be replaced by a new program in Sustainable Agriculture. All FY 2017 CAP awards will be fully funded, no continuations. No more data available. Pertinent details to be provided by Program at a future date.

Information is not yet available for FY 2018. Pertinent details to be provided by Program at a future date for the following Programs.

(C) AFRI: Food Safety

(D) AFRI: Sustainable Bioenergy

(E) AFRI: Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI)

(F) AFRI: Childhood Obesity Prevention:

(G) AFRI: Foundational Program:

(I) Joint Plant Feedstock:

(M) Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS):

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available as follows:

AFRI: Agricultural and Natural Resources Science for Climate Variability and Change:
<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-agriculture-and-natural-resources>

AFRI: Food Safety:
<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-safety-challenge-area>

AFRI: Sustainable Bioenergy:
<https://nifa.usda.gov/funding-opportunity/afri-foundational-bioenergy-natural-resources-and-environment>

AFRI: Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI):

<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-agriculture-natural-resources>

AFRI: Childhood Obesity Prevention:
<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-childhood-obesity-prevention-challenge>

AFRI: Foundational Program:

<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-foundational-program>
<https://nifa.usda.gov/funding-opportunity/afri-foundational-exploratory-research>

Joint Plant Feedstock:
<https://nifa.usda.gov/funding-opportunity/plant-feedstock-genomics-bioenergy-joint-research-solicitation-usda-doe>

AFRI: Water (for Agriculture):
<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-water-agriculture-challenge-area>

Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS):
<https://nifa.usda.gov/funding-opportunity/innovations-nexus-food-energy-and-water>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

10.311 BEGINNING FARMER AND RANCHER DEVELOPMENT PROGRAM

(BFRDP)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 7405 of the Farm Security and Rural Investment Act of 2002, [7 U.S.C. 3319f].

, Public Law 107-171, 7 U.S.C 3319f.

OBJECTIVES:

To support the nations beginning farmers and ranchers by making competitive grants to new and established local and regional training, education, outreach, and technical assistance initiatives that address the needs of beginning farmers and ranchers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Competitive grants are made to support:

- (A) mentoring, apprenticeships, and internships;
- (B) resources and referral;
- (C) assisting beginning farmers or ranchers in acquiring land from retiring farmers and ranchers;
- (D) innovative farm and ranch transfer strategies;
- (E) entrepreneurship and business training;
- (F) model land leasing contracts;
- (G) financial management training;
- (H) whole farm planning;
- (I) conservation assistance;
- (J) risk management education;
- (K) diversification and marketing strategies;
- (L) curriculum development;
- (M) understanding the impact of concentration and globalization;
- (N) basic livestock and crop farming practices;
- (O) the acquisition and management of agricultural credit;
- (P) environmental compliance;
- (Q) information processing; and
- (R) other similar subject areas of use to beginning farmers or ranchers.

In addition, cooperative agreements may be awarded to establish Education Teams:

(1) In General:

In carrying out this section, the Secretary shall establish beginning farmer and rancher education teams to develop curricula and conduct educational programs

and workshops for beginning farmers or ranchers in diverse geographical areas of the United States.

(2) Curriculum:

In promoting the development of curricula, the Secretary shall, to the maximum extent practicable, include modules tailored to specific audiences of beginning farmers or ranchers, based on crop or regional diversity.

(3) Composition:

In establishing an education team for a specific program or workshop, the Secretary shall, to the maximum extent practicable:

(A) obtain the short-term services of specialists with knowledge and expertise in programs serving beginning farmers or ranchers; and

(B) use officers and employees of the Department with direct experience in programs of the Department that may be taught as part of the curriculum for the program or workshop.

(4) Cooperation:

(A) In General:

In carrying out this subsection, the Secretary shall cooperate, to the maximum extent practicable, with:

- (i) State cooperative extension services;
- (ii) Federal and State agencies;
- (iii) community-based and nongovernmental organizations;
- (iv) colleges and universities (including an institution awarding an associates degree) or foundations maintained by a college or university; and
- (v) other appropriate partners, as determined by the Secretary.

(B) Cooperative Agreement:

Notwithstanding chapter 63 of title 31, United States Code, the Secretary may enter into a cooperative agreement to reflect the terms of any cooperation under subparagraph (A).

An award also may be made to establish a Curriculum and Training Clearinghouse.

The Secretary shall establish an online clearinghouse that makes available to beginning farmers or ranchers education curricula and training materials and programs, which may include online courses for direct use by beginning farmers or ranchers.

Set-Aside:

Not less than 25 percent of funds used to carry out this subsection for a fiscal year shall be used to support programs and services that address the needs of:

- (A) limited resource beginning farmers or ranchers (as defined by the Secretary);
- (B) socially disadvantaged beginning farmers or ranchers (as defined in section 355(e) of the Consolidated Farm and Rural Development Act (7 U.S.C. 2003(e)); and
- (C) farmworkers desiring to become farmers or ranchers. Set-Aside: Not less than 25 percent of funds used to carry out this subsection for a fiscal year shall be used to support programs and services that address the needs of:
 - (A) limited resource beginning farmers or ranchers (as defined by the Secretary);
 - (B) socially disadvantaged beginning farmers or ranchers (as defined in section 355(e) of the Consolidated Farm and Rural Development Act (7 U.S.C. 2003(e)); and
 - (C) farmworkers desiring to become farmers or ranchers.

Prohibition:

A grant made under this subsection may not be used for the planning, repair, rehabilitation, acquisition, or construction of a building or facility.

Indirect Costs (Federal Budget):

Grants: Not to exceed 22% of Federal Funds Awarded

Cooperative Agreements (if only CCC funding made available under section 7405 of the Farm Security and Rural Investment Act of 2002): Full Negotiated Rate.

Cooperative Agreements (if any discretionary funding made available in the annual appropriations): Not to exceed 10% of total direct cost of agreement if made to a nonprofit institution (including an educational institution)

Full Negotiated Rate if made to an entity other than a nonprofit institution

Special Note on Indirect Costs as in-kind matching contributions:

Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 30 percent pursuant to FY 2012 appropriated funds, Section 720 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012 (Division A of Pub. L. 112-55), the awardee may request 15 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 30 percent.

Applicant Eligibility:

The recipient must be a collaborative, State, tribal, local, or regionally-based network or partnership of public or private entities, which may include: state cooperative extension service; community-based and nongovernmental organization; college or university (including institutions awarding associate degrees); or any other appropriate partner. Others may be eligible to apply. Please refer to Part III of the current BFRDP Request for Applications for complete eligibility requirements.

Beneficiary Eligibility:

The recipient must be a collaborative, State, tribal, local, or regionally-based network or partnership of public or private entities, which may include: state cooperative extension service; community-based and nongovernmental organization; college or university (including institutions awarding associate degrees); or any other appropriate partner. Others may be eligible to apply. Please refer to Part III of the current BFRDP Request for Applications for complete eligibility requirements.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d) is required to:

- (i) Be registered in SAM before submitting its application;
- (ii) Provide a valid DUNS number in its application; and
- (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis

for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/beginning-farmer-and-rancher-development-program-bfrdp>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

2 CFR Part 200 Subpart C and Appendix I apply to this program.

2 CFR Part 400 applies to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/beginning-farmer-and-rancher-development-program-bfrdp>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section #

152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:
<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:
<https://nifa.usda.gov/funding-opportunity/beginning-farmer-and-rancher-development-program-bfrdp>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/beginning-farmer-and-rancher-development-program-bfrdp>.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25%. Funds are awarded competitively. No formula grants are awarded under Section 7405 of the Farm Security and Rural Investment Act of 2002, [7 U.S.C. 3319f].

To be eligible to receive a grant under this program, a recipient must provide a match in the form of cash or in-kind contributions in an amount equal to 25 percent of the funds provided by the grant. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Standard BFRDP Projects:

The Statutory time limitation is three (3) years from the project start date on the Award Face Sheet (Form NIFA-2009).

Educational Enhancement Team (EET) Projects:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:
<https://nifa.usda.gov/funding-opportunity/beginning-farmer-and-rancher-development-program-bfrdp>. Method of awarding/releasing assistance: by letter of credit.

Reports:

REEReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and

Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reerport> and the REEReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEReport Database--

Research, Education, and Extension project online reporting tool (REEReport) All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is:

REEReport

National Institute of Food and Agriculture

U.S. Department of Agriculture

STOP 2213

1400 Independence Avenue, S.W.

Washington, D.C. 20250-2213

Telephone: (202) 690-0009

E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. **PROGRESS REPORTS:** See above for pertinent and specific details. **EXPENDITURE REPORTS:** See above for pertinent and specific details. **PERFORMANCE MONITORING:** See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

(Cooperative Agreements) FY 16 \$17,777,572; FY 17 est \$17,758,724; and FY 18 est \$17,790,396 - **SPECIAL NOTES:**

(1) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/beginning-farmer-and-rancher-development-program-bfrdp>.

TAFS Codes:

12-2017-X-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Approximately 35 projects were funded in Fiscal Year (FY) 2016 with a 24% success rate for a total of \$17,777,572 after legislatively mandated set-asides.

Funds were utilized to address unique training, education, outreach and technical assistance needs of beginning farmers and ranchers, such as land acquisition, business and financial management, farming and ranching practices, natural resource conservation, risk management, legal strategies, marketing strategies, and more. Funds were also utilized for educational team efforts to train the trainers and help enhance other beginning farmer and rancher education programs in the nation. Fiscal Year 2017: Approximately 36 projects will be awarded in Fiscal Year (FY) 2017 with a 25% success rate for an estimated total of \$17,758,724. These funds were authorized in the 2014 Farm Bill.

The projects funded will work towards increasing the number, success and sustainability of beginning farmers and ranchers in the United States by providing them with the knowledge, skills, and tools needed to make informed decisions. Anticipated accomplishments would include growing new farmers. The topics addressed by Beginning Farmer and Rancher Development Program (BFRDP) projects are diverse, driven by the needs of the audiences they serve. Most projects provide education, mentoring, and technical assistance on a variety of topics spanning production, marketing, business management, and legal and human resource issues. Fiscal Year 2018: It is projected that \$17,790,396 will be available to support between 35 and 38 projects in Fiscal Year (FY) 2018. FY 2018 represents the last year of funding for the mandatory 2014 Farm Bill Beginning Farmer and Rancher Development Programs 5-Year Funding of \$100 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives

supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.
<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Agricultural Systems, 1400 Independence Avenue, SW., STOP 2240, Washington, District of Columbia 20250-2240 Email: Policy@nifa.usda.gov Phone: (202) 401-0151 Fax: (202) 401-5179

Website Address:

<http://nifa.usda.gov/program/beginning-farmer-and-rancher-development-program>

RELATED PROGRAMS:

10.500 Cooperative Extension Service

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The BFRDP program encourages projects that address a range of topics rather than a narrow focus, by stating Most successful standard grant applicants provide training and assistance with business and financial management, as well as production and marketing topics aligned with the BFRDP priorities.

Five (5) exemplary projects funded are as follows:

(1) A project funded in the North Central region worked with 56 beginning Hispanic, socially disadvantaged and limited resource farmers; 53% of these farmers developed enterprise plans suitable for FSA loan applications; 61%

gained skills on sustainable production and food safety techniques.

(2) The Women in Agriculture project in Michigan worked on conducting and evaluating training programs with 194 participants in the program. 14% of these participants learned how to project cash flow, 14% improved on loan readiness, and about 1% tried out direct seeding.

(3) A project in the South working with 100% African American participants focused on specialty crop production. Of the 45 participants in the program, 100% completed business plans. 95% launched farm enterprises; and 56% increased their annual income by 15-85% from their enterprises.

(4) Two (2) projects farming for cash had 175 participants. They were trained to start farming production and business planning. 46% of these participants learned about marketing; 100% of these farmers learned about vegetable production for local markets; 44% of the participants started farming.

(5) One (1) comprehensive training program taught 92 participants how to start farming; 15% learned about fruit and vegetable production; 11% learned farm business planning; 24% learned small ruminant livestock production. Fiscal Year 2017: Projects scheduled to be funded in FY 2017 will address the concerns of socially disadvantaged, veteran farmers, new and beginning farmers, refugees, immigrants, urban farmers, women farmers and small farmers. Fiscal Year 2018: It is projected that projects funded in FY 2018 will be similar to prior years projects.

Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/beginning-farmer-and-rancher-development-program-bfrdp>.

10.312 BIOMASS RESEARCH AND DEVELOPMENT INITIATIVE COMPETITIVE GRANTS PROGRAM (BRDI)

(BRDI)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Collaboration between Department of Energy (DOE) and Department of Agriculture (USDA) on a Biomass Research and Development Initiative is directed under various statutory authorities, primarily the Food, Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246) and the Energy Policy Act of 2005 (EPAct 2005)(Public Law 109-58; Section 941). USDA's authorization appears in Section 9008 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246) which replaced section 9008 of the Farm Security, and Rural Investment Act of 2002 (FSRIA) (Public Law 107-171) [7 U.S.C. 8108]; thereby amending section 307 of the Biomass Research and Development Act of 2000 (BRDA) (7 U.S.C. 7624 note, and 7 U.S.C. 8101 note; Public Law 106224). Pursuant to Executive Order 13134, BRDA can be found at (7 U.S.C. 8601 et seq). BRDA authorizes the Biomass Research and Development, Public Law 109-58, 7 U.S.C 8606.

OBJECTIVES:

To carry out research on and development and demonstration of:

(A) biofuels and biobased products; and
(B) the methods, practices, and technologies, for the production of biofuels and biobased products.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved research and extension objectives. Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees). Funds may not be used for any purposes other than those approved in the grant award documents. Indirect costs may not

exceed 22% of Federal Funds Awarded.

Special Note on Indirect Costs as in-kind matching contributions:
Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget).

However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less.

An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less.

For example, if an awardee's indirect costs are capped at 30 percent pursuant to FY 2012 appropriated funds, Section 720 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012 (Division A of Pub. L. 112-55), the awardee may request 15 percent of the indirect costs on both the Federal portion of the award and as a matching contribution.

Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 30 percent. Matching Requirements: 20% for Research and Development Projects / 50% for Demonstration and Commercial Projects.

Applicant Eligibility:

Eligible entities include:

- (A) an institution of higher education;
- (B) a National Laboratory;
- (C) a Federal research agency;
- (D) a State research agency;
- (E) a private sector entity;
- (F) a nonprofit organization; or
- (G) a consortium of 2 or more entities described in subparagraphs (A) through (F).

Beneficiary Eligibility:

Eligible entities include:

- (A) an institution of higher education;
- (B) a National Laboratory;
- (C) a Federal research agency;
- (D) a State research agency;
- (E) a private sector entity;
- (F) a nonprofit organization; or
- (G) a consortium of 2 or more entities described in subparagraphs (A) through (F).

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

- (i) Be registered in SAM before submitting its application;
- (ii) Provide a valid DUNS number in its application; and
- (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/biomass-research-and-development-initiative-brdi>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on Grants.gov. Applicants must complete the Grants.gov registration process and follow the instructions provided per Grants.gov. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further

specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biomass-research-and-development-initiative-brdi>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biomass-research-and-development-initiative-brdi>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section #

152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/biomass-research-and-development-initiative-brdi>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/biomass-research-and-development-initiative-brdi>.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Funds are awarded competitively. No formula grants are awarded under this authority.

The minimum required recipient cost share must be at least 20% of the total allowable costs for Research and Development (R&D) projects and 50% of the total allowable costs for Demonstration projects.

Applicant cost share must come from non-Federal sources unless otherwise allowed by law.

No blending of R&D and Demonstration or associated cost share will be permitted.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biomass-research-and-development-initiative-brdi>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: by letter of credit.

Reports:

REReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reereport> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport)
All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until

a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved

2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1003-0-1-271.

Obligations:

(Project Grants) FY 16 \$2,572,807; FY 17 est \$2,506,479; and FY 18 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Section 9008 "Biomass Research and Development" amounts for FYs 2014 and 2015 reflect the 2014 Farm Bill under Title IX - energy, which provides \$3,000,000 for each of the Fiscal Years 2014 through 2017. The Obligations (aka Payments to States) for both years reflect legislatively mandates set asides.

NOTES:

(1) This program represents no year funds.

(2) Funding for this Farm Bill mandatory program is authorized for FYs 2014 through FY 2017.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/biomass-research-and-development-initiative-brdi>.

TAFS Codes:

12-2017-X-1003.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No new awards were made through the Biomass Research and Development Initiative (BRDI) in FY 2016. Non-competitive renewals and/or continuation awards totaled \$2,572,807. The program will be competed in FY 2017. Fiscal Year 2017: BRDI anticipates \$2,506,479 will be available for funding six (6) to nine (9) projects in FY 2017 that focus on the development of biomass feedstocks, biofuels and biobased products, and the life-cycle analysis of these systems. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded.

Pertinent details to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

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<https://nifa.usda.gov/ogfm-issued-correspondence>

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NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate, and Environment, Division of Bioenergy, 1400 Independence Avenue, SW., STOP 2210, Washington, District of Columbia 20250-2210 Email:

Policy@nifa.usda.gov Phone: (202) 401-5244 Fax: (202) 401-2653

Website Address:

<http://nifa.usda.gov/program/biobased-products-processing-programs>

RELATED PROGRAMS:

10.200 Grants for Agricultural Research, Special Research Grants; 10.212 Small Business Innovation Research; 10.215 Sustainable Agriculture Research and Education; 10.310 Agriculture and Food Research Initiative (AFRI); 10.320 Sun Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No new awards were made through the Biomass Research and Development Initiative (BRDI) in FY 2016. Fiscal Year 2017: Anticipated projects will focus on the mandated three (3) technical areas as described in the Farm Bill:

(1) Feedstock Development;

(2) Biofuels and Bioproduct Development; and

(3) Biofuels Development Analysis. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded.

Information is not yet available. Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biomass-research-and-development-initiative-brdi>.

10.313 VETERINARY MEDICINE LOAN REPAYMENT PROGRAM (VLMRP)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NAREPTA), Section 1415A [7 U.S.C. 3151a], Public Law 95-113, 7 U.S.C 3151a.

OBJECTIVES:

The program responds to the current shortage of large animal veterinarians in rural areas by encouraging veterinarians, through loan repayment, to provide veterinary service in designated shortage areas. To carry out a program of entering into agreements with veterinarians under which the Secretary shall pay specified amounts of the principal and interest of qualifying educational loans of the veterinarians.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

(1) SERVICE IN SHORTAGE SITUATIONS. The Secretary shall carry out a program of entering into agreements with veterinarians under which the veterinarians agree to provide, for a period of time as determined by the Secretary and specified in the agreement, veterinary services in veterinarian shortage situations. For each year of such service under an agreement under this paragraph, the Secretary shall pay an amount, as determined by the Secretary and specified in the agreement, of the principal and interest of qualifying educational loans of the veterinarians.

(2) SERVICE TO FEDERAL GOVERNMENT IN EMERGENCY SITUATIONS.

(A) IN GENERAL. The Secretary may enter into agreements of 1 year duration with veterinarians who have agreements pursuant to paragraph (1) for such veterinarians to provide services to the Federal Government in emergency situations, as determined by the Secretary, under terms and conditions specified in the agreement. Pursuant to an agreement under this paragraph, the Secretary shall pay an amount, in addition to the amount paid pursuant to the agreement in paragraph (1), as determined by the Secretary and specified in the agreement, of the principal and interest of qualifying educational loans of the veterinarians.

(B) REQUIREMENTS. Agreements entered into under this paragraph shall include the following:

- (i) A veterinarian shall not be required to serve more than 60 working days per year of the agreement.
- (ii) A veterinarian who provides service pursuant to the agreement shall receive a salary commensurate with the duties and shall be reimbursed for travel and per diem expenses as appropriate for the duration of the service.

None of the funds appropriated to the Secretary under subsection (f) may be used to carry out section 5379 of title 5, United States Code. None of the funds appropriated to the Secretary under subsection (f) may be used to carry out section 5379 of title 5, United States Code. Fully discretionary. Agreements with veterinarians under which the Secretary shall pay specified amounts of the principal and interest of qualifying educational loans of the veterinarians.

Applicant Eligibility:

The Secretary may enter into agreements with veterinarians under which the veterinarians agree to provide, for a period of time as determined by the Secretary and specified in the agreement, veterinary services in veterinarian shortage situations.

Beneficiary Eligibility:

The Secretary may enter into agreements with veterinarians under which the veterinarians agree to provide, for a period of time as determined by the Secretary and specified in the agreement, veterinary services in veterinarian shortage situations.

Credentials/Documentation:

Applicants must furnish the information required in the request for applications (RFAs), which include:

- (1) a Loan Information Form for each loan to be considered for repayment;
- (2) an Intent of Employment form providing assurance that the applicant will be engaged in employment at a NIFA-designated veterinary shortage area for not less than three years from the anticipated effective date of the VMLRP contract between the individual and NIFA; and
- (3) a signed VMLRP Contract by which the applicant agrees to serve the obligated minimum period of three years providing veterinary service in a designated veterinary shortage area. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications should be submitted as outlined in the RFA.

Applications must follow the instructions provided per the VMLRP website at www.nifa.usda.gov/vmlrp.

Qualified individuals interested in participating in the VMLRP should visit the VMLRP website for all application forms and requirements.

The application will be evaluated by a peer review panel, convened for this purpose by the NIFA. In selecting applicants for participation in the VMLRP, the panel will consider eligibility status, quality of the applicant, and the applicants career plan focus. All selections are subject to final approval by the VMLRP manager.

The NIFA will notify the State Animal Health Official and the applicant of the outcome of the review.

Several Federal statutes and regulations apply to VMLRP applications considered for review and to service agreements awarded under this program. Please refer to Section # 140 for specific details.

Award Procedure:

Once the applicant is selected to participate in the VMLRP, the applicant and the NIFA Director (or Secretary of Agriculture) will sign a written binding contract stating the terms and conditions for participating in the VMLRP.

The effective date of the contract will be the date it is signed by the NIFA Director, or the date employment/service begins at an NIFA-designated veterinary shortage area, whichever is later.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Dates for specific deadlines are announced in the RFAs or guidelines for each fiscal year.

Appeals:

Not Applicable.

Renewals:

A VMLRP participant completing the initial 3-year period of qualified veterinary service has an option to extend the obligatory period of service on an annual basis.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The VMLRP will pay equal quarterly payments to the lenders. Each payment will be made shortly after the end of each full quarter of satisfactory service.
Method of awarding/releasing assistance: quarterly.

Reports:

1) Quarterly Report: VMLRP recipients will be required to verify that the terms of the VMLRP contract are being met on a quarterly basis.

Subsequent quarterly loan repayments will not be disbursed until this verification is provided. This report will be due ten business days after the end of each 3 month interval during the VMLRP contract for the previous 3 month period and must include the following information: (a) A listing of states, counties, and/or insular areas served

(b) A listing of veterinary services and activities provided in the shortage situation

(c) Percentage time (on a 40-hour week basis) providing service to veterinary shortage situation identified in the agreement.

Program participants are responsible for notifying NIFA of any changes in the service being provided in the specified shortage situation during the 3-year period. It is strongly recommended that program participants advise NIFA of these changes at least 2 months in advance to allow sufficient processing time.

Failure to provide the updated information may result in the termination of the VMLRP contract and the program participant may be subject to penalties as outlined in Section C, Paragraph 3 of the contract.

SPECIAL NOTES:

See below for information regarding submission of the Termination Report. Please refer to 7 CFR Part 3431 and annual RFA for further details. Cash reports are not applicable. See above for information regarding submission of Quarterly Reports. See below for information regarding submission of the Termination Report.

SPECIAL NOTE: Please refer to 7 CFR Part 3431 and annual RFA for further details. Expenditure reports are not applicable. 2) Termination Report: At the end of the term of the agreement, the recipient and his/her supervisor will each prepare and submit to NIFA a Termination Report that addresses the following, as well as any other information the participants wish to share with NIFAs VMLRP leadership:

a. A summary of the services provided toward mitigation of the original veterinary shortage situation, as well as other veterinary services provided to the local community, institution, or other employing entity.

b. An assessment of the current state of veterinary services in the recipients service area and adjacent service areas, or specialty area, with emphasis on identification of ongoing shortage situations.

c. An assessment of future veterinary service needs and trends in the recipients service (or specialty area) and, to the extent such information may be known, adjacent service areas, with emphasis on identification of ongoing shortage situations, either geographic or disciplinary.

d. An appraisal of the overall effectiveness of the VMLRP in addressing the specific veterinary shortage situation served by the awardee, including the role of the mentor (where applicable). The Termination Report must be submitted by email

attachment to vmllrp@nifa.usda.gov, preferably as an MS Word or WordPerfect document. The subject line and the first line of the body of the email should include, in the following order: Termination Report: <Last name of recipient>, <award number>, <shortage situation code>.

SPECIAL NOTES:

See above for specific details regarding submission of the Quarterly Reports.

Please refer to 7 CFR Part 3431 and annual RFA for further details.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Please refer to 7 CFR Part 3431 for guidance.

Records:

Please refer to 7 CFR Part 3431 for guidance.

Account Identification:

12-1500-0-1-352.

Obligations:

(Direct Payments for Specified Use) FY 16 \$4,421,823; FY 17 est \$5,764,920; and FY 18 est \$4,406,820 - The difference between the appropriation and obligation numbers reflects legislatively authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTE:

This program represents no year funds. In terms of availability of appropriations (time), there are no time limits as to when "no-year" funds may be obligated and expended and the funds remain available for their original purposes until expended.

Range and Average of Financial Assistance:

Minimum and maximum amounts of funding per grant are established by the annual program announcement.

TAFS Codes:

12-2017-2017-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Veterinary Medicine Loan Repayment Program (VMLRP) received 193 applications. Of these, 187 were forwarded for review. Although 51 offers of awards were made, only 47 were accepted and executed. These veterinarians will receive loan repayment awards totaling approximately \$4,421,823 in exchange for their commitment to provide professional food supply or public health-related veterinary services in designated US food supply veterinary shortage situations for three (3) years. Fiscal Year 2017: The Veterinary Medicine Loan Repayment Program (VMLRP) received 175 applications for review. It is anticipated that in 2017, 55 to 60 veterinarians will receive loan repayment awards totaling \$5,764,920 in exchange for their commitment to provide professional food supply or public health-related veterinary services in designated US food supply veterinary shortage situations for three (3) years. Fiscal Year 2018: It is projected that approximately 180 applications will be reviewed and of these, 55 to 60 veterinarians will receive loan repayment awards totaling \$4,406,820 in exchange for their commitment to provide professional food supply or public health-related veterinary services in designated US food supply veterinary shortage situations for three (3) years.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and

procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS
Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA
When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS
The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.
<https://nifa.usda.gov/federal-regulations>

FOIA
The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE
The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE
The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT
NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS
Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:
None.

Headquarters Office:
USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Animal Systems, 1400 Independence Avenue, SW., STOP 2240, Washington, District of Columbia 20250-2240 Email: Policy@nifa.usda.gov Phone: (202) 401-6134 Fax: (202) 401-1602

Website Address:
<http://nifa.usda.gov/program/veterinary-medicine-loan-repayment-program>

RELATED PROGRAMS:
Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Not Applicable:
Awards under the VMLRP program are loan repayments not projects.

See veterinary shortage maps for 2016 posted at www.nifa.usda.gov/vmlrp-map. Fiscal Year 2017: Not Applicable:
Awards under the VMLRP are loan repayments not projects.

See veterinary shortage maps for 2017 at www.nifa.usda.gov/vmlrp-map to review the locations and types of veterinary service shortages eligible to be filled. Fiscal Year 2018: Not Applicable:
Awards under the VMLRP are loan repayments not projects.

See veterinary shortage maps for 2018 when they are posted (projected for late winter 2017) at www.nifa.usda.gov/vmlrp-map to review the locations and types of veterinary service shortages eligible to be filled.

CRITERIA FOR SELECTING PROPOSALS:

In carrying out its review, the peer review panel will take into account the quality of match between an applicant's professional training and experience and the circumstances and needs of the designated shortage situation the applicant proposes to fill.

The following evaluation criteria will be considered in the context of the factors and circumstances necessary for

- 1) most effective mitigation of the specific veterinary shortage situation,
- 2) prioritizing the relatively more severe shortages and,
- 3) achieving the highest likelihood that awardees will realize employment satisfaction and professional success during and beyond the term of the VMLRP agreement.

Additional guidelines are described in the RFAs.

10.318 WOMEN AND MINORITIES IN SCIENCE, TECHNOLOGY, ENGINEERING, AND MATHEMATICS FIELDS

WAMS; Women and Minorities in Science, Technology, Engineering, and Mathematics Fields (STEM) program

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 7204 of the Food Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246) amends section 1672 of the Food, Agriculture, Conservation and Trade Act of 1990 (FACT) (7 U.S.C. 5925) to authorize the Secretary of Agriculture to make competitive grants to support research and extension activities. The Secretary shall make these grants in consultation with the National Agricultural Research, Extension, and Education, and Economics Advisory Board.

OBJECTIVES:

The Women and Minorities (WAMS) in Science, Technology, Engineering and Mathematics (STEM) Fields program was authorized to increase participation by women and underrepresented minorities from rural areas in the fields of science, technology, engineering and mathematics, with priority given to eligible institutions that carry out continuing programs funded by the Secretary.

The goal of the WAMS program is to develop and implement robust partnerships to increase the representation, participation, and entrepreneurship of women in STEM careers, thereby contributing to national economic prosperity.

The purpose of the grant program is to support projects that: Provide STEM knowledge, skills and competency to Women and Minorities from Rural Areas with successful placement (a) in the workforce in STEM fields, or (b) as innovators and entrepreneurs adding value to the STEM fields in areas that have relevancy to the USDA Secretary's priorities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved

fundamental and applied research and extension grants to increase participation by women and underrepresented minorities from rural areas in the fields of science, technology, engineering and mathematics, with priority given to eligible institutions that carry out continuing programs funded by the Secretary. Program funds may only be used for research and extension activities in the training, outreach and mentoring of rural women and underrepresented minorities from rural areas in STEM fields relevant to USDA mission.

Funds may not be used for any purposes other than those approved in the grant award documents.

Funds made available for grants in this program shall not be used for scholarship or fellowship purposes, the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees).

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (P.L. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

Special Note on Indirect Costs as in-kind matching contributions:
Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less.

An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less.

For example, if an awardee's indirect costs are capped at 30 percent pursuant to FY 2012 appropriated funds, Section 720 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012 (Division A of Pub. L. 112-55), the awardee may request 15 percent of the indirect costs on both the Federal portion of the award and as a matching contribution.

Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 30 percent. Fully discretionary.

Applicant Eligibility:

State agricultural experiment stations; colleges and universities; university research foundations; other research institutions and organizations; Federal agencies; national laboratories; private organizations or corporations; individuals; or any group consisting of 2 or more of these entities.

Beneficiary Eligibility:

State agricultural experiment stations; colleges and universities; university research foundations; other research institutions and organizations; Federal agencies; national laboratories; private organizations or corporations; individuals; or any group consisting of 2 or more of these entities.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR

25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026. Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/women-and-minorities-science-technology-engineering-and-mathematics-fields>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.htm>

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/women-and-minorities-science-technology-engineering-and-mathematics-fields>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/women-and-minorities-science-technology-engineering-and-mathematics-fields>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/women-and-minorities-science-technology-engineering-and-mathematics-fields>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not applicable.
2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/women-and-minorities-science-technology-engineering-and-mathematics-fields>.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 100%. Grant recipients are required to match the USDA funds awarded on dollar-for-dollar basis from non-Federal sources with cash and in-kind contributions.

NIFA may waive the matching funds requirement for a grant if NIFA determines that:

(a) the results of the project, while of particular benefit to a specific agricultural commodity, are likely to be applicable to agricultural commodities generally; or

(b) the project involves a minor commodity, the project deals with scientifically important research, and the grant recipient is unable to satisfy the matching funds requirement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/women-and-minorities-science-technology-engineering-and-mathematics-fields>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: by letter of credit.

Reports:

REReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/rereport> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport) All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. (6/21/17 FYI ONLY:

NIFA utilizes the Federal Financial Report, Form SF-425 to monitor cash outlays.). PROGRESS REPORTS:

See above for pertinent and specific details. EXPENDITURE REPORTS:

See above for pertinent and specific details. PERFORMANCE MONITORING:
See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333

Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$382,650; FY 17 est \$381,750; and FY 18 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTES:

(1) Funding associated with this program has been transferred to the Department of Education or the National Science Foundation in the President's FY 2017 Budget proposal. Therefore, FY 2018 funds are not reported for the NIFA program.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/women-and-minorities-science-technology-engineering-and-mathematics-fields>.

TAFS Codes:

12-2017-2017-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016 award cycle, \$382,650 was available for project awards. Four (4) projects were awarded by September 30, 2016. Fiscal Year 2017: In FY 2017 award cycle, approximately \$379,000 will be available for project awards. It is anticipated that four (4) projects will be awarded on or before September 30, 2017. Fiscal Year 2018: Pertinent details to be provided by Program at a future date.

Note: WAMS is not included in the FY 2018 President's Budget.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives

supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20024-2250
Email: Policy@nifa.usda.gov Phone: (202) 720-2324 Fax: (202) 720-2030.

Website Address:

<http://nifa.usda.gov/program/women-and-minorities-science-technology-engineering-and-mathematics-fields-grant-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Fiscal year 2016 grants through WAMS have been awarded for:

SUMMER MATH AND SCIENCE HONORS ACADEMY: This project will develop a free, five-week residential college preparatory program for high-potential, underrepresented high school students from rural areas.

ENHANCING STEM UNDERGRADUATE EDUCATION AND EXPERIENTIAL LEARNING FOR WOMEN AND UNDERREPRESENTED MINORITIES This project aims to attract women and underrepresented minorities sophomore students from rural areas that are interested in the STEM

disciplines and promote their development towards completion of their studies. Therefore, promising sophomore students will be identified and provided with advise, mentoring, training and educational opportunities in preparation for STEM-related careers

RECRUITING UNDERREPRESENTED MINORITY GRADUATE STUDENTS IN THE AGRICULTURAL SCIENCES THROUGH PARTNERSHIPS WITH 1890 LAND-GRANT INSTITUTIONS: This project will develop a Pre-Graduate School Pathway Program that will enhance the academic success and graduate school readiness of upper-level undergraduate students from two (2) 1890 Historically Black Land-Grant Institutions.

INCREASING STUDENT DIVERSITY IN FOOD AND AGRICULTURE-RELATED STEM DISCIPLINES THROUGH UNDERGRADUATE CLASSROOM-BASED RESEARCH EXPERIENCES: This project will develop and instruct a Classroom-based Undergraduate Research Experience (CURE) course that engages students with authentic scientific research and communication. They will specifically target women and minorities from rural communities and recruit them for this course. Using actual research relevant to rural communities and agriculture, students will engage with hands-on research in the classroom. Fiscal Year 2017: The Woman and Minorities in Science, Technology, Engineering, and Mathematics Fields Program (WAMS) supports research and extension projects that increase the participation of women and underrepresented minorities from rural areas in science, technology, engineering, and mathematics (STEM) fields. WAMS projects seek to increase the number and diversity of students entering food and agriculture-related STEM disciplines. Projects that contribute to the economic viability of rural communities are also supported through WAMS. Fiscal Year 2018: Note: WAMS is not included in the FY 2018 President's Budget. Information is not yet available. Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/women-and-minorities-science-technology-engineering-and-mathematics-fields>.

10.319 FARM BUSINESS MANAGEMENT AND BENCHMARKING COMPETITIVE GRANTS PROGRAM

(FBMB)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 1672D of the Food, Agriculture, Conservation and Trade Act of 1990 (7 U.S.C. 5925f), 7 U.S.C 5925f.

OBJECTIVES:

To establish a competitive research and extension grants program for the purpose of: (1) improving the farm management knowledge and skills of agricultural producers; and (2) establishing and maintaining a national, publicly available farm financial management database to support improved farm management.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

In general:

The Secretary may make competitive research and extension grants for the purpose of:

- (1) improving the farm management knowledge and skills of agricultural producers; and

- (2) establishing and maintaining a national, publicly available farm financial management database to support improved farm management.

Selection criteria:

In allocating funds made available to carry out this section, the Secretary may give priority to grants that:

- (1) demonstrate an ability to work directly with agricultural producers;
- (2) collaborate with farm management and producer associations;
- (3) address the farm management needs of a variety of crops and regions of the United States; and
- (4) use and support the national farm financial management database.

Administration:

Paragraphs (4), (7), (8), and (11)(B) of subsection (b) of section 450i of this title shall apply with respect to the making of grants under this section.

Authorization of appropriations:

There are authorized to be appropriated such sums as are necessary to carry out this section. NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

Applications may be submitted by qualified public and private entities.

Pursuant to 7 U.S.C. 450i(7), this includes:

- (A) State agricultural experiment stations;
- (B) colleges and universities;
- (C) university research foundations;
- (D) other research institutions and organizations;
- (E) Federal agencies;
- (F) national laboratories;
- (G) private organizations or corporations;
- (H) individuals; or
- (I) any group consisting of 2 or more of the entities described in subparagraphs (A) through (H).

Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. An applicants failure to meet an eligibility criterion by the time of an application deadline may result in the application being excluded from consideration or, even though an application may be reviewed, will preclude NIFA from making an award.

Beneficiary Eligibility:

Applications may be submitted by qualified public and private entities.

Pursuant to 7 U.S.C. 450i(7), this includes:

- (A) State agricultural experiment stations;
- (B) colleges and universities;
- (C) university research foundations;
- (D) other research institutions and organizations;
- (E) Federal agencies;
- (F) national laboratories;
- (G) private organizations or corporations;
- (H) individuals; or
- (I) any group consisting of 2 or more of the entities described in subparagraphs (A) through (H).

Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. An applicant's failure to meet an eligibility criterion by the time of an application deadline may result in the application being excluded from consideration or, even though an application may be reviewed, will preclude NIFA from making an award.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/farm-business-management-and-benchmarking-fbmb-competitive-grants-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/farm-business-management-and-benchmarking-fbmb-competitive-grants-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/farm-business-management-and-benchmarking-fbmb-competitive-grants-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/farm-business-management-and-benchmarking-fbmb-competitive-grants-program>.

Appeals:

Not applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/farm-business-management-and-benchmarking-fbmb-competitive-grants-program>.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. NIFA does not require matching or cost sharing support for this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, for competitive project grants and/or cooperative agreements may not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific

and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/farm-business-management-and-benchmarking-fbmb-competitive-grants-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: by letter of credit.

Reports:

REEReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reerport> and the REEReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEReport Database--

Research, Education, and Extension project online reporting tool (REEReport) All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is:

REEReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. Cash reports are not applicable. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for

each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$1,349,565; FY 17 est \$1,346,831; and FY 18 est \$0 - SPECIAL NOTES:

(1) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/farm-business-management-and-benchmarking-fbmb-competitive-grants-program>.

TAFS Codes:

12-2017-2017-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The amount available for support of this program in Fiscal Year (FY) 2016 was \$1,349,565 after legislatively mandated set-asides.

Four (4) proposals were submitted and accepted.

Four (4) proposals were reviewed and ranked by four (4) panel reviewers. The Panel accepted and recommended four (4) proposals to receive funding to deliver the Farm Business Management and Benchmarking (FBMB) grant. Amount of funds awarded to the four (4) institutions throughout the United States are:

Location #1 Amount: \$ 482,168;

Location #2 Amount: \$ 443,561;

Location #3 Amount: \$ 209,915; and

Location #4 Amount: \$ 213,921. Fiscal Year 2017: NIFA announced the availability of grant funds and requested applications for the Farm Business Management and Benchmarking (FBMB) Competitive Grants Program for fiscal year (FY) 2017 to improve the farm management knowledge and skills of agricultural producers; and maintain a national, publicly available farm financial management database to support improved farm management.

The amount available for support of this program in FY 2017 was \$1,346,831. Grant deadline was April 17, 2017.

Fifteen (15) proposals were submitted; however, four (4) of the submitted proposals were declined.

Eleven (11) proposals were reviewed by a panel of four (4) reviewers on May 16, 2017.

Three (3) proposals were recommended for funding. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded.

Information is not yet available. Pertinent details to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The

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<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Family and Consumer Sciences, 1400 Independence

Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Email: Policy@nifa.usda.gov Phone: (202) 720-4795 Fax: (202) 720-9366

Website Address:

<http://nifa.usda.gov/funding-opportunity/farm-business-management-and-benchmarking-fbmb-competitive-grants-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Examples of funded projects are:

(1) Initiate innovative pilot projects to create new approaches to expanding farm financial benchmarking, help educational programs seeking to start benchmarking efforts, develop a file repository that will allow real-time uploading of the files to the database and improve data security; revise financial analysis tools to meet the unique needs of direct marketing farmers; provide additional research access to the database; provided training on enhancing data integrity and developing greater efficiencies delivering farm financial analysis to producers; and create training resources to help producers manage during this era of heightened financial stress.

(2) Partnerships between farm business management associations and land grant universities focusing on improving the knowledge of U.S. farmers and ranchers, improving long-term profitability and producer risk management decision through the use and development of the national database. The overarching project goals are: improved the quality, quantity, and diversity of the National Farm Financial Benchmarking Database through enhanced producer association partnerships in each of the five (5) states; and use resulting project data to link farm financial indicators to other farm decision-making tools in order to improve the probability of farm success and survival, particularly for small-and medium-sized and beginning farms.

(3) Expand the well-established, national recognized, and publicly available farm financial management database FINBIN to support improved profitability and competitiveness of producers of a wide variety of crops and livestock in Tennessee by leveraging the established relationships of the farm management specialist have with producers across the state along with their years of experiences using FINPACK software to expand the FINBIN starting with 2016 records.

(4) Establish a farm financial management benchmarking system by combining efforts of three (3) long-standing programs at the University of Wisconsin, Cornell University, and Michigan State University with particular emphasis on dairy farms. It will populate the benchmarking system with national farm financial records from USDA - FAS. This project will help improve the profitability and competitiveness of U.S. dairy producers; particularly small and mid-size producers by providing benchmarking opportunities for informed decision-making. It will empower producers in the financial stewardship of their farm businesses resources. Fiscal Year 2017: FBMB Review Panel recommended three (3) awards; these will not be announced until the Awards Management Division (AMD) makes awards. Pertinent details to be provided by Program at a future date. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded.

Information is not yet available. Pertinent details to be provided by Program at a future date.

Information is not yet available. Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/farm-business-management-and-benchmarking-fbmb-competitive-grants-program>.

10.320 SUN GRANT PROGRAM

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

The Sun Grant Program, was originally established in section 778 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 2004 (Public Law 108-199), which amended the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8101 et seq.) adding section 9011, entitled the Sun Grant Research Initiative Act of 2003. Section 7526 of the Food, Conservation, and Energy Act of 2008 (7 U.S.C. 8114) establishes the Sun Grant Program, replacing the Sun Grant Research Initiative Act of 2003. Authority for the Sun Grant Program is delegated to the National Institute of Food and Agriculture in title 7 Part 2.66 subpart (a) section (153) of the Code of Federal Regulations., 7 U.S.C 8114.

OBJECTIVES:

This program responds to the need to enhance national energy security with biobased energy technology; promote diversification and environmental sustainability through biobased technology; promote economic diversification in the rural U.S. through biobased technology; and enhance the efficiency of biobased technology research and development (R&D) through collaborations among USDA; Department of Energy (DOE); and Land Grant Institutions.

Grants will be awarded to the sun grant centers and subcenter for the purposes of carrying out a competitive grants program and to conduct multi-institutional and multistate research, extension, and education programs on technology development; and integrated research, extension, and education programs on technology implementation.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Sun Grant Program was established to:

- (1) Enhance national energy security with biobased energy tech;
- (2) Promote diversification and environmental sustainability through biobased tech;
- (3) Promote economic diversification in the rural US through biobased technology; and
- (4) Enhance the efficiency of biobased technology R&D through collaborations among the United States Department of Agriculture, Department of Energy, and Land Grant Institutions.

A Sun Grant Center or Subcenter shall use 75 percent of the funds to provide competitive grants to entities that are

- (i) eligible to receive grants under subsection (b)(7) of the Competitive, Special, and Facilities Research Grant Act (7 U.S.C. 450i(b)(7)); and
- (ii) located in the region covered by the Sun Grant Center or Subcenter. Grant funds must be used for allowable costs necessary to conduct approved research and extension objectives. Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees). Funds may not be used for any purposes other than those approved in the grant award documents.

See Request for Application (RFA) for specific details regarding indirect costs and matching requirements. Fully discretionary.

Applicant Eligibility:

Only the Sun Grant Centers and Subcenter as specifically designated in 7 U.S.C. 8114 are eligible to apply for funding under this program.

Beneficiary Eligibility:

Only the Sun Grant Centers and Subcenter as specifically designated in 7 U.S.C. 8114 are eligible to apply for funding under this program.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/sun-grant-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via [Grants.gov](https://grants.gov) in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.htm>

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/sun-grant-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/sun-grant-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/sun-grant-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/sun-grant-program>.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. Funds allocated for competitive grants must be matched in an amount of 20 percent from non-Federal sources by all subawardees. Matching requirements do not apply to fundamental research. Additionally, the Sun Grant Center or Subcenter may reduce or eliminate the requirement for non-Federal funds for applied research if the Sun Grant Center or Subcenter determines that the reduction is necessary and appropriate pursuant to specific guidance issued by NIFA.

Specific matching provisions are announced in the request for applications or guidelines for each fiscal year.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/sun-grant-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the

Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: by letter of credit.

Reports:

REReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/rereport> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport)
All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$2,328,000; FY 17 est \$2,787,840; and FY 18 est \$0 - SPECIAL NOTES:

(1) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/sun-grant-program>.

TAFS Codes:

12-2017-2017-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Sun Grant program supports a national network of land-grant universities and national laboratories that are partnering to help build the bioeconomy. As described in the 2014 Farm Bill, this effort is conducted across five (5) regions and one (1) sub-region headed by a land-grant university to conduct annual solicitations that focus on improving rural economic development through the production of sustainable biomass feedstocks for biofuels and biobased products.

This represents a continuation grant and funding was provided at \$2,238,000. Fiscal Year 2017: The program will continue to support the work of the regional centers identified through the merit review. The program will be funded through a continuation process. Anticipated funding level is \$2,787,840. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgment for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader; Institute of Bioenergy, Climate, and Environment, Division of Bioenergy, 1400 Independence Avenue, SW., STOP 2210, Washington, District of Columbia 20250-2210 Email: Policy@nifa.usda.gov Phone: (202) 401-5244 Fax: (202) 401-2653

Website Address:

<http://nifa.usda.gov/funding-opportunity/sun-grant-program>

RELATED PROGRAMS:

10.306 BODIESEL; 10.310 Agriculture and Food Research Initiative (AFRI) ; 10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The project address national energy needs and established a national bioeconomy through coordinated regional research, education and extension activities specifically focused on agriculture and forest based fuels implementing and coordinating a competitive grants programs specific to five (5) individual regions utilizing innovative and traditional outreach methods to disseminate information and knowledge. Fiscal Year 2017: The program will continue to support research, education, and extension activities that focus on improving rural economic development through the production of sustainable biomass feedstocks for biofuels and biobased products. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded.

Information is not yet available. Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and

Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/sun-grant-program>.

10.322 DISTANCE EDUCATION GRANTS FOR INSTITUTIONS OF HIGHER EDUCATION IN INSULAR AREAS

Grants for Insular Areas - Distance Education Grants for Insular Areas (DEG)

[Formerly: Distance Education Grant (DEG)]

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Distance Education Grants for Insular Areas (Distance Education Grants for Institutions of Higher Education in Insular Areas), 7 U.S.C 3362; Distance Education for Insular Areas (Resident Instruction Grants for Insular Area Activities), 7 U.S.C 3363.

OBJECTIVES:

To strengthen the capacity of Institutions of Higher Education in Insular Areas to carry out resident instruction, curriculum, and teaching programs in the food and agricultural sciences through distance education technology.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The purpose of Distance Education Grants for Institutions of Higher Education in Insular Areas is to strengthen the capacity of Institutions of Higher Education in Insular Areas to carry out resident instruction, curriculum, and teaching programs in the food and agricultural sciences through distance education technology.

Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences.

The use of grant funds to plan, acquire, or construct a building or facility is not allowed under this program. With prior approval, and in accordance with the cost principles set forth in OMB Circular No. A-21, some grant funds may be used for minor alterations, renovations, or repairs deemed necessary to retrofit existing teaching or research spaces in order to carry out a funded project.

However, requests to use grant funds for such purposes must demonstrate that the alterations, renovations, or repairs are essential to achieving the major purpose of the project. Grant funds may not be used for endowment investing. Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award.

Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

Individual land-grant colleges and universities, and other institutions that have secured land-grant status through Federal legislation, and which are located in Insular Areas are automatically eligible for awards under the DEG grants program, either as direct applicants or as parties to a consortium agreement.

Beneficiary Eligibility:

Individual land-grant colleges and universities, and other institutions that have secured land-grant status through Federal legislation, and which are located in Insular Areas are automatically eligible for awards under the DEG grants program, either as direct applicants or as parties to a consortium agreement.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

<https://nifa.usda.gov/funding-opportunity/distance-education-grants-institutions-higher-education-insular-areas-deg>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.htm> 1.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/distance-education-grants-institutions-higher-education-insular-areas-deg>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/distance-education-grants-institutions-higher-education-insular-areas-deg>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/distance-education-grants-institutions-higher-education-insular-areas-deg>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/distance-education-grants-institutions-higher-education-insular-areas-deg>.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/distance-education-grants-institutions-higher-education-insular-areas-deg>

RFAs are generally released annually. Hence, the RFAs provide the most

current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: by letter of credit.

Reports:

REReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reereport> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport)
All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

(1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. (6/21/17 FYI ONLY:

NIFA utilizes the Federal Financial Report, Form SF-425 to monitor cash outlays.). PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be

retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 16 \$768,000; FY 17 est \$766,500; and FY 18 est \$800,000 - SPECIAL NOTES:

(1) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:
<https://nifa.usda.gov/funding-opportunity/distance-education-grants-institutions-higher-education-insular-areas-deg>.

TAFS Codes:

12-2017-2017-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: For Fiscal Year (FY) 2016 \$768,000 was available for Distance Education Grants Program (DEG) awards.

Twelve applications were reviewed by a panel and eight (8) proposals were recommended for awards.

The final eight (8) proposals range from the lowest of \$115,000 to the highest of \$150,000.

The award ratio is 67 percent (67%).

SPECIAL NOTE: See CFDA # 10.308 for other pertinent financial information. Fiscal Year 2017: For fiscal Year (FY) 2017, the consolidated appropriation for Insular Area Grants (CFDA 10.308 and 10.322) was \$2 million. After deductions for legislatively authorized set-asides, a distributed Payment to States amount for the DEG Grant Program of \$766,500 was available for awards.

Project type in FY 2017 is Regular Grants only at a ceiling amount of \$175,000. An eligible university system may receive up to a maximum of three (3) Regular grant awards.

Ten (10) applications were received, three (3) of them were declined due to not following RFA guidelines. The remaining seven (7) proposals ranging from \$129,000 to \$175,000 were reviewed.

As evaluated by a peer review panel and due to limited funding, only five (5) of the seven (7) proposals were funded.

The funding ratio for this program in FY 2017 is 71 percent (71%).

SPECIAL NOTE: See CFDA # 10.308 for other pertinent financial information. Fiscal Year 2018: For Fiscal Year (FY) 2018 it is projected that the budget for the Insular Areas Program will be \$800,000.

Pertinent data to be provided by Program at a future date.

SPECIAL NOTE: See CFDA # 10.308 for other pertinent financial information.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to

support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence

Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Email: Policy@nifa.usda.gov Phone: (202) 720-2324 Fax: (202) 720-2030

Website Address:

<http://nifa.usda.gov/program/resident-instruction-grants-riia-and-distance-education-grants-deg-institutions-higher>

RELATED PROGRAMS:

10.308 Resident Instruction Grants for Insular Area Activities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Developing Distance Education in Food & Agriculture Related Sciences

This projects will:

- (1) Encourage use of Distance Education (DE) and computer-lab equipment;
- (2) Enhance capacity of ACNR student lab and quality of instruction program;
- (3) Provide distance education scholarships; and
- (4) Provide funding for faculty & staff professional development.

Audience: Insular Area students, faculty & staff Products:

- a) Increase in the number and diversity of students who will pursue and complete a two (2) or four (4) year postsecondary degree in the food and agricultural sciences, or other science, technology, engineering, and mathematics (STEM) fields closely related to the food and agricultural sciences. Also to encourage study in areas that contribute to any of the five (5) NIFA Priority Areas listed above; and
- b) Enhance the quality of postsecondary instruction in order to help meet current and future national food and agricultural sciences workplace needs

Promoting Distance Education by Offering Out-of-Classroom Experiences for Students

This project will improve wireless networking capability at the institution and acquire distance education technology (mobile and portable videoconference systems, respectively). On- and off-campus faculty will be trained in the use of portable videoconference systems, and instructional material will be developed for synchronous delivery of agricultural practices to supplement our courses from the Agriculture Experiment Station (AES). In addition, the portable systems will be used to increase off-campus mentoring in our undergraduate research program, and to promote AES learning experiences at open houses. This project perfectly aligns with DEG goals because it will contribute to better undergraduate students education and research experiences by providing support for the proposed DE technology. Use of DE technology during open houses for online synchronous promotion of agricultural experiences (e.g. AES) will motivate more high school students to apply to our academic programs; therefore, increasing student recruitment to agricultural sciences. Collectively, this proposal will also improve efficient use of resources (faculty, facilities and transportation); therefore, enhancing cost-effectiveness at both institutions.

Developing a High Quality Human Capital in Agribusiness through a Long Distance Program

This project supports the design of a Long Distance Program in agricultural economics and agribusiness. This project is based on Goal 2 of the DEG RFA: Develop and enhance educational services (including faculty development) to prepare students or faculty seeking a degree or certificate that is approved by the State or a regional accrediting body recognized by the Secretary of Education.

This project works on the Needs Areas such as Curricula Design, Materials Development, and Library Resources and Instruction Delivery Systems. This project will develop inter-institutional and inter-disciplinary cooperative linkages with the Department of Economics and the College of Business Administration (hereafter, CBA) of the institution and the Chamber of Marketing, Industry and Distribution of Food in order to develop sound online master degrees in agricultural economics and agribusiness. Hispanics are underrepresented in graduate student population in the U.S. (American Community Survey, 2015).

Developing Distance Education Capacity in Food and Agricultural Related Sciences

The Insular Institution will provide a resource base of at least 30 agriculture and food science related training materials for students, agents and the lifelong learners of the region through training lessons made available on-line.

Training programs based on tropical small island examples is not readily available. In addition, the training programs would add to the eXtension resource base. The institution requires a strong internet service to facilitate instructional sharing across the six (6) campuses. The project will provide web management assistance and improved connectivity at the two (2) most limited campuses. Through the improved internet services, the institution will be able to provide distance learning capacity to faculty and teachers of the local schooling system. For this, the project also designates scholarships for qualified professionals.

Innovative Educational Approaches to Addressing Climate Change in the Caribbean

This project will develop an innovative hybrid online/in-class course addressing climate change in the Caribbean. It will facilitate communication and collaboration among a multidisciplinary mix of faculty and outside experts working in consultation with the regional and local agencies working on climate change adaptation. The course will develop a cadre of students well versed in the issues of climate change and how agricultural, marine, and environmental sciences can help inform the development of adaptation strategies. These students will be prepared to help their communities respond and adapt to the impacts of climate change in the Caribbean. The course will be offered as an undergraduate and graduate level course, developed as a multidisciplinary and multidepartment collaboration with faculty at the institution in agriculture, marine, and environmental sciences. The course will be developed in consultation with leading subject matter experts across the U.S., including leading scientific organizations such as the American Society for the Advancement of Sciences. The course will be developed in interactive consultation with local and regional stakeholders. Fiscal Year 2017: Water Ambassador Program

This project seeks funding for two (2) years to create a Water Quality Ambassadors Pilot Program through Distance Education that promotes a synergy between researchers, youth in a public high school on and Institutions staff. STEM centered DE seminars and presentations will focus on active research so youth may understand issues related to water quality, technology, agriculture and nutrition and weather and climate. This proposal will bridge other projects into one (1) pilot project to advance STEM education for youth and create DE pilot program. Its connected to the Institutions Observatory which uses climate data to build models when the observatory telescope should be opened and closed, and to the Department of Agriculture which is building a set of similar stations for data collection and water management prediction. Youth will be trained in Citizen Science research.

Improving Distance Education

The supporting objectives of the proposed project are to:

- (1) train five (5) (representing 30 percent, 30%) faculty members in online education methods and technologies;
- (2) develop six (6) agricultural sciences online courses;
- (3) evaluate the effectiveness of online courses in advancing agricultural education at the institution;
- (4) educate teachers and other faculty members about online education methods and technologies; and
- (5) improve wireless access to all laboratory areas assigned to the Department of Agricultural Technology.

Developing Interactive Distance Education Curricula for Delivery with or without the Internet

This project will address the following four (4) objectives, as follows:

- (1) develop curricula for online delivery;
- (2) develop a portable LMS/Moodle server;
- (3) assisting Online Science and Math Course Development; and
- (4) support teaching students and teachers about technology in the classroom.

An online tropical arboriculture course will be developed that be delivered on a portable LMS server that does not require high-speed bandwidth. Lack of high-speed bandwidth is a bottleneck for delivering courses in the Western Pacific. Faculty in the Math and Science Programs at the Institution will be given technical support for developing online course materials.

Increase capacity in the field of food and agriculture science by providing resident instruction, curriculum, and teaching programs through distance learning education

The Institutions will use the United States Department of Agriculture (USDA) National Institute of Food and Agriculture (NIFA) grant funding to accomplish its goal in placing food and agriculture science courses online. This will be the first time in the institutions history that food and agriculture science courses will be offered in an online environment. The courses are scheduled to be offered in the Fall 2018 semester through Spring 2020 semester.

USDA-NIFA grant funding will be used in the development of courses, recruitment and hiring of instructors, staff training, and procuring necessary supplies to successfully launch the online courses. The main objective of this project is to give students the option to enroll in online food and agriculture science courses while achieving college credit. Additionally, the development of online food and agriculture science courses may provide a gateway for students interested in pursuing a career in food and agriculture science.

Developing Distance Education (DE) in Food and Agricultural Related Sciences in the Insular Area

The project goals are to encourage use of DE and computer-lab equipment; enhance capacity of the institutions student lab and quality of instruction program, provide scholarships for students pursuing degrees in food and agricultural and related sciences, and to provide financial support for faculty and staff professional development. These objectives will help the institution meet its outcomes and overall NIFA objectives of increasing the number and diversity of students pursuing degrees in food and agricultural sciences, and enhancing the quality of postsecondary instruction to help meet current and future workplace needs. Fiscal Year 2018: For Fiscal Year (FY) 2018, it is projected that approximately 10-15 applications will be received, and approximately four (4) to five (5) awards will be made.

Specific data is not yet available. Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/distance-education-grants-institutions-higher-education-insular-areas-deg>.

10.326 CAPACITY BUILDING FOR NON-LAND GRANT COLLEGES OF AGRICULTURE (NLGCA)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Consolidated and Further Continuing Appropriations Act 2012, Public Law 112-55; National Agricultural Research, Extension, and Teaching Policy Act (7 U.S.C. 3319i) as amended by section 7138 of the Food, Conservation, and Energy Act of 2008, Public Law 110-246, 7 U.S.C 3319i.

OBJECTIVES:

To assist the NLGCA Institutions in maintaining and expanding their capacity to conduct education, research, and outreach activities relating to agriculture, renewable resources, and other similar disciplines.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

To maintain and expand the capacity of the NLGCA Institution to: compete, successfully, for funds from Federal grants and other sources to carry out educational, research, and outreach activities that address priority concerns of national, regional, State, and local interest; disseminate information relating to priority concerns to interested members of the agriculture, renewable resources, and other relevant communities; the public; and any other interested entity; and encourage members of the agriculture, renewable resources, and other relevant communities to participate in priority education, research, and outreach activities by providing matching funding to leverage grant funds.

This can be accomplished through the: purchase or other acquisition of equipment and other infrastructure; professional growth and development of the faculty of the NLGCA Institution; and development of graduate assistantships. Grant funds may not be used for the renovation or refurbishment of research, education, or extension space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities. Grant funds may not be used to support the salaries of U.S. government employees.

The purchase of equipment is limited to that which is required for training, teaching, extension demonstration, and other relevant project activities. Pursuant to Section 720 of the General Provisions in Title VII of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Programs (HR 112-284), indirect costs are limited to 30 percent of the total Federal funds provided under each award.

Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

Only a non-land-grant public college or university (NLGCA) offering a baccalaureate or higher degree in the study of agriculture or forestry. The terms "NLGCA Institution" and "non-land-grant college of agriculture" do not include -

- (i) Hispanic-serving agricultural colleges and universities; or (ii) any institution designated under - (I) the Act of July 2, 1862 (commonly known as the "First Morrill Act"; 7 U.S.C. 301 et seq.); (II) the Act of August 30, 1890 (commonly known as the "Second Morrill Act") (7 U.S.C. 321 et seq.); (III) the Equity in Educational Land-Grant Status Act of 1994 (Public Law 103-382; 7 U.S.C. 301 note); or (IV) Public Law 87-788 (commonly known as the "McIntire-Stennis Cooperative Forestry Act") (16 U.S.C. 582a et seq.).

Beneficiary Eligibility:

A non-land-grant public college or university offering a baccalaureate or higher degree in the study of agriculture or forestry, faculty of NLGCA, students engaged in the study of agriculture or forestry, the public, interested members of the agriculture, renewable resources, and other relevant and interested communities.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

- (i) be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/capacity-building-grants-non-land-grant-colleges-agriculture-program-nlgca>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.htm>

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform

Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/capacity-building-grants-non-land-grant-colleges-agriculture-program-nlgca>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

(a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;

(b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;

(c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;

(d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/capacity-building-grants-non-land-grant-colleges-agriculture-program-nlgca>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:
<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:
<https://nifa.usda.gov/funding-opportunity/capacity-building-grants-non-land-grant-colleges-agriculture-program-nlgca>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:
<https://nifa.usda.gov/funding-opportunity/capacity-building-grants-non-land-grant-colleges-agriculture-program-nlgca>.

Formula and Matching Requirements:

This program has no statutory formula.
 This program has no matching requirements. NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria. No formula grants are awarded under this authority.
 This program does not have MOE requirements.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:
<https://nifa.usda.gov/funding-opportunity/capacity-building-grants-non-land-grant-colleges-agriculture-program-nlgca>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: by letter of credit.

Reports:**REEport GRANT REPORTING:**

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and

final reporting (items b. and c. below) also is to be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEport Database--

Research, Education, and Extension project online reporting tool (REEport)
 All projects must be documented in REEport. The NIFA contact for all REEport documentation is:

REEport
 National Institute of Food and Agriculture
 U.S. Department of Agriculture
 STOP 2213
 1400 Independence Avenue, S.W.
 Washington, D.C. 20250-2213
 Telephone: (202) 690-0009
 E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

(1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the

expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. **PROGRESS REPORTS:** See above for pertinent and specific details. **EXPENDITURE REPORTS:** See above for pertinent and specific details. **PERFORMANCE MONITORING:** See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$4,789,875; FY 17 est \$4,795,500; and FY 18 est \$0 - SPECIAL NOTES:

(1) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/capacity-building-grants-non-land-grant-colleges-agriculture-program-nlgca>.

TAFS Codes:

12-2017-X-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Actual applications received were 50 compared to 37 in Fiscal Year (FY) 2016.

Nineteen (19) of the 50 applications were funded due to availability of funds (\$4,789,875) and based on Peer Panel review and recommendations as follows: One (1) Conference Planning;

Ten (10) Regular;

Six (6) Joint; and

Two (2) Large Scale Initiative projects. Fiscal Year 2017: In Fiscal Year (FY) 2017 Congressional Appropriations of \$5 million for this program were late and as such, the Request for Applications was posted in May with a September 15, 2017, closing date. It is anticipated that we will receive at least 50 applications in this Fiscal Year. A peer review panel will convene late fall 2017. We anticipate \$4,795,500 being available for awards. Fiscal Year 2018: FISCAL YEAR (FY) 2018:

This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that the number of proposals received and projects awarded will be similar to prior years.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, 1400 Independence Avenue, SW, STOP 2250, Washington, District of Columbia 20250-2250 Email: Policy@nifa.usda.gov Phone: (202) 720-1973

Website Address:

<http://nifa.usda.gov/program/capacity-building-grants-non-land-grant-colleges-agriculture-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: LCI PROPOSAL TO BUILD AGRICULTURE CAPACITY THROUGH REGIONAL EDUCATION AND OUTREACH INITIATIVES.

The project will administer a Large Scale Comprehensive Initiative that will invest in human capital and integrate education and outreach to assist rural a rural county in creating posterity to become self-sustaining, re-populating and economically thriving. The project will focus on:

- (1) creating educational equity for under-represented students through high school educational outreach and recruitment;
- (2) building leaders by integrating training within experiential learning;
- (3) building agriculture career pathways for high school students;
- (4) support agriculture business development through legal services, micro-credentialing and by building a regional farm and food asset map; and
- (5) leading and facilitating economic development efforts. Project goals will be accomplished by working closely with regional K-12 educators to expand agriculture educational opportunities through College in the High School, distance learning and targeted recruitment activities and focus on improving academic support services to increase retention among freshman agriculture students.

The project will train students engaging in community-based experiential learning projects to be effective leaders and will assist agriculture entrepreneurs to develop new products and/or businesses by providing training and legal and

business development technical assistance.

INTEGRATING AGRICULTURAL EDUCATION AND OUTREACH TO INCREASE PROFITABILITY THROUGH LOCAL FOOD MARKETING CHANNELS

The overall purpose of this capacity building project is to develop a new Education Program that is built around a new instructional unit on local food marketing to train future agricultural business managers (students) and to develop a new Outreach Program that will train current agricultural business managers about local food marketing opportunities. This project leverages an interdisciplinary and collaborative relationship between the university sector, the business community and the private sector in an effort to advance the quality of education and outreach designed to remove the barriers in local food marketing channels and increase agricultural profitability. This is accomplished by

- (1) developing new curriculum to increase the College of Agriculture faculty and student knowledge of the profit opportunities in local food marketing channels,
- (2) using targeted outreach and training workshops to increase producer knowledge of local food marketing opportunities, and
- (3) developing and distributing a local food buyers guide to increase consumer knowledge of local food purchasing opportunities.

Providing local food marketing training to students/producers and creating a new local food buyers guide will expand the institutions capacity to assist future and current agricultural business managers to become more profitable and resilient.

BUILDING CAPACITY FOR CLIMATE CHANGE EDUCATION (CCE) AND EXPANDING RESEARCH OPPORTUNITIES IN RURAL COMMUNITIES

The primary objective is to incorporate climate change education in the curriculum for undergraduate and graduate agriculture classes in two (2) partnering. This will be achieved by means of developing new in-class and web-based course offerings. Specific course offerings will focus on the following priority areas:

- (1) Basic and advanced understanding of the science of climate;
- (2) Basic and advanced understanding of climate change;
- (3) Evaluating and measuring economic impacts of climate change on agriculture;
- (4) Policy issues in climate change and agriculture - U.S./ Global Market related impacts of climate change on agriculture and risk management.

A second objective is to build capacity in the partnering institutions for developing both face-to-face and online teaching interfaces to deliver specific course offerings related to climate change, and include professional development of faculty for both campuses especially in design, development, and delivery of online courses.

The third objective is to encourage undergraduate and graduate level student involvement in teaching and research related to understanding of the science of climate, and its impact on agriculture. This will also be extended to student research in the partnering institutions by conducting a willingness to pay survey study among students with a focus on financial implications for dealing with climate change.

Finally, the fourth objective is to introduce climate change education in high school curriculum in the respective areas of the collaborating institutes by means of courses/ web-based materials with a focus on climate and impacts of climate change on agriculture, and by conducting a two (2) to three (3) day workshop for stakeholders. This will broaden the dissemination of the outreach materials to a much broader audience. The ability to develop online materials that can be accessed by anyone will also help to further disseminate the information to a wider audience beyond the service area for each university.

BRIDGING THE GAP BETWEEN FARMERS AND CONSUMERS THROUGH RESEARCH, EDUCATION AND OUTREACH

This research, outreach, and education project is designed to achieve two (2) goals:

- (1) to bridge the gap between agricultural producers/farmers and consumers by analyzing how farmers markets in urban communities could play a role in improving both farmer livelihoods and the nutrition of low-income consumers with limited access to fresh fruits and vegetables; and
- (2) to strengthen food and agricultural research, education, and outreach through community engagement and Service-Learning.

Specifically, the project will achieve the following objectives:

- (1) Identify the factors that influence farmer behavior in Direct-to-Consumer (DTC) marketing channels, including their financial incentives, revenue targets for farmers markets, and why they choose certain DTC channels over others;
- (2) Assess the consumer preferences of low-income community residents and their willingness to pay for local fruits and vegetables at farmers markets; and
- (3) Expand educational opportunities in food and agricultural sciences by creating curricular materials and by promoting higher education and careers in food and agricultural sciences to underrepresented students and underserved communities.

BUILDING CAPACITY OF FOOD SCIENCE CLUSTER TO CONTROL PATHOGENS ON FRESH PRODUCE AT FARMERS' MARKETS

The number of foodborne illnesses linked to fresh produce has increased in the last few years, causing significant economic losses, which are especially damaging to small farmers. The specific objectives of this project are to:

- (1) Conduct a survey to determine consumer perceptions of food safety of locally grown fresh produce sold at farmers markets in two (2) States;
- (2) build a research capacity of a novel mobile automated electro-chemical activation system to control food borne pathogens on fresh produce from those States farmers markets; and
- (3) train small farmers and farmers market vendors through workshops and webinars on proper handling of fresh produce from field to market.

Training workshops for producers, handlers, and sellers of fresh produce will be held both in person and also as online and paper modules to be completed anytime. The main purpose of these workshops is to educate small produce farmers and other stakeholders on the use of good agricultural and management practices to minimize microbial food safety hazards. Fiscal Year 2017: Projects for FY 17 will not be awarded until the third quarter of the fiscal year due to the late receipt of appropriations. We anticipate projects will address current and emerging issues in agricultural research, Extension/outreach, and education. Pertinent details to be provided by Program at a future date. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded.

However, it is projected the number of applications will continue to increase into the future and funding may increase to more than \$5 million.

Information is not yet available. Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/capacity-building-grants-non-land-grant-colleges-agriculture-program-nlgca>.

10.328 NATIONAL FOOD SAFETY TRAINING, EDUCATION, EXTENSION, OUTREACH, AND TECHNICAL ASSISTANCE COMPETITIVE GRANTS PROGRAM

National Food Safety Training, Education, Extension, Outreach, and Technical

Assistance
(aka FSMA)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Drug Cosmetic Act, 21 U.S.C. 391 et seq. Section 209 of FSMA added section 1011, Subsection (d) entitled National Food Safety Training, Education, Extension, Outreach and Technical Assistance Program. Paragraph (d)(1) requires the Secretary of Health and Human Services to enter into a Memorandum of Understanding or cooperative agreement with the Secretary of Agriculture to establish a competitive grant program within the National Institute of Food and Agriculture. This program will award competitive grants to organizations to provide food safety training, education, extension, outreach, and technical assistance to owners and operators of small and medium-sized farms, beginning farmers, socially-disadvantaged farmers, small processors, or small fresh fruit and vegetable merchant wholesalers. The authority for the National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Competitive Grants Program is under Section 405 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7625).

OBJECTIVES:

The National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Program will award grants that increase the understanding and adoption of established food safety standards, guidance, and protocols. Grants awarded through this program will be carried out in a manner that facilitates the integration of food safety standards and guidance with a variety of agricultural production and processing systems, including conventional, sustainable, organic, and conservation and environmental practices carried out by the eligible entities. The assistance provided by these programs shall be coordinated with and delivered in cooperation with similar services or assistance by other federal agencies or programs serving those eligible entities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The new National Food Safety Training, Education, Extension, Outreach, and Technical Assistance proposals are expected to describe Regional Centers that have a leading role in coordinating the development of FSMA-related training, education, and outreach programs and resources for small and medium-sized farms, beginning farmers, socially disadvantaged farmers, small processors, and/or small fresh fruit and vegetable merchant wholesalers. An outreach plan for conducting education and training to a cadre of regional FSMA trainers must be developed, along with an implementation plan for extending both training and technical assistance to the targeted audiences of farmers, processors and vendors in the respective regions.

In addition, the Regional Centers must work collaboratively with a National Coordination Center for Food Safety Training, Education, Extension, Outreach, and Technical Assistance to ensure that training curricula and resources cover core competencies across all funded regional centers, and to provide annual reports to NIFA on overall program objectives. Section 716 of the Consolidated Appropriation Act, 2014, limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their request for the recovery of indirect costs to the lesser of the institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

When NIFA is not the cognizant Federal agency. The applicant should use the current negotiated indirect cost rate established by its cognizant Federal agency (the agency that provides the most funds). If awarded, the applicant will be required to produce a negotiated indirect cost rate agreement from the cognizant agency in order to recover indirect costs. Applicants may request (i.e., budget) indirect costs using the lesser of: (a) the negotiated rate; or (b) the maximum statutory rate stated in the Request for Application, if any. If unable to obtain a negotiated rate from its cognizant agency, the applicant is not permitted indirect cost reimbursement. Rather, the applicant may only be reimbursed for allowable direct costs. Violation of Cost Accounting Standards is not permitted

when re-budgeting or charging costs to awards.

When NIFA is the cognizant Federal agency. If the applicant does not have a negotiated rate, and NIFA is the cognizant agency, the applicant must calculate an indirect cost rate in order to request indirect costs. NIFAs indirect cost website provides several sample indirect cost rate calculations. NIFAs website is found at: http://nifa.usda.gov/business/indirect_cost_process.html.

During the application process, the applicant is not required to complete the entire indirect cost package identified on NIFAs website. Rather, the applicant need only calculate an indirect cost rate to serve as a basis for requesting indirect costs. If awarded, the applicant will be required to submit a complete Indirect Cost Proposal (ICP) package as explained on NIFAs indirect cost website.

Grantees may not use grant funds awarded under this authority to renovate or refurbish research, education, or extension space; purchase or install fixed equipment in such space; or the plan, repair, rehabilitate, acquire, or construction of buildings or facilities. Fully discretionary.

Applicant Eligibility:

The Cooperative Extension Service for a U.S. state or territory;

A non-profit community-based or non-governmental organization representing owners and operators of farms, small food processors, or small fruit and vegetable merchant wholesalers that has a commitment to public health and expertise in administering programs that contribute to food safety;

An institution of higher education (as defined in Section 101(a) of the Higher Education Act of 1965 (20 U.S.C. 1001(a)) or a foundation maintained by an institution of higher education;

Federal, State, Local, or Tribal Agencies,

A collaboration of two or more eligible entities; or

Such other appropriate entity, as determined by the Secretary of Agriculture.

Beneficiary Eligibility:

The Cooperative Extension Service for a U.S. state or territory;

A non-profit community-based or non-governmental organization representing owners and operators of farms, small food processors, or small fruit and vegetable merchant wholesalers that has a commitment to public health and expertise in administering programs that contribute to food safety;

An institution of higher education (as defined in Section 101(a) of the Higher Education Act of 1965 (20 U.S.C. 1001(a)) or a foundation maintained by an institution of higher education;

Federal, State, Local, or Tribal Agencies,

A collaboration of two or more eligible entities; or

Such other appropriate entity, as determined by the Secretary of Agriculture.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026. Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/food-safety-outreach-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All Requests for Applications (RFAs) are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for

Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-safety-outreach-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-safety-outreach-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific

deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/food-safety-outreach-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/food-safety-outreach-program>.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, vary from (1) to five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-safety-outreach-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REEReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reerport> and the REEReport software can be found at

<http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEport Database--

Research, Education, and Extension project online reporting tool (REEport)
All projects must be documented in REEport. The NIFA contact for all REEport documentation is:

REEport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

(1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

(Project Grants (Discretionary)) FY 16 \$4,758,326; FY 17 est \$4,770,750; and FY 18 est \$4,761,150 - SPECIAL NOTES:

(1) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity

project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:
<https://nifa.usda.gov/funding-opportunity/food-safety-outreach-program>.

TAFS Codes:

12-2017-2017-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Prior year funding was provided to establish the national infrastructure in FY 2015 as the National Food Safety Training, Extension, Outreach, and Technical Assistance Program and in FY 2016 for the inaugural Food Safety Outreach Program including continuation awards.

There were 64 applications received of which 10 pilot, 10 community outreach, and 4 multistate education projects have been funded at the level of \$4,758,326. Fiscal Year 2017: The anticipated amount available for Fiscal Year (FY) 2017 to support the Program is \$4,770,750. We received 43 proposals: [ten (10) pilot, 17 community outreach and 16 multistate projects]. NIFA anticipates funding approximately 28 total awards.

The Food Safety Outreach Program was funded at \$4.8 million in which it has an investment of projects focused on the delivery of customized training for owners and operators of small farms, food processors, small fruit and vegetable wholesalers, food hubs, farmers markets, terminal markets, and farms that lack access to food safety training and other educational opportunities.

The request for applications was published with a closing date of June 6, 2017. A total of 43 applications were received and were subjected to the peer panel review process. Twenty-eight (28) awards in the amount of up to \$50K for each pilot award, up to \$150K for each community award, and up to \$400K for each multi-state award. We anticipate funding: six (6) Pilots, 12 Community & Outreach, and seven (7) Multi-state and education projects.

The estimated success rate for the program is approximately 40% -50%.

In FY 2017 the AFRI Food Safety Outreach Program invested in the following priority areas:

- (1) Pilot projects to support the development and implementation of new and potentially high-risk, high-impact food safety education and outreach programs in local communities.
- (2) Community Outreach Projects that focus on the growth and expansion of already-existing food safety education and outreach programs currently offered in local communities; and
- (3) Multistate Education and Training Projects that will develop and implement multi-county, state-wide, or multi-state food safety education and outreach programs. Fiscal Year 2018: The projected amount available for FY 2018 is approximately \$4,761,150.

We anticipate receiving approximately 70 proposals and project funding success rate of approximately 25%.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:
<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.
<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Safety and Nutrition (IFSN) 1400 Independence Avenue, SW, STOP 2225, Washington, District of Columbia 20024 Email: Policy@nifa.usda.gov Phone: (202) 205-0250 Fax: (202) 401-4888

Website Address:

<http://nifa.usda.gov/program/food-safety>

RELATED PROGRAMS:

10.303 Integrated Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: NIFA has built upon the national infrastructure with a focus on the delivery of customized training to a very specific target audience. In FY 2016, we solicited for 30 pilot, 10 community outreach, and 4 multistate

education and training projects.

Example projects include the following:

SEEDS FOR INCREASING TRIBAL KNOWLEDGE IN FOOD SAFETY (PILOT)

This pilot project will work over the course of one (1) year to:

- (1) identify the specific training and education needs within Arizona-based Tribal communities focusing on the Gila River Indian Community (GRIC);
- (2) develop culturally sensitive food safety training materials; and
- (3) implement a food safety education and outreach program that meets the very specific needs of GRIC Tribal members and is also transferable to other Tribal entities across the State of Arizona.

HAWAII ROOTS FOOD SAFETY OUTREACH PROJECT (PILOT)

Kokua Kalihi Valley (KKV) Hawaii Roots Food Safety Outreach Project will work towards the goal of enabling Hawaii's small and beginning producers, processors, and wholesalers to enhance the safety of their food products (including complying with FSMA regulations), while maintaining profitability, sustainability, and equity. Additionally, project activities will help to build the long-term capacity of our local food system to disseminate best practices through robust culturally-tailored outreach strategies. Objectives assess the specific food safety education/training needs, learning preferences, and knowledge sharing networks of Hawaii's ethnically diverse, small and beginning farmers, processors, and wholesalers. Develop a community-based, FSMA-consistent food safety curriculum and pilot its delivery to 80 individuals through on-farm training sessions facilitating peer networking and knowledge sharing. Disseminate five (5) new food safety education/training materials and delivery methodology to ten (10) local stakeholder organizations and 300 individuals for broader implementation throughout Hawaii.

BRIDGING THE GAP: EFFECTIVE RISK MITIGATION THROUGH ADOPTION OF AGRICULTURAL WATER TREATMENT SYSTEMS (MULTI-STATE)

This project's goal is to equip growers with the knowledge to successfully implement water treatment systems on their farms. Fruit and vegetable growers are continually evaluating new practices to mitigate food safety risks in their operations. The finalization of the Produce Safety rule has set in motion many activities to help growers become compliant with this regulation. The project team has long-standing relationships with the produce growing community in our roles as extension specialists. They have received numerous questions and have had many discussions with growers who currently use surface water for irrigation or application of foliar sprays (e.g. herbicides and pesticides).

They understand that surface water can become contaminated with foodborne pathogens and do not want to rely upon monitoring via water testing or die-off rates to assure the safety of the produce they are growing. While there are in-line water treatments (chlorine, peroxyacetic acid, UV-light) to inactivate pathogens, there isn't a curriculum to educate growers about each technology; determine what would work best in their operation; validate effectiveness; and conduct ongoing verification activities (e.g. record-keeping). This project seeks to: Develop stakeholder-driven curriculum to educate growers of all sizes and background about agricultural water treatment systems; Share the curriculum with growers and train-the-trainers; and lastly evaluate the short-term and medium-term outcomes through knowledge gained and adoption of this technology. This curriculum would help growers of all sizes make educated decisions on how to mitigate risk for their farms within the context of the Produce Safety regulation. Additionally, the team will coordinate hosting a Project Directors meeting for Pilot, Community Outreach and Multi-state projects in year one (1) with the Southern Regional Center.

EXPANSION AND INTEGRATION OF FSMA EDUCATION AND OUTREACH FOR BEGINNING AND SOCIALLY DISADVANTAGED SUSTAINABLE ORGANIC PRODUCERS (COMM)

This project goal is to expand bilingual food safety education and outreach

while integrating new guidelines established under the Food Safety Modernization Act (FSMA) for beginning and socially disadvantaged sustainable organic producers. The target audience requires access to customized Bilingual Land Based Experiential Food Safety Education, Training and TA that integrates FSMA guidelines to improve the success of their beginning farm businesses. Access includes services being offered in both English and Spanish by trusted educational partners in multiple formats. For beginning and socially disadvantaged farmers, an audience adapted and comprehensive approach to Food Safety Training is appropriate. This training (a proposed 3-week short course) would cover general topics such as Traceability and Worker Health and Hygiene, followed by Farm Reviews, Field Harvest and Packing, Packing Shed Facility, Storage and Transportation. This resource would also be incorporated into the ongoing onsite cohort practicum training and incubator TA, and also two (2) bilingual outreach and educational videos using course material and clips for all topics. This goal will be achieved through four (4) objectives:

- (1) enhance partnerships of local non-profit Viva Farms, a land-grant university, a State University County Extension and Washington State Department of Agriculture (WSDA);
- (2) develop, adapt and implement food safety education and outreach integrating FSMA guidelines that can be utilized nationally;
- (3) increase coordination and access to food safety education and outreach that removes barriers to participation of the target audience; and
- (4) increase food safety knowledge, skills and practices consistent with FSMA guidelines of target audience. Outreach and Promotion Plan.

Partners will coordinate bilingual outreach using social media, network partners, list serves, print and radio marketing to reach the greatest percentage of our target audience. Use existing curriculum and resources: We will utilize existing education and training material such as "Bridging the GAP's", "Handbook for Small and Direct Marketing Farms", and "Wholesale Success" to develop and deliver curriculum and make course materials and delivery bilingually accessible. Outcomes: The project is expected produce the following outputs:

- (1) increased and effective partnerships between non Viva Farms, WSU, WSDA, Regional Centers, NCC, USDA and FDA;
- (2) expansion, Development and delivery of new two (2) three-week short courses, and curriculum inclusion in ongoing practicum courses and Incubator TA;
- (3) increase in number of trainers with the knowledge and experience to effectively train our target audience during and after the grant period;
- (4) increased bilingual materials and resources available in several delivery methods including Spanish Versions of "Bridging the GAP's";
- (5) increase the number of target audience producers receiving education and improving knowledge, are committed to, or have implemented Food Safety Practices; and
- (6) two (2) Outreach and Educational Food Safety Videos. Adaptation and Availability of communities.

Other similar farming communities will be able to access, learn from and adapt their approaches as a result of this project. If we are able to achieve our goals and objectives with the close collaboration of our key partners, communities can look to our activities, outputs and outcomes for strategic planning. Fiscal Year 2017: In fiscal year 2017, NIFA will expand the goals of the food safety outreach program to reach additional pilot, community outreach and multi-state education projects.

To date, 43 applications were received in which six (6) pilots, 12 community outreach, and seven (7) multi-state education projects are projected for funding.

Example projects include the following:

Preventative Controls Training For Small Alabama Fruit and Vegetable Processors subject to FSMA (COMM)

The long-term goal for this project is to prepare at least 75 percent (75%) of "small" and "very small" Alabama produce processors (required to be compliant by 2017 and 2018, respectively) for the Preventive Controls portion

of FSMA by expanding an existing food safety-training program, aligning with NIFA Community Outreach Project goals. A key component of the Food Safety Modernization Act (FSMA) is the Preventive Controls for Human Food rule, which is meant to ensure safe processing, packing, and holding of U.S. food products. Among those affected by the rule are off-farm produce processors, who have historically had limited oversight and for whom Preventive Controls regulations may be unfamiliar and confusing. The goals for this program are to:

- (1) reaching out to all small and very small produce processors in Alabama who are required to establish a Preventive Controls program;
- (2) utilizing existing curriculum and certified instructors to teach 12 Preventive Controls courses at locations throughout Alabama, which will be free for processors;
- (3) evaluating participants understanding and retention of course material through built-in course assessments and follow-up surveys; and
- (4) overseeing nine (9) ACES regional Extension agents (REAs) as they work one-on-one with the produce processors, providing extra assistance in meeting Preventive Controls guidelines if needed.

The team will leverage strong relationships with organizations such as the Alabama Department of Public Health to connect with processors and use their combined wealth of food safety training experience to effectively lead the training sessions.

Revision And Expansion Of The Florida "Build Your Own Farm Food Safety Manual" Program

Extension collaborators in Florida have been offering a Build Your Own Farm Food Safety Manual workshop since 2010 to assist small and beginning farmers develop food safety plans to improve food safety practices and expand market access through meeting third-party audit standards. In 2011, the Food Safety Modernization Act (FSMA) was signed into law which gave FDA new authority to regulate on-farm produce safety. These training materials were updated upon the initial passage of FSMA but well before the proposed Produce Safety Rule (PSR) was released and they have not undergone significant revisions since 2011. Due to the continued demand for the Florida Build Your Own Farm Food Safety Manual workshops along with the impending implementation of the PSR, there is a clear need to revise the now severely outdated curriculum to aid in the development of FSMA-compliant food safety documentation and practices. We propose to:

- (1) revise the existing workshop materials and develop two training demonstration sites,
- (2) deliver the revised training to expanded audiences, and
- (3) develop evaluation tools and assess short- and medium-term learning and impact.

Participants will develop an improved understanding of foundational food safety principles and practices that support the production of safe food, as well as FSMA compliance, through the visualization of key food safety issues discovered through hands-on, problem-based exercises at a demonstration farm and packinghouse and they will be equipped with the framework of a personalized farm food safety plan to organize records required by the PSR and meet buyer requirements. Fiscal Year 2018: In addition to continuing to solicit pilot, community outreach and multistate projects. A stakeholder listening session was held in January, 2017 for consideration of future recommendations to the FY 2018 program priority. As a result, we intend to incorporate a technical assistance component that will provide support to small and mid-sized producers and processors.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-safety-outreach-program>.

10.329 CROP PROTECTION AND PEST MANAGEMENT

COMPETITIVE GRANTS PROGRAM

Crop Protection and Pest Management (CPPM)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

The Consolidated Appropriations Act of 2014 (H.R. 3547) provided NIFA with funding for competitive grants programs authorized under section 406 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7626), including the Crop Protection and Pest Management Program. These funds are available to support integrated, multifunctional agricultural research, extension, and education activities. Subject to the availability of appropriations to carry out this program, the Secretary may award grants to colleges and universities (as defined by section 1404 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) (7 U.S.C. 3103)), as amended, on a competitive basis for projects that address priorities in United States agriculture and involve integrated research, education, and extension activities, as determined by the Secretary in consultation with the National Agricultural Research, Extension, Education, and Economics Advisory Board. , 7 U.S.C 7626.

OBJECTIVES:

CPPM was created to consolidate five previous NIFA programs with related purposes. CPPM will provide funding to address critical state, regional and national integrated pest management (IPM) needs to ensure food security and effectively respond to other major societal challenges.

The CPPM program supports projects that address high priority IPM challenges with coordinated state, regional, and national research and extension efforts. The impact of these research and extension efforts will be increased by the establishment of communication networks and stakeholder participation in setting priorities.

Effective FY 2014, the CPPM program provided support for projects to conduct applied research and development, extension implementation, and regional coordination.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The new CPPM program provides support for three linked programs that emphasize research (discovery), extension (translation) of that knowledge, and enhanced coordination, collaboration and communications among related CPPM programs and grantees. The three program areas are:

Applied Research and Development Program Area (ARDP)

To enhance the development and implementation of innovative, ecologically-based, sustainable IPM tactics and strategies that address regional and/or national IPM priorities.

Extension Implementation Program Area (EIP)

To assure the implementation of IPM through extension activities and coordination with other EIP grantees and other CPPM program areas based on defined state, multi-state, regional, national, or international needs.

Regional Coordination Program Area (RCP)

To increase coordination and improve efficiency of IPM research and extension efforts; facilitate collaboration across states and disciplines; and promote further development and adoption of IPM through regional pest management information networks, collaborative team building and broad-based stakeholder participation. Section 716 of the Consolidated Appropriation Act, 2014, limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, you should limit your request for the recovery of indirect costs to the lesser of your institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

When NIFA is not the cognizant Federal agency. The applicant should use the

current negotiated indirect cost rate established by its cognizant Federal agency (the agency that provides the most funds). If awarded, the applicant will be required to produce a negotiated indirect cost rate agreement from the cognizant agency in order to recover indirect costs. Applicants may request (i.e., budget) indirect costs using the lesser of: (a) the negotiated rate; or (b) the maximum statutory rate stated in the Request for Application, if any. If unable to obtain a negotiated rate from its cognizant agency, the applicant is not permitted indirect cost reimbursement. Rather, the applicant may only be reimbursed for allowable direct costs. Violation of Cost Accounting Standards is not permitted when re-budgeting or charging costs to awards.

When NIFA is the cognizant Federal agency. If the applicant does not have a negotiated rate, and NIFA is the cognizant agency, the applicant must calculate an indirect cost rate in order to request indirect costs. NIFAs indirect cost website provides several sample indirect cost rate calculations. NIFAs website is found at: http://nifa.usda.gov/business/indirect_cost_process.html.

During the application process, the applicant is not required to complete the entire indirect cost package identified on NIFAs website. Rather, the applicant need only calculate an indirect cost rate to serve as a basis for requesting indirect costs. If awarded, the applicant will be required to submit a complete Indirect Cost Proposal (ICP) package as explained on NIFAs indirect cost website.

Grant funds awarded under this authority may not be used to renovate or refurbish research, education, or extension space; purchase or install fixed equipment in such space; or the plan, repair, rehabilitate, acquire, or construction of buildings or facilities. Fully discretionary.

Applicant Eligibility:

Colleges and universities (as defined in section 1404 of NARETPA) (7 U.S.C. 3103) are eligible to submit applications for the CPPM program. Section 1404 of NARETPA was amended by section 7101 of the Food, Conservation, and Energy Act of 2008 (FCEA) to define Hispanic-serving Agricultural Colleges and Universities (HSACUs), and to include research foundations maintained by eligible colleges or universities.

For the purposes of this program, the terms college and university mean an educational institution in any state which (1) admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such a certificate; (2) is legally authorized within such state to provide a program of education beyond secondary education; (3) provides an educational program for which a bachelors degree or any other higher degree is awarded; (4) is a public or other nonprofit institution; and (5) is accredited by a nationally recognized accrediting agency or association. Applications also may be submitted by 1994 Land-Grant Institutions (see Part VIII, E), HSACUs, and research foundations maintained by eligible colleges or universities.

Section 7206 of the Farm Security and Rural Investment Act of 2002 amended section 406(b) of AREERA to add the 1994 Land-Grant Institutions as eligible to apply for grants under this authority.

Beneficiary Eligibility:

Colleges and universities (as defined in section 1404 of NARETPA) (7 U.S.C. 3103) are eligible to submit applications for the CPPM program. Section 1404 of NARETPA was amended by section 7101 of the Food, Conservation, and Energy Act of 2008 (FCEA) to define Hispanic-serving Agricultural Colleges and Universities (HSACUs), and to include research foundations maintained by eligible colleges or universities.

For the purposes of this program, the terms college and university mean an educational institution in any state which (1) admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such a certificate; (2) is legally authorized within such state to provide a program of education beyond secondary education; (3) provides an educational program for which a bachelors degree or any other higher degree is awarded; (4) is a public or other nonprofit institution; and (5) is accredited by a nationally recognized

accrediting agency or association. Applications also may be submitted by 1994 Land-Grant Institutions (see Part VIII, E), HSACUs, and research foundations maintained by eligible colleges or universities.

Section 7206 of the Farm Security and Rural Investment Act of 2002 amended section 406(b) of AREERA to add the 1994 Land-Grant Institutions as eligible to apply for grants under this authority.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/crop-protection-and-pest-management>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov.

Applicants must complete the Grants.gov registration process. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Formal applications are solicited and should be submitted to USDA, NIFA, as outlined in the guidelines and/or request for applications. Application procedures are contained in the guidelines or request for applications.

Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

2 CFR Part 200 Subpart C and Appendix I apply to this program.

2 CFR Part 400 applies to this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 180 days. Specific details are provided in the Request for Applications (RFA) each fiscal year.

Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:

<http://nifa.usda.gov/grants>
<http://www.grants.gov>

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: When a grant provides a particular benefit to a specific agricultural commodity, the grant recipient must match awarded USDA funds with cash and in-kind contributions on dollar-for-dollar basis from non-Federal sources (see RFA for specific details).

NIFA may waive the matching funds requirement for a grant if we determine that:

(a) the results of the project, while of particular benefit to a specific agricultural commodity, are likely to be applicable to agricultural commodities generally; or

(b) the project involves a minor commodity, the project deals with scientifically important research, and the grant recipient is unable to satisfy the matching funds requirement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length of projects under this program varies. In accordance with statutory time limits, project periods, including no-cost extensions of time, are from one (1) to five (5) years.

2 CFR Part 200, Subpart D applies to this program. Method of awarding/releasing assistance: by letter of credit.

Reports:

REEdport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEdport). Initial reporting (item a. below) is to be submitted through the REEdport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEdport system. Information on REEdport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reepport> and the REEdport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEdport Database--

Research, Education, and Extension project online reporting tool (REEdport) All projects must be documented in REEdport. The NIFA contact for all REEdport documentation is:

REEdport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEdport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the

information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. **PROGRESS REPORTS:**

See above for pertinent and specific details. **EXPENDITURE REPORTS:**

See above for pertinent and specific details. **PERFORMANCE MONITORING:**

See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1502-0-1-352.

Obligations:

(Project Grants (Discretionary)) FY 16 \$16,290,485; FY 17 est \$18,919,797; and FY 18 est \$13,783,495 - SPECIAL NOTES:

(1) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/crop-protection-and-pest-management>

TAFS Codes:

12-2017-2017-1502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year (FY) 2016, the National Institute of Food and Agriculture (NIFA) funded four (4) continuation Regional Coordination Program Area projects; i.e. one (1) Regional Integrated Pest Management Center in each USDA region. Three (3) awards were for \$1,000,000 each and one (1) award was \$1,150,000.

NIFA funded 49 continuation Extension Implementation Program Area projects ranging from \$62,500 to \$289,000 to fund an extension integrated pest management coordination projects at eligible institutions.

NIFA competitively funded 14 new awards for the Applied Research and Development Program Area in FY 2016. These awards from \$200,000 to \$325,000 funded integrated pest management research (single-function), research-led, or extension-led projects for two (2) to four (4) years.

The funding success rate was 20%. Fiscal Year 2017: In Fiscal Year (FY) 2017, NIFA anticipates funding four (4) continuation Regional Coordination Program Area projects, i.e. one (1) Regional Integrated Pest Management Center in each USDA region. Three (3) awards are projected to be \$1,000,000 each and one (1) award is projected to be \$1,150,000.

NIFA anticipates competitively funding 53 continuation Extension Implementation Program Area awards up to \$300,000 per year to fund integrated pest management extension coordination projects at eligible institutions. The funding success rate is anticipated to be 98% and the award total is anticipated to be approximately be \$10.5 million.

NIFA anticipates competitively funding 15 new awards for the Applied Research and Development Program Area in FY 2017 for a total of approximately \$4.1 million. These awards, from \$200,000 to \$325,000 in size, will fund integrated pest management research (single-function), research-led, or extension-led projects for two (2) to four (4) years.

The anticipated funding success rate is approximately 16%.

NIFA will provide pertinent data on the individual projects to be provided at a future date. Fiscal Year 2018: In Fiscal Year (FY) 2018, NIFA anticipates competitively funding four (4) continuation Regional Coordination Program Area projects, i.e. one (1) Regional Integrated Pest Management Center in each USDA region. Three (3) awards are projected to be \$1,000,000 each and one (1) award is projected to be \$1,150,000.

NIFA anticipates funding 53 continuation Extension Implementation Program Area awards up to \$300,000 per year to fund integrated pest management extension coordination projects at eligible institutions across the U.S.

NIFA anticipates competitively funding 13-20 new awards for the Applied Research and Development Program Area in FY 2017 for a total of approximately \$4.1 million. These awards from \$200,000 to \$325,000 will fund integrated pest management research (single-function), research-led, or extension-led projects for 2-4 years.

NIFA anticipates that the funding success rate will be approximately 17% to 28%.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:
<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.
<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability (IFPS) 1400 Independence Avenue, SW, STOP 2240, Washington, District of Columbia 20024 Email: Policy@nifa.usda.gov Phone: (202) 401-1761 Fax: (202) 401-1782

Website Address:

<http://nifa.usda.gov/program/crop-protection-and-pest-management-competitive-grants-program>

RELATED PROGRAMS:

10,200 Grants for Agricultural Research, Special Research Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Awards in Fiscal Year (FY) 2016 included projects for three (3) program areas in the Crop Protection and Pest Management Program: the Regional Coordination Program Area, the Extension Implementation Program Area, and the Applied Research and Development Program Area.

The following are examples of funded projects:

(1): Regional Coordination Program Area Award. A \$1 million award, A Western IPM Center Led by California, Oregon, and Arizona, will bring together the expertise needed to successfully address high-priority pest-management issues confronting farmers, pest managers, communities, and others in the West. The Center obtains ongoing stakeholder input on IPM needs and provides extensive regional coordination for addressing IPM priorities and integrating IPM research, extension, and education in the West. The Center will continue to facilitate partnerships among researchers, pest managers, communities, and others in the West.

(2): Extension Implementation Program Area Award. A \$186,000 continuation award, Crop Protection and Pest Management Extension Implementation for South Carolina to Advance Stakeholder Adoption in Key Commodities, will

focus on economically important commodity and IPM priority areas for South Carolina including IPM in Agronomic Crops (corn, cotton, soybean and sorghum) and IPM in Specialty Crops (peaches, strawberries and vegetables including those produced on limited resource and minority-owned farms, and nursery crops). The main program focus will be on Extension activities to transfer scientific research and new knowledge to state stakeholders through a combination of formal and informal training methods, including field demonstrations and experiential learning.

(3): Applied Research and Development Program Area Award. A \$323,491 award, Developing and Delivering IPM Strategies to the Rapidly Expanding U.S. Hop Industry, will develop and implement IPM strategies against pests and diseases for hop growers across the United States.

(4): An Applied Research and Development Program Area Award. A \$324,880 award, Remote Scouting for Soybean Pests Using Spectral Reflectance and Unmanned Aerial Systems, will develop "remote scouting" for soybean pests by utilizing spectral sensors deployed on UAS to assess and distinguish pest infestations in soybean fields.

(5): An Applied Research and Development Program Area Award. A \$324,940 award, Development of Integrated Pest Management Strategies for Commercial Dairies: Formulating Dairy Total Mixed Rations that are Resistant to Bird Depredation, develop a new integrated pest management tool to mitigate invasive European starling damage in commercial dairies. Fiscal Year 2017: Awards for projects for Fiscal Year (FY) 2017 are anticipated to include projects on integrated pest management topics for the three (3) program areas in the Crop Protection and Pest Management Program: Regional Coordination Program Area, Extension Implementation Program Area, and the Applied Research and Development Program Area.

NIFA anticipates awarding the four (4) continuation awards for the Regional Coordination Program Area as described above to fund Regional Integrated Pest Management Centers at approximately \$1,000,000 each. NIFA will provide pertinent data of these awards at a future date.

NIFA anticipates competitively awarding approximately 53 continuation awards for the Extension Implementation Program Area at approximately \$300,000 each.

NIFA anticipates awarding 15 new competitive proposals for the Applied Research and Development Program Area for an approximate total of \$4.1 million. These awards will fund integrated pest management research (single-function), research-led, or extension-led projects. Applied Research (single-function) projects will develop innovative, ecologically-based, sustainable IPM strategies and systems that address regional and/or national IPM priorities. Research-led projects enhance the adoption of innovative, ecologically-based, sustainable IPM strategies and systems. Extension-led projects extend implementation of innovative, ecologically-based, sustainable IPM strategies and systems by IPM practitioners and growers.

NIFA will provide pertinent data of these awards at a future date. Fiscal Year 2018: Awards for projects for Fiscal Year (FY) 2018 are anticipated to include projects on integrated pest management topics for three (3) program areas included in the Crop Protection and Pest Management Program: Regional Coordination Program Area, Extension Implementation Program Area, and the Applied Research and Development Program Area.

NIFA anticipates awarding the four (4) competitive awards for the Regional Coordination Program Area as described above to fund four (4) Regional Integrated Pest Management Centers at approximately \$1,000,000 each.

NIFA anticipates awarding approximately 53 continuation awards for the Extension Implementation Program Area at approximately \$300,000 each.

NIFA anticipates soliciting new competitive proposals for the Applied Research and Development Program Area for an approximate total of \$4.1 million.

These awards, projected at approximately 13-20, will fund integrated pest management research (single-function), research-led, or extension-led projects. Applied Research (single-function) projects will develop innovative, ecologically-based, sustainable IPM strategies and systems that address regional and/or national IPM priorities. Research-led projects enhance the adoption of innovative, ecologically-based, sustainable IPM strategies and systems. Extension-led projects extend implementation of innovative, ecologically-based, sustainable IPM strategies and systems by IPM practitioners and growers.

Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/crop-protection-and-pest-management> t.

10.330 ALFALFA AND FORAGE RESEARCH PROGRAM (AFRP)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

High-Priority Research and Extension Initiatives, 7 U.S.C 5925(d)(8).

OBJECTIVES:

To improve alfalfa and forage yield and seed yield of crops grown for propagation, improve persistence of plantings, reduce pest pressure for both forage and seed production, improve genetic quality of commercial cultivars and reduce losses during harvest and storage.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Secretary may award competitive grants for collaborative projects that are mutually beneficial to the United States and other countries and encourage private sector involvement.

Applicant Eligibility:

- (1) State agricultural experiment stations;
- (2) colleges and universities;
- (3) university research foundations;
- (4) other research institutions and organizations;
- (5) Federal agencies,
- (6) national laboratories;
- (7) private organizations or corporations;
- (8) individuals who are U.S. citizens or permanent residents; and
- (9) any group consisting of 2 or more entities identified in (1) through (8).

Beneficiary Eligibility:

- (1) State agricultural experiment stations;
- (2) colleges and universities;
- (3) university research foundations;
- (4) other research institutions and organizations;
- (5) Federal agencies,
- (6) national laboratories;
- (7) private organizations or corporations;
- (8) individuals who are U.S. citizens or permanent residents; and
- (9) any group consisting of 2 or more entities identified in (1) through (8).

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/alfalfa-and-forage-research-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable.

All RFAs are published on the Agency's website and Grants.gov.

Applicants must complete the Grants.gov registration process.

An environmental impact statement is required for this program. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.htm>

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/alfalfa-and-forage-research-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

(a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;

(b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;

(c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;

(d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/alfalfa-and-forage-research-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/alfalfa-and-forage-research-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/alfalfa-and-forage-research-program>.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/alfalfa-and-forage-research-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: by letter of credit.

Reports:

REReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reereport> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport) All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

(1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent

one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. (6/21/17 FYI ONLY: NIFA utilizes the Federal Financial Report, Form SF-425 to monitor cash outlays.). **PROGRESS REPORTS:** See above for pertinent and specific details. **EXPENDITURE REPORTS:** See above for pertinent and specific details. **PERFORMANCE MONITORING:** See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 16 \$1,853,634; FY 17 est \$2,082,970; and FY 18 est \$0 - SPECIAL NOTES:

(1) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/alfalfa-and-forage-research-program>.

TAFS Codes:

12-2017-2017-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: For the Fiscal Year (FY) 2016 award cycle, \$1,853,634 was available for grant awards.

A total of twenty (20) applications were received that requested a total of \$4,870,015.

A peer-review panel evaluated these applications in June, 2016. The peer panels included faculty from land grant universities and scientists from industry and a Federal laboratory.

Funds were available to support a total of eight (8) new Integrated Research and Extension Projects awards totaling \$1,854,554.

The funding success rate for this program in FY 2016 was 40% for new awards.

This program seeks to improve the yield of alfalfa forage and seed crops, improve persistence of production fields, reduce pest and pathogen pressure, improve germplasm by breeding, and reduce losses in harvesting and storage of the crop.

Fiscal Year 2017: For the Fiscal Year (FY) 2017 award cycle, \$2,082,970 was available for grant awards.

A total of 14 applications were received that requested a total of \$3,459,886.

In June, 2017, a peer-review panel evaluated the applications. The peer panel included faculty from land grant universities and scientists from industry and a Federal lab.

Funds were available to support a total of eight (8) new awards as Integrated Research & Extension Projects totaling \$2,083,835.

There was a 57% funding success rate for the FY 2017 program.

This program seeks to establish interdisciplinary and multi-location research and extension networks to address national priorities or regional science needs of the U.S. alfalfa forage industry. It supports the development of improved alfalfa forage and seed production systems, practices, and supporting technologies. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded.

Pertinent details to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:
<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.
<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, Deputy Director Institute of Food Production and Sustainability (IFPS), Division of Plant Systems - Production, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250 Email: Policy@nifa.usda.gov Phone: 202-401-5024 Fax: 202-401-1782

Website Address:

<http://nifa.usda.gov/program/agronomic-forage-crops-program>

RELATED PROGRAMS:

10.310 Agriculture and Food Research Initiative (AFRI)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: 1. Impact of Microbial Inoculants on the Quality and Fermentation Stability of Alfalfa Round-bale Baleage

Alfalfa is a high protein and highly digestible forage for beef cattle producers and dairies. This project looks at microbial inoculant technologies to improve fermentation of alfalfa grass baleage to improve the appeal of alfalfa to beef and dairy producers. To date the program has successfully trained local producers on improving the establishment of alfalfa acreage as evidenced by increased skill by producers in producing high quality alfalfa and alfalfa-mixed baleage. In addition the team has trained county agents in successful management practices. Three (3) field days have been held.

2. Forage Quality Improvement in Reduced-Lignin Alfalfa Monoculture and Alfalfa-Grass Binary Mixtures

Alfalfa provides multiple ecosystem services in addition to being an important rotation crop. Reduced lignin alfalfa is a more digestible feed and therefore will increase the value of alfalfa as a feed. This project will look at using new varieties of reduced lignin alfalfa grown in both monoculture and mixed stands. To date this study has established their plots of alfalfa in addition to mixed stand plots with orchardgrass and meadow fescue. In addition, one (1) sample cutting was harvested and photos have been taken to analyze grass stands. The team has also begun doing educational extension presentations at forage specific meetings in throughout New York State.

3. Potato Leafhopper Threshold Revised for Alfalfa Host Resistance and Alfalfa Grass Mixtures

Potato Leafhopper is a pest that has a high negative impact on alfalfa crops and therefore alfalfa economics. This study will look at resistant varieties and potential mixtures that can decrease damage by leafhopper. In addition, this study will also create guidelines that establish threshold values so producers will know when it is economically advantageous to apply insecticide. This study will look at leafhopper in three (3) states: Maryland Ohio and Wisconsin. To date, the project has established field plots in all locations. Samples from the first year have been collected but no results have been attained yet.

4. Reestablishing IPM Recommendations for Aphids in Alfalfa Hay in the Low Desert.

Currently aphids are a serious pest for alfalfa, but most of the time broad spectrum insecticides are used to control them. An economic threshold of aphid has never been established or alternatives looked at in the low desert. This project will establish an economic threshold and investigate the efficacy of selective insecticide management for aphids in alfalfa. Guidelines have been shared with growers and the team sees them using these management practices. Survey data showed 25% of producers are using targeted insecticides relative to broad spectrum. In addition, the team identified a natural biological control agent, and entomopathogenic fungi that infects aphids, and are starting to develop communication about this.

5. Developing Molecular Markers for Enhancing Resistance to Drought and High Salinity in Alfalfa

Alfalfa, the fourth most widely produced crop in the US, yield is limited by water. Much of the crop is irrigated and with climate change effects, adaptation to drought and salt tolerance will be beneficial traits. This project will identify germplasm with drought and salt resistance and develop populations with a high percentage of resistance. The project has identified 20 traits of interest and evaluated. Greenhouse plants were tested for four (4) traits related to salt stress: Dry weight, plant height, chlorophyll content and stomatal conductance and plant performance was assessed. 24 potential molecular markers have been

identified that will be used for marker-assisted breeding in the future. Fiscal Year 2017: 1. Developing Regionally-adapted, resilient alfalfa germplasm pools

This project develops germplasm pools selected to improve various traits and targeted to Northern and Southern regions of the US. The pools give breeders access to unique genetic material. Last, the team will access this diversity and share results through field days.

2. Proximal and remote sensing of alfalfa canopies for early detection of insect stress and rapid integrated pest management decision making

This project looks at detecting alfalfa pest injury early through radiation technology. Specific focus is on the potato leafhopper. Technologies will be used to locate stress which will allow for targeted management.

3. Determining Genetic Factors that Influence Forage Quality in Alfalfa

This project uses Total Track Neutral Detergent Fiber Digestibility (TTNDFD) to determine the utilization and digestibility of hay by dairy animals. TTNDFD will be assessed on 200 selected alfalfa varieties and/or accessions from throughout the world. Quality differences in the varieties will be determined and linked to the plant genetics. Information on the best varieties will be disseminated to growers and plant breeding programs.

4. Developing an attractant for *Lygus hesperus* derived from host plant volatile compounds

This project will improve alfalfa management practices by studying the chemical communication between alfalfa and an emerging pest, *L. hesperus*. The project will develop alfalfa stink bug attractants by isolating a biological compound. The approach will decrease the use of pesticide used in alfalfa seed production.

5. Development of grazing recommendations and on-farm decision tools for managing alfalfa-grass mixtures in the Southeastern US

This project looks at alfalfa management in the Southeast. Strategies for grazing and decision tools to support efficient forage utilization and stand survival in mixed alfalfa pastures will be developed. Alfalfa can help producers improve efficiency without expanding acreage. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded.

Data is not yet available. Pertinent information will be provided by program at a future date once received.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/alfalfa-and-forage-research-program>.

10.331 FOOD INSECURITY NUTRITION INCENTIVE GRANTS PROGRAM

Food Insecurity Nutrition Incentive Program (FINI)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

The Agricultural Act of 2014 (Pub. L. 113-79) amended Section 4405 of the Food, Conservation, and Energy Act of 2008 (7 U.S.C. 7517) and established the competitive grant program, the Food Insecurity Nutrition Incentive program (FINI).

OBJECTIVES:

The Food Insecurity Nutrition Incentive (FINI) grant program is a competitive program. Projects funded under this program provide incentives to low-income consumers participating in the supplemental nutrition assistance program (SNAP) to purchase fruits and vegetables at the point of sale. The projects supported by this program have the support of State agencies and include use of effective and efficient benefit redemption technologies that can serve as a replicable model for benefit redemption.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The FINI grant program projects will provide incentives to low-income consumers participating in SNAP to increase their purchase of fruits and vegetables. These projects will be evaluated for their success in increasing the purchase of fruits and vegetables and improving nutrition and health outcomes. Proposals must have the support of the State agency, provide incentives at the point of sale, and include effective and efficient technologies for benefit redemption systems that could be replicated.

All FINI grant program recipients will be required to participate in a comprehensive FINI program evaluation conducted by an independent evaluator and conduct a self-evaluation of their own project. The applicant shall perform a substantive portion of the project and no more than 50 percent of FINI Projects (FP), FINI Pilot Projects (FPP), and FINI Large Scale Projects (FLSP) as determined by budget expenditures, may be subawarded.

NIFA will allow applicants to indicate in their proposal if they intend to subgrant more than 50% of the award. This deviation will require NIFA approval.

Projects may divide their budget allocations between partners as it fits their work plan. (For additional knowledge or expertise that is not available within the applicant organization, funds for expert consultation may be included in the All Other Direct Costs section of the proposed budget.)

When preparing budgets, applicants should limit their request for the recovery of indirect costs to the official negotiated indirect cost rate or the de minimis rate of 10% of modified total direct costs (MTDC).

Any non-Federal entity that has never received a negotiated indirect cost rate may elect to charge a de minimis rate of 10% of modified total direct costs (MTDC). (2 C.F.R. 200.414(f)).

When NIFA is not the cognizant Federal agency. The applicant should use the current negotiated indirect cost rate established by its cognizant Federal agency (the agency that provides the most funds). If awarded, the applicant will be required to produce a negotiated indirect cost rate agreement from the cognizant agency in order to recover indirect costs. Applicants may request (i.e., budget) indirect costs using the lesser of: (a) the negotiated rate; or (b) the maximum statutory rate stated in the RFA, if any. If unable to obtain a negotiated rate from its cognizant agency, the applicant is not permitted indirect cost reimbursement. Rather, the applicant may only be reimbursed for allowable direct costs. Violation of Cost Accounting Standards is not permitted when re-budgeting or charging costs to awards.

When NIFA is the cognizant Federal agency. If the applicant does not have a negotiated rate, and NIFA is the cognizant agency, the applicant must calculate an indirect cost rate in order to request indirect costs. NIFAs indirect cost website provides several sample indirect cost rate calculations. NIFAs website is found at: http://nifa.usda.gov/business/indirect_cost_process.html.

During the application process, the applicant is not required to complete the entire indirect cost package identified on NIFAs website. Rather, the applicant need only calculate an indirect cost rate to serve as a basis for requesting indirect costs. If awarded, the applicant will be required to submit a complete Indirect Cost Proposal (ICP) package, as explained on NIFAs indirect cost website

In the case of a for-profit entity, the non-Federal share of the award cannot

include services of an employee, including salaries paid or expenses covered by the employer.

Applicant Eligibility:

Eligible entities include governmental agencies and nonprofit organizations.

Beneficiary Eligibility:

Eligible entities include governmental agencies and nonprofit organizations.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

<https://nifa.usda.gov/funding-opportunity/food-insecurity-nutrition-incentive-fi-ni-grant-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All Requests for Applications (RFAs) are published on the Agency's website and Grants.gov.

Applicants must complete the Grants.gov registration process.

All applicants must have the support of the State agency prior to submitting a proposal. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-insecurity-nutrition-incentive-fi-ni-grant-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

(a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;

(b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;

(c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;

(d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-insecurity-nutrition-incentive-fi-ni-grant-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/food-insecurity-nutrition-incentive-fi-ni-grant-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/food-insecurity-nutrition-incentive-fi-ni-grant-program>.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 100%. This program has a 100% match requirement with non-Federal funds.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/food-insecurity-nutrition-incentive-fi-ni-grant-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: by letter of credit.

Reports:

REReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reepport> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport) All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the

expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. Cash reports are not applicable. **PROGRESS REPORTS:** See above for pertinent and specific details. **EXPENDITURE REPORTS:** See above for pertinent and specific details. **PERFORMANCE MONITORING:** See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

(Project Grants) FY 16 \$16,776,000; FY 17 est \$16,758,000; and FY 18 est \$21,015,000 - SPECIAL NOTES:

(1) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/food-insecurity-nutrition-incentive-fi-ni-grant-program>.

TAFS Codes:

12-2017-2017-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: NIFA panel reviewed 77 proposals; and 27 projects were awarded for performance periods of up to four (4) years.

Total awards were \$16,776,000.

The success rate was 35%. Fiscal Year 2017: NIFA panel reviewed 85 applications and 32 projects were awarded for performance periods of up to four (4) years.

Total awards were \$16,758,000.

The success rate was 38%. Fiscal Year 2018: It is projected that 85 applications will be received and 35 awards will be granted in FY 2018, totaling \$21,015,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Safety and Nutrition (IFSN), 1400 Independence Ave., SW STOP 2255, Washington, District of Columbia 20024 Email: Policy@nifa.usda.gov Phone: (202) 720-0740 Fax: (202) 401-4888

Website Address:

<http://nifa.usda.gov/program/food-insecurity-nutrition-incentive-fini-grant-program>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Double UP Food Bucks Flint: The Double Up Food Bucks in Flint, continues to enable any fruit, vegetable or milk purchase with Supplemental Nutrition Assistance Program (SNAP) benefits to earn customers matching Double Up Food bucks that can be spent on additional fresh produce. The new transaction technology pioneered in Flint is allowing shoppers to carry their benefits on a Double Up card between the farmers market and participating stores in Flint. This technology is being expanded to additional sites that will launch in Flint in 2017, as well as two (2) new regions statewide. From June 1, 2016 through June 30th, 2017, in Flint, SNAP customers have brought home \$277,689 worth of additional fresh fruits and vegetables. A 141% increase compared to the same time-period a year earlier. Fiscal Year 2017: The fresh Checks for Fresh Produce in the Takoma/Langley Crossroads Food Insecurity Nutrition Incentive (FINI) Project aims to bring affordable, fresh fruits and vegetables to the Takoma/Langley Crossroads community, a primarily immigrant, low-income area that straddles Maryland's Montgomery and Prince Georges Counties just outside Washington, D.C. The goal of the project is to increase purchases of locally grown, culturally appropriate, fresh fruits and vegetables among 1,000 SNAP shoppers at the established Crossroads Farmers Market and in the markets growing Community Supported Agriculture (CSA) program through expansion of the Fresh Checks incentive program. By providing incentives to double the amount of produce low-income shoppers can buy, they will increase consumption of fruits and vegetables, leading to improved nutrition, and thereby, health.

The FARMACY Health Improvement Program will provide vouchers at a dollar per day per household member to qualifying patients at the MCHC clinic facilities to be redeemed at local farmers markets for the purchase of fresh, locally-produced fruits and vegetables in Southeast Kentucky. In order to qualify for the program, patients will have one (1) of the following medical conditions: pregnant; juvenile onset diabetes; adult onset diabetes; hypertension; and/or obesity.

The program is also working closely with the Bell County University of Kentucky agricultural extension office. Fiscal Year 2018: Information is not yet available. Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-insecurity-nutrition-incentive-fini-grant-program>.

10.334 ENHANCING AGRICULTURAL OPPORTUNITIES FOR MILITARY VETERANS COMPETITIVE GRANTS PROGRAM

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Consolidated Appropriations Act, 2017 (H.R. 244) , Section 760.

OBJECTIVES:

The purpose of the Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program is to provide grants to nonprofit organizations for programs and services to establish and enhance farming and ranching opportunities for military veterans.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used to provide grants to nonprofit organizations for programs and services to establish and enhance farming and ranching opportunities for military veterans. Section 713 of the Consolidated Appropriations Act, 2017 (Pub. L. 115-31) limits indirect costs to 30 percent of the total federal funds provided (or 42.857 percent of total direct costs) under each award.

Applicant Eligibility:

Funds may be used to provide grants to nonprofit organizations for programs and services to establish and enhance farming and ranching opportunities for military veterans.

Beneficiary Eligibility:

Funds may be used to provide grants to nonprofit organizations for programs and services to establish and enhance farming and ranching opportunities for military veterans.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

- (i) Be registered in SAM before submitting its application;
- (ii) Provide a valid DUNS number in its application; and
- (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/enhancing-agricultural-opportunities-military-veterans-competitive-grants>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/enhancing-agricultural-opportunities-military-veterans-competitive-grants>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/enhancing-agricultural-opportunities-military-veterans-competitive-grants>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/enhancing-agricultural-opportunities-military-veterans-competitive-grants>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/enhancing-agricultural-opportunities-military-veterans-competitive-grants>.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. This program has no matching requirements. NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-coo>

[perative-agreements-october-2016](#).

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/enhancing-agricultural-opportunities-military-veterans-competitive-grants>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REEReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reerport> and the REEReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEReport Database--

Research, Education, and Extension project online reporting tool (REEReport) All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is:

REEReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the

expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).
1890 Facilities Terms and Conditions (dated May, 2017).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. See above for pertinent and specific details. See above for pertinent and specific details. See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements,

but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$4,797,500; and FY 18 est \$0 - Section 760 of the Consolidated Appropriations Act, 2017 (H.R. 244) hereby appropriated \$5,000,000, to remain available until September 30, 2018.

The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/enhancing-agricultural-opportunities-military-veterans-competitive-grants>.

TAFS Codes:

12-2017-2018-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Represents a newly authorized and funded program.

Section 760 of the Consolidated Appropriations Act, 2017 (H.R. 244) hereby appropriated \$5,000,000, to remain available until September 30, 2018. Approximately \$4.8 million will be available to fund this program. NIFA is working with USDAs Farm Service Agency and plans to release the Request for Applications no later than October 1, 2017. The anticipated deadline is November 22, 2017. Fiscal Year 2018: Pertinent details will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the

standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community (IYFC), Division of Family and Consumer Sciences, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20024 Phone: (202) 720-5305 Fax: (202) 720-9366

Website Address:

<https://nifa.usda.gov/funding-opportunity/enhancing-agricultural-opportunities-military-veterans-competitive-grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Not applicable. Fiscal Year 2018: Represents a newly authorized and funded program. Pertinent details will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via:
<https://nifa.usda.gov/funding-opportunity/enhancing-agricultural-opportunities-military-veterans-competitive-grants>.

10.336 VETERINARY SERVICES GRANT PROGRAM

VSGP

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 7104 of the Agricultural Act of 2014 (the Farm Bill) (H.R. 2642) , 7 U.S.C 3151b.

OBJECTIVES:

The purpose of the Veterinary Services Grant Program (VSGP) is to relieve veterinarian shortage situations and support veterinary services. The Secretary

shall carry out a program to make competitive grants to qualified entities that carry out programs or activities for the purpose of developing, implementing, and sustaining veterinary services through education, training, recruitment, placement, and retention of veterinarians and veterinary students. Grants will also be made to establish or expand veterinary practices.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants awarded under this Program shall be considered to be competitive research, extension, or education grants. .

USES:

Funds provided may be used for any of the following purposes:

(A) To promote recruitment (including for programs in secondary schools), placement, and retention of veterinarians, veterinary technicians, students of veterinary medicine, and students of veterinary technology.

(B) To allow veterinary students, veterinary interns, externs, fellows, and residents, and veterinary technician students to cover expenses (other than the types of expenses described in section 1415A(c)(5)) to attend training programs in food safety or food animal medicine.

(C) To establish or expand accredited veterinary education programs (including faculty recruitment and retention), veterinary residency and fellowship programs, or veterinary internship and externship programs carried out in coordination with accredited colleges of veterinary medicine.

(D) To provide continuing education and extension, including veterinary telemedicine and other distance-based education, for veterinarians, veterinary technicians, and other health professionals needed to strengthen veterinary programs and enhance food safety.

(E) To provide technical assistance for the preparation of applications submitted to the Secretary for designation as a veterinarian shortage situation under this section or section 1415A. RESTRICTIONS:

PROHIBITION ON USE OF GRANT FUNDS FOR CONSTRUCTION -

Funds may not be used to:

- 1) Construct a new building or facility; or
- (2) Acquire, expand, remodel, or alter an existing building or facility, including site grading and improvement and architect fees.

Section 713 of the Consolidated Appropriations Act, 2016 (Pub. L. 114-113) limits indirect costs to 30 percent of the total Federal funds provided under each award.

Qualified entities operating veterinary clinics may only use the funds provided by a grant awarded under this section to establish or expand veterinary practices, including:

- (A) Equipping veterinary offices;
- (B) Sharing in the reasonable overhead costs of such veterinary practices; or
- (C) Establishing mobile veterinary facilities in which a portion of the facilities will address education or extension needs.

SPECIAL REQUIREMENTS FOR CERTAIN GRANTS:

(1) TERMS OF SERVICE REQUIREMENTS.

(A) IN GENERAL

Funds provided through a grant made under this section to a qualified

entity described in subsection (a)(1)(A) and used by such entity under subsection (d)(2) shall be subject to an agreement between the Secretary and such entity that includes a required term of service for such entity (including a qualified entity operating as an individual), as established by the Secretary.

(B) CONSIDERATIONS

In establishing a term of service under subparagraph (A), the Secretary shall consider only:

- (i) The amount of the grant awarded; and
- (ii) The specific purpose of the grant.

(2) BREACH REMEDIES.-

(A) IN GENERAL

An agreement under paragraph (1) shall provide remedies for any breach of the agreement by the qualified entity referred to in paragraph (1)(A), including repayment or partial repayment of the grant funds, with interest.

(B) WAIVER

The Secretary may grant a waiver of the repayment obligation for breach of contract if the Secretary determines that such qualified entity demonstrates extreme hardship or extreme need.

(C) TREATMENT OF AMOUNTS RECOVERED.

Funds recovered under this paragraph shall:

- (i) Be credited to the account available to carry out this section; and
- (ii) Remain available until expended without further appropriation. Fully discretionary.

Applicant Eligibility:

A qualified entity shall be eligible to receive a grant described in paragraph (1) if the entity carries out programs or activities that the Secretary determines will:

- (A) Substantially relieve veterinarian shortage situations;
- (B) Support or facilitate private veterinary practices engaged in public health activities; or (C) support or facilitate the practices of veterinarians who are providing or have completed providing services under an agreement entered into with the Secretary under section 1415A(a)(2).

The term qualified entity means:

- (A) A for-profit or nonprofit entity located in the United States that, or an individual who, operates a veterinary clinic providing veterinary services;
- (i) In a rural area, as defined in section 343(a) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1991(a)); and
- (ii) In a veterinarian shortage situation;
- (B) A State, national, allied, or regional veterinary organization or specialty board recognized by the American Veterinary Medical Association;
- (C) A college or school of veterinary medicine accredited by the American Veterinary Medical Association;
- (D) A university research foundation or veterinary medical foundation;
- (E) A department of veterinary science or department of comparative medicine accredited by the Department of Education;

(F) A State agricultural experiment station; or

(G) A State, local, or tribal government agency.

The term veterinarian shortage situation means a veterinarian shortage situation as determined by the Secretary under section 1415A.

Beneficiary Eligibility:

A qualified entity shall be eligible to receive a grant described in paragraph (1) if the entity carries out programs or activities that the Secretary determines will:

- (A) Substantially relieve veterinarian shortage situations;
- (B) Support or facilitate private veterinary practices engaged in public health activities; or (C) support or facilitate the practices of veterinarians who are providing or have completed providing services under an agreement entered into with the Secretary under section 1415A(a)(2).

The term qualified entity means:

- (A) A for-profit or nonprofit entity located in the United States that, or an individual who, operates a veterinary clinic providing veterinary services;
- (i) In a rural area, as defined in section 343(a) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1991(a)); and
- (ii) In a veterinarian shortage situation;
- (B) A State, national, allied, or regional veterinary organization or specialty board recognized by the American Veterinary Medical Association;
- (C) A college or school of veterinary medicine accredited by the American Veterinary Medical Association;
- (D) A university research foundation or veterinary medical foundation;
- (E) A department of veterinary science or department of comparative medicine accredited by the Department of Education;
- (F) A State agricultural experiment station; or
- (G) A State, local, or tribal government agency.

The term veterinarian shortage situation means a veterinarian shortage situation as determined by the Secretary under section 1415A.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

- (i) Be registered in SAM before submitting its application;
- (ii) Provide a valid DUNS number in its application; and
- (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is

not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/program/veterinary-services-grant-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Preapplication coordination is not applicable.

Environmental impact information is not required for this program.

For the reasons set forth in the final Rule related Notice to 2 CFR part 415, subpart C, this program is excluded from the scope of the Executive Order 12372 which requires intergovernmental consultation with State and local officials.

COORDINATION PREFERENCE

In selecting recipients of grants to be used for any of the purposes described in subsection (d)(1), the Secretary shall give a preference to qualified entities that provide documentation of coordination with other qualified entities, with respect to any such purpose.

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications should be submitted as outlined in the RFA.

Applicants must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal.

Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/program/veterinary-services-grant-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 180 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:
<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <http://nifa.usda.gov/program/veterinary-services-grant-program>.

Appeals:

Not applicable.
2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/program/veterinary-services-grant-program>.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/program/veterinary-services-grant-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REEport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEport Database--

Research, Education, and Extension project online reporting tool (REEport)
All projects must be documented in REEport. The NIFA contact for all REEport documentation is:

REEport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

(1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

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A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide

the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

1890 Facilities Terms and Conditions (dated May, 2017).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. Cash reports are not applicable. PROGRESS REPORTS:

See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$2,358,265; FY 17 est \$2,358,242; and FY 18 est \$0 - The Veterinary Services Grant Program (VSGP) was recently authorized in the Agricultural Act of 2014.

NOTES:

(1) The difference between the appropriation and obligation numbers reflects legislatively authorized set-asides deducted as appropriate.

(2) The Veterinary Services Grant Program is not listed in the Presidents FY 2018 Budget Proposal.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:
<https://nifa.usda.gov/program/veterinary-services-grant-program>.

TAFS Codes:

12-2017-2017-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: During Fiscal Year (FY) 2016, 38 applications were received (11 for Rural Practice Enhancement program and 27 for the Education, Extension and Training program).

Four (4) awards were granted for RPE and eight (8) for EET.

Total funding was \$2,358,265. Fiscal Year 2017: For Fiscal Year (FY) 2017, 49 applications were received (23 for Rural Practice Enhancement and 26 for the Education, Extension and Training program).

Six (6) awards will be granted for the RPE program and seven (7) for EET.

Total funding is \$2,358,242. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is projected that more than 50 applications will be received and 12 to 16 awards will be granted, totaling \$2.4 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:
<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE
NIFAs Federal Assistance Policy Guide describes agency policies and procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS
Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA
When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS
The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.
<https://nifa.usda.gov/federal-regulations>

FOIA
The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE
The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE
The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT
NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability (IFPS) 1400 Independence Avenue, SW, STOP 2240, Washington, District of Columbia 20024 Email: Policy@nifa.usda.gov Phone: (202) 401-6802 Fax: (202) 401-6488

Website Address:

<https://nifa.usda.gov/program/veterinary-services-grant-program>

RELATED PROGRAMS:

10.313 Veterinary Medicine Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: This was the first award year for this Newly Authorized & Funded Program. First awards were made during September 2016 and annual progress reports have not yet been received from awardees.

Pertinent details to be provided by Program at a future date. Fiscal Year 2017: Under the Rural (veterinary) Practice Enhancement (RPE) program area, six (6) awards will be made in September, 2017. These competitively awarded grants to veterinarians or veterinary business entities will support projects designed to enhance capacity and capability of awardees for-profit or nonprofit veterinary practices to serve designated veterinary service shortage areas.

Under the Education, Extension & Training (EET) program area, seven (7) awards will be made in September, 2017. These competitively awarded grants, which can be applied for by several different types of eligible applicant entities including (but not limited to) educational institutions, professional organizations, foundations, and state/tribal governments, will support projects designed to provide specialized formal and informal educational opportunities for veterinarians and veterinary technicians to gain specialized skills and knowledge promoting the successful practice of food supply veterinary medicine in underserved areas. Fiscal Year 2018: This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded.

However, if the program is funded, it is projected that the FY 2018 appropriations for this program will be the same as for FY 2017: It is projected that under the Rural (veterinary) Practice Enhancement (RPE) program area, there will be six (6) to eight (8) awards.

These competitively awarded grants to veterinarians or veterinary business entities will support projects designed to enhance capacity and capability of awardees for-profit or nonprofit veterinary practices to serve designated veterinary service shortage areas.

Under the Education, Extension & Training (EET) program area, six (6) to eight (8) awards are projected.

These competitively awarded grants, which can be applied for by several different types of eligible applicant entities including (but not limited to) educational institutions, professional organizations, foundations, and state/tribal

governments, will support projects designed to provide specialized formal and/or informal educational opportunities for veterinarians and veterinary technicians to gain specialized skills and knowledge promoting the successful practice of food supply veterinary medicine in underserved areas.

Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

The Request for Applications (RFA) will identify the criteria to be evaluated for selection.

COORDINATION PREFERENCE:

In selecting recipients of grants to be used for any of the purposes described in subsection (d)(1), the Secretary shall give a preference to qualified entities that provide documentation of coordination with other qualified entities.

10.350 TECHNICAL ASSISTANCE TO COOPERATIVES

N/A

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Cooperative Marketing Act of 1926.

OBJECTIVES:

To develop and administer research, technical assistance, statistical, and educational programs on finances, organization, management, legal, social, and economic aspects of rural cooperatives, focusing on farmer cooperatives.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; PROVISION OF SPECIALIZED SERVICES; TRAINING

USES AND USE RESTRICTIONS:

Services include facilitating strategic planning, board of director training, member surveys, and feasibility analysis. Services are limited to rural residents, including groups interested in forming cooperatives as well as established cooperatives.

Applicant Eligibility:

Farmer and rural cooperatives and rural residents in all U.S. States and Territories.

Beneficiary Eligibility:

Ultimate beneficiaries must be located in rural areas.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Requests for technical assistance for an established cooperative should come from the cooperatives board of directors. Requests for assistance with forming a cooperative should be from a steering committee on behalf of the interested group. All requests must be in writing and submitted to the National Office.

Award Procedure:

Requests are considered on a first-come, first-served basis and limited to available staff resources.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Requests are typically approved/disapproved within 30-60 days.

Appeals:

N/A.

Renewals:

N/A.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available year-round. Assistance is typically provided for specific activities that will take less than a year. See the following for information on how assistance is awarded/released: No funds are disbursed to recipients. Assistance is provided directly by Agency staff.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. No additional audit procedures are required for this program.

Records:

No record retention is required.

Account Identification:

12-1900-0-1-452.

Obligations:

(Advisory Services on Compliance) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - No funds are available for obligation. Agency staff time is the only available resource for this program.

Range and Average of Financial Assistance:

N/A.

TAFS Codes:

12-1900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

USDA, Rural Business-Cooperative Services, 1400 Independence Avenue, SW
Mail Stop 3201, Room 5803-South
, Washington, District of Columbia 20250 Email: cpgrants@wdc.usda.gov
Phone: 202-690-1374

Website Address:

<http://www.rd.usda.gov/about-rd/agencies/rural-business-cooperative-service>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.351 RURAL BUSINESS DEVELOPMENT GRANT

(RBDG)

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, Section 310B, 7 U.S.C 1932.

OBJECTIVES:

To promote sustainable economic development and facilitate the development of small and emerging private business, industry, and related employment for improving the economy in rural communities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Rural business development grants (RBDG) can be divided into 3 types of grants: Business opportunity, Business enterprise and Television demonstration grants. Funds may be used to assist in the economic development of rural areas by providing technical assistance, training, and planning for businesses. Business enterprise type grants may be used to create, expand, or operate rural distance learning networks or programs that provide educational or job training instruction related to potential employment or job advancement for adult students; develop, construct or acquisition land, buildings, plants, equipment, access streets and roads, parking areas, utility extensions, necessary water supply and waste disposal facilities; refinancing; services and fees; and to establish a revolving loan fund. Television demonstration grant (TDG) funds may be used for television programming to demonstrate the effectiveness of providing information on agriculture. Rural business development grants (RBDG) can be divided into 3 types of grants: Business opportunity, Business enterprise and Television demonstration grants. Funds may be used to assist in the economic development of rural areas by providing technical assistance, training, and planning for businesses. Business enterprise type grants may be used to create, expand, or operate rural distance learning networks or programs that provide educational or job training instruction related to potential employment or job advancement for adult students; develop, construct or acquisition land, buildings, plants, equipment, access streets and roads, parking areas, utility extensions, necessary water supply and waste disposal facilities; refinancing; services and fees; and to establish a revolving loan fund. Television demonstration grant (TDG) funds may be used for television programming to demonstrate the effectiveness of providing information on agriculture.

Applicant Eligibility:

Applicants eligible for RBD grants are government entities, Indian tribes or nonprofit corporations serving rural areas such as States, counties, cities, townships, and incorporated towns and villages, boroughs, authorities, districts and Indian tribes on Federal and State reservations which will serve rural areas. Applicants eligible for TD grants are statewide, private, nonprofit, public television systems whose coverage is predominantly rural. Rural area for this program is defined as a city, town, or unincorporated area that has a population of 50,000 inhabitants or less, other than an urbanized area immediately adjacent to a city, town, or unincorporated area that has a population in excess of 50,000 inhabitants.

Beneficiary Eligibility:

Rural communities and small and emerging private business enterprises which will employ 50 or less new employees and have less than \$1.0 million in projected gross revenue. Government entities, private nonprofit corporations, and Federally recognized Indian tribes that receive the grant to assist a business type operation. Grants are not made directly to a business.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, and financial responsibility relative to the activity for which assistance is requested. 2 CFR 200 applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Application coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance. An environmental impact statement is required for

this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR and 2 CFR 400-418 applies to this program. Application Form SF-424 is filed at the Rural Development local office. The standard application forms as furnished by the Federal agency and required by 2 CFR and 2 CFR 400-418 must be used for this program.

Award Procedure:

After the application has been reviewed by the RD local office, it is forwarded to the RD State Office for review and processing instructions. Following approval by the State Office, funds are made available to the local office for final delivery. Notification of awards must be made to the designated State Central Information Reception Agency.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. 30 to 90 days.

Appeals:

Adverse actions by RBS in connection with this program may be appealed by contacting the Area Supervisor of the USDA National Appeals Division. Appeals will be handled in accordance with 7 CFR 1900-B and 7 CFR part 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: This program has no statutory formula or specific matching requirements. Funds are allocated to States on rural population and percent of nonmetropolitan per capita income. On occasion, the allocation to States may not be practical due to funding or administrative constraints. In these cases, funds will be controlled by the National Office. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, 90 to 120 days, subject to the availability of funding. See the following for information on how assistance is awarded/released: Grant funds are disbursed in multiple draws, generally on a reimbursement basis upon the written request of the grantee. Method of awarding/releasing assistance: lump sum.

Reports:

Periodic reports are made to RD. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Periodic reports are made to RD. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Progress reports are not applicable. Periodic reports are made to RD. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Periodic reports are made to RD. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-1902-0-1-452.

Obligations:

(Formula Grants) FY 16 Not Available; FY 17 est \$33,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

\$25,000 to \$500,000. Average is less than \$100,000.

TAFS Codes:

12-0406.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4284, Subpart B.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Consult your telephone directory for RD local office number. If not listed, get in touch with appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Rural Business-Cooperative Services, Specialty Programs Division, 1400 Independence Avenue, SW, Room 4204, Stop 3226, Washington, District of Columbia 20250 Phone: 202-720-1400

Website Address:

<http://www.rd.usda.gov/programs-services/rural-business-development-grants>

RELATED PROGRAMS:

10.766 Community Facilities Loans and Grants; 10.768 Business and Industry Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Projects selected for funding should, as much as practical, adhere to the following priorities: (1) Projects which will be located in communities having a large portion of their population with low incomes; (2) projects which will save existing jobs; (3) projects which will create jobs; and (4) projects located in areas with high unemployment rate.

10.352 VALUE-ADDED PRODUCER GRANTS

VAPG

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

7 USC 1632a.

OBJECTIVES:

To help agricultural producers enter into value-added activities related to the processing and/or marketing of bio-based, value-added products. Generating new products, creating and expanding marketing opportunities, and increasing producer income are the goals of this program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The VAPG program offers two types of grants to support activities directly related to the processing and/or marketing of value-added agricultural products. Planning grants provide funding for activities necessary to determine the

economic viability of a potential value-added venture, including development of feasibility studies and business plans. Working capital grants provide funds for the day-to-day operating expenses of the venture associated with processing and/or marketing a value-added product. An application may be for either Planning or Working Capital activities, but not for both. Funds may not be used for agricultural production, construction, the purchase of real property, or the purchase/lease of fixed equipment and vehicles. All available funds are discretionary funds and must be awarded through a national competition.

Applicant Eligibility:

Applicants are not eligible if they have been debarred or suspended or otherwise excluded from participation in Federal assistance programs under Executive Order 12549, Debarment and Suspension. Applicants are not eligible if they have an outstanding judgement obtained by the U.S. in a Federal Court (other than U.S. Tax Court), are delinquent on the payment of Federal income taxes, or are delinquent on a Federal debt. Any corporation that has been convicted of a felony criminal violation under any Federal law within the past 24 months or that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, is not eligible for funding.

Beneficiary Eligibility:

Agricultural producers.

Credentials/Documentation:

Applicants must demonstrate legal capacity and provide evidence of good standing in their State of operations. Applicants for Working Capital funds must provide an independent feasibility study and business plan completed specifically for the proposed value-added project, except as otherwise noted in 7 CFR 4284.922(b)(6)(i and ii). Applicants have the option to demonstrate eligibility for Reserved Funds and/or Priority Points, as applicable. Applicants must provide a detailed budget supporting the work plan that identifies all sources and uses of funds during the project period. Applicants must provide authentic documentation from the source of matching funds at time of application to confirm the eligibility and availability of both cash and in-kind contributions. Applicants must certify that matching funds will be spent in advance of grant funds. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must follow the procedures described in an annual Federal Register notice.

Award Procedure:

Applications are evaluated for eligibility for the program. Eligible applications are reviewed by independent reviewers and ranked according to published evaluation criteria. Applications are funded in rank order until available funds have been expended.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 100 to 150 days after the application submission deadline.

Appeals:

Applicants may appeal adverse decisions in accordance with 7 CFR Part 11. The Agency provides instructions on how to appeal with each adverse decision.

Renewals:

N/A.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50%. Matching funds are subject to the same restrictions as grant funds.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are typically made during September each year. The Period of Performance is 1-3 years. See the following for information on how assistance is awarded/released: Funds are disbursed as often as monthly, as requested by the recipient using Form SF-270.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports must be submitted semi-annually. Expenditure reports must be submitted semi-annually using Form SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. No additional audit procedures are required for this program.

Records:

Recipients must comply with 2 CFR 200.333-.337.

Account Identification:

12-1900-0-1-452.

Obligations:

(Salaries) FY 16 Not Available; FY 17 est \$11,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Average = \$120,000

Range = \$5,000 (minimum) to \$250,000 (maximum).

TAFS Codes:

12-1900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4284, Subpart J

Regional or Local Office:

See Regional Agency Offices. Contact information for USDA Rural Development State Offices is available at: <http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

USDA, Rural Business-Cooperative Service 1400 Independence Avenue, SW Mail Stop 3253, Room 4208-South, Washington, District of Columbia 20250 Email: cpgrants@wdc.usda.gov Phone: 202-690-1374

Website Address:

<http://www.rd.usda.gov/programs-services/value-added-producer-grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated based on 6 criteria: (1) Nature of the Proposed Venture, (2) Qualifications of Key Personnel, (3) Commitments and Support, (4) Work Plan/Budget, (5) Priority Points, (6) Administrators Discretionary Points. Applicants should review 7 CFR 4284.942 and the annual Federal Register notice for details on how these criteria will be scored.

10.405 FARM LABOR HOUSING LOANS AND GRANTS

(Labor Housing)

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Sections 514 and 516, Public Laws 89-117 and 89-754, 42 U.S.C 1484 and 1486.

OBJECTIVES:

To provide decent, safe, and sanitary low-rent housing and related facilities for domestic farm laborers.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

The loans and grants may be used for construction, repair, or purchase of year-round or seasonal housing; acquiring the necessary land and making improvements on land for housing; and developing related support facilities including central cooking and dining facilities, small infirmaries, laundry facilities, day care centers, other essential equipment and facilities or recreation areas. Funds may also be used to pay certain fees and interest incidental to the project. Restrictions on the use of funds are: Developers' fees, resident services, cost of unrelated commercial space, costs associated with other lenders/grantors. Housing financed with labor housing loan or grant funds must be occupied by domestic farm laborers, individuals who derive a substantial portion of their income from farm labor, and their families. The occupants must also be a U.S. citizen or permanent resident.

Applicant Eligibility:

Loans are available to farmers, family farm partnership, family farm corporations, or an association of farmers. Loans and grants are available to States, Puerto Rico, the U.S. Virgin Islands, political subdivisions of States, broad-based public or private nonprofit organizations, federally recognized Indian Tribes and non-profit corporations of farm workers. Grants are available to eligible applicants only when there is a pressing need and when it is doubtful that such facilities could be provided unless grant assistance is available.

Beneficiary Eligibility:

A domestic farm laborer is any person who receives a substantial portion of his/her income as a laborer on a farm in the United States and is either (1) a citizen of the United States, or (2) has been legally admitted for permanent residency.

Credentials/Documentation:

The applicant must furnish factual evidence of the following: (a) The number of domestic farm laborers currently being used in the area; (b) the kind of labor performed; (c) the future need for domestic farm labor in the area; (d) the kind, condition, and adequacy of housing presently used for such labor; (e) ownership of presently occupied housing; (f) ability of workers to pay necessary rent; and (g) with the exception of State and local public agencies, be unable to provide housing from its own resources or credit on terms and conditions that would enable the applicant to provide labor housing. Costs will be determined in accordance with 7 CFR part 3015 or 3016 for State and local governments. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Section 514/516 Program is awarded through Notice of Solicitation of Applications (NOSA) announced in the Federal Register.

Award Procedure:

Applications will be scored on the following factors: (1) The presence and extent of leveraged assistance for the units that will serve RHS income-eligible tenants at basic rents comparable to those if RHS provided full financing, computed as a percentage of the RHS total development cost. A minimum of ten percent leveraged assistance is required to earn points; however, less than ten percent and the proposal includes donated land, two points will be awarded

for the donated land, (0 to 20 points); (2) Seasonal, temporary, migrant housing (5 points for up to and including 50 percent of the units; 10 points for 51 percent or more); National Office initiative will be based on the presence of and extent to which a tenant services plan exists that clearly outlines services that will be provided to the residents of the proposed project. Two points will be awarded for each resident service included in the tenant services plan up to a maximum of 10 points (0 to 10 points). Application selection process: (1) States will review and score the applications and submit a list of applications in rank and point score order to the National Office; (2) the National Office will rank applications on a nation-wide basis and will advise States of the results.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. RHS uses a two-stage application process for the Farm Labor Housing Program. First, applicants submit preapplications, which are used to determine preliminary eligibility and feasibility. RHS then invites some applicants to submit formal applications. Section 514/516 funds are divided between off-farm housing and on-farm housing. The off-farm program provides loans and grants to organizations that assist farm workers at off-farm locations with no restrictions that workers be employed on a particular farm. Under new regulations effective June 1999, preapplications for the off-farm program funds must be submitted in response to a Notice of Solicitation of Applications (NOSA) published in the Federal Register every year. RHS scores the preapplications, using a ranking process to determine which applicants will be invited to submit formal applications. The on-farm program makes loans to eligible farmers (or a group of farmers) to provide Housing, usually for their own laborers. Preapplications for on-farm units, or for repair and rehabilitation of existing off-farm units, may be submitted at any time and are processed on a first-come, first-served basis.

Appeals:

From 15 to 30 days. A person or organization who is directly and adversely affected by an administrative decision by Rural Development should follow the procedures found in 7 CFR part 1900, Subpart B of "Rural Development Administrative Appeal Procedures."

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 10%. Section 516 grants for off-farm FLH may not exceed the lesser of 90 percent of the total development cost (TDC) as provided in 7 CFR 3560.562(c)(1).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loans are usually made for 33 years at 1 percent interest. Grants may cover up to 90 percent of development cost. The balance may be (and usually is) a Section 514 loan. RHS rental assistance (RA) subsidy can be used to limit tenant payments to 30 percent of their income. RA can be used as an operating subsidy for projects or units with Section 516 funding that serve migrants. It is RHS policy to attempt to use less than a 90 percent grant when RA is utilized. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Adequate operating tenant, and accounting records must be maintained by borrower.

Account Identification:

12-4141-0-3-371; 12-2081-0-4-371; 12-2004-0-1-604.

Obligations:

(Direct Loans) FY 16 \$27,000,000; FY 17 est \$23,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4215.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR part 3560; Subpart L

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for Rural Development Area Office number. If no listing, contact appropriate Rural Development State Office listed in Appendix IV of the Catalog or on the internet at <http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

USDA Rural Development, Preservation and Direct Loan Division, 1400 Independence Ave. SW., Mail Stop 0782, Washington, District of Columbia 202500781 Phone: (202) 720-1604.

Website Address:

<http://www.rurdev.usda.gov>

RELATED PROGRAMS:

10.427 Rural Rental Assistance Payments

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A clearly defined need must exist to provide housing for domestic farm workers.

10.410 VERY LOW TO MODERATE INCOME HOUSING LOANS

(Section 502 Rural Housing Loans)

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949.

OBJECTIVES:

To assist very low, low-income, and moderate-income households to obtain modest, decent, safe, and sanitary housing for use as a permanent residence in rural areas.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Direct and guaranteed loans may be used to buy, build, or improve the applicant's permanent residence. New manufactured homes may be financed when they are on a permanent site, purchased from an approved dealer or contractor, and meet certain other requirements. Under very limited circumstances, homes may be re-financed with direct loans. Dwellings financed must be modest, decent, safe, and sanitary. The value of a home financed with a direct loan may not exceed the area limit. The property must be located in an eligible rural area. Assistance is available in the States, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of Northern Mariana's, and the Trust Territories of the Pacific Islands. Applicants must have very low-, low- or moderate incomes. Very low-income is defined as below 50 percent of the area median income (AMI); low-income is between 50 and 80 percent of AMI; moderate income is below 115 percent of AMI. Families must be without adequate housing, but able to afford the housing payments, including principal, interest, taxes, and insurance (PITI). Qualifying repayment ratios are 29 percent for PITI to 41 percent for total debt. In addition, applicants must be unable to obtain credit elsewhere, yet have an acceptable credit history. The property must be located

in an eligible rural area. Direct loans are made at the interest rate specified in RD Instruction 440.1, Exhibit B (available in any Rural Development local office), and are repaid over 33 years or 38 years for applicants whose adjusted annual income does not exceed 60 percent of the area median income, if necessary to show repayment ability. Payment assistance is granted on direct loans to reduce the installment to an "effective interest rate" as low as one percent, depending on adjusted family income. Payment assistance is subject to recapture by the government when the customer no longer resides in the dwelling. There is no funding provided for deferred mortgage authority or loans for deferred mortgage assumptions. Guaranteed loans may be made to refinance either existing RD Guaranteed Housing loans or RHS Section 502 Direct Housing loans. Guaranteed loans are amortized over 30 years. The interest rate is negotiated with the lender.

Applicant Eligibility:

Applicants must have very low-, low- or moderate incomes. Very low-income is defined as below 50 percent of the area median income (AMI); low-income is between 50 and 80 percent of AMI; moderate income is below 115 percent of AMI. Families must be without adequate housing, but able to afford the housing payments, including principal, interest, taxes, and insurance (PITI). Qualifying repayment ratios are 29 percent for PITI to 41 percent for total debt. In addition, applicants must be unable to obtain credit elsewhere, yet have an acceptable credit history.

Beneficiary Eligibility:

Applicants must meet eligibility requirements. Guaranteed Loan Low and Moderate income eligible.

Credentials/Documentation:

Applicants may need to submit evidence of inability to obtain credit elsewhere, verification of income, debts, and other information on the application; plans, specifications, and cost estimates. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For direct loans, application is made at the Rural Development field office serving the county where the dwelling is or will be located. For guaranteed loans, application is made to a participating private lender.

Award Procedure:

Rural Development field offices have authority to approve most Direct loan requests. Processing of guaranteed loans varies in each State. Consult your local telephone directory under U.S. Department of Agriculture for a Rural Development field office listing or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing. If no backlog exists, decisions on direct loan applications are made within 30 to 60 days. Requests for guarantee loans are acted upon in 3 days of receipt of the lender's request for guarantee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

For direct loans, from 30 to 60 days subject to availability of funds, from the time the application is filed if no backlog of applications exists. A 'pre-qualification' may be provided to potential direct loan applicants upon call or visit to a Rural Development office, though results are not binding. For guarantees, a decision is required within 3 days of loan package submission by the approved lender.

Appeals:

Agency regulations providing customers with the rights for an informal review, mediation or alternative dispute resolution (ADR), or appeal to the National Appeals Division (NAD) are contained in 7 CFR Part 3550. NAD regulations are found at 7 CFR Part 11. Requests for an informal review, mediation and ADR must be received within 30 days from the date of the adverse decision.

Requests for an appeal to NAD must be received within 30 days of receipt of the adverse decision.

Renewals:

Applicants may reapply at any time.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no matching requirements. A basic formula is used to allocate program funds to various States. The criteria used in the basic formula include the States percentage of the National: Number of rural occupied substandard units, rural population, rural population in places of less than 2,500 population, number of rural households between 50 and 80 percent of the area median income, and number of rural households below 50 percent of the area median income. The data source for each of these criteria is based on the latest census data available.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

This assistance is available throughout the year by means of quarterly allocations. Funds may be disbursed at loan closing or deposited in a supervised bank account for purchase of a building site, and purchase or repair of a dwelling, or in multiple advances for construction. For guaranteed loans, funds are disbursed after all work is complete. Applications for direct loans far exceed available funding. There is generally a waiting list for direct loan funding. Method of awarding/releasing assistance: quarterly.

Reports:

Borrowers receiving a payment subsidy must submit verification of household income annually for recalculation of benefits. No cash reports are required. Borrowers are not required to, but should retain copies of loan-related documents. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

12-4141-0-3-371; 12-2081-0-1-371.

Obligations:

(Direct Loans) FY 16 \$958,259,532; FY 17 est \$1,000,000,000; and FY 18 est \$0 - Fiscal Year 2018 figures are based on the President's proposed budget. (Guaranteed/Insured Loans) FY 16 \$16,357,336,854; FY 17 est \$19,138,100,000; and FY 18 est \$20,000,000,000 - Fiscal Year 2018 figures are based on the President's proposed budget.

Range and Average of Financial Assistance:

FY 16 Average: 502 Direct Loans (\$135,175), Guaranteed Loans (\$140,185)

FY 17 Average: 502 Direct Loans (\$137,440), Guaranteed Loans (\$145,900)

Average loan amounts for FY 17 are estimates.

Loans in high cost areas may be higher.

TAFS Codes:

12-2081; 12-4215.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3550 "Direct Single Family Housing Loans and Grants." For guaranteed loans, 7-CFR Part 3555 "Guaranteed Rural Housing Program"

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under U.S. Department of Agriculture for a Rural Development field office listing or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Myron L. Wooden, 1400 Independence Avenue, SW, Washington, District of Columbia 20250 Email: Myron.Wooden@wdc.usda.gov Phone: (804) 287-1559

Website Address:

<http://www.rurdev.usda.gov>

RELATED PROGRAMS:

10.405 Farm Labor Housing Loans and Grants; 10.417 Very Low-Income Housing Repair Loans and Grants; 10.420 Rural Self-Help Housing Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.415 RURAL RENTAL HOUSING LOANS

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Section 515 and 521, Public Law 102-550, 42 U.S.C. 1485, 1490a.

OBJECTIVES:

To provide economically designed and constructed rental and cooperative housing and related facilities suited for rural residents.

TYPES OF ASSISTANCE:

DIRECT LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Loans can be used to construct, or to purchase and substantially rehabilitate rental or cooperative housing or to develop manufactured housing projects. Housing as a general rule will consist of multi-units with two or more family units and any appropriately related facilities. Funds may also be used to provide approved recreational and service facilities appropriate for use in connection with the housing and to buy and improve the land on which the buildings are to be located. Loans may not be made for nursing, special care, or institutional-type homes. Grants are in the form of rental assistance for some units within the underwritten property. The term for an initial Section 515 loan is 30 years with a 50-year amortization period. However, the term for subsequent loans and loans for special types of properties, such as manufactured housing, may be made for a shorter term based on the projects expected useful life. Each loan is made at a note rate established by the Agency as prescribed in RD Instruction 440.1. Borrowers approved for initial and/or subsequent loans receive interest credit, which reduces the effective interest rate for the Agency's financing, thereby lowering the property's rents. In return for this below-market rate financing, the borrower agrees to lease the projects rental units to income-eligible households at rents approved by the Agency. Borrowers operate on a nonprofit or limited-profit basis.

Applicant Eligibility:

Applicants may be individuals, cooperatives, nonprofit organizations, State or local public agencies, profit corporations, trusts, partnerships, limited partnerships, and be unable to finance the housing either with their own resources or with credit obtained from private sources. However, applicants must be able to assume the obligations of the loan, furnish adequate security, and have sufficient income for repayment. They must also have the ability and intention of maintaining and operating the housing for purposes for which the loan is made. Loans may be made in communities up to 10,000 people in MSA areas and some communities up to 20,000 population in non-MSA areas. Applicants in towns of 10,000 to 20,000 should check with their local Rural Development office to determine if the agency can serve them. Assistance is available to eligible applicants in States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Northern Mariana's, and the Trust Territory of the Pacific Islands.

Beneficiary Eligibility:

Occupants must be very low-, low- or moderate-income families households, elderly, handicapped, or disabled persons.

Credentials/Documentation:

Applicants must furnish evidence of the following: (a) A comprehensive market analysis showing the need for such service; (b) a legal capacity to incur the

obligation and operate the housing; (c) a sound budget; (d) good credit history; (e) inability to obtain necessary funds from other sources on terms and conditions that would enable the applicants to rent the units for amounts that are within payment ability of eligible very low, low-, or moderate-income family, households, elderly, handicapped, or disabled occupants. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Section 515 Program is awarded through a Notice of Solicitation of Applications (NOSA) announced in the Federal Register.

Award Procedure:

Applications will be scored on the following factors: (1) the presence and extent of leveraged assistance for the units that will serve RHS income-eligible tenants at basic rents comparable to those if RHS provided full financing, computed as a percentage of the RHS total development cost. (0 to 30 points); (2) the units to be developed are in a Colonia, tribal land, EZ, EC, or REAP community, or in a place identified in the State Consolidated Plan or State Needs Assessment as a high need community for multifamily housing. ("State" refers to the State Government.) (20 points); (3) the loan request includes donated land meeting the provisions of 7 CFR section 3560.56(c)(1)(iv). (5 points); (4) in States where RHS has an on-going formal working relationship, agreement or Memorandum of Understanding (MOU) with the State to provide State resources (State funds, State RA, HOME funds, CDBG funds, or Low-Income Housing Tax Credits) for RHS proposals; or where the State provides preference or points to RHS proposals in awarding such State resources, 20 points will be provided to loan requests that include such State resources in an amount equal to at least 5 percent of the total development cost. (National office initiative) (20 points); (5) Presidentially Declared Disaster Area Pursuant to 7 CFR 3560.56(c)(1)(iii), points will be awarded if the property will be constructed or rehabilitated in a Presidentially declared disaster area in 2011. (10 points); and (6) Energy Initiatives, Properties may receive points for energy initiatives. (0 to 42 points).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Within 30 days, States will review and score the applications and submit a list of applications in rank and point score order to the National Office. Following the State's submission of applications to the National Office, within 30 days the National Office will rank applications on a nation-wide basis and advise States of the results.

Appeals:

Applicant may request reconsideration on the basis of pertinent facts concerning the application.

Renewals:

Applicants may reapply at the next Notice of Solicitation of Applications (NOSA).

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loans are for up to 30 years at an effective 1 percent interest rate, and are amortized over 50 years. A current rate is used for the promissory note but thereafter is used only to determine maximum rent payments. Tenants pay basic rent or 30 percent of adjusted income, whichever is greater. RHS rental

assistance subsidy can be used to limit tenant payment to 30 percent of their income. Loans made through contracts entered into on or after December 15, 1989 cannot be prepaid. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Monthly progress reports are to be made to the Rural Development Manager, during the first six months of successful operation and each month thereafter until discontinued by the Rural Development Manager. Annual reports required thereafter. Expenditure reports are not applicable. Annual reports required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Good business records required.

Account Identification:

12-4141-0-3-371; 12-2081-0-1-371.

Obligations:

(Direct Loans) FY 16 \$33,000,000; FY 17 est \$28,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-2081.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Refer to the NOSA which lists Offices to contact or consult your local telephone directory for Rural Development county or district office number. If no listing, get in touch with appropriate Rural Development State office listed in Appendix IV of the Catalog or on the internet at <http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

Director, Multi-Family Housing Processing Division, Rural Development Department of Agriculture, Washington, District of Columbia 20250 Phone: (202) 720-1604.

Website Address:

<http://www.rd.usda.gov>

RELATED PROGRAMS:

10.405 Farm Labor Housing Loans and Grants; 10.427 Rural Rental Assistance Payments

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.417 VERY LOW-INCOME HOUSING REPAIR LOANS AND GRANTS

(Section 504 Rural Housing Loans and Grants)

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, Title V, Section 504, as amended, Public Laws 89-117, 89-754, and 92.310, 42 U.S.C. 1474.

OBJECTIVES:

Section 504 loans and grants are intended to help very low-income

owner-occupants in rural areas repair their properties.

TYPES OF ASSISTANCE:

DIRECT LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Loan funds may be used to make general repairs and improvements to properties or to remove health and safety hazards. Grant funds may be used only to pay for the costs for repairs and improvements that will remove identified health and safety hazards or to repair or remodel dwellings to make them accessible for household members with disabilities. Loans and grants are typically used for repair or replacement of heating, plumbing or electrical services, roof or basic structure as well as water and waste disposal systems, and weatherization. Loans bear an interest rate of one percent and are repaid over a period up to 20 years. In addition to the above purpose, loan funds may be used to modernize the dwelling. Maximum loan amount cannot exceed a cumulative total of \$20,000 to any eligible person and maximum lifetime grant assistance is \$7,500 to any eligible person. The house must be located in an eligible rural area which does not exceed 10,000 population. Some places with populations between 10,000 and 25,000 may be eligible if not within a Metropolitan Statistical Area (MSA). Assistance is available in States, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of Northern Mariana's and the Trust Territories of the Pacific Islands.

Applicant Eligibility:

Applicants must own and occupy a home in a rural area; and be a citizen of the United States or reside in the United States after having been legally admitted for permanent residence or on indefinite parole. Loan recipients must have sufficient income to repay the loan. Grant recipients must be 62 years of age or older and be unable to repay a loan for that part of the assistance received as a grant. Applicant's income may not exceed the very low-income limit set forth in RD Instructions.

Beneficiary Eligibility:

Applicants must own and occupy a home in a rural area; and be a citizen of the United States or reside in the United States after having been legally admitted for permanent residence or on indefinite parole. Loan recipients must have sufficient income to repay the loan. Grant recipients must be 62 years of age or older and be unable to repay a loan for the part of the assistance received as a grant. Applicant's income may not exceed the very low-income limit set forth in RD Instructions.

Credentials/Documentation:

Evidence of ownership and verification of income and debts. Grant recipients must provide evidence of age. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must file an application form at the Rural Development field office serving the county where the dwelling is located.

Award Procedure:

Delegated to Local or Area Loan Approval Official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days from time applications are filed if no backlog of applications exists. Applications for assistance far exceed available funding. There is generally a waiting list for funding.

Appeals:

Agency regulations providing customers with the rights for an informal review, mediation or alternative dispute resolution (ADR), or appeal to the National Appeals Division (NAD) are contained in 7 CFR Part 3550. NAD regulations

are found at 7 CFR Part 11. Requests for an informal review, mediation and ADR must be received within 30 days from the date of the adverse decision. Requests for an appeal to NAD must be received within 30 days of receipt of the adverse decision.

Renewals:

Applicants may reapply at any time.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. The following basic formula criteria are to allocate program loan funds to various States: $(A \times .50 + B \times .50) \times \text{funds available} = \text{State basic formula allocation}$. Where "A" is State's percentage of national number of rural occupied units; and "B" is State's percentage of national number of rural households below 50 percent of area median income. The formula for grants is: $(A \times 1/3 + B \times 1/3 + C \times 1/3) \times \text{funds available} = \text{State basic allocation}$. Where "C" is State's percentage of national rural population 62 years of age and older. The data source for each of these criteria are based on the latest census data available. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

This assistance is available throughout the year by means of an established allocation system. Funds are usually released to borrowers/grantees as a lump sum payment for completed repairs or, as needed, for repairs in progress. See the following for information on how assistance is awarded/released: Contact regional office or headquarters as appropriate for additional information.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Borrowers and/or grantees are not required to, but should retain copies of loan or grant-related documents.

Account Identification:

12-4141-0-3-371; 12-2064-0-1-604; 12-2081-0-1-371.

Obligations:

(Direct Loans) FY 16 \$17,406,896; FY 17 est \$20,000,000; and FY 18 est \$0 - Fiscal Year 2018 estimates are based on the President's Proposed Budget. (Project Grants) FY 16 \$30,659,518; FY 17 est \$28,701,000; and FY 18 est \$0 - Fiscal Year 2018 estimates are based on the President's Proposed Budget.

Range and Average of Financial Assistance:

FY 2016 average loan amount is \$5,505. FY 2016 average grant amount is \$6,119
FY 2017 average loan amount is \$5,622. FY 2017 average grant amount is \$6,055

FY 2017 averages are estimates.

TAFS Codes:

12-1953; 12-4215; 12-2081.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3550, Direct Single Family Housing Loans and Grants. (no charge); Home Improvement and Repair Loans, PA-1184, no charge.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under Department of Agriculture for Rural Development county or district office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Myron L. Wooden, 1400 Independence Avenue, SW, Washington, District of Columbia 20250 Email: Myron.Wooden@wdc.usda.gov Phone: (804) 287-1559.

Website Address:

<http://www.rurdev.usda.gov>

RELATED PROGRAMS:

10.410 Very Low to Moderate Income Housing Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.420 RURAL SELF-HELP HOUSING TECHNICAL ASSISTANCE

(Section 523 Technical Assistance)

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Section 523, Public Laws 89-117 and 89-754, 42 U.S.C. 1490c.

OBJECTIVES:

To provide Self-Help Technical Assistance Grants to provide financial assistance to qualified nonprofit organizations and public bodies that will aid needy very low and low-income individuals and their families to build homes in rural areas by the self help method. Any State, political subdivision, private or public nonprofit corporation is eligible to apply. Section 523 Grants are used to pay salaries, rent, and office expenses of the nonprofit organization. Pre-development grants up to \$10,000 may be available to qualified organizations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible organizations may use technical assistance funds to hire the personnel to carry out a technical assistance program for self-help housing in rural areas; to pay necessary and reasonable office and administrative expenses; to purchase or rent equipment such as power tools for use by families participating in self-help housing construction; and to pay fees for training self-help group members in construction techniques or for other professional services needed. Funds will not be used to hire personnel to perform any construction work, to buy real estate or building materials, or pay any debts, expenses or costs other than previously outlined for participating families in self-help projects.

Applicant Eligibility:

Must be a State or political subdivision, public nonprofit corporation or a private nonprofit corporation. Assistance is authorized for eligible applicants in the United States, Puerto Rico, Virgin Islands, Guam, and the Northern Marianas.

Beneficiary Eligibility:

Very low and low-income rural families, usually in groups of 6 to 10 families.

Credentials/Documentation:

Applicants must furnish evidence of the need for such services, have legal capacity to incur the obligation and operate the proposed project, and be unable to obtain the necessary funds from other sources. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Form SF-424 is submitted to the Field office of the Rural Development (RD), including information attached to Part IV, fully documenting the applicant's experience, need for the grant and the proposed amount needed. Attachments relating to the

size of the proposed project, estimated cost, budget and need are also required. This program is subject to provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Award is made by the National office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 190 days from the time discussion begins.

Appeals:

Agency regulations providing customers with the rights for an informal review, mediation or alternative dispute resolution (ADR), or appeal to the National Appeals Division (NAD) are contained in 7 CFR Part 3550. NAD regulations are found at 7 CFR Part 11. Requests for an informal review, mediation and ADR must be received within 30 days from the date of the adverse decision. Requests for an appeal to NAD must be received within 30 days of receipt of the adverse decision.

Renewals:

Applicants may reapply at any time, if needed to help low-income families build homes in rural areas by the mutual self-help method is clearly evidenced.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are typically for a 2-year period, however, other time periods may be authorized if appropriate. Applications for assistance far exceed available funding. There is generally a waiting list for funding. See the following for information on how assistance is awarded/released: Award is made by the National Office.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports are to be made to the RD-Housing Programs District Office. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Annual audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements.

Records:

Adequate operating records must be maintained by Grantee.

Account Identification:

12-2006-0-1-604.

Obligations:

(Project Grants) FY 16 \$22,148,496; FY 17 est \$26,000,000; and FY 18 est \$0 - Fiscal Year 2018 estimates are based on the President's proposed budget.

Range and Average of Financial Assistance:

FY 2016 average grant assistance \$615,236.
FY 2017 average grant assistance \$702,000.

FY 2017 average amount is estimated.

TAFS Codes:

12-1953.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Subpart I of Part 1944; Chapter XVIII; RD Instruction 1944-I,

"Self-Help Technical Assistance Grants"; (PA 1254) (no charge).

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under Department of Agriculture for Rural Development county or district office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Myron L. Wooden 1400 Independence Avenue, SW, Washington, District of Columbia 20250 Email: Myron.Wooden@wdc.usda.gov Phone: (804) 287-1559

Website Address:

<http://www.rurdev.usda.gov>

RELATED PROGRAMS:

10.410 Very Low to Moderate Income Housing Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals are the evidence of a clearly defined need in an area for mutual self-help housing and the families in the area cannot afford or obtain a modest house by customary methods, and the applicant possesses the expertise to provide the technical assistance (TA) required.

10.427 RURAL RENTAL ASSISTANCE PAYMENTS

(Rental Assistance)

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Section 521, Public Laws 90-448 and 93-128, 42 U.S.C. 1490a.

OBJECTIVES:

To reduce the tenant contribution paid by low-income families occupying eligible Rural Rental Housing (RRH), Rural Cooperative Housing (RCH), and Farm Labor Housing (LH) projects financed by the Rural Housing Service (RHS) through its Sections 515, 514 and 516 loans and grants.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Rental assistance may be used to reduce the rents paid by low-income senior citizens or families and domestic farm laborers and families whose rents exceed 30 percent of an adjusted annual income. Tenants who may be eligible must occupy units in eligible RRH, RCH and LH projects financed by RHS.

Applicant Eligibility:

To be eligible to participate in the rental assistance program, borrowers must have an eligible project. All projects must convert to Interest Credit Plan II before they are eligible, except direct RRH and insured RRH loans approved prior to August 1, 1968, and LH loans and grants. For a borrower to have an eligible project, the loan must be an RRH insured or direct loan made to a broad-based nonprofit organization, or State or local agency or; an RRH insured loan made to an individual or organization who has or will agree to operate the housing on a limited profit basis as defined in 7 CFR 3560.254 (a) or; an RCH insured or direct loan or; an LH loan, or an LH loan and grant combination made to a broad-based nonprofit organization or nonprofit organization of farm workers or a State or local public agency. New construction and/or rehabilitation projects, utilizing the Section 8 program from HUD will not be considered eligible projects, although it may be used for eligible families in existing projects utilizing Section 8 for part of the units.

Beneficiary Eligibility:

Any very low and low-income family, handicapped or senior citizen that is unable to pay the approved rental rate for an eligible RHS rental assistance unit within 30 percent of their adjusted monthly income. Households eligible for rental assistance are those 1) whose net tenant contribution to rent, determined in accordance with 3560.203 (a)(2), is less than the basic rent for the unit; 2)

who meet the occupancy rules established by the borrower in accordance with 3560.155 (e); and 3) who have a signed, unexpired tenant certification form on file with the borrower. Additional eligibility requirements must be met for Section 514 Farm Labor Housing Program occupancy.

Credentials/Documentation:

The applicant must be the owner or plan to become an owner of an eligible RHS RRH,RCH, or LH project operating on a limited profit or nonprofit basis. This program is excluded from coverage under 7 CFR 3015 or 3016. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Eligible borrowers will initiate the processing by submitting Form RD 3560-25, "Request for Rental Assistance". Applications shall then be reviewed under the procedure set forth in 7 CFR 3560.255. This program is excluded from coverage under 7 CFR 3015 or 3016.

Award Procedure:

After the application has been reviewed by the Rural Development Manager, it is given final approval by the State Director. The State Director will determine the number of rental assistance units to be given from the total of units that were allocated to the State. Projects may be eligible for rental assistance for up to 100 percent of the number of units in the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 90 days from the time Form RD 3560-25, "Request for Rental Assistance" is filed.

Appeals:

Applicant may request reconsideration on the basis of pertinent facts concerning the application under the provisions of RD Instruction 1900-B.

Renewals:

Rental assistance contracts for new as well as existing projects may be renewed at the end of the original contract subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. The statistical factors used for fund allocation are: (1) State percentage of RHS rural population and the source is "2000 Census" updated by ERS; (2) State percentage of RHS rural housing units lacking plumbing and/or overcrowded and the source is "2000 Census"; and (3) State percentage of persons in poverty living in RHS rural areas and the source is "2000 Census".

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Rental assistance contracts shall be funded subject to the availability of funds and appropriation language. See the following for information on how assistance is awarded/released: See above.

Reports:

The borrower must initially submit Form RD 3560-8, "Tenant Certification," for each tenant. Subsequent tenant certifications must be obtained annually and submitted to the Rural Development Manager. Quarterly progress reports are to be made to the Rural Development Manager during the first year of successful operation and each quarter thereafter until discontinued by the Rural Development Manager. An annual report will also be necessary. No cash

reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Borrowers not covered by OMB Circular No. A-133, are required to provide annual financial reports in accordance with 7 CFR 3560.308.

Records:

Adequate operating records must be maintained by borrower.

Account Identification:

12-2002-0-1-604; 12-0137-0-1-604.

Obligations:

(Direct Payments for Specified Use) FY 16 \$1,389,695,000; FY 17 est \$1,405,033,000; and FY 18 est \$1,345,000,000

Range and Average of Financial Assistance:

From 30 to 90 days from the time Form RD 3560-25, "Request for Rental Assistance is filed.

TAFS Codes:

12-0137.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3560, Direct Multi-Family Housing Loans and Grants.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under U.S. Department of Agriculture for Rural Development county or district office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Deputy Director, Multi-Family Housing Portfolio Management Division, Rural Housing Service U.S. Department of Agriculture
1400 Independence Ave SW, Washington, District of Columbia 20250 Email: janet.stouder@wdc.usda.gov Phone: 202-720-9728

Website Address:

<http://www.rd.usda.gov/>

RELATED PROGRAMS:

10.405 Farm Labor Housing Loans and Grants; 10.415 Rural Rental Housing Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.433 RURAL HOUSING PRESERVATION GRANTS

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Section 533, Public Law 98-181, 42 U.S.C. 1480.

OBJECTIVES:

To assist very low- and low-income rural residents individual homeowners, rental property owners (single/multi-unit) or by providing the consumer cooperative housing projects (co-ops) the necessary assistance to repair or rehabilitate their dwellings. These objectives will be accomplished through the establishment of repair/rehabilitation, projects run by eligible applicants. This program is intended to make use of and leverage any other available housing

programs which provide resources to very low and low-income rural residents to bring their dwellings up to development standards.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Organizations may use less than 20 percent of the Housing Preservation Grant funds for program administration purposes, such as to hire the personnel to carry out a project of housing rehabilitation to meet the needs of very low and low-income persons in rural areas; to pay necessary and reasonable office and administrative expenses; and to pay reasonable fees for training of organization personnel. Eighty percent or more of funds must be used for loans, grants or other assistance on individual homes, homeowners, rental properties or co-ops to pay any part of the cost for repair or rehabilitation of structures; funds may not be used to hire personnel to perform construction or to pay any debts, expenses or costs other than previously outlined and approved in the project application.

Applicant Eligibility:

Must be a State or political subdivision, public nonprofit corporation, Indian tribal corporations, authorized to receive and administer housing preservation grants, private nonprofit corporation, or a consortium of such eligible entities. Applicants must provide assistance under this program to persons residing in open country and communities with a population of 10,000 that are rural in character and places with a population of up to 20,000 under certain conditions. Applicants in towns with population of 10,000 to 20,000 should check with local Rural Development office to determine if the Agency can serve them. Assistance is authorized for eligible applicants in the United States, Puerto Rico, Virgin Islands, and the territories and possessions of the United States.

Beneficiary Eligibility:

Very low and low-income rural individuals and families who are homeowners and need resources to bring their housing up to code standards, rental property owners, or co-ops.

Credentials/Documentation:

Applicants must have the financial, legal, administrative, and operational capacity to carry out the objectives of the program by having experience in rural housing rehabilitation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Section 533 program is awarded through a Notice of Solicitation of Applications (NOSA) announced in the Federal Register.

Award Procedure:

Award is made by the Rural Development State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notice of action taken on preapplications will be generally within 60 days of final date of acceptance of preapplication.

Appeals:

Applicants may request reconsideration on the basis of pertinent facts concerning their application within 30 days of notification of action taken on the preapplication or application.

Renewals:

Applicants may apply for an additional HPG grant when they have achieved or nearly achieved the goals established for the previous or existing grant. The

grantee must file a preapplication for the current fiscal year which will be processed and compared under the project selection criteria to others submitted at that time.

Formula and Matching Requirements:

Statutory Formula: Title 7 CFR 1940-L Methodology and Formulas for Allocation of Loan and Grant Funds.

This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are made for a 12-month period. Agency consent is required for grants that exceed 1 year. See the following for information on how assistance is awarded/released: The highest-ranking applicant(s) will be selected based on allocation of funds available to the state. Points are awarded based on: (1) percentage of very low-income persons that the applicant proposes to assist (2) percentage of HPG funds (excluding administrative costs) to total fund (3) demonstration of administrative capacity in assisting very low- and low-income persons to obtain adequate housing based on the organization or a member of its staff having at least one or more years experience successfully managing and operating; (a) a rehabilitation or weatherization type program, (b) a program assisting very low and low-income persons obtain housing assistance, (c) grant programs, there are no outstanding or unresolved audit or investigative findings which might impair carrying out the proposal. (4) The proposed program will be undertaken entirely in rural areas outside Metropolitan Statistical Areas (MSAs), as defined in 7 CFR 1944.656. (5) The program will use less than 20 percent of HPG funds for administration purposes. (6) The proposed program contains a component for alleviating overcrowding as defined in 7 CFR 1944.656. (7) In the event more than one preapplication receives the same amount of points, those pre-applications will then be ranked based on the actual percentage figure used for determining the points as described in the NOSA published in the Federal Register.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of grants and other agreements.

Records:

Grantees shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-2070-0-1-604.

Obligations:

(Project Grants) FY 16 \$3,800,000; FY 17 est \$4,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1953.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1944-N, Section 1944.651 through 1944.700, RD Instruction 1944-N. Regulations are available from Rural Development State offices.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under U.S. Department of Agriculture for Rural Development county or district office numbers or visit the website <http://www.rd.usda.gov/contact-us/state-offices> for a State Office listing.

Headquarters Office:

Multi-Family Housing, Preservation and Direct Loan Division, Rural Development U.S. Department of Agriculture
1400 Independence Avenue, S.W., Washington, District of Columbia
20250-0788 Phone: 202-720-1604

Website Address:

<http://www.rd.usda.gov>

RELATED PROGRAMS:

10.410 Very Low to Moderate Income Housing Loans; 10.417 Very Low-Income Housing Repair Loans and Grants; 10.420 Rural Self-Help Housing Technical Assistance; 14.108 Rehabilitation Mortgage Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Projects must provide a feasible repair rehabilitation program and serve areas with a concentration of substandard housing and very low and low-income persons. In addition, the following criteria will be considered in the selection of grant recipients. Each preapplication and its accompanying statement of activities will be evaluated on: (1) The percentage of very low-income persons assisted; (2) the percentage of use of HPG funds to total cost of housing preservation; (3) the applicant's administrative capacity and experience in (i) housing rehabilitation or weatherization, (ii) assisting very low and low-income persons attain housing assistance and (iii) prior programs no outstanding audits findings; (4) the proposed program will be undertaken in non-Metropolitan Statistical Areas identified by Rural Development as having populations below 10,000 or in remote parts of other rural areas, (i.e., rural areas contained in Metropolitan Statistical Areas with less than 5,000 population); (5) the program will minimize the use of grant funds for administrative purposes, i.e., less than 20 percent of grant funds; (6) the program will alleviate overcrowding in rural residences inhabited by very low and low-income families; and (7) if an existing grantee has met the objectives of its current grant.

10.435 STATE MEDIATION GRANTS

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Agricultural Credit Act of 1987.

OBJECTIVES:

To assist States in establishing and administering mediation programs and services for agricultural producers, creditors of producers (as applicable), and persons directly affected by actions of the Department of Agriculture.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are to be used for the operation and administration of a State's mediation program which has been certified by the Administrator of the Farm Service Agency (FSA) as meeting the requirements of Section 501 (c) of Title V of the Agricultural Credit Act of 1987 (U.S.C. 510), as amended. A grant will not exceed 70 percent of the total fiscal year funds that a qualifying State requires to operate and administer its mediation program, or \$500,000, whichever is less. Eligible costs are limited to those that are reasonable and necessary to carry out the mission of the State's mediation program and may include activities related to the intake and scheduling of cases, the provision of background and selected information regarding the mediation process, financial advisory and counseling services, and the mediation session.

Applicant Eligibility:

State governments.

Beneficiary Eligibility:

Agricultural producers, creditors of producers (as applicable) and persons directly affected by actions of the Department of Agriculture.

Credentials/Documentation:

Have a mediation program which has been certified by the Administrator of FSA, and for which certification has not been withdrawn; provide evidence to justify the estimated costs of operating and administering the State's mediation

program. The Governor of a State or head of an entity designated by the Governor of a State must make a written request to FSA on or before August 1 of each year to receive a matching grant during the fiscal year commencing on October 1, of that same year. Request for certification are accepted after August 1 of each year; however, the State will be considered in order of date received for reserved grant funds. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. To be certified as a qualifying State, the mediation program of the State must mediate issues involving agricultural loans (regardless of whether the loans are made or guaranteed by the Secretary of Agriculture or made by third party); and may provide mediation services for disputes involving wetlands determinations, compliance with farm programs including conservation programs, rural water loan programs, grazing on National forest system lands, pesticides, and such other issues, under the jurisdiction of the Secretary meeting the requirements of Section 501 of Title V of the Agricultural Credit Act of 1987 (7 U.S.C. 510), as amended. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms as furnished by the Federal agency and required by 7 CFR part 3016, must be used for this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Grant funds will be distributed on a first come, first served basis to qualifying States. If, however, when funds for a fiscal year become available, there are not sufficient funds to give all qualifying States 70 percent of their justified estimated expenses for the fiscal year, the percentage allocation will be reduced so as to give all States the same percentage of their expenses. FSA views the use of grant funds to provide financial advisory or other services in an advocacy context to be inconsistent with the premise of a mediation program, which is to provide a neutral forum for resolution of disputes.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Thirty days from receipt of a complete application package.

Appeals:

If a certified State mediation program is available as part of the informal appeal hearing process, the participant will be offered the right to choose such mediation.

Renewals:

Section 506 of Title V of the Agricultural Credit Act of 1987, Public Law 100-233 and Section 1853 of the Food, Agricultural, Conservation and Trade Act of 1990, Public Law 101-624, Section 22 of the Agricultural Credit Improvement Act of 1992, Public Law 102-554, Section 282 of the Federal Crop Insurance Reform and Department of Agriculture Reorganization Act of 1994, Public Law 103-354, and the United States Grain Standards Act of 2000, Public Law 106-472.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

From beginning to end of the Federal government's fiscal year. Grant will be an annual grant and will run concurrently with the Federal government's fiscal year; reimbursement by Treasury check. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Record keeping will conform to requirements of 7 CFR Part 3016.

Account Identification:

12-0170-0-1-351.

Obligations:

(Project Grants) FY 16 \$3,000,000; FY 17 est \$3,000,000; and FY 18 est \$3,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-0170.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1946 published on August 26, 1988, 53 FR 32597.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Courtney Dixon 1400 Independence Avenue, SW
Stop 0523, Washington, District of Columbia 20250-0523 Email:
courtney.dixon@wdc.usda.gov Phone: 202-720-1360

Website Address:

http://www.fsa.usda.gov/FSA/newsReleases?area=newsroom&subject=landing&topic=pf&newstype=prfactsheet&type=detail&item=pf_20130820_admin_n_agmed.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Certification by the Administrator, FSA, that mediation program meets the requirements of Section 501 (c) of Title V of the Agricultural Credit Act (Public Law 100-233) as amended; application meets requirements of FSA regulations to be implemented.

10.438 SECTION 538 RURAL RENTAL HOUSING GUARANTEED LOANS

Rural Rental Housing Guaranteed Loans

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Section 538, Public Law 106-569, 42 U.S.C. 1490p-2.

OBJECTIVES:

This program has been designed to increase the supply of affordable multifamily housing in rural areas through partnerships between Rural Development and major lending sources, as well as State and local housing finance agencies and bond insurers. The program provides Federal credit enhancement to encourage private and public lenders to make new loans for affordable rental properties that meet program standards.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

The guarantee will encourage the construction of new or rehabilitation of existing rural rental housing and appropriate related facilities. Housing as a general rule will consist of projects with five or more dwellings. The guarantee may not be made for nursing, special care or industrial type housing.

Applicant Eligibility:

The applicant in this program is the lender. The lender must be approved and currently active with Fannie Mae, Freddie Mac, HUD/FHA insurance programs, Ginnie Mae or be a State or local Housing Finance Agency. A member of the Federal Home Loan Bank System or other lender may be able to participate if they can demonstrate satisfactory experience with multifamily lending.

Beneficiary Eligibility:

Occupants must be families or persons with income not in excess of 115 percent of the Median Income at the time of initial occupancy.

Credentials/Documentation:

Eligible borrowers include: individual partnerships; profit and not-for-profit corporations; limited liability companies; trusts; State and local agencies; and Indian Tribes. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

This program is subject to environmental review pursuant to the National Environmental Policy Act (NEPA) of 1969. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The lender originates the loan and performs the necessary underwriting and provides the documentation required by the RHS and request for guarantee to the RHS for consideration.

Award Procedure:

Rural Development will review all the applications for compliance with the program requirements and issue the Conditional Commitment of Guarantee with conditions. Once the conditions are met the final Contract of Guarantee will be issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Decisions on applications will normally be rendered within 60-120 calendar days of receipt of a complete application.

Appeals:

Applicants may appeal adverse decisions in accordance with 7 CFR Part 11, National Appeals Division.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: The funding takes place as outlined in the Notice of Funding Availability (NOFA) or Notice of Solicitation of Applications (NOSA).

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. As required by the lender and program

regulations.

Records:

Good business records and any records required by the lender.

Account Identification:

12-0137-0-1-604.

Obligations:

(Guaranteed/Insured Loans) FY 16 \$186,935,103; FY 17 est \$200,000,000; and FY 18 est \$230,000,000

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

12-4216; 12-2081.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Final Rule, 7 CFR 3565, became effective January 19, 2005. Also available in the Guaranteed Rural Rental Housing Program Origination and Servicing Handbook.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under U.S. Department of Agriculture for Rural Development county or district office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Director, Multifamily Guaranteed Loan Division 1400 Independence Avenue SW, Washington, District of Columbia 20250 Phone: (202) 720-1604

Website Address:

<http://www.rurdev.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Provided in the Notice of Funding Availability (NOFA) or Notice of Solicitation of Applications (NOSA).

10.443 OUTREACH AND ASSISTANCE FOR SOCIALLY DISADVANTAGED AND VETERAN FARMERS AND RANCHERS

USDA 2501 Grant Program

FEDERAL AGENCY:

Departmental Management, Department of Agriculture

AUTHORIZATION:

Food, Agriculture, Conservation, and Trade Act of 1990, Section 2501, Public Law 101-624, 7 U.S.C 2279.

OBJECTIVES:

The overall goal of the 2501 Program is to assist socially disadvantaged and veteran farmers and ranchers in owning and operating farms and ranches while increasing their participation in agricultural programs and services provided by the U.S. Department of Agriculture (USDA). This program will assist eligible community-based and non-profit organizations, higher education institutions, and tribal entities in providing outreach, training, education, and technical assistance to socially disadvantaged and veteran farmers and ranchers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

2501 organizations extend OAOs outreach efforts to connect with and assist socially disadvantaged and veteran farmers and ranchers. They play a critical role in identifying and addressing the factors and challenges experienced by socially disadvantaged groups while also providing training, education, and technical expertise. These organizations help to ensure socially disadvantaged

groups and veterans are able to equitably participate in the full range of USDA programs in an effort to help improve their ability to start and maintain successful agricultural businesses. No construction is permitted with funds from this program.

Applicant Eligibility:

Organizations that may apply: Any community-based organization, network, or coalition of community-based organizations with documented evidence of working with and on behalf of socially disadvantaged and veteran farmers and ranchers during the 3-year period preceding this application cycle and does not or has not engaged in activities prohibited under Section 501(c)(3) of the Internal Revenue Code of 1986; an 1890 or 1994 institution of higher education (as defined in 7 U.S.C. 7601); a Hispanic-Serving Institution of higher education (as defined in 7 U.S.C. 3103); American Indian tribal community colleges or Alaska Native cooperatives colleges; other institutions of higher education with documented experience in providing agricultural education or services to socially disadvantaged or veteran farmers; Indian tribes or national tribal organizations with documented experience in providing agricultural education or services to socially disadvantaged or veteran farmers; organizations or institutions that received funding under 7 U.S.C. 2279(a) with respect to projects that the Secretary considers similar to projects previously carried out by the organization or institution under this subsection.

Beneficiary Eligibility:

A farmer or rancher who is a member of one or more of the following groups whose members have been subjected to racial or ethnic prejudice because of their identity as members of a group without regard to their individual qualities: African-Americans, American Indians, Alaskan Natives, Hispanics, Asians, and Pacific Islanders. The Secretary of Agriculture will determine on a case-by-case basis whether additional groups qualify under this definition.

Credentials/Documentation:

Applicants must have the financial, legal, administrative, and operational capacity to carry out the objectives of the program by having experience in agriculture. Costs will be determined in accordance with OMB Circular No. A-122 "Cost Principles for Nonprofit Organizations" and OMB Circular No. A-21, "Cost Principles for Educational Institutions", and as incorporated by USDA regulations (7 CFR parts 3015, 3016, 3019). Community-based organizations should provide a current certification of non-profit status in accordance with section 501(c)(3) of the Internal Revenue Code of 1986 and have dated Articles of Incorporation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Formal proposals should be submitted as outlined in the Funding Opportunity Announcement posted on www.grants.gov.

Award Procedure:

A review panel that is independent of the OAO Grants Staff will use a point system to rate each proposal, awarding a maximum of 100 points. Each proposal will be reviewed and numerically scored by at least two members of the Independent Review Panel. The Panel will rank all applications submitted and forward their recommendations to the designated approving official. Funding decisions are based on the Panels recommendations to the designated approving official who will make final determinations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Proposals must be received by the deadline indicated on the Funding Opportunity Announcement posted on www.grants.gov. Proposals

received after the posted deadline will not be considered for funding. Notice of action taken on proposals will generally be within 90 days of the date of the proposal submission deadline or prior to September 30 of each fiscal year.

Appeals:

Grant-making decisions are not appealable.

Renewals:

Neither renewal nor supplemental grants will be issued.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Per the Funding Opportunity Announcement posted on www.grants.gov, grants are awarded for up to one year. See the following for information on how assistance is awarded/released: Grant payments and drawdown procedures will be administered electronically via EFT.

Reports:

In accordance with 2 CFR 200.301, OAO must require the use of OMB-approved standard information collections in relating financial data to performance accomplishments of the Federal award when providing financial and performance information. Progress and Financial Reports must be submitted within 30 days after the end of each quarter, including the fourth quarter, and any subsequent quarters should a no-cost-extension be approved. Final Progress and Financial Reports which cover the entire period of performance must also be submitted within 90 days after the period of performance end date. Cash transaction reports are required to be completed in the HHS Payment Management System. Quarterly financial and program performance/progress reports are due within 30 days after the reporting period. A final financial and performance/progress report is required within 90 days of the expiration of each Grant Agreement, which shall include an evaluation of the activities under the Grant Agreement. Requirements for performance/progress reports will be identified in Grant Agreement terms and conditions. Financial Status Reports are required to be completed in the HHS Payment Management System. Monitoring of performance will be conducted through use of quarterly Progress and Financial Reports and may also include audits and on-site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR 200.333, financial records, supporting documents, statistical records, and all other records must be retained for at least three years following the submission of the final expenditure report or, if any litigation, claim, or audit is pending, records must be retained until resolution or final action occurs.

Account Identification:

12-9913-0-1-352.

Obligations:

(Project Grants) FY 16 \$9,000,000; FY 17 est \$9,000,000; and FY 18 est \$10,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-0601.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 U.S.C. 7601; 7 U.S.C. 3103; 7 U.S.C. 2279, 7CFR 2500 and 2 CFR part 200 Uniform Administrative Requirements, Cost Principles and Audit

Requirements for Federal Awards

Regional or Local Office:

None.

Headquarters Office:

Kenya Nicholas Office of Advocacy and Outreach
1400 Independence Avenue SW, Stop 0601
, Washington, District of Columbia 20250-9600 Phone: 202-720-6350

Website Address:

<http://www.outreach.usda.gov/grants/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must address a minimum of two or more of the U.S. Department of Agriculture Secretary's priority areas and initiatives such as projects that assist StrikeForce states and communities, etc., will receive priority points. Please review the Funding Opportunity Announcement on www.grants.gov for more explicit information on selection criteria.

10.446 RURAL COMMUNITY DEVELOPMENT INITIATIVE

RCDI

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

CONSOLIDATED AND FURTHER CONTINUING APPROPRIATIONS ACT, 2013, Public Law 113-6.

OBJECTIVES:

To develop the capacity and ability of private, nonprofit community-based housing and community development organizations and low income rural communities to improve housing, community and economic development projects, and community facilities in rural areas.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Rural Community Development Initiative grants may be used for but are not limited to (a) training sub-grantees to conduct a program on home-ownership education; (b) training sub-grantees to conduct a program for minority business entrepreneurs; (c) providing technical assistance to sub-grantees on how to effectively prepare a strategic plan; (d) provide technical assistance to sub-grantees on how to access alternative funding sources; (e) building organizational capacity through board training; (f) developing training tools, such as videos, workbooks, and reference guides to be used by the sub-grantee; (g) providing technical assistance and training on how to develop successful child care facilities; and (h) providing training on effective fundraising techniques.

Applicant Eligibility:

Rural Community Development Initiative grants may be made to a legally qualified private or public (including tribal) organization that provides technical assistance to nonprofit community-based housing and community development organizations, and low income rural communities. The grantee must provide a program of technical assistance to the recipient entity. The grantee must have been legally organized for a minimum of three years prior experience working with nonprofit organizations or low-income rural communities in the areas of housing, community facilities, or community and economic development. Assistance is authorized for eligible applicants in rural areas of the States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the commonwealth of the Northern Mariana Islands, the Marshall Islands, the Republic of Palaw, and the Federated States of Micronesia.

Beneficiary Eligibility:

Recipient entities must be legally organized private, nonprofit community-based housing and community development organizations, low

income rural communities, and Federally recognized Indian Tribes.

Credentials/Documentation:

Applicants must possess the legal, financial, administrative, and operational capacity relative to the activity for which assistance is requested. Applicants must also possess the necessary background and experience with proven ability to perform responsibly in the areas of housing, community facilities, or community and economic development, and display the ability to work within established guidelines. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application procedures will be announced in the Federal Register and on the Grants.gov web site.

Award Procedure:

Award is made by the Administrator, Housing and Community Facilities Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As required, 90 days to 120 days.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of the decision from the National Appeals Division, USDA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The grantee must provide matching funds at least equal to the amount of the grant in the form of cash or committed funding. (No in-kind contributions).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Proposals must be structured to utilize the Rural Community Development Initiative grant funds within 3 years from the execution of the Rural Community Development Initiative grant agreement. See the following for information on how assistance is awarded/released: Applications received in accordance with the Federal Register Notice of Funding Availability are evaluated and the highest scoring applicants are awarded grants subject to the availability of appropriated funds. Contact regional office or headquarters as appropriate for additional information.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. Grantee submits report detailing expenditures and progress as required by the grant agreement. The grantee will perform self-evaluations by preparing quarterly financial and project performance reports in accordance with the grant agreement for RCDI.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The borrower must maintain adequate records and accounts related to the

technical assistance performed.

Account Identification:

12-4215-0-3-371; 12-2081-0-1-371.

Obligations:

(Project Grants) FY 16 \$4,000,000; FY 17 est \$4,000,000; and FY 18 est \$4,000,000

Range and Average of Financial Assistance:

FY 2016: \$50,000 to \$250,000. Average \$203,764.

TAFS Codes:

12-1951.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Regulations 7 CFR 3015, 7 CFR 3016, 7 CFR 3019, 7 CFR 3052; H.R. 5426 Guidelines announced in NOFA, published in the Federal Register.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for Rural Development State Office numbers. If a listing is not available, contact the appropriate Rural Development State Office found on the internet at <http://www.rurdev.usda.gov>.

Headquarters Office:

Shirley Stevenson 1400 Independence Ave. SW, Washington, District of Columbia 20250 Email: shirley.stevenson@wdc.usda.gov Phone: 202-205-9685 Fax: 844-496-7779

Website Address:

http://www.rd.usda.gov/HAD-RCDL_Grants.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that are received are competitively scored and the highest scoring are awarded grants until appropriated funds are exhausted. Factors considered for project selection include but are not limited to median household income, rural population, and points for evaluation criteria published in the notice of funding availability (NOFA).

10.447 THE RURAL DEVELOPMENT (RD) MULTI-FAMILY HOUSING REVITALIZATION DEMONSTRATION PROGRAM (MPR)

(Restructuring Program)

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Sections 514/516 and 515, and The Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2008 (Public Law 110-161).

OBJECTIVES:

To preserve and revitalize existing rural rental housing and farm labor housing projects financed by RHS under Section 515 and Sections 514/516 of the Housing Act of 1949 and to ensure that sufficient resources are available in order to continue to provide safe and affordable housing for very low- and low-income residents.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds will be used to meet the physical needs of rental and farm labor housing properties financed under Section 515 and Sections 514/516 of the Housing Act of 1949. Related soft costs are also eligible. Owners or buyers are required to agree to a Restrictive Use Covenant for 20 years or the remaining term of any

loans or the remaining term of any existing restrictive-use provisions whichever ends later. This ensures the property will be used for low-income housing as defined by the Housing Act of 1949.

Applicant Eligibility:

Owners or buyers of financially viable Section 515 financed rental or Section 514/516 labor housing properties.

Beneficiary Eligibility:

Low-income rural residents needing safe, decent, and sanitary rental housing are eligible.

Credentials/Documentation:

Applicants must provide a Capital Needs Assessment (CNA) to identify the physical needs of the property as well as the estimated cost to make the needed repairs over a 20-year period. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Multi-Family Housing Revitalization Demonstration Program (MPR) Pre-application is available electronically at <http://www.rd.usda.gov/programs-services/housing-preservation-revitalization-demonstration-loans-grants> or through contacting the RD State Office in the state where the project is located. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The MPR program is awarded through a Notice of Solicitation of Applications (NOSA) announced in the Federal Register.

Award Procedure:

Pre-applications will be scored on the following factors: (1) Contribution of funds from other sources; (2) Owner contribution sufficient to pay transaction costs; (3) Age of Project; (4) Transfer and revitalization of troubled projects; (5) Prior Agency approved CNAs; (6) Energy Conservation, Energy Generation, and Green Property Management; (7) New tenant services to be provided by a non-profit organization at no cost to the project and that are available to all tenants; (8) Consolidation of project Operations; (9) Proposed Sale to Non-profit/Public Housing Authority for properties sold to non-profit organizations under the prepayment process, as explained in 7 CFR Part 3560, subpart N.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Within 45 days from Pre-application submission, pre-applications will be scored and ranked, eligibility will be confirmed, and applicants will be notified of selection for participation and requested to submit full applications.

Appeals:

Applicant may request reconsideration on the basis of pertinent facts concerning the application.

Renewals:

Non selected applicants may reapply under the future Notice of Solicitation of Applications (NOSA).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Debt deferral is the lesser of the remaining term of the existing loan or 20 years. A balloon payment of accrued principal and interest will be due at the end of the deferral period. A revitalization grant for non-profit applicants/borrowers only is limited to the cost of correcting health and safety violations as identified by a CNA. A revitalization zero percent loan will be amortized over 30 years. A soft-second loan with a one percent interest rate will have its interest and

principal deferred, to a balloon payment, due at the time the latest maturing Section 514 or 515 loan becomes due. An additional 30-year Section 515 loan at an effective one percent interest rate amortized over a period not to exceed 50 years. An additional 33-year Section 514 loan at an effective one percent interest rate amortized over a period not to exceed 33 years. An additional Section 516 grant not to exceed the lesser of 90 percent of the total development cost, or that portion of the total development cost which exceeds the sum of any amount provided by the applicant from their own resources plus the amount of any Section 514 loans approved for the applicant. See the following for information on how assistance is awarded/released: Typically, participants compete for funding via the NOSA; a selection process ensues based upon set criteria; applications are ranked and scored nationally. Selections are made based on the project type, ranking and scoring. States are given limits on how many applications are selected with a contingency for fall out.

Reports:

Tracking and monitoring reports are required for Rural Rental and Farm Labor Housing. Since this is a Demonstration Program, procedures for tracking and monitoring expenditures are under development. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Quarterly or annual financial statements completed using agreed-upon procedures and performance standards described in the RHS Multi-Family Housing Audit Program.

Records:

Business records must be retained.

Account Identification:

12-2081-0-1-371.

Obligations:

(Project Grants) FY 16 \$67,000,000; FY 17 est \$37,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

The underwriting guidelines include, but are not limited to, the following: The maximum soft-second loan will be limited to no more than \$5,000 per unit; revitalization grants limited to \$5,000 per unit; total assistance provided from a revitalization grant, revitalization zero percent loan, and/or a soft-second loan is limited to \$10,000 per unit; and the maximum Section 515 loan or Section 514/516 loan and grant is limited to no more than \$20,000 per unit.

TAFS Codes:

12-2002.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Refer to the NOSA which lists contacts at Headquarters and consult your local telephone directory for Rural Development District or State Office numbers or visit the website <http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

USDA, Rural Development, Preservation and Direct Loan Division, 1400 Independence Avenue, S.W., Mail Stop 0782, Washington, District of Columbia 20250-0781 Phone: (202) 720-1604.

Website Address:

<http://www.rd.usda.gov>

RELATED PROGRAMS:

10.415 Rural Rental Housing Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be selected based on eligibility and ranking and scoring criteria listed in the NOSA.

10.448 RURAL DEVELOPMENT MULTI-FAMILY HOUSING RURAL HOUSING VOUCHER DEMONSTRATION PROGRAM

Rural Development Voucher Demonstration Program

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Consolidated and Further Continuing Appropriations Act, 2012 (Public Law 112-55) for the Rural Development Voucher Program as authorized under Section 542 of the Housing Act of 1949, as amended, 42 U.S.C. 1471 et. seq. (without regard to Section 542(b)).

OBJECTIVES:

The Rural Housing Voucher Demonstration program is designed to provide rental housing assistance vouchers to tenants in properties that prepay their Rural Development mortgage. The amount of this subsidy is the difference between the comparable market rent and the amount of rent being paid by the tenant when prepayment occurs. Prepaying properties may be located anywhere in the 50 states, Puerto Rico, the U.S. Virgin Islands and Guam.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The monthly housing assistance payment for the Rural Development Voucher Program is the difference between the comparable market rent for the family's former Section 515 unit and the tenant's rent contribution on the date of the prepayment. The rental unit must pass a Rural Development health and safety inspection.

The owner must be willing to accept a Rural Development voucher.

Use at HUD Section 8 or other Federally-assisted public housing, is prohibited. The Rural Development voucher may not be used in combination with the Rural Development Rental Assistance program.

Applicant Eligibility:

Applicants must (a) be residing in the Section 515 project on the date of the prepayment of the Section 515 loan or upon foreclosure by Rural Development; (b) the date of the prepayment or foreclosure must be after September 30, 2005; (c) as required by 42 U.S.C. 1436a the tenant must be a citizen, U.S. non-citizen national or qualified alien and will so provide proof of citizenship.

Beneficiary Eligibility:

Applicants must be citizens, U.S. non-citizen nationals or qualified aliens and have an adjusted household income at or below 80 percent of area median income as determined annually by the U.S. Department of Housing and Urban Development (HUD) to be eligible for a rural housing voucher.

Credentials/Documentation:

The applicant must furnish factual evidence that their adjusted household's income is at or below 80 percent of area median income as determined annually by HUD and furnish proof of citizenship to be eligible, or to continue to be eligible, for a rural housing voucher. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

During the prepayment or foreclosure process, Rural Development will evaluate every tenant family to determine if it is low-income. If Rural Development determines a family is low-income, after the foreclosure or prepayment, Rural Development will send the primary tenant a letter offering the family a voucher.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

The voucher is renewable, annually, subject to the availability of appropriations to the USDA.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

On an annual basis. See the following for information on how assistance is awarded/released: Assistance is awarded/released on a monthly basis.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

12-2002-0-1-604.

Obligations:

(Direct Payments for Specified Use) FY 16 \$17,530,138; FY 17 est \$22,000,000; and FY 18 est \$20,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-2002.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Janet Stouder USDA, Rural Development, 1400 Independence Avenue, S.W., Stop 0782, Washington, District of Columbia 20250-0782 Email: janet.stouder@wdc.usda.gov Phone: 202-720-9728

Website Address:

<http://www.rd.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.449 BOLL WEEVIL ERADICATION LOAN PROGRAM

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 104-180, 7 U.S.C. 1989.

OBJECTIVES:

To assist producers and State governmental agencies to eradicate boll weevils from the United States.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

Funds may be used to purchase or lease supplies and equipment, to pay entity operating expenses and to pay salaries and benefits. Loan funds may not be used to pay for lobbying, public relations, or related activities.

Applicant Eligibility:

Applicants may be determined eligible if the organization: (a) Meets the Animal and Plant Health Inspection Service (APHIS) cost-sharing requirements; (b) possesses a legal nonprofit corporate authority; (c) possesses the legal authority to enter into a contract; (d) operates in an area approved by a majority of cotton producers via referendum; (e) is unable to obtain funds elsewhere; and (f) may pledge producer assets as loan collateral.

Beneficiary Eligibility:

The beneficiaries of this program include the local boll weevil organization and agricultural community as well as local, State, and national governments.

Credentials/Documentation:

All applicants must be nonprofit entities, authorized to operate under the appropriate State law and for the specific purpose of eradicating boll weevils from the nation's agricultural community. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Complete applications will be accepted by the National Office accompanied by documentation showing the entity's authority to borrow and the operation's ability to make the scheduled loan payment(s).

Award Procedure:

Loans will be written within specific credit guidelines as well as within the borrowing entity's documented ability to repay the loan.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Agency credit decisions will generally be issued within 60 days of the receipt of a complete loan package.

Appeals:

Not Applicable.

Renewals:

Boll weevil loans are term loans based upon certain procedural and program criteria. Although loans are not renewed, additional loan requests may be submitted by each entity for consideration.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loans can be applied for at anytime during the year. The loan term varies anywhere from 1 to 7 years. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Audited financial statements and profit and loss statements will be annually submitted for review and analysis.

Records:

Detailed records will be maintained by each entity in the respective offices. These same records will be made available to the Agency for review upon demand.

Account Identification:

12-1140-0-1-351; 12-4212-0-3-351 - Direct Loans Financing Account.

Obligations:

(Direct Loans) FY 16 \$0; FY 17 est \$60,000,000; and FY 18 est \$60,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Connie Holman USDA FSA DAFLP LMD
1400 Independence Avenue, SW
, Washington, District of Columbia 20250-0522 Email:
connie.holman@wdc.usda.gov Phone: (202) 690-0756 Fax: (202) 690-1117

Website Address:

<http://fsa.usda.gov/FSA/webapp?area=home&subject=fmlp&topic=landing>

RELATED PROGRAMS:

10.025 Plant and Animal Disease, Pest Control, and Animal Care

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.450 CROP INSURANCE

Crop Insurance

FEDERAL AGENCY:

Risk Management Agency, Department of Agriculture

AUTHORIZATION:

Federal Crop Insurance Act, as amended, 7 U.S.C. 1501-1520, Agricultural Adjustment Act of 1938, 7 (U.S.C. 1501; Federal Crop Insurance Act of 1980, as amended, Public Law 101-624; Federal Crop Insurance Reform Act of 1994, Public Law 103-354; Federal Agriculture Improvement and Reform Act of 1996, Public Law 104-127; Agricultural Research, Extension, and Education Reform Act of 1998, Public Law 105-185; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 1999, Public Law 109-97; Agriculture Risk Protection Act of 2000, Public Law 106-224; Food, Conservation and Energy Act of 2008, Public Law 110-234; and Agricultural Act of 2014, Public Law No. 113-79.

OBJECTIVES:

Risk Management Agencies (RMA) mission is serving Americas agricultural producers through effective, market-based risk management tools and solutions to strengthen the economic stability of agricultural producers and rural communities. To carry out this mission, RMA operates and manages the Federal Crop Insurance Corporation (FCIC). FCIC provides crop insurance and risk management strategies to American producers. Private sector insurance providers, approved by FCIC, sell and service the policies. RMA develops and/or approves premium rates, administers the premium and expense subsidies, approves and support products,

and reinsures the Approved Insurance Providers (AIPs). In addition, RMA sponsor educational programs and seminars on risk management.

TYPES OF ASSISTANCE:

INSURANCE

USES AND USE RESTRICTIONS:

The Federal Crop Insurance Corporation (FCIC) is a wholly owned government corporation created February 16, 1938 (7 U.S.C. 1501.) The program was amended by Public Law (P.L.) 96-365, dated September 26, 1980, to provide for nationwide expansion of a comprehensive crop insurance plan. FCIC is administered by the Risk Management Agency (RMA), and promotes the national welfare by improving the economic stability of agriculture through a secure system of crop insurance. FCIC provides an actuarially sound risk management program that protects against agricultural production losses due to unavoidable causes such as drought, excessive moisture, hail, wind, hurricane, tornado, lightning, and insects. In addition to these causes, revenue insurance programs are available under which producers of certain crops are protected against loss of revenue stemming from low prices, poor yields, or a combination of both. Federal crop insurance is available to producers through private insurance companies that market and service policies and also share in the risk. Thus, the program delivery is a joint effort between the Federal government and the private insurance industry. Federal government subsidizes insurance premiums at a variety of levels depending on level of insurance coverage.

Applicant Eligibility:

Unless otherwise restricted by the insurance policy, owners or operators of farmland, who have an insurable interest in a crop in a county where insurance is offered on that crop, are eligible for insurance.

Beneficiary Eligibility:

This program is excluded from coverage under OMB Circular No. A-87.

Credentials/Documentation:

Different types of crop productions information is required depending on the crop insurance purchased. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application for crop insurance offered by a company reinsured by FCIC must be filed with a crop insurance sales agent. Both catastrophic and additional coverage are available only from private companies. In general, crops and acreage must be reported to establish insurance coverage for crop insurance and, if not eligible for crop insurance. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The insurance contract becomes effective upon issuance of a Notice of Acceptance by the insurance company. Notices of Acceptance for insurance coverage are issued upon a determination that the applicant is eligible.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 20 days.

Appeals:

Appeals should be addressed within 30 days to the National Appeals Division, U.S. Department of Agriculture, Washington, DC 20250.

Renewals:

Continuous insurance contract.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Private Industry Crop Insurance Acreage Report; Actual Production History Yield Report; and in the event of a loss, Notice of Damage, Production Worksheet, and proof of loss. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Recipients are subject to audit by the RMA internal compliance function, private insurance company auditors, Office of the Inspector General, USDA, and the General Accounting Office.

Records:

Insured must keep for 3 years, after the end of the crop year, records of harvesting, shipments, sale or other disposition of all insured crops produced on each unit covered by the contract and separate records for any uninsured acreage of the insured crops.

Account Identification:

12-4085-0-3-351.

Obligations:

(Insurance) FY 16 \$3,291,101,228; FY 17 est \$4,052,400,000; and FY 18 est \$2,773,400,000 - These amounts represent Delivery Expenses and Underwriting Gains. (Insurance) FY 16 \$5,282,039,801; FY 17 est \$4,900,000,000; and FY 18 est \$12,573,400,000 - These amounts represent total indemnities.

Range and Average of Financial Assistance:

Level of assistance varies according to policy, crop and indemnities paid.

TAFS Codes:

12-4085.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: RMA worked on implementation and maintenance of various initiatives outlined in the Agricultural Act of 2014. These initiatives included numerous updates and expansion of existing crops and addition of new crops to the Federal crop insurance program. RMA continued to focus efforts to raise awareness of the Whole-Farm Revenue Protection insurance program, which is available in every county in the United States. In addition, RMA has expanded program availability and assistance to organic producers and rangeland operations. RMA worked closely with the Farm Service Agency to implement the Acreage Crop Reporting Streamlining Initiative (ACRSI). Fiscal Year 2017: Fiscal Year 2017: NA No Current Data Available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 400 and a brochure "Introduction to Risk Management"- available at no charge.

<http://www.rma.usda.gov/>

Regional or Local Office:

See Regional Agency Offices. Interested producers should contact their Regional Office listed in Appendix IV of the Catalog, or a private industry crop insurance agent.

<http://www.rma.usda.gov/aboutrma/fields/>.

Headquarters Office:

Carl Frazier 2641 SW Wanamaker Rd, Suite 201
, Topeka, Kansas 66614 Email: carl.frazier@rma.usda.gov Phone: 7852285531

Website Address:

<http://www.rma.usda.gov>

RELATED PROGRAMS:

10.090 Supplemental Revenue Assistance Program; 10.404 Emergency Loans; 10.451 Noninsured Assistance; 97.022 Flood Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.451 NONINSURED ASSISTANCE

(NAP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996.

OBJECTIVES:

To provide crop loss assistance comparable to the catastrophic risk protection level of crop insurance to producers of commercial crops or other agricultural commodities for which the catastrophic risk protection level of crop insurance is not available.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Eligible crops include each commercial crop or other agricultural commodities (except livestock and their by-products; tobacco; and trees grown for wood, paper, or pulp products) that is produced for food or fiber and specifically includes: Floricultural, ornamental nursery, and Christmas tree crops, turfgrass sod, seed crops, aquaculture (including ornamental fish), and industrial crops. An eligible producer is an owner, landlord, tenant, or sharecropper: (1) Who shares in the risk of producing the crop; (2) who is entitled to share in the crop available for marketing or would have shared had the crop been produced; and (3) whose average adjusted gross income (AGI) is in accord with 7 CFR Part 1400.

NAP provides catastrophic level coverage based on the amount of loss that exceeds 50 percent of expected production at 55 percent of the average market price for the crop. Additional coverage levels are available ranging from 50 to 65 percent of production, in 5 percent increments, at 100 percent of the average market price. Additional coverage must be elected by a producer by the application closing date. Producers who elect additional coverage must pay a premium in addition to the service fee. Crops intended for grazing are not eligible for additional coverage.

Beneficiary Eligibility:

Applicant Eligibility: Eligible crops include each commercial crop or other agricultural commodities (except livestock and their by-products; tobacco; and trees grown for lumber or paper products) that is produced for food, fiber, or bioenergy conversion and specifically includes: floricultural, ornamental nursery, and Christmas tree crops, turfgrass sod, seed crops, aquaculture (including ornamental fish), and industrial crops. An eligible producer is an owner, landlord, tenant, or sharecropper: (1) who shares in the risk of producing the crop; (2) who is entitled to share in the crop available for marketing or would have shared had the crop been produced; and (3) whose average AGI is in accord with 7 CFR Part 1400.

NAP provides catastrophic level coverage based on the amount of loss that exceeds 50 percent of expected production at 55 percent of the average market price for the crop. Additional coverage levels are available ranging from 50 to 65 percent of production, in 5 percent increments, at 100 percent of the average market price. Additional coverage must be elected by a producer by the application closing date. Producers who elect additional coverage must pay a premium in addition to the service fee. Crops intended for grazing are not eligible for additional coverage.

Credentials/Documentation:

Applicants must provide to the local administrative FSA office, annual certifications of acreage and production. Randomly selected producers and producers requesting program payments must also provide acceptable records of production. Applicants must provide evidence as necessary for a proper

determination of their eligibility. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must file an application for coverage in the local administrative FSA office. An applicable service fee must accompany the application. Coverage is effective the later of: (1) 30 days after the application for coverage is filed; (2) date the crop is planted for yield-based crops or the beginning of the crop year for all other eligible crops. Other documentation required to fulfill the application requirements include: (1) A report of acreage, facility, etc.; (2) a notice of loss when the eligible crop is prevented from being planted as a result of eligible natural disaster or the eligible planted crop or commodity suffers loss of yield due to eligible natural disaster; (3) if the crop is harvested, a certification of production, and in the crop year for which an application for payment is filed, acceptable evidence of production, (4) if the crop will not be harvested, a request to the local county FSA office for appraisal; and (5) a certification of compliance with the average AGI limitation.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The county committee will normally make a decision regarding the application for payment within 60 calendar days after all required information and documentation is received.

Appeals:

Applicants may request reconsideration of a decision by the decision authority or appeal such decisions to a higher authority including the county and State FSA committees and the National Appeals Division.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is normally provided in a single payment following approval of the application for payment. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Applicants must retain financial books and records that permit verification of all transactions for at least 3 years following the end of the calendar year in which an application for coverage is filed.

Account Identification:

12-4336-0-3-999.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$137,000,000; FY 17 est \$154,000,000; and FY 18 est \$164,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336-004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Procedural handbooks and published regulations are available in county FSA offices.

Regional or Local Office:

See Regional Agency Offices. Consult the local telephone directory for location of the nearest county FSA office. If no listing, contact the appropriate State FSA office.

Headquarters Office:

Steve Peterson, USDA, Deputy Administrator for Farm Programs, Production, Emergencies, and Compliance Division, Disaster Assistance Branch, 14th and Independence Ave SW, Stop 0517, Washington, District of Columbia 20250
Email: Steve.Peterson@wdc.usda.gov Phone: (202) 720-5172 Fax: (202) 720-0051.

Website Address:

<http://www.fsa.usda.gov/programs-and-services/disaster-assistance-program/no-insured-crop-disaster-assistance/index>

RELATED PROGRAMS:

10.054 Emergency Conservation Program; 10.404 Emergency Loans; 10.450 Crop Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.458 CROP INSURANCE EDUCATION IN TARGETED STATES

(Targeted States)

FEDERAL AGENCY:

Risk Management Agency, Department of Agriculture

AUTHORIZATION:

Federal Crop Insurance Act, as amended, 7 U.S.C. 1524(a)(2).

OBJECTIVES:

The purpose of this cooperative agreement program is to deliver crop insurance education and information to U.S. agricultural producers in certain, designated States that have been historically underserved with respect to crop insurance. The States, collectively referred to as Targeted States, are Alaska, Connecticut, Delaware, Hawaii, Maine, Maryland, Massachusetts, Nevada, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Utah, Vermont, West Virginia, and Wyoming.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Entities receiving funding will use funds to (a) plan a local program of crop insurance education for agricultural producers, (b) develop and assemble instructional materials, (c) promote awareness for crop insurance and educational opportunities, (d) deliver crop insurance educational activities to agricultural producers and agribusiness professionals, and (e) document project activities. Applicants may propose additional uses of funds that meet the objectives of the program. RMA will be substantially involved in the management and direction of the project. Indirect costs allowed for projects submitted under this program will be limited to ten percent of total direct costs of the cooperative agreement. Funds may not be used to (a) plan, repair, rehabilitate, acquire, or construct a building or facility including a processing facility, (b) purchase, rent, or install fixed equipment, (c) repair or maintain privately owned vehicles, (d) pay for the preparation of the cooperative agreement application, (e) fund political activities, (f) purchase alcohol, give-away promotional items, or entertainment, (g) lend money to support farming or agricultural business operation or expansion, (h) pay entrance fees, conference registration fees, or other expenses to conferences or similar activities for any person not on the agenda or not working at an event booth promoting RMA programs or the RMA funded project. These expenses will not be paid for the recipients Board of Directors, family members or similar

entities, (i) pay costs associated 501(c) applications, and (j) Fund any activities prohibited in 2 CFR Parts 200 and 400, as applicable.

Applicant Eligibility:

Eligible applicants include State departments of agriculture, universities, non-profit agricultural organizations, and other public or private organizations with the capacity to lead a local program of crop insurance education for farmers and ranchers in a Targeted State. Individuals are eligible applicants. Although an applicant may be eligible to compete for an award based on its status as an eligible entity, other factors may exclude an applicant from receiving federal assistance under this program (e.g. debarment and suspension; a determination of non-performance on a prior contract, cooperative agreement, grant or partnership; a determination of a violation of applicable ethical standards).

Beneficiary Eligibility:

The ultimate beneficiaries of this education program are agricultural producers in the Targeted States. Applicants receiving awards will ensure that such producers receive effective crop insurance education and information either directly or through agribusiness professionals that can impart crop insurance information to producers.

Credentials/Documentation:

Applicants must demonstrate the capacity to deliver crop insurance education and information programs to producers in the written narratives and statements of work that accompany applications. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible applicants must complete and submit a valid application to RMA Headquarters by the application deadline. All applicants must submit applications in compliance with the criteria outlined in the Request for Applications (RFA) by the deadline specified in the Notice. Applications must be submitted electronically. Hard copy applications are not acceptable. Program application materials may be downloaded from the RMA website at www.rma.usda.gov.

Award Procedure:

Each application will be evaluated using a two-part process. First, each application will be screened by RMA personnel to ensure that it meets the requirements listed in the Request for Applications announcement. Second, a review panel will consider the merits of all applications that meet the requirements in the announcement. The panel will examine and score applications based on the evaluation criteria contained in the Request for Applications. Other review panel information is provided in the Request for Applications announcement. Following its review, the panel will report to the Manager of the Federal Crop Insurance Corporation (FCIC) with a listing of the recommended applicants to receive cooperative agreements. The Manager of FCIC will make the final determination on applications that will be awarded funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines and other key dates are listed in the Request for Applications. Applicants may contact headquarters or a regional office if they have additional questions.

Appeals:

No provision is provided in this program for an appeal.

Renewals:

No provision is provided in this program for a renewal.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding will normally be available for projects that will begin in September and will continue for up to one year. Method of releasing federal funds is through the submission and certifying of the SF-270 on an as needed basis. See the following for information on how assistance is awarded/released: The Recipient submits an SF-270 with backup documentation to request funds on an as needed basis. Before a request is approved it is reviewed and certified by the Grants and Agreement Specialist.

Reports:

Program and Federal Financial Reports are required to be submitted quarterly. No cash reports are required. Program and Federal Financial Reports are required to be submitted quarterly. When requests for reimbursements are submitted the Recipients also provides backup documentation for the expenses. A quarterly federal financial report (SF-425) is also submitted documenting expenses to date. Regional Office substantial involvement.

Audits:

No audits are required for this program.

Records:

The recipient will maintain at its place of business all records required by the cooperative agreement and the respective OMB Circulars.

Account Identification:

12-4085-0-3-351.

Obligations:

(Cooperative Agreements) FY 16 \$4,332,346; FY 17 est \$5,000,000; and FY 18 est \$5,000,000

Range and Average of Financial Assistance:

\$206,000 to \$700,000 per agreement as announced in the Request for Applications. Average \$256,882.

TAFS Codes:

12-4085.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is announced as a Request for Applications at www.Grants.gov and the RMA web site, along with specific information on obtaining an application package.

Regional or Local Office:

See Regional Agency Offices. RMA has four Regional Offices serving potential applicants in targeted states. A listing may be obtained from the RMA web site or RMA Headquarters.

Headquarters Office:

Young Kim 1400 Independence Ave. SW
USDA South Building, Room 6717-S
. Washington, District of Columbia 20250-0808 Email:
young.kim@rma.usda.gov Phone: 2027201416

Website Address:

<http://www.rma.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.460 RISK MANAGEMENT EDUCATION PARTNERSHIPS

FEDERAL AGENCY:

Risk Management Agency, Department of Agriculture

AUTHORIZATION:

Federal Crop Insurance Act (FCIA), 7 U.S.C. 1522(d)(3)(F).

OBJECTIVES:

To deliver training and information as well as outreach activities in the management of production, marketing, financial, legal and human risk to the U.S. agricultural producers with a priority to producers of crops not insurable with Federal crop insurance, specialty crops, and underserved commodities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Entities receiving funding will use funds to (a) plan a local program of risk management education and outreach activities for agricultural producers, (b) develop and assemble instructional materials, (c) promote awareness for risk management and education opportunities, (d) deliver education activities to agricultural producers and agribusiness professional, (e) document project activities, (f) report activities and spending as directed by RMA, and (g) measure the results of the training and/or activities. Applicants may propose additional uses of funds that meet the objective and direction of the project. Indirect costs allowed for projects submitted under this program will be limited to ten percent of total direct costs of the cooperative agreement. Funds may not be used to (a) plan, repair, rehabilitate, acquire, or construct a building or facility including a processing facility, (b) purchase, rent, or install fixed equipment, (c) repair or maintain privately owned vehicles, (d) pay for the preparation of the cooperative agreement application, (e) fund political activities, (f) purchase alcohol, give-away promotional items, or entertainment, (g) lend money to support farming or agricultural business operation or expansion, (h) pay entrance fees, conference registration fees, or other expenses to conferences or similar activities for any person not on the agenda or not working at an event booth promoting RMA programs or the RMA funded project. These expenses will not be paid for the recipients Board of Directors, family members or similar entities, (i) pay costs associated 501(c) applications, and (j) Fund any activities prohibited in 2 CFR Parts 200 and 400, as applicable.

Applicant Eligibility:

Eligible applicants include State departments of agriculture, universities, non-profit agricultural organization and other public or private organizations with the capacity to lead a local program of risk management education for farmers and ranchers in an RMA Region. Individuals are not eligible applicants. Although an applicant may be eligible to compete for an award based on its status as an eligible entity, other factors may exclude an applicant from receiving Federal assistance under this program (e.g. debarment and suspension; a determination of non-performance on a prior contract, cooperative agreement, grant, or partnership; a determination of a violation of applicable ethical standards.

Beneficiary Eligibility:

The ultimate beneficiaries of this education program are agricultural producers with a priority of producers of crops not insurable by Federal crop insurance, specialty crops, and underserved commodities. Applicants receiving awards will ensure that such producers receive effective risk management education, information and outreach activities that can impact the risk management decision making.

Credentials/Documentation:

Applicants must demonstrate the capacity to deliver crop insurance education and information programs to producers in the written narratives and statements of work that accompany applications. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All applicants must submit applications in compliance with the criteria outlined in the Request for Applications (RFA) by the deadline specified in the Notice. Applications must be submitted electronically. Hard copy applications are not acceptable. Program

application materials may be downloaded from the RMA website at www.rma.usda.gov.

Award Procedure:

Each application will be evaluated using a two-part process. First, each application will be screened by RMA personnel to ensure that it meets the requirements listed in the Request for Applications announcement. Second, a review panel will consider the merits of all applications that meet the requirements in the announcement. The panel will examine and score applications based on the evaluation criteria contained in the Request for Applications. Other review panel information is provided in the Request for Applications announcement. Following its review, the panel will report to the Manager of the Federal Crop Insurance Corporation (FCIC) with a listing of the recommended applicants to receive cooperative agreements. The Manager of FCIC will make the final determination on applications that will be awarded funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines and other key dates are listed in the Request for Applications. Applicants may contact headquarters or a regional office if they have additional questions.

Appeals:

No provision is provided in this program for an appeal.

Renewals:

The project period is one year; there are no renewals under this program.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding will normally be available for projects that will begin in September and will continue for up to one year. Method of releasing federal funds is through the submission and certifying of the SF-270 on an as needed basis. See the following for information on how assistance is awarded/released: The Recipient submits an SF-270 with backup documentation to request funds on an as needed basis. Before a request is approved it is reviewed and certified by the Grants and Agreement Specialist. See the following for information on how assistance is awarded/released: The assistance is provided through the request of funds (SF-270) and requires the approval of RMA.

Reports:

Program reports and updates are submitted quarterly. Cash reports are not applicable. Program and Federal Financial Reports are required to be submitted quarterly. When requests for reimbursements are submitted the Recipients also provides backup documentation for the expenses. A quarterly federal financial report (SF-425) is also submitted documenting expenses to date. 10 Regional Offices and RMED DC Office provide substantial involvement on these projects.

Audits:

Not Applicable.

Records:

Recipients will maintain (at its place of business) all records required by the agreement and the respective OMB circulars.

Account Identification:

12-4085-0-3-351.

Obligations:

(Cooperative Agreements) FY 16 \$4,579,825; FY 17 est \$4,000,000; and FY 18 est \$4,500,000

Range and Average of Financial Assistance:

It is difficult to accurately estimate the number and amount of awards that RMA will provide this year. However, last year, RMA awarded approximately 58 cooperative agreements with an average of \$78,910 (the lowest amount

being \$26,304 and the highest award at \$99,999).

TAFS Codes:

12-4085.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is announced as a Request for Applications at www.Grants.gov and the RMA web site, along with specific information on obtaining an application package.

Regional or Local Office:

See Regional Agency Offices. RMA has ten Regional Offices serving potential applicants. A listing may be obtained from the RMA website or RMA Headquarters.

Headquarters Office:

Young Kim 1400 Independence Ave. SW
USDA South Building, Room 6717-S
, Washington, District of Columbia 20250-0808 Email:
young.kim@rma.usda.gov Phone: 2027201416

Website Address:

<http://www.rma.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.475 COOPERATIVE AGREEMENTS WITH STATES FOR INTRASTATE MEAT AND POULTRY INSPECTION

(Meat and Poultry Inspection State Programs)

FEDERAL AGENCY:

Food Safety and Inspection Service, Department of Agriculture

AUTHORIZATION:

Federal Meat Inspection Act, Public Law 90-201, 21 U.S.C 601-624;
Federal-State Cooperative Act (Talmadge-Aiken), Public Law 87-718, 7 U.S.C
450; Agriculture Marketing Act of 1946, 7 U.S.C 1621-1627; Poultry Products
Inspection Act, Public Law 90-492, 21 U.S.C 451-471.

OBJECTIVES:

To supply Federal assistance to States desiring to operate a meat and poultry inspection program in order to assure the consumer an adequate supply of safe, wholesome, and properly labeled meat and poultry products.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To assist States that operate meat and poultry inspection programs which are equal to Federal meat and poultry inspection.

Applicant Eligibility:

An appropriate State or U.S. Territory agency administering State or Territorial meat or poultry inspection programs under laws equal to the Federal Meat and Poultry Products Inspection Acts.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

Receipt of State inspection mandatory laws, copies of regulations related to the laws, and State performance plans. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O.

12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notify the Outreach and Partnership Division, Office of Outreach, Employee Education and Training, Food Safety and Inspection Service, U.S. Department of Agriculture, Patriot Plaza III Building., SW, Mailstop 3778/Room 9-258, 355 E Street, Washington, District of Columbia 20024. Contact: Keith Payne. Telephone: (202) 690-6522. The applicant should express their interest and request copies of the cooperative agreements currently in use by participating States.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 50%.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded on an annual basis to States. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Applicants will keep a complete accounting of the cost of developing and operating the State inspection program and will submit quarterly statements to the Food Safety and Inspection Service. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. State will arrange for an audit of the records pertaining to these agreements by the State Auditor of Public Accounts, annually or otherwise, as provided by applicable State law or regulations.

Records:

Complete accounting records shall be available to the Comptroller General of the United States and to the authorized representatives of the Secretary of Agriculture.

Account Identification:

12-3700-0-1-554.

Obligations:

(Salaries) FY 16 \$51,000,000; FY 17 est \$51,000,000; and FY 18 est \$51,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3700.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

FSIS Directive 5720.2, Revision 3, State Cooperative Inspection Programs.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a list of

addresses of the District Offices.

Headquarters Office:

Keith Payne Outreach and Partnership Division, Office of Outreach, Employee Education and Training, Food Safety and Inspection Service, Department of Agriculture, Patriot Plaza III Building, Mailstop 3778/Room 9-258, 355 E Street, Washington, District of Columbia 20024 Phone: (202) 690-6522

Website Address:

<http://www.fsis.usda.gov>

RELATED PROGRAMS:

10.477 Meat, Poultry, and Egg Products Inspection

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.477 MEAT, POULTRY, AND EGG PRODUCTS INSPECTION

FEDERAL AGENCY:

Food Safety and Inspection Service, Department of Agriculture

AUTHORIZATION:

Humane Slaughter Act, Public Law 85-765, 7 U.S.C 1901-1906; Agriculture Marketing Act of 1946, 7 U.S.C 1621-1627; Federal Meat Inspection Act, Public Law 90-201, 21 U.S.C 601-624; Poultry Products Inspection Act, Public Law 90-492, 21 U.S.C 451-471; Egg Products Inspection Act, Public Law 91-597, 21 U.S.C 1031-1056.

OBJECTIVES:

To assure that all meat, poultry and egg products produced in plants and shipped in commerce are federally inspected for safety, wholesomeness, and proper labeling.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Meat, poultry, and egg products plants located within the United States or its Territories engaged in slaughtering or processing meat, poultry, and egg products for shipment in commerce are required to have inspection. All plants located within the U.S. or its territories processing egg products are required to be under continuous USDA inspection. The Department of Agriculture is reimbursed for overtime and holiday inspection services. Inspection not required under law is provided on request for a fee.

Applicant Eligibility:

Any meat or poultry plant planning to engage in slaughtering or processing meat and poultry products, and all egg products processing plants for shipment in commerce. This program is available in the U.S. and its Territories.

Beneficiary Eligibility:

General public (meat, poultry, and egg products.).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. A request for inspection should be submitted to the Meat, Poultry and Egg Products Inspection District Office, or to the Administrator.

Award Procedure:

Certification is made that the applicant meets the regulations on sanitation, and operating standards for the inspection of meat, poultry and egg products plant facilities and equipment.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: All meat, poultry and egg products produced in plants and shipped in commerce is federally inspected for safety, wholesomeness, and proper labeling.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

12-3700-0-1-554.

Obligations:

(Salaries) FY 16 \$1,023,000,000; FY 17 est \$1,013,000,000; and FY 18 est \$1,038,000,000

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

12-3700.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

MPI-7, Meat and Poultry Inspection Manual; Sanitation Handbook; 9 CFR 301-592, Inspection Regulations.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a list of addresses of the District Offices.

Headquarters Office:

Dr. Daniel Engeljohn, Office of Field Operations, Food Safety and Inspection Service, Department of Agriculture, Washington, District of Columbia 20250-3700 Phone: (202) 720-8803.

Website Address:

<http://www.fsis.usda.gov>

RELATED PROGRAMS:

10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.479 FOOD SAFETY COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Food Safety and Inspection Service, Department of Agriculture

AUTHORIZATION:

Public Law 108-7, Sec. 713, 117 Stat. 39.

OBJECTIVES:

To reduce the incidence of foodborne illnesses associated with meat, poultry, and egg products and to protect the food supply. FSIS is authorized to use cooperative agreements to reflect a relationship between FSIS and cooperators to carry out educational programs or special studies to improve the safety of the nation's food supply. Also, FSIS has been directed to further develop the Food Emergency Response Network, a network of Federal, state and local laboratories that provides the nation the analytic capabilities and capacity it needs to cope with agents threatening the food supply.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Available discretionary funds are contingent on annual appropriations. Work products, where appropriate, are to be made available for use by other government agencies and accessible by the general public.

Applicant Eligibility:

State, local and tribal government agencies; academic institutions and non-profit organizations.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Academic institutions; State, local and tribal government agencies; and non-profit organizations are invited to submit brief proposals (two to four pages) for cooperative agreements in any of the areas described in the request for proposals along with an SF-424. These proposals will be reviewed by FSIS. The criteria used by FSIS to assess proposals are listed for each cooperative agreement program area in the request for proposals. Cooperators whose proposals are selected for further project development will need to discuss and reach agreement with FSIS on project details to permit establishment of a cooperative agreement. Unlike typical Federal grants, cooperative agreements involve a Federal agency's active participation with the cooperator during both project development and project execution. Work products, where appropriate, are to be made available for use by other government agencies and accessible by the general public. All proposals should address the following points: * Project description, including specific goals, timeline, and deliverables; * Description of national public benefit expected, including expected utility of work products, for example, training manuals, CDs, and videos; * Projected costs, including cooperator contributions; * Projected performance measures; * Primary contact, principal investigator, and other likely participants, and; * All work products may be freely reproduced and distributed by FSIS.

Award Procedure:

Cooperative agreement awards are made upon selection through a competitive process directed by the relevant FSIS program area. The program area identifies the awardees to the FSIS Administrative Services Division, which prepares and executes required documentation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally from 30 to 90 days from the deadline listed in the request for proposals.

Appeals:

Not Applicable.

Renewals:

Renewals or extensions may be available under extraordinary circumstances if approved prior to the closing date of the initial agreements.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, funding will be available for projects up to one year. Multi-year projects will be considered, but they are subject to annual renewal and may be affected by changes in FSIS' annual budget. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. The cooperative agreement will specify the submission of performance progress reports. A comprehensive final report is required which documents all activities conducted within the partnership. Expenditure reports are not applicable. The cooperative agreement will specify the frequency of submission of performance progress reports, which will not be more frequently than quarterly or less frequently than annually. A comprehensive final report is required which documents all activities conducted within the partnership.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to audit by the Office of the Inspector General, USDA, as set forth in OMB Circular No. A-133, or in Federal Acquisition Regulations.

Records:

The recipient will maintain at its place of business all records required by the partnership agreement and the applicable OMB Circulars.

Account Identification:

12-3700-0-1-554.

Obligations:

(Cooperative Agreements) FY 16 \$3,000,000; FY 17 est \$3,000,000; and FY 18 est \$3,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3700.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

9 CFR 301-592, Inspection Regulations. Guidelines and literature can be found on FSIS website at www.fsis.usda.gov.

Regional or Local Office:

None.

Headquarters Office:

Dr. Robert Phillips, Senior Microbiologist, U.S. Department of Agriculture, Food Safety and Inspection Service, FERN Staff, 950 College Station Road, Athens, Georgia 30605 Phone: (706) 546-2349.

Website Address:

<http://www.fsis.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used by the application review panel for recommending awards are

listed in the request for proposals.

10.500 COOPERATIVE EXTENSION SERVICE

(CES)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

SPECIAL NOTES:

(1) Numerous Programs are funded under CFDA 10.500.

Most of the Cooperative Extension Service (CES) grants were issued under the general authority of the Secretary of Agriculture, pursuant to 7 U.S.C. 343 (d)

(2) Listed below are several programs, which are indicative of the types of projects currently funded under CFDA 10.500. However, please be advised that the listing is NOT exhaustive.

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Capacity Grants - formerly known as Formula Funds)

Sections 3(b) and 3(c) of the Smith-Lever Act provide funding for agricultural extension programs at 1862 Land-grant universities.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs)

Sections 3(b)(1) and 8 of the Smith-Lever Act provide the basis of funding special needs agricultural extension programs at 1862 Land-grant institutions.

(c) Smith-Lever Special Needs Program

Section 7129 of the Food, Conservation, and Energy Act of 2008 (FCEA), re-authorizes Section 3(b) & (c) of the Smith-Lever Act, allowing the Secretary of Agriculture, acting through the Director of the National Institute of Food and Agriculture (NIFA), to conduct competitive grant programs to State Extension Services at 1862 Land-Grant Institutions to support innovative, education-based approaches to addressing emergency preparedness and specific responses related to natural and man-made disasters.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement Funds available for distribution under the Smith-Lever Act, Section 3(b) and (c), statutory formula.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) Federal funds are available for distribution under the Smith-Lever Act, Section 3(b) and (c), statutory formula.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC
Sections 208 of the District of Columbia Public Postsecondary Education Reorganization Act provides, in pertinent part, that In the administration of the Act of August 30, 1890 (7 U.S.C. 321-326, 328) (known as the Second Morrill Act), the University [of the District of Columbia] shall be considered to be a university established for the benefit of agriculture and the mechanic arts in accordance with the provisions of the Act of July 2, 1862 (7 U.S.C. 301-305, 307, 308) (known as the First Morrill Act); and the term "State", is used in the laws and provisions of law listed in the preceding, paragraphs of this section shall include the District of Columbia. In the administration of the Act of May 8, 1914 (7 U.S.C. 341-346, 347a-349) (known as the Smith-Lever Act) the University [of the District of Columbia] shall be considered to be a university established for the benefit of agriculture and the mechanic arts in accordance with the provisions of the Act of July 2, 1862 (7 U.S.C. 301- 305, 307,308); and the term "State" as used in such Act of May 8, 1914, shall include the District of Columbia, except that the District of Columbia shall not be eligible to receive any sums appropriated under section 3 of such Act. In lieu of an authorization of appropriations for the District of Columbia under section 3 of such Act of May 8, 1914, there is authorized to be appropriated such sums as may be necessary to provide cooperative agricultural extension work in the District of Columbia under such Act. Any reference in such Act (other than section 3 thereof) to funds appropriated under such Act shall in the case of the District of Columbia be considered a reference to funds appropriated under this subsection.

Therefore, this grant funds the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending the University of the District of Columbia, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.

Section 7417 of the Food, Conservation, and Energy Act of 2008 (Public Law 110-234) amended section 208 of the District of Columbia Public Postsecondary Education Reorganization Act to eliminate any matching requirement for the extension formula funds provided to the University of the District of Columbia, effective October 1, 2008.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University, West Virginia State University and (newly designated) Central State University (Section 1444) (7 U.S.C. 3221)

Section 1444 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), enacted as Title XIV of Public Law 95-113 (The Food and Agriculture Act of 1977) on Sept. 29, 1977, is also known as the Section 1444 Program. This law provides the basis for Federal funding for agricultural extension activities at 1890 Land-Grant Institutions, including Tuskegee University, West Virginia State University and Central State University.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) Section 3(d) of the Smith-Lever Act provides that the Secretary of Agriculture may fund extension work in the several States, Territories, and possessions. Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (as amended) (7 U.S.C. 3175) provides the statutory formula for the Expanded Food and Nutrition Education Program (EFNEP). This program supports Federal funding for extension activities associated with disseminating the results of food and nutrition research performed or funded by the U.S. Department of Agriculture to enable low-income individuals and families to engage in nutritionally sound food purchase and preparation practices. Funding extends to EFNEP at State land-grant colleges established under the Morrill Act of July 2, 1862, as amended, and the Morrill Act of August 30, 1890, as amended, including Tuskegee University and West Virginia State University. Section 7116 of the Food, Conservation, and Energy Act of 2008 (FCEA) amended Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3175) to accomplish various goals as indicated in Part I.A. of the Capacity Grant Request for Application (Capacity RFA).

(b) Youth at Risk
Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life Represents a new program:
Pertinent details will be provided at a future date.

(d) Farm Safety
See 7 U.S.C. 343 (d). Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension
See 7 U.S.C. 343 (d). Pertinent details will be provided at a future date.

(f) Pest Management
Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)
Effective FY 2014 this Program was consolidated under Research. See CFDA 10.215 for pertinent details.

(h) Federally Recognized Tribes
See 7 U.S.C. 343 (d). Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification
See 7 U.S.C. 343 (d). Pertinent details will be provided at a future date.

(j) EIPM Support
Pertinent details will be provided at a future date.

(k) EIPM Coordination
Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act

The Renewable Resources Extension Act of 1978 (P.L. 95-306, 92 Stat. 349, 16 U.S.C. 1671 et seq.) provides for an expanded and comprehensive extension program for forest and rangeland renewable resources. The majority of the appropriated funds are distributed to eligible institutions based on a formula that considers the geographic extent, ecosystem productivity, economic contribution, and population for each state.

Since FY 2002, a small amount of these funds have been used to fund National Focus Fund Projects which have served to expand comprehensive extension programs for forest and rangeland renewable resources on a national, regional, or multi-institutional scale through better program coordination, innovative technologies, and extension models that can be easily duplicated.

(b) Rural Health and Safety
The authority for this program is under Section 502 (i) of Title V of the Rural Development Act of 1972, as amended (7 U.S.C. 2662). The Omnibus Appropriations Act, 2009 (Pub. L. 111-8) appropriates funds under Division A, Title I. The Rural Health and Safety Education Competitive Program addresses the Individual and Family Health Education component of the authorization.

(c) Extension Services at the 1994 Institutions
This program is authorized under Section 534(b) of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 201 note), as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7601). This section amends Section 3 of the Act of May 8, 1914 (Smith-Lever Act) (7 U.S.C. 341 et seq.), as amended. Under this authority, appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 institutions) for Extension work and funds are to be distributed on the basis of a competitive application process.

(d) Grants to Youth Serving Institutions
Title IV of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7630) authorized the Secretary of Agriculture to make grants to the Girl Scouts of the United States of America (GSUSA), the Boy Scouts of America (BSA), the National 4-H Council (4-H Council), and the National FFA Organization (FFA). Section 7309 of the Food, Conservation, and Energy Act (FCEA) of 2008 reauthorized 7 U.S.C. 7630, which was also amended to provide additional flexibility in content delivery to each organization receiving funds and to allow recipients to redistribute all or part of the funds to individual councils or local chapters without further need of approval from the Secretary. In FY 2009, Terms and Conditions of these awards should facilitate the redistribution without further need of approval. The Omnibus Appropriations Act, 2009 (Pub. L. 111-8) appropriates funds under Division A, Title I for grants to youth organizations pursuant to 7 U.S.C. 7630.

(e) Food Animal Residue Avoidance Database Program (FARAD)
Title VI Section 604 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7642) states that -The Secretary of Agriculture shall continue operation of the Food Animal Residue Avoidance Database program (referred to in this section as the FARAD program) through contracts, grants, or cooperative agreements with appropriate colleges or universities.

(f) Federal Administration
DIRECT APPROPRIATION

Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)

Pursuant to the authority contained in Section 1447 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) [7 U.S.C. 3222b], and reauthorized by Section 7123 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Pub. L. 110-246) grants will be awarded under the Facilities Grants Program to the 1890 land-grant institutions, including Tuskegee University and West Virginia State University. The Omnibus Appropriations Act, 2009 appropriates funds under Division A, Title I.

(h) Agriculture Risk Management Education Partnership Grants Program (aka ARPA and RME):

Section 133 of the Agricultural Risk Protection Act of 2000 (ARPA), (Pub. L. 106-224), amended the Federal Crop Insurance Act to add section 524(a)(3); [7 U.S.C. Section 1501 as amended by section 132(a) and section 524]; which requires the Secretary, acting through the National Institute of Food and Agriculture (NIFA), to establish a competitive grants program for the purpose of educating agricultural producers about the full range of risk management activities.

Section 524(a) of the Federal Crop Insurance Act, 7 U.S.C. 1524(a) was further amended by Section 12026 of the Food, Conservation, and Energy Act of 2008, (FCEA) (Pub. L. 110-246), which requires that the Secretary place special emphasis on risk management strategies, education, and specifically targeted outreach.

, 7 U.S.C 341-349.

OBJECTIVES:

GENERAL: [As reflected in Part I.B. of the Request for Applications (RFA) or Capacity Requests for Applications (Capacity RFA)]

1. Smith-Lever (3b) & (c) Capacity Grants (formerly known as Formula Funds)

a) Cooperative Extension Programs at 1862 Land-Grant Institutions (formerly known as 3b & c Formula Funds)

These funds are used by institutions eligible to receive funds under the Act of Congress approved July second, eighteen hundred and sixty-two, entitled An Act donating public lands to the several States and Territories which may provide colleges for the benefit of agriculture and the mechanic arts (Twelfth Statutes at Large, page five hundred and three) (1862 Land-grant Institutions), for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending or resident in 1862 Land-grant Institutions in the several communities, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.
The purpose of this funding is to conduct agricultural extension work.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs)

The purpose of this funding is to increase the level of agricultural extension work. These funds are used to support extension activities identified in the eligible institutions approved 5-Year Plan of Work. Special Needs funds are allocated to a State Cooperative Extension Service to fulfill a purpose or overcome a condition peculiar to the State, as compared to the country as a whole, or for a purpose not normally a part of the continuing extension program.

(c) Smith-Lever Special Needs Program

Funds support innovative extension education approaches to addressing risks, hazards and disasters. The Cooperative Extension Service (CES) has an important role in reducing the impact of disasters through extension education.

The Extension Special Needs program sponsors targeted projects that enable CES to assist in preparing for, providing an educational response to, and recovering from disasters. Education programming funded by this program will help communities, families and individuals become more self-sustaining by strengthening and increasing their resiliency to disaster. Some types of hazards and disaster related events funded in previous years include uncertainties caused by losses of economic infrastructure, severe weather or other natural disasters, security breaches, human disease, or high consequence animal diseases and plant pests.

There has been an increase in public awareness regarding the number and severity of disaster type critical incidents involving earthquakes, tsunamis, tornadoes, wildfire, drought, contagious disease, and terrorist events. The National Academy of Sciences Committee on Environment and Natural Resources and the Subcommittee on Disaster Reduction of the National Science and Technology Council have acknowledged the many effective roles that the Cooperative Extension System (CES) has played in disaster preparedness, response and remediation.

Within the states and territories, the CES has repeatedly served as the trusted community organization that has helped to enable families, communities, and businesses to successfully prepare for, respond to and cope with disaster losses and critical incidents. Once a disaster has occurred, the local extension outreach includes: 1) Communicating practical science-based risk information, 2) Developing relevant educational experiences and programs, 3) Working with individuals and communities to open new communication channels, and 4) Mitigating losses and facilitating recovery. Never was this more evident than after hurricanes Katrina, Rita and Wilma in 2005 and during the 2008 Midwestern Summer flooding. During and after these incidents, local Extension agents served as a critical communication node throughout affected urban and rural areas, particularly when traditional communication systems were temporarily overwhelmed or destroyed.

The Extension Special Needs RFA encourages proposals that specifically address one or more of the following six key target areas:

1. Education and Technical assistance through inter-disciplinary and multi-state disaster training programs and demonstration projects for problem solving, especially those which build upon already existing strengths, contribute to or expand the EDEN and/or eXtension educational materials related to disaster preparation, mitigation, response and recovery;
2. Collaboration with Federal, state and local agencies and other disaster relief organizations to support education and service activities that enhance recovery of impacted rural communities, schools, businesses and agricultural-based activities;
3. Long range family, community and regional planning projects that will enhance implementation of programs that serve public needs in preparation for, during and after emergency situations within impacted States and across impacted regions;
4. Communication delivery of key information that meets end-users needs in a timely fashion with consideration of potentially limited communication channels due to disaster situations;
5. Dissemination of credible, science-based information that is reliable and easily accessible even if electronic access is compromised; and
6. Integrated Research and Extension Planning Projects (up to \$15,000) are intended to provide assistance to applicants in bringing together teams for the development of highly competitive grant proposals where extension personnel would apply for large grants (in excess of \$250,000) from other grant programs (e.g., the Agriculture and Food Research Initiative and etc) and lead nationally important disaster prevention, protection and mitigation projects.

Fundable projects should support education and extension activities which:

1. Reduce risk through planning, disaster preparedness and emergency response

by improving communication between the public, community leaders, state and Federal agencies;

2. Develop strategies and educational materials and/or programming in the area of emergency planning, infrastructure design and disaster recovery operation;
3. Develop community networks that provide real-time disaster education information; and/or
4. Develop or expand educational materials regarding disaster issues suitable for use and distribution by the Extension Disaster Education Network (EDEN) or the eXtension Community of Practice working with disaster planning.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) Capacity grants (formerly known as "Formula") authorized under the CSRS Retirement Contributions Program. These grants are used to defray the CSRS Retirement costs to the 1862 land-grant institutions for the former Schedule A Appointments who conduct agricultural extension work.

Fiscal Year 2014 represented the last year that NIFA published a RFA for this program.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) These grants are used to defray the FERS Retirement costs to the 1862 land-grant institutions for the former Schedule A Appointments who conduct agricultural extension work.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

These funds are used by the University of the District of Columbia for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending the University of the District of Columbia, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University, West Virginia State University and (newly designated) Central State University (Section 1444)
The purpose of this funding is to support agricultural and forestry extension activities at 1890 Land-Grant Institutions, including Tuskegee University, West Virginia State University and Central State University.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education)
These grants are used to assist all States in carrying out a program of extension activities designed to employ and train professional and paraprofessional aides to engage in direct nutrition education of low-income families and in other appropriate nutrition education programs.

(b) Children, Youth, and Families at Risk (CYFAR)
Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life
Pertinent details will be provided at a future date.

(d) Farm Safety
Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension
Pertinent details will be provided at a future date.

(f) Pest Management
Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)
Effective FY 2014, this Program was consolidated under Research. See CFDA 10.215 for pertinent details.

(h) Federally Recognized Tribes
Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification
Pertinent details will be provided at a future date.

(j) EIPM Support
Pertinent details will be provided at a future date.

(k) EIPM Coordination
Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act
The purpose of the grant program is to provide funds for projects that:
(1) Have national or regional relevancy;
(2) Develop new and innovative projects that can be replicated at other institutions; or
(3) Develop a strategic framework for the nationwide forest and rangeland resources extension program.
NFF priorities are those that have been identified in the FY 2005-2009 RREA Strategic Plan:
http://www.nifa.usda.gov/nea/nre/in_focus/forests_if_rea.html.

(b) Rural Health and Safety
As specified in 7 U.S.C. 2662, grants will be made to establish the Rural Health and Safety Education Competitive Programs carried out by the eligible organizations in rural areas. The assistance provided by these programs, to the extent practicable, shall be coordinated with and delivered in cooperation with similar services or assistance by other Federal Agencies or programs for rural residents.

(c) Extension Services at the 1994 Institutions
This program provides funding to (1) increase Extension program capacity at 1994 institutions; and (2) address special needs, take advantage of important opportunities, and/or demonstrate long-term sustained benefits of Extension projects at 1994 institutions. In FY 2009, funded projects will support one or more of the six NIFA Strategic Goals (see Part VIII, E., Definitions) outlined in the NIFA Strategic Plan for FY 2007-2012:
1) Enhance international competitiveness of American agriculture;
2) Enhance the competitiveness and sustainability of rural and farm economies;
3) Support increased economic opportunities and improved quality of life in rural America;
4) Enhance protection and safety of the Nation's agriculture and food supply;
5) Improve the Nation's nutrition and health; and
6) Protect and enhance the Nation's natural resource base and environment.

(d) Grants to Youth Serving Institutions
As specified in 7 U.S.C. 7630, grants will be made to establish pilot projects to expand the youth development programs carried out by the eligible organizations in rural areas or small towns.
Broad Purposes:
Support and enhance the goals, objectives, and priorities of the eligible youth organizations;
Support programs which address issues and needs of rural youth;
Involve youth in design and implementation of their educational activities;
Increase knowledge, skills, attitudes and behaviors necessary for rural youth to live productive, contributing, and fulfilling lives; and
Increase economic opportunities and sustainability and improve quality of life in rural communities through enhanced human, social, civic, natural, financial, cultural, and built capital.

(e) Food Animal Residue Avoidance Database Program (FARAD)
The purpose of the FARAD is to provide livestock producers, extension

specialists, scientists, and veterinarians with up to date information to prevent drug, pesticide and environmental contaminant residues in food animal products.

(f) Federal Administration
Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)

The 1890 Facilities Grants Program provides funds for the acquisition and improvement of agricultural and food sciences facilities and equipment, including libraries, so that the 1890 land-grant institutions, Tuskegee University, West Virginia State University and (newly designated) Central State University, may participate fully in the production of human capital in the food and agricultural sciences.

(h) Agriculture Risk Management Education Partnership Grants Program (aka ARPA & RME & ERME)
Pertinent details will be provided at a future date.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Section # 070 USES ONLY (SEE BELOW FOR RESTRICTIONS)

SPECIAL NOTES:

(1) The majority of Cooperative Extension Service (CES) Programs are issued under the general authority of the Secretary of Agriculture [7 U.S.C. 343 (d)].

A synopsis of general uses is provided in the CFDA database.

(2) Please refer to the Competitive, Non-Competitive and/or Capacity Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

(3) Although the information provided in the CFDA database is not exhaustive, NIFA attempts to also indicate Exceptions to the general uses.

GENERAL STATEMENTS REGARDING USES:

Grant funds must be used for allowable costs necessary to conduct approved integrated research, extension and education objectives to address food and agricultural sciences, in the broadest sense.

NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

DETAILED INFORMATION USES ONLY

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (formerly known as 3b & c Formula Funds):

Sections 3(b) and 3(c) of the Smith-Lever Act provide funding for agricultural extension programs at 1862 Land-grant universities.

These funds are used by institutions eligible to receive funds under the Act of Congress approved as 1862 Land-grant Institutions, for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending or resident in 1862 Land-grant Institutions in the several communities, and imparting information on those subjects through demonstrations, publications,

and otherwise and for the necessary printing and distribution of information in connection with the subjects.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) :

These funds are used to support extension activities identified in the eligible institutions approved 5-Year Plan of Work. Special Needs funds are allocated to a State Cooperative Extension Service (CES) to fulfill a purpose or overcome a condition peculiar to the State, as compared to the country as a whole, or for a purpose not normally a part of the continuing extension program.

Funds are allocated under this program to a State CES to fulfill a purpose or overcome a condition peculiar to the State, as compared to the country as a whole, or for a purpose not normally a part of the continuing extension program.

(c) Smith-Lever Special Needs Program :

There has been an increase in public awareness regarding the number and severity of disaster type critical incidents involving earthquakes, tsunamis, tornadoes, wildfire, drought, contagious disease, and terrorist events.

Within the states and territories, the CES has repeatedly served as the trusted community organization that has helped to enable families, communities, and businesses to successfully prepare for, respond to and cope with disaster losses and critical incidents.

The Extension Special Needs RFA encourages proposals that specifically address one or more of the key target areas.

Fundable projects should support education and extension activities which:

1. Reduce risk through planning, disaster preparedness and emergency response by improving communication between the public, community leaders, state and Federal agencies;
2. Develop strategies and educational materials and/or programming in the area of emergency planning, infrastructure design and disaster recovery operation;
3. Develop community networks that provide real-time disaster education information; and/or
4. Develop or expand educational materials regarding disaster issues suitable for use and distribution by the Extension Disaster Education Network (EDEN) or the eXtension Community of Practice working with disaster planning.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement):

This program is no longer funded by NIFA. The deadline for the FY 2015 Request for Applications (RFA) was October 15, 2014.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement):

This program is no longer funded by NIFA. The deadline for the FY 2016 RFA was July 20, 2015.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC:

These funds are used by the University of the District of Columbia for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending the University of the District of Columbia, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.

Sections 208 of the District of Columbia Public Postsecondary Education Reorganization Act provides further pertinent information.

There is no matching requirement for the University of the District of Columbia.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University, West Virginia State University and Central State University (Section 1444):

Funds appropriated under this section shall be used for expenses of conducting extension programs and activities in accordance with 7 U.S.C. 331. No portion of the funds allocated under this grant will be applied, directly or indirectly, to the purchase, erection, preservation, or repair of any building or buildings, or the purchase or rental of land, or in college course teaching, lectures in college, or any other purpose not specified in NARETPA section 1444.

Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as 1890 Institution.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education):

Section 3(d) of the Smith-Lever Act provides that the Secretary of Agriculture may fund extension work in the several States, Territories, and possessions. Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (as amended) (7 U.S.C. 3175) provides the statutory formula for the Expanded Food and Nutrition Education Program (EFNEP). This program supports Federal funding for extension activities associated with disseminating the results of food and nutrition research performed or funded by the U.S. Department of Agriculture to enable low-income individuals and families to engage in nutritionally sound food purchase and preparation practices. Funding extends to EFNEP at State land-grant colleges established under the Morrill Act of July 2, 1862, as amended, and the Morrill Act of August 30, 1890, as amended, including Tuskegee University, West Virginia State University and Central State University.

(b) Children, Youth, and Families at Risk (CYFAR)

Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life

Pertinent details will be provided at a future date.

(d) Farm Safety

NOTE: Effective FY 2012, the following programs were consolidated: Youth Farm Safety; Farm Safety and Youth Safety Education and Certification; and Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project.

Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension)

Pertinent details will be provided at a future date.

(f) Pest Management

Program was consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM).

(g) Sustainable Agriculture (SARE-PD aka Chapter 1)

NOTES:

(1) Effective Fiscal Year 2014, Programs under Sustainable Agriculture (research, education and extension) were merged into a single program under the research account.

(2) See CFDA Number 10.215 for all pertinent details.

(h) Federally Recognized Tribes
Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification

NOTE: Effective FY 2012, the following programs were consolidated:
Youth Farm Safety;
Farm Safety and Youth Safety Education and Certification; and
Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project.

Pertinent details will be provided at a future date.

(j) EIPM Support

Program was consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM).

(k) EIPM Coordination

Program was consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM).

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act

Renewable Resources Extension Act-National Focus Fund Projects provide for expanded and comprehensive extension programs for forest and rangeland renewable resources programs at a national, regional, or multi-institutional level.

Since FY 2002, a small amount of these funds have been used to fund National Focus Fund Projects which have served to expand comprehensive extension programs for forest and rangeland renewable resources on a national, regional, or multi-institutional scale through better program coordination, innovative technologies, and extension models that can be easily duplicated.

(b) Rural Health and Safety

The Rural Health and Safety Education Competitive Grants Program is designed to meet national goals for addressing the health and access needs of rural Americans. Rural Health and Safety Education Programs will focus on issues related to aging in one or more of three areas:

- 1) population aging in rural areas;
- 2) eldercare or caregiving and its impact on rural and farm families; and/or
- 3) related issues of rural health care to provide older individuals and families.

(c) Extension Services at the 1994 Institutions

The Tribal Colleges Extension Program (TCEP) provides funding for the 1994 Land-Grant Institutions to conduct non-formal education and outreach activities to help meet the needs of the Native American people. Appropriated funds are to be awarded to the 1994 Land-Grant Institutions for Extension work and funds are to be distributed on the basis of a competitive application process.

(d) Grants to Youth Serving Institutions (aka Rural Youth Development or RYD Grants)

This Program has not been funded for several years.

(e) Food Animal Residue Avoidance Database Program (FARAD)
Pertinent details will be provided at a future date.

f) Federal Administration: DIRECT APPROPRIATION - Extension Activities

Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)

Facilities awards will be made for the acquisition and improvement of agricultural and food sciences facilities and equipment, including libraries, so that the 1890 land-grant institutions, including Tuskegee University, West Virginia State University and Central State University may participate fully in the production of human capital in the food and agricultural sciences. Priorities for the eligible institutions are set in the facilities plan that is submitted to NIFA for review and approval.

Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as 1890 Institution.

(h) Agriculture Risk Management Education Partnerships Grants Program (aka ARPA, ERME & RME):

The Secretary, acting through NIFA, is required to establish a competitive grants program for the purpose of educating agricultural producers about the full range of risk management activities. These activities include futures, options, agricultural trade options, crop insurance, cash forward contracting, debt reduction, production diversification, marketing plans and tactics, farm resources risk reduction, and other appropriate risk management strategies. The Risk Management Education (RME) program brings the existing knowledge base to bear on risk management issues faced by agricultural producers and expands the program throughout the Nation on a regional and multi-regional basis. Section :070 RESTRICTIONS ONLY (SEE ABOVE FOR USES):

SPECIAL NOTES:

(1) A synopsis of general restrictions is provided in the CFDA database.

(2) Please refer to the Competitive, Non-Competitive and/or Capacity Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

(3) Although the information provided in the CFDA database is not exhaustive, NIFA attempts to also indicate Exceptions to the general restrictions.

NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Funds may not be used for any purposes other than those approved in the grant award documents.

Tuition remission is not allowable.

For most of the Cooperative Extension Service (CES) programs, Indirect costs are not allowable. Pertinent details are provided for the few exceptions to the rule.

OTHER - DETAILED INFORMATION RESTRICTIONS ONLY

SPECIAL NOTE:

For most of the extension programs, Indirect Cost is NOT allowable and Matching is NOT required. Exceptions to the general rule are indicated, as deemed necessary and appropriate.

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (formerly known as 3b & c Formula Funds) - - Funding Restrictions:

(1) Program and Extension Activities:
Smith-Lever Act sections 3(b) and 3(c) Federal funding must be used for extension programs and activities as identified in the institutions approved 5-Year Plan of Work.

(2) Matching:

Section 3(e)(1) of the Smith-Lever Act (7 U.S.C. 343(c)(1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work.

However, NIFA may consider and approve matching waivers submitted by Insular 1862 Land-grant Institutions (American Samoa, Guam, Micronesia, Northern Marianas Islands, Puerto Rico, and the Virgin Islands).

(3) Indirect Costs and Tuition Remission:

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as Sections 3(b) and 3(c) of the Smith-Lever Act capacity (formerly known as "formula") grant expenditures.

(4) Other Restrictions:

No portion of these funds may be applied, directly or indirectly, to the purchase, erection, preservation, or repair of any building or buildings, or the purchase or rental of land, or in college-course teaching, lectures in college, or any other purpose not specified in this Act.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) -- Funding Restrictions:

(1) Approved NIFA Special Needs Projects:
Special Needs Federal funding must be used on extension activities identified in the institutions approved 5-Year Plan of Work.

(2) Matching:

The Special Needs program requires that Federal funds be fully matched (i.e., 100 percent) by 1862 Land-grant Institutions.

(3) Indirect Costs and Tuition Remission:

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as Special Needs capacity (formerly known as "formula") grant expenditures.

(4) Other Restrictions :

No portion of Federal funds allotted under Special Needs grant may be applied, directly or indirectly, to the purchase, erection, preservation, or repair of any building or buildings, or the purchase or rental of land, or in college-course teaching, lectures in college, or any other purpose not specified in the Smith-Lever Act.

(c) Smith-Lever Special Needs Program -- Funding Restrictions:

Indirect costs and tuition remission are not allowable and no funds will be approved for these purposes. Costs that are a part of the institutions indirect cost pool may not be reclassified as direct costs for the purpose of making them allowable.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) -- Funding Restrictions:

This program is no longer funded by NIFA. The deadline for the FY 2015 Request for Applications (RFA) was October 15, 2014.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) -- Funding Restrictions:

This program is no longer funded by NIFA. The deadline for the FY 2016 RFA was July 20, 2015.

(f) District of Columbia Public Postsecondary Education Reorganization Act

Program (Cooperative Extension Programs) aka EUDC -- Funding Restrictions:

(1) Approved NIFA DCPPERA Projects:

DCPPERA Federal funding must be used to support extension activities identified in the approved 5-Year Plan of Work.

(2) Matching:

There is no matching requirement for these funds.

(3) Indirect Costs and Tuition Remission:

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as DCPPERA capacity (formerly known as formula) grant expenditures.

(4) Other Restrictions:

No portion of these funds may be applied, directly or indirectly, to the purchase, erection, preservation, or repair of any building or buildings, or the purchase or rental of land, or in college-course teaching, lectures in college, or any other purpose not specified in this Act.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University, West Virginia State University and Central State University (Section 1444) -- Funding Restrictions:

(1) Approved NIFA Section 1444 Projects :

Section 1444 Program funding must be used to support agricultural extension activities identified in the approved 5-Year Plan of Work.

(2) Matching:

NARETPA section 1449 (7 U.S.C. 3222d) states that the State shall provide matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ... 100 percent of the formula [grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ... Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement.

7 CFR 3419.1 defines matching funds as funds from non-Federal sources made available by the State to the eligible institutions ... [for] programs or activities that fall within the purposes of agricultural research and cooperative extension under sections 1444 and 1445 of NARETPA ... or [for] qualifying educational activities. Matching funds means cash contributions and excludes in-kind matching contributions. It defines non-Federal sources as funds made available by the State to the eligible institution either through direct appropriation or under any authority (other than authority to charge tuition and fees paid by students) provided by a State to an eligible institution to raise revenue, such as gift acceptance authority or user fees. Finally, it defines qualifying educational activities as programs that address food and agricultural sciences components of an eligible institution.

7 CFR 3419.6 states that The required matching funds for the formula programs shall be used by an eligible institution for agricultural research and extension activities that have been approved in the plan of work required under sections 1444(d) and 1445(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977... or for .. qualifying education activities.

Please note that while Federal funds must be expended on agricultural extension, the matching funds may be used for agricultural research, agricultural extension, or qualifying educational activities. NIFA may consider and approve matching waivers above the 50 percent level. See Part IV.B.6. for additional information.

(3) Indirect Costs and Tuition Remission:

In accordance with NARETPA section 1473, indirect costs and tuition remission are unallowable as Section 1444 Program capacity (formerly known as formula) grant expenditures.

Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as 1890 Institution.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) - - Funding Restrictions :

(1) Approved NIFA EFNEP Projects:
EFNEP Federal funding must be used on NIFA approved EFNEP projects.

(2) Matching:
There is no cost-sharing or matching requirement for this program.

(3) Indirect Costs and Tuition Remission:

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as EFNEP capacity (formerly known as formula) grant expenditures.

(b) Children, Youth and Families at Risk (CYFAR)

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable grant expenditures.

(c) Improve Rural Quality of Life
Pertinent details will be provided at a future date.

(d) Farm Safety

NOTE: Effective FY 2012, the following programs were consolidated:
Youth Farm Safety;
Farm Safety and Youth Safety Education and Certification; and
Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project.

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable grant expenditures.

(e) New Technologies at Ag Extension
In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as capacity (formerly known as formula) grant expenditures.

(f) Pest Management
Program was consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM).

(g) Sustainable Agriculture (SARE-PD aka Chapter 1)

NOTES:

(1) Effective Fiscal Year 2014, Programs under Sustainable Agriculture (research, education and extension) were merged into a single program under the research account.

(2) See CFDA Number 10.215 for all pertinent details.

(h) Federally Recognized Tribes

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as capacity (formerly known as formula) grant expenditures.

(i) Youth Farm Safety Education & Certification

NOTE: Effective FY 2012, the following programs were consolidated:
Youth Farm Safety;
Farm Safety and Youth Safety Education and Certification; and
Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project.

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable grant expenditures.

(j) EIPM Support
Program was consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM).

(k) EIPM Coordination
Program was consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM).

4. OTHER EXTENSION ACTIVITIES - - Funding Restrictions:

(a) Renewable Resources Extension Act (RREA)

The majority of the appropriated funds are distributed to eligible institutions based on a formula that considers the geographic extent, ecosystem productivity, economic contribution, and population for each state.

Since FY 2002, a small amount of these funds have been used to fund National Focus Fund Projects (RREA-NFF).

NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria.

For Fiscal Year 2017 appropriated funds, Section 713 of the Consolidated Appropriations Act, 2017 (Pub. L. 114-113) stipulates that indirect costs are not to exceed 30% of total Federal Funds provided under each award.

(b) Rural Health and Safety

The Rural Health and Safety Education Competitive Grants Program provides funds to meet national goals for addressing the health and access needs of rural Americans.

NIFA does not require matching or cost sharing support for this program and matching resources will not be factored into the review process as evaluation criteria.

NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

For Fiscal Year 2017 appropriated funds, Section 713 of the Consolidated Appropriations Act, 2017 (Pub. L. 114-113) stipulates that indirect costs are not to exceed 30% of total Federal Funds provided under each award.

(c) Extension Services at the 1994 Institutions

Appropriated funds are to be awarded to the 1994 Land-Grant Institutions for Extension work and funds are to be distributed on the basis of a competitive application process.

NIFA does not require matching support for this program, and matching resources will not be factored into the review process as evaluation criteria.

Under the TCEP, the use of grant funds to plan, acquire, or construct a building or facility, or to acquire land, is not allowed. With prior approval, in accordance with the cost principles set forth in OMB Circular No. A-21, grant funds may

be used to purchase equipment, or for improvements, alterations, renovations, or repairs to land, buildings, or equipment, deemed necessary to retrofit existing spaces and resources in order to carry out a funded project under this grant. However, requests to use grant funds for such purposes must demonstrate that such expenditures are incidental to the major purpose for which the grant request is made and no more than twenty-five percent of the project budget may be used to purchase equipment. Any equipment purchased with Federal funds is the property of the grantee or the subgrantee, as appropriate.

Indirect costs are unallowable costs, and no funds will be approved for this purpose. Costs that are a part of the institutions indirect cost pool may not be reclassified as direct costs for the purpose of making them allowable.

(d) Grants to Youth Serving Institutions (aka Rural Youth Development or RYD Grants)

This Program has not been funded for several years.

(e) Food Animal Residue Avoidance Database Program (FARAD)

Pursuant to Sec. 604 of the Agricultural research extension and Education Reform Act of 1998 [7 USC 7642(d)], applicants requesting recovery of indirect costs should limit their requests to the lesser of their institutions official negotiated indirect costs rate or the equivalent of 19 percent of total Federal funds awarded.

(f) Federal Administration (DIRECT APPROPRIATION) - Extension Activities

For Fiscal Year 2017 appropriated funds, Section 713 of the Consolidated Appropriations Act, 2017 (Pub. L. 114-113) stipulates that indirect costs are not to exceed 30% of total Federal Funds provided under each award.

Other pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447):

In Fiscal Year (FY) 2009 NIFA assessed four percent (4%) of the appropriated amount for Federal Administration, distributed sixty percent (60%) of the balance equally, and distributed the remaining forty percent (40%) based on the formula found in Section 1444 of NAREPTA of 1977, as amended (Pub. L. No. 95-113).

Within each institution, the research, teaching and extension programs must each receive at least 20 percent of the annual allocation. The remaining 40 percent may be allocated based on institutional needs for research, teaching, or extension. No more than 60 percent of the total allocation should be directed to research, teaching, or extension. However, if there are unique situations in your institution which warrant a deviation from these guidelines, we will consider requests for reallocation. Such reallocations must be justified by the submission of a situation statement which describes the research, teaching, and extension facilities needs in your institution and how previously available Federal funds were utilized.

NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria.

The obligation and expenditure of funds awarded under these grants are limited to equipment, land, buildings and other related costs which are or will be used in the administration and conduct of approved research, teaching and extension activities.

In accordance with NAREPTA Section 1447 (e), funds provided for this program may not be used for the payment of any overhead costs of the eligible institutions.

Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as 1890 Institution.

(h) Agriculture Risk Management Education Partnerships Grants Program (aka ARPA, ERME & RME)

For Fiscal Year 2017 appropriated funds, Section 713 of the Consolidated Appropriations Act, 2017 (Pub. L. 114-113) stipulates that indirect costs are not to exceed 30% of total Federal Funds provided under each award.

Other pertinent details will be provided at a future date. Some funds are Fully Discretionary. Some funds are Mandatory. Some funds are formula-based.

Applicant Eligibility:

SPECIAL NOTE:

Please refer to the Competitive, Non-Competitive and/or Capacity Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive and/or Capacity RFAs supersede the general information provided in the CFDA database.

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions 3b & c Capacity (formerly known as formula) Grants:

Applications may be submitted by the following 1862 Land-grant Institutions: Auburn University, University of Alaska-Fairbanks, American Samoa Community College, University of Arizona, University of Arkansas, University of California, Colorado State University, University of Connecticut, University of Delaware, University of Florida, University of Georgia, University of Guam, University of Hawaii, University of Idaho, University of Illinois, Purdue University, Iowa State University, Kansas State University, University of Kentucky, Louisiana State University, University of Maine, University of Maryland-College Park, University of Massachusetts, Michigan State University, College of Micronesia, University of Minnesota, Mississippi State University, University of Missouri, Montana State University, University of Nebraska, University of Nevada-Reno, University of New Hampshire, Rutgers University, New Mexico State University, Cornell University, North Carolina State University, North Dakota State University, Northern Marianas College, Ohio State University, Oklahoma State University, Oregon State University, Pennsylvania State University, University of Puerto Rico, University of Rhode Island, Clemson University, South Dakota State University, University of Tennessee, Texas A & M University, Utah State University, University of Vermont, Virginia Polytechnic Institute & State University, University of the Virgin Islands, Washington State University, West Virginia University, University of Wisconsin, and University of Wyoming.

Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) :

Applications may be submitted by the following 1862 Land-grant Institutions: University of Alaska-Fairbanks, University of Arizona, Colorado State University, University of Idaho, Kansas State University, Montana State University, University of Nebraska, University of Nevada-Reno, New Mexico State University, North Dakota State University, Oregon State University, South Dakota State University, Texas A & M University, Utah State University, University of Vermont, and University of Wyoming.

Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project.

(c) Smith-Lever Special Needs Program

Applications may be submitted with the approval of Extension Directors of 1862 Land-grant Institutions in the 50 states, American Samoa, Guam, Micronesia, Northern Marianas, Puerto Rico, and the U.S. Virgin Islands.

Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. An applicant's failure to meet an eligibility criterion by the time of an application deadline will result in NIFA not accepting the application or, even though an application may be reviewed, will preclude NIFA from making an award.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement):

This program is no longer funded by NIFA. The deadline for the FY 2015 Request for Applications (RFA) was October 15, 2014.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement):

This program is no longer funded by NIFA. The deadline for the FY 2016 RFA was July 20, 2015.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

The University of the District of Columbia, as the 1862 Land-Grant Institution, is the only applicant eligible for funding under the DCPPERA.

The award recipient may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444):

A. Eligible Applicants:

Applications may be submitted by 1890 Land-Grant Universities, including Tuskegee University and West Virginia State University, that conduct agricultural extension activities in accordance with NARETPA section 1444(a)(1): Alabama A&M University; Tuskegee University; University of Arkansas - Pine Bluff; Delaware State University; Florida A&M University; Fort Valley State University; Kentucky State University; Southern University; University of Maryland Eastern Shore; Alcorn State University; Lincoln University; North Carolina A & T State University; Langston University; South Carolina State University; Tennessee State University; Prairie View A&M University; Virginia State University; and West Virginia State University.

Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as 1890 Institution.

Institutions may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project or activity.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education):

Applications may be submitted by State colleges and universities in accordance with Section 3(d) of the Smith-Lever Act: Auburn University; Alabama A & M University; Tuskegee University; University of Alaska; American Samoa Community College; University of Arizona; University of Arkansas; University of Arkansas Pine Bluff; University of California; Colorado State University; University of Connecticut; University of Delaware; Delaware State University; University of the District of Columbia; University of Florida; Florida A & M University; University of Georgia; Fort Valley State University; University of Guam; University of Hawaii; University of Idaho; University of Illinois; Purdue University; Iowa State University; Kansas State University; University of Kentucky; Kentucky State University; Louisiana State University; Southern University; University of Maine; University of Maryland (College Park); University of Maryland (Eastern Shore); University of Massachusetts; Michigan State University; College of Micronesia; University of Minnesota; Mississippi State University; Alcorn State University; University of Missouri;

Lincoln University; Montana State University; University of Nebraska; University of Nevada; University of New Hampshire; Rutgers University; New Mexico State University; Cornell University; North Carolina State University; North Carolina A & T University; North Dakota State University; Northern Marianas College; Ohio State University; Oklahoma State University; Langston University; Oregon State University; Pennsylvania State University; University of Puerto Rico; University of Rhode Island; Clemson University; South Carolina State University; South Dakota State University; University of Tennessee; Tennessee State University; Texas A&M University; Prairie View A & M University; Utah State University; University of Vermont; University of the Virgin Islands; Virginia Polytechnic Institute and State University; Virginia State University; Washington State University; West Virginia University; West Virginia State University; University of Wisconsin; and University of Wyoming.

Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project.

(b) Children, Youth, and Families at Risk (CYFAR)

Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life

Pertinent details will be provided at a future date.

(d) Farm Safety

NOTE: Effective FY 2012, the following programs were consolidated:

Youth Farm Safety;
Farm Safety and Youth Safety Education and Certification; and
Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project.

Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension

Pertinent details will be provided at a future date.

(f) Pest Management

Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)

NOTES:

(1) Effective Fiscal Year 2014, Programs under Sustainable Agriculture (research, education and extension) were merged into a single program under the research account.

(2) See CFDA Number 10.215 for all pertinent details.

(h) Federally Recognized Tribes

Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification

NOTE: Effective FY 2012, the following programs were consolidated:

Youth Farm Safety;
Farm Safety and Youth Safety Education and Certification; and
Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project.

Pertinent details will be provided at a future date.

(j) EIPM Support

Pertinent details will be provided at a future date.

(k) EIPM Coordination

Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act (RREA)

Applications may be submitted by 1862 and 1890 land-grant institutions. Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. An applicant's failure to meet an eligibility criterion by the time of an application deadline will preclude NIFA from making an award.

(b) Rural Health and Safety (RHSE)

Land-grant colleges and universities that are eligible to receive funds under the Act of July 2, 1862 (7 U.S.C. 301 et seq.), and the Act of August 30, 1890 (7 U.S.C. 321 et seq.), including Tuskegee University, West Virginia State University and the University of the District of Columbia.

Applications may be submitted by any of the Tribal colleges and universities designated as 1994 Land-Grant Institutions under the Educational Land-Grant Status Act of 1994, as amended.

Award recipients may subcontract to other organizations provided such organizations are necessary for the conduct of the project. Failure to meet an eligibility criterion by the application deadline will disqualify an applicant from consideration and will result in NIFA returning the application without review or, even though an application may be reviewed, will preclude NIFA from reviewing the application and making an award.

(c) Extension Services at the 1994 Institutions

Applications may be submitted by any of the Tribal colleges and universities designated as 1994 Land-Grant Institutions under the Educational Land-Grant Status Act of 1994, as amended.

Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of project goals and objectives. An applicant's failure to meet an eligibility criterion by the time of an application deadline will result in NIFA returning the application without review or, even though an application may be reviewed, will preclude NIFA from making an award.

(d) Grants to Youth Serving Institutions (RYD)

Pursuant to 7 U.S.C. Section 7630, only the Girl Scouts of the United States of America, the National 4-H Council, the Boy Scouts of America, and the National FFA Organization are eligible to apply. NIFA will accept only one application from each organization. The application must be developed and submitted by the national office of each respective organization. Rural Youth Development awards will be distributed to each of the four (4) eligible organizations that submit an application in accordance with RFA requirements, if the application is found to be worthy of support through the peer review process. The amount awarded to each organization will be determined based on review and recommendations of a peer review panel.

Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project.

If an applicant fails to meet an eligibility criterion by the time of the application deadline, the application will be at risk of being excluded from NIFA review and will preclude NIFA from making an award.

(e) Food Animal Residue Avoidance Database Program (FARAD)

The Secretary shall offer to enter into a contract, grant, or cooperative agreement with 1 or more appropriate colleges and universities to operate the FARAD program.

(f) Federal Administration (DIRECT APPROPRIATION)

Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)

Eligible applicants under this RFA are the 1890 land-grant institutions, including Tuskegee University and West Virginia State University. They are: Alabama A&M University, Tuskegee University, University of Arkansas-Pine Bluff, Delaware State University, Florida A&M University, Fort Valley State University, Kentucky State University, Southern University, University of Maryland-Eastern Shore, Lincoln University, Alcorn State University, North Carolina A&T State University, Langston University, South Carolina State University, Tennessee State University, Prairie View A&M University, Virginia State University, and West Virginia State University.

Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as 1890 Institution.

Beneficiary Eligibility:

Extension Programs at the State and county level are available to the general public.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

JOINT PROGRAM: Cooperative Extension Programs at 1862 Land-grant Institutions and District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER) (Capacity):
<https://nifa.usda.gov/program/smith-lever-act-capacity-grant>

Cooperative Extension Programs at 1862 Land-grant Institutions - (Special Needs) (Capacity):
<https://nifa.usda.gov/sites/default/files/resources/FY18-CES-SLSN-Modification-882017.pdf>

Smith-Lever Special Needs Competitive Grants Program:
<https://nifa.usda.gov/program/smith-lever-act-sections-3b-and-3c-special-needs-capacity-grant>

1890 LGI's and Tuskegee, West Virginia & Central State:
<https://nifa.usda.gov/program/agricultural-extension-programs-1890-institutions>

Expanded Food and Nutrition Education Program (EFNEP):
<https://nifa.usda.gov/resource/fy2017-efnep-rfa>

<https://nifa.usda.gov/funding-opportunity/expanded-food-and-nutrition-education-program-webinars>

Farm Safety and Youth Safety Education and Certification
<https://nifa.usda.gov/funding-opportunity/youth-farm-safety-education-and-certification-program>

New Technologies at Ag Extension (NTAE)
<https://nifa.usda.gov/funding-opportunity/new-technologies-ag-extension-ntae>

Children, Youth, and Families At-Risk (CYFAR); Sustainable Community Projects (CYFAR-SCP) and Professional Development Technology Assistance (CYFAR-PDTA); Sustainable Community Projects (SCP):
<https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects>

Military:
<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-military>

<https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>

Professional and Technical Assistance (PDTA):
<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-professional-development-and-technical>

Federally-Recognized Tribes Extension Program (aka FRTEP):
<https://nifa.usda.gov/funding-opportunity/federally-recognized-tribes-extension-program-frtep-formerly-extension-indian>

Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program) (TCEP) and Special Emphasis (TCEP-SE):
<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep>

<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-program-special-emphasis-tcep-se>

Renewable Resources Extension Act Program - (RREA) and National Focus Fund Projects (RREA-NFF):
<https://nifa.usda.gov/program/renewable-resources-extension-act-capacity-grant>

<https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rrea-nff>

Rural Health and Safety (aka Rural Health & Safety Education):
<https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-co>

mpetitive-grants-program-rhse

1890 Facilities Grant Program (and Renewals):
<https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>

Food Animal Residue Avoidance Database Program (FARAD)
<http://www.farad.org/>

Agriculture Risk Management Education Program (aka RME Program):
<https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>

Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project:
<https://nifa.usda.gov/funding-opportunity/agrability-assistive-technology-program-farmers-disabilities>

Healthy Homes Partnership:
<https://nifa.usda.gov/funding-opportunity/healthy-homes-partnership>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

SPECIAL NOTE:

Please refer to the Competitive, Non-Competitive and/or Capacity Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive and/or Capacity RFAs supersede the general information provided in the CFDA database.

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process.

his program is excluded from coverage under E.O. 12372.

GENERAL PERTINENT DETAILS:

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions [(aka 3b & c Capacity Funds), formerly known as "Formula" funds]

Pre-award form submissions must be submitted to the Cooperative Extension Programs at 1862 Land-grant Institutions program as a new application on the www.grants.gov website. As noted in the Capacity Request for Applications (Capacity RFA), these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system, and together satisfy all legislative and regulatory pre-award requirements.

Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 Land-grant Institutions in order to receive Federal funding. Therefore, each 1862 Land-grant Institution must submit both a 5-Year Plan of Work Update (i.e., submitted each year as an update) and an Annual Report of Accomplishments and Results. Both reports were due by April 1.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) :

Pre-award form submissions must be submitted to Special Needs Program as a new application on the www.grants.gov website. As noted in the Capacity Request for Applications (Capacity RFA), these application packages

complement, rather than duplicate, the information collected via the Plan of Work (POW) system, and together satisfy all legislative and regulatory pre-award requirements.

Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 Land-grant Institutions in order to receive Federal funding. Therefore, each 1862 Land-grant Institution must submit both a 5-Year Plan of Work Update (i.e., submitted each year as an update) and an Annual Report of Accomplishments and Results. Both reports were due by April 1.

(c) Smith-Lever Special Needs Program :

Pertinent info to be determined at a later date.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement):

This program is no longer funded by NIFA. The deadline for the FY 2015 Request for Applications (RFA) was October 15, 2014.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement):

This program is no longer funded by NIFA. The deadline for the FY 2016 RFA was July 20, 2015.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC:

Pre-award form submissions must be submitted to the DCPPERA program as a new application on the www.grants.gov website. As noted in the Capacity Request for Applications (Capacity RFA), these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system, and together satisfy all legislative and regulatory pre-award requirements.

Section 105 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require an approved Plan of Work for extension and research activities at 1862 Land-grant Institutions in order to receive Federal funding. As stated in the Preface to the Federal Register Notice at 71 FR 4107, Although the District of Columbia receives extension funds under the District of Columbia Postsecondary Education Reorganization Act, Public Law 93471, as opposed to the Smith-Lever Act, NIFA has determined that it should be subject to the POW requirements imposed under these guidelines except where expressly excluded. Therefore, the University of the District of Columbia must submit both a 5-Year Plan of Work Update (i.e., submitted as an annual update) and an Annual Report of Accomplishments and Results. Both reports were due April 1.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444):

Pre-award form submissions must be submitted to the Section 1444 Program as a new application on the www.grants.gov website. These application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system, and together satisfy all legislative and regulatory pre-award requirements.

Section 225 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended NARETPA to require an approved Plan of Work for agricultural extension and research activities at 1890 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1890 land-grant institution, including Tuskegee University and West Virginia State University, must submit both a 5-Year Plan of Work Update and an Annual Report of Accomplishments and Results. Both reports are due April 1.

Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as 1890 Institution.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education):

No Standard Form (SF) 424-A, Budget Information for Non-Construction Programs, and no Budget Narrative Attachment Form are required for either the initial or the final submissions. However, the EFNEP-specific forms will be required.

Pre-award form submissions must be submitted to EFNEP as a new application on the www.grants.gov website. The SF-424M Application Package should include:

Application for Federal Assistance (Standard Form (SF) 424M (Mandatory)

NIFA Supplemental Information Form
Key Contacts Form

(b) Youth at Risk (CYFAR):

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(c) Improve Rural Quality of Life

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(d) Farm Safety:

NOTE: The following Farm Safety Programs were combined:

Farm Safety;
Farm Safety and Youth Safety Education and Certification Program; and
Assistive Technology Program for Farmers with Disabilities -National AgrAbility Project.

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(e) New Technologies at Ag Extension (NTAE):

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date.

(f) Pest Management:

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(g) Sustainable Agriculture (SARE-PD)

NOTE: Effective Fiscal Year 2014, Programs under Sustainable Agriculture (research, education and extension) were merged into a single program under the research account.

See CFDA Number 10.215 for pertinent details.

(h) Federally Recognized Tribes:

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(i) Youth Farm Safety Education & Certification:

NOTE: The following Farm Safety Programs were combined:

Farm Safety;
Farm Safety and Youth Safety Education and Certification Program; and
Assistive Technology Program for Farmers with Disabilities -National AgrAbility Project.

Pertinent details will be provided at a future date.

(j) EIPM Support:

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(k) EIPM Coordination:

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act (RREA):

Application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Research, Extension, and Education Project Online Reporting Tool (REReport), and together satisfy all legislative and regulatory pre-award requirements.

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(b) Rural Health and Safety (RHSE):

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(c) Extension Services at the 1994 Institutions:

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(d) Grants to Youth Serving Institutions (RYD):

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(e) Food Animal Residue Avoidance Database Program (FARAD):

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(f) Federal Administration (DIRECT APPROPRIATION):

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(g) 1890 Facilities (Section 1447):

In accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as 1890 Institution.

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. . An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.htm>

I.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

JOINT PROGRAM: Cooperative Extension Programs at 1862 Land-grant Institutions and District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPERA) (Capacity):
<https://nifa.usda.gov/program/smith-lever-act-capacity-grant>

Cooperative Extension Programs at 1862 Land-grant Institutions - (Special Needs) (Capacity):
<https://nifa.usda.gov/sites/default/files/resources/FY18-CES-SLSN-Modification-n-882017.pdf>

Smith-Lever Special Needs Competitive Grants Program:
<https://nifa.usda.gov/program/smith-lever-act-sections-3b-and-3c-special-needs-capacity-grant>

1890 LGI's and Tuskegee, West Virginia & Central State:
<https://nifa.usda.gov/program/agricultural-extension-programs-1890-institutions>

Expanded Food and Nutrition Education Program (EFNEP):
<https://nifa.usda.gov/resource/fy2017-efnep-rfa>

<https://nifa.usda.gov/funding-opportunity/expanded-food-and-nutrition-education-program-webinars>

Farm Safety and Youth Safety Education and Certification
<https://nifa.usda.gov/funding-opportunity/youth-farm-safety-education-and-certification-program>

New Technologies at Ag Extension (NTAE)
<https://nifa.usda.gov/funding-opportunity/new-technologies-ag-extension-ntae>

Children, Youth, and Families At-Risk (CYFAR); Sustainable Community Projects (CYFAR-SCP) and Professional Development Technology Assistance (CYFAR-PDTA):
Sustainable Community Projects (SCP):
<https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects>

Military:
<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-military>

<https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>

Professional and Technical Assistance (PDTA):
<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-professional-development-and-technical>

Federally-Recognized Tribes Extension Program (aka FRTEP):
<https://nifa.usda.gov/funding-opportunity/federally-recognized-tribes-extension-program-frtep-formerly-extension-indian>

Extension Services at the 1994 Institutions (aka Tribal Colleges Extension

Program) (TCEP) and Special Emphasis (TCEP-SE):
<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep>

<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-program-special-emphasis-tcep-se>

Renewable Resources Extension Act Program - (RREA) and National Focus Fund Projects (RREA-NFF):
<https://nifa.usda.gov/program/renewable-resources-extension-act-capacity-grant>
<https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rrea-nff>

Rural Health and Safety (aka Rural Health & Safety Education):
<https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>

1890 Facilities Grant Program (and Renewals):
<https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>

Food Animal Residue Avoidance Database Program (FARAD)
<http://www.farad.org/>

Agriculture Risk Management Education Program (aka RME Program):
<https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>

Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project:
<https://nifa.usda.gov/funding-opportunity/agrability-assistive-technology-program-farmers-disabilities>

Healthy Homes Partnership:
<https://nifa.usda.gov/funding-opportunity/healthy-homes-partnership>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

JOINT PROGRAM: Cooperative Extension Programs at 1862 Land-grant Institutions and District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER) (Capacity):
<https://nifa.usda.gov/program/smith-lever-act-capacity-grant>

Cooperative Extension Programs at 1862 Land-grant Institutions - (Special Needs) (Capacity):
<https://nifa.usda.gov/sites/default/files/resources/FY18-CES-SLSN-Modification-882017.pdf>

Smith-Lever Special Needs Competitive Grants Program:
<https://nifa.usda.gov/program/smith-lever-act-sections-3b-and-3c-special-needs-capacity-grant>

1890 LGI's and Tuskegee, West Virginia & Central State:
<https://nifa.usda.gov/program/agricultural-extension-programs-1890-institutions>

Expanded Food and Nutrition Education Program (EFNEP):
<https://nifa.usda.gov/resource/fy2017-efnep-rfa>

<https://nifa.usda.gov/funding-opportunity/expanded-food-and-nutrition-education-program-webinars>

Farm Safety and Youth Safety Education and Certification
<https://nifa.usda.gov/funding-opportunity/youth-farm-safety-education-and-certification-program>

New Technologies at Ag Extension (NTAE)
<https://nifa.usda.gov/funding-opportunity/new-technologies-ag-extension-ntae>

Children, Youth, and Families At-Risk (CYFAR); Sustainable Community Projects (CYFAR-SCP) and Professional Development Technology Assistance (CYFAR-PDTA):
Sustainable Community Projects (SCP):
<https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects>

Military:
<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-military>

<https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>

Professional and Technical Assistance (PDTA):
<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-professional-development-and-technical>

Federally-Recognized Tribes Extension Program (aka FRTEP):
<https://nifa.usda.gov/funding-opportunity/federally-recognized-tribes-extension-program-frtep-formerly-extension-indian>

Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program) (TCEP) and Special Emphasis (TCEP-SE):
<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep>
<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-program-special-emphasis-tcep-se>

Renewable Resources Extension Act Program - (RREA) and National Focus Fund Projects (RREA-NFF):
<https://nifa.usda.gov/program/renewable-resources-extension-act-capacity-grant>

<https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rea-nff>

Rural Health and Safety (aka Rural Health & Safety Education):
<https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>

1890 Facilities Grant Program (and Renewals):
<https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>

Food Animal Residue Avoidance Database Program (FARAD)
<http://www.farad.org/>

Agriculture Risk Management Education Program (aka RME Program):
<https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>

Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project:
<https://nifa.usda.gov/funding-opportunity/agrability-assistive-technology-program-farmers-disabilities>

Healthy Homes Partnership:
<https://nifa.usda.gov/funding-opportunity/healthy-homes-partnership>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available as follows:

JOINT PROGRAM: Cooperative Extension Programs at 1862 Land-grant Institutions and District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER) (Capacity):
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Cooperative Extension Programs at 1862 Land-grant Institutions - (Special Needs) (Capacity):

<https://nifa.usda.gov/sites/default/files/resources/FY18-CES-SLSN-Modification-882017.pdf>

Smith-Lever Special Needs Competitive Grants Program:
<https://nifa.usda.gov/program/smith-lever-act-sections-3b-and-3c-special-needs-capacity-grant>

1890 LGI's and Tuskegee, West Virginia & Central State:
<https://nifa.usda.gov/program/agricultural-extension-programs-1890-institutions>

Expanded Food and Nutrition Education Program (EFNEP):
<https://nifa.usda.gov/resource/fy2017-efnep-rfa>

<https://nifa.usda.gov/funding-opportunity/expanded-food-and-nutrition-education-program-webneers>

Farm Safety and Youth Safety Education and Certification
<https://nifa.usda.gov/funding-opportunity/youth-farm-safety-education-and-certification-program>

New Technologies at Ag Extension (NTAE)
<https://nifa.usda.gov/funding-opportunity/new-technologies-ag-extension-ntae>

Children, Youth, and Families At-Risk (CYFAR); Sustainable Community Projects (CYFAR-SCP) and Professional Development Technology Assistance (CYFAR-PDTA):
Sustainable Community Projects (SCP):
<https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects>

Military:
<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-military>

<https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>

Professional and Technical Assistance (PDTA):
<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-professional-development-and-technical>

Federally-Recognized Tribes Extension Program (aka FRTEP):
<https://nifa.usda.gov/funding-opportunity/federally-recognized-tribes-extension-program-frtep-formerly-extension-indian>

Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program) (TCEP) and Special Emphasis (TCEP-SE):
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Renewable Resources Extension Act Program - (RREA) and National Focus Fund Projects (RREA-NFF):
<https://nifa.usda.gov/program/renewable-resources-extension-act-capacity-grant>

<https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rea-nff>

Rural Health and Safety (aka Rural Health & Safety Education):
<https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>

1890 Facilities Grant Program (and Renewals):
<https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>

Food Animal Residue Avoidance Database Program (FARAD)

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Agriculture Risk Management Education Program (aka RME Program):
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Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project:
<https://nifa.usda.gov/funding-opportunity/agrability-assistive-technology-program-farmers-disabilities>

Healthy Homes Partnership:
<https://nifa.usda.gov/funding-opportunity/healthy-homes-partnership>

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Appeals:

Not Applicable.

2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available as follows:

JOINT PROGRAM: Cooperative Extension Programs at 1862 Land-grant Institutions and District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER) (Capacity):
<https://nifa.usda.gov/program/smith-lever-act-capacity-grant>

Cooperative Extension Programs at 1862 Land-grant Institutions - (Special Needs) (Capacity):
<https://nifa.usda.gov/sites/default/files/resources/FY18-CES-SLSN-Modification-882017.pdf>

Smith-Lever Special Needs Competitive Grants Program:
<https://nifa.usda.gov/program/smith-lever-act-sections-3b-and-3c-special-needs-capacity-grant>

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<https://nifa.usda.gov/funding-opportunity/expanded-food-and-nutrition-education-program-webneers>

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New Technologies at Ag Extension (NTAE)
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Children, Youth, and Families At-Risk (CYFAR); Sustainable Community Projects (CYFAR-SCP) and Professional Development Technology Assistance (CYFAR-PDTA):
Sustainable Community Projects (SCP):
<https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects>

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<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-military>

<https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>

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<https://nifa.usda.gov/funding-opportunity/federally-recognized-tribes-extension-program-frtep-formerly-extension-indian>

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<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep>

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<https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rrea-nff>

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1890 Facilities Grant Program (and Renewals):
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Food Animal Residue Avoidance Database Program (FARAD)
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Agriculture Risk Management Education Program (aka RME Program):
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Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project:
<https://nifa.usda.gov/funding-opportunity/agrability-assistive-technology-program-farmers-disabilities>

Healthy Homes Partnership:
<https://nifa.usda.gov/funding-opportunity/healthy-homes-partnership>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Formula and Matching Requirements:

Statutory Formula: Title Various. Several Capacity programs are represented under CFDA 10.500. Please refer to the Capacity RFA for specific details.

Matching Requirements: SPECIAL NOTE:

Please refer to the Competitive and/or Capacity Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive and/or Capacity RFAs supersede the general information provided in the CFDA database.

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions [(aka 3b & c Capacity Grants (formerly known as "Formula" Funds)]:

States are eligible for funds appropriated under this Act according to the following formula: Out of each annual allocation, each State will be entitled to receive a sum of money equal to the sums available from Federal cooperative extension funds for the fiscal year 1962, and subject to the same requirements as to furnishing of equivalent sums by the State, except that amounts heretofore made available to the Secretary for allotment on the basis of special needs shall continue available for use on the same basis. Further, the University of Guam and the University of the Virgin Islands will receive \$100,000 each in addition to the sums appropriated for the several States of the United States and Puerto Rico under the provisions of sections 3(b) and 3(c) of the Smith-Lever Act. Of the remainder so appropriated for each fiscal year 20 per cent will be paid to the several States in equal proportions, 40 per cent will be paid to the several States in the proportion that the rural population of each bears to the total rural population of the several States as determined by the census, and the balance will be paid to the several States in the proportion that the farm population of each bears to the total farm population of the several States as determined by the census. Any appropriation made under sections 3(b) and 3(c) of the Smith-Lever Act will be allotted in the first and succeeding years on the basis of the decennial census current at the time the appropriation is first made, and as to any increase, on the basis of decennial census current at the time such increase is first appropriated.

Cost Sharing or Matching

Section 3(e)(1) of the Smith-Lever Act (7 U.S.C. 343(e)(1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work. However, section 3(e)(4) of the Smith-Lever Act (U.S.C. 343(e)(4)) provides that Effective beginning for fiscal year 2003, in lieu of the matching funds requirement of paragraph (1), the insular areas of [American Samoa,] the Commonwealth of Puerto Rico, Guam, [Micronesia, the Northern Marianas Islands,] and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section..... The Secretary may waive the matching fund requirement [of 50 percent] for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) :

Funds will be distributed to the institutions on a quarterly basis. See Appendix A of the Capacity Request for Applications (RFA) for the specific allocation.

Cost Sharing or Matching

1862 Land-grant Institutions in the 50 States must match 100 percent of the Special Needs funds they receive with funds from non-Federal sources such as State or county appropriations. The matching must be in the form of cash. Matching funds must be used for extension activities approved in the eligible institutions 5-Year Plan of Work.

(c) Smith-Lever Special Needs Program :

Cost Sharing or Matching

Pursuant to rules and policies governing Section 3(b) & (c) of the SmithLever Act, as amended through Public Law 107-293, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work.

1. To comply with the matching requirements of the Special Needs Program, applicants are required to provide 100 percent matching funds from non-federal sources for all proposed federal funds sought in the application. In-kind and third party contributions are not allowed for the Smith-Lever Special Needs

program. Grant awards cannot be issued until ALL required matching has been documented and verified.

2. Matching Alternative. Extension Directors may certify an offset of guaranteed non-Federal funds to your application. The signed certification of offset must be scanned and included as a PDF attachment (see Part IV.,B.,2.,c.,(1) for specific instructions).

3. Matching Exception. Insular Areas: In lieu of the matching funds requirement, the insular areas of the Commonwealth of Puerto Rico, Guam, and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the grants awarded. The Secretary may waive this matching fund requirement for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year. Insular applicants may submit a waiver request as a PDF attachment to the application (see Part IV.,B.,2.,c.,(2) for specific instructions).

For all projects:

Matching: Applications shall include written verification of commitments of matching support from non-federal sources. Written verification means:

The sources and the amount of all matching support from outside the applicant organization should be summarized on a separate page and placed in the application as a part of the Budget

Justification attachment (see Field K on the Form SF 424 (R&R) Budget). Include the matching amount, the budget category for the match, and detail how the matching support, from each source, will be used (e.g., salary and position supported). Additionally, all pledge agreements must be included as a PDF attachment in Field K as well.

The value of applicant contributions to the project shall be established in accordance with the applicable cost principles. Applicants should refer to OMB Circulars A-21, Cost Principles for Educational Institutions; A-87, Cost Principles for State, Local, and Tribal Governments; 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations (OMB Circular A110); for further guidance and other requirements relating to allowable costs.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement):

This program is no longer funded by NIFA. The deadline for the FY 2015 Request for Applications (RFA) was October 15, 2014.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement):

This program is no longer funded by NIFA. The deadline for the FY 2016 RFA was July 20, 2015.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC:

There is no matching requirement for these funds.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444):

The 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University, are eligible for funds appropriated under this Act according to the following formula: Any funds annually appropriated under NARETPA section 1444 up to the amount appropriated for the fiscal year ending September 30, 1978, pursuant to section 3(d) of the Act of May 8, 1914, as amended, for eligible institutions, will be allocated among the eligible institutions in the same proportion as funds appropriated under section 3(d) of the Act of May 8, 1914, as amended, for the fiscal year ending September 30, 1978, are allocated among the eligible institutions. Of the funds in excess of

that amount, 20 per cent will be allotted among the eligible institutions in equal proportions; 40 per cent will be allotted among the eligible institutions in the proportion that the rural population of the State in which each eligible institution is located bears to the total rural population of all the States in which eligible institutions are located, as determined by the last preceding decennial census current at the time each such additional sum is first appropriated; and the balance will be allotted among the eligible institutions in the proportion that the farm population of the State in which each eligible institution is located bears to the total farm population of all the States in which the eligible institutions are located, as determined by the last preceding decennial census current at the time each such additional sum is first appropriated. For purposes of computing the distribution, the allotments to Tuskegee University and Alabama A&M University will be determined as if each institution were in a separate State.

Cost Sharing or Matching

NARETPA section 1449 (7 U.S.C. 3222d) states that the State shall provide matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ... 100 percent of the formula [capacity grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ... Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement.

7 CFR 3419.1 defines matching funds as funds from non-Federal sources made available by the State to the eligible institutions ... [for] programs or activities that fall within the purposes of agricultural research and cooperative extension under sections 1444 and 1445 of NARETPA ...or [for] qualifying educational activities. Matching funds means cash contributions and excludes in-kind matching contributions. It defines non-Federal sources as funds made available by the State to the eligible institution either through direct appropriation or under any authority (other than authority to charge tuition and fees paid by students) provided by a State to an eligible institution to raise revenue, such as gift acceptance authority or user fees. Finally, it defines qualifying educational activities as programs that address food and agricultural sciences components of an eligible institution.

7 CFR 3419.6 states that The required matching funds for the formula programs shall be used by an eligible institution for agricultural research and extension activities that have been approved in the plan of work required under sections 1444(d) and 1445(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977... or for .. qualifying education activities.

Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as 1890 Institution.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education):

Section 7116 of the Food, Conservation, and Energy Act of 2008 (FCEA) amended Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3175) to accomplish the following:

Notwithstanding section 3(d) of the Smith-Lever Act of May 8, 1914 [7 U.S.C. 343(d)] for administration, technical, and other services for coordinating the extension work of the Department and the several States, Territories, and possessions the remainder shall be allocated among the States as follows:

1. 1862 Institutions shall receive a base in an amount equaling their FY 1981 allocation.
2. Four percent (4%) shall be available for administrative expenses.
3. \$100,000 will be distributed to each 1862 and 1890 Land-Grant Institution including the University of the District of Columbia.

4. Effective fiscal year (FY) 2009 10 percent of funds appropriated for EFNEP in excess of funds appropriated will be allocated to the 1890 Institutions in an amount that bearing the same ratio to the population living at or below 125 percent of the poverty level (as prescribed by the Office of Management and Budget and as adjusted pursuant to section 673(2) of the Community Services Block Grant Act (42 U.S.C.9902(2))) in the State where the 1890 Institution is located; bears to the total population living at or below 125 percent of the poverty level in all States where 1890 Institutions are located; as determined by the most recent decennial census at the time when the appropriated amount first exceeds levels appropriated for EFNEP. The FY 2009 rate of 10 percent is to increase by 1 percentage point annually until FY 2014 maxing out at 15 percent and remaining at that level for every year thereafter.

5. The remainder will be allocated to each 1862 Land-Grant Institutions in an amount that bears the same ratio to the total amount allocated as the population living at or below 125 percent of the poverty level in the State; bears to the total population living at or below 125 percent of the poverty level in all States; as determined by the most recent decennial census at the time at which each such additional amount is first appropriated.

Cost Sharing or Matching

There is no cost-sharing or matching requirement for these funds.

(b) Children, Youth, and Families at Risk (CYFAR):

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(c) Improve Rural Quality of Life:

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(d) Farm Safety:

NOTE: The following Farm Safety Programs were combined:
Farm Safety;
Farm Safety and Youth Safety Education and Certification Program; and
Assistive Technology Program for Farmers with Disabilities -National AgrAbility Project.

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(e) New Technologies at Ag Extension (NTAE):

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(f) Pest Management:

Program was consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM).

(g) Sustainable Agriculture (SARE-PD):

NOTE: Effective Fiscal Year 2014, Programs under Sustainable Agriculture (research, education and extension) were merged into a single program under the research account.

See CFDA Number 10.215 for pertinent details.

(h) Federally Recognized Tribes:

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(i) Youth Farm Safety Education & Certification:

NOTE: The following Farm Safety Programs were combined:

Farm Safety;
Farm Safety and Youth Safety Education and Certification Program; and
Assistive Technology Program for Farmers with Disabilities -National
AgrAbility Project.

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(j) EIPM Support:

Program was consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM).

(k) EIPM Coordination:

Program was consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM).

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act (RREA):

The majority of the appropriated funds are distributed to eligible institutions based on a formula that considers the geographic extent, ecosystem productivity, economic contribution, and population for each state.

Since FY 2002, a small amount of these funds have been used to fund National Focus Fund Projects. (RREA-NFF).

NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria.

(b) Rural Health and Safety (RHSE):

The Rural Health and Safety Education Competitive Grants Program provides funds to meet national goals for addressing the health and access needs of rural Americans.

NIFA does not require matching or cost sharing support for this program and matching resources will not be factored into the review process as evaluation criteria.

NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

(c) Extension Services at the 1994 Institutions (TCEP):

Appropriated funds are to be awarded to the 1994 Land-Grant Institutions for Extension work and funds are to be distributed on the basis of a competitive application process.

NIFA does not require matching support for this program, and matching resources will not be factored into the review process as evaluation criteria.

Under the TCEP, the use of grant funds to plan, acquire, or construct a building or facility, or to acquire land, is not allowed. With prior approval, in accordance with the cost principles set forth in OMB Circular No. A-21, grant funds may

be used to purchase equipment, or for improvements, alterations, renovations, or repairs to land, buildings, or equipment, deemed necessary to retrofit existing spaces and resources in order to carry out a funded project under this grant. However, requests to use grant funds for such purposes must demonstrate that such expenditures are incidental to the major purpose for which the grant request is made and no more than twenty-five percent of the project budget may be used to purchase equipment. Any equipment purchased with Federal funds is the property of the grantee or the subgrantee, as appropriate.

(d) Grants to Youth Serving Institutions (RYD):
This Program has not been funded for several years.

(e) Food Animal Residue Avoidance Database Program (FARAD):

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(f) Federal Administration (DIRECT APPROPRIATION):

Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

(g) 1890 Facilities (Section 1447):

NIFA assessed four percent (4%) of the appropriated amount for Federal Administration, distributed sixty percent (60%) of the balance equally, and distributed the remaining forty percent (40%) based on the formula found in Section 1444 of NAREPTA of 1977, as amended (Pub. L. No. 95-113).

Within each institution, the research, teaching and extension programs must each receive at least 20 percent of the annual allocation. The remaining 40 percent may be allocated based on institutional needs for research, teaching, or extension. No more than 60 percent of the total allocation should be directed to research, teaching, or extension. However, if there are unique situations in your institution which warrant a deviation from these guidelines, we will consider requests for reallocation. Such reallocations must be justified by the submission of a situation statement which describes the research, teaching, and extension facilities needs in your institution and how previously available Federal funds were utilized.

NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria.

The obligation and expenditure of funds awarded under these grants are limited to equipment, land, buildings and other related costs which are or will be used in the administration and conduct of approved research, teaching and extension activities. In accordance with NARETPA Section 1447 (e), funds provided for this program may not be used for the payment of any overhead costs of the eligible institutions.

Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as 1890 Institution.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The statutory time limits, project periods, including no-cost extensions of time, varies:

For some of the projects, funds must be fully expended in the fiscal year of appropriation.

For some projects, funds may be carried over for up to one (1) year after the end of the year for which they were appropriated.

For other projects, the statutory time limit may range from one (1) to five (5) years.

NOTE: The applicable statutory time limit is reflected in the Award document and the Award Terms and Conditions.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available as follows:

JOINT PROGRAM: Cooperative Extension Programs at 1862 Land-grant Institutions and District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER) (Capacity):
<https://nifa.usda.gov/program/smith-lever-act-capacity-grant>

Cooperative Extension Programs at 1862 Land-grant Institutions - (Special Needs) (Capacity):
<https://nifa.usda.gov/sites/default/files/resources/FY18-CES-SLSN-Modification-882017.pdf>

Smith-Lever Special Needs Competitive Grants Program:
<https://nifa.usda.gov/program/smith-lever-act-sections-3b-and-3c-special-needs-capacity-grant>

1890 LGI's and Tuskegee, West Virginia & Central State:
<https://nifa.usda.gov/program/agricultural-extension-programs-1890-institutions>

Expanded Food and Nutrition Education Program (EFNEP):
<https://nifa.usda.gov/resource/fy2017-efnep-rfa>

<https://nifa.usda.gov/funding-opportunity/expanded-food-and-nutrition-education-program-webneers>

Farm Safety and Youth Safety Education and Certification
<https://nifa.usda.gov/funding-opportunity/youth-farm-safety-education-and-certification-program>

New Technologies at Ag Extension (NTAE)
<https://nifa.usda.gov/funding-opportunity/new-technologies-ag-extension-ntae>

Children, Youth, and Families At-Risk (CYFAR); Sustainable Community Projects (CYFAR-SCP) and Professional Development Technology Assistance (CYFAR-PDTA): Sustainable Community Projects (SCP):
<https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects>

Military:
<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-military>

<https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>

Professional and Technical Assistance (PDTA):
<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-professional-development-and-technical>

Federally-Recognized Tribes Extension Program (aka FRTEP):
<https://nifa.usda.gov/funding-opportunity/federally-recognized-tribes-extension-program-frtep-formerly-extension-indian>

Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program) (TCEP) and Special Emphasis (TCEP-SE):
<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep>

<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-program-special-emphasis-tcep-se>

Renewable Resources Extension Act Program - (RREA) and National Focus Fund Projects (RREA-NFF):
<https://nifa.usda.gov/program/renewable-resources-extension-act-capacity-grant>

<https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rrea-nff>

Rural Health and Safety (aka Rural Health & Safety Education):
<https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>

1890 Facilities Grant Program (and Renewals):
<https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>

Food Animal Residue Avoidance Database Program (FARAD)
<http://www.farad.org/>

Agriculture Risk Management Education Program (aka RME Program):
<https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>

Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project:
<https://nifa.usda.gov/funding-opportunity/agrability-assistive-technology-program-farmers-disabilities>

Healthy Homes Partnership:
<https://nifa.usda.gov/funding-opportunity/healthy-homes-partnership>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REEReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEReport system. Information on REEReport can be found on NIFA's web site at <https://nifa.usda.gov/tool/reereport> and the REEReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEReport Database--

Research, Education, and Extension project online reporting tool (REEReport) All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is:

REEReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Capacity, Competitive, and/or Non-Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate

information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

1890 Facilities Terms and Conditions (dated May, 2017).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. PROGRESS REPORTS:

See above for pertinent and specific details. EXPENDITURE REPORTS:

See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

(Project Grants) FY 16 \$829,717,501; FY 17 est \$831,521,705; and FY 18 est \$520,232,707 - SPECIAL NOTES:

(1) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

(2) Previously numerous programs were included in CFDA # 10.500, for the Cooperative Extension Service (CES). During Fiscal Year 2017, ten (10) new CFDA numbers were created, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards for the following programs:

CFDA # 10.511:

(1) Cooperative Extension Programs at 1862 Land-grant Institutions (including Special Needs) (aka 1862 CES);

(2) District of Columbia Public Postsecondary Education Reorganization Act Program (aka DCPPERA); Joint RFAs have been published in recent years. and

(3) Smith-Lever Special Needs Competitive Grants Program (aka SLSNCGP).

CFDA # 10.512:
Agriculture Extension at 1890 Land-grant Institutions (aka 1890 LGIs Section 1444)

CFDA # 10.513:
1890 Facilities Grant Program (including Renewals)

CFDA # 10.514:
Expanded Food and Nutrition Education Program (aka EFNEP)

CFDA # 10.515:
Renewable Resources Extension Act (including National Focus Fund Projects) (aka RREA and RREA-NFF)

CFDA # 10.516:
Rural Health and Safety Education (aka RHSE)

CFDA # 10.517:
Tribal Colleges Extension Program (including Special Emphasis) (aka Extension Services as 1994 Institutions, TCEP and TCEP-SE) and Federally Recognized Tribes Extension Program (FRTEP)

CFDA # 10.518:
Food Animal Residue Avoidance Database Program (aka FARAD)

CFDA # 10.520:
Agriculture Risk Management Education Partnership Competitive Grants Program (aka ARME and/or RME)

CFDA # 10.521:
Children, Youth, and Families At-Risk (including Sustainable Community Projects and Professional Development and Technical Assistance) (aka CYFAR; CYFAR-SCP and CYFAR-PDTA) and CYFAR Military Partnerships.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per the Capacity, Competitive, and/or Non-Competitive project grant, or cooperative agreement are established, these amounts will be announced in the annual Capacity, Competitive, and/or Non-Competitive Request for Application (RFA).

The most current RFAs are available as follows:

JOINT PROGRAM: Cooperative Extension Programs at 1862 Land-grant Institutions and District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER) (Capacity):
<https://nifa.usda.gov/program/smith-lever-act-capacity-grant>

Cooperative Extension Programs at 1862 Land-grant Institutions - (Special Needs) (Capacity):
<https://nifa.usda.gov/sites/default/files/resources/FY18-CES-SLSN-Modification-882017.pdf>

Smith-Lever Special Needs Competitive Grants Program:
<https://nifa.usda.gov/program/smith-lever-act-sections-3b-and-3c-special-needs-capacity-grant>

1890 LGI's and Tuskegee, West Virginia & Central State:
<https://nifa.usda.gov/program/agricultural-extension-programs-1890-institutions>

Expanded Food and Nutrition Education Program (EFNEP):
<https://nifa.usda.gov/resource/fy2017-efnep-rfa>

<https://nifa.usda.gov/funding-opportunity/expanded-food-and-nutrition-education-program-webneers>

Farm Safety and Youth Safety Education and Certification
<https://nifa.usda.gov/funding-opportunity/youth-farm-safety-education-and-cert>

ification-program

New Technologies at Ag Extension (NTAE)
<https://nifa.usda.gov/funding-opportunity/new-technologies-ag-extension-ntae>

Children, Youth, and Families At-Risk (CYFAR); Sustainable Community Projects (CYFAR-SCP) and Professional Development Technology Assistance (CYFAR-PDTA):
Sustainable Community Projects (SCP):
<https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects>

Military:
<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-military>

<https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>

Professional and Technical Assistance (PDTA):
<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-professional-development-and-technical>

Federally-Recognized Tribes Extension Program (aka FRTEP):
<https://nifa.usda.gov/funding-opportunity/federally-recognized-tribes-extension-program-frtep-formerly-extension-indian>

Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program) (TCEP) and Special Emphasis (TCEP-SE):
<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep>

<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-program-special-emphasis-tcep-se>

Renewable Resources Extension Act Program - (RREA) and National Focus Fund Projects (RREA-NFF):
<https://nifa.usda.gov/program/renewable-resources-extension-act-capacity-grant>

<https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rrea-nff>

Rural Health and Safety (aka Rural Health & Safety Education):
<https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>

1890 Facilities Grant Program (and Renewals):
<https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>

Food Animal Residue Avoidance Database Program (FARAD)
<http://www.farad.org/>

Agriculture Risk Management Education Program (aka RME Program):
<https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>

Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project:
<https://nifa.usda.gov/funding-opportunity/agrability-assistive-technology-program-farmers-disabilities>

Healthy Homes Partnership:
<https://nifa.usda.gov/funding-opportunity/healthy-homes-partnership>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

TAFS Codes:

12-2016-2016-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The following represents accomplishments for active Programs:

(A), (B) and (CC) - Cooperative Extension Programs at 1862 Land-grant Institutions (aka CES Smith-Lever Regular and CES Smith-Lever Special Needs), and District of Columbia Public Postsecondary Education Reorganization Act Program (aka DCPPERA) [Section 3 (b) & (c)] - (Capacity previously known as Formula)

Cooperative Extension Programs at 1862 Land-Grant Institutions were allocated \$288,676,390 to support Extension activities. Awards ranged from \$1,133,542 to \$13,255,226. The total amount of required matching funds in FY 2016 was \$280,548,168. Both programs have matching requirements; with over 98 percent of the organizations that receive funds having to match 100% of the award amount.

Special Needs program was allocated \$1,029,979 to support individual projects that may be initiated under this program. Awards ranged from \$11,347 to \$111,504. The total amount of required matching funds in FY 2016 was \$1,029,979.

Combined appropriation for both programs was \$289,052,443.

University of the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPERA)

In Fiscal Year (FY) 2016, \$1,196,560.00, (representing the Appropriation, less the legislatively mandated set-asides), was available to fund this program allocated solely to the University of the District of Columbia (UDC). There is no matching requirement for this program. Administrative costs are taken from Smith-Lever funds. Funds are being used to carry out educational programs and research in Climate Change; Global Food Security and Hunger; Health, Nutrition and Childhood Obesity Prevention; Urban Families, Youth and Communities; and Sustainable Energy through UDCs two (2) essential land-grant components, the Agricultural Experiment Station and the Cooperative Extension Service.

(C) Smith-Lever Special Needs Competitive Grants Program [Section 3 (b) & (c)]

The National Institute of Food and Agriculture (NIFA) funded Smith Lever Special Needs projects to implement applied scientific programs that serve public needs in preparation for, during and after local or regional emergency situations or disasters.

The program received seven (7) applications, and the total allocation was \$461,619. The range of proposal funded was from \$12,500 for a planning grant to \$180,000.

(D) Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University, West Virginia State University, and Central State University (aka 1890 LGIs and Section 1444) - (Capacity, previously known as Formula)

In Fiscal Year (FY) 2016, \$45,620,000 was appropriated for this program. Funds in the amount of \$43,795,200 were provided to support the Extension programs at the 19 1890 Land-Grant Universities, in 18 states. Funds are distributed on a formula basis.

(E) & (EE) - Expanded Food and Nutrition Education Program (aka EFNEP) [Smith-Lever - Section 3 (d)] - (Capacity previously known as Formula) and EFNEP WebNEERS (Competitive)

The Expanded Food and Nutrition Education Program (EFNEP) brings together federal, state, and local resources to target two (2) primary audiences: low-income families with young children and low-income youth. The program

is delivered by the 1862 and the 1890 Land-Grant Institutions. Since 1969, EFNEP has reached more than 33 million low-incomes families and youth, improving their diets and food-related behaviors. Each year EFNEP enrolls more than half a million new program participants. In FY2016, EFNEP received \$67,417,320 in federal funding. EFNEP reached 118,976 adults and 365,369 youth directly; and more than 345,000 family members indirectly (source: EFNEPs evaluation and reporting system, WebNEERS). 84% of EFNEP participants who reported income were at or below 100% of poverty, earning \$24,300 a year or less for a family of four (4). At least 74% of all EFNEP adults are minorities. This is significant because poor health disproportionately affects minority and low-income populations. As well, educational opportunities and resources are limited.

EFNEP consistently demonstrates strong results. FY 2016 data confirms adult graduates:

- Improve their diets: 94% report more closely following dietary recommendations, including consuming an extra 1/2 cup of fruits and vegetables;
- Improve their nutrition practices: 89% improve nutrition practices, such as making healthier food choices and reading nutrition labels;
- Stretch their food dollars farther: 84% improve food resource management practices, such as planning meals and shopping with a grocery list;
- Handle food more safely: 65% improve food safety practices, such as storing and thawing food properly; and/or
- Increase their physical activity rates: 40% increase their physical activity level by 30 minutes or more.

(G), (H) & (W) Farm Safety, Youth Farm Safety Education and Certification, and Assistive Technology Program for Farmers with Disabilities (AgrAbility Project)

In FY 2012 Congress consolidated Extension 3(d) line items Farm Safety (AgrAbility) and Youth Farm Safety Education and Certification into a single line item.

In FY 2016, after legislatively mandated set asides approximately \$4,424,474 was available to support Farm Safety (i.e., AgrAbility) and Youth Farm Safety Education and Certification (YFSEC) programs.

Of this amount, \$300,000 was made available for a YFSEC project to continue the work commenced via prior years funding. Further, approximately \$3,592,500 was made available to support twenty (20) continuation awards, all State/Regional AgrAbility Projects (SRAPs) and one (1) new National AgrAbility Project (NAP).

SRAPs maintained their focus on supporting state and regional projects that increase the likelihood that individuals with disabilities and their families engaged in production agriculture (AgrAbility customers) will become more successful.

NIFA received two (2) proposals and funded one (1). Funding ratio was 50%.

(I) New Technologies at Ag Extension (aka NTAE)

In Fiscal Year (FY) 2016, NIFA awarded a continuation grant in the amount of \$1,488,000 to the New Technologies for Ag Extension (NTAE) program. In 2016, eXtension continued to work from its FY 2015-2018 strategic plan which charged eXtension to apply its technology expertise and broad national network to become a major catalyst for increasing innovation and the impact of Cooperative Extension Service (CES) professionals work throughout the entire CES.

(J), (U) & (V) - Children, Youth, and Families At-Risk (aka CYFAR), Sustainable Community Projects (aka CYFAR-SCP) and Professional Development and Technical Assistance (aka CYFAR-PDТА)

Total CYFAR funding was \$8,053,350. Sustainable Community Project (SCP) funding represented 47 successful applicants (new and continued awards) received \$6,920,000.00. Six (6) new projects were funded totaling \$480,000.

The funding ratio for new projects was 19%.

The CYFAR Professional and Development and Technical Assistance (PDTA) funding will be \$733,350.

The CYFAR Military PDTA funding was \$400,000.

(L) Federally-Recognized Tribes Extension Program (aka FRTEP)

In Fiscal Year (FY) 2016, the FRTEP program represented the final year of a four-year continuation. NIFA made awards of \$2,917,440 to 36 extension offices through its 1862 Land Grant Universities. Awards ranged from \$65,400 to \$117,401. A portion of the \$117,401 award will be used to support all 36 Extension offices along with attendance at the 2016 Extension Professional Development conference in Flagstaff Arizona.

(M) & (BB) - Extension Services at the 1994 Institutions Program (aka Tribal Colleges Extension Program and TCEP) and Tribal Colleges Extension - Special Emphasis (aka TCEP-SE)

The program awarded \$ 4,265,460 to states. A new competition was held in special emphasis resulting 14 new projects to 13 institutions, with one (1) school receiving two (2) special emphasis awards.

(N) & (O) - Renewable Resources Extension Act Program (aka RREA) (Capacity, previously known as Formula) and - National Focus Fund (Competitive) Projects (aka RREA-NFF)

The amount available to fund this Capacity program was \$3,897,600. Impacts resulted in:

Number of educational events 8,584
Number of landowners adopting at least one (1) new management practice 86,013
Number of forest and rangeland acres impacted 39,859,726
Number of management plans developed 5,088
Number of forest, range, fish and wildlife income-generating businesses created or expanded 1,354
Number of new jobs created 229

(P) Rural Health and Safety (aka Rural Health & Safety Education)

In Fiscal Year (FY) 2016, \$1,437,750.00 was available to support Extension projects through the Rural Health and Safety (RHSE) program.

Proposals were expected to be community-based, outreach education programs, such as those conducted through Human Science extension outreach, that provides individuals and families with:

Information as to the value of good health at any age;
Information to increase individual or family motivation to take more responsibility for their own health;
Information regarding rural environmental health issues that directly impact on human health;
Information about and access to health promotion and educational activities; and
Training for volunteers and health services providers concerning health promotion and health care services for individuals and families in cooperation with state, local and community partners.

Proposed budgets for projects were capped at \$350,000.

A total of 16 applications were received and reviewed in the peer panel review process. Four (4) awards made, representing a 25% success rate.

(Q) 1890 Facilities Grant Program (aka Section 1447 Grants)

Congress appropriated \$19,730,000 to support this program. The funding allocation to the states were \$18,940,800, which reflects adjustments for legislatively mandated set-asides. This funding supported the fourth year of the

five-year Plan of Work at 19 of the 1890 Land-Grant Institution. The range of funding was \$701,953 to \$1,510,902 which was distributed to the 19 institutions on a formula basis.

Facilities funds are being used for the acquisition and improvement of agricultural and food sciences facilities and equipment, as well as libraries, so the 1890 land-grant institutions may participate fully in the development of human capital in the food and agricultural sciences.

(R) Food Animal Residue Avoidance Database Program (aka FARAD)

For the Fiscal Year (FY) 2016 award cycle, Congress appropriated \$1,200,000 to support FARAD, which was funded under its own line item authority.

Four (4) applications were received and evaluated under competition waiver administrative processes. FARAD applications were merit reviewed internally by National Program Staff and all four (4) entities were funded.

This 30-year-old high performing integrated consortium of collaborating institutions currently includes at each Land Grant campus a lead Project Director (PD), and his/her respective scientific staff.

The funding ratio for this program in FY 2016 was 100% due to the competition waiver process.

FARAD is a university-based national program that serves as the nations primary source for scientifically-based recommendations regarding safe withdrawal intervals of drugs (used off label) and chemicals in food-producing animals. As such, FARAD is a key resource for protection of our nation's food supply, including meat, milk and eggs, against accidental contamination of animal-derived foods with residues of drugs, pesticides or other agents that could compromise food safety.

(T) Agriculture Risk Management Education Program (aka RME Program)

The amount available for support of this continuation grant program in FY 2016 was \$4,473,600.

Five (5) proposals were submitted, accepted in GAC and underwent a Noncompetitive Review NIFA National Program Leader. All five (5) were recommended to receive funding for Agriculture Risk Management Education grant. Amounts awarded to the five (5) institutions throughout the United States are:

North Central RME Center Amount: \$ 1,087,536
Digital Center for RME Amount: \$ 360,768
Western RME Center Amount: \$ 1,087,536
Northeastern Center for RME Amount: \$ 850,224
Southern RME Center Amount: \$ 1,082,736

NOTE: Mandatory program delegated to another USDA agency (Risk Management Agency) but administered by NIFA.

(DD) Healthy Homes Partnership (Competitive RFA)

The purpose of the Healthy Homes Partnership is to link the resources of the USDA National Institute of Food & Agriculture Land Grant Universities with the U.S. Department of Housing and Urban Development, Office of Lead Hazard Control and Healthy Homes for a public outreach program that reduces housing deficiencies and risks associated with childhood diseases and injuries. The project reduced hazards in the home related to air quality (carbon monoxide, radon, and mold), drinking water, pests, and pesticides to improve families health resulting in decrease in asthma & allergies and improved home safety. This project was competed in FY 2015 and awarded to one (1) institution. It was awarded noncompetitively in FY 2016 to the same Coordinating Institution.

The following Programs are no longer funded under CFDA # 10.500 CES: hence, no action is required:

(F) Pest Management - SPECIAL NOTE:
Regional Programs were subsequently, consolidated under CFDA # 10.329,
Crop Protection and Pest Management (CPPM).

(K) Sustainable Agriculture Research and Education - Professional
Development (aka SARE-PD and SARE Chapter 3 Program)

Effective FY 2014, funding for the Sustainable Agriculture Research and
Education Program (Chapters 1 and 3) was consolidated. See CFDA # 10.215
for pertinent details.

(S) Grants to Youth Serving Institutions
(aka Rural Youth Development Grants Program and RYD)

(X) Extension IPM Coordination and Support Program (IPM-CS)
Program was subsequently, consolidated under CFDA # 10.329, Crop
Protection and Pest Management (CPPM).

(Y) Extension Outreach on the Marketplace Exchanges of the Affordable Care
Act

(Z) Military Family Readiness System (aka MFRS)

(AA) Second Language & Culture Exposure for Children Project (aka
SLCECY)

(FF) 4-H Military Partnership Professional Development and Technical
Assistance Program (4HMP-PDTA). Fiscal Year 2017: For Fiscal Year (FY)
2017:

The following represents accomplishments for active Programs:

(A), (B) and (CC) - Cooperative Extension Programs at 1862 Land-grant
Institutions and District of Columbia Public Postsecondary Education
Reorganization Act Program (aka DCPPERA)

Cooperative Extension Programs at 1862 Land-Grant Institutions were
allocated \$288,022,464 to support Extension activities. Awards ranged from
\$1,133,542 to \$13,255,226. The total amount of required matching funds in
FY-2017 was \$280,548,168. Both programs have matching requirements; with
over 98 percent of the organizations that receive funds having to match 100%
of the award amount. Combined appropriation for both programs is
\$289,045,417.

Special Needs program was allocated \$1,029,979 to support individual projects
that may be initiated under this program. Awards ranged from \$11,347 to
111,504. The total amount of required matching funds in FY 2017 was
\$1,029,979.

University of the District of Columbia Public Postsecondary Education
Reorganization Act Program (DCPPERA)

For Fiscal Year (FY) 2017, the funding allocation is \$1,204,360. There is no
matching requirement for this program. Administrative costs are taken from
Smith-Lever funds. The College of Agriculture, Urban Sustainability and
Environmental Sciences (CAUSES) embodies the land-grant tradition,
continuing to offer cutting edge academic programs in environmental science,
urban sustainability, water resources management, nutrition and dietetics, urban
architecture and community planning. Funds are being used to carry out
educational programs and research in Climate Change; Global Food Security
and Hunger; Health, Nutrition and Childhood Obesity Prevention; Urban
Families, Youth and Communities; and Sustainable Energy through UDCs
two (2) essential land-grant components, the Agricultural Experiment Station
and the Cooperative Extension Service including five (5) land-grant centers:
(1) Center for Urban Agriculture & Gardening Education;
(2) Center for Sustainable Development;
(3) Center for Nutrition, Diet & Health; and
(4) Center for 4-H & Youth Development; and

(5) Architectural Research Institute.

(C) Smith-Lever Special Needs Competitive Grants Program

The Smith Lever Special Needs projects will fund the development of
educational programs and demonstration activities focusing on disaster
preparedness, mitigation, response, and recovery. The projects must support
innovative, education-based approaches to addressing emergency preparedness
and specific responses related to natural and human-made disasters.

Eight (8) applications were received and six (6) have been recommended for
funding in FY 2017, totaling \$461,619.

(D) Agricultural Extension at 1890 Land-Grant Institutions

In FY 2017 Congress appropriated \$45,620,000 to this program. After
administrative deductions, \$43,795,200 was available to support Extension
activities at the 19 1890 Land-Grant Universities. This program requires a
dollar for dollar match. However, a matching waiver may be granted by the
funding agency with appropriate justification and a good faith effort by the
recipient institution to secure the required 100% match.

(E) & (EE) - Expanded Food and Nutrition Education Program
(aka EFNEP) and EFNEP WebNEERS

Historically EFNEP outcomes and impacts are consistent. In FY 2017, similar
results are anticipated as those reported above for FY 2016. Estimated funding
is \$67,417,320.

(G), (H) & (W) Farm Safety, Youth Farm Safety Education and Certification,
and Assistive Technology Program for Farmers with Disabilities (AgrAbility
Project)

NOTE: Programs were combined in FY 2012.

In FY 2017, the AgrAbility and YFSEC Program received \$4,423,350
combined. All but approximately \$300,000 of the funds were used to support 17
continuation SRAPs, one (1) continuation NAP, and three (3) new SRAPs.

FY 2017 RFA for a new SRAPs was released. NIFA received ten (10)
proposals and funded three (3). The funding ratio was 30%. The new awards
are expected to be announced in September, 2017.

In FY 2017, NIFA solicited YFSEC applications for three (3) different types of
projects, with each project to be funded for up to four (4) years, at \$100,000 per
year. The three (3) project types are:

(1) SAY National Clearinghouse Project to continue efforts made to date with
SAY Clearinghouse, curricula submission and review, marketing of the
Clearinghouse, and SAY National Steering Committee.

(2) YFSEC Instructor Training Project to support recruitment and training of
youth farm safety instructors in currently available youth farm safety curricula.

(3) YFSEC Youth Training Project to continue with development of
new/enhancement of current youth farm safety curricula,
implementation/piloting of those curricula with youth, and evaluation of youth
training.

(I) New Technologies at Ag Extension (aka NTAE)

In Fiscal Year (FY) 2017, NIFA anticipates awarding \$1,488,000 in a
continuation award to NTAE.

(J), (U) & (V) - Children, Youth, and Families At-Risk
(aka CYFAR, CYFAR-SCP and CYFAR-PDTA)

Total CYFAR funding was \$8,053,350. Sustainable Community Project
funding represented 45 successful applicants (new and continued awards) and
successful applicants will receive \$7,040,000.00 for fiscal year 2017. The

funding ratio for new projects was 10%.

The CYFAR Professional and Development and Technical Assistance (PDTA) funding will be \$563,340.

The CYFAR Military PDTA funding was \$450,000.

(L) Federally-Recognized Tribes Extension Program (aka FRTEP)

In Fiscal Year 2017, the FRTEP program was under a new four-year continuation. NIFA is in the process of awarding \$2,912,490 to 36 extension offices through its 1862 Land Grant Universities. Awards will range from \$65,400 to \$123,000. \$35,000 from the \$123,000 award will be used to support all 36 Extension offices along with attendance at the 2017 Extension Professional Development conference in Polson, Montana.

(M) & (BB) - Extension Services at the 1994 Institutions Program (aka TCEP and TCEP-SE)

The program awarded \$ 4,268,160 to states to support informal community education at the 1994 Land Grant institutions. Approximately \$3.2 million was funded to support Extension offices at 32 institutions. In addition, \$1.1 million went to 14 special emphasis awards, given to 13 institutions. One (1) school received two (2) special emphasis projects. Two (2) schools decided not to participate in the program in 2017.

(N) & (O) - Renewable Resources Extension Act Program (aka RREA and RREA-NFF)

The amount available to fund this RREA Capacity is approximately \$3,987,600. There are 77 programs underway at the 1862, 1890 and three (3) insular land-grant institutions, with funding ranging from \$13,500 to \$98,593. Quantifiable impacts are anticipated to approximate the FY 2016 impacts. The three (3) National Focus Funds projects will be awarded in mid-September 2017.

(P) Rural Health and Safety (aka Rural Health & Safety Education)

In Fiscal Year (FY) 2017, approximately \$2,880,000 is available for this program. The proposed projects must not exceed \$350,000 total for a two-year project period. All funded projects must have a strong project outcomes evaluation component.

A total of 18 applications were received and reviewed in the peer panel review process. It is anticipated that 8-10 awards will be made.

It is anticipated that projects funded through the RHSE program in FY 2017 will be similar to the FY 2016 projects.

(Q) 1890 Facilities Grant Program

The appropriated amount in FY 2016 is \$19,730,000. Funds available to the states are \$18,940,175. This level of funding will support on-going work under the 1890 Facilities program which was approved under each Institutions five-year Plan of Work (2013-2017). Applications have been received from 19 Institutions.

Estimated funding levels range from \$700,649 to \$1,510,894.

(R) Food Animal Residue Avoidance Database Program (aka FARAD)

For the Fiscal Year (FY) 2017 award cycle, Congress appropriated \$1,200,000 to support FARAD.

The pertinent details are the same as for FY 2016.

FARAD is a university-based national program that serves as the nations primary source for scientifically-based recommendations regarding safe withdrawal intervals for drugs used off-label and for chemicals in

food-producing.

(T) Agriculture Risk Management Education Program (aka RME Program)

In 2017, NIFA anticipates awarding \$4,468,800 in a final year continuation grant to the Agriculture Risk Management Education Partnerships (RME) Program Centers. That total is split among the five (5) centers as follows:
North Central RME Center: \$1,082,736
Western RME Center: \$1,082,736
Southern RME Center: \$1,082,736
Northeastern Center for RME: \$864,624
Digital Center for RME: \$355,968

(DD) Healthy Homes Partnership

Through an Interagency Agreement with Housing and Urban Development, \$225,000 is anticipated for FY 2017 for continuation of the project. The Healthy Homes Partnership will continue to be administered through the Coordinating Institution with at least ten (10) state level participating land-grant universities. This project will be awarded to one (1) institution that will conduct subawards with the other land-grant stakeholder partners. Fiscal Year 2018: For Fiscal Year (FY) 2018:

The following represents accomplishments for active Programs:

(A), (B) and (CC) - Cooperative Extension Programs at 1862 Land-grant Institutions and District of Columbia Public Postsecondary Education Reorganization Act Program

Projected funding is based on Fiscal Year 2017 data and calculations. Funding is subject to change per FY 2018 appropriations.

Pertinent details to be provided by Program at a future date.

University of the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER)

This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0).

However, if funding is provided, it is anticipated that similar projects will be awarded.

(C) Smith-Lever Special Needs Competitive Grants Program

Information is not yet available. Pertinent details to be provided by Program at a future date.

(D) Agricultural Extension at 1890 Land-Grant Institutions

It is anticipated that the funding level will remain the same as in FY 2017, \$43,711,680.

(E) & (EE) - Expanded Food and Nutrition Education Program (aka EFNEP and EFNEP WebNEERS)

Historically EFNEP outcomes and impacts are consistent. In FY 2018, similar results are anticipated as those reported above for FY2016. Estimated funding is \$67,293,480.

(G), (H) & (W) Farm Safety, Youth Farm Safety Education and Certification, and Assistive Technology Program for Farmers with Disabilities (AgrAbility Project)

In FY 2018, the AgrAbility and YFSEC Program are projected funding level is Zero (\$0).

The \$300,000 will support 2nd Year of funding for three (3) YFSEC projects.

(I) New Technologies at Ag Extension (aka NTAE)

This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0).

However, if funding is provided, it is anticipated that similar projects will be awarded.

(J), (U) & (V) - Children, Youth, and Families At- Risk
(aka CYFAR, CYFAR-SCP and CYFAR-PDTA)

This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded.

(L) Federally-Recognized Tribes Extension Program (aka FRTEP)

In Fiscal Year (FY) 2018, the FRTEP program will be the second year of a four-year continuation. NIFA will be making continuation awards totaling \$2,911,680 to 36 extension offices through its 1862 Land Grant Universities. Awards will range from \$65,400 to \$89,490.

(M) & (BB) - Extension Services at the 1994 Institutions Program (aka TCEP and TCEP-SE)

The projected amount to fund projects is \$4,253,480.

Pertinent details to be provided by Program at a future date.

(N) & (O) - Renewable Resources Extension Act Program (aka RREA and RREA-NFF)

This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0).

However, if funding is provided, it is anticipated that similar projects will be awarded.

(P) Rural Health and Safety (aka Rural Health & Safety Education)

This program is subject to congressional budget approval for FY 2018. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded.

(Q) 1890 Facilities Grant Program

A Request for Applications (RFA) will be issued for Renewal Applications, which must be aligned to each Institutions five-year Plan of Work (2013-2017). It is anticipated that the RFA will be issued on or before the 1st quarter of FY 2018. It is projected that funding will remain at the FY 2017 level of approximately \$18,904,655.

(R) Food Animal Residue Avoidance Database Program (aka FARAD)

The projected funding level is \$1,198,080.

No major shifts of responsibilities among the four (4) collaborating Universities is projected. Each network node is expected to continue to effectively accomplish its site-specific scientific and communication tasks, and provide 24/7 expert-mediated hotline and internet-accessible data and services in support of the overall integrated network mission.

(T) Agriculture Risk Management Education Program (aka RME Program)

We do not anticipate a higher level of funding or programming. In FY 2018, this program will be re-announced as a new competitive grant.

(DD) Healthy Homes Partnership

Continuation of this project will be contingent upon availability of funding through the Interagency Agreement of USDA National Institute of Food and Agriculture (NIFA) with Housing and Urban Development (HUD) Office of Lead Hazard Control and Healthy Homes or another source of funding.

Pertinent details will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None. Represents additional updates for Section # 153 Websites:

<http://nifa.usda.gov/funding-opportunity/smith-lever-special-needs-competitive-grants-program>

<http://nifa.usda.gov/funding-opportunity/1890-institution-teaching-research-and-extension-capacity-building-grants-cbg>

<http://nifa.usda.gov/funding-opportunity/supplemental-nutrition-assistance-program-and-expanded-food-and-nutrition>

<http://nifa.usda.gov/funding-opportunity/agrability-%13-assistive-technology-program-farmers-disabilities>

<http://nifa.usda.gov/funding-opportunity/new-technologies-ag-extension-ntae>

<http://nifa.usda.gov/program/children-youth-and-families-risk-cyfar-grant-program>

<http://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects>

<http://nifa.usda.gov/funding-opportunity/federally-recognized-tribes-extension-program-frtep-formerly-extension-indian>

<http://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tecp>

<http://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-program-special-emphasis-tecp-se>

<http://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rea-nff>

<http://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>

<http://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>

<http://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Plant Systems-Protection, 1400 Independence Avenue, SW., STOP 2240, Telephone: (202) 401-4939; Fax: (202) 401-1782.

ADDITIONAL CONTACTS:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Agricultural Systems, 1400 Independence Avenue, SW., STOP 2240, Telephone: (202) 401-0151; Fax: (202) 401-5179;

USDA, NIFA, National Program Leader, Office of the Director, 1400 Independence Avenue, SW., STOP 2201, Telephone: (202) 401-1112; Fax: (202) 690-1290;

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, 1400 Independence Avenue, SW., STOP 2250, Telephone: (202) 720-9278; Fax: (202) 720-9366;

USDA, NIFA, National Program Leader, Institute of Food Safety and Nutrition, Division of Nutrition, 1400 Independence Avenue, SW., STOP 2225, Telephone: (202) 401-2138; Fax: (202) 401-6488;

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate, and Environment, Division of Global Climate Change, 1400 Independence Avenue, SW., STOP 2210, Telephone: (202) 401-4926; Fax: (202) 401-1705;

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Youth and 4-H, 1400 Independence Avenue, SW., STOP 2250, Telephone: (202) 690-4568; Fax: (202) 720-9366;

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Telephone: (202) 720-2324; Fax: (202) 720-2030;

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate and Environment, Division of Environmental Systems, 1400 Independence Avenue, SW., STOP 2210, Telephone: (202) 720-5229; Fax: (202) 720-3945;

AND

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Family and Consumer Sciences, 1400 Independence Avenue, SW., STOP 2250, Telephone: (202) 720-4795; Fax: (202) 720-9366., Washington, District of Columbia 20250-2240 Email: Policy@nifa.usda.gov Phone: (202) 401-4939 Fax: (202) 401-1782

Website Address:

<http://nifa.usda.gov/grants>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.025 Plant and Animal Disease, Pest Control, and Animal Care; 10.053 Dairy Indemnity Program; 10.153 Market News; 10.155 Marketing Agreements and Orders; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.215 Sustainable Agriculture Research and Education; 10.221 Tribal Colleges Education Equity Grants; 10.222 Tribal Colleges Endowment Program; 10.227 1994 Institutions Research Program; 10.304 Homeland Security_Agricultural; 10.477 Meat, Poultry, and Egg Products Inspection; 10.551 Supplemental Nutrition Assistance Program; 10.652 Forestry Research; 10.902 Soil and Water Conservation; 15.611 Wildlife Restoration; 23.009 Appalachian Local Development District Assistance; 93.103 Food and Drug Administration_Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The following represents examples of funded projects for active Programs:

(A), (B) and (CC) - Cooperative Extension Programs at 1862 Land-grant Institutions and District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER)

Below are three (3) examples of progress from 2016 annual reports.

Smith-Lever Special Needs and Smith-Lever 3b & 3c:

- (1) Ranching for Profitability Meeting Series
- (2) Grazing Conference
- (3) Post-training survey on Beef Quality Assurance (BQA)

DCPPER

- (1) Sustainable Agriculture and Education Program (SARE) Ethnic Crops

The goal of SARE is to develop an educational outreach program that will train

and sustain urban food producers. Individuals representing eight (8) countries, signed up for the Hands-on Ethnic Specialty food training to learn about food production, processing and eventually value addition and marketing in the local metro area..

(2) Master Gardener Program

Master Gardener Program was started as a means of extending the horticultural and pest management expertise from university to the general public.

(3) Parental Practices Supporting Positive Eating Behaviors during Independent Eating Occasions among Early Adolescent Children

(4) Extension I STEM

Students at two (2) schools participated in learning about embryology with chicks hatching in their classrooms.

(5) Extension I LifeSmarts Program

LifeSmarts, established in 1994, is a program of the National Consumers League offered to youth.

(C) Smith-Lever Special Needs Competitive Grants Program

Smith Lever Special Needs grants addressed critical issues relating to preventing outbreaks of Zika Virus, preparing teens and communities for disaster preparedness, livestock and agriculture risk mitigation, and continuity of operations planning.

(D) Agricultural Extension at 1890 Land-Grant Institutions

Each institution received approval of an administrative project that supports the respective five-year plan of work. Activities carried out by some institutions during FY 2016 include:

(1) Cooperative Extension Program provided science-based information and educational programs to help families, communities and schools develop gardens and practice good food resource management.

(2) Extension activities centered on food safety education of food handlers and youth; emerging food safety and nutrition issues; and public education about how to respond to outbreaks of foodborne diseases.

(E) & (EE) - Expanded Food and Nutrition Education Program (aka EFNEP and EFNEP WebNEERS)

The Expanded Food and Nutrition Education Program (EFNEP) is funded as a national program, not as individual projects. All seventy-six 1862 and 1890 Land-Grant Institutions receive EFNEP funding each year and these are the only entities eligible for EFNEP funding.

(G), (H) & (W) Farm Safety, Youth Farm Safety Education and Certification, and Assistive Technology Program for Farmers with Disabilities (AgrAbility Project)

NOTE: Programs were combined in FY 2012.

AgrAbility program increases the likelihood that individuals with disabilities and their families engaged in production agriculture (AgrAbility customers) become more successful..

To address the specialized needs of AgrAbility customers, the program builds service capacity on national, regional, state, and local levels through education and networking. In the absence of capacity, projects provide assistance to customers.

FY 2016 New Awards:

One (1) new AgrAbility award for NAP.

One (1) new YFSEC award.

FY 2016 Continuation Awards:

Three (3) continuation awards to support 2nd year of SRAPs originally funded in FY 2015.

14 continuation awards to support 3rd year SRAPs originally funded in FY 2013.

Three (3) continuation awards for 4th year of SRAPs originally funded in FY 2012.

Funded at \$180,000 per year, SRAPs provide substantial, sustained return on NIFAs investment in farm safety. One (1) example follows:

In FYs 2016-2017 Missouri AgrAbility Project provided 66 Missouri farmers with disabilities with onsite farmstead assessment and assistance with farm management plans, 75 farmers and farm wives were educated about medication-related agricultural injury risks, 37 occupational and physical therapists learned how to safely accommodate AgrAbility clients.

The National AgrAbility Project (NAP) continues to fulfill NAPs mandated mission for the next four (4) years. In FY 2016, the project served the needs of 20 State and Regional AgrAbility Projects (SRAPs) through education, networking, technical assistance, marketing, program evaluation, and sustainability building.

The FY 2016 YFSEC award, made through a competitive grant process, helped build upon and strengthen SAY activities and accomplishments to date, as well as identify and address curricula and outreach gaps.

(I) New Technologies at Ag Extension (aka NTAE)

The NTAE grant enables eXtension to:

(1) advance CES educators use of technology;
(2) advance professional growth capacities; and
(3) increase the capacity of the CES to adopt new and creative technology applications for delivering science-based education resources to the diverse general public.

(J), (U) & (V) - Children, Youth, and Families At-Risk (aka CYFAR), Sustainable Community Projects (aka CYFAR-SCP) and Professional Development and Technical Assistance (aka CYFAR-PDTA)

(1) This project proposed to increase high school graduation rates and college applications among Latino and African American youth who are at-risk for not completing high school.

(2) The project provided life skills programs for homeless and unstably housed youth. The primary goal increased the number of critical life skills they possessed to become more self-sufficient.

(3) This program provide educational programs for fathers/father figures and their families. The audience consisted of fathers/father figures ages 18 and up. The primary goal of this project is to strengthen parenting skills of fathers as a means to promote family resiliency.

(L) Federally-Recognized Tribes Extension Program (aka FRTEP)

Promoting Healthy and Thriving Tribes in Remote, Interior Alaska
<http://news.uaf.edu/teaching-rural-alaska-to-farm-is-raders-passion/>

The Extension Educator is developing a mobile app called Grow & Tell, which allows individuals in Alaska and other parts of North America to note where they are growing a particular variety of vegetable and see what varieties others are growing and how well they have done.

Tri-State/Navajo Nation Window Rock Extension Program

https://www.youtube.com/watch?v=SQ_s5zuk0yo&feature=youtu.be

The FRTEP program is working with national beef processor and tribal cattle producers to increase beef quality and market nation-wide under the brand

Navajo Beef sales of the product are providing Navajo ranchers with increased profits.

Bimaadiziwin - A Healthy Way of Life in Minnesota Tribal Lands
The FRTEP program leveraged outside funding to complete and publish a Field Guide to traditional plants and knowledge along the Leech Lake Tribal lands. This publication is the first of its kind and will prove very valuable as a repository of traditional plant knowledge and language.

(M) & (BB) - Extension Services at the 1994 Institutions Program (aka Tribal Colleges Extension Program and TCEP) and Tribal Colleges Extension - Special Emphasis (aka TCEP-SE)

(1) Engaging Community Assets to Propagate and Sustain Agriculture

The program provided tilling for 90 gardens to enhance food security and nutrition. Educators provided 27 workshops on nutrition and health attended by 270 people, including 102 on-campus students.

(2) Bay Mills Extension Services

The program provides health and fitness programs for the Mukwa Health and Fitness Education Center. The colleges Waishkey Bay Farm is a community resource for family gardens and community based poultry production. The demonstration farm also provides education on honey bee production and pasture-raised beef.

(3) Manoomin, Food that Grows on the Water

The project centers on sustainable wild rice production as a means to feed reservation communities. The college will hold a wild rice camp in mid-September where students will harvest and process wild rice. Community members will be able to participate in the fall harvest feast of traditional plants.

(N) & (O) - Renewable Resources Extension Act Program (aka RREA and RREA-NFF)

Congress appropriated \$3,597,600 for the RREA Capacity Program in FY 2016. These funds supported a total of 72 1862, 1890, and insular land-grant universities.

Examples of project impacts include:

- (1) Women Owning Woodlands: A Women Woodland Owners Workshop involved women who collectively owned 5,662 acres. Several had a management plan and were interested in learning how to actually implement the plan.
- (2) A western state developed a Small Acreage website to serve as the leading source of information for landowners. In 2016, the website was visited over 33,000 times averaging more than 92 visitors per day.
- (3) 22nd Annual Tri-State Forest Stewardship Conference: A consortium of three (3) Midwest states conducted another successful conference involving 528 woodland owners.

(P) Rural Health and Safety (aka Rural Health & Safety Education)

RHSE projects funded in FY 2016 are focusing on a diversity of rural health and safety issues, from mental health, cancer prevention, healthy aging, and healthy youth development. A few examples are as follows:

Preparing Extension to Address Mental Health in Non-clinical Settings

The primary project goals are to expand Mental Health First Aid training first to Extension faculty and staff and then to constituents, and to increase the states capacity to offer Youth Aware of Mental Health by training Extension faculty to become facilitators and implementing the program in rural areas, will alleviate the burden of mental illness in rural communities.

Cancer Prevention: Empowering Communities In The Rural South

Cancer is an important rural health and safety issue in the South, as evidenced by some of the highest cancer mortality rates in the nation. The proposed project is an effort to scale up the successful extension program, Cooking for a Lifetime of Cancer Prevention Cooking School (C4L), statewide to 22 rural counties and regionally to selected counties in another southern state through partnership with an HBCU that provides outreach to underserved areas in that institutions state.

Health Promotion in a Rural State: The PROSPER Program

PROSPER means PROMoting School-university-community Partnerships to Enhance Resilience. The goal of PROSPER is to promote healthy development and to avoid long-term health and behavioral problems in rural youth through the use of universal, evidence-based interventions.

(Q) 1890 Facilities Grant Program (aka Section 1447 Grants)

Renewal applications were received from the 19 institutions for the fourth year of the Five-Year Plan of Work (2013-2017). The funds were used to support on-going facilities improvements and/or construction at the respective institutions. Examples of funded projects/progress follows:

(1) The institution utilized 1890 Facilities funds to continue its efforts to expand and improve the quality of its academic programs, research, and outreach activities in Food science.

Two (2) world-class facilities will increase the institutions capacity to conduct ground-breaking research and train the future workforce on Food Safety, Material Science, Food Bio-engineering, Nutrition and Functional Foods.

(2) Architectural and engineering services have been procured to assist the University in designing the new site for the relocation of the research station, and assembling construction specifications for the renovation of the F. Ray Powers building.

(3) Architectural, engineering or construction activities conducted during this reporting period for the Small Ruminant Research and Extension Facility architectural design, pre-construction costs, licenses, permits, and soil testing.

(R) Food Animal Residue Avoidance Database Program (aka FARAD)

The Funded Project Network Nodes are as follows:

(1) Coordinated national program, develop pharmacokinetic modeling approaches and provided pharmacokinetic support to FARAD components, and on a rotating schedule with other network nodes, provided responses to emergency queries (telephone, internet) from the FARAD Hotline.

(2) Maintained the pharmacokinetic, bibliographic and call center databases and web-based access to the kinetic and bibliographic citation files. On a rotating basis with other network nodes, this node also provided responses to drug and contaminant residue questions submitted to FARAD.

(3) Collected and entered data for the US Approved Animal Drugs Database (US-AADD), maintained internet websites for FARAD, created and supported platforms for mobile devices to access the Veterinarian's Guide to Residue Avoidance Management (VetGRAM) and other mobile-friendly applications, and distributed electronic alerts and updates via email, Twitter and other electronic formats.

Collaboration between all institutions occurred on research.

(T) Agriculture Risk Management Education Program (aka RME Program)

Examples of funded projects are:

(1) Empower the strengths and skills of individuals in the Southern region who are involved in the management of agricultural production, marketing, financial, legal and human resource risks. Strive to improve producers ability to manage risk and increase profitability of southern agriculture by delivering programs designed to change risk management behavior among key producer populations.

(2) Provide technology support to regional centers. Continue to develop and expand the delivery of risk management education through the online Ag Risk and Farm Management Library. Provide electronic support to provide Results Verification System. Provide public access to a searchable archival database of the results of all risk management education funded projects so risk management education providers can better collaborate and learn from each other.

(3) Deliver risk management education to help agricultural producers to manage risks and position their farm or ranch for future growth and success. Identify and assess producer risk management education needs and emerging issues through efforts with several producer and stakeholder groups, including special emphasis audiences.

NOTE: Mandatory program delegated to another USDA agency (Risk Management Agency) but administered by NIFA.

(DD) Healthy Homes Partnership (Competitive RFA)

Fiscal Year (FY) 2016 represents a continuation of the program which commenced in FY 2015.

Below is a partial listing of outcomes:

- (1) Implemented the Healthy Homes educational toolkit curriculum;
- (2) Conducted webinars to coincide with resource information in the Healthy Homes toolkit;
- (3) Developed publications for Stakeholders and Educators, Everyone Deserves a Safe and Healthy Home.

These strategies supported the federal interagency priorities for Advancing Healthy Housing A Strategy for Action

The following Programs are no longer funded: hence, no action is required:

(F) Pest Management - SPECIAL NOTE:
Regional Programs were subsequently, consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM).

(K) Sustainable Agriculture Research and Education - Professional Development (aka SARE-PD and SARE Chapter 3 Program)

Effective FY 2014, funding for the Sustainable Agriculture Research and Education Program (Chapters 1 and 3) was consolidated. See CFDA # 10.215 for pertinent details.

(S) Grants to Youth Serving Institutions
(aka Rural Youth Development Grants Program and RYD)

(X) Extension IPM Coordination and Support Program (IPM-CS)
Program was subsequently, consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM).

(Y) Extension Outreach on the Marketplace Exchanges of the Affordable Care Act

(Z) Military Family Readiness System (aka MFRS)

(AA) Second Language & Culture Exposure for Children Project (aka SLCECY)

(FF) 4-H Military Partnership Professional Development and Technical Assistance Program (4HMP-PDTA). Fiscal Year 2017: The following represents examples of funded projects for active Programs:

(A), (B) and (CC) - Cooperative Extension Programs at 1862 Land-grant Institutions and DCPPERA

As outlined in the FY 2017 Plans of Work, the following are three (3) examples of anticipated outcomes:

Smith-Lever Special Needs and Smith-Lever 3b/3c

(1) Human Nutrition, Health and Food Safety

(2) Childhood Obesity

(3) Economic and Community Vitality

University of the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPERA)

Anticipated projects are as follows:

(1) Climate Change

This program includes relevant research and extension education and will assess and monitor natural resources and changes in the urban environment with respect to climate changes. Over the next five (5) years, the university will expand the program to identify and solve soil, air, and water quality problems as a specific result of urban forestry, gardening, and aging infrastructures activities.

2) Food Safety

The Center for Nutrition, Diet and Health provides training for food handlers in the state. The program is designed to equip participants with low literacy food handlers training and certification.

3) Health, Nutrition and Childhood Obesity Prevention

The Agricultural Experiment Station is participating in a multi-state research project aimed to change the health trajectory for older adults. Most often children follow the food consumption patterns of their parents. Thus, it is imperative to extend proper diet and nutrition information to assist parents with proper meal planning and food preparation.

(C) Smith-Lever Special Needs Competitive Grants Program

Examples of projects that are anticipated to be funded through the Smith Lever Special Needs Grants are:

- (1) National Youth Preparedness Initiative: Preparing Teens; Preparing Communities - Phase 2;
- (2) Community Decision Making and Financial Planning for Natural and Man-made Disasters;
- (3) Disaster Education in Rural Southern Appalachian Communities with Large Transient Populations; and

(D) Agricultural Extension at 1890 Land-Grant Institutions

(1) Extension specialists will train Extension agents to provide financial literacy programs for youth, individuals in bankruptcy and other adults, based on identified needs. Specialists will also develop curricula, print media and online consumer resources, and program evaluations.

(2) Cooperative Extension Program will:

develop leadership skills;
improve the literacy rate of minority and under-represented groups; and
provide a learning environment for after school enrichment, including school homework assistance.

(E) & (EE) - Expanded Food and Nutrition Education Program
(aka EFNEP and EFNEP WebNEERS)

It is anticipated that similar projects will be funded.

(G), (H) & (W) Farm Safety, Youth Farm Safety Education and Certification, and Assistive Technology Program for Farmers with Disabilities (AgrAbility Project)

FY 2017 New Awards:

Three (3) new AgrAbility awards for SRAPs
Three (3) new YFSEC award.

FY 2017 Continuation Awards:

One (1) continuation award to support 2nd year of funding for the National AgrAbility Project, originally funded in FY 2016;
Three (3) continuation awards for the 3rd year of funding for SRAPs, originally funded in FY 2015;
18 continuation awards for the 4th Year of funding for SRAPs originally recommended for funding in FY 2014.

Further pertinent details will be available and provided by Program at a future date (2018).

(I) New Technologies at Ag Extension (aka NTAE)

Year Three (3) scales up the successful, road-tested Issue Corps and has rebranded the process as the Impact Collaborative. We plan to expand the Collaborative even further nationally to more than 2,000 participants in three (3) key issue areas:
(1) behavioral health;
(2) food systems; and
(3) diversity/inclusion.

**(J), (U) & (V) - Children, Youth, and Families At- Risk
(aka CYFAR, CYFAR-SCP and CYFAR-PDTA)**

(1) This CYFAR program has partnered with Juvenile Justice and Office of Children's Services to provide life skills and workforce development for teens ages 14-18 who are going on parole or aging out of the foster care system.

(2) This Sustainable Community Project will utilize parenting education through food safety, physical activity and gardening activities. Childhood overweight and obesity and proper nutrition will also be targeted to provide healthy living alternatives.

(3) This CYFAR Project mission is to provide positive growth and character development experiences for children who qualify for the program based on family income. The curriculum is focused on healthy lifestyle choices and during the five (5) week intensive opportunity, it is centered on sports, fitness, swimming, nutrition, computers, financial literacy, careers, gang avoidance, service learning, and select special events.

(L) Federally-Recognized Tribes Extension Program (aka FRTEP)

Washington State University Colville Reservation Extension Program
A few of the objectives are as follows:

- (1) Improve trust of USDA and enhance utilization of University and USDA resources through building upon the established Colville Reservation Extension Office and the outreach it has offered;
- (2) Provide culturally relevant information and educational outreach to promote efficiencies, marketing, risk management, and competitiveness for geographically isolated agricultural enterprises; and
- (3) Provide reservation focused outreach education and programs to American Indian agricultural producers and land managers on the reservation to improve the sustainable management of agricultural land, forests, rangelands, water and other natural resources.

University of Wyoming Wind River

One (1) objective is to improve agricultural operations for farmers and ranchers through the continued facilitation of producer association meetings and the promotion of value added/marketing activities at educational seminars.

Montana State University FRTEP

One (1) objective includes providing youth development programming in mentoring to develop social, emotional and academic competencies, provide cultural and natural resource 4-H projects, Youth Aware of Mental Health

training, and 4-H project clubs.

(M) & (BB) - Extension Services at the 1994 Institutions Program (aka TCEP and TCEP-SE)

(1) Building Together II

The extension agent and five (5) interns assist communities and schools with creating gardens for enhanced nutrition and food security. About 400 students and community members have participated. The college also sponsored a Youth Agricultural Day attended by 75 high school students 20 college students and 50 farmers and ranchers.

(2) Strengthening a Lakota/Dakota Culture through Bison, Food and Sustainable Living

The college offered a bison hide-tanning workshop that included drum making. The college also provides an educational outreach to K-12 students about the history and anatomy of bison. In addition, the college is using grant funds to care for the campus bison herd as a cultural and community resource.

(3) CMN Extension Capacity Building Project

The college held 221 workshops which provided informal learning to 1,647 participants, including 817 youth. Workshops covered a variety of cultural, health and economic topics, including a traditional medicinal plants, summer job awareness, financial literacy and classes on growing food crops.

(N) & (O) - Renewable Resources Extension Act Program (aka RREA and RREA-NFF)

The FY 2017 capacity projects were awarded and the expected impacts will be similar to those of FY 2016. The FY 2017 RREA-NFF projects will be awarded in September, 2017. Projects that will be funded are those that will have regional or national level impacts.

(P) Rural Health and Safety

FY 17 projects will focus on:

- (1) the prevention and/or reduction of opioid misuse and abuse; and (2) chronic disease prevention and management.

(Q) 1890 Facilities Grant Program

Each 1890 Institution (19) submitted a renewal application requesting funds to continue improvement of the agricultural related facilities on the respective campuses or designated sites. The following are several proposed initiatives to be undertaken with FY 2017 funds as follows:

(1) Facilities funds will be used for continuous improvements to instructional, research, and extension facilities. The institution will dedicate funds to construct an access road to the Agricultural Experiment Station.

(2) The University will continue its efforts by addressing the following objectives:
develop a research and demonstration facility for commonly grown turf grasses for Standard Metropolitan Service Areas and for rural communities;
purchase/acquire state-of-the art equipment to advance research in chemistry, reproductive physiology and endocrinology, engineering processing, food product development, mammalian cell culture;
renovate and refurbish space to house the Center of Excellence in Nutrition with a focus on obesity;
purchase state of the art equipment in GIS, water resources, soil analysis and plant physiology, cell biology;
upgrade the molecular biology research and education program by incorporating recent advances in genome sequencing and bioinformatics technologies; and
expand Extensions capabilities through distance education and virtual learning.

(3) The institution has proposed several new objectives, including: security improvements at the Research and Extension Center; upgrades to the Aquaculture/Aquaonic Research and Demonstration Ponds; the Head House at the George Colony Greenhouse Facility; construction of a 4-H Youth Learning Center; and the purchase of a Food Processing Mobile Training Unit.

Facilities funds will continue to be utilized to support the construction of a new Extension multi-purpose facility for use by its outreach, research and education programs. The building will possess approximately 15,000 square feet of usable space. It will contain a 150200 seat auditorium, three (3) large (classroom type) meeting rooms, two (2) conference rooms, approximately 16 offices, one (1) demonstration laboratory, and a faculty/staff lounge. It will be accessible to all functional areas of the College (teaching and research mission areas) by providing office space for faculty/staff with split appointments. The facility will be furnished with parking facilities, equipment and technology to ensure scholarly and effective extension, outreach and learning outcomes.

(R) Food Animal Residue Avoidance Database Program (aka FARAD)

The Funded Project Network Nodes are the same as for Fiscal Year 2016.

Collaboration between all institutions occurs on research projects that are necessary to validate the FARAD withdrawal interval estimates.

(T) Agriculture Risk Management Education Program (aka RME Program)

Agriculture Risk Management Education Review Panel recommended five (5) continuation awards based on satisfactory progress; these will not be announced until the Awards Management Division makes awards.

(DD) Healthy Homes Partnership (Competitive RFA)

Fiscal Year (FY) 2017 is anticipated to continue building on the success of the projects implemented in FY 2015-2016 with the addition of two (2) new components:

- (1) Piloting the Youth Component for Healthy Homes, which is a curriculum for 4-H, elementary and middle school, or other youth audiences; and
- (2) Developing an evaluation component for improved reporting of impacts from the states participating in the project. Fiscal Year 2018: For Fiscal Year (FY) 2018:

The following represents examples of funded projects for active Programs:

(A), (B) and (CC) - Cooperative Extension Programs at 1862 Land-grant Institutions and DCPPERA

It is projected that for FY 2018 that Plans of Work will continue to address critical and emerging issues in global food security and hunger, food safety, climate change, sustainable energy; childhood obesity, nutrition and health, natural resources stewardship, agricultural productivity, youth and family development and Community and economic development. Other program areas of priorities to states will be addressed in the plans of work.

University of the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPERA)

If this program is funded, it is projected that for FY 2018, this institution will continue to follow its 5-year Plan of Work to address critical and emerging issues in climate change, food safety, global food security and hunger, health nutrition and childhood obesity prevention, sustainable energy, and urban families, youth, and communities.

(C) Smith-Lever Special Needs Competitive Grants Program

This program is projected to continue to meet critical needs to address:

- (1) Education and Technical assistance and multi-state disaster training

programs;

(2) Collaboration with Federal, state and local agencies to support education and service that enhance recovery of impacted rural communities, schools, businesses and agricultural based activities; and

(3) Long range family, community and regional planning projects that enhance implementation of programs that serve public needs in preparation for, during and after emergency situations within impacted states and regions.

(D) Agricultural Extension at 1890 Land-Grant Institutions

It is projected to fund 19 1890 institutions under this program. The administrative project will require each institution to carry out extension activities that will benefit communities. It is anticipated that the work will address literacy/educational attainment, parenting skills, consumer economics, financial literacy, childhood obesity, home and life skills, food safety, health-living, etc.

(L) Federally-Recognized Tribes Extension Program (aka FRTEP)

The FRTEP Extension Offices are designed and equipped to respond to life and nature dictate. Generally, the programming is consistent each year.

(Q) 1890 Facilities Grant Program

Projects will continue to address renovation and construction needs of the 1890 Land-Grant Institutions that will enable them offer state-of-the-art teaching, research and extension facilities, for faculty, staff, students, and the communities that are served through their agricultural programs.

(R) Food Animal Residue Avoidance Database Program (aka FARAD)

The Projected Project Network Nodes are the same as for Fiscal Year 2016.

Collaboration between all institutions will occur on research.

(T) Agriculture Risk Management Education Program (aka RME Program)

We do not anticipate a higher level of funding or programming. In FY 2018, this program will be re-announced as a new competitive grant.

(DD) Healthy Homes Partnership

For Fiscal Year (FY) 2018:

Continuation of this project will be contingent upon availability of funding through the Interagency Agreement of USDA National Institute of Food and Agriculture (NIFA) with Housing and Urban Development (HUD) Office of Lead Hazard Control and Healthy Homes or another source of funding.

Pertinent details will be provided by Program at a future date.

Fiscal Year (FY) 2018 Information is not yet available. Pertinent details will be provided by Program at a future date for the following programs:

(E) & (EE) - Expanded Food and Nutrition Education Program (aka EFNEP and EFNEP WebNEERS)

(G), (H) & (W) Farm Safety, Youth Farm Safety Education and Certification, and Assistive Technology Program for Farmers with Disabilities (AgrAbility Project)

(I) New Technologies at Ag Extension (aka NTAE)

(J), (U) & (V) - Children, Youth, and Families At-Risk (aka CYFAR, CYFAR-SCP and CYFAR-PDTA)

(M) & (BB) - Extension Services at the 1994 Institutions Program (aka TCEP and TCEP-SE)

(N) & (O) - Renewable Resources Extension Act Program
(aka RREA and RREA-NFF)

(P) Rural Health and Safety.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Capacity, Competitive, and/or Non-Competitive Request for Application (RFA). The most current RFAs are available as follows:

JOINT PROGRAM: Cooperative Extension Programs at 1862 Land-grant Institutions and District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER) (Capacity):
<https://nifa.usda.gov/program/smith-lever-act-capacity-grant>

Cooperative Extension Programs at 1862 Land-grant Institutions - (Special Needs) (Capacity):
<https://nifa.usda.gov/sites/default/files/resources/FY18-CES-SLSN-Modification-882017.pdf>

Smith-Lever Special Needs Competitive Grants Program:
<https://nifa.usda.gov/program/smith-lever-act-sections-3b-and-3c-special-needs-capacity-grant>

1890 LGI's and Tuskegee, West Virginia & Central State:
<https://nifa.usda.gov/program/agricultural-extension-programs-1890-institutions>

Expanded Food and Nutrition Education Program (EFNEP):
<https://nifa.usda.gov/resource/fy2017-efnep-rfa>

<https://nifa.usda.gov/funding-opportunity/expanded-food-and-nutrition-education-program-webinars>

Farm Safety and Youth Safety Education and Certification
<https://nifa.usda.gov/funding-opportunity/youth-farm-safety-education-and-certification-program>

New Technologies at Ag Extension (NTAE)
<https://nifa.usda.gov/funding-opportunity/new-technologies-ag-extension-ntae>

Children, Youth, and Families At-Risk (CYFAR); Sustainable Community Projects (CYFAR-SCP) and Professional Development Technology Assistance (CYFAR-PDTA):
Sustainable Community Projects (SCP):
<https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects>

Military:
<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-military>

<https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>

Professional and Technical Assistance (PDTA):
<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-professional-development-and-technical>

Federally-Recognized Tribes Extension Program (aka FRTEP):
<https://nifa.usda.gov/funding-opportunity/federally-recognized-tribes-extension-program-frtep-formerly-extension-indian>

Extension Services at the 1894 Institutions (aka Tribal Colleges Extension Program) (TCEP) and Special Emphasis (TCEP-SE):

<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep>

<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-program-special-emphasis-tcep-se>
Renewable Resources Extension Act Program - (RREA) and National Focus Fund Projects (RREA-NFF):
<https://nifa.usda.gov/program/renewable-resources-extension-act-capacity-grant>

<https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rrea-nff>

Rural Health and Safety (aka Rural Health & Safety Education):
<https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>

1890 Facilities Grant Program (and Renewals):
<https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>

Food Animal Residue Avoidance Database Program (FARAD)
<http://www.farad.org/>

Agriculture Risk Management Education Program (aka RME Program):
<https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>

Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project:
<https://nifa.usda.gov/funding-opportunity/agrability-assistive-technology-program-farmers-disabilities>

Healthy Homes Partnership:
<https://nifa.usda.gov/funding-opportunity/healthy-homes-partnership>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

10.511 SMITH-LEVER FUNDING (VARIOUS PROGRAMS)

1862 LGIs: 1862 CES (Smith-Lever) and DCPPER, 1862 Smith-Lever Special Needs, and Smith-Lever Special Needs-Competitive

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

University of the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER):
Sections 208 of the District of Columbia Public Postsecondary Education Reorganization Act provides, in pertinent part, that [i]n the administration of the Act of May 8, 1914 (7 U.S.C. 341-346, 347a-349) (known as the Smith-Lever Act) the University [of the District of Columbia] shall be considered to be a university established for the benefit of agriculture and the mechanic arts in accordance with the provisions of the Act of July 2, 1862 (7 U.S.C. 301- 305, 307,308); and the term State as used in such Act of May 8, 1914, shall include the District of Columbia, except that the District of Columbia shall not be eligible to receive any sums appropriated under section 3 of such Act.
; Smith-Lever Special Needs-Competitive (SLSNCGP):
Section 3(b) & (c) of the Smith-Lever Act, Pub. L. No. 63-95 (7 U.S.C. 343) allows the Secretary of Agriculture, acting through the Director of the National Institute of Food and Agriculture (NIFA), to conduct competitive grant programs to State Extension Services at 1862 Land-Grant Institutions to support innovative, education-based approaches to addressing emergency preparedness and specific responses related to natural and human-made disasters.
; Cooperative Extension Programs at 1862 Land-Grant Institutions(1862 CES Capacity):
7 U.S.C. 341-346, 347a-349 Sections 3(b) and 3(c) of the Smith-Lever Act

(program referred to as SLBC) provide funding for agricultural extension programs at 1862 Land-grant universities. ; 1862 Smith-Lever Special Needs Capacity Grants Program (SLSN):

Sections 3(b)(1) and 8 of the Smith-Lever Act provide the basis of funding special needs agricultural extension programs at 1862 Land-grant institutions.

OBJECTIVES:

1862 Cooperative Extension Programs at 1862 Land-Grant Institutions(Smith-Lever) (1862 CES Capacity) University of the District of Columbia Public Postsecondary Education Reorganizational Act Program (DCPPER):

The purpose of this funding is to conduct cooperative agricultural extension work. Funding for the Cooperative Extension Programs at 1862 Land-Grant Institutions authorized under the Smith-Lever Act section 3(b) and (c) (SLBC) and the University of the District of Columbia under the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER) is for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending or resident in 1862 Land-Grant Institutions, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.

1862 Smith-Lever Special Needs Capacity Grants Program (SLSN):

The purpose of this capacity grant program is to increase the level of agricultural extension activities and reach out to new audiences and to support innovative, education-based approaches to addressing emergency preparedness and specific responses related to natural and human-made disasters.

Smith-Lever Special Needs Competitive Grants Program: (SLSNCGP):

The purpose of this competitive program is to conduct competitive grant programs to State Extension Services at 1862 Land-Grant Institutions to support innovative, education-based approaches to addressing emergency preparedness and specific responses related to natural and human-made disasters.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

USES:

1862 CES Capacity (Smith-Lever) and DCPPER):

Funding for the Cooperative Extension Programs at 1862 Land-Grant Institutions authorized under the Smith-Lever Act section 3(b) and (c) (SLBC) and the University of the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER) under Section 208 of the District of Columbia Public Postsecondary Education Reorganization Act is to be used for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending or resident in 1862 Land-Grant Institutions, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.

Program and Extension Activities - Smith-Lever Act sections 3(b) and 3(c) Federal funding may only be used for extension programs and an activity identified in the institutions approved 5-Year Plan of Work.

1862 Special Needs Capacity Grants Program (SLSN):

1862 Smith Lever Special Needs Capacity funds support extension education initiatives that address risks, hazards and disasters. The SLSN sponsors targeted

projects enable Cooperative Extension Service to assist in preparing for, providing an educational response to, and recovering from disasters. Education programming funded by this program help communities, families and individuals become more self-sustaining by strengthening and increasing their resiliency to disaster. The SLSN increases awareness and capacity building of urban/rural communities response to natural and/or human-made disasters by increasing individual, family, farms, small business and community disaster preparedness through extension education, as well as collaboration with other agencies and volunteer organizations.

Smith-Lever Special Needs Competitive Grants Program (SLSNCGP):

SLSNCGP projects to implement applied scientific programs that serve public needs in preparation for, during, and after disaster. Though national, regional, and/or multi-state efforts are a priority for categories 1-3, highly replicable single-state projects may be considered if they have a strong plan for transferring successful methods to other states. The SLSNCGP also funds the development of educational programs and demonstration activities focusing on disaster preparedness, mitigation, response, and recovery. Successful projects in the past have helped extension educators, who normally do not have a disaster extension focus, to have a greater impact in this area.

RESTRICTIONS:

1862 CES Capacity (Smith-Lever) and DCPPER):

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as Sections 3(b) and 3(c) of the Smith-Lever Act capacity grant expenditures.

No portion of these funds may be applied, directly or indirectly, to the purchase, erection, preservation, or repair of any building or buildings, or the purchase or rental of land, or in college-course teaching, lectures in college, or any other purpose not specified in this Act.

1862 Smith-Lever Special Needs Capacity (SLSN):

(1) Use of Funds Limitation

No portion of federal funds allotted under Special Needs grant may be applied, directly or indirectly, to the purchase, erection, preservation, or repair of any building or buildings, or the purchase or rental of land, or in college-course teaching, lectures in college, or any other purpose not specified in the Smith-Lever Act.

(2) Approved NIFA Special Needs Projects

Special Needs federal funding may only be used on extension activities identified in the institutions approved 5-Year Plan of Work.

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as Special Needs capacity grant expenditures.

Smith-Lever Special Needs Competitive (SLSNCGP):

Indirect costs and tuition remission are not allowed. Costs that are a part of the institutions indirect cost pool may not be reclassified as direct costs for the purpose of making them allowable.

You may not use grant funds awarded under this authority to renovate or refurbish research, education, or extension space. You may not purchase or install fixed equipment in such space or plan, repair, rehabilitate, acquire, or construct buildings or facilities.

Applicant Eligibility:

1862 CES Capacity & DCPPER):

Joint Cooperative Extension Programs at 1862 Land-Grant Institutions applications may only be submitted by the following 1862 Land-grant Institutions: Auburn University, University of Alaska-Fairbanks, American Samoa Community College, University of Arizona, University of Arkansas, University of California, Colorado State University, University of Connecticut, University of Delaware, University of Florida, University of Georgia, University of Guam, University of Hawaii, University of Idaho, University of Illinois, Purdue University, Iowa State University, Kansas State University, University of Kentucky, Louisiana State University, University of Maine, University of Maryland-College Park, University of Massachusetts, Michigan State University, College of Micronesia, University of Minnesota, Mississippi State University, University of Missouri, Montana State University, University of Nebraska, University of Nevada-Reno, University of New Hampshire, Rutgers University, New Mexico State University, Cornell University, North Carolina State University, North Dakota State University, Northern Marianas College, Ohio State University, Oklahoma State University, Oregon State University, Pennsylvania State University, University of Puerto Rico, University of Rhode Island, Clemson University, South Dakota State University, University of Tennessee, Texas A & M University, Utah State University, University of Vermont, Virginia Polytechnic Institute & State University, University of the Virgin Islands, Washington State University, West Virginia University, and University of Wisconsin.

University of the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER):

Applications may only be submitted by the University of the District of Columbia.

1862 Special Needs Capacity (SLSN):

Applications may only be submitted by the following 1862 Land-grant Institutions: University of Alaska-Fairbanks, University of Arizona, Colorado State University, University of Idaho, Kansas State University, Montana State University, University of Nebraska, University of Nevada-Reno, New Mexico State University, North Dakota State University, Oregon State University, South Dakota State University, Texas A&M University, Utah State University, University of Vermont, and University of Wyoming.

Smith-Lever Special Needs Competitive (SLSNCGP):

Applications may only be submitted by 1862 Land-grant Institutions in the 50 states and the U.S. territories, American Samoa, Guam, Micronesia, Northern Marianas, Puerto Rico, and the U.S. Virgin Islands.

Beneficiary Eligibility:

1862 CES Capacity & DCPPER:

Joint Cooperative Extension Programs at 1862 Land-Grant Institutions applications may only be submitted by the following 1862 Land-grant Institutions: Auburn University, University of Alaska-Fairbanks, American Samoa Community College, University of Arizona, University of Arkansas, University of California, Colorado State University, University of Connecticut, University of Delaware, University of Florida, University of Georgia, University of Guam, University of Hawaii, University of Idaho, University of Illinois, Purdue University, Iowa State University, Kansas State University, University of Kentucky, Louisiana State University, University of Maine, University of Maryland-College Park, University of Massachusetts, Michigan State University, College of Micronesia, University of Minnesota, Mississippi State University, University of Missouri, Montana State University, University of Nebraska, University of Nevada-Reno, University of New Hampshire, Rutgers University, New Mexico State University, Cornell University, North Carolina State University, North Dakota State University, Northern Marianas College, Ohio State University, Oklahoma State University, Oregon State University, Pennsylvania State University, University of Puerto Rico,

University of Rhode Island, Clemson University, South Dakota State University, University of Tennessee, Texas A & M University, Utah State University, University of Vermont, Virginia Polytechnic Institute & State University, University of the Virgin Islands, Washington State University, West Virginia University, University of Wisconsin, and University of Wyoming.

University of the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER):

Applications may only be submitted by the University of the District of Columbia.

1862 Special Needs Capacity (SLSN):

Applications may only be submitted by the following 1862 Land-grant Institutions: University of Alaska-Fairbanks, University of Arizona, Colorado State University, University of Idaho, Kansas State University, Montana State University, University of Nebraska, University of Nevada-Reno, New Mexico State University, North Dakota State University, Oregon State University, South Dakota State University, Texas A&M University, Utah State University, University of Vermont, and University of Wyoming.

Smith-Lever Special Needs Competitive (SLSNCGP):

Applications may only be submitted by 1862 Land-grant Institutions in the 50 states and the U.S. territories, American Samoa, Guam, Micronesia, Northern Marianas, Puerto Rico, and the U.S. Virgin Islands.

Credentials/Documentation:

Credentials/Documentation (083):

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this

program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

1862 CES & DCPPERA:

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20SLBC%20and%20DCPPERA%20modifications.pdf>

1862 Smith-Lever Capacity (SLSN):

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20CES%201862%20Special%20Needs%20Capacity%20RFA%20-%20MODIFIED%20%2008-30-16.pdf>

Smith-Lever Special Needs Competitive (SLSNCGP):

<https://nifa.usda.gov/sites/default/files/rfa/FY%20%2717%20SLSNCGP%20RFA%20-FINAL.pdf>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

1862 Cooperative Extension Capacity & District of Columbia Postsecondary Reorganization Act

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20SLBC%20and%20DCPPERA%20modifications.pdf#reorganization-act-program-cooperative>

1862 Smith-Lever Special Needs Capacity

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20CES%201862%20Special%20Needs%20Capacity%20RFA%20-%20MODIFIED%20%2008-30-16.pdf>

Competitive Smith-Lever Special Needs

<https://nifa.usda.gov/sites/default/files/rfa/FY%20%2717%20SLSNCGP%20RFA%20-FINAL.pdf>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Award Procedure:

1862 CES Capacity (Smith-Lever), DCPPERA, and 1862 Smith-Lever Special Needs Capacity (SLSN):

NIFA will review submitted documents to determine if all program, financial, and administrative requirements have been met and are current.

1862 CES Capacity & DCPPERA:

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20SLBC%20and%20DCPPERA%20modifications.pdf>

1862 Smith-Lever Capacity (SLSN):

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20CES%201862%20Special%20Needs%20Capacity%20RFA%20-%20MODIFIED%20%2008-30-16.pdf>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Competitive Smith-Lever Special Needs Competitive (SLSNCGP):

We evaluate each application in a two-part process. First, we screen each application to ensure Competitive Smith-Lever Special Needs: that it meets the administrative requirements as set forth in this RFA. Second, a technical review panel will evaluate applications that meet the administrative requirements.

We select reviewers based upon their training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- the level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- the need to include experts from various areas of specialization within relevant scientific, education, or extension fields;
- the need to include other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- the need to include experts from a variety of organizational types (e.g., colleges, universities, industry, state and federal agencies, and private profit and non-profit organizations) and geographic locations;
- the need to maintain a balanced composition with regard to minority and female representation and an equitable age distribution; and
- the need to include reviewers who can judge the effective usefulness of each application to producers and the general public.

After each peer review panel has completed its deliberations, the responsible program staff of SLSNCGP will recommend that your project (a) be approved for support from currently available funds or (b) be declined due to insufficient funds or unfavorable review.

SLSNCGP reserves the right to negotiate with the PD/PI and/or with the submitting organization or institution regarding project revisions (e.g., reductions in the scope of work, funding level, period, or method of support) prior to recommending any project for funding.

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>

<http://www.grants.gov>.

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

The most current RFAs are available as follows:

1862 Cooperative Extension & District of Columbia Postsecondary Reorganization Act (1862 CES Capacity & DCPPERA):
<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20SLBC%20and%20DCPPERA%20modifications.pdf>freorganization-act-program-cooperative

1862 Smith Lever Special Needs Capacity (SLSN):
<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20CES%201862%20Special%20Needs%20Capacity%20RFA%20-%20MODIFIED%202008-30-16.pdf>

Competitive Smith Lever Special Needs Competitive (SLSNCGP)
<https://nifa.usda.gov/sites/default/files/rfa/FY%20%2717%20SLSNCGP%20RFA%20-FINAL.pdf>.

Formula and Matching Requirements:

Statutory Formula: 1862 CES Capacity (Smith-Lever), DCPPERA, 1862 Smith-Lever Special Needs Capacity (SLSN):

States are eligible for funds appropriated under this Act according to the following formula: Out of each annual allocation, each State will be entitled to receive a sum of money equal to the sums available from Federal cooperative extension funds for the fiscal year, and subject to the same requirements as to furnishing of equivalent sums by the State, except that amounts heretofore made available to the Secretary for allotment on the basis of special needs shall continue to be available for use on the same basis. Further, the University of Guam and the University of the Virgin Islands will receive \$100,000 each in addition to the sums appropriated for the several States of the United States and Puerto Rico under the provisions of sections 3(b) and 3(c) of the Smith-Lever Act. Of the remainder so appropriated for each fiscal year 20 per cent will be paid to the several States in equal proportions, 40 per cent will be paid to the several States in the proportion that the rural population of each bears to the total rural population of the several States as determined by the census, and the balance will be paid to the several States in the proportion that the farm population of each bears to the total farm population of the several States as determined by the census. Any appropriation made under sections 3(b) and 3(c) of the Smith-Lever Act will be allotted in the first and succeeding years on the basis of the decennial census current at the time the appropriation is first made, and as to any increase, on the basis of decennial census current at the time such increase is first appropriated.

1862 Smith-Lever Special Needs Capacity (SLSN):

In lieu of an authorization of appropriations for the District of Columbia under section 3 of such Act of May 8, 1914, there is authorized to be appropriated

such sums as may be necessary to provide cooperative agricultural extension work in the District of Columbia under such Act. Any reference in such Act (other than section 3 thereof) to funds appropriated under such Act shall in the case of the District of Columbia be considered a reference to funds appropriated under this subsection.

Smith-Lever Special Needs-Competitive (SLSNCGP):

Not Applicable.

Matching Requirements: Joint Cooperative Extension Programs at 1862 Land-Grant Institutions (1862 CES Capacity & DCPPERA):

Section 3(e)(1) of the Smith-Lever Act (7 U.S.C. 343(e) (1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work. However, section 3(e)(4) of the Smith-Lever Act (U.S.C. 343(e)(4)) provides that Effective beginning for fiscal year 2003, in lieu of the matching funds requirement of paragraph (1), the insular areas of [American Samoa,] the Commonwealth of Puerto Rico, Guam, [Micronesia, the Northern Marianas Islands,] and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section..... The Secretary may waive the matching fund requirement [of 50 percent] for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year.

University of the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPERA):

There is no match requirement for the DCPPERA Program.

1862 Smith-Lever Special Needs Capacity (SLSN):

1862 Land-Grant Institutions in the 50 States must match 100 percent (100%) of the SLSN funds they receive with funds from non-Federal sources such as State or county appropriations. The matching must be in the form of cash. Matching funds may only be used for extension activities approved in the eligible institutions five (5) year Plan of Work.

Smith-Lever Special Needs-Competitive (SLSNCGP):

Applicants are required to provide 100 percent matching funds from non-federal sources for all proposed federal funds sought in the application. In-kind and third party contributions are not allowed for this program; thus, all of the matching funds must come from the applicant institution (please note that sub-awards/partner match would be considered third party). This program does not have MOE requirements.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are as follows:

Smith Lever Special Needs Competitive (SLSNCGP):
Not to exceed three (3) years.

1862 CES Capacity & DCPPERA:
Not to exceed five (5) years.

Smith Lever Special Needs Capacity (SLSN):
Not to exceed five (5) years,

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available as follows:

1862 Cooperative Extension & District of Columbia Postsecondary Reorganization Act (1862 CES Capacity & DCPPERA):

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20SLBC%20and%20DCPPERA%20modifications.pdf>reorganization-act-program-cooperative

1862 Smith Lever Special Needs Capacity (SLSN):

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20CES%201862%20Special%20Needs%20Capacity%20RFA%20-%20MODIFIED%20%208-30-16.pdf>

Competitive Smith Lever Special Needs Competitive (SLSNCGP):

<https://nifa.usda.gov/sites/default/files/rfa/FY%20%2717%20SLSNCGP%20RFA%20-FINAL.pdf>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/rereport> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport)
All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the

information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

Plan of Work (POW):

Section 105 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require an approved POW for extension and research activities at 1862 Land-grant Institutions in order to receive Federal funding. As stated in the Preface to the Federal Register Notice at 71 FR 4107 (January 25, 2006), Although the District of Columbia receives extension funds under the District of Columbia Postsecondary Education Reorganization Act, Public Law 93471, as opposed to the Smith-Lever Act, NIFA has determined that it should be subject to the POW requirements imposed under these guidelines except where expressly excluded. Therefore, the University of the District of Columbia must submit both a 5-Year Plan of Work Update for FY 2017-2021 (i.e., submitted as an annual update) and an Annual Report of Accomplishments and Results.

These reports should be submitted online through the NIFA Reporting Portal at the web address <http://portal.nifa.usda.gov/portal>. Additional information about the POW is available at <http://nifa.usda.gov/tool/pow>.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

For the SLBC and the DCPPERA programs, SF-425 financial reports for the awards whether annual or final, will be due Dec. 31 each year. See <http://nifa.usda.gov/program/smith-lever-act-capacity-grant> for additional details of annual, final and financial reporting requirements.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271
Telephone: (202) 401-4986

SPECIAL NOTES:

(1) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).

(2) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements, "nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503."

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$291,195,312 - SPECIAL NOTES:

(1) Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

(2) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

(3) The above amount represents the consolidated Smith-Lever 3b&c funding.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Request for Application (RFA).

The most current RFAs are available as follows:

1862 Cooperative Extension Capacity & District of Columbia Postsecondary Reorganization Act (1862 CES Capacity & DCPPERA):

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20SLBC%20and%20DCPPERA%20modifications.pdf>freorganization-act-program-cooperative

1862 Smith Lever Special Needs Capacity (SLSN):

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20CES%201862%20Special%20Needs%20Capacity%20RFA%20-%20MODIFIED%20%2008-30-16.pdf>

Smith Lever Special Needs Competitive (SLSNCGP):

<https://nifa.usda.gov/sites/default/files/rfa/FY%20%2717%20SLSNCGP%20RFA%20-FINAL.pdf>.

TAFS Codes:

12-2018-2018-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Not applicable. Fiscal Year 2018: Pertinent details will be provided by Program at a future date.

SPECIAL NOTE:

Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

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POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

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CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

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ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

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FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

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OGFM ISSUED CORRESPONDENCE

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RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>

SMITH-LEVER ACT:

<https://nifa.usda.gov/program/smith-lever-act-capacity-grant>

Regional or Local Office:

None. Section # 153 - Additional Websites:

1862 CES Capacity:

<https://nifa.usda.gov/program/smith-lever-act-capacity-grant>

1862 Capacity (SLSN):

<https://nifa.usda.gov/program/smith-lever-act-sections-3b-and-3c-special-needs-capacity-grant>

1862 Smith-Lever Competitive (SLSNCGP):

<https://nifa.usda.gov/funding-opportunity/smith-lever-special-needs-competitive-grants-program>

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food and Production and Sustainability, Division of Agricultural Systems, 1400 Independence Avenue, SW., STOP 2240

ADDITIONAL CONTACT:

Smith Lever Special Needs Competitive Grant Program-

USDA, NIFA, National Program Leader, Institute of Division of Family and Consumer Sciences, Institute of Stop 2240, 1400 Independence Avenue, SW, Stop 224, Telephone: (202) 720-6059 Fax: (202) 720-9366, Washington, District of Columbia 20250-2220 Phone: 202-401-4385

Website Address:

DCPPERA-<https://nifa.usda.gov/program/district-columbia-public-postsecondary-education-reorganization-act-program-cooperative>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Not applicable. Fiscal Year 2018: Pertinent details will be provided by Program at a future date.

SPECIAL NOTE:

Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

NIFA will review submitted documents to determine if all program, financial, and administrative requirements have been met and are current within guidelines established for the program as described in the Competitive Request for Application (RFA).

The most current RFAs are available as follows:

1862 Cooperative Extension Capacity & District of Columbia Postsecondary Reorganization Act (1862 CES Capacity & DCPPERA):

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20SLBC%20and%20DCPPERA%20modifications.pdf>reorganization-act-program-cooperative

1862 Smith Lever Special Needs Capacity (SLSN):

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20CES%201862%20Special%20Needs%20Capacity%20RFA%20-%20MODIFIED%20%2008-30-16.pdf>

Smith Lever Special Needs Competitive (SLSNCGP):

<https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20SLSNCGP%20RFA%20-FINAL.pdf>

10.512 AGRICULTURE EXTENSION AT 1890 LAND-GRANT INSTITUTIONS

1890 LGIs Section 1444 Extension

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 1444 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), enacted as Title XIV of Public Law 95113 (The Food and Agriculture Act of 1977) on Sept. 29, 1977, is also known as the Section 1444 Program. This law provides the basis for Federal funding for agricultural extension activities at 1890 Land-Grant Institutions, including Tuskegee University, West Virginia State University, and Central State University. Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the designation as an 1890 Institution and is eligible to receive funds under this program beginning in Fiscal Year 2016. It is codified in 7 U.S.C. 3221.

OBJECTIVES:

The purpose of this funding is to support agricultural and forestry extension activities at 1890 Land-Grant Institutions, including Tuskegee University, West Virginia State University, and Central State University.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Uses: The purpose of this funding is to support the expenses of conducting extension programs and activities at 1890 Land-Grant Institutions, including Tuskegee University, West Virginia State University, and Central State University.

RESTRICTIONS:

(1) Use of Funds Limitation

No portion of the funds allocated under this grant will be applied, directly, or indirectly, to the purchase, erection, preservation, or repair of any building or

buildings, or the purchase or rental of land, or in college course teaching, lectures in college, or any other purpose not specified in section 1444 of NARETPA.

(2) Approved NIFA Section 1444 Projects

Section 1444 Program funding may only be used to support agricultural extension activities identified in the approved 5-Year Plan of Work.

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of NARETPA, indirect costs and tuition remission are unallowable as Section 1444 Program formula grant expenditures.

Applicant Eligibility:

Applications may only be submitted by 1890 Land-Grant Universities that conduct agricultural extension activities in accordance with NARETPA section 1444(a)(1): Alabama A&M University; Tuskegee University; University of Arkansas - Pine Bluff; Delaware State University; Florida A&M University; Fort Valley State University; Kentucky State University; Southern University; University of Maryland Eastern Shore; Alcorn State University; Lincoln University; North Carolina A & T State University; Central State University; Langston University; South Carolina State University; Tennessee State University; Prairie View A&M University; Virginia State University; and West Virginia State University.

Beneficiary Eligibility:

Applications may only be submitted by 1890 Land-Grant Universities that conduct agricultural extension activities in accordance with NARETPA section 1444(a)(1): Alabama A&M University; Tuskegee University; University of Arkansas - Pine Bluff; Delaware State University; Florida A&M University; Fort Valley State University; Kentucky State University; Southern University; University of Maryland Eastern Shore; Alcorn State University; Lincoln University; North Carolina A & T State University; Central State University; Langston University; South Carolina State University; Tennessee State University; Prairie View A&M University; Virginia State University; and West Virginia State University.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

- (i) Be registered in SAM before submitting its application;
- (ii) Provide a valid DUNS number in its application; and

- (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award

of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Capacity Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Agricultural%20Extension%20at%201890_revised.pdf

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

NIFA will review submitted documents to determine if all program, financial, and administrative requirements have been met and are current. Although an applicant may be eligible, there are factors that may exclude an applicant from receiving federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information).

https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Agricultural%20Extension%20at%201890_revised.pdf

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Capacity Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Agricultural>

https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Agricultural%20Extension%20at%201890_revised.pdf

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Agricultural%20Extension%20at%201890_revised.pdf.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Section 1449 of NARETPA (7 U.S.C. 3222d) states that the State shall provide matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ... 100 percent of the formula [grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ... Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement. Most regulations applicable to NIFA awards are housed in Title 7 of the Code of Federal Regulations. 7 CFR 3419.1 defines matching funds as funds from non-Federal sources made available by the State to the eligible institutions ... [for] programs or activities that fall within the purposes of agricultural research and cooperative extension under sections 1444 and 1445 of NARETPA ... or [for] qualifying educational activities. Matching funds means cash contributions and excludes in-kind matching contributions. It defines non-Federal sources as funds made available by the State to the eligible institution either through direct appropriation or under any authority (other than authority to charge tuition and fees paid by students) provided by a State to an eligible institution to raise revenue, such as gift acceptance authority or user fees. Finally, it defines qualifying educational activities as programs that address food and agricultural sciences components of an eligible institution.

7 CFR 3419.6 states that The required matching funds for the formula programs shall be used by an eligible institution for agricultural research and extension activities that have been approved in the plan of work required under sections 1444(d) and 1445(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 or for qualifying education activities. NIFA may consider and approve matching waiver requests above the 50 percent level. See Part IV, B. 4. for instructions about how to request a waiver.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

States are encouraged to spend Section 1444 Program funds in the year they are appropriated; however, if carryover is necessary, no more than 20 percent of the funds received by an institution in any fiscal year may be carried forward to the succeeding fiscal year. No prior approval from NIFA is required. Carryover limitations are legislatively mandated and NIFA is not authorized to approve any carryover requests beyond the 20 percent and one-year limitations.(7 USC 3221).

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Capacity Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Agricultural%20Extension%20at%201890_revised.pdf

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: quarterly.

Reports:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/report> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport)
All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Capacity Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Capacity RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).
1890 Facilities Terms and Conditions (dated May, 2017).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. See above for pertinent and specific details. See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Account Identification:

12-0502-0-1-352.

Obligations:

(Formula Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$43,711,680 -
SPECIAL NOTES:

(1) Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part

of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

(2) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Capacity Request for Application (RFA).

The most current RFA is available via:

https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Agricultural%20Extension%20at%201890_revised.pdf.

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Pertinent details will be provided by Program at a future date. Fiscal Year 2018: SPECIAL NOTE: Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

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<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

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NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Phone: 202-720-5305 Fax: 202-720-9366

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.513 1890 FACILITIES GRANTS PROGRAM

1890 FGP

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Pursuant to the authority contained in Section 1447 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), (7 U.S.C. 3222b), and reauthorized by Section 7112 of the Agricultural Act of 2014 (Pub. L. 113-79), including Tuskegee University and West Virginia State University.

Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as an 1890 Institution.
, Public Law 113-79, 7 U.S.C 3222b.

OBJECTIVES:

The 1890 Facilities Grants Program provides funds for the acquisition and improvement of agricultural and food sciences facilities and equipment,

including libraries, so that the 1890 land-grant institutions, including Central State University, Tuskegee University, and West Virginia State.

TYPES OF ASSISTANCE:

Project Grants (with Formula Distribution)

USES AND USE RESTRICTIONS:

USES:

Facilities awards will be made for the acquisition and improvement of agricultural and food sciences facilities and equipment, including libraries, so that the 1890 land-grant institutions, including Central State University, Tuskegee University, and West Virginia State University, may participate fully in the production of human capital in the food and agricultural sciences. Authorized activities include purchase of equipment and land, planning, construction, alternation, or renovation of buildings. Priorities for the eligible institutions are set in the facilities plan that is submitted to NIFA for review and approval. RESTRICTIONS:

The obligation and expenditure of funds awarded under these grants are limited to equipment, land, buildings and other related costs which are or will be used in the administration and conduct of approved research, teaching and extension activities.

In accordance with NARETPA Section 1447 (e), funds provided for this program may not be used for the payment of any overhead costs of the eligible institutions.

Further detailed restrictions are provided in the NIFA 1890 Facilities Program, Section 1447, Special Terms and Conditions (dated May, 2017) at: 1890 Facilities T & C. Fully discretionary.

Applicant Eligibility:

Eligible applicants under this Program are the 1890 land-grant institutions, including Central State University, Tuskegee University, and West Virginia State University.

The detailed listing of eligible applicants follows:

Alabama A&M University, Tuskegee University, University of Arkansas-Pine Bluff, Delaware State University, Florida A&M University, Fort Valley State University, Kentucky State University, Southern University, University of Maryland-Eastern Shore, Lincoln University, Alcorn State University, North Carolina A&T State University, Langston University, South Carolina State University, Tennessee State University, Prairie View A&M University, Virginia State University, West Virginia State University, and Central State University.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>.

RFAs for new and/or renewal applications are generally released annually. The RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Beneficiary Eligibility:

Extension Programs at the State and county level are available to the general public.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR

25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>.

RFAs for new and/or renewal applications are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA

Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

2 CFR parts 400, 415, 416, and 418 apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>.

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

RENEWAL APPLICATIONS:

NIFA will evaluate each application in a two-part process. First, we screen each application to ensure that it meets the administrative requirements as set forth in this RFA. Second, a technical review panel will evaluate applications that meet the administrative requirements.

1890 Facilities Grants Program (FGP) reserves the right to negotiate with the Project Director/Principal Investigator (PD/PI) and/or with the submitting organization or institution regarding project revisions (e.g., reductions in the scope of work, funding level, period, or method of support) prior to recommending any project for funding.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I apply to this program.

2 CFR 200, Appendix II Contracts Provisions for Non-federal Entity Contracts Under Federal Awards applies to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>.

RFAs for new and/or renewal applications are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>.

RFAs for new and/or renewal applications are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Generally, 1890 Facilities RFAs are released every five (5) years for new awards, with annual renewals.

Specific details are provided in the Competitive Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>.

Formula and Matching Requirements:

Statutory Formula: Title NAREPTA, Public Law 95-113. FORMULA:

The National Institute of Food and Agriculture (NIFA) assessed four percent (4%) of the appropriated amount for Federal Administration, distributed sixty percent (60%) of the balance equally, and distributed the remaining forty percent (40%) based on the formula found in Section 1444 of NAREPTA of 1977, as amended (Pub. L. No. 95-113).

Within each institution, the research, teaching and extension programs must each receive at least 20 percent (20%) of the annual allocation. The remaining 40 percent (40%) may be allocated based on institutional needs for research, teaching, or extension. No more than 60 percent (60%) of the total allocation should be directed to research, teaching, or extension. However, if there are

unique situations in your institution which warrant a deviation from these guidelines, we will consider requests for reallocation. Such reallocations must be justified by the submission of a situation statement which describes the research, teaching, and extension facilities needs in your institution and how previously available Federal funds were utilized.

This program has no matching requirements. COST SHARING OR MATCHING:

NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>.

RFAs for new and/or renewal applications are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Effective Fiscal Year (FY) 2018, Project periods, (including no-cost extensions of time), are not normally to exceed ten (10) years.

Further details are provided in the Award document Form NIFA-2009 and the 1890 Facilities Program, Section 1447, Special Terms and Conditions (dated May 2017) at:

<https://nifa.usda.gov/resource/1890-facilities-program-terms-and-conditions-may-2017>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>.

RFAs for new and/or renewal applications are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

PERFORMANCE AND MONITORING REPORTS:

NIFA expects the awarded funds to be used for the acquisition and improvement of agricultural and food sciences facilities and equipment, including libraries, so that the 1890 land-grant institutions, including Tuskegee University, West Virginia State University and Central State University, may participate fully in human capital development in the food and agricultural sciences.

A. Performance Reports

Awardees of Federal funds are responsible for monitoring day-to-day project performance to ensure that project goals and performance schedules are met, contain costs, and accurately report progress to NIFA. An annual performance report is due in NIFA 90 days after completion of the first 12 months of the initial grant award and annually thereafter during the project period. Each report shall cover work performed during the previous 12-month period, including any funded or unfunded time extensions. If this project is supported in annual funding increments, the performance report required as part of the grantee's

annual follow-on grant proposal shall satisfy this reporting requirement through the grantee's final application for funds. Thereafter these annual performance reports shall be submitted to the ADO. Performance reports should not exceed two pages in length, should contain appropriate identifying data and shall provide the following information:

1. A comparison of actual accomplishments with the goals or objectives established for the program during the reporting period, including percentage-of-completion data and a computation of square footage costs for all projects undertaken;
2. The reasons for slippage if approved goals were not met;
3. Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Identify any issues, favorable or adverse conditions, changes, or situations which are expected to impact the scope, size, cost, or completion schedule for the project, along with a discussion of proposed or actual actions taken by your institution to resolve any problems encountered;
4. If the proposed represents any change to your approved five-year plan, please provide a description of, and justification for, the change;
5. A summary of activities to be undertaken during the ensuing 12-month period;
6. A listing of A/E, and construction contracts entered into during this reporting period only for each program component; and
7. A detailed breakdown of all project costs incurred to date (cumulative).

In addition to the Annual Performance report described above, the grantee is required to submit an annual progress report in REEport.

B. REEport Grant Reporting:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reepport> and the REEport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEport Database--

Research, Education, and Extension project online reporting tool (REEport):

All projects must be documented in REEport. The NIFA contact for all REEport documentation is:

REEport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable,

must be included in the Project Modifications section of the annual Progress Report:

- (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);
- (2) The reasons for slippage if established goals were not met; and
- (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>.

RFAs for new and/or renewal applications are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) Details regarding the reporting requirements are included in the 1890 Facilities Program, Section 1447, Special Terms and Conditions (dated May 2017) at:
<https://nifa.usda.gov/resource/1890-facilities-program-terms-and-conditions-may-2017>.

(3) Further guidance is provided under 2 CFR part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. **PROGRESS REPORTS:**

See above for pertinent and specific details. **EXPENDITURE REPORTS:**

See above for pertinent and specific details. **PERFORMANCE MONITORING:**

See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

(Project Grants (with Formula Distribution)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$18,905,280 - **SPECIAL NOTES:**

(1) Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

(2) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

(3) There are authorized to be appropriated to the Secretary of Agriculture for the purposes of carrying out the provisions of this section, \$25,000,000 for each of fiscal years 2002 through 2018, and such sums shall remain available until expended.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:
<https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>.

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Not applicable. Fiscal Year 2018: Pertinent details will be provided by Program at a future date.

SPECIAL NOTE:

Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

1890 FACILITIES PROGRAM, SECTION 1447, SPECIAL TERMS AND CONDITIONS

Provides specific terms and conditions which apply to this program. Refer to the award document, Form NIFA-2009, to determine the applicable terms and conditions document.
<https://nifa.usda.gov/resource/1890-facilities-program-terms-and-conditions-may-2017>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community (IYFC), Division of Community and Education (DOCE), 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250 Phone: (202) 720-5305 Fax: (202) 720-2030.

Website Address:

<https://nifa.usda.gov/program/1890-land-grant-institutions-programs>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Not applicable. Fiscal Year 2018: Pertinent details will be provided by Program at a future date.

SPECIAL NOTE:

Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>.

10.514 EXPANDED FOOD AND NUTRITION EDUCATION PROGRAM EFNEP

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 3(d) of the Smith-Lever Act provides that the Secretary of Agriculture may fund extension work in several states, territories, and possessions. Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (as amended) (7 U.S.C. 3175) is also known as the Expanded Food and Nutrition Education Program (EFNEP), 7 U.S.C 3175.

OBJECTIVES:

The purpose of this funding is to increase the impact of nutrition education disseminated to low-income families and youth in the 50 states, the U.S. territories, and the District of Columbia. EFNEP serves two primary low-income audiences: 1) families with young children (parents and other caregivers with primary responsibility for feeding young children); and 2) youth/children (grade range - kindergarten through 12th grade). The priorities of EFNEP are to assist low-income families and youth in acquiring knowledge, skills, attitudes, and changed behaviors necessary for

nutritionally sound diets and to contribute to their personal development and improvement of the total family diet and nutritional well-being. Participation in EFNEP should result in improved diets and nutritional well-being of the total family.

Individuals and families should experience improvements in four core areas:

Diet Quality and Physical Activity Improved diets and nutritional and physical well-being through the adoption of federal food and physical activity recommendations.

Food Resource Management Increased ability to buy, grow, or otherwise appropriately obtain, prepare, and store food that meets nutritional needs.

Food Safety Improved household food safety and sanitation practices.

Food Security Increased ability to get food directly and from food assistance programs where necessary to ensure having enough healthy food to eat.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

USES: The Expanded Food and Nutrition Education Program (EFNEP) should constitute the employment and training of professional and paraprofessional (peer educator) staff to engage in direct nutrition education and other appropriate nutrition education efforts with low-income families and youth. To the maximum extent practicable, peer educators shall be hired from the indigenous target population. RESTRICTIONS: (1) Approved NIFA EFNEP Projects - EFNEP federal funding must be used on NIFA approved EFNEP projects.

(2) Indirect Costs and Tuition Remission - In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as EFNEP capacity grant expenditures.

Applicant Eligibility:

Only Auburn University; Alabama A & M University; Tuskegee University; University of Alaska; American Samoa Community College; University of Arizona; University of Arkansas; University of Arkansas Pine Bluff; University of California; Central State University, Colorado State University; University of Connecticut; University of Delaware; Delaware State University; University of the District of Columbia; University of Florida; Florida A & M University; University of Georgia; Fort Valley State University; University of Guam; University of Hawaii; University of Idaho; University of Illinois; Purdue University; Iowa State University; Kansas State University; University of Kentucky; Kentucky State University; Louisiana State University; Southern University; University of Maine; University of Maryland (College Park); University of Maryland (Eastern Shore); University of Massachusetts; Michigan State University; College of Micronesia; University of Minnesota; Mississippi State University; Alcorn State University; University of Missouri; Lincoln University; Montana State University; University of Nebraska; University of Nevada; University of New Hampshire; Rutgers University; New Mexico State University; Cornell University; North Carolina State University; North Carolina A & T University; North Dakota State University; Northern Marianas College; Ohio State University; Central State University; Oklahoma State University; Langston University; Oregon State University; Pennsylvania State University; University of Puerto Rico; University of Rhode Island; Clemson University; South Carolina State University; South Dakota State University; University of Tennessee; Tennessee State University; Texas A&M University; Prairie View A & M University; Utah State University; University of Vermont; University of the Virgin Islands; Virginia Polytechnic Institute and State University; Virginia State University; Washington State University; West Virginia University; West Virginia State University; University of Wisconsin; and University of Wyoming may submit applications.

Beneficiary Eligibility:

Only Auburn University; Alabama A & M University; Tuskegee University; University of Alaska; American Samoa Community College; University of Arizona; University of Arkansas; University of Arkansas Pine Bluff; University of California; Central State University, Colorado State University; University of Connecticut; University of Delaware; Delaware State University; University of the District of Columbia; University of Florida; Florida A & M University; University of Georgia; Fort Valley State University; University of Guam; University of Hawaii; University of Idaho; University of Illinois; Purdue University; Iowa State University; Kansas State University; University of

Kentucky; Kentucky State University; Louisiana State University; Southern University; University of Maine; University of Maryland (College Park); University of Maryland (Eastern Shore); University of Massachusetts; Michigan State University; College of Micronesia; University of Minnesota; Mississippi State University; Alcorn State University; University of Missouri; Lincoln University; Montana State University; University of Nebraska; University of Nevada; University of New Hampshire; Rutgers University; New Mexico State University; Cornell University; North Carolina State University; North Carolina A & T University; North Dakota State University; Northern Marianas College; Ohio State University; Central State University; Oklahoma State University; Langston University; Oregon State University; Pennsylvania State University; University of Puerto Rico; University of Rhode Island; Clemson University; South Carolina State University; South Dakota State University; University of Tennessee; Tennessee State University; Texas A&M University; Prairie View A & M University; Utah State University; University of Vermont; University of the Virgin Islands; Virginia Polytechnic Institute and State University; Virginia State University; Washington State University; West Virginia University; West Virginia State University; University of Wisconsin; and University of Wyoming may submit applications.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

- (i) Be registered in SAM before submitting its application;
- (ii) Provide a valid DUNS number in its application; and
- (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/program/expanded-food-and-nutrition-education-program-efnep>.

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.htm>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/program/expanded-food-and-nutrition-education-program-efnep>.

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Award Procedure:

NIFA will review submitted documents to determine if all program, financial, and administrative requirements have been met and are current.

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

The most current RFAs are available via:

<https://nifa.usda.gov/program/expanded-food-and-nutrition-education-program-efnep>.

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

The most current RFA is available via: <https://nifa.usda.gov/program/expanded-food-and-nutrition-education-program-efnep>. RFAs are generally released annually. Hence, the RFAs provide the most

current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:
<https://nifa.usda.gov/program/expanded-food-and-nutrition-education-program-efnep>.

Formula and Matching Requirements:

Statutory Formula: Section 7116 of the Food, Conservation, and Energy Act of 2008 (FCEA) amended Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3175) to accomplish the following:

Notwithstanding section 3(d) of the Smith-Lever Act of May 8, 1914 (7 U.S.C. 343(d)) for administration, technical, and other services for coordinating the extension work of the Department and the several States, Territories, and possessions the remainder shall be allocated among the States as follows:

1. 1862 Institutions shall receive a base in an amount equaling their FY 1981 allocation.
2. Four percent (4%) shall be available for administrative expenses.
3. \$100,000 will be distributed to each 1862 and 1890 Land-Grant Institution including the University of the District of Columbia.
4. Revised in (FY) 2014 15 percent of funds appropriated for EFNEP in excess of funds appropriated in FY 2007 were allocated to the 1890 Institutions in an amount that bearing the same ratio to the population living at or below 125 percent of the poverty level (as prescribed by the Office of Management and Budget and as adjusted pursuant to section 673(2) of the Community Services Block Grant Act (42 U.S.C.9902(2)) in the State where the 1890 Institution is located; bears to the total population living at or below 125 percent of the poverty level in all States where 1890 Institutions are located; 7 as determined by the most recent decennial census at the time when the appropriated amount first exceeds levels appropriated for EFNEP in FY 2007.
5. The remainder will be allocated to each State in an amount that bears the same ratio to the total amount allocated as the population living at or below 125 percent of the poverty level in the State; bears to the total population living at or below 125 percent of poverty level in all States; as determined by the most recent decennial census at the time at which each such additional amount is first appropriated.

This program has no matching requirements.
This program does not have MOE requirements. There is no cost-sharing or matching requirement for this program.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/program/expanded-food-and-nutrition-education-program-efnep>.

RFAs are generally released annually. Hence, the RFAs provide the most

current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.
Method of awarding/releasing assistance: quarterly.

Reports:

Universities must provide an EFNEP Annual Update, an EFNEP Budget Sheet and an EFNEP Budget Justification, using the Web-based Nutrition Education Evaluation and Reporting System (WebNEERS).

Universities must provide a WebNEERS Report (universities end of year evaluation data), using WebNEERS.

A final EFNEP Budget Sheet and EFNEP Budget Justification based on the final allocation amounts for each fiscal year is due through WebNEERS 45 days after the final allocations are published. The actual due date will be announced over the EFNEP listservs.

Instructions for submitting reporting requirements through WebNEERS are available on the EFNEP Reporting Requirements Webpage <http://nifa.usda.gov/efnep-reporting-requirements>. If you need information about or access to WebNEERS, contact your universitys EFNEP Coordinator.

All program-specific reporting requirements must be received and approved by NIFA program staff before quarterly funds can be released.

EFNEP Annual Updates must be approved for first quarter and subsequent payments to be released.
WebNEERS Reports must be approved for second quarter and subsequent payments to be released, and
EFNEP Budget Sheets and EFNEP Budget Justifications must be approved for quarterly payments to be released, specific quarter that may be held will be contingent on the publication date of the final allocation amounts.
Financial reporting via the SF-425, Federal Financial Report for each fiscal year (FY) is due to the ezFedGrants portal, on Dec. 31, of same FY, for the period Oct.1 of FY, through September 30 of FY, and annually thereafter until the award is closed out. Information on how to access the ezFedGrants portal will be provided directly to the grant recipient prior to the due date.

See <https://nifa.usda.gov/efnep-reporting-requirements> for additional details of annual, final technical, and financial reporting requirements. Instructions for using the REEport system are included on the NIFA web site at <http://nifa.usda.gov/reep-report-resources-land-grant-partners>. NIFA utilizes the Federal Financial Report, Form SF-425 to monitor cash outlays. See above for pertinent and specific details. See above for pertinent and specific details. See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$67,293,480 - SPECIAL NOTES:

(1) Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

(2) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/program/expanded-food-and-nutrition-education-program-efnep>.

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>.

TAFS Codes:

12-2018-2018-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Not applicable. Fiscal Year 2018: Pertinent details will be provided by Program at a future date.

SPECIAL NOTE:

Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None. USDA, NIFA, National Program Leader, Institute of Food Safety and Nutrition (IFSN), Division of Nutrition, 1400 Independence Avenue, SW, STOP 2225, Washington, DC 20250-2225; Telephone: (202) 720-8067; Fax: (202) 720-9366.

Headquarters Office:

USDA, NIFA, National Program Leader, 1400 Independence Avenue, SW, STOP 2225, Washington, DC 20250-2225, Washington, District of Columbia 20250-2225 Phone: 202-720-5004 Fax: 202-401-6488

Website Address:

<https://nifa.usda.gov/program/expanded-food-and-nutrition-education-program-efnep>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Expanded Food and Nutrition Education Program (EFNEP) is funded as a national program, not as individual projects. All seventy-six 1862 and 1890 Land-Grant Institutions receive EFNEP funding each year and these are the only entities eligible for EFNEP funding. EFNEP is designed to assist limited resource audiences in acquiring the knowledge, skills, attitudes, and changed-behavior necessary for nutritionally sound diets, and to contribute to their personal development and the improvement of the total family diet and nutritional well-being. Although EFNEP lessons may be tailored to meet the needs of participants, all programming follows the same model: Lessons are taught by peer educators using an evidence-based, interactive, culturally appropriate approach. Upon participant entry and exit data are collected on diet quality, physical activity, food safety, and food resource management practices, all of which influence health. Fiscal Year 2017:

Not applicable. Fiscal Year 2018: Pertinent details will be provided by Program at a future date.

SPECIAL NOTE:

Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/program/expanded-food-and-nutrition-education-program-efnep>.

10.515 RENEWABLE RESOURCES EXTENSION ACT AND NATIONAL FOCUS FUND PROJECTS

RREA and RREA-NFF

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Renewable Resources Extension Act (RREA) of 1978 (Pub. L. 95-306, 92 Stat. 349, 16 U.S.C. 1671 et seq.), Public Law 95-306, 16 U.S.C 1671 et seq.

OBJECTIVES:

Renewable Resources Extension Act Program (RREA):

The purpose of this funding is to assist states in carrying out an extension program designed to assist forest and range landowners and managers in making resource management decisions based on research findings. Forest and rangeland resources include vegetation, water, fisheries and wildlife, soil, and recreation.

Renewable Resource Extension Act National Focus Fund Projects (RREA-NFF):

The purpose of the grant program is to provide funds for pilot projects that:

- (1) Address emerging forest and rangeland resource issues,
- (2) Have national or regional relevancy, or
- (3) Develop new and innovative projects that can be replicated at other institutions.

The goal of the NFF program is to enhance the sustainability of the nations forest and rangeland resources and to enable landowners and managers to achieve their desired goals and objectives by making resource management decisions based on sound research findings. Forest and rangeland resources include vegetation, water, fisheries and wildlife, soil, and recreation. These projects must maximize the capacity, reach, and impact of the Cooperative Extension System Extension Forestry and Rangeland Programs and must directly work across state boundaries to share expertise to address common problems.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

USES:

RREA:

Carrying out an extension program designed to assist forest and range landowners and managers in making resource management decisions based on

research findings.

RREA-NFF:

The RREA-NFF addresses the sustainable use of natural resources, by providing funding to the Cooperative Extension System to conduct educational programs for private forestland owners that contribute to well-managed forests and the nations and worlds fiber supply in support of rural economic systems.

RESTRICTIONS:

RREA:

Approved NIFA RREA Projects RREA federal funding must be used on the strategic issues from the RREA Strategic Plan identified in the institutions approved Five (5) Year Project.

Indirect Costs and Tuition Remission In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as RREA formula grant expenditures.

Carryover of Funds RREA funds are expected to be fully expended in the fiscal year of appropriation, but they may be carried over for one (1) additional year and must be expended by September 30th of that year. However, if the carryover is in excess of 50% of the allocation, a waiver request for excess carryover is required.

RREA- NFF:

RREA is an Extension-only program, therefore research cannot be conducted with NFF funds.

Indirect costs are not allowed.

Funds may not be spent on tuition remission, food, or gifts.

Equipment costing more than \$2,000 must be fully justified. Fully discretionary.

Applicant Eligibility:

RREA:

In accordance with the Renewable Resources Extension Act of 1978, applications may only be submitted by the following State colleges and universities. Auburn University; Alabama A&M University; Tuskegee University; University of Alaska - Fairbanks; University of Arizona; University of Arkansas; University of Arkansas at Pine Bluff; University of California; Central State University; Colorado State University; University of Connecticut; University of Delaware; Delaware State University; University of the District of Columbia; University of Florida; Florida A&M University; University of Georgia; Fort Valley State University; University of Guam; University of Hawaii; University of Idaho; University of Illinois; Purdue University; Iowa State University; Kansas State University; University of Kentucky; Kentucky State University; Louisiana State University; Southern University; University of Maine; University of Maryland (College Park); University of Maryland (Eastern Shore); University of Massachusetts; Michigan State University; University of Minnesota; Mississippi State University; Alcorn State University; University of Missouri; Lincoln University; Montana State University; University of Nebraska; University of Nevada; University of New Hampshire; Rutgers University; New Mexico State University; Cornell University; North Carolina State University; North Carolina A&T State University; North Dakota State University; The Ohio State University; Oklahoma State University; Langston University; Oregon State University; Pennsylvania State University; University of Puerto Rico; University of Rhode Island; Clemson University; South Carolina State University; South Dakota State University; University of Tennessee; Tennessee State University; Texas A&M University; Prairie View A&M University; Utah State University; University of Vermont; University of the Virgin Islands; Virginia Polytechnic Institute and State University Virginia State University; Washington State University; West Virginia University; West

Virginia State University; University of Wisconsin; and University of Wyoming.

RREA-NFF:

Applications may only be submitted by 1862 and 1890 land-grant institutions. Project Directors must have an extension appointment. An extension appointment is a formal appointment by an Extension Director/Administrator in the institutions cooperative extension service. Failure to meet an eligibility criterion by the application deadline may result in the application being excluded from consideration or, even though an application may be reviewed, will preclude NIFA from making an award.

Beneficiary Eligibility:

RREA:

In accordance with the Renewable Resources Extension Act of 1978, applications may only be submitted by the following State colleges and universities. Auburn University; Alabama A&M University; Tuskegee University; University of Alaska - Fairbanks; University of Arizona; University of Arkansas; University of Arkansas at Pine Bluff; University of California; Central State University; Colorado State University; University of Connecticut; University of Delaware; Delaware State University; University of the District of Columbia; University of Florida; Florida A&M University; University of Georgia; Fort Valley State University; University of Guam; University of Hawaii; University of Idaho; University of Illinois; Purdue University; Iowa State University; Kansas State University; University of Kentucky; Kentucky State University; Louisiana State University; Southern University; University of Maine; University of Maryland (College Park); University of Maryland (Eastern Shore); University of Massachusetts; Michigan State University; University of Minnesota; Mississippi State University; Alcorn State University; University of Missouri; Lincoln University; Montana State University; University of Nebraska; University of Nevada; University of New Hampshire; Rutgers University; New Mexico State University; Cornell University; North Carolina State University; North Carolina A&T State University; North Dakota State University; The Ohio State University; Oklahoma State University; Langston University; Oregon State University; Pennsylvania State University; University of Puerto Rico; University of Rhode Island; Clemson University; South Carolina State University; South Dakota State University; University of Tennessee; Tennessee State University; Texas A&M University; Prairie View A&M University; Utah State University; University of Vermont; University of the Virgin Islands; Virginia Polytechnic Institute and State University Virginia State University; Washington State University; West Virginia University; West Virginia State University; University of Wisconsin; and University of Wyoming.

RREA-NFF:

Applications may only be submitted by 1862 and 1890 land-grant institutions. Project Directors must have an extension appointment. An extension appointment is a formal appointment by an Extension Director/Administrator in the institutions cooperative extension service. Failure to meet an eligibility criterion by the application deadline may result in the application being excluded from consideration or, even though an application may be reviewed, will preclude NIFA from making an award.

Credentials/Documentation:

Credentials/Documentation (083):

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

RREA:

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Renewable%20Resources%20Extension%20Act%20Program%20Modifications.pdf>

RREA-NFF:

<https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20RREA%20NATIONAL%20FEDERAL%20FOCUS.pdf>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.htm>

Further, applicants must follow the instructions provided in the NIFA

Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

RREA:

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Renewable%20Resources%20Extension%20Act%20Program%20Modifications.pdf>

RREA-NFF:

<https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20RREA%20NATIONAL%20FEDERAL%20FOCUS.pdf>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Further, the National Program Leader(s) reflected per Section # 152 - Headquarters, can be contacted for the most recent RFA(s).

Award Procedure:

RREA:

NIFA will review submitted documents to determine if all program, financial, and administrative requirements have been met and are current.

RREA-NFF:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

RREA:

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Renewable%20Resources%20Extension%20Act%20Program%20Modifications.pdf>

RREA-NFF:

<https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20RREA%20NATIONAL%20FEDERAL%20FOCUS.pdf>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Further, the National Program Leader(s) reflected per Section # 152 - Headquarters, can be contacted for the most recent RFA(s).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>

SPECIAL NOTE:

Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

RREA:

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Renewable%20Resources%20Extension%20Act%20Program%20Modifications.pdf>

RREA-NFF:

<https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20RREA%20NATIONAL%20FEDERAL%20FOCUS.pdf>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFAs are available as follows:

RREA:

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Renewable%20Resources%20Extension%20Act%20Program%20Modifications.pdf>

RREA-NFF:

<https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20RREA%20NATIONAL%20FEDERAL%20FOCUS.pdf>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Further, the National Program Leader(s) reflected per Section # 152 - Headquarters, can be contacted for the most recent RFA(s).

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. RREA:

States are eligible for funds appropriated under this Act according to the respective capabilities of their private forests and rangelands for yielding renewable resources and relative needs for such resources identified in the periodic Renewable Resource Assessment provided for in Section 3 of the Forest and Rangeland Renewable Resources Planning Act of 1974 and the periodic appraisal of land and water resources provided for in Section 5 of the Soil and Water Resources Conservation Act of 1977.

There is no cost-sharing or matching requirement for this program.

RREA-NFF:

NIFA does not require matching support for this program and matching resources are not factored into the review process as evaluation criteria. Not Applicable (N/A).

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed two (2) years for RREA and RREA-NFF.

SPECIAL NOTE:

Please refer to the Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available as follows:

RREA:

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Renewable%20Resources%20Extension%20Act%20Program%20Modifications.pdf>

RREA-NFF:

<https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20RREA%20NATIONAL%20FEDERAL%20FOCUS.pdf>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Further, the National Program Leader(s) reflected per Section # 152 - Headquarters, can be contacted for the most recent RFA(s). See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

RREA:

Recipients have an approved Five (5) year project in REEport and are to provide an Annual Progress Report (by fiscal year), in REEport. The progress report should include program outputs and outcomes that correspond to the RREA strategic issues included in the recipients REEport project. Significant outputs and outcomes from emergent program offerings should also be included in the Annual Progress Report.

The following is a description of project data reporting requirements through the REEport system (<http://portal.nifa.usda.gov>), as well as the SF-425 reporting requirements.

Institutions must submit a REEport Project Initiation, which includes the Project Description, Project Classification, Assurance Form, and Project Proposal through the REEport System prior to the initiation of each capacity-funded project. The project must undergo a review process and be approved before it is incorporated into the Program of Research.

Each institution must submit a REEport Progress Report annually for each eligible project. All Progress Reports are based on the federal fiscal year and must be submitted by March 1st for the preceding fiscal year.

A Final Report must be submitted to NIFA through REEport for each completed or terminated project. Such reports must be submitted at the same time as are progress reports on active projects and should include a summary of accomplishments for the entire life of the project.

A Project Financial Report must be submitted to NIFA through REEport annually for all eligible projects from the preceding fiscal year. A Project Financial Report is also required for expenditures on all state projects that are to be included in the non-federal funds and matching funds computation. Reports shall be made on the federal fiscal year basis.

Financial reporting via the SF-425, Federal Financial Report is due to the ezFedGrants portal, on December 31st, for the period October 1st through September 30th, and annually thereafter until the award is closed out. Information on how to access the ezFedGrants portal can be found under the training on the ezFedGrants page: <https://www.nfc.usda.gov/ezFedGrants>.

The final SF-425 is due no later than 90 days after the termination date of the grant. The form should be emailed as a PDF attachment to formulagrants@nifa.usda.gov (link sends e-mail). All questions relating to the SF-425 reports should be directed to the Administrative Contact listed in block 14 of the Award Face Sheet.

See

<http://nifa.usda.gov/program/renewable-resources-extension-act-capacity-grant> for additional details of annual, final technical, and financial reporting requirements. Instructions for using the REEport system are included on the NIFA web site at <http://nifa.usda.gov/reeport-resources-land-grant-partners>.

RREA-NFF:

REEport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEport Database--

Research, Education, and Extension project online reporting tool (REEport) All projects must be documented in REEport. The NIFA contact for all REEport documentation is:

REReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)

STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).
1890 Facilities Terms and Conditions (dated May, 2017).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. Cash reports are not applicable. **PROGRESS REPORTS:** See above for pertinent and specific details. **EXPENDITURE REPORTS:** See above for pertinent and specific details. **PERFORMANCE MONITORING:** See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 -

SPECIAL NOTES:
(1) Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

(2) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

SPECIAL NOTE:

Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

RREA:

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Renewable%20Resources%20Extension%20Act%20Program%20Modifications.pdf>

RREA-NFF:

<https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20RREA%20NATIONAL%20FEDERAL%20FOCUS.pdf>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Further, the National Program Leader(s) reflected per Section # 152 - Headquarters, can be contacted for the most recent RFA(s).

TAFS Codes:

12-2018-2018-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Not applicable. Fiscal Year 2018: Pertinent details will be provided by Program at a future date.

SPECIAL NOTE:

Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

RENEWABLE RESOURCES EXTENSION ACT OF 1978

<https://nifa.usda.gov/sites/default/files/Renewable%20Resources%20Extension%20Act%20Of%201978.pdf>

Regional or Local Office:

None. NONE. Represents Section # 153 Additional Website:

RREA-NFF:

<https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rrea-nff>.

Headquarters Office:

Institute of Bioenergy, Climate, and Environment (IBCE), Division of Environmental Systems, Forest Resource Management, 1400 Independence Avenue, SW, Stop 2210 , ADDITIONAL CONTACT: USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate, and Environment (IBCE), Division of Environmental Systems, Range and Grasslands Ecosystems, 1400 Independence Avenue, SW, Stop 2210, Telephone: (202) 720-0740; Fax: (202) 720-3945. , Washington, District of Columbia 20250-2210 Phone: (202) 720-0740 Fax: (202) 401-1706

Website Address:

<https://nifa.usda.gov/program/renewable-resources-extension-act-capacity-grant>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Not applicable. Fiscal Year 2018: Pertinent details will be provided by Program at a future date.

SPECIAL NOTE:

Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Request for Application (RFA). The most current RFAs are available as follows:

RREA:

<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Renewable%20Resources%20Extension%20Act%20Program%20Modifications.pdf>

RREA-NFF:

<https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20RREA%20NATIONAL%20FEDERAL%20FOCUS.pdf>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Further, the National Program Leader(s) reflected per Section # 152 - Headquarters, can be contacted for the most recent RFA(s).

10.516 RURAL HEALTH AND SAFETY EDUCATION COMPETITIVE GRANTS PROGRAM

Rural Health and Safety Education Competitive Grants Program-RHSE

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

The authority for the Rural Health and Safety Education Competitive Grants Program is under Section 502 (i) of Title V of the Rural Development Act of 1972, as amended (7 U.S.C. 2662), 7 U.S.C. 2662.

OBJECTIVES:

The Rural Health and Safety Education (RHSE) program addresses the health and well-being of rural America through supporting the development and/or implementation of projects focused on: (1) individual and family health education programs with specified contents; (2) rural health leadership development education programs to assist rural communities in developing health care services and facilities and assist community leaders and public officials in understanding their roles and responsibilities; and (3) farm safety education programs to provide information and training to farm workers, timber harvesters, and farm families.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences and approaches Grantees must use Cooperative Extension as the mode of program outreach and delivery. NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities. NIFA has determined that grant funds awarded under this authority may not be used for:

1. General Purpose Equipment Equipment which does not have a particular scientific, technical, or programmatic purpose. It includes passenger carrying vehicles, typewriters, furniture (tables, chairs, file cabinets, book cases, etc.), copy machines, fax machines, etc;
2. Entertainment Banquets, awards ceremonies, and meals for persons not in a travel status, tickets to shows or sporting events, and alcoholic beverages;
3. Incentives Federal funds may not be used to offer targeted program participants incentives (e.g., fast-food coupons, gift certificates, etc.) to entice participation. This is prohibited under the OMB Circulars;
4. Renovation or refurbishment of research, education, or extension space;
5. Purchase or installation of fixed equipment in such space
6. Planning, repair, rehabilitation, acquisition, or construction of buildings or facilities; and
7. Any expense that is not directly related to the program or project would be considered unallowable. Costs such as child-care services hired so a person can attend a meeting or kitchen help hired to prepare refreshments for a field day, promotional or thank-you gifts such as T-shirts, coffee mugs, or canvas carry-all bags are unallowable because they are not directly related to the

project plan.

Section 713 of the Consolidated Appropriations Act, 2016 (Pub. L. 114-113) limits indirect costs to 30 percent of the total Federal funds provided (or 42.857 percent of total direct costs) under each award.

Applicant Eligibility:

Applications may be submitted by 1862 and 1890 Land Grant colleges and universities that are eligible to receive funds under the Act of July 2, 1862 (7 U.S.C. 301 et seq.), and the Act of August 30, 1890 (7 U.S.C. 321 et seq.), including Central State University, Tuskegee University, West Virginia State University and the University of the District of Columbia. Applications also may be submitted by any of the Tribal colleges and universities designated as 1994 Land Grant Institutions under the Educational Land-Grant Status Act of 1994, as amended.

Beneficiary Eligibility:

Applications may be submitted by 1862 and 1890 Land Grant colleges and universities that are eligible to receive funds under the Act of July 2, 1862 (7 U.S.C. 301 et seq.), and the Act of August 30, 1890 (7 U.S.C. 321 et seq.), including Central State University, Tuskegee University, West Virginia State University and the University of the District of Columbia. Applications also may be submitted by any of the Tribal colleges and universities designated as 1994 Land Grant Institutions under the Educational Land-Grant Status Act of 1994, as amended.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

- (i) Be registered in SAM before submitting its application;
- (ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil

Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.htm>

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;

- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;

- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;

- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

- <http://nifa.usda.gov/>
- <http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

- <https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program does not have MOE requirements. NIFA does not require matching or cost sharing support for this program and matching resources will not be factored into the review process as evaluation criteria.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed three (3) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REEport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEport Database--

Research, Education, and Extension project online reporting tool (REEport) All projects must be documented in REEport. The NIFA contact for all REEport documentation is:

REEport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the

reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). 1890 Facilities Terms and Conditions (dated May, 2017).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative

Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - SPECIAL NOTES:

(1) Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

(2) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>.

TAFS Codes:

12-2018-2018-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Pertinent details will be provided by Program at a future date. Fiscal Year 2018: SPECIAL NOTE: Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership

with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:
<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE
NIFAs Federal Assistance Policy Guide describes agency policies and procedures.
<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS
Certifications and representations provided through the NIFA application process.
<https://nifa.usda.gov/certifications-and-representations>
ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA
When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.
<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS
The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.
<https://nifa.usda.gov/federal-regulations>

FOIA
The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.
<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE
The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.
<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE
The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.
<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT
NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.
<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS
Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community (IYFC), Division of Family

and Consumer Sciences, 1400 Independence Avenue, SW., STOP 2250, Telephone: (202) 720-5305; Fax: (202) 720-9366., Washington, District of Columbia 20250-2250 Phone: 202-720-2324 Fax: 202-720-2030

Website Address:

<https://nifa.usda.gov/program/rural-health-and-safety>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Not applicable. Fiscal Year 2018: Pertinent details will be provided by Program at a future date.

SPECIAL NOTE:

Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>.

10.517 TRIBAL COLLEGES EXTENSION PROGRAMS

Tribal Colleges Extension Program Special Emphasis (TCEP-SE); Tribal Colleges Extension Program (TCEP); Federally Recognized Tribes Extension Program (FRTEP) (formerly Extension Indian Reservation Program)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

TCEP-SE

This program is authorized under Section 534(b) of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note), as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7601). This section amends Section 3 of the Act of May 8, 1914 (Smith-Lever Act) (7 U.S.C. 341 et seq.), as amended.

TCEP

This program is authorized under Section 534(b) of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note), as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7601).

FRTEP

This program is authorized under Section 3(d) of the Act of May 8, 1914, Smith-Lever Act, ch. 79, 38 Stat. 372, 7 U.S.C. 341 et seq. Section 7403 of the Food, Conservation, and Energy Act of 2008 (FCEA).

OBJECTIVES:

TCEP-SE: The TCEP-SE is intended to be a component of the applicant 1994 institution's land-grant roadmap or strategic planning process. To the extent practicable, priorities should reflect NIFA's national critical needs areas: 1) Development of sustainable energy; 2) Increased global food security; 3) Adaptation of agriculture and natural resources to global climate change; 4) Reduction of childhood and adolescent obesity; and 5) Improved food safety.

TCEP: The purpose of the TCEP is to enable 1994 institutions to deliver

science-based, culturally relevant extension education programs designed to address public needs and improve quality of life. The TCEP is intended to be a component of the applicant 1994 institutions land-grant roadmap or strategic planning process. The following are priority areas:

1. Promotion of energy conservation and development of sustainable energy sources;
2. Increased reservation, pueblo, tribal or other American Indian Community food security;
3. Adaptation of tribal agriculture and natural resources to global climate change;
4. Reduction of childhood and adolescent obesity; and/or
5. Improved food safety.

The purpose and intent of this program is to establish an Extension presence and support Extension outreach on Federally Recognized Indian Reservations and Tribal jurisdictions of Federally Recognized Tribes. The intent and mission of this competitive grant program is to establish: 1) An Extension presence to promote equity in access to Extension outreach; 2) To provide resources to address long-standing need among Federally Recognized Tribes.

FRTEP: The purpose and intent of this program is to establish an Extension presence and support Extension outreach on Federally Recognized Indian Reservations and Tribal jurisdictions of Federally Recognized Tribes. This program seeks to continue the Land Grants mission of inclusion--providing education and research-based knowledge to those who might not otherwise receive it.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

USES:

TCEP-SE

Agriculture educational programs help individuals learn new ways to generate income through alternative enterprises, improved marketing strategies, and management skills and help farmers, foresters and ranchers improve productivity through resource management, controlling crop pests, soil testing, livestock production practices, and marketing.

2. 4-H Youth Development builds important life skills in youth that build character and assist them in making appropriate life and career choices. At-risk youth participate in school retention and enrichment programs. Youth learn science, math, social skills, and much more, through hands-on projects and activities.

3. Leadership Development trains extension professionals and volunteers to deliver programs in gardening, health and safety, family and consumer issues, and 4-H youth development and serve in leadership roles in the community.

4. Natural Resources informs landowners and homeowners how to achieve sustainable use of natural resources and enhance environmental values with educational programs in water quality, water conservation, timber management, composting, lawn waste management, and recycling.

5. Family and Consumer Sciences enhances families resiliency and health by teaching nutrition, food preparation skills, positive child care, family communication, financial management, and health care strategies.

6. Community and Economic Development helps local governments investigate and create viable options for economic and community development, such as improved job creation and retention, small and medium-sized business development, effective and coordinated emergency response, solid waste disposal, tourism development, workforce education, and land use planning.

TCEP:

Projects will be tailored to support current or proposed Extension education infrastructure, personnel and programming. Procurement of educational equipment, extension office accommodation, salaries for Extension personnel,

student internships, community outreach and allowable program support expenses are considered part of capacity building

FRTEP

Applicants will work towards a comprehensive Extension plan and engage in both direct and indirect activities in support of their proposed programs. Examples of direct programs activities include, but are not limited to, needs assessments, educational workshops, site visits, producer demonstration projects and cultural-learning events. Indirect activities might include, but are not limited to, extending partnerships, expanding communication networks and acquiring additional resources in support of the overall goals and objectives of the proposed project. RESTRICTIONS: TCEP-SE, TCEP, and FRTEP: Indirect costs are unallowable, and no funds will be approved for this purpose. Costs that are a part of the institutions indirect cost pool may not be reclassified as direct costs for the purpose of making them allowable.

The use of grant funds to plan, acquire, or construct a building or facility, or to acquire land, is not allowed under this program. With prior approval, in accordance with the cost principles set forth in OMB Circular No. A-21, grant funds may be used to purchase equipment, or for improvements, alterations, renovations, or repairs to land, buildings, or equipment, deemed necessary to retrofit existing spaces and resources in order to carry out a funded project under this grant. However, requests to use grant funds for such purposes must demonstrate that such expenditures are incidental to the major purpose for which the grant request is made and no more than twenty-five percent of the project budget may be used to purchase equipment. Any equipment purchased with Federal funds is the property of the grantee or the subgrantee, as appropriate.

TCEP: Capacity funds may not be used to fund activities associated with the 1994 Equity and Research awards.

In addition, the following costs, although not all-inclusive, are not permitted:
Entertainment;
Meals (except when provided to maintain the continuity of a meeting);
Tickets to shows or sporting events;
Alcoholic beverages;
Costs associated with banquets and award ceremonies; nor
Incentives.

Applicant Eligibility:

TCEP-SE and TCEP: The Educational Land-Grant Status Act of 1994, as amended in Section 533(a), requires that each 1994 Land-Grant Institution be accredited or making progress towards accreditation and be recognized as a legal entity. If accreditation is being sought, a college must demonstrate its progress towards accreditation by a letter from a nationally recognized accreditation agency affirming receipt of application for an accreditation site visit or other such documentation.

FRTEP: Applications may be submitted by 1862 or 1890 Land-Grant Institutions that have a Federally Recognized Tribe within their state jurisdiction. For a state-by-state listing of Indian tribes or groups that are federally recognized, see <http://www.ncsl.org/research/state-tribal-institute/list-of-federal-and-state-recognized-tribes.aspx>.

Beneficiary Eligibility:

TCEP-SE and TCEP: The Educational Land-Grant Status Act of 1994, as amended in Section 533(a), requires that each 1994 Land-Grant Institution be accredited or making progress towards accreditation and be recognized as a legal entity. If accreditation is being sought, a college must demonstrate its progress towards accreditation by a letter from a nationally recognized accreditation agency affirming receipt of application for an accreditation site visit or other such documentation.

FRTEP: Applications may be submitted by 1862 or 1890 Land-Grant Institutions that have a Federally Recognized Tribe within their state jurisdiction. For a state-by-state listing of Indian tribes or groups that are federally recognized, see <http://www.ncsl.org/research/state-tribal-institute/list-of-federal-and-state-recognized-tribes.aspx>.

Credentials/Documentation:

Credentials/Documentation (083):

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:

Tribal Colleges Extension Program Special Emphasis:
https://nifa.usda.gov/sites/default/files/11_Tribal%20Colleges%20Extension%20-%20Special%20Emphasis_0.pdf

Tribal Colleges Extension Program:
<https://www.nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep>

FRTEP:

https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20FRTEP_final.pdf

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.htm> 1.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via:

TCEP-SE:

https://nifa.usda.gov/sites/default/files/11_Tribal%20Colleges%20Extension%20-%20Special%20Emphasis_0.pdf

TCEP

<https://www.nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep>

FRTEP

https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20FRTEP_final.pdf

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are evaluated in a 2-part process. First, each application is screened to ensure that it meets the administrative requirements as set forth in this RFA. Second, a review panel will technically evaluate applications that meet these requirements.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via:

TCEP_SE:

https://nifa.usda.gov/sites/default/files/11_Tribal%20Colleges%20Extension%20-%20Special%20Emphasis_0.pdf

TCEP

<https://www.nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep>

FRTEP

https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20FRTEP_final.pdf

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>

<http://www.grants.gov/>

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: https://nifa.usda.gov/sites/default/files/11_Tribal%20Colleges%20Extension%20-%20Special%20Emphasis_0.pdf

TCEP

<https://www.nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep>

FRTEP

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RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

TCEP_SE:

https://nifa.usda.gov/sites/default/files/11_Tribal%20Colleges%20Extension%20-%20Special%20Emphasis_0.pdf

TCEP

<https://www.nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep>

FRTEP

https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20FRTEP_final.pdf

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. TCEP-SE Not applicable.

Funds are awarded on a competitive basis.

TCEP Not applicable. Funds are awarded on a competitive basis.

FRTEP Not applicable. Funds are awarded on a competitive basis.

This program does not have MOE requirements. TCEP-SE, TCEP, FRTEP: NIFA does not require matching support for this program, and matching

resources will not be factored into the review process as evaluation criteria.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed 5 years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

TCEP_SE:

https://nifa.usda.gov/sites/default/files/11_Tribal%20Colleges%20Extension%20-%20Special%20Emphasis_0.pdf

TCEP

<https://www.nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep>

FRTEP

https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20FRTEP_final.pdf. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

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a. Initial Documentation in the REEport Database--

Research, Education, and Extension project online reporting tool (REEport) All projects must be documented in REEport. The NIFA contact for all REEport documentation is:

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National Institute of Food and Agriculture
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1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).
1890 Facilities Terms and Conditions (dated May, 2017).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for

Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements, "nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$4,253,480 - SPECIAL NOTES:

(1) Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

(2) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

TCEP-SE:

https://nifa.usda.gov/sites/default/files/11_Tribal%20Colleges%20Extension%20-%20Special%20Emphasis_0.pdf

TCEP

<https://www.nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep>

FRTEP

https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20FRTEP_final.pdf.

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Pertinent details will be provided by Program at a future date. Fiscal Year 2018: SPECIAL NOTE: Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.
<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None.

Headquarters Office:

Tim Grosser Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250 Email: tgrosser@nifa.usda.gov Phone: 202-690-0402

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Pertinent details will be provided by Program at a future date. Fiscal Year 2018: Pertinent details will be provided by Program at a future date.

SPECIAL NOTE:

Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USFAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via:

TCEP SE
https://nifa.usda.gov/sites/default/files/11_Tribal%20Colleges%20Extension%20-%20Special%20Emphasis_0.pdf

TCEP

<https://www.nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep>

FRTEP

https://nifa.usda.gov/sites/default/files/rfa/fy%202017%20FRTEP_final.pdf.

10.518 FOOD ANIMAL RESIDUE AVOIDANCE DATABANK

FARAD

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Title VI Section 604 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7642) states that -The Secretary of Agriculture shall continue operation of the Food Animal Residue Avoidance Database program (referred to in this section as the FARAD program) through contracts, grants, or cooperative agreements with appropriate colleges or

universities., Title 7, Section 604, 7 U.S.C 7642.

OBJECTIVES:

The purpose of the FARAD is to provide livestock producers, extension specialists, scientists, and veterinarians with up to date information to prevent drug, pesticide and environmental contaminant residues in food animal products.

Collaboration between all institutions occurs on research projects that are necessary to validate the FARAD withdrawal interval estimates.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Food Animal Residue Avoidance Databank (FARAD) is a NIFA funding opportunity for the production of safe foods of animal origin through the development and dissemination of expert-mediated resources supporting prevention and mitigation of violative chemical (drug, pesticide, natural toxins, and environmental contaminant) residues in food animal products.

The major roles of each FARAD Center:

Kansas State University (KSU) - Develop pharmacokinetic modeling approaches and provide pharmacokinetic support to FARAD centers. In addition, develop an interface that links the developed models (physiologically-based PK models) with drug residue cases managed by responders at UCD and NCSU.

The University of California (UCD) Maintain the pharmacokinetic, bibliographic and call center databases and web-based access to the kinetic and bibliographic citation files. On a rotating basis with NCSU also provides responses to drug and contaminant residue questions submitted to FARAD.

The University of Florida (UFL)- Collect and enter data for the US Approved Animal Drugs Database (US-AADD), maintains internet websites for FARAD, creates and supports platforms for mobile devices to access the Veterinarian's Guide to Residue Avoidance Management (VetGRAM) and other mobile-friendly applications, and distributes electronic alerts and updates via email, Twitter and other electronic formats.

North Carolina State University (NCSU) Develop pharmacokinetic modeling approaches and provide pharmacokinetic support to FARAD centers. In addition, develop an interface that links the developed Population PK models with drug residue cases managed by responders at UCD and NCSU. On a rotating schedule with UCD, provide responses to emergency queries (telephone, internet) from the FARAD Hotline. NCSU will work with a veterinary clinical pharmacologist provide veterinary clinical pharmacology technical support to responders at NCSU and UCD for drug residue cases submitted to FARAD and oversee that appropriate PK models are utilized to resolve FARAD cases submitted to NCSU and UCD response centers.

Collaboration between all institutions occurs on research projects that are necessary to validate the FARAD withdrawal interval estimates.

RESTRICTIONS: Pursuant to Sec. 604 of the Agricultural Research Extension and Education Reform Act of 1998; [7USC 7642(d)], applicants requesting recovery on indirect cost should limit their requests to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 19 percent of total Federal funds awarded.

Applicant Eligibility:

Title VI Section 604 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7642) states that -The Secretary of Agriculture shall continue operation of the Food Animal Residue Avoidance Database program (referred to in this section as the FARAD program) through contracts, grants, or cooperative agreements with appropriate colleges or universities.

This 30-year-old high performing integrated consortium of collaborating institutions currently includes at each Land Grant campus a lead PD, and his/her respective scientific staff. The institutions involved are University of California, Davis (UCD), North Carolina State University (NCSU), University

of Florida (UFL), and Kansas State University (KSU).

Beneficiary Eligibility:

Title VI Section 604 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7642) states that "The Secretary of Agriculture shall continue operation of the Food Animal Residue Avoidance Database program (referred to in this section as the FARAD program) through contracts, grants, or cooperative agreements with appropriate colleges or universities.

This 30-year-old high performing integrated consortium of collaborating institutions currently includes at each Land Grant campus a lead PD, and his/her respective scientific staff. The institutions involved are University of California, Davis (UCD), North Carolina State University (NCSU), University of Florida (UFL), and Kansas State University (KSU).

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

- (i) Be registered in SAM before submitting its application;
- (ii) Provide a valid DUNS number in its application; and
- (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Request for Applications (RFAs) for further specific and pertinent details. Contact the headquarters or regional office, for most current RFA.

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.htm>

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Request for Applications (RFAs) for further specific and pertinent details. Contact the headquarters or regional office, for most current RFA.

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Request for Applications (RFAs) for further specific and pertinent details. Contact the headquarters or regional office, for most current RFA.

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the

RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days.

Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>

<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Request for Applications (RFAs) for further specific and pertinent details. Contact the headquarters or regional office, for most current RFA.

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

Contact the headquarters or regional office, for most current RFA.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of the contract, grant or cooperative agreement shall be three (3) years, with options to extend the term triennially.

SPECIAL NOTE:

Please refer to the Request for Applications (RFAs) for specific and pertinent details.

Contact the headquarters or regional office, for most current RFA..

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REEport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REEport Database--

Research, Education, and Extension project online reporting tool (REEport)

All projects must be documented in REEport. The NIFA contact for all REEport documentation is:

REEport

National Institute of Food and Agriculture

U.S. Department of Agriculture

STOP 2213

1400 Independence Avenue, S.W.

Washington, D.C. 20250-2213

Telephone: (202) 690-0009

E-mail: electronic@nifa.usda.gov. NIFA utilizes the Federal Financial Report, Form SF-425 to monitor cash outlays. See above for pertinent and specific details. See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$1,198,080 - SPECIAL NOTES:

(1) Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

(2) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Request for Application (RFA).

Please refer to the Request for Applications (RFAs) for further specific and pertinent details. Contact the headquarters or regional office, for most current RFA.

TAFS Codes:

12-2018-2018-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Not applicable. Fiscal Year 2018: Pertinent details will be provided by Program at a future date.

SPECIAL NOTE:

Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

REGULATIONS, GUIDELINES, AND LITERATURE:**ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA**

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Agency Offices. USDA, NIFA, National Program Leader for Veterinary Science, Animal Section, Plant and Animal Systems Unit, 1400 Independence Avenue, SW., STOP 2240 Washington, DC 20250-2220; Telephone: (202) 401-4892; Fax: (202) 401-6488.

Headquarters Office:

USDA, NIFA, National Program Leader, 1400 Independence Avenue, SW., STOP 2240 Washington, DC 20250-2220, Washington, District of Columbia 20250-2220 Phone: 202-401-4892 Fax: 202-401-6488

Website Address:

<https://nifa.usda.gov/food-animal-residue-avoidance-databank>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Not applicable. Fiscal Year 2018: Pertinent details will be provided by Program at a future date.

SPECIAL NOTE:

Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Request for Application (RFA). Please refer to the Request for Applications (RFAs) for further specific and pertinent details. Contact the headquarters or regional office, for most current RFA.

10.520 AGRICULTURE RISK MANAGEMENT EDUCATION PARTNERSHIPS COMPETITIVE GRANTS PROGRAM**ARME****FEDERAL AGENCY:**

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Federal Crop Insurance Act, 7 U.S.C 1501, as amended by Agricultural Risk Protection Act of 2000, Section 133, Public Law 106-224, as amended by Federal Crop Insurance Act, 7 U.S.C 1524(a), as amended by Food, Conservation, and Energy Act of 2008, Section 12026, Public Law 110-246.

OBJECTIVES:

To establish a competitive grants program for the purpose of educating agricultural producers about the full range of risk management activities to enhance profitability of their operations. These activities include futures, options, agricultural trade options, crop insurance, cash forward contracting, debt reduction, production diversification, marketing plans and tactics, farm resources risk reduction, and other appropriate risk management strategies. Further the National Institute of Food and Agriculture (NIFA) is required to place special emphasis on risk management strategies, education, and outreach specifically targeted at: (A) beginning farmers or ranchers; (B) legal immigrant farmers or ranchers that are attempting to become established producers in the United States; (C) socially disadvantaged farmers or ranchers; (D) farmers or ranchers that (i) are preparing to retire; and (ii) are using transition strategies to help new farmers or ranchers get started; and (E) new or established farmers or ranchers that are converting production and marketing systems to pursue new markets.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Qualified public and private entities (including land grant colleges, cooperative extension services, and colleges or universities) may use funds for the purpose of educating agricultural producers about the full range of risk management activities, including futures, options, agricultural trade options, crop insurance, cash forward contracting, debt reduction, production diversification, farm resources risk reduction, and other risk management strategies. Section 714 of the Consolidated Appropriations Act, 2017 (Pub. L. 115-31) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. See Part V section 7.9 of the NIFA Grants.gov Application Guide for further

indirect cost information.

Grant funds awarded under this authority may not be used to renovate or refurbish research, education, or extension space; purchase or install fixed equipment in such space; or plan, repair; rehabilitate, acquire, or construction of buildings or facilities.

Applicant Eligibility:

Qualified public and private entities (including land grant colleges, cooperative extension services, and colleges or universities) may use funds for the purpose of educating agricultural producers about the full range of risk management activities, including futures, options, agricultural trade options, crop insurance, cash forward contracting, debt reduction, production diversification, farm resources risk reduction, and other risk management strategies.

Beneficiary Eligibility:

Applications may be submitted by qualified public and private entities. This includes all colleges and universities, Federal, State, and local agencies, nonprofit and for-profit private organizations or corporations, and other entities. Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

(i) Be registered in SAM before submitting its application;

(ii) Provide a valid DUNS number in its application; and

(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>.

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>.

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

(a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;

(b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;

(c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the

applications to targeted audiences and to program needs;

(d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>.

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>.

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA), which are generally published annually.

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. USDA/NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods cannot exceed the statutory time limit of five (5) years. The funding period will commence on the effective date cited in the award instrument. Any such limitation also applies to subcontracts made under awards subject to a funding period limitation.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>.

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds.

Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reereport> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport) All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in

numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).
1890 Facilities Terms and Conditions (dated May, 2017).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No

cash reports are required. PROGRESS REPORTS:

See above for pertinent and specific details. EXPENDITURE REPORTS:

See above for pertinent and specific details. PERFORMANCE MONITORING:
See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$4,483,200 - SPECIAL NOTES:

(1) Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

(2) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>.

TAFS Codes:

12-2018-2018-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Not applicable. Fiscal Year 2018: Pertinent details will be provided by Program at a future date.

SPECIAL NOTE:

Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>

Regional or Local Office:

None.

Headquarters Office:

Brent Elrod 1400 Independence Avenue, SW., Rm. 4434, Washington, District of Columbia 20250 Email: belrod@nifa.usda.gov Phone: (202) 690-3468

Website Address:

<https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Not applicable. Fiscal Year 2018: Pertinent details will be provided by Program at a future date.

SPECIAL NOTE:

Previously included in CFDA # 10.500, for the Cooperative Extension Service (CES). This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500 (CES).

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>

10.521 CHILDREN, YOUTH AND FAMILIES AT-RISK

CYFAR, CYFAR-SCP and CYFAR-PDTA

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Smith-Lever Act of 1914 , 7 U.S.C 343(d); Funding for the Children, Youth, and Families At-Risk Sustainable Community Projects (CYFAR SCP) is authorized under section 3(d) of the Smith-Lever Act of May 8, 1914, as amended (7 U.S.C. 341, et seq.). Section 7403 of the Food, Conservation, and Energy Act (FCEA) of 2008 amends section 3(d) of the Smith-Lever Act of 1914 (7 U.S.C. 343(d)) in regards to eligibility.

Legislation indicates that funding for youth-at-risk programs falls under section 3(d) of the SmithLever Act. , 7 U.S.C 341, et seq.

OBJECTIVES:

To marshal resources of the Land-grant Institutions (LGIs) and Cooperative Extension Systems (CES) so that, in collaboration with other organizations, they can develop and deliver educational programs that equip youth who are at -risk for not meeting basic human needs with the skills they need to lead positive, productive, and contributing lives. The CYFAR Program is based on research on effective programs for at-risk youth and families and on the human ecological principle of working across the lifespan in the context of the family and community.

To assure that the critical needs of children and families are met, CYFAR supports comprehensive, intensive, community-based programs developed with active citizen participation in all phases. CYFAR promotes building resiliency and protective factors in youth, families, and communities.

CYFAR supports collaboration by forming lasting partnerships to achieve greater outcomes and provides a support base for sustaining programs for those at risk. CYFAR also promotes the use of technology to improve programs,

provides efficient access to educational resources, and provides essential technological skills for youth and adults in at-risk environments.

Further, LGIs and CES, in collaboration with other organizations, develop and deliver educational programs that equip military-connected youth with resiliency skills necessary to lead positive, productive, and contributing lives. The CMPC-PDTA provides for professional development and technical assistance to CYFAR 4-H Military partnership programs to ensure continued development and implementation of CMPC-PDTA.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

USES:

The CYFAR SCP has two (2) strategic objectives:

1. To support community educational programs for at-risk children, youth, and families which are based on locally identified needs, soundly grounded in research, and which lead to the accomplishment of one of four CYFAR National Outcomes and
2. To integrate CYFAR programming into ongoing Extension programs for children, youth, and families insuring that at-risk, low income children, youth, and families continue to be part of Extension and/or 4-H programs and have access to resources and educational opportunities.

The CMPC-PDTA has three (3) strategic objectives:

1. To provide professional development and technical assistance to the Land-grant institutions and Cooperative Extension Systems, in collaboration with other organizations, to ensure continued development and implementation of the 4-H program on military installations.
2. To support the development of Cooperative Extensions educational 4-H positive youth development programs (in local, often rural communities) for military-connected youth based on identified needs and soundly grounded in research.
3. To integrate 4-H Military Partnership programming into ongoing Extension programs for children, youth, and families ensuring that military-connected youth continue to be a part of Extension 4-H programs and have access to resources and educational opportunities. RESTRICTIONS:

Pursuant to Section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, 7 U.S.C. 3319, indirect costs and tuition remission are not allowable costs under Section 3(d) of Smith-Lever funded projects, and no funds will be approved for this purpose. Costs that are a part of an institutions indirect cost pool (e.g., administrative or clerical salaries) may not be reclassified as direct costs for the purpose of making them allowable.

Grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or Extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities. Fully Discretionary.

Applicant Eligibility:

Applications may only be submitted by Cooperative Extension at 1890 Land-grant Institutions, including Tuskegee University, Central State University, and West Virginia State University; 1862 Land-grant Colleges and Universities; and the University of the District of Columbia.

Beneficiary Eligibility:

Applications may only be submitted by Cooperative Extension at 1890 Land-grant Institutions, including Tuskegee University, Central State University, and West Virginia State University; 1862 Land-grant Colleges and Universities; and the University of the District of Columbia.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM) - each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:

- (i) Be registered in SAM before submitting its application;
- (ii) Provide a valid DUNS number in its application; and
- (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency.

It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects> and <https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects> and <https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).

2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details.

The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects> and <https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Dates for specific deadlines are announced in the Competitive Request for Applications (RFA), generally published for each fiscal year (FY).

Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/>
<http://www.grants.gov>.

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details.

The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects> and <https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. RFAs are generally published annually. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects> and <https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years.

Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>

SPECIAL NOTE:

Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar>

r-sustainable-community-projects and
<https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. See the following for information on how assistance is awarded/released: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds. Currently, ASAP is the only payment source for new NIFA grantees.

Reports:

REReport GRANT REPORTING:

All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reepport> and the REReport software can be found at <http://portal.nifa.usda.gov>.

a. Initial Documentation in the REReport Database--

Research, Education, and Extension project online reporting tool (REReport): All projects must be documented in REReport. The NIFA contact for all REReport documentation is:

REReport
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2213
1400 Independence Avenue, S.W.
Washington, D.C. 20250-2213
Telephone: (202) 690-0009
E-mail: electronic@nifa.usda.gov

b. Annual Progress Reports.

All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report.

(1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

(2) The reasons for slippage if established goals were not met; and

(3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

c. Final Technical Report

The Final Technical Report is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable:

Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

EVALUATION ASSESSMENT:

Successful applicants are expected to comply with the required site evaluations submission and should utilize the Interactive CYFAR Common Measures Survey Builder (cyfarnetsearch.org) to develop their evaluation assessment.

FINANCIAL REPORTING:

As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.

Federal Financial Report, Form SF-425:

NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period.

A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.

If questions are encountered regarding financial reporting requirements, please contact:

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

SPECIAL NOTES:

(1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).
1890 Facilities Terms and Conditions (dated May, 2017).

(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. No cash reports are required. **PROGRESS REPORTS:**

See above for pertinent and specific details. **EXPENDITURE REPORTS:**

See above for pertinent and specific details. **PERFORMANCE MONITORING:**
See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$8,037,990 - SPECIAL NOTES:

(1) Previously included in CFDA # 10.500. This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500.

NIFAs implementation will become effective for fiscal year (FY) 2018 Request for Applications (RFAs) and new awards.

(2) The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

TAFS Codes:

12-2018-2018-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Not applicable. Fiscal Year 2018: Pertinent details will be provided by Program at a future date.

SPECIAL NOTE:

Previously included in CFDA # 10.500. This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:

NIFAs primary (main) website:

<https://nifa.usda.gov/regulations-and-guidelines>

The following represent specific documents and direct links:

POLICY GUIDE:

NIFAs Federal Assistance Policy Guide describes agency policies and procedures.

<https://nifa.usda.gov/policy-guide>

CERTIFICATIONS AND REPRESENTATIONS:

Certifications and representations provided through the NIFA application process.

<https://nifa.usda.gov/certifications-and-representations>

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA:

When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.

<https://nifa.usda.gov/acknowledgment-usda-support-nifa>

FEDERAL REGULATIONS:

The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.

<https://nifa.usda.gov/federal-regulations>

FOIA:

The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.

<https://nifa.usda.gov/foia>

NEPA POLICY AND GUIDANCE:

The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.

<https://nifa.usda.gov/nepa-policy-and-guidance>

OGFM ISSUED CORRESPONDENCE:

The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.

<https://nifa.usda.gov/ogfm-issued-correspondence>

RESEARCH MISCONDUCT:

NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.

<https://nifa.usda.gov/research-misconduct>

NIFAS GENERAL AWARD TERMS AND CONDITIONS:

Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.

References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community (IYFC), Division of Youth and 4-H, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250 Phone: (202) 720-5305 Fax: (202) 720-9366

Website Address:

<https://nifa.usda.gov/program/children-youth-and-families-risk-cyfar>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Not applicable. Fiscal Year

2018: Pertinent details will be provided by Program at a future date.

SPECIAL NOTE:

Previously included in CFDA # 10.500. This represents a newly created CFDA number, which was part of an initiative to break out the separate programs contained in CFDA # 10.500.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.

Within guidelines established for the program as described in the Competitive Request for Application (RFA).

The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects> and
<https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>.

10.536 CACFP TRAINING GRANTS

Child and Adult Care Food Program competitive grants and cooperative agreements

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Section 17 of the Richard B. Russell National School Lunch Act (42 U.S.C. 1766) provides authority to assure the effective and proper administration of the Child and Adult Care Food Program (CACFP). Under this program, subject to the availability of funds, the Secretary of Agriculture may award competitive grants and cooperative agreements for training programs supporting CACFP, Public Law 113-79, 42 U.S.C 1766.

OBJECTIVES:

The overall goal of this project is for the selected applicant to plan, develop, design, promote, and ultimately execute and evaluate a training program that uses a tiered approach to equip State agencies with the knowledge and skills necessary to train their CACFP program operators (including CACFP institutions such as sponsoring organizations and/or independent centers as well as CACFP facilities including child care centers and day care homes) to effectively operate the CACFP at the local-level.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

We expect the cooperative agreement to fund the creation of materials and training of State agencies on CACFP requirements. Some of the trainings will be face to face and require travel.

Applicant Eligibility:

This is an announcement of the availability of funds for one new cooperative agreement for a 2-year time-frame (September 2017 August 2019) with an accredited public or private Academic Institute of Higher Learning, a Research, or Training Institution (i.e. entity that provides training such as a corporation or non-profit). Special consideration will be given to applicants who have expertise in CACFP and/or have successfully implemented training initiatives with a child nutrition focus.

Beneficiary Eligibility:

Selected applicant is to plan, develop, design, promote, and ultimately execute and evaluate a training program that uses a tiered approach to equip State agencies with the knowledge and skills necessary to train their CACFP program operators (including CACFP institutions such as sponsoring organizations and/or independent centers as well as CACFP facilities including child care centers and day care homes) to effectively operate the CACFP at the local-level.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

There are application content requirements (pg13), screening requirements (pg 11) and format requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

September 2017 August 2019. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required, quarterly. SF-425. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

12-3539-0-1-605.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$0; FY 17 est \$3,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Current regulations can be found under 7 CFR Part 226, <https://www.fns.usda.gov/cacfp/regulations>. For additional resources and guidance, see: <https://www.fns.usda.gov/cacfp/nutrition-and-nutrition-education>

Regional or Local Office:

None.

Headquarters Office:

William B. Wagoner 3101 Park Center Drive, Alexandria, Virginia 22302

Email: william.wagoner@fns.usda.gov Phone: 7033052837

Website Address:

<https://www.fns.usda.gov/cacfp/child-and-adult-care-food-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following selection criteria will be used to evaluate applications for the RFA.

Project Design and Implementation (35 points)
Budget Appropriateness (15 points)
Organizational Experience and Management/Staff Capability (30 points)
Experience and Past Performance (20 points).

10.537 SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM (SNAP) EMPLOYMENT AND TRAINING (E&T) DATA AND TECHNICAL ASSISTANCE GRANTS

SNAP E&T DATA Grants

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

The Consolidated Appropriations Act, 2017 (P.L. 115-31) provides approximately \$5 million in discretionary grant funds to support State agencies in collecting and reporting outcome data for SNAP E&T programs., Public Law 115-31.

OBJECTIVES:

These grants will provide support to State agencies in developing the capacity to collect and analyze outcome reporting data required by Section 16(h)(5)(B) of the Food and Nutrition Act. Grant funds may be used to support State and local agencies in collecting and reporting outcome data for SNAP E&T programs, as well as additional funds for technical assistance, so that States can become more effective, data driven E&T providers.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Still under consideration. Shall not be used for the ongoing cost of existing project.

Applicant Eligibility:

This grant opportunity is open to the 53 State agencies that administer SNAP. There is no State matching requirement for this grant program.

Beneficiary Eligibility:

Agreements are established between State agencies and FNS. State agencies will use funds to develop and analyze outcome reporting systems.

Credentials/Documentation:

Specific instructions provided in Request for Application. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application package should be prepared in accordance with instructions in the Request for Application (RFA) and submitted to the Food and Nutrition Service by the deadline specified.

Award Procedure:

All applications that meet the published deadline for submission will be screened for completeness and conformity to the requirements as announced in the RFA package. Grants are awarded by a competitive process via a technical review panel composed of Food and Nutrition Service staff which convenes to determine the technical merit of each grant application, provide a numerical score and make recommendations to selecting officials.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Non-selected applicants may request a debriefing to discuss the strengths and weaknesses of submitted proposals.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds should be expended within 36 months of identified start date. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly. Quarterly. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

State agencies must maintain records as necessary to support the use of SNAP E&T DATA Grant funds in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3505-0-1-605.

Obligations:

(Salaries) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Still under consideration

Regional or Local Office:

None.

Headquarters Office:

Moira Johnston 3101 Park Center Dr, 8th floor, Alexandria, Virginia 22302
Email: moira.johnston@fns.usda.gov Phone: 703-305-2515

Website Address:

<http://www.fns.usda.gov/snap>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The review panel will score and rank applications using Technical Review Criteria which includes: (1) Soundness or Merit of Project Design; (2) Budget Appropriateness and Economic Efficiency; (3) Organizational Experience, Staff Capability and Management; (4) Implementation and Evaluation; and (5) Presentation.

10.538 MOBILE TECHNOLOGY FOR CHILD NUTRITION INNOVATION LABORATORY

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

The legislative authority for this grant announcement is Section 6 [42 U.S.C. 1755] (a)(3) of the National School Lunch Act and the Child Nutrition Act of 1966 Section 17 (g) (5) as amended and Section 1472 of the National Agriculture Research, Extension, and Teaching Policy Act of 1977, 7 U.S.C. 3318, codified at 7 CFR 2.19(a)(3)(x) in January 2009.

OBJECTIVES:

The USDA anticipates awarding up to \$1.5 Million in grant funding to support the creation of a Mobile Technology for Child Nutrition Research Innovation Laboratory. This lab will support the development, testing and implementation of innovative mobile technology-based solutions to improve services, effectiveness, participation, and customer satisfaction in the Child Nutrition (CN) programs through subgrants. Subgrantees may include partnerships between State and local programs, researchers, and small businesses that are well-positioned to develop and test the effectiveness of novel mobile applications for consideration and eventual use by FNS and State agencies.

Purpose

The purposes of this Request for Applications (RFA) are to:

Provide background information and context related to the goals of this funding opportunity,

Describe the type of cooperative agreement available,

Describe which entities are eligible to apply for grant funds,

Solicit funding applications from eligible entities,

Describe the requirements for submitting a successful application,

Describe how applications will be reviewed and selected, and

Describe the terms and conditions to which grantees must adhere, including how the funds can be used.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This Request for Applications (RFA) is open to all Accredited Colleges/Universities, and Private or Public Research Institutions. These are requirements for the selected recipient of the Cooperative Agreement, not any potential sub-grantees. The competitive sub-grantees, collectively, shall receive no less than 51 percent of the total amount awarded to the Grantee.

Applicant Eligibility:

The grant is to be used to establish a cooperative agreement to identify, develop and undertake projects to meet Food and Nutrition Service (FNS) program needs and increase participation, and access to Child Nutrition programs.

Beneficiary Eligibility:

All Accredited Colleges/Universities, and Private or Public Research Institutions are eligible to apply to this opportunity.

This is a requirement for the selected recipient of the grants and cooperative agreements, not the sub-grantees. The role of the Lab will be to develop and administer multiple subgrants to support the design, development, and testing of

mobile applications for use by CN program participants, including operators of the program at the State or local level, as well as parents and children.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

FNS will pre-screen all applications to ensure that they contain the required documents and information, including but not limited to: all supporting documentation, the narrative proposal, Letters of Commitment from established partners specified in the application, and Agreement to Cooperate with FNS. Complete applications will need to be submitted by eligible applicants, meet all other eligibility requirements stated in this RFA, submitted on or before the required deadlines, and be in the required format. If an application does not include all appropriate information, FNS will consider the application to be non-responsive and will eliminate it from further evaluation.

Following the initial screening process, FNS will assemble an evaluation panel to review and determine the technical merits of each application. The evaluation criteria discussed below will be reviewed by a panel of evaluators and used to score proposals. The proposals will be ranked by score starting with the highest score. The panel will present their recommendation to the selecting official based on this ranking. Awards will be considered based on rank order; however, the selection official reserves the right to make an award out of rank order to meet agency priorities, program balance, geographical representation, or project diversity. FNS reserves the right to use this solicitation and competition to award additional grants in the next fiscal year should additional funds be made available through future appropriations.

FNS also reserves the right to fund successful applications at an amount less than requested if it is judged that an application can be implemented at a lower funding level. At the completion of the review and awards process, FNS will provide funding for the approved applicant upon receipt of a properly executed agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Please see the RFA for approval time.

Appeals:

From 30 to 60 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The total time period for which a grant or cooperative agreement is awarded may not exceed 36 months. Funding will be made to successful recipients as specified in the terms of the grant or cooperative agreement with Food and Nutrition Service or as applicable under federal regulation requirements. See the following for information on how assistance is awarded/released: The total funds will be released incrementally over the award years. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are required according to the terms of the cooperative

agreement with Food and Nutrition Service or as applicable under federal regulation requirements. Quarterly and Interim Deliverables are to be filed by the recipient according to the terms of the cooperative agreement. Annual Reports are required as specified in the RFA and a draft version of a journal article ready to be submitted to a peer-reviewed journal is required by project completion. No cash reports are required. Quarterly Progress Reports are required as specified in the RFA. Financial progress reports are submitted as specified in the RFA. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Records (financial/administrative/grant) are to be maintained according to the time requirements specified in the terms of cooperative agreement with Food and Nutrition Service or as applicable under federal regulation requirements.

Account Identification:

12-3539-0-1-605.

Obligations:

(Salaries) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-2016-2017-3539.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ashley Chaifetz 3101 Park Center Drive, Alexandria, Virginia 22302 Email: ashley.chaifetz@fns.usda.gov Phone: 7034577741

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following selection criteria and weights will be used to evaluate applications for this RFA.

All applicants will be notified whether their proposal has been accepted for an award by FNS.

The goals of the Grantees are to: create a Mobile Technology for Child Nutrition Research Innovation Laboratory, foster innovation via a competition that will support mobile technology-based solutions in the USDA Child Nutrition programs, and subsequently achieve the goals of the subgrantees. The goals of the subgrantees are to create mobile technology solutions that will satisfy at least one of the following:

1. Increase access to Child Nutrition programs
2. Increase participation in Child Nutrition programs
3. Increase efficiency in Child Nutrition programs
4. Improve participant satisfaction (at both the program operator and consumer levels) with Child Nutrition programs.

FNS is particularly interested in funding innovative approaches to increase access, participation, and efficiency and improve satisfaction with Child Nutrition programs that are sustainable without the need for new, ongoing funding.

Scoring for Grant Applications

1. Overall Approach (45 points)

Evaluation of a grant applications Overall Approach will be based on:

The project goals and objectives, which must coincide with the Cooperative Agreements focus areas and goals and objectives as described in this RFA; How well the Applicants proposal demonstrates a high likelihood of success based on previous experience;

The included timeline for successfully implementing, operating, and concluding the project is realistic and clear (the timeline includes a project activity chart showing key activities and their due dates);

The proposal narrative, which must clearly describe the applicant's proposed project; and,

The implementation and evaluation plans, which must demonstrate understanding of FNS requirements, along with the capacity and commitment to fulfill those requirements in a high-quality manner.

2. Staffing Plan (15 Points)

Criteria by which a grant applications staffing plan will be evaluated include:

Identification and description of the roles and responsibilities of key personnel and other partners and staff involved in the proposed project;

The documentation demonstrating that the key personnel have the necessary and relevant education, skills, and experience for their proposed roles on the project;

Whether the Staffing Plan provides sufficient documentation that the time commitment of key personnel appears to be appropriate for their project roles; and,

Demonstration that partners, if any, have appropriate experience and commitment to effectively fulfill their proposed roles within the time and financial constraints of the project (note: letters of commitment are required from established partners in the application and serve as evidence of these commitments).

3. Management Plan (25 Points)

Criteria by which a grant applications Management Plan will be evaluated include:

Degree to which the Management Plan articulates how the applicant will provide the oversight necessary to ensure high-quality products, services, or outcomes and to keep the funded projects on time and within budget;

Whether the Management Plan demonstrates effective internal controls of funds that are provided to partners and local agencies that ensure funds are used only for project purposes, with an accounting record and audit trail;

Inclusion of a plan for managing personnel associated with the project and for addressing any contingencies such as loss of key personnel; and,

Whether the Management Plan shows potential for strong interrelationships, teamwork, and cooperation between the Grantee and partners, including sub-grantees.

4. Budget (15 points)

Criteria by which a grant applications Budget will be evaluated include:

A budget narrative describing how costs within the Budget categories were derived and links between expenditures and specific activities/tasks;

Whether consideration within the Budget of all necessary implementation and operational costs (for example, applicants proposing to hold stakeholder discussions must specify how they will use grant funds for hosting, facilitation, and follow-up of stakeholder engagement strategies); and,

Whether costs are reasonable (the total funding amount requested is appropriate for the scope of the project, including if applicable, the use of matching funds or cost sharing available).

Total Possible Points = 100.

**10.541 CHILD NUTRITION-TECHNOLOGY INNOVATION GRANT
FEDERAL AGENCY:**

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Consolidated Appropriations Act, Public Law 114-113 December 18, 2015, Public Law 114-113.

OBJECTIVES:

To allow State agencies that administer the Child Nutrition Programs (CNPs) to apply for grant funding to implement innovative technology solutions that will improve program accountability, data accuracy, program performance measurement, and the capacity to identify and target error-prone areas (locations or program functions) within and across the CNPs at the State and Local Educational Agency (LEA) or Sponsor Organization (SO) levels.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To encourage State agencies that administer the CNPs to bridge gaps in automation by proposing innovative technology solutions that improve program accountability and efficiencies at both the State and local levels, thereby reducing duplication of effort, reducing errors, and increasing visibility of program operations for monitoring purposes. Grants only relate to technology solutions for planning and implementation.

State agencies should focus on one or more of the following methods to develop uniform systems that could provide automation between State and local levels:

- web-based software;
- uniform interfaces between diverse systems;
- technology equipment and/or software; and/or
- other innovative business automation solutions to improve program operation efficiencies, accountability, monitoring and overall program integrity at the State and local levels.

Applicant Eligibility:

Grants only relate to technology solutions for planning and implementation.

Beneficiary Eligibility:

above information to be updated as information becomes available.

Credentials/Documentation:

Register in Grants.gov, complete pre-application assessment questions and submit a RFA package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In order to submit an application via grants.gov, applicants must have obtained a DUNS number and registered in both the new SAM and on grants.gov.

Award Procedure:

Following an initial screening process to ensure all submissions are complete, FNS assembles a peer panel group to review and determine the technical merits of each application. Panel evaluates proposals based on how well they address the required application components and scores each application for either a Planning or Implementation Grant. Panel recommends applications for consideration to selecting officials.

Deadlines:

Oct 27, 2016 to Jan 25, 2017: FY 2017 CNTI grants. Request for Application Release Date: October 27, 2016
Application Submission Due Date: January 25, 2017
Grant Award Date Approximately: April 25, 2017 [note: grants were actually awarded 8/31/17].

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The award period for the FY 2017 Planning Grants and Implementation Grants will be as follows, beginning from the date of the award:
Planning Grants will be awarded for up to one year; and
Implementation Grants will be awarded for up to three years.

All grant funds must be obligated and all program activities under the grant (other than activities relating to the close out of the grant) must be completed by the end of the award period. The close-out of the grant must occur no later than 90 days following the termination date of the agreement, and all obligations incurred under the grant must be liquidated by this date. Any funds that are not liquidated within 90 days following the end of the award period must be returned to FNS. In addition, the final progress reports are due to FNS no later than 90 days following the end of the award period. Method of awarding/releasing assistance: quarterly.

Reports:

2 CFR Part 415: USDA General Program Administrative Regulations
2 CFR Part 416: USDA General Program Administrative Regulations for Grants and Cooperative Agreements to State and Local Governments. The award recipient will be required to enter the SF-425, Financial Status Report data into the FNS Food Program Reporting System (FPRS) on a quarterly basis. In order to access FPRS, the grant recipient must obtain USDA e-authentication certification and access to FPRS. More detailed instructions for reporting will be included in the FNS Federal financial assistance award package. The recipient will be responsible for managing and monitoring the progress of the grant project activities and performance. The award document will indicate the reporting schedule for submitting project performance/progress reports to FNS. Any additional reporting requirements will be identified in the award terms and conditions, including results of the grant project. Expenditure reports are not applicable. The recipient will be responsible for managing and monitoring the progress of the grant project activities and performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. 2 CFR Part 200: Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards
2 CFR Part 400: USDAs implementing regulation of 2 CFR Part 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards

Records:

2 CFR Part 415: USDA General Program Administrative Regulations
2 CFR Part 416: USDA General Program Administrative Regulations for Grants and Cooperative Agreements to State and Local Governments.

Account Identification:

12-3539-0-1-605.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$6,711,245; and FY 18 est \$0 - CN Technology Innovation Planning Grant for awards up to \$100,000 with grant periods of up to one year

CN Technology Innovation Implementation Grants for awards up to \$2,000,000 with grant periods of 1-3 years

SA may apply for either type of grant, but not both in a given RFA solicitation. Only one grant application will be accepted from a SA in response to this solicitation.

Range and Average of Financial Assistance:

CN TIG Planning Grant: 0-\$100,000

CN TIG Implementation Grant 0-\$2,000,000

For FY 2017:

Nine (9) grants were awarded with a range of \$93,222 - \$1,942,547

The average grant amount was \$745,694.

TAFS Codes:

12-00-00-3539-00.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FY2016 funds were combined into the FY2017 funds and awarded in FY2017. Fiscal Year 2017: USDA awarded nine (9) grants to the following states: Florida; Iowa; Kansas; Michigan; Minnesota; Montana; New Jersey; Pennsylvania; and Tennessee. Fiscal Year 2018: Information currently unknown.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Carla Garcia, Grants Officer
Grants and Fiscal Policy Division
U.S. Department of Agriculture, FNS
3101 Park Center Drive Room 740
Alexandria, VA 22301
E-mail: carla.garcia@fns.usda.gov.

Headquarters Office:

Cynthia Long, Food and Nutrition Service, 3101 Park Center Drive Room 628,
Alexandria, Virginia 22302 Email: Cindy.Long@fns.usda.gov Phone: (703)
305-2590

Website Address:

<http://www.grants.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grantees will be recommended on a competitive basis, based on an objective review of their proposals according to the technical evaluation criteria outlined in the RFA.

10.543 HEALTHIER US SCHOOL CHALLENGE: SMARTER LUNCHROOMS

HUSSC

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Healthy, Hunger-Free Kids Act of 2010 (HHFKA, P.L. 111-296).

OBJECTIVES:

HUSSC: SL is a voluntary certification initiative established to recognize those schools participating in the National School Lunch Program (NSLP) and School Breakfast Program (SBP) that have created healthier school environments through promotion of smarter lunchrooms, nutrition education, and physical activity. Monetary incentive awards became available for each HUSSC award level: Bronze, Silver, Gold, and Gold Award of Distinction in 2009.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

These are discretionary funds.

The monetary incentives are available to all schools that achieve HUSSC status by meeting the required criteria beginning on January 1, 2009. Schools approved as a HUSSC school under the current criteria prior to this announcement, will be eligible for the monetary incentive award.

In addition to the plaque, banner and recognition currently provided, HUSSC

schools approved under the current criteria will receive the following monetary incentive awards:

- \$2,000 Gold Award of Distinction
- \$1,500 Gold
- \$1,000 Silver
- \$500 Bronze

All HUSSC award monies must be deposited into the nonprofit school food service account and used for allowable expenses under that account. The monetary incentive awards are available until the funds are depleted. All HUSSC award monies must be deposited into the nonprofit school food service account and used for allowable expenses under that account. The monetary incentive awards are available until the funds are depleted.

Applicant Eligibility:

n/a.

Beneficiary Eligibility:

School decision makers, school food service staff, teachers, children, parents, other educators.

Credentials/Documentation:

Yes, schools or SFAs are required to complete a HealthierUS School Challenge application and must submit supporting documentation as well. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. School must be enrolled as a Team Nutrition School prior to applying, which is a free process.

Award Procedure:

Applications are reviewed by the District, State agency, FNS Regional Office, and finally approved by FNS National Office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Schools are awarded and the certification period is for 4-years. Schools receive a one-time monetary incentive (for the 4-year period). However, schools may apply for a higher award level one year from the date of their current award. If the school is awarded for a higher award or if the school is about to expire from their 4-year award period and is awarded, they will receive another monetary incentive. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

12-3539-0-1-605.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Available; FY 17 est \$1,500,000; and FY 18 est \$1,500,000

Range and Average of Financial Assistance:

Schools are awarded monetary incentive based on the applied award level:

Bronze - \$500
Silver - \$1000
Gold - \$1500
Gold Award of Distinction - \$2000.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Organized and led the National Office review and approval of 442 applications of various award levels. Also, processed 646 awardees submissions (for FY2016 and past awardees during this period) resulting in the payment of \$2.2M in award incentives. Fiscal Year 2017: Organized and led review and approval of 979 applications of various award levels (602 Bronze, 308 Silver, 66 Gold, and 3 Gold Award of Distinction).

Also, processed 346 forms resulting in the payment of \$1.4M in award incentives. In addition, contacted all backlog awardees during backlog award closeout process; which brought each record to a current verified active and processing or in-active and closed status. Fiscal Year 2018: Many schools will be certified as we are able to promote the program from the State and Local level along with our partners, Action for Healthy Kids and Alliance for a Healthier Generations' Healthy Schools Program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Memo describing use of monetary incentives
April 1, 2010 MEMO CODE: TA 04-2010

Guidelines exist in the form of criteria.

<http://www.fns.usda.gov/husssc/application-criteria-and-guidance>

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Cynthia Long, Food and Nutrition Service, 3101 Park Center Drive Room 640, Alexandria, Virginia 22302 Email: Cynthia.Long@fns.usda.gov Phone: (703) 305-2590.

Website Address:

<http://www.fns.usda.gov/husssc/healthierus-school-challenge-smarter-lunchrooms>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.544 HEALTHY BODY HEALTHY SPIRIT

Healthy Body Healthy Spirit

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

-In the Food and Nutrition Act of 2008, in Section 17(a)(1) gives the Secretary authority to make grants related to delivering nutrition-related benefits.

OBJECTIVES:

-The Food and Nutrition Services Supplemental Nutrition assistance Program (SNAP) provides funding for the SNAP Nutrition Education Program (SNAP-Ed). SNAP-Ed is central to SNAP's efforts to improve nutrition and prevent and reduce obesity among SNAP recipients. The Food Stamp Act of

1977 mandated creation and distribution of nutrition education materials to program participants. Subsequent legislation has expanded upon this requirement. The Healthy, Hunger-Free Kids Act (HHFKA) of 2010 established SNAP-Ed as a Nutrition Education and Obesity Prevention Program and changed its financial structure to that of 100 percent Federal grant funding to States with no State contribution or match.

The goal of SNAP-Ed is to improve the likelihood that persons eligible for SNAP will make healthy food choices within a limited budget and choose physically active lifestyles consistent with the current Dietary Guidelines for Americans and MyPlate.

FNS encourages States to center their efforts on the following behavioral outcomes for SNAP-Ed participants:

Make half your plate fruits and vegetables, at least half your grains whole grains, and switch to fat-free or low-fat milk products.

Increase physical activity and reduce time spent in sedentary behaviors as part of a healthy lifestyle.

Maintain appropriate calorie balance during each stage of life.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

-Funding is provided to an Organization/Entity that demonstrates its ability to meet the guidelines for providing nutrition education to low income populations and to accomplish the goals and outcomes of SNAP-Ed.

Advocates for Better Childrens Diets is a non-profit organization founded in 1995 that has conducted multiple national and local projects to promote healthy eating and physical activity for children and their families. To be used only as described in the objectives.

Applicant Eligibility:

-To help the SNAP-Ed target audience establish healthy eating habits and an active lifestyle.

Beneficiary Eligibility:

-To help the SNAP-Ed target audience establish healthy eating habits and an active lifestyle.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Since this is an unsolicited grant proposal, the usual procedure for grant award was not followed. Federal staff met to determine the technical merit of this application.

Award Procedure:

Since this was an unsolicited grant proposal, the usual procedure for grant award was not followed. Federal staff met to determine the technical merit of this application after which a decision was made to award this grant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Award was made in FY 2015.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

One Year period. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Quarterly and Annual Reports. Per the first quarter report, implementation of this project has taken place at five sites. Health Coordinators have received training on the use of a training tool that has been developed as part of this project. An evaluation tool to assess the effectiveness of the training format, content and efficacy of the program has also been developed. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

The grantee must maintain records in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3505-0-1-605.

Obligations:

(Salaries) FY 16 \$0; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Usha C. Kalro 3101 Park Center Drive
, Alexandria, Virginia 22302 Email: usha.kalro@fns.usda.gov Phone:
7033052397 Fax: 7036050795

Website Address:

<http://www.fns.usda.gov/snap/supplemental-nutrition-assistance-program-snap>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.545 FARMERS MARKET SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM SUPPORT GRANTS

Farmers Market SNAP Support Grants (FMSSG)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Presidents FY 2014 budget request for FNS (The Food and Nutrition Service: 2014, Explanatory Notes), as enacted (in continuation of previous year appropriations) by P.L. 113-235, Consolidated and Further Continuing Appropriations Act, 2015, Public Law 113-235.

OBJECTIVES:

These funds are intended to support the participation of farmers markets in the Supplemental Nutrition Assistance Program (SNAP.) Specifically, the goals of FMSSG are to increase SNAP accessibility and participation at farmers markets, and support the establishment, expansion, and promotion of SNAP/Electronic Benefits Transfer (EBT) services at farmers markets.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used to conduct tasks necessary for SNAP to operate at farmers markets, and to increase the number and effectiveness of farmers market participation in SNAP. As such, eligible uses include, but not necessarily limited to: salaries and benefits for time spent on SNAP activities, tasks for market(s); creating/operating systems (such as scrip, accounting, record-keeping) necessary to make SNAP operational at market(s); training and technical assistance to farmers market volunteers, vendors, and employees on SNAP; creating SNAP outreach and educational materials and performing outreach to current SNAP participants to inform them they may use their SNAP benefits at farmers markets; miscellaneous equipment, other than SNAP-EBT equipment -- such as scrip, wifi hotspots, accounting software, etc. necessary for SNAP-EBT equipment to operate at farmers markets. The funds must be used to further the goals of FMSSG, which specifically relate to the operation of SNAP at farmers markets. Accordingly, uses that do not relate to that purpose are impermissible. 100% of these funds are discretionary. The funds must be used to further the goals of FMSSG, which specifically relate to the operation of SNAP at farmers markets. Accordingly, uses that do not relate to that purpose are impermissible. 100% of these funds are discretionary. Funds must be used to conduct tasks necessary for SNAP to operate at farmers markets, and to increase the number and effectiveness of farmers market participation in SNAP. As such, eligible uses include, but not necessarily limited to: Salaries and benefits for time spent on SNAP activities, tasks for market(s); creating/operating systems (such as scrip, accounting, record-keeping) necessary to make SNAP operational at market(s); training and technical assistance to farmers market volunteers, vendors, and employees on SNAP; creating SNAP outreach and educational materials and performing outreach to current SNAP participants to inform them they may use their SNAP benefits at farmers markets; miscellaneous equipment, other than SNAP-EBT equipment -- such as scrip, wifi hotspots, accounting software, etc. necessary for SNAP-EBT equipment to operate at farmers markets.

Applicant Eligibility:

The funds must be used to further the goals of FMSSG, which specifically relate to the operation of SNAP at farmers markets. Accordingly, uses that do not relate to that purpose are impermissible. To the extent that the entities use the funds for such purposes, they are eligible.

Beneficiary Eligibility:

The funds must be used to further the goals of FMSSG, which specifically relate to the operation of SNAP at farmers markets. Accordingly, uses that do not relate to that purpose are impermissible. To the extent that the entities use the funds for such purposes, they are eligible beneficiaries.

Credentials/Documentation:

Farmers' Markets that participate in FMSSG must be SNAP-authorized retailers. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All FMSSG proposals are submitted via Grants.gov. Required and suggested application forms are available online at <http://www.fns.usda.gov/eat/learn-about-snap-benefits-farmers-markets>.

FNS strongly encourages applicants to adhere to the application format. The proposed project plan are typed on 8 X 11 white paper, with at least

one-inch margins on the top and bottom, using FNS-10-545A. All pages should be single-spaced, printed only on one-side, in 12 point font. The project description, including all relevant information, should be no longer than 10 pages, not including the cover sheet, key personnel qualifications, letters of commitment, project implementation addresses, charts created using the Census Bureau's American Community Survey (ACS) website, and required forms.

Applications should include the following:

Cover Sheet and Project Narrative (templates can be found in FNS-10-545A) FNS strongly encourages applicants to use FNS-10-545A when preparing their Project Narrative. Even if they choose not to do so, Project Narratives must include the following information: Requested FMSNAP Funding and Matching Funding; Entity Type; Background Statement; Goals/Purposes of the Project; Approach; Impact; Partnerships and Donations.

Budget Documents

Applicants should submit a Budget Summary that itemizes, line-by-line, each proposed Budget Item. Applicants must also submit a Budget Narrative. The Budget Narrative must justify and support the bona fide needs of the project costs, and must correspond with the Budget Summary. Proposed Budget Items must correlate to the purpose/goals of the project; and must be reasonable, necessary, allocatable, and allowable for the proposed work. FNS strongly encourages applicants to use form FNS-10-545B when preparing their Budget Summary and for additional guidance on preparing their Budget Narrative.

Neither the Budget Summary nor the Budget Narrative count toward the Project Narratives page limit.

The Budget Summary must include the following Budget Categories, and the Budget Narrative must provide justification for each Budget Item proposed under those categories. If an applicant does not propose a Budget Item under a particular Budget Category, indicate "None" in the "Itemization" column and "\$0" in the "Requested Budget" column: Personnel and Contractual; Equipment; Supplies; Other

Supplemental Documents

Letters and/or other evidence of commitment should establish the fact that critical resources and infrastructure required to initiate and implement the project are in place at the time of proposal submission. Required supplemental documents include: Key Personnel Qualifications; Letters of Commitment.

Award Procedure:

FNS pre-screens applications to ensure they contain all required information. Applications that do not include complete copies of forms SF-424, SF-424A, SF-424B, SF LLL, and (in the case of corporations, regardless of whether they are for-profit or non-profit) AD-3030, are considered non-responsive and eliminated from further consideration. Applications that do not include all required information, as described in the RFA, FNS-10-545A, and FNS-10-545B have points deducted during the evaluation process.

Following the initial screening process, FNS may divide applications into two or more groups based on whether they are submitted by individual organizations or partnerships, scope of proposed projects, and/or similar characteristics so that similar applications may be compared against each other.

FNS assembles panels to review and score each application based on technical merits. The panels evaluate the proposals based on how well they address the evaluation criteria described in the RFA. The panels recommend the highest scoring applications for grant awards. The Selecting Official reserves the right to award a grant based solely on scoring, but may also consider agency priorities, program balance, available funding, geographical representation, or project diversity.

When considering whether to award a grant, FNS may request that proposed Project Narratives and/or Budgets documents be revised. FNS contacts applicants to negotiate these revisions. Alternatively, applicants may choose not to revise their project proposal.

During past awards, the following criteria were used to evaluate applications for FMSSG funds: Goals/Purpose (12 points); Approach (40 points); Workplan; Personnel; Resources (Non-personnel resources, such as land, building, equipment, supplies, etc.); Sustainability; Partnerships; Budget (20 points); Impact (20 points); and whether the project activities take place in SNAP Priority areas (30 points).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. No Farmers Market SNAP Support Grants are available at this time.

Appeals:

Non-selected applicants may request a debriefing, outlining the strengths and weaknesses of their proposal.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

FMSSG funds are awarded for projects with a minimum duration of 13 months and a maximum of 36 months. However, FNS determines the grant performance period. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Award recipients are required to enter the SF-425, Financial Status Report data into the FNS Food Program Reporting System (FPRS) on a quarterly basis. A final Financial Status Report is required by no later than 90 days after the termination date of the grant project. In order to access FPRS, the grant recipient obtain USDA e-authentication certification and access to FPRS. More detailed instructions for reporting are included in the FNS Federal grant award package. Grant recipients are responsible for managing and monitoring the progress of the grant project activities and performance. The award document should indicate the reporting schedule for submitting project performance/progress reports to FNS. In order to make reporting efficient and consistent, grantees are asked to use an online account to set up profiles with baseline information and submit progress reports through the Farmers Market Coalition portal at www.fmtoolbox.org, as required in the FMSNAP General Award Terms and Conditions. Baseline data is entered at the start of each award project, and grantees submit progress reports into this database on a six month basis. Proposed budgets area required to reflect time allocated to reporting metrics.

For grants involving the re-granting of funds to individual markets, please note that ALL participating markets are expected to establish and maintain reporting accounts, using the same reporting timeframe as the lead grantee. Recipients have been required to submit 6-month interim performance reports after the awards anniversary date, as provided by FMSNAP, until a Final Performance Report is required. Final performance reporting requirements are due within 90 days following the grant ending date. Award recipient are required to enter the SF-425, Financial Status Report data into the FNS Food Program Reporting System (FPRS) on a quarterly basis. A final Financial Status Report is required by no later than 90 days after the termination date of the grant project. In order to access FPRS, the grant recipient must obtain USDA e-authentication certification and access to FPRS. More detailed instructions for reporting are included in FNS Federal grant award packages. See section on Progress Reports.

Audits:

No audits are required for this program.

Records:

Financial records, records of project activities, according to the metrics selected by the grantee and agreed to by FNS, for the duration of the projects, which

may be as long as 36 months. Metrics and records will vary from project to project, but may include items such as:

- o Increase in dollar value of SNAP transactions (per day, week, month, year, etc.)
- o Increase in number of SNAP transactions
- o Increase in number of farmers markets redeeming SNAP benefits
- o Increase in number of farmer-producers redeeming SNAP benefits
- o Increase in number of SNAP participants redeeming benefits at farmers markets.
- o Number of market staff trained
- o Number of farmer-producers trained
- o Number and type of SNAP outreach marketing materials disseminated
- o Number and attendance for educational, informational, and outreach events hosted.

Account Identification:

12-3505-0-1-605.

Obligations:

(Salaries) FY 16 \$532,014; FY 17 est \$0; and FY 18 est \$0 - FMSSG funds are a multi-year award process. Funds were awarded in FY 2015 and FY 2016. No funds were awarded in FY 2017. Currently there is no plan to fund new projects for FY 18. Projects had a minimum duration of 13 months and a maximum of 36 months. For FY15, \$8,100,000 was awarded to 52 projects in 23 states. For FY16 FMSSG funded three projects for a total of \$532,014.

Range and Average of Financial Assistance:

The range of awards are FMS\$15,000 to \$250,000, spread over a three year period.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 16, FMSSG funded three projects for a total of \$532,014. Fiscal Year 2017: There were no new projects funded during this period. Fiscal Year 2018: Currently there is no plan to fund new projects during this period.

REGULATIONS, GUIDELINES, AND LITERATURE:

As approved by Congress in the Presidents FY 2014 budget request for FNS (The Food and Nutrition Service: 2014, Explanatory Notes), these funds are intended to support the participation of farmers markets in SNAP by providing equipment and support grants to new markets and those currently participating in the program.⁷

Regional or Local Office:

None.

Headquarters Office:

Patrick Kelley 3101 Park Center Drive, Alexandria, Virginia 22303 Email: patrick.kelley@fns.usda.gov Phone: 703-756-0230

Website Address:

<https://www.fns.usda.gov/ebt/snap-and-farmers-markets>

RELATED PROGRAMS:

10.168 Farmers' Market Promotion Program; 10.572 WIC Farmers' Market Nutrition Program (FMNP); 10.575 Farm to School Grant Program; 10.576 Senior Farmers Market Nutrition Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Fiscal Year 2016: Veterans Employment Base Camp and Organic Garden New Bern, NC
Place-Based Initiative: Strike Force State
Awarded: \$42,000

The Veterans Employment Base Camp and Organic Garden worked with veteran farmers to support operations of a SNAP authorized farmers market that is fueled by the organizations organic garden. The project provided training to farmers on how to accept SNAP and how to grow and maintain a steady SNAP client base. Fiscal Year 2017: There were no new projects funded during this period. Fiscal Year 2018: Currently there is no plan to fund new projects during

this period.

CRITERIA FOR SELECTING PROPOSALS:

In years that grants are funded, FNS assembles panels to review and score applications based on technical merits. The panels evaluate the proposals based on how well they address the evaluation criteria described in the RFA. The panels recommend the highest scoring applications for grant awards. The Selecting Official reserve the right to award a grant based solely on scoring, but may also consider agency priorities, program balance, available funding, geographical representation, or project diversity.

The following criteria have been used in the past to evaluate applications for FMSSG funds: Goals/Purpose (12 points); Approach (40 points); Workplan; Personnel; Resources (Non-personnel resources, such as land, building, equipment, supplies, etc.); Sustainability; Partnerships; Budget (20 points); Impact (20 points); and whether the project activities take place in SNAP Priority areas (30 points).

10.547 PROFESSIONAL STANDARDS FOR SCHOOL NUTRITION EMPLOYEES

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Healthy Hunger-Free Kids Act, Executive Order Section 306 of the Healthy, Hunger-Free Kids Act of 2010, Public Law 111 296 (HHFKA) amended section 7 of the Child Nutrition Act of 1966 (CNA) (42 U.S.C. 1776) by adding paragraph (g), Professional Standards for School Food Service. This rule proposes to amend 7 CFR part 210, the regulations governing the National School Lunch Program, and 7 CFR part 235, the regulations governing State Administrative Expense Funds, consistent with amendments made to the CNA by the HHFKA., Title 7 CFR, Part 210, Section 235, Public Law 222-111, 42 U.S.C 1776.

OBJECTIVES:

The Healthy Hunger-Free Kids Act (HHFKA) of 2010, Section 306, directed the United States Department of Agriculture to establish minimum national professional standards for all school nutrition employees who manage and operate the National School Lunch and School Breakfast Programs. These new standards will ensure that school nutrition personnel have the training and tools they need to plan, purchase and prepare safe, nutritious and enjoyable school meals. The rule institutes minimum hiring standards for the selection of State and local school nutrition program directors; and requires all personnel in these programs to complete annual continuing education/training. The standards are effective July 1, 2015. The principal benefit of this rule is to ensure that key school nutrition personnel are meeting minimum professional standards in order to adequately perform the duties and responsibilities of their positions.

The purpose of this Request for Applications (RFA) is to:

Provide awards, on a competitive basis, to State agencies administering National School Lunch Program (NSLP) and School Breakfast Program (SBP), to develop and implement trainings to support the Professional Standards rule, Describe which entities are eligible to apply for grant funds, Describe the requirements for submitting a successful application, and Describe how applications will be reviewed and selected.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

\$2.6 million dollars was awarded in Fiscal Year 2015 for Professional Standards Grants. Through a competitive grants process, Professional Standards Grants will be funded for the period September 2015-September 2017. Up to \$150,000 may be requested per State agency. The submission of an application

does NOT guarantee funding.

Funding for approved Professional Standards Grants will be provided through the Grant Award/Letter of Credit process, in the same manner as other Federal funds, upon receipt of a properly executed Federal-State Professional Standards Grant Agreement and subject to availability of funding. All Professional Standards Grant funds must be obligated and all activities under the Professional Standards Grant must be completed by August 2017 (unless an extension to the grant has been approved). All State agencies are eligible to apply for the grant.

Applicant Eligibility:

The State agency applies for and signs an agreement to receive Federal fund for disbursement. The State agency enters into an agreement with each sponsor that has been approved for participation.

Beneficiary Eligibility:

Agreements are between USDA-FNS and State agencies.

Credentials/Documentation:

Selection criteria are clearly defined in application. Proposals must describe the qualifications of Project Director and other key staff. Resumes must be provided which demonstrate that staff have the appropriate educational, technical and experiential backgrounds for their proposed roles. A position description must be included for Project Directors and key staff to be hired after the grant is awarded. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Letter of intent is optional for this RFA but is highly recommended. It is due February 20, 2015. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB circular No A-110 applies to this program.

Award Procedure:

When an application is approved, the sponsor signs an agreement with the administering agency. States were selected for Professional Standards Grant based on a formal grants selection process.

Deadlines:

Apr 20, 2015 to Jan 20, 2016: Rolling submissions. Letters of intent are due February 20, 2015. Applications must be received by Food and Nutrition Service (FNS) by the four deadline dates of April 20, 2015; July 20, 2015, October 20, 2015, and January 20, 2016.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 30 to 60 days.

Appeals:

From 30 to 60 days. Not applicable for renewal.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Statutory formulas are not applicable to this program. This program has no matching requirements.

MOE requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

August 1, 2015- July 31, 2017. Method of awarding/releasing assistance: lump sum.

Reports:

The quarterly and final programmatic and financial reports are required to be submitted by the grantee in accordance with the grant terms and conditions. No cash reports are required. Quarterly progress reports are required. Quarterly

budget reports are required. The grantee will work closely with the Agency on performance progress. Cash reports are not applicable. The quarterly and final programmatic and financial reports are required to be submitted by the grantee in accordance with the grant terms and conditions. No cash reports are required. Quarterly progress reports are required. Quarterly budget reports are required. The grantee will work closely with the Agency on performance progress. The quarterly and final programmatic and financial reports are required to be submitted by the grantee in accordance with the grant terms and conditions. No cash reports are required. Quarterly progress reports are required. Quarterly budget reports are required. The grantee will work closely with the Agency on performance progress. The quarterly and final programmatic and financial reports are required to be submitted by the grantee in accordance with the grant terms and conditions. No cash reports are required. Quarterly progress reports are required. Quarterly budget reports are required. The grantee will work closely with the Agency on performance progress.

Audits:

Not Applicable.

Records:

Financial records, supporting documents, and all records pertinent to the grant program shall be retained for a period of 3 years.

Account Identification:

12-3539-0-1-605.

Obligations:

(Training) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - This is two year money starting in FY 2015.

Range and Average of Financial Assistance:

Each State can apply for up to 150,000 for this grant.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: All grantees have conducted an extensive number of trainings of school nutrition professionals with use of grant money, since 2015, in addition, many States have created new training tools, many of which are available online. Fiscal Year 2017: There are currently 19 Professional Standards Training Grants, 8 of which are slated to expire end of September 2017. The remaining 11 grants have been approved for an extension. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 7 CFR parts 210 and 235

Regional or Local Office:

See Regional Agency Offices. See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Julie Maxwell 3101 Park Center Drive, Alexandria, Virginia 22302 Email: Julie.Maxwell@fns.usda.gov Phone: 703-605-4437

Website Address:

<http://www.fns.usda.gov/school-meals/child-nutrition-programs>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Soundness or Merit of Project Design
 - a. Proposal provides justification of the project(s) and activities being proposed.
 - b. Proposal has objectives and goals that are appropriate to target audiences, are measurable, realistic, attainable, and address one or more focus areas (objectives see page 6) requested in the 2015 Professional Standards Grants Request for Application (RFA).
 - c. Proposal describes State agencies use of USDA or NFSMI-developed materials, and adequate justifications are made if a portion of the grant funds will be used to develop or purchase needed materials.

- d. Proposal adequately describes the tasks and activities with realistic timelines.
- e. Proposal includes a chronological timeline, not to exceed two pages.
- f. Proposal is written concisely, succinctly, and demonstrates a comprehensive planning process.
- g. Proposal includes a plan for sustainability after the grant period has ended.

2. Grant Impact Assessment

- a. Proposal adequately describes how the State agency will assess the effectiveness and usefulness of training approaches, programs, and proposed activities to meet Professional Standards requirements in Section 306 of Healthy, Hunger-Free Kids Act of 2010.
- b. Proposal describes how the State agency will document the number of individuals the State plans to train at three levels: Manager, Director, and other staff, as well as best practices and lessons learned as result of the grant activities.

3. Qualification of Project Personnel, Project Management, and Organizational Experiences

- a. Project Director (PD) is clearly identified. Time commitment for the grant is clearly stated as a percentage of a full time equivalent (FTE).
- b. Proposal describes the qualifications of PD and other key personnel. Resumes must be provided which demonstrate that they have the appropriate educational, technical, and experiential backgrounds for their proposed roles. A position description must be included for PD and/or key staff to be hired after the grant is awarded. The Project Director, or one of the Project Co-Directors, should have a four-year degree in nutrition/dietetics, public health, health education, or other related field.
- c. The contractor must be a subject matter expert with prior experience conducting training sessions for school food service personnel. Documentation should be provided to substantiate the training.
- d. Proposal describes the organizations credibility and capabilities to administer the grant.
- e. The organization of the project allows sufficient time for proposed tasks, and clearly discusses and demonstrates that effective communication will exist among staff.
- f. Proposal describes State agency's commitment to provide financial and administrative oversight for the grant.
- g. Letters of commitment are provided by project director, project directors supervisor, and/or key staff.

4. Budget Appropriateness and Efficiency

- a. Budget narrative describes how funds will be spent, by whom and for which categories.
- b. Budget detail sufficiently itemizes costs within each budget category.
- c. Budget demonstrates consistency with project objectives and specific activities/tasks planned. Budget line items are linked to specific grant objectives.
- d. The level of funding requested is economical and reasonable in relation to the proposed scope and effort of the project.

10.551 SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM (SNAP)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Food, Conservation and Energy Act of 2008; Food and Nutrition Act of 1977, as amended, Public Law 95- 113, 91 Stat. 958, 7 U.S.C. 2011 et seq.; Public Law 95-348, Public Law 96-58, Public Law 96-249, Public Law 97-35; Public Law 97-98; Public Law 97-253, Public Law 98-204, Public Law 98-369; Public Law 99-198, Public Law 99-425; Public Law 99-498, Public Law 99-603, Public Law 100-77, Public Law 100-175, Public Law 100-232, Public Law 100-387, Public Law 100-435, Public Law 100-503, Public Law 100-707, Public Law 101-202; Public Law 101-392; Public Law 101-508; Public Law 101-624; Public Law 102-237; Public Law 102-265; Public Law 102-325; Public Law 103-31; Public Law 103-66; Public Law 103-225; Public Law 103-286; Public Law 103-296; Public Law 104-66; Public Law 104-127; Public Law 104-193; Public Law 104-208; Public Law 105-18; Public Law 105-33; Public Law 105-185; Public Law 105-379; Public Law 106-171; Public Law

106-387; Public Law 107-171; Public Law 110-161; Public Law 110-246; American Recovery Act and Reinvestment Act of 2009, Public Law 111-5; Healthy Hunger Free Kids Act, Public Law 111-296; American Taxpayer Relief Act, Public Law 112-240; Agricultural Act of 2014.

OBJECTIVES:

SNAP Benefits: Improve nutrition of low-income households by ensuring access to nutritious, healthful diets through the provision of nutrition education and nutrition assistance through the issuance of monthly benefits for the purchase of food at authorized retailers.

Contingency Fund: Placed in reserve for use only in such amounts and at such times as may be necessary to carry out program operations. Enables the program to react to shifts in program need that we not anticipated at the time of a budget request.

ARRA: This project ended in FY14.

Healthy Incentive Pilot: This project ended in FY14. The purpose was to determine if incentives provided to SNAP recipients at the point-of-sale increase the purchase of fruits, vegetables or other healthful foods.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

SNAP Benefits: Households receive Supplemental Nutrition Assistance Program (SNAP) benefits for the purchase of food at authorized retailers and use an electronic benefit transfer (EBT) card, which operates like a debit card, to redeem benefits at authorized retailers. The amount of benefits varies according to household size, expenses, and income.

Contingency Fund: Placed in reserve for use only in such amounts and at such times as may be necessary to carry out program operations. Enables the program to react to shifts in program need that we not anticipated at the time of a budget request.

ARRA: This project ended in FY14.

Healthy Incentive Pilot: This project ended in FY14. The purpose was to determine if incentives provided to SNAP recipients at the point-of-sale increase the purchase of fruits, vegetables or other healthful foods. Recipients may only purchase food with their benefits and only from authorized retailers.

Applicant Eligibility:

SNAP Benefits: SNAP is a Federal program administered by the States. The State or U.S. Territory agency responsible for Federally aided public assistance programs submits requests for funding to USDA's Food and Nutrition Service.

Contingency Fund: Placed in reserve for use only in such amounts and at such times as may be necessary to carry out program operations. Enables the program to react to shifts in program need that we not anticipated at the time of a budget request.

ARRA: This project ended in FY14.

Healthy Incentive Pilot: This project ended in FY14. The purpose was to determine if incentives provided to SNAP recipients at the point-of-sale increase the purchase of fruits, vegetables or other healthful foods.

Beneficiary Eligibility:

Applications for SNAP are made through a local social services agency which determines eligibility and benefit amount. Eligibility is based on household size, income, and expenses. Eligibility is also based on assets, citizenship or legal immigration status, and other factors. A gross income test is based on 130 percent of the poverty line, and the net income (after expenses) test is based on 100 percent of the poverty line. Households with elderly and disabled members need only meet the net income test. The resource limit is \$2,250 (\$3,250 for a household with an elderly or disabled member). A total of 42 States use broad-based categorical eligibility to align the resource limits and gross income limits with other mean tested programs. Able-bodied adults with certain limited

exceptions must meet a work requirement or face time limits on participation.

Credentials/Documentation:

Eligibility is determined by local social service offices based on National eligibility standards. Households must provide verification of identity, income, and resources. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Food and Nutrition Act of 2008, as amended, requires each State agency to submit to the Food and Nutrition Service for approval, a plan of operation specifying the manner in which SNAP will be conducted in every political subdivision within the State.

Award Procedure:

The Secretary of Agriculture approves State plans of operation submitted by the State agency that operates public assistance programs.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Full implementation of the Nationwide mandate was completed in fiscal year 1975, except for certain Indian reservations which continued in the Food Distribution Program.

Appeals:

Other - Not Specified.

Renewals:

Program continues once approved, unless there is a substantial failure to comply with regulations or withdrawal on the part of the State.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Necessary, reasonable, and allowable administrative expenses by State agencies are reimbursed by the Federal government at approximately 50 percent. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length of assistance period varies and time phasing is not applicable. See the following for information on how assistance is awarded/released: Monthly allotments are made available to eligible individuals and households through EBT cards which operate like debit cards.

Reports:

Annual budget summary statements; monthly and annual quality control reports; quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report; monthly issuance and participation estimates; report of disqualified recipients; and cumulative totals for the fiscal year. Quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report are all required. Monthly and annual quality control reports; quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report; monthly issuance and participation estimates; report of disqualified recipients. Quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report; monthly issuance and participation estimates; and cumulative totals for the fiscal year. Monthly and annual quality control reports; quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report; monthly issuance and participation estimates; report of disqualified recipients; and cumulative totals for the fiscal year.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Programs operated by the State or on behalf of the State are subject to audit by Office of Inspector General, USDA.

Records:

Records of households certified, benefits issued, and records relating to any financial transaction are required and must be maintained for 3 years.

Account Identification:

12-3505-0-1-605.

Obligations:

(Salaries) FY 16 Not Available; FY 17 est \$5,000,000,000; and FY 18 Estimate Not Available - Contingency Fund: Placed in reserve for use only in such amounts and at such times as may be necessary to carry out program operations. Enables the program to react to shifts in program need that we not anticipated at the time of a budget request. (Formula Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - ARRA: Provided benefits. This project ended in FY14. (Formula Grants) FY 16 Not Available; FY 17 est \$68,801,122,000; and FY 18 Estimate Not Available - SNAP Benefits: Improve nutrition of low-income households by ensuring access to nutritious, healthful diets through the provision of nutrition education and nutrition assistance through the issuance of monthly benefits for the purchase of food at authorized retailers. (Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Healthy Incentive Pilot: This project ended in FY13. The purpose was to determine if incentives provided to SNAP recipients at the point-of-sale increase the purchase of fruits, vegetables or other healthful foods.

Range and Average of Financial Assistance:

Varies by income and household size. The average benefit for a household of 4 in FY15 was \$257.73.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 271-282.

Additional publications may be available at www.fns.usda.gov/snap.

Regional or Local Office:

See Regional Agency Offices. See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Jessica Shahin Supplemental Nutrition Assistance Program, Food and Nutrition Service, Department of Agriculture, 3101 Park Center Dr., Alexandria, Virginia 22302 Email: Jessica.Shahin@fns.usda.gov Phone: (703) 305-2022. Fax: (703) 305-2454.

Website Address:

<http://www.fns.usda.gov/snap/>

RELATED PROGRAMS:

10.566 Nutrition Assistance For Puerto Rico; 10.567 Food Distribution Program on Indian Reservations

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.553 SCHOOL BREAKFAST PROGRAM

SBP

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Child Nutrition Act of 1966, as amended through Public Laws 111-296, 104-193, 100-435, 99-661, 97-35. American Recovery and Reinvestment Act of

2009, Public Law 111-5, 42 U.S.C 1773,1779,1793.

OBJECTIVES:

To assist States in implementing a nutritious nonprofit breakfast service for school children, through meal reimbursements and food donations.

TYPES OF ASSISTANCE:

Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

Federally appropriated School Breakfast Program funds are available to each State agency to reimburse participating public and nonprofit private schools, of high school grade and under, including residential child care institutions, for providing nutritious breakfasts to eligible children. The breakfasts offered must meet the nutritional requirements prescribed by the Secretary of Agriculture in order to be reimbursable. The rates of reimbursement are adjusted on an annual basis to reflect changes in the Food Away From Home series of the Consumer Price Index for all Urban Consumers. All participating schools must agree to serve free and reduced price meals to eligible children. Please refer to regulations: 7 CFR Part 220 -- School Breakfast Program; 7 CFR Part 235 -- State Administrative Expense; 7 CFR Part 245 -- Free and Reduced Price Eligibility.

Applicant Eligibility:

States, including the District of Columbia and U.S. Territories, as applicable, may apply to administer the SBP and work in collaboration with public and nonprofit private schools and other institutions for children, such as public and nonprofit private residential child care institutions. All participating schools and institutions must agree to serve free and reduced price meals to eligible children, and operate a nonprofit meal service that is available to all children regardless of race, sex, color, national origin, age, or disability.

Beneficiary Eligibility:

All children attending schools where this program is operating may receive nutrition benefits, which are determined based on the household income and size. Breakfast is served free to children who are determined by the local education agency to have household income levels at or below 130 percent, and at a reduced price to children from households with incomes higher than 130 but at or below 185 percent of the Federal poverty line. Paid breakfast is served to children who are not eligible for free and reduced-price meals. The Secretary prescribes the income eligibility guidelines for free and reduced-price meals by July 1. These guidelines are revised annually according to the Federal Income Poverty Guidelines. Children from households certified to receive benefits through the Supplemental Nutrition Assistance Program (SNAP), Food Distribution Program on Indian Reservations, or Temporary Assistance for Needy Families, and children in Head Start programs are automatically eligible for free meals. Homeless children, children in certain runaway and homeless youth grant programs, migrant children, and foster children are automatically eligible for free meals. All reimbursable meals served under the SBP at the free, reduced-price, and paid categories get cash assistance.

Credentials/Documentation:

Applicant organizations must furnish evidence of nonprofit status. Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Public schools in all States apply to the State education agency, unless the State is approved for a waiver to designate an alternate agency. Appropriate forms may be obtained from the administering agency. Nonprofit private schools and institutions should also apply to the State education agency in most States. In some States, however, the State education agency is prohibited by statute from disbursing Federal funds to nonprofit private schools. In such instances, the application

will be referred to the alternate State agency or the appropriate Food and Nutrition Service (FNS) regional office.

Award Procedure:

The State agency reviews the written application submitted by a school food authority/school or a residential child care institution and, upon determination of eligibility, makes a written agreement with the school or institution for participation in the program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Effective upon approval by the State Agency or FNS regional office as applicable.

Appeals:

The State agency or FNS regional office, as applicable, is responsible for determining a school's eligibility for participation in the SBP.

Renewals:

Permanent, amended as necessary.

Formula and Matching Requirements:

Statutory Formula: The funding formula for cash assistance is set forth in section 4 of the Child Nutrition Act, 42 U.S.C. 1773, on a performance basis by: (1) multiplying the number of paid breakfasts served to eligible children during the fiscal year by a National Average Payment (NAP) prescribed by the Secretary of Agriculture; (2) multiplying the number of breakfasts served free to eligible children by a NAP prescribed by the Secretary for free breakfasts; and, (3) multiplying the number of reduced price breakfasts served to eligible children by a NAP prescribed by the Secretary for reduced priced breakfasts. The amount of Federal funds given the grantee is the sum of the products obtained from these three computations.

Schools with a high percentage of needy children may receive additional payments. The statistical factors used in this formula are: (1) The NAPs; (2) the number of paid breakfasts served; and (3) the number of breakfasts served free or at reduced price to eligible children. The NAPs are prescribed by the Secretary and adjusted each July 1, in accordance with the Food Away From Home series of the Consumer Price Index for All Urban Consumers. The source of this adjustment factor is the Bureau of Labor Statistics. The source of the numbers of breakfasts served, including the number served free or at reduced price, is the monthly programmatic reports submitted to FNS.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

For the period covered by the agreement on a fiscal year basis. Method of awarding/releasing assistance: by letter of credit.

Reports:

State Agencies, schools, and institutions file monthly reports on the number of meals served, by eligibility type, to claim program funds. Schools and institutions must submit final meal claims to the State no later than 60 days after claiming month. States must submit final program reports to FNS no later than 90 days after the claiming month. No cash reports are required. No progress reports are required. States must submit a final fiscal year grant closeout report to FNS no later than 120 days after the end of the fiscal year to which they pertain. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Schools must maintain full and accurate records of their breakfast programs. Such records must be retained for a period of 3 years after the end of the fiscal year to which they pertain, except that if audit findings have not been resolved, records must be retained beyond the 3-year period until audit findings have

been resolved.

Account Identification:

12-3539-0-1-605.

Obligations:

(Formula Grants) FY 16 \$4,241,537,000; FY 17 est \$4,489,379,000; and FY 18 est \$4,775,629,000

Range and Average of Financial Assistance:

State grants vary according to participation in this program.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Approximately 2.45 billion breakfasts were served in FY 2016, slightly more than the 2.33 billion breakfasts served in FY 2015. Fiscal Year 2017: Current estimate for FY 2017 is 2.54 billion breakfasts served. Fiscal Year 2018: The total number of breakfasts served in FY 2018 is projected to be 2.64 billion, an increase of 96.3 million (or 3.8 percent) over the current estimate for FY 2017.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 7 CFR Parts 220 and 245.

Regional or Local Office:

See Regional Agency Offices. See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long 3101 Park Center Drive, Room 628, Alexandria, Virginia 22302. Phone: (703) 305-2590.

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.555 National School Lunch Program; 10.556 Special Milk Program for Children; 10.558 Child and Adult Care Food Program; 10.559 Summer Food Service Program for Children; 10.574 Team Nutrition Grants; 10.582 Fresh Fruit and Vegetable Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.555 NATIONAL SCHOOL LUNCH PROGRAM

School Lunch

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Richard B. Russell National School Lunch Act, as amended, 42 U.S.C. 1751, 1760, 1779.

OBJECTIVES:

To assist States, through cash grants and food donations, in providing a nutritious nonprofit lunch service for school children and to encourage the domestic consumption of nutritious agricultural commodities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Federally appropriated National School Lunch Program funds are available to each State agency to reimburse participating public and nonprofit private schools, of high school grades or under, including residential child care institutions, for providing nutritious lunches to children. Funds are also available to reimburse schools for snacks served to children enrolled in eligible after school care programs. The meals offered must meet specific nutrition standards in order to be reimbursable. The rates of reimbursement are adjusted on an annual basis to reflect changes in the Food Away From Home series of the Consumer Price Index for All Urban Consumers. All participating schools must

agree to serve free and reduced price meals to eligible children. Please refer to regulations: 7 CFR Part 210 -- National School Lunch Program, 7 CFR Part 235 -- State Administrative Expense, and 7 CFR Part 245 Free and Reduced Price Eligibility.

Applicant Eligibility:

States, including the District of Columbia and U.S. Territories, as applicable, may apply to administer the National School Lunch Program and work in collaboration with public and nonprofit private schools and other institutions, such as residential child care institutions, to provide nutritious lunches for children. All participating schools and institutions must agree to operate a nonprofit food service that is available to all children regardless of race, sex, color, national origin, age, or disability.

Beneficiary Eligibility:

All children enrolled in schools where this program is operating may receive a lunch daily. Eligibility for free, reduced-price, or paid lunches is determined based on the household income and size. Lunch is available free to children who are determined by the local education agency to have household income levels at or below 130 percent, and at a reduced price rate to children from households with incomes higher than 130 but at or below 185 percent of the Federal poverty line. Lunch is available at the paid rate to children who are not eligible for free and reduced-price meals. The Secretary prescribes the income eligibility guidelines for free and reduced-price meals by July 1. These guidelines are revised annually according to the Federal Income Poverty Guidelines. Children from households certified to receive benefits through the Supplemental Nutrition Assistance Program (SNAP), Food Distribution Program on Indian Reservations, or Temporary Assistance for Needy Families, and children in Head Start programs are automatically eligible for free meals. Homeless children, children in certain runaway and homeless youth grant programs, migrant children, and foster children are automatically eligible for free meals. All reimbursable meals served at the free, reduced-price, and paid categories get cash assistance.

Credentials/Documentation:

Applicant organizations must furnish evidence of nonprofit status. Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

School food authorities must submit a written application to the State agency for any school in which it desires to operate the lunch program. At the local level, households may apply for free or reduced price meals under the lunch program by submitting an application furnished by the Food and Nutrition Service (FNS), the State agency, or the School Food Authority, as applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Public schools/school food authorities in all States apply to the State educational agency, unless the State is approved for a waiver to designate an alternate agency. Appropriate application forms may be obtained from the administering agency. Nonprofit, private schools and institutions should also apply to the State educational agency in most States. In some States, however, the State education agency is prohibited by statute from disbursing Federal funds to nonprofit, private schools. In such instances, the application will be referred to the alternate State agency or the appropriate FNS Regional Office.

Award Procedure:

The State agency reviews the written application submitted by a school food authority/school or a residential child care institution and, upon determination of eligibility, makes a written agreement with the school or institution for participation in the lunch program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

Effective upon approval by the State agency or FNS regional office as applicable.

Appeals:

The State agency or FNS regional office, as applicable is responsible for determining a school's eligibility.

Renewals:

Permanent, amended as necessary.

Formula and Matching Requirements:

Statutory Formula: The funding formula for the general cash for food assistance phase of this program is set forth in section 4 of the Richard B. Russell National School Lunch Act, 42 U.S.C. 1753; the formula for special cash assistance is described in section 11 of the Act, 42 U.S.C.1759a. This program is funded on a performance basis. For every lunch served during the applicable fiscal year, the State receives a payment from funds made available under section 4. In addition, the State receives a payment from section 11 funds for each lunch served to a child eligible for free or reduced price lunches. The amounts of such per lunch payments are determined by "National Average Payment" (NAP) factors. The amount due the State under this program is obtained by: (1) multiplying the total number of eligible paid lunches and free and reduced price lunches served during the fiscal year by the applicable section 4 NAP factor for lunches; (2) multiplying the number of free lunches served by the section 11 NAP factor for free lunches; (3) multiplying the number of lunches served at reduced price by the Section 11 NAP factor for reduced price lunches; (4) multiplying the total number of lunches served during the fiscal year in school food authorities which served 60 percent or more free or reduced price lunches in the second preceding school year by \$.02; and (5) adding together the four products obtained thereby. The NAP factors are prescribed by the Secretary of Agriculture in accordance with sections 4 and 11, respectively, of the Act; the numbers of lunches are obtained from programmatic reports submitted to FNS. In addition to the NAP factors, lunches certified as meeting updated meal patterns and nutrition standards receive performance-based cash assistance of an additional 6 cents starting school year 2012-2013, adjusted annually thereafter as specified in 7 CFR 210.4(b)(1)(iii). The funding formula for snacks in after school care programs is described in section 17A of the Act, 42 U.S.C. 1766a. Section 11(a)(3) of the Act requires that the NAP factors be adjusted annually, according to changes in the Food Away From Home series of the Consumer Price Index for All Urban Consumers; the source is the Bureau of Labor Statistics. Also, schools receiving USDA Foods are eligible to receive donated commodities equal in value to the sum of section 6 and section 4 assistance rates, except that up to 5 cents of this amount may be received in cash for processing and handling commodities. In addition, schools receiving USDA Foods are eligible for section 11 free and reduced price meal reimbursements.

Matching Requirements: Percent: 30%. As specified in Section 7 of the National School Lunch Act, State revenue matching must be not be less than 30 percent of the funds received by the State agency under section 4 of the National School Lunch Act beginning in the 1980-81 school year. This program has MOE requirements, see funding agency for further details. See funding agency for further details.

Length and Time Phasing of Assistance:

For the period covered by the agreement on a fiscal year basis. Method of awarding/releasing assistance: by letter of credit.

Reports:

State agencies and schools file monthly reports on the number of meals served, by type, to claim program funds. School food authorities must submit final meal claims to the State no later than 60 days after the claiming month, and States must submit final program reports to FNS no later than 90 days after the claiming month. States must submit final fiscal year grant close out reports to FNS within 120 days after the end of the fiscal year to which they pertain. Annually, school food authorities must report, to their State agency, the most common charge for lunches served to children who do not qualify for free or reduced price lunches. School food authorities report the price charged at the elementary, middle and high school levels, as applicable. In turn the State agency submits this information to FNS for publication. No cash reports are

required. No progress reports are required. Reports are required as specified in 7 CFR 210.5(d). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits may be conducted less frequently under conditions specified in OMB Circular No. A-133.

Records:

Schools/school food authorities must maintain full and accurate records of their nonprofit food service operation, including --but not limited to-- records pertaining to finances, the price of paid lunches in relation to food costs, menu planning and production, and meal counting and claiming. School food authorities must make these records available to the State agency for review.

Account Identification:

12-3539-0-1-605.

Obligations:

(Formula Grants) FY 16 \$12,259,688,000; FY 17 est \$12,413,673,000; and FY 18 est \$13,072,329,000

Range and Average of Financial Assistance:

State grants vary according to participation in this program.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, 5.26 billion lunches and snacks were served in the NSLP, slightly more than the 5.23 billion served in FY 2015. Fiscal Year 2017: Current estimate for FY 2017 is 5.37 billion lunches and snacks served. Fiscal Year 2018: The total number of school lunches and snacks in FY 2018 is projected to be 5.43 billion, an increase of 65.6 million (or 1.2 percent) over the current estimate for FY 2017.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations on operational requirements are codified at 7 CFR Parts 210, and 7 CFR 245 for free and reduced price meal eligibility.

Regional or Local Office:

See Regional Agency Offices. See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, Food and Nutrition Service, 3101 Park Center Drive Room 628, Alexandria, Virginia 22302. Phone: 703-305-2590.

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.556 Special Milk Program for Children; 10.559 Summer Food Service Program for Children; 10.574 Team Nutrition Grants; 10.582 Fresh Fruit and Vegetable Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.556 SPECIAL MILK PROGRAM FOR CHILDREN

Special Milk Program

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Child Nutrition Act of 1966, as amended., 42 U.S.C 1772 and 1779.

OBJECTIVES:

To provide subsidies to schools and institutions to encourage the consumption

of fluid milk by children.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are made available to State agencies to encourage the consumption of fluid milk by children in public and private, nonprofit schools of high school grade and under, public and private, nonprofit nursery schools, child care centers, settlement houses, summer camps, and similar nonprofit institutions devoted to the care and training of children, except Job Corps centers, provided that these schools and institutions do not participate in a meal service program authorized under the National School Lunch Act or the Child Nutrition Act of 1966. The Child Nutrition Amendments of 1986 expanded eligibility to include children in split session kindergarten and pre-kindergarten programs in nonprofit schools and institutions that do not have access to the Federal meal service program operating in schools the children attend. Disbursement to States is made on the basis of the number of half-pints of milk served to non-needy children, using a reimbursement rate specified by law. Milk served free to eligible needy children is reimbursed at the average cost of a half-pint of milk. Please refer to regulations: 7 CFR Part 215 -- Special Milk Program; 7 CFR Part 235 -- State Administrative Expense; 7 CFR Part 245 -- Free and Reduced Price Eligibility.

Applicant Eligibility:

The State, including the District of Columbia, or U.S. Territory as applicable, administers this program. Public and nonprofit private school of high school grade or under, and public and private nonprofit residential and nonresidential child care institutions, except Job Corps centers, may participate in this program upon request if they do not participate in a meal service program authorized under the Richard B. Russell National School Lunch Act or the Child Nutrition Act of 1966. This generally includes nonprofit nursery schools, child care centers, settlement houses and summer camps. Schools with split session kindergarten and pre-kindergarten programs can receive subsidies for milk served to children in the split session kindergartens and pre-kindergartens that do not have access to another meal service program operating in the school. All schools and child care institutions that participate must agree to operate this program on a nonprofit basis for all children without regard to race, sex, color, national origin, age or disability.

Beneficiary Eligibility:

All children enrolled in participating schools and institutions who do not have access to other Child Nutrition Programs, may participate in this program.

Credentials/Documentation:

Applicant organizations must furnish evidence of nonprofit status. Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations, 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Public schools in all States make application to the State educational agency unless the State applies and is approved for a waiver to designate an alternate agency. Appropriate forms may be obtained from this agency. In most cases, nonprofit private schools and institutions also apply to the State educational agency. However, in some States, the State educational agency is prohibited by State statute from disbursing Federal funds to nonprofit private schools and institutions. In such instances, the application will be referred to the appropriate alternate State agency or the appropriate Food and Nutrition Service Regional Office (FNSRO).

Award Procedure:

The State agency reviews the written application of the school or child care

institution and, upon determination of eligibility, makes a written agreement for participation in this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Agreements are effective upon approval of the State Agency.

Appeals:

State Agencies are responsible for determining eligibility of a school or institution.

Renewals:

Agreements are permanent; amended as necessary.

Formula and Matching Requirements:

Statutory Formula: Section 3(a) of the Child Nutrition Act of 1966, as amended, 42 U.S.C. 1772, describes the funding formula for cash assistance. The reimbursement rate for each paid half-pint of milk served to children is adjusted each school year to correspond to the change in the Producer Price Index for Fluid Milk Products published by the Bureau of Labor Statistics. The statistical factor used for beneficiary eligibility for free milk is 130 percent of the poverty line and the source is the Federal income poverty guidelines. The cost of milk in excess of the Federal reimbursement must be borne by sources within the States (7 CFR Part 215). Disbursement to States is based on the number of half-pints served to children.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

For the period covered by the agreement on a fiscal year basis. Method of awarding/releasing assistance: by letter of credit.

Reports:

State agencies, schools, and institutions file monthly reports on program operations to claim reimbursement. Schools must submit final claims to the State agency no later than 60 days after the claiming month, and States must submit final program reports to the Food and Nutrition Service no later than 90 days after the claiming month. No cash reports are required. No progress reports are required. For each fiscal year, States must submit final grant close out reports to the Food and Nutrition Service not later than 120 days after the close of the fiscal year to which they pertain. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Schools and institutions must maintain full and accurate records of Special Milk Program operations. Such records must be retained for a period of 3 years after the end of the fiscal year to which they pertain except that, if audit findings have not been resolved, the records must be retained as long as required for their resolution. This program is subject to periodic audits.

Account Identification:

12-3539-0-1-605.

Obligations:

(Formula Grants) FY 16 \$9,187,000; FY 17 est \$8,717,000; and FY 18 est \$8,759,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 45.2 million half pints of milk served. Fiscal Year 2017: 43.7

million half pints of milk served. Fiscal Year 2018: 42.8 million half pints of milk served.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 7 CFR Parts 215 and 245.

Regional or Local Office:

See Regional Agency Offices. See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive Room 628, Alexandria, Virginia 22302. Phone: (703) 305-2590.

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program; 10.558 Child and Adult Care Food Program; 10.559 Summer Food Service Program for Children; 10.574 Team Nutrition Grants; 10.582 Fresh Fruit and Vegetable Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.557 WIC SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS, AND CHILDREN

(WIC Program)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786. Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C. 1746.

OBJECTIVES:

To provide low-income pregnant, breastfeeding and postpartum women, infants, and children to age five who have been determined to be at nutritional risk, supplemental nutritious foods, nutrition education, and referrals to health and social services at no cost. WIC also promotes breastfeeding as the feeding method of choice for infants, provides substance abuse education and promotes immunization and other aspects of healthy living.

For Formula Grants, the Food and Nutrition Service (FNS) makes funds available to participating State health agencies and Indian Tribal Organizations (ITOs) that, in turn, distribute the funds to participating local agencies. State and local agencies use WIC funds to pay the costs of specified supplemental foods provided to WIC participants, and to pay for specified nutrition services and administration (NSA) costs, including the cost of nutrition assessments, blood tests for anemia, nutrition education, breastfeeding promotion, and health care referrals.

For Project Grants, funding for the competitive Loving Support grant is available for formative research and educational material development in the campaign for breastfeeding promotion and support. This project will effectively build on the successes of the existing Loving Support campaign to inform, motivate and persuade the audience in an effective manner that continues to increase breastfeeding rates among WIC participants and increase support for breastfeeding among those who most influence breastfeeding mothers (their family and friends, health care providers, WIC staff, and relevant community partners).

TYPES OF ASSISTANCE:

FORMULA GRANTS; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

WIC Program Formula grants are made to State health departments or comparable agencies, Indian tribes, bands, or intertribal councils, or groups recognized by the Bureau of Indian Affairs, Department of the Interior, or the Indian Health Service of the Department of Health and Human Services. These

agencies distribute funds to participating local public or nonprofit private health or welfare agencies. Funds are expended to pay for supplemental foods, nutrition education, breastfeeding promotion and support, health care referrals for participants, and other client services including certification, as well as administrative costs. State agencies are provided Federal funds according to legislative and regulatory formulas. Only local agencies qualifying under State agency applications with formal agreements may operate WIC programs.

The Loving Support Project grant is available to small businesses, nonprofits having a 501(c)(3) status with the IRS, WIC State agencies, institutions of higher education and for profit organizations other than small businesses, public and State controlled institutions of higher education and private institutions of higher education. Qualified applicants are expected to update the existing USDA breastfeeding promotion campaign or update and develop breastfeeding training for WIC staff. The campaign update will be based on a comprehensive social marketing plan with an emphasis on formative research, appropriately framed educational messages, innovative campaign strategies, and updated promotional materials. WIC breastfeeding training curricula for staff fall under the umbrella of the Loving Support campaign. Grant awards are contingent upon availability of funding.

Applicant Eligibility:

A local agency is eligible to apply to deliver locally the services of the WIC Program, provided that: (1) it serves a population of low-income women, infants, and children at nutritional risk; and (2) it is a public or private nonprofit health or human service agency. All local agencies must apply through the responsible State, Indian Tribal Organization or U.S. Territory agency.

The Loving Support grant is available to small businesses, Nonprofits having a 501(c)(3) status with the IRS, institutions of higher education and for profit organizations other than small businesses, public and State controlled institutions of higher education and private institutions of higher education. All applications must be submitted via the Grants.gov portal.

Beneficiary Eligibility:

Pregnant, breastfeeding and postpartum women, infants, and children up to 5 years of age are eligible if: (1) they are individually determined by a competent professional to be in need of the special supplemental foods supplied by the program because of nutritional risk; and (2) meet an income standard, or receive or have certain family members that receive benefits under the Supplemental Nutrition Assistance, Medicaid or Temporary Assistance for Needy Families Programs. They must also reside in the State in which benefits are received.

Credentials/Documentation:

Individuals are certified as meeting an income standard, or as participating in certain other means-tested Federal programs. Certification regarding nutritional need for supplemental foods is determined by local level professionals. As of April 1999, all State agencies were using uniform criteria to determine nutrition risk. Costs are determined in accordance with OMB Circular No. A-87 for State and local governments. The State designated official responsible for ensuring that the program is operated in accordance with program requirements must sign the State Plan and Federal-State Agreement pursuant to program regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Project Grants are posted to grants.gov and applicants must follow the procedures noted in the Request For Application. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Local agencies and retailers must apply to the State agency in writing. Individual participants apply for WIC benefits at an approved local agency. State agencies must submit required documentation to FNS.

Project Grants are posted to grants.gov and applicants must follow the procedures noted in the Request For Application.

Award Procedure:

WIC Program Formula Grants are awarded by the Department on the basis of funding formulas to State agencies for distribution to approved local agencies subject to available funds.

WIC Program Project Grants are competitively awarded and subject to available funds.

Deadlines:

Aug 15, 2017 A State plan for the next fiscal year is required by August 15. Only substantive changes to the currently approved State plan must be submitted.

The application period for WIC Project Grants closure date is specified in the Request for Proposals, posted to grants.gov.

Range of Approval/Disapproval Time:

Approval determination for the WIC Program Formula Grants is made within 30 days of submission of a completed plan or amendment.

Approval determination for the WIC Program Project Grants is made within 30 days of the application closure date.

Appeals:

For the WIC Program, local agency or food vendor appeals: The State agency provides a hearing appeal procedure for a food vendor or local agency adversely affected by certain State or local agency actions. The adverse action may be postponed until a hearing decision is reached. Participant appeals: The State agency provides a hearing appeal procedure for any individual that receives a State or local agency action that results in a claim for repayment of the cash value of improperly issued benefits, denial of participation, or disqualification from the WIC Program. The RFA will provide information regarding appeals of Project Grants.

Renewals:

The WIC program is authorized through September 30, 2017; it presently operates under the authority of the Child Nutrition Act of 1966, as amended.

Formula and Matching Requirements:

Statutory Formula: Title 7, Part 246, Public Law Child Nutrition Act of 1966, as amended. Grants are allocated on the basis of formulas determined by the Department of Agriculture which allocate funds for food benefits, nutrition services and administration costs.

This program has no matching requirements. No matching funds are required but some States contribute nonfederal funds in support of a larger WIC Program in their State.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Formula and Project Grants are released using an electronic transfer system. State agencies may withdraw funds only as needed. Method of awarding/releasing assistance: quarterly.

Reports:

Formula grants require reports on monthly participation and value of food or food instruments issued. Formula grants require cash reports on operating expenses and funds withdrawn from the Federal Letter of credit. Formula grants required monthly participation reports.

Project Grants require quarterly progress reports. Formula grants require expenditure reports on operating expenses and funds withdrawn from the Federal Letter of credit.

Project grants require a Financial Status Report (SF-425). Formula grants require Financial Management Reviews and Management Evaluations.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Full and complete records concerning program operations including financial operations, food delivery systems, food instrument issuance and redemption, equipment purchases and inventory, certification, nutrition education, civil rights and fair hearing procedures. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the three year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3510-0-1-605.

Obligations:

(Salaries) FY 16 \$6,730,000; FY 17 est \$6,716,148; and FY 18 est \$6,800,896 - FY 16 Administrative costs - \$2,019,000; Food costs - \$4,711,000
FY 17 Administrative costs - \$1,904,462; Food cost - \$4,811,686 (estimated).
FY 18 Administrative costs - \$1,925,035; Food cost - \$4,875,861. (Project Grants (Discretionary)) FY 16 \$969,050; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - Loving Support Grants.

Range and Average of Financial Assistance:

For fiscal year 2016, FNS approved the operation of the WIC Program in 90 State agencies. This figure includes 50 States, 34 Indian agencies, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Marianas and the District of Columbia. During fiscal year 2016, an average of approximately 7,690,720 women, infants and children received WIC benefits every month. Although food package costs varied widely among the States, the monthly average food package cost for fiscal year 2016 was approximately \$42.62 per person.

TAFS Codes:

12-3510.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, 1,837,410 women, 1,874,020 infants, and 3,979,290 children received supplemental nutritious foods, nutrition education, and referrals to health and social services at no cost. Nearly half of the infants born in the US in 2016 received WIC benefits. A Loving Support grant was awarded in FY 2016 in the amount of \$969,050/. Fiscal Year 2017: At the time of publication, no Formula Grant data available. There are no Project Grants being issued in FY 2017. Fiscal Year 2018: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 246; "WIC State Plan Guidance" is available at no charge from FNS.

Regional or Local Office:

See Regional Agency Offices. <https://www.fns.usda.gov/fns-regional-offices>.

Headquarters Office:

Sarah Widor, Director, Supplemental Food Programs 3101 Park Center Drive, Alexandria, Virginia 22302 Email: Sarah.Widor@fns.usda.gov Phone: (703) 305-2729 Fax: (703) 305-2196

Website Address:

<http://www.fns.usda.gov/wic>.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.556 Special Milk Program for Children; 10.565 Commodity Supplemental Food Program; 10.572 WIC Farmers' Market Nutrition Program (FMNP); 93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: A Loving Support Grant was awarded to Every Mother, Inc., in the amount of \$969,050. The agency will update existing breastfeeding training for WIC staff, provide peer counseling management training for WIC State agencies in all 7 FNS Regional locations, and develop and new curriculum for the WIC Designated Breastfeeding Expert. Fiscal Year 2017: No

grants awarded. Fiscal Year 2018: Expected to award a grant for implementation of the new USDA breastfeeding campaign.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for evaluation of a Project Grant are included in the Request for Application posted to grants.gov.

10.558 CHILD AND ADULT CARE FOOD PROGRAM (CACFP)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Richard B. Russell National School Lunch Act, Sections 9, 11, 14, 16 and 17, as amended, 89 Stat. 522-525, 42 U.S.C. 1758, 1759a, 1762a, 1765 and 1766.

OBJECTIVES:

To assist States, through grants-in-aid and other means, to initiate and maintain nonprofit food service programs for children and elderly or impaired adults enrolled in nonresidential day care facilities, children attending afterschool care programs in low-income areas, and children residing in emergency shelters. The United States Department of Agriculture (USDA) operates CACFP in partnership with State administering agencies to provide aid to child and adult care sponsoring organizations, centers, and day care homes for the provision of nutritious foods that contribute to the wellness, healthy growth, and development of young children, and the health and wellness of older adults and chronically impaired disabled persons. Funds are also made available to the States for expenses associated with CACFP administration.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

USDA's Food and Nutrition Service (FNS) makes funds available to States for disbursement to eligible institutions to reimburse their costs in providing meals and snacks to children and adult participants enrolled in nonresidential day care, children attending afterschool care programs, and children residing in emergency shelters. Disbursement is made on the basis of the number of breakfasts, lunches, suppers, and snacks served, using annually adjusted reimbursement rates specified by law. CACFP allows reimbursement for up to two meals and one snack served each day to children through the age of 12, children of migrant workers through the age of 15, and persons with disabilities, in child care centers and day care homes. Adult day care centers receive reimbursement for up to two meals and one snack served each day to enrolled adults who are functionally impaired or age 60 and older. CACFP also provides reimbursement to emergency shelters for up to three meals served each day to residents age 18 and younger. In at-risk afterschool care programs, reimbursement is available for one snack and an additional meal served each day, during the regular school year, to children through the age of 18. All meals must meet USDA standards to be eligible for reimbursement. Funds are also paid to States for administrative expenses related to Program staffing and oversight, as well as for audit expenses associated with CACFP administration.

Applicant Eligibility:

The State or U.S. Territory agency applies for and signs an agreement to receive Federal funds for disbursement. The State agency enters into an agreement with each institution that has been approved for participation. The agreement is permanent and may be amended as necessary to ensure compliance with all Federal requirements. Institutions must agree to operate a nonprofit food service that is available to all eligible children and adult participants regardless of race, sex, color, national origin, age, or disability.

Beneficiary Eligibility:

Approved institutions providing nonresidential day care services may participate in CACFP. Eligible public and nonprofit private organizations may include day care centers, outside-school-hours care centers, family day care homes, and Head Start programs. Private for-profit centers may also participate if at least 25 percent of the children in care (enrolled or licensed capacity, whichever is less) are eligible for free or reduced price school meals or receive benefits under Title XX of the Social Security Act. Also eligible for participation are nonprofit centers which provide nonresidential adult day care, and private for-profit centers if the center receives compensation under Title

XIX of the Social Security Act or Title XX, and at least 25 percent of the adults enrolled in the center receive benefits under Title XIX, Title XX, or a combination of both. Emergency shelters which provide shelter and meals to children experiencing homelessness and at-risk after school care programs in low-income areas are also eligible. Any eligible institution may participate in CACFP upon request with State agency approval.

Credentials/Documentation:

Applicants that are not public or proprietary institutions must furnish evidence of tax-exempt status under the Internal Revenue Code of 1986. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A child or adult care institution submits a written application to the State agency for participation in CACFP.

Award Procedure:

Each State agency enters into a written agreement with FNS for the administration of the Program. An approved child or adult care institution signs a permanent written agreement with the State agency.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The State agency must make a decision within 30 calendar days of receiving a complete application from the institution.

Appeals:

The administering agency must provide a hearing procedure for local institution grievances.

Renewals:

The agreement is permanent and may be amended as necessary to ensure compliance with all Federal requirements.

Formula and Matching Requirements:

Statutory Formula: Section 17(b) of the Richard B. Russell National School Lunch Act, 42 U.S.C. 1766, describes the funding formula for cash assistance. Program funds are provided to the States through letters of credit. The States, in turn, use the funds to reimburse institutions for costs of food service operations and to support State administrative expenses. Appropriate rates of reimbursement, multiplied by the number of meals served to eligible children or adult participants, represent the basic Program payment that an institution receives for each meal served. The assigned rates of reimbursement are adjusted annually on July 1. For child care centers, adult day care centers, emergency shelters, and at-risk afterschool care programs, the annual adjustment reflects changes in the Food Away from Home series of the Consumer Price Index for All Urban Consumers. For day care homes, the adjustment reflects changes in the Food at Home series of the Consumer Price Index for All Urban Consumers. Donated foods or cash-in-lieu of donated foods are also made available. Program payments to child care or adult day care centers depend on the number and types of meals served to enrolled participants, multiplied by the appropriate rate of reimbursement. Rates for meals served to enrolled children and adult participants in day care centers are determined by their eligibility for free, reduced-price, or paid meals using USDA Income Eligibility Guidelines. All resident children age 18 and younger in eligible emergency shelters receive free meals without application. At-risk afterschool care programs, which must be located in low-income areas, are reimbursed at the free rate for all meals and snacks served to children through age 18. Sponsoring organizations of day care homes are reimbursed for administrative expenses at a national rate based on the number of homes they operate. The level of reimbursement for meals served to enrolled children in day care homes is determined by economic need based

on either the location of the day care home, the income of the day care provider, or the income of an individual child's household. Meals served in day care homes to the provider's own children are reimbursable only if those children are determined eligible for free and reduced-price meals, and at least one other nonresident child is participating in the meal service. The reimbursement for food service is passed on by the sponsoring organization to the day care facility. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for the period covered by the agreement. Method of awarding/releasing assistance: by letter of credit.

Reports:

Institutions file monthly reports on Program operations to claim reimbursement for meals served. They must submit final meal claims no later than 60 days after the claiming month. States must then submit final Program reports to FNS no later than 90 days after the claiming month. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits may be conducted less frequently under conditions specified in OMB Circular No. A-133. For-profit institutions are subject to audit by their administering State agencies.

Records:

Institutions must maintain full and accurate records of Program operations for a period of 3 years after the end of the fiscal year to which they pertain. However, where there are unresolved audit findings, records must be retained until there is satisfactory resolution of all audit issues.

Account Identification:

12-3539-0-1-605.

Obligations:

(Formula Grants) FY 16 \$3,451,559,000; FY 17 est \$3,658,373,000; and FY 18 est \$3,919,384,000 - Audit funds, not included in the above totals, have been made available to each State for the expense of conducting audits and reviews under 7 CFR 226.8.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: A total of 2.08 billion meals were served to approximately 4.3 million children and 500,000 adult participants. A child care center cared for and fed approximately 54 children on an average day and received \$37,086 a year in meal reimbursement through CACFP. A family day care home cared for and fed approximately 7 children on an average day and received slightly more than \$7,046 a year in meal reimbursement. The number of at-risk afterschool care centers in CACFP rose 15 percent from FY 2015, with an average of 70 children daily. Fiscal Year 2017: FNS anticipates approximately 2.20 billion meals to be served to children and adult participants in child care centers, family day care homes, and adult care centers. New standards will be fully implemented in FY 2017, which will further improve the nutritional quality of the meals served. Fiscal Year 2018: The current estimate projects 2.25 billion meals to be served in child care centers, family day care homes, and adult care centers, which would represent a 2.4 percent increase above the FY 2017 estimate. The change is mainly the result of an anticipated 3.4 percent increase in free meals provided in centers, while meals served in day care homes is projected to decline.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 7 CFR Part 226.

Regional or Local Office:

See Regional Agency Offices. See the FNS Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive Room 628, Alexandria, Virginia 22302. Phone: 703-305-2590

Website Address:

<http://fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program; 10.556 Special Milk Program for Children; 10.559 Summer Food Service Program for Children; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.559 SUMMER FOOD SERVICE PROGRAM FOR CHILDREN (SFSP)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Richard B. Russell National School Lunch Act, Sections 9, 13, 14, and 26, as amended, 42 U.S.C. 1758, 1761, 1762a, and 1769g; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 2010, P.L. 111-80, Section 749(g)(1).

OBJECTIVES:

To assist States, through grants-in-aid and other means, to conduct nonprofit food service programs for children. The United States Department of Agriculture (USDA) operates SFSP in partnership with State agencies and local organizations to provide free meals to eligible children during the summer months and at other approved times, when school is not in session. In addition, since 2010, FNS has implemented several types of demonstration projects to test alternative methods for feeding children during the summer months, such as the Summer Electronic Benefits Transfer (EBT) for Children grants. FNS supports this demonstration through two EBT models: a debit card model that allows participants to redeem benefits at SNAP-authorized retailers and a food package model that allows participants to redeem benefits for a prescribed set of foods at WIC-authorized retailers.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

USDA's Food and Nutrition Service (FNS) makes funds available to States for disbursement to eligible service institutions (sponsors) which provide free meals to children in areas where at least 50 percent of the children meet the income eligibility criteria for free and reduced price meals. Meals may be served to children 18 and younger, and to individuals over 18 who participate in State-approved school programs for persons with disabilities. SFSP generally operates during the months of May through September at site locations where regularly scheduled food services are provided for children. Sites may also participate in SFSP from September through May if an area school is closed because of an emergency situation. Sponsors operating food programs for children on school vacation under a continuous year-round calendar may apply for participation in other months. Reimbursement may be paid for one meal and one snack or two meals to each child each day. Camps and sites primarily serving children of migrant workers may be approved to serve up to three reimbursable meals to each child each day. Meals must meet USDA standards to be eligible for reimbursement. Funds are also paid to participating State agencies for administrative expenses related to program staffing, operation, and oversight.

Summer demonstration projects either address funding limitations that restrict participation or provide an alternate approach to summer meals needed by children unable to access traditional congregate meal service sites. Assistance must be used for specific activities authorized by Child Nutrition legislation.

There may be restrictions as required by the legislation. Only States that formally apply and are selected by FNS are eligible to implement this demonstration to provide benefits to free and reduced-price eligible children whose families consent to participate.

Applicant Eligibility:

The State or U.S. Territory agency applies for and signs an agreement to receive Federal funds for disbursement. The State agency enters into an agreement with each sponsor that has been approved for participation. The agreement is permanent and may be amended as necessary to ensure compliance with all Federal requirements. Sponsors must agree to operate a nonprofit food service that is available to all eligible children regardless of race, sex, color, national origin, age, or disability.

Beneficiary Eligibility:

A service institution that conducts a regularly scheduled program for children from areas in which poor economic conditions exist is eligible to participate as a sponsor in this program. Sponsors include public or private nonprofit school food authorities; public or private nonprofit colleges or universities operating the National Youth Sports Program during the months of May to September; units of local, municipal, county, or State governments; and other faith or community-based private nonprofit organizations. Public or private nonprofit residential or nonresidential summer camps may also participate. However, at camps, reimbursement will only be paid for meals served to enrolled children who are individually determined to be eligible for free and reduced price school meals under USDA Income Eligibility Guidelines.

Credentials/Documentation:

Applicants that are not public institutions must furnish evidence of tax-exempt status under section 501(a) of the Internal Revenue Code of 1986. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An applicant submits a written application to the State agency for participation in SFSP as a sponsor. FNS selects States for the Summer EBT demonstration through a formal grants process.

Award Procedure:

Each State agency enters into a written agreement with FNS for the administration of the Program. An approved sponsor enters into a permanent written operating agreement with the State agency. Awards for the Summer EBT demonstration are governed by amendments to State agency plans of operation or Federal/State agreements.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The State agency must make a decision within 30 calendar days of receiving a complete and correct sponsor application. If an incorrect application is received, the State agency must notify the applicant within 15 days and provide technical assistance to complete the application.

Appeals:

The State agency must have a hearing procedure for local institution grievances.

Renewals:

The agreement is permanent and may be amended as necessary to ensure compliance with all Federal requirements.

Formula and Matching Requirements:

Statutory Formula: Sections 13(b) and (k) of the Richard B. Russell National School Lunch Act, 42 U.S.C. 1761, describe the funding formulas for cash

assistance. Cash reimbursement to States under this program is the product obtained by multiplying the number of meals served by maximum rates of reimbursement established by USDA. State agencies use the cash assistance to reimburse sponsors for eligible meals served to eligible children. Since all meals are served free, all meals of the same type are funded at the same rate. Rates are adjusted annually on January 1, to reflect changes in the "Food Away from Home" series of the Consumer Price Index for All Urban Consumers. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for the period covered by the agreement. Summer EBT funds may be awarded to continue projects in summer 2017. Administrative funds may be awarded as two-year grants to support the demonstrations through the 2018 project year. Method of awarding/releasing assistance: by letter of credit.

Reports:

Sponsors file monthly reports on program operations to claim reimbursement for meals served. States must then submit final program reports to FNS no later than 90 days after the claiming month. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits may be conducted less frequently under conditions specified in OMB Circular No. A-133.

Records:

Sponsors must maintain full and accurate records of Program operations for a period of 3 years after the end of the fiscal year to which they pertain. However, where there are unresolved audit findings, records must be retained until there is satisfactory resolution of all audit issues.

Account Identification:

12-3539-0-1-605.

Obligations:

(Formula Grants) FY 16 \$539,938,000; FY 17 est \$589,701,000; and FY 18 est \$639,789,000 - Summer Food Service Program. (Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Summer Food Service Program Non-Congregate Demonstration. (Project Grants (Discretionary)) FY 16 \$25,218,000; FY 17 est \$22,957,000; and FY 18 est \$22,957,000 - Summer EBT Demonstrations.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FNS focused on decreasing childhood hunger in the summer months through targeted assistance at the State and local level and efforts to improve access in rural and tribal communities. During FY 2016, 48,618 meal service sites provided 154.5 million meals to children. The number of meals served declined 5.5 percent from FY 2015. Compared to FY 1990, meals have increased 70.2 percent from 91.2 million. Approximately 57.2 percent of the meals served were lunches, 28.2 percent were breakfasts, and the remaining 14.7 percent were suppers or snacks. FNS awarded Summer EBT funding to 2015 grantees to continue and expand their efforts to reach more children in rural, tribal, and other areas of significant need. Grantees were approved to target benefits to over 250,000 children, nearly 90 percent more than were served in 2015. Fiscal Year 2017: Funding needed for SFSP will increase to support 188.1 million meals. In an effort to institutionalize successful strategies, FNS has begun to shift from targeting assistance in focus States to promoting sustainable growth nationwide. In summer 2017, Summer EBT grantees have been approved to spend up to \$30.3 million to continue providing summertime

nutrition assistance to high-need children. Seven of the nine grantees are expanding their projects to serve more low-income children in rural communities in 2017 by adding communities within their State or Tribal Nation and boosting outreach to eligible children. Fiscal Year 2018: The current estimate projects a 2 percent increase in SFSP cost that would support 199.4 million meals, an increase of approximately 11.3 million meals (or 6.0 percent) above the estimate for FY 2017. Grants to two new applicants to operate demonstrations in 2018 will allow FNS and the States to test strategies for building Summer EBT infrastructure and engaging local communities. FNS intends to again conduct a competitive process that would award \$31.5 million in Summer EBT grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 7 CFR Part 225.

Regional or Local Office:

See Regional Agency Offices. See the FNS Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive Room 628, Alexandria, Virginia 22302. Phone: 703-305-2590.

Website Address:

<http://fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program; 10.556 Special Milk Program for Children; 10.558 Child and Adult Care Food Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.561 STATE ADMINISTRATIVE MATCHING GRANTS FOR THE SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM

Supplemental Nutrition Assistance Program (State Administrative Match)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Food and Nutrition Act of 2008, as amended, Section 16, Public Law 95-113, 91 Stat. 958, 7 U.S.C. 2025; Public Law 99-198, Public Law 105-33, Public Law 105-185, Public Law 110-246, American Recovery and Reinvestment Act of 2009, Public Law 111-5, Healthy Hunger Free Kids Act, Public Law 111-296, American Taxpayer Relief Act, Public Law 112-240; Agricultural Act of 2014.

OBJECTIVES:

SNAP State Administrative Expenses: To provide Federal financial participation to State agencies for costs incurred to operate the Supplemental Nutrition Assistance Program (SNAP).

SNAP Employment and Training (E&T) 100 Percent Federal Funds and Reimbursement for 50 percent of allowable expenses: Funds provide grants to States to provide E&T education to assist SNAP participants in finding work. An E&T program may consist of many different types of components, including but not limited to: independent job search; job search training and support; workfare; educational programs to improve employability; work experience or training to improve employability; other employment oriented activities (e.g., job placement, supported work experience, Workforce Investment Act (WIA) services); and selfemployment training. USDA provide States with 100 percent Federal funding for E&T based on a specific formula.

USDA also reimburses States for 50 percent of certain allowable, reasonable and necessary E&T expenses that exceed their 100 percent grant. USDA also provides 50 percent reimbursement for transportation and childcare costs to ensure successful participation in E&T programs. States are not allowed to use 100 grants for participant expenses.

The Nutrition Education and Obesity Prevention Grants (SNAP-Ed) are 100 percent Federal funding for States based on a specified formula for nutrition education for low income people.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

SNAP State Administrative Expenses: To provide Federal funding for administrative costs incurred by State and local agencies to operate SNAP. Unless authorized by Federal legislation, outlays charged to other Federal grants or to Federal contracts may not be considered as State agency costs. Submission of claims for payments of administrative costs shall be in accordance with 7 CFR 277 of the SNAP Regulations.

SNAP Employment and Training: To provide Federal funding to States to help SNAP recipients find work or gain the skills, training and experience that lead to employment. To provide nutrition education to low income people.

SNAP-Ed Education: The Nutrition Education and Obesity Prevention Grants (SNAP-ED) are 100 percent Federal funding for States based on a specified formula for nutrition education for low income people. Offered by States to increase the likelihood that persons eligible for SNAP will make healthy food choices within a limited budget and choose physically active lifestyles consistent with the current Dietary Guidelines for Americans and USDA food guidance (MyPlate).

Applicant Eligibility:

SNAP SAE, E&T, and SNAP Ed: Agreements are between USDA-FNS and State cooperators. (U.S. Territories qualify as States for grant purposes.).

Beneficiary Eligibility:

SNAP SAE, E&T, and SNAP Ed: Agreements are between USDA-FNS and State cooperators. (U.S. Territories qualify as States for grant purposes.).

Credentials/Documentation:

No preapplication forms are required. A plan of operation must be submitted by the State agency prior to approval for funding. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented by 7 CFR part 3016, must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

No preapplication forms are required. A plan of operation must be submitted by the State agency prior to approval for funding. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented by 7 CFR Part 3016, must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. SNAP SAE; E&T, and SNAP Ed: State governments may apply for funding in accordance with requirements outlined in 7 CFR Parts, 271 and 277.

Award Procedure:

Food and Nutrition Service funds State Agency cooperators. No preapplication forms are required. A plan of operation must be submitted by the State agency prior to approval for funding. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented

by 7 CFR Part 3016, must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Deadlines:

Aug 15, 2012 Budgets are due to FNS August 15 each year.

Range of Approval/Disapproval Time:

30 days.

Appeals:

Not Applicable.

Renewals:

Annually, as of October 1.

Formula and Matching Requirements:

Statutory Formula: Title Food and Nutrition Act (FNA) of 2008, 2002 and 2008 Farm Bills for SNAP Employment and Training (E&T), Healthy, Hunger-Free Kids Act of 2010. SNAP SAE: Federal agency reimburses 50 percent of reasonable, necessary, and allowable State administrative expenses.

SNAP Employment and Training (E&T)

Statutory Formula: FNS allocates \$79 million to State agencies for the administrative costs of operating an E&T programs. FNS allocates an additional \$20 million in grants to States pledging to serve all at-risk Able-Bodied Adults Without Dependents (ABAWDs)

Matching Requirement: State administrative spending beyond the Federal grant is reimbursed at 50 percent. FNS also reimburses States for 50 percent of participant reimbursements, such as transportation and dependent care.

SNAP Nutrition Education

Statutory Formula: Healthy, Hunger-Free Kids Act of 2010 and Food and Nutrition Act of 2008. Federal agency provides 100 percent funding through two-year grants to States for SNAP nutrition education. This program has no matching requirements. SNAP SAE and SNAP 50 percent E&T: Federal agency reimburses 50 percent of reasonable, allowable, and necessary State administrative expenses.

SNAP 100 percent E&T and SNAP Ed: None. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Annually by fiscal year. Method of awarding/releasing assistance: by letter of credit.

Reports:

Reports are submitted as required by 7 CFR Parts 272 and 277. Reports are submitted as required by 7 CFR Parts 272 and 277. Reports are submitted as required by 7 CFR Parts 272 and 277. Reports are submitted as required by 7 CFR Parts 272 and 277. Financial Management Reviews, Management Evaluations, and Quality Control Reviews.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all records pertinent to a grant program shall be retained for a period of 3 years. Additionally, those record retention requirements outlined in 7 CFR Part 3016, apply to this program.

Account Identification:

12-3505-0-1-605.

Obligations:

(Salaries) FY 16 Not Available; FY 17 est \$4,348,604,000; and FY 18 Estimate

Not Available - SNAP State Administrative Expenses: To provide Federal financial participation to State agencies for costs incurred to operate the Supplemental Nutrition Assistance Program (SNAP). (Salaries) FY 16 Not Available; FY 17 est \$465,680,000; and FY 18 Estimate Not Available - Employment & Training (E&T) 50% Federal funding for State administrative costs and participant reimbursement for program costs, such as transportation and dependent care. (Salaries) FY 16 Not Available; FY 17 est \$414,000,000; and FY 18 Estimate Not Available - Nutrition Education & Obesity Prevention Grant Program 100% Federal funding for administrative costs (SNAP-Ed). (Salaries) FY 16 Not Available; FY 17 est \$110,000,000; and FY 18 Estimate Not Available - Employment & Training (E&T) 100% Federal funding for State administrative costs of operating E&T programs.

Range and Average of Financial Assistance:

Unavailable.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Parts 275, 276, 277 and 3016.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Jessica Shahin Supplemental Nutrition Assistance Program, 3101 Park Center Dr., Alexandria, Virginia 22302 Email: Jessica.Shahin@fns.usda.gov Phone: (703) 305-2026.

Website Address:

<http://www.fns.usda.gov/fsp/>.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.565 COMMODITY SUPPLEMENTAL FOOD PROGRAM

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Sec. 5, Pub. L. 93-86, 87 Stat. 249, as added by Sec. 1304(b)(2), Pub. L. 95-113, 91 Stat. 980 (7 U.S.C. 612c note); Sec. 1335, Pub. L. 97-98, 95 Stat. 1293 (7 U.S.C. 612c note); Sec. 209, Pub. L. 98-8, 97 Stat. 35 (7 U.S.C. 612c note); Sec. 2(8), Pub. L. 98-92, 97 Stat. 611 (7 U.S.C. 612c note); Sec. 1562, Pub. L. 99-198, 99 Stat. 1590 (7 U.S.C. 612c note); Sec. 101(k), Pub. L. 100-202; Sec. 1771(a), Pub. L. 101-624, 101 Stat. 3806 (7 U.S.C. 612c note); Sec 402(a), Pub. L. 104-127, 110 Stat. 1028 (7 U.S.C. 612c note); Sec. 4201, Pub. L. 107-171, 116 Stat. 134 (7 U.S.C. 7901 note); Sec. 4221, Pub. L. 110-246, 122 Stat. 1886 (7 U.S.C. 612c note); Sec. 4221, Pub. L. 113-79, 7 U.S.C. 612c note).

OBJECTIVES:

To improve the health of low-income elderly persons at least 60 years of age by supplementing their diets with nutritious USDA Foods, which are distributed through public and non-profit private local agencies such as food banks and community action organizations. Children who were certified and receiving CSFP benefits as of February 6, 2014, can continue to receive assistance until they are no longer eligible under the program rules in effect on February 6, 2014.

TYPES OF ASSISTANCE:

FORMULA GRANTS; SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

USDA Foods and administrative grants are made to State agencies and Indian Tribal Organizations (ITOs) recognized by the Department of the Interior or the appropriate area office of the Indian Health Service of the Department of Health and Human Services. These agencies distribute USDA Foods and funds to participating local public or private nonprofit agencies. Federal funding for administrative costs may only be used in making USDA Foods and nutrition education available to eligible beneficiaries. USDA Foods can only be made available to eligible beneficiaries and cannot be sold, exchanged, or otherwise disposed of without prior specific approval by the Department.

Applicant Eligibility:

Agreements are made between USDA and the State agency or an ITO recognized by the Department of the Interior or the appropriate area office of the Indian Health Service of the Department of Health and Human Services.

Beneficiary Eligibility:

To be certified as eligible to receive USDA Foods through the program, individuals must be at least 60 years of age. As required by the Agricultural Act of 2014 (P.L. 113-79), women, infants, and children who apply to participate in CSFP on February 7, 2014, or later cannot be certified to participate in the program. Such individuals may be eligible for the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC), the Supplemental Nutrition Assistance Program (SNAP), and other nutrition assistance programs.

States also establish income guidelines to determine program eligibility for all participants. For elderly persons, income guidelines must be set at or below 130 percent of Federal poverty income guidelines. For women, infants, and children who were certified and receiving benefits as of February 6, 2014, and whose enrollment has continued without interruption, separate income and adjunctive income eligibility standards continue to apply, based on program regulations.

States may require that participants be at nutritional risk, as determined by a physician or local agency staff. States may also require that an individual reside within the service area of the local agency at the time of application for program benefits. However, States may not require that the individual reside within the area for any fixed period of time.

Credentials/Documentation:

Participants are provided USDA Foods by local agency personnel or their designees. Costs are determined in accordance with 2 CFR 200, Subpart E Cost Principles. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The State agency or ITO must require each agency that desires approval as a local agency to submit an application that contains sufficient information to enable the State agency to make a determination of eligibility for that agency, and which meets the requirements of CSFP regulations, at 7 CFR 247.7. This section requires local agencies to have tax-exempt status, or to have applied for such status. Individual participants may apply for program benefits at an approved local agency.

Award Procedure:

USDA Foods and administrative funds are awarded by the Department to State agencies in accordance with the priorities and funding formulas set forth in program regulations and legislation. State agencies, in turn, distribute USDA Foods and administrative funds to the local level.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

A determination will be made within 30 days of submission of a completed plan.

Appeals:

Local agency appeals: The State agency provides a hearing appeal procedure for a local agency adversely affected by a State agency action, if the action would adversely affect participation.

Individual participant appeals: The State agency provides a hearing appeal procedure for any individual who receives an adverse action which may include the denial or discontinuance of program benefits, disqualification from the program, or a claim to repay the value of USDA Foods received as the result of fraud.

Renewals:

None.

Formula and Matching Requirements:

Statutory Formula: Administrative Funds: Section 5 of the Agriculture and Consumer Protection Act of 1973, as amended, requires USDA to provide State agencies with a grant per assigned caseload slot to pay for administrative program costs.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

USDA Foods are made available on an ongoing basis. Administrative funds are provided to States annually, each fiscal year. Method of awarding/releasing assistance: lump sum.

Reports:

FNS-191 Racial/Ethnic Group Participation. Local agencies must submit a report of racial/ethnic participation each year. Cash reports are not applicable. FNS-153, Monthly Report of the Commodity Supplemental Food Program and Quarterly Administrative Financial Status Report. The State agency must submit the FNS153 on a monthly basis. In the report, State agencies must report the number of program participants by population category, the receipt and distribution of USDA Foods, including inventory and other data. State agencies must also report, on a quarterly basis, information on administrative funds expenditures, as well as other data. SF-425 - Federal Financial Report. State agencies must submit the SF-425 to report the financial status of the program at the close of the fiscal year. This report must be submitted within 90 days after the end of the fiscal year. State agencies must monitor local agency performance to ensure local agencies are meeting all regulatory requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits may be conducted less frequently under conditions specified in 2 CFR 200, Subpart F Audit Requirements.

Records:

Each State agency must maintain accurate and complete records of all receipts and dispositions as detailed in 7 CFR 247.29(a). Records must be retained for 3 FYs from the end of the FY to which they pertain, or if they are related to unsolved claims, actions, audits or investigations until those activities have been resolved.

Account Identification:

12-3507-0-1-605.

Obligations:

(Salaries) FY 16 \$45,854,000; FY 17 est \$50,739,000; and FY 18 est \$52,777,000 - These funds are provided to State agencies for administrative expenses. States receive an administrative grant per assigned caseload slot. (Formula Grants) FY 16 \$173,152,000; FY 17 est \$233,114,000; and FY 18 est \$185,543,000 - These funds are used for the purchase of USDA Foods to be used in CSFP.

Range and Average of Financial Assistance:

On average, \$994,884 in appropriated administrative funding was allocated to each State for FY17. Funding ranged from \$6,285 to \$6,987,122 per State. For FY17, States received \$74.82 in administrative funding per assigned caseload slot.

TAFS Codes:

12-3507.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: For FY 2016, program participation averaged 585,293 individuals monthly, including 584,929 elderly, and 365 children. States received administrative funds totaling \$45,854,000. Fiscal Year 2017: States have an assigned caseload of 697,865 in calendar year 2017, which includes 5,000 caseload slots for one new State, and received administrative funds totaling \$50,739,000 in FY 2017. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 247 is available without charge from the Department.

Regional or Local Office:

See Regional Agency Offices. See Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Erica Antonson 3101 Park Center Drive, Alexandria, Virginia 22302 Email: Erica.Antonson@fns.usda.gov Phone: 7033052680

Website Address:

<http://www.fns.usda.gov/csfp/commodity-supplemental-food-program-csfp>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.556 Special Milk Program for Children; 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children; 10.558 Child and Adult Care Food Program; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The national average cost of a CSFP food package per person per month was \$21.09 for children, and \$18.28 for the elderly. There were 47 States, two Indian Tribal Organizations, and the District of Columbia participating in the program. Fiscal Year 2017: One new State with an approved State Plan was added to the program. In FY 17, 48 States, two Indian Tribal Organizations, and the District of Columbia participated in the program. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not Available.

10.567 FOOD DISTRIBUTION PROGRAM ON INDIAN RESERVATIONS

(FDPIR)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Food and Nutrition Act of 2008 (codified in the Agricultural Act of 2014), Public Law 110-246, 7 U.S.C 2011-2036; Agriculture and Consumer Protection Act of 1973, Public Law 93-86.

OBJECTIVES:

The Food Distribution Program on Indian Reservations (FDPIR) is a nutrition assistance program that may be operated at the request of a Tribe or Indian Tribal Organization (ITO) on an Indian reservation, approved areas near a reservation, or approved service areas in Oklahoma. Income-eligible households receive a supplemental monthly food package and nutrition education. Households may not participate in FDPIR and the Supplemental Nutrition Assistance Program (SNAP) simultaneously in the same month. In FY 2016, 102 ITOs and 3 State agencies (SAs) administered the program on approximately 276 Indian reservations, pueblos, rancherias, and Alaska Native Villages. Nutrition assistance was provided to an average of 93.0 thousand persons per month. All participating ITOs and SAs receive administrative funding for costs incurred in the operation of the program; a portion of these administrative funds can be used for nutrition education activities. Additionally,

Food Distribution Program Nutrition Education (FDPNE) grants are awarded competitively to participating FDPIR ITOs/SAs for culturally relevant nutrition education activities that promote healthy choices through the use of USDA Foods and physical activity among FDPIR participants. CFDA 10.594 FDPIR Nutrition Education Grants.

TYPES OF ASSISTANCE:

PROJECT GRANTS; SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

USDA pays for and provides USDA Foods to authorized ITOs and SAs for distribution to qualifying households. FNS makes available to the ITOs and SAs a minimum of 75% of the administrative funds necessary to operate the program and to conduct nutrition education. FDPNE grants can be used for nutrition education services to FDPIR participants and FDPIR-eligible participants. The ITOs and SAs must follow national policies in certifying eligible households and issuing USDA Foods, and must comply with program and financial reporting requirements. USDA Foods may not be sold, exchanged, or otherwise disposed of (authorized distribution excepted) without prior, specific approval from USDA. The Federal funds provided must be used to meet allowable expenses incurred in operating FDPIR.

Applicant Eligibility:

The administration of FDPIR is limited to ITOs or to SAs that assume administration on behalf of/at the request of a Tribe(s).

Beneficiary Eligibility:

FDPIR eligibility is limited to income-eligible households residing on participating reservations or income-eligible Indian Tribal Households (see definition at 7 CFR 253.2) residing in approved areas near a reservation or in approved service areas in Oklahoma. The ITOs/SAs are responsible for certifying households based on national eligibility criteria. The income standards vary by household size and are based on 100% of the Federal Poverty Guidelines adjusted by the applicable SNAP standard deduction.

Credentials/Documentation:

Applicant ITO/SA administrators must submit Form SF-424 (Application for Federal Assistance) and a Plan of Operation. ITOs and SAs must comply with federal financial management policies and regulations. Applicant households must submit a completed application form and provide documentation in accordance with federal regulations at 7 CFR 253.6. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. ITOs and SAs that want to administer FDPIR must submit Form SF-424 (Application for Federal Assistance) to the appropriate FNS Regional Office, as well as a Plan of Operation. The standard application forms as furnished by the Federal agency as implemented by 2 CFR Part 200 must be used for this program.

Award Procedure:

Administrative funding is provided annually by FNS to ITOs and SAs for use in administering the program. Program benefits for eligible households are provided in the form of a monthly food package, selected by the household in accordance with Federal guide rates that are based on household size. ITOs and SAs order from a list of over 100 available foods. The foods are delivered to the ITOs/SAs on a predetermined schedule set by the ITO/SDA and stored locally.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

ITOs/SAs who wish to begin administering the program can submit an application at any time. FNS must determine within 30 days of the receipt of a completed application if an ITO/SA is capable of administering the program. ITOs and SAs must make foods available to eligible households within 7 days of receipt of the completed application form (1 day for households in need of expedited services).

Appeals:

Not Applicable.

Renewals:

Approval to administer the program continues unless a withdrawal is requested or required because of program irregularities. Administrative funding is provided on an annual basis; a new Form SF-424 must be submitted each year. Households are assigned certification periods of varying lengths based on household circumstances. Household certification periods may not exceed one year, except elderly or disabled households may be certified for up to 24 months if household circumstances are stable.

Formula and Matching Requirements:

Statutory Formula: Title 7, Part 253.11.

Matching Requirements: Percent: 25%. The 25% match requirement may be waived or lowered, if compelling justification is provided by the ITO/SA. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Administrative funding for ITOs/SAs expires at the end of each fiscal year unless the appropriations bill for the fiscal year allows otherwise. Food donations for household distribution are continuous. Method of awarding/releasing assistance: by letter of credit.

Reports:

Form FNS-152, Monthly Distribution of Donated Foods to Family Units, captures, on a monthly basis, the number of households certified and number of households/individuals participating. It also captures food inventory (i.e., receipts, distributions, and remaining inventory at end of month). Form FNS-101, Participation in Food Program-by Race, captures racial ethnic data for the month of July each year. No cash reports are required. No progress reports are required. Form SF-425, Federal Financial Report, captures, on a quarterly basis, Federal funding authorized, obligated, and obligated but not liquidated. Form SF-425 also captures non-federal funds used for the program. ITOs and SAs are required to conduct annual reviews of program operations.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Each ITO and SA must maintain accurate and complete program records and reports, including household case files, for 3 years following the close of the fiscal year or until any outstanding audit, claim or litigation is settled.

Account Identification:

12-3505-0-1-605.

Obligations:

(Salaries) FY 16 \$41,527,000; FY 17 est \$47,690,000; and FY 18 est \$48,024,000 - Administrative Expenses. (Project Grants) FY 16 \$103,664,000; FY 17 est \$103,310,000; and FY 18 est \$104,976,000 - USDA Foods in-lieu of SNAP.

Range and Average of Financial Assistance:

In FY 2016, the monthly per person food package was approximately \$62.02. Federal administrative funding to the ITOs and SAs varies based on number of persons served in each program and the unique operational needs of each program.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, 102 ITOs and 3 SAs administered the program on 276 Indian reservations, pueblos, rancherias, and Alaska Native Villages. Approximately 78.88 million pounds of food was purchased for FDIPIR, 93.0 thousand people were served on an average monthly basis, and 14 ITOs received FDPNE grants. Fiscal Year 2017: In FY 2017, an estimated 102 ITOs and 3 SAs administer the program on 276 Indian reservations, pueblos, rancherias, and Alaska Native Villages. No Current Data Available for FDPNE grant funded nutrition education projects. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 253; 7 CFR Part 254; 7 CFR Part 250; FNS Handbook 501

Regional or Local Office:

See Regional Agency Offices. See Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog. The following Food and Nutrition Service Regional Offices have FDIPIR programs within their regional area: Mountain Plains, Midwest, Southwest, Southeast, Northeast, and Western.

Headquarters Office:

Erica Antonson Food and Nutrition Service
Food Distribution Division
3101 Park Center Dr., 5th Floor
, Alexandria, Virginia 22302 Email: Erica.Antonson@fns.usda.gov Phone: 703-305-2680

Website Address:

<http://www.fns.usda.gov/fdpi/food-distribution-program-indian-reservations-fdpi>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.594 Food Distribution Program on Indian Reservations Nutrition Education Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Contact the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog for participating ITOs/SAs. Fiscal Year 2017: Contact the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog for participating ITOs/SAs. Fiscal Year 2018: Contact the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog for participating ITOs/SAs.

CRITERIA FOR SELECTING PROPOSALS:

FDPIR eligibility is limited to income-eligible households residing on participating reservations or income-eligible Indian Tribal Households (see definition at 7 CFR 253.2) residing in approved areas near a reservation or in approved service areas in Oklahoma. The ITOs/SAs are responsible for certifying households based on national eligibility criteria. The income standards vary by household size and are based on 100% of the Federal Poverty Guidelines adjusted by the applicable SNAP standard deduction. Households may not participate in FDPIR and the Supplemental Nutrition Assistance Program (SNAP) simultaneously in the same month.

10.568 EMERGENCY FOOD ASSISTANCE PROGRAM (ADMINISTRATIVE COSTS)

TEFAP

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Emergency Food Assistance Act of 1983, Sections 204 and 209, Public Law 98-8, 97 Stat. 35, 7 U.S.C 7508; Federal Supplemental Compensation Act of 1982 Amendments, Section 2, as amended, Public Law 98-92, 97 Stat. 608; Food Security Act of 1985, as amended, Section 1569, Public Law 99-198, 99 Stat. 1354; Public Law 100-77, 101 Stat. 536; Public Law 100-435, 102 Stat. 1647; Public Law 101-624, 104 Stat. 3359; Public Law 104-193, 110 Stat. 2105; Public Law 104-127, 110 Stat. 1029; Public Law 107-171, 116 Stat. 330; Public Law 110-246, 122 Stat. 1882.

OBJECTIVES:

To help supplement the diets of low-income persons by making funds available to States for storage and distribution costs incurred by State agencies and local

organizations, such as soup kitchens, food banks, and food pantries, including faith-based organizations, in providing food assistance to needy persons.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Administrative funds are provided to State agencies to cover administrative costs incurred at the State and local level in the operation of the program. Funds may only be used for approved administrative expenses, and the State agency is required to pass through at least 40 percent of the funds to emergency feeding organizations or expend such funds on their behalf. In addition, the States must match, either in cash or in-kind, the amount of administrative funds not passed through to emergency feeding organizations.

States may choose sites that distribute to low-income households and/or congregate sites that provide prepared meals to the needy. Allowable costs include nutrition education, warehousing, food delivery, participant certification, and other administrative costs.

Applicant Eligibility:

State agencies that are designated as distributing agencies by the Governor or other appropriate State executive authority may receive these administrative funds to support the distribution of USDA Foods to low-income persons.

Beneficiary Eligibility:

Public or private non-profit organizations, such as food banks, food pantries, and soup kitchens, which provide food assistance to low-income persons.

Credentials/Documentation:

State agencies and local organizations are required to document their use of the administrative funds, and only those administrative costs permitted under 2 CFR Part 200 for State and local governments will be allowed. Local agencies may be required to document their non-profit status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Administrative funds are allocated among the States on the basis of a legislatively-mandated formula that takes into account each State's relative share of the National number of persons with income below the poverty level (60 percent) and of unemployed persons (40 percent). At a minimum, States must make at least 40 percent of the administrative grant available to emergency feeding organizations, or expend such funds on their behalf.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Federal/State agreements are ongoing and amended as necessary. Local organizations receiving administrative funds must have an agreement with the State agency or with another local organization participating in the program. Such agreements are ongoing and amended as necessary.

Formula and Matching Requirements:

Statutory Formula: Title Agriculture, Chapter 7, Part 251.3.

Matching Requirements: States are required to pass through to emergency feeding organizations at least 40 percent of the administrative funds allocated to the State. States are required to match, either as cash or in-kind contribution, 100 percent of the administrative funds not passed through to emergency feeding organizations.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are provided through the electronic transfer system as they are needed for actual expenditures on a fiscal year basis. Any funds which are made available through the initial allocation or a subsequent reallocation that are not used are turned back to the Federal government, and reallocated according to the legislatively mandated formula. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. Quarterly and annual financial reports are made on FNS-667. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Normal records accounting for receipts and dispersals; retention required for 3 years following the close of the fiscal year or until any outstanding audit, claim, or litigation is settled.

Account Identification:

12-3507-0-1-605.

Obligations:

(Formula Grants) FY 16 \$77,930,804; FY 17 est \$59,401,000; and FY 18 est \$54,401,000 - FY 2015 administrative funds include \$49,401,000 in appropriated funds and an additional \$24,566,173 after conversions and recoveries.

FY 2016 administrative funds include \$54,401,000 in appropriated funds and an additional \$23,529,804 after conversions and recoveries.

Range and Average of Financial Assistance:

In Fiscal Year 2016, the range for assistance to State agencies was from \$26,085 to \$11,460,005, after conversions and recoveries; the average amount of assistance was \$1,416,924.

TAFS Codes:

12-3507.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Provided 460,073,845 pounds of USDA Foods purchased with appropriated funds for TEFAP to State and local agencies for distribution to needy persons for use at home or for use at sites which serve prepared meals.

Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 251; refer to 7 CFR Part 250 for applicable provisions on USDA Foods handling.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Erica Antonson 3101 Park Center Drive, Alexandria, Virginia 22302 Email: Erica.Antonson@fns.usda.gov Phone: 7033052680

Website Address:

<http://www.fns.usda.gov/tefap/emergency-food-assistance-program-tefap>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.561 State

Administrative Matching Grants for the Supplemental Nutrition Assistance Program; 10.569 Emergency Food Assistance Program (Food Commodities)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not available.

10.569 EMERGENCY FOOD ASSISTANCE PROGRAM (FOOD COMMODITIES)

TEFAP, USDA Foods, Commodities

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Emergency Food Assistance Act of 1983, Public Law 98-8, 97 Stat. 35, 7 U.S.C 7501; Hunger Prevention Act of 1988, Public Law 100-435, 102 Stat. 1647; Public Law 101-624, 104 Stat. 3359; Public Law 104-193, 110 Stat. 2105; Public Law 104-127, 110 Stat. 1029; Public Law 107-171, 116 Stat. 330; Public Law 110-246, 122 Stat. 1882; American Recovery Act and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To help supplement the diets of low-income persons by making USDA Foods available to the State agencies that are responsible for the distribution of USDA Foods within the States.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

USDA Foods can only be used to provide food assistance to needy persons. USDA Foods may be provided for household consumption or for congregate feeding. The State agency must give priority to emergency feeding organizations such as soup kitchens, food banks, and food pantries, including faith-based organizations.

Applicant Eligibility:

State agencies that are designated as distributing agencies by the Governor or other appropriate State executive authority may receive and distribute USDA Foods. States can distribute these foods to eligible recipient agencies, such as food banks, food pantries, soup kitchens, and other eligible agencies, including faith-based organizations.

Beneficiary Eligibility:

Low-income and needy individuals, including persons that are homeless, unemployed, underemployed, or receiving public assistance. The State agency must establish income-based eligibility criteria to ensure USDA Foods are provided to the needy. Persons interested in receiving TEFAP should contact their State agency (<http://www.fns.usda.gov/fdd/contacts/SdaContacts.htm>) for more information on how to access the program.

Credentials/Documentation:

Low-income individuals receiving USDA Foods for home consumption must establish eligibility to participate in the program on the basis of State eligibility standards. All individuals seeking food assistance at sites which serve prepared meals are presumed to be eligible to receive meals. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All States are eligible to participate; the State does not need to complete an application.

Award Procedure:

USDA Foods are allocated among the States on the basis of a legislatively-mandated formula that takes into account each State's relative share of the national number of persons with income below the poverty level (60 percent) and of unemployed persons (40 percent).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Federal/State agreements are ongoing and amended as necessary. Individuals receiving food for home consumption are recertified periodically. Persons receiving USDA Foods in the form of meals from sites which serve prepared meals are presumed to be eligible.

Formula and Matching Requirements:

Statutory Formula: Title Agriculture, Chapter 7, Part 251. USDA Foods are allocated among the States based on a legislatively-mandated formula. The allocation formula is based in part (60 percent) on the number of people whose income is below the poverty level and in part (40 percent) on the number of unemployed persons.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

USDA Foods are made available on an ongoing basis. Method of awarding/releasing assistance: by letter of credit.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Normal records accounting for receipts and dispersals; retention required for 3 years following the close of the fiscal year or until any outstanding audit, claim, or litigation is settled.

Account Identification:

12-3505-0-1-605.

Obligations:

(Formula Grants) FY 16 \$292,068,571; FY 17 est \$316,000,000; and FY 18 est \$289,000,000 - In FY 2015 and 2016, appropriations legislation provided State agencies with the opportunity to convert up to 10% of their TEFAP food funds to administrative funds. In FY 2015, States elected to convert \$24,349,694 to administrative funds. In FY 2016, States elected to convert \$23,374,343 to administrative funds.

Range and Average of Financial Assistance:

In Fiscal Year 2016, the range of awards was from \$148,403 to \$37,275,509, after conversions; the average award was \$5,310,338.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Provided 460,073,845 pounds of USDA Foods purchased with appropriated funds for TEFAP to State and local agencies for distribution to needy persons for use at home or for use at sites which serve prepared meals. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 251; refer to 7 CFR Part 250 for applicable provisions on USDA

Foods handling.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Erica Antonson 3101 Park Center Drive, Alexandria, Virginia 22302 Email: Erica.Antonson@fns.usda.gov Phone: 7033052680

Website Address:

<http://www.fns.usda.gov/tefap/emergency-food-assistance-program-tefap>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.568 Emergency Food Assistance Program (Administrative Costs)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.572 WIC FARMERS' MARKET NUTRITION PROGRAM (FMNP)

WIC Farmers' Market Nutrition Program (FMNP)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Healthy, Hunger-Free Kids Act of 2010, Pub. L. No. 111-296, 424,124 Stat. 3260; Child Nutrition Act of 1966, as amended, 17(m)(1), 42 U.S.C. 1786.

OBJECTIVES:

The dual purposes of the WIC Farmers Market Nutrition Program (FMNP) are: (1) To provide resources in the form of fresh, nutritious, unprepared foods (fruits and vegetables) from farmers markets to women, infants, and children who are nutritionally at risk and who are participating in the Special Supplemental Nutrition Program for Women, Infants and Children (WIC) or are on the waiting list for the WIC Program; and (2) To expand the awareness, use of and sales at farmers markets.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The FMNP is administered through a federal/State partnership in which the Food and Nutrition Service (FNS) awards cash grants to States, U.S. Territories and federally recognized Indian Tribal Organizations (ITOs) to provide low-income women, infants and children with coupons that can be exchanged for eligible foods at farmers markets, and roadside stands. As a prerequisite to receiving federal funds for the FMNP, each applying or participating State agency must submit a State Plan of Operations describing the manner in which the State agency intends to implement, operate and administer all aspects of the FMNP within its jurisdiction. Congress provides funds for the FMNP. Federal funds support 100 percent of the food costs of the program. Federal funds also support 70 percent of the administrative cost of the program. States operating the FMNP must match the federal administrative funds allocated to them for administrative costs by contributing at least 30 percent of the administrative cost of the program. A State agency's administrative costs under the FMNP may not exceed 17 percent of its total FMNP costs. ITOs may provide a lower match, but not less than 10 percent of the administrative cost of the program. The matching funds can come from a variety of sources, such as State or local funds, private funds, similar programs, or program income. Federal FMNP benefits (coupons) may be issued only to participants in the Special Supplemental Nutrition Program for Women, Infants and Children (WIC) or to persons who are on a waiting list to receive WIC benefits. These coupons can be used to buy eligible foods from farmers, farmers' markets or roadside stands that have been approved by the State agency to accept FMNP coupons. The federal FMNP benefit level, whether a household or individual, may not be less than \$10 and no more than \$30 per year, per recipient. However, State agencies may supplement the federal benefit level with State, local or private funds. Congress provides funds for the FMNP. Federal funds support 100 percent of the food costs of the program. Federal funds also support 70 percent of the administrative cost of the program. States operating the FMNP must match the

federal administrative funds allocated to them for administrative costs by contributing at least 30 percent of the administrative cost of the program. A State agency's administrative costs under the FMNP may not exceed 17 percent of its total FMNP costs. ITOs may provide a lower match, but not less than 10 percent of the administrative cost of the program. The matching funds can come from a variety of sources, such as State or local funds, private funds, similar programs, or program income. Federal FMNP benefits (coupons) may be issued only to participants in the Special Supplemental Nutrition Program for Women, Infants and Children (WIC) or to persons who are on a waiting list to receive WIC benefits. These coupons can be used to buy eligible foods from farmers, farmers' markets or roadside stands that have been approved by the State agency to accept FMNP coupons. The federal FMNP benefit level, whether a household or individual, may not be less than \$10 and no more than \$30 per year, per recipient. However, State agencies may supplement the federal benefit level with State, local or private funds.

Applicant Eligibility:

Each State agency desiring to administer the FMNP shall annually submit a State Plan of Operations and enter into a written agreement with FNS for administration of the Program in the jurisdiction of the State agency. New State agencies are selected based on the availability of funds, after base grants, for currently participating State agencies. Local FMNP agencies are selected by participating State agencies based on concentration of eligible WIC participants and access to farmers' markets.

Beneficiary Eligibility:

Women, infants (over 4 months old) and children that have been certified to receive WIC program benefits, or who are on a waiting list for WIC certification, are eligible to participate in the FMNP. State agencies may serve some or all of these categories.

Credentials/Documentation:

A signed Federal/State Agreement (FNS-339) is necessary before funds can be allocated to a participating FMNP State agency. Costs will be determined in accordance with FNS guidelines and instructions. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Application is made through submission of a State Plan of Operations as required by law. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The State agency is responsible for the fiscal management of, and accountability for, FMNP-related activities for farmers, farmers markets and roadside stands. Each State agency may decide whether to authorize farmers individually, farmers markets, roadside stands, or all of the above. All contracts or agreements entered into by the State agency for the management or operation of farmers, farmers markets and roadside stands shall conform with the requirements of 7 CFR part 3016, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. Only farmers, farmers markets and roadside stands authorized by the State agency may redeem FMNP coupons. Only farmers authorized by the State agency or that have a valid agreement with an authorized farmers market may redeem coupons. The State agency contacts the farmer/farmers' market to inform them of qualification. Individual participants apply for FMNP benefits at approved local WIC agencies.

Award Procedure:

Funds are awarded by the Department on the basis of funding formulas to State agencies. If the available funds are insufficient to meet the base grant levels for current FMNP grantees, a pro-rata reduction will be applied to the grant levels awarded to all participating FMNP State agencies. If additional funds become available for the FMNP, such funds would first be distributed evenly among the current grantees to make whole their base grants for that fiscal year. Grant payments are made by a letter of credit.

Deadlines:

Nov 15, 2017 By November 15 of each year, each applying or participating State agency shall submit to FNS for approval a State Plan of Operations for the following year as a prerequisite to receiving federal funds.

Range of Approval/Disapproval Time:

FNS will provide written approval or denial of a completed State Plan of Operations or amendment within 30 days. As required by law (at Section 1786(m)(6)(A) of the Child Nutrition Act of 1966, as amended), the Secretary must inform each FMNP State agency of the award of funds by February 15 of each year.

Appeals:

All decisions by the FNS are final.

Renewals:

This Program is authorized beginning October 1 of any calendar year and ending September 30 of the following calendar year.

Formula and Matching Requirements:

Statutory Formula: Statutory Formula: Statutory formula can be found at Section 17(m)(3) of the Child Nutrition Act. As a prerequisite to the receipt of Federal funds, a State agency must agree to contribute program income or State, local or private funds equal to at least 30 percent of its administrative program cost. Provided that sufficient funds are available, each current State agency will be funded at its most recent year's level. Remaining funds are divided between current States for expansion and new States, based on a 75 to 25 percent ratio, respectively.

Matching Requirements: Percent: 30%. As a prerequisite to the receipt of Federal funds, a State agency must agree to contribute program income or State, local or private funds equal to at least 30 percent of its administrative program cost. Indian Tribal Organizations may provide a negotiated match contribution that is less than 30 percent but not less than 10 percent. State contributions for similar programs may satisfy the State matching requirement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

FMNP funds are provided using Federal Reserve Bank letters of credit and end on November 30 of each year. State agencies may withdraw funds only as needed. Method of awarding/releasing assistance: by letter of credit.

Reports:

State agencies shall submit financial and FMNP performance data on a yearly basis as specified by FNS and required by section 17(m)(8) of the CNA. Such information shall include, but shall not be limited to: (1) Number and type of recipients (Federal and non-Federal). (2) Value of coupons issued. (3) Value of coupons redeemed. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Each State agency shall maintain full and complete records concerning FMNP operations. Such records shall comply with 7 CFR part 3016 and the following requirements: (1) Records shall include, but not be limited to, information pertaining to financial operations, FMNP coupon issuance and redemption, equipment purchases and inventory, nutrition education, and civil rights procedures. (2) All records shall be retained for a minimum of 3 years following the date of submission of the final expenditure report for the period to which the report pertains.

Account Identification:

12-3510-0-1-605.

Obligations:

(Salaries) FY 16 \$18,548,000; FY 17 est \$18,584,000; and FY 18 est \$0 - To be determined..

Range and Average of Financial Assistance:

FY 2016 grants ranged from \$6,337 to \$3,621,574.

TAFS Codes:

12-3510.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 1.65 Million Participants, 18,225 Farmers, 3,239 Farmers Markets, 2,433 Roadside Stands. Fiscal Year 2017: No current data available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 248, 7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018

Regional or Local Office:

See Regional Agency Offices. <https://www.fns.usda.gov/fns-regional-offices>.

Headquarters Office:

Sarah Widor, Director, Supplemental Food Programs 3101 Park Center Drive, Alexandria, Virginia 22302 Email: Sarah.Widor@fns.usda.gov Phone: (703) 305-2729 Fax: (703) 305-2196

Website Address:

<http://www.fns.usda.gov/fmnp/wic-farmers-market-nutrition-program-fmnp>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children; 10.565 Commodity Supplemental Food Program; 10.576 Senior Farmers Market Nutrition Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: 49 State agencies, U.S. Territories and federally recognized Indian Tribal Organizations. Fiscal Year 2017: 49 State agencies, U.S. Territories and federally recognized Indian Tribal Organizations. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.574 TEAM NUTRITION GRANTS

Team Nutrition Training Grants

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Team Nutrition Training Grants are authorized under Public Law 111-296, which amended Section 19 of the Child Nutrition Act of 1966.

OBJECTIVES:

Team Nutrition is an initiative of the U.S. Department of Agriculture (USDA) Food and Nutrition Service (FNS) to support their Child Nutrition Programs through training and technical assistance for foodservice, nutrition education for children and their caregivers, and school and community support for healthy eating and physical activity.

Team Nutrition Training Grants for Healthy School Meals have been identified in USDA's national Strategic Plan for Training and Technical Assistance as one of the anchor delivery systems for supporting the implementation of USDA's nutrition requirements and the Dietary Guidelines for Americans in Child Nutrition programs. Team Nutrition's goal is to improve children's lifelong eating and physical activity habits by using the principles of the Dietary Guidelines for Americans and the USDA Food Guidance System.

FY 2015 (Competitive) Team Nutrition Training Grant Objectives

In FY 2015, State agencies were able to apply for a competitive Team Nutrition Training Grant. The objectives of the FY 2015 competitive grant included:

1. Use behavioral economics in the NSLP and/or CACFP to develop environmental cues to increase children's choice of whole grains, fat-free or low-fat dairy products, fruits, vegetables, and legumes.
2. Provide training for child nutrition foodservice staff on implementing the most recent Dietary Guidelines for Americans, applying for the HealthierUS School Challenge, maximizing the use of USDA Foods, and meeting meal pattern requirements.

3. Provide schools or child care providers participating in the USDA Child Nutrition programs with technical assistance to create and maintain a healthier school or child care environment, including strategies supporting implementation and evaluation of wellness policies as specified in the Healthy Hunger Free Kids Act of 2010.

In FY 2015, State agencies could apply for up to \$350,000 in funding through the competitive grants program, and these grants had a two year period of performance.

FY 2016 (Competitive) Team Nutrition Training Grant Objectives

In FY 2016, State agencies will be able to apply for a competitive Team Nutrition Training Grant. The objectives of the FY 2016 competitive grant include:

1. Assist students participating in the National School Lunch and School Breakfast Programs with meeting the recommendations set forth in the 2015-2020 Dietary Guidelines for Americans by providing appealing and nutritious meals, nutrition education, and school environments that are conducive to healthy eating.
2. Improve the nutritional content of meals and snacks offered in child care centers and family day care homes participating in the Child and Adult Care Food Program (CACFP) to be consistent with healthy eating patterns and to assist young children with meeting the 2015-2020 Dietary Guidelines for Americans.

In FY 2016, State agencies can apply for up to \$500,000 in funding through the competitive grants program, and these grants will have a three year period of performance.

Healthier US School Challenge has its own separate CFDA number 10.543

FY 2017 Team Nutrition Training Grant Objectives

In FY 2017, State agencies will be able to apply for a competitive Team Nutrition Training Grant. The objectives of the FY 2017 competitive grant include:

1. Assist schools/districts administering NSLP and SBP programs in providing: 1) appealing and nutritious school meals, 2) nutrition education, and 3) school environments that promote healthy eating; ultimately encouraging students to follow healthy eating patterns which align with the recommendations set forth in the 2015-2020 Dietary Guidelines for Americans.
2. Improve the nutritional content of meals and snacks offered in child care centers and family day care homes participating in the CACFP to be consistent with the updated CACFP meal patterns and to assist participating children with following healthy eating patterns that align with the recommendations set forth in the 2015-2020 Dietary Guidelines for Americans.

In FY 2017, State agencies can apply for up to \$500,000 in funding through the competitive grants program, and these grants will have a three year period of performance.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Team Nutrition Training Grants provide discretionary funding to assist State agencies administering the National School Lunch and School Breakfast Programs (NSLP and SBP) and the Child and Adult Care Food Program (CACFP) in achieving the Team Nutrition goal of improving children's lifelong eating and physical activity habits using the most current Dietary Guidelines for Americans. States may award sub-grants to schools and institutions in NSLP, SBP, and CACFP, following the established oversight procedure as well as the Guidance for Interpreting Cost Principles of Sub-Grants. Activities funded through FY 2015, 2016, and 2017 Team Nutrition Training Grants programs employ or will employ the three behavior-focused strategies of Team Nutrition: Provide training and technical assistance to child nutrition professionals to enable them to prepare and serve nutritious meals that appeal to children. Increase nutrition education through multiple communication channels to help children have the knowledge, skills, and motivation to make healthy food and

physical activity choices as part of a healthy lifestyle.

Build support for healthy school and child care environments that encourage nutritious food choices and physically active lifestyles.

Applicant Eligibility:

State agencies that administer the Child Nutrition Programs (e.g., NSLP, SBP, CACFP) may apply for a Team Nutrition Training Grant. States agencies must apply individually, and only one agency per State may apply each year.

Beneficiary Eligibility:

School decision makers, school food service staff, students, parents, teachers, childcare professionals, and educators.

Credentials/Documentation:

Competitive FY 2015, 2016, and 2017 Team Nutrition Training Grants

State agencies must administer the Child Nutrition Programs (e.g., NSLP, SBP, CACFP). Applicants must prove ability to provide a competent experienced staff and other resources to assure adequate development, supervision and execution of Team Nutrition Training Grant activities. In addition, applicants are required to submit a written proposal which includes a description of the training issue or issues to be addressed, the proposed project approach, the rationale for it, specific program objectives, activities, and budget. A properly executed agreement between USDA Food and Nutrition Service and the State agency is necessary before funds can be allocated. 2 CFR 200, Subpart E - Cost Principles applies to this program.

FY 2016 and FY 2017 Team Nutrition Training Grants

In addition to the aforementioned credentials/documentation, in FY 2016 and FY 2017 State agencies applying for a competitive Team Nutrition Training Grant had to include a social scientist as key project staff in their proposal. A letter of support and curriculum vitae (CV)/resume has to be included in the application package submitted to grants.gov. This social scientist should have experience in evaluating public health programs and will serve as the evaluation lead for the States project. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit a complete application to USDA Food and Nutrition Service via grants.gov by the deadline specified.

FY 2015 (Competitive) Team Nutrition Training Grants

In FY 2015, State agencies were able to apply for up to \$350,000 in competitive grants. The grant period of performance was two years (2015-2017).

FY 2016 (Competitive) Team Nutrition Training Grants

In FY 2016, State agencies were able to apply for up to \$500,000 in competitive grants. The grant period of performance was three years (2016-2019).

FY 2017 Team Nutrition Training Grants

In FY 2017, State agencies are able to apply for up to \$500,000 in competitive grants. The grant period of performance is three years (2017-2020).

Award Procedure:

All applications that meet the published deadline for submission will be screened for completeness and conformity to the requirements as announced in the RFA package.

FY 2015, 2016, and 2017 (Competitive) Team Nutrition Training Grants

Competitive grants are reviewed by a technical review panel composed of USDA Food and Nutrition Service staff which convenes to determine the technical merit of each grant application, provide a numerical score for each application, and make recommendations to selecting officials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Once the application is received from the State agency, it is approximately 3 to 4 months before announcement of the Team Nutrition Training Grant awards.

Appeals:

From 30 to 60 days.

Renewals:

Not applicable for the TN Training Grants.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

This program does not have MOE requirements. This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

2015 (Competitive) Team Nutrition Training Grants

The grant period of performance is two years within Federal fiscal years. Method of awarding/releasing assistance: by letter of credit.

FY 2016 and FY 2017(Competitive) Team Nutrition Training Grants

The grant period of performance is three years within Federal fiscal years. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Quarterly financial reports are required. Quarterly progress reports are required. Quarterly SF-425 financial reports are required, submitted electronically. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

State agencies must maintain records as necessary to support the use of Team Nutrition Training Grant funds in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3539-0-1-605.

Obligations:

(Salaries) FY 16 \$6,709,581; FY 17 est \$5,600,000; and FY 18 Estimate Not Available - FY 2015 (Competitive) Team Nutrition Training Grants: \$5,643,324

FY 2016 (Competitive) Team Nutrition Training Grants: \$6,709,581

FY 2017 Team Nutrition Training Grants

In FY 2017, USDA anticipates awarding approximately \$5,500,000 in FY 2017 Team Nutrition Training Grants. However, the amount of funds available for these grants, and the specific types of grants that will be made available, has not yet been confirmed.

Range and Average of Financial Assistance:

FY 2015 (Competitive) Team Nutrition Training Grants

In FY 2015, State agencies could apply for up to \$350,000 in competitive grants, and competitive awards ranged from \$141,054 to \$349,984. Average award per State agency was \$297,017.05.

FY 2016 (Competitive) Team Nutrition Training Grants

In FY 2016, State agencies could apply for up to \$500,000 in competitive grants. Awards have been accepted by States.

FY 2017 (Competitive) Team Nutrition Training Grants

In FY 2017, State agencies could apply for up to \$500,000 in competitive grants.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FY 2016(Competitive) Team Nutrition Training Grants For FY 2016 14 state agencies received competitive Team Nutrition Training Grants on September 6, 2016. Full summaries of grant-funded projects can be found on the Team Nutrition Training Grants Web site at: <http://www.fns.usda.gov/tn/2016-training-grants>. Fiscal Year 2017: FY 2017 Team Nutrition Training Grants have not yet been awarded. Fiscal Year 2018: No information available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to 2 CFR Part 200

Regional or Local Office:

See Regional Agency Offices. See the FNS Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, Food and Nutrition Service, 3101 Park Center Drive Room 6th Floor, Alexandria, Virginia 22302 Email: Cindy.Long@fns.usda.gov Phone: (703) 305-2590.

Website Address:

<http://www.fns.usda.gov/tn/team-nutrition-training-grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: FY 2016 (Competitive) Team Nutrition Training Grants

On September 6, 2016, the FY16 Team Nutrition Training Grants were awarded to 14 State agencies. A summary of individual state activities can be found on the Team Nutrition Web site at <http://www.fns.usda.gov/tn/2016-training-grants>. The two largest competitive awards for FY 2016 were to Colorado (\$499,707) and Maryland (\$499,821).

The Colorado Department of Public Health and Environment, Child and Adult Care Food Program (Colorado CACFP) will develop and implement Colorado

CHOP: Cooking up Healthy Options with Plants, a geographically targeted farm to child care program designed to increase childrens consumption of fresh, seasonal, and local fruits and vegetables. Colorado CACFP will collaborate with local stakeholders to offer a three tiered intervention that incorporates culinary trainings, nutrition education using Team Nutrition Grow It, Try It, Like It! kit, and gardening activities. Colorado CACFP will assess the effectiveness of grant activity components by comparing the following interventions: 1) one-day group culinary training of 100 CACFP providers and 25 partners alone; 2) one-day group culinary training and garden-based nutrition education sub-grants in 25 day care center and homes; and 3) one-day group culinary training, garden-based nutrition education sub-grants, and culinary coaching in 16 child care centers and homes. Colorado CACFP will collect evaluation data using the Minnesota Department of Educations Mealtime Assessment for Child Care Centers Scorecard, the Nutrition and Physical Activity Self- Assessment for Child Care (Go NAP SACC), the Oregons Harvest for Healthy Kids Food Preference Assessment, the Fruit and Vegetable Inventory Tool, and the Washington State University Master Gardener Program Evaluation Tool.

Maryland State Department of Education (MSDE) will develop and implement local training and technical assistance for school nutrition professionals from 16 schools on school food service operations, culinary skills, and Smarter Lunchrooms strategies. MSDE will develop a nutrition education toolkit for secondary schools and train Health/PE teachers from 16 schools to use them. Students and parents will participate in school meal surveys and food taste testing activities to identify ways to improve school meal offerings. A Parent and Family Wellness Toolkit will be developed and disseminated to support local wellness policy implementation at the school level. MSDE will evaluate grant activities by measuring changes in school meal participation rates, students selection of dark green and red/orange vegetables and beans/peas at school lunch, and implementation of local school wellness policies through the Maryland Wellness Policies and Practices Project survey and the Centers for Disease Control and Preventions School Health Index. Fiscal Year 2017: The Request for Applications for the FY 2017 Team Nutrition Training Grants was posted on grants.gov and the Team Nutrition web site on March 6, 2017. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Technical Review Criteria, which are furnished to all applicants in the RFA package are used by the review panel to score and rank Team Nutrition Training Grant applications. Categories for the Team Nutrition Training Grants (both competitive and non-competitive) criteria are Project Design, Evaluation, Qualifications of Grant Personnel, and Budget.

10.575 FARM TO SCHOOL GRANT PROGRAM

USDA Farm to School Grant Program

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

The Healthy, Hunger-Free Kids Act of 2010 (HHFKA) amended Section 18 of the Richard B. Russell National School Lunch Act (NSLA) to establish a Farm to School program in order to assist eligible entities, through grants and technical assistance, in implementing farm to school programs that improve access to local foods in eligible schools. . Section 243. . Section 243.

OBJECTIVES:

The Farm to School program exists in order to assist eligible entities, through grants and technical assistance, in implementing farm to school programs that improve access to local foods in eligible schools. The program has four tracks: planning, implementation, training and support service. Planning grants are for schools or school districts just getting started on farm to school activities and are intended to help these entities organize and structure their efforts for maximum impact by embedding known best practices into early design considerations. Implementation grants are intended to help schools or school districts scale or further develop existing farm to school initiatives. Support Service grants are intended for state and local agencies, Indian tribal organizations, agricultural producers or groups of agricultural producers, and non-profit entities working with schools or school districts to further develop and provide broad reaching support services to farm to school initiatives.

Training grants are intended for state and local agencies, Indian tribal organizations, and non-profit entities to support trainings that strengthen farm to school supply chains, or trainings that provide technical assistance in the area of local procurement, food safety, culinary education, and/or integration of agriculture-based curriculum.

Examples of projects include: aggregating local food supply to more effectively meet the needs of school districts; testing products or conducting school food market feasibility analyses; developing new local food products or formulations to meet the needs of schools; improving infrastructure to accommodate new ingredients or menu items; forming statewide or regional networks or coalitions of varying kinds; and more.

TYPES OF ASSISTANCE:

Cooperative Agreements; Dissemination of Technical Information; Project Grants; Training

USES AND USE RESTRICTIONS:

Assistance in improving access to local foods in eligible schools can take the form of: (i) Training; ii) Supporting operations; (iii) Planning; (iv) Purchasing equipment; (v) Developing school gardens; (vi) Developing partnerships; and (vii) Implementing farm to school programs. No more than 10 percent of the grant funds may be used for food purchases in the grant budget. Food purchases are limited to food for educational or development purposes, such as taste tests or the development of new recipes.

Applicant Eligibility:

Assistance will be used to increase the availability of local foods in schools.

Beneficiary Eligibility:

Eligible entities, and therefore beneficiaries, include schools, Indian Tribal Organizations, non profit organizations, schools, producer and producer groups and State and local agencies.

Credentials/Documentation:

Non profit organizations will be required to submit proof of 501(c) 3 non profit status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Not applicable.

Award Procedure:

All applications that are submitted by the published deadline will be screened for completeness and conformity to the requirements as announced in the RFA package. Grants are awarded by a competitive process via a review panel composed of trained external, FNS and other Federal staff that will determine the technical merit of each grant application, provide a numerical score, and make recommendations to selecting officials.

FoodCorps grants are awarded through a cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. There is presently no other approval information.

Appeals:

From 30 to 60 days. Applicants can submit appeals directly to the Grant Officer using the contact information provided in the request for application.

Renewals:

There is no additional renewals information at this time.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. The applicant must provide at least 25 percent of the costs of the grant project as the federal share of costs for this

grant cannot exceed 75 percent of the total cost of the project.

This program does not have MOE requirements. No additional information is available at this time.

Length and Time Phasing of Assistance:

Training grants will be awarded for a one year time period, with an expected start date at/or near June 1, 2017. Planning grants will be awarded for an 18 month period, with an expected start date at/or near June 1, 2017. Implementation and support service grants will be awarded for up to a two year time period, with an expected start date at/or near June 1, 2017. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Grant recipients are responsible for managing and monitoring the progress of the grant project activities and performance. All grant types will submit progress reports on a quarterly basis throughout the grant term and a final report 90 days after the close of the project period. The award document will indicate the reporting format and schedule for submitting project performance/progress reports to FNS. Grant recipients are responsible for managing and monitoring the financial status of the grant project. All grant types will submit financial reports on a quarterly basis throughout the grant term and a final report 90 days after the close of the project period. The award document will indicate the reporting format and schedule for submitting project performance/progress reports to FNS. As a condition of receiving a grant, grant recipients shall agree to cooperate in an evaluation of the program carried out using grant funds. Upon selection of grant awardees, USDA will provide further guidance and direction regarding evaluation protocols and common indicators. Applicants should expect that evaluation protocols will include both process evaluations (qualitative and quantitative indicators of progress toward the objectives, accomplishment of activities) and outcome evaluations (to determine whether the objectives were met and what impact they had).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The single Audit process and compliance supplement.

Records:

The grantee must maintain records in accordance with the grant agreement. Such records must be retained for a period of three years after the date of submission of the final report for the fiscal year to which the records pertain.

Account Identification:

12-3539-0-1-605.

Obligations:

(Salaries) FY 16 \$4,887,067; FY 17 est \$5,000,000; and FY 18 est \$5,000,000 - This obligation represents the planning, implementation and support service grants.

FY 2013: Planning - \$1.3 million; Implementation - \$1.2 million; Support service - \$2.3 million; Food Corps - \$1.5 million

FY 2014: Planning - \$1.2 million; Implementation - \$1.4 million; Support service - \$2.5 million; Food Corps - \$3.5

FY 2015: Planning - \$7 million; Implementation - \$1.4 million; Support service - \$2.4 million

FY 2016: Planning - \$.8 million; Implementation - \$1.2 million; Support service - \$2 million; Training - \$.5 million

FY 2017: Planning - \$.5 million; Implementation - \$1.7 million; Support service - \$2.6 million; Training - \$.1 million. (Cooperative Agreements) FY 16 \$1,000,000; FY 17 est \$0; and FY 18 est \$5,000,000 - This obligation represents the Americorp (Foodcorp) Cooperative Agreement.

Range and Average of Financial Assistance:

Planning grants will range from \$35,000 - \$50,000 while Implementation and support service grants will range from \$65,000 - \$100,000. Training grants range \$15,000 - 50,000.

The Foodcorp cooperative agreement is for \$500,000 or two years (FY-2014 and FY-2015).

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FY 2016: Planning - \$.8 million; Implementation - \$1.5 million; Support service - \$2.0 million; Training - \$.5 million. Fiscal Year 2017: FY 2016: Planning - \$1 million; Implementation - \$1.2 million; Support service - \$2.2 million; Training - \$.5 million. Fiscal Year 2018: FY 2018: Planning - \$1.5 million; Implementation - \$3.0 million; Training - \$.5 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Mieka Sanderson 3101 Park Center Drive, Alexandria, Virginia 22302 Email: mieka.sanderson@fns.usda.gov Phone: 7033052163

Website Address:

<http://www.fns.usda.gov/farmtoschool/farm-school>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: 1. Support Service grants are intended for eligible entities working with schools or school districts to further develop and provide broad reaching support services to farm to school initiatives.

2. Implementation grants are intended to help schools or school districts scale or further develop existing farm to school initiatives.

3. Planning grants are for schools or school districts just getting started on farm to school activities and are intended to help these entities organize and structure their efforts for maximum impact by embedding known best practices into early design considerations.

4. Training grants are intended for eligible entities to support trainings that strengthen farm to school supply chains, or trainings that provide technical assistance in the area of local procurement, food safety, culinary education, and/or integration of agriculture-based curriculum. Fiscal Year 2017: 1. Support Service grants are intended for eligible entities working with schools or school districts to further develop and provide broad reaching support services to farm to school initiatives.

2. Implementation grants are intended to help schools or school districts scale or further develop existing farm to school initiatives.

3. Planning grants are for schools or school districts just getting started on farm to school activities and are intended to help these entities organize and structure their efforts for maximum impact by embedding known best practices into early design considerations.

4. Training grants are intended for eligible entities to support trainings that strengthen farm to school supply chains, or trainings that provide technical assistance in the area of local procurement, food safety, culinary education, and/or integration of agriculture-based curriculum. Fiscal Year 2018: FY 2018: Planning - \$1.5 million; Implementation - \$3.0 million; Training - \$.5 million.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals is detailed in the RFA and includes degree to which the project serves a school or school district with a high percentage of free and reduced price meal enrollment; need, readiness and likelihood of success; alignment with farm to school program goals; project design and management; sustainability and transferability; and appropriateness of budget plan.

10.576 SENIOR FARMERS MARKET NUTRITION PROGRAM

Senior Farmers Market Nutrition Program (SFMNP)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Farm Security and Rural Investment Act of 2002, Pub. L. No. 107-171, 4402, 116 Stat. 334
7 U.S.C. 3007.

OBJECTIVES:

The purposes of the Senior Farmers Market Nutrition Program (SFMNP) are:
(1) Provide resources in the form of fresh, nutritious, unprepared, locally grown fruits, vegetables, honey, and herbs from farmers markets, roadside stands, and community supported agriculture (CSA) programs to low-income seniors;
(2) Increase the domestic consumption of agricultural commodities by expanding or aiding in the expansion of domestic farmers markets, roadside stands, and CSAs; and (3) Develop or aid in the development of new and additional farmers markets, roadside stands, and CSAs.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The SFMNP is administered through a federal/State partnership in which the Food and Nutrition Service (FNS) awards cash grants to States, U.S. Territories and federally recognized Indian Tribal Organizations (ITOs) to provide low-income seniors with coupons that can be exchanged for eligible foods at farmers markets, roadside stands, and community supported agriculture (CSA) programs. The majority of grant funds must be used for benefits. State agencies may use up to 10 percent of their grants for program administrative costs. Coupons are issued to eligible SFMNP participants to buy eligible foods from farmers, farmers markets, roadside stands, or CSAs that have been authorized by the State agency to accept SFMNP coupons. The federal SFMNP benefit level, whether a household or individual, may not be less than \$20 or no more than \$50 per year, per recipient. However, State agencies may also supplement the federal benefit level with State, local or private funds.

Applicant Eligibility:

Each State agency desiring to administer the SFMNP shall annually submit a State Plan of Operations and enter into a written agreement with FNS for administration of the Program in the jurisdiction of the State agency. New State agencies are selected based on the availability of funds, after base grants, for currently participating State agencies. Local SFMNP agencies are selected by participating State agencies based on concentration of eligible senior participants and access to farmers' markets.

Beneficiary Eligibility:

Persons eligible for the program are low-income seniors, generally defined as individuals who are at least 60 years old and who have household incomes of not more than 185 percent of the federal poverty income guidelines (published each year by the Department of Health and Human Services). Some State agencies accept proof of participation or enrollment in another means-tested program, such as the Commodity Supplemental Food Program (CSFP) or Supplemental Nutrition Assistance Program (SNAP), for SFMNP eligibility. Individual participants apply for SFMNP benefits at authorized SFMNP local agencies.

Credentials/Documentation:

A signed Federal/State Agreement (FNS-339) is necessary before funds can be allocated to a participating SFMNP State agency. Costs will be determined in accordance with FNS guidelines and instructions. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Application is made through submission of a State Plan of Operations as required by law. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The State agency is responsible for the fiscal management of and accountability for SFMNP-related activities for farmers, farmers markets, roadside stands, and CSA programs. Each State agency may decide whether to authorize individual farmers and farmers markets separately, or to authorize only farmers markets. In addition, each State agency may decide whether to authorize roadside stands and/or CSA programs. The State agency may authorize a farmer for participation in a farmers market, a roadside stand, and/or CSA program simultaneously. All

contracts or agreements entered into by the State agency for the management or operation of farmers, farmers markets, roadside stands, and/or CSA programs shall conform to the requirements of 7 CFR 3016. Only farmers, farmers markets, and/or roadside stands authorized by the State agency may redeem SFMNP coupons.

Award Procedure:

Funds are awarded by the Department on the basis of funding formulas to State agencies. If the available funds are insufficient to meet the base grant levels for current SFMNP grantees, a pro-rata reduction will be applied to the grant levels awarded to all participating SFMNP State agencies. To the extent permitted by available funds, each State agency shall receive at least \$75,000 or the amount that the State agency received for the most recent prior fiscal year in which the State participated, if that amount is less than \$75,000. If additional funds become available for the SFMNP, such funds would first be distributed evenly among the current grantees to make whole their base grants for that fiscal year. Grant payments are made by a letter of credit.

Deadlines:

Nov 15, 2017: Set forth in the announcement. By November 15 of each year, each applying or participating State agency shall submit to FNS for approval a State Plan of Operations for the following year as a prerequisite to receiving federal funds.

Range of Approval/Disapproval Time:

FNS will provide written approval or denial of a completed State Plan of Operations or amendment within 30 days of receipt.

Appeals:

All decisions by the FNS are final.

Renewals:

This Program is authorized beginning October 1 of any calendar year and ending September 30 of the following calendar year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

SFMNP funds are provided using Federal Reserve Bank letters of credit. State agencies may withdraw funds only as needed. See the following for information on how assistance is awarded/released: All SFMNP coupons must be issued prior to September 30 and must be redeemed by November 30 of each fiscal year.

Reports:

State agencies must submit financial and SFMNP performance data on a yearly basis as specified by FNS. Such information must include, but shall not be limited to: (1) Number of participants served with Federal SFMNP funds; (2) Value of coupons issued and/or eligible foods ordered under CSA programs; (3) Value of coupons redeemed and/or eligible foods provided to participants under CSA programs; and (4) Number of authorized outlets by type; i.e., farmers, farmers markets, roadside stands, and CSA programs. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Each State agency must maintain full and complete records concerning SFMNP operations. Such records must comply with part 3016 of this title and the following requirements: (1) Records must include, but not be limited to, information pertaining to certification, financial operations, SFMNP coupon issuance and redemption, authorized outlet (farmers, farmers markets, and CSA program) agreements, authorized outlet monitoring, CSA program agreements, invoices, delivery receipts, equipment purchases and inventory,

nutrition education, fair hearings, and civil rights procedures. (2) All records must be retained for a minimum of 3 years following the date of submission of the final expenditure report for the period to which the report pertains.

Account Identification:

12-3507-0-1-605.

Obligations:

(Formula Grants) FY 16 \$20,273,000; FY 17 est \$20,734,000; and FY 18 est \$20,600,000

Range and Average of Financial Assistance:

FY 2016 grants ranged from \$7,953 to \$1,766,323.

TAFS Codes:

12-3507.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 816,207 Participants, 20,129 Farmers, 3,582 Farmers Markets, 2,693 Roadside Stands, 114 Community Supported Agriculture Programs. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 249, 7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018

Regional or Local Office:

See Regional Agency Offices. <https://www.fns.usda.gov/fns-regional-offices>.

Headquarters Office:

Sarah Widor, Director, Supplemental Food Programs 3101 Park Center Drive, Alexandria, Virginia 22302 Email: Sarah.Widor@fns.usda.gov Phone: (703) 305-2729 Fax: (703) 305-2196

Website Address:

<http://www.fns.usda.gov/sfmnp/senior-farmers-market-nutrition-program-sfmnp>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.565 Commodity Supplemental Food Program; 10.572 WIC Farmers' Market Nutrition Program (FMNP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: 52 State agencies, U.S. Territories and federally recognized Indian Tribal Organizations. Fiscal Year 2017: 52 State agencies, U.S. Territories and federally recognized Indian Tribal Organizations. Fiscal Year 2018: 52 State agencies, U.S. Territories and federally recognized Indian Tribal Organizations.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.578 WIC GRANTS TO STATES (WGS)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public Law 111-296, 42 U.S.C. 1786.

OBJECTIVES:

To provide grants to WIC State agencies to plan, design and implement WIC electronic benefit transfer (EBT) systems so that Program benefits can be provided electronically. To provide grants to WIC State agencies to plan, design, implement, enhance or transfer management information (MIS) systems. Please note the following grant activities:

1. WIC EBT Amendments (non-competitive). Grant funds for WIC EBT amendments are provided to WIC State agencies to support on-going EBT planning or implementation projects. These projects were originally funded through a competitive process using WIC technology or American Recovery and Reinvestment Act funds. WIC State agencies received only partial funding in their original grant award and amendments provide the additional funds

needed to ensure all activities are completed and projects are successful.

2. WIC EBT Implementation (non-competitive). Implementation grant funds are provided to WIC State agencies to enhance and modify current management information systems to support EBT, conduct cost evaluations, and develop retailer management plans.

3. WIC State Agency Model (SAM) Amendments (non-competitive). Grant funds for WIC SAM amendments are provided to support WIC State agencies that are either part of the original SAM consortia, or those that need additional funding to complete implementation of a SAM transfer system. These funds are used by WIC State agencies for implementation completion as well as system enhancements through the SAM user group process.

4. WIC EBT Planning Grants (non-competitive). EBT Planning grants funds are provided to WIC State agencies to assess the feasibility of EBT for their State, as well as to perform a cost analysis to verify EBT affordability. The planning process is a WIC requirement prior to EBT implementation and looks at WIC Information System capabilities, retailer capabilities, and baseline paper cost compared to EBT operational cost within the State to better prepare for the challenges of EBT implementation. WIC State agencies must analyze both on-line and off-line EBT technologies to assess the most cost-effective solution.

5. WIC Technical Innovation Grants (competitive). WIC Technical Innovation grant funds are provided to WIC State agencies for the development of standardized reporting, retailer certification test scripts, and development of a web-based Internet system that will allow WIC participants to access grocer's websites, select WIC approved foods and purchase them with their EBT card from the convenience of their homes.

6. WIC SAM Transfers Grants (non-competitive). SAM Transfer grant funds are provided to WIC State agencies that have completed their planning activities, and have an approved Alternatives Analysis document that justifies a SAM as their choice of system transfer. Funds are used for implementation activities.

7. WIC MIS Amendments (non-competitive). Grant funds for WIC MIS amendments are provided to support WIC State agencies that have already received grant funding for their MIS projects. This includes planning for and transferring of an existing system, or developing a new system.

8. WIC MIS Implementation (non-competitive). WIC MIS Implementation grant funds are provided to WIC State agencies that are implementing a new MIS, or are upgrading/enhancing their current MIS. Activities included are design and development, testing, training and data conversion.

9. WIC MIS Planning (non-competitive). WIC MIS Planning grant funds are provided to WIC State agencies to assess their current system, complete a feasibility study and cost analysis as well as prepare a Request for Proposal for an implementation contractor.

10. WIC SAM Product Management Office (PMO) (non-competitive). WIC SAM PMO grant funds are provided to WIC State agencies responsible for the support and management of the SAM change control process; prioritization of enhancements, User Group Governance, and maintenance and operation of the State agency MIS Core Code.

To provide grants to WIC State agencies that improve and/or enhance services of the WIC program. WIC awards State agencies the following grants:

1. WIC Breastfeeding Peer Counseling Funds (discretionary). WIC Breastfeeding Peer Counseling Funds are intended to enable State agencies to implement or maintain effective breastfeeding peer counselor programs. Combining peer counseling with the on-going breastfeeding promotion efforts in WIC agencies has the potential to significantly impact breastfeeding rates among WIC participants. The Food and Nutrition Services long-range vision is to institutionalize peer counseling as a core service in WIC. A portion of these funds may be used to award breastfeeding performance bonuses. These incentives build upon WICs longstanding efforts to promote and support breastfeeding, and are intended to recognize and award State agencies that have demonstrated successful breastfeeding promotion and support efforts.

2. WIC Infrastructure Grants (discretionary). WIC Infrastructure Grants are awarded to State agencies for special State projects of regional or national significance to improve the services of the program. The goal is to improve and strengthen the effectiveness of WIC Program services. The approved project should focus on one of the following:

Strengthen Program Integrity
Improve Child Retention
Increase Breastfeeding Rates Enhance Participant Access

Facility Renovation and Purchases
Food Package Modifications.

Full and Mini Special Project Grants and WIC Concept Papers grants are awarded to State agencies under the WIC Infrastructure Grants. These grants are focused on innovation in the WIC program, allowing WIC State agencies to test and evaluate new ideas within WIC regulations. Successful projects should be replicable by other State agencies..

To provide a continuing education/technical assistance program focusing on the improvement of maternal and infant health through the delivery of risk-appropriate high-quality nutrition services through the Maternal Nutrition Intensive Course. This course is currently administered by the University of Minnesota and is designed for WIC staff, dietitians, nutritionists, certified nurse midwives, registered nurses and nurse practitioners, physicians and public health professionals who serve preconceptual, pregnant, post-partum and breastfeeding women.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Technology grant funds are used to plan, develop and implement projects for WIC MIS and EBT. Grant funds are used to enhance and improve WIC service provision through the use of technology which will have a positive impact on the nutrition and health of WIC participants.

WIC Breastfeeding Peer Counseling grants are used to administer peer counseling programs based on research-based components of a successful peer counseling program as identified by FNS in the Loving Support model. This includes salary, office space and supervision of peer counselors. The use of funds for activities outside of the State agency's approved implementation plans or that are not supported by the Loving Support model are not authorized.

WIC Infrastructure Grants are used to plan, develop and implement approved special State projects of regional or national significance to improve the services of the program. The use of special project grant funds is limited to the State agency's FNS approved budget for the project.

Applicant Eligibility:

State agencies that administer the WIC Program are eligible to apply for WIC Grant to States funds. States may apply individually or as a coalition of States for WIC technology funds. States may apply individually for WIC Breastfeeding Peer Counseling and Infrastructure grants.

Beneficiary Eligibility:

WIC participants will be the ultimate beneficiary in that improved technology will allow for more efficient and effective clinic operations. Additionally, the WIC Program is moving towards the issuance of benefits through electronic benefit transfer. This will enhance their shopping experience and allow for greater flexibility in benefit delivery.

Pregnant and breastfeeding women participating in the WIC Program are encouraged to initiate and sustain breastfeeding by peer counselors funded by Breastfeeding Peer Counseling grants.

Program improvements resulting from Infrastructure Grants benefit all WIC participants.

Credentials/Documentation:

Only WIC State agencies that administer the WIC Program are eligible. Applicants must submit proper documentation through the FNS funding process to ensure adequate resources are available for project success. Applicants are required to submit a description of the technology/ special State project, staffing and budget documents, feasibility studies, cost allocation plans, and any other required documents. A properly executed Federal/State agreement between FNS and the State agency is necessary before funds can be allocated. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

WIC State agencies are no longer required to submit applications using the grant solicitation process. Applicants must submit requests using the FNS WIC MIS and/or EBT funding process, which relies on an approved APD as a

pre-requisite step. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit proper documentation to FNS for approval before the project begins. To receive Breastfeeding Peer Counseling grant, States must submit documentation requesting or declining funds.

Award Procedure:

FNS will review all documentation within 90 days of receipt to determine if the project meets all requirements. Continued funding will be provided if the WIC State agency meets all requirements and if funding is available.

WIC Breastfeeding Peer Counseling grants are provided to State agencies administering the WIC Program based on an FNS approved plan and the number of pregnant and breastfeeding women participating in the program. Breastfeeding performance bonuses are funded with Breastfeeding Peer Counseling grants. Bonus awards are based upon States that have the highest percentage of fully breastfed infants and/or States that shown to have the greatest improvement of the percentage of fully breastfed infants over the last fiscal year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 3 to 6 months for technology grants. Approval determination for Breastfeeding Peer Counseling grant is made within 30 days of submission of a completed plan or amendment. Approval determination for the Infrastructure grants is made approximately 90 days from date of submission.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For technology grants funds are available from 2-5 years, depending on project timeline. Obligations must occur within the specified award period.

The WIC Breastfeeding Peer Counseling funds are available as 2-3 year funds.

WIC Infrastructure Grant funds are available from 1-4 years, depending on project timeline. State agencies may withdraw funds only as needed.

The WIC Breastfeeding Peer Counseling and Infrastructure grants are released for the fiscal year using an electronic benefit transfer system. Method of awarding/releasing assistance: by letter of credit.

Reports:

Progress reports and financial status reports must be submitted as outlined in the cooperative agreement. Cash reports are not applicable. Quarterly progress reports are required. WIC State agencies must submit quarterly financial reports in the FNS Food Programs and Reporting System (FPRS). No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

State agencies must maintain records as necessary to support the use of all grants to States funds in accordance with the Cooperative Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the three year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3510-0-1-605.

Obligations:

(Cooperative Agreements) FY 16 \$35,700; FY 17 est \$35,700; and FY 18 est \$35,700 - Maternal Nutrition Intensive Course is awarded under this grant. (Project Grants (Cooperative Agreements)) FY 16 \$220,000,000; FY 17 est \$0; and FY 18 est \$20,000,000 - The following Technology grants were awarded for FY 2016:

1. WIC EBT Amendments (non-competitive), FY 16 no grants awarded.
 2. WIC EBT Implementation (non-competitive) 12 awards totaling \$ 18,728,456
 3. WIC SAM Amendments (non-competitive) FY 16 no grants awarded.
 4. WIC EBT Planning Grants (non-competitive) 3 awards totaling \$472,924
 5. WIC Technical Innovation Grants (competitive) FY 16 no grants awarded
 6. WIC SAM Transfer Grants (non-competitive), 1 award totaling \$2,224,000
 7. WIC MIS Amendments (non-competitive), FY 16 no grants awarded.
 8. WIC MIS Implementation (non-competitive); 7 awards totaling \$16,370,873
9. WIC MIS Planning (non-competitive), 1 award totaling \$6,208.
10. WIC SAM Product Management Office Grants (non-competitive) 4 awards totaling \$6,456,721.

There no funding estimated for FY 2017. Grants were funded using FY 2016 funding. (Project Grants (Discretionary)) FY 16 \$60,000,000; FY 17 est \$60,000,000; and FY 18 est \$60,000,000 - Breastfeeding Peer Counseling funds are awarded to States under this grant. In FY 2016, an States/ITOs allocated \$59,500,000 in Breastfeeding Peer Counseling grants and \$500,000 in Breastfeeding Bonus Awards. (Project Grants (Discretionary)) FY 16 \$13,600,000; FY 17 est \$13,600,000; and FY 18 est \$13,600,000 - Infrastructure Grants are awarded under these funds.

Range and Average of Financial Assistance:

In FY 2016, 84 Breastfeeding Peer Counseling grants totaling \$59,500,000 were awarded. Grants ranged from \$24,087 to \$8,818,422. The remaining \$500,000 in Breastfeeding Peer Counseling funds were awarded as Breastfeeding Performance Bonus awards to 4 large (>1000 participants) and 4 small (<1000 participants) State agencies shown to have greatest percentage increase of the number of fully breastfed infants than in the previous fiscal year. Awards ranged from \$880 to \$315,641. 21 General Infrastructure grants ranging from \$18,958 to \$773,094 and one Full Special Project grant focusing on Program Integrity awarded. The grant amount was \$400,000.

TAFS Codes:

12-3510.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 84 Breastfeeding Peer Counseling grants, 8 Breastfeeding Performance Bonus awards were awarded; Special Project Infrastructure Grants: 4 full grants and 2 mini-grants focusing on Child Retention were awarded; University of Minnesota was awarded a cooperative agreement to administer the Maternal Nutrition Intensive Course. University of Minnesota Maternal Intensive Course was held July 27-29, 2016. Fiscal Year 2017: University of Minnesota was awarded a cooperative agreement to administer the Maternal Nutrition Intensive Course. University of Minnesota Maternal Intensive Course was held July 16-18, 2017. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018.

Regional or Local office:

See Regional Agency Offices. <https://www.fns.usda.gov/fns-regional-offices>.

Headquarters Office:

Sarah Widor, Director, Supplemental Nutrition Assistance Programs 3101 Park Center Drive, Alexandria, Virginia 22302 Email: Sarah.Widor@fns.usda.gov Phone: (703) 305-2729 Fax: (703) 305-2196

Website Address:

<http://www.fns.usda.gov/wic/women-infants-and-children-wic>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.556 Special Milk Program for Children; 10.565 Commodity Supplemental Food Program; 10.572 WIC Farmers' Market Nutrition Program (FMNP); 93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

State agencies will use the APD process for project approval and request funding using MIS and EBT funding request protocol.

10.579 CHILD NUTRITION DISCRETIONARY GRANTS LIMITED AVAILABILITY

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Richard B. Russell National School Lunch Act, as amended, 42 U.S.C. 1751, 1758, 1759a, 1761, 1765, 1766, 1769, 1772, 1773, 1779; School Breakfast Program (SBP); Child Nutrition Act of 1966, as amended, Public Laws 108-265, 104-193, 100-435, 99-661, 97-35; Special Milk Program (SMP); Child Nutrition Act of 1966, as amended; Child and Adult Care Food Program (CACFP); 89 Stat. 522-525, Summer Food Service Program (SFSP); Public Law 111-5. The 2010 Agriculture Appropriations Act (Public Law 111-80).

OBJECTIVES:

Administrative Review and Training (ART) grants are available to State agencies to conduct additional administrative reviews of selected local educational agencies and to provide funding for state agencies to be used for oversight and training of administrative personnel on application, certification, verification, meal counting, and meal claiming procedures.

Equipment Assistance grants are available to States agencies for providing equipment to improve school food services.

Grants to Improve Health and Nutrition were previously available to State agencies for projects that were aimed at improving the health and wellness of children in child care settings.

School Breakfast Expansion grants were previously available to eligible State agencies to provide subgrants to local educational agencies for qualifying schools to establish, maintain, or expand the School Breakfast Program.

Direct Certification Technical Assistance grants were previously available to assist States agencies in improving their direct certification processes and rates.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance can be used for specific activities authorized by Child Nutrition legislation or regulation. In the past this has included grants to state agencies to assist local educational agencies in improving application, certification and verification, and meal counting and meal claiming procedures; grants to State agencies to improve their direct certification processes and rates; and grants to improve program access, health, and wellness of children. There may be restrictions, as required by legislation or regulation. Less than one percent of Child Nutrition funds are set aside for discretionary grants. There may be restrictions, as required by legislation or regulation. Less than one percent of Child Nutrition funds are set aside for discretionary grants.

Applicant Eligibility:

Determined by the legislation authorizing the grants.

Beneficiary Eligibility:

Determined by the legislation authorizing the grants.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application package must be submitted electronically via <http://www.grants.gov>

Award Procedure:

Upon receipt of an application from an interested party a review is performed by the agency for eligibility and sufficiency of the Request For Applications (RFA) requirements. Subsequently, all acceptable applications are objectively reviewed by a technical panel according to established criteria set forth in the RFA. The panel makes award recommendations based on the scoring results. The Food and Nutrition Service (FNS) selecting official then makes the final award decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Selection of applicants for a grant award can take from 3 to 5 months depending on the number of applications that are received.

Appeals:

The RFA will provide information regarding appeals.

Renewals:

The RFA will provide information regarding renewals.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance of the grants vary based on project plan. Grant period of performance is generally from 1 year to 4 years. Extensions to the period of performance must be approved by FNS. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are required to submit both quarterly and a final financial and program progress reports. Grantees are required to submit quarterly and a final financial progress reports. Grantees are required to submit quarterly and a final program progress reports. Grantees are required to submit both quarterly and a final financial and program progress reports. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

State agencies must maintain records as necessary to support the use of grant funds in accordance with the grant agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records must be retained beyond the 3-year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3539-0-1-605.

Obligations:

(Project Grants (Discretionary)) FY 16 \$30,000,000; FY 17 est \$25,000,000; and FY 18 est \$0 - School Lunch Equipment Grants. (Project Grants (Discretionary)) FY 16 \$1,550,000; FY 17 est \$0; and FY 18 est \$0 - Direct Certification Grants. (Project Grants (Discretionary)) FY 16 \$4,000,000; FY 17 est \$4,000,000; and FY 18 est \$4,000,000 - Administrative Review and Training Grants. (Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Improve Health and Nutrition - no grants were awarded for 2015, 2016, 2017 or expected for 2018. (Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - School Breakfast Expansion, no grants were awarded for 2017. The last time grants were awarded was 2013.

Range and Average of Financial Assistance:

For 2017 Administrative Review and Training Grants - There is no 2017 information to report since the FY 2017 grant funding will be combined with future FY 2018 grant funding in a FY 2017-2018 RFA to be released on a to be determined date.

For 2017 there were no Direct Certification Grants awarded.

There were additional FY 16 Direct Certification three (3) grants awarded since the last posting on this page ranged from \$143,688.18 to \$769,208.00. This range contains the remaining DC FY 2016 grants awarded.

For 2017, the National School Lunch Equipment Assistance Grants ranged from \$32,515.00 to \$3,104,997.00. Grant average was \$462,963.00.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: USDA awarded five (5) ART grants to the following states: Colorado, Florida, Idaho, New Jersey and Nevada

USDA awarded six (6) DC grants to the following states: New Jersey, Pennsylvania, Nebraska, California, District of Columbia and Maryland.

USDA awarded School Equipment grants to State agencies. Fiscal Year 2017: Data not available. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, as applicable. Also, determined by the legislation authorizing the grants.

Regional or Local Office:

See Regional Agency Offices. See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive Room 628, Alexandria, Virginia 22302. Phone: 703-305-2590

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are initially reviewed for eligibility and sufficiency, as defined in the RFA. Those applications that meet the criteria are reviewed by a technical panel based on specific criteria outlined in the RFA. The panel makes recommendations to the selecting official based on scoring results. The selecting official makes the final award decisions.

10.580 SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM, PROCESS AND TECHNOLOGY IMPROVEMENT GRANTS

Process and Technology Improvement Grants (PTIG)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Section, 17a(1), Food and Nutrition Act of 2008, 7 U.S.C. 2026(a)(a); Section 17(b)(1), 7 U.S.C. 2026(b)(1); Farm Security and Rural Investment Act of 2002, Public Law 107-171; Public Law 110-246, American Taxpayer Relief Act, Public Law 112-240.

OBJECTIVES:

Process and Technology Improvement Grants: The purpose of these grants is to support efforts by State agencies and their community-based and faith-based partners to develop and implement: 1) Simplified SNAP application and eligibility systems; or 2) Measures to improve access to SNAP benefits by eligible applicants.

Grant proposals should focus on improving the quality and efficiency of operations and processes within the SNAP office. Specifically, FNS is interested in initiatives that use new technologies or examine office processes in order to improve application processing timeliness for initial applications or recertification.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds for PTIG projects are to be used to improve the quality and efficiency of operations within SNAP offices. Examples of how grants funds can be used include: 1) Upgrades to SNAP eligibility systems; 2) Upgrades or implementation of workflow management systems for caseworkers; 3) Document imaging systems; 4) Mobile technology such as the use of web platforms that send text message alerts and reminders directly to client or apps designed for tablets and smartphones which allow clients to upload documents and review and update their case information; 5) Contractual services related to Business Process Reengineering (BPR), etc.

Pre-award costs will not be awarded for this grant project. Projects predicated on waiving SNAP regulations are not allowable and will not be considered for funding. However, if during the grant period, a State agency determines a waiver is needed, the State may apply for one but there is no guarantee FNS will approve the waiver. No more than 25 percent of the grant funds may be used for outreach activities. Applications must comply with criteria listed in the Request for Application package. Applications must comply with criteria listed in the Request for Application package.

Applicant Eligibility:

State agencies that administer the SNAP, State or local governments; agencies that provide health or welfare services; public health or educational entities and private nonprofit entities such as community-based or faith-based organizations, food banks, or other emergency feeding organizations.

Beneficiary Eligibility:

The entities eligible to receive grants under this competition are:

- The 53 State agencies that administer the SNAP;
- State or local governments;
- Agencies providing health or welfare services;
- Public health or educational entities; and
- Private non-profit entities such as community-based or faith-based organizations, food banks, or other emergency feeding organizations.

(1) State agencies and State and local governments should have the necessary approvals of state officials (such as councils or legislatures) of funding prior to submitting the application. Applicants should also acknowledge in their application that all necessary approvals for funding have been obtained.

(2) Non-profit organizations are required to submit a copy of the IRS Determination Letter, form 501(c)(3) or proof of application for exempt status under section 501(c)(3) of the Internal Revenue Code, a list of their Board of Directors if applicable, and their most recent audited financial statements signed by the Treasurer or the Treasurer of the board.

Educational entities are also required to submit their most recent financial statements signed by the Treasurer or Treasurer of the board. Applications submitted without these will be considered non-responsive and eliminated from consideration. All corporations, including non-profit corporations are required to complete the attached representation regarding felony convictions and tax delinquency.

Agreements are established between State Agencies and FNS. States use funds to improve service to SNAP applicants and recipients.

Credentials/Documentation:

Proof of non-profit status is required of nonprofit organizations and institutions. Nonprofits must also submit an IRS Determination Letter, Form 501C (3). Specific instructions provided in Request for Application. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Special Instructions

Letters of Commitment or Endorsement

FNS recognizes that the grant proposals responding to this RFA will directly affect State agency operations. Therefore, if the grant proposal is from an entity other than a State agency, it must show that a State agency strongly endorses or is involved in the proposed project in order to be considered.

Please refer to the two types of letters described below to determine which is appropriate to submit with your proposal. Please note that State agencies are able to submit an application on their own in which case a letter of endorsement is needed. If the State agency is partnering with another entity on the project a letter of commitment from that entity is required.

State agencies may choose to submit an application on their own as well as additional application(s) with other organizations. Please refer to the section above on Limitations on the Number of Applications. Applications that require a letter of commitment or a letter of endorsement which fail to provide one will be considered non-responsive and eliminated from consideration. FNS will NOT consider additions or revisions to applications once they are received. Therefore, unless the applicant is a State agency submitting an application on its own, a letter of commitment or letter of endorsement must be included in the application package to be considered for funding. Such letters may be addressed to the FNS Grant Officer.

A Letter of Commitment is required if the grant proposal is from a State agency working in partnership with another organization(s). The letter(s) of commitment must describe:

- the organizations role in the project,
- the amount of time it intends to commit to the project and an attestation that it will cooperate with the grant applicant in implementing the project, and
- must be provided on the respective organizations letterhead and be signed by an authorizing individual.

A Letter of Endorsement is required if an applicant is not partnering with a State agency. The State agency's letter of endorsement must:

- explain that the State agency is aware of the projected impact on its system and is supportive of the proposed project, and
- be on the respective State agency's letterhead and be signed by an authorizing official. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application packages should be prepared in accordance with instructions in a specific Request for Application (RFA) and sent to the grant officer identified in the

RFA.

Award Procedure:

Following the initial screening process, FNS will assemble a peer panel group to review and determine the technical merits of each application. The peer panel will evaluate the proposals based on how well they address the required application components. The peer panel members will recommend applications for consideration for a grant award based on the evaluation scoring. The selecting official reserves the right to award a grant to meet agency priorities, program balance, geographical representation, or project diversity.

The selecting official will consider the panel recommendations. In general, awards will be based on rank funding order. However, FNS reserves the right to fund out of rank order to achieve agency priorities (such as to grant an award to an entity that includes a joint effort between a State agency and a community-based or faith-based organization, demographic, or socioeconomic diversity, etc.). If the panel review indicates that FNS has received few or no technically acceptable proposals, the selecting official may determine that FNS will make no awards, or commit less than the \$5 million set aside for this purpose.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Provided in Request for Application.

Appeals:

Instructions provided in Request for Application.

Renewals:

Instructions provided in Request for Application.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grantees will be allowed to use the grant funds for the duration of the 3 year project period. Grants awarded in FY17 will be funded for the period of September 30, 2017, through September 30, 2020. Method of awarding/releasing assistance: by letter of credit.

Reports:

Final report and major change reporting, if applicable. Cash reports are not applicable. Quarterly progress reports. Financial status reports. Final report and major change reporting, if applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

State agencies must maintain records as necessary to support the use of SNAP Grant funds in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3505-0-1-605.

Obligations:

(Salaries) FY 16 Not Available; FY 17 est \$5,000,000; and FY 18 est \$5,000,000 - FNS is authorized to award up to \$5 million annually in Process and Technology Improvement Grants (PTIG). The grant awards are multiyear money intended to fund projects for a 3 year period.

Range and Average of Financial Assistance:

See:

<https://www.fns.usda.gov/snap/fy2017-snap-process-and-technology-improvement-grants>.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Delaware Department of Health and Social Services (DE) \$667,618

Florida Department of Children and Families (FL) \$447,282

Info Line of San Diego 2-1-1 (CA) \$100,000

Maryland Department of Human Resources (MD) \$723,065

Missouri Department of Social Services (MO) \$955,035

Nebraska Department of Health and Human Services (NE) \$607,000

Washington Department of Social and Health Services (WA) \$1,500,000.

Fiscal Year 2017: Currently unavailable. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Participation Grants: 7 CFR Part 3015, 7 CFR Part 3016, 7CFR Part 3017, 7 CFR Part 3021, 7 CFR Part 3019.

Regional or Local Office:

None. Not applicable.

Headquarters Office:

Mary Rose Conroy 3101 Park Center Drive, 8th floor, Alexandria, Virginia 22302 Email: maryrose.conroy@fns.usda.gov Phone: 703-305-2803

Website Address:

<https://www.fns.usda.gov/grant-opportunities>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: Currently unavailable. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The review panel to score and rank applications uses Technical Review Criteria which includes: (1) Soundness or Merit of Project Design; (2) Budget Appropriateness and Economic Efficiency; (3) Organizational Experience and Management/Staff Capability; (4) Project Oversight and Evaluation; and (5) Presentation.

10.582 FRESH FRUIT AND VEGETABLE PROGRAM

FFVP

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Richard B. Russell National School Lunch Act, as amended, 42 U.S.C 1769.

OBJECTIVES:

To assist States, through cash grants, in providing free fresh fruits and vegetables to elementary schools with high percentages of children who receive free or reduced price meals through the National School Lunch Program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Under Section 19 of the Richard B. Russell National School Lunch Act, 42 U.S.C. 1769, Fresh Fruit and Vegetable Program funding is available to State agencies through an annual transfer of the Agricultural Marketing Service's Section 32 funds. Selected low-income, public and nonprofit private elementary schools are reimbursed for produce served to school children outside of the National School Lunch Program and School Breakfast Program food service periods.

All participating schools must agree to serve fresh fruits and vegetables free to all enrolled children, and to publicize fresh fruit and vegetable availability within the school. Selected schools must be low-income public and nonprofit

private elementary schools. Selected schools must serve fresh fruits and vegetables free to all students in selected schools. Selected schools must serve fresh fruits and vegetables outside of the National School Lunch Program and the School Breakfast Program, and must publicize the availability of fresh fruits and vegetables within the school.

Applicant Eligibility:

In order to be eligible to participate in the FFVP, the school must be a low-income public or nonprofit private elementary school, and must participate in the National School Lunch Program.

Beneficiary Eligibility:

All elementary school children enrolled in schools participating in the Fresh Fruit and Vegetable Program receive free fresh fruits and vegetables outside of the National School Lunch and School Breakfast Programs.

Credentials/Documentation:

The applicant organization must be currently participating in the National School Lunch Program. The applicant must be an elementary school as defined by section 9101 of the Elementary and Secondary Education Act of 1965 (20 USC 7801). Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The school-level application prototype form furnished by FNS may be modified by the State agency. An applicant should consult the State office or official designated as the single point of contact in the State for the National School Lunch Program for more information on the process FNS requires to be followed for participation in FFVP. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Low income, public or private nonprofit elementary schools apply to the State agency, or may be selected for participation by the State agency.

Award Procedure:

The elementary schools with the highest percentages of children eligible for free and reduced price meals will be selected. The State agency then enters into an agreement with the school food authority for its participation in the program. The agreement stipulates that schools will operate the Fresh Fruit and Vegetable Program according to federal requirements for the program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Agreements become effective upon approval by the State agency.

Appeals:

The State agency is responsible for selecting schools based on high free and reduced price percentages. There is no appeal process if not selected.

Renewals:

Schools may be renewed annually through a re-application process (or modified application process) depending on their free and reduced price meal eligibility percent ranking each year.

Formula and Matching Requirements:

Statutory Formula: The Fresh Fruit and Vegetable Program is funded through a statutory formula found in section 19 of the Richard B. Russell National School Lunch Act.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The program is permanent and amended as necessary described by law. Schools/SFAs have one fiscal year in which to spend the funds awarded. Method of awarding/releasing assistance: by letter of credit.

Reports:

State agencies must ensure that each school food authority with participating

schools maintains and submits reimbursement claim forms to the State agency in order to receive reimbursement. State agencies must submit expenditure data quarterly via the SF-425 report. States must submit final fiscal year grant close out reports within 120 days after the end of the grant period. State agencies are required to complete SF-425 reports. No progress reports are required. State agencies must ensure that each school food authority with participating schools maintains and submits reimbursement claim forms to the State agency in order to receive reimbursement. State agencies must submit expenditure data quarterly via the SF-425. States must submit final fiscal year grant close out reports within 120 days after the end of the grant period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits may be conducted less frequently under conditions specified in A-133. The FFVP is subject to audits.

Records:

Schools must maintain full and accurate records of the FFVP's expenditures, similar to the National School Lunch and School Breakfast Programs. Such records must be retained for a period of 3 years after the end of the fiscal year to which they pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of audit findings.

Account Identification:

12-3539-0-1-605.

Obligations:

(Project Grants) FY 16 \$166,565,000; FY 17 est \$224,500,000; and FY 18 est \$174,500,000 - A steady source of funding is provided through an annual transfer from the Agricultural Marketing Service.

Range and Average of Financial Assistance:

FFVP funds are allocated at a level of \$50 to \$75 per student per school year. Funding is allocated among States based on a funding formula, which is adjusted every July 1 to reflect changes in the Consumer Price Index. The minimum grant to each of the 50 States and the District of Columbia will equal one percent of the funds made available to carry out this program for the school year. Additional funding will be allocated to all States, the District of Columbia, Guam, Puerto Rico and the Virgin Islands, on the basis of population.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No current data available. Fiscal Year 2017: On June 16, 2017, FNS released a revised allocation for the remainder of FY17, which is available on the FNS Web site. Fiscal Year 2018: On June 16, 2017, FNS released the funding allocation distribution for FY18, which is available on the FNS Web site.

REGULATIONS, GUIDELINES, AND LITERATURE:

An online toolkit is available at <http://www.fns.usda.gov/FFVP>. A handbook is also available at <http://www.fns.usda.gov/ffvp/fns-resources>.

Regional or Local Office:

See Regional Agency Offices. See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive Room 628, Alexandria, Virginia 22302. Phone: 703-305-2590.

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicant schools must be currently participating in the National School Lunch Program. They must agree to serve fresh fruits and vegetables free to all enrolled children, and to publicize fresh fruit and vegetable availability within the school. They must have support for participation in the program signed by the school food manager, the school principal, and the district superintendent, or equivalent positions. States must target the elementary schools with the highest percentages of children eligible for free and reduced price meals.

10.586 SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS AND CHILDREN; NUTRITION EDUCATION INNOVATIONS

WIC Nutrition Education Innovations Grant, WIC Periconceptional Grant

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

National Agriculture Research, Extension, and Teaching Policy Act of 1977, Section 1403(8), 7 U.S.C. 3102, Section 1403(8), 7 U.S.C 3102.

OBJECTIVES:

These awards enable Food and Nutrition Service (FNS) to enter into grants and cooperative agreements with States and local governments, universities, hospitals and non-profit organizations to identify, develop, and undertake projects to meet FNS program needs and the food, nutrition, and health of program eligible participants. The grantees and recipients are expected to work cooperatively with FNS to:

- Support researcher-initiated projects that use a common approach to reporting findings to ensure transparency and facilitate a meta-analysis of all projects;
- Coordinate activities among researchers;
- Effectively use technology and digital media to achieve desired outcomes; and
- Advance communication and coordination to improve target behaviors.

The grants and cooperative agreements plan to enable FNS to be more responsive to program needs and the food, nutrition, and health needs of FNS program participants.

The objective of the WIC Periconceptional Grant is to establish a university-based grant series for researcher-initiated projects to demonstrate creative approaches to evaluate the impacts of WIC on periconceptional nutrition. FNS focus for this project is the direct and indirect effects of WIC participation by one or more family members during the periconceptional period and the implications for service delivery. During the periconceptional period, the time period immediately prior to conception and in the first few days and weeks following conception, nutrition can impact placental and embryonic development with critical lifelong implications. This project supports a university-based grant series for researcher-initiated projects to demonstrate creative approaches to evaluate WIC impacts on periconceptional nutrition, coordinate activities among researchers, and widely disseminate findings from current research.

The WIC Nutrition Education Innovations' Grant's objective is to enhance the effort to identify, develop, evaluate, and disseminate innovative and effective WIC nutrition education interventions. This is to be accomplished by providing grant funding for a university-based center with this charge, including a program of sub-grants to support development and evaluation of WIC-focused researcher-initiated innovations from researchers across the nation.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

For both the WIC Periconceptional Grant and the WIC Nutrition Education Innovations Grant, the following uses and use restrictions apply:

Cooperative Agreement Grants will be made to State and local governments, universities, hospitals, and non-profit organizations for awarding cooperative agreements, and grants to design, develop and evaluate innovative approaches for Food and Nutrition Service (FNS) programs, such as WIC, to meet FNS

program needs and to strengthen their impact on the food, nutrition and health of program eligible participants. The grant and cooperative agreement recipient is task with:

- making sub-grants,
- coordinating efforts among sub-grantees,
- evaluating impact of FNS programs, such as WIC, on food, nutrition and health,
- providing a summary and syntheses of these and related efforts, such as meta-analysis on the results,
- composing and coordinating a conference on observations and findings, and
- providing FNS with cost neutral approaches to improving FNS programs.

FNS' primary objective for these grants and cooperative agreements is to support interest in exploring better ways to improve and assess Food and Nutrition Service program needs and their impact on the food, nutrition and health of program eligible participants. The grants should enhance knowledge and further research activities by the academic community, FNS and others. See the RFP. The grant and cooperative agreement funds are provided to recipients (grantees) responsible to spend the funds in accordance with what is set forth in the grant or cooperative agreement, and applicable Federal circulars such as A-110. The source and use of the funds for the grants and cooperative agreements will be tied to a project of interest to one or more of FNS' program areas. FNS has the discretion to select (through competition) what institution will receive the funds and to what research endeavors they will be applied, assuming that research is not specified in the law or other directive.

Applicant Eligibility:

The grant is to be used to establish grants and cooperative agreements to identify, develop and undertake projects to meet Food and Nutrition Service (FNS) program needs and the food, nutrition, and health needs of program eligible participants.

Beneficiary Eligibility:

Cooperative agreements are awarded to State and local governments, hospitals, non-profit organizations and accredited colleges/universities offering advanced degrees at the PhD level in biological and social sciences such as sociology, psychology, education, nutrition, public health and economics. This is a requirement for the selected recipient of the grants and cooperative agreements, not the sub-grantees. Cooperative agreement recipients are to award sub-grants to researchers to identify, develop, and evaluate interventions and innovative approaches to strengthen the impact of Food and Nutrition Service programs, such as WIC, on the food, nutrition, and health of program eligible participants.

Credentials/Documentation:

OMB Circulator No. A-87 applies to this program. Nonprofit organizations are required to submit proof of nonprofit status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants were required to submit a grant or cooperative agreement proposal, along with an Application for Federal Assistance SF-424(R&R). Requirements, (such as submission, formatting, budget, etc.) for the grant or cooperative agreement proposal were specified in the Request for Application.

All applications had to be submitted electronically through www.grants.gov. Applicants were only allowed to submit paper-copy applications under extenuating circumstances, such as outages of the Grants.gov website that can be substantiated by a valid case number with the Grants.gov support center.

Award Procedure:

Proposals were evaluated on the criteria of research merit, overall approach, feasibility, and staffing/budget/time line. Based on the responses to the criteria, applications were reviewed and scored by panel evaluators. The proposals were ranked by score, starting with the highest score and presented to selecting officials based on this ranking. Selecting officials considered the panel

rankings and comments, recommendations from Food and Nutrition Service (FNS) staff, and other pertinent information before deciding which proposals to fund. FNS selecting officials reserved the right to fund proposals out of rank order based on written assessments made by evaluation panel members, information provided by regional office staff, and agency priorities.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Contact the Headquarters contact mentioned at the end of this description.

Appeals:

Specified in the RFAs for the WIC Nutrition Education Innovations Grant and the WIC Periconceptual Grant.

Renewals:

Specified in the RFA for the WIC Periconceptual Grant and the WIC Nutrition Education Innovations Grant.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The total time period for which a grant or cooperative agreement is awarded may not exceed 60 months. See the following for information on how assistance is awarded/released: Funding is distributed as specified in the terms of the grant or cooperative agreement with Food and Nutrition Service or as applicable under federal regulation requirements.

Reports:

Program reports are required according to the terms of the grant or cooperative agreement with Food and Nutrition Service or as applicable under federal regulation requirements. Report SF-PPR is to be filed by the recipient according to the terms of the grant or cooperative agreement. Cash reports are not applicable. Quarterly progress reports are required according to the terms of the grant or cooperative agreement with Food and Nutrition Service or as applicable under federal regulation requirements. Expenditure reports are required according to the terms of the grant or cooperative agreement with Food and Nutrition Service or as applicable under federal regulation requirements. Report SF 425 is to be filed by the recipient according to the terms of the agreement or as applicable under federal regulation requirements. Performance monitoring reports are required to be filed by the recipient according to the terms of the cooperative agreement with Food and Nutrition Service or as applicable under federal regulation requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records (financial/administrative/grant) are to be maintained according to the time requirements specified in the terms of the grant, or cooperative agreement with Food and Nutrition Service or as applicable under federal regulation requirements.

Account Identification:

12-3510-0-1-605 - To be supplied at time of grant or cooperative agreement award.

Obligations:

(Salaries) FY 16 \$740,960; FY 17 est \$397,559; and FY 18 Estimate Not Available(Exp: grant ended) - In 2012, the WIC Nutrition Education Innovations Grant was awarded in the amount of \$1,999,980 to a university-based institution, Baylor College of Medicine, to administer the grant and make awards to sub-grantee researchers working with a WIC agency. Two sub-grant awards have been made to: Dr. Rafael Perez-Escamilla at Yale and Dr. Lorrene Ritchie at UC Berkeley. Two more sub-grant awards were

made in August 2014 to Dr. Jennifer Di Noia from William Paterson University and Dr. M. Jane Heinig from UC Davis. The grant funds are being distributed over a 4 year period (2012-2016) to the primary grantee, Baylor College of Medicine-Houston, who distributes the sub-grant awards. (Salaries) FY 16 \$136,686; FY 17 est \$102,431; and FY 18 Estimate Not Available(Exp: grant ended) - In 2011, the WIC Periconceptual Grant was awarded in the amount of \$997,759 to a university-based center to award subgrants. The total amount awarded was distributed over the 5 year period (2011-2016) to the primary grantee, UCLA. The 7 WIC clinics that are involved through subgrant awards are:

Oregon WIC Program
East Baton Rouge Parish WIC Clinic, and Capitol City Family Health Center WIC Clinic at Family Roads
San Luis Valley WIC Clinic
Public Health Foundation (PHFE) WIC Program
Massachusetts WIC Program
the WIC Nutrition in Pregnancy Clinic (NIP) in the Johns Hopkins Obstetrics and Gynecology (OB/GYN) Department
Sonoma County WIC Program, and the San Francisco County WIC Program.

Range and Average of Financial Assistance:

The two grants to primary grantees described in this announcement range from \$997,759 to \$1,999,980, with the average being \$1,498,869.50.

TAFS Codes:

12-3510.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In 2016, the grantees worked on the final report, presentations, and publications. Fiscal Year 2017: The final report and associated materials from this grant are published on the Baylor School of Medicine website:
<https://www.bcm.edu/departments/pediatrics/sections-divisions-centers/childrens-nutrition-research-center/research/wic-nutrition-education>. Fiscal Year 2018: n/a.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Karen A. Castellanos-Brown 3101 Park Center Dr., Alexandria, Virginia 22302
Email: Karen.Castellanos-Brown@fns.usda.gov Phone: 7033052732

Website Address:

<http://fns.usda.gov>

RELATED PROGRAMS:

10.557 Special Supplemental Nutrition Program for Women, Infants, and Children

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: see
<https://www.bcm.edu/departments/pediatrics/sections-divisions-centers/childrens-nutrition-research-center/research/wic-nutrition-education>. Fiscal Year 2017: see above. Fiscal Year 2018: n/a.

CRITERIA FOR SELECTING PROPOSALS:

Proposals for these two Food and Nutrition Service grants were evaluated on the criteria of research merit, overall approach, feasibility, and staffing/budget/time line. Based on the responses to the criteria, applications were reviewed and scored by panel evaluators. The proposals were ranked by score starting with the highest score and presented to selecting officials based on this ranking. Selecting officials considered the panel rankings and comments, recommendations from Food and Nutrition Service (FNS) staff, and other pertinent information before deciding which proposals to fund. FNS selecting officials reserve the right to fund proposals out of rank order based on written assessments made by evaluation panel members, information provided by regional office staff, and agency priorities.

**10.593 BILL EMERSON NATIONAL HUNGER FELLOWS AND
MICKEY LELAND INTERNATIONAL HUNGER FELLOWS**

PROGRAMS

Bill Emerson and Mickey Leland Fellows Programs

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Public Law 11-246, Section 4401, updated Section 4404 of the Farm Security and Rural Investment Act of 2002, Public Law 107-171. Annual Appropriations fund the Programs.; Consolidated and Further Continuing Appropriations Act 2013, Public Law 113-06, Section 4404 of Public Law 107-171, as amended by Section 4401 of Public Law 110-246(2. U.S.C. 1161); FY2012 Agriculture Appropriations Act, Public Law 112-55, Public Law 113-113-06, 2 U.S.C 1161; Farm Security and Rural Investment Act of 2002, Public Law 107-171, Section 4404, Hunger Fellowship Program; Congressional Hunger Fellow Act of 2002, Public Law 107-107-171, 2 U.S.C 1161.

OBJECTIVES:

The Bill Emerson Hunger Fellowship Program is to address hunger and poverty in the United States. The Mickey Leland Hunger Fellowship Program is to address international hunger and other humanitarian needs. The purposes of the Fellowships Programs are to: encourage future leaders of the U.S. to pursue careers in humanitarian and public service; recognize the needs of low-income people and hungry people; provide assistance to people in need; seek public policy solutions to the challenges of hunger and poverty; provide training and development opportunities for to such leaders through placement in programs operated by appropriate organizations or entities; and increase awareness of the importance of public service.

TYPES OF ASSISTANCE:

Project Grants (Fellowships)

USES AND USE RESTRICTIONS:

In accordance with the authorizing legislation and 2 CFR Part 2.19 and 2.57, the Administrator as delegated by the Secretary, is directed to offer a grant to the Congressional Hunger Center to administer these fellowship programs. Funding is provided to the Congressional Hunger Center to train and inspire leaders who work to end hunger. The Congressional Hunger Center is the grantee; they are provided funding to achieve the purposes of the Fellowship Programs as noted above. The fellowships established shall provide experience and training to develop the skills and understanding necessary to improve the humanitarian conditions and the lives of individuals who suffer from hunger, including - (I) training in direct service to the hungry in conjunction with community-based organizations through a program or field placement; and (II) experience in policy development through placement in a governmental entity or nonprofit organization. Funding is restricted to the costs related to selecting, training, and placement opportunities for individuals identified as a Hunger Fellow.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. n/a

Award Procedure:

Applicant must meet the non-competitive grant submissions requirements for completeness and conformity in a grant application, project description and budget. FNS will determine the technical merit of the grant application, approve the proposal and then make a grant award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant period of performance is generally for 15 months. Extensions to the period of performance must be approved by the FNS. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. The quarterly and final programmatic reports are required to be submitted by the grantee in accordance with the grant terms and conditions. The quarterly and final financial reports, SF-425, are required to be submitted by the grantee in accordance with the grant terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The grantee is required to comply with the audit provisions in 2 CFR 200, Subpart F. There are no special audit procedures mandated for this grant program.

Records:

The grantee must maintain records in accordance with the grant agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except if audit findings have not been resolved, the records shall be retained beyond the 3 year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3508-0-1-605.

Obligations:

(Salaries) FY 16 \$2,000,000; FY 17 est \$2,000,000; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

Congressional Hunger Center (CHC) \$2,000,000 (non-competitive).

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Bill Emerson National Hunger Fellows Program fellows are placed in community-based organizations all over the United States and receive extensive policy training. Fellows then work in nonprofit organizations and government agencies on hunger and poverty policies at the national level. The Mickey Leland International Hunger Fellows Program trains emerging leaders in the fight to end hunger worldwide. It is a unique two-year program that combines field and policy work. Leland Fellows develop new skills while actively working to alleviate hunger and poverty in Asia, Africa, and Latin America. Fiscal Year 2017: The Bill Emerson National Hunger Fellows Program fellows are placed in community-based organizations all over the United States and receive extensive policy training. Fellows then work in nonprofit organizations and government agencies on hunger and poverty policies at the national level. On July 26, 2017, the CHC announced the 24th

Class of Emerson National Hunger Fellows. The fellows will be placed with organizations in seven States plus the District of Columbia. There are 16 fellows in the current class.

The Mickey Leland International Hunger Fellows Program trains emerging leaders in the fight to end hunger worldwide. It is a unique two-year program that combines field and policy work. Leland Fellows develop new skills while actively working to alleviate hunger and poverty in Asia, Africa, and Latin America. There are 12-15 individuals selected as fellows in each class. The 8th class will finish their fellowship August 30, 2017. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200, Uniform Guidance for Grants and Agreements. USDA appropriation language funding this grant program.

Regional or Local Office:

None.

Headquarters Office:

Lael Lubing 3101 Park Center Drive Room 732, Alexandria, Virginia 22302
Email: lael.lubing@fns.usda.gov Phone: 703-305-2048

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: The 24th class of Emerson fellows will be working on a wide variety of projects, from conducting needs assessments to coalition-building, from supporting healthy corner stores to supporting new immigrant families learn how to grow their own food in an unfamiliar climate.

The Leland fellows work on a variety of issues related to food security, including: agriculture, maternal and child nutrition, advocacy, climate change adaptation, agribusiness development and womens empowerment. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.594 FOOD DISTRIBUTION PROGRAM ON INDIAN RESERVATIONS NUTRITION EDUCATION GRANTS

Food Distribution Program Nutrition Education Grants

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Food and Nutrition Act of 2008 (Public Law 110-246); Agriculture and Consumer Protection Act of 1973 (Public Law 93-86), 7 U.S.C 2011-2036.

OBJECTIVES:

The Food Distribution Program Nutrition Education (FDPNE) grant offers funding totaling approximately \$1 million annually to Indian Tribal Organizations (ITOs) or State Distributing Agencies (SDAs) that administer the Food Distribution Program on Indian Reservations (FDPIR), a nutrition assistance program. Awards are determined on a competitive basis. The most current guidance for the Supplemental Nutrition Assistance Program Education (SNAP-Ed) serves as the model for FDPNE. The goal of FDPNE is to enhance the nutrition knowledge of FDPIR participants. ITO and SDA administering agencies may apply for a grant as an individual agency or as a consortium of ITOs or SDAs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The funds may only be used to provide nutrition education to FDPIR and FDPIR-eligible participants. A current ITO or SDA FDPIR allowance holder (an entity that has a direct agreement with FNS to administer FDPIR) must submit the application and is fiscally and operationally responsible for funds that are awarded. Approximately \$1,000,000 is available to fund nutrition education projects proposed by ITOs or SDAs authorized to administer FDPIR.

Applicant Eligibility:

A current FDPIR allowance holder must submit the application. Applicants must propose projects that result in the delivery of nutrition education activities to FDPIR participants.

Beneficiary Eligibility:

Applicant ITO/SDA administrators must provide services to FDPIR participants or FDPIR-eligible participants only.

Credentials/Documentation:

ITOs and SDAs must comply with the codified requirements at 2 CFR 200 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Those who apply for this grant must submit grant forms and documents required by the Request for Applications and signed by the Authorized Representative of the organization. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must follow the application procedures, including the submission of all forms and content, required by the Request for Applications. Applicants must use the required format for application content that is documented in the Request for Applications.

Award Procedure:

FDPIR administering agencies submit applications in response to a Request for Applications that is released annually. USDA manages the review, evaluation, and approval of applications. Applications document nutrition education activities such as nutrition education classes, nutrition education materials, garden-based nutrition education, or physical education services for provision to eligible FDPIR households. Applications are submitted to the Grants.gov website by the designated application submission date.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants for awards must submit applications by the designated dates documented in the Request for Applications.

Appeals:

From 15 to 30 days.

Renewals:

ITOs and SDAs must apply for funding each year to receive a competitive award. The funding performance period is one year.

Formula and Matching Requirements:

Statutory Formula: Title Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Chapter Title 2, Part 200.

Matching Requirements: Percent: 25%. The 25% match requirement may be waived if compelling justification is provided by the ITO/SDA.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

FDPNE grant funding expires at the end of a one-year performance period. Method of awarding/releasing assistance: by letter of credit.

Reports:

A final report, documenting successes and completion of goals and approved activities, is submitted by 90 days after the end of the performance period. The Award Terms and Conditions provide information on the requirements for submitting the final report. Cash reports are not applicable. A required semi-annual progress report, describing progress towards completing goals and approved activities, is submitted after the second quarter of the performance period. The Award Terms and Conditions provide information on the submission date and content required for the report. Form SF-425, Federal

Financial Report, captures, on a quarterly basis, Federal funding authorized, obligated, and obligated but not liquidated. This form also captures non-Federal funds used for the program. Awardees submit quarterly Federal Financial Reports during the performance period and a final Federal Financial Report by 90 days after the performance period ends. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Each funded ITO and SDA must maintain accurate and complete program records and reports for 3 years following the close of the performance period fiscal year or until any outstanding audit, claim, or litigation is settled.

Account Identification:

12-3505-0-1-605.

Obligations:

(Salaries) FY 16 \$981,935; FY 17 est \$972,504; and FY 18 est \$998,000

Range and Average of Financial Assistance:

Awards range from \$3,000 to \$145,000.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fourteen Indian Tribal Organizations or consortia of Indian Tribal Organizations received awards. Award amounts ranged from \$6,504 to \$162,765. The performance period for this award ended 6/30/17. Final reports are due 9/30/17. Fiscal Year 2017: Seventeen Indian Tribal Organizations or consortia of Indian Tribal Organizations received awards. Award amounts ranged from \$3,404 to \$144,808. The performance period for this award ends 9/30/18. Final reports are due 12/31/18. Fiscal Year 2018: Fifteen to twenty Indian Tribal Organizations are projected to receive awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 7 CFR Part 253; 7 CFR Part 254; 7 CFR Part 250; FNS Handbook 501, and specifics found in the Request for Applications.

Regional or Local Office:

See Regional Agency Offices. See Food and Nutrition Service Regional Offices by clicking on "Regional Agency Offices" at the top of this page.

Headquarters Office:

Lindsay Williams 3101 Park Center Drive , Alexandria, Virginia 22302 Email: Lindsay.Williams@fns.usda.gov Phone: 703-305-2833 Fax: 703-305-2964

Website Address:

<http://www.fns.usda.gov/fdpir/fdpir-nutrition-education-grant-awards>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.567 Food Distribution Program on Indian Reservations

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: 1. Alaska Native Tribal Health Consortium
The Alaska Native Tribal Health Consortium will plan and host cooking contests at nine FDPIR programs throughout the state. The goal selected for the project is Make half your plate fruits and vegetables. Focus on whole fruits and vary your veggies. The focus for the contests will be healthy recipes that combine USDA Foods fruits and vegetables with traditional foods. This unique approach will focus on respecting culturally significant ways of life and food preferences, while balancing it with new, modern ways to eat healthier. The project will involve creating, producing and distributing a cookbook containing the winning recipes to members of more than 300 households that are FDPIR participants or eligible to become FDPIR participants.

2. Zuni Tribe of the Zuni Indian Reservation

The Zuni Tribes Nutrition Technician will conduct nutrition education sessions and food demonstrations that feature fruits and vegetables. Sessions will take place two times a month during the day and once per month during the evening. Basic nutrition education sessions emphasize the benefits of eating fruits and vegetables. Food demonstrations teach participants how to prepare USDA Foods by using healthy recipes. Participants taste the foods demonstrated and are encouraged to use the recipes at home. The program anticipates reaching 20 FDPIR participants for each activity. Fiscal Year 2017: 1. Lac du Flambeau Band of Lake Superior Chippewa Indians
The project will focus on nutrition education and food demonstrations using FDPIR foods. Nutrition education handouts and recipes will be provided to participants. Featured recipes will support many goals from the Dietary Guidelines, including increasing consumption of fruits, vegetables, and whole grains and lowering sodium and saturated fat. Lac du Flambeau Band of Lake Superior Chippewa Indians expects to reach 300 participants at these weekly demonstrations over the course of the year.

2. Spirit Lake Tribe

Spirit Lake's FDPNE project involves continuing a variety of community nutrition education activities, including food demonstrations, cooking classes, youth camps, and garden-based nutrition education. The United Tribes Technical College (UTTC) will serve as a partner by providing technical assistance and professional expertise. Fiscal Year 2018: No Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Applicants submit applications that are responsive to the requirements documented in the Request for Applications. Applications document nutrition project goals that reflect the principles found in the most recent versions of the Dietary Guidelines for Americans and MyPlate. Project designs, implementation plans, and budget requests must reflect the goal(s) selected. Panels of reviewers evaluate and score application components such as project designs, implementation plans, budget appropriateness, and cultural relevance. USDA awards funding based on the panels scores and recommendations.

10.595 FARM TO SCHOOL TRAINING AND TECHNICAL ASSISTANCE

Farm to School Training and Technical Assistance

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Section 243 of the Healthy, Hunger-Free Kids Act of 2010 (HHFKA) amended Section 18 of the Richard B. Russell National School Lunch Act (NSLA) to establish a Farm to School program in order to assist eligible entities, through grants and technical assistance, in implementing farm to school programs that improve access to local foods in eligible schools. , Section 243.

OBJECTIVES:

The Farm to School program (10.575) exists in order to assist eligible entities, through grants and technical assistance, in implementing farm to school programs that improve access to local foods in eligible schools. Training and technical assistance grants allow cooperative agreements to be established for the development and review of training and technical assistance materials, and the delivery of training and technical assistance.

TYPES OF ASSISTANCE:

Provision of Specialized Services; Training

USES AND USE RESTRICTIONS:

The purpose of the Farm to School programs technical assistance activities is to facilitate the coordination and sharing of information and resources in the Department that may be applicable to the farm to school program; to collect and share information on best practices; and to disseminate research and data on existing farm to school programs and the potential for programs in underserved areas."

Applicant Eligibility:

Cooperative Agreements; Dissemination of Technical Information; Training; Materials Review; Materials Creation.

Beneficiary Eligibility:

Eligible entities, and therefore beneficiaries, include schools, Indian Tribal Organizations, non profit organizations, schools, producer and producer groups and State and local agencies. The Farm to School program exists in order to assist eligible entities, through grants and technical assistance, in implementing farm to school programs that improve access to local foods in eligible schools. This program allows cooperative agreements to be established for the development and review of training and technical assistance materials, and the delivery of training and technical assistance.

Credentials/Documentation:

Non profit organizations will be required to submit proof of non profit status. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

A cooperative agreement or contract is executed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

N/A. Method of awarding/releasing assistance: by letter of credit.

Reports:

The project reports are outlined with the terms and conditions of the cooperative agreement or contract. The project reports are outlined with the terms and conditions of the cooperative agreement or contract. Progress reports are not applicable. The project financial reports are outlined with the terms and conditions of the cooperative agreement or contract. The project progress and financial reports are outlined in the terms and conditions of the cooperative agreement or contract.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The audit procedure are outlined in the terms and conditions of the cooperative agreement or contract.

Records:

The awardee must maintain records in accordance with the grant agreement. Such records must be retained for a period of three years after the date of submission of the final report for the fiscal year to which the records pertain.

Account Identification:

12-3539-0-1-605.

Obligations:

(Salaries) FY 16 \$1,000,000; FY 17 est \$0; and FY 18 est \$0 - This funding

was available only in FY 16.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Mieka Sanderson 1400 Independence Ave., Washington, District of Columbia 29815 Email: mieka.sanderson@fns.usda.gov Phone: 631-384-6502

Website Address:

<http://www.fns.usda.gov/farmtoschool/farm-school>

RELATED PROGRAMS:

10.575 Farm to School Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.596 PILOT PROJECTS TO REDUCE DEPENDENCY AND INCREASE WORK REQUIREMENTS AND WORK EFFORT UNDER SNAP

SNAP Employment and Training Pilots

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

The Agricultural Act of 2014, Section 4022, Public Law 113-79, 7 U.S.C 2025(h)(1)(F).

OBJECTIVES:

Pilot projects to reduce dependency and increase work requirements and work effort under SNAP: The purpose of these pilots is to develop and test methods that raise the number of work registrants under section 6(d) of the Food and Nutrition Act of 2008, as amended, who obtain unsubsidized employment, increase the earned income of the registrants, and reduce the reliance of the registrants on public assistance, so as to reduce the need for SNAP benefits.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Act provides funding for ten pilot projects that develop and test methods, including operating work programs with certain features comparable to work programs operated under the TANF program, for employment and training programs to raise the number of SNAP work registrants who obtain unsubsidized employment, increase the earned income of the registrants, and reduce the reliance of the registrants on public assistance. The Act requires an independent, rigorous evaluation to measure the impact of each pilot on participants employment, income, and other measures of well-being to inform implementation of SNAP E&T programs.

In accordance with section 16(h)(4) of the FNA and 7 CFR 273.7(d)(ii), E&T funding, including E&T pilot funds, cannot be used for certification procedures, including determining whether an individual must be work registered, the work registration process, or any further screening performed during the certification process, nor for sanction activities.

Allowable use of funds includes, but is not limited to, personnel costs; training; translation of forms; other supplies; printing, duplication, and dissemination of materials; and technology (both hardware and software) necessary and allocable

for operating the pilot project and/or participating in the evaluation of the pilot project.

Applicant Eligibility:

Only State agencies that currently administer the Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) program are eligible for these grants.

Beneficiary Eligibility:

Only State agencies that currently administer the Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) program are eligible for these grants.

Credentials/Documentation:

The Act requires that State agencies interested in applying must commit to maintain at least the amount of State funding for SNAP E&T programs that they expended in FY 2013 for each year of the pilot. The Act also requires that funds made available through this funding opportunity shall be used only to supplement, not to supplant, non-Federal funds used for existing E&T activities or services. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

FNS pre-screened all applications to ensure that they contained the required documents and information. After the initial screening, FNS convened an evaluation panel to consider the merit of each grant application. Each application that passed initial screening was given to the panel to be evaluated and scored according to how well it addresses each application component. The panel members recommended applications for consideration for a grant award based on the evaluation scoring.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

There is a time restriction; money will no longer be available after 09/30/2018. See the following for information on how assistance is awarded/released: Still under consideration.

Reports:

Program reports are not applicable. Cash reports are not applicable. At a minimum, progress reports should include the following information:

- A narrative description of project progress, tasks completed, and roadblocks or problems;
- Reasons why goals and objectives were not met, if appropriate, particularly at predefined go/no-go decision points, and justification of decision to proceed;
- Discuss the budget impact and/or costs associated within this reporting period;
- List key activities planned for the next report period;
- Findings or activities which may require changes in schedule, accomplishments, or costs, particularly those changes which may impact the

Recipients ability to utilize grant funds within the specified time period;

Other pertinent information including, when appropriate, analysis and explanation of cost overruns; and

Any unique aspects that you would like to share. The Recipient is required to electronically enter the quarterly and final financial status report (SF-425) into the FNS, Food Programs Reporting System (FPRS). This report must be certified by the Recipients chief fiscal officer or an officer of comparable rank.

Included with the terms and conditions are instructions on how to obtain access and enter data into FPRS. This report must be entered within 30 days after the close of each quarter. The Final Financial Report must be entered into FPRS within 90 days of the expiration of this agreement. This report must also be certified by the Recipients chief fiscal officer or an officer of comparable rank. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

12-3505-0-1-605.

Obligations:

(Cooperative Agreements) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 est \$0

Range and Average of Financial Assistance:

The range is \$8,959,379 to \$22,329,952. The grant average is approximately \$17,509,239.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 273.7

Additional publications may be available at:
<http://www.fns.usda.gov/2014-snap-e-t-pilots>

Regional or Local Office:

None.

Headquarters Office:

Moira Johnston 3101 Park Center Dr, 8th floor, Alexandria, Virginia 22303
Email: moira.johnston@fns.usda.gov Phone: 703-305-2022

Website Address:

<http://www.fns.usda.gov/snap>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

- (1) Statement of Need; (2) Project Design; (3) Implementation Plan and Organizational Capacity; (4) Capacity to Support Independent Evaluation; and (5) Application Budget Narrative.

10.600 FOREIGN MARKET DEVELOPMENT COOPERATOR PROGRAM

FMD

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

Agricultural Trade Act of 1978, Title VII, 7 U.S.C. 5721, et seq.

OBJECTIVES:

To create, maintain, and expand long-term export markets for U.S. agricultural products through cost-share assistance and the opportunity to work closely with

FAS and its overseas offices.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Cooperator funds are authorized through program agreements with nonprofit U.S. trade associations, called Cooperators, that provide for partial reimbursement of generic promotional activities in a Unified Export Strategy application approved by the Foreign Agricultural Service (FAS), the agency that administers the program for the Commodity Credit Corporation (CCC). In general, Cooperator funds may be used only in direct support of activities conducted outside the United States. Types of activities that may be funded include trade servicing, market research, and technical assistance to actual or potential foreign purchasers of U.S. commodities.

Applicant Eligibility:

The Commodity Credit Corporation (CCC) enters into agreements with those nonprofit U.S. trade organizations that have the broadest possible producer representation of the commodity being promoted. To be approved, an applicant's proposal must indicate how it can effectively contribute to the creation, expansion, or maintenance of markets abroad. FAS considers a number of factors when reviewing proposed projects. These factors include: (1) The applicant's willingness to contribute resources including cash and goods and services of the U.S. industry and foreign third party; (2) the ability of the organization to provide an experienced U.S.-based staff and with technical and international trade expertise to ensure adequate development, supervision, and execution of the proposed project; (3) the degree to which the proposed project is likely to contribute to the development, expansion, or maintenance of foreign markets; and (4) the degree to which the strategic plan is coordinated with other private or U.S. government-funded market development projects.

Beneficiary Eligibility:

Preference is given to nonprofit U.S. trade organizations which are nationwide in membership and scope.

Credentials/Documentation:

U.S. applicants must prove the ability to provide a competent experienced staff and other resources to assure adequate development, supervision, and execution of promotional activities. Applicants must submit a written export strategy that provides a brief discussion of the commodity for which an agreement is being requested and a description of proposed activities including the foreign countries in which they will be conducted. Applicants must also submit their Internal Revenue Service tax exempt identification number, a Dun and Bradstreet Data Universal Numbering System (DUNS) number, a description of the membership of the applicant organization, a description of the organization's management and administrative capability, the degree to which membership represents national production, an estimate of the level of Government funds required, and the extent to which industry resources are available to match Government expenditures. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each year the availability of funds is announced in a Federal Register notice that will detail the application requirements and deadlines.

Award Procedure:

Applications are reviewed against the allocation criteria and factors set forth in 7 CFR Part 1484 and the Federal Register program announcement. CCC notifies each applicant of the final disposition of its application and also issues a public announcement concerning the allocation of resources among the applicant organizations. Each approved applicant receives a program agreement and an approval letter that specifies any special terms and conditions applicable to a Cooperator's program. Final agreement occurs when both the participating organization and FAS sign the program agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Not Applicable.

Renewals:

Program commitments are made on a program year basis.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Agreements generally include a twelve-month activity period and a provision for program evaluation by an independent third party. Funds awarded in any given fiscal year are typically available for two additional years. See the following for information on how assistance is awarded/released: Each approved applicant receives a program agreement and an approval letter that specifies any special terms and conditions applicable to a Cooperator's program. Final agreement occurs when both the participating organization and FAS sign the program agreement.

Reports:

End of year contribution reports, trip reports, research reports, independent audit reports, and annual program evaluations. No cash reports are required. No progress reports are required. Records of expenditures and contributions and supporting documentation. Cooperators must provide an annual program evaluation that measures and reports progress against the defined performance measures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Cooperator accounts are reviewed periodically, but normally at least every two years, by representatives of the Compliance Review Staff, FAS. Audits and reviews are also conducted sporadically by representatives of the Office of Inspector General and Government Accounting Office. Accounts and records must be available for inspection or audit at any reasonable time.

Records:

No Data Available.

Account Identification:

12-4336-0-3-999.

Obligations:

(Formula Grants (Apportionments)) FY 16 Not Available; FY 17 est \$35,000,000; and FY 18 est \$35,000,000

Range and Average of Financial Assistance:

\$11,000 to \$7,000,000; \$1,243,000.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1484.

Regional or Local Office:

None.

Headquarters Office:

Curt Alt 1400 Independence Ave SW, Washington, District of Columbia 20250
Email: curt.alt@fas.usda.gov Phone: 202-720-4327

Website Address:

<http://www.fas.usda.gov/programs/foreign-market-development-program-fmd>

RELATED PROGRAMS:

10.601 Market Access Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Allocations are made in a manner that effectively support the strategic decision-making initiatives of the Government Performance and Results Act (GPRA) of 1993. In assessing whether the applicant will effectively create, expand, or maintain foreign markets, the following factors are considered: (1) effectiveness of program management; (2) past and present contribution levels; (3) soundness of the strategic plan; (4) past export performance; and (5) past demand expansion performance (6) future demand expansion goals; and (7) accuracy of past demand expansion projections.

10.601 MARKET ACCESS PROGRAM

MAP

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

Agricultural Trade Act of 1978, as amended, Section 203. 7 USC 5623.

OBJECTIVES:

To encourage the creation, maintenance, and expansion of commercial export markets for U.S. agricultural commodities through cost-share assistance to eligible trade organizations that implement a foreign market development program.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Market Access Program (MAP) funds are authorized through program agreements that provide for partial reimbursement of eligible promotional expenses in a Unified Export Strategy application approved by the Foreign Agricultural Service (FAS), the agency that administers the program for the Commodity Credit Corporation (CCC). MAP participants may receive assistance for either generic or brand promotion activities. Program funds help finance activities such as consumer advertising, point of sale demonstrations, public relations, trade servicing activities, participation in trade fairs and exhibits, market research, and technical assistance. The restrictions upon the use of the assistance are detailed in the MAP program regulations at 7 CFR Part 1485.

Applicant Eligibility:

To be approved, applicants must be: (1) A nonprofit U.S. agricultural trade organization; (2) a nonprofit State regional trade group; (3) a U.S. agricultural cooperative; or (4) a State agency.

Beneficiary Eligibility:

CCC will enter into MAP agreements only where the eligible agricultural commodity is comprised of at least 50 percent U.S. origin content by weight, exclusive of added water.

Credentials/Documentation:

Applicants are required to provide a competent, experienced staff and other resources to assure adequate development, supervision, and execution of promotion activities. All applicants must submit a written proposal that provides a brief discussion of the commodity for which assistance is requested; the proposed program with a justification; and a strategic plan. In addition, all applicants must submit a statement certifying that any CCC resources received will supplement, but not supplant, any private or third party funds or other contributions to program activities. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each year the availability of funds is announced in a Federal Register notice. The annual notice details the application requirements and deadlines.

Award Procedure:

Applications are reviewed against the allocation criteria and factors set forth in 7 CFR Part 1485. CCC notifies each applicant of the final disposition of its application and also issues a public announcement concerning the allocation of resources among the applicant organizations. Each approved applicant receives a program agreement and approval letter that specifies any special terms and conditions applicable to a participant's program. Final agreement occurs when both the participating organization and FAS sign the program agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 10%.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements generally include a twelve-month activity period and a provision for program evaluation by an independent third party. Funds awarded in any given fiscal year are typically available for two additional years. See the following for information on how assistance is awarded/released: Each approved applicant receives a program agreement and allocation approval letter that specifies any special terms and conditions applicable to a participant's program. Final agreement occurs when both the participating organization and FAS sign the program agreement.

Reports:

All program Participants must report annual results against their target market and/or regional constraint/opportunity performance measures. No cash reports are required. No progress reports are required. End of year contribution reports are required. A MAP Participant shall maintain its records of expenditures and contributions. All MAP Participants must report annual results against their target market and/or regional constraints/opportunity performance measures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Participant accounts are reviewed as needed, but normally at least every two years, by representatives of the Compliance Review Staff (CRS) of FAS. Audits and reviews are also conducted sporadically by representatives of the Office of Inspector General and the Government Accounting Office. Accounts and records must be available for inspection or audit at any reasonable time.

Records:

No Data Available.

Account Identification:

12-4336-0-3-999.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 16 Not Available; FY 17 est \$200,000,000; and FY 18 est \$200,000,000

Range and Average of Financial Assistance:

From \$22,000 to \$9,611,000; \$1,375,000.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1485.

Regional or Local Office:

None.

Headquarters Office:

Curt Alt 1400 Independence Ave SW, Washington, District of Columbia 20250

Email: curt.alt@fas.usda.gov Phone: 202-720-4327

Website Address:

<http://www.fas.usda.gov/programs/market-access-program-map>

RELATED PROGRAMS:

10.600 Foreign Market Development Cooperator Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Allocations will only be made to applicants that present the best opportunity for developing or expanding export markets for U.S. agricultural commodities. In assessing the applicant, the following factors are considered: (1) effectiveness of program management; (2) soundness of accounting procedures; (3) the nature of the organization; (4) prior export promotion or direct export experience; (5) previous MAP funding and performance; (6) adequacy of the applicant's strategic plan; (7) past and present contribution levels; (8) export goals; and the (9) accuracy of past projected export goals. In providing assistance for brand promotions, priority will be given to small-sized entities. Qualifying products whose composition is less than 50 percent U.S. origin are not eligible.

10.603 EMERGING MARKETS PROGRAM

EMP

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

The Emerging Markets Program is authorized by the Food, Agriculture, Conservation, and Trade Act of 1990 (FACT Act), as amended by the Federal Agriculture Improvement and Reform Act of 1996 (FAIR Act) Section 1542 (d).

OBJECTIVES:

The primary objective of the Emerging Markets Program is to promote, enhance, or expand the exports of U.S. agricultural commodities to overseas emerging markets through cost-share assistance to eligible organizations that implement an Emerging Markets Program. The program supports the activities of U.S. agricultural organizations aimed at enhancing the food and rural business systems of eligible emerging markets.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Emerging Markets Program funds are authorized through project agreements that serve as binding instruments and create a legal obligation on the part of the Commodity Credit Corporation (CCC) to make appropriated funds available to the participant. The agreement creates a cooperative relationship between CCC and the implementor with each side contributing resources to support achievement of mutual goals. Program funds help finance activities such as feasibility studies, market research, sectorial assessments, orientation visits, specialized training, and business workshops. The Program is not intended for projects targeted at end-user consumers. Ineligible activities include in-store promotions, restaurant promotions, branded product promotions, administrative and operational expenses for trade shows and advertising, except in connection

with specific technical assistance activities such as training seminars. The specific restrictions upon the use of the assistance are detailed in the program regulations at 7 CFR 1486.

Applicant Eligibility:

Applicants must be a: (1) U. S. agricultural or agribusiness organization -- nonprofit, trade association, university, consultant group (under certain conditions), (2) State Department of Agriculture, or (3) USDA agency (or other Federal agency involved in agricultural issues) or commercial entities.

Beneficiary Eligibility:

There are 4 types of eligible activities:

(1) Assistance to U.S. individual experts in assessing the food and rural business systems of other countries. This type of EMP project must include all three of the following:

- Conduct an assessment of the food and rural business system needs of an emerging market;
- Make recommendations on measures necessary to enhance the effectiveness of these systems; and
- Identify opportunities and projects to enhance the effectiveness of the emerging market's food and rural business systems.

(2) Travel assistance to enable individuals from emerging markets to travel to the United States so that these individuals can, for the purpose of enhancing the food and rural business systems in their countries, become familiar with U.S. technology and agribusiness and rural enterprise operations by consulting with food and rural business system experts in the United States.

(3) Travel assistance to enable U.S. agricultural producers and other individuals knowledgeable in agricultural and agribusiness matters to travel to emerging markets to assist in transferring their knowledge and expertise to entities in emerging markets. Such travel must be to emerging markets. Travel to developed markets is not eligible under the program even if the traveler's targeted market is an emerging market.

(4) Technical assistance to implement the recommendations, projects, and/or opportunities identified by assistance under (1) above. Technical assistance that does not implement the recommendations, projects, and/or opportunities identified by assistance under (1) above is not eligible under the EMP.

(Proposals that do not fall into one or more of the four categories above, regardless of previous guidance provided regarding the EMP, are not eligible for consideration under the program.)

Credentials/Documentation:

All eligible applicants must submit a written proposal in accordance with the guidelines set forth each year in the Federal Register. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

- Announce
- Accept proposals
- Multi-stage review process (sufficiency review, merit review)
- Award (Agreement put in place).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: non specific cost share is required for non-public entities.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are generally let for a period not to exceed five years. See the following for information on how assistance is awarded/released: EMP is generally operated as a reimbursable program.

Reports:

interim (annual) and final reports are required. Cash reports are not applicable.

Interim (annual) and final. Full cost accounting is required in the final report.

Awardees must report performance against their specified performance measures.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

12-4336-0-3-999.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 est \$7,000,000; and FY 18 est \$7,000,000

Range and Average of Financial Assistance:

Generally grants are for small, focused projects ranging from \$7,000 up to \$500,000.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are at 7 CFR PART 1486

Regional or Local Office:

None.

Headquarters Office:

Lona Carlson-Powell 1400 Independence Ave, SW, Washington, District of Columbia 20050 Email: lona.powell@fas.usda.gov Phone: 2027208557

Website Address:

<http://www.fas.usda.gov/programs/emerging-markets-program-emp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Appropriateness of the Activity, including the ability of the applicant to provide an experienced U.S.-based staff with knowledge and expertise to ensure adequate development, supervision, and execution of the proposed project; the entity's willingness to contribute resources, including cash and goods and services of the U.S. industry, with greater weight given to cash contributions (for private sector proposals only); and the conditions or constraints affecting the level of U.S. exports and market share for the agricultural commodity/product (30%);

Market Impact, including the degree to which the proposed project is likely to contribute to the development, maintenance, or expansion of U.S. agricultural exports to emerging markets; demonstration of how a proposed project will benefit a particular industry as a whole; and the quality of the project's proposed performance measures (50%); and

Completeness and Viability of the proposal along with past program results

and evaluations, if applicable (20%).

10.604 TECHNICAL ASSISTANCE FOR SPECIALTY CROPS PROGRAM

TASC

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

The Technical Assistance for Specialty Crops Program is reauthorized by Section 3203 of the Food, Conservation, and Energy Act of 2008. The program was reauthorized by the Agricultural Act of 2014 (section 3205) which became effective on February 7, 2014.

OBJECTIVES:

The Technical Assistance for Specialty Crops Program is designed to assist U.S. organizations by providing funding for projects that address sanitary, phytosanitary, and technical barriers that prohibit or threaten the export of U.S. specialty crops.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Technical Assistance for Specialty Crops Program funds are authorized through project agreements that include the maximum amount that may be reimbursed and identify terms and conditions pursuant to which the Commodity Credit Corporation (CCC) will reimburse costs. The agreements also outline any specific responsibilities of the participant. Types of activities that may be funded under this program include initial pre-clearance programs, export protocol and work plan support, seminars and workshops, study tours, field surveys, development of pest lists, pest and disease research, database development, reasonable logistical and administrative support, travel and per diem expenses.

Applicant Eligibility:

To be approved, an applicant must be a: (1) U.S. government agency; (2) U.S. State government agency; (3) U.S. non-profit trade association; (4) U.S. university; (5) U.S. agricultural cooperative; (6) U.S. private company or (7) any other U.S. organization.

Beneficiary Eligibility:

The Technical Assistance for Specialty Crops Program is intended to benefit the represented U.S. industry rather than a specific company or brand.

Credentials/Documentation:

Applicants must submit a written proposal which includes, but is not limited to, the following: a brief discussion of the commodity for which funding is requested; a market assessment, including a description of the specific export barrier to be addressed; a description of the activities planned to address the export barrier; and export information that includes performance measures, benchmark performance, and the viability of long-term sales to the market. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each year the availability of funds is publicly announced in a Federal Register notice that details the application requirements and deadlines.

Award Procedure:

Proposals are reviewed against the allocation criteria and factors specified in 7 CFR 1487. The CCC notifies each applicant of the final disposition of its application and also issues a public announcement concerning the allocation of resources among the applicant organizations. Each approved applicant receives a program agreement and allocation approval letter that specifies any special terms and conditions applicable to a participant's program. Final agreement occurs when both the participating organization and FAS sign the program

agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately 30-90 days.

Appeals:

Not Applicable.

Renewals:

Program commitments are made on a program year basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements generally include the project period and a provision for project evaluation. Funds awarded in any given fiscal year are typically available for additional years. See the following for information on how assistance is awarded/released: Each approved applicant receives a program agreement and allocation approval letter that specifies any special terms and conditions applicable to a participant's program. Final agreement occurs when both the participating organization and FAS sign the agreement.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

12-4336-0-3-999.

Obligations:

(Formula Grants (Apportionments)) FY 16 Not Available; FY 17 est \$6,000,000; and FY 18 est \$6,000,000

Range and Average of Financial Assistance:

Projects funded on a project by project basis for up to \$500,000 per year.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1487.

Regional or Local Office:

None.

Headquarters Office:

Lona Carlson-Powell 1400 Independence Ave., SW, Washington, District of Columbia 20050 Email: lona.powell@fas.usda.gov Phone: 2027208557

Website Address:

<http://www.fas.usda.gov/programs/technical-assistance-specialty-crops-tasc>

RELATED PROGRAMS:

10.600 Foreign Market Development Cooperator Program; 10.601 Market Access Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The FAS will consider the following criteria in evaluating proposals: (1) The identification and nature of the specific export barrier and the extent to which the proposal is likely to successfully remove, resolve, or mitigate that barrier; (2) The potential trade impact of the proposed project on market retention, market access, and market expansion, including the potential for expanding

commercial sales in the targeted market; (3) The completeness and viability of the proposal; (4) The applicant organization's ability to provide an experienced staff with the technical and trade experience necessary to economically and effectively execute the proposal; (5) The extent to which the proposal is targeted to an eligible export market in which the United States is generally competitive; (6) The cost of the project and the amount of other (non-TASC) resources specifically dedicated to the project, including cash and goods and services of the U.S. industry and foreign third parties; (7) The degree to which time is essential in addressing the specific export barriers; and, (8) In cases where the CCC receives multiple proposals from different applicants which address essentially the same barrier, the nature of the applicant organization will be taken into consideration, with a greater weight given to those organizations with the broadest base of producer representation.

10.605 QUALITY SAMPLES PROGRAM

QSP

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

The Quality Samples Program is authorized by Section 5(f) of the Commodity Credit Corporation (CCC) Charter Act, 15 U.S.C. 714c(f).

OBJECTIVES:

The Quality Samples Program is designed to encourage the development and expansion of export markets for U.S. agricultural commodities by assisting U.S. entities in providing commodity samples to potential foreign importers to promote a better understanding and appreciation for the high quality of U.S. agricultural commodities.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Quality Samples Program funds are authorized through project agreements that include the maximum amount that may be reimbursed and identify terms and conditions pursuant to which CCC will reimburse costs. Under the QSP, participants may be reimbursed for certain costs of purchasing and transporting commodity samples. Although providing technical assistance is required for all projects, costs of providing the actual technical assistance is not reimbursed under the QSP.

Applicant Eligibility:

To be approved, an applicant must be a U.S. private or government entity.

Beneficiary Eligibility:

The Quality Samples Program is intended to benefit a represented U.S. industry rather than a specific company or brand.

Credentials/Documentation:

Applicants must submit a written proposal which includes, but is not limited to, the following: a description of the organization and its membership; a description of the organization's prior export promotion experience; a description of the organization's experience in implementing an appropriate trade/technical assistance component; an assessment of the market; a long-term strategy in the market; amount of funding requested; a brief description of the specific market development trade constraint or opportunity to be addressed by the project; a sample description; and the importer's role in the project regarding handling and processing the commodity samples. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each year the availability of funds is announced in a Federal Register notice that details the program application requirements and deadlines.

Award Procedure:

Proposals are reviewed against the allocation criteria and factors specified in the Federal Register notice. CCC notifies each applicant of the final disposition of its application and also issues a public announcement concerning the allocation of resources among the applicant organizations. Each approved applicant receives a program agreement and allocation approval letter that specifies any special terms and conditions applicable to a participant's program. Final agreement occurs when both the participating organization and FAS sign the program agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30-90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Although highly encouraged, financial and in-kind support from the participant is not required. Such contributions may be in the form of cash or goods or services.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Agreements generally include a twelve-month promotional effort and a requirement for program evaluation. Funds awarded in any given fiscal year may be made available for a longer period. See the following for information on how assistance is awarded/released: Reimbursement claims are submitted to claim reimbursement of costs associated with completing approved projects. Evaluation reports are submitted within 90 days of expiration of the agreement. The Quality Samples Program is a reimbursable program whereby the applicant incurs the expense and is reimbursed later.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

12-4336-0-3-999.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 est \$1,200,000; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

range \$15,000 to \$75,000.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Lona Carlson-Powell 1400 Independence Ave, SW, Washington, District of Columbia 20050 Email: lona.powell@fas.usda.gov Phone: 2027208557

Website Address:

<http://www.fas.usda.gov/programs/quality-samples-program-qsp>

RELATED PROGRAMS:

10.600 Foreign Market Development Cooperator Program; 10.601 Market Access Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

FAS uses the following criteria when evaluating QSP proposals: (1) the ability of the organization to provide an experienced staff with the requisite technical and trade experience to execute the proposal; (2) the extent to which the proposal is targeted to a market in which the United States is generally competitive; (3) the potential for expanding commercial sales in the proposed market; (4) the nature of the specific market constraint or opportunity involved and how well it is addressed by the proposal; (5) the extent to which the importer's contribution in terms of handling and processing enhances the potential outcome of the project; (6) the amount of reimbursement requested and the organization's willingness to contribute resources, including cash and goods and services of the U.S. industry and foreign third parties; and (7) and how well the proposed technical assistance component assures that performance trials will effectively demonstrate the intended end-use benefit.

10.606 FOOD FOR PROGRESS

FFPr

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

Food for Progress Act of 1985, Title XI, Part A, Section 1110, Public Law 99-198, 99 Stat. 1472, 7 U.S.C 1736o, as amended by Food, Agriculture, Conservation, and Trade Act of 1990, Title XV, Part A, Section 1516;1572, Public Law 101-624, 104 Stat. 3663;3702, 7 U.S.C 1736o, as amended by Omnibus Trade and Competitiveness Act of 1988, Title IV, Part B, Section 4303, Public Law 100-418, 102 Stat. 1397, 7 U.S.C 1736o, as amended by Food, Agriculture, Conservation, and Trade Act Amendments of 1991, Title III, Section 335, Public Law 102-237, 105 Stat. 1859, 7 U.S.C 1736o, as amended by Freedom for Russia and Emerging Eurasian Democracies and Open Markets Support Act of 1992, Title VII, Section 701, Public Law 102-511, 106 Stat. 3348, 7 U.S.C 1736o, as amended by Food, Conservation, and Energy Act of 2008, Title III, Part A:B, Section 3001;3014;3105, Public Law 110-246, 122 Stat. 1820;1826;1833, 7 U.S.C 1736o, as amended by Agricultural Act of 2014, Title III, Part C, Section 3201, Public Law 113-79, 128 Stat. 779, 7 U.S.C 1736o, as amended by Consolidated Appropriations Resolution, 2003, Title A-VII, Section 745, Public Law 108-7, 117 Stat. 44, 7 U.S.C 1736o, as amended by Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 1999, Title XI, Part C, Section 1125, Public Law 115-277, 112 Stat. 2681-45, 7 U.S.C 1736o, as amended by Federal Agriculture Improvement and Reform Act of 1996, Title II, Part A:C, Section 227;265, Public Law 104-127, 110 Stat. 962;974, 7 U.S.C 1736o, as amended by Farm Security and Rural Investment Act of 2002, Title III, Section 3009;3106, Public Law 107-171, 116 Stat. 283;291, 7 U.S.C 1736o.

OBJECTIVES:

The Food for Progress program provides for the donation of U.S. agricultural commodities to developing countries and emerging democracies that are committed to introducing or expanding free enterprise in their agricultural economies. Donated commodities are sold in the recipient countries and the proceeds of the monetization are used to fund agricultural development activities. Food for Progress has two high-level strategic objectives: (1) increase agricultural productivity and (2) expand trade of agricultural products (domestically, regionally, and internationally).

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements); SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

Examples of past Food for Progress projects include training farmers in animal and plant health and improved farming methods; developing road and utility systems, establishing producer cooperatives, providing microcredit, and developing agricultural value chains. All activities under the program must be implemented in accordance with the terms of the Food for Progress regulations,

7 CFR 1499.

Applicant Eligibility:

A private voluntary organization, a nonprofit agricultural organization or cooperative, a nongovernmental organization, or any other private entity is eligible to submit an application under this part to become a recipient under the Food for Progress Program. CCC will set forth specific eligibility information, including any factors or priorities that will affect the eligibility of an applicant or application for selection, in the full text of the applicable notice of funding opportunity posted on the U.S. Government web site for grant opportunities.

Beneficiary Eligibility:

The Food for Progress programs focuses on developing countries and emerging democracies that are committed to introducing or expanding free enterprise in their agricultural economies.

Credentials/Documentation:

In determining whether an entity will be eligible to be a participant, FAS may consider the entity's previous compliance or noncompliance with the provisions of 7 CFR 1499. FAS may consider matters such as whether the entity corrected deficiencies in the implementation of an agreement in a timely manner and whether the entity has filed timely and accurate reports and other submissions that are required to be filed with FAS and other agencies of the United States. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application process is described in 7 CFR 1499.4. Applications are submitted to FAS via an online application found on this Web site [<https://apps.fas.usda.gov/fais/public>]. Organizations are required to pre-register for user credentials in order to apply.

Award Procedure:

All applications are reviewed for completeness and then given to a technical review committee for a competitive review. After FAS approves an applicant's proposal, FAS will develop an agreement in consultation with the applicant. The agreement will set forth the obligations and responsibilities of CCC and the participant. A participant must agree to and comply with the terms of the agreement to receive assistance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements normally include program implementation periods of 3-5 years. Method of awarding/releasing assistance: quarterly.

Reports:

Semi-annual program reports are required. No cash reports are required. Semi-annual progress performance reports are required. Semi-annual financial reports are required. A baseline study, interim project evaluation and a final project evaluation are required and must be performed by a third party.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Program participants shall retain records and permit access to records for 3 years after the project end date in accordance with the requirements of 2 CFR 200.333.

Account Identification:

12-4336-0-3-999.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 16 \$161,362,494; FY 17 est \$141,200,000; and FY 18 est \$166,000,000

Range and Average of Financial Assistance:

Food for Progress cooperative agreements generally have 3-5-year implementation periods and awards ranging from \$20-30 million.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Food for Progress regulations are 7 CFR 1499 and contain references to other applicable regulations for this program

Regional or Local Office:

None.

Headquarters Office:

Benjamin Mustovitz 1400 Independence Ave S.W; Stop 1030, Washington, District of Columbia 20250 Email: PPDED@fas.usda.gov Phone: 202-720-4221

Website Address:

<http://www.fas.usda.gov/programs/food-progress>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based upon assessed need, technical and programmatic merit, organizational background, competence and experience, past performance and financial plan.

10.608 FOOD FOR EDUCATION

McGovern-Dole International Food for Education and Children Nutrition Program

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

Farm Security and Rural Investment Act of 2002, Title III, Part B, Section 3107, Public Law 107-171, 116 Stat. 295, 7 U.S.C 1736o-1, as amended by Food, Conservation, and Energy Act of 2008, Title III, Part A:B, Section 3001;3106, Public Law 110-246, 122 Stat. 1820;1833, 7 U.S.C 1736o-1, as amended by Agricultural Act of 2014, Title III, Part C, Section 3204, Public Law 113-79, 128 Stat. 780, 7 U.S.C 1736o-1.

OBJECTIVES:

The key objectives of the McGovern-Dole Program are improved health and dietary practices and improved literacy of school-age children. By providing school meals, teacher training, and related support, the McGovern-Dole Program increases school enrollment and improves academic performance.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements); SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

This program provides for the donation of agricultural commodities and the provision of financial and technical assistance to improve the education, food security and health of school-age children, especially girls, in developing countries. All activities under the program must be implemented in accordance with the terms of the McGovern-Dole Program regulations, 7 CFR 1599.

Applicant Eligibility:

FAS will set forth specific eligibility information, including any factors or priorities that will affect the eligibility of an applicant or application for selection, in the full text of the applicable notice of funding opportunity posted on the U.S. Government web site for grant opportunities.

Beneficiary Eligibility:

The McGovern-Dole Program focuses on developing countries in which the national government of the recipient country is fully committed to achieving the goals of the World Declaration on Education for All and is taking steps to improve the quality and availability of education.

Credentials/Documentation:

In determining whether an entity will be eligible to be a participant, FAS may consider the entity's previous compliance or noncompliance with the provisions of 7 CFR 1599. FAS may consider matters such as whether the entity corrected deficiencies in the implementation of an agreement in a timely manner and whether the entity has filed timely and accurate reports and other submissions that are required to be filed with FAS and other agencies of the United States. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are submitted via an online application found at [<https://apps.fas.usda.gov/fais/public>]. Organizations are required to pre-register for user credentials before applying at this site. The application process is described in 7 CFR 1599.4

Award Procedure:

All applications are reviewed for completeness and then given to a technical review committee for a competitive review. After FAS approves an applicant's proposal, FAS will develop an agreement in consultation with the applicant. The agreement will set forth the obligations of FAS and the participant. A participant must comply with the terms of the agreement to receive assistance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements normally include program implementation periods of 35 years. Method of awarding/releasing assistance: quarterly.

Reports:

Semi-annual program reports are required. No cash reports are required. Semi-annual performance reports are required. Semi-annual financial reports are required. A baseline, interim evaluation and a final evaluation are required, and must be performed by a third party.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Program participants shall retain records and permit access to records for three years after program end date in accordance with the requirements of 7 CFR 3019.53.

Account Identification:

12-2903-0-1-151.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 16 \$225,955,350; FY 17 est \$248,369,695; and FY 18 est \$0 - At this time, funding is not anticipated for this program in FY2018. This page will be updated if funding becomes available.

Range and Average of Financial Assistance:

FAS encourages proposals for 5-year implementation periods, which are expected to range from \$20-35 million.

TAFS Codes:

12-2903.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

McGovern-Dole Program regulations are 7 CFR 1599 and contain references to other applicable regulations for this program.

Regional or Local Office:

None.

Headquarters Office:

Benjamin Mustovitz 1400 Independence Ave S.W; Stop 1030, Washington, District of Columbia 20250 Email: PPDED@fas.usda.gov Phone: 202-720-4221

Website Address:

<https://www.fas.usda.gov/programs/mcgovern-dole-food-education-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based upon assessed need, technical and programmatic merit, organizational background, competence and experience, past performance and financial plan.

10.610 EXPORT GUARANTEE PROGRAM

The GSM-102 Program

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

OMB 7 CFR 1493; Agricultural Trade Act of 1978, Executive Order 7 U.S.C. 5602, 5622, 5661, 5662, 5663, 5664, 5676; 15 U.S.C. 714b(d), 714c(f). Executive Order 13175, Title 7, Part 1493, Section A, 15 U.S.C 714.

OBJECTIVES:

The U.S. Department of Agriculture's (USDA) Export Credit guarantee Program (GSM-102) provides credit guarantees to encourage financing of commercial exports of U.S. agricultural products, while providing competitive credit terms to buyers. By reducing financial risk to lenders, credit guarantees encourage exports to buyers in countries, mainly developing countries that have sufficient financial strength to have foreign exchange available for scheduled payments. The program guarantees credit extended by the private banking

sector in the U.S. (or, less commonly, by the U.S. exporter) to approved foreign banks using dollar-denominated, irrevocable letters of credit for purchases of U.S. food and agricultural products by foreign buyers. USDA's Foreign Agricultural Service (FAS) administers the program on behalf of the Commodity Credit Corporation (CCC), which issues the credit guarantees. GSM 102 covers credit terms of up to three years; maximum terms may vary by country.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

CCC guarantees payments due from approved foreign banks to exporters or financial institutions in the U.S. However, the financing must be obtained through normal commercial sources. Typically, 98 percent of principals and a portion of interest are covered by a guarantee. Because payment is guaranteed, financial institutions in the U.S. can offer competitive credit terms to the foreign banks, usually with interest rates based on the London Inter Bank Offered Rate (LIBOR). Any follow-up on credit arrangements between the foreign bank and the importer are negotiated separately and are not covered by the CCC guarantee.

Applicant Eligibility:

Export Credit guarantee Program (GSM-102) provides credit guarantees to encourage financing of commercial exports of U.S. agricultural products, while providing competitive credit terms to buyers. By reducing financial risk to lenders, credit guarantees encourage exports to buyers in countries, mainly developing countries, that have sufficient financial strength to have foreign exchange available for scheduled payments. This Program provides guarantees to lenders.

Beneficiary Eligibility:

Exporters or the exporters assignee are the direct beneficiaries and must meet the applicant eligibility requirements. Interested parties, including U.S. exporters, foreign buyers, banks, may request that the CCC establish a GSM-102 program for a country or region. Prior to announcing the availability of guarantees, the CCC evaluates the ability of each country and foreign bank to service CCC guaranteed debt.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. CCC must qualify exporters for participation before accepting guarantee applications. An exporter must have a business office in the U.S. and must not be debarred or suspended from any U.S. government program. Financial institutions must meet established criteria and be approved by CCC. CCC sets limits and advises each approved foreign bank on the maximum amount CCC will guarantee for that bank. The

Award Procedure:

The CCC-approved foreign bank issues a dollar-denominated, irrevocable letter of credit in favor of the U.S. exporter, ordinarily advised or confirmed by the financial institution in the U.S. agreeing to extend credit to the foreign bank. The U.S. exporter may negotiate an arrangement to be paid as exports occur by assigning to the U.S. financial institution to the right to process that may become payable under the CCC's guarantee. Under this arrangement, the exporter would also provide transaction-related documents required by the financial institution, including a copy of the export report, which must also be submitted to CCC.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Please contact the headquarters or regional office for additional approval information.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7, Chapter 14, Part 1493. CCC will review documents submitted under a claim. If all information and documents are in good order and meet the requirements of the regulations, CCC will pay to the exporter (or the exporter's assignee) the lesser of 1) the guarantee value stated in the payment guarantee, plus eligible interest; 2) the guaranteed percentage, indicated in the payment guarantee, of the exported value as indicated in the Evidence of Export, plus eligible interest.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The payment guarantee applies to a credit period established in the application, and coverage begins either on the date(s) of export or the date when interest begins to accrue, whichever is earlier. Coverage will continue during the credit term specified in the payment guarantee. However, the payment guarantee does not become effective until the date of export. Method of awarding/releasing assistance: by letter of credit.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Recipients under this program are subject to audit by the Office of the Regional Inspector General, U.S. Department of Agriculture.

Records:

For CCC audit purposes, the U.S. exporter must obtain documentation to show that the commodity arrived in the eligible country, and the exporter or the exporter's assignee must maintain all transaction documents for five years from the date of completion of all payments.

Account Identification:

12-1336-0-1-351.

Obligations:

(Guaranteed/Insured Loans) FY 16 \$6,748,000,000; FY 17 est \$6,735,000,000; and FY 18 est \$6,735,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Announcements issued by the Foreign Agricultural Service provide information on specific country and commodity allocations, length of credit period, and other program information and requirements.

Regional or Local Office:

See Regional Agency Offices. For more information, contact: Director, Credit Programs Division, Office of Trade Programs FAS/USDA, Stop 1025, 1400 Independence Ave SW Washington DC 20250-1025.

Headquarters Office:

Bradley Hoppe 1400 Independence Ave SW Washington DC 20250 Stop 1025, Washington, District of Columbia 20250 Email: Brad.Hoppe@fas.usda.gov Phone: 2027209389

Website Address:

<http://www.fas.usda.gov/programs/export-credit-guarantee-program-gsm-102>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.612 USDA LOCAL AND REGIONAL FOOD AID PROCUREMENT PROGRAM

Local and Regional Food Aid Procurement Program

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Title III, Part C, Section 3206, Public Law 110-246, 122 Stat. 1840, 7 U.S.C 1726c, as amended by Agricultural Act of 2014, Title III, Part C, Section 3207, Public Law 113-79, 128 Stat. 780, 7 U.S.C 1726c.

OBJECTIVES:

Under the U.S. Department of Agriculture (USDA) Local and Regional Food Aid Procurement Program (USDA LRP program), recipients use FAS-provided funds to purchase eligible commodities in developing countries and pay for associated administrative and operational costs related to the implementation of field-based projects in a foreign country pursuant to an agreement with FAS. Funds provided by FAS under the USDA LRP program may be used to provide food assistance in the form of development assistance, an emergency response, or both through a field-based project. Field-based projects intended to provide development assistance will be implemented for a period of not less than one year. Food assistance may be provided under the USDA LRP program through local and regional procurement, food vouchers, and cash transfers.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Field-based projects that provide development assistance and emergency relief using locally procured commodities. Resources may be used only in accordance with the regulations of the USDA LRP Programs at 7 CFR Part 1590.

Applicant Eligibility:

Private voluntary organizations or cooperatives are eligible to participate. Factors or priorities that affect the eligibility of the applicant/application may be found in the full text of the applicable notice of funding opportunity. Priority consideration may be given to eligible entities that have, or are working toward, projects under the Food for Education (McGovern-Dole International Food for Education and Child Nutrition) Program established under section 3107 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 1736o1).

Beneficiary Eligibility:

Only food-insecure populations in developing countries are eligible beneficiaries under the USDA LRP Program.

Credentials/Documentation:

A full list of application documentation is provided in 7 CFR Subtitle B (Regulations of the Department of Agriculture) Chapter XV (Foreign Agricultural Service) Part 1590, under Application process. In determining whether an entity will be eligible to be a participant, FAS may consider the entity's previous compliance or noncompliance with the provisions of the applicable regulations for agreements implemented under other USDA food assistance programs as well as the terms and conditions of those agreements. FAS may consider matters such as whether the entity corrected deficiencies in the implementation of an agreement in a timely manner and whether the entity has filed timely and accurate reports and other submissions that are required to be filed with FAS and other agencies of the United States. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are submitted via an online application found at [https://apps.fas.usda.gov/fais/public]. Organizations are required to pre-register for user credentials before applying at this site. The application process is described in 7 CFR 1590.4

Award Procedure:

All applications are reviewed for completeness and then given to a technical review committee for a competitive review. After FAS approves an applicant's proposal, FAS will develop an agreement in consultation with the applicant. The agreement will set forth the obligations of FAS and the participant. A participant must comply with the terms of the agreement to receive assistance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements normally include assistance or program implementation periods of 1-2 years. Method of awarding/releasing assistance: lump sum.

Reports:

Semi-annual program reports are required. No cash reports are required. Semi-annual performance reports are required. Semi-annual financial reports are required. A baseline assessment, an interim evaluation and a final evaluation are required for development assistance programs. Requirements for baseline assessments and interim and final evaluations for emergency programs will be specified in the agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Program participants shall retain all project documentation and records and permit access to these for a period of 3 years after final financial report has been submitted in accordance with the requirements of 2 CFR 200.333.

Account Identification:

12-2903-0-1-151.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$5,000,000; FY 17 est \$5,000,000; and FY 18 est \$0 - Funding for this program is not anticipated for FY2018. This page will be updated if funding becomes available.

Range and Average of Financial Assistance:

Individual applications may be valued between \$1 and \$2 million.

TAFS Codes:

12-2903-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Local and Regional Food Aid Procurement program regulations can be found at 7 CFR 1590.

Regional or Local Office:

None.

Headquarters Office:

Benjamin Mustovitz 1400 Independence Ave S.W; Stop 1030, Washington, District of Columbia 20250 Email: PPDED@fas.usda.gov Phone: 202-720-4221

Website Address:

<http://www.fas.usda.gov/programs/local-and-regional-food-aid-procurement>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities determined by the Foreign Agricultural Service's Office of Capacity Building and Development (FAS/OCBD) program area and its collaborators, especially the U.S. Agency for International Development (USAID), Department of State (DOS) and Department of Defense (DOD). Contact FAS/OCBD for additional information. This program does not fund unsolicited proposals.

10.613 FACULTY EXCHANGE PROGRAM

Faculty Exchange Program

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Act of 1977, as amended, Title XIV, Part I, Section 1458, Public Law 95-113, 91 Stat. 1015, 7 U.S.C 3291.

OBJECTIVES:

The Faculty Exchange Program assists developing countries to improve their university agricultural education, research and extension programs by providing a one semester training program at U.S. Land Grant Agricultural Universities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

No funding is available for unsolicited proposal. Faculty Exchange Program funds are used to provide participant training at Land Grant Universities in the U.S.

Applicant Eligibility:

The Faculty Exchange Program solicits proposals from public universities and state cooperative institutions in the United States.

Beneficiary Eligibility:

Technical assistance and training provided through these agreements benefit foreign universities and related agricultural institutions in their countries.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notices of Funding Opportunity will be distributed through the USDA/NIFA Office of International Programs and on the USDA ezFedGrants portal at <https://grants.fms.usda.gov>. They will not be posted on grants.gov.

Award Procedure:

All proposals are evaluated by technical specialists on an ongoing basis, which rate the qualifications of applicants and merits of the proposals. Selection criteria will be published with each individual request for application to participate in the program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Extensions may be authorized.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is phased according to the requirements of individual projects. Method of awarding/releasing assistance: quarterly.

Reports:

Mentor reports and participant reports are required. No cash reports are required. Periodic program progress reports are required. Federal Financial Reports (SF-425), are required quarterly, and a final financial report is required. Mentor and Participants must submit final reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients must maintain all program and financial records for a period of 3 years after their final reports are submitted.

Account Identification:

12-2900-0-1-352.

Obligations:

(Direct Payments for Specified Use) FY 16 \$714,881; FY 17 est \$915,000; and FY 18 est \$600,000

Range and Average of Financial Assistance:

Approximately \$10000 - \$45000 per participant.

TAFS Codes:

12-2900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Timothy Sheehan 1400 Independence Ave. SW #3226, Stop 1030, Washington, District of Columbia 20250 Email: tim.sheehan@fas.usda.gov Phone: 202-690-1940

Website Address:

<https://www.fas.usda.gov/programs/faculty-exchange-program>

RELATED PROGRAMS:

10.777 Norman E. Borlaug International Agricultural Science and Technology

Fellowship

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Office of Capacity Building and Development program area (FAS/OCBD), in consultation with collaborating agencies and organizations. Contact FAS/OCBD for additional information. While this program does not fund unsolicited proposal, interested parties are welcome to submit statements of capability and expressions of interest.

10.614 SCIENTIFIC COOPERATION EXCHANGE PROGRAM WITH CHINA

SCEP

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, Title XIV, Part I, Section 1458, Public Law 95-113, 91 Stat. 1015, 7 U.S.C 3291.

OBJECTIVES:

To facilitate mutual cooperation in agricultural research, education, and extension between the United States and China.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Funds may be used for direct costs of conducting approved agricultural exchanges. Allowable expenses include travel for research, extension, training, and indirect costs associated with hosting Chinese Exchange Visitors.

Applicant Eligibility:

U.S. Institutions of higher-learning, and public and private nonprofit organizations whose primary purpose is agriculture, natural resources management and/or rural development (including those located in U.S. territories).

Beneficiary Eligibility:

U.S. institutions from the public, private, and academia sectors.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notices of Funding Opportunity will be distributed through the USDA/NIFA Office of International Programs and on the USDA ezFedGrants portal at <https://grants.fms.usda.gov>. They will not be posted on grants.gov.

Award Procedure:

All proposals are evaluated by technical specialists who rate the qualifications of applicants and the merits of the proposals. Selection criteria will be published with each individual Notice of Funding Opportunity.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Short term exchange visits for approximately two weeks. Method of awarding/releasing assistance: lump sum.

Reports:

Participants must submit a final report. No cash reports are required. Participants must submit a final progress performance report. Federal Financial Reports (SF-425) are required quarterly. Participants must submit a final financial report. Participants must include a monitoring and evaluation component in the proposal. Monitoring and evaluation varies per project. Participants must submit a final report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients must maintain all program and financial records for a period of 3 years after the final report.

Account Identification:

12-2900-0-1-352.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 16 \$371,979; FY 17 est \$385,000; and FY 18 est \$385,000

Range and Average of Financial Assistance:

Exchange visits last approximately two weeks. Average cost per participant is \$6,800.

TAFS Codes:

12-2900-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Timothy Sheehan 1400 Independence Ave. SW #3226, Stop 1030, Washington, District of Columbia 20250 Email: tim.sheehan@fas.usda.gov Phone: 202-690-1940

Website Address:

<http://www.fas.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Office of Capacity Building and Development program area (FAS/OCBD), in consultation with collaborating agencies and organizations. Contact FAS/OCBD for additional information. While this program does not fund unsolicited proposals, interested parties are welcome to submit statements of

capability and expressions of interest.

10.615 PIMA AGRICULTURE COTTON TRUST FUND

Pima Agriculture Cotton Trust Fund

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

Agricultural Act of 2014, Title 7, Part C, Section 12314, Public Law 113-79, 128 Stat. 993.

OBJECTIVES:

The Agricultural Act of 2014 establishes the Pima Agriculture Cotton Trust Fund to reduce the injury to domestic manufacturers resulting from tariffs on cotton fabric that are higher than tariffs on certain apparel articles made of cotton fabric.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Unrestricted use. For example, payments could be used to pay the salaries of the eligible claimant's employees.

Applicant Eligibility:

Payments to reduce the injury to domestic manufacturers resulting from tariffs on cotton fabric that are higher than tariffs on certain apparel articles made of cotton fabric.

Beneficiary Eligibility:

Beneficiaries limited to: one or more nationally recognized associations established for the promotion of pima cotton in apparel; yarn spinners of pima cotton that produce ring spun cotton yarns in the United States, and manufacturers who cut and sew cotton shirts in the United States who certify that they used imported cotton fabric during calendar year 2013.

Credentials/Documentation:

Per Section 12314 of the Agricultural Act of 2014 eligible claimants must file notarized affidavits as specified under subsection (c) for yarn spinners and subsection (d) for manufacturers who cut and sew cotton shirts. Additionally, these affidavits must be filed by the deadlines specified under subsection (e) in order to be eligible for payment. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Not applicable

Award Procedure:

Per Section 12314 of the Agricultural Act of 2014 eligible claimants must file notarized affidavits as specified under subsection (c) for yarn spinners and subsection (d) for manufacturers who cut and sew cotton shirts. Additionally, these affidavits must be filed by the deadlines specified under subsection (e) in order to be eligible for payment. Once eligibility has been established USDA will disburse payment to the claimant.

Deadlines:

Mar 15, 2018 to Apr 15, 2018

Range of Approval/Disapproval Time:

From 15 to 30 days. Per Section 12314 of the Agricultural Act of 2014 any person required to provide an affidavit under this program for calendar years 2015 through 2018 must file not later than March 15 of that calendar year.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Yarn Spinners must maintain supporting documentation showing the quantity of such yarns produced, and evidencing the yarns as ring spun cotton yarns, measuring less than 83.33 decitex (exceeding 120 metric number), in single and plied form during calendar year 2013.

Shirting manufacturers must maintain invoices along with other supporting documentation (such as price lists and other technical descriptions of the imported fabric qualities) showing the dollar value of such fabric purchased, the date of purchase, and evidencing the fabric as woven cotton fabric of 80s or higher count and 2-ply in warp.

Account Identification:

12-5635-0-2-351 - Pima Agriculture Cotton Trust Fund.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$16,000,000; FY 17 est \$16,000,000; and FY 18 est \$16,000,000

Range and Average of Financial Assistance:

Range: \$273,000 to \$4,285,000.
Average: \$1,800,000.

TAFS Codes:

12-5635.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register Notice by the Foreign Agricultural Service
Title: Pima Agricultural Cotton Trust Fund
Document Citation:79 FR 25096
Page: 25096 -25098 (3 pages)
Document Number:2014-09996
Publication Date: Friday, May 02, 2014
URL: <https://federalregister.gov/a/2014-09996>

Regional or Local Office:

None.

Headquarters Office:

Peter W. Burr 1400 Independence Ave., SW
, Washington, District of Columbia 20250 Email: pete.burr@fas.usda.gov
Phone: 2027203274

Website Address:

<http://www.fas.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.616 AGRICULTURE WOOL APPAREL MANUFACTURERS TRUST FUND

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

Agricultural Act of 2014, Title 7, Part C, Section 12315, Public Law 113-79, 128 Stat. 995-997.

OBJECTIVES:

The Agricultural Act of 2014 establishes the Agriculture Wool Apparel Manufacturers Trust Fund to reduce the injury to domestic manufacturers resulting from tariffs on wool fabric that are higher than tariffs on certain apparel articles made of wool fabric.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Unrestricted use. For example, payments could be used to pay the salaries of the eligible claimant's employees.

Applicant Eligibility:

Payments to reduce the injury to domestic manufacturers resulting from tariffs on wool fabric that are higher than tariffs on certain apparel articles made of wool fabric.

Beneficiary Eligibility:

Beneficiaries limited to: each eligible manufacturer under paragraph (3) of section 4002(c) of the Wool Suit and Textile Trade Extension Act of 2004 (Public Law 108429; 118 Stat. 2600), as amended by section 1633(c) of the Miscellaneous Trade and Technical Corrections Act of 2006 (Public Law 109280; 120 Stat. 1166) and section 325(b) of the Tax Extenders and Alternative Minimum Tax Relief Act of 2008 (division C of Public Law 110343; 122 Stat. 3875), and any successor-in-interest to such a manufacturer as provided for under paragraph (4) of such section 4002(c).

Credentials/Documentation:

Per Section 12315 of the Agricultural Act of 2014 eligible claimants must file notarized affidavits as specified under subsection (b) "Distribution of Funds." This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Per Section 12315 of the Agricultural Act of 2014 eligible claimants must file notarized affidavits as specified under subsection (b)(2).

Deadlines:

Mar 01, 2016 to Mar 01, 2019: Wool Duty Refund Program (calendar years 2016 to 2019). See Agricultural Act of 2014 Section 12314, subsection (b)(2) for additional details.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

See specific record keeping requirements for each eligible manufacturer (and any successor-in-interest to such a manufacturer) under paragraph (3) of section 4002(c) of the Wool Suit and Textile Trade Extension Act of 2004 (Public Law 108429; 118 Stat. 2600), as amended by section 1633(c) of the Miscellaneous Trade and Technical Corrections Act of 2006 (Public Law 109280; 120 Stat. 1166) and section 325(b) of the Tax Extenders and Alternative Minimum Tax Relief Act of 2008 (division C of Public Law 110343; 122 Stat. 3875).

Account Identification:

12-5636-0-2-351.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$30,000,000; FY 17 est \$30,000,000; and FY 18 est \$30,000,000 - Approximately \$11 million specifically for catch up payments to cover funding shortfalls under the Customs and Border Protection Wool Duty Refund program in calendar years 2010, 2011, and 2014.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-5636.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register Documents: Publication Title: Federal Register Volume 66, Issue 78 (April 23, 2001) 66 FR 20392; Amendment to Wool Duty Refund Program (Customs and Border; Protection Wool Duty Refund Program); Publication Date: Monday, April 23, 2001. Publication Title: Federal Register Volume 76, No. 153 (Tuesday, August 9, 2011); Grants to Manufacturers of Certain Worsted Wool Fabrics; Publication Date: Tuesday, August 09, 2011. Paperwork Reduction Act Documents: Refund of Duties Paid on Imports of Certain Wool Products (Customs and Border Protection Wool Duty Refund Program); OMB Control No: 1515-0227; ICR Reference No: 200010-1515-001; Previous ICR Reference No: 200303-1651-08; Publication Date: Monday, March 9, 2015. Publication Title: Federal Register Volume 80, No. 45. Final Rule; Pima Agriculture Cotton Trust Fund and Agriculture Wool Apparel Manufacturers Trust Fund, Federal Register Volume 81, No. 223 (Friday, November 18, 2016) 81657.

Regional or Local Office:

None.

Headquarters Office:

Peter W. Burr 1400 Independence Ave., SW, Washington, District of Columbia 20250 Email: pete.burr@fas.usda.gov Phone: 202-720-3274

Website Address:

<http://www.fas.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.652 FORESTRY RESEARCH

Research Grants & Cooperative Agreements

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Forest and Rangeland Renewable Resources Research Act of 1978, Public Law 95-307, 92 Stat. 353, 16 U.S.C. 1643.

OBJECTIVES:

To extend fundamental research activities of the Forest Service by awarding grants to nonprofit organizations, institutions of higher education, and organizations engaged in renewable resources research.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements will be used for research in the fields of forest management, watershed management, forest range management, wildlife habitat management, forest recreation, forest fire protection, forest insect and disease protection and control, forest products utilization, forest engineering, forest production economics, forest products marketing, forest survey, urban forestry, wilderness, and social/cultural influences. Indirect expenses, when permitted by statute, will be reimbursed according to the negotiated indirect cost rate established by the Government's cognizant audit agency. Grants and Cooperative Agreements are limited to 5 years duration.

Applicant Eligibility:

Grants and cooperative agreements for basic or applied research may be made to State Agricultural Experiment Stations, universities and colleges, State and local governments, U.S. Territories, nonprofit research institutions or organizations, international organizations, individuals, and for-profit organizations.

Beneficiary Eligibility:

Organizations and scientists involved in basic and applied research activities related to forest and rangeland renewable resources.

Credentials/Documentation:

The principal investigator must document competence in the field of the research proposed. Costs will be determined in accordance with OMB Circular No. A-87, A-21, or A-122, Cost Principles for State and Local Governments, Cost Principles for Educational Institutions, or Cost Principles for Nonprofit Organizations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A complete research proposal must be submitted following guidelines available in each of the offices referred to below. The proposal must explain in detail the work to be undertaken, the qualifications of key personnel involved in the work, other resources such as equipment, facilities, and services available or needed, and a proposed budget for each fiscal year during the life of the proposed grant or cooperative agreement. A short biographical sketch of the principal investigator and a list of his or her principal publications should be included.

Award Procedure:

All research grant and cooperative agreement proposals must compete for priority, showing technical competence and demonstrating the ability to meet national or regional needs. Research grants and cooperative agreements are made only in support of forestry research. Unsolicited proposals can be awarded for innovative or unique approaches to the proposed project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Contact the Research Station for guidance.

Renewals:

Requests for continued support will be considered as equal in importance and in competition with pending proposals. Applications submitted for continued support should be identical to a new proposal, except that a resume of progress to date must be added.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The applicant's contribution is negotiated for grants. The proposed budget by fiscal year shows the estimated cost of the complete project from Grant funds and value of resources to be contributed by the applicant. Salaries of academic personnel for periods when they receive salary for teaching should not be included for payment from grant funds. The minimum matching contribution for Cooperative Agreements must constitute at least 20-percent of the estimated total cost of the project. MOE requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

One to 5 years. Billings for expenses incurred may be submitted monthly, quarterly, or semi-annually. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperator. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperator.

Reports:

Program reports are not applicable. Cash reports are not applicable. Program reports are not applicable. Cash reports are not applicable. Technical reports are required semiannually; a technical report is also required upon completion of project. Expenditure reports are not applicable. Performance monitoring is not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Comptroller General of the United States, his representative, or auditors of the cognizant audit agency for the educational institution involved shall have access at any reasonable time to the laboratories, offices, or other facilities of the recipient for purposes of review and observation of the status and progress of the sponsored project. Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of grants and other agreements.

Records:

All related data, information, records, and accounts shall be retained for 3 years beyond the expiration date of the award unless other disposition is specified in writing by the awarding agency.

Account Identification:

12-1104-0-1-302.

Obligations:

(Project Grants) FY 16 \$6,502,028; FY 17 est \$6,000,000; and FY 18 Estimate Not Available - Both Grants and Cooperative Agreements are included in the past fiscal year number and in estimates.

Range and Average of Financial Assistance:

\$2,000 to \$300,000. Average: \$35,000.

TAFS Codes:

12-1104; 12-8028.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular Nos. A-102 and A-87, as implemented by 2 CFR 225, are applicable to State and local government grantees. OMB Circular Nos. A-110 and A-21, as implemented by 2 CFR 215 and 2 CFR 220, are applicable to

educational institutions. OMB Circular Numbers A-110 and A-122, as implemented by 2 CFR 215 and 2 CFR 230, are applicable to nonprofit organizations.

Regional or Local Office:

See Regional Agency Offices. ee Regional Agency Offices. FPL - Director Tony Ferguson - 610-557-4162. IITF - Director, Dr. Ariel Lugo 787-764-7722. RMRS - Director, John Phipps - 970-498-1353. NRS - Director Tony Ferguson 610-557-4162. PNW Acting Paul Anderson - 503-808-2101. PSW - Director, Alexander Friend- 510-882-8832. SRS - Director, Robert Doudrick - 828-257-4300. Washington Office Budget Coordinator- Felipe Sanchez, 202-205-0833. Washington Office Grants & Agreements Contact- Duane Williams, 202-497-3917.

Headquarters Office:

Carlos Rodriguez-France 201 14th St. SW, 2NW, Washington, District of Columbia 20024 Phone: 202-205-1665

Website Address:

<http://www.fs.fed.us/links/research.html>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.500 Cooperative Extension Service

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established on a program-by-program basis by Research Station Directors to meet Regional or National Research needs.

10.664 COOPERATIVE FORESTRY ASSISTANCE

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, Public Law 95-313, as amended. 16 U.S.C 2101 et seq.

OBJECTIVES:

With respect to nonfederal forest and other rural lands to assist in the advancement of forest resources management and conservation; developing genetically improved tree seeds and seedlings; producing and distributing tree seeds and seedlings; promoting afforestation and reforestation; the encouragement of active forest management; the control of insects and diseases affecting trees and forests; the control of rural fires; the efficient utilization of wood and wood residues/biomass, including the recycling of wood fiber and biomass utilization; the improvement and maintenance of fish and wildlife habitat; and the planning and implementation of urban and community forestry programs; broaden existing programs on non-federal forest lands to meet the multiple use objectives of landowners; provide opportunities to private landowners to protect ecologically valuable and threatened non-Federal forest land; and strengthen educational, technical, and financial assistance programs that provide assistance to owners of non-Federal forest lands.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

To assist State Forester or equivalent designated state official(s) in forest stewardship programs on private, State, local, and other nonfederal forest and rural lands. Programs may include production of timber and efficient processing and use of wood products; developing genetically improved tree seeds and seedlings; producing and distributing tree seeds and seedlings; promoting afforestation and reforestation; timber stand improvement; improving wildlife habitat; assisting private woodland owners in harvesting, processing, and marketing activities; conversion of wood to energy; protection and improvement of forest soil fertility; forest insect and disease management; urban and community forestry; development and transfer of new and improved fire prevention, control, suppression and prescribed fire technologies;

organization of shared fire suppression resources, and achievement of more efficient fire protection for States and local communities; provision of financial, technical and related assistance to local rural fire fighting forces; acquisition and loan of Federal excess property; organizational improvement; forestry resources planning; conservation of forest land; pursuit and development of new market drivers for conservation (e.g. carbon, ecosystem services, conservation finance); coordination of landscape scale efforts and the inclusion of private lands in large-scale planning and conservation efforts; and technology transfer.

Applicant Eligibility:

State Forestry or equivalent State agencies, Tribes, non-profits, and municipalities are eligible. All States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands of the United States, the Commonwealth of the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and the territories and possessions of the United States are eligible.

Beneficiary Eligibility:

Landowners of nonfederal lands; rural community fire fighting forces; urban and municipal governments, non-profit organizations, Tribes and other State, local, and private agencies acting through State Foresters, equivalent State officials, or other official representatives.

Credentials/Documentation:

No credentials or documentation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact Regional Office, Northeastern Area Office, or International Institute of Tropical Forestry Office listed at www.fs.fed.us/contactus/regions.shtml

Award Procedure:

Established by the Regional Office, Northeastern Area, or International Institute of Tropical Forestry.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 10 to 60 days. State single point of contact will have 30 days from notification of tentative allotments to comment.

Appeals:

Not Applicable.

Renewals:

Amend SF-424(A) with SF 424 application is required to amend the allotment amount or length of commitment, other requirements may be established by the Regional Office, Northeastern Area, or International Institute of Tropical Forestry.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Government shall not exceed the amount expended by a State grantee or grantee for all programs except those covered by Section 11 of Public Law 95-313. Section 10(b)(2), State Fire Assistance program funds require a 50 percent match by the grantee, unless reduced with a waiver. Section 10(b)(3) Volunteer Fire Assistance program funds require a 50 percent match by the grantee. Cost-sharing ratios for Section 11(a) Management Assistance and Section 11(c), Technology Implementation programs shall be negotiated. Section 11(b), Forest Resource Planning program funds require 20 percent matching by the State. Amount of grant based on negotiated targets to

be achieved.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may be awarded for up to 5 years. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperator.

Reports:

Performance reports are required at least annually, but not more than quarterly as detailed in the applicable OMB Circulars and the grant award. Cash reports are not applicable. Performance reports are required at least annually, but not more than quarterly as detailed in the applicable OMB Circulars and the grant award. SF-425 must be submitted at least annually and no more than quarterly as detailed in the applicable OMB Circulars and the grant award. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1115-0-1-302; 12-1105-0-1-302.

Obligations:

(Project Grants) FY 16 \$141,146,174; FY 17 Estimate Not Available; and FY 18 Not Separately Identifiable - FY2015 projection based on current agreements and request.

Range and Average of Financial Assistance:

\$25,000 to \$6,000,000. Average \$1,000,000.

TAFS Codes:

12-1105; 12-1115.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Manual- Titles 3000, 3100, 3200, 3300, 3400, 3500, 3600, 3700, 3800, and 3900 available in all Forest Service offices, and State Forestry agency offices. Grant regulations found at 2 CFR 200 as implemented and supplemented by USDA at 2 CFR 400.

Regional or Local Office:

See Regional Agency Offices. Refer <http://www.fs.fed.us/contactus/regions.shtml> for local State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Region, Area, and Institute Directors of State & Private Forestry.

Headquarters Office:

Deputy Chief, State and Private Forestry, 1400 Independence Ave, SW, MS 1109, Washington, District of Columbia 20250 Phone: (202) 205-1657.

Website Address:

<http://www.fs.fed.us/spf>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Most grants to State Forestry Agencies are approved on the basis of negotiated targets or objectives to be achieved. UCF community grants are based on local needs and resources. A specific project focus may be developed by State Urban Forestry Councils.

10.665 SCHOOLS AND ROADS - GRANTS TO STATES

Payments to States

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Secure Rural Schools and Community Self Determination Act of 2000, Division C, Section 601(a), 16. U.S.C. 7101-7153; 16 U.S.C. 500.

OBJECTIVES:

To share receipts from the National Forests and supplemental mandatory appropriations with States in which the National Forests are situated.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

For Federal fiscal years 2008 through 2011, reauthorized one year for FY 2012, reauthorized one year for FY 2013, and reauthorized for two years in 2015 to provide for payments in FY 2015 and FY 2016. The Secure Rural Schools Act allows counties in which national forests are situated to elect to share in the State payment (based on a formula in the Act) or to share in the state's 25-percent payment (based on the 7-year rolling average of receipts from national forests in the State). The fiscal year 2014 payment was made to states in May 2015. The 25-percent payments to states are to be used for the benefit of public schools and public roads in the counties in which national forests are situated. An eligible county that elects to receive a share of the State payment (based on the formula in the Act) must under some circumstances allocate a portion of that payment for title II special projects on national forests or title III county projects, or both. The portion of the Secure Rural Schools Act State payments not allocated for these purposes are to be used to benefit public schools and public roads in counties in which national forests are situated. The payments to benefit schools and roads are commonly called title I funds. Funds allocated by counties for title II special projects are reserved in a special account in the General Treasury and may be used by the Secretary of Agriculture for projects that improve the maintenance of existing infrastructure, enhance forest ecosystems, and restore and improve land health and water quality on national forests. Funds allocated by counties for title III county projects may be used only to: (1) carry out activities under the Firewise Communities program to provide homeowners in fire sensitive ecosystems education on, and assistance with implementing, techniques in home siting, home construction, and home landscaping that can increase the protection of people and property from wildfires; (2) reimburse the participating county for search and rescue and other emergency services, including firefighting, that are performed on a national forest after the date on which the use was approved, and paid for by the participating county; and (3) develop community wildfire protection plans in coordination with the Forest Service acting on behalf of the Secretary of Agriculture.

Applicant Eligibility:

Title I payments are made to States or territories of the United States to be allocated to counties in which national forests are situated. Title II project funds are reserved in special account in the U.S. Treasury and may be used by the Secretary of Agriculture for the purpose of entering into and implementing cooperative agreements with willing Federal agencies, State and local governments, private and nonprofit entities, and landowners. (16 U.S.C 7122). Title III funds are paid to respective states to be passed-through to participating counties.

Beneficiary Eligibility:

A beneficiary of title II special project funds is a person or entity that receives a grant or enters into a cooperative agreement with the Secretary of Agriculture to carry out a project for protection, restoration, and enhancement of fish and wildlife habitat, and other resource objectives consistent with the purposes of the Secure Rural Schools Act on national forests and on non-national forest land where projects would benefit the resources on national forests. (16 U.S.C. 7122)

A beneficiary of title III funds is a participating county in which a national forest is situated that has elected to allocate a portion of its State payments for title III county projects.

Credentials/Documentation:

OMB circulars apply to title II special projects implemented using Financial Assistance instrument for public benefit projects. OMB circulars are not applicable to projects when implemented using partnership transaction on or adjacent to National Forest System (NFS) Lands. No Credentials or documentation are required for title I or title III payments. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For Title II projects implemented using partnership instrument on or adjacent to NFS lands, 2CFR200 is not applicable for mutual interest-mutual benefit programs.

Award Procedure:

Contact a near-by national forest resource advisory committee coordinator for information about awards or other implementation of title II projects recommended by a resource advisory committee prior to the deadline.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For title II, within 30 days of a rejection decision the Forest Supervisor shall notify the resource advisory committee in writing of the rejection and the reasons for the rejection.

Appeals:

A decision to reject a title II project is not subject to administrative appeal or judicial review. (16 U.S.C 7124).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Statutory Formula: Under title I - Secure Payments for States and Counties Containing Federal Land, each county in an eligible state elected to receive one of the following payments: a share of the states 25- percent rolling average payment, or a share of the State payment calculated using the formula in the Secure Rural Schools Act. The payment to the state under the Secure Rural Schools Act is the sum of the county elections in that state. The 25-percent payments to states, is an amount equal to the annual average of 25-percent of amounts received for the applicable fiscal year and each of the preceding 6 fiscal years from each national forest. This amount is used to calculate a county's share of the states 25-percent payment. The sum of shares for counties that elect to receive this payment is paid to the state under the Secure Rural Schools Act. State payments for fiscal years 2008 through 2014 were calculated using a complex formula with multiple factors, including acres of proclaimed national forest within an eligible county, the average 3 highest 25-percent payments made to each eligible State for each eligible county for the eligibility period 1986 through 1999, and the annual per capita personal income for each county. The sum of shares for counties that elect to receive this payment is paid to the state under the Secure Rural Schools Act.

Matching Requirements: There are no matching requirements for the 25-percent payment to states or for the State payment. Acquisition management regulations and procedures applicable to the Forest Service for purchases, contracts, grants, and agreements may impose matching requirements on title II projects.

MOE requirements are not applicable to this program. Length and Time Phasing of Assistance (102):

The 25-percent payments and State payments for amounts allocated to title I and title III are generally made by December 31st or as soon thereafter as practicable each year after the close of the applicable fiscal year. Title II funds are not paid to the state but are reserved in a special account in the General Treasury available for projects recommended by a resource advisory committee and approved by the Forest Supervisor acting on behalf of the Secretary of Agriculture. The authority for each resource advisory committee to recommend title II projects was extended by P.L. 114-10 until September 30, 2017. Funds

unobligated in one fiscal year remain available in the following fiscal year until September 30, 2018 by which time title II funds must be obligated or be returned to the U.S. Treasury. The authority for each county to initiate title III projects was extended by P.L. 114-10 until September 30, 2017. Funds unobligated in one fiscal year remain available in the following fiscal year until September 30, 2018 by which time title III funds must be obligated for authorized uses or be returned to the U.S. Treasury. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance for title I and title III is lump sum. Title II funds are approved by the Forest Supervisor acting on behalf of the Secretary of Agriculture. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance for title I and title III is lump sum. Title II funds are approved by the Forest Supervisor acting on behalf of the Secretary of Agriculture.

Matching Requirements: There are no matching requirements for the 25-percent payment to states or for the State payment. Acquisition management regulations and procedures applicable to the Forest Service for purchases, contracts, grants and agreements may impose matching requirements on title II projects.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The 25-percent payments and State payments for amounts allocated to title I and title III are generally made by December 31st or as soon thereafter as practicable each year after the close of the applicable fiscal year. Title II funds are not paid to the State but are reserved in a special account in the General Treasury available for projects recommended by a resource advisory committee and approved by the Forest Supervisor acting on behalf of the Secretary of Agriculture. The authority for each resource advisory committee to recommend title II projects has been extended September 30, 2017. Funds unobligated in one fiscal year remain available in the following fiscal year until September 30, 2018 by which time title II funds must be obligated or be returned to the U.S. Treasury. The authority for each county to initiate title III projects was extended by P.L. 114-10 until September 30, 2017. Funds unobligated in one fiscal year remain available in the following fiscal year until September 30, 2018 by which time title III funds must be obligated for authorized uses or be returned to the U.S. Treasury. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance for title I and title III is lump sum. Title II funds are approved by the Forest Supervisor acting on behalf of the Secretary of Agriculture.

Certification of title III expenditures and funds not obligated within the calendar year are due by February 1st of the subsequent year for counties having received title III funding.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For Title II projects implemented using partnership instrument on or adjacent to NFS lands, 2 CFR 200 is not applicable for mutual interest-mutual benefit programs.

Records:

Financial and program records must be retained for three years from the date of submission and approval of final financial reports.

Account Identification:

12-9921-0-2-999.

Obligations:

(Cooperative Agreements) FY 16 \$2,059,485; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - The Secure Rural Schools program is set to expire on September 30, 2017 but may be reauthorized by Congress (16 U.S.C. 7128).

Range and Average of Financial Assistance:

25% 7 yr. average: \$2 to \$1,397,323 average: \$151,756 (19 states)
Title I: \$1 to \$9,587,418 - average \$366,907: (42 states)
Title II: \$1,922 to \$1,294,931 average: \$98,145 (31 states)
Title III: \$1,682 to \$789,552 average: \$54,134 (31 states).

TAFS Codes:

12-5201; 12-5896.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

David Bergendorf 201 14th Street, SW, Suite 4 NW,
, Washington, District of Columbia 20024 Email: dwbergendorf@fs.fed.us
Phone: 202-205-1468

Website Address:

<http://www.fs.usda.gov/main/pts/home>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.666 SCHOOLS AND ROADS - GRANTS TO COUNTIES

Direct payments to States

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Public Law 75-210, 7 U.S.C. 1010-1012, Bankhead-Jones Farm Tenant Act.

OBJECTIVES:

To share receipts from the National Grasslands and Land Utilization Projects (LUP) with the Counties in which the National Grasslands and LUP's are situated.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used for the benefit of public schools and public roads of the county or counties in which the National Grassland or LUP's are situated. Limited to direct payment of formula determined amount directly to States.

Applicant Eligibility:

Eligible applicants are counties of the United States containing National Grassland or a LUP.

Beneficiary Eligibility:

Counties within the United States.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Not Applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7 U.S.C. 1010-1012, Public Law 75-210. The amount paid shall represent 25 percent of all moneys received during the preceding calendar year from each National Grassland or LUP. When a National Grassland or LUP is in more than one State or county, the distributive share to each shall be proportional to its area therein. The term "moneys received" includes: all moneys collected into the National Grassland Fund from the sale of permits or contracts for timber values, grazing fees, powerline fees, land use fees, recreational special use fees, mineral fees, and recreation user fees. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The payments will be made in March of each year. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Generally accepted accounting systems are permissible, if acceptable to audit and reconciliation.

Account Identification:

12-9921-0-2-999.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - Funding amounts reported on a calendar year.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-5896.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Bankhead Jones Farm Tenant Act of 1937 (7 USC 1010-1012)

Regional or Local Office:

None.

Headquarters Office:

David Bergendorf 201 14th Street, SW, Suite 4 NW, Washington, District of Columbia 20024 Email: dwbergendorf@fs.fed.us Phone: 202-205-1468

Website Address:

<http://www.fs.fed.us/srs>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.672 RURAL DEVELOPMENT, FORESTRY, AND COMMUNITIES

(Rural Development Through Forestry)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Executive Order Requires Annual Department of the Interior, Environment, and Related Agencies Appropriations direction to implement.

OBJECTIVES:

To help rural areas analyze and assess forest resource opportunities, maximize local economic potential through market development and expansion, and diversify communities' economic base.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding may be allocated for such things as technical assistance, training and education, equipment, marketing, and all costs associated with making these services available to tribal nations, State and Federal agencies, State Foresters, local governments, not-for-profit organizations, and others who are extending services to rural communities.

Applicant Eligibility:

Tribal nations, State and Federal agencies, State Foresters, local governments, not-for-profit organizations, and others working in support of community identified goals.

Beneficiary Eligibility:

Tribal nations, State and Federal agencies, State Foresters, local governments, not-for-profit organizations, and others. Forest Service Regions/Areas/Institutes are encouraged to further define program policies that focus resources to meet the regional, State and local needs of communities.

Credentials/Documentation:

Assistance is in response to a community or a group of communities' request for assistance in identifying opportunities and implementing action that will promote natural resource-based economic diversification and opportunities, technology transfer, market development and/or expansion. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedure depends on annual Congressional direction.

Award Procedure:

Established by the Regional Office in support of an overall regional strategic plan.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

SF-424(A) with SF-424 application is required to amend the awarded amount or length of commitment. Other requirements may be established by the Regional Office.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements- The Federal contribution to the overall implementation of a planned project may have a matching requirement, but that match is negotiated. No statutory match unless assigned by congress through annual appropriation direction.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance may be a combination of different interests that seek to support planned action by the community or a group of communities to diversify the local economy and to improve its social, economic, and environmental well being. Limitation on length of funded projects will be established at the Forest Service administrative unit (generally, will not exceed 5 years). See the following for information on how assistance is awarded/released: Determined based on agreement and authority.

Reports:

Periodic and final reports are required- no more than quarterly and no less than annually. Cash reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Periodic and final reports are required- no more than quarterly and no less than annually. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

State and other generally accepted accounting systems can be used if control procedures allow for proper audit and reconciliation. All grantees must maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants (Special)) FY 16 \$0; FY 17 est \$0; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1105.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Legislative history found in Senate and Conference reports 1988, 1989, and 1990 and Forest Service revised guidelines (May 2000).

Regional or Local Office:

None.

Headquarters Office:

Steve Marshall 201 14th St. SW, Washington, District of Columbia 20024
Phone: 202-205-1380

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Regional/Area/Institute administrative units are given the flexibility to make funding decisions based on their specific community and regional priorities documented in a regional strategy for their respective administrative areas. These overall strategies are identified through input by States, tribal nations,

local agencies and organizations.

10.674 WOOD UTILIZATION ASSISTANCE

State and Private Forestry Technology, Marketing Assistance Program

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Rural Revitalization Through Forestry, Public Law 101624, Section (d) Rural Revitalization Technologies (1990); P.L. 108-148 title II, Section 202 (2003); P. L. 110 234, title VII (2008); P.L. 110-246 title VII (2008); and P.L. 113-79, title VIII, Section 8201 (2014) extending the program through 2018.

OBJECTIVES:

Provide direct technical assistance to Forest Service, state foresters, tribes, public and private organizations regarding new and emerging clean technologies to effectively manage forests and extend the most efficient and effective economic opportunities to forest landowners. The State & Private Forestry staff provides expertise in science-based management decisions concerning forest products utilization, biofuels production, and woody biomass utilization that uses material removed to meet forest landowner goals. Program may include: (1) development of potential new products; (2) projects that showcase innovative uses for small diameter and low-valued hardwoods and softwoods; (3) reducing the challenge to economic and market barriers to the use of wood (4) provide seed money and gap funding for demonstration projects and (5) facilitate the creation/expansion of harvesting/processing/transporting enterprises around wildland urban interface areas threatened by catastrophic wildfires.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Technical assistance or limited project grants to achieve long-term forest health through local enterprises that support forest management. No land acquisition or construction.

Applicant Eligibility:

Entities eligible include: Non-profits, local, state, and Tribal governments, business, companies, corporations (for Profit), institutions of higher education, and special purpose districts, (public utilities districts, fire districts, conservation districts, or ports).

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Procedures for submitting technical assistance or grant applications can be found on www.fpl.fs.fed.us/tmu or contact the Program Manager as listed under Information Contacts. .

Award Procedure:

Grants, if appropriated funds are provided, will generally be nationally competitive and merit-based. Technical Assistance request will be prioritized based on Tribal, state, or national forestry issues such as insect, disease, catastrophic events, and economic adjustments.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Range of Approval/Disapproval Time: 160 days after

the date of closure on a Request for Proposals or Technical Assistance.

Appeals:

Not Applicable.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Applicants must contribute at least 50% of the Forest Service requested funds. For every \$2 of Federal funding requested, applicants must provide at least \$1 in match. For example, if the requested Federal amount is \$100,000 the applicant match must be at least \$50,000. The applicants match must come from non-Federal sources. The match may include cash or in-kind contributions. All matching funds must be directly related to the proposed project. Letters for specific request from non-profits, local, state, and Tribal governments, business, companies, corporation, (for profit), institutions of higher education, and special purpose districts, (public utilities districts, fire districts, conservation districts, or port) are encouraged to demonstrate collaboration and the role this federal assistance program can leverage accomplishing local projects. Matching will be required only for financial assistance and will be stated in Request For Proposal, and in accordance with Congressional direction.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As determined by project, no longer than 5 years on grants or cooperative agreements. Technical assistance is limited to one year unless negotiated due to tribal, state or national priorities. See the following for information on how assistance is awarded/released: Payments are made based on authorization and negotiation of provisions with cooperator.

Reports:

Performance reports are required, and the frequency will be negotiated between the Forest Service and recipient. Cash reports are not applicable. Progress reports are not applicable. Financial reporting on SF-425 is required on financial assistance. Forest Service program managers will provide overall program monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grant recipients may be subject to audit by the Office of Inspector General, USDA.

Records:

Grant records will be maintained for 3 years after submission of final documents. Technical Assistance record will be maintained for 3 years from the close of the assistance. Government Technical Report (GTR) maybe developed to share the results of Technical Assistance collaborative efforts.

Account Identification:

12-1115-0-1-302.

Obligations:

(Project Grants) FY 16 \$8,596,085; FY 17 est \$8,338,553; and FY 18 est \$9,000,000

Range and Average of Financial Assistance:

Typical awards are \$250,000 per award. Exceptions may be made for special circumstances.

TAFS Codes:

12-1115.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 42 nationally competed grants and agreements were funded totaling approximately \$8.6 million. Fiscal Year 2017: 38 nationally competed grants and agreements were funded totaling approximately \$8.3 million. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Melissa Jenkins 1400 Independence Ave SW, Washington, District of Columbia 20250 Email: melissajenkins@fs.fed.us Phone: 703-605-5346

Website Address:

<http://www.na.fs.fed.us/werc/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Establishment of statewide wood energy and wood utilization teams; feasibility assessments for woody biomass energy systems; addressing issues related to building with wood and cross-laminated timber (CLT), such as using beetle-killed trees for CLT manufacture, assessing seismic resiliency and wind performance of CLT, and overcoming building code barriers for tall wood buildings. Fiscal Year 2017: Establishment of statewide wood energy and wood utilization teams; feasibility assessments for woody biomass energy systems; addressing issues related to building with wood and cross-laminated timber (CLT), such as utilizing low-value ponderosa pine in California; blast resistance of CLT for Department of Defense applications; examining the use of hardwoods in CLT; and creating mass timber manufacturing capacity in the US.. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Financial grants are approved on the basis of a nationwide review and are based on technical and financial merits of the project as evaluated by a panel of Federal experts or their designees.

10.675 URBAN AND COMMUNITY FORESTRY PROGRAM (UCF)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, Title 16, Part 2105, Section 9, Public Law 95-313, 92 Stat. 365.

OBJECTIVES:

To plan for, establish, manage and protect trees, forests, green spaces and related natural resources in and adjacent to cities and towns. The urban and community forestry program provides ecosystem services, social and economic benefits. It links these benefits to governmental, private and grassroots organizations and resources to improve the quality of life in these cities and towns.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To assist State Foresters, equivalent State agencies, Tribal nations, interested members of the public and private non-profit organizations in urban and community forestry programs in cities and communities.

070a. National Urban & Community Forestry Challenge Grant Program: Provides a national competitive urban and community forestry challenge cost share grant program to assist urban and community forestry efforts across the country and address strategic urban forestry-related issues and opportunities as identified by the National Urban and Community Forestry Advisory Council. Approximately \$1,000,000 of total annual appropriations is set aside to support activities under the national competitive challenge cost share grant program.

Applicant Eligibility:

State Foresters or equivalent State agencies, Tribal nations, interested members of the public, private non-profit organizations and others. All States, as well as the District of Columbia, Puerto Rico, the United States Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa and Guam, and other territories and possessions of the United States are eligible.

Beneficiary Eligibility:

State Foresters or equivalent State agencies, local governments, tribes, and non-profit organizations, and other State, local and private agencies acting through State Foresters, equivalent State agencies or other official representatives as agreed by the State Forester, equivalent State agency, and the Secretary.

(082a) Projects are to be national or multi-state in scope and benefit the nation as a whole or a multi-state region. Results are to benefit, but not limited to, State Foresters or equivalent State agencies, local governments, Tribal Nations, applicable non-profit organizations, and other State, local, and applicable private agencies.

Credentials/Documentation:

No credentials are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Area/Station/IITF offices provide states and territories with program funding advice each year.

091a: The national competitive challenge cost share grant program. A Request for Proposals (RFP) is advertised with the criteria and eligibility. The RFP is available in hard copy, is published in the Federal Register and is posted to: <http://www.fs.fed.us/ucf/nuccfac.shtml>. An electronic mailing list is also maintained by the Washington Office Headquarters Staff. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States and other eligible applicants prepare grant proposal narratives for submittal to Regional and Area Offices, accompanied by Form SF-424, SF-424(a) and SF-424(b) and other forms furnished by the Federal agency. Contact Regional, Area or Institute Office listed in http://www.fs.fed.us/ucf/contact_regional.shtml

092a: For the national competitive challenge cost share grant program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States and other eligible applicants prepare grant proposal narratives for submittal to www.grants.gov or via electronic means or hardcopy to the Forest Service contact person noted on the RFP, accompanied by Form SF-424, SF-424(a) and SF-424(b) and other forms furnished by the Federal agency and required by the OMB Circulars.

Award Procedure:

Established by the Washington, Regional, Area and Institute offices. http://www.fs.fed.us/ucf/contact_regional.shtml

093a: The national competitive challenge cost share grant program award procedures are established by the Washington Office and USDA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Established by the Washington, Regional, Area, and Institute offices. http://www.fs.fed.us/ucf/contact_regional.shtml.

Range of Approval/Disapproval varies between Forest Service offices depending on availability of funding.

095a: The national competitive challenge cost share grant program range of approval/disapproval varies between Forest Service Washington Office and Department of Agriculture and on the availability of funding.

Appeals:

Not Applicable.

Renewals:

Amended SF-424 (a) with SF 424 application is required to amend the allotment amount or length of commitment, other requirements may be

established by the Washington, Regional, Area or Institute offices.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Applicant matching requirements are determined by the Washington office. If matching funds are required, the funds cannot come from Federal Sources. Cooperator matches may be non-Federal cash, in-kind and non-cash contributions. Matching requirements may range from 0 percent to 50 percent of the total award amount.

101a: For the national competitive challenge cost share grant program. Applicant match requirements are determined by the statutory authority which requires a 50:50 match. Matching funds may not come from other federal sources. The non-Federal share of the match may be in the form of cash, services or in-kind contributions.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Electronic transfer of payments are required. Grants are normally awarded for a period of 12 months. A no cost extension justification must be received and approved by the Forest Service. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded / released: Recipients submit invoices based on actual expenditures on a monthly, quarterly or bi-annual basis as established in the award terms.

Reports:

Annual accomplishment reports are required. No cash reports are required. For the national competitive challenge cost share grant program, narrative progress reports are required at mid-year and year-end. SF-425s are required quarterly. Invoices should provide sufficient additional detail to explain the amount requested for reimbursement. Forest Service program managers will conduct program monitoring for awards under this CFDA.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Use of all funds will have to meet Federal and State audit requirements.

Records:

State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants) FY 16 \$900,000; FY 17 est \$900,000; and FY 18 est \$900,000 - Estimated FY 18 \$900,000 is based on availability of funding.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1105.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For all grant awards under this CFDA: The applicable OMB Circulars are available online at: <http://www.whitehouse.gov/omb/circulars/index.html>. <http://www.fs.fed.us/ucf/index.shtml> <http://www.fs.fed.us/ucf/nucfac.shtml>

Regional or Local Office:

See Regional Agency Offices. For Forest Service, State and Private Forestry office addresses and telephone numbers. Visit: http://www.fs.fed.us/ucf/contact_regional.shtml.

Headquarters Office:

Jan Davis 1400 Independence Ave. SW, MS-1151, Washington, District of Columbia 20250 Email: jkdavis@fs.fed.us Phone: 202-401-4416

Website Address:

http://www.fs.fed.us/ucf/contact_regional.shtml

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Most grants are approved on the basis of negotiated targets or objectives to be achieved. UCF community grants are based on local needs and resources.

180a: The national grant program selects proposals based on the National Urban and Community Forestry Advisory Council's criteria established for a given year.

10.676 FOREST LEGACY PROGRAM

(FLP)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, 16 U.S.C. 2101, et. seq., as amended by the 1990 Farm Bill, Section 1217 of Title XII of the Food, Agriculture, Conservation and Trade Act of 1990, Public Law 101-624, 104 Stat. 3359, 16 U.S.C. 2103c; later amended by the 1996 Farm Bill, Federal Agricultural Improvement and Reform Act of 1996; Public Law 104-127; Title III, Conservation; Subtitle G Forestry; Section 374, Optional State Grants for Forest Legacy Program.

OBJECTIVES:

To effectively protect and conserve environmentally important forest areas threatened by conversion to nonforest uses, through conservation easements or fee simple purchase. The Forest Legacy Program (FLP) is a voluntary private land conservation partnership between the Forest Service, participating States, land trusts, private landowners, and others. The Program works with State partners and operates on a willing seller and willing buyer basis and is completely nonregulatory in its approach. No eminent domain authority or adverse condemnation is authorized for this Program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Landowner participation in the program is voluntary and consists of two components: (1) conveying land or interests in land to achieve land conservation objectives and (2) preparing and periodically updating a Forest Stewardship Management Plan or a multi-resource management plan, when the participation is through conservation easement. State lead agencies must first evaluate and approve projects as Forest Legacy eligible before competing for Forest Service funding.

Applicant Eligibility:

Projects are evaluated and prioritized by State lead agencies, in consultation with the State Forest Stewardship Coordinating Committees. All States and territories participate except North Dakota, the Commonwealth of the Northern Mariana Islands, and Guam.

Beneficiary Eligibility:

State agency, landowners of private forest lands, and land trust organizations.

Credentials/Documentation:

Each State must prepare a State Forest Action Plan (Statewide Assessment and Resource Strategy, including Assessments of Need(AON)) in order to be eligible for program funds. The FS Regional, Area, or Institute Responsible Official must concur with the State's Forest Action Plan. Requirements for an eligible project are: (1) within an approved Forest Legacy Area and fits within the priorities of a State's AON; (2) complies with FLP State and National criteria; (3) a completed grant application; (4) an approved Forest Stewardship Plan or a multi-resource management plan, if applicable; (5) negotiated terms of

a conservation easement, if applicable; (6) an approved appraisal, meeting Federal acquisition appraisal standards as outlined in the Uniform Appraisal Standards for Federal Land Acquisitions; and, (7) adequate non-Federal cost share. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required, including negotiation of terms for the conservation easement or acquisition. Applicants should proceed with initial appraisal and Forest Stewardship Plan development, as due diligence is one of several key factors in project evaluation and ranking. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application requirements must comply with the Code of Federal Regulations (7 CFR 3016), Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. Individual participating States have application duties and procedures.

Award Procedure:

The Forest Service conducts an annual project selection process to arrive at a prioritized national project list which may be included in the President's budget. The Forest Service then issues grants to states based on the amounts provided in Congressional appropriations and the prioritized national project list.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

An annual project selection calendar with due dates will be developed each fiscal year, and will identify deadlines and approval times.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Federal Contribution cannot exceed 75 percent of total project costs, and at least a 25 percent non-Federal cost share is required. The non-Federal cost share may consist of: funds, donations, land or interests in land, in-kind contributions, direct costs, indirect costs, and others as determined by the Forest Service. Funds will be provided to the States on a per-project basis, as determined by the annual appropriations. The non-Federal cost share can occur at any phase of the project within the legal grant period, including planning, developing future projects, acquisition, capital improvement, management, or administrative activities.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is provided via grants to States. Typical grant period is two years. Grants can receive a no cost extension up to five years with justification if approved by the Forest Service. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperator.

Reports:

Program reports are not applicable. Cash reports are not applicable. States are required to submit annual progress reports on outstanding grants. States are required to monitor properties with conservation assessments. Project specific Forest Stewardship plans are required to be established and updated periodically. SF-425 reporting is due at least annually and no more than quarterly as agreed upon in the provisions of the individual agreement. The Forest Service program manager shall conduct monitoring activities to track program accomplishments.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Use of all funds will have to meet Federal and State audit requirements.

Records:

State Lead Agencies are required to retain complete grant files on each grant issued. States must report annual accomplishments data in the Forest Legacy Information System.

Account Identification:

12-5367-0-1-302.

Obligations:

(Project Grants) FY 16 \$55,947,000; FY 17 est \$55,947,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

12-5367.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 106,143 acres protected via conservation easement or fee simple transactions. Fiscal Year 2017: Project 95,000 acres will be protected via conservation easement or fee simple track purchases. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Legacy Program Implementation Guidelines of 2003 (currently under revision).

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Office. Refer to the web site below for Regional and Area State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Program contacts: https://www.fs.fed.us/sites/default/files/fs_media/fs_document/15541-forest-service-legacy-program-508.pdf.

Headquarters Office:

Scott Stewart, 1400 Independence Ave SW, MS 1123., Washington, District of Columbia 20850 Email: ssewart@fs.fed.us Phone: 202-205-1618

Website Address:

<http://www.fs.fed.us/spf/coop/programs/loa/flp.shtml>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Project evaluation and priority ranking is based on the three national core criteria (importance, threatened, and strategy, as defined in the 2017 FLP Implementation Guidelines) as well as project readiness and other evaluation considerations developed in consultation with States and FS Units.

10.678 FOREST STEWARDSHIP PROGRAM

(FSP)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, Public Law 95-313 as amended; 16 U.S.C. 2101 et seq.

OBJECTIVES:

To promote and enable the long-term active management of non-industrial private and other non-federal forest land to sustain the multiple values and uses that depend on such lands.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

To provide financial, technical, educational, and related assistance to State foresters or equivalent State officials for: The delivery of information and professional assistance to owners of nonindustrial private forest lands; The afforestation, reforestation and active management of state and other non-federal forest land; and Improved forest seedling production and distribution.

Applicant Eligibility:

State forestry or equivalent State agencies, tribes, non-profits, and municipalities are eligible. All States, the District of Columbia, Puerto Rico, the United States Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Trust Territory of the Pacific Islands, and territories and possessions of the United States may be eligible.

Beneficiary Eligibility:

Landowners of non-federal lands, non-profit organizations, tribes and other State, local, and private agencies acting through State Foresters, equivalent State officials, or other official representatives are eligible.

Landowners seeking assistance through the Forest Stewardship Program should contact their state forest agency directly. Program guidelines differ from state to state.

Credentials/Documentation:

No credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States and other eligible applicants prepare grant proposal narratives for submittal to Regional and Area Offices, accompanied by completed standard application forms as furnished by the Federal agency (i.e., SF-424).

Award Procedure:

Established by Regional and Area Offices.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 10 to 60 days after the application is filed with the Forest Service Regional or Area Office. States and eligible applicants are requested to submit Form SF-424(A) with SF-424 application and other required narratives, forms and assurances as advised by the Regional and Area Offices within 10 to 60 days following official funding advice notification.

Appeals:

Not Applicable.

Renewals:

SF-424(A) with SF-424 application is required to amend the grant amount or length of commitment. Other requirements may be established by the Regional or Area Office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Applicant matching requirements are determined by Regional and Area Offices. Matching funds cannot come from other federal sources.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are normally awarded for a period of 12 to 18 months. See the following for information on how assistance is awarded/released: Payments made based on authorization of provisions with cooperator.

Reports:

Program reports are not applicable. Cash reports are not applicable. Periodic and annual accomplishment and financial reports are required by Regional and Area offices. These reports must accompany all grantee cost-share reimbursement requests. SF-425 should be submitted at least annually and no more than quarterly. Reporting of accomplishments and expenditure is required under this program as outlined above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Program grant recipients may be subject to audit by the Office of Inspector General, USDA.

Records:

State and other grant recipients and Regional and Area Offices must retain records pertaining to grant payments received for a minimum period of five years. State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants) FY 16 \$7,774,163; FY 17 est \$8,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

\$50,000 to \$400,000.

TAFS Codes:

12-1105.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 25,138,566 acres are covered by Forest Stewardship Plans. Fiscal Year 2017: 26,600,000 will be covered by Forest Stewardship Plans. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Manual Titles 3000 and 3200 and the Forest Stewardship Program National Standards and Guidelines.

Regional or Local Office:

See Regional Agency Offices. Refer to the Forest Service directory for address and telephone number of the State and Private Forestry Directory in your Region or Area of the Forest Service.
<http://www.fs.fed.us/contactus/regions.shtml>.

Headquarters Office:

Laurie Schoonhoven 1400 Independence Ave SW, Washington, District of Columbia 20250 Email: lschoonhoven@fs.fed.us Phone: 202-205-0929

Website Address:

<http://www.fs.fed.us/spf/coop/programs/loa/fsp.shtml>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicant grant proposals are reviewed, and funds are granted by Regional and Area Offices.

10.679 COLLABORATIVE FOREST RESTORATION

(CFRP)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Secure Rural Schools and Community Self-Determination Act of 2000, Title VI- Community Forest Restoration, Public Law 106-393, Section 605, Establishment of Program.

OBJECTIVES:

The purposes of the grants are: to promote healthy watersheds and reduce the threat of large, high intensity wildfires, insect infestation, and disease in the forests in New Mexico; to improve the functioning of forest ecosystems and enhance plant and wildlife biodiversity by reducing the unnaturally high number and density of small diameter trees on Federal, Tribal, State, County, and Municipal forest lands; to improve communication and joint problem solving among individuals and groups who are interested in restoring the diversity and productivity of forested watersheds in New Mexico; to improve the use of, or add value to, small diameter trees; to encourage sustainable communities and sustainable forests through collaborative partnerships, whose objectives are forest restoration; and to develop, demonstrate, and evaluate ecologically sound forest restoration techniques.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding may be allocated for such things as technical assistance, training and education, equipment, marketing, personnel, travel, and all costs associated with forest and watershed restoration on federal, tribal, state, land grant, county or municipal forest land.

Applicant Eligibility:

Local and tribal governments, educational institutions, landowners, conservation organizations, and other interested public and private entities. Grant proponents must include a diverse and balanced group of stakeholders as well as appropriate Federal, Tribal, State, County, and Municipal government representatives in the design and implementation of the project. The projects may be entirely on, or on any combination of, Federal, Tribal, State, County, Land Grant, or Municipal forestlands. Processing facilities may be located on private land if they are associated with restoration activities on public land.

Beneficiary Eligibility:

See Applicant Eligibility.

Credentials/Documentation:

Credentials or documentation are not required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Implementation Project Proposals must include a signed NEPA Decision Memo. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants are required to submit form SF-424, SF-424(a), SF-424 (b), AD 1047, AD 1049, and other information described in the Request for Applications. Proposals should be sent to: CFRP Program, Cooperative and International Forestry, USDA Forest Service, Room 329, 333 Broadway SE, Albuquerque, NM 87102.

Award Procedure:

The Collaborative Forest Restoration Program Technical Advisory Panel evaluates the proposals and provides recommendations to the Secretary of Agriculture on which ones best meet the purposes, objectives, and administrative requirements of the program. The Panel also evaluates the effect of each proposal on long-term forest management. The Secretary of Agriculture selects proposals for final award. The Secretary of Agriculture charters the Panel as a Federal Advisory Committee, appoints members, and approves proposals for final award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Contact the Southwestern Regional Office or the appropriate Forest Supervisor's Office.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 20%. The Federal share of an individual project cost shall not exceed 80 percent of the total cost. The 20-percent matching may be in the form of cash or in-kind contribution. Projects cannot exceed the total annual cost of \$150,000, with the federal portion not exceeding \$120,000 annually, nor exceed a total cost of \$450,000 for the project, with the Federal portion of the total cost not exceeding \$360,000 (P.L. 106-393, Title VI, Sec. 605 (a) and (b)(8)).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects may not exceed 4 years in length (Public Law 106-393, Title VI, SEC. 605(b)(7)). Assistance will be provided by no more than monthly payments. See the following for information on how assistance is awarded/released: .

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Periodic and final performance reports are required. Annual financial reports will also be required. Grant recipients must attend an annual workshop with other stakeholders for the purpose of discussing the Collaborative Forest Restoration Program and projects implemented under it. Grant recipients may use project grant funds to pay for their travel and per diem expenses to attend the workshop.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

State and other generally accepted accounting systems can be used if control procedures allow for proper audit and reconciliation. All grantees must maintain adequate systems for collection and recording statistical data. Project records must be maintained for a period of three years after the project has ended.

Account Identification:

12-1106-0-1-302; 12-1115-0-1-302.

Obligations:

(Project Grants) FY 16 \$3,525,157; FY 17 est \$3,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

\$66,361.00-\$360,000.00.

TAFS Codes:

12-1105; 12-1115; 12-5201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Since the CFRP began in 2011, the CFRP Panel has reviewed 533 proposals and 204 Federal grants have been awarded. Over 61,700 acres of forest will have been restored in New Mexico upon completion of these projects. Approximately 580 partners have been involved in CFRP projects and over 820 jobs have been created in 20 counties across New Mexico. Fiscal Year 2017: The CFRP Panel reviewed and scored 15 grant proposals and submitted a recommendation to the Secretary to fund 10 projects. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The authorizing legislation (Public Law 106-393 Title VI), a list of the

members of the Collaborative Forest Restoration Program Technical Advisory Panel, The Charter for the Technical Advisory Panel, The Technical Advisory Panel Recommendations, The Request for Applications, Project Summaries for funded activities, a List of Forest Service Contacts, and multi-party monitoring guidelines can be found on the website for the Southwestern Region of the Forest Service at www.fs.usda.gov/goto/r3/cfrp.

Regional or Local Office:

See Regional Agency Offices. Refer to www.fs.usda.gov/goto/r3/cfrp for addresses and telephone numbers of the CFRP Coordinators on Forest Service National Forests in New Mexico. These are the Gila, Lincoln, Cibola, Santa Fe, and Carson National Forests.

Headquarters Office:

Walter Dunn 333 Broadway Blvd. SE, Albuquerque, New Mexico 87102
Email: wdunn@fs.fed.us Phone: 505-842-3425

Website Address:

<http://www.fs.usda.gov/goto/r3/cfrp>

RELATED PROGRAMS:

10.664 Cooperative Forestry Assistance; 10.854 Rural Economic Development Loans and Grants; 11.303 Economic Development_Technical Assistance; 11.307 Economic Adjustment Assistance; 12.607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be evaluated to determine if they followed the administrative requirements of the program and the proposal format described in the Request for Applications. The Collaborative Forest Restoration Program Technical Advisory Panel will evaluate grant proposals based on the purpose and eligibility requirements described in the Community Forest Restoration Act of 2000 (Section 3 and Section 5, Title VI, Public Law 106-393). The Panel will consider the proposed projects effect on long-term management and the capability of the proponents to accomplish the project. The Panel will also examine the degree to which proposed activities complement existing projects and programs and evaluate whether the project would reduce the danger of wildland fire in high-risk areas in New Mexico.

10.680 FOREST HEALTH PROTECTION

(FHP)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, Public Law 95-313 as amended, 16 U.S.C. 2101 et seq.

OBJECTIVES:

Protect non-Federal forest and tree resources from damaging forest insects, disease causing agents, and invasive plants; develop/improve forest health protection technologies; and monitor the health of our nation's forests.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

To assist State Foresters, State Plant Regulatory Officials, equivalent State officials, or other official representatives, subdivisions of states, agencies, institutions (public and private), organizations (profit or nonprofit), and individuals on non-Federal lands. Activities include: conducting surveys to detect and assess insect, disease, invasive plant and other stressors; monitoring and reporting on the health of forests and trees; recommending measures to prevent, retard, slow the spread, control, suppress or eradicate incipient, potential, threatening or emergency forest and tree pests; planning, organizing, directing, and performing such measures; providing technical and scientific information, advice, and related assistance; developing applied methods and technology to improve management of forest health; providing information on pesticides and their use; promoting implementation of appropriate silvicultural

or management techniques to improve forest health; and taking other actions deemed necessary to accomplish the objectives and purposes of the Forest Health Protection program. Assistance to tribal lands held in trust by the United States Government is provided directly by the Forest Service, and thus are not included in this cooperative program. However, other tribal lands, such as Alaska Native Corporation Lands, that are not held in trust are included.

Applicant Eligibility:

State Forestry, State Agriculture or equivalent State agencies, subdivisions of states, Alaska native corporations and tribal governments (for lands not held in trust), institutions (public and private), organizations (profit and non-profit), and municipalities are eligible. All States, the District of Columbia, Puerto Rico, the Virgin Islands, the Northern Mariana Islands, the Trust Territory of the Pacific Islands, and the territories and possessions of the United States are eligible.

Beneficiary Eligibility:

State Foresters, State Plant Regulatory Officials, equivalent State officials or other official representatives, tribes, subdivisions of states, agencies, institutions (public and private), organizations (profit or nonprofit), and individuals on non-Federal lands.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact Regional, Area, or International Institute of Tropical Forestry (IITF) Office listed at: <http://www.fs.fed.us/contactus/regions.shtml>

Award Procedure:

Established by the Regional, Area or IITF Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Submission due dates are developed for each fiscal year. Contact Region, Area or IITF office. State single point of contact will have 30 days from notification of tentative allotments to comment.

Appeals:

Not Applicable.

Renewals:

Submit SF-424(A) with SF 424 application to amend the allotment amount or length of commitment; other requirements may be established by the Regional, Area or IITF Office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Funding for the Cooperative Forest health Protection program is provided to states to help support a base level of professional forest health expertise for non-federal forest managers. Grants for this program are allocated to states using a formula that is based on the number of acres of non-federal forest land within the states, other factors, and amount of funding available through annual appropriations.

Applicant matching for cooperative Forest Health Protection programs and projects that treat specific forest health problems on non-federal lands is required and is 50%. In cases where it is mutually agreed upon that an applicant will treat federal lands as part of a cooperative project or program, the Forest Service reimbursement is 100% for the federal portion. However, applicant matching rates may be adjusted in emergency or extraordinary situations. Forest

Service cost-share rates for activities involving development of new and improved forest health protection methods and technologies, and the conduct of special projects and evaluations are negotiated on a project-by-project basis with the grantee. Exceptions from the 50% cost-share requirement must be approved by the Deputy Chief for State and Private Forestry. The applicant share of cooperative programs may be in the form of cash, services, or in-kind contributions. If a state elects to consolidate the annual Forest Service financial assistance, in lieu of functional cost sharing mechanisms, the total consolidated payment to any state during any fiscal year may not exceed the total amount of non-federal funds expended to implement its state forest resources program during that year. Treatment funds and activities cannot be a part of the consolidated payment and must stand alone to meet the 50% match requirement (See FSM 3431.22).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are typically awarded for one or two years and may be extended on request and with the approval of the program manager. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperators.

Reports:

Program reports are not applicable. Cash reports are not applicable. Periodic and annual accomplishment reports are required per the provisions in the final agreement. SF425 is required no less than annually and no more than quarterly for each agreement. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants) FY 16 \$33,424,000; FY 17 est \$33,997,000; and FY 18 est \$31,347,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1105.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Manual- Title 3400 is available in all Forest Service offices, and State Forestry agency offices. OMB Circular Nos. A-102 and A-87, as implemented by 7CFR Part 3016 and 2 CFR 225, are applicable to State and local government grantees.

Regional or Local Office:

See Regional Agency Offices. Refer to <http://www.fs.fed.us/contactus/regions.shtml> for Regional and Northeastern Area State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Regional Foresters and Northeastern Area Director of the Forest Service.

Headquarters Office:

Director, Forest Health Protection 1400 Independence Ave SW, Mailstop 1110, Washington, District of Columbia 20250 Phone: (703) 605-5340

Website Address:

<http://www.fs.fed.us/spf/foresthealth>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All grants and cooperative agreements are approved on the basis of negotiated targets, project objectives to be achieved, and relationship to national and regional forest health issues, priorities, and needs.

**10.681 WOOD EDUCATION AND RESOURCE CENTER (WERC)
(WERC)**

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Public Law 105-277, Div. A, Section 101(e) Title III, Section 343, 112 Stat. 2681-231, 2681-297; Cooperative Forestry Assistance Act of 1978, as amended, 16 USC 2101 et. seq.; Forest and Rangeland Renewable Resources Act of 1978, as amended, 6 USC 1600-1614.

OBJECTIVES:

To provide technical assistance and funds, on a cost-share basis, for projects that enhance opportunities for sustained forest products production for primary and secondary wood industries located in the eastern hardwood forest region of the United States. In order to maintain and improve the health and stewardship of our Nation's forests, local wood-using industries need to be vibrant and able to utilize a wide variety of resources from the forest. Priorities include:

- Maintain the economic competitiveness of primary and secondary wood industries. Examples include:
 - 1) Encouraging the adoption of new technology to improve competitiveness and profitability.
 - 2) Bringing information and technology about processing, marketing, and business-related skills as well as urban wood utilization to existing and emerging businesses, and
 - 3) Developing utilization options that improve forest stewardship and health.
 - 4) Support activities that maintain or enhance harvesting industry capacity to serve the wood industry.

Increase the knowledge and information about how the wood industry can contribute to the green building movement. Examples include chain of custody certification for sustainable wood products as well as life cycle analysis for a range of wood products.

Increase the knowledge, information, and promotion of how carbon sequestration by wood products can provide a competitive edge to a sustainable forest products industry. An example includes developing specific carbon storage factors for a range of wood products.

Develop technology and markets to address urgent issues on a global or domestic scale, including:

- 1) Sanitizing wood packaging materials, firewood, and similar products to eliminate these pathways for the transport of insect and disease pests, and
- 2) Developing markets for and using unexpected increases in the volume of urban and rural wood due to new pest introductions (for example, emerald ash borer) and weather events such as tornadoes and ice storms.

Increase the sustainable use of woody biomass to meet our Nations needs for energy, raw materials, and reductions of hazardous fuels. Examples include public/private partnerships for using woody biomass in heating and cooling, process energy, cogeneration, district energy systems, and solid and liquid fuel production. Projects may also develop or maintain local markets and forest industry infrastructure by using woody biomass for both energy and value-added products. Provide key information to address the economic, social and ecological aspects of woody biomass production and use: including sustainable supplies, harvesting techniques, forest management, plantation development, and environmental implications.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Projects need to complement existing activities and/or focus on niches that are not being met by either industry or service providers. In addition, projects need to clearly document industry support and involvement.

Applicant Eligibility:

Nonfederal agencies; public and private agencies including State, local and tribal governments; institutions of higher education; non-profit organizations; for-profit organizations; corporations; businesses; and others.

Beneficiary Eligibility:

Nonfederal agencies; public and private agencies including State, local and tribal governments; institutions of higher education; non-profit organizations; for-profit organizations; corporations; businesses.

Credentials/Documentation:

No credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Depending on available funding, an application package (including instructions, application and electronic location of financial forms) is developed each year that provides guidelines for applicants to follow in developing their proposal. Standard financial assistance application forms (SF-424, SF-424A and applicable Certifications) are required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Established by the USDA Forest Service, Northeastern Area Office, Newtown Square, PA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. SF-424A with SF-424 application is required to amend the awarded amount or length of commitment. Unsuccessful applications can be resubmitted in future years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Depending on budget authority, anywhere from 20 to 50 percent match.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants or Cooperative Agreements are normally awarded for a period of 12 to 36 months. See the following for information on how assistance is awarded/released: Established in award terms. See the following for information on how assistance is awarded/released: Assistance is awarded or released according to conditions established in award terms.

Reports:

No program reports are required. No cash reports are required. Annual accomplishment and periodic financial reports are required. In addition, a detail final report pertaining to program accomplishments is required. Invoices should provide sufficient detail to document the amount requested for reimbursement. Forest Service program staff will conduct monitoring activities to measure performance against established grant objectives. Invoices should provide sufficient detail to document the amount requested for reimbursement. Use form SF-425. Forest Service program managers will conduct monitoring

activities to measure performance against established grant objectives.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients need to maintain records adequate to collect and report statistical data. Forest Service or its designated representatives will have access to recipient records upon request.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 Estimate Not Available - No available funding for annual competitive grant program.

Range and Average of Financial Assistance:

Range varies from \$10,000 to \$80,000. Average grant award is approximately \$50,000.

TAFS Codes:

12-1105.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

https://www.na.fs.fed.us/werc/projects/werc/p_details.cfm?ID=31

Regional or Local Office:

See Regional Agency Offices. USDA Forest Service, Northeastern Area, Wood Education and Resource Center, 301 Hardwood Lane, Princeton, WV 24740, Phone: (304) 487-1510; FAX: (304) 487-6661, E-mail: werc@fs.fed.us.

Headquarters Office:

Steve Marshall, 1400 Independence Ave, SW, Washington, District of Columbia 20250 Email: smarshall@fs.fed.us Phone: 202-205-1380

Website Address:

<http://www.na.fs.fed.us/werc/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

When budgets allow for a competitive grants program, an evaluation panel is convened on an annual basis to evaluate and rate proposals received. Proposals are evaluated on a number of criteria including alignment with WERC's mission and goals, focus on yearly priority items, clear deliverables, industry support, minimal duplication, length of time, multi-state project, and training.

10.682 NATIONAL FOREST FOUNDATION**FEDERAL AGENCY:**

Forest Service, Department of Agriculture

AUTHORIZATION:

National Forest Foundation Act, Public Law 101-593-Title IV-Nov. 16, 1990, as amended by Public Law 103-106 Oct. 12, 1993. Omnibus Consolidated and Emergency Supplemental Appropriations Act, Public Law 105-277, Title II, Oct. 21, 1998.

OBJECTIVES:

The purposes of the National Forest Foundation are to encourage, accept, and administer private gifts of money, and of real and personal property for the benefit of, or in connection with, the activities and services of the Forest Service of the Department of Agriculture; undertake and conduct activities that

further the purposes for which units of the National Forest System are established and are administered and that are consistent with approved forest plans; and undertake, conduct and encourage educational, technical and other assistance, and other activities that support the multiple use, research, cooperative forestry and other programs administered by the Forest Service.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To aid conservation partnership projects in support of the Forest Service mission, without regard to when the Foundation incurs expenses, for administrative expenses or projects on or benefitting National Forest System lands or related to Forest Service programs: Provided, That the Foundation shall obtain, by the end of the period of Federal financial assistance, private contributions to match on at least one-for-one basis funds made available by the Forest Service: Provided further, That the Foundation may transfer Federal funds to Federal or a non-Federal recipient for a project at the same rate that the recipient has obtained the non-Federal matching funds.

Applicant Eligibility:

Program is authorized for the National Forest Foundation under National Forest Foundation Act, Public Law 101-593 as amended by Public Law 103-106.

Beneficiary Eligibility:

Potential beneficiaries include non-governmental, nonprofit 501(c)(3) organizations and Native American tribes working on or adjacent to National Forests and Grasslands throughout the United States.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. National Forest Foundation shall submit a Federal Financial Assistance application SF-424 annually based on the amount of Congressionally appropriated funds.

Award Procedure:

Not Applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50%. 50% matching funds to be provided from private contributions. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Accomplishment reports as set forth in the annual award letter are required. Quarterly performance reports are required. In addition, NFF is required to submit quarterly Federal Financial Reports (SF-425s). These documents are to be submitted on the same schedule (within 30 days of the close of each quarter). The Forest Service program manager shall conduct monitoring activities to track program accomplishments. No cash reports are required. No progress

reports are required. Actual expenditures are detailed on each request for reimbursement and/or advance liquidation request. The Forest Service program manager shall conduct monitoring activities to track program accomplishments.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements.

Records:

National Forest Foundation shall maintain programmatic and accounting records applicable to each annual award for a period of not less than 3 years after the completion of all activities thereunder.

Account Identification:

12-1106-0-1-302 - National Forest System; 12-1103-0-1-302 - Capital Improvement and Maintenance; 12-1105-0-1-302 - State and Private Forestry; 12-1104-0-1-302 - Forest and Rangeland Research; 12-1115-0-1-302 - Wildland Fire Management.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Available; FY 17 est \$3,000,000; and FY 18 Estimate Not Available - 1:1 matching requirement.

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

12-1105; 12-1103; 12-1115; 12-1104; 12-1106.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ellen Shaw 201 14th Street SW, Suite 4NW, Washington, District of Columbia 20250-1158 Phone: 202-205-8336

Website Address:

<http://www.nationalforests.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.683 NATIONAL FISH AND WILDLIFE FOUNDATION

National Fish and Wildlife Foundation (NFWF)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Section 2(b)(2) of Public Law 98-244.

OBJECTIVES:

Created by Congress in 1984, National Fish and Wildlife Foundation (NFWF) directs public conservation dollars to the most pressing environmental needs and matches those investments with private funds. The Foundations method is simple and effective to work with a full complement of individuals, foundations, government agencies, nonprofits, and corporations to identify and fund the Nations most intractable conservation challenges.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To aid cost-share conservation projects, without regard to when expenses are incurred, on or benefitting National Forest System lands or related to Forest Service programs provided, that such funds shall be matched on at least a one-for-one basis by the Foundation or its sub-recipients.

Applicant Eligibility:

Program authorized for the National Fish and Wildlife Foundation (NFWF).

Beneficiary Eligibility:

No additional information.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. A Federal Assistance Application, SF-424 and SF-424A, must be submitted annually based on the amount established in the Forest Service fiscal year appropriations.

Award Procedure:

Not Applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Public Law 98-244.

Matching Requirements: Percent: 50%. Funds shall be matched on at least a one-for-one basis by the Foundation or its sub-recipients.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Annual accomplishment reporting is required. No cash reports are required. No progress reports are required. Actual expenditure detail is required in requests for reimbursement and/or liquidation of advances. The Forest Service program manager will conduct monitoring activities to track accomplishments against goals established in the award terms.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Retain all programmatic and accounting records for a period of not less than three (3) years from the completion date of awards for review by the Forest Service or its designated representative, as required.

Account Identification:

12-1105-0-1-302 - State and Private Forestry; 12-1106-0-1-302 - National Forest System; 12-1115-0-1-302 - Wildland Fire Management; 12-1103-0-1-302 - Capitol Improvement & Maintenance; 12-1104-0-1-302 - Forest and Rangeland Research.

Obligations:

(Direct Payments for Specified Use) FY 16 \$3,671,633; FY 17 Not Separately Identifiable; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

12-1104; 12-1105; 12-1106; 12-1103; 12-1115.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. USDA, Forest Service, National Forest System, Watershed, Fish, Wildlife, Air and Rare Plants, 201 14th Street, SW Washington, DC 20024 (street address and express mail).

Headquarters Office:

Robert M. Harper 201 14th St. NW, 3SE, Washington, District of Columbia 20024 Phone: 202-205-1671

Website Address:

<http://www.nfwf.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.684 INTERNATIONAL FORESTRY PROGRAMS**FEDERAL AGENCY:**

Forest Service, Department of Agriculture

AUTHORIZATION:

International Forestry Cooperation Act of 1990, Act of November 4, 1990, Public Law 101-513, Title VI; 104 Stat. 2070; 16 U.S.C 4501 (note), 4501-4503, 4503a-d, 4504-4505.

OBJECTIVES:

To extend Forest Service efforts to improve forest policies and practices internationally, address climate change, conserve and protect critical global forest environments and resources, and improve the lives of forest-dependent peoples by awarding grants to and entering into cooperative agreements with nonprofit organizations, multilateral organizations, and other individuals, organizations, institutions, and governments engaged in forest conservation and management.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are used to carry out, supplement and complement Forest Service international activities in a wide range of fields, including but not limited to forest policies and regulations, forest products trade, forest management practices, wildlife management, watershed management, recreation and tourism, fire management, forest insect and disease prevention and response, invasive species preventions and response, disaster response and mitigation, landscape planning, forest product development and utilization, climate change adaptation and mitigation, forest economics, and training and institutional strengthening. Grants and cooperative agreements will be limited to five years.

Applicant Eligibility:

Potential applicants include U.S. and international organizations, educational institutions, government entities, and individuals. International applicants must be from countries sanctioned by the State Department.

Beneficiary Eligibility:

Potential beneficiaries include host-country forest management agencies, non-profit organizations, forest landowners in the target countries, forest-dependent communities and peoples in the targeted countries, and U.S. landowners and organizations involved in or concerned with invasive species mitigation, migratory species conservation, legal trade in forest products, and the impact of climate change on forests.

Credentials/Documentation:

Project managers and organizations must document competence in the field and activities of the project proposed. Eligible projects must fit within the U.S. Forest Service International Programs priorities, comply with all federal grants and agreements regulations, and be documented through a completed grant application. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A complete proposal must be submitted to International Programs and explain in detail the work to be undertaken, the qualifications of key personnel involved in the work, resources such as equipment, facilities, services available or needed, and a detailed proposed budget for each fiscal year during the life of the grant. All grant proposals must show technical competence and demonstrate ability to meet international, transnational, national, regional or local needs. Proposals for federal financial assistance must also submit a SF-424, SF-424(A) and SF-424(B).

Award Procedure:

Proposals will be evaluated by the Forest Service Office of International Programs, in consultation with the U.S. State Department and the U.S. Agency for International Development, where relevant, for purposes of ensuring compliance with U.S. foreign policy and objectives. Proposed activities will be assessed for their ability to improve forest management and the welfare of forest-dependent peoples in the targeted country or countries, as well as their ability to enhance or complement existing or planned Forest Service programs and activities.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. 120 days.

Appeals:

Not Applicable.

Renewals:

Requests for continued support will be considered as equal in importance and in competition with pending proposals. Applications submitted for continued support should be identical to a new proposal along with a detailed summary of progress to date. Applications for continued support must be received no later than 3 months prior to the expiration of the existing grant or agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The applicant's contribution is negotiated. The proposed budget by fiscal year shows the estimated cost of the complete project from grant funds and the value of resources to be contributed by the applicant. The non-Federal cost share may consist of: funds, donations, in-kind contributions, direct costs, indirect costs, and other as determined by the Forest Service. Funds will be provided on a per-project basis, as determined by annual budget allocations.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 5 years. Billing and invoices for expenses incurred may be submitted quarterly or semi-annually. Electronic transfers of payments are encouraged. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with

cooperator.

Reports:

Final technical reports and financial status reports are due within one (1) month of completion of the project or of the expiration of the agreement, whichever is first. Cash reports are not applicable. Progress reports are not applicable. Financial status reports using a SF-425 are required at least annually and no more than quarterly as detailed in the applicable OMB Circulars and the grant award. Technical progress reports are required at least annually, but not more than quarterly as detailed in the applicable OMB Circulars and the grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All related data, information, records and accounts shall be retained for 3 years beyond the expiration date of the grant unless other disposition is specified in writing by the awarding agency.

Account Identification:

12-1105-0-1-302 - State & Private Forestry; 12-1106-0-1-302 - National Forest System; 12-1104-0-1-302 - Forest & Rangeland Research.

Obligations:

(Project Grants) FY 16 \$4,535,457; FY 17 est \$7,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

12-72-2015-2016-1021-011; 12-1104; 12-72-2015-2016-1021-011; 12-1105; 12-1106.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please consult with International Programs for information relevant to a specific proposal.

Regional or Local Office:

See Regional Agency Offices. Val Mezainis, Director, International Programs, 202-644-4600 vmezainis@fs.fed.us.

Vanessa Felder-Pinkney, Grants and Agreements Specialist, International Programs, vfelderpinkney02@fs.fed.us, 202-644-4613.

Headquarters Office:

Vanessa Felder-Pinkney 1 Thomas Circle NW Suite 400, Washington, District of Columbia 20005 Email: vanessapinkney@fs.fed.us Phone: 202-644-4613

Website Address:

<http://www.fs.fed.us/global>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established on a program-by-program basis and approved based on the negotiated objectives to be achieved at international, national, regional and local levels.

10.689 COMMUNITY FOREST AND OPEN SPACE CONSERVATION PROGRAM (CFP)

Community Forest Program (CFP)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, P.L. 95-313 as amended (16 USC 2103d). The final rule for implementation of the Community Forest and Open Space Conservation Program (36 CFR Part 230) was published in the Federal Register in October 2011.

OBJECTIVES:

The purpose of CFP is to achieve community benefits by establishing community forests through 50/50 financial assistance competitive grant awards to local governments, Indian tribes, and nonprofit organizations. Grant funds are used by successful applicants to acquire and protect private forestlands. Community forest benefits are specified in the authorizing statute (16 U.S.C. 2103(d) & 2109(e)) and final rule (36 CFR Part 230), including: economic benefits from sustainable forest management and tourism; environmental benefits from natural resource conservation such as storm water management, clean air and water, and wildlife habitat; forest-based educational programs, model forest stewardship activities, and recreational opportunities. Public access to the community forests is required and intended to enhance public health and well-being. In addition, the program authorizes funds to State Foresters and equivalent Indian tribe officials for technical assistance to implement community forest projects.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Landowner participation in the program is voluntary and consists of conveying land to achieve conservation objectives.

Applicant Eligibility:

Project grants are awarded to local governments, Indian tribes, or nonprofit organizations qualified to acquire land and with the authority to manage land.

Beneficiary Eligibility:

No additional information.

Credentials/Documentation:

No credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regional/Northeastern Area (NA) /International Institute of Tropical Forestry (IITF) Offices and the State Foresters can be a resource for assistance. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An annual request for applications will be published in the Federal Register with detailed instructions and updates to application procedures. Applicants will provide a narrative on the project with up to two eight inch by eleven inch maps, the draft community forest plan, and all forms required to process the grant (final rule section 230.7). Documentation of applicant eligibility (final rule section 230.2), information regarding the property, and information regarding the proposed community forest must be included in the application.

Award Procedure:

The Forest Service will publish an annual request for applications based on the funding proposed in the President's Budget. The Forest Service will prioritize a national project list and issue grant to eligible applicants based on the amount provided in Congressional appropriations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50%. Funds reimbursed by the Federal Government may not exceed 50 percent of the total project budget expended by the grantee. The non-Federal share of the match may be in the form of cash, services, or in-kind contribution.

This program may use up to 10% of its funds for each fiscal year to provide technical assistance grants. Technical assistance funds do not have a matching requirement and are available to state foresters or equivalent officials of Indian tribes with a funded project in their jurisdiction.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The annual request for applications will describe in greater detail the award assistance length and time phasing. The initial grant period is 2 years and funds are released in accordance with the final rule, including completion of acquisition requirements under section 230.8. See the following for information on how assistance is awarded/released: As determined by the award terms.

Reports:

The Forest Service program manager will conduct monitoring activities to measure performance against established grant objectives. Grant recipients must provide the Forest Service with a Geographic Information System (GIS) shapefile: a digital, vector-based storage format for storing geometric location and associated attribute information, of CFP project tracts and cost share tracts, if applicable. Additional requirements under section 230.9 of the final rule also apply. Cash reports are not applicable. No progress reports are required. SF 425 Federal Financial Report will be used to report total program expenditures that clearly reflect the established 50% match. The Forest Service program manager will conduct monitoring activities to measure performance against established grant objectives.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Access to financial reports shall be provided to Forest Service or its designees as described in the award.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$2,000,000; FY 17 est \$2,000,000; and FY 18 Estimate Not Available - Annual funding levels to be determined per Presidential budget request.

Range and Average of Financial Assistance:

Individual grant applications may not exceed \$400,000 in program financial assistance. Range and average are to be determined.

TAFS Codes:

12-1105.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Forest Service S&PF, Cooperative Forestry
1400 Independence Avenue, SW
Stop Code 1123
Washington, DC 20250.

Headquarters Office:

Maya Solomon, 1400 Independence Ave SW, MS-1123, Washington, District of Columbia 20850 Phone: 202-205-1376

Website Address:

<http://www.fs.fed.us/spf/coop/programs/loa/cfp.shtml>

RELATED PROGRAMS:

10.676 Forest Legacy Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Forest Service will give priority to an application that maximizes the delivery of community benefits through a high degree of public participation; and

- (1) Type and extent of community benefits provided. Community benefits include:
 - (i) Economic benefits such as timber and non-timber products resulting from sustainable forest management and tourism
 - (ii) Environmental benefits, including clean air and water, stormwater management, and wildlife habitat
 - (iii) Benefits from forest-based experiential learning, including K-12 conservation education programs; vocational education programs in disciplines such as forestry and environmental biology; and environmental education through individual study or voluntary participation in programs offered by organizations such as 4-H, Boy or Girl Scouts, Master Gardeners, etc.(iv) Benefits from serving as replicable models of effective forest stewardship for private landowners
 - (v) Recreational benefits secured through public access, such as hiking, hunting and fishing
- (2) Extent and nature of community engagement in the establishment and long-term management of the community forest
- (3) Amount of cost share leveraged
- (4) Extent to which the community forest contributes to a landscape conservation initiative
- (5) Extent of due diligence completed on the project, including cost share committed and status of appraisal
- (6) Likelihood that, unprotected, the property would be converted to nonforest uses
- (7) Costs to the Federal government
- (8) Additional considerations as may be outlined in the RFP.

10.690 LAKE TAHOE EROSION CONTROL GRANT PROGRAM**FEDERAL AGENCY:**

Forest Service, Department of Agriculture

AUTHORIZATION:

Lake Tahoe Restoration Act, Public Law 106-506, as amended by Department of the Interior and Related Agencies Appropriations Act for Fiscal Year 2004, Public Law 108-108.

OBJECTIVES:

To make annual payments to the governing bodies of each of the political subdivisions (including public utilities) located in the Lake Tahoe Basin to be used primarily for erosion control and water quality projects. The goal of the program is to provide federal assistance to address urban stormwater runoff pollution, the primary source of pollutants (fine sediment and nutrients) affecting the clarity of Lake Tahoe. This program addresses urban runoff from public roads and right of ways.

To fund a competitive grant award program for research projects high in technical merit and relevant to land management and regulatory agencies working in the Tahoe Basin. The goal of the program is to promote applied, timely, relevant research that addresses natural resource management needs in the basin. Research funded through this program addresses key management questions, includes input from agencies, produces decision-support tools that are useful and accessible, fosters collaboration and communication, builds on previous research, and ultimately addresses the science needs identified in the Lake Tahoe Environmental Improvement Program. The environmental goals of the Lake Tahoe Environmental Improvement Program are defined using thresholds that were established to protect the natural environment and maintain public health and safety within the basin. The threshold categories are: 1) water quality, 2) wildlife, 3) soil conservation, 4) scenic resources, 5) air quality, 6)

recreation, 7) vegetation, 8) noise, and 9) fisheries.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Only after water quality and soil conservation thresholds have been achieved, funds may be used to address other environmental threshold categories. Payments shall be used only to carry out a project or proposed project that is part of the environmental improvement program adopted by the Tahoe Regional Planning Agency in 1998.

Applicant Eligibility:

Eligible applicants for National Forest System awards includes State Forestry or equivalent agencies, tribes, non-profits, and municipalities in the local governing bodies of political subdivisions in the Lake Tahoe basin.

Eligible applicants for competitive research awards includes State Agricultural Experiment Stations, universities and colleges, State and local governments, U.S. Territories, nonprofit research institutions or organizations, international organizations, individuals, and for-profit organizations.

Beneficiary Eligibility:

Beneficiary eligibility for National Forest System awards include governing bodies of each of the political subdivisions (including public utilities) located in the Lake Tahoe Basin.

Beneficiary eligibility for competitive research awards include organizations and scientists involved in basic and applied research activities related to forest and rangeland renewable resources.

Credentials/Documentation:

No credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Submission of an annual list of priority projects, along with written proposals for each of the identified priority projects. The USFS has chosen to adopt the State guidelines for the format of these proposals, since they meet all the needs for project narratives for federal grant considerations, with one additional requirement. That additional requirement is clear identification of sources and amounts of proposed match funding.

Implementation of construction projects under awarded grants are not allowed until a signed project decision under NEPA has been made, allowing the use of federal grant funds for implementation. Local Office monitors grant awards to assure compliance of this restriction. Planning, design, and monitoring grants do not require an environmental impact assessment. An environmental impact assessment is required for this program.

For competitive research awards, applicants must adhere to the requirements of the current Request for Proposals as stipulated by the Pacific Southwest Research Station. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Project narratives submitted through the pre-application process are reviewed by an interagency technical advisory committee convened by the Federal grants program managers. Projects are then identified for award, along with instructions for any needed revisions to the project narratives. At that time, eligible applicants submit revised narratives along with standard application forms and assurances to the Local Office, as furnished by the Federal agency.

For competitive research awards, a complete proposal must be submitted following guidelines provided by the Pacific Southwest Research Station. The proposal must explain in detail the work to be undertaken, the qualifications of key personnel involved in the work, other resources such as equipment, facilities, and services available or needed, and a proposed budget for each fiscal year during the life of the proposed grant. A short biographical sketch of the principal investigator and a list of his or her principal publications should be

included.

Award Procedure:

National Forest System will send an award letter to grantee, with identification of grant requirements, in letter from Local Office.

Research award proposals must compete for priority, showing technical competence and demonstrating the ability to meet regional needs. Research grants cooperative agreements are made only in support of forestry research and monitoring of the Lake Tahoe Basin. Unsolicited proposals can be awarded for innovative or unique approaches to the proposed project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. For competitive research grants or cooperative agreements contact Pacific Southwest Research Station's Tahoe Science Coordinator.

Appeals:

Contact Research Station for guidance regarding competitive research grants or cooperative agreements.

Renewals:

From 60 to 90 days. Extensions can be made for individual grants as long as end dates do not exceed five years post award date.

For competitive research awards requests for continued support will be considered as equal in importance and in competition with pending proposals. Applications submitted for continued support should be identical to a new proposal, except that a resume of progress to date must be added.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 50%. For National Forest System awards, notwithstanding section 9(b) of Public Law 106 506, funds hereinafter appropriated under Public Law 106506 shall require matching funds from non-Federal sources on the basis of aggregate contribution to the Environmental Improvement Program, as defined in Public Law 106506, rather than on a project-by-project basis, except for those activities provided under section 9(c) of that Act, to which this amendment shall not apply.

For competitive research awards, as authorized by Public Law 106506 section 6(b) and 6(d), the applicant's contribution is negotiated for grants. The proposed budget by fiscal year shows the estimated cost of the complete project from grant funds and value of resources to be contributed by the applicant. Salaries of academic personnel for periods when they receive salary for teaching should not be included for payment from grant funds. The minimum matching contribution for Cooperative Agreements must constitute at least 20-percent of the estimated total cost of the project. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a period not to exceed 5 years. Invoices may be submitted no more frequently than monthly. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperators.

Reports:

Accomplishment reports are required at least annually, but not more than quarterly as detailed in the applicable OMB Circulars and the grant award. A final accomplishment report, financial expenditures report, and final as-built project design drawings are required within 90 days of project completion.

For competitive research awards, in addition to project-specific reports and products, all funded projects are required to submit quarterly project updates in January, April, July, and October and annual accomplishments reports to comply with the requirements of the Tahoe Science Program. Proposals must account for these reports in the project budgets and timelines. A final progress report showing all tasks complete and a final accomplishments report must be submitted along with the final project report and/or products. The final invoice

and the final federal financial report (SF-425) must be submitted within 90 days of the Pacific Southwest Research Station agreement end date. No cash reports are required. No progress reports are required. Financial expenditures reporting is required. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial and programmatic records must be retained for three years from the date of submission and approval of final financial reports. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1106-0-1-302 - National Forest System; 12-1104-0-1-302 - Research & Development.

Obligations:

(Project Grants (Discretionary)) FY 16 \$700,622; FY 17 Not Separately Identifiable; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1106; 14-5232.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Barb Shanley or Genevieve Villemarie, Forest Service, 35 College Drive, South Lake Tahoe, CA 96150, Telephone: (530) 543-2657 or 2783, Fax: (530) 543-2693, email: bshanley@fs.fed.us or gvillemarie@fs.fed.us. Website: <http://www.fs.fed.us/r5/lbmu/ecgp/index.shtml>

For competitive grant awards, contact: Tiff van Huysen, Ecologist & Tahoe Science Program Coordinator, USDA Forest Service-Pacific Southwest Research Station, UC Davis TERC, TCES Suite 320, 291 Country Club Dr., Incline Village, NV 89451, Telephone: (775) 881-7560 ext. 7482, Fax: (775) 832-1673, email: tlvanhuysen@fs.fed.us. Website: <http://www.fs.fed.us/psw/partnerships/tahoescience/>.

Headquarters Office:

Barbara Shanley, 35 College Drive, South Lake Tahoe, California 96150 Email: bshanley@fs.fed.us Phone: (530) 543-2657.

Website Address:

<http://www.fs.fed.us/r5/lbmu/ecgp/index.shtml>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grants must address planning, construction, or monitoring of projects identified in the Tahoe Regional Planning Agency environmental improvement program (EIP). Projects submitted from this list are selected based on the annual priority list provided by the eligible local governments, and direction provided in the LTRA: 1) The total amounts appropriated for payments under this subsection shall be allocated by the Secretary of Agriculture based on the relative need for and merits of projects proposed for payment under this section. 2) To the maximum extent practicable, for each fiscal year, the Secretary of Agriculture shall ensure that each political subdivision in the Lake Tahoe basin receives amounts appropriated for payments under this subsection.

The Pacific Southwest Research Station (PSW) will complete an administrative review of all submitted proposals to ensure that proposal specifications as outlined in the Request for Proposals are met. Proposals passing the administrative review will be forwarded to the Tahoe Science Consortium (TSC). The TSC will coordinate technical reviews of the proposals. Proposals passing technical review will be forwarded to Tahoe Basin agency personnel for relevancy reviews. The TSC will coordinate a synthesis of the results from the technical reviews and agency relevancy reviews to create a list of proposals recommended for funding. PSW will review the suite of recommended proposals to finalize funding allocations; proposals recommended for funding are not guaranteed funding due to constraints on funding allocations. Final funding allocations for recommended proposals will be determined by the number of projects recommended for funding and individual project budgets. Some projects may be identified as alternates to receive support should funds become available during the negotiation of awards. PSW reserves the right to negotiate scopes of work, budget amounts, and deliverables with Principal Investigators based upon feedback from the review process and to comply with Forest Service policies. Projects may be required to modify their proposed indirect cost rates and/or demonstrate cost share contributions. Once the recommendations and funding allocations have been finalized, PSW will forward the package of recommended projects to the Tahoe Regional Executive Committee (TREX) and to the Bureau of Land Management (BLM) for final approval.

10.691 GOOD NEIGHBOR AUTHORITY

Good Neighbor

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Section 8206 of Public Law 113-79, the Agricultural Act of 2014 (Farm Bill). This authority does not expire. Omnibus Appropriations Act of 2014, Public Law 113-76, section 417; which amends Section 331 of the Department of the Interior and related Agencies Appropriations Act, 2001 (Public Law 106-291; 114 Stat.996), as amended by section 336 of division E of the Consolidated Appropriations Act, 2005 (Public Law 108-447; 118 H.R. 3547 338 Stat. 3102) and Section 422 of the Department of the Interior, Environment, and Related Agencies Appropriations Act, 2010 (Division A of Public Law 111-88; 123 Stat 2961). Appropriations version of the authority is due to expire September 30, 2018.

OBJECTIVES:

To permit the head of any state agency (including the Commonwealth of Puerto Rico) to perform restoration and protection services on Federal land. Note: Appropriations version limits cooperator to State Forestry.

Federal land means land that is National Forest System land. It does not include a component of the National Wilderness Preservation System; Federal land on which the removal of vegetation is prohibited or restricted by Act of Congress or Presidential proclamation; or a wilderness study area.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Restoration services provided are on a project-by-project basis as planned or made ready for implementation under existing Forest Service authorities. Types of projects include treatment of insect infected trees, reduction of hazardous fuels, and other activities to restore or improve forest, rangeland, and watershed health including fish and wildlife habitat. Projects may be completed by the states or subcontracts utilizing state contract procedures. Public works projects may not be performed under the Farm Bill version of the Act.

Under the 2014 Appropriations Bill ONLY, public works projects may be completed but only by a State Forestry agency, or its contractor, and only when similar and complementary work is performed on NFS and adjacent State or private lands. Note: This authority expires September 30, 2018.

Applicant Eligibility:

State includes state agencies that are part of a State university system. State

universities are not eligible.

State includes the Commonwealth of Puerto Rico.

Beneficiary Eligibility:

Program authorized for states containing or affected by National Forest System Land, including the Commonwealth of Puerto Rico.

Credentials/Documentation:

No Credentials or documentation are required, however 2 CFR 200 does apply. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

If necessary or as part of the agreement, appropriate environmental documentation (NEPA) should be completed prior to project implementation. Any decision required to be made under the National Environmental Policy Act of 1969 (42 USC 4321 et seq.) with respect to any authorized restoration services on Federal land to be provided remains the responsibility of the Forest Service. Additional, Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Forest Service and the State agency mutually agree to projects that are appropriate under this authority.

Award Procedure:

The law authorizes sole source award of included projects. Projects and associated funding will be negotiated between the Forest Service and the State agency.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Negotiated between the Forest Service and the State agency.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Good Neighbor Agreements may be executed for up to 10 years. See the following for information on how assistance is awarded/released: As specified in award terms.

Reports:

Performance reports are required at least annually but no more than quarterly as negotiated between the Forest Service and the State agency. No cash reports are required. No progress reports are required. Financial reports (SF-425) shall be submitted in accordance with award terms. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All related data, information, records and accounts shall be retained for a period of 3 years beyond the date of submission of final financial reports. Financial and programmatic records will be made available to the Forest Service or its designated agent upon request.

Account Identification:

12-1106-0-1-302 - National Forest System; 12-1115-0-1-302 - Wildland Fire.

Obligations:

(Cooperative Agreements) FY 16 \$37,720,435; FY 17 est \$5,500,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Variable. Projects may range from \$5,000 - \$1,000,000.

TAFS Codes:

12-1115; 12-1105; 12-1106; 12-5540.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. See regional and local Forest Service offices.

Headquarters Office:

John Crockett 201 14th St SW, Washington, District of Columbia 20250 Email: jwcrockett@fs.fed.us Phone: 202-205-1495

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All Good Neighbor Agreements are approved on the basis of negotiated work products, project objectives to be achieved, and the relationship to regional forest and watershed health issues, priorities and needs.

10.693 WATERSHED RESTORATION AND ENHANCEMENT AGREEMENT AUTHORITY

Wyden Amendment

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Public Law 105-277, Section 323 as amended by Public Law 109-54 Section 434. This program has been permanently authorized, Public Law 111-11.

OBJECTIVES:

To enter into domestic cooperative agreements with willing participants for the protection, restoration, and enhancement of fish and wildlife habitat and other resources on public or private land and for the reduction of risk from natural disaster where public safety is threatened that benefit these resources within the watershed.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Projects that protect, enhance, or restore resources within a watershed and provide tangible benefits to achieving Forest Service goals and objectives are allowable under Wyden. Project types are not limited to actual projects on the ground; for example, stream gabion installation, check dam construction, fish habitat restoration, or culvert cleaning. Watershed analysis studies, habitat surveys and wildlife species monitoring, depending on the benefit to resources within the watershed, are also permissible under Wyden. Any project carried out under Wyden authority must comply with all applicable Federal, State and local laws and regulations, policies and permit requirements; for example, National Environmental Policy Act, Clean Water Act, and Endangered Species Act. Projects must be within a watershed for the stated program objectives.

Applicant Eligibility:

Private landowners, State, local or Tribal governments or other public entities, educational institutions or private nonprofit entities.

Beneficiary Eligibility:

Projects must be located in the same watershed where the public resource benefits will occur.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Any project carried out under Wyden authority must comply with all applicable Federal, State and local laws and regulations, policies and permit requirements; for example, National Environmental Policy Act, Clean Water Act, and Endangered Species Act. The standard application forms SF 424s as furnished by the federal agency and required by OMB A-102, as implemented by 7 CFR Part 3016, must be used for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Forest Service and cooperator mutually agree to projects that are appropriate.

Award Procedure:

Established by Forest headquarters, Regional or Washington Office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days. Submit written request and completed SF-424 application and SF-424A (as applicable) to amend amount or length of commitment; other requirements may be established by the affected Forest headquarters, Regional or Washington Office.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As specified on the cooperative agreement, but not to exceed 5 years. Invoices may be submitted no more frequently than monthly. See the following for information on how assistance is awarded/released: As specified on the cooperative agreement.

Reports:

No program reports are required. No cash reports are required. As specified in the award document, progress reporting is required to monitor progress against award objectives. Recipients will complete and submit SF-425s to report expenditures and cash on hand, as applicable. The recipient and other parties to the agreement are expected to conduct monitoring activities.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Access to financial reports shall be provided to Forest Service or its designees as described in the award.

Account Identification:

12-1115-0-1-302; 12-1105-0-1-302; 12-1106-0-1-302 - 12/14-5232-0-1-302.

Obligations:

(Salaries) FY 16 \$3,968,460; FY 17 Not Separately Identifiable; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Varies by type of project and funding available.

TAFS Codes:

12-1115; 12-1106; 12-1105.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars, as applicable to the type of recipient and 48 CFR 31.2 for Private Landowners.

Regional or Local Office:

See Regional Agency Offices. Varies by type of project and funding available.

Headquarters Office:

FS Watershed and Aquatics, 201 14th Street NW, Room 3SE, Washington, District of Columbia 20024 Phone: (202) 205-1790.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements and grants are negotiated on the basis of project objectives to be achieved, with emphasis on restoration, protection, and enhancement of fish and wildlife habitat, and other resources on public and private land, the reduction of risk from natural disaster where public safety is threatened, or a combination thereof or both that benefit these resources within the watershed; availability of funding.

10.694 SOUTHWEST FOREST HEALTH AND WILDFIRE PREVENTION**FEDERAL AGENCY:**

Forest Service, Department of Agriculture

AUTHORIZATION:

Southwest Forest Health and Wildfire Prevention Act, Public Law 108-317.

OBJECTIVES:

(1) To enhance the capacity to develop, transfer, apply, monitor, and regularly update practical science-based forest restoration treatments that will reduce the risk of severe wildfires, and improve the health of dry forest and woodland ecosystems in the interior West; (2) to synthesize and adapt scientific findings from conventional research programs to the implementation of forest and woodland restoration on a landscape scale; (3) to facilitate the transfer of interdisciplinary knowledge required to understand the socioeconomic and environmental impacts of wildfire on ecosystems and landscapes; (4) to require the Institutes established under this Act to collaborate with Federal agencies to use ecological restoration and wildfire treatments; (5) to assist land managers in restoration-based applications and new management technologies; (6) to provide technical assistance to collaborative efforts by affected entities; and (7) to assist Federal and non-Federal land managers in providing information to the public on the role of fire and fire management.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To require the Institutes established under this Act to collaborate with Federal agencies (A) to use ecological restoration treatments to reverse declining forest health and reduce the risk of severe wildfires across the forest landscape; and (B) to design, implement, monitor, and regularly revise representative wildfire treatments based on the use of adaptive ecosystem management. No funds made available under this Act shall be used to pay costs of constructing any facilities.

Applicant Eligibility:

none.

Beneficiary Eligibility:

One (1) Institute each of (A) the State of Arizona, to be located at Northern Arizona University; (B) the State of New Mexico, to be located at New Mexico Highlands University, while engaging the full resources of the consortium of universities represented in the Institute of Natural Resource Analysis and Management (INRAM); and (C) the State of Colorado, to be located at Colorado State University.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Annual work plans must be approved by the Forest Service in consultation with the Department of Interior.

This authority provides for non-competitive awards to the institutes.

Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Institutes shall submit a Federal Financial Assistance application package, SF-424 forms, annually based on the amount of appropriated funds each fiscal year.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Advance or reimbursable payments.

Reports:

Each Institute must provide a peer-reviewed annual report to the Forest Service in accordance with P.L. 108-317. Accomplishment reports as set forth in the award letter are required. No cash reports are required. Progress reports are not applicable. Actual expenditures are detailed on each request for reimbursement and/or advance liquidation request. An SF425 Financial Report must be submitted at least annually. Terms are noted in the award letter. The Forest Service program manager shall conduct monitoring activities to track program accomplishments.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Institutes shall maintain programmatic and accounting records applicable to each annual award for a period of not less than 3 years after the completion of all activities thereunder.

Account Identification:

12-1105-0-1-302; 12-1115-0-1-302.

Obligations:

(Direct Payments for Specified Use) FY 16 \$1,500,000; FY 17 est \$1,500,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Range of assistance is \$150,000 - \$1,500,000.

TAFS Codes:

12-1105; 12-1115.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: On-going technical assistance. Fiscal Year 2017: On-going technical assistance. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

National Fire Plan; the report entitled Protecting People and Sustaining Resources in Fire-Adapted Ecosystems A Cohesive Strategy (65 Fed. Reg. 67480); and the report entitled 10-Year Comprehensive Strategy: A Collaborative Approach for Reducing Wildland Fire Risks to Communities and the Environment of the Western Governors Association.

Regional or Local Office:

None.

Headquarters Office:

Walter Dunn, 333 Broadway Blvd. SE, Albuquerque, New Mexico 87102
Email: wdunn@fs.fed.us Phone: 505-842-3425

Website Address:

<http://www.fs.usda.gov/goto/r3/sweri>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.699 PARTNERSHIP AGREEMENTS

Partnership Agreements

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, Public Law 95-113, 7 U.S.C 3318 - 3319a; Federal Technology Transfer Act of 1986, Public Law 96-480, 15 U.S.C 3710a; Cooperative Funds and Deposits Act of December 12, 1975, Public Law 94-148, 16 U.S.C 565a1 - a3; Wyden Amendment , Public Law 105-277, Sec 323, 16 U.S.C 565a-1; Interior and Related Appropriations Act of 1992 (Challenge Cost-Share Authority) , Public Law 102-154; Public Land Corps Healthy Forests Restoration Act of 2005, Public Law 109-154, 16 U.S.C 1701; 2014 Farm Bill, Agriculture Conservation Experienced Service (ACES) Program, Public Law 113-79, Section 8302, Public Law 113-79.

OBJECTIVES:

To increase participation by partners in all authorized Forest Service programs and activities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Partnership agreements and cooperative agreements are entered into in support of the U.S. Forest Service's mission. The U.S. Forest Services mission is to sustain the health, diversity, and productivity of the nations forests and grasslands to meet the needs of present and future generations. The agency manages 193 million acres of public land, provides assistance to state and private landowners, and maintains the largest forestry research organization in the world.

The U.S. Forest Service may approach or be approached by a potential partner to enter into a partnership agreement that results in a joint accomplishment of work benefiting both organizations. The principal purpose of partner

agreements is the creation of partnerships in support of projects of mutual interest and mutual benefit to each party. Partnership agreements are considered non-assistance agreements and are not considered grants or cooperative agreements as those terms are used in the Federal Grants and Cooperative Agreements Act, 31 U.S.C. 6301 et seq.

The principal purpose of partner agreements is the creation of partnerships in support of projects of mutual interest and mutual benefit to each party. Mutual interest exists when both parties benefit in the same qualitative way from the objectives of the agreement. If one party independently has an interest in a project that is shared by the other party, and both parties pool resources to obtain the end result of the project, mutual interest exists. Most of authorities requires a mutual interest agreement.

Applicant Eligibility:

The Forest Service partners with non-profits; for-profit; institutions of higher education; federal, state, local, and Native American tribe governments; individuals; foreign governments and organizations.

Beneficiary Eligibility:

The Forest Service has partnership authorities to enter into agreements and cooperative arrangements with willing members of the public, which include but are not limited to, for profit; non-profits; institutions of higher education; federal, state, local, and Native American tribe governments; foreign governments and organizations.

Credentials/Documentation:

The partner must maintain current information in the System for Award Management (SAM) until receipt of final payment. This requires review and update to the information at least annually after the initial registration, and more frequently if required by changes in information. System for Award Management (SAM) means the Federal repository into which an entity must provide information required for the conduct of business as a Cooperative. Additional information about registration procedures may be found at the SAM Internet site (currently at <https://www.sam.gov>).

Also, the partner must immediately inform the U.S. Forest Service if they or any of their principals are presently excluded, debarred, or suspended from entering into covered transactions with the Federal Government according to the terms of 2 CFR Part 180. Additionally, should the partner or any of their principals receive a transmittal letter or other official Federal notice of debarment or suspension, they shall notify the U.S. Forest Service without undue delay. This applies whether the exclusion, debarment, or suspension is voluntary or involuntary. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

The U.S. Forest Service may approach or be approached by a potential partner to enter into a partnership or cooperative agreement. Any party may initiate discussions to enter into an agreement. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. A partnership agreement is not considered a procurement contract under the Federal Acquisition Regulations (FAR) or a grant as that term is used in the Federal Grants and Cooperative Agreements Act, 31 U.S.C. 6301 et seq. Partnership agreements do not incorporate 2 CFR Part 200 into the terms of the agreement. A partnership agreement is a mutually binding legal relationship between two or more parties creating obligations that are enforceable or otherwise recognizable at law.

Partnership agreements do not require competition as the partner is required to provide a contribution or match to the agreement. Contributions are necessary to meet the intent and requirements of mutual interest mutual benefit partnership as stated in the authorizing authority provided to the U.S. Forest Service by Congress. Contributions from either party may include cash, services, in-kind contributions, donation of equipment, volunteer labor, and so forth.

Award Procedure:

Partnership agreements and their supporting documentation are both negotiated and drafted in collaboration with prospective partners prior to entering into an agreement. Discussions cover at a minimum, the appropriate statutory authority; a description of the project, including methodology and technical specifications; contributions and the responsibilities of the parties; financial plan; the period of performance; monitoring and accomplishments reporting. Regardless of who initiates discussions, all elements of a project are subject to negotiation. Any party may terminate the agreement at any time.

Except for provisions necessary for legal compliance, all elements of a partnership agreement are subject to negotiation and modification. Both parties are expected to negotiate, resolve, and document differences in cost and/or proposed work in writing. Any party may terminate the agreement at any time. None of the parties should perform work outside the scope of the existing instrument or in excess of the funding prior to the execution of a modification.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Modification and extensions of agreements are subject to project requirements and available resources.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: For partnership agreements, the partners contribution should not be less than 20 percent. The partner may satisfy the matching requirement by providing cash, real or personal property, services, and/or in-kind contributions, such as volunteer labor. The only exception to the matching requirement is for agreements that take place primarily outside of National Forest System lands that cite the Wyden Authority. Contributions on these agreements are negotiable and may require competition.

There is no matching requirement for Forest Service Research & Development's Cost-Reimbursable Agreements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Partnership Agreements may last up to a maximum of 5 years. See the following for information on how assistance is awarded/released: Payment is generally made on a reimbursement basis. Cash advances are limited to agreements citing P.L. 94-148. Cooperator cash advances are limited to the minimum amount needed to perform anticipated activities or no more than is needed for a 30-day period, whichever is less.

Reports:

Agreements require performance reports. Frequency and level of detail are based on the type or complexity of the project. At a minimum, performance reports are submitted at least annually. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

Retain all records pertinent to this agreement for a period of no less than 3 years from the expiration or termination date. Records include books, documents, accounting procedures and practice, and other data, regardless of the type or format.

Account Identification:

12-1104-0-1-302 - Forest and Rangeland Research; 12-1105-0-1-302 - State and Private Forestry; 12-1106-0-1-302 - National Forest System; 12-1115-0-1-302 - Wildland Fire Management; 12-1103-0-1-302 - Capital Improvement and Maintenance.

Obligations:

(Direct Payments for Specified Use) FY 16 \$74,792,047; FY 17 Not Separately Identifiable; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-5201; 12-5215; 12-1104; 12-1119; 12-5264; 12-1105; 12-5206; 12-5207; 12-5201; 12-1106; 12-5219; 12-5896; 12-5202; 12-1115; 12-5896; 12-8046; 12-8039; 12-5214; 12-8028; 12-4605.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Forest Service Regional Partnership websites may be found at the following link <http://www.fs.usda.gov/prc>.

Headquarters Office:

Jacqueline Emanuel 1400 Independence Ave. SW Mailstop 1138, Washington, District of Columbia 20250 Email: jemanuel@fs.fed.us Phone: 202-205-2254

Website Address:

<http://www.fs.fed.us/working-with-us/partnerships>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.700 NATIONAL AGRICULTURAL LIBRARY**FEDERAL AGENCY:**

Agricultural Research Service, Department of Agriculture

AUTHORIZATION:

5 U.S.C. 301, 552, 5946; 7 U.S.C. 450b, 450i, 2201, 2202, 2204, 2206, 2244, 2264, 2265, and 3318.

OBJECTIVES:

To serve as the Nation's Chief Agricultural information resource by providing agricultural information products and services through traditional library functions and through modern electronic dissemination to agencies of the USDA, public organizations, and individuals.

TYPES OF ASSISTANCE:**DISSEMINATION OF TECHNICAL INFORMATION****USES AND USE RESTRICTIONS:**

Anyone can use the services. Publications in the library collection are available through interlibrary loan or photo-reproduction, and the library facilities are open to anyone wishing to use them. No Restrictions.

Applicant Eligibility:

Individuals, State and local governments, educational organizations, research societies, business and industry including those located in the U.S. Territories.

Beneficiary Eligibility:

Individuals, State and local governments, educational organizations, research societies, business and industry including those located in the U.S. Territories.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Apply by letter to the Office of Associate Director, Public Services Division, National Agricultural Library, Beltsville, MD 20705.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
Grants and Cooperative Agreements.

Reports:

Performance Reports. No cash reports are required. The frequency is outlined in the terms of the Agreement. The frequency is outlined in the terms of the Agreement. The frequency is outlined in the terms of the Agreement.

Audits:

Not Applicable.

Records:

None.

Account Identification:

12-1400-0-1-352.

Obligations:

(Cooperative Agreements) FY 16 \$23,000,000; FY 17 est \$24,000,000; and FY 18 est \$22,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Guide to the Services of the National Agricultural Library."

Regional or Local Office:

See Regional Agency Offices. Office of the Director, National Agricultural Library, Beltsville, MD 20705-2351. Telephone: (301) 504-6780. Use the same number for FTS. Contact: Extramural Agreements Division.

Headquarters Office:

Kathleen S. Townson, 5601 Sunnyside Ave, MS-5110, Beltsville, Maryland 20705 Email: kathleen.townson@ars.usda.gov Phone: (301) 504-1702.

Website Address:

<http://www.nal.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.701 STEWARDSHIP AGREEMENTS

Stewardship End Result Contracting

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

The Agricultural Act of 2014., Public Law 113-79, 16 U.S.C 8205.

OBJECTIVES:

Stewardship End Result Contracting authorizes use of contracts and agreements to help achieve land management goals while meeting local and rural community needs, including contributing to the sustainability of rural communities and providing a continuing source of local income and employment. It focuses on the end result ecosystem benefits and outcomes, rather than on what's removed from the land.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The general purpose of stewardship contracting is to achieve land management goals for National Forest System lands while meeting local and rural community needs. A stewardship contract or agreement should be used when it is the most effective tool for accomplishing land management objectives.

Stewardship agreements are awarded on a best approach determination that takes into account a technical and cost evaluation. Stewardship agreements typically include the exchange of goods (such as forest products) for services, use of retained receipts, or a combination of both. Use of stewardship agreements requires that mutual interest and mutual benefit between the Forest Service and the partner exist in the same qualitative way. All stewardship projects must comply with the National Environmental Policy Act (NEPA). Stewardship projects must be consistent with plans relevant to the project, including: land and resource management plans; range allotment plans; fire management plans; and facilities master plans. All projects must comply with applicable laws, regulations, and agency direction affecting the project areas, including those addressing wilderness areas, endangered species, clean air and clean water, inventoried roadless areas, minimum road system (36 CFR 212.5(b)/FSM 7710.3), designations for motor vehicle use (36 CFR 212.56), and any other requirements for designated special areas. All contracts and agreements must comply with applicable laws, regulations, and agency direction. All stewardship projects must comply with the National Environmental Policy Act (NEPA). Stewardship projects must be consistent with plans relevant to the project, including: land and resource management plans; range allotment plans; fire management plans; and facilities master plans.

All projects must comply with applicable laws, regulations, and agency direction affecting the project areas, including those addressing wilderness areas, endangered species, clean air and clean water, inventoried roadless areas, minimum road system (36 CFR 212.5(b)/FSM 7710.3), designations for motor vehicle use (36 CFR 212.56), and any other requirements for designated special areas. All contracts and agreements must comply with applicable laws, regulations, and agency direction.

Applicant Eligibility:

The Forest Service may enter into stewardship agreements with any entity that has the ability to either perform the work or contract it out. This can include, state and local governments, Federally recognized tribes and non-profit organizations.

Appropriate Stewardship Activities include (but are not limited to):

Road and trail maintenance or obliteration to restore or maintain water quality;
Soil productivity, habitat for wildlife and fisheries or other resource values;
Setting of prescribed fires to reduce wildfire hazards, improve the composition, structure, condition, and health of forest stands, or to improve wildlife habitat;
Removing vegetation or other activities to promote healthy forest stands, reduce wildfire hazards, or achieve other land management objectives;
Watershed restoration and maintenance;
Restoration and maintenance of wildlife and fish habitat;
Control of noxious and exotic weeds and reestablishment of native plant species.

Beneficiary Eligibility:

Stewardship Agreements should not be used for:
Forest Service overhead costs;
Forest Service salaries for contract/agreement development, preparation, or administration;
Project planning or environmental analysis;
Construction of administrative facilities or major developed facilities;
Utilization of forage within an allotment that could be authorized through a grazing permit;
Protection, operation, or maintenance of improvements resulting from stewardship projects;
Research;
Preparation and planning of administrative studies;
Land Acquisition.

Credentials/Documentation:

When negotiating Stewardship Agreements, the discussions must cover at a minimum, a description of the project, including methodology and technical specifications; both parties contributions; the responsibilities of the parties; deliverables, reporting requirements; the period of performance; and monitoring. Regardless of who initiates discussions, all elements of a project are subject to negotiation at any time.

The Forest Service will provide the partner, at a minimum, a map of the stewardship project area, a description of work to be accomplished; the desired outcome (end results) and the environmental analysis documents. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. When negotiating Stewardship Agreements, there are a number of resource activities to consider. The Forest Service will provide to the partner the necessary resource information in order to complete the technical proposal and any potential bid packages. The technical proposal will be submitted to the Forest Service for joint review and approval.

If timber will be removed, the Forest Service will provide the timber sale appraisal, end result work statements, and specifications similar to the A section of a timber sale contract, identified as Appendix E in the Stewardship Agreement.

If there is a technical component to the project the Forest Service will describe the desired end results and/or provide specifications to accomplish the work.

Throughout this process, the Forest Service and partner are communicating to work out the details to accomplish the project.

Award Procedure:

Stewardship projects are typically developed in a collaborative process between the Forest Service and stakeholders and approved by a regional forester or forest supervisor prior to execution of a Stewardship Agreement. A stewardship project may include one or many land management activities affecting areas from a few acres in size up to one or more watersheds. Many stewardship projects may be completed by a partner throughout the life of the (master) agreement.

In order to use a stewardship agreement, a few elements must exist: the regional forester must approve the stewardship proposal submitted by the national forest unit; mutual interest and mutual benefit between the Forest Service and the partner exist in the same qualitative way; and both parties are contributing to the project to achieve mutually beneficial results.

Retained receipts generated from stewardship projects can only be used on the same or other stewardship projects. We can use appropriated funds and retained receipts to accomplish stewardship activities with an emphasis on restoration,

product removal is not required. Monitoring and support should be an integral part of the collaborative process when deciding which projects to pursue using retained receipts.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Not Applicable.

Renewals:

Stewardship agreements may be in place for up to 10 years; and may use retained receipts from other stewardship projects to accomplish work.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 20%. Stewardship agreements are expected to have a 20 percent partner match. However, the Partner is only required to match at least 20 percent of the Total Federal Share column in the Stewardship FP tab of the stewardship agreement financial plan. The partner is not required to match the value of the Forest Product (Goods) for services tab in the financial plan. In the event of a low value of match and a high value of goods for services the Line Officer should carefully consider whether the project is better suited to a competitively awarded stewardship contract instead of a stewardship agreement.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Stewardship agreements may be in place for a period of up to 10 years. See the following for information on how assistance is awarded/released:
Reimbursable payments are the standard, but advance payments are permitted on a case-by-case basis and the Partner must justify the need. Advances are limited to the minimum amount needed or no more than is anticipated for the next 30 days.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. If the partner is requesting reimbursement, the partner should include on the invoice the actual costs incurred, not to exceed the maximum allowed by the pre-determined unit rate based on units completed. If costs come in above the maximum allowable rate, the partner must make up the difference in the amount. The total costs listed on the financial plan crosswalk per service item is the cap for that item and payments are made on a per invoice basis. Reimbursement is not made at an average or annual rate and frequency of billing should be outlined in the terms of the agreement. Program reporting (monitoring) should be submitted by the partner no less than annually and no more than quarterly based on the negotiated terms of the agreement.

Audits:

No audits are required for this program.

Records:

The partner should retain all records for a period of no less than three years from the expiration or termination date of the agreement. Records include books, documents, accounting procedures and practice, and other data, regardless of the type or format.

If any litigation, claim, negotiation, audit, or other action involving the records has been started before the end of the 3-year period, the records must be kept until all issues are resolved, or until the end of the regular 3-year period, whichever is later.

Records for nonexpendable property acquired in whole or in part, with Federal funds shall be retained for 3 years after its final disposition.

Account Identification:

12-1106-0-1-302; 12-5540-0-2-302.

Obligations:

(Cooperative Agreements) FY 16 \$1,586,637; FY 17 Estimate Not

Available(Exp: Discretionary funding); and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1115; 12-5206; 12-5540; 12-1106; 12-1105-S&P; 12-1109; 12-8028.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 5,050 acres of forest vegetation established, 96,387 acres of wildland-urban interface (WUI) hazardous fuels treated, 79,694 acres of non-WUI hazardous fuels treated, and 22,133 acres of terrestrial habitat enhanced.
1,362,176 ccf of timber volume sold. Fiscal Year 2017: No Current Data Available
Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

16 USC 6591c.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

John Crockett 1400 Independence Ave, SW Mailstop 1138, Washington, District of Columbia 20250 Email: jwcrockett@fs.fed.us Phone: 202-205-1495

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.702 ALASKA NATIONAL INTEREST LANDS CONSERVATION ACT (ANILCA) AGREEMENTS

ANILCA Agreements

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Alaska National Interest Lands Conservation Act (ANILCA) of 1980, Public Law 96-487, 16 U.S.C 809, 3101-3233.

OBJECTIVES:

Through a multidisciplinary collaborative program, identify and provide information needed to sustain subsistence fisheries and wildlife management on public lands for rural Alaskans. The program includes maintaining sound management principles and conservation of healthy populations of fish and wildlife and other renewable resources.

The continuation of subsistence use occurring on public land is essential to Alaskas Native and non-Native rural residents physical, economic, traditional, cultural, and/or social existence. No practical alternative means are available to replace the food and other resources fish and wildlife provide to rural Alaskans.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds may be used for approved fish and wildlife subsistence management, traditional ecological knowledge projects, and management of other renewable resources. This authority does not influence any other authority.

Applicant Eligibility:

The U.S. Forest Services mission is to sustain the health, diversity, and productivity of the nations forests and grasslands to meet the needs of present and future generations. The agency manages 193 million acres of public land, provides assistance to state and private landowners, and maintains the largest forestry research organization in the world. To accomplish this mission, the U.S. Forest Service partners with non-profits; for-profit; institutions of higher

education; federal, state, local, Alaska Native and Native American tribal governments, or Alaska Native Corporations (as defined in the Alaska Native Claims Settlement Act); individuals; and organizations.

Beneficiary Eligibility:

An individual/Family, profit organization, other private institution/organization, public nonprofit institution/organization; an officer, employee, agent, department, or instrumentality of the Federal government, of the State of Alaska, municipality or political subdivision of the State of Alaska.

Credentials/Documentation:

The partner must maintain current information in the System for Award Management (SAM) until receipt of final payment. This requires review and update to the information at least annually after the initial registration, and more frequently if required by changes in information. System for Award Management (SAM) means the Federal repository into which an entity must provide information required for the conduct of business as a Cooperative. Additional information about registration procedures may be found at the SAM Internet site (currently at <https://www.sam.gov>).

Also, the partner must immediately inform the U.S. Forest Service if they or any of their principals are presently excluded, debarred, or suspended from entering into covered transactions with the Federal Government according to the terms of 2 CFR Part 180. Additionally, should the partner or any of their principals receive a transmittal letter or other official Federal notice of debarment or suspension, they shall notify the U.S. Forest Service without undue delay. This applies whether the exclusion, debarment, or suspension is voluntary or involuntary. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Partnership agreements and their supporting documentation are both negotiated and drafted in collaboration with prospective partners prior to entering into an agreement. Discussions cover at a minimum, the appropriate statutory authority; a description of the project, including methodology and technical specifications; contributions and the responsibilities of the parties; financial plan; the period of performance; and monitoring. Regardless of who initiates discussions, all elements of a project are subject to negotiation. Any party may terminate discussions at any time.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Except for provisions necessary for legal compliance, all elements of a partnership agreement are subject to negotiation and modification. Both parties are expected to negotiate, resolve, and document differences in cost and/or proposed work in writing. Any party may terminate discussions at any time. None of the parties should perform work outside the scope of the existing instrument or in excess of the funding prior to the execution of a modification.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Partnership Agreements may last up to 5 years. The U.S Forest Service may provide reimbursable payments to its cooperators. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Agreements require performance reports. Frequency and level of detail are based on the type or complexity of the project. At a minimum, performance reports are submitted at least annually.

Cooperators are encouraged to use the Standard Form 270 as their billing invoice. However, they may use their own invoice provided that all of the required elements indicated in the agreement payment provision, are included. The cooperator shall complete and submit an invoice to the U.S. Forest Service and the invoice must reference the U.S. Forest Service agreement number.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

12-1104-0-1-302; 12-1106-0-1-302.

Obligations:

(Salaries) FY 16 Not Separately Identifiable(Exp: New Program); FY 17 Not Separately Identifiable; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1103; 12-1106.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Forest Service, Alaska Region, Thomas Whitford, 161 East 1st Avenue, Door 8, Anchorage, AK 99501 Email: twhitford@fs.fed.us Telephone: 907-743-9500.

Headquarters Office:

Thomas Whitford 161 East 1st Avenue, Door 8, Anchorage, Alaska 99501 Email: twhitford@fs.fed.us Phone: 9077439500

Website Address:

<http://www.fs.usda.gov/r10/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.703 COOPERATIVE FIRE PROTECTION AGREEMENT

Cooperative Fire Protection Agreement

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Reciprocal Fire Protection Act of May 27, 1955, as amended, 42 U.S.C 1856a.

OBJECTIVES:

To allow reciprocal agreements with fire organizations (as defined in 42 USC 1856) for mutual aid in furnishing fire protection. furthermore, to improve efficiency by facilitating the coordination and exchange of personnel, equipment, supplies, services, and funds among the parties in sustaining wildland fire management activities, such as prevention, preparedness, communication and education, fuels treatment and hazard mitigation, fire

planning, response strategies, tactics and alternatives, suppression and post-fire rehabilitation and restoration.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Cooperative Fire Protection Agreements as authorized by statute allow the Forest Service to enter into non-assistance cooperative agreements with defined fire organizations to provide wildland fire protection and to perform approved severity activities. Wildland fire protection includes, detection, suppression, and prevention, and may also extend to activities such as preparedness, training, wildland fire use, and fuels management. Agreements authorize coordination among fire organizations for these activities, and document the coordination among the parties, ensuring maximum protection of resources.

Applicant Eligibility:

The Forest Service may enter into Cooperative Fire Protection Agreements with a fire organization. The term fire organization means any governmental entity or public or private corporation or association maintaining fire protection facilities within the United States, its Territories and possessions, and any governmental entity or public or private corporation or association which maintains fire protection facilities in any foreign country in the vicinity of any installation of the United States. The Forest Service is charged with the duty of providing fire protection for any property of the United States and is authorized to enter into a reciprocal agreement, with any fire organization maintaining fire protection facilities in the vicinity of such property, for mutual aid in furnishing fire protection for such property and for other property for which such organization normally provides fire protection.

Beneficiary Eligibility:

Forest Service enters into non-assistance cooperative agreements with willing fire organizations for the purpose of cooperation in the performance of wildland fire protection projects and during wildfires, emergencies and/or disasters.

Credentials/Documentation:

Non-assistance cooperative agreements under this CFDA description are neither procurements for the direct benefit or use of the Federal government nor are they Federal assistance instruments (i.e., Grant or Cooperative Agreement) where the primary purpose of the relationship is to accomplish a public purpose of support or stimulation. Non-assistance cooperative agreements under this CFDA do not incorporate by reference Federal financial assistance regulations found in 2 CFR Part 200 section A through F, relating to the Uniform Administrative Requirements and Cost Principles, as implemented by USDA regulations 2 CFR Part 400 and do not incorporate by reference procurement regulations. Cooperative Fire Protection Agreements are exempt from the Federal Grants and Cooperative Agreements Act of 1977 (FGCA) by OMB waiver dated March 4, 1981. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Cooperative Fire Protection Agreements are developed in collaboration with defined fire organizations. These are complex agreements that have several components. The agreements require execution of separate operating plans and provide an option to execute supplemental project agreements. Supplemental project agreements must at a minimum provide a description of the project, including methodology and technical specifications; contributions and the responsibilities of the parties; financial plan; the period of performance; and monitoring. Regardless of who initiates discussions, all elements of a project are subject to negotiation. Any party may terminate discussions at any time. Cooperative Fire Protection Agreements must include a waiver by each party of all claims against every other party for compensation for any loss, damage, personal injury, or death occurring in consequence of the performance of such

agreement.

Any such agreement may provide for the reimbursement of any party for all or any part of the cost incurred by such party in furnishing fire protection for or on behalf of any other party. The U.S Forest Service may only provide reimbursable payments to its cooperators. Cash advances are not allowed due to statutory restrictions. Cooperators that seek reimbursement for indirect costs must have sufficient documentation necessary for allowable reimbursement for such costs. Indirect cost rate documentation and/or evidence may include, but is not limited to, accounting records, audit results, cost allocation plan, letter of indirect cost rate approval from an independent accounting firm, or other Federal agency approved rate notice applicable to agreements (such as Negotiated Indirect Cost Rate Agreement (NICRA) from their cognizant agency).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Except for provisions necessary for legal compliance, all elements of the non-assistance cooperative agreement are subject to negotiation and modification. Both parties are expected to negotiate, resolve, and document differences in cost and/or proposed work in writing. Any party may terminate discussions at any time. None of the parties should perform work outside the scope of the existing instrument.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: There is a statutory requirement that agreements under this CFDA description are reciprocal between the parties and that parties to the agreement will bring contributions to the collaborative arrangement. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative Fire Protection Agreements may last up to 5 years. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. Program, cash, progress, expenditure, and performance reports are not applicable to emergency response. For non-emergency projects, performance and expenditure reports may be applicable.

Audits:

No audits are required for this program.

Records:

All related data, information, records and accounts shall be retained for a period of 3 years beyond the date of submission of final financial reports. Financial and programmatic records will be made available to the Forest Service or its designated agent upon request.

Account Identification:

12-1115-0-1-302 - Wildland Fire Management.

Obligations:

(Salaries) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1115.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Tim Melchert 1400 Independence Ave, SW, Mailstop 1138
, Washington, District of Columbia 20250 Email:
CoopFire_Agreements@fs.fed.us Phone: 208-387-5100

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.704 LAW ENFORCEMENT AGREEMENTS

Law Enforcement Agreements

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Cooperative Law Enforcement Act of August 10, 1971, Public Law 92-82, 16 U.S.C 551a.

OBJECTIVES:

Cooperative work between the Forest Service with State and local law enforcement agencies to enhance the enforcement and/or supervision of their laws or ordinances on lands within or part of any unit of the National Forest System. To increase the protection of persons and their property when visiting the National Forest System, and to utilize the opportunity to cooperate with States and Federal agencies in carrying out their specific responsibilities related to the National Forest System.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Patrol campgrounds, developed sites, or dispersed areas on NFS land for crime prevention and detection, emergency and public safety responses, criminal investigations, apprehension, and so forth.

Upon request of a forest officer, provide dispatcher services for routine identification checks, wants/warrants checks, motor vehicle registration queries, and officer welfare checks.

Special enforcement situations; includes but is not limited to fire emergencies, drug enforcement, and certain group gatherings.

Purchase of equipment that will be of mutual benefit for both the Forest Service and cooperating agency is allowable. Reimbursement for equipment cannot constitute a major portion of the agreement. Please see agency policy for further detail prior to negotiating financial terms of the agreement. Federal wage provisions (Davis-Bacon or Service Contract Act) are applicable to any contract developed and awarded under this agreement where all or part of the funding is provided with Federal funds, except that it does not apply to a sheriff departments employees. Davis-Bacon wage rates apply on all public works contracts in excess of \$2,000, and Service Contract Act wage provisions apply to service contracts in excess of \$2,500.

Applicant Eligibility:

cooperators include any State or political subdivision (that is, local governments). Cooperative Law Enforcement Agreements are most commonly entered into with county governments.

Beneficiary Eligibility:

Agreements must comply with requirements in FSM 5360, Law Enforcement.

Credentials/Documentation:

In addition to the applicable form, the following elements are required, when applicable:

- (1) Financial plan.
- (2) Annual operating plan. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

When negotiating Cooperative Law Enforcement Agreements, the discussions must cover at a minimum, a description of the project, including methodology and technical specifications, contributions and the responsibilities of the parties, deliverables, the period of performance, and monitoring. Regardless of who initiates discussions, all elements of a project are subject to negotiation. Any party may terminate discussions at any time.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. The Forest Service and cooperator may contribute funds. A matching requirement is not applicable. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements may be in place for a period of up to five (5) years. See the following for information on how assistance is awarded/released: Reimbursable payments are authorized. Advance payments are not authorized.

Reimbursable cooperator enforcement expenses must be listed in an approved operating plan, expended in connection with activities on NFS lands, and be expenses beyond those which the cooperator is normally able to provide.

During extraordinary situations such as, but not limited to: fire emergency, drug enforcement activities, or certain group gatherings, the U.S. Forest Service may request to provide additional special enforcement activities. The U.S. Forest Service will reimburse the cooperator for only the additional activities requested and not for activities that are regularly performed by the cooperating agency.

Reports:

Complete and furnish annually the U.S. Forest Service with Form FS-5300-5, Cooperative Law Enforcement Activity Report, identifying the number of crimes occurring on NFS lands. The report shall follow the FBI Uniform Crime Reporting groupings, Part I and Part II offenses. Offenses and arrest information shall be combined and reported for each crime. This report shall separate the crimes handled under this agreement from those handled during regular duties.

In addition, the cooperating agency should provide the U.S. Forest Service Principal Contact, listed, with case reports and timely information relating to incidents/crimes in connection with activities on NFS lands. No cash reports are required. No progress reports are required. Billing the U.S. Forest Service should include actual costs incurred to date, displayed by separate cost elements, excluding any previous payment(s) made to the date of the invoice,

not to exceed the cumulative funds obligated as specified on the Annual Operating Plan. Billing frequency should be specified in the Annual Operating Plan. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

The U.S. Forest Service or Comptroller General, through any authorized representative, should be granted access to and the right to examine all records related to each agreement. Records include books, documents, accounting procedures and practices, and other data, regardless of type and regardless of whether such items are in written form, in the form of computer data, or in any other form.

Account Identification:

12-1106-0-1-302 - National Forest System.

Obligations:

(Cooperative Agreements) FY 16 \$3,446,563; FY 17 Not Separately Identifiable; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1106.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Tracie L. Wilkinson 201 14th Street SW, Washington, District of Columbia 20024 Phone: 571-305-0709

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.705 COOPERATIVE FOREST ROAD AGREEMENTS

Cooperative Forest Road Agreements

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

National Forest Road and Trails Act of October 13, 1964, Public Law 88-657, 16 U.S.C. 532-538; Surface Transportation Act of 1978, 23 U.S.C. 105 & 205.

OBJECTIVES:

Allows the Forest Service to work cooperatively with State, county, or local public road authorities for the cooperative planning, survey, design, construction, reconstruction, improvement and maintenance of certain "Forest Roads" (as defined by 36 CFR 212.1).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

These agreements accomplish two purposes set forth in Title 36, Code of Federal Regulations, part 212:

Existing or proposed forest roads that are or will be part of a transportation system of a State, county, or other local public road authority. Forest roads that

are or will be part of a transportation system of a State, county, or other local public road authority and are on rights-of-way held by a State, county, or other local public road authority may be constructed, reconstructed, improved, or maintained by the Forest Service when there is an appropriate agreement with the State, county, or other local public road authority under Title 23, United States Code, section 205 and the construction, reconstruction, improvement, or maintenance is essential to provide safe and economical access to National Forest System lands (36 CFR 212.7a).

Maintenance and reconstruction of National Forest System roads by users. The Chief may require, but not in conflict with an existing permit, easement, contract, or other agreement, the user or users of a road, including purchasers of Government timber and other products, to maintain the roads in a satisfactory condition commensurate with the particular use requirements of each. The maintenance to be borne by each user must be proportionate to total use and no individual user shall be required to perform or bear the costs of maintenance other than that commensurate with their use [36 CFR 212.5d(1)]. Schedule A: Forest Road Agreements must contain a complete list of cooperative roads in Schedule A. The Schedule should be separated into roads under jurisdiction of the cooperator and roads under jurisdiction of the Forest Service. In circumstances where jurisdiction may involve questions of fact that may only be resolved in Federal court (such as the Act of July 26, 1866), a third category of roads whose jurisdiction may not be immediately determined may be included in Schedule A. Schedule A can be modified to both add and omit roads as necessary.

Applicant Eligibility:

State, county or local public road authorities.

Beneficiary Eligibility:

Other Requirements.

a. Direction regarding jurisdiction over forest transportation facilities is found in FSM 7703.3.

b. Direction regarding common transportation interests with local public road authorities is found in FSM 7703.4.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Either the Forest Service or the Partnering Organization may initiate a Forest Roads Agreement.

In addition to the applicable form, the following elements are required, when applicable:

For Cooperative Road Agreements:

- (1) Schedule A.
- (2) Maintenance plan, when applicable.
- (3) Project Agreement, when applicable.
- (4) Financial plan, when entering into a project agreement.

Award Procedure:

When negotiating Forest Road Agreements, the discussions must cover at a minimum, a description of the project, including methodology and technical specifications, contributions and the responsibilities of the parties, deliverables, the period of performance, and monitoring. Regardless of who initiates discussions, all elements of a project are subject to negotiation. Any party may terminate discussions at any time.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Agreements are in effect for up to five years from the date of execution, as negotiated.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The Forest Service and cooperator may contribute funds. Funds may be exchanged between the parties for work. These agreements may be used as a means to pay the cooperator to perform work that is the Forest Services responsibility (23 USC 205). A matching requirement is not applicable.

The Forest Service may reimburse the cooperator up to 100 percent of their costs, both for in-house costs and/or contract costs, to perform the Forest Services share of the work on roads identified on Schedule A.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements are in effect for up to five years from the date of execution, as negotiated. See the following for information on how assistance is awarded/released: Reimbursable payments are preferred.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. Performance reporting is required no less than annually and no more than quarterly, as negotiated in agreement instrument between parties.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

12-1103-0-1-302; 12-1106-0-1-302 - National Forest System; 69-0500-0-1-404 - Fed. Hwy Emergency Relief; 69-8083-0-7-401 - Fed. Hwy Trust Fund.

Obligations:

(Cooperative Agreements) FY 16 \$616,950; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1103; 12-1106.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

David Payne 201 14th Street, SW Suite 3C, Washington, District of Columbia 20024 Email: davidpayne@fs.fed.us Phone: 202-205-0963

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.751 RURAL ENERGY SAVINGS PROGRAM (RESP)

RESP

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Farm Security and Rural Investment Act of 2002, Public Law 107-171, 7 U.S.C 8107a, as amended by Section 6205 of the Agricultural Act of 2014, Public Law 113-79.

OBJECTIVES:

To help rural families and small businesses achieve cost savings.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

The Rural Energy Savings Program provides loans to qualified consumers to implement durable cost-effective energy efficiency measures. Borrowers must meet eligibility requirements, loan term must not exceed 10 years, loans made by an eligible entity to a qualified consumer may bear interest not to exceed 3%. Loans will be made to eligible entities that agree to use the loan funds to make loans to qualified consumers for the purpose of implementing energy efficiency measures. Loan terms to eligible RUS borrowers may not exceed 10 years and are made at a zero percent (%) interest rate. Loans made by RUS Borrowers to end users may not exceed 3 percent (%) interest rate for no more than 10 years.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

The following documents are required in order to submit a completed application: Letter of intent, energy efficiency program implementation work plan, environmental compliance agreement, a pro forma balance sheet, itemized budget for RESP activities and other applicable documents as specified within the RESP Notice of Funds Availability (NOFA). This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The RUS Administrator, has the discretion to make the final decision on approvals of the loans upon a finding that the applicant has met the criteria as outlined in the RESP Notice of Funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

RESP loans are generally made for a period up to 10 years. Any disbursements of loan funds to an eligible entity in 12 consecutive months from the date of the first advance shall not exceed 50 percent of the approved loan amount. See the following for information on how assistance is awarded/released: See NOFA.

Reports:

See NOFA for required reports. Cash reports are not applicable. Progress reports are not applicable. See NOFA for required reports. See NOFA for required reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

12-1230-0-1-271.

Obligations:

(Direct Loans) FY 16 \$0; FY 17 est \$49,861,495; and FY 18 est \$55,401,662

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1230.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see the NOFA for all information

Regional or Local Office:

None.

Headquarters Office:

Christopher McLean 1400 Independence Ave., Stop 1560 , Washington, District of Columbia 20250 Email: christopher.mclean@wdc.usda.gov Phone: 202-720-9545

Website Address:

No Data Available

RELATED PROGRAMS:

10.850 Rural Electrification Loans and Loan Guarantees

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Please see the NOFA for criteria.

10.759 PART 1774 SPECIAL EVALUATION ASSISTANCE FOR RURAL COMMUNITIES AND HOUSEHOLDS PROGRAM (SEARCH)

SEARCH Grant Program

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

The Food, Conservation and Energy Act, Public Law 110-234 (Farm Bill) amended Section 306(a)(2) of the Consolidated Farm and Rural Development Act (CONACT) (7 U.S.C. 1926 (a)(2)). , Title 110, Part 234, Section 306(a)(2) , 7 U.S.C 1926 (a)(2)).

OBJECTIVES:

To make predevelopment planning grants for feasibility studies, design assistance, and technical assistance to financially distressed communities in rural areas with populations of 2,500 or fewer inhabitants for water and waste disposal projects.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

May fund up to 100 percent of the eligible grant costs, not to exceed \$30,000.

Grants can only be made to financially distressed communities in rural areas with populations of 2,500 or fewer inhabitants.

Applicant Eligibility:

Applicants must be (1) public bodies or governmental entities such as states, municipalities, counties, districts, authorities, and other political subdivisions of a State; (2) nonprofit organizations such as associations, cooperatives, private nonprofit corporations, and institutions of higher education and hospitals; (3) Native American Indian tribes on Federal and State reservations, other federally recognized Indian tribes, and Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, and associations).

Beneficiary Eligibility:

Beneficiaries are the entities or organizations eligible to develop projects under the Water and Waste Disposal Loan and Grant Program. Eligible entities include (1) public bodies or governmental entities such as municipalities, counties, districts, authorities, and other political subdivisions of a State, (2) nonprofit organizations such as associations, cooperatives, and private nonprofit corporations, (3) Native American Indian tribes on Federal and State reservations and other federally recognized Indian tribes.

Credentials/Documentation:

Evidence of legal existence and authority, organizational documents, certified list of directors, evidence of tax exempt status, current audit. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Public bodies or non-profit organizations may file applications with the RD State Office for the state in which the organization's headquarters is located. Addresses may be found on the web site of the Water and Environmental Programs
<http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs>

Intermediaries headquartered in the District of Columbia may file the application with the National Office, USDA, Rural Utilities Service, Water and Environmental Programs, STOP 1570, 1400 Independence Avenue SW, Washington, DC 20250-1570.

All required forms may be found on the RUS Water Programs web page at <http://www.rurdev.usda.gov/FormsAndPublications.html>; on that page, select Revolving Fund Program.

- (1) Application for Federal Assistance: Standard Form 424;
- (2) Budget Information Non-Construction Programs: Standard Form 424A;
- (3) Assurances Non-Construction Programs: Standard Form 424B;
- (4) Certification of Compliance with Federal Requirements/Laws (see Exhibit E);
- (5) Evidence of applicants legal existence and authority in the form of certified copies of organizational documents and a certified list of directors and officers with their respective terms;
- (6) Evidence of tax exempt status from the Internal Revenue Service;
- (7) Current audit of the organization;
- (8) Explanation of budget and accounting system in place or proposed, with the administrative cost for operating the fund expressed as a percentage of the overall budget;
- (9) Form AD1047, Certification Regarding Debarment, Suspension, and Other Responsibility Matters Primary Covered Transaction.
- (10) Form AD 1049, Certification Regarding Drug-Free Workplace Requirements (Grants) Alternative For Grantees Other Than Individuals.
- (11) Form AD 1048, Certification Regarding Debarment.
- (12) Attachment regarding assistance provided to Rural Development Employees as required by RD Instruction 1900D.

- (13) Form RD 4004, Assurance Agreement.
- (14) Form RD 4001, Equal Opportunity Agreement."

All required forms may be found on the RUS Water Programs web page at <http://www.rurdev.usda.gov/FormsAndPublications.html>; on that page, select Revolving Fund Program.

- (1) Application for Federal Assistance: Standard Form 424;
- (2) Budget Information Non-Construction Programs: Standard Form 424A;
- (3) Assurances Non-Construction Programs: Standard Form 424B;
- (4) Certification of Compliance with Federal Requirements/Laws (see Exhibit E);
- (5) Evidence of applicants legal existence and authority in the form of certified copies of organizational documents and a certified list of directors and officers with their respective terms;
- (6) Evidence of tax exempt status from the Internal Revenue Service;
- (7) Current audit of the organization;
- (8) Explanation of budget and accounting system in place or proposed, with the administrative cost for operating the fund expressed as a percentage of the overall budget;
- (9) Form AD1047, Certification Regarding Debarment, Suspension, and Other Responsibility Matters Primary Covered Transaction.
- (10) Form AD 1049, Certification Regarding Drug-Free Workplace Requirements (Grants) Alternative For Grantees Other Than Individuals.
- (11) Form AD 1048, Certification Regarding Debarment.
- (12) Attachment regarding assistance provided to Rural Development Employees as required by RD Instruction 1900D.
- (13) Form RD 4004, Assurance Agreement.
- (14) Form RD 4001, Equal Opportunity Agreement."

Award Procedure:

Selected applicant(s) will be notified by Form AD-622.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision with the National Appeals Division of USDA in accordance with USDA regulations at 7 CFR 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Advances and reimbursements will be disbursed over the term of the grant on request of the grantee.

Reports:

Quarterly or semi-annual narrative reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more

in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Annual audit or Management Report

Records:

No Data Available.

Account Identification:

12-1980-0-1-452.

Obligations:

(Project Grants) FY 16 \$3,515,212; FY 17 est \$3,000,000; and FY 18 est \$3,000,000

Range and Average of Financial Assistance:

\$1,700 to \$30,000. Average \$26,631.

TAFS Codes:

12-1980.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1774; RUS Instruction 1780; OMB Circular A87, OMB Circular A110, OMB Circular A102 or OMB Circular A122; Executive Order 12866; and Executive Order 12988

Regional or Local Office:

None.

Headquarters Office:

Anita O'Brien, 1400 Independence Ave., SW, Stop 1570, Washington, District of Columbia 20005 Email: anita.obrien@wdc.usda.gov Phone: (202) 690-3789.

Website Address:

<http://www.rd.usda.gov/programs-services/all-programs/water-environmental-p-rogams>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Projects will be selected based primarily on the funding priorities in Section 1780.17 of RUS Instruction 1780. The State Program Official discretionary points stated in Section 1780.17 (e) of RUS Instruction 1780 can also include consideration of the following criteria:

- (a) Systems with limited resources.
- (b) Smallest systems with lowest incomes.
- (c) Funds availability.

10.760 WATER AND WASTE DISPOSAL SYSTEMS FOR RURAL COMMUNITIES

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 306, Public Law 92-419, 7 U.S.C. 1926.

OBJECTIVES:

Provide loan and grant funds for water and waste projects serving the most financially needy communities. Financial assistance should result in reasonable user costs for rural residents, rural businesses, and other rural users.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS; PROJECT GRANTS
USES AND USE RESTRICTIONS:

Funds may be used for the installation, repair, improvement, or expansion of a

rural water facility including distribution lines, well pumping facilities and costs related thereto, and the installation, repair, improvement, or expansion of a rural waste disposal facility including the collection, and treatment of sanitary, storm, and solid wastes. Eligible and ineligible expenses associated with the preceding are outlined in 7 CFR 1780. No maximum loan amount is established by statute. The maximum term on all loans is 40 years or the facility's useful life, whichever is greater. The term is also restricted by state statute. There are currently three interest rates for direct loans: (1) a poverty rate that is 60 percent of the market rate, (2) an intermediate rate that is 80 percent of the market rate, and (3) a market rate. The poverty interest rate will not exceed 5 percent per year. The poverty rate may apply when the loan is required to meet health or sanitary standards and the median household income (MHI) of the service area is below the poverty line or 80 percent of the statewide nonmetropolitan Median Household Income, whichever is greater. The intermediate rate applies when the MHI of the service area is not more than 100 percent of the statewide nonmetropolitan MHI, and the poverty rate does not apply. The market rate applies when neither the poverty rate nor intermediate rate is applicable. The interest rate on guaranteed loans is negotiable between the applicant and the lender. Grant funds are used as needed, and available, to maintain reasonable rates for users.

Applicant Eligibility:

Municipalities, counties, other political subdivisions of a State such as districts and authorities, associations, cooperatives, corporations operated on a not-for-profit basis, Indian tribes on Federal and State reservations and other Federally recognized Indian tribes. The applicant must: (1) be unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms; and (2) have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service, and for obtaining, giving security for, and repaying the proposed loan. Assistance is authorized for eligible applicants in rural areas of the United States, Puerto Rico, the Virgin Islands, Guam, Commonwealth of Northern Mariana Islands, American Samoa, and to the extent the Secretary determines feasible and appropriate, the Trust Territory of the Pacific Islands.

Beneficiary Eligibility:

Users of the applicant systems, which are previously described as public bodies, private nonprofit corporations, Indian tribes, and individuals.

Credentials/Documentation:

Evidence of legal and organizational capacity, economic feasibility and financial responsibility relative to the activity for which assistance is requested. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. USDA Rural Development (RD) offices administer the program on the local level. Applications include the criteria specified within 7 CFR 1777. This includes, but is not limited to, the requirement of applicants to file an application Form SF-424, Application for Federal Assistance, with the appropriate Rural Development (RD) Office.

Award Procedure:

Applications will be reviewed and scored for funding priority by the RD State Office. After the application has been reviewed at the local level, it is forwarded to the RD State Director for review and processing instructions. Following completion of application processing requirements and loan/grant approval, funds are made available to the applicant. As needed, the RD State Director may request supplemental funding from the RUS National Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Dependent upon funding and whether the application is considered complete. A determination on a complete application will be made within 15 federal working days. If complete, an eligibility and funding determination will be issued within 45 working days.

Appeals:

An application determined ineligible may request a review of this decision with the National Appeals Division of USDA in accordance with USDA regulations at 7 CFR 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula. Funds are allocated to States based upon an established set of criteria. MOE requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Requests for funds are granted as needed.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Annual audit or Management Report

Records:

Required to maintain records and accounts to assure funds are used for authorized purposes.

Account Identification:

12-4218-0-3-452; 12-4155-0-3-452.

Obligations:

(Direct Loans) FY 16 \$1,187,928,146; FY 17 est \$1,200,000,000; and FY 18 est \$1,200,000,000. (Guaranteed/Insured Loans) FY 16 \$7,028,500; FY 17 est \$10,851,000; and FY 18 est \$10,851,000. (Project Grants) FY 16 \$428,989,305; FY 17 est \$321,458,395; and FY 18 est \$321,458,000

Range and Average of Financial Assistance:

(Direct Loans) \$15,000 to \$9,998,000; average \$1,957,048

(Grants) \$4,000 to \$9,000,000; average \$847,804

(Guaranteed/Insured Loans) \$205,000 to \$3,100,000; average \$1,405,700.

TAFS Codes:

12-4218; 12-4226; 12-1980.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1780, Water and Waste Loans and Grants, 7 CFR 1779, Water and

Waste Disposal Program Guaranteed Loans.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Consult local telephone directory under United States Government, Department of Agriculture, for Rural Development office number. If no listing, get in touch with the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, Department of Agriculture, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

<http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs>

RELATED PROGRAMS:

11.300 Investments for Public Works and Economic Development Facilities; 14.218 Community Development Block Grants/Entitlement Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In selecting applications for funding, the agency cooperates with appropriate State agencies. RUS assistance for water and waste disposal projects will be directed toward truly rural areas and rural communities. Considerations for available funds emphasize such criteria as, but not limited to: Population; low income unemployment; and health and sanitary problems. RUS financed facilities will be consistent with any development plans of the State, multi-jurisdictional area, county, or municipality in which the proposed project is located.

10.761 TECHNICAL ASSISTANCE AND TRAINING GRANTS

TAT Grants

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Sections 306(a)(16)(A), Public Law 99-198, 7 U.S.C. 1926(a).

OBJECTIVES:

To identify and evaluate solutions to water and waste disposal problems in rural areas; to assist applicants in preparing applications made in accordance with 7 CFR 1780; to improve operation and maintenance of water and waste disposal facilities in rural areas.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to pay expenses associated with providing technical assistance and/or training (TAT) to identify and evaluate solutions to water problems relating to source, storage, treatment, and distribution, and to waste disposal problems relating to collection, treatment, and disposal; assist applicants that have filed an application with RUS in the preparation of water and/or waste disposal loan and/or grant applications; and to provide training that will improve the management, operation and maintenance of water and waste disposal facilities. Grant funds may not be used to recruit applications, duplicate current services such as those performed by a consultant in developing a project, fund political activities, pay for capital assets, purchase real estate or vehicles, improve and renovate office space or repair and maintain privately owned property, pay construction or O&M costs, and pay costs incurred prior to the effective date of grants made.

Applicant Eligibility:

Eligible entities must be private nonprofit organizations. Applicants must have proven ability, background, experience, legal authority and actual capacity to provide technical assistance and/or training on a regional basis to associations.

Beneficiary Eligibility:

Entities that may be eligible for water and waste disposal loans and grants (10.760) such as municipalities, counties, districts, authorities, and other political subdivisions of a State, organizations operated on a not-for-profit basis, such as associations, cooperatives, or private corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Standard application forms as furnished by RUS must be used for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. OMB Circular A-110 applies to this program. This program is subject to the provisions of OMB Circular A-122. An Application for Federal Assistance, Form SF 424, will be filed with the appropriate Rural Development (RD) State Office or the Rural Utilities Service (RUS) National Office between October 1 and December 31 each fiscal year.

Award Procedure:

Applications received by an RD State Office will be reviewed and forwarded with any written comments within seven working days to the RUS approval official.

Deadlines:

Oct 01, 2011 to Dec 31, 2011

Range of Approval/Disapproval Time:

From 30 to 60 days. Applicants normally will be notified of funding eligibility within 45 days after December 31. The RD State Office or RUS National Office will provide instructions and additional forms to eligible applicants to continue processing the application for approval.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision with the National Appeals Division of USDA in accordance with USDA regulations at 7 CFR 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A time limitation is not specified, however, priority will be given to applicants whose time frame for completion of the technical assistance and/or training grant project is 12 months or less. Method of awarding/releasing assistance: quarterly.

Reports:

All grantees will submit quarterly reports to the RUS National Office through the appropriate RD State Office. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal

awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Annual audit or Management Report

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant must be retained for a period of at least three years after closing.

Account Identification:

12-1980-0-1-452.

Obligations:

(Project Grants) FY 16 \$20,118,511; FY 17 est \$20,000,000; and FY 18 est \$20,000,000

Range and Average of Financial Assistance:

\$66,361 to \$9,100,000. Average: \$1,005,926.

TAFS Codes:

12-1980.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, Part 1775, Technical Assistance and Training Grants.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for RD district office number. If no listing, get in touch with the appropriate RD State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

<http://www.rd.usda.gov/programs-services/water-waste-disposal-technical-assistance-training-grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Assistance will be directed to those applicants who: (1) have demonstrated ability to provide technical assistance and/or training to rural associations; (2) propose to serve multi-state, regional, or nationwide areas; (3) maximize use of grant funds for direct staffing of activities that are delivered to the associations; and (4) the population of the associations served have low income.

10.762 SOLID WASTE MANAGEMENT GRANTS

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 310B, Public Law 101-624, 7 U.S.C. 1932.

OBJECTIVES:

To reduce or eliminate pollution of water resources and improve planning and management of solid waste disposal facilities in rural areas.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to: Evaluate current landfill conditions to determine threats to water resources in rural areas; provide technical assistance and/or training to enhance operator skills in the maintenance and operation of active landfills in rural areas; provide technical assistance and/or training to help associations reduce the solid waste stream; and provide technical assistance and/or training for operators of landfills in rural areas which are closed or will be closed in the near future with the development/implementation of closure plans, future land

use plans, safety and maintenance planning, and closure scheduling within permit requirements. Grant funds may not be used to: Recruit applications/applications for any loan and/or grant program including RUS Water and Waste Disposal Loan and/or Grant Program; duplication of current services, replacement or substitution of support previously provided such as those performed by an association's consultant in developing a project; fund political activities; pay for capital assets, the purchase of real estate or vehicles, improve and renovate office space, or repair and maintain privately-owned property; pay for construction or operation and maintenance costs of water and waste facilities; and pay costs incurred prior to the effective date of grants made under this subpart.

Applicant Eligibility:

Entities eligible for grants are nonprofit organizations, including: Private, nonprofit organizations that have been granted tax exempt status by the Internal Revenue Service (IRS); and public bodies including local governmental-based multijurisdictional organizations. Applicants must have the proven ability, background, experience, legal authority, and actual capacity to provide technical assistance and/or training on a regional basis to eligible beneficiaries.

Beneficiary Eligibility:

The entities that receive assistance are: (1) municipalities, counties, districts, authorities, and other political subdivisions of a State; (2) organizations operated on a not-for-profit basis, such as associations, cooperatives, and private nonprofit corporations; (3) and, Indian tribes on Federal and State reservations and other federally recognized Indian tribes.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, and ability to deliver technical assistance and/or training. This program is excluded from coverage under OMB Circular No. A-87. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. OMB Circular A-110 applies to this program. This program is subject to the provisions of OMB Circular A-122. The Water and Environmental Programs of the USDA Rural Utilities Service administers the program. Applications include the criteria specified within 7 CFR 1775. This includes, but is not limited to, the requirement to submit an application Form SF-424, Application for Federal Assistance, to the National Office in Washington, DC.

Award Procedure:

The Water and Environmental Programs staff in Washington, DC, will review and process the application. Following completion of application processing requirements and grant approval, funds are made available to the applicant on a reimbursement basis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Dependent upon funding availability and the completeness of the application. From 60 to 120 days following the submission deadline.

Appeals:

An application determined ineligible may request a review of this decision with the National Appeals Division of USDA in accordance with USDA regulations at 7 CFR 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A time limitation is not specified, however, priority will be given to applicants whose time frame for completion of the grant project is 12 months or less. See the following for information on how assistance is awarded/released: Upon request.

Reports:

All grantees will submit quarterly reports to the RUS approval official. Cash reports are not applicable. All grantees will submit quarterly reports to the RUS approval official. All grantees will submit quarterly reports to the RUS approval official. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Annual audit or Management Report

Records:

Records and accounts are required to reflect the project operations.

Account Identification:

12-1980-0-1-452.

Obligations:

(Project Grants) FY 16 \$4,284,791; FY 17 est \$4,000,000; and FY 18 est \$4,000,000

Range and Average of Financial Assistance:

\$17,000 to \$900,000. Average: \$129,842.

TAFS Codes:

12-1980.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1775

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Consult local telephone directory under United States Government, Department of Agriculture, for Rural Development office number. If no listing, get in touch with the appropriate RD State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, Department of Agriculture, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

<http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grant funds will be directed to those applicants who; (1) Have demonstrated ability to provide technical assistance on a regional basis to rural communities; (2) propose to assist small, low income communities; (3) propose to serve

multi-State, regional or nationwide areas; (4) the extent to which the proposed project is cost effective; and (5) meet the objectives of RUS Water and Waste Disposal Program.

10.763 EMERGENCY COMMUNITY WATER ASSISTANCE GRANTS

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, Section 306A; Food, Agriculture, Conservation, and Trade Act of 1990, Title XXIII, Public Law 101-624.

OBJECTIVES:

To help rural residents who have experienced a significant decline in quantity or quality of water to obtain adequate quantities of water that meet the standards of the Safe Drinking Water Act.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may be used for existing systems: (1) to alleviate a significant decline in the available quantity or quality of water by constructing new wells, reservoirs, transmission lines, treatment plants, and other sources of water; (2) To extend or repair distribution waterlines, repair breaks, and pay for operation and maintenance items that remedy an acute shortage or significant decline in the quality or quantity of portable water; and, (3) To assist rural water systems comply with the requirements of the Federal Water Pollution Control Act or the Safe Drinking Water Act when noncompliance is related directly to a recent decline in quality of potable water.

Grants made to alleviate a significant decline in quantity or quality of water available from the water supplies in rural areas that occurred within two years of filing an application with USDA cannot exceed \$500,000. Grants for repairs, partial replacement, or significant maintenance on an established system cannot exceed \$150,000. Grants provided under this program shall not be used to assist a rural area or community with a population in excess of 10,000; to assist a rural area that has a median household income in excess of the statewide non-metropolitan median household income according to the most recent decennial census of the U.S.; to finance facilities which are not modest in size, design, and cost; to pay loan or grant finder's fees; to pay any annual recurring costs considered to be operational expenses; to pay rental for the use of equipment or machinery owned by the rural community; to purchase existing systems; to refinance existing indebtedness; and to make reimbursement for projects developed with other grant funds. Grants provided under this program shall not be used to assist a rural area or community with a population in excess of 10,000; to assist a rural area that has a median household income in excess of the statewide nonmetropolitan median household income according to the most recent decennial census of the U.S.; to finance facilities which are not modest in size, design, and cost; to pay loan or grant finder's fees; to pay any annual recurring costs considered to be operational expenses; to pay rental for the use of equipment or machinery owned by the rural community; to purchase existing systems; to refinance existing indebtedness; and to make reimbursement for projects developed with other grant funds.

Applicant Eligibility:

Eligible beneficiaries include (1) Public bodies or governmental entities such as municipalities, counties, districts, authorities, and other political subdivisions of a State, (2) nonprofit organizations such as associations, cooperatives, and private nonprofit corporations, (3) Native American Indian tribes on Federal and State reservations and other federally recognized Indian tribes. Projects must serve rural areas, excluding any city or town having a population greater than 10,000.

Beneficiary Eligibility:

Users of the applicant systems, which are previously described as public bodies, private nonprofit corporations, and federally-recognized Indian tribes.

Credentials/Documentation:

Evidence of legal and organizational capacity, and economic feasibility. This program is excluded from coverage under OMB Circular No. A-87. 2 CFR 200,

Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Rural Development (RD) State Director will administer the program on the local level. Applications include the criteria specified within 7 CFR 1778. This includes, but is not limited to, the requirement of applicants to file an application Form SF-424, Application for Federal Assistance, with the appropriate Rural Development (RD) Office.

Award Procedure:

Applications received will be reviewed and scored for funding priority by the RD State office. Applications must compete on a national basis for available funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Dependent upon funding and whether the application is considered complete. A determination on a complete application will be made within 15 federal working days. If complete, an eligibility and funding determination will be issued within 45 working days.

Appeals:

An application determined ineligible may request a review of this decision with the National Appeals Division of USDA in accordance with USDA regulations at 7 CFR 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Advances and reimbursements will be disbursed over the term of the grant on request of the grantee.

Reports:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Annual audit or Management Report

Records:

The grantee shall maintain adequate records and accounts to assure that grant

funds are used for authorized purposes.

Account Identification:

12-1980-0-1-452.

Obligations:

(Project Grants) FY 16 \$13,498,782; FY 17 est \$22,000,000; and FY 18 est \$22,000,000

Range and Average of Financial Assistance:

\$12,000 to \$500,000. Average: \$259,592.

TAFS Codes:

12-1980.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1778.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under United States Government, Department of Agriculture for Rural Development District Office number. If no listing, contact appropriate Rural Development State office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, Department of Agriculture, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

<http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs>

RELATED PROGRAMS:

10.760 Water and Waste Disposal Systems for Rural Communities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The proposed projects designed to serve a rural area with a population of 10,000 or less that has a median household income of not more than 100 percent of the statewide nonmetropolitan household income and had a significant decline in quantity of water available from private individually owned wells will be given priority. Preference also will be given to proposed projects that will assist an established water system or remedy an acute shortage of quality water. The Rural Development State Director will rate applications on a point system and points received will be considered in selecting projects for funding.

10.766 COMMUNITY FACILITIES LOANS AND GRANTS

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 306, Public Law 92-419, 7 USC 1926, Executive Order Consolidated and Further Continuing Appropriations Act, 2013, Public Law 113-6.

OBJECTIVES:

To construct, enlarge, extend, or otherwise improve community facilities providing essential services to rural residents.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Community facilities include, but are not limited to, those providing or supporting overall community development such as hospitals, fire stations, child care facilities, food recovery and distribution centers; assisted-living facilities; group homes, mental health clinics, and shelters; and education facilities. Projects comprise community, social, health care, education, cultural, transportation, industrial park sites, fire and rescue services, access ways, and

utility extensions. All facilities financed in whole or in part with RHS funds shall be for public use. Applicant Eligibility: City, county, and State agencies; political and quasi-political subdivisions of States and associations, including corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes; and existing private corporations which: (1) are operated on a not-for-profit basis; (2) have or will have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service and for obtaining, giving security for, and repaying the loan; and (3) are unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms. Assistance is authorized for eligible applicants in rural areas of the States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the commonwealth of the Northern Mariana Islands, the Marshall Islands, the Republic of Palaw, and the Federated States of Micronesia. Direct loans - Poverty, intermediate, and market interest rate change quarterly. Repayment term is the lesser of 40 years or life of security. Guaranteed terms negotiated with lender with maximum term for guarantee being the lesser of 40 years or life of security.

Applicant Eligibility:

City, county, and State agencies; political and quasi-political subdivisions of States and associations, including corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes; and existing private corporations which: (1) are operated on a not-for-profit basis; (2) have or will have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service and for obtaining, giving security for, and repaying the loan; and (3) are unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms. Assistance is authorized for eligible applicants in rural areas of the States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the commonwealth of the Northern Mariana Islands, the Marshall Islands, the Republic of Palaw, and the Federated States of Micronesia.

Beneficiary Eligibility:

Loans for essential community facilities are made to eligible entities who provide essential community services to the population living within the service area of the facility or being served by the facility. Beneficiaries include farmers, ranchers, rural residents, rural businesses, and other users of such public facilities in eligible applicant areas as set out above.

Credentials/Documentation:

Evidence of legal capacity and financial responsibility of the applicants and the economic feasibility of the project relative to the activity for which assistance is requested. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

The standard application forms as provided by the Federal agency and required by OMB Circular No. A-102 must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires in applying for assistance if the State has selected the project for review. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For direct loans and grants, applicants must file Standard Form SF-424 and supporting documentation at the local Rural Development Area Office. For guaranteed loans, the lender must file Form RD 3575-1 and supporting documentation.

Award Procedure:

After the preapplication has been reviewed by the Rural Development Area Office, it is forwarded to the Rural Development State Office for review and processing instructions. Following review by the State Office, the applicant is notified about eligibility, availability of funds, and if an application should be filed. Upon completion of application processing requirements and approval by the State Office, funds are made available to the Rural Development Area

Office for delivery.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 90 days after a complete application is received.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The notification will include a statement for appeal or review of the adverse decision. The applicant may request an appeal or review of the decision.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching funds are not required but may be used in connection with the funds provided from the applicant or other sources. Funds are allocated to States based upon rural population, number of households below the poverty level, and rural unemployment. The statistical factor for eligibility is cities, towns or incorporated areas under 20,000 population for direct and guaranteed loans and 20,000 population for grants. The source is "Latest Decennial Census." Grants are limited to a maximum of 75 percent of project cost.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

A time limitation is not specified for the use of Community Facilities loan or grant funds. Funds will be awarded when all program requirements are met and the project can be completed on a timely basis. Funds may be advanced on an as needed basis by RHS to cover expenses for a 30-day period. See the following for information on how assistance is awarded/released: See Above.

Reports:

Periodic reports are made to Rural Development. Each borrower will monitor and report to RHS on actual performance during the construction of each project financed, or to be financed, in whole or in part with Community Facilities loan funds. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of loans and other agreements.

Records:

The borrower must maintain adequate records and accounts of the operation of the facility developed.

Account Identification:

12-1951-0-1-452; 12-4155-0-3-452.

Obligations:

(Direct Loans) FY 16 \$2,200,000,000; FY 17 est \$2,600,000,000; and FY 18 est \$2,200,000,000. (Guaranteed/Insured Loans) FY 16 \$246,052,017; FY 17 est \$148,305,000; and FY 18 est \$148,305,000. (Project Grants) FY 16 \$25,000,000; FY 17 est \$30,000,000; and FY 18 est \$25,000,000

Range and Average of Financial Assistance:

2016: Direct Loans: \$3,500 to \$120,000,000. Average: \$2,872,843. Guaranteed Loans: \$108,000 to \$27,000,000. Average: \$6,411,271. Grants: \$275-\$50,000. Average \$31,141.

TAFS Codes:

12-4228; 12-1951; 12-4225.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Community Facilities primarily finances health care, public

safety and educational facilities. For FY2016, CF-funded facilities serviced 26,433,226 rural residents, or 46% of the rural population, through the financing of these types of new and improved community facilities. Fiscal Year 2017: Community Facilities primarily finances health care, public safety and educational facilities. We anticipate in FY 2017 servicing 8,000,000 rural residents through the financing of these types of new and improved community facilities or 14% of the rural population. Fiscal Year 2018: Community Facilities primarily finances health care, public safety and educational facilities.

We anticipate in FY 2018 servicing 8,000,000 rural residents through the financing of these types of new and improved community facilities or 14% of the rural population.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1942, subpart A, Community Facilities Loans; 7 CFR 1942, subpart C, Fire and Rescue Loans; 7 CFR 3575, subpart A, Community Facilities Guaranteed Loans; 7 CFR 3570, subpart B, Community Facilities Grants.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under U.S. Department of Agriculture for Rural Development county or Area office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Geoffrey C Armes 1400 Independence Ave SW, Washington, District of Columbia 20250 Email: geoffrey.armes@wdc.usda.gov Phone: 2027201498

Website Address:

<http://www.rd.usda.gov>

RELATED PROGRAMS:

10.500 Cooperative Extension Service; 10.664 Cooperative Forestry Assistance; 15.124 Indian Loans_Economic Development

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Projects are selected for funding giving due consideration to State development strategies, serving the largest number of low income rural residents, and priority recommendations. Priority for funding will be given to those projects that will enhance public safety such as fire, police, rescue and ambulance services, and projects for health care facilities.

**10.767 INTERMEDIARY RELENDING PROGRAM
IRP**

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Health and Human Services Act of 1986, Section 407, 7 U.S.C 1932.

OBJECTIVES:

To finance business facilities and community development.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

An entity that receives an Intermediary Relending Program (IRP) loan from the Rural Business-Cooperative Service (RBS) is referred to as an intermediary. Intermediaries must relend all of the loan funds received from the IRP loan for business facilities or community development in rural areas. An entity that receives a loan from an intermediary is referred to as an ultimate recipient. The maximum loan to any one intermediary is \$2 million. The maximum term is 30 years and the interest rate is one percent per annum. Intermediaries may not use IRP funds to finance more than 75 percent of the cost of an ultimate recipient's project or for a loan of more than \$250,000 to one ultimate recipient. (No more than 25 percent of an IRP loan approved may be used for loans to ultimate recipients that exceed \$150,000.)

Applicant Eligibility:

Eligible intermediaries may include: Private nonprofit organizations, State or local governments, and Federally recognized Indian tribes and cooperatives.

Beneficiary Eligibility:

Ultimate recipients may include: For profit organizations, individuals, public and private nonprofit organizations.

Credentials/Documentation:

Intermediaries must have adequate legal authority and a proven record of successfully assisting rural businesses and industries. Ultimate recipients must not be located within a city with a population of 25,000 or more. Both intermediaries and ultimate recipients must be unable to obtain the loan at reasonable rates and terms through commercial credit or other Federal, State, or local programs. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Potential intermediaries should file applications with the State office for the State in which the intermediary's headquarters is located. The application package includes Form 4274-1 and a written work plan. More details are available in 7 CFR 4274.343, or from the appropriate Rural Development State Office. The Rural Development administers the program on the local level. Intermediaries develop their own application procedures for ultimate recipients.

Award Procedure:

The application of each intermediary will be evaluated by the RD State Office. Applications received by RBS will be reviewed and ranked quarterly and funded in the order of priority ranking.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Adverse actions by RBS in connection with this program may be appealed by contacting the Area Supervisor of the USDA National Appeals Division. Appeals will be handled in accordance with 7 CFR 1900-B.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applicant intermediaries must show a need for the funds and limit the request to an amount they can expect to use within one year. After a loan is approved, the funds are released to the intermediary in multiple advances as required to fund loans to ultimate recipients. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. No cash reports are required. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget.

annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records and accounts must be maintained to reflect the operations of each project.

Account Identification:

12-4233-0-3-452; 12-2069-0-1-452.

Obligations:

(Direct Loans) FY 16 Not Available; FY 17 est \$18,889,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

This program has no statutory formula. Matching requirements are not applicable to this program. However, Intermediaries may not use IRP funds to finance more than 75 percent of the cost of an ultimate recipient's project. MOE requirements are not applicable to this program.

TAFS Codes:

12-2069.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4274, Subpart D; 7 CFR 1951, Subpart R.

Regional or Local Office:

See Regional Agency Offices. RBS State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Lori Washington 1400 Independence Avenue, SW Room 4204
Mailstop 3226, Washington, District of Columbia 20250 Email:
Lori.Washington@wdc.usda.gov Phone: 202-720-1400

Website Address:

<http://www.rd.usda.gov/programs-services/intermediary-releasing-program>

RELATED PROGRAMS:

10.769 Rural Business Enterprise Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Factors considered in judging applications include: Financial condition, assurance of repayment ability, equity, collateral, experience and record of managing a loan program or providing other assistance to rural businesses, ability to leverage with funds from other sources, extent assistance would flow to low income persons.

10.768 BUSINESS AND INDUSTRY LOANS

B&I Guaranteed Loan Program

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, Section 310B, 7 U.S.C 1932, Section 310B, 7 U.S.C 1932.

OBJECTIVES:

The purpose of the B&I Guaranteed Loan Program is to improve, develop, or finance business, industry, and employment and improve the economic and

environmental climate in rural communities.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

The program guarantees lenders loans for real estate, machinery and equipment, working capital, and debt refinancing. Assistance is limited to rural areas. Some loan purposes such as golf courses, gambling, and agricultural production are restricted or limited. Guaranteed loan funds in the amount of 5 percent of the funds available each year through FY 2018 will be set aside for the locally or regionally produced agricultural food products initiative. Guaranteed loans are the only type of assistance under this program. For FY2014 the maximum guarantee fee was increased to 3%. A borrower may be a cooperative organization, corporation, partnership, or other legal entity organized and operated on a profit or nonprofit basis; an Indian tribe on a Federal or State reservation or other Federally recognized tribal group; a public body; or an individual. Guaranteed loan funds in the amount of 5 percent of the funds will be available each year until Management changes. These funds will be set aside for the locally or regionally produced agricultural food products initiative. Guaranteed loans are the only type of assistance under this program. The annual guarantee fee is 3%.

Applicant Eligibility:

A borrower may be a cooperative organization, corporation, partnership, or other legal entity organized and operated on a profit or nonprofit basis; an Indian tribe on a Federal or State reservation or other Federally recognized tribal group; a public body; or an individual.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

A complete application containing such items as credit reports, financial statements, and appraisals are required as part of the application. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Complete the requirements of RD Instruction 4279-B, section 4279.161(b).

Award Procedure:

Applications are funded on a first-come first served basis according to priority points procedures outlined in RD Instruction 4279-B, section 4279.155.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications typically take 60 days to approve.

Appeals:

Denial for program assistance is appealable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: 7 CFR Parts 4279 and 4287.

This program has no matching requirements. This program has no matching

requirements. This program has MOE requirements, see funding agency for further details.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Assistance is available each fiscal year until September 30 or until expended, whichever occurs first. See the following for information on how assistance is awarded/released: Lenders loans are guaranteed so funds are not really released.

Reports:

Guaranteed borrowers and guarantors are required to submit financial statements annually. No cash reports are required. No progress reports are required. Yes, but as part of the overall financial reporting requirement. Not duplication. The Agency monitors the number of jobs created and/or saved by the borrower.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The lender is required to maintain a complete loan file no differently than it would keep for a borrower without a guarantee. This includes annual financial statements, correspondence, servicing actions, etc. The file may be inspected at the Agency's request.

Account Identification:

12-0403-0-1-452; 12-4155-0-3-452.

Obligations:

(Guaranteed/Insured Loans) FY 16 \$920,000,000; FY 17 est \$892,244,000; and FY 18 est \$0 - no approved President budget as of today.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4155.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Business and Industry Guaranteed Loan Program regulations has been updated and published.

Regional or Local Office:

See Regional Agency Offices. Regional Agency Offices:
<http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

B&I Processing Branch U.S. Department of Agriculture
Rural Business-Cooperative Service
1400 Independence Ave SW, Stop 3224, Washington, District of Columbia
20250-3224 Phone: 202-690-4103

Website Address:

<http://www.rd.usda.gov/programs-services/business-industry-loan-guarantees>

RELATED PROGRAMS:

10.766 Community Facilities Loans and Grants; 10.769 Rural Business Enterprise Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current

Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Businesses must demonstrate the ability to repay the loan. Existing businesses must have 10 percent tangible balance sheet equity and new businesses must have 20 percent tangible balance sheet equity.

10.769 RURAL BUSINESS ENTERPRISE GRANTS

Program combined with Rural Business Opportunity Grant (RBOG). CFDA 10.773 into RBDG 10.351

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, Section 310B, 7 U.S.C 1989.

OBJECTIVES:

To facilitate the development of small and emerging private business, industry, and related employment for improving the economy in rural communities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Rural business enterprise grant (RBEG) funds may be used to create, expand or operate rural distance learning networks or programs that provide educational or job training instruction related to potential employment or job advancement to adult students; develop, construct or acquisition land, buildings, plants, equipment, access streets and roads, parking areas, utility extensions, necessary water supply and waste disposal facilities; refinancing; services and fees; and to establish a revolving loan fund. Television demonstration grant (TDG) funds may be used for television programming to demonstrate the effectiveness of providing information on agriculture and other issues of importance to farmers and other rural residents. All uses must assist a small and emerging private business enterprise except for the TDG Program. All uses must assist a small and emerging private business enterprise except for the TDG Program.

Applicant Eligibility:

Applicants eligible for RBE grants are public bodies and nonprofit corporations serving rural areas such as States, counties, cities, townships, and incorporated towns and villages, boroughs, authorities, districts and Indian tribes on Federal and State reservations which will serve rural areas. Applicants eligible for TD grants are statewide, private, nonprofit, public television systems whose coverage is predominantly rural. Rural area for this program is defined as a city, town, or unincorporated area that has a population of 50,000 inhabitants or less, other than an urbanized area immediately adjacent to a city, town, or unincorporated area that has a population in excess of 50,000 inhabitants.

Beneficiary Eligibility:

A small and emerging private business enterprise which will employ 50 or less new employees and has less than \$1.0 million in projected gross revenue. Public bodies, private non-profit corporations, and Federally recognized Indian tribes receive the grant to assist a business. Grants are not made directly to the business.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility and financial responsibility relative to the activity for which assistance is requested. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Preapplication Form SF-424 is filed at the Rural Development local office. The standard application forms as furnished by the Federal agency and required by OMB Circular Nos.

A-110 and A-102 must be used for this program.

Award Procedure:

After the preapplication has been reviewed by the RD local office, it is forwarded to the RD State Office for review and processing instructions. Following approval by the State Office, funds are made available to the local office for final delivery. Notification of awards must be made to the designated State Central Information Reception Agency.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

30 to 90 days.

Appeals:

Adverse actions by RBS in connection with this program may be appealed by contacting the Area Supervisor of the USDA National Appeals Division. Appeals will be handled in accordance with 7 CFR 1900-B and 7 CFR part 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula or specific matching requirements. Funds are allocated to States on rural population and percent of nonmetropolitan per capita income. On occasion, the allocation to States may not be practical due to funding or administrative constraints. In these cases, funds will be controlled by the National Office.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, 90 to 120 days, subject to the availability of funding. See the following for information on how assistance is awarded/released: Grant funds are disbursed in multiple draws, generally on a reimbursement basis upon the written request of the grantee. See the following for information on how assistance is awarded/released: Grant funds are disbursed in multiple draws, generally on a reimbursement basis upon the written request of the grantee.

Reports:

Periodic reports are made to RD. Cash reports are not applicable. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-1902-0-1-452.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 est \$30,000,000; and FY 18 est \$0 - fund amounts are combined with RBOG 10.773 under RBDG 10.351.

Range and Average of Financial Assistance:

\$25,000 to \$500,000. Average is less than \$100,000.

TAFS Codes:

12-1902.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1942, Subpart G.

Regional or Local Office:

See Regional Agency Offices. Contact your Rural Development State Office <http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

Director, Specialty Programs Division, 1400 Independence Ave SW, Room 4204 mail stop 3226, Washington, District of Columbia 20250-3222 Phone: (202) 720-1400.

Website Address:

<http://www.rd.usda.gov/programs-services/rural-business-development-grants>

RELATED PROGRAMS:

10.351 Rural Business Development Grant; 10.766 Community Facilities Loans and Grants; 10.768 Business and Industry Loans

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Projects selected for funding should, as much as practical, adhere to the following priorities: (1) Projects which will be located in communities having a large portion of their population with low incomes; (2) projects which will save existing jobs; (3) projects which will create jobs; and (4) projects located in areas with high unemployment rate.

10.770 WATER AND WASTE DISPOSAL LOANS AND GRANTS (SECTION 306C)

Section 306C

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, Section 306C, 7 U.S.C. 1926(c), as amended; Food, Agriculture, Conservation, and Trade Act of 1990, Title XXIII, Public Law 101-624.

OBJECTIVES:

Provide water and waste disposal facilities and services to low income rural communities whose residents face significant health risks.

TYPES OF ASSISTANCE:

DIRECT LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for up to 100 percent of costs to: (1) construct, enlarge, extend, or otherwise improve a community water or waste system, including extending service lines to and/or connecting individual residences to a system; (2) allow applicant to make grants directly to individuals to extend service lines and/or connect residences plumbing to the applicant's system; (3) improve an individual's residence to allow use of water and/or waste disposal system; (4) pay reasonable charges and fees for connecting to system, installation of plumbing and related fixtures; (5) construction in dwelling for a bathroom; and, (6) pay costs for closing abandoned septic tanks and water wells.

Applicant Eligibility:

Local level governments, Indian Tribes on Federal and State reservations, and other federally recognized Indian Tribes, U.S. Territories and possessions, and nonprofit associations can receive assistance under this program. Except for rural areas known as "Colonia" along the U.S./Mexico border, the projects funded under this program must primarily provide water and/or waste disposal services to residents of a county where the per capita income of the residents is not more than 70 percent of the most recent national average per capita income, as determined by the U.S. Department of Commerce, and unemployment rate of the residents is not less than 125 percent of the most recent national average unemployment rate, as determined by the Bureau of Labor Statistics. Also the residents must face significant health risks due to not having access to an affordable community water and/or waste disposal system.

Beneficiary Eligibility:

Users of the applicant systems, which are previously described as public bodies, private nonprofit corporations, Indian tribes, and individuals.

Credentials/Documentation:

Evidence of legal and organizational capacity, and economic feasibility. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications include the criteria specified within 7 CFR 1777. This includes, but is not limited to, the requirement of applicants to file an application Form SF-424, Application for Federal Assistance, with the appropriate Rural Development (RD) Office.

Award Procedure:

Applications will be reviewed and scored for funding priority by the RD State Office. Applications must compete on a national basis for available funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Dependent upon funding and whether the application is considered complete. A determination on a complete application will be made within 15 federal working days. If complete, an eligibility and funding determination will be issued within 45 working days.

Appeals:

An application determined ineligible may request a review of this decision with the National Appeals Division of USDA in accordance with USDA regulations at 7 CFR 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Requests for funds are granted as needed.

Reports:

Required to maintain records and accounts to assure funds are used for authorized purposes. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal

awards are exempt from Federal audit requirements for that year, except as noted in Subpart F, will provide an annual audit or Management Report.

Records:

Required to maintain records and accounts to assure funds are used for authorized purposes.

Account Identification:

12-1980-0-1-452.

Obligations:

(Project Grants (Discretionary)) FY 16 \$25,078,505; FY 17 est \$24,000,000; and FY 18 est \$24,000,000 - Native American Tribe Grants. (Project Grants (Discretionary)) FY 16 \$32,921,156; FY 17 est \$24,000,000; and FY 18 est \$24,000,000 - Colonias Grants.

Range and Average of Financial Assistance:

Colonias Grants - FY16 \$355,000 to \$6,883,056 Average: \$2,992,832.

Native American Tribe Grants - FY16 \$110,000 to \$3,548,000 Average: \$1,319,921.

TAFS Codes:

12-1980.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1777.

Regional or Local Office:

See Regional Agency Offices. Consult local telephone directory under United States Government, Department of Agriculture, for Rural Development office number. If no listing contact appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, Department of Agriculture, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

<http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs>

RELATED PROGRAMS:

10.760 Water and Waste Disposal Systems for Rural Communities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A proposed project servicing a rural area with a population not in excess of 1,500, a median household income not in excess of 50 percent of the statewide non-metropolitan median household income, with leveraged financing, and, for Colonias funding, servicing residents with access or health risk issues in a Colonia along the U.S./Mexico border will be given priority. The Rural Development State Director will rate applications on a point system and points received will be considered in selecting projects for funding.

10.771 RURAL COOPERATIVE DEVELOPMENT GRANTS

RCDG

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

7 USC 1932(e).

OBJECTIVES:

To improve the economic condition of rural areas by assisting individuals and businesses in the startup, expansion or operational improvement of rural cooperatives and other mutually-owned businesses through Cooperative Development Centers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to provide technical assistance to individuals and businesses in rural areas for the purpose of cooperative development. Funds must be used for cooperative development, although limited use of funds for assistance to other business types may be allowed at the Agency's discretion. All available funds are discretionary funds and must be awarded through a national competition.

Applicant Eligibility:

Applicants are not eligible if they have been debarred or suspended or otherwise excluded from participation in Federal assistance programs under Executive Order 12549, Debarment and Suspension. Applicants are not eligible if they have an outstanding judgement obtained by the U.S. in a Federal Court (other than U.S. Tax Court), are delinquent on the payment of Federal income taxes, or are delinquent on a Federal debt. Any corporation that has been convicted of a felony criminal violation under any Federal law within the past 24 months or that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, is not eligible for funding.

Beneficiary Eligibility:

Ultimate beneficiaries must be located in rural areas.

Credentials/Documentation:

An annual Federal Register notice will describe documentation requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must follow the procedures described in an annual Federal Register notice.

Award Procedure:

Applications are evaluated for eligibility for the program. Eligible applications are reviewed by a panel of experts and ranked according to published evaluation criteria. Applications are funded in rank order until available funds have been expended.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applications are typically approved/disapproved within 60-90 days of receipt.

Appeals:

Applicants may appeal adverse decisions in accordance with 7 CFR Part 11. The Agency provides instructions on how to appeal with each adverse decision.

Renewals:

N/A.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 25%. Matching funds are subject to the same restrictions as grant funds. 1994 Institutions only need to provide 5% of the total budget as matching funds. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are typically made during September each year. The Period of

Performance is one year. See the following for information on how assistance is awarded/released: Funds are disbursed as often as monthly, as requested by the recipient using Form SF-270.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports must be submitted semi-annually. Expenditure reports must be submitted semi-annually using Form SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. No additional audit procedures are required for this program.

Records:

Recipients must comply with 2 CFR 200.333-.337.

Account Identification:

12-1900-0-1-452.

Obligations:

(Project Grants) FY 16 \$6,000,000; FY 17 est \$6,000,000; and FY 18 est \$0 - President has not included in budget yet.

Range and Average of Financial Assistance:

Average = \$180,000

Range = \$70,000 (minimum) to \$200,000 (maximum).

TAFS Codes:

12-1900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4284, Subparts A and F

Regional or Local Office:

See Regional Agency Offices. Contact information for USDA Rural Development State Offices is available at: <http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

Rural Business-Cooperative Service 1400 Independence Avenue, SW
Mail Stop 3253, Room 4208-South
, Washington, District of Columbia 20250-3253 Email:
cpgrants@wdc.usda.gov Phone: 202-690-1374

Website Address:

<http://www.rd.usda.gov/programs-services/rural-cooperative-development-grant-program>

RELATED PROGRAMS:

10.871 Small Socially- Disadvantaged Producer Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated based on 10 criteria: (1) Administrative Capabilities, (2) Technical Assistance and Other Services, (3) Economic Development, (4) Past Performance in Establishing Legal Entities, (5) Networking and Regional Focus, (6) Commitment, (7) Matching Funds, (8) Work Plan/Budget, (9) Qualifications of Key Personnel, and (10) Local and Future Support. Applicants should review 7 CFR 4284.513 and the annual Federal Register notice for details on how these criteria will be scored.

10.773 RURAL BUSINESS OPPORTUNITY GRANTS

(RBOG) Combined with RBEG 10.769 and RBDG 10.351

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996, Section 741, Public Law 104-127.

OBJECTIVES:

To promote sustainable economic development in rural communities with exceptional needs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used to assist in the economic development of rural areas by providing technical assistance, training, and planning for business and economic development.

Applicant Eligibility:

Grants may be made to public bodies, nonprofit corporations, Federally-Recognized Native American Tribes, and cooperatives with members that are primarily rural residents and that conduct activities for the mutual benefit of the members.

Beneficiary Eligibility:

Rural communities and businesses in rural areas.

Credentials/Documentation:

Evidence of legal capacity. Evidence of financial strength and expertise in activities such as proposed in the application, sufficient to ensure accomplishment of the activities and objectives described in the application. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To be considered, all applicants shall submit the required details, forms, and documentation in accordance with the program's regulations, agency procedures, and the annual funding opportunity announcement application submission requirements

Award Procedure:

All applications will be reviewed in accordance with the program's regulations agency procedures, and the annual funding opportunity announcement application requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Deadlines are announced via the program's annual funding opportunity announcement.

Approvals are no later than Sep 30 of each fiscal year.

Appeals:

Adverse decision may be appealed in accordance with procedures set out at 7 CFR 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding for complete projects is limited to projects that can be completed within 2 years. Projects of longer duration may only be funded for 1 year at a time. Method of awarding/releasing assistance: quarterly.

Reports:

Grantees must provide a financial report and performance activity report quarterly while the project is in process, with a final financial and performance report due within 90 days after the project is completed. The grantee must also submit a project evaluation report within 1 year after the project is completed. The Agency may also require a grantee to prepare a report suitable for public distribution describing the accomplishments made through the use of the grant and, in the case where the grant funded the development or application of a best practice, to describe that best practice. Finally, unless exempt, all grantees must comply with the reporting requirements at 2 CFR 170. Cash reports are not applicable. Grantees must provide a financial report and performance activity report quarterly while the project is in process, and a project evaluation report within 1 year after the project is completed. Grantees must provide a financial report and performance activity report quarterly while the project is in process, and a project evaluation report within 1 year after the project is completed.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Project records must be maintained for three years following the completion of the award.

Account Identification:

12-1902-0-1-452.

Obligations:

(Cooperative Agreements) FY 16 \$24,000,000; FY 17 est \$30,000,000; and FY 18 est \$0 - President budget not approved as of this date.

Range and Average of Financial Assistance:

Varies from year to year.

TAFS Codes:

12-1902.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Implementing regulations are published in the Federal Register (64 FR 71984) The CFR citation is 7 CFR 4284, Subpart G.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate Rural Development State Office found at <http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

Deputy Administrator of Cooperative 1400 Independence Ave room 4016, mailstop 3250, Washington, District of Columbia 20250 Phone: 202-720-7558

Website Address:

<http://www.rd.usda.gov/programs-services/rural-business-development-grants>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grant selection criteria include the extent to which economic development resulting from the proposed project will be sustainable over the long term; the extent to which the project should lead to improvements in the quality of economic activity within the community, such as higher wages, improved benefits, greater career potential, and the use of higher level skills; the amount of funds from other sources; service to communities that are experiencing trauma due to a major natural disaster or the closing or major downsizing of a

military facility or other major employer; service to communities that have remained consistently impoverished over the long term or have experienced long term population decline or job deterioration; and the extent of the project's usefulness as a best practice that may serve as a model for other communities.

10.777 NORMAN E. BORLAUG INTERNATIONAL AGRICULTURAL SCIENCE AND TECHNOLOGY FELLOWSHIP

Borlaug Fellowship Program

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Title VII, Part A, Section 7139, Public Law 110-234, 122 Stat. 1231, 7 U.S.C 3319j.

OBJECTIVES:

The Borlaug International Agricultural Science and Technology Fellowship Program (Borlaug Fellowship Program) promotes food security and economic growth in developing and middle-income countries by educating a new generation of agricultural scientists, increasing scientific knowledge and collaborative research to improve agricultural productivity, and extending that knowledge to users and intermediaries in the marketplace. The Borlaug Fellowship Program supports the following goals: training and collaborative research opportunities through exchanges for entry level and mid-career international agricultural research scientists, faculty, and policymakers from eligible countries; collaborative research to improve agricultural productivity; the transfer of new science and agricultural technologies to strengthen agricultural practice; and the reduction of barriers to technology adoption.

TYPES OF ASSISTANCE:

Cooperative Agreements; Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Borlaug Fellowship Program funds are used for international training activities in agricultural research, extension, and teaching. Unsolicited proposals will not be considered.

Applicant Eligibility:

The Borlaug Fellowship Program solicits proposals from U.S. universities and state cooperative institutions.

Beneficiary Eligibility:

Technical assistance and research collaboration provided through these agreements benefit foreign governments and related agricultural institutions in their countries.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notices of Funding Opportunity will be distributed through the USDA/NIFA Office of International Programs and on the USDA ezFedGrants portal at <https://grants.fms.usda.gov>. They will not be posted on grants.gov.

Award Procedure:

All proposals are evaluated by technical specialists that rate the qualifications of applicants and the merits of the proposals. Selection criteria will be published with each individual request for application to participate in this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Extensions may be authorized to complete the project activity of the award.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is phased according to the requirements of individual projects, generally 2-3 years. Method of awarding/releasing assistance: quarterly.

Reports:

Two program reports are required as described in the terms of the agreement. No cash reports are required. Semi-annual progress reports are required. Periodic financial reports are required. Mentor performance reports and Fellow's performance reports are required. Final reports are required at the end of the activity.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

A recipient must maintain all Program and Financial records for a period of 3 years after the agreement's period of performance end date.

Account Identification:

12-2900-0-1-352.

Obligations:

(Project Grants (Fellowships)) FY 16 \$2,275,203; FY 17 est \$1,600,000; and FY 18 est \$1,000,000

Range and Average of Financial Assistance:

\$38,000 - \$40,000 per fellow.

TAFS Codes:

12-2900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Timothy Sheehan 1400 Independence Ave. SW #3226, Stop 1030, Washington, District of Columbia 20250 Email: tim.sheehan@fas.usda.gov Phone: 202-690-1940

Website Address:

<http://www.fas.usda.gov/programs/borlaug-fellowship-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Office of Capacity Building and Development program area (FAS/OCBD), in consultation with collaborating agencies and organizations. Contact FAS/OCBD for additional information. While this program does not fund unsolicited proposals, interested parties are welcome to submit statements of capability and expressions of interest.

10.782 APPROPRIATE TECHNOLOGY TRANSFER FOR RURAL AREAS**FEDERAL AGENCY:**

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

7 USC 1932(i).

OBJECTIVES:

To provide diverse technical information and educational resources about sustainable agriculture to farmers, information providers, agriculture-related businesses, and community food organizations across the U.S.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds must be used to provide information about sustainable agriculture. Information may be provided through publications or direct technical assistance. All available funds are discretionary funds.

Applicant Eligibility:

Applicants are not eligible if they have been debarred or suspended or otherwise excluded from participation in Federal assistance programs under Executive Order 12549, Debarment and Suspension. Applicants are not eligible if they have an outstanding judgement obtained by the U.S. in a Federal Court (other than U.S. Tax Court), are delinquent on the payment of Federal income taxes, or are delinquent on a Federal debt. Any corporation that has been convicted of a felony criminal violation under any Federal law within the past 24 months or that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, is not eligible for funding.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. N/A

Award Procedure:

The proposed work plan/budget is reviewed for allowability and reasonableness of costs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applications are typically approved/disapproved within 60-90 days of receipt.

Appeals:

Applicants may appeal adverse decisions in accordance with 7 CFR Part 11. The Agency provides instructions on how to appeal with each adverse decision.

Renewals:

N/A.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements. 0%.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are typically made during January each year. The Period of Performance is one year. See the following for information on how assistance is awarded/released: Funds are disbursed as often as monthly, as requested by the recipient using Form SF-270.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports must be submitted quarterly. Expenditure reports must be submitted quarterly using Form SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. No additional audit procedures are required for this program.

Records:

Expenditure reports must be submitted quarterly using Form SF-425.

Account Identification:

12-1900-0-1-452.

Obligations:

(Cooperative Agreements) FY 16 \$2,500,000; FY 17 est \$2,500,000; and FY 18 est \$0 - President budget not approved as of today.

Range and Average of Financial Assistance:

Average = 1,500,000
Range = 611,000 to 2,500,000.

TAFS Codes:

12-1900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Rural Business-Cooperative Service 1400 Independence Avenue, SW
Mail Stop 3253, Room 4208-South
, Washington, District of Columbia 20250 Email: cpgrants@wdc.usda.gov
Phone: 202-690-1374

Website Address:

<http://www.atra.ncat.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.850 RURAL ELECTRIFICATION LOANS AND LOAN GUARANTEES

Electric Loans and Loan Guarantees

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, 7 U.S.C. 901-950.

OBJECTIVES:

To assure that people in eligible rural areas have access to electric services comparable in reliability and quality to the rest of the Nation and to assist borrowers in implementation of demand-side management, energy efficiency and conservation programs and on-grid and off-grid renewable energy systems.

TYPES OF ASSISTANCE:

DIRECT LOANS; Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Long-term, direct loans and loan guarantees to qualified organizations for the purpose of supplying or improving electric services on a continuing basis in rural areas. Long-term direct loans and loan guarantees to qualified organizations for the purpose of supplying or improving electric service on a continuing basis in rural areas. RUS offers several types of loans under this program: Federal Financing Bank (FFB) loan guarantees, direct loans, and insured/municipal loans.

Applicant Eligibility:

Rural electric cooperatives, public utility districts, municipalities, corporations, and other qualified power suppliers including those located in the U.S. Territories, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Beneficiary Eligibility:

Persons, businesses, public bodies, tribal entities, and other entities in rural areas (as defined in program regulations) or those currently served through RUS electric loans. Rural areas are defined in the Rural Electrification Act and program regulations as any area of the United States, or eligible insular areas, other than a city, town, or unincorporated area that has a population of greater than 20,000 inhabitants, or any area within a service area of an entity with an outstanding Rural Electrification Act loan on June 18, 2008.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

An environmental impact statement or an environmental assessment is required for this program. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under 2 CFR Part 200. Applications for direct loan and loan guarantees are made by resolution of the board of directors of the borrowing organization. Application packages are prepared with the assistance of RUS. Information on submitting applications is contained in 7 CFR Part 1710 and 1714.

Award Procedure:

Depending on the amount of the loan requested, the RUS Administrator, Assistant Administrator, or appropriate Regional Director makes the final decision on approval of the loan upon a finding that it is economically feasible and serves the purposes of the Rural Electrification Act. Borrowers and Members of Congress are notified of the approval of a loan or loan guarantee directly, and the public is notified through normal media communications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Distribution loans and loan guarantees are approved generally on an average of 3 to 6 months as funding becomes available. Loan guarantees for transmission and generation projects are approved generally on an average of 6 to 12 months. Larger projects may take longer.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program has no statutory formula.

Matching Requirements: Under certain conditions, obtaining a municipal rate

loan will require obtaining a concurrent loan (of 10, 20, or 30 percent of the total amount required) from a supplemental financing source. The factors used for determining the amount of concurrent financing required are set forth in 7 CFR 1710.110.

MOE requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Direct loans are generally made for a period up to 35 years, which approximates the expected useful life of the facilities financed. Provision is normally made for advances to be completed within 4 years, but this period is subject to extension if required. Advances of funds are made as required by the borrower. The terms and conditions of non-federal loan guarantees are negotiated between the borrower and the non-RUS lender, subject to RUS approval. See the following for information on how assistance is awarded/released: .

Reports:

No program reports are required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Annual audit or Management Report

Records:

RUS borrowers keep the records prescribed for utility companies by the RUS regulations and the RUS Uniform System of Accounts, and by sound business practices.

Account Identification:

12-4230-0-3-999; 12-1230-0-1-271.

Obligations:

(Direct Loans) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Hardship.
(Guaranteed/Insured Loans) FY 16 \$3,165,750,000; FY 17 est \$4,343,519,000; and FY 18 est \$5,500,000,000 - Guaranteed Federal Financing Bank Loans.

Range and Average of Financial Assistance:

Average Guaranteed FFB: \$32,668,948 Average.

TAFS Codes:

12-1230; 12-4209.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR XVII. RUS publishes and issues upon request bulletins bearing on the financing, construction, operation, and maintenance of rural electric systems. Also available are annual statistical summaries; and the Rural Electrification Act of 1936, as amended.

Regional or Local Office:

None. RUS has General Field Representatives (GFRs) located in areas served by borrowers across the United States. Contact headquarters to find the GFR serving a specific area.

Headquarters Office:

Assistant Administrator, Electric Programs Department of Agriculture, 1400 Independence Ave., SW, Stop 1560, Washington, District of Columbia 20250-1500 Phone: (202) 720-9540.

Website Address:

<http://www.rd.usda.gov/programs-services/all-programs/electric-programs>

RELATED PROGRAMS:

10.854 Rural Economic Development Loans and Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.851 RURAL TELEPHONE LOANS AND LOAN GUARANTEES

Telecommunications Infrastructure Loan Program

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Titles II and III, 7 U.S.C. 921, 922-924, and 930-940.

OBJECTIVES:

To assure that people in eligible rural areas have access to telecommunications services comparable in reliability and quality to the rest of the Nation.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

This program provides long-term direct and guaranteed loans to qualified organizations for the purpose of financing the improvement, expansion, construction, acquisition, and operation of telephone lines, facilities, or systems to furnish and improve Telecommunications, 911 and Emergency Communications services in rural areas. "Rural area" is defined as any area of the United States, its territories and insular possessions (including any areas within the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau) not included within the boundaries of any incorporated or unincorporated city, village, or borough having a population exceeding 5,000 inhabitants (20,000 for 911 and emergency communications purposes). The population figure is obtained from the Bureau of Census. For purposes of the "rural area" definition, the character of an area is determined at the time the initial loan for the system is made.

Applicant Eligibility:

Telephone companies or cooperatives, nonprofit associations, limited dividend associations, mutual associations or public bodies including those located in the U.S. Territories and countries included in the Compact of Free Association Act of 1985, providing or proposing to provide telecommunications service to meet the needs of rural areas.

Beneficiary Eligibility:

Residents and businesses of eligible rural areas.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Applicants must supply environmental documentation to determine if an environmental assessment or an environmental impact statement will be required. Environmental impact information may be required for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The completed loan application consists of four parts: an RUS Form 490; Application for Telephone Loan or Loan Guarantee; a market survey called Area Coverage Survey (ACS); the plan and associated costs for the proposed construction called the Loan Design (LD); and other various supplementary information specified in 7 CFR 1737.22. Detailed information on applying for an RUS telephone loan or loan guarantee is in 7 CFR Part 1737, available from RUS upon request.

Award Procedure:

The RUS Administrator, Assistant Administrator, or Deputy Assistant Administrator makes the final decision on approval of the loan or loan guarantee upon a finding that it is economically feasible and serves the purposes of the Rural Electrification Act. Borrowers and Members of Congress are notified of the approval of a loan or loan guarantee directly, and the public is notified through normal media communications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

> 180 Days. Application are processed on a first-come, first-served basis. Normal loan processing time is from 6 to 9 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Direct and guaranteed loans can be made not to exceed the composite economic life of facilities and equipment plus three years (average loan maturity is 18 yrs). See the following for information on how assistance is awarded/released: Provision is normally made for advances to be completed within 5 years. Advances of funds are requested by the borrower and are made subject to requirements. See the following for information on how assistance is awarded/released: Provision is normally made for advances to be completed within 5 years. Advances of funds are requested by the borrower and are made subject to requirements.

Reports:

RUS borrowers must submit to the Rural Utilities Service regularly scheduled operating reports showing financial condition and operating progress. No cash reports are required. Progress reports are not applicable. The borrower follows the construction procedures is 7 CFR 1753 where construction contracts are approved as projects constructs and submits advance of funds requests which serves the purpose of an expenditure report. The request form track each individual construction project, how much of the loan has been advance for it and how much has been expended for it. Performance monitoring is conducted on site by the Agency's general field representatives as well as by financial and technical experts located in the National Office.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Entities which do not meet the criteria for applicability in 2 CFR 200 will have an audit prepared in accordance with 7 CFR 1773, Policy on Audits of RUS Borrowers and Grantees.

Records:

RUS borrowers keep the records prescribed for telecommunication companies by the RUS uniform system of accounts, and by sound business practices. The RUS uniform system of accounts is comprised of 47 CFR Part 32 supplemented by 7 CFR Part 1770.

Account Identification:

12-4230-0-3-271; 12-1230-0-1-271.

Obligations:

(Direct Loans) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Hardship Loans. (Direct Loans) FY 16 \$98,239,000; FY 17 est \$25,682,000; and FY 18 est \$345,000,000 - Cost of Money Loans. (Guaranteed/Insured Loans) FY 16 \$96,222,000; FY 17 est \$141,855,000; and FY 18 est \$345,000,000 - FFB Treasury Loans.

Range and Average of Financial Assistance:

Range	From	To	Avg
Direct loans / 2016	\$5,473,000	\$15,000,000	\$10,915,444
Guarantee loans / 2016		\$500,000	\$40,279,000
	\$13,746,000		
Direct Loans / 2017		\$2,000,000	\$15,827,000
	\$8,560,667		
Guarantee loans / 2017		\$2,000,000	\$59,775,000
	\$17,731,875		

2017 numbers are as of July, 2017.

TAFS Codes:

12-4230; 12-1230.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal year 2016: Awarded 14 loans to fund deployment of advanced telecommunications facilities serving over 77,000 customers. Fiscal Year 2017: Fiscal year 2017: Awarded 10 loans to fund deployment of advanced telecommunications facilities serving over 60,000 customers (As of July, 2017). Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR-XVII. RUS publishes and issues upon request technical operations manuals and bulletins covering financing, construction, operation and maintenance of rural telephone systems. An application guide and other supporting schedules are available at http://www.rurdev.usda.gov/UTP_ProForma.html. Reference <http://www.rd.usda.gov/programs-services/telecommunications-infrastructure-loans-loan-guarantees>

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program, Rural Utilities Service Rural Utilities Service, 1400 Independence Avenue, SW..STOP 1590, Room 5151, Washington, District of Columbia 20250 Phone: (202) 720-9554

Website Address:

<http://www.rd.usda.gov/programs-services/telecommunications-infrastructure-loans-loan-guarantees>

RELATED PROGRAMS:

10.886 Rural Broadband Access Loans and Loan Guarantees

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must be found to be financially and technically feasible and meet all of the eligibility requirements.

10.854 RURAL ECONOMIC DEVELOPMENT LOANS AND GRANTS (REDLG)**FEDERAL AGENCY:**

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title III, 7 U.S.C. 930-940c.

OBJECTIVES:

To promote rural economic development and job creation projects, including funding for project feasibility studies, start-up costs, incubator projects, and other reasonable expenses for the purpose of fostering rural development.

TYPES OF ASSISTANCE:

DIRECT LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program is for projects which promote rural economic development and job creation. Maximum amount of grant is \$300,000; maximum amount of loan is \$2,000,000. Maximum term and interest rates vary by project.

Applicant Eligibility:

Electric and telephone utilities that have current loans with the Rural Utilities Service (RUS), Rural Telephone Bank loans, or guarantees outstanding and are not delinquent on any Federal debt or in bankruptcy proceedings.

Beneficiary Eligibility:

Rural/General Public.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Submit application as described in 7 CFR 4280.39, No State plan required.

Award Procedure:

RBS selects projects to be funded. The funds pass through the RUS utility to rural economic development projects.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Open ended.

Appeals:

Not Applicable.

Renewals:

Renewal of same application for 1 year. Submit new or revised application as often as desired.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. This program has no statutory formula. Matching Requirements: Percent: 20%. This program requires supplemental funds in the amount of 20 percent of the RBS application amounts. MOE requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

annually. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting need established in 7 CFR 4280, Subpart A. Cash reports are not applicable. Reporting need established in 7 CFR 4280, Subpart A. Expenditure reports are not applicable. Reporting need established in 7 CFR 4280, Subpart A. Cash reports are not applicable. Reporting need established in 7 CFR 4280, Subpart A. Expenditure reports are not applicable. Reporting need established in 7 CFR 4280, Subpart A.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record retention requirements established in 7 CFR 4280, Subpart A.

Account Identification:

12-3108-0-1-452; 12-3105-0-1-452; 12-4230-0-3-271.

Obligations:

(Loan Guarantees/Grants) FY 16 \$33,000,000; FY 17 est \$85,000,000; and FY 18 est \$0 - REDLG is a loan and grant program. Amounts listed for FY 2015, 2016, and 2017, are loan obligations. Grant obligations are: FY 2015 - \$9,213,000, FY 2016 estimate - \$12,000,000, FY 2016 estimate - \$12,000,000.

Range and Average of Financial Assistance:

Loans and Grants to establish Revolving Loan Fund Programs.

TAFS Codes:

12-3105; 12-4230; 12-3108.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4280, Subpart A and the Rural Electrification Act of 1936.

Regional or Local Office:

See Regional Agency Offices. Contact your Rural Development State Office - <http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

Specialty Lenders Division, 1400 Independence Ave. S.W. Mail-Stop 3226, Washington, District of Columbia 20250 Phone: (202) 720-1400.

Website Address:

<http://www.rd.usda.gov/programs-services/rural-economic-development-loan-grant-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See 7 CFR 4280.42.

10.855 DISTANCE LEARNING AND TELEMEDICINE LOANS AND GRANTS

DLT - Distance Learning and Telemedicine

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996, Title VII, Public Law 104-127, 7 U.S.C 950.

OBJECTIVES:

To encourage and improve the use of telemedicine, telecommunications, computer networks, and related advanced technologies to provide educational and medical benefits through distance learning and telemedicine projects to people living in rural areas and to improve rural opportunities.

TYPES OF ASSISTANCE:

DIRECT LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Telecommunications, computer networks and related advanced technologies that connect medical professionals or teachers at originating sites, rural or non-rural, to medical professionals, patients, teachers and students at rural end-user sites. Eligible costs depend on the type of financial assistance being requested; i.e., grant or loan. See 7 CFR Part 1703 for details. The program is solely funded through discretionary funds. This year there was \$23.6M in new funding, plus rescissions from prior years (which were also discretionary funds). The program allows for loans, grants and a combination loan and grant for eligible organizations.

Applicant Eligibility:

To be eligible to receive a grant, loan and grant combination, or loan, the applicant must be legally organized as an incorporated organization or

partnership, an Indian tribe or tribal organization, a state or local unit of government, a consortium, or other legal entity. The applicant must have legal capacity to contract with RUS. The applicant also must propose to utilize the financing to: (i) operate a rural community facility; or (ii) deliver distance learning or telemedicine services to entities that operate a rural community facility or to residents of rural areas at rates calculated to ensure that the benefit of the financial assistance is passed through to such entities or to residents of rural areas. The applicant must not be delinquent on any Federal debt. RUS electric and telecommunications borrowers are not eligible for grants. Generally, applicants are organizations such as schools, libraries, hospitals, medical centers, or other eligible organizations that will be users of a telecommunications, computer network, or related advanced technology system to provide educational and/or medical benefits to rural residents.

Beneficiary Eligibility:

The people living in rural areas are beneficiaries, particularly in the areas of medical and health-related services, education, training and medical services.

Credentials/Documentation:

See 7 CFR Part 1703 for details. This program is excluded from coverage under OMB Circular No. A-87. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Submit application Form 424; non-delinquent on any Federal obligations; consultation with USDA State Director (for grant applicants); evidence of legal existence and authority to perform the proposed grant activities; a comprehensive rural telecommunications plan; scope of work plan, executive summary for the project; financial information; a statement of experience; funding commitment from other sources; compliance with Federal statutes and regulations (compliance with scoring criteria) and environmental impact; supplemental information and required additional RUS information.

Award Procedure:

Based on a determination that the project is economically feasible and serves the purposes of the program, awards are approved. For grants, RUS rates the applicants based on specified scoring criteria and determines awards based on those ratings.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The program open under funding windows established under a NOFA/NOSA process annually. Pre-application costs are not eligible, costs incurred after submittal of an application may be eligible. The standard project period is 3 years.

Applications are competitively selected each fiscal year for which funding is made available. Applicants whose application was not selected in one year may resubmit an updated and improved application in a subsequent year in the competition.

Appeals:

A grant applicant may appeal the numerical scoring of the objective criteria only to the Administrator in writing within 10 days after applicant is notified of the scoring level.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 15%. This program has no statutory formula.

Matching Requirements: Percent: 15% with an exception for projects in the Pacific. No matching is required for applicants requesting loans.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds may be advanced monthly or as needed to reimburse disbursements for approved grant purposes. Applicants generally have three years to spend funds. One extension may be granted. See the following for information on how assistance is awarded/released: Funds may be advanced monthly or as needed to reimburse disbursements for approved grant purposes.

Reports:

SF 269, "Financial Status Report," is required annually. Cash reports are not applicable. Progress reports are not applicable. The disbursement of funds is made on the basis of invoices for eligible purposes. To this extent, RUS is aware of all expenditures for which reimbursement is sought. Projects are also periodically audited by field accounting staff. Project performance activity reports are required annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Entities which do not meet the criteria for applicability in 2 CFR 200 will have an audit prepared in accordance with 7 CFR 1773, Policy on Audits of RUS Borrowers and Grantees.

Records:

Grantees will provide evidence that funds are expended for approved purposes.

Account Identification:

12-1232-0-1-452.

Obligations:

(Project Grants) FY 16 \$27,848,191; FY 17 est \$23,600,000; and FY 18 est \$20,000,000

Range and Average of Financial Assistance:

The minimum grant, loan, or loan/grant combination is \$50,000. The maximum grant is \$500,000. In Fiscal Year 2015, the average grant amount was \$312,743. In Fiscal Year 2106, the average grant amount was \$284,165. Grants have not been announced yet in Fiscal year 2017.

TAFS Codes:

12-4208; 12-1230.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2016: An additional 98 DLT grant awards totaling \$27,848,191 were made to projects in 35 states & territories using funds from the FY 2016 DLT competition. Fiscal Year 2017: Grants have not been announced yet in Fiscal year 2017. Fiscal Year 2018: Grants have not been announced yet in Fiscal year 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1703, subparts D through G. Distance Learning and Telemedicine Program Grant Application Guide - For grants - Distance Learning and Telemedicine Program -Grant Application Guide - Fiscal Year 2016. Loans made under this program are subject to congressional appropriations.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program, Rural Utilities Service, 1400 Independence Avenue, S.W., STOP 1590, Washington, District of Columbia 20250 Phone: (202) 720-9564.

Website Address:

<http://www.rd.usda.gov/programs-services/distance-learning-telemedicine-grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See 7 CFR Part 1703, subparts D through G.

10.857 STATE BULK FUEL REVOLVING FUND GRANTS

State Bulk Fuel Grants

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title I, Section 19, Public Law 106-472, 114 Stat. 2058, 7 U.S.C. 918a.

OBJECTIVES:

To provide grants to State entities for revolving funds to promote more cost-effective means of purchasing fuel where fuel cannot be shipped by means of surface transportation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to establish and support a revolving fund to provide a more cost-effective means of purchasing fuel where fuel cannot be shipped by means of surface transportation. Not more than 4 percent of the funds made available under the grant may be used for planning and administrative expenses. Grant funds must be used for the revolving fund and administrative costs only.

Applicant Eligibility:

Applicants are limited to State entities and to entities of any Territory, possession or other area authorized to receive the services and programs of the Rural Utilities Service or the Rural Electrification Act of 1936, as amended. Entities must be in existence as of November 9, 2000.

Beneficiary Eligibility:

Assistance must be used to improve the cost-effectiveness of fuel purchasing for communities that are dependent on fuel deliveries by water or air.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requirements for applications are described in 7 CFR part 1709, published notices of funding availability, and may be requested from the Agency. Unsolicited applications may also be considered at the request of a State. Eligible State entities should provide expressions of interest in applying for grants to the Assistant Administrator, Electric Programs.

Award Procedure:

Grants are awarded competitively in response to published notices or at the discretion of the Administrator in response to a noncompetitive request from a State entity.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Grant approvals will generally be made within 60-180 days of the application closing date.

Appeals:

Applicants may appeal rejections of ineligible applications to the Administrator of the Rural Utilities Service under procedures in program regulations at 7 CFR Part 1709.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant agreements will typically run for a period of three years. Extensions may be granted in the sole discretion of the RUS Administrator. See the following for information on how assistance is awarded/released: Funds will be disbursed on request of the Grantee.

Reports:

Periodic reports are required over the grant term as specified in the grant agreement. A final project performance report is required at the end of the grant term. Cash reports are not applicable. Progress reports are not applicable. Periodic progress and performance reports accompanied by with form SF-425 detailing expenditures, are required as specified in the grant agreement. A final report is required at the end of the grant term. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Annual audit or Management Report

Records:

Records must be kept as required under USDA and State regulations.

Account Identification:

12-0403-0-1-452.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4208.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1709, 7 CFR Parts 1767 and 1773, 2 CFR Part 200.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Electric Programs Department of Agriculture, 1400 Independence Ave., SW, Stop 1560, Washington, District of Columbia 20250
Phone: (202) 720-9540.

Website Address:

<http://www.rd.usda.gov/programs-services/state-bulk-fuel-revolving-loan-fund>

RELATED PROGRAMS:

10.850 Rural Electrification Loans and Loan Guarantees; 10.854 Rural Economic Development Loans and Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected competitively based on total scores received on evaluation criteria in grant announcement and 7 CFR part 1709. Unsolicited applications from State Governments are considered on a case by case basis according to criteria in regulations.

10.858 DENALI COMMISSION GRANTS AND LOANS

RUS - Denali Commission Grants

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title I, Section 19, Public Law 106-472, 114 Stat. 2058, 7 U.S.C. 918a.

OBJECTIVES:

Grants and loans to the Denali Commission for the benefit of rural communities in Alaska with extremely high household energy costs exceeding 275 percent of the national average.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used for energy generation, transmission, or distribution facilities serving rural communities where annual average residential energy expenditures are at least 275 percent of the national average. Grant must be in coordination with State rural development initiatives. Planning and administrative expenses must not exceed 4 percent of the grant amount. Loans to the Denali Commission are an authorized purpose of the program. No loan funds have been appropriated by Congress.

Applicant Eligibility:

The Denali Commission, a Federal Agency, is the only eligible applicant.

Beneficiary Eligibility:

Beneficiaries are residents of rural communities in Alaska with extremely high energy costs. Eligible beneficiary communities must apply for assistance directly to the Denali Commission and eligible projects must be on Denali Commission Annual Approved Work Plan.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under 2 CFR Part 200. The Denali Commission submits a letter of application under a Memorandum of Understanding with USDA.

Award Procedure:

Approval of the Denali Commission request for grant funds is made by the Administrator in his sole discretion.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant terms typically run for three years and may be extended upon the sole discretion of RUS. See the following for information on how assistance is awarded/released: Grant Funds are released at the request of the Denali Commission.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. The Denali Commission includes information on projects funded with Denali Commission RUS grants in its published annual reports and on its website.

Audits:

Not Applicable.

Records:

The Denali Commission maintains its books and records in accordance with Federal requirements of OMB Circular A-34.

Account Identification:

12-0403-0-1-452.

Obligations:

(Project Grants) FY 16 \$2,500,000; FY 17 est \$0; and FY 18 est \$0 - Grant awards have ranged from \$2,310,686 to \$18,500,000.

Range and Average of Financial Assistance:

Grant awards have ranged from \$2,310,686 to \$18,500,000.

TAFS Codes:

12-4208.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR part 1709.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Electric Programs, Rural Utilities Service, Department of Agriculture, 1400 Independence Ave., SW, Stop 1560, Washington, District of Columbia 20250 Phone: (202) 720-9540.

Website Address:

<http://www.denali.gov>

RELATED PROGRAMS:

10.850 Rural Electrification Loans and Loan Guarantees; 10.854 Rural Economic Development Loans and Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Agency Administrator in his sole discretion makes a grant award based on the Denali Commission Letter of Request and Denali Commission Annual Work Plan.

10.859 ASSISTANCE TO HIGH ENERGY COST RURAL COMMUNITIES

High Energy Cost Grants

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title I, Section 19, Public Law

106-472, 114 Stat. 2058, 7 U.S.C. 918a.

OBJECTIVES:

Assistance to rural communities with extremely high energy costs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to acquire, construct, extend, upgrade, and improve energy generation, transmission, or distribution facilities serving communities in which the average annual expenditure for home energy costs is at least 275 percent of the national average. Energy efficiency and on-grid and off-grid renewable energy systems are eligible. Not more than 4 percent of amounts made available in grant funds may be used for planning and administrative expenses. Funds may not be used to pay utility bills, fuel costs, or operating expenses.

Applicant Eligibility:

Eligible applicants include States, political subdivisions of States, for-profit and non-profit businesses, cooperatives, associations, organizations, and other entities organized under the laws of States, Indian tribes, tribal entities, and individuals. The governments and entities located in any U.S. Territory/possession or other area authorized by law to receive the services and programs of the Rural Utilities Service or the Rural Electrification Act of 1936, as amended, are also eligible.

Beneficiary Eligibility:

Projects must serve rural communities in which the annual average residential expenditure for home energy is at least 275 percent of the national average. Energy cost eligibility benchmarks are included in the published Notice of Funding Availability.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications are accepted in response to a Notice of Funding Availability published in the Federal Register and Grants.gov. Application requirements are described in the published notice and on the agency web site. Application materials may also be requested from the Agency.

Award Procedure:

Grants will be awarded based on competitive ranking of applications according to published evaluation criteria for technical merit and community need.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Typically from 120 to 180 days. Selection of grant finalists will be announced approximately 90 to 180 days after the application deadline. Final Grant awards will be approved after completion of environmental review and other pre-obligation requirements.

Appeals:

Applicants may appeal rejections of ineligible applications to the Administrator of the Rural Utilities Service under procedures in program regulations at 7 CFR Part 1709. Applicants may not appeal numerical rankings of competitive grant applications.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant agreements will typically run for three years; extensions for good cause shown may be granted at the sole discretion of the RUS. See the following for information on how assistance is awarded/released: After signing of the grant agreement and compliance with any additional conditions, the grantee may request advances and reimbursements for eligible expenditures. Advances and reimbursements will be disbursed over the term of the grant on request of the grantee.

Reports:

Grant recipients will be required to file periodic financial, expenditure, progress, and performance reports as specified in the grant agreement and program regulations. Cash reports are not applicable. Grantee will typically be required to file quarterly or semi-annual progress reports and a final project report as specified in the grant agreement. Applicants are required to file quarterly or semi-annual expenditure reports and a final report as specified in the grant agreement. Grantees will be required to report on progress of their projects using performance metrics proposed in the grant application and incorporated into the grant agreement as approved by RUS.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Annual audit or Management Report

Records:

The Grantee must maintain records in accordance with requirements of program regulations, the grant agreement and 2 CFR Part 200.

Account Identification:

12-0403-0-1-452.

Obligations:

(Project Grants) FY 16 \$6,394,692; FY 17 est \$14,539,101; and FY 18 est \$0

Range and Average of Financial Assistance:

Minimum award is \$50,000 and maximum is \$3,000,000.

TAFS Codes:

12-4208.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1709, 7 CFR Parts 1767 and 1773, and 2 CFR Part 200,

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Electric Programs Department of Agriculture, 1400 Independence Ave., SW, Stop 1560, Washington, District of Columbia 20250
Phone: (202) 720-9545.

Website Address:

<http://www.rd.usda.gov/programs-services/high-energy-cost-grants>

RELATED PROGRAMS:

10.850 Rural Electrification Loans and Loan Guarantees; 10.854 Rural Economic Development Loans and Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grant applications will be ranked competitively based on published evaluation criteria. All projects must serve rural communities with extremely high energy costs.

**10.862 HOUSEHOLD WATER WELL SYSTEM GRANT PROGRAM
HWWS****FEDERAL AGENCY:**

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 306E, Public Law 107-171, 7 U.S.C. 1926e.

OBJECTIVES:

To make grants to private, nonprofit organizations to finance the construction, refurbishing and servicing of individually-owned household water well systems in rural areas for individuals with low or moderate income.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds will be used by eligible entities to establish and maintain a revolving loan fund to provide loans to eligible individuals to construct, refurbish, and service individually-owned household water well systems. Eligible individuals must own or will own the household water well systems. Loans will be made at a fixed rate of one-percent with a twenty year term. The maximum amount per loan is \$11,000. Funds may be used to pay administrative expenses associated with providing the loans. Grant funds may not be used to pay (1) administrative expenses exceeding 10 percent of the loans made during the calendar year; (2) administrative expenses incurred before the Rural Utilities Service executes a grant agreement; (3) delinquent debt owed to the Federal Government.

Applicant Eligibility:

An applicant must be a private organization, organized as a non-profit corporation. The applicant must have the legal capacity and authority to perform the obligations of the grant. The applicant must have sufficient expertise and experience in lending activities and promoting the safe and productive use of individually-owned household water well systems and ground water.

Beneficiary Eligibility:

An individual in a household in which the combined income of all household members (for the most recent 12 months) does not exceed 100 percent of the median non-metropolitan household income for the State or territory in which the individual resides.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, ability to administer and service a revolving loan fund, commitment and sources of financial resources the organization controls. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Standard application forms as furnished by RUS must be used for this program. Environmental impact information is not required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-122 applies to this program. The Water and Environmental Programs of the USDA Rural Utilities Service administers the program. Applications include the criteria specified within 7 CFR 1776. This includes, but is not limited to, the requirement to submit an application Form SF-424, Application for Federal Assistance, to the National Office in Washington, DC.

Award Procedure:

The Water and Environmental Programs staff in Washington, DC, will review and process the application. Following completion of application processing requirements and grant approval, funds are made available to the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Dependent upon funding availability and the completeness of the application. From 60 to 120 days following the submission deadline.

Appeals:

An application determined ineligible may request a review of this decision with the National Appeals Division of USDA in accordance with USDA regulations at 7 CFR 11.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 10%. Applicants must provide funds from sources other than the HWWS grant. The required match must be at least 10 percent of the HWWS grant. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A time limitation is not specified; however, priority will be given to applicants whose time frame for completion of the grant project is 12 months or less. See the following for information on how assistance is awarded/released: Funds will be awarded when all RUS requirements are met and the project can be completed on a timely basis.

Reports:

All grantees will submit quarterly performance activity reports and financial reports to RUS until the project is complete and the funds are expended. Cash reports are not applicable. Periodic reports are to be made to the RUS servicing official. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Annual audit or Management Report

Records:

Records and accounts are required to reflect the project operations.

Account Identification:

12-0403-0-1-452.

Obligations:

(Project Grants) FY 16 \$1,192,081; FY 17 est \$993,000; and FY 18 est \$993,000

Range and Average of Financial Assistance:

\$75,000 to \$350,000. Avg. \$198,680.

TAFS Codes:

12-1980.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1776, Household Water Well System Grant Program

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Consult local telephone directory under United States Government, Department of Agriculture, for Rural Development office number. If no listing, get in touch with the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, Department of Agriculture 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

<http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

RUS assistance for grant projects will be directed toward truly rural areas and rural communities. Considerations for available funds emphasize such criteria as population, low income, unemployment; health and sanitary problems. RUS financed facilities will not be inconsistent with any development plans of the State, multi-jurisdictional area, county, or municipality in which the proposed project is located.

10.863 COMMUNITY CONNECT GRANT PROGRAM

Community Connect

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Consolidated Appropriations Act, 2005; Title III: Rural Development Programs; Rural Utilities Service; Distance Learning, Telemedicine, and Broadband Program; Public Law 108-447.

OBJECTIVES:

To encourage community-oriented connectivity by providing grants to eligible applicants who will deploy broadband transmission service in rural communities where such service does not currently exist; who will connect all critical community facilities such as local schools, education centers, libraries, hospitals, health care providers, law enforcement agencies, public safety organizations, fire, and rescue services, as well as residents and businesses; and who will operate a community center which provides free and open access to area residents.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants will be made available, on a competitive basis, for the deployment of broadband services to critical community facilities, rural residents, and rural businesses and for the operation of a community center which would provide free access to broadband services to community residents for at least two years. See 7 CFR Part 1739 for details. Funds can only be used for purposes specifically authorized in the regulation, per 7 CFR 1739.12. Funds are authorized for this program in the Appropriations Bill for the Department of Agriculture for each fiscal year.

Applicant Eligibility:

To be eligible for a grant, the applicant must: (a) be legally organized as an incorporated organization, an Indian tribe or tribal organization, a state or local unit of government, or other legal entity, including cooperatives or private corporations or limited liability companies organized on a for profit or not-for-profit basis, and (b) have the legal capacity and authority to own and operate the broadband facilities as proposed in its application, to enter into contracts and to otherwise comply with applicable federal statutes and regulations.

Beneficiary Eligibility:

The people living in rural areas and to improve rural opportunities through the availability of access to high speed broadband networks.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Submit an application in accordance with 7 CFR 1739 and its accompanying application guide.

Award Procedure:

Grants are awarded on a competitive basis to applicants receiving the highest number of points.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications are approved each fiscal year for which funding is made available.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 15%. The grant applicant must contribute a matching contribution which is at least 15 percent of the grant amount requested and this must be in cash in an account of the awardee at the time of closing.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applicants generally have three years to spend funds. Extensions may be granted. See the following for information on how assistance is awarded/released: Funds may be advanced monthly or as needed to reimburse disbursements for approved grant purposes. See the following for information on how assistance is awarded/released: Funds may be advanced monthly or as needed to reimburse disbursements for approved grant purposes.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. The disbursement of funds is made on the basis of invoices for eligible purposes. To this extent, RUS is aware of all expenditures for which reimbursement is sought. Projects are also periodically audited by field accounting staff. Project performance activity reports are required annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Entities which do not meet the criteria for applicability in 2

CFR 200 will have an audit prepared in accordance with 7 CFR 1773, Policy on Audits of RUS Borrowers and Grantees.

Records:

Grantee will provide evidence that funds are expended for approved purposes. For profit entities owe an audit for any year in which funds are expended. Other entities (state and local governments, non-profits, etc.) are subject only if more than \$750,000 is expended.

Account Identification:

12-1232-0-1-452.

Obligations:

(Project Grants) FY 16 \$15,604,851; FY 17 est \$34,500,000; and FY 18 est \$20,000,000

Range and Average of Financial Assistance:

The Agency will publish, annually in the Federal Register, a Notice of Funds Availability that sets forth the maximum and minimum funding for each grant. In FY 2016, grants ranged from \$481,000 to \$3,000,000 and averaged \$1,733,872. In FY 2017, grants can range from \$500,000 to \$3,000,000 and the average will not be known until selections are made.

TAFS Codes:

12-1230.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, grants totaled \$15,604,851 and benefited 5 states. Fiscal Year 2017: In FY 2017, the application window closed on June 16, 2017. As of that date RUS received 72 applications which are currently going through the review process. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1739: Broadband Grant Program, Subpart A - Community Connect Grant Program

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program Rural Utilities Service, 1400 Independence Avenue, S.W., STOP 1590, Washington, District of Columbia 20250 Phone: (202) 720-9554.

Website Address:

<http://www.rd.usda.gov/programs-services/community-connect-grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.864 GRANT PROGRAM TO ESTABLISH A FUND FOR FINANCING WATER AND WASTEWATER PROJECTS

RFP Program

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 6002, Public Law 107-171, 7 U.S.C. 1926e.

OBJECTIVES:

To make grants to private, nonprofit organizations to establish a revolving loan fund to make small, short term loans for pre-development or small capital water or waste disposal projects.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds will be used to establish and maintain a revolving loan fund to finance pre-development costs associated with proposed water and wastewater

projects or with existing water and wastewater systems, and short-term costs incurred for replacement equipment, small-scale extension of services, or other small capital projects that are not part of the regular operations and maintenance activities of existing water and wastewater systems.

Applicant Eligibility:

An applicant must be a private organization, organized as a non-profit corporation. The applicant must have the legal capacity and authority to perform the obligations of the grant. The applicant must have sufficient expertise and experience in making and servicing loans.

Beneficiary Eligibility:

Municipalities, counties, and other political subdivisions of a State, such as districts and authorities, associations, cooperatives, corporations operated on a not-for-profit basis, Indian tribes on Federal and State reservations and other Federally recognized Indian tribes. Facilities shall primarily serve rural residents and rural businesses. The service area shall not include any area in any city or town having a population in excess of 10,000 inhabitants according to the latest decennial census of the United States. The loan recipient must be unable to finance the proposed project from their own resources or through commercial credit at reasonable rates and terms. The loan recipient must have or will obtain the legal authority necessary for owning, constructing, operating and maintaining the proposed service or facility, and for obtaining, giving security for, and repaying the proposed loan.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, ability to administer and service a revolving loan fund, commitment and sources of financial resources the organization controls. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The Water and Environmental Programs of the USDA Rural Utilities Service administers the program. Application Form SF-424 is filed at the National Office in Washington, DC, from which assistance may be obtained.

Award Procedure:

The Water and Environmental Programs staff in Washington, DC, will review and process the application. Following completion of application processing requirements and grant approval, funds are made available to the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days.

Appeals:

If an application is rejected, the reasons for rejection are stated fully. The applicant may request a review of this decision at the RUS National level.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 20%. Applicants must provide funds from other sources equal to at least 20 percent of the RFP grant. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A time limitation is not specified for the RUS grant funds. Funds will be awarded when all RUS requirements are met and the project can be completed on a timely basis. See the following for information on how assistance is awarded/released: Funds will be awarded when all RUS requirements are met and the project can be completed on a timely basis.

Reports:

Periodic reports are to be made to the RUS servicing official. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Annual audit or Management Report

Records:

Records and accounts are required to reflect the project operations.

Account Identification:

12-1980-0-1-452.

Obligations:

(Project Grants) FY 16 \$1,000,000; FY 17 est \$1,000,000; and FY 18 est \$1,000,000

Range and Average of Financial Assistance:

\$250,000 to \$500,00. Average grant: \$333,333.

TAFS Codes:

12-1980.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1783, Grant Program to Establish a Fund for Financing Water and Wastewater Projects; The Revolving Fund Program 2005 Grant Application Guide.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for Rural Development local number. If no listing, get in touch with the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

<http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs>

RELATED PROGRAMS:

10.760 Water and Waste Disposal Systems for Rural Communities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

RUS assistance for grant projects will be directed toward truly rural areas and rural communities. Considerations for available funds emphasize such criteria as; population, low income, unemployment; health and sanitary problems. RUS financed facilities will not be inconsistent with any development plans of the State, multi-jurisdictional area, county, or municipality in which the proposed project is located.

10.865 BIOREFINERY ASSISTANCE

Section 9003- Biorefinery, Renewable Chemical, and Biobased Manufacturing Assistance Program

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Title IX of the Farm Security and Rural Investment Act of 2002 is amended by the Food, Conservation, and Energy Act of 2008, and the Agricultural Act of 2014, Public Law 113-79, Title IX, Section 9003, 7 U.S.C, 8103., Title IX, Section 9003, 9 U.S.C 9003.

OBJECTIVES:

The program provide loan guarantees for the development, construction, and retrofitting of commercial-scale biorefineries as well as biobased product manufacturing facilities.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Loan guarantees are made to fund the development, construction, and retrofitting of commercial-scale biorefineries as well as biobased product manufacturing facilities using eligible technology. The maximum loan guarantee is \$250 million. Mandatory funding of \$100 million is available in Fiscal Year (FY) 2014, and \$50 million each in FY 2015 and FY 2016, until expended. Diversity of projects, approaches and technologies will be a factor in considering applications. Discretionary funding of \$75 million may be available in each FY 2014 through FY 2018. As of this update, the budget has not been approved. Loan guarantees are made to fund the development, construction, and retrofitting of commercial-scale biorefineries using eligible technology. Discretionary funding may be available on an annual basis. The maximum loan guarantee is \$250 million.

Applicant Eligibility:

Eligible entities under the program include: individuals, entities, Indian tribes, or units of State or local government, corporations, farm cooperatives, farmer cooperative organizations, associations of agricultural producers, National Laboratories, institutions of higher education, rural electric cooperatives, public power entities, or consortia of any of those entities.

Beneficiary Eligibility:

see above.

Credentials/Documentation:

Application for Federal Guarantee, feasibility Study, lender analysis and other applicable and required information as outlined in application and regulations. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program is subject to environmental review pursuant to the National Environmental Policy Act (NEPA) of 1969. An environmental impact assessment is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit an original completed application with two copies to USDAs Rural Development National Office: Energy Branch, Attention: Biorefinery Assistance Program, 1400 Independence Avenue, SW, STOP 3225, Washington, DC, 20250-3225.

Award Procedure:

Based on a review of the application, the project must be eligible and have technical merit. Projects determined by the Agency to be without technical merit will not be selected for funding. The Agency will score each application in order to prioritize each proposed project.

Deadlines:

Sep 01, 2015 to Oct 01, 2015: There are two application cycles each fiscal year. Applications may be submitted at any time. Letter of Intent deadlines are September 1 and March 2. Application deadlines are October 1 and April 1. A

notice published in the Federal Register will provide established dates and deadlines for application acceptance.

Range of Approval/Disapproval Time:

Approval is subject to the completion of all requirements identified in the 7 CFR part 4279, subpart C.

Appeals:

An applicant may have review or appeal rights in accordance with 7 CFR Part 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Statute limits maximum Federal program participation to 80% of project cost.

Matching Requirements: Applicants must identify all sources, amounts, and status of matching funds. Borrowers shall demonstrate evidence of cash equity injection in the project of not less than 20 percent of eligible project costs. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depends on number of applications submitted and complexity of the project. Method of awarding/releasing assistance: lump sum.

Reports:

The lender must provide the Agency monthly reports from the borrower during construction. Once the project has been constructed, periodic reports for the life of the guaranteed loan. The borrowers reports will include, but not be limited to, the information specified in the following paragraphs, as applicable.

1. The actual amount of advanced biofuels produced to assess whether project goals are being met.
2. If applicable, documentation that identified health and/or sanitation problem has been solved.
3. A summary of the cost of operating and maintaining the facility.
4. Description of any maintenance or operational problems associated with the facility.
5. Demonstration that the project is and has been in compliance with all applicable State and Federal environmental laws and regulations.
6. The number of jobs created.
7. A description on the status of the projects feedstock including, but not limited to, the feedstock being used, outstanding feedstock contracts, feedstock changes and interruptions, and quality of the feedstock. Cash reports are not applicable. Lenders must forward periodic financial statements and progress reports. Expenditure reports are required as outlined below and in Loan Agreement and program Regulations. Performance monitoring is required. Regulations are contained in 7 CFR Part 4279, subpart C and in 7 CFR Part 4287, subpart D. Regulations will be updated to incorporate statutory changes required by the Agricultural Act of 2014, Public Law 113-79, effecting Section 9003. Performance monitoring is required as outlined below and in Loan Agreement and program Regulations.

Once the project has been constructed, the lender must provide the Agency periodic reports from the borrower commencing the first full calendar year following the year in which project construction was completed and continuing for the life of the guaranteed loan. The borrowers reports will include, but not be limited to, the information specified in the following paragraphs, as applicable.

- (i) The actual amount of advanced biofuels produced to assess whether project goals are being met.
- (ii) If applicable, documentation that identified health and/or sanitation problem has been solved.
- (iii) A summary of the cost of operating and maintaining the facility.
- (iv) Description of any maintenance or operational problems associated with the facility.
- (v) Demonstration that the project is and has been in compliance with all applicable State and Federal environmental laws and regulations.
- (vi) The number of jobs created.

(vii) A description on the status of the projects feedstock including, but not limited to, the feedstock being used, outstanding feedstock contracts, feedstock changes and interruptions, and quality of the feedstock.

The lender must submit to the Agency quarterly financial statements within 45 days of the end of each quarter.

Audits:

No audits are required for this program.

Records:

The borrower must permit representatives of the Agency (or other agencies of the U.S.) to inspect and make copies of any records pertaining to any Agency guaranteed loan during regular office hours of the borrower or at any other time upon agreement between the borrower and the Agency, as appropriate.

Account Identification:

12-3106-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Available; FY 17 est \$0; and FY 18 est \$0 - Budget has not been approved as of this update. Contact office for more information.

Range and Average of Financial Assistance:

Maximum loan guarantee cannot exceed \$250 million.

TAFS Codes:

12-3106.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See solicitation for details

Regional or Local Office:

See Regional Agency Offices. Regional Agency Offices:
<http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

Todd Hubbell U.S Department of Agriculture
Rural Development, Business Programs, Energy Branch
1400 Independence Avenue, SW , Washington, District of Columbia 20250
Email: Todd.Hubbell@wdc.usda.gov Phone: 202-690-2516

Website Address:

<http://www.rd.usda.gov/programs-services/biorefinery-renewable-chemical-and-biobased-product-manufacturing-assistance>

RELATED PROGRAMS:

10.866 Repowering Assistance ; 10.867 Bioenergy Program for Advanced Biofuels

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Agency will score projects based on markets for the advanced biofuel, renewable chemicals, the potential for biobased manufacturing, and the diversity of project approaches, technologies and products. In general, the Agency may consider among other factors, whether the area in which the borrower proposes to place the biorefinery has other similar advanced biofuel facilities. Whether the borrower is proposing to use a feedstock not previously used in the production of advanced biofuels. Whether the borrower is proposing to work with producer associations or cooperatives. The level of financial participation by the borrower, including support from non-Federal and private sources. Whether the borrower has established that the adoption of the process proposed in the application will have a positive impact on resource conservation, public health, and the environment. Whether the borrower can establish that, if adopted, the biofuels production technology proposed in the application will not have any significant negative impacts on existing manufacturing plants or other facilities that use similar feedstocks. The potential for rural economic development, including the number of local jobs created and inclusion of local banks or other capital sources in any proposed debt syndication. The level of local ownership proposed in the application. Whether the project can be replicated. Whether the project is a first-of-a-kind

technology, system, or process.

10.866 REPOWERING ASSISTANCE

Section 9004 Repowering Assistance

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Title IX of the Farm Security and Rural Investment Act of 2002 as amended by the Food, Conservation, and Energy Act of 2008, and the Agricultural Act of 2014, Public Law 113-79, Title IX, Section 9004, 7 U.S.C. 8104, Section 9004.

OBJECTIVES:

Payments to eligible biorefineries for the purpose of replacing fossil fuels with renewable biomass.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The purpose of this program is to provide financial incentives to biorefineries in existence on June 18, 2008 to replace the use of fossil fuels with new systems that use renewable biomass as their energy source.

Applicant Eligibility:

A Biorefinery facility (including equipment and processes) that converts renewable biomass into biofuels and biobased products, and may produce electricity. An eligible biorefinery producer, whose primary production is biofuels; that meets all requirements of this program. The biorefinery must have been in existence on or before June 18, 2008.

Beneficiary Eligibility:

For biorefineries in existence on June 18, 2008 Primary production is biofuels.

Credentials/Documentation:

1. Application for participation in the program.
2. Feasibility Study for the renewable biomass system.
3. Historical records for energy use. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The applicant must submit a feasibility study by an independent qualified consultant, which has no financial interest in the biorefinery, and demonstrates that the renewable biomass system of the biorefinery is feasible, taking into account the economic, technical and environmental aspects of the system.

Award Procedure:

Applications will be scored and awards will be made based of how well applicants score in relation to other applicant within the funding cycle. Payments may be made during construction and commissioning, ten percent of eligible project cost shall be withheld until project is completed and operating.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval is subject to the completion of all requirements identified by the Agency.

Appeals:

An applicant may have review rights or appeal rights in accordance with 7 CFR part 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula. This program has no matching requirements. The Statute allows \$12 million in Mandatory funds and an additional \$10 million Discretionary Funds for the life of the program. MOE requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Duration of the Farm Bill. Payments may be made during construction and commissioning, ten percent of eligible project cost shall be withheld until project is completed and operating. An applicant who is awarded payments under the program has five years from the fiscal year in which an award is made to request and receive payments. See the following for information on how assistance is awarded/released: Requests for funds have to be made within three years. No payments are made prior to completion and commissioning of the projects. An applicant who is awarded payments under the program has five years from the fiscal year in which an award is made to process payments.

Reports:

Form RD 4288-6 must be submitted for payment and reporting on the production of renewable energy. Cash reports are not applicable. Applicant must submit reports that document the production of renewable energy. RD Form RD 90042. No expenditure reports are required. No performance monitoring is required.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

12-2073-0-1-452.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - No budget available at the time of updating this information. Call the office listed below.

Range and Average of Financial Assistance:

No data.

TAFS Codes:

12-2073.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Regional Agency Offices:
<http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

Fred Petok U.S. Department of Agriculture
Rural Development, Energy Division
1400 Independence Avenue, SW., Stop 3225

, Washington, District of Columbia 20250-3225 Email:
frederick.petok@wdc.usda.gov Phone: 202-690-0784

Website Address:

<http://www.rd.usda.gov/programs-services/repowering-assistance-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants must achieve minimum levels of fossil fuel reductions and cost effectiveness to be eligible.

10.867 BIOENERGY PROGRAM FOR ADVANCED BIOFUELS

Advanced Biofuel Payments Program

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Title IX of the Farm Security and Rural Investment Act of 2002 is amended by the Food, Conservation, and Energy Act of 2008, and the Agricultural Act of 2014, Public Law 113-79, Title IX, Section 9005, 7 U.S.C, 8105, Title IX, Section 9005.

OBJECTIVES:

The purpose of this subpart is to support and ensure an expanding production of advanced biofuels by providing payments to eligible advanced biofuel producers.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

The purpose of this program is to support and ensure an expanding production of Advanced Biofuels by providing payments to eligible advanced biofuel producers. The Advanced Biofuel Payment Program promotes sustainable economic development in rural America, and is an important part of achieving increased biofuel production. Producers must produce advanced biofuel from feedstocks other than corn kernel starch. The Statute allows \$15 million of Mandatory Funds and \$20 million of Discretionary Funds. Producers must produce advanced biofuel from other than corn kernel starch. The Statute allows \$25 million of Discretionary Funds.

Applicant Eligibility:

Advanced Biofuel Producer - an individual, corporation, company, foundation, association, labor organization, firm, partnership, society, joint stock company, group of organizations, or non-profit entity that produces and sells an advanced biofuel.

An individual, corporation, company, foundation, association, labor company, group of organizations, or non-profit entity that blends or otherwise combines advanced biofuels into a blended biofuel is not considered an advanced biofuel producer under this Program.

Beneficiary Eligibility:

The Advanced Biofuel Producer must produce a biofuel that meets the definition of advanced biofuel, be a solid, liquid, or gaseous advanced biofuel, be a final product. Fuel must be derived from renewable biomass other than corn kernel starch.

Credentials/Documentation:

1. Application for participation in the program.
2. Certifications for quality of advanced biofuel.
3. Historical and current production records.

This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is not subject to the Environmental Assessment or Executive 12372.

Award Procedure:

1. Application for participation in the program
2. Sign a contract with the Agency
3. Submit a quarterly request for payment under the program with documentation of production

4. Convert production to BTU values

5. Award funding based on requests received and production for each producer.

Deadlines:

Oct 01, 2015 to Oct 31, 2015 Application window is October 1 through October 31 each year. Quarterly payment request due within 30 days from end of each fiscal year quarter.

Range of Approval/Disapproval Time:

No date range has been identified for this program.

Appeals:

An applicant may seek a review of an Agency decision or appeal to the National Appeals Division in accordance with 7 CFR Part 11 of this title.

Renewals:

The program will be available until the end of Farm Bill.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. There are no matching requirements under this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applications for participation are accepted between October 1 through October 31, each fiscal year, in accordance with 7 CFR 4288.120(b). Applicants must also comply with the quarterly submission dates referenced in 7 CFR 4288.130(d). A Notice will be published each year identifying the amount available for payments. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. RD 4288-3 ADVANCED BIOFUEL PAYMENT PROGRAM - PAYMENT REQUEST

Quarterly request for payments under the program with documentation of production. No progress reports are required. Method of awarding/releasing assistance: quarterly request for payment under the program with documentation of quarterly production. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No records submittal required after payment is made. Documentation is required prior to payment.

Account Identification:

12-2073-0-1-452.

Obligations:

(Salaries) FY 16 \$15,000,000; FY 17 est \$15,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Awards are based on requests received and production for each producer. Budget has not been approved as of the update of this information, contact the office listed below for more information.

TAFS Codes:

12-2073.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notices are published in the Federal Register each fiscal year. Application and payment requirements are outlined in 7 CFR 4288-B

Regional or Local Office:

None. Rural Development Energy Coordinators.

Headquarters Office:

Lisa Noty U.S. Department of Agriculture
Rural Development, Energy Division
511 W. 7th Street, Atlantic, Iowa 50022 Email: Lisa.Noty@wdc.usda.gov
Phone: 712-243-2107 X 116

Website Address:

<http://www.rd.usda.gov/programs-services/repowering-assistance-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.868 RURAL ENERGY FOR AMERICA PROGRAM

(REAP)

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Title IX of the Farm Security and Rural Investment Act of 2002 as amended by the Food, Conservation, and Energy Act of 2008, and the Agricultural Act of 2014, Public Law 113-79, Title IX, Section 9007, 7 U.S.C, 8107.

OBJECTIVES:

(1) Provision of grants and loan guarantees to agricultural producers and rural small businesses to promote energy efficiency improvements and renewable energy systems development. The program provides grants of up to 25% of project costs and/or loan guarantees of up to 75% of project costs to purchase and install energy efficiency improvements and renewable energy systems.

(2) Provision of grants to government entities or their instrumentalities, educational institutions, rural electric cooperatives, Resource Conservation and Development Councils, and public power entities to assist with the cost of performing energy audits and renewable energy development assistance for agricultural producers and rural small businesses. Recipients of energy audits must pay at least 25% of the cost of the audit.

TYPES OF ASSISTANCE:

Loan Guarantees/Grants

USES AND USE RESTRICTIONS:

For renewable energy systems and energy efficiency improvements, the amount of a grant shall not exceed the lesser of 25 percent of eligible project costs or \$500,000 for renewable energy systems and \$250,000 for energy efficiency improvements. The maximum amount of combined grant or guaranteed loan made shall not exceed 75 percent of eligible project costs. For Energy Audit, the recipient of the audit must pay 25 percent of the cost of the energy audit. See in Use Section. Contact office. see solicitation for details.

Applicant Eligibility:

To be eligible for renewable energy systems or energy efficiency improvement assistance, an applicant must be an agricultural producer or rural small business. Rural small businesses must be located in a rural area. The applicant or owner must not have an outstanding judgment, delinquent on any Federal debt or debarred from receiving Federal assistance. Under the Energy Audit and Renewable Energy Development Assistance programs eligible applicants include governmental entities and their instrumentalities, educational institutions, rural electric cooperatives, Resource Conservation and Development Councils, and public power entities.

Beneficiary Eligibility:

For energy efficiency improvements and renewable energy systems the program is for agricultural producers and rural small businesses. For energy audits and renewable energy development assistance grants, units of State, tribal, and local governments; land-grant colleges, universities, and other institutions of higher education; rural electric cooperatives and public power entities; instrumentalities of a state, tribal, and local governments; and Resource Conservation and Development Councils are eligible for the assistance.

Credentials/Documentation:

For applicants seeking renewable energy systems or energy efficiency improvement assistance: agricultural producers must be directly engaged in the production of agricultural products, including crops, livestock, forestry products, hydroponics, nursery stock, or aquaculture, whereby 50 percent or greater of their gross income is derived from the operations. A small business must be located in a rural area and meet the Small Business Administration's Business Size Standards including all parent, affiliate, or subsidiary entities at

other locations.

For the Energy Audit and Renewable Energy Development Assistance Program the entity applying must have experience in providing this service to qualify for the grant. All other application requirements and competitive benchmarks must be met in accordance with published Notices. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants must submit a complete application to the Rural Development Energy Coordinator in their respective State. A complete application includes Standard Forms 424, 424C and 424D plus Rural Development forms, scope of work, feasibility studies, energy audits, environmental assessment (form RD 1940-20), and written technical reports.

Award Procedure:

Rural Development (RD) personnel will review all applications. Ineligible and incomplete applications may be returned to the applicant and not evaluated further. RD personnel score applications based on published evaluation criteria. Applications will be funded at the State level first. A second review and nationwide ranking will be conducted by the RD National Office. Funding recommendations for National funds will be forwarded to the Administrator, Rural Business-Cooperative Service for final awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 180 days after the application is filed with RBS dependent on the number of funding cycles offered per year.

Appeals:

Adverse actions made on applications are appealable, pursuant to 7 CFR part 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Matching Requirements: For Renewable Energy Systems and Energy Efficiency Improvement assistance: The applicant must provide at least 75 percent of leveraged funds if the request is for grant only funds.

For a combination grant and loan guarantee and loan guarantee only, the applicant must provide at least 25 percent of leveraged funds. Other Federal grants may not be used to meet the 75 percent requirement for the grant.

For Energy Audit and Renewable Energy Development Assistance: The recipient of the energy audit must pay at least 25% of the cost of the audit. MOE requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant funds will be provided on a reimbursement basis. Guarantees on loan will be provided once the project is complete and operational. Project should typically be completed within two years from the award. Method of awarding/releasing assistance: monthly or as needed. See the following for information on how assistance is awarded/released: Monthly or as needed.

Reports:

A financial status report and a project performance report are required on a semiannual basis (due 30 working days after June 30 and December 31). Grantees and Guaranteed Borrowers shall constantly monitor performance to ensure that time schedules are being met and projected goals by time periods are being accomplished. Once the project is completed annual reports will be required.

For renewable energy system projects, these reports are to be provided commencing in the first full calendar year after construction is completed and continuing for 3 full years. For energy efficiency improvement projects, these reports are to be provided commencing the first full calendar year following the year in which project construction was completed and continuing for 2 full years.

For Energy Audit and Renewable Energy Development Assistance, these reports are to be provided commencing in the first full calendar year after grant is completed and continuing for 2 full years. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Accounting records prepared in accordance with generally accepted accounting principles (GAAP) or financial statement in a format typically accepted by a commercial agricultural lender and supported by source documentation will be required for financial statements.

Records:

For Rural Energy for America Program applicant will provide a financial management system in accordance with 7 CFR Part 3015. The applicant will also retain financial records, supporting documents, statistical records, and other records pertinent to the award for a period of at least three years after final disbursement, except that the records shall be retained beyond the three-year period if audit findings have not been resolved.

Account Identification:

12-1908-0-1-451.

Obligations:

(Loan Guarantees/Grants) FY 16 \$159,000,000; FY 17 est \$299,030,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Grant Range \$2,500 to \$500,000; Average \$45,000 Guaranteed Loan Range \$5,000 to \$25,000,000; Average \$85,000.

TAFS Codes:

12-1908.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notice of Solicitation of Applications will be published in the Federal Register each fiscal year. Application, construction and serving requirements are outlined in 7 CFR 4280-B.

Regional or Local Office:

See Regional Agency Offices. For general information, write to: US Department of Agriculture, Rural Development, Energy Division, MS 3225, 1400 Independence Avenue, SW, Washington, DC, 20250, or telephone 202-720-1400. For specific information, contact the RD State Energy

Coordinator in your respective state:

http://www.rd.usda.gov/files/RBS_StateEnergyCoordinators.pdf.

Headquarters Office:

Kelley Oehler U.S. Department of Agriculture
Rural Development, Energy Division
1400 Independence Avenue, SW, Washington, District of Columbia 20250
Email: kelley.oehler@wdc.usda.gov Phone: 202-720-6819

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

- (1) Quantity of Energy Replaced, Produced, or Saved
- (2) Environmental benefits
- (3) Commercial availability
- (4) Technical Merit
- (5) Readiness (Grants only)
- (6) Small Agricultural Producer/Very Small Rural Business
- (7) Simplified application
- (8) Previous grantees and borrowers
- (9) Simple payback
- (10) State Director and Administrator priorities and points.
- (11) Loan Rate (Guaranteed Loans only:).

10.870 RURAL MICROENTREPRENEUR ASSISTANCE PROGRAM (RMAP)

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008 of the Farm Bill, Title VI, Section 6022.

OBJECTIVES:

Provide rural microentrepreneurs with the skills necessary to establish new rural microenterprises and to provide continuing technical and financial assistance related to the successful operation of rural microenterprises. (1) Make direct loans to Microenterprise Development Organizations (MDO), which may be a non-profit entity, Indian Tribe or public institution of higher education for the purpose of capitalizing microloan revolving funds to provide fixed interest rate business loans of the lesser of \$50,000 or 20 percent of the amount loaned to an MDO for startup and growing rural microenterprises. The maximum loan amount an MDO may borrow under this program will not exceed \$500,000. (2) Make grants to MDOs to support rural microenterprise development. These grants are known as operational enhancement activities or services grants. These grants will be used to seek training and other enhancement services to strengthen their own organizations. These grants will not exceed 10 percent of available funding, whichever is less in any given year. (3) Any MDO that receives a loan under this program is eligible for a grant to assist microentrepreneurs who have received or are seeking a microloan from an MDO. These grants are known as technical assistance (TA) grants and may be used by an MDO to provide marketing, management, and other technical assistance to microentrepreneurs. TA grants will provide assistance specifically tailored to the needs of one or more microentrepreneurs.

TYPES OF ASSISTANCE:

Direct Loans; Project Grants

USES AND USE RESTRICTIONS:

Loans to MDO's for the purpose of capitalizing microloan revolving funds to provide fixed interest rate business loans of \$50,000 or less to microentrepreneurs as defined for startup and growing rural microenterprises. The maximum loan amount an MDO may borrow under this program will not exceed \$500,000. Grants will be used to provide training, operational support, business planning, market development assistance, other related services to rural microentrepreneurs, and to fund other such appropriate projects and

activities. A 10 percent non-federal cash match will be required against any technical assistance grant awarded. A 15 percent match will also be required which may be in the form of non-federal cash, in-kind goods, services, or indirect costs. These two sets of matching contribution will be combined for a total matching requirement of 25 percent of the grant amount. Any MDO that receives a loan under this program is eligible for a grant to assist microentrepreneurs who have received or are seeking a microloan from an MDO. These grants are known as technical assistance (TA) grants and may be used by an MDO to provide marketing, management, and other technical assistance to microentrepreneurs. TA grants will provide assistance specifically tailored to the needs of one or more microentrepreneurs. TA grants will be not more than the lesser of 25 percent of the total outstanding balance of microloans or \$100,000. A 10 percent non-federal cash match will be required against any technical assistance grant awarded. A 15 percent match will also be required which may be in the form of non-federal cash, in-kind goods, services, or indirect costs. These two sets of matching contribution will be combined for a total matching requirement of 25 percent of the grant amount. TA grants will be in an amount equal to not more than the lesser of 25 percent of the total outstanding balance of microloans or \$100,000. The term of a loan made to an MDO will not exceed 20 years. The interest rate to an MDO will be at a minimum 1 percent and may adjust, after the 2-year deferral, depending on the performance of the MDO as published in the anticipated Final Rule. The Grant Agreement will be effective until such time the purpose of the grant is fulfilled. A 10 percent non-federal cash match will be required against any technical assistance grant awarded. A 15 percent match will also be required which may be in the form of non-federal cash, in-kind goods, services, or indirect costs. These two sets of matching contribution will be combined for a total matching requirement of 25 percent of the grant amount. TA grants will be in an amount equal to not more than the lesser of 25 percent of the total outstanding balance of microloans or \$100,000. The term of a loan made to an MDO will not exceed 20 years. The interest rate to an MDO will be at a minimum 1 percent and may adjust, after the 2-year deferral, depending on the performance of the MDO as published in the anticipated Final Rule. The Grant Agreement will be effective until such time the purpose of the grant is fulfilled.

Applicant Eligibility:

To be eligible to apply for Microlender status under the Rural Microentrepreneur Assistance Program, an applicant must be a non-profit entity, an Indian tribe, or a public institution of higher education. Applicants must be at least 51 percent controlled by persons who are either (i) citizens of the United States, the Republic of Palau, the Federated States of Micronesia, the Republic of the Marshall Islands, or American Samoa; or (ii) legally admitted permanent residents residing in the U.S. The applicant or owner must not have an outstanding judgment, be delinquent on any Federal debt or debarred from receiving Federal assistance.

Beneficiary Eligibility:

Microentrepreneur is defined as an owner and operator, or prospective owner and operator, of a rural microenterprise who is unable to obtain sufficient training, technical assistance, or as determined by the Secretary. All microentrepreneurs must be located in a rural area.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant Non-Profit organizations must submit a complete application to the USDA as called for in the final rule, which is planned for publication early in 2010. It is anticipated that the requirement will include Standard Forms 424, 424A and 424B plus program specific requirements as stated in the final rule.

Award Procedure:

For the Rural Microentrepreneur Assistance Program, Rural Development (RD) personnel will review, score, and rank applications. It is anticipated that this

will take place on a quarterly basis based on published evaluation criteria. Funding recommendations will be forwarded to the Administrator, Rural Business and Cooperative Service for final awards. The National Office will transfer funds to the appropriate State office for obligation of successful awards. Unsuccessful applicants will be notified.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Adverse actions made on applications from MDO's are appealable pursuant to 7 CFR part 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 25%. Statutory formulas are not applicable to this program. Matching Requirements: Percent: 25%. A 10 percent non-federal cash match will be required against any technical assistance grant awarded. A 15 percent match will also be required which may be in the form of non-federal cash, in-kind goods, services, or indirect costs. These two sets of matching contribution will be combined for a total matching requirement of 25 percent of the grant amount.

A five percent (5%) match is required against any loan awarded, which will be used to establish a loan loss reserve fund. MOE requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For the Rural Microentrepreneur Assistance Program: Grant funds will be provided on a reimbursement basis. MDOs must make one or more microloans within 30 days of any disbursement it receives. MDOs may receive additional disbursements no more than quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

Reporting requirements include quarterly reporting that provides information required by the Secretary to ensure that funds provided are being used for the purposes for which the loans and/or grants were made. Cash reports are not applicable. As part of the reporting, MDOs will be required to provide evidence that the sum of the unexpended amount in the Rural Microentrepreneur Revolving Fund, plus the amount in the Loan Loss Reserve Fund, plus debt owed by the microborrowers is equal to a minimum of 105% of the amount owed by the MDO to the Agency. Expenditure reports are not applicable. Reporting requirements include quarterly reporting that provides information required by the Secretary to ensure that funds provided are being used for the purposes for which the loans and/or grants were made.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Accounting records are to be prepared by or on behalf of borrowers and grantees in accordance with generally accepted accounting principles (GAAP) or audited financial statements. Source documentation will be required for financial statements.

Records:

Participating MDOs will be required to maintain loan portfolio and training records, to the extent that the Agency may conduct inspections and audits as needed.

Account Identification:

12-1955-0-1-452.

Obligations:

(Direct Loans) FY 16 \$7,000,000; FY 17 est \$29,467,000; and FY 18 est \$0 - RMAP is a loan and grant program. Amounts listed for FY 2015, 2016, and 2017 are loan obligations. Grant obligations are: FY 2015 - \$3,474,834, FY 2016 estimate - \$2,000,000, FY 2017 estimate - \$4,250,000.

Range and Average of Financial Assistance:

Range of financial assistance is outlined in the Notice of Funding Availability published in the federal register, however prior loans has ranged from \$131,250 to \$500,000.

TAFS Codes:

12-1955.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4280, Subpart D; 7 CFR 1951, Subpart R; RD Instruction 4280-D

Regional or Local Office:

See Regional Agency Offices. <http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

Director 1400 Independence Avenue, SW , Washington, District of Columbia 20250 Phone: 202-720-1400

Website Address:

<http://www.rd.usda.gov/programs-services/rural-microentrepreneur-assistance-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.871 SOCIALLY-DISADVANTAGED GROUPS GRANT

SSDG

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

7 USC 1932(e)(11).

OBJECTIVES:

To provide technical assistance to socially-disadvantaged groups through cooperatives and Cooperative Development Centers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To provide technical assistance to socially-disadvantaged groups through cooperatives and Cooperative Development Centers. Ultimate beneficiaries must be in rural areas. All available funds are discretionary funds and must be awarded through a national competition.

Applicant Eligibility:

Eligible applicants are cooperatives, groups of cooperatives, and cooperative development centers that serve socially-disadvantaged groups and whose governing board is comprised of a majority of individuals who are members of a socially-disadvantaged group. Applicants are not eligible if they have been debarred or suspended or otherwise excluded from participation in Federal assistance programs under Executive Order 12549, Debarment and Suspension. Applicants are not eligible if they have an outstanding judgement obtained by the U.S. in a Federal Court (other than U.S. Tax Court), are

delinquent on the payment of Federal income taxes, or are delinquent on a Federal debt. Any corporation that has been convicted of a felony criminal violation under any Federal law within the past 24 months or that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, is not eligible for funding.

Beneficiary Eligibility:

Ultimate beneficiaries must be located in rural areas, as defined by 7 U.S.C. 1991(a).

Credentials/Documentation:

An annual Federal Register notice will describe documentation requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must follow the procedures described in an annual Federal Register notice.

Award Procedure:

Applications are evaluated for eligibility for the program. Eligible applications are reviewed by a panel of experts and ranked according to published evaluation criteria. Applications are funded in rank order until available funds have been expended.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applications are typically approved/disapproved within 60-90 days of receipt.

Appeals:

Applicants may appeal adverse decisions in accordance with 7 CFR Part 11. The Agency provides instructions on how to appeal with each adverse decision.

Renewals:

N/A.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are typically made during September each year. The Period of Performance is one year. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports must be submitted semi-annually. Expenditure reports must be submitted semi-annually using Form SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. No additional audit procedures are required for this program.

Records:

Recipients must comply with 2 CFR 200.333-.337.

Account Identification:

12-1900-0-1-452.

Obligations:

(Project Grants) FY 16 \$3,000,000; FY 17 est \$3,000,000; and FY 18 est \$3,000,000

Range and Average of Financial Assistance:

Average = \$150,000

Range = \$37,000 (minimum) to \$175,000 (maximum).

TAFS Codes:

12-1900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact information for USDA Rural Development State Offices is available at: <http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

USDA, Rural Business-Cooperative Service 1400 Independence Avenue, SW Mail Stop 3253, Room 4208-South, Washington, District of Columbia 20250 Email: cpgrants@wdc.usda.gov Phone: 202-690-1374

Website Address:

<http://www.rd.usda.gov/programs-services/socially-disadvantaged-groups-grant>

RELATED PROGRAMS:

10.771 Rural Cooperative Development Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated based on 5 criteria: (1) Technical Assistance, (2) Experience, (3) Commitment, (4) Work Plan/Budget, (5) Local Support. Applicants should review the annual Federal Register notice for details on how these criteria will be scored.

10.874 DELTA HEALTH CARE SERVICES GRANT PROGRAM**FEDERAL AGENCY:**

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

7 USC 2008u, Public Law 92- 419, 7 Stat. 1921, 7 U.S.C 1921.

OBJECTIVES:

To provide financial assistance to address the continued unmet health needs in the Delta Region through cooperation among health care professionals, institutions of higher education, research institutions, and other individuals and organizations in the Delta Region.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used to for the development of health care services, health education programs, health care job training programs, and/or for the development an expansion of public health-related facilities in the Delta Region. Ultimate beneficiaries must be in rural areas of the Delta Region. All available funds are discretionary funds and must be awarded through a national competition.

Applicant Eligibility:

Eligible applicants are consortiums of the following: regional institutions of higher education, academic health and research institutes, and/or economic development entities located within the Delta Region. Applicants are not eligible if they have been debarred or suspended or otherwise excluded from participation in Federal assistance programs under Executive Order 12549, Debarment and Suspension. Applicants are not eligible if they have an outstanding judgement obtained by the U.S. in a Federal Court (other than U.S. Tax Court), are delinquent on the payment of Federal income taxes, or are

delinquent on a Federal debt. Any corporation that has been convicted of a felony criminal violation under any Federal law within the past 24 months or that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, is not eligible for funding.

Beneficiary Eligibility:

Ultimate beneficiaries must be located in rural areas in the Delta Region.

Credentials/Documentation:

An annual Federal Register notice will describe documentation requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must follow the procedures described in an annual Federal Register notice.

Award Procedure:

Applications are evaluated for eligibility for the program. Eligible applications are reviewed by the Agency and ranked according to published evaluation criteria. Applications are funded in rank order until available funds have been expended.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applications are typically approved/disapproved within 60-90 days of receipt.

Appeals:

Applicants may appeal adverse decisions in accordance with 7 CFR Part 11. The Agency provides instructions on how to appeal with each adverse decision.

Renewals:

N/A.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Availability of assistance varies each year. The Period of Performance is 1-2 years. See the following for information on how assistance is awarded/released: Funds are disbursed as often as monthly, as requested by the recipient using Form SF-270.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports must be submitted semi-annually. Expenditure reports must be submitted semi-annually using Form SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. No additional audit procedures are required for this program.

Records:

Expenditure reports must be submitted semi-annually using Form SF-425.

Account Identification:

12-1232-0-1-452.

Obligations:

(Project Grants (Discretionary)) FY 16 Not Available; FY 17 est \$3,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Average = \$N/A

Range = \$50,000 (minimum) to \$500,000 (maximum).

TAFS Codes:

12-1232.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact information for USDA Rural Development State Offices is available at: <http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

Rural Business-Cooperative Service 1400 Independence Avenue, SW
Mail Stop 3253, Room 4208-South
, Washington, District of Columbia 20250-1550 Email:
cpgrants@wdc.usda.gov Phone: 202-690-1374

Website Address:

<http://www.rd.usda.gov/programs-services/delta-health-care-services-grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated based on 2 criteria: Rurality of the Project and Communities Served and Community Needs and Benefits Derived from the Project. Applicants should review the annual Federal Register notice for details on how these criteria will be scored.

10.886 RURAL BROADBAND ACCESS LOANS AND LOAN GUARANTEES

Farm Bill Broadband Loans & Loan Guarantees

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title VI; 7 U.S.C. 950bb.

OBJECTIVES:

To assure that people in eligible rural communities have access to broadband service comparable in reliability and quality to the rest of the Nation.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Funds can be used for the costs of the construction, improvement, or acquisition of facilities and equipment for the provision of broadband service in rural areas. Additional restrictions may apply to projects depending on the availability of existing broadband service. A direct loan shall bear interest at an annual rate either (1) equivalent to the cost of borrowing to the Department of the Treasury for obligations of comparable maturity or (2) 4 percent. A guaranteed loan shall bear interest at an annual rate equivalent to the current applicable market rate for a loan of comparable maturity. Funds must be used for broadband facilities in eligible rural areas. Funds are appropriate and made available annually. Direct and or guaranteed loans.

Applicant Eligibility:

(a) To be eligible for a broadband loan, an applicant may be either a nonprofit

or for-profit organization, and must take one of the following forms: (1) Corporation; (2) Limited liability company (LLC); (3) Cooperative or mutual organization; (4) Indian tribe or tribal organization as defined in 25 U.S.C. 450b; or (5) State or local government, including any agency, subdivision, or instrumentality thereof.

(b) To be eligible for a broadband loan, the applicant must: (1) Submit a loan application which meets the requirements set forth the regulation; (2) Agree to complete the build-out of the broadband system described in the loan application within three years from the day the applicant is notified that loan funds are available. The loan application must demonstrate that all proposed construction be completed within this three year period with the exception of CPE. CPE can be funded throughout the forecast period; (3) Demonstrate an ability to furnish, improve, or extend broadband facilities to provide service at the broadband lending speed in rural areas; (4) Demonstrate an equity position equal to at least 10 percent of the amount of the loan requested in the application; and (5) Provide additional security if it is necessary to ensure financial feasibility as determined by the Administrator.

An eligible entity that provides telecommunications or broadband service to at least 20 percent of the households in the United States may not receive a total amount of loans or guarantees for a fiscal year in excess of 15 percent of the funds authorized and appropriated for that fiscal year.

Beneficiary Eligibility:

Residents and businesses of eligible rural areas.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Applicants must supply environmental impact information to determine whether an environmental assessment or an environmental impact statement will be required. Additional information regarding environmental requirements can be found in 7 C.F.R. Part 1794. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. New regulations will implement the changes authorized by the 2014 Farm Bill. For further information on submitting an application, please contact RUS and visit our website: <http://www.rd.usda.gov/programs-services/farm-bill-broadband-loans-loan-guarantees>

Award Procedure:

The RUS Administrator makes the final decision on approval of the loan or loan guarantee. There must be a finding that the project is economically feasible, capable of delivering service at the Broadband Lending Speed, and serves the purposes of the program. Borrowers and member of Congress are notified directly of the approval of a loan or loan guarantee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

> 180 Days. Normal processing time is four to five months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The loan application must demonstrate an equity position equal to at least 10 percent of the amount of the loan requested in the application. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Unless requested to be shorter by the applicant, broadband loans must be repaid with interest within a period that, rounded to the nearest whole year, is equal to the expected composite economic life of the assets to be financed, as determined by the Agency based upon acceptable depreciation rates. The borrower must begin construction within six months from the date the Agency notifies the applicant that loan funds are available. If the borrower fails to begin construction, the Agency may cancel the loan. The build-out must be complete within three years from the day the Agency notifies the applicant that loan funds are available. Build-out is considered complete when the network design has been fully implemented, the service operations and management systems infrastructure is operational, and the borrower is ready to support the activation and commissioning of individual customers to the new system. See the following for information on how assistance is awarded/released: New regulations will implement the changes authorized by the 2014 Farm Bill. See the following for information on how assistance is awarded/released: Once the loan is closed and funds are made available, the borrower submits advance request on an as needed and funds are advanced over a five year period.

Reports:

RUS borrowers must submit to the Rural Utilities Service quarterly operating reports showing financial condition and operating progress. No cash reports are required. Annual progress reports are required. The form that is used to request the advance of funds is also an expenditure reports. The form is called a Financial Requirement Statement and tracks individual construction projects. Performance monitoring is completed by the RUS National and Field offices.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Entities which do not meet the criteria for applicability in 2 CFR 200 will have an audit prepared in accordance with 7 CFR 1773, Policy on Audits of RUS Borrowers and Grantees.

Records:

No Data Available.

Account Identification:

12-1232-0-1-452.

Obligations:

(Direct Loans) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Four (4) Percent Loans. (Direct Loans) FY 16 \$19,439,000; FY 17 est \$27,000,000; and FY 18 est \$20,000,000 - Cost of Money Loans. (Guaranteed/Insured Loans) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Minimum and maximum amounts of the loans are determined at the time a Notice of Funding Availability is published in the Federal Register.

TAFS Codes:

12-1230; 12-4208; 12-4209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Two loans awarded for \$19,439,000. Fiscal Year 2017: \$27,000,000 available should be awarded in FY17 pending future approvals of loan applications. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

See the Final Rule published in the Federal Register on June 9, 2016. 81 FR 37121 (111)

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program, Rural Utilities Service, 1400 Independence Avenue, S.W., STOP 1590, Washington, District of Columbia 20250 Phone: (202) 720-9564.

Website Address:

<http://www.rd.usda.gov/programs-services/farm-bill-broadband-loans-loan-guarantees>

RELATED PROGRAMS:

10.851 Rural Telephone Loans and Loan Guarantees

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.890 RURAL DEVELOPMENT COOPERATIVE AGREEMENT PROGRAM

RCAP

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

7 USC 2204b(b)(4).

OBJECTIVES:

To improve the coordination and effectiveness of Federal programs, services, and actions affecting rural areas.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used to improve the coordination and effectiveness of Federal programs, services, and actions affecting rural areas. Examples of allowable activities are providing leadership and entrepreneurial training, providing economic planning and development services, and assisting with outreach of Rural Development programs. Ultimate beneficiaries must be in rural areas. All available funds are discretionary funds.

Applicant Eligibility:

Applicants are not eligible if they have been debarred or suspended or otherwise excluded from participation in Federal assistance programs under Executive Order 12549, Debarment and Suspension. Applicants are not eligible if they have an outstanding judgement obtained by the U.S. in a Federal Court (other than U.S. Tax Court), are delinquent on the payment of Federal income taxes, or are delinquent on a Federal debt. Any corporation that has been convicted of a felony criminal violation under any Federal law within the past 24 months or that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, is not eligible for funding.

Beneficiary Eligibility:

Ultimate beneficiaries must be located in rural areas.

Credentials/Documentation:

Applicants will be required to provide information regarding eligibility for assistance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit a proposed scope of work and budget.

Award Procedure:

Applications are evaluated for eligibility for the program. Eligible applications

are reviewed by the Agency and selected based on the reasonableness of the budget and on the priority of the proposed work.

Deadlines:

Oct 01, 2017 to Jul 29, 2018 Applications should be submitted no later than July 31 for consideration under the current years funding availability. Applications received after July 31 will be held for consideration during the next fiscal year.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applications are typically approved/disapproved within 60-90 days of receipt.

Appeals:

N/A.

Renewals:

N/A.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Availability of assistance varies each year. The Period of Performance is typically one year, although the Agency may fund projects for longer time periods at its discretion. See the following for information on how assistance is awarded/released: Funds are disbursed as often as monthly, as requested by the recipient using Form SF-270.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports must be submitted semi-annually. Expenditure reports must be submitted semi-annually using Form SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. No additional audit procedures are required for this program.

Records:

Recipients must comply with 2 CFR 200.333-.337.

Account Identification:

00-0000-0-0-000 - TBD.

Obligations:

(Project Grants) FY 16 \$2,498,776; FY 17 est \$785,981; and FY 18 Estimate Not Available(Exp: TBD) - 2018 budget not available.

Range and Average of Financial Assistance:

Average = \$130,000
Range = \$30,000 (minimum) to \$1,000,000 (maximum).

TAFS Codes:

00-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Rural Business-Cooperative Service 1400 Independence Avenue, SW
Mail Stop 3253, Room 4208-South
, Washington, District of Columbia 20250-3253 Email:
cprgrants@wdc.usda.gov Phone: 202-690-1374

Website Address:

<http://www.rd.usda.gov/programs-services/all-programs>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.902 SOIL AND WATER CONSERVATION

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Soil Conservation and Domestic Allotment Act
, Public Law 74-76, N/A Stat. N/A, 16 U.S.C 590 a-f.

OBJECTIVES:

Provide conservation technical assistance to private landowners, conservation districts, tribes, and other organizations through a national network of locally-respected, technically-skilled, professional conservationists and assist them in conserving, improving and sustaining our natural resources and environment.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Technical assistance to the general public in planning and applying natural resource conservation practices, systems, and treatment; and furnishing technical natural resource conservation information to State and local governments. Technical assistance to decision-makers on lands in agriculture, forestry and related uses for planning and applying natural resource conservation practices, systems, and treatment; and furnishing technical natural resource conservation information to State and local governments. 100%.

Applicant Eligibility:

The CTA Program is delivered to private individuals, groups of decision makers, tribes, units of governments, and non-governmental organizations in all 50 States, the District of Columbia, Puerto Rico, U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, the Federated States of Micronesia, the Republic of Palau, and the Marshall Islands.

Beneficiary Eligibility:

General public, State governments, and local governments.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact the local Natural Resources Conservation Service office in nearly all counties. Preapplication coordination of this program is not required.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Not applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

(Salaries) FY 16 \$737,984,000; FY 17 est \$844,178,000; and FY 18 est \$667,675,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

12-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Conquest of the Land," AIB99; "Soil Erosion by Water," AIB513; "Assistance Available from the Natural Resources Conservation Service," AIB345; "Controlling Erosion on Construction Sites," AIB347; "Community Improvement Through Resource Conservation and Development," PA1077; "Save Soil Systematically," PA1366. "List of Published Soil Surveys;" "Going Wild with Natural resource Conservation," PA1363; "Conversation Planning-You and Your Land," PA 1376, "Farming and Maintaining Terraces," LN570.

Regional or Local Office:

See Regional Agency Offices. State and local Natural Resources Conservation Service offices (see Appendix IV of the Catalog).

Headquarters Office:

Dan Lawson 1400 Independence Ave., SW, Washington, District of Columbia 20250 Email: Dan.Lawson@wdc.usda.gov Phone: (202) 720-5322

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/site/national/home/>

RELATED PROGRAMS:

10.054 Emergency Conservation Program; 10.500 Cooperative Extension Service; 10.903 Soil Survey; 10.904 Watershed Protection and Flood Prevention; 10.905 Plant Materials for Conservation; 10.907 Snow Survey and Water Supply Forecasting

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.903 SOIL SURVEY

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

The Soil Information Assistance for Community Planning and Resource Development Act of 1966, Public Law 89-560; Soil Conservation and Domestic Allotment Act, Public Law 74-46, 16 U.S.C 590.

OBJECTIVES:

To produce and maintain up to date soil survey information (maps, data sets, soil interpretations, and reports) of the United States for widespread use by interested agencies, organizations, and individuals and to assist in the use of this information.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Scientists and policy makers use soil survey information in studying global climate change and evaluating the sustainability and environmental impacts of land use and management practices. Soil Surveys provide input data that computer simulation models use to predict the dynamics of carbon, nutrients, and water in soils. Soil surveys are used by planners, engineers, zoning commissions, tax commissions, homeowners, farmers, ranchers, developers, landowners, and operators to evaluate soil suitability for home sites, subdivisions, commercial and industrial sites, farms, wildlife and recreational areas, prime agricultural land, hydric soils, highly erodible lands, highways, and airports and to select and implement appropriate use and management of those soils.

Applicant Eligibility:

All individuals and groups that have a need for soil survey information can access it on the Web Soil Survey at websoilsurvey.nrcs.usda.gov or by contacting the local Natural Resources Conservation Service Office.

Beneficiary Eligibility:

All individuals and groups that have a need for soil survey information are eligible to receive assistance.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may access the Web Soil Survey or request information from the local office of the Natural Resources Conservation Service, local Conservation Districts, Extension Service, the State Office of the Natural Resources Conservation Service, State Agricultural Experiment Station. Local units of government submit requests to State Conservationist, Natural Resources Conservation Service, see Appendix IV in the Catalog for addresses. Conservation District cooperators submit requests to their Conservation District board of supervisors.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Technical Assistance.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Not Applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

(Salaries) FY 16 \$79,250,000; FY 17 est \$83,612,000; and FY 18 est \$79,696,000

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

12-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"List of Published Soil Surveys;" "From the Surface Down: An Introduction to Soil Surveys for Agronomic Use;" "Urban Soil Primer;" "Soil Surveys Can Help You," PA-1054. These publications are available from the Office of Public Affairs, USDA-NRCS, 1400 Independence Avenue, SW, Washington, DC 20250, at no charge.

Regional or Local Office:

See Regional Agency Offices. State and local offices of the Natural Resources Conservation Service; see Appendix IV of the Catalog for addresses.

Headquarters Office:

Pamela Thomas 1400 Independence Ave., SW, Washington, District of Columbia 20250 Email: pam.thomas@wdc.usda.gov Phone: (202) 205-4211 Fax: (202) 720-5493

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/site/national/home/>

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.904 WATERSHED PROTECTION AND FLOOD PREVENTION

(Watershed Program; Public Law 566 Operations Phase)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Watershed Protection and Flood Prevention Act, Public Law 83-566, 68 Stat. 666; as amended; Public Law 84-1018, 70 Stat. 1088; Public Law 85-865, 72 Stat. 1605; Public Law 86-468, 74 Stat. 131, 132; Public Law 86-545, 74 Stat. 254; Public Law 87-703, 76 Stat. 608; Public Law 90-361, 82 Stat. 250; American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To provide technical and financial assistance in carrying out works of

improvement to protect, develop, and utilize the land and water resources in watersheds.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Technical assistance is provided in planning, designing, and installing watershed works of improvement. Financial assistance is provided for sharing costs of implementing measures for watershed protection, flood prevention, agricultural water management, sedimentation control, public water based fish, wildlife, recreation; municipal and industrial water supply. Authorized watershed area must not exceed 250,000 acres. Capacity of a single structure is limited to 25,000 acre-feet of total capacity and 12,500 acre-feet of floodwater detention. 100%.

Applicant Eligibility:

Any State agency, county or groups of counties, municipality, town or township, soil and water conservation district, flood prevention or flood control district, Indian tribe or tribal organization, or any other nonprofit agency with authority under State law to levy taxes, condemnation authority, and to carry out maintenance, and operate watershed works of improvement may apply for assistance. This program is available in Puerto Rico, the Virgin Islands, Guam, American Samoa, the Mariana Islands and the Trust Territories of the Pacific Islands.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Applications must designate the proposed project area, be properly signed and attested to by all applicants, and set forth the need for the proposed project. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application forms and information are available in all NRCS offices and from designated State agencies.

Award Procedure:

Details of the procedure are available from the State and field offices of the Natural Resources Conservation Service.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Receipt of the application is acknowledged as soon as it is determined to be valid.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Technical and financial assistance under which program funds provide certain prescribed services and costs and a percentage of other costs on the basis of a contract vary according to purpose of the works of improvement. For example, for construction costs under the Act, program funds provide 100 percent for flood prevention; up to 50 percent of agricultural water management, public recreation and fish and wildlife purposes; and none of the

costs for certain other nonagricultural water management purposes. All of the applicants' installation costs are eligible for program loans. Reimbursable advances are available for preservation of sites and future municipal water supply. Sponsors are required to obtain all land and water rights and permits prior to installation of project measures, and for operation and maintenance. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Continues until all works of improvement are installed or their installation is terminated by mutual agreement. Method of awarding/releasing assistance: lump sum.

Reports:

Annual operation and maintenance inspection and report are conducted by sponsors of project. Cash reports are not applicable. Annual operation and maintenance inspection and report. Expenditure reports are not applicable. Annual operation and maintenance inspection and report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records of operation and maintenance activities are maintained for the life of the project.

Account Identification:

12-1000-0-1-302; 12-1072-0-1-301.

Obligations:

(Salaries) FY 16 \$10,606,000; FY 17 est \$969,000; and FY 18 est \$0. (Project Grants (Cooperative Agreements)) FY 16 \$24,000; FY 17 est \$221,000; and FY 18 est \$0

Range and Average of Financial Assistance:

(per State) \$0 to \$2,164,000; \$650,000.

TAFS Codes:

12-1000; 12-1073; 12-1072.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"What is a Watershed," PA-420; "Flood Plain Management - Why We Need It," PA-1276; "Multiple-Purpose Watershed Projects," PA-575; and "Small Watershed Projects," PA-1354.

Regional or Local Office:

See Regional Agency Offices. For list of NRCS State offices with telephone numbers and addresses, see Appendix IV of the Catalog.

Headquarters Office:

Kevin Farmer Department of Agriculture, Natural Resources Conservation Service
1400 Independence Ave., SW
, Washington, District of Columbia 20113 Email: Kevin.Farmer@wdc.usda.gov
Phone: 202-720-3413

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/site/national/home/>

RELATED PROGRAMS:

10.664 Cooperative Forestry Assistance; 10.902 Soil and Water Conservation; 10.903 Soil Survey; 10.905 Plant Materials for Conservation; 10.907 Snow Survey and Water Supply Forecasting; 12.104 Flood Plain Management Services

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) The watershed must meet the requirements of the law; (2) an approved

watershed plan has been prepared; (3) the local sponsors should indicate willingness to carry out a watershed project including acquiring land rights, permits, local cost-share, authority to levy taxes, condemnation authority, and ability for operating and maintaining works for life of project; (4) the project has environmental, economic and social benefits that exceed the costs for a favorable benefit-cost ratio; and (5) no critical environmental issues exist.

10.905 PLANT MATERIALS FOR CONSERVATION

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Soil Conservation and Domestic Allotment Act, Public Law 74-46, 16 U.S.C 590a-f.

OBJECTIVES:

To assemble, evaluate, and promote the use of new and improved plant materials for soil, water, and related resource conservation and environmental improvement programs. To develop technology for land management and restoration with plant materials. To transfer technology on plant materials.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Plant materials are used in all phases of the soil and water conservation program. This program emphasizes field testing to determine the plant's value and restoration techniques. Participation in the program is limited to conservation cooperators in conjunction with soil conservation districts, State Agricultural Experiment Stations, State Crop Improvement Associations and other Federal and State agencies. The program also provides commercial seed growers with breeder and foundation quality seed or propagules through state Crop Improvement Associations or Foundations Seed Services. Other plants or seed are not provided to the general public. Large-scale production is conducted by cooperating commercial producers. Free plants, seed and/or grants are not available to nurseries or the public. 100% discretionary funding.

Applicant Eligibility:

Cooperating State and Federal agencies and cooperators of conservation districts where structured evaluations are conducted and commercial seed growers and nurserymen interested in the commercial production of selected plant materials. Applicants are also eligible in Puerto Rico and the Virgin Islands. The general public is not eligible to participate in this program.

Beneficiary Eligibility:

Cooperating State and Federal agencies and cooperators of conservation districts and commercial seed growers and nurserymen interested in the production of selected plant materials. Applicants are also eligible in Puerto Rico and the Virgin Islands.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact the local Natural Resources Conservation Service office.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Not applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

(Salaries) FY 16 \$9,075,000; FY 17 est \$12,340,000; and FY 18 est \$9,364,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

12-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"National Plant Materials Manual" provides overall guidance on the operation, policy and procedures of the Plant Materials Program. Over 2,500 technical and informational documents on a wide variety of plant related topics may be found on the Programs Web site at: <http://plant-materials.nrcs.usda.gov>.

Regional or Local Office:

See Regional Agency Offices. NRCS State offices and field offices. See Appendix IV.

Headquarters Office:

John Englert, Ecological Sciences Division, Natural Resources Conservation Service, Department of Agriculture, 1400 Independence Ave., SW P.O. Box 2890, Washington, District of Columbia 20013 Email: John.Englert@wdc.usda.gov Phone: (202) 720-0536.

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/site/national/home/>

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.907 SNOW SURVEY AND WATER SUPPLY FORECASTING

(Snow Surveys)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Soil Conservation and Domestic Allotment Act , Public Law 74-76, 16 U.S.C

590 a-f.

OBJECTIVES:

To provide reliable, accurate and timely forecasts of surface water supply to water managers in the water users and water managers in the west. To efficiently obtain, manage, and disseminate high quality data and information on snow, water, climate, and hydrologic conditions. To provide climate data to support NRCS conservation planning tools.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

The information is used by the owners and operators of the 30,000,000 irrigated acres in the mountain States, and the far West; as well as help farm operators, rural communities, and municipalities which use the water supply forecasts to manage water resources. The data are also used in administering international and interstate river flow distribution contracts and agreements, and in the regulation of hundreds of small and large reservoirs for irrigation, flood control, power generation, recreation, industry, and municipal supplies. The data also provide soil moisture/temperature and climate data which supports drought assessments, flood assessment, fire hazard assessment, wildlife management and climate change research. Cooperators include other Federal agencies, State agencies, municipalities, irrigation districts, water associations and private utilities, and water management officials of Canada and Mexico. 100% discretionary funding.

Applicant Eligibility:

General public, including those located in the U.S. Territories.

Beneficiary Eligibility:

General public, including those located in the U.S. Territories.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Individuals can make requests for reports from NRCS Field and State offices that issued them, and from the NRCS National Water and Climate Center at Portland, OR.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Not applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

(Salaries) FY 16 \$9,510,000; FY 17 est \$10,430,000; and FY 18 est \$9,265,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

12-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Snow Surveys, AIB 536.

Regional or Local Office:

See Regional Agency Offices. State and local offices of the Natural Resources Conservation Service in Alaska, Arizona, California, Colorado, Idaho, Montana, Nevada, New Mexico, Oregon, Utah, Washington, Wyoming, and the National Water & Climate Center, Portland, OR. See Appendix IV of the Catalog for addresses. California's Department of Water Resources, which coordinates and issues snow surveys for that State, and the British Columbia Department of Lands, Forests and Water Resources, supply data for the monthly west-wide summaries.

Headquarters Office:

Mike Strobel Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, District of Columbia 20013 Email: michael.strobel@por.usda.gov Phone: 503-414-3055

Website Address:

<http://www.nrcs.usda.gov/>

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.912 ENVIRONMENTAL QUALITY INCENTIVES PROGRAM (EQIP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

This program is authorized by Chapter 4 of Subtitle D of Title XII of the Food Security Act of 1985 (16 USC 3839aa--3839aa-8), effective February 7, 2014., Title XII, Part Subtitle D, Public Law 99- 198, 16 U.S.C 3830.

OBJECTIVES:

Promotes agricultural production, forest management, and environmental quality as compatible national goals and optimizes environmental benefits on eligible land with farmers, ranchers, and non-industrial private forest land owners. It is a voluntary program using partnerships with local, State, Tribal and Federal agencies, producer associations, and nonprofit organizations to provide flexible technical and financial assistance to install and maintain conservation practices include those related to organic production, that sustain food and fiber production. The purpose of this program is achieved through the implementation of structural, vegetative, and land management practices on eligible land. This program is funded through the Commodity Credit

Corporation (CCC). NRCS provides overall program administration and management.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Technical assistance is provided for conservation planning, design and implementation of conservation practices for eligible participants. Financial assistance is provided for implementation of structural, and land management practices. Payments may be made to implement one or more eligible structural, vegetative, and land management conservation practices. Sixty percent of the funding available for assistance shall be targeted at practices relating to livestock production.

Applicant Eligibility:

Agricultural producers who face serious threats to soil, water, and related natural resources, or who need assistance with complying with Federal and State environment laws. A participant may be an owner, landlord, operator, or tenant of eligible agricultural lands or non-industrial forestlands. Limited resource producers, small-scale producers, social disadvantaged individuals, federally recognized Indian tribal governments, Alaska natives, and Pacific Islanders are encouraged to apply.

Beneficiary Eligibility:

To be eligible the agricultural producers must be in compliance with highly erodible land and wetland conservation provisions and in compliance with the Adjusted Gross Income (AGI) payment limitations.

Credentials/Documentation:

Evidence that applicant has control over the land to be entered into a contract and submits an acceptable conservation plan for the farm or ranch unit of concern that incorporates needed natural resource conservation practices and provides either a social security number or individual tax identification number of all proposed beneficiaries. Applicants claiming either limited resource producer or beginning farmer classification may be asked to provide documents to justify their claim. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Program participation is voluntary. The applicant applies at the local USDA Service Center on Form NRCS-CPA-1200. Applications may be filed at any time during the year. The participant develops an EQIP plan of operations that identifies what conservation practices they are proposing to implement. Technical assistance and conservation practice payments may be provided to apply needed conservation practices and land use adjustments within a time schedule specified by the conservation EQIP plan of operations. A contract with a participant may apply one or more land management practices or one or more structural practices.

Award Procedure:

NRCS will give special consideration to applicants that address priority natural resource concerns designated. Applications will be periodically ranked and selected for funding based on locally developed ranking process.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 10 to 60 days.

Appeals:

A participant may appeal any adverse determination.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 75%. EQIP payment rates may be up to 75 percent of the estimated incurred costs and up to 100 percent of income foregone related to certain conservation practices. Historically underserved producers, including socially disadvantaged, limited resource, or beginning farmers and ranchers, and tribal members, may be eligible for payment rates up to 90 percent for estimated incurred costs. Payment rates and estimated incurred costs are documented in agency developed and approved payment schedules.

This program has MOE requirements, see funding agency for further details. None.

Length and Time Phasing of Assistance:

A 2014 Farm Bill EQIP contracts expire after the last planned practice is implemented but cannot be longer than 10 years. Obligations for assistance are tied to the schedule for applying conservation practices included in the EQIP plan of operations conservation plan used as the basis for the contract. Payments are made when the participant and NRCS certify that conservation practice is completed in accordance with NRCS standards and specifications. Technical assistance may be provided by a certified Technical Service Provider (TSP). The participant may not engage a TSP prior to contract approval. This assistance will be reimbursed based upon not-to-exceed rates. These payments will be made after the services of the certified TSP have been provided in accordance with NRCS standards and specifications. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Natural Resources Conservation Service makes periodic random reviews of the operation and maintenance of the contract items during the life span of the conservation practice.

Records:

Maintained in local NRCS office and Federal record centers for specified number of years.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 16 \$358,186,000; FY 17 est \$503,521,000; and FY 18 est \$482,443,000. (Direct Payments for Specified Use) FY 16 \$1,083,250,000; FY 17 est \$1,334,146,000; and FY 18 est \$1,130,524,000

Range and Average of Financial Assistance:

Total EQIP conservation payments are limited to \$450,000 in financial assistance per person or legal entity for contracts entered into between fiscal years 2014 through 2018, regardless of the number of contracts. Average contract payments are estimated to be \$23,000 .

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1466. Program is announced through news media and in announcements to agricultural producers, farm and ranch owners and operators in the county. Program manuals, handbooks, and leaflets issued by NRCS.

Regional or Local Office:

See Regional Agency Offices. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. For a list of NRCS State offices with telephone numbers and addresses, see appropriate Appendix IV of the Catalog. Information is available on the internet at

<http://www.nrcs.usda.gov/wps/portal/nrcs/main/national/programs/financial/eqip/>.

Headquarters Office:

Jeff White Financial Assistance Programs Division, Natural Resources Conservation Service, Department of Agriculture, PO Box 2890, Washington, District of Columbia 20113 Email: Jeff.White@wdc.usda.gov Phone: 202-690-2621

Website Address:

<http://www.nrcs.usda.gov/>

RELATED PROGRAMS:

10.054 Emergency Conservation Program; 10.069 Conservation Reserve Program; 10.072 Wetlands Reserve Program; 10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be periodically ranked and selected for funding based on: the environmental benefits per dollar expended; the cost-effectiveness of the conservation practices; the environmental benefits derived; extent to which the contract will assist the applicant in complying with Federal, State, tribal or local environmental laws; whether the land is located in a priority area and the extent the contract will assist the priority area goals and objectives.

10.913 FARM AND RANCH LANDS PROTECTION PROGRAM FRPP

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

, Title XII, Part Subtitle D, Public Law 99-198, 16 U.S.C 3830.

OBJECTIVES:

To provide funding to eligible entities to purchase conservation easements to limit conversion to non-agricultural uses of farm and ranch lands that contain prime, unique, or important soils; that contain historical and archaeological resources; or that support the policy of a state or local farmland protection program.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds are provided to purchase conservation easements in partnership with States, Tribes, or local government entities, or eligible nongovernmental organizations (eligible entities). The Federal share for any easement acquisition is limited to a maximum of 50 percent of the appraised fair market value of the conservation easement. A conservation plan is required for highly erodible lands enrolled under an FRPP easement. Conservation easements are perpetual easements. A right of enforcement is incorporated in the conservation easement deed for the protection of the Federal investment. A failure of title would require the eligible entity to reimburse the United States for the Federal share of the easement value.

Applicant Eligibility:

An eligible entity was any local or State agency, county or groups of counties, municipality, town or township, soil and water conservation district, or Indian tribe or tribal organization, that has a farmland protection program that purchases conservation easements for the purpose of protecting agricultural use and related conservation values by limiting conversion to non- agricultural uses of land, and that has pending offers. This program was available in all 50 States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Mariana Islands, and the Trust Territories of the Pacific Islands. The program was repealed by the Agricultural Act of 2014 effective February 7, 2014. No new enrollments are authorized after that date.

Beneficiary Eligibility:

Landowners must be in compliance with the Wetland Compliance (WC) and Highly Erodible Land (HEL) provisions of the Farm Bill and met the Adjusted Gross Income (AGI) limitations in the Farm Bill.

Credentials/Documentation:

Entities must prove their eligibility by providing documents indicating their commitment to long-term conservation of agricultural lands through legal devices, such as right-to-farm laws, agricultural districts, zoning, or land use planning; uses of voluntary approaches to protect farmland from conversion to non-agricultural uses; and their capability to acquire, manage, and enforce conservation easements. Programs must have a systematic plan for acquiring conservation easements, have a proven commitment to agricultural land protection, and sufficient funds and staff to monitor and enforce conservation easements. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Entities must submit an application form and supporting data for each parcel to the appropriate State Office of the Natural Resources Conservation Service (NRCS). Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Award Procedure:

NRCS State Offices will rank parcels individually and award funding to the highest-ranked parcels. NRCS State Offices will obligate funds to the eligible entities associated with the highest-ranked parcels.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25%. Each eligible entity is required to contribute cash towards the conservation easement acquisition. The eligible entity must contribute a minimum of 25 percent of the purchase price of the easement (appraised fair market value minus the landowner donation). Each eligible entity is required to fund its own administrative costs in acquiring easements, such as appraisals, surveys, title searches, and costs incurred in managing and enforcing the easements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Easements must be closed within 18 months of the end of the fiscal year in which the cooperative agreement is signed. Federal funds must be disbursed within 2 to 5 years after signing the cooperative agreement. Technical assistance to maintain the conservation plan, however, is provided by NRCS through the life of the easement. Method of awarding/releasing assistance: lump sum.

Reports:

Annual monitoring reports on the status of each easements acquired will be prepared by the eligible entity and submitted to the NRCS State Office. Fund disbursement reports are prepared by NRCS. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

Records of easements acquired will be maintained for the life of the easements by the cooperating entity and NRCS.

Account Identification:

12-1004-0-1-302; 12-4336-0-1-302; 12-1804-0-1-302.

Obligations:

(Salaries) FY 16 \$2,057,000; FY 17 est \$42,804,000; and FY 18 est \$0. (Direct Payments for Specified Use) FY 16 \$770,000; FY 17 est \$16,418,000; and FY 18 est \$96,000,000

Range and Average of Financial Assistance:

\$2,700 to \$1,000,000 per landowner. Average: \$97,000.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Repealed by Section 2704 of the Agricultural Act of 2014 and FRPP functions consolidated into the Agricultural Conservation Easement Program (ACEP), authorized by Section 2301 of the Agricultural Act of 2014.

Regional or Local Office:

See Regional Agency Offices. For a list of NRCS State Offices with telephone numbers and addresses, see Appendix IV of the Catalog.

Headquarters Office:

John Rissler 1400 Independence Ave, SW, Washington, District of Columbia 20250 Email: John.Rissler@wdc.usda.gov Phone: 202-720-3524

Website Address:

<http://www.nrcs.usda.gov/>

RELATED PROGRAMS:

10.069 Conservation Reserve Program; 10.072 Wetlands Reserve Program; 10.920 Grassland Reserve Program; 10.922 Healthy Forests Reserve Program (HFRP); 10.931 Agricultural Conservation Easement Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used for selecting proposals are: the percent of prime, unique, and important farmland in the parcel to be protected; percent of cropland, pastureland, grassland, and rangeland in the parcel to be protected; ratio of the total acres of land in the parcel to be protected to average farm size in the county according to the most recent USDA Census of Agriculture; decrease in the percentage of acreage of farm and ranch land in the county in which the parcel is located between the last two USDA Censuses of Agriculture; percent population growth in the county as documented by the United States Census; population density (population per square mile) as documented by the most recent United States Census; proximity of the parcel to other protected land, such as military installations land owned in fee title by the United States or a State or local government, or by an entity whose purpose is to protect agricultural use and related conservation values, or land that is already subject to an easement or deed restriction that limits the conversion of the land to nonagricultural use; and the proximity of the parcel to other agricultural operations and infrastructure.

10.914 WILDLIFE HABITAT INCENTIVE PROGRAM

(WHIP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date., Title XII, Part

subtitle D, Section 1244h, Public Law 99-198, 16 U.S.C 3831.

OBJECTIVES:

To help participants protect, restore, develop or enhance habitat for upland wildlife, wetland wildlife, threatened and endangered species, fisheries, and other types of wildlife.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Technical assistance is provided to develop a WHIP Plan of Operation (WPO) for eligible participants. Cost-share payments may be made to implement fish and wildlife habitat conservation practices. Up to seventy-five percent cost-share is available from NRCS to reimburse participants for installing conservation practices beneficial to wildlife.

Applicant Eligibility:

Applicant must meet Highly Erodible Land and Wetland Conservation (HEL/WC) requirements, Adjusted Gross Income (AGI) requirements, verification that applicant will be in control of land for the duration of a contract.

Beneficiary Eligibility:

A participant may be an owner, landlord, operator, or tenant of eligible lands. Limited resource producers, small-scale producers, producers of minority groups, Federally Recognized Indian Tribal Governments, Alaska natives, and Pacific Islanders are encouraged to apply.

Credentials/Documentation:

Evidence that applicant has control over land to be entered into contract and submits an acceptable Wildlife Habitat Development Plan for the land unit of concern that incorporates needed wildlife habitat conservation practices. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Award Procedure:

NRCS may designate a wildlife habitat type or a region of special wildlife habitat concern as a priority. The priorities are designated by the State Conservationist based on recommendations of local working groups which are convened by the local conservation district with recommendations from the State Technical Committee. NRCS will give special consideration to applicants who have WPOs that address wildlife habitat concerns consistent with National and state priorities. Applications will be ranked and selected for funding.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

A participant may appeal any adverse determination to NRCS.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. Installation of cost-share practices to develop wildlife habitat can be funded by cost-sharing up to 75 percent of the total cost of establishing the conservation practice by NRCS. Program participants or nonfederal partners provide the remaining 25 percent. Other conservation practices may be required to be installed without program

payments.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The WHIP cost-share agreement period is a minimum of 1 to 10 years. Obligations for assistance are tied to the schedule for applying wildlife habitat conservation practices included in the WPO used as the basis for cost-share agreement. Payments are made when the participant and NRCS certify that a conservation practice is completed in accordance with the cost-share agreement. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Records:

Maintained in the NRCS state office and Federal records centers for specified number of years.

Account Identification:

12-1004-0-1-302; 12-3322-0-1-302.

Obligations:

(Salaries) FY 16 \$8,401,000; FY 17 est \$12,064,000; and FY 18 est \$0. (Direct Payments for Specified Use) FY 16 \$543,000; FY 17 est \$786,000; and FY 18 est \$8,000,000

Range and Average of Financial Assistance:

Average contract payments are estimated to be \$4,500.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 636. Program is announced through news media and in announcements to agricultural producers, farm and ranch owners and operators in the county. Program manuals, handbooks, and leaflets issued by NRCS and FSA.

Regional or Local Office:

See Regional Agency Offices. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. NRCS, FSA, and many local conservation districts are located in USDA service centers. For a list of NRCS State Office telephone numbers and addresses, see Appendix IV of the Catalog or visit the NRCS National Website at <http://offices.sc.egov.usda.gov/locator/app>.

Headquarters Office:

Jeffery White Department of Agriculture, Natural Resources Conservation Service, 1400 Independence Ave, SW; Room 5237-S; Washington, District of Columbia 20250 Email: Jeffery.White@wdc.usda.gov Phone: (202) 720-1844

Website Address:

<http://www.nrcs.usda.gov/>

RELATED PROGRAMS:

10.054 Emergency Conservation Program; 10.069 Conservation Reserve Program; 10.072 Wetlands Reserve Program; 10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention; 10.912 Environmental Quality Incentives Program; 10.921 Conservation Security Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be ranked and selected for funding based on: The wildlife habitat benefits derived and the extent the cost-share agreement will assist in

achieving the wildlife habitat priority goals and objectives in the area the land unit is located.

10.916 WATERSHED REHABILITATION PROGRAM

(Watershed Rehabilitation; PL-566 Watershed Program)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Watershed Protection and Flood Prevention Act , Public Law 83-566, 68 Stat. 666, 16 U.S.C 1012.

OBJECTIVES:

To provide technical and financial assistance to rehabilitate dams originally constructed with assistance of USDA Watershed Programs. Rehabilitation must extend the life of the dam and meet applicable safety and performance standards. Priority is given to dams that maximize risk reduction and that could result in loss of life if the dam should fail.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Technical assistance is provided for planning, designing, and work necessary to extend service life of dam. Financial assistance for implementing the works of improvement is provided by cost-sharing. Watershed rehabilitation projects may include reconstruction or decommissioning of the dam and relocation or flood proofing of downstream property and infrastructure. discretionary and mandatory funds.

Applicant Eligibility:

Sponsoring local organizations for existing watershed projects that include dams that were originally constructed with assistance from one of the following water resource programs: Public Law 78-534, Section 13 of the Flood Control Act of 1944; Public Law 156-67, the pilot watershed program authorized under the heading Flood Prevention of the Department of Agriculture Appropriation Act of 1954; Public Law 83-566, the Watershed Protection and Flood Prevention Act of 1954; and Subtitle H of Title XV of the Agriculture and Flood Act of 1981, commonly known as the Resource Conservation and Development Program.

Beneficiary Eligibility:

Any State agency, county or groups of counties, municipality, town or township, soil and water conservation district, flood prevention or flood control district, Indian tribe or tribal organization, or any other nonprofit agency with authority under State law to carry out, maintain, and operate watershed works of improvement may become a sponsoring local organization for a watershed rehabilitation project.

Credentials/Documentation:

Applications must designate the proposed dam to be rehabilitated, be properly signed and attested to by all sponsoring local organizations of the existing watershed, and set forth the need for the proposed project. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An applicant should consult the office or official designated as the Single Point of Contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Award Procedure:

Applications are evaluated by analyzing the condition of the dam and

consequences of failure of the dam. The State Dam Safety Agency is also consulted for input. Details of the procedure are available from the State Offices of the Natural Resources Conservation Service.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Receipt of the application is acknowledged as soon as it is determined to be valid.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Federal funds may be available for 65 percent of the total rehabilitation project cost, but shall not exceed 100 percent of the actual construction cost incurred. The total rehabilitation project cost includes land acquisition, easements, construction, and non-federal technical assistance and project administration. The cost of technical assistance provided by the Natural Resources Conservation Service is not considered as part of the total rehabilitation project cost. The sponsoring local organizations shall be responsible for the cost of all water, mineral, and other resource rights, and cost of permits which are not part of the total rehabilitation project cost. Costs associated with adding new purposes to the watershed rehabilitation project may be cost-shared according to provisions of the Watershed Protection and Flood Prevention Act.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Continues until all works of improvement are installed or their installation is terminated by mutual agreement. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Annual operation and maintenance inspection and report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. .

Records:

Records of operation and maintenance activities are maintained for the life of the project.

Account Identification:

12-1142-0-1-301; 12-1002-0-1-301.

Obligations:

(Salaries) FY 16 \$1,996,000; FY 17 est \$152,000; and FY 18 est \$0. (Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$263,000. (Cooperative Agreements (Discretionary Grants)) FY 16 \$14,909,000; FY 17 est \$14,603,000; and FY 18 est \$0. (Direct Payments for Specified Use) FY 16 \$467,000; FY 17 est \$0; and FY 18 est \$8,201,000

Range and Average of Financial Assistance:

\$0 to \$6,451,000; \$770,000 average per state.

TAFS Codes:

12-1002; 12-1142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Policy for implementation of this program is contained in the National

Watershed Program Manual and NRCS General Manual. Program fact sheets, case studies, and guidelines are available from NRCS State Offices and can also be accessed through the NRCS website (www.nrcs.usda.gov).

Regional or Local Office:

See Regional Agency Offices. For list of NRCS State offices with telephone numbers and addresses, see Appendix IV of the Catalog.

Headquarters Office:

Kevin Farmer Department of Agriculture, Natural Resources Conservation Service
14th and Independence Ave., SW.
Room 6015-S
P.O. Box 2890, Washington, District of Columbia 20013 Email:
Kevin.Farmer@wdc.usda.gov Phone: (202)720-3414

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/main/national/programs/landscape/wr/>

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention; 12.104 Flood Plain Management Services

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) The existing watershed project must meet the requirements of the law; (2) operation and maintenance of the existing dam must be current; (3) the local sponsors must agree to carry out the watershed project including acquiring land rights, permits, local cost-share, authority to levy taxes, condemnation authority, and ability for operating and maintaining the rehabilitated dam for the life of the project; (4) the project has environmental, economic and social benefits that exceed the costs for a favorable benefit-cost ratio.

10.917 AGRICULTURAL MANAGEMENT ASSISTANCE

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Agricultural Risk Protection Act of 2000, Title I, Part Subtitle C, Section 524b, Public Law 106-224, 114 Stat. 387, 7 U.S.C 1524.

OBJECTIVES:

To provide financial assistance to producers on private lands through contracts to construct or improve water management structures or irrigation structures; plant trees for windbreaks or to improve water quality; and mitigate financial risk through production or marketing diversification or resource conservation practices, including soil erosion control, integrated pest management, or transition to organic farming.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Eligible producers in approved states may receive financial assistance to construct or improve water management structures or irrigation structures; plant trees for windbreaks or to improve water quality; and mitigate financial risk through production or marketing diversification or resource conservation practices, including soil erosion control, integrated pest management, or transition to organic farming.

Applicant Eligibility:

The land must be in one of the 16 following eligible states: Connecticut, Delaware, Hawaii, Maine, Maryland, Massachusetts, Nevada, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Utah, Vermont, West Virginia, and Wyoming.

Beneficiary Eligibility:

Applicants must have control of the land for the length of the contract which may not be more than 10 years.

Credentials/Documentation:

Evidence that the applicant has control over the land for the life of the proposed

contract. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Potential applicants must submit documents to the appropriate local NRCS or Conservation District office. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application forms are available in USDA Service Centers and conservation district offices as well as in an automated format on the Internet on the USDA NRCS homepage.

Award Procedure:

Participants must sign a contract which may not be more than 10 years in length based on the conservation plan to receive financial assistance. Financial assistance payments are made directly to the applicant upon satisfactory completion on identifiable units of work. Approval of contracts are made by an authorized representative of the Commodity Credit Corporation (CCC).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Variable, no specified time. Approval will depend on volume of applications received and available funds.

Appeals:

Any participant shall have the right to appeal final adverse or potentially adverse decisions made by NRCS.

Renewals:

Contracts that are scheduled for less than 10 years may be extended up to the ten-year contract limit, in order for the participant to complete the practices scheduled in the contract. However, the participant must apply all practices within nine years of signing the contract.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. AMA participants are eligible for financial assistance payments of up to 75 percent of the estimated incurred cost. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Long term contracts may not be more than 10 years in length. Financial assistance is paid when the practice is performed. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Program activities are subject to audit by the Office of Inspector General, USDA.

Records:

Maintained in local NRCS office and Federal record centers for specified number of years.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 16 \$842,000; FY 17 est \$936,000; and FY 18 est \$0. (Direct Payments for Specified Use) FY 16 \$3,662,000; FY 17 est \$3,719,000; and FY 18 est \$0

Range and Average of Financial Assistance:

The total financial assistance payments paid per participant must not exceed \$50,000 for any fiscal year.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, Part 1465 regulations in the Federal Register, Volume 73, No. 225, page 70245, November 20, 2008; USDA/NRCS Internet homepage under Programs.

Regional or Local Office:

See Regional Agency Offices. For a list of NRCS State Offices with telephone numbers and addresses, see Appendix IV of the Catalog.

Headquarters Office:

Jeffrey White Natural Resources Conservation Service
1400 Independence Ave. SW; Room 5237-S, Washington, District of Columbia 20250 Email: Jeffrey.White@wdc.usda.gov Phone: (202-720-1844

Website Address:

<http://www.nrcs.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A State developed ranking process and ranking criteria will be used. The ranking criteria will emphasize: the construction or improvement of water management structures or irrigation structures; planting of trees for windbreaks or to improve water quality; and mitigating financial risk through production or marketing diversification or resource conservation practices, including soil erosion control, integrated pest management, or transition to organic farming.

10.920 GRASSLAND RESERVE PROGRAM

(GRP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985 This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.
, Public Law 199-198.

OBJECTIVES:

To assist landowners and operators in restoring and protecting eligible grazing lands, including rangeland, pastureland and certain other lands through rental contracts and easements.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

GRP easements and rental contracts are emphasize support for working grazing lands. Easements and rental contracts (1) permit grazing on the land in a manner that is consistent with maintaining the viability of the native and natural grass, shrub, forest, and wildlife species adapted to the locality; (2) permit haying, mowing, or harvesting for seed production, except during the nesting and brood-rearing seasons for birds in the area that are in significant decline; (3) allow for fire rehabilitation and construction of firebreaks, fences, watering facilities and practices that protect and restore the grasslands functions and values; and (4) prohibit the production of row crops, fruit trees, vineyards, or any other agricultural commodities. Both easements and rental contracts require that the land is managed to maintain the viability of the plant community as described in the conservation or grazing management plan.

Applicant Eligibility:

GRP is available on privately owned lands. Eligible land includes grassland, land that contains forbs, or shrubs, including rangeland and pasture land; or land that is located in an area that has historically been dominated by grassland, forbs, and shrubs; and has potential to provide habitat for animal or plant populations of significant ecological value. Incidental lands, in conjunction with eligible land, may also be considered for enrollment to allow for the

efficient administration of an easement or rental contract.

Beneficiary Eligibility:

Only landowners may submit applications for easements; landowners and others who have general control of the acreage may submit applications for rental contracts. Easements may also be acquired by eligible entities based on a 50 percent cost-share with the Federal government. Eligible entities are defined as units of State, local or Tribal government or nongovernmental organizations that have a charter describing a commitment to conserving ranchland, agricultural land, or grassland for grazing and conservation purposes. All participants are subject to the Adjusted Gross Income Provision set forth in 7 CFR Part 1400.

Credentials/Documentation:

Eligible entities must demonstrate relevant experience and resources to administer a GRP easement. Its charter or mission describes its long term commitment to conserving ranchland, agricultural land, or grassland for grazing and conservation purposes. NRCS evaluates an entities' capacity to acquire, manage and enforce easements; its staffing and the ability of an entity to provide matching funds before entering into a cooperative agreement. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

USDA established criteria to evaluate and rank applications for easement and rental contract enrollment. Ranking criteria emphasized support for high quality grasslands and shrublands; grazing operations; plant and animal biodiversity; and grasslands under threat of conversion to cropping or development. Individual project selection was made by State level USDA officials. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Award Procedure:

NRCS State Offices ranked the individual parcels in the applications based on ranking criteria established by the State Technical Committee. The ranking factors were developed based on national and State priorities.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Within a Federal fiscal year (October 1 September 30) based on funding availability.

Appeals:

Applicants or participants may appeal decisions regarding this program in accordance with 7 CFR Part 614 and Parts 11 and 780.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50%. For GRP acquisitions made through a Cooperative Agreement, each eligible entity is required to provide, in cash, at least 50 percent of the purchase price of the conservation easement. Purchase price is defined as the appraised fair market value minus any landowner donation. The landowner donation is not a cash donation, but is merely the reduction in value the landowner will accept as payment for the sale of the conservation easement. Each eligible entity is required to fund its own administrative costs in acquiring easements, such as surveys, appraisals, deed preparation, title searches, and costs incurred in restoring, managing and enforcing the easements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Easement duration is either perpetual, or the maximum duration permitted by

State law. Financial assistance for easement acquisition is provided at closing. For rental contracts, payments are made annually on the agreement anniversary date of USDA approval. For both easements and rental contracts, if restoration activities are required, financial assistance for such activities is provided when required practices are established. Method of awarding/releasing assistance: lump sum.

Reports:

Annual reports on the status of the easements acquired will be prepared by the eligible entity and submitted to the NRCS State Office. No cash reports are required. Fund disbursement reports are prepared by NRCS. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Records:

Records of easements acquired will be maintained for the life of the easements by the eligible entity and NRCS.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 16 \$1,621,000; FY 17 est \$22,414,000; and FY 18 est \$0. (Direct Payments for Specified Use) FY 16 \$138,000; FY 17 est \$1,970,000; and FY 18 est \$14,000,000

Range and Average of Financial Assistance:

The 5 year average estimated cost per acre for easement acquisition was approximately \$400, ranging from \$65 per acre to over \$30,000 per acre. The average estimated cost per acre for rental contracts was \$134 per acre over the life of the rental contract. Over 250 GRP easements were acquired on over 117,000 acres.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

GRP rental contracts are limited to \$50,000 per person per year. GRP restoration agreements are also limited to \$50,000 per person per year. Grassland Reserve Program Website; www.nrcs.usda.gov/programs/grp.

Regional or Local Office:

See Regional Agency Offices. Local Service Centers can be found in the blue pages of the local telephone directory under the heading USDA Service Center or through the office locator assistance on the NRCS website found at www.nrcs.usda.gov.

Headquarters Office:

John Rissler 1400 Independence Ave, SW, Washington, District of Columbia 20250 Email: John.Rissler@wdc.usda.gov Phone: 202-720-3524

Website Address:

<http://www.nrcs.usda.gov/>

RELATED PROGRAMS:

10.069 Conservation Reserve Program; 10.072 Wetlands Reserve Program; 10.913 Farm and Ranch Lands Protection Program; 10.922 Healthy Forests Reserve Program (HFRP); 10.931 Agricultural Conservation Easement Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.921 CONSERVATION SECURITY PROGRAM (CSP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Title XII, subtitle D, chapter 2, subchapter A of the Food Security Act of 1985, 16 U.S.C. 3838-3838c, as added by the Farm Security and Rural Investment Act of 2002, Pub.L.

107-171, as amended by Section 2301 of the Food, Conservation, and Energy Act of 2008, Pub. L. 110-246. The Agricultural Act of 2014 did not make any changes to the authority for NRCS to continue to make payments on existing Security contracts entered into prior to FY 2009.

OBJECTIVES:

The CSP sets forth a mechanism to provide financial and technical assistance to promote the conservation and improvement of soil, water, air, energy, plant and animal life, and other conservation purposes on Tribal and private working lands. Working lands include cropland, grassland, prairie land, improved pasture, and range land, as well as forested land that is an incidental part of an agriculture operation. The goal of CSP is to identify and reward those farmers and ranchers who are meeting the highest standards of conservation and environmental management on their operations and to support ongoing stewardship of private agricultural lands by providing payments for maintaining and enhancing natural resources.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Applicants may offer eligible land on a portion of their agricultural operation to enter into a five year contract, or entire agricultural operation for a five to ten year contract. Subject to the acceptance of an offer by the Federal Government, the participant shall agree to participate as outlined in the CSP stewardship plan and contract. The contract will include appropriate stewardship, new practice, existing practice, and enhancement payments. Subject to the availability of funds, CCC will make stewardship, existing practice, new practice or enhancement payments at the rates specified in this contract after a determination by CCC that an eligible practice or activity has been established in compliance with the CSP plan of operations and in accordance with appropriate standards and specifications.

Applicant Eligibility:

Applicants must have applied prior to Oct. 1, 2008. An individual producer, partnership, association, corporation, estate, trust, other business or other legal entities controlling eligible lands. The term producer means an owner, operator, landlord, tenant or sharecropper that shares in the risk of producing any crop or livestock; and must be entitled to share in the crop or livestock available for marketing from an agricultural operation. An applicant must be in compliance with highly erodible land and wetland conservation provisions, and average adjusted gross income requirements. Lands enrolled in the Conservation Reserve Program, Wetlands Reserve Program, the Grassland Reserve Program pursuant to 16 U.S.C. 3838n, public land including land owned by a Federal, State or local unit of government are not eligible for enrollment in CSP and may not receive CSP payments.

Beneficiary Eligibility:

An individual producer, partnership, association, corporation, estate, trust, other business or other legal entities controlling eligible lands. The term producer means and owner, operator, landlord, tenant or sharecropper that shares in the risk of producing any crop or livestock; and must be entitled to share in the crop or livestock available for marketing from an agricultural operation. A participant must be in compliance with highly erodible land and wetland conservation provisions, and average adjusted gross income requirements. Lands enrolled in the Conservation Reserve Program, Wetlands Reserve Program, the Grassland Reserve Program pursuant to 16 U.S.C. 3838n, public land including land owned by a Federal, State or local unit of government are not eligible for enrollment in CSP and may not receive CSP payments.

Credentials/Documentation:

The participant must have control of the land offered for the length of the contract period. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Conduct a CSP self-assessment and benchmark condition inventory, and submit an intention to enroll to the local NRCS office that serves the area in which the agricultural operation is located during the designated sign-up period.

Award Procedure:

All applications which meet the sign-up criteria within the priority watersheds will be placed in an enrollment category regardless of available funding. Enrollment categories and subcategories will be funded in priority order until the available funds are exhausted.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 180 days after the application is filed with the NRCS.

Appeals:

An applicant or a participant may obtain administrative review of an adverse decision. Participants cannot appeal payment rates, payment limits, and cost-share percentages, eligible conservation practices, or other matters of general applicability. Before a participant can seek judicial review, the participant must exhaust all administrative appeal procedures.

Renewals:

The conservation security contract may be renewed, as appropriate, for an additional period of not less than 5, nor more than 10 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Payments may have as many as four components including a base conservation stewardship payment, existing practice payment, new practice cost-share payment, and enhancement payment. Payments will be made as described in the contract in return for the implementation and/or maintenance of a specified level of conservation treatment on all or part of the agricultural operation.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The schedule of payments will be specified in the conservation stewardship contract based on calculations identified in the rule and sign up notice. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

Records will be maintained by the participant, as appropriate and in the county NRCS office, State NRCS office and Federal Record Centers for the length of the agreement.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 16 \$561,000; FY 17 est \$2,721,000; and FY 18 est \$0. (Direct Payments for Specified Use) FY 16 \$1,464,000; FY 17 est \$6,042,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program was announced through news media, local conservation districts, and in letters to agricultural landowners in the county. Regulations published in the Federal Register and 7 CFR XIV.

Regional or Local Office:

See Regional Agency Offices. Consult the local telephone directory for location of the NRCS office. If no listing, contact the appropriate State NRCS office listed in the NRCS Section of Appendix IV, the Catalog.

Headquarters Office:

Tim Landreneau 1400 Independence Ave., SW, Washington, District of Columbia 20250 Email: Tim.Landreneau@ia.usda.gov Phone: 318-473-7759

Website Address:

<http://www.nrcs.usda.gov/>

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention; 10.912 Environmental Quality Incentives Program; 10.914 Wildlife Habitat Incentive Program; 10.917 Agricultural Management Assistance; 10.924 Conservation Stewardship Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications which meet the sign-up criteria within the priority watersheds will be placed in an enrollment category regardless of available funding. Enrollment categories and subcategories will be funded in priority order until the available funds are exhausted.

10.922 HEALTHY FORESTS RESERVE PROGRAM (HFRP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Healthy Forest Restoration Act of 2003, Title V, Section 501, Public Law 108-148, 117 Stat. 1911, 16 U.S.C 6571-6578.

OBJECTIVES:

To assist landowners in restoring and enhancing forest ecosystems to: promote the recovery of threatened and endangered species; improve biodiversity; and enhance carbon sequestration.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

HFRP restoration agreements and easements are designed for working Agricultural lands. HFRP is a voluntary program to assist landowners in restoring, enhancing and maintaining forest ecosystems on private lands through easements, contracts and restoration agreements. Easements, contracts, and restoration agreements shall be maintained in accordance with HFRP goals and objectives for the duration of the restoration agreement and easement including the restoration, protection, enhancement, maintenance, and management of habitat for listed species within a forest ecosystem's functions and values. For the duration of its term, the easement shall require, at a minimum, that the landowner, and the landowner's heirs, successors and assigns, shall cooperate in the restoration, protection, enhancement, maintenance, and management of the land in accordance with the easement and with the terms of the HFRP restoration plan. In addition, the easement shall grant to the United States, through the NRCS: a right of access to the easement area; the right to permit compatible uses of the easement area, if such use is consistent with the long-term protection and enhancement of the purposes for which the easement was established; the right to determine compatible uses on the easement area and specify the amount, method, timing, intensity and duration of the compatible use; the rights, title and interest to the easement area

as specified in the conservation easement deed; and the right to perform restoration, protection, enhancement, maintenance, and management activities on the easement area. The landowner shall convey title to the easement which is acceptable to the NRCS. The landowner shall warrant that the easement granted to the United States is superior to the rights of all others, except for exceptions to the title which are deemed acceptable by the NRCS.

Applicant Eligibility:

To be eligible to enroll an easement or restoration agreement in the HFRP, a person must: be the landowner of eligible private land for which enrollment is sought; agree to provide such information to the Natural Resources Conservation Service (NRCS) as the Agency deems necessary or desirable to assist in its determination of eligibility for program benefits and for other program implementation purposes.

Beneficiary Eligibility:

Only landowners.

Credentials/Documentation:

Be the landowner of eligible land for which enrollment is sought; and agree to provide such information to NRCS as the agency deems necessary or desirable to assist in its determination of eligibility for program benefits and for other program implementation purposes. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Any owner meeting eligibility criteria may submit an application for participation. Applications are accepted in local USDA Service Center Offices during announced enrollment sign-up periods. USDA will establish criteria to evaluate and rank applications for easement and restoration agreement enrollment, criteria will be detailed in the sign-up notice. NRCS will identify through a sign-up notice process the geographic scope and ranking priorities for that particular sign-up. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200 applies only to the program activities implemented through a cooperative agreement.

Award Procedure:

NRCS will not acquire any easement unless the landowner accepts the amount of the easement payment which is offered by NRCS. The easement payment may or may not equal the fair market value of the interests and rights to be conveyed by the landowner under the easement. By voluntarily participating in the program, a landowner waives any claim to additional compensation based on fair market value.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Within a Federal fiscal year (October 1 September 30) based on funding availability.

Appeals:

A person participating in the HFRP may obtain a review of any administrative determination concerning eligibility for participation utilizing the administrative appeal regulations provided in 7 CFR Part 614.

Renewals:

The land offered may be re-offered in a future sign-up unless the land or landowner is ineligible.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: not specified.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Land may be enrolled in HFRP in accordance with a 10-year restoration cost-share agreement, a 30-year easement, a 30-year contract, or a permanent easement or the maximum duration permitted by State law. 30-year contracts are only available to acreage owned by Indian Tribes. Financial assistance for

easement acquisition is provided at closing. For restoration agreements, payments are made annually on the agreement anniversary date of USDA approval. For easements, contracts, and restoration agreements, if restoration activities are required, financial assistance for such activities is provided when required practices are established. Technical assistance is available for the length of the easement or restoration agreement. See the following for information on how assistance is awarded/released: Easement payments may be made in a single payment or not more than 10 annual payments of equal or unequal size, as agreed to between NRCS and the landowner.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records will be maintained in the county NRCS office, State NRCS office and Federal Record Centers for the length of the agreement. The easement (deed restriction) and applicable documents will be recorded in the local land records office for the duration of the easement. Agreements are filed with the Agency.

Account Identification:

12-1090-0-1-302; 12-1004-0-1-302.

Obligations:

(Salaries) FY 16 \$33,000; FY 17 est \$358,000; and FY 18 est \$0. (Direct Payments for Specified Use) FY 16 \$671,000; FY 17 est \$7,127,000; and FY 18 est \$0

Range and Average of Financial Assistance:

The average estimated cost per acre for easement acquisition was approximately \$1,048. Congressional authorization of funds for HFRP is discretionary. Currently funds for HFRP are only available under the Regional Conservationist Partnership Program (RCPP).

TAFS Codes:

12-1090; 12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

HFRP Regulations are published in the Federal Register and 7 CFR Part 625.

Regional or Local Office:

See Regional Agency Offices. Local Service Centers can be found in the blue pages of the local telephone directory under the heading USDA Service Center or through the office locator assistance on the NRCS website found at www.nrcs.usda.gov.

Headquarters Office:

John Rissler 1400 Independence Ave, SW, Washington, District of Columbia 20250 Email: John.Rissler@wdc.usda.gov Phone: 202-720-3524

Website Address:

<http://www.nrcs.usda.gov/>

RELATED PROGRAMS:

10.931 Agricultural Conservation Easement Program ; 10.932 Regional Conservation Partnership Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Secretary of Agriculture shall give priority to the enrollment of land that provides the greatest conservation benefit to primarily, species listed as endangered or threatened under 16 U.S.C. 1533; and secondarily, species that are not listed as endangered or threatened under 16 U.S.C. 1533; but are candidates for such listing, State-listed species, or special concern species. The

Secretary of Agriculture shall also consider the cost-effectiveness of each agreement or easement, and associated restoration plans, so as to maximize the environmental benefits per dollar expended. Specific criteria to determine how individual proposals satisfy the statutory criteria mentioned above will be set forth in the sign-up announcement.

10.923 EMERGENCY WATERSHED PROTECTION PROGRAM (EWP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5, 123 Stat. 117; Agricultural Credit Act of 1978, Section 403, Public Law 95-334, 33 U.S.C 701B-1; Section 216 of the Flood Control Act of 1950, Section 216, Public Law 81-516, 33 U.S.C 701b; Federal Agriculture Improvement and Reform Act of 1996, Title III, Part Subtitle H, Section 382, Public Law 104-127, 110 Stat. 1016, 16 U.S.C 2203.

OBJECTIVES:

The objective of the EWP Program is to assist sponsors, landowners, and operators in implementing emergency recovery measures for runoff retardation and erosion prevention to relieve imminent hazards to life and property created by a natural disaster that causes a sudden impairment of a watershed.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All EWP work must reduce threats to life and property and must be economically, environmentally, and socially defensible and sound from a technical standpoint. NRCS may bear up to 75 percent (90 percent within limited resource areas as identified by the U.S. Census data) of the construction cost of emergency measures. The remaining 25 percent (10 percent within limited resource areas) must come from local sources as cash or in-kind services. EWP funds have restrictions. EWP cannot solve problems that existed before the disaster or to improve the level of protection above that which existed before a disaster. It cannot fund operation and maintenance work or repair private or public transportation facilities or utilities. The work cannot adversely affect downstream water rights and funds cannot be used to install measures not essential to the reduction of hazards. In addition, funds cannot be used to perform work on measures installed by another federal agency. FY 2015 & 2016 - 100% discretionary funding.

Applicant Eligibility:

Public and private landowners are eligible for assistance but must be represented by a project sponsor. Project sponsor means a State government or a State agency or a legal subdivision thereof, local unit of government, or any Native American tribe or tribal organization as defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b), with a legal interest in or responsibility for the values threatened by a watershed emergency; is capable of obtaining necessary land rights, and permits; and is capable of carrying out any operation and maintenance responsibilities that may be required. Sponsors are also responsible for furnishing the local cost share and for accomplishing the installation of work. Work can be done either through Federal or local contracts. Emergency watershed protection is authorized in the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, the Commonwealth of the Northern Mariana Islands, and American Samoa.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Sponsors must submit a formal request to the State Conservationist for assistance within 60 days of the natural disaster occurrence, or 60 days from the date when access to the sites becomes available. Requests must include a statement that the sponsors understand their responsibilities and are willing to pay its cost-shared percentage as well as information pertaining to the natural disaster, including the nature, location, and scope of the problems and the assistance needed. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Potential sponsors submit formal requests for assistance to the NRCS state conservationist.

Award Procedure:

Project sponsors seeking EWP assistance must apply to the STC in writing within 60 days of the natural disaster occurrence. Project sponsors may use SF 424 Application for Federal Assistance but must ensure that following information is provided: (1) A description of the problems encountered; (2) Assistance needed, including the following: Nature of the problem; Location; Scope of the problem; Commitment by the sponsor to assume the following responsibilities: local cost-share; Land rights; acquisition; Permits; Operations and Maintenance (O and M). A statement indicating that funds have either been exhausted or are insufficient to provide adequate recovery measures from the applicable hazards; A statement identifying any other State or Federal funding received or application submitted. On receipt of a formal request for EWP assistance, the State Conservationist or designee shall immediately investigate the emergency situation to determine whether EWP is applicable and to prepare an initial cost estimation for submission to the NRCS Chief or designee. The cost estimation will be submitted no later than 60 days from receipt of the formal request from the sponsor. NRCS may not commit funds until notified by the Chief, or designee, of the availability of funds. Before the release of financial assistance, NRCS will enter into a Cooperative Agreement with a sponsor that specifies the responsibilities of the sponsor under this part, including any required operation and maintenance responsibilities. NRCS will not provide funding for activities undertaken by a sponsor prior to the signing of the agreement between NRCS and the sponsor.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Timeline is dependent upon when funding is appropriated. The EWP program is not a line-item funded program and is reliant upon emergency supplemental appropriations.

Appeals:

Only NRCS decisions relating to eligibility for the EWP Program can be appealed. These decisions may be appealed in accordance with 7 CFR Part 614 and 7 CFR 11, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 75%. NRCS may bear up to 75 percent (90 percent within limited resource areas as identified by the US Census data) of the construction cost of emergency measures. The remaining 25 percent (10 percent within limited resource areas) must come from local sources as cash or in-kind services.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Continues until all EWP Program recovery measures are installed or their installation is terminated by mutual agreement. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Final reports are prepared for each project within 90 days of completion. A benefit and accomplishment report is prepared for the fiscal year based upon final reports. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records of operation are maintained for the life of the project.

Account Identification:

12-1072-0-1-301.

Obligations:

(Salaries) FY 16 \$16,384,000; FY 17 est \$52,866,000; and FY 18 est \$0. (Cooperative Agreements (Discretionary Grants)) FY 16 \$98,779,000; FY 17 est \$217,899,000; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1072; 12-1073.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 624; National Emergency Watershed Protection Program Manual.

Regional or Local Office:

See Regional Agency Offices. For the list of NRCS state offices with telephone numbers and addresses, see the web site: <http://www.nrcs.usda.gov/contact/>.

Headquarters Office:

Kevin Farmer USDA, NRCS
Conservation Engineering Division
14th and Independence Ave., SW.
P.O. Box 2890

, Washington, District of Columbia 20250 Email: kevin.farmer@wdc.usda.gov
Phone: 202-720-3413.

Website Address:

<http://www.nrcs.usda.gov/programs/ewp/>.

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

EWP projects are eligible when it is determined that the current condition of the land or watershed impairment poses a threat to health, life, and property. Assistance includes EWP practices that provide runoff retardation and soil erosion prevention, safeguard lives and property, and relieve an imminent threat that is a result of a sudden impairment of a watershed due to a natural disaster.

10.924 CONSERVATION STEWARDSHIP PROGRAM

Conservation Stewardship Program (CSP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Title XII, subtitle D, chapter 2, subchapter B of the Food Security Act of 1985, as added by Section 2301 of the Food, Conservation, and Energy Act of 2008, Pub. L. 110-246. Section 2101 of the Agricultural Act of 2014 re-authorized the Stewardship Program and made minor adjustments to its administration., 16 U.S.C 3838d-3838g.

OBJECTIVES:

Through CSP, NRCS provides financial and technical assistance to eligible producers to conserve and enhance soil, water, air, and related natural resources on their land. Eligible lands include cropland, grassland, prairie land, improved pastureland, rangeland, nonindustrial private forest lands, agricultural land under the jurisdiction of an Indian tribe, and other private agricultural land

(including cropped woodland, marshes, and agricultural land used for the production of livestock) on which resource concerns related to agricultural production could be addressed. Participation in the program is voluntary. CSP encourages land stewards to improve their conservation performance by installing and adopting additional activities, and improving, maintaining, and managing existing activities on agricultural land and nonindustrial private forest land. The NRCS will make CSP available nationwide on a continuous application basis.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

CSP is available on Tribal and private agricultural lands, as well as nonindustrial private forest lands in all 50 States, the District of Columbia, and the Caribbean and Pacific Islands Areas. The entire operation must be enrolled and must include all eligible land that will be under the applicant's control for the term of the proposed contract that is operated substantially separate from other operations. Land enrolled in the Conservation Reserve Program (7 CFR part 1410), a Wetlands Reserve Easement through the Agricultural Conservation Easement Program, and the Conservation Security Program (CFR part 1469) are ineligible for CSP. Eligibility is limited to private agricultural land; as such, land that is owned by a Federal, State, or local unit of government, with the exception of agricultural land under the jurisdiction of an Indian Tribe, is ineligible, regardless of the status of the operator. Additionally, a participant may not receive payment for land used for crop production after February 7, 2014, that had not been planted, considered to be planted, or devoted to crop production for at least four of the six years preceding that date, unless the land was: previously enrolled in the Conservation Reserve Program; maintained using long-term rotations, such as hayland in rotation; or incidental to the operation but needed for the efficient management of the operation. An example of land considered incidental to the operation that may be eligible for payment is land that had once been used for buildings and is now being used for crop production to square up a cropland field.

Applicant Eligibility:

The program provides equitable access to all producers, regardless of operation size, crops produced, or geographic location. Individual producers, legal entities, corporations, and Indian Tribes may be eligible for the program. Eligible lands include cropland, grassland, prairie land, improved pastureland, rangeland, nonindustrial private forest land, and agricultural land under the jurisdiction of an Indian tribe. As stated in the Federal Register, 1470.6a, Applicants must be the operator of record in the USDA farm records management system for the eligible land being offered for enrollment; have documented control of the land for the term of the proposed contract; include the eligible land in their entire operation as represented for other USDA programs; and be in compliance with the highly erodible land and wetland conservation provisions of 7 CFR Part 12, and adjusted gross income provisions of 7 CFR part 1400. The applicants operation must also meet a stewardship threshold.

Beneficiary Eligibility:

The program provides equitable access to all producers, regardless of operation size, crops produced, or geographic location. Individual producers, legal entities, corporations, and Indian Tribes may be eligible for the program. Eligible lands include cropland, grassland, prairie land, improved pastureland, rangeland, nonindustrial private forest land, and agricultural land under the jurisdiction of an Indian tribe. As stated in the Federal Register, 1470.6a, Applicants must be the operator of record in the USDA farm records management system for the eligible land being offered for enrollment; have documented control of the land for the term of the proposed contract; include the eligible land in their entire operation as represented for other USDA programs; and be in compliance with the highly erodible land and wetland conservation provisions of 7 CFR Part 12, and adjusted gross income provisions of 7 CFR part 1400. The applicants operation must also meet a stewardship threshold.

Credentials/Documentation:

The participant must have control of the land offered for the length of the contract period. NRCS requires all program payments be made by direct deposit unless the participant requests a waiver as described in 31 CFR Part

208.4, paragraph (a). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

A programmatic environmental assessment has been prepared in association with this rulemaking. The analysis has determined that there will not be a significant impact to the human environment and as a result an Environmental Impact Statement is not required to be prepared (40 CFR part 1508.13). An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Once applicant and land eligibility are determined, the NRCS field office will assist the producer with completing the resource inventory to determine if the stewardship threshold requirement is met, rank applications, and establish payments. Applicants will be ranked relative to other applicants who face similar resource challenges in State-established ranking pools using ranking scores. For approved applicants, NRCS will conduct on-site field verification to substantiate that conservation activity and production system information represented by the applicant was accurate. After the conservation system information is verified, NRCS and the applicant proceed to develop the conservation stewardship plan and contract. Upon approval, the contract will obligate the participant to achieve a higher level of conservation performance by installing additional activities scheduled in their conservation stewardship plan and to maintain the level of existing conservation performance identified at the time of application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

A participant may obtain administrative review in accordance with 7 CFR parts 11 and 614 for an adverse decision. Participants cannot appeal payment rates, payment limits, and cost-share percentages, eligible conservation practices, or other matters of general applicability. Before a participant can seek judicial review, the participant must exhaust all administrative appeal procedures.

Renewals:

At the end of an initial conservation stewardship contract, NRCS will allow a participant to renew the contract to receive payments for one additional five year period, subject to the availability of funds. To be considered for contract renewal, the participant must be in compliance with the terms of their initial contract as determined by NRCS; add any newly acquired eligible land that is part of the agricultural operation and meets minimum treatment criteria as established and determined by NRCS; meet stewardship thresholds for additional priority resource concerns as determined by NRCS; and agree to adopt conservation activities as determined by NRCS.

Formula and Matching Requirements:

Statutory Formula: Subject to the availability of funds, NRCS will offer participants two potential types of payment. As outlined in 1470.24 (a), an annual payment will be made for installing and adopting additional conservation activities, and improving, maintaining, and managing existing activities. A supplemental payment can also be made to a participant receiving annual payments, who also agrees to adopt a resource-conserving crop rotation. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

NRCS will make payments as soon as practicable after October 1 of each fiscal year for activities carried out in the previous fiscal year. CSP payments to a person or legal entity may not exceed \$40,000 in any year and \$200,000 during any 5-year period. Each CSP contract will be limited to \$200,000 over the term of the initial contract period. The above limitations exclude funding arrangements with federally recognized Indian tribes or Alaska Native

corporations. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. The Designated Conservationist will review contract implementation with the participant annually. Annual reviews will be completed early enough in the year to allow for completion of any necessary corrective actions prior to issuance of the annual payment. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

As stipulated in 1470.6 (a), the applicant must make available to NRCS upon request appropriate records documenting applied conservation activity and production system information. NRCS will request records when conducting field verification with pre-approved applicants. The designated conservationist does not need to collect, store, or re-calculate the data contained in the applicants records, but instead will examine the field conditions and records to determine whether or not they substantiate the resource inventory information provided by the applicant during the application process.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 16 \$237,509,000; FY 17 est \$309,631,000; and FY 18 est \$305,276,000. (Cooperative Agreements) FY 16 \$891,786,000; FY 17 est \$1,211,750,000; and FY 18 est \$1,091,342,000

Range and Average of Financial Assistance:

CSP payments to a person or legal entity may not exceed \$40,000 in any year and \$200,000 during any 5-year period. Each CSP contract will be limited to \$200,000 over the term of the initial contract period. The above limitations exclude funding arrangements with federally recognized Indian tribes or Alaska Native corporations as described in 1470.24. The program shall have a national average rate of \$18 per acre.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Congress authorized the enrollment of an additional 10,000,000 acres for each fiscal year (FY) for the period beginning February 7, 2014, and ending on September 30, 2022.

Regional or Local Office:

See Regional Agency Offices. Consult the local telephone directory for location of the NRCS office. Directories can also be found under the contact us menu at www.nrcs.usda.gov.

Headquarters Office:

Jack Lewis 1400 Independence Ave, SW
, Washington, District of Columbia 20250 Email: jack.lewis@ga.usda.gov
Phone: 706-546-2036

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/main/national/programs/financial/csp/>

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention; 10.912 Environmental Quality Incentives Program; 10.914 Wildlife Habitat Incentive Program; 10.917 Agricultural Management Assistance; 10.921 Conservation Security Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications which meet the sign-up criteria will be placed in an enrollment category until field verification against records is made, upon which applications will be funded in priority order until the available funds are exhausted.

10.925 AGRICULTURAL WATER ENHANCEMENT PROGRAM (AWEP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

The Food Security Act of 1985. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date., Public Law 99-198, 16 U.S.C 3801.

OBJECTIVES:

AWEP as part of the Environmental Quality Incentives Program, promotes ground and surface water conservation and improved water quality on agricultural lands. As authorized by Congress, this is not a grant program to eligible partners. This is a program whereby approved, eligible partners will enter into multi-year agreements with NRCS to promote ground and surface water conservation, or improve water quality on eligible agricultural lands.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Technical assistance is provided for conservation planning, design and implementation of conservation practices for eligible participants. Financial assistance is provided for implementation of structural, and land management practices. Cost-share payments may be made to implement one or more eligible structural or vegetative practices. Incentive payments can be made to implement one or more land management practices.

Applicant Eligibility:

Partners representing agricultural producers who face serious threats to soil, water, and related natural resources, or who need assistance with complying with Federal and State environment laws. A participant may be an owner, landlord, operator, or tenant of eligible agricultural lands or non-industrial forestlands. Limited resource producers, small-scale producers, socially disadvantaged individuals, federally recognized Indian tribal governments, Alaska natives, and Pacific Islanders are encouraged to apply.

Eligible partners include: Federally recognized Indian Tribes, States, units of local government, agricultural or silvicultural associations or other groups of such producers, or other nongovernmental organization with experience working with agricultural producers.

Beneficiary Eligibility:

To be eligible the agricultural producers must be in compliance with highly erodible land and wetland conservation provisions and in compliance with the Adjusted Gross Income (AGI) payment limitations.

Credentials/Documentation:

Evidence that applicant has control over land to be entered into contract and submits an acceptable conservation plan for the farm or ranch unit of concern that incorporates needed natural resource conservation practices and provides either a social security number or individual tax identification number of all proposed beneficiaries. Applicants claiming either limited resource producer or beginning farmer classification may be asked to provide documents to justify their claim. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Under AWEP, the NRCS enters into partnership agreements with eligible entities and organizations that want to promote ground and surface water conservation or improve water quality on agricultural lands. After the Chief has announced approved AWEP project areas, eligible agricultural producers may submit a program application. Each fiscal year, NRCS may make AWEP

financial and technical assistance available to eligible owners and operators of agricultural lands who participate in approved AWEP project areas.

Partners may assist NRCS by identifying producers in the project area. Partners may also assist producers in submitting applications for the program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Award Procedure:

This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Appeals:

A participant may appeal any adverse determination to the Chief of the Natural Resources Conservation Service.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 75%. Conservation practices may be eligible for conservation payments up to 75 percent of the total cost of establishing the practice including costs of foregone income. Limited resource producers, socially disadvantaged producers and beginning farmers and ranchers may be eligible for payments up to 90 percent of the approved practice cost. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

An agricultural producer may apply directly to NRCS for financial or technical assistance to implement agricultural water enhancement activities. Producers who apply directly to NRCS must be eligible for EQIP and selected applications will be subject to applicable EQIP requirements. Eligible partners enter into multi-year agreements with NRCS to promote ground and surface water conservation, or improve water quality on eligible agricultural lands. AWEP is intended to leverage investment in natural resources conservation along with services and non-Federal resources of other eligible partners. Eligible partners include: Federally recognized Indian Tribes, States, units of local government, agricultural or silvicultural associations or other groups of such producers such as an irrigation association, an agricultural land trust, or other nongovernmental organization with experience working with agricultural producers. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Provide the NRCS agency representative with semi-annual and annual reports during the project period and a final project report that documents project accomplishments and goals achieved. No expenditure reports are required. Reports shall also address partner efforts to monitor and evaluate implementation of conservation activities included in NRCS program contracts within the approved project area.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Records:

Partnership Agreements and producer contracts are maintained in local NRCS office and Federal record centers for specified number of years.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 16 \$3,707,000; FY 17 est \$13,089,000; and FY 18 est \$0. (Direct Payments for Specified Use) FY 16 \$175,000; FY 17 est \$621,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Conservation payments are limited to a maximum payment limitation per producer of \$300,000 for the life of the 2008 Farm Bill.

Average contracts are estimated to be \$40,841.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Related program manuals, handbooks and leaflets are issued by NRCS.

Regional or Local Office:

See Regional Agency Offices. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. For a list of NRCS State offices with telephone numbers and addresses, see appropriate Appendix IV of the Catalog. Information is available on the internet at <http://www.nrcs.usda.gov/programs/awep>.

Headquarters Office:

Jeffrey White Natural Resources Conservation Service
1400 Independence Ave, SW; Room 5237-S, Washington, District of Columbia
20250 Email: Jeffery.White@wdc.usda.gov Phone: (202) 720-1844

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/main/national/programs/financial/awep/>

RELATED PROGRAMS:

10.912 Environmental Quality Incentives Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Include high percentages of agricultural land and producers in a region or other appropriate area;
2. Result in high levels of applied agricultural water quality and water conservation activities;
3. Significantly enhance agricultural activity;
4. Allow for monitoring and evaluation by the partner;
5. Assist agricultural producers in meeting a regulatory requirement that reduces the economic scope of the producers operation;
6. Achieve the projects land and water treatment objectives within 5 years or less;
7. Are from States with water quantity concerns where the proposal will:
 - a. Include conservation practices that support the conversion of agricultural land from irrigated farming to dryland farming;
 - b. Leverage Federal funds provided under the program with funds provided by partners;
 - c. Assist producers in States with high priority water quantity concerns, as determined by the agency. The high priority areas are located in the following regions: Eastern Snake Plain Aquifer, Everglades, Ogallala Aquifer, Puget Sound, Red River, Sacramento River Basin, and Upper Mississippi River Basin.
- d. Include other factors and criteria as approved by the agency which help identify those proposals which best achieve the purposes of AWEP.

10.926 CHESAPEAKE BAY WATERSHED PROGRAM

(CBWP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

The Food Security Act of 1985. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. . Public Law 99-198.

OBJECTIVES:

The Chesapeake Bay Watershed Program (CBWP) helps agricultural producers improve water quality and quantity, and restore, enhance, and preserve soil, air, and related resources in the Chesapeake Bay Watershed through the implementation of conservation practices. These conservation practices reduce soil erosion and nutrient levels in ground and surface water; improve, restore, and enhance wildlife habitat; and help address air quality and related natural resource concerns. CBWP encompasses all tributaries, backwaters, and side channels, including their watersheds, draining into the Chesapeake Bay.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Natural Resources Conservation Service (NRCS), an agency of the United States Department of Agriculture, uses CBWP funds to enter into contracts with eligible producers to share the costs of the applicable conservation treatment on agricultural lands in the Chesapeake Bay Watershed. NRCS provides payments for approved conservation practices and systems and land use adjustments within a time schedule specified by the conservation plan. The CBWP contracts may be modified to increase funds provided the increased cost is the result of a valid contract modification within the original contract scope and intent. The modification must follow the rules of the conservation program used to apply the conservation treatment.

Applicant Eligibility:

Only agricultural producers owning or operating within the Chesapeake Bay Watershed are eligible to participate in CBWP. In addition, NRCS applies the eligibility requirements of the particular natural resource program used to implement CBWP (e.g., the Environmental Quality Incentives Program (EQIP) (16 U.S.C. 3839aa et seq.), the Wildlife Habitat Incentives Program (WHIP) (16 U.S.C. 3839bb-1)). Limited resource producers, small-scale producers, beginning farmers and ranchers, socially-disadvantaged producers, and federally recognized Indian tribes are encouraged to apply.

Beneficiary Eligibility:

To be eligible, the agricultural producers must be in compliance with highly erodible land and wetland conservation provisions at 7 CFR part 12, and in compliance with the Adjusted Gross Income (AGI) payment limitations at 7 CFR part 1400.

Credentials/Documentation:

Evidence that applicant has control over land to be entered into contract and submits an acceptable conservation plan for the farm or ranch unit of concern that incorporates needed natural resource conservation practices and provide either a social security number or individual tax identification number of all proposed beneficiaries. Applicants claiming either limited resource producer or beginning farmer classification may be asked to provide documents to justify their claim. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Program participation is voluntary. The applicant applies to NRCS at the local USDA Service Center on Form NRCS-CPA-1200. Applications may be filed at any time during the year. The participant develops a CBWP plan of operations that identifies what conservation practices they are proposing to implement. Technical assistance and conservation payments may be provided to apply needed conservation practices and land use adjustments within a time schedule specified by the conservation CBWP plan of operations. A contract with a participant may apply one or more land management practices or one or more structural practices.

Award Procedure:

This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

NRCS applies the ranking and selection requirements of the particular natural resource program used to implement CBWP (e.g., EQIP, WHIP). NRCS will give special consideration to applicants that address priority natural resource concerns designated. Applications will be periodically ranked and selected for funding based on locally developed ranking process. The ranking criteria of the various Farm Bill program can be found at <http://www.nrcs.usda.gov/programs>.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Appeals:

A participant may appeal any adverse determination to the State Conservationist.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 75%. Matching requirements for CBWP follow the rules for the particular natural resource program used to implement CBWP (e.g., EQIP, WHIP). Typically, conservation practices may be eligible for conservation payments up to 75 percent of the total cost of establishing the practice including costs of foregone income. Limited resource producers, socially-disadvantaged producers and beginning farmers and ranchers may be eligible for payments up to 90 percent of the approved practice cost. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

CBWP contract lengths and implementation schedules will follow the rules for the particular natural resource program used to implement CBWP (e.g., EQIP, WHIP). Obligations for assistance are tied to the schedule for applying conservation practices included in the CBWP plan of operations conservation plan used as the basis for the contract. Payments are made when the participant and NRCS certify that conservation practice is completed in accordance with NRCS standards and specifications. Technical assistance may be provided by a certified Technical Service Provider (TSP) in accordance with 7 CFR part 652. The participant may not engage a TSP prior to contract approval. This assistance will be reimbursed based upon applicable TSP payment rates. These payments will be made after the services of the certified TSP have been provided in accordance with NRCS standards and specifications. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. NRCS makes periodic random reviews of the operation and maintenance of the contract items during the life span of the conservation practice. Participants are subject to audit by the Office of the Inspector General, USDA.

Records:

Records are maintained in local NRCS office and Federal record centers for specified number of years.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 16 \$1,114,000; FY 17 est \$5,175,000; and FY 18 est \$0. (Direct Payments for Specified Use) FY 16 \$503,000; FY 17 est \$9,521,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Conservation payments are limited to the rules for the particular natural resource program used to implement CBWP (e.g., EQIP, WHIP).

TAFS Codes:

12-X-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The CBWP was carried out through natural resources conservation programs authorized under Subtitle D, Title XII of the Food Security Act of 1985, 16 U.S.C. 3830-3839bb-5. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Regional or Local Office:

See Regional Agency Offices. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. A list of NRCS State offices with telephone numbers and addresses is available on the internet at <http://www.nrcs.usda.gov/about/organization/regions.html>.

Headquarters Office:

Jeffrey White Natural Resources Conservation Service
1400 Independence Ave. SW; Room 5237-S, Washington, District of Columbia
20250 Email: Jeffery.White@wdc.usda.gov Phone: (202) 720-1844

Website Address:

<https://www.nrcs.usda.gov/wps/portal/nrcs/detailfull/national/programs/initiatives/?cid=stelpdb1047323>

RELATED PROGRAMS:

10.069 Conservation Reserve Program; 10.902 Soil and Water Conservation; 10.912 Environmental Quality Incentives Program; 10.913 Farm and Ranch Lands Protection Program; 10.914 Wildlife Habitat Incentive Program; 10.920 Grassland Reserve Program; 10.924 Conservation Stewardship Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be periodically ranked and selected for funding based on: the environmental benefits per dollar expended; the cost-effectiveness of the conservation practices; the environmental benefits derived; extent to which the contract will assist the applicant in complying with Federal, State, tribal or local environmental laws; whether the land is located in a priority area and the extent the contract will assist the priority area goals and objectives.

10.927 EMERGENCY WATERSHED PROTECTION PROGRAM - DISASTER RELIEF APPROPRIATIONS ACT

EWP - Disaster Relief (Hurricane Sandy)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Disaster Relief Appropriations Act, Public Law 113-2, 4 Stat. 127.

OBJECTIVES:

The objective of the EWP Program is to assist sponsors, landowners, and operators in implementing emergency recovery measures for runoff retardation and erosion prevention to relieve imminent hazards to life and property created by a natural disaster that causes a sudden impairment of a watershed.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

All EWP work must reduce threats to life and property and must be economically, environmentally, and socially defensible and sound from a technical standpoint. NRCS may bear up to 75 percent (90 percent within limited resource areas as identified by the U.S. Census data) of the construction cost of emergency measures. The remaining 25 percent (10 percent within limited resource areas) must come from local sources as cash or in-kind services. EWP funds have restrictions. EWP cannot solve problems that existed before the disaster or to improve the level of protection above that which existed before a disaster. It cannot fund operation and maintenance work or repair private or public transportation facilities or utilities. The work cannot adversely affect downstream water rights and funds cannot be used to install measures not essential to the reduction of hazards. In addition, funds cannot be used to perform work on measures installed by another federal agency.

EWP funds from this appropriation can only be spent on damage recovery projects from Hurricane Sandy, with a Stafford Act Designation. (This means that a certain geographical area was declared a major disaster as a result of a Presidential Declaration). 100% discretionary - emergency supplemental funds.

Applicant Eligibility:

For this appropriation, only applicants affected by Hurricane Sandy and only in those areas declared as a major disaster are eligible for assistance through the EWP program. Public and private landowners are eligible for assistance but must be represented by a project sponsor. Project sponsor means a State government or a State agency or a legal subdivision thereof, local unit of government, or any Native American tribe or tribal organization as defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b), with a legal interest in or responsibility for the values threatened by a watershed emergency; is capable of obtaining necessary land rights, and permits; and is capable of carrying out any operation and maintenance responsibilities that may be required. Sponsors are also responsible for furnishing the local cost share and for accomplishing the installation of work. Work can be done either through Federal or local contracts.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Sponsors must submit a formal request to the State Conservationist for assistance within 60 days of the natural disaster occurrence, or 60 days from the date when access to the sites becomes available. Requests must include a statement that the sponsors understand their responsibilities and are willing to pay its cost-shared percentage as well as information pertaining to the natural disaster, including the nature, location, and scope of the problems and the assistance needed. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Potential sponsors submit formal requests for assistance to the NRCS state conservationist.

Award Procedure:

Project sponsors seeking EWP assistance must apply to the STC in writing within 60 days of the natural disaster occurrence. Project sponsors may use SF 424 Application for Federal Assistance but must ensure that following information is provided: (1) A description of the problems encountered; (2) Assistance needed, including the following: Nature of the problem; Location; Scope of the problem; Commitment by the sponsor to assume the following responsibilities: local cost-share; Land rights; acquisition; Permits; Operations and Maintenance (O and M), A statement indicating that funds have either been exhausted or are insufficient to provide adequate recovery measures from the applicable hazards; A statement identifying any other State or Federal funding

received or application submitted. On receipt of a formal request for EWP assistance, the State Conservationist or designee shall immediately investigate the emergency situation to determine whether EWP is applicable and to prepare an initial cost estimation for submission to the NRCS Chief or designee. The cost estimation will be submitted no later than 60 days from receipt of the formal request from the sponsor. NRCS may not commit funds until notified by the Chief, or designee, of the availability of funds. Before the release of financial assistance, NRCS will enter into a Cooperative Agreement with a sponsor that specifies the responsibilities of the sponsor under this part, including any required operation and maintenance responsibilities. NRCS will not provide funding for activities undertaken by a sponsor prior to the signing of the agreement between NRCS and the sponsor.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Timeline is dependent upon when funding is appropriated. The EWP program is not a line-item funded program and is reliant upon emergency supplemental appropriations.

Appeals:

Only NRCS decisions relating to eligibility for the EWP Program can be appealed. These decisions may be appealed in accordance with 7 CFR Part 614 and 7 CFR 11, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 75%. NRCS may bear up to 75 percent (90 percent within limited resource areas as identified by the US Census data) of the construction cost of emergency measures. The remaining 25 percent (10 percent within limited resource areas) must come from local sources as cash or in-kind services.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Continues until all EWP Program recovery measures are installed or their installation is terminated by mutual agreement. See the following for information on how assistance is awarded/released: Submission of Form 270 for Payment.

Reports:

No program reports are required. Cash reports are not applicable. Progress reports are due every 60 days on projects of 220 days duration. Cash reports are not applicable. Final reports are prepared for each project within 90 days of completion. A benefit and accomplishment report is prepared for the fiscal year based upon final reports. Expenditure reports are not applicable. Performance monitoring is required and conducted by COTR or GR.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records of operation are maintained for the life of the project.

Account Identification:

12-1072-0-1-301.

Obligations:

(Salaries) FY 16 \$283,000; FY 17 est \$2,346,000; and FY 18 est \$0. (Project Grants (Cooperative Agreements or Contracts)) FY 16 \$0; FY 17 est \$8,454,000; and FY 18 est \$0

Range and Average of Financial Assistance:

N/A.

TAFS Codes:

12-1072.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 624; National Emergency Watershed Protection Program Manual.

Regional or Local Office:

See Regional Agency Offices. For the list of NRCS state offices with telephone numbers and addresses, see the web site: <http://www.nrcs.usda.gov/contact/>.

Headquarters Office:

Kevin Farmer USDA, NRCS
Conservation Engineering Division
14th and Independence Ave., SW.
P.O. Box 2890, Washington, District of Columbia 20250 Email:
kevin.farmer@wdc.usda.gov Phone: 202-720-3413

Website Address:

No Data Available

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention; 10.923 Emergency Watershed Protection Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

EWP projects are eligible when it is determined that the current condition of the land or watershed impairment poses a threat to life, health and property. Assistance includes EWP practices that provide runoff retardation and soil erosion prevention, safeguard lives and property, and relieve an imminent threat that is a result of a sudden impairment of a watershed due to a natural disaster.

10.928 EMERGENCY WATERSHED PROTECTION PROGRAM - FLOODPLAIN EASEMENTS DISASTER RELIEF APPROPRIATIONS ACT

EWP-FPE Hurricane Sandy

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Disaster Relief Appropriations Act, 2013 , Public Law 113-2, 127 Stat. 4.

OBJECTIVES:

The Emergency Watershed Protection - Floodplain Easement Program (EWP-FPE) provides an alternative measure to traditional EWP recovery where it is determined that acquiring an easement in lieu of recovery measures is the more economical and prudent approach to reducing a threat to life or property (see 7 CFR Part 624). The easement must be restored to the extent practicable to the natural environment and may include both structural and nonstructural practices to restore the flood storage and flow, erosion control, and improve the practical management of the easement. Structures, including buildings, within the floodplain easement must be demolished and removed, or relocated outside the 100-year floodplain or dam breach inundation area.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

NRCS may bear up to 100 percent of costs related to easement acquisition and related acquisition costs. NRCS will determine easement compensation in accordance with applicable regulation and other law. NRCS will not acquire any easement unless the landowner accepts the amount of the easement payment that is offered by NRCS. NRCS reserves the right not to purchase an easement if the easement compensation for a particular easement would be too expensive, as determined by NRCS. NRCS may provide up to 100 percent of the restoration and enhancement costs of the easement. NRCS may enter into an agreement with the landowner or another third party to ensure that identified practices are implemented. NRCS, the landowner, or other designee may implement identified practices. Restoration and enhancement efforts may include both structural and non-structural practices. An easement acquired

under this EWP-Floodplain Easement regulations shall provide NRCS with the full authority to restore, protect, manage, maintain, and enhance the functions and values of the floodplain. EWP-FPE funds from this appropriation can only be spent on eligible Floodplain Easement projects that have suffered damage from Hurricane Sandy and are located within a Stafford AcT Designation. (This means that a certain geographical area was declared a major disaster as a result of a Presidential Declaration). 100% discretionary funding - emergency supplemental.

Applicant Eligibility:

For this appropriation, only applicants affected by Hurricane Sandy and only in those areas declared as a major disaster are eligible for assistance through the EWP-FPE program.

Pursuant to 7 CFR 624.10(b)(2), NRCS may determine land is eligible under for EWP-FPE if any of the following apply:

- 1) The floodplain lands were damaged by flooding at least once within the previous calendar year or have been subject to flood damage at least twice within the previous 10 years.
- 2) Other lands within the floodplain are eligible, provided the lands would contribute to the restoration of the flood storage and flow, provide for control of erosion, or that would improve the practical management of the floodplain easement.
- 3) Lands would be inundated or adversely impacted as a result of a dam breach.

NRCS may determine that land is ineligible under this section if any of the following apply:

- 1) Implementation of restoration practices would be futile due to onsite or offsite conditions.
- 2) The land is subject to an existing easement or deed restriction that provides sufficient protection or restoration, as determined by the Chief of NRCS, of the floodplains functions and values.
- 3) The purchase of an easement would not meet the purposes of this part.

Beneficiary Eligibility:

Private and non-federal landowners are eligible for EWP-FPE.

To be eligible for FPE, the NRCS will require participating landowners to

- 1) Comply with the terms of the easement.
- 2) Comply with all terms and conditions of any associated agreement.
- 3) Convey title to the easement that is acceptable to NRCS and warrant that the easement is superior to the rights of all others, except for exceptions to the title that are deemed acceptable by NRCS.

For projects that include parcels with residential dwellings or other structures on nonagricultural lands (such as flood mitigation efforts intended to assist families in moving from flood-prone areas as part of EWP recovery efforts), a local sponsor will be identified and serve as the local cooperating entity for these efforts. A sponsor will be a local or State unit of government (city, county, conservation district, watershed conservancy district, or State agency) that has a local presence and staff available to assist in the implementation of the program.

Credentials/Documentation:

The landowner must provide a copy of the vesting deed to the land. If the landowner is an entity, the entity must provide a list of the individuals who comprise the entity, including the percent of ownership for each individual, to the Farm Service Agency (FSA). The entity must provide documents to FSA and NRCS that show the entity to be legal and valid in the State and which members have the authority to sign contractual documents on behalf of the entity. If funds are being requested for the purchase of easements on lands with residences or other nonagricultural structures, the State Conservationist (STC) must provide confirmation that the acquisition is part of a strategy that will facilitate the restoration of an entire reach of the floodplain. The relatively high cost of these transactions requires a greater level of documentation that the benefits to the floodplain outweigh the costs. In addition, the STC must provide confirmation that the project sponsor will acquire fee title to the easement area. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

NRCS state offices in impacted states will submit an assessment of potential level of interest in areas with eligible lands. An environmental impact statement is required for this program. This program is excluded from coverage under

E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Landowners may only enroll land in the Emergency Watershed Protection for Floodplain Easements (EWP-FPE) Program through a permanent easement. Landowners apply for the EWP-FPE using the Application for Long-Term Contracted Assistance.

Award Procedure:

- 1) States receive applications, gather landowner information, rank applications, conduct preliminary investigations, and determine land and landowner eligibility
- 2) The STC will list all applications received during the announced signup period in rank order, beginning with the highest-ranked easement first.
- 3) States will submit an Easement Request Package to NHQ that includes documentation of land eligibility, landowner eligibility, and evidence of damage related to Hurricane Sandy.
- 4) Easement Request Packages will be reviewed and project selection will be conducted by the Deputy Chief for Programs.
- 5) Selected applications will be notified, either through an intent to continue letter for projects that will be individually appraised or through the issuance of Option Agreement to Purchase for projects with easement compensation determined through the Area-wide Market Analysis and Geographic Area Rate Cap process.
- 6) When the OATP has been signed by the applicant and the STC, the acres are considered enrolled in the program. At this time, the funds are obligated to the landowner as the vendor for the easement purchase cost only. The landowner may choose to assign all or a portion of the easement acquisition payment to the closing agent through a properly executed assignment of payment provided to NRCS along with the signed OATP.
- 7) The effective period of the OATP may not exceed 12 months from the date of the STCs signature. The effective period may be extended when necessary using the Option Agreement to Purchase Amendment. The STC may delegate, in writing, the authority to sign the OATP (and amendments) to the State program manager. No further delegation of this authority is allowed.

- 1) In addition to the above described activities, for EWP-FPE applications on land with residences or other structures, NRCS will enter into a cooperative or project agreement with the project sponsors prior to the commencing of easement acquisition activities. The agreement must specify the reimbursable tasks to be carried out by the sponsor to expedite the easement acquisition process.
- 2) In order to reduce the administrative burden and easement management cost to NRCS, sponsors are required to purchase the fee simple title from the landowner and enroll properties in a strategic manner that increases the likelihood that the floodplain can be reconnected.
- 3) The FPE warranty easement deed is closed before the fee simple interest is conveyed to the sponsor, unless a different order of recordation is desired by NRCS and authorized by the Office of the General Counsel.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Timeline is dependent upon when funding is appropriated. The FPE program is not a line-item funded program and is reliant upon emergency supplemental appropriations.

Appeals:

Only NRCS decisions relating to eligibility for the EWP-FPE Program can be appealed. These decisions may be appealed in accordance with 7 CFR Part 614 and 7 CFR 11, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

EWP Floodplain easements are a long-term solution and exist for perpetuity. After initial eligibility and project selection, easement acquisition phase requires 12 to 18 months on average. Following easement acquisition phase, restoration implementation phase requires 1 to 3 years until all practices are installed and vegetative practices are considered established. Continues until all EWP-FPE Program measures are installed (relocation or demolition of structures and/or floodplain restoration practices). Maintenance and monitoring of floodplain easements continues in perpetuity. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Easement milestones include enrollment, closing, recording, restoration completion, and monitoring. These milestones are tracked in the National Easement Staging Tool (NEST). NEST reports will be generated quarterly to track milestones. Expenditure reports are not applicable. Landowners are required to comply with the terms of the easement, comply with all terms and conditions of any associated agreement. Convey title to the easement that is acceptable to NRCS and warrant that the easement is superior to the rights of all others, except for exceptions to the title that are deemed acceptable by NRCS.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The count and condition of closed easements is reported annually in the agency's financial statement pursuant to requirements of the Statements of Federal Financial Accounting Standard 29. 2 CFR 200 applies only to the program activities implemented through a Cooperative Agreement.

Records:

Easements are recorded in the public records and case files are maintained in the appropriate NRCS State Offices.

Account Identification:

12-1072-0-1-301.

Obligations:

(Salaries) FY 16 \$457,000; FY 17 est \$24,421,000; and FY 18 est \$0. (Direct Payments for Specified Use) FY 16 \$3,427,000; FY 17 est \$82,692,000; and FY 18 est \$14,000,000

Range and Average of Financial Assistance:

N/A.

TAFS Codes:

12-1072.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 624; National Emergency Watershed Protection Program Manual.

Regional or Local Office:

See Regional Agency Offices. For the list of NRCS state offices with telephone numbers and addresses, see the web site: <http://www.nrcs.usda.gov/contact/>.

Headquarters Office:

John Rissler 1400 Independence Ave, SW, Washington, District of Columbia 20250 Email: John.Rissler@wdc.usda.gov Phone: 202-720-3524

Website Address:

<http://www.nrcs.usda.gov/programs/ewp/>

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention; 10.923 Emergency Watershed Protection Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

States are required to develop and administer ranking criteria that assign point values to each application based on the potential benefits of each project.

10.929 WATER BANK PROGRAM

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Water Bank Act, Public Law 91-559, 16 U.S.C 1301-1311.

OBJECTIVES:

To preserve and improve major wetlands as habitat for migratory waterfowl and other wildlife, conserve surface waters, reduce soil and wind erosion, contribute to flood control, improve water quality, improve subsurface moisture, and enhance the natural beauty of the landscape.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Agreements are for 10 years with eligible landowners to help preserve important nesting, breeding, and feeding areas of migratory waterfowl. During the agreement, the participants agree in return for annual payments not to drain, burn, fill, or otherwise destroy the wetland character of such areas and not to use areas for agricultural purposes, as determined by the Secretary. The Secretary carries out the program in harmony with wetlands programs administered by the Secretary of the Interior and uses technical and related services of appropriate State, Federal, and private conservation agencies to assure proper coordination. 100% discretionary funding.

Applicant Eligibility:

None.

Beneficiary Eligibility:

Landowners and operators of specified types of wetlands in designated important migratory waterfowl nesting, breeding and feeding areas.

Credentials/Documentation:

Identification as an owner and/or operator of eligible land; a conservation plan developed in cooperation with the local soil and water conservation district; and proof of required compliance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

New contracts were accepted in FY 2015. Current contracts remain in effect with payments being made until the expiration date.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The period officially specified for the program year.

Appeals:

Participants may appeal to the National Appeals Division.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Annual payments will be made for 10 years. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to audit by Office of Inspector General, USDA.

Records:

Maintained in the NRCS office and Federal record centers for a specified number of years.

Account Identification:

12-3320-0-1-302.

Obligations:

(Salaries) FY 16 \$205,000; FY 17 est \$189,000; and FY 18 est \$0. (Project Grants (Cooperative Agreements or Contracts)) FY 16 \$3,923,000; FY 17 est \$4,783,000; and FY 18 est \$0

Range and Average of Financial Assistance:

\$20 to \$50 per acre. Average: \$35.

TAFS Codes:

12-3320.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations published in the Federal Register, 7 CFR Part 752. Program is announced through the news media to eligible participants in the States designated by the Secretary of Agriculture as a participating State.

Regional or Local Office:

See Regional Agency Offices. For more information on this and other related programs, consult the local telephone directory for location of the NRCS office where your land is located. If a listing is not present; contact the appropriate State NRCS office listed in the Natural Resources Conservation Service section of Appendix IV of the Catalog.

Headquarters Office:

Jeffrey White Natural Resources Conservation Service
1400 Independence Ave, SW; Room 5237-S, Washington, District of Columbia
20250 Email: Jeffery.White@wdc.usda.gov Phone: (202) 720-1844

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/detail/national/programs/financial/?cid=stelprdb1047790>

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 15.611 Wildlife Restoration

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.931 AGRICULTURAL CONSERVATION EASEMENT PROGRAM (ACEP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985, Title XII, Subtitle H, as amended by Section 2301 of the Agricultural Act of 2014, Public Law 113-79; 15 U.S.C. 714b and 714c and 16 U.S.C. 3865-3865d.

OBJECTIVES:

To establish an agricultural conservation easement program for the conservation of eligible land and natural resources through easements or other interests in the land. The Agricultural Conservation Easement Program (ACEP) is comprised of two components Agricultural Land Easements (ALE) and Wetland Reserve Easements (WRE). The ALE component provides funding to eligible entities to purchase conservation easements to protect the agricultural use and future viability, and related conservation values of eligible land by limiting nonagricultural uses of that land; and to protect grazing uses and related conservation values by restoring and conserving eligible land. The WRE component provides funding to eligible landowners to purchase easements to restore, protect, and enhance wetlands on eligible land.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Under the ACEP Wetland Reserve Easements (ACEP-WRE) component eligible landowners may offer farmed wetlands, prior converted wetlands, wetlands farmed under natural condition, former or degraded wetlands on lands that have been used or are currently being used for the production of food and fiber, including cropland, rangeland and forest production land, lands substantially altered by flooding, certain riparian areas, along with certain adjacent areas. The goal of ACEP-WRE is to achieve the greatest wetlands functions and values, along with optimum wildlife habitat on every acre enrolled in the program. Enrollment options include permanent easements, 30-year easements, or easements for the maximum duration allowed under State law, and, for acreage owned by Indian Tribes, 30-year contracts. To be eligible for participation, land must be capable of being successfully and cost-effectively restored to wetlands and associated habitats. For easement projects, landowners shall ensure the easement is superior to the rights of all others and shall agree to implement a wetland restoration plan designed to restore and maintain the easement area. Landowners must agree to a permanent retirement of crop acreage bases, allotments, and quotas to the extent that the sum of the crop acreage bases and allotments will not exceed the remaining cropland on the farm.

Participating landowners receive financial assistance for the purchase of the easement and NRCS shall share the cost of carrying out the establishment conservation measures and practices, and the protection of wetland functions and values including necessary maintenance activities to the extent that the Secretary determines that cost-sharing is appropriate and in the public interest. NRCS provides financial and technical assistance to the landowner or a third party as follows:

Permanent easements: Easement duration is in perpetuity; landowners receive up to 100 percent of the cost of the easement. NRCS provides up to 100 percent of the cost for establishment and maintenance of conservation measures and practices.

30-year easements or maximum duration allowed by State law: Easement duration is 30 years or maximum duration allowed by State law; landowners receive the equivalent of 75 percent of the value for a permanent easement and NRCS provides up to 75 percent of the eligible restoration costs.

30-year contracts: Acreage owned by Indian Tribes can be enrolled through the use of a 30-year contract which shall be equivalent in value to a 30-year easement. NRCS provides up to 75 percent of the eligible restoration costs. States with an approved Exhibit E may enroll easements with reserved grazing rights. The applicable compensation provided to the landowner for these easements will be reduced by 25%.

For both permanent and 30-year easements, ACEP-WRE pays for all the related costs associated with acquiring and recording the easement including recording fees, charges for title abstracts, surveys, appraisal fees, records searches, and title insurance associated with acquiring an easement. These related costs are generally not paid to participants but are provided directly to the vendor performing the service. Therefore, payments appearing on USDA.spending.gov will be reflective of payments to participants and payments to vendors for services associated with restoration and management activities and administrative costs associated with acquiring and recording an easement.

The Agricultural Lands Easements (ALE) component provides funds to protect

the agricultural use, including grazing, and related conservation values of eligible land through cost-share assistance to eligible entities for purchasing agricultural land easements.

The Federal share for ACEP-ALE acquisition is limited to a maximum of 50 percent of the appraised fair market value of the agricultural land easement. For ACEP-ALE projects of special significance, as determined by the Chief, NRCS may waive a portion of the eligible entity cash contribution requirement, subject to an increase in the private landowner donation that is equal to the amount of the waiver, if the landowner donation is voluntary and the property is in active agricultural production. The Chief can determine lands as Grasslands of Special Environmental Significance which authorizes a federal share of up to 75 percent of the fair market value of the agricultural land easement. An agricultural land easement plan is required for all ACEP-ALE easements and a Grasslands Management Plan must be included with all lands enrolled as Grasslands of Special Environmental Significance. ACEP Agricultural Land Easements are perpetual easements or maximum duration allowed by State law. A right of enforcement is incorporated in the conservation easement deed for the protection of the Federal investment. A failure of title would require the eligible entity to reimburse the United States for the Federal share of the easement value.

Applicant Eligibility:

For ACEP-ALE an eligible entity that is an Indian Tribe, State government, local government, or a nongovernmental organization which has a farmland or grassland protection program that purchases agricultural land easements for the purpose of protecting agriculture use and related conservation values, including grazing uses and related conservation values, by limiting conversion to nonagricultural uses of the land, and that has pending offers may apply for funds. This program is available in all 50 States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Mariana Islands, and the Trust Territories of the Pacific Islands. Individual landowners must apply through the local agency or organization that handles the farmland or grassland protection program.

For ACEP-WRE, private landowners, including individual landowners, partnerships, associations, corporations, estates, trusts, and other business or legal entities, and Indian tribes are eligible to apply.

Beneficiary Eligibility:

All landowners applying for ACEP must be in compliance with the Wetland Compliance (WC) and Highly Erodible Land (HEL) provisions of the Farm Bill and, within the exception of FY2014, meet the Adjusted Gross Income (AGI) limitations in the Farm Bill.

For ACEP-ALE, applications are submitted by an eligible entity who will be the participant in the program.

For ACEP-WRE, only private landowners, partnerships, associations, corporations, estates, trusts, other business or legal entities and, Indian tribes may submit applications for easements.

Credentials/Documentation:

ACEP-WRE - Eligible applicants must be in compliance with the highly erodible land and wetland conservation provisions in 7 CFR part 12 and, except for FY 2014, the Adjusted Gross Income provisions in 7 CFR part 1400. They must be the landowner of the eligible land being offered for participation. For easement applications, the applicant must have owned the land for the 24 month period prior to the time the land is determined eligible for enrollment. Under limited circumstances a waiver of the 24 month ownership period may be granted from the Chief of NRCS.

ACEP-ALE - Eligible entities must provide documents indicating: 1) they have the relevant experience and resources to administer an ALE easement; 2) commitment to long-term conservation of agricultural lands, ranchlands or grassland for grazing or related conservation purposes through legal devices, such as right-to-farm laws, agricultural districts, zoning, or land use planning; 3) use of voluntary approaches to protect farmland from conversion to non-agricultural uses; and 4) their capability to acquire, manage, and enforce conservation easements. NRCS evaluates an entities capacity to acquire,

manage and enforce easements; its staffing and the ability of an entity to provide matching funds before entering into a cooperative agreement. If the eligible entity is a nongovernmental organization, they must be: 1) organized for, and at all times since, the formation of the organization and has been operated principally for one or more of the conservation purposes specified in clause (i), (ii), (iii), or (iv) of section 170(h)(4)(A) of the Internal Revenue Code of 1986; 2) an organization described in section 501(c)(3) of that Code that is exempt from taxation under 501(a) of that Code; and 3) described (i) In section 509(a)(1) and (2) of that Code, or (ii) Is described in section 509(a)(3) of that Code and is controlled by an organization described in section 509(a)(2) of that Code. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All ACEP applicants must submit required documentation as evidence of eligibility. The land itself is evaluated and ranked in accordance with eligibility requirements and ranking criteria developed by NRCS. A programmatic environmental analysis is completed. Individual project selection may be made primarily at the State level by responsible NRCS officials. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. ACEP-ALE - Applications are accepted on a continuous basis by the NRCS State Offices. Applicants are required to provide general information about the location of the project, contact information for the applicant, eligibility and ownership documentation, and designate the type of enrollment desired by the applicant (i.e. permanent easement, 30-year easement or maximum allowed by State law). Applications and supporting information requirements for the application can be obtained from the State Offices of the (NRCS) and USDA NRCS home page. See www.nrcs.usda.gov. Select Programs from the menu.

ACEP-WRE - Applications are accepted on a continuous basis by the NRCS State or local Field Offices. Applicants are required to provide general information about the location of the project, contact information for the applicant, eligibility and ownership documentation, and designate the type of enrollment desired by the applicant.

Award Procedure:

NRCS will rank parcels individually and award funding to the highest-ranked parcels based on ranking criteria established by NRCS in consultation with the State Technical Committee. The ranking factors are developed based on national and state criteria and priorities. NRCS conducts preliminary due diligence activities on the projects tentatively selected for funding and applicants are provided an offer of enrollment. Projects are enrolled in ACEP through agreements signed by NRCS and the eligible entity under ACEP-ALE or the landowner under ACEP-WRE.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Within a Federal fiscal year (October 1 - September 30), based on funding availability, States establish a single or multiple application batching periods for consideration of current year funding. Eligible entity or landowner applications may remain on sign-up list for subsequent funding consideration.

Appeals:

Applicants or participants may appeal certain determinations regarding this program in accordance with 7 CFR Part 614 and Parts 11 and 780.

Renewals:

The land offered may be re-offered in a future sign-up unless land or landowner is ineligible.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: ACEP-ALE NRCS may provide up to 50 percent of the fair market value of the agricultural land easement. An eligible entity will share in the cost of purchasing an agricultural land easement in an amount that is at least equivalent to the Federal share. An eligible entity may include as part

of its share a charitable donation or qualified conservation contribution (as defined by section 170(h) of the Internal Revenue Code of 1986) from the landowner if the eligible entity contributes its own cash resources in an amount that is at least 50 percent of the amount of the Federal share. NRCS may authorize a waiver to increase the federal share to 75 percent for grasslands of special environmental significance with respective adjustments to the eligible entity contribution. NRCS may also authorize a waiver of the eligible entity cash contribution requirement for projects of special significance. Each eligible entity is required to fund its own administrative costs in acquiring easements, such as appraisals, surveys, title searches, and costs incurred in managing and enforcing the easements.

ACEP-WRE - Matching Requirements: Lump sum payments for easements valued at \$500,000 or less and the Secretary may provide payments in not more than 10 annual payments. Easements valued at more than \$500,000 the Secretary may provide payments in at least 5, but no more than 10 annual payments, except that, if the Secretary determines it would further the purposes of the program, the Secretary may make a lump-sum payment for such an easement. Payments of up to 100 percent of the easement acquisition cost and cost of implementing the Wetland Restoration Plan will be paid for a permanent easement with up to 75 percent of permanent easement amounts being paid for 30-year easements, less-than perpetual easements limited by State law, and 30-year contracts. NRCS pays up to 100 percent of all related acquisition costs for all enrollment types.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

ACEP-ALE - Easements must be closed within 18 months of the end of the fiscal year in which the cooperative agreement is signed. Federal funds must be disbursed within 2 to 5 years after signing the cooperative agreement. Method of awarding/releasing assistance: lump sum. Easement duration is either perpetual, 30-year, or the maximum duration permitted by State law. Financial assistance for easement acquisition is provided at closing.

ACEP-WRE - Cash easement payments will be made in a lump sum amount, or in annual installments beginning at closing. Payments for implementation of restoration practices will be made when a specific practice has been implemented by either the landowner or third party and certified by NRCS. See the following for information on how assistance is awarded/released: Cash easement payments will be made in a lump sum amount, or in annual installments beginning at closing. See the following for information on how assistance is awarded/released: Cash easement payments will be made in a lump sum amount, or in annual installments beginning at closing.

Reports:

ACEP-ALE - Annual monitoring reports on the status of each easements acquired will be prepared by the eligible entity and submitted to the NRCS State Office. Fund disbursement reports are prepared by NRCS.

ACEP-WRE Annual monitoring reports on the status of each easement acquired will be prepared by NRCS in accordance with NRCS monitoring policy. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. 2 CFR 200 applies only to the program activities implemented through a Cooperative Agreement. Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

ACEP-WRE - Records will be maintained in the county NRCS office, State NRCS office and Federal Record Centers for the length of the agreement. The easement (deed restriction) and applicable documents will be filed in the local land records office for the duration of the easement. Agreements are filed with the Agency.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 16 \$102,833,000; FY 17 est \$185,680,000; and FY 18 est \$124,981,000. (Cooperative Agreements) FY 16 \$242,844,000; FY 17 est \$531,929,000; and FY 18 est \$107,769,000

Range and Average of Financial Assistance:

Under ACEP-ALE, cost-share provided by NRCS for the purchase of an agricultural land easement ranges from \$216 per acre for grassland easements to \$13,670 per acre for cropland easements. The average per acre cost-share amount provided by NRCS is \$2,790 per acre.

Under ACEP-WRE, easement compensation amounts provided directly to eligible landowners ranges from \$1,200 per acre to \$13,000 per acre, with an average cost of \$2,600 per acre.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACEP: 7 CFR 1468; Interim Final Rule, Volume 80, Number 39, February 27, 2015

The program is announced through news media and in letters to agricultural landowners in the county.

Regional or Local Office:

See Regional Agency Offices. Local USDA Service Centers can be found in the blue pages of the local telephone directory under the heading USDA Service Center or through the office locator assistance on the NRCS website found at www.nrcs.usda.gov. If no listing, contact the appropriate State NRCS office listed in the NRCS Section of Appendix IV of the Catalog.

Headquarters Office:

John Rissler 1400 Independence Ave, SW, Washington, District of Columbia 20250 Email: John.Rissler@wdc.usda.gov Phone: 202-720-3524

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/main/national/programs/easements/acep/>

RELATED PROGRAMS:

10.069 Conservation Reserve Program; 10.072 Wetlands Reserve Program; 10.904 Watershed Protection and Flood Prevention; 10.913 Farm and Ranch Lands Protection Program; 10.920 Grassland Reserve Program; 10.922 Healthy Forests Reserve Program (HFRP)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

ACEP-ALE - Criteria used for selecting applications include: Percent of prime, unique, and other important farmland in the parcel to be protected; Percent of cropland, rangeland, grassland, historic grassland, pastureland, or nonindustrial private forest land in the parcel to be protected; Ratio of the total acres of land in the parcel to be protected to average farm size in the county; Decrease in the percentage of acreage of farm and ranch land in the county in which the parcel is located; Percent population growth and density in the county; Existence of a farm or ranch succession plan or similar plan established to address farm viability; Proximity of the parcel to other protected land and to other agricultural operations and agricultural infrastructure; Maximizing the protection of contiguous acres devoted to agricultural use; Zoning; Eligible entity's performance; Geographic regions help achieve national, State, and regional conservation goals and objectives; Diversity of natural resources to be protected; Score in the land evaluation and site assessment system or equivalent measure for grassland enrollments; the parcels support of grazing operations; protection of grassland, land that contains forbs, and shrubland at the greatest risk from the threat of conversion to uses other than grazing; and plant and animal biodiversity.

ACEP-WRE - All offers are screened at both the local and State level to determine the acceptability of the application. Offers will be evaluated based on the environmental benefits and government expenditures on restoration and easement purchase and the requirement that wetland and wildlife functions and values benefits be maximized. Criteria for selecting applications include: The conservation benefits of obtaining an easement or other interest in the land; The cost effectiveness of each easement so as to maximize the environmental benefits per dollar expended; Whether the landowner or another person is offering to contribute financially to leverage Federal funds; The extent to which the purposes of this part would be achieved on the land; The productivity of the land; The on-farm and off-farm environmental threats if the land is used for the production of agricultural commodities; Obtaining permanent easements over shorter term easements; and Acquiring easements based on the value of the easement for protecting and enhancing habitat for migratory birds and other wildlife, in consultation with USFWS. NRCS, in consultation with the State Technical Committee, may place higher priority on certain land types or geographic regions of the State where restoration of wetlands may better achieve State and regional goals and objectives. Priority may also be given to land that is currently enrolled in CRP in a contract that is set to expire within one year from the date of application and is farmed wetland and adjoining land that has the highest wetland functions and values and is likely to return to production after the land leaves CRP.

10.932 REGIONAL CONSERVATION PARTNERSHIP PROGRAM (RCPP)**FEDERAL AGENCY:**

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985, as amended by Section 2401 of the Agricultural Act of 2014, Public Law 113-79., Title XII, Part Subtitle I, Public Law 99-198.

OBJECTIVES:

To use covered programs to further the conservation, restoration, and sustainable use of soil, water, wildlife, and related natural resources on eligible land on a regional or watershed scale. To meet or avoid the need for national, State, and local natural resource regulatory requirements related to production on eligible land. To encourage eligible partners to cooperate with producers in implementing projects that will result in the installation and maintenance of eligible activities that affect multiple agricultural or nonindustrial private forest operations on a local, regional, State or multi-state basis. To enter into agreements with partners who will identify conservation needs in an area, conduct conservation activities with producers and landowners to encourage them to participate in the covered programs through program contracts or easement agreements. To combine the authorities of four former conservation programs the Agricultural Water Enhancement Program, the Chesapeake Bay Watershed Program, the Cooperative Conservation Partnership Initiative and the Great Lakes Basin Program. Assistance is delivered in accordance with the rules of the Environmental Quality Incentives Program (EQIP), Conservation Stewardship Program (CSP), Agricultural Conservation Easement Program (ACEP), Healthy Forests Reserve Program (HFRP); and, in designated Critical Conservation Areas, the Watershed Operations and Flood Prevention Program.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Technical assistance is provided for conservation planning, design and implementation of conservation practices for eligible participants. Financial assistance is provided for implementation of structural, and land management practices. Cost-share payments may be made to implement one or more eligible structural or vegetative practices. Incentive payments can be made to implement one or more land management practices. Funding for RCPP is allocated to projects in three different fund pools: 40 percent of RCPP funding will go to national projects; 25 percent will go to state projects; and 35 percent will go to eight critical conservation areas (CCAs) designated by the Secretary of Agriculture.

Applicant Eligibility:

Eligible partners include advocate groups for conservation and those interested in assisting agricultural producers who face serious threats to soil, water, and

related natural resources, or who need assistance with complying with Federal and State environment laws.

Eligible partnering organizations include:

- An agricultural or silvicultural producer association or other group of producers;
- A State or unit of local government;
- An Indian Tribe;
- A farmer cooperative;
- A water district, irrigation district, rural water district or association, or other organization with specific water delivery authority to producers on agricultural land;
- A municipal water or wastewater treatment entity;
- An institution of higher education;
- An organization or entity with an established history of working cooperatively with producers on agricultural land;
- Conservation driven nongovernmental organizations.

Beneficiary Eligibility:

To be eligible the agricultural producers participating within an approved project area must be in compliance with highly erodible land and wetland conservation provisions and in compliance with the Adjusted Gross Income (AGI) payment limitations.

Conservation payments for the Conservation Stewardship Program are limited to a maximum payment limitation per producer of \$200,000 for the life of the 2014 Farm Bill. Conservation payments for the Environmental Quality Incentives Program are limited to a maximum payment limitation per producer of \$450,000 for the life of the 2014 Farm Bill.

Credentials/Documentation:

Entities must prove their eligibility by providing documents indicating their legal status and agree to provide such information to NRCS as the agency deems necessary or desirable to assist in its determination of eligibility for program benefits and for other program implementation purposes. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Under RCPP, the NRCS enters into partnership agreements with eligible entities and organizations that want to promote ground and surface water conservation or improve water quality on agricultural lands. After the Chief has announced approved RCPP project areas, eligible agricultural producers may submit a program application to NRCS. Each fiscal year, NRCS may make RCPP financial and technical assistance available to eligible owners and operators of agricultural lands who participate in approved RCPP project areas. Partners may assist NRCS by identifying producers in the project area. Partners may also assist producers in submitting applications for the program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For each program year the Natural Resources Conservation Service issues an announcement of program funding (APF) through the Grants.gov website. Application procedures are outlined in the APF.

Award Procedure:

For each program year the Natural Resources Conservation Service issues an announcement of program funding (APF) through the Grants.gov website. Application procedures are outlined in the APF.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For each program year the Natural Resources Conservation Service issues an announcement of program funding (APF) through Grants.gov website. Application deadlines are outlined in the APF.

Appeals:

A participant may not appeal any adverse determination to the Chief of the Natural Resources Conservation Service. The agency decision for development and submission of a full proposal is final and there is no appeal process, or opportunity for reconsideration. This is included in the APF.

Renewals:

A partnership agreement shall not exceed five years. The Secretary of Agriculture may extend a partnership agreement one time for up to 12 months.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. However, an eligible partner is expected to provide a significant portion of the overall costs of the scope of the project that is the subject of the agreement, and the Secretary can give priority to applications that significantly leverage non-Federal financial and technical resources.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Eligible partners enter into multi-year partnership agreements, not to exceed five years, with NRCS to promote conservation and address resource concerns on eligible agricultural lands. RCPP is intended to leverage investment in natural resources conservation along with services and non-Federal resources of other eligible partners. Method of awarding/releasing assistance: lump sum.

Reports:

NRCS is required to report to the Secretary and Congress, the following items for each project. Partners will be required to provide input on these items and submit reports to NRCS as established in the partner agreement no later than November 30, 2015, and every year thereafter. Items provided by NRCS are noted below. Partners will be responsible for items not noted as provided by NRCS.

1. The number and types of eligible partners, landowners, and producers participating in the partnership agreements selected (provided by NRCS except in the case of alternative funding arrangements whereby the partner must provide this information).
2. The number of producers and landowners receiving assistance (provided by NRCS).
3. The number of new producers and landowners reached (i.e., those who have not previously participated in NRCS programs) and if any beginning farmers or ranchers, limited resource farmers or ranchers, socially disadvantaged farmers or ranchers, eligible veterans farmers and ranchers, or Indian Tribes received assistance.
4. Total funding committed to projects, including from Federal and non-Federal resources (Federal funding resources total provided by NRCS).
5. A description of how the funds are being administered under any alternative funding arrangement including:
 - a. Any oversight mechanisms that NRCS or partner has implemented,
 - b. The process through which NRCS or partner is resolving appeals by program participants, and
 - c. The means by which NRCS or partner is tracking adherence to any applicable provisions for payment eligibility.

In addition, on an annual basis, partners will be required to provide updates on the activities conducted to date; a status of the accomplishment of goals established in the project application, including whether and why goals have been adjusted; any proposed adjustments to funding requested from NRCS; and other items as required in the partnership agreement. Requirements for the final report will be established in the partnership agreement and will include a description of the goals and outcomes accomplished. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Natural Resources Conservation Service makes periodic random reviews of the operation and maintenance of

the contract items during the life span of the conservation practice. Participants are subject to audit by the Office of Inspector General, USDA.

Records:

Partnership Agreement copies are maintained in National Headquarters, Washington, DC and official Partnership Agreements are maintained in State and producer contracts and agreements in the local NRCS office and Federal record centers for specified number of years.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 16 \$34,646,000; FY 17 est \$157,722,000; and FY 18 est \$25,800,000. (Cooperative Agreements) FY 16 \$24,507,000; FY 17 est \$112,604,000; and FY 18 est \$67,300,000

Range and Average of Financial Assistance:

There is no minimum amount and the maximum amount for any fiscal year will be established by the Chief of NRCS.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Announcement of Program Funding (APF) are announced in the Grants.gov website. Related program manuals, handbooks and leaflets are issued by NRCS.

Regional or Local Office:

See Regional Agency Offices. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. For a list of NRCS State offices with telephone numbers and addresses, see appropriate Appendix IV of the Catalog. Information is available on the internet at <http://www.nrcs.usda.gov/wps/portal/nrcs/main/national/programs/farmbill/rcp>

Headquarters Office:

Maggie Rhodes Natural Resources Conservation Service
1400 Independence Ave. SW; Room 5237-S, Washington, District of Columbia
20250 Email: maggie.rhodes@wdc.usda.gov Phone: 202-720-1986 Fax:
202-720-4265

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/main/national/programs/farmbill/rcp>

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention; 10.912 Environmental Quality Incentives Program; 10.922 Healthy Forests Reserve Program (HFRP); 10.924 Conservation Stewardship Program; 10.931 Agricultural Conservation Easement Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated against the following four standards: Solutions 25 percent, Contributions 25 percent, Innovation 25 percent and Participation 25 percent.

10.933 WETLANDS MITIGATION BANKING PROGRAM

Wetlands Mitigation Banking Program

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

The Wetland Mitigation Banking Program is authorized by section 1222(k) of the Food Security Act of 1985, as amended by section 2609 of the Agricultural Act of 2014., 16 U.S.C 3822(k).

OBJECTIVES:

The Wetlands Mitigation Banking Program is used to help establish wetland

mitigation banks to help producers meet their wetland conservation compliance responsibilities needed to maintain eligibility for many USDA programs administered by the FSA and NRCS, and to maintain eligibility for the Federal crop insurance premium subsidy administered by the Risk Management Agency.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

NRCS will seek applications to establish wetlands mitigation banks for agriculture producers. The intent of the program is for qualified third-party wetlands bankers to operate and manage all aspects of a wetlands mitigation bank, with oversight by NRCS. Specific funding uses and restrictions will be identified in an agreement between NRCS and those selected to receive the funding. Mitigation banks established through this program are for the explicit and sole purpose of assisting agricultural producers with wetland conservation compliance requirements found in 7 CFR Part 12 (wetland conservation compliance is also referred to as Swampbuster compliance). Loss of wetland functions and lost wetland acres of agricultural wetlands associated with an active farming operation may be mitigated through the Wetlands Mitigation Banking Program. The targeted wetlands may be seasonal or occasionally cropped during years of low precipitation. Wetlands that fall under jurisdiction of the Clean Water Act are not eligible for mitigation through this program.

Applicant Eligibility:

Eligible entities that may submit applications include Tribal Nations, State, and local units of government, and nongovernmental organizations (NGOs), including for profit NGOs. NRCS will accept proposals for the development and establishment of mitigation banks submitted under this notice by eligible applicants from all 50 States, the District of Columbia, the Caribbean Area (Puerto Rico and the U.S. Virgin Islands), and the Pacific Islands Area (Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands). Applications will also be accepted from existing banks with established credits that meet the described needs of the program.

Beneficiary Eligibility:

Mitigation banks established through this program are for the explicit and sole purpose of assisting agricultural producers with wetland conservation compliance, also known as Swampbuster compliance.

Credentials/Documentation:

Applications must contain the content, format, and information set forth in the Announcement for Program Funding to receive consideration. Selected applications will enter into individual agreements with NRCS. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The following items must be obtained prior to entering into an agreement with the Federal Government but do not need to be provided in the proposal. Applicants are encouraged to apply early for their Data Universal Numbering System (DUNS)/System for Award Management (SAM) number.

Award Procedure:

NRCS will process and evaluate applications using the following process: An interagency technical team, including NRCS staff, will screen applications for completeness and compliance with the announcement for funding. Incomplete and noncompliant applications will be eliminated from competition. NRCS technical staff will evaluate and rank complete applications. The NRCS Chief will make the final award decisions.

Awardees will subsequently work with NRCS to develop a mitigation banking instrument which will provide full details for development, establishment, and operation of a mitigation banking program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

No appeal rights.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

NRCS will use a project agreement with selected applicants to document participation in the USDA Wetlands Mitigation Banking Program. The project agreement will specify the period of performance during which the funding will be available. Method of awarding/releasing assistance: lump sum.

Reports:

Within 45 calendar days after the end of each Federal fiscal quarter, the SF-425 must be submitted by mail to the NRCS administrative contact. The grantee will submit a final SF-425 no later than 90 calendar days after the grant agreement completion date and promptly return any unobligated Federal cash advances. An SF-425 federal financial report form is required to be completed and submitted annually no later than November 15th. The following reporting items are required: A Detailed bank accounting report and progress documenting all debits, credits and transfers that occurred with the bank, a financial report showing all authorized transactions associated with the banking instrument, and a bank monitoring report detailing how the compensatory mitigation project is progressing towards meeting its performance standards. These items are required annually, no later than November 15th. Selected applicants will be required to submit an SF-425, Federal Financial Report, to the NRCS administrative contact, no later than 45 days after the end of each quarter and 90 days after completion of project. A monitoring report detailing how the compensatory mitigation project is progressing towards meeting its performance standards is required annually no later than November 15th.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 16 \$158,000; FY 17 est \$977,000; and FY 18 est \$0. (Cooperative Agreements) FY 16 \$0; FY 17 est \$8,790,000; and FY 18 est \$0

Range and Average of Financial Assistance:

This is a one-time direct appropriation provided in FY 2014, and the funds are available until expended. Up to \$9 million will be available for awards.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Shaun Vickers 8000 South 15th Street, Lincoln, Nebraska 68508 Email: shaun.vickers@wdc.usda.gov Phone: (402) 560-1309

Website Address:

<https://www.nrcs.usda.gov/wps/portal/nrcs/detail/national/programs/farbill/?cid=nrceprd362686>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.950 AGRICULTURAL STATISTICS REPORTS

(Agricultural Estimates)

FEDERAL AGENCY:

National Agricultural Statistics Service, Department of Agriculture

AUTHORIZATION:

5 U.S.C. 3109; 7 U.S.C. 411, 411a, 411b, 427, 471, 475, 476, 501, 951, 953, 955-957; Agricultural Marketing Act of 1946: 7 U.S.C. 1621-1623, 1623a, 1624-1627; Census of Agriculture Act of 1997: Public Law 105-113, 7 U.S.C. 2201, 2202, 2204g, 2225, 2248, 3103, 3311, 3504; 13 U.S.C. 142a, 142b; 18 U.S.C. 1902, 1905, 2072; 42 U.S.C. 1891-1893; 44 U.S.C. 3501-3511; 50 U.S.C. 2061 et seq, 2251 et seq.

OBJECTIVES:

The mission of the National Agricultural Statistics Agency (NASS) is to provide timely, accurate, and useful statistics in service to the U.S. agriculture economy. The statistical data provided by NASS is essential to the public and private sectors for making effective policy, production, and marketing decisions on a wide range of agricultural commodities. The statistical data provided in NASS studies and reports is necessary to maintain an objective source to ensure commodity markets operate fairly and efficiently. Every 5 years the Census of Agriculture provides comprehensive national, State, and county data as well as selected data for Puerto Rico, Guam, the U.S. Virgin Islands, and Northern Mariana Islands.

Formulate, develop, and administer programs for collecting and publishing statistics related to agriculture, resources, and rural communities.

Assist rural communities to create prosperity so they are self sustaining, repopulating and economically thriving.

Ensure our national forests and private working lands are conserved, restored, and made more resilient to climate change, while enhancing our water resources. To ensure that all of America's children have access to safe, nutritious and balanced meals.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Copies of publications of estimates and reports of production, supply, price and other aspects of the agricultural economy are available to anyone without restriction. Publications are provided free to survey respondents, press, Congress, and, many current publications and all data products are also available for free on the NASS Internet World Wide Website: <http://www.nass.usda.gov>. Other publications are available to all others on a subscription basis.

Applicant Eligibility:

Farmers and agricultural producers, marketing and processing groups, transportation and handler groups, consumers, state governments, educational institutions, and the general public including those located in the U.S. Territories.

The type of assistance NASS provides is:

Dissemination of Technical Information: specifically Agricultural Statistics.

Beneficiary Eligibility:

Farmers and agricultural producers, marketing and processing groups, transportation and handler groups, consumers, state governments, educational institutions, and the general public including those located in the U.S. Territories. The type of assistance NASS provides is: Dissemination of Technical Information: specifically Agricultural Statistics.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The type of assistance NASS offers is: Dissemination of Technical Information in the form of agricultural statistics. Request for technical information of agricultural statistics only, may be made to the headquarters office listed below. Also for Agricultural Statistics and Census of Agriculture go to the NASS website:
<http://www.nass.usda.gov/>

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: National Agricultural Statistics Service (NASS) assistance type is disseminates of technical information, i.e., agricultural statistics and Census of Agriculture. See the NASS website: <http://www.nass.usda.gov/>.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

12-1801-0-1-352.

Obligations:

(Dissemination of Technical Information) FY 16 \$179,472,000; FY 17 est \$171,239,000; and FY 18 est \$185,677,000 - FY 2016 Actual funds include recovery over the estimated funds.

FY 2017 Enacted funds were less than the FY 2017 President's Budget.

Range and Average of Financial Assistance:

Not applicable. USDA National Agricultural Statistics Service (NASS) does not award grants. The federal domestic assistance type NASS provides is: Dissemination of Technical Information: Agricultural Statistics and the Census of Agriculture.

TAFS Codes:

12-1801.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In December 2016, NASS published the results from the Local Foods Survey. This was the first publication of data looking into the marketing channels utilized by producers to market their products locally. Data provided insights into four marketing channels; direct to customer, direct to retailer, direct to institutions, and sales to intermediate markets. In January 2016, NASS began a new survey to collect data to measure the cost of honey bee pollination to crop producers. The January survey collected data for 2015, and a second survey in November collected data for 2016. A publication for both years was released in December 2016. In May 2016, the first Honey Bee Colonies report was released, which included colony loss information along with colony health stressors. In April 2016, NASS released the Floriculture Crops, 2015 Summary. This release contains production, price, and whole value information for the 2015 crop year. Fiscal Year 2017: NASS will conduct the vital Federal Principle Economic Indicators at the core level. NASS will continue to respond to stakeholders to provide critical market sensitive data needs as they arise. Final planning year for the 2017 Census of Agriculture. Fiscal Year 2018: Fiscal Year 2018 marks the fourth year in the five-year funding cycle for conducting the 2017 Census of Agriculture (COA). The COA is conducted every five years to obtain agricultural statistics for each County, State, and the Nation.

REGULATIONS, GUIDELINES, AND LITERATURE:

Agricultural Statistics Board catalog (annual listing of reports available by subscription issued by Agricultural Statistics Board), no charge.

Regional or Local Office:

See Regional Agency Offices. Consult Appendix IV for addresses of National Agricultural Statistics Service Regional Statistical Offices.

Headquarters Office:

Ann Johnson 1400 INDEPENDENCE AVENUE, S.W.,
South Agriculture Building, Room 5041A, WASHINGTON, District of
Columbia 20250 Email: ann.johnson@nass.usda.gov Phone: (202)690-0919
Fax: 202-720-9013

Website Address:

<http://www.nass.usda.gov>

RELATED PROGRAMS:

10.153 Market News; 10.250 Agricultural and Rural Economic Research; 10.700 National Agricultural Library; 17.003 Prices and Cost of Living Data

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.960 TECHNICAL AGRICULTURAL ASSISTANCE

International Capacity Building and Development Assistance Programs

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, Title XIV, Part I, Section 1458, Public Law 95-113, 91 Stat. 1015, 7 U.S.C 3291; Food Security Act of 1985, as amended, Title XIV, Part A, Section 1426, Public Law 99-198, 99 Stat. 1553, 7 U.S.C 3319a.

OBJECTIVES:

To identify international agricultural issues and problems. To apply the most appropriate solutions. To increase the capabilities of U.S. educational institutions and nonprofit agencies in agricultural technical assistance and research.

TYPES OF ASSISTANCE:

Cooperative Agreements; Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Each Notice of Funding Opportunity will describe objectives for funding available under that notice.

Applicant Eligibility:

Institutions of higher education, state cooperative institutions, non-profit organizations, and public international organizations.

Beneficiary Eligibility:

Technical assistance provided through these agreements generally benefits agricultural institutions in specified locations, or supports programming that does so.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notices of Funding Opportunity will be distributed through the USDA/NIFA Office of International Programs and on the USDA ezFedGrants portal at <https://grants.fms.usda.gov>. They will not be posted on grants.gov.

Award Procedure:

Proposals are evaluated by a technical review panel, which rates the qualifications of applicants and the merit of proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Not available.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is phased according to the requirements of the individual projects. The anticipated length of each award is described in the corresponding Notice of Funding Opportunity. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. No cash reports are required. Periodic progress reports are required. Periodic financial reports are required. A Performance Monitoring and Evaluation plan may be required with the application proposal.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, performance reports and supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of 3 years from the date of submission of the final expenditure report.

Account Identification:

12-2900-0-1-352.

Obligations:

(Cooperative Agreements) FY 16 \$23,408,866; FY 17 est \$25,757,319; and FY 18 est \$24,200,000

Range and Average of Financial Assistance:

\$10,000 - \$2,000,000.

TAFS Codes:

12-2900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Lawrence Trouba 1400 Independence Ave. SW, Rm 3016-S, Stop 1033, Washington, District of Columbia 20250 Email: lawrence.trouba@fas.usda.gov Phone: 202-720-5337

Website Address:

<http://www.fas.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities determined by the Foreign Agricultural Service's Office of Capacity Building and Development (FAS/OCBD) program area and its collaborators, especially the U.S. Agency for International Development (USAID), Department of State (DOS) and Department of Defense (DOD). Contact FAS/OCBD for additional information.

10.961 SCIENTIFIC COOPERATION AND RESEARCH

Scientific Cooperation Research Program (SCRP)

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, Title XIV, Part I, Section 1458, Public Law 95-113, 91 Stat. 1015, 7 U.S.C 3291.

OBJECTIVES:

To leverage resources with domestic and international counterparts in order to advance cooperative research, education, and extension in agriculture.

TYPES OF ASSISTANCE:

Cooperative Agreements; DIRECT PAYMENTS FOR A SPECIFIED USE
USES AND USE RESTRICTIONS:

Funds under the Scientific Cooperation Research Program may be used for direct costs of conducting collaborative research projects with counterpart institutions in eligible countries. Allowable expenses include travel for research, extension, and training purposes, supplies, equipment, and indirect costs necessary to implement the research projects. Funds may not be used to pay for tuition, sabbaticals, or expenses solely for conferences. Funds may not be used to purchase general equipment whose use on this program is incidental.

Applicant Eligibility:

Institutions of higher education in the United States, including state cooperative institutions.

Beneficiary Eligibility:

Beneficiaries will be specified in the Notice of Funding Opportunities, and are generally nationals of an eligible beneficiary country.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost

Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notices of Funding Opportunity will be made available through the USDA/NIFA Office of International Programs and through the USDA ezFedGrants portal at <https://grants.fms.usda.gov>. Notices will not be posted on grants.gov.

Award Procedure:

All proposals are evaluated by technical specialists, which rate the qualifications of applicants and merits of the proposals. Selection criteria will be published in the Notification of Funding Opportunity.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Extensions may be authorized, but the agreement may not exceed five years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements are entered into with select institutions for a period of 2-5 years, depending on the nature of the program. Method of awarding/releasing assistance: quarterly.

Reports:

Periodic program reports are required. No cash reports are required. Periodic performance progress reports are required. Periodic financial reports are required. Participants must include a monitoring and evaluation component in the proposal, which is appropriate for the nature of the proposal.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipient must maintain all program and financial records for a period of 3 years after project closeout.

Account Identification:

12-2900-0-1-352.

Obligations:

(Cooperative Agreements) FY 16 \$240,000; FY 17 est \$280,000; and FY 18 est \$280,000

Range and Average of Financial Assistance:

Research projects from two to five years. Individual projects may not exceed \$40,000 of program funding.

TAFS Codes:

12-2900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Timothy Sheehan 1400 Independence Ave. SW #3226, Stop 1030, Washington, District of Columbia 20250 Email: tim.sheehan@fas.usda.gov Phone: 202-690-1940

Website Address:

<http://www.fas.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Office of Capacity Building and Development program area (FAS/OCBD) in consultation with collaborating agencies and organizations. Contact FAS/OCBD for additional information. While this program does not fund unsolicited proposals, interested parties are welcome to submit statements of capability and expressions of interest.

10.962 COCHRAN FELLOWSHIP PROGRAM-INTERNATIONAL TRAINING-FOREIGN PARTICIPANT

Cochran Fellowship Program

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

Agriculture Development and Trade Act of 1990, Title XV, Part D, Section 1543, Public Law 101-624, 104 Stat. 3694, 7 U.S.C 3293, as amended by Freedom for Russia and Emerging Eurasian Democracies and Open Markets Support Act of 1992, Title VII, Section 705, Public Law 102-511, 106 Stat. 3349, 7 U.S.C 3293, as amended by Food, Agriculture, Conservation, and Trade Act Amendments of 1991, Title X, Section 1002, Public Law 102-237, 105 Stat. 1894, 7 U.S.C 3293, as amended by Federal Agriculture Improvement and Reform Act of 1996, Title II, Part C, Section 277, Public Law 104-127, 110 Stat. 978, 7 U.S.C 3293.

OBJECTIVES:

To provide quality training resulting in knowledge and skills that will assist eligible countries in meeting the food security needs of their domestic populations; and to strengthen and enhance trade linkages between eligible countries and agricultural businesses in the United States.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

No funding is available for unsolicited proposals. Cochran Program funds are used for providing training to international exchange visitors to improve agricultural production in their home countries. Funds are also used for course development and evaluation.

Applicant Eligibility:

The Cochran Program solicits proposals for training in improved agriculture technicians from U.S. institutions of higher education, nonprofit organizations, U.S. agricultural trade and market development associations, and private consultants.

Beneficiary Eligibility:

Technical assistance provided through these agreements benefits foreign governments and agricultural institutions in their countries.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notices of Funding Opportunity will be distributed through the USDA/NIFA Office of International Programs and on the USDA ezFedGrants portal at <https://grants.fms.usda.gov>. They will not be posted on grants.gov.

Award Procedure:

All proposals are evaluated by technical specialists, which rate the qualifications of applicants and the merits of the proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Extensions may be authorized.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is phased according to the requirements of individual projects, generally up to one year. Method of awarding/releasing assistance: quarterly.

Reports:

A final program report is required as described in the Notice of Funding Opportunity. Cash reports are not applicable. Periodic progress reports are required. Periodic financial reports are required. Training evaluation reports are required. Participant evaluations and development of post-training action plans are required.

Audits:

No audits are required for this program.

Records:

Awardees must keep all documentation on file for at least 3 years after the closure of the agreement.

Account Identification:

12-2900-0-1-352.

Obligations:

(Direct Payments for Specified Use) FY 16 \$4,078,009; FY 17 est \$3,440,000; and FY 18 est \$3,870,000

Range and Average of Financial Assistance:

Training activities last approximately two weeks. Average cost of each project is \$60,000.

TAFS Codes:

12-2900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Desiree Thomas 1400 Independence Ave. SW, Washington, District of Columbia 20250-1031 Email: desiree.thomas@fas.usda.gov Phone: 202-690-0947

Website Address:

<https://www.fas.usda.gov/programs/cochran-fellowship-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Office of Capacity Building and Development (FAS/OCBD), in consultation with collaborating agencies and organizations. Contact FAS/OCBD for additional information. While this program does not fund unsolicited proposals, interested parties are welcome to submit statements of capability and expressions of interest.

DEPARTMENT OF COMMERCE

11.008 NOAA MISSION-RELATED EDUCATION AWARDS

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

America Creating Opportunities to Meaningfully Promote Excellence in Technology, Education, and Science Reauthorization Act of 2010 ("America COMPETES Reauthorization Act of 2010"), 33 U.S.C 893 a.

OBJECTIVES:

The objectives of the overall program are to facilitate educational activities related to NOAA's mission.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

To provide funds to support educational activities and studies related to NOAA's mission. Funds may not be used for construction of facilities. Individual Federal Funding Opportunities may have additional restrictions on the use of funds, other than those mandated for grants and cooperative agreements. Awards are primarily funded through annual appropriations to the Office of Education, but may also be funded through other agency programs and offices at their discretion.

Applicant Eligibility:

Eligible applicants include federal, state, and local governments, tribal entities, universities and colleges, nonprofit and for-profit organizations and/or individuals. Individual Federal Funding Opportunities may have more restrictive eligibility requirements.

Beneficiary Eligibility:

This program benefits other Federal, state, and local governments, tribal entities, public and private universities and colleges, for-profit and nonprofit organizations, and the general public. Individual Federal Funding Opportunities may have more restrictive eligibility requirements.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including standard and special award conditions for financial assistance. Recipients are required to use the System for Award Management Registration (formerly Central Contractor Registration) and Dun and Bradstreet Universal Numbering System and be subject to reporting requirements, as identified in OMB guidance published at 2 C.F.R Parts 25 and 170. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications, and in

some cases preliminary applications, are submitted through Grants.gov. Applicants will need to enter the Funding Opportunity and/or the CFDA number to access the applications package and instructions. Application package will then be submitted directly to the Program Office. This program is subject to 2 C.F.R. Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance). For guidelines, see the specific funding opportunity.

Award Procedure:

Applications will undergo an administrative review and a technical review based on NOAA standardized evaluation criteria. For each project recommended for funding under this program, NOAA must analyze the potential environmental impacts of projects as required under the provisions of the National Environmental Policy Act, 40 C.F.R. 1500 through 1508. See the funding opportunity announcement for further NEPA guidance. NOAA reserves the right to withhold the awarding of a grant or cooperative agreement to any individual or organization delinquent on a debt to the Federal government until payment is made or satisfactory arrangements are made with the agency to which the debt is owed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Approval time is expected to range from 180 to 240 days from the time of receipt of a complete application. This timeframe includes processing the award through NOAA and DOC financial assistance functions.

Appeals:

Not Applicable.

Renewals:

Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Commerce/NOAA and contingent upon satisfactory performance and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to five years. The funding is spent during the award's period of performance unless otherwise negotiated between the recipient and NOAA and approved by the NOAA Grants Management Division. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are due in accordance with the terms and conditions of the award. The Department's Financial Assistance Standard Terms and Conditions generally require that financial reports are submitted semi-annually or as otherwise required under special award conditions. Reports will be submitted through NOAA's Grants Online System. Programmatic progress reports are due in accordance with the terms and conditions of the award. The Department's Financial Assistance Standard Terms and Conditions generally require that progress reports are submitted semi-annually or as otherwise required under special award conditions. Programmatic progress reports summarize work accomplished, relate the progress to the original approved application and timeliness, explain situations where expected work has not been completed, and request modifications to the project plan as necessary. Reports will be submitted through NOAA's Grants Online System. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub-grantees are required to be maintained in accordance with the terms of the award. The recipient must retain records for 3 years from the date with the final financial status report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$4,056,508; FY 17 est \$3,929,779; and FY 18 est \$0 - The Administration's Fiscal Year 2018 request was zero, but available funding may be higher depending on the outcome of FY2018 Congressional appropriations.

Range and Average of Financial Assistance:

Awards typically range from \$100,000 to \$1,500,000 under the competitive process, with an average award amount of approximately \$500,000.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The following audiences and institution types were served by NOAAs mission-related educational awards in FY 2016; cumulative numbers of learners are approximate: 4 million Pre-K to 12 students; 13,000 formal and informal educators; 45 million adult learners in informal science education contexts; more than 150 institutions advanced NOAAs mission to enhance awareness and understanding of Earth systems science through NOAA ELP-supported formal (K-12) and informal education initiatives that both inspire and prepare people to make the best social, economic, and environmental decisions. Fiscal Year 2017: Projects supported in FY 2017 will help communities build the environmental literacy necessary for resilience to extreme weather events and other environmental hazards. Communities have made clear their need for this type of project. The 2016 competition, out of which the 2017 awards were funded, received 170 proposals from 40 states, the District of Columbia, and 3 U.S. Territories (including Puerto Rico), with a request of more than \$77 million. Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Commerce Grants and Cooperative Agreements Regulations. Allowable cost will be determined in accordance with 2 C.F.R Part 200 (Uniform Guidance). Financial assistance management will be in accordance with 2 C.F.R Part 200 (Uniform Guidance). For programmatic information on past and current funding opportunities, visit <http://www.noaa.gov/office-education/grants>.

Regional or Local Office:

None.

Headquarters Office:

Office of Education HCHB 6863
1401 Constitution Avenue, NW, Washington, District of Columbia 20230
Email: oed.grants@noaa.gov Phone: 202-482-3384

Website Address:

<http://www.noaa.gov/office-education/grants>

RELATED PROGRAMS:

11,481 Educational Partnership Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Five new awards were funded through the Environmental Literacy Program (ELP). These ELP awards will inform, educate, and inspire educators, students, and the public to use Earth systems science to improve ecosystem stewardship and increase resilience to environmental hazards. Education plays a critical role in achieving NOAAs mission and vision. To make the best social, economic, and environmental decisions, individuals should have the ability to understand scientific processes, consider uncertainty, and reason about the ways human and natural systems interact. These awards support formal and informal education projects that cultivate these skill sets among diverse audiences. Additionally, 6 awards initiated in prior years were provided with their next annual increment of funds. Fiscal Year 2017: We anticipate funding at least 3 new awards that will help communities build the

environmental literacy necessary for resilience to extreme weather events and other environmental hazards. Additionally 12 awards initiated in previous fiscal years will be provided their next increment of funding. Fiscal Year 2018: New funding opportunities have not yet been defined.

CRITERIA FOR SELECTING PROPOSALS:

Applications will undergo an administrative review and a technical merit review based on NOAA standardized evaluation criteria. NOAA standardized selection factors may also be used in making the final selection.

11.011 OCEAN EXPLORATION

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Omnibus Public Lands Management Act of 2009, Title XII, Public Law 111-11.

OBJECTIVES:

To explore the Earths largely unknown oceans in all their dimensions for the purpose of discovery and the advancement of knowledge, using state of the art technologies in evolutionary and revolutionary ways within Oceanic and Atmospheric Research (OAR).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Cooperative agreements and grants may be used for research and development, education and training, and advisory services. They may also be used for operational systems as they relate to specific programs. Specific restrictions, other than those mandated for grants and cooperative agreements are evaluated on an individual program basis.

Applicant Eligibility:

As stated in NOAA special announcements or applicable reports in support of NOAA's mission.

Beneficiary Eligibility:

Organizations and individuals with interests in support of managing effectively the Nation's ocean exploration resources.

Credentials/Documentation:

Proposals with statements of work and estimated budgets. Costs will be determined in accordance with applicable OMB Circulars. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and external reviews, if applicable, taking into consideration primarily scientific merit and application to the goals of NOAA and the Office of Oceans and Atmospheric Research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range varies from 90 to 180 days from the time of proposal submission to NOAA.

Appeals:

Not Applicable.

Renewals:

Renewals are conducted by negotiation with the OAR/OER and subject to availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Assistance is available one to three years based on availability of funding. Restrictions are contained in the DOC Grants and Cooperative Agreements Manual. See the following for information on how assistance is awarded/released: For those organizations not having ASAP capability, direct deposit to banking institution is allowed.

Reports:

Progress reports require program information. Required every six months. Progress interim reports every six months and a final report at the end of the award period. Required as part of the interim and final progress reports. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are expected to maintain complete records for each grant and/or cooperative agreement to ensure funds are used for the purpose for which the award was made.

Account Identification:

13-1450-0-1-306.

Obligations:

(Project Grants) FY 16 \$1,775,174; FY 17 est \$3,828,322; and FY 18 est \$3,500,000

Range and Average of Financial Assistance:

Grants and cooperative agreements are awarded as applicable. For example, the Ocean Exploration Science Program sponsors competitive, peer-reviewed exploration projects to collaborate with and augment NOAA intramural research programs.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

NOAA Grants and Cooperative Agreements Policy Manual. Allowable cost will be determined in accordance with 2 CFR 225 for State and local governments and Indian Tribes; 2 CFR 230 for nonprofit and for-profit organizations; 2 CFR 220 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

See Regional Agency Offices. Satellite Offices in Narragansette, Rhode Island; Charleston, South Carolina; Seattle, Washington.

Headquarters Office:

Director 1315 East-West Highway, Room 10210, Silver Spring, Maryland 20910 Phone: (301) 734-1010

Website Address:

<http://www.explorer.noaa.gov>

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.419 Coastal Zone Management Administration Awards; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.431 Climate and Atmospheric Research; 11.432 Office of Oceanic and

Atmospheric Research (OAR) Joint and Cooperative Institutes

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and external reviews, if applicable, taking into consideration primarily scientific merit and application to the goals of NOAA and the Office of Oceans and Atmospheric Research.

11.012 INTEGRATED OCEAN OBSERVING SYSTEM (IOOS)

IOOS

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Integrated Coastal and Ocean Observation System Act of 2009, 33 U.S.C 3601-3610., 33 U.S.C 3601-3610.

OBJECTIVES:

To support projects aimed at the development and sustainability of a national and international integrated ocean observing system designed to collect, monitor and disseminate marine environment data in an interoperable, reliable, timely and user-specified manner by leveraging federal, regional and private-sector partnerships.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

IOOS is working to link national and regional observations, data management and modeling to provide required data and information on local to global scales. Assistance will be used to deliver the data and information needed to increase understanding of our oceans, coasts and great lakes, so decision makers can take action to improve safety, enhance the economy, and protect the environment. Uses will be in the following areas (1) Observations; (2) Data Management; (3) Modeling; (4) Research and Development; and (5) Education and Outreach.

Applicant Eligibility:

Organizations and individuals with professional expertise in the sciences, engineering and economics that demonstrate how participation in the IOOS effort builds on and furthers the progress of observing system development funded by this agency.

Beneficiary Eligibility:

Organizations and individuals with professional expertise in the sciences, engineering and economics that demonstrate how participation in the IOOS effort builds on and furthers the progress of observing system development funded by this agency.

Credentials/Documentation:

Proposals with statements of work and estimated budgets. Costs will be determined in accordance with 2 CFR 200, Subpart E Cost Principles. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review." If 'No' is selected: "This program is excluded from coverage under E.O. 12372. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Awards are made on the basis of proposals evaluations, technical reviews and administrative reviews, if applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 210 to 240 days after the proposal submission deadline.

Appeals:

N/A.

Renewals:

Extension requests will be considered by the federal program office only if the recipient has submitted all required performance reports. At least sixty days prior to the end of the award, recipients must discuss with their federal program officer expected reprogramming and extensions requests. After preliminary negotiation on proposed projects and extensions, formal written reprogramming and extension requests should be received by the federal program office 45 days prior to the end date of the award. The federal program office will not recommend approval for any retroactive extension request (received after the end date of the award). Recipients should make every effort to submit one extension request for all projects needing an extension (when possible, multiple extension requests should be avoided). With sufficient justification, the federal program office will recommend approval of a six-month extension for the first extension request. First time or cumulative extension requests for more than six months but less than 12 months, will need more compelling justification as to why the project was not completed on time or could not be completed within the first six-month extension. Although the federal program office discourages extensions of more than 12 months, the federal program office will recommend approval in cases where the funds are already obligated. Renewal of an award to increase funding or extend the period of performance is at the total discretion of the Department.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Support for projects may be provided for periods up to 5 years in annual increments. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Funds are released as required and agreed to in the Standard Terms and Conditions document applicable to each grant.

Reports:

No program reports are required. No cash reports are required. The Federal Financial Report, Standard Form (SF) 425, and Performance Progress Reports are required semi-annually. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records will be retained in accordance with 2 CFR 200.

Account Identification:

13-1450-0-1-306.

Obligations:

(Project Grants) FY 16 \$29,177,929; FY 17 est \$30,394,973; and FY 18 est \$30,394,973

Range and Average of Financial Assistance:

Estimate not available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: IOOS released The Ocean Enterprise: A study of U.S. business activity in ocean measurement, observation and forecasting. This study is the first national-level assessment of private sector activity in this critical component of the blue economy, which helps deliver critical safety, economic, and environmental benefits across the board, advancing maritime commerce. Commercial and non-profit activity in this sector has not previously been examined as a stand-alone sector as it is scattered among numerous economic activities. By collecting this information into a single analysis, NOAA and other entities are better able to see opportunities for partnerships and innovation, as well as identifying information gaps. Fiscal Year 2017: IOOS, along with its federal partners, intends to fund cooperative agreements that continue the development and operation of the regional component of U.S. IOOS, a national sensor and evaluation program, a coastal ocean modeling testbed, marine biodiversity and animal telemetry networks, ocean technology transition and total water efforts. Fiscal Year 2018: IOOS intends to competitively fund projects for the Coastal Ocean Modeling Testbed, subject to the availability of funds, which advance new or existing solutions that address long standing and emerging coastal modeling, forecast product development, and data management challenges. IOOS will seek to fund projects sufficiently mature for evaluation and transition to long term operations, focused on models, tools or products for which there are demonstrated operators and end users.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable costs will be determined in accordance with 2 CFR 200. Further guidelines are outlined in Department Administrative Order 203-36, dated May 15, 1985 (Department of Commerce Grants Administration) and also the NOAA Grants and Cooperative Agreement Policy Manual.

Regional or Local Office:

See Regional Agency Offices. Zdenka S. Willis, Director, NOAA Integrated Ocean Observing System Program, 1315 East West Highway, 2nd Floor, Silver Spring, MD 20910. Telephone: (240) 533-9456

Carl Gouldman, Deputy Director, NOAA Integrated Ocean Observing System Program, 1315 East West Highway, 2nd Floor, Silver Spring, MD 20910. Telephone: (240) 533-9456

Regina A. Evans, Federal Program Officer, NOAA Integrated Ocean Observing System Program, 1315 East West Highway, 2nd Floor, Silver Spring, MD 20910. Telephone: (240) 533-9468

Main Line and General Inquiries, NOAA Integrated Ocean Observing System Program, 1315 East West Highway, 2nd Floor, Silver Spring, MD 20910. Telephone: (240) 533-9444.

Headquarters Office:

Zdenka S. Willis 1315 East-West Highway, Silver Spring, MD 20910, Silver Spring, Maryland 20910 Phone: 301-427-2420

Website Address:

<http://www.ioos.noaa.gov/>

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.419 Coastal Zone Management Administration Awards; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.429 Marine Sanctuary Program; 11.431 Climate and Atmospheric Research; 11.440 Environmental Sciences, Applications, Data, and Education; 11.455 Cooperative Science and Education Program; 11.473 Coastal Services Center

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: Fiscal Year

2017: IOOS intends to continue funding the implementation and development of regional coastal ocean observing systems, national sensor and evaluation efforts, coastal ocean modeling testbeds, marine biodiversity and animal telemetry networks and ocean technology transition projects. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and externals reviews, if applicable, taking into consideration primarily scientific merit and application to the goals of NOAA and the relevant federal program office.

11.013 EDUCATION QUALITY AWARD AMBASSADORSHIP

FEDERAL AGENCY:

National Institute of Standards and Technology (NIST), Department of Commerce

AUTHORIZATION:

Stevenson-Wylder Technology Innovation Act, 15 U.S.C 3711a; Stevenson-Wylder Technology Innovation Act, 15 U.S.C 3706.

OBJECTIVES:

Support Malcolm Baldrige National Quality Award Program (MBNQA) winners in conducting outreach, serving as role models, and encouraging other organizations to strive for performance excellence.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant money will be used for non-commercial Baldrige award recipients to share information on their successful performance and quality strategies with other U.S. organizations. Principal mechanisms to share information are presentations at the Quest for Excellence Conference, regional conferences, and hosting and speaking at different conferences, workshops/meetings. Grant money will be used for non-commercial Baldrige award recipients to share information on their performance excellence practices and quality strategies with other U.S. organizations. Principal mechanisms to share information are presentations at the Quest for Excellence Conference, and hosting and speaking at different conferences, workshops/meetings.

Applicant Eligibility:

Applicants must have recently received the Malcolm Baldrige National Quality Award.

Beneficiary Eligibility:

Public from 18 sponsored organizations.

Credentials/Documentation:

Proposals with statements of work and detailed budgets. Costs will be determined in accordance with applicable federal cost principles. Grants are limited to recipients of the Baldrige National Quality Award. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted in response to education award recipients financial requirements pursuant to 15 CFR 14.4. Baldrige Performance Excellence Program (BPEP) Federal Project Office (FPO) will advise educational Award recipients of grant opportunity. Baldrige award process is based upon published selection criteria.

Award Procedure:

Award process based upon published selection criteria.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Future or continued funding will be at the discretion of NIST, based on such factors as availability of funds and satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Usually five years in length. See the following for information on how assistance is awarded/released: Dependent on when appropriation is received dictates when authorization is dispersed either in lump sum or quarterly.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits of all grant recipients shall be conducted in accordance with Government Auditing Standards issued by the Comptroller General of the United States (the Yellow Book).

Records:

Pursuant to 15CFR 14.53(b)(2), all financial and programmatic records are required to remain available to the federal government for 3 years after final disposition.

Account Identification:

13-0500-0-1-376.

Obligations:

(Cooperative Agreements) FY 16 \$206,067; FY 17 est \$100,000; and FY 18 est \$100,000

Range and Average of Financial Assistance:

Not to exceed \$100,000 over the life of the grant.

TAFS Codes:

13-57-0525.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 206067. Fiscal Year 2017: 100000. Fiscal Year 2018: 100000.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of CFR 15 part 14.

Regional or Local Office:

None.

Headquarters Office:

Barbara F. Fischer 100 Bureau Drive MD A-600, Gaithersburg, Maryland 20899-1020 Email: barbara.fischer@nist.gov Phone: 301-975-8942

Website Address:

<http://www.nist.gov/baldrige>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Recipients have shared its best practices on its successful performance strategies with other US Corporations and organizations. Through these presentations, non-proprietary information is shared with others who want to learn and pursue performance excellence best practices. An example of a funded project is Montgomery County Public Schools, Jenk's Public Schools, Richland College, Iredell-Statesville Schools, Pewaukee School District.

11.014 BAND 14 INCUMBENT SPECTRUM RELOCATION**FEDERAL AGENCY:**

National Telecommunications and Information Administration, Department of Commerce

AUTHORIZATION:

Middle Class Tax Relief and Job Creation Act of 2012, Public Law 112-96, 47 U.S.C 1401 et seq.

OBJECTIVES:

To establish a grants program to assist eligible state, county and municipal public safety entities (incumbents) in relocating their currently active communication operations from frequencies 758.00 to 769.00 MHz and 788.00 to 799.00 MHz (Band 14) to other frequency assignments allocated by the Federal Communications Commission (FCC).

As described in the Middle Class Tax Relief and Job Creation Act of 2012, the First Responder Network Authority (FirstNet) holds a single, nationwide FCC license to utilize Band 14 spectrum frequencies for the purposes of developing, building and operating the Nationwide Public Safety Broadband Network (NPSBN).

A number of incumbents around the nation continue to operate narrowband systems on the FirstNet-licensed Band 14 frequencies under previously issued FCC authorization. They must relocate their communications operations from Band 14 to ensure unencumbered (clear free of interference) spectrum which is necessary for successful NPSBN development, deployment and operations.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funding under the Band 14 Incumbent Spectrum Relocation Program will be awarded to select incumbents state, county and municipal public safety entities who possess a current FCC Public Safety 700MHz license and have demonstrated current, active utilization of the Band 14 spectrum frequencies in their public safety communication operations.

Funding will be awarded to incumbents for the purposes of retuning, reprogramming, procuring equipment in certain cases and modifying affected FCC licenses as needed to clear the Band 14 spectrum while being able to continue their communications operations on other FCC-allocated frequency assignments. The incumbent must be actively using the Band 14 spectrum as of the publishing of this grant program on Grants.gov and must agree to relocate from Band 14 within the required period of performance. Grant funds cannot be used to replace (supplant) funds that have been previously appropriated for the retuning and/or procurement of equipment in anticipation of the relocation from Band 14 to other spectrum.

Grant funds can only be used to retune and reprogram equipment and/or procure equipment within the 12 month period of performance. Applicants must commit to achieving the spectrum relocation within the required period of performance. No-cost extensions may be considered based on demonstrated need.

Grant funds may not be used for interoperable communications infrastructure construction or to resolve pre-existing infrastructure or network deficiencies or shortcomings. Any project which would require an environmental assessment or environmental impact study will not be considered under this grant. 100% of funds are set aside for discretionary activities.

Applicant Eligibility:

State, county and municipal government public safety entities (and agencies thereof) that are currently FCC-licensed and operating on Band 14 for the

express purposes of public safety communications as of the posting date of the federal funding opportunity for this grant program.

Beneficiary Eligibility:

Only state, county and municipal government public safety entities who are Band 14 incumbents in need of financial assistance in relocating communications operations to other FCC-allocated spectrum assignments may receive funding through this program. Applicants must submit documentation showing current, active use of Band 14 frequencies.

Credentials/Documentation:

Applicants must submit evidence of Band 14 incumbency by providing a copy of their current FCC license along with a certified copy of their current agency-approved public safety communications system frequency plan depicting assigned Band 14 frequencies. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Each application will be reviewed by FirstNet for adherence to eligibility criteria and programmatic guidelines. If a submission does not meet the requirements, the applicant will be notified of the deficiency. Upon approval of the application, grants will be awarded to the respective state, county and municipal government public safety entity. Grantees can draw down and expend funds through the Automated Standard for Application for Payment (ASAP) system.

Deadlines:

Mar 16, 2016 to May 16, 2016 Within 60 days, May 16, 2016.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant period of performance will be one year (12 months). A no cost extension may be considered based on demonstrated need. Payment and Reporting System payments are generally within 90 days. Payments under the award will be made using the Department of Treasury's Automated Standard Application for Payment (ASAP) system. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. No cash reports are required. Department of Commerce Standard Terms and Conditions require the submission of Performance (Technical) Reports on a semi-annual basis. Department of Commerce Standard Terms and Conditions require the submission of Financial Status Reports (SF-425) on a semi-annual basis. Department of Commerce Standard Terms and Conditions require the submission of Performance (Technical) Reports on a semi-annual basis. Applicants will submit quarterly progress report on their relocation efforts. Applicants will submit quarterly financial reports. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant recipients must retain records for three years after award closeout.

Account Identification:

13-8233-0-7-376.

Obligations:

(Project Grants (Discretionary)) FY 16 \$27,064,447; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Awards based on estimate of reasonable and allocable cost.

TAFS Codes:

13-12-22-8233.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Loren Southard 12201 Sunrise Valley Dr. M/S 243, Reston, Virginia 22201
Email: loren.southard@firstnet.gov Phone: 5716656147

Website Address:

<http://www.firstnet.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.017 OCEAN ACIDIFICATION PROGRAM (OAP)

OAP

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Subtitle D of the Omnibus Public Land Management Act of 2009; Federal Ocean Acidification Research and Monitoring Act of 2009. Public Law 111-111.

OBJECTIVES:

The OAP plays an integral role in maintaining long-term monitoring; conducting research designed to enhance the conservation of marine ecosystems sensitive to ocean acidification; promoting ocean acidification educational opportunities; engaging in national public outreach activities related to ocean acidification and its impacts; and coordinating ocean acidification activities with other agencies and appropriate international ocean science bodies.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The OAP provides financial assistance for critical research projects related to ocean acidification research and monitoring including those that explore the effects of ocean acidification on marine organisms, ecosystems and the socioeconomic impacts leading to potential adaptive strategies. Cooperative agreements and grants may be used for research and development, education

and training, and advisory services. They may also be used for operational systems as they relate to specific programs. Specific restrictions, other than those mandated for grants and cooperative agreements are evaluated on an individual program basis. OAP provides 100% of funding for discretionary projects.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. None

Award Procedure:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and external reviews, if applicable, taking into consideration primarily scientific merit and application to the goals of NOAA and the Office of Oceanic and Atmospheric Research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. The range varies from 120 to 180 days from the time of proposal submission to NOAA.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available one to three years based on availability of funding. The commitment to obligate the amount of available funding for the next funding period with continuation amendments shall be clearly conditioned upon the availability of funds, satisfactory progress by the grantee, and the Program Office's determination that continued funding is in the best interest of the government. See the following for information on how assistance is awarded/released: Funds are released in advance, as agreed to in the DOC Standard Terms and Conditions document required for each grant. Award funds must be spent in the indicated budget period and are to be expended in accordance with federal, DOC/NOAA finance and reporting procedures.

Reports:

Program reports are not applicable. Cash reports are not applicable. Reporting requirements are outlined in the DOC Terms and Conditions for the Financial Assistance Awards. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Reporting requirements are outlined in the DOC Terms and Conditions for the Financial Assistance Awards. Financial reports indicate use of funds during the financial reporting period designated. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audit requirements are outlined in the DOC Terms and Conditions for the Financial Assistance Awards.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$2,000,000; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

\$100,000 to \$400,000.

TAFS Codes:

13-1033.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

NOAA Grants and Cooperative Agreements Policy Manual. Allowable cost will be determined in accordance with 2 CFR 225 for State and local governments and Indian Tribes; 2 CFR 230 for nonprofit and for-profit organizations; 2 CFR 220 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Libby Jewett, Ph.D. SSMC3 10356, Silver Spring, Maryland 20910 Phone: (301) 734-1288

Website Address:

<http://Oceanacidification.noaa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and external reviews, if applicable, taking into consideration primarily scientific merit and application to the goals of NOAA and the Office of Oceanic and Atmospheric Research.

11.019 STATE ALTERNATIVE PLAN PROGRAM

State Alternative Plan Program

FEDERAL AGENCY:

National Telecommunications and Information Administration, Department of Commerce

AUTHORIZATION:

The Middle Class Tax Relief and Job Creation Act of 2012, Public Law 112-96, 47 U.S.C 1401.

OBJECTIVES:

To establish a spectrum capacity leasing right and radio access network (RAN) construction grant program for states that opt-out of the First Responder Network Authority's (FirstNets) proposed plan for building a RAN in that state, and assume the responsibility to construct, operate, maintain, and improve its RAN that is interoperable with the nationwide public safety broadband network (NPSBN) subject to the provisions of the Middle Class Tax Relief and

Job Creation Act of 2012 (Act).

As described in the Act, after receiving approval from the Federal Communications Commission (FCC), an opt-out state shall apply to the National Telecommunications and Information Administration (NTIA) for a grant for the right to enter into a spectrum capacity lease agreement with FirstNet and may apply for RAN construction grant funding. First, a state must submit its alternative plan for the construction, maintenance, operation, and improvements of its RAN to the Federal Communications Commission (FCC) and meet specific interoperability criteria established by the FCC. Second, if the FCC approves the state alternative plan, that state must make five separate technical and financial demonstrations to NTIA. The state must demonstrate: 1) that it has the technical capabilities to operate and the funding to support its RAN; 2) that it has the ability to maintain ongoing interoperability with the NPSBN; 3) that it has the ability to complete the project within specified comparable timelines specific to the state; 4) the cost-effectiveness of the state alternative plan submitted to the FCC; and, 5) comparable security, coverage, and quality of service to that of the NPSBN. Third, assuming the state has successfully made such demonstrations to NTIA, the state then must enter into a spectrum capacity lease with FirstNet, which will be required for operation of the state RAN.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

NTIAs grant of the authority to enter into a spectrum capacity lease with FirstNet does not confer access to spectrum for an opt-out state to implement its RAN, nor does it guarantee the execution of a spectrum lease agreement with FirstNet.

Grant funds will be limited to categories of costs, such as equipment, construction, installation, contractual, and other associated costs related to construction of the states RAN as detailed in the state alternative plan approved by the FCC. Grant funds may not be used for ongoing RAN maintenance, operation (inclusive of all recurring costs), and improvement costs. Discretionary funds are available for the costs described above. 100% of the discretionary funds will be used for these purposes.

Applicant Eligibility:

Eligible applicants for Lease Authority or a RAN Construction Grant will be those states, territories and the District of Columbia whose alternative state plan was approved by the FCC pursuant to the Act.

Beneficiary Eligibility:

Beneficiaries of the grants are state, local and tribal governments that employ public safety officials, including police officers, fire fighters, emergency medical professionals and others, as well as the general public, who will receive improved communications capabilities from the deployment of State RANs that connect to the nationwide interoperable public safety broadband network.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The designee must apply for grant funding through the Grants.gov online system when the application window opens.

Award Procedure:

Each application will be reviewed by NTIA for adherence to eligibility criteria and programmatic guidelines. If a submission does not meet the requirements,

the applicant will be notified of the deficiency. Upon approval of the application, grants will be awarded to the respective opt-out states, however, grant funds may not be available for draw down until state has signed a spectrum lease with FirstNet.

Grantees can draw down and expend funds through the Department of Treasury's Automated Standard for Application for Payment (ASAP) system.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

90 to 150 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The initial period of performance is not to exceed three years. A no cost extension may be considered based on demonstrated need. See the following for information on how assistance is awarded/released: Payment and Reporting System payments are generally within 90 days. Payments under the award will be made using the Department of Treasury's Automated Standard Application for Payment (ASAP) system.

Reports:

Program reports are not applicable. No cash reports are required. Progress reports, including associated project milestones are to be submitted in accordance with the terms and conditions of the award, and are due on a quarterly basis. The NTIA Assistant Secretary, or its designate, may establish additional reporting and information requirements for any recipient of an award. Financial reports are to be submitted in accordance with the terms and conditions of the award, and are due on a quarterly basis. This program is subject to performance monitoring include site visits as needed.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant recipients must retain records for three years after award closeout.

Account Identification:

13-4358-0-3-376.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 Estimate Not Available - The total available for awards is still to be determined but estimated to be \$5.5 billion.

Range and Average of Financial Assistance:

NTIA will publish maximum amount available to each state in the NOFO based on state by state analysis of expected RAN construction cost. Individual state and territory awards are estimated to range from \$1 million - \$450 million.

TAFS Codes:

13-12-22-4358-376.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program regulations, application forms, and guidelines required of applicants can be found at <https://www.ntia.doc.gov/sapp>

Regional or Local Office:

None.

Headquarters Office:

Carolyn Dunn 1401 Constitution Avenue., NW, Rm 7324, Washington, District of Columbia 20230 Email: cdunn@ntia.doc.gov Phone: 202-482-4103

Website Address:

<https://www.ntia.doc.gov/sapp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In determining whether to approve an application, in whole or in part, and the amount of the grant, the NTIA Assistant Secretary, or its designate, will consider the projects conformance with the program purposes and funding criteria set forth in the legislation and the Federal Funding Opportunity.

11.150 EXPORT LICENSING SERVICE AND INFORMATION

(Export Control) Exporter Assistance Program

FEDERAL AGENCY:

BUREAU OF INDUSTRY AND SECURITY, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Export Administration Act of 1979, as amended, 50 U.S.C. 2401 et seq. This authority is currently extended under the International Emergency Economic Powers Act (IEEPA).

OBJECTIVES:

Respond to the needs of the exporting community by telephone, in person, and through seminars and other outreach efforts in order to help exporters understand and comply with the Export Administration Regulations that involve the export of certain products and technical data requiring a special export license for shipments to foreign countries.

TYPES OF ASSISTANCE:

Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Individuals and business firms may obtain information, training, and assistance on the interpretation of export control regulations and policies, when a validated export control license is required; and secure expeditious handling of an export application when priority action is warranted.

Applicant Eligibility:

Any person, firm, organization, or branch of government needing information or assistance on export control matters.

Beneficiary Eligibility:

Any person, firm, organization, or branch of government needing information or assistance on export control matters.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedures:

In person, by letter or telephone.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

In accordance with the provisions of OMB circular No. A133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of High Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C 7501 -7507). Commercial organization shall be subject to the audit requirements as stipulated in the award document. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

13-0300-0-1-376.

Obligations:

(Operations and administration) FY 07 \$1,753,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

PROGRAM ACCOMPLISHMENTS:

The Office of Exporter Services (OEXS) is the Bureau of Industry and Security (BIS) front line unit in providing exporter counseling and plays a major role in guiding exporters through complex licensing transactions. Through a variety of seminar programs offered at locations throughout the United States, OEXS instructs and assists new and established exporters through basic and advanced level courses. OEXS also provides specialized workshops on topics of specific interest (e.g., commercial encryption licensing, technology controls, how to classify your products, export management systems and freight forwarder programs). In fiscal year 2005, BIS conducted 45 domestic seminars in 17 states, which were attended by over 3,318 participants. In fiscal year 2006, we anticipate over 3,200 exporters will be counseled through 45 export licensing seminars. These estimates should also apply in fiscal year 2007 as limited resources will prevent us from expanding the seminar program. Through our headquarters in Washington, DC and our Western Regional Offices in Los Angeles and San Jose, California, BIS will provide one-on-one counseling 11 hours a day through meetings, telephone counseling sessions and responses to e-mail and fax inquiries. In addition, the BIS Web site (www.bis.doc.gov) provides exporters constant access to timely information and services.

REGULATIONS, GUIDELINES, AND LITERATURE:

Export Administration Regulations; Export Administration Bulletins; Summary of U.S. Export Regulations; Bureau of Industry and Security Annual Report; Index to the Commodity Control List; Export Management System Guidelines and Summary thereof.

Regional or Local Office:

Refer to Appendix IV of the Catalog for BIS Regional Office addresses.

Headquarters Office:

Office of Exporter Services, Outreach and Educational Services Division, Room 1099, Department of Commerce, Washington, DC 20230 or Outreach and Educational Services Division, P.O. Box 273, Washington, DC 20044. Telephone: (202) 482-4811. Western Regional Office (Newport Beach, California) 3300 Irvine Avenue, Suite 345, Newport Beach, CA 92660. Telephone: (949) 660-0144. Western Regional Office (San Jose, CA) 111 N. Market Street, 6th Floor, San Jose, CA 95113. Telephone: (408) 351-3378.

Website Address:

<http://www.bis.doc.gov>.

RELATED PROGRAMS:

11.108, Commercial Service.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.300 INVESTMENTS FOR PUBLIC WORKS AND ECONOMIC DEVELOPMENT FACILITIES**FEDERAL AGENCY:**

Economic Development Administration, Department of Commerce

AUTHORIZATION:

Public Works and Economic Development Act of 1965, as amended (PWEDA) (42 U.S.C. 3121 et seq.).

OBJECTIVES:

Public Works grants support the construction or rehabilitation of essential public infrastructure and facilities necessary to generate or retain private sector jobs and investments, attract private sector capital, and promote regional competitiveness, innovation, and entrepreneurship, including investments that expand and upgrade infrastructure to attract new industry, support technology-led development, accelerate new business development, and enhance the ability of regions to capitalize on opportunities presented by free trade.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Characteristic projects include investments in facilities such as water and sewer systems, industrial access roads, business parks, port facilities, rail spurs, skill-training facilities, business incubator facilities, brownfield redevelopment, eco-industrial facilities, and telecommunications and broadband infrastructure improvements necessary for business creation, retention and expansion. To be eligible, a project must be located in or benefit a region that, on the date EDA receives an application for investment assistance, satisfies one or more of the economic distress criteria set forth in 13 C.F.R. 301.3(a). All investments must be consistent with a current EDA- approved Comprehensive Economic Development Strategy (CEDS) or equivalent strategic economic development plan for the region in which the project will be located, and the applicant must have the required local share of funds committed, available, and unencumbered. Applicants must be able to start and complete proposed projects in a timely manner consistent with award terms and conditions. Recipients must award contracts in accordance with proper procurement procedures and ensure that contractors pay Davis-Bacon wage rates. All grants awarded are competitive in nature. EDA does not award formula grants.

Applicant Eligibility:

Pursuant to PWEDA, eligible applicants for and recipients of EDA investment assistance include a State, city, county, or other political subdivision of a State, including a(n): (a) District Organization as defined in 13 CFR 304.2; (b) Indian Tribe or a consortium of Indian Tribes; (c) State, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of such political subdivisions; (d) institution of higher education or

a consortium of institutions of higher education; or (e) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State. See section 3 of PWEDA (42 U.S.C. 3122) and 13 C.F.R. 300.3. Projects eligible for Public Works investment assistance also include those located in regions meeting "Special Need" criteria as defined in 13 C.F.R. 300.3. For-profit, private-sector entities do not qualify for Public Works assistance under PWEDA. Therefore, EDA will not provide investments directly to individuals or for-profit entities. Such requests may be referred to State or local agencies, or to non-profit economic development organizations. As used in this paragraph, 'State' includes the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau.

Beneficiary Eligibility:

Beneficiaries of investments made under Public Works are those communities who satisfy one or more of the economic distress and/or Special Need criteria set forth in 13 C.F.R. 301.3(a) and 13 C.F.R. 300.3 to revitalize, expand, or upgrade their physical infrastructure to attract new industry, encourage business expansion, diversify their local economies, and generate or retain long-term private sector jobs and capital investments.

Credentials/Documentation:

Applicants should refer to the specific requirements of the current Federal Funding Opportunity (FFO) to determine what documents must be submitted at the time of submission. EDA employs a two-phase review process for proposals/applications submitted under this FFO. All submissions under this FFO must proceed through the two-phase review process. Phase I, the Proposal Phase, of EDAs process is designed to provide applicants with an economical and efficient means of determining if their proposed project meets the essential criteria to be considered responsive to this FFO. In Phase I, potential applicants are able to provide EDA with general project information, as detailed in the FFO, to allow EDA to make a Phase I Determination, i.e., whether the proposed project meets the most essential criteria to be considered responsive to this FFO. Proposal packages submitted for Phase I consideration may be presented at any time during the fiscal year. All complete Phase I proposal packages will be reviewed by the respective regional office Proposal Review Committee (PRC), and the applicant will be advised of EDAs Phase I Determination. If the regional office PRC determines that a proposal is responsive to this FFO (Phase I Responsive Determination), EDA will inform the applicant that it may submit a complete application to proceed to Phase II of the process. In Phase II, the Application Phase, an applicant must submit a complete application, as detailed in the FFO, to be considered for funding. If an applicant submits an application in lieu of or concurrent with a proposal package, the regional office PRC must first make a Phase I Determination regarding the applicants submission before moving to Phase II, the Application Phase. In either Phase I or II, EDA may seek information or documentation from the applicant to clarify information presented in the proposal/application. Please refer to the current FFO for more information on EDAs review and selection process. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For information on the application process you may access the applicable federal funding opportunity (FFO) announcement for Public Works investment assistance at www.grants.gov and at www.eda.gov. EDA will review each application for Public Works application in accordance with the evaluation criteria, funding priorities, and selection procedures set forth in the applicable FFO.

Award Procedure:

Staff in the applicable EDA regional office review complete applications for eligibility and compatibility with EDA's mission and funding priorities.

Applications deemed eligible and at least minimally consistent with EDA's mission and funding priorities are referred to an Investment Review Committee, which recommends applications for funding to the Regional Director. The Regional Director makes a funding determination as the Grants Officer for the respective region.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Applications for renewal or supplementation of additional projects may compete with applications for new awards.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Generally, the amount of the EDA grant may not exceed 50 percent of the total cost of the project. Projects may receive an additional amount that shall not exceed 30 percent, based on the relative needs of the region in which the project will be located, as determined by EDA. In the case of EDA investment assistance to a(n) (i) Indian Tribe, (ii) State (or political subdivision of a State) that the Assistant Secretary determines has exhausted its effective taxing and borrowing capacity, or (iii) non-profit organization that the Assistant Secretary determines has exhausted its effective borrowing capacity, the Assistant Secretary has the discretion to establish a maximum EDA investment rate of up to 100 percent of the total project cost. This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are specified in the grant award documents, but generally these do not exceed five years. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Funds are disbursed on a reimbursement basis.

Reports:

No program reports are required. No cash reports are required. Project progress and financial reports are required, generally on a quarterly basis, as required by the Special Terms and Conditions governing the award. EDA requires the submission of the SF-425 Federal Financial Report. EDA reviews progress and financial reports for compliance with all award terms and conditions, as well as EDA regulations. EDA reserves the right to conduct site visits on an as-needed basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The applicant is reminded that EDA or the Department of Commerce Office of Inspector General also may conduct an audit of an award at any time.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients are required to be maintained by the terms of the grant agreement.

Account Identification:

13-2050-0-1-452.

Obligations:

(Project Grants) FY 16 \$110,897,917; FY 17 est \$100,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

The average size of a Public Works investment has been approximately \$1.4 million, and investments generally range from \$200,000 to \$3,000,000. Historically, EDA has awarded funds for between 80 and 150 Public Works

projects a year. However, this average is informational only and is not intended to restrict the size of future awards.

TAFS Codes:

00-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 13 CFR Chapter III, Parts 300-302, 305, 310, and 314.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Philip Saputo 1401 Constitution Avenue, NW, Room 71030, Washington, District of Columbia 20230 Email: psaputo@eda.gov Phone: (202) 400-0662

Website Address:

<http://www.eda.gov>

RELATED PROGRAMS:

11.307 Economic Adjustment Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

EDA will review all applications for investment assistance against the evaluation criteria outlined in the applicable Federal Funding Opportunity, which generally includes a review of the feasibility of the budget presented, conformance with EDA statutory and regulatory requirements, and alignment with strategic areas of interest and priority considerations identified in the applicable announcement. For more information on these investment priorities and current funding opportunities, go to <http://www.eda.gov>.

11.302 ECONOMIC DEVELOPMENT SUPPORT FOR PLANNING ORGANIZATIONS

Planning Investments and Comprehensive Economic Development Strategies

FEDERAL AGENCY:

Economic Development Administration, Department of Commerce

AUTHORIZATION:

Public Works and Economic Development Act of 1965, as amended (PWEDA) (42 U.S.C. 3121 et seq.).

OBJECTIVES:

Through the Planning program, EDA provides assistance to eligible recipients to create regional economic development plans in order to stimulate and guide the economic development efforts of a community or region. As part of this program, EDA supports Partnership Planning investments to facilitate the development, implementation, revision, or replacement of Comprehensive Economic Development Strategies (CEDS), which leverage the unique assets of their respective regions to help create and retain higher-skill, higher-wage jobs, particularly for the unemployed and underemployed in the Nation's most economically distressed regions.

To facilitate CEDS, EDA provides Partnership Planning grants to the designated planning organization (e.g., District Organization) serving EDA-designated Economic Development Districts (EDDs) throughout the Nation. These planning organizations are typically recognized by the State in which they reside as multi-jurisdictional councils of governments, regional commissions, or planning and development centers. Partnership Planning grants enable planning organizations to manage and coordinate the development and implementation of CEDS. In addition, EDA provides Partnership Planning grants to Indian Tribes to help organize and assist with the implementation of economic development activities within their areas. The majority of funding under the Planning program is for Partnership Planning grants for Indian Tribes and EDA-designated EDDs.

The Planning program also helps support planning organizations and other eligible recipients, including District Organizations and Indian Tribes, with Short-Term and State Planning efforts in order to stimulate and guide the

creation and/or retention of higher-skill, higher-wage jobs, particularly for the unemployed and underemployed in the Nations most economically distressed regions. For example, EDA might provide Short-Term Planning funding to a coalition of Tribal and regional organizations to plan a coordinated response to the sudden loss of a major employer in the affected area(s).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

EDA Planning investments support the preparation of CEDS that guide EDAs Public Works and Economic Adjustment Assistance implementation investments, including Revolving Loan Funds. Sound local planning also attracts other Federal, state, and local funds plus private sector investments to implement long term development strategies. In this way, EDAs Planning program plays a foundational role in helping communities develop important strategies that inform future economic development decisions.

Comprehensive, market-based, local and regional planning is an essential component of successful economic development. Effective planning creates a road map for community growth and development with a focused approach towards creating higher-skill, higher-wage jobs. The Planning Program provides a foundation for EDAs infrastructure investments, which are designed to stimulate economic growth in distressed regions. The planning process supports an assessment of the regions economic conditions and the development of a CEDS to guide resource allocation and project development. The key value of this process is that it is locally determined and involves participation from all the diverse interests in the community. Planning activities supported by these Investments must be part of a continuous process involving the active participation of Private Sector Representatives, public officials and private citizens, and include:

- (a) Analyzing local economies;
- (b) Defining economic development goals;
- (c) Determining Project opportunities; and
- (d) Formulating and implementing an economic development program that includes systematic efforts to reduce unemployment and increase incomes. All EDA grants are discretionary grants. EDA does not award any formula grants.

Applicant Eligibility:

Pursuant to PWEDA, eligible applicants for and recipients of EDA investment assistance include a(n): (a) District Organization (as defined in 13 CFR 304.2); (b) Indian Tribe or a consortium of Indian Tribes; (c) State, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (d) institution of higher education or a consortium of institutions of higher education; or (e) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State. See section 3 of PWEDA (42 U.S.C. 3122) and 13 CFR 300.3. As used in this paragraph, State includes the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau. See 13 CFR 300.3 and 301.2.

Beneficiary Eligibility:

EDA Planning investments provide support to Planning Organizations for the development, implementation, revision, or replacement of a CEDS. They also may provide support for related short-term planning investments and State plans designed to create and retain higher-skill, higher-wage jobs, particularly for the unemployed and underemployed in the nation's most economically distressed regions.

Credentials/Documentation:

To be considered for EDA assistance, applicants must first submit a complete EDA application package. The applicant must provide a list of its proposed Strategy Committee members. The Committee must represent the main economic interests of the Region and must include Private Sector Representatives as a majority of its membership. The applicant should ensure that the Strategy Committee includes public officials, community leaders, representatives of workforce development boards, institutions of higher education, minority and labor groups, and private individuals. The Strategy

Committee representing Indian Tribes or States may vary. Applicants must also submit documentation of matching fund availability. Finally, non-profit applicants will be asked to provide additional documentation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not required, but EDA offers applicants assistance in developing applications through its network of field-based Economic Development Representatives (EDRs). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For information on the application process you may access the applicable federal funding opportunity (FFO) announcement for Planning investment assistance at www.grants.gov and at www.eda.gov. EDA will review each application for Planning investment assistance in accordance with the evaluation criteria, funding priorities, and selection procedures set forth in the applicable FFO.

Award Procedure:

Staff in the applicable EDA regional office review applications for eligibility and compatibility with EDAs mission and funding priorities. Applications deemed eligible and at least minimally consistent with EDAs mission and funding priorities are referred to an Investment Review Committee, which recommends applications for funding to the Regional Director. The Regional Director makes a funding determination and sends a recommendation to EDA Headquarters for concurrence.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

EDA accepts new applications on a rolling basis and announces awards throughout the year. For continuation awards, regional offices may impose their own deadline for submission based on the previous award's period of performance.

Appeals:

Not Applicable.

Renewals:

For continuation awards, regional offices may impose their own deadline for submission based on the previous award's period of performance.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: The minimum EDA investment rate for planning projects is 50 percent, and the maximum allowable EDA investment rate may generally not exceed 80 percent. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Most grants are for 36-month periods. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. No cash reports are required. Grant recipients must submit financial and project progress reports either on a quarterly or bi-annual basis, as required by the Special Terms and Conditions (SAC) of the award. Recipients receiving CEDS assistance must submit an updated CEDS at least once every three years. EDA requires the submission of the SF-425 Federal Financial Report. EDA may elect to conduct site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients and sub-recipients must be maintained according to the terms of the investment award.

Account Identification:

13-2050-0-1-452.

Obligations:

(Project Grants) FY 16 \$29,955,674; FY 17 est \$30,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

The average size of a Planning investment has been approximately \$70,000, and investments generally range from \$40,000 to \$200,000.

TAFS Codes:

00-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR Parts 300-303.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

David Ives 1401 Constitution Avenue, NW Room 71030, Washington, District of Columbia 20230 Email: dives@eda.gov Phone: 202-482-0529

Website Address:

<http://www.eda.gov>

RELATED PROGRAMS:

11.307 Economic Adjustment Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

In addition to evaluating applications based on EDA's mission, funding priorities, and the evaluation criteria laid out in the applicable FFO, EDA also considers:

- (1) The proposed scope of work for the development, implementation, revision or replacement of the CEDS, or the relation of the CEDS to the proposed short-term planning activities or the State plan;
- (2) The qualifications of the applicant to implement the goals and objectives resulting from the CEDS, short-term planning activities or the State plan;
- (3) The involvement of the Region's business leadership at each stage of the preparation of the CEDS, short-term planning activities or State plan;
- (4) The extent of broad-based representation and involvement of the Region's civic, business, labor, minority and other interests in the proposed economic development activities; and
- (5) The feasibility of the proposed scope of work to create and retain higher-skill, higher-wage jobs through implementation of the CEDS.

In addition, for continuation awards, funded recipients are evaluated on the basis of the extent of continuing economic distress within the Region, their past performance, and the overall effectiveness of their CEDS.

For Planning Investment awards to a State, EDA will also consider the extent to which the State will integrate and coordinate its CEDS with local and Economic Development District plans.

11.303 ECONOMIC DEVELOPMENT TECHNICAL ASSISTANCE

National Technical Assistance; Local Technical Assistance; University Centers

FEDERAL AGENCY:

Economic Development Administration, Department of Commerce

AUTHORIZATION:

Public Works and Economic Development Act of 1965, as amended (PWEDA) (42 U.S.C. 3121 et seq.).

OBJECTIVES:

EDA oversees three technical assistance programs (National, Local and University Center) that promote economic development and alleviate unemployment, underemployment, and out-migration in distressed regions. These programs provide grants or cooperative agreements to: (1) invest in institutions of higher education to establish and operate University Centers to support initiatives that are focused on advancing: regional commercialization efforts; entrepreneurship; and a high-skilled regional workforce. provide technical assistance to public and private sector organizations with the goal of enhancing local economic development; (2) support innovative approaches to stimulate economic development in distressed regions; (3) disseminate information and studies of economic development issues of national significance; and (4) finance feasibility studies and other projects leading to local economic development. These programs aid the long-range economic development of regions with severe unemployment and low per capita income.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Technical assistance is used to provide information, data, resources and toolkits which practitioners and policymakers can utilize when evaluating, shaping and implementing specific projects and programs to promote economic development efforts in their respective economically distressed regions. EDA announces opportunities to compete for funding to support University Centers through its annual Federal Funding Opportunity (FFO) on University Centers, and the intent to support local technical assistance through its annual FFO on planning and local technical assistance. EDA may identify specific training, research or technical assistance projects it will fund under National Technical Assistance, which will be subject to competition. Ordinarily, these projects are specified in a FFO, which will provide the specific requirements, timelines and the appropriate points of contact and addresses. The EDA-supported University Center (UC) sub-program is specifically designed to marshal the resources located within colleges and universities to support job creation and economic growth in regions experiencing economic distress. UCs, which EDA considers long-term partners in economic development, are required to devote the majority of their funding to respond to technical assistance requests originating from organizations located in the economically distressed portions of their service regions. EDA-sponsored University Centers provide strategic technical assistance to regional partners; including, tribal entities, local governments, and public and private sector organizations; conduct economic impact assessments; support cluster development and conduct cluster studies; facilitate regional collaboration; support commercialization and technology transfer efforts; and undertake other activities with the goal of enhancing regional economic development by promoting a favorable business environment to attract private capital investment and higher-skill, higher-wage jobs.. EDA University Center competitions are held once every five years in each EDA Region. The project must be consistent with the CEDS of the region in which it is located, if applicable. At least eighty (80) percent of EDA funding under a University Center Economic Development award must be allocated to direct costs of program delivery; unrecovered indirect costs are not chargeable to the award without EDAs express approval. All EDA grants are competitive: EDA does not award formula grants. The Local Technical Assistance (Local TA) sub-program, one of EDAs smallest programs in terms of funding, is an extremely flexible and useful economic development tool. The most common purpose of a Local TA project has been to analyze the feasibility of a potential economic development project, such as an industrial park or a high-technology business incubator, or to support other critical studies necessary for economic development professionals to make informed business decisions, including economic impact assessments. The National Technical Assistance sub-program is designed to provide economic development professionals with access to tools and resources on cutting edge economic development concepts that can inform their decision-making and help support good decision making which will lead to strong, vibrant economic development and regional prosperity. Through this

program, EDA provides a wide array of resources, including a cluster mapping tool (<http://clustermapping.us>), a website to identify innovation index of self-selected communities and compare against other geographies (www.statsamerica.org/innovation/), and a resource to help support best practices among venture development organizations (VDOs) (<http://regionalinnovation.org/>). The project must be consistent with the CEDS of the region in which it is located, if applicable. All EDA grants are discretionary. EDA does not award formula grants.

Applicant Eligibility:

Most technical assistance recipients are private or public nonprofit organizations and educational institutions. As defined in 13 C.F.R. 300.3, 'eligible recipients' include a State, city, county, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of such political subdivision, an institution of higher education or a consortium of institutions of higher education, an Economic Development District organization, a private or public nonprofit organization or association, including a faith-based nonprofit organization, acting in cooperation with officials of a political subdivision of a State, or an Indian Tribe, or a consortium of Indian Tribes. Individuals, companies, corporations, and associations organized for profit are not eligible. As used in this paragraph, 'State' includes the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau. Program funds cannot be awarded to individuals and for profit organizations.

Beneficiary Eligibility:

Investments are intended to finance projects that would be useful in alleviating or preventing conditions of excessive unemployment or underemployment.

Credentials/Documentation:

To be considered for EDA investment assistance, applicants must first submit a complete EDA application package, as specified by the applicable Federal Funding Opportunity, which generally includes requirements for project narratives, budget narratives, and the resumes of key personnel. Non-profit applicants applying for technical assistance may be asked to provide additional documentation, including documentation of Good Standing in the State in which they operate. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Except for applications that have a national or multi-regional focus, applications are subject to the requirements of E.O. 12372, Intergovernmental Review of Federal Programs and implementing regulations at 13 CFR 302.9.

Award Procedure:

EDA will award investment assistance in accord with the procedures set forth in the applicable announcement of federal funding opportunity (FFO).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For National Technical Assistance and University Center competitions, the deadlines are set forth in the applicable FFO. For Local Technical Assistance, regional offices accept applications on a rolling basis. Approval or disapproval of an application is generally made within 4 months; in many cases, the timeline for award announcement is set forth in the applicable FFO.

Appeals:

Not Applicable.

Renewals:

Each fiscal year, two EDA regional offices will provide a five-year project period for each University Center selected for funding under the requisite competition, with the initial award being made for the first year of the project period. Funding beyond the initial year is dependent upon the availability of funds and satisfactory performance, as determined by EDA and expressed in written notice. The servicing EDA regional office will contact current University Center operators regarding the procedures for applying for continuation funding. National and Local Technical Assistance projects are typically funded for a single project period without any pre-set expectation of renewal. Renewal of an award to increase funding or extend the period of performance is at EDA's sole discretion.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Generally, the amount of the EDA award may not exceed 50 percent of the total cost of the project. Projects may receive an additional amount that shall not exceed 30 percent, based on the relative needs of the region in which the project will be located, as determined by EDA. See section 204(a) of PWEDA (42 U.S.C. 3144) and 13 C.F.R. 301.4(b)(1). The Assistant Secretary of Commerce for Economic Development has the discretion to establish a maximum EDA investment rate of up to one-hundred (100) percent where the project (i) merits and is not otherwise feasible without an increase to the EDA investment rate; or (ii) will be of no or only incidental benefit to the recipient. See section 204(c)(3) of PWEDA (42 U.S.C. 3144) and 13 C.F.R. 301.4(b)(4). In-kind contributions, fairly evaluated by EDA, may provide the non-federal share of the total project cost.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, the University Center Program funds applications on a five-year basis with continuation awards made for each of the three years within a project period. The length of the project period for National and Local Technical Assistance projects varies, but is usually for a period of one to two years. Funds are disbursed as required. See the following for information on how assistance is awarded/released: In accordance with the grant terms and conditions.

Reports:

No program reports are required. No cash reports are required. Project progress and financial reports are required, generally on a quarterly basis, as required by the Special Terms and Conditions governing the award. EDA requires the submission of the SF-425 Federal Financial Report. EDA reviews progress and financial reports for compliance with all award terms and conditions, as well as EDA regulations. EDA reserves the right to conduct site visits on an as-needed basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The applicant is reminded that EDA or the Department of Commerce's Office of Inspector General also may conduct an audit of an award at any time.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients and sub-recipients are required to be maintained by the terms of the agreement.

Account Identification:

13-2050-0-1-452.

Obligations:

(Project Grants) FY 16 \$10,321,215; FY 17 est \$10,500,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

The average size of a Local Technical Assistance investment has been approximately \$64,000, and investments generally range from \$50,000 to

\$300,000.

TAFS Codes:

00-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 C.F.R. parts 300-302, 306.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

David Ives 1401 Constitution Avenue, NW Room 71030, Washington, District of Columbia 20230 Email: dives@eda.gov Phone: 202-482-0529

Website Address:

<http://www.eda.gov>

RELATED PROGRAMS:

11.307 Economic Adjustment Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation criteria are set forth in the applicable FFO.

11.307 ECONOMIC ADJUSTMENT ASSISTANCE

Economic Adjustment

FEDERAL AGENCY:

Economic Development Administration, Department of Commerce

AUTHORIZATION:

Section 209 of the Public Works and Economic development Act of 1965, as amended (PWEDA), (42 U.S.C. 3149).

OBJECTIVES:

To address the needs of distressed communities experiencing adverse economic changes that may occur suddenly or over time, and generally result from industrial or corporate restructuring, new Federal laws or requirements, reduction in defense expenditures, depletion of natural resources, or natural disaster. Economic Adjustment Assistance grants are intended to enhance a distressed community's ability to compete economically by stimulating private investment in targeted areas. Current investment priorities include proposals that focus on (a) Collaborative Regional Innovation, (b) Public/Private Partnerships, (c) National Strategic Priorities, (d) Global Competitiveness, (e) Environmentally-Sustainable Development, (f) Economically Distressed and Underserved Communities. For more information on these investment priorities and current funding opportunities, go to <http://www.eda.gov>.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Economic Adjustment Assistance Program provides a wide range of technical, planning and public works and infrastructure assistance in regions experiencing adverse economic changes that may occur suddenly or over time. This program is designed to respond flexibly to pressing economic recovery issues and is well suited to help address challenges faced by U.S. communities and regions. To be eligible, a project must be located in or benefit a region that, on the date EDA receives an application for investment assistance, satisfies one or more of the economic distress criteria set forth in 13 C.F.R. 301.3(a). All investments must be consistent with a current EDA- approved Comprehensive Economic Development Strategy (CEDS) or equivalent strategic economic development plan for the region in which the project will be located, and the applicant must have the required local share of funds committed, available, and unencumbered. Applicants must be able to start and complete proposed projects in a timely manner consistent with award terms and conditions. Recipients must award contracts in accordance with proper procurement procedures and ensure that contractors pay Davis-Bacon wage rates. Indirect costs are not allowed on construction projects. All grants awarded are competitive in nature. EDA does not award formula grants.

Applicant Eligibility:

Pursuant to PWEDA, eligible applicants for and recipients of EDA investment assistance include a State, city, county, or other political subdivision of a State, including a(n): (a) District Organization as defined in 13 CFR 304.2; (b) Indian Tribe or a consortium of Indian Tribes; (c) State, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of such political subdivisions; (d) institution of higher education or a consortium of institutions of higher education; or (e) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State. See section 3 of PWEDA (42 U.S.C. 3122) and 13 CFR 300.3. Projects eligible for Economic Adjustment investment assistance also include those located in regions meeting Special Need criteria as defined in 13 CFR 300.3. For-profit, private sector entities do not qualify for Economic Adjustment assistance under PWEDA. Therefore, EDA will not provide investments directly to individuals or for-profit entities. Such requests may be referred to State or local agencies, or to non-profit economic development organizations. As used in this paragraph, 'State' includes the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau.

Beneficiary Eligibility:

Beneficiaries of investments made under Economic Adjustment are those communities who satisfy one or more of the economic distress and/or Special Need criteria set forth in 13 C.F.R. 301.3(a) and 13 C.F.R. 300.3 to revitalize, expand, or upgrade their economic development assets to attract new industry, encourage business expansion, diversify their local economies, and generate or retain long-term private sector jobs and capital investments. Investments are intended to alleviate long-term deterioration and sudden and severe economic dislocation in distressed communities and regions.

Credentials/Documentation:

Applicants should refer to the specific requirements of the current Federal Funding Opportunity (FFO) to determine what documents must be submitted at the time of submission. EDA employs a two-phase review process for proposals/applications submitted under this FFO. All submissions under this FFO must proceed through the two-phase review process. Phase I, the Proposal Phase, of EDAs process is designed to provide applicants with an economical and efficient means of determining if their proposed project meets the essential criteria to be considered responsive to this FFO. In Phase I, potential applicants are able to provide EDA with general project information, as detailed in the FFO, to allow EDA to make a Phase I Determination, i.e., whether the proposed project meets the most essential criteria to be considered responsive to this FFO. Proposal packages submitted for Phase I consideration may be presented at any time during the fiscal year. All complete Phase I proposal packages will be reviewed by the respective regional office Proposal Review Committee (PRC), and the applicant will be advised of EDAs Phase I Determination. If the regional office PRC determines that a proposal is responsive to this FFO (Phase I Responsive Determination), EDA will inform the applicant that it may submit a complete application to proceed to Phase II of the process. In Phase II, the Application Phase, an applicant must submit a complete application, as detailed in the FFO, to be considered for funding. If an applicant submits an application in lieu of or concurrent with a proposal package, the regional office PRC must first make a Phase I Determination regarding the applicants submission before moving to Phase II, the Application Phase. In either Phase I or II, EDA may seek information or documentation from the applicant to clarify information presented in the proposal/application. Please refer to the current FFO for more information on EDAs review and selection process. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For information on the application process you may access the applicable federal funding opportunity (FFO) announcement for Economic Adjustment investment assistance at www.grants.gov and at www.eda.gov. EDA will review each application for Economic Adjustment investment assistance in accordance with the evaluation criteria, funding priorities, and selection procedures set forth in the applicable FFO.

Award Procedure:

Staff in the applicable EDA regional office review applications for eligibility and compatibility with EDA's mission and funding priorities. Applications deemed eligible and at least minimally consistent with EDA's mission and funding priorities are referred to an Investment Review Committee, which recommends applications for funding to the Regional Director. The Regional Director makes a funding decision as the Grants Officer for the respective region.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Applications for renewal or supplementation of additional projects may compete with applications for new awards.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Generally, the amount of the EDA grant may not exceed 50 percent of the total cost of the project. Projects may receive an additional amount that shall not exceed 30 percent, based on the relative needs of the region in which the project will be located, as determined by EDA.

In the case of EDA investment assistance to a(n) (i) Indian Tribe, (ii) State (or political subdivision of a State) that the Assistant Secretary determines has exhausted its effective taxing and borrowing capacity, or (iii) non-profit organization that the Assistant Secretary determines has exhausted its effective borrowing capacity, the Assistant Secretary has the discretion to establish a maximum EDA investment rate of up to 100 percent of the total project cost. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are specified in the grant award documents, but generally these do not exceed five years. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: As stated in the award terms and conditions. Funds are disbursed on a reimbursement basis.

Reports:

No program reports are required. No cash reports are required. Project progress and financial reports are required, generally on a quarterly basis, as required by the Special Terms and Conditions governing the award. EDA reviews progress and financial reports for compliance with all award terms and conditions, as well as EDA regulations. EDA requires the submission of the SF-425 Federal Financial Report. EDA reserves the right to conduct site visits on an as-needed basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Single or program-specific audits shall be performed. The applicant is reminded that EDA or the Department of Commerce's Office of Inspector General also may conduct an audit of an award at any time.

Records:

All financial and programmatic records, supporting documents, statistical reports and other records of recipients and sub-recipients are required to be maintained by the terms of the agreement.

Account Identification:

13-2050-0-1-452.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$53,787,463; FY 17 est \$48,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

The average size of an EAA investment has been approximately \$820,000, and investments generally range from \$100,000 to \$1,250,000. However, this average is informational only and is not intended to restrict the size of future awards.

TAFS Codes:

00-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR parts 300-302, 307.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Philip Saputo 1401 Constitution Avenue, NW, Room 71030, Washington, District of Columbia 20230 Email: psaputo@eda.gov Phone: (202) 400-0662

Website Address:

<http://www.eda.gov>

RELATED PROGRAMS:

11.300 Investments for Public Works and Economic Development Facilities; 11.302 Economic Development_Support for Planning Organizations; 11.303 Economic Development_Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

EDA will review all applications for investment assistance against the evaluation criteria outlined in the applicable Federal Funding Opportunity, which generally includes a review of the feasibility of the budget presented, conformance with EDA statutory and regulatory requirements, and alignment with strategic areas of interest and priority considerations identified in the applicable announcement. For more information on these investment priorities and current funding opportunities, go to <http://www.eda.gov>.

11.312 RESEARCH AND EVALUATION PROGRAM

FEDERAL AGENCY:

Economic Development Administration, Department of Commerce

AUTHORIZATION:

Public Works and Economic Development Act of 1965, as amended (PWEDA) (42 U.S.C. 3121 et seq.).

OBJECTIVES:

The Research and Evaluation Program of the Economic Development Administration (EDA) supports rigorous, practitioner accessible research of leading, world class economic development practice that advances our understanding of economic development. Current funding priorities include proposals that enhance regional competitiveness by focusing on entrepreneurship and innovation strategies. By working in conjunction with its research partners, EDA helps states, local governments, and community-based organizations to achieve their highest economic potential.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Research and Evaluation Program funds research, including evaluative

research, and national technical assistance projects to promote competitiveness and innovation in urban and rural regions throughout the United States.

Applicant Eligibility:

In accordance with PWEDA, eligible applicants for and recipients of EDA investment assistance include a(n): (a) District Organization (as defined in 13 CFR 304.2); (b) Indian Tribe or a consortium of Indian Tribes; (c) State, a city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (d) institution of higher education or a consortium of institutions of higher education; or (e) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State. See section 3 of PWEDA (42 U.S.C. 3122) and 13 CFR 300.3. For-profit, private-sector entities do not qualify for investment assistance under this program.

Beneficiary Eligibility:

Not applicable. Research and Evaluation investments are designed to finance projects for research into techniques that promote competitiveness and innovation in urban and rural regions throughout the United States.

Credentials/Documentation:

Researcher qualifications are considered in the application review process. Applicants must have demonstrated expertise in the proposed area of study. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should submit applications in accordance with the requirements of 13 C.F.R. part 306 and the applicable federal funding opportunity (FFO) announcement. EDA Headquarters can provide assistance in connection with proposed Research and Evaluation projects. Costs will be determined in accordance with applicable federal cost principles and uniform administrative requirements.

Award Procedure:

The Assistant Secretary of Commerce for Economic Development (Assistant Secretary), or other designated individual, is the selecting official for Research and Evaluation projects. EDA will award investment assistance in accord with the procedures set forth in the applicable FFO.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Generally, two months are required for EDA to reach a final decision after receipt of a completed application that meets all requirements.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 13, Chapter 3, Part 306, Subpart A, Public Law 108-373.

Matching Requirements: Percent: 50%. All matching shares must be allowable based on cost principles applicable to award.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length of time of a project period varies, but is generally one to two years. Grant funds are disbursed as required and justified. See 13 CFR. 306.3. See the following for information on how assistance is awarded/released: Awards

are released by reimbursement based on project expenses.

Reports:

No program reports are required. No cash reports are required. Grantees are required to provide project progress and financial reports, generally on a quarterly basis, in accordance with the applicable terms and conditions of the award. EDA requires the submission of the SF-425 Federal Financial Report. EDA reserves the right to conduct site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients must be maintained pursuant to the terms of investment award.

Account Identification:

13-2050-0-1-452.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$1,051,000; FY 17 est \$1,500,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Generally awards range between \$100K and \$400K.

TAFS Codes:

00-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR parts 300-302, 306.

Regional or Local Office:

See Regional Agency Offices. Refer to Appendix IV of the Catalog for EDA regional office contact information.

Headquarters Office:

Ryan M. Smith 1401 Constitution Ave., NW, Room 71030, Washington, District of Columbia 20230 Email: rsmith2@eda.gov Phone: 202-482-1464

Website Address:

<http://www.eda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

EDA will review all Research and Evaluation applications for the feasibility of the budget presented and conformance with EDA statutory, regulatory requirements, and those enumerated in the applicable federal funding opportunity (FFO). Applications must meet EDA's investment policy guidelines as set forth in 13 C.F.R. 301.8, the requirements of 13 C.F.R. part 306, as applicable, and other requirements as provided in the applicable FFO.

11.400 GEODETIC SURVEYS AND SERVICES (GEODESY AND APPLICATIONS OF THE NATIONAL GEODETIC REFERENCE SYSTEM)

Geodetic and Hydrographic Surveys

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Coast and Geodetic Survey Act., Public Law 80-373, 33 U.S.C 892; Commerce

and Trade, 15 U.S.C 1525; Coast and Geodetic Survey Act., Public Law 80-373, 33 U.S.C 883 (a,d,e).

OBJECTIVES:

To provide a national, coordinated spatial reference system at various specified intervals which provide scale, orientation, coordinated positions, and elevations of specific points for use in surveying, boundary delineations and demarcation, mapping, planning, and development; to provide assistance to State, local, municipal, and regional agencies in the development and implementation of Multipurpose Land Information Systems (MPLIS)/Geographic Information Systems (GIS) pilot projects and spatial reference system development and/or enhancement, and Height Modernization.

For the Joint Hydrographic Center (JHC) improve the techniques and technology of hydrographic surveying, hydrographic services, and ocean mapping.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Extend the National Spatial Reference System into areas not adequately covered to meet infrastructure needs. Use of basic data for survey system in local densification is unrestricted. Spatial Reference System coordinates and elevations describe baselines from which restricted coastal boundaries are delineated and other boundaries are marked. The National Spatial Reference System forms the standard of measurement on which State plane coordinate systems, land and utility records, and boundary delineations are based.

For JHC, To conduct research and carry out development programs at the Joint Hydrographic Center at the University of New Hampshire to improve the techniques and technology of hydrographic surveying, hydrographic services, and ocean mapping, and to conduct bathymetric research in support of delineation of an extended continental shelf. Funding is restricted to institutions of higher education, state, local and Indian tribal governments. Federal agencies or institutions and foreign governments may not be the primary recipient of awards under this statutory authority, but are encouraged to partner with eligible applicants when appropriate.

Applicant Eligibility:

State, local, municipal, universities and/or regional agencies. For the JHC, limited to the Universities.

Beneficiary Eligibility:

State, local, municipal, universities and/or regional agencies. For the JHC limited to the Universities.

Credentials/Documentation:

An official of the State, local, municipal, university and/or regional agency should certify as the official authorized, in accordance with State, local, municipal, or regional agency law, to commit the State, local, municipal, university and/or regional agency to participate in the grant program, to sign project documents, and to receive payments.

For the JHC, an approved official of the selected university should certify the application to commit the university to participate in the cooperative agreement and to receive payments in accordance with 2 CFR 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted Standard Form 424 via Grants.gov. Applicants will need to enter the Funding Opportunity number and/or CFDA number to access the application package and instructions. Submission of grant application on Standard Form

424 to the Director, National Geodetic Survey

For JHC, proposals are required to be submitted on Standard Form 424 via Grants.gov.

Award Procedure:

Applications are reviewed and recommended for funding by the National Geodetic Survey and processed and awarded by the NOAA Grants Management Division.

For JHC, applications are reviewed and recommended for funding by the Office of Coast Survey and processed and awarded by the NOAA Grants Management Division.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to 240 days.

Appeals:

No formal appeal procedure for grant applications. If grant applications are unacceptable, the reasons are fully stated to the applicants. If the applicants desire to resubmit grant applications, projects must be revised in accordance with recommended changes.

Renewals:

An approved on-going project can be extended by submission of an amendment request for continuation and/or supplemental funding, providing the request for extension is received 60 days prior to the end of the award period.

For JHC, Cooperative agreements are awarded as 5-yr, multiyear awards, with annual funding subject to availability. 5-yr renewals are by new competition.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically, Geodetic and Hydrographic Survey awards have a 1 year period of performance. However, applicants should rely on the funding announcements posted on www.grants.gov for specific details pertaining to the financial assistance being sought. See the following for information on how assistance is awarded/released: The Grants Officer will notify successful applicants in a written award notice when they have been selected for an award. Prior to official Grants Officer notification, other officials and employees from the operating unit are prohibited from either formally or informally notifying applicants verbally or in writing that they have been selected for awards. After consultation with the Program Officer, the Grants Officer shall determine the best method for notifying unsuccessful applicants. These notifications must be in writing. Specific details about this process can also be found in the specific funding announcements posted on www.grants.gov.

Reports:

Refer to 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and other terms and conditions specific to a particular award. Refer to 2 CFR 200.327. This information must be collected with the frequency required by the terms and conditions of the federal award, but no less frequently than annually nor more frequently than quarterly except in unusual circumstances. Refer to 200.328. The non-Federal entity must submit progress reports at the interval required by the Federal awarding agency or pass through entity to best inform improvements in program outcomes and productivity. Refer to 200.032 Recipient must provide accurate, current and complete disclosure of the financial expenditures/results of each federal award or program in accordance with the reporting requirements set forth in 2 CFR 200.327. Refer to 2 CFR 200.328. The non-federal entity must submit performance reports using OMB approved standard information collection when providing performance reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements, "nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub-grantees are required to be maintained by the terms of the agreement. The grantee must retain records for three years from the date when the final expenditure report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

(Project Grants) FY 16 \$1,839,836; FY 17 est \$2,979,455; and FY 18 est \$2,919,319

Range and Average of Financial Assistance:

For Geospatial Modeling:

FY16 = \$2,979,455
FY17 = \$2,919,319
FY18 = \$3,000,000 - Estimate

For JHC, FY 14 \$7,083,370; FY 15 est. \$6,200,000; FY 16 est. \$6,200,000.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: *Enhanced the geodetic infrastructure by integrating the vertical control established by a regional network of Continuously Operating Reference Stations (CORS) sites with tide gauges located along the northern Gulf coast.

*Conducted several geospatial workshops and trainings in the gulf coast region.

*Performed QA/QC of Lidar pilot projects in the gulf coast region.

*Continued the densification and lifecycle replacement of CORS throughout the Gulf Coast region.

For JHC, FY 2014-Improved technology for seafloor characterization and habitat mapping using multibeam echo sounders, airborne lidar, and satellite imagery. FY 15 Transitioned technology for multi-resolution grids to private sector for incorporation into operational hydrographic software, and developed improved concepts for visualization of currents on electronic graphic displays. FY 16 JHC will investigate improvements in autonomous technology for hydrographic surveying, and use of scanning lidars for coastal feature mapping. Fiscal Year 2017: \$1,839,836. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200

Regional or Local Office:

None.

Headquarters Office:

Juliana P. Blackwell National Geodetic Survey
1315 East West Highway
SSMC3, Station 8657

For JHC,
Andrew A. Armstrong, Office of Coast Survey,
24 Colovos Road, Durham, NH 03824,
telephone (603) 862-4559

, Silver Spring, Maryland 20910 Phone: (301) 713-3228

Website Address:

<http://www.nauticalcharts.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: \$1,839,836.
Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Typically, criteria for selecting proposals include: 1) Importance and/or relevance and applicability of proposal to the program goals; (2) Technical Merit; (3) Overall qualifications for applicants; (4) Project costs, and (5) Education and Outreach. Applicant should refer to the evaluation criteria written in the federal funding opportunity posted on www.grants.gov.

For JHC, the Joint Hydrographic Center is selected competitively based on criteria defined in the Federal Funding Opportunity Notice published in Grants.gov.

11.407 INTERJURISDICTIONAL FISHERIES ACT OF 1986

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Interjurisdictional Fisheries Act of 1986, Title 3, Public Law 99-659, 16 U.S.C 4106.

OBJECTIVES:

To assist States in managing interjurisdictional fisheries resources.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds can be used for research and enforcement of interjurisdictional fishery resources, for the development of Fishery Management plans, and for restoration of resources damaged by a natural resource disaster.

Applicant Eligibility:

The agency of a State government authorized under its laws to regulate commercial fisheries, and the Pacific, Atlantic, and Gulf Interstate Marine Fisheries Commissions.

Beneficiary Eligibility:

The agency of a State government authorized under its laws to regulate commercial fisheries.

Credentials/Documentation:

The Governor of each State shall notify the Secretary of Commerce which State agency is authorized to regulate commercial fisheries. An official of the State agency shall certify as the official(s) authorized in accordance with State law to commit the State to participation under the Act, to sign project documents, and to receive payments. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package

and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Applications reviewed by the National Marine Fisheries Service Regional Program Office and processed by the NOAA Grants Management Division (OA32).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 75 days or less.

Appeals:

No formal appeal procedure. If application is unacceptable the reasons are fully stated to the applicant. If the applicant desires to resubmit application, project must be revised in accordance with recommended changes.

Renewals:

No renewal. However, an approved on-going project can be extended by submission of an amendment request.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Apportionment to States is based on average value and volume of raw fish landed by domestic commercial fishermen. No State that qualified for funding may receive less than 1/2 of 1 percent or more than 6 percent of funds appropriated in any fiscal year based on conditions of the act. Federal funding is not to exceed 75 percent of approved project costs, except where a project supports an approved interstate or Federal Fishery Management Plan, up to 90 percent Federal funding may apply. The statistical factors used for fund allocation are: (1) Three year average of value of commercial fish landed, the source is the "Fishery Statistics of the U.S."; and (2) volume of fish landed, the source is the "Fishery Statistics of the U.S." No matching requirements for commission grants.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are normally for a 12 month period but in some instances, award periods may extend beyond 12 months, or may be completed in less than 12 months. Award funds must be spent in the indicated budget period and is expended in accordance with DOC/NOAA finance and reporting procedures. See the following for information on how assistance is awarded/released: According to grant agreement.

Reports:

Program reports are not applicable. Cash reports are not applicable. Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds during the six-month period. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three (3) years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Formula Grants) FY 16 \$3,386,604; FY 17 est \$3,386,604; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fishery-independent methods were used to collect abundance and biological data on adult red drum and coastal shark species for assessment of their population status in the coastal ocean and estuarine waters of South Carolina.

The Great Lakes Commission provided institutional support for the Great Lakes Panel and its committees assisting in the development, implementation, and coordination of aquatic nuisance species program activities in the Great Lakes. The focus of the Great Lakes Panel is building regional capacity for aquatic invasive species (AIS) prevention and control, targeting potential new introductions and established populations in the Great Lakes - St. Lawrence River ecosystem. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 253 available from Director, Office of Sustainable Fisheries (F/SF), National Marine Fisheries Service, 1315 East West Highway, Silver Spring, MD 20910. or from regional offices without cost.

Regional or Local Office:

See Regional Agency Offices. Regional or Local Office:

Potential applicants should make initial contact relative to this program at the region or area offices of the National Marine Fisheries Service listed. Alaska: Alaska Regional Office, Barbara Fosburg, NMFS, P.O. Box 12668, Juneau, AK 99802-1668. Telephone: (907) 586-7273. Use the same numbers for FTS. Email: barbara.fosburg@noaa.gov. Northeast: Harold C. Mears, State, Federal and Constituent Programs Office; Northeast Region, 55 Great Republic Dr., Gloucester, MA 01930-2276. Telephone: (978) 281-9243. Fax: (978) 281-9117. Email: grants.information@noaa.gov. Northwest Regional Office, Kevin Ford or Stephen Freese, 7600 Sand Point Way, NE, Seattle, WA 98115-6349. Telephone: (206) 526-6115 or 6113). E-mail Kevin.Ford@naoo.gov or Stephen Freese@noaa.gov. Pacific Islands Region: Scott Bloom, Federal Program Officer, 1601 Kapiolani Blvd., Honolulu, HI 96814-4700. Telephone: (808) 973-2941. E-mail: scott.bloom@noaa.gov Southeast: Cynthia Binkley, Federal Program Officer, State/Federal Liaison Branch, 263 13 Ave. South, St. Petersburg, FL 33701 Telephone: (727) 824- 5324.. Fax: (727) 824-5364 E-mail: Cynthia.Binkley@noaa.gov. Southwest: Patricia Culver, Federal Program Officer, Southwest Region Office, 501 West Ocean Blvd., Suite 4200, Long Beach, CA 90802- 4213. Telephone: (310) 980- 4239. Fax: (562) 980-4047. E-mail: trisha.culver@noaa.gov.

Headquarters Office:

Steve Meyers Office of Sustainable Fisheries, National Marine, Fisheries Service, 1315 East-West Highway, , Silver Spring, Maryland 20910 Email: steve.meyers@noaa.gov Phone: (301) 713-2334 Fax: (301) 713-0596

Website Address:

<http://www.http://sero.nmfs.noaa.gov/>

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.474 Atlantic Coastal Fisheries Cooperative Management Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: A Collaborative Approach to Prevention and Control of Great Lakes Aquatic Invasive Species; Fishery Independent Assessment of Adult Red Drum and Coastal Sharks in South Carolina; Monitoring and Management of Coastal and Pelagic Specie. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.408 FISHERMEN'S CONTINGENCY FUND

Fisheries Contingency Fund

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Outer Continental Shelf Lands Act Amendments of 1978, Title IV, Section 402.

OBJECTIVES:

To compensate U.S. commercial fishermen for damage/loss of fishing gear and 50 percent of resulting economic loss due to oil and gas related activities in any area of the Outer Continental Shelf.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

To compensate fishermen at specific geographic locations negatively impacted by oil and gas obstructions in these fishing grounds.

Applicant Eligibility:

Applicant must be a U.S. commercial fisherman.

Beneficiary Eligibility:

U.S. Commercial fishermen.

Credentials/Documentation:

Financial statements, records, logbooks, receipts, and estimates which establish that the applicant is a fisherman and owned the property for which compensation is claimed. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit applications to Chief, Financial Services Division, National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, MD 20910.

Award Procedure:

Review and approval by Financial Services Division, NMFS.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For two months.

Appeals:

Assistant Administrator, National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, MD 20910.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

N/A. See the following for information on how assistance is awarded/released: As determined in contract.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

None.

Account Identification:

13-5120-0-2-376.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Though NMFS has no obligated funds for FY16 this is an active program.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-5120.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Available from Chief, Financial Services Division, National Marine Fisheries Service. 43 U.S.C. 1841 et seq; 50 CFR Part 296.

Regional or Local Office:

None. See Appendix IV of the Catalog.

Headquarters Office:

Charles Cooper Chief, Financial Services Division, National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, Maryland 20910 Phone: (301) 713-1306

Website Address:

http://www.nmfs.noaa.gov/mb/financial_services/fcf.htm.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.413 FISHERY PRODUCTS INSPECTION AND CERTIFICATION

(Inspection and Grading of Fishery Products)

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Fish and Wildlife Act of 1956, Public Law 84-1024, 16 U.S.C 742(a-j); Agricultural Marketing Act of 1946, Public Law 79-733, 7 U.S.C 1324-1627; Reorganization Plan No. 4, 1970.

OBJECTIVES:

To ensure that the hygienic aspects of seafood harvesting and processing operations meet the minimum public health requirements and to determine and certify the identity, condition, and distributing quality, and quantity of seafood products.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

The voluntary inspection, grading and certification of seafood is made at the processing plant, or at other locations depending upon the inspection services desired. Funds are derived from fees charged for the services provided.

Applicant Eligibility:

Any individual, Federal, State, county, or municipal agencies, or carrier having a financial interest in the commodity.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Applicants must stipulate that they have a financial interest in the product. Applicants for in-plant inspection service must furnish a surety bond or other guarantee of payment. Applicants for other types of services may be required to furnish a surety bond or make payment prior to the receipt of services. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for in-plant inspection services must do so in writing to the nearest regional inspection office. Requests for other types of services may be made orally or in writing to the Regional Inspection Office.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

n/a. See the following for information on how assistance is awarded/released: Funds are released or reimbursed as agreed in the Standard Terms and Conditions required by each grant.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

13-1450-0-1-306.

Obligations:

(Provision of Specialized Services) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Though no awards were issued in FY16 this is an active program.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR Chapter II, Parts 260 to 267; Inspection Services Brochure, USDC approved list of fish establishments and products, free. Also available on the Internet at <http://seafood.nmfs.noaa.gov/>. Service fees are established annually.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

n/a Inspection Services Division, National Marine Fisheries Services, 1315 East-West Highway, Silver Spring, Maryland 20910 Phone: (301) 713-2355

Website Address:

http://www.seafood.nmfs.noaa.gov/export/export_certification/euexport.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.415 FISHERIES FINANCE PROGRAM

Fisheries Finance Program

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Merchant Marine Act, 46 U.S.C 1271 et seq.

OBJECTIVES:

Provides direct loans for certain fisheries costs. Vessel financing available for the purchase of used vessels or the reconstruction of vessels (limited to reconstructions that do not add to fishing capacity). Refinancing available for existing debt obligations. FFP loans are not issued for purposes which could contribute to over capitalization of the fishing industry. Finance or refinance fisheries shore side facilities or Aqua cultural facilities. Provides Individual Fishing Quota (IFQ) financing (at the request of a Fishery Management Council). IFQ financing available at this time to first time purchasers and small vessel operators in the Halibut Sablefish fisheries. Provides long term fishery buy back financing (at the request of a Fishery Management Council or Governor) to purchase and retire fishing permits and/or fishing vessels in overcapitalized fisheries.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

Direct loans for up to 80 percent of actual cost, for reconstruction or reconditioning of fishing vessels, renovation, repair or construction of fisheries shore side facilities, (including aquaculture facilities) and financing and refinancing the cost of certain IFQs. Maximum maturity up to 25 years. Funds from this program are not used for purposes which contribute to over capitalization of the fishing industry.

Applicant Eligibility:

Applicant must possess the ability, experience, financial resources, and other qualifications necessary to operate successfully and repay the debt.

Beneficiary Eligibility:

Commercial fishermen, processors or distributors of fishery products.

Credentials/Documentation:

Financial statements, records, business history, and tax returns. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Not applicable.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Maximum term is 25 years. Financing based on the economic useful life of the asset financed. See the following for information on how assistance is awarded/released: Funds are released or reimbursed as agreed upon in the Standard Terms and Conditions required for each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Annual Financial Statements and associated financial information as needed. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Agency has the right to inspect all relevant financial documents and records.

Account Identification:

13-1456-0-1-376.

Obligations:

(Direct Loans) FY 16 \$0; FY 17 est \$100,000,000; and FY 18 est \$0 - Though no funds were obligated in FY16 this is an active program. 100 million in lending authority was granted in FY16.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1456.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 253.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Leo Erwin Financial Services Division, National Marine Fisheries Service, Department of Commerce, 1315 East-West Highway, Silver Spring, Maryland 20910 Email: leo.erwin@noaa.gov Phone: 3017132390 Fax: 3017131306

Website Address:

https://www.greateratlantic.fisheries.noaa.gov/mediacenter/2016/march/29_noa_a_now_accepting_applications_for_fisheries_finance_program.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.417 SEA GRANT SUPPORT**FEDERAL AGENCY:**

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Sea Grant College Program Improvement Act of 1976, Public Law 94-461, 33 U.S.C 1121; National Sea Grant College Program Act, Public Law 100-220.

OBJECTIVES:

To support the establishment and operation of major university centers for marine resources research, education, and training and to support marine advisory services. Some individual efforts in these same areas also receive funding.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may be used for research and development, education and training, and advisory services. Coherent area, institutional and Sea Grant College support is provided to some institutions. Grant money cannot be used to purchase, or construct ships or facilities.

Applicant Eligibility:

Universities, colleges, junior colleges, technical schools, institutes, laboratories; any public or private corporation, partnership, or other association or entity; any State, political subdivision of a State or agency or officer thereof; any individual.

Beneficiary Eligibility:

Organizations and individuals with professional interest in marine affairs.

Credentials/Documentation:

Proposals with statement of work and estimated budget. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Made in a proposal to Headquarters Office or local Sea Grant program fully documenting need for grant and proposed amount. This program is excluded from coverage under OMB Circular No. A- 102.

Award Procedure:

Awards are made competitively on the basis of proposal evaluation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 180 days from time of proposal submission.

Appeals:

Not Applicable.

Renewals:

By negotiation with Sea Grant Office.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
n/a.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Financial status reports and performance reports required quarterly. Final reports are required at the end of the support period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees expected to maintain complete records for each grant to insure funds are used for purpose for which grant was made.

Account Identification:

13-1450-0-1-306.

Obligations:

(Project Grants) FY 16 \$72,492,871; FY 17 est \$74,789,001; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 600-635; Literature: Suggestions for Submission of Proposals and Administration of Grants, National Sea Grant Program (no charge). Federal Register Vol. 43, No. 70 dated April 1978 and Vol. 43, No. 153 dated August 8, 1978, Part III, Title 15, Chapter IX, Part 917. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

na Director, National Sea Grant College Program, National Oceanic and Atmospheric Administration, 1315 East-West Highway, Silver Spring, Maryland 20910 Phone: (301) 713-2448

Website Address:

<http://www.noaa.gov>

RELATED PROGRAMS:

11.407 Interjurisdictional Fisheries Act of 1986; 11.419 Coastal Zone Management Administration Awards; 11.420 Coastal Zone Management Estuarine Research Reserves; 20.806 State Maritime Schools; 20.807 U.S. Merchant Marine Academy; 47.050 Geosciences

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged on the degree to which they contribute to the purposes of the Act, along with their relevance to the oceans, Great Lakes, and the marine environment. They are also judged on expected results and benefits, soundness of scientific approach, cost effectiveness, and other factors.

11.419 COASTAL ZONE MANAGEMENT ADMINISTRATION

AWARDS

Agency: Department of Commerce Office: National Oceanic and Atmospheric Administration (NOAA)

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Coastal Zone Management Act Amendments of 1984, Public Law 98-620; Coastal Zone Management Act of Amendments 1976, Section 306, Public Law 94-370; Coastal Zone Management Act Amendments of 1986, Public Law 99-272; Coastal Zone Management Act of Amendments 1980, Executive Order Pub. L. No. 92-583, 16 U.S.C. 1451 et seq., Public Law 96-464, 16 U.S.C 1451; Coral Reef Conservation Act of 2000, Section 6403, Public Law 106-562; Coastal Zone Management Act of 1972, Executive Order Pub. L. No. 92-583, 16 U.S.C. 1451 et seq., Public Law 92-583, 16 U.S.C 1451; Coastal Zone Act Reauthorization Amendments of 1990, Executive Order Pub. L. No. 92-583, 16 U.S.C. 1451 et seq., Public Law 101-508, 16 U.S.C 1451.

OBJECTIVES:

To assist States in implementing and enhancing Coastal Zone Management and related programs that have been approved by the Secretary of Commerce.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Cooperative Agreements may be used only to implement and enhance the States' approved Coastal Zone Management programs. This includes personnel salaries, travel and other related costs required to support the administration of the program. Ten to twenty percent of Section 306 of the CZMA funds are available annually to develop new program requirements under Section 309 of the CZMA (16 U.S.C. 1456b) in the areas of coastal wetlands management and protection; natural hazards management (including potential sea and Great Lakes level rise); public access improvements; reduction of marine debris; assessment of cumulative and secondary impacts of coastal growth and development; special area management planning; impacts of coastal growth and development; special area management planning; ocean resource planning; and citing of coastal energy and Government facilities; and program development grants as authorized by section.

Applicant Eligibility:

Any coastal State, including those that border the Great Lakes, and including Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and the Freely Associated States of the Pacific whose Coastal Zone Management program has been approved by the Secretary of Commerce. The Governor shall designate the State agency or entity that is to be the applicant.

Beneficiary Eligibility:

Institutions of higher educations, hospitals, other nonprofits, commercial organizations, foreign governments, organizations under the jurisdiction of foreign governments, international organizations, and state, local and Indian tribal governments.

Credentials/Documentation:

Letter from Governor designating the applicant. Proposal with statement of work and budget estimate. Costs will be determined in accordance with relevant OMB requirements. DOC has adopted the OMB Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (OMB Uniform Guidance), which are codified at 2 C.F.R. Part 200 and incorporates relevant cost principles. Relevant OMB cost principles apply to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant

should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals should be submitted through Grants.gov. Applicants will need to enter the Funding Opportunity and/or CFDA number to access the application package and instructions. Application packages will then be submitted directly to the applicable federal program. Proposals are subject to the requirements of 2 C.F.R. Part 200

Award Procedure:

Applications are approved by the Office for Coastal Management.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 150 days.

Appeals:

No formal procedure.

Renewals:

Continuation grants on an annual basis are available. Individual grants may be extended, however, all funds must be obligated within the fiscal year following the fiscal year of the original award.

Formula and Matching Requirements:

Statutory Formula: Title 15 - Commerce and Foreign Trade, Chapter IX - National Oceanic and Atmospheric Administration, Part 923 - Coastal Zone Management Program Regulations, Subpart B. As required by Section 306(a) of the CZMA (16 U.S.C. 1455(a)), matching funds requirements for CZMA administrative grants may be found at 15 C.F.R. 923.110. In general, match is required at a ratio of 1:1 for administrative grants. For enhancement grants under Section 309 of the CZMA (16 U.S.C. 1456b), non-federal match is not required.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is typically available to formula recipients for 12 to 18 months with a possible extension not to exceed a project period of 3 total years.

Extension requests will be considered by the federal program office only if the recipient has submitted all required performance reports. At least sixty days prior to the end of the award, recipients must discuss with their federal program officer expected reprogramming and extension requests. After preliminary negotiation on proposed projects and extensions, formal written reprogramming and extension requests must be submitted to the NOAA at least 30 days prior to end date. Recipients should make every effort to submit one extension request for all projects needing an extension (when possible, multiple extension requests should be avoided). With sufficient justification, the federal program office will recommend approval of an extension up to 1 year for the first extension request. First time or cumulative extension requests for more than six months but less than 12 months, will need more compelling justification as to why the project was not completed on time or could not be completed within the first six-month extension. Although the federal program office discourages extensions of more than 12 months, the federal program office will recommend approval in cases where the funds are already obligated. Renewal of an award to increase funding or extend the period of performance is at the total discretion of the Department. See the following for information on how assistance is awarded/released: Funds are released as agreed to in the Standard Terms and Conditions document required for each grant; and special award conditions as applicable.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. A non-Federal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of the OMB Uniform Guidance (see Subpart F to 2 C.F.R. Part 200).

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub-grantees are required to be maintained by the terms of the agreement. In accordance with 2 C.F.R. 200.333, the non-Federal entity must retain records for three years from the date when the final expenditure report is submitted, unless certain exceptions apply.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$82,978,692; FY 17 est \$72,143,911; and FY 18 est \$72,143,911

Range and Average of Financial Assistance:

\$795,000 to \$2,300,000 (average \$2,000,000).

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: State coastal programs will protect and restore coastal habitat, promote coastal community development, mitigate risks from coastal hazards, protect coastal water quality, and improve public access to the coast.

Fiscal Year 2017: State coastal programs will protect and restore coastal habitat, promote coastal community development, mitigate risks from coastal hazards, protect coastal water quality, and improve public access to the coast.

Fiscal Year 2018: State coastal programs will protect and restore coastal habitat, promote coastal community development, mitigate risks from coastal hazards, protect coastal water quality, and improve public access to the coast.

REGULATIONS, GUIDELINES, AND LITERATURE:

15 CFR Part 923. Allowable costs and administrative requirements will be determined in accordance with relevant OMB requirements. As noted above, the DOC adopted the OMB Uniform Guidance as of December 26, 2014, which incorporates all relevant cost principles and uniform administrative requirements.

Regional or Local Office:

See Regional Agency Offices. Joelle Gore, Chief, Stewardship Division, Office for Coastal Management, National Ocean Service, National Oceanic and Atmospheric Administration, Department of Commerce, 1305 East-West Highway, SSMC 4, Room 10622, Silver Spring, Maryland 20910 Phone: (240) 533-0813.

Headquarters Office:

Dr Russell Callender National Ocean Service, National Oceanic and Atmospheric Administration, Department of Commerce, 1305 East-West Highway, Silver Spring, Maryland 20910 Email: Russell.Callendar@noaa.gov Phone: 2405330908

Website Address:

<http://coastalmanagement.noaa.gov/programs/czm.html>

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.473 Coastal Services Center; 11.482 Coral Reef Conservation Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: State and local projects for managing coastal erosion, collecting data for and mapping coastal and ocean habitat and uses, and develop best practices for addressing sea level rise. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

While 80 to 90 percent of the appropriated funds are allocated to States through a formula based on coastal population and shoreline mileage, the tasks in the State application are reviewed for relevance to program objectives and cost effectiveness. The remainder of the funds are allocated according to criteria published by the Office for Coastal Management. Coastal Estuarine and Land Conservation Program and Coral Reef funds are distributed through a competitive process, subject to availability of funds for competitive awards.

11.420 COASTAL ZONE MANAGEMENT ESTUARINE RESEARCH RESERVES**FEDERAL AGENCY:**

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Coastal Zone Management Act Amendments of 1984, Public Law 98-960; Coastal Zone Management Act of 1972, Section 312, Public Law 92-583, 16 U.S.C 1451; Coastal Zone Management Act Amendments of 1980., Public Law 96-464; Coastal Zone Act Reauthorization Amendments of 1990, Stat. 508; Coastal Zone Management Act Amendments of 1987, Section 315, Public Law 94-370.

OBJECTIVES:

To assist States in the development, acquisition, monitoring, research, education, operation and facilities construction for National Estuarine Research Reserves for the purpose of creating natural field laboratories to gather data and make studies of, and educate people about the natural and human processes occurring within the estuaries of the coastal zone.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Grants may be used to cover the costs of land acquisition, development, research, education, operation and facilities construction for National Estuarine Research Reserves. Development and operation costs may include the administrative expenses necessary for implementing reserve programs. Grants are available to states for education projects at reserves. Competitive research grants are also available.

Applicant Eligibility:

Land acquisition, development, operation, and facilities construction grants are available to any coastal State and, in some cases, public or private person, including those bordering the Great Lakes. For the purposes of the Coastal Zone Management Act, the coastal State also applies to Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Governor shall designate the State agency or entity to be the applicant. Competitive research grants are available to any coastal State or qualified public or private person. Education grants are available to any coastal State entity.

Beneficiary Eligibility:

Any coastal State including those bordering the Great Lakes, as well as Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands are eligible for reserve operation, land acquisition, or construction awards where a reserve has been designated and for development awards for designating new reserves. Also qualified students are eligible to apply for research fellowship grants.

Credentials/Documentation:

(1) For development grants: A letter from the Governor designating the lead agency for new reserve development; (2) for competitive research grant proposals which do not come from the lead agency: A letter from the reserve manager indicating formal support of the application by the lead agency; (3) for reserve operations, land acquisition, and construction: An application from the reserve lead agency or its designee. For all grants, a statement of work and budget estimate must be submitted. As noted above, costs will be determined in accordance with relevant OMB cost principles. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Proposals should be submitted through Grants.gov. Applicants will need to enter the Funding Opportunity and/or CFDA number to access the application package and instructions. Application packages will then be submitted directly to the applicable federal program. Proposals are subject to the requirements of 2 C.F.R. Part 200

Award Procedure:

Applications are approved by the Office for Coastal Management.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 150 workdays.

Appeals:

No formal procedure. If application is unacceptable the applicant is fully informed and may revise application.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 15, Chapter IX, Part 921, Subpart D. For operations and maintenance projects, in accordance with Section 315(e)(2)(A) of the CZMA (16 U.S.C. 1461(e)(2)(B)) and the NERRS regulation at 15 C.F.R. 921.32(c), Federal funds may not exceed 70% of the total cost of operating and managing a Reserve for any one year, except when the financial assistance is provided from amounts recovered as a result of damage to natural resources located in the coastal zone, in which case the assistance may be used to pay 100% of the costs. For acquisition projects, in accordance with Section 315(e)(2)(A) of the CZMA (16 U.S.C. 1461(e)(2)(A)) and the NERRS regulation at 15 U.S.C. 921.31, Federal funds for the acquisition of lands or waters, or interests therein for any one reserve may not exceed an amount equal to 50% of the costs of the lands, waters, and interests therein of \$5,000,000, whichever amount is less, except when the financial assistance is provided from amounts recovered as result of damage to natural resources located in the coastal zone, in which case the assistance may be used to pay 100% of all actual costs of activities carried out with this assistance, as long as such funds are available.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is typically available to formula recipients for 12 to 18 months with a possible extension not to exceed a project period of 3 total years.

Assistance is typically available to competitive recipients for 1 to 5 years.

The maximum time that may be granted for recipients to expend and drawdown funds is 5 years.

Extension requests will be considered by the federal program office only if the recipient has submitted all required performance reports. At least sixty days prior to the end of the award, recipients must discuss with their federal program officer expected reprogramming and extension requests. After preliminary negotiation on proposed projects and extensions, formal written reprogramming and extension requests must be submitted to the NOAA at least 30 days prior to

end date. Recipients should make every effort to submit one extension request for all projects needing an extension (when possible, multiple extension requests should be avoided). With sufficient justification, the federal program office will recommend approval of an extension up to 1 year for the first extension request. First time or cumulative extension requests for more than six months but less than 12 months, will need more compelling justification as to why the project was not completed on time or could not be completed within the first six-month extension. Although the federal program office discourages extensions of more than 12 months, the federal program office will recommend approval in cases where the funds are already obligated. Renewal of an award to increase funding or extend the period of performance is at the total discretion of the Department. See the following for information on how assistance is awarded/released: Funds are released as agreed to in the Standard Terms and Conditions document required for each grant; and special award conditions as applicable.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. All financial status and performance reports are required semi-annually. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub grantees are required to be maintained by the terms of the agreement. Each non-Federal entity must retain records for three years from the date when the Final expenditure report is submitted.

Account Identification:

13-1450-0-1-306; 13-1460-0-1-306.

Obligations:

(Project Grants) FY 16 \$24,146,039; FY 17 est \$22,938,262; and FY 18 est \$22,938,262

Range and Average of Financial Assistance:

\$20,000 to \$600,000 (average \$250,000).

TAFS Codes:

13-1450; 13-1460.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: : The state-federal partnership program, the National Estuarine Research Reserves System, is working collaboratively to improve understanding of estuarine ecosystems through research and monitoring of water quality and habitat; train coastal decision-makers on key topics of local relevance and national significance, tools, and approaches to effectively manage coastal habitats and communities; educate citizens and K-12 audiences on key issues of importance to understanding and protecting estuaries and coasts, and served as stewards of estuarine resources. The NERRS is investing in land acquisition to protect valuable estuarine habitats and facilities improvements to support reserve operations and model sustainable practices. Fiscal Year 2017: The state-federal partnership program, the National Estuarine Research Reserves System, will work collaboratively to improve understanding of estuarine ecosystems through research and monitoring of water quality and habitat; train coastal decision-makers on key topics of local relevance and national significance, tools, and approaches to effectively manage coastal habitats and communities; educate citizens and K-12 audiences on key issues of importance to understanding and protecting estuaries and coasts, and served as stewards of estuarine resources. The NERRS will invest in land acquisition to protect valuable estuarine habitats and facilities improvements to support reserve operations and model sustainable practices. Fiscal Year 2018: The state-federal partnership program, the National Estuarine Research Reserves System, will work collaboratively to improve understanding of estuarine ecosystems through research and monitoring of water quality and habitat; train coastal decision-makers on key topics of local relevance and national significance, tools, and approaches to effectively manage coastal habitats and communities; educate citizens and K-12 audiences on key issues of importance

to understanding and protecting estuaries and coasts, and served as stewards of estuarine resources. The NERRS will invest in land acquisition to protect valuable estuarine habitats and facilities improvements to support reserve operations and model sustainable practices.

REGULATIONS, GUIDELINES, AND LITERATURE:

15 CFR Part 921. Allowable costs and administrative requirements will be determined in accordance with relevant OMB requirements. As noted above, the DOC anticipates adopted the OMB Uniform Guidance as of December 26, 2014, which incorporates all relevant cost principles and uniform administrative requirements.

Regional or Local Office:

None. Joelle Gore, Chief, Stewardship Division, Office for Coastal Management, National Ocean Service, National Oceanic and Atmospheric Administration, Department of Commerce, 1305 East-West Highway, SSMC 4, Room 10622, Silver Spring, Maryland 20910 Phone: (240) 533-0813.

Headquarters Office:

Acting Assistant Administrator National Ocean Service, National Oceanic and Atmospheric Administration, Department of Commerce, 1305 East-West Highway, N/ORM5, 10th Floor, Silver Spring, Maryland 20910 Email: Russell.Callendar@noaa.gov Phone: 240-533-0908

Website Address:

<http://www.nerrs.noaa.gov>

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.419 Coastal Zone Management Administration Awards; 11.429 Marine Sanctuary Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: Local specific examples of addressing water quality, habitat, and climate change for estuarine ecosystems and coastal communities. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

There are five types of awards to coastal States under the National Estuarine Research Reserve Program: (1) land acquisition; (2) development; (3) operation, education, monitoring; (4) research; and (5) facilities construction. Detailed criteria for the State's development of these awards and criteria for reviewing each award are provided in the regulations for the National Estuarine Research Reserves Program (15 CFR Part 921). Final regulations were published in the Federal Register, July 15, 1993.

11.426 FINANCIAL ASSISTANCE FOR NATIONAL CENTERS FOR COASTAL OCEAN SCIENCE

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Marine Protection, Research, and Sanctuaries Act of 1972, Title II, Section 201, Public Law 095-532; National Ocean Pollution Planning Act of 1978, Section 6, Public Law 095-273; Executive Order 13158.

OBJECTIVES:

NCCOS provides research, scientific information and tools to help balance the Nations ecological, social and economic goals. Our partnerships with local and national coastal managers are essential in providing science and services to benefit communities and the Nation. The research and tools we provide are central to addressing coastal issues raised in legislation and NOAA's priorities. We seek to help coastal communities protect themselves from harmful algae, contamination and the implications of changing climate. We also provide the research necessary for communities to develop effective and sustainable management of their resources. Through competitive research funding, NCCOS also engages the nations best talent to develop cutting-edge science for coastal management applications. NCCOS's four major research priorities are: climate impacts, coastal ecosystem management, coastal pollution, and harmful algal blooms.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Universities, colleges, junior colleges, technical schools, institutes, laboratories, State and local government agencies, public or private profit or nonprofit entities or individuals.

Beneficiary Eligibility:

Organizations and individuals utilizing science in effectively managing the Nations coastal resources.

Credentials/Documentation:

Proposals with statement of work, estimated budget, and curriculum vitae. Costs will be determined in accordance with OMB Circular Nos. A-87 relocated to 2 CFR Part 225 for State and local governments, A-21 relocated to 2 CFR Part 220 for educational institutions, and A-122 relocated to 2 CFR Part 230 for nonprofit organizations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The standard application forms, as furnished by NOAA and required by 15 CFR Part 24, must be used when applying for Federal funding. These forms can be obtained from the NOAA Grants Management Division. Informal preapplication conferences are recommended. Consultation and assistance is available from NOAA in the preparation of an application. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms, as furnished by NOAA and required by 15 CFR Part 24, must be used when applying for Federal funding. These forms can be obtained from Grants.gov. Informal preapplication conferences are recommended. Consultation and assistance is available from NOAA in the preparation of an application. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Award Procedure:

Awards are made on the basis of proposal evaluation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 90 to 210 days from the time of proposal receipt.

Appeals:

Not Applicable.

Renewals:

By application to the National Centers for Coastal Ocean Science, 1305 East-West Highway, Silver Spring, MD 20910. Attn: Mary C Erickson.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Support for projects may be provided for periods up to 5 years in annual increments. See the following for information on how assistance is awarded/released: Funds are released as required.

Reports:

Semi-annual and final performance reports; quarterly and final financial reports, and other special reports as may be required on a case-by-case basis. Cash reports are not applicable. Semi-annual and final performance reports; quarterly and final financial reports, and other special reports as may be required on a case-by-case basis. Cash reports are not applicable. Expenditure reports are not applicable. Expenditure reports are not applicable. Semi-annual and final performance reports; quarterly and final financial reports, and other special reports as may be required on a case-by-case basis.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of OMB Circular No. A-133, recipients that are States, Local Governments, Nonprofit Organizations, and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub grantees are required to be maintained by the terms of the agreement (OMB Circular No. A110 for institutions of higher education and other nonprofit organizations and with 15 CFR Part 24 for State and local governments). The grantee must retain records for three years from the date when the final expenditure report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$10,000

Range and Average of Financial Assistance:

\$20,000 to \$550,000. Average \$250,000.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Was not used in 2016. Fiscal Year 2017: Was not used in 2017. Fiscal Year 2018: NCCOS anticipates science and science products (e.g. maps, forecasts, and models) to be used by coastal communities to protect themselves from harmful algae, water contamination, eutrophication and sea level rise and coastal storms. It is expected that NCCOS research will also provide critical information for communities to develop effective and sustainable management of their resources. Research efforts will lead to faster and more accurate and efficient assessment and monitoring of coastal ecosystems, earlier warning and more accurate forecasts of harmful algal bloom and pathogens, and provide tools to help communities assess and address their vulnerabilities to sea level rise and coastal storms.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CRF Part 24 for state and local governments.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Mary Erickson National Ocean Service
National Center for Coastal Ocean Science (N/SCI)
1305 East-West Highway, SSMC4, Station 8211, Silver Spring, Maryland
20910 Phone: 301-713-3020

Website Address:

<http://coastalscience.noaa.gov>

RELATED PROGRAMS:

11.417 Sea Grant Support

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For competitive grants/cooperative agreements, criteria for selecting proposals is contained in the individual program solicitation. For non-competitive grants, applications received by NCCOS are reviewed for completeness, accuracy, and compliance with all program requirements. Final funding decisions will be constrained by the actual amount of agency funding available and changes in programmatic priorities.

11.427 FISHERIES DEVELOPMENT AND UTILIZATION RESEARCH AND DEVELOPMENT GRANTS AND COOPERATIVE AGREEMENTS PROGRAM

The Saltonstall-Kennedy Research Grant Program

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Saltonstall-Kennedy Act, 15 U.S.C. 713c 3(c).

OBJECTIVES:

To increase greatly the Nation's wealth and quality of life through sustainable fisheries that support fishing industry jobs, safe and wholesome seafood, and recreational opportunities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Proposals must respond to funding priorities in the annual solicitation. Applicants should demonstrate an awareness of the fisheries resources in the region over which the resource extends as well as the issues/problems involving such fisheries. Issues/problems addressed in the project should be supported by industry experience. Fund may not be used primarily for infrastructure.

Applicant Eligibility:

Any U.S. citizen or national, or any citizen of the Northern Mariana Islands, the Republic of the Marshall Islands, Republic of Palau, or the Federated States of Micronesia; or group including State and local governments, except that employees of Federal agencies, and Regional Fishery Management Councils and their employees, are not eligible.

Beneficiary Eligibility:

Any U.S. citizen or national, or any citizen of the Northern Mariana Islands, the Republic of the Marshall Islands, Republic of Palau, or the Federated States of Micronesia; or group including State and local governments.

Credentials/Documentation:

Proposal with statement of work and budget estimate. See annual solicitation published at www.grants.gov for details. Costs will be determined in accordance with 2 CFR 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

A listing of State single points of contact under E.O. 12372 is available at www.hhs.gov/grantsnet/lawsregs/eo.htm. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Submit Standard Form 424, project proposal and budget following the format specified by the funding office in the annual solicitation. Proposals are submitted through Grants.gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to NOAA. Proposals are subject to the requirements of 15 CFR Parts 14 and

24, as applicable.

Award Procedure:

Proposals are reviewed after the submission deadline in competition with all other proposals submitted. To the extent that funds are available, those proposals judged to best meet priorities are funded. Either grants or cooperative agreements will be awarded.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 4 months.

Appeals:

Not Applicable.

Renewals:

Projects of up to 24-month durations will be considered. Proposals for continuing work must be completed.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are not to exceed 24 months. Financial status reports are completed and submitted. See the following for information on how assistance is awarded/released: As determined in agreement.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semiannual progress and financial reports shall be due within 30 days after the end of the 6-month period. Final reports shall be submitted within 90 days after the end of the project. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an agreement shall be retained for a period of three years.

Account Identification:

13-5139-0-2-376.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 est \$10,500,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-5139.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For costs principles, see 2 CFR 200

Regional or Local Office:

See Regional Agency Offices. All Regional Contacts are listed in the Full Opportunity found at www.grants.gov as well as at the SK homepage http://www.fisheries.noaa.gov/mb/financial_services/skhome.htm.

Headquarters Office:

Dan Namur Headquarters Office: Dan Namur, NOAA National Marine Fisheries Service., Office of Management and Budget, 1315 East-West Highway, Rm. 14358, Silver Spring, MD 20910 .
. Silver Spring, Maryland 20910 Email: Dan.Namur@noaa.gov Phone: (301

427-8730 Fax: (301) 713-1306.

Website Address:

http://www.fisheries.noaa.gov/mb/financial_services/skhome.htm

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Significance of the issue addressed in the proposal, methodology proposed, responsiveness to published priorities, cost proposed, etc.

11.429 MARINE SANCTUARY PROGRAM

Office of National Marine Sanctuaries

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Marine Protection, Research, and Sanctuaries Act of 1972, Title 3, Public Law 92-532, 16 U.S.C 1431; National Marine Sanctuaries Amendments Act of 2000, Public Law 106-513; National Marine Sanctuaries Preservation Act of 1996, Public Law 104-283.

OBJECTIVES:

To provide educational and outreach to the citizens of the United States of America on ocean conservation, marine sanctuaries and marine protected areas through numerous competitive and non-competitive grants to private foundations, local public schools, universities and many other entities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

ONMS funds grant recipients who work with the office on educational and outreach activities such as advisory councils, conferences and workshops that focus on ocean conservation. All recipients must act in good faith and follow all terms and conditions outlined in the financial assistance documents associated with the funded activities.

Applicant Eligibility:

Agreements to solicit private donations may only be made with nonprofit organizations.

Beneficiary Eligibility:

Financial assistance is made for a public purpose. The ultimate beneficiary is the public. The scholarship program benefits individuals who do not have the financial means of pursuing graduate level studies and, in turn, ensures that women and minorities are representative in the areas supported by the scholarship program.

Credentials/Documentation:

The applicants must provide all funding and related documentation in order to apply for a grant. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The standard application forms, as furnished by NOAA and required by 15 CFR Part 24, must be used when applying for Federal funding. These forms can be obtained from the NOAA Grants Management Division. Informal preapplication conferences are recommended. Consultation and assistance is available from NOAA in the preparation of an application. This program is excluded from coverage under E.O. 12372. For the scholarships to individuals, there is no preapplication requirement. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Applications must be submitted by due dates issued by the servicing agency and all applications must be done in good faith in order for the application to successfully approved by governmental agency attorneys and the grant office.

Award Procedure:

Applications are required for competitive and non-competitive grants. Grantees must apply by the due date published in the announcement posted on www.grants.gov. Application packages must be submitted on time, be complete and meet minimum requirements in order to be considered for funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Up to 240 DAYS.

Appeals:

No formal procedure.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Marine Protection, Research, and Sanctuaries Act of 1972, Chapter Title 3, Public Law Public Law 92-532. Marine Protection, Research, and Sanctuaries Act of 1972, Title 3, Public Law 92-532, 16 U.S.C 1431; National Marine Sanctuaries Preservation Act of 1996, Public Law 104-283; National Marine Sanctuaries Amendments Act of 2000, Public Law 106-513.

This program has no matching requirements. This program does not have MOE requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically, ONMS awards have a 1 year period of performance. However, applicants should rely on the funding announcements posted on www.grants.gov for specific details pertaining to the financial assistance being sought. See the following for information on how assistance is awarded/released: The Grants Officer will notify successful applicants in a written Award Notice when they have been selected for an award. Prior to official Grants Officer notification, other officials and employees from the operating unit are prohibited from either formally or informally notifying applicants verbally or in writing that they have been selected for awards. After consultation with the Program Officer, the Grants Officer shall determine the best method for notifying unsuccessful applicants. These notifications must be in writing. Specific details about this process can also be found in the specific funding announcements posted on www.grants.gov.

Reports:

Refer to 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and other terms and conditions specific to a particular award. 200.327 This information must be collected with the frequency required by the terms and conditions of the federal award, but no less frequently than annually nor more frequently than quarterly except in unusual circumstances. 200.328 The non-Federal entity must submit progress reports at the interval required by the Federal awarding agency or pass through entity to best inform improvements in program outcomes and productivity. 200.032 Recipient must provide accurate, current and complete disclosure of the financial expenditures/results of each federal award or program in accordance with the reporting requirements set forth in 200.327. 200.328 The non federal entity must submit performance reports using OMB approved standard information collection when providing performance reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2

CFR 200, Subpart F Audit Requirements," nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program- specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

"In accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements," nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program- specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$9,501,000; FY 17 est \$7,608,471; and FY 18 est \$5,999,521

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Dr. Nancy Foster Scholarship Program provided funds to graduate students for continuation of studies in ocean conservation and marine science. The ONMS-supported Bay Watershed Education and Training Program (B-WET) provided grants to Great Lakes and California recipients for ocean conservation programs in local elementary schools, high schools, and universities. The ONMS provided funds to tribes in the Pacific Northwest for ocean conservation activities. The National Marine Sanctuary Foundation works with the Office of National Marine Sanctuaries to promote ocean awareness and conservation through outreach and education campaigns. This Mariners Museum received funds to maintain the USS Monitor Artifacts at The Mariners Museum. Fiscal Year 2017: In Fiscal Year 2017 The National Marine Sanctuary Foundation will continue to receive funding for education and outreach events such as Capital Oceans Week, and several round tables on ocean conservation where National Marine Sanctuaries are located. The ONMS will provide grants for California BWET, Great Lake BWET, Pacific Northwest BWET and. Nancy Foster Scholarship will provide funds to graduate students of studies in ocean conservation and marine science. BWET Programs provides funds to educate students in each region on ocean science and conservation... Fiscal Year 2018: \$9,546,441.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to 2 CFR 200.

Regional or Local Office:

None. Regional or Local Office. Local Offices at each sanctuary.

Headquarters Office:

Joseph Faulkner Joe T. Faulkner, Federal Program Officer
NOAA, Office of National Marine Sanctuaries
1305 East West Highway, SSMC4, Station 11653
Silver Spring, MD 20910
Telephone: 301-713-7241

, Silver Spring, Maryland 20910 Email: Joe.T.Faulkner@noaa.gov Phone: 301-713-7241

Website Address:

<http://sanctuaries.noaa.gov/http://fosterscholars.noaa.gov/>

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.419 Coastal Zone Management Administration Awards; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.457 Chesapeake Bay Studies; 11.473 Coastal Services Center

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: 9546441.
Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

1) Importance and/or relevance and applicability of proposal to the program goals; (2) Technical Merit; (3) Overall qualifications for applicants; (4) Project costs, and (5) Financial need of student.

11.431 CLIMATE AND ATMOSPHERIC RESEARCH

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Federal Aviation Act, 49 U.S.C 4720; National Climate Program Act, 15 U.S.C 2931-2934; Navigation and Navigable Waters, 33 U.S.C 883d; Weather Service Organic Act, 15 U.S.C 2904.

OBJECTIVES:

To develop the knowledge required to establish a predictive capability for short and long-term climate fluctuations and trends.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may be used for research and development, advisory services, and operational systems as they relate to specific programs. Specific restrictions, other than those mandated for grants, are evaluated on an individual grant or program basis.

Applicant Eligibility:

Institutions of higher education, other nonprofits, commercial organizations, international organizations, state, local and Indian tribal governments.

Beneficiary Eligibility:

Organizations and individuals with interests in meteorology, oceanography, and climate research and prediction.

Credentials/Documentation:

Proposals with statement of work, estimated budget, and curriculum vitae. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Submit an electronic application to Grants.gov APPLY for financial assistance (SF 424) with statement of work to be performed and proposed amount. This program is subject to the requirements of OMB Circular No. A-110 and 15 CFR Part 24, "Grants and Cooperative Agreements with State and Local Governments," as applicable.

Award Procedure:

Awards are made on the basis of peer review of proposals.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 100 to 200 days from time of proposal receipt.

Appeals:

Not Applicable.

Renewals:

By negotiation with Climate Program Office.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Cost Sharing is only required in one program element competition which is the NOAA Climate Transition Program (NCTP)

where the Cost Share Percentage must be at least 5 percent of the total costs. The other competitions have no cost sharing requirement. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant award period ranges one to five years with annual funding for one year. See the following for information on how assistance is awarded/released: As stated in agreement.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Annual performance and financial reports are required. Final reports are due 90 days after expiration of award. Annual performance and financial reports are required. Final reports are due 90 days after expiration of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees expected to maintain complete records for each grant to insure funds are used for purpose for which grant was made.

Account Identification:

13-1450-0-1-306.

Obligations:

(Project Grants) FY 16 \$44,040,094; FY 17 est \$45,134,708; and FY 18 est \$36,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Director Director, Climate Program Office, National Oceanic and Atmospheric Administration, 1315 East-West Highway, Suite 12860, Silver Spring, MD 20910. Telephone: (301) 734-1263. , Silver Spring, Maryland 20910 Phone: (301) 427-2089

Website Address:

<http://cpo.noaa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office and peer review process taking into consideration primarily scientific merit and application to office research goals. Principal investigators' qualifications and cost are also considered, with cost subject to negotiation.

11.432 NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION (NOAA) COOPERATIVE INSTITUTES

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Department of Commerce Appropriation Act of 1999; Fish and Wildlife Act, 16 U.S.C 753a; Navigation and Navigable Waters, 33 U.S.C 1442; Weather Service Organic Act, 15 U.S.C 313; Cooperative Agreements/Reimbursement to Further NOAAs Mission, 15 U.S.C 1540; National Climate Program Act, 15 U.S.C 2904; Fish and Wildlife Coordination Act of 1956, 16 U.S.C 661; National Climate Program Act, 15 U.S.C 2901 et seq; Navigation and Navigable Waters, 33 U.S.C 883d; Federal Aviation Act, 49 U.S.C 44720(b).

OBJECTIVES:

To increase the effectiveness of research and develop innovative approaches to education in the environmental sciences by facilitating cooperation between government, university, and nonprofit research institutions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Program authorizes funds to be used for research and development, education and training, advisory services and operational systems as they relate to specific NOAA programs. Specific restrictions, other than those mandated for cooperative agreements, are evaluated on an individual program basis. Most Cooperative Institutes (CIs) operate under a Memorandum of Understanding/Memorandum of Agreement and are geographically located near a NOAA Research laboratory or a NOAA facility. In addition, 118 Stat. 71 (2004) authorizes the Secretary to designate (delegated to the Under Secretary of NOAA) CIs to use the personnel, services, or facilities of such organizations for research, education, training, and outreach to further NOAA's mission under a cooperative agreement.

Applicant Eligibility:

Single or multiple non-federal public and private non-profit universities, colleges and research institutions that collectively offer accredited graduate level degree-granting programs in NOAA related sciences.

Beneficiary Eligibility:

Organizations with interests in support of the NOAA mission to understand and predict changes in Earth's environment and conserve and manage coastal and marine resources to meet the nations' economic, social, and environmental needs.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Accepted applications are subject to peer review by a review panel assembled by the Cooperative Institute Program Manager. All applicants are notified whether or not their proposal was funded.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 180 days from time of proposal submission.

Appeals:

Not Applicable.

Renewals:

Initial awards are for 5 years; renewals may be made for a period of up to 5 additional years, based upon the availability of funds and the results of an extensive scientific and administrative review, typically occurring in the fourth year of the first 5-year award..

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Generally, five years with funding approved on individual and group proposal basis throughout the 5 years in accordance with DOC approval. See the following for information on how assistance is awarded/released: As described in Standard Terms and Agreement.

Reports:

In accordance with applicable report or announcement published in the Federal Register and/or Federal Funding Opportunities in Grants.gov. . . .

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are expected to maintain complete records for each cooperative agreement to insure funds are used for the purposes that the cooperative agreement was made. Records must be retained for 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

13-1450-0-1-306; 13-1460-0-1-306.

Obligations:

(Project Grants) FY 16 \$223,530,030; FY 17 est \$234,706,532; and FY 18 est \$246,441,858

Range and Average of Financial Assistance:

Total annual funding at current Cooperative Institutes ranges from \$1,300,000 to \$30,000,000. Average funding is \$10,000,000.

TAFS Codes:

13-1460; 13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Candice Jongsma, Ph.D. OAR: Dr. Candice Jongsma, CI Director NOAA Research, 1315 East-West Highway, Room 11350, Office: (301) 734-1177 Fax: (301) 713-1459 E-mail: Candice.Jongsma@noaa.gov. NESDIS: Satya Kalluri, Chief, Cooperative Research Programs Division, NCWCP Building, NOAA/NESDIS/STAR, 5830 University Research Ct. 2nd Floor, #2612, College Park, MD 20740-3818, Telephone: 301-683-3510, E-mail: satya.kalluri@noaa.gov. NMFS: Julie Whitaker, 1842 Wasp Blvd., Bldg. 176 Honolulu, HI 96818, Office: (808)725-5355 E-mail: Julie.Whitaker@noaa.gov.

Headquarters Office:

Candice Jongsma, Ph.D. Candice Jongsma, Ph.D. OAR: Dr. Candice Jongsma, CI Director NOAA Research, 1315 East-West Highway, Room 11350, Office: (301) 734-1177 Fax: (301) 713-1459 E-mail: Candice.Jongsma@noaa.gov. NESDIS: Satya Kalluri, Chief, Cooperative Research Programs Division, NCWCP Building, NOAA/NESDIS/STAR, 5830 University Research Ct. 2nd Floor, #2612, College Park, MD 20740-3818, Telephone: 301-683-3510, E-mail: satya.kalluri@noaa.gov. NMFS: Julie Whitaker, 1842 Wasp Blvd., Bldg 176 Honolulu, HI 96818, Office: (808)725-5355 E-mail: Julie.Whitaker@noaa.gov.

, Silver Spring, Maryland 20910 Phone: (301) 734-1177

Website Address:

http://www.ci.noaa.gov

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.431 Climate and Atmospheric Research; 11.459 Weather and Air Quality Research; 11.460 Special Oceanic and Atmospheric Projects; 11.462 Hydrologic Research; 11.468 Applied Meteorological Research; 11.473 Coastal Services Center

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to peer-review evaluations, technical reviews, administrative reviews, and external reviews, if applicable, taking into primary consideration scientific merit and application to the mission goals of NOAA.

11.433 MARINE FISHERIES INITIATIVE

(MARFIN)

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Magnuson Fishery Conservation and Management Act, 16 U.S.C 1854e; Fish and Wildlife Act of 1956, 16 U.S.C 753a; Saltonstall-Kennedy Act, 16 U.S.C 713 c-3d.

OBJECTIVES:

To provide financial assistance for research and development projects that will provide information for the full and wise use and enhancement of fishery resources in the Southeast U. S. Gulf of Mexico and the South Atlantic beginning with the State of North Carolina south to Florida. In addition, beginning in Fiscal Year 1998 similar assistance is provided for the coastal New England states.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds can be used to develop harvest methods, economic analyses of fisheries, processing methods, fish stock assessment and fish stock enhancement. Projects are restricted to the fisheries in the Southeast Region including the U.S. Gulf of Mexico and the South Atlantic beginning with North Carolina, South Carolina to Florida. Funds are not used for loans. Also, projects in the coastal New England States will be considered. In the Northeast, project priorities have varied during the first two years of funding availability. During Fiscal Year 1999, the area of emphasis was new methods or techniques to improve the ability of scientists to identify the stock structure of selected species of fish and shellfish to improve fisheries management.

Applicant Eligibility:

States or local governments, universities, private enterprise, individuals or any other entity, nonprofit or otherwise, if such entity is a citizen of the United States within the meaning of Section 2 of the Shipping Act, 1916, as amended, 46 U.S.C. 802.

Beneficiary Eligibility:

Federal, State and local governments, universities, private enterprise, nonprofit or profit organizations, and the general public.

Credentials/Documentation:

Applications must include resumes of qualifications and experience of the principal investigators. They are expected to demonstrate expertise in the proposed area of study. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is

not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals will be reviewed, evaluated and ranked by the National Marine Fisheries Service (NMFS). The ranking is divided into two groups; (a) recommended; and (b) not recommended. They are then presented to a panel of fishery experts and to the MARFIN Panel. The Panel will individually submit their funding recommendations to the Regional Administrator of the appropriate Region. The Regional Administrator in consultation with the Assistant Administrator for Fisheries will determine funding availability and select the projects to be funded. The selected proposals will be reviewed and negotiated by the appropriate Regional Office and the assigned Technical Monitor. A complete application will be forwarded to the NOAA Grants Officer for approval and award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Successful applicants will be selected generally within 180 days. Awards will be made normally within 240 days after the date of publication of the Federal Register.

Appeals:

Not Applicable.

Renewals:

Multi-year awards of up to three years may be approved. Once awarded, multi-year projects will not compete for funding in subsequent years. Funding for multi-year projects beyond the first year is contingent upon the availability of program funds in subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The money must be spent during the award period. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant. See the following for information on how assistance is awarded/released: Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semi-annual progress and financial reports are due six months after the start date of the award. Progress reports should summarize all work accomplished during the six months following the start date of the award and the financial reports should reflect the use of funds. A final report is due 90 days after completion of the project. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub grantees are required to be retained for 3 years from the date of the final financial status report for each award.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$11,340,211; FY 17 est \$11,340,211; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

A description of MARFIN activities is available and published in an "Annual Report." A copy may be obtained from the Southeast Regional Office. There is no funding history in the Northeast Region prior to FY 1998. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CRF Part 24 for state and local governments.

Regional or Local Office:

See Regional Agency Offices. Regional or Local Office: Southeast: Robert Sadler, Federal Program Officer, State/Federal Liaison Branch, National Marine Fisheries Service, 263 13th Avenue S., St. Petersburg, FL 33701. Telephone: (727) 824-5324. Fax: (727) 824-5364. E-mail: robert.sadler@noaa.gov. Kenneth L. Beal, National Marine Fisheries Service, Northeast: Harold C. Mears, State, Federal and Constituent Programs Office; Northeast Region, 55 Great Republic Dr., Gloucester, MA 01930-2276. Telephone: (978) 281-9243. Fax: (978) 281- 9117.

Headquarters Office:

n/a n/a, n/a, Non-U.S. n/a Phone: n/a

Website Address:

<http://www.nmfs.noaa.gov/>

RELATED PROGRAMS:

11.427 Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Priority in program emphasis will be placed upon funding projects that have the greatest probability of recovering, maintaining, improving, or developing fisheries, improving our understanding of factors affecting recruitment success, generating increased values from fisheries, and generating increased recreational opportunity and harvest potential. Projects will be evaluated as to the likelihood of achieving these benefits through both short-term and long-term research projects with consideration of the magnitude of the eventual economic benefit that may be realized.

11.434 COOPERATIVE FISHERY STATISTICS

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Fish and Wildlife Act of 1956, 16 U.S.C 753 a.

OBJECTIVES:

To maintain a cooperative State and Federal partnership to provide a continuing source of fisheries dependent statistics to support fishery management in the States' Territorial Sea and the U.S. Exclusive Economic Zone.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are to support cooperative State and Federal programs to collect statistics on commercial and recreational fisheries in support of the Magnuson-Stevens Fishery Conservation Management Act.

Applicant Eligibility:

Eligible applicants are the Marine Fisheries Conservation agencies of the States of Alabama, Florida, Georgia, Louisiana, Mississippi, North Carolina, South Carolina, Texas, Puerto Rico, U.S. Virgin Islands and the Gulf States Marine Fisheries Commission.

Beneficiary Eligibility:

This program benefits Federal, State and interstate marine resource conservation and management agencies; U.S. and foreign commercial and recreational fishing industries; conservation organizations; academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions for financial assistance programs application and conduct. Applicants may be required to show proof that they are mandated by their own State to monitor fishery resources and to manage or participate in the management of these resources. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the pertinent NMFS Office/Region/Science Center, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects approved for funding will be submitted to the NOAA Grants Management Division and the Department of Commerce's Office of Federal Assistance for review and approval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval time is expected to range from 90 to 120 days, which includes processing of the award through the NMFS, NOAA, and DOC financial assistance procedures.

Appeals:

Not Applicable.

Renewals:

Multiple year awards of up to five years may be approved. Funding for multi-year projects beyond the first year is contingent upon the availability of program funds in subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are normally for a 12 month period but in some instances, award periods may extend beyond 12 months, or may be completed in less than 12 months. Award funds must be spent in the indicated budget period and are to be expended in accordance with DOC/NOAA finance and reporting procedures. See the following for information on how assistance is awarded/released: Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds during the financial reporting period designated. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or subgrantees are required to be retained for 3 years from the date of the final financial status report for each award.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$12,542,204; FY 17 est \$12,542,204; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Coordinated, planned, and administered Fisheries Information Network (FIN) activities throughout the year as well as provided recreational and commercial information to the FIN participants and other interested personnel. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable costs will be determined in accordance with 2 CFR 225 for state, local, and Indian tribal governments; 2 CFR 230 for nonprofit organizations; 2 CFR 220 for educational institutions; and 48 CFR 31 for profit-making organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments.

Regional or Local Office:

See Regional Agency Offices. Southeast: Jeffrey Brown, Federal Program Officer, State/Federal Liaison Branch, National Marine Fisheries Service, 263 13th Avenue S., St. Petersburg, FL 33701. Telephone: (727) 824-5324. Fax:

(727) 824-5364. E-mail: jeff.brown@noaa.gov. For GulfFIN: Kelly Donnelly, Federal Program Officer, State/Federal Liaison Branch, National Marine Fisheries Service, 263 13th Avenue S., St. Petersburg, FL 33701. Telephone: (727) 824-5324. Fax: (727) 824-5364. E-mail: kelly.donnelly@noaa.gov.

Headquarters Office:

n/a n/a, n/a, Non-U.S. n/a Phone: n/a

Website Address:

<http://sero.nmfs.noaa.gov/grants/csp.htm>

RELATED PROGRAMS:

11.407 Interjurisdictional Fisheries Act of 1986; 11.435 Southeast Area Monitoring and Assessment Program; 11.474 Atlantic Coastal Fisheries Cooperative Management Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Coordinated, planned, and administered Fisheries Information Network (FIN) activities throughout the year as well as provided recreational and commercial information to the FIN participants and other interested personnel. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on the results of Cooperative Statistics and Fisheries Information Network (FIN) committee activities and on negotiations between NMFS and cooperators. The nature and scope of cooperative activities are based on the needs of the cooperating agencies. Funding is allocated by NMFS based on Cooperative Statistics and Fisheries Information Network (FIN) committee recommendations and on the level of activities that are planned for accomplishment. Regardless of source, proposals must undergo rigorous technical review and comply with all OMB, DOC, and NOAA grants policies and procedures.

11.435 SOUTHEAST AREA MONITORING AND ASSESSMENT PROGRAM

(SEAMAP)

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Fish and Wildlife Act of 1956, 16 U.S.C 753 a.

OBJECTIVES:

To maintain a cooperative program which engages State and Federal agencies in the coordinated collection, management, and dissemination of fishery - independent information on marine fisheries in support of State Territorial Sea and U.S. Exclusive Economic Zone fisheries management programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding through cooperative agreements involve regional member organizations in fishery - independent sampling projects. Projects are restricted to fisheries of the Gulf of Mexico, South Atlantic and U.S. Caribbean. Cooperators are State and territorial governments, Interstate Marine Fishery Commissions.

Applicant Eligibility:

Eligible applicants are the Marine Fishery Conservation agencies of the States of Alabama, Florida, Georgia, Louisiana, Mississippi, North Carolina, South Carolina, Texas, Puerto Rico, the U.S. Virgin Islands, the Atlantic and Gulf States Marine Fishery Commissions.

Beneficiary Eligibility:

This program benefits Federal, State and interstate marine resource conservation and management agencies; U.S. and foreign commercial and recreational fishing industries; conservation organizations; academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions for financial assistance programs application and conduct. Applicants may be required to show proof that they are mandated by their own State to monitor fishery resources and to manage or participate in the management of these resources. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the agency. This program is covered under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

In FY 2003, the SEAMAP program changed to multi-year grants. Funding continues to be awarded annually, however, grant recipients submit three year proposals, that are reviewed and evaluated by the pertinent NMFS Office/Region/Science Center, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects approved for funding will be submitted to the NOAA Grants Management Division and the Department of Commerce's Office of Federal Assistance for review and approval. Subsequent years of the grant do not require proposals. All requirements for funding are worked by the Program Officer. Recipients receive notification when funding has been approved for the current fiscal year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval time is expected to range from 30 to 90 days, which includes processing of the award through the NMFS, NOAA, and DOC financial assistance procedures. Subsequent funding for the multi-year grant is typically awarded 30 days after the Program Officer completes all funding requirements.

Appeals:

Not Applicable.

Renewals:

Multiple year awards of up to five years may be approved. Funding for multi-year projects beyond the first year is contingent upon the availability of program funds in subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are normally for a 12-month period but in some instances, award periods may extend beyond 12 months, or may be completed in less than 12 months. Award funds must be spent in the indicated budget period and are to be expended in accordance with DOC/NOAA finance and reporting procedures. See the following for information on how assistance is awarded/released:

Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds during the financial reporting period designated. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Project Grants) FY 16 \$3,653,166; FY 17 est \$3,653,166; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

\$71,500 - \$1,372,023. Average: \$337,845.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable costs will be determined in accordance with 2 CFR 225 for state, local, and Indian tribal governments; 2 CFR 230 for nonprofit organizations; 2 CFR 220 for educational institutions; and 48 CFR 31 for profit-making organizations.

Regional or Local Office:

See Regional Agency Offices. Kelly Donnelly, Federal Program Officer, State/Federal Liaison Branch, National Marine Fisheries Service, 263 13th Avenue South, St. Petersburg, FL 33701. Telephone: (727) 824-5324. Fax: (727) 824-5364. E-mail: kelly.donnelly@noaa.gov.

Headquarters Office:

N/A N/A, N/A, Non-U.S. N/A Phone: N/A

Website Address:

<http://caldera.sero.nmfs.gov/grants/programs/seamap.htm>.

RELATED PROGRAMS:

11.407 Interjurisdictional Fisheries Act of 1986; 11.434 Cooperative Fishery Statistics

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on the results of SEAMAP committee activities and on negotiations between NMFS and cooperators. The nature and scope of cooperative activities are based on the needs of the cooperating agencies. Funding is allocated by NMFS based on SEAMAP committee recommendations and on the level of activities that are planned for accomplishment. Regardless of source, proposals must undergo rigorous

technical review and comply with all OMB, DOC, and NOAA grants policies and procedures.

**11.436 COLUMBIA RIVER FISHERIES DEVELOPMENT PROGRAM
FEDERAL AGENCY:**

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Mitchell Act, Public Law 79-676, 16 U.S.C 756-757.

OBJECTIVES:

This program uses the facilities and personnel of State and Federal fisheries agencies and Tribal governments in the Pacific Northwest to protect and enhance the salmon and steelhead resources in the Columbia River Basin.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds can be used to develop and implement measures to protect and enhance salmon and steelhead resources in the Columbia River Basin.

Applicant Eligibility:

State governments and quasi-public nonprofit institution/organizations.

Beneficiary Eligibility:

Federal, Interstate, State, and Quasi- public nonprofit entities.

Credentials/Documentation:

Proposal from a State or an Interstate Fishery Agency should be submitted in accordance with 15 CFR Part 24. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Applications are evaluated by the National Marine Fisheries Service Northwest Regional Office and processed by the NOAA Grants Office. Pursuant to Section 404 of Public Law 102-567, financial assistance awards to a State or Interstate Fishery Commission may be provided to the State on a sole-source basis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 120 working days.

Appeals:

No formal appeal procedure. If applications are unacceptable, the reasons are fully stated to the applicants. If the applicants desire to resubmit applications, projects must be revised in accordance with recommended changes.

Renewals:

Continuation grants can be made on an annual basis for approved multi-year projects. Future or continued funding will be at the discretion of NMFS based on the availability of each fiscal year funding and satisfactory performance. Procedures for renewals are the same as application procedures.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements are normally made for one year. See the following for information on how assistance is awarded/released: Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. The Department of Commerce Financial Assistance Standard Terms and Conditions generally require that performance and financial reports be submitted semi-annually unless a waiver is provided by the NOAA Grants Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three (3) years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$14,287,632; FY 17 est \$14,287,632; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

15 CFR Part 24, Mitchell Act. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

See Regional Agency Offices. Northwest Region: Robert Z. Smith, Director, Columbia River Fisheries Development Program, NOAA/NMFS, Salmon Recovery Division, Hatcheries and Inland Fisheries Branch, 1201 NE Lloyd Blvd., Portland, OR 97232-1274. Telephone: (503) 231-2009. Fax: (503) 872-2737. E-mail: rz.smith@noaa.gov.

Headquarters Office:

n/a n/a, n/a, Non-U.S. n/a Phone: n/a

Website Address:

<http://www.nmfs.noaa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Klickitat Hatchery O&M to produce Mitchell Act salmon to meet tribal and non-tribal fishing obligations to the Pacific Northwest. Maintain Fishways to ensure escapement to natural production areas; 11,436 Columbia River Fisheries Development Program Mitchell Act Implementation of Hatchery Reform Externally Mass Mark and Tag; Columbia River Fisheries Development Program (Operations) Hatchery, Operations, Maintenance, Monitoring, CWT program and Fisheries Monitoring. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Priority consideration is given to projects that directly relate to NOAA statutory responsibilities (Mitchell Act) and to court actions and Federal treaties. Special consideration is given to Columbia Basin Watershed planning activities and activities impacting Federal responsibilities under the Endangered Species Act.

11.437 PACIFIC FISHERIES DATA PROGRAM**FEDERAL AGENCY:**

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Magnuson-Stevens Fishery Conservation and Management Act, , Public Law 94-265, 16 U.S.C 1854e.

OBJECTIVES:

This cooperative program provides support to State fishery agencies to enhance their data collection and analysis systems to respond to coast wide and insular area fisheries management needs. The program supports fisheries data collection and analysis projects that provide catch, effort, economic and biological data on federally managed species to the Fishery Management Councils as needed for continuing management of Pacific fisheries.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds can be used to enhance State fishery data collection and analysis systems to respond to Federal fisheries management needs.

Applicant Eligibility:

State governments (including territories of Guam and American Samoa and the Commonwealth of the Northern Mariana Islands) and quasi-public nonprofit institution/organizations.

Beneficiary Eligibility:

The agency of a State government authorized under its laws to regulate commercial and recreational fisheries.

Credentials/Documentation:

Proposal from a State or an Interstate Fishery Agency should be submitted in accordance with 15 CFR Part 24. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Applications are evaluated by the receiving National Marine Fisheries Service Regional Office and processed by the NOAA Grants Office. Pursuant to Section 404 of Public Law 102-567, financial assistance awards to a State or Interstate Fishery Commission may be provided on a sole-source basis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 120 working days.

Appeals:

No formal appeal procedure. If applications are unacceptable, the reasons are fully stated to the applicants. If the applicants desire to resubmit applications, projects must be revised in accordance with recommended changes.

Renewals:

Continuation grants on an annual basis for approved multi-year projects. Future or continued funding will be at the discretion of NMFS based on the availability of each fiscal year funding and satisfactory performance. Procedures for renewals are the same as application procedures.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are normally made for one year. See the following for information on how assistance is awarded/released: Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. The Department of Commerce Financial Assistance Standard Terms and Conditions generally requires that performance and financial reports be submitted semi-annually unless a waiver is provided by the NOAA Grants Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-5284-0-2-306; 13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$30,441,769; FY 17 est \$30,441,769; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450; 13-5284.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

15 CFR Part 24, Magnuson Fishery Conservation and Management Act. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

See Regional Agency Offices. Regional or Local Office: Alaska: Alaska Regional Office, Shawn Carey, NMFS, P.O. Box 12668, Juneau, AK 99802-1668. Telephone: (907) 586-7845. Use the same numbers for FTS. Email: shawn.carey@noaa.gov. Northwest Regional Office, Stephen Freese, 7600 Sand Point Way, NE, Seattle, WA 98115-6349 Telephone: (206) 526-6115 or 6113. Email Stephen.Freese@noaa.gov. Northwest Center, Northwest: Dr. Robert Iwamoto, OMI Director, Northwest Fisheries Science Center, 2725 Montlake Boulevard East, Seattle, WA 98112-2097. Telephone: (206) 860-3200. Fax: (206) 860-3442. Email: Bob.Iwamoto@noaa.gov. Pacific Islands Region: Scott Bloom, Federal Program Officer, 1601 Kapiolani Blvd., Honolulu, HI 96814-4700. Telephone: (808) 944-2218. Email: scott.bloom@noaa.gov. Southwest: Martha Kawai, Federal Program Officer, Southwest Center, Telephone: (858) 546-7007. Email: Martha.kawai@noaa.gov.

Headquarters Office:

n/a n/a, n/a, Non-U.S. n/a Phone: n/a

Website Address:

<http://www.nmfs.noaa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: IPHC Commercial Fishery Sampling Costs associated with the Pacific halibut IFQ Program; Developing an Electronic Logbook to Census For-Hire Angler-Trip Effort, Catch and Harvest in Alaska. EDR Collection Program for the BSAI Rationalized Crab, BSAI Factory Trawl Fleet under Amendment 80 and Amendment 91. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Priority consideration is given to State projects that provide the Fishery Management Councils with the timely information needed to make the management decisions required under fishery management plans.

11.438 PACIFIC COAST SALMON RECOVERY PACIFIC SALMON TREATY PROGRAM

Pacific Coast Salmon Recovery_Pacific Salmon Treaty Program

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Pacific Coastal Salmon Recovery, Public Law 106-113, 16 U.S.C. 3634; Pacific Coast Salmon Treaty Act: Public Law , Public Law 99-5, 16 U.S.C. 3641.

OBJECTIVES:

This is a cooperative program that assists the States in salmon restoration and in fulfilling responsibilities under the Pacific Salmon Treaty by providing administrative management. Support is provided to treaty Indian tribes for salmon recovery and to meet the needs of the Pacific Salmon Commission and U.S. international commitments under the treaty.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used by State agencies and treaty Indian tribes to assist in salmon recovery and in fulfilling Federal responsibilities under the Pacific Salmon Treaty.

Applicant Eligibility:

State government agencies and treaty Indian tribes.

Beneficiary Eligibility:

This program benefits the State governments, treaty Indian tribes, Federal government, international relationships, and conservation of a public resource by ensuring that State agencies and tribal governments participate in the recovery and international management of salmon on the west coast.

Credentials/Documentation:

Proposal from a State agency or treaty Indian tribe should be submitted in accordance with 15 CFR Part 24. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Applications are evaluated by the National Marine Fisheries Service Regional Offices and processed by the NOAA Grants Management Division. Under Section 404 of Public Law 102-567, financial assistance awards to a State or Interstate Fishery Commission may be provided on a sole-source basis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Within 75 calendar days from the receipt of a complete and acceptable application.

Appeals:

No formal appeal procedure. If applications are unacceptable, the reasons are fully stated to the applicants. If the applicants desire to resubmit applications, applications must be revised in accordance with recommended changes.

Renewals:

Continuation grants can be made on an annual basis for approved multi-year projects. Future or continued funding will be at the discretion of NMFS, based on the availability of each fiscal year funding and satisfactory performance. Procedures for renewals are the same as application procedures.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: For the Pacific Coast Salmon Recovery Program, States are required to provide a matching amount of 25 percent. Treaty Indian tribes are not required to provide a match for Pacific Salmon Recovery Program funds. The Pacific Salmon Treaty funds have no statutory formula; projects are funded at up to 100 percent Federal share. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are normally made for one year. See the following for information on how assistance is awarded/released: Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. The Department of Commerce Financial Assistance

Standard Terms and Conditions generally require that performance and financial reports be submitted semi-annually unless a waiver is provided by the NOAA Grants Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306; 13-1451-0-1-306.

Obligations:

(Project Grants) FY 16 \$73,399,139; FY 17 est \$73,399,139; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Pacific Coast Salmon Recovery:
Range: \$30,000 to \$30,000,000
Average: To Be Advised
Pacific Salmon Treaty:
\$25,000 - \$985,000
FY 2009 Average: \$661,031.00.

TAFS Codes:

13-1450; 13-1451.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pacific Salmon Treaty; 16 U.S.C. 3631 et seq. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

See Regional Agency Offices. Pacific Salmon Treaty - Northwest Region: Dave Cantillon, NOAA/NMFS, 7600 Sand Point Way NE., Seattle, WA 98115. Telephone: (206) 526-4140, Fax: (206) 526-6534. E-mail: Dave.Cantillon@noaa.gov.
Pacific Coast Salmon Recovery Program
Northwest Region: Nicolle Hill, NOAA/NMFS, 7600 Sand Point Way NE, Seattle, WA 98115. Telephone: (206) 526-4358, Fax: (206) 526-6426, E-mail: Nicolle.Hill@noaa.gov
Alaska Region: Shawn Carey, NMFS, P.O. Box 21668, Juneau, AK 99802-1668. Telephone: (907) 586-7845. Use the same numbers for FTS. E-mail: Shawn.Carey@noaa.gov.
Southwest Region: Patricia Culver, NOAA/NMFS, 501 W. Ocean Blvd., Suite 4200, Long Beach, CA 90802. Telephone: (562) 980-4239, E-mail: Trisha.Culver@noaa.gov.

Headquarters Office:

n/a n/a, n/a, Non-U.S. n/a Phone: n/a

Website Address:

<http://www.nmfs.noaa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Okanogan sub basin salmon recovery for the Colville Confederated Tribes; Expansion of Hanford Reach fall Chinook salmon juvenile coded wire tagging project plus PIT tagging 10,000 juvenile Hanford Reach fall Chinook; Columbia River Basin Salmon Recovery. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Priority consideration is given to State and tribal programs that directly address salmon recovery or the information needs of the Pacific Salmon Commission for international management of salmon fisheries stocks under the Pacific Salmon Treaty.

11.439 MARINE MAMMAL DATA PROGRAM

Marine Mammal Data Program

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Marine Mammal Rescue Assistance Act of 2000, 16 U.S.C 1421f-1; Marine Mammal Act of 1972, 16 U.S.C 1361.

OBJECTIVES:

Nondiscretionary funding under this authorization provides support to State agencies for the collection and analysis of information on marine mammals that occur in the State's waters and interact with State managed fisheries and other marine resources. Information collected is used by the State and Federal governments to conserve marine mammals, address or resolve conflicts between marine mammals, fisheries and living marine resources, and to improve their understanding of the health of individual marine mammals as well as marine mammal populations. Discretionary funds may be announced in the Federal Register under competitive programs to undertake research in subjects which are relevant to the protection and conservation of marine mammals.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Nondiscretionary funds may be nondiscretionary and appropriated for a particular recipient for a particular purpose such as the Marine Mammal cooperative agreements in Alaska (16 U.S.C. 1388) or allocated to State agencies for collection of information on marine mammals that occur in State waters and interact with fisheries and other marine resources. Discretionary funds announced in the Federal Register under competitive programs may restrict the use of funds or include additional program restrictions based on the appropriation language.

Applicant Eligibility:

State governments and quasi-public nonprofit institutions or organizations. U.S. Marine Mammal Stranding Network participants, including state and local governments, academia, aquaria, non profits, private individuals and organizations. Eligibility may vary under programs announced in the Federal Register.

Beneficiary Eligibility:

This program benefits the States that have marine mammals in waters under State jurisdiction and supports Federal requirements for conservation of marine mammals, and other public resources. The program also benefits the active volunteer U.S. marine mammal stranding network members throughout coastal states.

Credentials/Documentation:

Proposal from a State fishery agency or an Interstate Fisheries Commission, or an eligible stranding network member, should be submitted in accordance with 15 CFR Part 14; 15 CFR Part 24 and the applicable Federal Register notice. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should

consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Applications are evaluated by the National Marine Fisheries Service Regional or Headquarters Offices and processed by the NOAA Grants Management Division. Pursuant to Section 404 of Public Law 102- 567, financial assistance awards to a State or Interstate Fishery Commission may be provided to the State on a non- discretionary basis for projects involving the collection and analysis of information on marine mammals that occur in the State's waters and interact with State managed fisheries and other marine resources. For discretionary funds including Stranding Networks, a competitive award process is announced in the Federal Register for projects related to stranding network activities. A small percentage of discretionary funds will be available to be provided on a non-competed basis for catastrophic stranding events.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Within 75 calendar days after a complete and acceptable application is received.

Appeals:

No formal appeal procedure.

Renewals:

For projects involving the collection and analysis of information on marine mammals that occur in the State's waters and interact with State managed fisheries and other marine resources, continuation grants can be made on an annual basis for approved multi- year projects. For projects related to stranding network activities, grants or cooperative agreements will be awarded for a maximum award period of three years; however the total Federal share of each award is fixed at a maximum of \$100,000 regardless of the funding period requested. Future or continued funding will be at the discretion of NMFS, based on the availability of each fiscal year funding and satisfactory performance. Procedures for renewals are the same as application procedures.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: For projects involving the collection and analysis of information on marine mammals that occur in the State's waters and interact with State managed fisheries and other marine resources, the program has no statutory formula. Projects are funded at up to 100 percent Federal share. For projects related to stranding network activities the maximum Federal award for each project will be \$100,000, and a minimum cost share of 25 percent of total project costs is required.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are normally made for one year. See the following for information on how assistance is awarded/released: Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. The Department of Commerce Financial Assistance Standard Terms and Conditions generally require that performance and financial reports be submitted semi-annually unless a waiver is provided by the NOAA Grants Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub grantees are required to be maintained in accordance with the terms and conditions of the Financial Assistance Award. The grantee must maintain records for three years from the date when the final expenditure report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

(Project Grants) FY 16 \$8,167,272; FY 17 est \$8,167,272; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Marine Mammal Protection Act, as amended. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments.

Regional or Local Office:

See Regional Agency Offices. Discretionary: Stranding Network
Contacts-Alaska Region: Aleria Jensen, Alaska Region, NMFS, Federal Building, 709 West 9th Street, 14th Floor, Juneau, AK 99802-1668. Telephone: (907) 586-7248 or aleria.jensen@noaa.gov. Northeast Region: Dana Hartley, NMFS, 1 Blackburn Drive, Gloucester, MA 01930-2298. Telephone: (978) 281-9328 or dana.hartley@noaa.gov. Northwest Region: Brent Norberg, NMFS, Brent Norberg, 7600 Sand Point Way, N.E., Building 1, Seattle, WA 98115. Telephone: (206) 526-6733 or brent.norberg@noaa.gov; Southeast Region: Laura Engelby, NMFS, 263 13th Ave. South, St. Petersburg, FL 33701. Telephone: (727) 824-5312 or laura.engelby@noaa.gov; Southwest Region: Joe Cordaro, Southwest Region, NMFS, 501 West Ocean Boulevard, Suite 4200, Long Beach, CA: 90802-4213. Telephone: (562) 980-4017 or joe.cordaro@noaa.gov. Nondiscretionary: Alaska Regional Office: Barbara Fosburg, NOAA/NMFS, P.O. Box 21668, Juneau, AK 99802-1668. Telephone: (907) 586-7273. Northwest Regional Office: Joe Scordino, NOAA, National Marine Fisheries Service, Northwest Region, 7600 Sand Point Way NE., Seattle, WA 98115. Telephone: (206) 526-6150, Fax: (206) 526-6426, E-mail: Joe.Scordino@noaa.gov. Pacific Islands Area Office: Margaret Akamine, NMFS, 1601 Kapiolani Blvd., Suite 1110, Honolulu, HI, 96814-4700. Telephone: (808) 973-2935 or margaret.akamine@noaa.gov. Headquarters Office: Budget Officer, Administrative and Budget Processes Division, National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, MD 20910. Telephone: (301) 713-2245. Fax: (301) 713-2299.

Headquarters Office:

Teri Rowles Office of Protected Resources, NMFS, Teri Rowles, 1315 East West Highway, Silver Spring, Maryland 20910 Phone: 3017132322 ext 178

Website Address:

<http://www.nmfs.noaa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: New Jersey Marine Mammal Medical Response, Treatment and Outreach Program; Integration of Northern fur seal (*Callorhinus ursinus*) health metrics into ongoing vital rates monitoring; Consortium Captive Pinniped Research Program. Fiscal Year 2017: No Current Data Available
Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Nondiscretionary: For projects involving the collection and analysis of information on marine mammals that occur in the State's waters and interact with State managed fisheries and other marine resources, priority consideration is given to proposals for the collection of information on marine mammals involved in interactions with fisheries and other marine resources, and on activities impacting marine mammals such as native subsistence; Discretionary: For projects involving stranding network activities, priorities are published in the Federal Register Notice soliciting applications for financial assistance. Unsolicited proposals may also be submitted outside of the competitive process for response to catastrophic stranding events.

11.440 ENVIRONMENTAL SCIENCES, APPLICATIONS, DATA, AND EDUCATION**FEDERAL AGENCY:**

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Meteorological Services, 49 U.S.C 44720(b); Navigation and Navigable Waters, 33 U.S.C 1442; Organic Act of 1890, 15 U.S.C 313; Navigation and Navigable Waters, U.S.C 883d; National Climate Program Act, 15 U.S.C 2901.

OBJECTIVES:

To advance and promote applied research, data assimilation and management, technology development, and education in environmental science.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds can be used to support a wide variety of atmospheric and oceanographic research, development, data distribution and assimilation programs, education, advisory services, and to support long-term partnerships between the Federal government, universities, and institutions of research and higher education for cooperative science and education in environmental science.

Applicant Eligibility:

Any State university, college, institute or laboratory; any public or private nonprofit institution or consortium.

Beneficiary Eligibility:

Organizations and individuals with interests in support of managing effectively the Nation's oceanic and atmospheric resources.

Credentials/Documentation:

None. Costs are determined in accordance with applicable OMB circulars and applicable Federal Register notices. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Submit Standard Form 424, project proposal and budget following the format specified by the funding office in the annual solicitation. Proposals are submitted through Grants.gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to NOAA. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Awards are made on the basis of proposal evaluations, technical and administrative reviews, and external reviews, if applicable. Projects recommended for funding will be submitted to the NOAA Grants Management Division for review and approval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 180 days from time of receipt of the proposal.

Appeals:

Not Applicable.

Renewals:

Future or continued funding will be at the discretion of the Federal government based upon satisfactory performance and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

From one to five years. See the following for information on how assistance is awarded/released: Funds will be released or reimbursed as agreed upon in the Standard Terms and Conditions required by each grant.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other related records of grantees or sub grantees are required to be maintained by the terms of the agreement. The grantee must retain records for three years from the date when the final expenditure and technical reports are submitted.

Account Identification:

13-1460-0-1-306; 13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$1,527,225; FY 17 est \$5,000,000; and FY 18 est \$500,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1460; 13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments. Department Administrative Order 203-36 dated May 15, 1985 (Department of Commerce Grants Administration) and the NOAA Grants and Cooperative Agreement Interim Policy Manual dated February 2002.

Regional or Local Office:

None.

Headquarters Office:

Satya Kalluri Cooperative Research Program
DOC/NOAA/NESDIS/STAR.5830 University Research Court
, College Park, Maryland 20740 Email: satya.kalluri@noaa.gov Phone:
3016833510 Fax: 3016833526

Website Address:

<http://www.nesdis.noaa.gov>

RELATED PROGRAMS:

11.431 Climate and Atmospheric Research; 11.432 Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: JPSS, GOES-R, GOES-R Risk Reductions, VISIT, POES, Post Doctoral Program, Student Exchange. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated technically and administratively primarily for scientific merit and application to the goals and objectives of NOAA. The qualifications of the principal investigator are considered and costs are subject to negotiation.

11.441 REGIONAL FISHERY MANAGEMENT COUNCILS**FEDERAL AGENCY:**

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Magnuson-Stevens Fishery Conservation and Management Act., 16 U.S.C 1852.

OBJECTIVES:

The objectives of the eight Regional Fishery Management Councils are to prepare, monitor and revise fishery management plans and data collection programs for domestic and foreign fishing within the 200-mile U.S. Exclusive Economic Zone (EEZ). This is done with approval and implementation by the Secretary of Commerce who has stewardship responsibility for living marine resources in the EEZ under the MSFCMA.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Funds are used by the eight Regional Fishery Management Councils to prepare plans and amendments to recommend to the Secretary of Commerce, under the MSFCMA.

Applicant Eligibility:

Eight Regional Fishery Management Councils (New England, Mid-Atlantic, South Atlantic, Gulf of Mexico, Caribbean, Pacific, North Pacific and Western Pacific) as established by the MSFCMA. These councils can best be described as "quasi-government" because they are sponsored by the Federal government, specifically funded by Congress but the members are appointed by the Secretary of Commerce from the private sector; they are not Federal employees.

Beneficiary Eligibility:

This program benefits commercial and recreational fishing enterprises in the EEZ. Foreign fishing enterprises may also benefit from this program if authorized to conduct fishing operations. U.S. consumers will benefit as a result of availability of seafood. Imports may be reduced and exports may be increased.

Credentials/Documentation:

Generally a work plan for the funding year. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Applications are initially evaluated by the respective National Marine Fisheries Service Regional Administrators and are forwarded to NMFS Headquarters for final approval. They are then forwarded for processing to the Grants Management Division, Office of Administration, NOAA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Multi-year awards of up to five years may be approved. Funding for multi-year projects beyond the first year is contingent upon the availability of program funds in subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards can be approved for one year due to annual Congressional appropriation schedule. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant. See the following for information on how assistance is awarded/released: As agreed to in the Standard Terms and Conditions document for each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Reporting requirements are outlined in 50 CFR Part 605, Guidelines for Council Operation/Administration. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records will be retained in accordance with provisions of 15 CFR Part 14 for institutions of higher education and other nonprofit organizations and with 15 CFR Part 24 for State and local governments. Generally, a recipient is required to retain records relating to a particular grant for three (3) years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three- year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Project Grants) FY 16 \$31,871,198; FY 17 est \$31,871,198; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

\$890,400 to \$2,451,000.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR Chapter 6; the Statement of Organization, Practices, and Procedures, and 50 CFR Part 605 Operational Guidelines for Council Operations. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments.

Regional or Local Office:

See Regional Agency Offices. Alaska: Alaska Regional Office, Barbara Fosburg, NMFS, P.O. Box 12668, Juneau, AK 99802-1668. Telephone: (907) 586-7273. Use the same numbers for FTS. Email: barbara.fosburg@noaa.gov. Northeast: Harold C. Mears, State, Federal and Constituent Programs Office; Northeast Region, 55 Great Republic Dr., Gloucester, MA 01930-2276. Telephone: (978) 281-9243. Fax: (978) 281-9117. Northwest Regional Office, Kevin Ford or Stephen Freese, 7600 Sand Point Way, NE, Seattle, WA 98115-6349 Telephone: (206) 526-6115 or 6113. Email Kevin.Ford@noaa.gov or Stephen.Freese@noaa.gov. Pacific Islands Region: 1601 Kapiolani Blvd., Honolulu, HI 96814-4700. Telephone: (808) 973-2941. Email: scott.bloom@noaa.gov Southeast: Cynthia Binkley, Federal Program Officer, State/Federal Liaison Branch, 263 13 Ave. South, St. Petersburg, FL 33701 Telephone: (727) 824-5324. Fax: (727) 824-5364 Email: Cynthia.Binkley@noaa.gov. Southwest: Patricia Culver, Federal Program Officer, Southwest Region Office, 501 West Ocean Blvd., Suite 4200, Long Beach, CA 90802-4213. Telephone: (310) 980-4239. Fax: (562) 980-4047. Email: trisha.culver@noaa.gov.

Headquarters Office:

None None, Silver Spring, Maryland 20910 Phone: None

Website Address:

http://www.nmfs.noaa.gov/sfa/domes_fish/index.htm.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Western Pacific Sustainable Fisheries Fund VI; Western Pacific Sustainable Fisheries Fund VII. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

This program is non- competitive. It is Congressionally mandated.

11.451 GULF COAST ECOSYSTEM RESTORATION SCIENCE, OBSERVATION, MONITORING, AND TECHNOLOGY

National Centers for Coastal Ocean Science NOAA RESTORE Act Science Program

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Section 1604 of the Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act of 2012 (RESTORE Act) (Subtitle F of Pub. L No. 112-141), Public Law 112-141.

OBJECTIVES:

The RESTORE Act Science program will increase understanding of the Gulf of Mexico ecosystem and support its long-term sustainability, including its fish stocks, habitats, wildlife, and fishing industries.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be used to fund research to address the science needs in the Gulf of Mexico related to the objectives outlined in the RESTORE Act legislation. The funds provided may not be used:

for any existing or planned research led by NOAA, unless agreed to in writing by the

grant recipient;

to implement existing regulations or initiate new regulations promulgated or proposed by

NOAA; or

to develop or approve a new limited access privilege program (as that term is used in

section 303A of the Magnuson-Stevens Fishery Conservation and Management Act (16

U.S.C. 1853a)) for any fishery under the jurisdiction of the South Atlantic, Mid-Atlantic,

New England, or Gulf of Mexico Fishery Management Councils.

Applicant Eligibility:

Institutions of higher education, not-for-profit institutions, State, local and Indian tribal governments, commercial organizations and Federal agencies. All applicants will be treated equally in the competitive process.

Beneficiary Eligibility:

The five Gulf Coast states: Texas, Mississippi, Louisiana, Florida, and Alabama.

Credentials/Documentation:

Proposals with statement of work, estimated budget, and curriculum vitae; Costs will be determined in accordance with relevant OMB Cost Principles, which NOAA anticipates will be located at 2 C.F.R. Part 200 for State and local governments, educational institutions, and for nonprofit organizations. For commercial organizations, costs will be determined in accordance with 48 C.F.R. Part 31. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Send an application for financial assistance (Form SF-424 and other required forms as specified in the published Federal Funding Opportunity (FFO)) to NCCOS/CSCOR with statement of work to be performed and proposed amount in response to a current funding announcement only. Applications should be submitted through Grants.Gov. Applicants will need to enter the funding opportunity number and/or the CFDA number to access the application package and instructions. This program is subject to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, which NOAA anticipates will be located at 2 C.F.R. Part 200

Award Procedure:

Awards are made on the basis of competitive peer review of proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 90 to 210 days from the time of proposal receipt.

Appeals:

Not applicable.

Renewals:

By negotiation with the NCCOS/CSCOR Office; multi-year awards of two to five years may be approved. Once awarded, multi-year projects will not compete for funding in subsequent years. Funding for multi-year projects beyond the first year is contingent upon the availability of program funds in

subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

From one to five years, with funding approved annually. See the following for information on how assistance is awarded/released: Funds are released or reimbursed as agreed upon in the Standard and any Special Terms and Conditions required for each grant/cooperative agreement.

Reports:

Progress reports are required. Federal Financial Reports are required for this program. Progress reports are required for this program. Federal Financial Reports are required for this program. Performance monitoring is required for this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. OMB Circular No. A-133

Records:

Records will be retained in accordance with relevant provisions of OMB Circulars, which NOAA anticipates will be located at 2 C.F.R. Part 200.

Account Identification:

13-1455-0-1-304.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$4,904,381; and FY 18 est \$4,900,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The RESTORE Act Science Programs suite of awards from our initial funding competition in 2015 are about half way completed and each is making progress consistent with their milestones and expected outputs. We will be publicizing the outputs and outcomes resulting from our current awards as they near completion. Fiscal Year 2017: The RESTORE Science Program is currently funding 15 teams of researchers and resource managers to support work on living coastal and marine resources and their habitats in the Gulf of Mexico. Six of the teams will be working directly with resource managers to improve the decision support tools available for managing living coastal and marine resources in the Gulf. Nine of the teams will be conducting research on living coastal and marine resources to address questions resource managers need answered about food webs, habitat use, fisheries recruitment, the impact of stressors, and how habitats are connected in the Gulf. Fiscal Year 2018: The Restore Science Program will continue to support work on living coastal and marine resources and their habitats in the Gulf of Mexico. Six of the teams will be working directly with resource managers to improve the decision support tools available for managing living coastal and marine resources in the Gulf. Nine of the teams will be conducting research on living coastal and marine resources to address questions resource managers need answered about food webs, habitat use, fisheries recruitment, the impact of stressors, and how habitats are connected in the Gulf.

REGULATIONS, GUIDELINES, AND LITERATURE:

Relevant RESTORE Act regulations promulgated by Treasury. In addition, NOAA anticipates publishing an FFO setting out program priorities.

Regional or Local Office:

See Regional Agency Offices. Mary Erickson, Director National Centers for Coastal Ocean Science, 1305 East West Highway, SSMC4, #8211 Silver Spring, MD 20910, phone number 301-713-3020; fax 301-713-4044, Mary.Erickson@noaa.gov.

Headquarters Office:

Laura J. Golden 1305 East West Hwy, Silver Spring., Maryland 20901 Email: laurie.golden@noaa.gov Phone: 301713338151

Website Address:

<http://restoreactscienceprogram.noaa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The RESTORE Act Science Program is currently funding projects in the Gulf of Mexico region that focus on ecological and ecosystem indicators, improvements to observing systems, ecosystem modeling, fish spawning aggregations, and the impacts of the Mississippi River on the Gulf. Fiscal Year 2017: The RESTORE Science Program is currently funding 15 teams of researchers and resource managers to support work on living coastal and marine resources and their habitats in the Gulf of Mexico. Six of the teams will be working directly with resource managers to improve the decision support tools available for managing living coastal and marine resources in the Gulf. Nine of the teams will be conducting research on living coastal and marine resources to address questions resource managers need answered about food webs, habitat use, fisheries recruitment, the impact of stressors, and how habitats are connected in the Gulf. Fiscal Year 2018: The Restore Science Program will continue to support work on living coastal and marine resources and their habitats in the Gulf of Mexico. Six of the teams will be working directly with resource managers to improve the decision support tools available for managing living coastal and marine resources in the Gulf. Nine of the teams will be conducting research on living coastal and marine resources to address questions resource managers need answered about food webs, habitat use, fisheries recruitment, the impact of stressors, and how habitats are connected in the Gulf.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated by competitive peer review taking into consideration primarily scientific merit and applicability to the RESTORE Act goals and objectives. Principal investigators' qualifications and cost are also considered, with costs subject to negotiation.

11.452 UNALLIED INDUSTRY PROJECTS

Unallied Industry Projects

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Department of Commerce Appropriation Act of 1999, Public Law 103-211; Saltonstall-Kennedy Act, 15 U.S.C 713c-3(d); Fish and Wildlife Coordination Act of 1956, 16 U.S.C 661-666c.

OBJECTIVES:

To provide grants and cooperative agreements for biological, economic, sociological, public policy, and other research and administration projects to benefit U.S. fisheries industries and contribute to the economic and social welfare of the Nation; also, to award grants and cooperative agreements to develop innovative approaches and methods for ensuring the safety, quality and integrity of U.S. fishery products; also, to provide funds to the five States bordering the Gulf of Mexico, for projects or other measures designed to alleviate the long-term effects of disasters on the Gulf's fishery resources and associated habitat. To facilitate the administration, coordination and implementation of the research activities of the Cooperative Institute for Fisheries Molecular Biology (FISHTEC). The goal of FISHTEC is to develop, test, and apply new technology in molecular biology for use in management of commercial and recreational marine fisheries. Emphasis of the research program is placed on development of molecular genetics techniques to identify and evaluate the status of fish stocks/populations subject to fishing pressure and/or environmental impacts. To support the implementation of

community-based demonstration projects in the U.S. western Pacific that promote the development of fishery-related industries and the economic self-sufficiency of native Pacific islanders and communities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds can be used by recipients to support a wide variety of research and management activities for high-priority marine and estuarine resources, especially for species and/or their habitat currently under, or proposed for future Federal or interjurisdictional management.

Applicant Eligibility:

Eligible applicants for assistance include State and local governments, including their universities and colleges; U.S. territorial agencies; Federal and State-recognized Indian Tribal governments; private universities and colleges; private profit and nonprofit research and conservation organizations and/or individuals.

Beneficiary Eligibility:

This program benefits Federal, State, and interstate marine resource conservation and management agencies; U.S. and foreign commercial and recreational fishing industries; conservation organizations, academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs application and conduct. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

A listing of State single points of contact under E.O. 12372 is available at www.hhs.gov/grantsnet/lawsregs/eo.htm. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the pertinent NMFS office/region/science center, or their component laboratories, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects recommended for funding will be submitted to the NOAA Grants Management Division for review and approval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approval time is expected to range for 90 to 120 days, which includes processing of the award through the NMFS, NOAA and DOC financial assistance procedures.

Appeals:

Not Applicable.

Renewals:

Grants and cooperative agreements are approved on an annual basis, but may be

continued beyond the first segment, subject to approved time frame and scope of work, satisfactory progress, and availability of funds. Renewal of an award to increase funding, extend the period of an award to increase funding, or extend the period of performance is at the total discretion of the Department.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are normally for a 12-month period. In some instances, award periods may extend beyond 12 months, or may be completed in less than 12 months. Award funds must be spent in the indicated budget period and are expended in accordance with DOC/NOAA finance and reporting procedures. See the following for information on how assistance is awarded/released: Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Reports are due in accordance with the terms and conditions of the award. The Department's financial assistance standard terms and conditions generally require that financial and performance reports be submitted semi annually. Progress reports summarize work accomplished and explain situations where expected work has not been. Expenditure reports are not applicable. Reports are due in accordance with the terms and conditions of the award. The Department's financial assistance standard terms and conditions generally require that financial and performance reports be submitted semi annually. Progress reports summarize work accomplished and explain situations where expected work has not been.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three- year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$757,640; FY 17 est \$728,151; and FY 18 est \$750,000

Range and Average of Financial Assistance:

Range and Average of Financial Assistance: \$50,000 to \$1,361,000.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Costs will be determined in accordance with OMB Circular Nos. A-21 for Institutions of Higher Education, A-87 for State and Local Governments, and with A-122 for Nonprofit Organizations. For grants management principles, see 15 CFR 24 (States and local governments) and 15 CFR 14 for other recipients.

Regional or Local Office:

See Regional Agency Offices. Alaska: Shawn Carey, NMFS, Alaska Regional Office, P.O. Box 12668, Juneau, AK 99802. Telephone: (907) 586-7280. E-mail: shawn.carey@noaa.gov

Northeast: Harold C. Mears, State, Federal and Constituent Programs Office; Northeast Region, 55 Great Republic Dr., Gloucester, MA 01930-2276. Telephone: (978) 281-9243. Fax: (978) 281- 9117. E-mail: Grants.Information@noaa.gov. Northwest: Kevin Ford, National Marine Fisheries Service, Northwest Region, 7600 Sand Point Way NE., Seattle, WA 98115. Telephone: (206) 526-6115. Fax: (206) 526- 4461. Email: Kevin.Ford@noaa.gov. Southeast: Jeffrey Brown, State/Federal Liaison Branch, 263 13th Avenue South, St. Petersburg, FL 33701. Telephone: (727) 824-5364 Fax: (727) 824-5364. E-mail: jeff.brown@noaa.gov. Southwest: Patricia Culver, Federal Program Officer, 501 W. Ocean Blvd., Suite 4200, Long Beach, CA 90802. Telephone: (562) 980-4033. Fax: (562) 980-4047. E-mail: trisha.culver@noaa.gov. PIRO: Scott Bloom, 1601 Kapiolani Blvd, Suite 1110, Honolulu, HI 96814. Telephone: (808)944- 2218. Fax: (808)973-2941. E-mail: scott.bloom@noaa.gov.

Headquarters Office:

Dan Namur Office of Management and Budget, National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, Maryland 20910 Email: dan.namur@noaa.gov Phone: (301) 713-1364 Fax: (301) 713-2258

Website Address:

<http://sero.nmfs.noaa.gov/grants/uip.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals may be selected from several sources, e.g., unsolicited proposals, Congressionally-mandated projects, applications received as a result of notices published in the Federal Register. Recipients and sub recipients are subject to all applicable Federal laws and Federal and Departmental policies, regulations, and procedures applicable to Federal financial assistance awards.

11.454 UNALLIED MANAGEMENT PROJECTS

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Magnuson Fishery Conservation and Management Act, 16 U.S.C 1854e; Department of Commerce Appropriation Act of 1999; Fish and Wildlife Coordination Act of 1956, 16 U.S.C 661; National Fisheries Research and Development Program, 15 U.S.C 713e3(d).

OBJECTIVES:

Projects provide economic, sociological, public policy, and other information needed by Federal and State natural resource administrators for conserving and managing fishery resources and protected species and their environment in Federal, State, and U.S. territorial waters. Information derived from project studies may consist of econometric data, user profiles, sociological case studies, policy and systems analyses, and other knowledge, and is used in such decisions as resource allocations, total and zonal harvest determinations, and initial apportionment of harvesting rights under controlled access management regimes. Primary users of project information include the National Marine Fisheries Service, Regional Fishery Management Councils, Interstate Marine Fisheries Commissions, State, and other Federal resource management agencies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds can be used by recipients to support a wide variety of management activities for high-priority marine and estuarine resources, especially for species and/or their habitat currently under or proposed for future Federal or interjurisdictional management.

Applicant Eligibility:

Eligible applicants for assistance include: State and local governments, including their universities and colleges; U.S. territorial agencies; federally and state recognized Indian Tribal governments; private universities and colleges; private profit and nonprofit research and conservation organizations and individuals.

Beneficiary Eligibility:

This program benefits Federal, State and interstate marine resource conservation and management agencies; U.S. and foreign commercial and recreational fishing industries; conservation organizations; academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions for financial assistance programs application and conduct. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the pertinent NMFS Office/Region/Science Center, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects approved for funding will be submitted to the NOAA Grants Management Division for review and approval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approval time is expected to range from 90 to 120 days, which includes processing of the award through the NMFS and NOAA financial assistance procedures.

Appeals:

Not Applicable.

Renewals:

Approval time is expected to range from 90 to 120 days, which includes processing of the award through the NMFS and NOAA financial assistance procedures.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are normally for a 12-month or 18-month period, but in some instances, award periods may extend beyond these periods, or may be completed in less time. Award funds must be spent in the indicated budget period and are expended in accordance with DOC/NOAA finance and reporting procedures. Funds are requested through the Financial Management System as part of the Department of Treasury's Automated Standard Application for Payment System

(ASAP). See http://www.fms.treas.gov/asap/pr_gettingstarted.html. See the following for information on how assistance is awarded/released: Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds on a semi-annual schedule. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$13,467,493; FY 17 est \$13,467,493; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Range and Average of Financial Assistance: \$146,500 to \$1.27 million.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For cost principles, see OMB Circular Nos. A-21 (educational institutions), A-87 (State and local governments) or A-122 (nonprofit organizations). For grants management principles, see 15 CFR 24 (States and local governments) and 15 CFR 14 for other recipients.

Regional or Local Office:

See Regional Agency Offices. Alaska: Shawn Carey, Alaska Regional Office, NMFS, P.O. Box 12668, Juneau, AK 99802- 1668. Telephone: (907) 586-7845. Use the same numbers for FTS.

E-mail: shawn.carey@noaa.gov. Northeast: Harold C. Mears, State, Federal and Constituent Programs Office; Northeast Region, 55 Great Republic Dr., Gloucester, MA 01930-2276. Telephone: (978) 281-9243. Fax: (978) 281-9117.

Telephone: (978) 281-9243. Fax: (978) 281-9117. E-mail: Grants.Information@noaa.gov. Northwest: Kevin Ford, National Marine Fisheries Service, Northwest Regional Office, 7600 Sand Point Way NE., Seattle, WA 98115. Telephone: (206)526-6150. Fax: (206) 526-6426. E-mail: Joe.Scordino@noaa.gov. Southeast: Federal Program Officer, State/Federal Liaison Branch, 263 13th Ave. South, St. Petersburg, FL 33701. Telephone: (727)551-5760. Fax: (727) 824-5364. E-mail: Robert.sadler@noaa.gov. Southwest: Patricia Culver, Federal Program Officer, Southwest Region Office, 501 West Ocean Blvd., Suite 4200, Long Beach, CA 90802-4213. Telephone: (562) 980-4239. Fax: (562) 980-4047. E-mail: trisha.culver@noaa.gov. Pacific Island: Scott Bloom. Telephone (808) 944-2218. E-mail: scott.bloom@noaa.gov.

Headquarters Office:

Dan Namur Office of Management and Budget, National Marine Fisheries

Service, 1315 East-West Highway, Silver Spring, Maryland 20910 Email: dan.namur@noaa.gov Phone: (301) 713-1364 Fax: (301) 713-2258

Website Address:

<http://www.nmfs.noaa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: ASMFC Proposal for New York's Groundfish Relief for 2012 Groundfish Disaster Declaration; White Abalone Captive Rearing, Outplanting, and Education Project; FY 16 NOAA-NFWF Electronic Monitoring and Reporting Grant Program. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected from several sources: Unsolicited proposals; special solicitations in the Federal Register, FedBizOpps, or Congressionally mandated projects. Regardless of source, proposals must undergo rigorous technical review and comply with all OMB, DOC, and NOAA grants policies and procedures.

11.455 COOPERATIVE SCIENCE AND EDUCATION PROGRAM

Cooperative Marine Education and Research Programs (CMER); Joint Institute for Marine Observation (JIMO); Cooperative Unit of Fisheries Education and Research (CUFER); Cooperative Institute of Fishery Oceanography (CIFO); Cooperative Education and Research Program (CERP); Cooperative Institute Agreement (CIA); Cooperative Institute for Marine Resources Studies (CIMRS); Cooperative Institute for Marine and Atmospheric Studies (CIMAS); and Cooperative Institute for Arctic Research (CIFAR); Joint Institute for the Study of the Atmosphere and Oceans (JISAO).

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Department of Commerce Appropriation Act of 1999.
; Fish and Wildlife Coordination Act of 1956, 16 U.S.C 661; Fish and Wildlife Act, 16 U.S.C 753a.

OBJECTIVES:

To support through grants and cooperative agreements, enduring partnerships between the Federal government and institutions of research and higher education for cooperative science and education on marine issues, especially living marine resources and their habitat, that confront local, regional, and national resources managers; also, to award grants and cooperative agreements to develop innovative approaches and methods for marine and estuarine science and education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds can be used by recipients to support a wide variety of research and higher education projects on high-priority marine and estuarine resource issues, especially resources and/or their habitat currently under, or proposed for future Federal or interjurisdictional management.

Applicant Eligibility:

Eligible applicants for assistance are State, U.S. territorial, and private institutions of higher learning and education, especially universities and colleges, with which the National Marine Fisheries Service or the National Oceanic and Atmospheric Administration has entered into, or may enter into, an enduring partnership for purposes of research and education on the marine environment. Also included are private and public research organizations affiliated with institutions of higher learning, and national and international organizations and programs dedicated to marine and estuarine research, education, and outreach.

Beneficiary Eligibility:

This program benefits Federal, State, and interstate marine resource

conversation and management agencies; U.S. and foreign commercial and recreational fishing industries; conservation organizations, academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs application and conduct. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the appropriate NMFS Science and Research Center, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional research and education goals, and appropriateness and reasonableness of proposed costs. Projects approved for funding will be submitted to the NOAA Grants Management Division and the Department of Commerce's Office of Federal Assistance for review and approval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval time is expected to range from 90 to 150 days, which includes processing of the award through the NMFS and NOAA.

Appeals:

Not Applicable.

Renewals:

Grants and cooperative agreements are approved on an annual basis, but may be continued beyond the first segment, subject to approved time frame and scope of work, satisfactory progress, and availability of funds. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are normally for a 12 month period but in some instances, award periods may extend beyond 12 months or may be completed in less than 12 months. Award funds must be spent in the indicated budget period and expended in accordance with DOC/NOAA finance and reporting procedures. See the following for information on how assistance is awarded/released: Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Program reports are not applicable. Submitted through Grants Online. Submitted through Grants Online. Expenditure reports are not applicable. Reports are due in accordance with the terms and conditions of the award. The

Department's financial assistance standard terms and conditions generally require that financial and performance reports be submitted semi-annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three (3) years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$263,771; FY 17 est \$263,771; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The PEP program successfully placed undergraduate summer interns concentrating in the marine sciences. The program was open to students of all backgrounds and schools in an effort to create a pathway in to professional marine sciences while attempting to increase the diversity in the Woods Hole science community. Fiscal Year 2017: No Current Data Available
Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

See Regional Agency Offices. Program contacts: Alaska: Mr. James M. Coe, Deputy Director, Alaska Fisheries Science Center, 7600 Sand Pt. Way NE., Bldg. 4, Seattle, WA 98115-6349. Telephone: (206) 526-4000. Fax: (206) 526-4004. E-mail: jim.coe@noaa.gov. Northeast: Dr. Ken Sherman, Chief, Office of Marine Ecosystem Studies, Northeast Fisheries Science Center, 28 Tarzwell Drive, Narragansett, RI, 02882. Telephone: (401) 782-3211. Fax: 401-782-3201. E-mail: Kenneth.Sherman@noaa.gov. Northwest: Dr. Robert Iwamoto, OMI Director, Northwest Fisheries Science Center, 2725 Montlake Boulevard East, Seattle, WA 98112-2097. Telephone: (206) 860-3200. Fax: (206) 860-3442. E-mail: Bob.Iwamoto@noaa.gov. Southeast: Federal Program Officer, State/Federal Liaison Branch, 263 13th Ave. South, St. Petersburg, FL 33701, Telephone (727) 824-5324. Fax: (727) 824-5364. Southwest: Dr. Richard Neal, Deputy Science Director, Southwest Fisheries Science Center, 8604 La Jolla Shores Drive, La Jolla, CA 92038-1508. Telephone: (858) 546-7066. Fax: (858) 546-7003. E-mail: Richard.A.Neal@noaa.gov.

Headquarters Office:

n/a n/a, n/a, Non-U.S. n/a Phone: n/a

Website Address:

<http://www.woodsholediversity.org/pep/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Summer Internships. Fiscal Year 2017: Summer Internships. Fiscal Year 2018: Summer Internships.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected following consultation between NMFS fisheries centers scientists and universities with established memoranda of understanding or agreement, and subject to availability of funds. Proposals may be reviewed by coordinating or technical committees, based on joint determination by these reviewers and in consideration of the informational needs of the State regional and Federal marine resource management agencies, and on peer-review comments related to the technical quality of the proposal. All proposals must comply with all OMB, DOC, and NOAA grants policies and procedures.

11.457 CHESAPEAKE BAY STUDIES

(Chesapeake Bay Studies)

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

NOAA Authorization Act of 1992; Fish and Wildlife Coordination Act, 16 U.S.C 661-666c; Fish and Wildlife Act of 1956, 16 U.S.C 753a; Department of Commerce and Related Agencies Appropriations Act of 2002, Public Law 102-567; Commerce and Trade, 15 U.S.C 1540.

OBJECTIVES:

To provide financial assistance for research and development projects that will provide information for the living marine resources of Chesapeake Bay. In addition, assistance is provided for the Chesapeake Bay Watershed Education and Training Initiative (B-WET). The B-WET Program will work with and support organizations that provide environment-based education to students, teachers, and communities throughout the Chesapeake Bay watershed. In addition, assistance is provided for research and restoration of Submerged Aquatic Vegetation (SAV) in the Chesapeake Bay.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds can be used to improve surveys and fisheries statistics, investigate living marine resource linkages with dependent habitats and conduct research in support of fisheries stock assessments in conjunction with cooperative, long-term data collection programs for the Bay's living marine resources. Funding can also be used to support environmental-based education, expand student Chesapeake Bay or stream outdoor experiences, improve opportunities for teachers to receive professional training in the area of environmental education, and promote internship opportunities for students and teachers in the field of environmental education.

Applicant Eligibility:

Eligible applicants are institutions of higher education, hospitals, other nonprofits, commercial organizations, foreign governments, organizations under the jurisdiction of foreign governments, international organizations, State, local and Indian tribal governments.

Beneficiary Eligibility:

Federal, State and local governments, universities, independent school divisions, nonprofit organizations, and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs applications and conduct. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is

not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the NOAA Chesapeake Bay Office, and then are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national and regional goals, and appropriateness and reasonableness of proposed costs. Projects recommended for funding will be submitted to the NOAA Grants Management Division for Department of Commerce clearance and processing procedures. In addition, funds may be provided on a sole source basis for priority projects for the stewardship of Chesapeake Bay resources.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 120 working days.

Appeals:

No formal appeal procedure. If an application is unacceptable, the reasons are fully stated to the applicant.

Renewals:

Multi-year awards of up to three years may be approved. Once awarded, multi-year projects will not compete for funding in subsequent years, however proposals may be subject to a technical evaluation. Funding for multi-year projects beyond the first year is contingent upon the availability of program funds in subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants/cooperative awards are normally made for one year. Assistance is released via the NOAA Financial Assistance Disbursement System (FADS). Awards are normally for a 12-month period but in some instances, award periods may extend beyond 12-months, or may be completed in less than 12-months. Award funds must be spent in the indicated budget period and in accordance with DOC/NOAA finance and reporting procedures. See the following for information on how assistance is awarded/released: Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semi-annual progress and financial reports are due six months after the start date of the award. Progress reports should summarize all work accomplished during the six months following the start date of the award and the financial reports should reflect the use of funds. A final report is due 90 days after completion of the project. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$3,406,725; FY 17 est \$3,406,725; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fish and Wildlife Act of 1956. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

See Regional Agency Offices. NOAA Chesapeake Bay Office, 410 Severn Avenue, Suite 107A, Annapolis, MD 21403. Contact: Derek Orner, Fishery Biologist or Shannon Sprague, Education Coordinator. Telephone: (410) 267-5660. Fax: (410) 267-5666. E-mail: Derek.Orner@noaa.gov or Shannon.Sprague@noaa.gov.

Headquarters Office:

n/a n/a, n/a, Non-U.S. n/a Phone: n/a

Website Address:

<http://noaa.chesapeakebay.net/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Consideration is given to projects that directly relate to the interstate/governmental Chesapeake Bay 2000 Agreement. Consideration is measured amongst several criterion including: project description and conceptual approach for resolution; soundness of project design/technical approach; project management and experience and qualification of personnel; justification and allocation of the budget in terms of the work to be performed; demonstration of project partnerships; and continued teacher involvement and communication.

11.459 WEATHER AND AIR QUALITY RESEARCH

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Federal Aviation Act, 49 U.S.C 1463; Weather Service Organic Act, Title 15, Section 313; Transportation: Meteorological Services; Promoting Safety and

Efficiency, Title 49, Section 44720(b); Navigation and Navigable Waters: Improvement of Methods, Instruments, and Equipment; Investigations and Research, Title 33, Section 883d, as amended by Weather Research and Forecasting Innovation Act, Title 4, Section 401-407, as amended by Weather Research and Forecasting Innovation Act, Title 1, Section 102-110; The Public Health and Welfare: Research, investigation, training, and other activities, Title 42, Section 7403.

OBJECTIVES:

To develop the knowledge required to establish a predictive capability for short and long-term climate and air quality fluctuations and trends. To develop the base of scientific knowledge for better informed decisions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may be used for research and development, science assessments, advisory services, and operational systems as they relate to specific programs. Specific restrictions, other than those mandated for grants, are evaluated on an individual grant or program basis. Grants and contracts currently exist with National Acid Precipitation Assessment Program (NAPAP) participants.

Applicant Eligibility:

Universities, colleges, junior colleges, technical schools, institutions, laboratories; any State, political subdivision of a State or agency or officer thereof; any individual.

Beneficiary Eligibility:

Organizations and individuals with interests in meteorology, oceanography, climate, and air-quality research and prediction and organizations and individuals in the social sciences with interests in the linkages between human systems and the aforementioned interest areas.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Submit an electronic application to Grants.gov APPLY for financial assistance (SF 424) with statement of work to be performed and proposed amount. This program is subject to the requirements of OMB Circular No. A-110 and 15 CFR 24, "Grants and Cooperative Agreements with State and Local Governments," as applicable.

Award Procedure:

Awards are made on the basis of peer review of proposals.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 180 days from time of proposal receipt.

Appeals:

Not Applicable.

Renewals:

By negotiation with OAR.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Normally for a period of one year. See the following for information on how assistance is awarded/released: As described in agreement.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Quarterly performance and financial reports are required. Final reports are due 90 days after expiration of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees expected to maintain complete records for each grant to insure funds are used for the purpose for which the grant was made.

Account Identification:

13-1450-0-1-306.

Obligations:

(Project Grants (Contracts)) FY 16 \$8,838,244; FY 17 est \$13,094,248; and FY 18 est \$15,430,129

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Director Office of Weather and Air Quality, Office of Oceanic and Atmospheric Research, National Oceanic and Atmospheric Administration, 1315 East-West Highway, Silver Spring, Maryland 20910 Phone: (301) 713-9397

Website Address:

<http://owaq.noaa.gov/>

RELATED PROGRAMS:

11.431 Climate and Atmospheric Research; 11.432 Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office and peer review process taking into consideration primarily scientific merit and application to NOAA's research and operational goals. Principal investigators' qualifications and cost are also considered, with cost subject to negotiation.

11.460 SPECIAL OCEANIC AND ATMOSPHERIC PROJECTS

Ocean Exploration

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Omnibus Public Lands Management Act of 2009, Public Law 111-11.

OBJECTIVES:

To explore the Earth's largely unknown oceans in all their dimensions for the purpose of discovery and the advancement of knowledge, using state-of-the-art technologies in evolutionary and revolutionary ways within Oceanic and Atmospheric Research (OAR).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative agreements and grants may be used for research and development, education and training, and advisory services. They may also be used for operational systems as they relate to specific programs. Specific restrictions, other than those mandated for grants and cooperative agreements are evaluated on an individual program basis.

Applicant Eligibility:

As stated in NOAA special announcements or applicable reports in support of NOAA's mission.

Beneficiary Eligibility:

Organizations and individuals with interests in support of managing effectively the Nation's oceanic and atmospheric resources.

Credentials/Documentation:

Proposals with statements of work and estimated budgets. Costs will be determined in accordance with applicable OMB Circulars. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit an electronic application to Grants.gov APPLY for financial assistance (SF 424) with statement of work to be performed and proposed amount. This program is subject to the requirements of OMB Circular No. A-110 and 15 CFR Part 24, "Grants and Cooperative Agreements with State and Local Governments," as applicable.

Award Procedure:

Awards will be made on the basis of proposal evaluations, technical reviews, administrative reviews, and external reviews, if applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 180 days from the time of proposal submission to NOAA/OAR.

Appeals:

None anticipated.

Renewals:

By negotiation with the OAR and subject to availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with the applicable NOAA Report or special NOAA announcement and in accordance with FARB approvals. See the following for information on how assistance is awarded/released: In accordance with the applicable NOAA Report or special NOAA announcement and in accordance with FARB approvals.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Financial and performance reports will be required quarterly at a minimum.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are expected to maintain complete records for each grant and/or cooperative agreement to ensure funds are used for the purpose for which the award was made.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$13,770,306; FY 17 est \$17,939,678; and FY 18 est \$23,265,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

NOAA Grants and Cooperative Agreements Policy Manual. Allowable cost will be determined in accordance with 2 CFR 225 for State and local governments and Indian Tribes; 2 CFR 230 for nonprofit and for-profit organizations; 2 CFR 220 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

See Regional Agency Offices. OAR, Laboratory, or Sea Grant offices, depending upon the particular program. 1335 East-West Highway, Silver Spring, MD 20910.

Headquarters Office:

n/a Director, Ocean Exploration and Research, 1315 East-West Highway, Silver Spring, Maryland 20910 Phone: (301) 713-1010

Website Address:

<http://www.explorer.noaa.gov>

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.431 Climate and Atmospheric Research; 11.432 Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and external reviews, if applicable, taking into consideration primarily scientific merit and application to the goals of NOAA and the Office of Oceans and Atmospheric Research.

11.463 HABITAT CONSERVATION**FEDERAL AGENCY:**

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Fish and Wildlife Coordination Act of 1956, 16 U.S.C 661; Coral Reef Conservation Act of 2000, 16 U.S.C 6403; Magnuson-Stevens Fishery

Conservation and Management Reauthorization Act of 2006, 16 U.S.C 1891a; Department of Commerce Appropriation Act of 1999; Marine Debris Research, Prevention, and Reduction Act, 33 U.S.C 1951; Coral Reef Conservation Act, 16 U.S.C 6401 et seq; National Marine Sanctuaries Act, 16 U.S.C 1431; Marine Mammal Protection Act, 16 U.S.C 1382; Coastal Zone Management Act of 1972, 16 U.S.C 1451; Department of Commerce Appropriation Act of 1999; Act to Prevent Pollution from Ships, 16 U.S.C 1901 et seq; Coastal Wetlands Planning, Protection and Restoration Act, 16 U.S.C 3951 et seq; Endangered Species Act, 16 U.S.C 1535.

OBJECTIVES:

To provide grants and cooperative agreements for habitat conservation activities including coastal and marine habitat restoration and protection. Projects are funded to carry out public policy pertaining to protection and restoration of the Nation's wetlands and other coastal habitats (including Great Lakes habitats), pursuant to the Fish and Wildlife Coordination Act, Magnuson Fishery Conservation and Management Act as reauthorized in 2006, Endangered Species Act, Estuary Restoration Act, Marine Mammal Protection Act, Marine Plastic Pollution Research and Control Act of 1987, Coastal Wetlands Planning, Protection and Restoration Act (CWPPRA), Coral Reef Conservation Act, Marine Debris Research, Prevention, and Reduction Act, and other legislation. Research and management includes determining the effects of habitat modifications and contaminants on populations of living marine resources, restoring depleted stocks that have been adversely impacted by habitat modifications, determining if artificial or restored habitat fulfills essential habitat needs of living marine resources, and quantifying contaminants and debris that pose a hazard to populations of these animals.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds can be used by recipients to support a wide variety of habitat restoration, coral reef conservation, construction, management, public education activities, and research for marine and estuarine habitats, especially for species currently under, or proposed for, Federal or inter-jurisdictional management. Restoration includes, but is not limited to, activities that contribute to the return of degraded or altered marine, estuarine, coastal, and freshwater (diadromous fish) habitats to a close approximation of their function prior to disturbance. Habitat restoration activities that produce significant ecological habitat features to create buffers or green infrastructure that serve to protect coastal communities from sea level rise, coastal storms and flooding, or that provide adaptation to climate change are also included. Projects funded under this CFDA primarily support NOAA's Ecosystems mission support goal of Protect, Restore, and Manage Use of Coastal and Ocean Resources through Ecosystem-Based Management..

Applicant Eligibility:

Eligible applicants for assistance include State and local governments, including their universities and colleges; U.S. territorial agencies; federally and State-recognized Indian Tribal governments; private universities and colleges; private profit and nonprofit research and conservation organizations, and/or individuals.

Beneficiary Eligibility:

This program benefits Federal, State, and interstate marine resource conservation and management agencies; U.S. Territories and Freely Associated States; U.S. and foreign commercial and recreational fishing industries; conservation organizations, academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs application and conduct. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O.

12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the Office of Habitat Conservation, or NOAA Coral Conservation Program lead, as appropriate. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the appropriate NMFS Office/Region/Science Center, or their component laboratories, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects approved for funding will be submitted to the NOAA Grants Management Division and the Department of Commerce's Office of Federal Assistance for review and approval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval time is expected to range from 150-180 days, which includes processing of the award through NMFS and NOAA.

Appeals:

Not Applicable.

Renewals:

Grants and cooperative agreements are approved on an annual basis, but may be continued beyond the first segment, subject to approved time frame and scope of work, satisfactory progress, and availability of funds. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Statutory formulas, if any, are specific to programs that fall under this CFDA number. Project costs are funded at up to 100 percent. Grantee matching contributions vary by specific funding opportunity. CWPPRA projects require a 15 percent match by the State of Louisiana at this time. Under the Community-based Restoration Program guidelines, projects are encouraged to demonstrate a minimum nonfederal match of 50 percent of the total cost needed to complete the proposed project to be most competitive. A 1:1 match is required for the General and International Coral Reef Conservation Grant Programs and grants under the Marine Debris Research, Prevention, and Reduction Act, although the MDRPR Act allows the NOAA Administrator to waive all or part of the matching requirement in certain instances. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are normally for 12-24 month periods, and vary by specific funding opportunity. Award funds must be spent in the indicated budget period and expended in accordance with DOC/NOAA finance and reporting procedures. See the following for information on how assistance is awarded/released: Funds are released as needed through the automated treasury process (ASAP) or by reimbursement, as indicated in the Standard Terms and Conditions document required for each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Reports are due in accordance with the terms and conditions of the award. The Department's Financial Assistance Standard Terms and Conditions may require that financial and performance reports be submitted semi-annually or on some other agreed upon schedule. Expenditure reports are not applicable.

Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$75,194,939; FY 17 est \$75,194,939; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

\$15,000 to \$36,000,000. Typical award: \$35,000 to \$200,000; CWPPRA and ARRA awards are among the largest, typically in the millions.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cost will be determined in accordance with OMB Circular No. A-21 for institutions of higher education, with OMB Circular No. A-87 for State and local governments, and with OMB Circular No. A-122 for nonprofit organizations. For grants management administrative requirements, see 15 CFR 24 (state and local governments) and 2 CFR 215 for other recipients. All recipients will adhere to Department of Commerce Financial Assistance Standard Terms and Conditions and NOAA Administrative Standard Award Conditions available at http://www.ago.noaa.gov/ago/grants/external_links.cfm. For awards under the ARRA, recipients will also follow regulations and guidelines stated in Title XV Sec. 1512 of the ARRA.

Regional or Local Office:

None. See Appendix IV.

Headquarters Office:

n/a NOAA Fisheries, Office of Habitat Conservation, 1315 East West Highway, Silver Spring, MD 20910. CWPPRA: Ms. Cecelia Linder; Telephone (301)713-0174; Fax (301)713-0184; E-mail Cecelia.Linder@noaa.gov. Community-based Restoration Program (CRP): Ms. Robin Bruckner; Telephone (301)713-0174; Fax (301)713-0184; E-mail Robin.Bruckner@noaa.gov. General Coral Reef Conservation Grant Program: Ms. Jennifer Koss; Telephone (301) 713-4300; Fax (301) 713-1043; Email Jennifer.Koss@noaa.gov. International Coral Reef Conservation Grant Program: Scot Frew; 1315 East West Highway, 5th Floor, Room 5826, Silver Spring, MD 20910; Telephone (301) 713-3078; Fax 301-713-4263; E-mail Scot.Frew@noaa.gov, Silver Spring, Maryland 20910 Phone: n/a

Website Address:

<http://www.nmfs.noaa.gov/habitat/ecosystem/index.htm>.

RELATED PROGRAMS:

11.419 Coastal Zone Management Administration Awards; 11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.441 Regional Fishery Management Councils; 11.457 Chesapeake Bay Studies; 11.469 Congressionally Identified Awards and Projects

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are received and projects selected from several sources: Unsolicited proposals; responses to special solicitations in the Federal Register and Grants.gov; or congressionally directed projects. For CWPPRA, the projects to be funded are selected through an annual, publicly-vetted process and ultimately approved by a multi-agency Task Force. Applications submitted through competitions are reviewed based on NOAA's standard criteria which are weighted as announced in specific funding opportunities. These criteria include: Importance and Applicability; Technical/Scientific Merit; Overall Qualifications of Applicants; Project Costs; and Outreach and Education. Regardless of source, applications must undergo rigorous technical review and comply with all OMB, DOC, and NOAA grants policies and procedures. Any financial assistance announcements must be made in the Federal Register; announcement on Grants.gov only is not sufficient.

11.469 CONGRESSIONALLY IDENTIFIED AWARDS AND PROJECTS

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Departments of Commerce, Justice, State, the Judiciary, and Related Agencies Appropriations Act.

OBJECTIVES:

The objectives of the overall program are to facilitate education, research and development in the fields of marine and atmospheric science, and to provide a provision of assistance for the construction of suitable facilities for these activities, as specified by Congress.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To provide appropriated funds to facilitate education, research and development in the fields of marine and atmospheric science. Appropriated funds can also be used for construction of facilities and construction-related activities to support education, research and development associated with issues of atmospheric or marine sciences. Specific restrictions, other than those mandated for grants, are evaluated on an individual grant or program basis.

Applicant Eligibility:

Eligible applicants include State and local governments, including their universities and colleges, quasi-governmental agencies, private universities and colleges, and private profit and nonprofit organizations and/or individuals. Typically those specifically identified by Congress in agency appropriations legislation.

Beneficiary Eligibility:

Through the awarding of financial assistance to facilitate education, research and development in the fields of marine and atmospheric science, and for the construction of educational, research and development facilities, this program benefits Federal, State, local governments, public and private universities and colleges, profit and nonprofit organizations, and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Submission of an application on Standard Form 424 as furnished by NOAA, including all required certifications, to the Program Officer, Congressionally Identified Awards, Office of Finance and Administration, Acquisitions and Grants Office. This program is subject to the

provisions of 15 CFR 24, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, and 15 CFR 14, Grants and Agreements with Institutions of Higher Education, Hospitals, and other Nonprofit Organizations. NOAA reserves the right to withhold the awarding of a grant or cooperative agreement to any individual or organization delinquent on a debt to the Federal government until payment is made or satisfactory arrangements are made with the agency to which the debt is owed.

Award Procedure:

Applications reviewed by NOAA and are made on the basis of proposal evaluations and technical and administrative reviews.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval time is expected to range from 90 to 180 days from the time of receipt of a complete application. This timeframe includes processing of the award through the NOAA and DOC financial assistance functions.

Appeals:

Not Applicable.

Renewals:

Contingent upon future Congressional funding. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Commerce/NOAA.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are usually for a 12-month period. In some instances, award periods may extend beyond 12 months. The funding is spent in the award's budget period unless otherwise negotiated between the recipient and NOAA and approved by the NOAA Grants Management Division. See the following for information on how assistance is awarded/released: As described in agreement.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Reports are due in accordance with the terms and conditions of the award. The Department's Financial Assistance Standard Terms and Conditions generally require that financial and performance reports be submitted semi-annually or as otherwise required under special award conditions. Progress reports summarize work accomplished, relate the progress to the original approved proposal and timelines, explain situations where expected work has not been completed, and request modifications to the project plan as necessary.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub-grantees are required to be maintained in accordance with the terms of the award. The recipient must retain records for three years from the date when the final financial status report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$1,796,658; FY 17 est \$1,796,658; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Congressional appropriations, Public Law (for FY 1998, Public Law 105-119, Nov. 13, 1997; for FY 1999, Public Law 105-277, October 19, 1998). Costs will be determined in accordance with OMB Circular No.A-21 for institutions of higher education, OMB Circular No.A-87 for State and local governments, and OMB Circular No.A-122 for nonprofit organizations. Grants management will be in accordance with provisions of 15 CFR Part 14 for institutions of higher education and other nonprofit organizations or 15 CFR 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

n/a Chief, Grants Management Division, OFA62, SSMC2, 1325 East-West Highway, Silver Spring, Maryland 20910 Phone: (301) 713-0926

Website Address:

<http://www.nmfs.noaa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.472 UNALLIED SCIENCE PROGRAM

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Fish and Wildlife Act, 16 U.S.C 753a; Fish and Wildlife Coordination Act of 1956, 16 U.S.C 661.

OBJECTIVES:

To provide grants and cooperative agreements of biological, socio-economic and physical science research on the stocks of fishery and protected resources of the United States and their environment that will contribute to their optimal management for the benefit of the Nation; also, to award grants and cooperative agreements to develop innovative approaches and methods for marine and estuarine science.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds can be used by recipients to support a wide variety of research on high-priority marine and estuarine resource issues, especially for resources and/or their habitat currently under, or proposed for future Federal or interjurisdictional management.

Applicant Eligibility:

Eligible applicants for assistance include: State and local governments, including their universities and colleges; U.S. territorial agencies; federally and State-recognized Indian Tribal governments; private universities and colleges; private profit and nonprofit research and conservation organizations and/or individuals.

Beneficiary Eligibility:

This program benefits Federal, State and interstate marine resource conservation and management agencies; U.S. and foreign commercial and recreational fishing industries; conservation organizations, academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs applications and conduct. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the pertinent NMFS Office/Region/Science Center, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects approved for funding will be submitted to the NOAA Grants Management Division and the Department of Commerce's Office of Federal Assistance for review and approval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval time is expected to range from 90 to 150 days, which includes processing of the award through the NMFS, NOAA, and DOC financial assistance procedures.

Appeals:

Not Applicable.

Renewals:

Renewal of an award to increase funding and/or extend the period of an award is at the sole discretion of the Department.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are normally for a 12-month period but in some instances, award periods may extend beyond 12 months, or may be completed in less than 12 months. Award funds must be spent in the indicated budget period and in accordance with DOC/NOAA finance and reporting procedures. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant. See the following for information on how assistance is awarded/released: Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds on a semi-annual schedule. Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds

on a semi-annual schedule. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306; 11-5362-0-2-302.

Obligations:

(Cooperative Agreements) FY 16 \$23,624,864; FY 17 est \$23,624,864; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-5362; 13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

See Regional Agency Offices. Regional or Local Office: Alaska: Shawn Carey, Alaska Regional Office, NMFS, P.O. Box 21668, Juneau AK 99802-1668. Telephone: (907) 586-7845, Fax: (907) 586-7255. Use the same numbers for FTS. Email: peter.d.jones@noaa.gov Northeast: Harold C. Mears, State, Federal and Constituent Programs Office; Northeast Region, 55 Great Republic Dr., Gloucester, MA 01930-2276. Telephone: (978) 281-9243. Fax: (978) 281-9117. Telephone: (978) 281-9243. Fax: (978) 281-9117. Email: Grants.Information@noaa.gov. Northwest: Kevin Ford, National Marine Fisheries Service, Northwest Region, 7600 Sand Point Way NE, Seattle, WA 98115. Telephone: (206) 526-6115. Fax: (206) 526-4461. Email: Kevin.Ford@noaa.gov. Northwest Center: Dr. Robert Iwamoto, OMI Director, Northwest Fisheries Science Center, 2725 Montlake Boulevard East, Seattle, WA 98112-2097. Telephone: (206) 860-3200. Fax: (206) 860-3442. Email: Bob.Iwamoto@noaa.gov. Southeast: Jeffrey Brown, State/Federal Liaison Staff, Southeast Regional Office, 9721 Executive Center Drive, North, St. Petersburg, FL 33702-2432. Telephone: (727) 570-5324. Fax: (727) 570-5364. Email: jeff.brown@noaa.gov. Southwest Region: Patricia Culver, 501 W. Ocean Blvd., Suite 4200, Long Beach, CA. 90802. Telephone: (562) 980-4239. Email: trisha.culver@noaa.gov.

Headquarters Office:

Dan Namur National Marine Fisheries Services, Office of Management and Budget, 1315 East-West Highway, Silver Spring, Maryland 20910 Email: dan.namur@noaa.gov Phone: 301) 713-1364 Fax: (301) 713- 2258

Website Address:

<http://www.nmfs.noaa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected from several sources: Unsolicited proposals; special solicitations in the Federal Register or Commerce Business Daily; or Congressionally-mandated projects. Regardless of source, proposals must undergo rigorous technical review and comply with all OMB, DOC, and NOAA grants policies and procedures.

11.473 OFFICE FOR COASTAL MANAGEMENT

Office for Coastal Management

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Commerce and Trade, 15 U.S.C 1540; Coastal Zone Management Act of 1972, 16 U.S.C 1456c; Navigation and Navigable Waters, 33 U.S.C 1442.

OBJECTIVES:

To support projects aimed at developing a science-based, multi-dimensional approach that will allow for the maintenance or improvement of environmental quality while at the same time allowing for economic growth.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds provided under this program may be used in the following areas: 1) Regional Coastal Resilience Program; 2) Bay Watershed Education and Training (B-WET) Hawaii program; and 3) Great Lakes Areas of Concern Land Acquisition Grants.

The Office for Coastal Management manages the Regional Coastal Resilience Program to help coastal communities prepare for and recover from extreme weather events, climate hazards, and changing ocean conditions. Awarded projects advance resilience strategies that use science-based solutions, demonstrate regional coordination, and create economic and environmental benefits for coastal communities.

Applicant Eligibility:

Institutions of higher education, hospitals, other nonprofits, for-profit organizations, foreign public entities, foreign organizations, and state, local and Indian tribal governments.

Beneficiary Eligibility:

Unrestricted.

Credentials/Documentation:

The Department of Commerce has adopted the OMB Uniform Guidance codified at 2 C.F.R. Part 200, which includes relevant cost principles. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals should be submitted through Grants.gov. Applicants will need to enter the Funding Opportunity and/or CFDA number to access the application package and instructions. Application packages will then be submitted directly to the applicable federal program. Proposals are subject to the requirements of 2

C.F.R. Part 200

Award Procedure:

Awards are made on the basis of proposal evaluations, technical reviews and administrative reviews, if applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Funding recommendations from the NOAA program office to the NOAA Grants Management Division are generally made:

3 to 5 months after competitive applications are received

Within a month after formula and non-competitive applications are received

Processing of awards by the NOAA Grants Management Division generally takes place within two months after recommendations are received from the NOAA program office. Successful applicants typically receive federal financial assistance awards offers 2 to 4 months after the NOAA program office submits award recommendations to the NOAA Grants Management Division.

Appeals:

No formal procedure.

Renewals:

Not applicable unless noted in awards.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is typically available to formula recipients for 12 to 18 months with a possible extension not to exceed a project period of 3 total years.

Assistance is typically available to competitive recipients for 1 to 5 years.

The maximum time that may be granted for recipients to expend and drawdown funds is 5 years.

Extension requests will be considered by the federal program office only if the recipient has submitted all required performance reports. At least sixty days prior to the end of the award, recipients must discuss with their federal program officer expected reprogramming and extension requests. After preliminary negotiation on proposed projects and extensions, formal written reprogramming and extension requests must be submitted to the NOAA at least 30 days prior to end date. Recipients should make every effort to submit one extension request for all projects needing an extension (when possible, multiple extension requests should be avoided). With sufficient justification, the federal program office will recommend approval of an extension up to 1 year for the first extension request. First time or cumulative extension requests for more than six months but less than 12 months, will need more compelling justification as to why the project was not completed on time or could not be completed within the first six-month extension. Although the federal program office discourages extensions of more than 12 months, the federal program office will recommend approval in cases where the funds are already obligated. Renewal of an award to increase funding or extend the period of performance is at the total discretion of the Department. See the following for information on how assistance is awarded/released: Funds are released as agreed to in the Standard Terms and Conditions document required for each grant; and special award conditions as applicable.

Reports:

Program reports are not applicable. Cash reports are not applicable. Financial and Performance Progress Reports are required semi-annually. Final financial and progress reports are required within 90 days of the end of the period of performance unless noted in the award. Inventories of equipment and supplies are required at least once every two years. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. A non-Federal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of the OMB Uniform Guidance (see Subpart F to 2 C.F.R. Part 200).

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub grantees are required to be maintained according to the terms of the agreement. Each non-Federal entity must retain records for three years from the date when the Final expenditure report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$5,520,525; FY 17 est \$6,089,275; and FY 18 est \$6,089,275

Range and Average of Financial Assistance:

Widely varies accounting to federal funding opportunity announcement. Contact the relevant federal program for details.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In fiscal years 2015 and 2016 the Office for Coastal Management, Pacific Islands, located in Honolulu, provided competitive grants through the Bay Watershed Education and Training (B-WET) Hawaii program to foster the development of innovative science programs, partnerships and products throughout the State of Hawaii, as well as support environmental education efforts that align with national and state education standards. B-WET Hawaii projects focused on providing meaningful outdoor experiences for students and professional development opportunities for teachers that target understanding earth system sciences, hazards, community resilience, and climate change.

The Bay Watershed and Education Program, sponsored a 2015 grant with the University of Hawaii to support student investigations in marine science. The He'eia Ahupua'a project provided a framework for both developing Science, Technology, Engineering and Math, (STEM) education programs in a new way, and a model for cross-disciplinary work within the university culture. It also catalyzed partnerships between the university, local schools and communities, and provided valuable K-12 teaching experiences for young emerging scientists, fostered future interdisciplinary interests and improved abilities in communicating science to diverse audiences.

The Bay Watershed, Education, and Training program sponsored a 205 project with the University of Hawaii titled The Kaneohe Ahupuaa Ridge-to-Reef Project (KARRP): An Environmental Science Education, Stewardship and Research Program for High School Juniors and Seniors in Hawaii. The project offered college-level summer experience in environmental science education and research for high school juniors and seniors during the summer of 2015. Through an intensive six-week summer program, the investigators broadened students understanding of the Kaneohe Bay watershed and coral reef ecosystem, introduced them to new scientific research areas, added to their knowledge of current scientific methodology, research techniques, and scientific tools, and encouraged environmental stewardship.

The Office for Coastal Management Pacific Islands, is continuing to manage the competitive Bay Watershed Education and Training (B-WET) Hawaii program to foster the development of innovative science programs, partnerships and products throughout the State of Hawaii, as well as support environmental education efforts that align with national and state education standards (subject

to the availability of funding). Fiscal Year 2017: The NOAA Office for Coastal Management funded \$10,000,000 in NOAA Coastal Resilience Grants in FY 2016 and 2017 to ramp up the nations ability to address existing and emerging coastal challenges. Recipients include state and local government agencies and nonprofits. They will use these funds to improve their respective regions ability to prepare for and recover from a variety of coastal threats, including hurricanes, tsunamis, and sea level rise. Project focus areas include flood protection, infrastructure improvement, restoration of coastal habitat, and proactive community planning initiatives. The emphasis is on protecting life and property, safeguarding people and infrastructure, strengthening the economy, and conserving and restoring coastal and marine resources. The awards cover 17 states and Puerto Rico. Over 350 coastal communities will be impacted, and 1,100 acres of habitat will be restored and used to enhance flood protection. Fiscal Year 2018: In fiscal year 2017 the Office for Coastal Management, Pacific Islands, located in Honolulu, provided competitive grants through the Bay Watershed Education and Training (B-WET) Hawaii program to foster the development of innovative science programs, partnerships and products throughout the State of Hawaii, as well as support environmental education efforts that align with national and state education standards. B-WET Hawaii projects focused on providing meaningful outdoor experiences for students and professional development opportunities for teachers that target understanding earth system sciences, hazards, community resilience, and climate change.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOC Grants and Cooperative Agreements Manual. OMB Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (OMB Uniform Guidance), adopted by the Department of Commerce through 2 C.F.R. 1327.101 and which are codified at 2 C.F.R. Part 200 and incorporate relevant cost principles.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Jeffrey L. Payne, Acting Director, NOAA Office for Coastal Management, 2234 South Hobson Ave., Charleston, SC 29405-2413. Telephone: (843) 740-1207 or contact James Lewis A. Free, Grants Coordinator, NOAA Office for Coastal Management, Telephone: (843) 740-1185.

Headquarters Office:

W. Russell Callender NOS, 1305 East-West Highway, Silver Spring, Maryland 20910 Phone: 301-713-3074

Website Address:

<http://coast.noaa.gov/>

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.419 Coastal Zone Management Administration Awards; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.429 Marine Sanctuary Program; 11.431 Climate and Atmospheric Research; 11.432 Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes; 11.440 Environmental Sciences, Applications, Data, and Education; 11.455 Cooperative Science and Education Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: 7,800,000. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and externals reviews, if applicable, taking into consideration primarily scientific merit and application to the goals of NOAA and the relevant federal program office.

11.474 ATLANTIC COASTAL FISHERIES COOPERATIVE MANAGEMENT ACT**FEDERAL AGENCY:**

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Atlantic Coastal Fisheries Cooperative Management Act, 16 U.S.C 5107.

OBJECTIVES:

To provide assistance to eligible States and the Atlantic States Marine Fisheries Commission in order to support and encourage the development, implementation, and enforcement of effective interstate conservation and management of Atlantic Coastal resources. Activities conducted under projects will be used to collect information necessary for the preparation, implementation and enforcement of coastal fisheries management plans and State activities required within such plans. Primary users of the information would be the Atlantic States Marine Fisheries Commission (ASMFC), the Atlantic Coast States, the National Marine Fisheries Service, and the U.S. Fish and Wildlife Service.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are restricted to "coastal fishery resources" which means any fishery, any species of fish, or any stock of fish that moves among, or is broadly distributed across, waters under the jurisdiction of two or more Atlantic Coastal States or waters under the jurisdiction of one or more Atlantic Coastal States and the exclusive economic zone. Funds can be provided to the Commission and to the specified States for the preparation, implementation and enforcement of coastal fishery management plans and State activities required within such plans. Funds can also be used for program activities to support and enhance State cooperation in collection, management and analysis of fishery data; law enforcement; habitat conservation; fishery research including biological and socioeconomic research; and fishery management planning.

Applicant Eligibility:

Eligible applicants for assistance are the Atlantic States Marine Fisheries Commission (ASMFC), the Atlantic Coast State governments which are Maine, New Hampshire, Massachusetts, Rhode Island, Connecticut, New York, New Jersey, Pennsylvania, Delaware, Maryland, Virginia, North Carolina, South Carolina, Georgia, Florida, the Potomac River Fisheries Commission, and the District of Columbia.

Beneficiary Eligibility:

This program benefits State, and interstate marine resource conservation agencies, the marine fishing industries and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions for financial assistance programs application and conduct. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the pertinent NMFS Office/Region/Science Center, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects recommended for funding will be submitted to the NOAA Grants Management Division for review and approval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The application processing time is 75 days as required by 15 U.S.C. 1539 (sole source selection).

Appeals:

Not Applicable.

Renewals:

Grants and cooperative agreements are generally approved on an annual basis, but may be continued beyond the initial project period, subject to approved time frame and scope of work, satisfactory progress, and availability of funds. The Department of Commerce has the discretion to renew the award to increase funding, or extend the period of performance.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are normally for a 12 month period but in some instances, award periods may extend beyond 12 months, or may be completed in less than 12 months. Award funds must be spent in the indicated budget period and is expended in accordance with DOC/NOAA finance and reporting procedures. See the following for information on how assistance is awarded/released: Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds during the reporting period. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$11,382,892; FY 17 est \$11,382,892; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

\$38,000 to \$1,900,000. Average: \$330,000.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable costs will be determined in accordance with 2 CFR 225 for state, local, and Indian tribal governments; 2 CFR 230 for nonprofit organizations; 2 CFR 220 for educational institutions; and 48 CFR 31 for profit-making

organizations.

Regional or Local Office:

See Regional Agency Offices. Northeast: Harold C. Mears, Director, State, Federal and Constituent Programs Office, National Marine Fisheries Service, Northeast Regional Office, One Blackburn Dr., Gloucester MA 01930. Telephone: (978) 281-9243. Fax: (978) 281-9117. Email: Grants.Information@noaa.gov. Southeast: Cynthia Binkley, Federal Program Officer, State/Federal Liaison Branch, 263 13th Ave. South, St. Petersburg, FL 33701. Telephone: (727) 824-5324. Fax: (727) 824-5364. Email: cynthia.binkley@noaa.gov.

Headquarters Office:

n/a Director, Office of Sustainable Fisheries, National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, Maryland 20910 Phone: (301) 713-2334 Fax: (301) 713-0596

Website Address:

<http://www.nmfs.noaa.gov/>

RELATED PROGRAMS:

11.407 Interjurisdictional Fisheries Act of 1986

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are solicited from eligible coastal States and other eligible applicants by notification from the National Marine Fisheries Service, Northeast and Southeast Regional Offices. Proposals must undergo rigorous technical review and comply with all OMB, DOC, and NOAA grants policies and procedures.

11.478 CENTER FOR SPONSORED COASTAL OCEAN RESEARCH COASTAL OCEAN PROGRAM

(CSCOR/COP)

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Navigation and Navigable Waters, 33 U.S.C 1442; Commerce and Trade, 15 U.S.C 1540; Harmful Algal Bloom and Hypoxia Research and Control Act of 1998, Public Law 105-383; Navigation and Navigable Waters, 33 U.S.C 883d; Coastal Zone Management Act of 1972, as amended, 16 U.S.C 1456c; Coastal Ocean Program, Section 201c, Public Law 102-567; Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies (RESTORE) of the Gulf Coast States Act of 2012 RESTORE Act; Section 16, Public Law 112-141.

OBJECTIVES:

NOAA's Coastal Ocean Program in the Center for Sponsored Coastal Ocean Research (CSCOR/COP) is a unique Federal- academic partnership designed to provide predictive capability for managing coastal ecosystems. CSCOR/COP seeks to deliver the highest-quality science in a timely manner for important coastal policy decisions by supporting high-priority research and interagency initiatives related to NOAA's mission in three goal areas: harmful algal blooms, hypoxia and regional ecosystem research. CSCOR/COP supports research on critical issues which exist in the Nation's estuaries, coastal waters and the Great Lakes; and translates its findings into accessible information for coastal managers, planners, lawmakers and the public. For purposes of the RESTORE Act program; to increase understanding of the Gulf of Mexico ecosystem and support its long-term sustainability, including its fish stocks, habitats, wildlife, and fishing industries.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grants may be used to fund research and interagency initiatives under specific CSCOR/ COP programs related to NOAA's mission in harmful algal blooms,

hypoxia and regional ecosystem research and to increase understanding of the Gulf of Mexico ecosystem and support its long-term sustainability, including its fish stocks, habitats, wildlife, and fishing industries.

Applicant Eligibility:

Institutions of higher education, not-for-profit institutions, State, local and Indian tribal governments, commercial organizations and Federal agencies. Foreign researchers may apply as subawards through an eligible US entity. All applicants will be treated equally in the competitive process.

Beneficiary Eligibility:

Organizations and individuals utilizing science in effectively managing the Nations coastal resources.

Credentials/Documentation:

Proposals with statement of work, estimated budget, and curriculum vitae. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Send an application for financial assistance (Standard Form 424) to CSCOR/COP with statement of work to be performed and proposed amount in response to a current funding announcement only. This program is subject to the requirements of CFR Part 14, "Uniform Administrative Requirements for Grants and Agreements with Institutions of High Education, Hospitals, Other Nonprofit and Commercial Organization, which replaces OMB Circular No. A-110 as the administrative rules applicable to these awards. In addition, this program is subject to the requirements of 15 CFR Part 24, "Grants and Cooperative Agreements with State and Local Governments", which replaces OMB A-102 as the administrative rule applicable to these awards. Proposals are submitted through Grants.Gov. Applicants will need to enter the funding opportunity number and/or the CFDA number to access the application package and instructions. Application package will then be submitted directly to the Center for Sponsored Coastal Ocean Research/Coastal Ocean Program. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Awards are made on the basis of competitive peer review of proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 210 days from the time of proposal receipt.

Appeals:

Not Applicable.

Renewals:

By negotiation with the CSOR/COP Office. Multi- year awards of two to five years may be approved. Once awarded, multi- year projects will not compete for funding in subsequent years. Funding for multi-year projects beyond the first year is contingent upon the availability of program funds in subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

From one to five years, with funding approved annually. See the following for information on how assistance is awarded/released: Funds are released or

reimbursed as agreed upon in the Standard Terms and Conditions required for each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Implementation plans are required annually. Progress reports are due annually. Financial status reports are required semi-annually. Final reports are due ninety days after expiration of award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients and subrecipients that are State and local governments, institutions of higher education or other nonprofit organizations shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (3 U.S.C. 7501-7507) and revised OMB Circular No. A-133. Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Records will be retained in accordance with provisions of OMB Circular No. A-110 for institutions of higher education and other nonprofit organizations and with 15 CFR Part 24 for State and local governments.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$7,728,299; FY 17 est \$9,000,000; and FY 18 est \$9,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: CSCOR continued to support its harmful algal bloom (HAB) and hypoxia research with monitoring and modeling capabilities to provide managers with seasonal HAB and hypoxia forecasts in numerous regions in the US including the Gulf of Mexico, Chesapeake Bay, Lake Erie, Gulf of Maine, and coastal Washington, Oregon, and California. New studies are supporting the ecological effects of sea level rise on the Outer Banks of North Carolina, San Francisco Bay, and unique Hawaiian Island inland ponds connected to the ocean. New support is provided for the combined effects of ocean acidification and hypoxia on living marine resources around the U.S. Socioeconomic studies are focusing on valuing ecosystem services. Fiscal Year 2017: CSCOR initiated new research projects in its harmful algal bloom research programs, as well as continue existing projects in its ecosystem stressors and regional research programs in coastal regions such as the Great Lakes, Mid-Atlantic, Gulf of Maine and Gulf of Mexico. Fiscal Year 2018: Pending appropriations, CSCOR expects to initiate new research project in its Regional Ecosystem Prediction Program, Hypoxia and Ocean Acidification programs, as well as continuing existing projects in its ecosystem stressors and regional research programs in coastal regions such as the Great Lakes, Mid-Atlantic, Gulf of Maine and Gulf of Mexico.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Rob Magnien Rob Magnien, Director, NOAA/NOS/CSCOR/COP, 1305 East-West Highway, SSMC4, Station 8307, Silver Spring, Maryland 20910 Phone: 301-713-3338 Fax: 301-713-4044

Website Address:

<http://coastalscience.noaa.gov/about/centers/cscor>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: CSCOR continued to take the lead in providing predictive tools to support ecosystem management in coastal regions such as the Great Lakes, Mid-Atlantic, Gulf of Maine, and the Gulf of Mexico, as well as obtain new knowledge on the prevention, control and mitigation of harmful algal blooms. Fiscal Year 2017: CSCOR plans to continue to support its harmful algal bloom (HAB) and hypoxia research by integrating ocean observing system data with modeling capabilities in order to provide research managers with seasonal HAB and hypoxia forecasts in numerous regions in the US including the Gulf of Mexico, the Gulf of Maine, Chesapeake Bay, Puget Sound and coastal California as well as its regional ecosystem research to identify threats to the health of ecosystems in the Great Lakes, Mid-Atlantic, the Gulf of Mexico, and shallow and deep water coral environments in the Pacific and Caribbean. New sea level rise research will focus on San Francisco Bay, Hawaii and coastal North Carolina. Fiscal Year 2018: CSCOR expects to initiated new research projects in its harmful algal bloom and hypoxia research programs, as well as continue existing projects in its ecosystem stressors and regional research programs in coastal regions such as the Great Lakes, Mid-Atlantic, Gulf of Maine and Gulf of Mexico.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated by competitive peer review taking into consideration primarily scientific merit and applicability to COP's research goals. Principal investigators' qualifications and cost are also considered, with cost subject to negotiation.

11.482 CORAL REEF CONSERVATION PROGRAM

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Coral Reef Conservation Act of 2000, 16 U.S.C 6401-6403.

OBJECTIVES:

To provide matching grants of financial assistance in support of coral reef conservation programs and projects that preserve, sustain, and restore U.S. and international coral reef ecosystems.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Awards made under this program may be used to support programmatic and project expenses related to coral reef conservation activities conducted by U.S. States and Territories, Regional Fishery Management Councils with jurisdiction over coral reefs, and International partners in three key regions, and project costs associated with research studies and conservation activities undertaken by other eligible non-governmental partner organizations in the U.S. The Coral Reef Conservation Act (16 USC6403) stipulates that at least 40% of funding must go to recipients in the Pacific and at least 40% must go to recipients in the Atlantic/Caribbean. In addition, 6403(g) requires that only projects that are consistent with the National Coral Reef Action Strategy and meet the ten specific criteria listed in that section may be funded through this program. The Coral Reef Conservation Act (16 USC6403) requires that the Coral Reef Conservation Program award at least \$8M annually through competitive grant programs and further stipulates that at least 40% of funding must go to recipients in the Pacific and at least 40% must go to recipients in the Atlantic/Caribbean, with the residual going towards international awards and other program funding priorities.

Applicant Eligibility:

Institutions of higher education, non-profit organizations, commercial organizations, local and Indian tribal government agencies, foreign governments, relevant Regional Fishery Management Councils (i.e., Caribbean Fishery Management Council, the Gulf of Mexico Fishery Management Council, the South Atlantic Fishery Management Council, and the Western Pacific Regional Fishery Management Council), and State, Territorial and Commonwealth resource management agencies that were appointed by their

respective Governors to serve as the primary point of contact agencies for coral reef conservation activities in each of the jurisdictions of American Samoa, Florida, the Commonwealth of the Northern Mariana Islands, Guam, Hawaii, Puerto Rico, and the U.S. Virgin Islands.

Eligible international applicants are institutions of higher education, non-profit organizations, non-U.S. government natural resource management agencies, foreign public entities and foreign organizations, and for-profit organizations.

Beneficiary Eligibility:

Organizations and individuals with professional expertise in managing and conserving coral reef ecosystems in the U.S. and internationally.

Credentials/Documentation:

Proposals with statements of work and estimated budgets. Costs will be determined in accordance with 2 CFR Part 200: Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals should be submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity and/or CFDA number to access the application package and instructions. Application packages will then be forwarded directly to the applicable federal program. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Awards are made on the basis of proposals evaluations, technical reviews and administrative reviews, if applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Funding recommendations from the NOAA program office to the NOAA Grants Management Division are generally made:
3 to 5 months after competitive applications are received
Within a month after formula and non-competitive applications are received

Processing of awards by the NOAA Grants Management Division generally takes place within two months after recommendations are received from the NOAA program office. Successful applicants typically receive federal financial assistance awards offers 2 to 4 months after the NOAA program office submits award recommendations to the NOAA Grants Management Division.

Appeals:

Not Applicable.

Renewals:

Renewal of multi-year awards to increase funding or extend the period of performance is at the total discretion of the Department.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Per the Coral Reef Conservation Act of 2000 (16 USC 6401 et seq; specifically 6403) Federal funds may not exceed 50% of the total cost of a project unless a waiver to this requirement is obtained by the

applicant. Provision of a waiver is at the discretion of the CRCP Program Manager, who was delegated authority for this purpose by the NOAA Administrator. Matching funds contributed by the applicant may be comprised of cash, in-kind contributions and/or other non-cash support. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is typically available to formula recipients for 12 to 18 months with a possible extension not to exceed a project period of 3 total years.

Assistance is typically available to competitive recipients for 1 to 5 years.

The maximum time that may be granted for recipients to expend and drawdown funds is 5 years.

Extension requests will be considered by the federal program office only if the recipient has submitted all required performance reports. At least sixty days prior to the end of the award, recipients must discuss with their federal program officer expected reprogramming and extension requests. After preliminary negotiation on proposed projects and extensions, formal written reprogramming and extension requests must be submitted to the NOAA at least 30 days prior to end date. Recipients should make every effort to submit one extension request for all projects needing an extension (when possible, multiple extension requests should be avoided). With sufficient justification, the federal program office will recommend approval of an extension up to 1 year for the first extension request. First time or cumulative extension requests for more than six months but less than 12 months, will need more compelling justification as to why the project was not completed on time or could not be completed within the first six-month extension. Although the federal program office discourages extensions of more than 12 months, the federal program office will recommend approval in cases where the funds are already obligated. Renewal of an award to increase funding or extend the period of performance is at the total discretion of the Department. See the following for information on how assistance is awarded/released: Funds are released as agreed to in the Standard Terms and Conditions document required for each grant; and special award conditions as applicable.

Reports:

No program reports are required. No cash reports are required. Progress reports are required on a semi-annual basis. Additional detail on expenditures may be required under certain circumstances. Performance is monitored through review of performance progress reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements, "nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records will be retained in accordance with 2 CFR Part 200 for all non-Federal entities.

Account Identification:

13-1450-0-1-306.

Obligations:

(Salaries) FY 16 \$8,097,097; FY 17 est \$7,264,862; and FY 18 est \$7,264,862

Range and Average of Financial Assistance:

Range is approximately \$35,000 to \$850,000; average is \$259,000.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Through CFDA 11.482, the Coral Reef Conservation Program (CRCP) continued to fund projects proposed by State and Territorial Governments, Non-Governmental Organizations including community groups, and academic Institutions. Funded projects focused on addressing three primary threats to coral reef ecosystems: land-based pollution, fishing impacts, and climate change. Fiscal Year 2017: Through CFDA 11.482, the Coral Reef Conservation Program (CRCP) intends to continue to fund projects proposed by State and Territorial Governments, Non-Governmental Organizations including community groups, and academic Institutions. Funded projects are expected to focus on addressing three primary threats to coral reef ecosystems: land-based pollution, fishing impacts, and climate change. Fiscal Year 2018: The Coral Reef Conservation Program expects to continue funding coral reef conservation projects proposed by a variety of recipients, with a focus on addressing three primary threats to coral reef ecosystems: land-based pollution, fishing impacts and climate change. Funding may also be requested to respond to new or emerging management issues related to coral reef conservation.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable costs and financial assistance management will be determined in accordance with 2 CFR 200 for non-Federal entities. Further guidelines are outlined in the DOC Grants and Cooperative Agreement Policy Manual. The CRCP Grant Program Implementation Guidelines published in 2010 are also applicable to these awards.

Regional or Local Office:

None. Jennifer Koss, Program Manager, NOAA Coral Reef Conservation Program, 1305 East-West Hwy, SSMC4-N/ORM1, Silver Spring, MD 20910. (240) 533-0777.

Headquarters Office:

Dr Russell Callendar 1305 East-West Hwy, SSMC4-N/ORM1, Silver Spring, Maryland 20910 Email: Russell.Callendar@noaa.gov Phone: 240-533-0908

Website Address:

<http://coralreef.noaa.gov>

RELATED PROGRAMS:

11.419 Coastal Zone Management Administration Awards; 11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.441 Regional Fishery Management Councils; 11.463 Habitat Conservation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: Funded projects will support continued implementation of the Coral Reef Conservation Programs Goals and Objectives and International Strategy outlined at: <http://coralreef.noaa.gov/aboutcrp/strategy/currentgoals> and address established local coral reef management priorities identified in collaboration with state and territorial partners. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and externals reviews, if applicable. Recommendations for funding to the selecting official take into consideration factors such as scientific/technical merit, applicability to the goals of NOAA CRCP and jurisdictional partners, direct benefit to coral reef resources and ecosystems, past performance of the recipient, cost-effectiveness, adequacy of the project implementation plan, and availability of matching funds, as described in annual Federal Funding Opportunity notices for each competition.

11.483 NOAA PROGRAMS FOR DISASTER RELIEF APPROPRIATIONS ACT - NON-CONSTRUCTION AND CONSTRUCTION

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Disaster Relief Appropriations Act of 2013, Public Law 113-2.

OBJECTIVES:

1. Protect, Restore, and Manage the Use of Coastal and Ocean Resources through an Ecosystem Approach to Management;
2. Understand Climate Variability and Change to Enhance Society's Ability to

Plan and Respond;

3. Serve Society's Needs for Weather and Water Information.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Mapping, charting, geodesy services marine debris surveys for coastal States impacted by Hurricane Sandy;
Repair and replace ocean observing and coastal monitoring assets damaged by Hurricane Sandy;
Provide technical assistance to support State assessments of coastal impacts of Hurricane Sandy;
Improve weather forecasting and hurricane intensity forecasting capabilities, to include data assimilation from ocean observing platforms and satellites;
Laboratories and cooperative institutes research activities associated with sustained observations weather research programs, and ocean and coastal research; and
Necessary expenses related to fishery disasters during calendar year 2012 that were declared by the Secretary of Commerce as a direct result of impacts from Hurricane Sandy. Funds must be expended within 24 months of award date unless an OMB waiver is approved. Funds are not directed to specific recipients.

Applicant Eligibility:

Eligible applicants include public or private profit or not-for-profit organizations, institutions of higher education, state, local and Indian tribal governments.

Uses of assistance include: Mapping, charting, geodesy services marine debris surveys for coastal States impacted by Hurricane Sandy;
Repair and replace ocean observing and coastal monitoring assets damaged by Hurricane Sandy;
Provide technical assistance to support State assessments of coastal impacts of Hurricane Sandy;
Improve weather forecasting and hurricane intensity forecasting capabilities, to include data assimilation from ocean observing platforms and satellites;
Laboratories and cooperative institutes research activities associated with sustained observations weather research programs, and ocean and coastal research;
Necessary expenses related to fishery disasters during calendar year 2012 that were declared by the Secretary of Commerce as a direct result of impacts from Hurricane Sandy.

Beneficiary Eligibility:

Entities impacted by Hurricane Sandy in 2012; entities benefitting from other activities funded through the Act.

Credentials/Documentation:

Applicants for funding will be required to submit documentation responding to requirements developed and published by the Department of Commerce. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

Award Procedure:

See Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

24 months. See the following for information on how assistance is awarded/released: See Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

Reports:

Yes, quarterly. See Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published. Yes, see Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published. Yes, see Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published. Yes, see Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published. Yes, see Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published. Yes, see Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

See Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

Account Identification:

13-1460-0-1-306; 13-1450-0-1-306.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$0; FY 17 est \$0; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1460; 13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Infrastructure repairs for all high-frequency radars in the Mid-Atlantic region were completed. 6 of 17 radars were completely restored, with calibration on 3 completed. Equipment for repairing damaged buoys in the Northeast was ordered. One buoy was ordered, and two data streams were moved to the backup cloud solu. Fiscal Year 2017: Not applicable. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Yes, see Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

Regional or Local Office:

None. National Ocean Service - Jane Piercy, N/MB3, 1305 East-West Highway, SSMC4, #13267, Silver Spring, MD 20910, Jane.Piercy@NOAA.Gov, Phone: 301-713-3050, Extension 161
National Marine Fisheries Service - Dan Namur, F/MB, 1315 East-West Highway, SSMC3, #14358, Silver Spring, MD 20910, dan.namur@noaa.gov, Phone: 301-427-8730

National Weather Service - Carla Kirby, W/CFO2, 1325 East-West Highway, SSMC2, #18390, Silver Spring, MD 20910, Carla.Kirby@noaa.gov, Phone: 301-713-0420, Extension 113
National Environmental Satellite, Data, and Information Service, Ingrid Guch, E/RA, 5830 University Research Court, #2612, College Park, MD 20740, Ingrid.Guch@noaa.gov, Phone: 301-683-3510
Office of Oceanic and Atmospheric Research - Brenda Alford, R/CFO5, 1315 East-West Highway, SSMC3, #11618, Silver Spring, MD 20910, Brenda.Alford@noaa.gov, Phone: 301-734-1174.

Headquarters Office:

Dan Namur Office of Management and Budget., National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, Maryland 20910 Email: dan.namur@noaa.gov Phone: (301) 713-1364 Fax: (301) 713-2258

Website Address:

<http://www.noaa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

11.549 STATE AND LOCAL IMPLEMENTATION GRANT PROGRAM (SLIGP)**FEDERAL AGENCY:**

National Telecommunications and Information Administration, Department of Commerce

AUTHORIZATION:

The Middle Class Tax Relief and Job Creation Act of 2012, Public Law 112-96.

OBJECTIVES:

To assist State, regional, tribal, and local jurisdictions to identify, plan, and implement the most efficient and effective way for such jurisdictions to utilize and integrate the infrastructure, equipment, and other architecture associated with the nationwide public safety broadband network to satisfy the wireless communications and data services needs of that jurisdiction, including with regards to coverage, siting, and other needs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Provides grants to states to assist them, in collaboration with regional, tribal, and local jurisdictions, with activities related to planning for the establishment of a national wide public safety broadband network. NTIA intends to issue a new funding opportunity at the end of FY 2017, SLIGP 2.0, to provide recipients with the necessary resources for planning activities during the post-State Plan period as Radio Access Network (RAN) buildout occurs. NTIA will leverage unspent funds from original SLIGP grants to make these awards. The grants will not be used for activities related to broadband deployment, construction, or the acquisition of equipment used to provision wireless broadband services, including for long-term evolution (LTE) related activities.

Applicant Eligibility:

1. Grants will be awarded to the 56 States and Territories.
2. The grants will be used to assist applicants with activities related to planning for the establishment of a nationwide public safety broadband network.
3. The State must designate a single officer or governmental body to serve as the coordinator of the grant funds.

Beneficiary Eligibility:

Indirect beneficiaries of the grants are law enforcement officers, fire fighters, emergency medical professionals and other public safety officials, as well as the general public, who will receive improved communications capabilities from the creation of the single, nationwide interoperable public safety broadband network that these grants will facilitate.

Credentials/Documentation:

OMB Circular No. A-87 is required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The designee must apply for grant funding through the Grants.gov online system when the application window opens.

Award Procedure:

Each application will be reviewed by NTIA for completeness and adherence to programmatic guidelines. If submissions do not meet the requirements, the application will be returned to the submitting State or Territory and funds will be withheld until the applicant meets all requirements. Upon approval of the application, the grants will be awarded to the State. Grantees can draw down and expend funds through the Automated Standard for Application for Payment (ASAP) system.

Deadlines:

Mar 19, 2013 This grant opportunity closed on March 19, 2013. NTIA anticipates a new grant announcement for SLIGP 2.0 will be issued at the end of FY 2017.

Range of Approval/Disapproval Time:

Award payments are generally available within 90 days of application approval.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 20%. The non-federal share of the cost is 20 percent for any activity carried out under the grant. The Assistant Secretary may waive, in whole or in part, the matching requirement for good cause shown upon determining that such a waiver is in the public interest.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant period of performance for SLIGP 1.0 is 4.5 years. The period of performance for SLIGP 2.0 will be 2 years. Payment and Reporting System payments are generally within 90 days. See the following for information on how assistance is awarded/released: Payments under the award will be made using the Department of Treasury's Automated Standard Application for Payment (ASAP) system.

Reports:

Program reports are not applicable. Cash reports are not applicable. A Performance Progress Report (PPR) is due quarterly to the NTIA Federal Program Officer. A Federal Financial Report (FFR also known as SF-425) is due to the NIST Grants Officer on the same quarterly basis. A Closeout Report is due 90 days after the grant end date along with the Final FFR.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. OMB Circular A-133 is applicable.

Records:

Grant recipient must retain records for three years after award closeout.

Account Identification:

13-0516-0-1-376.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$80,000; and FY 18 est \$15,500,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-12-22-0516.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Cumulative public safety stakeholders engaged by grantees = 204,075
Cumulative Education and Outreach materials distributed by grantees = 2,637,851. Fiscal Year 2017: Cumulative public safety stakeholders engaged by grantees = 285,000
Cumulative Education and Outreach materials distributed by grantees = 3,800,000. Fiscal Year 2018: Cumulative public safety stakeholders engaged by grantees = 300,000
Cumulative Education and Outreach materials distributed by grantees = 4,000,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Michael E. Dame Office of Public Safety Communications
U.S. Department of Commerce
National Telecommunications and Information Administration (NTIA)
1401 Constitution Avenue, NW
Room 4078, Washington, District of Columbia 20230 Email:
mdame@ntia.doc.gov Phone: 202-482-1181

Website Address:

<https://www.ntia.doc.gov/category/state-and-local-implementation-grant-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.550 PUBLIC TELECOMMUNICATIONS FACILITIES PLANNING AND CONSTRUCTION (PTFP)**FEDERAL AGENCY:**

National Telecommunications and Information Administration, Department of Commerce

AUTHORIZATION:

Communications Act of 1934, Title III, Part IV, 47 U.S.C 390-394, 397-399 (b) as amended, Public Law 87-447, 76 Stat. 64; Science, State, Justice, Commerce, and Related Agency Appropriations Act of 2006; Public Law 109-108.

OBJECTIVES:

To assist in the planning, acquisition, installation, and modernization of public telecommunications facilities, through planning grants and matching construction grants, in order to: (a) extend delivery of public telecommunications services to as many citizens of the United States and territories as possible by the most efficient and economical means, including the use of broadcast and nonbroadcast technologies; (b) increase public telecommunications services and facilities available to, operated by and owned by minorities and women; and (c) strengthen the capability of existing public television and radio stations to provide public telecommunications service to the public.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Provides grants for the planning and construction of public telecommunications facilities. Matching grants are given for apparatus necessary for the production, dissemination, interconnection, captioning, broadcast, or other distribution of noncommercial educational, cultural radio and television programs, as well as noncommercial instructional or informational services. Buildings and renovations of buildings, land, operational expenses, and indirect costs are ineligible.

Applicant Eligibility:

A public or noncommercial educational broadcast station; a noncommercial telecommunications entity; a system of public telecommunications entities; a nonprofit foundation, corporation, institution or association organized primarily for educational or cultural purposes; State, local, and Indian Tribal governments (or an agency thereof); or a political or special purpose subdivision of a State. Special consideration is given to applications which would increase minority and women's ownership of, operation of, and participation in public telecommunications entities.

Beneficiary Eligibility:

General public and students.

Credentials/Documentation:

All applicants must supply proof of eligibility. Applicants must supply proof of their Federal Communications Commission (FCC) license, permit, or application if FCC authorization is required for any aspect of the proposed project. Applicants must provide assurances required under Program Rules and Regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

NTIA is no longer accepting applications for the program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. NTIA is no longer accepting applications for the program.

Award Procedure:

Applications were reviewed on the basis of funding criteria for construction and planning applications as set forth in the regulations.

Deadlines:

Dec 02, 2009 NTIA is no longer accepting applications for the program.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: 47 U.S.C 392 (b) and (c); 15 CFR 2301.6. The Administrator may provide up to 100 percent of the funds necessary for the planning of a public telecommunications facility. The maximum amount of a federal grant for the construction of a public telecommunication facility is 75 percent of the eligible project costs.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects must be completed within a reasonable period of time, generally one to two years as specified in the award document. Prompt completion is expected. Extensions, however, may be requested in writing if circumstances require additional time. Extensions must be approved by the Grants Officer. See the following for information on how assistance is awarded/released: Financial assistance is generally released as required during the award period.

Reports:

Construction Schedules, Quarterly Performance Reports, and Annual Reports. No cash reports are required. During construction, quarterly progress reports must be submitted. No expenditure reports are required. The Federal Government maintains a ten-year reversionary interest in the use of the facilities from the date of completion. Annual reports must be submitted before April 1st of each year during such period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients of construction grants shall keep a complete and itemized inventory of equipment for all public telecommunications facilities under their control. All grantees shall keep complete, current and accessible financial records on all funds associated with the total project. All records of accounts, supporting documents and project records must be retained by the recipient and subrecipient for a period of three years from the date of submission of the final Financial Status Report.

Account Identification:

13-0551-0-1-503.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

\$5,122 to \$731,924. Average \$177,889.

TAFS Codes:

13-0551.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: To be determined. Fiscal Year 2017: To be determined. Fiscal Year 2018: To be determined.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Telecommunications Facilities Program and Policy Statement, Final Rules, 15 CFR 2301 printed in 61 FR 57966 (November 8, 1996). Costs will be determined in accordance with OMB Circular Nos. A-21 for institutions of higher education, A-87 for State, Local, and Indian Tribal Governments, and with A-122 for nonprofit organizations. Grants management will be in accordance with the provisions of OMB Circular No. A-110 for institutions of higher education and other nonprofit organizations and with 15 CFR Part 24 for State and Local Governments.

Regional or Local Office:

None.

Headquarters Office:

Brian Gibbons U.S. Department of Commerce
NTIA, BroadbandUSA
1401 Constitution Ave., NW, Room 4887, Washington, District of Columbia
20230 Email: BGibbons@ntia.doc.gov Phone: 202-482-6094

Website Address:

<http://www.ntia.doc.gov/ptfp>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available
Fiscal Year 2017: No Current Data Available
Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

In order to achieve the objectives of Section 393 of the Communications Act, the Administrator, in determining whether to approve a construction grant application in whole or in part and the amount of such grant, will consider the projects conformance with priorities, and funding criteria set forth in the Act

and the PTFP Rules and Regulations.

11.553 SPECIAL PROJECTS

SPECIAL PROJECTS

FEDERAL AGENCY:

National Telecommunications and Information Administration, Department of Commerce

AUTHORIZATION:

Varies, includes American Reinvestment and Recovery Act, Public Law 111-5, 123 Stat. 115.

OBJECTIVES:

To assist various organizations identified by Congress or through non-competitive grants that promote the availability and support new sources of advanced telecommunications.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance is provided to implement specific projects identified by Congress or through non-competitive grants for projects that promote the availability and support new sources of advanced telecommunications.

Applicant Eligibility:

Organizations specifically identified by Congress in agency appropriations legislation or other authority that provides for non-competitive grants.

Beneficiary Eligibility:

Beneficiaries are those served by the organizations receiving awards.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. None.

Award Procedure:

Submit all standard forms (SF-424 Series Forms), project proposal and budget following the format specified by the Department of Commerce.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

All award decisions are final. There is no administrative appeal process.

Renewals:

Renewals are contingent upon future Congressional funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies depending on project. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients must be maintained in accordance with the terms of the award. Generally, the recipient must retain records for 3 years from the date on which the final expenditure report is submitted to NIST.

Account Identification:

13-0550-0-1-376; 13-0550-0-2-376; 13-0550-0-1-376.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-0550.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Wayne A. Ritchie, U.S. Department of Commerce, 1401 Constitution Ave., NW, Room 4888, NTIA/OTIA, Washington, District of Columbia 20230
Email: writch@ntia.doc.gov Phone: (202) 482-5515

Website Address:

<https://www.ntia.doc.gov/home>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.601 CALIBRATION PROGRAM

FEDERAL AGENCY:

National Institute of Standards and Technology (NIST), Department of Commerce

AUTHORIZATION:

National Bureau of Standards Organic Act, Public Law 56-177, Title 15, Part 271, Section et seq, Public Law 56-177, 15 U.S.C 271.

OBJECTIVES:

To provide a consistent system of physical measurement in the United States of America.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Provides traceability to the National Standards for measurements that are used for assurance of interchangeability and uniformity of manufactured items, for process control, for informational and scientific purposes, and for fairness and objectivity in commerce and regulation. There are no use restrictions.

Applicant Eligibility:

Calibrations and tests are provided on a fee basis to state and local governments, academic institutions, scientific laboratories, industrial firms, corporations, and individuals.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Submission of purchase order to appropriate office for service of interest: Calibration Program, 100 Bureau Drive Stop 8363, National Institute of Standards and Technology, Department of Commerce, Gaithersburg, MD 20899-8363.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

N/A. See the following for information on how assistance is awarded/released:
N/A.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003 and June 26, 2007), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Not applicable.

Account Identification:

13-4650-0-4-376.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 16 \$7,481,000; FY 17 est \$7,377,000; and FY 18 est \$7,300,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-0500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Institute of Standards and Technology Special Publication 250

"Calibration and Related Measurement Services of the National Institute of Standards and Technology, and NIST 250 appendix, "Fee Schedule"" available on the web at <http://www.nist.gov/calibrations/>

Regional or Local Office:

None.

Headquarters Office:

Kim Carpentier 100 Bureau Drive
, Gaithersburg, Maryland 20899 Email: kimball.carpentier@nist.gov Phone: 3019752684

Website Address:

<http://www.nist.gov/calibrations/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.603 NATIONAL STANDARD REFERENCE DATA SYSTEM SRD**FEDERAL AGENCY:**

National Institute of Standards and Technology (NIST), Department of Commerce

AUTHORIZATION:

Purchase orders should be directed to the Standard Reference Data Program, National Institute of Standards and Technology, 100 Bureau Drive Stop 8550, Gaithersburg, MD 20899-8500, or <http://www.nist.gov/srd>.

OBJECTIVES:

To make evaluated scientific and technical data readily available to scientists, engineers, and the general public.

TYPES OF ASSISTANCE:

SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

NIST Standard Reference Data aid scientists and engineers by providing reliable evaluated information on the chemical, physical, and other properties of substances and systems. Evaluations are carried out by NIST experts. Data compilations published, and databases and online systems established by the program are available without restriction. A small number of databases are for sale at nist.gov/SRD or through distributors. There are no specific use restrictions.

Applicant Eligibility:

Federal agencies, universities, industrial laboratories, institutions, firms, corporations, other research establishments, and individuals may purchase databases. Data compilations published and databases established by the program are owned by the Federal government and are subject to copyright restrictions set forth in 15 USC 290.

Beneficiary Eligibility:

Federal agencies, universities, industrial laboratories, institutions, firms, corporations, other research establishments, and individuals may purchase databases. Data compilations published and databases established by the program are owned by the Federal government and are subject to copyright restrictions set forth in 15 USC 290.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform

Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Purchase orders should be directed to the Standard Reference Data Program, National Institute of Standards and Technology, 100 Bureau Drive Stop 8550, Gaithersburg, MD 20899-8500, or <http://www.nist.gov/srd>, or (844)-347-0183.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

No renewals. Not applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: available for public consumption.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Not applicable.

Account Identification:

13-0500-0-1-376; 13-4650-0-4-376.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 16 \$9,543,700; FY 17 est \$9,469,000; and FY 18 est \$9,500,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-0500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 95437000. Fiscal Year 2017: 9469000. Fiscal Year 2018: 9500000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Catalog available on Internet at <http://www.nist.gov/srd>.

Regional or Local Office:

See Regional Agency Offices. Department of Commerce field offices listed in Appendix IV of the Catalog.

Headquarters Office:

Kim Carpentier 100 Bureau Drive , Gaithersburg, Maryland 20899 Email: kimball.carpentier@nist.gov Phone: 3019752684 Fax: 301-948-5603

Website Address:

<http://www.nist.gov/srd>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.604 STANDARD REFERENCE MATERIALS

Standard Reference Materials (SRM)

FEDERAL AGENCY:

National Institute of Standards and Technology (NIST), Department of Commerce

AUTHORIZATION:

Executive Order National Bureau of Standards Organic Act, Public Law 56-177, Title 15, Part USC, Section 272, Public Law 56-177, 15 Stat. 272.

OBJECTIVES:

Standard Reference Materials are certified and issued by NIST to develop accurate methods of analysis; to calibrate measurement systems used to: (1) facilitate the exchange of goods, (2) institute quality control, (3) determine material performance characteristics, (4) measure materials at state-of-the-art limits, and (5) assure the long-term adequacy and integrity of measurement quality assurance programs.

TYPES OF ASSISTANCE:

SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

Standard Reference Materials are used as primary reference measurement standards in such areas as clinical laboratories testing, air and water pollution monitoring, low-level radioactive pollution monitoring, and production control of basic materials, such as steel, rubber, cement, and plastics. Standard Reference Materials are intended for measurement quality control, regulatory compliance, product development, and scientific research.

Applicant Eligibility:

Federal agencies, State and local governments, societies, institutions, firms, corporations, and individuals may purchase the materials.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Purchase orders should be directed to the Standard Reference Materials Program, National Institute of Standards and Technology, 100 Bureau Drive, Stop 2322, Gaithersburg, MD 20899-2322.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

13-4650-0-4-376; 13-0500-0-0-376.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 16 \$18,649,000; FY 17 est \$21,449,000; and FY 18 est \$21,500,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-0500-000; 13-4650-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 18649000. Fiscal Year 2017: 21449000. Fiscal Year 2018: 21500000.

REGULATIONS, GUIDELINES, AND LITERATURE:

General information is available at no cost from the Standard Reference Materials Program, National Institute of Standards and Technology, 100 Bureau Drive Stop 2300, Gaithersburg, MD 20899-2300. E-mail: srinfo@nist.gov. Catalogs and price lists may be viewed electronically by accessing URL <http://www.nist.gov/srm>

Regional or Local Office:

See Regional Agency Offices. Department of Commerce field offices listed in Appendix IV of the Catalog.

Headquarters Office:

Kim Carpentier 100 Bureau Drive
, Gaithersburg, Maryland 20899 Email: kimball.carpentier@nist.gov Phone: 3019752684 Fax: 3019485603

Website Address:

<http://www.nist.gov/srm>.

RELATED PROGRAMS:

11.601 Calibration Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.606 WEIGHTS AND MEASURES SERVICE**FEDERAL AGENCY:**

National Institute of Standards and Technology (NIST), Department of Commerce

AUTHORIZATION:

National Bureau of Standards Organic Act, Public Law 56-177, as amended, 15 U.S.C. 271 et seq.; 15 U.S.C. 201 et seq.

OBJECTIVES:

To provide leadership and technical resources to assure the accuracy of the quantities and quantity representations in all commercial transactions in the United States, to promote a uniform national weights and measures system, to promote harmonization of national and international legal metrology standards, and to facilitate the transition to the metric system.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; PROVISION OF SPECIALIZED SERVICES; TRAINING

USES AND USE RESTRICTIONS:

This program provides education, technical advice, and assistance to States,

State and industry metrologists, manufacturers of weights and measures devices, and other device users; manages U.S. representation and participation in the International Organization of Legal Metrology (OIML) and in regional legal metrology organizations to harmonize legal metrology standards; provides leadership and assistance on metric use and conversion to businesses, state and local governments, standards organizations, trade associations, and the education community; coordinates the metric transition activities of all Federal agencies; develops model laws and regulations; upgrades State laboratories; conducts technical studies; and provides technical support to the National Conference on Weights and Measures. It includes publications, related activities, special studies, technical advice, technology transfer through audit of State laboratories, technical training, and development of training material. There are no restrictions on the use of this material.

Applicant Eligibility:

States, political subdivisions of States, private industry, and the general public.

Beneficiary Eligibility:

States, political subdivisions of States, private industry, and the general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Letter requesting services should be sent to Chief, Office of Weights and Measures, National Institute of Standards and Technology, 100 Bureau Drive, Stop 2600, Gaithersburg, MD 20899-2600.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

N/A. See the following for information on how assistance is awarded/released: N/A.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

13-4650-0-4-376; 13-0500-0-1-376.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 16 \$4,925,000; FY 17 est \$4,943,400; and FY 18 est \$4,943,400

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

13-0500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 4925000. Fiscal Year 2017: 4943400. Fiscal Year 2018: 4943400.

REGULATIONS, GUIDELINES, AND LITERATURE:

Model laws, regulations, codes, and test procedures relating to weights and measures enforcement, packaging and labeling, method of sale of commodities, unit pricing, open dating, field inspections, and registration of service persons, and service agencies, engine fuel quality, and weighmasters. Manuals for coordinating the system of State laboratories, testing specific measuring devices, and training State officials. Design and performance codes for scales, liquid and vapor meters, distance and time measuring devices, and other measuring equipment. Most publications available on the NIST website at: <http://www.nist.gov/pml/wmd/pubs/index.cfm>.

Regional or Local Office:

None.

Headquarters Office:

Kim Carpentier 100 Bureau Drive
, Gaithersburg, Maryland 20899 Email: kimball.carpentier@nist.gov Phone: 3019752684

Website Address:

<http://www.nist.gov/pml/wmd/index.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.609 MEASUREMENT AND ENGINEERING RESEARCH AND STANDARDS**FEDERAL AGENCY:**

National Institute of Standards and Technology (NIST), Department of Commerce

AUTHORIZATION:

National Institute of Standards and Technology (NIST) Act, 15 U.S.C 271 - 282a; Trade Act, 19 U.S.C 2343 - 45.

OBJECTIVES:

To provide scientific research and technology transfer for measurement and engineering research and standards.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grant money will be used to further scientific research and technology transfer, in areas of fire research, building research, precision measurement, automation manufacturing, materials science, chemistry, physics, biotechnology, electronics, optical technologies, mathematics, neutron research, nanoscale science and technology, manufacturing engineering, communications and information science and technology and other areas of specific research. Focus areas of high interest include: energy, environment and climate change, information technology/cybersecurity, biosciences/healthcare, manufacturing, and physical infrastructure.

Applicant Eligibility:

Institutions of higher education (e.g. universities and colleges), professional institutes and associations, nonprofit organizations, State and local governments, laboratories, and commercial organizations.

Beneficiary Eligibility:

Universities, colleges, professional institutes and associations, nonprofit organizations, State and local governments, and commercial organizations.

Credentials/Documentation:

Applicants must have and demonstrate scientific competence in areas of research for which grants are requested. Costs will be determined in accordance with applicable cost principles found at 2 CFR Part 220, Cost Principles for Education Institutions (OMB Circular A-21), 2 CFR Part 225, Cost Principles for State, Local and Indian Tribal Governments (OMB Circular A-87), 2 CFR Part 230, Cost Principles for Non-profit Organizations (OMB Circular A-122), 48 CFR 31 for Commercial Organizations, 45 CFR 74, Appendix E for Hospitals. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should reply to notices published as Federal funding opportunities at www.grants.gov.

Award Procedure:

Award process based upon published selection criteria.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Regular programs approval times are from 6 to 9 months.

Appeals:

Not Applicable.

Renewals:

Future or continued funding will be at the discretion of NIST, based on such factors as satisfactory performance and the availability of funds. Renewals are competed whereas continuations of multi-year agreements are not subject to further competition.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Usually one to five years in length. See the following for information on how assistance is awarded/released: Automated Standard Application for Payment System (ASAP).

Reports:

Semi-annual and final program and financial status reports are required; unless specified in award document. Semi-annual and final program and financial status reports are required; unless specified in award document. Semi-annual and final program and financial status reports are required; unless specified in award document. Semi-annual and final program and financial status reports are required; unless specified in award document. Semi-annual and final program and financial status reports are required; unless specified in award document.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records of accounts and supporting documents will be retained by recipient and subcontractors for a period of three years from the date of submission of final expenditure report.

Account Identification:

13-4650-0-4-376; 13-0515-0-1-376; 13-0525-0-1-376; 13-0500-0-1-376.

Obligations:

(Cooperative Agreements) FY 16 \$103,608,000; FY 17 est \$103,608,000; and

FY 18 est \$81,919,000. (Project Grants) FY 16 \$103,608,000; FY 17 est \$103,608,000; and FY 18 est \$81,919,000 - Assistance Type includes PROJECT GRANTS/Project Grants.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-0500; 13-4650; 13-0525; 13-0515.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 103608000. Fiscal Year 2017: 103608000. Fiscal Year 2018: 81919000.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 15 CFR 24 and 15 CFR 14.

Regional or Local Office:

None.

Headquarters Office:

Leon Sampson 100 Bureau Drive, Mail Stop 1650
, Gaithersburg, Maryland 20899 Email: leon.sampson@nist.gov Phone:
301-975-3086

Website Address:

<http://www.nist.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria vary with competition. Specific criteria listed in the Federal Funding Opportunities posted at www.grants.gov.

11.610 STANDARDS INFORMATION CENTER

(SIC)

FEDERAL AGENCY:

National Institute of Standards and Technology (NIST), Department of Commerce

AUTHORIZATION:

Public Law 96-39, Trade Agreements Act of 1979.

OBJECTIVES:

To serve as a standards and conformity assessment information center and referral service. To respond to inquiries, develop and publish lists, and guidance on standards and related information, and to provide regulatory and certification information to U.S. exporters and manufacturers. To serve as the inquiry point for requests for information regarding standards-related activities, whether adopted or proposed, within the United States.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Responds to the needs of government, industry, U.S. exporters, manufacturers, and the general public for information on domestic and foreign standards, regulations, certification procedures, and standards related activities. Information on standards, collections, copyrights and lending restrictions are provided on site. The Standards Information Center does not analyze, evaluate, or interpret standards.

Applicant Eligibility:

State and local government, private, public, profit organizations, nonprofit institutions and individuals.

Beneficiary Eligibility:

State and local government, private, public, profit organizations, nonprofit institutions and individuals will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Inquiry may be made by telephone, letter, fax, or email to the Standards Information Center, 100 Bureau Drive, Stop 2100, Gaithersburg, MD 20899-2100. Email: Standardsinfo@nist.gov

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depends on the nature of the request. Response time for 90 percent of the inquiries ranges from immediate to several weeks. Trade related inquiries that depend on information from abroad may take longer for response. See the following for information on how assistance is awarded/released: N/A.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

13-4650-0-4-376; 13-0500-0-1-376.

Obligations:

(Formula Grants) FY 16 \$250,000; FY 17 est \$250,000; and FY 18 est \$250,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-57-0500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. N/A.

Headquarters Office:

Kim Carpentier 100 Bureau Drive, Gaithersburg, Maryland 20899
, Gaithersburg, Maryland 20899 Email: kimball.carpentier@nist.gov Phone:
3019752684

Website Address:

<https://www.nist.gov/standardsgov/what-we-do/trade-regulatory-programs/standards-information-center>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.611 MANUFACTURING EXTENSION PARTNERSHIP**FEDERAL AGENCY:**

National Institute of Standards and Technology (NIST), Department of Commerce

AUTHORIZATION:

National Institute of Standards and Technology (NIST) Act, 15 U.S.C. 271 et seq., as amended by the American Innovation and Competitiveness Act (AICA), Public Law 114-329, 15 U.S.C 278K; America Competes, Public Law 110-69.

OBJECTIVES:

Enhance competitiveness, productivity, and technological performance in United States manufacturing. Establish, maintain, and support Manufacturing Extension Centers and services, the functions of which are to improve the competitiveness of firms by accelerating the usage of appropriate manufacturing technology by smaller U.S. based manufacturing firms, and partner with the States in developing such technical assistance programs and services for their manufacturing base.

TYPES OF ASSISTANCE:

Cooperative Agreements; DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Federal funding provided under this program shall be used for the creation and support of manufacturing extension centers (MEP Centers), or used by the States to plan for and pilot test statewide extension services. It may also be used to plan for and pilot test services within a multistate region which has sufficient regional linkages to justify such services. Extension service providers shall be affiliated with a U.S. based nonprofit institution or organization, or a consortium thereof; institution of higher education; or a State, U.S. territory, local or tribal government. Funds may be used for the purpose of demonstrations, technology deployment, active transfer and dissemination of research findings and extension service expertise to a wide range of companies and enterprises, especially small manufacturers with fewer than 500 employees. Congressional funding has been provided annually since fiscal year 1988 (under Manufacturing Technology Centers and the State Technology Extension Program, which were combined into the Hollings Manufacturing Extension Partnership in fiscal year 1993).

Applicant Eligibility:

For MEP Center projects under 15 U.S.C. 278k, eligible applicants shall be U.S. based nonprofit institutions or organizations or a consortium thereof; institutions of higher education; or a State, U.S. territory, local or tribal government, or groups thereof. Applicants must contribute at least 50 percent of the proposed service's capital, annual operating and maintenance costs. For competitive awards under 15 U.S.C. 278k-1, eligible applicants are existing MEP Centers. For extension service planning and pilot services agreements under 15 U.S.C. 278l, eligible applicants shall be State governments or State-affiliated nonprofit organizations. For multistate regional planning and pilot services agreements, eligible applicants shall be State and local governments, representing either themselves or a consortium of States, or appropriate private or public nonprofit organizations, operating on behalf of a consortium of States or as a representative of States.

Beneficiary Eligibility:

Beneficiary shall be U.S.-based manufacturing firms, especially smaller companies.

Credentials/Documentation:

An applicant for Manufacturing Extension Center must submit a proposal in accordance with the Notice of Funding Opportunity (NOFO), which requires that applicants provide assurance that they will contribute 50 percent or more of

the proposed Center's capital, annual operating and maintenance costs.

Proposals also must contain sufficient information regarding management, technical, and technology strengths sufficient for a formal merit review of the proposal in accordance with the requirements set forth in the applicable NOFO. Applicants must have and demonstrate competence in areas for which financial assistance is requested. Costs will be determined in accordance with applicable cost principles found in 2 CFR 200 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The extension service planning and pilot testing portion of this program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs". An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For Manufacturing Extension Centers there is no formal preapplication process. Environmental impact information is not required for the MEP Center program. The MEP Center program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Applicants must submit proposals in accordance with deadlines and other details as published in formal program announcements including Standard Form 424 and Commerce Department Form 511. Applicants for Manufacturing Extension Centers must follow the format set out in the applicable Notice of Funding Opportunity.

Award Procedure:

All timely submitted and qualified proposals are reviewed by a NIST selection panel. For proposals for Manufacturing Extension Centers, the finalists' proposals are selected on the basis of published evaluation criteria, and may undergo a site visit. For proposals for competitive awards, proposals are selected on the basis of published evaluation criteria, and may undergo a site visit. For proposals for extension services planning and pilot testing, proposals are selected on the basis of published evaluation criterion.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Consult the applicable Notice of Funding Opportunity or contact the MEP headquarters for application deadlines.

Appeals:

Not Applicable.

Renewals:

For MEP Centers, future or continued funding will be based on availability of funds, on satisfactory performance, and are subject to re-competition every ten (10) years. Other awards are not eligible for renewal.

Formula and Matching Requirements:

Statutory Formula: Chapter 15, Part 278. A State may be required to provide adequate assurances that it will increase its spending on technology extension by an amount at least equal to the amount of Federal assistance requested. For manufacturing extension centers, the program has no statutory formula.

Matching Requirements: For MEP Center projects under 15 U.S.C. 278k, applicants must contribute at least 50 percent of the proposed service's capital, annual operating and maintenance costs. For competitive awards under 15 U.S.C. 278k-1, there is not a matching share requirement. For extension service planning and pilot services agreements under 15 U.S.C. 278l, there is not a matching requirement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

For extension service planning and pilot testing agreements, one year. For

Manufacturing Extension Centers, duration of support is up to 10 years, based upon continued positive evaluations in accordance with 15 U.S.C. 278k(g). For competitive awards, up to three years. See the following for information on how assistance is awarded/released: On a reimbursable basis as expenses are incurred by the center.

Reports:

Quarterly progress and semiannual financial reports are required. Quarterly progress and financial reports are required. Each request for reimbursement must be accompanied by a report of applicant's cost-share contribution. Quarterly progress and financial reports are required. Each request for reimbursement must be accompanied by a report of applicant's cost-share contribution. Quarterly progress and financial reports are required. Each request for reimbursement must be accompanied by a report of applicant's cost-share contribution. Each awardee receives an annual performance review prior to renewal of federal funding. In addition, 15 USCS 278k requires each awardee to be reviewed by an external panel during years three and six and every two years thereafter.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of awardees or subawardees are required to be maintained by the terms of the agreement. The awardees must retain records for 3 years after completion of the project or submission of the final financial report, whichever is later, and be readily available for inspection and audit.

Account Identification:

13-0525-0-1-376.

Obligations:

(Cooperative Agreements) FY 16 \$121,363,000; FY 17 est \$120,341,000; and FY 18 est \$0 - Dissemination of Technical Information.

Range and Average of Financial Assistance:

Individual awards for extension service planning and pilot testing and special project agreements generally range between \$25,000 and \$100,000. Awards for Manufacturing Extension Centers generally range between of \$500,000 to \$15,000,000 annually. Competitive awards generally range between \$500,000 and \$1,500,000 annually.

TAFS Codes:

13-0525.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 15 CFR 290-92. A Federal Register Notice announcing the request for proposals from qualified organizations will be published when funds are available. This program is subject to the provisions of 15 CFR 24 and 15 CFR 14.

Regional or Local Office:

None.

Headquarters Office:

Carroll Thomas 100 Bureau Drive Stop 4800, Gaithersburg, Maryland 20899-4800 Email: carroll.thomas@nist.gov Phone: 301-975-4676

Website Address:

<http://www.mep.nist.gov>

RELATED PROGRAMS:

11.303 Economic Development_Technical Assistance; 43.002 Technology Transfer; 59.037 Small Business Development Centers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected on the basis of merit. Selection criteria are published in the Federal Funding Opportunity, and for manufacturing extension centers, appear in 15 CFR 290.

11.612 ADVANCED TECHNOLOGY PROGRAM

(ATP)

FEDERAL AGENCY:

National Institute of Standards and Technology (NIST), Department of Commerce

AUTHORIZATION:

Appropriation Acts.

OBJECTIVES:

To work in partnership with industry to foster the development and broad dissemination of challenging, high-risk technologies that offer the potential for significant, broad-based economic benefits for the nation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Single for-profit company recipients can receive ATP funds for R&D activities for up to 3 years, with ATP funding not to exceed \$2 million. ATP funds may only be used to pay for direct costs for single company recipients. Single company recipients are responsible for funding all of their overhead/indirect costs. Small and medium sized companies applying as single company proposers are not required to provide cost-sharing of direct costs. Large companies applying as single company proposers, however, must cost-share at least 60 percent of the total project costs (direct plus indirect costs). A large company is defined as any business, including any parent company plus related subsidiaries, having annual revenues in excess of \$3.043 billion. (Note that this number will likely change for future competitions and, if so, will be noted in future annual announcements of availability of funds and ATP Proposal Preparation Kits.) Joint ventures can receive ATP funds for R&D activities for up to 5 years, with ATP funding a minority share of the total project costs. Joint ventures must cost-share (matching funds) more than 50 percent of the total project costs (direct plus indirect costs). Joint ventures must consist of at least two separately-owned for-profit companies, both of which are substantially involved in the R&D and both contributing towards the matching fund requirement. The joint venture may include additional for-profit companies, universities, governmental laboratories (excluding any NIST laboratory), independent research organizations, and/or nonprofit organizations, which may or may not contribute funds (other than Federal funds) to the project and perform R&D activities. The joint venture need not be a legally constituted entity but can consist of companies who simply agree to collaborate on the R&D and divide tasks. ATP funding may not be used to fund product development or be used to fund existing or planned research programs that would otherwise be conducted in the same period.

Applicant Eligibility:

U.S. businesses and U.S. joint research and development ventures. Foreign-owned businesses are eligible for funding, provided they meet the requirements of Public Law 102-245, Sec. 201(c)(6-7). Universities, government laboratories (excluding any NIST laboratory), independent research organizations, and/or nonprofit organizations, may participate as a member of a joint venture that includes at least two separately owned for-profit companies, both of which are substantially involved in the R&D and both contributing towards the matching-fund requirement.

Beneficiary Eligibility:

U.S. businesses and U.S. joint ventures; and foreign-owned businesses that meet the requirements of Public Law 102-245, Section 201(c)(6-7).

Credentials/Documentation:

Proposals with statements of work, business plans, and detailed budgets. Costs

will be determined in accordance with applicable Federal costs principles found in OMB Circular Nos. A-21 for educational institutions, A-122 for nonprofit organizations, 48 CFR 31 for commercial organizations, and 45 CFR 74, Appendix E for hospitals. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Proposals are submitted under a multi-stage and sequential review process to reduce the amount of information required at one time. Required information is submitted at different stages as determinations are made by ATP that proposals have high merit based on the selection criteria. These stages in the review process are called "gates." Proposals must pass through each gate in order to receive funding. Proposals should be submitted only in response to formal competition announcements and requests for proposals published in the "Federal Register" and "Federal Funding Opportunity" available at www.grants.gov.

Award Procedure:

Competitive award process based upon published selection criteria.

Deadlines:

Nov 30, -0001: N/A This program has been discontinued. Deadlines for proposal submissions are contained in the formal competition announcements and requests for proposals published in the "Federal Register" and "Federal Funding Opportunity" available at www.grants.gov.

Range of Approval/Disapproval Time:

120 to 180 days.

Appeals:

Not Applicable.

Renewals:

ATP awards may be renewed within the statutory time limitation based on satisfactory performance and availability of funds from Congress.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding for joint research and development ventures may be provided for no more than five years. Funds for single company awards may not exceed three years. The funds are released on an advance payment basis. Continued funding is contingent on satisfactory performance and availability of appropriated funding from Congress. See the following for information on how assistance is awarded/released: This program has been discontinued.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Documents, papers, and financial records are required to remain available to the Federal government for 3 years from the date of submission of the final financial status report. All financial and programmatic records, supporting documents, statistical reports, and other records of recipients are required to be maintained in accordance with the terms of the agreement.

Account Identification:

13-0525-0-1-376.

Obligations:

(Formula Grants) FY 16 \$21,000; FY 17 est \$0; and FY 18 est \$0 - This program has been discontinued.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-57-0525-376.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Advanced Technology Program, National Institute of Standards and Technology, 100 Bureau Drive Stop 4701 Gaithersburg, MD 20899-4701. Telephone: (1-800) ATP-FUND. Fax: (301) 926-9524. E-mail: tip@nist.gov.

Headquarters Office:

Kim Carpentier 100 Bureau Drive
Gaithersburg, Maryland 20899 Email: kimball.carpentier@nist.gov Phone: 3019752684

Website Address:

<http://www.nist.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**11.616 TECHNOLOGY INNOVATION PROGRAM (TIP)
(TIP)**

FEDERAL AGENCY:

National Institute of Standards and Technology (NIST), Department of Commerce

AUTHORIZATION:

The America Creating Opportunities to Meaningfully Promote Excellence in Technology, Education, and Science (COMPETES) Act, Section 3012, Public Law 110-69, 15 U.S.C 278n.

OBJECTIVES:

Technology Innovation Program (TIP), is a cost-shared federal financial assistance program to support, promote, and accelerate innovation in the United States through high-risk, high-reward research in areas of critical national need.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Single small or medium sized company recipients can receive up to a total of \$3 million in TIP funding for R and D activities spanning up to 3 years. TIP funds may only be used to pay direct costs. Single company recipients are responsible for funding all of their indirect/overhead costs. Small and medium sized companies applying as single company proposers must cost share at least 50 percent of the yearly total project costs (direct plus all of the indirect costs). A large-sized company is not eligible to apply for TIP funding. Joint venture recipients can receive up to a total of \$9 million for R and D activities spanning up to 5 years. TIP funds may only be used to pay direct costs. Joint ventures are responsible for funding all of their indirect/overhead costs. Joint ventures must cost share at least 50 percent of the yearly total project costs (direct plus all of the indirect costs). A joint venture is a business arrangement that includes (1) at least two separately owned small-sized or medium-sized for-profit companies that are both substantially involved in the project and both of which are contributing to the cost-sharing requirement, with the lead entity of the joint venture being an eligible company as defined in the TIP regulations (15 CFR Part 296); or (2) at least one eligible company and one institution of higher education or other organization, such as a national laboratory, governmental laboratory (not including NIST), or nonprofit research institute, that are both substantially involved in the project and both of which are contributing to the cost sharing requirement, with the lead entity of the joint venture being either the eligible company or the institution of higher education.

Applicant Eligibility:

A U.S.-owned, single, small-sized or medium-sized company doing a majority of its business in the United States or a joint venture may apply for TIP funding. Members of joint ventures that are companies must also be doing a majority of their business in the United States. In addition, a company incorporated in the United States that has a parent company incorporated in another country may apply as either a single applicant or a joint venture member provided that certain requirements are met. Institutions of higher education, national laboratories, governmental laboratories (not including NIST), and nonprofit research institutions may also participate as a member of a joint venture.

Beneficiary Eligibility:

A U.S.-owned, single, small-sized or medium-sized company doing a majority of its business in the United States or a joint venture may apply for TIP funding. Members of joint ventures that are companies must also be doing a majority of their business in the United States. In addition, a company incorporated in the United States that has a parent company incorporated in another country may apply as either a single applicant or a joint venture member provided that certain requirements are met. Institutions of higher education, national laboratories, governmental laboratories (not including NIST), and nonprofit research institutions may also participate as a member of a joint venture.

Credentials/Documentation:

Proposals require statements of work and detailed budgets. Costs will be determined in accordance with applicable federal cost principles found in OMB Circular Nos. A-21 for institutions of higher education, A-122 for nonprofit organizations, 48 C.F.R. 31 for commercial organizations, and 45 C.F.R. 74, Appendix E for hospitals. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Proposals are submitted in response to formal competition announcements and requests for proposals published in the Federal Register and Grants.gov. Proposals must be prepared in accordance with the instructions in the TIP Proposal Preparation Kit.

Award Procedure:

Competitive award process based upon published evaluation and award criteria.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

TIP awards may be renewed within the statutory time limitation based on satisfactory performance and availability of funds.

Formula and Matching Requirements:

Statutory Formula: Chapter 15, Part 296.

Matching Requirements: Percent: 50%. Recipients must cost share at least 50 percent of the yearly total project costs (direct plus all of the indirect costs). This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Single recipients may receive TIP funding for up to 3 years. Joint venture recipients may receive funding for up to 5 years. See the following for information on how assistance is awarded/released: Automated Standard Application for Payment System (ASAP).

Reports:

Quarterly technical performance, impact assessment, and financial reports. Quarterly and financial reports. Quarterly technical performance, impact

assessment reports. Quarterly financial reports. Quarterly technical performance and impact assessment reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits of all recipients shall be conducted in accordance with Government Auditing Standards (GAS) issued by the Comptroller General of the United States (the Yellow Book). TIP recipients covered under OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, should have an audit performed in accordance with the requirements of the OMB Circular.

Records:

All financial and programmatic records are required to remain available to the federal government for 3 years from the date of submission of the final financial status report.

Account Identification:

13-0525-0-1-376.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$83,000; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

New program; therefore, no range and average available.

TAFS Codes:

13-0525.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 83000. Fiscal Year 2017: program discontinued. Fiscal Year 2018: program discontinued.

REGULATIONS, GUIDELINES, AND LITERATURE:

Implementing regulations are published at 15 C.F.R. Part 296. The TIP Proposal Preparation Kit is available at www.nist.gov/tip/helpful.html. This program is also subject to the provisions of 15 C.F.R. Part 14.

Regional or Local Office:

See Regional Agency Offices. Technology Innovation Program, National Institute of Standards and Technology, 100 Bureau Drive, Stop 4701, Gaithersburg, MD 20899-4701. Telephone: 1 (888) 847-6478. Fax: (301) 926-9524. Email: tip@nist.gov.

Headquarters Office:

Karen Williams 100 Bureau Drive
Admin Bldg Room A329, Gaithersburg, Maryland 20899 Email:
karen.williams@nist.gov Phone: 301-975-4429

Website Address:

<http://www.nist.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Prior to discontinuation, proposals were selected for funding based on the evaluation criteria listed in 15 C.F.R. 296.21 and the award criteria listed in 15 C.F.R. 296.22. Additionally, no proposal was funded unless TIP determined that it has scientific and technical merit and that the proposed research has strong potential for addressing a societal challenge within the TIP-identified areas of critical national need.

11.619 ARRANGEMENTS FOR INTERDISCIPLINARY RESEARCH INFRASTRUCTURE

Not applicable

FEDERAL AGENCY:

National Institute of Standards and Technology (NIST), Department of Commerce

AUTHORIZATION:

National Institute of Standards and Technology (NIST) Act, 15 U.S.C. et seq. Trade Act, 19 U.S.C. 19 U.S.C. 2343 - 45, Title 19, Part 2343, Section 45.

OBJECTIVES:

To establish and strengthen new and/or existing centers, consortia, or other arrangements for interdisciplinary research infrastructure where NIST, academic, industry and/or other federal researchers will collaborate on emerging areas of basic and applied research on innovations in measurement science, standards, technology, and/or data.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds must be expended on interdisciplinary research infrastructure to further scientific research and catalyze technology and knowledge transfer in scientific areas relevant to NIST and emerging areas of national need. Fund amount to be determined.

Applicant Eligibility:

Public and private institutions of higher education, public and private hospitals, and other quasi-public and private non-profit organizations such as, but not limited to, community action agencies, research institutes, educational associations, and health centers. The term may include commercial organizations, foreign or international organizations (such as agencies of the United Nations) which are recipients, subrecipients, or contractors or subcontractors of recipients or subrecipients at the discretion of the DoC. The term does not include government-owned contractor-operated facilities or research centers providing continued support for mission-oriented, large-scale programs that are government-owned or controlled, or are designated as federally-funded research and development centers.

Beneficiary Eligibility:

Public and private institutions of higher education, public and private hospitals, and other quasi-public and private non-profit organizations such as, but not limited to, community action agencies, research institutes, educational associations, and health centers. The term may include commercial organizations, foreign or international organizations (such as agencies of the United Nations) which are recipients, subrecipients, or contractors or subcontractors of recipients or subrecipients at the discretion of the DoC. The term does not include government-owned contractor-operated facilities or research centers providing continued support for mission-oriented, large-scale programs that are government-owned or controlled, or are designated as federally-funded research and development centers, institutions of research and/or education, professional institutes and associations, non-profit organizations, state and local governments, and commercial organizations.

Credentials/Documentation:

Yes, please note: costs will be determined in accordance with applicable cost principles found at 2 CFR Part 220, Cost Principles for Education Institutions (OMB Circular A-21), 2 CFR Part 225, Cost Principles for State, Local and Indian Tribal Governments (OMB Circular A-87), 2 CFR Part 230, Cost Principles for Non-profit Organizations (OMB Circular A-122), 48 CFR 31 for Commercial Organizations, 45 CFR 74, Appendix E for Hospitals. OMB Circular No. A-87 applies to this program. Any additional required credentials/documentation will be announced in a federal funding opportunity announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Yes, OMB Circular No. A-110 applies to this program. Part 3 of this field (092) should include text Applicants should reply to notices published as Federal funding opportunities at www.grants.gov.

Award Procedure:

Award process based upon published selection criteria.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Regular programs approval times are from 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Future or continued funding will be at the discretion of NIST, based on such factors as satisfactory performance and the availability of funds. Renewals are competed whereas continuations of multi-year agreements are not subject to further competition.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Usually one to five years in length. See the following for information on how assistance is awarded/released: Automated Standard Application for Payment System (ASAP).

Reports:

Quarterly and final financial status reports are required; unless specified in the award document. From time to time, and in accordance with the Uniform Administrative Requirements and other terms and conditions governing the award, the recipient may need to submit property and patent reports. Quarterly and final financial status reports are required; unless specified in the award document. Annual technical progress reports are required; unless specified in the award document. Quarterly and final financial status reports are required; unless specified in the award document. Annual technical progress reports are required; unless specified in the award document. From time to time, and in accordance with the Uniform Administrative Requirements and other terms and conditions governing the award, the recipient may need to submit property and patent reports. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. NIST, the DoC Office of Inspector General or another authorized Federal agency may conduct an audit of an award at any time.

Records:

All records of accounts and supporting documents will be retained by recipient and subcontractors for a period of three years from the date of submission of final expenditure report.

Account Identification:

13-0500-0-0-000 - Scientific & Technical Research & Services.

Obligations:

(Cooperative Agreements) FY 16 \$20,794,433; FY 17 est \$25,539,960; and FY 18 est \$25,539,960

Range and Average of Financial Assistance:

Dependent upon nature and type of grant.

TAFS Codes:

13-55-2016-2014-0500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 15 CFR 24 and 15 CFR 14.

Regional or Local Office:

See Regional Agency Offices. National Institute of Standards and Technology
Regular program - 100 Bureau Drive, Mail Stop 6000, Gaithersburg, Maryland
20899 Phone: 301-975-2300.

Headquarters Office:

Kim Carpentier 100 Bureau Drive
, Gaithersburg, Maryland 20899 Email: kimball.carpentier@nist.gov Phone:
3019752684 Fax: 3019485603

Website Address:

<http://www.nist.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria vary with competition. Specific criteria listed in the Federal Funding
Opportunities posted at www.grants.gov.

**11.620 SCIENCE, TECHNOLOGY, BUSINESS AND/OR EDUCATION
OUTREACH****FEDERAL AGENCY:**

National Institute of Standards and Technology (NIST), Department of
Commerce

AUTHORIZATION:

Act, 15 U.S.C 272(b) and (c)
15 U.S.C. 638

Aid to Small Business, Research and Development, 15 U.S.C. 638.

OBJECTIVES:

To evaluate the benefits and impacts of NIST research and development efforts
and/or evaluate and/or stimulate technological innovation in the private sector,
strengthen the role of small businesses in meeting Federal research and
development needs, and/or increase private sector commercialization of
innovations derived from NIST research and development efforts and/or
NIST's Small Business Innovation Research Program; also, to support
innovative approaches and methods for science and/or technology education.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To evaluate the benefits and impacts of NIST research and development efforts
and/or evaluate and/or stimulate technological innovation in the private sector,
strengthen the role of small businesses in meeting Federal research and
development needs, and/or increase private sector commercialization of
innovations derived from NIST research and development efforts and/or
NIST's Small Business Innovation Research Program; also, to support
innovative approaches and methods for science and/or technology education.
The entire NIST budget is discretionary.

Applicant Eligibility:

Public and private institutions of higher education, public and private hospitals,
and other quasi-public and private non-profit organizations such as, but not
limited to, community action agencies, research institutes, educational
associations, and health centers. The term may include commercial
organizations, foreign or international organizations (such as agencies of the
United Nations) which are recipients, subrecipients, or contractors or
subcontractors of recipients or subrecipients at the discretion of the DoC. The
term does not include government-owned contractor-operated facilities or
research centers providing continued support for mission-oriented, large-scale
programs that are government-owned or controlled, or are designated as
federally-funded research and development centers.

Beneficiary Eligibility:

Public and private institutions of higher education, public and private hospitals,
and other quasi-public and private non-profit organizations such as, but not
limited to, community action agencies, research institutes, educational
associations, and health centers. The term may include commercial

organizations, foreign or international organizations (such as agencies of the
United Nations) which are recipients, subrecipients, or contractors or
subcontractors of recipients or subrecipients at the discretion of the DoC. The
term does not include government-owned contractor-operated facilities or
research centers providing continued support for mission-oriented, large-scale
programs that are government-owned or controlled, or are designated as
federally-funded research and development centers. Institutions of research
and/or education, professional institutes and associations, non-profit
organizations, state and local governments, and commercial organizations.

Credentials/Documentation:

Any required credentials/documentation will be announced in a federal funding
opportunity announcement. This program is excluded from coverage under 2
CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact
information is not required for this program. This program is excluded from
coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit
Requirements for Federal Awards applies to this program. OMB Circular No.
A-102 applies to this program.

OMB Circular No. A-110 applies to this program. Applicants should reply to
notices published as Federal funding opportunities at www.grants.gov.

Award Procedure:

Award process based upon published selection criteria.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application
deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Regular programs approval times are from 3 to 6
months.

Appeals:

Not Applicable.

Renewals:

Future or continued funding will be at the discretion of NIST, based on such
factors as satisfactory performance and the availability of funds. Renewals are
competed whereas continuations of multi-year agreements are not subject to
further competition.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Usually one to five years in length. See the following for information on how
assistance is awarded/released: Automated Standard Application for Payment
System (ASAP).

Reports:

Quarterly and final financial status reports are required; unless specified in the
award document. Annual technical progress reports are required; unless
specified in the award document. From time to time, and in accordance with
the Uniform Administrative Requirements and other terms and conditions
governing the award, the recipient may need to submit property and patent
reports. Cash reports are not applicable. Progress reports are not applicable.
Expenditure reports are not applicable. Performance monitoring is not
applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit
Requirements, non-Federal entities that expend financial assistance of \$750,000
or more in Federal awards will have a single or a program-specific audit
conducted for that year. Non-Federal entities that expend less than \$750,000 a
year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503. NIST, the DoC Office of Inspector General or another authorized Federal agency may conduct an audit of an award at any time.

Records:

All records of accounts and supporting documents will be retained by recipient and subcontractors for a period of three years from the date of submission of final expenditure report.

Account Identification:

13-0525-0-1-376 - Industrial Technology Services; 13-0500-0-1-376 - Scientific & Technical Research & Services.

Obligations:

(Cooperative Agreements) FY 16 \$18,424,889; FY 17 est \$3,273,837; and FY 18 est \$3,273,837

Range and Average of Financial Assistance:

Dependent upon nature and type of grant.

TAFS Codes:

13-55-2014-2016-0500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 15 CFR 24 and 15 CFR 14.

Regional or Local Office:

None.

Headquarters Office:

National Institute of Standards and Technology Regular Program 100 Bureau Drive, Mail Stop 6000, Gaithersburg, Maryland 20899, Gaithersburg, Maryland 20899 Email: kimball.carpentier@nist.gov Phone: 301-975-2684 Fax: 301-948-5603

Website Address:

<http://www.nist.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria vary with competition. Specific criteria listed in the Federal Funding Opportunities posted at www.grants.gov.

11.802 MINORITY BUSINESS RESOURCE DEVELOPMENT

FEDERAL AGENCY:

Minority Business Development Agency, Department of Commerce

AUTHORIZATION:

Executive Order 11625, October 13, 1971, 15 U.S.C 1512.

OBJECTIVES:

The objectives of the overall program are to 1) provide financial assistance awards for projects that affect or contribute to the establishment, preservation and strengthening of minority business enterprises (MBEs) as directed by congressional mandate, and 2) promote the development of novel approaches to increasing the strategic growth and global competitiveness of MBEs through the administration of pilot or demonstration projects.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Program funds in this category are restricted to the uses stipulated by congressional mandate, or for the specific uses identified as part of a pilot or demonstration project. Funds must be used for program-related costs. MBDA is subject to the availability of funds.

Applicant Eligibility:

Applicants eligible to provide services under pilot or demonstration projects are nonprofit organizations, for-profit firms, State and local governments, Native American Tribal entities, and educational institutions. Applicants for congressionally mandated projects are those specifically identified in applicable legislation.

Beneficiary Eligibility:

Congressionally mandated award beneficiaries are members of the minority business community. Pilot or demonstration project beneficiaries are minority business enterprises.

Credentials/Documentation:

Recipients are subject to the administrative requirements contained in 15 CFR Part 14 or 15 CFR Part 24, depending on the type of recipient. Eligible costs under the award will be determined in accordance with 2 C.F.R. part 220 (2007) (OMB Circular A-21, Cost Principles for Educational Organizations), 2 C.F.R. part 225 (2007) (OMB Circular A-87, Cost Principles for State, Local and Indian Tribal Governments) and 2 C.F.R. part 230 (2007) (OMB Circular A-122, Cost Principles for Nonprofit Organizations). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application packages will be made available on Grants.gov. Applicants must submit a program narrative, and applicable standard forms (SF) and Department of Commerce (CD) forms.

Award Procedure:

MBDA makes the funding recommendation to the Grants Officer. The Grants Officer will review the recommendation, process the funding request and execute the notice of award. Name checks, verification of academic credentials and pre-award audits may be required of the recipient prior to award. Electronic notification of award will be generated and forwarded to the selected recipient by the Grants Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Unsuccessful applicants shall be notified in writing within ten days of notification to the successful applicant.

Appeals:

All decisions are final. There are no administrative appeals, however, unsuccessful applicants may request debriefing from MBDA.

Renewals:

Renewals are not anticipated, but may be considered based on Agency priorities, funding availability and performance of recipients.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Congressionally mandated awards typically do not require matching funds. Pilot projects may require non-Federal cost-sharing in the form of in-kind contributions, cash and/or program fees. Cost share requirements are outlined in the applicable Federal Register, Federal Funding Opportunity announcement and Grants.gov.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Congressionally mandated awards are typically funded for a one-year period. Pilot or demonstration projects may be funded as single-year or multi-year awards. See the following for information on how assistance is awarded/released: Monthly drawdown of funds available.

Reports:

Financial (quarterly and annual) and program compliance and/or performance (semi-annual and final) reports are required. Cash reports are not applicable. At

a minimum, a comprehensive final report is required. A completed SF-425 financial report is required quarterly. MBDA conducts periodic performance monitoring reviews.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, audits may be conducted by the Department of Commerce Office of Inspector General and by other authorized federal agencies.

Records:

Documents, papers and financial records of grantees or subrecipients relating to the award are required to remain available to the Federal Government for three years from the date of submission of the final financial status report.

Account Identification:

13-0201-0-1-376.

Obligations:

(Salaries) FY 16 \$4,406,456; FY 17 est \$4,019,964; and FY 18 est \$4,019,964

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

13-2018-2018-0201; 13-2017-2017-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Exceeded Performance Goals. Fiscal Year 2017: Met Performance Goals. Fiscal Year 2018: Will meet Performance Goals.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Contact Minority Business Development Agency Headquarters.

Headquarters Office:

Nakita Chambers 1401 Constitution Avenue NW, Washington, District of Columbia 20230 Email: nchambers@mbda.gov Phone: 2024820065

Website Address:

<http://www.mbda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made based on a panel evaluation of the applications. This evaluation includes such factors as capability and experience of staff assigned to the project, techniques and methodology, resources and costs.

11.804 MBDA BUSINESS CENTER - AMERICAN INDIAN AND ALASKA NATIVE

FEDERAL AGENCY:

Minority Business Development Agency, Department of Commerce

AUTHORIZATION:

Executive Order 11625, 15 U.S.C 1512.

OBJECTIVES:

The purpose of the MBDA Business Center - American Indian and Alaska Native program is to provide strategic deal making and business consulting services to eligible American Indian and Alaska Native (AIAN) firms and Minority Business Enterprises (MBEs). This program supports the Agency mission of promoting the growth and competitiveness of U.S. businesses that are minority owned. The program is a key component of MBDA's overall portfolio of minority business development services, focusing on securing large public and/or private sector contracts and financing transactions, stimulating job

creation and retention, and facilitating entry to global markets for "eligible minority-owned businesses".

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Program funds in this category are to be used by program operators to provide services including, but not limited to, development of a pool of contracting and financing opportunities; direct matching of opportunities with qualified/vetted AIAN firms and MBEs; execution of relationship management and deal sourcing initiatives by industry; assisting AIAN firms and MBEs in accessing global market opportunities; identification and securing of alternative sources of capital for AIAN firms and MBEs; promotion of the advantages of achieving size and scale; educating AIAN firms and MBEs on the benefits of strategic alternatives (i.e. mergers, acquisitions, joint ventures and/or divestitures); and provision of service referrals to AIAN firms and MBEs of all sizes. The uses and use restrictions are more specifically defined in the applicable Federal Funding Opportunity announcement.

Applicant Eligibility:

Applicants eligible to operate an MBDA Business Center are nonprofit organizations, for-profit firms, State and local governments, Native American Tribal entities, and educational institutions.

Beneficiary Eligibility:

The MBDA Business Center program serves all eligible minority business enterprises through direct services or through strategic partner referral. Eligible beneficiaries of this program are: Native Americans and Alaska Natives (including Alaska Natives, Alaska Native Corporations and Tribal entities), African Americans, Hispanic Americans, Asian and Pacific Islander Americans, Asian Indians and Hasidic Jews. See 15 C.F.R. 1400.1-2 and Executive Order 11625.

Credentials/Documentation:

Applicants must submit proof of legal entity, for example, State-issued Certificate of Good Standing, copy of registered Articles of Incorporation, by-laws, IRS 501 (c)(3) tax-exempt letter, authorizing legislation or other evidence of applicant entity legal status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication conferences will be scheduled for most MBDA program competitions. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All applications must be submitted electronically via Grants.Gov. Applicants must submit a program narrative, detailed budget and budget narrative and applicable standard forms (SF) and Department of Commerce (CD) forms. Application packages will be made available on Grants.gov.

Award Procedure:

Each application will be reviewed and evaluated by MBDA. The Agency will make funding recommendations to the Grants Officer. The Grants Officer will review the recommendations, process the funding requests and execute the notices of award. Name checks, verification of academic credentials and pre-award audits may be required of the recipient prior to or following an award. Electronic notification of award will be generated and forwarded to the selected recipient by the Grants Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Unsuccessful applicants will be notified in writing within ten days of notification to the successful applicant.

Appeals:

All decisions are final. There are no administrative appeals; however, unsuccessful applicants may request a debriefing from MBDA.

Renewals:

From 60 to 90 days. MBDA Business Center awards are typically made for a period of five years, with funding renewed on an annual basis at the discretion of MBDA and the Department of Commerce based upon the availability of funds, the recipients performance level, and Agency priorities.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Cost share requirements are outlined in the applicable Federal Register Notice and the Announcement of Federal Funding Opportunity.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are generally made for a five-year period, but may be made for a shorter period at the discretion of MBDA. See the following for information on how assistance is awarded/released: Renewals are awarded annually and draw-downs are expenses at the applicant's request from ASAP.

Reports:

Program compliance reports are required. Financial (semi-annual and annual) reports are required. Performance progress reports (semi-annual and annual/final) are required for each program year. Expenditure reports are not applicable. Performance monitoring will be conducted by MBDA throughout the duration of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits shall be performed in accordance with audit requirements contained in the Uniform Administrative Requirements, Cost Principles and Audit Requirements found in 2 CFR part 200, Subsection F. Recipients expending \$750,000 or more in federal funds during the recipients fiscal year must conduct a single audit in accordance with guidelines outlined in 2 CFR 200.500-.520. For-profit organizations not covered by the audit requirements in 2 CFR 200.500-.520 are subject to the audit requirements set forth in the terms and conditions of the award. Recipients that expend less than \$750,000 during the recipients fiscal year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). Applicants are also reminded that other audits may be conducted by the Department of Commerce Office of Inspector General and by other authorized federal agencies.

Records:

Documents, papers and financial records of grantees or subrecipients relating to the award are required to remain available to the Federal Government for three years from the date of submission of the final financial status report, unless a longer retention period is required under 15 CFR Section 14.53 or 15 CFR Section 24.42 (depending on the type of recipient).

Account Identification:

13-0201-0-1-376.

Obligations:

(Cooperative Agreements) FY 16 \$1,320,000; FY 17 est \$1,320,000; and FY 18 est \$1,320,000

Range and Average of Financial Assistance:

\$255,000 to \$300,000.

TAFS Codes:

13-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nakita Chambers 1401 Constitution Avenue NW, Washington, District of Columbia 20230 Email: nchambers@mbda.gov Phone: 2024820065

Website Address:

<http://www.mbda.gov>

RELATED PROGRAMS:

11.802 Minority Business Resource Development; 11.805 MBDA Business Center

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications submitted are competitively evaluated and awarded. Selection criteria includes such factors as capability and experience of staff assigned to the project, techniques and methodology, resources, costs, and alignment with program priorities. Past performance under an MBDA, Department of Commerce or other federal award may also be considered when selecting proposals for an MBDA Business Center award.

11.805 MBDA BUSINESS CENTER

Business Center Program

FEDERAL AGENCY:

Minority Business Development Agency, Department of Commerce

AUTHORIZATION:

Executive Order 11625, 15 U.S.C 1512.

OBJECTIVES:

The purpose of the MBDA Business Center program is to provide strategic deal-making and business consulting services to eligible Minority Business Enterprises (MBEs). This program supports the Agency mission of promoting the growth and global competitiveness of U.S. businesses that are minority-owned. The MBC program is a key component of MBDA's overall portfolio of minority business development services, focusing on securing large public and/or private contracts and financing transactions, stimulating job creation and retention, and facilitating entry to global markets for eligible minority-owned businesses.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Program funds in this category are to be used by MBDA Business Center operators to provide services including, but not limited to, development of a pool of contracting and financing opportunities; direct matching of opportunities with qualified/vetted MBEs; execution of relationship management and deal sourcing initiatives; assisting MBEs in accessing global market opportunities; identification and securing of alternative sources of capital for MBEs; promotion of the advantages of achieving size and scale; educating MBEs on the benefits of strategic alternatives (i.e. mergers, acquisitions, joint ventures and/or divestitures); and provision of service referrals to MBEs of all sizes. The uses and use restrictions are more specifically defined in the applicable Announcement of Federal Funding Opportunity (FFO).

Applicant Eligibility:

Applicants eligible to operate MBC projects are nonprofit organizations, for-profit firms, State and local governments, Native American Tribal entities, and educational institutions.

Beneficiary Eligibility:

The Minority Business Center program serves all eligible minority business enterprises through direct services or through strategic partner referral. Eligible beneficiaries of this program are: African Americans, Hispanic Americans, Asian and Pacific Islander Americans, Native Americans (including Alaska Natives, Alaska Native Corporations and Tribal entities), Asian Indians and Hasidic Jews. See 15 C.F.R. 1400.1-.2 and Executive Order 11625.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication conferences will be scheduled for most MBDA program competitions. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All applications must be submitted electronically via Grants.Gov. Applicants must submit a program narrative, detailed budget and budget narrative and applicable standard forms (SF) and Department of Commerce (CD) forms. Application packages will be made available on Grants.gov.

Award Procedure:

Each application will be reviewed and evaluated by MBDA. The Agency will make funding recommendations to the Grants Officer. The Grants Officer will review the recommendations, process the funding requests and execute the notices of award. Name checks, verification of academic credentials and pre-award audits may be required of the recipient prior to or following an award. Electronic notification of award will be generated and forwarded to the selected recipient by the Grants Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Unsuccessful applicants shall be notified in writing within ten days of notification to the successful applicant.

Appeals:

All decisions are final. There are no administrative appeals; however, unsuccessful applicants may request a debriefing from MBDA.

Renewals:

From 60 to 90 days. MBC awards are typically made for a period of five years, with funding renewed on an annual basis at the discretion of MBDA and the Department of Commerce based upon the availability of funds, the MBCs performance level, and Agency priorities.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Cost share requirements are outlined in the applicable Announcement of Federal Funding Opportunity. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are generally made for a five-year period, but may be made for a shorter period at the discretion of MBDA. See the following for information on how assistance is awarded/released: Awards are awarded annually.

Reports:

Program compliance reports are required. Financial (semi-annual and annual) reports are required. Performance progress reports (semi-annual and annual/final) are required for each program year. Financial. Performance monitoring will be conducted by MBDA throughout the duration of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits shall be performed in accordance with audit requirements contained in the Uniform Administrative Requirements, Cost Principles and Audit Requirements found in 2 CFR part 200, Subsection F. Recipients expending \$750,000 or more in federal funds during the recipients fiscal year must conduct a single audit in accordance with guidelines outlined in 2 CFR 200.500-.520. For-profit organizations not covered by the audit requirements in 2 CFR 200.500-.520 are subject to the audit requirements set forth in the terms and conditions of the award.

Recipients that expend less than \$750,000 during the recipients fiscal year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). Applicants are also reminded that other audits may be conducted by the Department of Commerce Office of Inspector General and by other authorized federal agencies.

Records:

(i) Financial reports are to be submitted to the Department of Commerce Grants Office on a semi-annual basis. A final financial report is due within ninety (90) days after expiration of the award. (ii) Performance narrative reports are to be submitted to the Federal Program Officer within forty-five (45) days after the end of the second program quarter of each funding period. (iii) An annual or final performance report is due forty-five (45) days after the end of each funding period. (iv) Expense summary reports are to be submitted to Federal Program Officer within forty-five (45) days after the end of each quarter of each funding period. (v) Additional reporting requirements are set forth in the FFO. Failure to submit reports on time may result in MBDA award enforcement action or delay in access to federal funds.

Account Identification:

13-0201-0-1-376.

Obligations:

(Cooperative Agreements) FY 16 \$8,157,505; FY 17 est \$7,846,955; and FY 18 est \$7,846,955

Range and Average of Financial Assistance:

\$225,000 to \$500,000.

TAFS Codes:

13-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Exceed Performance Goals. Fiscal Year 2017: Met Performance Goals. Fiscal Year 2018: Will meet Performance Goals.

REGULATIONS, GUIDELINES, AND LITERATURE:

MBDA is in compliance with 2 CFR 200

Regional or Local Office:

None. MBDA has a Headquarter Office.

Headquarters Office:

Nakita Chambers 1401 Constitution Avenue NW, Washington, District of Columbia 20230 Email: nchambers@mbda.gov Phone: 2024820065

Website Address:

<http://www.mbda.gov>

RELATED PROGRAMS:

11.802 Minority Business Resource Development; 11.804 MBDA Business Center - American Indian and Alaska Native

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications submitted are competitively evaluated and awarded. Selection criteria includes such factors as capability and experience of staff assigned to the project, techniques and methodology, resources, costs, and alignment with program priorities. Past performance under an MBDA, Department of Commerce or other federal award may also be considered when selecting proposals for an MBC award.

11.999 MARINE DEBRIS PROGRAM

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Marine Debris Research, Prevention, and Reduction Act, 33 U.S.C 1951 - 1958.

OBJECTIVES:

To provide grants and cooperative agreements to help identify, determine

sources of, assess, reduce, and prevent marine debris and its adverse impacts on the marine environment and navigation safety within the coastal United States and territories. Awards made under this program will remove marine debris from coastal habitats; explore non-regulatory incentives to reduce the quantity and impacts of derelict fishing gear; support regional coordination among marine debris practitioners, states, and tribes; conduct outreach and education activities aimed at preventing marine debris; develop interagency plans to respond to severe marine debris events; assess debris composition, volume, and trajectory in different marine and coastal ecosystems; conduct research and development on marine debris impacts and distribution; and estimate the potential impacts of marine debris on coastal resources, habitats and economies.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial assistance can be used to support the implementation of locally-driven marine debris projects that achieve the objectives of the program listed above. These generally include prevention, identification and assessment, research, and removal projects including reducing and preventing fishing gear loss that will benefit coastal habitat, waterways, and NOAA trust resources. Education and outreach projects directed at stakeholders and the general public are also high priorities for this program. Funds must be spent to carry out the purpose of the program and the award in accordance with the Marine Debris Act.

Applicant Eligibility:

Eligible applicants for assistance include 1) state and local governments including public universities and colleges; 2) U.S. territorial agencies and organizations; 3) federally and State-recognized Indian Tribal governments; 4) private universities and colleges; 5) private / commercial for-profit organizations; and 6) nonprofit research and conservation organizations.

Beneficiary Eligibility:

This program benefits Federal, State, and interstate marine resource conservation and management agencies; U.S. Territories and Freely Associated States; U.S. and foreign commercial and recreational fishing industries; conservation organizations, academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations as it relates to applying for and administering financial assistance, including routine and special terms and conditions, for financial assistance programs application and conduct. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Proposals are evaluated by the appropriate NOAA subject matter experts, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects approved for funding will be submitted to the NOAA Grants Management Division and the Department of Commerce's Office of Federal Assistance for review and approval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Refer to the federal funding opportunities posted on

www.grants.gov for specific submission and deadline information.

Appeals:

N/A.

Renewals:

From 60 to 90 days. Grants and cooperative agreements are approved on an annual basis. Financial assistance may be continued beyond the original project period, but cannot exceed 5 years. Such extensions depend on NOAA approval of the projects scope of work, satisfactory progress toward project goals, and availability of agency funds. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%. The Marine Debris Act Section 3 (c) (2) (a) requires that Federal funds for any grant under this section must not exceed 50 percent of the total cost of such project. The non-Federal share of project costs may be provided by in-kind contributions and other non-cash support. The Marine Debris Act allows the NOAA Administrator to waive all or part of the matching requirement in certain instances.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Award periods are normally for 12-24 months, but may vary. Award funds must be spent in the indicated budget period and expended in accordance with DOC/NOAA finance and reporting procedures. See the following for information on how assistance is awarded / released. See the following for information on how assistance is awarded/released: Funds are released as needed through the Department of Treasury's Automated Standard Application for Payments (ASAP) or by reimbursement, as indicated in the Standard Terms and Conditions document required for each grant.

Reports:

No program reports are required. No cash reports are required. The terms and conditions of the financial assistance awarded through these grant programs require regular progress reporting and communication of project accomplishments to MDP. Progress reports contain information related to, among other things, the overall short and long-term goals of the project, project methods and monitoring techniques, actual accomplishments (such as tons of debris removed from an ecosystem, numbers of volunteers participating in a cleanup project, etc.), status of approved activities, challenges or potential roadblocks to future progress, and lessons learned. This information collection enables MDP to monitor and evaluate the activities supported by federal funds to ensure accountability to the public and to ensure that funds are used consistent with the purpose for which they were appropriated. It also ensures that reported information is standardized in such a way that allows for it to be meaningfully synthesized across a diverse set of projects and project types. MDP uses the information collected in a variety of ways to communicate with federal and non-federal partners and stakeholders on individual project and general program accomplishments. The terms and conditions of the financial assistance awarded through these grant programs require regular financial reporting via federal standard form SF-425. Performance monitoring is required as referenced in 2 CFR 200.328 and other associated terms and conditions applicable at the time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Generally, a recipient is required to retain records relating to a particular grant

for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Salaries) FY 16 \$1,944,901; FY 17 est \$2,213,514; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

Range: \$15,000 - \$250,000

Average: \$90,000.

TAFS Codes:

13-00-16-2017-1450-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Kadja Baffoe-Harding 1305 East West Highway
SSMC 4 Rm 13267, Silver Spring, Maryland 20910 Email:
Kadja.BaffoeHarding@noaa.gov Phone: 301-713-3050 Fax: 301-713-4292

Website Address:

<http://www.marinedebris.noaa.gov>

RELATED PROGRAMS:

11.441 Regional Fishery Management Councils; 11.457 Chesapeake Bay Studies; 11.463 Habitat Conservation; 11.469 Congressionally Identified Awards and Projects

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for marine debris removal projects are funded through competitive solicitations for proposals, non-competitive awards, or unsolicited applications for which no competition exists. Proposals are evaluated by at least three individual technical reviewers and scored according to the criteria and weights described in the specific funding opportunity description. These criteria are: Importance and Applicability; Technical/Scientific Merit; Overall Qualifications of Applicants; Project Costs; and Outreach and Education. Reviewer comments, composite project scores, and a rank order of reviewed proposals are presented to the NOAA Marine Debris Program Selecting Official. The Selecting Official, in consultation with NOAA Marine Debris Program staff, will identify the proposals to be recommended to the NOAA Grants Management Division for funding and will determine the amount of funds available for each approved proposal.

DEPARTMENT OF DEFENSE

12.002 PROCUREMENT TECHNICAL ASSISTANCE FOR BUSINESS FIRMS

Procurement Technical Assistance Program (PTAP)

FEDERAL AGENCY:

Defense Logistics Agency, Department of Defense

AUTHORIZATION:

The Procurement Technical Assistance Program was established by section 1241 of the Department of Defense Authorization Act for Fiscal Year 1985., Public Law 98-525, 10 U.S.C 2411-2420.

OBJECTIVES:

To expand the number of businesses capable of participating in government contracts.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Defense Logistics Agency (DLA) awards cooperative agreements to eligible program participants who establish and maintain Procurement Technical Assistance Centers (PTACs). The PTACs serve as a resource for businesses to obtain information and training related to contracting opportunities with DoD, other federal agencies, state and local governments and with government prime contractors.

Applicant Eligibility:

Eligible applicants. Only those entities listed in this section are eligible to apply.

a. States. State means a State of the United States, the District of Columbia, a territory or possession of the United States, an agency or instrumentality of a State, and a multi-State, regional, or interstate entity having governmental duties and powers (refer to 10 U.S.C. 2411(1)(A)).

b. Local governments. Local government, means a unit of government in a State, a local public authority, a special district, an intrastate district, a council of governments, a sponsor group representative organization, an interstate entity, or another instrumentality of a local government (refer to 10 U.S.C. 2411(1)(B)).

c. Private nonprofit organizations. Private nonprofit organization means an entity which is exempt from federal income taxation under Section 501 of the Internal Revenue Code, and no part of its earnings inure to the benefit of any private shareholder or individual, and no substantial part of its activities is carrying on propaganda or otherwise attempting to influence legislation or participating in any political campaign on behalf of any candidate for public office (refer to 10 U.S.C. 2411(1)(C)).

d. Economic enterprises. Economic enterprise means any Indian-owned (as defined by the Secretary of the Interior) commercial, industrial, or business activity established or organized for profit purposes or for nonprofit purposes. Provided, that such Indian ownership constitutes not less than 51 percent of the enterprise (refer to 10 U.S.C. 2411(1)(D)).

e. Tribal organizations. Tribal organization means the recognized governing body of any Indian tribe; any legally established organization of Indians which is controlled, sanctioned, or chartered by such governing body, or which is democratically elected by the adult members of the Indian community to be served by such organization and which includes the maximum participation of Indians in all phases of its activities. Provided, that in any case where a cooperative agreement is made to an organization to perform services benefiting more than one Indian tribe, the approval of each such Indian tribe shall be a prerequisite to the making of such cooperative agreement (refer to 10 U.S.C. 2411(1)(D)).

Beneficiary Eligibility:

refer to <http://www.dla.mil/HQ/SmallBusiness/PTAP.aspx>.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunities, including application instructions, are posted at www.grants.gov.

Award Procedure:

Merit-based, competitive procedures are used to evaluate applications and make awards. Funding Opportunity Announcements include the criteria that will be used to evaluate applications submitted in response to the announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 60 to 90 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 10, Chapter 142.

Matching Requirements: Non-Federal cost sharing requirements generally range between 25 and 50%. Refer to the Funding Opportunity Announcement for more.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, announcements are three-year funding opportunities, which will usually consist of a one-year base period and two subsequent, one-year option periods. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. The Federal Financial Report (SF 425). The Procurement Technical Assistance Center Cooperative Agreement Performance Report (DLA Form 1806).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

97-0100-0-1-051.

Obligations:

(Cooperative Agreements) FY 16 \$36,000,000; FY 17 est \$36,000,000; and FY 18 est \$38,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

97-97AS.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

refer to <http://www.dla.mil/HQ/SmallBusiness/PTAP.aspx>

Regional or Local Office:

None.

Headquarters Office:

DLA Office of Small Business Programs 8725 John J. Kingman Road, Suite 1127, Fort Belvoir, Virginia 22060 Email: PTAP@dlam.mil Phone: 7037670192

Website Address:

<http://www.dla.mil/HQ/SmallBusiness/PTAP.aspx>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Merit-based, competitive procedures are used to evaluate applications and make awards. Funding Opportunity Announcements include the criteria that will be used to evaluate applications submitted in response to the announcement.

12.219 EASE 2.0

Effective Absentee Systems for Elections 2.0

FEDERAL AGENCY:

Federal Voting Assistance Program, Department of Defense

AUTHORIZATION:

10 USC 2358 Research and Development Projects, 10 USC 1566, 10 USC 1566a, Executive Order 12642, 42 U.S.C 1973ff- et sec.

OBJECTIVES:

1. Establish and ensure successful, sustainable, and effective methods to improve voting systems for voters protected by the Uniformed and Overseas Citizens Absentee Voting Act (UOCAVA); 2. Increase the percentage of ballots successfully returned by UOCAVA voters to be either equal to, or greater to that of the general population; and 3. Collect and analyze data to increase the effectiveness of absentee voting procedures and systems for voters protected by UOCAVA.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Research the effectiveness of online ballot delivery systems as well as other related methods to streamline the absentee voting process for voters covered by UOCAVA.

Applicant Eligibility:

Applicants are encouraged to develop ballot delivery systems or streamlined procedures for the processing of absentee voting materials for voters covered by UOCAVA.

Beneficiary Eligibility:

The amount and period of performance of each selected proposal may vary depending on the research area and technical approach pursued by the selected recipient.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Award decisions will be based on a competitive selection of proposals based on a scientific, technical, and cost benefit analysis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The average time for processing an award is 90 days, but it can take longer depending on the complexity of the award and the number of awards issued.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds must be dispersed within 60 months of award. See the following for information on how assistance is awarded/released: Type of payment is based on the financial stability of the recipient.

Reports:

No program reports are required. Cash reports are not applicable. The award terms and conditions shall prescribe the type and frequency with which program reports shall be submitted. Progress reports must be submitted by recipients detailing their ability to facilitate the proposed project. Quarterly financial reports (SF425). Data reports provide a written analysis of the quantitative and qualitative data; note trends, changes and usage of the implemented program; and fulfill the requirements set forth in the provided data reporting requirements document.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Retention and Access Requirements IAW 32 CFR 32.50- 32 CFR 32.53.

Account Identification:

97-0400-7-3-010.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - No further awards are being made under this program.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

97-9748.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Heather Hay 4800 Mark Center Drive, Suite 03E25, Alexandria, Virginia 22350-1300 Email: Heather.j.hay.civ@mail.mil Phone: (571)372-2614

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.225 COMMERCIAL TECHNOLOGIES FOR MAINTENANCE ACTIVITIES PROGRAM

(CTMA)

FEDERAL AGENCY:

Office of the Secretary of Defense, Logistics and Material Readiness, Maintenance Policy & Programs, Department of Defense

AUTHORIZATION:

Research and Development Projects under Section 2358 of Title 10 United

States Code, 10 U.S.C 2358.

OBJECTIVES:

In partnership with industry, advance the development, integration, and use of commercial sustainment technologies and processes which can: improve weapon systems readiness and reliability; improve weapon systems capability with lowered overall sustainment costs; reduce cycle time for sustainment; and improve business practices and data collection/management.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be used to supplement the private investment being applied to develop, integrate and transition commercial sustainment technologies and processes which can: improve weapon systems readiness and reliability; improve weapon systems capability with lowered overall sustainment costs; reduce cycle time for sustainment; and improve business practices and data collection/management.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other proposal requirements specified by awarding agency. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. N/A

Award Procedure:

Funding is received, funding is submitted and Grants officer signs the award vehicle.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 15 days. N/A.

Appeals:

N/A.

Renewals:

N/A.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program will cost share.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Throughout the period of performance determined for any given project associated with the CA. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly reports will be submitted and based on the Government's fiscal year (01 October - 30 September). No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARs).

Account Identification:

97-0400-1-2-225.

Obligations:

(Cooperative Agreements) FY 16 \$17,848,393; FY 17 est \$27,503,485; and FY 18 est \$42,801,277

Range and Average of Financial Assistance:

The projected range of financial assistance to be applied to CTMA annually is historically in the range of \$10M-\$25M. The average expected financial assistance is \$15M annually.

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Jonathan E. Bertsch 1225 S. Clark Street, Suite 910, Arlington, Virginia 22202
Email: jonathan.e.bertsch.civ@mail.mil Phone: 703-545-3559

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A Technical Evaluation Panel (TEP) was selected to review applications that had been submitted by a cut-off date on Grants.gov.

12.300 BASIC AND APPLIED SCIENTIFIC RESEARCH

FEDERAL AGENCY:

Department of the Navy, Office of the Chief of Naval Research, Department of Defense

AUTHORIZATION:

10 U.S.C. 2358.

OBJECTIVES:

To support and stimulate basic and applied research and technology at educational institutions, non-profits and other research organizations, which have potential for superiority in the improvement of naval operations, and to train and motivate future researchers in science and engineering disciplines.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Basic, applied and advanced research in the physical, mathematical, environmental, engineering and life sciences providing knowledge necessary for the Department of the Navy to make informed decisions about further exploratory development to provide the technology base from which naval capabilities are drawn and partial support of symposia in the listed disciplines.

Programs to encourage careers in science, technology and engineering by supporting outstanding research by graduate and undergraduate students pursuing studies in areas of naval concern; research programs to increase the number of science and engineering graduates from underrepresented minority groups and programs providing funding for universities to purchase major, high-cost research instrumentation.

Applicant Eligibility:

Office of Naval Research (ONR) headquarters Grants and Agreements cannot be awarded to individuals or families. ONR Global Grants and Agreements can be awarded to individuals.

Beneficiary Eligibility:

See above.

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other requirements specified in the agency announcements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible applicants may submit proposals in response to the relevant Broad Agency Announcements and Funding Opportunity Announcements published in grants.gov. See announcement for actual submission instructions.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from a peer and/or scientific review. Evaluations will use the criteria contained in the relevant announcement. If selected, the proposal is incorporated into a grant/cooperative agreement document and the applicant agrees to perform the research described therein.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Awards may be made at any time throughout the year for which funding is authorized.

Appeals:

Not Applicable.

Renewals:

Reapplication with new proposal is permitted.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will reflect a period of performance which is generally 3-5 years. See the following for information on how assistance is awarded/released: Awards are typically funded incrementally.

Reports:

Recipients are expected to publish or otherwise make results of work publicly available. No cash reports are required. Performance reports, both interim and final, are required. Interim performance reports are required on an annual basis using the Research Performance Progress Report (RPPR) format. A final financial report (SF-425) is required. Typically the SF-270 used for payment requests is also used for interim financial reporting purposes. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARS).

Account Identification:

17-1319-0-1-051 - Office of Naval Research.

Obligations:

(Project Grants) FY 16 \$905,427,761; FY 17 est \$1,100,000,000; and FY 18 est \$750,000,000

Range and Average of Financial Assistance:

\$5,000 - \$20,000,000; mean=\$500,000; median=\$300,000.

TAFS Codes:

17-1319.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 1354. Fiscal Year 2017: 1100. Fiscal Year 2018: 1275.

REGULATIONS, GUIDELINES, AND LITERATURE:

The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements. Also refer to ONR website <http://www.onr.navy.mil>

Regional or Local Office:

None.

Headquarters Office:

Susan Sutherland 875 North Randolph Street, Arlington , Virginia 22203
Email: susan.sutherland@navy.mil Phone: 703-696-4601

Website Address:

<http://www.onr.navy.mil>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Award decisions will be based on a competitive selection of proposals resulting from a scientific and cost review. Evaluations will typically be conducted using the following evaluation criteria: 1. Overall scientific and technical merits of the proposal; 2. Potential Naval relevance and contributions of the effort to the agency's specific mission; 3. The offeror's capabilities, related experience, facilities, techniques or unique combinations of these which are integral factors for achieving the proposal objectives; 4. The qualifications, capabilities and experience of the proposed Principal Investigator (PI), team leader and key personnel who are critical in achieving the proposal objectives; and 5. The realism of the proposed costs and availability of funds.

12.330 SCIENCE, TECHNOLOGY, ENGINEERING & MATHEMATICS (STEM) EDUCATION, OUTREACH AND WORKFORCE PROGRAM FEDERAL AGENCY:

Department of the Navy, Office of the Chief of Naval Research, Department of Defense

AUTHORIZATION:

10 U.S.C. 2192 and 10 U.S.C. 2193.

OBJECTIVES:

To support educational programs in Science, Technology, Engineering and Mathematics (STEM) Education, Outreach and Workforce Program.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Educational programs in science, technology, engineering and mathematics (STEM), which foster an interest in, knowledge of, and study in science, technology, engineering and mathematics nationwide to ensure an educated and well-prepared workforce capable of meeting the needs of the Navy and the Nation. In support of this mission, the following five goals have been identified:

- Inspire the next generation of scientists and engineers. [Grades K-10];
- Engage students in STEM-related hands-on learning activities using Navy content. [Grades 3-12];
- Educate students to be well-prepared for employment in STEM disciplines in the Navy or in supporting academic institutions or the Naval contractor community. [Higher Education];
- Employ, retain and develop Naval STEM professionals. [Higher Education, Professional Development, Faculty];
- Collaborate across Naval STEM programs to maximize benefits to participants and the Navy.

Applicant Eligibility:

All responsible sources from public nonprofit institutions/organizations to include elementary, middle and high schools, private non-profit institutions/organizations, small businesses, profit organizations, and other private institutions/organizations may submit proposals. Grants cannot be awarded to individuals.

Beneficiary Eligibility:

Black Colleges and Universities (HBCUs), and Minority Institutions (MIs).

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other requirements specified in agency announcements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All eligible applicants, so long as profit and fee are not proposed, may submit proposals in response to the relevant STEM Announcement(s) published in the grants.gov.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from a technical, management and cost review. Evaluations will use the criteria contained in the relevant announcement. If selected for award, the proposal is incorporated into a grant or cooperative agreement document, and the applicant agrees to perform the mission described therein.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Awards may be made at any time throughout the open period for which funding is authorized.

Appeals:

Not Applicable.

Renewals:

Reapplication with new proposal is permitted.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will reflect a period of performance. See the following for information on how assistance is awarded/released: Project Grants may be awarded incrementally.

Reports:

Recipient is expected to publish or otherwise make results of work publicly available. Copies of program reports are furnished by the recipient to a prescribed list of addressees (as set forth in the award instrument). Copies of cash reports are furnished by the recipient to a prescribed list of addressees (as set forth in the award instrument). Copies of progress reports are furnished by the recipient to a prescribed list of addressees (as set forth in the award instrument). Copies of expenditure reports are furnished by the grantee/recipient to a prescribed list of addressees (as set forth in the award instrument). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARS.

Account Identification:

17-1319-0-1-051 - Office of Naval Research.

Obligations:

(Project Grants) FY 16 \$20,349,562; FY 17 est \$15,782,951; and FY 18 est \$17,593,844 - Funding may vary.

Range and Average of Financial Assistance:

\$200,000 - \$1,000,000.

TAFS Codes:

17-1319.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to ONR website: <http://www.onr.navy.mil> for program announcements. The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Susan Sutherland 875 North Randolph Street, Arlington, Virginia 22203 Email: susan.sutherland@navy.mil Phone: 703-696-4601

Website Address:

<http://www.onr.navy.mil>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Potential naval or defense relevance and contributions of the effort to the agencies specific education and science and engineering workforce. Scientific and technical merit of the proposal and its potential to achieve the educational objectives of the program, including the extent to which the proposed effort would enhance multidisciplinary studies relative to current capabilities. Appropriateness of the metrics used to determine impact and or success of the

program. Appropriateness of the methodology for obtaining and validating the metrics.

2. Outreach initiatives proposed for increasing and maintaining the educational pipeline and the potential of the proposed program to educate future scientists and engineers in STEM disciplines critical to the defense mission. Increased or enhanced opportunities to disseminate information on navy or defense programs and careers. Impact of the initiatives toward improving science and engineering education in the United States. Extent to which the program engages naval or defense laboratories as active participants in program execution. 3. Potential contributions of the proposed programs to the national defense mission. 4. Past, present or proposed collaborative educational activities with other institutions, such as colleges/universities. The degree of partnership between the school system or local education agency, and the naval/defense laboratory, or warfare center. 5. Industry-Government Partnering ONR highly encourages partnering among industry and Government with a view toward speeding the incorporation of new science and technology into fielded systems. Proposals that utilize industry-Government partnering which enhances the development of novel educational programs will be given favorable consideration. 6. Personnel qualifications, capabilities, availability, and experience. Key personnel must commit time and attention to ensure success of the program. 7. Budgetary realism and cost effectiveness of the program.

12.350 DEPARTMENT OF DEFENSE HIV/AIDS PREVENTION PROGRAM

FEDERAL AGENCY:

Department of the Navy, Office of the Chief of Naval Research, Department of Defense

AUTHORIZATION:

Section 632(a) of the Foreign Assistance Act of 1961, as amended and the United States Leadership Against HIV/AIDS, Tuberculosis and Malaria ACT, 2003 (Public Law 108-25) (U.S. Leadership Act); Memorandum of Agreement between the Department of State Office of the U.S. Global AIDS Coordinator (OGAC) and the U.S. Department of Defense (DOD) signed 13 September 2016.

OBJECTIVES:

To train and assist selected foreign militaries in establishing and implementing HIV/AIDS prevention, care, and treatment programs for their military personnel and dependents.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Funds are authorized through grants and cooperative agreements, primarily with non-governmental U.S. organizations and educational institutions, to carry out activities that support HIV/AIDS prevention programs in militaries around the world. Funds are generally limited for direct support of activities conducted outside the United States.

Applicant Eligibility:

Generally any type of applicant may apply. Grants cannot be awarded to individuals.

Beneficiary Eligibility:

Beneficiaries are primarily foreign Governments.

Credentials/Documentation:

For institutions of higher education, nonprofit organizations, States, local governments, and Indian tribes, costs will be determined in accordance with Subpart E of 2 CFR part 200. In accordance with the DoD Grant and Agreement Regulations (DoDGARS) at 32 CFR 34.17, costs will be determined for-profit entities costs in accordance with Subpart 31.2 of the Federal Acquisition Regulation (FAR) and Subpart 231.2 of the Department of Defense FAR Supplement (DFARS). Applicants must be registered in System for Award Management (SAM). Also, the applicant, its principals, and proposed subrecipients/subrecipient principals must not appear on the SAM Exclusions website as currently debarred, suspended, or otherwise ineligible. Further requirements are stated in the Funding Opportunity Announcement (FOA). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All eligible organizations may submit proposals in response to general and specific funding opportunity announcements (FOAs) published annually or as the need arises. Information regarding areas of interest and points of contact are contained in the FOAs. Application and award procedures are also contained in the FOA brochures.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from a merit review. Evaluations will use the criteria contained in the relevant Funding Opportunity Announcement. If selected for award, the proposal is incorporated into a grant document and the applicant agrees to perform the work described therein.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Formal proposals are normally reviewed and notification made within six months from submission. Grant awards are usually made within three months from notification.

Appeals:

Not Applicable.

Renewals:

Renewals are made by competitive applications and reviews.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance: Funding will reflect the period of performance and may be awarded incrementally. Normally, projects are funded for one year of operations. Funds are normally provided in individual grants according to a quarterly schedule of payments. See the following for information on how assistance is awarded/released: Funding may be awarded incrementally.

Reports:

Refer to grant for Reporting Requirements. No cash reports are required. Refer to grant for Reporting Requirements. Refer to grant for Reporting Requirements. Refer to grant for Reporting Requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARS.

Account Identification:

19-1030-0-1-551; 19-1031-0-1-551.

Obligations:

(Project Grants) FY 16 \$47,000,000; FY 17 est \$47,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

\$50,000 to \$5,000,000.

TAFS Codes:

19-1031; 19-1030.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Department of Defense HIV/AIDS Prevention Program c/o Naval Health Research Center
140 Sylvester Road, San Diego, California 92103 Email:
usn.nhrc.dhapp@mail.mil Phone: 619-553-8415

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are defined specifically in the FOAs when issued.

12.351 SCIENTIFIC RESEARCH - COMBATING WEAPONS OF MASS DESTRUCTION**FEDERAL AGENCY:**

Defense Threat Reduction Agency, Department of Defense

AUTHORIZATION:

10 U.S.C. 2358 (R&D Grants and cooperative agreements). 10 U.S.C. 2361 (Grants & contracts to universities-competitive). 10 U.S.C. 2371 (Technology Investment Agreements).

OBJECTIVES:

To support and stimulate basic, applied and advanced research at educational or research institutions, non-profit organizations, and commercial firms, which support the advancement of fundamental knowledge and understanding of the sciences with an emphasis on exploring new and innovative research for combating or countering Weapons of Mass Destruction (WMD).

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Uses and restrictions are specified in individual program BAAs and award documents issued by and codified regulations applicable to awards. These are submitted at the time of proposal or prior to award, as specified by executive agents.

Applicant Eligibility:

As stated in individual program BAAs. Generally, competitions are open to private and public educational accredited institutions of higher education that carry out science and engineering research and/or related science and engineering education on a non-profit basis. Some awards are made to other non-profit and for profit organizations that conduct research. Awards are not made to individuals.

Beneficiary Eligibility:

See above.

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other requirements specified in the agency announcements. 2 CFR 200, Subpart E -

Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible applicants may submit white papers or proposals in response to relevant Broad Agency Announcements (BAA) published on Grants.gov or www.FedBizOpps.gov under "Other Defense Agencies - DTRA". Each BAA identifies to potential applicants and what activities or research topic areas will be supported, and how to apply.

Award Procedure:

Award decisions are based on evaluations of proposals using peer and/or scientific review. Evaluation criteria are contained in the relevant BAA. If selected for award, the proposal is the basis for the award document and the applicant agrees to perform the research or research related activities described therein.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Award times will be identified in the relevant BAA. Typically, decisions are made within 90-120 days of receipt of proposal for which funding is authorized.

Appeals:

Not Applicable.

Renewals:

Re-application with new proposals is permitted.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Agency does not require matching. Technology Investment Agreements (TIAs) have cost sharing requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Since this is a research and technology program, the assistance is generally for the period of performance as stated in the individual grant, agreement or TIA. Funding is usually provided incrementally in accordance with a payment schedule contained in the award document. See the following for information on how assistance is awarded/released: Assistance might be released in lump sum or incrementally.

Reports:

As required by Assistance Award. No cash reports are required. As required by Assistance Award. As required by Assistance Award. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audit requirements for for profit organizations, which are not subject to 2 CFR part 200, are consistent with the requirements in the DoD Grant and Agreement Regulations (DoDGARs) at 32 CFR 34.16.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARs.

Account Identification:

97-6400-3-4-000 - 976400.34HQ.

Obligations:

(Project Grants) FY 16 \$66,330,338; FY 17 est \$67,822,327; and FY 18 est \$67,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, Guidelines and Literature sources are specified in the individual relevant Broad Agency Announcements. The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Ato B. Andoh 8725 John J. Kingman Road, MSC 6201, Fort Belvoir, Virginia 22060 Email: ato.b.andoh.civ@mail.mil Phone: 703-767-5853 Fax: 703-767-4246

Website Address:

http://www.dtra.mil/be/business_opp/procurement/acq_procopp.cfm

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Generally, the principal evaluation and selection criteria are the technical or socio-economic merits of the proposal and potential long term contributions of DTRA's specific mission. Other selection criteria vary with the nature of the specific research or research-related activity. Typically, these include the qualifications, capabilities, and experience of the proposed Principal Investigator and/or key personnel who are critical in achieving the proposal objectives; adequacy of current or planned facilities, and equipment; and reasonableness and realism of the proposed costs.

12.355 PEST MANAGEMENT AND VECTOR CONTROL RESEARCH FEDERAL AGENCY:

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

10 U.S.C. 2358.

OBJECTIVES:

Research designed to develop new interventions for protection of deployed military personnel from diseases caused by arthropod-borne pathogens and to improve control of filth flies.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Recipients are required to conform to their accepted research protocol and are usually required to submit some form of performance report.

Applicant Eligibility:

Applicants must be a public or private educational institution, nonprofit organizations operated for purposes in the public interest and commercial firms.

Beneficiary Eligibility:

Beneficiaries are relatively independent investigators associated with an applicant organization.

Credentials/Documentation:

Applicants must furnish evidence of legal authorization, financial and managerial capability as well as a certification of compliance with Federal, State, and local environmental laws, ordinances and regulations. Applicants must be registered in System for Award Management (SAM). Also, the

applicant, its principals, and proposed subrecipients/subrecipient principals must not appear on the SAM Exclusions website as currently debarred, suspended, or otherwise ineligible. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The AFPMB BAA is available for download at www.fedbizopps.gov (search under ACC-APG-NCD), www.3.natick.army.mil/ and www.grants.gov. The BAA describes the award procedures, selection criteria, areas of interest, necessary forms, and instructions for proposal submissions.

Award Procedure:

The AFPMB BAA is available for download at www.fedbizopps.gov (search under ACC-APG-NCD), www.3.natick.army.mil/ and www.grants.gov. The BAA describes the award procedures, selection criteria, areas of interest, necessary forms, and instructions for proposal submissions.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Once a grant is awarded, any follow-on research proposal may be treated as a new request. Follow-on work should allow for review time noted above.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length of time for which support is requested should be consistent with the nature and complexity of the proposed research. The maximum period acceptable for a research proposal is 5 years. Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly financial reports and a final financial transactions report, i.e. SF 425 are required. Additionally, some grants may require interim and/or final programmatic reports. No cash reports are required. Some awards may require interim and/or final programmatic reports. SF 425 are required. Some awards may require monthly or quarterly performance reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARS.

Account Identification:

97-0130-0-1-051.

Obligations:

(Cooperative Agreements) FY 16 \$1,500,000; FY 17 est \$145,000; and FY 18 est \$500,000

Range and Average of Financial Assistance:

\$100-\$250K per year/per award.

TAFS Codes:

97-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Defense Grant and Agreement Regulations (DoDGARS) (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) and individual program announcements.

Regional or Local Office:

See Regional Agency Offices. Technical Information: Armed Forces Pest Management Board, US Army Garrison Forest Glen, 2460 Linden Lane, Building 172, Silver Spring, MD 20910. Web Site

Address:<http://www.afpmb.org/>

Contact for additional information: ACC-APG-NCD-Ft Detrick, 110 Thomas Johnson Dr, Frederick, MD 21702, Telephone: 301-649-2895, email: usarmy.detrick.mcs.mbx.contracting@mail.mil.

Headquarters Office:

Richard W. Totten 110 Thomas Johnson Drive, Frederick, Maryland 21702

Email: richard.w.totten2.civ@mail.mil Phone: 3016192446

Website Address:

<http://www.3.natick.army.mil/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria is contained in the AFPMB BAA which is available at no cost to the applicant and is also available for download at www.fedbizopps.gov (search under ACC-APG-NCD), www.3.natick.army.mil/ and www.grants.gov.

12.357 ROTC LANGUAGE AND CULTURE TRAINING GRANTS

ROTC Project GO (Global Officers)

FEDERAL AGENCY:

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

National Defense Authorization Act of 2006, Section 535, Public Law 109-163.

OBJECTIVES:

Project GO (Global Officers) is a DoD-funded initiative that promotes critical language education, study abroad, and intercultural dialogue opportunities within the ROTC student population in order to develop future military officers who possess the cross-cultural communication skills required for effective leadership in the 21st Century operational environment.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants are awarded on a competitive basis to institutions of higher education to expand ROTC student participation in the study of critical languages and study abroad. Grant awards are only made to U.S. institutions of higher education with ROTC units on their campuses. Grant funds are used for any appropriate academic-oriented experience including student support for intensive domestic and overseas language immersion and academic year interventions to strengthen on-campus or web-based language instruction. Funding may not be used for students in Junior ROTC or students below the legal age limit for participation in ROTC.

Applicant Eligibility:

Any accredited U.S. institution of higher education (defined in 20 U.S.C. 1001 of the Higher Education Act of 1965, is eligible to apply for a grant. This includes 2 and 4 year colleges and universities, both public and private. Other organizations, associations, agencies, and foreign institutions may be included in proposals but may not be direct recipients of a grant. Federal government

schools are not eligible. Applicants must demonstrate an institutional commitment to increasing the critical language and cross-cultural proficiency of their ROTC students as well as proven institutional capability to provide quality instruction in these subject areas. Institutions applying for institutional grants must have an ROTC unit on their campus.

Beneficiary Eligibility:

Accredited U.S. institutions of higher education and their ROTC students.

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other requirements specified in the agency announcements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All eligible, private and public U.S. institutions of higher education may submit proposals in response to the relevant agency announcements posted on grants.gov.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from an independent merit review by a panel comprised of specialists in language acquisition and study abroad as well as representatives from the military services.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals are negotiated and are conducted annually based on national program strategy, institutional performance and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. There are no matching or cost sharing requirements but applicants are encouraged to cost share project efforts. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are one-year awards and may be renewed up to two years. Additional renewal years are possible based on performance, program needs and availability of funding. Method of awarding/releasing assistance: quarterly.

Reports:

Challenges, Successes, Enrollment Figures, etc., on a quarterly basis. Funds expended on a quarterly basis. Challenges, Successes, Enrollment Figures, etc., on a quarterly basis. Funds expended on a quarterly basis. Site visits are conducted. Challenges, Successes, Enrollment Figures, etc., on a quarterly basis. Funds expended on a quarterly basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. A program-specific audit will be conducted each year.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARS).

Account Identification:

97-0100-7-3-001 - Defense-Wide Operations and Maintenance D-W O&M.

Obligations:

(Salaries) FY 16 \$7,280,000; FY 17 est \$8,550,000; and FY 18 est \$7,000,000

Range and Average of Financial Assistance:

Grants range from \$150,000 to \$350,000 annually for multi-year projects.

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: There were 24 institutional grantees in FY 2016. 524 ROTC students received scholarships under the program during this fiscal year. 90% of the students met the goal of ILR 1 and above proficiency in the speaking modality. Fiscal Year 2017: There are currently 24 institutional grantees in FY 2017. We project approximately 520 students received scholarships under the program during this fiscal year. There is no proficiency data available at this time since programs are still running. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Shirley T. Rapues 4800 Mark Center Drive
Suite 8G08, Alexandria, Virginia 22350-7000 Email:
shirley.t.rapues.civ@mail.mil Phone: (571) 256-0716 Fax: (703) 588-5667

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Arizona State University
Boston University
Duke University
Embry-Riddle Aero University
Georgia Institute of Technology
Indiana University
James Madison University
Marquette University
Northeastern University
Norwich University
San Diego State University
Texas A&M University
The Citadel
University of Arizona
University of Florida
University of Kansas
University of Maryland - College Park
University of Mississippi
University of North Georgia
University of Montana
University of Pittsburgh
University of Wisconsin-Madison
Virginia Tech
Worcester Polytechnic Institute. Fiscal Year 2017: Arizona State University
Boston University
Duke University

Embry-Riddle Aero University
 Georgia Institute of Technology
 Indiana University
 James Madison University
 Marquette University
 Northeastern University
 Norwich University
 San Diego State University
 Texas A&M University
 The Citadel
 University of Arizona
 University of Florida
 University of Kansas
 University of Maryland - College Park
 University of Mississippi
 University of North Georgia
 University of Montana
 University of Pittsburgh
 University of Wisconsin-Madison
 Virginia Tech
 Worcester Polytechnic Institute. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All projects must involve a comprehensive and structured language component and study abroad component although projects do not need to offer each component under their own auspices. All projects must clearly demonstrate strong recruiting and retention plans as well as plans for institutional commitment. All projects has an articulated existing or planned paths to allow Project GO students to reach the minimum ILR level 1 proficiency by the time of their graduation through one intensive language intervention or a series of multiple language interventions.

**12.360 RESEARCH ON CHEMICAL AND BIOLOGICAL DEFENSE
 FEDERAL AGENCY:**

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

10 U.S.C. 2358.

OBJECTIVES:

To further the capability to prevent, detect, diagnose and treat the effects of chemical, radiological and biological warfare agents.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Recipients are required to conform to their accepted research protocol and are usually required to submit some form of performance report.

Applicant Eligibility:

Applicants must be a public or private educational institution, a nonprofit organizations operated for purposes in the public interest, or a commercial firm.

Beneficiary Eligibility:

Beneficiaries are relatively independent investigators associated with an applicant organization.

Credentials/Documentation:

Applicants must furnish evidence of legal authorization, financial and managerial capability as well as a certification of compliance with Federal, State, and local environmental laws, ordinances and regulations. Applicants must be registered in System for Award Management (SAM). Also, the applicant, proposed subrecipients, and principals must not appear on the SAM Exclusions website as currently debarred, suspended, or otherwise ineligible. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point

of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should obtain a copy of the relevant BAA which describes the full research program areas of interest and includes necessary forms and instruction for preproposal/proposal submissions. The BAA is available for download, at no cost to the applicant, at www.fedbizopps.gov, www.jpeocbd.osd.mil, (search under ACC-APG-NCD), www.3.natick.army.mil/ and www.grants.gov.

Award Procedure:

Award procedures are contained in the BAA and available for download at www.fedbizopps.gov (search under ACC-APG-NCD), www.jpeocbd.osd.mil, www.3.natick.army.mil/ and www.grants.gov describes the award procedures and criteria as well as the areas of interest and necessary forms and instructions for proposal submissions.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. See the relevant BAA or individual announcement.

Appeals:

Not Applicable.

Renewals:

Once a grant is awarded, any follow-on research proposal may be treated as a new request. Follow-on work should allow for review time noted above.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of time for which support is requested should be consistent with the nature and complexity of the proposed research. The maximum period acceptable for a research proposal is 5 years. See the following for information on how assistance is awarded/released: Funds are normally released on a quarterly basis.

Reports:

Quarterly financial reports and a final financial transactions report, i.e. SF 425 are required. Additionally, some grants/cooperative agreements may require interim and/or final programmatic reports. SF 425 are required. Typically awards require quarterly performance reports. Cash reports may be required as described in the individual announcement. Some awards may require monthly or quarterly progress reports. Expenditure reports are required as described in the individual announcement. Performance monitoring is required as described in the individual announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARs.

Account Identification:

97-0400-0-1-051.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$1,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Defense Grant and Agreement Regulations (DoDGARS) (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) and individual program announcements

Regional or Local Office:

None.

Headquarters Office:

Richard W. Totten 110 Thomas Johnson Drive, Frederick, Maryland 21702
Email: richard.w.totten2.civ@mail.mil Phone: 3016192446 Fax: 3016195069

Website Address:

<http://www.jpeocbd.osd.mil/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As specified in the relevant BAA or individual announcement.

12.369 MARINE CORPS SYSTEMS COMMAND FEDERAL ASSISTANCE PROGRAM**FEDERAL AGENCY:**

Marine Corps Systems Command, Department of Defense

AUTHORIZATION:

Department of Defense Appropriations Act, 2008, H.R. 3222, Title VIII, Section 8030, Public Law 110-116.

OBJECTIVES:

To reduce the demand for illegal drugs among Americas youth by providing standardized Drug Demand Reduction (DDR) training through a science-based curriculum to youth ranging from age eight through the completion of high school, not to exceed twenty years old.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be expended in accordance with the work identified in the proposal that is referenced in the award and in accordance with applicable Government-wide cost principles as specified in the DoD Grant and Agreement Regulations.

Applicant Eligibility:

Other private/public nonprofit organizations which are operated primarily for educational or similar purposes in the public interest, and commercial concerns.

Beneficiary Eligibility:

The beneficiaries for this program are American youth ranging from age eight through the completion of high school, not to exceed twenty years old.

Credentials/Documentation:

Awards are subject to the requirements in the program announcements posted on grants.gov, including submission of certifications and representations and having a current registration in the System for Award Management (www.sam.gov). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals shall be prepared in accordance with instructions in individual program announcements. See Grants.gov (www.grants.gov)

Award Procedure:

Congress has authorized the program indefinitely via H.R. 3222, SEC 8030 for the Young Marines through the DDR program and throughout the years has provided additional funding through the Department of Defense (DoD) DDR budget under DoD appropriations acts. There has been no subsequent law enacted to remove the program authorization that is identified in H.R. 3222-27 SEC 8030.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30-90 days, depending on the authority under which the funds would be awarded.

Appeals:

From 1 to 15 days.

Renewals:

Pre-application with new proposal is permitted.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Normally from 12 to 36 months. See the following for information on how assistance is awarded/released: Monthly.

Reports:

Financial and Programmatic Progress Reports. No cash reports are required. Financial and Programmatic Progress Reports. Reports of expenditures. Continuous monitoring throughout the effort.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Other audits as deemed appropriate.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARS.

Account Identification:

17-1106-0-1-054.

Obligations:

(Salaries) FY 16 Not Available; FY 17 est \$4,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No data available.

TAFS Codes:

17-1106.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Defense Grant and Agreement Regulations (DoDGARS) (as updated through DoDs interim implementation of 2 CFR part 200 which can

be found at 2 CFR part 1103) and individual program announcements.

Regional or Local Office:

None.

Headquarters Office:

Angela Gorman 2200 Lester Street, Quantico, Virginia 22134 Email: angela.gorman@usmc.mil Phone: 7034323147

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.420 MILITARY MEDICAL RESEARCH AND DEVELOPMENT

FEDERAL AGENCY:

U.S. Army Medical Command, Department of Defense

AUTHORIZATION:

10 U.S.C. 2358.

OBJECTIVES:

Through the support of basic, applied, and advanced biomedical research: (1) to transform health care for Service Members and the American public through innovative and impactful research; (2) to investigate medical solutions for the battlefield with a focus on various areas of biomedical research, including military infectious diseases, combat casualty care, military operational medicine, medical chemical and biological defense, clinical and rehabilitative medicine, blast injury and regenerative medicine; and (3) to fund novel approaches to biomedical research in response to the expressed needs of Congress.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grant and cooperative agreement awards provide funds to develop science and technology capabilities in specific areas of interest identified in each funding opportunity announcement. Notices of funding opportunity may be called Broad Agency Announcements or Program Announcements. Recipients must strictly conform to all applicable Federal, Department of Defense (DoD), Army, U.S. Army Medical Command (MEDCOM), and U.S. Army Medical Research and Materiel Command (USAMRMC) requirements for conducting research, including regulatory requirements involving human subjects, human anatomical substances, human cadavers, and animals.

Applicant Eligibility:

Federal, State, and local governments; public, State, and private institutions of higher education, Federally Recognized Indian Tribal government, U.S. territory or possession, small business, profit organization, private nonprofit institution/organization, quasi-public nonprofit institution/organization, other private institution/organizations, and Native American organizations. Awards are not made to individuals.

Beneficiary Eligibility:

Federal, State, and local governments; public, State, and private institutions of higher education, Federally Recognized Indian Tribal government, U.S. territory or possession, small business, profit organization, private nonprofit institution/organization, quasi-public nonprofit institution/organization, other private institution/organizations, and Native American organizations.

Credentials/Documentation:

Recipient eligibility is contingent upon submission of certifications and representations required by Federal statutes and executive orders. Applicants must have a current registration in the System for Award Management (www.sam.gov) and furnish evidence of financial stability and managerial capability. Specific notices of funding opportunity require additional research-related and administrative documentation, and may also require safety,

environmental, and other regulatory documentation prior to the award of any grant or cooperative agreement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Each notice of funding opportunity specifies applicable pre-application requirements. Notices of funding opportunity typically require the applicants Principal Investigator to submit a pre-application through the Electronic Biomedical Research Application Portal (eBRAP, available at <https://eBRAP.org/>). Pre-applications are screened for military relevance and technical merit against criteria stated in each notice of funding opportunity. Following pre-application screening, the applicants Principal Investigator will be notified regarding whether the applicant is invited to submit a full application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All applications for assistance under this program must be submitted through the Grants.gov website (available at www.grants.gov). Applicants can find specific application procedures, including necessary forms and instructions for application submission, in each notice of funding opportunity. Notices of funding opportunity are available at Grants.gov by searching CFDA Number 12.420 on the Search Grants tab.

Award Procedure:

All invited applications are evaluated by USAMRMC scientists, other Federal agency representatives, outside scientists with diverse expertise, clinicians, consumers, or combinations thereof, using a two-tier review process. The first tier is a peer review of applications against criteria stated in each notice of funding opportunity to determine technical merit. The second tier is a programmatic review that makes recommendations for funding based on technical merit, military relevance, the specific intent of the funding opportunity, and any other criteria specified in the notice of funding opportunity. The best-scoring applications from the first tier of review are not automatically recommended for funding. Award amount will be negotiated for those applications recommended for funding. Awards depend upon the availability of funding and priorities which USAMRMC determines to exist at the time of award. Only the Grants Officer has the authority to issue awards and obligate funds on behalf of the Federal government.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Pre-applications are normally reviewed within 30 to 60 days from submission deadline or, if no deadline, within 120 days from date of receipt. Full applications are usually reviewed and notifications whether or not applications have been recommended for funding issued within 180 days from submission deadline or, if no deadline, from date of receipt. Awards are normally made within 9-12 months from funding notification.

Appeals:

If an application is not recommended for funding and the Principal Investigator feels that a factual or procedural error was made by the reviewers, (s)he can submit an inquiry. Each notice of funding opportunity will include instructions for submitting an inquiry and the timeframe for submission.

Renewals:

An award may be extended one time up to 12 months with no additional funds. Additional extensions may be considered. Follow on awards are treated as new awards. Once an award ends, if continued funding is desired, a new application must be submitted under an applicable funding opportunity announcement and competitively reviewed. If selected for funding, a new award will be issued.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum period acceptable for a research project is specified in each notice of funding opportunity. However, the maximum initial period of performance for any award is five years. The length of time for which support is requested should be consistent with the nature and complexity of the proposed research. Depending on the source of funding, some awards will be fully funded at the time of award, while others will be incrementally funded. See the following for information on how assistance is awarded/released: Payments will be made by advance and reimbursement methods.

Reports:

Program reports are not applicable. Quarterly and final or annual and final SF425 Federal Financial Reports are required, as specified in the award. Grants and cooperative agreements require annual and final programmatic reports. At times, quarterly programmatic reports and additional charts may be required. Some grants and cooperative agreements also require regulatory reports related to research with human subjects and research involving animals. Each award will include the applicable reporting requirements. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For-profit entities are required to comply with 32 CFR part 34.

Records:

Generally, a recipient is required to retain records relating to a particular award for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period, whichever is latest. Records for real property and equipment acquired with Federal funds must be retained for three years after final disposition.

Account Identification:

21-2040-0-1-051; 97-0130-0-1-051.

Obligations:

(Project Grants (Discretionary)) FY 16 \$1,072,663,605; FY 17 est \$974,976,076; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No data available.

TAFS Codes:

97-0130; 21-2040.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Funding is supporting a broad range of medical solutions, including: (1) advancement of research in psychological health, sensory systems, pain management, and regenerative medicine, (2) addressing critical gaps in medical training/simulation, infection and wound healing, acute trauma care, operational readiness, radiation health effects, and rehabilitation, (3) development and clinical testing of immunotherapies and vaccines to treat or prevent cancer and infectious diseases, (4) discovery of new treatments for prevalent conditions such as diabetes and autism and for trauma-related conditions such as tissue, orthopaedic, and spinal cord injuries, and (5) development of novel imaging and diagnostic technologies for traumatic injuries, cancer, and Alzheimer's disease. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Notices of funding opportunity are available at Grants.gov by searching CFDA Number 12.420 on the Search Grants tab. Applicable requirements in the DoD Grant and Agreement Regulations (DoDGARs) found in Chapter I, Subchapter C of Title 32, Code of Federal Regulations (CFR) and Chapter XI of Title 2, CFR apply to grants and cooperative agreements resulting from this CFDA listing.

Regional or Local Office:

None.

Headquarters Office:

Mr. Brian Martin U.S. Army Medical Research Acquisition Activity, Attn: MCMR-AAP, Fort Detrick, Maryland 21702-5014 Phone: (301) 619-2183

Website Address:

<http://mrmc.amedd.army.mil>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All applications are reviewed for technical merit and relevance to the mission of the USAMRMC and its programs. Also, additional specific evaluation criteria will be included in each funding opportunity announcement.

12.431 BASIC SCIENTIFIC RESEARCH

FEDERAL AGENCY:

U.S. Army Materiel Command, Department of Defense

AUTHORIZATION:

10 U.S.C. 2358.

OBJECTIVES:

To support basic research that is related to or has potential for leading to the improvement of Army programs or operations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Basic Research in the mathematical, physical, engineering, biological and geosciences providing knowledge necessary for the Army to make informed decisions about further exploratory development to provide the technology base from which Army capabilities are drawn. Partial support of symposia in the listed disciplines. Programs to encourage careers in science and engineering by supporting outstanding graduate, undergraduate and high school students pursuing studies in areas of Army concern. Programs to increase the number of science and engineering graduates from under-represented minority groups. Programs providing means for universities to buy major, high-cost research equipment.

Applicant Eligibility:

See specific broad agency announcements or similar announcements resulting from this CFDA number for eligibility requirements. Awards will not be made to individuals.

Beneficiary Eligibility:

Investigators and resulting awardees.

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other requirements specified in the agency announcements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. ARO publishes general and specific broad agency announcements (BAAs) or similar announcements in grants.gov and, as the need arises, in the Federal Business Opportunities (www.fedbizopps.gov). Information regarding areas of scientific

interest and points of contact are contained in the announcements. Application and award procedures are also contained in the announcement brochures. Pre-proposal contacts with ARO scientific staff are encouraged prior to submission of a formal application.

Award Procedure:

Upon receipt of a proposal, the ARO scientific staff will perform an initial review of its scientific merit and potential contribution to the Army mission and also determine if funds are expected to be available for the effort. Proposals not considered to have sufficient scientific merit or relevance to the Army's needs or those in areas for which funds are not expected to be available may be declined without further review. Proposals not declined as a result of the initial review may be subject to an extensive peer review by highly qualified scientists from within the government and leading scientists and other preeminent experts outside the government. Each proposal will be evaluated based on the evaluation factors specified in the particular announcement. An example of evaluation criteria include: (1) overall scientific and/or technical merits; (2) military and program relevance; (3) capabilities, related experience, facilities, techniques or unique combinations of these; (4) qualifications, capabilities and experience of the proposed principal investigator, team leader or other key personnel; (5) record of past performance; and (6) reasonableness and realism of proposed costs. If selected for award, the proposal is incorporated into a grant document and the awardee agrees to perform the research described therein.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Formal proposals are normally reviewed and notification made within six months from submission. Grant awards are usually made within three months from notification.

Appeals:

Not Applicable.

Renewals:

Once a grant is awarded, any follow-on research proposal is treated as a new request. Follow-on work should allow for the review time noted in the "award procedure" above.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: MANTECH Funds may have a matching requirement.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of time for which support is requested should be consistent with the nature and complexity of the proposed research. It is preferred that proposals submitted cover a three year period with each year proposed as a severable unit. The maximum period acceptable for a research proposal is five years. See the following for information on how assistance is awarded/released: Awards are funded incrementally.

Reports:

Usually annual progress reports and a final report are required, but more frequent reporting may be specified in individual awards. The SF 425 Federal Financial Report Form is used to report expenditures. Interim performance reports include a description of activities, findings, conclusions, technical progress, accomplishments and planned activities for the next reporting period using the RPPR format. Financial status is reported for periods of performance concurrent with performance (technical progress) reports. Technical monitors review progress reports and make periodic visits to research facilities.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARS).

Account Identification:

21-2040-0-1-051.

Obligations:

(Salaries) FY 16 \$478,936,977; FY 17 est \$340,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Range: \$1,374,000.00-\$1,500,000.00 and Average Award: \$1,457,744.00.

TAFS Codes:

21-2040.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S. Army Research Office Broad Agency Announcements (BAA) or similar announcements are available without cost from the sponsoring agency on grants.gov. The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Ernest Dixon, Army Research Office, 4300 South Miami Blvd. , Durham, North Carolina 27703 Email: ermie.dixon@us.army.mil Phone: (919) 549-4270 Fax: (919) 549-4388.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.432 U.S. MILITARY ACADEMY ATHLETIC PROGRAMS AT WEST POINT

FEDERAL AGENCY:

Army Contracting Command, Department of Defense

AUTHORIZATION:

National Defense Authorization Act for Fiscal Year 2016, 10 U.S.C 4362.

OBJECTIVES:

To support the athletic programs of the U.S. Military Academy at West Point, NY.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance in the form of athletic program development and organizational support. Any funds must be expended in a manner consistent with the authorizing statute and its aforementioned purpose. Any cooperative agreement issued will specify the provision of "support services" to the Association as specified in 10 U.S.C. 4362(b) and possibly funding in accordance with 10 U.S.C. 4362(c) and (d).

Applicant Eligibility:

The intent is to issue a cooperative agreement, without using competitive procedures, to the Army West Point Athletic Association in accordance with 10 U.S.C. 4362(a)(1) and subject to the conditions in 10 U.S.C. 4362(g).

Beneficiary Eligibility:

No additional information.

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other proposal requirements specified by the awarding office. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact awarding office for application procedures.

Award Procedure:

Any cooperative agreement awarded will comply with the requirements in the DoD Grant and Agreement Regulations (DoDGARs) found in Chapter I, Subchapter C of Title 32, Code of Federal Regulations (CFR) and Chapter XI of Title 2, CFR.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance 10 U.S.C. 4362(e), any funds received by the Secretary under this section may be retained for use in support of the athletic programs of the Academy and shall remain available until expended. See the following for information on how assistance is awarded/released: In accordance with the authorizing statute.

Reports:

As required by the award. As required by the award. As required by the award. As required by the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARs.

Account Identification:

99-9999-9-9-999 - NAF.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$0; FY 17 est \$3,181,814; and FY 18 est \$13,142,624

Range and Average of Financial Assistance:

Not available.

TAFS Codes:

99-9999.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Andrew L. Fiske 800 Park Office Drive
Suite 4229, Research Triangle Park, North Carolina 27709 Email:
andrew.l.fiske.civ@mail.mil Phone: 9195494338

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.440 DISSERTATION YEAR FELLOWSHIP

CMH Dissertation Fellowship

FEDERAL AGENCY:

US Army Center of Military History, Department of Defense

AUTHORIZATION:

National Defense Authorization Act for Fiscal Year 1993, Public Law 102-484, 106 Stat. 2511-2512, 10 U.S.C 4317.

OBJECTIVES:

The U.S. Army Center of Military History Dissertation Year Fellowship program is designed to support scholarly research and writing among qualified civilian graduate students preparing dissertations in the history of land warfare. The area of study is broadly defined, and includes military campaigns, biography, military organization and administration, policy, strategy, tactics, weaponry, technology, training, logistics, and the evolution of civil-military relations. Topics focusing on the history of the U.S. Army are preferred but not required.

TYPES OF ASSISTANCE:

Project Grants (including individual awards)

USES AND USE RESTRICTIONS:

The fellowships can be used to cover any costs related to dissertation research, required visits to the Center of Military History, or as supplemental income during the writing/editing phase of the dissertation.

Applicant Eligibility:

Applicants must be civilian U.S. citizens pursuing a doctoral degree in history. They must be unaffiliated with the federal government. Military personnel, civilian federal service employees, and contractors with the U.S. government are ineligible. Awards will be issued to individual (natural person) recipients vice to institutions that an individual is attending for their doctoral degree.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

Applicants must provide official copies of all transcripts along with a statement from their graduate committee advisor indicating that the applicant has met all requirements for the doctoral degree except for the dissertation. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Three months before the application deadline, cover letter signed by the Chief Historian and brochure describing the Dissertation Year Fellowship will be mailed to colleges, universities, and historical societies. Notice of the fellowship program and instructions on how to apply will also be posted on the Society for Military History website and Facebook page. Solicitations for the grant will also be posted on grants.gov.

Award Procedure:

Upon selection for the award, fellows must sign a statement formally accepting the fellowship and agreeing to its terms. Paperwork is then submitted by CMH and the fellow to the Army Contracting Command which issues payment in the form of a lump-sum grant (fixed amount award).

Deadlines:

Jan 15, 2018

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is issued at the beginning of the fellowship year, based upon the academic calendar. Method of awarding/releasing assistance: lump sum.

Reports:

Recipient will submit to Center of Military History one hard-bound copy of dissertation within one year of completion of degree. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

The terms and conditions of any grants issued will specify the records requirements.

Account Identification:

21-2020-0-1-503.

Obligations:

(Project Grants (Fellowships)) FY 16 \$20,000; FY 17 est \$20,000; and FY 18 est \$20,000

Range and Average of Financial Assistance:

Individual awards total \$10,000 per fellowship. The program offers between two (2) and three (3) fellowships per year depending upon funding availability.

TAFS Codes:

21-2020.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

AR 870-5 Section 2-4

Regional or Local Office:

None.

Headquarters Office:

Jeffrey Seiken U.S. Army Center of Military History

Ft. Lesley J. McNair

102 Fourth Avenue, S.W. , Washington, District of Columbia 20319 Email:

jeffrey.j.seiken.civ@mail.mil Phone: 202.685.2305

Website Address:

<http://www.history.army.mil/html/about/fellowship.html>

RELATED PROGRAMS:

14.516 Doctoral Dissertation Research Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are based upon a combination of the dissertation proposal's relevance to the program's objectives, the feasibility of the research program described in the application, and the applicants overall credentials (as reflected in letter's of recommendation, a writing sample, and transcripts). A special panel of U.S. Army Center of Military History historians review the proposals, rate them, and send recommendations to the Chief of Military History for a final selection.

12.460 FISHER HOUSE FOUNDATION

FEDERAL AGENCY:

U.S. Army Medical Command, Department of Defense

AUTHORIZATION:

Consolidated Appropriations Act, 2017, Public Law 115-31.

OBJECTIVES:

To implement Congressionally-directed assistance to the Fisher House Foundation, Inc. The Fisher House Foundation, Inc. builds homes at military and veterans medical centers to provide lodging to family members supporting a wounded, seriously ill, or critically injured military patient.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Upon the determination of the Secretary of Defense that it shall serve the national interest, funds under this program will be available only for a grant to the Fisher House Foundation, Inc., for the construction and furnishing of additional Fisher Houses to meet the needs of military family members when confronted with the illness or hospitalization of an eligible military beneficiary. The Recipient must strictly conform to all applicable Federal, Department of Defense (DoD), Defense Health Agency, and Army requirements as specified in the terms and conditions of the grant.

Applicant Eligibility:

The only eligible applicant is the Fisher House Foundation, Inc., as directed by Congress.

Beneficiary Eligibility:

The eligible applicant is the beneficiary.

Credentials/Documentation:

Recipient eligibility is contingent upon submission of certifications and representations required by Federal statutes and executive orders. The Applicant must have a current registration in the System for Award Management (www.sam.gov) and furnish evidence of financial stability and managerial capability. Additional administrative, safety, environmental, or other regulatory documentation may be required prior to the award of any grant. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The responsible Grants Officer may engage in pre-application coordination with the applicant in addition to or in lieu of issuing a notice of funding opportunity, at the Grants Officers discretion. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Grants Officer

will invite the eligible Applicant to apply for assistance under this program through the Grants.gov website (available at www.grants.gov) and will specify the necessary forms and instructions for application submission.

Award Procedure:

DoD will evaluate whether the invited application fulfills the intended purpose of the Congressionally-directed assistance. The Grants Officer will review the budget documentation to determine whether the proposed costs are allowable, allocable, and reasonable. The Grants Officer will conduct other pre-award procedures as described in the Department of Defense Grant and Agreement Regulations (DoDGAR), Section 22.420. Any award depends upon the availability of funding. Only the Grants Officer has the authority to issue awards and obligate funds on behalf of the Federal government.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approval time depends on the lawful availability of funding for obligation and the timeliness of receiving a complete application from the applicant.

Appeals:

Not Applicable.

Renewals:

An award may be extended one time up to 12 months with no additional funds. Additional extensions may be considered. Availability of follow on awards is subject to Congressional direction.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Applicant is expected to propose a base period of performance costing up to the amount authorized by Congress and expected to be available for obligation at the time of award. The recipient may also propose option periods that may be exercised upon receiving future Congressional authorization. The maximum initial period of performance for any award is five years. See the following for information on how assistance is awarded/released: Payments will be made by advance and reimbursement methods.

Reports:

Program reports are not applicable. Cash reports are not applicable. Any award will include the applicable progress reporting requirements. Any award will include the applicable expenditure reporting requirements. Any award will include the applicable performance monitoring requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Generally, a recipient is required to retain records relating to a particular award for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period, whichever is latest. Records for real property and equipment acquired with Federal funds must be retained for three years after final disposition.

Account Identification:

97-0130-0-1-051.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$5,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Dependent on Congressional direction.

TAFS Codes:

97-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable requirements in the DoD Grant and Agreement Regulations (DoDGARs) found in Chapter 1, Subchapter C of Title 32, Code of Federal Regulations (CFR) and Chapter XI of Title 2, CFR apply to any grant resulting from this CFDA listing.

Regional or Local Office:

None.

Headquarters Office:

Mr. Brian Martin U.S. Army Medical Research Acquisition Activity, Attn: MCMR-AAP, Fort Detrick, Maryland 21702-5014 Phone: (301) 619-2183

Website Address:

<http://www.usamraa.army.mil>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.550 THE LANGUAGE FLAGSHIP GRANTS TO INSTITUTIONS OF HIGHER EDUCATION

The Language Flagship

FEDERAL AGENCY:

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

David L. Boren National Security Education Act, Public Law 102-183, 50 U.S.C 1901 - 1912.

OBJECTIVES:

- (1) To establish centers for the teaching of critical languages that enable students to reach or exceed Level 3 in proficiency based on the Interagency Language Roundtable (ILR) scale in African Languages, Arabic, Chinese, Hindi/Urdu, Korean, Persian, and Russian;
- (2) To develop curricular, institutional, and instructional models for advanced language learning;
- (3) To test those models;
- (4) To stimulate institutional support and long term commitment to reforming language learning; and
- (5) To improve national capacity in critical languages.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Subawards (grants) are made to U.S. institutions of higher education to develop or strengthen their capabilities to enhance the national capacity and educate U.S. citizens in critical languages and cultures together with a variety of academic and professional disciplines. The National Security Education Act places no special or unique requirements on institutions receiving subawards. The only requirements that govern all Federal subawards will apply to institutions receiving National Security Education Program (NSEP) assistance. No International exchange programs may be funded by NSEP.

Applicant Eligibility:

The award for this program is made to a nonprofit organization that administers this assistance program on behalf of DoD. The award is typically a cooperative agreement made to administer this CFDA program as well as CFDA # 12.551 (National Security Education Program David L. Boren Scholarships) and CFDA # 12.552 (National Security Education Program David L. Boren Fellowships). The award is reported to USASpending.gov only under this

CFDA number since it has the most funding of the three programs.

Beneficiary Eligibility:

Any accredited U.S. institution of higher education (defined in 20 U.S.C. 1001 of the Higher Education Act of 1965) is eligible to apply for assistance (subaward) under this program. This includes 2 and 4 year colleges and universities, both public and private. Other organizations, associations, agencies, and foreign institutions may be included in proposals for subawards but may not be receive assistance directly from the administering nonprofit organization.

Eligible entities for subawards must be located within the fifty (50) states, the District of Columbia, Commonwealth of Puerto Rico, Commonwealth of Northern Mariana Islands, the U.S. Virgin Islands, Guam, American Samoa, the Republic of Palau, Republic of the Marshall Islands, and the Federated States of Micronesia.

Credentials/Documentation:

The cooperative agreement award is subject to: 1) receipt of applicable/acceptable certifications and representations from applicant; 2) the applicant having a current registration in the System for Award Management (SAM) (www.sam.gov); 3), the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) the applicant meeting other proposal requirements specified by the awarding office. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All eligible, private and public U.S. institutions of higher education may submit proposals for subawards in response to the relevant announcements for assistance under this program.

Award Procedure:

All qualified applications for subawards will be forwarded to an objective review committee. Subaward decisions will be based on a competitive selection of proposals resulting from an independent merit review.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 60 to 90 days.

Renewals:

From 30 to 60 days. Renewals of subawards are negotiated through the administering nonprofit organization and are conducted annually based on performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Subawards are multi-year renewed annually based on performance, pending availability of funding. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. Cash reports are submitted to cooperative agreement partner on a quarterly basis on behalf of NSEP. On NSEP's behalf, the cooperative agreement partner requires semi-annual and annual progress reports. Progress and performance reports are submitted via <http://grants.thelanguageflagship.org>. Cash reports are submitted to the cooperative agreement partner on a quarterly basis on behalf of NSEP. Reports

are submitted via <http://grants.thelanguageflagship.org>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARS).

Account Identification:

97-0100-0-1-051.

Obligations:

(Salaries) FY 16 \$23,096,000; FY 17 est \$24,494,000; and FY 18 est \$18,330,000

Range and Average of Financial Assistance:

Subawards range from \$200,000 to \$500,000 depending on type of project. The average award is estimated at \$325,000 per subaward for each budget period. The core program has four-year project periods pending availability of funds.

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Ed McDermott, Suite 08 G 08
4800 Mark Center Dr., Alexandria, Virginia 22350 Email:
g.e.mcdermott.civ@mail.mil Phone: 571.256.0756 Fax: 703.588.0288

Website Address:

<http://www.thelanguageflagship.org>

RELATED PROGRAMS:

12.357 ROTC Language and Culture Training Grants; 12.551 National Security Education Program David L. Boren Scholarships; 12.552 National Security Education Program David L. Boren Fellowships; 12.555 English for Heritage Language Speakers Scholarships; 12.579 Language Training Center

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Significance of the problem and subaward proposal's response to the problem; proposals effectiveness, and evaluation plans.

12.551 NATIONAL SECURITY EDUCATION PROGRAM DAVID L. BOREN SCHOLARSHIPS

Boren Scholarships Program

FEDERAL AGENCY:

Department of Defense

AUTHORIZATION:

David L. Boren National Security Education Act, Public Law 102-183, 50 U.S.C 1901-1912.

OBJECTIVES:

(1) To provide the necessary resources, accountability and flexibility to meet the national security education needs of the United States, especially as such

needs change over time;

(2) To increase the quantity, diversity, and quality of the teaching and learning of subjects in the fields of foreign language, area studies, counterproliferation studies, and other international fields that are critical to the Nations interest;

(3) To produce an increased pool of applicants for work in the departments and agencies of the United States Government with national security responsibilities; and

(4) To expand, in conjunction with other Federal programs, the international experience, knowledge base, and perspectives on which the United States citizenry, Government employees and leaders rely.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Scholarship awards are given to degree-seeking undergraduate U.S. citizens. All Boren Scholarship recipients agree to seek employment in the federal government, and serve for a period of time equal to the length of the award but no less than one year. Awards provide funding for individuals to study a critical language and culture overseas during the course of their degree-seeking studies.

Applicant Eligibility:

The award for this program is made to a nonprofit organization that administers this assistance program on behalf of DoD. The award is typically a cooperative agreement made to administer this CFDA program as well as CFDA # 12.550 (The Language Flagship Grants to Institutions of Higher Education) and CFDA # 12.552 (National Security Education Program David L. Boren Fellowships). The award is reported to USASpending.gov under CFDA 12.550, which has the most funding between the three programs.

Beneficiary Eligibility:

Any U.S. citizen enrolled in a degree seeking program at an accredited two- or four- year public or private U.S. institution of higher education (defined in 20 U.S.C. 1001 of the Higher Education Act of 1965) is eligible to apply for an undergraduate scholarship. Students enrolled in Federal government schools are not eligible.

Credentials/Documentation:

Award to applicant is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3), the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other proposal requirements specified by the awarding office.

For beneficiaries, proof of enrollment and proof of U.S. citizenship is required . 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Beneficiaries include all eligible, U.S. undergraduate students may apply for scholarships in response to the relevant agency announcement.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from an independent merit review.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 60 to 90 days.

Renewals:

Boren Scholarship recipients may be eligible to apply for a NSEP David L.

Boren Fellowship if they subsequently enroll in a graduate degree program at an accredited U.S. institution of higher education.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Eligible students may receive Boren Scholarships for no more than twelve (12) months. Boren Scholarships promote long term linguistic and cultural immersion, and therefore study abroad proposals for two or more semesters are strongly encouraged. Preference will be given to undergraduate applicants proposing a full-year academic study. Summer-only programs must be eight weeks or more and are limited to science, technology, engineering and mathematics (STEM) students. See the following for information on how assistance is awarded/released: Those studying abroad for six months or longer receive a front end payment equal to 50% of their award, then another 30% a third of the way into their funded period, then about 20% another third into their funded period, and finally \$500 after returning to the U.S. and completing a language proficiency test. Those studying abroad for twelve weeks to just under six months receive a front end payment equal to 60% of their award, then about 40% half way into their funded period, and finally \$500 after returning to the U.S. and completing a language proficiency test. Those studying abroad eight to eleven weeks receive a front end payment of about 100% and then \$500 after returning to the U.S. and completing a language proficiency test.

Reports:

No program reports are required. No cash reports are required. On behalf of NSEP, the nonprofit organization administering the program collects periodic progress reports. No expenditure reports are required. Each award recipient receives an oral proficiency interview (OPI) before traveling abroad in the language for which they receive funding and then again after returning to the U.S. Those who have no proficiency before traveling are waived the pre-test. Also, in some rare languages there are no OPIs available.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARS).

Account Identification:

97-0100-0-1-051.

Obligations:

(Cooperative Agreements) FY 16 \$2,330,000; FY 17 est \$3,430,000; and FY 18 est \$2,330,000

Range and Average of Financial Assistance:

Assistance to beneficiaries range: \$0 - \$20,000. Average for 2015 - \$18,000.

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 U.S.C. 1901-1912 (Chap. 37); and Department of Defense Instruction 1025.6, "National Security Education Program Service Agreement," December 20, 1996. The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

None. For more information, go to www.borenawards.org.

Headquarters Office:

Alison Patz Suite 08 G 08
4800 Mark Center Dr., Alexandria, Virginia 22350 Email:
Alison.m.patz.civ@mail.mil Phone: 571.256.0771 Fax: 703.696.5667

Website Address:

<http://www.borenawards.org>

RELATED PROGRAMS:

12.357 ROTC Language and Culture Training Grants; 12.550 National Flagship Language Program Grants To U.S. Institutions Of Higher Education; 12.552 National Security Education Program David L. Boren Fellowships; 12.555 English for Heritage Language Speakers Scholarships; 12.579 Language Training Center

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Boren Scholarship recipients (beneficiaries) are selected based on merit including (1) academic record and potential to succeed in the proposed study, (2) commitment to federal service, (3) language interests and aptitude, and (4) quality and appropriateness of the proposed program and its relevance to the National Security Education Program.

12.552 NATIONAL SECURITY EDUCATION PROGRAM DAVID L. BOREN FELLOWSHIPS

Boren Fellowships Program

FEDERAL AGENCY:

Department of Defense

AUTHORIZATION:

David L. Boren National Security Education Act, Public Law 102-183, 50 U.S.C 1901-1912., Public Law 102-183, 50 U.S.C 1901-1912.

OBJECTIVES:

- (1) To provide the necessary resources, accountability and flexibility to meet the national security education needs of the United States, especially as such needs change over time;
- (2) To increase the quantity, diversity, and quality of the teaching and learning of subjects in the fields of foreign language, area studies, counterproliferation studies, and other international fields that are critical to the Nations interest;
- (3) To produce an increased pool of applicants for work in the departments and agencies of the United States Government with national security responsibilities; and
- (4) To expand, in conjunction with other Federal programs, the international experience, knowledge base, and perspectives on which the United States citizenry, Government employees and leaders rely.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Fellowship awards are made to degree-seeking graduate-level U.S. citizens and provide funding for individuals to study a critical language and culture overseas during the course of their degree-seeking studies. All Boren Fellowship recipients agree to seek employment with the U.S. federal government, and serve for a period of time equal to the length of the award but no less than one year.

Applicant Eligibility:

The award for this program is made to a nonprofit organization that administers this assistance program on behalf of DoD. The award is typically a cooperative agreement to administer this CFDA program as well as CFDA # 12.550 (The Language Flagship Grants to Institutions of Higher Education) and CFDA # 12.551 (National Security Education Program David L. Boren Scholarships). The award is reported to USASpending.gov under CFDA 12.550, which has the most funding between the three programs.

Beneficiary Eligibility:

Any U.S. citizen enrolled in an accredited public or private U.S. institution of higher education (defined in 20 U.S.C. 1001 of the Higher Education Act of

1965) is eligible to apply for a graduate fellowship. Students enrolled in Federal government schools are not eligible.

Credentials/Documentation:

Award to applicant is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other proposal requirements specified by the awarding office.

For beneficiaries, proof of enrollment and proof of U.S. citizenship is required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All eligible, U.S. graduate students may apply for fellowships in response to the relevant announcement.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from an independent merit review.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 60 to 90 days.

Renewals:

Boren Fellowship recipients are eligible to apply for a Boren Scholarship if they subsequently enroll in an undergraduate degree program at an accredited U.S. institution of higher education.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Boren Fellowship awards are made for a minimum of 12 weeks and maximum of 24 months. All overseas study must be a minimum of 12 weeks, and preference will be given to applicants proposing overseas programs of 6 months or longer. However, applicants proposing overseas programs of 3-6 months, especially those in the STEM (science, technology, engineering, and mathematics) fields are encouraged to apply. See the following for information on how assistance is awarded/released: Those who receive funding for six months or longer receive a front end payment equal to 50% of their award, the another 30% a third of the way into their funded period, then about 20% another third into their funded period, and finally \$500 after returning to the U.S. and completing a language proficiency test. Those who receive funding for twelve weeks to just under six months receive a front end payment equal to 60% of their award, the about 40% half way into their funded period, and finally \$500 after returning to the U.S. and completing a language proficiency test.

Reports:

No program reports are required. No cash reports are required. On behalf of NSEP, the nonprofit organization administering the program collects periodic progress reports. No expenditure reports are required. Each award recipient (beneficiary) receives an oral proficiency interview (OPI) before traveling abroad in the language for which they received funding, and then again after returning to the U.S. Those who have no proficiency before traveling are waived the pre-test. Also, in some rare languages, there are no OPIs available.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARS).

Account Identification:

97-0100-0-1-051.

Obligations:

(Project Grants (Fellowships)) FY 16 \$2,330,000; FY 17 est \$3,430,000; and FY 18 est \$2,330,000

Range and Average of Financial Assistance:

Assistance to beneficiaries range: \$0 - \$30,000. Average for 2015: \$23,000.

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 U.S.C. 1901-1911 (Chap. 37); Department of Defense Instructions 1025.02, "National Security Education Program," March 09, 2006; and Department of Defense Instruction 1025.6, "National Security Education Program Service Agreement," December 20, 1996. The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Alison Patz Suite 08 G 08
4800 Mark Center Dr., Alexandria, Virginia 22350 Email:
alison.m.patz.civ@mail.mil Phone: 571.256.0771 Fax: 703.696.5667

Website Address:

<http://www.borenawards.org>

RELATED PROGRAMS:

12.357 ROTC Language and Culture Training Grants; 12.550 National Flagship Language Program Grants To U.S. Institutions Of Higher Education; 12.551 National Security Education Program David L. Boren Scholarships; 12.555 English for Heritage Language Speakers Scholarships; 12.579 Language Training Center

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.555 ENGLISH FOR HERITAGE LANGUAGE SPEAKERS SCHOLARSHIPS

EHLS Program

FEDERAL AGENCY:

Department of Defense

AUTHORIZATION:

David L. Boren National Security Education Act, Public Law 102-183, 50 U.S.C 1901-1912.

OBJECTIVES:

The English for Heritage Language Speakers (EHLS) program is designed to provide intensive English language instruction for U. S. citizens who are native speakers of critical languages. The goal of the program is to enable participants

to reach professional working proficiency in English, as measured by the federal Interagency Language Roundtable (ILR) level 3 and/or the American Council on the Teaching of Foreign Languages (ACTFL) superior level. The program equips participants with the ability to read, write, speak, and listen at a superior level in an effort to build a critical base of future leaders to serve in the federal government service and the broader professional community.

TYPES OF ASSISTANCE:

Project Grants (including individual awards)

USES AND USE RESTRICTIONS:

Scholarship awards are made to U.S. citizens with at least a bachelor's degree participating in the EHLS program. Scholarship recipients agree to seek employment with the U.S. federal government, and serve in a position for no less than one year. Scholarship can only be used to attend an EHLS Program. To qualify for the program, the beneficiary must be a U.S. citizen, a native speaker of a critical language with professional level proficiency, and possess advanced level proficiency in English.

Applicant Eligibility:

Currently, the program is administered at Georgetown University in Washington, DC through a contract.

Beneficiary Eligibility:

(1) Must be a U.S. citizen at the time of application; (2) Must have a minimum proficiency in English of advanced low (as defined by ACTFL) or level 2 (as defined by ILR); (3) must also possess excellent skills in your heritage/native language (ILR level 3/ACTFL superior language proficiency or higher in all modalities of English); (4) Must have received at least an undergraduate degree by the time of application; and (5) may not be a current U.S. government employee. Eligible native languages of interest are updated annually, a list of which is available on the program web site.

Credentials/Documentation:

Proof of U.S. citizenship and Bachelor's degree or higher. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. U.S. citizens who qualify for the program may apply for scholarships in response to the relevant announcement.

Award Procedure:

Award decisions for individual scholarships will be based on a competitive selection of proposals resulting from an independent merit review.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 60 to 90 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Eligible students may receive EHLS scholarships for the duration of one program term including a six month intensive component and a two month distance-based component. See the following for information on how assistance is awarded/released: The tuition for the intensive portion of the program is paid direct to Georgetown University on behalf of the student before classes begin.

The student also receives a monthly stipend during the intensive portion of the program. The tuition for the distance-based portion of the program is paid directly to Georgetown University after the intensive portion of the program ends, and right before the web-based instruction begins.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARS).

Account Identification:

97-0100-0-1-051.

Obligations:

(Project Grants (including individual awards)) FY 16 \$790,000; FY 17 est \$790,000; and FY 18 est \$790,000

Range and Average of Financial Assistance:

Awards are for \$30,000 in 2010. There is no range or average.

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 U.S.C. 1901-1911 (Chap. 37); and Department of Defense Instruction 1025.6, "National Security Education Program Service Agreement," December 20, 1996. The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Kevin J. Gormley Suite 08 G 08
4800 Mark Center Dr., Alexandria, Virginia 22350 Email:
kevin.j.gormley.civ@mail.mil Phone: (571) 256-0753

Website Address:

<http://www.cal.org/ehls>

RELATED PROGRAMS:

12.357 ROTC Language and Culture Training Grants; 12.550 National Flagship Language Program Grants To U.S. Institutions Of Higher Education; 12.551 National Security Education Program David L. Boren Scholarships; 12.552 National Security Education Program David L. Boren Fellowships; 12.579 Language Training Center

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

EHLS scholars are selected using a national peer-review panel process.

12.556 COMPETITIVE GRANTS: PROMOTING K-12 STUDENT ACHIEVEMENT AT MILITARY-CONNECTED SCHOOLS

The Department of Defense Education Activity (DoDEA) Educational Partnership Grant Program.

FEDERAL AGENCY:

Department of Defense

AUTHORIZATION:

Section 574 (d) of P.L. 109-364, as amended by Section 553 of P.L. 110-417; Title 10 U.S.C. Section 2192(b) and Title 10 U.S.C. Section 2193a.

OBJECTIVES:

The DoDEA Educational Partnership Grant Program provide resources for local education agencies [LEAs] to meet the academic, social and emotional needs of the highly mobile military-connected students in their community. The Grant Program specifically provides resources to military-connected LEAs to develop and implement projects that are designed to:

Increase academic performance based on College and Career Ready outcomes,

Support social emotional needs of military connected students,

Enhance and integrate technology through instruction and student work; and,

Promote advanced placement and expanded learning opportunities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Projects use nationally recognized, research-based best practices to enhance student achievement primarily in academic areas. Projects should address identified needs at the target school(s). Projects must have an evaluation plan that uses multiple sources to measure both the fidelity and the results of implementation, especially as they contribute to improving the student achievement of military students at the target schools. The definition of military student is specified in the Federal Register announcement.

Applicant Eligibility:

Awards will be made to local educational agencies (LEAs) on behalf of their eligible school(s). To qualify all participating schools must have a 15 percent or greater military dependent student enrollment.

Beneficiary Eligibility:

K-12 students are the primary beneficiaries, although the grants may also fund teacher professional development.

Credentials/Documentation:

Awards are subject to the requirements in the notices of funding opportunity posted on grants.gov, including submission of certifications and representations and having a current registration in the System for Award Management (www.sam.gov). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must complete Standard Forms 424, 424A, and applicable certifications and representations (e.g., Lobbying).

Award Procedure:

1. Grants.gov will announce a notice of funding opportunity for this program and provide application instructions.
2. LEAs will apply directly to Grants.gov for opportunity.
3. LEAs apply by submitting required package paperwork through Grants.gov funding opportunity link.
4. Applications are peer reviewed using the evaluation criteria listed in the notice, with DoDEA making the final award selections.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Funding decisions are based on the following specific criteria:

Evaluation of risks posed by applicant to include history of performance and ability to effectively implement requirements.

Application scores, as determined by peer reviewers.

Availability of Federal funds.

Appeals:

Appeals may be made to the DoDEA Grant Program Manager.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant award cycles are up to 5 years. See the following for information on how assistance is awarded/released: LEAs receiving awards may submit requests for advanced payment or reimbursement of funds after disbursement on at least a quarterly basis. Payments will be made after receipt and approval of an acceptable payment requests.

Reports:

LEA's submit semester performance reports and an annual performance report detailing program progress and results. The program's evaluation plan largely determines the specifics of each report. Data must be disaggregated to show military students' progress/achievement. An annual and final transactions report (SF425) and at least quarterly Request for advance or reimbursement (SF270) are required. At the end of the grant award cycle, the LEA must submit a final performance report and final SF425. No cash reports are required. LEAs submit semester reports detailing program progress and results. The program's evaluation plan largely determines the specifics of each report. Quarterly financial reports (SF425), final financial report (SF425), request for advance or reimbursement (SF270) are required. LEA's submit semesterly reports detailing program progress and results. The program's evaluation plan largely determines the specifics of each report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARs).

Account Identification:

97-0100-0-6-000.

Obligations:

(Project Grants (Discretionary)) FY 16 \$66,540,827; FY 17 Estimate Not Available(Exp: projected \$30,000,000.00); and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

In FY16, DoDEA awarded 56 grants that ranged from \$250,000 to \$1,500,000. These awards are implemented over a 5 year cycle.

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and Department of Defense Grant and Agreement Regulations are applicable to the project grants. The agency also lists grant information on Grants.gov.

Regional or Local Office:

None. The awarding agency is located at DoDEA headquarters in Arlington, VA.

Headquarters Office:

Jennifer Dailey-Perkins 4800 Mark Center Drive
, Alexandria, Virginia 22350 Email: grants@hq.dodea.edu Phone:
571-372-6026

Website Address:

<http://www.dodea.edu/Partnership/grants.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection of proposals are based on five criteria. They were weighted in the following order: implementation plan, evaluation plan, needs assessment, goals/outcomes, and budget/sustainability. Additionally, the funding decisions are based on the following specific criteria: Evaluation of risks posed by applicant to include history of performance and ability to effectively implement requirements; Application scores, as determined by peer reviewers; and the availability of Federal funds.

12.558 DEPARTMENT OF DEFENSE IMPACT AID (SUPPLEMENT, CWSD, BRAC)

DoD Impact Aid

FEDERAL AGENCY:

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

Floyd D. Spence National Defense Authorization Act for Fiscal Year 2001 , Public Law 106-398, 20 U.S.C 7703a-7703b.

OBJECTIVES:

Reimbursement to local educational agencies (LEAs) for education related costs for military dependent children enrolled in the LEA during the specified school year.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

There are no prescribed uses or restrictions on this financial assistance.

Applicant Eligibility:

Only LEAs eligible for Federal Impact Aid pursuant to Section 7703 of Title 20, U.S.C. may be eligible for one or more of the three independent DoD Impact Aid Programs.

Beneficiary Eligibility:

Please see the DoD Impact Aid web site for detailed eligibility information: <http://www.dodea.edu/partnership/impact.cfm>.

Credentials/Documentation:

The DoD Impact Aid for Children with Severe Disabilities Program annually notifies eligible LEAs that they may apply for DoD Impact Aid for Children with Severe Disabilities. a SD Form 816/816c must be submitted if the eligible LEA wants to apply.

While no application is required for DoD Impact Aid Supplemental and Large Scale Rebased, banking information will be required for award payments. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Congressional law dictates that the DoD acquires eligibility data from the U.S. Department of Education, Office of Impact Aid Programs. Environmental impact information is not required for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Please see the DoD Impact Aid web site for applicable application procedures: <http://www.dodea.edu/partnership/impact.cfm>

Award Procedure:

LEAs are notified by mail/email. Financial assistance is deposited into a designated, verified LEA bank account.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Only DoD Impact Aid for Children with Severe Disabilities has an application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

If the funds are appropriated by Congress, this financial assistance is available prior to September 30th of each fiscal year. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Although LEAs are not initially required to submit their cost justification with their application for Children with Severe Disabilities, it would be prudent to have a spreadsheet available to defend their submitted costs if it is requested by DoD prior to making an eligibility and payment determination.

Account Identification:

97-0010-0-6-000.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$35,000,000; FY 17 est \$35,000,000; and FY 18 Estimate Not Available - Not Applicable.

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

97-0010.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See 20 United States Code 7703a and 7703b.
See Department of Defense Instruction 1342.18.
See the DoD Impact Aid Website:
<http://www.dodea.edu/partnership/impact.cfm>

Regional or Local Office:

See Regional Agency Offices. Department of Defense Education Activity Education Partnership and Resources.

Headquarters Office:

DoD Impact Aid 4800 Mark Center Drive, Alexandria, Virginia 22350-1400
Email: DoDEAimpactaid@hq.dodea.edu Phone: 571-372-5870 Fax: 770-268-7498

Website Address:

<http://www.dodea.edu/partnership/impact.cfm>

RELATED PROGRAMS:

84.041 Impact Aid

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.561 COMMUNITY PARTNERS IN SUICIDE PREVENTION

Community Partners in Suicide Prevention Outreach and Education

FEDERAL AGENCY:

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

National Defense Authorization Act, Section 706, Public Law 112-239.

OBJECTIVES:

Conduct outreach and education efforts around mental health, substance use disorders, traumatic brain injury, and suicide prevention. At the conclusion of this pilot, evaluate program effectiveness and impact and produce a report to Congress.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are to be used by community partners to administer an outreach and education pilot to conduct outreach and education efforts around mental health, substance use disorders, traumatic brain injury, and suicide prevention. A community partner described in NDAA 13, Section 706, is a private non-profit organization or institution that meets such qualifications as the Secretary shall establish for purposes of the pilot program and engages in one or more of the following:

- 1 - Research on the causes, development, and innovative treatment of mental health and substance use disorders and traumatic brain injury in members of the National Guard and Reserves, their family members, and their caregivers.
- 2 - Identifying and disseminating evidence-based treatments of mental health and substance use disorders and traumatic brain injury described in paragraph (1).
- 3 - Outreach and education to such members, their families and caregivers, and the public about mental health, substance use disorders, traumatic brain injury and suicide prevention as described in paragraph (1).

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from an internal merit review panel.

Deadlines:

May 01, 2015 to Aug 01, 2015

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

From 1 to 15 days.

Renewals:

From 1 to 15 days.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants can be for a period of one year, or three years renewed annually. Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly numbers of those provided treatment or services by community partners and number of outreach efforts. No cash reports are required. Quarterly numbers of those provided treatment or services by community partners and number of outreach efforts. No expenditure reports are required. Quarterly numbers of those provided treatment or services by community partners and number of outreach efforts.

Audits:

Not Applicable.

Records:

N/A.

Account Identification:

97-0100-7-3-010.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This program was last funded in FY15. No further awards or obligations are expected under this program.

Range and Average of Financial Assistance:

Grants range from \$500,000 to \$2,500,000.

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

FY 13 National Defense Authorization Act (NDAA), Section 706(a), as amended by FY 14 NDAA, Section 511, provides guidelines on this grant. This information will be performed by a community partner as described in NDAA Section 706(c)(3).

Regional or Local Office:

None.

Headquarters Office:

Malcolm Hawkins 4800 Mark Center Dr, Ste 05J25, Alexandria, Virginia 22350 Email: malcolm.k.hawkins.civ@mail.mil Phone: 7036148833

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All projects must be performed by a community partner as described in FY 13, National Defense Authorization Act, Section 706.

For consideration, the applicant must address the following eight criteria listed in descending order of importance:

- Outreach and Education Strategy and Feasibility
- Established community partner and deliver expertise in outreach, education in a community based environment
- Experience providing outreach and education for Service members and their families
- Knowledge of established best practices in the area of suicide prevention, mental health and traumatic brain injury.
- Execution strategy to reach National Guard and Reserve, regardless of duty status, Service members, their families and care givers.
- Experience conducting a pilot that requires metrics, data collection and evaluation of a rigorous program evaluation plan.

12.579 LANGUAGE TRAINING CENTER

Language Training Center Program

FEDERAL AGENCY:

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

National Defense Authorization Act of 2010, Section 529, Public Law 111-84.

OBJECTIVES:

The Language Training Center Program is a DOD-funded initiative that seeks to accelerate the development of foundational or higher-level expertise in critical and strategic languages and regional studies for DOD personnel (both military and civilian) by leveraging U.S. institutions of higher education to meet the existing and demonstrated training needs of DOD units, offices, or agencies.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants are awarded on a competitive basis to institutions of higher education to increase DOD's training capacity in critical and strategic languages and regional area studies for DOD personnel. Grant awards are made to accredited U.S. institutions of higher education.

Applicant Eligibility:

Any accredited U.S. institutions of higher education (defined in 20 U.S.C. 1001 of the Higher Education Act of 1965) is eligible for a grant. This includes 2- and 4-year colleges and universities, both public and private. Other organizations, associations, agencies, and foreign institutions may be included in proposals but may not be direct recipients of a grant. Federal government schools are not eligible. Applicants must demonstrate an institutional commitment to increasing the critical language and cross-cultural proficiency of DOD personnel as well as proven institutional capability to provide quality instruction in these subject areas.

Beneficiary Eligibility:

Accredited U.S. institutions of higher education.

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other requirements specified in the agency announcements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All eligible private and public U.S. institutions of higher education may submit proposals in response to the relevant agency announcements posted on grants.gov.

Award Procedure:

Award decisions will be based on competitive selection of proposals resulting from an independent merit review by a panel comprised of specialists in language acquisition and study abroad as well as representatives from the military services.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals are negotiated and are conducted annually based on national program strategy as well as institutional performance and availability of funding.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. There are no matching or cost sharing requirements but applicants are encouraged to cost share project efforts. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are single year awards and may be renewed up to two years. Additional renewal years are possible based on performance, program needs, and availability of funding. Method of awarding/releasing assistance: quarterly.

Reports:

Challenges, success, enrollment figures are reported on a quarterly basis. Funds expended on a quarterly basis are reported. Challenges, success, enrollment figures are reported on a quarterly basis. Funds expended on a quarterly basis are reported. Annual site visits and program reviews are conducted.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. A program-specific audit will be conducted each year.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARS.

Account Identification:

97-0100-7-3-001 - Defense-Wide Operations and Maintenance D-W O&M.

Obligations:

(Salaries) FY 16 \$3,396,000; FY 17 est \$8,755,000; and FY 18 est \$5,800,000 - Funding level based on availability of funds.

Range and Average of Financial Assistance:

Grant range from \$150,000 to \$2,000,000 annually for a single year project.

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In 2016, the LTC program trained approximately 1,500 DOD personnel in 19 languages. The number of partnerships within DOD organizations continued to expand, including collaboration with the Defense agencies, the National Guard, and the Special Forces community. Approximately 1,000 DOD personnel completed intensive language training consisting of 120 or more hours of instruction resulting in increased language proficiency. The Language Training Centers report that their 2016 students met or exceeded proficiency goals over 90% of the time. Fiscal Year 2017: In 2017, LTC program projects that approximately 1,200 DOD personnel will have received training in 19 languages. Fiscal Year 2018: In 2018, LTC program projects that approximately 1,200 DOD personnel will have received training in 19 languages.

REGULATIONS, GUIDELINES, AND LITERATURE:

The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to any DoD grants and cooperative agreements. Regulations applicable to this program are at 32 CFR Part 208.

Regional or Local Office:

None.

Headquarters Office:

Shirley T. Rapues 4800 Mark Center Drive, Alexandria, Virginia 22350 Email: shirley.t.rapues.civ@mail.mil Phone: (571) 256-0716 Fax: (703) 588-5667

Website Address:

<http://www.dodlrc.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: California State University - Long Beach; Concordia College; George Mason University; George Washington University; North Carolina State University; San Diego State University; University of Kansas; University of Montana; University of Utah. Fiscal Year 2017: California State University - Long Beach; Concordia College; George Mason University; George Washington University; North Carolina State University; San Diego State University; University of Kansas; University of Montana; University of Utah. Fiscal Year 2018: California State University - Long Beach; Concordia College; George Mason University; George Washington University; North Carolina State University; San Diego State University; University of Kansas; University of Montana; University of Utah.

CRITERIA FOR SELECTING PROPOSALS:

All projects must demonstrate existing institutional capacity and commitment to provide high-quality language training to DOD personnel. It must also demonstrate a clear, collaborative partnership with a DOD unit, office, or agency and their ability to demonstrate how their proposed training meets a DOD mission requirement.

12.582 OFFICE FOR REINTEGRATION PROGRAMS

Yellow Ribbon Reintegration Program

FEDERAL AGENCY:

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

2008 National Defense Authorization Act , Public Law 110-181, Sec. 582, as amended by 2016 National Defense Authorization Act , Public Law 114-92, Sec. 551.

OBJECTIVES:

To conduct data collection, trend analysis, and curriculum development and to prepare reports in support of activities under Section 582 of Public Law 110-181, Sec. 582 (2008), as amended by Public Law 114-92 (2016).

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Statutory authority for issuing grants is provided to the Office for Reintegration Programs (ORP), Yellow Ribbon Reintegration Program (YRRP), through Public Law 110-181, Sec. 582 (2008), as amended by Public Law 114-92 (2016) stating that the Office for Reintegration Programs may make grants to conduct data collection, trend analysis, and curriculum development and to prepare reports in support of activities under this section.

The National Defense Authorization Act of 2008 established the Yellow Ribbon Reintegration Program (YRRP) to assist National Guard and Reserve members as they transition between their military and civilian roles. By providing deployment cycle information, resources, and programs, YRRP addresses the unique challenges facing the Guard and Reserve community, including geographic dispersion away from military support networks and fewer deployment resources than the Active Component.

YRRP events provide National Guard and Reserve Service members and those who support them with dynamic and engaging delivery of information before, during, and after deployments. Events connect attendees with local information on health care, education/training opportunities, and financial and legal benefits. These resources help to prepare and guide attendees through the hardships of deployment, then enable Service members to successfully reintegrate back into their families, communities and careers.

Applicant Eligibility:

The Yellow Ribbon Reintegration Program is a DoD-wide effort to promote the well-being of National Guard and Reserve members, their families and communities, by connecting them with resources throughout the deployment cycle. Through Yellow Ribbon events, Service members and loved ones connect with local resources before, during, and after deployments. Reintegration during post-deployment is a critical time for members of the

National Guard and Reserve, as they often live far from military installations and other members of their units. Commanders and leaders play a critical role in assuring that Reserve Service members and their families attend Yellow Ribbon events where they can access information on health care, education and training opportunities, financial, and legal benefits. We work in government and non-government partners, including the Small Business Administration and Departments of Labor and Veterans Affairs, to provide up-to-date and relevant information to the members of the All-Volunteer force and their families.

Eligible applicants must be able to satisfy the needs of the Office for Reintegration Programs as outline in Public Law 110-181, Sec. 582 (2008), as amended by Public Law 114-92 (2016) stating that the Office for Reintegration Programs may make grants to conduct data collection, trend analysis, and curriculum development and to prepare reports in support of activities under this section..

Beneficiary Eligibility:

Beneficiaries must be able to conduct data collection, trend analysis, and curriculum development and to prepare reports in support of activities of the Yellow Ribbon Reintegration Program as established by Public Law 110-181, Sec. 582 (2008), as amended by Public Law 114-92 (2016).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Announcing that the grant or cooperative agreement is open to applicants. The DHRA/PK Grants Officer will prepare a public synopsis of the BAA and post it to grants.gov. All applicants must have a valid registration with Central Contractor Registration (CCR) and Grants.gov in order to apply for funding. Collecting applications and providing them to the DHRA component's Technical Evaluation Board. The panel chairman will forward the component's recommendations to the DHRA/PK Grants Officer for final approval. The DHRA component and the DHRA/PK Grants Officer will conduct discussions with grant recipients regarding the terms and conditions of the award. Finalizing agreements, meeting terms and conditions, and providing funding documents for the grant. As part of this process, the component will forward funding documents via eDarts to the DHRA/PK Grants Officer for final approval. Note: DHRA/PK is responsible for the award of the grant or cooperative agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 60 months or as determined by the grant contract award. See the following for information on how assistance is awarded/released: Varies by grant award (e.g., reimbursable, annually, etc.).

Reports:

Program reports are not applicable. Cash reports are not applicable. As directed

by grant contract award. As directed by grant contract award. As directed by grant contract award, including e-mail, phone, or site visits.

Audits:

No audits are required for this program.

Records:

As directed by grant contract award.

Account Identification:

97-5010-0-7-300 - TAS and subhead limit DHRA.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

97-5010.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Daniel J. Meshel 4800 Mark Center Drive, Suite 05E22
, Alexandria, Virginia 22350 Email: daniel.j.meshel.civ@mail.mil Phone: 7035713183

Website Address:

<http://www.yellowribbon.mil>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.598 CENTERS FOR ACADEMIC EXCELLENCE

Intelligence Community Centers for Academic Excellence

FEDERAL AGENCY:

Defense Intelligence Agency, Department of Defense

AUTHORIZATION:

50 U.S.C. 3510.

OBJECTIVES:

To enhance the recruitment and retention of an ethnically and culturally diverse intelligence community workforce with capabilities critical to the national security interests of the United States.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Curriculum Development - Intelligence/National Security Related Curricula
Language Study Program/Courses - Languages of Interest to the Intelligence Community
Ethic and Cultural Diversity
Build Consortium
Facilitate Student Participation in On-Campus Academic Programs and Professional Development Activities
Students Study Abroad, Cultural Immersion and Regional Studies Opportunities
Faculty Research and Professional Development in Support of Teaching an Intelligence or National Security Curriculum
Host Annual Colloquiums or Speaker Series on Intelligence and National

Security Topics. Students supported must be U.S. citizens.

Applicant Eligibility:

none available.

Beneficiary Eligibility:

none available.

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other requirements specified in the agency announcements.

Curriculum Vitae of Principal Investigators and co-Investigators. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

A Funding Opportunity Announcement (FOA) is advertised on Grants.gov Applications are submitted by varied educational institutions.

Applications will be evaluated on a merit basis and in accordance with the Merit Evaluation Table listed in the FOA.

The merit criteria are components approved by the IC CAE Senior Advisory Board to guide the evaluation.

A technical merit review of the applications is done by a Source Selection Evaluation Board for consideration. The Board is composed of Senior representatives from IC agencies.

A determination is made based on the highest score.

Those institutions are contacted by grants personnel to negotiate assistance agreement.

An assistance agreement is executed by the Grants Office.

The award is signed bilaterally by the institution and the Grants Officer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

365 days past submission of proposal.

Appeals:

Not Applicable.

Renewals:

Grants are given on a base year plus four optional years basis. The grants will be renewed at the government's option on an annual basis.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

5-years; Base year plus four optional years. See the following for information on how assistance is awarded/released: On a reimbursement basis.

Reports:

End-of-Semester Reports on progress toward stated goals are submitted. No cash reports are required. End-of-Semester Reports on progress toward stated goals are submitted. Quarterly SF 425 Federal Financial Reports. Yearly monitoring of progress is done.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreements Regulations (DoDGARS).

Account Identification:

99-9999-9-9-999 - not available.

Obligations:

(Salaries) FY 16 \$3,250,911; FY 17 est \$3,916,608; and FY 18 est \$3,599,559 - FY18 estimate is to renew optional years for existing grants.

Range and Average of Financial Assistance:

\$300,000 - \$400,000 per year.

TAFS Codes:

99-9999.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Tonia R. B. Smith Defense Intelligence Agency, ADI4A
200 MacDill Blvd.

Joint Base Bolling Anacostia, Washington, District of Columbia 20340 Email: tonia.smith@dodiis.mil Phone: 2022314195

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.620 TROOPS TO TEACHERS GRANT PROGRAM

Troops to Teachers Program

FEDERAL AGENCY:

Department of Defense

AUTHORIZATION:

National Defense Authorization Act 2013, 10 U.S.C 1154.

OBJECTIVES:

The goal is to facilitate employment of eligible members of the armed forces in schools identified in 10 U.S.C. 1154.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

States or consortia of states will develop and implement a replicable model for attracting and assisting eligible members and former members of the armed forces with transitioning into a teaching career. In support of this mission, the following goals have been identified:

1. Reduce barriers that prevent veterans from transitioning into teaching careers
2. Implement educational models to award academics credit for prior career experience/training or other relevant military training

3. Reduce veteran unemployment
5. Support state and local education agencies with hiring motivated, experienced and dedicated eligible members and former members of the armed forces for the nations classrooms
6. Increase the number of male and minority teachers in todays classroom
7. Address geographic areas with critical teacher shortages, especially in high-need schools (as defined in subsection (a) of such section 1154), in particular the shortage of science, mathematics, special education, foreign language, or career or technical teachers; and in elementary schools or secondary schools, or as career or technical teachers. Grantees will use the official TTT website www.proudtoserveagain.com and all marketing material for advertising and recruitment provided by TTT National Office. Congress has authorized \$5M, 33% of \$15M appropriated Operation and Maintenance (O&M) funding, for grants in support of the Troops to Teachers program.

Applicant Eligibility:

Grants can only be award to states or consortia of states.

Beneficiary Eligibility:

Beneficiaries of the program are eligible members of the armed forces, as specified in 10 U.S.C. 1154.

Credentials/Documentation:

Requirements for applications should be confirmed with the headquarters or regional office, as appropriate. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Department of Defense intends to award grants to those states identified with a veteran population of 500K or greater and that submit an application meeting the program objectives/requirements. States interested in participating that have a veteran population less than 500K may form a consortia with other states to meet the veteran population of 500k or greater to participate.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Awards may be made at any time throughout the year for which funding is authorized.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The grants will reflect the period of performance which may be up to 5 years, subject to congress enacting appropriations. Method of awarding/releasing assistance: Grants are multi-year renewed each year based on performance. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance: Grants are multi-year renewed each year based on performance.

Reports:

Both program and financial reports are required. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428). Awards issued under any funding opportunity announcements are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act

(FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. If applicable, additional reports may be required in the grant, based on the work proposed. No cash reports are required. In general, reporting requirements include quarterly interim performance reports, quarterly financial reports, final performance report, and final financial report. The Federal Financial Report (SF-425) is required for financial reporting. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARs).

Account Identification:

17-1804-0-1-051 - Navy Operations & Maintenance.

Obligations:

(Salaries) FY 16 Not Available; FY 17 est \$5,000,000; and FY 18 est \$5,000,000

Range and Average of Financial Assistance:

Grants range from \$100,000.00 to \$400,000 annually for multi-year projects. Average award is approximately \$200,000.00. Total funding = \$5,000,000.

TAFS Codes:

17-1804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 1049. Fiscal Year 2017: 550 troops to be hired as teachers. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

10 U.S.C. 1154; 2 CFR 200; DoD Grant and Agreement Regulations (DoDGARs)

Regional or Local Office:

See Regional Agency Offices. Troops to Teachers National Office.

Headquarters Office:

Kim Day 6490 Saufley Field Road, Pensacola, Florida 32508 Email: kim.h.day@navy.mil Phone: 8504521940

Website Address:

<http://www.proudtoserveagain.com>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Department of Defense intends to award grants to those states identified with a veteran population of 500K or greater and that submit an application meeting the program objectives/requirements. States interested in participating that have a veteran population less than 500K may form a consortia with other states to meet the veteran population of 500k or greater to participate.

12.630 BASIC, APPLIED, AND ADVANCED RESEARCH IN SCIENCE AND ENGINEERING

FEDERAL AGENCY:

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

10 U.S.C. 2358; 10 U.S.C. 2362.

OBJECTIVES:

To: (1) Support basic, applied, or advanced research in mathematical, physical, engineering, environmental, and life sciences, and other fields with good, long-term potential for contributing to technology for Department of Defense missions; (2) facilitate transition of research results to practical application for defense needs; (3) improve linkages between defense research and the civilian technology and industrial bases, to promote commercial application of the results of defense research and commercial availability of technology for defense needs; (4) foster education of future scientists and engineers in disciplines critical to defense through research projects; and (5) strengthen the infrastructure for research and related science and engineering education in those disciplines.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Uses and restrictions are specified in individual program announcements, notices of funding opportunity, or Broad Agency Announcements (BAAs) and award documents and codified regulations applicable to awards.

Applicant Eligibility:

The eligibility for applicants will be in accordance with the individual program announcements, BAAs, or other notices of funding opportunity. Generally, competitions are open to private and public educational institutions that carry out science and engineering research and/or related science and engineering education; however, competition may be limited to a class of such institutions, such as Historically Black Colleges and Universities or other minority institutions (HBCUs/MIs). Some competitions may allow eligibility to other types of organizations, such as for-profit applicants. However, awards are not made to individuals.

Beneficiary Eligibility:

Beneficiaries may include individual graduate and undergraduate students (e.g., recipients of fellowships or research traineeships) in science and engineering disciplines important to defense, as well as organizations described under "Applicant Eligibility."

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other requirements specified in the agency announcements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Any such coordination or approvals will be specified in the individual program announcement. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The administrative requirements, cost principles, and audit requirements are in accordance with the DoD Grant and Agreement Regulation (DoDGARS) part(s) applicable to the particular type of recipient and/or type of award. Each program announcement, BAA, or other notice of funding opportunity tells potential proposers who is eligible to apply, what activities will be supported, in which science and engineering areas they will be supported, and how to apply. Some announcements also may require white papers before submission of full proposals.

Award Procedure:

Award decisions are based on a competitive selection of proposals, using a technical merit review. Evaluation criteria are specified in the relevant announcement. If selected for award, the proposal is the basis for the award document and the applicant agrees to perform the research or research-related activities (e.g., science or engineering education or research infrastructure-building activities) described therein.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

Award decision time from receipt of the proposal varies.

Appeals:

Appeal procedures are stated in applicable program announcements, BAAs, or other notices of funding opportunities.

Renewals:

As specified in individual program announcements, BAAs, or other notices of funding opportunities, applications for competitive new awards are permitted.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements that apply to all awards.

Cost-sharing or matching, if required, would be specified in the individual program announcements, BAAs, or other notices of funding opportunities.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for the length stated in individual program announcement, BAA, or other notice of funding opportunities. See the following for information on how assistance is awarded/released: As stated in individual program announcement, BAAs, or other notice of funding opportunities.

Reports:

Individual program announcement, BAA, or other notices of funding opportunities specify report requirements for program reports, cash reports, progress reports, expenditure reports, and performance reports, as consistent with the DoDGARS.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Individual program announcements, BAAs, or other notices of funding opportunities cite terms and conditions that will apply to resultant awards. Audit requirements for the types of recipients (e.g., for-profit firms) not subject to 2 CFR part 200 are consistent with the DoDGARS.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARS.

Account Identification:

97-0400-0-1-051.

Obligations:

(Project Grants) FY 16 \$212,730,088; FY 17 est \$170,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

See individual BAAs for expected range of award accounts (generally vary from \$50,000 to \$3,000,000 over 1 to 5 year periods of performance).

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See program announcements, BAAs, or other notices of funding opportunities issued by executive agents. The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Office of the Secretary of Defense (OSD) OSD does not directly execute programs within this listing. For information about open competitions or future opportunities involving OSD funding, prospective proposers should consult the program announcements, BAAs, or other notices of funding opportunities issued by the agents that execute the program, listed below. No central phone number is available. Some of the agents OSD uses to execute its programs include:

- Army Research Office (For contact info, see listing 12.431, or <http://www.arl.army.mil/>)
- Office of Naval Research (For contact info, see listing 12.300, or <http://www.onr.navy.mil/>)
- Air Force Office of Scientific Research (For contact info, see listing 12.800, or <http://www.wpafb.af.mil/afrl/afosr>), Arlington, Virginia 22203 Phone: 000-000-0000

Website Address:

No Data Available

RELATED PROGRAMS:

12.300 Basic and Applied Scientific Research; 12.431 Basic Scientific Research; 12.800 Air Force Defense Research Sciences Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

As specified in individual program announcements, BAAs, or other notices of funding opportunities. Generally, the principal evaluation and selection criteria are the technical merits of the proposed research (or research-related activity) and its potential relationship in the long term to missions of the Department of Defense.

Other selection criteria vary with the nature of the specific research or research-related activity. Typical examples include: Qualifications of the principal investigator and other key personnel to perform the proposed activity; adequacy of current or planned facilities and equipment to do so; and realism and reasonableness of proposed costs.

12.631 SCIENCE, TECHNOLOGY, ENGINEERING AND MATHEMATICS (STEM) EDUCATIONAL PROGRAM: SCIENCE, MATHEMATICS AND RESEARCH FOR TRANSFORMATION (SMART)

Science, Technology, Engineering and Mathematics (STEM)

FEDERAL AGENCY:

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

SMART Defense Education Program, Public Law 109-163, 119 Stat. 3448, 10 U.S.C 2192a.

OBJECTIVES:

To increase the intellectual capacity and proficiency of future scientists and engineers in disciplines critical to defense; and to increase the number of science, technology, engineering and mathematics (STEM) qualified individuals in the DoD workforce.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Financial assistance through scholarships provided to undergraduate and graduate students majoring in a DoD-relevant STEM field. Applicants must be U.S. citizens and enrolled in an accredited U.S. institution of higher learning, e.g., university, college, etc. Qualifications are specified in detail in the application on www.smartscholarship.org.

Applicant Eligibility:

Subject to language in any announcement for competitive procedures.

Beneficiary Eligibility:

All applicants for scholarships must be at least 18 years of age and U.S. citizens. Applicants must be an undergraduate or graduate student majoring in a DoD-relevant STEM field. Applicants participate in internships at DoD

laboratories. Participants will be required to obtain and/or maintain a security clearance. Upon completion of their degree, applicants are expected to work full-time for the DoD.

Credentials/Documentation:

See application (e.g. proof of citizenship, official transcripts, proof of enrollment in an accredited college or university). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application procedures for scholarships can be found at www.smartscholarship.org.

Award Procedure:

Award decisions will be based on a competitive selection process and availability of funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Eligible individuals may receive a SMART scholarship from one to five years. See the following for information on how assistance is awarded/released: Varies depending on type of assistance.

Reports:

Quarterly and Annual Programmatic Reports. Cash reports are not applicable. Participant reports are required. Quarterly and Annual Financial Reports (SF-425). Participant performance reports are required (see program details).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Described in the terms and conditions of any ensuing award.

Account Identification:

97-0400-0-1-120.

Obligations:

(Project Grants) FY 16 \$27,000,000; FY 17 est \$30,000,000; and FY 18 est \$35,000,000 - These figures are for scholarships to non-DoD personnel.

Range and Average of Financial Assistance:

See above.

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: During FY2016, the total number of scholars that are not DoD employees was 500. Fiscal Year 2017: During FY2017, the total number

of scholars that are not DoD employees is 750. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Please view the website at www.smartscholarship.org.

Regional or Local Office:

See Regional Agency Offices. The Program Office is the STEM Development Office within the Office of the Assistant Secretary of Defense, Research and Engineering (OASD(R&E)).

Information on scholarships can be found at www.smartscholarship.org.

Headquarters Office:

Andrew L. Fiske 800 Park Office Drive, Suite 4229, Research Triangle Park, North Carolina 27709 Email: andrew.l.fiske.civ@mail.mil Phone: (919) 549-4338

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection for beneficiaries is based on merit including (1) academic record and potential to succeed in their proposed study; (2) additional supporting documentation; and (3) commitment to Federal service and availability of funds.

**12.800 AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM
FEDERAL AGENCY:**

Department of the Air Force, Materiel Command, Department of Defense

AUTHORIZATION:

10 U.S.C. 2358, as amended; 10 U.S.C. 2371, as amended.

OBJECTIVES:

To maintain technological superiority in the scientific areas relevant to Air Force needs; to prevent technological surprise to our nation and create it for our adversaries; to maintain a strong research infrastructure composed of Air Force laboratories, industry, and universities; and to complement the national research effort.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Basic research, applied research, advanced research, and development projects that are necessary to the responsibilities of the United States Air Force in the field of research and development; and, either relate to weapon systems and other military needs; or are of potential interest to the Air Force. Funds must be expended in a manner consistent with the statute and its aforementioned purpose.

Applicant Eligibility:

Private/public educational institutions; other private/public nonprofit organizations which are operated primarily for scientific, educational, or similar purposes in the public interest, and commercial concerns.

Beneficiary Eligibility:

Private/public educational institutions; other private/public nonprofit organizations which are operated primarily for scientific, educational, or similar purposes in the public interest, and commercial concerns.

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3), the applicant, its principals, proposed subrecipients/principals, not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other requirements specified in the program announcements. 2 CFR 200, Subpart E - Cost

Principles applies to this program.

Preapplication Coordination:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Research proposals shall be prepared in accordance with instructions in individual program announcements. See Grants.gov (www.grants.gov). Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Research proposals shall be prepared in accordance with instructions in individual program announcements. See Grants.gov (www.grants.gov).

Award Procedure:

Awards decisions will be based on a competitive selection of proposals resulting from a peer and/or scientific review.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact the appropriate regional contracting office.

Appeals:

From 1 to 15 days. Contact the appropriate regional contracting office.

Renewals:

Contact the appropriate regional contracting office.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula.

This program has no matching requirements. This program does not have MOE requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to five years. Awards will be incrementally funded. Method of awarding/releasing assistance: lump sum.

Reports:

Financial/Cost/Performance/Technical Progress Reports. Reports of expenditures. Cost/Technical progress. Cost. Continuous monitoring throughout the effort. Yes in accordance with individual awards. Cost/Programmatic (Technical) progress. Cost. Continuous monitoring throughout the effort.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the Department of Defense Grants and Agreements Regulations (DoDGARs).

Account Identification:

57-3600-0-1-051; 57-3600-0-1-051.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$551,893,764; FY 17 est \$500,000,000; and FY 18 est \$500,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

57-3600.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements. Also see individual program announcements.

Regional or Local Office:

See Regional Agency Offices. Air Force Office of Scientific Research, 875 North Randolph Street, Arlington VA 22203, 703-588-1930;
Air Force Research Laboratory, Bldg 45, 2130 8th Street, Wright-Patterson AFB OH 45433-7541, 937-713-9798;
Air Force Research Laboratory, 3550 Aberdeen Ave SE, Kirtland AFB NM 87117-5773, 505-846-4979;
Air Force Research Laboratory, 26 Electronic Parkway, Rome NY 13441-4514, 315-330-7748;
Air Force Research Laboratory, 203 West Eglin Blvd, Bldg 300, Eglin AFB FL 32542-6810, 850-882-4295.

Headquarters Office:

Jeanette Snyder 1060 Air Force Pentagon, 4C149, Washington, District of Columbia 20330 Email: jeanette.m.snyder4.civ@mail.mil Phone: 5712562405

Website Address:

<http://www.af.mil>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.801 AIR FORCE ACADEMY ATHLETIC PROGRAMS

FEDERAL AGENCY:

Department of the Air Force, Materiel Command, Department of Defense

AUTHORIZATION:

10 U.S.C 9362, as amended.

OBJECTIVES:

To operate and support the United States Air Force Academy athletic program.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Per 10 U.S.C. 9362, the purpose of assistance is to support the athletic programs of the United States Air Force Academy. The support will be in the form of public education, military training programs; athletic program development, organization and support; character and leadership education and development; and pre-candidate, cadet and staff motivation for a lifetime of service through physical and mentally challenging physical education and fitness programs. Funds must be expended in a manner consistent with the statute and its aforementioned purpose.

Applicant Eligibility:

Per 10 U.S.C. 9362, the Secretary of the Air Force may, in accordance with the laws of the State of Incorporation, establish a corporation to support the athletic programs of the Academy. There is only 1 eligible entity, the Air Force Academy Athletic Corporation, a 501(c)(3) nonprofit, incorporated in the state of Colorado, and per 10 USC 9362 the Secretary of the Air Force may enter into cooperative agreements with the corporation for the purposes related to the athletic programs of the Academy.

Beneficiary Eligibility:

No additional information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Not Applicable.

Award Procedure:

10 U.S.C. 9362.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact Contracting Office.

Appeals:

Contact Contracting Office.

Renewals:

Contact Contracting Office.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to five years. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. As indicated in announcement and subsequent award. As indicated in announcement and subsequent award. Required to verify direction and proper funds categorical allocation or sharing of agreement. To ensure success of objectives or proper administration as necessary.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARS).

Account Identification:

57-3400-0-0-000.

Obligations:

(Cooperative Agreements) FY 16 \$14,700,000; FY 17 est \$14,800,000; and FY 18 Estimate Not Available - Estimate \$51M obligation against FA7000-13-2-0015 over a five-year period.

Range and Average of Financial Assistance:

Not available.

TAFS Codes:

57-3400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: See FY17 narrative. Fiscal Year 2017: A five-year Cooperative Agreement (2013-2018) was issued and the funding provided supports the athletic programs of the United States Air Force Academy, in the form of public education, military training programs; athletic program development, organization and support; character and leadership education and development; and pre-candidate, cadet and staff motivation for a lifetime of service through physical and mentally challenging physical education and fitness programs. Within the cooperative agreement there are 6 requirement/objectives: Coaching and Sports Involvement; Recruiting;

Logistics; Ticketing; Sports Conference Administration Involvement; and Civic Outreach. Fiscal Year 2018: Not currently available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

See Regional Agency Offices. 10 CONS/LGC, 8110 Industrial Drive, Suite 103, USAF Academy CO 80840-2315.

Headquarters Office:

Jeanette Snyder 1060 Air Force Pentagon, 4C149, Washington, District of Columbia 20330 Email: jeanette.m.snyder4.civ@mail.mil Phone: 5712562405

Website Address:

<http://www.airforce.com>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.840 CIVIL AIR PATROL PROGRAM

FEDERAL AGENCY:

AF SAF AQC, Department of Defense

AUTHORIZATION:

NDAA FY2001, Public Law 106-398, Title X, Section 1090, 114 Stat. 1654, 10 U.S.C 9441-9448.

OBJECTIVES:

The purposes of the Civil Air Patrol are: to encourage and aid citizens of the United States in contributing their efforts, services, and resources in developing aviation and in maintaining air supremacy; to encourage and develop by example the voluntary contribution of private citizens to the public welfare; to provide aviation education and training especially to its senior and cadet members; to encourage and foster civil aviation in local communities; to provide an organization of private citizens with adequate facilities to assist in meeting local and national emergencies; and to assist the Department of the Air Force in fulfilling its noncombat programs and missions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The award recipient, Civil Air Patrol, is included in the statutory language. This is a mandatory, earmarked award. The activities performed by the recipient are specified in 10 U.S.C. 9443 and 9444. The award recipient is included in the statutory language-mandatory earmarked award.

Applicant Eligibility:

Any awards under this program will be made on a noncompetitive basis to the Civil Air Patrol, a nonprofit corporation that is federally chartered under section 40301 of title 36, as provided in 10 U.S.C. 9441-9448.

Beneficiary Eligibility:

Beneficiary identified in statute.

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/principals, not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) any other requirements in the application request. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application requirements will be coordinated with the applicant before award.

Award Procedure:

See Section 081, Applicant Eligibility.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Contact Contracting Office.

Renewals:

Contact Contracting Office.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Five Years. Method of awarding/releasing assistance: quarterly.

Reports:

Program status reports submitted quarterly and annually. Cash on-hand and expenditure report due quarterly. Monthly progress reports due. Expenditure reports due quarterly. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARS.

Account Identification:

57-3400-0-0-000.

Obligations:

(Cooperative Agreements) FY 16 Not Separately Identifiable; FY 17 est \$11,500,000; and FY 18 est \$46,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

57-3400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Accomplishments per fiscal year shall align with the program purpose IAW with 36 U.S.C. 40302. A five-year Cooperative Agreement shall be used to encourage and aid citizens of the United States in contributing their efforts, services, and resources in developing aviation and in maintaining air supremacy; to encourage and develop by example the voluntary contribution of private citizens to the public welfare; to provide aviation education and training especially to its senior and cadet members; to encourage and foster civil aviation in local communities; to provide an organization of private citizens with adequate facilities to assist in meeting local and national emergencies; and to assist the Department of the Air Force in fulfilling its noncombat programs and missions. Fiscal Year 2018: Accomplishments per fiscal year shall align with the program purpose IAW with 36 U.S.C. 40302. A five-year Cooperative Agreement shall be used to encourage and aid citizens

of the United States in contributing their efforts, services, and resources in developing aviation and in maintaining air supremacy; to encourage and develop by example the voluntary contribution of private citizens to the public welfare; to provide aviation education and training especially to its senior and cadet members; to encourage and foster civil aviation in local communities; to provide an organization of private citizens with adequate facilities to assist in meeting local and national emergencies; and to assist the Department of the Air Force in fulfilling its noncombat programs and missions.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Defense Grant and Agreement Regulations (DoDGARS) and Air Force Instruction 10-2701

Regional or Local Office:

See Regional Agency Offices. CAP-USAF
105 South Hansell Street, Maxwell, AFB, AL
Email: capusaf.cc.maxwell@us.af.mil Phone: 334-953-6987.

Headquarters Office:

Jeanette Snyder 1060 Air Force Pentagon, 4C149, Washington, District of Columbia 20330 Email: jeanette.m.snyder4.civ@mail.mil Phone: 5712562405

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Mandatory award based on statute.

12.888 OPA RESEARCH FELLOWSHIP PROGRAM

OPA Research Fellowship Program

FEDERAL AGENCY:

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

10 USC 2358. Research, 10 U.S.C 2371.

OBJECTIVES:

The purpose of this OPA RFP PA is to solicit offers from interested applicants to establish partnership/relationship which includes a program for university students, post-doctoral researchers and faculty members to conduct mutually-benefitting research which supports OPAs research program, as described herein, and also provides learning and process-development benefits to the researchers using OPA data. The applicant shall provide the necessary management and support for the RFP for research described herein. Such support should address the following requirements:

- a) Provide appropriately trained and experienced undergraduate and graduate students, Post-doctoral researchers, and faculty for conducting mutually-benefitting research that is compatible with, and contributes to, OPA research, analysis, and studies;
- b) Match faculty expertise to mutually-benefitting research and study needs within OPA;
- c) Facilitate a mentoring relationship between OPA researchers and undergraduate and graduate students and post-doctoral researchers;
- d) Provide a structured approach for OPA researchers to collaborate with university* faculty and graduate students on mutually-benefitting research projects; and
- e) Provide research space (to include laboratory space when applicable) for conducting research to support the OPA program if proposed by faculty.

*The term University is used throughout this Program Announcement to refer to a post-secondary regionally accredited college or university.

The OPA RFP will further science and technology through basic and applied research, studies, analysis and evaluations with this research having the potential for both civilian and military applications. It will also enable the university, faculty and student researchers the benefit of learning by using OPA

data. Under the OPA RFP, candidates are sought to conduct collaborative research, applying their knowledge and talent to research areas that are of interest to them and potentially to OPA. Fellows will perform scholarly research at OPA, which should embody research ideas of the individual and which are of interest to OPA. To facilitate the Fellow selection, the Applicant should propose a competitive application process that incorporates OPA evaluation of the research and capability provided. It is important that a research application be consistent and complimentary with OPA facilities and interests, supporting or stimulating OPA basic and applied research programs. The term Fellows refers to faculty, students, or post-doctoral researchers who will perform scholarly research under the OPA Research Fellowship Program cooperative agreement. NOTE: No Fellow under this program will be considered an employee of OPA or the Department of Defense under any circumstance.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The purpose of the Cooperative Agreement (CA) to be awarded as a result of this Funding

Opportunity is to establish and administer the OPA Research Fellowship Program (OPA RFP) to provide OPA with university students, post-doctoral researchers and faculty for the conduct of

collaborative research. The OPA RFP includes six Fellow designations:

Assistant Research Fellow; Associate Research Fellow; Doctoral Research Fellow; Postdoctoral Research Fellow; Senior Research Fellow; and Sabbatical Fellow (as described later). The OPA RFP is expected

to contribute significantly to the overall efforts of OPA and DoD by establishing a mutual-benefitting partnership/relationship which supports development of new research processes and technologies. This CA will be issued under the authority of 32 C.F.R. 21.420 (b) and (c). There is no intent to acquire goods or services to benefit OPA or DoD .

Applicant Eligibility:

To facilitate the Fellow selection, the Applicant should propose a competitive application process that incorporates OPA evaluation of the research and capability provided. It is important that a research application be consistent and complimentary with OPA facilities and interests, supporting or stimulating OPA basic and applied research programs. The term Fellows refers to faculty, students, or post-doctoral researchers who will perform scholarly research under the OPA Research Fellowship Program cooperative agreement. NOTE: No Fellow under this program will be considered an employee of OPA or the Department of Defense under any circumstance.

Beneficiary Eligibility:

- a) Provide appropriately trained and experienced undergraduate and graduate students, Post-doctoral researchers, and faculty for conducting mutually-benefitting research that is compatible with, and contributes to, OPA research, analysis, and studies;
- b) Match faculty expertise to mutually-benefitting research and study needs within OPA;
- c) Facilitate a mentoring relationship between OPA researchers and undergraduate and graduate students and post-doctoral researchers;
- d) Provide a structured approach for OPA researchers to collaborate with university* faculty and graduate students on mutually-benefitting research projects; and
- e) Provide research space (to include laboratory space when applicable) for conducting research to support the OPA program if proposed by faculty.

*The term University is used throughout this Program Announcement to refer to a post-secondary regionally accredited college or university.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

The following represents the evaluation criteria for this PA:

The award decision will be based on an overall evaluation of each applicant's proposal in accordance with the factors set forth below. The four factors are: (A) Plan to Identify Fellows to Perform Research with Technical Merit, (B) Past Performance, (C) Management Plan, and (D) Cost.

Deadlines:

Jul 19, 2017 to Aug 16, 2017 After a proposal is submitted through Grants.gov, the Authorized Organization Representative (AOR) will receive a series of three e-mails. It is extremely important that the AOR watch for and save each of the e-mails. An Applicant will know that the proposal has been properly received when the AOR receives e-mail Number 3. Retain the Submission Receipt Number (e-mail Number 1) to track a submission.

Range of Approval/Disapproval Time:

From 1 to 15 days.  Number 1 The AOR will receive a confirmation page upon completing the submission to Grants.gov. This confirmation page is a record of the time and date stamp for the submission.

 Number 2 The AOR will receive an e-mail indicating that the proposal has been validated by Grants.gov within a few hours of submission. (This means that all of the required fields have been completed.)

 Number 3 The third notice is an acknowledgment of receipt in e-mail from Grants.gov. The email is sent to the AOR for the institution. The e-mail notes that the proposal has been received and provides the assigned tracking number. THE PROPOSAL IS NOT CONSIDERED PROPERLY RECEIVED UNTIL THE AOR RECEIVES E-MAIL #3.

An Applicant is responsible for submitting proposals so as to be received and accepted at the Government site indicated in this Program Announcement no later than the date and time specified above. When sending electronic files, an Applicant shall account for potential delays in file transfer from the originators computer to the Government website/computer server. An Applicant is encouraged to submit their response early to avoid potential file transfer delays due to high demand or problems encountered in the course of submission.

An Applicant should receive confirmation of delivery at the Government site, not just successful relay from the Applicants system. Acceptable evidence to establish the time of receipt at the Government site includes documentary and electronic evidence of receipt maintained by the Government site. All submissions shall be submitted before the deadline identified above in order to be considered no exceptions. Late submissions will not be considered for award.

Appeals:

Grant will be awarded within 15 days - no appeals.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

5 years. Method of awarding/releasing assistance: lump sum.

Reports:

The Recipient shall submit Annual Reports and a Final Report for the CA of all activity under the program. This report will summarize the monthly reports and highlight all activities under the CA.

The report will also provide an accounting of all Federal funds expended during the term of the Agreement. With the approval of the CAM, reprints of published articles may be attached to the Final Report. The Recipient shall also submit Annual Reports for each Fellow summarizing activities, listing publications and papers resulting from their research, ARI Mentor, title of research project(s), and an accounting of all Federal Funds expended during the term of the Fellow.

The Recipient shall make distribution of the Annual Reports and Final Report as follows:

- Cooperative Agreement Manager - 1 original plus 1 copy;
- Agreement Administration Office - 1 copy, and the
- Grants Officer - 1 copy of the letter of transmittal only.
- One (1) copy of the Final Report shall be provided to:
- OPA Director. Annual and Final Reports

Reporting period end dates fall on the end of the federal fiscal year for annual reports (9/30) and the end date of the CA for the final report. Annual reports are due 30 days after the reporting period end date, and the final report is due 90 days after the end Office.

The Recipient shall make distribution of the Annual and Final (SF425) Reports as follows:

- Cooperative Agreement Manager - 1 original plus 1 copy;
- Agreement Administration Office - 1 copy

The SF 425 is a single form that consolidates and replaces the Federal Cash Transaction Report (FACTOR or SF 272/SF 272A) and the Financial Status Report (FSR or SF 269/SF 269A). Periodic joint papers and presentations may be given. Copies of all such papers and presentations shall be provided to the CAM.

c. Journal Articles

Journal articles in general and joint OPA/Recipient/Fellows journal articles are strongly encouraged as a reporting mechanism for the research effort.

Publications resulting from research conducted as part of the OPA RFP shall also be uploaded into the Defense Technical Information Center (DTIC). The report will account for all finances, accrued and disbursed, during the reporting period under the CA. This report will include a summary of hours worked, receipts and disbursements, travel, and other benefits for each of the Fellows. The report will also include and sum administrative costs, with separate reporting for travel for administration of the ORP RFP and research space outside of federal facilities.

Semi-annually, the Recipient will provide a report containing a listing of Fellows added to the program, departing from the program, and continuing in the program as of the report date.

Furthermore, the Recipient will include in this listing or in a separate listing the sum of all costs associated with each of these Fellows in order that the expenses associated with each may be known (e.g. stipends, travel expenses, medical benefits, and all other costs that can be attributable to each Fellow). This report will also include a listing of candidates considered for but not appointed to Fellowship.

The Recipient shall make electronic distribution of the Monthly Performance and Cost Report as follows:

- Cooperative Agreement Manager - 1 copy;
- Agreement Administration Office - 1 copy, and the
- Grants Officer - 1 copy of the letter of transmittal only. Semi-annually, the Recipient will provide a report containing a listing of Fellows added to the program, departing from the program, and continuing in the program as of the report date.

Audits:

Not Applicable.

Records:

Financial Reporting: Federal Financial Report (SF 425): Annual and Final

Reports

Reporting period end dates fall on the end of the federal fiscal year for annual reports (9/30) and the end date of the CA for the final report. Annual reports are due 30 days after the reporting period end date, and the final report is due 90 days after the end Office.

Account Identification:

97-0100-7-7-730 - HQ0804.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$500,000; and FY 18 est \$100,000 - FY2017 O&M, DW.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

97-2017-2017-0100-730.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Lindsey R. Schaefer 4800 Mark Center Dr.
Suite 06E22, Alexandria, Virginia 22350 Email:
lindsey.r.schaefer.civ@mail.mil Phone: 5713722271

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.901 MATHEMATICAL SCIENCES GRANTS

FEDERAL AGENCY:

National Security Agency, Department of Defense

AUTHORIZATION:

National Security Act of 1959, Sections 13 (a) and 10 (a), 50 U.S.C 402.

OBJECTIVES:

1. The NSA grants program seeks to stimulate new and important developments in the field areas of algebra, discrete mathematics, number theory, probability and statistics.
2. The program also seeks to increase the quantity and quality of domestic mathematical talent for the benefit of NSA and the nation.
3. In addition, the program seeks to improve mathematical opportunities to disadvantaged groups within the nation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Summer salary, professional travel, publishing costs, undergraduate support, graduate student support, postdoctoral support, and conference support. No more than two summer months support based upon the type of grant that is awarded. No released time from teaching during the regular academic year.

Applicant Eligibility:

All those receiving support from our grants must be U.S. citizens or permanent residents of the United States. Investigators must be an employee of a U.S. college or university. The principal investigator and all graduate students must be a U.S. citizen or a permanent resident of the U.S..

Beneficiary Eligibility:

This will benefit researchers in the mathematical sciences who are U.S. citizens or permanent residents as well as their students who are U. S. citizens or permanent residents of the U.S..

Credentials/Documentation:

The grant recipient shall certify that individuals meet the eligibility requirements as detailed in the "Who may submit" section of the Submission guidelines. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Principal investigators submit proposals for the support of undirected research, for the support of research conferences or meetings, and for programs of enrichment in specified mathematical areas. Principal investigators submit proposals in accordance with the proposal submission guidelines outlined at <https://www.nsa.gov>.

Award Procedure:

Proposal submissions meeting the criteria of the NSA program are peer reviewed and ranked according to their merit and how well they advance the goals of the program. The reviewing and ranking are performed not only by a committee of experts within the NSA, but also by committees established by the American Mathematical Society. NSA determines which submissions receive support together with the amount of support to be provided and notifies those who have submitted proposals of the final decision regarding their submission. Subsequent to this notification, funds will be provided in a timely manner, contingent upon appropriation and authorization by Congress, to the NSA Grants office, for processing of a Grant Award. **APPLICANTS SHALL NOT MAKE ANY EXPENDITURES PRIOR TO RECEIPT OF A GRANT AWARD DOCUMENT FROM THE NSA GRANTS OFFICER.**

Deadlines:

Sep 01, 2015 to Oct 15, 2015: Each year, proposals are accepted from September 1st through October 15th for awards in the following fiscal year. No submissions received after the October 15th closing date will be accepted.

Range of Approval/Disapproval Time:

Approval/Disapproval time frame ranges generally from 6 to 9 months.

Appeals:

None.

Renewals:

Options for a second year of support may be proposed, and if accepted, grants with these options may be exercised at the sole discretion of the government. In all other situations, only no-cost extensions will be allowed when work is not completed. Funds will remain.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance funds are available for a period of up 12 months, unless an option has been proposed, and accepted for 12 additional months. Conferences, may be funded for a shorter period of time. See the following for information on how assistance is awarded/released: Funds are released upon receipt of an acceptable invoice by the Finance and Accounting Office, in accordance with OMB Circular No. A-110 and the General Provisions for NSA Grants and Cooperative Agreements Attachment provided with each awarded grant.

Reports:

Interim and final reports on the research or effort performed are required. Cash reports are not applicable. Interim reports on the research or effort being performed are required. Final expenditure reports are required detailing how the funds were expended. Details will be found in the General Provisions for NSA Grants and Cooperative Agreements which will be provided with the Grant Award document. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARs).

Account Identification:

97-0400-0-1-051.

Obligations:

(Salaries) FY 16 \$4,139,272; FY 17 est \$2,263,987; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Research Grants:

Range: \$5,000 - \$40,000

Average Award: \$26,000

Conferences:

Range: \$5,000 - \$50,000

Average Award: \$15,000

Research Experiences for Undergraduates:

Range: \$33,000 - \$125,000

Average Award: \$125,000.

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: N/A. Fiscal Year 2017: No Current Data Available Fiscal

Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Information on how to apply for grants is located at:
https://www.nsa.gov/research/math_research/index.shtml

Additionally, a single page of information on the program is also available upon request from the Mathematical Sciences Program, POCs, Dr. Charles H Toll or Ms. Barbara Johnson, who can both be reached at telephone number (443)-634-4304.

Regional or Local Office:

See Regional Agency Offices. Mathematical Sciences Program
Department of Defense
National Security Agency
Attn: R1 (Dr. Charles H. Toll or Ms. Barbara Johnson)
9800 Savage Road
Suite 6844
Fort George G. Meade, MD 20755-6844.

Headquarters Office:

Diane I. Boyer 9800 Savage Road
, Fort George G. Meade, Maryland 20755 Email: diboyer@nsa.gov Phone: 4434797660

Website Address:

<https://www.nsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: Anticipated research areas include: (1) algebra, (2) discrete mathematics, (3) number theory, (4) probability, (5) statistics; and (6) mathematical conferences. Fiscal Year

2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria is dependent upon the type of proposal received. Individual research, conference/meeting, or undergraduate research experience. Primary criteria for individual research are the qualifications of the principal investigator and quality of the research proposed. Cost is considered when deciding upon funding e.g., up to two months of summer salary and /or student support, depending on the research grant type. The government reserves the right to partially fund any successful proposal based upon availability of funds.

12.905 CYBERSECURITY CORE CURRICULUM

CyberSecurity Core Curriculum

FEDERAL AGENCY:

National Security Agency, Department of Defense

AUTHORIZATION:

10 USC 2192(b).

OBJECTIVES:

The Presidents Cybersecurity National Action Plan (CNAP) calls for the development of a Cybersecurity Core Curriculum that will ensure cybersecurity graduates who wish to join the Federal Government have the requisite knowledge and skills.

The execution of this tasking will be through the solicitation of grants to develop specified Cybersecurity Core Curriculum and other educational related tools and assessments that are in alignment with the National Initiative for CyberSecurity Education (NICE) DRAFT National CyberSecurity Workforce Framework (DRAFT NCWF).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants funds must be used for allowable costs necessary to conduct the CyberSecurity Curriculum development and/or educational related tools, assessments, etc. Funds may not be used for any purposes other than those approved in the grant award documents.

Applicant Eligibility:

Must be a U.S. college or University, a public or private school or school system, or a not-for-profit educational institution.

The principal investigator and program staff must be U.S. citizens or permanent residents of the United States. Program eligibility will be determined by NSAs College of Cyber.

Beneficiary Eligibility:

Must be a U.S. college or University, a public or private school or school system, or a not-for-profit educational institution.

The principal investigator and program staff must be U.S. citizens or permanent residents of the United States. Program eligibility will be determined by NSAs College of Cyber.

Credentials/Documentation:

Must be a subject matter expert and/or instructor educator in a CyberSecurity program at an accredited institution or a non-profit CyberSecurity Education institution in the area of which they are providing a proposal. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Institutions submit proposals for the development of CyberSecurity Curriculum development and/or educational related tools, assessments, etc. The reviewing and ranking of the proposals are performed by an evaluation team. NSA determines which submission will receive support together with the amount of support to be

provided and notifies those who have submitted proposal of the final decision regarding their submission. Subsequent to this notification, funds will be provided in a timely manner, contingent upon appropriation and authorization by Congress, to the NSA Grants office for processing of a Grant Award. APPLICANTS SHOULD NOT MAKE ANY EXPENDITURE PRIOR TO RECEIPT OF A GRANT AWARD DOCUMENT SIGNED BY THE NSA GRANTS OFFICE.

Award Procedure:

Procedural steps for awarding assistance will be documented in the call for proposals. Applicants to refer to this document.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance funds are available for a period of up to 12 months. See the following for information on how assistance is awarded/released: Requests for payment and acceptance of those requests are specified in the terms and conditions for each awarded grant.

Reports:

A final performance report will be required 60 days after completion of grant. Further clarification will be identified in the solicitation. No cash reports are required. Every 3 months from date of award. Further clarification will be identified in the solicitation. SF-425 will be due 60 days after completion of grant. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARs).

Account Identification:

97-0100-0-1-051.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$6,396,954; and FY 18 Estimate Not Available(Exp: FY18 funding is uncertain at thi)

Range and Average of Financial Assistance:

Estimated range is \$40,000 to \$1,000,000. This is the first year so no average is available.

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. National Security Agency
Attn: Lisa Houck
9800 Savage Road
Fort George G. Meade, MD 20755-6833
(410) 854-7883.

Headquarters Office:

Donna L. Berry 9800 Savage Road, Fort George G. Meade, Maryland 20755
Email: dlberry1@nsa.gov Phone: 4434794327

Website Address:

<http://www.nsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for proposal selection will be outlined in the Evaluation Criteria of the Grant Solicitation.

The government reserves the right to partially fund any successful proposal based upon availability of funds.

12.910 RESEARCH AND TECHNOLOGY DEVELOPMENT

FEDERAL AGENCY:

Advanced Research Projects Agency, Department of Defense

AUTHORIZATION:

10 USC 2358, and 10 USC 2371.

OBJECTIVES:

To support and stimulate basic research, applied research and advanced research at educational institutions, nonprofit organizations, and commercial firms, which may have military or dual-use application. This support may take the form of grants, cooperative agreements, or technology investment agreements (TIAs).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

No block or formula grants. Only project grants resulting from scientific proposals. Basic research, applied research and advanced research in areas of science and technology which may have military or dual-use application. Projects are expected to advance the state of the art or result in fundamental change in technology. Support for scientific symposia, conferences in relevant technology areas, and consortia which deals with relevant technologies. Programs to encourage careers in science, technology and engineering, and to increase the number of graduates from underrepresented minority groups. Programs assisting laboratory research instrumentation at universities. Programs intended to produce fundamentally different approaches to relevant technologies or establish fundamentally new relationships among the parties engaged in technology development. Potential recipients should note that these funds are awarded on project-by-project basis and are not block grants. The research focus areas are defined in the announcement of potential research needs (See references to Broad Agency Announcements and/or Research Announcements in Application and Award Process).

Applicant Eligibility:

For grants, eligibility is limited to public and private educational institutions and nonprofit organizations operated for purposes in the public interest. For cooperative agreements, eligibility is limited to educational institutions, nonprofit organizations, and commercial firms. Eligibility for TIAs is the same as for cooperative agreements. Individuals are not eligible for these awards.

Beneficiary Eligibility:

Public and private educational institutions. Nonprofit organizations operated for purposes in the public interest and commercial firms.

Credentials/Documentation:

Applicants must not appear on the debarred or suspended list. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible organizations may submit proposals or white papers in response to relevant Broad Agency Announcements or other types of announcements published at www.grants.gov.

Award Procedure:

Award decisions are based upon competitive selection of specific project proposals resulting from a scientific review. Evaluators use the evaluation criteria contained in the relevant Broad Agency Announcement or other type of announcement. Generally, the offeror's proposal is incorporated into the grant award and the offeror agrees to perform the project as described in the proposal, with specific reporting due from the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. A representative range of time required for the proposal to be accepted for award would be 60 to 90 days. Awards on high priority projects generally would take less time.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Renewals generally require reapplication with a new proposal. Extensions can generally be handled under the terms of the original grant, cooperative agreement, or TIA.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. For TIA's, cost-share would be required as applicable.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Since this is a research and technology program, the assistance is generally available for a 3 to 5-year period, as stated in the individual grant, agreement, or TIA. Funding is usually provided incrementally in accordance with a funding schedule contained in the award document. Method of awarding/releasing assistance: lump sum.

Reports:

DARPA assistance award actions typically require periodic status reports. DARPA assistance award actions typically require periodic status reports. DARPA assistance award actions typically require periodic status reports. DARPA assistance award actions typically require periodic status reports. DARPA assistance award actions may include performance monitoring by a Grants Officer's Representative (GOR) or Assistance Officer's Representative (AOR).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F, Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions

of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARs.

Account Identification:

97-0400-0-1-051.

Obligations:

(Project Grants) FY 16 \$235,374,638; FY 17 est \$207,024,175; and FY 18 est \$207,024,175

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Defense Grant and Agreement Regulations (DoDGARs) (as updated through DoD's interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) is applicable to DoD grants, cooperative agreements, and TIAs. The DARPA Brochure, can be obtained from the Headquarters office. This publication lists the points of contact within the various scientific disciplines. For additional information see DARPA's website: <http://www.darpa.mil>.

Regional or Local Office:

None.

Headquarters Office:

Anthony E. Cicala 675 North Randolph Street, Arlington, Virginia 22203
Email: anthony.cicala@darpa.mil Phone: (703)526-2103

Website Address:

<http://www.darpa.mil/cmo>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation criteria will be as specified in the solicitation announcement. Typical evaluation criteria, listed in descending order of relative importance, are as follows: (1) Overall scientific and technical merit; (2) potential contribution and relevance to DARPA mission; (3) offeror's capabilities and related experience; (4) plans and capability to accomplish technology transition; and (5) cost realism.

12.987 UPPER SAN PEDRO PARTNERSHIP SUPPORT

Upper San Pedro Partnership

FEDERAL AGENCY:

Army Contracting Command, Department of Defense

AUTHORIZATION:

Sikes Act.

OBJECTIVES:

To assist in the execution of the Upper San Pedro Partnerships (hereafter referred to as Partnership) long-range planning document, the mission of which is to meet the long-term water needs of the Sierra Vista (SV) Subwatershed by achieving sustainable yield of the regional aquifer: 1) preserve the San Pedro Riparian National Conservation Area (SPRNCA), and 2) ensure the long-term viability of Fort Huachuca.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

1. Water Conservation-Provide water conservation outreach to residents of the SV subwatershed by conducting residential and business on-site visits, workshops, tours, school programs, media outreach, newsletters and email alerts, and information tables.

2. Water Mitigation Project Implementation-Solicit and award projects/contracts for implementing water conservation, reuse, or recharge projects in support of Endangered Species Act compliance in the SV subwatershed of the Upper San Pedro Basin. Projects may include design/construction of rainwater harvesting systems, stormwater recharge systems, installation of water conservation devices, and other measures to reduce groundwater usage or increase aquifer recharge in the SV subwatershed.

3. Water Conservation Business Grant Program-Administer the Partnerships Water Conservation Business Grant Program to include issuing grant funds to those businesses and institutions that are selected by the Partnerships Retail Work Group to receive a water conservation business grant or to those businesses that provide labor and/or materials to implement grant projects.

Applicant Eligibility:

Solicit and award cooperative agreement for implementing water conservation, reuse, or recharge projects in support of Endangered Species Act compliance in the SV subwatershed of the Upper San Pedro Basin. Projects may include design/construction of rainwater harvesting systems, stormwater recharge systems, installation of water conservation devices, and other measures to reduce groundwater usage or increase aquifer recharge in the SV subwatershed. Administer the Partnerships Water Conservation Business Grant Program to include issuing grant funds to those businesses and institutions that are selected by the Partnerships Retail Work Group to receive a water conservation business grant or to those businesses that provide labor and/or materials to implement grant projects.

Beneficiary Eligibility:

This effort supports long-range water conservation for the Sierra Vista subwatershed through the use of the Upper San Pedro Partnership Agreement.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Limited to the Upper San Pedro Partnership for water conservation efforts.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program has no matching requirements. This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

12 month restriction. See the following for information on how assistance is awarded/released: Based on funding availability.

Reports:

No program reports are required. No cash reports are required. Status of project reports are required quarterly. Quarterly expenditure reports are required. Government oversight will be provided by an Assistance Agreements Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Will adhere to 2 CFR 200 Subpart F as applicable.

Records:

All submitted reports need to be retained for at least 12 months after the closeout date.

Account Identification:

21-2017-0-0-131.

Obligations:

(Cooperative Agreements) FY 16 \$50,000; FY 17 est \$100,000; and FY 18 est \$100,000

Range and Average of Financial Assistance:

Average assistance is anticipated at \$100,000. It is dependent on funding availability.

TAFS Codes:

21-2020.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nancy E. Johnson ACC-APG
Huachuca Division
Fort Huachuca DOC Operations
PO Box 12748, Fort Huachuca, Arizona 85670-2748 Email:
Nancy.e.Johnson.civ@mail.mil Phone: 5205331464

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

14.008 TRANSFORMATION INITIATIVE: CHOICE NEIGHBORHOODS DEMONSTRATION SMALL RESEARCH GRANT PROGRAM

FEDERAL AGENCY:

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated and Further Continuing Appropriations Act, 2012. This program is approved by HUDs authority and administered under the Transformation Initiative (TI) account. , Public Law 112-55.

OBJECTIVES:

The purpose of this effort is to develop and implement small scale research projects that build upon the larger Choice Neighborhood Demonstration research project. The goal of this research program is to fund research related to Choice Neighborhoods that complements the work already being funded by HUD through the Choice baseline research project (C-CHI-01127/TO001). Since the Choice baseline research project will focus on implementation grants in Boston, Chicago, and New Orleans, applicants are encouraged to identify research projects in other Choice Neighborhood implementation or planning

grant sites. Research projects in Boston, Chicago, and New Orleans will be eligible but the applicant must demonstrate how the proposed research would complement research already planned through the Choice baseline research project.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Eligible costs include, but are not limited to, the researchers salary; assistants to work on the project; protected release time for researchers who demonstrate the need for a period of intensive research; computer software; purchase of data; transcription services, and compensation for interviews. 1. Funding will only be provided to applicants who meet the standards for eligible applicants. 2. Grant funds awarded under this program may not be used to pay for computer hardware and/or meals. 3. Funds will not be used to pay for activities outside of the scope of the NOFA.

4. Fee or profit will not be paid for work done under this program.
5. Applicants must comply with the Delinquent Federal Debt Requirement.
6. Honorariums cannot be charged against awards under this program.
7. Entities or individuals already involved in HUD-funded Choice Neighborhoods research under Tasks Order C-CH-01127/T001 cannot directly be funded.
8. Travel expenses are not an eligible cost, in order to encourage applications from researchers located in the vicinity of the Choice Neighborhoods sites. Applicants may fund travel from other sources of funding.

Applicant Eligibility:

Since one purpose of this NOFA is to broaden the community of researchers working on Choice Neighborhoods, HUD will not directly fund entities or individuals already involved in HUD-funded Choice Neighborhoods research under Task Order C-CHI-01127/T0001.

Beneficiary Eligibility:

The goal of this research program is to fund research related to Choice Neighborhoods that complements the work already being funded by HUD through the Choice baseline research project (C-CHI-01127/T0001). Since the Choice baseline research project will focus on implementation grants in Boston, Chicago, and New Orleans, applicants are encouraged to identify research projects in other Choice Neighborhood implementation or planning grant sites. Research projects in Boston, Chicago, and New Orleans will be eligible but the applicant must demonstrate how the proposed research would complement research already planned through the Choice baseline research project. 4 There are some substantial challenges in evaluating a program like Choice Neighborhoods. First, it is difficult to establish a convincing counterfactual of what would have happened to the neighborhood and its residents in the absence of the Choice Neighborhoods intervention. Second, because Choice Neighborhoods combines a variety of services and investments related to housing, education, public safety, job training, etc. it is difficult to explain why a particular approach does or doesn't work. Third, neighborhoods are dynamic, the people and businesses that participate in, or rely upon, a neighborhood are constantly changing. The Choice Neighborhoods investment may have benefits that accrue to individuals, businesses, or other entities not captured in the Choice baseline research project, which focuses on the neighborhood and the individuals living there at baseline. Applicants are encouraged to identify research that will overcome these challenges and provide clear evidence of the impact of Choice Neighborhoods.

HUD is using this NOFA rather than a contract (or task order under the existing BPA) for two reasons:

1. To solicit research ideas that are related to Choice Neighborhoods, but that are not already apparent to HUD, and
2. To broaden the community of researchers working on Choice Neighborhoods. Proposals should identify research questions that will help to demonstrate the impacts of Choice Neighborhoods or to help improve the program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. None

Award Procedure:

Applications will be rated, ranked and awards will be made to the highest rated applications. HUD reserves the right to reduce the amount of funding requested in order to fund as many highly ranked applications as possible. Additionally, if funds remain after funding the highest ranked applications, HUD may fund part of the next highest-ranking application. If an applicant turns down the award offer, HUD will make an offer to the next highest-ranking application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

HUD does not intend to fund research that would be duplicative of work being funded through the Choice baseline research project, so applicants are advised to review HUDs RFQ R-CHI-01091, published on February 22, 2011. Specifically, see Section G Attachment 1 Initial Task Order. This is available by email from Paul.A.Joice@hud.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum grant performance period is for 36 months (3 years). Method of awarding/releasing assistance: by letter of credit.

Reports:

Reports must be submitted electronically on a quarterly basis. At the end of the grant performance period, researcher must submit to HUD two copies and a CD-ROM containing an electronic copy of the paper documenting the research finding in its final version, as well as a final report which summarizes the entire work and achievements conducted under this award. Cash reports are not applicable. All applicants that receive grant funds under this program are required to submit quarterly progress reports which describe the progress of the effort and the likelihood that it will be completed on time. Financial reports must be included that reflects the cost incurred by budget line, as well as a cumulative summary of costs incurred during the reporting period. Each grant's performance will be monitored.

Audits:

Not Applicable.

Records:

Records must be kept in accordance with the program's terms and conditions.

Account Identification:

86-0402-0-1-451.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This program is approved by HUDs research authority and administered under the Transformation Initiative (TI) account.

Range and Average of Financial Assistance:

An applicant may request up to \$200,000 for an award, depending on the scope and scale of the proposed research. Awards under this NOFA will be made in the form of Cooperative Agreements. A Cooperative Agreement means that HUD will have substantial involvement during performance of the contemplated research project.

TAFS Codes:

86-0402.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Sandra Susan Brunson 451 7th Street, Room 8230, Washington, District of Columbia 20410 Email: Susan.S.Brunson@hud.gov Phone: 2024023852

Website Address:

No Data Available

RELATED PROGRAMS:

14.005 Choice Neighborhoods Planning Grants ; 14.889 Choice Neighborhoods

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

HUD will use the narrative response to the ―Rating Factors to evaluate, rate, and rank full applications. The narrative statement is the main source of information when rating and ranking applications. Therefore, it is very important that the researcher becomes fully familiar with the rating factors for the program.

14.218 COMMUNITY DEVELOPMENT BLOCK GRANTS/ENTITLEMENT GRANTS

Community Development Block Grant program for Entitlement Communities.

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1974, Title I, Part 24, Section 570, Public Law 93-383, 88 Stat. 633, 42 U.S.C 5301-5321.

OBJECTIVES:

To develop viable urban communities by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for persons of low and moderate income.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Recipient communities may undertake a wide range of community-based activities directed toward neighborhood revitalization, economic development, and community services, facilities, and improvements. Entitlement communities develop their programs and set their funding priorities in conformance with the statutory standards, program regulations, and other federal requirements. Specific activities that can be carried out with Community Development Block Grant (CDBG) funds include acquisition of real property; relocation; clearance and demolition; rehabilitation of residential and nonresidential structures; provision of public facilities and improvements, such as water and sewer facilities (which require reviews by the State single point of contact or a Regional Planning Agency in accordance with Executive Order 12372), streets, and neighborhood centers. Within program limitations, CDBG funds can also pay for public services. Recipients may provide assistance to microenterprises or other for-profit entities when the recipient determines that such assistance is appropriate to carry out an economic development project. Community-based development organizations may carry out neighborhood revitalization, community economic development, or energy conservation activities. Each CDBG eligible activity must meet one of three national objectives: benefit low- and moderate-income persons, aid in the prevention or elimination of slums or blight, or meet other community development needs having a particular urgency that the grantee is unable to finance on its own. Recipients may only carry out eligible activities as listed in

24 CFR 570.201-207 or the statute.

Applicant Eligibility:

Recipients are states; cities in Metropolitan Areas designated by OMB as a central city of the Metropolitan Area; other cities over 50,000 in Metropolitan Areas; and qualified urban counties of at least 200,000 (excluding the population in entitlement cities located within the boundaries of such counties) are eligible to receive CDBG entitlement grants determined by a statutory formula.

Beneficiary Eligibility:

The principal beneficiaries of CDBG funds are low- and moderate-income persons (generally defined as a member of a family having an income equal to or less than the Section 8 low income limit established by HUD). The recipient must certify that at least 70 percent of the grant funds received during a 1, 2, or 3-year period, that it designates, are expended for activities that will principally benefit low- and moderate-income persons.

Credentials/Documentation:

Recipients must certify they will meet program requirements and applicable federal requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Executive Order 12372 is applicable only where a recipient proposes to use funds for the planning or construction (reconstruction or installation) of water or sewer facilities. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Recipients submit a 5-year Consolidated Plan, an annual action plan, SF Form 424, and certifications to HUD. The Consolidated Plan and annual action plan cover four major formula-distribution HUD Community development programs, including CDBG. The annual action plan must include the local community development objectives and show the proposed use of the funds. If the grantee makes a complete submission within the established deadlines, the Department will make a grant award unless a determination is made by HUD that the grantee's performance is unsatisfactory. HUD will approve the submission generally within 45 days of receipt of the annual action plan and required certifications unless a determination has been made that the grantee has failed to carry out its CDBG program in a timely manner or has failed to conform to the requirements of the statute or other applicable laws. Under such circumstances, HUD may take appropriate actions, including a reduction in the amount of the original formula-allocated grant.

Award Procedure:

None.

Deadlines:

Nov 15, 2015 to Aug 15, 2016: See below. For formula grants, action plans associated with the Consolidated Plan must be submitted based on the grantee's program year, but no earlier than November 15 or no later than August 15 of the fiscal year for which the funds are allocated.

Range of Approval/Disapproval Time:

From 60 to 90 days. Generally within 45 days.

Appeals:

Administrative appeals process followed if entitlement grant funds are withheld or reduced, or repayment proposed for non-compliance or non-performance.

Renewals:

From 15 to 30 days. Every 3 to 5 years, localities submit a new Consolidated Plan. Each year in between, localities submit an annual action plan and program certifications.

Formula and Matching Requirements:

Statutory Formula: Title 24, Part 570.

This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Program of assistance is for an annual activities, but activities generally may be continued beyond one year until they are deemed completed. Method of awarding/releasing assistance: by letter of credit.

Reports:

The Consolidated Annual Performance and Evaluation Report (CAPER). The CAPER reports the recipient's program accomplishments for each program year. Standard Form 272. The recipient's efficient expenditure of grant funds is evaluated annually (through information provided in the IDIS (see below)) 60-days prior to the end of its program year. Through the program management's information system, known as the Inter-Disbursement and Information System (IDIS). Monitoring is "risk-assessment based" with high-risked grantees receiving priority status for monitoring and review.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The auditee may elect to have a program-specific audit conducted under certain limited circumstances.

Records:

The recipient must maintain records with regard to eligibility, national objectives, financial management, citizen participation, relocation, other resources, acquisition, housing assistance to dwelling units and households, equal opportunity, environmental impact, labor standards and other requirements set forth in the program regulations. Records shall be retained for a period of four years after submission of the report in which the activity is reported as completed, except as otherwise prescribed in the regulations.

Account Identification:

86-0162-0-1-451.

Obligations:

(Formula Grants) FY 16 \$3,060,000; FY 17 est \$3,060,000; and FY 18 est \$3,060,000 - Allocations made to entitled metropolitan cities and urban counties.

Range and Average of Financial Assistance:

From low of \$72,231 to a high of \$178,008,585 for New York City; average grant \$2,956,494.

TAFS Codes:

86-0162.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Regulations for Community Development Block Grants, 24 CFR 570.

Regional or Local Office:

None. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Otis D.Collins, 451 7th Street S.W. Room 7282
, Washington,, District of Columbia 20410 Email: otis.d.collins@hud.gov
Phone: 202-402-3416 Fax: 202-401-2044

Website Address:

<http://www.hud.gov/offices/cpd/index.cfm>.

RELATED PROGRAMS:

14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.225 COMMUNITY DEVELOPMENT BLOCK GRANTS/SPECIAL PURPOSE GRANTS/INSULAR AREAS

Insular CDBG

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1974, as amended, Public Law 93-383, 42 U.S.C 5306(a)(2).

OBJECTIVES:

To provide community development assistance to the Pacific Islands of American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands in the Caribbean, by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for persons of low- and moderate-income. Each activity funded must meet one of the program's National Objectives by: Benefiting low- and moderate-income families; aiding in the prevention or elimination of slums or blight; or meeting other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Activities must be eligible under 24 CFR 570 Subpart C.

Applicant Eligibility:

The Island Governments of American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands.

Beneficiary Eligibility:

The principal beneficiaries of CDBG funds are low and moderate income persons. Low and moderate income is generally defined as 80 percent of the median income for the territory, as adjusted by family size.

Credentials/Documentation:

Grantees must certify that they will comply with program requirements as specified in 24 CFR Part 91. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR Part 200 applies to this program. Each Insular Area shall submit a consolidated plan or an abbreviated consolidated plan to HUD no later than 45 days before the start of its program year.

Award Procedure:

The Honolulu Field Office and the HUD San Juan Field Office are responsible for reviewing each consolidated plan or abbreviated consolidated plan and approving them if the submissions are in accordance with 24 CFR 91 and the certifications are acceptable to HUD.

Deadlines:

Nov 16, 2015 to Aug 17, 2016 Applicants must submit final statements no later than 45 days before the start of its program year.

Range of Approval/Disapproval Time:

45 days.

Appeals:

The procedure is described in regulations (24 CFR Part 570 Subpart O).

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available until project completion. Method of awarding/releasing assistance: by letter of credit.

Reports:

Financial management and annual performance reports as required by the Secretary. SF-425 Federal Cash Transactions Report. Annual Performance Report details the territory's performance in completing activities undertaken during the program year. Disbursement reports are a standard feature of the Integrated Disbursement and Information System used to access the grantee's line of credit. Program performance monitoring is conducted by field office staff based on risk analysis factors.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records applicable to the assistance project must be kept for three years following the submission of the final expenditure report or until all audit findings have been resolved.

Account Identification:

86-0162-0-1-451.

Obligations:

(Project Grants) FY 16 \$7,000,000; FY 17 est \$7,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Funds allocated in FY 2017: American Samoa \$1,016,081; Guam \$3,051,244; Northern Marianas \$1,002,451; Virgin Islands \$1,930,224.

TAFS Codes:

86-0162.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 570, 24 CFR Part 91, 24 CFR Part 58

Regional or Local Office:

See Regional Agency Offices. Honolulu Field Office and the HUD San Juan Field Office as listed in Appendix IV of the print edition of this Catalog. Or on the Internet, see: <https://www.hudexchange.info/cdbg-insular-areas/>.

Headquarters Office:

James E. Hoemann 451 7th St. SW, Room 7184, Washington, District of Columbia 20410 Email: James.E.Hoemann@hud.gov Phone: 2024025716

Website Address:

<https://www.hudexchange.info/cdbg-insular-areas/>

RELATED PROGRAMS:

14.218 Community Development Block Grants/Entitlement Grants; 14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii; 15.875 Economic, Social, and Political Development of the Territories

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.228 COMMUNITY DEVELOPMENT BLOCK GRANTS/STATE'S PROGRAM AND NON-ENTITLEMENT GRANTS IN HAWAII

State CDBG

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1974, Title I, Section 101-122, Public Law 93-383, 88 Stat. 633, 42 U.S.C 5301-5322.

OBJECTIVES:

The primary objective of this program is the development of viable urban communities by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for persons of low- and moderate-income. Each activity funded must meet one of the program's National Objectives by: Benefiting low- and moderate-income families; aiding in the prevention or elimination of slums or blight; or meeting other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Thirty percent of funds available for distribution under each annual appropriation for the Community Development Block Grant (CDBG) program is allocated among the States and Puerto Rico (which is considered to be a state for the State CDBG program) for use in areas which are not a metropolitan city or part of an urban county (nonentitlement areas). All States except Hawaii have elected to administer these CDBG funds for areas that do not receive CDBG entitlement grants (14.218). HUD awards the formula grants to these States under the CDBG/State Program. In Hawaii, HUD awards the state's share of these funds to the three non-entitlement counties by formula. States must distribute CDBG funds to units of general local government (counties, towns, etc.) in nonentitlement areas. States develop their own programs and funding priorities. Units of general local government then carry out community development activities funded by the State. Eligible activities are directed toward neighborhood revitalization, economic development, or provision of improved community facilities and services. Specific activities that can be carried out with block grant funds include: Acquisition, rehabilitation or construction of certain public works facilities and improvements, such as streets, water and sewer facilities, neighborhood centers, recreation facilities, and other public works; demolition and clearance; rehabilitation of public and private buildings including housing; code enforcement; relocation payments and assistance; economic development; planning activities; certain public services with some restrictions; and administrative expenses. The projected use of funds must be developed to ensure that all activities will meet one of the three national objectives described under "OBJECTIVES". Communities receiving CDBG funds from a state may select subgrantees to carry out approved projects. Such subgrantees may include: Neighborhood-based nonprofit organizations; local development corporations; Small Business Investment Companies; or other nonprofit organizations serving the development needs of nonentitlement areas. Grant recipients may provide assistance to for-profit entities when the recipient determines that the provision of such assistance is appropriate to carry out an economic development project. Communities are restricted from constructing or rehabilitating public facilities for the general conduct of government and from making housing allowances or other income maintenance-type payments. Each State may use a limited portion of its grant, subject to a matching requirement, to administer the program. Each State may also use a limited portion of its grant, with no matching requirement, to provide technical assistance to local governments and nonprofit program recipients. At least seventy percent of the funds received must benefit low and moderate income persons.

Applicant Eligibility:

Forty-nine State governments and the Commonwealth of Puerto Rico receive funds from HUD under this program. The state of Hawaii does not participate and HUD allocates the state's share of funds to the three Hawaii non-entitled counties. Funds are allocated to each state based on a statutory formula. States

must distribute the funds to units of general local government in nonentitlement areas.

Beneficiary Eligibility:

The principal beneficiaries of CDBG funds are low- and moderate- income persons. For non-metropolitan areas, low- and moderate- income is generally defined as 80 percent of the median income for non-metropolitan areas of the State or of the county, whichever is higher, adjusted for family size. For eligible localities in metropolitan areas, low- and moderate-income is generally defined as 80 percent of the median income for the metropolitan area, adjusted for family size.

Credentials/Documentation:

Grantees must certify that they will comply with program requirements specified in 24 CFR Part 91. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR Part 200 applies as implemented to the non-entitled grants in Hawaii. The State program is excluded from coverage of some subparts of 2 CFR Part 200, however, the provisions set-forth in the fiscal requirements contained in 24 CFR 570.489 does apply some of the elements of 2 CFR Part 200. Each state and non-entitled Hawaii county must submit a Consolidated Plan, an annual action plan and certifications to HUD. The Consolidated Plan and annual action plan cover four major formula-distribution HUD community development programs, including CDBG. The annual action plan must contain the method by which the State will distribute its CDBG funds.

Award Procedure:

HUD will provide funds after the State or non-entitled Hawaii county submits its Consolidated Plan, annual action plan and Certifications.

Deadlines:

Nov 16, 2015 to Aug 17, 2016 Consolidated Plans may be submitted between November 15 and August 16 of each fiscal year in which the grantee will administer funds.

Range of Approval/Disapproval Time:

HUD will review plan submissions for completeness and consistency with the purposes of the Act, within 45 days of receipt from a grantee.

Appeals:

The procedure is described in regulations (24 CFR Part 570 Subpart I for states and 24 CFR Part 570 Subpart O for non-entitlement counties in Hawaii).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 24, Chapter V, Part 570, Subpart A, Public Law 93-383.

Matching Requirements: For state administration of the program, after an initial allowance of \$100,000 with no match, states may take an additional allowance of up to 3% of the grant amount but must match such expenditures on a dollar for dollar basis. There is no matching requirement for the non-entitlement counties in Hawaii.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is provided to States and non-entitlement counties in Hawaii on an annual basis. Individual States may impose time limitations on the implementation of grants to recipients. See the following for information on how assistance is awarded/released: Grant agreement.

Reports:

Annual performance report covering all programs included in the Consolidated Plan as prescribed at 24 CFR 91. For Hawaii counties, SF-425 Federal Cash Transactions Report is required. Annual Performance and Evaluation Report

details the state's performance in completing activities undertaken with each allocation of funds. Disbursement reports are a standard feature of the Integrated Disbursement and Information System used to access the grantee's line of credit. Program performance monitoring is conducted by field office staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. 2 CFR Part 200, Subpart F

Records:

Records must demonstrate that the State or non-entitlement county in Hawaii has met the requirements of Title I of the Act.

Account Identification:

86-0162-0-1-451.

Obligations:

(Formula Grants) FY 16 \$897,900; FY 17 est \$897,900; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

State grant amounts are determined by formula. Of the 50 grants allocated to states under the State CDBG program in FY 2016, the average (mean) grant amount is \$17,860,874. Grant amounts range from \$2,037,326 to \$60,199,998. These totals do not include Hawaii's allocation awarded to its 3 non-entitled counties. Hawaii's share of the 2016 allocation is \$4,919,194.

TAFS Codes:

86-0162.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 570, 24 CFR Part 91, 24 CFR Part 58.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate HUD Field Office listed in Appendix IV of the print edition of this Catalog. Or on the Internet, see: <http://www.hud.gov/local/index.cfm>. Contact the Honolulu Field Office for the non-entitled Hawaii counties.

Headquarters Office:

James E. Hoemann 451 7th St. SW, Room 7184, Washington, District of Columbia 20410 Email: James.E.Hoemann@hud.gov Phone: 2024025716

Website Address:

<https://www.hudexchange.info/cdbg-state/>

RELATED PROGRAMS:

14.218 Community Development Block Grants/Entitlement Grants; 14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.231 EMERGENCY SOLUTIONS GRANT PROGRAM

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act of 1987, Title IV, as amended, 42 U.S.C. 11371-78.

OBJECTIVES:

The ESG program provides funding to: (1) engage homeless individuals and families living on the street; (2) improve the number and quality of emergency

shelters for homeless individuals and families; (3) help operate these shelters; (4) provide essential services to shelter residents, (5) rapidly re-house homeless individuals and families, and (6) prevent families and individuals from becoming homeless.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

ESG funds may be used for five program components: street outreach, emergency shelter, homelessness prevention, rapid re-housing assistance, and HMIS; as well as administrative activities (up to 7.5% of a recipient's allocation can be used for administrative activities). For specific uses and use restrictions, see 24 CFR part 576, subpart B.

Applicant Eligibility:

Eligible recipients generally consist of metropolitan cities, urban counties, territories, and states, as defined in 24 CFR 576.2. Metropolitan cities, urban counties and territories may subgrant ESG funds to private nonprofit organizations. Local governments may also subgrant ESG funds to public housing agencies or local redevelopment authorities. States must subgrant all of their ESG funds (except for funds for administrative costs and, under certain conditions, HMIS costs) to units of general purpose local government and/or private nonprofit organizations. Each recipient must consult with the Continuum(s) of Care operating within the jurisdiction in determining how to allocate ESG funds.

Beneficiary Eligibility:

The minimum eligibility criteria for ESG beneficiaries are as follows: For essential services related to street outreach, beneficiaries must meet the criteria under paragraph (1)(i) of the homeless definition under 576.2. For emergency shelter, beneficiaries must meet the homeless definition in 24 CFR 576.2. For essential services related to emergency shelter, beneficiaries must be homeless and staying in an emergency shelter (which could include a day shelter). For homelessness prevention assistance, beneficiaries must meet the requirements described in 24 CFR 576.103. For rapid re-housing assistance, beneficiaries must meet requirements described in 24 CFR 576.104. Further eligibility criteria may be established at the local level in accordance with 24 CFR 576.400(e).

Credentials/Documentation:

Recipients must certify they will meet program requirements and applicable federal requirements. Government recipients and subrecipients must comply with 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In addition to meeting the application submission requirements in 24 CFR part 5, subpart K, each State, urban county, or metropolitan city must submit a consolidated plan, an annual action plan, and certifications to HUD, in accordance with the requirements in 24 CFR part 91, and each territory must submit a consolidated plan, an annual action plan, and certifications to HUD, in accordance with the requirements that apply to local governments under 24 CFR part 91. The consolidated plan and annual action plan cover four major HUD formula programs, including ESG. The annual action plan must describe how the funds will be used. The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR Part 200, apply.

Award Procedure:

The HUD field office reviews the grantee's Consolidated Plan and, upon plan approval, notifies the applicant of the award and prepares the grant agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Each jurisdiction should submit its consolidated plan to HUD at least 45 days before the start of its program year, unless affected by Notice CPD-16-01: Guidance on Submitting Consolidated Plans and Annual Action Plans for FY 2016. In no event will HUD accept a submission earlier than November 15 or later than August 16 of the federal fiscal year for which the grant funds are appropriated.

The plan will be deemed approved 45 days after HUD receives the plan, unless before that date HUD has notified the jurisdiction that the plan is disapproved. If the plan is disapproved, the jurisdiction may revise or resubmit a plan within 45 days after the first notification of disapproval. HUD must respond to approve or disapprove the plan within 30 days of receiving the revisions or resubmission.

Appeals:

Formula allocations may not be appealed. Disapproved plans may be resubmitted in accordance with 24 CFR 91.500.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: The formula for this program is part statutory and part regulatory (see section 414 of the McKinney-Vento Homeless Assistance Act and 24 CFR 576.3). HUD will set aside for allocation to the territories up to 0.2 percent, but not less than 0.1 percent, of the total amount of each appropriation in any fiscal year. The remainder will be allocated to States, metropolitan cities, and urban counties. The percentage allocated to each State, metropolitan city, and urban county will be equal to the percentage of the total amount available under section 106 of the Housing and Community Development Act of 1974 for the prior fiscal year that was allocated to the State, metropolitan city or urban county. If an allocation to a metropolitan city or urban county would be less than 0.05 percent of the total fiscal year appropriation for ESG, the amount is added to the allocation of the State in which the city or county is located. If the recipient or subrecipient is a unit of general purpose local government, its ESG funds cannot be used to replace funds the local government provided for street outreach and emergency shelter services during the immediately preceding 12-month period, unless HUD determines that the unit of general purpose local government is in a severe financial deficit in accordance with 24 CFR 576.101(c).

Matching Requirements: Metropolitan city and urban county recipients must match grant funds with an equal amount of funds from cash or the following in-kind sources: services contributed by volunteers, the donation of materials and buildings, or the value of any lease on a building. States must match all but \$100,000 of their awards, but must pass on the benefit of that \$100,000 exception to their sub-recipients that are least capable of providing matching amounts. Territories are exempt from the match requirement. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Metropolitan cities, urban counties and territories must obligate all funds, except for the amount for administrative costs, within 180 days after HUD signs the grant agreement. States must obligate all funds, except the amount for administrative costs, to subrecipients within 60 days after the date that HUD signs the grant agreement. Each subrecipient that is a unit of general purpose local government must obligate its grant funds within 120 days after the date the State obligates its funds. All grant funds must be expended within 24 months after HUD signs the grant agreement with the recipient. Method of awarding/releasing assistance: by letter of credit.

Reports:

Each recipient must report its program accomplishments for each program year in the Consolidated Annual Performance and Evaluation Report (CAPER). Each recipient's efficient expenditure of grant funds is evaluated through information provided in the program management's information system, known as the Integrated Disbursement and Information System (IDIS). Each recipient must also comply with the reporting requirements under the Federal Funding Accountability and Transparency Act of 2006, (31 U.S.C. 6101 note), which are set forth in appendix A to 2 CFR part 170. Monitoring is "risk-assessment based" with high-risked grantees receiving priority status for monitoring and review. The performance report shall be submitted to HUD within 90 days after

the close of the jurisdiction's program year. Recipients draw down ESG funds from the Integrated Disbursement and Information System (IDIS). This is HUD's primary method of capturing financial and expenditure data from the recipients. Progress reports are not applicable. Through the CAPER and IDIS draw down information, recipients provide reports on expenditures. In accordance with 2 CFR Part 200, recipients are required to monitor their subrecipients for compliance with the ESG requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Sufficient records must be established and maintained to enable the recipient and HUD to determine whether ESG requirements are being met. All records pertaining to each fiscal year of ESG funds must be retained for the greater of 5 years or the period specified under 24 CFR 576.500(y).

Account Identification:

86-0192-0-1-604.

Obligations:

(Formula Grants) FY 16 \$270,000,000; FY 17 est \$310,000,000; and FY 18 est \$270,000,000

Range and Average of Financial Assistance:

The .05 percent minimum entitlement allocation resulted in \$135,000 minimum grant in FY2017. The maximum entitlement allocation was \$13,542,650.

TAFS Codes:

86-0192.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The ESG Program was allocated \$270,000,000 in the fiscal year 2016. Fiscal Year 2017: The ESG Program was allocated \$310,000,000 in the fiscal year 2017. Fiscal Year 2018: The estimated budget for the ESG grants is \$270,000,000 in the fiscal year 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program regulations can be found at 24 CFR Part 576. Guidance on the program can be found at www.hudexchange.info/esg.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Karen M. DeBlasio; U.S. Department of Housing and Urban Development; Community Planning and Development; Office of Special Needs Assistance Programs, 451 7th Street, SW, Room 7260., Washington, District of Columbia 20410 Email: Karen.M.DeBlasio@hud.gov Phone: (202) 402-4773

Website Address:

<http://www.hudexchange.info/esg>

RELATED PROGRAMS:

14.241 Housing Opportunities for Persons with AIDS; 97.024 Emergency Food and Shelter National Board Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposed ESG projects are part of the prospective grantee's Consolidated Plan, which is reviewed according to criteria set forth in 24 CFR 91.

14.235 SUPPORTIVE HOUSING PROGRAM

(Transitional Housing; Permanent Housing for Homeless Persons with Disabilities; Innovative Supportive Housing; Supportive Services for Homeless Persons not in Conjunction with Supportive Housing; Safe Havens; and HMIS)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act of 1987, Title IV, Subtitle C, as amended.

OBJECTIVES:

The Supportive Housing Program is designed to promote the development of supportive housing and supportive services to assist homeless individuals and families in the transition from homelessness and to enable them to live as independently as possible. Program funds may be used to provide: (i) transitional housing within a 24-month period as well as up to six months of follow-up services to former residents to assist their adjustment to independent living; (ii) permanent housing provided in conjunction with appropriate supportive services designed to maximize the ability of persons with disabilities to live as independently as possible; (iii) supportive housing that is, or is part of, a particularly innovative project for, or alternative method of, meeting the immediate and long-term needs of homeless individuals and families; (iv) supportive services for homeless individuals not provided in conjunction with supportive housing; (v) safe havens for homeless individuals with serious mental illness currently residing on the streets who may not yet be ready for supportive services; and (vi) Homeless Management Information Systems (HMIS) for developing management information systems to maintain data on beds, units and homeless individuals.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eight types of assistance may be provided for Supportive Housing: acquisition of structures for use as supportive housing or in providing supportive services; rehabilitation of structures for use as supportive housing or in providing supportive services; new construction of buildings for use as supportive housing under limited circumstances; leasing of structures for use as supportive housing or in providing supportive services; operating costs of supportive housing; costs of providing supportive services to homeless persons; costs of implementing and operating HMIS; and administrative costs not to exceed five percent of the SHP grant.

Applicant Eligibility:

States, local governments, other governmental entities, private nonprofit organizations, and community mental health associations that are public nonprofit organizations.

Beneficiary Eligibility:

Homeless individuals and families with children.

Credentials/Documentation:

Private nonprofit status is documented by submitting either (1) a copy of the Internal Revenue Service (IRS) ruling providing tax-exempt status under Section 501(c)(3) of the IRS Code; or (2) documentation showing that the entity is a certified United Way agency; or (3) a certification from a licensed CPA that no part of the net earnings of the organization inures to the benefit of any member, founder, contributor, or individual; that the organization has a voluntary board; that the organization practices nondiscrimination in the provision of assistance and that the organization has a functioning accounting system. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. One application package prescribing the specific information that applicants must submit is available. The standard application forms as furnished by HUD must be used for this program. Any proposed project under this program must be consistent with the applicable HUD-approved Consolidated Plan and must be permissible under applicable zoning ordinances and regulations.

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements, and prepares a Grant Agreement for those applications selected.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Processing of applications will take place in HUD Field Offices and at HUD Headquarters, and selection of successful applicants will take place at HUD Headquarters. HUD will make its final selections and obligate funds as soon as the applications can be processed.

Appeals:

Applicants may appeal funding decision if they believe a HUD error has occurred.

Renewals:

Information on renewals is contained in the Notice of Funding Availability and the application.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Recipients must match grants for acquisition, rehabilitation, and new construction, with an equal amount of funds from other sources. Recipients must also provide a cash match for support services and operations. The Supportive Housing Program will pay no more than 80 percent of total support service costs, 75 percent of total operating costs or 80 percent of total HMIS costs. Recipients have the responsibility to ensure that the funds that the recipient uses to satisfy HUD's match requirements are not prohibited to be used for this purpose under any statute that may govern the matching funds. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may be made available for up to 3 years for leasing, operating, supportive services and HMIS costs. Method of awarding/releasing assistance: by letter of credit.

Reports:

Each recipient must keep any records and make any reports as specified by HUD within the timeframe required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The financial management systems used by governmental entities that are recipients under this program must provide for audits in accordance with 24 CFR 45 as applicable. Private nonprofit entity recipients are subject to the audit requirements of 24 CFR 44 as applicable. Both 24 CFR Part 44 and 45 stipulate that grant recipients and their subgrantees provide audits in accordance with OMB Circular No. A-133. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must agree to participate in an evaluation of the program, and to keep any records and make any reports that HUD may require for the purpose of reporting to Congress on the cost of the program and the social, financial, and other advantages of supportive housing components as a means of assisting homeless persons.

Account Identification:

86-0192-0-1-604.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

HUD imposes the following limitations: (1) Acquisition and/or rehabilitation construction grants for the Supportive Housing Program may not exceed 200,000 (up to \$400,000 in high cost areas); new construction may not exceed

\$400,000; (2) funding of up to 75 percent for operating costs; (3) funding of up to 80 percent for supportive services and HMIS costs; and (4) the program provides grants for leasing costs for up to 3 years.

TAFS Codes:

86-0192.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 583 published in the Federal Register. Other program information is available at www.hudexchange.info/shp.

Regional or Local Office:

See Regional Agency Offices. Designated contact person for the Supportive Housing Program in HUD Field Offices with a Community Planning and Development Division.

Headquarters Office:

Lisa A. Hill; U.S. Department of Housing and Urban Development; Community Planning and Development; Office of Special Needs Assistance Programs, 451 7th Street, SW. Room 7256, Washington, District of Columbia 20410 Email: Lisa.A.Hill@hud.gov Phone: (202) 402-5183

Website Address:

<http://www.hudexchange.info/shp>

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program; 14.238 Shelter Plus Care; 14.241 Housing Opportunities for Persons with AIDS; 14.249 Section 8 Moderate Rehabilitation Single Room Occupancy; 14.267 Continuum of Care Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act amended and reauthorize the McKinney-Vento Homeless Assistance Act into the Continuum of Care Program. Fiscal Year 2011 was the last funding year under the Supportive Housing Program. Projects will continue to operate under the NOFA they were awarded under until they are renewed under the Continuum of Care Program. The resource for this program is still relevant.

14.238 SHELTER PLUS CARE

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Subtitle F of Title IV of the McKinney-Vento Homeless Assistance Act of 1987 as amended, 42 U.S.C.11403.

OBJECTIVES:

The Shelter Plus Care Program provides rental assistance, in connection with supportive services funded from sources other than this program, to homeless persons with disabilities (primarily persons who are seriously mentally ill; have chronic problems with alcohol, drugs, or both, or have acquired immunodeficiency syndrome and related diseases) and their families. The program provides assistance through four components: (1) Tenant-based Rental Assistance (TRA); (2) Sponsor-based Rental Assistance (SRA); (3) Project-based Rental Assistance (PRA); (4) and Single Room Occupancy for Homeless Individuals (SRO).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Homeless persons with disabilities and their families. Homeless persons with disabilities.

Applicant Eligibility:

An eligible applicant is a State, unit of general local government, or public housing agency (PHA).

Beneficiary Eligibility:

Homeless persons with disabilities and their families. Except in single room occupancy dwellings that are only for homeless individuals with disabilities.

Credentials/Documentation:

Governmental entities and public housing agencies will certify to their eligibility. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Any site that would have a significant adverse impact on the human environment will not be eligible for funding. All components of this program are excluded from coverage under E.O. 12372. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. An electronic application package prescribing the specific information that applicants must submit through the local Continuum of Care (CoC) is available from HUD through the annual CoC Notice of Fund Availability, found at <https://www.onecpd.info/spc>. The standard electronic application form as furnished by HUD must be used for this program. Any proposed project under this program must be consistent with the applicable HUD-approved Consolidated Plan.

The Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act amended and reauthorized the McKinney-Vento Homeless Assistance Act into the Continuum of Care Program. Fiscal Year 2011 was the last funding year under the Supportive Housing Program. Projects will continue to operate under the NOFA they were awarded under until they are renewed under the Continuum of Care Program. The resource for this program is still relevant

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements, and makes conditional grant awards to those applications most highly ranked, in accordance with the Notice of Fund Availability (NOFA).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Processing of applications and selection of successful applicants will take place at HUD field offices and Headquarters. HUD Headquarters will make selection and obligate funds as soon as the applications can be processed.

Appeals:

Applicants may appeal the results of HUD's review and selection process if they believe a HUD error has occurred. Appeals must be in writing to the Assistant Secretary for Community Planning and Development and must state what HUD error the applicant believes occurred.

Renewals:

One year funding for projects eligible for renewal as contained in the Notice of Fund Availability and application.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Recipients must match the rental assistance by supportive services that are equal in value to the aggregate amount of rental assistance and appropriate to the needs of the population to be served. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

HUD will provide rental assistance under the TRA and SRA components for a five year period. Rental assistance under the SRO component will be for a ten-year period. If units are rehabilitated, and meet the standards required, assistance under the PRA component will be for a ten-year period; otherwise it will be for a five-year period. See the following for information on how

assistance is awarded/released: HUD award notification letter.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The financial management systems used by governmental entities that are recipients under this program must provide for audits as stipulated in 24 CFR 45. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must keep any records and make any reports that HUD may require.

Account Identification:

86-0192-0-1-604.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-0192.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 582 Shelter Plus Care Program Final Rule.

Regional or Local Office:

See Regional Agency Offices. Designated contact person or monitor for the Shelter Plus Care Program in HUD Field Offices with a Community Planning and Development Division.

Headquarters Office:

Brian P. Fitzmaurice; U.S. Department of Housing and Urban Development, Community Planning and Development, Office of Special Needs Assistance Programs, 451 7th Street, SW, Room 7262, Washington, District of Columbia 20410 Email: Brian.P.Fitzmaurice@hud.gov Phone: 202-402-4080

Website Address:

<https://www.hudexchange.info/spc>

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program; 14.235 Supportive Housing Program; 14.241 Housing Opportunities for Persons with AIDS; 14.267 Continuum of Care Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act amended and reauthorize the McKinney-Vento Homeless Assistance Act into the Continuum of Care Program. Fiscal Year 2011 was the last funding year under the Supportive Housing Program. Projects will continue to operate under the NOFA they were awarded under until they are renewed under the Continuum of Care Program. The resource for this program is still relevant.

14.239 HOME INVESTMENT PARTNERSHIPS PROGRAM

(HOME Program)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

National Affordable Housing Act 1990, Title II.

OBJECTIVES:

To expand the supply of affordable housing, particularly rental housing, for low and very low income Americans; to strengthen the abilities of State and local governments to design and implement strategies for achieving adequate supplies of decent, affordable housing; and to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and nonprofit organizations, in the production and operation of affordable housing.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

For use by participating jurisdictions or Insular Areas for housing rehabilitation, tenant-based rental assistance, assistance to homebuyers, acquisition of housing and new construction of housing. Funding may also be used for other necessary and reasonable activities related to the development of non-luxury housing, such as site acquisition, site improvements, demolition and relocation. Ten percent of a participating jurisdiction's allocation may be used for administrative costs. Funds may not be used for public housing modernization, matching funds for other Federal programs, reserve accounts or operating subsidies for rental housing, Annual Contributions Contracts, or activities under the Low Income Housing Preservation Act except for priority purchasers.

Applicant Eligibility:

States, cities, urban counties, and consortia (of contiguous units of general local governments with a binding agreement) are eligible to receive formula allocations; funds are also set aside for grants to Insular Areas.

Beneficiary Eligibility:

For rental housing, at least 90 percent of HOME funds must benefit low and very low income families at 60 percent of the area median income; the remaining ten percent must benefit families below 80 percent of the area median. Assistance to homeowners and homebuyers must be to families below 80 percent of the area median.

Credentials/Documentation:

Receipts must certify they will meet program requirements and applicable federal requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit a Consolidated Plan, an annual action plan and certifications to HUD. The Consolidated Plan and annual action plan cover four major formula-distribution HUD community development programs, including HOME. The annual action plan must describe how the funds will be used.

Award Procedure:

The responsible HUD Field Office notifies grantees of the formula awards. HUD prepares the grant agreement for formula applicants.

Deadlines:

Nov 15, 2016 to Aug 16, 2017 Action plans should be submitted to HUD at least 45 days before the start of the program year. In no event will HUD accept a submission earlier than November 15 or later than August 16 of the federal fiscal year for which funds are allocated.

Range of Approval/Disapproval Time:

HUD will review for completeness and consistency with the purposes of the Act, within 45 days of receipt from a jurisdiction.

Appeals:

Formula allocations may not be appealed.

Renewals:

Every fifth year, localities submit a Consolidated Plan. Each year jurisdictions

must submit an action plan and certifications.

Formula and Matching Requirements:

Statutory Formula: Title HOME Investment Partnership Program, Chapter 24, Part 92, Subpart A-M. The formula is a system of factors established to reflect a jurisdiction's need for an increased supply of affordable housing for low and very low income families. Designed by HUD to meet statutory criteria, it is based on a jurisdiction's inadequate housing supply, substandard housing, the number of low-income households in housing units likely to be in need of rehabilitation, the cost of producing housing, poverty, and the relative fiscal incapacity of the jurisdiction to carry out housing activities without federal assistance. Each jurisdiction must make matching contributions for affordable housing throughout a fiscal year in an amount not less than 25 percent of the HOME funds drawn from the U.S. Treasury during the federal fiscal year for projects. Jurisdictions in fiscal distress receive full or partial (50 percent) relief from this requirement.

Matching Requirements: Percent: 25%.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant funds are drawn down from the U. S. Treasury based upon individual project development progress. The HOME program uses an electronic fund transfer disbursement and information system. Once drawn down, funds must be expended within fifteen days. All funds must be expended within 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

Financial management and annual performance reports. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records which enable HUD to determine whether the participating jurisdiction has met the requirements of the program must be kept for the most recent five year period. Those records required to document the period of affordability must be maintained for five years beyond the required period.

Account Identification:

86-0205-0-1-604.

Obligations:

(Formula Grants) FY 16 \$961,138,000; FY 17 est \$1,027,388,000; and FY 18 est \$152,666,000

Range and Average of Financial Assistance:

\$37,378 to \$53,258,298; \$1,493,138 average.

TAFS Codes:

86-0205.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 28,812 units completed; 19,576 units of tenant-based rental assistance. Fiscal Year 2017: Est. 23,050 units completed, 15,661 units of tenant-based rental assistance. Fiscal Year 2018: Est. 18,440 units completed, 12,529 units of tenant-based rental assistance.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 92.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Peter Huber 451 7th Street SW, room 7164, Washington, District of Columbia 20410 Email: Peter.H.Huber@hud.gov Phone: 202-708-2684 Fax: 202-708-1744

Website Address:

<http://www.hud.gov/homeprogram/>

RELATED PROGRAMS:

14.275 Housing Trust Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.241 HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA)**FEDERAL AGENCY:**

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

AIDS Housing Opportunity Act, 42 U.S.C. 12901 et seq., Title 24, Part 574.

OBJECTIVES:

To provide States and localities with the resources and incentives to devise long-term comprehensive strategies for meeting the supportive housing needs of low-income persons and their families living with HIV/AIDS in order to prevent homelessness and sustain housing stability for HOPWA program beneficiaries.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

HOPWA program activities focus on establishing stable housing, reducing risk of homelessness, and improving access to healthcare and supportive services. Housing assistance is provided in the form of permanent supportive housing which includes tenant-based (scattered site) and facility-based (subsidized payment on a specific building, unit, or project) rental assistance or through transitional and short-term housing assistance. Grantees and project sponsors may use HOPWA funds to provide for any of the following eligible activities, subject to certain standards and limitations provided in the regulations: (1) acquisition, rehabilitation, conversion, lease, and repair of facilities to provide housing and services; (2) new construction (for single room occupancy (SRO) dwellings and community residences only); (3) operating costs for housing facilities, including maintenance, security, operation, insurance, utilities, furnishings, equipment, supplies, and other incidental costs; (4) tenant-based rental assistance, including assistance for shared housing arrangements; (5) short-term rent, mortgage, and utility payments to prevent homelessness; (6) permanent housing placement to assist beneficiaries receiving rental assistance with the security deposits, first months rent and utility hook-up costs; (7) housing information services including, but not limited to, counseling, information, and referral services to assist eligible individuals and their families to locate, acquire, finance, and maintain housing; (8) resource identification to establish, coordinate and develop housing assistance resources; (9) supportive services and case management, including mental health, drug and alcohol abuse treatment and counseling, day care, nutritional services, etc.; (10) technical assistance in establishing and operating a community residence, including planning and other pre-development or pre-construction expenses; and (11) administrative costs for general management, oversight, coordination, evaluation and reporting on eligible activities (grantees can use not more than three percent of the grant amount for their administrative expenses and project sponsors can use not more than seven percent of their grant award). Program beneficiaries must be low-income (80% of AMD) and living with HIV/AIDS.

Applicant Eligibility:

HOPWA offers two types of awards:

(1) Formula entitlement grants: Eligible states and qualifying cities, as defined in 24 CFR 574.3, qualifying for formula allocation under HOPWA.

(2) Competitive grants: (a) States, units of local governments and nonprofit organizations for special projects of national significance; (b) States and units of local government that do not qualify for HOPWA formula allocations under

the category of long-term grants; and (c) Nonprofit organizations are eligible to apply for projects of national significance as a grantee, but may also serve as a project sponsor under any competitive or formula grantees.

Additional applicant eligibility may apply depending on the competitive grant opportunity. As required by the Appropriations Acts, HUD gives funding priority to the renewal of expiring permanent supportive housing projects. When funding is available for new competitive grants, there may be additional requirements for applicant eligibility established under the Notice of Funding Availability (NOFA).

Beneficiary Eligibility:

Eligible beneficiaries are low income (at or below 80% the area median income) persons living with HIV/AIDS and their families.

Credentials/Documentation:

Recipients must certify they meet program requirements and applicable federal requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. (1) Formula entitlement grants: All HOPWA formula grantees must submit a Consolidated Plan and an Annual Action Plan that includes a comprehensive AIDS housing strategy that identifies and outlines how a community has identified local housing needs and how these federal resources will be targeted to address these local priorities. The Consolidated Plan and Annual Action Plan requirements are applicable for each of HUD's Community Planning and Development programs, including HOPWA.

(2) Competitive grants:

a. Expiring permanent supportive housing renewal grants are published through a HUD Notice that is issued annually (HUD's Appropriations Acts authorizes the Secretary to renew all expiring contracts for permanent supportive housing that initially were funded under section 854(c)(3) of AIDS Housing Act from funds made available in FY2010 and prior fiscal years that meet all program requirements before awarding funds for new competitive grant applications).
b. Funding announcements for new HOPWA competitive grants are published on grants.gov. Applications may be submitted electronically when new competitive HOPWA funding opportunities become available. The most recent competitive announcement was the VAWA/HOPWA Project Demonstration NOFA (FR-5900-N-11) published August 24, 2015.

Award Procedure:

(1) Formula entitlement grants: The HUD field office reviews the grantee's Consolidated Plan and Annual Action Plan and, upon plan approval, prepares the grant agreement. (2) Competitive grants: Based upon the requirements published in the Renewal Notice or NOFA, respondents are notified of acceptance or rejection.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

(1) Formula entitlement grants: Each jurisdiction should submit its consolidated plan to HUD at least 45 days before the start of its program year. In no event will HUD accept a submission earlier than November 15 or later than August 16 of the federal fiscal year for which the grant funds are appropriated. The plan will be deemed approved 45 days after HUD receives the plan, unless before that date HUD has notified the jurisdiction that the plan is disapproved. If the plan is disapproved, the jurisdiction may revise or resubmit a plan within 45 days after the first notification of disapproval. HUD must respond to approve or disapprove the plan within 30 days of receiving the revisions or resubmission. (2) Competitive Grants: Depending on the published Renewal Notice or NOFA, from 30 to 120 days.

Appeals:

Not Applicable.

Renewals:

HUD issues an annual Notice that solicits applications for expiring permanent supportive housing renewal grant applications. Application deadlines vary during the fiscal year.

Formula and Matching Requirements:

Statutory Formula: Chapter 24, Part 574.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant funds should be expended in a timely manner or may be subject to deobligation. Grant funding should be expended within a three-year period from the date of the executed grant agreement. Program requirements provide competitive grants with the allowance of a one year extension, if approved by HUD. Method of awarding/releasing assistance: lump sum.

Reports:

HOPWA formula and competitive grantees are required to submit annual performance reports. Formula grantees are required to submit a Consolidated Plan and an Annual Action Plan that outlines how HOPWA program resources are to be targeted to address the housing needs of individuals and their families living with HIV/AIDS. The Consolidated Annual Performance and Evaluation Report (CAPER) is required of formula grantees and provides annual performance reporting on households served that enables an assessment of grantee performance in promoting the housing stability of program beneficiaries. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements. No cash reports are required. The Annual Progress Report (APR) is required of competitive grantees and provides more details in which to assess grantee performance in promoting the housing stability of program beneficiaries. In FY2016, all grantees ceased to use IDIS for reporting program activities and only submitted a paper-based APR. IDIS will continue to be used for financial transactions. Expenditure reports are not applicable. HUD field offices conduct annual risk assessments of all program grantees to identify and target program compliance monitoring to be conducted during the federal fiscal year.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

HOPWA grantees must ensure that program records are maintained for a four-year period to document compliance with program requirements. Grantees must maintain current and accurate data on the race and ethnicity of program participants.

Account Identification:

86-0308-0-1-604.

Obligations:

(Formula Grants) FY 16 \$335,000,000; FY 17 est \$356,000,000; and FY 18 est \$330,000,000 - Total HOPWA funding (90% Formula Entitlement and 10% Competitive).

Range and Average of Financial Assistance:

HOPWA formula grant awards:

In FY2016, the 139 HOPWA formula eligible metropolitan statistical areas received an estimated average award amount of \$1,984,102.

HOPWA competitive grant awards:

In FY2017, the 32 eligible permanent supportive housing renewal grants received award amounts between \$420,902 and \$1,441,159 with an average award amount of \$1,185,856.

TAFS Codes:

86-0308.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY16, 53,108 households received housing assistance and 106,415 received supportive services. Fiscal Year 2017: In FY17, an estimated 52,000 households will receive housing assistance and 104,000 will receive supportive services. Fiscal Year 2018: In FY18, an estimated 51,000 households will receive housing assistance and 103,500 will receive supportive services.

REGULATIONS, GUIDELINES, AND LITERATURE:

Final Rule at 24 CFR 574, as amended; and Consolidated Plan Final Rule at 24 CFR part 91.

Regional or Local Office:

None. Designated Community Planning and Development staff in HUD Headquarters and in local field offices as listed in Appendix IV of the Catalog.

Headquarters Office:

Rita Flegel 451 7th Street SW, Washington, District of Columbia 20410
Email: Rita.H.Flegel@hud.gov Phone: 202-402-5374

Website Address:

<https://www.hudexchange.info/programs/hopwa/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For formula entitlement grants, the grantee's Consolidated Plan and Annual Action Plan are reviewed according to criteria set forth in 24 CFR 91.

For competitive grants, applications are reviewed according to requirements set forth in the renewal Notice or NOFA rating factors.

14.247 SELF-HELP HOMEOWNERSHIP OPPORTUNITY PROGRAM

Self-Help Homeownership Opportunity Program (SHOP)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Housing Opportunity Program Extension Act of 1996, Public Law 104-120 Section 11, as amended.

OBJECTIVES:

To facilitate and encourage innovative homeownership opportunities through the provision of self-help homeownership in which the homebuyer contributes a significant amount of sweat equity toward the development of the SHOP units.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible expenses for program funds are land acquisition and infrastructure improvements, which taken together may not exceed an average of \$15,000 in assistance per SHOP units. Additionally, up to 20 percent of the grant amount may be used for related planning, administrative and management costs.

Applicant Eligibility:

Funds are awarded competitively to national or regional nonprofit organizations or consortia that have capacity and experience in providing or facilitating self-help housing homeownership opportunities. Grantees must use a significant amount of SHOP grant funds in at least two states. Grantees may award grant funds to local non-profit affiliate organizations.

Beneficiary Eligibility:

Eligible homebuyers are low-income families, (including individuals) who are otherwise unable to afford to purchase a dwelling, and who provide significant amounts of sweat equity towards the development of the dwellings.

Credentials/Documentation:

Proof of nonprofit status is required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application Procedure: Applications may be submitted electronically in response to the annual publication of the SHOP NOFA in grants.gov. The General Section of the NOFA and SHOP NOFA detail the submission requirements.

Award Procedure:

Based upon the requirements published in the NOFA, respondents are notified of acceptance or rejection.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Leveraging of other public and/or private resources and volunteer labor are required to complete housing construction.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is released on an as-needed basis over a 24-month period for affiliates that develop 5 or more dwellings units, up to a 36-month period. See the following for information on how assistance is awarded/released:

Assistance is released on an as-needed basis.

Reports:

Quarterly and annual progress reports on construction and expenditures; as well as, final close-out reports. Quarterly and annual reports on construction and expenditure. Quarterly and annual reports on construction and expenditure progress. Grantees must monitor each consortium member and affiliate at least once during the grant term. Quarterly and annual progress reports. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records applicable to the program must be kept for three years following close-out.

Account Identification:

86-0176-0-1-604.

Obligations:

(Project Grants) FY 16 \$10,000,000; FY 17 est \$10,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Four grants were awarded with FY 2014 & 2015 funds. They ranged from \$562,500 to \$6,211,368. The average grant was \$1,693,342.

TAFS Codes:

86-0176.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 539 units. Fiscal Year 2017: FY 2017 SHOP NOFA will be published in the third quarter of FY2017. Fiscal Year 2018: No Current Data

Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The SHOP statute and SHOP NOFA establish the SHOP program requirements.

Regional or Local Office:

None.

Headquarters Office:

Thann Young 451 7Th Street SW, Washington, District of Columbia 20410

Email: Thann.young@hud.gov Phone: 202-402-4464

Website Address:

<http://www.hud.gov/offices/cpd/affordablehousing/programs/shop/index.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed according to the rating factor requirements set forth in the SHOP NOFA.

14.248 COMMUNITY DEVELOPMENT BLOCK GRANTS SECTION 108 LOAN GUARANTEES

(Section 108)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1974, as amended, Title 24, Part 570, Section Subpart M.

OBJECTIVES:

To provide communities with a source of financing for economic development, housing rehabilitation, public facilities, and large scale physical development projects.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

For purposes of determining eligibility, the Community Development Block Grant (CDBG) rules and requirements apply. As with the CDBG program, all projects and activities must either principally benefit low- and moderate-income persons, aid in the elimination or prevention of slums and blight, or meet urgent needs of the community. The guaranteed loan funds are subject to restrictions that are imposed under annual appropriations bills. The assistance is also subject to restrictions that preclude the direct or indirect guarantee of tax-exempt financing. Section 108 recipients may use the guaranteed loan funds to make loans to third parties (e.g., businesses when appropriate to carry out an economic development project). Such loans generally must match the terms of the Section 108 loan to the recipient.

Applicant Eligibility:

Eligible Applicants include: metropolitan cities and urban counties, i.e., CDBG entitlement recipients; nonentitlement communities that are assisted in their submission of applications by States that administer the CDBG program; nonentitlement communities eligible to receive CDBG funds under the HUD-Administered Small Cities CDBG program; and Insular Areas (American Samoa, Guam, Northern Mariana Islands, and the Virgin Islands). The public entity may be the borrower or it may designate a public agency to be the borrower. Furthermore, HUD's FY 2017 appropriations act continues HUD's authority to provide loan guarantees to States borrowing on behalf of local governments in nonentitlement areas.

Beneficiary Eligibility:

The principal beneficiaries are low and moderate income persons.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200, Subpart E.. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication Coordination: Preapplication requirements are found in 24 CFR Section 570.704. Presubmission requirements are similar to those applicable for the Community Development Block Grants program. Proposed activities must be consistent with a unit of local government's Consolidated Plan submitted for HUD's Community Planning and Development Programs. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application requirements are found in 24 CFR Section 570.704.

Award Procedure:

Upon approval of an application, HUD will issue to the applicant an offer of commitment to guarantee notes or other obligations issued by the applicant or its public agency designee. The commitment will be subject to compliance with applicable requirements and such conditions as HUD may specify in the commitment document. Furthermore, borrowers are required to pledge current and future CDBG funds as security for the loan guarantee. HUD also requires that the loan guarantee be secured with other collateral. Beginning in FY 2016, borrowers are required to pay a fee based on a percentage of the loan amount (2.59% in FY 2017). The fee is applied only at the time of loan disbursement and may be financed as part of the guaranteed loan.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 45 days from submission of complete application to appropriate HUD Field Office.

Appeals:

Not Applicable.

Renewals:

N/A.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum repayment period for a Section 108 loan is twenty years. Repayment schedules and terms are negotiable. Restriction on time permitted to spend guaranteed loan funds is specified in contract executed by HUD and the borrower. See the following for information on how assistance is awarded/released: Loans funds are advanced under promissory notes or other obligations guaranteed by HUD.

Reports:

Consolidated Annual Performance Evaluation Report (CAPER). The CAPER reports the recipient's program expenditures and accomplishments for each program year. Recipients are required to submit reports on cash balances, receipts, and disbursements of guaranteed loan funds and pledged amounts. Recipients must update amounts used and accomplishments in HUD's Integrated Information and Disbursement System (IDIS). Expenditures are reported in the CAPER and are updated in IDIS as funds are used for activities. Monitoring is conducted through on-site visits by HUD staff. Recipients are selected for monitoring through a risk-based analysis. Each recipient's annual CAPER is reviewed by HUD staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. HUD does not mandate audit procedures, other than those specified in 2 CFR 200, Subpart F.

Records:

The record keeping requirements of Subpart J of 24 CFR Part 570 apply to entitlement public entities receiving grants under Subpart D of 24 CFR Part 570. The record keeping requirements of Subpart J of 24 CFR Part 570 apply to nonentitlement public entities receiving grants under Subpart F of 24 CFR Part 570. The record keeping requirements of Subpart I of 24 CFR Part 570 apply to State-assisted public entities.

Account Identification:

86-4096-0-1-451.

Obligations:

(Guaranteed/Insured Loans) FY 16 \$85,003,000; FY 17 est \$250,000,000; and FY 18 est \$0 - No funding was requested in the FY 2018 budget request submitted to the Congress. An appropriations bill for FY 2018 has not yet been enacted.

Range and Average of Financial Assistance:

In FY 2016 commitments were issued in amounts ranging from \$694,000 to \$20,000,000. The average commitment amount was \$7,727,545.

TAFS Codes:

86-4096.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 1,299. Fiscal Year 2017: 5,000. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 570 Subpart M.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Paul D. Webster 451 7th Street, SW Room 7180, Washington, District of Columbia 20410 Email: paul.webster@hud.gov Phone: (202) 402-4563 Fax: (202) 708-1798.

Website Address:

<https://www.hudexchange.info/programs/section-108/>

RELATED PROGRAMS:

14.218 Community Development Block Grants/Entitlement Grants; 14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed against criteria set forth at 24 CFR 570.704.

14.249 SECTION 8 MODERATE REHABILITATION SINGLE ROOM OCCUPANCY**FEDERAL AGENCY:**

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act of 1987, Title IV, as amended.

OBJECTIVES:

The Section 8 Moderate Rehabilitation Single Room Occupancy Program provides rental assistance to homeless individuals. Under the program, HUD enters into Annual Contributions Contracts with public housing agencies (PHAs) in connection with the moderate rehabilitation of residential properties that, when rehabilitation is completed, will contain multiple single room dwelling units.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Homeless (single) individuals.

Applicant Eligibility:

An eligible applicant is a PHA or private nonprofit organization. Private nonprofits have to contract with a PHA to administer the rental assistance.

Beneficiary Eligibility:

Homeless individuals.

Credentials/Documentation:

Private nonprofit organizations and public housing agencies will certify to their eligibility. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Any site that would have a significant adverse impact on the human environment will not be eligible for funding. All components of this program are excluded from coverage under E.O.12372. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. An application package prescribing the specific information that applicants must submit is available from HUD through the annual Continuum of Care Notice of Fund Availability. The standard application form as furnished by HUD must be used for this program. Any proposed project under this program must be consistent with the applicable HUD-approved Consolidated Plan.

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements, and makes conditional grant awards to those applications most highly ranked.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Processing of applications and selection of successful applicants will take place at HUD field offices and Headquarters, HUD Headquarters will make selection.

Funds, however, will not be obligated until the technical submission is approved.

Appeals:

Applicants may appeal the results of HUD's review and selection process if they believe a HUD error has occurred. Appeals must be in writing to the Assistant Secretary for Community Planning and Development and must state what HUD error the applicant believes has occurred.

Renewals:

On an annual basis, dependent on fund availability.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Under the SRO Program, an 11-year Annual Contribution Contract (ACC) is signed by the PHA with HUD. After the ACC is signed, the PHA and owner sign an AHAP and the owner has 12 months from the date of ACC execution to complete rehabilitation on the project. Once the rehabilitation is completed and the PHA inspects the units to ensure compliance with HUD's physical condition standards (PCS), a 10-year Housing Assistance Payments (HAP) Contract is signed between the PHA and project owner. See the following for information on how assistance is awarded/released: HUD award notification letter.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The financial management systems used by governmental entities that are recipients under this program must provide for audits in accordance with 24 CFR 44. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must keep any records and make any reports that HUD may require.

Account Identification:

86-0192-0-1-604.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-0192.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 882, Subpart H Section 8 Moderate Rehabilitation Single Room Occupancy Program for Homeless Individuals, as well as 24 CFR, Part 5, Subparts A, E and F. Program information also available from Community Connections at HUD at (1-800) 998-9999.

Regional or Local Office:

See Regional Agency Offices. Designated contact person or monitor for the Section 8 SRO Program in the HUD Field Offices with a Community Planning and Development Division (see Appendix IV of the Catalog for a listing of HUD Field Offices).

Headquarters Office:

Brian P. Fitzmaurice; U.S. Department of Housing and Urban Development, Community Planning and Development, Office of Special Needs Assistance Programs, 451 7th Street, SW, Room 7266, Washington, District of Columbia 20410 Email: Brian.P.Fitzmaurice@hud.gov Phone: 202-402-4080

Website Address:

<http://www.hudexchange.info/sro>

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program; 14.235 Supportive Housing Program; 14.238 Shelter Plus Care; 14.241 Housing Opportunities for Persons with AIDS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed under each Notice of Fund Availability.

14.250 RURAL HOUSING AND ECONOMIC DEVELOPMENT

Rural Housing and Economic Development

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Departments of Veterans Affairs, Housing and Urban Development, and Independent Agencies Appropriations Act of 1998, Public Law 105-276.

, Public Law 105-276.

OBJECTIVES:

To build capacity at the State and local level for rural housing and economic development and to support innovative housing and economic development activities in rural areas.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants can be used to support innovative housing and economic development activities for this program. More specific information is provided in the Notice of Funding Availability (NOFA).

Applicant Eligibility:

Local rural nonprofit organizations, community development corporations, Federally recognized Indian Tribes, State Housing Financing Agencies and State Community and/or Economic Development Agencies.

Beneficiary Eligibility:

Local and rural communities.

Credentials/Documentation:

As required by the NOFA. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The Federal Register NOFA will detail each competition's submission requirements and the competitive funding.

Award Procedure:

Competition is required for grants. Awards to applicants securing the highest scores in relation to the selecting factors outlined in the NOFA.

Deadlines:

Apr 29, 2009 to May 29, 2009 The NOFA will contain deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. September 1, 2009.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

For a period of 36 months. See the following for information on how assistance is awarded/released: Semi-annual reports are submitted to HUD by grantees.

Reports:

Semi-Annual narrative and statistical reports are required. No cash reports are required. Semi-Annual narrative and statistical reports are required.
Semi-Annual narrative and statistical reports are required. HUD's field office staff are authorized to monitor and oversee each active grant.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Each recipient must maintain records to facilitate audits of funds, disposition of proceeds, costs from all sources, in-kind/cash contributions and information on

housing, and other facilities connected with the use of the funds.

Account Identification:

86-0324-0-1-604.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

The maximum amount awarded to a successful applicant is \$300,000.

TAFS Codes:

86-0324.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: There were no accomplishments for FY2016 due to the fact that no funds have been appropriated since 2009. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The current FY2009 NOFA is published on HUD's website.

Regional or Local Office:

None. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Thann Young, Department of Housing and Urban Development, Office of Rural Housing and Economic Development, Room 7137, Washington, District of Columbia 20410 Email: Thann.Young@HUD.gov Phone: (202) 708-2290 or 1-877-787-2526 Fax: (202) 401-0050.

Website Address:

<http://www.hud.gov/offices/cpd/economicdevelopment/programs/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Balancing the need for assistance with capacity of applicant to effectively use the assistance.

14.252 SECTION 4 CAPACITY BUILDING FOR COMMUNITY DEVELOPMENT AND AFFORDABLE HOUSING

Section 4 Capacity Building

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

HUD Demonstration Act of 1993, Section 4, Public Law 103-120, 107 Stat. 1148, 42 U.S.C 9816 note.

OBJECTIVES:

To provide grants to national community development intermediaries to enhance the capacity and ability of community development corporations and community housing development organizations to carry out community development and affordable housing activities that benefit low-income families.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Five organizations, as specified in the authorizing legislation and amendment, are eligible: Living Cities/The National Community Development Initiative, Enterprise Community Partners, Inc. (formerly The Enterprise Foundation), Local Initiatives Support Corporation, Habitat for Humanity International and YouthBuild USA. Current appropriations laws have limited participation to Enterprise Community Partners Inc., Local Initiatives Support Corporation, and Habitat for Humanity International. The grantees may use the grant for one or more of the following activities relating to capacity building for affordable housing and community development: (1) Training, education, support, and advice to enhance the technical and administrative capabilities of community

development corporations (CDCs) and community housing development organizations (CHDOs); (2) Loans, grants, development assistance, predevelopment assistance, or other financial assistance to CDCs and CHDOs to carry out community development and affordable housing activities that benefit low-income families and persons; and (3) Such other activities as may be determined by the grantees in consultation with the Secretary or his designee. Eligible Community Development activities.

Applicant Eligibility:

The competition is limited to the organizations identified in Section 4 of the HUD Demonstration Act of 1993 (Pub.L. 103-120, 107 Stat. 1148, 42 U.S.C. 9816 note), as amended. These organizations are: Enterprise Community Partners, Inc. (formerly The Enterprise Foundation), the Local Initiatives Support Corporation (LISC), and Habitat for Humanity International. Specifically, the only applicants eligible for this competition are the three organizations located at the following addresses:

Enterprise Community Partners, Inc., 11000 Broken Land Parkway, Suite 700, Columbia, MD 21044.

Local Initiatives Support Corporation, 501 Seventh Avenue, 7th Floor, New York, NY 10018. Habitat for Humanity International, 121 Habitat Street, Americus, GA 31709.

Affiliates and local offices of these organizations and their community partners are not eligible to compete either directly or independently for capacity building grants under this notice, but rather may seek funding from the above organizations.

Beneficiary Eligibility:

Community Development Corporations and Community Housing Development Organizations.

Credentials/Documentation:

This program is subject to the provisions of 24 CFR Part 84 (Uniform Administrative Requirements), 2 CFR Part 230 (OMB Circular A-122) (Cost Principles for Nonprofit Organizations), and OMB Circular A-133 (Audits of States, Local Governments, and Non-Profit Organizations). After selection for funding but prior to award, applicants must submit financial and administrative information and certifications to comply with applicable requirements. Applicants also must submit a certification from an Independent Public Accountant or the cognizant government auditor, stating that the applicant's financial management system meets prescribed standards for fund control and accountability. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The annual publication of the Policy Requirements and General Section to HUDs NOFAs for Discretionary Programs and the Capacity Building for Community Development and Affordable Housing (Section 4) NOFA detail the submission requirements. Applications may be submitted in response to the annual publication of the Notice of Funding Availability (NOFA) for the Capacity Building for Community Development and Affordable Housing (Section 4) Program in the Federal Register.

Award Procedure:

Based upon the requirements published in the General Section and Program Section of the NOFA, respondents are notified of acceptance or rejection. Upon selection, the applicant and HUD shall negotiate and execute a grant agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Each dollar awarded must be matched by three dollars in cash or in-kind contributions obtained from private sources.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The performance period for the capacity building grants is four years (48 months). Funds must be expended within four years (48 months) of the grant start date. See the following for information on how assistance is awarded/released: Funds are available for draw down from HUD's Line of Credit Control System (LOCCS).

Reports:

Grantees are required to submit semi-annual and annual performance and financial reports (Federal Financial Report SF-425) in accordance with 24 CFR part 84. A final closeout report is required at the end of the grant term. Cash reporting will be submitted on the SF-425. The semi-annual and annual reports are considered progress reports. Expenditure reporting will be submitted on the SF-425. HUD will conduct on-site monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grantees and sub grantees shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507) .

Records:

All records applicable to the program must be kept for 3 years following closeout.

Account Identification:

86-0176-0-1-176 - Code for FY 13.

Obligations:

(Project Grants) FY 16 \$35,000,000; FY 17 est \$35,000,000; and FY 18 est \$35,000,000

Range and Average of Financial Assistance:

For Fiscal Year 2017 the grant allocations ranged from \$4.4 million to \$14.5 million among three grantees.

TAFS Codes:

86-2014-0176; 86-2010-2011-0176; 86-2013-0176; 86-2012-0176.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Rudene Thomas 451 Seventh Street SW #7143, Washington, District of Columbia 20410 Email: rudene.thomas@hud.gov Phone: 2024024628

Website Address:

<https://www.hudexchange.info/programs/section-4-capacity-building/>

RELATED PROGRAMS:

14.265 Rural Capacity Building for Community Development and Affordable Housing Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed according to the Threshold Requirements and Rating

Factor requirements set forth in the Capacity Building for Community Development and Affordable Housing Program NOFA and the General Section to HUD's NOFAs for Discretionary Programs.

14.259 COMMUNITY COMPASS TECHNICAL ASSISTANCE AND CAPACITY BUILDING

Community Compass TA

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

This program is authorized under: Consolidated Appropriations Act, 2017 (Public Law 115-31), approved May 5, 2017), the Native American Housing Assistance and Self-Determination Act of 1996 (25 U.S.C. 4111 et seq.), Section 6(j)(3) of the United States Housing Act of 1937 (42 U.S.C. 1437d(j)(3)) and Section 17 and 18 of the Annual Contributions Contract (HUD 53012A 7/95), McKinney-Vento Homeless Assistance Act, 42 U.S.C. 11361b and 42 USC 11408.

OBJECTIVES:

Community Compass is HUD's integrated technical assistance and capacity building initiative. Community Compass is designed to help HUD's customers navigate complex housing and community development challenges by equipping them with the knowledge, skills, tools, capacity, and systems to implement HUD programs and policies successfully and be more effective stewards of HUD funding. The goal of Community Compass is to empower communities by providing effective technical assistance and capacity building so that successful program implementation is sustained over the long term. Recognizing that HUD's customers often interact with a variety of HUD programs as they deliver housing or community development services, Community Compass brings together technical assistance investments from across HUD program offices, including the offices of Community Planning and Development, Fair Housing and Equal Opportunity, Housing, and Public and Indian Housing. This cross-funding approach allows technical assistance to address the needs of grantees and subgrantees across multiple HUD programs, often within the same engagement, as well as address cross-agency issues.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

All successful applicants must operate within the structure of the demand-response system. Under the demand-response system, HUD identifies technical assistance and capacity building needs and prioritizes them based on Departmental, programmatic and jurisdictional priorities. HUD is solely responsible for determining the entities to be assisted, the location, and the nature of the assistance to be provided.

Successful applicants may be funded to conduct the following eligible activities: Needs Assessment; Direct TA and Capacity Building Engagements; Tools and Products; Self-Directed and Group Learning; Knowledge Management; Data Analysis, Reporting, and Performance Measurement; and NAHASDA Allocation Formula Administration and Negotiated Rulemaking and Consultation. These activities are further described in the Program Notice of Funding Availability. Funds may only be used to assist organizations to improve their ability to manage HUD funds. Funds may not be used to assist individuals or families.

Applicant Eligibility:

Awardees tasked to provide technical assistance to PHAs must have at least one staff, subcontractor, or consultant that has at least five years of demonstrated Public Housing programs experience, including agency operations, voucher programs, property management operations, and capital investment programs including capital improvements and various methods of housing development. In addition, awardees tasked to provide technical assistance to PHAs must have at least one staff, subcontractor, or consultant that has at least two years of demonstrated finance and underwriting experience related to RAD conversions.

Funds may only be used to assist organizations to improve their ability to manage HUD funds. Funds may not be used to assist individuals or families.

Beneficiary Eligibility:

Selected providers will be deployed as HUD deems most necessary across the country to assist organizations receiving HUD funds to improve performance and management of HUD funds.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All applicants are required to submit a one-page application summary cover page with the application. The requested funding amount should be based on the number of available staff, the range and quality of their skills and knowledge, and the ability of the applicant to manage these resources to successfully execute multiple TA or capacity building engagements involving several covered programs simultaneously over a three-year period and indicate if the organization is a small business.

Award Procedure:

In determining the amount awarded to a successful applicant, HUD will take into consideration the amount of funds available, the final score assigned to the application by HUD reviewers, and HUD's overall technical assistance needs, including establishing a range of subject matter expertise and geographic coverage, as well as an applicant's capacity to serve HUD's customers across multiple programs or topics. For prior awardees, HUD may consider information from a Contracting Officer, GTR, GTM, POTAC, and/or other HUD staff, as appropriate, on past performance, as well as award balances not committed to TA tasks or work plans. These considerations may reduce an applicant's award. There may be insufficient funds available to make an award to every application scoring within the fundable range.

HUD will send written notifications to both successful and unsuccessful applicants. A notification sent to a successful applicant is not an authorization to begin performance. Upon notification that an applicant has been selected for award, HUD will request additional information to be submitted or may work with the applicant to amend information that was already submitted as part of the application.

Deadlines:

Jul 24, 2017 to Sep 07, 2016 Applications submitted through <http://www.grants.gov> must be received by Grants.gov no later than 11:59:59 pm eastern time on the application deadline date.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Initial awards are for a three-year period and do not require continuation or renewal applications.

Annual applications are required for new funding.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards will be for a period of up to 36 months. See the following for information on how assistance is awarded/released: After selection for funding, but prior to award, applicants must submit financial and administrative information to comply with the cooperative agreement provisions. The requirements at 2CFR part 200 govern the selection of applicants and all awards executed pursuant to this NOFA, including commercial/for-profit entities. Prior

to award execution, applicants must submit a certification from an Independent Public Accountant or the cognizant government auditor, stating that the applicants financial management system meets prescribed standards for fund control and accountability.

Reports:

Awardees will be required to report to the GTM and GTR no less often than quarterly unless otherwise specified in the cooperative agreement. Cash reports are not applicable. Monthly status reports for invoices and quarterly reports are required. Detailed budget and expense reports are required consistent with established work plans. The GTR and GTM review work plan and work plan amendments, as well as invoices and accompanying activity reports. GTRs and/or GTMs review products/training materials and training evaluations.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records which enable HUD to determine whether the grantee has met the requirements for the program and cooperative agreement.

Account Identification:

86-0108-0-1-451.

Obligations:

(Cooperative Agreements) FY 16 \$58,000,000; FY 17 est \$56,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

The Range is between \$250,000 and \$20,000,000.

The applicant awarded funding for Native Hawaiian Housing Block Grant Program TA may receive less than the minimum award amount.

The maximum award amount is an estimate based on the amount of funding available and the expected number of awards.

TAFS Codes:

86-0108.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

FY2016 Appropriations Act.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Stephanie Stone 451 Seventh Street SW
Room 7218, Washington, District of Columbia 20147 Email:
Stephanie.V.Stone@hud.gov Phone: (202) 708-3176 Fax: 202 619-5979

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/comm_planning/about/cpda

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**14.261 HOMELESS MANAGEMENT INFORMATION SYSTEMS
TECHNICAL ASSISTANCE**

HMIS TA

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act of 2013, Title 113, Part 76.

OBJECTIVES:

See HUD Community Compass CFDA14.259.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are available to provide technical assistance for homeless programs in the Office of Special Needs Assistance Programs (SNAPS). An organization may not provide assistance to itself. An organization may not provide assistance to another organization with which it contracts or sub-awards funds to carry out activities under the TA award.

Applicant Eligibility:

This funding opportunity is now included in HUD Community Compass -- see CFDA 14.259.

Beneficiary Eligibility:

See CFDA #14.259 -- Community Compass.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

HUD will send written notifications to both successful and unsuccessful applicants. A notification sent to a successful applicant is not an authorization to begin performance. Upon notification that an applicant has been selected for award, HUD will request additional information to be submitted or may work with the applicant to amend information that was already submitted as part of the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards will be for a period of 36 months. See the following for information on how assistance is awarded/released: reimbursement basis.

Reports:

Program reports are not applicable. Cash reports are not applicable. HUD requires monthly status reports for invoices and quarterly reporting. CPD requires monthly reports that detail expenditures for each TA task. CPD monitors performance each month and includes the TA in its Monitoring Risk Analysis process.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

86-0192-0-1-604.

Obligations:

(Cooperative Agreements) FY 16 Not Available; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

HUD has established a \$750,000 award minimum for successful HMIS-TA applications.

TAFS Codes:

86-0192.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is now under HUD Community Compass, CFDA #14.259

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Michael Roanhouse Office of Special Needs Assistance Programs, Room 7262, Office of Community Planning and Development, Department of Housing and Urban Development, Washington, District of Columbia 20410 Email: Michael.Roanhouse@hud.gov Phone: (202) 708-1226

Website Address:

<http://www.onecpd.info>

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program; 14.241 Housing Opportunities for Persons with AIDS; 14.267 Continuum of Care Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

HUD will review applications and approve make awards based on criteria established in the NOFA..

14.265 RURAL CAPACITY BUILDING FOR COMMUNITY DEVELOPMENT AND AFFORDABLE HOUSING GRANTS

Rural Capacity Building Program

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated and Further Continuing Appropriations Act, 2015, Public Law 113-235.

OBJECTIVES:

Through funding of national non-profits with expertise in rural housing and community development, the Rural Capacity Building program enhances the capacity and ability of local governments, Indian tribes, housing development organizations, rural community development corporations (CDCs), and community housing development organizations (CHDOs) to carry out community development and affordable housing activities that benefit low- and moderate-income families and persons in rural areas.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to provide the following activities:

a. Training, education, support, and advice to enhance the technical and administrative capabilities of rural housing development organizations, CDCs, CHDOs, local governments, and Indian tribes, including the capacity to participate in consolidated planning, as well as, in fair housing planning and Continuum of Care homeless assistance efforts that help ensure community-wide participation in assessing area needs; consulting broadly within the community; cooperatively planning for the use of available resources in a comprehensive and holistic manner; and assisting in evaluating performance under these community efforts and in linking plans with neighboring communities in order to foster regional planning;

b. Loans, pass-through grants, development assistance, predevelopment assistance, or other financial assistance to rural housing organizations, CDCs, CHDOs, local governments, and Indian tribes to carry out community development and affordable housing activities that benefit low-income or low- and moderate-income families and persons, including the acquisition, construction, or rehabilitation of housing for low-income or low- and moderate-income families and persons, and community and economic development activities that create jobs for low-income persons; and;

c. Such other activities as may be determined by the grantees in consultation with the Secretary or his or her designee. Activities a-c listed above may be provided only to rural community-based housing development organizations, rural community development organizations (CDC), rural community housing development organizations (CHDO), rural local governments, and Indian tribes . Loans to rural community-based housing development organizations, rural community development organizations (CDC), rural community housing development organizations (CHDO), rural local governments, and Indian tribes are used to carry out community development and affordable housing activities for low- and moderate-income families and persons including the acquisition, construction, or rehabilitation of housing for low-income families and persons, and community and economic development activities that create jobs for low-income persons.

Applicant Eligibility:

A national organization is defined as a non-profit entity or consortium that has on-going experience in rural housing, including experience working with rural housing development organizations, community development corporations (CDCs), community housing development organizations (CHDOs), local governments, and Indian tribes, as evidenced by past and continuing work in one or more states in five or more of the federal regions described on HUD's website at <http://portal.hud.gov/hudportal/HUD?src=/localoffices/regions>.

Beneficiary Eligibility:

Eligible beneficiaries are rural community-based housing development organizations, rural CDCs, CHDOs, local government and Indian tribes.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The annual publication of the Policy Requirements and General Section to HUD's Notice of Funding Availability (NOFA) for Discretionary Programs and the Rural Capacity Building for Community Development and Affordable Housing NOFA detail the application submission requirements.

Award Procedure:

Based upon the requirements published in the General and Program Section of the NOFA, respondents are notified of acceptance or rejection. Upon selection, the applicant and HUD shall negotiate and execute a grant agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The performance period for the Rural Capacity Building grant is four years (48 months). Funds must be expended within four years (48 months) of the grant start date. Funds are available for draw down from HUD's Line of Credit Control System (LOCCS). Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are required to submit semi-annual and annual performance and financial reports (Federal Financial Report SF-425) in accordance with the reporting requirements of 2 CFR Part 200 and HUD implementing regulations. A final closeout report is required at the end of the grant. Cash reporting would be submitted on the SF-425. The semi-annual and annual reports are considered progress reports. Expenditure reporting will be submitted on the SF-425. HUD will conduct on-site monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records concerning grant award and grant expenditures shall be maintained during the period of grant award and for 3 years after grant closeout.

Account Identification:

86-0176-0-1-604.

Obligations:

(Project Grants) FY 16 \$5,000,000; FY 17 est \$5,000,000; and FY 18 est \$5,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-0176.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The annual NOFA will include guidance for applicants to implement and manage the Rural Capacity Building Program.

Regional or Local Office:

None.

Headquarters Office:

Rudene Thomas 451 Seventh Street SW #7143, Washington, District of Columbia 20410 Email: rudene.thomas@hud.gov Phone: 2024024628

Website Address:

<https://www.hudexchange.info/programs/section-4-capacity-building/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Review Types. Two types of reviews will be conducted. First, HUD will review each application to determine whether it meets threshold eligibility requirements*. Second, HUD will review and assign scores to eligible applications using the Factors for Award noted in the Program Section of the NOFA.

2. Ranked Order. Once rating scores are assigned, rated applications will be listed in ranked order. After HUD has rated and ranked the applications, applicants within the fundable range of 75 or more points will be funded in ranked order up to the amount requested, or in a lesser amount if sufficient funds are not available. HUD reserves the right to adjust funding to meet urgent policy priorities.

*Note: Threshold Eligibility Requirements - All applicants must be in compliance with the threshold requirements found in the General Section and the eligibility requirements listed in Section III of this NOFA in order to be reviewed, scored, and ranked. Applications that do not meet these requirements and applications that were received after the deadline will be considered ineligible for funding (see the General Section of the NOFA).

14.266 BORDER COMMUNITY CAPITAL INITIATIVE

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1999, Public Law 105-276.

OBJECTIVES:

The Initiatives goal is to increase access to capital for affordable housing, business lending and community facilities in the chronically underserved and undercapitalized U.S./Mexico border region. Specifically, it will provide direct investment and technical assistance to community development lending and investing institutions that focus on affordable housing, small business and community facilities to benefit the residents of colonias.

Funds may be used to support eligible activities that serve the following objectives:

Expanding services in eligible colonias target markets by established lenders and investors in affordable housing, small business, and community facilities, and/or

Securing new public or private capital sources for lending or investing in affordable housing, small business and community facilities in existing or new eligible target markets.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible activities include innovative housing and economic development uses, including but not limited to loan or investment capital, loan loss reserves, program staff costs, information systems, market studies, portfolio analyses and business planning. Eligible activities must support the program objectives described above. Award must be used to support the eligible activities.

Applicant Eligibility:

Applicants that are eligible to participate in this initiative are community development lenders and investors, which may be local rural non-profit organizations or federally recognized tribes. Applicants do not need to be certified as Community Development Financial Institutions by the CDFI Fund at the time of application. Applicants may serve other markets than colonias residents and communities, using other sources of financing.

Beneficiary Eligibility:

Eligible target markets for use of Border Initiative funds include geographic

areas defined as colonias by The Cranston-Gonzalez National Afford Housing Act of 1990 (Pub. L. 101-625, Nov. 28, 1990) (the Act) and the Appropriations Act of 1997 (Pub L. 104-204, Sept. 26, 1996, 110 Stat. 2887).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This initiative was funded by recaptured Rural Housing and Economic Development funds for a one time only competition.

Award Procedure:

HUD will review eligible submitted applications and determine application scores. Once rating scores are assigned, rated applications will be listed in ranked order. HUD will send written notifications to both successful and unsuccessful applicants, including the lead entity of the consortium. After award, grantees will submit their action plan and budget into HUDs web based system prior to approval to draw down. Applicants will be given the opportunity to amend their budget according to the grant award, in the event HUD awards less than the requested amount prior to entering into the grant agreement. HUD will approve the action plan(s) or notify the grantee of matters that need to be addressed prior to approval.

Deadlines:

Jun 27, 2013 to Aug 26, 2013

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

n/a.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. There is no matching requirement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards will be available for a period of 36 months from the date of execution of the grant agreement. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees will be required to report to HUD, as specified in the grant agreement. Performance reports shall include reports on both performance and financial progress under action plans and shall include reports on the commitment and expenditure of leveraging resources utilized through the end of the reporting period. Reports shall conform to the reporting requirements of 24 CFR part 84 and 24 CFR part 85. Please see the BCCI NOFA published on grants.gov for full reporting requirements. The performance reports must contain the information required under 24 CFR part 84 and 24 CFR part 85, including a comparison of actual accomplishments with the objectives and performance goals of the action plans. Please see the BCCI NOFA published in FY2013 for full reporting requirements. Please see the BCCI NOFA published in FY2013 for full reporting requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Applicants must maintain records to satisfy the reporting requirements listed above and in the BCCI NOFA.

Account Identification:

86-0324-0-1-604.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This is a one time program using recaptured money from the RHED program.

Range and Average of Financial Assistance:

Up to \$2,000,000.

TAFS Codes:

86-0324.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: During the project year \$56,848 was spent on program activities. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Thann Young Office of Rural Housing and Economic Development
U.S. Department of Housing and Urban Development
451 7th Street SW, Room 7137
, Washington DC, District of Columbia 20410 Email: thann.young@hud.gov
Phone: 2024024464

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Two types of reviews will be conducted. First, HUD will review each application to determine whether it meets threshold eligibility requirements described in Section III.B.4. Second, HUD will review and assign scores to applications meeting the threshold requirements using the Factors for Award noted under Criteria, Section V.A of the BCCI NOFA. Once rating scores are assigned, rated applications will be listed in ranked order. After HUD has rated and ranked the applications, applicants within the fundable range of 75 or more points will be funded in ranked order up to the amount requested, or in a lesser amount if sufficient funds are not available. HUD reserves the right to reduce the amount of the award requested. HUD has determined that geographic diversity of coverage within the U.S.-Mexico border region is an appropriate consideration in selecting grantees in this competition.

14.267 CONTINUUM OF CARE PROGRAM

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Mc Kinney-Vento Homeless Assistance Act (42 U.S.C. 11381-11389, Title IV, Subtitle C) as amended.

OBJECTIVES:

The program is designed to promote community-wide commitment to the goal of ending homelessness; provide funding for efforts by nonprofit providers, States, and local governments to quickly house homeless individuals and families while minimizing the trauma and dislocation caused to homeless individuals, families, and communities by homelessness; promote access to and effective utilization of mainstream programs by homeless individuals and

families; and optimize self-sufficiency among individuals and families experiencing homelessness. Continuum of Care Program funds may be used to pay for the eligible costs used to establish and operate projects under five program components: (i) permanent housing, which includes permanent supportive housing for persons with disabilities, and rapid rehousing; (ii) transitional housing; (iii) supportive services only; (iv) Homeless Management Information Systems (HMIS), and (v) in some cases, homelessness prevention.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

Thirteen types of assistance may be provided through the Continuum of Care (CoC) Program: (1) CoC planning activities/costs for designing and carrying out a collaborative process for the development of an application to HUD; (2) United Funding Agency (UFA) costs for fiscal control and accounting necessary to assure the proper disbursement of, and accounting for, Federal funds awarded to subrecipients under the Continuum of Care Program, (3) acquisition of real property (including structures) for use in the provision of housing or supportive services; (4) rehabilitation of structures to provide housing or supportive services; (5) new construction, including the building of a new structure or building an addition to an existing structure for use as supportive housing; (6) leasing of a structure or structures, or portions thereof, to provide housing or supportive services; (7) rental assistance, which may be short-term, medium-term, or long-term, as well as tenant-based, project-based, or sponsor-based, for transitional or permanent housing; (8) Supportive services to assist program participants obtain and maintain housing; (9) Operating costs of supportive housing; (10) costs of implementing and operating HMIS; (11) Project administrative costs; (12) relocation costs; and (13) indirect costs in accordance with 2 CFR Parts 200, as applicable.

In addition to using grant funds for the eligible costs described above, recipients and subrecipients in Continuums of Care designated as High Performing Communities may also use grant funds to provide housing relocation and stabilization services and short- and/or medium-term rental assistance to individuals and families at risk of homelessness as set forth in 24 CFR 576.103 and 24 CFR 576.104, if necessary to prevent the individual or family from becoming homeless. Limitation on use of funds

No assistance provided under program (or any State or local government funds used to supplement this assistance) may be used to replace State or local funds previously used, or designated for use, to assist homeless persons or persons at-risk of homelessness.

Applicant Eligibility:

States, local governments, other governmental entities and nonprofit organizations.

Beneficiary Eligibility:

Homeless individuals and families with children: Recipients in Continuums of Care that have been designated by HUD as High Performing Communities (HPC) may serve persons determined to be at-risk of homelessness, based on criteria established through the present year Notice of Funding Availability (NOFA).

Credentials/Documentation:

Private nonprofit status is documented by submitting either (1) a copy of the Internal Revenue Service (IRS) ruling providing tax-exempt status under Section 501(c)(3) of the IRS Code; or (2) documentation showing that the entity is a certified United Way agency, or (3) a certification from a licensed CPA that no part of the net earnings of the organization inures to the benefit of any member, founder, contributor, or individual; that the organization has a voluntary board; that the organization practices nondiscrimination in the provision of assistance and that the organization has a functioning accounting system. OMB Circular No. A-87 applies to this program.

In addition, governmental entities and public housing agencies will certify to their eligibility. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O.

12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR Part 200 applies to this program. In accordance with criteria established in the Notice of Funding Availability (NOFA), applicants must complete their applications in a multi-step process through the electronic submission portal called e-snaps. The first step is registration, which confirms a CoC's intent to submit an application in the annual Continuum of Care competition. Next, the CoC's submits the CoC application and a priority listing with a list of projects that will be applied for in the CoC's jurisdiction. Simultaneously, the project applicant submits a project application. Any proposed project under this program must be consistent with the applicable HUD-approved Consolidated Plan and must be permissible under applicable zoning ordinances and regulations.

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements, and makes conditional grant awards to those applications most highly ranked, in accordance with the NOFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines for the CoC competition are detailed in the Registration Notice and Notice of Funding Availability for each fiscal year.

Appeals:

Applicants may appeal the results of HUD's review and selection process if they believe a HUD error has occurred. Appeals must be submitted to the Assistant Secretary for Community Planning and Development, must specify the error, and provide sufficient documentation.

Renewals:

Information on renewals is contained in the Notice of Funding Availability and the application.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: This is a competitive program, however each community's Need amount is established by formula set forth in the CoC Regulations.

The recipient or subrecipient must match all grant funds, except for leasing funds, with no less than 25 percent of cash funds or in-kind contributions from other sources. For Continuum of Care geographic areas in which there is more than one grant agreement, the 25 percent match must be provided on a grant-by-grant basis. Recipients that are UFAs or are the sole recipient for their Continuum may provide match on a Continuum-wide basis.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants may be made available for up to one, two, three, five or fifteen years, depending upon the type of assistance provided, and subject to the availability of annual appropriations. Method of awarding/releasing assistance: by letter of credit.

Reports:

Each recipient must keep any records and make any reports as specified by HUD within the timeframe required. Cash reports are not applicable. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The financial management systems used by governmental entities that are recipients under this program must provide for audits in accordance with 24 CFR 45 as applicable. Private

nonprofit entity recipients and their subrecipients provide audits in accordance with 2 CFR 200. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must agree to participate in an evaluation of the program, and to keep any records and make any reports that HUD may require for the purpose of reporting to Congress on the cost of the program and the social, financial, and other advantages of Continuum of Care activities as a means of assisting homeless persons and those at-risk of becoming homeless.

Account Identification:

86-0192-0-1-604.

Obligations:

(Project Grants (Discretionary)) FY 16 \$1,953,210,272; FY 17 est \$1,976,000,000; and FY 18 est \$2,000,000,000

Range and Average of Financial Assistance:

HUD imposes the following limitations: Funding of up to 75 percent for acquisition, new construction, rehabilitation, supportive services, operating, and HMIS costs; funding for up to 10 percent for project administration costs; and indirect costs may be allocated to each eligible activity as long as that allocation is consistent with an indirect cost rate proposal developed in accordance with 2 CFR 200, as applicable.

TAFS Codes:

86-0192.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: There were 397 CoCs funded in the amount of \$1,953,210,272 for 7,593 projects across the country. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 578, Continuum of Care Program, is published in the Federal Register.

Regional or Local Office:

See Regional Agency Offices. See Local Agency Offices. Designated contact person in the HUD Field Offices with a Community Planning and Development Division.

Headquarters Office:

Lisa A. Hill; U.S. Department of Housing and Urban Development; Community Planning and Development; Office of Special Needs Assistance Programs, 451 7th Street, SW, Room 7256, Washington, District of Columbia 20410 Email: Lisa.A.Hill@hud.gov Phone: (202) 402-5183

Website Address:

<http://www.hudexchange.info/coc>

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program; 14.241 Housing Opportunities for Persons with AIDS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting applications for assistance are defined in a Notice of Funding Availability in the Federal Register and at www.hudexchange.info/coc.

**14.268 RURAL HOUSING STABILITY ASSISTANCE PROGRAM
FEDERAL AGENCY:**

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Title IV Housing Assistance, Subtitle D Rural Housing Stability Assistance Program of the McKinney-Vento Homeless Assistance Act, as amended by the Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH Act).

OBJECTIVES:

The Rural Housing Stability Assistance Program (RHSP) is designed to provide assistance for rural counties to re-house or improve the housing situations of individuals and families who are homeless, at risk of homelessness, or in the worst housing situations, stabilize the housing of individuals and families who are at risk of becoming homeless, and improve the ability of the lowest-income residents to afford stable housing.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

Grant assistance is available for rent, mortgage and utility assistance; relocation assistance; short-term emergency lodging; new construction of buildings to provide transitional or permanent housing; acquisition of real property to provide supportive services or transitional or permanent rental housing; rehabilitation of structures to provide supportive services or transitional or permanent rental housing; leasing; rental assistance; operating costs of transitional and permanent rental housing; rehabilitation and repairs to make premises habitable; supportive services; use of federal inventory property; capacity building activities that strengthen the capability of recipients to deliver housing and supportive services to eligible participants and to administer grants under this program; data collection costs; indirect costs in accordance with OMB Circulars A-87 or A-122 as applicable and administrative costs not to exceed 7.5 percent of the RHSP grant.

Applicant Eligibility:

Counties, that meet the definition of a rural county (a county that has no part of it within an area designated as a standard metropolitan statistical area by OMB; a county that is within an area designated as a metropolitan statistical area or considered as part of a metropolitan statistical area and at least 75 percent of its population is located on U.S. Census blocks classified as non-urban; a county that is located in a State that has population density of less than 30 persons per square mile [as reported in the most recent decennial census], and of which at least 1.25 percent of the total acreage of such State is under Federal jurisdiction, provided that no metropolitan city in such State is the sole beneficiary of the grant amounts awarded under this part. A metropolitan city means a city that was classified as a metropolitan city under section 102(a) of the Housing and Community Development Act of 1974 (42 U.S.C. 5302(a)) for the fiscal year immediately preceding the fiscal year for which Emergency Solutions Grant program funds are made available. Recipient includes the District of Columbia.) local units of government authorized by the county and private non-profit organizations authorized by the county.

Beneficiary Eligibility:

Individuals and families who are homeless, at risk of homelessness or are in a worst housing situation. Worst housing situation is defined as housing that has serious health and safety defects and at least one major system that has failed or is failing.

Credentials/Documentation:

The list of counties eligible to apply for RHSP will be published in the Notice of Funding Availability. Local units of government and private non-profit organizations applying on behalf of eligible counties, must provide a letter from the eligible county designating the entity is applying on their behalf. Private nonprofit status is documented by submitting either (1) a copy of the Internal Revenue Service (IRS) ruling providing tax-exempt status under Section 501(c)(3) of the IRS Code; or (2) documentation showing that the entity is a certified United Way agency; or (3) a certification from a licensed CPA that no part of the next earnings of the organization inures to the benefit of any member, founder, contributor, or individual; that the organization has a voluntary board; that the organization practices nondiscrimination in the provision of assistance; that the organization has a functioning accounting system. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform

Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The requirements of 24 CFR Part 85 applies to this program. One application package prescribing the specific information that applicants must submit is available. The standard application forms as furnished by HUD must be used for this program. HUD will publish a list of counties eligible to apply for funds in a particular fiscal year. Every county government submitting an application must include either an abbreviated consolidated plan or consolidated plan that is consistent with the proposed program activities. Sections 491 (a) and 491 (m) of the McKinney-Vento Act provide that funds awarded under this program are in lieu of grants awarded under subtitle C, which is the Continuum of Care (CoC) program, and that funds awarded to a county shall only be awarded under either the CoC program or the RHSP. This requirement is implemented by establishing that a county may apply for funds either under the CoC program or RHSP, but not both.

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements, and prepares a Grant Agreement for those applications selected.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Processing of applications will take place in HUD Field Offices and at HUD Headquarters, and selection of successful applicants will take place at HUD Headquarters. HUD will make its final selections and obligate funds as soon as the applications can be processed.

Appeals:

The appeal process will be outlined in the Notice of Funding Availability.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: (Formula): Section 427(b)(2) of the McKinney-Vento Act establishes the PPRN formula which determines the needs of a geographic area. PPRN is the dollar expression of the relative need assigned to metropolitan cities, urban counties, and all other counties determined by HUD in accordance with HUDs regulations for the Continuum of Care program. The formula uses nationally available data, including the following factors as used in the CDBG formula and Emergency Solutions Grant (ESG) formula on poverty, housing overcrowding, population, age of housing, and growth lag. To determine a rural county's PPRN, HUD will calculate the sum of the PPRN amount for the rural county as well as any metropolitan cities therein. The cumulative PPRN amount for the rural county will be the basis for determining the maximum award amount for which the county may apply.

Matching Requirements: There is a minimum of 25 percent cash or in-kind match on all eligible funding costs except leasing, data collection and administrative costs. For in-kind match, the government-wide grant requirements of HUDs regulations at 24 CFR 84.23 and CFR 85.24. The 25 percent match requirement is calculated on the total grant amount. Recipients have the responsibility to ensure that the funds that the recipient uses to satisfy HUD's match requirements are not prohibited to be used for this purpose under any statute that may govern the matching funds. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length of grant term will be established in the Notice of Funding Availability. Method of awarding/releasing assistance will be established in the Notice of Funding Availability. Method of awarding/releasing assistance: by letter of credit.

Reports:

Each recipient must keep any records and make any reports as specified by HUD within the timeframe required. Cash reports are not applicable. Progress reports are applicable. Expenditure reports are applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The financial management systems used by governmental entities that are recipients under this program must provide for audits in accordance with 24 CFR 85. Private nonprofit entity recipients are subject to the audit requirements of 24 CFR 84. Both 24 CFR Part 84 and 85 stipulate that grant recipients and their subgrantees provide audits in accordance with OMB Circular No. A-133. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must agree to participate in an evaluation of the program, and to keep any records and make any reports that HUD may require for the purpose of reporting to Congress on the cost of the program and the social, financial, and other advantages of supportive housing components as a means of assisting homeless persons.

Account Identification:

86-0192-0-1-604.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-0192.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

TBD published in the Federal Register. Other program information is available on the HUD Homelessness Resource Exchange at www.hudexchange.info/rural.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Karen M. DeBlasio; U.S. Department of Housing and Urban Development; Community Planning and Development; Office of Special Needs Assistance Programs, 451 7th Street, SW, Room 7260, Washington, DC 20410, Washington, District of Columbia 20410 Email: Karen.M.DeBlasio@hud.gov Phone: 202-402-4773

Website Address:

<http://www.hudexchange.info/rural>

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting applications for assistance are defined in a Notice of Funding Availability in the Federal Register.

14.269 HURRICANE SANDY COMMUNITY DEVELOPMENT BLOCK GRANT DISASTER RECOVERY GRANTS (CDBG-DR)

Community Development Block Grant Disaster Recovery program for Hurricane Sandy and other qualifying disasters occurring in 2011, 2012 and 2013

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Disaster Relief Appropriations Act, 2013 Public Law 113.2, Public Law 113-2.

OBJECTIVES:

The overall CDBG program objective is to develop viable urban communities, by providing decent housing and a suitable living environment, and by expanding economic opportunities, principally for persons of low and moderate income. CDBG DR program funds appropriated by P.L. 113-2 are for necessary expenses related to disaster relief, long-term recovery, restoration of infrastructure and housing, and economic revitalization in the most impacted and distressed areas resulting from a major disaster declaration due to Hurricane Sandy and other eligible events in calendar years 2011, 2012, and 2013.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Recipients may undertake a wide range of activities directed toward addressing unmet needs of disaster relief, long-term recovery, restoration of infrastructure and housing, and economic revitalization. However, CDBG DR funds may not be used for activities reimbursable by other sources of funds, such as those made available by the Federal Emergency Management Agency, the Army Corps of Engineers, or private insurance. Grantees must conduct an assessment of community impacts and unmet needs to guide the development and prioritization of planned recovery activities and develop their own programs and funding priorities as long as programs/activities conform to the statutory standards and program regulations. Some of the specific activities that can be carried out with Community Development Block Grant Disaster recovery (CDBG DR) funds include buying damaged properties in a flood plain and relocating residents to safer areas; relocation payments for people and businesses displaced by the disaster; debris removal not covered by FEMA; rehabilitation of homes and buildings damaged by the disaster; buying, constructing, or rehabilitating public facilities such as streets, neighborhood centers, and water, sewer and drainage systems; code enforcement; homeownership activities such as down payment assistance, interest rate subsidies and loan guarantees for disaster victims; public services (generally limited to no more than 15 percent of the grant); helping businesses retain or create jobs in disaster impacted areas; and planning and administration costs (limited to no more than 20 percent of the grant). Eligible activities must meet at least one of three program national objectives: benefit persons of low and moderate income, aid in the prevention or elimination of slums or blight, or meet other urgent community development needs because existing conditions pose a serious and immediate threat to the health and welfare of the community where other financial resources are not available. CDBG Disaster Recovery grants primarily benefit low-income residents in and around communities that have experienced a natural disaster. At least 50 percent of each grantee's CDBG-DR grant award must be used for activities that benefit low- and moderate-income (LMI) persons. These can be either activities in which all or the majority of people who benefit have low or moderate incomes or activities that benefit an area or service group in which at least 51 percent of the populous are of low- and moderate-income. Recipients may contract with other local agencies or nonprofit organizations to carry out components of their programs. Grantees must meet the 50 percent LMI expenditure requirement unless a waiver has been requested by the grantee and approved by HUD. Recipients may only carry out eligible activities as listed in 24 CFR 570.201-207 or for which a waiver or alternative requirement has been granted by the Secretary, based upon a determination that good cause exists and that the waiver or alternative requirement is not inconsistent with the overall purposes of Title I of the HCD Act. Recipients may only carry out eligible activities as listed in 24 CFR 570.201-207 or for which a waiver or alternative requirement has been granted by the Secretary, based upon a determination that good cause exists and that the waiver or alternative requirement is not inconsistent with the overall purposes of Title I of the HCD Act.

Applicant Eligibility:

CDBG Disaster Recovery funds are made available to States and units of general local governments designated by the President of the United States as disaster areas. These communities must have significant unmet recovery needs and the capacity to carry out a disaster recovery program (usually these are governments that already receive HOME or Community Development Block Grant allocations). Grantees may use CDBG Disaster Recovery funds for recovery efforts involving housing, economic development, infrastructure and

prevention of further damage to affected areas. However, CDBG DR funds may not be used for activities reimbursable by or for which funds are made available by the Federal Emergency Management Agency or the Army Corps of Engineers.

Beneficiary Eligibility:

The principal beneficiaries of CDBG DR funds are low- and moderate-income persons (generally defined as a member of a family having an income equal to or less than the Section 8 low income limit established by HUD) in communities that have experienced a disaster event. At least 50 percent of each grantee's CDBG-DR grant award must be used for activities that benefit low- and moderate-income (LMI) persons. These can be either activities in which all or the majority of people who benefit have low or moderate incomes or activities that benefit an area or service group in which at least 51 percent of the populous are of low- and moderate-income. Grantees must meet the 50 percent LMI expenditure requirement unless a waiver has been requested by the grantee and approved by HUD.

Credentials/Documentation:

Recipients must certify they will meet program requirements and applicable federal requirements. 2 CFR 225, Cost Principles for State, Local, and Indian Tribal Governments (previously OMB Circular A-87) applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

As a condition of making any grant under this appropriation, the Secretary is required to certify in advance that grantees have in place proficient financial controls and procurement processes and have established adequate procedures to prevent any duplication of benefits as defined by section 312 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5155), to ensure timely expenditure of funds, to maintain comprehensive websites regarding all disaster recovery activities assisted with these funds, and to detect and prevent waste, fraud, and abuse of funds. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Recipients submit an Action Plan for Disaster Recovery, SF Form 424, and certifications to HUD. In the Action Plan for Disaster Recovery, grantees must describe uses and activities that are authorized under Title I of the Housing and Community Development Act of 1974 (42 U.S.C. 5301 et seq.) (HCD Act) or allowed by a waiver or alternative requirement published in the federal register notice covering the grant funds and also respond to a disaster-related impact. HUD reviews grantee Action Plans within 45 days of receipt and approves the Plan according to criteria identified in the federal register notice covering the grant funds.

Award Procedure:

P.L. 113-2 provides that funds shall be awarded directly to a State or unit of general local government (UGLG) at the discretion of the Secretary. To comply with statutory direction that funds be used for disaster-related expenses in the most impacted and distressed areas, the Department computed direct allocations to eligible grantees totaling \$14,181,892,000 based on the best available data that cover all the eligible affected areas. States and local governments receiving a CDBG DR allocation may carry out programs and/or activities directly or through subrecipients, including local governments, governmental agencies, and non-profit entities. The remaining \$999,108,000 appropriated under P.L. 113-2 has been awarded to eligible grantees based on a competition and is allocated under a separate CFDA number (14.272).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

After a grantee receives an allocation under a published Federal Register notice, it must submit an initial Action Plan for Disaster Recovery within a time frame

specified in the specific applicable Federal Register Notice.

Appeals:

Administrative appeals process followed if grant funds are withheld or reduced, or repayment proposed for non-compliance or non-performance.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 24, Chapter 570. To comply with statutory direction that funds be used for disaster-related expenses in the most impacted and distressed areas, HUD computed allocations based on the best available data that cover all the eligible affected areas. P.L. 113-2 specifies that the CDBG DR funds be used for necessary expenses related to disaster relief, long-term recovery, restoration of infrastructure and housing, and economic revitalization in the most impacted and distressed areas resulting from a major disaster and further specifies that the funds are not to be used for activities reimbursable by other available forces of funds such as FEMA, the Corps of Engineers, or private insurance.

For this allocation, similar to prior CDBG DR allocations, the Department makes a determination of unmet needs by estimating unmet needs related to the main intended uses of the funds, including restoration of housing, economic revitalization, and restoration of infrastructure. These estimated needs are then summed together and an allocation is made among the grantee universe based on their proportional share of unmet needs..

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements. This program does not have MOE requirements.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

To ensure the timely expenditure of funds, section 904(c) under Title IX of P.L. 113-2 that all funds be expended within two years of the date HUD obligates funds to a grantee (funds are obligated to a grantee upon HUDs signing of the grantees CDBG DR grant agreement). Action Plans must demonstrate how funds will be fully expended within two years of obligation. For any funds that the grantee believes will not be expended by the deadline, the grantee may request an extension of the two-year expenditure deadline by submitting an Expenditure Extension Request consisting of a completed template and cover letter justifying why it is necessary to extend the deadline for a specific portion of funds. Extension requests submitted less than sixty days prior to the scheduled expenditure deadline must include a letter signed by the chief elected official of the grantee agency. Additionally, P.L. 113-2 requires that HUD obligate all funds provided under Chapter 9, Community Development Fund, not later than September 30, 2017. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

HUD requires grantees to enter information in HUDs Disaster Recovery Grant Reporting (DRGR) system in sufficient detail to permit the Departments review of grantee performance. No cash reports are required. No cash reports are required. Each grantee must submit a Quarterly Performance Report (QPR) through the DRGR system not later than 30 days following the end of each calendar quarter. Quarterly reports include information on obligations, expenditures, drawdowns, and accomplishments for all grantee activities. HUD reviews these quarterly reports to assess grantee compliance and performance. The Quarterly Performance Report (QPR), submitted by grantees on a quarterly basis through HUD's Disaster Recovery Grant Reporting (DRGR) system includes information on expenditures for all their activities. The Department performs regular performance monitoring of grantees both remotely and through on-site reviews. The Department determines on-site monitoring priorities based on a risk-analysis of the grantees portfolio of activities or known high-risk areas. For all headquarter-held grants under this appropriation, HUD conducts at least two on-site monitoring reviews each year. The Office of Community Planning and Developments CPD Notice CPD-12-02, Implementing risk Analysis for Monitoring Community Planning

and Development Grant Programs describes the Departments risk analysis process. Monitoring exhibits can be found in Chapter 6 of HUDs Community Planning and Development (CPD) Grantee Monitoring Handbook.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The auditee may elect to have a program-specific audit conducted under certain limited circumstances. CDBG DR grantees shall make reviews and audits, including onsite reviews of any subrecipients, designated public agencies, and UGLGs, as may be necessary or appropriate to meet the requirements of 42 U.S.C. 5304(e)(2), as amended, and as modified by the federal notice covering grant funds. Additionally, grantees must have an internal audit function in place for the detection of fraud, waste, and abuse where the responsible audit staff report independently to the chief officer or board of the organization designated to administer the CDBG DR award.

Records:

Grantees must maintain records with regard to eligibility, national objectives, financial management, citizen participation, relocation, other resources, acquisition, housing assistance to dwelling units and households, equal opportunity, environmental impact, labor standards and other requirements set forth in the program regulations. Records shall be retained for a period of three years from closeout of the grant, except as otherwise prescribed in the regulations.

Account Identification:

86-0162-0-1-451.

Obligations:

(Formula Grants) FY 16 \$8,757,761,836; FY 17 est \$5,424,129,914; and FY 18 est \$5,424,129,914 - These funds represent obligations to the thirty four (34) currently identified state and local government grantees during each of the named fiscal years for disaster recovery from Hurricane Sandy and other federally declared disasters that occurred in 2011, 2012, and 2013.

Range and Average of Financial Assistance:

From low of \$5,061,000 obligated to the State of Texas under the 2011 disaster recovery program area to a high of \$4,416,882,000 obligated to the State of New York under the Hurricane Sandy recovery program area.

TAFS Codes:

86-13-17-0162.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Through the end of FY2016, CDBG DR grants totaling \$14,181,892,000 had been allocated to local governments and state impacted by Hurricane Sandy and other qualifying events occurring in 2011, 2012, and 2013. Of those funds, HUD had obligated \$8,757,761,836 (61.8% of the allocation) and grantees had drawn \$6,080,472,357 (69.4% of the obligated amount) toward their recovery efforts. Fiscal Year 2017: Through July 1, 2017, thirty four (34) CDBG DR grants totaling \$14,181,892,000 have been allocated to local governments and states impacted by Hurricane Sandy and other qualifying events occurring in 2011, 2012, and 2013. Of those funds, HUD has obligated \$13,930,313,820 (98.2% of the allocation) and grantees have drawn \$7,614,267,270 (54.7% of the obligated amount) toward their recovery efforts. HUD projects an additional \$251,578,180 will be obligated by the end of the 2017 fiscal year in order to meet the September 30, 2017 obligation deadline for this appropriation. Fiscal Year 2018: HUD projects all funds will be obligated by the end of this fiscal year and grantees provided with expenditure deadline extensions will fully expend funds by the outer permissible deadline of September 30, 2022.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Regulations for Community Development Block Grants, 24 CFR 570 and the following Federal Register notices: 78 FR 14329, 78 FR 23578, 78 FR 46999, 78 FR 69104, 78 FR 17173, 78 FR 32262, 78 FR 76154, 78 FR 31964, 79 FR 40133, 79 FR 60490, 79 FR 62182, 80 FR 1039, 80 FR 17772, 80 FR 26942, 80 FR 51589, 80 FR 72102, 81 FR 7567, 81 FR 36557, 81 FR 54114, 82 FR 9753.

Regional or Local Office:

See Regional Agency Offices. None. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Phyllis J. Foulds 451 7th Street SW, Room 7272
, Washington, District of Columbia 20410 Email: phyllis.j.foulds@hud.gov
Phone: 817-978-5948 Fax: 202-401-2044

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/comm_planning/communitydevelopment/programs/drsi

RELATED PROGRAMS:

14.218 Community Development Block Grants/Entitlement Grants; 14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas; 14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii; 14.272 National Resilient Disaster Recovery Competition

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.270 APPALACHIA ECONOMIC DEVELOPMENT INITIATIVE

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1999, Public Law 105-276.

OBJECTIVES:

AEDIs goal is to increase access to capital for business lending and development in the chronically under-served and under-capitalized Appalachian region. Specifically, it will provide direct investment and technical assistance to community development lending and investing institutions in areas of the Appalachian Region that suffer from a lack of capacity to support business development. The AEDI will coordinate with ARCs economic development programs such as the ACC intermediary to identify areas within the Appalachian Region that are most in need of lending and investment capacity. The AEDI will provide funding and assistance to local rural non-profit organizations that will increase the capital and support available to businesses in under-served communities and enhance the effectiveness of economic development programs for these areas.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Innovative economic development uses, including but not limited to loan or investment capital, loan loss reserves, program staff costs, information systems, market studies, portfolio analyses, business planning, and other activities supporting the program goals. Award must be used to support the eligible activities.

Applicant Eligibility:

Applicants that are eligible to participate in this initiative are State community and/or economic development agencies that apply on behalf of local rural nonprofit organizations in the Appalachia Region. Applicants may serve markets other than Appalachia residents and communities, using other sources of financing.

Beneficiary Eligibility:

Eligible target markets for use of AEDI funds include geographic areas and/or populations, as described below.

Geographic areas include the 420 counties in 13 states that make up the Appalachia Region, as defined by the Appalachian Regional Commission. Appalachian Regional Commission (ARC) is a federal-state partnership working to stimulate economic development. The ARC is composed of the governors of the 13 Appalachian states and a federal co-chair, who is appointed by the president. Local participation is provided through multi-county local development districts. The thirteen states that are included in the region are: Alabama, Georgia, Kentucky, Maryland, Mississippi, New York, North Carolina, Ohio, Pennsylvania, South Carolina, Tennessee, West Virginia, and Virginia.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The AEDI Competition has been completed according to application procedures and instructions included in the FY2014 AEDI NOFA and the FY2014 General Section.

Award Procedure:

HUD will review eligible submitted applications and determine application scores. Once rating scores are assigned, rated applications will be listed in ranked order. HUD will send written notifications to both successful and unsuccessful applicants. After award, grantees will submit their action plan and budget into HUD's web based system prior to approval to draw down. Applicants will be given the opportunity to amend their budget according to the grant award, in the event HUD awards less than the requested amount prior to entering into the grant agreement. HUD will approve the action plan(s) or notify the grantee of matters that need to be addressed prior approval.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. The AEDI Competition has been completed and awards were announced March 30, 2015.

Appeals:

Not Applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards will be made available for a period of 36 months from the date of execution of the grant agreement. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are required to report to HUD, as specified in the grant agreement. Performance reports shall include reports on both performance and financial progress under action plans and shall include reports on the commitment and expenditure of leveraging resources utilized through the end of the reporting period. Through the end of the reporting period. Reports shall conform to the reporting requirements of the 24CFR part 84 CFR part 85. Information on reporting requirements and procedures was made available in Notice of Funds

Availability published in FY2014. Information on reporting requirements and procedures was made available in Notice of Funds Availability published in FY2014. Information on reporting requirements and procedures was made available in the Notice of Funds Availability published in FY2014. Information on reporting requirements and procedures was made available in the Notice of Funds Availability published in FY2014.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Applicants must maintain records to satisfy the reporting requirements listed above and in the FY2014 AEDI NOFA.

Account Identification:

86-0324-0-1-604.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This is a one time program using recaptured money from the RHED program.

Range and Average of Financial Assistance:

Up to \$1,000,000.

TAFS Codes:

86-0324.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No budget funds were received in FY2016. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Information on specific regulations and guidelines were made available in Notice of Funds Availability published the FY2014.

Regional or Local Office:

None.

Headquarters Office:

Thann Young Office of Rural Housing and Economic Development
U.S. Department of Housing and Urban Development
451 7th Street SW, Room 7137
, Washington DC, District of Columbia 20410 Email: thann.young@hud.gov
Phone: 1-877-787-2526

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Information on application review and selection criteria was made available in the Notice of Funds Availability published in the FY2014.

14.271 DELTA COMMUNITY CAPITAL INITIATIVE

DCCI

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1999, Public Law 105-276.

OBJECTIVES:

DCCIs goal is to increase access to capital for business lending and

development in the chronically under-served and under-capitalized Lower Mississippi Delta Region. Specifically, it will provide direct investment and technical assistance to community development lending and investing institutions so that funding opportunities will be more effective and economic development services will expand to the under-served residents of Lower Mississippi Delta Region. DCCI will focus on helping local financial institutions improve their capacity to raise capital, and to lend and invest in local communities. Strengthening local community development lenders and investors will also widen the channels through which larger private institutions and federal agencies can reach small business owners and entrepreneurs who need their support.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Innovative economic development uses, including but not limited to loan or investment capital, loan loss reserves, program staff costs, information systems, market studies, portfolio analyses, business planning, and other activities supporting the program goals. Award must be used to support the eligible activities.

Applicant Eligibility:

Applicants that are eligible to participate in this initiative are community development lenders and investors, which may be local rural non-profit organizations or federally recognized tribes. Applicants do not need to be certified as Community Development Financial Institutions by the CDFI Fund at the time of application. If applicants propose to become certified as a CDFI, they should lay out milestones and time frames toward the CDFI certification process in their application. Applicants may serve other markets than Delta residents and communities, using other sources of financing.

Beneficiary Eligibility:

Eligible target markets for use of DCCI funds include businesses owned by or serving low income residents of the Lower Mississippi Delta Region. The Delta region includes the 252 counties and parishes in eight states that make up the Lower Mississippi Delta Region, as defined by the Delta Regional Authority. The Delta Regional Authority (DRA) is a federal-state partnership working to stimulate economic development and governed by the Delta Regional Authority Board, comprised of the Federal Co-Chairman, appointed by the President and confirmed by the U.S. Senate, and the governors of the eight states. The eight states that are included in the region are: Alabama, Arkansas, Illinois, Kentucky, Louisiana, Mississippi, Missouri, and Tennessee.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Appalachia Economic Development Initiative has been completed and awards were made March 30, 2015. Application procedures information was made available in the Notice of Funds Availability published in fiscal year 2014.

Award Procedure:

Award procedures information was made available in the Notice of Funds Availability published in fiscal year 2014.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

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Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
 This program has no matching requirements. The Delta Community Capital Initiative will not have matching requirements.
 MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards will be available for a period of 36 months from the date of execution of the grant agreement. Method of awarding/releasing assistance: by letter of credit.

Reports:

Information regarding reporting requirements and procedures was made available in the Notice of Funds Availability published in fiscal year 2014. Information regarding reporting requirements and procedures was made available in the Notice of Funds Availability published in fiscal year 2014. Information regarding reporting requirements and procedures was made available in the Notice of Funds Availability published in fiscal year 2014. Information regarding expenditure reporting requirements and procedures was made available in the Notice of Funds Availability published in fiscal year 2014. Information regarding reporting requirements and procedures was made available in the Notice of Funds Availability published in fiscal year 2014.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Information regarding record keeping requirements and procedures was made available in the Notice of Funds Availability published in fiscal year 2014. Applicants must maintain records to satisfy the reporting requirements listed above and in the BCCI NOFA.

Account Identification:

86-0324-0-1-604.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This is a one time program using recaptured money from the RHED program.

Range and Average of Financial Assistance:

Original projection was \$1,000,000. Actual amount of funds made available was \$1,478,040.

TAFS Codes:

86-0324.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information regarding specific regulations and guidelines was made available in the Notice of Funds Availability published in fiscal year 2014.

Regional or Local Office:

None.

Headquarters Office:

Thann Young Office of Rural Housing and Economic Development
 U.S. Department of Housing and Urban Development
 451 7th Street SW, Room 7137
 , Washington DC, District of Columbia 20410 Email: thann.young@hud.gov
 Phone: 1-877-787-2526

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Information regarding the application review and selection criteria is available in the Notice of Funds Availability, which was published in fiscal year 2014.

14.272 NATIONAL DISASTER RESILIENCE COMPETITION

Community Development Block Grant P. L. 113-2

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Disaster Relief Appropriations Act, 2013 Public Law 113-2., Public Law 113-2.

OBJECTIVES:

The overall CDBG program objective is to develop viable urban communities, by providing decent housing and a suitable living environment, and by expanding economic opportunities, principally for persons of low and moderate income. The National Resilient Disaster Competition program funds appropriated by P.L. 113-2 are available for resilient recovery projects and necessary expenses related to disaster relief, long-term recovery, restoration of infrastructure and housing, and economic revitalization in the most impacted and distressed areas resulting from a major disaster declared pursuant to the due to Hurricane Sandy and other eligible events in calendar years 2011, 2012, and 2013.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The competition supports innovative resilience projects at the local level while encouraging communities to adopt policy changes and activities that plan for the impacts of extreme weather and rebuild affected areas to be better prepared for the future. The competition underscores the ability communities have to not only recover from recent disasters but also rebuild better and stronger for the future. It will allow them to engage local stakeholders, nongovernmental organizations and the philanthropic sector to protect their own communities from the impacts of climate change by enhancing resilient infrastructure, building on sound science, and deploying innovative approaches to investments. These funds will enable eligible communities to access resources that help both recover from the previous disaster and make plans, decisions, and investments that make them more resilient to the next disaster. Recipients may undertake a wide range of activities directed toward disaster relief, long-term recovery, restoration of infrastructure and housing, and economic revitalization. However, Community Development Block Grant Disaster Recovery (CDBG DR) funds may not be used for activities reimbursable by or for which funds are made available by the Federal Emergency Management Agency (FEMA) or the Army Corps of Engineers. Grantees must conduct an assessment of community impacts and unmet needs to guide the development and prioritization of planned recovery activities and develop their own programs and funding priorities as long as programs/activities conform to the statutory standards and program regulations. Some of the specific activities that can be carried out with CDBG DR funds include buying damaged properties in a flood plain and relocating residents to safer areas; relocation payments for people and businesses displaced by the disaster; debris removal not covered by FEMA; rehabilitation of homes and buildings damaged by the disaster; buying, constructing, or rehabilitating public facilities such as streets, neighborhood centers, and water, sewer and drainage systems; code enforcement; homeownership activities such as down payment assistance, interest rate subsidies and loan guarantees for disaster victims; public services (generally limited to no more than 15 percent of the grant); helping businesses retain or create jobs in disaster impacted areas; and planning and administration costs (limited to no more than 20 percent of the grant). Eligible activities must meet at least one of three program national objectives: benefit persons of low and moderate income, aid in the prevention or elimination of slums or blight, or meet other urgent community development needs because existing conditions pose a serious and immediate threat to the health and welfare of the community where other financial resources are not available. CDBG DR grants primarily benefit low-income residents in and around communities that have experienced a natural disaster. Generally, grantees must use at least half of Disaster Recovery funds for activities that principally benefit low-and moderate-income

persons. These can be either activities in which all or the majority of people who benefit have low or moderate incomes or activities that benefit an area or service group in which at least 51 percent of the population are of low- and moderate-income. HUD can only waive this requirement on a showing of compelling need." Recipients may contract with other local agencies or nonprofit organizations to carry out components of their programs. Recipients may only carry out eligible activities as listed in 24 CFR 570.201-207 or for which a waiver or alternative requirement has been granted by the Secretary, based upon a determination that good cause exists and that the waiver or alternative requirement is not inconsistent with the overall purposes of Title I of the HCD Act.

Applicant Eligibility:

CDBG DR competitive funds are made available to States and units of general local governments designated by the President of the United States as disaster areas. These communities must have significant unmet recovery needs and the capacity to carry out a disaster recovery program (usually these are governments that already receive HOME or Community Development Block Grant allocations). Grantees may use CDBG Disaster Recovery funds for recovery efforts involving housing, economic development, infrastructure and prevention of further damage to affected areas. However, CDBG DR funds may not be used for activities reimbursable by or for which funds are made available by the Federal Emergency Management Agency or the Army Corps of Engineers.

Beneficiary Eligibility:

The principal beneficiaries of CDBG DR funds are low- and moderate-income persons (generally defined as a member of a family having an income equal to or less than the Section 8 low income limit established by HUD) in communities that have experienced a disaster event. Generally, grantees must use at least half of Disaster Recovery funds for activities that principally benefit low- and moderate-income persons. These can be either activities in which all or the majority of people who benefit have low or moderate incomes or activities that benefit an area or service group in which at least 51 percent of the population are of low- and moderate-income. HUD can only waive this requirement on a showing of compelling need."

Credentials/Documentation:

Recipients must certify they will meet program requirements and applicable federal requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

As a condition of making any grant under this appropriation, the Secretary is required to certify in advance that grantees have in place proficient financial controls and procurement processes and have established adequate procedures to prevent any duplication of benefits as defined by section 312 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5155), to ensure timely expenditure of funds, to maintain comprehensive websites regarding all disaster recovery activities assisted with these funds, and to detect and prevent waste, fraud, and abuse of funds. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Recipients submit a comprehensive application to be considered for funding, SF Form 424, and certifications to HUD. The Application must meet the criteria of the NOFA and must identify the proposed use(s) of the grantees allocation, including criteria for eligibility, and how the uses address long-term recovery needs. Application for CDBG-RDR waiver and alternative requirement. The requirements for CDBG actions plans, located at 42 U.S.C. 12705(a)(2), 42 U.S.C. 5304(a)(1), 42 U.S.C. 5304(m), 42 U.S.C. 5306(d)(2)(C)(iii), 24 CFR 91.220, and 91.320 are waived for funds provided under the Appropriations Act. Instead, each grantee must submit to HUD an Application for CDBG-RDR. During the course of the grant, HUD will monitor the grantees actions and use of funds for consistency with the application, and meeting the performance and timeliness objectives therein and in this Attachment A. Per the Appropriations Act, and in addition to the requirements at 24 CFR 91.500, the Secretary may disapprove an amendment to an application if it is determined that the amended

application does not satisfy all of the required elements identified in this NOFA.

Award Procedure:

See NOFA or contact the headquarters office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. See NOFA or contact the headquarters office.

Appeals:

Administrative appeals process followed if grant funds are withheld or reduced, or repayment proposed for non-compliance or non-performance.

Renewals:

N/A.

Formula and Matching Requirements:

Statutory Formula: Title 24, Chapter V, Part 570.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

To ensure the timely expenditure of funds, section 904(c) under Title IX of P.L. 113-2 requires that all funds be expended within two years of the date HUD obligates funds to a grantee (funds are obligated to a grantee upon HUD's signing of the grantees CDBGDR grant agreement). Action Plans must demonstrate how funds will be fully expended within two years of obligation. For any funds that the grantee believes will not be expended by the deadline, it must submit a letter to HUD justifying why it is necessary to extend the deadline for a specific portion of funds. Additionally, P.L. 113-2 requires that HUD obligate all funds provided under Chapter 9, Community Development Fund, not later than September 30, 2017. However, NDR grantees have requested extensions of the expenditure deadline up until September 30, 2022. Those expenditure extensions will be published in a subsequent expenditure Federal Register notice. Method of awarding/releasing assistance: by letter of credit.

Reports:

HUD requires grantees to enter information in HUD's Disaster Recovery Grant Reporting (DRGR) system in sufficient detail to permit the Department's review of grantee performance on a quarterly basis through a Quarterly Performance Report (QPR). HUD reviews these quarterly reports to assess grantee compliance and performance. Quarterly reports include information on obligation, expenditures, leveraging, drawdowns, and accomplishments for all grantee activities. Each grantee must submit a QPR through the DRGR system no later than 30 days following the end of each calendar quarter. Cash reports are not applicable. The Quarterly Performance Report (QPR), submitted by grantees on a quarterly basis through HUD's Disaster Recovery Grant Reporting (DRGR) system include information on expenditures for all their activities. The Quarterly Performance Report (QPR), submitted by grantees on a quarterly basis through HUD's Disaster Recovery Grant Reporting (DRGR) system include information on expenditures for all their activities. The Department performs regular performance monitoring of grantees both remotely and through annual on-site reviews. The Department determines on-site monitoring priorities based on a risk-analysis of the grantees portfolio of activities or known high-risk areas. For all Headquarters-held grants under this appropriation, HUD staff will conduct at least one annual on-site monitoring review.

The Office of Community Planning and Development's CPD Notice CPD -12-02, 'Implementing Risk Analyses for Monitoring Community Planning and Development Grant Programs' describes the Department's risk analysis process. Monitoring exhibits can be found in Chapter 6 of HUD's Community Planning and Development (CPD) Grantee Monitoring Handbook.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The auditee may elect to have a program-specific audit conducted under certain limited circumstances. CDBG DR grantees shall make reviews and audits, including onsite reviews of any subrecipients, designated public agencies, and UGLs, as may be necessary or appropriate to meet the requirements of 42 U.S.C. 5304(e)(2), as amended, and as modified by the federal notice covering grant funds. Additionally, grantees must have an internal audit function in place for the detection of fraud, waste, and abuse where the responsible audit staff report independently to the chief officer or board of the organization designated to administer the CDBGDR award.

Records:

Grantees must maintain records with regard to eligibility, national objectives, financial management, citizen participation, relocation, other resources, acquisition, housing assistance to dwelling units and households, equal opportunity, environmental impact, labor standards and other requirements set forth in the program regulations. Records shall be retained for a period of four years after submission of the report in which the activity is reported as completed, except as otherwise prescribed in the regulations.

Account Identification:

86-0162-0-1-451.

Obligations:

(Project Grants (Discretionary)) FY 16 \$207,384,270; FY 17 est \$299,108,000; and FY 18 est \$350,000,000 - California \$70,359,459
Connecticut \$54,277,359
Iowa \$96,887,177
Louisiana \$92,629,249
Minot, ND \$74,340,770
New Jersey \$15,000,000
New Orleans, LA \$141,260,569
New York City, NY \$176,000,000
New York State \$35,800,000
Shelby County, TN \$60,445,163
Springfield, MA \$17,056,880
Tennessee \$44,502,374
Virginia \$120,549,000
TOTAL \$999,108,000.

Range and Average of Financial Assistance:

TBD.

TAFS Codes:

86-2013-2022-0162.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See NOFA or contact headquarters. Administrative Regulations for Community Development Block Grants, 24 CFR 570 and the following Federal Register notices: 78 FR 14329, 78 FR 32262, 78 FR 23578, and 81 FR 54114.

Regional or Local Office:

None. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Denise K. White 451 7th Street, SW, Washington, District of Columbia 20410
Email: denise.k.white@hud.gov Phone: 202-402-2714

Website Address:

<https://www.hudexchange.info/programs/cdbg-dr/resilient-recovery/>

RELATED PROGRAMS:

14.269 Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG-DR)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See NOFA.

14.273 PAY FOR SUCCESS PERMANENT SUPPORTIVE HOUSING DEMONSTRATION

Pay for Success Demonstration

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2014, Public Law 113-76; Consolidated and Further Continuing Appropriations Act, 2015, Public Law 113-235.

OBJECTIVES:

The purpose of the Department of Justice's (DOJ's) and Department of Housing and Urban Development's (HUD's) PFS Demonstration is to strengthen communities ability to prevent and end homelessness and reduce avoidable incarceration by increasing the provision of Permanent Supportive Housing (PSH), a proven evidenced-based practice. The PFS Demonstration is an opportunity to equip communities with a new financing mechanism for funding PSH projects that will prevent returns to homelessness and reduce recidivism among the reentry population. The PFS Demonstration is also a valuable opportunity to test ways of achieving greater cost efficiency and effectiveness in providing homelessness assistance while expanding communities access to available funding for PSH.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funding may be used to carry out a Pay for Success (PFS) model for the provision of PSH for the target population. Please see the PFS Demonstrations Notice of Funding Availability for the description of eligible activities and restrictions on the use of funds. Use of funds must be consistent with the funded application and are subject to the Department of Housing and Urban Development's grants administration laws, regulations, and policies. PFS Demonstration funds may not be used for the direct provision of housing or supportive services.

Applicant Eligibility:

The grantee will use grant funds for assessing the feasibility of a PFS project and/or structuring PFS operations, including: partnership building, capital-raising activities, program design for the target population at the Demonstration Site, managing contracts with service providers, making Success Payments on behalf of the government entity, and managing third-party evaluators. Intermediaries may carry out all activities directly and/or subaward funds to subrecipients or procure the services of contractors to carry out PFS activities.

Beneficiary Eligibility:

See program's Notice of Funding Availability.

Credentials/Documentation:

Various records and forms are required for applicants. Applicants should review the Notice of Funding Availability for specific requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Applicants are required to obtain a Letter of Interest from the applicable local governmental entity. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See PFS Demonstration Notice of Funding Availability for more information about application submission procedures.

Award Procedure:

See PFS Demonstration Notice of Funding Availability for more information about application submission procedures.

Deadlines:

Feb 12, 2016

Range of Approval/Disapproval Time:

DOJ and HUD announced awardee information on June 24, 2016.

Appeals:

See PFS Demonstration NOFA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The duration of the period of performance will vary depending on the eligible activities for which the grantee is awarded. Generally, HUD expects that Feasibility Analyses will require up to 12 months to complete, Transaction Structuring will require up to 18 months, and deal implementation will require at least several years before outcome verification may trigger Success Payments. As described in the PFS Demonstration NOFA, applicants must include a projected timeline in their application to account for the eligible activities for which the grantee is applying. HUD will continue to work with grantees to finalize an appropriate timeline that will be included in the cooperative agreement.

The total grant amount will be awarded at the time of initial award. The release of funds will be staggered, contingent upon the submission and approval of deliverables as outlined in the cooperative agreement. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Grantees will be required to develop performance measures to assess their progress in achieving the objectives for each eligible activity and meeting the terms of the grantees cooperative agreement with HUD. Grantees will be required to report to HUD annually on all performance measures and fiscal expenditures in a form prescribed by HUD. Grantees are also expected to participate in regular, at least quarterly, check-in meetings or conference calls with HUD staff. Grantees will be required to report to HUD annually on all performance measures and fiscal expenditures in a form prescribed by HUD. HUD staff will conduct monitoring using risk assessments, desk monitoring, and on-site reviews.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for Federal and nonfederal costs, timecards, copies of all contracts, personnel records, and job descriptions, program execution and performance records, and similar records to reflect all activities and spending performed under the award.

Account Identification:

86-0192-0-1-604.

Obligations:

(Project Grants) FY 16 \$8,679,000; FY 17 est \$0; and FY 18 est \$0 - \$8,679,000 was awarded through federal grants. The remainder of the \$10,000,000 appropriation is for administration and program evaluation of the PFS Demonstration.

Range and Average of Financial Assistance:

Range of awards is: \$881,376 to \$1.3 million.

The average award is: \$1.24 million.

TAFS Codes:

86-0192.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see the PFS Demonstration Notice of Funding Availability.

Regional or Local Office:

None. The PFS Demonstration will be administered by the SNAPS Office at HUD Headquarters.

Headquarters Office:

Karen M. Deblasio U.S. Department of Housing and Urban Development; Community Planning and Development; Office of Special Needs Assistance Programs, 451 7th Street, SW, Room 7260, Washington, DC 20410, Washington, District of Columbia 20410 Email: Karen.M.Deblasio@hud.gov Phone: 202-402-4773

Website Address:

<http://HUDEXchange.info>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are described in the PFS Demonstration NOFA.

14.275 HOUSING TRUST FUND

Housing Trust Fund

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

The Housing and Economic Recovery Act of 2008, Section 1131, Public Law 110-289.

OBJECTIVES:

To expand and preserve the supply of affordable housing, particularly rental housing, for extremely low-income and very low income households.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

For use by States for the rehabilitation or new construction of rental and homebuyer housing. Funds may be used for new construction or rehabilitation of public housing although several restrictions apply. Eighty percent must be used for rental housing, and up to 10 percent may be used for homebuyers, see regulations 24 CFR 93.203. There are several prohibited activities and fees that apply also, see regulations 24 CFR 93.204. Ten percent of funds may be used for administrative costs although several restrictions apply, see regulations 24 CFR 93.202.

Applicant Eligibility:

States as defined in 24 CFR 93.2 are eligible to receive formula allocations. Insular areas also may receive formula funds.

Beneficiary Eligibility:

At least 75 percent of funds must be used for extremely low-income families, or families with incomes at or below the poverty line (whichever is greater), unless the allocation is below \$1 billion, at which point 100 percent of the funds must be used for extremely low-income families.

Credentials/Documentation:

States must certify they will meet program requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Grantees are required to comply with the requirements of 24 CFR Part 91. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The responsible HUD Field Office notifies grantees of the formula awards. HUD prepares the grant agreement for signature.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

HTF allocation plans must be submitted by August 16, 2017.

Appeals:

Not Applicable.

Renewals:

Each year grantees are required to submit an HTF allocation plan as part of their annual action plan and certifications.

Formula and Matching Requirements:

Statutory Formula: Part 93, Subpart B, Public Law 110-289.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Once drawn down, funds must be expended within fifteen days. All funds must be committed to projects within 24 months and expended within 5 years. See the following for information on how assistance is awarded/released: Funds are expended as needed to complete the project.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. HTF grantees are required to report financial information and programmatic accomplishments in HUD's integrated disbursement and information data system. The HUD Field Office will conduct monitoring of projects.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records which enable HUD to determine whether the Housing Trust Fund has met the requirements of the program must be kept for the most recent five year period. Those records required to document the period of affordability must be maintained for five years beyond the required period of affordability.

Account Identification:

86-8560-4-2-604.

Obligations:

(Formula Grants) FY 16 \$3,000,000; FY 17 est \$191,176,000; and FY 18 est \$213,849,000

Range and Average of Financial Assistance:

\$7,771 to \$23,228,115; average is \$3,913,721.

TAFS Codes:

86-8560.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 93.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Peter Huber 451 7th Street SW, room 7164, Washington, District of Columbia 20410 Email: Peter.H.Huber@hud.gov Phone: 202-402-3941 Fax: 202-708-1744

Website Address:

<http://www.hudexchange.info/program/htf/>

RELATED PROGRAMS:

14.239 Home Investment Partnerships Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.276 YOUTH HOMELESSNESS DEMONSTRATION PROGRAM

Youth Homelessness Demonstration Program

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act (42 U.S.C. 11381-11389, Title IV, Subtitle C, as amended.).

OBJECTIVES:

The program is designed to promote a community-wide commitment to the goal of ending youth homelessness; provide funding for 10 communities (of which 4 will be rural communities). The program provides funding for efforts by nonprofit providers, States, and local governments to quickly re-house homeless unaccompanied youth. The program promotes access and effective utilization of mainstream programs and optimizes self-sufficiency among youth experiencing homelessness. Youth Homelessness Demonstration Program funds may be used to pay for the eligible costs used to establish and operate projects under five program components: permanent housing, transitional housing, supportive services only, Homeless Management Information Systems (HMIS), and homelessness prevention.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Eleven types of assistance may be provided through the Youth Homelessness Demonstration Program: (1) acquisition of real property (including structures) for use in the provision of housing or supportive services; (2) rehabilitation of structures to provide housing or supportive services; (3) new construction, including the building of a new structure or building an addition to an existing structure for use as supportive housing; (4) leasing of a new structure or structures, or portions thereof, to provide housing or supportive services; (5) rental assistance, which may be short-term, medium-term, or long-term, as well as tenant-based, project-based, or sponsor-based, for transitional or permanent housing; (6) supportive services to assist program participants obtain and maintain housing; (7) operating costs of supportive housing; (8) costs of implementing and operating HMIS; (9) program administrative costs; (10) relocation costs; and (11) indirect costs in accordance with 2 CFR Part 200, as applicable. No assistance provided under this program (or any State or local government funds used to supplement this assistance) may be used to replace State or local funds previously used, or designated for use, to assist homeless persons or persons at-risk of homelessness.

Applicant Eligibility:

Homeless unaccompanied youth (age 24 and younger) and homeless youth (age 24 and younger) with children.

Beneficiary Eligibility:

Homeless unaccompanied youth (age 24 and younger) and homeless youth (age 24 and younger) with children.

Credentials/Documentation:

Private nonprofit status is documented by submitting either (1) a copy of the Internal Revenue Service (IRS) ruling providing tax-exempt status under Section 501(c)(3) of the IRS Code; or (2) documentation showing that the entity is a certified United Way agency, or (3) a certification from a licensed CPA that

no part of the net earnings of the organization inures to the benefit of any member, founder, contributor, or individual; that the organization has a voluntary board; that the organization practices nondiscrimination in the provision of assistance and that the organization has a functioning accounting system. In addition, governmental entities and public housing agencies will certify to their eligibility. 2 CFR part 200 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. In accordance with criteria established in the NOFA, applicants must complete their application in a two-step process. The first step is the selection process; which chooses the 10 communities that are eligible to apply for projects under the program. The second step is application for project funding. Any proposed project under this program must be consistent with the applicable HUD-approved Consolidated Plan and must be permissible under applicable zoning ordinances and regulations.

Award Procedure:

HUD reviews applications, rates, and ranks those meeting threshold requirements. HUD will select communities to participate in the Youth Homelessness Demonstration Program based on the most highly ranked applications, in accordance with the NOFA. Selected communities will then be able to apply for projects directly, up to an amount equal to their formula-based allocation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Processing of applications and selection of successful applicants will take place at HUD. HUD Headquarters will make selection and obligate funds as soon as applications can be processed.

Appeals:

Applicants may appeal the results of HUDs review and selection process if they believe a HUD error has occurred. Appeals must be in writing to the Assistant Secretary for Community Planning and Development, must specify the error, and provide sufficient documentation.

Renewals:

Project renewals are allowed under the CoC program competition. See the subsequent CoC Competition Notice of Funding Availabilities for more information.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. Matching Requirements: 25 percent. The recipient and subrecipient must match all grant funds, except for leasing funds, with no less than 25 percent of cash funds or in-kind contributions from other sources. For Continuum of Care geographic areas in which there is more than one grant agreement, the 25 percent match must be provided on a grant-by-grant basis. Recipients that are UFAs or are the sole recipient for their Continuum may provide match on a Continuum-wide basis. This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Initial grants are two-year grants. Recipients must spend money within two years of obligation. Funds must be obligated by September 30, 2018. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Each recipient must submit the Annual Performance Report (APR). No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The financial management systems used by governmental entities that are recipients under this program must provide for audits in accordance with 24 CFR 45 as applicable. Private nonprofit entity recipients and their subrecipients provide audits in accordance with OMB Circular No. A-133. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must agree to participate in an evaluation of the program, and to keep any records and make any reports that HUD may require for the purpose of reporting to Congress on the cost of the program and the social, financial, and other advantages of Youth Homelessness Demonstration Program activities as a means of assisting homeless persons and those at-risk of becoming homeless.

Account Identification:

86-0192-0-1-604.

Obligations:

(Project Grants (Discretionary)) FY 16 Not Available; FY 17 est \$16,500,000; and FY 18 est \$16,500,000

Range and Average of Financial Assistance:

The allocation for selected communities ranges between \$1 million and \$15 million. The allocation is based upon a formula that considers the number of youth in the community and the local poverty rate.

TAFS Codes:

86-0192.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: HUD funded 10 communities, four of which are rural, that developed coordinated community plans to prevent and end youth homelessness. The strategy includes youth advisory boards comprised of young people with lived homelessness experience who are raising awareness, shaping policy, and making decisions on local plan development and implementation. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 578, Continuum of Care Program, is published in the Federal Register

Regional or Local Office:

See Regional Agency Offices. Designated contact person in the HUD Field Offices with a Community Planning and Development Division.

Headquarters Office:

Lisa A. Hill U.S. Department of Housing and Urban Development; Community Planning and Development; Office of Special Needs Assistance Programs, 451 7th Street, SW, Room 7256, Washington, District of Columbia 20410 Email: Lisa.A.Hill@hud.gov Phone: (202) 402-5183

Website Address:

<http://www.hudexchange.info/programs/yhdp>

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program; 14.267 Continuum of Care Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting applications for assistance are defined in a Notice of Funding Availability in the Federal Register and at www.hudexchange.info/programs/yhdp.

14.278 VETERANS HOUSING REHABILITATION AND MODIFICATION PROGRAM

Veterans Housing Rehabilitation and Modification Program

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Department of Housing and Urban Development, Consolidated Appropriations Act, 2016, Section 1079, Public Law 113-291, 38 U.S.C 2101.

OBJECTIVES:

The purpose of the Veterans Housing Rehabilitation and Modification Program is to award grants to nonprofit veterans service organizations to rehabilitate and modify the primary residence of disabled and low-income veterans.

Funds may be used to support eligible activities that serve the following objectives:

- 1) Modify and rehabilitate the primary residence of disabled and low-income veterans;
- 2) Rehabilitate such residence that is in a state of interior or exterior disrepair; and
- 3) Install energy efficient features or equipment.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible activities must support the program objectives described above. Awards must be used to support the eligible activities as follows:

- 1) Install wheelchair ramps, widen exterior and interior doors, reconfigure and re-equip bathrooms (which include the installations of new fixtures and grab bars), remove doorway thresholds, install special lighting, add additional electrical outlets and electrical service, and install appropriate floor coverings to: (1) accommodate the functional limitations that results from having a disability or (2) residence that do not have modifications necessary to reduce the chances that an elderly, but not disabled person, will fall in their home, reduce the risks of an elderly person from falling.
- 2) Rehabilitate a residence that is in a state of interior or exterior disrepair.
- 3) Install energy efficient feature or equipment if: (1) an eligible veterans monthly utility costs for such residence is more than 5 percent of such veterans monthly income, (2) the energy audit such residence indicates that the installation of energy efficient features or equipment will reduce the cost by 10 percent or more.

Applicant Eligibility:

Nonprofits organizations that provide nationwide or statewide programs that primarily serve veterans or low-income individuals.

Applicants that are nonprofits organizations as described in section 501(c) (3) or 501 (a) (19) of the Internal Revenue Code of 1986 and exempt from tax under section 501 (a) of such Code.

Beneficiary Eligibility:

Eligible recipients for use of the Veterans Housing Rehabilitation and Modification Program include disabled and low-income veterans.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This pilot program includes coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

HUD will issue a Notice of Funding Availability (NOFA) to administer this program. As a result, HUD will review eligible submitted applications and determine application scores. Once rating scores are assigned, rated applications will be listed in ranked order. HUD will send written notifications to both successful and unsuccessful applicants. Applicants will be given the opportunity to amend their budget according to the grant award, in the event HUD awards less than the requested amount prior to entering into the grant agreement. HUD will approve the action plan(s) or notify the grantee of matters that need to be addressed prior to approval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50%. A qualified organization receiving a grant under the pilot program shall contribute towards the housing modification and rehabilitation services provided to eligible veterans an amount equal to not less than 50 percent of the grant award received by such organization.

In order to meet the requirement, such organization may arrange for in-kind contributions.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards will be available for a period of up to 36 months from the date of execution of the grant agreement. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual report.--The Secretary shall submit to Congress, on an annual basis, a report that provides, with respect to the year for which such report is written. No cash reports are required. (1) The number of eligible veterans provided assistance under the pilot program; (2) the socioeconomic characteristics of such veterans, including their gender, age, race, and ethnicity; (3) the total number, types, and locations of entities contracted under such program to administer the grant funding; (4) the amount of matching funds and in-kind contributions raised with each grant; (5) a description of the housing rehabilitation and modification services provided, costs saved, and actions taken under such program; (6) a description of the outreach initiatives implemented by the Secretary to educate the general public and eligible entities about such program; (7) a description of the outreach initiatives instituted by grant recipients to engage eligible veterans and veteran service organizations in projects utilizing grant funds under such program; (8) a description of the outreach initiatives instituted by grant recipients to identify eligible veterans and their families; and (9) any other information that the Secretary considers relevant in assessing such program. Financial progress is required with grantees quarterly and annual reports. Performance monitoring will be conducted as a requirement of the grant.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Applicants must maintain records to satisfy the reporting requirements listed above.

Account Identification:

86-0176-0-1-604 - Veterans Housing Rehabilitation and Modification.

Obligations:

(Salaries) FY 16 Not Separately Identifiable(Exp: Fiscal Year 2016 Appropriation); FY 17 est \$0; and FY 18 est \$3,000,000 - The Fiscal Year 2016 Appropriation.

Range and Average of Financial Assistance:

Up to \$1,000,000 per grant.

TAFS Codes:

86-0176.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Headquarter - Office of Rural Housing and Economic Development.

Headquarters Office:

Jackie L. Williams, Ph.D. U.S. Department of Housing and Urban Development
451 7th Street SW, Room 7240
, Washington, District of Columbia 20410 Email: jackie.williams@hud.gov
Phone: 877-787-2526

Website Address:

<http://www.hud.gov/ruralgateway>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Two types of reviews will be conducted. First, HUD will review each application to determine whether it meets threshold eligibility requirements. Second, HUD will review and assign scores to applications meeting the threshold requirements. Once rating scores are assigned, rated applications will be listed in ranked order. After HUD has rated and ranked the applications, applicants within the fundable range of 75 or more points will be funded in ranked order up to the amount requested, or in a lesser amount if sufficient funds are not available. HUD reserves the right to reduce the amount of the award requested.

14.400 EQUAL OPPORTUNITY IN HOUSING

Fair Housing

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

The Fair Housing Act, 42 U.S.C. 3600 et seq.

OBJECTIVES:

To provide fair housing throughout the United States and an administrative enforcement system which is subject to judicial review.

TYPES OF ASSISTANCE:

INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

With limited exceptions, no housing offered commercially for sale or rental, may be advertised, sold, leased out, financed or appraised in a manner which discriminates on the basis of race, color, national origin, religion, sex, disability or familial status (the presence of children under the age of 18 in a household or

a pregnant woman). In addition, housing providers and others must allow reasonable modifications to units and provide reasonable accommodations when these are necessary to allow a person with a disability full housing rights. Finally, multi-family housing built for occupancy after March 13, 1991, must comply with the Accessibility Guidelines established. Complaints of discrimination are investigated and conciliated. When conciliation is unsuccessful and where investigation indicates reasonable cause to believe that discrimination occurred or is about to occur, complainants may pursue their complaints before an Administrative law judge or in Federal district court (The Department of Justice litigates on behalf of complainants in Federal district court, or HUD litigates on their behalf in the administrative forum). Also conferences and training sessions are held to explain rights and responsibilities under the law to attorneys, developers, the real estate industry and the general public.

Applicant Eligibility:

Any aggrieved person, or the Assistant Secretary, may file a complaint based on an alleged discriminatory housing practice because of race, color, religion, sex, familial status, disability, or national origin. The complaint may be filed with the Department of Housing and Urban Development or a State or local fair housing agency with processes, procedures and remedies substantially equivalent to those of the Department, and which the Department has approved.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Complaints may be sent to any HUD Field Office, or to HUD's Washington, DC Headquarters.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

None.

Appeals:

Depends upon result of complaint processing.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
None.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

86-0340-0-1-751 - Salaries and Expenses Personnel Services.

Obligations:

(Salaries) FY 16 \$64,180,000; FY 17 est \$66,604,000; and FY 18 est \$66,089,000 - This is for Personnel Services and Appropriation Account 86-0340-0-1-751. (Salaries) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - Fund 0333 is no longer active.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-86-17-0340.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations implementing the Fair Housing Act, 24 CFR Part 100; "Fair Housing It's Your Right," English (HUD-1686-FHEO); Fair Housing - Complaint Processing, 24 Part 103; Administrative Proceedings Under Section 812 of the Fair Housing Act, 24 CFR Part 180; Consolidated HUD Hearing Procedures for Civil Rights Matters Certification of Substantially Equivalent Agencies, 24 CFR 115; Preamble to Final Rule Implementing Fair Housing Amendments Act of 1988, 54 Federal Register 3232 (1989); CFR Ch I, Subch. A, App II.

Regional or Local Office:

None. HUD Fair Housing and Equal Opportunity Office listed in Appendix IV of the Catalog.

Headquarters Office:

Turner Russell, 451 7th Street, S.W., Room 5208, Washington, District of Columbia 20410 Email: TurnerRussell@hud.gov Phone: 202-402-6995

Website Address:

<http://www.hud.gov/complaints/housediscrim.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.401 FAIR HOUSING ASSISTANCE PROGRAM STATE AND LOCAL

(FHAP)

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

The Fair Housing Act 42 U.S.C. 3600 et seq.

OBJECTIVES:

To provide assistance to State and local fair housing enforcement agencies for complaint processing, training, technical assistance, education and outreach, data and information systems and other activities that will further fair housing within the agency's jurisdiction. The intent of the Fair Housing Assistance Program (FHAP) is to build a coordinated intergovernmental enforcement of fair housing laws and provide incentives for States and localities to assume a greater share of responsibility for administering fair housing laws.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The FHAP program provides funds on a formula basis to fully certified State and local agencies for complaint processing, administrative costs, and training associated with the enforcement of a substantially equivalent fair housing law. State and local fair housing enforcement agencies in their first three years of participation in the FHAP, which are interim certified, receive a fixed amount of funding to build the capacity of the agency to enforce substantially equivalent fair housing laws in lieu of the formula funding provided to fully

certified agencies.

Applicant Eligibility:

Eligible applicants include State and local government fair housing enforcement agencies administering fair housing laws that have been certified by HUD as providing substantially equivalent rights and remedies as those provided by the Fair Housing Act and which have executed formal written Agreements with HUD to process housing discrimination complaints. In determining eligibility, HUD may also take into consideration whether a jurisdiction is already served by a FHAP agency.

Beneficiary Eligibility:

Any person or group of persons aggrieved by a discriminatory housing practice because of race, color, religion, sex, disability, familial status or national origin. Also includes organizations (e.g. fair housing groups) that have suffered an injury as a result of a discriminatory housing practice.

Credentials/Documentation:

Costs will be determined in accordance with the Uniform Administrative Requirements, Costs Principles, and Audit Requirements for Federal Grants. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. None.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Certification and Funding of State and Local Fair Housing Enforcement Agencies, Chapter 24, Part 115.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements are executed for one year, they are non-competitive, and provide funding for complaint processing, administrative costs, and training for contribution agencies. Capacity building funds are also provided under a cooperative agreement for a period of up to three years. For detailed program information under each funding component, contact the Fair Housing and Equal Opportunity Region or Headquarters Office. Fixed price cooperative agreements are anticipated to be awarded with payments to be made in accordance with a schedule of dates set out in the cooperative agreements. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. .

Records:

State and local agencies are required to maintain housing discrimination complaint files for a period of one year after closure.

Account Identification:

86-0144-0-1-751.

Obligations:

(Formula Grants) FY 16 \$19,735,652; FY 17 est \$24,300,000; and FY 18 est \$24,300,000

Range and Average of Financial Assistance:

Funding is provided for capacity building, complaint processing, training, and administrative costs. Funding may also be available for partnership efforts and special enforcement efforts.

TAFS Codes:

86-17-18-0144.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: State and local agencies participating in the FHAP investigated and attempted/reached resolution in approximately 6,976 complaints of discrimination cognizable under the federal Fair Housing Act. Fiscal Year 2017: No data available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

See 24 CFR Part 115 for regulations on the Certification and Funding of State and local Fair Housing Enforcement Agencies.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Joseph Pelletier 451 7th Street, S.W., Washington, District of Columbia 20410
Email: Joseph.A.Pelletier@hud.gov Phone: 202-402-2126

Website Address:

<http://www.hud.gov/offices/theo/partners/FHAP/index.cfm>.

RELATED PROGRAMS:

14.408 Fair Housing Initiatives Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Investigation, conciliation, and litigation of housing discrimination complaints brought under state and local laws that are substantially equivalent to the federal Fair Housing Act and fair housing partnerships that assist states and localities carry out provisions of state and local laws that are substantially equivalent to the federal Fair Housing Act. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: Investigation, conciliation, and litigation of housing discrimination complaints brought under state and local laws that are substantially equivalent to the federal Fair Housing Act and fair housing partnerships that assist states and localities carry out provisions of state and local laws that are substantially equivalent to the federal Fair Housing Act.

CRITERIA FOR SELECTING PROPOSALS:

Funding to a state or local agency is contingent on appropriation from Congress and the state or local agency's enforcement of a law that, on its face and in operation, is substantially equivalent to the Fair Housing Act.

14.408 FAIR HOUSING INITIATIVES PROGRAM

(FHIP)

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended; Housing and Community Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815.

OBJECTIVES:

To develop, implement, carry out, or coordinate programs and/or activities to educate the public about, as well as provide enforcement of, fair housing rights

under the Fair Housing Act; 42 U.S.C. 3601-3619 or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices. Objectives include provisions for strengthening existing or establish new fair housing enforcement organizations.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial assistance is provided for projects to prevent or eliminate discriminatory housing practices. Eligible projects and activities must be conducted in accordance with procedures contained in 24 CFR Part 125 and in an annual Notice of Funding Availability. Financial assistance is provided for projects to affirmatively further fair housing conducted by public or private entities. However, fair housing enforcement projects, including those to support the continued development of existing fair housing organizations and to establish new ones, may only be awarded to fair housing enforcement organizations under the Fair Housing Act or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices. Education and outreach projects may generally be awarded to a wider variety of organizations including public or private or not-for-profit organizations or institutions, or other public or private entities that are working to prevent or eliminate discriminatory housing practices, as well as faith-based community-based organizations and State or local government agencies.

Applicant Eligibility:

State and local government agencies, public or private nonprofit organizations or institutions- and other public or private entities that are formulating or carrying out programs to prevent or eliminate discriminatory housing practices. Applicants for funding of testing activities must have at least one year of experience in complaint intake, complaint investigation, testing for fair housing violations, and enforcement of meritorious claims. Applicants for funding of testing activities must certify that they will not solicit funds from or seek to provide services or products for compensation to any person or organization which has been the subject of testing by the applicant for a 12-month period following a test. Fair housing enforcement organizations and nonprofit groups organizing to build their capacity to provide fair housing enforcement are also eligible applicants.

Beneficiary Eligibility:

Any person or group of persons aggrieved by discriminatory housing practices because of race, color, religion, sex, disability, familial status or national origin. Also, any person or group of persons, including landlords and real estate agents, to prevent discriminatory housing practices because of race, color, religion, sex, disability, familial status or national origin.

Credentials/Documentation:

Costs principles are determined accordance with 2 CFR Part 200.400 (Subpart E-Cost Principles). Applicants for enforcement related activities must provide written verification of 401 (c) (3) status. Applicants for funding of testing activities must certify that they will not solicit funds from or seek to provide services or products for compensation to any person or organization which has been the subject of testing by the applicant for a 12-month period following a test. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200.200 (Subpart C-Pre-Federal Award Requirements and Contents of Federal Awards) apply to this program. Applicants must be submitted in accordance with requirements and forms provided in the Notice of Funding Availability (NOFA) as posted on www.Grants.gov.

Award Procedure:

Funds are awarded in accordance with the NOFA. The signing official or designee has the final authority for awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines are listed under www.grants.gov

120-180 days.

Appeals:

From 30 to 60 days. 30-60 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding is in accordance with requirements and forms provided in the NOFA with project periods generally ranging from 12 to 36 months. Assistance is provided quarterly or as specified in the grant agreement. See the following for information on how assistance is awarded/released: Funds are awarded under the NOFA. Funds are released under funding documents as negotiated under the grant agreement. Funds are released under HUD's financial system as reimbursements for activities completed.

Reports:

Program reports are not applicable. Cash reports are not applicable. Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports will be required. Eligible applicants must submit reports applicable under 2 CFR 200.300 (Subpart D), and specifically 200.328. Expenditure reports are not applicable. Annual performance monitoring is required. Expenditure reports are not applicable. Grantees are assessed quarterly on their performance of timely submission of deliverables, the quality of their submissions and their efficiency in meeting specified timelines for drawing down funds and financial management. A final report of all cumulative activities is also required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. This program requires audit procedures in accordance with the provision of 2 CFR 200.500. Part 200.501 provides, non-Federal entities that expend financial assistance of 750,000 or more in Federal awards will have a single or program-specific audit conducted for that year. Additionally, 2 CFR 200.503 notes, non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year.

Records:

2 CFR 200.333 applies to this program.

Account Identification:

86-0144-0-1-751.

Obligations:

(Project Grants) FY 16 \$38,300,000; FY 17 est \$38,300,000; and FY 18 est \$38,300,000

Range and Average of Financial Assistance:

\$125,000-\$2,000,000.

TAFS Codes:

86-17-18-0144.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 125, Fair Housing Initiatives Program.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Paula Stone 451 7th Street, S.W.
Room 5222
Washington, D.C. 20410, Washington, District of Columbia 20410 Email: Paula.Stone@hud.gov Phone: 202 402-7054

Website Address:

<http://www.hud.gov/offices/fheo/partners/FHIP/fhip.cfm>.

RELATED PROGRAMS:

14.401 Fair Housing Assistance Program_State and Local

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria that will be considered in reviewing applications will be included in the NOFA, as posted on www.Grants.gov.

14.416 EDUCATION AND OUTREACH INITIATIVES

(FHIP EO)

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended; Housing and Community Development Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815., 42 U.S.C 3616a.

OBJECTIVES:

To assist organizations that are regional, and local, community based and National based programs that develop, implement, carry out, or coordinate programs and/or activities to educate the public about, their rights under, the Fair Housing Act; 42 U.S.C. 3601-3619 or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial assistance is provided for projects to educate the public in order to prevent or eliminate discriminatory housing practices. Eligible projects and activities must be conducted in accordance with procedures contained in 24 CFR Part 125 and in an annual Notice of Funding Availability. Financial assistance is provided for projects to affirmatively further fair housing conducted by public or private entities. Education and outreach projects may generally be awarded to a wider variety of organizations including public or private- not-for-profit organizations or institutions, or other public or private entities that are working to prevent or eliminate discriminatory housing practices.

Applicant Eligibility:

Qualified Fair Housing Enforcement Organizations, other Fair Housing Enforcement Organizations, other non-profit organizations representing groups of persons protected under Title VIII of the Civil Rights Act of 1968, State and local agencies certified by the Secretary under Section 810(f) of the Fair Housing Act, or other public or private entities that are formulating or carrying out programs to prevent or eliminate discriminatory housing practices.

Beneficiary Eligibility:

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Credentials/Documentation:

Applicable costs are determined by 2 CFR 200.400 (Subpart E Cost principals), and Costs for State and local government units will be determined in accordance with 2 CFR Part 225. Costs for applicants that are private nonprofit entities will be determined in accordance with 2 CFR 200.230. Costs for applicants that are educational institutions will be determined in accordance with 2 CFR Part 220. 2 CFR 200, Subpart E - Cost Principles applies to this

program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR Subpart C-Pre-Federal Award Requirements and Contents of Federal Awards applies to this program.

Award Procedure:

Applications will be evaluated competitively and awarded points based on the Selection Criteria included in the published Notice of Funding Availability. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Begins 30 days after funding awards to at least a period of 120 days.

Appeals:

Two to six months.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funding is in accordance with requirements and forms provided in the Notice of Funding Availability with project periods generally ranging from 12 to 18 months. Assistance is provided quarterly or as specified in the grant agreement. See the following for information on how assistance is awarded/released: Grant. See the following for information on how assistance is awarded/released: Grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports will be required. Eligible applicants must submit reports applicable under 2 CFR 203, and 2 CFR 200.327-337 Reports and Records, and reporting under Form HUD 96010. Expenditure reports are not applicable. Expenditure reports are not applicable. Annual performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. This program requires audit procedures in accordance with the provisions of 2 CFR 200, Subpart F.

Records:

None.

Account Identification:

86-0144-0-1-751.

Obligations:

(Cooperative Agreements) FY 16 \$7,049,935; FY 17 est \$7,450,000; and FY 18 est \$7,450,000

Range and Average of Financial Assistance:

\$125,000 to 1,500,000.

TAFS Codes:

86-17-18-0144.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No data available. Fiscal Year 2017: No data available. Fiscal Year 2018: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 125, Fair Housing Initiatives Program.

Regional or Local Office:

See Regional Agency Offices. HUD Field Office Fair Housing Enforcement Center or Program Operations and Compliance Center: Director, Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Paula Stone 451 7th Street, S.W.
Room 5222, Washington, District of Columbia 20410 Email: Paula.Stone@HUD.gov Phone: (202) 402-7054

Website Address:

<http://www.hud.gov/offices/ftheo/partners.FHIP/fhip.cfm>

RELATED PROGRAMS:

14.408 Fair Housing Initiatives Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Not Available. Fiscal Year 2017: Not Available. Fiscal Year 2018: Not Available.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria is based on 5 separate Rating Factors points, which are assigned by a Technical Evaluation Panel. The specific criteria and points assigned to each Rating Factor are listed in the Notice of Funding Availability, as posted on Grants.gov.

14.417 FAIR HOUSING ORGANIZATION INITIATIVES

(FHIP FHOI)

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended; Housing and Community Development Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815.

OBJECTIVES:

To assist in the organizing of fair housing enforcement organizations in order to develop, implement, carry out, or coordinate programs and/or activities that provide enforcement of fair housing rights under the Fair Housing Act; 42 U.S.C. 3601-3619 or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices. Objectives include provisions for strengthening existing fair housing enforcement organizations as well as establishing new organizations.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Financial assistance is provided for projects to prevent or eliminate discriminatory housing practices. Eligible projects and activities must be conducted in accordance with procedures contained in 24 CFR Part 125 and in accordance with the annual Notice of Funding Availability. Financial assistance is provided for projects to affirmatively further fair housing conducted by public or private entities. However, fair housing enforcement projects, including those to support the continued development of existing fair housing organizations and to establish new ones, may only be awarded to fair housing enforcement organizations under the Fair Housing Act or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices.

Applicant Eligibility:

Qualified Fair Housing Enforcement Organizations or Fair Housing Enforcement Organizations and nonprofit groups organizing to build their

capacity to provide fair housing enforcement.

Beneficiary Eligibility:

Any person or group of persons aggrieved by discriminatory housing practices because of race, color, religion, sex disability familial status or national origin. Also, any person or group of persons, including landlords or real estate agents, to prevent discriminatory housing practices because of race, color, religion, sex, disability, familial status or national origin.

Credentials/Documentation:

Costs principles are determined in accordance with 2CFR Part 200.400 (Subpart e-cost Principles). Applicants for enforcement related activities must be tax exempt non-profit organizations in accordance with 24 CFR part 125, and provide verification of 501(c)(3) status. Applicants for funding whose applications include conducting testing activities must certify that they will not solicit funds from or seek to provide services or products for compensation to any person or organization which has been the subject of testing by the applicant for a 12-month period following a test. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200.200-208 (Subpart C-PreFederal Award Requirements and Contents of Federal Awards) apply to this program. Applications must be submitted in accordance with requirements and forms provided in the Notice of Funding Availability as posted on www.Grants.gov.

Award Procedure:

Applications will be evaluated competitively and awarded points based on the selection criteria included in the published Notice of Funding Availability. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadline published on www.grants.gov or follow instructions above. Two to six months.

Appeals:

Begin 30 days after funding awards to at least a period of 120 days.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance: Funding is in accordance with requirements and forms provided in the Notice of Funding Availability with project periods generally ranging from 12 to 36 months. Assistance provided quarterly or as specified in the grant agreement. See the following for information on how assistance is awarded/released: This assistance is awarded through funding documents and grant agreements obligating documents. Funds are released through HUD's financial system. See the following for information on how assistance is awarded/released: This assistance is awarded through funding documents and grant agreements obligating documents. Funds are released through HUD's financial system.

Reports:

Program reports are not applicable. Cash reports are not applicable. Program reports are not required. Quarterly performance assessment reports are applicable. Cash reports are not applicable. Expenditure reports are not

applicable. Expenditure reports are not applicable. Grantees are assessed quarterly on their performance of timely submission of deliverables, the quality of their submissions and their efficiency in meeting specified timelines for drawing down funds and financial management. A final report of all cumulative activities is also required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Not applicable

Records:

None.

Account Identification:

86-0144-0-1-751.

Obligations:

(Project Grants) FY 16 \$900,000; FY 17 est \$500,000; and FY 18 est \$500,000

Range and Average of Financial Assistance:

\$500,000.

TAFS Codes:

86-17-18-0144-751.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 125, Fair Housing Initiatives Program.

Regional or Local Office:

See Regional Agency Offices. HUD Field Office Fair Housing Enforcement Center or Program Operations and Compliance Center: Director, Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Paula Stone 451 7th Street, S.W.
Room 5222
Washington, D.C. 20410, Washington, District of Columbia 20410 Email: Paula.Stone@HUD.gov Phone: 2024027054

Website Address:

<http://www.hud.gov/office/fheo/partners.FHIP/fhip.cfm>

RELATED PROGRAMS:

14.408 Fair Housing Initiatives Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No data available. Fiscal Year 2017: No data available. Fiscal Year 2018: No data available.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria is based on 5 separate Rating Factor points, which are assigned by a technical Evaluation Panel. The specific criteria and points assigned to each Rating Factor are listed in the Notices of Funding Availability, as posted on Grants.gov.

14.418 PRIVATE ENFORCEMENT INITIATIVES

(FHIP PEI)

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended; Housing and Community Development Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815.

OBJECTIVES:

To assist private non-profit fair housing enforcement organizations in the

investigation and enforcement of violations of the rights granted under title VIII of the Civil Rights Act of 1968 (42 U.S.C. 2801). To develop, implement, and carry out, related activities and enforcement under the or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices. Objectives include carrying out testing and other investigative activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds can be used to assist tax exempt non-profit fair housing enforcement organizations in enforcement activities. Financial assistance is provided for projects to prevent or eliminate discriminatory housing practices. Eligible projects and activities must be conducted in accordance with procedures contained in 24 CFR Part 125 and eligible activities provided under an annual Notice of Funding Availability.

Applicant Eligibility:

Eligible applicants are other private institutions/organizations with at least one year of enforcement related experience.

Beneficiary Eligibility:

Any person or group of persons aggrieved by discriminatory housing practices because of race, color, religion, sex disability familial status or national origin. Also, any person or group of persons, including landlords or real estate agents, to prevent discriminatory housing practices because of race, color, religion, sex, disability, familial status or national origin.

Credentials/Documentation:

Applicants for funding of testing activities must certify that they will not solicit funds from or seek to provide services or products for compensation to any person or organization which has been the subject of testing by the applicant for a 12-month period following a test. Cost principles are determined in accordance with 2 CFR Part 200.400 (Subpart E-Cost Principles). Applicants for enforcement related activities must provide verification of 501(c)(3) status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2CFR 200.200 (Subpart C- Pre-Federal Award Requirements and contents of Federal Awards) apply to this program. Applications must be submitted in accordance with requirements and forms provided in the Notice of Funding Availability as posted on www.Grants.gov.

Award Procedure:

Applications will be evaluated competitively and awarded points based on the Selection Criteria included in the published NOFA. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

All application deadline dates are listed in the NOFA. Applicants may also contact the headquarters or regional office, as appropriate, for application deadlines. Approval/disapproval times range between one to two months depending on the number of applications received.

Appeals:

Begin 30 days after funding awards to at least a period of 120 days.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funding is in accordance with requirements and forms provided in the NOFA with project periods ranging from 12 to 36 months. Assistance is awarded through funding documents and negotiated grant or cooperative agreements and HUD financial system releases funds based on reimbursement of activities. See the following for information on how assistance is awarded/released: 12 to 36 months.

Reports:

Program reports are not applicable. Cash reports are not applicable. Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports will be required. Additionally, private nonprofit organizations must submit all reports required pursuant to 2 CFR 200.300 (Subpart D), and specifically 200.328. Monitoring and reporting program performance, and reporting under Form HUD 96010. Tax exempt fair housing enforcement organizations. No expenditure reports are required. Performance monitoring is required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. This program requires audit procedures in accordance with the provision of 2 CFR 200.500. Part 200.501 provides, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Additionally, 2 CFR 200.503 notes, non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year.

Records:

2 CFR 200.333 applies to this program.

Account Identification:

86-0144-0-1-751.

Obligations:

(Cooperative Agreements) FY 16 \$30,350,000; FY 17 est \$30,350,000; and FY 18 est \$30,350,000

Range and Average of Financial Assistance:

\$325,000-\$975,000.

TAFS Codes:

86-17-18-0144.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No data available. Fiscal Year 2017: No data available. Fiscal Year 2018: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 125, Fair Housing Initiatives Program.

Regional or Local Office:

See Regional Agency Offices. HUD Field Office Fair Housing Enforcement Center or Program Operations and Compliance Center: Director, Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Paula Stone 451 7th Street, S.W.

Room 5222

Washington, D.C. 20410, Washington, District of Columbia 20410 Email:

Paula.Stone@HUD.gov Phone: (202) 402-7054

Website Address:

<http://www.hud.gov/offices/fheo/partners/FHIP/fhip.cfm>

RELATED PROGRAMS:

14.408 Fair Housing Initiatives Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No published data available. Fiscal Year 2017: No published data available. Fiscal Year 2018: No published data available.

CRITERIA FOR SELECTING PROPOSALS:

This selection criteria is based on 5 separate Rating Factor points, which are assigned by a Technical Evaluation Panel. The specific criteria and points assigned to each Rating Factor is listed in the Notice of Funding Availability.

14.506 GENERAL RESEARCH AND TECHNOLOGY ACTIVITY

FEDERAL AGENCY:

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

Title V of Housing and Urban Development Act of 1970, 12 U.S.C 1701z-1 et seq.

OBJECTIVES:

To carry out research, demonstration and program evaluation and monitoring projects of high priority and pre-selected by the Department to improve the operations of the Department's programs.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

HUD's Office of Policy Development and Research's (PD&R) funds are awarded through competitive procurement solicitations or Interagency Agreements with other Federal Agencies. Contracts and Interagency Agreement awards must comply with FAR requirements.

Applicant Eligibility:

Researchers, research organizations, State and local governments, academic institutions, public and/or private profit and nonprofit organizations which have authority and capacity to carry out projects.

Beneficiary Eligibility:

Researchers, research organizations, State and local governments, academic institutions, public and/or private profit and nonprofit organizations which have authority and capacity to carry out projects.

Credentials/Documentation:

Capacity and capability of applicant to perform research by contract under this program and to manage Federal funding. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Respond to Request for Proposals for Contracts.

Award Procedure:

Contact Proposals will be reviewed against criteria contained in the request for proposals issued by HUD's Office of the Chief Procurement Officer for procurement actions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As identified in request for proposals for competitive procurement awards.

Appeals:

Office of the Chief Procurement Officer for procurement actions and for all contractual matters.

Renewals:

As provided in agreement arrangements, if any.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As specified in the contractual agreement. Awards usually will not exceed twelve months. See the following for information on how assistance is awarded/released: Contract Award.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Full and accurate records must be maintained with respect to all matters covered in the agreement. Contracts awards are maintained in accordance with Departmental requirements.

Account Identification:

86-0108-0-1-451.

Obligations:

(Provision of Specialized Services) FY 16 \$58,312,095; FY 17 est \$71,180,473; and FY 18 est \$57,950,000

Range and Average of Financial Assistance:

\$15,000 - \$20,000,000.

TAFS Codes:

86-0108.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sandra Susan Brunson, 451 7th Street, Room 8230, Washington, District of Columbia 20410 Email: Susan.S.Brunson@hud.gov Phone: (202) 402-3852.

Website Address:

<http://Susan.S.Brunson@Hud.Gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.516 DOCTORAL DISSERTATION RESEARCH GRANTS

FEDERAL AGENCY:

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Urban Development Act of 1974, Title V, 12 U.S.C 1701z-1 et seq.

OBJECTIVES:

To enable doctoral candidates enrolled at institutions of higher education accredited by a national or regional accrediting agency recognized by the

Department of Education to complete their research and dissertations on policy-relevant housing and urban development issues.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Costs incurred in the timely completion of a dissertation including stipends, computer software, the purchase of data, travel expenses to collect data, transcription services, and compensation for interviews. Grants cannot be used for tuition, computer hardware, or meals. Indirect cost and honorariums cannot be charged under this program.

Applicant Eligibility:

Applicants must be accredited institutions of higher education recognized by the Department of Education that sponsor doctoral students who are currently enrolled and matriculating and have been accepted into candidacy in an accredited doctoral program. However, the sponsored doctoral student is responsible for the completion of the application (with the assistance of the institution).

Beneficiary Eligibility:

Beneficiaries are doctoral students.

Credentials/Documentation:

Doctoral students must meet the following requirements: (1) Be currently enrolled as a full-time student in an accredited doctoral program; (2) and either a U.S. citizen or U.S. national (such as a person born in Swains Island or American Samoa) with the meaning of 8 U.S.C. 1408 or be a lawful permanent resident (recipient of an Alien Registration Recipient Card-Form I-551, commonly referred to as a Green Card) or other qualified alien (such as an alien who has been granted asylum or refugee status) within the meaning of 8 U.S.C. 1651 (b); (3) By the application deadline date, the student's dissertation propose/prospectus has been accepted by the full dissertation committee; (3) The student will have an assigned dissertation advisor; (4) By September 1, 2010, the student will have satisfactorily completed all other written and oral doctoral degrees requirements, including all examinations, except the dissertation. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Institutions of higher education accredited by a national or regional accrediting agency recognized by the Department of Education that sponsor a doctoral student are the official applicants. However, the sponsor doctoral student is responsible for the completion of the application with the assistance of the institution. Students will respond to competitive requirements contained in a Notice of Funding Availability (NOFA) published in the Federal Register and available online at Grants.gov.

Award Procedure:

Applications will be reviewed against published criteria, rated and ranked, and awards will be made to the highest-ranking applications. If a doctoral student turns down the award offered, HUD will make an offer to the next highest-ranking application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Announcement of awards are anticipated on or before September 30th.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

HUD makes grants available for a performance period of 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

All grant recipients are required to submit a progress report and Program Logic Model annually through the grant period. At the end of the grant performance period, doctoral students must submit to HUD two copies and a CD-Rom containing an electronic copy of the approved dissertation in its final version and a final complete Logic Model, as well as a signed letter of approval from the dissertation committee. At the end of the grant period the applicant must submit a budget report. The narrative portion of the progress report must reflect the activities undertaken during the reporting period. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

The institution must maintain information on grant disbursement.

Account Identification:

86-0108-0-1-451.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

\$25,000 a grant for a maximum 2-year performance period.

TAFS Codes:

86-0108.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants will respond to competitive requirements contained in a Notice of Funding Availability (NOFA) published in the Federal Register and available on Grants.gov. More information about previously awarded grants is provided at www.oup.org.

Regional or Local Office:

None.

Headquarters Office:

Sandra Susan Brunson, 451 7th Street, Room 8230, Washington, District of Columbia 20410 Email: Susan.S.Brunson@hud.gov Phone: (202) 402-3852.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A competitive process is used in selecting grants. Criteria will be published in the annual NOFA.

**14.523 TRANSFORMATION INITIATIVE RESEARCH GRANTS:
SUSTAINABLE COMMUNITY RESEARCH GRANT PROGRAM
FEDERAL AGENCY:**

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2010 (Public Law 111-117 approved December 16, 2009). This program is approved by HUD's authority and administered under the Transformation Initiative (TI) account.

OBJECTIVES:

The purpose of this effort is to fill key data and information gaps, and to begin to develop and evaluate policy alternatives that communities can adopt to facilitate decision making about various community investments. Additionally, the HUD believes that the results from the program will enable the department to develop a broader sustainability agenda beyond current departmental priorities, as well as any future efforts or initiatives that may be considered based on the results of the research.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds awarded under this NOFA must be used to support direct costs incurred in the timely completion of the research product. Eligible costs include, but are not limited to, the researchers salary, associates to work on the project, protected/release time to demonstrate the need for a period of intensive research, computer software, data purchases, travel expenses to collect data or to make presentations at meetings, transcription services, and compensation for interviews. 1. Funding will only be provided to researchers who meet the standards for eligible applicants.

2. Grant funds awarded under this program may not be used to pay for computer hardware and/or meals.
3. Fee or profit will not be paid for work done under this program.
4. Applicants must comply with the Delinquent Federal Debt Requirement.

Applicant Eligibility:

Nationally recognized and accredited institutes of higher education; non-profit foundations, research consortia or policy institutes; for-profit organizations located in the U.S. and contract research institutions or academic entities that will sponsor a researcher or experts as the applicant. However, the sponsored researcher assumes the sole responsibility for the completion of the application and conducting the research.

In addition, the researcher must meet the following program requirements:

- a. Be a U.S. citizen or U.S. national (such as a person born in the Swains Island or American Samoa) within the meaning of 8 U.S.C. 1408, or be a lawful permanent resident (a recipient of an Alien Registration Recipient Card-Form I-551, commonly referred to as a Green Card), or other qualified alien (such as, an alien who has been granted asylum or refugee status) within the meaning of 8 U.S.C. 1651(b); and
- b. The proposed research project can be completed within the 24 months grant performance period.

Beneficiary Eligibility:

The purpose of this NOFA is to inform the research community of the opportunity to submit grant applications to fund quality research under the broad subject area of sustainable development. HUD is primarily interested in sponsoring cutting edge research in the areas of affordable housing development and preservation; transportation-related issues; economic development and job creation; land use planning and urban design; green and sustainable energy practices; and a range of issues related to sustainability.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications will be rated, ranked and awards will be made to the highest rated applications. HUD reserves the right to reduce the amount of funding requested in order to fund as many highly ranked applications as possible. Additionally, if funds remain after funding the highest ranked applications, HUD may fund part of the next highest-ranking application. If a researcher turns down the award offer, HUD will make an offer to the next highest-ranking

application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The process for applying for a grant through this NOFA involves a two-step process. First, applicants are invited to submit a preliminary application for funding consideration. Second, if the preliminary application is selected for further consideration, the researcher will be requested to submit a full application for funding consideration within 30 days after the closing date of this NOFA.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum grant performance period is for 24 months (2 years). Method of awarding/releasing assistance: by letter of credit.

Reports:

Reports must be submitted electronically. At the end of the grant performance period, researcher must submit to HUD two copies and a CD-ROM containing an electronic copy of the paper documenting the research finding in its final version, as well as a final report which summarizes the entire work and achievements conducted under this award. Cash reports are not applicable. All researchers that receive grant funds under this program are required to submit a quarterly progress report which describes the progress of the effort and the likelihood that it will be completed on time. Financial reports must be included that reflects the cost incurred by budget line, as well as a cumulative summary of costs incurred during the reporting period. Each grant's performance will be monitored.

Audits:

Not Applicable.

Records:

Records must be kept in accordance with the program's terms and conditions.

Account Identification:

86-0402-0-1-451.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This program is approved by HUD's research authority and administered under the Transformation Initiative (TI) account.

Range and Average of Financial Assistance:

An applicant may request a minimum of \$150,000, up to \$500,000 for an award, depending on the scope and scale of the proposed research. Awards under this NOFA will be made in the form of Cooperative Agreements.

TAFS Codes:

86-0402.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Sandra Susan Brunson, 451 7th Street, SW, Room 8230, Washington, District of Columbia 20410 Email: Susan.S.Brunson@hud.gov Phone: (202) 402-3852.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed in the NOFA.

**14.524 TRANSFORMATION INITIATIVE RESEARCH GRANTS:
NATURAL EXPERIMENTS**

FEDERAL AGENCY:

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2010 (approved December 16, 2009). This program is approved under HUD's research authority under the Transformation Initiative Fund, Public Law 111-117.

OBJECTIVES:

The purpose of this effort is to provide funding to support scientific research that makes use of natural experiments to evaluate the impact on local, state, and federal policies.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible costs include but are not limited to, the researchers salary, graduate assistants to work on the project, protected/release time for researcher who can demonstrate the need for a period of intensive research, computer software, purchase of data, travel expenses to collect data or to make presentations at meetings, transcription services, and compensation for interviews. The funds may not be used specifically for dissertation support, internationally oriented research, or demonstration projects; HUD has other programs aimed at funding such activities.

In addition, funding will only be provided to researchers who meet the standards for eligible applicants outlined in under this program; grant funds awarded under this program may not be used to pay for computer hardware and/or meals; honorariums cannot be charged against grants under this program; fee or profit will not be paid for work done under this effort; and applicants must comply with the Delinquent Federal Debt Requirement.

Applicant Eligibility:

Nonprofit organizations, for profit organizations located in the U.S (HUD will not pay fee or profit for the work conducted under this program), foundations, think tanks, consortia, Institutions of higher education accredited by a national or regional accrediting agency recognized by the U.S. Department of Education and other entities that will sponsor a.

Beneficiary Eligibility:

The research funded by these HUD grants should be state-of-the-art natural experiments and result in substantive contributions to the existing pool of scientific theory and evidence on the effectiveness of public policies. It should also yield methodological advances to evaluating public policy preference will be given to proposals aimed at developing general solutions that may be applied to additional problems. Projects may focus on any local, state, or federal policy relevant to HUD's mission of increasing homeownership, supporting community development, and increasing access to affordable housing free from discrimination. HUD is particularly interested in funding evaluations that can help this Administration and subsequent Administrations determine how to spend taxpayer dollars effectively and efficiently, though other types of projects will also be considered.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications will be reviewed against published criteria.

Award Procedure:

Applications will be rated, ranked, and awards will be made to the highest rated applications. If an applicant turns down an award offer, HUD will make an award to the next highest-ranking application. HUD also reserves the right to reduce the amount of funding requested in order to fund as many highly ranked applications as possible. Additionally, if funds remain after funding the highest ranked applications, HUD may fund part of the next highest-ranking application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum grant performance period is 24 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Reports must be submitted electronically. At the end of the grant performance period, researcher must submit to HUD two copies and a CD-ROM containing an electronic copy of the paper documenting the research finding in its final version, as well as a final report which summarizes the entire work and achievements conducted under this award. Cash reports are not applicable. All researchers that receive grant funds under this program are required to submit a quarterly progress report which describes the progress of the effort and the likelihood that it will be completed on time. Financial reports must be included that reflects the cost incurred by budget line, as well as a cumulative summary of costs incurred during the quarterly reporting period. Each grant's performance will be monitored.

Audits:

Not Applicable.

Records:

Records must be kept in accordance with the program's terms and conditions.

Account Identification:

86-0402-0-1-451.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This program is approved under HUD's research authority under the Transformation Initiative Fund.

Range and Average of Financial Assistance:

An applicant can request \$100,000 to \$250,000 per award, depending on the scope of the research proposed. Awards under this NOFA will be made in the form of a Cooperative Agreement.

TAFS Codes:

86-10-12-0402.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sandra Susan Brunson 451 7th Street, Room 8230, Washington, District of Columbia 20410 Email: Susan.S.Brunson@hud.gov Phone: (202) 402-3852.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed in the program NOFA.

**14.525 TRANSFORMATION INITIATIVE RESEARCH GRANTS:
DEMONSTRATION AND RELATED SMALL GRANTS**

FEDERAL AGENCY:

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2010 (Public Law 111-117 approved December 16, 2009). This program is approved by HUDs research authority under the Transformation Initiative Fund.

OBJECTIVES:

The Office of Policy Development and Research is conducting several major demonstrations through contract studies in the following areas: Family Self-Sufficiency; Pre-Purchase Counseling; Sustainable Building Practices; and Homeless Families Intervention. The purpose of this program is to enhance these demonstrations by providing a vehicle for conducting a number of small research projects aimed at collecting additional/supplemental information and analyses.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To support direct and indirect costs incurred in the timely completion of the research product. Eligible costs include but are not limited to, the researchers salary, assistants to work on the project, protected/release time for researcher who can demonstrate the need for a period of intensive research, computer software, purchase of data, travel expenses to collect data or to make presentations at meetings, transcription services, and compensation for interviews. 1. Funding will only be provided to researchers who meet the standards for eligible applicants outlined under this program. 2. Grant funds awarded under this program may not be used to pay for computer hardware and/or meals. 3. Fee or profit will not be paid for work done under this program. 4. Dissertation support is not eligible under this program.

Applicant Eligibility:

Nonprofit organizations, for profit organizations located in the U.S (HUD will not pay fee or profit for the work conducted under this program), foundations, think tanks, consortia, Institutions of higher education accredited by a national or regional accrediting agency recognized by the U.S. Department of Education and other entities that will sponsor a researcher, expert and analyst.

Beneficiary Eligibility:

The purpose of this program is to enhance these demonstrations by providing a vehicle for conducting a number of small research projects aimed at collecting additional/supplemental information and analyses.

Eligibility of tribes and tribal organizations applies only to the small grant program associated with the Sustainable Construction in Indian Country demonstration.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The process of applying for a grant through this program involves a two step process. Applicants are invited to submit a preliminary application for funding consideration. If the preliminary application is deemed worthy of further consideration, the researcher will be requested to submit a full application for funding consideration within 30 days after the closing date of the funding opportunity.

Award Procedure:

Applications will be reviewed against published criteria, rated and ranked, and awards will be made to the highest rated applications. If an applicant turns down an award offer, HUD will make an award to the next highest-ranking application. HUD also reserves the right to reduce the amount of funding requested in order to fund as many highly ranked applications as possible. Additionally, if funds remain after funding the highest ranked applications, HUD may fund part of the next highest-ranking application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The process of applying for a grant through this Notice of Public Interest (NOPI) involves a two step process. Applicants are invited to submit a preliminary application for funding consideration. If the preliminary application is deemed worthy of further consideration, the researcher will be requested to submit a full application for funding consideration within 30 days after the closing date of this NOPI.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum grant performance period is 3 years (36 months). Method of awarding/releasing assistance: by letter of credit.

Reports:

Reports must be submitted electronically. At the end of the grant performance period, researcher must submit to HUD two copies and a CD-ROM containing an electronic copy of the paper documenting the research finding in its final version, as well as a final report which summarizes the entire work and achievements conducted under this award. No cash reports are required. All researchers that receive grant funds under this program NOPI are required to submit a quarterly progress report which describes the progress of the effort and the likelihood that it will be completed on time. Financial reports must be included that reflects the cost incurred by budget line, as well as a cumulative summary of costs incurred during the quarterly reporting period. Each grant's performance will be monitored.

Audits:

Not Applicable.

Records:

Records must be kept in accordance with the program's terms and conditions.

Account Identification:

86-0402-0-1-451.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This program is approved under HUD's research authority and is administered under the Transformation Initiative Account.

Range and Average of Financial Assistance:

\$25,000-\$500,000 per grant for a maximum three-grant performance period. Awards under this NOPI will be made in the form of a Cooperative Agreement, and minimum and maximum award amounts will be specified under each NOPI.

TAFS Codes:

86-10-12-0402.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Sandra Susan Brunson, 451 7th Street, Room 8230, Washington, District of Columbia 20410 Email: Susan.S.Brunson@hud.gov Phone: (202) 402-3852.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed in the program NOPI.

14.529 FELLOWSHIP PLACEMENT PILOT PROGRAM

Fellowship Placement Pilot Program

FEDERAL AGENCY:

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

Section 7 (k) of the Department of Housing and Urban Development.

OBJECTIVES:

The fellowship program will be a competitive program that provides funding for early to mid-career professionals to work for two year terms in local government positions to supplement existing local capacity. Fellows will be deployed to pilot cities where they will support and assist local governments in their economic revitalization efforts.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

The selected Administrator will be responsible for two major activities of the fellowship program:

1. Manage and administer the fellowship program at the national and local level (Activity 1); and
2. Develop training curriculum and train fellows for the program (Activity 2).

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

none. Environmental impact information is not required for this program. This

program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. A notice for the fellowship program will be published in the federal register as soon as a PRA number is supplied. A 60 day and 30 day notice has been published. HUD is awaiting OMB approval, and anticipates that it will have the notice published sometime in July.

Award Procedure:

Applications will be reviewed against published criteria, rated and ranked, and awards made to the highest ranking application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Announcement of award are anticipated on or before September 30th.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

HUD will execute a cooperative agreement. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grant recipient will be required to submit four quarterly reports and one final report. Grant recipients will be required to submit a financial report with their quarterly and final report. Grant recipients are required to submit four quarterly progress reports that reflect the activities undertaken during the reporting period. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. none

Records:

Must be kept in accordance with the terms and conditions of the grant.

Account Identification:

86-8093-0-1-451.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - These funds were gifted to HUD pursuant to 42 U.S.C. 3535 (K) (l) by the Rockefeller Foundation.

Range and Average of Financial Assistance:

\$2,500,000 grant will be awarded for a two year performance period.

TAFS Codes:

86-8093.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sandra Susan Brunson 451 7th Street, Room 8230, Washington, District of Columbia 20410 Email: Susan.S.Brunson@hud.gov Phone: 2024023852

Website Address:

<http://www.hud.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.534 STRONG CITIES STRONG COMMUNITIES (SC2) NATIONAL RESOURCE NETWORK

FEDERAL AGENCY:

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated and Further Continuing Appropriations Act, 2012: This program is approved by HUDs authority and administered under the Transformation Initiative (TI) account. , Public Law 112-55.

OBJECTIVES:

The purpose of the SC2 National Resource Network is to help strengthen local communities and spur economic growth. The SC2 Network will provide economically distressed communities with short-term technical assistance in basic operational areas as well as programmatic issues, dictated by local need. In addition, the SC2 Network will aggregate public and private resources to serve a wide range of governments and connect them through a peer-to-peer learning network.

The objectives of the programs are as follows:

Provide communities facing long-term economic challenges with easily accessible and comprehensive technical assistance that can help retool them for future economic growth;

Build capacity in distressed communities by connecting them with philanthropic organizations, non profits, public and private sector entities to facilitate long-term partnerships and economic investments;

Develop a set of best practices and policy recommendations that can be disseminated and shared across all communities facing similar economic challenges;

Improve the alignment of federal resources as well as strengthen federal and local partnerships.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Eligible costs include: salaries for program staff and technical experts, traveling expenses, expenses related to the eligible activities in the NOFA such as providing operational assessments, developing the clearinghouse and Peer to Peer network, tracking performance metrics, building Local Resource Networks, and evaluating the program. 1) Funding will only be provided to applicants who meet the standards for eligible applicants.

2) Funds will not be used to pay for activities outside the scope of the NOFA.

3) Grant funds awarded under this program may not be used to pay for computer hardware.

4) Honorariums cannot be charged against awards under this program.

5) An organization may not provide assistance to itself.

6) An organization may not provide assistance to another organization with which it contracts or sub-awards funds to carry out activities under this award.

7) No fee or profit may be paid to any recipient or contractor of an award under this NOFA.

8) Contractors providing technical assistance/capacity building services/products are not consultants and thus are not subject to the salary provisions applicable to consultants in the FY2012 General Section. HUD will determine whether the salary rates are reasonable and customary for the skill set provided and the area(s) being served.

Applicant Eligibility:

The grantee of the SC2 Network, will have five categories of eligible activities:

1. Structure Development: Develop the SC2 Network structure that would include a team of expert technical service providers (pro bono or paid) that represent the breadth of relevant expertise needed to address the long-term economic challenges of communities. This responsibility also includes developing a Peer-to-Peer network to share lessons learned and best practices.

2. Engagement: Develop the engagement strategy for how the network will assist economically-distressed cities. This responsibility also includes systematizing how cities are engaged, prioritizing the level of assistance for each city, and matching the level of assistance based on the needs of each city.

3. Capacity building: Increase the capacity of participating governments in the area of intervention, not just to provide a one-time service that they cannot replicate. This responsibility might also include establishing a Local Resource Network (LRN) for communities with potential private and philanthropic resources to sustain local capacity building over a longer term.

4. Evaluation: Carefully document and evaluate interventions to build a series of best practice strategies that can benefit places with similar challenges, and develop forums for sharing this knowledge.

5. Policy Recommendation: Identify for the SC2 Council how federal policy changes could help local governments better achieve their economic development visions, and other policies, systems, and practices that support holistic and sustainable economic development.

In addition to these five categories, the Administrator will also work with the other three components of SC2 (described under I.A.1. SC2 Components), as well as the SC2 Council (described under I.A.2 Executive Order) to meet the broad goals and objectives of the initiative.

Beneficiary Eligibility:

The grantee must also have a demonstrated ability to obtain other community, private sector, and federal resources that can be combined with HUDs program resources to achieve program objectives. In general, an ideal Administrator will have most or all of the following characteristics:

Place-based: The selected applicant, buoyed by support of agencies and the philanthropic community, will have experience working in many distressed communities, and will be able to match these communities to relevant technical experts quickly and efficiently.

Resource-maximizing: The selected applicant will have a national scope and will be well-accustomed to the challenge of distributing scarce resources across communities with distinct needs. The ability to effectively evaluate requests for service will be paramount to using the SC2 Networks resources to their greatest potential.

Nimble, responsive, and service-oriented: The SC2 Network will be designed to provide very timely assistance that can thoroughly understand and adapt to needs on the ground. Therefore, the selected applicant will have, or can quickly pull together a deep team of technical experts, and, when necessary, develop partnerships between experts on issues that require multiple skill sets and excellent customer service skills.

Objective: In some cases, procedures or regulations may be a barrier to local capacity building. The selected applicant will facilitate local partnering and provide honest feedback to HUD and the other SC2 agencies for relieving burdens on local governments, while retaining accountability for results.

Sustainable: The selected Administrator will have the nonprofit management expertise required to make the SC2 Network financially sustainable, and as an outside entity, can attract philanthropic funding and leverage HUDs investment several times over.

Credentials/Documentation:

The following forms are required:

SF424

SF424 sup.

SF-LL forms. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Notice of Funding

Availability (NOFA) for the SC2 Network will be published in grants.gov. HUD will evaluate and rate all applications for funding that meet the threshold requirements.

Award Procedure:

Applications will be rated and ranked. HUD will announce a grantee when one has been selected. HUD will send written notifications to both successful and unsuccessful applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Debriefings are available by request for a period of 60 days after the award is publicly announced.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance for this grant is 36 months or (3 years). Method of awarding/releasing assistance: by letter of credit.

Reports:

Report must be submitted electronically on a quarterly basis, as well as regular reporting requirements to the SC2 Council. At the end of the grant performance period, a final report must be submitted. Cash reports are not applicable. Report must be submitted electronically on a quarterly basis, as well as regular reporting requirements to the SC2 Council. Financial reports must be included that reflect the cost incurred by budget line, as well as cumulative summary of costs incurred during the reporting period. Performance will be monitored.

Audits:

Not Applicable.

Records:

Records must be maintained in accordance with the program's terms and conditions.

Account Identification:

86-0402-0-1-451.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This program is approved by HUD's authority and administered under the Transformation Initiative (TI) account.

Range and Average of Financial Assistance:

Approximately \$5 million will be awarded to one applicant (or a consortium with a lead applicant). This award will be made in the form of a Cooperative Agreement. A Cooperative Agreement means that HUD will have substantial involvement during performance of the grant.

TAFS Codes:

86-0402.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sandra Susan Brunson, 451 7th Street, Room 8230, Washington, District of Columbia 20410 Email: Susan.S.Brunson@hud.gov Phone: (202) 402-3852.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

HUD will use the narrative response to the Rating Factors to evaluate, rate, and rank applications. The narrative statement is the main source of information when rating and ranking applications. Therefore, it is very important that the applicant becomes fully familiar with the rating factors for the NOFA.

14.535 TRANSFORMATION INITIATIVE: RENTAL ASSISTANCE DEMONSTRATION SMALL RESEARCH GRANT PROGRAM

FEDERAL AGENCY:

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated and Further Continuing Appropriations Act, 2012. This program is undertaken by HUD's research authority under the Transformation Initiative (TI) account., Public Law 112-55.

OBJECTIVES:

To support research projects that will produce policy-relevant new knowledge about the implementation and consequences of HUD's Rental Assistance Demonstration (RAD), which allows proven financing tools to be applied to at-risk public and assisted housing.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds awarded under this NOFA must be used to support direct and indirect costs incurred in the timely completion of the research product. 1. Funds awarded under this NOFA may not be used to pay for activities outside the scope of this NOFA.

2. Funding will only be provided to researchers who meet the standards for eligible applicants outlined in Section III (A).

3. Funds awarded under this NOFA may not be used to pay for computer hardware and/or meals.

4. Honorariums cannot be charged against awards under this program.

5. Fee or profit will not be paid for work done under this NOFA.

6. Dissertation support is not eligible under this program.

7. Applicants must comply with the Delinquent Federal Debt Requirement as defined in the.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications can be downloaded from the web at <http://www.grants.gov/applicants/apply-for-grants.jsp>. Applicants must submit complete applications including all content described in the NOFA, Section IV. B. Applications must be submitted through grants.gov.

Award Procedure:

Grants will be awarded as cooperative agreements. Proposals will be ranked according to criteria specified in the NOFA Section V. HUD will fund applications in rank order until all available program funds are awarded. Applications must receive a minimum of 75 points out of a possible 100 points to be considered for funding.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award period is for 3 years or (36 months). Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Report is required quarterly until the end of the grant. At the end of the grant a final report is required. The SF-425 is required to be submitted. The GTR will monitor the status of each grant/cooperative agreement awarded.

Audits:

Not Applicable.

Records:

The official applicant must maintain information on the grant/cooperative agreement, which includes disbursements.

Account Identification:

86-0402-0-1-451.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

The maximum award amount is \$200,000.

TAFS Codes:

86-0402.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. N/A.

Headquarters Office:

Sandra Susan Brunson 451 7th Street, Room 8230, Washington, District of Columbia 20410 Email: Susan.S.Brunson@hud.gov Phone: 2024023852

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A competitive process is used in selecting applications for award. Criteria will be published in the Notice of Funding Availability.

14.536 RESEARCH AND EVALUATIONS, DEMONSTRATIONS, AND DATA ANALYSIS AND UTILIZATION

FEDERAL AGENCY:

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

This program is authorized under Section 501 of the Housing and Urban Development Act of 1970 (12 U.S.C. 1701z-1) and the Department of Housing and Urban Development Appropriations Act, 2014, Public Law 113-76.

OBJECTIVES:

This is a Notice of Funding Availability (NOFA) from the Department of Housing and Urban Developments (HUD) for Research and Evaluation, Demonstrations, and Data Analysis and Utilization (HUDRD). Through this NOFA, HUD plans to solicit applications for cooperative agreements to engage in research, evaluations, demonstrations, and data analysis and utilization activities. Funding will be available under three different categories or functions:

Research and Evaluation;
Demonstrations; and
Data analysis and utilization.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Costs incurred in the timely completion of the research product, includes but are not limited to, the researchers salary, computer software, purchase of data, travel expenses to collect data or to make presentations at meetings, transcription services, and compensation for study participants.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Eligible organizations will submit an application under a Notice of Funding Availability (NOFA) that will be published online at Grants.gov. The NOFA will provide for the relevant application requirements. It will also be subject to criteria published annually by HUD in its General Section to the Department's NOFAs for Discretionary Programs for that Fiscal Year.

Award Procedure:

Two types of reviews will be conducted: First, HUD will review each application to determine whether it meets threshold eligibility requirements. Second, applications will be reviewed by panels consisting of at least two independent reviewers and a panel manager. The reviewers will review and assign scores to applications that meet threshold eligibility requirements using the Factors for Award noted in Section V.A.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

HUD makes grants available for a performance period to be designated in the cooperative agreement. Awards will be for a period not to exceed 60 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. All researchers that receive funds under this program NOFA are required to submit Quarterly Performance Reports in a manner specified by HUD, with descriptions of tasks accomplished, and a narrative explanation of any disparity between projected and actual results. Budget reporting, indicating the draw down toward each task and providing sufficient details. At HUDs request, the awardee will provide monthly budget reporting. GTR will monitor tasks, effort, and invoices.

Audits:

Not Applicable.

Records:

The official applicant must maintain information on grant disbursement.

Account Identification:

86-0108-0-1-451.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$2,900,000; and FY 18 est \$3,000,000

Range and Average of Financial Assistance:

\$100,000 minimum funding amount for successful applicants.

TAFS Codes:

86-0108.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants will respond to competitive requirements contained in a Notice of Funding Availability (NOFA) published in the Federal Register and available on Grants.gov.

Regional or Local Office:

None. None.

Headquarters Office:

Sandra Susan Brunson 451 7th Street, Room 8230, Washington, District of Columbia 20410 Email: Susan.S.Brunson@hud.gov Phone: 2024023852

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A competitive process is used in selecting grants. Criteria will be published in the annual NOFA.

14.850 PUBLIC AND INDIAN HOUSING**FEDERAL AGENCY:**

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing Act of 1937, as amended; Consolidated Appropriations Act of FY

2017, Public Law 114-113; Consolidated Appropriations Act, FY 2016, Public Law 114-113.

OBJECTIVES:

To provide and operate cost-effective, decent, safe and affordable dwellings for lower income families through an authorized local Public Housing Agency (PHA).

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Operating subsidy funds are provided to PHAs to achieve and maintain adequate operating and maintenance service and reserve funds. Funds are made to PHAs based on the extent to which their projected total allowable expenses (as determined by formula) exceed their projected income (also as determined by formula) from rents and other sources. Use restricted to activities specified in the Housing and Community Development Act of 1937, Section 9 (c), Operating Fund.

Applicant Eligibility:

Public Housing Agencies established in accordance with State law are eligible. The proposed program must be approved by the local governing body. Pursuant to the Native American Housing Assistance and Self Determination Act of 1996, Indian Housing Authorities (IHAs) are no longer eligible for funding under the U.S. Housing Act (of 1937) or the Indian Housing Act.

Beneficiary Eligibility:

Lower income families which include citizens or eligible immigrants. The term "Families" includes but is not limited to: (1) a family with or without children; (2) an elderly family (head, spouse, or sole member 62 years or older), (3) near elderly family (head, spouse, or sole member 50 years old but less than 62 years old); (4) a disabled family; (5) a displaced family; (6) the remaining member of a tenant family; or (7) a single person who is neither elderly, near-elderly, displaced, or a person with disabilities.

Credentials/Documentation:

The applicant must support the application/proposal by furnishing data that the program is consistent with the Public Housing Agency (PHA) Plan (Quality Housing and Work Responsibility Act of 1998). The PHA Plan is designed for both long-term and short-term strategies for addressing the housing needs of the community. The proposed program should demonstrate that it is responsive to the PHA Plan by identifying conditions of the housing stock in the community and the housing assistance needs of lower-income families (including the elderly, persons with disabilities, large families and those displaced or who will be displaced) residing in or expected to reside in the community. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. PHAs may submit a full proposal (HUD-52483-A) which includes, but is not limited to a project description, development method, offer of sale of real property, site information and financial feasibility pursuant to 24 CFR Part 941 and Handbook 7417.1 REV-1 and, if applicable, additional statutory or policy requirements governing the funds provided for the particular fiscal year. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Usually the HUD Field Office Manager makes final decision to approve individual applications. Also, agencies must have Annual Contribution Contract and Cooperative Agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 60 days from the deadline for applications for processing applications/proposals.

Appeals:

From 30 to 60 days.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

Statutory Formula: Title Operating Fund, Part 24 CFR, Subpart 990.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Contributions made annually for up to 30 years for development and 20 years for modernization program reservations approved through September 30, 1986 for projects with permanent financing. Annual appropriations of capital funds for development and modernization started October 1, 1986. Projects funded with capital funds after October 1, 1986 will have contract terms of 40 years. See the following for information on how assistance is awarded/released: Funding is provided based on submission of Operating Fund calculation (Form HUD-52723 and HUD-52722).

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Financial statements are required from PHAs annually. Submission of family report (HUD-50058) is required from PHAs.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Periodic fiscal, management, maintenance and energy audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract.

Account Identification:

86-0163-0-1-604.

Obligations:

(Formula Grants) FY 16 \$4,037,051,000; FY 17 est \$4,400,000,000; and FY 18 est \$3,900,000,000

Range and Average of Financial Assistance:

From \$2,510 to \$900,775,513; Average \$1,423,394.

TAFS Codes:

86-0163.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Department made \$4.03 billion in operating subsidies for approximately 1.1 million public units. Fiscal Year 2017: The Department projects to make available \$4.4 billion in operating subsidies for approximately 1.1 million public housing units. Fiscal Year 2018: The Department made \$3.9 billion in operating subsidies for approximately 1.1 million public units.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 990, 24 CFR 941, Handbook 7417.1 (Rev.-1) (Public Housing-Development Handbook), Handbook 7465.1 Rev-2. (Public Housing Occupancy: Admission).

Regional or Local Office:

See Regional Agency Offices. HUD Field Office listed in the Catalog Address Appendix IV that has jurisdiction over the area in which the dwellings are to be located.

Headquarters Office:

Kevin Gallagher 451 7th Street, S.W., Washington, District of Columbia 20410
Email: Kevin.J.Gallagher@hud.gov Phone: 202.402.4192

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/am/>

RELATED PROGRAMS:

14.867 Indian Housing Block Grants; 14.872 Public Housing Capital Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.856 LOWER INCOME HOUSING ASSISTANCE PROGRAM SECTION 8 MODERATE REHABILITATION

(Section 8 Housing Assistance Payments Program for Very Low Income Families-Moderate Rehabilitation)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing Act of 1937; Consolidated Appropriations Act 2017, Public Law 114-113.

OBJECTIVES:

To aid very low income families in obtaining decent, safe and sanitary rental housing.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Provides housing assistance payments to participating owners on behalf of eligible tenants to provide decent, safe and sanitary housing for very low income families at rents they can afford. Housing assistance payments are used to make up the difference between the approved rent due to the owner for the dwelling unit and the occupant family's required contribution towards rent. Assisted families must pay the highest of 30 percent of their monthly adjusted family income, 10 percent of gross family income, or the portion of welfare assistance designated for housing toward rent. This program is inactive, i.e., no new projects are being approved.

Applicant Eligibility:

An authorized Public Housing Agency (any State, county, municipality or other governmental entity or public body (or agency or instrumentality thereof).

Beneficiary Eligibility:

Very low income families (whose income does not exceed 50 percent of the median income for the area as determined by the Secretary with adjustments for smaller and larger families) and, on an exception basis, lower income families (whose income does not exceed 80 percent of the median income for the area adjusted for small and large families). A very low income or, on an exception basis, lower income single person who is elderly, disabled or handicapped, displaced, or the remaining member of an eligible tenant family is also eligible.

Credentials/Documentation:

This program is no longer funded for new applications and awards. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. No applications for new projects are being accepted.

Award Procedure:

No applications for new projects are being accepted.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Eligible owners may request a one-year Housing Assistance Payments Contract renewal. Requests must be made at least 75 days prior to Contract expiration.

Formula and Matching Requirements:

Statutory Formula: Title 24 CFR.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Under the Moderate Rehabilitation Program, payments may be made for 180 days. Assistance may be extended for 12 months at a time when an eligible owner requests a Housing Assistance Payments Contract renewal. See the following for information on how assistance is awarded/released: Funds are disbursed monthly.

Reports:

Supporting Data for Annual Contributions Estimates, HUD Form 52672; Estimate of Total Required Annual Supporting Data for Annual Contributions Estimates, HUD Form 52672; Estimate of Total Required Annual Contributions, HUD Form 52673; and Requisition for Partial Payment of Annual Contributions, HUD Form 52663; Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681 and 52681-B; Report on Program Utilization, HUD Form 52683; Family Report, HUD Form 50058. Cash reports are not applicable. Progress reports are not applicable. Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681 and 52681-B; Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Periodic fiscal, occupancy, general management and maintenance audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract/Housing Assistance Payments Contract.

Account Identification:

86-0303-0-1-604.

Obligations:

(Direct Payments for Specified Use) FY 16 \$107,177,925; FY 17 est \$71,200,000; and FY 18 est \$71,200,000

Range and Average of Financial Assistance:

\$4,426 to \$19,549,245; Average of \$1,135,021.

TAFS Codes:

86-0303.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program served 19,300 families. Fiscal Year 2017: The program projects to serve 18,486 families. Fiscal Year 2018: The program projects to serve 17,676 families.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 882; Section 8 Housing Assistance Payments Program, Existing Housing and Moderate Rehabilitation Processing Handbook, 7420.3; PHA Administrative Practices Handbook for the Section 8 Existing Housing Program, 7420.7; Accounting Handbook 7420.6; Section 8 Rental Certificate, Rental Voucher, and Moderate Rehabilitation Programs Forms, Legal Contracts and Publications, Handbook 7420.7; PIH Notice 2001-13.

Regional or Local Office:

See Regional Agency Offices. The HUD Office listed in the Catalog Address Appendix IV has jurisdiction over the area in which the dwellings are to be located.

Headquarters Office:

Becky Primeaux 451 7th Street, S.W., Room 4210, Washington, District of Columbia 20410 Email: Becky.L.Primeaux@hud.gov Phone: (202) 708.6050

Website Address:

<http://www.hud.gov/progdsc/pihindx.html>.

RELATED PROGRAMS:

14.850 Public and Indian Housing; 14.871 Section 8 Housing Choice Vouchers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.862 INDIAN COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1974.

OBJECTIVES:

To provide assistance to Indian tribes and Alaska Native villages in the development of viable Indian communities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Indian tribes and Alaska Native villages may use block grants to improve the housing stock, provide community facilities, make infrastructure improvements, and expand job opportunities by supporting the economic development of their communities. Activities which are eligible for funding include housing rehabilitation programs, mold remediation, acquisition of land for housing, direct assistance to facilitate homeownership among low and moderate income persons, construction of tribal and other facilities for single or multi-use, streets and other public facilities, and economic development projects particularly those by nonprofit tribal organizations or local development corporations when the recipient determines that the provision of such assistance is appropriate to carry out an economic development project. Tribes and Alaska Native villages are restricted from using block grants for construction and improvement of governmental facilities, the purchase of equipment, general government expenses, operating and maintenance expenses, political activities, new housing construction (except through community-based development organizations (CBDOs)), and income payments.

Applicant Eligibility:

Any Indian tribe, band, group, nation, or tribal organization, including Alaska Indians, Aleuts, and Eskimos, and any Alaska Native village that is eligible for assistance under the Indian Self-Determination and Education Assistance Act or which had been eligible under the State and Local Fiscal Assistance Act of 1972.

Beneficiary Eligibility:

The principal beneficiaries of ICDBG funds are low and moderate income persons. Low and moderate income is generally defined as 80 percent of the median income, as determined by HUD, adjusted for family size.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, local, and Indian tribal governments. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for

Federal Awards. Applicants must file an application on forms prescribed by HUD, which describe the community development need and how that need will be addressed by the proposed project. The application must provide sufficient information for the project to be rated against selection criteria.

Award Procedure:

The Office of Native American Programs (ONAP) Area Office is responsible for rating and approving applications and for notifying applicants of the results.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Limitations, conditions, and requirements specified in NOFA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available until project completion, usually within two years. See the following for information on how assistance is awarded/released: Grant agreements are executed and funds are made available through HUD's financial system.

Reports:

No program reports are required. Grant recipients are required to submit quarterly financial reports. Grant recipients are required to submit annual status and evaluation reports. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

All records applicable to the assistance project must be kept for three to five years following the submission of the final expenditure report or until all audit findings have been resolved.

Account Identification:

86-0162-0-1-451.

Obligations:

(Project Grants) FY 16 \$119,282,000; FY 17 est \$60,000,000; and FY 18 est \$60,000,000

Range and Average of Financial Assistance:

\$25,000 to \$5,500,000; Average \$605,000.

TAFS Codes:

86-0162.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program provides funding for housing rehabilitation, mold remediation, new construction, infrastructure, community facilities, reducing imminent threats, and economic development in approximately 5400 tribal communities. Fiscal Year 2017: The program will provide funding for housing rehabilitation, mold remediation, new construction, infrastructure, community facilities, reducing imminent threats, and economic development in 3200 tribal communities. Fiscal Year 2018: The program provides funding for housing rehabilitation, mold remediation, new construction, infrastructure,

community facilities, reducing imminent threats, and economic development in approximately tribal communities.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 1003.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate HUD Office of Native American Programs (ONAP) Area Office listed in Appendix IV of the Catalog.

Headquarters Office:

Rodger Boyd, 451 7th Street, S.W., Room 4126, Washington, District of Columbia 20410 Email: Rodger.J.boyd@hud.gov Phone: (202) 401-7914.

Website Address:

<http://www.hud.gov/offices/pih/ih/grants/icdbg.cfm>.

RELATED PROGRAMS:

14.867 Indian Housing Block Grants; 15.124 Indian Loans_Economic Development; 15.141 Indian Housing Assistance; 93.612 Native American Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must be submitted by any eligible tribe or Alaska Native Village which has the capacity to administer a grant. Applications are then rated against factors which measure impact and quality.

14.865 PUBLIC AND INDIAN HOUSING INDIAN LOAN GUARANTEE PROGRAM

(Loan Guarantees for Indian Housing)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1992, Consolidated Appropriations Act of 2017, Public Law 102-550, 12 U.S.C 1515z - 13a.

OBJECTIVES:

To provide homeownership opportunities to Native Americans, Tribes, Indian Housing Authorities including Tribally Designated Housing Entities (TDHEs), and Indian Housing Authorities on Indian land, through a guaranteed mortgage loan program available through private financial institutions.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Mortgage loans are for the acquisition or rehabilitation of existing homes, purchase and rehabilitation of a home or construction of a new home; and refinancing of existing debt. The financial institution which originates the mortgage loan must meet certain requirements noted in the law.

Applicant Eligibility:

The loan applicant must be members of a federally recognized Indian tribe, band or community, which includes Native Americans, Alaska Natives, or an Indian Housing Authority including a Tribally Designated Housing Authority (TDHE) or a Tribe which meets certain requirements. Applicant eligibility is validated by current enrollment in a federally recognized tribe.

Beneficiary Eligibility:

The homeowner is the ultimate beneficiary of the program. When the Indian Housing Authority, TDHE or Tribe is the homebuyer, they may then rent the property. In these cases, the person renting the home would be an indirect beneficiary.

Credentials/Documentation:

Loan applicants must provide the lender with documentation on eligibility, assets, income, debts and liabilities to show ability to obtain and repay the mortgage loan. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications are submitted to eligible financial institutions. With the loan application, the homebuyer must submit documentation such as pay stubs, W-2 forms (income tax returns if self-employed), evidence of assets such as bank accounts, and any other documentation requested by the lender to support the applicant's ability to obtain and repay the mortgage. The lender verifies the land status of the proposed property.

Award Procedure:

The financial institution obtains and reviews all documentation and forwards it to HUD's Office of Native American Programs. HUD sends the Section 184 Loan Guarantee Firm Commitment Form to the Lender if the mortgage meets the Section 184 Program underwriting criteria. The financial institution is responsible for assuring Tribal eligibility, land status, property acceptability and the creditworthiness of the loan applicant. HUD has responsibility for the approval or disapproval of the loan (the application for loan guarantee). Eligible lenders may originate loans under the Direct Guarantee Program. Direct Guarantee lenders underwrite, approve and close the loan prior to submission to HUD for the Loan Guarantee Certificate.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 1 to 15 days. There are no specific time requirements for the processing of these loans.

Appeals:

From 15 to 30 days. Applicants whose loans are disapproved may appeal the disapproval if the applicant can provide additional documentation to resolve the issues which lead to the disapproval.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of the loan cannot exceed 30 years. The processing time varies from time of application to the time the loan closes, but in general will average from 30 to 120 days unless there are significant land issues. See the following for information on how assistance is awarded/released: This is a loan guarantee program. Therefore assistance is given in the form of a guarantee. No funds are awarded or released unless there is a default on a guaranteed loan.

Reports:

Participating financial institutions must provide HUD with copies of loan files and must report to HUD on the status of the loan, once it has closed. No cash reports are required. Progress reports are part of the reporting process described above. Expenditure reports are not applicable. Performance monitoring is also part of the reporting process described above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Participating lenders and closed loan files are reviewed to assure compliance with the program's requirements.

Records:

Copies of loan files are maintained by HUD. The lender that originates the loan must maintain a copy of the loan file for 2 years after loan closing. The lender that services the loan must maintain the loan file for the life of the loan plus 3

years.

Account Identification:

86-0223-0-1-371.

Obligations:

(Guaranteed/Insured Loans) FY 16 \$4,492,000; FY 17 est \$4,999,000; and FY 18 est \$4,006,000

Range and Average of Financial Assistance:

The average loan amount in FY 2014 was \$176,000.

TAFS Codes:

86-0223.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program guaranteed 4149 loans. Fiscal Year 2017: The program projects to guarantee 4444 loans. Fiscal Year 2018: he program projects to guarantee 4889 loans.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1005; a Guidebook and literature is available from HUD's Office of Native American Programs.

Regional or Local Office:

None.

Headquarters Office:

Thomas C. Wright, 451 7th Street, S.W., Room 5156, Washington, District of Columbia 20410 Email: Thomas.C.Wright@hud.gov Phone: (202) 402-4978

Website Address:

<http://www.hud.gov/offices/pih/ih/homeownership/184>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.867 INDIAN HOUSING BLOCK GRANTS

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Native American Housing Assistance and Self-Determination Reauthorization Act 2008, Consolidated Appropriations Act of 2017, Public Law 110-411.

OBJECTIVES:

To provide Federal assistance for Indian tribes in a manner that recognizes the right of tribal self-governance, and for other purposes.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Affordable housing activities such as: Indian Housing Assistance; Development; Housing Services; Housing Management Services; Crime Prevention and Safety Activities; and Model Activities. IHBG program activities are restricted to those listed in subpart B of the regulations at 24 CFR, part 1000.

Applicant Eligibility:

Indian tribes or tribally designated housing entities (TDHE) (to be eligible for Indian housing block grant funds the tribe or TDHE must submit an Indian housing plan that is in compliance with the requirements of Section 102 of NAHASDA).

Beneficiary Eligibility:

Primarily low-income families and in limited cases, over-income families may be eligible.

Credentials/Documentation:

Recipients shall comply with requirements and standards of OMB Circular No.

A-133, and specified sections of 24 CFR 85. A Self-governance Indian tribe (Public Law 93- 638) may certify that its administrative requirements meet or exceed the comparable requirements of OMB Circular No. A-133 and 24 CFR 85. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The environmental effects of each activity must be evaluated in accordance with the National Environmental Policy Act and 24 CFR parts 50 and 58. An environmental review does not have to be completed prior to HUD approval of an Indian Housing Plan. Both an impact statement or an impact assessment may be required. In some circumstances a project could be exempted from either or both. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

None.

Deadlines:

Jul 01, 2011 Indian housing plans must be submitted prior to July 1st of each Federal Fiscal Year.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Indian Housing Block Grant funds are drawn down from the Treasury based on need using an electronic fund transfer disbursement and information system. Within two years of grant award, no less than 90 percent of the grant must be obligated. See the following for information on how assistance is awarded/released: Upon execution of grant agreements, funds are drawn from HUD's financial system as needed.

Reports:

The Indian Housing Block Grant program requires an Annual Performance Report. No cash reports are required. Progress reports are a required part of the Annual Performance Report. In addition, expenditure reports should be included in the Annual Performance Report. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grants files are maintained by HUD for one year after grant close out and destroyed six years after the grant close out pursuant to the HUD Records and Disposition Handbook.

Account Identification:

86-0313-0-1-604.

Obligations:

(Formula Grants) FY 16 \$646,685,000; FY 17 est \$652,000,000; and FY 18 est \$598,000,000

Range and Average of Financial Assistance:

\$50,282 to \$86,438,873; Median grant \$867,063.

TAFS Codes:

86-0313.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Native American Housing Block Grant (NAHBG) program assisted 5,963 families by either building, acquiring, or substantially rehabilitating their homes. Fiscal Year 2017: Native American Housing Block Grant (NAHBG) program is projected to assist 5,065 families by either building, acquiring, or substantially rehabilitating their homes. Fiscal Year 2018: Native American Housing Block Grant (NAHBG) program is projected to assist 5,065 families by either building, acquiring, or substantially rehabilitating their homes.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 1000.

Regional or Local Office:

See Regional Agency Offices. Inquiries should be addressed to the Administrator, Office of Native American Programs at the appropriate area office (see Catalog Address Appendix IV for HUD Office of Native American Programs area office addresses in Chicago, Denver, Phoenix, Seattle, Oklahoma City and Anchorage).

Headquarters Office:

Heidi Frechette Office of Native American Programs
451 7th Street, SW, Room 4126
, Washington, District of Columbia 20410 Email: Heidi.J.Frechette@hud.gov
Phone: 202.402.6321

Website Address:

<http://www.hud.gov/offices/pih/ih/grants/ihbg.cfm>

RELATED PROGRAMS:

14.862 Indian Community Development Block Grant Program; 15.124 Indian Loans_Economic Development; 15.141 Indian Housing Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.869 TITLE VI FEDERAL GUARANTEES FOR FINANCING TRIBAL HOUSING ACTIVITIES

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Native American Housing Assistance and Self-Determination Reauthorization Act (NAHASDA) of 2008, Public Law 110-411.

OBJECTIVES:

To assist an Indian tribe or Tribally Designated Housing Entity (TDHE) to obtain financing for affordable housing activities under Title II of NAHASDA where an obligation cannot be completed without such guarantee.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Limited to the eligible affordable housing activities listed in Section 202 of the NAHASDA to include Indian Housing Assistance, Development, Housing Services, Housing Management Services, Crime Prevention and Safety Activities, and Model Activities approved by the Secretary.

Applicant Eligibility:

The applicant must be a Federally recognized Indian tribe or TDHE that is either a beneficiary or recipient of Indian Housing Block Grants (IHBG) funds.

Beneficiary Eligibility:

Indian tribes and their members are the beneficiaries. A TDHE acts on behalf of a tribe, as authorized by Tribal and TDHE resolutions.

Credentials/Documentation:

The applicant must provide the lender the documentation detailed in the "Title VI Application Process," including the certifications required under 24 CFR Section 1000.424(d) that the applicant possesses the legal authority to pledge, and if approved, the applicant will make the pledge of IHBG funds required by section 602(a)(2) of NAHASDA. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Eligible lenders are detailed in the statute. The lender assembles the Title VI application package and submits it to HUD. HUD will review the application for compliance with Title VI of NAHASDA and the implementing regulations.

Award Procedure:

HUD issues a Letter of Firm Commitment to the lender when HUD approves the Title VI application and the mortgage meets the Title VI Program underwriting criteria. The lender then closes the loan and submits the closing package to HUD for review. Following a successful review, HUD issues a Certificate of Loan Guarantee to the lender.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. The Title VI regulation at 24 CFR 1000.430(a) states that HUD will make every effort to approve an application within 30 days of the receipt of a completed application, and if HUD is unable to do so, will notify the lender (the applicant via the lender) within the 30 day time frame of the need for additional time to process the application and/or if additional information is required.

Appeals:

From 15 to 30 days. HUD will notify the lender in writing that the application has been reduced or disapproved and the specific reasons for the reduction or the disapproval. The lender may submit additional documentation to reverse the HUD decision.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 5%. Borrowers may be required to pledge security in addition to IHBG funds to secure the remaining 5 percent of the loan balance that is not secured by the 95 percent federal loan guarantee. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of the loan may exceed 20 years, as approved by HUD. The lender and applicant shall determine the terms of the repayment for each project, subject to HUD's approval. See the following for information on how assistance is awarded/released: This is a loan guarantee program. Therefore assistance is given in the form of a guarantee. No funds are awarded or released unless there is a default on a guaranteed loan.

Reports:

The lender will provide HUD a quarterly report on the guaranteed loan, including the status of the guaranteed loan, the current principal balance, and the amount collected during the quarter just ended. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Participating lenders and closed loan files are reviewed to assure compliance with the program's requirements.

Records:

Copies of loan files are maintained by HUD. The originating lender must maintain a copy of the loan file for 2 years after loan is guaranteed. The servicing lender must maintain a loan file for the line of the loan plus 3 years.

Account Identification:

86-0313-0-1-604.

Obligations:

(Guaranteed/Insured Loans) FY 16 \$5,760,000; FY 17 est \$2,000,000; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

A borrower's guaranteed obligations may not exceed an amount equal to the borrower's IHBG, less the amount needed to operate and maintain current assisted stock (CAS), times five (IHBG - CAS X 5 = maximum guaranteed amount).

TAFS Codes:

86-0313.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Department guaranteed 5 loans. Fiscal Year 2017: The Department anticipates to guarantee 5 loans. Fiscal Year 2018: The Department anticipates to guarantee 5 loans.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1000, subpart E; "The Title VI Application Process" and promotional literature are available from HUD's National Program Office of Native American Programs, Office of Loan Guarantee; and the Title VI web page (see the address below).

Regional or Local Office:

None. (see Headquarters Office below).

Headquarters Office:

Thomas C. Wright, 451 7th Street, S.W., Room 5156, Washington, District of Columbia 20410 Email: Thomas.C.Wright@hud.gov Phone: (202) 402-4978.

Website Address:

<http://www.hud.gov/offices/pih/ih/homeownership/titlevi/>

RELATED PROGRAMS:

14.865 Public and Indian Housing_Indian Loan Guarantee Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Title VI and Section 202 of NAHASDA; criteria is detailed in the "The Title VI Application Process" available from HUD's National Program Office, Office of Loan Guarantee, and on the Title VI web site (<http://www.hud.gov/offices/pih/ih/homeownership/titlevi/>).

14.870 RESIDENT OPPORTUNITY AND SUPPORTIVE SERVICES - SERVICE COORDINATORS

(ROSS Service Coordinators)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

The Consolidated Appropriations Act 2017, Public Law 114-113.

OBJECTIVES:

To programmatically address the needs of public housing residents by providing service coordinator positions to coordinate supportive services, resident empowerment activities and/or assisting residents in becoming economically self-sufficient or age-in-place.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for the salary of Service Coordinator positions, with some administrative and training funds. Only residents of conventional public housing may benefit from this program.

Applicant Eligibility:

Public Housing Authorities (PHAs), Tribes and tribally designated housing entities (TDHEs), resident councils and nonprofit entities supported by residents. Applicants must establish partnerships to leverage resources.

Beneficiary Eligibility:

Individuals, families, children, youth, adults as well as elderly/persons with disabilities who are residents of conventional public or Indian housing are eligible to receive benefits from the ROSS program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. A Notice of Funding Availability (NOFA) prescribing the specific information to be submitted will be published in the Federal Register by HUD Headquarters. HUD provides the application and standard forms for this program.

Award Procedure:

HUD will review applications in accordance with selection criteria in the NOFA published in the Federal Register.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Processing of applications and selection of successful applicants will be undertaken by HUD. HUD will make the final selection and obligate funds.

Appeals:

There is no appeals process. Applicants whose applications did not receive funding may request a debriefing. Further information is included in the NOFA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 25%.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

HUD will provide assistance for a 3-year time period. See the following for information on how assistance is awarded/released: Grant agreements are executed and funds are drawn by grantees through HUD's financial system.

Reports:

Grantees must keep program records and submit annual reports as specified by HUD. No cash reports are required. Grantees must keep progress reports and submit annual reports as specified by HUD. Grantees must keep expenditure reports and submit annual reports as specified by HUD. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grantees must adhere to the policies, guidelines and requirements of 24 CFR Part 84 or 85 and OMB Circular No. A-87; OMB Circulars A-110 and A-122 apply to the acceptance and use of assistance by private nonprofit agencies.

Records:

Records will be retained in accordance with the HUD regulation, 24 CFR Part 84 or 85.

Account Identification:

86-0304-0-1-604.

Obligations:

(Project Grants) FY 16 \$45,962,000; FY 17 est \$35,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Average \$360,000.

TAFS Codes:

86-0304.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The department awarded 114 grants. Fiscal Year 2017: The Department projects to award 100 grants. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD appropriation statutes and the specific fiscal year NOFA published in the Federal Register provide program requirements and guidelines.

Regional or Local Office:

None. Designated persons in the Offices of Public Housing and Native American Programs listed in Appendix IV of the Catalog.

Headquarters Office:

Anice Schervish, 451 7th Street, SW, Room 4130, Washington, District of Columbia 20410 Email: anice.m.schervish@hud.gov Phone: (202) 402-2341.

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/ross/>

RELATED PROGRAMS:

14.877 Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed in the NOFA.

14.871 SECTION 8 HOUSING CHOICE VOUCHERS

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing Act of 1937; Omnibus Appropriations Act of 2009, Consolidated Appropriations Act of 2017, Public Law 114-113.

OBJECTIVES:

To aid very low income families in obtaining decent, safe, and sanitary rental housing. For Fair Share Vouchers and Welfare-to-Work Vouchers, Public Housing Agencies (PHA) must meet certain selection criteria. For Welfare-to-Work rental vouchers, families must also meet special welfare-to-work criteria. HUD regulations merged the former Section 8 Rental Voucher program (14.855) with the former Section 8 Certificate program (14.857). Section 502 of the Public Housing Reform Act states that a purpose of the legislation is "consolidating the voucher and certificate programs for rental assistance under Section 8 of the United States Housing Act of 1937 (the "USHA") into a single market-driven program that will assist in making tenant-based rental assistance more successful at helping low-income families obtain affordable housing and will increase housing choice for low-income families".

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Provides housing assistance payments to participating owners on behalf of eligible tenants to provide decent, safe, and sanitary housing for very low income families at rents they can afford. Housing assistance payments are generally the difference between the local payment standard and 30 percent of the family's adjusted income.

Applicant Eligibility:

Applicants are limited to public housing agencies. A public housing agency (PHA) is defined as any State, county, municipality or other governmental entity or public body (or agency or instrumentality thereof) which is authorized to engage in or assist in the development or operation of housing for very low income families; and, a consortium of PHAs; any other nonprofit entity that was administering a Section 8 tenant-based program on October 21, 1998; or, for an area outside the jurisdiction of a PHA administering a voucher program, a private nonprofit entity or a governmental entity or public body that would otherwise lack jurisdiction to administer the program in such area.

Beneficiary Eligibility:

Very low income families (whose income does not exceed 50 percent of the median income for the area as determined by the Secretary with adjustments for smaller and larger families) and, on an exception basis, lower income families (whose income does not exceed 80 percent of the median income for the area, adjusted for smaller and larger families). At least 75 percent of families admitted to the voucher program during the PHA fiscal year must be extremely low income families (whose income does not exceed 30 percent of the median income for the area).

Credentials/Documentation:

In the Housing Choice Voucher Program, the PHA must support its application by furnishing data that the program proposed is responsive to the condition of the housing stock in the community and the housing assistance needs of very low income families (including the elderly, handicapped and disabled, large families and those displaced) residing in the community. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Submission of Application for Housing Assistance Payments Program (Form HUD-52515).

Award Procedure:

The HUD Grants Management Center generally makes the final decision based on the results of the rating and ranking process. Welfare-to-Work vouchers were awarded through a national competition in fiscal year 1999.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Expiring increments of Section 8 housing choice voucher assistance are automatically renewed by HUD (subject to appropriations).

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

New funding is provided for 12 months. See the following for information on

how assistance is awarded/released: Funds are disbursed monthly.

Reports:

Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681-B; and Family Report, HUD Form 50058. Cash reports are not applicable. Progress reports are not applicable. Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681-B (Supplemental). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Periodic fiscal, occupancy, general management and maintenance audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract/Housing Choice Voucher Contract.

Account Identification:

86-0302-0-1-604.

Obligations:

(Direct Payments for Specified Use) FY 16 \$16,548,277,187; FY 17 est \$16,981,538,364; and FY 18 est \$16,030,364,364

Range and Average of Financial Assistance:

\$5,618 to \$1,074,563,247 average of 7,142,148.

TAFS Codes:

86-0302.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program served 2.2 million families. Fiscal Year 2017: The program projects to serve 2.2 million families. Fiscal Year 2018: The program projects to serve 2.2 million families.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 982; Section 8 Rental Certificate, Rental Voucher and Moderate Rehabilitation Programs Forms, Legal Contracts, and Publications Handbook, 7420.8; Housing Choice Voucher Program Guidebook, 7420.10G.

Regional or Local Office:

See Regional Agency Offices. HUD Field Office listed in the Catalog Address Appendix IV that has jurisdiction over the area in which the dwellings are located.

Headquarters Office:

Becky Primeaux Office of Public and Indian Housing
Office of Public Housing and Vouchers Program
451 7th Street SW, Washington, District of Columbia 20410 Email:
Becky.L.Primeaux@hud.gov Phone: 202.402.6050

Website Address:

<http://www.hud.gov/offices/pih/programs/hcv/index.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See NOFA.

14.872 PUBLIC HOUSING CAPITAL FUND

(CFP)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing Act of 1937, as amended; Quality Housing and Work Responsibility

Act of 1998, Consolidated Appropriations Act 2017, Public Law 114-113.

OBJECTIVES:

The Capital Fund provides funds annually to Public Housing Agencies (PHAs) for capital and management activities, including modernization and development of public housing. The Capital fund also permits PHAs to use Capital Funds for financing activities, including payments of debt service and customary financing costs, in standard PHA developments and in mixed-finance developments which include Public Housing.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The funds may be used for the development, financing and modernization of public housing developments and for management improvements. The funds may not be used for luxury improvements, direct social services, costs funded by other programs, and ineligible activities as determined by HUD on a case-by-case basis. Assistance must be used to modernize and/or develop public housing and must comply with the eligible activities of Section 9(d) of the U.S. Housing Act of 1937.

Applicant Eligibility:

The PHA must demonstrate that it has the legal authority to develop, own, modernize and operate a public housing development in accordance with the 1937 Act.

Beneficiary Eligibility:

Low-income public housing residents.

Credentials/Documentation:

PHAs must submit the following documents with their PHA Plan which includes a component for the Capital Fund Program: Form HUD-50075, PHA Certification of Compliance with The PHA Plan and Related Regulations; State or Local Certification of Consistency with the Consolidated Plan; Form HUD-5070, Certification of a Drug-Free Workplace; Form HUD-50071, Certification of Payments To Influence Federal Transactions; and Standard Form SF-LLL and SF-LLLa, Disclosure of Lobbying Activities (where applicable). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. PHAs annually submit a PHA Plan to the local HUD Field Office that consolidates information submitted by the PHA for various HUD programs into one document. The CFP is one component of the Plan.

Award Procedure:

The Field Office reviews and approves the PHA Plan, which includes information relative to the CFP.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The Field Office must notify the PHA within 75 days after the PHA submits the Plan of disapproval. If the PHA is not notified of disapproval within 75 days, the Plan shall be considered approved.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 24, Part 905, Subpart 10.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The statute requires that CFP funds allocated to a PHA be fully obligated within two years and expended in four years unless the Secretary extends the time period. Method of awarding/releasing assistance: lump sum.

Reports:

PHAs must provide performance and evaluation reports annually for all open grants. Cash reports are not applicable. Progress reports are also part of the performance and evaluation reports. PHAs are required to report on expenditures monthly in HUD's financial system. Performance monitoring is also part of the performance and evaluation reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The CFP is audited in conjunction with the regular HUD or independent public accountant audit of PHA operations.

Records:

The PHA must maintain budgets and cumulative cost records for review by HUD and independent auditors, as applicable.

Account Identification:

86-0304-0-1-604.

Obligations:

(Formula Grants) FY 16 \$1,799,528,000; FY 17 est \$1,834,000,000; and FY 18 est \$589,700,000

Range and Average of Financial Assistance:

\$5,527 to \$300,862,746; Average: \$583,427.

TAFS Codes:

86-0304.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Department made available approximately \$1.54 billion to approximately 3,100 grantees for modernization, improvement, and new development to Public Housing projects. Fiscal Year 2017: The Department will make available \$1.48 billion to approximately 3,100 grantees for modernization, improvement, and new development to Public Housing projects. Fiscal Year 2018: The Department will make available \$1.48 billion to approximately 3,100 grantees for modernization, improvement, and new development to Public Housing projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 905.

Regional or Local Office:

See Regional Agency Offices. Inquiries should be addressed to the Public Housing Director who has jurisdiction over the PHA (See the Catalog, Appendix IV for HUD Field Office addresses).

Headquarters Office:

Ivon Pour 451 7th Street, Washington, District of Columbia 20410 Email: Ivan.M.Pour@hud.gov Phone: 202.402.2488

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/capfund/index.cfm>.

RELATED PROGRAMS:

14.850 Public and Indian Housing; 14.881 Moving to Work Demonstration Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.873 NATIVE HAWAIIAN HOUSING BLOCK GRANTS

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Hawaiian Homelands Homeownership Act of 2000; Consolidated Appropriations Act 2017, Public Law 113-235.

OBJECTIVES:

To provide housing assistance to low-income Native Hawaiian families who are eligible to reside on Hawaiian Home Lands.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible affordable housing activities are development, housing services, crime prevention and safety activities, and model activities. NHHBG funds may only be used for eligible activities that are consistent with the Department of Hawaiian Home Lands housing plan.

Applicant Eligibility:

The Department of Native Hawaiian Home Lands (DHHL) is the only eligible grant recipient.

Beneficiary Eligibility:

Native Hawaiian families who are eligible to reside on the Hawaiian Home Lands.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Submission of Housing Plan.

Award Procedure:

Native Hawaiian Housing Block Grant funds are provided to the Department of Hawaiian Home Lands (DHHL), the sole eligible grant recipient.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Grant funds are made available on an annual basis, subject to annual congressional appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual grants. See the following for information on how assistance is awarded/released: Upon execution of grant agreement, funds are drawn from HUD's financial system.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Annual performance reports to HUD.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Compliance with the Single Audit Act and OMB Circular A-133.

Records:

Grant files are maintained by HUD for one year after grant closeout and destroyed six years after grant closeout pursuant to the HUD Records Disposition Handbook.

Account Identification:

86-0235-0-1-604.

Obligations:

(Project Grants) FY 16 \$539,000; FY 17 est \$2,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

N/A.

TAFS Codes:

86-0235.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Through this program, the grant recipient assisted 65 homeowners by either building, acquiring, or substantially rehabilitating their homes. Fiscal Year 2017: Through this program, the grant recipient projects to assist 30 homeowners by either building, acquiring, or substantially rehabilitating their homes. Fiscal Year 2018: Through this program, the grant recipient projects to assist 30 homeowners by either building, acquiring, or substantially rehabilitating their homes.

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim Rule published June 13, 2002. Final regulations effective December 29, 2003.

Regional or Local Office:

None.

Headquarters Office:

Claudine Allen, 500 Ala Moana Boulevard, Suite 3A, Honolulu, Hawaii 96813
Email: Claudine.C.Allen@hud.gov Phone: (808) 522-8175 Ext. 223.

Website Address:

<http://www.hud.gov/offices/pih/ih/codetalk/onap/nhhbgprogram.cfm>.

RELATED PROGRAMS:

14.867 Indian Housing Block Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.874 LOAN GUARANTEES FOR NATIVE HAWAIIAN HOUSING (Section 184A)**FEDERAL AGENCY:**

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

American Homeownership and Economic Opportunity Act of 2000, Consolidated Appropriations Act of 2017, Section 514, Public Law 113-235.

OBJECTIVES:

To provide eligible Native Hawaiian families with greater access to private mortgage resources by guaranteeing loans for one-to four family housing located on Hawaiian Home Lands.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

A loan guaranteed under this part may only be used to construct, acquire, or rehabilitate eligible housing. The housing must be located on Hawaiian Home Lands for which a housing plan has been determined by HUD to be in

compliance with regulations.

Applicant Eligibility:

A Native Hawaiian family; the Department of Hawaiian Home Lands; the Office of Hawaiian Affairs; a private nonprofit organization experienced in the planning and development of affordable housing for Native Hawaiians.

Beneficiary Eligibility:

Native Hawaiian homeowners are the beneficiaries of the program.

Credentials/Documentation:

Individual Loan Applicants must meet the definitions of Native Hawaiian and provide the eligible lender with documentation of that eligibility, assets, income, debts and liabilities to show ability to obtain and repay the loan. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications are submitted to eligible financial institutions. With the loan application, the homebuyer submits documentation such as pay stubs, W-2 forms, tax returns, evidence of assets such as bank accounts, and any other documentation requested by the lender to support the applicant's ability to obtain and repay the mortgage. The lender will verify the eligibility of the land status.

Award Procedure:

The financial institution obtains and reviews all documentation and submits it to HUD. HUD is responsible for ensuring the individual applicants Native Hawaiian eligibility, land status per Section 204 of the Hawaiian Homes Commission Act, housing acceptability, and the creditworthiness of the applicant. HUD has responsibility for the approval or disapproval of the applicant and the issuance of the loan guarantee. If the loan guarantee funds are available, a firm commitment is issued to the lender. Firm commitments are valid for a period not to exceed 90 days from issuance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. HUD may approve a loan for guarantee under this part and issue a certificate under this section only if HUD determines that there is a reasonable prospect of repayment of the loan.

Appeals:

From 15 to 30 days. Applicants whose loans are disapproved may appeal the disapproval. Additional documentation to resolve the issues which led to the disapproval may be required.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The loan term shall not exceed thirty years. Processing time of the individual loans will depend on the quality of the lenders submittal and HUD's ability to ascertain proper land and lease status. See the following for information on how assistance is awarded/released: This is a loan guarantee program. Therefore assistance is given in the form of a guarantee. No funds are awarded or released unless there is a default on a guaranteed loan.

Reports:

Lenders will be required to report loan delinquencies on a monthly basis. Cash reports are not applicable. No progress reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Participating lenders will be subject to review and closed loan files are subject to review to assure compliance with the requirements of Section 184A.

Records:

Copies of loan files will be maintained by HUD. The originating lender must maintain copies of the guaranteed loan file for two years after loan closing. The servicing lender must maintain the loan file for the life of the loan plus three years.

Account Identification:

86-0233-0-1-371.

Obligations:

(Guaranteed/Insured Loans) FY 16 \$82,000; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

The average loan amount in FY 2012 was \$227,882.

TAFS Codes:

86-0233.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program guaranteed 64 loans. Fiscal Year 2017: The program projects to guarantee 55 loans. Fiscal Year 2018: The program projects to guarantee 55 loans.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1007.

Regional or Local Office:

None.

Headquarters Office:

Thomas C. Wright, 451 7th Street, S.W., Room 5156, Washington, District of Columbia 20410 Email: Thomas.C.Wright@hud.gov Phone: (202) 402-4978.

Website Address:

<http://www.hud.gov/offices/pih/ih/codetalk/onap/program184a.cfm>

RELATED PROGRAMS:

14.865 Public and Indian Housing_Indian Loan Guarantee Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.879 MAINSTREAM VOUCHERS

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Cranston Gonzalez National Affordable Housing Act; Omnibus Appropriations Act 2009, Consolidated Appropriations Act of 2017, Public Law 111-8.

OBJECTIVES:

To aid persons with disabilities (elderly and non-elderly) in obtaining decent, safe, and sanitary rental housing.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Provides housing assistance payments to participating owners on behalf of eligible tenants to provide decent, safe, and sanitary housing at rents they can afford. Housing assistance payments are generally the difference between the local payment standard and 30 percent of the family's adjusted income. Mainstream Vouchers can be provided to only elderly and non-elderly disabled

families.

Applicant Eligibility:

Public housing agencies (PHA) that is defined as any State, county, municipality or other governmental entity or public body (or agency or instrumentality thereof) which is authorized to engage in or assist in the development or operation of housing for very low income families; and a consortium of PHAs; any other nonprofit entity that was administering a Section 8 tenant-based program on October 21, 1998; or, for an area outside the jurisdiction of a PHA administering a voucher program, a private nonprofit entity or a governmental entity or public body that would otherwise lack jurisdiction to administer the program in such area and non-profit organization that provide services to the disabled as defined in 42 U.S.C.

Beneficiary Eligibility:

Disabled family that is income-eligible under the Housing Choice Voucher program regulations at 24 CFR 982.201(b)(1) as well as other wise eligible under the regulations at 24CFR 982.201, may receive a voucher awarded on the Mainstream Program Applicants with disabilities must be selected from the PHA's or nonprofit organization's housing choice voucher waiting list.

Credentials/Documentation:

In the Mainstream Voucher Program, the PHA or non-profit organization must demonstrate in its application a need for these vouchers by providing information documenting that the demand for housing for non-elderly and elderly persons with disabilities would equal or exceed the requested number of vouchers. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Submission of Application for Housing Assistance Payments Program (Form HUD-52515).

Award Procedure:

The HUD Grants Management Center generally makes the final decision based on the results of the rating and ranking process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

However, after the assistance awards are publicly announced, HUD will provide a debriefing, if requested, to unsuccessful applicants.

Renewals:

Expiring increments of Mainstream voucher program assistance are automatically renewed by HUD.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

New funding is provided for 5 years. See the following for information on how assistance is awarded/released: Funds are disbursed monthly.

Reports:

Supporting Data for Annual Contributions Estimates, HUD Form 52672; Estimate of Total Required Annual Contributions, HUD Form 52673; Requisition for Partial Payment of Annual Contributions, HUD Form 52663; Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681 and 52681-B; and Family Report, HUD Form 50058 and Logic Model, HUD Form 96010. Cash reports are not applicable. Progress reports are

not applicable. Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681 and 52681-B (Supplemental). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Periodic fiscal, occupancy, general management and maintenance audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract/Mainstream/Housing Choice Voucher Contract.

Account Identification:

86-0237-0-1-604; 86-0237-0-1-604.

Obligations:

(Direct Payments for Specified Use) FY 16 \$108,041,000; FY 17 est \$120,000,000; and FY 18 est \$107,074,000 - Although renewal funding has been provided by Congress annually, no incremental (new) funding for this program has been provided since FY 2005.

Range and Average of Financial Assistance:

\$26,758 to \$2,499,026; Average \$530,237.

TAFS Codes:

86-0237; 86-0302.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program is serving 13,842 families. Fiscal Year 2017: The program anticipates serving 13,764 families. Fiscal Year 2018: The program anticipates serving 12,749 families.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 982; Housing Choice Voucher Program, Form, Legal Contracts, and Publications Handbook, 7420.8; and the Housing Choice Voucher Program Guidebook, 7420.10G.

Regional or Local Office:

See Regional Agency Offices. HUD Field Office listed in the Catalog Address Appendix IV that has jurisdiction over the area in which the dwellings are located.

Headquarters Office:

Becky Primeaux 451 7th Street, S.W., Room 4210, Washington, District of Columbia 20410 Email: Becky.L.Primeaux@hud.gov Phone: (202) 708-6050

Website Address:

http://www.hud.gov/offices/pih/programs/hcv/about/fact_sheet.cfm.

RELATED PROGRAMS:

14.871 Section 8 Housing Choice Vouchers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See NOFA.

14.881 MOVING TO WORK DEMONSTRATION PROGRAM

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act 2017, Public Law 114-113.

OBJECTIVES:

The purpose of this demonstration is to give public housing agencies and the Secretary of Housing and Urban Development the flexibility to design and test various approaches for providing and administering housing assistance that: reduce cost and achieve greater cost effectiveness in Federal expenditures; give

incentives to families with children where the head of household is working, seeking work, or is preparing for work by participating in job training, educational programs, or programs that assist people to obtain employment and become economically self-sufficient; and increase housing choices for low-income families.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Under the demonstration, notwithstanding any provision of the United States Housing Act of 1937 except as provided in section 204(e) of Public Law 104-134, an agency may combine operating assistance provided under section 9 of the United States Housing Act of 1937, and tenant-based assistance provided under section 8 of such Act, to provide housing assistance for low-income families, as defined in section 3(b)(2) of the United States Housing Act of 1937, and services to facilitate the transition to work on such terms and conditions as the agency may propose and the Secretary may approve.

Applicant Eligibility:

Public housing agencies administering the public housing program and/or the section 8 housing assistance payments program may be selected by the Secretary to participate.

Beneficiary Eligibility:

Low-income public housing residents.

Credentials/Documentation:

PHAs must enter into an agreement with the Department that details the terms and conditions of participation in the demonstration. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. PHAs annually submit an MTW Plan to the program office that documents new and ongoing MTW activities. Plans must include criteria for: (A) families to be assisted, which shall require that at least 75 percent of the families assisted by participating demonstration public housing authorities shall be very low-income families, as defined in section 3(b)(2) of the United States Housing Act of 1937; (B) establishing a reasonable rent policy, which shall be designed to encourage employment and self-sufficiency by participating families, consistent with the purpose of this demonstration, such as by excluding some or all of a family's earned income for purposes of determining rent; (C) continuing to assist substantially the same total number of eligible low-income families as would have been served had the amounts not been combined; (D) maintaining a comparable mix of families (by family size) as would have been provided had the amounts not been used under the demonstration; and (E) assuring that housing assisted under the demonstration program meets housing quality standards established or approved by the Secretary; and (4) may request assistance for training and technical assistance to assist with design of the demonstration and to participate in a detailed evaluation.

Award Procedure:

The program office reviews and approves the MTW Plan.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The program office must notify the PHA within 75 days after the PHA submits the Plan of disapproval. If the PHA is not notified of disapproval within 75 days, the Plan shall be considered approved.

Appeals:

To be determined.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

MTW agencies must meet the requirements stated in their MTW agreement. See the following for information on how assistance is awarded/released: Grant agreements are executed and funds are made available through HUD's financial system.

Reports:

Annual MTW report is required 90 days after the end of the PHAs fiscal year. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The MTW program is audited in conjunction with the regular HUD or independent public accountant audit of PHA operations.

Records:

The PHA must maintain budgets and cumulative cost records for review by HUD and independent auditors, as applicable.

Account Identification:

86-0304-0-1-604; 86-0302-0-1-604; 86-0163-0-1-604.

Obligations:

(Formula Grants) FY 16 \$3,703,906,074; FY 17 est \$3,912,687,996; and FY 18 est \$3,912,687,996

Range and Average of Financial Assistance:

N/A.

TAFS Codes:

86-0302; 86-0163; 86-0304.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FY 2016 administration budget proposal expanded the MTW program for 36 families. Fiscal Year 2017: FY 2017 administration budget proposal includes a request to expand the MTW program for 39 families.. Fiscal Year 2018: FY 2017 administration budget proposal includes a request to expand the MTW program to 39 families.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Inquiries should be addressed to the Public Housing Director who has jurisdiction over the PHA (See the Catalog, Appendix IV for HUD Program office addresses).

Headquarters Office:

Marianne Nazzaro 451 7th Street, SW, Washington, District of Columbia 20410 Email: Marianne.Nazzaro@hud.gov Phone: 202.402.4306

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/mtw/>.

RELATED PROGRAMS:

14.850 Public and Indian Housing; 14.871 Section 8 Housing Choice Vouchers; 14.872 Public Housing Capital Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.888 LEAD-BASED PAINT CAPITAL FUND PROGRAM

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing Act of 1937, as amended. Consolidations Appropriations Act, 2017, . Public Law 115-31.

OBJECTIVES:

The purpose of the Lead-Based Paint Capital Fund Program help Public Housing Authorities identify and eliminate lead-based paint hazards in public housing. The fund will provide competitive grants to public housing agencies to evaluate and reduce lead-based paint hazards in public housing by carrying out the activities of risk assessments, abatement, and interim controls (as those terms are defined in section 1004 of the Residential Lead-Based Paint Hazard Reduction Act of 1992 (42 U.S.C. 4851b)).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The funds may be used for lead-based paint evaluation and hazard control in existing public housing units. The funds retain the same use restrictions of the formula-based capital funds, specifically, the modernization of public housing developments. Lead-based paint capital funds may not be used for luxury improvements, direct social services, costs funded for other programs, and ineligible activities as determined by HUD on a case-by-case basis. Assistance must be used to modernize and/or develop public housing and must comply with the eligible activities of Section 9(d) of the U.S. Housing Act of 1937.

Applicant Eligibility:

Only Public Housing Authorities (PHA) with the legal authority to develop, own, modernize and operate a public housing development in accordance with the 1937 Housing Act.

Beneficiary Eligibility:

Public housing residents.

Credentials/Documentation:

Required forms will be in the Grants.gov application kit. They include the SFLLL for any PHA that uses non-federal funds for lobbying, and the HUD 2880 (HUD Reform Act requirement) and the new Certification Regarding Lobbying form. PHAs that have already submitted other required forms for formula-based Capital Funds in the same calendar year do not need to submit them again unless there are revisions for this program: HUD-50075, PHA Certification of Compliance with the PHA Plan and Related Regulations; State or Local Certification of Consistency with the Consolidated Plan; Form HUD-5070, Certification of a Drug-Free Workplace; and Certification of Payments To Influence Federal Transactions. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. PHAs shall submit an updated PHA plan to the local HUD field office that consolidates information submitted by the PHA for various HUD programs into one document. The Lead-Based Paint Capital Fund Program is one component of the plan. Applications shall include an updated 50075.1 outlining expected activities.

Award Procedure:

Competitive funds will be awarded as described in the relevant Notice of Funding Availability.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Application deadline will be provided in the published Notice of Funding Availability.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The statute requires that CFP funds allocated to a PHA be fully obligated within two years and expended in four years unless the Secretary extends the time period. Method of awarding/releasing assistance: lump sum.

Reports:

PHAs must provide performance and evaluation reports annually for all open capital fund grants, including this program's funds. Cash reports are not applicable. Progress reports are also part of the performance and evaluation reports. PHAs are required to report on expenditures monthly in HUD's financial system. Performance monitoring is included in the performance and evaluation reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Capital Fund Program grants are audited in conjunction with the regular HUD or independent public accountant audit of PHA operations.

Records:

The PHA must maintain budgets and cumulative cost records for review by HUD and independent auditors, as applicable.

Account Identification:

86-0304-0-1-604.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 est \$25,000,000; and FY 18 Estimate Not Available(Exp: Appropriations pending)

Range and Average of Financial Assistance:

\$0-\$1,000,000; average award \$500,000.

TAFS Codes:

86-0304.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 905, 24 CFR Part 35 Subparts B, L, R

Regional or Local Office:

See Regional Agency Offices. Inquiries should be addressed to the Public Housing Director who has jurisdiction over the PHA. (see the Catalog, Appendix IV for HUD Field Office addresses.)

Headquarters Office:

Tara J. Radosevich 451 7th St. SW, Washington, District of Columbia 20410
Email: tara.j.radosevich@hud.gov Phone: 202-380-7369

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/capfund>

RELATED PROGRAMS:

14.850 Public and Indian Housing; 14.872 Public Housing Capital Fund; 14.881 Moving to Work Demonstration Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be funded based on need, as demonstrated by age of the proposed building and family occupancy.

14.889 CHOICE NEIGHBORHOODS IMPLEMENTATION GRANTS**FEDERAL AGENCY:**

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2010, Consolidated Appropriations Act of 2017, Public Law 114-113.

OBJECTIVES:

Choice Neighborhoods Implementation Grants will employ a comprehensive approach to community development centered on housing transformation. The program aims to transform neighborhoods of poverty into viable mixed-income neighborhoods with access to economic activities by revitalizing severely distressed public and assisted housing and investing and leveraging investments in well-functioning services, effective schools, and education programs, public assets, public transportation, and improved access to jobs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Choice Neighborhoods will fund competitive grants to transform neighborhoods of extreme poverty into sustainable mixed-income neighborhoods with well-functioning services, schools, public assets, transportation and access to jobs.

Applicant Eligibility:

For Choice Neighborhoods Implementation Grants, Public Housing Agencies (PHAs), local governments, non-profits, and for-profit developers that apply jointly with a public entity.

Beneficiary Eligibility:

For Choice Neighborhoods Implementation Grants, the ultimate beneficiaries are residents of the severely distressed public and/or assisted housing projects and residents of the surrounding community these grants aim to transform.

Credentials/Documentation:

For Choice Neighborhoods Implementation Grants, the ultimate beneficiaries are residents of the severely distressed public and/or assisted housing projects and residents of the surrounding community these grants aim to transform. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

HUD will issue a Notice of Funding Availability (NOFA), as provided by annual appropriations, via the Grants.gov website. HUD headquarters will coordinate reviews of each eligible application for threshold compliance,

assigns rating points to applications that pass all thresholds, and the highest rated applications are notified that they have been selected to participate in the Choice Neighborhoods Implementation Grant program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 150-180 days following the grant application deadline established by each NOFA. Please refer to each Notice of Funding Availability (NOFA) for application deadlines.

Appeals:

Applicants are notified by HUD if an application is not approved.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula. Matching Requirements: Choice Neighborhoods Implementation Grants will leverage funds from state, local or private sources as well as other HUD and Federal programs. HUD is required by section 24(c)(1)(A) of the 1937 Act (42 U.S.C. 1437v(c)(1)(A)) to include the requirement for matching funds for all HOPE VI-related grants, which includes Choice Neighborhoods. Applicants are required to have matching funds in the amount of five percent of the requested grant amount in cash or in-kind donations. This program does not have MOE requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Each grant agreement will establish timetables and milestones. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Grantees are required to maintain copies of budgets and other cost records through the grant period to indicate compliance with the Grant Agreement.

Account Identification:

86-0349-0-1-604 - This account is for FY 12.

Obligations:

(Project Grants) FY 16 \$500,000; FY 17 est \$500,000; and FY 18 est \$0 - FY 2013 actual obligations are the result of grants awarded using FY 2012 funds.

Range and Average of Financial Assistance:

Average \$30,000,000.

TAFS Codes:

86-0349.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: HUD expects to make 5 grant awards with a maximum grant size of \$30,000,000 that will help support affordable housing and community development. Fiscal Year 2017: HUD expects to make 4 grant awards with a maximum grant size of \$30,000,000 that will help support affordable housing and community development. Fiscal Year 2018: HUD expects to make 5-7 grant awards with a maximum grant size of \$30,000,000 that will help support affordable housing and community development.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Caroline Clayton, 451 7th Street S.W. Room 4130, Washington, District of

Columbia 20410 Email: choiceneighborhoods@hud.gov Phone: (202) 402-5461.

Website Address:

<http://www.hud.gov/cn/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.891 PUBLIC AND INDIAN HOUSING TRANSFORMATION INITIATIVE (TI) TECHNICAL ASSISTANCE (TA)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2017, Public Law 113-235.

OBJECTIVES:

The Public and Indian Housing Transformation Initiative Technical Assistance shall be used for the technical assistance and capacity building. TI TA funds will increase the operational efficiency of PIH processes and is a key step toward capacity building and technical awareness that are at the heart of HUDs mission. Public and Indian Housing TI TA provides for the underlying systems that have formed the basis for PIH programs, as well as incentivizes improved service provision and increases operational efficiency.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

Transformation Initiative Technical Assistance was designed to create sufficient funding for HUD to transform and modernize its operations. TI TA funds will be used to improve operations including: improving operational efficiency of projects, disaster outreach projects, training and development initiatives, and green initiatives.

Applicant Eligibility:

Increasing the operation efficiency of PIH processes is a key step toward capacity building and technical awareness that are at the heart of HUDs mission.

Beneficiary Eligibility:

Public and Indian Housing Transformation Initiatives Technical Assistance ultimate beneficiaries are those who receive assistance. These initiatives will improve the way the Department operates.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Awards are internal to the Department.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Amounts transferred into the TI TA account shall be available until September 30, 2012. See the following for information on how assistance is awarded/released: Assistance is awarded internally within the Department.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

86-0402-0-1-604.

Obligations:

(Provision of Specialized Services) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Range \$34,000 - \$5,320,000
Average \$2,130,000.

TAFS Codes:

86-0402.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Gary VanBuskirk 451 7th Dt, SW, Room 4246
, Washington, District of Columbia 20410 Email: Gary.F.VanBuskirk@hud.gov
Phone: 20.402.4307 Fax: 202.708.8937

Website Address:

No Data Available

RELATED PROGRAMS:

14.195 Section 8 Housing Assistance Payments Program_Special Allocations;
14.850 Public and Indian Housing; 14.872 Public Housing Capital Fund;
15.141 Indian Housing Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.892 CHOICE NEIGHBORHOODS PLANNING GRANTS

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2010, Consolidated Appropriations Act, 2017, Public Law 114-113.

OBJECTIVES:

Choice Neighborhoods Planning Grants will support the development of comprehensive neighborhood Transformation Plans. The Transformation Plan should integrate effective strategies to implement public and/or assisted housing

revitalization, the coordination and design of supportive services, including educational opportunities for children, and neighborhood-level planning to improve a range of neighborhood assets. The Transformation Plan should be created as part of a collaborative planning process that involves neighborhood stakeholders and local governmental entities to build the necessary support to successfully implement the plan.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Choice Neighborhoods Planning Grants will fund the creation of Transformation plans that are intended to become the guiding document for the revitalization of the public and/or assisted housing units while simultaneously directing the transformation of the surrounding neighborhood and outlining the steps necessary to create positive outcomes for families within the targeted neighborhood. Refer to NOFA.

Applicant Eligibility:

Choice Neighborhoods Planning Grants will support the development of comprehensive neighborhood Transformation Plans. The Transformation Plan should integrate effective strategies to implement public and/or assisted housing revitalization, the coordination and design of supportive services, including educational opportunities for children, and neighborhood-level planning to improve a range of neighborhood assets. The Transformation Plan should be created as part of a collaborative planning process that involves neighborhood stakeholders and local governmental entities to build the necessary support to successfully implement the plan.

Beneficiary Eligibility:

For Choice Neighborhoods Planning Grants, the ultimate beneficiaries are residents of the severely distressed public and/or assisted housing unit and residents of the surrounding community these grants aim to engage in the creation of the community drafted Transformation Plan.

Credentials/Documentation:

Eligibility requirements to apply for Choice Neighborhoods Planning Grants are included in the Notice of Funding Availability (NOFA). OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

HUD will issue a Notice of Funding Availability (NOFA), as provided by annual appropriations, via the Grants.gov website. HUD headquarters will coordinate reviews of each eligible application for threshold compliance, assigns rating points to applications that pass all thresholds, and the highest rated applications are notified that they have been selected to participate in the Choice Neighborhoods Planning Grant program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Refer to each Notice of Funding Availability (NOFA) for application deadlines.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 5%. This program has no statutory formula. Matching Requirements: Choice Neighborhoods Planning Grants will leverage funds from state, local or private sources as well as other HUD and Federal programs. HUD is required by section 24(c)(1)(A) of the 1937 Act (42 U.S.C. 1437v(c)(1)(A)) to include the requirement for matching funds for all HOPE VI-related grants, which includes Choice Neighborhoods. Applicants are required to have matching funds in the amount of five percent of the requested grant amount in cash or in-kind donations.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

24 months from grant execution. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees are required to submit quarterly reports to HUD that will track Grantee progress against approved budgets and schedules. No cash reports are required. Grantees are required to submit quarterly reports to HUD that will track Grantee progress against approved budgets and schedules. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Grants are audited in conjunction with regular HUD or independent public accountant audits in accordance with 24 CFR 85.26, and after grant close-out.

Records:

Grantees are required to maintain copies of budgets and other cost records through the grant period to indicate compliance with the Grant Agreement.

Account Identification:

86-0349-0-1-604.

Obligations:

(Project Grants) FY 16 \$2,155,727; FY 17 est \$256,583,000; and FY 18 est \$0

Range and Average of Financial Assistance:

N/A.

TAFS Codes:

86-0349.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: HUD awarded 7 Planning Grants. Fiscal Year 2017: HUD plans to award 14-17 Planning Grants. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

No regulations have been published. Each grant is subject to the terms of its NOFA and Grant Agreement, which is the contract signed by the Grantee and HUD. HUD posts guidance to the Choice Neighborhoods website, www.hud.gov/cn.

Regional or Local Office:

None.

Headquarters Office:

Caroline Clayton, 451 7th Street S.W. Room 4130, Washington, District of Columbia 20410 Email: choiceneighborhoods@hud.gov Phone: (202) 402-5461.

Website Address:

<http://www.hud.gov/cn>

RELATED PROGRAMS:

14.866 Demolition and Revitalization of Severely Distressed Public Housing; 14.889 Choice Neighborhoods

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.893 OFFICE OF NATIVE AMERICAN PROGRAMS TRAINING AND TECHNICAL ASSISTANCE FOR INDIAN HOUSING BLOCK GRANT PROGRAM

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

The Transportation/ HUD Consolidated Appropriations Act, 2017, Public Law 111-117; The Omnibus Appropriations Act, 2009, Public Law 111-8; The Department of Defense and Full-Year Continuing Appropriations Act, 2011, Public Law 112-10; Consolidated Appropriations Act, 2016, Public Law 114-113.

OBJECTIVES:

To provide technical assistance for Indian tribes, Alaska Native villages, and tribally designated housing entities (TDHEs) in the development of viable communities.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Funds are available to national and regional organizations representing Native American housing interests to provide training and technical assistance (T&TA) to Indian tribes and TDHEs (and subrecipients) eligible to receive an Indian Housing Block Grant (IHBG) pursuant to the Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA) (25 U.S.C. 4111 et seq.) (Component One). Funds are also available to national and regional organizations representing Native American housing interests as well as for-profit entities to provide T&TA to tribes/TDHEs (and subrecipients) eligible to receive an IHBG (Component Two). Tribes/TDHEs and their subrecipients eligible to receive assistance provided by T&TA providers are collectively referred to as T&TA beneficiaries..

Applicant Eligibility:

Depending on the component, any national or regional T&TA provider, or any organization with the capacity to provide services.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular A-87, Circular A-122, or 48 CFR 31.2. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

The Office of Native American Programs (ONAP) is responsible for rating and approving applications and for notifying applicants of the results.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None as specified in the General Section.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for two years. See the following for information on how assistance is awarded/released: demand/response.

Reports:

Recipients are required to submit quarterly reports. Recipients are required to submit quarterly reports. Recipients are required to submit quarterly reports. Recipients are required to submit quarterly reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records applicable to the assistance project must be kept for three to five years following the submission of the final expenditure report or until all audit findings have been resolved.

Account Identification:

86-0313-0-1-604.

Obligations:

(Training) FY 16 \$5,048,000; FY 17 est \$3,500,000; and FY 18 est \$0

Range and Average of Financial Assistance:

\$9,000-\$15,000; \$18,000 Average.

TAFS Codes:

86-0313.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program improved the capacity of training and technical assistance beneficiaries. Fiscal Year 2017: The program will continue to improve the capacity of training and technical assistance beneficiaries. Fiscal Year 2018: n/a.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1000

Regional or Local Office:

See Regional Agency Offices. Headquarters.

Headquarters Office:

Nicholas C. Zolkowski 451 7th Street, SW, Washington, District of Columbia 20410 Email: nicholas.c.zolkowski@hud.gov Phone: 202-402-4507

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/administration/grants/fundsavail

RELATED PROGRAMS:

14.862 Indian Community Development Block Grant Program; 14.867 Indian Housing Block Grants; 15.141 Indian Housing Assistance; 93.612 Native American Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications may be submitted by non-profit and for profit organizations that possess the capacity to perform the associated tasks as required in the NOFA. Applications are then rated against factors that measure experience, performance, management and quality control.

14.894 OFFICE OF NATIVE AMERICAN PROGRAMS TRAINING AND

**TECHNICAL ASSISTANCE FOR NATIVE HAWAIIAN HOUSING
BLOCK GRANT PROGRAM**

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

The Consolidated Appropriations Act, 2008, Public Law 110-161; The Department of Defense and Full-Year Continuing Appropriations Act, 2011, Consolidated Appropriations Act of 2016, Public Law 114-113; The Transportation/ HUD Consolidated Appropriations Act, 2010, Public Law 111-117.

OBJECTIVES:

To provide technical assistance for Native Hawaiians in the development of viable communities.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Funds are available to national and regional organizations, as well as for-profit entities to provide T&TA to the Department of Hawaiian Homelands (DHHL) and subrecipients of Native Hawaiian Housing Block Grant (NHHBG) funds pursuant to Title VIII of NAHASDA. DHHL and its subrecipients eligible to receive assistance provided by T&TA providers are collectively referred to as T&TA beneficiaries..

Applicant Eligibility:

Depending on the component, any national or regional T&TA provider, or any organization with the capacity to provide services.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular A-87, Circular A-122, or 48 CFR 31.2. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

The Office of Native American Programs (ONAP) is responsible for rating and approving applications and for notifying applicants of the results.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Dates are included in a Notice of Funding Availability (NOFA) which is posted on grants.gov and on HUDs website.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for two years. See the following for information on how assistance is awarded/released: demand/response.

Reports:

Recipients are required to submit quarterly reports. Recipients are required to submit quarterly reports. Recipients are required to submit quarterly reports. Recipients are required to submit quarterly reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records applicable to the assistance project must be kept for three to five years following the submission of the final expenditure report or until all audit findings have been resolved.

Account Identification:

86-0235-0-1-604.

Obligations:

(Training) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

\$30,000-\$100,000; \$20,000 Average.

TAFS Codes:

86-0235.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: n/a. Fiscal Year 2017: N/A. Fiscal Year 2018: n/a.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1006

Regional or Local Office:

See Regional Agency Offices. Headquarters Office.

Headquarters Office:

Nicholas C. Zolkowski 451 7th Street, SW, Washington, District of Columbia 20410 Email: nicholas.c.zolkowski@hud.gov Phone: 202-402-4507

Website Address:

No Data Available

RELATED PROGRAMS:

14.867 Indian Housing Block Grants; 15.141 Indian Housing Assistance; 93.612 Native American Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications may be submitted by non-profit and for profit organizations that possess the capacity to perform the associated tasks as required in the NOFA. Applications are then rated against factors that measure experience, performance, management and quality control.

14.895 JOBS-PLUS PILOT INITIATIVE

Jobs-Plus

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act of 2017, Public Law 114-113.

OBJECTIVES:

Jobs Plus Pilot is a locally designed program implemented in a collaboration among local housing authorities, residents of public housing developments, local welfare agencies, local workforce development agencies, and other relevant partners. It is aimed at significantly increasing employment and income of public housing residents. The program provides intensive,

employment-focused programs targeting every able-bodied, working-age welfare recipient at a public housing development. The place-based Jobs Plus Pilot program addresses entrenched poverty among public housing residents by saturating developments with job and career support, community building, and rent-based work incentives. This program helps residents of public housing increase their earnings through on-site employment-related services, financial incentives, and community support for work. The Jobs Plus Pilot program consists of the following three core components: Employment-Related Services, Financial Incentives, and Community Support for Work.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used to pay the cost of implementing Jobs Plus start-up, planning, and programming activities (employment and incentives); and the salary and fringe benefits of program staff. Only residents of conventional public housing may benefit from this program.

Applicant Eligibility:

Public Housing Authorities(PHA).

Beneficiary Eligibility:

Individuals and families who are residents of conventional public housing are eligible to receive benefits under the Job Plus program.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. A Notice of Funding Availability(NOFA) prescribing the specific information to be submitted will be published in the Federal Register by HUD Headquarters. HUD provides the application and standard forms for this program.

Award Procedure:

HUD will review those applications in accordance with the NOFA published in the Federal Register.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. HUD will undertake processing of applicants and selection of successful applicants. HUD will make final selection and obligate funds.

Appeals:

There is no appeals process. Applicants whose applications did not receive funding may request a debriefing. Further information is included in the NOFA.

Renewals:

Further information is included in the NOFA.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 25%. Further information is included in the NOFA. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

HUD will provide assistance for a 1-year time period. Further information is

included in the NOFA on how assistance is awarded/released. Grant agreements are executed and funds are made available through HUD's financial system. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees must keep program records and submit annual reports as specified by HUD. No cash reports are required. Grantees must keep progress reports and submit annual reports as specified by HUD. Grantees must keep expenditure reports and submit annual reports as specified by HUD. Further information on performance monitoring is included in the NOFA.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grantees must adhere to the policies, guidelines, and requirements of 24 CFR Part 84 or 85 and OMB Circular No. A-87. OMB Circulars A-110 and A-122 apply to the acceptance and use of assistance by private nonprofit agencies.

Records:

Records will be retained in accordance with the HUD regulation, 24 CFR Part 84 and 85.

Account Identification:

86-0304-0-1-604.

Obligations:

(Project Grants) FY 16 \$29,398,000; FY 17 est \$15,000,000; and FY 18 est \$10,000,000

Range and Average of Financial Assistance:

The average financial assistance is \$2-3 million per grantee award.

TAFS Codes:

86-0304.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Department provided 8-9 grants for this program. Fiscal Year 2017: The Department will provide 8-9 grants for this program. Fiscal Year 2018: The Department will provide 11-12 grants for this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD appropriation statutes and the specific fiscal year NOFA published in Federal Register provide program requirements and guidelines.

Regional or Local Office:

None.

Headquarters Office:

Tobey Zimmer 451 7th St. SW, Room 4116, Washington, District of Columbia 20410 Email: Tobey.J.Zimmer@hud.gov Phone: 202-402-6230

Website Address:

No Data Available

RELATED PROGRAMS:

14.870 Resident Opportunity and Supportive Services - Service Coordinators; 14.877 Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed in the NOFA.

14.896 FAMILY SELF-SUFFICIENCY PROGRAM (FSS)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Cranston-Gonzalez National Affordable Housing Act, 2017, Public Law 101-625, 42 U.S.C 1437u; Consolidated Appropriations Act, 2016, Public Law 114-113.

OBJECTIVES:

To promote the development of local strategies to coordinate the use of assistance under the Housing Choice Voucher and Public Housing programs with public and private resources to enable participating families to increase earned income and financial literacy, reduce or eliminate the need for welfare assistance, and make progress toward economic independence and self-sufficiency.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds awarded to public housing agencies (PHAs) or tribes/Tribally Designated Housing Entities (TDHEs) may only be used to pay the annual salary and fringe benefits of FSS program coordinators. Only participants in the Housing Choice Voucher program or Public Housing program may benefit from this program.

Applicant Eligibility:

Eligible applicants are public housing agencies (PHAs) and tribes/Tribally Designated Housing Entities (TDHEs).

Beneficiary Eligibility:

Individuals and families who are participants in the Housing Choice Voucher program or Public Housing program are eligible to receive benefits from the FSS program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Notice of Funding Availability (NOFA) prescribing the specific information to be submitted will be posted on Grants.gov by HUD Headquarters. HUD provides the application and standard forms for this program.

Award Procedure:

HUD will review those applications in accordance with the NOFA posted on Grants.gov.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. HUD will undertake processing of applications and selection of successful applicants. HUD will make final selection and obligate funds.

Appeals:

There is no appeals process. Applicants whose applications did not receive funding may request a debriefing. Further information is included in the NOFA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 24, Part 984. All recipients of FSS funding must administer the FSS program in accordance with HUD regulations and requirements in 24 CFR part 984, and must comply with Public Housing and Housing Choice Voucher requirements, notices, and guidebooks, as applicable. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

HUD will provide assistance for a 1-year time period. See the following for

information on how assistance is awarded/released: Grant agreements are executed and funds are made available through HUD's financial system.

Reports:

Grantees must keep program records and submit annual reports as specified by HUD. No cash reports are required. Grantees must keep progress reports and submit annual reports as specified by HUD. Grantees must keep expenditure reports and submit annual reports as specified by HUD. Grantees must submit annual reports as specified by HUD.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

86-0350-0-1-604.

Obligations:

(Project Grants) FY 16 \$149,921,000; FY 17 est \$75,000,000; and FY 18 est \$75,000,000

Range and Average of Financial Assistance:

\$13,000 - \$1,300,000; Average \$40,000-\$69,000.

TAFS Codes:

86-2014-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program provided assistance to 72,000 families. Fiscal Year 2017: The program anticipates to provide assistance to 72,000 families. Fiscal Year 2018: The program anticipates to provide assistance to 72,000 families.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD appropriation statutes, and the specific fiscal year NOFA posted in Grants.gov provide program requirements and guidelines. See also 24 CFR 984.

Regional or Local Office:

None. Designated personal in the offices of Public Housing and Native American Programs listed in Appendix IV of the Catalog.

Headquarters Office:

Anice Chenault 451 7th Street SW, Washington, District of Columbia 20410
Email: anice.s.chenault@hud.gov Phone: 202-402-2341

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/public_indian_housing/programs/hcv/fss

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed in the NOFA.

14.898 ROSS SUPPORTIVE SERVICES PROGRAMS

ROSS Supportive Services Programs

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

The Consolidated and Further Continuing Appropriations Act, 2015, Public Law 113-235, Public Law 113-325.

OBJECTIVES:

To provide supportive services, service coordinator and congregate services as authorized by Section 34 of the Act (42 U.S.C. 1437z-6) and the Native American Housing Assistance and Self-Determination Act of 1996 (25 U.S.C. 4101 et seq.) to programmatically address the needs of public housing residents by providing supportive services, resident empowerment activities and/or assisting residents of all ages in becoming economically self-sufficient through a variety of innovative programs/initiatives.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used for academic enrichment, economic development, supportive services activities, organizational development, and mediation, including the employment of service coordinators/case managers/service navigators.

Applicant Eligibility:

Public Housing Authorities (PHAs), Tribes and tribally designated housing entities (TDHEs), resident councils and nonprofit entities supported by residents. Applicants must establish partnerships to leverage resources.

Beneficiary Eligibility:

Individuals, families, children, youth, adults as well as elderly/persons with disabilities who are residents of conventional public or Indian housing are eligible to receive benefits from the ROSS program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. A Notice of Funding Availability (NOFA) prescribing the application criteria and specific information to be submitted will be issued by HUD via Grants.gov. Application deadlines will be included in the NOFA.

Award Procedure:

HUD will review applications in accordance with the criteria of the NOFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

There is no appeals process. Applicants whose applications did not receive funding may request a debriefing. Further information is included in the NOFA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 25%. At least 25%.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

HUD will provide assistance for a 3-year time period or as otherwise stated in the NOFA. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Grant agreements are executed and funds are drawn by grantees through HUD's financial system.

Reports:

Grantees must keep program records and submit annual reports as specified by

HUD. No cash reports are required. No cash reports are required. Grantees must keep progress reports and submit annual reports as specified by HUD. Grantees must keep expenditure reports and submit annual reports as specified by HUD. No performance monitoring is required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees will be subject to Federal recordkeeping requirements in 2 CFR 200.333 and as otherwise stated in the Grant Agreement.

Account Identification:

86-0304-0-1-604.

Obligations:

(Project Grants) FY 16 \$1,753,464; FY 17 est \$3,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

TBD by each individual program/initiative in accordance with the NOFA.

TAFS Codes:

86-0304.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program provides grants to 18 communities. Fiscal Year 2017: The program anticipates to provide grants to 20-25 communities. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD appropriation statutes and the specific fiscal year NOFA published in the Federal Register provide program requirements and guidelines.

Regional or Local Office:

None. Designated persons in the Offices of Public Housing and Native American Programs listed in Appendix IV of the Catalog.

Headquarters Office:

Dina Lehmann-Kim 451 7th St., SW, Washington, District of Columbia 20410
Email: dina.lehmann-kim@hud.gov Phone: 202-402-2430

Website Address:

No Data Available

RELATED PROGRAMS:

14.870 Resident Opportunity and Supportive Services - Service Coordinators;
14.877 Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed in the NOFA.

14.900 LEAD-BASED PAINT HAZARD CONTROL IN PRIVATELY-OWNED HOUSING

Lead-Based Paint Hazard Control

FEDERAL AGENCY:

Office of Healthy Homes and Lead Hazard Control, Department of Housing and Urban Development

AUTHORIZATION:

Residential Lead-Based Paint Hazard Reduction Act of 1992, Title X, Section 1011, Public Law 102-550; Omnibus Appropriations Act, 2010, Public Law 111-8.

OBJECTIVES:

Lead-Based Paint Hazard Control grants assist State, Tribal, and local governments to identify and control lead-based paint hazards in

privately-owned housing that is owned by or rented to low- or very-low income families. Specific objectives include: (1) Maximize the combination of children less than six years of age protected from lead poisoning and housing units where lead-hazards are controlled; (2) Prevent childhood lead poisoning; (3) Stimulate lower-cost and cost-effective methods and approaches to lead hazard control work that can be replicated; (4) Build local capacity to safely and effectively address lead hazards during lead hazard control, renovation, remodeling, and maintenance activities by integrating lead safe work practices into housing maintenance, repair, weatherization, rehabilitation and other programs that will continue beyond the grant period; (5) Affirmatively further fair housing and environmental justice (6) Develop a comprehensive community approach to address lead hazards in housing by mobilizing public and private resources, involving cooperation among all levels of government, the private sector, and grassroots community-based nonprofit organizations, including faith-based organizations, to develop cost-effective methods for identifying and controlling lead-based paint hazards (7) Establish a public registry of lead-safe housing; and (8) To the greatest extent feasible, promote job training, employment, and other economic opportunities for low-income and minority residents and businesses that are owned by and/or employ minorities and low-income persons as defined in 24 CFR 135.5 (see 59 FR 33881, June 30, 1994).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

For Fiscal Year 2011, potential applicants should consult the NOFA for available grant funding, particular requirements and restrictions, and application due dates. Submission information is provided in the NOFA. For Fiscal Year 2011, potential applicants should consult the NOFA for available grant funding, particular requirements and restrictions, and application due dates. Submission information is provided in the NOFA. For Fiscal Year 2011, potential applicants should consult the NOFA for available grant funding, particular requirements and restrictions, and application due dates. Submission information is provided in the NOFA.

Applicant Eligibility:

States, Tribes, cities or units of general local government that have a current, approved Consolidated Plan. Federal agencies and Federal employees are not eligible to submit applications. Applicants with outstanding civil rights violations are not eligible for funding.

Beneficiary Eligibility:

Hazard Control Grants shall be for lead-based paint hazard control in eligible target housing, as defined under Section 217 of Public Law 104-134 (the Omnibus Consolidated Rescissions and Appropriations Act of 1996, 110 Stat. 1321, approved April 26, 1996) as amended by Section 1011(a) of the Residential Lead-Based Paint Hazard Reduction Act of 1992 (Title X). Funds shall be available only for projects conducted using contractors and inspectors certified, through an EPA authorized program, or trained in lead-safe work practices using a HUD-approved curriculum.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and specific requirements of 24 CFR 85.2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The competitive Notification of Funding Availability (NOFA) for fiscal year 2011 The Lead-Based Paint Hazard Control Grant Program provides information about the application procedure.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 10%. The Lead-Based Hazard Control Grant Program requires a minimum of 10 percent local matching requirement. Community Development Block Grant funds are eligible as a local contribution.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Lead-Based Hazard Control Grant award is for 36 months. HUD reserves the right to approve no cost time extensions. Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly financial management and performance reports are required of participating jurisdictions. A Final report is also required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals and Other Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

(Project Grants) FY 16 \$46,577,428; FY 17 est \$49,000,000; and FY 18 est \$64,000,000

Range and Average of Financial Assistance:

With Fiscal Year 2010 funds an estimated 21 Lead Hazard Control grants of approximately \$3 million each will be awarded totaling \$62 million.

TAFS Codes:

86-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All relevant information if provided in the Notice of Funding Availability.

Regional or Local Office:

None.

Headquarters Office:

Jeff W. Simpkins, 451 Seventh Street, SW (Room 8236), Washington, District of Columbia 20410 Email: Jeffrey.W.Simpkins@hud.gov Phone: (202) 402-7180.

Website Address:

<http://www.hud.gov/healthyhomes/>.

RELATED PROGRAMS:

14.905 Lead Hazard Reduction Demonstration Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2010: HUD will use the technical and financial selection criteria listed in the NOFA.

14.902 LEAD TECHNICAL STUDIES GRANTS

Lead Technical Studies Grants

FEDERAL AGENCY:

Office of Healthy Homes and Lead Hazard Control, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1992, Public Law 102-550.

OBJECTIVES:

To fund technical studies to improve methods for detecting and controlling lead-based paint hazards in housing. The purpose of the Lead Technical Studies program is to improve our knowledge of lead-based paint related health hazards, and to improve or develop new hazard assessment and control methods.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

For Fiscal Year 2011, potential applicants should consult the program NOFA and the General Section of the NOFA for available grant funding, particular requirements and restrictions, and application due dates. The applications for this NOFA can be found at www.grants.gov and at <http://www.hud.gov/lead>. The application is completely electronic. You must have a DUNS Number.

Applicant Eligibility:

Academic, not-for-profit and for-profit institutions located in the U.S. (for-profit firms are not allowed to profit from the project), state and local governments, and federally recognized Native American tribes are eligible under all existing authorizations. Applications for supplementation of existing projects are eligible to compete with applications for new awards. Federal agencies and federal employees are not eligible to submit applications. The General Section of the SuperNOFA provides additional eligibility requirements.

Beneficiary Eligibility:

Homeowners, rental property owners, rental and public housing residents.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, local and Federally Recognized Indian Tribal Governments and specific requirements of 24 CFR Part 85. Costs will be determined for nonprofit and educational institutions in accordance with OMB Circulars No. A-122 and No. A-21, respectively, and in accordance with the requirements of 24 CFR Part 84. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The competitive Notification of Funding Availability (NOFA) for the fiscal year 2011 Lead and Healthy Homes Technical Studies grant program provides information about the application procedure. Copies of the NOFA and required forms can be obtained from www.grants.gov. Other relevant information can be found at HUD's website, www.hud.gov, or the Office's website, <http://www.hud.gov/lead>.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Lead Technical Studies grants are awarded for up to 36 months after the date of award. HUD reserves the right to approve no cost time extensions for a period not to exceed 12 months. Payment is on a cost-reimbursable basis. See the following for information on how assistance is awarded/released: Cost reimbursement.

Reports:

Quarterly financial management and performance reports are required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records: As required by OMB Circular A-102, "Grants and Cooperative Agreements With State and Local Governments" or OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

(Cooperative Agreements) FY 16 Not Available; FY 17 est \$1,000,000; and FY 18 est \$3,000,000 - N/A for FY 10.

Range and Average of Financial Assistance:

The total amount to be awarded is approximately \$1 million for Lead Technical Studies. The anticipated amounts and/or numbers of individual awards will be approximately 2 - 4 cooperative agreements, ranging from approximately \$250,000 to a maximum of \$500,000.

TAFS Codes:

86-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Funding Availability and Application files are self-contained; all necessary information is provided at www.grants.gov; no other regulations pertain.

Regional or Local Office:

None.

Headquarters Office:

Eugene Pinzer, 451 Seventh Street, SW, Room 8236, Washington, District of Columbia 20410-3000 Email: Eugene_A_Pinzer@hud.gov Phone: (202) 402-7685.

Website Address:

<http://www.hud.gov/offices/lead/techstudies/index.cfm>.

RELATED PROGRAMS:

14.900 Lead-Based Paint Hazard Control in Privately-Owned Housing; 14.905 Lead Hazard Reduction Demonstration Grant Program; 14.906 Healthy Homes Technical Studies Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2010 HUD will use the technical selection criteria listed in the NOFA.

14.905 LEAD HAZARD REDUCTION DEMONSTRATION GRANT PROGRAM

Lead Hazard Reduction Demonstration Grant Program

FEDERAL AGENCY:

Office of Healthy Homes and Lead Hazard Control, Department of Housing and Urban Development

AUTHORIZATION:

Omnibus Appropriations Act, 2011, Public Law 111-8; Residential Lead-Based Paint Hazard Reduction Act of 1992, Title X, Section 1011, Public Law 102-550.

OBJECTIVES:

Lead Hazard Reduction Demonstration Grant assist State, Tribal, and local governments to identify and control lead-based paint hazards in privately-owned housing that is owned by or rented to low- or very-low income families. Specific objectives include: (1) Maximize the combination of children less than six years of age protected from lead poisoning and housing units where lead-hazards are controlled; (2) preventing childhood lead poisoning; (3) Stimulate lower-cost and cost-effective methods and approaches to lead hazard control work that can be replicated; (4) Build local capacity to safely and effectively address lead hazards during lead hazard control, renovation, remodeling, and maintenance activities by integrating lead safe work practices into housing maintenance, repair, weatherization, rehabilitation and other programs that will continue beyond the grant period; (5) Affirmatively further fair housing and environmental justice (6) Develop a comprehensive community approach to address lead hazards in housing by mobilizing public and private resources, involving cooperation among all levels of government, the private sector, and grassroots community-based nonprofit organizations, including faith-based organizations, to develop cost-effective methods for identifying and controlling lead-based paint hazards (7) establishing a public registry of lead-safe housing; and (8) To the greatest extent feasible, promote job training, employment, and other economic opportunities for low-income and minority residents and businesses that are owned by and/or employ minorities and low-income persons as defined in 24 CFR 135.5 (See 59 FR 33881, June 30, 1994). In addition to the requirements above, you must have at least 3,500 pre-1940 occupied rental housing units (either alone or through a consortium).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

For Fiscal Year 2011, potential applicants should consult the NOFA for available grant funding, particular requirements and restrictions, and application due dates. Submit your electronic application through <http://www.grants.gov>.

Applicant Eligibility:

To be eligible to apply for the Lead Hazard Reduction Demonstration Program, the applicant must be a city, county, or other unit of local government. States and Indian Tribes may apply on behalf of units of local government within their jurisdiction, if the local government designates the state or the Indian Tribe as their applicant. Multiple units of a local government (or multiple local governments) may apply as part of a consortium; however, you must identify a prime applicant that will be responsible for ensuring compliance with all requirements specified in this NOFA. State government and Native American tribal applicants must have an EPA approved State Program for certification of lead-based paint contractors, inspectors, and risk assessors in accordance with 40 CFR 745 in effect on the application deadline date to be eligible to apply for Lead Hazard Reduction Demonstration Grant funds.

Beneficiary Eligibility:

Occupants and owners of eligible target housing, Section 1011(a) of the Residential Lead-Based Paint Hazard Reduction Act of 1992 (Title X). Lead Hazard Reduction Demonstration Grants shall be for lead-based paint hazard control in this housing. Funds shall be available only for projects conducted using contractors and inspectors certified, and workers trained through an EPA authorized program. Selected beneficiaries must comply with all eligibility criteria and all applicable restrictions presented in the Appropriation language and with all requirements presented in the NOFA.

Credentials/Documentation:

For the Lead Hazard Control Grant Program, costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and specific requirements of 24 CFR 85.2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The competitive Notification of Funding Availability (NOFA) for fiscal year 2011 The Lead-Based Paint Hazard Control Grant Program provides information about the application procedure.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. Formula and Matching Requirements: 25 percent local match, which may be cash, including private sector funding, in-kind contributions, or both. For the Lead Hazard Reduction Demonstration Grant Program, HUD may grant a waiver of the 25 percent match requirement, if the applicant submits a request that meets HUD's criteria. Waiver eligible applicants should provide the 10% match. Except for Community Development Block Grant (CDBG) funds, Federal Revenue Sharing programs, or other programs which by statute allow their funds to be considered local funds and therefore eligible to be used as matching funds, Federal funds may not be used to satisfy the statutory 10 percent requirement, but may be used for contributions above that requirement. You must support each source of contributions by a letter of commitment from the contributing entity, describing the contributed resources and their designated purpose.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Lead Hazard Reduction Demonstration Grant award is for a The period of performance is 36 months. HUD reserves the right to approve no cost time extensions for a period not to exceed 12 months. Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly financial management and performance reports are required of participating jurisdictions. A Final report is also required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by OMB Circular A-110 Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

(Project Grants) FY 16 \$52,645,791; FY 17 est \$52,000,000; and FY 18 est \$54,000,000 - Range and Average of Financial Assistance: Approximately \$48 million in fiscal year 2010 funds is available. The minimum award amount shall be approximately \$1 million per grant up to \$4.5 million per grant. Approximately 10 to approximately 14 grants will be awarded to applicants for the Lead Hazard Reduction Demonstration Program.

Range and Average of Financial Assistance:

Approximately \$48 million in fiscal year 2010 funds is available. The minimum award amount shall be approximately \$1 million per grant up to \$4.5 million per grant. Approximately 10 to approximately 14 grants will be awarded to applicants for the Lead Hazard Reduction Demonstration Program.

TAFS Codes:

86-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Funding Availability is available at Grants.gov; all necessary information is provided; no other regulations pertain. For Lead Hazard Reduction Demonstration grants, there is some change in the content and direction of the NOFA that is issued each year, dependent on SuperNOFA format, Congressional direction, changes in emphasis among priorities, and improvements based on experience with prior NOFAs.

Regional or Local Office:

None.

Headquarters Office:

Jeff W. Simpkins, 451 Seventh Street, SW (Room 8236), Washington, District of Columbia 20410 Email: Jeffrey.W.Simpkins@hud.gov Phone: (202) 402-7180.

Website Address:

<http://www.hud.gov/healthyhomes>

RELATED PROGRAMS:

14.900 Lead-Based Paint Hazard Control in Privately-Owned Housing

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.906 HEALTHY HOMES TECHNICAL STUDIES GRANTS

Healthy Homes Technical Studies Grants

FEDERAL AGENCY:

Office of Healthy Homes and Lead Hazard Control, Department of Housing and Urban Development

AUTHORIZATION:

Omnibus Appropriations Act, 2010, Public Law 111-8; Housing and Urban Development Act of 1970, Section 501-502.

OBJECTIVES:

To fund technical studies to improve methods for detecting and controlling housing-related health and safety hazards. The purpose of the Healthy Homes

Technical Studies program is to improve our knowledge of housing-related health hazards, and to improve or develop new hazard assessment and control methods.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

For Fiscal Year 2011, potential applicants should consult the program NOFA and the General Section of the NOFA for available grant funding, particular requirements and restrictions, and application due dates. The applications for this NOFA can be found at www.grants.gov and at <http://www.hud.gov/lead>. The application is an electronic application. You must have a DUNS Number.

Applicant Eligibility:

Academic, not-for-profit and for-profit institutions located in the U.S. (for-profit firms are not allowed to profit from the project), state and local governments, and federally recognized Native American tribes are eligible under all existing authorizations. Applications for supplementation of existing projects are eligible to compete with applications for new awards. Federal agencies and federal employees are not eligible to submit applications. The General Section of the SuperNOFA provides additional eligibility requirements.

Beneficiary Eligibility:

Homeowners, rental property owners, and residents of rental housing and public housing.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, local and Federally Recognized Indian Tribal Governments and specific requirements of 24 CFR Part 85. Costs will be determined for nonprofit and educational institutions in accordance with OMB Circulars No. A-122 and No. A-21, respectively, and in accordance with the requirements of 24 CFR Part 84. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The competitive Notification of Funding Availability (NOFA) for the fiscal year 2011 Healthy Homes Technical Studies grant program provides information about the application procedure. Copies of the NOFA and required forms can be obtained from www.grants.gov. Other relevant information can be found at HUD's website, www.hud.gov, or the Office's website, <http://www.hud.gov/lead>.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Healthy Homes Technical Studies grants are awarded for up to 36 months after the date of award. HUD reserves the right to approve no cost time extensions for a period not to exceed 12 months. Payment is on a cost-reimbursable basis. Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly financial management and performance reports are required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by OMB Circular A-102, "Grants and Cooperative Agreements With State and Local Governments" or OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

(Cooperative Agreements) FY 16 \$2,000,000; FY 17 est \$2,000,000; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

The total amount to be awarded is approximately \$6 million for Healthy Homes Technical Studies. For Healthy Homes Technical Studies, the anticipated amounts and/or numbers of individual awards will be approximately 5 - 10 cooperative agreements, ranging from approximately \$300,000 to a maximum of \$1,000,000.

TAFS Codes:

86-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Funding Availability and Application files are self-contained; all necessary information is provided at www.grants.gov; no other regulations pertain.

Regional or Local Office:

None.

Headquarters Office:

Eugene Pinzer, 451 Seventh Street, SW, Room 8236, Washington, District of Columbia 20410-3000 Email: Eugene_A_Pinzer@hud.gov Phone: (202) 402-7685.

Website Address:

<http://www.hud.gov/offices/lead>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2010 HUD will use the technical selection criteria listed in the NOFA.

DEPARTMENT OF THE INTERIOR**15.020 AID TO TRIBAL GOVERNMENTS****FEDERAL AGENCY:**

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To provide funds to Indian tribal governments to support general tribal government operations, to maintain up-to-date tribal enrollment, to conduct tribal elections, and to develop appropriate tribal policies, legislation, and regulations.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used in a variety of ways to strengthen the capabilities of Indian tribes in self-government, community planning, and maintenance of membership records. Contact the headquarters office for more information.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and members of American Indian Tribes.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An informal conference with a Bureau of Indian Affairs agency representative is recommended. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the individual Indian tribe through participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the

Indian tribe. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For awards made under this program grantees/contractors are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Range is \$10,000 to \$700,000; average \$80,000.

TAFS Codes:

14-00-0000-0000-0000-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Director, Bureau of Indian Affairs, Office of Tribal Services, 1849 C Street, NW, MS 320 SIB, Washington, District of Columbia 20240 Phone: (202) 513-7640.

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

15.021 Consolidated Tribal Government Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.021 CONSOLIDATED TRIBAL GOVERNMENT

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act; Public Law 93-638; as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To promote Indian self determination and improve the quality of life in Tribal communities by providing greater flexibility in planning programs and meeting the needs of communities. This program allows Tribes to combine various programs and/or grants into one agreement. The simplified contracting procedures enhance program accountability by reducing paperwork and reporting requirements, and reduce Tribal administrative costs to allow for increased services under these contracts.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds from various Bureau programs, such as, Scholarships, Johnson O'Malley, Adult Education, and Job Placement and Training can be combined into a single consolidated contract. Contact the headquarters office for more information.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and members of American Indian Tribes.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An informal conference with Bureau of Indian Affairs agency representative is recommended. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the individual Indian tribe through participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. See the following for information on how assistance is awarded/released: Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe.

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. SF-425, Federal Financial Reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

The range is \$1,300 to \$2,400,000; average \$500,000.

TAFS Codes:

14-00-0000-0000-0000-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Chief, Deputy Director, Department of the Interior, Office of Tribal Services, Bureau of Indian Affairs, 1849 C Street, NW., MS-320 SIB, Washington, District of Columbia 20240 Phone: (202) 513-7640.

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.022 TRIBAL SELF-GOVERNANCE**FEDERAL AGENCY:**

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Title IV, Public Law 93-638, as amended, 25 U.S.C. 458 aa et seq.

OBJECTIVES:

To further the goals of Indian Self-Determination by providing funds to Indian tribes to administer a wide range of programs with broad administrative and programmatic flexibility.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used by Federally Recognized Tribal Governments to support a wide range of programs such as law enforcement, tribal courts, scholarships, social services, road maintenance, forestry, agriculture, real estate services, wildlife and parks, and water resources. Funds may be used only for programs previously administered by the Bureau of Indian Affairs or for programs specifically authorized by Federal statute and may not be used for the operation of elementary and secondary schools or for community colleges. The Indian tribe must ensure adequate protection of trust resources. For more information contact the headquarters office.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal consortia authorized by the Federally Recognized Indian Tribal Governments to be served.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their Members.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe or in the case of a consortia, Indian tribes to be served. First-time applicants must have successfully completed a planning phase and must have demonstrated for the previous three years, financial stability and financial management capability. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An informal pre-application conference is recommended. Technical assistance in preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application and selection process is governed by regulations contained in 25 CFR Part 1000. Applicants first apply for inclusion in an applicant pool. Once an Indian tribe/consortium has established eligibility for admission to the applicant pool, it can be selected to become a Self-Governance Tribe. Application information is available from the office(s) listed below under "Information Contacts."

Award Procedure:

The annual dollar value of the funding agreement is subject to negotiation between the applicant, the local Bureau agency office, the servicing Regional office, and the Office of Self-Governance. Final funding agreements are signed by the authorized tribal representative and the Director, Office of Self-Governance.

Deadlines:

Mar 01, 2011 An application for inclusion in the applicant pool may be filed at any time. However, an Indian tribe/consortium must submit its application by March 1 of the year in which the initial funding agreement and compact are to be negotiated. The application must be complete before the tribe can be placed in the applicant pool and selected to operate as a Self-Governance Tribe.

Range of Approval/Disapproval Time:

Funding agreements are to be negotiated and submitted to Congress and neighboring Indian tribes at least 90 days before the proposed effective date of the agreement. Negotiation and approval of a new funding agreement may take up to four months.

Appeals:

Applicable appeal procedures are contained in 25 CFR Part 1000.

Renewals:

Funding agreements may be for a single or multi-year period and renewed indefinitely upon satisfactory performance by the Indian tribe/consortium. The amount of the annual funding agreement may be negotiated each year.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are provided on an annual basis and remain available until expended by the tribe/consortium. See the following for information on how assistance is awarded/released: When possible, payments are made in a lump sum following enactment of the Department's appropriations.

Reports:

The timing and nature of program accomplishment data will be negotiated with the Indian tribe/consortium. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal Financial Report is required. Annual, external reviews of the management of trust assets will be conducted.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

\$9,705 to \$27,537,488; Average \$434,655.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 1000; OMB Circular A-87, Cost Principles for State, Local, and Indian Tribal Governments.

Regional or Local Office:

See Regional Agency Offices. Office of Self Governance, Department of the Interior, Northwest Field Office, 500 West 12th Street, Suite 102, Vancouver, WA 98660. Telephone: (360) 699-1011. Use the same number for FTS or the nearest Bureau of Indian Affairs Regional Office as listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Office of Self-Governance, Office of the Deputy Assistant Secretary - Policy and Economic Development, Department of the Interior, 1951 Constitution Avenue, N.W., MS 355-G, Washington, District of Columbia 20240 Phone: (202) 219-0240.

Website Address:

<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the eligibility criteria of 25 CFR Part 1000 will be selected, up to a maximum of 50 new participants annually. An applicant must be a Federally Recognized Tribe or tribal consortium, have an authorizing tribal resolution(s) from the tribal governing body (ies), have successfully completed a planning phase and have demonstrated for the previous three years, financial stability and financial management capability. Applicants are selected in the order in which complete applications are received. For more information contact the offices listed under Information Contacts.

15.024 INDIAN SELF-DETERMINATION CONTRACT SUPPORT CONTRACT SUPPORT**FEDERAL AGENCY:**

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To provide funds to Federally Recognized Indian Tribal Governments and to tribal organizations to fund some or all of the indirect costs incurred in administering Federal programs for which direct appropriations are made to the Bureau of Indian Affairs.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used in accordance with indirect cost rate proposals which have been approved by the cognizant Federal agency or for lump sum administrative cost rates negotiated with the Bureau of Indian Affairs. For more specific information contact the headquarters office.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

Federal approval of indirect cost rate. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

Awards for new or expanded contracts will be awarded based upon the start date of the underlying program award, and the application date, on a first-come-first-served basis. Additional information concerning fiscal year 2002 awards will be published in 2002 as a Notice in the Federal Register.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of a changed indirect cost rate or as a result of pro-rata reductions due to insufficient appropriations to cover all eligible awards.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments for renewals will generally be made in two increments: 70 percent soon after the start date of the award, and the balance during the fourth quarter of the fiscal year. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

The range is \$10,000 to \$8,000,000; average \$190,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular No. A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the

Catalog.

Headquarters Office:

Deputy Director, Office of Tribal Services, Bureau of Indian Affairs, 1849 C Street, NW, MS 320 SIB, Washington, District of Columbia 20240 Phone: (202) 513-7640.

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

15.046 Administrative Cost Grants for Indian Schools

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All renewal awards will be funded; the amount of the awards is subject to the availability of appropriations; first-time awards will be funded in the order of receipt of the application up to an annual limit of \$5,000,000.

15.025 SERVICES TO INDIAN CHILDREN, ELDERLY AND FAMILIES
Social Services

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Snyder Act of 1921, 25 U.S.C. 13.

OBJECTIVES:

To provide funds to Federally Recognized Indian Tribal Governments to administer welfare assistance programs for both American Indian adults and children; to support caseworkers and counselors; and to support tribal programs to reduce the incidence of substance abuse and alcohol abuse in Indian country.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used for staff support and related costs of processing welfare applications, determining suitable placement of American Indian children in need of foster care, staffing and operational costs of emergency shelters, and similar services. Contact the headquarters office for more information.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments, adult American Indians in need of financial assistance or social services counseling, American Indian children who require foster care services, and American Indian youth requiring temporary, emergency shelter.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An informal conference with Bureau of Indian Affairs agency representatives is recommended. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the amount that has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs Tribal Priority Allocation budget formulation process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

The range is \$10,000 to \$4,800,000; average \$100,000.

TAFS Codes:

14-00-0000-0000-0000-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments;" and 25 CFR Part 20.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Chief, Division of Human Services, Bureau of Indian Affairs, 1849 C Street, NW, MS 320 SIB, Washington, District of Columbia 20240 Phone: (202) 513-7642.

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

15.113 Indian Social Services_Welfare Assistance; 15.144 Indian Child Welfare Act_Title II Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.026 INDIAN ADULT EDUCATION**FEDERAL AGENCY:**

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 25 U.S.C. 13; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended; Public Law 103-413, Title II Tribal Self-Governance Act.

OBJECTIVES:

To improve the educational opportunities for Indian adults who lack the level of literacy skills necessary for effective citizenship and productive employment and to encourage the establishment of adult education programs.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

All funds must be for direct services. Funds may not be used for administration.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and members of American Indian Tribes.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local BIA agency or area office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the amount that has been prioritized by the individual Tribe through tribal participation in the BIA's budget formulation process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis. See the following for information on how assistance is awarded/released: Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe.

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. Federal Financial reports, SF 425, are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Range is \$100 to \$297,000; Average \$35,900.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 46; 25 CFR, Part 900.

Regional or Local Office:

See Regional Agency Offices. See BIE Education Line Officers' addresses in Catalog Appendix IV of the Catalog.

Headquarters Office:

James Martin 1849 C Street, Washington, District of Columbia 20240 Email: james.martin@bie.edu Phone: (202) 208-5810

Website Address:

http://www.bie.gov

RELATED PROGRAMS:

15.027 Assistance to Tribally Controlled Community Colleges and Universities; 15.114 Indian Education_Higher Education Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected.

15.027 ASSISTANCE TO TRIBALLY CONTROLLED COMMUNITY COLLEGES AND UNIVERSITIES

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Tribally Controlled Community College Assistance Act, Public Law 95-471, 25 U.S.C. 640c - 1(c); 25 U.S.C. 1815.

OBJECTIVES:

To provide grants for the operation and improvement of Tribal Colleges and Universities (TCUs) to insure continued and expanded educational opportunities for Indian students, and to allow for the improvement and expansion of the physical resources of such institutions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must not be used in connection with religious worship or sectarian instruction. Funds may be used for the general operating costs of the Tribally Controlled Community College to defray, at the determination of the College, expenditures for academic, educational and administrative purposes and for the operation and maintenance of the College.

Applicant Eligibility:

Colleges chartered by Federally Recognized Indian Tribes or tribal organizations which are governed by a board of directors, are in operation more than one year, admit students with a certificate of graduation from a secondary institution or equivalent, provide certificates, associate, baccalaureate and graduate degrees, are nonprofit and nonsectarian, and are accredited by a nationally recognized agency or association.

Beneficiary Eligibility:

Indian students who are a member of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Written evidence of approval of the application by the governing body of one or more Indian tribes of the students served by or to be served by the college. "Tribal governing body" means the tribal governing body or tribal governing bodies that represent at least 90 percent of the students served by such school. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the Division of Post-Secondary Education. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The organization should submit written evidence of approval of the application by the governing body of one or more Indian tribes of the students served by or to be served by the college to the Director, Bureau of Indian Education.

Award Procedure:

After receiving approval of the application by the governing body or governing bodies, the Director will designate a study team to determine whether there is justification to encourage maintaining a college.

Deadlines:

Jul 01, 2011 Colleges must submit the Tribe's approval of the application by March 1 of the fiscal year preceding the year in which they wish to be eligible for funding. Evidence that all administrative systems are in place such as accounting, personnel and property must be submitted by July 1 of the preceding year in which they wish to be eligible for funding.

Range of Approval/Disapproval Time:

The study team will be designated within 30 days. Within 60 days the team must complete its eligibility study. The tribe will be notified of approval within 60 days of completion of the study.

Appeals:

A negative determination may be appealed to the Assistant Secretary - Indian Affairs. Within 30 days of receiving a notice of appeal the Assistant Secretary must conduct a hearing at which the applicant may present evidence and offer arguments in support of the appeal. Within 30 days after the hearing the Assistant Secretary must issue a written ruling on the appeal. Specifics relative to the appeals process are found in 25 CFR 41.7.

Renewals:

Colleges must file an annual application to receive continued funding.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available without fiscal year limitation until expended by the contractor/grantee. See the following for information on how assistance is awarded/released: Funds are calculated based on the recipient's Indian Student Count and are issued with a Form 21 Grant Agreement.

Reports:

OMB 1076-0105, Annual Report. Cash reports are not applicable. No progress reports are required. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

FY 2015: Range is \$273,790 to \$13,598,820; Average \$2,467,250. The amount of the award is determined by the number of eligible Indian students enrolled in the college. Indian Tribes may choose to supplement the funding provided by the grant program by identifying additional amounts in the Tribal Priority Allocations portion of the Bureau of Indian Affairs budget.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 41; 25 CFR, Part 276.

Regional or Local Office:

See Regional Agency Offices. See BIE Education Line Officers' addresses in Catalog Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza 1849 C Street N.W.
Bureau of Indian Education, MS 4657-MIB, Washington, District of Columbia 20240 Email: juanita.mendoza@bie.edu Phone: (202) 208-3559

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

15.026 Indian Adult Education; 15.114 Indian Education_Higher Education Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Applicants which the study team determines as eligible will be funded.

15.028 TRIBALLY CONTROLLED COMMUNITY COLLEGE ENDOWMENTS**FEDERAL AGENCY:**

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Tribally Controlled College Assistance Act, Public Law 95-471, 25 U.S.C. 640c - 1(c); 25 U.S.C. 1815.

OBJECTIVES:

To provide grants to establish endowments for the Tribally Controlled Community Colleges and Universities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are to be used to establish endowments. Interest earned on the invested funds may be used to defray expenditures associated with the operation of the College including maintenance, administration, academic and support costs, and community and student services programs. No part of the net earnings of the trust fund may be used to benefit any private person.

Applicant Eligibility:

Colleges chartered by Federally Recognized Indian Tribes which are governed by an Indian board of directors, are in operation more than one year, admit students with a certificate of graduation from a secondary institution or equivalent, provide certificates, associate, baccalaureate and graduate degrees, are nonprofit and nonsectarian, and are accredited by a nationally recognized agency or association.

Beneficiary Eligibility:

Indian students who are a member of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Approved status as a Tribal College or University. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Colleges must complete an Endowment Fund agreement in accordance with 25 U.S.C. 1832(b).

Award Procedure:

The headquarters office calculates the amount of the award and the grantee is notified the Division of Post-Secondary Education.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Established by the Division of Post-Secondary Education.

Appeals:

An applicant who disputes the amount of the award may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals. Full appeal procedures are found in 25 CFR Part 2.

Renewals:

Colleges must file an annual application to receive continued funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: The Colleges must match an endowment grant with a capital contribution equal to half of the amount of the Federal contribution.
Personal or real property received as a donation or gift may be applied toward the matching requirement.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis. See the following for information on how assistance is awarded/released: Funds are prorated on the number of eligible and are issued with a Form 21 Grant Agreement.

Reports:

OMB 1076-0105, Annual Report. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Range is \$0.00 to \$5,700; Average \$5,700.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 41.

Regional or Local Office:

See Regional Agency Offices. See BIA Regional Agency Offices. See BIE Education Line Officers' addresses in Catalog Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza 1849 C Street N.W.
Bureau of Indian Education, MS 4657-MIB, Washington, District of Columbia
20240 Email: juanita.mendoza@bie.edu Phone: (202) 208-3559

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility criteria are funded.

15.029 TRIBAL COURTS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To provide funds to Federally Recognized Indian Tribal Governments to operate a judicial branch of government.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used for salaries and related expenses of tribal court judges, prosecutors, defenders, clerks of court, probation officers, juvenile officers, and other court personnel. Contact the headquarters office for more information.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments exercising law enforcement jurisdiction on their reservation.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An informal conference with Bureau of Indian Affairs agency representatives is recommended. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs Tribal Priority Allocation budget formulation process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

The range is \$15,000 to \$800,000; average \$50,000.

TAFS Codes:

14-00-0000-0000-0000-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Ralph Gonzales, Office of Tribal Services, Bureau of Indian Affairs, 1849 C Street, NW., MS 320-SIB, Washington, District of Columbia 20240 Phone: (202) 513-7641.

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.030 INDIAN LAW ENFORCEMENT

Law Enforcement

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Law Enforcement Reform Act, Public Law 101-379, 25 U.S.C. 2801.

OBJECTIVES:

To provide funds to Indian Tribal Governments to operate police departments and detention facilities.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used for salaries and related expenses of criminal investigators, uniformed officers, detention officers, radio dispatchers, and administrative support. For more specific information contact the headquarters office.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments exercising Federal criminal law enforcement authority over crimes under the Major Crimes Act (18 U.S.C. 1153) and other Federal statutes on their reservations and operating a Law Enforcement Services program.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An informal conference with the Bureau of Indian Affairs field representative or district commander is recommended. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs field or district office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the amount that had been

previously prioritized by the individual Indian tribe through participation in the Bureau of Indian Affairs' budget formulation process and upon identified program needs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. See the following for information on how assistance is awarded/released: Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe.

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

The range is \$20,000 to \$20,000,000; average \$200,000.

TAFS Codes:

14-00-0000-0000-0000-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments;" 25 CFR Parts 10, 11 and 12; Indian Affairs Manual, Chapter 40, "Law Enforcement and Detention;" and Detention and Law Enforcement Handbooks.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs' law enforcement field or district office as listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Director, Law Enforcement Services, Bureau of Indian Affairs, 1849 C Street NW, MS 2429 MIB, Washington, District of Columbia 20240 Phone: (202) 208-5787.

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting the requirements of 25 CFR Part 900 who exercise criminal law enforcement authority over crimes under the Major Crimes Act (18 U.S.C. 1153) and other Federal statutes and who currently operate a Law Enforcement Services program will be selected. Additional funding provided under the Initiative will be distributed on the basis of need.

15.031 INDIAN COMMUNITY FIRE PROTECTION

(Community Fire Protection)

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To provide funds to perform fire protection services for Indian Tribal Governments that do not receive fire protection support from State or local government.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used to support staff, train volunteer fire-fighters, repair existing fire-fighting equipment, and purchase additional equipment. Funds may also be used to purchase smoke detectors, fire extinguishers, fire escapes, and emergency lights for public building.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments performing fire protection services on their reservation.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

An initial application must be accompanied by a copy of the authorizing resolution from the Federally Recognized Indian Tribal Government to be served. If a currently effective authorizing resolution covering the scope of an initial contract proposal has already been provided, a reference to that resolution. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications

must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the Indian tribe through participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-1-1-999.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

\$200 to \$138,000; \$10,000.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Agency Offices. Applications should be filed with the local Bureau of Indian Affairs' agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Office of Tribal Service, Bureau of Indian Affairs, 1849 C Street, NW, MS 320 SIB, Washington, District of Columbia 20240 Phone: (202) 513-7640.

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.032 INDIAN ECONOMIC DEVELOPMENT

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Reorganization Act of 1934, Section 10, Public Law 73-383, 48 Stat. 986, 25 U.S.C. 470; Public Law 93-262, 88 Stat. 77 through 83, 25 U.S.C. 1451; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.

OBJECTIVES:

To assist Federally Recognized Indian Tribal Governments by providing the resources necessary to develop a self-sustaining economic base. The program provides opportunities for business development, the coordination and integration of programs through out the Federal government, and the partnering of Federally Recognized Indian Tribal Governments with local government and the public and private business sector.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds are used to administer tribal revolving loan programs and guaranty loan programs in order to develop resources to improve access to capital in tribal economies. Final approval of loan guarantees is restricted to the Bureau of Indian Affairs. Funds can also be used by Federally Recognized Indian tribal Governments to develop essential tribal ordinances and commercial business codes which provide the necessary separation and exercise of sovereign immunity between business activities and tribal regulatory activities to promote a pro-business environment.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members.

Credentials/Documentation:

Initial application for financial assistance must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications to administer the program must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog. In some instances, the application will be forwarded to the Regional Director for approval.

Award Procedure:

In most cases, the application to administer the program can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

A Federally Recognized Tribal Government or Native American Organization whose request to administer the program is denied may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Renewals may be granted indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Some awards under this program may be one-time grants for a specific purpose and may not be subject to renewal.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the contractor/grantee. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. Federally Recognized Indian Tribal Governments and Native American Organizations administering the program must submit the SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

\$5,000 to \$300,000; \$215,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Mike Lugar, Policy and Economic Development, Office of the Assistant Secretary - Indian Affairs, 1849 C Street, N.W., MS-4071 MIB, Washington, District of Columbia 20240 Phone: (202) 219-0005.

Website Address:

No Data Available

RELATED PROGRAMS:

11.300 Investments for Public Works and Economic Development Facilities; 15.124 Indian Loans_Economic Development

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals to administer an economic development program are evaluated on the capability of the prospective applicant to successfully conduct the program and on budget and its effective use. All applicants meeting the criteria in 25 CFR Part 900 will be funded.

15.033 ROAD MAINTENANCE INDIAN ROADS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Federal Highway Act of 1921, as amended, Public Law 70-520, 45 Stat. 750, 25 U.S.C. 318(a); Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; 23 U.S.C. Chapter 2, as amended; and 25 CFR 170, Subpart G, BIA Road Maintenance.

OBJECTIVES:

To provide limited routine and preventive maintenance on BIA transportation facilities as described below: (1) BIA road systems and related road appurtenances such as signs, traffic signals, pavement striping, trail markers, guardrails, etc.; (2) Highway bridges and drainage structures; (3) Airport runways and heliport pads, including runway lighting; (4) Boardwalks; (5) Adjacent parking areas; (6) Maintenance yards; (7) Bus stations; (8) System public pedestrian walkways, paths, bike and other trails; (9) Motorized vehicle trails; (10) Public access roads to heliports and airports; (11) BIA and tribal post-secondary school roads and parking lots built with IRR Program funds; and (12) Public ferry boats and boat ramps.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

These funds are used to repair and maintain BIA transportation facilities serving Indian reservations, with particular emphasis on school bus routes and arterial highways; for snow removal, ice control, washout repair, and landslide removal; for replacement, rehabilitation, or maintenance of the 900 bridges on

Indian reservation roads; for operation and maintenance of a ferry across Lake Roosevelt serving the Colville Indian Reservation; and for the maintenance of public airstrips located on Indian reservations. Access to these funds is restricted to tribes with qualifying BIA road miles or other facilities required to be maintained by this program.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments with BIA transportation facilities qualifying for this program.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; individual tribal members and the public that use the BIA transportation system on Indian Reservation and lands.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Tribes with BIA transportation facilities must submit a needs request to receive consideration for funding to maintain roads or facilities that are eligible under this program. An informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. All applicable laws and regulations associated with this program must be complied with. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the inventory of BIA roads, BIA bridges and other BIA transportation facilities and the amounts appropriated annually to the Bureau of Indian Affairs, U.S. Department of the Interior.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee. See the following for information on how assistance is awarded/released: Not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 170, Indian Reservation Roads Program; 25 CFR 900, Contracts Under the Indian Self-Determination and Education Assistance Act; and 25 CFR 1000, Annual Funding Agreements Under the Tribal Self-Government Act Amendments to the Indian Self-Determination and Education Act.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

LeRoy Gishi, Office of Indian Services, Division of Transportation, Bureau of Indian Affairs, 1849 C Street NW, MS-4532 MIB, Washington, District of Columbia 20240 Phone: (202) 513-7714.

Website Address:

<http://www.bia.gov/WhoWeAre/BIA/OIS/Transportation>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the criteria in 25 CFR Part 900 will be funded.

15.034 AGRICULTURE ON INDIAN LANDS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; American Indian Agriculture Resource Management Act, Public Law 103-177, 107 Stat. 2011, 25 U.S.C. 3701.

OBJECTIVES:

To protect and restore the agricultural (cropland and rangeland) resources on trust lands and facilitate the development of renewable agricultural resources.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DIRECT PAYMENTS FOR A SPECIFIED USE; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Funds are used to support inventory, analysis, management, and improvement of Indian agricultural lands; to administer authorized use of Indian agricultural lands; and to support efforts to control noxious weeds and other invasive species on Indian lands.

Applicant Eligibility:

Native American individuals, tribal governments and native organizations authorized by tribal governments, and individuals and entities authorized to make use of Indian agricultural lands and resources.

Beneficiary Eligibility:

Native American landowners, Indian tribes and their members, native organizations authorized by tribal governments, and individuals and entities authorized to make use of Indian agricultural lands and resources. Agricultural grant programs (Rangeland Inventory and Noxious Weed Control) are not currently available to individuals or to non-tribal land-user entities.

Credentials/Documentation:

Must have a landownership, tribal government, or authorized-user interest in the Indian agricultural land or resource that is the basis of assistance request. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For Public Law 93-638 requests, awards of noxious weed control funding are made by the Central Office of the BIA. Individual advisory services and counseling, and provision of specialized services are handled at the Agency, Region, or Central Office level as appropriate.

Award Procedure:

In most cases, the award can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval. The dollar value of Agriculture awards depends upon the amount that has been prioritized by the individual tribe through tribal participation in the BIA's budget formulation process (TPA).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For Public Law 93-638 requests applications will be processed within 90 days.

Appeals:

For Public Law 93-638 requests an unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

For Public Law 93-638 requests agriculture awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Noxious Weed Eradication assistance is awarded for projects on a year-by-year basis and is not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50%. Noxious Weed Eradication requires a minimum 50 percent cost-share agreement for the control projects. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For Public Law 93-638 requests noxious weed control funding is awarded annually and must be obligated by the second year. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Reports, SF 425 are required. The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Agriculture: \$200 to \$575,000; \$50,000. Noxious Weed Eradication: \$500 to \$300,000; \$6,200.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Parts 161, 162, 166, 167, 168, and 900.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

David Edington, Office of Trust Responsibilities, Division of Water and Land Resources, Branch of Agriculture and Range, Bureau of Indian Affairs, 1849 C Street NW, MS 4513 MIB, Washington, District of Columbia 20240 Phone: (202) 513-0866.

Website Address:

No Data Available

RELATED PROGRAMS:

15.033 Road Maintenance_Indian Roads

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

For contracts under the Indian Self-Determination and Education Assistance Act: All applicants for assistance meeting the criteria in 25 CFR Part 900 will be funded. Noxious Weed Eradication: Project proposals are ranked according to land use and indicators of cooperators support, pest management integration, degree of establishment of targeted weed, and support of noxious weed control.

15.035 FORESTRY ON INDIAN LANDS**FEDERAL AGENCY:**

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Forest Resources Management Act of 1990, 36 Stat. 857, 25 U.S.C. 406 and 407; Act of June 25, 1910, Public Law 61-313; 62 Stat. 787 and 788, 18 U.S.C. 1853, 1855, and 1856; Rumsey Indian Rancheria Act, Sections 304-320, 104 Stat. 4531.

OBJECTIVES:

To maintain, protect, enhance, and develop Indian forest resources through the execution of forest management activities.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DIRECT PAYMENTS FOR A SPECIFIED USE; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Funds are used to support activities of reforestation and commercial forest stand improvement; timber sales management; forest inventories and plans; forest program management and administration; and forest protection activities including Wild land Fire Management, Forest Inventory, Management Planning and Forest Development funds are awarded competitively.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members and Native American Organizations.

Credentials/Documentation:

Initial application for financial assistance (Public Law 93-638) must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications for financial assistance must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local BIA agency office listed in Appendix IV of the Catalog. Requests for technical services can be made through the local BIA agency office.

Award Procedure:

In most cases, the award can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval. The dollar value of Forestry awards depends upon the amount that has been prioritized by the individual tribe through tribal participation in the BIA's budget formulation process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Forestry. Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Forestry Management Inventories, Planning and Forest Development funds are awarded for projects

on a year-by-year basis and are not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee. See the following for information on how assistance is awarded/released: Information not available.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Range is \$10,000 to \$1,000,000: Average \$100,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; 25 CFR 163 -- General Forestry Regulations.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Bill Downes, Office of Trust Services, Division of Forestry and Wildland Fire Management, Bureau of Indian Affairs, 1849 C Street N.W., MS-4513 MIB, Washington, District of Columbia 20240 Email: bill_downes@bia.gov Phone: (202) 208-4620.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Forestry: All applicants meeting the criteria in 25 CFR Part 900 will be funded. Forestry Management and Development: Criteria include need, benefit/cost analysis, capability of the applicant to successfully conduct the project, and budget and its effective use. Awards are based on the commercial timber base, the annual forest development inventory, and on periodic priority needs as determined by Regional Offices.

15.036 INDIAN RIGHTS PROTECTION

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Public Law 97-394, 96 Stat. 1976, 28 U.S.C. 2415; Indian Claims Limitation Act of 1982; Public Law 98-250; Public Law 96-487, 94 Stat. 2371, 16 U.S.C. 3101 et seq.; Alaska National Interest Lands Conservation Act; Public Law 92-203, 106 Stat 2112-2125, 43 U.S.C. 1601; Alaska Native Claims Settlement Act; Public Law 103-399, 108 Stat. 4164; Indian Lands Open Dump Cleanup Act of 1994; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.

OBJECTIVES:

To protect Indian rights guaranteed through treaty or statute by obtaining the services or information needed to litigate challenges to these rights.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Awards fund projects to collect information needed by the Federal government to litigate challenges to Indian rights which have been guaranteed through treaty or statute. For more specific information contact the headquarters office.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C. "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the amount that has been prioritized by the individual Tribe through tribal participation in the BIA's budget formulation process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor or grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee. See the following for information on how assistance is awarded/released: Information not available.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor or grantee. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Range is \$1,000 to \$100,000; Average \$25,000.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 900.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Vicky Forrest, Department of the Interior, Bureau of Indian Affairs, Office of Trust Responsibilities, 1849 C Street, NW, MS-4510 MIB, Washington, District of Columbia 20240 Phone: (202) 208-5831.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the criteria in 25 CFR Part 900 will be funded.

15.037 WATER RESOURCES ON INDIAN LANDS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, 25 U.S.C. 13, Public Law 67-85, 42 Stat. 208.

OBJECTIVES:

To support Indian tribes in the effective and efficient management, planning, and use of their water resources.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DIRECT PAYMENTS FOR A SPECIFIED USE; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Funds are used by tribes to conduct water management and planning project and activities for the purpose of managing and conserving their water resources and to participate in the on-going water rights negotiation and litigation activities to protect and secure their lawful water rights. All awards are project/proposal specific and are the result of a nationwide competitive process. Contact the headquarters office for more information.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members and Native American Organizations.

Credentials/Documentation:

Initial application for financial assistance must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Bureau's application and prioritization procedure for water programs funding has been published as a Notice in the Federal Register, Vol. 70, No. 201, Wednesday, October 19, 2005 ("Notice of Revised Instructions for Preparing and Prioritizing Water Program Funding Requests"). Completed applications should be submitted by the established deadline to the local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

Awards are made by the Deputy Bureau Director, Office of Trust Services, Central Office, based on the prioritization of all applications received through a thorough evaluation and scoring by a six-person review team. Awards are usually made shortly after the review team has completed its reviews of all proposals received in Central Office by the due date of September 15th. Any awards are subject to the availability of the congressional appropriations for the new fiscal year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

There are no set times for approval or disapproval.

Appeals:

No appeal process. The Water Programs funds are annual, non-recurring congressional appropriations and any awards made on the basis of the published prioritization process and the availability of funds.

Renewals:

Funds are appropriated for use in non-recurring projects/activities on a year-to-year basis and are not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Applications are evaluated and scored based on weighted criteria that have a maximum scoring range of 100 points (congressional and court mandates automatically score 100). No matching requirement, however, applications with available matching funds may score higher.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor or grantee. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Water program funds awarded to tribes through Public Law 93-638, as amended, are subject to the requirements of the Act and 25 CFR Part 900. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

The range is \$10,000 to \$200,000.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notice of Revised Instructions for Preparing and Prioritizing Water Program Funding Requests, Federal Register, Vol. 70, No. 201, Wednesday, October 19, 2005.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Division Chief, Bureau of Indian Affairs, Division of Natural Resources, Office

of Trust Services, 1849 C Street, NW, MS 4650 MIB, Washington, District of Columbia 20240 Phone: (202) 208-3956.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Water Management, Planning, and Pre-development proposals are evaluated according to the type of proposal (as published in the Federal Register Notice, Vol. 70, No. 201, October 19, 2005): Water Management - Indian Water and Associated Resources; Water Management - Coordination/Cooperation/Consultation/Conservation; Water Management - Other; Water Planning and Pre-development - Indian Water; and Water Planning and Pre-development - Other: In addition, all proposals are evaluated on the basis of: Cost Effectiveness, Compliance with Requesting Guidelines; and Efficiency and Accomplishment. Projects are funded based on rankings of the projects Bureau wide, and in accordance with the national program priorities established for the program. Some preference is given to proposals that offer matching funds. Proposals are evaluated on the capability of the prospective applicant to successfully conduct the project, and on the budget and its effective use.

15.038 MINERALS AND MINING ON INDIAN LANDS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Minerals Development Act, Public Law 97-382, 98 Stat. 1938, 25 U.S.C. 2101 et seq.; Umatilla Basin Project Act, Public Law 101-557, 16 U.S.C. 1271 et seq.

OBJECTIVES:

The objectives of the Energy and Mineral Development Program are to: (1) provide funds to Tribes to perform technical evaluations of the energy (both renewable and conventional) and mineral resource potential of Indian reservations; (2) provide Tribes with geological, geophysical and engineering reports, maps, and other data concerning their energy and mineral resources; (3) provide Tribes technical assistance on using and interpreting assessment information so that Tribes can understand and plan for the potential development of these resources; and (4) provide Tribes with an outreach vehicle to promote their lands and resources to potential partners if they so desire. Those projects that fell into economic development categories will be considered for funding through the Office of Indian Energy and Economic Development.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; DISSEMINATION OF TECHNICAL INFORMATION; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Minerals and Mining: Funding may be used to facilitate the inventory, assessment, promotion and marketing of both renewable and nonrenewable energy and mineral resources on Indian lands. Energy and Mineral Assessments: Funds are awarded competitively to support assessment and inventory programs and/or develop baseline data, but cannot be used for development purposes.

Applicant Eligibility:

Federally Recognized Indian Tribes and Individual American Indian mineral owners.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members, Native American Organizations, and/or individual American Indian mineral property

owners.

Credentials/Documentation:

Minerals and Mining: Initial application for assistance must be accompanied by a resolution of the governing body of the Indian tribe. Mineral Assessments Proposals must include: (1) a current tribal resolution authorizing the proposed project; (2) a proposal describing the proposed activities and the planned deliverable products; (3) a detailed budget estimate; and 4) a review by the BIA Agency Superintendent verifying that the work to be performed occurs on trust or restricted fee lands (the Superintendent will notify DEMD in writing if that is not case). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications for financial assistance must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications for Minerals and Mining should be submitted to the Division of Energy and Mineral Development office in Lakewood, Colorado and to the local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

Mineral and Mining/Mineral Assessments. Proposals are paneled by the Office of Indian Energy and Economic Development. Funds are awarded according to the order of ranking of the proposals, although some elements of the budget may not be fully funded due to the Division's own ability to perform some tasks at lower costs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Mineral Assessments within 30 days after the solicitation period.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Minerals and Mining/Mining Assessment: A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee although OIED established deadlines for completion of project, which may be extended with prior written approval. See the following for information on how assistance is awarded/released: Payments may be made in advance or by way of reimbursement. The timing of payments is negotiated with the grantee.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal Financial Report is required. Technical reports describing project outcomes are defined as deliverables in an Energy and Mineral Development award and the data generated must be presented in a compatible computer format as specified by the Division of Energy and Mineral Development.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved. For Mineral Assessment awards, one paper copy of all data (field data, processed data, analyses, assays, etc.) must be submitted at completion of contract.

Account Identification:

14-2100-0-1-302.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Minerals and Mining: Currently not contracted by any of the tribal governments. Mineral Assessments range is \$10,000 to \$250,000; average \$75,000.

TAFS Codes:

14-00-0000-0000-0000-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 900 and Subchapter I - Energy and Minerals.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Robert Anderson Indian Affairs, Division of Energy and Mineral Development, 12136 W. Bayaud Ave., Suite 300, Lakewood, Colorado 80228 Phone: (720) 407-0602

Website Address:

<http://www.doi.gov/WhoWeAre/AS-IA/IEED>

RELATED PROGRAMS:

15.222 Cooperative Inspection Agreements with States and Tribes; 15.252 Abandoned Mine Land Reclamation (AMLR) Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Energy and Mineral Assessments: Proposals are evaluated according to technical merits of the mandatory elements of the proposal. Additionally, selection criteria for ranking contain six criteria: (1) Resource Potential; (2) Commodity Marketability; (3) Economic Benefit, (4) Willingness to Develop and Commitment to the Project; (5) Budget Completeness, Cost Reasonableness, Cost Realism, and Detail, and (6) Adequacy of the Technical Proposal and Statement of Work.

15.040 REAL ESTATE PROGRAMS INDIAN LANDS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; 60 Stat. 1097, 25 U.S.C. 176; Indian Land Consolidation Act; Act of March 3, 1901; Act of March 3, 1921;

Reorganization Plan No. 3, 1946; Public Law 56-382, 31 Stat. 1084, 25 U.S.C. 311; Public Law 66-359, 41 Stat. 1232, 25 U.S.C. 393; Public Law 97-459, 96 Stat. 2515, 25 U.S.C. 2201 et seq.; Public Law 98-608, 98 Stat. 3171; Public Law 102-238.

OBJECTIVES:

To provide real property management, counseling, and land use planning services to individual Indian allottees and Indian tribal and Alaska Native entities who own an interest in almost 56 million acres of trust land; to provide real estate appraisal services required in processing land transactions, and to protect and enhance the Indian leasehold estate by providing individual Indian landowners and Indian tribes with lease compliance activities.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Real Estate Services: decision-making processes are developed through cooperative efforts with the Indian landowners for the proper utilization, development, and enhancement of Indian trust lands. Real Estate Appraisals are one element in trust land transactions and are restricted to American Indians who have an ownership interest in property held in trust by the Federal government. Lease Compliance: program resources are used to ensure that leases on trust and restricted Indian lands and their assignees comply with the terms of the leases. For more information contact the headquarters office.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments, Native American Organizations authorized by tribes, and individual American Indians.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. For real estate appraisals, documented ownership interest in property held in trust is required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications for real estate services and lease compliance must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog.

Award Procedure:

For Real Estate Services and Real Estate Appraisals, the dollar value of the awards depends upon the amount that has been prioritized by the individual Tribe through tribal participation in the BIA's budget formulation process. For Lease Compliance, funding is distributed to the Regional offices on the basis of number of existing leases and the number of inspections planned for the year. For Lease Compliance awards, in some cases, the award can be approved at the agency level. In most instances, the application will be forwarded to the Regional Director for approval.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Awards for Real Estate Services and Lease Compliance may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process. Awards for Real Estate Appraisals are a single-action item completed for a specific property and are not subject to renewal.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. See the following for information on how assistance is awarded/released: Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

For Real Estate Services, tribal award amounts vary from approximately \$1,000 to \$500,000. For Real Estate Appraisals, the cost of most appraisals is less than \$500 but can range up to approximately \$2,500 or more for large or complex properties; the average is \$1000. Lease Compliance awards range from \$250 to \$30,000 with most awards less than \$5,000.

TAFS Codes:

14-00-0000-0000-0000-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 162; 30 CFR; 43 CFR; Uniform Standard of Professional Appraisal Practices as promulgated by the Appraisal Standards Board of the Appraisal Foundation.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Vicki Forrest, Office of Trust Responsibilities, Division of Real Estate Services, Bureau of Indian Affairs, 1849 C Street NW, MS 4510 MIB, Washington, District of Columbia 20240 Phone: (202) 208-7737.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Funding levels for Real Estate Services and Real Estate Appraisals are determined by the individual Tribe through participation in the BIA's budget formulation process. All applicants meeting the criteria in 25 CFR Part 900 will be funded. Appraisals are conducted for all transactions involving trust property. The time required to complete an appraisal depends on the number of requests being processed by a specific office and the resources available to meet the requests. Lease Compliance funds are distributed to the Regional offices based on three factors: (1) total number of leases, (2) total number of acres, and (3) total amount of income derived from leases. Awards for lease compliance are determined by the number of qualifying leases reported to the Agency and Regional Offices. Minimum funding of \$50,000 is allocated to each Regional office. In allocating program resources, priority is given to activities involving agriculture, oil and gas, mining, industrial, business, recreational, and other income-producing leases.

15.041 ENVIRONMENTAL MANAGEMENT INDIAN

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Public Law 97-394, 96 Stat. 1976, 28 U.S.C. 2415; Indian Claims Limitation Act of 1982; Public Law 98-250; Public Law 96-487, 94 Stat. 2371, 16 U.S.C. 3101; Alaska National Interest Lands Conservation Act; Public Law 92-203, 106 Stat. 2112-2125, 43 U.S.C. 1601; Alaska Native Claims Settlement Act; 42 U.S.C. 4321, et seq.; National Environmental Policy Act; Solid Waste Disposal Act, 42 U.S.C. 6901-6992k; Resource Conservation and Recovery Act, Public Law 94-580; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, 42 U.S.C. 9601- 9675; 16 U.S.C. 470; National Historic Preservation Act.

OBJECTIVES:

To determine environmental impacts of Federal projects on Indian lands; to conduct surveys of Bureau of Indian Affairs controlled Federal lands and facilities, and of Indian lands, in order to identify hazardous waste sites, evaluate the potential threat to health and the environment, and develop the necessary remedial actions; to train area, agency and tribal staff in waste management principles; and to respond to emergencies and alleviate adverse health or environmental impacts.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Use of these funds are two-fold. One, they facilitate the Bureau's fulfilling statutory responsibilities: they are used to prepare Environmental Assessments (EA's); advise agency superintendents on environmental quality, waste disposal and cultural resource management; assist area offices in gathering information for EA's and Environmental Impact Statements (EIS's); review proposed actions to determine compliance with the National Environmental Policy Act (NEPA); and assist area offices in obtaining information for compliance with the National Historic Preservation Act and the Archeological Resources Protection Act. Two, they are used for individual tribal projects that enhance or protect the local environment. For more information contact the headquarters office.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by the Tribes.

Beneficiary Eligibility:

Federally Recognized Indian tribes.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Informal prep-application conference is recommended. Technical assistance in preparing the application is available upon request. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

The award is approved by the Director, Office of Facilities, Environmental and Cultural Resources, Indian Affairs.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

For continuing, funded projects, awards may be renewed upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. See the following for information on how assistance is awarded/released: Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor or grantee. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Reports, SF 425, are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For awards made under this program, grantees/contractors are responsible for obtaining audits.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Range is \$5,000 to \$250,000; Average \$25,000.

TAFS Codes:

14-00-0000-0000-0000-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

36 CFR 800 and 40 CFR 1500-1508.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Judy Wilson, Division of Environmental and Cultural Resources Management, Indian Affairs, 2051 Mercator Drive, Reston, Virginia 20191 Phone: (703) 390-6524, Fax: (703) 390-6325.

Website Address:

<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must provide the Bureau of Indian Affairs or the tribes with assistance on a current compliance issue; work must be consistent with conservation of trust resources; and applicant must have the experience and qualifications necessary to complete the technical aspects of the work.

15.042 INDIAN SCHOOL EQUALIZATION

(ISEP)

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.

OBJECTIVES:

To provide funding for primary and secondary education.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used for the education of Indian children in Bureau of Indian Education (BIE) funded schools. Funds may not be used for construction.

Applicant Eligibility:

Federally Recognized Indian Tribes or tribal organizations currently served by a Bureau of Indian Education funded school.

Beneficiary Eligibility:

Children between the ages of 5 and 21 who are a member of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Written evidence of approval of the application by the tribal governing body of the students served by or to be served by the school. "Tribal governing body" means the tribal governing body or tribal governing bodies that represent at least 90 percent of the students served by such school. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the BIE Education Line Officer who will provide technical assistance. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To become a contract school, applications should be developed in accordance with 25 CFR Part 900. To become a grant school, applications should be developed in accordance with 25 USC 2501 et. seq.

Award Procedure:

The BIE Education Line Officer will ensure the application is complete and forward the application to the Director, Bureau of Indian Education, with a recommendation for approval or disapproval.

Deadlines:

Jul 01, 2015 Schools must submit their applications and a copy of the tribe's approval of the application by March 1. Evidence that all administrative systems are in place such as accounting, personnel and property must be submitted by July 1.

Range of Approval/Disapproval Time:

Applications for contract schools will be processed within 90 days; applications for grant schools will be processed within 180 days after the date the application is submitted to the Education line Officer.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Awards are made annually and are renewed automatically based on satisfactory performance by the grantee.

Formula and Matching Requirements:

Statutory Formula: Title 25, Chapter 39. Approximately ninety-nine percent of the ISEP funds will be allocated in accordance with the ISEP formula contained in 25 CFR 39. One percent of the funds shall be reserved for the Director's discretion.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds may be paid in advance, are awarded annually on an indefinite basis based on performance, and remain with the Self Determination contractor or grantee until spent. Funds are added to the recipient school's Public Law 100-297 Grant or Public Law 93-638 Contract on July 1 and December 1. Method of awarding/releasing assistance: lump sum.

Reports:

Annual Narrative Report and a program evaluation by an impartial third party such as an accrediting agency. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of

the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable - FY 16 est. \$391,857,000/\$240,908,000.

Range and Average of Financial Assistance:

The FY 2014 range was \$161,000 to \$8,930,300. The average was \$2,007,600 per grant school.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 39; 25 CFR 45; 25 CFR 900.

Regional or Local Office:

See Regional Agency Offices. See BIE Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Joe Herrin Headquarters Office: Office of Indian Education Programs, Bureau of Indian Affairs, MS-4657 MIB, 1849 C Street, N.W., Washington, District of Columbia 20240 Email: joe.herrin@bie.edu Phone: (202) 208-7658

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

15.043 Indian Child and Family Education; 15.044 Indian Schools_Student Transportation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility requirements are funded.

15.043 INDIAN CHILD AND FAMILY EDUCATION

(FACE)

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.

OBJECTIVES:

The Family And Child Education (FACE) program is designed to serve families with children from prenatal to age 5 in home and center-based settings.

Families may receive services in one or both settings. FACE provides early childhood for all children from birth - to age five and adult education for their parents through family literacy, parental involvement, increasing school readiness, high school graduation rates among Indian parents, and encouraging life-long learning.

TYPES OF ASSISTANCE:

PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

Funds must be used for early childhood education, adult education and parenting skills. Funds may not be used for administration or construction.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian tribal governments on reservations with Bureau of Indian Education funded elementary schools may apply to administer the program.

Beneficiary Eligibility:

Parents and their Indian children under 5 years of age who live on a reservation with a Bureau-funded school.

Credentials/Documentation:

The initial application must include the SF 424 and SF 424B, and may be accompanied by a resolution of the tribal governing body of the Tribe of the children served by or to be served by the organization. No documentation is required of the parents or children. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Tribe should contact the BIE Education Line Officer.

Award Procedure:

Once an application is received, the Coordinator of the FACE program appoints a panel of readers from such organizations as the National Center for Family Literacy, the Parents as Teachers, Research Training Associates and BIE staff who are familiar with early childhood development. The panel reads, rates and ranks the applications. The coordinator then recommends to the Director, Office of Indian Education, those applications for approval.

Deadlines:

Jun 01, 2011 Applications should be submitted no later than June 1 of the year in which the applicant wishes to begin the program.

Range of Approval/Disapproval Time:

Applications by Tribal governments to administer the program will be processed within 90 days.

Appeals:

Tribal Governments wishing to appeal should write to the Director, Bureau of Indian Education. Appeals beyond this level are covered by 25 CFR Part 2.

Renewals:

Awards to Tribal Governments to administer the program may be renewed every 3 years based upon satisfactory performance. A notice of intent to renew should be submitted yearly and a full application must be submitted every 3 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis based on satisfactory performance, and the funds remain available until expended by the contractor or grantee. See the following for information on how assistance is awarded/released: Funds are added to the recipient school's Public Law 100-297 Grant or Public Law 93-638 Contract on July 1.

Reports:

Monthly progress reports, annual participation data, screening, work sampling, and other program data requested is required. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable - (Appropriations/Project Grants) FY 16 est. \$15,520,000/\$8,177,500.

Range and Average of Financial Assistance:

Each site receives approximately \$289,910.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

A copy of the guidelines may be obtained by contacting the Education Line Officers.

Regional or Local Office:

See Regional Agency Offices. See the BIE Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Jeffrey Hamley Bureau of Indian Education, Division of Performance and Accountability, BIA Building 2, 1011 Indian School Road, N.W., 3rd Floor, Suite 332, Albuquerque, New Mexico 87104 Email: Jeffrey.Hamley@bie.edu Phone: (505) 563-5260

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on the review of the team appointed by the Coordinator. Final determination made by the Director, BIE, based on the Coordinator's recommendation.

15.044 INDIAN SCHOOLS STUDENT TRANSPORTATION

(Student Transportation)

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.

OBJECTIVES:

To provide funds to each Bureau of Indian Education (BIE) funded school for the round trip transportation of students between home and the school site.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds are to only be used for the transportation of students who are eligible

under the Indian School Equalization Program (ISEP) as provided for under 25 CFR 39 and are enrolled in a BIE-funded school or dormitory.

Applicant Eligibility:

Federally Recognized Indian Tribes or tribal organizations currently served by a BIE-funded school.

Beneficiary Eligibility:

Children between the ages of 5 and 21 who are members of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Written evidence of approval of the application by the tribal governing body of the students served by or to be served by the school. "Tribal governing body" means the tribal governing body or tribal governing bodies that represent at least 90 percent of the students served by such school. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the Education Line Officer who will provide technical assistance. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To become a contract school, applications should be developed in accordance with 25 CFR Part 900. To become a grant school, applications should be developed in accordance with 25 USC 2501 et seq.

Award Procedure:

The Education Line Officer will ensure the application is complete and forward the application to the Director, Bureau of Indian Education, with a recommendation for approval/disapproval.

Deadlines:

Jul 01, 2013 Schools must submit their applications and a copy of the tribe's approval of the application by March 1. Evidence that all administrative systems are in place such as accounting, personnel and property must be submitted by July 1.

Range of Approval/Disapproval Time:

Applications for contract schools will be processed within 90 days; applications for grant schools will be processed within 180 days after the date the application is submitted to the BIE Education Line Officer.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards are made annually and are renewed automatically based on satisfactory performance by the grantee.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Funds are allocated in accordance with 25 CFR 39 Subpart G. The Director, Bureau of Indian Education will review transportation allotment factors each year and make changes in factors based on changes in transportation costs.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Funds are added to the recipient school's Public Law 100-297 Grant or Public Law 93-638 Contract on July 1 and December 1. See the following for information on how assistance is awarded/released: Payments are made in advance or by way of reimbursement.

Reports:

A narrative report and a program evaluation by an impartial third party such as an accrediting agency. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Range is \$2,870 to \$1,630,820; Average \$328,061.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 39; 25 CFR 45; 25 CFR 900.

Regional or Local Office:

See Regional Agency Offices. See Education Line Officers' addresses in Catalog Appendix IV.

Headquarters Office:

Joe Herrin 1849 C Street, N.W.
Bureau of Indian Education, MS 4657 MIB, Washington, District of Columbia 20240 Email: joe.herrin@bie.edu Phone: (202)208-7658

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

15.042 Indian School Equalization Program; 15.043 Indian Child and Family Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility criteria are funded.

**15.046 ADMINISTRATIVE COST GRANTS FOR INDIAN SCHOOLS
FEDERAL AGENCY:**

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.

OBJECTIVES:

To provide grants to tribes and tribal organizations operating schools for the

purpose of paying administrative and indirect costs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for program services related to administrative overhead, operations necessary to meet requirements of the law, prudent management practices, and other necessary support functions.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments or Tribal Organizations operating a Bureau of Indian Education funded school.

Beneficiary Eligibility:

Indian Tribal Governments or tribal organizations operating a Bureau funded elementary or secondary school under a Public Law 100.297 grant or Public Law 93-638 Self-determination Contract with the Bureau of Indian Education.

Credentials/Documentation:

Approved status as a Public Law 100-297 Grant or a Public Law 93-638 Self Determination Contract School. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No separate application is required. The additional allocation will be calculated by the Bureau of Indian Education and added to the underlying award.

Award Procedure:

The headquarters office calculates the amount of the award and the grantee is notified by the BIE Education Line Officer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

An applicant who disputes the amount of the award may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards are renewed automatically based on satisfactory performance by the grantee.

Formula and Matching Requirements:

Statutory Formula: Public Law 25 U.S.C. 2008(a).

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made available on July 1 and December 1 each year and remain available without fiscal year limitation until expended by the contractor/grantee. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Narrative Report and a program evaluation by an impartial third party such as an accrediting agency. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Range is \$127,600 to \$1,166,200; Average \$405,967.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 39; 25 CFR 45; 25 CFR 900.

Regional or Local Office:

See Regional Agency Offices. See BIE Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Joe Herrin Headquarters Office: Office of Indian Education Programs, Bureau of Indian Affairs, MS-3609 MIB, 1849 C Street, N.W., Washington, District of Columbia 20240 Email: joe.herrin@bie.edu Phone: (202)208-7658

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

15.042 Indian School Equalization Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility criteria are funded.

15.047 INDIAN EDUCATION FACILITIES, OPERATIONS, AND MAINTENANCE

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq., Public Law 103-413, Title II Tribal Self-Governance Act, Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001; Tribally Controlled Schools Act, Public Law 100-297, and 25 U.S.C. 2501.

OBJECTIVES:

To provide funds to BIE funded elementary schools, secondary schools and peripheral dormitories for facilities operations and maintenance.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used for salaries and related expenses of maintenance personnel, utilities, maintenance of school grounds, supplies, equipment, materials, and for minor repairs to facilities.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments or tribal organizations currently served by a Bureau of Indian Education (BIE) funded elementary school, secondary school or peripheral dormitory.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and occupants and visitors of BIE funded elementary or secondary schools or peripheral dormitories.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. If the school serves students from multiple tribes, resolutions from tribal governing bodies representing at least 90 percent of the students are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications for status as a contract school must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Initial applications for status as a grant school should be developed in accordance with 25 U.S.C. 2501 et seq.

Award Procedure:

Applications should be submitted to the BIE Education Line Officer (ELO) who will ensure that the application is complete. The application is forwarded by the ELO to the Director, Office of Indian Education Programs, with a recommendation for approval or disapproval. The dollar value of the awards is based upon school age and condition, size, utilities costs, and other pertinent factors.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 to 180 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee and continued use of the facilities. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted based on appropriations and need.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program accomplishment reporting requirements will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable - Direct Payments for Specified Use - Appropriation/Grant) FY 16 est. \$124,985,000/\$74,991,000
FY 2014 Operations \$55,668,000/32,856,345 Maintenance \$48,396,000/26,272,194; FY 2015 est. Operations \$55,865,000/33,591,000; Maintenance \$48,591,000/29,754,000; FY 2016 est. Operations \$66,098,000/\$39,659,000; Maintenance \$58,887,000/\$35,332,000.

Range and Average of Financial Assistance:

Range and Average of Financial Assistance (123): FY 2014 Range was \$58,374 to \$2,385,121; Average \$533,888. Federally Recognized Indian Tribal Governments or tribal organizations currently served by a Bureau of Indian Education (BIE) funded elementary school, secondary school or peripheral dormitory.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local BIE Education Line Office as listed in Appendix IV of the Catalog.

Headquarters Office:

Joe Herrin 1849 C Street, NW, MS-4657 MIB, Washington, District of Columbia 20240 Email: joe.herrin@bie.gov Phone: (202) 208-7658

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

15.042 Indian School Equalization Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 or the requirements of the Tribally Controlled Schools Act will be selected if they are currently served by a BIE-funded school.

15.048 BUREAU OF INDIAN AFFAIRS FACILITIES OPERATIONS AND MAINTENANCE

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638,

as amended, 25 U.S.C. 450.

OBJECTIVES:

To provide funds for basic operating services to Bureau-owned or Bureau-operated non-education facilities and to maintain these facilities in a safe operating condition for the conduct of Bureau programs.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used for essential services for non-education physical plant and utility systems throughout the Bureau. The Operation and Maintenance may be used for personnel services, supplies, materials, equipment and support for planning, reporting and managing the operations and resources of the organization as well as heating, cooling, electricity, water, sewage, refuse disposal, basic telecommunications equipment, unscheduled and preventive maintenance, GSA vehicle rental, lease agreements, and custodial and protection services. For more information contact the headquarters office.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments who have Bureau-owned or Bureau-operated facilities on their reservation.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and occupants and visitors of Bureau-owned or Bureau-operated facilities.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An informal conference with the Bureau of Indian Affairs agency representative is recommended. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C "Contract Proposal Contents." Completed applications should be submitted to the local Bureau agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the award depends upon the amount that would otherwise be spent for the program through direct services provided by the Bureau. Operation and Maintenance is based upon age and condition, size, utilities costs, and other pertinent factors of the facility.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee and continued use of the facilities. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted based on appropriations and need.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. See the following for information on how assistance is awarded/released: Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe.

Reports:

Program accomplishment reporting requirements will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Not available.

TAFS Codes:

14-00-0000-0000-0000-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Director Office of Facilities Management and Construction, Bureau of Indian Affairs, 201 Third Street, NW, Suite 500, P.O. Box 1248, Albuquerque, New Mexico 87103 Phone: (505)346-6522

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting the requirements of 25 CFR Part 900 who have Bureau-owned or Bureau-operated facilities on their reservation.

15.051 ENDANGERED SPECIES ON INDIAN LANDS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Endangered Species Act, Public Law 93-205.

OBJECTIVES:

To comply with the Endangered Species Act, the Northern Spotted Owl Recovery plan, and to implement the Endangered Species Recovery on Indian lands.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DIRECT PAYMENTS FOR A SPECIFIED USE; DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

The program monies are species specific. Appropriated funds allow those tribes in areas of endangered species to conduct activities that are necessary to comply with the Endangered Species Act. For more information contact the headquarters office.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments whose reservations are in areas inhabited by these specific endangered species.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

Credentials/Documentation:

Initial application for financial assistance must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Informal application conference is recommended. Technical assistance in preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

In most cases, the award can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official.

Renewals:

Financial assistance is in the form of nonrecurring awards appropriated for projects on a year-by-year basis. They are not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. See the following for information on how assistance is awarded/released: Payments may be made in advance or by way

of reimbursement. The timing of payments will be negotiated with the grantee.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Range is \$20,000 to \$140,000; Average \$50,000.

TAFS Codes:

14-00-0000-0000-0000-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 900; 50 CFR Part 17 Endangered and Threatened Wildlife and Plants.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Ira New Breast, Bureau of Indian Affairs, Office of Trust Services, Division of Natural Resources, Branch of Fish and Wildlife Recreation, MS 4656 MIB 1849 C Street, NW, Washington, District of Columbia 20240 Phone: (202) 208-4088.

Website Address:

No Data Available

RELATED PROGRAMS:

15.034 Agriculture on Indian Lands; 15.035 Forestry on Indian Lands

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria such as the geographic extent of potential critical habitat and the amount of harvested acres (forest, range, agricultural, etc.) are considered, as well as the methodologies proposed and the capability of the applicant to successfully conduct the project.

15.052 LITIGATION SUPPORT FOR INDIAN RIGHTS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Public Law 103-399; Indian Self-Determination and Education Assistance Act,

Public Law 93-638, as amended, 25 U.S.C. 450; Public Law 97-394, 96 Stat. 1976, 28 U.S.C. 2415; Indian Claims Limitation Act of 1982; Public Law 98-250; Public Law 96-487, 94 Stat. 2371, 16 U.S.C. 3101; Alaska National Interest Lands Conservation Act; Public Law 92-203, 106 Stat. 2112-2125, 43 U.S.C. 1601; Alaska Native Claims Settlement Act; Indian Lands Open Dump Cleanup Act of 1994, 108 Stat. 4164.

OBJECTIVES:

To establish or defend Indian property or treaty rights through judicial, administrative, or settlement actions.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

This program supports requests from tribes for expert witnesses, research, data collection, technical support, and other evidence-gathering activities required for the United States to defend the government's position in litigation cases involving Indian rights issues such as hunting, fishing and gathering rights issues; trespass; title issues, such as property line disputes; rights-of-way disputes; allotment claims; mineral entry; pollution and other activities which have harmed or could harm the health and safety of the reservation population. Funds may be used for the payment of Equal Access to Justice Act (EAJA) settlements or other payments ordered by the Courts, the Department of Justice and the Department of the Interior Office of the Solicitor. For more information contact the headquarters office.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by these Tribes.

Beneficiary Eligibility:

Federally Recognized Indian Tribes and their members.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

Awards are approved at the Headquarters level.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official.

Renewals:

Applications must be submitted each year.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee for the purposes specified in the application.

See the following for information on how assistance is awarded/released:

Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Funding range and average is not available. Approximately 30 funding requests are received on an annual basis; between 20 and 25 are funded each year.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Vicki Forrest, Office of Trust Services, Bureau of Indian Affairs, 1849 C Street N.W., MS-4620 MIB, Washington, District of Columbia 20240 Phone: (202) 208-5831.

Website Address:

No Data Available

RELATED PROGRAMS:

15.053 Attorney Fees_Indian Rights

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Selection of proposals is dependent on the type of assistance being requested and the availability of funds. Cases for which assistance is requested must have progressed beyond Tribal courts to local, county, State, or Federal courts.

15.053 ATTORNEY FEES INDIAN RIGHTS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Public Law 97-394, 96 Stat. 1976, 28 U.S.C. 2415; Indian Claims Limitation

Act of 1982; Public Law 98-250; Public Law 96-487, 94 Stat. 2371, 16 U.S.C. 3101; Alaska National Interest Lands Conservation Act; Public Law 92-203, 106 Stat. 2112-2125, 43 U.S.C. 1601; Alaska Native Claims Settlement Act; Public Law 103-399; Indian Lands Open Dump Cleanup Act of 1994, 108 Stat. 4164.

OBJECTIVES:

To assist Federally Recognized Tribes in protecting their treaty rights and other rights established through Executive Order or court action.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

These funds are used to provide assistance to tribes to obtain legal representation in situations where the United States cannot represent them as authorized in 25 U.S.C. 175. The funds are distributed in accordance with regulations governing the expenditure of appropriated funds for the fees of private attorneys representing tribes, and the eligibility requirements are found in 25 U.S.C. 89. Bureau policy determines the attorney fee hourly rates. For more information contact the headquarters office.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribes and their members.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Initial applications must contain the information specified in 25 CFR 89. Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog.

Award Procedure:

Awards are approved at the Headquarters level.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official.

Renewals:

A new application is required each year.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended for the legal services specified in the contractor/grantee application. See the following for information on how assistance is awarded/released: Payments are made as billings are received. The timing of payments will be negotiated with the grantee.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained by Tribes for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved. Records maintained by the attorneys are subject to provisions of the contract with the Tribe.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Information not available.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 89.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Vicki Forrest, Office of Trust Services, Bureau of Indian Affairs, 1849 C Street N.W., MS 4620 MIB, Washington, District of Columbia 20240 Phone: (202) 208-5831.

Website Address:

No Data Available

RELATED PROGRAMS:

15.052 Litigation Support for Indian Rights

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Cases must first have been turned down by the Department of Justice and the Department of the Interior Office of the Solicitor, and then can be submitted for review to the Attorney Review Committee for a recommendation to the Assistant Secretary for approval.

15.057 NAVAJO-HOPI INDIAN SETTLEMENT

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Public Law 93-531, 25 U.S.C. 640 et seq.; Navajo-Hopi Settlement Act; Public Law 102-180, 105 Stat. 1230; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.

OBJECTIVES:

To implement those provisions of the Navajo-Hopi Settlement Act of 1974, as amended, which are assigned to the Department of the Interior; and to institute conservation practices and methods to restore the grazing potential of rangelands lying within the former Navajo/Hopi Joint Use Area.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Use is restricted to approved projects within the Navajo/Hopi Joint Use Area. Funds will be used for restoration of rangeland within the former Navajo/Hopi Joint Use Area (JUA). This includes livestock monitoring on the JUA, issuance of grazing permits, implementation of range management plans and grazing control methods, establishment of range units and grazing capacity, and removal of trespass livestock on the Hopi Partitioned Lands; initiation of grazing control on the Navajo Partitioned Lands; and natural resources restoration on the affected lands.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments of the Navajo and Hopi Tribes and Native American Organizations authorized by either Tribe.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments of the Navajo and Hopi Tribes and individual members of both Tribes.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the Hopi Agency or the Navajo or Western Regional Office of the Bureau of Indian Affairs at the address listed in Appendix IV of the Catalog.

Award Procedure:

In most cases, the award can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Annual application required.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal Financial Report is required. Performance

monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 161, 166, and 168.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the Hopi Agency or the Navajo or Western Regional Office of the Bureau of Indian Affairs at the address listed in Appendix IV of the Catalog.

Headquarters Office:

David Edington, Office of Trust Responsibilities, Bureau of Indian Affairs, 1849 C Street N.W., MS-3061 MIB, Washington, District of Columbia 20240 Phone: (202) 513-0886.

Website Address:

<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected on the basis of the need and urgency of the proposed work.

15.058 INDIAN POST SECONDARY SCHOOLS

Haskell Indian Nations University (Haskell) and Southwestern Indian Polytechnic Institute (SIPI)

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921 Public Law 67-85, 25 U.S.C. 13.

OBJECTIVES:

To provide postsecondary educational opportunities for American Indian Students.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

Students may attend one of two post-secondary schools operated by the Bureau of Indian Affairs with minimal charge for tuition, room and board. Married student housing is not available on campus. For more information contact the headquarters office.

Applicant Eligibility:

American Indians and Alaskan Natives.

Beneficiary Eligibility:

American Indians and Alaskan Natives.

Credentials/Documentation:

Application must be accompanied by a verification of Tribal affiliation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Interested applicants may obtain a Standard Form 5-6621 from a local BIE Education Line Office or Tribal Government education office, or applicants may contact the schools directly.

Award Procedure:

Applications will be reviewed by the colleges' admissions committees.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

HINU: Fall Semester - June 30; Spring Semester - November 15; Summer School - (if funds are available) - April 15. SIPI: Fall Trimester - September 1; Spring Trimester - December 29; Summer Trimester - May 5. Students will be notified within 4 weeks of having submitted a completed application packet.

Appeals:

Not Applicable.

Renewals:

HINU: Lodging full time: \$215 per semester for housing, student activities, and textbooks, \$110 for students living off campus; SIPI: Lodging full-time: \$280.00. Commuter full-time: \$225.00. Commuter part-time: \$150.00. All Fees are non-refundable. HINU and SIPI may periodically increase their fees.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the recipient. Financial assistance grants to individual students are based on each student's certified financial aid requirements as identified in the Department of Education's Student Financial Assistance programs. See the following for information on how assistance is awarded/released: Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Applicants are to submit official transcript from high school or other institution attended or certificate of GED.

Account Identification:

14-2100-0-1-501.

Obligations:

(Training) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Not identifiable.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 40; Haskell Indian Nations University Catalog; Southwestern Indian Polytechnic Institute Catalog.

Regional or Local Office:

See Regional Agency Offices. Haskell Indian Nations University, 155 Indian Avenue, Lawrence, Kansas 66046 Phone: (785) 749-8454. Southwestern Indian Polytechnic Institute, 9169 Coors Blvd, NW., Albuquerque, NM, 87120 (505)-346-2348.

Headquarters Office:

Juanita Mendoza 1849 C Street, N.W.
Bureau of Indian Education, MS 4657-MIB, Washington, District of Columbia 20240 Email: juanita.mendoza@bie.edu Phone: (202)208-3559

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

15.027 Assistance to Tribally Controlled Community Colleges and Universities; 15.060 Indian Vocational Training_United Tribes Technical College; 15.114 Indian Education_Higher Education Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

To the extent that space is available, all applicants meeting the eligibility criteria and demonstrating the ability to benefit from post-secondary education will be accepted.

15.059 INDIAN GRADUATE STUDENT SCHOLARSHIPS

(Special Higher Education Scholarships)

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 25 U.S.C. 13.

OBJECTIVES:

To provide financial aid to eligible Indian students to enable them to obtain advanced degrees.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used to defray the costs of obtaining a graduate degree.

Applicant Eligibility:

Individual applicants must be Indian students who are members of Federally Recognized Indian Tribes, who have been admitted to a graduate program and have unmet financial need.

Beneficiary Eligibility:

Members of Federally Recognized Indian Tribes who are a member of or are at least one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Individual applicants must have proof of membership or one-fourth degree of

Indian blood or descendant of a member of a Federally Recognized Indian Tribe. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Applicants should contact the American Indian Graduate Center which administers the program on behalf of the Bureau. The 2014 AIGC award includes \$1,443,000 for scholarships and \$707,000 for financial assistance loans with a service pay back option. (The American Indian Law Center also receives \$342,000 for pre-law courses.). Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application forms may be obtained from the local BIA agency or BIE Education Line Office listed in Appendix IV of the Catalog.

Award Procedure:

The applicant will be notified of the amount of the award by the financial aid office of the admitting university.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

20 to 30 days from the receipt of the application by the American Indian Graduate Center.

Appeals:

Not Applicable.

Renewals:

Individuals must reapply annually.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards made are not to exceed one academic year. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Successful applicants are required to show proof of satisfactory performance. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Range is \$250 to \$4,000; Average \$3,947.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 40.

Regional or Local Office:

See Regional Agency Offices. Ms Juanita Mendoza, Bureau of Indian Education, MS 4657-MIB, 1849 C Street, N.W., Washington, District of Columbia 20240 Email: juanita.mendoza@bie.edu Phone: (202) 208-3559.

Headquarters Office:

Director, American Indian Graduate Center, 4520 Montgomery Boulevard, Suite 1-B, Albuquerque, New Mexico 87109 Phone: (505) 881-4584.

Website Address:

<http://www.bie.edu/contact.htm>

RELATED PROGRAMS:

15.026 Indian Adult Education

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**15.060 INDIAN VOCATIONAL TRAINING UNITED TRIBES TECHNICAL COLLEGE
FEDERAL AGENCY:**

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Adult Vocational Training Act of 1956, Public Law 84-959, 70 Stat. 986, as amended; Public Law 88-230, 77 Stat. 471, 25 U.S.C. 309; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.

OBJECTIVES:

To provide vocational training to individual American Indians through the United Tribes Technical College, located in Bismarck, North Dakota.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE; TRAINING

USES AND USE RESTRICTIONS:

Financial aid is used to assist individual Indians to obtain a marketable skill through vocational training at the United Tribes Technical College, located in Bismarck, North Dakota. Restricted to American Indian people who are determined eligible for these services.

Applicant Eligibility:

Application to administer the program is limited to the United Tribes Technical College. Individual American Indian applicants must be a member of a Federally Recognized Indian Tribe, be in need of financial assistance, and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs.

Beneficiary Eligibility:

Individual American Indians who are members of a Federally Recognized Indian Tribe and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs. Complete information on beneficiary eligibility is found in 25 CFR, Parts 26 and 27.

Credentials/Documentation:

Applicant must submit a certificate signed by a Bureau Agency Superintendent or an authorized Tribal representative that indicates the applicant is an enrolled member or registered with a Federally Recognized Indian Tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application by the United Tribes Technical College to administer the program must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." The completed application should be submitted to the Bureau of

Indian Affairs' Aberdeen Regional Office. Individual American Indian applicants should apply for program services using the application form designated by the College. Individual application forms may be obtained directly from the United Tribes Technical College or from Bureau of Indian Affairs Agency or Regional Offices listed in appendix IV of the Catalog.

Award Procedure:

Applications for individual subsistence benefits are approved by the designated United Tribes Technical College official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

1 to 90 days.

Appeals:

Disputes between the United Tribes Technical College and the Bureau of Indian Affairs may be appealed through an informal conference with the deciding official, may be appealed to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900. An appeal of a college official's decision must be made under the college's appeal procedures.

Renewals:

Awards to the United Tribes Technical College to administer the program may be renewed indefinitely upon satisfactory performance by the college and the availability of appropriations. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Renewals of grants to individual beneficiaries are granted based on evidence of satisfactory performance and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards to the United Tribes Technical College to administer the program are made on an annual basis and the funds remain available until expended by the college. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the college. Grants to individual beneficiaries for subsistence are released monthly or quarterly, based upon individual needs. Vocational and employment counseling are provided by the college. Funding is limited in duration to the length of the vocational program, which varies by area of study. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

The timing and nature of program accomplishment data will be negotiated with the College. Cash reports are not applicable. Progress reports are not applicable. The United Tribes Technical College must submit the Federal Financial Report, SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The United Tribes Technical College must retain financial records for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Training) FY 16 Not Separately Identifiable; FY 17 Not Separately

Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Range is between \$500 to \$3,000; Average \$2,500.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 26 and 25 CFR 27. For awards to United Tribes Technical College see also 25 CFR Part 900.

Regional or Local Office:

See Regional Agency Offices. Applications may be obtained from the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog or with the United Tribes Technical College. For direct contact: United Tribes Technical College, 3315 University Dr., Bismarck, North Dakota 58504. Contact: Vivian Gillette, Admissions. Telephone: (701) 255-3285, ext. 334.

Headquarters Office:

Lynn Forcia, Office of Self-Governance and Self-Determination, Division of Job Placement and Training, Bureau of Indian Affairs, 1849 C Street, NW, MS 2542 MIB, Washington, District of Columbia 20240 Phone: (202) 219-5270.

Website Address:

No Data Available

RELATED PROGRAMS:

15.061 Indian Job Placement_United Sioux Tribes Development Corporation; 15.108 Indian Employment Assistance; 15.114 Indian Education_Higher Education Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Final determination to fund an application is based on the individual's eligibility and financial need and total dollars available to the College.

15.061 INDIAN JOB PLACEMENT UNITED SIOUX TRIBES DEVELOPMENT CORPORATION

(United Sioux Tribes)

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85; 42 Stat. 208; 25 U.S.C. 13; Indian Adult Vocational Training Act of 1956; Public Law 84-959; 70 Stat. 986, as amended; Public Law 88-230; 77 Stat. 471; 25 U.S.C. 309; Indian Self-Determination and Education Assistance Act; Public Law 93-638; as amended; 25 U.S.C. 450.

OBJECTIVES:

To provide job development, counseling, social adjustment guidance, and referrals to job training programs and other assistance programs through the United Sioux Tribes Development Corporation, located in Pierre, South Dakota.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Financial aid is used to assist individual Indians in finding permanent employment. A weekly or monthly (depending on type of services received) stipend is paid until the participant is employed. Upon receiving employment, assistance may be provided until the first full paycheck is received.

Applicant Eligibility:

Application to administer the program is limited to the United Sioux Tribes Development Corporation. Individual American Indian applicants must be a member of a Federally Recognized Indian Tribe, be in need of financial assistance, and reside on or near an Indian reservation under the jurisdiction of

the Bureau of Indian Affairs.

Beneficiary Eligibility:

Must be an American Indian member of a Federally Recognized Indian Tribe and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs. Complete information on beneficiary eligibility is found in 25 CFR, Parts 26 and 27.

Credentials/Documentation:

Applicant must submit a certificate signed by a Bureau Agency Superintendent or an authorized Tribal representative that indicates the applicant is an enrolled member of a Federally Recognized Indian Tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application by the United Sioux Tribes Development Corporation to administer the program must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." The completed application should be submitted to the Bureau of Indian Affairs Aberdeen Regional office. Individual American Indian applicant should apply for program services using the application form designated by the Corporation. Individual application forms may be obtained directly from the Corporation.

Award Procedure:

Applications for individual benefits are approved by the designated United Sioux Tribes Development Corporation official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

1 to 90 days.

Appeals:

Disputes between the United Sioux Tribes Development Corporation and the Bureau of Indian Affairs may be appealed through an informal conference with the deciding official, may be appealed to the Interior Board of Indian Appeals, or the corporation may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900. An appeal of the corporate official's decision must be made under the corporation's appeal procedures.

Renewals:

Awards to the United Sioux Tribes Development Corporation to administer the program may be renewed indefinitely upon satisfactory performance by the corporation and the availability of appropriated funds. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Individual renewals are based on the availability of funds, but the job placement service may not be used more than three times or more frequently than every two years.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards to the United Sioux Tribes Development Corporation to administer the program are made on an annual basis and the funds remain available until expended. Payments may be made in advance or by reimbursement. Payments to individual beneficiaries for subsistence, support services, uniforms, etc., are released as required by beneficiary, up to the amount of the award. Assistance for job placement is provided until the beneficiary receives their first full paycheck. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

The timing and nature of program accomplishment data will be negotiated with the Corporation. Cash reports are not applicable. Progress reports are not

applicable. The United Sioux Tribes Development Corporation must submit financial status reports, SF 269A. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The United Sioux Tribes Development Corporation must retain financial records for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 900, 25 CFR 26, and 25 CFR 27.

Regional or Local Office:

See Regional Agency Offices. United Sioux Tribes Development Corporation, 1830 Lombardy Drive, Rapid City, South Dakota, 57701. Contact: Ken Lock; Telephone: (605) 226-7426.

Headquarters Office:

Lynn Forcia, Office of Economic Development, Division of Job Placement and Training, Bureau of Indian Affairs, 1849 C Street, NW., MS-2412 MIB, Washington, District of Columbia 20240. Phone: (202) 219-5270.

Website Address:

No Data Available

RELATED PROGRAMS:

15.108 Indian Employment Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Applications are received for direct employment participants. Final determination to fund an application is based on the individual's eligibility and financial need and total dollars available to the Corporation.

**15.062 REPLACEMENT AND REPAIR OF INDIAN SCHOOLS
FEDERAL AGENCY:**

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, 25 U.S.C. 450 et seq., as amended, Title IV; Tribal Self-Governance Act of 1994, Public Law 103-413; Tribally Controlled Schools Act of 1988, as amended, Public Law 100-297, 25 U.S.C. 2501 et seq TCSA; American Recovery & Reinvestment Act of 2009, Public Law 111-5 (ARRA).

OBJECTIVES:

Providing safe, functional, code-compliant, economical, and energy efficient education facilities for American Indian students attending Bureau of Indian Affairs owned or funded primary and secondary schools and/or residing in Bureau owned or funded dormitories. Additional objectives for ARRA funded projects include having a demonstrated or potential ability to deliver programmatic results, optimizing economic activity and the number of jobs created or saved, achieving long-term public benefits from improved school infrastructure, fostering energy independence or improving educational quality.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Replacement School Construction: Funds are provided for advance planning, design, and construction of major expansions or major replacements of Bureau of Indian Affairs owned or funded education facilities for the direct support of primary and secondary schools and/or dormitories. An education facility may include an entire school facility or building, or a component(s) of a school facility or building, such as, classrooms, multi-purpose rooms or gymnasiums, or cafeteria/kitchens; and may include other improvements to real property such as, water storage tanks, water and sewer distribution lines, parking lots, and other site improvements. School facilities are replaced only in those instances where rehabilitation and upgrading are not feasible because of eligible American Indian student capacity needs, functional changes or costs. **Facilities Improvement and Repair:** Funds are provided for advance planning, design, and construction for facilities improvement and repair of education facilities for the direct support of primary and secondary schools and/or dormitories and the Bureau's two post secondary schools. Funds are used to improve, repair and rehabilitate facilities and in some instances replace components of education facilities and may include other improvements to real property as noted under Replacement School Construction. Contact the headquarters office for more information.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Tribal Organizations, including School Boards, who have a prioritized Replacement School Construction or Facilities Improvement and Repair, for which funds have been specifically approved through the appropriation process, or for which ARRA funds have been allocated.

Beneficiary Eligibility:

American Indian children attending Bureau owned or funded primary and secondary schools and/or American Indian children residing in Bureau owned or funded dormitories.

Credentials/Documentation:

When an eligible project has been identified, the Tribe or Tribal Organization is invited to submit an initial application accompanied by a copy of the authorizing resolution from the Federal Recognized Indian Tribal Government to be served. If a currently effective authorizing resolution covering the scope of a project has already been provided, a reference to that resolution is acceptable. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Taking into account organizational risk ratings, the agency consults with the Tribe as to how it wishes the project to be managed. An informal conference with the Bureau agency representatives is strongly recommended to determine the application and award procedures to be followed. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For a Self-Determination Contract (or Grant), applications are filed in accordance with 25 CFR Part 900 "Contracts under the Indian Self-Determination and Education Assistance Act;" for a Financial Assistance Award applications are filled pursuant to 43 CFR Part 12, Subpart C "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and the Tribally Controlled Schools Act of 1988, as amended, 25 U.S.C. 2501 et seq., as applicable.

Award Procedure:

Although eligible applicants do not compete for awards, an award to a particular entity is not mandatory because requirements related to the scope of the project work, project cost, and other compliance measurements must be agreed to before funding is provided to the recipient. For non-ARRA funded projects, projects representing the greatest needs at schools and related facilities are prioritized as part of the process of obtaining Congressional appropriations of fund the projects. Funds must be appropriated before any project awards can be made, and a change in priorities may require Congressional consultation and, for some projects above a certain dollar amount, Congressional approval of the reprogramming of funding to a different project. For ARRA funded projects, a similar prioritization of projects representing greatest needs was implemented, resulting in the identification of projects eligible to receive ARRA funds, followed by communication with prospective recipients of the available ARRA funds for the identified projects. For both ARRA funded and non-ARRA funded projects, the award of a self-determination contract is made in accordance with the procedures contained in 25 CFR Part 900 "Contracts under Indian Self-Determination and Education Assistance Act," and the award of a financial assistance grant amendment to the existing education grant from the Bureau of Indian Education to the Tribe or Tribal Organization is made in accordance with the Tribally Controlled Schools Act of 1988, as amended, 25 U.S.C. 2501 et seq. The requirements in 43 CFR Part 12, Subpart C "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" apply to financial assistance awards. ARRA projects also are subject to additional requirements related to performance, funding, and compliance through implementation of ARRA in the areas of transparency and reporting, oversight, risk accountability, accelerated timeframes and other statutory objectives. Projects are prioritized before the funds are appropriated. Funds must be appropriated before the award can be made. The award is made in accordance with the procedures contained in 25 CFR Part 900 "Contracts under the Indian Self-Determination and Education Assistance Act," 43 CFR Part 12, Subpart C "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," or the Tribally Controlled Schools Act of 1988, as amended, 25 U.S.C. 2501 et seq., as applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days for Self-Determination Contracts/Grants as provided under applicable procedures for other financial assistance awards.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

For non-ARRA funded projects, depending upon the size and complexity of the overall construction, improvement or repair project it may take several increments of funding assistance to complete the entire projects; often an initial award is issued as a new contract/grant amendment with second and subsequent funding increments issued as renewals to existing contracts/grants. ARRA funds are for one-time specific projects that must be started and completed expeditiously.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds remain available until expended in accordance with terms of the award. No ARRA funding will be awarded after September 30, 2010. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program reports are not applicable. Cash reports are not applicable. Narrative program progress reports are required for TCSA grants. Program progress

reporting requirements will be negotiated with the Self-Determination contracts/grantee. ARRA funded projects have a separate set of online reporting requirements and data is entered by the recipient at FederalReporting.gov. Federal Financial Report, SF 425 is required for Public Law 93-638 Self Determination Contracts and SF-271, Outlay Report and Request for Reimbursement for Construction Programs is required for Public Law 100-297 TCSA grants. Both the SF 425 and SF 271 require interim and final reports. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

For all projects (ARRA and non-ARRA) financial records must be retained for 3 years from the date of submission of the Single Audit Report applicable to the project, procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2301-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable - School Construction and Facility Repair.

Range and Average of Financial Assistance:

The amount of financial assistance can range from approximately \$6 million to \$25 million depending on the size of the school, both grade level and student enrollment, the program requirements, and the location. For the higher amounts, funding may be incremental over 2, 3, or even 5 years. Because of the limited number of schools that have received funding in the last few years and the increasing costs for construction, there is no way to determine a true representative "average" of financial assistance. Facilities Improvement and Repair: The amount of financial assistance can range significantly from a few thousand dollars to approximately \$7 million. There are several categories of projects that are included under Facilities Improvement and Repair, such as, Emergency, Roofing, Replacement/Repair, and Portable Classrooms. The average amount of financial assistance can vary depending on the category. An average amount is not calculable.

TAFS Codes:

14-00-0000-0000-2301-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900 "Contracts under the Indian Self-Determination and Education Assistance Act," 43 CFR Part 12, Subpart C "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," or the Tribally Controlled Schools Act of 1988, as amended, 25 U.S.C. 2501 et seq., as applicable; OMB Circulars No. A-87, "Cost Principles for State, Local, and Indian Tribal Governments" relocated to 2 CFR Part 225, A-122, "Cost Principles for Non-Profit Organizations" relocated to 2 CFR Part 230, A-21, "Cost Principles for Educational Institutions" relocated to 2 CFR Part 220, as applicable; and Bureau of Indian Education Space Guidelines dated November 1, 2005, Bureau of Indian Affairs Design Handbook dated March 30, 2007, Bureau of Indian Affairs Design Guidelines dated May 22, 2009, Certificate of Occupancy requirements dated April 15, 2004, Bureau of Indian Affairs applicable codes, standards and policies (revision date September 11, 2009), and Federal Government and Departmental Environmental and Sustainable building requirements.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV.

Headquarters Office:

Deputy Director, Office of Facilities Management and Construction, Bureau of Indian Affairs, 1011 Indian School Road, Suite 335, Albuquerque, New Mexico 87104 Phone: (505) 563-5142.

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Replacement School Construction: Funds are appropriated based on the "Education Facilities Replacement Construction Priority List" as published in the Federal Register on March 24, 2004. Criteria emphasize providing safe, functional, code-compliant, economical and energy-efficient facilities that meet applicable accreditation standards and space guidelines. Facilities Improvement and Repair: Criteria to evaluate projects emphasize eliminating critical health and safety-related deficiencies and reducing the substantial backlog of needed improvement and repairs. Projects are prioritized on a Bureau-wide basis using a computerized formula that evaluates the seriousness of the deficiencies and the relative risks of those deficiencies to facility users. To be considered it is essential that deficiencies and projects for a Bureau funded school or dormitory to be identified in the automated inventory of Bureau facilities. In addition, there are limited funds provided under this program to address safety and health deficiencies on an immediate or interim basis as well as funds for emergency repairs under certain conditions, and for other purposes. For more information on these other programs or on the priority ranking process for Replacement School Construction or Facilities Improvement and Repair projects, contact the Headquarters Office listed above.

15.063 IMPROVEMENT AND REPAIR OF INDIAN DETENTION FACILITIES

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, 25 U.S.C. 450 et seq., as amended, Title IV; Tribal Self-Governance Act of 1994, Public Law 103-413, Tribally Controlled Schools Act of 1988, as amended, Public Law 100-297, 25 U.S.C. 2501 et seq TCESA; American Recovery & Reinvestment Act of 2009, Public Law 111-5 (ARRA).

OBJECTIVES:

To provide safe, functional, code and standards compliant, economical, and energy-efficient adult and/or juvenile detention facilities. Additional objectives for ARRA-funded projects include having a demonstrated or potential ability to deliver programmatic results, optimizing economic activity and the number of jobs created or saved, achieving long-term public benefits from improved detention facilities, infrastructure, fostering energy independence.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds are provided for advanced planning, design, and construction for facilities improvement and repair to Bureau detention facilities (e.g. renovations, improvements, demolitions, or additions) when economically justified with emphasis on addressing critical health and safety needs identified in Bureau safety reports and meeting emergency needs.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments or Tribal Organizations who have a prioritized Facilities Improvement and Repair and for which funds have been specifically approved through the appropriation process or for which ARRA funds have been allocated.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments in Bureau owned or funded Law Enforcement/Detention Facilities.

Credentials/Documentation:

When an eligible project has been identified, the Tribe or Tribal Organization is invited to submit an initial application accompanied by a copy of the authorizing resolution from the Federal Recognized Indian Tribal Government to be served. If a currently effective authorizing resolution covering the scope of a project has already been provided, a reference to that resolution is acceptable. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For a Self-Determination Contract (or Grant), applications are filed in accordance with 25 CFR Part 900 Contracts under the Indian Self-Determination and Education Assistance Act.

Award Procedure:

Although eligible applicants do not compete for awards, an award to a particular entity is not mandatory because requirements related to the scope of the project work, project cost, and other compliance measurements must be agreed to before funding is provided to the recipient. For non-ARRA-funded projects, projects representing the greatest needs at detention and related facilities are prioritized as part of the process of obtaining Congressional appropriations to fund the projects. Funds must be appropriated before any project awards can be made, and a change in priorities may require Congressional consultation and, for some projects above a certain dollar amount, Congressional approval of the reprogramming of funding to a different project. For ARRA-funded projects, a similar prioritization of projects representing greatest needs was implemented, resulting in the identification of projects eligible to receive ARRA funds, followed by communication with prospective recipients of the availability of ARRA funds for the identified projects. For both ARRA-funded and non-ARRA funded projects, the award of a self-determination contract is made in accordance with the procedures contained in 25 CFR Part 900 Contracts under the Indian Self-Determination and Education Assistance Act. ARRA projects also are subject to additional requirements related to performance, funding, and compliance through implementation of ARRA in the areas of transparency and reporting, oversight, risk accountability, accelerated timeframes and other statutory objectives.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days for Self-Determination Contracts.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

For non-ARRA funded projects, depending upon the size and complexity of the overall construction, improvement or repair project it may take several increments of funding assistance to complete the entire projects; often an initial award is issued as a new contract with second and subsequent funding increments issued as renewals to existing contracts. ARRA funds are for one-time specific projects that must be started and completed expeditiously.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds remain available until expended in accordance with the terms of the

award. No ARRA funding will be awarded after September 30, 2010. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program progress reporting requirements will be negotiated with the Self-Determination contractor/grantee. ARRA has a separate set of online reporting requirements and data is entered by the recipient at FederalReporting.gov. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2301-0-1-452; 14-2302-0-0-452 - Construction - ARRA; 14-2101-0-1-452 - Operation of Indian Programs (On-The-Job Workforce Training) ARRA.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable - Facility Improvement and Repair.

Range and Average of Financial Assistance:

Facilities Improvement and Repair: The amount of financial assistance can range significantly from a few thousand dollars to approximately \$3 million. There are several categories of projects that are included under Facilities Improvement and Repair, such as, Emergency, Roofing and Environmental. The average amount of financial assistance can vary depending on the category. ARRA-funded projects range from less than \$1.1 million to \$1.6 Million.

TAFS Codes:

14-2301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900 Contracts under the Indian Self-Determination and Education Assistance Act, 43 CFR Part 12, Subpart C Uniform Administrative Requirement for Grants and Cooperative Agreements to State and Local Governments, OMB Circulars No. A-87, Cost Principles for State, Local, and Indian Tribal Governments, A-122, Cost Principles for Non-Profit Organizations, 2009Certificate of Occupancy requirements dated April 15, 2004, and Bureau of Indian Affairs applicable codes, standards and policies (revision date September 11, 2009), and Federal Government and Departmental Environmental and Sustainable building requirements.

Regional or Local Office:

See Regional Agency Offices. Bureau of Indian Affairs agency or regional office as listed in Appendix IV.

Headquarters Office:

Deputy Director, Office of Facilities Management and Construction, Bureau of Indian Affairs, 1011 Indian School Road, Suite 335, Albuquerque, New Mexico 87104 Phone: (505) 563-5142.

Website Address:

No Data Available

RELATED PROGRAMS:

16.596 Correctional Grant Program for Indian Tribes

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria to evaluate projects emphasize eliminating critical health and safety related deficiencies and reducing the substantial backlog of needed improvement and repairs. Projects are prioritized on a Bureau-wide basis using a computerized formula that evaluates the seriousness of the deficiencies and the relative risks of those deficiencies to facility users. To be considered it is essential that the deficiencies and projects for a Bureau funded facility be identified in the automated inventory of Bureau facilities. In addition there are limited funds provided under this program to address safety and health deficiencies on an immediate or interim basis as well as funds for emergency repairs under certain conditions, and for other purposes. For more information on these other or Facilities Improvement and repair projects, contact the Headquarters Office listed above.

15.065 SAFETY OF DAMS ON INDIAN LANDS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, 25 U.S.C. 13, Public Law 67-85, 42 Stat. 208; Indian Dams Safety Act of 1994, Public Law 103-302, 108 Stat. 1560; Secretarial Order No. 3048.

OBJECTIVES:

To improve the structural integrity of dams on Indian lands, including operations and maintenance of these dams.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds allow for the inspection, operation and maintenance, early warning systems, and emergency action plans of high and significant hazard dams under the responsibility of the Bureau of Indian Affairs, and for safety of dam rehabilitation construction necessary to correct identified deficiencies on the most unsafe dams. Financial awards are specific to a given site and awards are restricted to Indian tribes or tribal organizations affected by the projects.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments to be benefited by the award.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members and Native American Organizations.

Credentials/Documentation:

The application must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." For the rehabilitation construction, applications must contain the information specified in 25 CFR Part 900, Subpart J, "Construction." An Indian tribe or tribal organization that wishes to secure a construction project should contact the appropriate local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

In most cases, the award will be approved at the regional level with the approval of BIA Dam Safety Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications for construction projects will be processed within 90 days after receipt of the final proposal.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900, Subpart L.

Renewals:

Projects are generally multi-year agreements with no renewal. Under extenuating circumstances, extensions may be granted.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Contracts are for the duration of the project, often one to five years, and the funds remain available until expended by the contractor/grantee. The timing of payments will be negotiated with the grantee. See the following for information on how assistance is awarded/released: Information not available.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Range is variable depending upon the scope of work. Awards are commonly awarded for various phases of the safety program. For example, conception design \$100,000 to \$300,000; final design \$300,000 to \$1,000,000; and construction repair \$1,000,000 to \$17,000,000. For the non-construction portion, awards can be from \$10,000 up to \$250,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; and Department of the Interior Safety of Dams (SOD) Program.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Jack Byers Office of Trust Services, Division of Water and Power, Branch of Safety of Dams, Bureau of Indian Affairs, 13922 Denver West Pkwy, Lakewood, Colorado 80401 Email: jack.byers@bia.gov Phone: (303) 231-5222.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

The relative probability of dam failure (risk-of-failure) is determined from the technical factors used in the SEED report to determine placement on the Department of the Interior's Technical Priority Rating List. This priority list is then used to prioritize budget requests for repair of the Bureau's most unsafe dams. Approximately \$22,000,000 of the funds for the Safety of Dams program are distributed in accordance with the Technical Priority Rating listing for Department of the Interior dams.

15.066 TRIBAL GREAT LAKES RESTORATION INITIATIVE

Tribal GLRI

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Public Law 93-638, Indian Self-determination and Education Assistance Act, as amended; 25 US Code 450f, Self-determination contracts; 25 CFR Part 900, Contracts under the Indian Self-determination and Education Assistance Act.

OBJECTIVES:

The BIA Tribal GLRI Program provides financial assistance to Great Lakes tribes to protect, enhance, and restore the Great Lakes. Priority actions are to: identify, protect, conserve, manage, enhance, or restore species or habitat, as well as to build tribal capacity to manage natural resources within the Great Lakes Basin.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This funding opportunity under the Great Lakes Restoration Initiative will be used to address tribal priorities for the Great Lakes Basin ecosystem and fall into five major focus areas:

Toxic substances and Areas of Concern, including pollution prevention and cleanup of polluted areas in the Great Lakes

Invasive species, including efforts to institute zero tolerance policy towards new invasions

Nonpoint source pollution impacts on nearshore health, including a targeted geographic focus on high priority watersheds and reducing polluted runoff from urban and agricultural sources

Habitat and fish and wildlife species protection, restoration, and enhancement, including removing barriers to fish passage and targeted protection and restoration of native and endangered species and their habitats in the Great Lakes

Foundations for future restoration actions, incorporating climate resiliency, education, and adaptive management to enhance accountability and provide science-based decision making to assess the overall health of the Great Lakes ecosystem and target significant remaining problems. 100%.

Applicant Eligibility:

Federally-recognized Indian Tribes and Native American Organizations

authorized by Indian tribal governments.

Beneficiary Eligibility:

Federally-recognized Indian Tribes and Native American Organizations authorized by Indian tribal governments.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is program specific. Technical assistance is available from the program to aid applicants in fulfilling pre-application requirements. Coordinate with GLRI Biologist or the Midwest Regional Fish and Wildlife Biologist. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each year, a request for proposals will be announced to the Great Lakes tribes. At a minimum, the applicant will submit a project proposal with a statement of work and budget to the BIA GLRI program manager. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C. Completed applications should be submitted to the BIA GLRI biologist at the Great Lakes Agency Office.

Award Procedure:

Program requirements are specified in the announcement of the request for proposals. Applications are to be submitted to the BIA GLRI biologist at the Great Lakes Agency office. Contact the Great Lakes Agency office or the BIA Midwest Regional Office, as appropriate.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Financial assistance is in the form of non-recurring awards appropriated for projects on a year-by-year basis. They are not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the grantee. The Great Lakes Restoration Initiative places restrictions on the time permitted to spend the funds awarded. See the following for information on how assistance is awarded/released: The Regional Office contact person can further explain the restrictions placed on the time period in which to fully expend the funds awarded under the project.

Reports:

Program reports are not applicable. Cash reports are not applicable. The Great Lakes Restoration Initiative requires a semi-annual and an annual progress report. The Federal Financial Report, SF-425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-999.

Obligations:

(Project Grants (Discretionary)) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Projects may range from \$1,000 to \$500,000, or greater. Average over two years is \$162,000.

TAFS Codes:

14-6210.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No information Available. Fiscal Year 2017: No information Available. Fiscal Year 2018: No information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Great Lakes Agency contact: Jessica Koski, 916 W. Lakeshore Drive, Ashland, WI 54807, tel. no. (715) 682-4527 ext. 308, email jessica.koski@bia.gov
Midwest Regional Office contact: Merben R. Cebrian, 5600 W. American Blvd, Suite 500, Bloomington, MN 55437, tel. no. (612) 725-4529, email merben.cebrian@bia.gov.

Headquarters Office:

Merben R. Cebrian 5600 W. American Blvd, Suite 500, Bloomington, Minnesota 55437 Email: merben.cebrian@bia.gov Phone: (612) 725-4529

Website Address:

No Data Available

RELATED PROGRAMS:

15.662 Great Lakes Restoration - Restoration, protection, and enhancement of Great Lakes fish, wildlife, and their habitats.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No information Available. Fiscal Year 2017: No information Available. Fiscal Year 2018: No information Available.

CRITERIA FOR SELECTING PROPOSALS:

Program criteria are specified in the announcements for the individual program request for proposals.

15.067 STRENGTHENING TRIBAL NATIONS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Snyder Act, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Self-Determination and Education Assistance Act, P.L. 93-638, as amended, 25 U.S.C. 450a-1; EO 13175, Consultation and Coordination with Indian Tribal Governments (Nov. 6, 2000).

OBJECTIVES:

To provide funding to advance nation-to-nation relationships, support Indian families and protect Indian country, support sustainable stewardship of trust resources, and advance Indian education.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Cooperative Agreements (Discretionary Grants); Dissemination of Technical Information; Training

USES AND USE RESTRICTIONS:

Funds may be used to coordinate Tribal-Federal advisory committee meetings concerning BIA budget formulation and implementation of PL 93-638; develop communication strategies and tools, including websites to share updated information and resources; provide trainings; and conduct historical budget research and trend analysis. Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees). Funds may not be used for any purposes other than those approved in the grant award documents.

Applicant Eligibility:

Beneficiary Eligibility:

American Indians/Alaska Natives will be the ultimate beneficiaries of the funded projects either directly or indirectly depending upon the nature of the project.

Credentials/Documentation:

Yes. Please refer to the program point of contact. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The program posting a funding opportunity to www.grants.gov, as appropriate, adheres to the award review, selection and award procedures described in its authorizing legislation.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Refer to the application guidelines attached to the funding opportunity posed, as appropriate, to www.grants.gov.

Appeals:

Not Applicable.

Renewals:

Agreements may be renewed for additional one-year terms upon written agreement by the parties.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project period is limited to 5 years or less. Within the project period, a continuation application must be submitted annually on a noncompetitive basis for each year of support. Method of awarding/releasing assistance: quarterly.

Reports:

Please refer to the program point of contact. No cash reports are required.
Please refer to the program point of contact. Please refer to the program point of contact. Please refer to the program point of contact.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

DOI and the Comptroller General of the United States or any of their authorized

representatives shall have the right of access to any books, documents, paper, or other records of the grantee, contractor, or subcontractor, which are pertinent to the DHHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 92.42 or 45 CFR Part 74, Subpart D, as applicable, grantees are required to maintain grant records 3 years after they submit their final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the end of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

14-2100-0-1-999.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable - Obligations for Tribal Interior Budget Council (TIBC) management.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Snyder Act, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Self-Determination and Education Assistance Act, P.L. 93-638, as amended, 25 U.S.C. 450a-1; EO 13175, Consultation and Coordination with Indian Tribal Governments (Nov. 6, 2000).

Regional or Local Office:

See Regional Agency Offices. For Budget and Performance Management, contact George Bearpaw by email at George.Bearpaw@bia.gov or by phone at 202-219-3857.

For Self-Governance, contact Sharee Freeman by email at Sharee.Freeman@bia.gov or by phone at 202-219-0244.

Headquarters Office:

George Bearpaw Indian Affairs
MS-3642-MIB
1849 C Street N.W., Washington, District of Columbia 20240 Phone:
202-219-3857

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selection vary and are dependent upon authorizing legislation and/or program-specific guidelines posted with the funding opportunity posed, as appropriate, on www.grants.gov.

15.108 INDIAN EMPLOYMENT ASSISTANCE

Employment Assistance Program

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Adult Vocational Training Act of 1956, Public Law 84-959, 70 Stat. 986, as amended; Public Law 88-230, 77 Stat. 471, 25 U.S.C. 309; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450, American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

To provide vocational training and employment opportunities to eligible American Indians and Alaska Natives to reduce Federal dependence.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Financial aid is used to assist individual Indians to obtain a marketable skill through vocational training and to assist those who have a job skill to find permanent employment. Vocational and employment counseling are provided by the program. Eligible American Indians and Alaska Natives may receive vocational training or job placement on or near the reservation or in Urban and Rural settings.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian Tribal Governments may apply to administer the program. Individual American Indian and Alaska Native applicants must be a member of a Federally Recognized Indian Tribe, be in need of financial assistance, and reside on or near an Indian reservation or in Alaska under the jurisdiction of the Bureau of Indian Affairs.

Beneficiary Eligibility:

Members of Federally Recognized Indian Tribes who are unemployed, underemployed, or in need of training to obtain reasonable and gainful employment. Complete information on beneficiary eligibility is found in 25 CFR, Part 26.

Credentials/Documentation:

Applicants must submit a certificate signed by a Bureau Agency Superintendent or an authorized Tribal representative that indicates the applicant is an enrolled member or a descendent of an enrolled member or registered with a Federally Recognized Indian Tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications by Indian Tribal Governments to administer the program must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV. Individual American Indian and Alaska Native applicants should apply for program services on the Bureau of Indian Affairs Form BIA-8205 if they are applying at the nearest Bureau Employment Assistance office to their residence. If an eligible applicant is applying for services from a tribal program contractor the tribe will make available a facsimile (application).

Award Procedure:

The dollar value of the awards to Indian Tribal Governments depends upon the amount that has been prioritized by the individual Tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process. Applications for individual benefits are approved by the Bureau Agency Superintendent or authorized tribal representative.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications by Tribal Governments to administer the program will be processed within 90 days. Applications by individuals for benefits will be processed within 30 days.

Appeals:

A Tribal Government whose request to administer the program is denied may request an informal conference with the deciding official, or may appeal the denial of the application to the Federal Civilian Board of Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900. An individual may appeal the BIA agency Superintendent's decision to the

Regional Director. The complete appeal process for an individual is found in 25 CFR Part 2, "Appeals from Administrative Action." An appeal of a Tribal contractor's decision must be made under the Tribe's appeal procedures.

Renewals:

Awards to Tribal Governments to administer the program may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process. Renewals of grants to individual beneficiaries are based on evidence of satisfactory performance and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards to Indian Tribal Governments and Native American Organizations to administer the program are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the Tribal Government. Grants to individual beneficiaries for subsistence, tuition and related training costs, supportive services, etc., are released as required by beneficiary, up to the amount of the award. Assistance for job placement is provided until the beneficiary receives the first full paycheck from employment. Individual beneficiaries may not receive more than 24 months of full-time training, except for Registered Nursing students who may receive 36 months of training. See the following for information on how assistance is awarded/released: Not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For awards administered by Indian Tribal Governments, the Tribe is responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.).

Records:

Indian Tribal Governments administering the program must retain financial records for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Not available.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 26 and 25 CFR 27. For awards to Indian Tribal Governments see also 25 CFR Part 900.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog or with the Tribal Government administering the program.

Headquarters Office:

Office of Indian Energy and Economic Development, Division of Workforce Development, 1951 Constitution Avenue, NW, Mail Stop 20-SIB, Washington, District of Columbia 20245 Phone: (202) 208-3100.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**15.113 INDIAN SOCIAL SERVICES WELFARE ASSISTANCE
FEDERAL AGENCY:**

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13.

OBJECTIVES:

To provide financial assistance for basic needs of needy eligible American Indians who reside on or near reservations, including those American Indians living under Bureau of Indian Affairs service area jurisdictions, when such assistance is not available from State or local public agencies.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Provides cash payments to eligible American Indian individuals and families to meet basic needs (i.e., food, clothing, shelter, etc.). Provides extra monthly benefits to tribal members on general assistance who also work on tribal projects under the Tribal Work Experience Program. Provides monthly assistance for non-medical institutional or custodial care of adults who are not eligible for care from Indian Health Services, Social Security, or any other county, state or Federal program. Provides foster home care and appropriate institutional (non-medical) care for dependent, neglected, and handicapped American Indian children in need of protection. This program also pays the burial expenses of indigent American Indians whose estates do not have sufficient resources to meet funeral expenses and provides emergency assistance to prevent hardship caused by fire, flood or acts of nature.

Applicant Eligibility:

An American Indian who is a member of a federally recognized Indian Tribe, who resides on or near a federally recognized Indian reservation, who is in need of financial assistance and who meets the eligibility criteria in 25 CFR Part 20.

Beneficiary Eligibility:

American Indians who are members of federally recognized Indian Tribes.

Credentials/Documentation:

Proof of American Indian status, residency, and income/resources available must be provided by applicant. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A verbal or written request for assistance to the Bureau of Indian Affairs agency or regional representative or designated tribal authority.

Award Procedure:

Assistance is provided by the Bureau of Indian Affairs agency or regional office or contracted tribal program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Generally within 10 days depending upon applicants needs and the extent of investigation required. Decisions will take no longer than 45 days.

Appeals:

An unsuccessful applicant may appeal a Bureau of Indian Affairs official's decision under the procedures contained in 25 CFR Part 20, Subpart D "Hearings and Appeals" and 25 CFR Part 2 "Appeals from Administrative Actions."

Renewals:

Contact the headquarters or regional office.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of assistance is indefinite, depending on continued need and available resources. See the following for information on how assistance is awarded/released: Assistance is provided monthly or as needed.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

May range from a few hundred to several hundred dollars monthly depending upon the assistance provided.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 20.

Regional or Local Office:

See Regional Agency Offices. Information can be secured from the Agency Superintendents and from Regional Directors (see Catalog Appendix IV for addresses). Applications for general assistance are made at the local agency or tribal level.

Headquarters Office:

Deputy Director, Office of Tribal Services, Bureau of Indian Affairs, 1849 C St., NW., MS 320 SIB, Washington, District of Columbia 20245 Phone: (202) 513-7642.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information

Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Must be an American Indian residing on or near a reservation as defined in 25 CFR 20 and must be in need as defined in 25 CFR Part 20. All eligible applicants will be funded subject to availability of funds.

15.114 INDIAN EDUCATION HIGHER EDUCATION GRANT

Higher Education

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended; Public Law 103-413, Title II Tribal Self-Governance Act.

OBJECTIVES:

To provide financial aid to eligible Indian students to enable them to attend accredited institutions of higher education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds are to supplement the total financial aid package prepared by the college financial aid officer. Funds are intended to assist students in pursuing their undergraduate baccalaureate degree.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian Tribal Governments may apply to administer the program. Individuals who are members of Federally Recognized Indian Tribes may submit applications for benefits directly to the Bureau of Indian Affairs if the Bureau agency serving their reservation provides direct services for this program. Individuals must be enrolled in an accredited college and have financial need as determined by the institution's financial aid office.

Beneficiary Eligibility:

Members of a Federally Recognized Indian Tribe who are enrolled or accepted for enrollment in an accredited college and have financial need as determined by the institution's financial aid office.

Credentials/Documentation:

Initial application by Indian tribes and tribal organizations must be accompanied by an authorizing resolution of the governing body of the Indian tribe. Individual student applicants must submit a certificate of Indian blood; college financial aid package; statement of acceptance by college and Bureau of Indian Affairs grant application. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The applicant should consult the BIE Education Line Officer. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications by Indian tribes and tribal organizations to administer the program must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Individual students must be accepted by a college or university and should complete application forms in accordance with instructions available upon request from the BIE Education Line Office, or tribal contractor administering the program. Completed forms are submitted to the student's higher education program office at the appropriate office.

Award Procedure:

In most cases, the application by an Indian tribe or tribal organization to administer the program can be approved by the BIE Education Line Officer and awarded by the Area/Agency Bureau Awarding Official. Individual students are notified by the Bureau of Indian Education ELO or tribal contractor, and funds are sent to the applicant in care of the college or university financial aid office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications by Indian tribes and tribal organizations to administer the program will be processed within 90 days. Applications by students will be processed within 6 to 10 weeks after all required documents are submitted.

Appeals:

Indian tribes and tribal organizations whose application to administer the program is denied may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900. Individual students may appeal a Bureau of Indian Affairs official's decision to the BIE Education Line Officer under the procedures contained in 25 CFR Part 2, "Appeals from Administrative Actions."

Renewals:

Awards to Indian tribes and tribal organizations may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process. Grants to students may be continued through the undergraduate level if the student maintains acceptable progress and academic standing. Renewal applications must be submitted annually with updated grades or transcript.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards to Indian tribes and tribal organizations are made on an annual basis. Grants to students are generally made for the academic year on a quarter or semester basis. A grant is for a period not to exceed 5 years, or the period of time required to complete the course of study. See the following for information on how assistance is awarded/released: Payments may be made in advance or by way of reimbursement. The time of the payments will be negotiated with the Indian tribe or tribal organization.

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. Students applying for continuation of grants must submit grade reports at the end of each academic year. Cash reports are not applicable. Progress reports are not applicable. Indian tribes and tribal organizations are required to submit the SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be maintained by the Indian tribe or tribal organization for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Range is \$300 to \$5,000; Average \$2,700.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 40; 25 CFR Part 900.

Regional or Local Office:

See Regional Agency Offices. See Education Line Officers' addresses in Catalog Appendix IV.

Headquarters Office:

Joe Herrin Headquarters Office: Office of Indian Education Programs, Bureau of Indian Affairs, MS-4657 MIB, 1849 C Street, N.W., Washington, District of Columbia 20240 Email: joe.herrin@bie.edu Phone: (202)208-7658

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

15.108 Indian Employment Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

All Indian tribes and tribal organizations meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the Indian tribe through participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process, or by the Office of Self Governance tribes. Final determination to fund student applications is based on the individual's eligibility and total dollars available to the Indian tribe or tribal organization or local Bureau office.

15.124 INDIAN LOANS ECONOMIC DEVELOPMENT

(Loan Guaranty, Insurance, and Interest Subsidy Program)

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Reorganization Act of 1934, Section 10, Public Law 73-383, 48 Stat. 986, 25 U.S.C. 470; Public Law 93-262, 88 Stat. 77 through 83, 25 U.S.C. 1451; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To provide assistance to Federally Recognized Indian Tribal Governments, Native American Organizations, and individual American Indians in obtaining financing from private sources to promote business development initiatives to improve the economies of Federally Recognized Indian Reservations.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Loans may be used to finance commercial, industrial, agricultural, or business activities, which benefit Federal Indian Reservation economies. Loan guarantees to private lenders will only be provided if funds otherwise would be unavailable to the borrower.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments, Native American Organizations authorized by Indian tribal governments, and individual American Indians.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments, Native American Organizations, and individual American Indians or Alaska natives. Complete

information on beneficiary eligibility is found in 25 CFR, Part 103.

Credentials/Documentation:

Individual applicants must submit a certificate signed by Bureau Agency Superintendent or authorized Tribal representative that indicates the applicant is an enrolled member or registered with a Federally recognized Indian tribal government. Federally Recognized Indian Tribal Governments and Native American Organizations must submit a resolution of the governing body of the Indian Tribe. All applicants must demonstrate their inability to obtain financing through the same institutions serving other citizens. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for loan guarantees should be submitted by the lender at the local Indian Loan Guaranty Program Zone Office. Lenders should contact the local office for information on documentation needed to complete an application.

Award Procedure:

Action approving or disapproving loans is taken at various levels pursuant to delegated authority. The local Indian Loan Guaranty Program Zone Office notifies applicants of action taken on applications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

15 to 30 days depending upon completeness of loan package.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application by a Bureau of Indian Affairs official to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 2.

Renewals:

Contact the headquarters or regional office.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The maximum percentage of guaranty is 90 percent of unpaid principal and interest. Borrower must have 20 percent equity in business being financed.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loan guarantees are limited to no more than 30 year terms. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Lenders will submit quarterly reports on the status of loans to the Director of Indian Affairs Region in which the loan was made. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Federally Recognized Indian Tribal Governments, Native American Organizations, and tribal enterprises are responsible for obtaining audits.

Records:

Loan records must be maintained for the life of the loan.

Account Identification:

14-4415-0-3-452.

Obligations:

(Guaranteed/Insured Loans) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

For individuals and tribal enterprises, \$150,000 to 10,500,000. For Federally Recognized Tribal Governments and Native American Organizations, \$10,000,000 to \$38,000,000.

TAFS Codes:

14-00-0000-0000-4415-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 103.

Regional or Local Office:

See Regional Agency Offices. Lenders must submit applications to the local Indian Loan Guaranty Zone Office Appendix IV of the Catalog or with the Tribal Government administering the program.

Headquarters Office:

Philip Viles, Office of Indian Energy and Economic Development, Assistant Secretary Indian Affairs, 1951 Constitution Ave, NW., MS-20-SIB, Washington, District of Columbia 20240 Phone: (202) 513-7683.

Website Address:

No Data Available

RELATED PROGRAMS:

10,766 Community Facilities Loans and Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Economic enterprises must demonstrate a reasonable prospect for repayment, and be at least 51 percent Indian owned. Project must provide economic development to a federally recognized Indian reservation.

15.130 INDIAN EDUCATION ASSISTANCE TO SCHOOLS

Johnson-O'Malley

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Johnson-O'Malley Act of April 16, 1934; as amended, 25 U.S.C. 452; Indian Self Determination and Education and Assistance Act, Public Law 93-638; 25 U.S.C. 455-457.

OBJECTIVES:

To fund programs that meet the unique and specialized needs of eligible Indian students.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used for supplemental programs to meet the special educationally related needs of eligible Indian students. Funds under this program may not be used for capital expenditures.

Applicant Eligibility:

Tribal organizations, Indian Corporations, school districts or States which have eligible Indian children attending public school districts and have established Indian Education Committees to approve supplementary or operational support programs beneficial to Indian students. Current funding is calculated with the 1995 JOM student count.

Beneficiary Eligibility:

Children who are enrolled members of, or at least one-fourth or more degree of

Indian blood descendant of a member of a federally recognized Indian tribal government eligible for service by the Bureau, and are between age 3 through grade 12 with priority given to those residing on or near Indian reservations.

Credentials/Documentation:

As required for contract under 25 CFR Part 900. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

BIA will provide technical assistance when requested by a tribal organization. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application forms may be obtained from the BIE Education Line Officer. Completed applications which include an education plan based on needs assessments and an estimated budget are sent to the appropriate BIA Area or Agency Office.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation and Office of Self Governance budget formulation process. Contracts with Indian corporations, States and school districts are negotiated with the BIE Education Line Officer based on their 1995 JOM student count.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. See the following for information on how assistance is awarded/released: Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe.

Reports:

Annual reports required following the close of the year, as specified in 25 CFR 273. Cash reports are not applicable. Progress reports are not applicable. SF-425, Federal Financial Report required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of

the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Range is \$100 to \$3,360,980; TPA Average \$77,300.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 273; 25 CFR Part 900.

Regional or Local Office:

See Regional Agency Offices. See Education Line Officers' addresses in Catalog Appendix IV.

Headquarters Office:

Jennifer Davis Bureau of Indian Education
MS- 4657 MIB
1849 C Street N.W., Washington, District of Columbia 20240 Email:
Jennifer.Davis@bie.edu Phone: (202) 208-4397

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Johnson-O'Malley Parent Committee determination through needs assessments.

15.133 NATIVE AMERICAN BUSINESS DEVELOPMENT INSTITUTE (NABDI)

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.

OBJECTIVES:

Under its Native American Business Development Institute (NABDI) conceived in FY 2006, IEED has developed partnerships with U.S. graduate schools to assist tribal business assess financial opportunities and prepare economic feasibility studies. Assistance by way of Public Law 93-638 agreements between tribes and participating business schools. During FY 2006-2010, NABDI assisted tribes to analyze the potential of economic opportunities as diverse as a business park, a meat packing plant, a wind energy project, a security business, a medical supply business, upland bird hunting, new uses for a dormat tribal wellness/recreation center, and a greenhouse heated by way of woody biomass. Starting in FY 2011, NABDI funding will be dispersed on a competitive basis following notice in the Federal Register. Applicants will be free to choose private consultants in addition to graduate schools.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Federally recognized tribes whose lands are held in trust or restricted fee by the Federal government.

Applicant Eligibility:

Federally Recognized Indian Tribal governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal governments and their members.

Credentials/Documentation:

This is an annual program whose primary objective is to create jobs and foster economic activity within tribal communities. When funding is available, IEED will solicit proposals for feasibility studies and reservation-wide plans. To receive these funds, tribes may use the contracting mechanism established by Public Law 93-638, the Indian-Self Determination Act or may obtain adjustments to their funding from the Office of Self-Governance. See 25 U.S.C. 450 et. seq. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications should be submitted to IEED, Division of Indian Energy Policy Development, Room 20 - South Interior Building, 1951 Constitution Avenue, NW Washington DC 20245.

Award Procedure:

As funding is limited, applications are juried by IEED staff and selections are approved by the Director, IEED.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

30 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements are made on an annual basis and the funds remain available until expended by the contractor. See the following for information on how assistance is awarded/released: The timing of payments will be negotiated by the Regional Office, Contract Officer and the contractor.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report SF-425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of the final payment. Property records must be retained for three

years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-7-8-452.

Obligations:

(Formula Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Determined on an annual basis, subject to appropriations.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None. Regional Office Contract Officer.

Headquarters Office:

Director IEED, Division of Indian Energy Policy Development, Room 20 - South Interior Building, 1951 Constitution Avenue, NW, Washington, District of Columbia 20245 Phone: (202) 219-0740 Fax: (202) 208-4564

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Submission of application in response to Federal Register Notice.

15.141 INDIAN HOUSING ASSISTANCE

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To use the Housing Improvement Program (HIP) resources of the Bureau of Indian Affairs to eliminate substantially substandard Indian owned and inhabited housing for very low income eligible Indians living in approved tribal service areas. This effort is assisted by the Indian Health Service (Department of Health and Human Services) which provides water and sanitary systems for houses repaired or built with HIP funds.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS

USES AND USE RESTRICTIONS:

The program is primarily devoted to providing decent, safe, and sanitary housing through renovations, repairs, or additions to existing homes. The program will build an entire house in situations where no other program can meet the need in the immediate or near future. Technical assistance is provided to Indian tribes to establish housing plans and determine the extent and use of the Bureau's Housing Improvement Program. The program is restricted to use within reservations and approved tribal service areas. For more specific information contact the headquarters office.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal organizations to administer the program who have eligible applicants with identified housing

needs. Individual members of Federally recognized Indian tribes living in approved tribal service areas in need of housing assistance who are unable to obtain assistance from any other source, and who meet the eligibility criteria of the HIP regulations (25 CFR Part 256 "Housing Improvement Program").

Beneficiary Eligibility:

Individual members of Federally recognized Indian tribes.

Credentials/Documentation:

Initial applications by Indian tribes and tribal organizations must be accompanied by an authorizing resolution of the governing body of the Indian tribe(s) to be served. Individual Indians must furnish information required by 25 CFR Part 256, basically proving membership in a Federally-recognized Indian tribe and financial inability to obtain assistance for repairs or new housing. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Funds may be used in conjunction with other Federal programs, such as Indian Health Service for water and sanitary facilities, or privately financed programs that are appropriate to repair or build housing. An informal conference with Bureau of Indian Affairs agency representative is recommended. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications from Indian tribes and tribal organizations must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed application should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV. Individual Indians should submit written applications to the local tribal servicing housing office, or local Bureau of Indian Affairs agency or regional office listed in Appendix IV.

Award Procedure:

The dollar value of the award to Indian tribes and tribal organizations is determined through the use of annual tribal work plans identifying eligible applicants and through prioritization under the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

Applicants whose request to administer the program is denied may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR part 900. An individual whose request is denied may appeal a Bureau of Indian Affairs official's decision to the Regional Director under the procedures contained in 25 CFR Part 2, "Appeals From Administrative Actions."

Renewals:

Awards to Indian tribes and tribal organizations to administer the program may be renewed indefinitely upon satisfactory performance by the contractor. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of changes in the identified eligible applicants and in individual tribal priorities established in the budget formulation process. For individual Indians, receipt of program services represent one-time direct assistance.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For Indian tribes and tribal organizations awards are made on an annual basis and the funds remain available until expended by the contractor. See the following for information on how assistance is awarded/released: Payments

may be made in advance or by way of reimbursement. The timing of payment will be negotiated with the Indian tribes.

Reports:

Program reports are not applicable. Cash reports are not applicable. Program progress reporting requirements will be negotiated with the contractor. For Indian tribes and tribal organization administering the program SF-425, Federal Financial Reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2301-0-1-452.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

For HIP, maximum of \$35,000 for repairs and renovations; \$2,500 for interim improvements. The average cost of repair has been approximately \$17,500. New housing does not have a specified maximum amount but is intended to provide only a modest standard dwelling. Average new housing construction cost has been approximately \$100,000.

TAFS Codes:

14-00-0000-0000-2301-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 Part 256, "Housing Improvement Program;" 25 CFR Part 900, "Contracts under the Indian Self-Determination and Educational Assistance Act;" and OMB Circular No. A-87, "Cost Principles for State, Local and Indian Tribal Governments."

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for Regional Office addresses.

Headquarters Office:

Deputy Director, Office of Tribal Services, Bureau of Indian Affairs, 1849 C St., N.W., MS 4513 MIB, Washington, District of Columbia 20240 Phone: (202) 513-7640.

Website Address:

No Data Available

RELATED PROGRAMS:

10.410 Very Low to Moderate Income Housing Loans; 14.850 Public and Indian Housing

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Indian tribes and tribal organizations meeting the requirements of 25 CFR Part 900 will be selected if a viable tribal work plan identifying eligible applicants, the category of assistance needed, the estimated project cost for each eligible

applicant, and a report of prior year accomplishments is submitted and the tribe has high priority ranking eligible applicants for which sufficient funding is available for the needed project or the program has been prioritized by the Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process. Individual Indians must meet the eligibility requirements contained in 25 CFR Part 256. Requests are made by individuals to the local tribal servicing housing office or to local BIA agencies for repair or new housing assistance. Starting with the most needy, regardless of the category of services required, applicants are served until available funds are exhausted.

15.144 INDIAN CHILD WELFARE ACT TITLE II GRANTS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Child Welfare Act; Public Law 95-608, 92 Stat. 3075, 25 U.S.C. 1901.

OBJECTIVES:

To promote the stability and security of American Indian tribes and families by protecting American Indian children and preventing the separation of American Indian families and providing assistance to Indian tribes in the operation of child and family service programs designed to prevent the break up families.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Operation and maintenance of counseling facilities, family assistance (homemaker and home counselors), protective day care, and after school care, recreational activities, respite care, employment of professionals to assist tribal courts personnel, education and training, foster care subsidy programs, legal advice and representation, home improvement programs with the primary emphasis of upgrading unsafe home environments, preparation and implementation of child welfare codes, and providing matching shares for other Federal programs.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

American Indian children and families.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedures are contained in 25 CFR Part 23, Subpart C "Grants to Indian Tribes for Title II Indian Child and Family Service Programs." The application must contain the information specified in Section 23.33 "Tribal Government Application Content." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV.

Award Procedure:

In most instances, awards can be approved at the agency or regional level. The dollar value of the grant depends upon the amount that has been prioritized by the Indian tribe through participation in the Bureau of Indian Affairs budget formulation process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

An unsuccessful applicant may appeal a Bureau of Indian Affairs official's decision under the procedures contained in 25 CFR Part 23, Subpart F "Appeals," and 25 CFR Part 2 "Appeals from Administrative Actions."

Renewals:

Grants may be renewed indefinitely upon satisfactory performance by the grantee. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are made on an annual basis and the funds remain available until expended by the tribe/grantee. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office, as appropriate, for application deadlines.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report, SF 425 is required. Program accomplishment reporting requirements are specified in 25 CFR Part 23, Subpart E, Section 23.47 "Reports and Availability of Information to Indians."

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

\$26,449 to \$750,000; \$60,000.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 23; 25 CFR 2.

Regional or Local Office:

See Regional Agency Offices. Information can be secured from the Agency Superintendents and from Regional Directors. See Appendix IV of the Catalog for addresses.

Headquarters Office:

Deputy Director, Office of Tribal Services, Services, Bureau of Indian Affairs, 1849 C Street, N.W., MS 320 SIB, Washington, District of Columbia 20240 Phone: (202) 513-7640.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Only applicants who received Indian Child Welfare Act Title II grants in 1994 and who meet the requirements contained in 25 CFR Part 23 will be funded if the program has been prioritized by the individual Indian tribe through participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.146 IRONWORKER TRAINING**FEDERAL AGENCY:**

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Adult Vocational Training Act of 1956, Public Law 84-959, 70 Stat. 986, as amended; Public Law 88-230, 77 Stat. 471, 25 U.S.C. 309.

OBJECTIVES:

To provide ironworker vocational training, apprenticeships, and job placement to eligible American Indians through the National Ironworkers Training Program, located in Broadview, Illinois.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible American Indians who reside on or near an Indian reservation may receive vocational training in ironworking and assistance with job placement upon completion of the program.

Applicant Eligibility:

Applicants must be an American Indian who is a member of a Federally Recognized Indian Tribe, at least 18 years old, possess a high school diploma or General Equivalency Development (GED) Certificate, be in good physical health, and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs.

Beneficiary Eligibility:

American Indian who is a member of a Federally Recognized Indian Tribal Government, at least 18 years old, possess a high school diploma or General Equivalency Development (GED) Certificate, be in good physical health, and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs. Complete information on beneficiary eligibility is found in 25 CFR, Part 26.

Credentials/Documentation:

Applicant must submit a certificate signed by a Bureau Agency Superintendent or authorized Tribal representative that indicates the applicant is an enrolled member or registered with a Federally Recognized Indian Tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should apply for program services on Bureau of Indian Affairs Form BIA-8205 at the nearest Bureau Employment Assistance office or tribal government office.

Award Procedure:

Individual applications are processed at the Bureau agency or tribal contract offices to determine eligibility.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

1 to 90 days.

Appeals:

Appeals may be made to the Bureau of Indian Affairs' Agency Superintendent or to the Regional Director. The complete appeal process for an individual is found in 25 CFR, Part 2, "Appeals of Administrative Actions." Appeals of a Tribal contractor's decision must be made under the Tribe's appeal procedures.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance for Ironworker training is not to exceed 12 weeks. Payments for subsistence and related training costs are released as required by beneficiary. Job placement assistance is provided upon completion of training. See the following for information on how assistance is awarded/released: None.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

14-2100-0-1-452.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Students receive \$185 per week for the duration of the program for room and board and miscellaneous expenses. Work clothes and tools are also provided.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 26.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed at the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog. For direct contact: , Mr. Russell Geishwnd, Director, National Ironworkers Training Program for American Indians, 1819 Beach Street, Broadview, Illinois 60153. Telephone (708)345-2344.

Headquarters Office:

Lynn Forcia, Office of Indian Energy and Economic Development, Division of Workforce Development, Assistant Secretary of Indian Affairs, 1951 Constitution Ave., N.W., MS-20-SIB, Washington, District of Columbia 20245 Phone: (202) 219-5270.

Website Address:

No Data Available

RELATED PROGRAMS:

15.060 Indian Vocational Training_United Tribes Technical College; 15.108 Indian Employment Assistance; 15.114 Indian Education_Higher Education Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Applications are received for both vocational training and direct employment participants. Final determination to fund an application is based on the individual's eligibility.

15.147 TRIBAL COURTS TRUST REFORM INITIATIVE**FEDERAL AGENCY:**

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; American Indian Trust Fund Management Reform Act of 1994, Public Law 103-412, 25 U.S.C. 4001 et seq.

OBJECTIVES:

To provide grant funds to Federally Recognized Indian Tribal Governments that operate a judicial branch of government which has assumed the increased responsibilities required by 25 CFR Part 115--Trust Funds for Individual Indians Money accounts.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Under 25 CFR Part 115, tribal courts may assume responsibility for appointing guardians, determining competency, awarding child support from Indian Individual Money (IM) accounts, determining paternity, sanctioning adoptions, marriages and divorces, making presumptions of death. Funds under this program may be used for salaries and related expenses of tribal court judges, prosecutors, defenders, clerks of court, and other court personnel. However, funds provided are specifically made available to tribal courts that assume the additional responsibility under 25 CFR Part 115 and are not intended to be used as general operating funds for a judiciary and may not be reprogrammed for other tribal uses.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments that operate a judicial branch of government which has assumed the increased responsibilities required by 25 CFR Part 115.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments that operate a tribal judicial branch which has assumed the increased responsibilities required by 25 CFR Part 115 and its members.

Credentials/Documentation:

Application must be accompanied by a Certification of the governing body of the Federally Recognized Indian Tribal Government attesting to the fact that: (1) The tribal court has adopted and made accessible the court rules setting forth the procedures to adjudicate these cases; (2) Tribal court personnel have been trained to process these cases and the court is staffed to fulfill the tribal legislative mandate, and (3) The tribal justice system is one that serves as the judicial component of a tribal government which is federally recognized by the United States Government. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. A notice of fund availability will be published in the Federal Register to announce the availability of grant funds, the amount of funding available and the requirements for eligibility including a deadline for filing applications. An application must be submitted on the form entitled "Application for Federal Assistance." (Standard Form 424, Rev 7-97). The Federally Recognized Tribal Government will be required to provide a certification as a response to Item #11 "Descriptive Title of Applicant's Project", in the SF-424, that the threshold requirements for the grant funds are met. Applications will be submitted to the Headquarters Office.

Award Procedure:

Funds will be distributed to tribal courts based on the cost per case as determined by the data submitted on SF 424 by all qualified applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may appeal the decision under the regulations contained in 25 CFR Part 2.

Renewals:

Grants will be awarded on an annual basis. An application will need to be submitted each year to qualify to receive grant funds, until it is determined that the fund will be awarded as a part of the permanent tribal base.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance: Awards are made on an annual basis and the funds remain available until expended by the grantee. See the following for information on how assistance is awarded/released: The timing of the payments will be negotiated with the Indian tribe.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Financial status reports, SF 269A, are required. Program accomplishment reporting requirements will be negotiated with the grantee.

Audits:

Not Applicable.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

\$3,000 to \$216,000; \$50,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 276; 25 CFR Part 2; 25 CFR Part 115.

Regional or Local Office:

See Regional Agency Offices. Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Ralph Gonzales, Office of Tribal Services, Bureau of Indian Affairs, MS 320-SIB, 1849 C Street, NW., MS 320-SIB, Washington, District of Columbia 20240 Phone: (202) 513-7641.

Website Address:

No Data Available

RELATED PROGRAMS:

15.029 Tribal Courts

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All qualified applicants will be funded. A qualified applicant is a tribal government submitting an application for funding by the deadline specified for a tribal court meeting the following threshold requirements: (1) The tribal government has enacted the codes necessary for the tribal justice system to carry out its responsibility under 25 CFR Part 115; (2) The tribal court has adopted and made accessible the court rules setting forth the procedures to adjudicate these cases; (3) Tribal court personnel have been trained to process these cases and the court is staffed to fulfill the tribal legislative mandate; and (4) The tribal justice system is one that serves as the judicial component of a tribal government which is federally recognized by the United States Government.

15.148 TRIBAL ENERGY DEVELOPMENT CAPACITY GRANTS (TEDC)**FEDERAL AGENCY:**

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Title XXVI (Indian Energy) of the Energy Policy Act of 1992, as amended (25 CFR, Section 3502(a)(2)(A)).

OBJECTIVES:

To provide development grants to Indian tribes for use in developing and sustaining the managerial and technical capacity needed to develop their energy resources, and to properly account for resulting energy production and revenues. Proposals from tribes should strive to achieve the following stated goals: Evaluated the type and range of energy development activities that a tribe want to assume under a TERA; Determine the current level of scientific, technical, administrative, for financial management capacity of the tribe to assume responsibility for the identified development activities; and determine which scientific, technical, administrative, or financial management capacities need enhancement and what process and/or procedures the grantee may use to eliminate these capacity gaps.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Federally recognized tribes whose lands are held in trust or restricted fee by the Federal government. For more information contact the headquarters office.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Tribal Governments and their members.

Credentials/Documentation:

Application for financial assistance must contain a formal signed resolution of the governing body of the tribe; a proposal describing the planned activities and deliverable products; and a detailed budget estimate. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must meet all requirements published in the Federal Register notice and be submitted to IEED, Division of Indian Energy Policy Development, Room 20 - South Interior Building, 1951 Constitution Avenue, NW, Washington, DC 20245.

Award Procedure:

Recommendations from an IEED technical evaluation committee must be

approved by the Director, IEED.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

30 to 45 days.

Appeals:

An applicant with a rejected TEDC proposal may appeal the denial of the application to the Department of the Interior's Board of Indian Appeals or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

The TEDC program cannot award multi-year funding for a project. Funding available for building energy development capacity is subject to annual appropriations by Congress and therefore IEED can only consider single-year projects.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the recipient. The timing of payments will be negotiated by the Regional Office, Contract Officer, and the recipient. See the following for information on how assistance is awarded/released: Information not available.

Reports:

During the life of the TEDC project, SF-425 Federal Financial Reports and quarterly progress reports are to be submitted to the IEED project coordinator assigned to the project. The beginning and ending quarter periods are based on the actual start date of the TEDC project. This date can be determined between the IEED project coordinator and the tribe. The quarterly report can be a one to two page summary of events, accomplishments, problems and/or results that took place during the quarter. Quarterly reports are due two weeks after the end of the a project's fiscal quarter. For Final Report, the tribe must deliver all products and data generated by the proposed assessment project to IEED through the TEDC project coordinator within two weeks after completion of the project. Cash reports are not applicable. Progress reports are not applicable. The SF 425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of the final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-7-8-302.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Determined on an annual basis, subject to appropriations.

TAFS Codes:

14-00-0000-0000-2100-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Published in Federal Register Notice annually.

Regional or Local Office:

See Regional Agency Offices. Regional Office Contract Officer.

Headquarters Office:

Director IEED, Division of Indian Energy Policy Development, Room 20 - South Interior Building, 1951 Constitution Avenue, Washington, District of Columbia 20245 Phone: (202) 219-0740 Fax: (202) 208-4564

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

The proposal ranking criteria factors are as follows: (1) Resource potential; (2) Energy development history and current status; (3) Existing energy development capabilities; (4) Intent to develop and retain energy development capacity within tribal government or business entities; (5) Demonstrated willingness to develop independent energy development business entity; and (6) Tribal commitment to staff, training, or monetary resources.

15.149 FOCUS ON STUDENT ACHIEVEMENT**FOCUS****FEDERAL AGENCY:**

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.; The No Child Left Behind Act of 2001, Public Law 107-110, 20 U.S.C. 7401 et seq.

OBJECTIVES:

The FOCUS program targets schools where student achievement is close to meeting annual measurable objectives as set by their states achievement test and where additional resources could facilitate achievement of Adequate Yearly Progress (AYP) as required by Public Law 107-110, the No Child Left Behind Act of 2001.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

FOCUS funds may be used for staff development and to implement proven instructional strategies designed to achieve annual growth for all students and catch-up growth for students performing below grade level expectations in reading and math.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian tribal governments on reservations with Bureau-funded schools may apply to administer the program.

Beneficiary Eligibility:

Children between the ages of 5 and 21 who are members of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Tribe should contact the Education Line Officer.

Award Procedure:

The BIE conducts a thorough review of all BIE schools AYP status and data. The BIE then funds schools that are at the cusp of nearly meeting AYP status. Applications should be submitted no later than June 1 of the year in which the applicant wishes to begin the program.

Deadlines:

Jun 01, 2013 June 01, 2013 applications should be submitted no later than June 1 of the year in which the applicant wishes to begin the program.

Range of Approval/Disapproval Time:

Applications by Tribal governments to administer the program will be processed within 90 days; and or after AYP determination is made by BIE.

Appeals:

Tribal Governments wishing to appeal should write to the Director, Bureau of Indian Education. Appeals beyond this level are covered by 25 CFR Part 2.

Renewals:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Funds are added to the recipient schools Public Law 100-297 Grant or Public Law 93-638 Contract on July 1. Awards to Tribal Governments to administer the program may be renewed every year based upon satisfactory performance. A notice of intent to participate should be submitted yearly.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis based on satisfactory performance, and the funds remain available until expended by the contractor or grantee. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released.

Reports:

Program reports, annual participation data, and other program data requested are required. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Range is \$100,000 to \$225,000; Average \$153,333.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Use of funds must meet the purpose and intent of funding.

Regional or Local Office:

See Regional Agency Offices. See BIE Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Joel Longie Bureau of Indian Education, Division of Performance and Accountability, BIA Building 2, 1011 Indian School Road, N.W., 3rd Floor, Suite 332, Albuquerque, New Mexico 87104 Email: joel.longie@bie.edu Phone: (505) 563-5250

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

15.042 Indian School Equalization Program; 15.043 Indian Child and Family Education; 15.044 Indian Schools_Student Transportation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on school performance as measured by their states achievement test and the review of the team appointed by the Coordinator.

15.150 JUVENILE DETENTION EDUCATION**FEDERAL AGENCY:**

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Public Law 103-413, Title II Tribal Self-Governance Act; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.

OBJECTIVES:

The Juvenile Detention Education Program was designed to provide education services to detained and incarcerated youth in the 24 Bureau of Indian Affairs (BIA) funded juvenile detention centers (JDCs).

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Funds must be used to provide educational services to detained and incarcerated Indian youth in BIA funded JDCs.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian tribal governments on reservations with BIA-funded JDCs.

Beneficiary Eligibility:

Children between the ages of 5 and 21 who are members of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Tribe should contact the Education Line Officer.

Award Procedure:

Education funds for JDCs are included in the recipient Tribes Public Law 93-638 contract or Public Law 103-413, Title II Tribal Self-Governance Act Grant.

Deadlines:

Oct 01, 2011 October 01, 2011: Eligible detention centers should notify BIE of their need for juvenile detention funds.

Range of Approval/Disapproval Time:

Applications by Tribal governments to administer the program will be processed within 90 days.

Appeals:

Tribal Governments wishing to appeal should write to the Director, Bureau of Indian Education. Appeals beyond this level are covered by 25 CFR Part 2.

Renewals:

Awards to Tribal Governments to administer the program may be renewed every year based upon satisfactory performance and the availability of funds. A notice of intent to participate should be submitted yearly.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis based on satisfactory performance, and the funds remain available until expended by the contractor or grantee. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released.

Reports:

Detention centers receiving juvenile detention funds are to submit an annual report by October 31 each year of their education related juvenile detention activities and expenditures. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

In Fiscal Year 2010 the average grant/and contract was \$34,444, minimum

grant was \$19,000, maximum grant was \$56,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

A copy of the guidelines may be obtained by contacting the BIE Education Line Officers.

Regional or Local Office:

See Regional Agency Offices. See BIE Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Jeffrey Hamley Bureau of Indian Education, Division of Performance and Accountability, BIA Building 2, 1011 Indian School Road, N.W., 3rd Floor, Suite 332, Albuquerque, New Mexico 87104 Email: jeffrey.hamley@bie.edu Phone: (505) 563-5250

Website Address:

No Data Available

RELATED PROGRAMS:

15.042 Indian School Equalization Program; 15.043 Indian Child and Family Education; 15.044 Indian Schools_Student Transportation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Bureau funded juvenile detention centers with detained and incarcerated American Indian and Alaska Native juveniles are eligible for funds.

15.151 EDUCATION ENHANCEMENTS

BIE Reads!and Math Counts

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.

OBJECTIVES:

Education Program Enhancements provide resources for special studies, projects, new activities, and other costs associated with enhancing the basic educational programs provided to students. These funds allow BIE to provide specialized assistance to schools struggling to make Adequate Yearly Progress (AYP) that is targeted to address the schools unique needs and specific gaps in achievement. Typically, assistance involves implementation of specialized programs in reading and math, and staff development for principals, teachers and support staff.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds must be used for staff development, and approved math and reading enhancement programs.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian tribal governments on reservations with Bureau-funded schools may apply to administer the program.

Beneficiary Eligibility:

Children between the ages of 5 and 21 who are members of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as

Indians.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Tribe should contact the Education Line Officer.

Award Procedure:

Schools submit an application and sign assurances that they will implement the components of instructional model that includes use of scientifically based research programs in reading and/or math, use of a reading and/or math instructional coach(es), a scheduled block of instructional time in reading and/or math, a multi-tiered instructional delivery, use of specified formative assessments to determine effectiveness of instruction, and participation in professional development for principals, instructional coaches and teachers.

Deadlines:

Jun 01, 2013 Jun 01, 2013. Applications should be submitted no later than June 1 of the year in which the applicant wishes to begin the program.

Range of Approval/Disapproval Time:

Applications by Tribal governments to administer the program will be processed within 90 days.

Appeals:

Tribal Governments wishing to appeal should write to the Director, Bureau of Indian Education. Appeals beyond this level are covered by 25 CFR Part 2.

Renewals:

Awards to Tribal Governments to administer the program may be renewed every year based upon satisfactory performance. A notice of intent to participate should be submitted yearly.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis based on satisfactory performance, and the funds remain available until expended by the contractor or grantee. See the following for information on how assistance is awarded/released. See the following for information on how assistance is awarded/released: Funds are added to the recipient schools Public Law 100-297 Grant or Public Law 93-638 Contract on July 1 or when application is approved.

Reports:

Monthly program reports, annual participation data, screening, work sampling, and other program data requested is required. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been

resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

In Fiscal Year 2012 the average Reads grant was \$23,052, and the maximum Reads grant was \$176,038; the average Math Counts grant was \$17,353 and the maximum Math Counts grant was 232,507..

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

A copy of the guidelines may be obtained by contacting the BIE Education Line Officers.

Regional or Local Office:

See Regional Agency Offices. See BIE Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Joel Longie Bureau of Indian Education, Division of Performance and Accountability, BIA Building 2, 1011 Indian School Road, N.W., 3rd Floor, Suite 332, Albuquerque, New Mexico 87104 Email: joel.longie@bie.edu Phone: (505) 563-5250

Website Address:

No Data Available

RELATED PROGRAMS:

15.042 Indian School Equalization Program; 15.043 Indian Child and Family Education; 15.044 Indian Schools_Student Transportation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on the review of the team appointed by the Coordinator.

15.152 LAND BUY-BACK FOR TRIBAL NATIONS

Buy-Back Program

FEDERAL AGENCY:

Office of the Secretary, Department of the Interior

AUTHORIZATION:

Section 2212(b)(3)(C) of the Indian Land Consolidation Act (ILCA) and the Settlement Agreement in Cobell v. Salazar, as confirmed by the Claims Resolution Act of 2010, Public Law 111-291. Under ILCA, 25 U.S.C. 2212(b), The Provisions of the Indian Self-Determination and Assistance Act, P.L. 93-638, do not apply to the agreements.

OBJECTIVES:

To work in partnership with tribes to reduce the number of fractional interests in trust or restricted lands by purchasing fractional interests from willing sellers and transferring them to the tribe of jurisdiction for purposes benefitting the community.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to enter into cooperative agreements with eligible tribes. Funded activities are restricted to activities supporting the purchase of fractional interests in trust or restricted lands.

Applicant Eligibility:

Eligibility is restricted to federally recognized Indian tribal governments with jurisdiction over fractionated lands as identified in the Land Buy-Back Program for Tribal Nations November 2016 Status Report.

Beneficiary Eligibility:

Federally recognized Indian tribal governments as identified in the Land Buy-Back Program for Tribal Nations November 2016 Status Report.

Credentials/Documentation:

No credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

It is recommended that eligible tribal governments interested in entering into a cooperative agreement first contact the Land Buy-Back Program for Tribal Nations (Buy-Back Program). Technical assistance is available to tribes. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Tribal governments should contact the Buy-Back Program for application procedures.

Award Procedure:

A cooperative agreement will be made to an eligible entity in the specific amounts and for the specific purposes set forth in the signed agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

None. Will vary by application.

Appeals:

Contact the Land Buy-Back Program for Tribal Nations appeal information.

Renewals:

Contact the Land Buy-Back Program for Tribal Nations for renewal and extension information.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance for the cooperative agreements will be dependent on the activities to be funded and is specified in the agreement terms and conditions. See the following for information on how assistance is awarded/released: Funds may be advanced or disbursed. Fund payment frequency will be determined on a case-by-case basis.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. The SF-425 Federal Financial Report and performance report are required as dictated by the agreement terms and conditions. Final reports are due within 90 days of grant completion or termination. The Performance Report is required as dictated by the agreement terms and conditions. Financial reports are due within 90 days of grant completion or termination.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Cooperative Agreements are also subject to inspection and audit by Interior's Office of the Inspector General, Buy-Back Program officials, or any other authorized representative of the Federal government.

Records:

Tribes must preserve, protect, and manage all fiduciary trust records created

and/or maintained during its participation in the Buy-Back Program. Additionally, the tribe must store and permanently retain all fiduciary trust records, active and inactive, at the tribes expense and with full unlimited access thereto by the Department, or allow such records to be removed and stored at the American Indian Records Repository in Lenexa, Kansas.

Account Identification:

14-5670-0-2-452.

Obligations:

(Cooperative Agreements) FY 16 \$4,352,689; FY 17 est \$5,600,000; and FY 18 est \$5,500,000

Range and Average of Financial Assistance:

There is no minimum or maximum award amount. Awards have averaged less than \$400,000.

TAFS Codes:

14-5670.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Buy-Back Program has successfully begun to address fractionation by purchasing fractionated interests from willing sellers at thirty-six locations with several additional locations planned. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, Subpart C, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments
Please visit the website; <http://www.doi.gov/buybackprogram>, for additional literature.

Regional or Local Office:

None.

Headquarters Office:

Faride Kraft Land Buy-Back Program for Tribal nations, Department of the Interior, 1849 C St. N.W., Room 7249, Washington, District of Columbia 20240 Email: faride_kraft@ios.doi.gov Phone: (703)235-8766

Website Address:

<http://www.doi.gov/buybackprogram>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be selected for funding based upon criteria developed by the Land Buy-Back Program for Tribal Nations. These criteria include but are not limited to the completeness of the tribe's proposal, the tribe's capacity to perform land consolidation activities, and the tribe's proposed budget.

15.153 HURRICANE SANDY DISASTER RELIEF COASTAL RESILIENCY GRANTS.

FEDERAL AGENCY:

Office of the Secretary, Department of the Interior

AUTHORIZATION:

Disaster Relief Appropriations Act of 2013; Public Law 113-2; Jan. 29, 2013.

OBJECTIVES:

The purpose of this program is to issue grants for disaster assistance for Hurricane Sandy. Funds shall be used by recipients to assist Interior and its bureaus/offices to restore and rebuild national parks, national wildlife refuges, and increase the resiliency and capacity of coastal habitat and infrastructure to withstand storms and reduce the amount of damage caused by such storms.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Recipients of these grants will have submitted a proposal and competed for

grants to be issued in order to increase the resiliency and capacity of coastal habitat and infrastructure to withstand storms and reduce the amount of damage caused by such storms. Grants will be executed consistent with the projects selected through the competition. Grants are to be used consistent with the approved project selected through the competition. The use of these funds for land acquisition should be explicitly detailed in the grant agreement and is subject to approval by the Executive Council. 100% Discretionary.

Applicant Eligibility:

. anyone / general public.

Beneficiary Eligibility:

. anyone / general public.

Credentials/Documentation:

None. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants shall submit an application package in accordance with the requirements set forth in 43 CFR, Subtitle A, Part 12.

Award Procedure:

Criteria and additional information will be made available at time of announcement and posted online (Grants.gov).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. 30-120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details. (selected programs or projects).

Length and Time Phasing of Assistance:

Dependent of specifics of project. See the following for information on how assistance is awarded/released: Dependent of specifics of project.

Reports:

Recipients must submit a final program report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must submit a final cash report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must submit a final progress report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than

12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records determined by the type of project recipient, in accordance with 2 CFR 200.

Account Identification:

14-0102-0-1-306.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

New program, have not allocated yet.

TAFS Codes:

14-0102.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR, Subtitle A, Part 12

Regional or Local Office:

None.

Headquarters Office:

Megan Olsen Director, Office of Acquisition and Property Management
Department of the Interior
1849 C. Street NW, Room 4257, Washington, District of Columbia 20240
Email: megan_olsen@ios.doi.gov Phone: (202)-513-0692

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a panel of technical experts within the Federal Government, including the Department of the Interior and other Federal agencies. Projects will be judged on combination of factors, including the relevance to the programs objectives, the cost of the project, qualifications of the institution, and other factors. Additional guidance will be provided at time of announcement.

15.154 21ST CENTURY CONSERVATION SERVICE CORPS

None.

FEDERAL AGENCY:

Department of the Interior

AUTHORIZATION:

DOI & Related Agencies Appropriations Act for FY 2008, Title I, P.L. 110-161: 125; 16 U.S.C. 1723 (c) Public Land Corps.

OBJECTIVES:

Through this program, work and training opportunities will be provided to young people and veterans in order to develop the next generation of lifelong conservation stewards and protect, restore and enhance Americas Great

Outdoors.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients of the financial assistance will provide work and training opportunities to young people and veterans in furtherance of the principles of the 21st Century Conservation Service Corps. Activities can include outreach coordination, management of volunteer programs, improving recreation access, preserving/repairing/rebuilding public lands and structures, protecting habitat, protecting communities from wildfire, conducting research and needs assessment, eradication of invasive and noxious weeds, and supporting hydrology programs. Grants and cooperative agreements are to be used consistent with approved projects. Funds are set aside for discretionary activities.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

DUNS number and CCR registration is required. Proof of non-profit status is required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Criteria and additional information will be posted on Grants.gov.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Information not available. See the following for information on how assistance is awarded/released: Contact headquarters for more information.

Reports:

Program reports are not applicable. Required. Quarterly progress, expenditure, cash reports and performance monitoring reports are required. Required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records determined by the type of project recipient, in accordance with 2 CFR

200.

Account Identification:

01-4141-5-0-102.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - FY 16 estimate 1,000,000.

Range and Average of Financial Assistance:

Smallest: \$25,000 Largest: \$1,800,000.

TAFS Codes:

01-4-14-15-0102.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Megan Olsen Department of the Interior
1849 C. Street NW, Room 4257, Washington, District of Columbia 20240
Email: megan_olsen@ios.doi.gov Phone: 202-513-0692

Website Address:

<http://www.doi.gov/youth/index.cfm>

RELATED PROGRAMS:

15.254 Summer Watershed Intern; 15.546 Youth Conservation Program; 15.550 Increasing Public Awareness of Recreational Opportunities at Reclamation Reservoirs through Fishing and Boating Events for Physically Challenged and Disadvantaged Children.; 15.676 Youth Engagement, Education, and Employment Programs; 15.931 Conservation Activities by Youth Service Organizations

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Additional guidance will be provided at time of announcement.

15.155 OFFICE OF THE SPECIAL TRUSTEE FOR AMERICAN INDIANS, FIELD OPERATIONS

(OST- Field Ops)

FEDERAL AGENCY:

Office of the Secretary, Department of the Interior

AUTHORIZATION:

The American Indian Trust Fund Management Reform Act of 1994, 25 USC 4025(a) ; The American Indian Trust Fund Management Reform Act of 1994, 25 USC 4045(b);; The American Indian Probate Reform Act of 2004, 25 USC 2206(f).

OBJECTIVES:

The objectives of these OST grants will be to support and initiate a wide range of projects that facilitate Trust Improvement and Reform, including but not limited to the areas of Probate/Estates, Individual and Tribal Financial Empowerment, Trust Asset Management, Investments, and Trust administration processes generally.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used by to support a wide range of Trust Reform initiatives, including will clinics, Estate Planning, development and administration of informational seminars, and development and administration of conventional and alternative asset management processes and other trust reform activities. All funds must be expended on behalf of American Indians or Alaska Natives, as defined in any Federal Statute. Funds may be used for salaries of personnel

specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential travel expenses and student stipends; and other expenses related to the project. Indirect costs are allowed for administrative costs incurred as a result of the project, generally limited to 15 percent of direct costs. It is expected funds expended for salaries will be used in a modest and reasonable manner, using the Federal pay scale as a guide.

Applicant Eligibility:

Eligible applicants are: Indian tribes; Alaska Native Corporations; Indian or Alaska Native Foundations; Indian or Alaska Native non-profits; qualifying corporations; qualifying contractors; qualifying individual consultants, Law Schools accredited by a recognized body or bodies or state agency, Legal Aid organizations and ULCs. Schools must be located in the 50 states or the District of Columbia.

Beneficiary Eligibility:

Beneficiaries will include organizations that will provide direct and defined service to Tribal and Individual beneficiaries. Eligible beneficiaries are: Alaska Native Corporations; Indian or Alaska Native Foundations; Indian or Alaska Native non-profits; qualifying corporations; qualifying contractors; Law Schools accredited by a recognized body or bodies or state agency, Legal Aid organizations and ULCs. Schools must be located in the 50 states or the District of Columbia. Project participants must be in an accredited program, a citizen of the United States, a non-citizen national of the United States or a foreign national who possesses a visa permitting permanent residence in the United States. Tribal Government Beneficiaries: Federally Recognized Tribal Governments Legal Aid and Assistance programs that are qualified to perform the work specified in the Grant Notice.

Credentials/Documentation:

Service Provider Documentation will include: Proof of accreditation, certification, of 501c3 status, articles of incorporation, and professional licenses if required. Documentation, required at the point of service, may include Tribal Enrollment Number, Land Asset Number or IIM Account Number of the client served. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An informal conference with an OST project management representative is recommended. OMB Circulars A-102 and A-110 apply to this program. Applications shall include detailed information as required in the Funding Opportunity Notice (Grants Notice). Completed applications should be submitted using the grants.gov website at : <http://www.grants.gov/web/grants/applicants/apply-for-grants.html> .

Award Procedure:

The dollar value of the award depends upon the amount that is available for the project and will be set in accordance with project requirements (specified in the Grants Notice). The Interior Business Center will inform the Awardee as to the details of the grant as awarded.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals.

Renewals:

Funding agreements may be for a single or multi-year period and renewed indefinitely upon satisfactory performance by the Grantee. However, any renewal is subject to availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the service provider/grantee, or until the end of the Calendar year. Awards can also be made at the beginning of each quarter, depending on availability of funds and project requirements. P. See the following for information on how assistance is awarded/released: Payments may be made in advance or by way of reimbursement. The timing of payments will be arranged with the grantee.

Reports:

Reporting expectations will be specified in the Grant offer, but generally include: tracking and accounting for expenditures; and monthly reports on project performance and progress. Reporting requirements will be detailed and comprehensive. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-0000-0-0-000 - 14XD0120AF/DT28400000, 15XD0120AF/DT28400000.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - FY 2016 projection 250000.

Range and Average of Financial Assistance:

FY 16: 6000.00- 149,000.00 (projected) Average award: unknown.

TAFS Codes:

14-00-000-0000-0000-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Deputy Regional Trust Administrator- Field operations 4400 Masthead Ave, NE Albuquerque NM 87109. Phone number is 505-816-1258.

Headquarters Office:

Megan Olsen Department of the Interior
1849 C. Street NW, Room 4257, Washington, District of Columbia 20240
Email: megan_olsen@ios.doi.gov Phone: 202-513-0692

Website Address:

<http://www.doi.gov/ost>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All complete applications will be considered and the following criteria will be

applied prior to an award being issued: Tribal Government Endorsement, Experience of the Applicant in serving the communities identified, Cost/benefit analysis, and Risk/benefit analysis.

15.156 TRIBAL CLIMATE RESILIENCE

Climate Change

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, as amended, 25 U.S.C. 13. For FY14 (2 year funding) P.L. 113-76, and FY15 (two year funding) P.L. 113-164.

OBJECTIVES:

The Cooperative Landscape Conservation (CLC) program provides funds and technical support to enable tribal governments and trust land managers to better understand potential impacts and vulnerabilities of communities, ecosystems and built systems to climate-related change, to enable them to develop information and tools to support planning and decision making, to implement strategies that improve the preparedness and resilience of communities in the face of a changing climate and address the potential for increased peak weather events. The program also enables tribal participation in ocean and coastal planning.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds may be used in a variety of ways to strengthen the capabilities of Indian tribes and Alaska Native Villages, consortia, and organizations to support climate resilience and preparedness in community and program level planning, training, technical support, development of tools, monitoring, response and associated needs. Contact the BIA headquarters office for more information on each category of support.

Applicant Eligibility:

Direct Support Awards: Federally Recognized Indian Tribal Governments, Native American Organizations authorized by Indian tribal governments and Native American non-profit organizations, federally/tribally chartered tribal colleges and universities.

Providers of Training and Technical Support Awards: Native American Organizations authorized by Indian tribal governments, federally/tribally chartered tribal colleges and universities, Native American tribal and non-profit organizations, and public universities. (Contact BIA Central Office for details).

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and consortia, tribal and public colleges and universities, and Native American organizations.

Credentials/Documentation:

For direct award grants, the initial proposal must be accompanied by a copy of the authorizing resolution from the Federally Recognized Indian Tribal Government to be supported. Additional requirement for other than federally recognized tribes, establishment credentials (charter, incorporation or other applicable). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Informal pre-application conference is recommended. Technical assistance in preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications for financial assistance must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the BIA Headquarters office. Requests for technical services can be made through the BIA Regional Offices listed in Appendix IV of the Catalog.

Award Procedure:

Awards are made by the Deputy Bureau Director, Office of Trust Services,

Central Office, based on the prioritization of all applications received through a thorough evaluation and scoring by a review team. Awards are usually made shortly after the review (see announcement for specific details). Any awards are subject to the availability of the congressional appropriations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

See the announcement for details or contact the Central Office or Regional Offices for application deadlines for particular program support categories.

Appeals:

No appeal process. The funds are annual, non-recurring congressional appropriations and any awards are made on the basis of the announcement prioritization process and the availability of funds. Information for non-award applicant scoring is available upon request as technical support to improve subsequent proposals.

Renewals:

Funds are appropriated for use in non-recurring projects/activities on a year-to-year basis and are not intended to provide perennial support for tribal programs. For training programs, non-competitive renewal for additional sessions is at the option of the Bureau.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. See the following for information on how assistance is awarded/released: The timing of the payments will be negotiated with the Indian tribe, or awardee. Contact the headquarters or regional office for more information.

Reports:

Program accomplishment reporting requirements will be negotiated with the Indian Tribe, for all others, quarterly and annual reporting is required with additional reporting as negotiated prior to award. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-999.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Not Available.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program guidelines for grants are available in the Request for Proposals announcement. OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments.", OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations", OMB Circular No. A-102 Grants and Cooperative Agreements with State and Local Governments, and when appropriate, OMB Circular No. A-21 Cost Principles for Educational Institutions.

Regional or Local Office:

See Regional Agency Offices. See Regional Offices. Applications should be filed with Bureau of Indian Affairs' Headquarters but proposals will be accepted at any BIA Regional Office as listed in Appendix IV of the Catalog.

Headquarters Office:

Sean J. Hart Bureau of Indian Affairs, 1849 C Street, NW, MS 4635 MIB, Washington, District of Columbia 20240 Email: sean.hart@bia.gov Phone: (202)513-0337

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

There are 4 broad categories for award, each with selection criteria. Category 1: Design and delivery of technical training, forums or workshops to improve tribal staff and trust managers capacity to address climate adaptation management, preparedness or resilience (category 1 criteria: adult/youth education experience (required), training design, budget, delivery capacity). Category 2: Climate adaptation planning, vulnerability assessments, monitoring for climate change indicators, and ocean and coastal planning (category 2 criteria: Project design, implementation and feasibility, budget, builds tribal capacity, value, objectives and outcomes). Category 3: Travel support for participation in technical sessions (category 3 criteria: alignment of technical session with tribal adaptation management, value, and for ocean and coastal tribes, location), and support for participation in regional and cooperative ocean and coastal planning. Category 4: technical support, providing technical support to tribes for climate adaptation management (criteria, organization capacity, experience, existing constituency). See announcements for details.

15.158 DOI NATIONAL FIRE PLAN

FEDERAL AGENCY:

Office of the Secretary, Department of the Interior

AUTHORIZATION:

Public Law 114-223, 130 Stat. 909, (7) The Department of the Interior, Environment and Related Agencies Appropriations Act of 2016 (Division G of Public Law 114-113). Public Law Number 114-254; Further Continuing and Security Assistance Appropriations Act, 2017.

OBJECTIVES:

The purpose of this program is to issue Cooperative Agreements to assist the Office of the Secretary, Office of Wildland Fire (OWF) in facilitating communication, cooperation and coordination between Federal, State, and local partners in support of the National Fire Plan. Funds shall be used by the recipient to assist the Office of Wildland Fire in preparation of training curriculum related to a variety of issues, such as safety and leadership.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Grant recipient will have submitted a proposal and competed for Cooperative Agreement to be issued that meets the needs of the wildland fire community such as safety materials, Leadership curricula, fuels management, planning and prevention education. Grants will be executed consistent with the project selected through the competition. Funding levels will vary across fiscal years.

Grants are to be used consistently in support of the wildland fire community needs as a whole including Federal, Tribal, State and local fire agencies.

Applicant Eligibility:

Public or private nonprofit institution/organizations.

Beneficiary Eligibility:

Anyone within the Fire Use/Protection community.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants shall submit an application package in accordance with the requirements set forth in 43 CFR, Subtitle A, Part 12.

Award Procedure:

Criteria and additional information will be made available at time of announcement and posted online (Grants.gov).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The deadline will be published in the grants.gov announcement. Award time varies, most awards anticipated with 30-60 days or less after announcement closes.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. No statutory match requirements. However, applicants matching funds are encouraged. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Lump Sum. Method of awarding/releasing assistance: lump sum.

Reports:

Unless otherwise stated in award document, recipient is required to submit annual Program Performance Report within 30 days of end reporting period (Sept 30). No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records determined by the type of project recipient, in accordance with 43 CFR.

Account Identification:

14-1125-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$25,000; FY 17 est \$10,000; and FY 18 est \$10,000 - This was a new program in 2016 which was never awarded. We are planning to go forward with both the 2016 intended and

then we will work on 2017 estimated.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-1125.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Unsure of how many applications we might receive; 2 awards possible. Fiscal Year 2018: Historical data available, 1 award intended.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR, Subtitle A, Part 12.2

Information about the National Fire Plan can be found at <http://www.forestsandrangelands.gov/resources/overview>

Regional or Local Office:

None.

Headquarters Office:

Amy Kishpaugh Office of the Secretary
Office of Wildland Fire
300 E. Mallard Drive, Suite 170
Boise, Idaho 83706 Email: amy_kishpaugh@ios.doi.gov Phone: 208-334-6195

Website Address:

No Data Available

RELATED PROGRAMS:

15.232 Wildland Fire Research and Studies Program; 15.631 Partners for Fish and Wildlife; 15.948 National Fire Plan-Wildland Urban Interface Community Fire Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Not applicable. Fiscal Year 2017: Program has not yet posted nor selected projects for funding. Fiscal Year 2018: Program has not yet posted nor selected projects for funding.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a panel of technical experts within the Federal Government, including the Department of the Interior and other Federal agencies. Projects will be judged on combination of factors, including the relevance to the programs objectives, the cost of the project, qualifications of the institution, and other factors. Additional guidance will be provided at time of announcement.

15.159 CULTURAL RESOURCES MANAGEMENT

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Archaeological Resources Protection Act of 1979, as amended (ARPA), 16 U.S.C. 470aamm; The Lacey Act of 1900, as amended, 16 U.S.C. 3371-78, and 18 U.S.C. 42; Historic, archeologic, or prehistoric items and antiquities, 18 U.S.C. 1866(b); Native American Graves Protection and Repatriation Act of 1990 (NAGPRA), 25 U.S.C. 3001-3013; National Historic Preservation Act of 1966, as amended (NHPA), 54 U.S.C. 300101 et seq.; Preservation of Historical and Archeological Data (Archeological and Historic Preservation Act of 1974, as amended), 54 U.S.C. 312501-312508; Monuments, Ruins, Sites, and Objects of Antiquity (Act for the Preservation of American Antiquities of 1906 (Antiquities Act)) 54 U.S.C. 320301-320303.

OBJECTIVES:

To ensure the proper management, protection, and preservation of cultural resources over which the BIA maintains responsibility; furnish secure, short-term housing and care for cultural resources recovered during investigations; provide for the curation, stewardship, and public access to BIA museum collections and other cultural resources, including the increase of public awareness, appreciation, and knowledge of these resources.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds used for all aspects of BIA cultural resource management activities, including but not limited to museum collections management, curation, monitoring, research, rehabilitation, restoration, preservation, documentation, data recovery, interpretation, and education. Contact the BIA program office for more information. The amount of funding available for projects is variable from year to year.

Applicant Eligibility:

State and local agencies, sponsored organizations, public nonprofit institutions/organizations, other public institutions/organizations, Federally-recognized Indian Tribal governments, specialized groups, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, other private institutions/organizations, and Native American Organizations, educational or scientific organization, or any institution, corporation, association, or individual that possesses the requisite professional expertise. Applicant eligibility will be specified in the Funding Opportunity Announcement, if applicable.

Beneficiary Eligibility:

State and local governments, Federally recognized Indian Tribal governments, nonprofit organizations, educational or scientific institutions, universities, associations, and entities that have an education mission or mission-component, and museums and/or repositories that meet the standards of the Department of the Interior, Department Manual, Part 411.

Credentials/Documentation:

Generally, applicant entity must have expertise in the contemplated resource management and/or research project. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A detailed proposal which includes a statement of work, timeline, and budget, must be submitted to the program office.

Award Procedure:

Projects are identified and awarded based on priorities of the program and available funding. All applications will be reviewed by program staff for eligibility and compliance with the requirements stated in the program funding announcement. Final selection will be determined by the program manager, as applicable. Any awards are subject to the availability of congressional appropriations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the number of proposals received and the complexity of the project. Generally, awards are anticipated within 90-120 days of proposal submission.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions, however, most projects are funded on a year-to-year basis and funds are expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award.

Reports:

Unless otherwise stated in the agreement document, recipients must submit, on a quarterly basis, program performance reports. No cash reports are required. Upon completion of the agreement, recipients must submit a final performance report and other specific reports that may be applicable to the agreement such as inventories, cataloging records, data, research findings, etc. SF 425. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-2100-0-1-999.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable. (Project Grants (Discretionary)) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 262; 36 CFR Part 79; 43 CFR Part 3; 43 CFR Part 7; 43 CFR Part 10; Departmental Manual 411 DM 1 and its Directives; Indian Affairs Manual, 59 IAM 9 and 59 IAM 10; program information

Regional or Local Office:

None.

Headquarters Office:

Anna Pardo 12220 Sunrise Valley Drive, Room 6084 , Reston, Virginia 20191
Email: anna.pardo@bia.gov Phone: 7033906343

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria will be provided in the funding opportunity announcement and will be based on the objectives of individual projects. Relevance to the program objectives, a detailed technical and budget proposal, and qualifications of staff and institution important to the acceptance of proposals.

15.160 BIA WILDLAND URBAN INTERFACE COMMUNITY FIRE

ASSISTANCE**FEDERAL AGENCY:**

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016, Public Law 114-53, Division E, Title I, Department-wide Programs, Wildland Fire Management; This program was first implemented in the Department of the Interior and Related Agencies Appropriations Act of 2001, Title IV, Public Law 106-291.

Federal Land Policy and Management Act of 1976, Public Law 94-579, 43 USC 1737 (b); Watershed Restoration and Enhancement Agreements (aka The Wyden Amendment), 16 USC 1011, PL 104-208, Section 124, as amended by PL 105-277, Section 136, as amended by PL 108-7, Section 135.; Public Law 94-579.

OBJECTIVES:

To implement the National Fire Plan and assist communities at risk from catastrophic wildland fires by providing assistance in the following areas: Provide community programs that develop local capability including: assessment and planning, mitigation activities, and community and homeowner education and action; plan and implement hazardous fuels reduction activities, including the training, monitoring or maintenance associated with such hazardous fuels reduction activities, on federal land, or on adjacent nonfederal land for activities that mitigate the threat of catastrophic fire to communities and natural resources in high risk areas; enhance local and small business employment opportunities for rural communities; enhance the knowledge and fire protection capability of rural fire districts by providing assistance in education and training; assist with the prevention and detection of wildfires to reduce the risk and impact to communities and their values.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Dissemination of Technical Information; Training; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Hazard reduction activities are restricted to Federal lands, or adjacent nonfederal land for activities that benefit resources on Federal land. Most of these lands are located in the Western United States and Alaska. Assistance can be used for helping BIA support community based efforts to address defensible space and fuels management issues to support outreach and education efforts associated with fuels management and risk reduction activities and to increase the effectiveness of rural fire protection, detection and prevention. Funding is highly variable each fiscal year. For more specific information please contact the headquarters office. 100%.

Applicant Eligibility:

States and local governments at risk as published in the Federal Register, Indian Tribes, public and private education institutions, nonprofit organizations, and rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface.

Beneficiary Eligibility:

States and local governments at risk as published in the Federal Register, Indian Tribes, public and private education institutions, nonprofit organizations, and rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity

Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Wildland Urban Interface community projects are reviewed at State level and funding recommendations are made through each State's annual work plan. Final budget approvals rest with the State Director or Field Office Manager.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Award time varies depending on the type of complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time of the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. The program has no matching requirements, however, matching funds by the applicant are encouraged and those projects are most likely to be funded. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions, however, most projects are funded on a year-to-year basis and funds are expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payment will be determined for each awarded assistance agreement at time of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the notice of award, recipients shall submit at the minimum the following reports on an annual basis within 90 days after the anniversary date: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. The notice of award will detail all financial, performance, and other specific report requirements, including frequency and due dates. Upon completion of the agreement, recipients shall submit a final report no later than 90 calendar day after the award end date: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the award document, recipients are required to submit quarterly, SF-425, Federal Financial Reports. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records relating to work performed and costs are kept by the Bureau. There is no fixed records schedule. Records for grants awarded to non-federal entity will be maintained in accordance 2 CFR, Part 200, Subpart D Post Federal Award Requirements.

Account Identification:

14-2100-0-1-452.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$0; FY 17 est \$0; and

FY 18 est \$0 - New Program.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

BIA's wildland urban interface community assistance program is guided by the provisions of the National Fire Plan. A variety of public information on this plan is available by contacting the appropriate State Office or the National Interagency Fire Center's web site at: <http://www.nifc.go>

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Anna Pardo 12220 Sunrise Valley Drive, Room 6084 , Reston, Virginia 20191
Email: anna.pardo@bia.gov Phone: 7033906343

Website Address:

No Data Available

RELATED PROGRAMS:

15.242 National Fire Plan - Rural Fire Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review.

Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov

Third Level Review Pre-Award Clearance and Approvals. BIA will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.214 NON-SALE DISPOSALS OF MINERAL MATERIAL

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Materials Act of 1947, Public Law 80-291, 30 U.S.C. 601-604, 611, as amended.

OBJECTIVES:

To permit free use of certain mineral material from federally owned lands under the jurisdiction of the Bureau of Land Management by governmental units and nonprofit organizations.

TYPES OF ASSISTANCE:

SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

Free use permits are granted to governmental units without regard to number of permits or value of mineral material provided the mineral material is for use on a public project. Permits are granted to nonprofit organizations for mineral material not to exceed 5000 cubic yards during any period of twelve consecutive months. All free-use mineral material must be extracted in

accordance with approved conservation practices, terms, and conditions that provide for protection of environment and rehabilitation of any damage caused by mineral material extraction. Permittees must not barter or sell mineral materials, or remove mineral materials before BLM issues a permit or after the permit expires. BLM may incorporate other conditions and restrictions into the free use permit.

Applicant Eligibility:

Any Federal or State agency, unit, or subdivision, including municipalities, where material will be used for public project; or any nonprofit association or corporation. A free use permit will not be issued upon the determination that the applicant owns or control an adequate supply of suitable mineral materials that are readily available and can be mined in a manner which is economically and environmentally acceptable. Mineral material obtained under a free use permit may not be bartered or sold.

Beneficiary Eligibility:

Federal or State agencies, units, or subdivisions, including municipalities, where material will be used for public project; or any nonprofit association or corporation.

Credentials/Documentation:

Evidence of eligibility, i.e., nonprofit status, delegation of authority for signing official to take such action, surety bond, etc. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program may require submission of a performance bond. An environmental analysis is required for this permit. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Submit application on Form 3604-1a to identify eligibility and needs for materials to the proper Bureau of Land Management office. If eligible, BLM may require additional supporting information about the proposed operations. If the application is approved, BLM will issue Form 3604-1b Mineral Material Free Use Permit.

Award Procedure:

If mineral material is available, applicant is qualified and application does not conflict with other management practices or uses, application is approved and one copy of the executed form is returned to the applicant. Environmental analysis is accomplished and environmental impact statement is prepared if necessary.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The term of the permit varies but will not exceed 10 years to any Federal or State agency, unit, or subdivision, including municipalities. Permits to nonprofit organizations are not to exceed 1 year.

Appeals:

Appeals may be made to the Board of Land Appeals, Office of Hearings and Appeals as specified in 43 CFR Part 4 et seq.

Renewals:

Any free use permits may be extended for a single additional period not to exceed 1 year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

An annual production report is required as specified in the free use permit. The permittee is required to perform reclamation of areas distributed by operations. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is conducted by the BLM.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Production documentation must be available if requested to confirm production.

Account Identification:

14-1109-0-1-302; 14-1109-0-1-000.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 16 \$6,071,882; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: This information will be available in 2017 in the Public Lands Statistics Publication for FY2016, which can be found in most public libraries. Free Use Permits are being issued to States and local governments and non-profit organizations and corporations for sand and gravel, stone, soil, clay, and pumice. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 3620 and 43 CFR Part 4.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Director, Bureau of Land Management, Solid Minerals Division (WO-320), 1849 C St., N.W., Room 2134 LM., Washington, District of Columbia 20240
Phone: (202) 912-7112

Website Address:

<http://www.blm.gov/nhp/index.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.222 COOPERATIVE INSPECTION AGREEMENTS WITH STATES AND TRIBES

Section 202 Agreements

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Oil and Gas Royalty Act of 1982, Public Law 97-451, Title II, Section 202, 30 U.S.C 1732.

OBJECTIVES:

To provide for the Bureau of Land Management (BLM) to enter into cooperative agreements with Tribes so that authorized Tribal inspectors can carry out inspection activities on Indian oil and gas leases within Tribal jurisdiction.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Assistance to be used only for costs directly required to carry out the agreed upon activities as described in the signed agreement.

Applicant Eligibility:

Indian tribes with producing tribal oil and gas leases for which with Federal government has trust responsibility. State may enter into a cooperative agreement for inspection of tribal oil and gas leases with the permission of the Tribe.

Beneficiary Eligibility:

Indian tribes with producing tribal oil and gas leases for which the Federal government has trust responsibility. States that have tribal permission to enter into cooperative agreements to conduct inspections on tribal oil and gas leases.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No state plan is required with this application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Completed SF 424, Application for Federal Assistance; 424A, Budget Information - Non-Construction Programs; and 424B, Assurances - Nonconstruction Programs and proposal must be submitted to the appropriate BLM State Director. The proposal must include a description of the type and extent of inspection activities proposed under the agreement, the number of proposed inspectors, the proposed period or time the agreement will be in effect; and the name, address and telephone number of the State or Tribal contact.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: The program has no statutory formula matching requirements. As provided in 43 CFR 3190.2-2, funding will be up to 100 percent of allowable cost. However, matching funds or in-kind services by the applicants are encouraged and those projects are more likely to be funded. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding shall be at least quarterly, upon submission of invoice or request for reimbursement. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

No program reports are required. Cash reports are not applicable. Unless otherwise stated in the notice of award, recipients shall submit at the minimum the following reports on an annual basis within 90 days after the anniversary

date: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. The notice of award will detail all financial, performance, and other specific report requirements, including frequency and due dates. Upon completion of the agreement, recipients shall submit a final report no later than 90 calendar day after the award end date: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-000; 14-1109-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$1,092,254; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$200,000 to \$494,708. Average amount is \$273,064 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Bureau of Land Management has awards financial assistance agreements with the Navajo and Jicarilla Nations. The agreements established procedures for cooperation and coordination in planning and programming for oil and gas inspections of Nation leases with a goal of increasing the frequency of inspections providing for the uniform application of regulations, and timely communications between the BLM and the Nation in performance of their regulatory functions. The inspection and enforcement staff are Navajo and Jicarilla nationals who have the appropriate technical training and language skills to operate freely on their reservation. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 3190 and 43 CFR 3192.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for list of Bureau of Land Management State offices.

Headquarters Office:

Assistant Director, Bureau of Land Management, Resource Use and Protection (300), 1849 C St., NW, LS 300, Washington, District of Columbia 20240
Phone: (202) 208-4201.

Website Address:

<http://www.blm.gov/nhp/index.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: BLM continues to provide training and coordination for the Navajo Nation and Jicarillo Apache Tribal Inspection and Enforcement staff to carry out inspection and enforcement activities on Indian oil and gas leases within their Tribal jurisdiction. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on the Tribes direct relationship to federal and Tribal lands and a balanced review including relevance to program objectives, merit, and cost effectiveness.

15.224 CULTURAL AND PALEONTOLOGICAL RESOURCES MANAGEMENT

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Land Policy and Management Act of 1976, Public Law 94-579, 43 USC 1737 (b); National Historic Preservation Act of 1966, 54 USC 302304 and 302704.

OBJECTIVES:

To manage and protect cultural resources on the public lands and to increase public awareness and appreciation of these resources. Most of these lands are located in the Western United States and Alaska.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

All projects are restricted to lands and resources administered by BLM. Most of these lands are located in the Western United States and Alaska. Assistance can be used for cooperation in managing cultural or paleontological resources, e.g., survey for sites and localities, stabilization of historic structures, and public contact/education/interpretation or for improving information about cultural resources, consistent with needs identified in BLM planning documents. No regular discretionary fund is available but is highly variable each fiscal year.

Applicant Eligibility:

Anyone/general public.

Beneficiary Eligibility:

All Public Land users.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State, or District or Field offices. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR, Part 200, Subpart C Pre-Federal Award Requirements and Contents of Federal Awards. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and submitted through www.grants.gov.

State plan is not required for this application.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review

factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Further information will be available for each project at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The program has no statutory formula matching requirements except when use is for the Challenge Cost Share program which has a 1:1 matching requirement. However, matching funds or in-kind services by the applicants are encouraged and those projects are more likely to be funded.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions, however, most projects are funded on a year to year basis and funds are expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the notice of award, recipients shall submit at the minimum the following reports on an annual basis within 90 days after the anniversary date: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. The notice of award will detail all financial, performance, and other specific report requirements, including frequency and due dates. Upon completion of the agreement, recipients shall submit a final report no later than 90 calendar day after the award end date: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as progress and final reports, property inventories, and patent and invention disclosures. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2

CFR 200.333.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$7,428,219,219; FY 17 est \$2,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$3,000 to \$100,000. Average amount is \$50,000 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: BLM's cultural resources and paleontological resource programs continued efforts to inventory, document, study, stabilize, and interpret the cultural and paleontological resources on the public lands. BLM worked with over 40 university archeology field schools to train 330 students in archaeological methods, worked with partners to study 890 cultural properties, stabilize 100 archaeological sites, present gave more than 620 public programs, and curate 107 collections. BLM uses challenge cost-share grants whenever possible on projects located on lands managed by the Federal Government, to enhance cultural and heritage resource management on Public Lands. Projects are restricted to lands administered by BLM in the Western United States and Alaska. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's cultural and paleontological resources management program is guided by the National Historic Preservation Act, the Archaeological Resources Protection Act, the Native American Graves Protection and Repatriation Act and the Paleontological Resources Preservation Act, and implementing regulations: 43 CFR Part 7, 43 CFR Part 10, 36 CFR Part 60, 36 CFR Part 79 and 36 CFR Part 800. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals and Handbooks providing basic program operational guidance for cultural resources (8100) are available online at www.blm.gov and by contacting the Washington Office.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Division Chief Bureau of Land Management, Division of Cultural and Paleontological Resources and Tribal Coordination (WO 240), 1849 C St., NW, Washington, District of Columbia 20240 Phone: (202) 912-7241.

Website Address:

<http://www.blm.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Cooperative agreements with universities to conduct management-focused archaeological or paleontological field schools and scholarly research on public lands located in Western United States and Alaska, cooperative agreements with states to compile and maintain cultural inventory data, cooperative agreements to curate and interpret museum collections from the public lands, and cooperative agreements to produce education materials enhancing public awareness and appreciation of cultural and paleontological resources. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening

requirements to be considered for further review. Second Level Evaluation – Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.225 RECREATION RESOURCE MANAGEMENT

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Land Policy and Management Act of 1976, Public Law 94-579, 43 USC 1737 (b); Watershed Restoration and Enhancement Agreements (aka The Wyden Amendment), 16 USC 1011, PL 104-208, Section 124, as amended by PL 105-277, Section 136, as amended by PL 108-7, Section 135., Public Law 94-579.

OBJECTIVES:

The Bureau of Land Management manages recreation resources and visitor services on public lands to support strong local economies and public land conservation. The wide variety of diverse landscapes across BLM-managed public lands offer unique opportunities and memorable experiences for visitors.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

All projects are restricted to public lands administered by the BLM. Most of these lands are located in the Western United States and Alaska. Financial assistance can be used for assisting the BLM in the management, protection and/or upgrade recreational resources and related facilities, and in providing related public contact/education opportunities.

Applicant Eligibility:

Anyone/general public.

Beneficiary Eligibility:

All Public Land users.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with Bureau of Land Management local State or District/Field Offices. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and submitted through www.grants.gov.

State plan is not required for this application.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Further information will be available for each project at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a one to five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and funding availability. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the notice of award, recipients shall submit at the minimum the following reports on an annual basis within 90 days after the anniversary date: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. The notice of award will detail all financial, performance, and other specific report requirements, including frequency and due dates. Upon completion of the agreement, recipients shall submit a final report no later than 90 calendar day after the award end date: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302; 14-1109-0-1-302 - 14-09/10 ARRA Fund Code.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$11,955,505; FY 17 est \$10,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$2000 to \$552,400. Average amounts approximately \$60,000 or less.

TAFS Codes:

14-1109; 14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: BLM continues to maintain and expand partnerships in the Tread Lightly, Leave No Trace, Interpretive programming, Recreational Fishing, Corridor Management (rivers and trails) and numerous travel and tourism activities. BLM uses challenge cost share grants wherever possible on projects of mutual benefit to rural communities, counties, and cities in the western United States for management of public lands. The program issued 68 awards. Fiscal Year 2017: anticipated to receive 50 applications 50 awards. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's recreation program is generally guided by provisions in 43 CFR Part 8000. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals providing basic program operational guidance for recreation (BLM Manual 8300 and 8400) may be obtained by contacting the Washington Office.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Chief, Recreation Visitor Services Division, Bureau of Land Management (WO 250), Division of Recreation and Visitor Services, 1849 C Street, NW, Room 2134LM, Washington, District of Columbia 20240-9998 Phone: (202) 912-7250.

Website Address:

<http://www.blm.gov/nhp/index.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: OR-Supported the Volunteer and Visitor Services, National Historic Oregon Trail Project; OR/WA-Public Awareness Campaign, Education and Outreach Project; WO-Stewardship and Outdoor Ethics Education Project; Continue supporting Leave No Trace Traveling Trainer Program; Youth Public Land Resource Management and Educations Partnerships; National Trails System Development. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening --Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.226 PAYMENTS IN LIEU OF TAXES

(PILT)

FEDERAL AGENCY:

Office of the Secretary, Department of the Interior

AUTHORIZATION:

The original law is Public Law 94-565, dated October 20, 1976. This law was rewritten and amended by Public Law 97-258 on September 13, 1982 and codified as Chapter 69, Title 31 of the United States Code.

OBJECTIVES:

Payments in Lieu of Taxes (PILT) are Federal payments to local governments that help offset losses in property taxes due to non-taxable Federal lands within their boundaries.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

PILT payments help local governments carry out such vital services as firefighting and police protection, construction of public schools and roads, and search-and-rescue operations. Payments made under Section 6902 of the Law may be used for any governmental purpose. Payments made under Sections 6904 and 6905 must be distributed to local units of government and school districts that lost real property taxes because of the acquisition of lands by the Federal government. The percentage of discretionary funding varies from year to year depending on how Congress elects to fund the program.

Applicant Eligibility:

Local units of government containing eligible acres of PILT entitlement land as defined in Section 6901 will receive automatic payment distribution as computed in accordance with Section 6903 of the Law.

Beneficiary Eligibility:

Local units of government in which Federal lands are located or have been acquired for purposes defined under Objectives.

Credentials/Documentation:

NONE. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Automatic distribution is made as authorized by 31 U.S.C. 6901-6907. No application is necessary.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Yes, 31 U.S.C. Chapter 69.

This program has no matching requirements. Payments under Section 6902 are computed based on: 1) the number of acres of PILT entitlement land owned or administered by the Federal government, 2) the amount of prior year payments received by the unit under other Federal programs, and 3) the population of the unit as reported by the U.S. Census Bureau. Payments under Section 6904 and Section 6905 are computed based on: 1) the fair market value of the acquired land, and 2) the amount of real property taxes paid on the land in the year prior to Federal acquisition. There are no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

14-1114-0-1-806; 14-0102-0-1-806.

Obligations:

(Direct Payments for Specified Use) FY 16 \$533,208; FY 17 est \$0; and FY 18 est \$0. (Direct Payments with Unrestricted Use) FY 16 \$451,066,792; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:**Range**

FY 2016: \$104.00-\$3,498,325.00

FY 2017: TBD

FY 2018: TBD

Average

FY 2016: \$1,749,214.50

FY 2017: TBD

FY 2018: TBD.

TAFS Codes:

14-1114; 14-0102.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The following number of payments were made to localities in FY16: 1934. Fiscal Year 2017: In 2017, the PILT program anticipated distributing approximately 1,900 payments to local governments in 49 States, the District of Columbia, Guam, Puerto Rico, and the Virgin Islands. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 44.12. For additional program information please visit www.doi.gov/pilt

Regional or Local Office:

None. Dionna Kiernan, PILT Program Manager, Office of Budget, Department of the Interior, 1849 C St N.W., (MS 4106, MIB), Washington, DC 20240. Telephone: 202-513-7783, E-mail: doi_pilt@ios.doi.gov.

Headquarters Office:

Dionna Kiernan Office of Budget, Department of the Interior, 1849 C St N.W., (MS 4106, MIB), Washington, District of Columbia 20240 Email: doi_pilt@ios.doi.gov Phone: (202) 513-7783

Website Address:

<http://www.doi.gov/pilt>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: PILT funds support essential community services including public safety, emergency response programs, fire protection, schools, and roads. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.228 BLM WILDLAND URBAN INTERFACE COMMUNITY FIRE ASSISTANCE**FEDERAL AGENCY:**

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016, Public Law 114-53, Division E, Title I, Department-wide Programs, Wildland Fire Management; This program was first implemented in the Department of the Interior and Related Agencies Appropriations Act of 2001, Title IV, Public Law 106-291.

Federal Land Policy and Management Act of 1976, Public Law 94-579, 43 USC 1737 (b); Watershed Restoration and Enhancement Agreements (aka The Wyden Amendment), 16 USC 1011, PL 104-208, Section 124, as amended by PL 105-277, Section 136, as amended by PL 108-7, Section 135., Public Law 94-579.

OBJECTIVES:

To implement the National Fire Plan and assist communities at risk from catastrophic wildland fires by providing assistance in the following areas: Provide community programs that develop local capability including: assessment and planning, mitigation activities, and community and homeowner education and action; plan and implement hazardous fuels reduction activities, including the training, monitoring or maintenance associated with such hazardous fuels reduction activities, on federal land, or on adjacent nonfederal land for activities that mitigate the threat of catastrophic fire to communities and natural resources in high risk areas; enhance local and small business employment opportunities for rural communities; enhance the knowledge and fire protection capability of rural fire districts by providing assistance in education and training; assist with the prevention and detection of wildfires to reduce the risk and impact to communities and their values.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Dissemination of Technical Information; Training; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Hazard reduction activities are restricted to Federal lands, or adjacent nonfederal land for activities that benefit resources on Federal land. Most of these lands are located in the Western United States and Alaska. Assistance can be used for helping BLM support community based efforts to address defensible space and fuels management issues to support outreach and education efforts associated with fuels management and risk reduction activities and to increase the effectiveness of rural fire protection, detection and prevention. Funding is highly variable each fiscal year. For more specific information please contact the headquarters office. For more specific information please contact the headquarters office.

Applicant Eligibility:

States and local governments at risk as published in the Federal Register, Indian Tribes, public and private education institutions, nonprofit organizations, and rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface.

Beneficiary Eligibility:

States and local governments at risk as published in the Federal Register, Indian Tribes, public and private education institutions, nonprofit organizations, and rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR, Part 200, Subpart CPre-Federal Award Requirements and Contents of Federal Awards. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and submitted through

www.grants.gov.

State plan is not required for this application.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Wildland Urban Interface community projects are reviewed at State level and funding recommendations are made through each State's annual work plan. Final budget approvals rest with the State Director or Field Office Manager.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Award time varies depending on the type of complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time of the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The program has no matching requirements, however, matching funds by the applicant are encouraged and those projects are most likely to be funded.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions, however, most projects are funded on a year-to-year basis and funds are expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payment will be determined for each awarded assistance agreement at time of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the notice of award, recipients shall submit at the minimum the following reports on an annual basis within 90 days after the anniversary date: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. The notice of award will detail all financial, performance, and other specific report requirements, including frequency and due dates. Upon completion of the agreement, recipients shall submit a final report no later than 90 calendar day after the award end date: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the award document, recipients are required to submit quarterly, SF-425, Federal Financial Reports. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records relating to work performed and costs are kept by the Bureau. There is no fixed records schedule. Records for grants awarded to non-federal entity will be maintained in accordance 2 CFR, Part 200, Subpart D Post Federal Award Requirements.

Account Identification:

14-1125-0-1-011; 14-1125-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$3,708,000; FY 17 est \$2,100,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$5,000 to \$686,000. Average amount is \$47,400 or less.

TAFS Codes:

14-1125.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Communities at risk from catastrophic wildland fires to provide assistance in the following areas: assessment and planning, mitigation activities, and community and homeowner education and action; plan and implement hazardous fuels reduction activities, including the training, monitoring or maintenance associated with such hazardous fuels reduction activities, on federal land, or on adjacent nonfederal land for activities that mitigate the threat of catastrophic fire to communities and natural resources in high risk areas; and enhance local and small business employment opportunities for rural communities. Fiscal Year 2017: Current Data not available. Fiscal Year 2018: Current Data not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's wildland urban interface community assistance program is guided by the provisions of the National Fire Plan. A variety of public information on this plan is available by contacting the appropriate State Office or the National Interagency Fire Center's web site at: <http://www.nifc.gov>.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Chief, Division of Fire Planning and Fuels Management, Bureau of Land Management, (FA-600), National Interagency Fire Center, 3833 S. Development Ave., Boise, Idaho 83705 Phone: (208) 387-5321

Website Address:

<http://www.blm.gov/nhp/index.htm>

RELATED PROGRAMS:

15.242 National Fire Plan - Rural Fire Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: ID Firewise Community Fire Assistance Projects, CO-Community Fire Assistance Project, OR/WA Wildland Urban Interface and Community Fire Projects, WY Cooperative Fire/Fuels Reduction and Habitat Improvement, ID Twin Falls District Community Fire Assistance Project. Fiscal Year 2017: Current data not available. Fiscal Year 2018: Current data not available.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.229 WILD HORSE AND BURRO RESOURCE MANAGEMENT

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Wild Free-Roaming Horses and Burros Act of 1971, 16 USC 1336, Public Law 92-195, as amended; Federal Land Policy and Management Act of 1976, Public Law 94-579, 43 USC 1737 (b); Public Rangelands Improvement Act of 1978, 43 USC 1906, Public Law 95-514, Section 9. Section 1906.

OBJECTIVES:

The goal of the Wild Horses and Burro Resource Management program is manage wild horses and burros as an integral part of the natural system of the public lands under the principle of multiple use; protection of wild horses and burros from unauthorized capture, branding, harassment or death; and humane care and treatment of wild horses and burros.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Projects are designated to manage and protect wild free-roaming horses and burros to achieve and maintain a thriving natural ecological balance on the public lands. Project assistance can be used in researching for improved censusing techniques and fertility control methods; and adopting or long term care of excess animals removed from the range. For more specific information contact the local or headquarters office.

Applicant Eligibility:

Anyone/general public.

Beneficiary Eligibility:

All Public Land users.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental impact information may be required for this program. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and submitted through www.grants.gov. State plan is not required for this application.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Further information will be available for each project at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The program has no statutory formula matching requirements. However, matching funds or in-kind services by the applicants are encouraged and those projects are more likely to be funded.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a one to five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and funding availability. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the notice of award, recipients shall submit at the minimum the following reports on an annual basis within 90 days after the anniversary date: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. The notice of award will detail all financial, performance, and other specific report requirements, including frequency and due dates. Upon completion of the agreement, recipients shall submit a final report no later than 90 calendar day after the award end date: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$12,493,878; FY 17 est \$13,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Past partnerships projects have run between \$85,000 to \$3,180,000. Average amounts are \$532,300 or less.

TAFS Codes:

14-00-0000-0000-0000-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The BLM currently manages approximately 58,000 horses and burros in 179 herd management areas in 10 western states. Nationally, the appropriate management level (optimum number of animals on the range -AML) is about 27,000 animals. BLM does not have adequate tools to make significant progress towards achieving AML and continues remove excess animals from the range to address public safety & health issues, court orders, private land encroachment and to reach AML in priority habitat areas and to avoid range deterioration. BLM plans to reduce population growth by increasing fertility control vaccine applications through partnerships, and implementing spay and neuter methods. BLM looks forward to expanding partnerships for long term care of animals and expanding programs to increase adoptions and sales. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The wild horse and burro program is generally guided by provisions in 43 CFR Part 4700. BLM Manuals and Handbooks 4700 - 4770 provide basic program operational guidance for the wild horses and burro program and may be obtained by accessing the BLM library through the internet.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Division Chief Bureau of Land Management, Division of Wild Horses and Burros, (WO 260), 1849 C St., NW, Room 2134LM, Washington, District of Columbia 20240-9998 Phone: (202) 912-7296

Website Address:

<http://www.wildhorseandburro.blm.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: BLM developed interagency agreement and assistance agreements for research to develop new fertility control methods including longer acting contraceptive vaccines and spay and neuter methods, research to improve population census techniques and programs to help place more excess wild horses and burros in good homes. Assistance agreements have been awarded previously to state departments of correction in Colorado, Nevada, Kansas, Wyoming, and AZ to train wild horses making them more adoptable, but continue to be supported. Universities have been awarded to conduct the genetic analysis of wild horse and burro herds. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review.

Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov

Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

**15.230 INVASIVE AND NOXIOUS PLANT MANAGEMENT
FEDERAL AGENCY:**

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Plant Protection Act of 2000 (PL 106-224), Federal Noxious Weed Act of 1974 (Section 15), 7 USC 2814; P.L. 93-629, as amended by PL 101-624; Watershed Restoration and Enhancement Agreements, 16 U.S.C. 1011, Public Law 104-208, Section 124, as amended, Public Law 105-277, Section 135.

OBJECTIVES:

To encourage interested State and local governments and Federal agencies to work together to inventory, manage, restore, educate, reduce the spread of, and prevent the further invasion and establishment of noxious, invasive weeds, and other invasive species. These entities will develop and implement Integrated Pest Management Plans (IMPs) to develop and implement projects that foster consultation and cooperation among stakeholders, interested parties, and the public and to organize, finalize, and develop projects to implement IPM plans for noxious weeds or invasive species within a specific geographic area.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); TRAINING

USES AND USE RESTRICTIONS:

Funds may be used on public, State county, and private lands for approved projects that prioritize and target undesirable plant species or group of species to be controlled or contained within a specific geographic area. Describe the integrated pest management system to be used to control or contain the targeted undesirable invasive species or groups of species; and detail the means of implementing the integrated pest management system, define the duties of the Federal agency and the State agency in prosecuting that method, and establish a timeframe for the initiation and completion of the tasks specified in the integrated management system.

Applicant Eligibility:

State and local governments.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

None. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Coordinate cooperative project proposals with Bureau of Land Management local State or District office for more information and local requirements. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and submitted through www.grants.gov.

State plan is not required for this application.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Further information will be available for each project at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the notice of award, recipients shall submit at the minimum the following reports on an annual basis within 90 days after the anniversary date: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. The notice of award will detail all financial, performance, and other specific report requirements, including frequency and due dates. Upon completion of the agreement, recipients shall submit a final report no later than 90 calendar day after the award end date: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as specific progress reports, property inventories, and patent and invention disclosures. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$7,258,967; FY 17 est \$2,400,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Past partnership projects have run between \$1,000 to \$567,000. Average amounts run about \$31,600 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Bureau of Land Management and Western States have worked together with private and non-Federal landowners to prevent, contain, and control the spread of invasive and noxious weeds and other invasive

species. Because invasive and noxious weeds and other invasive species know no boundaries, their introduction and spread from one owner's water and land to another, requires a joint effort to control, manage, and eradicate in order to reduce the invasive species adverse impacts on public and private lands and reduce costs to all land owners. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Bureau of Land Management invasive and noxious plant management program can be found at <http://www.blm.gov/wo/st/en/prog/more/weeds.html>.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Senior Weeds Specialist, Division of Forest, Rangeland, Riparian, and Plant Conservation, Bureau of Land Management, 1849 C Street, MS: 2134LM, Washington, District of Columbia 20240 Phone: (202) 912-7226

Website Address:

<http://www.blm.gov/wo/st/en/prog/more/weeds/html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Projects funded encouraged the State and the Bureau of Land Management to work together to control, manage and eradicate undesirable invasive species on Federal, State, and private lands. By working together entire areas are treated to control and prevent the spread of invasive and noxious or undesirable plants and other invasive species within the close proximity of public lands. BLM completed project in the State of NV, ID, MT, and WY. Fiscal Year 2017: Project will be the same as FY16. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening –Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review.

Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov

Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.231 FISH, WILDLIFE AND PLANT CONSERVATION RESOURCE MANAGEMENT**FEDERAL AGENCY:**

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Land Policy and Management Act of 1976, Public Law 94-579, 43 USC 1737 (b); Sikes Act, Public Law 93-452 Fish and Wildlife Conservation and Water Resources Developments Coordination Act, 16 USC 661-666c P L 85-624, as amended. Section 664as amended, Public Law 85-624, Oceans Act of 1992, Public Law 102-587, Watershed Restoration and Enhancement Agreements, 16 U.S.C. Section 1011(a), as amended by Public Law 105-277 Section 136, and Energy Policy Act of 2005, Public Law 109-58, 42 USC Chapter 149, Subchapter III, Part A 15906 (2).

OBJECTIVES:

As an integral part of the Bureau of Land Management's (BLM) mission, BLM provides national leadership to promote conservation of fish, wildlife and plant conservation, which will help restore and protect lands containing noteworthy resource values for regionally significant species of management concern or wetland and riparian areas; restore and protect crucial habitat through vegetation treatments, installation of wildlife friendly fences, and creating fish passages or barriers to protect aquatic species. Restoration of wildlife habitat to restore and protect sage grouse, desert tortoise, and other upland habitats for priority species as well as instream and riparian habitat for migratory birds, endangered or special status aquatic species and other native and priority species. Increase on-the-ground project work to conserve, restore, and reduce the threats to sage-grouse and other sensitive species in high priority habitats. Continue consistent habitat monitoring across the range of the Greater Sage-Grouse and other landscape species using the Habitat Assessment Framework (HAF) and the land use plan habitat objectives for sage grouse and other priority species. Continue seed collections within priority species habitat and continue to develop genetically appropriate native and non-native plant material for use in habitat restoration. Continue to work with partners to prioritize and implement priority species conservation actions. The BLM manages these resources in cooperation with states, tribes, other federal agencies, and non-governmental organizations.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); TRAINING

USES AND USE RESTRICTIONS:

Projects are primarily conducted on lands administered by the BLM but may also be conducted on other public or private lands. Most of these lands are located in the Western United States and Alaska. Assistance can be used to help protect, restore, and enhance fish, wildlife, and plant conservation resources and to provide related public contact/education opportunities. No regular discretionary fund is available. Funding is highly variable each fiscal year.

Applicant Eligibility:

Anyone/general public.

Beneficiary Eligibility:

All Public Land users.

Credentials/Documentation:

Not applicable. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov and must include: the title, objectives, timeframes, and a budget breakdown as specified in the funding opportunity announcement. State plan is not required with this application.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes.

Further information will be available for each project at the time the funding announcement is posted on www.grants.gov and may be obtained by contacting the point of contacts listed in the funding opportunity announcement.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are funded on a year-by-year basis and funds are expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the notice of award, recipients shall submit at the minimum the following reports on an annual basis within 90 days after the anniversary date: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. The notice of award will detail all financial, performance, and other specific report requirements, including frequency and due dates. Upon completion of the agreement, recipients shall submit a final report no later than 90 calendar day after the award end date: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Projects will be audited at least once during life cycle.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$67,344,390; FY 17 est \$67,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Partnership projects have ranged from \$10,000 to \$1,000,000. Average amounts approximately \$68,300 or less.

TAFS Codes:

14-1108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Made over 530 awards - Assessment Inventory and Monitoring Strategy; Healthy Lands Projects. The highest priority areas for sage-grouse conservation within the BLM wildlife program are the Sagebrush Focal Areas identified by the FWS in October 2014 and subsequently identified by the BLM as Sagebrush Focal Areas in the proposed land use plans. Some of these Sagebrush Focal Areas cross state boundaries so those BLM State Offices will continue to demonstrate landscape scale management of sage-grouse habitats utilizing partners. BLM programs will work with partners to focus research on native plant materials development to get more diversity of native plant materials to the growers in the various ecoregions. Expand the work in the Great Basin to grow locally sourced sagebrush plants for use sage-grouse habitat restoration projects. Fiscal Year 2017: Data is unknown at this time. Fiscal Year 2018: Data is unknown at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's fish, wildlife and botany program is generally guided by provisions in 43 CFR Part 6000. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals providing basic program operational guidance for recreation (BLM Manual 6500) and may be obtained by contacting the Washington Office.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Division Chief, Fish, Wildlife and Plant Conservation, Bureau of Land Management (WO 230), 1849 C St, N.W., MS-5115, Washington, District of Columbia 20240-9998 Phone: (202) 912-7230.

Website Address:

<http://www.blm.gov/nhp/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: WY Sage Grouse as it pertains to Assessment, Inventory and Monitoring Implementation, Wild and Scenic Rivers, National Trails, Central AZ Grasslands, Upper Rio Grande, Milk River International Central Basin and Range, Healthy Land Initiative Programs Bureau wide, and Native Plant Project, WY Special Status Species Population and Habitat, AK Seeds of Success, WO Seed Strategy and Native Plan Development, ID Bird Conservation Partnership, Sage Grouse Habitat Owyhee Uplands. Fiscal Year 2017: Unknown data available. Fiscal Year 2018: Unknown data available.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.232 WILDLAND FIRE RESEARCH AND STUDIES**FEDERAL AGENCY:**

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Land Policy and Management Act of 1976, Public Law 94-579, 43

USC 1737 (b); The Consolidated Appropriations Act of 2010, Division A, Department of the Interior and Related Appropriations Act of 2010, Department-Wide Programs, Wildland Fire Management, Public Law 111-88; The Joint Fire Science Program authorization is in the Department of the Interior and Related Agencies Appropriation Act of 1998, Public Law 106-291 and funded yearly.

OBJECTIVES:

To encourage interested parties to perform research and studies pertaining to wildland fire and resource management, to develop products and tools for all levels of decision making to meet the objectives of the National Fire Plan, and to seek information to improve decision making in wildland fire management. In addition, to generally funded wildland fire research and studies there are two separate research programs; Climate applications with the National Climate Data Center: The purpose of the program is to develop products and tools for wildland fire resource management utilizing the climate data archives of the National Climate Data Centers. The National Climate Data Center is authorized to describe the climate of the United States. For example, the Western Regional Climate Center is one of the six regional centers. Western Regional Climate Center is the archive of interagency fire weather station observations. The objectives for the National Climate Data Center and its regional centers are to perform basic climate and ecosystem studies pertaining to wildland fire and resource management, to develop climate and ecosystem products and tools for all levels of decision-making. The Joint Fire Science Program: This program seeks research proposals that respond to its announcements for proposals that seek information on seven critical wildland fire and fuels issues: These issues are: Wildland fuels and inventory mapping; Effects of fuels treatments; Scheduling of fuels treatments; Monitoring and evaluation of fuels treatments; Evaluating post fire stabilization and rehabilitation projects; Aircraft-based remote sensing; and Regional and local offices.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds may be used for approved projects that address wildland fire management needs of federal and local government land managers. For more specific information please contact the headquarters office.

Applicant Eligibility:

Anyone/General Public.

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State Plan is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and must be submitted through www.grants.gov.

State plan is not required for this application.

Award Procedure:

Projects are reviewed at the National and State level and funding recommendations are made through National and State's annual work plan. Final budget approvals rest with the National Office and State Director. For the

Joint Fire Science Program all applications are peer-reviewed. The twelve person Joint Fire Science Program Governing Board will analyze peer review comments and make all funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Further information will be available for each project at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: This program has no statutory match requirements. However, the applicant's matching funds are encouraged and those projects are more likely to be funded.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based upon performance, merit, and funding availability. For the Joint Fire Science Program, projects are generally funded for no more than three years. Most projects funded are awarded in one lump sum at the start of the project; however some projects are funded year-by-year. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the notice of award, recipients shall submit at the minimum the following reports on an annual basis within 90 days after the anniversary date: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. The notice of award will detail all financial, performance, and other specific report requirements, including frequency and due dates. Upon completion of the agreement, recipients shall submit a final report no later than 90 calendar day after the award end date: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the

Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1125-0-1-302; 14-1125-0-1-011.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$5,097,369; FY 17 est \$4,000,000; and FY 18 est \$4,000,000 - Obligations specific to the Joint Fire Science Program.

Range and Average of Financial Assistance:

Past partnership projects range from \$15,000 to \$90,000. Average amounts are \$30,000 or less. For the Joint Fire Science Program awards range from \$60,000 to \$500,000. Average amounts are \$350,000 or less.

TAFS Codes:

14-1125.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program accomplishments provided new information and tools to improve decision-making in wildland fire management. Program accomplishments include studies that benefit fire and resource management programs both directly and through the inherently interagency nature of many of the related projects. Projects have had direct benefit to, among others, fire management, Predictive Services, meteorologists, fuels analysts, intelligence officers, fire behavior analysts, fire specialists, fire planners, resource managers and State and local government leaders. Specific accomplishments include social barriers to implement prescribed fires and maintenance and restoration of sagebrush habitat. For the Joint Fire Science Program, the continued support of the regional consortia projects and the Fire and Smoke Model Evaluation Experiment (FASMEE) project. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the National Fire Plan can be found at website: <http://www.forestsandrangelands.gov/>. Western Regional Climate Center reports and publications pertaining to projects and accomplishments are posted on <http://cefa.dri.edu>. Joint Fire Science information, including announcements for proposals, project information, and literature can be found at website www.firescience.gov/.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of BLM State Offices.

Headquarters Office:

Group Manager, Planning and Resources Group, Bureau of Land Management, Office of Fire and Aviation, 3833 S. Development Ave., Boise, Idaho 83705. For the Joint Fire Science Program: Program Manager, Joint Fire Science Program, address same as above, (208) 387-5349., Boise, Idaho 83705 Phone: (208) 387-5153.

Website Address:

<http://www.forestsandrangelands.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: JFSP will be focusing on fuels treatments in Wildland Urban Interface, smoke and black carbon, and fuel moisture influences. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to federal wildland fire management, qualifications of principal investigator and institution, and a balanced review including relevance to program objectives, merit and cost effectiveness. Joint Fire Science Program proposals will be reviewed for relevancy in relation to the posted announcement for proposals task statement, scientific methods and study design, science deliverables, collaboration/leverage, and administrative adequacy. Successful proposals will provide a strong science delivery and application plan that

clearly demonstrates the use of both passive and active technology transfer methods.

15.233 FORESTS AND WOODLANDS RESOURCE MANAGEMENT

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Land Policy and Management Act of 1976, 43 U.S.C. 1737,(b), Public Law 94-579, as amended; Stewardship End Result Contracting Projects, 16 U.S.C. 6591c, Public Law 113-79 Section 8205; Watershed Restoration and Enhancement Agreements (aka The Wyden Amendment), 16 USC 1011, PL 104-208, Section 124, as amended by PL 105-277, Section 136, as amended by PL 108-7, Section 135; and Good Neighbor Authority, 16 U.S.C. 2113a, Public Law 113-79 Section 8206.

OBJECTIVES:

The Forest and Woodland Management program provides financial assistance, through grants or cooperative agreements, to public or private organizations for the improvement of forests on public lands. Activities commonly include the maintenance of forest health, forest regeneration, restoration, rehabilitation, insect and disease control, forest development, and providing for biomass utilization.

Stewardship Authority - To provide financial assistance for Stewardship projects that achieve land management goals for the public lands that meet local and rural community needs. The seven land management goals for Stewardship are:

- (1) Road and trail maintenance or obliteration to restore or maintain water quality;
 - (2) Soil productivity, habitat for wildlife and fisheries, or other resource values;
 - (3) Setting of prescribed fires to improve the composition, structure, condition, and health of stands to improve wildlife habitat;
 - (4) Removing vegetation or other activities to promote healthy forest stands, reduce fire hazards, or achieve other land management objectives;
 - (5) Watershed restoration and maintenance;
 - (6) Restoration and maintenance of wildlife and fish; and
 - (7) Control of noxious and exotic weeds and reestablishing native plant species.
- Good Neighbor Authority To provide financial assistance for Good Neighbor projects that consist of Authorized Restoration Services which include: treatment of insect and disease infected trees, hazardous fuels reduction, or any other activities to restore or improve forest, rangeland, and watershed health, including fish and wildlife habitat. .

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Projects are limited to forestry projects on public lands administered by the Bureau of Land Management located mostly in the Western United States and Alaska. Stewardship projects and Good Neighbor Authority projects must meet criteria established in the respective statutes and set forth in BLM policy memos IM 2015-037 and IM 2014-139 found at http://www.blm.gov/wo/st/en/info/regulations/Instruction_Memos_and_Bulletins/national_instruction.html. For more specific information contact the headquarters office.

Applicant Eligibility:

Anyone/General public.
State Government only (Good Neighbor Authority).

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

Not applicable. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

For more information and local requirements, cooperative forestry project proposals should be coordinated with Bureau of Land Management local State or District Office. State plan is not required for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the funding opportunity notice and must be submitted through www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Range information will be available for each project at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program has no statutory formula requirements. However, matching funds are encouraged by the applicants and those projects are more likely to be funded.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and funding availability. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Program reports are not applicable. No cash reports are required. As stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 and 90 days following the end of the reporting period. For any grant or cooperative agreement terminated, transferred to a new grantee, or will not be extended, that grantees must submit a final Program Performance Report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. As stated in the award document, recipients are required to submit quarterly, semi-annual or annual SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring required. Unless otherwise stated in the award document, recipients are required to submit quarterly financial status reports using Standard Form 425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302; 14-1108-0-1-302 - Recovery Act (14-09/10-1108-0-1-302).

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$1,447,356; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$1,000 to \$450,900. Average amounts approximately \$50,000 or less.

TAFS Codes:

14-1108; 14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: BLM continues to maintain and expand partnerships to include funding for continued support of collaborative efforts to build community capacity and support for ecological restoration efforts in forests and woodland areas. Both the public and Government agencies benefit from the BLM's support of partners from heightened understanding of the social, economic, as well as environmental, aspects of ecosystem restoration and maintenance. The BLM awarded stewardship cooperative agreements to the Mule Deer Foundation, American Forests, Society of American Foresters, universities, and several resource conservation districts to improve wildlife habitat, reduce hazardous fuels, promote reforestation and restore resilience to forest and woodlands. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Division Chief, Forest and Woodland Management, Bureau of Land Management (WO 270), 1849 C St., N.W., 204 LS, Washington, District of Columbia 20240 Phone: (202) 452-0316.

Website Address:

http://www.blm.gov/wo/st/en/prog/more/forests_and_woodland.html.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Stewardship projects that removed vegetation to promote healthy forest stands and reduced fire hazards. Projects that promote an integrated approach to resource management and include local community participation in developing strategies for biomass utilization, recognizing the connections between biomass utilization and forest restoration, and encourage sustainable development through a variety of enterprises at various scales. Projects that cooperatively support common goals and interests in managing, developing and protecting Federal, State, and private lands and water resources in an environmentally and economically sound manner. The BLM continues to partner with Trinity County Resource Conservation District on the Weaverville Community Forest Stewardship project, a typical

example of a stewardship cooperative agreement. This project has treated over 1,000 acres of forest adjacent to the community of Weaverville, California. Project objectives have been to thin the forest in the wildland urban interface and improve forest resilience. Timber value from the thinning has been used to offset the thinning of precommercial trees as well as trail maintenance of local hiking and biking trails. One of the primary employers in Weaverville is a lumber mill which relies on timber supplied from project like this to continue operation. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.234 SECURE RURAL SCHOOLS AND COMMUNITY SELF-DETERMINATION FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Secure Rural Schools and Community Self-Determination Act of 2000, Public Law 106-393, Title II, as reauthorized by: the Emergency Economic Stabilization Act of 2008, Public Law 110-343; the Moving Ahead for Progress in the 21st Century Act, Public Law 112-141; the Helium Stewardship Act, Public Law 113-40; and then reauthorized in 2015 under Public Law 114-10. Note that PL 113-40 expired on September 30 2015.

OBJECTIVES:

To restore stability and predictability to the annual payments made to States and counties containing National Forest System lands and Oregon and California and Coos Bay Wagon Road lands managed by the Bureau of Land Management for use by the counties for the benefit of public schools, roads, and other purposes.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Training

USES AND USE RESTRICTIONS:

Project funds shall be expended solely on projects that meet the requirements of Secure Rural Schools and Community Self-Determination Act of 2000, Public Law 106-393, as reauthorized by the Emergency Economic Stabilization Act of 2008, Public Law 110-343, the Moving Ahead for Progress in the 21st Century Act, Public Law 112-141 the Helium Stewardship Act, Public Law 113-40, and Public Law 114-10.

Project funds may be used for the purpose of entering into and implementing cooperative agreements with willing Federal agencies, State and local governments, private and nonprofit entities, and landowners for protection of restoration, and enhancement of fish and wildlife habitat, and other resource objectives consistent with the purposes of the law on Federal land and on non-federal land where projects would benefit these resources on Federal land. For more specific information contact the headquarters office. For more specific information contact the headquarters office.

Applicant Eligibility:

State and local governments, public nonprofit institutions/organizations, other

public institution/organization, private nonprofit institution/organization, other private institution/organization and landowners.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

Not applicable. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

For more information and local program requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State plan is required with this application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written technical and cost proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement. Title II Project Application Information is available from the BLM Western Oregon Districts or their websites.

Award Procedure:

Bureau of Land Management District staff screen applications to ensure they meet project criteria. The projects are then forwarded to the Resource Advisory Committees whose members consider each project for funding and make recommendations to the Designated Federal Officials by September 30 for each fiscal year. Final budget approval authority rests with the Bureau of Land Management Designated Federal Official.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Projects are approved by the Designated Federal Official at their sole discretion. Within 30 days after making a rejection decision, the Designated Federal Official shall notify the Resource Advisory Committee in writing with the reasons for the rejection.

Appeals:

None. Final award decisions are not subject to appeal, however, the Bureau of Land Management will provide all applicants with information regarding why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: This program has no statutory formula requirements. However, applicant's matching funds are encouraged and may be looked upon more favorably by the Resource Advisory Committees. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are funded on a year-to-year basis and funds are expended during a particular fiscal year. Funds that are not expended during a particular fiscal year may be carried forward to the next fiscal year, except for funds approved in FY 2011. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of the award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the notice of award, recipients shall submit at the minimum the following reports on an annual basis within 90 days after the anniversary date: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. The notice of award will detail all financial, performance, and other specific report requirements, including frequency and due dates. Upon completion of the agreement, recipients shall submit a final report no later than 90 calendar day after the award end date: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. As stated in the award document, recipients are required to submit quarterly, semi-annual or annual SF-425, Federal Financial Reports. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-5485-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$2,868,160; FY 17 est \$4,388,300; and FY 18 est \$0 - FY 16 \$ 2,868,160.67; FY 17 \$4,388,300 remaining from past FY. However, prior year funding is allowed to obligate under Title II as stipulated in the law through September 30, 2018. FY18 No current data available.

Range and Average of Financial Assistance:

Past partnership projects have run between \$1,100 to \$596,700. Average amounts run about \$83,600 or less.

TAFS Codes:

14-5485.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: With a recent reauthorization in 2015, retroactive for 2014 and 2015, the Secure Rural Schools and Community Self-Determination Act program will continue its efforts to invest in and create additional employment opportunities through projects that improve the maintenance of existing infrastructure, implement stewardship objectives that enhance forest ecosystems and restore and improve land health and water quality. Simultaneously, the projects improve cooperative relationships among the people that use and care for Federal lands and the agencies that manage these lands. Since the implementation of this program in fiscal year 2002, the Resource Advisory Committees have recommended over 1500 projects that were subsequently approved by the Designated Federal Officials. Many of the projects are multi-year projects that are funded in phases and implemented over several years. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 106-393 as amended by Public Law 110-343, and the Resource Advisory Committee Charters basically guide BLM's Secure Rural Schools Program. For links to the Law and additional information see website

<http://www.blm.gov/or/rac/ctypayhistory.php>.

Regional or Local Office:

See Regional Agency Offices. Secure Rural Schools Coordinator (OR931). Oregon State Office, Bureau of Land Management, 333 S.W. 1st Ave., P.O. Box 2965, Portland, OR 97204. Telephone: (503) 808-6451. E-mail: Lindsey_Babcock@blm.gov.

Headquarters Office:

Division Chief, Forest and Woodland Management, Bureau of Land Management (WO 270), 1849 C St., NW, Room 2134LM, Washington, District of Columbia 20240 Phone: (202) 912-7246

Website Address:

<http://www.blm.gov/or/rac/ctypayhistory.php>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Assistance agreements with cooperators have been used for removal of noxious weeds in order to restore native plants; remove/replace culverts in various streams to improve fish passage; large wood placements to restore fish habitat; educate the public about the illegal dumping and reduce dumping on public lands in Western Oregon, including abandoned vehicle removal; and partnering with youth organizations to monitor projects and educate and inspire upcoming generations. Fiscal Year 2017: No current data available. At this time, the Washington Office is reviewing Resource Advisory Committee nominees. Once they are approved, the committees will convene and review project proposals in order to obligate the 2016 funds. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to approve project proposals are: The project complies with all applicable Federal laws and regulations; the project is consistent with the applicable resource management plan and with any watershed or subsequent plan developed pursuant to the resource management plan and approved by the Secretary of the Interior; the project has been recommended by the Resource Advisory Committee in accordance with section 205 of the Act; a project description has been submitted by the Resource Advisory Committee to the Secretary of the Interior; and the project will improve the maintenance of existing infrastructure, implement stewardship objectives that enhance forest ecosystems and restore and improve land health and water quality.

15.235 SOUTHERN NEVADA PUBLIC LAND MANAGEMENT

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Southern Nevada Public Land Management Act of 1998, 31 U.S.C. 6901 Public Law 105-263, as amended; Ivanpah Valley Airport Public Lands Transfer Act of 2000, Public Law 106-362; Clark County Conservation of Public Land and National Resources Act of 2002, 16 U.S.C. 460qqq, Public Law 107-282; Department of the Interior and Related Agencies Appropriation Act of 2004, Public Law 108-108, Section 147, Title I, Section 146; Lincoln County Conservation, Recreation and Development Act of 2004, 16 U.S.C. 1241, Public Law 108-424, Tax Relief and Health Care Act of 2006, Public Law 109-432, Title III, Subtitle H, Sec. 382, Amendments to the Southern Nevada Public Land Management Act of 1998, Omnibus Public Land Management Act of 2009, Public Law 111-11, Title II, Subtitle G, Sec. 2601(g) Availability of Funds, Section 4(e) of the Southern Nevada Public Land Management Act of 1998; Carl Levin and Howard P. Buck McKeon National Defense Authorization Act for Fiscal Year 2015, Public Law P.L.113291; Federal Land Policy Management Act (FLPMA) of 1976, 43 U.S.C. 1737(b), Public Law 94-579, as amended, and; Federal Noxious Weed Act of 1974, 7 USC 2814, Public Law 93-629, as amended by Public Law 101-624 Section 2814.

OBJECTIVES:

To provide for the orderly disposal of certain Federal lands in Clark County, Nevada, and to provide for the acquisition of environmentally sensitive lands in the State of Nevada.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Cooperative projects are restricted to the development of parks, trails, and natural areas on lands owned by local governments and units of as designated in Public Law 105-263, as amended, in Clark County, Lincoln County, White Pine County, and Carson City, Nevada, to the development and implementation of the Multi-Species Habitat Conservation Plan in Clark County, and, to the development and implementation of comprehensive, cost-effective, multijurisdictional hazardous fuels reduction and wildfire prevention plans, including sustainable biomass and biofuel energy development and production activities, for the Lake Tahoe Basin (Nevada and California), for the Carson Range in Douglas and Washoe Counties, and Carson City in the State of Nevada, and that are: subject to approval by the Secretary of the Interior; and not more than 10 years in duration; and as further in the Southern Nevada public Land Management Act Implementation Agreement. For more specific information contact the regional or headquarters office. For more specific information contact the regional or headquarters office.

Applicant Eligibility:

Local governments and regional government entities within the State of Nevada as specifically identified in Public Law 105-263, as amended.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR, Part 200, Subpart CPre-Federal Award Requirements and Contents of Federal Awards. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and must be submitted through www.grants.gov.

State plan is not required for this application.

Award Procedure:

For any grants awarded as a component of any project, approved by the Secretary of the Interior, and funded under Public Law 105-263 and subsequent amendments, for any eligible beneficiary entity, all applicable Federal rules, regulations, and agency specific guidelines for awarded procedures will be followed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after announcement closes. Projects are approved in accordance with Public Law 105-263, as amended, and as further delineated in the Southern Nevada Public Land Management Act Implementation Agreement. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program has no statutory match requirements, however, applicant's matching funds are encouraged and those projects are more likely to be funded.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the notice of award, recipients shall submit at the minimum the following reports on an annual basis within 90 days after the anniversary date: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. The notice of award will detail all financial, performance, and other specific report requirements, including frequency and due dates. Upon completion of the agreement, recipients shall submit a final report no later than 90 calendar day after the award end date: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-5232-0-1-000; 14-5232-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$72,973,176; FY 17 est \$78,000,000; and FY 18 est \$20,000,000

Range and Average of Financial Assistance:

Past partnership projects have been between \$30,000 to \$30,500,000. Average project amount is \$2,500,000 or less.

TAFS Codes:

14-00-0000-0000-5323-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Parks, Trails, and Natural Areas - Cooperative Agreements with local government and units of local governments have resulted in 11 completed projects in the Parks, Trails, and Natural Areas. These multi-year project included the NW-SW Equestrian Trails, Boulder City Bootleg Canyon Park Phase II, Desert Inn Water Park Feature, Paradise Point Park, I-215 Regional Trail Connectivity, Lincoln County Fair and Rodeo Grounds Phase II,

Las Vegas Wash Environmental Restoration Project Phase III, Sandhill/Owens Park & Trailhead Private and City-Owned Land, Duck Creek Stabilization Project Phase II, and White Pine County Parks Critical Public Health and Safety Renovations. These projects created ADA trails with interpretive desert animal sculptures, multi-use trails, equestrian trails, asphalt trails with connectivity to existing parks, trails with lighting, childrens play water features and splash pad, childrens playgrounds with toddler lots and open turf play areas, educational and interpretive areas about sustainable landscape practices, pedestrian crossing improvements, dog parks, skate parks, trail and park lighting, industrial pedestrian bridges and tunnels, interpretive kiosks, trail side benches, cyclists and runners staging areas, picnic areas with shade structures, restrooms, construction of multiple Las Vegas Wash weirs with riparian rehabilitation, park fencing and vehicle barriers, and landscaping with irrigation.

Hazardous Fuels Reduction and Wildfire Prevention - Cooperative Agreements with the State of Nevada, State of California, and local fire departments and fire protection districts within those states have resulted in the completion of eight Hazardous Fuels Reduction and Wildfire Prevention projects in the Southern Nevada Public Land Management Act, producing 7,050 acres of hazardous fuels reduction.

BLM anticipates awarding 16 Cooperative Agreements in FY17 including: Las Vegas Wash Enhancement and Stabilization, Kiel Ranch Historic Park Land Acquisition, McGill Ball Park Upgrade Phase II, Northern Nevada Railroad Museum Interpretive Trail Phase II, Carson River Trail System Phase II Ranch Connection, Robert E Bob Price Park Improvements, Southwest Ridge Park/Trailhead Phase I, two Craig Ranch Regional Park Land Acquisitions, the Union Pacific Railroad Trail Acquisition and Construction Consolidation, Nevada Regional Fuels Reduction, Tahoe Network of Fire Adapted Communities, Carson City Westside Hazardous Fuels Reduction, California Lake Tahoe Basin Reduction of Hazardous Fuels, Carson City Hazardous Fuels Collection, and the Programmatic Timberland Environmental Impact Report. Fiscal Year 2017: The Parks Trails, and Natural Areas category anticipates closing 7 Cooperative Agreements and starting 10 new agreements with local governments in Clark, Lincoln, and White Pine Counties. Hazardous Fuels Reduction and Wildfire Prevention category anticipates closing four Cooperative Agreements with the State of Nevada in Fiscal Year 2017. Additionally, the Hazardous Fuels Reduction and Wildfire Prevention category anticipates starting 6 new agreements with various State of California and Nevada, local fire departments and fire protection districts within those states. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

All guidance for this program rests with the Southern Nevada Public Land Management Act of 1998, as amended, Public Law 105-263, and can be found on website <http://www.nv.blm.gov/snplma/>.

Regional or Local Office:

See Regional Agency Offices. SNPLMA Business Manager, Nevada State Office, Bureau of Land Management, 1340 Financial Blvd., P.O. Box 12000, Reno, NV 89520-0006. Telephone: (775) 861-6613. E-mail: Sharon_Gore@blm.gov .

Headquarters Office:

Division Chief Division of Lands and Realty, Bureau of Land Management (WO 350), 20 M Street, SE, Room 2134, Washington, District of Columbia 20003 Email: kim_berns@blm.gov Phone: (202) 912-7350

Website Address:

<http://www.nv.blm.gov/snplma/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Parks, Trails, and Natural Areas category includes the following funded and in progress projects. Kiel Ranch Historical Park, Caliente Mountain Bike Trail System, Warm Springs Natural Area, Wetlands Park Education, and the Silverbowl Complex Trails. The Kiel Ranch Historical Park was the site of the first settlement in Las Vegas. It has a natural spring that runs through the property. Projects funded and ongoing at this park include spring

restoration, site improvements to reduce impacts and increase visitor recreation opportunities, and provide interpretation and information. The Caliente Mountain Bike Trail System will develop a system of trails for off-pavement mountain bike recreation that connects the rural town of Caliente with adjacent federal lands and a network of trails for non-motorized recreation. Fiscal Year 2017: The Parks, Trails and Natural Areas category includes the following funded and in progress projects. The McGill Ball Park Upgrade will provide ADA access, address public health and safety issues, and preserve historic features of existing recreational facilities. The Las Vegas Wash Enhancement and Stabilization will construct a weir that will reduce severe erosion that affects over 2,900 acres of restored wetland, 55 miles of multi-use non-motorized trails, and will support the creation of an additional 80 acres of wetland and upland habitat. The Robert E. Bob Price Park Improvements will design and build improvements on five acres in an economically depressed neighborhood in Las Vegas. Improvements will consist of a nature themed playground including natural structures like boulders, shade structures, swings, benches, safety features, water play features, turf multi-use sports fields, group pavilions, etc. Fiscal Year 2017: Nevada Regional Fuels Reduction, Tahoe Network of Fire Adapted Communities, Carson City Westside Hazardous Fuels Reduction, California Lake Tahoe Basin Reduction of Hazardous Fuels, Carson City Hazardous Fuels Collection, and the Programmatic Timberland Environmental Impact Report. These projects will reduce hazardous fuels or plan for the reduction of hazardous fuels in the Lake Tahoe Basin and Carson Range. Projects vary in size and scope, including, fine fuels reduction, timber and vegetation reduction, public education and awareness, and environmental planning compliance activities. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used for selecting projects is outlined and defined in the Southern Nevada Public Lands Management Act Implementation Agreement, based upon the established Ranking and Rating Criteria, a balanced review including relevance to program objectives, merit and cost effectiveness, and consistent with the Southern Nevada Public Land Management Act of 1998, Public Law 105-263, as amended.

15.236 ENVIRONMENTAL QUALITY AND PROTECTION

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Land Policy and Management Act of 1976, 43 U.S.C. 1737(b), Public Law 94-579, as amended; Watershed Restoration and Enhancement Agreements, 16 U.S.C. 1011, Public Law 104-208, Section 124, as amended Public Law 105-277, Section 135; and Consolidated Appropriations Act of 2008, Public Law 110-161, Division F, Section 125.

OBJECTIVES:

To provide financial assistance, through grants or cooperative agreements as a partnership to reduce or remove pollutants in the environment for the protection of human health, water and air resources; to restore damaged or degraded watersheds; and to respond to changing climate. Objectives are implemented through core programs such as: the Abandoned Mine Land program which addresses physical safety hazards and water quality through restoration of abandoned hardrock mines; the Hazard Management and Resource Restoration, also known as Hazmat program, which remediates sites impacted by hazardous materials and illegal activities, coordinates emergency response actions, and ensures the Bureau of Land Management facilities and operations comply with applicable environmental regulations; and the Soil, Water and Air (SWA) program which develops guidance for land use plans and plan implementation. The Soil, Water, and Air program also assures that fundamental resources are inventoried and assessed, managed, and monitored to support appropriate management response to public land conditions.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Partnership projects are limited to public lands administered by the Bureau of Land Management located mostly in the Western United States and Alaska unless other specific legislative authority exists. Hazmat and Abandoned Mine Land projects will support: inventory and site assessments; mitigation,

remediation, and restoration activities; and maintenance and monitoring of actions taken. Funded projects will protect public health, safety and environmental resources by eliminating contamination and hazards and restoring natural resources. The Soil, Water and Air Program Support: soil survey and interpretation, ecological site determination, soil erosion control, and sustaining soil productivity; acquisition of water rights and inventory of water resources to assure availability of water to meet management objectives; monitoring and improvement of quality, and implementation of appropriate best management practices; Colorado River Basin salinity control activities; and air resource management including air quality, visibility, noise, climate, and climate change issues. For more specific information please contact that headquarters office.

Applicant Eligibility:

Anyone/General public.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

Not applicable. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

For more information on local requirements, cooperative rangeland project proposals should be coordinated with Bureau of Land Management local State or District Office. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and must be submitted through www.grants.gov.

State plan is not required for this application.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Statutory formulas are not applicable to this program.

The program has no statutory formula matching requirements. However, matching funds or in-kind services by the applicants are encouraged and those

projects are more likely to be funded. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a one to five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and funding availability. See the following for information on how assistance is awarded/released: Frequency of recipient of payments will be determined for each awarded assistance agreement at time of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the notice of award, recipients shall submit at the minimum the following reports on an annual basis within 90 days after the anniversary date: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. The notice of award will detail all financial, performance, and other specific report requirements, including frequency and due dates. Upon completion of the agreement, recipients shall submit a final report no later than 90 calendar day after the award end date: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. As stated in the award document, recipients are required to submit quarterly, semi-annual or annual SF-425, Federal Financial Reports. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-000; 14-1109-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$10,814,674; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$1,000 to 1,762,000. Average amount is \$62,700 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Ongoing project in Utah and Colorado Abandoned Mine Reclamation Program (AMRP), reclaiming abandoned mine openings and lands affected by past mining activities. In addition, the State of Utah, AMRPs mandate only allows them to address physical safety hazards at non-coal mine openings. BLM can supplement AMRP funding to allow them to address the environmental problems, if they exist at the opening, at the same time that they are addressing the physical safety hazard. BLM can supplement AMRP funding to allow them to address the environmental problems, if they exist at the opening, at the same time that they are addressing the physical safety hazard.

Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Bureau of Land Management environmental quality and protection programs can be found at www.blm.gov, including: the Cooperative Conservation Based Strategic Plan for the Abandoned Mine Lands Programs, Abandoned Mine Land Program Policy Bureau of Land Manual 3720 and Handbook H-3720-1, Hazard Management and Resource Restoration Manual 1703, and CERCLA Response Actions Handbook H-1703-1. Manuals providing basic program policy guidance for the Soil, Water and Air Program are found in Bureau of Land Management Manual 7000 series and may be obtained at www.blm.gov.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Nancy E. Dean, Environmental Quality and Protection, Bureau of Land Management (WO 280), 1849 C St., N.W., 204 LS, Washington, District of Columbia 20240 Phone: (202) 912-7136.

Website Address:

<http://www.blm.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: BLM Utah Establishment Of Utah Air Quality Modeling Center; BLM CA Mojave Desert Area Hydrologic Reconnaissance; BLM-ES Study Of Air Emissions And Effects Of Best Management; NM Statewide Orphan Well Reclamation Program; WY DEQ Abandoned Mine Site Reclamation; CO Lake Fork Monitoring; NV CESU Perry Canyon Creek Acid Mine Drainage Remediation; CA Ukiah AML; CA Mojave Desert Hydro. Fiscal Year 2017: Data is not available. Fiscal Year 2018: Data is not available.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review.

Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov
Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.237 RANGELAND RESOURCE MANAGEMENT

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Land Policy and Management Act of 1976, 43 U.S.C. 1737(b), Public Law 94-579, as amended; Public Rangelands Improvement Act of 1978, 43 U.S.C. 1906, Public Law 95-514 and the Taylor Grazing Act of 1934, 43 U.S.C. 315a, as amended.

OBJECTIVES:

To provide financial assistance, through grants or cooperative agreements to manage, develop and protect public lands and enhance the understanding of rangeland and watershed resources, their ecological processes, and capabilities in order to meet rangeland and water quality standards for the improvement of

rangelands on public lands. Projects and livestock administration for the management of rangeland ecosystems are conducted in a coordinated manner and consider the interrelationships of living organisms of plants and animals, the physical environment of soil, water, air, and landscape characteristics when developing and implementing resource objectives and management actions. Systematic assessments and evaluations are conducted at the watershed, allotment, and in some instances, the landscape scale to determine if the standards and fundamentals for rangeland ecosystem health are being achieved.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Projects are limited to rangeland projects on public lands administered by the Bureau of Land Management located mostly in the Western United States and Alaska. For more specific information contact the local or headquarters office.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State plan is required with this application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via a hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Further information will be available for each project at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a one to five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and fund availability. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the notice of award, recipients shall submit at the minimum the following reports on an annual basis within 90 days after the anniversary date: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. The notice of award will detail all financial, performance, and other specific report requirements, including frequency and due dates. Upon completion of the agreement, recipients shall submit a final report no later than 90 calendar day after the award end date: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$3,439,748; FY 17 est \$2,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Past partnership projects have run between \$5,000 to \$201,000. Average amounts run about \$57,891 or less.

TAFS Codes:

14-00-0000-0000-1109-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Bureau of Land Management continued to maintain and expand partnership activities in this program which include resource monitoring on rangelands, conducting upland health assessments and evaluations, rangeland use authorizations, allotment planning and administration, development of vegetation objectives, development of criteria and indicators to measure rangeland sustainability, integrated noxious and invasive plant species management, and activity plan development in connection with land use planning. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Bureau of Land Management's Rangeland and Management program is generally guided by the provisions in 43 CFR Part 4000. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals and handbooks providing basic program operational guidance for Rangeland Management are found in BLM Manuals 4000 series and may be obtained by contacting the Washington Office.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Division Chief Rangeland Resources, Bureau of Land Management (WO 220), 1849 C St., N.W., 20 M St., SE., Washington, District of Columbia 20240 Phone: (202) 912-7222

Website Address:

<https://www.blm.gov/programs/natural-resources>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Cooperative rangeland management projects that developed criteria and indicators for measuring sustainability of rangelands. Projects that provided range management education and training for grazing permittees and agency staff. Projects which conducted invasive and weed species inventory and conducted integrated weed management planning and treatment. Projects that supported technical and applied rangeland and grazing management information for dissemination, such as support for Grazinglands Conservation Initiative Convention and the International Rangelands Congress. And, projects that promoted general public education and information on values of proper livestock grazing management. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov. screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions.

15.238 CHALLENGE COST SHARE

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriation Act for Fiscal Year 1991, Public Law 101-512, Title I.

OBJECTIVES:

The Challenge Cost Share Program works through cooperative partners to help accomplish high priority work to support habitat improvement, comprehensive travel management, recreation and cultural projects. The types of projects that can be funded include monitoring and inventory of resources; implementing habitat improvement or projection projects; developing threatened and endangered species recovery plans; protecting, stabilizing or documenting cultural resources; travel management, including managing off-highway vehicle use; and providing enhanced recreational experiences, including visitor services, information, and facilities for public health and safety.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

All projects are restricted to public lands administered by the Bureau of Land Management or that directly benefit public lands. Most of these lands are located in the Western United States and Alaska. Assistance can be used to leverage funds with partners and other external funding sources to enhance the Bureau of Land Management's ability/capacity to survey, monitor and inventory resources; to restore and maintain land in a healthy condition; to support threatened and endangered species management; to manage heritage resources; to enhance recreational experiences; provide visitor services or facilities; to conduct public outreach and education projects; and to support emerging partnership opportunities. For more specific information contact the regional or headquarters office.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

For more information on local requirements, cooperative projects proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State plan is required with the application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and must be submitted through www.grants.gov.

State plan is not required for this application.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Further information will be available for each project at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program has statutory requirement for non-federal cost share funds or in-kind service requirements. Funds are required to be cost-shared one non-federal dollar for each federal dollar per State office. The matching funds must be non-federal in origin.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Most projects are awarded for one to five year period and funded on a year-by-year basis. Funds are expended during the year awarded although carry-over monies can be distributed to additional years or to projects that have been pre-approved. No commitment is made to fund projects beyond one year and there is no set, historical allocation to each State. New and continuing projects will be re-evaluated every other year based on performance, merit, and funding availability. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the notice of award, recipients shall submit at the minimum the following reports on an annual basis within 90 days after the anniversary date: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. The notice of award will detail all financial, performance, and other specific report requirements, including frequency and due dates. Upon completion of the agreement, recipients shall submit a final report no later than 90 calendar day after the award end date: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302; 14-1109-0-1-000.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$4,804,450; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Past partnership projects have been between \$5,000 to \$182,000 depending on the policies of that particular state and the money available. Average amounts are \$25,100 or less.

TAFS Codes:

14-00-0000-0000-1109-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Past partnership projects have completed wildlife habitat improvement, protection, and restoration projects with durable lasting results, conducted wildlife studies, and developed threatened and endangered species recovery plans. Cultural resources projects have been conducted to protect and stabilize cultural sites, inventory and protecting existing collections and conduct surveys to find artifacts. Recreation projects have been conducted to build trails, obliterate road and trails, and enhance visitor recreation experiences on public lands. Recreation projects have improved and stabilized Riparian areas

and washed out bridges or walkways. Overall, projects have also included support for environmental education, University research, and interactive youth initiatives based on the programs within the BLM. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, manuals and information about the Bureau of Land Management fish, wildlife, botany, recreation and cultural programs can be found at website <http://www.blm.gov/>.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Program Manager and Agency Liaison, Bureau of Land Management (WO 200), 1849 C St., N.W., Room 2134LM, Washington, District of Columbia 20240 Phone: (202) 912-7203

Website Address:

<http://www.blm.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No current data available. Fiscal Year 2017: No current data available. Fiscal Year 2018: Past projects funded include monitoring and inventorying of resources; implementing habitat improvement or protection projects; developing threatened and endangered species recovery plans; protecting, stabilizing, or documenting cultural resources; travel management, including managing off-highway vehicle use and support of monitoring partnerships; providing enhanced recreational experiences including the support of friends groups in National Landscape Conservation System units, visitor services, information, and facilities for public health and safety; and supporting environmental education awareness through local events and partnership entities. AK, Forty mile Caribou Herd Monitoring, MUSEUM Cultural Heritage, Outdoor; AZ Wildlife Water Reconstruction, REC - Travel Mgt Implementation, CUL Paleo Survey of Cascabel Area, Desert; CA CCS PTN NLCS CCNM CENCAL Stewardship, CCS REC SOUTH SPIT PARTNERS; CO NLCS: AHC/CANM ONGOING PARTNERSHIPS, CCS VEG NWCO Weed Partnership-Halogen; ID CCS - Pocatello OHV Management, BDO Habitat Vols For Restoration.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.239 MANAGEMENT INITIATIVES

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Land Policy and Management Act of 1976, 43 U.S.C. 1737(b), Public Law 94-579, as amended.

OBJECTIVES:

To provide a variety of Bureau of Land Management financial assistance awards not covered by any other program entry. This program will support mission program efforts for the management, protection, and development of public lands managed by the Bureau of Land Management. Awards are typically supported by funding one-time specific legislation and internal projects and programs.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Assistance support for the management, protection, and development of public lands within the Bureau's area of responsibility and supported by specific authorities. Awards are restricted to activities on Federal public lands for activities that benefit resources on Federal land. Most of these public lands are located in the Western United States and Alaska. The assistance may support outreach and education efforts associated with partnership programs.

Applicant Eligibility:

Anyone/General public.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State plan is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR, Part 200, Subpart C Pre-Federal Award Requirements and Contents of Federal Awards. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and must be submitted through www.grants.gov.

State plan is not required for this application.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Further information will be available for each project at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Final award decisions are not subject to appeal. The Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The program has no statutory matching requirements, however, matching funds or in-kind services by the applicants are encouraged and those projects are more likely to be funded.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, some projects are awarded for one to five year period and funded on a year basis. Funds are normally expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the notice of award, recipients shall submit at the minimum the following reports on an annual basis within 90 days after the anniversary date: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. The notice of award will detail all financial, performance, and other specific report requirements, including frequency and due dates. Upon completion of the agreement, recipients shall submit a final report no later than 90 calendar day after the award end date: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-000; 14-1109-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$616,490; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Past partnerships have been between \$2,900 to \$800,000. Average amounts are \$117,400 or less.

TAFS Codes:

14-00-0000-0000-1109-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Developing partnerships to include the funding for continued support of collaborative efforts to build community capacity, increase youth employment opportunities, and support for the management, protection and development of public lands. The public and BLM benefit from its support with partners in heightening public understanding of the social and economic, as well as environmental, aspects of ecosystem restoration and maintenance. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current

Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program information is included in the Bureau of Land Management Manuals, available at <http://www.blm.gov>. These documents may also be obtained by contacting the Bureau of Land Management Office listed below.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Corey Grant Bureau of Land Management (WO 850), 1849 C Street, NW, Room 2134LM, Washington, District of Columbia 20240 Phone: (202) 912-7040

Website Address:

<http://www.blm.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Assistance for State and local governments, Indian Tribal governments, public and private colleges and universities, public land corps and nonprofit organizations, as directed in the annual appropriations. Support of Historical Black Colleges and Universities and Hispanic Association of Colleges and Universities in support of students interested in pursuing careers in the natural resource area. Supporting the energy pilot offices in Wyoming and Montana, and updating and developing the Geographic Coordinate Data Base to develop the most dependable coordinates available for the US Public Land Survey System corners. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening –Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.240 HELIUM RESOURCE MANAGEMENT

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Helium Privatization Act of 1996, 50 U.S.C 167, Public Law 104-273.

OBJECTIVES:

To meet the requirements of the Helium Privatization Act of 1996, Section 8, a crude helium enrichment unit was designed, constructed, and is now operated to ensure that the U.S. Government can upgrade and deliver crude helium from an underground storage field, by upgrading the gas to pipeline quality, compressing the gas and transmitting via the governments conservation pipeline which supplies helium to private helium plants located along the governments pipeline. Private plants refine the helium, supply private industry, government agencies and research projects. To pay back the helium debt, the cost that was incurred by purchasing the helium, and to ensure that the U.S. Government can redeliver crude helium into the conservation pipeline system in order to meet private and Government customer needs, as defined in the Helium Privatization

Act.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Projects are limited to entities that have a direct involvement with the implementation of the Helium Privatization Act and projects related to the elimination of the Helium Debt. For more specific information contact the regional or headquarters office.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Coordinate cooperative project proposals with the Bureau of Land Management, New Mexico State Office for more information and requirements. No State plan is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement.

Award Procedure:

Projects are reviewed at the Bureau of Land Management, New Mexico State Office. Final approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Awards are anticipated within 90 days or less after the announcement closes. Further information will be available for the project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

None. Final award decisions are not subject to appeal. The Bureau of Land Management will provide all unsuccessful applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: The program has no matching requirement, however, matching funds or in-kind services by the applicants are encouraged and those projects are more likely to be funded. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are awarded for a one to five year period and funded on a year-by-year basis. Continuing funding will be re-evaluated each year based on performance, merit, and funding availability. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to

submit quarterly, semi-annual or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, the grantee must submit a final Program Performance Report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-4053-0-1-306; 14-4053-0-1-000.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$10,774,064; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Past partnership projects range between \$720,000 and \$6,000,000. Average amount is \$336,000 or less.

TAFS Codes:

14-00-0000-0000-4053-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Through a multi-year partnership the Bureau of Land Management, Helium Operation, now delivers crude helium from an underground storage field, by upgrading the gas to pipeline quality, compressing the gas and transmitting through the governments conservation pipeline which supplies helium to private helium plants located along the government's pipeline. Private plants refine the helium, and supply private industry, government agencies and research projects. The helium sold is used to pay back the helium debt to ensure that the U.S. Government can redeliver crude helium into the conservation pipeline. The Helium Debt has been reduced by \$145,000,000. The projected Natural Gas Sales for FY 2015 were at \$9,000,000. Fiscal Year 2017: \$10,500,000. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information can be found at the website listed below.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Bureau of Land Management, Amarillo Field Office, Division of Minerals, 801 South Fillmore Suite 500, Amarillo, TX 79101-3545 Phone: (806) 356-1022.

Headquarters Office:

Tim Spisak Bureau of Land Management, (WO-300), 1848 C Street, NW, MIB 5625, Washington, District of Columbia 20240 Phone: (202) 208-4201

Website Address:

http://www.blm.gov/nm/st/en/fo/Amarillo_Field_Office.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Crude Helium Enrichment Unit and the Crude Helium Compressor Station project. Fiscal Year 2017: Crude Helium Enrichment Unit and the Crude Helium Compressor Station project. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to helium projects and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.241 INDIAN SELF-DETERMINATION ACT CONTRACTS, GRANTS AND COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistant Act, Public Law 93-638, 25 U.S.C 450.

OBJECTIVES:

To provide maximum Indian participation in the government and education of the Indian people; to provide the full participation of the Indian tribes in programs and services conducted by the Bureau of Land Management for Indians and to encourage the development of human resources of the Indian people; and to establish program assistance to upgrade Indian education that will support the right of Indian citizens and for other purposes.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Training

USES AND USE RESTRICTIONS:

Contracts, grants and cooperative agreements are authorized for non-construction projects in the seventeen Western states and Alaska for programs and services conducted by the Bureau of Land Management for the benefit of Indian tribes. Programs available to tribes because they deliver services to Indians in their protected status are: cadastral survey and minerals management. Other programs, services, functions and activities administered by the Bureau of Land Management which are of specific geographic, historical, or cultural significance to the Indian tribes are: cultural heritage, forestry management, range management, riparian management, recreation management, and wildlife and fisheries management. Certain restrictions will be applicable based on the specific statutory authority that authorizes the construction activities which are primarily for the benefit of Indians because of their status as Indians. For more specific information contact the headquarters office.

Applicant Eligibility:

Federally recognized Indian tribal governments and any Alaska Native Village, or regional or village corporation.

Beneficiary Eligibility:

Federally recognized Indian tribal governments and American Indians.

Credentials/Documentation:

The application for a contract, grant or cooperative agreement must contain an authorizing resolution of the governing body of the Indian tribe. Each contract, grant or cooperative agreement requires project specific authority. Detailed written technical proposals including background data regarding the applicants, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, types of personnel implementing the proposals, experience in performing these types of work, timeliness, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation. Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contribution of goods and services. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial proposals must contain the information specified in 25 CFR Part 900.8. Proposals shall be submitted to the applicable Bureau of Land Management State Office with responsibility for the specific project for which an Indian tribe or tribal organization proposes to participate. Bureau of Land Management personnel will provide technical assistance to tribes in the development of their proposals as requested, pending availability of appropriations.

Award Procedure:

The Bureau of Land Management and the tribe develop a negotiation schedule and then negotiate the overarching contract/award terms, provisions and conditions based on the requirements of Public Law 93-638, 25 CFR Part 900, any specific requirements of the project authorizing legislation, and other applicable Federal laws and regulations. The Bureau of Land Management and the tribe also negotiate an annual funding agreement, which identifies activities to be performed, funds to be provided, and the method of payment for the initial year of the PL 93-638 contract. A final agreement is prepared based upon the outcome of the negotiations; attachments include the work plan, budget, tribal resolution, initial annual funding agreement, property list, list of any applicable provisions, terms and conditions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

As specified within 25 CFR Part 900.

Renewals:

Will vary with project and/or award.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Most projects are awarded for one to five year period and funded on a year-by-year basis. Funds are expended during the year awarded although carry-over monies can be distributed to additional. No commitment is made to fund projects beyond one year and there is no set, historical allocation to each State. New and continuing projects will be re-evaluated every year based on performance, merit, and funding availability. Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award. See the following for information on how assistance is awarded/released: Not available.

Reports:

The reporting of financial status reports and progress reports requirements will be negotiated within the annual funding agreement or overarching terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity

records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-000; 14-1109-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$7,652,468; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Past partnership projects range between \$12,600 to \$1,302,100. Average amount is \$244,300 or less.

TAFS Codes:

14-00-0000-0000-1109-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Indian tribes and Bureau of Land Management have worked together to authorize tribes to take over the administration and program for oil and gas inspections on tribal lands in Montana. Alaska Native villages and regional or village corporations are conducting cadastral land surveys of their tribal lands. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Indian Self-Determination and Education Assistance Act, 25 USC 450, as amended, Public Law 93-638, and 25 CFR Part 900.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Native American Coordinator Bureau of Land Management, 1849 C Street, NW, Room 2134LM, Washington, District of Columbia 20240 Phone: (202) 912-7245

Website Address:

<http://www.blm.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Past project funded were for mineral management of tribal lands in Montana where three tribes are conducting the inspection and enforcement of Indian oil and gas operations, inspection, enforcement and production of Indian operations. In addition, many cadastral surveys are being conducted by the tribes on tribal and allottee lands in Alaska, as well as annual emergency firefighter training. Fiscal Year 2017: The oil and gas operations, inspections, enforcement will be continuing as well as the cadastral survey. Additional, firefighter training is being proposed. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Review and approval of contract proposals is in accordance with 25 CFR Part 900, Subpart D - Review and Approval of Contract Proposals.

15.242 BLM RURAL FIRE ASSISTANCE

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

The Consolidated Appropriations Act of 2010, Division A, Department of the Interior, Environment and Related Agencies Appropriations Act of 2010, Department-Wide Programs, Wildland Fire Management, Public Law 111-88. This program was first implemented in the Department of the Interior and Related Agencies Appropriations Act of 2001, Title IV, Public Law 106-291.

OBJECTIVES:

To implement the National Fire Plan by increasing firefighter safety and enhancing the knowledge and fire protection capability of rural fire departments by providing assistance in education and training, protective clothing and equipment purchase, and support to public education efforts on a cost share basis.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Cooperative Agreements (Discretionary Grants); DISSEMINATION OF TECHNICAL INFORMATION; PROVISION OF SPECIALIZED SERVICES; TRAINING; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Emphasis is placed on fire departments that protect rural communities and play a substantial cooperative role in the protection of the Department of the Interior managed public lands. Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Applicant Eligibility:

State and local government, Public nonprofit institution/organizations, Other public institution/organization, Federally Recognized Indian Tribal Government, Private nonprofit institution/organization, Native American Organization, and rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface. All applicants must be rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface.

Beneficiary Eligibility:

State, Local, Public Nonprofit Institution/Organization, Other Public Institution/Organization, Federally Recognized Indian Tribal Government, Small Business, Profit Organization, Private Organization, Anyone/General Public, Native American Organization, Farmer/Rancher/Agriculture Producer, Homeowner, Land/Property Owner, Suburban, and Rural.

Credentials/Documentation:

For grants awarded, cost will be determined in accordance with OMB Circular No. A-87 for State and Local Governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Coordinate applications with the local BLM State or District Office, Bureau of Indian Affairs Regional Office, Fish and Wildlife Service Regional Office, or a National Park Service Regional Office or National Park Service unit. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Rural Fire Assistance is coordinated through each State Forester and the Bureau/Service State or Regional Office. Procedures may be found on the National Fire Plan website at: <http://www.fireplan.gov>. No specific application forms apply, except for grants awarded, the standard application forms furnished by the Federal agency and required by 2 CFR, Part 200, Subpart CPre-Federal Award Requirements and Contents of Federal Awards. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the funding opportunity notice and must be submitted through www.grants.gov. State plan is not required for this application.

Award Procedure:

To ensure consistency within existing rural fire assistance programs, the Department of the Interior bureaus work with the State Foresters and other Federal partners to ensure that funding is allocated in the amount of the award to qualified and suitable rural fire districts. The following criteria applies for a fire department to participate in the program: Statewide agreement with the State Forester, who maintains cooperative fire agreements with the rural fire department/volunteer fire department, or a cooperative fire agreement with an

Interior Bureau; rural fire department serving a community with a population of 10,000 or less in the wildland/urban interface; and the funding request is limited to training, equipment, and prevention activities. The rural fire department must have the capability to meet cost share at a minimum of 10% which may include in-kind services.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Rural fire assistance projects are approved by the Bureau/Service/Park Unit in coordination with the State Forester and other Federal partners. Normally all grant requests are received and awards made during the same fiscal year.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Rural fire departments must have the capability to meet cost share at a minimum of 10 percent, which may include in kind services.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Most projects are funded on a single year basis and funds are expended during the fiscal year of the award. See the following for information on how assistance is awarded/released: For more specific information please contact headquarters office.

Reports:

As stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 and 90 days following the end of the reporting period. For any grant or cooperative agreement terminated, transferred to a new grantee, or will not be extended, that grantees must submit a final Program Performance Report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, the recipients (grantees) are required to submit quarterly financial status reports using Standard Form 425, Federal Financial Report 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, the grantees must submit a final financial status report 90 days after the end date of grant performance. Performance monitoring required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1125-0-1-111; 14-1125-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$186,100; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$1,600 to \$125,000. Average amount is \$28,600 or less.

TAFS Codes:

14-00-0000-0000-1125-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: An average of 1,200 Rural Fire Departments are provided assistance each year for technical assistance, training, supplies, equipment and public education support. This program enhances the knowledge and fire protection capability of rural fire districts by providing assistance in education and training. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of the Interior's rural fire assistance program is guided by the provisions of the National Fire Plan. A variety of public information on this plan is available by contacting the appropriate Bureau/Service Office or the National Fire Plan's web site at: <http://www.fireplan.gov>.

Regional or Local Office:

See Regional Agency Offices. Contact Regional/State/Local Office: See Catalog Appendix IV for addresses.

Headquarters Office:

Director Division of Fire Planning and Fuels Management (FA-600), National Interagency Fire Center, 3833 S. Development Ave., Boise, Idaho 83705
Phone: (208) 387-5186

Website Address:

<http://www.nifc.gov/rfa/index.html>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Wildland fire assistance to rural fire departments through technical assistance, training, supplies, equipment and public education support. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for ranking eligible Rural Fire Departments, relative to other applicants, for funding allocation are: The fire department's frequency of responses to wildland/urban fire incidents in the local area; the fire department's wildland prevention and education program needs; the fire department's training program needs; the communities and Department of the Interior's values to be protected; the percentage of wildland/urban lands; and the number of wildland fire engines in the department relative to the percentage of wildland/urban interface acres protected.

15.243 YOUTH CONSERVATION OPPORTUNITIES ON PUBLIC LANDS**FEDERAL AGENCY:**

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Public Lands Corps Act of 1993, as amended 2005 (16 U.S.C. 1721-1730) and Youth Conservation Corps Act of 1970 (16 U.S.C. 1701-1706).

OBJECTIVES:

To utilize qualified youth or conservation corps to carry out appropriate conservation projects on public lands, cooperatively with the BLM on cultural and natural resource related conservation projects such as trail development and maintenance, historic, cultural, forest and timber management, minor construction work, archaeological conservation, and native plant habitat restoration and rehabilitation. Promote and stimulate public purposes such as education, job training, development of responsible citizenship, productive community involvement, and further the understanding and appreciation of

natural and cultural resources through the involvement of youth and young adults in the care and enhancement of public resources. Continue the longstanding efforts of the BLM to provide opportunities for public service, youth employment, minority youth development and training, and participation of young adults in accomplishing conservation-related work.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

All projects are restricted to lands administered by the BLM. Most of these lands are located in the Western United States and Alaska.

Applicant Eligibility:

Any qualified youth or conservation corps that supports youth career training and development in the areas of appropriate natural and cultural resource conservation projects. A qualified service and conservation corps means any program established by a State, or local government, by the governing body of any Indian tribe, or by a nonprofit organization.

Beneficiary Eligibility:

Youth and local communities that benefit from conservation improvements and involvement in youth programs activities on Bureau of Land Management public lands and facilities.

Credentials/Documentation:

Not applicable. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with Bureau of Land Management local State or District/Field Offices. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR, Part 200, Subpart C Pre-Federal Award Requirements and Contents of Federal Awards. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, and Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov, including: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement posted on www.grants.gov. State plan is not required for this application.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Public Land Corps Act requires a recipient match of 25%. The Public Lands Corps Act stipulates that the BLM must share the costs of work performed by youth or conservation corps with non-federal sources. Pursuant to 16 U.S.C. 1729, the Secretary of the Interior may not pay more than 75% of the costs of any appropriate conservation project carried out on public lands by a qualified youth or conservation corps. The remaining 25% of costs may be provided from non-federal sources in the form of funds, donations, in-kind services, facilities, materials, equipment, or any combination thereof. MOE requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Most projects are awarded for one to five year periods and funded on a year-by-year basis. Funds are expended during the year awarded although carry-over monies can be distributed to additional. No commitment is made to fund projects beyond one year and there is no set, historical allocation to each State. New and continuing projects will be re-evaluated every year based on performance, merit, and funding availability. Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Unless otherwise stated in the notice of award, recipients shall submit at the minimum the following reports on an annual basis within 90 days after the anniversary date: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. The notice of award will detail all financial, performance, and other specific report requirements, including frequency and due dates. Upon completion of the agreement, recipients shall submit a final report no later than 90 calendar day after the award end date: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) Youth Goal Reporting may be requested periodically by official Program Officer assigned to individual project. No cash reports are required. No progress reports are required. As stated in the award document, recipients are required to submit quarterly, semi-annual or annual SF-425, Federal Financial Reports. Performance monitoring required. Youth Goal Reporting will be required quarterly by recipient to submit to the official Program Officer assigned to individual project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302; 14-1109-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$100,000; FY 17 est \$0; and FY 18 est \$0 - (Cooperative Agreements) FY 16 \$100,000 was reported under this CFDA Program. However, because this CFDA was implemented in the middle of the FY other cross funded programs funded these types of projects. Its been estimate of \$1,200,000 was obligated. FY 17 Data is unknown at this time.

FY18 Data is unknown at this time.

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$2,500 to \$250,000. Average amounts approximately \$150,000 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Through partnerships with more than 100 youth and conservation corps and other youth-serving organizations, the BLM provided work and training opportunities for more than 2,100 youth in 2016. Youth were involved in conservation projects such as trail building and maintenance, habitat restoration and invasive species removal, water quality monitoring, and maintenance and restoration of cultural resources. Fiscal Year 2017: The BLM anticipates issuing cooperative agreements to provide similar conservation work and training opportunities for at least 2,500 youth. Fiscal Year 2018: Data is unknown at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Youth Program Lead Bureau of Land Management (WO 420), Division of Education, Interpretation, and Partnerships, 1849 C Street, NW, Room 2134LM, , Washington, District of Columbia 20240-9998 Phone: (202) 912-7454

Website Address:

<http://www.blm.gov/nhp/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: AK, AZ, CA, CO, MT, ID, NV, NM, UT, WY, OR/WA and other surrounds states provided projects in trail maintenance, wilderness, OHV recreation, recreation resource management project, youth employment and education -natural resource, cultural resource management, environmental resource management, rangeland management, and hand on the lands - youth engagement. Fiscal Year 2017: Projects include trail construction and maintenance; habitat improvements such as invasive species removal, fence removal, fence installation, riparian planting; inventory and monitoring of wilderness areas; and support of education and interpretive programming. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.250 REGULATION OF SURFACE COAL MINING AND SURFACE EFFECTS OF UNDERGROUND COAL MINING

Regulatory Grant Program

FEDERAL AGENCY:

Office of Surface Mining, Department of the Interior

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, 91 Stat. 445-532.

OBJECTIVES:

To assist the States and Tribes with active coal mining in administering approved regulatory programs.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants for permanent program development are available to fund staff and related costs in connection with preparing State legislative proposals; preparing State regulations; preparing permanent program applications to the Office of Surface Mining Reclamation and Enforcement (OSMRE); and for other related purposes.

Administration and Enforcement grants are awarded to assist States that have received approval to operate permanent regulatory programs. Funded cooperative agreements provide for State regulation of coal mining activities on Federal lands. Technical assistance is available on any aspect of regulating surface impacts of coal mining. See Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, 91 Stat. 445-532.

Applicant Eligibility:

The State must have an approved program to regulate surface coal mining and a designated State agency to receive and administer grants.

Beneficiary Eligibility:

State agencies responsible for regulation, reclamation and enforcement of provisions protecting the environment from negative effects of coal mining operations.

Credentials/Documentation:

The Governor of a State designates an agency to act as recipient of the grant or funded cooperative agreement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

No preapplication is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application SF 424 Application for Federal Assistance forms required by 2 CFR Part 215.12 and 43 CFR Part 12, Subpart F, or its revisions, must be used. State regulatory grant applications are required to submit applications to their appropriate Regional Office. Applications may be submitted through www.Grants.gov and the appropriate OSM Regional/Field Office. Please contact the appropriate Office of Surface Mining Reclamation and Enforcement Regional Office for assistance.

Award Procedure:

The application will be reviewed by appropriate OSMRE Regional staff within 60 days of receipt of application and approval by the OSMRE Regional Office is required. If the application is not approved, the Regional Office must set forth in writing the reasons for disapproval and may propose modifications if appropriate. The applicant may submit the revised application or appropriate revised portions of the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

60 days.

Appeals:

An applicant may appeal OSM's decision not to approve a grant or to reduce or terminate a grant to the Director, OSM, no later than 30 days after the Regional or Field Office official's decision.

Renewals:

Renewal of annual applications required for grants.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

All administration and enforcement grants are for a one year period and are annually renewable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual reports as specified by the agency are required. SF 425 Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a sub recipient. See 2 CFR Part 200.333 Retention requirements for records for exceptions.

Account Identification:

14-1801-0-1-302.

Obligations:

(Project Grants) FY 16 \$68,590,000; FY 17 est \$65,500,000; and FY 18 est \$65,500,000 - PROJECT GRANTS (Mandatory); FY 16 \$68,590,000; FY 17 est. \$65,500,000; FY 18 est. \$65,500,000.

Range and Average of Financial Assistance:

\$38,343 to \$3,034,757; \$615,988. (FY 2017 final distribution not yet awarded.)

TAFS Codes:

14-1801.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Twenty-four coal producing states have approved programs and are receiving administration and enforcement grants. Fiscal Year 2017: Twenty-four coal producing states have approved programs, twelve states have been awarded partial administration and enforcement grants awards under the Continuing Resolution. Fiscal Year 2018: In FY18 the program anticipates to fund twenty-four coal producing states.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register, Volume 42, No. 62706, Tuesday, December 13, 1977 and Volume 47, No. 169, Tuesday, August 31, 1982, Volume 48, No. 12, Tuesday, January 18, 1983; and informal agency guidelines titled "Federal Assistance Manual

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV.

Headquarters Office:

Yolande J.C. Norman Office of Surface Mining Reclamation and Enforcement, Department of the Interior, 1951 Constitution Ave., N.W., Room 121, Washington, District of Columbia 20240 Phone: (202) 208-2868 Fax: (202) 219-0239.

Website Address:

<http://www.osmre.gov>

RELATED PROGRAMS:

15.252 Abandoned Mine Land Reclamation (AMLR) Program; 15.253 Not-for-Profit AMD Reclamation; 15.254 Summer Watershed Intern; 15.255 Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Governor designated agencies in coal producing states receive annual grants to assist in administering and enforcing the states' approved regulatory programs as provided by the Surface Mining Control and Reclamation Act of 1977, as amended. Fiscal Year 2017: Governor designated agencies in coal producing states receive annual grants to assist in administering and enforcing the states' approved regulatory programs as provided by the Surface Mining Control and Reclamation Act of 1977, as amended. Fiscal Year 2018: Program anticipates funding projects in coal producing states receive annual grants to assist in administering and enforcing the states' approved regulatory programs as provided by the Surface Mining Control and Reclamation Act of 1977, as amended.

CRITERIA FOR SELECTING PROPOSALS:

Designated agencies that choose to comply with the Surface Mining Reclamation and Enforcement Provisions, Parts 725, 735, and 795 (Federal Register, Vol. 423, No. 239, Tuesday, December 13, 1977, Vol. 47, No. 169, August 31, 1982 and Vol. 48, No. 12, January 18, 1983), are eligible for awards. Criteria for amounts awarded are the State's need relative to other eligible States.

15.252 ABANDONED MINE LAND RECLAMATION (AMLR)

Abandoned Mine Lands (AML) Program

FEDERAL AGENCY:

Office of Surface Mining, Department of the Interior

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, as amended, 91 Stat. 445-532. Consolidated Appropriations Act, 2016 Division G, Title I, Public Law 114-113.

OBJECTIVES:

The objectives are to protect the public, health, safety and general welfare, and restore land, water and environmental resources affected by coal and non-coal mining practices that occurred prior to August 3, 1977.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants support the operation of an approved State or Tribal Abandoned Mine Land (AML) reclamation program. Approved programs use grant funds for mine site reclamation projects on eligible lands, which are lands and waters mined or affected by coal mining processes that occurred prior to August 3, 1977 (as well as certain post-1977 and noncoal mining activities). Grants also support project administration. Grants may also include funding for AML-related activities including: abating emergency mining-related dangers to public health and safety; restoring water supply facilities impacted by coal mining; setting aside funds in a trust account to fund future acid mine drainage treatment; and developing a self-sustaining State subsidence insurance program. Approved programs which have completed coal mine reclamation in their state or on lands within their jurisdiction may use funds for other activities as authorized in SMCRA. Additionally, The AML Pilot Program shall be used for economic and community development in conjunction with the priorities found in section 403(a) of the Surface Mining control and Reclamation Act of 1977 (SMCRA) is limited to eligible Appalachian States as authorized under the Appropriation law. Restrictions can be referenced in the Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, as amended, 91 Stat. 445-532.

Applicant Eligibility:

The AML program is restricted to states with (1) an approved coal mining regulatory program, (2) lands eligible for reclamation, and (3) active coal

mining operations within their borders that are paying coal reclamation fees into the Abandoned Mine Reclamation Fund; and to federally-recognized Indian tribes with (4) eligible lands, and (5) active mining operations paying fees into the Fund. An eligible state or Indian tribe may submit a reclamation plan to the Office of Surface Mining Reclamation and Enforcement (OSMRE) for approval. The AML Pilot Program is restricted to the three Appalachian states with the highest amount of inventoried priority coal problems as described in paragraphs (1) and (2) of section 403(a) of SMCRA. As a result, grants under this pilot program are available only to Commonwealths of Kentucky and Pennsylvania and the State of West Virginia.

Beneficiary Eligibility:

Citizens and the general public are protected from physical hazards and benefit from the reclamation of abandoned mine lands and polluted waters by reducing exposure to safety and health risks.

Credentials/Documentation:

The Secretary of Interior must approve a state or tribal Indian reclamation plan. AML grants must be approved by an authorized official of the appropriate OSMRE regional or field office. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental clearance actions for each individual reclamation project are completed as part of the recipient's request for OSM's approval of the Authorization to proceed, which is the point of federal action for that reclamation project. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must use the SF-424 series in accordance with 2 CFR Part 215.12. Applications must be submitted to their appropriate regional office.

Award Procedure:

The regional office must act upon a grant application within 60 days of submittal of a complete application. All applications will be initially screened for eligibility and compliance with the requirements stated notice of funding opportunity. All timely submitted and qualified proposals are reviewed by an OSMRE selection panel. Applications will be rated based on established criteria used to evaluate merit as outlined in Notice of Funding Opportunity. Applicants may undergo a site visit. The OSMRE Field Directors or his/her designee approves and awards cooperative agreements for this program. If the application is not approved, the regional office must provide the reasons for disapproval in writing.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. The application deadline will be 60 days from the initial posting date in www.Grants.gov. Office of Surface Mining, Division of Reclamation Support, Department of the Interior, 1951 Constitution Avenue, NW., Washington, DC 20240. Contact: Chief, Division of Reclamation Support. Telephone: (202) 208-2868. Telefax: (202) 219-0239.

Appeals:

An applicant may appeal the OSMRE decision not to approve a grant or to reduce or terminate a grant to the Director, OSMRE, no later than 30 days after the disapproval action.

Renewals:

Renewals are not applicable; Each recipient grantee may apply for non-funded extensions of time as necessary to complete the project up to one year.

Formula and Matching Requirements:

Statutory Formula: Public Law 95-87. Grants are 100 percent federally

funded. Grant funds are mandatory and are not subject to annual fiscal year appropriation limitations. Available funding is calculated using a distribution formula. The distribution formula is based on annual reclamation fee collections and historical coal production. Source of funding is provided from the Abandoned Mine Reclamation Fund and from the General Fund of the Treasury. Additionally, in accordance with the appropriation law an eligible state may receive up to \$30,000,000 for the AML Pilot program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant periods are variable; however, funds for reclamation construction activities should be normally expended within three years after award. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Annual performance and financial reports are required for each annual grant. Environmental clearance actions for each individual reclamation project are completed as part of the recipients request for and OSMRE approval of the Authorization to Proceed, which is the point of federal action for that reclamation project. Cash reports are not applicable. Contact Office of Surface Mining, Division of Reclamation Support, Department of the Interior, 1951 Constitution Avenue, NW., Washington, DC 20240. Contact: Chief, Division of Reclamation Support. Telephone: (202) 208-2868. Telefax: (202) 219-0239. The Federal Financial Report, SF 425 is required. Contact Office of Surface Mining, Division of Reclamation Support, Department of the Interior, 1951 Constitution Avenue, NW., Washington, DC 20240. Contact: Chief, Division of Reclamation Support. Telephone: (202) 208-2868. Telefax: (202) 219-0239.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report; If any litigation, claim, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken, in accordance with 2 CFR Part 200 Subpart D 200.333.

Account Identification:

14-1804-0-1-551; 14-5015-0-2-999; 14-5015-0-1-999; 14-1803-0-1-999.

Obligations:

(Project Grants) FY 16 \$238,171,200; FY 17 est \$194,375,803; and FY 18 est \$321,500,000

Range and Average of Financial Assistance:

The range of financial assistance is \$119,145 to \$53,933,417; \$8,506,114.

TAFS Codes:

14-1804; 14-1803; 14-5015.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Since 1978, the AML grants have accelerate the completion of priority abandoned coal problems and restored to productive use land for agriculture, wildlife habitat and development. Mine site reclamation has also safeguards people and property, and revitalizes communities by creating jobs. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

General regulations are found in Title 30, Code of Federal Regulations, and Parts 870-887. Final Guidelines for Reclamation Programs and Projects, 66 Federal Register, 31250, June 11, 2001.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Chief, Department of the Interior, Office of Surface Mining, Division of Reclamation Support, 1951 Constitution Avenue, NW, Washington, District of Columbia 20240 Phone: (202) 208-2868 Fax: (202) 219-0239

Website Address:

<http://www.osmre.gov>

RELATED PROGRAMS:

15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining; 15.253 Not-for-Profit AMD Reclamation; 15.254 Summer Watershed Intern; 15.255 Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Examples of projects reclaimed include hazardous and/or environmentally damaged features on mined lands, landslides, mine openings, impoundments, dangerous high walls, underground mine fires and burning refuse banks, mine subsidence, coal refuse piles, and acid mine drainage. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are outlined in Section 403 and 411 of Public Law 95-87, cited Abandoned Mine Land Reclamation Program regulations, and approved state/tribal reclamation plans. States and Indian tribes must have an approved SMCRA regulatory (Title V) program and an approved reclamation (Title IV) program to be eligible to receive mandatory AML funding.

15.253 NOT-FOR-PROFIT AMD RECLAMATION

FEDERAL AGENCY:

Office of Surface Mining, Department of the Interior

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, as amended; Federal Grant and Cooperative Agreement Act of 1977 91 Stat. 445-532.

OBJECTIVES:

The Office of Surface Mining Reclamation and Enforcement (OSMRE) partners with other funding sources to assist IRS 501(c)(3) status watershed organizations, to complete local acid mine drainage (AMD) reclamation projects.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funding is available to assist local organizations, especially watershed groups, to improve the water quality of streams impacted by AMD. Lands and water eligible for reclamation or drainage abatement expenditures under Section 404 of Public Law 95-87 are those which were mined for coal or which were affected by such mining, waste banks, coal processing, or other coal mining processes, and for which there is no continuing reclamation responsibility under state or other Federal laws. The following cost are not allowable under a WCAP Project: Overhead, Liability insurance, Public relations, Project ground breakings or dedication, payments to an organization or individual that owes the Federal government money or is in violation of Federal regulations. 100%.

Applicant Eligibility:

Recipients must be not-for-profit IRS 501(c)(3) status organizations. Federal, state, local governments, colleges, and universities are not eligible to receive direct funding.

Beneficiary Eligibility:

Communities impacted by streams polluted by AMD will benefit from this program.

Credentials/Documentation:

Proof of not-for-profit IRS 501(c)(3) organization status and state certification of project eligibility are required. All applicant or partner cost sharing must be accompanied by a letter on official letterhead from the manager of the program

committing these funds and acknowledging such commitment. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must use the SF424 series in accordance with 2 CFR Part 215.12 or those forms and instructions prescribed in Notice of Funding Opportunity on the grants.gov website. Applications submitted must be in accordance with the deadlines and program objectives outlined in the notice of funding opportunity.

Award Procedure:

The regional office must act upon a grant application within 60 days of submittal of a complete application. All timely submitted and qualified proposals are reviewed by an OSMRE selection panel. Applications will be rated against pre-established criteria used to evaluate merit as outlined in Notice of Funding Opportunity. Applicants may undergo a site visit. The OSMRE Field Directors or his/her designee approves and awards cooperative agreements for this program. If the application is not approved, the regional office must set forth in writing the reasons for disapproval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Applications will be accepted up to 60 day from the time a Notice of Funding Opportunity is posted on grants.gov. Applications will be considered on a continuing/rolling bases until the announcement closing date.

Appeals:

An applicant may appeal the OSMRE decision not to approve a grant or to reduce or terminate a grant to the Director, OSMRE, no later than 30 days after the disapproval action.

Renewals:

Renewals are not applicable; Each recipient grantee may apply for non-funded extensions of time as necessary to complete the project up to one year.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: No. This program has no statutory formula or matching requirements. Applicants are encouraged to have partners that provide monetary contributions or in-kind services. Award amounts are typically capped at \$100,000 per project and projects should have a ratio of at least 2.5 to 1 for contributions from other partners compared to OSMRE funding. Cost share is not required for the applicant to submit a proposal. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The cooperative agreements have a performance period of two years. Method of awarding/releasing assistance: lump sum.

Reports:

Programmatic reports are required on a semi-annual basis for the period ending March 31 and Sept 30 of each year and a final programmatic report is required using the applicable SF- Performance Progress Report (PPR) 30 day after the end of the project period. Cash reports are not applicable. Progress reports are not applicable. An SF-425 Federal financial Reports are required on a semi-annual basis for the period ending March 31 and Sept 30 of each year and final SF-425 is due 30 after the end of project period. Cooperative Agreement require substantial involvement in the scope of work and are subject to performance monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report; If any litigation, claim, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken, in accordance with 2 CFR Part 200 Subpart D 200.333.

Account Identification:

14-5015-0-2-999.

Obligations:

(Cooperative Agreements) FY 16 \$1,351,553; FY 17 est \$1,500,000; and FY 18 est \$1,500,000

Range and Average of Financial Assistance:

\$22,000 to \$200,000; average amount \$86,905.

TAFS Codes:

14-5015.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Restore streams and land resources affected by acid mine drainage to levels that will support wildlife habitat and recreational areas. Fiscal Year 2017: It is anticipated that 12 applications will be received and approved, contingent upon funding appropriations at an award of \$100,000 per application for Fiscal Year 2017. Fiscal Year 2018: The program anticipates to issue 12-20 awards based on funding appropriations and availability.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surface Mining Control and Reclamation Act of 1977 (Public Law 95-87) as amended

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV.

Headquarters Office:

Chief, Division of Reclamation Support, Department of the Interior, Office of Surface Mining Reclamation and Enforcement, 1951 Constitution Ave., NW, Washington, District of Columbia 20240 Phone: (202) 208-2868 Fax: (202) 219-0239.

Website Address:

<http://www.osmre.gov>

RELATED PROGRAMS:

15.252 Abandoned Mine Land Reclamation (AMLR) Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Funded the Implementation of flushable limestone bed system projected to remove 1,652 pounds of iron, 390 pounds of manganese, 4,339 pounds of aluminum and reduce the acidity load by 57,784 pounds annually. Fiscal Year 2017: Program anticipates funding projects for vertical flow ponds limestone cells, and anoxic limestone drain construction. Fiscal Year 2018: Program has not yet selected projects for funding.

CRITERIA FOR SELECTING PROPOSALS:

The criteria used to evaluate and select proposal will be based on objective merit review, program policy factors, provisions of appropriation laws, reports, and as otherwise specified in the Notice of funding Opportunity.

15.254 OSM/VISTA AMERICORPS

OSMRE/AmeriCorps Program; OSMRE/VISTA Program; OSMRE Semester Internship Program

FEDERAL AGENCY:

Office of Surface Mining, Department of the Interior

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977, as amended; Public Law 95-87; 91 Stat. 445-532 ;Public Lands Service Corps Act of 2013; Economic Opportunity Act of 1964 or the Domestic Volunteer Service Act of 1973. Authority: 5 CFR 315.605.

OBJECTIVES:

To utilize qualified youth programs to carry out appropriate environmental projects cooperatively with OSMRE on issues impacting coal communities and historic coal mining areas. Promote and stimulate public purposes such as education, job training, development of responsible citizenship, productive community involvement, and further the understanding and appreciation of natural and cultural resources through the involvement of youth and young adults. Continue the longstanding efforts of OSMRE to provide opportunities for public service, youth employment, minority youth development and training, and participation of young adults in accomplishing environmental-related work.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To support an innovative partnership working directly with OSMRE and community and watershed improvement groups addressing poverty, environmental reclamation and safety, community environmental stewardship, economic improvement, environmental education, environmental research, geographic information systems, environmental mapping, and more.

There are three structured programs. Each program is funded through a separate Cooperative Agreement. Please refer to the Notice of Funding Opportunity about the specific requirements for each program.

OSMRE AmeriCorps Program

Utilizing AmeriCorps State and National positions, OSMRE enters into a Cooperative Agreement with a non-profit sponsor to administer the OSMRE/AmeriCorps Program. This program places recent graduates from academic and vocational institutions in OSMRE Regional, Field Offices and Headquarters, for one year to gain experience in the work of OSMRE and the Federal government. Participants are mentored by OSMRE staff and exposed to various professional development and training opportunities. The OSMRE/AmeriCorps Program may fund up to 25 participants annually.

OSMRE Volunteers in Service to America (VISTA) Program

Utilizing AmeriCorps VISTA, OSMRE enters into a Cooperative Agreement with a non-profit sponsor to administer the OSMRE/VISTA Program. This Program places recent graduates from academic and vocational institutions in non-profit organizations in communities impacted by mining practices. The goal of the OSMRE/VISTA Program is to build capacity and economic opportunities in impoverished communities, with an emphasis on helping underserved populations. The OSMRE/VISTA Program may fund up to 60 participants annually.

OSMRE Semester Internship Program

OSMRE enters into a Cooperative Agreement with a non-profit sponsor to administer the OSMRE Semester Internship Program. This program provides students with a meaningful work experience in OSMRE offices nationwide and an opportunity to develop significant workplace skills during their service. Semester Interns are tasked with projects that significantly contribute to their professional development. Assignments may include policy research, data analysis, environmental impact studies, and public outreach activities related to underground and surface coal mining activities. The OSMRE Semester Intern Program may fund up to 15 participants annually. See the Notice of Funding Opportunity for specific selection criteria and use of funding.

Applicant Eligibility:

The not-for-profit sponsor organization will be responsible for the daily operation of the OSMRE/VISTA Program, working closely with OSMRE to ensure the program aligns with the mission of the Bureau. Eligible sponsor organizations will have, at minimum, the following to be considered eligible: Have a 501(c)(3) status

Have access to AmeriCorps positions through partnership with the Corporation for National and Community Service, or other intermediary organization

Have the organizational capacity to oversee participants in the field and across the country.

Beneficiary Eligibility:

Youth and local communities that benefit from reclamation of abandoned mine lands; Youth interested in gaining experience in a Federal agency.

Credentials/Documentation:

Proof of not-for-profit, IRS 501(c)(3), status is required of participating organizations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Guidelines for application are provided by the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 2 CFR Chapter I, Chapter II, Part 200, et.al. (2 CFR, Part 200). Guidelines and application procedures can also be obtained from the OSMRE website, www.osmre.gov Paper copy of guidelines and application procedures can be obtained from the OSMRE headquarters.

Award Procedure:

OSMRE Headquarters must act upon an application with 60 day of submittal of a complete application. All applications will be initially screened for eligibility and compliance with the requirements stated in the notice of funding opportunity. All timely submitted and qualified proposals are reviewed by an OSMRE selection panel. Applications will be rated based on criteria used to evaluate merit as outlined in Notice of Funding Opportunity. The OSMRE Division Chief or his/her designee approves and awards cooperative agreements for this program. If the application is not approved, the reason for the disapproval will be provided in writing.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Office of Surface Mining Reclamation and Enforcement, Department of the Interior, 1951 Constitution Ave., N.W., Washington, DC 20240. Contact: Chief, Division of Reclamation Support. Telephone: (202) 208-2868; Fax (202) 219-0239.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program has no statutory formula. Partners are encouraged to make monetary contributions or provide in-kind services. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The intern program is usually a full-time position for 10-15 weeks. Each OSMRE/VISTA position is awarded to the host organization for a three-year full-time period. Each OSMRE/VISTA Volunteer must make, at least, a one year commitment. See the following for information on how assistance is awarded: www.osmrevista.org or www.gobridgenet.org. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly and a final programmatic report using the applicable SF- Performance Progress Report (PPR) are required. Cash reports are not applicable. Progress reports are not applicable. Quarterly and a final financial report using the Federal Financial Report SF 425 are required. Quarterly performance is

required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or passthrough entity in the case of a subrecipient. See 2 CFR Part 200.333 Retention requirements for records for exceptions.

Account Identification:

14-5015-0-2-999.

Obligations:

(Direct Payments for Specified Use) FY 16 \$675,582; FY 17 est \$357,500; and FY 18 est \$357,500 - OSMRE AmeriCorps Program: (Direct payments for Specified Use) CY 2016 \$675,582.00 est 2017 \$357,500 BY 2018 est \$357,500. (Direct Payments for Specified Use) FY 16 \$200,000; FY 17 est \$200,000; and FY 18 est \$200,000 - OSMRE/VISTA Team: (Direct payments for Specified Use) CY 2016 \$200,000; PY 2017 est \$200,000; and BY 2018 est \$200,000. (Direct Payments for Specified Use) FY 16 \$80,701; FY 17 est \$80,701; and FY 18 est \$200,000 - OSMRE Intern Program: (Direct payments for Specified Use) CY 2016 \$80,701.52; and 2017 est \$80,701.52 and BY 2018 est \$200,000.

Range and Average of Financial Assistance:

\$87,000 \$700,000.

TAFS Codes:

14-5015.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY2016 the program provided educational opportunities to 36,757 K-12 students. Fiscal Year 2017: In FY2017 the program anticipates to provide 264 work opportunities for youth. Fiscal Year 2018: By FY2018, participants of the program will have planted over 1 million trees on abandoned mine lands as part of reclamation work.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surface Mining Control and Reclamation Act of 1977, Section 404, Public Law 95-87.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Yolande J.C. Norman , Chief,
Office of Surface Mining Reclamation and Enforcement
Department of the Interior
1951 Constitution Ave., NW, Washington, District of Columbia 20240 Phone:
(202) 208-2585.

Website Address:

<http://www.osmre.gov>

RELATED PROGRAMS:

15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining; 15.252 Abandoned Mine Land Reclamation (AMLR) Program; 15.253 Not-for-Profit AMD Reclamation; 15.255 Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: During FY2016 one OSMRE/VISTA site found ways to introduce new career opportunities to low-income students by partnering with local organizations. Students from the middle and high school spent the day at

one of the organizations acid mine drainage (AMD) treatment system where they learned about the history of mining in the area, how AMD is formed, and how the system is working to clean the water. Fiscal Year 2017: Program accomplishments have not been reported for FY2017. The program anticipates projects accomplishing projects related to environmental education, healthy food access, community development, reclamation, and data monitoring. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects that facilitate local-level environmental reclamation and community development work.

CRITERIA FOR SELECTING PROPOSALS:

The criteria used to evaluate and select proposal will be based on objective merit review, program policy factors, provisions of appropriation laws, reports, and as otherwise specified in the Notice of Funding Opportunity. A sponsoring organization should be able to work closely with OSMRE to ensure compliance with OSMRE requirements and needs for the program. The sponsor organization must be able to organize their responsibilities and work well with community groups and have experience and/or understanding of mining impacts on communities as well the environment is an added benefit.

15.255 SCIENCE AND TECHNOLOGY PROJECTS RELATED TO COAL MINING AND RECLAMATION

Technology Development and Transfer Program

FEDERAL AGENCY:

Office of Surface Mining, Department of the Interior

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977 (Public Law 95-87) as amended, Federal Grant and Cooperative Agreement Act of 1977 (Public Law 95-224).

OBJECTIVES:

The Office of Surface Mining Reclamation and Enforcement (OSMRE) Science and Technology Grants Program support two separate but related efforts: (1) projects that develop and demonstrate improved technologies to address public safety and environmental issues related to the mining of coal and reclamation of the lands affected after mining (applied science projects), (2) projects that convert into digital form, mine permit data and maps related to evaluating the impacts of coal mining so that the information may be used in a geographic information system (GIS) accessible by all Federal, state, and tribal agencies for assessing impacts of coal mining and reclamation on the environment (data conversion projects). Data conversion projects may also convert existing legacy databases to modern database software, and/or provide hardware and programming assistance for states to transition to managing and using this digital data.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Projects must focus on issues related to coal mining in the United States. Project funding will be limited to the amount of the initial OSMRE award, no additional funding will be provided by OSMRE throughout the project. Projects that are long term in nature or larger in scope than what can be accomplished with funds available the prescribed fiscal year, must be described in such a manner to allow OSMRE to identify a severable portion of the project with specific measurable accomplishments. Projects must focus on issues related to coal mining in the United States.

Applicant Eligibility:

Applied Science Projects: Recipients may be any of the following: public, private, or non-profit entities; Federal, state, local or tribal governments; and colleges and universities located in the United States.

Underground Mine Map Projects: Recipients may be any state or Indian tribe where coal mining or reclamation activities authorized under SMCRA are occurring.

Mine Drainage Technology Initiative Projects: Recipients may be any of the following: public, private, or non-profit entities; Federal, state, local or tribal governments; and colleges and universities located in the United States.

Beneficiary Eligibility:

Communities impacted by coal mining and reclamation of the land after mining.

Credentials/Documentation:

NONE. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must use the SF-424 series in accordance with 2 CFR Part 215.12. Applications must be submitted through grant.gov in accordance with the deadlines, selection criteria and program objectives outlined in the Notice of Funding Opportunity.

Award Procedure:

The regional office must act upon a grant application within 60 days of submittal of a complete application. All applications will be initially screened for eligibility and compliance with the requirements stated in the Notice of Funding Opportunity. All timely submitted and qualified proposals are reviewed by an OSMRE selection panel. Applications will be rated based on established criteria used to evaluate merit as outlined in Notice of Funding Opportunity. Applicants may undergo a site visit. The OSMRE Field Directors or his/her designee approves and awards cooperative agreements for this program. If the application is not approved, the regional office must provide the reasons for disapproval in writing.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. The application deadline will be 60 day from the initial posting date on Grants.gov. Contact the headquarters or regional office, as appropriate, for est. application deadlines.

Appeals:

Not Applicable.

Renewals:

Renewals are not applicable; Each recipient grantee may apply for non-funded extensions of time as necessary to complete the project up to one year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: No; This program has no statutory formula and no mandatory cost share or matching requirements. OSMRE encourages cost sharing, but cost share is not required for the applicant to submit a proposal. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The grants and cooperative agreements may have a performance period of up to two years. Method of awarding/releasing assistance: lump sum.

Reports:

Programmatic reports are required on a semi-annual basis for the period ending March 31 and Sept 30 of each year and a final programmatic report is required using the applicable SF- Performance Progress Report (PPR) 30 day after the end of the project period. Cash reports are not applicable. Financial (SF-425 and SF 425A) and programmatic reports are required. Cooperative Agreement requires substantial involvement in the scope of work and are subject to performance monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or passthrough entity in the case of a subrecipient, except as noted in 2 CFR Part 200.333.

Account Identification:

14-5015-0-2-999.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Obligations: PY 2016 \$0; CY 2017 est. \$0 budgeted; and BY 2018 est. \$0 budgeted.

Range and Average of Financial Assistance:

Award amounts ranges from \$116K to \$200K.

TAFS Codes:

17-5015.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Past Underground Mine Map project accomplishments include the digital conversion of historical underground mine maps, active mining and reclamation data, and abandoned mine land project data to digital form. Additionally, business process enhancements were made to facilitate the creation of future digital geospatial data through state permitting and data translation procedures.

Applied Science funded projects to study and develop low-cost green technologies to remediate and restore acid mine drainage (AMD) and impacted soil.

The GeoMine Data Conversion Projects have funded the digital conversion of over 10,000 surface coal mining boundaries in 3 states and conversion of legacy water databases in several states. Fiscal Year 2017: OSMRE is currently funding two projects under the Acid Mine Drainage Technology Initiative (currently known as Mine Drainage Technology Initiative). Projects consist of a study to better understand the selenium sorption in coal mine drainage and a study investigating the effects of conductivity on a suite of stream organism found in coal mining regions. The program anticipated to issue 26 additional awards based on funding appropriations and availability. Fiscal Year 2018: The program anticipated to issue 26 awards based on funding appropriations and availability.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87; as amended; and informal agency guidelines titled "Federal Assistance Manual."

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Yolande J.C. Norman Office of Surface Mining Reclamation and Enforcement, Department of the Interior, 1951 Constitution Ave., N.W., Washington, District of Columbia 20240 Phone: (202) 208-2868 Fax: (202) 219-0239.

Website Address:

<http://www.osmre.gov>

RELATED PROGRAMS:

15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Applied Science program funded a study to develop low-cost green technologies to remediate and restore acid mine drainage (AMD) and impacted soil.

-The Acid Mine Drainage Technology Initiative conduct studies to better understand the selenium sorption in coal mine drainage. Fiscal Year 2017: Program anticipates funding projects that will address critical challenges in energy production by investing in applied science projects, or studies, that

would advance technologies and practices specific to coal mined sites for more comprehensive ecosystem restoration, including reforestation and reclamation for the protection and enhancement/recovery of threatened and endangered bat species, and mapping and hydrologic assessment of flooded/flooding abandoned underground mines. Fiscal Year 2018: Program has not yet selected projects for funding.

CRITERIA FOR SELECTING PROPOSALS:

The criteria used to evaluate and select proposal will be based on an objective merit review, program policy factors, provisions of appropriation laws, reports, and as otherwise specified in the Notice of funding Opportunity.

**15.406 NATIONAL PARK SERVICE CENTENNIAL CHALLENGE
FEDERAL AGENCY:**

National Park Service, Department of the Interior

AUTHORIZATION:

54 U.S.C. 101702(a) Cooperative Agreements, Transfer of Service
Appropriated Funds; 54 USC 101701(b)
Challenge Cost-share Agreement Authority, and; Public Law 110-161, Title I,
Department of the Interior, Centennial Challenge.

OBJECTIVES:

The National Park Centennial Initiative is an effort to prepare national parks for another century of conservation, preservation, and public enjoyment. Project efforts will improve parks and serve all Americans, especially our nation's youth.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

For the purpose of sharing costs or services in carrying out authorized functions and responsibilities of the Secretary of the Interior with respect to any unit or program of the National Park System through Centennial Challenge projects.

Applicant Eligibility:

Non-federal partners may include state agencies, Tribes, local governments, non-governmental organizations, private companies, and private individuals. Non-federal partners are expected to match NPS Centennial Challenge Cost Share funds at or above 1:1 for their respective projects.

Beneficiary Eligibility:

State and local governments, private, public, profit, nonprofit organizations and institutions who are positioned to contribute at least 50 percent of the value of projects included in the list of approved projects and which support the NPS Centennial Challenge.

Credentials/Documentation:

Proposals that support the goals of the NPS Centennial Challenge including commitment letters from partners demonstrating ability to contribute the required match and readiness to complete the project. Proposals include description of the project, proposed budget, commitments from recipients. 2 CFR, Part 200 in its entirety applied to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Proposals are developed in coordination with NPS managers which are then submitted through the NPS Project Management Information System (PMIS) for priority setting by a panel who recommends to Regional Directors and the NPS Director projects for approval in accordance with Centennial Challenge priorities. The approved projects are placed on an eligible list to receive funding, when available. The NPS makes the final decisions on funding. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications will be considered via an internal NPS system, PMIS. Interested parties should work with an NPS office to submit a project proposal.

Award Procedure:

Proposals received are reviewed on the basis of a competitive, merit-based

review process, and are rated in accordance with evaluation criteria

A panel of NPS staff recommends projects to the NPS Director, who makes the final decision on project selection.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project.

Projects eligible for funding are submitted up to 12 months in advance of funding determinations.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Partner(s) contribute at least 50% of the project cost to the NPS in the form of cash or assets from non-federal sources. In-kind services from the partner may only be received in addition to, not in place of, the 1:1 cash or asset contribution.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project approval, execution and completion period is typically for a time period not to exceed 24 months. Specific requirements for project execution and completion are outlined in detailed project plans and budgets. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit Program Performance Reports. Cash reports are not applicable. For projects under \$100,000 the NPS will require a budget status update and project progress report on an annual basis. Projects over \$100,000 must submit quarterly reports to the Centralized Centennial Acquisitions and Financial Assistance Team. All projects and activities are monitored for progress and compliance with the use of Quarterly and Final Narrative Reports and the Financial Status Report SF-425. Recipients are reimbursed by using ASAP. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-2645-0-1-303.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$14,907,080; FY 17 est \$12,528,406; and FY 18 est \$12,528,406 - FY16: \$14,907,080
FY17 (Estimated) \$12,528,406
FY18 (Estimated) \$12,528,406.

Range and Average of Financial Assistance:

Range. \$2,000.-2,500,000
Average. \$220,295.

TAFS Codes:

14-2645.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Funds were distributed to assist park partners in ramp-up to the Centennial. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

None.

Headquarters Office:

Lori Bianchet Department of the Interior,
National Park Service,
1849 C Street, N.W., Washington, District of Columbia 20240 Phone: (202) 208-0000

Website Address:

<http://www.nps.gov/2016>

RELATED PROGRAMS:

15.916 Outdoor Recreation_Acquisition, Development and Planning; 15.921 Rivers, Trails and Conservation Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Installation of off-road bike trail at Cuyahoga Valley National Park and refurbished historic log cabin through partnerships with youth corps and non-profit organizations. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Proposed projects and programs must: Provide for authorized activities to benefit one more of the 413 units of the National Park System; Contribute toward at least one of the five centennial overarching goals as stated in The Future of America's National Park; Require little or no additional recurring NPS operating funds to be sustainable.

Have a partner(s) willing to contribute at least 50% of the project cost in cash from non-Federal sources as evidenced by a letter of commitment from the partner(s).

15.407 KEWEENAW NATIONAL HISTORICAL PARK (NHP) AND KEWEENAW NHP ADVISORY COMMISSION PARTNER ENHANCEMENT GRANTS

Keweenaw Heritage Grants

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Keweenaw NHPs Enabling Legislation 16 U.S.C. 410yy-6 Cooperative Agreements, and; 16 U.S.C. 410yy-7(a) Financial and Technical Assistance.

OBJECTIVES:

To provide funds to enhance partner projects that will mark, interpret, or restore non-Federal properties.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

NPS Grants: Funding is to be used by owners of properties containing nationally significant historic or cultural resources to mark, interpret, and restore non-Federal properties within the park. The National Park Service shall have right of access at reasonable times to public portions of the property related to the enhancement funds for the purpose of conducting visitors through such property and interpreting them to the public. No changes or alterations shall be made in such properties except by mutual agreement between the NPS and the other parties to the grant agreement(s). The availability of discretionary funding is determined by Keweenaw NHP each fiscal year.

Keweenaw NHP Advisory Commission Grants: Funding is to be used by owners or operators of properties containing local, state, or nationally

significant historic or cultural resources to mark, interpret, and restore properties on the Keweenaw Peninsula. The availability of discretionary funding is determined by Keweenaw NHP Advisory Commission each fiscal year.

For further information please contact the regional office. For further information please contact the regional office.

Applicant Eligibility:

For NPS Grants: Owners of properties within the legislated boundaries of Keweenaw National Historical Park containing nationally significant historic or cultural resources. For Keweenaw NHP Advisory Commission Grants: Owners or operators of property containing local, state, or nationally significant historic or cultural resources on the Keweenaw Peninsula.

Beneficiary Eligibility:

Owners of properties within the legislated boundaries of Keweenaw National Historical Park containing nationally significant historic or cultural resources including state and local governments; public and private nonprofit organizations; and individual, partnership, and corporate private property owners. For Keweenaw NHP Advisory Commission 2013 Grants: Owners or operators of properties on the Keweenaw Peninsula located within the boundaries of Keweenaw NHP: affiliated with an existing Keweenaw Heritage Site; or located at the Cliff Mine, along Torch Lake or within the community of Painesdale containing nationally significant historic or cultural resources including state and local governments; public and private nonprofit organizations; and individual, partnership, and corporate private property owners.

Credentials/Documentation:

Documentation of ownership of the subject property is required of all applicants in the form of a registered deed or presentation of the most recent tax bill. All applicants other than individual property owners are required to cite laws or by-laws that authorize the organization to accept funds.

For Keweenaw NHP Advisory Commission Grants: Proof of property/project/program location, if requested. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Environmental compliance in accordance with the National Environmental Policy Act (NEPA) and Section 106 of the National Historic Preservation Act (NHPA) are required, and will be completed in collaboration with the NPS Interdisciplinary Team (IDT). An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further

information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Renewals are not expected as awards will be project-specific for a specified time period.

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Matching Requirements: For NPS Grants: The property owner/ organization must be able to provide a cash match in accordance with the prevailing legislation at the time of disbursement. Current legislative match requirements will be posted with specific grant opportunity.

For Keweenaw NHP Advisory Commission Grants: The property owner or operator must be able to provide a one to-one match, with up to 100% of the match being in-kind.

For Advisory Commission grants awarded within the designated residential properties set-aside, the property owner must provide a three-to-one cash match (i.e. - \$3 of property owner cash for each \$1 of Advisory Commission grant funds).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is provided on a project-specific basis or within a specified time period. Most projects are completed within months, but may vary depending on complexity and other factors. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance: lump sum; for NPS grants, on a reimbursable basis.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. SF-425, Federal Financial Report. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1036-0-1-303.

Obligations:

(Project Grants (Discretionary)) FY 16 \$263,000; FY 17 est \$251,500; and FY 18 est \$251,500

Range and Average of Financial Assistance:

RANGE: \$1.00-\$250,000.
AVERAGE: \$1500-\$30,000.

TAFS Codes:

14-00-0000-0000-1036-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Continued to fund grants through the Commission in order to continue enhancements and access to the Historic Park.

2. Provided funding to the Keweenaw Explorations Company with properly capping a recently displaced closure of the Adventure Mine No.1 Shaft..

3. Provided funding to the Village of Calumet Historic District Commission (HDC) to support the fulfillment of their duties to safeguard the historical and architectural heritage of the Calumet Civic and Commercial Historic District established in 2002. Fiscal Year 2017: 1. Continue to fund grants through the Commission in order to continue enhancements and access to the Historic Park.

2. Continue to provide funding to the Village of Calumet Historic District Commission (HDC) to support the fulfillment of their duties to safeguard the historical and architectural heritage of the Calumet Civic and Commercial Historic District established in 2002. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Further information on Keweenaw NHP grant programs is available at <http://www.nps.gov/kewe/grants> .

Regional or Local Office:

See Regional Agency Offices. Elizabeth Rossini, Assistant Superintendent
800 E. Lakeshore Dr.
Houghton, MI 49931
Phone: (906)487-7142
Email: betsy_rossini@nps.gov.

Headquarters Office:

Tom Baker, 25970 Red Jacket Road., Calumet, Michigan 49913 Email: thomas_m_baker@nps.gov Phone: (906) 483-3040

Website Address:

<http://www.nps.gov/kewe/grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Install solar power system to eliminate overhead utilities and restore area near Quincy Mine to historic nature. Provided funding to the Keweenaw Explorations Company with properly capping a recently displaced closure of the Adventure Mine No. 1 Shaft. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.408 BUREAU OF OCEAN ENERGY MANAGEMENT RENEWABLE ENERGY

Renewable Energy Program

FEDERAL AGENCY:

Bureau of Ocean Energy Management, Department of the Interior

AUTHORIZATION:

43 U.S.C. 1337; 43 U.S.C. 1345 (e); 43 U.S.C. 1346.

OBJECTIVES:

The Renewable Energy Program oversees the leasing of areas on the outer continental shelf for development of renewable energy facilities. The program not only supports decisions made within the Department of the Interior, but also provides coastal states and local governments with the information necessary to ensure that all stages of offshore renewable energy projects are conducted in a manner to protect both the human and natural environments. The Outer Continental Shelf Lands Act (OCSLA) Section 1345 authorizes the use of cooperative agreements with affected states to meet the requirements of OCSLA, including sharing information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and state laws, regulations, and stipulations relevant to outer continental shelf

operations both onshore and offshore.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be used to acquire information to satisfy the information needs associated with leasing and plan review for renewable energy development on the outer continental shelf. Applications must be for projects which are within BOEM's area of responsibility.

Applicant Eligibility:

State agencies and public universities may apply. More than one institution may collaborate in the preparation of an application for assistance.

Beneficiary Eligibility:

Research scientists, Federal, state and local decision makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed project. This includes submission of proposals that describe the proposed activities, identify methods for accomplishing the activities, and/or describe the process for ensuring that qualified personnel are used to conduct the activities. The regulations at 2 CFR 220 Cost Principles for Educational Institutions (OMB Circular A-21) and 2 CFR 225 Cost Principles for State, Local and Indian Tribal Governments (OMB Circular No. A-87) apply to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest are permitted. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The regulations under 43 CFR Part 12 apply to this program. Applicants are required to post their applications on www.grants.gov.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals may include mission relevance, technical merit, and the quality and appropriateness of the personnel, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that three applications will be received and three cooperative agreement awards will be made in FY 2016.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 - 270 days.

Appeals:

Not Applicable.

Renewals:

Renewals can be made through submission and approval of a project agreement amendment.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Cost sharing of 1:1 is encouraged. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded as a lump sum, or added incrementally as funds become available. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports; draft and final report; draft and final technical summary; data must be made publicly available. Progress reporting requirements are met through the quarterly progress report. Annual financial reports and a final report using the Federal Financial Report SF-425 are also required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

(Cooperative Agreements) FY 16 \$400,000; FY 17 est \$700,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range is \$100,000 to \$1,000,000; Average \$500,000.

TAFS Codes:

14-1917.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fish telemetry studies. Fiscal Year 2017: Field surveys for marine mammals. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about plan requirements can be found in 30 CFR Part 585.

Regional or Local Office:

None.

Headquarters Office:

Mary Boatman 381 Elden Street, HM 3115, Herndon, Virginia 20170 Email: mary.boatman@boem.gov Phone: (703) 787-1662 Fax: (703) 787-1708

Website Address:

<http://www.boem.gov/>

RELATED PROGRAMS:

15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP); 15.424 Marine Minerals Activities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Atlantic Fish Telemetry: Monitoring Endangered Atlantic Sturgeon and Commercial Finfish Habitat Use Offshore New York. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Panels of BOEM, and non-BOEM scientist (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals may include mission relevance, technical merit, and the quality and appropriateness of the personnel, including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.421 ALASKA COASTAL MARINE INSTITUTE

(ALASKA CMI)

FEDERAL AGENCY:

Bureau of Ocean Energy Management, Department of the Interior

AUTHORIZATION:

43 U.S.C. 1345 (e); 43 U.S.C. 1346 Section 20.

OBJECTIVES:

The Bureau of Ocean Energy Management (BOEM) provides major economic and energy benefits on a national and local level to the taxpayers, States and the American Indian community. The BOEM oversees the exploration and development of oil, natural gas and other minerals and renewable energy alternatives on the Nations outer continental shelf. BOEM continues to look for better ways to serve the American people and to ensure that the Nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of Interior, but also provides coastal States and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. Outer Continental Shelf Lands Act (OCSLA) Section 1346 mandates the conduct of environmental and socioeconomic studies needed for the assessment and management of environmental impacts on the human, marine, and coastal environments which may be affected by oil and gas or other mineral development. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The purpose of the Alaska Coastal Marine Institute is to use highly qualified scientific expertise at local levels to collect and disseminate environmental information needed for OCS oil and gas and marine minerals decisions; address local and regional OCS-related environmental and resource issues of mutual interest; and strengthen the BOEM-State partnership in addressing OCS oil and gas and marine minerals information needs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Environmental Studies Program allocates up to \$100,000 per year for cooperative research at the University of Alaska. The University of Alaska invites proposals that are reviewed by a Technical Steering Committee including the University, State of Alaska, and BOEM, and funds individual awards. Applications must be for scientific research projects which are within the BOEM' area of responsibility. The majority of funds are used to support research that will enhance understanding of long-term effects of oil and gas, and marine minerals projects. Funds can also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization.

Applicant Eligibility:

University of Alaska may make application for support by a named principal investigator. Non-UA scientists may participate in collaboration with a UA principal investigator.

Beneficiary Eligibility:

Research scientists, Federal, State and local decision-makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicants qualifications for performance of the proposed research including past professional publications, resumes, and lists of past projects must be provided. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest are permitted. Environmental Impact Statements are not required for these projects. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Letters of intent responding to particular information needs/areas will be requested. From these, selected full proposals will be invited for mission-relevant research likely to provide needed information for OCS oil and gas resource management decisions. Proposals must include a full statement of work, estimated budget, and resumes. The standard SF-424

application forms required by 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subpart F, or its revisions, must be used.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, outreach, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that 6 applications will be received and 6 awards will be granted in FY 2016, with a similar number anticipated for FY 2017.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 150 to 360 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Recipients share costs on a one-dollar-for-one-dollar contribution across the program. In any fiscal year, the collective CMI match commitment must equal or exceed BOEM contributions. On rare occasions exceptions may be considered.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded incrementally as funds become available, with approximately 15% to be provided in the final year of performance. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Quarterly progress reports and an annual report on the progress of the project funded under the cooperative agreement and presentations at a publicly held meeting as directed by BOEM are required. A draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required for each award. Dissemination of the research results conducted under the CMI at professional society meetings and symposia is encouraged. Cash reports are not applicable. Progress reports are not applicable. Annual and final Federal Financial Reports using OMB Standard Form SF-425 are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

(Cooperative Agreements) FY 16 \$1,059,000; FY 17 est \$684,800; and FY 18 est \$0

Range and Average of Financial Assistance:

Range is \$12,000 to \$360,000; Average \$170,000.

TAFS Codes:

14-1917.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Alaska CMI has 60 completed /ongoing funded studies since its inception in 1993 totalling approximately \$20.5 million. All CMI funded projects require a one-to-one cost share with non-federal partners. Cost sharing ensures stakeholders are participating in the CMI research program. The following list of cost share partners demonstrates the breadth of support for CMI-funded programs: Alaska Department of Fish and Game; Alaska SeaLife Center; Arctic Supercomputing Center, UAF; Center for Global Change, UAF; Department of Civil and Environmental Engineering Geophysical Institute, UAF ;Institute of Northern Engineering, UAF; International Arctic Research Center, UAF; JAMSTEC ; Naval Research Laboratory; North Slope Borough; Oregon State University; Pacific Gyre; PenAir; School of Fisheries and Ocean Sciences, UAF; Shell Alaska; and Teck Alaska.

In addition to the completion of Annual Reports, peer review journal articles and reports have been completed. During the last two years, there have been student awards issued independently. Both the students and professors who receive CMI funding present their findings on an annual basis. Over the last several years this has occurred in coordination with the Alaska Marine Science Symposium. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Environmental Studies Program is described in 30 CFR Chapter II Subchapter B Subpart M Studies. Final Reports are available online in the BOEM Environmental Studies Program Information System (ESPIS) at: <http://www.boem.gov/Environmental-Studies-EnvData/>.

Regional or Local Office:

See Regional Agency Offices. Heather Crowley, Telephone: 907-334-5281; Fax 907-334-5242; Email address: heather.crowley@boem.gov.

Headquarters Office:

Rodney Cluck Division of Environmental Sciences, Bureau of Ocean Energy Management, 381 Elden Street, HM 3115, Herndon, Virginia 20170 Email: rodney.cluck@boem.gov Phone: (703) 787-1087 Fax: (703) 787-1053

Website Address:

<http://www.boem.gov>

RELATED PROGRAMS:

15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI); 15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Measuring Wave Forces along Alaskas Coastal Sea Ice. Fiscal Year 2017: Identifying Sources of Organic Matter to Benthic Organisms in the Beaufort and Chukchi Outer Continental Shelves. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Panels of BOEM, and non-BOEM scientists (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.422 LOUISIANA STATE UNIVERSITY (LSU) COASTAL MARINE INSTITUTE (CMI)

(LSU CMI)

FEDERAL AGENCY:

Bureau of Ocean Energy Management, Department of the Interior

AUTHORIZATION:

43 U.S.C. 1345 (e); 43 U.S.C. 1346 Section 20.

OBJECTIVES:

The Bureau of Ocean Energy Management (BOEM) provides major economic and energy benefits on a national and local level to the taxpayers, States and the American Indian community. The BOEM oversees the exploration and development of oil, natural gas and other minerals and renewable energy alternatives on the Nations outer continental shelf. BOEM continues to look for better ways to serve the American people and to ensure that the Nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of Interior, but also provides coastal States and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. Outer Continental Shelf Lands Act Section 1346 mandates the conduct of environmental and socioeconomic studies needed for the assessment and management of environmental impacts on the human, marine, and coastal environments which may be affected by oil and gas or other mineral development. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The purpose of the Louisiana State University Coastal Marine Institute (CMI) is to use highly qualified scientific expertise at local levels to collect and disseminate environmental information needed for OCS oil and gas and marine minerals decisions; address local and regional OCS-related environmental and resource issues of mutual interest; and strengthen the BOEM-State partnership in addressing OCS oil and gas and marine minerals information needs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Environmental Studies Program allocates \$1,000,000 annually for cooperative research via LSU. Applications must be for scientific research projects which are within the BOEM area of responsibility. The majority of funds are used to support research that will enhance understanding of long-term effects of oil and gas, marine minerals and alternate energy development projects. Funds can also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization.

Applicant Eligibility:

To apply for a research award, the recipient is asked to provide the name of the Principal Investigator. If an applicant other than LSU wants to apply, they must do so in collaboration with an LSU research scientist.

Beneficiary Eligibility:

Research scientists, Federal, State and local decision-makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicants qualifications for performance of the proposed research including past professional publications, resumes, and lists of past projects must be provided. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest are permitted. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Letters of intent responding to particular information needs/areas will be requested. From these, selected full proposals will be invited. Applicants shall post their applications on Grants.gov. The standard SF-424 application forms required by 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subpart F, or its revisions, must be used.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as

appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that twenty-four applications will be received and four awards will be granted in FY 2016.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 180 to 270 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Recipients share costs on a dollar-for-dollar contribution across the program. In any fiscal year, the collective LSU CMI match commitment must equal or exceed BOEM contributions. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded as a lump sum, or added as funds become available. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Quarterly progress reports and an annual report on the progress of the project funded under the cooperative agreement and presentations at a publicly held meeting as directed by BOEM are required. A draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required for each award. Dissemination of the research results conducted under the CMI at professional society meetings and symposia is encouraged. Cash reports are not applicable. Progress reports are not applicable. Annual and financial reports using the Federal Financial Report SF-425 are required. Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

(Cooperative Agreements) FY 16 \$913,809; FY 17 est \$570,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range is \$100,000 to \$450,000; Average \$250,000.

TAFS Codes:

14-1917.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No current data available. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Environmental Studies Program is described in 30 CFR Chapter II

Subchapter B Subpart M Studies. Final reports are available online in the BOEM Environmental Studies Program Information System (ESPIS) at: <http://www.boem.gov/Environmental-Studies-EnvData/>.

Regional or Local Office:

See Regional Agency Offices. Melanie Damour, Telephone: 504-736-2783; Fax: 504-736-2901; E-mail: Melanie.Damour@BOEM.gov.

Headquarters Office:

Rodney Cluck Division of Environmental Sciences, Bureau of Ocean Energy Management, 45600 Woodland Road, Sterling, Virginia 20166 Email: rodney.cluck@boem.gov Phone: (703) 787-1087 Fax: (703) 787-1053.

Website Address:

<http://www.boem.gov>

RELATED PROGRAMS:

15.421 Alaska Coastal Marine Institute; 15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Mass Wasting Processes and Products of the Mississippi Delta Front: Data Synthesis and Observation. Fiscal Year 2017: Economic and Geomorphic Comparison of OCS Sand vs. Nearshore Sand for Coastal Restoration Projects. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Panels of BOEM, and non-BOEM scientists (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.423 BUREAU OF OCEAN ENERGY MANAGEMENT (BOEM) ENVIRONMENTAL STUDIES (ES)

(ESP)

FEDERAL AGENCY:

Bureau of Ocean Energy Management, Department of the Interior

AUTHORIZATION:

43 U.S.C. 1345 (e); 43 U.S.C. 1346 Section 20.

OBJECTIVES:

The Bureau of Ocean Energy Management (BOEM) provides major economic and energy benefits on a national and local level to the taxpayers, states and the American Indian community. The BOEM oversees the exploration and development of oil, natural gas and other minerals and renewable energy alternatives on the nations outer continental shelf. BOEM continues to look for better ways to serve the American people and to ensure that the Nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of Interior, but also provides coastal States and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. The Outer Continental Shelf Lands Act (OCSLA) Section 1346 mandates the conduct of environmental and socioeconomic studies needed for the assessment and management of environmental impacts on the human, marine, and coastal environments which may be affected by oil and gas or other mineral development. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The purpose of the Environmental Studies Program is to obtain the information needed for the assessment and the management of environmental impacts; to predict impacts on marine biota; and to monitor the human, marine, and coastal environments to provide time series and data trend information.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be used to conduct environmental research to satisfy the information needs associated with oil and gas, alternate energy and marine mineral development on the outer continental shelf. Applications must be for scientific research projects which are within the BOEM' area of responsibility. The majority of funds are used to support research that will enhance understanding of long-term effects of oil and gas, marine minerals and alternate energy development projects. Funds can also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization.

Applicant Eligibility:

State agencies, public universities, and non-profits in affected states may apply. More than one institution may collaborate in the preparation of an application for assistance. Scientists from other institutions may participate in collaboration with a principal investigator from a State agency or public university.

Beneficiary Eligibility:

Research scientists, Federal, State and local decision-makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicant's qualifications for performance of the proposed research including past professional publications, resumes, and lists of past projects must be provided. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest may be permitted. Applicants should consult the office or official designated as the single point of contact in their State to find out if the State has selected this program for review and for information on the process the State requires when applying for Federal assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals must include a full statement of work, estimated budget, and resumes. Applicants shall post their applications on www.grants.gov. The standard SF-424 application forms required by OMB Circular A-102, 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subparts C and F, or its revisions, must be used.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that ten applications will be received and ten new cooperative agreement awards will be granted in FY 2016.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 - 270 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: No statutory requirements. Cost sharing of 1:1 is encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded incrementally as funds become available, with approximately 15% to be provided in the final year of performance. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Quarterly progress reports; a presentation at periodic BOEM Information Transfer Meetings; a draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required. Dissemination of the research results at professional society meetings and symposia is encouraged. Cash reports are not applicable. Progress reports are not applicable. Annual and final financial reports using the Federal Financial Report SF-425 are also required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. .

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

(Cooperative Agreements) FY 16 \$4,952,461; FY 17 est \$7,600,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range is \$150,000 to \$1,200,000; Average \$350,000.

TAFS Codes:

14-1917.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Distribution and Relative Abundance of Marine Mammals in the Chukchi Sea and the Fall Migration of Bowhead Whales in the Beaufort Sea. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Environmental Studies Program is described in 30 CFR Chapter II Subchapter B Subpart M-Studies. Final reports are available online in the BOEM Environmental Studies Information System at: <http://www.boem.gov/Environmental-Studies-EnvData/>

Regional or Local Office:

None.

Headquarters Office:

Rodney Cluck Bureau of Ocean Energy Management, 45600 Woodland Road, Sterling, Virginia 20166 Email: rodney.cluck@boem.gov Phone: (703) 787-1087 Fax: (703) 787-1053

Website Address:

<http://www.boem.gov>

RELATED PROGRAMS:

15.421 Alaska Coastal Marine Institute; 15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Assessing Temporal and Spatial Variability in Community

and Parish-Level Responses to Oil Spills and Other Events in Coastal Louisiana, \$219,559. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Panels of BOEM, and non-BOEM scientists (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.424 MARINE MINERALS ACTIVITIES - HURRICANE SANDY
(Marine Minerals Activities)

FEDERAL AGENCY:

Bureau of Ocean Energy Management, Department of the Interior

AUTHORIZATION:

Outer Continental Shelf (OCS) Lands Act, 43 U.S.C. 1331.

OBJECTIVES:

To evaluate OCS sand deposits for coastal restoration and beach nourishment needs, and to foster good working relationships regarding OCS mineral issues with coastal States due to effects from hurricanes and coastal erosion. This can be accomplished through a variety of activities such as conducting state needs assessments, geophysical and geological surveys of specific areas on the OCS, analysis of existing or new geophysical and geological data to delineate new borrow areas, offshore bathymetry research, seismic data analysis and interpretation, application of marine resistivity or other geophysical techniques for sand resource identification, and cultural resources identification.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The funds must be spent for OCS-related sand activities. These cooperative agreements are awarded to assist States in order to identify needs and sand supplies for beach nourishment projects.

Applicant Eligibility:

Proposals are received from coastal States in need of coastal restoration.

Beneficiary Eligibility:

State agencies and organizations participating in the project, as well as the general public.

Credentials/Documentation:

States submit proposals to BOEM, which furnish evidence of the applicant's qualifications for performance of the proposed research. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

A Notice of Funding Availability was posted on Grants.gov notifying applicants that BOEM was accepting proposals for the FY 2014 funding opportunities. Qualified applicants were given an opportunity to define their requirements and needs through the submittal of a proposal. After review, BOEM reviewed the applications and chose award recipients. Applicants should consult the office of official designated as the single point of contact in their State to find out if the State has selected this program for review and for information on the process the State requires when applying for Federal assistance. For FY2016, the current cooperative agreements will be modified to continue new work that BOEM will specify. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is

subject to the Department of the Interior regulations at 43 CFR Part 12, or any subsequent revisions. The standard SF-424 application forms must be used for this program. Applicants will be asked to submit their proposals electronically through Grants.gov.

Award Procedure:

BOEM and potentially representatives from other Federal agencies will review and discuss the States' proposals and make the final decision on the funding for a project. A notification of a cooperative agreement award is issued so the project can begin.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

90 days.

Appeals:

Not Applicable.

Renewals:

Projects that were approved and funded during the previous fiscal year may be submitted as renewals for subsequent years unless otherwise stated in the Notice of Funding Availability.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Leasing Division-Marine Minerals Program encourages a 1-to-1 match of each Federal dollar with a nonfederal dollar. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12-month budget periods. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Annual and final technical reports are required. Cash reports are not applicable. Applicable. Annual and final financial reports using the OMB SF-425 form are required. Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-0-306.

Obligations:

(Cooperative Agreements) FY 16 \$3,500,000; FY 17 est \$500,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range is \$500,000 to \$600,000.

TAFS Codes:

14-1917.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Final deliverables from the 13 Sandy-funded Cooperative Agreements completed. Cooperative agreements were awarded to University of Texas and University of Southern Mississippi. Both are performing on schedule to assemble existing data and identify data gaps to inform the offshore sediment inventory initiative in the Gulf of Mexico. Cooperative agreement with University of Delaware for a regional geologic framework offshore of the Delmarva Peninsula. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations include the OCS Lands Act, Endangered Species Act, Magnuson-Stevens Fisheries Conservation Act, the National Environmental Policy Act (NEPA) of 1969, and the Coastal Zone Management Act.

Regional or Local Office:

See Regional Agency Offices. BOEM New Orleans Office: GOM OCS Region, 1201 Elmwood Park Blvd., New Orleans, LA 70123-2394; BOEM Pacific Office: 770 Paseo Camarillo, 2nd Floor, Camarillo, CA 93010.

Headquarters Office:

Chief BOEM, Leasing Division, 45600 Woodland Road, MS 3120, , Sterling, Virginia 20166 Phone: (703) 787-1215

Website Address:

<http://www.boem.gov/MarineMineralsProgram>

RELATED PROGRAMS:

15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Existing 13 Hurricane Sandy-funded agreements modified to support additional BOEM needs, approximately \$3,000,000 in total. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The most important criteria are the relevance to State and Federal needs, technical ability of the State agency, and cost of the project.

15.427 FEDERAL OIL AND GAS ROYALTY MANAGEMENT STATE AND TRIBAL COORDINATION

(FOGRMA)

FEDERAL AGENCY:

Office of the Secretary, Department of the Interior

AUTHORIZATION:

Federal Oil and Gas Royalty Management Act of 1982, Public Law 97-451, as amended.

OBJECTIVES:

To ensure that all oil, gas, and solid minerals originated on the public lands and on the Outer Continental Shelf are properly accounted for under the direction of the Secretary of the Interior, and for other purposes.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All projects are restricted to State and Federally recognized Tribal Governments with cooperative audit agreements executed in compliance with the Authorization above. No discretionary funds are available under this program.

Applicant Eligibility:

State and Tribal Governments as specified in Title II of the Federal Oil and Gas Royalty Management Act of 1982, as amended.

Beneficiary Eligibility:

States and Federally recognized Tribal Governments that receive funds collected as a result of the compliance activities on Federal and Indian mineral leases.

Credentials/Documentation:

Must follow 30 CFR Parts 1200 to 1699 to end Mineral Resources, Government Accountability Offices (GAO) Generally Accepted Government Audit Standards (Yellowbook), and Office of Natural Resources Revenue Audit and Compliance Procedures. 43 CFR 12 and the Cost Principles, as of December 26, 2014 the 2 CFR 200 will supersede the 43 CFR 12 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point-of-contact in his or her State for more information on the process the State requires in applying for assistance, if the State has selected the program

for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Projects are reviewed at the Office of Natural Resources Revenue (ONRR) State and Tribal Support (STS). Program level and funding recommendations are made through each State and Tribe's annual work plan and budget. Final budget approvals rest with the ONRR Director's Washington Office.

Deadlines:

Apr 01, 2017 For recipients on the Federal fiscal year cycle, applications are due on April 1st of each year. For recipients operating on an alternative fiscal year cycle, such as the State fiscal year cycle, applications are due on April 1, as well.

Range of Approval/Disapproval Time:

New projects are approved through the project review and budget cycle that normally requires 180 days to receive funding.

Appeals:

Not Applicable.

Renewals:

For State agreements, 30 CFR 1227.110 entitled, When and for how long are delegation agreements effective? For Tribal agreements, 30 CFR 1228.101 entitled, Terms of Agreement..

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. No. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Per 30 CFR 1227.110, agreements are effective for three years with an option to renew for an additional three years; however, projects are funded on a year to year basis, and funds are expended during a particular fiscal year. Reimbursements are drawn down either monthly or quarterly throughout the fiscal year. See the following for information on how assistance is awarded/released: Funding is drawn down either monthly or quarterly throughout the fiscal year.

Reports:

No program reports are required. Cash reports are not applicable. Progress reports are required quarterly. Federal Financial Reports (SF 425) are required at the end of the yearly performance period. Performance reports are required quarterly.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records relating to work performed and costs are kept by ONRR. There is no fixed records schedule. Records for grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments.". As of December 26, 2014, omni circular 2 CFR Part 200 will supersede 43 CFR Part 12.

Account Identification:

15-1917-0-1-302.

Obligations:

(Project Grants) FY 16 \$13,283,000; FY 17 est \$13,548,000; and FY 18 est \$13,548,000

Range and Average of Financial Assistance:

Awards range from \$230,000 to \$3.0 million. Average is \$892,000.

TAFS Codes:

14-1917.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Significant contributions to ONRRs mission work and compliance goals. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

ONRRs cooperative (tribal) and delegated (State) audit program is basically guided by the provisions in 30 CFR Parts 1227 and 1228. States and Tribes use the guidance contained in the GAO Yellowbook and two ONRR procedural manuals for audits and compliance activities.

Regional or Local Office:

See Regional Agency Offices. Ms. Heidi Badaracco, P.O. Box 25165, Mail Stop 64110C, Denver, CO 80225-0165. Telephone: (303) 231-3434, Fax: (303) 445-9897, Email: heidi.badaracco@onrr.gov.

Headquarters Office:

Director Office of Natural Resources Revenue, 1849 C Street, N.W., Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.onrr.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: States (Alaska, California, Colorado, Montana, New Mexico, North Dakota, Oklahoma, Texas, Utah, Wyoming) and Tribes (Blackfeet, Navajo Nation, Shoshone & Arapaho, Southern Ute, Ute, Ute Mountain Ute).
Fiscal Year 2017: States (Alaska, California, Colorado, Montana, New Mexico, North Dakota, Oklahoma, Utah, Wyoming) and Tribes (Blackfeet, Navajo Nation, Shoshone & Arapaho, Southern Ute, Ute, Ute Mountain Ute).
Fiscal Year 2018: Same as above.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on a balanced review including relevance to program objectives, merit, and cost effectiveness in accordance with the requirements for State agreements, 1227.103, entitled, What must a States delegation proposal contain? For Tribal agreements, it is in accordance with the requirements, 1228.100 through 1228.107.

15.428 MARINE GAS HYDRATE RESEARCH ACTIVITIES

FEDERAL AGENCY:

Bureau of Ocean Energy Management, Department of the Interior

AUTHORIZATION:

Outer Continental Shelf (OCS) Lands Act, 43 U.S.C. 1331, Gas Hydrates Research Act and the Marine Minerals Research Act.

OBJECTIVES:

To characterize and monitor gas hydrate deposits and environmental conditions on OCS Block Mississippi Canyon 118 (MC-118) in the Gulf of Mexico.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The funds must be used for gas hydrate research activities in the OCS of the Gulf of Mexico, specifically for a permanent gas hydrates monitoring station in Block MC-118. The cooperative agreements are awarded to the Center for Marine Resources and Environmental Technology (CMRET) and the Gulf of Mexico Gas Hydrates Research Consortium (GOMGHRC), based at the University of Mississippi.

Applicant Eligibility:

Proposals are received from the CMRET (and qualified subcontractors through

CMRET) for research and scientific sensory equipment for the monitoring station. Applicants must have expertise in marine gas hydrates.

Beneficiary Eligibility:

Organizations participating in the project, as well as the general public.

Credentials/Documentation:

Technical Proposals are submitted to the BOEM which furnish evidence of the applicant's qualifications for performance of the proposed research. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Applicants attended workshops and general meetings of the Gulf of Mexico Gas Hydrates Research Consortium held by the CMRET at the University of Mississippi. Research requirements are developed at these meetings for the upcoming year. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals must include a full statement of work, estimated budget, and resumes. Applicants shall post their applications on www.grants.gov.

Award Procedure:

An internal BOEM review of the proposals is conducted including convening a panel to discuss the proposals and making the final decision on the funding for the project. A notification of a cooperative agreement award is issued so the project can begin.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 - 270 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. The BOEM Gas Hydrates Research Program encourages matched contributions from other interested Federal agencies.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12-month budget periods. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Annual and final technical reports are required. Cash reports are not applicable. Progress reports are not applicable. Annual and final financial reports using the OMB SF-425 form are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$95,000; and FY 18 est \$0

Range and Average of Financial Assistance:

\$0 to \$100,000.

TAFS Codes:

14-1917.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The last financial award was made in FY 10, for the purpose of continuing technological development and establishment of a monitoring station/sea-floor observatory to monitor and investigate the hydrocarbon system within the hydrate stability zone of the Northern Gulf of Mexico. Fiscal Year 2017: No information available. Fiscal Year 2018: No Information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations include the OCS Lands Act, Gas Hydrates Research Act, and the Marine Minerals Research Act (1996).

Regional or Local Office:

See Regional Agency Offices. BOEM New Orleans Office, GOM OCS Region, 1201 Elmwood Park Blvd., New Orleans, LA 70123-2394.

Headquarters Office:

Matthew Frye BOEM Resource Evaluation Division, 381 Elden Street, MS-4070, Herndon, Virginia 20170-4817 Phone: (703) 787-1514

Website Address:

<http://www.boem.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

15.429 STATE SELECT**FEDERAL AGENCY:**

Office of the Secretary, Department of the Interior

AUTHORIZATION:

Public Lands, 43 U.S.C 852 (a)(4); Minerals, Lands and Mining, 30 U.S.C 191a.

OBJECTIVES:

ONRR shares 90 percent of oil and gas royalties with the State. Royalties are to be paid monthly, and subject to late disbursement interest. Bonuses, rentals, and other non-royalty revenues are paid semi-annually.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No specific restrictions on use.

Applicant Eligibility:

Revenue from public land leasing will trigger automatic payment distribution computed in accordance with the law.

Beneficiary Eligibility:

ONRR distributes these funds to state governments for leased lands within the State and the State government has sole discretion in their use in accordance with the enabling legislation.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Monthly automatic payment distribution.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Not applicable.

Account Identification:

14-5003-0-0-000.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$135,000; FY 17 est \$139,000; and FY 18 est \$165,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

15-5003.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resource Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director U.S. Department of the Interior, Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.430 8(G) STATE COASTAL ZONE

FEDERAL AGENCY:

Office of the Secretary, Department of the Interior

AUTHORIZATION:

Minerals, Lands and Mining, Title 43, Part 256.47(g), 30 U.S.C 191a; Public Lands, 43 U.S.C 1337.

OBJECTIVES:

ONRR shares 27 percent of mineral leasing revenue derived from any lease issued after September 18, 1978, of any Federal tract which lies wholly or partially within 3 nautical miles of the Seaward boundary of any coastal state. Shared revenue is paid monthly.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

No specific restrictions.

Applicant Eligibility:

Revenue from qualified leasing will trigger automatic payment distribution computed in accordance with the law.

Beneficiary Eligibility:

Leased Outer Continental Shelf Lands must be located within the 8(g) zone of a coastal state.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503.

Records:

Not applicable.

Account Identification:

14-6707-0-0-000.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$10,775,000; FY 17 est \$16,305,000; and FY 18 est \$18,045,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-6707.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, Colorado 80225-0165.

Headquarters Office:

Director U.S. Department of the Interior, Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240
Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.431 ALASKA SETTLEMENT AGREEMENT

FEDERAL AGENCY:

Office of the Secretary, Department of the Interior

AUTHORIZATION:

Public Lands; January 12, 1990 Settlement, 43 U.S.C 1635(l).

OBJECTIVES:

ONRR shares 100 percent with the State of Alaska to be paid monthly subject to late disbursement interest for contracts, leases, permits, rights-of-way, or easements under Section 6(h) of the Alaska Statehood Act.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No specific restrictions on use.

Applicant Eligibility:

Revenue from effected leases will trigger automatic payment distribution computed in accordance with the law.

Beneficiary Eligibility:

Limited to Leases A-028056, A-028063, A-028135, A-028143, A-028103, A-028140, A-19230, A028055, and A-028047.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Not applicable.

Account Identification:

14-5003-0-0-000.

Obligations:

(Direct Payments for Specified Use) FY 16 \$320,000; FY 17 est \$329,000; and FY 18 est \$391,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-5003.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver Colorado 80225-0165.

Headquarters Office:

Director Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**15.432 CALIFORNIA REFUGE ACCOUNT
FEDERAL AGENCY:**

Office of the Secretary, Department of the Interior

AUTHORIZATION:

Public Lands; Settlement agreement dated March 25, 1997, 43 U.S.C 1337(g)(2), (3).

OBJECTIVES:

ONRR shares 40.87 percent with the State of California.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No specific restrictions on use.

Applicant Eligibility:

Revenue from effected land leasing will trigger automatic payment distribution computed in accordance with the law.

Beneficiary Eligibility:

The State shares 38 percent of well production attributable to the portion of the well within 500 feet of the State/Federal boundary, 62 percent outside the 500 feet. Consequently, the total State share of the revenue equals 40.87 percent.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Distributions are made accordance with law.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503.

Records:

Not applicable.

Account Identification:

14-6707-0-0-000 - 14X6707.

Obligations:

(Direct Payments for Specified Use) FY 16 \$2,000; FY 17 est \$3,000; and FY 18 est \$5,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-6707.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.433 FLOOD CONTROL ACT LANDS

FEDERAL AGENCY:

Office of the Secretary, Department of the Interior

AUTHORIZATION:

Minerals, Lands and Mining, 30 U.S.C. 191(a), 355(b), 33 U.S.C 701c-3, 191(a).

OBJECTIVES:

ONRR shares 75 percent of mineral leasing revenue with the State, paid monthly and is subject to late disbursement interest.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used as the State legislature may prescribe for the benefit of public schools and public roads of the county or counties in which such property is situated, or for defraying any of the expenses of county government in such county or counties, including public obligations of levee and drainage districts for flood control and drainage improvements.

Applicant Eligibility:

Revenue from acquired Flood Control land leasing will trigger automatic payment distribution computed in accordance with the law.

Beneficiary Eligibility:

ONRR distributes these funds to state governments for leased lands within a state, and the State governments has sole discretion in their use in accordance with the enabling legislation.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from

coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Not applicable.

Account Identification:

14-5248-0-0-000.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$11,513,000; FY 17 est \$36,167,000; and FY 18 est \$43,121,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-5248.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 61211B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.434 GEOTHERMAL RESOURCES

FEDERAL AGENCY:

Office of the Secretary, Department of the Interior

AUTHORIZATION:

Minerals, Lands, and Mining, 30 U.S.C 191a, 1019.

OBJECTIVES:

ONRR shares 50 percent of mineral leasing revenue with the State, and 25 percent with the county.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used as the legislature directs giving priority to those subdivisions of the State that are socially or economically impacted by the development of minerals leased, for (1) planning, (2) construction and maintenance of public facilities, (3) provision of public service.

Applicant Eligibility:

Revenue from government owned land leasing will trigger automatic payment distribution computed in accordance with the law.

Beneficiary Eligibility:

ONRR distributes these funds to state and county governments for leased lands within the state or county and the state or county government has sole discretion in their use in accordance with the enabling legislation.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Not applicable.

Account Identification:

14-5574-0-0-000 - Counties; 14-5003-0-0-000 - States.

Obligations:

(Direct Payments for Specified Use) FY 16 \$9,265,000; FY 17 est \$9,461,000; and FY 18 est \$7,369,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-5003; 14-5574.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.435 GOMESA

FEDERAL AGENCY:

Office of the Secretary, Department of the Interior

AUTHORIZATION:

Public Law 109-432, 120 STAT 3000. Gulf of Mexico Energy Security Act of 2006, 30 CFR 1219.414.

OBJECTIVES:

ONRR shares 37.5 percent of selected revenue with Gulf producing states and political subdivisions; payable annually during the year after receipt in accordance with 30 CFR Section 1219.416.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used in accordance with all applicable Federal and State laws, only for 1 or more of the following purposes: A) Projects and activities for the purposes of coastal protection, including conservation, coastal restoration, hurricane protection, and infrastructure directly affected by coastal wetland losses; B) mitigation of damage to fish, wildlife or natural resources; C) implementation of a federally-approved marine, coastal or comprehensive conservation

management plan; D) Mitigation of the impact of Outer Continental Shelf activities through the funding of onshore infrastructure projects; E) Planning assistance and the administrative costs of complying with this section. (2) Limitation: Not more than 3 percent of amount received may be spent on planning assistance and compliance administrative costs.

Applicant Eligibility:

Revenue from selected leases will automatically trigger distribution to states and political subdivisions.

Beneficiary Eligibility:

Eligible states and political subdivisions with Louisiana, Texas, Alabama, and Mississippi.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Not applicable.

Account Identification:

14-5535-0-0-000 - 14X5535.

Obligations:

(Direct Payments for Specified Use) FY 16 \$314,000; FY 17 est \$957,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-5535.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director Office of Natural Resources Revenue, 1849 C Street, NW. Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.436 LATE DISBURSEMENT INTEREST

FEDERAL AGENCY:

Office of the Secretary, Department of the Interior

AUTHORIZATION:

Minerals, Lands and Mining, Public Law 108-447, 30 U.S.C 1721 (b).

OBJECTIVES:

ONRR pays late disbursement interest on subject payments made to States after the due date.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No specific restrictions on use.

Applicant Eligibility:

Late disbursements will trigger automatic payment distribution computed in accordance with the Law.

Beneficiary Eligibility:

ONRR pays late disbursement interest on subject payments made to States after the due date.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

The distributions are automatic and are computed based on receipts.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Not applicable.

Account Identification:

14-5003-0-0-000 - 14X5003.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$400,000; FY 17 est \$412,000; and FY 18 est \$439,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-5003.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.437 MINERALS LEASING ACT**FEDERAL AGENCY:**

Office of the Secretary, Department of the Interior

AUTHORIZATION:

Minerals, Lands and Mining, 30 U.S.C 191, 191a, 355.

OBJECTIVES:

As directed by the Minerals Leasing Act, the Office of Natural Resources Revenue (ONRR) shares 50 percent (90 percent for Alaska) of mineral leasing revenue with States. Since 2008 payments were subjected to a two percent

reduction, as required by Public Law 111-322.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used by States as the legislature directs giving priority to those subdivisions of the State that are socially or economically impacted by the development of minerals leased, for (1) planning, (2) construction and maintenance of public facilities, and (3) provision of public service.

Applicant Eligibility:

Revenue from public land leasing will trigger automatic payment distribution computed in accordance with the Law.

Beneficiary Eligibility:

State governments in which Federal leased lands and minerals are located.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by Law.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Not applicable.

Account Identification:

14-5003-0-0-000 - 14X5003.

Obligations:

(Direct Payments for Specified Use) FY 16 \$1,289,721,000; FY 17 est \$1,325,428,000; and FY 18 est \$1,574,615,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-5003.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, DC 20240.

Headquarters Office:

Director U.S. Department of Interior, Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.438 NATIONAL FOREST ACQUIRED LANDS

FEDERAL AGENCY:

Office of the Secretary, Department of the Interior

AUTHORIZATION:

Minerals, Lands and Mining, 30 U.S.C 191(a). 355(b); Conservation, 16 U.S.C 499-500.

OBJECTIVES:

ONRR shares 25 percent of minerals leasing revenue with the State in which such National Forest is situated. Revenue is paid monthly and is subject to late disbursement interest.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used as the state legislature prescribed for the benefit of the public schools and public roads of the country or counties in which such National Forest is situated.

Applicant Eligibility:

Revenue from acquired National Forest land leasing will trigger automatic payment distribution computed in accordance with the Law.

Beneficiary Eligibility:

ONRR distributes these funds to State governments for leased lands within the state and the State government had sole discretion in their use in accordance with the enabling legislation.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution are computed based on receipts and made as authorized

by Law.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Revenue is paid monthly and is subject to late disbursement interest.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Not applicable.

Account Identification:

14-5243-0-0-000 - 14X5243.

Obligations:

(Direct Payments for Specified Use) FY 16 \$3,538,000; FY 17 est \$6,976,000; and FY 18 est \$8,080,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-5243.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.439 NATIONAL PETROLEUM RESERVE - ALASKA**FEDERAL AGENCY:**

Office of the Secretary, Department of the Interior

AUTHORIZATION:

Public Lands, 43 Stat. 3231.5(f); Public Health and Welfare, 42 U.S.C 6508.

OBJECTIVES:

ONRR shares 50 percent of NPR-A oil and gas mineral leasing revenue with the State of Alaska. Royalties are to be paid monthly, and subject to late disbursement interest. Bonuses, rentals, and other non-royalty revenues are paid semi-annually.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used for (1) planning; (2) construction, maintenance, and operation of essential public facilities; and (3) other necessary provisions of public service, giving priority to use by subdivisions of the State most directly or severely impacted by development of oil and gas leasing.

Applicant Eligibility:

Revenue from public land leasing will trigger automatic payment distribution computed in accordance with the Law.

Beneficiary Eligibility:

Lease lands must be located in the National Petroleum Reserve-Alaska.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by Law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Not applicable.

Account Identification:

14-5045-0-0-000 - 14X5045.

Obligations:

(Direct Payments for Specified Use) FY 16 \$1,849,000; FY 17 est \$4,283,000; and FY 18 est \$4,500,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-5045.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director U.S. Department of the Interior, Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240
Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.440 SOUTH HALF OF THE RED RIVER**FEDERAL AGENCY:**

Office of the Secretary, Department of the Interior

AUTHORIZATION:

Minerals, Lands and Mining, 65 Stat. 252, 30 U.S.C 191(a), 355(b).

OBJECTIVES:

ONRR shares 37.5 percent of mineral leasing revenue with the State of Oklahoma paid monthly and is subject to late disbursement interest.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used by the State as the legislature directs giving priority to those subdivisions of the State that are socially or economically impacted by the development of minerals leased, for (1) planning, (2) construction and maintenance of public facilities, and (3) provision of public service.

Applicant Eligibility:

Revenue from public land leasing will trigger automatic payment distribution computed in the accordance with the Law.

Beneficiary Eligibility:

Leased lands must be located within the south half of the Red River.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Automatic distribution as authorized by Law.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Not applicable.

Account Identification:

14-5134-0-0-000 - 14X5134.

Obligations:

(Direct Payments for Specified Use) FY 16 \$9,000; FY 17 est \$9,000; and FY 18 est \$10,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-5134.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director U.S. Department of the Interior, Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240
Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.441 SAFETY AND ENVIRONMENTAL ENFORCEMENT RESEARCH AND DATA COLLECTION FOR OFFSHORE ENERGY AND MINERAL ACTIVITIES

Office of Offshore Regulatory Programs (OORP)

FEDERAL AGENCY:

Bureau of Safety and Environmental Enforcement, Department of the Interior

AUTHORIZATION:

43 U.S.C. 1345 (e); 43 U.S.C. 1346 Section 20.

OBJECTIVES:

The Bureau of Safety and Environmental Enforcement (BSEE) program provides major economic and energy benefits on a national and local level to the taxpayers and states. The Agency oversees the exploration and development of oil, natural gas and other materials and renewable energy alternatives on the Nation's outer continental shelf. BSEE continues to look for better ways to serve the American people and to ensure that the nation receives the best oversight and regulation of National resource development now and into the future. The program not only supports decisions made within the Department of the Interior, but also provides coastal states and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. The Outer Continental Shelf Lands Act (OCSLA) Sections 1347 and 1348 respectively mandate the development and enforcement of regulations that protect safety, health and the environment. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The purposes of the Office of Offshore Regulatory Program (OORP) is to obtain the information needed to improve the knowledge, practices, and technologies used to promote operational safety and pollution prevention for offshore oil and gas activities and alternate energy projects.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be used to conduct technical research to satisfy the information needs associated with oil and gas, alternate energy and marine animal development on the outer continental shelf. Applications must be for technical research projects which are within the BSEE area of responsibility. The majority of funds are used to support research that will enhance understanding of exploration and production techniques to enhance safe operations and oil-spill prevention and cleanup from oil and gas, marine minerals, and alternate energy development projects. Funds can be also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization.

Applicant Eligibility:

State agencies and public universities may apply. More than one institution may collaborate in the preparation of an application for assistance.

Beneficiary Eligibility:

Research scientists, Federal, State and local decision-makers, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicant's qualifications for performance of the proposed research including past professional publications, resumes, and lists of past projects. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest are permitted. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants are encouraged to post their applications on www.grants.gov. The standard SF-424 application forms required by 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12 or its revisions, must be used.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that one to ten applications will be received and one to six cooperative agreement awards will be granted in FY 2013 and FY2014.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 45 - 270 days.

Appeals:

Not Applicable.

Renewals:

Renewals can be made through submission and approval of a project agreement.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Cost sharing of 1:1 is encouraged; services in kind are encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded as a lump sum, or added as funds become available. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Quarterly reports; a draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required; also possibly a presentation at a BSEE public meeting. Dissemination of the research results at a professional society meeting and symposia is encouraged. Cash reports are not applicable. Progress meetings and reports may be required as applicable; additional requirements may be identified in a specific Notice of Funds Availability (NOFA). Annual financial reports using the Federal Financial Report SF-425 are also required. Maybe determined unnecessary on a case by case basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1700-0-1-302 - EEEE200000.

Obligations:

(Cooperative Agreements) FY 16 \$907,024; FY 17 est \$501,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range is \$100,000 to \$5,000,000; Average \$350,000.

TAFS Codes:

14-1700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Operation and Maintenance of the Ocean Energy Safety Institute (OESI).

The mission of the OESI is provide a forum for dialogue, shared learning and cooperative research among academia, government, industry and other non-government organizations in offshore-related technologies and activities that help ensure environmentally safe and responsible offshore operations. OESI represents a cooperative agreement partnership between BSEE, Texas A&M University, University of Texas and University of Houston.

OESI stems from a recommendation from the Ocean Energy Safety Advisory Committee, a federal advisory group comprised of representatives from industry, federal government agencies, non-governmental organizations and the academic community. OESI is an important source of unbiased, independent information and will not have any regulatory authority over the offshore industry. OESI is a collaborative venture that will also include involvement on science and technology issues from the Bureau of Ocean Energy Management.

OESI will promote collaboration among Federal agencies, industry, standards organizations, and academia in order to provide technical assistance to BSEE, BOEM, and the industry in areas related to emerging technologies, the best available and safest technologies (BAST), and the identification of gaps in safety research. In addition, it will assist in the development of databases to measure and assess the reliability of safety systems and train Federal employees to enable them to remain current on state-of-the-art technology. Information on issues related to offshore safety and best practices will be shared with industry, government, and the public through OESI held forums. Fiscal Year 2017: To date, OESI has conducted eight forums which include Risk, Data Collection, Research, Human Factors, Shallow Water Blowouts, SEMS Next Steps, Keeping a Focus on Barriers for Safer Offshore Operations and Alarm Management Best Practices and Focus on Managing Drilling Margin and Well Control Risk. These forums have created a forum for dialogue for shared learning and cooperative research among academia, government, industry and other non-governmental organizations, in ocean energy related technologies and activities that ensure safe and environmentally responsible ocean energy operations. OESI supported BSEE as they hosted the 2015 BSEE Domestic and International Standards Workshop in Houston, Texas in May 2015. OESI also supported BSEE by hosting a BAST Forum on November 12, 2015 in Houston, Texas. On May 5, 2017, OESI will host a second International and Domestic Standards workshop in support of BSEE, the theme for the event is Integrity Management. Their expertise assisted BSEE as we shared the BAST Determination draft process with industry stakeholders. BSEE has also engaged OESI to assist in the hosting of a forum on BSEE Technology Assessment. The event occurred in February 2017, in Houston. BSEE has recently solicited OESI assistance in hosting a phase 2 public forum on BAST with focus on Early Kick Detection (EKD) and Methane Gas Detection (MGD) in April 2017. OESI has also published a draft white paper to highlight potential application for real-time monitoring on drilling operations. OESI is conducting a presentation on this topic at a separate forum on April 17, 2017 in Houston.

Through the work of Director of Operations of OESI and the Primary Investigator, there is now an established Advisory Committee in place. The latest AC meeting was held in January 2017; the next one is scheduled for April 25, 2017 which will be hosted by Weatherford, The AC is in place to further assist in establishing future directions of the Safety Institute. The Advisory Committee consists of stakeholders from the four representative groups oil and gas, which are academia, industry, federal agencies and Non-Governmental Organizations (NGOs). Recent members to join the committee are from Department of Energy, United States Coast Guard and (NGO) Resources for the Future. OESI has conducted recent discussions with Louisiana State University

(LSU), and hope they will joining the Advisory Committee very soon. They have presented a list of topics for possible forums for 2017, and await response from the AC on next steps. The last one conducted in 2016 focused on well control operations, and occurred in November. OESI has consistently produced an OESI annual report and made available to all stakeholders for review. Latest one was completed in October 2016. BSEE personnel conducted a site visit to OESI, and were presented the annual report draft in October 2016.

OESI is conducting a third party assessment for the offshore training program for BSEE engineers. OESI will be conducting an overall assessment of engineer training by SMEs that specialize in education/learning and others in training requirements and technical development of engineers. BSEE is looking for assessment tools such as measures that can continue to assess the BSEE engineer training program and make adjustments for improvement where necessary. OESI has aggressively started to conduct task associated with this work, and held internal meetings with identified SMEs, in addition to conferences with BSEE management and the Chief of the Offshore Training Branch. Assessment is scheduled to be completed NLT 6 months from execution of modification. Within the scope of work associated with this report, OESI conducted a site visit to BSEE Lake Jackson District in November 2016. The final draft report should be available for review late March 2017, with final completion in April 2017.

In the area of RTM, this scope of work includes a pilot training program, and then full implementation of RTM training and subject matter expertise in this area. The official kick off meeting for RTM took place on September 20, 2016 in Houston. BSEE and OESI representatives have been collaborating on the topic of RTM since the execution of the cooperative agreement modification in late July early August timeframe. They have produced a draft white paper on RTM that has been published and available to the public. OESI has been asked to produce a strawman framework on the implementation of RTM, that is under consideration by BSEE.

OESI will be reviewing recommendations prepared by the National Academy of Science (NAS) and how RTM should be implemented as it pertains to best available and safest technologies (BAST).

Latest monitoring report reflected task associated with year two of the BSEE Tech Challenge. Year one was a tremendous success, and recognized by the Secretary of DOI as well as the public. OESI is a partner with BSEE in conducting the youth initiative, and serves as the project manager. This event is in support of DOIs Youth initiative. This years challenge will be expanded to allow students in the Gulf of Mexico and Pacific Region areas to participate. This event highlights the work of BSEE and provides the opportunity to engage and serve/impact the communities geographically located in our areas of responsibilities. OESI staff briefed BSEE Senior Management in December 2016, and obtained approval for the execution of tech challenge year two. Dates are 3 March 2017- University of Houston, March 31, 2017 University of Louisiana in Baton Rouge, 5 April 2017 in the Bakersfield California area, are the dates for the events.

OESI has also been recognized by the oil and gas industry, and routinely sets on various panels, or attends meeting with companies as well as trade associations such as American Petroleum Institute (API) and the Offshore Operators Committee (OOC). Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Offshore Regulatory Programs are described in 30 CFR 250, Oil and Gas and Sulphur Operations in the Outer Continental Shelf. Final reports are available online in the BSEE website at: <http://www.bsee.gov/>.

Regional or Local Office:

None.

Headquarters Office:

Andre King 45600 Woodland Road Sterling, Virginia 20164, Sterling, Virginia 20164 Email: andre.king@bsee.gov Phone: (703) 787-1844 Fax: (703) 787-1555

Website Address:

<http://www.bsee.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Operation and Maintenance of the Ocean Energy Safety Institute (OESI). Fiscal Year 2017: Operation and Maintenance of the Ocean Energy Safety Institute (OESI). Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Panels of BSEE, and non-BSEE scientists/engineers (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Additional evaluation criteria maybe posted in a specific Notice of Funds Availability (NOFA) on Grants.gov.

15.442 ALASKA NATIVE SCIENCE AND ENGINEERING

ANSEP

FEDERAL AGENCY:

Bureau of Safety and Environmental Enforcement, Department of the Interior

AUTHORIZATION:

Public Lands Corps Act (PLCA), 16 U.S.C. 1723(c)(1).

OBJECTIVES:

The Bureau of Safety and Environmental Enforcement (BSEE) program provides major economic and energy benefits on a national and local level to the taxpayers and states. The Agency oversees the exploration and development of oil, natural gas and other materials and renewable energy alternatives on the Nation's outer continental shelf. BSEE continues to look for better ways to serve the American people and to ensure that the Nation receives the best oversight and regulation of National resource development now and into the future. The program not only supports decisions made within the Department of the Interior, but also provides coastal states and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments.

Through this cooperative agreement, training and learning opportunities will be provided to young people in order to develop the next generation of lifelong conservation stewards and protect, restore, and enhance Americas Great Outdoors. Specifically, this cooperative agreement will give young people the opportunity to learn about the importance of the BSEE mission to promote safety, protect the environment and conserve resources offshore through vigorous regulatory oversight and enforcement while exploring future career options with BSEE.

The Alaska Native Science and Engineering Program (ANSEP) are designated as tax exempt under the IRS for Institutions of Higher Education. ANSEP moves Native American and Alaskan Native students into science, technology, engineering and mathematics degree programs, and fosters a stronger Indigenous American representation within the science workforce of BSEE. Established in 1995, ANSEP has evolved into a longitudinal education model that provides a continuous string of components beginning with students in sixth grade and continuing on through high school, into science and engineering undergraduate and graduate degree programs through PhD. ANSEP has received numerous recognitions for successfully preparing students for professional science and engineering careers including the U.S. Department of Energys Minorities in Energy Initiative, the U.S. Department of the Interior Partners in Conservation Award, and the Ash Center for Democratic Governance and Innovation, Harvard University-Top 25 Innovations in Government. ANSEP, through this cooperative agreement, provides an opportunity to further engage Alaskas Native professionals, and develop the future scientific and engineering employment pool required to support the BSEE mission.

The overall objective is to expand the professional science employment preparedness of ANSEP students and to develop a more diversified pool of highly educated professionals with the BSEE workforce to reflect the rich diversity of the Nation.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipient of the assistance is the Alaska Native Science and Engineering Program (ANSEP), and the assistance must be used to provide work and training opportunities to young people between the ages of 16 and 25 working on appropriate conservation projects associated with oil and gas, renewable energy and marine mineral development on the Alaskan outer continental shelf within the BSEE area of responsibility. The majority of funds will be used to support conservation projects that will enhance the understanding of exploration and production techniques to enhance safe operations and oil-spill prevention and cleanup from oil and gas, and marine minerals. The young participants will receive classroom and on-the-job training in oil and gas operational safety and environmental conservation requirements through selected training seminars, meetings, or other organizational activities. The purpose of the training will be to provide experience in the practical application of basic petroleum engineering principles, techniques, and concepts. Funds will not be used for capitalization.

Applicant Eligibility:

None.

Beneficiary Eligibility:

Research scientists, Federal, State and local decision-makers, the youth participants, BSEE, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Documentation that ANSEP is a qualified youth or conservation corp pursuant to 16 U.S.C. 1722(10). Documentation that ANSEP is able to provide eligible youth participants pursuant to 16 U.S.C. 1723(b). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

All youth participants must be between the ages of 16 and 25 and satisfy the criteria specified in section 12591(b) of title 42, as required by 16 U.S.C. 1723(b). Youth participant selection will be made on the basis of: participation in ANSEP, enrolled or accepted for enrollment in an engineering degree or certificate program at a qualifying educational institution, be in good academic standing (at least a 2.0 GPA), and enrolled in or have completed at least one engineering course. It is anticipated that two applications will be received and up to two applicants will be selected in FY 2016 through FY 2020.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Renewals can be made through submission and approval of a project agreement.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Cost sharing of 1:1 is encouraged; services in kind are encouraged.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded as a lump sum, or added as funds become available. Method of awarding/releasing assistance: lump sum.

Reports:

Annual reports including: a comparison of actual accomplishments to the goals established for the period; reasons why established goals were not met; and other pertinent information as applicable. No cash reports are required. Progress meetings and reports may be required as applicable; additional requirements may be identified in a specific Notice of Funds Availability (NOFA). Annual financial reports using the Federal Financial Report SF-425 are also required. May be determined unnecessary on a case by case basis. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1700-0-1-302.

Obligations:

(Cooperative Agreements) FY 16 \$50,000; FY 17 est \$50,000; and FY 18 est \$50,000

Range and Average of Financial Assistance:

Range is \$50,000 to \$250,000; Average \$300,000.

TAFS Codes:

14-1700.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

16 U.S.C. 1721 et seq; 43 U.S.C. 1331(a); 43 U.S.C. 1345(e); 43 U.S.C. 1346 Sec 20; 209 DM 7; 219 DM 1

The Offshore Regulatory Programs are described in 30 CFR 250, Oil and Gas and Sulphur Operations in the Outer Continental Shelf. Final reports are available online in the BSEE website at: <http://www.bsee.gov>

Regional or Local Office:

See Regional Agency Offices. Scott Carr, 3801 Centerpoint Drive, Suite 500, AE 500, Anchorage, Alaska 99503, w.scott.carr@bsee.gov, 907-334-5316.

Headquarters Office:

Eric Turner 45600 Woodlawn Road, HE 2126, Sterling, Virginia 20166 Email: eric.turner@bsee.gov Phone: 703-787-1630

Website Address:

<http://www.bsee.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated by a panel of BSEE Regional leadership and management to ensure compliance with minimum position requirements. Criteria for judging applicants include BSEE mission needs, applicants academic merit, and communication skills. Additional evaluation criteria may be posted in a specific Notice of Funds Availability (NOFA) on Grants.gov.

15.443 NOT FOR PROFIT**FEDERAL AGENCY:**

Bureau of Ocean Energy Management, Department of the Interior

AUTHORIZATION:

G. Under the Use of Cooperative Agreements authority (43 U.S.C. 1457b), to

enter into cooperative agreements with a state or political subdivision (including any agency thereof), or any not-for-profit organization if:

(1) the agreement will serve a mutual interest of the parties to the agreement in carrying out the programs administered by BOEM; and

(2) all parties will contribute resources to the accomplishment of these objectives.

OBJECTIVES:

The Bureau of Ocean Energy Management (BOEM) provides major economic and energy benefits on a national and local level to the taxpayers, states and the American Indian community. The BOEM oversees the exploration and development of oil, natural gas and other minerals and renewable energy alternatives on the Nations outer continental shelf. BOEM continues to look for better ways to serve the American people and to ensure that the Nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of Interior, but also provides coastal States and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. The Outer Continental Shelf Lands Act (OCSLA) Section 1346 mandates the conduct of environmental and socioeconomic studies needed for the assessment and management of environmental impacts on the human, marine, and coastal environments which may be affected by oil and gas or other mineral development. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The Delegations 218 DM 1 authorized, except as provided in 200 Departmental Manual (DM) 1, to exercise the program authority of the Assistant Secretary Land and Minerals Management with respect to leasing and authorizations for exploration, development, and production of conventional and renewable energy resources and marine mineral resources on the Continental Shelf, and all associated functions that relate thereto. The purpose of the Environmental Studies Program is to obtain the information needed for the assessment and the management of environmental impacts; to predict impacts on marine biota; and to monitor the human, marine, and coastal environments to provide time series and data trend information.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be used to conduct environmental research to satisfy the information needs associated with oil and gas, renewable energy and marine mineral development on the outer continental shelf. Applications must be for scientific research projects which are within the BOEM's area of responsibility. The majority of funds are used to support research that will enhance understanding of long-term effects of oil and gas, marine minerals and renewable energy development projects. Funds can also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization.

The assistance can be used to conduct public awareness related to environmental and cultural research within BOEMs Environmental Research portfolio.

Applicant Eligibility:

State or political subdivision (including any agency thereof), or any not-for-profit organization if:

(1) the agreement will serve a mutual interest of the parties to the agreement in carrying out the programs administered by BOEM; and

(2) all parties will contribute resources to the accomplishment of these objectives.

Beneficiary Eligibility:

Research scientists, Federal, State and local decision-makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicant's qualifications for performance of the proposed research including submission of proposals that describe the proposed activities, identify methods for accomplishing the activities, and/or describe the process

for ensuring that qualified personnel are used to conduct the activities; past professional publications, resumes, and lists of past projects must be provided. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest are permitted. Applicants should consult the office or official designated as the single point of contact in their State to find out if the State has selected this program for review and for information on the process the State requires when applying for Federal assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals must include a full statement of work, estimated budget, and resumes. Applicants shall post their applications on www.grants.gov. The standard SF-424 application forms required.

OMB Circular No. A-110 applies to this program. The standard SF-424 application forms required by 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12 or its revisions, must be used.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that five applications will be received and two new cooperative agreement awards will be granted in FY 2017.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 - 270 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: No statutory requirements. Cost sharing of 1:1 is encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded incrementally as subject to availability of funds, with approximately 15% to be provided in the final year of performance. See the following for information on how assistance is awarded/released: Not available..

Reports:

Quarterly progress reports; a presentation at a publicly held meeting as directed by BOEM; a draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required. Dissemination of the research results at professional society meetings and symposia is encouraged. Cash reports are not applicable. Progress reports are not applicable. Annual and final financial reports using the Federal Financial Report SF-425 are also required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$5,742,696; and FY 18 est \$6,400,000 - New Program.

Range and Average of Financial Assistance:

Range is \$25,000 to \$100,000; Average \$250,000.

TAFS Codes:

14-1917.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Environmental Studies Program is described in 30 CFR Chapter II Subchapter B Subpart M-Studies. Final reports are available online in the BOEM Environmental Studies Information System at: <http://www.boem.gov/Environmental-Studies-EnvData/>

Regional or Local Office:

None.

Headquarters Office:

Rodney Cluck Bureau of Ocean Energy Management, 45600 Woodland Road., Sterling, Virginia 20166 Email: rodney.cluck@boem.gov Phone: (703) 787-1087

Website Address:

<http://www.boem.gov>

RELATED PROGRAMS:

15.421 Alaska Coastal Marine Institute; 15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Panels of BOEM, and non-BOEM scientists (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.444 TAKE PRIDE**FEDERAL AGENCY:**

Bureau of Ocean Energy Management, Department of the Interior

AUTHORIZATION:

Under the Take Pride in America Act (16 U.S.C. 4601-4608), to establish and maintain a public awareness campaign, in cooperation with public and private organizations and individuals, to instill in the public the importance of the appropriate use of, and appreciation for, Federal, state, and local natural and cultural resources.

OBJECTIVES:

The Bureau of Ocean Energy Management (BOEM) provides major economic and energy benefits on a national and local level to the taxpayers, states and the American Indian community. The BOEM oversees the exploration and

development of oil, natural gas and other minerals and renewable energy alternatives on the Nations outer continental shelf. BOEM continues to look for better ways to serve the American people and to ensure that the Nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of Interior, but also provides coastal States and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. The Outer Continental Shelf Lands Act (OCSLA) Section 1346 mandates the conduct of environmental and socioeconomic studies needed for the assessment and management of environmental impacts on the human, marine, and coastal environments which may be affected by oil and gas or other mineral development. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The purpose of the Environmental Studies Program is to obtain the information needed for the assessment and the management of environmental impacts; to predict impacts on marine biota; and to monitor the human, marine, and coastal environments to provide time series and data trend information.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be used to conduct public awareness related to environmental and cultural research within BOEMs Environmental Research portfolio.

Applicant Eligibility:

Public and private organizations.

Beneficiary Eligibility:

Research scientists, Federal, State and local decision-makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicant's qualifications for performance of the proposed public awareness including past performance and professional publications, resumes, and lists of past projects must be provided. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest are permitted. Applicants should consult the office or official designated as the single point of contact in their State to find out if the State has selected this program for review and for information on the process the State requires when applying for Federal assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals must include a full statement of work, estimated budget, and resumes. Applicants shall post their applications on www.grants.gov. OMB Circular No. A-110 applies to this program. The standard SF-424 application forms required by 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12 or its revisions, must be used.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that five

applications will be received and two new cooperative agreement awards will be granted in FY 2017.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 - 270 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. No statutory requirements. Cost sharing of 1:1 is encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded incrementally; subject to availability of funds, with approximately 15% to be provided in the final year of performance. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Quarterly progress reports; a presentation at a publicly held meeting as directed by BOEM; a draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required. Dissemination of the research results at professional society meetings and symposia is encouraged. Cash reports are not applicable. Progress reports are not applicable. Annual and final financial reports using the Federal Financial Report SF-425 are also required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$5,742,696; and FY 18 est \$6,400,000 - New Program.

Range and Average of Financial Assistance:

Range is \$25,000 to \$100,000; Average \$250,000.

TAFS Codes:

14-1917.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Environmental Studies Program is described in 30 CFR Chapter II Subchapter B Subpart M-Studies. Final reports are available online in the BOEM Environmental Studies Information System at: <http://www.boem.gov/Environmental-Studies-EnvData/>

Regional or Local Office:

None.

Headquarters Office:

Rodney Cluck Bureau of Ocean Energy Management, 45600 Woodland Road., Sterling, Virginia 20166 Email: rodney.cluck@boem.gov Phone: (703) 787-1087

Website Address:

<http://www.boem.gov>

RELATED PROGRAMS:

15.421 Alaska Coastal Marine Institute; 15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Panels of BOEM, and non-BOEM scientists (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination,.

15.504 TITLE XVI WATER RECLAMATION AND REUSE

Title XVI Program

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Reclamation Wastewater and Groundwater Study and Facilities Act, Title XVI, Public Law 102-575, as amended; Reclamation Recycling and Water Conservation Act of 1996, Public Law 104-266; Oregon Public Lands Transfer and Protection Act of 1998, Public Law 105-321; Consolidated Appropriation Act of 2000, Public Law 106-544; Hawaii Water Resources Act of 2000, Public Law 106-566; Lakehaven Utilities District, WA, Project, 2002, Public Law 107-344; Consolidated Appropriations Resolution, 2003, Public Law 108-7; San Diego Creek Watershed, CA, Project, 2004, Public Law 108-233; Williamson County, TX, Project, Public Law 108-316; Hawaii Water Resources Act of 2005, Public Law 109-70.

OBJECTIVES:

Title XVI of Public Law 102-575, as amended (Title XVI), directs the Secretary of Interior, acting through the Commissioner, to undertake a program to identify and investigate opportunities to reclaim and reuse wastewaters and naturally impaired ground and surface water in the 17 Western States and Hawaii. Title XVI also provides authority for the Secretary to provide up to the lesser of 25 percent of, or the Federal appropriations ceiling (typically \$20 million), for the cost of planning, design, and construction of specific water recycling projects, as well as up to 50 percent of the cost of Title XVI feasibility studies and 25 percent of the cost of Title XVI water reclamation and reuse research studies.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Title XVI Program applies to reclamation, recycling, and reuse of municipal, industrial, domestic, and agricultural wastewater and to naturally impaired ground and surface waters. Through this program, Reclamation provides financial and technical assistance for feasibility studies, water reclamation and reuse research, and full-scale construction of facilities to deliver and/or treat wastewater or naturally impaired ground or surface water for reuse applications. For purposes of the Title XVI Program, a water reuse project is a project (including the necessary facilities and features) that reclaims and reuses municipal, industrial, domestic, or agricultural wastewater or naturally impaired groundwater and/or surface waters. Consistent with State law, reclaimed water can be used, for a variety of purposes including, but not limited to, environmental restoration, fish and wildlife, groundwater recharge, municipal, domestic, industrial, agricultural, power generation, or recreation. Funds can be used for water treatment features where the improvement of the water quality is necessary to allow for reuse. Reclamation has general authority to participate in planning, research and demonstration of water recycling projects. Specific project authority is required for Reclamation to participate in

the construction of a project.

Applicant Eligibility:

Eligible recipients of Title XVI funding are identified under the 1902 Act and include a State, regional, or local authorities; Indian tribes or tribal organizations; or other entities such as a water conservation or conservancy district, wastewater district, rural water district, all located within the 17 Western States or Hawaii. To be eligible to receive funding for construction activities, a water reclamation and reuse project must be specifically authorized under Title XVI.

Beneficiary Eligibility:

Water users, including municipal, industrial, and agricultural, that benefit from the additional drought resistant water source created.

Credentials/Documentation:

To be eligible to receive funding for construction activities, a water reclamation and reuse project must be specifically authorized under Title XVI. Funds for construction activities associated with an individual project will not be disbursed until all Title XVI pre-construction requirements have been met for that project. These include: (1) a finding that the feasibility study meets the requirements of Title XVI; (2) complete compliance with the National Environmental Policy Act and other environmental clearances; (3) an approved determination of financial capability; and (4) an executed grant or cooperative agreement for financial assistance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

To be eligible to receive funding for construction activities, a water reclamation and reuse project must be specifically authorized under Title XVI. Funds for construction activities associated with an individual project will not be disbursed until all Title XVI pre-construction requirements have been met for that project. These include: (1) a finding that the feasibility study meets the requirements of Title XVI; (2) complete compliance with the National Environmental Policy Act and other environmental clearances; (3) an approved determination of financial capability; and (4) an executed grant or cooperative agreement for financial assistance between Reclamation and the project sponsor. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Discretionary funding opportunities will be posted on Grants.gov and will be subject to competitive funding process.

Award Procedure:

Reclamation will work with the funded recipient to negotiate a grant or cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Continuation of funding from one fiscal year to the next to complete a project is contingent on the final Federal appropriations.

Formula and Matching Requirements:

Statutory Formula: Title XVI, Public Law 102-575. Reclamation can pay up to 50% for feasibility study costs and 25% for research studies. Federal funding for Title XVI construction projects is limited to 25% of the total project or a specified maximum amount, whichever is less. The maximum amount varies by project. Generally, projects authorized after 1996 have a ceiling of \$20 million or less; the specific details are available in the authorizing legislation. If a project conducted under the Title XVI Program includes planning, design, and construction, the Reclamation Wastewater and Groundwater Study and

Facilities Act of 1992 (the Act) requires the sponsor to provide at least 75 percent of all the costs incurred, including Reclamations administrative costs. In addition, the sponsor must pay all operation, maintenance and replacement (OM&R) costs of the project.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Feasibility studies which receive Reclamation funding must be completed in 12 to 18 months. See FOA for details. Research studies which receive Reclamation funding must be completed in 18 to 36 months. See FOA for details. For authorized Title XVI projects, Reclamation will provide funding for planning, design, or construction that can be completed in a two year period. See FOA for details. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly reporting is required. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report and upon completion of the agreement, recipients shall submit a final SF-425. For Recovery Act projects, quarterly reporting is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 16 \$36,096,500; FY 17 est \$21,500,000; and FY 18 est \$0 - (Payment terms vary by agreement awarded under this program).

Range and Average of Financial Assistance:

Range: \$75,000 to \$5,250,000

Average: \$1,000,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, seven authorized projects received \$33.9 million for planning design, and construction, and \$1.1 million was awarded for nine water reclamation and reuse research projects. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS

and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. For addresses, see Appendix IV of the Catalog. <http://www.usbr.gov/main/regions.html>.

Headquarters Office:

Dean Marrone, Technical/Program Information: Bureau of Reclamation, Office of Policy and Administration, Attn: Dean Marrone/Amanda Erath, Mail Code: 84-51000, P.O. Box 25007, Denver Federal Center, Denver, Colorado 80225
Email: aerath@usbr.gov Phone: (303) 445-3577 Fax: (303)445-6464

Website Address:

<http://www.usbr.gov/WaterSMART/title/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Inland Empire Utilities Agency, in association with the Chino Basin Desalter Authority, received \$7,200,000 in Federal funding to expand the capacity of the existing Chino II Desalter plant. The water produced by this project will replace water that would otherwise be imported from the Colorado River and/or Sacramento-San Joaquin Bay-Delta.

The Sweetwater Authority Water Reclamation Project in California received \$3,700,000 to expand the Richard A. Reynolds Groundwater Desalination Facility to provide a more secure, local water supply. This phase of the project will expand the Reynolds Facility from the current capacity of 3,600 acre-feet per year to a total capacity of 8,800 acre-feet of locally-produced desalinated groundwater annually. Water that is produced by the Reynolds Facility supplements potable water supplies, and directly offsets imported water from the Sacramento-San Joaquin Bay-Delta and Colorado River systems. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Reclamation will place priority on funding projects that reduce existing water diversions or address specific water supply issues in a cost-effective manner, address environmental and water quality concerns, are economically justified, and meet other program goals.

15.506 WATER DESALINATION RESEARCH AND DEVELOPMENT FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Water Desalination Act of 1996, Public Law 104-298, as amended, Reclamation Act of 1902, Sec 1 and Sec 2, and Public Law 111-11, Section 9509.

OBJECTIVES:

There are three goals for the program: (1) augment the supply of usable water in the United States; (2) understand the environmental impacts of desalination and develop approaches to minimize these impacts relative to other water supply alternatives; (3) develop approaches to lower the financial costs of desalination so that it is an attractive option relative to other alternatives in locations where traditional sources of water are inadequate.

The three principal parts of the program are: (1) perform research on desalination technologies and related issues to advance the state of the art forward (research and studies), and (2) conduct development and demonstration activities to test technological advancements, confirm economics, and gain public acceptance (development projects), and (3) support operation and maintenance at the Brackish Groundwater National Desalination Research Facility (BGNDRF).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To be used to support research and studies, demonstration and development

projects and related activities, and operation and maintenance at BGNDRF as described in the Water Desalination Act and amendments..

Applicant Eligibility:

Any responsible source, to include individuals, State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal Governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, and other private institutions/organizations may submit a proposal which will be considered by Reclamation. Foreign entities are not eligible for funding. Federal agencies are not eligible to apply.

Beneficiary Eligibility:

Individuals, State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal Governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations and other private institutions/organizations.

Credentials/Documentation:

(1) A detailed written technical proposal including the current status of the technology supporting the proposed research, technical approach proposed to accomplish the work, a scope of work which separates the work into major tasks, applicability to local and/or national water problems, type of personnel participating in the research, type and location of facilities and equipment that will be utilized by the project applicant, experience in this type of research, the environmental impact of the research, an estimate of the costs and/or cost savings (\$/1000 gal) and economic and environmental benefit of the technology, and other information that may be useful for proposal evaluation. (2) A detailed project cost proposal including a budget covering salaries and wages, fringe benefits, travel, equipment, supplies, subcontracts, indirect cost rates, funding sources and commitments, cost-sharing, and a breakdown of costs by task. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A full proposal solicitation package is issued annually by Reclamation. The package may solicit laboratory, pilot-scale, and full-scale research projects. Submission of full technical and cost proposals in accordance with the instructions stated in the announcement document is mandatory. Additionally, applicants must submit all applicable SF-424 forms and a DI-2010 form which will be included in the announcement document.

Award Procedure:

The number of awards, maximum funding amount per award, and areas of interest for which proposals will be considered, are determined on an annual basis and are dependent upon the annual appropriation from Congress. Proposals received in response to the annual solicitation are reviewed on the basis of a competitive, merit- review process, and are rated in accordance with evaluation criteria stated in the solicitation document. Reclamation will make awards for the highest rated proposals based on the amount of funding available each year. Reclamation reserves the right to make awards to maintain balance among the program objectives listed in the solicitation document.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact Reclamation for the range of time when proposals are approved or

disapproved.

Appeals:

None. Final award decisions are not subject appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Continuation of funding for the Program from one fiscal year to the next is at the discretion of the Congress. Yearly solicitation is made for projects of 12 months duration or less (follow-on funding may be available for additional research studies, pilot-plant projects, or demonstration projects). No-cost extensions to awarded agreements are provided for unique projects that can justify additional time beyond the 12-month duration.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Federal share of the cost of a project or activity carried out shall not exceed 50 percent of the total cost of the project or activity. A Federal contribution in excess of 25 percent for a project carried out under this Act may not be made unless the Secretary of the Interior determines that the project is not feasible without such increased Federal contribution. Costs of operation, maintenance, repair, and rehabilitation of facilities funded shall be nonfederal responsibilities with the exception of the BGNDRF. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Solicitation is made for projects of 12 months duration or less (follow-on funding may be available for additional research studies, pilot-plant projects, or demonstration projects). No-cost extensions to awarded agreements are provided for unique projects that can justify additional time beyond the 12-month duration. See the following for information on how assistance is awarded/released: Information not available.

Reports:

The Recipient shall furnish quarterly reports and a final report as a permanent record of the work accomplished under the agreement. A midterm project review shall be held at the Recipient's site approximately 3 to 6 months after project award. Approximately at the time of delivery of the draft final report, the Project Manager shall make a presentation in Denver on the overall progress of the project. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 16 \$1,742,815; FY 17 est \$1,600,000; and FY 18 est \$1,100,000 - (Payment terms vary by agreement awarded under this program).

Range and Average of Financial Assistance:

Range is \$100,000 - \$500,000, Average \$150,000.

TAFS Codes:

17-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year 2016 (FY16), \$1.7 million funded twelve competed, merit reviewed research projects. Three projects were pilot scale projects and nine projects were laboratory scale projects. A total of more than \$2.3 million in non-federal cost-share was leveraged with the twelve projects this year. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

None. Solicitation documents: Bureau of Reclamation, Acquisitions Operations Group, Attn: Michelle Maher, Mail Code: 84-27810, P.O. Box 25007, Denver Federal Center, Denver, CO 80225. Telephone: (303) 445-2025. E-mail: mmaher@usbr.gov.

Headquarters Office:

Yuliana Porras Mendoza Bureau of Reclamation, Research and Development Office, Mail Code: 08-10000, P.O. Box 25007, Denver Federal Center, Denver, Colorado 25007 Email: yporrasmendoza@usbr.gov Phone: (303)445-2265 Fax: (720)544-5682

Website Address:

<http://www.usbr.gov/pmts/water/desalination/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Information not available. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors may include, but are not limited to the following: Technical Factors: (1) Demonstrated familiarity with the current technology in the field of work and understanding of the potential difficulties in carrying out the work. (2) Impact of the proposed work on the current technology and on its related economics if a completely successful outcome were achieved. (3) Novelty of approach to the work. (4) Relationship to research priorities. (5) Availability of equipment, instruments, and test facilities required for the work. Managerial Factors: (1) The qualifications, capabilities, and experience of the proposed project manager and other key personnel who are critical to achievement of the proposed objectives. (2) Adequacy, completeness and realism of the research schedule, task phasing, and milestones. (3) The applicants capabilities, related experience, facilities, techniques, or unique combinations of these which are integral factors for achieving the proposed objectives. Pricing Factor: Cost realism and reasonableness. This requires that costs be directly relatable to items in the research work plan or Project Management Plan, be reasonable, and be appropriate to the project in terms of dollar amount and quantity.

15.507 WATER SMART (SUSTAINING AND MANAGE AMERICA'S RESOURCES FOR TOMORROW)

Water SMART Grants

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Section 9504(a), Omnibus Public Lands Management Act of 2009, (Public Law 111-11), as amended.

OBJECTIVES:

To make funding available for eligible applicants to leverage their money and resources by cost sharing with Reclamation on three different types of projects:

projects that conserve and use water more efficiently, increase the use of

renewable energy and improve energy efficiency, and support sustainability benefits such as making conserved water available to address endangered species issues;

development of water marketing strategies that will help prevent water conflicts and will contribute to water supply sustainability; and small-scale water efficiency projects to implement that have been identified through previous planning efforts to conserve, better manage, or otherwise make more efficient use of water supplies.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

WaterSMART Grants provide cost-shared financial assistance on a competitive basis to carry out water and energy efficiency improvements, small-scale water efficiency improvements, development of water marketing strategies, and other water management improvements. Reclamation may also provide financial assistance for these types of water conservation projects under this CFDA using this statutory authority, either competitively or non-competitively, for other non-WaterSMART projects when another Reclamation CFDA is not available. In addition, Section 9504 of Public Law 111-11 requires the following:

(1) The Recipient shall not use any associated water savings to increase the total irrigated acreage of the Recipient or otherwise increase the consumptive use of water in the operation of the Recipient, as determined pursuant to the law of the State in which the operation of Recipient is located.

(2) If the activities funded under this Agreement result in an infrastructure improvement that is integral to the existing operations of a federally owned facility, the Federal Government shall continue to hold title to the facility and improvements to the facility.

(3) The non-Federal share of the cost of operating and maintaining any infrastructure improvement funded through this Agreement shall be 100 percent.

(4) Except as provided under chapter 171 of title 28, United States Code (commonly known as the Federal Tort Claims Act), the United States shall not be liable for monetary damages of any kind for any injury arising out of an act, omission, or occurrence that arises in relation to any facility created or improved under this Agreement, the title of which is not held by the United States. For further information please contact the regional office.

Applicant Eligibility:

In accordance with P.L. 111-11, Section 9502, eligible applicants include any: university, nonprofit research institution, or other organization with water or power delivery authority.

Applicants must also be located in the western U.S. or Territories as identified in the Reclamation Act of June 17, 1902, as amended and supplemented; specifically, Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming, American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands.

Beneficiary Eligibility:

The general public, agricultural, municipal and industrial water users; irrigation or water districts; and state governmental entities with water or power delivery authority, located in the states identified in the Act of June 17, 1902.

Credentials/Documentation:

(1) A detailed written technical proposal including background data regarding the applicant, such as location, total project diversions, and relevant water use efficiency measurements, a detailed scope of work separating the work into major tasks, including any engineering or design work already completed, and describing data responsive to the evaluation criteria listed in the announcement.

(2) When applicable, a funding plan showing how the applicant will pay for its 50 percent cost share requirement, and an official resolution adopted by the irrigation or water district's governing board of directors, resolving that, if selected, it will negotiate and execute a grant or cooperative agreement with Reclamation. (3) A detailed project cost proposal, including a budget with the annual estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, an estimate of annual operation and maintenance costs, and the value of in-kind contributions of goods and services. 2 CFR 200, Subpart E - Cost Principles applies to this

program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements. Announcement are posted annually on www.grants.gov.

Submission of a complete technical proposal and projects budget is mandatory by the due date identified in the announcement. In addition, applicants must submit all applicable SF-424 forms referenced in the Announcement.

Award Procedure:

Proposals received in response to the announcement are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year. For non-WaterSMART awards made under this CFDA using this statutory authority, the awards may be made competitively or non-competitively.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact Reclamation for the range of time when proposals are approved or disapproved.

Appeals:

Not applicable. Upon request, Reclamation will provide all applicants with information on why their proposals were not selected.

Renewals:

Most awarded projects are fully funded at the time of award. Some Water and Energy Efficiency Grant projects (i.e. phased project) may be funded each year for up to three years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Federal share of the cost of a project or activity carried out under the Program shall not exceed 50 percent of the total cost of the project or activity. Costs of operation, maintenance, repair and rehabilitation of facilities funded under the authority for this Program shall be nonfederal responsibilities.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In general, projects should be completed within 2 years of award. Applicants for Water and Energy Efficiency Grants must select one of two funding groups and must therefore propose either a project that can be completed within 2 years of award or a phased project that can be completed within 3 years of award with Federal funding to be provided separately for each phase. Applications for projects requiring more time will be considered for funding only under limited circumstances. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit Program Performance Reports, on a semi-annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Recipients shall submit the Federal Financial Report, SF-425 during the term of the agreement and a final report upon completion of the agreement. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$23,365,000; FY 17 est \$23,365,000; and FY 18 est \$32,189,659

Range and Average of Financial Assistance:

Range: \$75,000-\$1,000,000
Average: \$300,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, \$27.6 million in Federal funding was used to fund 53 WaterSMART Grant projects in 11 states. These projects total over \$128 million in improvements (i.e., Federal and non-Federal cost-share). Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. <http://www.usbr.gov/main/regions.html>.

Headquarters Office:

Josh German Bureau of Reclamation, Office of Policy and Administration, Mail Code: 84-51000, P.O. Box 25007, Denver Federal Center, Denver, Colorado 80225 Email: jgerman@usbr.gov Phone: (303) 445-2839 Fax: (702) 544-4207

Website Address:

<http://www.usbr.gov/WaterSMART/grants.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Huntley Project Irrigation District near Billings, Montana, will use \$1 million in Federal funding to complete a \$3.7 million project line 1,623 feet of diversion tunnel with steel and concrete, significantly improving operational efficiency. The improvements are expected to result in annual water savings of 6,558 acre-feet currently lost to seepage, reducing the Districts diversion from the Yellowstone River and improving instream flows, while also benefitting downstream users.

The Aberdeen-Springfield Canal Company in southeastern Idaho will use \$176,000 in Federal funding to complete a \$360,000 project to line 1.4 miles of open canal currently experiencing significant seepage losses with a geomembrane liner. The project is expected to result in annual water savings of

23,007 acre-feet, which will help offset groundwater use. The project will help the Company to comply with an agreement between the Idaho Ground Water Users Association and the Surface Water Coalition to reduce groundwater diversions of the Eastern Snake Plain Aquifer.

Examples of all projects funded to date are available at <http://www.usbr.gov/WaterSMART/weeg/>. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria vary by funding category. See <http://www.usbr.gov/WaterSMART/weeg/> for details. Depending on funding category, projects should seek to conserve and use water more efficiently, increase the use of renewable energy and improve energy efficiency, protect endangered and threatened species, result in the development of water marketing strategies, or carry out other activities to address climate-related impacts on water or prevent any water-related crisis or conflict.

15.508 PROVIDING WATER TO AT-RISK NATURAL DESERT TERMINAL LAKES

Desert Terminal Lakes Program

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 107-171, Farm Security and Rural Investment Act of 2002, Sec. 2507; Public Law 108-7, Energy and Water Development Appropriations Act, 2003, Sec. 207 (to implement the Truckee River Settlement Act, P.L. 101-618); Public Law 108-137, Energy and Water Development Appropriations Act, 2004, Sec. 217; Public Law 109-103, Energy and Water Development Appropriations Act, 2006, Sec. 208; Public Law 110-161, Consolidated Appropriations Act, 2008, Sec. 207 and 208; Public Law 110-246, Food, Conservation, and Energy Act of 2008, Sec. 2807; Public Law 111-8, Omnibus Appropriations Act, 2009, Section 207 and 208; Public Law 111-85, Energy and Water Development and Related Agencies Appropriations Act, 2010, Sec. 206-208; Public Law 111-88, Department of the Interior, Environment, and Related Agencies Appropriations Act, 2010, Sec. 440.; Public Law 112-74, Consolidated Appropriations Act, 2012 [H.R. 2055] Enacted 12/23/11, Title II, Division B, Sec. 208 a and b, and Public Law 113-79, Agricultural Act of 2014, Title II, Section 2507, Enacted 2/7/14.

OBJECTIVES:

Under this authority, Reclamation will fund various activities for the benefit of at-risk terminal lakes to provide water and assistance to a terminal lake to carry out research, support, and conservation activities for associated fish, wildlife, plant, and habitat resources in Nevada and California.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Funding is directed to be used for terminal lakes and associated watersheds in the State of Nevada and California. For further information please contact the regional office.

Applicant Eligibility:

State and local public agencies, Indian tribes, nonprofit organizations, educational institutions, and individuals may submit a proposal which will be considered by Reclamation. Foreign entities and Federal agencies are not eligible to apply.

Beneficiary Eligibility:

State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal Governments, individuals, small businesses, profit organizations, private organizations, quasi-public nonprofit organizations, other private institutions/organization, general public, native American organizations, higher education institutions, irrigation districts, municipal water authorities, farmers/ranchers/agriculture producers, and land/property owners.

Credentials/Documentation:

(1) A detailed written technical proposal including background data regarding the applicant, technical approach proposed to accomplish the work, a scope of

work that separates the work into major tasks, type of personnel implementing the proposal, experience in performing this type of work, benefits to be received by implementation of the project(s), environmental impact(s), timeline(s), the level of cooperation with other parties involved in the proposal, and other information that may be useful for proposal evaluation. (2) A detailed cost proposal by project including a budget covering labor categories, salaries and wages with estimated hours, fringe benefits, travel, equipment, supplies, subcontracts, indirect cost rates, other funding sources and commitments, cost-sharing, value of in-kind contributions of good and services, and a narrative discussion. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Funding is allowed for work that will be for the benefit of at-risk terminal lakes and to carry out research, support, and conservation activities for associated fish, wildlife, plant, and habitat resources in Nevada and California. An environmental NEPA document may be required depending on the nature of the proposal and its impact on the environment. Compliance with National Historic Preservation Act and Endangered Species Act may also be required. Pre-application Coordination: Although it is not mandatory, Reclamation strongly encourages offerors to submit a pre-proposal to eliminate the possibility of submitting a full proposal that would not be considered for award. The pre-proposal should describe 1) how the proposal will provide benefits to a terminal lake or associated watershed, 2) the quantity of water anticipated to be provided if part of the proposal or benefit to the associated riparian and watershed resources, 3) the associated estimated cost, 4) potential environmental impacts, 5) state, local and other permits or approvals needed to implement the proposal. Pyramid Lake Pre-application Coordination: Initially pre-proposal solicitation announcement was issued by Reclamation; the initial solicitation occurred in FY05. No additional solicitation announcements have occurred.

This program is excluded from coverage under E.O. 12372. No additional solicitation is planned in FY14. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding is allowed for work for the benefit of at-risk terminal lakes and to carry out research, support, and conservation activities for associated fish, wildlife, plant, and habitat resources in Nevada and California. For Summit Lake, Reclamation will only accept proposals from the Summit Lake Paiute Tribe, since the lake is entirely within the reservation boundaries and any proposal affecting the lake would need the consent of the Tribe; the exception is if DTL funding is reprogrammed in another DTL grant for Summit Lake. For all other terminal lakes, Reclamation may accept applications after the applicant provides enough pre-application information to Reclamation to assure that the proposed work will be for the benefit of at-risk natural desert terminal lakes and associated riparian and watershed resources in Nevada and California or fund designated activities, the associated cost is reasonable, and it is likely Reclamation can satisfy its federal Indian trust, endangered species, environmental, and other responsibilities. Discretionary funding opportunities will be posted on Grants.gov. Submission of a complete technical proposal and a project budget proposal in accordance with the instructions in the FOA is mandatory. In addition, applicants must submit all applicable SF424 forms, which are referenced in the FOA. Reclamation does not anticipate accepting unsolicited applications in FY14.

Award Procedure:

Terminal Lakes Proposals will be evaluated using a merit-based review process. Some past Pyramid Lake proposals were also reviewed on the basis of a competitive, merit-based review process, and were rated in accordance with the evaluation criteria stated in the FOA. Reclamation made awards to the highest-rated proposals based on the amount of funding available at that time. Reclamation reserves the right to prioritize projects based on availability of funds and to ensure balance among the program objectives listed in the FOA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

Contact Reclamation for the range of time when proposals are approved or disapproved.

Appeals:

Reclamation will, however, provide all applicants with information on why their proposals were not selected.

Renewals:

Funding is available until all funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: This program has no statutory formula or matching requirements. Applicants are encouraged to cost share with other funding partners when possible. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There is no time restriction placed on the use of the funds, though most agreements will not be allowed to extend beyond five years. A schedule will be established between Reclamation and the recipient at the time of award. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on a quarterly or semi-annual basis Program Performance Reports. Upon completion of the agreement recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on a quarterly or semi-annual basis the SF-425, Federal Financial Report. Upon completion of the agreement recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$667,000; FY 17 est \$996,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range: \$250,000 to \$145,000,000

Average: \$1,448,429.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Through the end of Fiscal Year 2016, from the \$525 million of available DTL Program funding, approximately \$523 million has been obligated to Native American Tribes, federal, state and local agencies, non-profits, higher education and others. The funding is used for environmental and conservation activities that affect Pyramid, Summit, and Walker lakes and associated watersheds in Nevada and California. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Technical/Program Information contact Bureau of Reclamation, Lahontan Basin Area Office, Attention: Caryn Hunt DeCarlo, 705 North Plaza Street, Room 320, Carson City, Nevada 89701-4015. Telephone (775) 882-3436. Fax (775) 882-7592. Email chunttdecarlo@usbr.gov. Acquisition Office contact Bureau of Reclamation, Mid-Pacific Regional Office, Acquisition Services, 2800 Cottage Way, Room E-1815, Sacramento, California 95825-1898. Telephone (916) 978-5130. Fax (916) 978-5175/5182. Email 2MWG3@usbr.gov.

Headquarters Office:

Mandy Brinnand Bureau of Reclamation, Lahontan Basin Area, 705 North Plaza Street, Room 320, Carson City, Nevada 89701-4015 Email: abrinnand@usbr.gov Phone: (775) 882-3436 Fax: (775) 882-7592

Website Address:

http://www.usbr.gov/mp/lbao/desert_terminal/index.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In Fiscal Year 2016 \$500,000 in additional funding was awarded to the Great Basin Land and Water for land and water acquisitions in the Truckee and Summit Lake Basins, \$22,253 to the USGS for water modeling studies and \$144,856 to the State of Nevada for monitoring ground and surface water in the Walker Basin. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors may include, but are not limited to the following: 1) Mandatory: be for the benefit of at-risk terminal lakes and to carry out research, support, and conservation activities for associated fish, wildlife, plant, and habitat resources in Nevada and California or fund designated activities from legislative allocations.

15.509 TITLE II, COLORADO RIVER BASIN SALINITY CONTROL

Basinwide Program

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 93-320, 59 Stat. 1219, 43 U.S.C. 1571-1573 and U.S.C. 1592(c), as amended; Sec. 2, Public Law 104-20, 43 U.S.C. 1592; Title III, Subtitle D, Chapter 4, Public Law 104-127, 110 Stat. 1006; Public Law 106-459, 114 Stat. 1987; and American Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To provide financial and technical assistance to: (1) identify salt source areas; (2) develop project plans to carry out conservation practices to reduce salt loads; (3) install conversation practices to reduce salinity levels; (4) carry out research, education, and demonstration activities; (5) carry out monitoring and devaluation activities; and (6) to decrease salt concentration and salt loading which causes increased salinity levels within the Colorado River and to enhance

the supply and quality of water available for use in the United States and the Republic of Mexico. Such programs shall consist of cost-effective measures and associated works to reduce salinity from saline springs, leaking wells, irrigation sources, industrial sources, erosion of public and private land, or other sources. For ARRA funded projects and in addition to the program objectives above, the section 3 of the ARRA states the following objectives:

- (1) To preserve and create jobs and promote economic recovery.
- (2) To assist those most impacted by the recession.
- (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health.
- (4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.
- (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Eligible owners or operators in the Colorado River Basin above Imperial Dam may receive assistance to treat salinity problems. For further information, please contact the regional office.

Applicant Eligibility:

Any legal entity that is the owner or operator of the features to be replaced and/or to be constructed and capable of contracting with Reclamation..

Beneficiary Eligibility:

Any person who uses or reuses Colorado River water for irrigation, domestic, municipal or industrial water supply, or for fish and wildlife habitat will benefit.

Credentials/Documentation:

Some projects may require compliance with the National Environmental Policy Act and Endangered Species Act. Information regarding whether these requirements must be met will be included in the funding opportunity announcement posted on www.grants.gov. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should contact the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Reclamation will solicit proposals and award funding through a Funding Opportunity Announcement (FOA) process. The FOA will request proposals from public and private sectors that would control salinity based on cost per ton. The proposals will be ranked based on their cost effectiveness for preventing salt from entering the Colorado River system. The ranking would consider risk factors that might affect the project's performance. Upon receipt of applications/proposals by the date identified in the announcement(s), the applications will be reviewed to determine if application/proposal(s) are consistent with requirements identified in the announcements. The application/proposal(s) are reviewed against identified criteria by a Federal technical team of experts, that may include representatives of states as advisors. Based on the review, a ranking will be defined. Negotiations will be conducted and/or awards will be made based on recommendations from the technical

experts. Award will be based on those applications best meeting the needs of the program, including cost and risk factors.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Cost share in the amount of \$.43 per \$1.00 of appropriated funds is provided from the Upper Colorado River Basin Fund and the Lower Colorado River Basin Development Fund (Basin Funds). Moneys in the Basin Funds come from a surcharge on power produced at Reclamation power generation facilities on the Colorado River.

\$1.00 Appropriated+\$0.43 Basin Fund = \$1.43 for the Basinwide Salinity Control Program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements and grants are normally written for a 1 to 5 year period. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final program performance report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects recipient quarterly reporting is required in www.FederalReporting.gov. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis the Federal Financial Report SF 425. Upon completion of the agreement, recipients shall submit a final Federal Financial Report SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a sub-recipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

16-0680-0-3-301 - Appropriated; 16-4081-0-3-301 - Basin Funds (Not

Appropriated).

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$3,889,000; FY 17 est \$3,498,000; and FY 18 est \$3,610,000 - Basin. (Cooperative Agreements (Discretionary Grants)) FY 16 \$9,073,000; FY 17 est \$8,162,000; and FY 18 est \$8,400,000 - Appropriated.

Range and Average of Financial Assistance:

Range \$11,660,000 to \$12,962,000

Average \$12,211,000.

TAFS Codes:

16-4081; 16-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: During Fiscal Years 2015 and 2016, Reclamation had 16 active construction projects in the Basinwide Program that when completed will control an estimated 45,000 tons of salt each year from entering the Colorado River System. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Salinity Program: Mr. Kib Jacobson, Bureau of Reclamation, 125 South State Street, Salt Lake City UT 84138-1147, Phone: (801) 524-3753, Fax: (801) 524-5499, email: kjacobson@usbr.gov; or Mr. Rich Eastland, Bureau of Reclamation, 500 Fir St., Boulder City NV 89006-1470, Phone: 702-293-8487, Fax: 702-293-8330, email: reastland@usbr.gov. Western Colorado: Mr. John Sottolare, Bureau of Reclamation, 445 West Gunnison Ave, Grand Junction CO 81501, Phone: 970-248-0640, Fax: 970-248-0601, email: jsottolare@usbr.gov Eastern Utah and Western Wyoming: Mr. Ben Radcliffe, Bureau of Reclamation, 302 East 1860 South, Provo UT 84606, Phone: 801-379-1213, Fax: 801-379-1159, email: BRadcliffe@usbr.gov.

Headquarters Office:

Terry Stroh Bureau of Reclamation, 2764 Compass Drive, Grand Junction, Colorado 20240 Email: tstroh@usbr.gov Phone: (970)248-0637 Fax: (970)248-0601

Website Address:

<http://www.usbr.gov/uc/progact/salinity/index.html>

RELATED PROGRAMS:

15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs; 15.535 Upper Colorado River Basin Fish and Wildlife Mitigation Program.; 15.538 Lower Colorado River Multi-Species Conservation Program.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: One example of a current funded project is the Grand Valley Irrigation Company Phase 4 Project. Selected under the 2015 FOA, the GVIC was awarded a \$2.8 million cooperative grant to line approximately 1.65 miles of their main irrigation canal within the Grand Valley. This will result in a salt load reduction of approximately 2,363 tons annually at a cost effectiveness of \$49.64 per ton. The canal lining will consist of a 30-mil PVC membrane with 3-4 inches of shotcrete cover. The cooperative agreement was executed in August 2016, and construction will begin in November of 2017. It is expected to be completed in 2019. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

In 1984, the Salinity Control Act was amended to direct the Secretary of the Interior to give preference to units which reduce salinity at the least cost per unit of salinity reduction (or cost-effectiveness). Cost-effectiveness is defined as the Salinity Program's annual cost per ton of salt prevented from entering the Colorado River system. Conceptually, cost-effectiveness is analogous to determining the cost per mile to own and operate a car. That computation combines the annual expenses (loan payments, gas, maintenance, etc.) and

divides by the miles traveled each year. The key to understanding this approach is to appreciate that the government evaluates all projects as if the money is borrowed from a loan institution and repaid in annual installments over the life of the project. This economic evaluation principle is required by the "Economic and Environmental Principles Guidelines for Water and Related Land Resources Implementation Studies", March 1983. This method lends consistency in the comparison of non-federally financed alternatives (which must borrow funds) to Federally financed projects. Each proposal will be evaluated by an application review committee in accordance with the following criteria and corresponding percentage weights: (a) Technical merit - those passing will be evaluated further, (b) Cost effectiveness 70 percent of the annual cost for each ton of salt load reduction, expressed in dollars per ton, (c) Performance risk 30 percent of performance risk will evaluate the cost and effectiveness risks of the proposal. More detailed information will be included in each funding announcement or may be obtained from the Salinity Control Program contacts listed above. For Recovery Act projects: The purposes are among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.510 COLORADO UTE INDIAN WATER RIGHTS SETTLEMENT ACT

Colorado Ute Settlement Act/Animas La Plata

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Colorado River Basin Project Act, Public Law 90-537, 82 Stat.885; Colorado Ute Indian Water Rights Settlement Act of 1988, as amended, Public Law 100-585, 102 Stat. 1973; Colorado Ute Settlement Act Amendments of 2000, Public Law 106-554, 114 Stat 2763A-266.

OBJECTIVES:

To supply municipal and industrial water supply to the Ute Mountain Ute, Southern Ute Indian Tribe, the Navajo Nation and non-Tribal participants from the Animas-La Plata Project in settlement of water rights claims for the Tribes, and also fulfill other project activities that may be required as a result of the construction, such as relocation of roads and moving powerlines. To complete construction of a reconfigured Animas-La Plata Project, consisting of facilities to divert and store water from the Animas River to provide for an average annual depletion of 57,100 acre-feet of water, to be used for municipal and industrial water supply only, for the Ute Mountain Ute and Southern Ute Indian Tribes, and other tribal and non-tribal entities in the Four Corners Region of the United States. Also, to supply 4,680 acre-feet per year to the Navajo Nation through a pipeline from Farmington to Shiprock, NM.

TYPES OF ASSISTANCE:

Cooperative Agreements; Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

The Bureau of Reclamation is specifically authorized to: (1) Construct a reservoir, a pumping plant, a reservoir inlet conduit, and appurtenant facilities with sufficient capacity to divert and store water from the Animas River to provide for an average annual depletion of 57,100 acre-feet of water to be used for a municipal and industrial water supply. (2) Meet specific annual depletion rates for water allocation delivery. (3) To supply 4,680 acre-feet per year to the Navajo Nation through a pipeline from Farmington to Shiprock, NM. The design and construction functions of the Bureau of Reclamation with respect to the Dolores and Animas-La Plata Projects shall be subject to the provisions of the Indian Self-Determination and Education Assistance Act (ii Stat. 2203; 25 U.S.C. 450 et seq.) to the same extent as if such functions were performed by the Bureau of Indian Affairs.

Applicant Eligibility:

Federally Recognized Indian Tribal Government - Projects shall be subject to the provisions of the Indian Self-Determination and Education Assistance Act (ii Stat. 2203; 25 U.S.C. 450 et seq.) to the same extent as if such functions

were performed by the Bureau of Indian Affairs; and for projects not awarded subject to Public Law 93-638: State, local, Federally recognized Indian Tribal governments, small businesses, individuals, and profit organizations.

Beneficiary Eligibility:

Federally recognized Indian Tribal Government members and the general public in southwestern Colorado and northwestern New Mexico.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Bureau of Reclamation is required by Public Law 100-585 to use Public Law 93-638 procedures to contract for construction of the Animas-La Plata Project with the Ute Mountain Ute Tribe and the Southern Ute Indian Tribe. Construction contracts for estimated quantities and with firm fixed unit pricing are issued to accomplish the work. For projects under this program that are not required to be awarded subject to Public Law 93-638, funding opportunity announcements, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on <http://www.grants.gov>.

Award Procedure:

An initial statement of work is issued to the Tribe for review and pricing by the Contract Specialist. The Tribe reviews the initial statement of work, and submits a proposal with an estimate for completion of the work. The proposal with cost estimate is evaluated by the Government Technical Team, the Government Cost & Price Analyst, Contract Specialist, Contract Administrator, and Contracting Officer. Negotiations are held on the various elements until an agreement is reached and an award is then made. For projects not awarded subject to Public Law 93-638, all applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

Final award decisions are subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Public Law 93-638 contracts and agreements are written for a specific period of

time to complete a project, but normally not over 5 years. Funds are disbursed by progress payments, based on percentage of completion of estimated quantities of work. Section 207, Section 17(b) of the Act as amended extended the project period through Fiscal Year 2012. See the following for information on how assistance is awarded/released: Funds are disbursed by progress payments, based on percentage of completion of estimated quantities of work. Negotiated indirect cost rate agreements for tribes are negotiated on behalf of the National Business Center, Acquisition Services Division.

Reports:

Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final program performance report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report, SF 425 is required and a final SF 425 is required upon on the completion of the agreement. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-3-301.

Obligations:

(Direct Payments for Specified Use) FY 16 \$1,950,103; FY 17 est \$250,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range: \$4,128,239.07

Average: \$ 1,592,746.36.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Construction of the South Shiprock Tank and Nenahnezad Tank were completed during 2014. All Crossover Connections to existing distribution systems were completed during 2014 with the exception of crossover No.1 which is on-hold. Due to the failure of the Bluff Road (N 367) and damage to the NNMP Pipeline and a lack of funding, the scheduled cleaning and disinfection was not completed in 2014. Additional funding has been secured for the Bluff Road/ NNMP Pipeline realignment design/build contract. Construction of the new pipeline alignment is tentatively scheduled for FY 17. Once construction is complete NNMP will be cleaned, disinfected and placed into service. A tentative completion date for the NNMP project is end of 2017. NNMP is 96 percent complete. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Barry Longwell, FCCO 100, Four Corners Construction Office, 1235 La Plata Hwy, Farmington, New Mexico 87401 Email: blongwell@usbr.gov Phone: (505) 324-5000 Fax: (505) 326-4388.

Website Address:

http://www.usbr.gov/library/annual_reports/FY2008/MDAPart1.pdf

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Navajo Nation Municipal Pipeline. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

There are no criteria for selecting proposals for projects awarded under Public Law 93-638 procedures. When needed work is identified, a request for proposal is issued with a statement of work. The Tribe submits a proposal based on the statement of work. Negotiations are held to arrive at a mutually agreed upon Public Law 93-638 contract for award of the project and the costs associated with performance of the work. For any projects that may be identified that are not subject to Public Law 93-638 procedures, specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.511 CULTURAL RESOURCES MANAGEMENT

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

National Historic Preservation Act of 1966, Public Law 89-665, as amended; Archaeological and Historic Preservation Act of 1974, Public Law 93-291 and 16 U.S.C. 469-469c; Native American Graves Protection and Repatriation Act, P.L. 101-601.

OBJECTIVES:

To manage and protect cultural resources on Reclamation land; provide for the curation of and public access to collectible heritage assets, including the increase of public awareness, appreciation, and knowledge of these resources; and provide for the protection and preservation of the tribal cultural resources impacted by operations of some Reclamation projects.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

All activities are related to Reclamation cultural resource management programs and activities. Cooperative agreements are used for providing long-term care of Reclamation-owned heritage assets and meeting curation requirements per 36 CFR Part 79 and the Departmental Manual, Part 411s Museum Handbook. Grants or cooperative agreements are issued to State or Tribal Historic Preservation Offices to share databases, including geographic information systems. Awards made under the National Historic Preservation Act include grants or contracts for cultural resource work. The amount of funding available for projects is variable from year to year. Projects are approved through the budget cycle and may require up to three years for funding approval. For further information, please contact the regional office.

Applicant Eligibility:

State and local agencies, sponsored organizations, public nonprofit institutions/organizations, other public institutions/organizations, Federally-recognized Indian Tribal governments, minority groups, specialized groups, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, other private institutions/organizations, and Native American Organizations, educational or scientific organization, or any institution, corporation, association, or individual that possesses the requisite professional requirements.

Beneficiary Eligibility:

State and local governments, Tribes, universities, anyone/general public,

entities that have an education mission or mission-component, and repositories that meet the standards of the Department of the Interior, Department Manual, Part 411: Identifying and Managing Museum Property, for facilities managing Federal museum property. Further information regarding the general purpose and scope of the Department Manual (DM) is included in Part 001, Chapter 1, of the DM. The DM may be accessed at http://elips.doi.gov/app_DM/index.cfm?fuseaction=home.

Credentials/Documentation:

Repositories must meet the standards for managing museum property at 411 DM; and repositories holding archeological collections must also meet the standards for Curation of Federally-owned and Administered Archeological Collections included in the Code of Federal Regulations at Title 36, Part 79, available at <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>.

Activities under the Archaeological and Historic Preservation Act must be conducted by educational or scientific organizations, or any institution, corporation, association, or qualified individual. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened by Bureau of Reclamation personnel for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the issuing office. Qualified experts from the Bureau of Reclamation will review applications against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the number of proposals received and the complexity of the project. Generally, offices review and award assistance agreements within 60 days of proposal submission.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Some projects may be eligible for extension. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements are typically awarded for periods of 1 to 5 years. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on a quarterly basis program performance reports. Upon completion of the agreement, recipients shall submit a final Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on a quarterly basis the SF 425 Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333. For museum property, records must be in accordance with the specifications of 36 CFR Part 79 and 411 DM.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$1,198,983; FY 17 est \$1,067,106; and FY 18 est \$0

Range and Average of Financial Assistance:

Range \$ 7,500.00 - 879,522.00
Average \$ 1,046,838.90.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Numerous assistance agreements have been awarded to governmental entities and universities for the curation of museum property. Assistance agreements have been awarded to universities, museums, a state historical society, and a state historic preservation office for activities associated with the Archaeological and Historic Preservation Act, Native American Graves Protection and Repatriation Act, Archaeological Resources Protection Act and National Historic Preservation Act. Accomplishments include excavation of archaeological sites at a reservoir drawn down for safety of dams work, the repatriation of Native American human remains to the Navajo Nation, curating collections and making them accessible to the public, educating the public regarding archaeological resources, copying archaeological site records, developing a restoration plan and beginning restoration of the smokestack at the Heart Mountain Relocation Center National Historic Landmark, and investigating human burials exposed by low water levels at Reclamations Lake Altus. In addition, Reclamation completed the digital archiving of the report entitled Vanishing River. Grants have been awarded to tribes in support of preservation of their cultural resources along the banks of the Colorado River as part of the Glen Canyon Adaptive Management Program. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by

contacting the Bureau of Reclamation office listed below.

Regional or Local Office:

See Regional Agency Offices. George Herbst, Federal Preservation Officer
Environmental Compliance Division
84-53000
PO Box 25007
Denver, CO 80225-0007
Phone: 303-445-3311
Fax: 720-544-4840.

Headquarters Office:

Thomas Lincoln, Bureau of Reclamation, P.O. Box 25007 (Mail Code: 84-53000), Denver, Colorado 80225-0007 Phone: (303) 445-3311.

Website Address:

<http://www.usbr.gov/cultural/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The examples of funded projects include agreements with the University of Texas San Antonio and the Institute of the Great Plains for cataloging and curation of Reclamation museum property; University of Northern Colorado for curation of two sets of human remains from Pueblo Reservoir; Colorado Historical Society for public education efforts; University of Nebraska State Museum for testing sites at Red Willow Reservoir; Kansas State University for cultural resource inventory, evaluation and excavation of sites at Red Willow Reservoir and other Reclamations lands; University of North Dakota for archaeological investigations at Reclamations Shadhill, Jamestown, and Heart Butte Reservoirs and McClusky Canal and producing electronic versions of cultural resource site records; University of Oklahoma for archaeological fieldwork and investigation of unanticipated discoveries; Sam Noble Oklahoma Museum of Natural History and Wichita State University for curation of Reclamation collections and NAGPRA remains; and archaeological fieldwork for unanticipated discoveries; and Wyoming Department of State Parks and Cultural Resources for analysis and development of a restoration plan for the smokestack at the Heart Mountain Relocation Center National Historic Landmark. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used for selecting a recipient are based on a balanced and informed review of program needs and sources of information. For example, states have mandatory responsibilities to cooperate with Federal agencies to maintain statewide inventories of cultural resources, so states are sole sourced funds to maintain databases under the various National Historic Preservation Act sections requiring data sharing and cooperation. Indian tribes are often sole sourced because only they can identify properties of religious and cultural concern to tribal members. For other cultural resources activities, a technical proposal, budget proposal, and relevancy to program objectives are required. The specific evaluation criteria for each project will be included in the funding announcement posted on www.grants.gov.

15.512 CENTRAL VALLEY IMPROVEMENT ACT, TITLE XXXIV

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Reclamation Projects Authorization and Adjustment Act of 1992, Title XXXIV, Central Valley Project Improvement Act, Public Law 102-575.

OBJECTIVES:

The purposes of the CVPIA are (1) to protect, restore, and enhance fish, wildlife, and associated habitats in the Central Valley and Trinity River basins of California, (2) to address impacts of the Central Valley Project on fish, wildlife, and associated habitats, (3) to improve the operational flexibility of the Central Valley Project, (4) to increase water-related benefits provided by the Central Valley Project to the State of California through expanded use of voluntary water transfers and improved water conservation, (5) to contribute to the State of California's interim and long-term efforts to protect the San

Francisco Bay/Sacramento-San Joaquin Delta Estuary, and (6) to achieve a reasonable balance among competing demands for use of Central Valley Project water, including the requirements of fish and wildlife, agricultural, municipal and industrial and power contractors.

For Recovery Act funded projects and in addition to the the program objectives above, the section 3 of the Act states the following objectives: (1) To preserve and create jobs and promote economic recovery. (2) To assist those most impacted by the recession. (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health. (4) To invest in transportation, environmental protection, and other infrastructure what will provide long-term economic benefits. (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Agreements will be awarded for projects that will result in attaining the objectives noted above and the mandates set out in the CVPIA. Areas of emphasis include fish and wildlife restoration activities and investigations, evaluation of ecologic and hydrologic effects of existing and alternative operations of water facilities and systems, the effects of the CVP on anadromous fish populations, and delivery of refuge water supplies. The Secretary of the Interior is authorized and directed to promulgate such regulations and enter into such agreements as may be necessary to implement the intent, purposes and provisions of Title XXXIV. This title does not and shall not be interpreted to authorize construction of water storage facilities. For further information, please contact the regional office.

Applicant Eligibility:

State of California or an agency or subdivision thereof, Indian tribes, or nonprofit entities concerned with restoration, protection, or enhancement of fish, wildlife, habitat, or environmental values that are able to assist in implementing any action authorized by the title in an efficient, timely, and cost effective manner. [Section 9404(e) of PL 102-575, Title XXXIV].

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

None. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified Federal experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For current information on any upcoming funding opportunity announcements dates and deadlines, contact the Mid-Pacific Region, MP-400 Division of Resources Management, Sacramento, California, as listed in the Contacts section below.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Continuation of funding for the Program from one fiscal year to the next is at the discretion of Congress. Yearly solicitation is made for projects of 24 months in duration or less, although multi-year projects may be considered if annual accomplishments can be demonstrated.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Federal share of the costs of a project or activity carried out under this Program varies in accordance with the provisions of the CVPIA. Cost-share requirements will be included in the funding opportunity announcement posted on www.Grants.gov.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Proposals are requested for projects of 24 months in duration or less; however, funding for multi-year projects may be considered if annual accomplishments can be demonstrated. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final program performance report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

For Recovery Act projects, quarterly reporting is required. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required on a quarterly basis. Upon completion of the agreement recipients shall submit a final SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-5173-0-2-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$26,100,216; FY 17 est \$6,847,237; and FY 18 est \$6,750,000

Range and Average of Financial Assistance:

Range is \$6,000,000 to \$7,500,000; Average \$6,750,000.

TAFS Codes:

14-5173.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Congress passed and the President signed the Central Valley Project Improvement Act in 1992. Recent accomplishments include a number of water acquisition, water conveyance, facility construction, land acquisition, and habitat restoration actions within the Central Valley, Sacramento-San Joaquin Delta and Trinity River Basins. Detailed accomplishment reports are available at www.usbr.gov/mp/cvpia. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Richard Woodley, Resources Chief, MP-400, (916) 978-5201

Heather Casillas, CVPIA Program Manager, MP- 400, (916) 978-5360.

Headquarters Office:

None None, NA, Non-U.S. NA Phone: None

Website Address:

<http://www.usbr.gov/mp/cvpia>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Examples of funded projects include installment of fish screens, construction fish passage facilities, channel and floodplain fish habitat restoration, refuge water supply agreements and facilities, terrestrial habitat acquisition and restoration, and monitoring, modeling, and analysis studies. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.514 RECLAMATION STATES EMERGENCY DROUGHT RELIEF

Emergency Drought Relief and Drought Contingency Planning

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Reclamation States Emergency Drought Relief Act of 1991, Public Law 102-250, 43 U.S.C. 2201-2214, as amended; and Section 9504(a), Omnibus Public Lands Management Act of 2009, (Public Law 111-11), as amended.

OBJECTIVES:

Funding under the Drought Response Program will be provided to: (1) develop and update comprehensive drought contingency plans and (2) implement projects that will build long-term resiliency to drought.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Funding under the Drought Response program will be provided to: (1) develop and update comprehensive drought contingency plans and (2) implement projects that will build long-term resiliency to drought. For further information, please contact the regional offices.

Applicant Eligibility:

1) Drought contingency planning: Applicants eligible to apply for funding to develop or update drought contingency plans include: States, cities, or sub-divisions of a state or city; Indian tribes or tribal water organizations;

irrigation and water districts, water conservancy districts and other organizations with water or power delivery authority located within the following 17 Western U.S. States: Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Wyoming, Utah, and Washington; and Hawaii.

2) Drought resiliency projects: Applicants eligible to apply for funding for drought resiliency projects include: States, cities, or sub-divisions of a state or city; Indian tribes or tribal water organizations; irrigation and water districts, water conservancy districts, and other organizations with water or power delivery authority. Applicants must also be located in the western United States or Territories as identified in the Reclamation Act of June 17, 1902, as amended and supplemented; specifically: Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming, American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands.

Beneficiary Eligibility:

General public; Federal, State and local governments; and Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

None. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding Opportunity Announcements (Announcement) are posted on www.grants.gov. Submission of a complete technical proposal and projects budget is mandatory by the due date identified in the Announcement. In addition, applicants must submit all applicable SF 424 forms referenced in the Announcement.

Award Procedure:

Proposals received in response to the Announcement are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the Announcement. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project.

Appeals:

Bureau of Reclamation will provide information on why requests were not approved.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 50%. The Federal share of the cost of drought contingency plans and drought resiliency projects carried out under this Program shall not exceed 50% of the total cost of the plan or project.

However, economically disadvantaged communities may request a financial hardship waiver of all or part of the cost to develop a new drought contingency plan or to update an existing plan.

The non-Federal share of the cost of operating and maintaining any infrastructure improvement funded through this program as a drought resiliency project grant shall be 100 percent.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In general, drought contingency plans and drought resiliency projects should be completed within 2 to 3 years of award. Applications for plans/ projects requiring more time will be considered for funding only under limited circumstances. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF 425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the SF-425, Federal Financial Report on a quarterly basis. Upon completion of the agreement, recipients shall submit a final SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$5,042,642; FY 17 est \$4,000,000; and FY 18 est \$4,000,000

Range and Average of Financial Assistance:

Range is \$75,000 - \$750; Average \$150,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY15, available funding was used to fund 11 Drought Contingency Plans and 12 Drought Resiliency Projects.

In FY16, available funding was used to fund 9 Drought Contingency Plans and 14 Drought Resiliency Projects. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and applicable Office of Management and Budget Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

None.

Headquarters Office:

Darion Mayhorn Bureau of Reclamation, Mail Code 84-51000, PO Box 25007, Denver, Colorado 80225-0007 Email: dmayhorn@usbr.gov Phone: (303)445-3121

Website Address:

<https://www.usbr.gov/drought/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: This program funds collaborative Drought Contingency Plans that will help provide water managers prepare in advance of a drought. For example, the Dolores Water Conservancy District in Colorado will develop a Drought Contingency plan in partnership with the Ute Mountain Ute Tribe Farm and Ranch Enterprise, and the Montezuma Valley Irrigation Company. The plan will include the areas served by the Bureau of Reclamation's Dolores Project, located in southwest Colorado, which provides irrigation water for approximately 36,600 acres of irrigated land, provides municipal and industrial water to the Ute Mountain Ute Tribe, the tribal community of Towaoc, several other towns and cities, and serves downstream fish and wildlife purposes. The Dolores Project suffered severe shortages (25%) from drought in 2013. The plan will include a comprehensive evaluation of mitigation and response actions to reduce water shortages and improve drought resiliency for water users through collaboration with local agricultural districts, tribal farmers, municipal and industrial users, non-governmental organizations, and Federal and state agencies

This program also funds Drought Resiliency Projects that help provide water managers with flexibility during periods of drought. For example, in Arizona, the City of Phoenix, will purchase components for a well as part of an Aquifer Storage and Recovery Well Restoration project at their Deer Valley Water Treatment plant. The City will construct a dual-purpose well that will directly inject under-utilized surface water supplies into the aquifer for later withdrawal during times of drought. The City, which services more than 1.5 million residents, is currently experiencing drought conditions. This project enables the City to maintain a supply of surplus water while offering operational flexibility during times of drought. The City estimates the groundwater recharge to be 2,400 gallons per minute, or approximately 3,874 acre-feet per year. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Drought contingency plans must include input and participation by multiple stakeholders. Plans will also consider climate change impacts to drought conditions and identify potential drought mitigation and response actions to build resilience to drought as exacerbated by climate change.

Drought resiliency projects supported by an existing drought contingency plan (e.g., an existing state, county, municipal, or other plan is acceptable) receive prioritization. Projects that are eligible for funding should address at least one of the following goals: 1) increase the reliability of water supply and sustainability; 2) improve water management; 3) implement systems to facilitate voluntary sale, transfer, or exchange of water; 4) provide benefits for fish and wildlife and the environment; and 5) mitigate poor water quality caused by drought.

15.516 FORT PECK RESERVATION RURAL WATER SYSTEM

Fort Peck Water Supply Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Fort Peck Reservation Rural Water System Act of 2000, Pub. L. 106-382.

OBJECTIVES:

To ensure a safe and adequate municipal, rural and industrial water supply for the residents of the Fort Peck Indian Reservation in the State of Montana; and to assist the citizens in those portions of Roosevelt, Sheridan, Daniels, and

Valley Counties that are outside the Fort Peck Indian Reservation, in developing safe and adequate municipal, rural, and industrial water supplies.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements); Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

The project is for the construction of municipal, rural, and industrial water supply systems that will serve the Fort Peck Indian Reservation (Assiniboine and Sioux Rural Water System), and all or portions of Valley, Daniels, Sheridan and Roosevelt counties in northeastern Montana (Dry Prairie Rural Water System).

Applicant Eligibility:

The Fort Peck Tribal Executive Board, Dry Prairie Rural Water Association Incorporated (or any successor non-Federal entity).

Beneficiary Eligibility:

The Fort Peck Indian Reservation, the Dry Prairie Rural Water Association, and the residents of all or portions of Valley, Daniels, Sheridan and Roosevelt counties in the State of Montana.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

These projects have met the requirements of the National Environmental Policy Act (NEPA) of 1969, and final engineering reports and water conservation plans have been approved. Any proposed changes in the scope of these projects will be reviewed for continued compliance. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Annual work plans and budgets are negotiated annually. The applicants must submit all applicable SF-424 Application for Federal Assistance forms, along with technical proposals and budget narratives.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, Reclamation's Great Plains Region finalizes the amendments.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing and in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Federal share of the Fort Peck project is 100 percent (Sec. 4(j)); the Federal share of the Dry Prairie Rural Water System project is 76 percent (Sec. 5(a)(2)). Construction costs for both projects will total \$193 million (1998 dollars) with Dry Prairie's cost being \$68 million and the Reservation's portion being \$125 million. Funds are to be available until expended.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Beginning in fiscal year 2001, funding for these projects was authorized to be appropriated until year 2020. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded

under this program.

Reports:

Draft and final technical reports; quarterly recipient reports are required in www.FederalReporting.gov; annual reports; and reports on significant developments (as necessary). Cash reports are not applicable. Progress reports are not applicable. Quarterly and final Federal Financial Reports, SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 16 \$1,676,000; FY 17 est \$4,625,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range \$ 2,800,000 to 6,800,000
Average \$ 4,825,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fort Peck has completed construction on main transmission pipelines to the western and eastern reservation boundaries and branch pipelines between the reservation communities of Wolf Point, Frazer, Poplar, and Brockton. Dry Prairie currently utilizes project water to supply water to parts of Valley County between the communities of Nashua and Glasgow, and into Sheridan County as far north as the community of Plentywood through completed project infrastructure. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Montana Area Office: Mr. Steve Davies, Area Manager, Bureau of Reclamation, PO Box 30137, Billings, MT, 59107-0137, Telephone 406.247.7298, E-mail: sdavies@usbr.gov.

Headquarters Office:

Doug Davis Great Plains Regional Office: Mr. Doug Davis, Native American Affairs Officer, Bureau of Reclamation, P.O. Box 36900, Billings, MT 59107, Billings, Montana 59107 Email: DouglasDavis@usbr.gov Phone: (406) 247-7710 Fax: (406) 247-7695

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Fort Peck has completed construction on the Water Treatment Plant (WTP) and transmission pipelines to Dry Prairie customers on both the western and eastern areas outside the reservation boundaries. Dry Prairie has completed projects in Valley and Sheridan Counties, supplying project water to communities and rural residents from Nashua to Plentywood.

Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling.

15.517 FISH AND WILDLIFE COORDINATION ACT

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Coordination Act of 1934, Public Law 85-624, 16 U.S.C. 661 et seq., as amended, and Section 7(a) of the Fish and Wildlife Coordination Act (FWCA) (70 Stat 1122; 16 U.S.C. 742f(a)); as limited and delegated by the Secretary of the Interior delegation of authority to the Bureau of Reclamation at 255 DM 1.1B:

1.1 Delegation. Subject to the exceptions in Section 1.2, the Commissioner of Reclamation (Commissioner) is delegated the authority of the Assistant Secretary Water and Science to:

B. Take the following actions, either directly or by providing financial assistance to non-Federal parties, pursuant to the Conservation of Wild Life, Fish and Game Act of March 10, 1934 (Public Law 73-121; 48 Stat. 401) as amended by the Fish and Wildlife Coordination Act of August 14, 1946 (Public Law 85-624; 72 Stat. 563; 16 U.S.C. 661-666c); Section 5 of the Endangered Species Act of 1973, December 28, 1973 (Public Law 93-205; 87 Stat. 884; 16 U.S.C. 1534); and Section 7(a) of the Fish and Wildlife Coordination Act of 1956, August 8, 1956 (70 Stat. 1122; 16 U.S.C. 742f(a)), regarding the construction and/or continued operation and maintenance of any Federal reclamation project:

(1) plan, design, and construct, including acquiring lands or interest therein as needed for:

(a) fish passage and screening facilities at any non-Federal water diversion or storage project; or

(b) projects to create or improve instream habitat.

(2) acquire or lease water or water rights from willing sellers or lessors; or

(3) monitor and evaluate the effect of Reclamation actions on Endangered Species Act-listed species.

OBJECTIVES:

To provide financial assistance, through grants or cooperative agreements, to public or private organizations for the improvement of fish and wildlife habitats associated with water systems or water supplies affected by Bureau of Reclamation projects.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

In accordance with the delegation of authority to the Bureau of Reclamation in the Department of the Interior Department Manual, Part 255, Chapter 1, Section 1.1.B, projects are limited to the improvement of fish and wildlife habitat associated with water systems or water supplies affected by Bureau of Reclamation projects, and in isolated cases, off-site locations not affected by Bureau of Reclamation projects. For further information, please contact the regional office.

Applicant Eligibility:

State and local governments, nonprofit organizations and institutions, public and private institutions and organizations, Federally recognized Indian Tribal Governments, individuals, small businesses, for-profit organizations, and Native American Organizations.

Beneficiary Eligibility:

Anyone/general public, governmental entities, Tribal governments, Native American organizations, and/or public or private organizations in the specific project area.

Credentials/Documentation:

None. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the

scope of the specific project funded. This may result in the need for an environment impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

For competitive awards: Proposals received in response to the Announcement were reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the Announcement. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year.

For single-source awards, a Notice of Intent to Award is announced on www.grants.gov providing justification for the noncompetitive research award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Specific projects or announcements may require nonfederal cost-share. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are generally awarded for 1 to 5 years. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit program performance reports. Upon completion of the agreement, recipients shall submit a final program performance report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the Federal Financial Report, SF 425. Upon completion of the agreement, recipients shall submit a final Federal Financial Report, SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.33.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$27,413,967; FY 17 est \$20,000,000; and FY 18 est \$20,000,000

Range and Average of Financial Assistance:

Range \$10,000 to \$11,000,000
Average \$430,000.

TAFS Codes:

14-0680; 14-0681.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Improvements to fish and wildlife habitat through award of projects that track fish and wildlife movement, habitat temperature monitoring, collection and analysis of invertebrates, genetics studies, surveying and monitoring of fish and wildlife and salinity studies, and construction of fish passage improvements. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below .

Regional or Local Office:

See Regional Agency Offices. Specific contact information will be provided in each funding announcement.

Headquarters Office:

Director Bureau of Reclamation, 1849 C Street, NW, Washington, District of Columbia 20240 Phone: (202) 208-3100

Website Address:

<http://www.usbr.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Examples of funded projects include: Grande Ronde Basin Anadromous Fishery Habitat Improvement, South Fork Boise River Sediment Study, and the Pyramid and Summit Lakes Protection Program. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.518 GARRISON DIVERSION UNIT

Garrison Diversion Unit Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Garrison Diversion Unit, Missouri River Basin Project, Public Law 89-108, as amended; Energy and Water Development Appropriation Act of 1985, Public

Law 98-360; Garrison Diversion Unit Reformulation Act of 1986, Public Law 99-294; Dakota Water Resources Act of 2000, Public Law 106-554, Indian Self-Determination and Education Act, Public Law 930638, as amended;

OBJECTIVES:

To meet the water needs within the State of North Dakota by providing funds on a non-reimbursable basis for the planning and construction of a multi-purpose water resource development project within the State of North Dakota for irrigation; municipal, rural, and industrial water; fish, wildlife, and other natural resource conservation and development; recreation; flood control; augmented stream flows; ground water recharge; and other project purposes.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Different projects and programs under the authorization have different cost ceilings. The Bureau of Reclamation's Great Plains Region shall enter into cooperative agreements with the State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, and the Turtle Mountain Band of Chippewa and the Trenton Indian Service Area to undertake the authorized activities, programs and projects as the Secretary of the Interior determines necessary.

Applicant Eligibility:

The State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, the Turtle Mountain Band of Chippewa and the Trenton Indian Service Area.

Beneficiary Eligibility:

The citizens of the State of North Dakota and the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, and the Turtle Mountain Band of Chippewa.

Credentials/Documentation:

See Public Law 99-294, Section 2, for considerations to be given to fish and wildlife conservation, including habitat impacts, mitigation procedures, and enhancement. See Public Law 99-294, Section 3, regarding when it may be necessary for applicants to complete a comprehensive report covering feasibility, capability, impacts, cost and efficiency measures, National Environmental Policy Act requirements, and other matters. Please contact the Bureau of Reclamation personnel listed in the Contacts section, below, for further information regarding these requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication is not required. Depending on the project, an environmental impact assessment or statement may be required. If requested, the Bureau of Reclamation's Great Plains Region will assist the State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, and the Turtle Mountain Band of Chippewa and the Trenton Indian Service Area in preparing their respective proposals. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications shall include: (1) Detailed written technical proposals including background data regarding the applicants, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, types of personnel implementing the proposals, experience in performing these types of work, timelines, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation; and (2) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contributions of goods and services. Applicants must submit all applicable SF-424 Application for Federal Assistance forms, along with their technical and budget proposals.

Award Procedure:

Once the parties agree with their respective scopes of work and cooperative agreements, the Bureau of Reclamation's Great Plains Region will finalize the agreements. The Bureau of Reclamation's Great Plains Region will negotiate and enter into cooperative agreements with the State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, the Turtle Mountain Band of Chippewa and the Trenton Indian Service Area. The tribes may choose to enter into an agreement under Public Law 93-638, the Indian Self-Determination and Education Assistance Act. For those agreements that are in place, the Bureau of Reclamation negotiates annually the workplan and budget for that fiscal year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. The North Dakota State MR&I program requires a 25% cost share or match. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There is no time restriction placed on the use of the funds. A schedule will be established between the Bureau of Reclamation and the recipient at the time of award. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Recipients shall submit the following reports during the term of the agreement: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Quarterly SF-425, Federal Financial Report and upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 16 \$30,941,543; FY 17 est \$13,810,000; and FY 18 est \$16,285,000

Range and Average of Financial Assistance:

Range \$2,000 to \$40,000,000

Average \$567,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The tribal water systems have completed a number of construction contracts under the Garrison Project MR & I program including ; Standing Rock (Main Transmission Pipeline contract 3-7), Three Affiliated (None) , Spirit Lakes Tribe (School Tank Pipeline contract Well Field Improvement Contract FT2016-WTP-1), Turtle Mountain Band of Chippewa (Well field Improvements Contract 2-1) and the Trenton Indian Service Area (None) North Dakota State MR & I Program also completed a number of rural water contracts including the Southwest Pipeline Project (Dunn Center Service Area Tank contract, and the East Dunn Center Service Area Pipeline contract). Operation and maintenance activities were also accomplished on the tribal rural water systems and GDU principal supply works and wildlife areas.

The operation, maintenance and replacement of the tribal rural water systems continued during fiscal year 2016. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Dakotas Area Office: Mr. Richard Long, Area Manager, Bureau of Reclamation, Address: P.O. Box 1017, Bismarck, ND 58501, Telephone: (701) 221-1201, E-mail: rlong@usbr.gov.

Headquarters Office:

Linda Parker Bureau of Reclamation, 2021 4th Avenue North, Billings, MT 59101; PO Box 36900., Billings, Montana 59101-6900 Email: lparker@usbr.gov Phone: (406) 247-7789 Fax: (406)247-7800

Website Address:

<http://www.usbr.gov/gp/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Funds were used to construct the new Standing Rock water treatment plant, expansion of the pipeline distribution systems for the Three Affiliated Tribes water systems, the new Spirit Lake Tribe Water Treatment Plant, and the Turtle Mountain BIA Highway 7 contract construction. Construction of new water distribution for Emmons County and Southwest Pipeline projects. Continued operation and maintenance of tribal rural water systems and GDU principal supply works. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation and the appropriation ceiling, and any stated evaluation criteria.

15.519 INDIAN TRIBAL WATER RESOURCES DEVELOPMENT, MANAGEMENT, AND PROTECTION

Indian Tribal Water Resources

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Consolidated Appropriations Resolution, 2003, Division D Energy and Water

Development Appropriations, Title II, Section 201, Public Law 108-7. American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5).

OBJECTIVES:

To increase opportunities for Indian tribes to develop, manage, and protect their water resources.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are authorized to increase opportunities for Indian tribes to develop, manage, and protect their water resources. Nothing in this Act is intended to modify or limit the provisions of the Indian Self-Determination Act (25 U.S.C. 45 et seq.). For further information, please contact the regional office.

Applicant Eligibility:

Federally recognized Indian tribes, institutions of higher education, national Indian organizations, and tribal organizations located in the 17 western States identified in the Act of June 17, 1902, as amended; specifically, Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, and Wyoming.

Beneficiary Eligibility:

Federally recognized Indian tribes in the 17 western states.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with application packages and instructions, points of contact, and procedures for submitting applications will be distributed to eligible Indian tribes by Reclamation Native American Affairs Specialists in each Region when funds become available.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of Bureau of Reclamation Native American Affairs Program managers who are knowledgeable in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Reclamation anticipates that contracts will be awarded under the authority of PL 93-638 as amended in accordance with federal self-determination and self-governance policies. Tribes may also request to enter into financial assistance grants or cooperative agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects generally last one to two years. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

For Recovery Act projects, quarterly reporting is required. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$13,188,338; FY 17 est \$12,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range \$2,000 to \$1,300,000
Average \$125,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Projects were awarded for fish passage studies, flow and water quality studies, water quality enhancement studies, conservation investigations and studies, improvements for conservation and efficiency, groundwater studies, and habitat restoration, SCADA system improvements, and water resource inventories. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Pacific Northwest Region:

Telephone:(208)378-5008, Web:

<http://www.usbr.gov/native/regions/pn/index.html>; Mid-Pacific Region:

Telephone: (916) 978-5194, Web:

<http://www.usbr.gov/native/regions/mp/index.html>; Lower Colorado Region:

Telephone: (702) 293-8426, Web:

<http://www.usbr.gov/native/regions/lc/index.html>; Upper Colorado Region:

Telephone: (801) 524-3674, Web:

<http://www.usbr.gov/native/regions/uc/index.html>; Great Plains Region:

Telephone: (406) 247-7710, Web:

<http://www.usbr.gov/native/regions/gp/index.html>.

Headquarters Office:

Director Native American and International Affairs Office, 1849 C Street, NW, Washington, District of Columbia 20240 Phone: (202) 513-0550

Website Address:

<http://www.usbr.gov/native/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Sedimentation studies, water quality investigations and monitoring, geomorphic analysis, watershed data collection, irrigation project rehabilitation, watershed management planning, habitat restoration, water conservation, SCADA control systems, aquifer studies and monitoring, erosion control, and infrastructure improvement. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Proposed projects must be related to the development, management and protection of Indian tribal water resources.

15.520 LEWIS AND CLARK RURAL WATER SYSTEM

(Lewis and Clark Project)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Fiscal Year 2000, Department of Defense Supplemental Appropriations Act, Division B, Chapter 3, Title IV, Lewis and Clark Rural Water System, Public Law 106-246, Public Law 111-5.

OBJECTIVES:

To provide safe and adequate municipal, rural, and industrial water supplies, mitigation of wetland areas and water conservation for the Lewis and Clark Rural Water System member entities located in southeastern South Dakota, southwestern Minnesota, and northwestern Iowa.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This project is for the planning and construction of a bulk water supply system to provide municipal, rural, and industrial water supplies, mitigation of wetland areas and water conservation for the Lewis and Clark Rural Water System member entities located in southeastern South Dakota, southwestern Minnesota, and northwestern Iowa. Mitigation of wetlands areas have been incorporated into the project. Congress has specifically authorized the Secretary of the Interior to administer this project in southwestern Minnesota and northwestern Iowa. At the present time, no new project awards are being made under this authority. Funding is only available for continuation of existing agreements.

Applicant Eligibility:

The Lewis and Clark Rural Water Supply System, Inc., and its member entities (rural water systems and municipalities that meet the requirements for membership in the Lewis and Clark Rural Water Supply System, Inc.).

Beneficiary Eligibility:

Fifteen communities and 5 rural water systems in Lake, McCook, Minnehaha, Turner, Lincoln, Clay, and Union Counties, in southeastern South Dakota; Rock and Nobles Counties, in Southwestern Minnesota; and Lyon, Sioux, Osceola,

O'Brien Dickinson, and Clay Counties, in northwestern Iowa.

Credentials/Documentation:

The project requires submission of a final engineering report, National Environmental Policy Act compliance, a plan for water conservation and mitigation plans for fish and wildlife losses in accordance with Section 4104 of the Act. OMB Circular A-87 requirements are applicable. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Amendments to incorporate annual work plans and budgets are negotiated annually. The recipient must submit all applicable SF-424 Application for Federal Assistance forms, along with (1) Detailed written technical proposals including background data regarding the applicants, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, types of personnel implementing the proposals, experience in performing these types of work, timelines, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation; and (2) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contributions of goods and services.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamation's Great Plains Region finalizes the amendments.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Grantees are required to update their work plan and submit a current year budget annually.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Federal share of the project is 80 percent; except that Federal funds for the City of Sioux Falls, South Dakota, shall be 50 percent of the incremental cost to the city of participation in the project. (Public Law 106-246, Section 4108).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding will be available until all appropriated funds have been expended for this program, or at such time the Grants Officer declares construction of the project complete. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement. As of September 30, 2009, the federal appropriation ceiling (October 2011 price levels) as prepared by the Bureau of Reclamation was \$393,769,000 and the projected cost ceiling from all funding sources was \$499,806,000. The amount and timing of disbursement of assistance is based on progress and need. Payments will be made using the Automated Standard Application for Payment (ASAP). See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports during the term of agreement Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the

agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Quarterly and final Federal Financial Report, SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For Recovery Act: 2 CFR 176, Subpart D - Single Audit Information for Recipients of Recovery Act Funds and specific ARRA information on OMB Circular A-133. Separate tracking of ARRA funds. Separately identify the expenditures for Federal awards under the Recovery Act on the Schedule of Expenditures of Federal Awards (SEFA) and the Data Collection Form (SF-SAC). Identify ARRA titles by inclusion of the prefix "ARRA-" in identifying the name of the Federal program on the SEFA and SF-SAC.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 16 \$9,332,000; FY 17 est \$2,650,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range \$2,432,000.00 to \$8,775,000.00
Average \$5,000,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Completion of the following contracts; Luverne and Magnolia Meter Buildings, Treated Water Pipeline Segment MN-2, Treated Water Pipeline Segment MN-3A, and the Magnolia Service Line. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Dakotas Area Office: Davis Rosenkrance, Area Manager, Bureau of Reclamation, Address: PO Box 1017, Bismarck ND 58501, Telephone: 701.221-1201, E-mail: drosenkrance@usbr.gov.

Headquarters Office:

Lindsey Nafts, Bureau of Reclamation, Great Plains Regional Office, P.O. Box 36900, 316 North 26th Street, Billings, Montana 59101-6900 Email: LNafts@usbr.gov Phone: (406) 247-7684.

Website Address:

<http://www.lcrws.org/>.

RELATED PROGRAMS:

15.518 Garrison Diversion Unit

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Construction of pipelines from 6 to 54-inches in diameter, 38 MGD water treatment plants, wells and well houses, ground and elevated storage reservoirs, meter houses, pump stations and appurtenant SCADA systems. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The scope of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling. Reclamation will allocate funds based on the recently implemented funding criteria.

15.521 LOWER RIO GRANDE VALLEY WATER RESOURCES CONSERVATION AND IMPROVEMENT

Lower Rio Grande Valley Irrigation Projects

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Lower Rio Grande Valley Water Resources Conservation and Improvement Act of 2000, Public Law 106-576, as amended; Lower Rio Grande Valley Water Resources Conservation and Improvement Act of 2002, Public Law 107-351.

OBJECTIVES:

Awards will be made to investigate and identify opportunities to improve the supply of water in the program area identified as the counties in the State of Texas in the Rio Grande Regional Water Planning Area known as Region "M" as designated by the Texas Water Development Board; and the counties of Hudspeth and El Paso, Texas. The program includes the review of studies and planning reports, conduct of or participation in funding engineering work, infrastructure construction, and improvements for the purpose of conserving and transporting raw water.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cost ceilings of \$8,000,000 for conservation and improvements (section 3(g)) and \$47,000,000 for construction (section 4(c)). Reclamations Great Plains Region will enter into cooperative agreements with the State of Texas, water users in the program area, specified irrigation districts, and other non-Federal entities to carry out the project if the Secretary of the Interior determines such agreements would be cost-effective and efficient. A substantial portion of this program is directed toward funding 19 construction projects specifically identified in the Lower Rio Grande Valley Water Resources Act, as amended.

Applicant Eligibility:

The State of Texas, water users in the program area, specified irrigation districts, and other non-Federal entities.

Beneficiary Eligibility:

The general public located in the state of Texas.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Applicants shall provide a report in accordance to Sec. 3(c) of the Act that includes, but is not limited to, (a) estimated project costs, conservation measures, and operational efficiency improvements, (b) a project plan and map, and (c) a cost-sharing commitment for applicant funding of its proportional share of project construction costs on an annual basis. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. Environmental compliance for this program may be required depending on the scope of the specific project funded. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process

the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Scopes of Work are provided to the Bureau of Reclamation for annual award and funding.

Award Procedure:

Specific recipients are identified in the authorizing legislation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The non-Federal share of total project costs shall be 50 percent. Not more than 40 percent of the project costs may be paid by the State. The remainder of the non-Federal share may include in-kind contributions of goods and services, and the actual funds previously spent on feasibility and engineering studies. Awarded agreements must contain a commitment by the non-Federal project sponsors to fund their proportionate share of the project's construction costs on an annual basis. (Public Law 106-576, Section 4(b), as amended).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There is no time restriction placed on the use of the funds. A schedule will be established with the recipient at the time of award. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: SF-425, Federal Financial Report; and semi-annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal

awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 16 \$342,734; FY 17 est \$50,000; and FY 18 est \$50,000

Range and Average of Financial Assistance:

Range \$ 292,734.74

Average \$ 147,578.25.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Three construction projects are ongoing with Cameron County Irrigation District #2, Hidalgo County Irrigation District #6, and United Irrigation District. Delta Lake Irrigation District has completed the project yet the Federal cost share has not been fully reimbursed. New agreements were issued to Cameron County Irrigation District #2 and Hidalgo County Irrigation District #6. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Oklahoma Texas Area Office: Mr. Trent Parish, Environmental Protection Specialist, Bureau of Reclamation, Address: 5316 Highway 290 West, Suite 110, Austin, TX 78735-8931, Telephone: 512.899.4157, E-mail: tparish@usbr.gov.

Headquarters Office:

Mr. Kimball M. Banks, Bureau of Reclamation, P.O. Box 36900, 316 North 26th Street, Billings, Montana 59101 Email: kbanks@gp.usbr.gov Phone: (406) 247-7710 Fax: (406) 247-7695.

Website Address:

<http://www.usbr.gov/gp/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The examples of funded projects include agreements with Harlingen Irrigation District for canal lining/piping existing canals and automation and telemetry; Cameron County ID #2 for pump station replacement/canal lining/piping existing canals; ; Hidalgo County ID #6 for lining of existing canals and telemetry; and United I.D for piping existing canals and pump station rehabilitation. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must be compatible with the authorizing legislation and the appropriation ceiling.

15.522 MNI WICONI RURAL WATER SUPPLY PROJECT

Mni Wiconi Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Mni Wiconi Rural Project Act of 1988, Public Law 100-516, as amended; Yavapai-Prescott Indian Tribe Water Rights Settlement Act of 1994, Title VIII, Mni Wiconi Act Amendments of 1994, Public Law 103-434.

OBJECTIVES:

To ensure a safe and adequate municipal, rural, and industrial water supply for the residents of the Pine Ridge Indian, Rosebud Indian, and Lower Brule Indian Reservations in South Dakota; to assist the citizens of Haakon, Jackson, Jones, Lyman, Mellette, Pennington, and Stanley Counties, South Dakota, to develop safe and adequate municipal, rural, and industrial water supplies; to promote the implementation of water conservation programs at these locations; to provide certain benefits to fish, wildlife, and the natural environment of South Dakota; and in consultation with the Oglala, Rosebud, and Lower Brule Sioux Tribes, to conduct feasibility studies on the need to develop water disposal facilities and systems and rehabilitate existing waste water disposal facilities and systems on the Pine Ridge, Rosebud, and Lower Brule Indian Reservations.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Agreements are limited to planning, designing, constructing, operating, maintaining, and replacing the Oglala Sioux, the Rosebud, and the Lower Brule Sioux Rural Water Supply Systems; and for planning and construction of the West River/Lyman-Jones Rural Water System. At the present time, no new project awards are being made under this authority. Funding is only available for continuation of existing agreements.

Applicant Eligibility:

West River/Lyman-Jones Water Systems; the Oglala, Rosebud, and Lower Brule Sioux Tribes; and non-Federal entity or entities. Section 3(b) of Public Law 100-516 states the Secretary, with the concurrence of the Oglala Sioux Tribal Council, shall enter into agreements with the appropriate non-Federal entity or entities for planning, designing, constructing, operating, maintaining and replacing the Oglala Sioux Rural Water Supply System..

Beneficiary Eligibility:

The citizens of the southwest quarter of the State of South Dakota, including the Oglala, Rosebud, and Lower Brule Indian Reservations.

Credentials/Documentation:

Agreements for construction of projects entered into for the West River/Lyman-Jones Rural Water Systems must be in accordance with the final engineering reports submitted by each project applicant. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication is not required. Depending on the project, an environmental impact assessment or statement may be required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. At the present time, program funding is limited to amendments of existing agreements. Amendments to incorporate annual work plans and budgets are negotiated annually.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamation's Great Plains Region finalizes the modifications. Responsibilities for needs assessments, feasibility and environmental studies, design, conservation measures and administration are to be included in agreements negotiated under this authority.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of

Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: The Federal share of tribal projects is 100 percent. The Federal share of the West River/Lyman Jones projects is 80 percent. (Public Law 103-434, Section 805).
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The current sunset date for the Mni Wiconi Project is September 30, 2014. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report and upon completion of the agreement a final report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 16 \$11,503,568; FY 17 est \$12,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range \$ 50,000 to \$ 20,000,000
Average \$ 12,000,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Construction of pipeline distribution systems on the Pine Ridge Reservation and Rosebud Reservation were substantially completed in 2016. The operation, maintenance and replacement of the three tribal rural water systems continued through fiscal year 2016. Fiscal Year 2017: Information is not available. Fiscal Year 2018: Information is not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by

contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Dakotas Area Office: Mr. Arden Freitag, Area Manager, Bureau of Reclamation, Address: PO Box 1017, Bismarck, ND 58501, Telephone: 701.250.1200, E-mail: a Freitag@usbr.gov.

Headquarters Office:

Linda Parker Bureau of Reclamation, 2021 4th Avenue North, PO Box 36900,, Billings, Montana 59107-6900 Email: lparker@usbr.gov Phone: 406.247.7789 Fax: 406-247-7800

Website Address:

<http://www.usbr.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Mni Wiconi Project constructed primarily rural water pipeline conveyance and distribution systems. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering reports, and the appropriation ceiling.

15.524 RECREATION RESOURCES MANAGEMENT

Title XXVIII

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Federal Water Projects Recreation Act of 1965, Pub. L. 89-72 as amended; Reclamation Recreation Management Act of 1992, Pub. L. 102-575, Title XXVIII.

FOR RECOVERY ACT:

American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5).

OBJECTIVES:

To provide cost-share opportunities with non-Federal recreation partners (e.g. state, county, local governments, etc.), to assist in planning, development, operation, maintenance, and replacement of recreation and fish and wildlife resource facilities at partner managed Reclamation project recreation areas.

FOR RECOVERY ACT:

For ARRA funded projects and in addition to the program objectives above, the section 3 of the ARRA states the following objectives:

- (1) To preserve and create jobs and promote economic recovery.
- (2) To assist those most impacted by the recession.
- (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health.
- (4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.
- (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Cost share agreements are used to provide assistance to non-Federal managing partners, who currently manage or will be managing one or more Reclamation project recreation areas, for the long-term management and care of publicly-owned recreation resources, and for the planning, construction and other facets of improving recreation access and assets. Concession contracts are not authorized by the above authorities. For further information, please contact the regional office.

Applicant Eligibility:

Non-Federal managing partners (e.g., state, county, local government entities, etc.), that currently manage or will be managing one or more Reclamation

project recreation areas.

Beneficiary Eligibility:

Non-Federal recreation management partners.

Credentials/Documentation:

Pub. L. 89-72, as amended, requires that prospective non-Federal managing partners that will be entering into long-term agreements for management of Reclamation project recreation areas indicate their intent in writing to administer Reclamation project recreation areas and enter into such long-term agreements for management of recreation and fish and wildlife facilities. Management agreements must be current for existing partners. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for categorical exclusion, environmental assessment, or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. State and local governments that have managing partner agreements with Reclamation submit proposals with detailed scopes of work and budgets for recreation as well as fish and wildlife improvement projects.

Award Procedure:

All applications will be initially screened by Bureau of Reclamation personnel for eligibility and compliance with the requirements stated in the program funding announcement. Applications are reviewed by the Bureau of Reclamation office having administrative jurisdiction of the land and water areas being considered for public recreation purposes.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be communicated to the Recipient. The financial assistance agreements are renewable if both the Bureau of Reclamation and the state or local partners are in mutual agreement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: In the case of recreation development, a minimum cost share of 50 percent is required from a non-Federal partner. For fish and wildlife enhancement projects, the Federal share is exactly 75 percent of the project cost. (16 U.S.C. 4601-13(a)).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements are typically for 1 to 5 years depending on the type of project. See

the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly reporting is required. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on a quarterly basis the Federal Financial Report SF 425. Upon completion of the agreement, recipients shall submit a final Federal Financial Report SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$10,353,073; FY 17 est \$6,580,153; and FY 18 est \$5,050,808

Range and Average of Financial Assistance:

Range \$485,000 to \$2,500,000

Average \$3,398,215.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Recreation lease agreements with Reclamations non-Federal managing partners facilitate long-term recreation and fish and wildlife management at Reclamation project recreation areas. Proactive collaboration among Reclamation and managing partnerships result in short- and long-term work plans to identify target projects that meet priority public safety needs, accessibility requirements and sustainable cost-share investments that benefit both recreation visitors as well as habitat enhancements for wildlife and fisheries, in accordance with policies and regulations. Program accomplishments at Reclamations project recreation areas include, but are not limited to the following: development and improvements to recreation areas, including campgrounds, fishing ponds, playgrounds, picnic tables, shade shelters, and other outdoor recreation facilities; open space management; design and engineering of recreation areas; youth conservation activities; reduction of fuels and vegetation; planning; operation and maintenance (O&M) activities; development of cabins and hiking trails; wildlife enhancement and habitat improvements; ADA accessible day use areas, including comfort stations and shower facilities; dump stations; construction of a visitor center; management of wildlife area; water systems; RV electricity connections; campground and recreation area stabilization; fish cleaning stations; an accessible fishing dock with sidewalk and parking, utility repair;. Fiscal Year 2017: Information not

available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below. Program information is included in the Reclamation Manual, Directive and Standards, Implementing Cost-Sharing Authorities for Recreation and Fish and Wildlife Enhancement Facilities, LND 01-01, available at <http://www.usbr.gov/recman/Ind/Ind01-01.pdf>.

Regional or Local Office:

See Regional Agency Offices. Pacific Northwest Region: (208) 378-5228; Mid-Pacific Region: (916) 978-5558; Lower Colorado Region: (702) 293-8375; Upper Colorado Region: (801) 524-3664; Great Plains Region: (406) 247-7714.

Headquarters Office:

Jerome Jackson P.O. Box 25007 (84-57000), Denver, Colorado 80225-0007
Email: jljackson@usbr.gov Phone: 303-445-2712

Website Address:

<http://www.usbr.gov/recreation>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Cost-share cooperative agreements were awarded to a number of non-federal partners, such as states or counties, in an effort to facilitate planning and development of recreation and fish and wildlife facilities on various Bureau of Reclamation water project recreation areas. These projects were identified primarily through existing resource management plans (RMPs), budget availability, and in coordination with managing partners. Many of the projects funded promote active and health youth opportunities on public lands and waters through development and/or expansion of outdoor day-use and camping facilities, water access points to enjoy fisheries, etc. Provided below are just some of the many examples of cost-share funded projects in Reclamation.

The Tri-Cities Joint Job Development Authority (JJDA) of North Dakota (ND) completed cost share projects consisting of a fish cleaning station, a playground, a hiking trail, and camper cabins at Heart Butte Reservoir. Dickinson Parks and Recreation Department of ND contributed funding towards the Crooked Crane hiking trail at Edward A. Patterson Lake which is currently in progress. The Stutsman County Parks Board in ND began the development of the Pelican Point recreation site at Jamestown Reservoir. South Dakota Game, Fish, and Parks (SDGFP) contributed to the development of recreation at Shadehill, Angostura, Belle Fourche, and James Diversion Lakeside. In addition, SDGFP also contributed funding for wildlife enhancement facilities and habitat improvements on Reclamation lands. Wyoming State Parks and Trails is currently in the process of constructing camper cabins and a shower house at Keyhole Recreation Area. Larimer County, Colorado has completed the construction of a new visitor center at Horsetooth Reservoir. In addition, Larimer County is in the process of developing the South Shore Campgrounds. Estes Valley Recreation and Park District began construction of ADA accessible bathroom, shower facilities, a sewer line, and dump station in the campground area. The Oklahoma Tourism and Recreation Department completed several cost share projects including accessible day use sites, a comfort station, and a swim beach route. The development of other accessible comfort station projects are currently in progress. The Central Oklahoma Master Conservancy District completed an accessible fishing dock with an associated sidewalk and parking lot.

Oregon State Parks and Recreation (OSPR) completed campground improvements and ADA retrofits at Prineville State Park Big Bend. In addition, OSPR has contributed funding towards O&M at Prineville State Park. OSPR has also contributed 90% of cost share for completion of a boat ramp at Owyhee State Park. The Idaho Parks and Recreation Department completed an

O&M cost share project at Lake Walcott State Park and another O&M project is currently in progress at Cascade State Park.

California State Parks and Recreation (CSPR) began facility improvements at Millerton Lake (South Shore Service Yard) which is a significant project. Casitas Municipal Water District has contributed cost share funding for the management of open space and recreation improvements at Lake Casitas. Santa Barbara County Parks has completed the design and engineering at Lake Cachuma Recreation Area and cost shared with Reclamation for other recreation maintenance activities. CSPR contributed funding for the Auburn Recreation Area Resource Management Plan. The Nevada Division of State Parks also provided cost share for recreation planning, and O&M activities at Lahontan Recreation Area. Colusa County contributed funding to an ADA Disk Golf course.

The Pine River Irrigation District is in the process of providing operation, maintenance, and replacement (OM&R) of recreation facilities at Vallecito Reservoir. New Mexico State Parks is currently conducting O&M at Reclamations reservoirs in New Mexico. Utah State Parks is conducting rehabilitation at Deer Creek, Jordanelle, and Steinkjer recreation areas. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

In no priority order and at the discretion of each Reclamation office, cost-share funding that will: (a) Provide for the public's health and safety; (b) Comply with Federal, State, and local laws and regulations; (c) Protect critical resources such as wetlands, critical wildlife habitat, endangered and threatened species, and cultural resources; and (d) Modernize existing facilities to meet current standards. For ARRA funded projects: The purposes of the Recovery Act are, among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.525 ROCKY BOY'S/NORTH CENTRAL MONTANA REGIONAL WATER SYSTEM

North Central Montana Rural Water Supply Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Indian Financing Amendments Act of 2002, Title IX Rocky Boys/North Central Montana Regional Water System Act of 2002, Pub. L. 107-331.

OBJECTIVES:

To ensure a safe and adequate rural, municipal, and industrial water supply for the residents of the Rocky Boy's Reservation in the State of Montana, and to assist the citizens residing in Chouteau, Glacier, Hill, Liberty, Pondera, Teton, and Toole Counties, Montana, but outside the Reservation, in developing safe and adequate rural, municipal, and industrial water supplies.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Construction of a rural water supply system that will serve the Chippewa-Cree of the Rocky Boy's Indian reservation and the surrounding, off-reservation communities in north central Montana.

Applicant Eligibility:

The Chippewa-Cree of the Rocky Boy's Indian Reservation and the North Central Montana Regional Water Authority.

Beneficiary Eligibility:

The Chippewa-Cree of the Rocky Boy's Indian Reservation and inhabitants of

the areas served by the North Central Montana Regional Water Authority in Chouteau, Glacier, Hill, Liberty, Pondera, Teton, and Toole Counties, Montana.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

The Bureau of Reclamations Great Plains Region will assist the Chippewa-Cree of the Rocky Boys Indian Reservation and members of the North Central Montana Regional Water Authority in preparing their respective annual funding agreements or financial assistance agreements. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. At the present time, program funding is provided only for the continuation of existing agreements. Amendments to incorporate annual work plans and budgets are negotiated annually with the current recipients. Current recipients submit applicable SF-424 forms, technical proposals, and budget narratives for Bureau of Reclamation review.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamation's Great Plains Region finalizes the modifications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: The Federal share of the Rocky Boy's Rural Water System project is 100 percent. The Federal share of the North Central Montana Regional Water Authority project is 80 percent. (Section 904(b) and (c), Section 905(b)). MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are to be available until expended. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the Program Performance Report. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly reporting is required. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 16 \$13,095,000; FY 17 est \$3,700,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range \$3,870,000 to \$6,690,000
Average \$4,625,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Construction has been completed on over 21 miles of Core Pipeline, the Intake Superstructure, sections of the on-reservation main distribution piping system, and the raw water intake pipelines. The North Central Montana Regional Water Authority has completed projects including the North Havre supply pipeline, the Conrad to Brady project, and the Shelby to Cut Bank project, supplying water on an interim basis. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Montana Area Office: Mr. Steve Davies, Area Manager, Bureau of Reclamation, Address: PO Box 30137, Billings, MT, 59107-0137, Telephone: 406.247.7298, E-mail: sdavies@usbr.gov.

Headquarters Office:

Doug Davis, Great Plains Regional Office, Bureau of Reclamation, PO Box 36900, Billings, Montana 59101 Email: DouglasDavis@usbr.gov Phone: (406) 247-7710 Fax: (406) 247-7695.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Intake Superstructure, and over 21 miles of Core pipeline have been completed. The North Havre, Tiber County Water District, and Conrad to Brady projects have all been completed with Reclamation funding. The Water Treatment Plant has been identified as a future funding priority. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling.

15.526 SAN GABRIEL BASIN RESTORATION

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

San Gabriel Basin Restoration Project, Appendix D, Division B, Title I, Section 110, Public Law 106-554, as amended; Energy and Water Development Appropriations Act, 2002, Title II, Section 202, Public Law 107-66; and Section 2 of Reorganization Plan Number 3 of 1950, 64 Stat. 1262, as amended.

OBJECTIVES:

To design, construct, operate, and maintain water quality projects within the San Gabriel Basin, Los Angeles County, California.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project grants can only be issued to reimburse recipients for the Federal share of costs associated with the design, construction, operation, and maintenance of water quality improvement projects within the San Gabriel Basin, located in Los Angeles County, California.

Applicant Eligibility:

San Gabriel Basin Water Quality Authority (or its successor agency) and/or the Central Basin Municipal Water District.

Beneficiary Eligibility:

General public, all users and indirect users of groundwater supplies in the San Gabriel Basin.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Applicants are encouraged to consult with the Bureau of Reclamation, Southern California Area Office (SCAO), for assistance in preparing project proposals and preliminary review of project descriptions and budgets, including assurance of compliance with the National Environmental Policy Act and related laws and orders. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The applicant must submit SF 424 Application for Federal Assistance documents; detailed project technical descriptions and budgets; and all information required under the terms of the applicable appropriations law.

Award Procedure:

Bureau of Reclamation personnel will review (1) the application package to ensure that all required documents are complete, accurate, and satisfy all provisions of applicable law, (2) the project budgets and description to ensure the appropriation language requirements are met, and (3) the project descriptions for technical issues and consistency with applicable policies, and budgets for appropriateness of cost estimates. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed by both parties.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Typical range of 2-6 weeks.

Appeals:

Not Applicable.

Renewals:

Extensions may be applicable and are subject to appropriated funds. Revised SF-424 forms are required when applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 35%. A minimum of 35 percent non-Federal cost share is required by Public Law 106-554, Section 110(a)(3)(B), as amended. The cost share can be provided from any non-Federal source; and, in accordance with Section 110(a)(3)(B)(iii), credit toward the non-Federal cost share can be given for the value of certain prior project expenditures. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements for planning and construction projects are generally awarded for up to 5 years. Funds for operation and maintenance can be provided for a period not to exceed 10 years following the initial date of operation of the project, or until the project funding ceiling has been reached, whichever occurs first. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-5483-0-2-301.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - No FY2014 new obligations, but work continues on prior year financial assistance agreements.

Range and Average of Financial Assistance:

Range \$0 to \$0

Average \$0.

TAFS Codes:

14-5483.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Two existing grant agreements are being funded. One will complete the construction of a specific facility, and the other provides funds for the operation and maintenance of four facilities that extract contaminated groundwater from the San Gabriel Basin, treat it to potable standards, and use the water for potable purposes. The projects provide a safe source of potable water while remediating groundwater contamination that has been designated as operable units under the EPA superfund program. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below. Program guidelines: Department of the Interior, Delegation of Authority for the San Gabriel Basin Restoration Project, Order No. 3241, Amendment No. 4; San Gabriel Basin Water Quality Authority, Federal Funding Program Administration guidelines.

Regional or Local Office:

See Regional Agency Offices. Dennis Wolfe, Area Engineer, Southern California Area Office, Telephone: (951) 695-5310; Fax: (951) 695-5319; E-mail: dwolfe@usbr.gov.

Headquarters Office:

NA NA, Washington, District of Columbia NA Phone: NA

Website Address:

<http://www.usbr.gov/lc/social/>

RELATED PROGRAMS:

15.504 Water Reclamation and Reuse Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: San Gabriel Valley Groundwater Remediation, Central Basin Water Quality Protection Plan. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are limited to the San Gabriel Basin Water Quality Authority or the Central Basin Municipal Water District. Projects must improve the quality of the groundwater in the San Gabriel Basin, located in Los Angeles County, California. The scopes of work must address and be responsive to the authorizing legislation, the final engineering reports, and the appropriation ceiling.

15.527 SAN LUIS UNIT, CENTRAL VALLEY

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

San Luis Unit, Central Valley Project Act of June 3, 1960, Public Law 86-488, 74 Stat. 156, Section 5.

OBJECTIVES:

Operation of facilities to provide drainage service to lands within the San Luis Unit of central California; monitoring environmental effects and mitigation.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

To be used for projects that will result in construction and operation of drainage facilities in the San Luis Unit and adjacent areas. For further information, please contact the regional office.

Applicant Eligibility:

Any responsible source, to include irrigation and/or water districts, State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal Governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, and other private institutions/organizations.

Beneficiary Eligibility:

General public, irrigation and/or water districts, State and local entities, farmers and ranchers, agricultural producers, and property owners.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to

the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified Federal experts in the program area; however, non-Federal experts may be utilized for specific project reviews. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: There is no statutory requirement for cost sharing; however, applicants are encouraged to provide a cost share whenever possible. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing information, as applicable, will be included in funding announcements for this program on www.grants.gov. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$8,359,621; FY 17 est \$4,183,300; and FY 18 est \$387,100

Range and Average of Financial Assistance:

Range \$32,600 - \$122,700
Average \$124,014.67.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2016 financial assistance consisted of 2 agreements in support of the Grassland Bypass Project and 2 agreements in support of Drainage Services on the Westside of the San Joaquin Valley. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Technical/Program Information: Bureau of Reclamation, South-Central California Area Office, Attention: Jeffrey Papendick, 1243 N Street, Fresno, CA, 93721. Telephone (559) 487-5340. Fax (559) 487-5130. Email: jpapendick@usbr.gov
Acquisition Office: Bureau of Reclamation, Mid-Pacific Regional Office, Acquisition Services, 2800 Cottage Way, Room E-1815, Sacramento, California 95825-1898. Telephone (916) 978-5130. Fax (916) 978-5175/5182. Email: 2WG3@usbr.gov.

Headquarters Office:

NA NA, Washington, District of Columbia NA Phone: NA

Website Address:

<http://www.mp.usbr.gov>

RELATED PROGRAMS:

15.506 Water Desalination Research and Development Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: During Fiscal Year 2016, agreements funded activities in support of the Grassland Bypass Project such as monitoring of physical and biological parameters. Also funded was a Grant to help reduce salt and selenium in drainage water and a cooperative agreement for O+M of a drainage treatment facility. For Fiscal Year 2017, we will continue funding prior agreements with modifications to suit new monitoring or operation requirements. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcement posted on www.grants.gov.

15.529 UPPER COLORADO AND SAN JUAN RIVER BASINS ENDANGERED FISH RECOVERY

Upper Colorado and San Juan River Recovery Implementation Program

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs, Public Law 106-392, 114 Stat. 1602, as amended; Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs Extension, Public Law 107-375, 116 Stat. 3113.

OBJECTIVES:

To authorize the Bureau of Reclamation to provide cost sharing for the endangered fish recovery implementation programs for the Upper Colorado and San Juan River Basins.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Recovery Implementation Programs are designed to help recover the Colorado Pikeminnow, Razorback Sucker, Bonytail, and the Humpback Chub while allowing water development to continue in the Upper Colorado and San Juan River Basins. The term Recovery Implementation Programs mean the intergovernmental programs established pursuant to the 1988 and 1992 Cooperative Agreement described in Sec. 2 of the Act. The major portion of the work (between 80% to 90%) will be accomplished through mandatory grant and cooperative agreement awards established by these intergovernmental Cooperative Agreements. The remaining work is done under contracts to purchase equipment for O&M or monitoring work. The types of projects authorized by these Cooperative Agreements include Administration of Water Right; Oversight of the Development of Water Resources; Protection and Management of Fish and Wildlife Resources; Projects related to Tribal Sovereignty; Long Range Plan and Program Goal Development; Protection, Management and Augmentation of Habitat; Interactions Between Native and Non-Native Fish Species; and Monitoring and Data Management. Periodically, the Bureau of Reclamation will have discretionary funding available to make awards for certain types of projects. When this project funding becomes available, funding opportunity announcements will be posted to www.Grants.gov or Fedbizopps.gov.

Applicant Eligibility:

Federal, Interstate, Intrastate, State and Local governments; Public Institution/Organizations, and Federally Recognized Indian Tribal Governments and private contractors.

Beneficiary Eligibility:

Indian Tribes in the location of the San Juan River Basin and in the Duchesne River Basin, and the general public in the Colorado River Basin.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Depending on the project to be accomplished, an environmental impact statement may be required for this program, but not until after receipt of applications. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All discretionary funding opportunities will be posted on www.grants.gov or fedbizopps.gov. The funding opportunity will include the name of the Federal agency, the Funding Opportunity Title of work associated with the project, the application due date, eligible applicants, the federal funding amounts per agreement, the

estimated number of agreements to be awarded, and the overall total amount of funding available for awards. All applicants, whether submitting projects under the mandatory or discretionary portions of this authority, will be required to submit a Standard Form 424, Application for Federal Assistance, and Standard Forms 424B or 424D for Assurances must also be submitted. A detailed budget worksheet guide form will be included to assist in preparation of the budgeted amount requested. The Funding Opportunity posting will identify the program description, background and purpose, program objectives, objective or request for proposals, eligible projects, types of projects, and program authority. General provisions and special provisions, along with reporting requirements will be identified to provide applicants with information that must be followed if an award is made. The funding opportunity will identify a point of contact for assistance or consultation for preparing the form.

Award Procedure:

Upon receipt of applications by the date identified in the announcement(s), the applications will be reviewed to determine if applications are consistent with requirements identified in the announcements. The application/proposal(s) are reviewed by a Federal technical team of experts (along with state and private advisors) against identified criteria. Negotiations, revised applications, or awards will be made based on recommendations from the technical experts. Proposals submitted under the mandatory authority portion of this program will undergo a similar review and screening procedure.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

90 Days.

Appeals:

The Bureau of Reclamation will, however, provide all applicants with information on why their proposals were not selected.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Non-Federal contributions to capital projects shall be made in accordance with the provisions of Sec. 3(c) of the Act. Contact the Regional Office listed in the Contacts section, below, for further information regarding cost sharing requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements and grants are normally written for a 1 to 5 year period. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the Program Performance Reports. Upon completion of the agreement, recipients shall submit final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records,

supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-4081-0-3-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$4,083,929; FY 17 est \$4,292,142; and FY 18 est \$4,295,000

Range and Average of Financial Assistance:

Range \$5,000 to \$1M per award

Average \$1,208,532.

TAFS Codes:

14-4081.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Upper Colorado River and San Juan River Endangered Fish Recovery Programs (Recovery Programs) are partnerships composed of State, Tribal and Federal agencies, water and power interests, and environmental groups working to recover endangered fish in the Upper Colorado and San Juan River basins while water development proceeds in accordance with federal and state laws and interstate compacts. In Fiscal Year 2015 and 2016, the Recovery Programs continued to provide ESA coverage to thousands of state, federal and private water development projects while advancing endangered fish recovery. The programs implemented management actions and projects to recover endangered fish in the areas of in-stream flow protection, habitat restoration, nonnative fish management, propagation and genetics, research and monitoring, information and education, and program management. Specific examples include operation and maintenance of river gages and infrastructure (fish passages and screens), installation of and maintenance of electronic fish monitoring antennae, coordination of reservoir operations, management of floodplain wetland rearing habitats including stocking of endangered fish in managed wetlands, removal of and translocation of nonnative fish, propagation and stocking of endangered fish, planning for pond construction, research and monitoring of early life history endangered fish survival including larval fish monitoring, database management, population estimates, maintenance of program website and publication of outreach materials. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Mark McKinstry and Dave Speas, Bureau of Reclamation, 125 South State Street, Salt Lake City, UT 84138; (801) 524-3835 (McKinstry); 970-248-0604 (Speas); mmckinstry@usbr.gov; dspeas@usbr.gov.

Headquarters Office:

NA NA, Washington, District of Columbia NA Phone: NA

Website Address:

<http://www.usbr.gov/uc/wcao/rm/sjrip/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: During Fiscal Year 2015 and 2016, the examples of funded projects include the Recovery Program Gage stream gage operation and maintenance; Evaluation of Green River flow recommendations; Development

of a Recovery Program endangered fish database; Grand Valley diversion dam fish screen and passage operation and maintenance; Middle Yampa, Green and Colorado river smallmouth bass and pike management; San Juan river nonnative fish removal; Wahweap and Mumma State Fish Hatchery operation and maintenance; Southwest Native Aquatics Resources and Recovery Center (SNARRC), Ouray, 24-Road, and Yampa and middle Green Colorado pikeminnow and razorback sucker larval, young-of-year fish surveys and adult fish surveys; UCRIP and San Juan RIP information and education; propagation, rearing and stocking of Colorado pikeminnow, bonytail and razorback sucker; Operation and maintenance of PIT antennas in various locations in the San Juan and Upper Colorado River basins; Operation of PNM Fish Passage Facility by Navajo Nation; sub-adult and adult large-bodied fish monitoring in the San Juan River; larval fish monitoring in the San Juan River; specimen identification and curation of fishes from the San Juan, Green and Colorado Rivers; habitat mapping and evaluation in the San Juan River; evaluation of razorback sucker use of the San Juan River inflow area of Lake Powell; temperature and habitat monitoring in the San Juan river; and purchase of PIT tags and readers for endangered fish in the Colorado River Basin; removal and management of walleye in the Yampa, Middle Green, White, and Colorado Rivers; Hogback Fish Weir; monitoring of adult humpback chub populations in the Green and Colorado river basins. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcement posted on www.grants.gov for fedbizopps.gov.

15.530 WATER CONSERVATION FIELD SERVICES (WCFS)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Section 9504(a) of the Omnibus Lands Management Act of 2009, P.L. 111-11.

OBJECTIVES:

The goal of the Water Conservation Field Services Program (WCFSP) is to proactively encourage water conservation in the operations of recipients of water from Federal water projects, to assist agricultural and urban water districts in preparing and implementing water conservation plans in accordance with the Reclamation Reform Act of 1982 (RRA), and to complement and support State and other conservation programs.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funding is made available for the development of water management conservation plans and design of water management improvements, identifying water management improvements through System Optimization Reviews, and improving the understanding of water conservation technologies through Demonstration activities. Financial assistance is limited to \$100,000 or less, per year. For further information, please contact the regional office.

Applicant Eligibility:

Eligible applicants include any State, Indian tribe, irrigation district, water district, or other organization with water or power delivery authority.

Applicants must also be located in the western U.S. or Territories as identified in the Reclamation Act of June 17, 1902, as amended and supplemented; specifically, Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming, American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands. Each funding announcement may include additional eligibility requirements.

Beneficiary Eligibility:

The general public and irrigation or water districts located in the 17 western States identified in the Act of June 17, 1902, as amended; specifically, Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, and Wyoming.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

None. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by regional officials, as applicable to the project. The number of awards and maximum funding amount per award are determined on an annual basis and are dependent upon the amount of available funding in each Region. Contact your regional coordinator for additional program information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement. <http://www.usbr.gov/waterconservation/wtctr.html>.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Generally, applicants should propose projects that can be completed within 24 months from the project start date.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Federal share of the cost of a project or activity carried out under the WCFSP shall not exceed 50 percent of the total cost of the project or activity. Costs of operation, maintenance, repair and rehabilitation of facilities funded under the authority of this program shall be nonfederal responsibilities.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects typically are awarded for up to 24 months. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit annually Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific

reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit annually the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$2,898,279; FY 17 est \$4,179,000; and FY 18 est \$4,179,000

Range and Average of Financial Assistance:

Range \$6,938 - \$100,000
Average \$50,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Regional assistance to districts towards development of water conservation plans, assistance with identifying efficiency improvement measures, demonstrations of new technology, and leveraging of resources through collaboration between water districts and other entities by encouraging and developing partnerships.

Additional information on accomplishments is available from area and regional offices. Contact your regional coordinator for information. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Regional contact information may be found at <https://www.usbr.gov/waterconservation>.

Headquarters Office:

NA NA, NA, District of Columbia NA Phone: NA

Website Address:

<https://www.usbr.gov/waterconservation/>

RELATED PROGRAMS:

15.507 Water 2025

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In FY 2016, the Franklin Soil and Water Conservation

District in Utah received \$25,000 to develop a water conservation plan.

In FY 2016, the Village of Los Lunas in New Mexico received \$23,400 to develop a water conservation plan. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. Reclamation-wide Evaluation Criteria are as follows:

Water Management and Conservation Planning
● Association with Reclamation Project Water Supplies
● Reasonableness of cost
● Extent to which Federal funding would promote completion of an activity that might otherwise be delayed or postponed
● Amount and sources of non-Federal funding (i.e., cost share)
● Other criteria as developed by regional and area offices to address local goals and priorities.

System Optimization Review
Association with Reclamation Project Water Supplies
Extent to which applicants Water Management Plan is complete and updated (N/A if applicant is not required to have a plan in place)
Reasonableness of cost
Extent to which the proposed analysis is expected to result in an action plan that will improve water conservation and water use efficiency (i.e., how well the methodology is developed and defined, will completing the activity better position the applicant to implement on-the-ground improvement projects in the future)
Extent to which the SOR is likely to contribute to a more sustainable water supply (e.g., addressing endangered species concerns, specific local concerns, over-allocated supply, adjudicated basin, etc.)
Extent to which Federal funding would promote completion of an activity that might otherwise be delayed or postponed
Amount and sources of non-Federal funding (i.e., cost share)
Other criteria as developed by regional and area offices to address local goals and priorities.

Designing Water Management Improvements
Association with Reclamation Project Water Supplies
Extent to which applicants Water Management Plan is complete and updated (N/A if applicant is not required to have a plan in place)
Extent to which the proposed design is related to a water management improvement project identified in a previous planning effort led by the applicant (e.g., Water Management and Conservation Plan, System Optimization Review)
Extent to which the proposed activity will result in the applicant to be better suited to implement a project expected to improve water management
Reasonableness of cost
Extent to which Federal funding would promote completion of an activity that might otherwise be delayed or postponed
Amount and sources of non-Federal funding (i.e., cost share)
Other criteria as developed by regional and area offices to address local goals and priorities.

Demonstrating Conservation Project Technologies
● Association with Reclamation Project Water Supplies
● Extent to which applicants Water Management Plan is complete and updated (N/A if applicant is not required to have a plan in place)
● Reasonableness of cost
● Extent to which the proposed activity will demonstrate innovative conservation technologies for improving water use efficiency (including applied research to benefit development of new technologies; demonstration of a specific conservation technology; or innovative application of existing technology with the intent of evaluating results)
● Extent to which Federal funding would promote completion of an

activity that might otherwise be delayed or postponed
● Amount and sources of non-Federal funding (i.e., cost share)
● Likelihood that any environmental and cultural resources issues associated with the proposal can be addressed at low cost and in a timely manner
● Extent to which proposal demonstrates a sound implementation strategy
● The applicants strategy for monitoring performance and reporting and disseminating results
● Other criteria as developed by regional and area offices to address local goals and priorities.

15.531 YAKIMA RIVER BASIN WATER ENHANCEMENT (YRBWE)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Yavapai-Prescott Indian Tribe Water Rights Settlement Act of 1994, Title XII, Yakima River Basin Water Enhancement Project, Public Law 103-434.

OBJECTIVES:

Within the Yakima River Basin: (1) to protect, mitigate, and enhance fish and wildlife through improved water management; improved instream flows; improved water quality; protection, creation and enhancement of wetlands; and by other appropriate means of habitat improvement; (2) to improve the reliability of water supply for irrigation; (3) to authorize a Yakima River basin water conservation program that will improve the efficiency of water delivery and use; enhance basin water supplies; improve water quality; protect, create and enhance wetlands, and determine the amount of basin water needs that can be met by water conservation measures; (4) to realize sufficient water savings from the Yakima River Basin Water Conservation Program so that not less than 40,000 acre-feet of water savings per year are achieved by the end of the fourth year of the Basin Conservation Program; (5) to encourage voluntary transactions among public and private entities which result in the implementation of water conservation measures, practices and facilities; and (6) to provide for the implementation by the Yakama Indian Nation at its sole discretion of (A) Wapato Irrigation Project improvements, (B) an irrigation demonstration project on the Yakama Indian Reservation using water savings from system improvements to the Wapato Irrigation Project, and (C) a Toppenish Creek corridor enhancement project integrating agricultural, fish, wildlife, and cultural resources.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To participate in the Conservation Basin Program an entity must submit a proposed water conservation plan to the Secretary of the Interior. Assistance is limited to those entities (districts, irrigation districts, individuals, or other entities) that equip all surface water delivery systems within their boundaries with volumetric water meters or equally effective water measuring methods within five years of the date of enactment of this Act. The Yakima Nation is not included in the provisions of this, Section 1203, except as to any funds specifically applied for from the Basin Conservation Program. The Secretary of the Interior may use appropriated funds to purchase, or lease, land, water, or water rights from an entity or individual willing to limit or forego water use on a temporary or permanent basis. Funds used for purchase or lease under this paragraph are not subject to the cost sharing provisions of Section 1203(d).

Applicant Eligibility:

State of Washington, and Federally Recognized Indian Tribal Governments, water and irrigation districts, and water rights owners located in the project area.

Beneficiary Eligibility:

Anyone/General Public, Intrastate, Local, Individual/Family, and Federally Recognized Indian Tribal Governments within the project area.

Credentials/Documentation:

Eligible entities must submit a proposal to develop a Water Conservation Plan. Once the Water Conservation Plan has been developed it is to be submitted to the Bureau of Reclamation, Pacific Northwest Region, Columbia-Cascades

Area Office, in accordance with the information included in the funding opportunity announcement that will be posted at www.grants.gov. Only entities with approved Water Conservation Plans are eligible for consideration for feasibility studies. Only entities with an approved Water Conservation Plan and approved feasibility study are eligible for potential implementation of conservation measures. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Single Source/Notice of Intent to Award announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of personnel from the Bureau of Reclamation, Pacific Northwest Regional Office, who are qualified experts in the program area. Applications will be reviewed against YRBWEP Authority criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project. Entities meeting the basic criteria for participation in the YRBWEP must enter into a cost sharing agreement prior to beginning project work.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Unless otherwise stated in the Act: (1) Development of water conservation plans 50 percent nonFederal share, but not more than \$200,000 per recipient; (2) Investigation of specific water conservation measures 50 percent State share and 20 percent local share, but sum of (1) and (2) shall not be greater than \$200,000 per recipient; (3) and (4) Implementation and post implementation monitoring and evaluation 17.5 percent State share, and 17.5 percent local share. (Section 1203(d)).
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements are normally written for a 1 to 5 year period. Implementation agreements may be written to cover the period estimated in the approved Water Conservation Plan and Feasibility Study. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis Program Performance Reports. Annually, recipients shall submit an annual Program Performance Report. Upon

completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly reporting is required. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 16 \$15,218,080; FY 17 est \$6,750,000; and FY 18 est \$3,500,000

Range and Average of Financial Assistance:

Range \$ 5,000 to \$ 44,700,000
Average \$ 6,687,907.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year-2016 and 2017, the Roza Irrigation District completed construction of their regulating reservoir and the Sunnyside Division Board of Control enclosed approximately 2,500 acres. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Gwendolyn (Wendy) Christensen, YRBWEP Manager, 1917 Marsh Rd., Yakima, WA 98901, Phone: 509-575-5848 ext. 203, Fax: 509-454-5611, email: gchristensen@usbr.gov.

Headquarters Office:

Director, Bureau of Reclamation, 1849 C Street, NW, Washington, District of Columbia 20240 Phone: (202) 208-3100.

Website Address:

<http://www.usbr.gov/pn/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Funding projects for Fiscal Years 2014 and 2015 include the Feasibility Investigation by the Kittitas Reclamation District, Moxee-Selah Irrigation District, and the Union Gap Irrigation District of measures identified

in their Water Conservation Plans, including investigation of regulating reservoirs and lateral piping projects; water conservation measures funded included construction of enclosed lateral piping projects by the Sunnyside Division Board of Control and construction of a regulating reservoir by the Roza Irrigation District. Funding was also provided to Kittitas County for the Wilson-Naneum-Cherry Creek Assessment, and to Yakima Tieton Irrigation District for the Cowiche Creek Assessment. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. Initial selection based on projects that would meet/fulfill the objectives of YRBWEP. After Water Conservation Plans are approved, feasibility studies are approved for those projects/measures that appear to result in the greatest benefit to YRBWEP. Implementation awards are based on those that are the most cost effective and result in the greatest water savings.

For ARRA funded projects:

The purposes of the Recovery Act are, among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.532 CENTRAL VALLEY, TRINITY RIVER DIVISION, TRINITY RIVER FISH AND WILDLIFE MANAGEMENT

Trinity River Restoration Program

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Central Valley Project Improvement Act (CVPIA), 1992, Public Law 102-575, Title 34, Section 3406(b)(1).

OBJECTIVES:

To address impacts of the Central Valley Project (CVP) on fish, wildlife, and associated habitats in the Trinity River basin of California by protecting, restoring, and enhancing such habitats and to address other identified adverse environmental impacts of the Central Valley Project.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

All funding is discretionary and intended for projects that support the goals and objectives of the Program and meet the requirements specified in the CVPIA and Record of Decision. For further information, please contact the regional office.

Applicant Eligibility:

State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, and other private institutions/organizations.

Beneficiary Eligibility:

General public, public institutions/organizations, Federally recognized Indian Tribal Governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, and other private institutions/organizations.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to

the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Funding Opportunity Announcement (FOA) will be posted at Grants.gov as funding is made available by the Bureau of Reclamation. Applications shall include: 1) A detailed written technical proposal that should include information such as a scope of work which separates the work into major tasks, the approach proposed to accomplish the work, type of personnel who will participate in accomplishing the work, background and location of applicant, any equipment that will be utilized by the project applicant, experience in this type of work, an estimate of the costs and/or cost savings, any economic benefit, and any other information that may be useful for proposal evaluation. 2) A detailed cost proposal including a budget covering salaries and wages, fringe benefits, travel, equipment, supplies, subcontracts, indirect cost rates, funding sources and commitments, cost-sharing, and a breakdown of costs by task. In addition, applicants must submit all applicable SF 424 forms, which are referenced in the FOA.

Award Procedure:

The number of awards, maximum funding amount per award, and areas of interest for which proposals will be considered, are determined on an annual basis and are dependent upon the annual appropriation from Congress. Proposals received in response to the FOA are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the FOA. The review team will consist of Federal personnel and qualified non-Federal personnel when specific expertise is required by the review team. The Bureau of Reclamation will make awards to the highest rated proposals based on the amount of funding available each year. The Bureau of Reclamation reserves the right to prioritize projects based on availability of funds and to ensure balance among the program objectives listed in the FOA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be provided for each specific project at the time the funding opportunity announcement is posted on www.grants.gov.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program has no statutory formula or matching requirements; however, project cost sharing is encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Proposals will be requested for projects of varying durations. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis the Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a sub recipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-300.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$7,810,939; FY 17 est \$8,045,268; and FY 18 est \$8,286,626

Range and Average of Financial Assistance:

Range \$48,652-\$3,862,051

Average \$1,115,849.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program accomplishments for 2016 include the Bucktail channel rehabilitation project that was funded and implemented in FY16 and continuing into FY17, FY2016 gravel augmentation, and implementation of a two peak flow hydrograph in the spring of 2016. TRRP hosted a DSS Science Symposium in spring 2016. Published outreach materials include newspaper articles, informational brochures, and regular articles in the Trinity County Resource Conservation Districts quarterly newsletter, the Conservation Almanac and the TRRP Annual Report. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Bureau of Reclamation, Trinity River Restoration Program, Attn: Caryn Hunt DeCarlo, P.O. Box 1300, Weaverville, CA 96093. Telephone: 530-623-1817. Fax: 530-623-5944.

Headquarters Office:

NA NA, NA, District of Columbia NA Phone: NA

Website Address:

<http://www.usbr.gov/mp/nao/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Fiscal Year 2015 and 2016 examples of funded projects include Public Information and Outreach to organize public meetings about

Restoration projects, multiple landowner meetings, educational outreach, and a DSS Science Symposium held in Weaverville. Watershed Coordination with the local Watershed Council identifies common needs and develops projects annually for implementation, including cooperative projects for road decommissioning to reduce sediment, improve fish passage and increase access to available habitat. Other examples of funded projects included Fisheries Surveys: in-river run size estimation for spring and fall run Chinook, fall run steelhead and coho salmon; harvest outmigration population estimates for Chinook; coho salmon pre-spawn mortality estimates for Chinook; coho salmon late summer adult disease monitoring in the Klamath River below Trinity confluence; redd distribution and density across the 40 mile rehabilitation reach; and habitat assessment for fry and juvenile rearing habitat in the forty mile rehabilitation reach. Other monitoring efforts have included yellow legged frog and Western Pond Turtle surveys as well as annual riparian bird monitoring. Channel rehabilitation design and implementation projects included construction of two restoration sites in 2015 and one in 2016. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation criteria will vary according to project and will be included in the funding announcements posted on www.grants.gov.

15.533 CALIFORNIA WATER SECURITY AND ENVIRONMENTAL ENHANCEMENT

California Bay-Delta Authorization Act (CALFED)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Water Supply, Reliability, and Environmental Improvement Act, Title I California Water Security and Environmental Enhancement, Public Law 108-361.

OBJECTIVES:

The purpose of the CALFED Bay-Delta Authorization Act authorizes the Secretary of the Interior and the heads of the other Federal implementing agencies to carry out specific activities identified in the Act in furtherance of the CALFED Bay-Delta Program as set forth in the CALFED Bay-Delta Program Record of Decision dated August 28, 2000. The primary objectives of the program are

to expand water supplies to ensure efficient use through an array of projects and approaches, improve water quality from source to tap, improve the health of the Bay-Delta system through restoring and protecting habitats and native species, and improve the Bay-Delta levees to provide flood protection, ecosystem benefits, and protect water supplies.

Water conservation is designed to encourage cost shared water conservation projects that meet the objectives contained in the CALFED Water Use Efficiency Program. Benefits include increased water supply reliability, water quality improvements, and contributions to ecosystem restoration.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is for grants and cooperative agreements with agencies of the State of California for Program-wide management, oversight and coordination activities as defined in section 103(f)(4) of Public Law 108-361.

Funding is for grants and cooperative agreements with agencies of the State of California for Program-wide management, oversight and coordination activities as defined in section 103(f)(4) of Public Law 108-361.

Public Law 108-361 section 103 (c)(1)- The Secretary of the Interior is authorized to carry out the activities described in paragraphs (1) through (10) of subsection (d), to the extent authorized under the reclamation laws, the Central Valley Project Improvement Act (title XXXIV of Public Law 102-575; 106 Stat. 4706), the Fish and Wildlife Coordination Act (16 U.S.C. 661 et seq.), the Endangered Species Act of 1973 (16 U.S.C. 1531 et seq.), and other applicable law.

These other laws, including Section 9504 and 9509 of Public Law 111-11, can be looked to provide the necessary authorization to carry out activities listed in section 103(d) (1) - (10) as stated in section 103(c)(1).

Applicant Eligibility:

Agencies of the state of California. Other entities and individuals may be eligible under the laws referenced in Public Law 108-361, section 103(c)(1) or provided by "other applicable law" and for the specified activities.

Beneficiary Eligibility:

State agencies within the CALFED solution area as defined in the CALFED Bay-Delta Program Record of Decision. Other entities and individuals may be eligible under the laws referenced in Public Law 108-361, section 103(c)(1) or provided by "other applicable law" and for the specified activities.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Environmental compliance maybe required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must submit all applicable SF-424 forms; and a statement of work separating the work into major tasks, level of cooperation with parties involved, and how the subject proposal will provide the outcome sought.

Award Procedure:

Applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified Federal or non-Federal experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project. Maximum funding amount is dependent upon the annual appropriation from Congress.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project or activity.

Appeals:

Not Applicable.

Renewals:

Continuation of funding from one fiscal year to the next is at the discretion of Congress.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Federal share of the costs of a project or activity carried out under this Program may vary dependent upon activity and authority.

Costs of operation, maintenance, repair and rehabilitation of facilities shall be a non-federal responsibility.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally for 36 months or less; multi-year projects may be considered if justified and annual accomplishments can be demonstrated. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis quarterly basis the Federal Financial Report SF-425 and upon completion of the agreement, recipients shall submit a final SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0687-0-1-301.

Obligations:

(Cooperative Agreements) FY 16 \$1,336,762; FY 17 est \$3,510,586; and FY 18 est \$3,589,976

Range and Average of Financial Assistance:

Range: \$438,640 to \$750,000

Average: \$3,753,144.

TAFS Codes:

14-0687.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year 2016 funding was made available for the Water Conservation Program for water conservation measures through a competitive, water use efficiency grant program. Benefits from projects awarded will include increased water supply reliability, water quality improvements, and contribution to ecosystem restoration. In addition, funds will assist water contractors with the implementation of Best Management Practices, while focusing on water districts with a CALFED Bay-Delta connection. Funding was provided to the Sacramento-San Joaquin Delta Conservancy to educate Delta public outreach program participants on water supply reliability, water quality, Delta ecological restoration efforts and how to protect local water resources. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. U.S. Bureau of Reclamation, Mid-Pacific Region, 801 I St., Suite 140 Sacramento, California 95825; phone: 916-414-2400; fax: 916-414-2439.

Headquarters Office:

NA NA, NA, District of Columbia NA Phone: NA

Website Address:

<http://www.mp.usbr.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: As a participating agency in the CALFED Bay-Delta Program, the Bureau of Reclamation's Mid-Pacific and Lower Colorado regions worked in partnership to award Water Use Efficiency grants in FY 2014 to water agencies that had a defined relationship to the Bay-Delta. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in application packages and any funding announcements posted on www.grants.gov.

15.534 MISCELLANEOUS PUBLIC LAW 93-638 CONTRACTS, GRANTS, AND COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, Title I, 25 U.S.C. 450 est. seq., as amended; and statutory authorities that authorize each specific project. Assistance Act; American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5).

OBJECTIVES:

Project funds are to be provided on a non-reimbursable basis for construction and/or non-construction activities which are primarily for the benefit of Indians because of their status as Indians.

FOR RECOVERY ACT:

For ARRA funded projects and in addition to the program objectives above, the section 3 of the ARRA states the following objectives:

- (1) To preserve and create jobs and promote economic recovery.
- (2) To assist those most impacted by the recession.
- (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health.
- (4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.
- (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Contracts, grants, and cooperative agreements are authorized for construction and non-construction projects. Certain restrictions will be applicable based on the specific statutory authority that authorizes the project. This CFDA number will not be utilized if there is a more specific CFDA number applicable to the project. For further information, please contact regional office.

Applicant Eligibility:

Federally recognized Indian tribes and tribal organizations in the 17 western states are eligible applicants; however, eligibility alone does not establish a "program."

Beneficiary Eligibility:

Federally recognized Indian tribes and tribal organizations.

Credentials/Documentation:

- (1) Each contract, grant, or cooperative agreement requires project specific authority.
- (2) Detailed written technical proposals including background data regarding the applicants, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, types of personnel implementing the proposals, experience in performing these types of work, timeliness, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation.
- (3)

Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contribution of goods and services. (4) The awarded contract, grant, or cooperative agreement must contain an authorizing resolution of the governing body of the Indian tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial proposals must contain the information specified as 25 CFR Part 900. Proposals shall be submitted to the applicable Reclamation office with responsibility for the specific project for which a Tribe or tribal organization proposes to participate. Reclamation will provide technical assistance to Tribes in the development of their proposals as requested, pending availability of appropriations.

Award Procedure:

Reclamation and the Tribe develop a negotiation schedule and then negotiate the overarching contract/award terms, provisions and conditions based on the requirements of Public Law 93-638, 25 CFR Part 900, any specific requirements of the project authorizing legislation, and other applicable Federal laws and regulations. Reclamation and the Tribe also negotiate an Annual Funding Agreement (AFA), which identifies activities to be performed, funds to be provided, and the method of payment for the initial year of the contract. A final contract agreement is prepared based upon the outcome of the negotiations; attachments include the work plan, budget, tribal resolution, initial AFA, property list, list of any applicable provisions, terms, and conditions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As specified for the project.

Appeals:

Not Applicable.

Renewals:

Will vary with project or award.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length of projects will vary. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, tribal organizations shall submit the following reports as negotiated within the contract agreement: Program Performance Report and other specific reports that may be applicable to the contract agreement such as property inventories. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report SF 425 is required. The reporting of financial status report requirements will be negotiated within the AFA or overarching terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Indian Tribal governments and organizations shall maintain project records in accordance with Public Law 93-638 and 25 CFR Part 900.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Range is \$0 to \$0; Average \$0.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Recent projects have provided financial assistance to several tribes to improve water resource use and protection through studies, investigations, assessments, and selected improvements for water conservation. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 U.S.C. 450 (Public Law 93-638, 25 CFR Part 900, OMB Circular A-87 (2 CFR 225), and OMB Circular A-133.

Regional or Local Office:

None.

Headquarters Office:

Commissioner, Office of the Commissioner, Bureau of Reclamation, 1849 C Street, N.W., Washington, District of Columbia 20240-0001 Phone: (202) 513-0501.

Website Address:

<http://http://www.usbr.gov/native/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Funded projects include various features of a project designed to deliver and distribute water for irrigation. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

All applicants must meet the requirements of Public Law 93-638, 25 CFR Part 900 and any additional criteria specific to the authorizing legislation for the project. The project must be contractible under Public Law 93-638.

15.535 UPPER COLORADO RIVER BASIN FISH AND WILDLIFE MITIGATION

Section 314c Projects

FEDERAL AGENCY:

Office of the Secretary, Department of the Interior

AUTHORIZATION:

Reclamation Projects Authorization and Adjustment Act of 1992, Title III-Fish, Wildlife, and Recreation Mitigation and Conservation, Sec. 314, Public Law 102-575, as amended.

OBJECTIVES:

To protect, restore and enhance wetland and upland ecosystems for the conservation of fish and wildlife resources in the upper Colorado River basin.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The purpose is to benefit fish and wildlife resources adversely affected by the construction and operation of Federal water resource developments authorized by the Colorado River Storage Project Act of 1956 (Public Law 84-485).

Projects are for fish and wildlife habitat improvements and recreation in accordance with the goals and criteria established by Congress in Section 314 of the Central Utah Project Completion Act (CUPCA). Projects supplement historic water resource development mitigation that has occurred, expand previous mitigation efforts to address unforeseen circumstances, or otherwise benefit associated wildlife habitat and public interests. By statutory restriction, funds are expended outside the state of Utah. For further information, please contact the regional office.

Applicant Eligibility:

State and local government agencies, Federal recognized Indian Tribal governments, private nonprofit institutions/organizations; public nonprofit institutions/organizations; for-profit organizations, interstate and intrastate entities, and individuals. While entities within the state of Utah are eligible to receive funds under this program, funds must be expended for project activities outside the State of Utah.

Beneficiary Eligibility:

State and local government agencies, Federally recognized Indian Tribal governments, private nonprofit institutions/organizations; public nonprofit institutions/organizations; for-profit organizations, interstate and intrastate entities, and individuals. While entities within the state of Utah are eligible to receive funds under this program, funds must be expended for project activities outside the State of Utah.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Environmental impact statements or assessments may be required based on potential impacts to the human environment. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants submit written technical and budget proposals in accordance with guidance stated in the annual program announcement. The standard application forms required by 43 CFR 12, Subparts C and F, must be used. Preparation of Environmental Impact Statement/Environmental Assessment and compliance with the Federal Endangered Species Act and other Federal environmental laws may be prerequisites, based on impacts of the proposed action, prior to receiving federal assistance/funding. State Plans are not required.

Award Procedure:

-Under Section the 314(c), projects ranking highest against the program criteria for selecting proposals as stated in the annual funding announcement (see Criteria for Selecting Proposals, below), and consistent with available funding, are requested to submit a draft grant or cooperative agreement along with supporting forms and documents. Applicants will work with the CUPCA program office to complete required documents and execute the award. Awards are granted to other Federal, State and local government agencies, non-governmental entities, institutions of higher learning, and private individuals.

-The June Sucker Hatchery is funded annually under a Cooperative Agreement. These funds are expended by the hatchery on operational maintenance costs.

-The JSRIP is funded annually under a Cooperative Agreement. The JSRIP expends these funds on program management, as well as services and products provided by the State of Utah, universities, and private entities.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Funding decisions are made at least annually. Deadlines for applications are stated in the annual program solicitation of proposals. Contact the program office for information on deadlines for applications in the current year. The range of the approval time is 90 days.

Appeals:

Not Applicable.

Renewals:

Recipients may request renewal of existing projects or additional projects to meet program goals and objectives. Renewals are contingent upon Congressional appropriations to the program, a continued high relevancy ranking based on program criteria, and recipients satisfactory progress on the approved scope of work.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program does not have a statutory formula or matching requirement. However, the program seeks to leverage available Federal funding and will give enhanced consideration to applicants offering cost-sharing participation in the form of contributed funds, in-kind labor, materials or equipment contributions.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are normally written for a 1 to 5 year period with funding provided only for the current year. See Renewals, above. See the following for information on how assistance is awarded/released: No information provided.

Reports:

All projects and activities are monitored for progress and compliance with the agreed-upon scope of work. Recipients are required to submit periodic requests for advance or reimbursement of funds using the SF-270, or may request payments through the Automated Standard Application for Payments (ASAP) system. Recipients also submit Financial Status Reports annually within 90 calendar days after the grant year (i.e., 12 months after the approved effective date of the assistance agreement and every 12 months thereafter until the expiration date of the assistance agreement). A final Financial Status Report is required within 90 calendar days after the expiration or termination of the assistance agreement. Written quarterly progress reports detailing project accomplishments are generally required, as are written reports upon completion of the project. Expenditure reports are not applicable. Periodic site visits and internal reports are completed by the CUPCA program office.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0787-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$386,000; FY 17 est \$386,000; and FY 18 est \$386,000

Range and Average of Financial Assistance:

Range \$ 3,000 - \$ 582,881

Average \$ 356,049.

TAFS Codes:

17-0787.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FY16: - Section 314(c) Projects created, restored and enhanced waterfowl wetlands on the Green River near Green River, WY.

The June Sucker Hatchery produced their annual quota of June sucker that were released to grow-out facilities prior to release into Utah Lake in 2016.

The JSRIP accomplished recovery actions that have made sufficient progress toward the recovery of June sucker. These have included projects aimed at reducing the impacts of non-native and sportfish populations on June sucker; habitat development and maintenance; water acquisition, management, and protection; preserving genetic integrity and augmenting the population; various research projects answering critical questions in the life history of June sucker; and information and education efforts. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars.

Regional or Local Office:

See Regional Agency Offices. Central Utah Project Completion Act Office
Program Director
302 East 1860 South
Provo, Utah 84606
Telephone: 801/379-1237
E-mail: rrmurray@usbr.gov.

Headquarters Office:

Assistant Secretary of the Interior for Water and Science 1849 C Street, N.W.,
Mail Stop MIB6640, Washington, District of Columbia 20240 Email:
rswanson@uc.usbr.gov Phone: (801) 379-1254 Fax: (801) 379-1209.

Website Address:

<http://www.cupcao.gov>

RELATED PROGRAMS:

15.623 North American Wetlands Conservation Fund; 15.631 Partners for Fish and Wildlife; 15.637 Migratory Bird Joint Ventures

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: - Section 314(c) Projects constructed a diversion dam on the Green River that will allow for controlled releases into an existing but dewatered oxbow to enhance waterfowl and shorebird wetlands improvements; constructed rock vanes in the Little Snake River that will reestablish and maintain a natural hydrological connection between the river and four oxbows; planted nearly two acres of cottonwood seedlings or cuttings to establish a cottonwood nursery that will provide trees to be used in habitat replacement as tamarisk are eliminated along the Colorado River and including its tributaries. Funded the removal of tamarisk along the Green River in Dinosaur National Monument. Funded Cheat Grass Survey near Pinedale, WY to be used in Sage Grouse habitat improvement. Provided funding to assist in the acquisition of a Conservation Easement near Mancos, CO.

-The June Sucker Hatchery has produced June sucker that have been released into Utah Lake each year since 2000.

-The JSRIP has accomplished recovery actions that have made sufficient progress toward the recovery of June sucker. These have included projects aimed at reducing the impacts of non-native and sportfish populations on June sucker; habitat development and maintenance; water acquisition, management, and protection; preserving genetic integrity and augmenting the population; various research projects answering critical questions in the life history of June sucker; and information and education efforts. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Section 314(c) Projects - Criteria for funding projects have been established by Congress in the authorizing legislation (P.L. 102-575) at Section 314(c). Generally, these criteria require that projects restore damaged natural ecosystems on public lands and waterways affected by Federal Reclamation projects in the upper Colorado River basin. In addition, priority is given to projects that assist recovery of endangered or sensitive species or ecosystems,

allow reintroduction of native flora and fauna, control or eliminate exotic pest species, or provide enhanced conservation value to state and local government lands. A complete list of program criteria is available at the address listed in Information Contacts (:151). Projects that leverage grant funds with applicant funds, or in-kind services, will receive bonus consideration, but not automatic preference.

Specific evaluation criteria will be included in the annual funding opportunity announcement.

-The June Sucker Hatchery and JSRIP Projects are selected under recovery criteria established within the June Sucker Recovery Plan.

15.537 MIDDLE RIO GRANDE ENDANGERED SPECIES COLLABORATIVE

Collaborative Program

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

P.L. 110-161, Consolidated Appropriations Act of 2008: (c) In compliance with applicable Federal and State laws, the Secretary (acting through the Commissioner of Reclamation), in collaboration with the Executive Committee, may enter into any grants, contracts, cooperative agreements, interagency agreements, or other agreements that the Secretary determines to be necessary to comply with the 2003 Biological Opinion described in section 205(b) of the Energy and Water Development Appropriations Act, 2005 (Public Law 108-447; 118 Stat. 2949) as amended by section 121(b) of the Energy and Water Development Appropriations Act, 2006 (Public Law 109-103; 119 Stat. 2256) or in furtherance of the objectives set forth in the collaborative program long-term plan. P.L. 111-8, Omnibus Appropriations Act, 2009: (b) The Secretary of the Interior (referred to in this section as the Secretary) shall establish and maintain an Executive Committee of the Middle Rio Grande Endangered Species Collaborative Program (referred to in this section as the Executive Committee) consistent with the bylaws of the Middle Rio Grande Endangered Species Collaborative Program adopted on October 2, 2006.

(c) Hereafter, in compliance with applicable Federal and State laws, the Secretary (acting through the Commissioner of Reclamation), in collaboration with the Executive Committee, may enter into any grants, contracts, cooperative agreements, interagency agreements, or other agreements that the Secretary determines to be necessary to comply with the 2003 Biological Opinion described in section 205(b) of the Energy and Water Development Appropriations Act, 2005 (Public Law 108-447; 118 Stat. 2949) as amended by section 121(b) of the Energy and Water Development Appropriations Act, 2006 (Public Law 109-103; 119 Stat. 2256) or any related subsequent biological opinion or in furtherance of the objectives set forth in the collaborative program long-term plan.
, Public Law 111- 8.

OBJECTIVES:

The Middle Rio Grande Endangered Species Collaborative Program (Program) is a collaborative effort consisting of 16 stakeholders including federal, state, and local governmental entities; Indian tribes and pueblos; and non-governmental organizations representing diverse interests working to support compliance with the Endangered Species Act (ESA). The purpose of the Program is to protect and improve the status of endangered listed species along the Middle Rio Grande (MRG) by implementing certain recovery activities to benefit those species and their associated habitats and, simultaneously, to protect existing and future water uses while complying with applicable state and federal laws, including Rio Grande compact delivery obligations. Listed species means federally listed species under the ESA, with special emphasis on the Rio Grande silvery minnow (silvery minnow) and the southwestern willow flycatcher (flycatcher).

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Financial assistance may be used in furtherance of the goals and objectives of the Executive Committee and the Collaborative Program Long-Term Plan which focuses on beneficial activities for improving the status of the silvery

minnow and the flycatcher, and the conservation and contribution to the recovery of both listed species while protecting existing and future water uses within the geographic boundaries of the Program area. The Program Area is defined as the headwaters of the Rio Chama watershed and the Rio Grande, including tributaries, from the New Mexico-Colorado state line downstream to the elevation of the spillway crest of the Elephant Butte Reservoir at 4,450 ft above mean sea level, excluding the land area reserved for the full pool of the Elephant Butte Reservoir. Indian Pueblo and Tribal lands and resources within the Program area will not be included in the Program without their express written consent of the affected Indian Pueblo or Tribe. All appropriated funds are discretionary.

Applicant Eligibility:

Not available.

Beneficiary Eligibility:

Not available.

Credentials/Documentation:

Whenever applicable, all necessary federal, state, local, and/or private access, collection, and other environmental permits must be provided. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the funding opportunity announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts (federal and non-federal stakeholders) in the program area. Applications will be reviewed against the proposal evaluation criteria and any additional review factors stated in the funding announcement. Final selection will be determined by the designated Grants Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies by project. Deadline information will be included in all funding opportunity announcements posted on www.grants.gov. The range of approval or disapproval time varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, upon request, the Bureau of Reclamation will provide applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. P.L. 111-8, Omnibus Appropriations Act, 2009: (c)(1) The non-Federal share of activities carried out

under subsection (c) (other than an activity or a cost described in subsection (d)(1)) shall be 25 percent. The non-Federal cost share shall be determined on a programmatic, rather than a project-by-project basis.

(2) The non-Federal share required under paragraph (1) may be in the form of in-kind contributions, the value of which shall be determined by the Secretary in consultation with the executive committee.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Federal assistance is typically available during the current fiscal year of appropriations.

The Program expects all awarded funds to be expended during the fiscal year in which grant awards were made or no more than 2 years from the time of grant award.

For more complex projects that may involve environmental compliance, design, feasibility studies, construction and implementation, effectiveness monitoring, and other related activities, the project will be divided into phases. The project will then be funded incrementally at phase level costs. Funding of subsequent phases will be based on project viability, effectiveness, and fund availability. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$336,384; FY 17 est \$387,000; and FY 18 est \$390,000

Range and Average of Financial Assistance:

Range: \$25,000 to \$200,000
Average: \$ 330,333.

TAFS Codes:

17-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program accomplishments include projects funded for the furtherance of the goals and objectives of the Executive Committee and the Collaborative Long-Term Plan which focuses on beneficial activities for

improving the status of the silvery minnow and the flycatcher, and the conservation and contribution to the recovery of both listed species while protecting existing and future water uses within the geographic boundaries of the Program area. In FY2015 and FY2016, these activities included: endangered species propagation and augmentation and habitat restoration monitoring. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Program guidelines: <http://www.mrgesa.com>;
<http://mrgescp.dbstephens.com>. Enter query for document ID=6244

Regional or Local Office:

See Regional Agency Offices. Leann Woodruff, Facilities Management Division
505-462-3579, phone
505-462-3783, fax
pwoodruff@usbr.gov.

Headquarters Office:

Director Bureau of Reclamation, Albuquerque Area Office
555 Broadway Blvd. NE
Albuquerque, New Mexico 87102
505-462-3600, phone
505-462-3783, fax, Albuquerque, New Mexico 87102 Phone: (505)462-3540
Fax: (505)462-3794

Website Address:

<http://www.mrgescp.dbstephens.com>

RELATED PROGRAMS:

15.517 Fish and Wildlife Coordination Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The following are examples of funded projects under this Program:

Endangered Species Propagation - The City of Albuquerque's BioPark and New Mexico Interstate Stream Commission Los Lunas Silvery Minnow Refugium rear and breeds endangered silvery minnow for release and experimentation in the MRG in accordance with the U.S. Fish and Wildlife Services propagation and genetics annual work plan. In addition, staff assists with egg monitoring and collection. The Bosque School collects biological data for the Bosque Ecosystem Monitoring Program (BEMP) to assist in designing of long-term monitoring projects. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria for projects benefiting the silvery minnow and the Southwestern willow flycatcher within the MRG will be included in the funding announcements posted on www.grants.gov.

15.538 LOWER COLORADO RIVER MULTI-SPECIES CONSERVATION

(MSCP)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Omnibus Public Land Management Act of 2001, Public Law 111-11, Title IX, Section 9401-9404.

FOR RECOVERY ACT:

American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5).

OBJECTIVES:

The MSCPs purposes are (1) Protect the lower Colorado River environment while ensuring the certainty of existing river water and power operations, (2) Address the needs of threatened and endangered wildlife under the Endangered Species Act, and (3) Reduce the likelihood of listing additional species along

the lower Colorado River. **FOR RECOVERY ACT:** For ARRA funded projects and in addition to the program objectives above, the section 3 of the ARRA states the following objectives:

- (1) To preserve and create jobs and promote economic recovery.
- (2) To assist those most impacted by the recession.
- (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health.
- (4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.
- (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The planning area for the LCR MSCP is defined as areas up to and including the full-pool elevations of Lakes Mead, Mohave, and Havasu and the historical floodplain of the Colorado River from Lake Mead downstream to the Southerly International Boundary with Mexico. The planning area provides habitat for Covered Species listed as endangered or threatened under the ESA; additionally there are region specific species that are inclusive of this program. Visit <http://www.lcrmscp.gov> for specific information. For further information, please contact the regional office.

Applicant Eligibility:

State and local governments, nonprofit organizations and institutions, public and private institutions and organizations, Federally recognized Indian Tribal Governments, individuals, small businesses, for-profit organizations, and Native American Organizations.

Beneficiary Eligibility:

Anyone/general public, governmental entities, Tribal governments, Native American organizations, and/or public or private organizations in the specific project area.

Credentials/Documentation:

None. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

State and local governments, nonprofit organizations and institutions, public and private institutions and organizations, Federally recognized Indian Tribal Governments, individuals, small businesses, for-profit organizations, and Native American Organizations. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Varies by project. Deadline information will be included

in all funding opportunity announcements posted on www.grants.gov.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are generally awarded for 1 to 3 years. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Periodic performance progress reports, final reports, and other specific reports that may be applicable to the agreement such as property inventories, patent, and invention disclosure as specified in the award terms and conditions. Projects funded under the American and Recovery and Reinvestment Act of 2009 will have additional quarterly reporting requirements as described in the terms and conditions are required. Cash reports are not applicable. Progress reports are not applicable. Periodic SF 425 Federal Financial Report and final SF 425 as specified in the agreement terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$2,501,518; FY 17 est \$2,200,000; and FY 18 est \$2,200,000

Range and Average of Financial Assistance:

Range: \$1,130 to \$296,000
Average: \$2,102,669.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Implementation of LCR MSCP conservation measures. Completed yearly research and monitoring for bird, bat mammal and fish species. Sixteen agreements awarded or modified contributed to over 242,000 native fish stocked, 5,300 acres of riparian habitat established and managed. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. John Swett, MSCP Program Manager, PO Box 61470, Boulder City, NV 89006, 702-293-8555.

Headquarters Office:

John Swett PO Box 61470, Boulder City, Nevada 89006 Phone: (702) 293-8555

Website Address:

<http://www.lcrmscp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: See annual work plan at <http://www.lcrmscp.gov>. Research and monitoring for various fish, and bird species and for research for habitat restoration. Yuma East Wetlands (YEW) Cooperative Agreement to maintain YEW Conservation Area; Laguna Conservation Area Cooperative Agreement to design Laguna; Grant for riparian bird habitat associations. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Need to meet LCR MSCP requirements for implementation of conservation measures outlined in the Habitat Conservation Plan. This can be found at <http://www.lcrmscp.gov>.

15.539 EQUUS BEDS DIVISION ACQUIFER STORAGE RECHARGE

Equus Beds Aquifer Storage Recharge Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Wichita Project Equus Beds Division Authorization Act of 2005 (Public Law 109-299, Oct 5, 2006).

OBJECTIVES:

To design and construct the City of Wichitas Aquifer Storage and Recovery project to divert flood flows from the Little Arkansas River into the Equus Beds Aquifer in order to recover depleted storage and protect the Aquifer.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The program exists to provide funding (as appropriated by Congress) to the city of Wichita for reimbursement of the design and construction of the Citys ASR project to divert flood flows from the Little Arkansas River into the Equus Beds Aquifer in order to recover depleted storage and protect the Aquifer. Authority terminates 10 years from enactment of P.L. 109-299. The City of Wichita will hold title to all facilities.

Applicant Eligibility:

The City of Wichita.

Beneficiary Eligibility:

M & I and agricultural waters users of South-central Kansas.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Project construction could not be initiated until Reclamation completed the NEPA compliance process (EIS) which was completed January 19, 2010. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Annual work plans and a budget are submitted annually. Applicant must submit quarterly progress and expenditure reports along with a SF-425.

Award Procedure:

The Great Plains Regional Office approves award and distribution of award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

City must submit quarterly requests within 30 days of the end of the reporting period. Authority to cost share expires September 2016.

Appeals:

Not Applicable.

Renewals:

Additional funding for the program is at the discretion of Congress.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: There is authorization to be appropriated as the Federal share of the total cost of the Equus Beds Division, an amount not to exceed 25 percent of the total cost or \$30,000,000 (January, 2003 prices), whichever is less, plus or minus such amounts, if any, as may be justified by reason of ordinary fluctuations in construction costs as indicated by engineering cost indexes applicable to the type of construction involved herein, whichever is less. Such sums shall be non-reimbursable.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A 10-authorization currently exists for this program. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit Program Performance Report on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the SF-425, Federal Financial Report on an annual basis. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Range \$45,000
Average \$15,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Completed construction of Phase IIb components - Northern Diagonal Transmission Main and 72nd Street Transmission Main. Design of Bid Packages D1 Golden Prairie Transmission Main and E1 Surge Tanks are complete. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Thomas Michalewicz (Grants Officer Technical Representative)
Oklahoma-Texas Area Office
5316 Highway 290 West, Ste 110
Austin TX 78735-8931
Phone: (512) 899-4166
Fax: (512) 899-4179
E-mail: tmichalewicz@usbr.gov.

Headquarters Office:

Lindsey Nafts, Bureau of Reclamation, Great Plains Regional Office, P.O. Box 36900, 316 North 26th Street, Billings, Montana 59101-6900 Email: L.Nafts@usbr.gov Phone: (406) 247-7684.

Website Address:

<http://www.usbr.gov/gp/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The City of Wichita is currently in the completion phase of Phase IIb. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling. The City of Wichita is specified in the legislation for funding of any work to be completed by September 2016.

15.540 LAKE MEAD/LAS VEGAS WASH

Las Vegas Wash (LVW Program)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 106-541, Water Resources Development Act of 2000, December 11, 2000; as amended, and Energy and Water Appropriations Acts.

OBJECTIVES:

The objective of this program is to develop and implement management strategies for the Las Vegas Wash to improve water quality, habitat integrity, and reduce the salinity and sediment transport while providing environmental enhancement and recreational opportunities.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The Secretary of the Interior shall continue to participate in implementation of the Project at the Las Vegas Wash and Lake Mead in accordance with the Comprehensive Adaptive Management Plan (Plan): Provided, that issuance of any such grants shall not modify the cost sharing requirements provided in

section 529(b) of Public Law 106-541. For further information, please contact the regional office.

Applicant Eligibility:

The Southern Nevada Water Authority (SNWA), a Special District Government.

Beneficiary Eligibility:

The Southern Nevada Water Authority (SNWA) and the General Public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Projects, Standard Form 424B, Assurances for Non-Construction Projects, Standard Form 424C, Budget for Information for Construction Projects, Standard Form 424D, Assurance for Construction Projects, Standard Form L.L., Disclosure of Lobbying Activities, and written proposals should be submitted via hard-copy, directly to the Program Manager and include: a title, background, objectives, performance schedule, points of contact, most current audit and indirect cost documentation, corrective action plan if needed, any other documentation that is directly associated with the Projects. Funding is authorized, mandatory, so posting on grants.gov is not necessary. This is a cost share program.

Award Procedure:

For grant agreements awarded as a component of the eligible beneficiary (SNWA) and project, approved by the Secretary of the Interior, and funded under Public Law 106-541 and subsequent amendments, all Federal rules, regulations, and agency specific guidelines for awarded procedures will be followed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after receipt of the application forms and proposal submissions, and rely on the passing of a fiscal year appropriations bill for the availability of authorized funds.

Appeals:

None. Final award decisions are not subject to appeal; however, upon request, the Bureau of Reclamation will provide applicants with information on why their proposals were not selected for award.

Renewals:

When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to the availability of appropriated funds. The SNWA must submit written requests for renewals or extensions director to the Program Manager for approval, at least 45 days prior to the expiration of the specific project agreement. Once the term of the project is expired, no renewal or extension will be approved, due to non-compliance with the terms and conditions of the specific projects agreement.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: In accordance with Section 529(b) of Public Law 106-541, the cost share for the SNWA is 35 percent, Reclamations is 65 percent, and the authorized appropriated amount is limited to a ceiling of \$30,000,000.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are no specific restrictions for these projects; however, most of the projects are awarded for a 1 to 3 year period and funded on a year-by-year basis. No projects exceed a 5 year period. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Reports; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$300,000; FY 17 est \$300,000; and FY 18 est \$275,000

Range and Average of Financial Assistance:

Range \$384,510.93
Average \$101,106.40.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program accomplishments include completed bank protection at the Calico Ridge, Rainbow Gardens, Pabco, Powerline Crossing, Bostick and Historic Lateral weirs along approximately 6,700 feet of bank throughout the Wash; revegetated approximately 47 acres with native plants; ongoing activities including biological resource studies, revegetation site landscape design and monitoring, archaeological investigations, water quality monitoring and monitoring of threatened and endangered bird species. Cultural resource investigations at the Larder and Scorpion Knoll site occurred, which will ensure protection of these sites into the future. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Bureau of Reclamation, Resources Management Office, P.O. Box 61470, Boulder City, Nevada 89006. Telephone: (702) 293-8171.

Headquarters Office:

Director, None, Boulder City, Nevada 89006 Phone: (702) 293-8109.LC-2628.

Website Address:

<http://www.usbr.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Examples of funded projects include Water Quality Monitoring in the LVW Mainstream and Tributaries; LVW T&E and Aquatic Bird Species Monitoring; LVW Coordination Committee Program Management; LVW Archaeological Investigations; LVW Revegetation Implementation, and Monitoring. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The Las Vegas Wash Coordination Committee has decided that the SNWA will be the lead agency for receiving funding for this program due to the high costs and types of environmental improvement and enhancement, and ability to maintain restoration projects. The Las Vegas Wash Program plays an important role in environmental and water resource issues in Southern Nevada. Historically, the Las Vegas was an ephemeral stream carrying storm flows from the Las Vegas Valley to Lake Mead and the Colorado River. Urban development over the past 60 years has resulted in the formation of the wetlands that helped remove nutrients from these wastewater flows. As the rate of discharges increased, erosion also increased, gradually destroying the existing natural treatment systems and wildlife habitat and creating a large delta in the Las Vegas Bay of Lake Mead. Accelerating erosion, declining water quality, and loss habitat are some of the more pressing issues. Proposals submitted will be reviewed and awarded based on priority fulfillment of the 44 action items identified in the Plan and the availability of funding appropriations.

15.541 COLORADO RIVER BASIN ACT OF 1968

Colorado River Basin Projects Act

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Colorado River Basin Act of September 30, 1968 amended by the Colorado River Basin Project Act, December 20, 1982., Public Law 90-537.

OBJECTIVES:

It is the objective of this Act under Title I, to provide a program for the further comprehensive development of water resources of the Colorado River Basin and for the provision of additional and adequate water supplies for the use in the upper as well as in the lower Colorado Basin. This program is declared to be for the purposes, among others, of regulating the flow of the Colorado River; controlling floods; improving navigation; providing for the storage and delivery of waters of the Colorado River for reclamation of lands, including supplemental water supplies, and for municipal, industrial, and other beneficial purposes; improving water quality; providing for basic public outdoor recreation facilities; improving conditions of fish and wildlife, and the generation and sale of electrical power as an incident of the foregoing purposes.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Title II. Investigations and Planning -- With respect to coordination of studies, investigations and assessments, the Secretary of Interior, through the Bureau of Reclamation, shall conduct full and complete reconnaissance investigation for the purpose of developing a general plan to meet the future water needs of the Western United States. Such investigations shall include the long-range water supply available and the long-range water requirements in each water resource region of the Western United States.

Title III. Authorized Units: Protection of Existing Uses -- The Central Arizona Project (CAP) is a multipurpose water resource.

Development and management project which provides irrigation, municipal and

industrial water, power generation, flood control, outdoor recreation, environmental enhancement, and improved water quality. In addition, the project will provide delivery of tribal homeland water, partial settlement of Indian water rights claims, and economic benefits accruing from leasing of Indian agricultural water rights to municipal entities.

Applicant Eligibility:

Must have a water allocation and water delivery from a Reclamation water resource project or State responsibility for various project purposes.

Beneficiary Eligibility:

The general public in the state of Arizona and southwestern New Mexico, irrigation and water districts and local entities.

Credentials/Documentation:

None.

For Public Law 93-638 Contracts, Grants or Agreements Only

- (1) Each 638 contract, grant, or cooperative agreement requires project specific authority;
- (2) Detailed written technical proposals including background data regarding the tribal client, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, type of personnel to implement the proposals, experience in performing these types of work, timeliness, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation;
- (3) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contributions of goods and services; and
- (4) The awarded 638 contract, grant or cooperative agreement must contain an authorizing resolution from a federally recognized Tribe or Tribal Organization. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF24 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

For Public Law 93-638 Contracts, Grants or Agreements Only Initial proposals must contain the information specified in 25 CFR Part 900. Proposals shall be submitted to the applicable Reclamation office with the responsibility for the specific project for which a federally recognized Tribe or Tribal Organization with responsibility for the specific project is requesting to contract.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the funding opportunity announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria and any additional review factors stated in the funding announcement. Final selection will be determined by the Area Office Manager or his designated representative. For Public Law 93-638 Contracts, Grants or Agreements Only Reclamation and the federally recognized Tribe or Tribal Organization develop a negotiation schedule and then negotiate the overarching contract/award terms, provisions and conditions based on the requirements of Public Law 93-638, 25 CFR Part 900, any specific requirements of the project authorizing legislation, and other applicable Federal laws and regulations. Reclamation and the Tribe/Tribal Organization also negotiate an annual funding agreement (AFA), which identifies activities to be performed, funds to be provided, and the

method of payment of the initial year of the contract. A final contract agreement is prepared based upon the outcome of the negotiations; attachments include the work plan, budget, tribal resolution, initial AFA, property listing, project schedule, and list of any other applicable provision, terms and conditions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contingent upon the type and complexity of authorized units under the program or project. Further information will be available for each specific program or project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact on the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, upon request, the Bureau of Reclamation will provide applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds. For Public Law 93-638 Contracts, Grants or Agreements Only Will vary by project component.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Will be included in each funding announcement for this program on www.grants.gov/ when applicable. For Public Law 93-638 Contracts, Grants or Agreements Only Length and time phasing will vary. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Public Law 93-638 Contracts, Grants, and Agreements Only Reporting (financial status report and progress reports) requirements will be negotiated and contained within the AFA or the overarching terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the

Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

For Public Law 93-638 Contracts, Grants, and Agreements Only Indian Tribal governments and organizations shall maintain project records in accordance with Public Law 93-638, and 25 CFR Part 900.

Account Identification:

14-4079-0-3-301.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Range \$0
Average \$0.

TAFS Codes:

14-4079.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal year 2015 program accomplishments include continuation of work by the Arizona Department of Water Resources on data collection, software services, and upgrades to the user-interface for the Arizona Flood Warning System. The agreement expired and was closed out in FY 2016. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Phoenix Area Office: Ms. Leslie Meyers, Area Manager, Bureau of Reclamation, Address: 6150 W. Thunderbird Rd., Glendale, AZ 85306-4001, Telephone: (623) 773-6215.

Headquarters Office:

Carol Lynn Erwin, Bureau of Reclamation, 6150 W., Thunderbird Road, Glendale, Arizona 85306-4001 Phone: (623) 773-6215.

Website Address:

<http://www.usbr.gov/lc/phoenix/>

RELATED PROGRAMS:

15.542 Arizona Water Settlement Act of 2004

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: An example of a funded project for Fiscal Year 2014 include a financial assistance award to Arizona Department of Water Resources. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in each funding announcements posted on www.grants.gov. For Public Law 93-638 Contracts, Grants, and Agreements Only Federally recognized Tribes and Tribal organizations must meet the requirements of Public Law 93-638; 25 CFR Part 900 and any additional criteria specific to the authorizing legislation.

15.542 ARIZONA WATER SETTLEMENT ACT OF 2004

Arizona Water Settlement Act

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Arizona Water Settlement Act of 2004, Public Law 108-451.

OBJECTIVES:

To provide for adjustments to the Central Arizona Project in Arizona, to authorize the Gila River Indian Community water rights settlement, to

reauthorize and amend the Southern Arizona Water Rights settlement Act of 1982, for the settlement of water rights to the San Carlos Apache Tribe and for other purposes.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Title I, "Central Arizona Project Settlement" - With respect to: (1) the water provided by the Central Arizona Project to Maricopa, Pinal, and Pima Counties in the State of Arizona, is vital to citizens of the State; and (2) an agreement on the allocation of Central Arizona Project water among interested persons, including Federal and State interests, would provide important benefits to the Federal Government, the State of Arizona, Arizona Indian Tribes, and the citizens of the State. In accordance with the CAP repayment contract, the Central Arizona Project may be used to transport non-project water for: (1) domestic, municipal, fish and wildlife, and industrial purposes; and (2) any purpose authorized under the Colorado River Basin Project Act (43 U.S.C. 1501 et seq.). Title I, Section 104, Authorization of Appropriation: Authorized to be appropriated such sums as are necessary to comply with: (1) the 1994 biological opinion, including any funding transfers required by the opinion; (2) the 1996 biological opinion, including any funding transfers required by the opinion; and (3) any final biological opinion resulting from the 1999 biological opinion, including any funding transfers required by the opinion. Title II, "Gila River Indian Community Water Rights Settlement" - the purposes of this title is: (1) to resolve permanently certain damage claims and all water rights claims among the United States on behalf of the Community, its members, allottees, and the Community and its neighbors; (2) to authorize, ratify, and confirm the Gila River agreement; (3) to authorize and direct the Secretary to execute and perform all obligations of the Secretary under the Gila River agreement; (4) to authorize the actions and appropriations necessary for the United States to meet obligations of the United States under the Gila River agreement and this title; and (5) to authorize and direct the Secretary to execute the New Mexico Consumptive Use and Forbearance Agreement to allow the Secretary to exercise the rights authorized by subsections (d) and (f) of section 304 of the Colorado River Basin Project Act (43 U.S.C. 1524). Title III, "Southern Arizona Water Rights Settlement" - the purposes of this title are: (1) to authorize, ratify, and confirm the agreements referred to in section 309(h); (2) to authorize and direct the Secretary to execute and perform all obligations of the Secretary under those agreements; and (3) to authorize the actions and appropriations necessary for the United States to meet obligations of the United States, under those agreements and this title. Title IV, San Carlos Apache Tribes Water Rights Settlement- with respect to the: none of the provisions of title I, II, or III or the agreements, attachments, exhibits, or stipulations referenced in those titles shall be construed to: (1) amend, alter, or limit the authority of (A) the United States to assert any claim against any party, including any claim for water rights, injury to water rights, or injury to water quality in its capacity as trustee for the San Carlos Apache Tribe, its members and allottees, or in any other capacity on behalf of the San Carlos Apache Tribe, its members, and allottees, in any judicial, administrative, or legislative proceeding; or (B) the San Carlos Apache Tribe to assert any claim against any party, including any claim for water rights, injury to water rights, or injury to water quality in its own behalf or on behalf of its members and allottees in any judicial, administrative, or legislative proceeding consistent with title XXXVII of Public Law 102-575 (106 Stat. 4600, 4740); or (2) amend or alter the CAP Contract for the San Carlos Apache Tribe dated December 11, 1980, as amended April 29, 1999.

Applicant Eligibility:

Must be authorized to receive funds by Congress in the statute.

Beneficiary Eligibility:

Authorized beneficiaries are identified in the statute and include the state of Arizona, state of New Mexico, various irrigation and water districts, local entities and municipalities and Tribal Governments.

Credentials/Documentation:

None.

For Public Law 93-638 Contracts, Grants or Agreements Only

(1) Each 638 contract, grant, or cooperative agreement requires project

specific authority;

(2) Detailed written technical proposals including background data regarding the tribal client, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, type of personnel to implement the proposals, experience in performing these types of work, timeliness, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation;

(3) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contributions of goods and services; and

(4) The awarded 638 contract, grant or cooperative agreement must contain an authorization resolution from a federally recognized Tribe or Tribal Organization. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For Public Law 93-638 Contracts, Grants, and Agreements Only

Initial proposals must contain the information specified in 25 CFR Part 900.

Proposals shall be submitted to the applicable Reclamation office with the responsibility for the specific project for which a federally recognized Tribe or Tribal Organization with responsibility.

Award Procedure:

Awards are identified by the statute and supporting documents and are distributed either by direct payment, contracts approved as exhibits to the statute or pursuant to P.L. 93-638 contracts for Tribes.

For Public Law 93-638 Contracts, Grants, and Agreements Only Reclamation and the federally recognized Tribe or Tribal Organization develop a negotiation schedule and then negotiate the overarching contract award terms, provisions and conditions based on the requirements of Public Law 93-638, 25 CFR Part 900, any specific requirements of the project authorizing legislation, and other applicable Federal laws and regulations. Reclamation and the Tribe/Tribal Organization also negotiate an annual funding agreement (AFA), which identifies activities to be performed, funds to be provided, and the method of payment of the initial year of the contract. A final contract agreement is prepared based upon the outcome of negotiations; attachments include the work plan, budget, tribal resolution, initial ADA, property listing, project schedule, and list of any other applicable provisions, terms and conditions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contingent upon the type and complexity of authorized units under the Act. Further information will be available each specific authorized activity under the Act at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact on the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, upon request, the Bureau of Reclamation will provide applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance will be included in award issued under this program. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosure.

For Public Law 93-638 Contracts, Grants, and Agreements Only Reporting (financial status reports and progress reports) requirements will be negotiated and contained within the AFA or the overarching terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF 425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF 425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

For Public Law 93-638 Contracts, Grants, and Agreements Only Indian Tribal governments and organizations shall maintain project records in accordance with 43 CFDA 12.83; Public Law 93-638, and 25 CFR Part 900.

Account Identification:

14-4079-0-3-301.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 16 \$33,662,050; FY 17 est \$75,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range: \$25,000,000 to \$100,000,000
Average: \$73,834,000.

TAFS Codes:

14-4079.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In 2016, the Gila River Indian Community continued its work on the SCIP/PMIP construction; the San Carlos Irrigation and Drainage District continued design activities and environmental compliance Phase 2; the Tohono Oodam Nation continues implementation of AWSA-authorized farm extension (San Xavier District); and Shuk Toak water management/groundwater inventory studies. Annual Funding was provided to

the State of NM for the NM Unit pursuant to the statute. Funds were also expended to pay fixed OM&R costs for delivery of CAP water to Arizona Indian Tribes pursuant to the statute and on work in support of the U.S. firming obligation pursuant to the statute. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

For Public Law 93-638 Contracts, Grants, and Agreements Only
25 USC 40 (Public Law 93-638, 25 CFR Part 900

Regional or Local Office:

See Regional Agency Offices. Phoenix Area Office: Leslie Meyers, Area Manager, Bureau of Reclamation, Address: 6150 W. Thunderbird Rd., Glendale, AZ 85306-4001, Telephone: (623) 773-6200.

Headquarters Office:

Carol Lynn Erwin, Bureau of Reclamation, Phoenix Area Office, 6150 W. Thunderbird Road, Glendale, Arizona 85306-4001 Phone: (623) 773-6200.

Website Address:

<http://www.usbr.gov/lc/phenix/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Title IV AFA are awarded to the Gila River Indian Community allowing it to continue construction of the P-MIP and SCIP projects. P.L. 93-638 contracts are awarded to the San Xavier and Schuk Districts of the Tohono Oodham Nation for settlement implementation activities, Direct Payments are made to the State of NM pursuant to the statute and monthly invoices for payment of fixed OM&R for the delivery of CAP water to Arizona Tribes are also paid. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Awards are identified by the statute and supporting documents and are distributed either by direct payment, contracts approved as exhibits to the statute or pursuant to P.L. 93-638 contracts for Tribes.

For Public Law 93-638 Contracts, Grants, and Agreements Only Federally recognized Tribes and Tribal organizations must meet the requirements of Public Law 93-638; 25 CFR Part 900 and any additional criteria specific to the authorizing legislation.

15.543 LAKE TAHOE REGIONAL WETLANDS DEVELOPMENT FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Title II, Division D, Public Law 108-7, Consolidated Appropriations Resolution, Water and Related Resources:
Provided further, That the Bureau of Reclamation is authorized hereafter to negotiate and enter into financial assistance agreements with public and private agencies, organizations, and institutions for activities under the Lake Tahoe Regional Wetlands Development Program: Provided further, That the costs associated with such activities will be nonreimbursable..

OBJECTIVES:

To assist in addressing the past degradation of Lake Tahoe and its watershed by undertaking projects to meet the environmental thresholds as defined in the Tahoe Regional Planning Agency's Environmental Improvement Program (EIP). The environmental thresholds of interest include water quality, soil conservation, wildlife, fisheries and vegetation.

To achieve environmental restoration in the Lake Tahoe basin, funded activities may include project planning and implementation, program coordination and management, surveys, monitoring, and public outreach.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

All activities are environmental improvement projects to enhance or restore water quality and conditions for species and habitats in the Lake Tahoe Basin. The amount of funding available for projects is highly variable from year to year.

Applicant Eligibility:

State and local agencies, public nonprofit institutions/organizations, other public institutions/organizations, Federally-recognized Indian Tribal governments, profit organizations, private nonprofit institutions/organizations, other private institutions/organizations, and educational or scientific organizations may apply.

Beneficiary Eligibility:

Awards made under this program are intended to benefit the public by maintaining and improving the environmental quality in general, and water quality in particular, of the Lake Tahoe Basin.

Credentials/Documentation:

NONE. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending upon the specific project funded. This may result in the need for a National Environmental Policy Act (NEPA) compliance document as well as compliance with other applicable laws and permits prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened by Bureau of Reclamation personnel for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the issuing office. Qualified experts from the Bureau of Reclamation will review applications against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the number of proposals received and the complexity of the project. Generally, offices review and select proposals for award within 7 months of proposal submission.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Some projects may be eligible for extension. If renewals or extensions are applicable, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements are typically awarded for periods of 1 to 5 years. See the following for information on how assistance is awarded/released. Payment terms vary by agreement awarded under this program. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a semi-annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF 425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF 425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

17-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$320,029; FY 17 est \$0; and FY 18 est \$0 - There are currently no funds available for public financial assistance. There are only funds to administer existing financial assistance agreements for this program.

Range and Average of Financial Assistance:

Range: \$0 to \$ 320,029

Average: \$ 106,676.

TAFS Codes:

17-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal year 2016 through 2018: Only assistance agreements that pertain to the completion of existing projects have been awarded in recent years, and only as funding is available, however passage of the Lake Tahoe Restoration Act of 2016 may provide future appropriations. Program accomplishments for open agreements with State and local governmental entities for activities associated with the EIP at Lake Tahoe include wetland (river, stream, marsh) restoration planning, implementation, and monitoring. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS.

This document may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

None. Rosemary Stefani, Bureau of Reclamation, 2800 Cottage Way (Mail Code 152), Sacramento, CA 95825. (916) 978-5045.

Headquarters Office:

Rosemary Stefani Bureau of Reclamation, 2800 Cottage Way, Sacramento, CA 95825, Sacramento, California 95825 Phone: (916) 978-5045

Website Address:

<http://www.usbr.gov/mp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Fiscal year 2016 through 2018: Grants and cooperative agreements with entities for wetland planning, construction, and monitoring of stream environment zone (SEZ) restoration of Rosewood Creek on the Nevada side of Lake Tahoe as well as Upper Truckee River and Marsh and Angora Creek, a tributary to the Upper Truckee River, on the California side. These and other previously funded projects will provide incremental progress towards meeting the environmental threshold goals of the EIP. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used for selecting a recipient are based on a balanced and informed review of a technical proposal, budget proposal, and relevancy to program objectives. The specific evaluation criteria for each project will be included in the funding announcement posted on www.grants.gov.

15.544 PLATTE RIVER RECOVERY IMPLEMENTATION

(PRRIP)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Section 515 of the Consolidated Natural Resources Act of 2008 (Public Law 110-229).

OBJECTIVES:

Implementation of the Platte River Recovery Program Cooperative Agreement which implements certain aspects of the U.S. Fish and Wildlife Services recovery plans for four target species (interior least tern, whooping crane, piping plover and pallid sturgeon) listed as threatened or endangered pursuant to the Endangered Species Act.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

To be used for projects to implement the PRRIP. For further information, please contact the regional office.

Applicant Eligibility:

Legislation authorizes the agreement. The term Agreement means the Platte River Recovery Implementation Program Cooperative Agreement entered into by the Governors of the States of Wyoming, Nebraska, and Colorado and the Secretary.

Beneficiary Eligibility:

General public, irrigation and/or water districts, State and local entities, farmers and ranchers, agricultural producers, property owners, municipal water users, and power users.

Credentials/Documentation:

None. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

None. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. The recipient submits annual work plans and budgets.

Award Procedure:

The cooperative agreement is modified to implement annual work plan and reserve additional funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%. Per authorizing legislation, the States of Colorado, Nebraska, and Wyoming shall contribute not less than 50% of the total contributions necessary to carry out the PRRIP.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance is set forth in the current cooperative agreement. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF 425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF 425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$17,335,610; FY 17 est \$19,522,000; and FY 18 est \$12,959,000

Range and Average of Financial Assistance:

Range \$1,000,000 to \$22,000,000

Average \$16,605,536.00.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program accomplishments in Fiscal Year 2015 and Fiscal Year 2016 includes: continued implementation of the PRRIP Land, Water, and Adaptive Management Plans; an interest in over 10,000 acres of land has been obtained for habitat through various purchase and lease agreements; PRRIP monitoring protocols for species and habitat continue to be implemented on an annual basis; continued acquisition and management of water for the benefit of target species; completed work on habitat analysis; and completed projects that enhance/improve habitat for the benefit of the target species. The Program has completed one cycle of the Adaptive Management process related to terms and plover this year and an alternative has been selected to implement another cycle of Adaptive Management. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Bureau of Reclamation, Wyoming Area Office, P.O. Box 1630, Mills, WY 82644.

Headquarters Office:

John Lawson, Bureau of Reclamation, Great Plains Region, P.O. Box 36900, Billings, Montana 59107-6900 Phone: (307) 261-5671 Fax: (307) 261-5683.

Website Address:

<http://www.platteriverprogram.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Examples of funded projects include: participating in invasive species management and biomass clearing project to improve channel capacity; implementing projects to increase North Platte River channel capacity downstream of Lake McConaughy; PRRIP Database management; whooping crane stopover site evaluations study; FSM Proof of Concept studies; the continuation of a public access partnership with the Nebraska Game & Parks Commission to allow for limited public access to Program owned lands; and continued funding the implementation of aspects of land, water, and adaptive management plans, including habitat restoration and species monitoring activities. The Program is also continuing to evaluate and implement water projects and water leases which will provide water for the benefit of threatened and endangered species. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

No new proposals are accepted at this time. Currently all projects are funded through a long-term cooperative agreement with the Nebraska Community Foundation.

15.545 BUNKER HILL GROUNDWATER BASIN, RIVERSIDE-CORONA FEEDER

Riverside Corona Feeder

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Section 9112, P.L. 111-11.

OBJECTIVES:

Section 9112 of Public Law 111-11 directs the Secretary of the Interior, acting through the Commissioner, to participate in the planning, design, and construction of the Riverside-Corona Feeder Project. Section 9112 authorizes the Secretary to provide up to 25 percent of the total cost of the project, not to exceed \$26 million.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This authority is restricted to the planning, design, and construction of the Riverside-Corona Feeder Project.

Applicant Eligibility:

The Secretary is authorized to cooperate with the Western Municipal Water District, Riverside County, California, in the planning, design, and construction of the Riverside-Corona Feeder Project.

Beneficiary Eligibility:

Water users serviced by the Western Municipal Water District.

Credentials/Documentation:

Funds for planning, design, and construction of the Riverside-Corona Feeder will not be disbursed until a grant or cooperative agreement is executed. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental compliance for this program is complete. The environmental impact statement has been finalized and the Record of Decision was signed on January 15, 2016. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding provided for the Riverside-Corona Feeder is mandatory funding.

Award Procedure:

Reclamation will work with the Western Municipal Water District to negotiate grants or cooperative agreements for one or more phases of the project.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Continuation of funding from one fiscal year to the next to complete one or more phases of the project is dependent on federal appropriations for the project.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. In accordance with Section 9112 of Public Law 111-11, Reclamation can pay up to 25 percent of the costs of planning, designing, and construction the project, not to exceed a total of \$26 million. The Western Municipal Water District must pay all operation, maintenance, and replacement costs.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are no time restrictions placed on the use the funds and the funds have generally been made available periodically throughout year on a reimbursable basis. A schedule will be planned between Reclamation and the Western Municipal Water District when the cost sharing agreement is negotiated. However, this schedule can be impacted by the availability of funds during the year. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit based on the frequency determined in the financial assistance agreement Program Performance Reports, a final Program Performance Report, and specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports based on the

frequency determined in the financial assistance agreement to submit the SF-425, Federal Financial Reports and a final SF-425. In addition to this requirement and as a result of the U.S. Department of the Interior Office of the Inspector General, Survey Report No 97-I-874 (dated January 13, 1997), Reclamation has instituted a close-out expenditure review and site-visit requirement. This expenditure review is a site visit conducted by Reclamation staff, consisting generally of the Grants Officer Technical Representative (GOTR) and other representative(s) of the Grants Officer with an audit background (usually personnel from the region's finance section). This review is required prior to final closeout for all construction projects.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition to this requirement and as a result of the U.S. Department of the Interior Office of Inspector General, Survey Report No 97-I-874 (dated January 13, 1997), Reclamation has instituted a close-out expenditure review and site-visit requirement. This expenditure review and site-visit requirement. This expenditure review is a site visit conducted by Reclamation staff, consisting generally of the Grants Officer Technical Representative (GOTR) and other representative(s) of the Grants Officer with an audit background (usually personnel from the region's finance section). This review is required prior to final closeout for all construction projects.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 16 \$185,000; FY 17 est \$0; and FY 18 est \$0 - No FY2014 new obligations, but work continues on prior year financial assistance agreements.

Range and Average of Financial Assistance:

Range: \$ 0 to \$ 0

Average: \$ 0.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Notice of availability of the final environmental impact statement was published in the Federal Register by Reclamation on June 12, 2013 and by EPA on June 21, 2013. On August 20, 2014, the Western Municipal Water District Board of Directors approved a contract for the design of the La Sierra Pipeline, the first component of the Project planned for construction. The Record of Decision was signed on January 15, 2016 to complete the NEPA process. Grant Agreement R16AP00023 was executed on September 9, 2016 to provide funds for the La Sierra Pipeline, the first component of the project to be constructed. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Bureau of Reclamation, Southern California Area Office, 27708 Jefferson Ave., Suite 202, Temecula, CA 92590. Telephone (951)695-5310.

Headquarters Office:

Dean Marrone, Bureau of Reclamation, Office of Policy and Administration, Mail Code: 84-51000, P.O. Box 25007, Denver Federal Center, , Denver, Colorado 80225 Email: dmarrone@usbr.gov Phone: (303) 445-3577 Fax: (303)445-6464

Website Address:

<http://www.usbr.gov/lc/social/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Development of draft and final EIS/SIER; and project planning, right of way support, and design and construction of the La Sierra Pipeline. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.546 YOUTH CONSERVATION

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Lands Corps Act of 1993 (16 U.S.C. 1721-1730) and Youth Conservation Corps Act of 1970 (16 U.S.C. 1701-07)

FOR RECOVERY ACT:

American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5), 16 U.S.C 1701-07.

OBJECTIVES:

Promote and stimulate public purposes such as education, job training, development of responsible citizenship, productive community involvement, and furthering the understanding and appreciation of natural and cultural resources through the involvement of local youth and young adults in the care and enhancement of public resources.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Not applicable. For further information, please contact the regional office.

Applicant Eligibility:

Eligibility for conservation activities is limited to qualified youth or conservation corps that are able to involve youth ages 15-25 in the 17 states west of the Mississippi river. Eligibility for the Intern Program is limited to non-profit organizations other than institutions of higher education that are capable of recruiting qualified youth interns for positions located at Reclamation offices and facilities. A qualified service and conservation corps means any program established by a State, or local government, by the governing body of any Indian tribe, or by a nonprofit organization that 1) is capable of offering meaningful, full-time, productive work for individuals between the ages of 16 and 30, inclusive, in a natural or cultural resource setting; 2) gives participants a mix of work experience, basic and life skills, education, training and support services; and 3) provides participants with the opportunity to develop citizenship values and skills through service to their community and the United States.

Beneficiary Eligibility:

Youth and local communities that benefit from conservation improvements and involvement in youth programs activities on Reclamation-owned lands and facilities.

Credentials/Documentation:

Not applicable. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental compliance for this program by the Recipient is not required. Reclamation will address all environmental compliance requirements prior to the start of activities funded under this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligible and compliance with the requirements stated in the program funding opportunity announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding opportunity announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. This program requires a nonfederal cost-share, through cash or in-kind services, of at least 25% of the total project costs. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Master Cooperative Agreements are generally awarded for one to five years, with a reassessment of program accomplishment on an annual basis. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF 425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF 425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records,

supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$888,846; FY 17 est \$350,000; and FY 18 est \$350,000

Range and Average of Financial Assistance:

Range: \$4,000 to \$500,000

Average: \$513,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In 2015-16, the program accomplishments include providing work opportunities for over 264 diverse youth in cooperative efforts in cultural and natural resource conservation related to Reclamation projects, including trail building, habitat restoration of native plant and aquatic species; removing invasive plants; re-vegetation; and fuels reduction and creation of fuel breaks; installing wildlife friendly fencing; habitat improvement erosion control and wetland restoration; collecting and/or monitoring stream flows, snow pack, or soil moisture; implementing specific water conservation projects (i.e., flumes), and removal, repair, and reconstruction of boardwalks, railings, and campsite concrete pads. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

.2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

None. None.

Headquarters Office:

Cathy Hulick Bureau of Reclamation, Denver Office, P.O. Box 25007, Denver, Colorado 80255 Email: chulick@usbr.gov Phone: (303)445-2674

Website Address:

<http://www.usbr.gov>

RELATED PROGRAMS:

15.931 Conservation Activities by Youth Service Organizations

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Funded projects include National Fish and Wildlife Foundation Americas Great Outdoors grants, AmeriCorps Environmental Summer Stewards, Heart Butte and Jamestown Reservoir Boundary Fence and Habitat Improvement Project, Vegetation Fuels Management, Drought Resiliency Efforts at Various Watersheds in Montana, Trails Management, Water Quality and Hydrology Student Interns and Engineering Student Interns. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The Corps shall consist of individuals between the ages of 16 and 30. The Secretary is authorized to enter into contracts and cooperative agreements with any qualified youth or conservation corps to perform appropriate conservation projects. The Secretary is also authorized to enter into cooperative agreements with nonprofit organizations (other than institutions of higher education) for the recruitment and placement of youth resource assistants (interns).

15.548 RECLAMATION RURAL WATER SUPPLY

Rural Water Program

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Reclamation Rural Water Supply Act of December 22, 2006 (Pub. L. 109-451, Title I, 120 Stat. 3346, 43 U.S.C. 2401, et seq.).

OBJECTIVES:

To provide the basic requirements and framework for conducting water and related resource feasibility studies in order to formulate, evaluate, and select project plans for implementation. The benefits will include improved consistency in the content and quality of feasibility studies and reports prepared by or for Reclamation.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Construction assistance not available unless authorized and funds appropriated by Congress. Payment terms vary by agreement awarded under this program.

Applicant Eligibility:

Eligible applicants include states and political subdivisions of states, such as departments, agencies, municipalities, counties, and other regional or local authorities; Indian tribes and tribal organizations; and entities created under state law that have water management or water delivery authority, such as irrigation or water districts, canal companies, water users associations, rural water associations or districts, joint powers authorities, and other qualifying entities; and any combination of the entities listed above. Applicants must be located in the 17 Western States as identified in the Reclamation Act of June 17, 1902, as amended.

Beneficiary Eligibility:

Eligible beneficiaries include small communities or group of small communities, including Indian tribes and tribal organizations. For the purpose of the Rural Water Supply Program, a small community is defined as having a population of no more than 50,000 people. More than one small community may be served by a rural water project if each small community has a population of 50,000 or less.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Grants, cooperative agreements, and technical assistance awards funded under the Rural Water Program will follow all applicable Federal rules, regulations, and agency specific guidelines.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Appraisal investigations: 180 business days;
Feasibility studies: 270 business days. .

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of

Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Appraisal investigations may generally be renewed on an annual basis, not to exceed a total of 2 years. Feasibility studies may generally be renewed on an annual basis, not to exceed a total of 5 years. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: In accordance with Sec. 105 & 106 of Public Law 109-451, Federal funding for appraisal investigations is 100 percent of the total cost of the investigation, up to \$200,000. If the cost of conducting an appraisal investigation is more than \$200,000, the non-Federal share of the costs in excess of \$200,000 shall be 50 percent. With some exceptions, the Federal share of the cost to conduct a feasibility study shall not exceed 50%. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Appraisal investigations must be completed in 2 years. Feasibility studies are not limited but generally take 2-3 years to complete. There is no specific period of time that the assistance is available. See the following for information on how assistance is awarded/released: Fund appraisal investigations and feasibility studies are awarded on an annual basis. It depends on how much is requested during the fiscal year and how long it will take to conduct the study.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the Program Performance Reports on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the SF-425, Federal Financial Report; on an annual basis. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients shall maintain records in accordance with 2 CFR 200.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - In Fiscal Year (FY) 2014 no new agreements were awarded, however work continues on six financial assistance agreements awarded in FY 2011 and FY 2012.

Range and Average of Financial Assistance:

Range \$0
Average \$0.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year (FY) 2016 no new agreements were awarded, and closeout procedures are underway for prior year agreements. Fiscal Year 2017: No available information. Fiscal Year 2018: No available information.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Bureau of Reclamation, Water and Environmental Resources Division
Bldg. 67
PO Box 25007 (84-55000)
Denver, CO 80225-0007.

Headquarters Office:

Bureau of Reclamation Water and Environmental Resources Division
Bldg. 67
PO Box 25007 (84-55000), Denver, Colorado 80225-0007 Phone:
(303)445-2711

Website Address:

<http://www.usbr.gov/ruralwater/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Examples of appraisal investigations include South Sioux City Investigation, City of Flagstaff Investigation, Northern Cheyenne Tribe Investigation, County of McKinley Investigation, Sisseton-Wahpeton Oyate Investigation, and Central Montana Regional Water Authority Investigation. Fiscal Year 2017: No available information. Fiscal Year 2018: No available information.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov and in supplemental instructions posted on the program website at www.usbr.gov/ruralwater/.

15.549 INTEGRATED REGIONAL WATER PLAN FOR THE CENTRAL VALLEY OF CALIFORNIA

California Water Institute Regional Water Plan

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 111-11, Title X Part II, Section 10101, Omnibus Public Land Management Act, 2009; Public Law 111-85, Energy and Water Development Appropriations Act, 2010.

OBJECTIVES:

The California Water Institute at Fresno State University (Institute) has been authorized under federal law, acting through the United States Bureau of Reclamation (Reclamation) to conduct a study regarding the coordination and integration of sub-regional integrated regional water management plans into a unified Integrated Regional Water Management Plan for the eight counties and two hydrologic basins that encompass the San Joaquin Valley that would address issues related to: water quality; water supply (both surface, ground water banking, and brackish water desalination); water conveyance; water reliability; water conservation and efficient use (by distribution systems and by end users); flood control; water resource-related environmental enhancement; and population growth.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Integrated Regional Water Management Plan developed for the two hydrologic basins that encompass the San Joaquin Valley shall serve as a guide for the counties in the study area described in Section 10101(a)(2) to use as a mechanism to address and solve long-term water needs in a sustainable and equitable manner.

Applicant Eligibility:

California Water Institute at California State University, Fresno. Education and Research Center.

Beneficiary Eligibility:

California Water Institute and the General Public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Projects, Standard Form 424B, Assurances for Non-Construction Projects, Standard Form 424C, Budget Information for Construction Projects, Standard Form 424D, Assurances for Construction Projects, Standard Form LLL, Disclosure of Lobbying Activities, and written proposals should be submitted via hard-copy, directly to the Program Manager and include: a title, background, objectives, performance schedule, points of contact, most current audit and indirect cost documentation, corrective action plan if needed, any other documentation that is directly associated with the Projects. Funding is authorized, mandatory, so posting on grants.gov is not necessary.

Award Procedure:

For Grant Agreements awarded as a component of the eligible beneficiary (SCCAO) and project, approved by the Secretary of the Interior, and authorized under Public Law 111-85 and subsequent amendments, all Federal rules, regulations, and agency specific guidelines for awarded procedures will be followed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after receipt of the application forms and proposal submissions, and rely on the passing of a fiscal year appropriations bill for the availability of authorized funds.

Appeals:

None. Final award decisions are not subject to appeal; however, upon request, the Bureau of Reclamation will provide applicants with information on why their proposals were not selected for award.

Renewals:

When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to the availability of appropriated funds. The SCCAO must submit written requests for renewals or extensions directly to the Program Manager for approval, at least 45 days prior to the expiration of the specific projects agreement. Once the term of the project is expired, no renewal or extension will be approved, due to non-compliance with the terms and conditions of the specific projects agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

April 29, 2016. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the Program Performance Reports on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the SF-425, Federal Financial Report on an annual basis. Upon completion of the agreement, recipients shall

submit a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-300.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - No funding budgeted per se for this CFDA, but additional write-in funding possible. Leave CFDA open for FY2014.

Range and Average of Financial Assistance:

Range \$N/A
Average \$N/A.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In fiscal Year 2016 Stanislaus and Merced counties continued to work diligently to begin implementation of their groundwater ordinances. California Water Institute continued to participate in various discussions supporting the new state groundwater law. A final report covering lessons learned and recommendations on how to improve integrated water management was completed and submitted to Reclamation in May 2016. This grant was closed out in September 2016. No further work is to be performed under this agreement. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Stacy Brown
South-Central California Area Office
U.S. Bureau of Reclamation
1243 "N" Street
Fresno, CA 93721
Office: (559) 487-5049
Email: sbrown@usbr.gov.

Headquarters Office:

Land Management Division U.S. Bureau of Reclamation
1243 "N" Street, Fresno, California 93721 Phone: (559) 487-5049

Website Address:

<http://www.usbr.gov/mp/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Funded projects included implementation of the Stanislaus County groundwater ordinance. Development of an additional groundwater ordinance for Merced county in response to subsidence issues near Highway 152. Fiscal Year 2017: No available information. Fiscal Year 2018: No available information.

CRITERIA FOR SELECTING PROPOSALS:

Study area was the San Joaquin River Hydrologic Region, as defined by DWR Bulletin 160-05 Volume 3 Chapter 7 & 8. Secretary of the Interior shall provide direct financial assistance to California Water Institute, per P.L. 111-11.

**15.550 FISHING EVENTS FOR DISADVANTAGED CHILDREN
FEDERAL AGENCY:**

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Take Pride in America (TPIA) Public Law 101-628 (16 U.S.C. 4601 et seq.) and the TPIA Delegation Agreement between the Department of the Interior and the Bureau of Reclamation dated September 22, 2008.

OBJECTIVES:

To provide opportunities for disabled and disadvantaged children to use and enjoy public waters and related lands; to assist Reclamation in meeting its goal to provide accessible programs, facilities and activities to create a positive outdoor experience for all citizens; to increase awareness of all the participants (parents/caregivers, sportsmen, partners, volunteers) to the capabilities of disabled and disadvantaged children; and to provide educational opportunities for disabled and disadvantaged children to learn more about fish and water as natural resources.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

TPIA has delegated authority to Reclamation to carry out a Program to instill in the public the importance of the appropriate use of and appreciation for Reclamation facilities. Eligible activities under the Delegation are limited to activities authorized under the TPIA. Such activities may include events designed to increase public awareness of recreational opportunities at Reclamation reservoirs, for physically challenged and disadvantaged children as well as other members of the public, and may also include financial assistance agreements to eligible entities for the purpose of carrying out the Program. For further information, please contact the regional office.

Applicant Eligibility:

Eligible applicants are State governments, County governments, City or townships governments, Special districts governments, Independent school districts, Public and State controlled institutions of higher education, Native American tribal governments (federally recognized), Public Housing Authorities/Indian Housing Authorities, Native American tribal organization (other than Federally recognized tribal governments) Non-Profits having a 501(c)(3) status with the Internal Revenue Service (IRS), other than institutions of higher education, Private institutions of higher education, Individuals, Small businesses.

Beneficiary Eligibility:

Disabled and disadvantaged children.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

For cooperative agreements awarded and funded through Public Law 101-628 (16 U.S.C. 4601 et seq.) and the TPIA Delegation Agreement between the Department of the Interior and the Bureau of Reclamation dated September 22, 2008, and subsequent amendments, all Federal rules, regulations, and agency specific guidelines for awarding procedures will be followed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

1-5 years. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit Program Performance Reports on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit SF-425, Federal Financial Report on an annual basis. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient.

Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-300.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$170,831; FY 17 est \$170,000; and FY 18 est \$170,000

Range and Average of Financial Assistance:

Range: \$50,000 - 118,000
Average: \$136,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program provided opportunities to 438 disabled and disadvantaged children who participated in 17 events during 2016. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Bureau of Reclamation
Wilson Orvis, Grants Officer
Email address: worvis@usbr.gov
Telephone number: 303-445-2444
Financial Assistance Services 84-27850
P.O. Box 25007, Denver Federal Center
Denver, CO 80225.

Headquarters Office:

Irene Hoiby Bureau of Reclamation
Financial Assistance Services 87-27850 P.O. Box 25007, Denver Federal Center, Denver, Colorado 80255 Email: IHoiby@usbr.gov Phone: (303)445-2025

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Fishing events for special needs children at 17 different Reclamation owned managed reservoirs servicing over 400 children. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.551 MADERA WATER SUPPLY ENHANCEMENT

Madera Irrigation District WSEP or Madera Ranch

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 111-11, Omnibus Public Land Management Act of 2009, Title X, Part II, Section 9102.

OBJECTIVES:

The Madera Water Supply Enhancement Project, a groundwater bank on the 10,842-acre Madera Ranch in Madera, California, owned, operated, maintained, and managed by the Madera Irrigation District that will plan, design, and construct recharge, recovery, and delivery systems to be able to store up to 250,000 acre-feet of water and recover up to 55,000 acre-feet of water per year.

This will increase storage capacity and supply reliability for the district.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

All final planning and design and the construction of the Madera Water Supply Enhancement Project (Project) authorized by Section 9102(c) shall be undertaken in accordance with a cooperative agreement between the Secretary of the Interior and the Madera Irrigation District for the Project. Such cooperative agreement shall set forth in a manner acceptable to the Secretary of the Interior and the Madera Irrigation District the responsibilities of the District for participating, which shall include: (1) engineering and design; (2) construction; (3) the administration of contracts pertaining to any of the foregoing. The authorization for this project sunsets on March 30, 2019.

Applicant Eligibility:

Madera Irrigation District.

Beneficiary Eligibility:

Madera Irrigation District and the General Public.

Credentials/Documentation:

Not applicable. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Projects, Standard Form 424B, Assurances for Non-Construction Projects, Standard Form 424C, Budget Information for Construction Projects, Standard Form 424D, Assurances for Construction Projects, Standard Form LLL, Disclosure of Lobbying Activities, and written proposal have been provided and includes: a title, background, objectives, performance schedule, points of contact, most current audit and indirect cost documentation, corrective action plan if needed, any other documentation that is directly associated with the Projects. Funding is authorized, mandatory, so posting on grants.gov was not necessary.

Award Procedure:

Reclamation worked with the Madera Irrigation District to negotiate a cooperative agreement for the various phases of the project. The cooperative agreement was executed by the Bureau of Reclamation on August 12, 2011.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Award time for each project phase varies depending on the type and complexity of the project.

Appeals:

None.

Renewals:

Continuation of funding from one fiscal year to the next to complete one or more phases of the project is dependent on federal appropriations for the project.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program requires Madera Irrigation District to pay 75% of the total cost of the project. P.L. 111-11 authorizes the Secretary of the Interior to reimburse the district for 25% of the costs they incur (minus Reclamations non-project costs), not to exceed \$22.5 million. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The initial estimated completion date of this project is 2016. This date may be extended due to delays in permitting. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded

under this program.

Reports:

In addition to what is stated in the cooperative agreement, recipients shall submit Program Performance Reports on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. In addition to what is stated in the cooperative agreement, recipients shall submit SF-425, Federal Financial Report on an annual basis. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Madera ID is scheduled to have a Single Audit in 2013.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Range is \$0 - 0

Average is \$0.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: As of 2016, no construction has taken place at this time as the permitting process has not been completed. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. South-Central California Area Office
Bureau of Reclamation
1243 N Street
Fresno, CA 93721
Office: (559)487-5116.

Headquarters Office:

Chief U.S. Bureau of Reclamation, 2800 Cottage Way
, Sacramento, California 95825 Phone: (916) 978-5148

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Madera Irrigation District Groundwater Banking Project.
Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are limited to the Madera Irrigation District. The scope of work must address and be responsive to the authorizing legislation and the appropriation ceiling.

15.552 NAVAJO-GALLUP WATER SUPPLY

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 111-11, Omnibus Public Land Management Act, Title X, Part III, Section 10602 (a).

OBJECTIVES:

To provide financial assistance to design and construct portions of the Navajo-Gallup Water Supply Project (Project).

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Project is the cornerstone of San Juan River Basin in New Mexico Navajo Nation Water Rights Settlement Agreement. In general, financial assistance may be provided to profit or non-profit entities to design and construct portions of the Project. Specifically, financial assistance may be provided to the Navajo Nation (Nation) and City of Gallup, New Mexico (City) to design and construct portions of the Project to provide water deliveries to Navajo and City water distribution systems. Financial assistance may be specifically directed to the Nation and City because they are uniquely qualified to perform the design and construction work; will own and operate Project facilities; and, legislative authority exists to provide this financial assistance to the Nation and City.

Applicant Eligibility:

Native American Organization (Navajo Nation)
Local governments (City of Gallup New Mexico)
Profit organization(s)
Private nonprofit institution(s)/organization(s).

Beneficiary Eligibility:

Federally Recognized Indian Tribal Government (Navajo Nation)
Local Governments (City of Gallup New Mexico).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

A Planning Report/Final Environmental Impact Statement/Record of Decision has been completed. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Reclamation has awarded, on a non-competitive basis, three (3) agreements one (1) with the City of Gallup and two (2) with the Navajo Nation.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 90 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements. All Project construction costs allocated to the Nation are nonreimbursable. There will be no Nation matching or cost share associated with this financial assistance. See Public Law 111-11, Omnibus Public Land Management Act, Title X, Part III, Section 10604 (a) (3).

The City is obligated to pay, 35 percent of their allocable Project construction costs based on their ability to pay. While the authorizing legislation does not require non-federal cost-share for costs incurred under the financial assistance agreement, the City has obtained a minimum of \$12,142,998 (as of September 30, 2016) in non-federal funding which will be used towards carrying out the tasks identified in the agreement. The exact amount of additional and total non-federal funding will not be known until the Project is complete. See Public Law 111-11, Omnibus Public Land Management Act, Title X, Part III, Section 10604 (b) (3).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

2010 to 2024. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200.425 Audit Services nonfederal entities that expend \$750,000 or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$499,985; FY 17 est \$3,106,336; and FY 18 est \$700,000

Range and Average of Financial Assistance:

Range: \$499,985 - \$32,409,679

Average: \$16,787,494 Average from FY11-FY16.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Under the agreement with the City, construction on Reach 27.5 started in FY2014 (Nov 2013) and was substantially completed by December 2014. Final inspection occurred in April 2015, and final payments/reconciliations are expected by Spring 2017. Construction on Reach 13 started in FY2014 (July 2014) and was substantially completed as of August 2016. Final inspection is expected by March/April 2017. Construction on Reach 27.6 started in October 2016, and was approx. 19% complete as of end of December 2016. Substantial completion is expected by February 2018. Under the agreement with the Nation, construction on Reaches 24.1 and 25 started in FY2015 (January 2015) and was 99% completed as of end of December 2016. Final inspection is expected by March/April 2017. Final design work for Reach 24.1- (JAN) was completed in Fall 2016 and construction is expected to start late Spring/Summer 2017.

Environmental and cultural resources compliance continues on both agreements with the City and Nation, with some compliance concurrence delays affecting construction schedules..

An agreement for development of Preliminary Engineering Reports (PERs) with the Navajo Nation was executed in FY2015 (May 2015) in the amount of \$499,998, and work was approx. 35% complete as of end of December 2016. Additional scope under this agreement for a 2nd grouping of PERs work (approx. \$499,985) was executed via Mod #1 in September 2016. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Upper Colorado Region, Four Corner Construction Office, Pat Page (COTR), ppage@usbr.gov, 505-324-5027.

Headquarters Office:

Barry Longwell, FCCO 100, Four Corners Construction Office, 103 Everett Street, Durango, Colorado 81303 Email: blongwell@usbr.gov Phone: (505) 325-1794 Fax: (505) 326-4388.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Prior year obligations to the City's agreement provided funding for Reaches 27.5, 13, 27.6, 27.7, 27.9, 27.10, 27.11, 27.12 and R27.13. In FY2015-FY2016, reimbursements were paid for work costs related to environmental, cultural resources clearances, and final design work, as well as construction contract costs for Reaches 27.6, 27.513.

Prior year obligations, to the Navajo Nations agreement provided funding for Reaches 24.1, 24.1 (JAN), 24.1 (LYB), 25 and 26. In FY2015-FY2016, reimbursements were paid for costs related to final design work, environmental and cultural resources clearance, as well as construction contract costs for Reaches 24.1 and 25. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.553 EASTERN NEW MEXICO RURAL WATER SYSTEM (ENMRWS)**FEDERAL AGENCY:**

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 111-11, Omnibus Public Land Management Act of 2009, Title IX, Section 9103.

OBJECTIVES:

Provide potable surface water to communities in Eastern New Mexico.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Project will be constructed, owned, operated, and maintained by the Eastern New Mexico Water Utility Authority (Authority), the Projects local sponsor.

Applicant Eligibility:

Eastern New Mexico Water Utility Authority (Authority) was formed for the sole purpose of administration of this new water supply project for the surrounding communities.

Beneficiary Eligibility:

The Project would pipe 16,450 acre-feet of surface water per year from Ute Reservoir to the eastern New Mexico municipalities of Clovis, Elida, Grady, Melrose, Portales, and Texico currently relying on the declining quantity and quality of the Ogallala Aquifer. Curry County, Roosevelt County, and Cannon Air Force Base (CAFB) are also beneficiaries of the Project. The present population of the Project service area is about 60,000 people.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

In December 2010, the U.S. Fish and Wildlife Service issued a concurrence memorandum which completes the Endangered Species Act Section 7 consultation. In January 2011, a Finding of No Significant Impact was signed, thus completing National Environmental Policy Act compliance. Also in January 2011, a Memorandum of Agreement was executed between the Authority and Reclamation describing roles and responsibilities during construction of the Project. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamations Upper Colorado Region finalizes the modifications. Responsibilities for needs assessments, feasibility and environmental studies, design, conservation measures and administration are to be included in agreements negotiated under this authority.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 75%. The Federal share of the total cost of any activity or construction carried out using amounts made available shall be not more than 75 percent of the total cost of the Eastern New Mexico Rural Water System. An amount not greater than \$327,000,000 (January 1, 2007 price levels) is authorized to be appropriated for non-reimbursable financial assistance to plan, design, and construct the Project.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

September 30, 2021. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit Program Performance Reports on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. No cash reports are required. No progress reports are required. Unless otherwise stated in the agreement document, recipients shall submit the SF-425 Federal Financial Report on an annual basis. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$2,280,000; FY 17 est \$1,000,000; and FY 18 est \$1,875,000

Range and Average of Financial Assistance:

Range: \$ 200,000 - \$2,414,100
Average: \$1,121,367.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The intake structure at Ute Reservoir is constructed. The Phase 1 initial project reached substantial completion in December 2014. At the end of FY15, Reclamation had reimbursed the Authority a total of \$7.3 million. FY15 funding of \$750,000 (originally for the Elida Pump Station and works) was combined with FY16 appropriations in an agreement modification to initiate the reprioritized Phase 2 of the project, construction of Finished Water (FW) 2B and Cannon Lateral. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Albuquerque Area Office: Mr. Mathew OGrady, P.E. , Address: 555 Broadway NE, Albuquerque, NM 87102, Telephone: 505.462.3657, Email: mogrady@usbr.gov.

Headquarters Office:

Mr. John Irizarry Albuquerque Area Office: 555 Broadway Blvd NE, . Albuquerque, New Mexico 87102 Email: jirizarrynazario@usbr.gov Phone: 505.462.3655

Website Address:

<http://www.enmwua.com/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Phase 1 Intake Structure at Ute Dam is the headworks for the final project that will convey 16,450 acre-feet of water per year from Ute Reservoir to the eastern New Mexico municipalities of Clovis, Elida, Grady, Melrose, Portales, and Texico, and will also serve other portions of Curry County, Roosevelt County and Cannon Air Force Base. Phase 2 will include new interim groundwater wells, water transmission pipelines, turn-outs and storage tanks for the FW2B segment and Cannon Lateral, which will serve CAFB. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.554 COOPERATIVE WATERSHED MANAGEMENT (CWMP)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 111-11, Omnibus Public Land Management Act of 2009, Title VI, Subtitle A, Sec. 6001-6003 (16 USC 1015 et seq.).

OBJECTIVES:

The purpose of the Cooperative Watershed Management Program is to enhance water conservation, uses; improve water quality; improve ecological resiliency of a river or stream; and to reduce conflicts over water at the watershed level by supporting the formation of watershed groups to develop local solutions to address water management issues.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Development of Watershed Groups (Phase I): In 2012, Reclamation began providing funding for the establishment or further development of watershed groups. A watershed group is a self-sustaining, non-regulatory, consensus-based group that is composed of a diverse array of stakeholders, which may include, but is not limited to, private property owners, non-profit organizations, Federal, State, or local agencies, and Tribes. As part of Phase I activities, applicants may use funding to develop bylaws, a mission statement, watershed management project concepts, and a watershed restoration plan. For Phase I projects, Reclamation will award a successful applicant up to \$50,000 per year for a period of up to two years with no non-Federal cost-share required.

Implementation of Watershed Management Projects (Phase II): Starting in 2017, Reclamation will provide cost-shared financial assistance to watershed groups to implement watershed management projects (Phase II). These on-the-ground projects, collaboratively developed by members of a watershed group, will address critical water supply needs, water quality, and ecological resiliency, helping water users meet competing demands and avoid conflicts over water. Program criteria will prioritize projects that contribute to the ecological resiliency of the watershed. Reclamation will award up to \$100,000 per project over a two-year period. For Phase II projects, applicants must contribute at least 50% of the total project costs.

Applicant Eligibility:

Development of Watershed Groups (Phase I): Applicants eligible to receive financial assistance through Phase I of the Cooperative Watershed Management Program include:
● States;
● Indian tribes;

● Local and special districts; (e.g., irrigation and water districts, county soil conservation districts, etc.);

● Local governmental entities; and non-profit organizations, including watershed groups.

To be eligible, applicants must also significantly affect or be affected by the quality or quantity of water in a watershed; be capable of promoting the sustainable use of water resources within the given watershed area; and be located within the 17 western United States or Territories as identified in the Reclamation Act of June 17, 1902, as amended and supplemented.

Implementation of Watershed Management Projects (Phase II): An eligible applicant is an established watershed group as defined in the Section 6001(5) of the Cooperative Watershed Management Act (Act) that has met the requirements outlined in Section 6002(c)(2)(A)(iv) of the Act (see Section A.3. Statutory Authority for full citation). In summary, the applicant must be a grassroots, non-regulatory entity that addresses water availability and quality issues within the relevant watershed, represents a diverse group of stakeholders, and is capable of promoting the sustainable use of water resources in the watershed. The applicant must also have approved articles of incorporation, bylaws, and a mission statement; hold regular meetings; and have developed a restoration plan and project concepts for the watershed (see Section D.2.2.2 Documentation in Support of Applicant Eligibility). Applicants must also be located in the Western United States or Territories as identified in the Reclamation Act of June 17, 1902, as amended and supplemented.

Beneficiary Eligibility:

The Cooperative Watershed Management Program benefits a diverse array of stakeholders, which may include but is not limited to, private property owners, Federal, State, or local agencies, and Indian tribes that are located in the 17 western States identified in the Act of June 17, 1902, as amended, and have authority with respect to the watershed.

Credentials/Documentation:

(1) A detailed written technical proposal is required, including background data regarding the applicant, such as: location; diversity, and the geographic scope of the proposed or existing watershed group; a description of the watershed, including the source of water supply, the water rights involved, current water uses (i.e., agricultural, municipal, domestic, instream uses or industrial), and the types of water issues faced in the affected watershed (e.g., shortfalls in water supply, endangered species concerns, environmental issues, or other issues in the watershed) an overview of the proposed project; an estimated project schedule that shows the stages and duration of the proposed work, including major tasks, milestones, and dates for the project upon entering into a financial assistance agreement; and describing data responsive to the evaluation criteria listed in the Funding Opportunity Announcement (FOA). (2) A detailed project cost proposal, including a budget with the annual estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, an estimate of annual operation & maintenance costs, and the value of in-kind contributions of goods and services. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. FOA for this program are posted on www.grants.gov. Submission of a complete technical proposal and budget is mandatory by the due date identified in the Announcement. In addition, applicants must submit all applicable SF 424 forms referenced in the Announcement.

Award Procedure:

Proposals received in response to the FOA are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the

evaluation criteria stated in the FOA. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The review, scoring and FOA of the recipients typically take three to five months.

Appeals:

Upon request, Reclamation will provide all applicants with information on why their proposals were not selected.

Renewals:

Projects which requested funding for one year only are fully funded at time of award. For projects requesting two consecutive years of funding, second-year may be awarded to applicants that demonstrate sufficient progress throughout the year, contingent on the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Development of Watershed Groups (Phase I): A non-Federal cost-share is not required for Phase I activities.

Implementation of Watershed Management Projects (Phase II): A 50% non-Federal cost-share is required for watershed management projects. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Proposed activities should be completed within 2 years from the project start date. Applicants seeking funding for activities requiring more than 2 years will be considered if you can demonstrate that there will be measureable accomplishments each year. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Grant recipients shall submit the following reports on a semi-annual basis: (1) SF-425, Federal Financial Report; and (2) Semi-Annual Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Prior to receiving second-year funding, all program participants will submit a report at 270 days that demonstrates achieved milestones for review and concurrence; and (3) Final Program Performance Report that demonstrates the applicants successful completion of the activities described in the financial assistance agreement. No cash reports are required. No progress reports are required. Grant recipients shall submit the SF-425, Federal Financial Report on a semi-annual basis. Upon completion of the agreement, a final SF-425 shall be submitted. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$876,566; FY 17 est \$1,750,000; and FY 18 est \$1,500,000

Range and Average of Financial Assistance:

Range: \$20,000 - \$100,000

Average: \$80,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Since 2012, Reclamation has awarded a total of \$2.4 million in funding for the establishment or further development of 30 watershed groups in nine states. In FY 2017, Reclamation is initiating Phase II of the CWMP, providing cost shared financial assistance for watershed management projects. For descriptions of previously funded projects, please visit our website at: <http://www.usbr.gov/WaterSMART/cwmp/index.html> . Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. <http://www.usbr.gov/main/regions.html>.

Headquarters Office:

Avra Morgan Bureau of Reclamation, Office of Program & Policy Services, Mail Code: 84-51000, P.O. Box 25007, Denver Federal Center, Denver, Colorado 80225 Email: aomorgan@usbr.gov Phone: (303)445-2906

Website Address:

<http://www.usbr.gov/WaterSMART/cwmp/index.html>

RELATED PROGRAMS:

15.507 Water 2025

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Friends of the Teton River located in Teton County will expand a current watershed group to form the Teton Advisory Council to develop a restoration plan that identifies, prioritizes and endorses a specific series of watershed restoration and water conservation activities to improve water quality and ecological resiliency of the Teton River watershed.

The Land Trust of the Treasure Valley will establish the Boise River Enhancement Network in collaboration with Trout Unlimited, Ecosystem Sciences Foundation, Idaho Rivers United and the South Boise Water Company. The Network will address water quality issues, endangered species and loss of natural habitats in the lower Boise River watershed and will work with stakeholders to increase opportunities for public and private enhancement project collaboration. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the FOA posted on www.grants.gov. All applications will be evaluated using criteria that give priority based on the diversity and geographic scope of the proposed watershed group; the critical nature of the issues to be addressed by the group; whether the proposed group (or its proposed activities) is aligned with a state water plan; whether the amount of funding requested is reasonable for the work proposed; and whether the proposed schedule and milestones are reasonable and appropriate.

15.555 SAN JOAQUIN RIVER RESTORATION

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 111-11, Omnibus Public Land Management Act of 2009, Title X,

Subtitle A, Part I, San Joaquin River Restoration Settlement Act (123 Stat. 1349), and Part III, Friant Division Improvements.

OBJECTIVES:

The objective of the San Joaquin River Restoration Program (SJRRP) is to implement the Stipulation of Settlement in NRDC, et al., v. Rodgers, et al., consistent with and as supplement by, the San Joaquin River Restoration Settlement Act. The Settlement identifies the following two goals:

- (1) Restoration Goal - Restore and maintain fish populations in good condition in the main stem of the San Joaquin River below Friant Dam to the confluence of the Merced River, including naturally-reproducing and self-sustaining populations of salmon and other fish; and,
- (2) Water Management Goal - Reduce or avoid adverse water supply impacts to all of the Friant Division long-term contractors that may result from the Interim Flows and Restoration Flows provided for in this Settlement.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance can be used only to implement the Settlement consistent with and as supplement by, the San Joaquin River Restoration Settlement Act. It is anticipated that all activities funded will be Project Grants or Financial Assistance that will relate to implementing specific activities in the Settlement and the San Joaquin River Restoration Settlement Act or specific monitoring, mitigation or other commitments that are necessary to implement the Settlement or the San Joaquin River Restoration Settlement Act (such as project implementation or mitigation commitments in environmental compliance documents prepared for SJRRP actions, including the SJRRP's Program Environmental Impact Statement and Record of Decision signed in September 2012).

Applicant Eligibility:

State, tribal, and local governmental agencies, and with private parties, including agreements related to construction, improvement, and operation and maintenance of facilities, subject to any terms and conditions that the Secretary deems necessary to achieve the purposes of the Settlement.

Beneficiary Eligibility:

State, tribal, and local governmental agencies, private parties, and the general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. Federal, state, or local permits and approvals for the program may be required depending on the scope of the specific project funded. This may result in the need for the issuance of the required permits and approvals prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened by Bureau of Reclamation personnel for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the issuing office. Qualified experts from the Bureau of Reclamation will review applications against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final

selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Projects undertaken under Section 10004 have no matching requirements. Projects undertaken under Section 10202 require a 50 percent share of the costs associated with planning, design, and environmental compliance activities associated with such a project and a 50 percent share of the costs associated with construction of any such project. For these projects, all operation, maintenance, and replacement and rehabilitation costs of such projects shall be the responsibility of the local agency. The Secretary shall not provide funding for any operation, maintenance, or replacement and rehabilitation costs of projects funded.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit Program Performance Reports on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. No cash reports are required. No progress reports are required. Unless otherwise stated in the agreement document, recipients shall submit the SF-425, Federal Financial Report on an annual basis. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission

of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-5537-0-2-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$6,786,479; FY 17 est \$2,000,000; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

Range: \$ 1,485,818.78

Average: \$ 754,347.71.

TAFS Codes:

14-5537.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: All of the project funded will work towards the implementation of the Stipulation of Settlement in NRDC, et al., v. Rodgers, et al., consistent with and as supplement by, the San Joaquin River Restoration Settlement Act. These projects will contribute towards meeting the Restoration and Water Management goals of the Settlement. The SJRRP focuses on restoring flows and fish, including Chinook salmon, to the San Joaquin River. These efforts will have wide reaching benefits, including: restoring riparian habitat to more than 30 miles of the San Joaquin River; restoring ecosystem function and aquatic, riparian, and upland species habitats along the river; improving river channel capacities and flood control operations; and reconnecting the upper San Joaquin River to the Sacramento-San Joaquin Delta. Beyond the scope of the Settlement, a restored river will enhance recreational access and opportunities for many communities along the river.

Specific Program accomplishments include, but are not limited to the following:

- Successfully moved fall-run adults into the upper reaches of the San Joaquin River and documented successful fall-run spawning in the river
- Completed the Restoration Flows Guidelines and began the Programs long-term flow releases, termed Restoration Flows
- Completed various planning and design efforts in working towards implementing the Programs highest priority infrastructure projects
- Continued flow releases since 2009 and worked to recapture and recirculate these release consistent with the Settlements Water Management Goal
- Continued local groundwater banking project intended to reduce, avoid, or offset the water supply impacts to Friant Division long-term contractors.
- Continued implementation of comprehensive groundwater seepage management and monitoring program, including implementation of seepage management actions.
- Began repairs and isolation of a gravel pit at the Sycamore Island recreation area. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Alicia Forsythe, Program Manager
San Joaquin River Restoration Program
Bureau of Reclamation
2800 Cottage Way, MP-170
Sacramento, CA 95825
916-978-5464
aforsythe@usbr.gov.

Headquarters Office:

Alicia Forsythe Bureau of Reclamation
Mid-Pacific Regional Office

2800 Cottage Way, MP-170
, Sacramento, California 95825 Email: aforsythe@usbr.gov Phone:
(916)978-5464

Website Address:

http://www.usbr.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Four example projects from FY 2012 and FY 2013 are provided below. Other types of projects would be considered in the future.

1. Extended a grant awarded to a not for profit organization for the management and removal of invasive vegetation species along the San Joaquin River from Friant Dam to the confluence with the Merced River consistent with commitments made in the Finding of No Significant Impact statements for the Water Year 2010 and Water Year 2011 Interim Flows Projects.
 2. Financial assistance awarded to a local water district to install remotely operated gates on a dam to improve worker safety and better measure and manage passing the SJRRPs Interim Flows past the structure.
 3. Financial assistance awarded to a local reclamation district to participate in the environmental compliance and design activities for possible modifications to their facilities consistent with the Settlement.
 4. Financial assistance under Part III of the San Joaquin River Restoration Settlement Act awarded to local agencies within the Friant Division and Central Valley Project to form new partnerships dedicated to building projects to reduce, or offset the quantity of water supply impacts caused by the Settlement.
- Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.556 CROW TRIBE WATER RIGHTS SETTLEMENT

Crow Tribe Water Rights Settlement Act of 2010

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 111-291, Claims Resolution Act of 2010, Title IV, Crow Tribe Water Rights Settlement (31 USC 1101).

OBJECTIVES:

To rehabilitate and improve the water diversion and delivery features of the Crow Irrigation Project (CIP). To plan, design and construct a new municipal, rural & industrial (MR&I) water system for the benefit of the Crow Tribe and its members.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The project is for the rehabilitation and improvement of the existing CIP and for the construction of a new MR&I Water system on the Crow Reservation. These projects are authorized under Title IV of the Claims Resolution Act of 2010.

Applicant Eligibility:

The Crow Tribe of Montana.

Beneficiary Eligibility:

Irrigable lands within the Crow Reservation.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

These projects will meet the requirements of the National Environmental Policy Act (NEPA) of 1969, and final engineering reports are being developed. Any proposed changes in the scope of these projects will be reviewed for continued

compliance. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Annual work plans and budgets are negotiated annually.

Award Procedure:

Annually, the Crow Tribe and Bureau of Reclamation negotiate their respective annual scopes of work and budgets, and then the Crow Tribe and Reclamations Great Plains Region execute an annual funding agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds both mandatory and discretionary - have been expended for this program. Additional discretionary funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the contract. The Settlement needs to be fully funded by June 2030, unless an extension is granted.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: The Federal share of the CIP is 100 percent (Sec. 405(d)) and of the MR&I Water is 100 percent (Sec. 406(d). There is a provision (Sec. 406(m) to negotiate non-Federal cost share if non-Federal parties use the MR&I Water system. Construction costs for the CIP and MR&I Water system, respectively, will total \$131.843 million and \$246.381 million (indexed to May 2008). Funds are to be available until expended. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Beginning in fiscal year 2011, funding for these projects was authorized to be appropriated. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

No program reports are required. No cash reports are required. Unless otherwise stated in the agreement document, recipients shall submit the following reports: draft and final technical reports; progress reports (quarterly); annual reports; reports on significant developments (as necessary). SF-425 (quarterly). No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The Crow Tribal government shall maintain project records in accordance with 25 USC 450c and 25 CFR Part 900. All recipients of Federal awards shall maintain project records in accordance with 25 CFR 900.41. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the single audit report. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 25 CFR 900.41.

Account Identification:

14-0680-0-1-301.

Obligations:

(Direct Payments for Specified Use) FY 16 \$12,772,000; FY 17 est \$12,772,000; and FY 18 est \$12,772

Range and Average of Financial Assistance:

Range: \$2,000,000 to \$12,772,000
Average: \$9,361,286.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Completed design on CIP units at Willow Creek Feeder Canal, Willow Creek Gatehouse, Beet and Beet +1 Check structures, and Reno Siphon; completed construction on Pryor Wasteway, Reno Diversion Dam, and MR&I Water System Pilot Plant. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 900, Contracts under the Indian Self-Determination and Education Assistance Act. 2 CFR 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subparts E and F. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Great Plains Regional Office:
Mr. Dan Stremcha, Project Manager, Bureau of Reclamation
PO Box 36900
2021 4th Ave. N.
Billings, MT, 59107-6900
Telephone 406.247.7737
Fax 406.247-7604
E-mail: dstremcha@usbr.gov.

Headquarters Office:

Mr. Doug Davis Great Plains Regional Office
Bureau of Reclamation
2021 4th Ave. N., Billings, Montana 59101 Email: douglasdavis@usbr.gov
Phone: (406)247-7710 Fax: (406)247-7892

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Rehabilitation and improvement on CIP structures and facilities. Design and construction of the MR&I Water system. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling.

15.557 DESERT AND SOUTHERN ROCKIES LANDSCAPE CONSERVATION COOPERATIVES

Landscape Conservation Cooperatives (LCC)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 111-11, Omnibus Public Land Management Act of 2009, Subtitle F, Secure Water, Section 9509.

OBJECTIVES:

The objective of the Desert and Southern Rockies LCCs is to enhance the management of natural and cultural resources that have a nexus to water resource management. This includes developing tools to assess and adapt to the impacts of climate change and other landscape scale stressors within the geographic boundaries of the Desert and Southern Rockies LCC and adapt to those stressors.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Desert and Southern Rockies LCCs provide cost-shared financial assistance on a competitive basis for the following types of applied science projects: (1) assessing water availability and quality, (2) assessing the resiliency and vulnerability of natural or cultural resources to drought and other landscape scale stressors, and (3) assessing and evaluating natural or cultural resource management practices and opportunities to adapt. Eligible projects are restricted to within the geographic boundaries of the Desert or Southern Rockies LCCs. Occasionally, financial assistance is also used for inter-agency agreements to support capacity needs of the LCCs (e.g., meeting facilitation and/or website support).

Applicant Eligibility:

Eligible applicants include any: States; Tribes; Irrigation districts; Water districts; Organizations with water or power delivery in the Western United States or Territories as identified in the Reclamation Act of June 17, as amended and supplemented; specifically, Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming, American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands); Universities located in the United States; Non-profit research institutions located in the United States; or Non-profit organizations (Non-profit organizations are eligible to apply for funding under all three task areas so long as the proposal addresses fish or wildlife habitat in wetland, riparian, or aquatic areas and there is a nexus to a Reclamation project.).

Beneficiary Eligibility:

The general public; agricultural, municipal and industrial water users; irrigation or water districts; state governmental entities with water or power delivery authority; tribes; non-profit research institutions; and non-profit organizations located in the states identified in the Act of June 17, 1902.

Credentials/Documentation:

(1) A detailed written technical proposal including background data regarding the applicant, such as location, a detailed scope of work separating the work into major tasks, detail the stages of the proposed project, include an estimated project schedule showing the stages and the duration of the proposed work, including major milestones and dates, and substantiate the method(s) selected, the principles or techniques which are proposed to solve the problem, and the degree of success expected, and describing data responsive to the evaluation criteria listed in the Announcement. (2) A funding plan showing how the applicant will pay for its 50% cost share requirement, and an official resolution adopted by the applicants board of directors or governing body, or for state government entities and universities, an official authorized to commit the applicant to the financial and legal obligations associated with receipt, resolving that, if selected, it will negotiate and execute a cooperative agreement with Reclamation. (3) A detailed project cost proposal, including a budget with the annual estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, an estimate of annual operation & maintenance costs, and the value of in-kind contributions of goods and services. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Multiple Funding Opportunity Announcements (Announcement) are annually posted on www.grants.gov. Submission of a complete technical proposal and projects budget is mandatory by the due date identified in the Announcement. In addition, applicants must submit all applicable SF 424 forms referenced in the Announcement.

Award Procedure:

Proposals received in response to the Announcement are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with

the evaluation criteria stated in the Announcement. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The review, scoring and announcement of the recipients typically take three to five months.

Appeals:

Upon request, Reclamation will provide all applicants with information on why their proposals were not selected.

Renewals:

The awarded projects are fully funded at the time of award.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%. The Federal share of the cost of a project or activity carried out under this Program shall not exceed 50% of the total cost of the project or activity.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Proposed projects should be completed within 24 months from the project start date. Applications for projects requiring more than 2 years will be considered if it is demonstrable that there will be on-the-ground accomplishments each year. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Upon completion of the agreement, recipients shall submit Program Performance Reports (e.g., semi-annual, final report); and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. No cash reports are required. No progress reports are required. Upon completion of the agreement, recipients shall submit a SF-425, Federal Financial Report (e.g., semi-annual, final report). No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$15,649; FY 17 est \$700,000; and FY 18 est \$700,000 - FY16: \$15,649 (award was for an inter-agency agreement to provide capacity support for the LCCs, not to fund applied science projects).

Range and Average of Financial Assistance:

Range: \$25,000 \$150,000

Average: \$100,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year (FY) 2013 and 2014, Applied Science Grants for the Desert and Southern Rockies LCCs combined more than \$2.1 million in Federal funding to enhance the management of natural and cultural resources that have a nexus to water resource management. For example, once completed, the funded projects are expected to develop decision support tools and methodologies to incorporate ecological flows into water management models used for basin-wide water supply planning; develop new biological information about springs and seeps; and develop a web-based tool to facilitate conservation planning. In Fiscal Year (FY) 2015 and 2016, the LCCs did not post an Announcement for financial assistance. Instead, the LCCs worked on building their technical capacity to assist their partners. For example, the Desert LCC invested in data and website platform development to more efficiently communicate data and research to resource managers. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

<http://www.usbr.gov/WaterSMART/lcc/index.html>

Regional or Local Office:

See Regional Agency Offices. <http://www.usbr.gov/main/regions.html>.

Headquarters Office:

Avra Morgan Bureau of Reclamation, Office of Program & Policy Services, Mail Code: 84-51000, P.O. Box 25007, Denver Federal Center, Denver, Colorado 80225 Email: aomorgan@usbr.gov Phone: (303)445-2906 Fax: (720)544-4207

Website Address:

<http://www.usbr.gov/WaterSMART/lcc/index.html>

RELATED PROGRAMS:

15.507 Water 2025

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Examples of projects funded in FY 2014 include: (Note: the last announcement for the LCCs was made in FY 2014, which is why these examples date back to 2013 and 2014. New examples will be provided after FY 2017 projects are selected).

Desert LCC: The Environmental Defense Fund (EDF) will use modeling tools and data from the 2014 Minute 319 pulse flow to develop an integrated system that will assist with future pulse flow release experimental determinations. The Minute 319 pulse flow is a temporary release of water to mimic natural spring floods in the Colorado River Delta to study how best to restore native riparian habitat. The new system will integrate data and models such as environmental conditions and designed pulse flow releases with targeted environmental variables to predict the environmental reaction to future pulse flow releases, thereby supporting adaptive management and planning in the future. By linking data and models together into an integrated system, EDF intends to reduce the time required to analyze scenarios and to provide data that would help guide the pulse flow design.

Southern Rockies LCCs: The Museum of Northern Arizona will leverage tools previously developed through its Springs Stewardship Initiative to help resource managers in the southwestern U.S. collect, analyze, report upon, monitor and archive the complex and inter-related information associated with springs and spring-dependent species in the region. Building upon those past efforts, the project will include compilation of existing springs-related information to make the information more readily available online and further development of interactive online maps and climate change risk assessment tools of springs-dependent sensitive plant and animal species. This project builds on an effort funded in FY 2013 to complete similar work for the Desert LCC. Fiscal Year 2017: Information not available. Fiscal Year 2018:

Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. All applications will be evaluated using criteria that give priority to a project's technical merit, the relevance to the LCC, dissemination of results to Reclamation and LCC partners, connections to Reclamation activities and exceed the minimum 50 percent non-Federal cost-share requirement.

15.558 WHITE MOUNTAIN APACHE TRIBE RURAL WATER SYSTEM

Miner Flat Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

The White Mountain Apache Tribe Rural Water System Loan Authorization Act. Public Law 110-390, as amended by the White Mountain Apache Tribe Water Rights Quantification Act of 2010, Public Law 111-291.

OBJECTIVES:

Planning, engineering, design and construction of the Miner Flat Project.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Public Law 93-638 contracts, grants, and cooperative agreements are authorized for construction and non-construction projects.

Applicant Eligibility:

White Mountain Apache Tribe.

Beneficiary Eligibility:

White Mountain Apache Tribe.

Credentials/Documentation:

- (1) Each 638 contract, grant, or cooperative agreement requires project specific authority;
- (2) Detailed written technical proposals including background data regarding the tribal client, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, type of personnel to implement the proposals, experience in performing these types of work, timeliness, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation;
- (3) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contributions of good and services; and
- (4) the awarded 638 contract, grant or cooperative agreement must contain an authorization resolution from the White Mountain Apache Tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental compliance for this program is required depending on the scope of the specific project component funded. An environmental impact statement and Record of Decision approving that construction of the White Mountain Apache Tribe rural water system will be in a configuration substantially similar to that described in section 307 of Public Law 111-291 will be required prior to the commencement of Miner Flat Project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial proposals must contain the information specified in 25 CFR Part 900. Proposals shall be submitted to the applicable Reclamation office with the responsibility for the specific project for which the White Mountain Apache Tribe with responsibility for the specific project which the White Mountain Apache Tribe or Tribe

Award Procedure:

Reclamation and the Tribe develop a negotiation schedule and then negotiate

the overarching contract/award terms, provisions and conditions based on the requirements of Public Law 93-63 , 25 CFR Part 900, any specific requirements of the project authorizing legislation, and other applicable Federal laws and regulations. Reclamation and the tribe also negotiate an annual funding agreement (AFA), which identifies activities to be performed, funds to be provided, and the method of payment of the initial year of the contract. A final contract agreement is prepared based upon the outcome of the negotiations; attachments include the work plan, budget, tribal resolution, initial AFA, property listing, project schedule, and list of any other applicable provision, terms and conditions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As specified for the project.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing will vary. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

No program reports are required. No cash reports are required. Reporting (Federal financial report and progress reports) requirements will be negotiated and contained within the AFA or the overarching terms and conditions. Reporting (Federal financial report and progress reports) requirements will be negotiated and contained within the AFA or the overarching terms and conditions. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This is the final award for this contract.

Range and Average of Financial Assistance:

Range: \$ 0
Average: \$ 0.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Tribe continues work to prepare 30% designs of project components and develop a legally defensible Environmental Impact Statement leading to a Reclamation-approved Record of Decision to proceed with the Miner Flat Rural Water Project. Work is being accomplished utilizing funds awarded in 2013. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Phoenix Area Office: Leslie Meyers, Area Manager, Bureau of Reclamation, Address: 6150 W. Thunderbird Rd., Glendale, AZ 85306-4001, Telephone: (623) 773-6218.

Headquarters Office:

Mr. Randy N. Chandler Bureau of Reclamation
6150 W. Thunderbird Rd., Glendale, Arizona 85306-4001 Phone: (623) 773-6200

Website Address:

<http://www.usbr.gov/lc/phoenix/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: White Mountain Apache Tribal Environmental and Conceptual Design Activities. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The White Mountain Apache Tribe must meet the requirements of Public Law 93-638; 25 CFR Part 900 and any additional criteria specific to the authorization legislation.

15.559 NEW MEXICO RIO GRANDE BASIN PUEBLOS IRRIGATION INFRASTRUCTURE

New Mexico Pueblos Irrigation Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 111-11, Omnibus Public Lands Management Act of 2009, Section 9106. 123 Stat. 1304.

OBJECTIVES:

Rehabilitate and repair irrigation infrastructure of the Rio Grande Pueblos to conserve water and help address potential conflicts over water in the Rio Grande Basin.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funds are for two purposes: (1) to prepare a study for Congress on existing conditions and potential irrigation rehabilitation projects; and (2) to construct irrigation rehabilitation projects after the study is approved by Congress.

Applicant Eligibility:

Funds are for two purposes: (1) to prepare a study for Congress on existing conditions and potential irrigation rehabilitation projects; and (2) to construct irrigation rehabilitation projects after the study is approved by Congress.

Beneficiary Eligibility:

Funds are for two purposes: (1) to prepare a study for Congress on existing conditions and potential irrigation rehabilitation projects; and (2) to construct irrigation rehabilitation projects after the study is approved by Congress.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Environmental compliance for this program will be required depending on the scope of the specific project funded. Most environmental compliance is expected to be categorical exclusions. A few may result in the need for an environmental assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each pueblo has submitted a form consenting to participate in the project, as required by the authorizing legislation.

Award Procedure:

Proposed irrigation rehabilitation projects qualify and are prioritized based on eligibility requirements set forth in the authorizing legislation.

Deadlines:

Mar 30, 2011 Submit a report to Congress on proposed projects by March 30, 2011. Congress authorized the project but did not appropriate any funds until 2012. Only \$1,397,000 out of the \$4,000,000 total for the report has been appropriated thus far, with an additional \$1,000,000 in a congressionally authorized fund transfer in FY 2014. Reclamation intends to complete the report in FY15, with the ability to amend it as additional funding becomes available. After Congress approves the report and appropriates funds for construction, construction will continue through 2019 or later if Congress authorizes an extension of time.

Range of Approval/Disapproval Time:

Approval of the study by Congress will depend on how quickly Congress acts. Approval of individual rehabilitation projects varies depending on the amount and timing of funding appropriated by Congress.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: None for the study report. For construction, the authorizing legislation requires a 25% non-federal cost share. The cost share requirements can be waived by the Secretary of the Interior due to financial hardship on the part of pueblos.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

To be determined. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. No cash reports are required. No progress reports are required. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 16 \$275,169; FY 17 est \$300,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range: N/A
Average: N/A.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Since 2012, funds have been applied to the collection of survey data for the report. The following percentages of survey data have been funded and/or collected per pueblo as of January 2016:

Acoma 99%	Cochiti 99%
Isleta 99%	Jemez 99%
Laguna 99%	Nambe 99%
Ohkay Owingeh 99%	Picuris 99%
Pojoaque 50%	San Felipe 99%
San Ildefonso 99%	Sandia 99%
Santa Ana 99%	Santa Clara 50%
Santo Domingo 99%	Taos 67%
Tesuque 99%	Zia 99%.

Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Viola Sanchez, 555 Broadway NE Suite 100, Albuquerque, NM 87102, 505-270-3046, vsanchez@usbr.gov
Brian Parry, 125 South State Street Room 6107, Salt Lake City, UT 84138-1147, 801-524-3674, bparry@usbr.gov.

Headquarters Office:

Matthew Duchesne 1849 C Street, NW, Washington, , Washington, District of Columbia 20240-0001 Phone: (202)513-0550

Website Address:

<http://www.usbr.gov/uc/albuq/progact/NMPueblos/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Reclamation is currently collecting survey data at Acoma, Cochiti, Isleta, Jemez, Laguna, San Felipe, San Ildefonso, Santo Domingo, Taos, and Zia. Work will begin soon at Tesuque, Nambe, and Pojoaque. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are listed in the authorizing legislation.

15.560 SECURE WATER ACT RESEARCH AGREEMENTS

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Section 9504(b) and Section 9509, Omnibus Public Lands Management Act of 2009, (Public Law 111-11).

OBJECTIVES:

To fund research activities that are designed to conserve water resources, increase the efficiency of the use of water resources, and/or enhance the management of water resources, including increasing the use of renewable energy in the management and delivery of water.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Specific use restrictions are identified within specific program announcements available on www.grants.gov.

Applicant Eligibility:

In accordance with P.L. 111-11, Section 9504(b), eligible applicants include any: university, nonprofit research institution, or other organization with water or power delivery authority. In accordance with P.L. 111-11, Section 9509, there are no restrictions on the type of organization eligibility.

Beneficiary Eligibility:

The general public; agricultural, municipal and industrial water users; irrigation or water districts; and state governmental entities with water or power delivery authority.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

For competitive awards: Proposals received in response to the Announcement are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the Announcement. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year.

For single-source awards, a Notice of Intent to Award is announced on www.grants.gov providing the justification for the noncompetitive research award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. There are no statutory matching requirements under Section 9504(b) or Section 9509. However, specific projects or announcements may require nonfederal cost-share. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are generally awarded for 1 to 5 years. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. No cash reports are required. No progress reports are required. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis an SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final (1) SF-425, Federal Financial Report. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$3,518,766; FY 17 est \$1,500,000; and FY 18 est \$1,500,000

Range and Average of Financial Assistance:

Range: \$36,000 - \$420,000
Average: \$105,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Climate change, environmental, and other research in support of water management in the western United States. Fiscal Year 2017:

Information not available. Fiscal Year 2018: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. <http://www.usbr.gov/main/regions.html>.

Headquarters Office:

Jeremy Black Bureau of Reclamation, Acquisition and Assistance Management Division, Mail Code 84-27850, P.O. Box 25007, Denver Federal Center, Denver, Colorado 80225 Email: jablack@usbr.gov Phone: (303)445-2490 Fax: (303)445-6344

Website Address:

No Data Available

RELATED PROGRAMS:

15.507 Water 2025

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Impact of Invasive Species on Water Use on the Lower Colorado, Yellowstone Cutthroat Trout Monitoring Project, and Contaminants of Emerging Concern in Concentrate Stream Management. Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.561 SAVAGE RAPIDS PUMPING PLANT**FEDERAL AGENCY:**

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 108-137: Energy and Water Development Appropriations Act, 2004, Section 220:

Not subject to fiscal year limitation, the Secretary of the Interior is hereafter authorized to implement, and enter into financial assistance or other agreements as may be necessary to undertake such activities identified for implementation (including construction) generally in accordance with section III of, and the Pumping/Dam Removal Plan as defined in, United States District Court Consent Decree United States, et al., v. Grants Pass Irrigation District, Civil No. 983034HO (August 27, 2001). There are authorized to be appropriated such sums as may be necessary to carry out this provision, and activities conducted under this provision shall be nonreimbursable and nonreturnable.

OBJECTIVES:

Provide limited funding to Grants Pass Irrigation District (Grants Pass ID) to address issues associated with federal construction of the Savage Rapids Pumping Plant.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Federal financial assistance may be necessary to undertake such activities identified for implementation (including construction) generally in accordance with section III of, and the Pumping/Dam Removal Plan as defined in, United States District Court Consent Decree United States, et al., v. Grants Pass Irrigation District, Civil No. 983034HO (August 27, 2001).

Applicant Eligibility:

Grants Pass Irrigation District Only.

Beneficiary Eligibility:

Grants Pass Irrigation District.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from

coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Reclamation will work specifically with Grants Pass ID to get the applicable application/proposal forms (ieSF forms and Project and Budget Narratives).

Award Procedure:

Grants Pass ID will submit all the required SF forms and the project and budget narratives to Reclamations local office contact listed below. Reclamation will review the proposal, and if approved, will issue a mandatory award using the required agreement template. A grant or cooperative agreement may be awarded depending on Reclamations involvement. Reclamation will send the award to Grants Pass ID for signature first, and then Reclamation will sign the award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time of award.

Appeals:

None. Final award decisions are not subject to appeal.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies depending on the type and complexity of the project. Length and time phasing will be delineated in the grant agreement. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Post-award reporting requirements are prescribed within the terms and conditions of the financial assistance award. No cash reports are required. No progress reports are required. Post-award reporting requirements are prescribed within the terms and conditions of the financial assistance award. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the

Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Active agreement requires zero dollar time extension under this CFDA.

Range and Average of Financial Assistance:

Range: \$0 - \$0

Average: \$0.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Savage Rapids Pumping Plant constructed and on-line in 2009

Savage Rapids Dam removed in 2009.

Continued consultation and sediment management is on-going to help address sediment build-up inside the plant and shift sediment that builds up on the plant intakes. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Leah Meeks
Regional Water Conservation Program Coordinator
Pacific Northwest Regional Office | U.S. Bureau of Reclamation
1150 N. Curtis Ave. | Boise, ID 83706
208-378-5025 | lmeeks@usbr.gov.

Headquarters Office:

Bob Hamilton Pacific Northwest Regional Office
1150 N. Curtis Road, Boise, Idaho 83706 Phone: (208)378-5087

Website Address:

<http://www.usbr.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Funding has been used to purchase equipment to move sediment. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.562 DIXIE VALLEY WATER EXPORT STUDY

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 107-171 (Section 2507) and 110-161, Sec. 204, Consolidated Appropriations Act, 2008, (Continuing Resolution) Division C - Energy and Water Development and Related Agencies Appropriations Act, 2008.

OBJECTIVES:

To study and prepare plans for the development and construction of a pipeline to convey water from Dixie Valley to Churchill County, Nevada.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Notwithstanding any other provision of law, of amounts made available under section 2507 of the Farm Security and Rural Investment Act of 2002 (43 U.S.C. 2211 note; Public 107-171), the Secretary of the Interior, acting through the Commissioner of Reclamation, shall Use, in consultation with the Corps of Engineers, as applicable, \$5,000,000 to study and prepare plans for the development and construction of a pipeline to convey water from Dixie Valley to Churchill County, Nevada.

Applicant Eligibility:

Churchill County, NV.

Beneficiary Eligibility:

Water users in the Dixie Valley, Churchill County, NV area.

Credentials/Documentation:

Not applicable. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Intent to Award funds under this program will be announced on www.grants.gov when applicable.

Award Procedure:

For cooperative agreements awarded under Public Law 107-171 (Section 2507) and 110-161, Sec. 204, Consolidated Appropriations Act, 2008, (Continuing Resolution) DIVISION C - ENERGY AND WATER DEVELOPMENT AND RELATED AGENCIES APPROPRIATIONS ACT, 2008, all Federal rules, regulation, and agency specific guidelines for awarding procedures will be followed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the notice of intent to award announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the announcement.

Appeals:

None. Final awards decisions are not to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of five years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

5 years. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the

following reports on an annual and a final report, the Program Performance Reports. Also, other reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. An annual and a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - No FY2013 new obligations, but possible new obligations in FY2014 or FY2015. Reclamation requests that this CFDA remain listed for one more year.

Range and Average of Financial Assistance:

Range is \$18,500 to \$31,910

Average \$25,205.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The primary objective of the Dixie Valley Ground Water Export Study is to determine how much water is available for export from Dixie Valley. The original plan of study included evaluating the feasibility of conveying the water to various points of use within Churchill County, Nevada. It was to be completed in three phases over a 5 year period, beginning in early 2009, concluding in 2014. The first phase included data collection/analysis to develop an understanding of the study areas hydrogeologic framework, ground-water flow, and water quality. The second phase was to include development of groundwater models for estimating hydrologic impacts and analyses of associated economic and environmental impacts. The third phase was contingent on Phase 2 results and would include pipeline design and cost estimating activities. Phase 1 is complete and reports of findings are either published or in publishing. The Phase 2 groundwater modeling is ongoing. The Phase 2 economic and environmental analyses, as well as the Phase 3 design and cost estimating work, will not be completed due to inadequate funds. In 2016, the groundwater model report was finalized and findings were presented to the Churchill County Commissioners who approved/accepted all study findings. The project has been completed. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

None. Bureau of Reclamation
Financial Assistance Services 84-27852 (Hoiby)
PO Box 25007, Denver Federal Center

Denver, CO 80225.

Headquarters Office:

Director Bureau of Reclamation, Financial Assistance Services 84-27850, P.O. Box 25007, Denver Federal Center, Denver, Colorado 80225 Phone: 000-000-0000

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The primary purpose of this project is to establish a cooperative effort for the purpose of providing financial assistance to Churchill County for its participation in the Dixie Valley Ground Water Export Study. The primary beneficiary is the State of Nevada and Churchill County. Submittals required consist of standard monitoring reports tracking the progress of the recipient in the project.

The study will determine how much water is available for export from Dixie Valley. The groundwater aquifer firm yield was determined during Phase 1 and the Phase 2 groundwater modeling will provide information on how much of the firm yield could be pumped from the valley and the associated impacts.

Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the notice of intent to award announcement posted on www.grants.gov.

15.564 CENTRAL VALLEY PROJECT CONSERVATION

(CVPCP)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Coordination Act, as amended, 16 U.S.C. Section 661 et seq. of 1956.

OBJECTIVES:

Provide financial support for activities to benefit federally listed endangered and threatened species to compensate for impacts to species resulting from the operation and maintenance of the Central Valley Project (CVP) of California, including helping to meet the requirements of State Water Resources Control Board Decision 1641 for the CVP Consolidated Place of Use.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for land acquisition, habitat restoration, research, and captive propagation of listed species. Funds must be applied to projects that benefit federally listed species impacted by the CVP. Funds cannot be used to support activities required as regulatory mitigation. Projects must directly benefit at least one federally listed species.

Applicant Eligibility:

Any person(s) without regard to specified eligibility criteria.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Not applicable. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Financial Assistance Grants Officer determines awards based on recommendations from the program manager.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information is available for each specific project by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of time of assistance varies by project, depending on project objectives. Assistance is released on a reimbursement basis. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the financial assistance agreement document, recipients shall submit the following reports on a semi-annual or annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as copies of land acquisition closing documents and research publications. Cash reports are not applicable. Progress reports are not applicable. An annual and final SF-425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other

record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

17-0680-0-1-301.

Obligations:

(Project Grants) FY 16 \$2,328,469; FY 17 est \$1,138,500; and FY 18 est \$0

Range and Average of Financial Assistance:

Range: \$ 25,000 to \$1,000,000

Average: \$ 1,132,347.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year (FY) 2016, 13 eligible proposals were received for a total request of \$6,541,296. Three assistance awards were given to protect 1,107 acres of vernal pool and pond habitats to benefit federally threatened amphibians, and to conduct habitat research on endangered species. For FY17, 8 eligible proposals were received for a total request of \$4,010,716. It is estimated that 4 assistance awards will be given to protect 1,125 acres of habitats for endangered species, conduct genetics research on vernal pool amphibians and plants, and to reintroduce an endangered species to unoccupied habitat within its historic range. For FY18 it is estimated that 3 assistance awards will be given to protect and restore 776 acres of habitat, and to support research benefitting CVP-impacted federally listed species. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Division of Environmental Affairs.

Headquarters Office:

Assistant Secretary of the Interior for Water and Science 1849 C Street, N.W., Mail Stop MIB6640, Washington, District of Columbia 20240 Email: rswanson@uc.usbr.gov Phone: (202) 208-3100 Fax: (202) 208-3100

Website Address:

<http://www.usbr.gov/mp/cvpcp/>

RELATED PROGRAMS:

15.512 Central Valley Project Improvement Act, Title XXXIV

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Todisco Ranch conservation easement acquisition; California tiger salamander genetics research; reintroduction of the Bay checkerspot butterfly to San Bruno Mountain. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.566 UPPER KLAMATH BASIN HYDROLOGIC ANALYSES

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 106-498 Klamath Basin Water Supply Enhancement Act of 2000.

OBJECTIVES:

The intended purpose will be to study the hydrologic characteristics of Upper Klamath Basin to include the quantity, quality and distribution of all water, both above and below ground surface, and the geologic environment in which the water resides. The purpose may also entail studying and utilizing specific instrumentation used to measure water resources, both above and below ground surface, and for measuring the geologic characteristics of the basin in which the water resides. Model development and modeling studies may also be included

as part of the purpose, as well as the impacts of climate change on the Upper Klamath Basin hydrology.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Dissemination of Technical Information; Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

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Applicant Eligibility:

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Beneficiary Eligibility:

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Credentials/Documentation:

U.S. Citizenship. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the funding opportunity announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area (indicate whether reviewers are Federal and/or non-Federal personnel). Applications will be reviewed against the proposal evaluation criteria and any additional review factors stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

5 year duration period (2016-2021). See the following for information on how assistance is awarded/released: Indefinite??.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. SF-425, Federal Financial Report. Program Performance Reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200.425 Audit Services nonfederal entities that expend \$750,000 or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953. All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301 - 140680-01-301.

Obligations:

(Formula Grants) FY 16 \$2,136,000; FY 17 est \$300,000; and FY 18 est \$500,000 - New Program.

Range and Average of Financial Assistance:

Range: \$50K \$1.5M
Average: 300K.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Formed an organizational working group to better estimate water supply and instrumentation measurement standardization during both drought and non-drought seasons; re-implement and update a 2006 groundwater model that provides accurate representation of basin subsurface water supplies; began studies to improve inflow forecasts into Upper Klamath Lake, estimate historical groundwater baseflow to streams, evapotranspiration, and snowpack estimating via satellite imagery. Fiscal Year 2017: N/A. Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, 2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Klamath Basin Area Office.

Headquarters Office:

..., Oregon . Phone: .

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.567 COLORADO RIVER CONSERVATION SYSTEM (PILOT)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Section 206 of the Consolidated and further Continuing Appropriations Act, 2015 (Public Law 113-235) and Agreement No. 14-XX-30-W0574 Agreement Among Reclamation, the Central Arizona Water Conservation District, the Metropolitan Water District of Southern California, Denver Water, and the Southern Nevada Water Authority For a Pilot Program for Funding the Creation of Colorado River System Water Through Voluntary Water Conservation and Reductions in Use.

OBJECTIVES:

The PSCP tests conservation projects that create system water through voluntary compensated reductions in water use. All water conserved as a result of the SCPP becomes system water with the sole purpose of increasing storage levels in Lakes Powell and Mead and does not accrue to the benefit of any individual user.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Pursuant to Sec. 206 of PL113-235 Pilot projects are authorized to be funded through grants to public entities that use water from the Colorado River Basin for municipal purposes for projects that are implemented by 1 or more non-Federal entities or grants or other appropriate financial agreements to provide additional funds for renewing or implementing water conservation agreements that are in existence on the date of enactment of this Act.

Applicant Eligibility:

Eligibility of the Upper Colorado River Commission established through PL 113-235.

Beneficiary Eligibility:

Pursuant to PL 113-235, participation in the Pilot Program is limited to Entitlement Holders in the Lower Colorado Division States and Colorado River Water Users in the Upper Colorado River Basin.

Credentials/Documentation:

Application for Federal Assistance SF-424; Disclosure of Lobbying Activities SF-LLL. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Single source award per requirements under PL 113-235

Award Procedure:

Financial Assistance Grants Officer determines awards based on recommendations from the program manager.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project.

Appeals:

None.

Renewals:

Program may generally be renewed on an annual basis, not to exceed September 30, 2018.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Authority under PL 113-235 expires September 30, 2018. See the following for information on how assistance is awarded/released: .

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the financial assistance agreement document, recipients shall submit the following reports on a semi-annual or annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2). Program Performance Report; and other specific reports that may be applicable to the agreement such as copies of land acquisition closing documents and research publications.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a sub-recipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301 - 14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 16 \$1,065,000; FY 17 est \$1,065,000; and FY 18 est \$1,065,000 - New Program.

Range and Average of Financial Assistance:

Range: \$1,065,000
Average: \$1,065,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fifteen proposals were received in WY 2015 from two Upper Basin States and nine were awarded, resulting in a reduction of approximately 3,270 acre-feet of consumptive use. Due to the success of the Program in 2015, thirty-two proposals were received across all four Upper Basin States in WY 2016 and up to 26 of the proposals received will be awarded and would contribute to water savings as much as 14,000 acre-feet in 2016. Fiscal Year 2017: N/A. Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Resource Management Division.

Headquarters Office:

. Upper Colorado Regional Office, ., Colorado . Phone: .

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Eligibility established through PL 113-235.

15.605 SPORT FISH RESTORATION

Dingell-Johnson Sport Fish Restoration Program

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Dingell-Johnson Sport Fish Restoration Act of 1950, 64 Stat. 430, as amended 16 U.S.C. 777-777n; and 50 CFR Part 80-Administrative Requirements, Pittman Robertson Wildlife Restoration and Dingell-Johnson Sport Fish Restoration Act amended July 24, 2008.

OBJECTIVES:

Sport Fish Restoration funds support activities designed to restore, conserve, manage, or enhance sport fish populations; the public use and benefits from these resources; and activities that provide boat access to public waters. Sport fisheries research and management activities; boating access development and maintenance; aquatic resource education activities; lake construction and maintenance; land acquisition; technical assistance; planning; habitat enhancement; administration; coordination; and hatchery construction are eligible under the Act.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Approvable activities include land acquisition; development (including boating access sites); research; operations and maintenance; sport fish population management; and program coordination. Law enforcement and public relations are not eligible under the Act.

Applicant Eligibility:

Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the U.S. Fish and Wildlife Service. To be eligible, they must pass assent legislation to the provisions of the Act for the conservation of sport fish that includes a prohibition against the diversion of license fees paid by anglers for any purpose other than the administration of the fish and wildlife agency.

Beneficiary Eligibility:

General Public (While direct participation is limited to fish and wildlife agencies, the public will ultimately benefit from these fishery conservation measures.).

Credentials/Documentation:

Each year within 60 days of the apportionment notice, States, the District of Columbia, Commonwealths, and territories must notify the Secretary of the Interior that they want to participate in the program for the year. The State, the District of Columbia, Commonwealth, or territorial fish and wildlife Director must furnish a certification of the number of paid angler license holders. Allowable costs are determined in accordance with 2 CFR 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The Wildlife and Sport Fish Restoration Program staff can be contacted by Region or at our Headquarters office. Contact information is located at our website, <http://wsfrprograms.fws.gov/Subpages/ContactUs/ContactUs.htm>.

Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency. For further instructions and forms go to <http://fawiki.fws.gov/display/WTK/Toolkit+Homepage> or www.grants.gov. Program regulations are found in 50 CFR 80.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or his or her designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

Jul 01, 2017 Annually by July 1. Check with your Regional Service Office to determine any Regionally specific deadlines.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Grantees may renew projects on an annual basis if justifiable and if funds are available.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Yes, state apportionments must be matched with 25% of the total costs of a grant project.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Sport Fish Restoration funds are available for a period of two years. Any funds not obligated within two years by a State, the District of Columbia, Commonwealth, or territorial fish and wildlife agency will revert to the U.S. Fish and Wildlife Service and placed back into the Sport Fish Restoration and Boating Trust Fund for obligation to States, the District of Columbia, Commonwealths, or territories in the following year's apportionment. Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 2 CFR 200, or as otherwise prescribed in program-specific legislation. See the following for information on how assistance is awarded/released: Program will include any special payment terms and conditions in the notice of award.

Reports:

A Performance Report is required for each grant award annually within 90 days after the anniversary date or end of the grant. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit

interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring and reporting performance of each award and sub-award under this program in accordance with 2 CFR 200 and 2 CFR Part 170.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for 3 years after submission of the final Federal Financial Report.

Account Identification:

14-8151-0-7-303.

Obligations:

(Formula Grants) FY 16 \$354,237,767; FY 17 est \$349,582,654; and FY 18 est \$335,993,729

Range and Average of Financial Assistance:

Range is \$900,000 to \$14,700,000; Average \$5,200,000.

TAFS Codes:

14-8151.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program has not yet selected projects for funding. The program anticipates funding projects that include: research, operation and maintenance of fish hatcheries, sport fish habitat restoration, land acquisition for sport fish habitat or public access for sport fishing, technical guidance, coordination, recreational boating access, aquatic education, and outreach. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 80 contains the program regulations for this program. Matching and cost-sharing requirements are discussed in 50 CFR 80.85 and 2 CFR 200.306. Applicants can visit these regulations and guidelines at <http://fawiki.fws.gov/display/WTK/Toolkit+Homepage>.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Region 1 - Pacific Region (Idaho, Oregon, Washington, Hawaii, Pacific Islands) Paul Hayduk, 503-736-4780
Region 2 - Southwest Region (Arizona, New Mexico, Oklahoma, Texas) Kelly Oliver-Amy, 505-248-7457
Region 3 - Midwest Region (Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, Wisconsin) Ann Schneider, 612-713-5146
Region 4 - Southeast Region (Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee, U.S. Virgin Islands) Marilyn Lawal, 404-679-7277
Region 5 - Northeast Region (Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia) Ron Essig, 413-253-8504
Region 6 - Mountain-Prairie Region (Colorado, Idaho, Kansas, Montana, Nebraska, North Dakota, South Dakota, Utah, Wyoming) Michael Cotter, 303-236-8179
Region 7 - Alaska Region (Alaska) Mary Price, 907-786-3982
Region 8 - Pacific Southeast Region (California, Nevada) Larry Riley, 916-978-6182.

Headquarters Office:

Director, Policy and Program, U.S. Fish and Wildlife Service Headquarters, Wildlife and Sport Fish Restoration Program, Policy and Programs Division, 5275 Leesburg Pike, MS: WSFR, Falls Church, Virginia 22041-3803 Phone: (703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov/>.

RELATED PROGRAMS:

11.407 Interjurisdictional Fisheries Act of 1986; 15.608 Fish and Wildlife Management Assistance; 15.611 Wildlife Restoration

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Alabamas Department of Conservation and Natural Resources Marine Resources Division (AMRD) uses \$165,000 in Sport Fish Restoration funds annually , with a non-federal match of \$55,000 to operate and maintain the Claude Petet Mariculture Center (CPMC). Located in Gulf Shores, Alabama, Marine finfish hatcheries can play a significant role in replenishing depleted fish stocks as degraded habitats negatively impact fish populations and are a cause for concern among fisheries managers. AMRD will culture and stock red drum (*Sciaenops ocellatus*), Florida pompano (*Trachinotus carolinus*), and Southern flounder (*Paralichthys lethostigma*) to satisfy sport fishing demand. Due to this facility, anglers will benefit with increased catch rates, the local economy will benefit from increased expenditures, and there will be invaluable benefits to the restoration of the Gulf of Mexico.

Nine States Partner on Largemouth Bass Project - Nine state fish and wildlife agencies are partnering on a project Estimation of Fishing Mortality and Development of Live Well Management Procedures to Improve the Survival of Largemouth Bass. State agencies include: Arkansas Game and Fish Commission, Kansas Department of Wildlife, Parks, and Tourism, Kentucky Department of Fish and Wildlife Resources, Louisiana Department of Wildlife and Fisheries, Maryland Department of Natural Resources, Mississippi Department of Wildlife, Fisheries, and Parks, Texas Parks and Wildlife Department, West Virginia Division of Natural Resources, and Tennessee Wildlife Resources Agency. This three year research project aimed at improving the quality of largemouth bass populations (LMB) throughout the United States by providing useful information to fisheries managers to effectively manage populations and inform recreational anglers and tournament anglers and organizations about best practices for handling LMB, a frequently sought fish species by recreational anglers throughout the country. Decreased survival of LMB following capture and handling in bass tournaments has been attributed to a number of factors. However, the independent effects of these factors on the survival of LMB subjected to angler capture and tournament handling have not been measured. Further, the effect of water temperature on the survival of LMB caught and immediately released has not been measured. This project will help to ensure that LMB across continue to be managed with the best available science-based management strategies to help ensure continued conservation and management for current and future generations of anglers.

The District of Columbias Department of Energy and Environments (DOEE) Aquatic Resources Education Center (AREC) offers interpretive exhibits, educational programs, and coordinates teacher trainings for government and non-governmental organizations. It is one of only three facilities like it in the Northeast Region. They host special events throughout the year including: an open house that focuses on teaching basic fly fishing and spin casting skills to public school teachers, RiverSmart Educational Boat Tours where the public can learn about the history of the river and the wildlife that use the river, a year-round fishing club that will use the Hooked on Fishing Not on Drugs curriculum, and Project Aquatic Wild and Growing Up WILD teacher trainings so that these educators can use these national curricula to teach aquatic resources education lessons with their students. The staff provides a multitude of education materials including a full curriculum for grades Pre K-12, brochures, and self-guided tour booklets. They attend outreach events including science fairs and environmental education trainings and offer angler education classes to teach the public how to fish safely, and partner with other organizations including Anacostia Riverkeeper, Anacostia Watershed Society, and the Smithsonian Anacostia Museum. The Districts aquatic resources are under the constant threat of increasing population and urbanization. This project has been successful in getting school groups to participate in programs. Staff is integrating aquatic resource lessons into the DC school system in order to instill the importance of DCs aquatic resources on youth, and also teach basic angling skills that build confidence and promote safe and ethical fishing.

Massachusetts Division of Marine Fisheries (DMF) used \$110,250 of Sport Fish Restoration funds and \$36,700 of State saltwater license funds to build an artificial reef in Nantucket Sound. The reef was constructed in March of 2016 using 1600 cubic yards of concrete rubble material, including 1000 cubic yards of repurposed material from the Harwich High School demolition. The material covers 11 percent of the total 9.9 acre permitted area, is located two miles south of the entrance to Saquatucket Harbor, and is easily accessible for small boat anglers. The artificial reef provides habitat for invertebrate and finfish species, and provides saltwater angling opportunities. The DMF has prohibited commercial fishing in a 39.5 acre closed area surrounding the reef to protect recreational angling. This project is a continuation of the statewide Marine Artificial Reef Plan that provides a framework for long-term management of reefs within the Commonwealth. Following the reef construction in March of 2016, DMF divers revisited the site in May and June and recorded an abundance of marine life, including black sea bass, scup, tautog and several crab species. The rapidity at which the marine species claimed the reef suggests the reef was a productive habitat enhancement. The newly established fish populations have also encouraged private and for-hire boat recreational anglers to visit Nantucket Sound.

Puerto Ricos Department of Natural and Environmental Resources Aquatic Resources Education Program (AREP) at the count of four, cast event is a collaborative project between with the Puerto Rico Department of Education, which has endorsed the program as part of their curriculum. AREP recruits new anglers, retains active anglers, and promotes outdoors ethics and increases the understanding of water resources and aquatic species. AREP develops and offers fishing clinics and tournaments, aquatic resources and fishing techniques workshops, and educational materials relevant to aquatic ecosystems and sport fishing. So far, this project has reached 46 public and private schools, 162 teachers and 984 students. Fiscal Year 2017: The Texas Parks and Wildlife Department (TPWD) will use its aquatic education grant and program to recruit, train, and retain a Volunteer Network of at least 500 volunteers that teach the Angler Education Program and assist at school and community outreach events that will reach approximately 35,000 Texan anglers and potential anglers. TPWD provides resources for instructors and the general public, including a Tackle Loaner program.

The Kentucky Department of Fish and Wildlife Resources (KYDFW) is developing the Lake Cumberland Tailwater into one of the best trout fisheries in the South. They stock various strains of brown trout and evaluate stocking success and growth rate. Fall electrofishing sampling evaluates population levels. They stock about 20,000 rainbow trout per month into the Cumberland Tailwater from April through November. Recently, KYDFW purchased shoreline along the Tailwater to improve angler access to one of the best fishing areas. These Sport Fish Restoration efforts provide anglers from Kentucky and other States with quality trout fishing.

The South Carolina Department of Natural Resources produces and stocks 500,000 fingerling and catchable size rainbow, brown, and brook trout per year in cold water rivers, streams, lakes, and ponds across the state. As many as 50,000 trout anglers contribute approximately \$18 million to the states economy as a result of trout angling in South Carolina.

In Massachusetts, a fishing pier was needed in Oak Bluffs on Marthas Vineyard - a recreational fishing hotspot. The pier project was fully permitted, but required funding. At the same time, a new state salt water fishing permit was implemented that dedicated a minimum of 30% of each years proceeds to recreational fishing access. The Massachusetts Division of Marine Fisheries and the Massachusetts Office for Boating and Fishing Access partnered with the Services WSFR Region 5 (Northeast) Office to make the Oak Bluffs Recreational Fishing Pier a reality. A huge success, recreational anglers have already begun using the newly completed pier - even before the ribbon cutting ceremony. The Americans with Disabilities Act (ADA) compliant sport fishing pier extends 317 feet from the seawall into Nantucket Sound and includes a 60 foot long section parallel to the shoreline. Sport Fish Restoration monies allowed us to complete a greatly needed, handicapped accessible, recreational fishing access pier in one of the states top tourist destinations.

In Alaska, the Alaska Department of Fish and Game (ADF&G), uses Sport Fish

Restoration funds to contribute to Chinook salmon research across the state including population assessments on rivers in Southeast Alaska. Chinook salmon management and research in Alaska focuses on spawning and juvenile abundance estimates. Spawning abundance has been estimated in several indicator stocks in Southeast Alaska since the early 1970s and estimates of juvenile abundance first began in the early 1990s. Combined, these programs produce estimates of marine survival, total harvest, harvest rate, and total run which facilitate abundance-based management as dictated through the Pacific Salmon Treaty.

Also in Alaska, the William Jack Hernandez Sport Fish Hatchery sits on three acres and replaces the ADF&Gs Elmendorf and Ft. Richardson hatcheries. At full capacity, it will increase historic stocking levels by 50 percent. All rearing tanks at this facility are located indoors. Hatchery programs include coho and Chinook salmon smolt, Chinook salmon catchables, rainbow trout catchables, Arctic char catchables, grayling and lake trout in addition to rainbow and Arctic char brood stock. Fish produced at this hatchery will be stocked in over 200 locations, contributing to a large number of fisheries throughout the state and annually provide approximately 400,000 angler days of fishing time for residents and visitors to the state. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects that increase sport fishing and boating opportunities through wise investment of excise tax dollars in sport fishery development, research, and management projects.

CRITERIA FOR SELECTING PROPOSALS:

The States, the District of Columbia, Commonwealths, or territorial agencies having lead responsibility for the management of their fish resources must submit the projects. If approved, projects must meet the basic criteria outlined in the regulations and the U.S. Fish and Wildlife Service Manual.

15.608 FISH AND WILDLIFE MANAGEMENT ASSISTANCE

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-742j; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-666; Sikes Act of 1974, as amended, 16 U.S.C. 670(a)-670(o); Alaska National Interest Lands Conservation Act, 16 U.S.C. 3101; Marine Mammal Protection Act of 1972, Public Law 92-522, as amended, 16 U.S.C. 1361-1421; Fish and Wildlife Conservation Act of 1980; Nonindigenous Aquatic Nuisance Prevention and Control Act of 1990, as amended, 16 U.S.C. 4701-4741; the Great Lakes Fish and Wildlife Restoration Act (16 U.S.C. 941) Lacey Act (18 U.S.C. 42; 50 CFR 16);.

OBJECTIVES:

To provide technical and financial assistance to other federal agencies, states, local governments, native American tribes, non-governmental organizations, citizen groups, and land owners on the conservation and management of fish and wildlife resources, including minimizing the establishment, spread, and impact of aquatic invasive species. This includes co-management of marine mammals by Alaskan natives for subsistence use.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used to conduct fish and wildlife management activities that align with the conservation, restoration, and management goals and priorities of the Fish and Wildlife Conservation Offices. This includes goals and priorities identified by the National Fish Passage Program (NFPP), the individual partnerships under the National Fish Habitat Partnership (NFHP), and work conducted under the Strategic Plan. Restoration work can consist of habitat construction activities such as culvert replacements, dam removals, fish way construction, installation of fish habitat structures and vegetation plantings. Examples of funded activities include habitat restoration (stream improvements or deconstruction of barriers to increase quality of aquatic habitats), monitoring and assessment, removal of barriers to passage, fish propagation, and aquatic plant establishment. This also includes efforts to minimize the establishment, spread, and impact of aquatic invasive species, including those efforts conducted under the auspices of the State/Interstate Aquatic Nuisance Species

(ANS) Management Plan Grant Program and support of the ANSTF Regional Panels. Applicants applying for State/Interstate ANS Management Plan funds must be a State or Interstate organization with an ANS Task Force approved plan. Technical assistance in the form of advice on biological, chemical, and/or physical aspects of a project is also available to awardees. Awardees are expected to include a public outreach component in their project. For the State/Interstate ANS Management Plan (SIANSMP) Grant Program, there is a 5 percent cap on administrative costs for the grants. 100% of funding is discretionary.

Applicant Eligibility:

Applicants may be other federal agencies, state agencies, local governments, native American organizations, interstate, intrastate, public nonprofit institution/organization, other public institution/organization, nonprofit/organization, private landowners, or any other organization subject to the jurisdiction of the United States with interests that support the mission of the U.S. Fish and Wildlife Service on a cost recoverable basis. Applicants applying for State/Interstate ANS Management Plan funds must be a State or Interstate organization with an ANS Task Force approved plan.

Beneficiary Eligibility:

Federal agencies, state agencies, local governments, Native Americans, Interstate, Intrastate, public nonprofit institution/organization, other public institution/organization, private nonprofit/organization, or any other organization subject to the jurisdiction of the United States with interests that support the mission of the Service on a cost recoverable basis.

Credentials/Documentation:

There must be factual evidence of the need for management assistance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Applicants must have (or obtain) a DUNS number and EIN/TIN to apply for funding.

If a proposed project will cause a significant environmental impact, then an environmental impact statement should be prepared and provided with the application.

Applicants must provide documentation detailing the findings of an environmental impact assessment for sites where proposed habitat improvements will occur as part of the application process for NFHP funding. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An approved State ANS Management Plan is needed for the State ANS Management Plan Grant program. See www.Grants.gov for additional information and application procedures. To apply for NFHP funds, applicants must contact the appropriate Fish Habitat Partnership or visit www.Grants.gov for application procedures and requirements.

Award Procedure:

After considering program commitments and obligations, grant or cooperative agreement recipients will generally be informed by electronic correspondence via email.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

National Fish Passage Program projects are identified throughout the year. Projects are to be submitted through the regions. Awards are approved annually. Unfunded projects that are ranked highly by the Regional Directors of the 8 USFWS Regions are maintained in a FWS database until the project is

funded or no longer viable.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25%. The State ANS Management Plan Grant Program requires a 25% match by the States. The National Fish Passage Program and National Fish Habitat Partnership do not have matching requirements, however; partner contributions of at least 50% of project costs are encouraged. The extent of partner contributions may be a factor in selection of projects to be funded.

This program does not have MOE requirements. None.

Length and Time Phasing of Assistance:

Annual awards. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 2 CFR 200, Subpart E-Cost Principles, or as unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award.

Reports:

See award notice for reporting requirements and procedures. Cash reports are not required; however, cash reports may be required for some awardees. Refer to the award notice for cash reporting requirements and procedures. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200 and 2 CFR Part 170.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$1,000,000; FY 17 est \$2,000,000; and FY 18 est \$2,000,000 - B-Project Grants (Discretionary) State/Interstate ANS Management Plan Grant Program: FY16 \$1,000,000; FY 17 \$2,000,000; FY18 estimated \$2,000,000. (Project Grants (Discretionary)) FY 16 \$3,176,156; FY 17 est \$3,200,000; and FY 18 est \$3,200,000 - B-Project Grants (Discretionary) National Fish Habitat Partnership: FY16 \$3,176,156; FY17 \$3,200,000; FY18 \$3,200,000. (Project Grants (Discretionary)) FY 16 \$12,700,000; FY 17 est \$13,200,000; and FY 18 est \$13,200,000 - B-Project Grants (Discretionary) National Fish Passage Program: FY16 \$12,700,000; FY17 \$13,200,000; FY18

est \$13,200,000.

Range and Average of Financial Assistance:

Range is \$1,000 to \$750,000; Average \$75,000.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FY16: 41 State/Interstate ANS Management Plans and corresponding State AIS Programs supported via the State/Interstate ANS Management Plan Grant Program.

National Fish Passage and Habitat projects:

FY16: In FY16 the program issued approximately 75 awards for projects addressing the objectives of the National Fish Habitat Action Plan. Fiscal Year 2017: FY17: State/Interstate ANS - As many as 43 State Plans and corresponding State AIS Programs supported in FY 17.

National Fish Passage and Habitat projects:

FY17: In FY17 the program anticipates receiving at least 100 applications and issuing up to 75 awards. Program has not yet selected projects for funding. Program anticipates funding projects that address the goals and objectives of the National Fish Habitat Action Plan. Fiscal Year 2018: FY18: State/Interstate ANS - As many as 43 State Plans and corresponding State AIS Programs supported in FY18; there is the possibility of a few more if new State Plans are approved.

National Fish Passage and Habitat projects:

FY18: In FY18 the program anticipates receiving at least 100 applications and issuing up to 75 awards. Program has not yet selected projects for funding. Program anticipates funding projects that address the goals and objectives of the National Fish Habitat Action Plan.

REGULATIONS, GUIDELINES, AND LITERATURE:

See Fish Passage Policy 710 FW 1 (<http://www.fws.gov/policy/710FW1.html>) and the National Fish Habitat Action Plan Policy (<http://www.fws.gov/policy/717fw1.html>). Annual reports, special reports, and Fish and Wildlife Management Plans are also available at www.fws.gov/fisheries.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Julie Jackson Fish and Aquatic Conservation, U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike, MS: FAC, , Falls Church, Virginia 22041-3803 Email: Julie_jackson@fws.gov Phone: (703) 358-2373

Website Address:

<http://www.fws.gov/fisheries/>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.631 Partners for Fish and Wildlife

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: FY16: Funding for the State/Interstate ANS Management Plan Grant Program was used to: 1) Support aquatic invasive species education and outreach needs across a large number of states; 2) Conduct research on Asian tiger shrimp, island apple snail, and swim bladder parasite in South Carolina; 3) Support watercraft inspection and decontamination efforts in many western states working to prevent the further spread of invasive mussels; and 4) Address control issues related to hydrilla (CT and OH), and starry stonewort (MN), eradication of giant salvinia (TX), and an assessment on watersheal (VA).

National Fish Passage and Habitat projects:

FY16: 1) Establishing ground cover in reservoir mudflats to foster fish assemblages, Grenada Lake, MS; 2) Trooper Island Shoreline Stabilization Project, Dale Hollow Lake, TN; and 3) Improvement of Sport Fish Habitat and Species Diversity in Lake Livingston, TX. Fiscal Year 2017: Funding for the

State/Interstate ANS Management Plan Grant Program - FY17: No current data available.

National Fish Passage and Habitat projects:

FY17: Program has not yet selected projects for funding. Program anticipates funding projects that address the goals and objectives of the National Fish Habitat Action Plan. Fiscal Year 2018: Funding for the State/Interstate ANS Management Plan Grant Program
FY18: No current data available.

National Fish Passage and Habitat projects:

FY18: Program has not yet selected projects for funding. Program anticipates funding projects that address the goals and objectives of the National Fish Habitat Action Plan.

CRITERIA FOR SELECTING PROPOSALS:

See National Fish Habitat Action Plan Policy at:
<http://www.fws.gov/policy/717fw1.html>.

15.611 WILDLIFE RESTORATION AND BASIC HUNTER EDUCATION

(Pittman-Robertson Wildlife Restoration Program)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Pittman-Robertson Wildlife Restoration Act of 1937, 50 Stat. 917 as amended; 16 U.S.C. 669-669k.; Pittman-Robertson Wildlife Restoration and Dingell-Johnson Sport Fish Restoration Acts, Title 50, Part 80.

OBJECTIVES:

The Act provides grants to State, Commonwealth, and territorial fish and wildlife agencies for projects to restore, conserve, manage, and enhance wild birds and mammals and their habitat. Projects also include providing public use and access to wildlife resources; hunter education and safety; and the development and management of shooting ranges.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Approved activities include selection, restoration, rehabilitation, and improvement of wildlife habitat; wildlife management research; wildlife population surveys and inventories; land acquisition; coordination; development of facilities; facilities and services for conducting hunter safety. Law enforcement and public relations are not eligible under the Act.

Applicant Eligibility:

Agencies from the 50 States, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the Fish and Wildlife Service. To be eligible, they must pass assent legislation to the provisions of the Act for conservation of wildlife that includes a prohibition against the diversion of license fees paid by hunters for any other purpose than the administration of the fish and wildlife agency.

Beneficiary Eligibility:

General Public (While direct participation is limited to fish and wildlife agencies, the general public will ultimately benefit from these wildlife conservation measures.)

Credentials/Documentation:

Each year within 60 days of the apportionment notice, States, Commonwealths, and territories must notify the Secretary of the Interior that they want to participate in the program for the year. The State, Commonwealth, or territorial fish and wildlife Director must furnish a certification of the number of paid hunter license holders. Allocable costs are determined in accordance with 2 CFR 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State, the District of Columbia, Commonwealth, or territory for more information on this process when applying for assistance if

the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency. For further instructions and forms go to <http://fawiki.fws.gov/display/WTK/Toolkit+Homepage> or www.grants.gov. Program regulations are found in 50 CFR80.

Award Procedure:

The Regional Director of the Fish and Wildlife Service or his designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

Jul 01, 2017 July 1, 2017 Annually by July 1. Check with your Regional Service Office to determine any Regionally specific deadlines.

Range of Approval/Disapproval Time:

Average 30 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the Fish and Wildlife Service.

Renewals:

Grantees may renew projects on an annual basis if justifiable and if funds are available.

Formula and Matching Requirements:

Statutory Formula: Public Law 16 U.S.C. 669b. The program is funded by a permanent appropriation from revenues collected from taxes on bows, arrows, archery equipment, sporting firearm, ammunition, handguns, pistols, and revolvers. The revenues are deposited in the Federal Aid to Wildlife Restoration fund in the U.S. Treasury.

These funds are annually apportioned according to the formula prescribed by the Act: 50 percent based on land area of the State, Commonwealth, or territory and 50 percent based on paid hunting license holders; no State may receive more than 5 percent or less than one-half of 1 percent of the total apportionment; the Commonwealth of Puerto Rico is apportioned up to one-half of 1 percent; and Guam, U.S. Virgin Islands, American Samoa, and Commonwealth of Northern Mariana Islands each receive up to one-sixth of 1 percent of the total apportionment. Section 4(c) Hunter Education and Safety Program Funds are formula-based apportionment based on State population. No State may receive more than 3 percent or less than 1 percent of the total Hunter Education funds apportioned. Commonwealth of Puerto Rico, Guam, U.S. Virgin Islands, American Samoa, and the Commonwealth of Northern Mariana Islands receive up to one-sixth of 1 percent of the total apportionment. Matching Requirements: Yes. Grant funds may be disbursed to States for up to 75 percent of the total cost of a project. Grant funds may be disbursed from 75 to 100 percent of the total project costs to the Commonwealths of Puerto Rico, Northern Mariana Islands and the territories of Guam, the U.S. Virgin Islands, and American Samoa. Each Regional Director decides on the specific Federal share between 75 and 100 percent based on what he or she decides is fair, just, and equitable.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Apportioned funds are available for obligation for a period of two years.

Balances remaining unobligated after the period of availability revert to the U.S. Fish and Wildlife Service. Any funds not obligated within two years by a State, Commonwealth, or territorial fish and wildlife agency revert to the U.S. Fish and Wildlife Service and will be spent under the provision of the Migratory Bird Conservation Act. In addition, under the provisions of the Wildlife Restoration Act, the interest accumulated by Wildlife Restoration account is available to the North American Wetland Conservation program. Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 2 CFR 200, or as otherwise prescribed in program-specific legislation. See the following for information on how assistance is awarded/released: Program will include any specific payment terms and conditions in the notice of award.

Reports:

Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit annual interim reports. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Cash reports are not applicable. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit annual interim reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. A Federal Financial Report SF 425 is required for each grant award annually within 90 days after the anniversary date and/or end of the grant. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 2 CFR 200 and 2 CFR Part 170.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Cost records must be maintained separately for each grant. Records, accounts and supporting documents must be retained for three years after submission of the Federal Financial Report (SF 425).

Account Identification:

14-5029-0-2-303.

Obligations:

(Formula Grants) FY 16 \$127,399,355; FY 17 est \$137,718,312; and FY 18 est \$146,000,000 - (Formula Grants) FY 16 \$127,399,355; FY 17 est \$137,718,312; FY 18 est \$146,000,000- Hunter Education and Safety. (Formula Grants) FY 16 \$559,608,664; FY 17 est \$627,782,871; and FY 18 est \$619,501,184 - (Formula Grants) FY 16 \$559,608,664; FY 17 est \$627,782,871; FY 18 est \$619,501,184 - Wildlife Restoration.

Range and Average of Financial Assistance:

Range is \$268,000 to \$7,187,000; Average \$2,750,000.

TAFS Codes:

14-5029.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FY16: Program has not yet selected projects for funding, although it receives and funds approximately 375 grant proposals annually. The project activities funded include: research, operation and maintenance, construction, habitat restoration, land acquisition, technical guidance, coordination and hunter education. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 80 contains the program regulation for this program. Matching and cost-sharing requirements are discussed in 50CFR 80.85 and 2 CFR 200.306. Applicants can visit these regulations and guidance at <http://fawiki.fws.gov/display/WTK/Toolkit+Homepage>.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices.
Wildlife Restoration
Region 1, Pacific Region (Idaho, Oregon, Washington, Hawaii, Pacific Islands) Heather Hollis, 503-231-6233 .
Region 2, Southwest Region (Arizona, New Mexico, Oklahoma, Texas) Nicole Jimenez, 505-248-7466.
Region 3, Midwest Region (Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, Wisconsin) Jessica Piispanen, 612-713-5145.
Region 4, Southeast Region (Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee, U.S. Virgin Islands) Diana Swan, 404-679-7058.
Region 5, Northeast Region (Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia) Tom Decker, 413-253-8502.
Region 6, Mountain-Prairie Region (Colorado, Idaho, Kansas, Montana, Nebraska, North Dakota, South Dakota, Utah, Wyoming) Otto Jose, 303-236-8156.
Region 7, Alaska Region (Alaska) Doug McBride, 907-786-3631.
Region 8, Pacific Southeast Region (California, Nevada) Justin Cutler, 916-414-6457.

Basic Hunter Education

Region 1, Pacific Region (Idaho, Oregon, Washington, Hawaii, Pacific Islands) Barb Behan, 503-231-2066.
Region 2, Southwest Region (Arizona, New Mexico, Oklahoma, Texas) Andrew Ortiz 505-248-7459.
Region 3, Midwest Region (Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, Wisconsin) Fabian Romero, 612-713-5145.
Region 4, Southeast Region (Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee, U.S. Virgin Islands) Diana Swan, 404-679-7058.
Region 5, Northeast Region (Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia) Richard Zane, 413-253-8506.
Region 6, Mountain-Prairie Region (Colorado, Idaho, Kansas, Montana, Nebraska, North Dakota, South Dakota, Utah, Wyoming) Otto Jose, 303-236-8156.
Region 7, Alaska Region (Alaska) Doug McBride, 907-786-3631.
Region 8, Pacific Southeast Region (California, Nevada) Justin Cutler, 916-414-6457.

Headquarters Office:

Policy and Programs, U.S. Fish & Wildlife Service Headquarters, Wildlife and Sport Fish Restoration Program, Policy and Programs Division, 5275 Leesburg Pike, MS: WSFR, , Falls Church, Virginia 22041-3803 Phone: (703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov/>.

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.615 Cooperative Endangered Species Conservation Fund; 15.626 Hunter Education and Safety Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: FY16: Alaskas Intensive Management (IM) is a management objective established in Alaska statute and can be applied to ungulate populations in which hunter harvest has inexplicably declined. Populations managed under IM are assessed to determine limiting factors for abundance. Sitka black-tailed deer (SBTD) in Prince William Sound (PWS) Alaska are an introduced population which exists at the northernmost latitude of their range. A study of SBTD in PWS was recently initiated to estimate adult deer abundance, survival rates, nutritional status, and evaluate population objectives. Fifteen deer were successfully net-gunned from a helicopter, tagged with GPS collars, assessed for body condition, and released. Ability to capture (net) deer from a helicopter was experimental, but successful in PWS. Ability to obtain very high-resolution color-infrared imagery (CIR) was successful and will be used for habitat classification. Collared deer provide the basis to learn about their habitat selection, nutritional condition, population abundance, and

the effect of winter conditions. Ultimately, these study results will inform management actions. Harvest of deer is extremely important in the coastal communities of PWS and southeastern Alaska.

Georgias Wildlife Resources Division currently manages more than 1 million acres of land for wildlife and hunter access. One of many examples of recent ground level enhancements includes pine savannah restoration activities ongoing on Wildlife Management Areas in southwest Georgia. These projects will positively benefit bobwhite quail, which is the state game bird, other game species such as deer and wild turkey, and other wildlife that depend on open pine savannah habitat. Also in Georgia, Wildlife Restoration funds were used help build the Shooting Sports Education Center at Georgia Southern University. Within the last year, this 30,000 square foot facility was open to the public and equipped to serve a variety of shooting sports interests through the 16 lane, 25 meter firing range, 16 lane, 25 meter archery center, and two training / seminar rooms.

Marylands Department of Natural Resources is playing a major role in the recovery of the endangered Delmarva fox squirrel with the use of Wildlife Restoration and Section 6 funds over the past four decades. On December 16, 2015, the Delmarva fox squirrel was removed from the federal list of endangered species after 48 years of recovery efforts. It was placed on the original list of endangered species in 1967 along with the bald eagle, California condor, and black-footed ferret, and is the 31st species to recover and become delisted. Wildlife Restoration funds are used to monitor populations, document the fox squirrels range expansion, study its natural history, and re-establish populations in portions of its historic range from which the Delmarva fox squirrel had disappeared. Beginning in 1978, wild caught Delmarva fox squirrels were translocated to 15 different locations in Maryland (11), Delaware (2), Virginia (1), and Pennsylvania (1). Ultimately, 11 populations were successfully established and the range now includes 8 Maryland counties, and a Delaware and Virginia county as well. These populations continue to grow and expand into new areas.

Mississippi Department of Wildlife, Fisheries & Parks (MDWFP) is constructing a shooting range facility (McIvor Creek Shooting Range) in northern Mississippi at the Charles Ray Nix Wildlife Management Area (WMA) in Panola County, Mississippi. The MDWFP seeks to provide a safe, quality, clean, and family friendly public shooting range facility; provide more educational and shooting range facilities to support classroom training and live fire opportunities for Hunter Education classes and support the expansion of programs that will encourage participation in shooting sports and hunting. As proposed, the facility will include a 3-D archery range, 15-station sporting clays course, trap and skeet complex, pistol range, 100 and 300 yard rifle ranges, and a duck flush (five-stand). The project is being funded in part utilizing \$1,406,111 in Wildlife Restoration funds and in partnership with the Olin Corporation (Winchester Ammunition), which contributed over \$1,400,000 to the project. The groundbreaking ceremony was held on August 30, 2016.

Pennsylvanias Game Commission has launched a prescribed fire program on their state games lands system that has over 800,000 acres of fire-dependent wildlife habitats. Fire shaped Pennsylvanias wildlife habitats for thousands of years with recurring fires that maintained oak forests, open woodlands, and grassy meadows the perfect mix for turkeys, deer, and other wildlife. But such habitats are actually threatened with fire removed from the equation. After 70 100 fire-free years, formerly open habitats are now clogged with rank vegetation and oak forests are being replaced by fire-intolerant birch and maple; all to wildlifes detriment. Thats why prescribed burning is an essential tool thats being used to restore vibrant wildlife habitats. Prescribed burns also reduce the risk of wild fire because prescribed burns are normally repeated every 3 10 years, so fuels cannot build to dangerous levels. Pennsylvania first requested Wildlife Restoration funds to jumpstart its prescribed fire program in 2010. Since then the agency has used prescribed fire to improve habitat and hunting opportunity on 26,738 acres. The program goal is to burn 20,000 acres annually by the year 2020, and funds are instrumental in training over 200 staff to National Wildfire Coordinating Group (NWCG) standards, purchasing wildland fire equipment, and developing fire breaks and mechanical fuel reductions.

Virginias Department of Game and Inland Fisheries (VDGIF) used Wildlife

Restoration funds, combined with funds from other partners, to purchase of over 2,600 acres of land in eastern New Kent County, Virginia. This acquisition, approved by the VDGIF board for the price of \$9.3 million dollars, includes more than two miles of frontage on the York River in addition to five miles along the Ware and Philbates creeks. The property includes over 720 acres of tidal wetlands, 1800 acres of upland forested habitats, and 100 acres of croplands. These valuable ecosystems are home to a variety of wildlife, including deer and turkey, small game such as rabbits and squirrels, waterfowl, black ducks, teal, mallards, and a host of neo-tropical songbirds including warblers, thrushes, tanagers, and vireos. The property also contains substantial tidal and upland wildlife habitat. Coastal wetlands protect against flooding, provide habitat for wildlife, and contribute to outdoor recreational opportunities. This property will be a significant addition to the VDGIFs Wildlife Management Area system, serve as a crucial filter for runoff entering waterways, and provide public access for outdoor recreation in perpetuity. Fiscal Year 2017: FY17: Idaho Department of Fish and Game (IDFG) is researching the population drivers of pronghorn in various habitat types including native shrub-steppe, altered shrub-steppe, and agricultural communities. This project will evaluate factors affecting pronghorn herds in eastern Idaho, and develop a reliable, cost-effective technique for monitoring status and trend of pronghorn herds in Idaho. Pronghorn will be captured, fitted with radio collars, have their body condition assessed, and be monitored with telemetry. Habitat quality, nutritional condition of vegetation, neonatal survival and cause specific mortality will be assessed. Monitoring methods will focus on the vital rates deemed most likely to influence populations. This information is necessary for conservation and management decisions, including hunting seasons, habitat improvement efforts, and technical assistance to public and private landowners.

Oregon has a Statewide Habitat Management grant that covers habitat restoration efforts in seven distinct Watershed Districts (Deschutes, John Day, Malheur, Klamath, Rogue, South Willamette, and Umpqua). Goals of the project are to (1) maintain, restore, or enhance wildlife habitat on public and private lands to optimize wildlife populations, while paying particular attention to listed species; (2) evaluate, protect, or enhance existing habitat conditions or restore lost habitats on private and public lands; (3) assist landowners by providing resources to restore and improve fish and wildlife habitat; and (4) provide technical advice and assistance to local, State, and Federal agencies regarding land-use activities and proposed developments to promote conservation of fish and wildlife habitats. The areas managed under this grant are designed to provide year-round habitat for wildlife species and to reduce and/or minimize agricultural damage and depredation to surrounding communities by wildlife. By coordinating with agencies and private landowners to implement and administer the proposed activities, the general public can benefit from healthier habitats for many species.

The Indiana Department of Natural Resources, in partnership with Hamilton County Parks and Recreation Department, recently completed construction of the Koteewi Park Archery Range. The facility includes beginner archery ranges with 10-25 yard targets, advanced archer ranges with up to 90 meter targets, practice shooter ranges, and a 3-D target shooting trail. The facility provides a safe environment to learn about archery, shooting sports, and bow hunting that serves the local, regional, and national communities. This range is one example of many ranges that have been constructed throughout the country with Wildlife Restoration funds.

The Arkansas Game and Fish Commission (AGFC) is renovating 11 Wildlife Management Areas to benefit waterfowl. In addition to replacing and upgrading pumps and pipeline for increased water management ability, AGFC is also establishing three new moist-soil units and expanding or renovating at least 20 more. More than 1,300 acres of waterfowl habitat will be created or improved and the ability to control water levels greatly enhanced on thousands of additional acres. Fiscal Year 2018: FY18: The Program has not selected projects for funding. The Program anticipates funding projects to restore, conserve, manage and enhance wild birds and mammals and their habitats and to provide hunter development and safety programs.

CRITERIA FOR SELECTING PROPOSALS:

The State, Commonwealth, or territorial agency having lead responsibility for

the management of their wildlife resources must submit the projects. The State, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.614 COASTAL WETLANDS PLANNING, PROTECTION AND RESTORATION

National Coastal Wetlands Grants

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Coastal Wetlands Planning, Protection and Restoration Act, Title III, Public Law 101-646, 16 U.S.C 3951-3956.

OBJECTIVES:

To provide competitive matching grants to coastal States for coastal wetlands conservation projects. The Coastal Wetlands Planning, Protection, and Restoration Act (Section 305, Title III, Public Law 101-646 U.S.C. 3954) established the National Coastal Wetlands Conservation Grant Program to acquire, restore, and enhance wetlands in coastal States.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds are available to coastal States for acquisition of interests in coastal lands or waters, and for restoration, enhancement or management of coastal wetlands ecosystems on a competitive basis. 100% of funds are discretionary and awarded on a competitive basis.

Applicant Eligibility:

Eligible applicants include any agency or agencies designated by the Governor of a coastal State. It is usually a State natural resource or fish and wildlife agency. Eligible coastal States are States bordering the Great Lakes (Illinois, Michigan, Minnesota, New York, Ohio, Pennsylvania, and Wisconsin); States bordering the Atlantic, Gulf (except Louisiana), and Pacific coasts (Alabama, Alaska, California, Connecticut, Delaware, Florida, Georgia, Hawaii, Maine, Maryland, Massachusetts, Mississippi, New Hampshire, New Jersey, New York, North Carolina, Oregon, Rhode Island, South Carolina, Texas, Virginia, and Washington); and American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Puerto Rico, and the Virgin Islands.

Beneficiary Eligibility:

States, Commonwealths, or territories as designated in the applicant eligibility section.

Credentials/Documentation:

No credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency. For further instructions and forms go to <http://fawiki.fws.gov/display/WTK/Toolkit+Homepage> or www.grants.gov. Program regulations are found in 50 CFR 84.

Award Procedure:

The Director of the Fish and Wildlife Service will select projects to be funded based on established criteria.

Deadlines:

Jun 30, 2017 The Fiscal Year 2017 application deadline is 11:59 p.m. PDT on June 30, 2017.

Range of Approval/Disapproval Time:

Approximately 150 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Applications for successive project phases are competed as new project applications.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: States with a dedicated fund for land conservation are required to provide a minimum cost share equal to 25 percent of the grant request. All other States are required to provide a 50 percent cost share. We waive the first \$200,000 of match for the following insular areas: American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, and the U.S. Virgin Islands.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects normally funded through annual proposals. See the following for information on how assistance is awarded/released: Projects normally funded through annual competed applications. Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, or as otherwise prescribed in program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, they are subject to Program specific audits contracted by the Fish and Wildlife Service.

Records:

Cost records must be maintained separately for each project. Grantees must retain records, accounts, and supporting documents for 3 years after submission final request for reimbursement.

Account Identification:

14-8151-0-7-303.

Obligations:

(Project Grants (Discretionary)) FY 16 \$20,300,000; FY 17 est \$7,400,000; and FY 18 est \$17,000,000 - FY 18 available funds are dependent on the amount of excise taxes collected from the sales of fishing tackle and equipment and motor boat fuel.

Range and Average of Financial Assistance:

Range: \$125,000 - \$1,000,000; Average: \$575,000.

TAFS Codes:

14-8151.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program received 32 applications from 13 coastal States. Of these, 28 projects from 12 states were funded with \$20.3 million federal share and \$20.5 million in non-federal share. The projects cumulatively protect, restore or enhance more than 10,000 acres of important coastal wetland habitat, including several miles of shoreline. Conservation of this habitat not only benefits coastal-dependent federal trust species, but also enhances flood protection and water quality, and provides economic and recreational benefits to commercial fishermen and anglers. Fiscal Year 2017: The program received 25 applications from 11 coastal States. Of these, 20 projects from 10 states were funded with \$17.4 million federal share and \$20.3 million in non-federal share. The projects cumulatively protect, restore or enhance more than 13,000 acres of important coastal wetland habitat, including several miles of shoreline. Conservation of this habitat not only benefits coastal-dependent federal trust species, but also enhances flood protection and water quality, and provides economic and recreational benefits to commercial fishermen and anglers. Fiscal Year 2018: In FY18 the Service anticipates receiving between 20 and 40 applications and issuing approximately 20 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 84

Regional or Local Office:

See Regional Agency Offices. Region 1 - American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Hawaii, Idaho, Oregon, and Washington:

Jim Duffy
USFWS-WSFR
911 NE 11th Avenue
Portland, OR 97232‐4181
503-231-2096
james_duffy@fws.gov

Region 2 - Arizona, New Mexico, Oklahoma, and Texas:

Susan MacMullin
USFWS-WSFR
P.O. Box 1306
500 Gold Avenue, SW, Room #8514
Albuquerque, NM 87103
505‐248‐7476
susan_macmullin@fws.gov

Region 3 - Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, and Wisconsin:

Casey Nelson
USFWS-WSFR
5600 American Blvd. West, Suite 990
Bloomington, MN 55437-1458
612‐713‐5143
casey_nelson@fws.gov

Region 4 - Alabama, Arkansas, Florida, Georgia, Kentucky, Mississippi, North Carolina, the Commonwealth of Puerto Rico, South Carolina, Tennessee, and the U.S. Virgin Islands:

Torre Anderson
USFWS-WSFR
1875 Century Boulevard, Suite 240
Atlanta, GA 30345‐3319
404‐679‐4168
torre_anderson@fws.gov

Region 5 - Connecticut, Delaware, the District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia:

Shelley DiBona
USFWS-WSFR
300 Westgate Center Drive
Hadley, MA 01035‐9589
413-253-8509
Shelley_DiBona@fws.gov

Region 7 - Alaska:

Doug McBride
USFWS-WSFR
1011 East Tudor Road, MS 261
Anchorage, AK 99503
907‐786-3631
Doug_McBride@fws.gov

Region 8 - California and Nevada:

Larry Riley
USFWS-WSFR
2800 Cottage Way Room W‐1729
Sacramento, CA 95825
916.978.6182
Lawrence_Riley@fws.gov.

Headquarters Office:

Chris Darnell U.S. Fish and Wildlife Service Headquarters, The National Refuge System - Division of Natural Resources and Conservation Planning, 5275 Leesburg Pike, MS: NWRS, Falls Church, Virginia 22041-3803 Email: chris_darnell@fws.gov Phone: 703) 358-2236

Website Address:

<http://wsfrprograms.fws.gov>

RELATED PROGRAMS:

15.623 North American Wetlands Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Beach Lake Acquisition and Restoration -The Washington State Department of Ecology, in partnership with the Coastal Watershed Institute and others, was awarded \$1 million to acquire and restore critical coastal wetlands in the Elwha River watershed of Clallam County, Washington State.
South Fenwick Islands Parcels - The South Carolina Department of Natural Resources, partnering with The Nature Conservancy, was awarded \$1 million to acquire 400.94 acres on a hammock island in the heart of the nationally recognized ACE Basin, one of the largest protected estuarine systems on the East Coast. Mighty Waterfowler Project - The New Jersey Department of Environmental Protection, Green Acres Program, partnering with U.S. Fish and Wildlife Service, was awarded \$462,000 to acquire and permanently protect 204.1 acres of privately owned property in Cumberland County, New Jersey. The subject property contains a significant amount of nationally decreasing coastal wetlands types, along with upland farmland and modified agricultural wetland, upland forest. Fiscal Year 2017: Grays Harbor, WA- The Washington State Department of Ecology, partnering with Ducks Unlimited, was awarded \$1 million to acquire 1,750 acres of diverse and threatened habitats, including wetlands in Grays Harbor County, Washington, and is located within close proximity to protected areas, including Grays Harbor National Wildlife Refuge. Multiple fish and bird species that use watershed along with elk, deer, black bear and river otters will benefit from the protection. Red Banks Alvar State Natural Area, WI - The Wisconsin Department of Natural Resources was awarded \$152,496 to help acquire and protect 140 acres on the Red Banks Alvar State Natural Area located adjacent to the Bay of Green Bay in Brown County, Wisconsin. The property is dominated by palustrine emergent, scrub/shrub and forested wetlands that provide important habitat for the threatened dwarf lake iris as well as migratory and breeding birds and other wildlife. San Francisco Bay Estuary, CA - The California State Coastal Conservancy was awarded \$1 million to restore and enhance 68 acres of seasonal coastal wetlands at Bel Marin Keys on San Pablo Bay in the San Francisco Bay Estuary. It is part of the larger Hamilton Wetlands Restoration

Project that will result in a restored coastal wetlands complex up to 2,500 acres in extent. The project will restore seasonal wetlands that will provide valuable habitat for migratory waterfowl and shorebirds on the Pacific Flyway, as well as high tide refugia for listed tidal marsh species, including the endangered Ridgeway rail. Extensive tidal marsh restoration made possible by the construction of a setback levee will help with resilience to sea level rise. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects that acquire, restore, and enhance wetlands in coastal States.

CRITERIA FOR SELECTING PROPOSALS:

Applications are scored and then ranked based on criteria published in 50 CFR 84.32. See the Notice of Funding Opportunity (NOFO), and Attachment A to this NOFO for more information on ranking criteria. The NOFO is available at www.Grants.gov.

15.615 COOPERATIVE ENDANGERED SPECIES CONSERVATION FUND

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, 16 U.S.C. 1531 et seq., as amended; Land and Water Conservation Fund Act of 1965, 16 U.S.C. 4601, as amended.

OBJECTIVES:

To provide Federal financial assistance to any State or Territory (hereafter, "States"), through its appropriate State or territorial agency, to assist in the development of programs for the conservation of endangered and threatened species.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The assistance provided to the State fish and wildlife agency can include animal, plant, and habitat surveys; research; planning; monitoring; habitat protection, restoration, management, and acquisition; and public education. Assistance is restricted to those State agencies with which the Fish and Wildlife Service has a current cooperative agreement for the species involved. Yes, restrictions can be found in the annual request for proposals found at <http://www.fws.gov/endangered/grants/index.html>. 100 % of appropriated funds are set aside for discretionary activities.

Applicant Eligibility:

Participation limited to State agencies that have a cooperative agreement with the Secretary of the Interior. The annual Notice of Funding Opportunity (NOFO), announced through www.grants.gov and posted to the U.S. Fish and Wildlife Service Web site at <http://www.fws.gov/endangered/grants/index.html>, describes the criteria that must be satisfied for an application to be eligible for funding.

Beneficiary Eligibility:

All States that have entered into a cooperative agreement with the Secretary of the Interior.

Credentials/Documentation:

A current cooperative agreement(s) between the Secretary of the Interior and the State Conservation agency(ies) concerning endangered and threatened species is necessary. Costs will be determined in accordance with 2 CFR 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart E - Cost Principles. Separate agreements for animals and plants are normally made with each State's responsible agency. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative requirements for Grants and Cooperative Agreements to State and Local Governments," must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State

has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An applicant must complete the Standard Form SF-424, Application for Federal Assistance and the appropriate Budget and Assurances forms (SF-424A and SF-424B - Non Construction; or SF-424C and SF-424D - Construction). The project description section of the application should address the evaluation factors identified in the annual request for proposals that will be posted at www.grants.gov and to the U.S. Fish and Wildlife Service website at <http://www.fws.gov/endangered/grants/index.html>.

Award Procedure:

Final selection is made by the Secretary of the Interior, based on recommendations by the Director or Regional Directors of the U.S. Fish and Wildlife Service. Funds are obligated by an authorized signature on an award agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Variable. Information regarding deadlines is available in the annual Notice of Financial Assistance posted at www.grants.gov and at the U.S. Fish and Wildlife Service website at <http://www.fws.gov/endangered/grants/index.html>.

Information regarding approval/disapproval time frames is available in the annual Notice of Funding Opportunity (NOFO) posted at www.grants.gov and at the U.S. Fish and Wildlife Service website at <http://www.fws.gov/endangered/grants/index.html>.

Appeals:

Decisions can be appealed to the U.S. Fish and Wildlife Service, Chief, Ecological Services Division of Recovery and Restoration. Final determination rests with the Secretary of the Interior.

Renewals:

Renewals can be made through submission of a project proposal and approval of a grant award document.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: States may receive up to 75 percent of the program costs. When two or more States have a common interest in one or more endangered or threatened species and enter into a joint agreement, the Federal share of the total project cost may be increased to 90 percent. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

So much of the annual appropriation allocated for obligation to any State for any fiscal year as remains unobligated at the close thereof is authorized to be made available to that State until the close of the succeeding fiscal year. Any amount allocated to any State which is unobligated at the end of the period during which it is available for expenditure is authorized to be made available for expenditure by the Secretary for conducting programs authorized by Section 6 of the Endangered Species Act. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise prescribed in program-specific legislation or special award terms.

Reports:

Annual interim reports are due 90 calendar days after the end of each four full quarters based on fiscal quarters (March 31, June 30, September 30, December 31). The final report is due 90 calendar days after the grant ending date. Cash reports are not applicable. A performance report is required for each project segment within 90 days following the close of the segment. The Federal

Financial Report, SF 425 is required. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 2 CFR 200 and 2 CFR Part 170.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Land acquisition records and land documents must be maintained in perpetuity.

Account Identification:

14-5143-0-2-302 - FY 13 amounts are based on the Presidents budget request and FY 14 amounts are estimates based on prior year funding levels only.

Obligations:

(Project Grants (Discretionary)) FY 16 \$17,200,000; FY 17 est \$11,162,000; and FY 18 est \$11,162,000 - Project Grants (Discretionary) 5954 Species Recovery Land Acquisition Grants: FY16 \$17,200,000; FY17 \$11,162,000 est; and FY18 \$11,162,000 est. (Project Grants (Discretionary)) FY 16 \$22,000,000; FY 17 est \$19,638,000; and FY 18 est \$19,638,000 - Project Grants (Discretionary) 5943 Habitat Conservation Plan Land Acquisition Grants: FY16 \$22,000,000; FY17 \$19,638,000 est; and FY18 \$19,638,000 est. (Project Grants (Discretionary)) FY 16 \$10,508,000; FY 17 est \$12,603,000; and FY 18 est \$12,603,000 - Project Grants (Discretionary) 5941 Conservation Grants: FY16 \$10,508,000; FY17 \$12,603,000 est; and FY18 \$12,603,000 est. (Project Grants (Discretionary)) FY 16 \$5,800,000; FY 17 est \$7,390,000; and FY 18 est \$7,390,000 - Project Grants (Discretionary) 5944 Habitat Conservation Planning Assistance Grants: FY16 \$5,800,000; FY17 \$7,390,000 est; and FY18 \$7,390,000 est.

Range and Average of Financial Assistance:

Varies by program element.

TAFS Codes:

14-5143; 14-5479.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Service awarded approximately \$44.8 million in fiscal year 2016 funding to Nontraditional Program projects through the CESCFC. Of that amount, approximately \$5.8 million was awarded through the Habitat Conservation Planning Assistance program to fully and partially fund 7 out of 8 eligible proposals from 8 States. Approximately \$22 million fully funded 11 out of 11 eligible proposals through the HCP Land Acquisition program. The Recovery Land Acquisition program awarded approximately \$17.2 million in funding to 24 projects in 19 States. Information on the fiscal year 2016 grant awards can be found at:

<https://www.fws.gov/endangered/grants/pdf/FY16.CESCFC-ProjectDescriptions.pdf>

An additional \$10.5 million was awarded to 53 states and territories through the Traditional Conservation grants program. Fiscal Year 2017: Assuming the average grant awards remain consistent with FY16 levels, we anticipate making 10 awards through the Habitat Conservation Planning Assistance program, 10 awards through the HCP Land Acquisition program, 18 awards through the Recovery Land Acquisition program, and providing funding to 53 states and territories through the Traditional Conservation Grants program. Fiscal Year 2018: Assuming the average grant awards remain consistent with FY16 levels, we anticipate making 10 awards through the Habitat Conservation Planning Assistance program, 10 awards through the HCP Land Acquisition program, 18 awards through the Recovery Land Acquisition program, and providing funding to 53 states and territories through the Traditional Conservation Grants program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Endangered Species Act of 1973, 16 U.S.C. 1531 et seq., as amended; Land and Water Conservation Fund Act of 1965, 16 U.S.C. 4601, as amended; 50 CFR 81, The U.S. Fish and Wildlife Service Manual Chapter 521 FW 4 (<http://www.fws.gov/policy/521fw4.html>); The Wildlife and Sport Fish Restoration Program Toolkit

(<http://wsfrprograms.fws.gov/Subpages/ToolkitFiles/toolkit.pdf>); and the annual request for proposals posted at www.grants.gov and at the U.S. Fish and Wildlife Service web site at <http://www.fws.gov/endangered/grants/section6/index.html>.

Regional or Local Office:

See Regional Agency Offices. Please visit <http://www.fws.gov/endangered/regions/index.html> to access a list of regional program contacts.

Headquarters Office:

Chief, Ecological Services Chief, Ecological Services Division of Restoration and Recovery, U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike, MS: ES, Falls Church, Virginia 22041-3803 Phone: (703) 358-2171.

Website Address:

<http://www.fws.gov/endangered/grants/index.html>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.611 Wildlife Restoration; 15.634 State Wildlife Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Over \$55.3 million in grants awarded to 53 states and territories to support conservation planning and acquisition of vital habitat for threatened and endangered fish, wildlife, and plants. Examples are:

- 1) A Traditional Conservation grant in the amount \$12,216 was awarded to the State of Alabama to fund the monitoring of imperiled bats to determine population trends, species ranges, and distribution.
- 2) HCP Planning Assistance grant to the State of Hawaii in the amount of \$906,105 will allow the Hawaii Department of Land and Natural Resources to continue to develop Kaua'i Island Utility Cooperative Habitat Conservation Plan to address incidental take of the endangered Hawaiian petrel, the threatened Newell's shearwater, and the band-rumped storm petrel, a candidate for listing, due to light attraction and utility line collisions on the island of Kauai.
- 3) Recovery Land Acquisition grant in the amount of \$300,000 will allow the Minnesota Department of Natural Resources to permanently protect 100 acres of prairie habitat to support the federally-listed endangered Dakota skipper and Poweshiek skipperling.

- 4) HCP Land Acquisition grant in the amount of \$2,000,000 to the State of Washington for the I-90 Wildlife Corridor project will lead to the acquisition and permanent protection of 1,014 acres of habitat for four Federally-listed species: bull trout, northern spotted owl, Canada lynx, and gray wolf. In addition, it will contribute to habitat protection and improve connectivity for 60 species of terrestrial vertebrates. Fiscal Year 2017: The program has not yet selected projects for funding. However, we expect to award over 225 grants to fund projects similar to those supported in FY16 that benefit threatened and endangered species on non-Federal lands. Fiscal Year 2018: The program has not yet solicited States and Territories for proposals. However, we expect to award over 225 grants to fund projects that benefit threatened and endangered species on non-Federal lands.

CRITERIA FOR SELECTING PROPOSALS:

Proposals for grant funding must be submitted by the State fish and wildlife agency. The State must have an approved cooperative agreement with the Secretary of the Interior which provides for sharing responsibilities for endangered species, prior to receiving Cooperative Endangered Species Conservation Fund (section 6 of the Endangered Species Act) funds. Individual project proposals must compete with other State submissions for funding. For additional information please visit <http://www.fws.gov/endangered/grants/index.html>.

15.616 CLEAN VESSEL ACT

(CVA)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Clean Vessel Act of 1992, Section 5604, Public Law 102-587, Subtitle F; 16

U.S.C. 777c, Safe, Accountable, Flexible, Efficient Transportation Equity Act, Public Law 109-059, and the Fixing America's Surface Transportation (FAST) Act (Public Law 114-94).

OBJECTIVES:

This program provides funding to States, the District of Columbia, Commonwealths, and territories for the construction, renovation, operation, and maintenance of sewage pumpout stations, waste reception facilities, and pumpout boats for recreational boaters and for educational programs that inform boaters of the importance of proper disposal of their sewage.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This program authorizes matching funds on a competitive basis for development of surveys and plans for installing sewage pumpouts and dump stations for recreational boats in the coastal zone of coastal States; and for construction of sewage pumpouts and dump stations for recreational boats; and for implementation of education programs. These stations must provide protection of sensitive areas from recreational boat sewage. Prohibited activities include: law enforcement; construction/renovation of upland restroom facilities; construction, renovation, operation and maintenance of sewage treatment plants; and any activity that does not provide public benefits. 100% of funds are awarded on a competitive basis.

Applicant Eligibility:

Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa may submit grant proposals to the U.S. Fish and Wildlife Service.

Beneficiary Eligibility:

General public, recreational boaters, municipalities, and private marinas within eligible States, the District of Columbia, Commonwealths, and territories.

Credentials/Documentation:

Yes, 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State, the District of Columbia, Commonwealth or territory for more information on this process when applying for assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency. For further instructions and forms go to <http://fawiki.fws.gov/display/WTK/Toolkit+Homepage> or www.grants.gov. Program regulations are found in 50 CFR 85.

Award Procedure:

The Director of the U.S. Fish and Wildlife Service will select projects based on established criteria and available funding. Regional Offices make awards to approved applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Regional Directors will consider differences of opinion over the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The annual program funding comes from the Sport Fish Restoration and Boating Trust Fund. It and the Sportfishing and Boating Safety Act Program (15.622) receive a combined total of four percent of the funds in the Trust Fund. Trust funds are derived from excise taxes on fishing equipment, motorboat and small engine fuels, import duties, and interest on the fund. The Federal share of the project costs cannot exceed 75 percent for the 50 States, the District of Columbia, and the Commonwealth of

Puerto Rico. The first \$200,000 of match must be waived for the Commonwealth of the Northern Mariana Islands and the territories of Guam, the U.S. Virgin Islands, and American Samoa.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The U.S. Fish and Wildlife Service annually notifies all States, the District of Columbia, Commonwealths, and the territories about the grant program. See the following for information on how assistance is awarded/released: Projects normally funded through annual proposals.

Reports:

No program reports are required. No cash reports are required. See Service Manual Chapter 516 FW 2. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. See Service Manual Chapter 516 FW 1. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Cost records must be maintained separately for each project. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-8151-0-7-303.

Obligations:

(Project Grants (Discretionary)) FY 16 \$13,723,471; FY 17 est \$15,441,567; and FY 18 est \$13,000,000 - Project Grants (Discretionary): FY16 \$13,723,471, FY17 \$15,441,567, FY 18 est. \$13,000,000.

FY 18 funding is estimated based on prior year funding levels minus sequestration and recoveries.

Range and Average of Financial Assistance:

Maximum Federal award is \$1,500,000. The average award is approximately \$350,000.

TAFS Codes:

14-8151.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program received 33 applications and awarded funds for all applications at 88% of the overall requested funding level. Fiscal Year 2017: The program received 32 applications and anticipates issuing all awards at approximately 99% of requested funds. Fiscal Year 2018: The program anticipates receiving approximately 30 to 40 applications and issuing all awards at 80 to 100 percent of requested funds.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR Part 85; Final Technical Guidelines published in the Federal Register Vol. 59, No. 47, March 10, 1994; final Grant Guidelines published in the Federal Register Vol. 59, No. 47, March 10, 1994; and final Pumpout Symbol Guidelines published in the Federal Register, Vol. 62, No. 166, August 27, 1997.

Regional or Local Office:

See Regional Agency Offices. Region 1 - American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Hawaii:

Chris Swenson, chris_swenson@fws.gov, (503) 231-6758

Region 1 - Idaho, Oregon, and Washington: Karla Drewsen, Karla_Drewsen@fws.gov, 503-231-2389

Region 2 - Arizona, New Mexico, Oklahoma, and Texas: Bud Fazio, Buddy_Fazio@fws.gov, 505-248-7468

Region 3 - Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, and Wisconsin:

Tony Hewitt, anthony_hewitt@fws.gov, 612-713-5156

Region 4 - Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, the Commonwealth of Puerto Rico, South Carolina, Tennessee, and the U.S. Virgin Islands:

Scott White, Scott_White@fws.gov, 404-679-7113

Region 5 - Connecticut, Delaware, the District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia: Bill Perry, bill_perry@fws.gov, 413-253-8302

Region 6 - Colorado, Kansas, Nebraska, Montana, North Dakota, South Dakota, Utah, and Wyoming: Mike Cotter, michael_cotter@fws.gov, 303-236-8179

Region 7 - Alaska:

Mary Price, Mary_Price@fws.gov, 907-786-3982

Region 8 - California and Nevada:

Larry Riley, Lawrence_Riley@fws.gov, 916-978-6182.

Headquarters Office:

Fish and Wildlife Service U.S. Fish and Wildlife Service Headquarters, Wildlife and Sport Fish Restoration Program, Policy and Programs Division, 5275 Leesburg Pike, MS: WSFR, Falls Church, Virginia 22041-3803 Phone: (703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Maine Coastal \$377,940 - The Maine Department of Environmental Protection, Division of Water Resource Regulation, plans to use grant funds to install or upgrade ten pumpout stations and provide operation and maintenance stipends to pumpout boats and stationary facilities. They also propose to review and update the Education and Outreach Plan as well as develop/distribute educational materials to boaters and boating facilities.

Florida Coastal \$1,338,481, Inland \$711,525 - The Florida Department of Environmental Protection plans to construct/replace thirty-eight coastal and fifteen inland pumpout stations for Florida boaters. They will continue their ongoing CVA boater education program that includes internet postings, outreach events, brochures, radio and television public service announcements, and a database monitoring program. Washington Coastal \$1,281,524, Inland

\$948,000 - The Washington State Parks and Recreation Commission will install new pumpout facilities and maintain and replace aging units throughout the coastal regions of the State. The 2016 projects will focus on the San Juan Islands, South Puget Sound, City of Aberdeen and Port of Willapa Harbor as well as other important locations as opportunities arise. Inland waters will be provided with similar improvements and installations, focusing on areas of the Columbia River, City of Aston and the City of Entiat. Operation and maintenance support will be provided to one-hundred and eight existing public and private marinas. In addition, the Washington State Parks and Recreation Commission will continue to provide a strong education program. Fiscal Year 2017: Program has not yet selected projects for funding. Program anticipates funding projects that construct, renovate, operate, and maintain sewage pumpout stations, waste reception facilities, and pumpout boats for recreational boaters and for educational programs that inform boaters of the importance of proper disposal of their sewage. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects with similar objectives as those in FY17.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals are based upon the extent the project will contribute to public/private partnerships; innovative approaches to increasing availability and use of pumpouts/dump stations; implementation of an education program; contribution toward protecting sensitive areas; and lowering the ratio of vessels with holding tanks and portable toilets to pumpouts and dump stations. Specific criteria are detailed annually in each Fiscal Years Notice of Funding Opportunity.

15.619 RHINOCEROS AND TIGER CONSERVATION FUND**FEDERAL AGENCY:**

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Rhinoceros and Tiger Conservation Act of 1994, Public Law 103-391, 108 Stat. 4094 et seq., 16 U.S.C. 5301 et seq., as amended by the Rhino and Tiger Product Labeling Act of 1998, Public Law 105-312, 112 Stat. 2956 et seq., 16 U.S.C. 5301 et seq.; Multinational Species Conservation Act of 2007, Public Law 110-132, 121 Stat. 1360.

OBJECTIVES:

To provide financial assistance for projects for the effective long-term conservation of rhinoceros and tigers. This program supports projects that focus on one or more of the following areas: Enhanced protection of at-risk rhinoceros and tiger populations; protected area/reserve management in important rhinoceros and tiger range; Veterinary care for wild populations; habitat conservation and management; reintroduction to former range; restoration of habitat; wildlife inspection, law enforcement, and forensics skills; conservation education and community outreach; efforts to decrease human-rhinoceros and human-tiger conflicts; strengthening local capacity to implement conservation programs; transfrontier rhinoceros and tiger conservation; applied research on rhinoceros and tiger populations and their habitats, including surveys and monitoring; development and execution of rhinoceros and tiger conservation management plans; and compliance with applicable treaties and laws that prohibit or regulate the taking or trade of rhinoceros and tigers or regulate the use and management of their habitat.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Proposed project work should occur within the rhinoceros or tiger range, or, if work is to be conducted outside of the range, the proposal should show clear relevance to rhinoceros or tiger conservation. If the project includes research, the applicant must provide a convincing argument that the results will address management needs or threats from disease and climate change and will result in management actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that, to arrest suspects, prompt them to carry out illegal activities (entrapment); any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country of proposed activity; material support or resources to individuals, entities, or organizations

of countries that the U.S. Department of State has identified as state sponsors of terrorism. These countries are: Cuba, Iran, Sudan and Syria. (Exceptions may be allowed with clearance from U.S. Department of State.). 100% of funds are discretionary.

Applicant Eligibility:

Applications may be submitted by any government agency responsible for the conservation and protection of rhinoceroses and/or tigers and any other organization, multi-national secretariat or individual with demonstrated experience in rhinoceros and/or tiger conservation may submit proposals to this Fund. U.S. non-profit, non-governmental organizations must submit documentary evidence of their Section 501(c)(3) non-profit status.

Beneficiary Eligibility:

Any government agency responsible for conservation and protection of rhinoceros and/or tigers and any other organization or individual with demonstrated experience in rhinoceros or tiger conservation.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov>. The Notice of Funding Availability and Application Instructions are also located on this program's website at <https://www.fws.gov/international/grants-and-reporting/how-to-apply.html> If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia & Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 5275 Leesburg Pike, Falls Church, VA 22041. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance."

Award Procedure:

Projects are competitively selected for funding using criteria from the Rhinoceros and Tiger Conservation Act (16 USC 5301 et seq.). Review criteria can be found in the application information at <https://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is executed by an FWS approving official. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Dec 02, 2016 December 2, 2016 and April 5, 2017.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are made within 180 days after the receipt of the project proposal.

Appeals:

Not Applicable.

Renewals:

None. However, at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. No, to the extent possible, grant funds are matched by nonfederal funds. Cost sharing is not required but priority will be given to projects for which there exists some measure of matching funds. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the performance period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Domestic recipients must report expenditures using the SF 425, Federal Financial Report form. Non-domestic recipients must submit a financial table or spreadsheet in the same format as the original project proposal budget, showing itemized expenditures for the reporting period. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter.. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1652-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$3,500,000; FY 17 est \$3,300,000; and FY 18 est \$3,300,000 - PROJECT GRANTS (Discretionary): FY 16 \$3,500,000; FY 17 \$3,300,000 est; FY 18 \$3,300,000 est. FY17 and FY18 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

Variable amounts. Largely \$50,000 or less. Higher amounts may be requested.

TAFS Codes:

14-1652.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program received 91 applications, and issued 66 awards. Fiscal Year 2017: The program anticipates receiving 90 applications and issuing 60 awards. Fiscal Year 2018: The program anticipates receiving 90 applications and issuing 60 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The "Assistance Award Guidelines" can be found on-line at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf> or in hard copy by contacting the Division of International Conservation.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Chief Division of International Conservation
U.S. Fish and Wildlife Service
Department of the Interior,
5275 Leesburg Pike, MS: 1A, Falls Church, Virginia 22041-3803 Email:
MSCF_RhinoTiger@fws.gov Phone: (703) 358 1754 Fax: (703) 358 2115

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/rhino-and-tiger-conservation-fund.html>

RELATED PROGRAMS:

15.620 African Elephant Conservation Fund; 15.621 Asian Elephant Conservation Fund; 15.629 Great Apes Conservation Fund; 15.645 Marine Turtle Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: This program supported projects that: 1) Enhanced the protection of reserve forests (RFs) in Assam, India, and protected areas of East Karbi Anglong Forest Division adjacent to KNP by engaging around 20 community volunteers in tiger/rhino protection during seasonal floods, and equipping around 40 front-line forest staff with basic field gear to improve their efficiency and morale for the protection of tigers and habitat; 2) Protected tigers and their habitats in Malaysia by encouraging citizen conservationists in protecting the western border of Taman Negara National Park and the Sungai Yu (Yu river) wildlife corridor. The corridor is the last forest linkage connecting the two largest tiger landscapes of 35,000 sq. km. in Malaysia; 3) Supported an outreach and publicity campaign for rhino immobilization operations to conduct dehorning and veterinary monitoring in community and conservancy areas and installation of tracking technology. Fiscal Year 2017: Program anticipates funding projects that are effective for the long-term conservation of rhinos and tigers, and those that are similar in scope to FY 2016. Fiscal Year 2018: Program anticipates funding projects that are effective for the long-term conservation of rhinos and tigers, and those that are similar in scope to FY 2016.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed on the basis of criteria developed from the requirements of the Rhinoceros and Tiger Conservation Act (16 U.S.C. 5301 et. seq.). Additional details on the selection criteria can be found in the Rhinoceros and Tiger Notice of Funding Availability and Application Instructions <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Hard copies of the criteria are available upon request from the Division of International Conservation.

15.620 AFRICAN ELEPHANT CONSERVATION FUND**FEDERAL AGENCY:**

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Multinational Species Conservation Act of 2007, Public Law 110-132, 121 Stat. 1360; African Elephant Conservation Act, Title II Section 2001 et seq., Public Law 100-478, 102 Stat. 2315 et seq., 16 U.S.C. 201 et seq., 4211 et seq., 4221 et seq., 4241 et seq., and 1538.

OBJECTIVES:

To provide financial assistance to support projects that will enhance sustainable conservation programs to ensure effective, long-term conservation of African elephants. The African Elephant Conservation Fund supports projects that promote conservation through: Applied research on elephant populations and their habitat, including surveys and monitoring; Development and execution of elephant conservation management plans; Compliance with applicable treaties and laws that prohibit or regulate the taking or trade of elephants or regulate the use and management of elephant habitat; Conservation education and community outreach; Enhanced protection of at-risk elephant populations; Efforts to decrease human-elephant conflict; Habitat conservation and management; Protected area/reserve management in important elephant range; Strengthening local capacity to implement conservation programs; Transfrontier elephant conservation; and Wildlife inspection, law enforcement,

and forensics skills.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Project work should occur within the range of the African elephant, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to African elephant conservation. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, North Korea, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel. 100% of funds are discretionary.

Applicant Eligibility:

Applications may be submitted by any African government agency responsible for African elephant conservation and protection and any other organization or individual with demonstrated experience in African elephant conservation.

Beneficiary Eligibility:

Any African government agency responsible for African elephant conservation and protection and any other organization or individual with demonstrated experience in African elephant conservation.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov>. The Notice of Funding Availability and Application Instructions are also located on this program's website at <https://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia and Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 5275 Leesburg Pike, Falls Church, VA 22041. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance."

Award Procedure:

Projects are reviewed and competitively selected for funding using criteria developed on the requirements of the African Elephant Conservation Fund (16 USC et seq.) Review criteria can be found in the application information at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the U.S. Fish and Wildlife Service and the Recipient. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Jan 17, 2017 January 17th, 2017.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are made within 180 days after the

receipt of the project proposal.

Appeals:

No procedure for appeals.

Renewals:

None. However, at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: No, to the extent possible, grant funds are matched by nonfederal funds. Cost sharing is not required but priority will be given to projects for which there exists some measure of matching funds.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the performance period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 2 CFR 200, Subpart E-Cost Principles, unless otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Domestic recipients must report expenditures using the SF 425, Federal Financial Report form. Non-domestic recipients must submit a financial table or spreadsheet in the same format as the original project proposal budget, showing itemized expenditures for the reporting period. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter.. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1652-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$3,139,912; FY 17 est \$3,200,000; and FY 18 est \$3,200,000 - PROJECT GRANTS (Discretionary): FY 16 \$3,139,912.53; FY 17 \$3,200,000.00 est.; FY 18 \$3,200,000.00 est. FY 17 and FY 18 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

Variable amounts. Generally \$50,000 or less. Higher amounts may be requested.

TAFS Codes:

14-1652; 14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program received 34 applications and issued 19 awards. Fiscal Year 2017: Program received 35 applications and anticipates issuing 20 awards. Fiscal Year 2018: Program anticipates receiving 35 applications and issuing 20 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The "Assistance Award Guidelines" can be found on-line at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf> or in hard copy by contacting the Division of International Conservation.

Regional or Local Office:

None.

Headquarters Office:

Chief Division of International Conservation
U.S. Fish and Wildlife Service
Department of the Interior,
5275 Leesburg Pike, MS: 1A
, Falls Church, Virginia 22041-3803 Email: MSCF_AfricanElephant@fws.gov
Phone: (703) 358-1754 Fax: (703) 358-2115

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/african-elephant-conservation-fund.html>

RELATED PROGRAMS:

15.619 Rhinoceros and Tiger Conservation Fund; 15.621 Asian Elephant Conservation Fund; 15.629 Great Apes Conservation Fund; 15.645 Marine Turtle Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The program supported: 1) support for expenses related to the deployment of canine and investigations units, aerial surveillance over the national park and game management areas and technical support for operations in and around South Luangwa NP, Zambia. 2) Essential support for protection of elephants by community rangers in and around the arid rangelands around Amboseli, in southern Kenya, and outreach with local residents to lessen and mitigate human-elephant conflict. 3) Support for new technology to monitor elephant presence, absence, and abundance and human activity (especially logging and illegal hunting) at remote sites in in northern Republic of Congo. Fiscal Year 2017: Program is currently going through the selection process and anticipates funding projects that are effective for the long-term conservation of African elephants, and those that are similar in scope to FY 2016. Fiscal Year 2018: Program anticipates funding projects that are effective for the long-term conservation of African elephants, and those that are similar in scope to FY 2016.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed based on criteria developed from the requirements of the African Elephant Conservation Act (16 U.S.C. 4201 et. seq.). Additional details on the selection criteria can be found in the African Elephant Notice of Funding Availability and Application Instructions <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Hard copies of the criteria are available upon request from the Division of International Conservation.

15.621 ASIAN ELEPHANT CONSERVATION FUND

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Asian Elephant Conservation Act, Public Law 105- 96, 111 Stat. 2150, 16 U.S.C. 4261-4266.; Asian Elephant Conservation Reauthorization Act of 2007, Public Law 110-133, 121 Stat. 1362.

OBJECTIVES:

To provide financial assistance to support the effective long-term conservation of Asian elephants. This program provides support for projects that focus on one or more of the following areas: Applied research, including surveys and monitoring; enhanced compliance with treaties and laws that prohibit the take or trade of Asian elephant or regulate the use and management of their habitat; conservation education and community outreach; development and execution of conservation management plans; enhanced protection of at-risk populations; habitat conservation and management, including protected area and reserve management; local capacity building; reduction of human-elephant conflicts; transfrontier conservation; and wildlife inspection, law enforcement, and forensics skills.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Project work should occur within the range of the Asian elephant, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to Asian elephant conservation. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunition; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, Sudan, and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreement Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel. 100% of funds are discretionary.

Applicant Eligibility:

Applications may be submitted by any Asian government agency responsible for Asian elephant conservation and protection, and any other organization or individual with demonstrated experience in Asian elephant conservation.

Beneficiary Eligibility:

Any Asian government agency responsible for Asian elephant conservation and protection, and any other organization or individual with demonstrated experience in Asian elephant conservation.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The program announcement and application instructions for this program can be found at Grants.gov website www.grants.gov. The Notice of Funding Availability and Application Instructions are also located on this program's website at <http://www.fws.gov/international/pdf/notice-of-funding-availability-asian-elephant.pdf>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia & Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 5275 Leesburg Pike, Falls Church, VA 22041. A proposal will not be considered complete if required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance."

Award Procedure:

Projects are reviewed and competitively selected for funding using criteria developed on the requirements of the Asian Elephant Conservation Fund (16

USC 4261 4266.) Review criteria can be found in the application information at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the U.S. Fish and Wildlife Service and the Recipient. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Nov 01, 2016 November 1, 2016.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are made within 180 days after receipt of the project proposal.

Appeals:

No procedure for appeals.

Renewals:

None. However; at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: No, to the extent possible, grant funds are matched by nonfederal funds. Cost sharing is not required but priority will be given to projects for which there exists some measure of matching funds.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the performance period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures. See the following for information on how assistance is awarded/released: Awarded funds must be spent during the performance period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures. Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Domestic recipients must report expenditures using the SF 425, Federal Financial Report form. Non-domestic recipients must submit a financial table or spreadsheet in the same format as the original project proposal budget, showing itemized expenditures for the reporting period. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter.. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention

requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1652-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$1,743,837; FY 17 est \$1,700,000; and FY 18 est \$1,700,000 - PROJECT GRANTS (Discretionary): FY16 \$1,743,837.99; FY17 \$1,700,000.00; FY18 \$1,700,000.00. FY17 and FY18 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

Variable amounts. Generally \$50,000 or less. Higher amounts may be requested.

TAFS Codes:

14-1652.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program received 56 proposals and issues 27 awards. Fiscal Year 2017: Program received 56 proposals and anticipates issuing 30 awards. Fiscal Year 2018: Program anticipates receiving 55 proposals and anticipates issuing 30 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The "Assistance Award Guidelines" can be found on-line at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf> or in hard copy by contacting the Division of International Conservation.

Regional or Local Office:

None.

Headquarters Office:

Chief, Division of International Conservation
U.S. Fish and Wildlife Service
Department of the Interior
5275 Leesburg Pike, MS: IA
, Falls Church, Virginia 22041-3803 Email: mscf_asianephant@fws.gov
Phone: (703) 358-1754 Fax: (703) 358-2115.

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/asian-elephant-conservation-fund.html>

RELATED PROGRAMS:

15.619 Rhinoceros and Tiger Conservation Fund; 15.620 African Elephant Conservation Fund; 15.629 Great Apes Conservation Fund; 15.645 Marine Turtle Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The program supported 1) Conservation and monitoring of Sumatran elephants in Bukit Tigapuluh, Indonesia. 2) A Healthcare program for the well-being of Asian elephants engaged in the protection of Wildlife Areas of Assam, India. 3) A project to estimate elephant population size and ensure wildlife crime prevention through application of genetic technology, Assam, India 4) A project to strengthen human elephant co-existence through community education and outreach programs in the western Terai Landscape, Nepal. Fiscal Year 2017: Program has not yet selected projects for funding. Program anticipates funding projects that are effective for the long-term conservation of Asian elephants, and those that are similar in scope to FY 2016. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects that are effective for the long-term conservation of Asian elephants, and those that are similar in scope to FY 2016.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed based on criteria developed from the requirements of the Asian Elephant Conservation Act (16 U.S.C. 4261-4266). Additional details on the selection criteria can be found in the Asian Elephant Notice of Funding Availability and Application Instructions <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Hard

copies of the criteria are available upon request from the Division of International Conservation.

15.622 SPORTFISHING AND BOATING SAFETY ACT

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Sportfishing and Boating Safety Act, Title VII, Subtitle D, Section 7404, Public Law 105178, 16 U.S.C. 777g1, Safe, Accountable, Flexible, Efficient Transportation Equity Act, Public Law 109059, and the Fixing America's Surface Transportation (FAST) Act (Public Law 114-94).

OBJECTIVES:

This program provides funding to States, the District of Columbia, Commonwealths, and territories for the construction, renovation, and maintenance of docking, mooring, and other facilities for transient, recreational boats 26 feet or greater in length.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This program authorizes matching funds on a competitive basis for constructing, renovating, or maintaining docking, mooring, and other facilities for transient, recreational boats 26 feet or more in length and to produce and distribute information and educational materials about the program. Prohibited activities include: law enforcement, activities for the benefit of boats less than 26 feet, and any activity that does not provide public benefits. For further information, please contact the regional office. Yes. Approximately 75% of funds are discretionary, but this varies annually.

Applicant Eligibility:

Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa may submit grant proposals to the U.S. Fish and Wildlife Service.

Beneficiary Eligibility:

General Public, specifically owners and/or users of transient, recreational boats 26 feet or greater in length, and municipalities and private marinas within those eligible States, the District of Columbia, Commonwealths, and territories.

Credentials/Documentation:

Applicants must be a governor-designated State agency eligible to apply to the program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental impact statement not required to apply. However, sometimes one is required before an award can be made. For further information, please contact the regional office. An applicant should consult the office or official designated as the single point of contact in his or her State, the District of Columbia, Commonwealth, or territory for more information on this process when applying for assistance if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency. For further instructions and forms go to <http://fawiki.fws.gov/display/WTK/Toolkit+Homepage> or www.grants.gov. Program regulations are found in 50 CFR 86.

Award Procedure:

The Director of the U.S. Fish and Wildlife Service will select projects for funding based on established criteria and available funding. Regional Offices make awards to approved applicants.

Deadlines:

Sep 08, 2016 Sep 8, 2016. The deadline for submission of applications for Fiscal Year 2017 BIG Tier 1 and Tier 2 subprograms was September 8, 2016. We are no longer accepting applications this fiscal year. The Fiscal Year 2018 application deadline will likely be in September 2017.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The annual program funding comes from the Sport Fish Restoration and Boating Trust Fund. It and the Clean Vessel Act Program (15.616) receives a combined total of four percent of the funds in the Trust Fund. Trust funds are derived from excise taxes on fishing equipment, motorboat and small engine fuels, import duties, and interest accrued by the fund. The Federal share of the project costs cannot exceed 75 percent for the 50 States, the Commonwealth of Puerto Rico, and the District of Columbia. The first \$200,000 of match must be waived for the Commonwealth of Northern Mariana Islands and the territories of Guam, the U.S. Virgin Islands, and American Samoa.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are normally funded through one-time, periodic, or annual awards, depending on the applicant and funding year. See the following for information on how assistance is awarded/released: The program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by special award terms. The program will include any special payment terms and conditions in the notice of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. A performance report must be submitted for each grant award annually within 90 days after the anniversary date or end of the grant. A Federal Financial Report (SF 425) is required. Recipients are responsible for monitoring and reporting performance for each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. The program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. A Federal Financial Report SF 425 is required. Recipients are responsible for monitoring and reporting performance for each award and sub-award under this program in accordance with 2 CFR 200 and 2 CFR Part 170. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. The program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal

audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after final submission of the final Federal Financial Report.

Account Identification:

14-8151-0-7-303.

Obligations:

(Project Grants (Discretionary)) FY 16 \$13,723,471; FY 17 est \$14,743,095; and FY 18 est \$13,000,000 - Project Grants (Discretionary) FY 16 \$13,723,471; FY 17 \$14,743,095 and FY 18 estimated \$13,000,000 (estimate based on prior year funding levels only).

Range and Average of Financial Assistance:

Tier 1 grants average \$179,000; Tier 2 range from \$100,000 to \$1,500,000; Average \$954,000.

TAFS Codes:

14-8151.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2016: Awards include construction of 350 new tieup facilities and other benefits for eligible boaters. In FY 16 the program received 53 applications and issued 42 awards. Fiscal Year 2017: In FY 17 the program received 65 applications and will and anticipates funding 49 (estimate). Anticipated accomplishments include funding approximately 400 new, renovated or protected slips, plus other amenities including restrooms, showers, wave attenuators, and navigational aids for the use and enjoyment of the transient recreational boating public. Fiscal Year 2018: In FY 18 the program anticipates receiving 50 applications and issuing 35 awards (estimate only).

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR Part 86.

Regional or Local Office:

See Regional Agency Offices. Region 1 - American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Hawaii:

Chris Swenson, chris_swenson@fws.gov, (503) 231-6758

Region 1 - Idaho, Oregon, and Washington: Paul Hayduk, Paul_Hayduk@fws.gov, 503-736-4780

Region 2 - Arizona, New Mexico, Oklahoma, and Texas: Bud Fazio, Buddy_Fazio@fws.gov, 505-248-7468

Region 3 - Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, and Wisconsin:

Tony Hewitt, anthony_hewitt@fws.gov, 612-713-5156

Region 4 - Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, the Commonwealth of Puerto Rico, South Carolina, Tennessee, and the U.S. Virgin Islands:

Scott White, Scott_White@fws.gov, 404-679-7113

Region 5 - Connecticut, Delaware, the District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia: Bill Perry, bill_perry@fws.gov, 413-253-8302

Region 6 - Colorado, Kansas, Nebraska, Montana, North Dakota, South Dakota, Utah, and Wyoming: Mike Cotter, michael_cotter@fws.gov, 303-236-8179

Region 7 - Alaska: Mary Price, Mary_Price@fws.gov, 907-786-3982

Region 8 - California and Nevada: Larry Riley, Lawrence_Riley@fws.gov, 916-978-6182.

Headquarters Office:

Fish and Wildlife Service U.S. Fish and Wildlife Service Headquarters, Wildlife and Sport Fish Restoration Program, Policy and Programs Division, 5275 Leesburg Pike, MS: WSFR, Falls Church, Virginia 22041 Phone: (703) 358-2156

Website Address:

<http://wsfrprograms.fws.gov>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Illinois Department of Natural Resources, Office of Resource Conservation proposes to support the City of Ottawas Heritage Harbor in development of 26 new transient slips and a restroom and shower facility for eligible boaters. Heritage Harbor is located at a pivotal point along the Illinois River for pleasure boating, between Chicago and Peoria, Illinois. Currently, the amount of transient boaters visiting the area outstrips local capacity to provide safe and convenient services for eligible users. The Marine Resources Division of the South Carolina Department of Natural Resources proposes to assist Renaissance Marina on Daufuskie Island to develop over 7,000 linear feet of transient boater docking space. The docks will be furnished with potable water and electrical service. A fueling system will also be installed for the convenience of traveling boaters. The Boating Services Division of the Maryland Department of Natural Resources proposes to assist Harbor East Marina in downtown Baltimore to develop transient boater services for boaters traveling the Chesapeake Bay. The partners will develop 122 berths for eligible vessels and provide better overnight accommodate with upgraded electrical service. The enhanced facility will provide access to all the many historic and cultural assets that Baltimore has to offer. Fiscal Year 2017: Program has not yet selected projects for funding. Program anticipates funding projects that include: construction of tieup facilities for nontrailerable, recreational vessels of 26 feet or greater in length; development of amenities for eligible boaters, such as restrooms or other facilities; development of utilities or other services for eligible vessels. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects that include: construction of tieup facilities for nontrailerable, recreational vessels of 26 feet or greater in length; development of amenities for eligible boaters, such as restrooms or other facilities; development of utilities or other services for eligible vessels.

CRITERIA FOR SELECTING PROPOSALS:

The designated State, the District of Columbia, Commonwealth, or territorial agency must submit proposals to participate in this program. The purpose of these projects must be consistent with the purpose and conditions of the Act. The criteria for selecting proposals are based upon the extent the proposed project: contributes to construction of tie-up facilities according to the State's, the District of Columbias, Commonwealth's, or territory's approved plan; contributes to public/private partnerships; implements innovative approaches to increasing availability and use of tie-up facilities; includes private, local, or other State, District of Columbia, Commonwealth, or territorial funds in addition to the 25 percent match; is cost efficient; provides a significant link to prominent destination way points such as those near cultural or natural sites; provides access to recreational, historic, cultural, natural, or scenic opportunities of local, regional, or national significance; provides significant economic impacts to a community; and includes multistate efforts that result in coordinating location of tie-up facilities.

15.623 NORTH AMERICAN WETLANDS CONSERVATION FUND (NAWCF)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

North American Wetlands Conservation Act, Public Law 101-233, as amended, 16 U.S.C. 4401.

OBJECTIVES:

To provide grant funds for wetlands conservation projects in the United States, Canada, and Mexico.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used to acquire real property interest in lands or waters, including water rights. Funds may also be used to restore, manage, and/or enhance wetland ecosystems and other habitat for migratory birds and other fish and wildlife. Projects must provide long-term conservation for wetlands-associated migratory birds and other wetlands-associated wildlife. Coastal Wetlands Planning, Protection and Restoration act-derived funds eligible for NAWCA

projects may be used only in U.S. coastal wetlands ecosystems. More specific restrictions are outlined on the NAWCA grants information and instructions website. For further information, please contact the headquarters office. 100% of funding is discretionary.

Applicant Eligibility:

Available to private or public organizations or to individuals who have developed partnerships to carry out wetlands conservation projects in the U.S., Canada, and Mexico.

Beneficiary Eligibility:

Available to any private or public organization or individual.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Complete program information and application instruction can be found on the Division of Bird Habitat Conservation (DBHC) website, at <https://www.fws.gov/birds/grants/north-american-wetland-conservation-act.php>, or can be accessed through [grants.gov](https://www.fws.gov). Grant applications, including Standard Form 424 Application for Federal Assistance, and the appropriate Assurance forms (SF 424C or SF 424D) are submitted through www.grants.gov.

Award Procedure:

Applications are screened by Fish and Wildlife Service staff, and further reviewed and ranked by the North American Wetlands Conservation Council (Council) Staff and North American Waterfowl Management Plan Joint Venture Coordinators. Site visits are conducted as needed. Grant proposals are recommended for approval by the Council to the Migratory Bird Conservation Commission (Commission). The Council selects proposals in June and December that are recommended to the Commission for final funding approval in September and March. The grant is administered by FWS Division of Bird Habitat Conservation. The U.S. Fish and Wildlife Service conducts a National Environmental Policy Act and National Historic Preservation Act review of the projects that the Council selects to recommend to the Commission.

Deadlines:

Oct 19, 2017: Small Grants. Proposal submission dates for 2017 are as follows: U.S. Standard Grant proposals are due by February 24, 2017, and July 14, 2017; Mexico grant proposals are due by June 30, 2017; and Canada grant proposals are due by August 25, 2017. Small Grant proposals are due by October 19, 2017.

Range of Approval/Disapproval Time:

The range of time from proposal submission to the approval/disapproval notification is 7 to 10 months.

Appeals:

Not Applicable.

Renewals:

Proposals are funded on a project-specific basis. Requests for future funding must compete with other requests for project funding. A grant agreement can be modified or extended at the discretion of the Division of Bird Habitat Conservation.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The required matching share varies on a grant-by-grant basis and is set forth in the grant award, but must be at least 50 percent of the project costs, except that the project activities located on Federal lands and waters can be funded with 100 percent Federal funding.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant funds should be spent within the 1-2 year grant period, although the grant

period can be extended for reasonable cause. All grants issued to non-Federal U.S. entities are paid through the Department of Treasury's Automated Standard Application for Payments System. Funds provided directly to a Federal entity by FWS are governed by a separate agreement between FWS and the recipient Federal entity. See the following for information on how assistance is awarded/released: Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award.

Reports:

Final reports must be filed with the Division of Bird Habitat Conservation within 90 days of the end of the project expiration or termination date. Quarterly financial reports are required for recipients opting to receive grant funds in advance of expenditure. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 2 CFR 200 and 2 CFR Part 170.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The Recipient shall maintain a complete, detailed accounting system to report expenditures of grant funds and a detailed accounting of receipts and expenditures of non-Federal matching dollars or value of services. Records, accounts, and supporting documents must be retained for 3 years after submission of final report.

Account Identification:

14-5241-0-2-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$52,458,025; FY 17 est \$86,300,000; and FY 18 est \$61,200,000 - Project Grants (Discretionary): FY16 \$52,458,025; FY17 \$86,300,000; FY18 \$61,200,000.

The estimate for FY17 includes obligations for grants awarded in FY16 but not obligated until after the end of the fiscal year.

Range and Average of Financial Assistance:

Range is \$0 to \$75,000 for Small Grants; over \$75,000 to \$1,000,000 for U.S. Standard Grants. Average award is approximately \$42,000 and \$710,000 for Small Grants and U.S. Standard Grants, respectively.

TAFS Codes:

14-5241; 14-5029; 14-8216; 14-5475; 14-8151.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program received 162 applications and issued 110 awards. Fiscal Year 2017: Program received 163 applications and anticipates issuing 100 awards. Fiscal Year 2018: Program anticipates receiving 160 applications and issuing approximately 100 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S. Standard Grants Application Instructions; Small Grant Instructions;

Mexico Standard Grants Application Instructions provided in English and Spanish; Canada Standard Grants Application Instructions; U.S. Grant Administration Standards; 2 CFR 200.

Regional or Local Office:

See Regional Agency Offices. Regional Office or local Joint Venture Office for additional program information, see Appendix IV and website for addresses of USFWS Regional Offices and local Joint Venture Offices.

Headquarters Office:

Chief, U.S. Fish and Wildlife Service, Migratory Birds Program, Division of Bird Habitat Conservation, 5275 Leesburg Pike MS:MB, Falls Church, Virginia 22041-3803 Phone: (703) 358-1784.

Website Address:

<https://www.fws.gov/birds/grants/north-american-wetland-conservation-act.php>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.611 Wildlife Restoration; 15.614 Coastal Wetlands Planning, Protection and Restoration Act; 15.635 Neotropical Migratory Bird Conservation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Example of US Standard Grant project, Lowcountry Protection II, South Carolina. The proposal is located in the Atlantic Coast Joint Ventures ACE Basin and South Lowcountry Waterfowl Focus Areas and significantly contributes to the goals of national and regional bird conservation plans. This phase will protect 3,779 acres including 646 acres of palustrine emergent wetlands, 88 acres of estuarine emergent wetlands, 949 acres of palustrine forested wetlands and 2,015 acres of associated forested uplands to benefit breeding, migrating and wintering birds in accordance with the recommendations of South Atlantic Migratory Bird Initiative. This proposal will complement a growing protected landscape where substantial federal investments including 19 standard NAWCA grants in the past ten years have created and expanded public ownership, restoration and management throughout the Lowcountry. Two separate acquisition tracts, consisting of 1,761 and 2,018 acres, are located within a two county area of south coastal South Carolina. The closest U.S. Fish and Wildlife Service facility is the Savannah River National Wildlife Refuge, located approximately 15 air miles from the southernmost tract. These tracts lie outside the approved boundary of the refuge. Non-Service ownership of the proposal tracts eliminates the need for increased staff for separate refuge units and reinforces local participation in the long-term acquisition planning/management of this region. Fiscal Year 2017: Example of US Standard Grant project, Southwest Wetlands Initiative of Minnesota (SWIM) III. SWIM III provides an efficient and effective partnership to deliver long term wetlands and wetland-associated upland habitat for the benefit of waterfowl and other wildlife. This proposal focuses on wetlands in the Prairie Coteau of Minnesota and works primarily on restoring converted wetlands and enhancing degraded wetlands through permanent habitat protection efforts on both public and private lands. This is the third proposal of a multiple year initiative with an overall goal to accelerate the permanent protection of prairie wetland complexes open to the public and to ensure every Waterfowl Production Area and Wildlife Management Area is providing the maximum benefits for waterfowl and wetland dependent species. More specifically, SWIM III accelerates efforts to permanently protect in fee title and easement 690 acres of Waterfowl Production Areas and Wildlife Management Areas and 61.9 acres via Re-Invest in Minnesota perpetual conservation easement. Further, this partnership restores 40 acres on Hurricane Lake WMA and enhances another 270 acres of wetland habitat on Chen Bay and Sioux Valley WMAs. Projects within this proposal will address both reproductive and migration habitat needs of waterfowl and wetland dependent species within the area. The project area and project components are important to successful implementation of the NAWMP and the PPJV Implementation Plan. Several stateside conservation plans are served by the work outlined in this proposal as well, including the Minnesota Prairie Conservation Plan and Minnesota's duck and pheasant plans. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects that protect and improve habitat for migratory birds and other wetland-associated species. More examples of NAWCA projects can be found at <http://www.fws.gov/birds/grants/north-american-wetland-conservation-act.php>.

CRITERIA FOR SELECTING PROPOSALS:

The Council selects proposals based on factors given in Section 5 of the North American Wetlands Conservation Act, results of site visits, available funds and other factors deemed important to meeting Act objectives.

15.625 WILDLIFE CONSERVATION AND RESTORATION

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Department of Transportation and Related Agencies (i.e., Commerce, Justice, and State) Appropriations Act of 2001, Public Law 106-553, Title IX, Sec. 901; 16 USC 669-669i.

OBJECTIVES:

The program provides financial assistance to States, the District of Columbia, Commonwealths, and territories under the Pittman-Robertson Wildlife Restoration Act to plan and implement projects for benefit of a diverse array of wildlife and associated habitats, including species that are not hunted or fished. It also fulfills unmet needs of wildlife within States, the District of Columbia, Commonwealths, and territories that includes wildlife education and recreation activities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Approved activities include those which plan, enhance, and implement programs for wildlife and their habitats, including species that are not hunted or fished. States, the District of Columbia, Commonwealths, and territories must pass laws for the conservation of wildlife, which include a prohibition against diversion of license fees paid by hunters for purposes other than the administration of the State, the District of Columbia, Commonwealth, and territorial fish and wildlife agencies. No funds may be used for projects that oppose legal hunting. Federal share may not exceed 75 percent of total funding.

Applicant Eligibility:

Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the U.S. Fish and Wildlife Service. To be eligible, they must pass assent legislation to the provisions of the Act for the conservation of wildlife that includes a prohibition against the diversion of license fees paid by hunters for any other purpose than the administration of the fish and wildlife agency. In addition, the States, the District of Columbia, Commonwealths, and territories must have an approved comprehensive plan as described by the Appropriations Act.

Beneficiary Eligibility:

General Public: While direct participation is limited to fish and wildlife agencies, the public will ultimately benefit from these wildlife conservation measures.

Credentials/Documentation:

The State, the District of Columbia, Commonwealth, or territory must have an approved Comprehensive Wildlife Conservation Plan on file to receive grant funds. The Plan must consider the broad range of the State, the District of Columbia, Commonwealth, or territory agencies wildlife and associated habitats, with appropriate priority placed on those species of greatest conservation need. Each year within 60 days of the apportionment notice, States, the District of Columbia, Commonwealths, and territories must notify the Secretary of the Interior that they want to participate in the program for the year. The State, the District of Columbia, Commonwealth, or territorial fish and wildlife Director must furnish a certification of the number of paid hunting-license holders. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed

in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit and maintain a satisfactory Comprehensive Wildlife Conservation Plan (also known as a State Wildlife Action Plan). Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency. For further instructions and forms go to <http://fawiki.fws.gov/display/WTK/Toolkit+Homepage> or www.grants.gov.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or his designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Grantees may renew projects on an annual basis if justifiable and if funds are available.

Formula and Matching Requirements:

Statutory Formula: Yes. The authorizing Appropriation Act states: (1) The Secretary of the Interior must make the following apportionment from the Wildlife Conservation and Restoration Account: (A) to the District of Columbia and to the Commonwealth of Puerto Rico, each a sum equal to not more than one-half of 1 percent thereof; (B) to the Commonwealth of the Northern Mariana Islands and the territories of American Samoa, Guam, and the U.S. Virgin Islands, each a sum equal to not more than one-fourth of 1 percent thereof; (2) (A) The Secretary of the Interior, after making the apportionment under paragraph (a), must apportion the remaining amount in the Wildlife Conservation and Restoration Account for each fiscal year among the States in the following manner: (i) one-third of which is based on the ratio to which the land area of such State bears to the total land area of all such States; and (ii) two-thirds of which is based on the ratio to which the population of such State bears to the total population of all such States. (B) The amounts apportioned under this paragraph must be adjusted equitably so that no such State shall be apportioned a sum which is less than one percent of the amount available for apportionment under this paragraph for any fiscal year or more than five percent of such amount. The State, the District of Columbia, Commonwealth, or territory must have an approved Comprehensive Wildlife Conservation Plan on file to receive grant funds.

Matching Requirements: Yes. The Federal share of funded programs or projects may not exceed 75 percent.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: For further information, please contact the regional office.

Reports:

A performance report is required for each grant award annually within 90 days after the anniversary date and/or end of the grant. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit annual interim reports. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report

expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit annual interim reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-5029-0-2-303.

Obligations:

(Formula Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - FORMULA GRANTS - FY 16 \$0; FY 17 est \$0; and FY 18 est \$0. H.R. 5650 proposes to make funds available for management of fish and wildlife species of greatest conservation need as determined by State fish and wildlife agencies through this CFDA. However, unless new funding is approved by Congress, we will not distribute new funds through this program.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-5029.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program received 0 applications and issued 0 awards. Fiscal Year 2017: The program anticipates receiving 0 applications and issuing 0 awards. Fiscal Year 2018: The program anticipates receiving 0 applications and issuing 0 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 80; Fish and Wildlife Service Manual; Federal Aid in Fish and Wildlife Restoration Annual Report (limited number available at no cost).

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

U.S. Fish and Wildlife Service Chief, Policy and Programs Division, Wildlife and Sport Fish Restoration Programs, U.S. Fish and Wildlife Service, Department of the Interior, MS: WSFR, 5275 Leesburg Pike, , Falls Church, Virginia 22041-3803 Phone: (703) 358-2231

Website Address:

<http://wsfrprograms.fws.gov>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.608 Fish and Wildlife Management Assistance; 15.611 Wildlife Restoration; 15.615 Cooperative Endangered Species Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Program will not select new projects for funding. No new funds will be available and new projects are not anticipated. Fiscal Year 2017: Program will not select new projects for funding. No new funds will be available and new projects are not anticipated. Fiscal Year 2018: Program will not select new projects for funding. No new funds will be available and new projects are not anticipated.

CRITERIA FOR SELECTING PROPOSALS:

The State, the District of Columbia, Commonwealth, or territorial agency having lead responsibility for the management of their wildlife resources must submit the projects. The State, the District of Columbia, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.626 ENHANCED HUNTER EDUCATION AND SAFETY

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Pittman-Robertson Wildlife Restoration Act of 1937, 50 Stat. 917 as amended; 16 U.S.C. 669h-I; and 50 CFR Part 80-Administrative Requirements, Pittman-Robertson Wildlife Restoration and Dingell-Johnson Sport Fish Restoration Acts.

OBJECTIVES:

This program provides funds for the enhancement of hunter and archery education programs and the enhancement or construction of firearm shooting ranges and archery ranges.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

This program provides funds for the enhancement of: 1. hunter education programs, hunter and sporting firearms programs, and hunter development programs; 2. interstate coordination and development of hunter education programs; 3. bow hunter and archery education, safety, and development programs; 4. construction of firearm or archery ranges; and 5. updating safety features of firearm and archery ranges. Law enforcement and public relations are not eligible under the Act. Law enforcement and public relations are not eligible under the Act.

Applicant Eligibility:

Agencies from the 50 States, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the Fish and Wildlife Service. To be eligible, they must pass assent legislation to the provisions of the Act for the conservation of wildlife that include a prohibition against the diversion of license fees paid by hunters for any other purpose than the administration of the fish and wildlife agency.

Beneficiary Eligibility:

General Public (While direct participation is limited to fish and wildlife agencies, the general public will ultimately benefit from these wildlife conservation measures).

Credentials/Documentation:

Each year within 60 days of the apportionment notice, States, Commonwealths, and territories must notify the Secretary of the Interior that they want to participate in the program for the year. The State, Commonwealth, or territorial fish and wildlife Director must furnish a certification of the number of paid hunter license holders. Allocable costs are determined in accordance with 2 CFR 200.2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Agencies from the 50 States, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the Fish and Wildlife Service. To be eligible, they must pass assent legislation to the provisions of the Act for the conservation of wildlife that include a prohibition against the diversion of license fees paid by hunters for any other purpose than the administration of the fish and wildlife agency. An applicant should consult the office or official designated as the single point of contact in his or her State, Commonwealth, or territory for more information on this process when applying for assistance if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs."

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency. For further instructions and forms go to <http://fawiki.fws.gov/display/WTK/Toolkit+Homepage> or www.grants.gov. Program regulations are found in 50 CFR 80.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or his or her designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

Jul 01, 2017 Jul 01, 2017 Annually on July 1. Please check with your Regional Service Office to determine any Regionally specific deadlines.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

Regional Directors will consider the differences of opinion about eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Grantees may renew projects on an annual basis if justifiable and if funds are available.

Formula and Matching Requirements:

Statutory Formula: Yes. States and insular areas are apportioned funds for Hunter Education - Section 4 (c) (traditional funds) and Hunter Education - Section 10 (enhanced funds). Each state receives an annual apportionment for Section 4 (c) and Section 10 funds based their population compared to the total U. S. population with no state receiving more than 3 percent or less than 1 percent. Insular areas receive 1/6 of 1 percent.

Revenues from manufacturers' excise taxes collected on pistols, revolvers, bows, arrows, archer accessories and arms and ammunition are deposited to the Wildlife Restoration Account. 1/2 of the excise taxes collected on pistols, revolvers, bows, arrows and archer accessories are used to fund Section 4 (c). After funding Section 4 (c), \$8 million is deducted from the Wildlife Restoration Account to fund Section 10.

The funds remaining in the Wildlife Restoration Account fund WSFR administration, the Multistate Conservation Grant Program and the Wildlife Restoration Program.

Section 4 (c) funds may be used for hunter education projects or wildlife restoration projects. If all Section 4 (c) funds apportioned in a fiscal year are obligated for hunter education projects, the Section 10 funds apportioned in the same fiscal year may be used for either hunter education or wildlife restoration projects. However, if all Section 4 (c) funds apportioned in the fiscal year are not obligated for hunter education projects, Section 10 funds must be used for hunter education.

Matching Requirements: Yes. Grant funds may be disbursed to States for up to 75 percent of the total cost of a project. Grant funds may be disbursed from 75 to 100 percent of the total project costs to the Commonwealths of Puerto Rico, Northern Mariana Islands and the territories of Guam, the U.S. Virgin Islands, and American Samoa. Each Regional Director decides on the specific Federal share between 75 and 100 percent based on what he or she decides is fair, just, and equitable.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Any funds not obligated within one year by a State, Commonwealth, or

territorial fish and wildlife agency revert to the U.S. Fish and Wildlife Service and reapportioned to States, Commonwealths, and territories that obligated their Section 4(c) Hunter Education and Safety apportionment on eligible hunter education and safety activities. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, or as otherwise prescribed in program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit annual interim reports. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit annual interim reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Cost Records must be maintained separately for each project for three years after submission of the final Federal Financial Report (SF 425) as described in 2 CFR 200.333.

Account Identification:

14-5029-0-2-303.

Obligations:

(Formula Grants) FY 16 \$8,040,000; FY 17 est \$8,000,000; and FY 18 est \$8,000,000 - (Formula Grants) FY 16 est \$8,040,000; FY 17 est \$8,000,000, and FY 18 est \$8,000,000.

Range and Average of Financial Assistance:

Range is \$13,300 to \$240,000; Average \$145,000.

TAFS Codes:

14-5029.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program received approximately 30 applications nationally and issued 30 awards. Program funds are generally used annually by States to develop and implement model recruitment and retention programs; offer shooting skills and development; enhance existing shooting and archery range facilities; update safety features of firearm safety and archery ranges; enhance interstate coordination of hunter education, fire arm and archery range programs. Fiscal Year 2017: The program expects to receive approximately 40 applications and to issue about 35 awards. Fiscal Year 2018: The program expects to receive approximately 40 applications and to issue about 35 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 80 contains the program regulation for this program. Matching and cost-sharing requirements are discussed in 50CFR 80.85 and 2 CFR 200.306.

Applicants can visit these regulations and guidance at <http://fawiki.fws.gov/display/WTK/Toolkit+Homepage>.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices.
Region 1, Pacific Region (Idaho, Oregon, Washington, Hawaii, Pacific Islands) Barb Behan, 503-231-2066.
Region 2, Southwest Region (Arizona, New Mexico, Oklahoma, Texas) Andrew Ortiz, 505-248-7459.

Region 3, Midwest Region (Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, Wisconsin) Fabian Romero, 612-713-5145.
Region 4, Southeast Region (Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee, U.S. Virgin Islands) Diana Swan, 404-679-7058.
Region 5, Northeast Region (Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia) Richard Zane, 413-253-8506.
Region 6, Mountain-Prairie Region (Colorado, Idaho, Kansas, Montana, Nebraska, North Dakota, South Dakota, Utah, Wyoming) Otto Jose, 303-236-8156.
Region 7, Alaska Region (Alaska) Doug McBride, 907-786-3631.
Region 8, Pacific Southeast Region (California, Nevada) Justin Cutler, 916-414-6457.

Headquarters Office:

Director, Policy and Programs, U.S. Fish & Wildlife Service Headquarters, Wildlife and Sport Fish Restoration Program, Policy and Programs Division, 5275 Leesburg Pike, MS: WSFR, Falls Church, Virginia 22041-3803 Phone: (703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov/>

RELATED PROGRAMS:

15.611 Wildlife Restoration

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In Kentucky, educators and others were certified to teach archery and safety skills to students in grades 4 through 12. More than 550 schools conducted archery programs during regular school hours with 105,398 students. The National Archery in the Schools Program trained 555 new archery instructors in 64 Basic Archery Instructor training classes, including agency staff at three Kentucky Department of Fish and Wildlife conservation camps. California Department of Fish & Wildlife's Advanced Hunting Clinics focus on the "how-tos" of hunting. The series includes sessions on how to hunt turkey, upland game, waterfowl, and big game. Topics covered in each clinic include type of firearm, ammunition, importance of sighting in the firearm, gauging distance, scouting, tracking, field dressing, shoot-don't shoot scenarios, hunter ethics, landowner-hunter relationships, conservation, and safety. The goal of this series of hunting clinics is to develop ethical, conservation-minded, successful hunters through education...taking the hunter a step beyond the basic hunter education course. Total costs: \$163,609 (\$122,700 Federal, \$40,902 State).

The Alaska Department of Fish and Game continues to expand hunter education, archery and shooting sports throughout Alaska and often in remote locations. Through these efforts, the National Archery in the Schools Program (NASP) has grown from 10 to 145 schools statewide. HIT staff traveled to the Pribilof Islands, located 4 hours by plane west of Anchorage in the Bering Sea near Russia, to successfully complete the furthest west Hunter Education class taught in North America. Total cost \$106,560 (\$79,920 Federal, \$26,640 non-Federal).

The South Carolina Department of Natural Resources (SCDNR) Shooting Sports Program aims to expand the National Archery in the Schools Program and the SC Scholastic Clay Target Sports by providing more opportunity for young sportsmen to participate in shooting sports. SCDNR staff train approximately 30 teachers a year, who will incorporate Junior Olympic Target Archery education into their curriculum and they continue to support and train young clay target shooters, with over 40 teams already formed. Total cost \$234,020 (\$175,515 Federal; \$58,505 non-Federal). Fiscal Year 2017: The Alabama Division of Wildlife and Freshwater Fisheries (WFF) continues to promote the National Archery in the School Program (NASP) and conducted hunter and shooting sports education and outreach to non-traditional audiences in Alabama. As part of this project WFF conducted 20 Basic Archery Instructor workshops for 266 teachers to implement the NASP curriculum and purchased archery equipment to supplement new schools enrolling in the program. Approximately 370 schools and 55,500 students participated in Alabama's NASP during this fiscal year. Thirty-three hundred and forty-one students from 145 schools participated in seven regional events and 74 teams with 1,237 student archers participated in a statewide event at the Montgomery Sportsplex.

Total cost \$180,000 (\$135,000 Federal; \$45,000 non-Federal).

Iowa Department of Natural Resources and Pheasants Forever, Inc. signed a cooperative agreement to hire a joint Iowa Outreach Coordinator. This position is a Pheasants Forever employee but works closely with the Iowa DNR on shooting sports efforts, mentored hunts, long-term mentoring efforts, youth habitat projects, and expanding youth/family events at the local level. Through this partnership 28 mentored hunts were held involving 539 youth, 84 shooting sports events were held involving 3410 youth, 26 field days were held involving 2038 youth, and 8 habitat projects were completed involving 1006 youth with 20 acres planted. Cost for the project was \$30,000 (\$22,500 federal and \$7500 state).

Vermont Fish and Wildlife Department held various advanced trainings designed to bridge the gap between hunter education certification and actually hunting or trapping and also to introduce new audiences to the outdoors. Some examples were the specialized hunting technique seminars such as deer processing, bowhunting, crossbows, and bear hunting where 216 people participated. Youth attended various advanced weeks at the Green Mountain Conservation Camps, 113 received their trapping certification, and 134 received their waterfowl certification. Becoming an Outdoors Family event had 51 families (154 participants) attend this year. Cost for these projects \$20,000 (\$15,000 Federal; \$5,000 State). Fiscal Year 2018: The Program has not yet selected projects for funding. The Program anticipates funding Section 10 Enhanced Hunter Educational projects and activities that are eligible each year.

CRITERIA FOR SELECTING PROPOSALS:

The State, Commonwealth, or territory agency having responsibility for the management of their resources must submit the projects. The State, Commonwealth's, or territory's wildlife resources must submit the projects. The State, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.628 MULTISTATE CONSERVATION GRANT

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

The Fish and Wildlife Programs Improvement and National Wildlife Refuge System Centennial Act of 2000, Public Law 106-408, as amended; the Dingell-Johnson Sport Fish Restoration Act, 64 Stat. 430 as amended, 16 U.S.C. 777m and the Pittman-Robertson Wildlife Restoration Act, 50 Stat. 917 as amended, 16 U.S.C. 669h-2.

OBJECTIVES:

This program provides funding for sport fish and wildlife restoration projects identified by the Association of Fish and Wildlife Agencies.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Project types that are generally selected for funding are those considered of national importance according to state fish and wildlife agencies. Funding activities focus on: education, training, data analysis, values and use of sport fish and wildlife restoration programs; new technologies, hunting and fishing participant recruitment/retention; and evaluation in restoration, recreation, enhancement, or protection of sport fish and wildlife and their habitats. For further information, please contact the Washington D.C. Office. 100% of funds are for discretionary activities and awarded on a competitive basis.

Applicant Eligibility:

States, a group of States, non-governmental organizations and the U.S. Fish and Wildlife Service [only for the purpose of conducting the National Survey of Fishing, Hunting, and Wildlife-Associated Recreation at the discretion of the Association of Fish and Wildlife Agencies (AFWA). Applicants must be selected by AFWA from a submitted Letter of Intent in order to qualify to submit full grant proposals. Grant proposals submitted to AFWA that are selected for funding consideration are included on a Priority List. The Priority List is forwarded to the U.S. Fish and Wildlife Services, Wildlife and Sport Fish Restoration Program (WSFR) for award consideration. WSFR can only award grantees who appear on AFWA's Priority List.

Beneficiary Eligibility:

Projects must benefit at least 26 States, a majority of States in a Region of the U.S. Fish and Wildlife Service, or a Regional association of State fish and game departments.

Credentials/Documentation:

None. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program is co-managed with the Association of Fish and Wildlife Agencies (AFWA). AFWA receives all applications in its two step application process. To apply, the first step is to submit a Letter of Intent (LOI) to AFWA. AFWA may invite applicants that submit a LOI to submit a full grant proposal for review. If selected by AFWA as a priority project, your project will be recommended for funding on the priority list. The Director of the U.S. Fish and Wildlife Service selects the awards from the priority list. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants will use standard Federal application and assurances forms approved by OMB, as well as proposal formatting requirements established by the Association of Fish and Wildlife Agencies (AFWA) in their request for Multi-State Conservation Grant project proposals. Applicants must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant. Applicants intending to charge to a grant indirectly must submit the most recent copy of their negotiated indirect cost rate agreement. Indirect charge regulations can be found in 2 CFR 200.414. For links to this and other Service regulations, go to the WSFR Toolkit at <https://fawiki.fws.gov/display/WTK>.

Award Procedure:

The Director of the U.S. Fish and Wildlife Service will select projects for funding from a priority list developed by the Association of Fish and Wildlife Agencies (AFWA) based on established criteria.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Please contact the headquarters office for specific application deadlines. The Wildlife and Sport Fish Restoration (WSFR) Program and Association of Fish and Wildlife Agencies (AFWA) post a Notice of Funding Opportunity (NOFO) in March/April of the year preceding the award year on grants.gov. The NOFO is also posted on AFWA's website, <http://www.fishwildlife.org>. Letters of Intent (LOI) are due to AFWA by May 5th of each year before the award year. If a LOI is chosen, applicants will be instructed to submit a full proposal, which is due to AFWA by August 4 of each year, before the award year. AFWA reviews the applications and submits its priority list of project proposals recommended for funding to the U.S. Fish and Wildlife Service, Assistant Director, Wildlife and Sport Fish Restoration Program by October 1 of each year, which is the start of the fiscal year of the award year. The list of WSFR approved priority projects submitted by AFWA is published in the Federal Register in the spring of each calendar year.

Approximately 6 months from the deadline established by AFWA for submitting full proposals.

Appeals:

Any differences of opinion over the eligibility of proposed activities or differences arising over the conduct of work may be appealed to the Director of the U.S. Fish and Wildlife Service.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects may be approved for up to three years with funding dependent on annual competition. Program obligates funds and sends a notice of award to successful applicants. Program will include any special payment terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-8151-0-7-303; 14-5029-0-2-303.

Obligations:

(Project Grants (Discretionary)) FY 16 \$6,000,000; FY 17 est \$6,000,000; and FY 18 est \$6,000,000 - Project Grants (Discretionary) FY 2016 \$6,000,000; FY 2017 estimated \$6,000,000; FY2018 estimated \$6,000,000. Future fiscal years estimated based on previous year funding level.

Range and Average of Financial Assistance:

Range is \$7,000 to \$3,500,000; Average \$430,000.

TAFS Codes:

14-5029; 14-8151.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Association received 17 applications (from recipients invited by AFWA to submit applications from 30 submitted Letters of Intent) and recommended 15 for award on their Priority List. The WSFR Program awarded all 15 projects. Program accomplishments vary and are based upon National Conservation Needs (NCNs) selected annually by AFWA's National Grants Committee. All proposals must address at least one of the annually selected NCNs. Fiscal Year 2017: The Association received 18 applications

(from recipients invited by AFWA to submit applications from 24 submitted Letters of Intent) and recommended 17 for award on their Priority List. Some WSFR Program awards are still pending during preparation of this program information. Program accomplishments vary and are based upon National Conservation Needs (NCNs), selected annually by AFWAs National Grants Committee. All proposals must address at least one of the annually selected NCNs. Fiscal Year 2018: The Program has not yet selected Letters of Intent or invited prospective grantees to submit full grant proposals at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200; 50 CFR 80 and Sections 521, 522, 523 of the Fish and Wildlife Service Manual.

Regional or Local Office:

See Regional Agency Offices. This program is administered from the U.S. Fish and Wildlife Service Headquarters Office, so please contact them for more information.

Headquarters Office:

Director, Policy and Programs, U.S. Fish and Wildlife Service Headquarters, Wildlife and Sport Fish Restoration Program, Policy and Programs Division, 5275 Leesburg Pike, MS: WSFR, Falls Church, Virginia 22041-3803 Phone: (703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.611 Wildlife Restoration

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Western Association of Fish and Wildlife Agencies is working on a project titled, Crucial Habitat Assessment Tool (CHAT), providing GIS-based data, mapping and analytics for crucial habitats and species throughout the West. CHAT represents an unprecedented collaborative effort by 16 western states to create a cohesive, web-based mapping tool prioritizing important habitats for wildlife, including species of concern as well as species of economic and recreational importance. The CHAT program covers 58% of the U.S. land base, providing a significant benefit to the nations natural resources, with opportunities to increase that percentage to cover more or all of the United States, and potentially Canada.

The Max McGraw Wildlife Foundation is working on a project titled, Recruitment of Hispanic Hunters: Using a Case Studies approach to gain insights into Hispanic values toward wildlife, and motivations and participation in hunting. This project is using case study interviews of recently recruited Hispanic hunters to gain insight into their transition pathway from a non-hunter to a hunter. The case studies developed will examine key variables regarding processes within the Recruitment, Retention, and Reactivation (R3) model and values associated with wildlife and public trust. Development and adoption of successful hunter recruitment and retention programs under the R3 model depends on a detailed understanding of the model and how individuals specifically transition from a non-hunter to a consistent license-buying hunter. This case study approach will serve to inform specific recruitment efforts for Hispanics and also improve our understanding of how any demographic or minority may transition through the R3 model and ultimately what shapes their pathway into hunting. Six to nine states from across the U.S. will be selected based on available license data to ensure a range of geographic areas and percentage of Hispanic individuals per capita.

The Archery Trade Association (ATA) is working on a project titled, Diversifying Outdoor Education: Translate Explore Bowhunting into Spanish to Reveal an Untapped Market. The ATA wants to provide state wildlife agencies with the educational program Explore Bowhunting in Spanish. Explore Bowhunting is a unit-based educational curriculum that teaches the basic skills of bowhunting. Translating and distributing this one program will allow state 28 agencies to begin to tap into a market that has been given little attention over the years. By supplying state wildlife agencies with Explore Bowhunting in Spanish, we can

begin to open hunting opportunities to Hispanic communities and value this demographic as a viable recruitment effort. By removing, at least this one barrier, we can begin to educate entire families on our hunting heritage. Fiscal Year 2017: The American Sportfishing Association will be starting a project titled, Economic Significance of Sportfishing: Jobs, Tax Revenues and other Measures Needed to Achieve Greater Support. The project will provide each and all 50 states with scientific, easy-to-understand information about the expenditures, jobs, tax revenue, income and other economic data needed to strengthen their abilities to increase public awareness about the importance of fish and wildlife.

The Association will administer on behalf of the National Fish Habitat Board a project titled, Science and Conservation collaboration through the National Fish Habitat Partnership. The project will collectively advance fish habitat partnership habitat assessments through identification of mutual data needs, data acquisition and landscape-level analysis for the benefits of fish, mussels, and other aquatic animals. In addition, provide region and system-specific fish population, habitat, and human impact data to fill regional data gaps; achieve conservation results through strategic actions and allow further critical collaboration to occur among the Fish Habitat Partnerships.

The Council to Advance Hunting and the Shooting Sports (CAHSS) will be starting a project titled, Implementation of the National Hunting and Shooting Sports Action Plan. The objective is to conduct facilitated workshops necessary to develop state-level recruitment, retention, and reactivation (R3) plans. These collaborative sessions will serve to enhance cooperative efforts between nonprofits, industry and state fish and wildlife agencies the result in stronger and larger R3 partnerships at the state level. These activities will provide information regarding the most effective ways to preserve the hunting and shooting sports traditions. Fiscal Year 2018: The Program has not yet selected projects for funding. The Program anticipates funding projects that address species or habitat research activities, hunting and fishing recruitment and retention information collection, aquatic or hunter education activities, conservation planning, outreach and coordination.

CRITERIA FOR SELECTING PROPOSALS:

Projects will be reviewed and evaluated for scope, significance, feasibility, and cost effectiveness. In addition, projects must address one or more focus areas as described in the National Conservation Needs established annually by the Association of Fish and Wildlife Agencies (AFWA).

15.629 GREAT APES CONSERVATION FUND

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Great Ape Conservation Act of 2000, Public Law 106-411, 16 U.S.C. 6301. Tylersville Fish Hatchery Conveyance, Public Law 109-363.

OBJECTIVES:

To provide financial assistance for projects for the effective long-term conservation of great apes and their habitats. This program supports projects that focus on one or more of the following areas: applied research on ape populations and their habitats, including surveys and monitoring; development and execution of ape conservation management plans; compliance with applicable treaties and laws that prohibit or regulate the taking or trade of ape or regulate the use and management of ape habitat; conservation education and community outreach; enhanced protection of at-risk ape populations; efforts to decrease human-ape conflicts; habitat conservation and management; protected area/reserve management in important ape range; strengthening local capacity to implement conservation programs; transfrontier ape conservation; and wildlife inspection, law enforcement, and forensics skills.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Project work should occur within the ape range, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to ape conservation. Applied research projects should address specific management

needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training Federal Government personnel. 100% of funding is discretionary.

Applicant Eligibility:

Applications may be submitted by any government agency responsible for conservation and protection of apes and any other organization or individual with demonstrated experience in ape conservation.

Beneficiary Eligibility:

Any government agency responsible for conservation and protection of apes and any other organization or individual with demonstrated experience in ape conservation.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The program announcement and application instructions for this program can be found at Grants.gov website <http://www.grants.gov>. The Notice of Funding Availability and Application Instructions are also located on this program's website at <http://www.fws.gov/international/pdf/notice-of-funding-availability-great-ape.pdf>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia & Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 5275 Leesburg Pike, Falls Church, VA 22041. A proposal will not be considered complete if required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance."

Award Procedure:

Projects are reviewed and competitively selected for funding using criteria developed on the requirements of the Great Ape Conservation Act (16 USC 6301 et seq.). Review criteria can be found in the application information at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the U.S. Fish and Wildlife Service and the Recipient. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Dec 01, 2016 December 1, 2016.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals will be made within 180 days after the receipt of the project proposal.

Appeals:

Not Applicable.

Renewals:

None. However, at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: No, to the extent possible, grant funds are matched by nonfederal funds. Cost sharing is not required but priority will be given to projects for which there exists some measure of matching funds.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the performance period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures. See the following for information on how assistance is awarded/released: Awarded funds must be spent during the performance period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures. Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Domestic recipients must report expenditures using the SF 425, Federal Financial Report form. Non-domestic recipients must submit a financial table or spreadsheet in the same format as the original project proposal budget, showing itemized expenditures for the reporting period. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter.. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1652-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$2,529,269; FY 17 est \$2,500,000; and FY 18 est \$2,500,000 - PROJECT GRANTS (Discretionary): FY16 \$2,529,269.10; FY17 \$2,500,000.00 FY18 \$2,500,000.00
FY17 and FY18 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

Variable amounts. Generally \$50,000 or less. Higher amounts may be requested.

TAFS Codes:

14-1652; 14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program received 49 applications and issued 27 awards.
Fiscal Year 2017: Program received 56 applications and anticipates issuing 25 awards.
Fiscal Year 2018: Program anticipates receiving 56 applications and

issuing 25 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The "Assistance Award Guidelines" can be found on-line at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf> or in hard copy by contacting the Division of International Conservation.

Regional or Local Office:

None.

Headquarters Office:

Chief, Division of International Conservation
U.S. Fish and Wildlife Service
Department of the Interior
5275 Leesburg Pike, MS:IA
, Falls Church, Virginia 22041-3803 Email: MSCF_GreatApe@fws.gov Phone: (703) 358-1754 Fax: (703) 358-2115.

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/great-ape-conservation-fund.html>

RELATED PROGRAMS:

15.619 Rhinoceros and Tiger Conservation Fund; 15.620 African Elephant Conservation Fund; 15.621 Asian Elephant Conservation Fund; 15.645 Marine Turtle Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: This program supported projects that 1) Conserve the pileated gibbon population in the Northern Plains of Cambodia by strengthening existing law enforcement monitoring strategies, establishing new integrated community led patrolling approaches, and implementing innovative patrol reporting systems. 2) Developing an orangutan conservation plan for the Rungan Forest by adopting a landscape approach to conservation planning and building on existing community and NGO activities. 3) Ensuring the survival of the Cross River through support to a network of core protected areas and corridors across the Afi Kagwene landscape in Nigeria and Cameroon managed in collaboration with local communities and government. Fiscal Year 2017: Program has not yet selected projects for funding. Program anticipates funding projects that are effective for the long-term conservation of great apes, and those that are similar in scope to FY 2016. Fiscal Year 2018: Program anticipates funding projects that are effective for long-term conservation of great apes and those that are similar in scope to FY 2016.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed based on criteria developed from the requirements of the Great Ape Conservation Fund (16 U.S.C. 6301 et seq.). Additional details on the selection criteria can be found in the Great Ape Notice of Funding Availability and Application Instructions <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Hard copies of the criteria are available upon request from the Division of International Conservation.

15.630 COASTAL

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956, 16 U.S.C. 742a-c, 747e-742j; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661 667(e).

OBJECTIVES:

To provide technical and financial assistance via partnerships to identify, protect, and restore or improve habitats in priority coastal areas for fish and wildlife.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds are used for habitat assessments, planning, and coastal habitat protection and restoration on public or private lands. The Coastal Program is geographically limited to 24 high priority areas. These are Gulf of Maine, Southern New England/New York Bight, Delaware Bay, Chesapeake Bay, Albemarle/Pamlico Sound, South Carolina Coast, Northeast Florida, South Florida/Everglades, Tampa Bay, Florida Panhandle, the Caribbean, Gulf of Mexico, Texas Coast (Northern), Texas Coast (Southern), Great Lakes (Northern), Great Lakes (Southern), San Diego Bay, San Francisco Bay, Humboldt Bay, Central California Coast, Oregon Coast, Puget Sound, Alaska (South East), Alaska (South Central), and the Pacific Islands. Coastal Program funds may not be used to acquire property or support program administrative costs. Coastal Program funds may not be used to acquire property or support program administrative costs. 100%.

Applicant Eligibility:

Federal, State, interstate and intrastate agencies; local and tribal governments; public nonprofit institutes and organizations (such as conservation organizations, watershed councils, land trusts, schools and institutions of higher learning); U.S. territories and possessions; private landowners including individuals and businesses.

Beneficiary Eligibility:

Federal, state, interstate and intrastate agencies, tribes, local governments; public nongovernmental organizations (such as conservation organizations, watershed councils, land trusts, schools and universities); U.S. territories and possessions; private landowners, including individuals and businesses.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. After a project has been designed and the cost calculated, the local Coastal Program coordinator will be able to inform the respective cooperator(s) whether or not the Service will provide technical or financial assistance. When the cooperator has signed a cooperative agreement work can begin on the project. The Service reimburses the landowner after the project is completed.

Award Procedure:

After a project has been designed and the cost calculated, the local Coastal Program coordinator will be able to inform the respective cooperator(s) whether or not the Service will provide financial assistance. When the cooperator has signed a cooperative agreement work can begin on the project. The Service reimburses the landowner after the project is completed.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

None. However, project partners may request additional projects on their lands.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects normally funded through annual segments. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise prescribed by program-specific legislation or special award terms. Programs will include any special payment terms and

conditions in the notice of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will specify all performance reporting requirements in the cooperative agreement and/or the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will specify all financial reporting requirements in the cooperative agreement and/or notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Accomplishments are recorded for each project in the Habitat Information Tracking System (HabITS). HabITS tracks habitat improvement accomplishments and program-specific technical assistance workloads associated with the Coastal Program and Partners for Fish and Wildlife Program.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$13,000,000; FY 17 est \$12,000,000; and FY 18 est \$12,000,000 - PROJECT GRANTS (Discretionary): FY16 \$13,000,000; FY17 est \$12,000,000; FY18 est \$12,000,000
FY17 and FY18 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

Range is \$5,000 to \$50,000.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Program Restored/Enhanced 29,909 upland acres, 64,441 wetland acres, and 193 stream miles. Fiscal Year 2017: The Program restored/enhanced 50,410 upland acres, 16,376 wetland acres, and 108 stream miles. Fiscal Year 2018: The Program anticipates initiating approximately 50 projects similar to those funded in FY16.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. See <http://www.fws.gov/coastal> for contact information and addresses.

Headquarters Office:

Samantha Brooke Branch of Habitat Restoration, U.S. Fish and Wildlife Service, Department of the Interior
5275 Leesburg Pike, MS: NWRS, , Falls Church, Virginia 22041-3803 Phone: (703) 358-2332

Website Address:

<http://www.fws.gov/coastal>.

RELATED PROGRAMS:

15.631 Partners for Fish and Wildlife

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Ocelot Recovery Los Fresnos, Texas: Once found in Texas, Arkansas and Louisiana, the federally-endangered ocelot is now found only in southern Texas preferring dense coastal shrub. Habitat loss and fragmentation are the greatest challenge to their recovery. In Texas, ocelots have lost more than 95% of their habitat to timber harvests, agriculture, and urban development. The Services ocelot recovery efforts are being led by the Laguna Atascosa National Wildlife Refuge and Lower Rio Grande Valley National Wildlife Refuge. The Coastal Program worked with partners to improve nearly 134 acres of habitat in southern coastal Texas. The project involved using of prescribed fire to control invasive species, planting of native trees and shrubs (i.e., coyotillo and thornshrub), and protecting the project in a permanent conservation easement. In addition to benefiting the ocelot, this project may also benefit the Texas jaguarondi and Texas ayenia.

Harts Light Spawning Reef St. Clair, Michigan: Once found in the Mississippi River, Hudson Bay and Great Lakes basins, only a remnant population of lake sturgeon can be found today in the Great Lakes region. Overfishing, habitat loss, dams, and pollution caused their population collapse. Lake sturgeon one of the Services Regional priority species, are listed as threatened or endangered in 19 of the 20 states within its original range in the United States. The Coastal Program worked with the Environmental Protection Agency, U.S. Geological Survey, and other partners to construct the Harts Light Reef. Larger than previously constructed reefs, the limestone cobble structure covers almost four acres. The Coastal Program assessed baseline fish use, supported project planning and partnership development, and post restoration monitoring. Project monitoring, which will continue through 2017, documented sturgeon eggs on the reef for the last two years.

Dune Restoration, City of Seaside, California: The Coastal Program collaborated with partners to restore 1.5 acres of dune habitat, along southern Monterey Bay. Over the next four years, this partnership will restore an additional 10 acres of dune habitat also on state park lands that will benefit the western snowy plover, Smith's blue butterfly, Monterey spineflower, and the California legless lizard. This project connects people with nature by educating and training 1,600 children and adults in the classroom and at volunteer planting events. It also supports the Department of the Interiors Pollinator Protection Plan by removing invasive vegetation and replanting 24,000 native flowering plants, which will provide habitat for the endangered Smith's blue butterfly. By removing the invasive vegetation and maintaining dune habitat, this project addresses the effects of climate change by enhancing biodiversity, habitat connectivity, and coastal resiliency. The Coastal Program will continue to assist with project design and implementation, environmental compliance, and project monitoring. Fiscal Year 2017: The Program anticipates funding projects that help protect and restore fish and wildlife habitats that benefit Federal trust species. Fiscal Year 2018: The Program anticipates funding projects that help protect and restore fish and wildlife habitats that benefit Federal trust species.

CRITERIA FOR SELECTING PROPOSALS:

All projects must benefit Federal trust species and be cost effective. Project selection occurs at the field level. See the annual "Notice of Availability of Federal Assistance" in <http://grants.gov> for more details.

15.631 PARTNERS FOR FISH AND WILDLIFE

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Partners for Fish and Wildlife Act of 2006, 16 U.S.C. 3771-3774; Fish and Wildlife Act of 1956; 16 U.S.C. 742a-c, 742e-j; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-667(e).

OBJECTIVES:

Provide technical and financial assistance to private landowners and Native American Tribes interested in voluntarily restoring or otherwise improving native habitats for fish and wildlife on their lands.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Assistance provided to private landowners (defined to include individuals, local governments, non-governmental organizations) and Native American Tribes. Program goal is to achieve a 50 percent cost share on projects with matching funds and in-kind contributions provided by the landowners and other partners. Projects must be located on private lands. The term private land is defined in the Act as any land that is not owned by the Federal Government or a State. It also includes tribal land and Hawaiian homeland. 100% of funds are discretionary.

Applicant Eligibility:

Private landowners, tribal governments, local and state governments, educational and non-profit institutions and organizations are eligible for financial and technical assistance from Partners for Fish and Wildlife Program. Projects must be located on private lands. Private land is defined by the Partners for Fish and Wildlife Act of 2006, 16 U.S.C. 3771-3774, as any land that is not owned by the Federal Government or a State. Private land includes tribal land and Hawaiian homeland.

Beneficiary Eligibility:

Private landowners, tribal governments, local and state governments, educational and non-profit institutions and organizations are eligible for financial and technical assistance from Partners for Fish and Wildlife Program. Projects must be located on private lands. Private land is defined by the Partners for Fish and Wildlife Act of 2006, 16 U.S.C. 3771-3774, as any land that is not owned by the Federal Government or a State. Private land includes tribal land and Hawaiian homeland.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The local Partners for Fish and Wildlife Program Coordinator works with the applicant and makes a site visit to design a project that will benefit Federal trust resources and is acceptable for the landowner's needs. Contact the appropriate Partners for Fish and Wildlife Program Office for application procedures. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF424A and SF 424B - Non-construction; or SF 424C and SF 424D - Construction) Information is also available at <http://www.grants.gov>.

Award Procedure:

After a project has been designed and the cost calculated, the local Partners Program coordinator will be able to inform the private landowner whether or not the Service will provide cost-share assistance. When the landowner has signed a cooperative agreement (a minimum of 10 years) work can begin on the project. The Service reimburses the landowner after the project is completed.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

None. Private land owners may request additional projects on their lands.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The Program goal is to obtain a 50 percent match of Federal monies by partnering with landowners, local community groups and other local and national conservation organizations. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cost-share is a one time event that occurs after project construction. See the

following for information on how assistance is awarded/released: Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms.

Program will include any special payment terms and conditions in the notice of award.

Reports:

No program reports are required. No cash reports are required. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly.

Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Accomplishments are recorded for each project in the Habitat Information Tracking System (HabITS). HabITS tracks habitat improvement accomplishments and program-specific technical assistance workloads associated with the Coastal Program and Partners for Fish and Wildlife Program.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$52,000,000; FY 17 est \$52,000,000; and FY 18 est \$52,000,000 - PROJECT GRANTS (Discretionary): FY16 \$52,000,000; FY17 \$52,000,000; FY18 \$52,000,000. FY17 and FY18 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

Cost-share range per project is from \$200 to \$25,000. The average cost per project is \$5,400.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 2,898 project accomplishments for nearly 321,116 acres of conservation and nearly 580 river miles. Fiscal Year 2017: The Program expects to complete at a minimum 900 project accomplishments. Fiscal Year 2018: The Program expects to complete at a minimum 900 project accomplishments.

REGULATIONS, GUIDELINES, AND LITERATURE:

For information about Program policy and guidelines see the Partners for Fish and Wildlife Program Management Policy in the Fish and Wildlife Service Manual, 640 FW1 (at <http://www.fws.gov/policy/640fw1.html>).

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Visit <http://www.fws.gov/partners> for contact information.

Headquarters Office:

Matt Filsinger Branch of Habitat Restoration, U.S. Fish and Wildlife Service,

Department of the Interior,
5275 Leesburg Pike, MS: NWRS , Falls Church, Virginia 22041-3803 Phone:
(703) 358-2011

Website Address:

<http://www.fws.gov/partners>.

RELATED PROGRAMS:

15.630 Coastal Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: 1) Youth Education, Outdoor classrooms, and Monarch/Pollinator Initiative

To complement each of the initiatives listed above The New Mexico (NM) Partners for Fish and Wildlife (PFW) program worked with 14 high school students from Albuquerque, NM to plant native pollinator plants, fruit trees, construct 2 greenhouses, 2 native bee santuarios (bee motels), created 2 outdoor classrooms, helped build 1 rainwater harvesting system and completed 38 hours of classroom time. PFW staff helped directly with organizing the hands-on field work activities, project implementation, transportation logistics and curriculum delivery. The work took place on four (4) organic community farms. This was a win-win for the students, the landowners, the community and the land!

2) Prairie Management Using Proper Ranching Techniques

The goal of this 1,320-acre private land project located in south central Kansas was to improve habitat conditions for grassland and aquatic wildlife species while benefiting working agricultural lands and surrounding communities through increased livestock forage, water quantity, economic growth and reduced risk of catastrophic wildfire. This project site is native mixed-grass prairie. The PFW Program provided technical and financial assistance for mechanical removal of invasive trees. Additionally, the project included guidance on prescribed grazing and prescribed burning for long-term maintenance of the project site. The PFW Program coordinated with locally lead community-based partnerships to leverage resources and develop long-term plans while incorporating goals of the ranch

3) Drexel Town Square Project

The U.S. Fish and Wildlife Services PFW Program in Wisconsin is not only working with rural landowners across Wisconsin, but assisting project managers in urban areas to connect people and the environment while improving water quality and wildlife habitat. A great example of this is within the City of Oak Creek, a suburb of Milwaukee, WI. Here the biologist worked with City planners and administrators to restore and enhance upland and wetland habitats located at the Drexel Town Square Project to create an amenity for the future redevelopment. The Project site is approximately eighty-five acres of a former industrial development that is now being redeveloped into a mixed use Town Center that will include the City of Oak Creeks new City Hall and Library, a large format retail store, a variety of smaller retailers, restaurants, commercial office buildings, multiple high quality residential developments, a public town square, and the enhanced wetland park and other public recreational amenities. Fiscal Year 2017: The Program has not yet selected projects to support. The Program anticipates funding projects that help protect and restore fish and wildlife habitats to benefit Federal trust species and declining species. Fiscal Year 2018: The Program has not yet selected projects to support. The Program anticipates funding projects that help protect and restore fish and wildlife habitats to benefit Federal trust species and declining species.

CRITERIA FOR SELECTING PROPOSALS:

All projects must benefit Federal trust species, involve partnerships, be-cost effective, and where applicable involve on-the-ground habitat restoration. Specific criteria for reviewing and ranking projects were established in the national Partners policy, at <https://www.fws.gov/policy/640fw1.html>. The program gives high priority to proposed projects that benefit the National Wildlife Refuge System, species at risk, conserve globally or nationally imperiled habitats; and reduce habitat fragmentation. Please review the annual Notice of Availability of Federal Assistance for additional selection criteria referenced in <http://www.grants.gov>.

15.633 LANDOWNER INCENTIVE

(LIP)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act of 2006, Public Law 109-148; Title I; Land and Water Conservation Fund Act of 1965; 16 U.S.C. 4601-4 through 11. . Public Law 109-148, 16 U.S.C 4601-4 through 11.

OBJECTIVES:

This program establishes or supplements existing landowner incentive programs that provide technical or financial assistance. This includes habitat protection and restoration; to assist private landowners in protecting and managing habitats to benefit federally listed, proposed, or candidate species, or other at-risk species; and to encourage States, the District of Columbia, Commonwealths, or territories to enhance private landowner conservation.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Tier 1 projects provide the States, the District of Columbia, Commonwealths, or territories to support development of a new program, or to enhance an existing landowner incentive program, through administration, development of plans, outreach, and other associated activities that assist in the accomplishment of projects on private lands. Tier 2 projects should place a priority on the implementation of State, the District of Columbia, Commonwealth, or territorial programs that provide technical and financial assistance to private landowners for the protection and restoration of habitats that benefit federally listed, proposed, or candidate species, or other species-at-risk.

Applicant Eligibility:

Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the U.S. Fish and Wildlife Service.

Beneficiary Eligibility:

Private landowners and the public (While direct participation is limited to State, the District of Columbia, Commonwealth, or territorial fish and wildlife agencies, private landowners will directly benefit from financial and technical assistance and the public will ultimately benefit from these wildlife conservation measures implemented on private lands).

Credentials/Documentation:

Only that agency that has lead management responsibility for fish and wildlife resources within the State, the District of Columbia, Commonwealth, or territory may apply for these grants. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency. For further instructions and forms go to <http://fawiki.fws.gov/display/WTK/Toolkit+Homepage> or www.grants.gov.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or his designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applicants will receive notification of approval/disapproval within approximately 60 working days after proposal due date.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Yes. Grant funds are disbursed to States, the District of Columbia and Puerto Rico for approved grants up to 75% of the project costs and to American Samoa, Guam, the Commonwealth of the Northern Mariana Islands and the U.S. Virgin Islands for up to 100% of the project costs.

Matching requirements may vary by State.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Annual awards. See the following for information on how assistance is awarded/released: Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit annual interim reports. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit annual interim reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-5496-0-2-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$1,400,000; FY 17 est \$800,000; and FY 18 est \$0 - PROJECT GRANTS (Discretionary): FY 16 \$ 1,400,000; FY 17 est. \$800,000; FY 18 est. \$0

No new funding for this program is expected. CFDA should remain active through FY18 to allow Bureau to close all currently open awards and report any associated amendments to government-wide systems that require the

identification of an active CFDA number as a key reporting element.

Range and Average of Financial Assistance:

Funds are available until expended.

TAFS Codes:

14-5496.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Habitat improvement, restoration, land protection, and technical guidance activities were implemented. The program received 0 applications and issued 0 new awards. Fiscal Year 2017: Habitat improvement, restoration, land protection, and technical guidance activities were implemented. The program received 0 applications and issued 0 new awards.

Fiscal Year 2018: Habitat improvement, restoration, land protection, and technical guidance activities were implemented. The program received 0 applications and issued 0 new awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of the Interior and Related Agencies Appropriations Act, 2006, Public Law 109-148, Title I, language on Landowner Incentive Program.

Regional or Local Office:

See Regional Agency Offices. Region 1 U.S. Fish and Wildlife Service

Native American Liaison
Eastside Federal Complex
911 NE 11th Avenue
Portland, OR 97232-4181

Region 2 U.S. Fish and Wildlife Service

Native American Liaison
500 Gold Avenue, SW
P.O. Box 1306
Albuquerque, NM 87103-1306

Region 3 U.S. Fish and Wildlife Service

Native American Liaison
1 Federal Drive
Fort Snelling, MN 55111-4080

Region 4 U.S. Fish and Wildlife Service

Native American Liaison
1875 Century Boulevard
Atlanta, GA 30345

Region 5 U.S. Fish and Wildlife Service

Native American Liaison
300 Westgate Center Drive, Hadley, MA 01035-9589

Region 6 U.S. Fish and Wildlife Service

Native American Liaison
P.O. Box 25486
Denver, CO 80225

Region 7 U.S. Fish and Wildlife Service

Native American Liaison
1011 East Tudor Road
Anchorage, AK 99503-6199

Region 8 U.S. Fish and Wildlife Service

Tribal Partnerships Specialist
Habitat Restoration Division
2800 Cottage Way, Rm W-2606
Sacramento, CA 95825.

Headquarters Office:

Chief, Policy and Programs Division, Policy and Programs Division, Wildlife and Sport Fish Restoration Programs, U.S. Fish and Wildlife Service, Department of the Interior, MS: WSFR, 5275 Leesburg Pike, Falls Church, Virginia 22041-3803 Phone: (703)358-2231

Website Address:

<http://wsfrprograms.fws.gov>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.608 Fish and Wildlife Management Assistance; 15.611 Wildlife Restoration; 15.615 Cooperative Endangered Species Conservation Fund; 15.634 State Wildlife Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Virginia Department of Game and Inland Fisheries delivered a comprehensive landowner incentive program focused on rare and listed wildlife species, with emphasis on aquatic habitats and species that provided private landowners and partners with the information and techniques necessary to restore, protect, enhance, and manage stream/river habitats on their properties resulting in significant gains for species recovery and conservation. In total, 59 projects were completed for a total amount of 107,483 linear feet of creek/river and 238 acres of adjacent terrestrial habitat restored. Fiscal Year 2017: Existing habitat improvement, restoration, land protection, and technical guidance activities continue. No new awards will be issued. Fiscal Year 2018: Existing habitat improvement, restoration, land protection, and technical guidance activities continue. No new awards will be issued.

CRITERIA FOR SELECTING PROPOSALS:

The State, the District of Columbia, Commonwealth, or territorial agency having lead responsibility for the management of their fish and wildlife resources must submit the projects. The State, the District of Columbia, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.634 STATE WILDLIFE GRANTS

(SWG)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Consolidated Appropriations Act, Fiscal Year 2016 (Public Law No. 114-113).

OBJECTIVES:

This program funds the development and implementation of projects for the benefit of fish and wildlife and their habitats, including species that are not hunted or fished. Priority is placed on projects that benefit species of greatest conservation concern.

TYPES OF ASSISTANCE:

FORMULA GRANTS; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Approved activities include those which develop and implement programs or projects for the benefit of wildlife and their habitat, including species that are not hunted or fished. In order to receive program funds, a State fish and wildlife agency must have an approved State Wildlife Action Plan. Approved activities may focus on Action Plan update and revision and/or implementation. Approximately 10% of funds are set aside for discretionary (competitive) project grants, but this percentage varies annually based on Congressional appropriations. Approximately 10% of funds are set aside for discretionary (competitive) project grants, but this amount varies based on Congressional appropriations.

Applicant Eligibility:

Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the U.S. Fish and Wildlife Service if they maintain a current, Service-approved Comprehensive Wildlife Conservation Plan (also known as a State Wildlife Action Plan).

Beneficiary Eligibility:

General Public (While direct participation is limited to fish and wildlife agencies, the public will ultimately benefit from these wildlife conservation measures).

Credentials/Documentation:

The State, District of Columbia, Commonwealth, or territory must have a

Service-approved Comprehensive Wildlife Conservation Strategy (also known as a State Wildlife Action Plan) on file to receive grant funds. The Plan must consider the broad range of the State, the District of Columbia, Commonwealth, or territory agencies' wildlife and associated habitats, with appropriate priority placed on those species of greatest conservation need. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State, the District of Columbia, Commonwealth, or territory for more information on this process when applying for assistance if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency. See www.grants.gov for application instructions and forms. Grant proposals must clearly indicate how the proposed objectives address the conservation needs identified within the State's, the District of Columbia's, Commonwealths or territory's Wildlife Action Plan.

Award Procedure:

SWG-Formula: The Regional Director of the U.S. Fish and Wildlife Service or his or her designee approves or disapproves proposed projects. Regional offices are responsible for notification of grant approval to the grantee.

SWG-Competitive: The Director of the U.S. Fish and Wildlife Service or his or her designee approves or disapproves proposed projects, based on an objective scoring and ranking procedure as outlined in the Application Instructions, available at Grants.gov.

Deadlines:

Aug 31, 2018: Formula Grants. The deadline for the Competitive State Wildlife Grant Program is February 3, 2017, 11:59 p.m. PDT. State applicants can continuously apply for Formula funding through August 31, 2018, 11:59 p.m. PDT.

Range of Approval/Disapproval Time:

SWG-Formula: Approximately 30-60 days. SWG-Competitive: Approximately 120 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

SWG-Formula: Grantees may renew projects on an annual basis if justifiable and if funds are available. SWG-Competitive: Not applicable.

Formula and Matching Requirements:

Statutory Formula: Yes. The Department of the Interior and Related Agencies Appropriations Act of 2014, Public Law 113-76 requires that the Secretary of the Interior shall, after deducting administrative expenses and an annually-designated amount for Tribal grants, apportion the remaining amount in the following manner: (A) to the District of Columbia and to the Commonwealth of Puerto Rico, each a sum equal to not more than one-half of 1 percent thereof; and (B) to the territories of American Samoa, Guam, the Commonwealth of Virgin Islands, and the Commonwealth of Northern Mariana Islands, each a sum equal to not more than one-fourth of one percent thereof. (2) The Secretary must apportion the remaining amount in the following manner: (A) one-third of which is based on the ratio to which the land area of

such State bears to the total land area of all such States; and (B) two-thirds of which is based on the ratio to which the population of such State bears to the total population of all such States. The amounts apportioned under this paragraph must be adjusted equitably so that no State must be apportioned a sum which is less than 1 percent of the amount available for apportionment under this paragraph for any fiscal year or more than 5 percent of such amount. Matching Requirements: SWG-Formula: Planning grants require 25% matching; federal share not to exceed 75% of total costs. Implementation grants require 35% matching; federal share not to exceed 65% of total costs. SWG-Competitive: Grants require 25% matching; federal share not to exceed 75% of total costs. The Department of the Interior and Related Agencies Appropriations Act of 2009, Public Law 111-88 requires that the Secretary of the Interior shall, after deducting administrative expenses and an annually-designated amount for Tribal grants, apportion the remaining amount in the following manner: (A) to the District of Columbia and to the Commonwealth of Puerto Rico, each a sum equal to not more than one-half of 1 percent thereof; and (B) to the territories of American Samoa, Guam, the Commonwealth of Virgin Islands, and the Commonwealth of Northern Mariana Islands, each a sum equal to not more than one-fourth of one percent thereof. (2) The Secretary must apportion the remaining amount in the following manner: (A) one-third of which is based on the ratio to which the land area of such State bears to the total land area of all such States; and (B) two-thirds of which is based on the ratio to which the population of such State bears to the total population of all such States. The amounts apportioned under this paragraph must be adjusted equitably so that no State must be apportioned a sum which is less than 1 percent of the amount available for apportionment under this paragraph for any fiscal year or more than 5 percent of such amount. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Apportioned funds are available for obligation by the State, the District of Columbia, Commonwealth, or territory for a period of two years. Reimbursements can be requested on a continuing basis as expenditures are verified. Balances remaining unobligated after the period of availability or unspent after the final grant closeout must return to the U.S. Fish and Wildlife Service for reapportionment. See the following for information on how assistance is awarded/released: Apportioned and project funds are available for obligation by the State, the District of Columbia, Commonwealth, or territory for a period of two years. Balances remaining unobligated after the period of availability or unspent after the final grant closeout must return to the U.S. Fish and Wildlife Service for reapportionment.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit annual interim reports. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit annual interim reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-5474-0-1-302.

Obligations:

(Formula Grants) FY 16 \$61,571,000; FY 17 est \$62,000,000; and FY 18 est \$62,000,000 - FORMULA GRANTS (Mandatory): FY16 \$61,571,000; FY17 \$62,000,000 (estimated); FY18 \$62,000,000 (estimated). (Project Grants (Discretionary)) FY 16 \$5,487,000; FY 17 est \$5,487,000; and FY 18 est \$5,500,000 - PROJECT GRANTS (Discretionary): FY16 \$5,487,000; FY17 \$5,487,000 (estimated); FY18 \$5,500,000 (estimated).

Range and Average of Financial Assistance:

Range is \$30,000 to \$3,000,000; Average \$500,000.

TAFS Codes:

14-5474.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: SWG Program funds are positively impacting many Endangered Species Act listing decisions. The Program has likely helped to curtail growth of State and Federal expenditures on Federally-listed species since the Program was first created in 2001. The Service de-listed thirteen domestic fish and wildlife species due to recovery since 2001. SWG Program funding was a key funding source for the conservation and recovery of sevenmore than 50 percentof these species. The SWG Program has also helped States contribute to Service removal or withdrawal of a significant portion of Candidate species listingthose species found by the Service to be warranted for listing but not yet listed as Threatened or Endangered. Of the 58 domestic Candidate fish and wildlife species that were removed or withdrawn from the list for conservation-related reasons since 2001, at least 19 species about 33 percentwere conserved by State fish and wildlife agencies using STWG Program funds. The discretionary (competitive) subprogram received 21 applications and issued 14 awards. Fiscal Year 2017: The discretionary (competitive) subprogram anticipates receiving 25 applications and issuing 12 to 18 awards. The number of applications for mandatory grants varies annually based on State priorities and capacity. Fiscal Year 2018: The discretionary (competitive) subprogram anticipates receiving 35 applications and issuing 15 to 18 awards. The number of applications for mandatory grants varies annually based on State priorities and capacity.

REGULATIONS, GUIDELINES, AND LITERATURE:

Consolidated Appropriations Act, Fiscal Year 2016 (Public Law No. 114-113), 2 CFR 200

Regional or Local Office:

See Regional Agency Offices. Region 1: American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Hawaii, Idaho, Oregon, and Washington

U.S. Fish and Wildlife Service
Office of Migratory Birds & State Programs
WSFRP
911 NE 11th Avenue
Portland, OR 97232-4181
Contact Person: Karla Drewsen
503-231-2389
karla_drewsen@fws.gov

Region 2: Arizona, New Mexico, Oklahoma, and Texas

U.S. Fish and Wildlife Service
Wildlife and Sport Fish Restoration Program
500 Gold Avenue, SW
Albuquerque, NM 87103
Contact Person: Susan MacMullin
505-248-7476
susan_macmullin@fws.gov

Region 3: Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, and Wisconsin

U.S. Fish and Wildlife Service
Wildlife and Sport Fish Restoration Program
5600 American Blvd. West, Suite 990

Bloomington, MN 55437-1458
Contact Person: Jessica Piispanen
612-713-5142
jessica_piispanen@fws.gov

Region 4: Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, the Commonwealth of Puerto Rico, South Carolina, Tennessee, and the U.S. Virgin Islands

U.S. Fish and Wildlife Service
Wildlife and Sport Fish Restoration Program
1875 Century Boulevard, Suite 240
Atlanta, GA 30345
Contact Person: Diana Swan
404-679-7058
diana_swan@fws.gov

Region 5: Connecticut, Delaware, the District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, and West Virginia

U.S. Fish and Wildlife Service
Wildlife and Sport Fish Restoration Program
300 Westgate Center Drive
Hadley, MA 01035
Contact Person: Dee Blanton
413-253-8513
dee_blanton@fws.gov

Region 6: Colorado, Kansas, Nebraska, Montana, North Dakota, South Dakota, Utah, and Wyoming

U.S. Fish and Wildlife Service
Wildlife and Sport Fish Restoration Program
P.O. Box 25486, Denver Federal Center
Denver, Colorado 80225
Contact Person: Amanda Horvath
303-236-4414
amanda_horvath@fws.gov

Region 7: Alaska

U.S. Fish and Wildlife Service
Wildlife and Sport Fish Restoration Program
1011 East Tudor Road, MS 261
Anchorage, AK 99503
Contact Person: Kyle James
907-786-3696
kyle_james@fws.gov

Region 8: California and Nevada

U.S. Fish and Wildlife Service
Wildlife and Sport Fish Restoration Program
2800 Cottage Way, W-1729
Sacramento, CA 95825
Contact Person: Bart Prose
916-978-6152
bart_prose@fws.gov

Headquarters Office:

Director, Policy and Programs, Wildlife and Sport Fish Restoration Program
Division of Policy and Programs
5275 Leesburg Pike, MS: WSFR
, Falls Church, Virginia 22041-3803 Phone: (703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov/>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.608 Fish and Wildlife Management Assistance; 15.611 Wildlife Restoration; 15.615 Cooperative Endangered Species Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Texas Department of Parks and Wildlife, in partnership with Oklahoma Department of Wildlife Conservation, the Gulf Coast Landscape Conservation Cooperative, Oklahoma tribes, and State universities created and enhanced habitat for a variety of ESA Candidate and petitioned pollinator species including monarch butterfly, regal fritillary, and rattlesnake master borer. Wisconsin Department of Natural Resources, in partnership with Minnesota and Iowa Departments of Natural Resources, identified flood-safe zones as part of an adaptive management strategy targeting the petitioned wood turtle, the ornate box turtle, and associated habitats. Georgia Department of Natural Resources worked with South Carolina Department of Natural Resources and the North Carolina Wildlife Resources Commission to implement a range-wide, landscape-scale conservation strategy for the robust redbreast, a petitioned fish species. Fiscal Year 2017: Kentucky Department of Fish and Wildlife Resources is continuing to work with the Illinois Department of Natural Resources to improve habitat for rattlesnake-master borer, a rare moth that is a Service Candidate species. The Southeast Association of Fish and Wildlife Agencies is leading an initiative to benefit the eastern hellbender, a rare, large stream-dwelling salamander that was among numerous other species named in a 2013 settlement agreement stipulating a binding deadline for a Service protection decision under the ESA. New Hampshire Department of Fish and Game and partners are continuing to implement a regional conservation plan for Blandings turtle and other species of greatest conservation need. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects that help states conserve and protect state-identified species of greatest conservation need.

CRITERIA FOR SELECTING PROPOSALS:

Applications are scored and ranked based on criteria published annually in the Notice of Funding Opportunity (NOFO). The NOFO is available at Grants.gov.

15.635 NEOTROPICAL MIGRATORY BIRD CONSERVATION

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Neotropical Migratory Bird Conservation Act of 2000, Public Law 106-247, 16 U.S.C. 6101.

OBJECTIVES:

To assist in the conservation of neotropical migratory birds by providing financial resources for the projects of partnerships in countries within the ranges of neotropical migratory birds.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used for approved neotropical migratory bird conservation projects. Projects must: enhance the conservation of neotropical migratory bird species in the U.S., Canada, Latin America, or the Caribbean; ensure adequate local public participation in project development and implementation; be implemented in consultation with relevant wildlife management authorities and other appropriate government officials with jurisdiction over the resources addressed by the project; be sensitive to local historic and cultural resources and comply with applicable laws; promote sustainable effective, long-term programs to conserve neotropical migratory birds; and other requirements the Secretary considers to be necessary. The Act requires that not less than 75 percent of the amounts made available each fiscal year shall be expended for projects outside of the U.S. Projects are approved for up to a 2-year period of time. For further information, please contact the headquarters office. 100%. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the

activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, North Korea, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards salaries or travel of U.S. Federal Government personnel. 100% of funding is discretionary.

Applicant Eligibility:

An individual, corporation, partnership, trust, association, or other private entity; an officer, employee, agent, department, or instrumentality of the Federal Government, of any State, municipality, or political subdivision of a State, or of any foreign government; a State municipality, or political subdivision of a State; or any other entity subject to the jurisdiction of the United States or of any foreign country; or international organization with an interest in neotropical migratory bird conservation.

Beneficiary Eligibility:

An individual, profit organization, other private institution/organization, public nonprofit institution/organization, an officer, employee, agent, department, or instrumentality of the Federal Government, of any State, municipality, or political subdivision of a State, or of any foreign government; a State municipality, or political subdivision of a State; or any other entity subject to the jurisdiction of the United States or of any foreign country; or international organization with an interest in neotropical migratory bird conservation.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must complete the Standard Form (SF)-424, and the appropriate Assurances (SF-424B Non-construction or SF-424D Construction) forms. Grant application, including Standard Form (SF) Application for Federal Assistance, and the appropriate Assurances forms (SF-424B and/or SF-424D), is submitted electronically to the address referenced on the website, at <https://www.fws.gov/birds/grants/neotropical-migratory-bird-conservation-act/how-to-apply.php> or through www.grants.gov. Information may also be accessed through www.grants.gov. If unable to access the website, request a proposal package, which includes specific requirement information, from the Chief, Division of Bird Habitat Conservation, Fish and Wildlife Service, Mail Stop MB 5275 Leesburg Pike, Falls Church, Virginia 22041-3803 Phone: (703) 358-1784 Fax: (703) 358-2282. Instructions are available in English and Spanish.

Award Procedure:

Proposals are reviewed and competitively selected for funding using criteria developed on the requirements of the Neotropical Migratory Bird Conservation Act. Review criteria can be found in the application information on the program website. Proposals are reviewed by a panel of experts from the Fish and Wildlife Service and other government agencies, who make funding recommendations to the Director. After projects are selected for funding, a grant agreement between the Fish and Wildlife Service and the grantee is drafted by the Division of Bird Habitat Conservation (DBHC). The agreement is then processed by DBHC and sent to the recipient. The agreement is considered to be executed on the date of signature by the Chief of DBHC.

Deadlines:

Nov 07, 2017 November 7, 2017.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are usually made no later than 180 days after the receipt of the project proposal.

Appeals:

None. Proposals not funded may be resubmitted.

Renewals:

Proposals are funded on a project-specific basis. Requests for future funding must compete with other requests for project funding. A grant agreement can be modified or extended at the discretion of the Division of Bird Habitat Conservation.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: In determining whether to accept projects for funding approval, applicants must guarantee a 3:1 match amount. That is, the applicant must match each Act dollar with 3 non-Federal dollars. Federal dollars refers to U.S. Federal dollars. Federal dollars of non-U.S. governments are eligible. In the U.S. and Canada, match must be in cash; outside of the U.S. and Canada, match may either be in cash or in-kind. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Unless the project is extended, awarded funds must be spent during the approved Period of Performance of the grant agreement, and in accordance with financial and reporting procedures specified in the grant agreement. Projects are usually two years in duration. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise prescribed in program-specific legislation or special award terms.. Program will include any special payment terms and conditions in the notice of award.

Reports:

Program reports are not applicable. Quarterly financial reports are required for recipients opting to receive grant funds in advance of expenditure. A performance report is required for each grant award annually, at the end of the fiscal quarter following the award anniversary date. A final performance report is due at the end of the fiscal quarter following the end of the award period of performance. Interim and final reports must be submitted in accordance with the terms and conditions of the grant agreement and must include SF-425 Federal Financial Report. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients are responsible for monitoring and reporting performance for each award and sub-award under this program in accordance with 2 CFR 200 and 2 CFR Part 170.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The Recipient shall maintain a complete, detailed accounting system to report expenditures of grant funds and a detailed accounting of receipts and expenditures of non-Federal matching dollars or value of services. Records, accounts, and supporting documents must be retained for 3 years after submission of final report.

Account Identification:

14-1696-0-0-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$3,688,012; FY 17 est \$3,790,000; and FY 18 est \$3,660,000 - PROJECT GRANTS (Discretionary): FY16 \$3,688,012; FY17 \$3,790,000 and FY18 \$3,660,000. FY17 and FY18 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

Ranged from \$2,000 to \$200,000, with an average of \$100,000.

TAFS Codes:

14-1696.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program received 50 proposals funded 32 projects. Fiscal Year 2017: Program received 58 proposals and anticipates funding 30 projects. Fiscal Year 2018: Program anticipates receiving 60 proposals and funding 30 projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

NMBCA Grant Application Instructions; U.S. Grant Administration Standards (for grants within the U.S.); NMBCA Grant Administration Guidelines for Grant Activities outside the USA; 2 CFR 200 and 49 CFR Part 24.

Regional or Local Office:

None.

Headquarters Office:

Chief, Chief, U.S. Fish and Wildlife Service, Department of the Interior, Washington D.C. 20240. Division of Bird Habitat Conservation, MS: MB, 5275 Leesburg Pike., Falls Church, Virginia 22041-3803 Phone: (703) 358-1784 Fax: (703) 358-2282.

Website Address:

<http://www.fws.gov/birds/grants/neotropical-migratory-bird-conservation-act.php>

RELATED PROGRAMS:

15.623 North American Wetlands Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Conservation Strategy for the Grasslands of the Chihuahuan Desert III In this third phase, partners will attend to the most urgent restoration needs identified in the Mountain Plover (MOPL) Conservation Business Plan 2015. The long term goal is to increase by 10% the MOPL populations in Janos-Casas Grandes through protection, habitat restoration, and threats mitigation such as the decline of prairie dogs colonies that represent optimal habitat for MOPL. Additionally, partners will strengthen the Regional Alliance of Producers in Janos Region through community collaboration and participation between livestock organizations, governmental agencies at a local, state and national level.

Ensuring Conservation Status for Last Unprotected Forests in Caribbean Guatemala The forests within Guatemala's Caribbean Rainforest Corridor are a vital link in the Mesoamerican Biological Corridor and the Caribbean migratory flyway of Central America. The Caribbean region of Guatemala is one of the country's biodiversity hotspots and the region is critical for Neotropical wintering migratory and resident birds; one hundred and twenty species of migratory birds are reported for the region, and use the remaining native habitats as their main wintering or stop-over habitat. However, between 1991 and 2010, 40% of the total forested surface disappeared, mostly due to weak law enforcement, the encroachment of subsistence agriculture and the expansion of extensive cattle ranching and oil palm plantations. The grantee will protect and manage remaining forests in cooperation with local communities and government agencies, and will continue monitoring bird populations to generate data related to migratory species in their wintering grounds, as well as monitoring forest cover change in order to evaluate long term impacts of the project. The project will also support the sustainable development of 6 neighboring Maya Q'eqchi communities, where environmental education campaigns will be implemented in order to promote bird friendly productive activities.

Adaptively Managing and Increasing Critical Habitat for Sprague's Pipit in Alberta The Grassland Natural Region of Canada is one of the most intensively-utilized landscapes in the world; in the province of Alberta, 95% of the grassland region is either used for farming or ranching. This loss and degradation of native habitat is widely considered the cause of continental declines in many populations of Neotropical migratory bird species. This project will restore important habitat in the South Saskatchewan River and Milk River watersheds. Between 5,000 and 10,000 acres will be added to the Sprague's pipit habitat management program through enrollment of new ranches in 10-year management agreements. In addition to grazing management strategies, the grantee will actively improve habitats through removal of encroaching shrubs, employment of moveable fence-lines, and reseeding of previously cultivated lands to native grasses. Through resulting improvements and increases to habitat quality/quantity, the grantee will effectively increase utilizable patch sizes to the recommended minimum of 360 acres, thus decreasing fragmentation effects on breeding Sprague's pipits. Fiscal Year 2017: Projects not yet selected. Program expects to fund 25-35 awards to support public-private partnerships carrying out projects in the United States, Canada, Latin America, and the Caribbean that promote the long-term

conservation of Neotropical migratory birds and their habitats through: 1) Protection and management of neotropical migratory bird populations; 2) Maintenance, management, protection, and restoration of neotropical migratory bird habitat; 3) Research and monitoring; 4) Law enforcement; and 5) Community outreach and education. Fiscal Year 2018: Projects not yet selected. Program expects to fund 25-35 awards to support public-private partnerships carrying out projects in the United States, Canada, Latin America, and the Caribbean that promote the long-term conservation of Neotropical migratory birds and their habitats through: 1) Protection and management of neotropical migratory bird populations; 2) Maintenance, management, protection, and restoration of neotropical migratory bird habitat; 3) Research and monitoring; 4) Law enforcement; and 5) Community outreach and education.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be screened and selected for funding primarily on the basis of criteria contained in 16 U.S.C. 6101. However, proposals will also be evaluated on: scope and significance of effort; importance of resource values; relationship of project to other efforts locally/regionally; and feasibility and cost-effectiveness. Selection criteria are available on the program website.

15.636 ALASKA SUBSISTENCE MANAGEMENT**FEDERAL AGENCY:**

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Alaska National Interest Lands Conservation Act (ANILCA) of 1980, Title VIII, Public Law 96-487, 16 U.S.C. 3101-3233, Section 809. Fish and Wildlife Coordination Act (16 U.S.C. 661 to 666).

OBJECTIVES:

To identify and provide information needed to sustain subsistence fisheries and wildlife management on Federal public lands, for rural Alaskans, through a multidisciplinary, collaborative program. Two programs are administered under ANILCA to fulfill this objective. The Fisheries Resource Monitoring Program (Monitoring Program) funds approximately 40 studies annually to provide information on stock status and trends, harvests, and traditional ecological knowledge to manage and regulate Federal subsistence fisheries. The Partners for Fisheries Monitoring Program (Partners Program) strengthens Alaska Native and rural involvement in subsistence fisheries management and research. The program provides funds directly to Alaska Native and rural organizations to hire fishery biologists, social scientists, and educators to implement fisheries monitoring projects and rural internship programs.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds may be used for approved fish and wildlife subsistence management, fisheries monitoring and traditional ecological knowledge projects. 30% of funding is discretionary.

Applicant Eligibility:

An individual/family, profit organization, other private institution/organization, public nonprofit institution/organization; an officer, employee, agent, department or instrumentality of the Federal government, of the State of Alaska, municipality or political subdivision of the State of Alaska; Federally recognized Indian Tribal Government (including any Native village as defined in the Alaska Native Claims Settlement Act).

Beneficiary Eligibility:

An individual/Family, profit organization, other private institution/organization, public nonprofit institution/organization; an officer, employee, agent, department, or instrumentality of the Federal government, of the State of Alaska, municipality or political subdivision of the State of Alaska, or of any foreign government.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact for more information on the process. Environmental impact

information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicant must complete the Standard Form (SF)-424, the appropriate Budget and Assurances forms (SF-424A and SF-424B - Non-construction, Certifications Regarding Debarment, Suspension and Other Responsibility Matters, Drug-Free Workplace Requirements Certifications for Federal Assistance, Certification Regarding Lobbying and SF-LLL Disclosure of Lobbying Activities

Award Procedure:

For the Monitoring Program, an inter-agency technical review committee evaluates proposals, and, for those selected for further consideration, subsequently the full investigation plans. The committee develops a draft monitoring program from the highest priority projects, based on their technical review and available funds. The draft monitoring plan is reviewed by ten regional advisory councils and the public. An inter-agency staff committee reviews all recommendations, and provides recommendations. The Federal Subsistence Board selects projects for the Monitoring Plan taking into account all recommendations and comments. Funding of the Monitoring Plan is administered by the Office of Subsistence Management (OSM).

For the Partners Program, an internal review is conducted to evaluate proposals and make recommendations for funding. The evaluations are based on the criteria outlined in the request for federal assistance. The proposals are ranked and the OSM Assistant Regional Director selects proposals that best support the program within available funding. Once a Monitoring Program project or Partners Program position is selected for funding, a funding instrument, i.e., notice of award, between Fish and Wildlife Service and the recipient is drafted by the OSM. The award is processed by OSM through the contracting office and sent to the recipient for signature. The award is considered executed when signed copies of the agreement are received from the recipient and the contracting office has obligated funds to the agreement. The awards are administered by OSM.

Deadlines:

Feb 20, 2017 February 20, 2017.

Range of Approval/Disapproval Time:

Contact the Regional Office for application deadlines. Currently, the next Notice of Funding Availability for the Fisheries Resource Monitoring Program for submission of Investigation Plans was posted to grants.gov on November 22, 2016. Information can be found at <http://www.doi.gov/subsistence/frmp>.

Federal Subsistence Board action on the Monitoring Plan is taken no later than January 23, with administrative follow-up by OSM taking place immediately thereafter. OSM decisions on Partners Program positions are announced within one year of the close of the Request for Proposals.

Appeals:

Not Applicable.

Renewals:

Monitoring projects and positions may be approved for one to four years duration. Applicants may re-apply during subsequent Request for Proposals.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: There is no requirement for cost sharing, matching, or cost participation. However, applicants are encouraged to document matching contributions. Proposals with substantial cost sharing will be ranked higher than similar proposals with no identified cost sharing.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For the Monitoring and Partners Programs, upon approval, the project may be extended or the agreement modified for a period not to exceed five years from initial award. Awarded funds must be spent during the approved Period of Performance of the award, and in accordance with financial and reporting procedures specified in the award. For the Monitoring and Partners Programs visit the website <http://alaska.fws.gov/asm/fis.cfml>. Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, or as otherwise prescribed in program-specific legislation or special award terms. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Interim and final Federal Financial Reports, SF-425 are required in accordance with the terms and conditions of the award. Recipients are responsible for monitoring and reporting performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$3,208,040; FY 17 est \$3,254,458; and FY 18 est \$3,624,313 - Cooperative Agreements (Discretionary Grants) FY16 \$3,208,040; FY17 \$3,254,458 and FY18 est \$3,624,313.

Range and Average of Financial Assistance:

Variable amounts. Fisheries Resource Monitoring Program: Awards range from \$10,000 to \$500,000 up to a 4-year period. Partners for Fisheries Monitoring Program: Awards range from \$30,000 to \$600,000 over a 4-year period.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 45 applications received, 22 awards issued. Fiscal Year 2017: No new funding, 42 continuing projects. Fiscal Year 2018: No new funding, 27 continuing projects and anticipate funding approximately 20 new projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cooperative agreements/project grants will be administered in conformance with the Fish and Wildlife Service Administrative Service Manual and 2 CFR 200; 2 CFR 215.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. See Regional Agency Offices. For the Fisheries Monitoring and Partners for Fisheries Monitoring Programs: Michelle St. Peters, Grants Management Specialist, Office of Subsistence Management, 1011 E Tudor Road, MS 121, Anchorage, Alaska 99503. Telephone: (907)786-3691
Email: michelle_stpeters@fws.gov.

Headquarters Office:

Karie Crow, Office of Subsistence Management, 1011 E. Tudor Road, MS 121, Anchorage, Alaska 99503 Email: karie_crow@fws.gov Phone: (907) 786-3387.

Website Address:

<http://www.doi.gov/subsistence>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Project 16-302 Salmon River of the Pitka Fork Weir. Funds under this award are utilized to operate a weir on the Salmon River of the Pitka Fork in the Kuskokwim River basin to index Chinook salmon (*Oncorhynchus tshawytsch*) escapement into the headwaters of the Kuskokwim River, upriver from McGrath. Fiscal Year 2017: Project 16-351 Middle Kuskokwim River In-season Subsistence Salmon Harvest Monitoring and Estimation. Funds under this award are to be used to provide an index of relative abundance of salmon in a stretch of the middle Kuskokwim River, and further, investigate the feasibility of estimating the in-season Chinook Salmon harvest. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects that address identified issues and information needs, and that have a direct association to a Federal Subsistence fishery.

CRITERIA FOR SELECTING PROPOSALS:

For the Monitoring Program, each proposal is evaluated using the following five criteria: (1) Strategic Priorities: Studies must be responsive to identified issues and information needs. All projects must have a direct association to a Federal subsistence fishery, and either the subsistence fishery or fish stocks in question must occur in waters within or adjacent to Federal public lands; (2) Technical and Scientific Merit: Technical quality of the study design must meet accepted standards for information and collection, compilation, analysis, and reporting. Studies must have clear objectives, appropriate sampling design, correct analytical procedures, and specified progress and final reports; (3) Investigators Ability and Resources: Investigators and their organizations should have demonstrated technical and administrative expertise to complete prior studies, or have co-investigators or appropriate partnerships with other organizations to meet all requirements of the study; (4) Partnership-Capacity Building: Studies must include appropriate partners and contribute to building capacities of rural organizations, local communities, and residents to participate in fisheries resource management. Investigators and their organizations should be able to demonstrate the ability to maintain effective local relationships and a commitment to capacity building and cost benefits. (5) Applicants cost/price proposal will be evaluated for reasonableness. For a price to be reasonable, it must represent a price to the government that a prudent person would pay when consideration is given to prices in the market. Normally, price reasonableness is established through adequate price completion, but may also be determined through cost and price analysis techniques.

For the Partners Program, each proposal is evaluated using the following equally weighted criteria: (1) Each project must have direct linkage to Monitoring Program projects; (2) Ability to successfully implement program identified under section IV, ii. Content and Form of Application, Describe the Program; (3) Strategic priority and past performance of the Monitoring Program projects identified in the proposal; (4) Letters of support from tribal and rural organizations, government agencies, project investigators, and schools/universities; (5) Annual and total cost of the proposal, including use of in-kind or matching contributions that either decrease cost or expand support for implementing the Partners Program; (6) Past performance on implementing Monitoring Program projects; (7) Past performance on current or past agreements with the Office of Subsistence Management; (7) Previous experience in mentoring, training, and supervising personnel; and (8) Past and current accounting practices to ensure deliverables and accountability.

Each of these items carries a "Go/No-Go" impact: direct linkage of a Monitoring Program project, enrollment in CCR; affirming in writing in your application that you have enrolled in CCR; providing your DUNS Number; submission of completed SF-424, SF-424A, and SF-424B package; and submission of approved Indirect Cost Negotiation Agreement if requesting reimbursement for indirect costs.

15.637 MIGRATORY BIRD JOINT VENTURES**FEDERAL AGENCY:**

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-754; Fish and Wildlife Coordination Act of 1958, 16 U.S.C.661-667(e); Fish and Wildlife Conservation Act, 16 U.S.C. 2901-2911; and Migratory Bird Treaty Act, (16U.S.C. 709a);Endangered Species Act of 1973, as amended (16 U.S.C. 1531-43).

OBJECTIVES:

To protect, restore, and enhance wetland and upland ecosystems for the conservation of migratory birds.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Joint Ventures are public/private partnerships, guided by a Management Board of public/private representatives and use a U.S. Fish and Wildlife Service (USFWS) approved Implementation Plan that establishes conservation goals, priorities, and strategies. The Implementation Plan typically includes stepped down goals and objectives from a variety of national and international bird planning efforts. A Joint Venture Coordinator facilitates the coordination and implementation of the Joint Venture program. The USFWS provides basic administrative funding to the Joint Venture to cover costs associated with coordination; planning; monitoring, evaluation and applied research; communications and outreach; and project development and implementation. Joint Ventures may provide Federal assistance to partners within these categories to achieve the goals of the Joint Venture. The USFWS does not fund the entire scope of Joint Venture operations. Joint Venture partners are expected to contribute funding towards one or more of these categories. Non-federal match is not required, but leveraging USFWS dollars provided for Joint Ventures administration is a critical component of the Joint Ventures program. Varies annually.

Applicant Eligibility:

Federal, State and local government agencies, Federally recognized Indian Tribal governments, private nonprofit institutions/organizations; public nonprofit institutions/organizations; profit organizations, interstate and intrastate entities, and individuals or families who are also private landowners.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

Applicant must participate or intend to participate in strategic conservation planning, implementation and evaluation activities designed to meet the Joint Venture objectives as identified in an approved Implementation Plan and all related plans. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Joint Venture partner/potential partner may contact Joint Venture Coordinator to discuss Joint Venture (JV) needs and objectives, or JV Coordinator may initiate the contact. Coordinators work with partners/potential partners to design projects and discuss cost-share programs/activities that will contribute to meeting Joint Venture goals. Preparation of Environmental Impact Statements is not a prerequisite for receiving Federal assistance/funding. State Plans are not required. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction)

Award Procedure:

Once the project is designed and either fits within an approved Implementation

Plan or is reviewed and approved by a Joint Ventures Management Board, a cooperative agreement or grant agreement is written, executed, and work can begin.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

180 Days.

Appeals:

Not Applicable.

Renewals:

None. Partners/potential partners may request additional projects to meet Joint Venture goals and objectives.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements are normally written for a 1 to 5 year period. For project cost-share grants, a 2 year period for expenditure of funds is the norm. Payments are generally made on a quarterly basis. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, otherwise prescribed in program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. All projects and activities are monitored for progress and compliance with agreed on scope of work. Written reports on completion of the project are generally required. Recipients are required to submit annual Federal Financial Report SF 425 within 90 calendar days after the grant year (i.e., 12 months after the approved effective date of the assistance agreement and every 12 months thereafter until the expiration date of the assistance agreement). A final Federal Financial Report SF 425 is required 90 calendar days after the expiration or termination of the assistance agreement. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 2 CFR 200 and 2 CFR Part 170.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The Recipient shall maintain a complete, detailed accounting system to report expenditures of grant funds and accomplishments achieved under the award. Records, accounts, and supporting documents must be retained for 3 years after submission of the final Federal Financial Status Report (SF 425).

Account Identification:

14-1611-2-3-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$4,845,917; FY 17 est \$5,000,000; and FY 18 est \$5,000,000 - Project Grants (Discretionary): FY 16 \$4,845,917; FY 17 est \$5,000,000; and FY 18 est \$5,000,000.

Range and Average of Financial Assistance:

Range is \$2,400 to \$900,000; Average \$225,000.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The \$13.1 million appropriated for the North American Waterfowl Management Plan and Migratory Bird Joint Ventures was used to

leverage approximately \$504 million in partner contributions to support landscape conservation planning, and habitat projects, for the benefit of migratory birds. Fiscal Year 2017: It is estimated that similar results to those achieved in FY16 will be achieved in FY17. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fish and Wildlife Service Policy 721 FW6, Joint Venture Implementation Plans, Joint Venture Accomplishment Reports.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Joint Venture Liaison Officer, Joint Venture Liaison Officer, Division of Bird Habitat Conservation, Department of the Interior, Fish and Wildlife Service, MS: MBSP, 5275 Leesburg Pike, Falls Church, Virginia 22041-3803 Email: DBHC@fws.gov Phone: (703) 358-1784 Fax: (703) 358-2282.

Website Address:

<http://www.fws.gov/birdhabitat/JointVentures/index.shtm>

RELATED PROGRAMS:

15.623 North American Wetlands Conservation Fund; 15.631 Partners for Fish and Wildlife; 15.635 Neotropical Migratory Bird Conservation ; 15.647 Migratory Bird Conservation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Waterbird responses to Iowas shallow lakes restoration project; True metaolizale energy of submerged aquatic vegetation and energetic carry capacity for dabbling ducks in the lower Great Lakes; Season fecundity, post-fledging survival, and habitat selection of Henslows Sparrow. Fiscal Year 2017: Projects not yet selected. Projects will support: the effective operation and implementation of joint ventures; the development of integrated migratory bird habitat landscape planning; monitoring, evaluation, and applied research; habitat conservation/protection, restoration, and enhancement; communications and outreach; and technical assistance and training for partners. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Applicants must be an existing or potential partner of a Joint Venture, and must agree to support Joint Venture goals and objectives. Project must fall within scope of a Management Board approved Joint Venture Implementation Plan and related conservation plans. Projects must contribute to overall Joint Venture goals and objectives. Project must be cost-effective. Projects that leverage grant funds with partner funds or in-kind services will receive preference.

15.639 TRIBAL WILDLIFE GRANTS

(TWG)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act, FY 2004, Title I, Public Law 108-108, Land and Water Conservation Fund Act of 1965, 16 U.S.C. 4601-4 through 11., Public Law 108-108, 16 U.S.C 4601-4 thru 11.

OBJECTIVES:

To develop and implement programs for the benefit of wildlife and their habitat, including species that are not hunted or fished.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Approved activities include those which develop and implement programs for the benefit of wildlife and their habitat, including species of Native American cultural or traditional importance and species that are not hunted or fished. Activities may include, but are not limited to: Planning for conservation of fish and wildlife, and their habitats; Conservation management actions for fish and wildlife, and their habitats; Field and laboratory research related to fish and wildlife resources; Natural history studies; Habitat mapping or evaluation; Field surveys and population monitoring; Conservation easements; Restoration of habitat; Management of invasive species; and Public education relevant to the

proposed project. Yes, 100% of the funds are discretionary.

Applicant Eligibility:

Participation is limited to Federally recognized Indian tribal governments.

Beneficiary Eligibility:

Anyone/General Public (While direct participation is limited to Federally recognized Indian tribal governments, the general public will ultimately benefit from these wildlife conservation measures).

Credentials/Documentation:

Only Federally recognized Tribes in all parts of the United States are eligible to receive grants under this program, including Federally recognized Tribes, Pueblos, Rancherias, and Alaska Native Villages or traditional councils as defined by the Alaska Native Claims Settlement Act. Federally recognized Tribes are listed on page 60810 Federal Register / Vol. 75, No. 190 / Friday, October 1, 2010 / Notices. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must complete the Application for Federal Assistance SF 424, and the appropriate Budget and Assurances forms, SF 424A and SF424B - Non Construction; or SF 424C and SF 424 D - Construction. Application procedures are spelled out in the "Tribal Wildlife Grant Application Kit" available electronically at: <http://grants.fws.gov/tribal.html>. To receive a paper copy, contact the regional Office of the Native American Liaison.

Award Procedure:

The Director of the Fish and Wildlife Service or his or her designee approves or disapproves proposed projects. Regional offices are responsible for notification of grant approval to the grantee and will coordinate the development of the grant agreement.

Deadlines:

May 01, 2017 to Sep 01, 2017 FY 18 Tribal Wildlife Grants project proposal applications will be accepted between May 1, 2017 through September 1, 2017.

Range of Approval/Disapproval Time:

Proposals will be scored, ranked and selected approximately 90 working days after the deadline for submitting proposals.

Appeals:

Any differences of opinion over the eligibility of proposed activities or differences arising over the conduct of work may be appealed to the Director, Fish and Wildlife Service. Final determination rests with the Secretary of the Interior.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. There is no matching requirement, however, the Service will consider matching funds as an indication of tribal commitment to the program and to encourage partnerships. Matching and cost sharing requirements are discussed in 43 CFR Part 12, Section 12.64. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Apportioned funds are available for obligation by the Tribe until expended. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, otherwise prescribed in program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. A Performance Report is required for each grant annually within 90 days after the anniversary date or end of the grant. A Federal Financial Report SF 425 is required for each grant annually within 90 days after the anniversary date or end of the grant. Recipients are responsible for monitoring and reporting performance for each award and sub-award under this program in accordance with 2 CFR 200 and 2 CFR Part 170.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Cost records must be maintained separately for each project. Records, accounts, and supporting documents must be retained for three years after submission of the final Federal Financial Report (SF-425).

Account Identification:

14-5474-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$4,084,000; FY 17 est \$4,084,000; and FY 18 est \$4,084,000 - Project Grants (Discretionary): FY 16 \$4,084,000; FY17 est. \$4,084,000; FY18 est. \$4,084,000

FY16 actual = \$4,980,046, which included previous fiscal year carryover and recoveries.

Range and Average of Financial Assistance:

Range \$0 - \$200,000; Average \$167,000.

TAFS Codes:

14-5474.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 104 proposals were received; 29 award. issued. Fiscal Year 2017: 74 proposals were received; 20-30 awards expected to be issued. Fiscal Year 2018: Estimated between 74-130 proposals are expected; 20-30 awards expected to be issued.

REGULATIONS, GUIDELINES, AND LITERATURE:

Application Kit is located at <http://www.fws.gov/nativeamerican/>

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices:

Region 1 U.S. Fish and Wildlife Service
Native American Liaison
Eastside Federal Complex
911 NE 11th Avenue
Portland, OR 97232-4181

Region 2 Fish and Wildlife Service
Native American Liaison
500 Gold Avenue, SW
P.O. Box 1306
Albuquerque, NM 87103-1306

Region 3 U.S. Fish and Wildlife Service
Native American Liaison
5600 American Blvd. West, Suite 990
Bloomington, MN 55437

Region 4 U.S. Fish and Wildlife Service
Native American Liaison
1875 Century Boulevard
Atlanta, GA 30345

Region 5 U.S. Fish and Wildlife Service
Native American Liaison
300 Westgate Center Drive, Hadley, MA 01035-9589

Region 6 U.S. Fish and Wildlife Service
Native American Liaison
P.O. Box 25486
Denver, CO 80225

Region 7 U.S. Fish and Wildlife Service
Native American Liaison
1011 East Tudor Road
Anchorage, AK 99503-6199

Region 8 U.S. Fish and Wildlife Service
Tribal Partnerships Specialist
Habitat Restoration Division
2800 Cottage Way, Rm W-2606
Sacramento, CA 95825.

Headquarters Office:

Scott Aikin National Native American Programs Coordinator, U. S. Fish and Wildlife Service, Department of the Interior, 1211 SE Cardinal Court, Suite 100, , Vancouver, Washington 98683 Phone: (360)604-2531 Fax: (360)604-2505

Website Address:

<http://www.fws.gov/nativeamerican/>

RELATED PROGRAMS:

15.623 North American Wetlands Conservation Fund; 15.630 Coastal Program; 15.631 Partners for Fish and Wildlife

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Blackfoot Tribe (\$200,000) - Keeping Aquatic Invasive Species out of Blackfoot Waters:
The Blackfoot Tribe in northern Montana remains free of the most noxious Aquatic Invasive Species (AIS) that plague the majority of the continental U.S. Once introduced, aquatic invasive species alter the food web and habitat for native species of concern like bull trout, and have severe economic impacts to domestic water supplies, irrigated agriculture and tourism. The Tribe has launched a pilot AIS prevention program and developed the Blackfoot Aquatic Invasive Species Prevention Strategy. The TWG funds will be used to protect over 25 lakes and 175 miles of streams from the threats of aquatic invasive species through activities that include inspected boat launching, water vessel decontamination, outreach about the AIS and additional activities to raise increase awareness of AIS threats.

Telida Village Council (\$200,000) - Monitoring Moose for Metals in the Upper Kuskokwim River Region: Telida Village Council is working with the U.S. Fish and Wildlife Service to begin monitoring Upper Kuskokwim River Region moose, the main food source, for metals to determine the extent of bioaccumulation of elements in the area moose. TVC has conducted three years of water quality testing in the Upper Kuskokwim River and are concerned about the elevated levels of metals such as arsenic, cadmium, and mercury in the water supply. It is important to see if the moose, a semiaquatic forager, which is consumed by most people in the region, is healthy enough to be eaten. TVC will work with the Alaska Department of Environmental Health and the Agency for Toxic Substances and Disease Registry to compare the monitoring results for metals against applicable state and federal food safety consumption standards. Afterwards, TVC will share the Report of Findings with the Alaska Department of Fish and Game and working together examine how the metals are possibly contributing to the low moose population dynamics.

FY 2016 Tribal Wildlife Grants:

Native Village of Buckland (\$200,000), Tribal Beluga Management and Youth Education, AK

Hydaburg Cooperative Association (\$136,935), Prince of Wales Wolf Population Study, AK

Native Village of Napaimute (\$75,114), In-Season Fisheries Assessment, AK

Chickaloon Native Village (\$179,574), Matanuska Watershed Juvenile Salmon Research Project, Phase I, AK

Hopi Tribe (\$200,000), Ecology of Golden Eagles on the Hopi Lands in 2017, AZ

Pasque Yaqui Tribe of AZ (\$200,000), Our River, Our Lives: Stabilizing & Recovering Threatened and Endangered Native Fish Species in the Upper Rio Yaqui Basin, AZ

Hoop Valley Tribe (\$200,000), Potential Impacts of Trespass Marijuana Cultivation on Tribal and Public Lands to Fishers, Spotted Owls, Mountain Lions and the Forest Environment, CA

Bear River Band Rohnerville Rancheria (\$159,209), Salt River Ecosystem Restoration Project, CA

Washoe Tribe of Nevada and California (\$50,000), Meeks Meadow Restoration, CA

Southern Ute Indian Tribe (\$86,836), New Mexico Meadow Jumping Mouse Genetics, Habitat Associations and Behavior on the Southern Ute Indian Reservation, CO

Nez Perce Tribe (\$200,000), Condors in Hells Canyon: An Assessment of Habitat and Threats to Successful Reintroduction, ID

Seminole Tribe of Florida (\$200,000), Seminole Tribe of Florida Tribal Wildlife Program, FL

Houlton Band of Maliseet Indians (\$197,148), Aquatic Habitat Restoration Program: Phase IV - Implementing and Planning Instream Restoration, ME

Passamaquoddy Tribe - Pleasant Point Reservation (\$196,240), Tracking Alewife Population Changes in the St. Croix Watershed, ME

Saginaw Chippewa (199,431), Building Tribal Capacity to Manage Resources for the Next Seven Generations, MI

Red Lake Band (\$199,431), Evaluation, Rehabilitation, and Tribal Youth Education of Lake Sturgeon in the Headwaters of the Largest Tributary to the Red River of the North in the United States, MN

Mississippi Band of Choctaw Indians (\$200,000), Wild Pig Control, MS

Blackfoot Tribe (200,000), Keeping Aquatic Invasive Species out of Blackfoot Waters, MT

Crow Nation (\$200,000), Crow Nation Black-footed Ferret Reintroduction, MT

Northern Cheyenne Tribe (\$199,875), Wildlife Management Plan & TEK Hunter Education Courses, MT

Summit Lake Paiute Tribe (\$200,000), A Strategy to Promote Conservation of Greater Sage Grouse on Homelands of the Summit Lake Paiute Tribe, Northwestern Nevada, NV

Pueblo of Santa Ana (\$199,968), Wildlife Conservation on the Pueblo of Santa Ana Through Enforcement, Knowledge, and Habitat Enhancement, NM

Confederated Tribes of Coos, Lower Umpqua, and Siuslaw Indians (\$96,635), Tenmile Lakes Basin Lamprey Conservation Project, OR

The Klamath Tribes (\$200,000), Klamath Reservation Forest Habitat Restoration and Ecosystem Resiliency Project: Phase 2, OR

Confederated Tribes of Grand Ronde (\$124,240), Oak Habitat Restoration at Rattlesnake Butte Wildlife Area, OR

Lower Elwha Klallam Tribe (\$187,325), Lamprey Re-colonization of the Elwha River Post Dam Removal, WA

Sauk-Suiattle Indian Tribe (\$187,400), Mountain Goat Status in the North Cascades: Population Dynamics, Habitat Selection and Seasonal Movement Patterns in a Changing Climate, WA

Muckleshoot Indian Tribe (\$168,563), White River Black Bear Study, WA

Forest County Potawatomi (\$131,795), Conservation of Myotis species in and Around Tribal Lands, WI. Fiscal Year 2017: Program has not yet selected projects for funding. Program anticipates funding projects that develop or implement programs that benefit wildlife and their habitat, including species of Native American cultural or traditional importance and species that are not hunted or fished. Activities may include, but are not limited to: Planning for conservation of fish and wildlife, and their habitats; Conservation management actions for fish and wildlife, and their habitats; Field and laboratory research related to fish and wildlife resources; Natural history studies; Habitat mapping or evaluation; Field surveys and population monitoring; Conservation easements; Restoration of habitat; Management of invasive species; and Public education relevant to the proposed project. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects that develop or implement programs that benefit wildlife and their habitat, including species of Native American cultural or traditional importance and species that are not hunted or fished. Activities may include, but are not limited to: Planning for conservation of fish and wildlife, and their habitats; Conservation management actions for fish and wildlife, and their habitats; Field and laboratory research related to fish and wildlife resources; Natural history studies; Habitat mapping or evaluation; Field surveys and population monitoring; Conservation easements; Restoration of habitat; Management of invasive species; and Public education relevant to the proposed project.

CRITERIA FOR SELECTING PROPOSALS:

The following criteria is used to select proposals: (1) Resource Benefit: What are the expected benefits to fish and wildlife resources, including species that are not hunted or fished, and their habitat if this program is successfully completed? The Service requires that the Tribe articulate how the benefits of its proposal support the goals and objectives of the TWG and Service and Tribal Performance Goals in their proposal narratives. (2) Performance Measures: To what extent does the proposal provide obtainable and quantifiable performance measures and a means to monitor, evaluate, and report on these measures compared to an initial baseline? The measures should be specific and clear, and should provide demonstrable benefits to the target species of the action. These actions must support the goals and objectives of the TWG, the Service and the Tribe. (3) Work plan: Are the program activities and objectives well-designed and achievable? (4) Budget: Are all major budget items justified in relation to the program objectives and clearly explained in the narrative description? (5) Capacity Building: To what extent does the program increase the grantee's capacity to provide for the benefit of wildlife and their habitat? (6) Contributions and Partnerships: To what extent does the applicant display commitment to the project proposal through in-kind contribution or matching funds and to what extent does it incorporate contributions from other nonfederal partners in the form of either cash or in-kind services?.

15.640 WILDLIFE WITHOUT BORDERS- LATIN AMERICA AND THE CARIBBEAN

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, as amended (16 U.S.C. 1531-43).

OBJECTIVES:

To support the creation, implementation, and/or replication of innovative training programs in Latin America and the Caribbean (LAC) that will train future generations of resource managers through a holistic, cooperative, ecosystem-oriented approach adapted to the complexities of modern society and

the interconnectedness of the landscapes upon which society depends.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Projects should take place in Latin America or the Caribbean, ideally in a recognized high priority ecosystem or ecoregion of biome, or a key location associated with flagship, endangered or migratory species of regional concern. If work is to be conducted outside that region, the proposal must explain why it is preferable that the project is implemented elsewhere. Funds provided under this program will not be used for the following activities: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, treaties, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel. 100% of funds are discretionary.

Applicant Eligibility:

Participation is limited to Federal, State and local governments, non-profit, non-governmental organizations; public and private institutions of higher education; and any other organization or individual with demonstrated experience deemed necessary to carry out the proposed project.

Beneficiary Eligibility:

Federal, State and local government agencies; non-profit, non-governmental organizations; public and private institutions of higher education; and any other organization or individual with demonstrated experience deemed necessary to carry out the proposed project.

Credentials/Documentation:

Yes. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The program announcement and application instructions for this program can be found at <https://www.fws.gov/international/wildlife-without-borders/south-america/index.html> If you are unable to access the Internet, hard copies are available upon request from the Branch of Latin America & the Caribbean, Division of International Conservation, Fish and Wildlife Service, 5275 Leesburg Pike, Falls Church, VA 22041.

Award Procedure:

Projects are reviewed and competitively selected for funding using criteria developed specifically for this program. Review criteria can be found in the application information at <https://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is executed by an FWS approving official. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Dec 16, 2016 December 16, 2016.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are normally made no later than 180 days after the receipt of the project proposals.

Appeals:

Not Applicable.

Renewals:

None. However, at the discretion of the Division of International Conservation awards can be modified (e.g. extended for time or budget changes made).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. No, to the extent possible, grant funds are matched by nonfederal funds.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Upon approval, the project may be extended or the agreement modified. Awarded funds must be spent during the period of performance indicated on the Assistance Award, and in accordance with the financial and performance reporting procedures specified in the Assistance Award. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost principles, unless otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. Complete reporting requirements can be found at this programs web page at <http://www.fws.gov/international/DIC/pdf/NOFA-AAG/AAG.pdf>. Complete reporting requirements can be found at this programs web page at <https://www.fws.gov/international/grants-and-reporting/information-for-grantees.html>. For financial reporting, Domestic Recipients with no program income to report shall use Federal Financial Report, Standard Form 425. Domestic recipients with program income to report shall use Federal Financial Report SF 425. Non-domestic Recipients shall submit a financial report that follows the same format of the original project proposal budget table, itemizes expenditures for the reporting period any describes any deviations from the approved project budget. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 2 CFR 200 and 2 CFR Part 170.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipient will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$1,491,440; FY 17 est \$2,200,000; and FY 18 est \$2,200,000 - PROJECT GRANTS (Discretionary): FY16 \$1,491,440.28; FY17 \$2,200,000.00 est.; FY18 \$2,200,000.00 est. FY17 and FY18 amounts are estimates based on prior year funding levels only and reflect the combining of two CFDA's; 15.640 and 16.641. Therefore funding estimates reflect both programs anticipated funding.

Range and Average of Financial Assistance:

Variable amounts. Generally \$50,000 or less. Higher amounts may be requested.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program received 105 applications and issued 23 awards. Fiscal Year 2017: The program anticipates receiving 125 applications and issuing 25 awards. Fiscal Year 2018: The program anticipates receiving 125

applications and issuing 25 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants will be administered in conformance with the Assistance Award Guidelines established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The Assistance Award Guidelines can be found on-line at http://www.fws.gov/international/DIC/pdf/AAG_9-6-07.pdf or in hard copy by contacting the Division of International Conservation at (703) 358-1754.

Regional or Local Office:

See Regional Agency Offices. Wildlife Without Borders Latin America and the Caribbean (South America)
Department of Interior,
U.S. Fish and Wildlife Service
Division of International Conservation
5275 Leesburg Pike, MS: IA
Falls Church, VA 22041
Email: wwb_sa@fws.gov
Phone: 703-358-1754
Fax: 703-358-2115

Wildlife Without Borders Central America
Department of Interior,
U.S. Fish and Wildlife Service
Division of International Conservation
5275 Leesburg Pike, MS: IA
Falls Church, VA 22041
Email: wwb_ca@fws.gov
Phone: 703-358-1754
Fax: 703-358-2115.

Headquarters Office:

Wildlife Without Borders Department of Interior,
U.S. Fish and Wildlife Service
Division of International Conservation
5275 Leesburg Pike, MS: IA, Falls Church, Virginia 22041 Email:
wwb_sa@fws.gov; wwb_ca@fws.gov Phone: 703-358-1754 Fax: 703-358-2115

Website Address:

http://wwb_sa@fws.gov

RELATED PROGRAMS:

15.641 Wildlife Without Borders-Mexico ; 15.651 Wildlife Without Borders-Africa Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Support for jaguar conservation by promoting sustainable, conflict-reducing ranching practices and policies based on the best available science and with the collaboration of local stakeholders. Improve wildlife security throughout Belize by strengthening national wildlife law enforcement and launching a national public campaign to fight wildlife crime. Produce Mesoamerican - wide (southern Yucatan through Panama) range and population status assessments for four large mammals likely to be impacted by anthropogenic threats in Central America jaguar (*Panthera onca*), white lipped peccary (*Tayassu pecari*), collared peccary (*Pecari tajacu*) and Bairds tapir (*Tapirus bairdii*). Fiscal Year 2017: Program has not yet selected projects for funding. Program anticipates funding projects that reduce threats to key species and ecosystems in Central and South America and strengthen the requisite local individual and institutional capacity to sustain conservation processes in the long-term. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects that reduce threats to key species and ecosystems in Central and South America and strengthen the requisite local individual and institutional capacity to sustain conservation processes in the long-term.

CRITERIA FOR SELECTING PROPOSALS:

A complete list of the criteria used to select projects under this program can be found in the Latin America & the Caribbean Notice of Funding Availability and Application Instructions located on line at <http://www.fws.gov/international/DIC/howtoapply.html>. Hard copies are

available upon request from the Division of International Conservation at (703) 358-1754.

15.641 WILDLIFE WITHOUT BORDERS-MEXICO

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, as amended, 16 U.S.C. 1531-43.

OBJECTIVES:

To Build human and institutional capacity for biodiversity conservation and management in Mexico through training. Of interest are projects that provide direct and significant training to Mexican personnel in terms of number of individuals trained, strategic or innovative nature of the training, and impact of the training on the conservation of biodiversity.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

To be considered, projects must fall into one or more of the following three strategic categories:

(1) Managing for Excellence: Training in natural resource conservation and management for Mexican Government personnel, including policy-makers, federal, state, and municipal-level resource managers, and reserve guards. This includes short-term (2-3 weeks) on-the-job courses certified by an educational institution (Diplomados), workshops, exchanges of personnel, etc.

(2) Stewards of the Land: Training in natural resource conservation/management for resource owners and/or direct users, including local communities, rural peasant farmer organizations, and indigenous peoples. This includes training provided through on-the-ground practices, workshops, exchanges of personnel, and other delivery mechanisms appropriate to the training needs of these target groups.

(3) Voices for Nature: Training in environmental education and/or public outreach for targeted society stakeholder groups, including teachers, school children, journalists, tourists, legislators, nongovernmental organizations, and private sector organizations or businesses. This includes workshops, educational programs, production of educational and training material, etc.

Applicants should be proposing work to be conducted in Mexico. If work is to be conducted in the United States, the proposal must show a clear connection to capacity building for biodiversity conservation in Mexico to be eligible for funding. Funds provided under this program will not be used for the following activities: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, treaties, laws or regulations of either the U.S. or Mexico. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel. For further information, please contact the regional office. 100% of funding is discretionary.

Applicant Eligibility:

Participation is limited to Federal, State and local governments, non-profit, non-governmental organizations; public and private institutions of higher education; and any other organization or individual with demonstrated experience deemed necessary to carry out the proposed project.

Beneficiary Eligibility:

Federal, State and local government; public nonprofit institution/organizations; public and private institutions of higher education; and any other organization or individual with demonstrated experience deemed necessary to carry out the

proposed project.

Credentials/Documentation:

Yes. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The program announcement and application instructions for this program can be found at <http://www.grants.gov>. The Notice of Funding Availability and Application Instructions are also located on this programs website at <http://www.fws.gov/international/wildlife-without-borders/mexico/index.html>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Mexico, Division of International Conservation, U.S. Fish and Wildlife Service, 5275 Leesburg Pike, Falls Church, VA 22041.

Award Procedure:

Projects are simultaneously reviewed by a panel convened by the U.S. Fish and Wildlife Service and a panel convened by the Mexican Ministry of the Environment and Natural Resources (SEMARNAT). Final funding decisions are made jointly by representatives of the Service and SEMARNAT based on the recommendations of the two review panels. Review criteria can be found in the application information at <http://www.fws.gov/international/DIC/howtoapply.html>. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is executed by an FWS approving official. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Oct 17, 2016 October 17, 2016.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are normally made no later than 180 days after the receipt of the project proposals.

Appeals:

Not Applicable.

Renewals:

None. However, at the discretion of the Division of International Conservation awards can be modified, e.g.: extended for time or budget changes made.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. No, to the extent possible, grant funds are matched by nonfederal funds.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Upon approval, the project may be extended or the agreement modified.

Awarded funds must be spent during the period of performance that is approved for each Assistance Award, and in accordance with the program financial and performance reporting procedures specified in the Assistance Award document.

Upon approval, the project may be extended or the agreement modified.

Awarded funds must be spent during the period of performance that is approved for each Assistance Award, and in accordance with the program financial and performance reporting procedures specified in the Assistance Award document.

See the following for information on how assistance is awarded/released:

Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require

recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Domestic recipients must report expenditures using the SF 425, Federal Financial Report form. Non-domestic recipients must submit a financial table or spreadsheet in the same format as the original project proposal budget, showing itemized expenditures for the reporting period. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter.. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipient will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$641,113; FY 17 est \$0; and FY 18 est \$0 - PROJECT GRANTS (Discretionary): FY16 \$641,113.88; FY17 \$0.00; FY18 \$0.00.

This program will be combined with CFDA 15.640, and as such anticipated funding will be diverted to that program and reflected on CFDA 15.640 program profile.

Range and Average of Financial Assistance:

Variable amounts. The average grant amount is \$35,000.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program received 87 applications and issued 14 awards. Fiscal Year 2017: The program will be combined with CFDA 16.640, therefore no applications will be received. Fiscal Year 2018: The program will be combined with CFDA 16.640, therefore no applications will be received.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants will be administered in conformance with the Assistance Award Guidelines established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The Assistance Award Guidelines can be found on-line at <http://www.fws.gov/international/DIC/pdf/NOFA-AAG/AAG.pdf> or in hard copy by contacting the Division of International Conservation.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Chief, Division of International Conservation
U.S. Fish and Wildlife Service
Department of the Interior,
5275 Leesburg Pike, MS:IA
Falls Church, VA 22041-3803
, Falls Church, Virginia 22041-3803 Email: WWB_Mexico@fws.gov Phone: (703) 358-1754 Fax: (703) 358-2115.

Website Address:

<http://www.fws.gov/international/DIC/regionalprograms/mexico/mexico.html>

RELATED PROGRAMS:

15.640 Wildlife Without Borders- Latin America and the Caribbean ; 15.651 Wildlife Without Borders-Africa Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Protect, conserve and recover the forests of the Monarch Butterfly Biosphere Reserve, by: 1) working with the communities around the San Juan Zituario River micro-watershed to recover and conserve their water resources; 2) developing a program to train local peasant farmers in the production of organic blackberries; 3) establishing five (5) sustainable productive enterprises (SPEs) among the local communities; and 4) coordinating the sales of the blackberries with a local non-profit organization ; Strengthen the technical capacities of federal and state wildlife inspectors to prevent, control and address the illicit trade and traffic of wildlife across Mexico. Increase the awareness of the importance, threats and conservation needs of the monarch butterfly and other pollinators. Fiscal Year 2017: Program has not yet selected projects for funding. Program anticipates funding projects that conserve priority species, habitats and ecological processes across landscapes with high biodiversity value in Mexico. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects that conserve priority species, habitats and ecological processes across landscapes with high biodiversity value in Mexico.

CRITERIA FOR SELECTING PROPOSALS:

A complete list of the criteria used to select projects under this program can be found in the Mexico Program Notice of Funding Availability and Application Instructions located on line at <http://www.fws.gov/international/DIC/howtoapply.html>. Hard copies are available upon request from the Division of International Conservation at (703) 358-1754.

15.642 CHALLENGE COST SHARE

(CCS)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act, 2004, Title I, Public Law 108-108.

OBJECTIVES:

Encourage partnerships with nonfederal governments, private individuals and organizations, educational institutions, philanthropic and charitable groups, and businesses to support the mission of the Service. Projects must conserve, protect, and enhance fish, wildlife, and plants for the continuing benefit of the American people.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used for regionally approved Challenge Cost Share projects. Field managers plan and implement the projects which must be on, or directly benefiting, Service (federal) lands. The program requires that the Service expend no more than 50% of the cost to complete a project and that a minimum of the other 50% of the funds be of nonfederal origin. The cooperator share may be a non-monetary contribution, such as materials, or in-kind services. For further information, please contact the regional office. 100% of the funds are discretionary.

Applicant Eligibility:

Applicants may be an individual/family, minority group, specialized group, small business, profit organization, private nonprofit/organization, quasi-public nonprofit institution/organization, native American, Federal, Interstate, Intrastate, State, Local, Sponsored organization, public nonprofit institution/organization, other public institution/organization, U.S. territory, or any organization with interests which support the mission of the Service. This program requires the cooperator(s) to provide a minimum of 50 percent of cost share from non-Federal sources, for local programs on National Wildlife

Refuges, or benefitting other Service lands.

Beneficiary Eligibility:

The Challenge Cost Share program is not a grant program, although they do use cooperative agreements for donations to these field projects. Service field station managers are encouraged to form partnerships and to secure project cost-sharing for projects initiated at that refuge or field station. By Service policy, the matching 50% cost share is provided by non-Federal sources, state/local governments, private individuals/organizations, business enterprises, and philanthropic and charitable groups.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Service identifies projects or objects on or directly benefitting a national wildlife refuge or other Service lands for which cost sharing may be sought, and then solicits project proposals from partners/cooperators. Interested parties may contact the local National Wildlife Refuge or other Service office to participate or otherwise donate time, goods, or funds for these projects in order to support the mission of the Service. Proposals, including Standard Form 424 "Application for Federal Assistance," are submitted to the cooperating Service office that forwards them to their servicing Regional Office.

Award Procedure:

The Regional Challenge Cost Share Coordinator assembles a committee of Fish and Wildlife Service employees from a variety of disciplines to review, rank, and select the proposals for refuges or hatcheries for funding.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are usually made no later than 180 days after the receipt of the project proposals. If funding is approved, a Challenge Cost Share Agreement (CCSA) is written between the Service and a cooperator(s) in which there is an equal partnership between the Federal government and the cooperator(s) that produces or provides a tangible product which mutually benefits Service lands and objectives, and the public. The Regional Contracting and General Services offices will review all CCSA's for contractual sufficiency. The agreements will be signed by the cooperator(s) and the Regional Director.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Service shall expend no more than 50% of the cost to complete projects. The matching 50% cost share is provided by non-Federal sources, state/local governments, private individuals/organizations, business enterprises, and philanthropic and charitable groups. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Challenge Cost Share Agreements are written for funds that should be spent within one year; upon approval, agreements may be extended beyond the one year for reasonable cause. Funds are disbursed to recipients as requested and in accordance with 2 CFR, Subpart E-Cost Principles unless otherwise prescribed in program-specific legislation or special award terms. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Financial and performance reporting frequency and other requirements will be detailed in the award terms and conditions. Interim reports cannot be requested more frequently than quarterly, and no less frequently than annually. Interim reports are due 30 calendar days after the expiration of the quarter/midterm/year anniversary, as appropriate. Final reports are required within 90 calendar days from the end date of the award. A final SF-425, Federal Financial Report is required 90 days from the end date of the award. This form can be accessed on the Internet at <http://www.grants.gov/web/grants/forms/sf-424-family.html#sortBy=1>. A final performance report is required within 90 calendar days from the end date of the award. Performance reports must contain a comparison of actual accomplishments with the goals and objectives of the award as detailed in the approved scope of work, a description of reasons why established goals were not met, if appropriate, and any other pertinent information relevant to the project results.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

None.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$10,000; FY 17 est \$0; and FY 18 est \$0 - Project Grants (Discretionary) FY 16 \$10,000; FY 17 to be determined; FY 18 to be determined.

Challenge Cost Share Agreement funding is requested by NWRs stations when they have a project and funds available from a partner organization.

Range and Average of Financial Assistance:

Not available.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 1 application; \$10,000 FWS funds and \$50,400 matching funds. Fiscal Year 2017: To be determined. Fiscal Year 2018: To be determined.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Bob Peyton, National Wildlife Refuge System, 911 NE 11th Avenue, Portland, OR 97232; (503) 872-2720

Patti Plagge, USFWS Regional Office, P.O. Box 1306, Albuquerque, NM 87103; (505) 248-7487

Rob Bruesewitz, 1 Federal Drive, Bishop Whipple Federal Building, Fort Snelling, MN 55111-4056; (612) 713-5403

Laura Housh, Regional Planner, Okefenokee National Wildlife Refuge, Route 2 Box 3330, Folkston, GA 31537; (912) 496-7366 Ext. 244

Lamar Gore, U.S. Fish and Wildlife Service, 300 Westgate Center Drive, Hadley, MA 01035; (413) 253-8542

Betsy Matten, Region 6 Regional Office, 134 Union Blvd., Lakewood, CO 80228; (303) 236-4307

Kristen Gilbert, Division of Visitor Services, R7 Alaska Regional Office, 1011 East Tudor Road, Anchorage, AK 99503; (907) 786-3391

Carol Damberg, U.S. Fish and Wildlife Service, 2800 Cottage Way, Suite W-2606, Sacramento, CA 95825; (916) 414-6461.

Headquarters Office:

Assistant Director, National Wildlife Refuge System, U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike, MS: NWR5, Falls Church, Virginia 22041-3803 Phone: (703) 358-2248.

Website Address:

<http://www.fws.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Weed spraying between FWS and Wells County Weed Board. Fiscal Year 2017: To be determined. Fiscal Year 2018: To be determined.

CRITERIA FOR SELECTING PROPOSALS:

Refuge managers and other Service managers develop, in concert with partners, project proposals on, or benefitting Service lands, that are evaluated on the lasting effect on conservation, enhancement and protection. They will also be evaluated on scope of effect, number of partners involved, importance of resource values, feasibility, and cost effectiveness.

15.643 ALASKA MIGRATORY BIRD CO-MANAGEMENT COUNCIL (AMBCC)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

U.S. Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661 to 666C; Migratory Bird Treaty Act, 16 U.S.C. 703 et seq.

OBJECTIVES:

To facilitate and administer regional programs to involve subsistence hunters of migratory birds in the management and regulation of migratory birds.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds will be used to help offset travel, administrative and associated expenses required to establish a regional migratory bird subsistence program in keeping with the recently amended Migratory Bird Treaty. This regional program will become a part of the statewide (Alaska) and national migratory bird management program, for which the - Fish and Wildlife Service (Service) has responsibility. Funds must be used to support program objectives of the Alaska Migratory Bird Co-Management Council. 100% discretionary.

Applicant Eligibility:

Native American Organizations, Public nonprofit institutions/organizations, other public institutions/organizations, Federally Recognized Indian Tribal Governments, and Local governments.

Beneficiary Eligibility:

Native American Organizations, Public nonprofit institutions/organizations, Federally recognized Indian Tribal Governments, local governments, and Alaska Native American Indians.

Credentials/Documentation:

None. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant/Cooperative

Agreement Awards are made on a non-competitive basis pursuant to the authorities mandated in the 1997 Migratory Bird Treaty Act Amendment Protocols. Applicant must complete the Standard Form (SF) 424, SF 424A and SF 424B and an annual proposal and submit through Grants.Gov. In 2014, we advertised for a cooperative agreement for qualified members of Cooperative Ecosystems Studies Units with the Funding Title Review and Revision of the Alaska Migratory Bird Co-management Council Subsistence Harvest on Grants.Gov, but received only one submission.

Award Procedure:

The Executive Officer for the Alaska Migratory Bird Co-Management Council reviews the applications and makes recommendations. The process ends with concurrence by the Service.

Deadlines:

Dec 20, 2016 December 20, 2016.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

The program is switching to 5-year grants, with the first year funded as reported and the subsequent years treated as option years. The grant to a CESU will be a 4-yr award.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. See the following for information on how assistance is awarded/released: Program will include any special payment terms and conditions in the notice of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Additional reports, such as meeting agendas and summaries, may be required. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$614,434; FY 17 est \$539,434; and FY 18 est \$640,000 - Project Grants (Discretionary) FY 16 \$614,434; FY 17 \$539,434; FY 18 \$640,000
FY 18 is an estimated amount contingent on future funding.

Range and Average of Financial Assistance:

Range is \$14,800 to \$100,000. Average \$37,475.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: All 12 financial assistance awards will continue as part of the 4 or 5 year plans. Program emphasis continues to focus of evaluating the harvest surveys and regulatory program to fulfill co-management objectives. Fiscal Year 2017: All 12 financial assistance awards will continue as part of the 5 year plans. Program emphasis will continue to focus of evaluating the harvest surveys and regulatory program to fulfill co-management objectives. Fiscal Year 2018: 11 of the 12 awards will be up for renewal and have to be advertised on Grant.Gov. Available award funding will likely remain at existing levels. High priority of co-management work should prevent any reductions in funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants will be administered in conformance with 43 CFR and the Fish and Wildlife Service Administrative Manual.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV for addresses.

Headquarters Office:

Donna Dewhurst, Fish and Wildlife Service, Office of the Alaska Migratory Bird Co-Management Council, 1011 E. Tudor Road, MS 201, Anchorage, Alaska 99503 Email: donna_dewhurst@fws.gov Phone: (907) 786-3499 Fax: 907-786-3641.

Website Address:

<http://Alaska.fws.gov/ambcc/index.htm>.

RELATED PROGRAMS:

15.608 Fish and Wildlife Management Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Resolving the long term regulatory issues was the focus of work with primary topics being use of inedible bird parts in the sale of Native handicrafts and opening emperor geese to harvest after 30 years of conservation. The Cooperative Agreement with the Alaska Dept of Fish & Game provides continuity in the monitoring of subsistence harvest. The Cooperative Agreement with Colorado State University continues to evaluate the subsistence harvest program. Fiscal Year 2017: Testing of the Harvest Survey Program model will be a priority for both ADF&G and CSU, while the Native cooperators will be focused on user group issues on Kodiak Island. Fiscal Year 2018: The program will likely parallel FY17 with new, upcoming subsistence issues tackled.

CRITERIA FOR SELECTING PROPOSALS:

You must be a regional partner organization of the council to be selected. Criteria included, but is not limited to, past performance, ability to provide administrative support, and ability to send an elected representative from the regional management body to meetings.

15.644 FEDERAL JUNIOR DUCK STAMP CONSERVATION AND DESIGN

Junior Duck Stamp Contest

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Junior Duck Stamp Conservation and Design Program Act of 1994, Public Law 103-340, 108 Stat. 3119-3120, 16 U.S.C. 719; Reauthorization of the Junior Duck Stamp Conservation and Design Program Act of 1994, Public Law 106-316, 114 Stat. 1276, 16 U.S.C. 719, Fish and Wildlife Act of 1956.

OBJECTIVES:

To use visual arts programs and a nation-wide art contest to teach students in kindergarten through high school environmental science, wildlife management, wetlands ecology, and the importance of habitat conservation.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Assistance may be used to: 1. provide awards and scholarships to students who submitted winning designs in State/Territory rounds of the Junior Duck Stamp Contest; 2. provide awards to schools and other program participants in order to promote the conservation goals of the program; and 3. cover program outreach and marketing costs. 100% of the funds are discretionary.

Applicant Eligibility:

Federal, State and Local Governments, Non-profit organizations both with and without 501(c)(3) IRS status, Private Institutions of Higher Education, Public and State controlled Institutions of Higher Education.

Beneficiary Eligibility:

Individual/Family, Student/Trainee, Artist/Humanist, U.S. citizens, resident aliens, or nationals who are in kindergarten through twelfth grades at a public, private, or home school in the United States or U.S. territories.

Credentials/Documentation:

Yes. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Partner/potential partner contacts Regional Junior Duck Stamp Coordinators to discuss Contest needs and objectives, or Regional Junior Duck Stamp Coordinators may initiate the contact. Coordinator works with partners/potential partners to design projects.

Award Procedure:

Applicant must complete the Standard Form (SF-424). Once the project is designed and approved by the Regional Junior Duck Stamp Coordinator, a cooperative agreement or grant agreement written and work can begin.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

3-4 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

3-6 months. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise prescribed in program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. One report upon completion of project. Applicant must complete the Standard Form (SF-424). Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 2 CFR 200 and 2 CFR Part 170.

Audits:

Not Applicable.

Records:

Cost records must be maintained separately for each awarded agreement. Records, accounts and supporting documents must be retained for 3 years after submission of final financial and performance reports.

Account Identification:

14-1611-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$45,479; FY 17 est \$51,000; and FY 18 est \$51,000 - Cooperative Agreements (Discretionary): FY 16 \$45,479; FY 17 est \$51,000; FY 18 est \$51,000. Estimated based on prior year funding reported.

Range and Average of Financial Assistance:

Each award may range from \$500 to \$2,500.

Awards are based on previous year participation numbers and base funding of \$500.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Sale of the Junior Duck Stamp generates approximately \$80,000 for program marketing and student awards annually; in 2016 over 27,000 art entries were submitted and many others participated and benefited from the environmental education components of the program. Fiscal Year 2017: The program anticipates receiving 53 applications and issuing 53 awards. Fiscal Year 2018: No Current Data Available. In FY18 the program anticipates receiving 53 applications and issuing 53 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S. Fish and Wildlife Service Manual, Part 901 FW 5. Copies of the Federal Junior Duck Stamp Art Competition Rules and Entry Form and reference forms, and the Junior Duck Stamp Conservation and Design Program Curriculum Guide can be obtained free of charge from the Federal Duck Stamp Office.

Regional or Local Office:

See Regional Agency Offices. Oregon: Nanette Seto, 911 NE 11th Ave., Portland, OR 97232, (503)736-4749;
New Mexico: Art Needleman, 500 Gold Ave. SW/Room 4504, Albuquerque, NM 87102, (505)248-6822;
Minnesota: Megan Wandag, 500 American Blvd. West, Suite 500, Bloomington, MN 55437, (612)713-5463;
Georgia: Toni Westland, 1 Wildlife Dr., Sanibel, FL 33957, (239)472-1100 x237;
Massachusetts: Annelee Motta, 300 Westgate Center Drive, Hadley, MA 01035, (413)253-8539; Colorado: Jackie Jacobson, 3275 11th St NW, Coleharbor, ND 58531, (701)442-5546;
Alaska: Tamara Zeller, 1011 E. Tudor Rd., Anchorage, AK 99503, (907)786-3517;
California: Lora Haller, 752 Country Road, 99W, Sacramento, CA 95988, (530)934-2801.

Headquarters Office:

National Junior Duck Stamp Program Coordinator, Federal Duck Stamp Office, U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike, MS: MBSP, Falls Church, Virginia 22041-3803 Phone: (703) 358-1784 Fax: (703) 358-2009

Website Address:

<http://www.fws.gov/juniorduck>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Provided awards to students who submitted winning designs in State/Territory rounds of the Junior Duck Stamp Contest; provided materials and resources to increase and promote the conservation goals of the Junior Duck Stamp Program; provided assistance to increase outreach and marketing opportunities at local, state and regional functions. Fiscal Year 2017: Provide awards to students who submitted winning designs in State/Territory rounds of the Junior Duck Stamp Contest; provide materials and resources to increase and promote the conservation goals of the Junior Duck Stamp Program; provide assistance to increase outreach and marketing opportunities at local, state and regional functions. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects that will use the Junior Duck

Stamp Contest to promote environmental science, wildlife management, wetlands ecology, and the importance of habitat conservation among kindergarten through high school students through the visual arts.

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements are awarded based on unique technical expertise and knowledge of the Junior Duck Stamp Program, background in natural resources, knowledge of conservation practices and environmental education experience. In addition, the ability to provide partnership support and volunteers is essential.

15.645 MARINE TURTLE CONSERVATION FUND**FEDERAL AGENCY:**

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Maine Turtle Conservation Act of 2004, Public Law 108-266, 16 U.S.C 6601.

OBJECTIVES:

To assist in the conservation of marine turtles and the nesting habitats of marine turtles in foreign countries by supporting and providing financial resources in the following areas: academic and technical training in the conservation and management of marine turtles; applied research on marine turtle populations and their habitats, including surveys and monitoring; conservation education and community outreach for the protection of nesting and near shore foraging populations; development and execution of marine turtle conservation management plans; habitat conservation and management; information exchange to promote international collaboration; projects to protect nesting females and eggs on important nesting beaches; promotion of networks, partnerships, and coalitions that assist in the implementation of conventions, treaties, protocols and other international activities for the conservation and management of marine turtles; protected area/reserve management of important nesting beaches; strengthening local capacity to implement conservation programs on nesting beaches; and strengthening law enforcement capacity and forensics skills through training and equipment.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Project work should occur in foreign countries (outside of the United States) that have within their boundaries marine turtle nesting habitats and be conducted by any person or group with the demonstrated expertise required for the conservation of marine turtles. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, North Korea, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel. 100% of funds are discretionary.

Applicant Eligibility:

Applications may be submitted by any government agency responsible for conservation and protection of marine turtles and any other organization or individual with demonstrated experience in marine turtle conservation.

Beneficiary Eligibility:

Applications may be submitted by any government agency responsible for conservation and protection of marine turtles and any other organization or individual with demonstrated experience in marine turtle conservation.

Credentials/Documentation:

Yes. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The program announcement and application instructions for this program can be found at Grants.gov website <http://www.grants.gov/search/basic.do>. The Notice of Funding Availability and Application Instructions are also located on this program's website at <https://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia & Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 5275 Leesburg Pike, Falls Church, VA 22041. A proposal will not be considered complete if required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance."

Award Procedure:

Projects are reviewed and competitively selected for funding using criteria developed on the requirements of the Marine Turtle Conservation Act of 2004 (P.L. 108-266). Review criteria can be found in the application information at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the U.S. Fish and Wildlife Service and the Recipient. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Apr 03, 2017 October 3, 2016; April 3, 2017.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals will be made within 180 days after the receipt of the project proposal.

Appeals:

Not Applicable.

Renewals:

None. However, at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. To the extent possible, grant funds are matched by nonfederal funds. Cost sharing is not required but priority will be given to projects for which there exists some measure of matching funds. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the approved project period of performance, in accordance with program financial and performance reporting procedures. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Domestic recipients must report expenditures using the SF 425, Federal Financial Report form. Non-domestic recipients must submit a financial table or spreadsheet in the same format as the original project proposal budget, showing itemized expenditures for the reporting period. Recipients must submit a final report no

later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1652-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$1,743,837; FY 17 est \$1,700,000; and FY 18 est \$1,700,000 - PROJECT GRANTS (Discretionary): FY16 \$1,743,837.99; FY17 \$1,700,000.00 est.; FY18 \$1,700,000.00 est. FY17 and FY18 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

Variable amounts. Generally \$50,000 or less. Higher amounts may be requested.

TAFS Codes:

14-1611; 14-1652.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program received 78 applications and issued 43 awards. Fiscal Year 2017: Program received 42 applications thus far, anticipates receiving another 40 applications, and anticipates issuing 40 awards. Fiscal Year 2018: Program anticipates receiving 80 applications and anticipates issuing 40 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The "Assistance Award Guidelines" can be found on-line at <http://www.fws.gov/international/grants/grants.html> or in paper copy by contacting the Division of International Conservation at (703) 358-1754.

Regional or Local Office:

None.

Headquarters Office:

Chief, Division of International Conservation
U.S. Fish and Wildlife Service
Department of the Interior
5275 Leesburg Pike, MS: IA
. Falls Church, Virginia 22041-3803 Email: msef_marineturtle@fws.gov
Phone: (703) 358-1754 Fax: (703) 358-2115.

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/marine-turtle-conservation-fund.html>

RELATED PROGRAMS:

15.619 Rhinoceros and Tiger Conservation Fund; 15.620 African Elephant Conservation Fund; 15.621 Asian Elephant Conservation Fund; 15.629 Great Apes Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The program supported 1) Community based conservation in Maio Islans, Cape Verde: Participatory approach as key to reduce nesting and in-water sea turtle mortality, 2) Population Recovery of the Black Turtle of Michoacan, Mexico, 3) Capacity building for fishermen to create awareness and promote conservation of East Pacific leatherback turtles. Fiscal Year 2017: Program has not yet selected projects for funding. Program anticipates funding projects that are effective for the long-term conservation of marine turtles, and those that are similar in scope to FY 2016. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects that are effective for the long-term conservation of marine turtles, and those that are similar in scope to FY 2016.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed based on criteria developed from the requirements of the Marine Turtle Conservation Fund (Public Law 108-266). Additional details on the selection criteria can be found in the Marine Turtle Notice of Funding Availability and Application Instructions <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Hard copies of the criteria are available upon request from the Division of International Conservation.

15.647 MIGRATORY BIRD CONSERVATION**FEDERAL AGENCY:**

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-754; Fish and Wildlife Coordination Act of 1958, 16 U.S.C.661-667(e); Fish and Wildlife Conservation Act, 16 U.S.C. 2901-2911; and Migratory Bird Treaty Act, 16 U.S.C. 709a.

OBJECTIVES:

To maintain and enhance populations and habitats of migratory bird species found in the Upper Midwest (IL, IN, IA, MI, MN, MO, OH, WI).

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants may be used for the conservation of any bird species protected by the Migratory Bird Treaty Act and occurring in U.S. Fish and Wildlife Service (USFWS) Region 3 (Upper Midwest, including IL, IN, IA, MI, MN, MO, OH, and WI). Special emphasis will be placed on Birds of Concern in the Midwest (see <http://www.fws.gov/midwest/midwestbird/concern.html>) as these species are experiencing known or suspected population declines, are recreationally important, or are causing biological or social conflicts. Implementing national, regional, and flyway bird conservation plans, including the Partners in Flight North American Landbird Conservation Plan (<http://www.partnersinflight.org>), U.S. Shorebird Conservation Plan (<http://shorebirdplan.fws.gov>), North American Waterbird Conservation Plan (<http://www.waterbirdconservation.org>), North American Waterfowl Management Plan (<http://www.fws.gov/birdhabitat/NAWMP/index.shtml>), and Mississippi Flyway bird management plans, is the USFWS's highest priority, and proposals related to the priorities identified in these plans and Bird Conservation Region and state-level bird conservation planning efforts will receive high funding consideration. The following activities are also of high interest to the USFWS: a) Population surveys, monitoring, and assessments to determine the status, trends, distribution, demography, and responses to management of bird species and groups of concern. Emphasis will be placed on landscape-level applications of standardized inventory and monitoring protocols as identified through the Midwest Coordinated Bird Monitoring Partnership (<http://midwestbirdmonitoring.ning.com>). Local-scale bird monitoring (e.g., local land management units) will generally not be a focus of this grant program, nor will support for long-term operational bird monitoring efforts. Rather, the program seeks to address coordination of monitoring efforts and development and evaluation of new survey and monitoring techniques (both field and analytical); b) Conservation of focal species for which conservation plans and/or working groups have been developed and research, monitoring, and other priorities have been identified. Examples of focal species include: Golden-winged Warbler, Cerulean Warbler, Henslows Sparrow,

Bobolink, other Midwestern grassland birds, American Woodcock, Wilsons Phalarope, Upland Sandpiper, Marbled Godwit, Common Tern, Black Tern, King Rail, and Lesser Scaup (for further information on focal species, see <http://www.fws.gov/midwest/midwestbird/concern.html>; and c) Strategic Conservation, wherein the USFWS and partners integrate biological planning, landscape design, conservation delivery, and monitoring and evaluation in a way that generates the adaptive feedback loops that enable sound decisions and constantly improve our efficiency and effectiveness in saving birds (<http://www.fws.gov/midwest/science/SHC/FAQ.htm>). Strategic conservation involves applied research, including studies of bird habitat requirements, threats, limiting factors, and population responses to habitat conservation activities; and use of Geographic Information Systems, modeling, and similar tools to identify bird habitat focus areas and further bird conservation planning efforts. 18% of funding is discretionary.

Applicant Eligibility:

Federal, State and local government agencies; Federally-recognized Indian Tribal governments; private nonprofit institutions/organizations; and public nonprofit institutions/organizations.

Beneficiary Eligibility:

Federal, State and local government agencies; Federally-recognized Indian Tribal governments; private nonprofit institutions/organizations; public nonprofit institutions/organizations; and general public.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction)

Award Procedure:

The Regional Migratory Bird Program Chief convenes a committee of FWS biologists to review, rank, and select proposals for funding. Once a proposal is accepted, a grant or cooperative agreement is written and work can begin.

Deadlines:

May 30, 2017 May 30, 2017.

Range of Approval/Disapproval Time:

Decisions on funding of a proposal are usually made no later than 180 days after receipt of the proposal.

Appeals:

None.

Renewals:

A grant or cooperative agreement may be modified at the discretion of the Regional Office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Unless a project is extended, awarded funds must be spent during the approved Period of Performance of the grant or cooperative agreement and in accordance with financial and reporting procedures specified in the agreement. Grants are normally written for a period of 1 to 5 years and payments can be made in a lump sum or on a periodic basis. Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles unless otherwise prescribed in program specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Funds are

disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles unless otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. All projects and activities are monitored for progress and compliance with agreed upon scope of work. Written progress and financial reports using the SF-425 Federal Financial Report, and final reports on the project are generally required. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 2 CFR 200 and 2 CFR Part 170.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

None.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$190,600; FY 17 est \$235,000; and FY 18 est \$200,000 - Project Grants (Discretionary): FY16 \$190,600; FY17 \$235,000; FY18 \$200,000.

FY17 and FY 18 amounts are estimates based on prior year funding levels and projected budget allocations.

Range and Average of Financial Assistance:

Range \$11,667 to \$49,436; average \$27,228 in FY16.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Funded 6 continuing projects for a total of \$165,600; received one new proposal, which was funded for \$25,000. Fiscal Year 2017: Anticipate funding 2 continuing projects for \$35,000, receiving approximately 8-10 proposals for new projects, and funding approximately 5-6 of these for a total of approximately \$200,000. Fiscal Year 2018: Anticipate receiving approximately 10 proposals and funding approximately 6 of these for a total of about \$200,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Regional Office: Tom Will, U.S. Fish and Wildlife Service, Division of Migratory Birds, 5600 American Blvd. West, Suite 990, Bloomington, MN 55437-1458; 612-713-5362; tom_will@fws.gov.

Headquarters Office:

Tom Will U.S. Fish and Wildlife Service, Division of Migratory Birds, 5600 American Blvd. West, Suite 990, Bloomington, Minnesota 55437 Phone: 6127135362

Website Address:

<http://www.fws.gov/midwest/midwestbird>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: (1) Development of a full life cycle conservation plan for Bobolink, (2) wetland management for Midwest marsh birds, (3) strengthening a network for monitoring landbird migration, (4) using geolocators to determine mercury exposure in Common Terns throughout the annual cycle. Fiscal Year 2017: Program has not yet selected projects for funding, but anticipates funding projects that (1) increase the utility of the Midwest Avian Data Center, (2) build monitoring capacity of the Midwest Migration Network, (3) build technical

capacity for strategic conservation of grassland birds, and (4) resolve migratory connectivity and full life cycle management issues for Common, Black, and Caspian terns, Bobolink, and Wood Thrush. Fiscal Year 2018: Program has not yet selected projects for funding, but anticipates supporting projects that relate to coordinated bird monitoring and full life cycle focal species conservation.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed to determine how well they address the migratory bird program priorities outlined above and in a Notice of Funding Opportunity published annually on the Grants.gov website for CFDA # 15.647. All applications received will be reviewed by a team of four USFWS wildlife biologists (GS-11 grade level or higher). (Any conflict of interest identified will require that a reviewer remove themselves from the evaluation process.) Each of the reviewers will independently score each proposal on a scale of 1-10 for each of the following criteria: (1) relevance to the stated description of funding opportunity, (2) relevance to the Midwest Region's Migratory Bird priorities (e.g., focal species); (3) overall scientific merit of the proposal; (4) clarity of proposal and especially its stated objectives; (5) appropriate methodology and timeline needed to achieve objectives; (6) demonstrated history of successful completion of similar projects by applicants; (7) budget within the range of available program funds. Scores will then be summed across all criteria for all reviewers; project proposals will then be ranked and grants will be awarded in priority order subject to available funding unless all reviewers agree that a particular proposal scored so low that the risk of failure or likelihood of inappropriate product is too great to justify an award.

15.648 CENTRAL VALLEY IMPROVEMENT (CVI) ANADROMOUS FISH RESTORATION (AFR)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Central Valley Project Improvement Act, Title 34, Public Law 102-575.

OBJECTIVES:

(a) To protect, restore, and enhance fish, wildlife, and associated habitats in the Central Valley and Trinity River basins of California; (b) To address impacts of the Central Valley Project on fish, wildlife and associated habitats; (c) To improve the operational flexibility of the Central Valley Project; (d) To increase water-related benefits provided by the Central Valley Project to the State of California through expanded use of voluntary water transfers and improved water conservation; (e) To contribute to the State of California's interim and long-term efforts to protect the San Francisco Bay/Sacramento-San Joaquin Delta Estuary; (f) To achieve a reasonable balance among competing demands for use of Central Valley Project water, including the requirements of fish and wildlife, agricultural, municipal and industrial and power contractors.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used for research to improve management and increase Anadromous fish resources; spawning area improvement; installation of fish passages; construction of fish protection devices, and data collections. No other Federal agencies may apply for award opportunities. Agreements will be awarded for projects that will result in attaining the objectives noted above and the mandates set out in the CVPIA. Areas of emphasis include fish and wildlife restoration activities and investigations, evaluation of ecologic and hydrologic effects of existing and alternative operations of water facilities and systems, the effects of the CVP on anadromous fish populations, and delivery of refuge water supplies. The Secretary of the Interior is authorized and directed to promulgate such regulations and enter into such agreements as may be necessary to implement the intent, purposes and provisions of Title XXXIV. This title does not and shall not be interpreted to authorize construction of water storage facilities. For further information, please contact the regional office. No other Federal agencies may apply for award opportunities. 100% of funds are discretionary.

Applicant Eligibility:

Applicants may be State, local governments, Native American Organizations, other public nonprofit institutions/organizations, private

nonprofit/organizations, or for profit organizations. No other Federal agency may apply.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Basic application requires the request for proposal narrative, Scope of Work with deliverables, SF 424A Budget, SF 424 Application for Federal Assistance form, and SF424B Assurances. Information submitted to the Lodi Fish and Wildlife Office for review by a locally convened panel. The panel will select the awardee and forward the information to the station Project Leader for final approval. No state plan required.

Award Procedure:

The successful applicant will be notified after selection and confirmation of available funding. An applicant should not initiate a project in expectation of USFWS funding, nor should they purchase materials or begin work until such time as they receive the final award document signed by an authorized Service official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Awards are anticipated within 90 days or less.

Appeals:

Contact the headquarters or regional office.

Renewals:

Contact the headquarters or regional office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project awards are generally from one to five years, depending on the complexity of the project and availability of funds. The five year restriction on the length of time is due to the Period of Availability of Funds limited to the five (5) year rule. See the following for information on how assistance is awarded/released: Awardees are now required to use the U.S. Treasury's ASAP reimbursement program.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress (quarterly) and final reports must be submitted in accordance with the terms and conditions of the grant agreement. Expenditure reports, SF425 Federal Financial Report, are required annually. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records are required to be maintained for three years following submission of the final expenditure report.

Account Identification:

14-5173-0-2-301 - Note: The FWS receives the CVPIA funds through the BOR hence the Account ID associated with the BOR.

Obligations:

(Project Grants (Discretionary)) FY 16 \$11,500,000; FY 17 est \$11,500,000; and FY 18 est \$11,500,000 - Project Grants (Discretionary): FY16 \$11,500,000; FY17 \$11,500,000; FY18 11,500,000; based on prior year funding levels only.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 113 miles of streamside habitat were assessed in 17 watershed specific projects. In addition 0.3 miles of habitat were restored on the Mokelumne and American rivers. Fiscal Year 2017: The CVPIA anticipates implementing 18 projects throughout the Central Valley, 10 of these are new projects. The CVPIA hopes to assess 88 miles of streamside habitat and 15 population assessments. Fiscal Year 2018: The CVPIA anticipates implementing 15 to 20 projects throughout the Central Valley, 5 to 10 of these are expected to be new projects and we anticipate receiving approximately 20 applications. Measurable results for FY18 have not been established.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

See Regional Agency Offices. Lodi Fish and Wildlife Service Office
850 S. Guild Ave Suite 105, Lodi, California 95240. Telephone: (209) 334-2968, Fax (209) 334-2171.

Headquarters Office:

Paul Souza 2800 Cottage Way, Suite W2606, Sacramento, California 95825
Phone: (916) 414-6464 Fax: (916) 414-6464.

Website Address:

http://www.fws.gov/loidi/anadromous_fish_restoration/afip_index.htm

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: 1) AFRP funded the Mokelumne River spawning habitat improvement project. This project continues a long term and highly successful spawning gravel introduction project with East Bay Municipal Utility District. 2) Merced River Floodplain and Channel Restoration monitoring continued in 2016. Post project restoration monitoring was conducted to determine the effectiveness of the project. 3) San Joaquin River White Sturgeon continued to be captured and implanted with acoustic tags for a long term sturgeon study. Additionally sturgeon eggs and larval sturgeon were documented in the San Joaquin river. Fiscal Year 2017: 1) CVPIA is working to restore floodplain as part of the Dos Rios Project at the confluence of the San Joaquin and Tuolumne Rivers. 2) CVPIA expects to begin implementation of two large scale restoration on the Yuba River, the Yuba Rivers Narrow Restoration project and the Yuba River Hallwood Floodplain Restoration Project. Fiscal Year 2018: CVPIA has not yet selected projects for funding. CVPIA anticipates funding projects that (a) protect, restore, and enhance fish, wildlife, and associated habitats in the Central Valley and Trinity River basins of California; (b) address impacts of the Central Valley Project on fish, wildlife, and associated habitats; (c) improve the operational flexibility of the Central Valley Project; (d) increase water-related benefits provided by the Central Valley Project to the State of California through expanded use of voluntary water transfers and improved water conservation; (e) contribute to the State of California's interim and long-term efforts to protect the San Francisco Bay/Sacramento-San Joaquin Delta Estuary; and (f) achieve a reasonable balance among competing demands for use of Central Valley Project water, including the requirements of fish and wildlife, agricultural and municipal, industrial and power contractors.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a team of Federal staff based on the following

criteria: Addresses high priority need as described in the Request for Proposal (RFP) "Purposes and Funding Priorities"; Clearly stated goals, objectives, hypotheses, and relevance of idea; Approach is valid and technically sound; Primary Investigators and/or subcontractors record of performance and expertise; Budget is reasonable and provides good value for funds requested; and Cost sharing or in-kind contributions.

15.649 SERVICE TRAINING AND TECHNICAL ASSISTANCE (GENERIC TRAINING)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956 and the Fish and Wildlife Coordination Act, for the development and implementation of programs for the benefit of fish and wildlife species and their habitat (16 U.S.C. 661 and 742 et seq.), Fish and Wildlife Improvement Act of 1978, as amended (16 U.S.C. 753). Endangered Species Act of 1973 (16 U.S.C. 1531-1544, 87 Stat. 884).

OBJECTIVES:

To assist in the conservation of the Nation's natural resources by supporting and providing financial resources for training, meetings, workshops and conferences to promote the public's conservation awareness.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Training and technical assistance are used to enhance public awareness and understanding of natural resource conservation and preservation. Projects may include natural resources related education, meetings, training, workshops, and conferences. Details on funding opportunity can be found on www.Grants.gov. 100%.

Applicant Eligibility:

Federal, State and local government agencies; Federally-recognized Tribal governments; private nonprofit institutions/organizations; public nonprofit institutions/ organizations; for profit organizations.

Beneficiary Eligibility:

Federal, State and local government agencies; Federally-recognized Tribal governments; private nonprofit institutions/organizations; public nonprofit institutions/ organizations; general public.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Any applicable pre-application coordination or environmental impact information requirements will be detailed in the funding opportunities posted under this program on [Grants.gov](http://www.grants.gov) (<http://www.grants.gov>). An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form (SF)424 and a written proposal should be submitted through [Grants.gov](http://www.Grants.gov) or via hardcopy to the project office and include: a title, justification (including relevance to the Fish and Wildlife Service's priorities as outlined above), objectives, timeframe, and a budget breakdown. Note that the USFWS may circulate proposals to others for review. All Service financial assistance discretionary funding opportunities will be posted on www.Grants.gov.

Award Procedure:

Notification of an award is the issuance of a grant or cooperative agreement document by Awarding Official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

This will vary based on the funding opportunity and will range between 3 and 6

months.

Appeals:

Applicant may revise and resubmit proposal for consideration.

Renewals:

Contact the headquarters or regional office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Statutory formulas and/or matching requirements may be applicable to activities funded under this CFDA. Any formulas or matching requirements will be specified in the funding opportunities posted under this program on Grants.gov.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies by activity being funded, but typically funds are awarded for projects with a one year period of performance. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Varies by activity being funded, but typically funds are awarded for projects with a one year period of performance. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Financial and performance reporting frequency and other requirements will be detailed in the award terms and conditions. Interim reports will not be requested more frequently than quarterly, and no less frequently than annually. Interim reports are due 30 calendar days after the expiration of the quarter/midterm/year anniversary, as appropriate. Final reports are required within 90 calendar days from the end date of the award. Financial and performance reporting frequency and other requirements will be detailed in the award terms and conditions. Interim reports will not be requested more frequently than quarterly, and no less frequently than annually. Interim reports are due 30 calendar days after the expiration of the quarter/midterm/year anniversary, as appropriate. Final reports are required within 90 calendar days from the end date of the award. Submission of payment requests using the SF-270, Request for Advance or Reimbursement (Non-Construction) or SF-271, Outlay Report and Request for Reimbursement (Construction) satisfies interim financial reporting requirements. A final SF-425, Federal Financial Report is required 90 days from the end date of the award. This form can be accessed on the Internet at <http://www.grants.gov/web/grants/forms/post-award-reporting-forms.html#sortby=1> For additional information regarding financial reporting requirements and sanctions for noncompliance please see https://www.doi.gov/sites/doi.gov/files/migrated/pam/programs/financial_assistance/upload/Policy_regarding_Fin_Status_Reporting_Req.pdf. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200. A final performance report is required within 90 calendar days from the end date of the award. Performance reports must contain a comparison of actual accomplishments with the goals and objectives of the award as detailed in the approved scope of work, a description of reasons why established goals were not met, if appropriate, and any other pertinent information relevant to the project results.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention

requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$2,086,143; FY 17 est \$2,500,000; and FY 18 est \$2,500,000 - Project Grants (Discretionary): FY16 \$2,086,143; FY17 \$2,500,000 est ; FY18 \$2,500,000 est

FY16 total is for obligation of new funds only. FY17 and FY18 funding levels are estimated based on previous year funding. Actual funds available in any given fiscal year will vary by Service program priorities and needs.

Range and Average of Financial Assistance:

Range and average varies by program activity.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: USFWS issued approximately 47 new awards for natural resource related education, meetings, trainings, workshops, and conferences focused on enhancing public awareness. Fiscal Year 2017: USFWS anticipates receiving and issuing awards at a level similar to FY16. Fiscal Year 2018: USFWS anticipates receiving and issuing awards at a level similar to FY16.

REGULATIONS, GUIDELINES, AND LITERATURE:

Acceptance of a Federal Financial Assistance award from the Department of the Interior (DOI) carries with it the responsibility to be aware of and comply with the terms and conditions of award per

https://www.doi.gov/pam/programs/financial_assistance/TermsandConditions

Regional or Local Office:

See Regional Agency Offices. Contact Information related to each funding opportunity under this program will be stated in the funding opportunities posted on Grants.gov (<http://www.grants.gov>).

Headquarters Office:

Policy Branch, Financial Assistance Support and Oversight Division Wildlife and Sport Fish Restoration Program, 5275 Leesburg Pike, MS-WSFR, Falls Church, Virginia 22041-3803 Phone: (703) 358-2701.

Website Address:

<http://www.fws.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: USFWS provided projects focused on natural resources education, meetings, trainings workshops, and conferences to enhance public awareness. Examples include: an education/training program to introduce diverse students to law and graduate studies opportunities in natural resources; the Native American Fish & Wildlife Inter-Tribal Monarch Workshop; the Aquatic Resources Education Associations National Conference. Fiscal Year 2017: Program anticipates funding projects that focus on natural resources education, professional development, meetings, training workshops and conferences designed to enhance public awareness and to expand the understanding of natural resources conservation and preservation challenges and efforts. Fiscal Year 2018: Program anticipates funding projects that focus on natural resources education, professional development, meetings, training workshops and conferences designed to enhance public awareness and to expand the understanding of natural resources conservation and preservation challenges and efforts.

CRITERIA FOR SELECTING PROPOSALS:

Programs within the Fish and Wildlife Service will review and evaluate all applications consistent with authorized Fish and Wildlife Service program purposes.

15.650 RESEARCH GRANTS (GENERIC)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956, as amended, 16 U.S.C. (742f (a)(4); Fish and Wildlife Coordination Act, as amended, 16 U.S.C. 661.; Fish and Wildlife Improvement Act of 1978, as amended (16 U.S.C. 753). Land and Water Conservation Fund Act of 1965, as amended (16 U.S.C. 4601-4 through 11), Endangered Species Act of 1973 (16 U.S.C. 1531-1543).

OBJECTIVES:

To assist in the conservation of the Nation's natural resources by providing financial assistance for land management, research, and data collection/analysis to further the preservation of natural resources.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Research grants will be used for improving scientific support for natural resource management, to enhance public awareness and understanding of natural resource conservation and preservation. Projects may include land management practices; literature research, data/information analysis and dissemination improving scientific support for natural resource management. Details on funding opportunities can be found on www.Grants.gov. 100%.

Applicant Eligibility:

Federal, State and local government agencies; Federally-recognized Tribal governments; private nonprofit institutions/organizations; public nonprofit institutions/ organizations; for profit organizations.

Beneficiary Eligibility:

Federal, State and local government agencies; Federally-recognized private nonprofit institutions/organizations; public nonprofit institutions/ organizations; general public.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Any applicable pre-application coordination or environmental impact information requirements will be detailed in the funding opportunities posted under this program on Grants.gov (<http://www.grants.gov>). An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must complete the Standard Form (SF)-424, and the appropriate Budget and Assurances forms (SF-424A and SF-424B Non-construction; or SF-424C and SF-424D Construction)

Award Procedure:

Notification of an award is by the issuance of a grant or cooperative agreement document by Awarding Official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

This will vary based on the funding opportunity and will range between 3 and 6 months.

Appeals:

Applicants may revise and resubmit proposals for consideration.

Renewals:

Contact the headquarters or regional office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Statutory formulas and/or matching requirements may be applicable to activities funded under this CFDA. Any formulas or matching requirements will be specified in the funding opportunities posted under this program on Grants.gov.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies by activity being funded, but typically funds are awarded for projects with a one year period of performance. See the following for information on how assistance is awarded/released: Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. A final performance report is required within 90 calendar days from the end date of the award. Performance reports must contain a comparison of actual accomplishments with the goals and objectives of the award as detailed in the approved scope of work, a description of reasons why established goals were not met, if appropriate, and any other pertinent information relevant to the project results. Financial and performance reporting frequency and other requirements will be detailed in the award terms and conditions. Interim reports will not be requested more frequently than quarterly, and no less frequently than annually. Interim reports are due 30 calendar days after the expiration of the quarter/midterm/year anniversary, as appropriate. Final reports are required within 90 calendar days from the end date of the award. Submission of payment requests using the SF-270, Request for Advance or Reimbursement (Non-Construction) or SF-271, Outlay Report and Request for Reimbursement (Construction) satisfies interim financial reporting requirements. A final SF-425, Federal Financial Report is required 90 days from the end date of the award. This form can be accessed on the Internet at <http://www.grants.gov/web/grants/forms/post-award-reporting-forms.html#sortBy=1> For additional information regarding financial reporting requirements and sanctions for noncompliance please see https://www.doi.gov/sites/doi.gov/files/migrated/pam/programs/financial_assistance/upload/Policy_regarding_Fin_Status_Reporting_Req.pdf. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200. A final performance report is required within 90 calendar days from the end date of the award. Performance reports must contain a comparison of actual accomplishments with the goals and objectives of the award as detailed in the approved scope of work, a description of reasons why established goals were not met, if appropriate, and any other pertinent information relevant to the project results.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$1,602,709; FY 17 est \$2,500,000; and FY 18 est \$2,500,000 - Project Grants (Discretionary): FY16 \$1,602,709; FY17 \$2,500,000 est; FY18 \$2,500,000 est

FY16 total is for obligation of new funds only. FY17 and FY18 funding levels are estimated based on previous year funding. Actual funds available in any given fiscal year will vary by Service program priorities and needs.

Range and Average of Financial Assistance:

Range and average varies by program activity.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: USFWS issued approximately 33 new awards for data

collection and research based projects. Fiscal Year 2017: USFWS anticipates receiving and issuing awards at a level similar to FY16. Fiscal Year 2018: USFWS anticipates receiving and issuing awards at a level similar to FY16.

REGULATIONS, GUIDELINES, AND LITERATURE:

Acceptance of a Federal Financial Assistance award from the Department of the Interior (DOI) carries with it the responsibility to be aware of and comply with the terms and conditions of award per https://www.doi.gov/pam/programs/financial_assistance/TermsandConditions

Regional or Local Office:

See Regional Agency Offices. Contact Information related to each funding opportunity under this program will be stated in the funding opportunities posted on Grants.gov.

Headquarters Office:

Policy Branch, Financial Assistance Support and Oversight Division Wildlife Sport Fish Restoration Program, 5275 Leesburg Pike, MS-WSFR., Falls Church, Virginia 22041-3803 Phone: (703) 358-2701.

Website Address:

<http://www.fws.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Examples of USFWS funded projects include: stormwater research; data collection for new populations of rare plant species; and research on the tracking, foraging and migration behaviors of northern long-eared bats. Fiscal Year 2017: Program anticipates funding research and data collection projects similar to those funded in FY16. Fiscal Year 2018: Program anticipates funding research and data collection projects similar to those funded in FY16.

CRITERIA FOR SELECTING PROPOSALS:

Programs within the Fish and Wildlife Service will review and evaluate all applications consistent with authorized Fish and Wildlife Service program purposes.

15.651 WILDLIFE WITHOUT BORDERS-AFRICA**FEDERAL AGENCY:**

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, 16 U.S.C 1531-43.

OBJECTIVES:

The Wildlife Without Borders-Africa Program funds projects designed to strengthen the ability of African individuals and institutions to manage and conserve species, habitats, and ecological processes for the benefit of the people of Africa and the world. The Wildlife Without Borders Program defines capacity building as strengthening the ability of individuals and organizations to conserve biodiversity. Capacity building approaches can include training, facilitating dialogue, program development, and provision of equipment and other resources.

Projects should build capacity in one (or more) of the following themes:

1. Threat Reduction: Includes projects that increase individual and institutional capacity to reduce threats to African wildlife, including extractive industries, human-wildlife conflict, hunting for bushmeat, wildlife disease in and around protected areas, and climate change;

2. Protected Area Management: Includes projects that strengthen the management ability of institutions responsible for protected areas, by conducting a training program or capacity needs assessment, or by undertaking activities that improve management effectiveness, conservation financing, protected area coverage, community outreach and education, conflict resolution, coalition building, human resource management, financial management, vehicle and facility maintenance, law enforcement, grant writing, and/or project implementation;

3. Training Programs: Includes projects that increase the capacity of universities, colleges or other institutions to deliver education and training

programs on protected area management and species conservation;

4. **Decision Makers:** Includes projects that enhance the knowledge of decision makers and other stakeholders in order to strengthen their ability to positively influence wildlife conservation, management, legislation, policy, and finance; and to harmonize these with other national policies.

For all themes listed above, the priority audiences for capacity building include: protected area managers, administrators, guards and rangers; conservation outreach specialists and educators, including professors, teachers, and trainers; community conservationists and staff of local non-governmental and civil society organizations; decision makers, including legislators, legal and other public officials.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Congo Basin is a globally important region for forest and biodiversity conservation. The U.S. Fish and Wildlife Service (USFWS) works closely with national governments, U.S. agencies, and a range of other partners to ensure a strategic, results-based approach to wildlife conservation in the basin. In collaboration with U.S. Agency of International Developments (USAID) Central Africa Regional Program for the Environment (CARPE), the USFWS is providing this funding opportunity to improve protected area management, reduce threats to key wildlife populations, and develop the requisite individual and institutional conservation capacity to undertake long-term conservation programs. It is anticipated that grant funding through the USFWS Multinational Species Conservation Funds will continue to provide support on a competitive basis to Congo Basin countries and corresponding landscapes not covered in the priorities outlined below, in future funding cycles. The FY 2013 call for applications will be limited to specific geographic and thematic areas. 100% of funding is discretionary.

Applicant Eligibility:

Federal, State and local government agencies; non-profit, non-governmental organizations; and public and private institutions of higher education, or any of the stated associate entities on behalf of an eligible individual.

Beneficiary Eligibility:

Federal, State and local government agencies; nonprofit, non-governmental organizations; and public and private institutions of higher education. All recipients, both individual and institutional, must have a bank account and have the ability to receive funds directly.

Credentials/Documentation:

Yes. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov>. Complete application information can also be found at <http://www.fws.gov/international/pdf/notice-of-funding-availability-africa.pdf>, or, if unable to access the website, by requesting a paper copy from the Division of International Conservation, U.S. Fish and Wildlife Service, 5275 Leesburg Pike, Falls Church, VA 22041, Telephone: (703) 358-1754. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 Application for Federal Assistance.

Award Procedure:

Projects are reviewed and competitively selected for funding based on established criteria. Review criteria can be found in the application information at <http://www.fws.gov/international/pdf/notice-of-funding-availability-africa.pdf>.

Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the Fish and Wildlife Service and the Recipient. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Jan 23, 2017 January 23, 2017.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are normally made no later than 180 days after the receipt of the project proposals.

Appeals:

Not Applicable.

Renewals:

None. However, at the discretion of the Division of International Conservation awards can be modified, e.g.; extended for time or budget changes.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the approved project period of performance, in accordance with program financial and performance reporting procedures. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Domestic recipients must report expenditures using the SF 425, Federal Financial Report form. Non-domestic recipients must submit a financial table or spreadsheet in the same format as the original project proposal budget, showing itemized expenditures for the reporting period. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipient will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$15,431,605; FY 17 est \$16,000,000;

and FY 18 est \$16,000,000 - PROJECT GRANTS (Discretionary): FY16 \$15,431,605.82; FY17 est. \$16,000,000; FY18 est. \$16,000,000. FY17 and FY18 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

Variable amounts. Generally \$50,000 or less. Higher amounts may be requested.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program received 50 applications and issued 25 awards. Fiscal Year 2017: Program anticipates receiving 55 applications and issuing 25 awards. Fiscal Year 2018: Program anticipates receiving 55 applications and issuing 25 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at https://edit.doi.gov/pam/programs/financial_assistance/award. The "Assistance Award Guidelines" can be found on-line at <https://www.fws.gov/international/grants-and-reporting/information-for-grantees.html> or in hard copy by contacting the Division of International Conservation.

Regional or Local Office:

See Regional Agency Offices. Region 1, (Washington, Oregon, California, Nevada, Idaho, Hawaii, Trust Territories of the Pacific) Regional Director: Renne Lohofener, 911 NE 11th Avenue, Portland, OR 97232-4181. Telephone: (503) 872-2716; Fax: (503)231-6118. California Nevada Operations Office Regional Director: Steve Thompson, 2800 Cottage Way, Suite W2606 Sacramento, CA 95825. Telephone: (916) 414-6486; Fax: (916) 414-6464 Region 2 (New Mexico, Arizona, Oklahoma, Texas) Regional Director: Benjamin Tuggle, P.O. Box 1306, Albuquerque, NM 87103. Telephone: (505) 248-6910; Fax: (505) 248-6282. Region 3, (Minnesota, Indiana, Missouri, Michigan, Wisconsin, Ohio, Illinois, Iowa). Regional Director, Robyn Thorson Federal Building, 1 Federal Drive, Fort Snelling, MN 55111. Telephone: (612) 713-5284; Fax: (612) 713-5301. Region 4, (Kentucky, Arkansas, Tennessee, North Carolina, South Carolina, Georgia, Alabama, Mississippi, Louisiana, Florida, Virgin Islands, Puerto Rico). Regional Director: Sam Hamilton, 1875 Century Boulevard, Atlanta, GA 30345. Telephone: (404)679-4006 Fax: (404) 679-4000. Region 5, (Virginia, West Virginia, Maryland, Pennsylvania, New York, Delaware, New Jersey, Connecticut, Maine, Massachusetts, Vermont, New Hampshire, Rhode Island) Regional Director: Marvin Moriarty, 300 Westgate Center Drive, Hadley, MA 01035. Telephone: (413) 253-8308. Fax: (413) 253-8300. Region 6, (Colorado, Montana, Nebraska, Utah, Wyoming, Kansas, North Dakota, South Dakota) Regional Director, Mitch King, P.O. Box 25486, DFC Denver, CO 80225. Telephone: (303) 236-7920 Fax: (303) 236-8295 Region 7 (Alaska) Regional Director: Tom Melius, 1011 East Tudor Road, Anchorage, AK 99503. Telephone: (907) 786-3306 Fax: (907) 786-3542.

Headquarters Office:

Chief, Division of International Conservation
U.S. Fish and Wildlife Service, Department of the Interior,
5275 Leesburg Pike, MS: IA
, Falls Church, Virginia 22041-3803 Email: WWB_Africa@fws.gov Phone: (703) 358-1754 Fax: (703) 358-2115.

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/africa/index.html>

RELATED PROGRAMS:

15.640 Wildlife Without Borders- Latin America and the Caribbean ; 15.641 Wildlife Without Borders-Mexico

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: This program supported projects that 1) Protected elephants in Garamba National Park and surrounding conservation areas in the DRC-South Sudan-Central African Republic tri-border area by supporting law enforcement and natural resource governance. 2) Accelerated security training

for park guards and law enforcement partners which has eliminated elephant poaching in one of Gabon's protected areas using an approach that will be replicated in all of its national parks. 3) Developed long-term capacity for Central Africans to assume greater responsibility in implementing effective wildlife conservation projects by supporting mentorship and regional training institutions. Fiscal Year 2017: Program anticipates funding projects that are similar in scope to FY 2016. Fiscal Year 2018: Program anticipates funding projects that are similar in scope to FY 2016.

CRITERIA FOR SELECTING PROPOSALS:

A complete list of the criteria used to select projects under this program will be included as part of the Wildlife Without Borders-Africa Notice of Funding Availability and Application Instructions at <http://www.fws.gov/international/pdf/notice-of-funding-availability-africa.pdf>. Hard copies can be obtained by contacting the Division of International Conservation at (703) 358-1754 or WWB_Africa@fws.gov.

15.652 INVASIVE SPECIES

Invasive Species Program

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-754; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-667(e); and National Wildlife Refuge System Administration Act, 16 U.S.C. 668dd.

OBJECTIVES:

To encourage coordination and integration of efforts between the U.S. Fish and Wildlife Service (USFWS) and interested parties to accomplish successful prevention or management of invasive species. Projects must conserve, protect, and enhance fish, wildlife and plants for the continuing benefit of the American people.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used for approved projects on or off Service lands within the landscape of FWS property or projects that facilitate the prevention, identification, management, or eradication of invasive species. 100%. 100% Estimated at approximately \$200,600 per year.

Applicant Eligibility:

Applicants may be State and local governments, educational institutions, private and public nonprofit organizations, and institutions and private individuals which support the mission of the Service.

Beneficiary Eligibility:

State and local governments; private and public nonprofit organizations; other private and public organizations; and private individuals who support the mission of the Service.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or designee approves or disapproves submitted proposals. Criteria for selection are outlined in the funding announcement and application package posted to Grants.gov. Successful applicant(s) will be notified after selection and confirmation of available funding. An applicant(s) should not initiate a project in expectation of USFWS funding, nor should they purchase materials or begin work until such time as they receive the final award document signed by an authorized Service official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Awards are anticipated within 90 days or less.

Appeals:

Contact the headquarters or regional office.

Renewals:

Contact the headquarters or regional office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project awards are generally from one to five years, depending on the complexity of the project and availability of funds. The five year restriction on the length of time is due to the period of availability of funds, which is limited to the five-year rule. See the following for information on how assistance is awarded/released: Project awards are generally from one to five years, depending on the complexity of the project and availability of funds. Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E Cost Principles, unless otherwise dictated by program-specific legislation or special award terms.

Reports:

No program reports are required. No cash reports are required. All projects and activities are monitored for progress and compliance with the agreed upon scope of work. Recipients of funding are required to submit semi-annual financial reports (SF-425, Federal Financial Report). Performance reports are due within 30 days of the award anniversary date. Final performance and financial reports are due within 90 days of the end date of the award period of performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records are required to be maintained for three years following submission of the final expenditure report.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$497,018; FY 17 est \$350,000; and FY 18 est \$350,000 - Project Grants (Discretionary): FY16 \$497,018; FY17 \$350,000 est; FY18 \$350,000 est.
FY17 and FY18 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

Range: \$9,000 - \$200,000

Average: \$45,000.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program issued 7 awards. Fiscal Year 2017: Program anticipates receiving applications and issuing awards at a similar level to FY16. Fiscal Year 2018: Program anticipates receiving applications and issuing awards at a similar level to FY16.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact Regional offices.

Headquarters Office:

U.S. Fish and Wildlife Service National Wildlife Refuge System, Department of the Interior, 5275 Leesburg Pike, MS: NWRs., Falls Church, Virginia 22041-3803 Phone: (703) 358-1744 Fax: (703) 358-2248.

Website Address:

<http://www.fws.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Examples of funded projects include a salt marsh restoration project to reduce invasive *Spartina densiflora* and invasive weed identification training and removal in Rio Grande county. Fiscal Year 2017: Program anticipates funding projects similar to those in FY16 that address issues surrounding the management of invasive species. Fiscal Year 2018: Program anticipates funding projects similar to those in FY16 that address issues surrounding the management of invasive species.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated on the basis of lasting effect on conservation, preservation, wildlife and their habitats.

15.653 NATIONAL OUTREACH AND COMMUNICATION

(NOC)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Transportation Equity Act for the 21st Century 1998, Public Law 105-178, 112 Stat. 107, 23 U.S.C. 101, Sportfishing and Boating Safety Act of 1998, Section 7402, Public Law 105-178, Subtitle D and subsequent reauthorizations.

OBJECTIVES:

To improve communications with anglers, boaters, and the general public regarding angling and boating opportunities, to reduce barriers to participation in these activities, to advance adoption of sound fishing and boating practices, to promote conservation and the responsible use of the nation's aquatic resources, and to further safety in fishing and boating.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The recipients work is focused on strategies and activities identified in the Strategic Plan for the National Outreach and Communication Program as required by the Sportfishing and Boating Safety Act of 1998. These activities are intended to increase participation in recreational boating and fishing. For example, program funds have been used to develop a national database of fishing and boating locations that is available on the internet. If work is to be conducted outside of those identified in the original plan, the activity must show a clear relevance to the Strategic Plan.

Applicant Eligibility:

Participation limited to applicant with expertise to conduct work within the scope of the Strategic Plan for the National Outreach and Communication Program as required by the Sportfishing and Boating Safety Act of 1998, or if work is to be conducted outside of the range, the applicant must document a clear relevance to the Strategic Plan and the ability to conduct the proposed work.

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

To apply for grant funds, the applicant must provide documentation of marketing expertise in sport fishing and boating safety and submit an online application through Grants.gov. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under

E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction)

Award Procedure:

Funding recommendations, based upon a process outlined in the funding announcements, will be forwarded to the Awarding Official. Applicants will be notified by Awarding Official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Within 120 days.

Appeals:

Contact the U.S. Fish and Wildlife Service national headquarters office.

Renewals:

Contact the U.S. Fish and Wildlife Services national headquarters office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements will normally be awarded in, but not restricted to, 12-month budget periods. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 2 CFR 200, Subpart E-Cost Principles or as otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. The recipient shall submit progress and financial reports as specified in the award. At a minimum, the recipient shall submit an SF-425, Federal Financial Report within 90 days of the one year anniversary date of the award. A final SF-425 is due within 90 days of the end of the award period of performance. At a minimum, a performance progress report summarizing accomplishments and including an explanation of any milestones not accomplished is to be submitted within 90 days of the one year anniversary date of the award. A final performance progress report is due within 90 days of the end of the award period of performance; one copy of all printed products completed using awarded funds is also due within 90 days of the end of the award period of performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records must be maintained for 3 years after submission of the financial report.

Account Identification:

14-8151-0-7-303.

Obligations:

(Project Grants) FY 16 \$12,315,966; FY 17 est \$12,155,508; and FY 18 est \$11,687,021 - Project Grants: FY16 \$12,315,966; FY17 \$12,155,508; FY18 \$11,687,021.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-8151.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Funding from the program was used in an innovative partnership with the Disney Corporation to increase fishing and boating participation nationwide. The program is working to expand pilot projects with state fish and wildlife agencies that seek to recruit, retain and reactivate anglers. The program is also working to develop outreach tools that government conservation agencies can use to increase their conservation messaging to the public and other stakeholders. Fiscal Year 2017: Program funding will continue to be used to develop and expand innovative programs that seek to increase participation by minorities in fishing and boating. A major focus of the funds will be focused on components of the 60 million anglers in 60 months initiative that is attempting to increase fishing participation by 15 million anglers in 5 years. Fiscal Year 2018: Programs funds will continue to be used to implement strategies and efforts that seek to increase fishing and boating participation. Specific examples are likely to include R3 programs (recruitment, retention and reactivation) that focus on anglers and boaters.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines and literature on the U.S. Fish and Wildlife Service programs is available at: <http://www.fws.gov> and <http://www.fws.gov/contracts/index.html>. Federal financial assistance regulations and relevant OMB circulars are located at <http://www.whitehouse.gov/omb/circulars/index.html>, https://edit.doi.gov/pam/programs/financial_assistance/award.

Regional or Local Office:

None.

Headquarters Office:

National Outreach and Communication Program Coordinator U.S. Fish and Wildlife Service, 5275 Leesburg Pike, Mailstop FAC, Falls Church, Virginia 22041-3803 Email: Brian_Bohnsack@fws.gov Phone: (703) 358-2435

Website Address:

<https://www.fws.gov/fisheries/>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.616 Clean Vessel Act; 15.622 Sportfishing and Boating Safety Act; 15.628 Multistate Conservation Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: A single cooperative agreement was funded from this program. The recipient used program funds to expand efforts to attract minorities and other under-represented groups (e.g., women) to fishing and boating. An example of this includes the VamosAPescar program that seeks to increase participation by Hispanics into fishing and boating. In addition, the recipient continues to maintain a national web site (TakeMeFishing.org) that provides the public with a wide range of information needed for fishing and boating. The recipient is also working with state and federal conservation agencies to test pilot projects that seek to recruit, retain, and reactivate individuals into fishing and boating while instilling a conservation ethic into the public. Fiscal Year 2017: A single cooperative agreement is being funded from this program. The recipient is expected to maintain a national web site that provides information needed to go fishing and boating. The recipient is continuing innovative programs that seek to increase fishing and boating participation by minorities and other underrepresented groups, such as a partnership with the Disney Corporation. Fiscal Year 2018: The program has not yet selected projects for funding. The program anticipates funding projects that implement different strategies designed to increase fishing and boating participation through activities such as R3 programs, outreach and education.

CRITERIA FOR SELECTING PROPOSALS:

Each grant application will be reviewed for documentation required in the Program Guidelines and Application Kit.

15.654 NATIONAL WILDLIFE REFUGE SYSTEM ENHANCEMENTS

Refuges and Wildlife

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Conservation Act of 1934 (16 U.S.C. 2901-2911); Fish and Wildlife Act of 1956 (16 U.S.C. 742(a)-754); Refuge Recreation Act of 1962 (16 U.S.C. 460k-460k(4)); National Wildlife Refuge System Administration Act of 1966 (16 U.S.C. 668dd); Youth Conservation Corps Act of 1972 (16 U.S.C. 1701-1706); Archaeological Resources Protection Act of 1979 (16 U.S.C. 470aa-47011); The National Wildlife Refuge System Act of 1997 (Public Law 105-57); National Wildlife Refuge System Volunteer and Community Partnership Act of 2004 (Public Law 108-327); Federal Aid for Highways, HR 4348 (23 U.S.C. 201-204 and 206, Public Law 112-141).

OBJECTIVES:

To provide technical and financial assistance to identify, conserve, manage and enhance the ecological and physical property condition of the National Wildlife Refuge System (NWRS) resources through work on and off NWRS lands and waters.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds may be used for approved activities that support the objectives of the National Wildlife Refuge System (NWRS). For further information, please contact regional office. 10%.

Applicant Eligibility:

Applicants may be State and local governments, private, public, nonprofit organizations, institutions and private individuals, which support the mission of the Service.

Beneficiary Eligibility:

State and local governments; private and public nonprofit organizations; other private and public organizations; and private individuals who support the mission of the Service.

Credentials/Documentation:

43 CFR Part 12 establishes requirements for grants awarded by agencies of the U.S. Department of the Interior. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. At a minimum, applicant must complete the Standard Form (SF)-424, and the appropriate Budget and Assurances forms (SF-424A and SF-424B Non-construction; or SF-424C and SF-424D Construction).

Award Procedure:

Regional or HQ office chiefs or designees will approve/disapprove proposed projects. Appropriate Refuge System offices are responsible for notification of grant approval to the grantee by return of a completed agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30-60 days. Target is approximately 45 working days after receipt of proposal/application, dependent upon the complexity of the agreement.

Appeals:

Contact the headquarters or regional office.

Renewals:

Projects may be renewed as needed, if justified and depending on the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: No matching is required; however, matching funds

are encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The release of assistance funds may vary depending upon the recipient. Regional Office staff can explain procedures for requiring either lump sum or quarterly payment methods. See the following for information on how assistance is awarded/released: The release of assistance funds may vary depending upon the recipient. Regional Office staff can explain procedures for requiring either lump sum or quarterly payment methods.

Reports:

No program reports are required. No cash reports are required. The recipient shall submit progress and financial reports as specified in the award. At a minimum, the recipient shall submit an SF-425, Federal Financial Report within 90 days of the one year anniversary date of the award. A final SF-425 is due within 90 days of the end of the award period of performance. An interim performance progress report summarizing accomplishments and including an explanation of any milestones not accomplished may be required and is to be submitted within 90 days of the one year anniversary date of the award. A final performance progress report is required and is due within 90 days of the end of the award period of performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$1,700,000; FY 17 est \$1,800,000; and FY 18 est \$1,900,000 - Cooperative Agreements: FY16 \$1,700,000; FY17 \$1,800,000; FY18 \$1,900,000.

Range and Average of Financial Assistance:

\$1,000 to \$1,000,000, or greater.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program successfully enhanced a number of key partnerships with Refuge System cooperators, including Friends organizations, youth service corps, and other NGOs. The work helped the Service be more effective with engaging the visiting public, improve our facilities, and develop programs to inventory and protect important habitat on our lands and waters. Fiscal Year 2017: Estimated 200 awards. Fiscal Year 2018: Estimated 200 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cooperative agreements/project grants are administered in conformance with the U.S. Fish and Wildlife Service Administrative Service Manual and 2 CFR 200.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Please visit www.fws.gov/refuges to locate the Regional and Local Office contacts.

Headquarters Office:

Chief, National Wildlife Refuge System U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike, MS: NWRS, Falls Church, Virginia 22041-3803 Phone: (703) 358-1744 Fax: (703) 358-2248.

Website Address:

<http://www.fws.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Through this program, we supported partnerships with non-governmental organizations on a variety of work. These included conservation work involving inventory and protection of important habitat supporting monarchs and pollinators; support for visitor, education and other public programs on national wildlife refuges involving Friends organizations; and improving visitor facilities and access with the support of youth conservation crews. Fiscal Year 2017: We will continue these types of partnerships and address other needs supporting wilderness stewardship and the control of invasive species with the support of youth conservation crew and other partners. Fiscal Year 2018: Projects will continue to support the same partnerships with non-governmental organizations; conservation work involving inventory and protection of important habitat; support for visitor, education and other public programs; and improvement of visitor facilities and access.

CRITERIA FOR SELECTING PROPOSALS:

Program specific criteria is specified in the announcements of the individual financial assistance programs.

15.655 MIGRATORY BIRD MONITORING, ASSESSMENT AND CONSERVATION

(Migratory Bird)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-754; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-667(e); Fish and Wildlife Conservation Act, 16 U.S.C. 2901-2911; Migratory Bird Treaty Act, 16 U.S.C. 709a.

OBJECTIVES:

Working with others to conserve, enhance, and better understand the ecology and habitats of migratory bird species.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants and payments may be used for the conservation of any bird species protected by the Migratory Bird Treaty Act. Special emphasis will be placed on bird species listed in USFWS conservation and management priorities documents (e.g. USFWS Birds of Conservation Concern 2008, Focal Species list). Implementing national, regional, flyway Bird Conservation Region, and state-level bird conservation plans (e.g., Partners in Flight North American Landbird Conservation (<http://www.partnersinflight.org>), U.S. Shorebird Conservation Plan (<https://www.fws.gov/birds/management/bird-management-plans/the-us-shorebird-conservation-plan.php>) North American Waterbird Conservation Plan (<https://www.fws.gov/birds/management/bird-management-plans/waterbird-conservation-for-the-americas.php>) are among the Service's highest priorities. Projects related to the priorities identified in these plans will receive the greatest funding consideration. Projects should address one or more of the following activities: a) Population surveys and monitoring to determine the health, status, trends, and distribution of bird species and groups of concern. Emphasis will be placed on landscape-level applications of standardized inventory and monitoring protocols (e.g., Breeding Bird Atlases, national marshbird call playback surveys). Local-scale monitoring (e.g., local land management units) activities will generally not be a focus of this program; b) Applied research, including but not limited to, studies of habitat requirements, limiting factors, and population responses to habitat conservation activities; development of new monitoring techniques and programs for poorly-surveyed species; use of Geographic Information Systems, bird habitat modeling, and similar tools to identify bird habitat focus areas and further bird conservation planning efforts; and investigation of avian mortality events and monitoring of health and disease problems; c) Compilation of technical information, such as status reviews of

bird species of concern, development of habitat management and restoration guidelines, disseminating updates and providing training about current and emerging diseases which impact migratory bird populations, and other outreach and education programs including programs structured towards youth and connecting people with nature. Habitat management projects are generally not covered under this program. For further information, please contact the regional office. Approximately 15% of program funding may be available depending on annual appropriations and program priorities.

Applicant Eligibility:

Federal; Interstate; Intrastate; State; Local; including Tribal Government; Public Nonprofit Institution/Organization; Other Public Institution/Organization; Federally Recognized Tribal Government; U.S. Territory or Possession; Institutions of Higher Education including Public Private, State College, University, Junior, and Community College; Individual/Family; Specialized Group; Small Business; Profit Organization; Private Nonprofit Institution/Organization; Quasi-Public Nonprofit Institution/Organization; Other Private Institution/Organization; or Native American Organization.

Beneficiary Eligibility:

Federal; Interstate; Intrastate; State; Local; including Tribal Government; Public Nonprofit Institution/Organization; Other Public Institution/Organization; Federally Recognized Tribal Government; U.S. Territory or Possession; Institutions of Higher Education including Public Private, State College, University, Junior, and Community College; Individual/Family; Specialized Group; Small Business; Profit Organization; Private Nonprofit Institution/Organization; Quasi-Public Nonprofit Institution/Organization; Other Private Institution/Organization.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not required. Environmental impact information may be required and will be specified in program funding opportunity postings on Grants.gov. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Projects and informal or formal proposals may be requested by the Regional or National Migratory Bird Program office directly from appropriate eligible applicants. These funding opportunities will be posted at www.grants.gov and will clearly outline the required forms or supplements (e.g. SF-424, full budget, etc.). Unsolicited project proposals from eligible applicants may also be submitted for consideration to Regional or National offices. Projects may also be mutually developed by the Regional or National office and appropriate eligible applicants. All projects contain mutually agreed upon deliverables and funding. Applicant must complete the Standard Form (SF)-424, and the appropriate Budget Assurances forms (SF-424A and SF 424B - Non-construction; or SF-424C and SF-424D - Construction).

Award Procedure:

The Migratory Bird Program Chief reviews and approves conservation projects based on proposals meeting the objectives. Once a proposal is accepted and mutually agreed upon deliverables and funding are approved, a formal agreement is written. Upon signing both parties, work can begin.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Decisions on funding a proposal are usually made no later than 180 days after receipt of the proposal. However, funding opportunities may present themselves at a later opportunity at which time projects may be reconsidered.

Appeals:

Not Applicable.

Renewals:

An award may be modified at the discretion of the issuing Regional or National

office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance of awards will vary. The time phasing of assistance will vary based on timing of appropriation and funding opportunity posting dates and deadlines. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, or as otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. All projects and activities are monitored for progress and for compliance with award scope of work. The recipient shall submit progress and financial reports as specified in the award. At a minimum, a performance progress report summarizing accomplishments and including an explanation of any milestones not accomplished is to be submitted within 90 days of the one year anniversary date of the award. A final performance progress report is due within 90 days of the end of the award period of performance. All project reports may be evaluated and reviewed by project supervisor and his or her appointees, including but not limited to outside sources such as other appropriate federal; staff at national and regional levels, state and local government employees, and other individuals with project area expertise, to insure quality and fulfillment of obligation. At a minimum, the recipient shall submit an SF-425, Federal Financial Report within 90 days of the one year anniversary date of the award. A final SF-425 is due within 90 days of the end of the award period of performance. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$1,743,268; FY 17 est \$1,400,000; and FY 18 est \$1,400,000 - Project Grants (Discretionary): FY 16 \$1,743,268; FY 17 est. \$1,400,000; FY 18 est. \$1,400,000
FY16 and FY17 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

Information not available.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program continued to work with partners in the development and implementation of conservation plans that contribute to improving the health of migratory bird species and their habitats. Fiscal Year 2017: The program will continue to develop and implement conservation plans similar to prior fiscal years. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Additional information is available from

Regional and National Migratory Bird Offices as listed in Appendix IV of the Catalog.

Headquarters Office:

Chief, Migratory Bird Monitoring, Assessment and Conservation, Migratory Bird Program, U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike, MS: MB., Falls Church, Virginia 22041-3803
Phone: (703) 358-1757

Website Address:

<http://www.fws.gov/migratorybirds/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Projects supported survey and other monitoring and assessment activities to determine the status of numerous migratory bird populations. Fiscal Year 2017: Program anticipates funding projects that support bird conservation projects similar to prior fiscal years. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.656 RECOVERY ACT FUNDS - HABITAT ENHANCEMENT, RESTORATION AND IMPROVEMENT.

(ARRA)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009; Fish and Wildlife Coordination Act of 1958, 16 U.S.C 661-667; Sikes Act of 1974, as amended, 16 U.S.C 670a-670o; Alaska National Interest Lands Conservation Act, 16 U.S.C 3101; Fish and Wildlife Act of 1956, 16 U.S.C 742a-742j; Marine Mammal Protection Act of 1972, as amended, Public Law 92-522; Nonindigenous Aquatic Nuisance Prevention and Control Act of 1990, as amended, 16 U.S.C 4701-4741; Great Lakes Fish and Wildlife Restoration Act, as amended; Endangered Species Act of 1973, 16 U.S.C 1531; Partners for Fish and Wildlife Act, 16 U.S.C 3771.

OBJECTIVES:

To provide technical and financial assistance to identify, protect, conserve, manage, enhance or restore habitat or species on both public and private lands.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Assistance is provided to fund projects that improve habitat and/or any related activity necessary to accomplish this goal. Funds may be used for habitat assessments, protection and restoration of public and private land and may include alternative/renewable energy efficient projects. Funds may also be used to encourage public usage by providing access and education. Providing fish passage and stocking of fish from National and/or State Fish Hatcheries and advising on wildlife management techniques may be management tools considered. Working with interns on habitat restoration projects is acceptable. 100% of funds are discretionary.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application procedures are specified in www.grants.gov, which require, at a minimum, the submission of a project proposal along with the completed SF 424 Application for Federal Assistance.

Award Procedure:

The Regional Director of the Fish and Wildlife Service or the designee will approve or disapprove proposed projects. Regional Offices are responsible for notification of grant approval to the grantee by return of a completed agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 45 working days after receipt, dependent upon the complexity of the Cooperative Agreement.

Appeals:

None.

Renewals:

Projects may be renewed as needed, if justified and if funds are available.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: No matching is required, however, matching funds are encouraged to allow for additional restoration.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Recovery Act places restrictions on the time permitted to spend the money awarded. The Regional Office Contact Person can further explain the restrictions placed upon the time period to fully spend the money awarded under the project. See the following for information on how assistance is awarded/released: The release of assistance award funds may vary depending upon the recipient. The Regional Office Contact Person can further explain the procedures for requiring either lump sum or quarterly payment methods.

Reports:

Program reports are not applicable. Cash reports are not applicable. Projects funded under the American Recovery and Reinvestment Act of 2009 will have additional performance reporting requirements as described in the award terms and conditions, announcement packages available on www.grants.gov, and www.recovery.gov. Financial Status Report, using the Federal Financial Report (SF-425), are required for each grant or grant segment annually within 90 days after the anniversary date and/or end date of the agreement. Additional recipient reporting requirements of the Recovery Act will be identified in the agreement. A Performance Report is required for each grant or grant segment annually within 90 days after the anniversary date and/or end date of the agreement. Additional recipient reporting requirements of the Recovery Act will be identified in the agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Cost records must be maintained separately for each awarded cooperative agreement. Records, accounts and supporting documents must be retained for 3 years after submission of final financial and performance reports. If questions, such as those raised as the results of audits, remain following the 3-year period, recipients must retain records until all matters are resolved.

Account Identification:

14-1610-1-1-302 - 1409101610 TAFS, 109R Fund Code; 14-1613-1-1-302 - 1403101613 TAFS, 105R Fund Code.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$0; FY 17 est \$0; and

FY 18 est \$0 - Cooperative Agreements (Discretionary): FY16 \$0; FY17 \$0; FY18 \$0

No new funding for this program is expected. CFDA should remain active to allow Bureau to close all currently open awards and report any associated amendments to government-wide systems that require the identification of an active CFDA number as a key reporting element.

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

14-1610; 14-1613.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No new funding provided. Program remains in active status in order to close out all awards and comply with Federal CFDA reporting requirements. Fiscal Year 2017: N/A. Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Please visit www.fws.gov to locate the Regional and Local Office contacts.

Headquarters Office:

Assistant Director, Fisheries and Habitat Conservation, Fish and Wildlife Service, Department of the Interior, 1849 C Street, N.W., Washington, District of Columbia 20240 Phone: (202) 208-6394 Fax: (202) 208-4674.

Website Address:

<http://www.fws.gov>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.608 Fish and Wildlife Management Assistance; 15.630 Coastal Program; 15.631 Partners for Fish and Wildlife; 66.708 Pollution Prevention Grants Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No new awards were funded. No new funding provided. Program remains in active status in order to close out all awards and comply with Federal CFDA reporting requirements. Fiscal Year 2017: N/A. Fiscal Year 2018: N/A.

CRITERIA FOR SELECTING PROPOSALS:

Program specific criteria is specified in the announcements of the individual financial assistance programs.

15.657 ENDANGERED SPECIES CONSERVATION RECOVERY IMPLEMENTATION FUNDS

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, 16 U.S.C. 1531 et. seq, as amended; Public Law 93-205, 87 Stat. 884, Endangered Species Act Amendments of 1978, Public Law 95-632, 92 Stat. 3751, and Endangered Species Act Amendments of 1982, Public Law 97-304, 96 Stat. 1411.

OBJECTIVES:

To provide federal financial assistance to secure endangered or threatened species information, undertake restoration actions that will lead to delisting of a species; help prevent extinction of a species, or aid in the recovery of species. The purpose of the Endangered Species Act is to provide a means by which the ecosystems upon which endangered and threatened species depend may be conserved, to provide a program for the conservation of these species, and to take appropriate steps to achieve the purposes of treaties and conventions set forth in the law.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This opportunity is limited to projects carrying out actions described in a species' approved recovery plan, in the implementation schedule of a species'

approved recovery plan, actions recommended in complete 5-year status review of the species or in a spotlight species action plan, or projects documenting species' response to climate change. These are discretionary funds that are used for project purposes to help ensure the use of the best available scientific information in making management decisions. The percentage of funds set aside for discretionary activities varies each year. 100% of appropriated funds are discretionary.

Applicant Eligibility:

State and local government agencies. Institutions of higher education, including public, private state colleges and universities, nonprofits that have 501(c)(3) status with the IRS, Native American tribal organizations (other than recognized tribal governments), city, county or township governments, individuals, Native American tribal governments (federally-recognized), for-profit organizations, and small businesses.

Beneficiary Eligibility:

State and local government agencies, institutions of higher education, including public, private, state colleges and universities, nonprofits that have a 501(c)(3) status with the IRS, Native American tribal organizations (other than recognized tribal governments), city, county or township governments, individuals, Native American tribal governments (federally-recognized), for-profit organizations, and small businesses.

Credentials/Documentation:

Costs will be determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Section 12.62 (of the common rule), identifies Federal cost principles for determining allowable cost. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

FWS Regional and Field Office contacts can provide specific information on the amount of funding available, as well as Regional recovery priorities. We encourage you to contact the appropriate FWS Regional and Field Office contacts to discuss potential projects. If funding is available and the potential project addresses a high priority Regional recovery need, then the Regional contact will refer the potential applicant of the appropriate FWS Ecological Services Field Office for further coordination. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The following Federal regulations apply to this program: (1) Federal Acquisition Regulation Subpart 31.2; 2 CFR Parts 215, 220, 225, 230; 43 CFR Part 18; and 48 CFR 31. The following OMB Circulars apply to this program: OMB Circular No. A-102 and A-133. Applicant must complete the Standard Form (SF)-424, and the appropriate Budget and Assurances forms (SF-424A and SF-424B Non-construction; or SF-424C and SF-424D Construction). Applicants must also submit a project summary page, and a project description. The project description section of the application should address the evaluation factors identified in the Full Announcement posted on www.grants.gov.

Award Procedure:

Awards will be announced by August 1 of each Fiscal Year via letters sent directly to the applicants. No work may begin on a project until the appropriate legal contracting or procurement documents, and the required environmental compliance documents, have been signed by the authorized FWS official. Unsuccessful applicants will be notified either by letter, fax, email or by telephone.

Deadlines:

Jul 31, 2017 July 31, 2017.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applications are due by July 2 of each year so that the proposal selection and funding process can be completed before the end of each Fiscal Year (September 30). However, you may submit applications throughout the year. Consideration of applications will ultimately be based on the FWS budget cycle. We encourage partners (especially existing partners who already have a working relationship with local FWS Field Offices) to talk to the local

FWS Field Offices about possible project ideas and submittal timeframes.

Appeals:

Not Applicable.

Renewals:

> 180 Days. However, project applicants are not prohibited from submitting applications for consideration for subsequent funding for multi-year projects though there is no governmental guarantee that multiple years of the project will be funded.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The funding is for projects that can be completed within 1 year of the award date. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. No cash reports are required. Recipients of Recovery Implementation funding will be required to provide a written final report for each funded project detailing project results. If a project is funded for more than one year, the recipient will be required to provide a written, annual report at the end of each year detailing the status of each project, in addition to the final report when the project is completed. In general, OMB Circulars A-102, Sections 40 and 41, and 2 CFR 215 specify requirements for both financial and program performance reporting. A performance report is due annually within 90 days of the anniversary of the start date of the funding award and a final report is due 90 days after the funding expiration. Award recipients may be required to complete the following financial form: Standard Form (SF)-425, Federal Financial Report. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 2 CFR 200 and 2 CFR Part 170.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be maintained for 3 years following the submission of the final expenditure report or until final action has been taken on any litigation, claim or audit finding.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - B-PROJECT GRANTS (Discretionary) FY16 \$0; FY17 est \$0 ; FY18 est \$0 FY17 and FY18 funding are estimates based on previous years funding only.

This program uses U.S. Fish and Wildlife Service Regional and Field Office discretionary funding, if available. The amount of actual funding available in each Regional and Field Office will vary from year to year. Funding may not be available every year in each Regional or Field Office. If funding is available, it may be obligated on a per-project basis, rather than by fiscal year.

Range and Average of Financial Assistance:

Varies by Region.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No projects were funded. Fiscal Year 2017: The Service does not anticipate funding projects.

FY17 and FY18 program expectations are based on prior years funding. Should funding become available, the Service intends to continue to fund conservation actions including securing scientific information about endangered or threatened species, implementing restoration actions that will lead to delisting of a species, help prevent extinction of a species, or aid in the recovery of a species. Projects that address species response to climate change will receive additional consideration. Fiscal Year 2018: The Service does not anticipate funding projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

A table lists administrative requirements that may apply.

Regional or Local Office:

See Regional Agency Offices. A list of Regional and Field Office contacts may be accessed at 15.657 posted on grants.gov.

Headquarters Office:

Chief, Ecological Services, Division of Restoration and Recovery, U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike MS: ES., Falls Church, Virginia 22041-3803 Phone: (703) 358-2171.

Website Address:

<http://www.fws.gov/endangered/recovery/index.html>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.611 Wildlife Restoration; 15.615 Cooperative Endangered Species Conservation Fund; 15.631 Partners for Fish and Wildlife

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No projects were funded. Fiscal Year 2017: Should funding become available, the U.S. Fish and Wildlife Service (Service) intends to fund projects that contribute to the recovery of Service-managed endangered and threatened species in the United States. Projects that address species response to climate change receive additional consideration. Fiscal Year 2018: Should funding become available, the U.S. Fish and Wildlife Service (Service) intends to fund projects that contribute to the recovery of Service-managed endangered and threatened species in the United States. Projects that address species response to climate change receive additional consideration.

CRITERIA FOR SELECTING PROPOSALS:

This opportunity is limited to projects carrying out actions described in a species approved recovery plan, in the implementation schedule of a species approved recovery plan, actions recommended in a completed 5-year status review of the species or in a spotlight species action plan, or projects documenting species response to climate change.

15.658 NATURAL RESOURCE DAMAGE ASSESSMENT, RESTORATION AND IMPLEMENTATION

(NRDAR)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Federal Water Pollution Control Act, 33 U.S.C 1251-1387; Oil Pollution Act of 1990, Public Law 101-380; Comprehensive Environmental Response, Compensation and Liability Act, 42 U.S.C 9601, et seq.; Fish and Wildlife Act of 1956, 16 U.S.C 742a-742j.

OBJECTIVES:

To restore natural resources injured by oil spills or hazardous substance releases. This is to be done by Natural Resource Trustees; seeking and identifying natural resources injured, determining the extent of the injuries, recovering damages from responsible parties, and planning and carrying out natural resource restoration activities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assistance is provided to individual and groups to fund assessments, implementation, recovery of damages or any related restoration activity necessary to meet the intent of the Natural Resource Damage Assessment and

Restoration program. The percentage of the NRDAR discretionary funds available for grants and cooperative agreements may range from 0 100% depending on the settlement agreement and the disbursement of the funds outlined in the agreement.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Not applicable. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B - Non-construction; or SF 424C and SF 424D - Construction) Application for Federal Assistance.

Award Procedure:

The Regional Director of the Fish and Wildlife Service or his or her designee approves or disapproves of proposed projects. Regional Offices are responsible for notifying the grantee of grant approval by the return of a completed agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 45 working days after receipt, dependent upon the complexity of the agreement.

Appeals:

Not Applicable.

Renewals:

Project may be renewed as needed, if justified and if funds are available.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: There are no matching fund requirements, however, matching funds are encouraged to allow for additional restoration. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Duration is between 1 and 5 years. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles or as otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. A performance report is required for each grant award annually within 90 days after the anniversary date. A final performance report is due within 90 days of the end of the award period of performance. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Cost records must be maintained separately for each awarded grant. Records, accounts and supporting documents must be retained for 3 years after submission of final financial and performance reports.

Account Identification:

14-1618-0-1-302; 14-1611-0-1-302; 14-5198-1-4-303 - Recovered.

Obligations:

(Project Grants (Discretionary)) FY 16 \$5,035,936; FY 17 est \$5,000,000; and FY 18 est \$5,000,000 - PROJECT GRANTS (Discretionary): FY16 \$5,035,936; FY17 \$5,000,000; FY18 \$5,000,000. FY17 and FY 18 amounts are estimates based on prior year funding levels.

Range and Average of Financial Assistance:

Projects may range from \$1,000 to \$1,000,000 or greater.

TAFS Codes:

14-5198-16; 14-1611; 14-1618-16.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Enhanced/Restored 7,701 wetland/upland/coastal/marine acres, and 347 river/shoreline/riparian/trail miles. Managed/Protected 415,592 wetland/upland/coastal/marine acres. Made available for recreational opportunities 46,811 acres and 377 river/shoreline/riparian/trail miles. A total of 69 restoration projects were completed. Of these 69 projects, 18 provided benefits to the recovery of T&E species, 49 provided benefits to migratory birds, 15 provided benefits to interjurisdictional fishes, and 3 provided benefits to marine mammals (some projects benefitted more than one trust resource category). Fiscal Year 2017: In FY16, there were a few restoration projects that resulted in an unusually large number of acres managed/protected or made available for recreational opportunities. Therefore, we anticipate FY accomplishments to include approximately 4,000 acres and 100 miles of habitat enhanced or restored, 150,000 acres and 200 miles of habitat managed or protected, and 29,000 acres and 200 miles made available for recreational opportunities. We based this estimate on the 4 year average for each category. Fiscal Year 2018: In FY16, there were a few restoration projects that resulted in an unusually large number of acres managed/protected or made available for recreational opportunities. Therefore, we anticipate FY accomplishments to include approximately 4,000 acres and 100 miles of habitat enhanced or restored, 150,000 acres and 200 miles of habitat managed or protected, and 29,000 acres and 200 miles made available for recreational opportunities. We based this estimate on the 4 year average for each category.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Please see www.fws.gov to locate Regional and Local Office Contacts.

Headquarters Office:

Chief, Branch of Environmental Response and Restoration U.S. Fish and Wildlife Service, 5275 Leesburg Pike, MS: ES, Falls Church, Virginia 22041-3803 Phone: (703) 358-2171

Website Address:

<https://www.fws.gov/ecological-services/habitat-conservation/NRDA.html>

RELATED PROGRAMS:

15.236 Environmental Quality and Protection Resource Management; 66.309 Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice; 66.708 Pollution Prevention Grants Program; 66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements; 66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Santa Clara River Trustee Council, comprised of the U.S. Fish and Wildlife Service and the California Department of Fish and Wildlife, has used funds for restoration projects targeted at improving migratory bird habitat along the Santa Clara River. One primary focus for these habitat restoration projects is to remove or control the spread of the invasive and non-native plant, the giant reed (*Arundo donax*). In FY16, the multi-year giant reed removal efforts continued by targeting two key habitat segments along the Santa Clara River, of approximately 200 acres each. Removal efforts included both mechanical and hand clearing techniques. These projects will improve habitat for the endangered least bell's vireo (*Vireo bellii pusillus*), the endangered southwestern willow flycatcher (*Empidonax traillii extimus*), and the threatened yellow-billed cuckoo (*Coccyzus americanus*).

Jasper County, Missouri is part of the larger Tri-State Mining District where decades of mining activity has left legacy contamination. One primary focus of restoration projects in the Tri-State Mining District is to restore habitat and habitat quality for the benefit of migratory birds, which were injured from the legacy mining contamination. In FY16, approximately 87 acres of poor quality uplands, which were acquired from willing sellers, were restored by mowing, burning, and spraying exotic weeds, and then the area was seeded with native tallgrass prairie species. In addition to the upland restoration, approximately 2 miles of the riparian corridor along Bens Branch, a stream that flows through the restored area, have been restored by planting native shrubs and wildflowers. Finally, approximately 230 additional acres adjacent to the recently restored areas were acquired and protected with a conservation easement; they will be restored to higher quality habitat in the near future. All of these recent and future restoration activities will create more suitable habitat for migratory birds.

In FY16, an aquatic passage restoration project was completed using funding from a Natural Resource Damage Assessment and Restoration settlement with Enbridge as a result of their 2010 pipeline oil spill along and into the Kalamazoo River in Michigan. An old culvert system running under the E. Drive crossing of Pigeon Creek, a tributary of the Kalamazoo River, consisted of a stacked five-culvert system that inhibited the passage of aquatic organisms and did not adequately accommodate seasonal floods associated with storm events. The Calhoun County Conservation District replaced the previously inadequate road-crossing structure with a single bottomless culvert with a natural cobble substrate to facilitate fish passage and use by other aquatic organisms. To further improve fish passage, an in-stream rock ramp was incorporated to dissipate the force of water flowing through the culvert. Within a week of completion, fish were observed moving through the new culvert system. This restoration project provided direct benefits to 6.36 miles of stream, including 9.3 acres of benthic habitat, and provided fish passage through 80.7 miles of stream. Fiscal Year 2017: FY17 and FY18: We have not selected projects for funding. Project selection occurs on a local or regional basis and can occur throughout the FY. We anticipate funding projects to restore trust species and their habitats to compensate for injuries from hazardous substance releases and oil discharges. Fiscal Year 2018: We have not selected projects for funding. Project selection occurs on a local or regional basis and can occur throughout the FY. We anticipate funding projects to restore trust species and their habitats to compensate for injuries from hazardous substance releases and oil discharges.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for individual proposals are set by the local and Regional office that is advertising the project opportunity. Criteria are based on the Natural Resource Damage Assessment and Restoration case-specific needs.

15.659 NATIONAL WILDLIFE REFUGE FUND

Refuge Revenue Sharing

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Refuge Revenue Sharing Act, Public Law 88-523, 78 Stat. 701, 16 U.S.C 715s; Refuge Revenue Sharing Act of 1978, Public Law 95-469, 92 Stat. 1319.

OBJECTIVES:

The USFWS makes revenue sharing payments to counties for the lands that are

administered in fee.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Refuge Revenue Sharing funding can be used by localities for any purpose by the receiving unit of government.

Applicant Eligibility:

No functional Application/Unlimited Application of any local unit of government where fee owned NWRS lands are located automatically receive payments based on legislated formulas.

Beneficiary Eligibility:

No functional Application/Unlimited Application of any local unit of government where fee owned NWRS lands are located automatically receive payments based on legislated formulas.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Not Applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Payments under the Act are made on the basis of: (a)

3/4 of 1 percent of the market value based on the appraised value of the land conducted every 5 years; or (b) 25 percent of the net receipts; or (c) 75 cents per acre. Payment of 25 percent of the net receipts is made to counties for public domain land that was never on the tax rolls.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, or as otherwise prescribed in program-specific legislation or special award terms.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention

requirements in the notice of award.

Account Identification:

14-1691-0-1-806; 14-5091-0-2-806.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$6,238,052; FY 17 est \$9,015,205; and FY 18 est \$5,566,000 - D - Direct Payments with Unrestricted Use (Mandatory)

14-5091: FY16 \$6,238,052; FY17 \$9,015,205; FY18 \$5,566,000. (Direct Payments with Unrestricted Use) FY 16 \$13,228,000; FY 17 est \$0; and FY 18 est \$0 - D- Direct payments with Unrestricted Use (Mandatory)

14-1691: FY16 \$13,228,000 FY17 TBD; FY18 TBD.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-5091; 14-1691.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not Applicable. Fiscal Year 2017: Not Applicable. Fiscal Year 2018: Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Eric Alvarez, U.S. Fish and Wildlife Service, Department of the Interior, MS: NWRS, 5275 Leesburg Pike, Falls Church, Virginia 22041-3803 Email: a_eric_alvarez@fws.gov Phone: (703) 358-1713 Fax: (703) 358-2223.

Website Address:

<http://www.fws.gov/refuges/realty/rfs.html>

RELATED PROGRAMS:

15.226 Payments in Lieu of Taxes

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The localities receiving Refuge Revenue Sharing payments use those funds for any purposes that they deem necessary. Fiscal Year 2017: The localities receiving Refuge Revenue Sharing payments use those funds for any purposes that they deem necessary. Fiscal Year 2018: The localities receiving Refuge Revenue Sharing payments use those funds for any purposes that they deem necessary.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.660 ENDANGERED SPECIES - CANDIDATE CONSERVATION ACTION FUNDS

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, as amended., Public Law 93-205, 87 Stat. 884, 16 U.S.C 1531 et. seq.; Land and Water Conservation Fund Act of 1965, 16 U.S.C 4601.

OBJECTIVES:

The objective is to secure candidate and other at-risk species information or undertake restoration actions that will help avert federal listing of species. The purpose is to provide a means by which the ecosystems upon which candidate and at-risk species depend may be conserved, to provide a program for the conservation of these species.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The assistance can be used for Candidate Conservation. Funding maybe used for actions related to: (1) the development of scientific information that is useful in supporting candidate assessments, conservation actions and candidate

conservation agreements; (2) reduce threats to a candidate and other high priority species, such as propagation of imperiled freshwater mussels; or (3) conservation of candidate species, such as biological field work to conduct species surveys; or (4) in a spotlight species action plan, or projects documenting species' response to climate change. These are discretionary funds that are used for project purposes to help ensure the use of the best available scientific information in making management decisions. The percentage of funds set aside for discretionary activities varies each year. 100% of appropriated funds are set aside for discretionary funding.

Applicant Eligibility:

Natural Resources.

Beneficiary Eligibility:

Anyone/general public.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

FWS Regional Field Office contacts can provide specific information on the amount of funding available, as well as Regional recovery priorities. We encourage you to contact the appropriate FWS Regional and Field Office contacts to discuss potential projects. If funding is available and the potential project address a high priority Regional candidate conservation need, then the Regional contact will refer the potential applicant of the appropriate FWS Ecological Services Field Office for further coordination. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The following Federal regulations apply to this program: (1) Federal Acquisition Regulation Subpart 31.2; 2 CFR Parts 215, 220, 225, 230; 43 CFR Part 18; and 48 CFR 31. The following OMB Circulars apply to this program: OMB Circular No. A-102 and A-133. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances Forms (SF 424A and SF 424B - Non-construction; or SF 424C and SF 424D - Construction). Applicants must also submit a project summary page, and a project description. The project description section of the application should address the evaluation factors identified in the Full Announcement posted on www.grants.gov.

Award Procedure:

Awards will be announced by August 1 of each Fiscal year via letters sent directly to the applicants. No work may begin on a project until the appropriate legal contracting or procurement documents, and the required environmental compliance documents, have been signed by the authorized FWS official. Unsuccessful applicants will be notified either by letter, fax, email or by telephone.

Deadlines:

Jul 31, 2017 July 31, 2017.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

None, however project applicants are not prohibited from submitting applications for consideration for subsequent funding for multi-year projects though there is no governmental guarantee that multiple years of the project will be funded.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The funding is for projects that can be completed within one year of the date of award. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in

accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients of Candidate Conservation Action funding will be required to provide a written final report for each funded project detailing project results. If a project is funded for more than one year, the recipient will be required to provide a written, annual report at the end of each year detailing the status of each project, in addition to the final report when the project is completed. In general, OMB circulars A-102 40 and 41, and 2 CFR 215 specify requirements for both financial and program performance reporting. A performance report is due annually within 90 days of the anniversary of the start date of the funding award and a final report is due 90 days after the funding expiration. Funding recipients may be required to complete the following financial form: SF-425, Federal Financial Report. A performance report is due annually within 90 days of the anniversary of the start date of the funding award and a final report is due 90 days after the funding expiration.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be maintained for 3 years following the submission of the final expenditure report or until final action has been taken on any litigation, claim or audit finding.

Account Identification:

14-1611-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$520,142; FY 17 est \$450,000; and FY 18 est \$450,000 - PROJECT GRANTS (Discretionary) FY16: \$ 520,142; FY17: est \$450,000; FY18: est \$450,000

This funding opportunity uses U.S. Fish and Wildlife Service Regional and Field Office discretionary funding, if available. The amount of actual funding available in each Regional and Field Office will vary from year to year. Funding may not be available every year in each Regional or Field Office. If funding is available, it may be obligated on a per-project basis, rather than by fiscal year.

Range and Average of Financial Assistance:

Varies by Region.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Program issued 15 awards in six states. Fiscal Year 2017: The Program expects to issue 13 awards. Fiscal Year 2018: The Program expects to issue 13 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

A table lists administrative requirements that may apply.

Regional or Local Office:

See Regional Agency Offices. A list of Regional and Field Office contacts may be accessed at 15.660 posted on grants.gov.

Headquarters Office:

Chief, Ecological Services, Branch of Communications and Candidate Conservation U.S. Fish and Wildlife Service, 5275 Leesburg Pike, MS: ES, , Falls Church, Virginia 22041-3803 Phone: (703) 358-2171.

Website Address:

No Data Available

RELATED PROGRAMS:

15.615 Cooperative Endangered Species Conservation Fund; 15.631 Partners

for Fish and Wildlife

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: An award of \$80,000 was used to support the second phase of the fisher recovery process in Washington State. The reintroduction project will involve aerial-telemetry and ground-telemetry tracking of released fishers to monitor location, survival status, and movements. Fiscal Year 2017: The program anticipates funding projects similar to FY16 that secure candidate and other at-risk species information and that undertake restoration actions which help avert Federal listing of species. Fiscal Year 2018: The Program anticipates funding projects similar to FY16 that secure candidate and other at-risk species information and that undertake restoration actions which help avert Federal listing of species.

CRITERIA FOR SELECTING PROPOSALS:

This opportunity is limited to projects carrying out actions for candidate species describe in annual assessment; or for at-risk species in a conservation plan or strategy; or in a spotlight species action plan; or projects documenting species' response to climate change.

15.661 LOWER SNAKE RIVER COMPENSATION PLAN

(LSRCP)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Water Resources Development Act of 1976, Public Law 94-587; Fish and Wildlife Coordination Act, 16 U.S.C 661-666.

OBJECTIVES:

To mitigate for the losses of fish and wildlife caused by the construction of four hydroelectric dams on the lower Snake River by the U.S. Army Corps of Engineers.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

To operate and maintain LSRCP authorized fish hatcheries owned by the U.S. Fish and Wildlife Service, to evaluate survival and harvest of fish released from LSRCP hatcheries, to assess impacts of LSRCP hatcheries and fish released on Endangered Species Act (ESA) listed species and to coordinate LSRCP programs with other fish hatchery and research programs in the Columbia Basin. Non-LSRCP activities at a Service owned facility must have written approval. 100% of funding is discretionary.

Applicant Eligibility:

56 - Natural Resources (mineral, water, wildlife, land).

Beneficiary Eligibility:

39 - Anyone/general public; 49 - Farmer/Rancher/Agriculture Producer.

Credentials/Documentation:

Applicants must have legal authority to operate fish hatcheries and release fish in the states of Oregon, Washington and Idaho and demonstrated competence to operate fish hatcheries and conduct scientific research. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application package must include an SF 424 Application for Federal Assistance, a statement of work or production summary, and an estimated budget.

Award Procedure:

Applications are evaluated by the LSRCP Program Administrator based on authorizing legislation and program goals. The Assistant Regional Director for Fisheries in the Specific Region approves all cooperative agreements.

Deadlines:

Jun 01, 2017 June 1, 2017.

Range of Approval/Disapproval Time:

Applications must be submitted by June 1 each year. Applicant will be notified no later than July 31.

Appeals:

Not Applicable.

Renewals:

Must be submitted each year by June 1.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The LSRCP projects are approved annually. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, or as otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. A final performance report is required 90 calendar days from the end date of the award. No interim SF-425, Federal Financial Reports are required. A final SF-425, Federal Financial Report is required 90 days from the end date of the award. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 2 CFR 200 and 2 CFR Part 170.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All files will be maintained for 3 years after the completion of the project and submission of the final financial and performance reports.

Account Identification:

14-1611-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$32,303,000; FY 17 est \$32,949,000; and FY 18 est \$33,483,000 - Cooperative Agreements (Discretionary Grants): FY16 \$32,303,000; FY17 \$32,949,000; FY18 estimate \$33,483,000.

Range and Average of Financial Assistance:

Range \$274,268 to \$5,609,594.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fish hatcheries released fish into the Snake River Basin, Recreational, tribal or commercial fisherman harvest tracking to confirm if they are returning adult salmon and steelhead releases from LSRCP hatcheries in Southeast Alaska, British Columbia, the Columbia River and Snake River. Rainbow trout produced by LSRCP hatcheries to be harvested in lakes, rivers and ponds in Idaho and Washington. Fiscal Year 2017: The program anticipates receiving 11 applications and issuing 11 awards to accomplish the same activities in FY16. Fiscal Year 2018: Anticipate receiving 11 applications and issuing 11 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is operated under the authority of the Water Resources Development Act of 1976. Reports and publications are published on the web at <http://www.fws.gov/snakecomplan>.

Regional or Local Office:

See Regional Agency Offices. All inquiries should be directed to the local program office. Local Office: U.S. Fish and Wildlife Service, Lower Snake River Compensation Plan Office, 1387 S. Vinnell Way, Suite 343, Boise, ID 83709, (208)378-5321.

Headquarters Office:

FWS Regional Office, U.S. Fish and Wildlife Service, 911 NE 11th Avenue, Portland, Oregon 97232-4181 Phone: (503)231-2763

Website Address:

<http://www.fws.gov/lsnakecomplan>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.608 Fish and Wildlife Management Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In the state of Idaho, will operate and maintain Clearwater, McCall, Magic Valley and Sawtooth fish hatcheries along with associated satellite facilities to rear spring/summer Chinook salmon and steelhead to return a sufficient number of fish above Lower Granite Dam annually. In the state of Oregon, will operate and maintain Irrigon, Lookingglass and Wallowa fish hatcheries along with associated satellite facilities to rear fall Chinook salmon with the goal of rearing sufficient numbers of fish to return to the Grande Ronde and Imnaha Basins. Monitor and evaluate the quality and release of hatchery spring and fall Chinook salmon and summer steelhead produced at Lyons Ferry fish hatchery complex in the state of Washington. Projects supported operation and maintenance of fish hatcheries, juvenile salmon and steelhead release programs, and monitoring and evaluation of survival and harvest rates. Fiscal Year 2017: Projects will support operation and maintenance of fish hatcheries, juvenile salmon and steelhead release programs, and monitoring and evaluation of survival and harvest rates at the fish hatcheries mentioned in FY 16. Fiscal Year 2018: Projects will support operation and maintenance of fish hatcheries, juvenile salmon and steelhead release programs, and monitoring and evaluation of survival and harvest rates at the fish hatcheries mentioned in FY 16.

CRITERIA FOR SELECTING PROPOSALS:

Past program performance which demonstrated competency to fulfill program objectives.

15.662 GREAT LAKES RESTORATION

Great Lakes Restoration Initiative; Great Lakes Restoration Program

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Anadromous Fish Conservation Act (16 U.S.C. 757a); Bald Eagle Protection Act (16 U.S.C. 668); Coastal Wetlands Planning, Protection and Restoration Act (16 U.S.C. 3951); Clean Water Act (33 U.S.C. 1254(b)(3)); Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 103); Emergency Wetlands Resources Act (16 U.S.C. 3901); Endangered Species Act (16 U.S.C. 1561); Fish and Wildlife Act (16 U.S.C. 742a); Fish and Wildlife Conservation Act (16 U.S.C. 2901); Fish and Wildlife Coordination Act (16 U.S.C. 661) Great Lakes Fish and Wildlife Restoration Act (Public Law 109-326); Great Lakes Fishery Act (16 U.S.C. 931); Lacey Act (16 U.S.C. 3371-3378); Migratory Bird Treaty Act (16 U.S.C. 703); Non-indigenous Aquatic Nuisance Prevention and Control Act (16 U.S.C. 4701); North American Wetlands Conservation Act (16 U.S.C. 4401); Partners for Fish and Wildlife Act (16 U.S.C. 3771); Water Infrastructure Improvements for the Nation (WIIN) Act, P.L. No:114-322, Sec. 5005.

OBJECTIVES:

To provide technical and financial assistance to implement the highest priority actions in order to protect and restore the Great Lakes. Priority actions are to: identify, protect, conserve, manage, enhance or restore habitat or species on both public and private lands within the Great Lakes Basin.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This funding, in conjunction with other Great Lakes federal agency funding

opportunities under the Great Lakes Restoration Program, will be used to address the most significant Great Lakes Basin ecosystem problems. Efforts will be made in five major focus areas:

Toxic Substances and Areas of Concern, including pollution prevention and cleanup of the most polluted areas in the Great Lakes.

Invasive Species, including efforts to institute a zero tolerance policy toward new invasions.

Nearshore Health and Nonpoint Source Pollution, including a targeted geographic focus on high priority watersheds and reducing polluted runoff from urban, suburban and agricultural sources.

Habitat and Wildlife Protection and Restoration, including comprehensive assessment of Great Lakes coastal wetlands for the purpose of strategically targeting restoration and protection efforts in a science-based manner.

Accountability, Education, Monitoring, Evaluation, Communication and Partnerships, including the implementation of goal- and results-based accountability measures, learning initiatives, outreach and strategic partnerships. 100% of funding is discretionary.

Applicant Eligibility:

Program-specific.

Beneficiary Eligibility:

Program-specific.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is program-specific. Refer to Application Procedure (092) and Award Procedure (093) sections.

Some programs may require an environmental impact statement. Refer to Application Procedure (092) and Award Procedure (093) sections.

Some programs may require an environmental impact statement. Refer to Application Procedure (092) and Award Procedure (093) sections. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application procedures are specified on www.grants.gov. At minimum, the applicant must submit a project proposal along with the completed SF 424 Application for Federal Assistance.

An applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction).

Award Procedure:

Program requirements are specified in the announcements of the individual financial assistance programs. More information on Great Lakes Restoration Initiative programs is available at <http://www.fws.gov/glri/>.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact the USFWS Midwest Regional Office for program application deadlines.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Program requirements are specified in the announcements of the individual financial assistance programs. More information on Great Lakes Restoration Initiative programs is available at

<http://www.fws.gov/gli>.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Great Lakes Restoration Initiative places restrictions on the time permitted to spend the funds awarded. The Regional Office Contact Person can further explain the restrictions placed on the time period in which to fully expend the funds awarded under the project. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, or as otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. Projects funded under the Great Lakes Restoration Initiative will have performance and financial reporting requirements as described in the award terms and conditions and announcement packages available on www.grants.gov and www.greatlakesrestoration.us. At a minimum, the recipient is required to submit a final SF-425, Federal Financial Report within 90 days of the end of the award period of performance. Projects funded under the Great Lakes Restoration Initiative will have additional performance reporting requirements as described in the award terms and conditions; and announcement packages available on www.grants.gov and www.greatlakesrestoration.us.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Cost records must be maintained separately for each awarded cooperative agreement. Records, accounts and supporting documents must be retained for 3 years after submission of final financial and performance reports. If questions, such as those raised as the results of audits, remain following the 3-year period, recipients must retain records until all matters are resolved.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$48,117,569; FY 17 est \$36,563,868; and FY 18 est \$36,563,868 - PROJECT GRANTS (Discretionary): FY16 \$48,117,569 FY17 est \$36,563,868 FY18 est \$36,563,868.

Range and Average of Financial Assistance:

Projects may range from \$1,000 to \$1,000,000, or greater.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: More than 60 competitive grants and cooperative agreements awarded to non-federal partners under various sub programs. Fiscal Year 2017: Projects not yet selected for funding. Programs anticipate continuing to fund projects to address aquatic invasive species, nearshore health, environmental contaminants and protection and restoration of fish, wildlife, and their habitats in the Great Lakes. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Please visit <http://www.fws.gov/gli> for appropriate Regional Office Great Lakes program contacts, or visit www.fws.gov/midwest.

Headquarters Office:

U.S. Fish and Wildlife Service Department of the Interior, 1849 C Street, N.W., Washington, District of Columbia 20240 Phone: 202-208-6394 Fax: 202-208-4674

Website Address:

<http://www.fws.gov/gli>

RELATED PROGRAMS:

15.608 Fish and Wildlife Management Assistance; 15.630 Coastal Program; 15.631 Partners for Fish and Wildlife

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Restoration, protection and enhancement of coastal and wetland habitat for migratory birds and nearshore aquatic species; Restoration of riverine habitats to improve aquatic habitat connectivity for fish and other aquatic organisms; Prevention, early detection and control of invasive species such as Asian carps; Restoration of native aquatic species, such as Lake Trout and Lake Sturgeon, to self-sustaining levels. Fiscal Year 2017: Program has not yet awarded FY17 funding. Program will support projects that contribute to the protection and restoration of fish and wildlife habitat and species in the Great Lakes Basin. Funded activities will directly address the goals and objectives identified in the Great Lakes Restoration Initiative Action Plan II, www.gli.us. Fiscal Year 2018: See FY17.

CRITERIA FOR SELECTING PROPOSALS:

Program criteria are specified in the announcements of the individual financial assistance programs.

15.663 NATIONAL FISH AND WILDLIFE FOUNDATION (NFWF)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

National Fish and Wildlife Foundation Establishment Act (16 U.S.C. 3701-3709, Public Law 98-244, March 26, 1984, 98 Stat. 107, as amended by Public Law 100-240, January 11, 1988, 101 Stat. 1785, Public Law 100-653, November 14, 1988, 102 Stat. 3834; and Public Law 103-232, 108 Stat. 336); "Fish and Wildlife Coordination Act (16 USC 661 et seq.)".

OBJECTIVES:

Created by Congress in 1984, NFWF directs public conservation dollars to the most pressing environmental needs and matches those investments with private funds. NFWFs method is simple and effective: to work with a full complement of individuals, foundations, government agencies, non-profits, and corporations to identify and fund targeted conservation challenges throughout the nation where a focused investment is likely to result in a conservation success.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To aid cost-share conservation projects to further the conservation and management of the fish, wildlife, and plant resources of the United States and its territories and possessions for present and future generations of Americans. Funds must be matched on a one-to-one basis by NFWF sub-recipients.

Applicant Eligibility:

Specified in the National Fish and Wildlife Foundation Establishment Act.

Beneficiary Eligibility:

Beneficiaries include fish, wildlife and their habitats, the American people and, where applicable, international partners, wildlife and natural resources.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: There are no matching requirements for Federal dollars transferred to NFWF. The National Fish and Wildlife Foundation Establishment Act (Public Law 98-244) requires a one-to-one (1:1) match for subrecipients.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Payments are made during the fiscal year on an annual basis. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. The U.S. Fish and Wildlife Service program manager conducts monitoring activities to track accomplishments. NFWF provides the Service with annual reports on projects as well as completed SF-425 forms (Federal Financial Report). Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 2 CFR 200 and 2 CFR Part 170.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

NFWF maintains records in accordance with regulation (i.e. three years after submission of the final financial and performance reports).

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants) FY 16 \$7,022,000; FY 17 est \$7,022,000; and FY 18 est \$7,022,000 - Project Grants: FY16 \$7,022,000; FY17 \$7,022,000; FY18 estimated \$7,022,000.

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

14-8151.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY2016, NFWF awarded \$7.022 million in support of over 95 projects benefitting our Nations fish and wildlife resources. These funds leveraged an additional \$27.38 million of non-federal funds and \$15.61 million of other federal and non-federal funds. The funds support strategic conservation efforts focused on at-risk species, habitat enhancement, and community-based stewardship. Focal areas include Alaska Fish and Wildlife Fund, Chesapeake Bay Restoration Fund, Colorado River Delta Restoration, Long Island Sound Futures Fund, Longleaf Stewardship Fund, and Sustain Our Great Lakes: Chi-Cal Rivers Fund, to name a few. Fiscal Year 2017: Program funding will continue to be used to attract non-federal resources to support fish and wildlife projects on a landscape scale. Projects will assist NFWF accomplish the broader outcomes identified in the business plans for each program. Fiscal Year 2018: Program funding will continue to be used to attract

non-federal resources to support fish and wildlife projects on a landscape scale. Projects will assist NFWF accomplish the broader outcomes identified in the business plans for each program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. N/A.

Headquarters Office:

Laury Parramore, Division of Program and Partnership Support, U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike, MS: AEA, Falls Church, Virginia 22041-3803 Phone: (703) 358-2541.

Website Address:

<http://www.nfwf.org/>

RELATED PROGRAMS:

10.683 National Fish and Wildlife Foundation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: A grant agreement was funded by this program. The National Fish and Wildlife Foundation used the program funds to issue sub-grants to recipients for their work with conserving and protecting fish and wildlife species and their habitat. Examples of projects that were supported included habitat restoration, monitoring, and community engagement. All sub-grants are awarded through a competitive process and are associated with a program-level business plan that was developed with the Service and other partners. Fiscal Year 2017: A single grant agreement is expected to be funded from this program. The National Fish and Wildlife Foundation will use the program funds to issue sub-grants to recipients for their work with conserving and protecting fish and wildlife species and their habitats. Examples of projects that are likely to be funded include habitat restoration, monitoring, invasive species eradication, and community engagement. Fiscal Year 2018: A single grant agreement is expected to be funded from this program. The National Fish and Wildlife Foundation will use the program funds to issue sub-grants to recipients for their work with conserving and protecting fish and wildlife species and their habitats. Examples of projects that are likely to be funded include habitat restoration, monitoring, invasive species eradication, and community engagement.

CRITERIA FOR SELECTING PROPOSALS:

All final proposal decisions are made by NFWFs Board of Directors per Public Law 98-244. See <http://www.nfwf.org/>.

15.664 FISH AND WILDLIFE COORDINATION AND ASSISTANCE (FWCA)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Legislative authority based on U. S. Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-666; Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a; Fish and Wildlife Conservation Act, 16 U.S.C. 2901-2911; Migratory Bird Treaty Act, (16U.S.C. 709a); Endangered Species Act of 1973, as amended (16 U.S.C. 1531-43); and/or specific Congressional action, generally through the annual Appropriations Act, i.e., The Department of the Interior, Environment, and Related Agencies Appropriations Act, that cites new or relevant environmental and/or conservation statutes and activities for a defined purpose consistent with the mission, expertise, and goals of the Agency.

OBJECTIVES:

To implement: a) legislation mandating specific conservation and/or environmental project activity(ies), including, but not limited to, financial assistance funding for special appropriations projects to a designated recipient(s); and/or b) unfunded Congressional mandates, i.e., funding limited to costs associated with actual program/project implementation, not administrative costs.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

To fulfill the intent of Congress as specified in legislation authorizing the Agency to obligate and manage financial assistance funds; special appropriations projects; and awards for purposes consistent with the mission, expertise, and goals of the Agency. 100% of funding is discretionary.

Applicant Eligibility:

Eligibility is limited to entity(ies) designated by the authorizing legislation.

Beneficiary Eligibility:

The general public benefits from the conservation and environmental efforts as identified by the authorizing legislation.

Credentials/Documentation:

Applicant(s) must have financial and internal controls systems in place to manage/segregate Federal funds and report expenditures under the terms and conditions of the award(s). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Any applicable pre-application coordination or environmental impact information requirements will be detailed in the funding opportunities posted under this program on Grants.gov (<http://www.grants.gov>). An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. At a minimum, an application package must include an Application for Federal Assistance (SF-424), a description of project activity(ies), an estimated budget, and relevant and signed Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction).

Award Procedure:

Notification of an award is the issuance of a grant or cooperative agreement document by Awarding Official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Deadlines will vary, and will be specified in the funding opportunities posted under this program on Grants.gov (<http://www.grants.gov>).

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Statutory formulas and/or matching requirements may be applicable to activities funded under this CFDA. Any formulas or matching requirements will be specified in the funding opportunities posted under this program on Grants.gov.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies by activity being funded, but typically funds are awarded for projects with a one year period of performance. See the following for information on how assistance is awarded/released: Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Financial and performance reporting frequency and other requirements will be detailed in the award terms and conditions. Interim reports will not be requested more frequently than quarterly, and no less frequently than annually. Interim reports are due 30 calendar days after the expiration of the quarter/midterm/year anniversary, as appropriate. Final reports are required within 90 calendar days from the end date of the award. Financial and performance reporting frequency

and other requirements will be detailed in the award terms and conditions.

Interim reports will not be requested more frequently than quarterly, and no less frequently than annually. Interim reports are due 30 calendar days after the expiration of the quarter/midterm/year anniversary, as appropriate. Final reports are required within 90 calendar days from the end date of the award.

Submission of payment requests using the SF-270, Request for Advance or Reimbursement (Non-Construction) or SF-271, Outlay Report and Request for Reimbursement (Construction) satisfies interim financial reporting requirements. A final SF-425, Federal Financial Report is required 90 days from the end date of the award. This form can be accessed on the Internet at <http://www.grants.gov/web/grants/forms/post-award-reporting-forms.html#sortBy=1> For additional information regarding financial reporting requirements and sanctions for noncompliance please see https://www.doi.gov/sites/doi.gov/files/migrated/pam/programs/financial_assistance/upload/Policy_regarding_Fin_Status_Reporting_Req.pdf. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200. A final performance report is required within 90 calendar days from the end date of the award. Performance reports must contain a comparison of actual accomplishments with the goals and objectives of the award as detailed in the approved scope of work, a description of reasons why established goals were not met, if appropriate, and any other pertinent information relevant to the project results.

Audits:
In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$285,860; FY 17 est \$2,500,000; and FY 18 est \$2,500,000 - Project Grants (Discretionary): FY 16 \$285,860; FY 17 \$2,500,000 est; FY 18 \$2,500,000 est

FY16 total is for obligation of new funds only. FY17 and FY18 funding levels are estimates. Actual funds available in any given fiscal year will vary.

Range and Average of Financial Assistance:

Range and average varies by program activity.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program provided support for 4 new awards. Fiscal Year 2017: The Program will continue to support initiatives of the Secretary and the Director focused on the conservation of the Nations natural resources and on improving scientific support for natural resources management. Fiscal Year 2018: No data is available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Acceptance of a Federal Financial Assistance award from the Department of the Interior (DOI) carries with it the responsibility to be aware of and comply with the terms and conditions of award per https://www.doi.gov/pam/programs/financial_assistance/TermsandConditions

Regional or Local Office:

See Regional Agency Offices. Contact Information related to each funding opportunity under this program will be stated in the funding opportunities posted on Grants.gov.

Headquarters Office:

Policy Branch, Financial Assistance Support and Oversight Division Wildlife

Sport Fish Restoration Program, 5275 Leesburg Pike, MS-WSFR., Falls Church, Virginia 22041-3803 Phone: (703)358-2701

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Examples of USFWS projects include assistance with implementation of Burned Area Rehabilitation (BAR) Plans at the Southwest Arizona National Wildlife Refuge Complex and Forest Inventory and Monitoring at Great Dismal Swamp NWR. Fiscal Year 2017: FWS will continue to assist with conservation of the Nations natural resources by providing financial assistance grants and cooperative agreements for projects and research initiatives focused on improving scientific support for natural resources management and similar to those funded in FY16. Fiscal Year 2018: No data available.

CRITERIA FOR SELECTING PROPOSALS:

As specified by the authorizing legislation or Congressional action.

15.665 NATIONAL WETLANDS INVENTORY

(NWI)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Emergency Wetlands Resources Act (EWRA) of 1986 (Public Law 99-645, 16 U.S.C. 3931-3932, November 10, 1986, as amended).

OBJECTIVES:

The EWRA requires the NWI to map wetlands of the nation, digitize the maps, archive and distribute the data, and produce a 10-year national wetlands status and trends report to Congress.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds can be used for any purpose authorized under the EWRA. Depending on appropriations and national priorities, the program has annual discretionary funding for priority mapping projects or status and trends analysis and may use contracts and CESUs (Cooperative Ecosystem Studies Units) to complete scope of work specified under the award with substantial involvement of the U.S. Fish & Wildlife Service, Interagency Agreements, or contracts for project-level support or products needed. The Service projects may cover any aspect of inventorying wetlands, including but not limited to training, technology research, and analysis of data. 7.2%.

Applicant Eligibility:

Organizations receiving financial assistance must be experienced in image-interpretation for wetlands and for arid western riparian for targeted ecosystems using National standards (Cowardin et al. 1979 and Wetlands Mapping Standard 2009); in wetlands mapping or delivery research or technologies, or in supporting activities (e.g. mapping standards training or analysis) for wetlands mapping; or have the project-specific imagery or ancillary data needed for wetlands mapping. Based on Agency need, financial assistance, in general, is available to qualified Americans, profit or nonprofit corporations or institutions, domestic organizations, and Federal, State, Tribal, Territorial, and local agencies.

Beneficiary Eligibility:

The American public, and Federal, State, Tribal, Territorial, and local agencies, profit and nonprofit corporations or institutions benefit from having wetlands geospatial data to help inform decision making for clean water, fish and wildlife conservation, wetlands conservation, landscape-level planning, green infrastructure, and urban, rural, infrastructure, and energy development.

Credentials/Documentation:

If we provide financial assistance to States or Tribes, OMB Circular A-87 may apply. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. There is no external application or award process. The Service funds projects based on changing national program priorities.

Award Procedure:

N/A.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

N/A.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

N/A. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles unless otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. At a minimum, the recipient is required to submit a final SF-425, Federal Financial Report within 90 days of the end of the award period of performance. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Entities must maintain Federally-required financial records; see Grants.gov.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$703,253; FY 17 est \$500,000; and FY 18 est \$500,000 - PROJECT GRANTS (Discretionary): FY 16 \$703,253; FY17 \$500,000; FY18 \$500,000.

FY17 and FY18 amounts are estimated based on prior years funding.

Range and Average of Financial Assistance:

\$30,000 to \$250,000.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: \$703,253. Wetland mapping funds focused on US Fish and Wildlife priority wetland areas as determined by the specific trust species involved and the quality and age of the existing wetland map. Fiscal Year 2017: \$500,000 The program priority shifted from creating maps to initiating the National Wetlands Status and Trends report and determination of wetland change through photointerpretation of aerial imagery. Fiscal Year 2018: \$500,000 The program priority will remain supporting the National Wetlands Status and Trends report started in 2017 and the determination of wetland change through photointerpretation of aerial imagery.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Regional Wetlands Coordinators:
<http://www.fws.gov/wetlands/NWI/RWC.html>.

Headquarters Office:

Chief, Division of Budget and Technical Assistance U.S. Fish and Wildlife Service, 5275 Leesburg Pike, MS: AES, Falls Church, Virginia 22041-3803
Phone: (703) 358-2171.

Website Address:

<http://www.fws.gov/wetlands>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Wetland mapping funds focused on US Fish and Wildlife priority wetland areas as determined by the specific trust species involved and the quality and age of the existing wetland map. Fiscal Year 2017: The program priority shifted from creating maps to initiating the National Wetlands Status and Trends report and determination of wetland change through photointerpretation of aerial imagery. Fiscal Year 2018: The program priority will remain supporting the National Wetlands Status and Trends report started in 2017 and the determination of wetland change through photointerpretation of aerial imagery.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.666 ENDANGERED SPECIES CONSERVATION-WOLF LIVESTOCK LOSS COMPENSATION AND PREVENTION

Wolf Livestock Demonstration Project Grant Program

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Coordination Act of 1934, U.S.C. 16 et seq.; Endangered Species Act of 1973, 16 U.S.C. 1531 et. seq., as amended; Public Law 111-11, 123 Stat. 1171.

OBJECTIVES:

To provide federal financial assistance to livestock producers undertaking proactive, non-lethal activities to reduce the risk of livestock loss due to predation by wolves and to compensate livestock producers for livestock losses due to such predation.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This financial assistance opportunity can be used by eligible States and Indian tribes in supporting projects that assist livestock producers in undertaking proactive, non-lethal activities to reduce the risk of livestock loss due to predation by wolves or to compensate livestock producers for livestock losses caused by wolves. Appropriated funds will be divided equally in support of these two objectives. The creation of two separate sources of funding will allow the Service to ensure that the two objectives of the program: 1) assisting livestock producers in undertaking proactive, non-lethal activities to reduce the risk of livestock loss due to predation by wolves and 2) compensating livestock producers for livestock losses caused by wolves, are addressed equally. Qualifying projects must include at a minimum a 50 percent non-Federal cost

share. Yes, these restrictions can be found in Public Law 111-11, 123 Stat.1171 and Public Law 112-74. 100% of appropriated funds are set aside for discretionary activities.

Applicant Eligibility:

State governments/agencies

Indian tribes as defined in Public Law 111-11.

Beneficiary Eligibility:

Individual/ Family

Small Business

Profit Organization

Private Organization

Anyone/ General Public

Farmer/ Rancher/ Agricultural Producer

Small Business Person

Land/ Property Owner.

Credentials/Documentation:

Funds are available only to States and Indian tribes with prior history of wolf depredation. To be eligible to receive a grant, a State or Indian tribe must (1) designate an appropriate agency of the State or Indian tribe to administer the one or more programs funded by the grant; (2) establish one or more accounts to receive grant funds; (3) maintain files of all claims received under programs funded by the grant, including supporting documentation; (4) submit annual reports to the Secretary of the Interior that include a summary of claims and expenditures under the program during the year, a description of any action taken on the claims, and such other reports as the Secretary may require to assist the Secretary in determining the effectiveness of activities provided assistance through this program; (5) promulgate rules for reimbursing livestock producers under the program. Applicants are also required to fully assess any claim of livestock depredation prior to issuing payment. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Eligible State and Tribal applicants are encouraged to contact the appropriate Regional Coordinators to ensure all eligibility, credentials, and documentation requirements are met prior to application. An incomplete application will not be considered for funding. An eligible State or Indian tribe may apply for either or both sources of funding, but a separate application must be provided for each source of funding. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible State and Tribal applicants must submit a project narrative identifying that they will adhere to P.L. 111-11. Applicants must also provide an Application for Federal Assistance (SF 424) and supporting information. This form must be signed by the official with the authority and responsibility to commit the State or Tribal body to participate in the program. An eligible State or Indian tribe may apply for either or both sources of funding.

Award Procedure:

Awards to eligible State and Indian tribes will be made as grants based on 1) the level of livestock predation in the State or on the land owned by, or held in trust for the benefit of, the Indian tribe; 2) whether the State or Indian tribe is located in a geographic area that is at high risk for livestock predation; 3) any other factors that the Secretary of the Interior determines appropriate.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

120 days.

Appeals:

Decisions can be appealed to the Fish and Wildlife Service, Chief, Endangered Species Division of Consultation, Habitat Conservation Planning, Recovery, and State Grants. Final determination rests with the Secretary of the Interior.

Renewals:

None. However, project applicants are not prohibited from submitting applications for consideration for funding in a subsequent year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: To meet the two objectives of the program, awards to eligible State and Indian tribes will be made as grants based on 1) the level of livestock predation in the State or on the land owned by, or held in trust for the benefit of, the Indian tribe; 2) whether the State or Indian tribe is located in a geographic area that is at high risk for livestock predation; 3) any other factors that the Secretary of the Interior determines appropriate.

Qualifying projects must include at a minimum a 50 percent non-Federal cost share. The cost share may be cash or a third party in-kind contribution, such as volunteer efforts and donations of goods or services.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Services goal is to obligate funds to States and Indian tribes shortly following the award notification. States and Indian tribes will assist the Service in meeting this goal by providing necessary documents for grant issuance as soon as possible following the grant award notifications. The Service will follow all applicable laws and regulations in regard to the expenditure of awarded funding. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. In general, OMB Circulars A-102 Section .40 and .41 and 2 CFR 215 specify requirements for both financial and program performance reporting. The Services interim guidance on financial status and performance reporting is available at <http://wsfrprograms.fws.gov/subpages/toolkitfiles/intgdrpt.pdf>. Grantees may be required to complete one or more of the following financial forms:

SF - 270, Request for Advance or Reimbursement;
SF-425, Federal Financial Report

OMB Circulars A-102 Section .40 and 2 CFR 215 also set forth requirements for submission of grantee program performance reports. A performance report is due annually within 90 days of the anniversary of the start date of the grant and a final report is due 90 days after the grant expiration.

A State or Indian tribe shall submit to the Secretary annual reports that include a summary of claims and expenditures under the program during the year and a description of any action taken on the claims. Financial reporting requirements are specified in 43 CFR 12.80 and in the Interim Guidance for Financial Status and Performance Reporting, dated May 12, 2009. OMB Circulars A-102 Section .40 and 2 CFR 215 also set forth requirements for submission of grantee program performance reports. A performance report is due annually within 90 days of the anniversary of the start date of the grant and a final report is due 90 days after the grant expiration.

A State or Indian tribe shall submit to the Secretary annual reports that include a summary of claims and expenditures under the program during the year and a description of any action taken on the claims. Financial reporting requirements are specified in 2 CFR 200 and in the Interim Guidance for Financial Status and Performance Reporting, dated May 12, 2009. Performance reporting requirements are specified in 2 CFR 200 and in the Interim Guidance for Financial Status and Performance Reporting, dated May 12, 2009.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The recipient is required to maintain files of all claims received under programs funded by the grant, including supporting documentation. Records must be maintained for 3 years following the submission of the final expenditure report or until final action has been taken on any litigation, claim, or audit finding.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$900,000; FY 17 est \$900,000; and FY 18 est \$900,000 - Project Grants (Discretionary): FY16 \$900,000; FY17 \$900,000 est; FY18 \$900,000 est

FY17 and FY18 amounts are estimates based on previous year funding.

Range and Average of Financial Assistance:

\$9,000 - \$100,000. Average grant award amount is \$50,000 based on FY14 awards.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Program awarded 13 grants to eight states totaling \$900,000. Eight grants totaling \$450,000 supported deprecation compensation activities and five grants totaling \$450,000 supported prevention measures.

Fiscal Year 2017: The Program anticipates receiving 20 applications and issuing 13 grants. Fiscal Year 2018: The Program anticipates receiving 20 applications and issuing 13 grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Omnibus Public Land Management Act of 2009 (P.L. 111-11)

Regional or Local Office:

See Regional Agency Offices. FWS Region 1: If your project will occur in Idaho, Oregon, or Washington, contact: Regional Director, U.S. Fish and Wildlife Service Eastside Federal, Complex, 911 N.E. 11th Avenue, Portland, OR 97232-4181. (208/ 685-6963).

FWS Region 2: If your project will occur in Arizona or New Mexico, contact: Regional Director, U.S. Fish and Wildlife Service, 500 Gold Avenue SW., Room 4012 Albuquerque, NM 87102. (505/248-7465).

FWS Region 3: If your project will occur in Michigan, Minnesota, or Wisconsin, contact: Regional Director, U.S. Fish and Wildlife Service, Bishop Henry Whipple, Federal Building, One Federal Drive, Fort Snelling, MN 55111-4056. (612/713-5343).

FWS Region 6: If your project will occur in Montana or Wyoming, contact: Regional Director, U.S. Fish and Wildlife Service, 134 Union Blvd., Suite 645, Lakewood, CO 80228. (303/ 236-4211).

Headquarters Office:

Chief, Ecological Services Division of Restoration and Recovery, U.S. Fish and Wildlife Service, 5275 Leesburg Pike, MS: ES

, Falls Church, Virginia 22041-3803 Phone: (703) 358-2171.

Website Address:

<http://www.fws.gov/endangered/grants/index.html>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.611 Wildlife Restoration; 15.615 Cooperative Endangered Species Conservation Fund; 15.631 Partners for Fish and Wildlife

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Program awarded 13 grants to eight states totaling \$900,000. Eight grants totaling \$450,000 for deprecation compensation and five grants totaling \$450,000 for prevention measures. For example, a \$60,000 grant to the State of Arizona will help compensate livestock producers, as appropriate, for livestock losses due to predation. A \$100,000 grant to the State of Montana implemented non-lethal deprecation prevention measures such as

fladry, strobe lights, and range riders. Fiscal Year 2017: The Program anticipates funding approximately 13 grants to fund projects similar to those supported in FY16. Fiscal Year 2018: The Program anticipates funding approximately 13 grants to fund projects similar to FY16.

CRITERIA FOR SELECTING PROPOSALS:

Awards to eligible State and Indian tribes will be made as grants based on (1) the level of livestock predation in the State or on the land owned by, or held in trust for the benefit of, the Indian tribe; (2) whether the State or Indian tribe is located in a geographic area that is at high risk for livestock predation; (3) any other factors that the Secretary of the Interior determines appropriate.

15.667 HIGHLANDS CONSERVATION

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

H.R. 1964, 2004; 16 U.S.C. 3901.

OBJECTIVES:

To conserve priority lands and natural resources and recognize the importance of the water, forest, agricultural, wildlife, recreational, and cultural resources, and the national significance of the Highlands region of the United States. Preserve and protect high-priority conservation land in the Highland regions.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

A state or state agency with authority to own and manage land located within the Highlands region may use a land conservation partnership project to acquire conservation-valued land, either through fee acquisition or conservation easement, from a willing seller to permanently protect, conserve, or preserve land. Project lands of conservation value are identified by the U.S. Forest Service, Department of Agriculture, with input from pertinent units of local government and the public. The list of lands of conservation value is provided to the governors of the states within the Highlands region. The list of projects to be funded is submitted to the Secretary of the Interior, who, along with the Secretary of Agriculture, submits the eligible project list to Congress. The Federal grant share of the land conservation partnership project will not exceed 50 percent of the total cost of partnership to acquire conservation-valued land. 100% of funding is discretionary.

Applicant Eligibility:

Any state or state agency with authority to own and manage land located within the Highlands region for conservation purposes may apply by identifying the source of non-Federal funds, describing the management objectives for the project land, identifying the purpose of the use of the land, and providing that the land will not be converted, used or disposed of for a purpose inconsistent with land conservation.

Beneficiary Eligibility:

Beneficiaries are states or state agencies with authority to own and manage land within the Highlands region for conservation purposes, including the Palisades Interstate Park Commission.

Credentials/Documentation:

Must be a state or state agency with the authority to own and manage land within the Highlands region for conservation purposes. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The U.S. Forest Service, Department of Agriculture, is responsible for conducting the resource assessment and preparing the Highlands project lands of conservation value update for the states involved. The U.S. Forest Service will identify in the update the lands that have high conservation value. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. It is the responsibility of the Highland states to submit projects to the Department of the

Interior for funding. The Highland states may do this individually or they may jointly submit land conservation partnership projects.

Award Procedure:

The U.S. Forest Service is responsible for doing the resource assessment and preparing the priority land update for the states involved. The U.S. Forest Service will identify in the update the lands that have high conservation value. Only lands available from willing sellers will be considered in the program. The Department of the Interior (DOI) has the sole responsibility for project grants. The states will apply directly to DOI for project funding. The DOI will award grants to the States or their entities for the acquisition of land, or interests in land, for conservation purposes in the Highlands.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Undetermined for FY 17.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Federal share of the project cost shall not exceed 50 percent of the total project cost.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance:

Varies. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, or as otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. Interim performance reports are required for each grant award annually within 30 days after the anniversary date. A final performance report is due within 90 days after the award end date. SF-425, Federal Financial Reports are required for each grant award annually within 30 days after the anniversary date. A final SF-425, Federal Financial Report is due within 90 days after the award end date. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 2 CFR 200 and 2 CFR Part 170.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Cost records must be maintained separately for each grant award. Records, accounts, and supporting documents must be retained for three years after submission of the final reports.

Account Identification:

14-5020-0-1-806.

Obligations:

(Project Grants (Discretionary)) FY 16 \$4,840,000; FY 17 est \$0; and FY 18 est \$0 - PROJECT GRANTS (Discretionary): FY16 \$4,840,000; FY17 Unknown; FY18 Unknown

We are anticipating \$4,840,000 of previously obligated funds to be disbursed this year, and are unclear if funds will be available in FY 17 and 18.

Range and Average of Financial Assistance:

Range : \$646,600 to \$1,940,000; Average \$970,000.

TAFS Codes:

14-5020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program received 4 applications (one from each State in the Highlands Region) and issued 4 awards, with funding distributed evenly among States. Total funding awarded was \$9,680,000. All funds have been obligated. Fiscal Year 2017: We expect to receive applications from 4 states and are uncertain of the number awards that will be issued. Fiscal Year 2018: We expect to receive applications from 4 states and are uncertain of the number awards that will be issued.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per <http://www.doi.gov/parn/TermsandConditions.html>, acceptance of a Federal Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as starting work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by the Department of the Interior and are subject to the terms and conditions incorporated in to the Award either directly or by reference to the following: Program legislation/regulation, assurances, special conditions, the Code of Federal Regulations, and other regulatory requirements, as applicable.

Regional or Local Office:

None.

Headquarters Office:

John Organ US Fish and Wildlife Service, Wildlife and Sport Fish Restoration, 300 Westgate Center Drive, Hadley, Massachusetts 01035 Email: John_Organ@fws.gov Phone: (413)253-8200 Fax: (413)253-8300

Website Address:

<http://www.fws.gov/r5fedaid/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Highlands Conservation Act funding was awarded to the following project areas: Head of the Highlands, Connecticut (CT DEEP); Passaic-Walkill, New Jersey (NJ Highlands Council); East Hudson Highlands, New York (NY OPRHP); Furnace Hills/Middle Creek, Pennsylvania (PA DCNR). Fiscal Year 2017: If funding is available, the Program anticipates funding State land acquisition projects that protect land of high conservation value in the Highlands regions of Connecticut, Pennsylvania, New York, and New Jersey. Fiscal Year 2018: If funding is available, the Program anticipates funding State land acquisition projects that protect land of high conservation value in the Highlands regions of Connecticut, Pennsylvania, New York, and New Jersey.

CRITERIA FOR SELECTING PROPOSALS:

The U.S. Forest Service is responsible for completing the resource assessment and preparing the priority lands update for the states involved. The U.S. Forest Service will identify in the update the lands that have high conservation value. The Highland states meet annually and apply directly to DOI for funding from the project list of lands provided by U.S. Forest Service. Only lands with high conservation value that can be obtained by fee acquisition or conservation easement from willing sellers will be considered.

15.668 COASTAL IMPACT ASSISTANCE

(CIAP)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Outer Continental Shelf (OCS) Lands Act, as amended; 31 U.S.C. 6301-6305.

OBJECTIVES:

The intent of the program is to disburse funding to eligible producing states and coastal political subdivisions for the purpose of conservation, protection, or restoration of coastal areas including wetlands; mitigation of damage to fish,

wildlife, or natural resources; planning assistance and the administrative costs of complying with these objectives; implementation of a federally-approved marine, coastal, or comprehensive conservation management plan; and mitigation of the impact of outer Continental Shelf activities through funding of onshore infrastructure projects and public service needs.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The intent of the program is to disburse funding to eligible producing states and coastal political subdivisions for the purpose of conservation, protection, or restoration of coastal areas including wetlands; mitigation of damage to fish, wildlife, or natural resources; planning assistance and the administrative costs of complying with these objectives; implementation of a federally-approved marine, coastal, or comprehensive conservation management plan; and mitigation of the impact of outer Continental Shelf activities through funding of onshore infrastructure projects and public service needs.

Applicant Eligibility:

States eligible to receive funding are Alabama, Alaska, California, Louisiana, Mississippi, and Texas and 67 coastal political subdivisions among the six States.

Beneficiary Eligibility:

The producing coastal states, their eligible coastal political subdivisions, and the public will ultimately benefit from the program.

Credentials/Documentation:

Yes. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Prior to submitting any grant applications, an eligible State must submit a State Plan that provides detail on how a State and its Coastal Political Subdivisions (CPS) will use the CIAP funds and implement the State plan. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on this process when applying for assistance and on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The OMB SF 424 application forms must be used for this program. Applications are submitted electronically through Grants.gov.

Award Procedure:

The U.S. Fish and Wildlife Service (FWS) provides a notice of award to the recipient.

Deadlines:

Dec 31, 2016 December 31, 2016. The deadline for submission of amendments to existing grants was December 31, 2016. No grant applications are currently being accepted.

Range of Approval/Disapproval Time:

FWS will have 30-60 days to notify the State in writing that the Plan or amendment is approved, disapproved, or remains under review (in which case the Plan or amendment is neither approved nor disapproved). FWS will have 30-60 days to review projects submitted by the State or the coastal political divisions and notify applicant of approval/disapproval.

Appeals:

Applicants can appeal the disapproval of their plan or project application no later than 90 days after the notice of decision. Applicants must contact FWS headquarters office in writing if they are appealing a decision.

Renewals:

Proposals are submitted for a project period. Projects that were approved and

funded during the previous project period may be submitted again in subsequent project periods.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: In accordance with the language of the Act, no State will receive less than 1 percent of the \$250 million to be disbursed per year. The CIAP Program does not require recipients to cost share or match CIAP funds. Of the percentage received by a State, 65 percent remains with the State, and 35 percent is awarded to the coastal political subdivisions. Maintenance of effort requirements is not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will normally be awarded for 12-month periods. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, or as otherwise prescribed in program-specific legislation or special award terms.

Reports:

Program reports are not applicable. Cash reports are not applicable. A performance report is required for each grant award annually within 90 days after the anniversary date or within 90 days of the end of the grant. A Federal Financial Report (SF-425) is required for each grant award annually within 90 days after the anniversary date or within 90 days of the end of the grant. Recipients are responsible for monitoring and reporting performance of each award and sub-award under this program in accordance with 2 CFR 200 and 2 CFR Part 170.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-5579-0-2-306.

Obligations:

(Formula Grants) FY 16 \$4,266,657; FY 17 est \$873,729; and FY 18 est \$0 - FORMULA GRANTS: FY16 \$4,266,657; FY17 \$873,729; FY18 \$0

No new funding for this program is expected. CFDA should remain active through FY17 to allow grantees to finish grant funded work and to allow Bureau to close the program.

Range and Average of Financial Assistance:

\$20,000 - \$20,000,000; average \$1,000,000.

TAFS Codes:

14-5579.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY16 the program received 200 applications and issued 222 awards. Fiscal Year 2017: In FY17 the program received 60 applications and issued 69 awards through December 31, 2016. Applications are no longer being accepted. Fiscal Year 2018: In FY18 the program will not be accepting applications.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Wildlife and Sport Fish Restoration U.S. Fish and Wildlife Service, 5275

Leesburg Pike, MS: WSFR, Falls Church, Virginia 22041-3803 Phone: (703) 358-2156

Website Address:

<http://wsfrprograms.fws.gov/>

RELATED PROGRAMS:

11.419 Coastal Zone Management Administration Awards; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.473 Coastal Services Center; 11.474 Atlantic Coastal Fisheries Cooperative Management Act; 11.478 Center for Sponsored Coastal Ocean Research_Coastal Ocean Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Monitoring storm surge in western Alaska; land protection for whooping cranes; Moss Point sanitary sewer system repair/rehabilitation. Grant applications for new projects are no longer being accepted.

FY16: Program funded ongoing projects awarded in FY12, FY13 FY14, and FY15. Fiscal Year 2017: Program funding ongoing projects awarded in FY12 - FY16 through December 31, 2016. No additional projects will be funded. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for approving proposals are identified in the Coastal Impact Assistance Program Guidelines authorizing legislation and the annual program announcement found on Grants.gov.

15.669 COOPERATIVE LANDSCAPE CONSERVATION

Landscape Conservation Cooperatives (LCCs)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973 (16 U.S.C. 1531-1544, 87 Stat. 884); The National Wildlife Refuge System Improvement Act of 1997 (P.L. 105-57); The Fish and Wildlife Act of 1956 (16 U.S.C. 742a-742j, not including 742 d-1; Stat. 1119), as amended; The National Wildlife Refuge System Improvement Act of 1997 (P.L. 105-57); Endangered Species Act of 1973 (16 U.S.C. 1531-1544, 87 Stat. 884); Fish and Wildlife Conservation Act (16 U.S.C. 2901-2911; 94 Stat. 1322), Fish and Wildlife Coordination Act of 1958, 16 U.S.C.661-667(e); Fish and Wildlife Conservation Act (16 U.S.C. 2901-2911; 94 Stat. 1322).

OBJECTIVES:

By leveraging resources and strategically targeting science to inform conservation decisions and actions, Landscape Conservation Cooperatives (LCCs) create a network of partners working in unison to ensure the sustainability of Americas land, water, wildlife and cultural resources. Facilitated by the Department of the Interior (DOI) as part of its collaborative, science-based response to climate change, each of the 22 LCCs operates within a specific landscape, covering the entire United States. Defined based on ecological boundaries, the LCCs also include portions of Canada, Mexico, the Caribbean and Pacific Islands. Partners include federal, state, and local governments, tribes, universities, nongovernmental organizations, landowners, and other stakeholders. These landscape-scale, conservation science partnerships support and enhance on-the-ground conservation efforts by facilitating the production and dissemination of applied science products for resource management decisions.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Support will be provided for LCC-prioritized projects to include: decision-support tools to inform management plans/decisions and ESA Recovery Plans, conservation delivery strategies and actions, and landscape-scale conservation strategies that can direct management expenditures where they have the greatest effect and lowest relative cost. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel. 100% of funds are discretionary.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements and complete application instructions are posted online at Grants.gov at <http://www.grants.gov>. All applicants must submit a complete, signed Standard Form 424, Application for Federal Assistance.

Award Procedure:

Each LCC has a steering committee, comprised of representatives from partner organizations, and participates in identifying priority needs for the LCC. Applications for funding will be reviewed and approved by FWS staff based on the project-specific review criteria to be detailed in the funding opportunity posting. FWS staff will notify applicants of review results by either issuing a fully executed Award either electronically or through the mail, or by sending written notification to the applicant that the application will not be funded.

Per the Department of the Interiors Standard Award Terms and Conditions (accessible on the Internet at https://edit.doi.gov/pam/programs/financial_assistance/award) acceptance of a Federal Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as the start of work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by DOI and are subject to the terms and conditions incorporated either directly or by reference to the following: Program legislation/regulation, Assurances, Special Conditions and Code of Federal Regulations and other Regulatory Requirements, as applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Typically within 180 days of proposal receipt.

Appeals:

Not Applicable.

Renewals:

None. However, at the request of the recipient and as approved by USFWS, active awards may be amended (e.g., time extensions or budget changes).

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. However, to the extent possible, recipient in-kind and/or cash match is encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period of performance may be up to five years. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, or as otherwise prescribed in program-specific legislation or special award terms.

Reports:

Performance reports are to be submitted in accordance with the specific reporting requirements as detailed in the Award document. At a minimum, a

performance report will be required annually, within 30 calendar days after the annual anniversary date. Final performance reports are required within 90 calendar days from the end date of the award. Cash reports are not applicable. Progress reports are not applicable. SF-425, Federal Financial Reports are to be submitted in accordance with the specific reporting requirements as detailed in the Award document. At a minimum, a financial report will be required annually, within 30 calendar days after the annual anniversary date. Final SF-425, Federal Financial Reports are required within 90 calendar days from the end date of the award. Recipients are responsible for monitoring and reporting performance of each award and sub-award under this program in accordance with 2 CFR 200 and 2 CFR Part 170.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award. Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final reports.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$3,663,560; FY 17 est \$3,600,000; and FY 18 est \$3,600,000 - PROJECT GRANTS (Discretionary): FY16 \$3,663,560; FY17 \$3,600,000; FY18 \$3,600,000

FY17 and FY18 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

Range is \$1,000 - \$1,000,000. Average award amount varies by project type and duration.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program received 110 applications and issued 39 awards. Fiscal Year 2017: The program anticipates receiving 100 applications and issuing 30 awards. Fiscal Year 2018: The program anticipates receiving 100 applications and issuing 30 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per <http://www.doi.gov/pam/termsandconditions.html>, acceptance of a Federal

Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as starting work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by the Department of the Interior and are subject to the terms and conditions incorporated in to the Award either directly or by reference to the following: Program legislation/regulation, assurances, special conditions, the Code of Federal Regulations, and other regulatory requirements, as applicable.

Regional or Local Office:

See Regional Agency Offices. The list of contacts for each LCC can be accessed on the Internet at: <http://www.fws.gov/science/shc/lccinfocontacts.html>.

Headquarters Office:

Anna-Marie York U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike MS: SA, Falls Church, Virginia 22041-3803 Email: anna-marie_york@fws.gov Phone: (703)358-1881

Website Address:

<http://lccnetwork.org>

RELATED PROGRAMS:

15.670 Adaptive Science

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Efficiently Monitoring Extent of Fire and Fire Effects in the South Atlantic LCC - Work with key partners to integrate data from existing monitoring and improve the coordination of future fire monitoring in the South Atlantic. Fiscal Year 2017: Program has not yet selected projects for funding. Program anticipates funding projects that decision-support tools to inform management plans/decisions and ESA Recovery Plans, conservation delivery strategies and actions, and landscape-scale conservation strategies that can direct management expenditures where they have the greatest effect and lowest relative cost. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects that decision-support tools to inform management plans/decisions and ESA Recovery Plans, conservation delivery strategies and actions, and landscape-scale conservation strategies that can direct management expenditures where they have the greatest effect and lowest relative cost.

CRITERIA FOR SELECTING PROPOSALS:

Proposed projects will be selected on the basis of how well they meet the program and/or project-specific criteria detailed in individual Landscape Conservation Cooperative funding opportunity postings. Criteria will vary depending on the scope of the program or project for which applications are being accepted.

15.670 ADAPTIVE SCIENCE

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

The Fish and Wildlife Act of 1956 (16 U.S.C. 742a-742j, not including 742 d-1; Stat. 1119), as amended; The National Wildlife Refuge System Improvement Act of 1997 (P.L. 105-57); Endangered Species Act of 1973 (16 U.S.C. 1531-1544, 87 Stat. 884); Fish and Wildlife Conservation Act (16 U.S.C. 2901-2911; 94 Stat. 1322), Fish and Wildlife Coordination Act of 1958, 16 U.S.C.661-667(e).

OBJECTIVES:

The U.S. Fish and Wildlife Service (USFWS) uses a science-based, adaptive framework for setting and achieving broad-scale conservation objectives that strategically address the problems fish and wildlife will face in the future. This framework, called Strategic Habitat Conservation, is based on the principles of adaptive management and uses population and habitat data, ecological models, and focused monitoring and assessment efforts to develop and implement strategies that result in measurable fish and wildlife population outcomes. This process uses the best available scientific information to predict how fish and wildlife populations will respond to changes in the environment, thus enabling the USFWS to focus habitat conservation and other management activities where they will be most effective. In addition, the USFWS needs focused, applied science directed at high impact questions surrounding threats to fish and wildlife resources for which management and/or mitigation is required to maintain species at healthy, sustainable, desired levels. USFWS must base its decisions on the best science available, in order to defend its regulatory decisions, biological opinions and species conservation recommendations to land managers.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Support will be provided for science projects: to include species risk and vulnerability assessments, inventory and monitoring, population and habitat assessments, biological planning and conservation design, management evaluation and research, and conservation genetics; to identify and implement landscape-scale conservation solutions to such issues as climate change, land use change, invasive species spread, and fire frequency changes; and to address high impact questions surrounding threats to fish wildlife, and plants, providing answers needed to manage species to healthy, sustainable, desired levels. This program is administered in compliance with the Federal Grants and

Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel. 100% of funding is discretionary.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements and complete application instructions are posted online at Grants.gov at <http://www.grants.gov>. All applicants must submit a complete, signed Standard Form 424, Application for Federal Assistance.

Award Procedure:

The Science Advisor to the Director identifies priority needs for the USFWS. Applications for funding will be reviewed and approved by USFWS staff based on the project-specific review criteria to be detailed in the funding opportunity posting. USFWS staff will notify applicants of review results by either issuing a fully executed Award either electronically or through the mail, or by sending written notification to the applicant that the application will not be funded.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days. Typically within 180 days of proposal receipt.

Appeals:

Not Applicable.

Renewals:

None. However, at the request of the recipient and as approved by USFWS, active awards may be amended (e.g., time extensions or budget changes).

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. However, to the extent possible, recipient in-kind and/or cash match is encouraged.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period of performance may be up to five years. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with 2 CFR 200, Subpart E-Cost Principles, or as otherwise prescribed in program-specific legislation or special award terms.

Reports:

Performance reports are to be submitted in accordance with the specific reporting requirements as detailed in the Award document. At a minimum, a performance report will be required annually, within 30 calendar days after the annual anniversary date. Final performance reports are required within 90 calendar days from the end date of the award. Cash reports are not applicable. Progress reports are not applicable. SF-425, Federal Financial Reports are to be submitted in accordance with the specific reporting requirements as detailed in the Award document. At a minimum, a financial report will be required annually, within 30 calendar days after the annual anniversary date. Final SF-425, Federal Financial Reports are required within 90 calendar days from the end date of the award. Recipients are responsible for monitoring and reporting performance of each award and sub-award under this program in accordance with 2 CFR 200 and 2 CFR Part 170.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award. Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final reports.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$9,600,000; FY 17 est \$9,000,000; and FY 18 est \$9,000,000 - PROJECT GRANTS (Discretionary): FY16 \$9,600,000; FY17 \$9,000,000; FY18 \$9,000,000

FY17 and FY18 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

Range is \$1,000 - \$1,000,000. Average award amount varies by project type and duration.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program received 48 applications and issued 14 awards. Fiscal Year 2017: Program anticipates receiving 50 applications and issuing 20 awards. Fiscal Year 2018: Program anticipates receiving 50 applications and issuing 20 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per https://edit.doi.gov/pam/programs/financial_assistance/TermsandConditions, acceptance of a Federal Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as starting work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by the Department of the Interior and are subject to the terms and conditions incorporated in to the Award either directly or by reference to the following: Program legislation/regulation, assurances, special conditions, the Code of Federal Regulations, and other regulatory requirements, as applicable.

Regional or Local Office:

See Regional Agency Offices. Contacts for regional science programs can be found on the Internet at:

- Region 1- <http://www.fws.gov/pacific/>
- Region 2- <http://www.fws.gov/southwest/>
- Region 3- <http://www.fws.gov/midwest/>
- Region 4- <http://www.fws.gov/southeast/>
- Region 5- <http://www.fws.gov/northeast/index.cfm>
- Region 6- <http://www.fws.gov/mountain-prairie/>
- Region 7- <http://alaska.fws.gov/>
- Region 8- <http://www.fws.gov/cno/>.

Headquarters Office:

Anna-Marie York U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike MS: SA, Falls Church, Virginia 22041-3803 Email: anna-marie_york@fws.gov Phone: (703)358-1881

Website Address:

<http://www.fws.gov/science>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Conservation Planning: Monarch Butterfly Threats Analysis will evaluate the relative importance of threats determining the size of the

eastern population of monarch butterflies and to model the impact of each of these factors on the observed population decline. Fiscal Year 2017: Assessment of Impacts of Feral Horses and Livestock Grazing on Sage-Grouse and their Habitats will estimate sage-grouse demographic responses to grazing by horses and livestock and to relate these responses to key vegetation variables. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects that support the best available scientific information to predict how fish and wildlife populations will respond to changes in the environment.

CRITERIA FOR SELECTING PROPOSALS:

Proposed projects will be selected on the basis of how well they meet the program and/or project-specific criteria detailed in individual Adaptive Science funding opportunity postings. Criteria will vary depending on the scope of the program or project for which applications are being accepted.

15.671 YUKON RIVER SALMON RESEARCH AND MANAGEMENT ASSISTANCE

R&M Fund

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

The Yukon River Salmon Act of 2000 (16 USC 5701 et seq., P.L. 104-43, November 3, 1995, 109 Stat 392) as amended by P.L. 106-450, November 7, 2000, 114 Stat 1941; P.L 108-219, April 13, 2004, 118 Stat 615.

OBJECTIVES:

The U.S. Fish and Wildlife Service (Service) receives funds for implementation of the Yukon River Salmon Agreement with Canada which was reached in March 2001. The Yukon River Salmon Act of 2000 authorizes funds for implementation of the Agreement including funds for cooperative research and management projects on the Alaska portion of the Yukon River. The Service administers these funds through financial assistance on a competitive basis for projects/studies that advance the scientific community's understanding and management of Chinook and chum salmon in the Yukon River of Alaska.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This funding is intended to support projects that are focused on improving the understanding of the biology and management of Yukon River Chinook salmon and summer and fall chum salmon. 100%. Discretionary funds are available through this program. Generally the program receives funding of \$260,000 to \$400,000 that is set aside to award to projects that focus on Chinook salmon and chum salmon in the Yukon River Basin.

Applicant Eligibility:

The intended result of this funding is to improve the understanding of the biology and management of Yukon River salmon species.

Beneficiary Eligibility:

Projects that are awarded money from this source will ultimately benefit the rural and urban American and Canadian public that subsist off of the salmon resources of the Yukon River.

Credentials/Documentation:

Federal law mandates that all organizations applying for Federal financial assistance must have a valid Dun & Bradstreet Data Universal Number System (DUNS) number and have a current registration in the System for Award Management (SAM). Individuals submitting an application on their own behalf and not on behalf of a company, state, local or tribal government, academia or other type of organization are exempt from the DUNS number and SAM requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. This is a two-step proposal process: 1) submit a one page initial proposal; 2) If initial proposal is approved, provide a detailed proposal including a completed and signed SF424 Application for Federal Assistance, a completed SF424A Budget Information (Non-construction) form, and a signed SF424B Assurances form. Detailed instructions for submitting both an initial proposal and a detailed proposal will be posted on Grants.gov. The Project Officer for the grant announces the call for initial proposals via newspapers, email, and Grants.gov. Links to the initial call for proposals are posted on the Fairbanks Fish and Wildlife Field Office website and are distributed to state, federal, NGOs, and universities.

Award Procedure:

The initial project proposals will be evaluated by an interagency review committee which will provide recommendations to the U.S. Section of the Yukon River Panel (Panel) for final approval. Authors of selected initial proposals will be notified by the Service to submit detailed proposals for final review and selection. Service staff will notify applicants of review results by either sending them an approval letter stating their selection and a project agreement to sign and return to the Service or by sending written notification to the applicant that the application was not selected. Potential recipients must sign the project agreement and return it before funds can be obligated. Once the potential recipients sign the project agreement, the Service will obligate funds and issuing a fully executed Award either electronically or through the mail.

Deadlines:

Jan 06, 2017 Detailed Proposals were due January 6, 2017. The Request for Proposals for FY18 funds will be released July 3, 2018 and will close January 5, 2018.

Range of Approval/Disapproval Time:

Applicants will receive notice of approval/disapproval within 60 calendar days of submitting an initial proposal and within 60 calendar days of submitting a detailed proposal.

Appeals:

Not Applicable.

Renewals:

Recipients may request funding for a subsequent year/cycle of a currently funded project. However, these requests are subject to the same submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as a new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 2 CFR 200.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Program will include any special award terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Program obligates funds and sends a notice of award to successful applicants. Recipients request awarded funds as needed and in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation/regulation or special award terms.

Reports:

No program reports are required. No cash reports are required. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the

notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$260,000; FY 17 est \$260,000; and FY 18 est \$260,000 - FY17 and FY18 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

Range: \$3,000-220,456; Average: \$40,756.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fourteen conceptual proposals totaling \$906,216 were received. Four proposals received funding totaling \$260,000. Fiscal Year 2017: Five detailed proposals totaling \$385,189 were received. Three proposals are anticipated to be funded for a total of \$243,000 or less. Fiscal Year 2018: The program anticipates receiving applications similar in number to FY17.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per <http://www.doi.gov/pam/TermsandConditions.html>, acceptance of a Federal Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as starting work, drawing down or otherwise requesting funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by the Department of the Interior and are subject to the terms and conditions incorporated in to the Award either directly or by reference to the following: Program legislation/regulation, assurances, special conditions, the Code of Federal Regulations, and other regulatory requirements, as applicable.

Regional or Local Office:

See Regional Agency Offices. Research and Management Fund Coordinator, Fairbanks Fish and Wildlife Field Office, 101 12th Avenue, Room 110, Fairbanks, Alaska, 99701, 907-456-0418, FAIR_USRM@fws.gov.

Headquarters Office:

Alaska Region Fish and Wildlife Office, 1011 East Tudor Road, Anchorage, Alaska 99503 Phone: 907-786-3523

Website Address:

<http://alaska.fws.gov/fisheries/fieldoffice/fairbanks/index.htm>

RELATED PROGRAMS:

15.608 Fish and Wildlife Management Assistance; 15.664 Fish and Wildlife Coordination and Assistance Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Program funded projects that 1) help build and maintain public support for management of the Yukon River salmon through outreach events, weekly teleconferences, and public meetings; 2) deal with monitoring salmon returns in rivers; and 3) improve fish passage. Fiscal Year 2017: Program anticipates funding projects that 1) help build and maintain public support for management of the Yukon River salmon through outreach events, weekly teleconferences, and public meetings; 2) determine the abundance and

run timing assessment of salmon; 3) identify in-season genetic stocks of salmon. Fiscal Year 2018: Program has yet to identify specific project needs, but anticipates directing significant funding towards projects that increase/improve the understanding of in-season subsistence harvest of Yukon River salmon species.

CRITERIA FOR SELECTING PROPOSALS:

Review criteria are based on the applications requirements as posted in the call for proposals. Selections for funding are principally based on the merit of the project and how well the project addresses one or more of the priorities and factors identified in the call for proposals. Proponents success in previous projects and their ability to successfully and efficiently execute the new project is also taken into consideration. Finally, timeliness in the submission process is also used as a criterion for approval.

15.672 WILDLIFE WITHOUT BORDERS AMPHIBIANS IN DECLINE FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, as amended (16 U.S.C. 1531-43).

OBJECTIVES:

The goal of this grants program is to provide funding for specific conservation actions that have a high likelihood of creating durable benefits to amphibian species facing immediate threat of extinction, such as: Protection of at-risk populations; Veterinary/wildlife health interventions; Activities related to execution of species survival plans; Strengthening local capacity to conserve the target species; Actions addressing unsustainable exploitation; Efforts to mitigate or stop wildlife-human conflict; and Rehabilitation and rescue. Proposed project work should occur within the species range, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to its conservation.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The program will fund projects that conserve the worlds rapidly declining amphibian species. Species eligible for funding are those frogs, toads, salamanders, newts, and caecilians that face a very high risk of extinction in the immediate future. Species should meet the criteria to be listed as Critically Endangered or Endangered on the International Union for the Conservation of Nature (IUCN) Red List. Species listed as Data Deficient on the IUCN Red List are also eligible if the applicant can provide information that suggests a similar urgency for conservation action. Species listed as Extinct in Wild are eligible if the applicant is proposing a reintroduction attempt. The following species are NOT eligible for funding: Species with natural habitat range located primarily within the United States, territories of the United States, Canada, and the high income economies of Europe; and Non-amphibian species.

Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel. Project work should occur in countries outside of the United States that have within their boundaries amphibian habitats and be conducted by any person or group with the demonstrated expertise required for the successful implementation of the proposed project. 100%.

Applicant Eligibility:

Applications may be submitted by any non-domestic (outside of the United

States) government agency responsible for amphibian conservation and any other U.S.-based or non-domestic public or private organization or institution or individual with demonstrated experience in amphibian conservation.

Beneficiary Eligibility:

Non-domestic (outside of the United States) government agencies responsible for amphibian conservation and any other U.S.-based or non-domestic public or private non-governmental organization or institution or individual with demonstrated experience in amphibian conservation.

Credentials/Documentation:

Yes. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov>. Complete application information can also be found at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>, or, if unable to access the website, by requesting a paper copy from the Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, VA 22203, Telephone: (703) 358-1754. A proposal will not be considered complete if required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 Application for Federal Assistance.

Award Procedure:

Projects are reviewed and competitively selected for funding based on established criteria. Review criteria can be found in the application information at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the Fish and Wildlife Service and the Recipient. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals will be made within 180 days after the receipt of the project proposal.

Appeals:

Not Applicable.

Renewals:

Recipients may request funding for a subsequent year/cycle of a currently funded project. However, these requests are subject to the same submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 2 CFR 200.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program does not have a statutory matching requirement. However, to the extent possible, Federal funds should be matched by non-Federal in-kind or cash contributions. Selection preference is given to projects for which matching funds are available. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. See the following for information on how assistance is awarded/released: Recipients request awarded funds as needed and in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific

legislation/regulation or special award terms. Program will include any special award terms and conditions in the notice of award.

Reports:

No program reports are required. No cash reports are required. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Domestic recipients must report expenditures using the SF 425, Federal Financial Report form. Non-domestic recipients must submit a financial table or spreadsheet in the same format as the original project proposal budget, showing itemized expenditures for the reporting period. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Project Grants (Discretionary): FY 16 \$0; FY 17 \$0; FY 18 \$0
USFWS is no longer accepting proposals under this program. No new funding is expected. CFDA should remain active to allow Bureau to close all currently open awards and report any associated amendments to government-wide systems that require the identification of an active CFDA number as a key reporting element.

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No new awards were issued in FY16. Fiscal Year 2017: This program is no longer accepting proposals. Fiscal Year 2018: This program is no longer accepting proposals.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per <http://www.doi.gov/pam/TermsandConditions.html>, acceptance of a Federal Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as starting work, drawing down or otherwise requesting funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by the Department of the Interior and are subject to the terms and conditions incorporated in to the Award either directly or by reference to the following: Program legislation/regulation, assurances, special conditions, the Code of Federal Regulations, and other regulatory requirements, as applicable.

Awards will be administered in conformance with the Assistance Award Guidelines established by the Division of International Conservation. The Assistance Award Guidelines can be found on-line at http://www.fws.gov/international/DIC/pdf/AAG_9-6-07.pdf or in paper copy by contacting the Division of International Conservation at (703) 358-1754.

Regional or Local Office:

None.

Headquarters Office:

Division of International Conservation U.S. Fish and Wildlife Service
Department of the Interior
5275 Leesburg Pike, MS: IA, Falls Church, Virginia 22041-3803 Email: wwb_ad@fws.gov Phone: (703)358-1754 Fax: (703)358-2115

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/amphibians-in-decline.html>

RELATED PROGRAMS:

15.640 Wildlife Without Borders- Latin America and the Caribbean ; 15.641 Wildlife Without Borders-Mexico ; 15.651 Wildlife Without Borders-Africa Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No new awards were issued in FY16. USFWS is no longer accepting proposals for this program. Fiscal Year 2017: N/A. Fiscal Year 2018: N/A.

CRITERIA FOR SELECTING PROPOSALS:

To be considered for funding, proposals must specify a conservation action for an eligible species. The Division of International Conservation ranks proposals by scoring how well each addresses the program priority areas and the requested proposal elements. High priority, well-justified and feasible projects that address all of the requested proposal elements will receive higher scores. These scores are not the sole determining factor for final funding decisions. Other review criteria include considering the degree to which a project:
Demonstrates the high degree of threat or extinction risk that the target species experiences;

Addresses a conservation need of a species that is exceptional ecologically, evolutionarily, or in conservation (Note that it is the responsibility of the applicant to articulate what quality makes the species exceptional, but examples would include a species that strongly influences the ecological community in which it occurs, a species that is genetically distinct with few close relatives, a species that exhibits a distinctly unusual behavior, or a species whose conservation would catalyze the conservation of multiple species);

Occurs in a region or conserves a taxon poorly represented in the Amphibians in Decline portfolio;

Makes a significant and durable contribution to the survival of the species, or to the integrity of its habitat or an area with significant conservation value for the species;

Addresses a conservation need identified by a specialist group or by a regional, national or global strategy;

Applies the best scientific and technical information and methods available in support of project activities;

Includes the participation of local partners in project activities, including meaningful involvement of government, community or civil society stakeholders;

Is feasible and likely to be successfully implemented as stated;

Advances the practice of conservation by being designed and implemented in a way that the successes and failures of activities can be credibly assessed and shared;

Emphasizes conservation action rather than data collection; and

Implements activities or provides benefits that have the potential to be sustaining beyond the period of performance of the grant, including training that develops local capacity to implement or manage conservation projects.

The above considerations are not listed in any order of importance. All considerations listed do not necessarily apply to every proposal. Following review, applicants may be asked to revise the project scope and/or budget before a final funding decision can be made.

**15.673 WILDLIFE WITHOUT BORDERS CRITICALLY
ENDANGERED ANIMAL CONSERVATION FUND**

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, as amended (16 U.S.C. 1531-43).

OBJECTIVES:

The goal of this grants program is to reduce threats to highly endangered wildlife in their natural habitat. Proposals should identify specific conservation actions that have a high likelihood of creating durable benefits, such as: Protection of at-risk populations; Veterinary/wildlife health interventions; Activities related to execution of species survival plans; Strengthening local capacity to conserve the target species; Actions addressing unsustainable exploitation; Efforts to mitigate or stop wildlife-human conflict; and Rehabilitation and rescue.

Project activities that emphasize data collection and status assessment should describe a direct link to management action, and explain how lack of information has been a key limiting factor for management action in the past. Proposals that do not identify how actions will reduce threats, or do not demonstrate a strong link between data collection and management action, have a lower likelihood of being selected. Proposed project work should occur within the species range, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to its conservation.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Species eligible for funding are those that face a very high risk of extinction in the immediate future. Species should meet the criteria to be listed as Critically Endangered or Endangered on the International Union for the Conservation of Nature (IUCN) Red List. Species listed as Data Deficient on the IUCN Red List are also eligible if the applicant can provide information that suggests a similar urgency for conservation action. Species listed as Extinct in Wild are eligible if the applicant is proposing a reintroduction attempt. The following species are NOT eligible for funding: Species with natural habitat range located primarily within the United States, territories of the United States, Canada, and the high income economies of Europe; Species that are eligible for funding under one of the Multinational Species Conservation Fund programs, including: Asian elephant, African elephant, rhinoceros (all species), tiger (all subspecies), gorilla, chimpanzee, bonobo, orangutan, gibbons (all species) and marine turtles (all species); and Amphibian species that are eligible for funding through the Wildlife Without Borders - Amphibians in Decline program, including frogs, toads, salamanders, newts, and caecilians. Proposed project work should occur within the species range, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to its conservation.

Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel. Program will fund projects that conserve the worlds most endangered species. 100%.

Applicant Eligibility:

Applications may be submitted by any non-domestic (outside of the United States) government agency responsible for endangered species conservation and any other U.S.-based or non-domestic public or private organization or institution or individual with demonstrated experience in endangered species conservation.

Beneficiary Eligibility:

Non-domestic (outside of the United States) government agencies responsible for endangered species conservation and any other U.S.-based or non-domestic public or private non-governmental organization or institution or individual with demonstrated experience in endangered species conservation.

Credentials/Documentation:

Yes. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov>. Complete application information can also be found at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>, or, if unable to access the website, by requesting a paper copy from the Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, VA 22203, Telephone: (703) 358-1754. A proposal will not be considered complete if required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 Application for Federal Assistance.

Award Procedure:

Projects are reviewed and competitively selected for funding based on established criteria. Review criteria can be found in the application information at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the Fish and Wildlife Service and the Recipient. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals will be made within 180 days after the receipt of the project proposal.

Appeals:

Application Deadline for FY 16: To Be Determined.

Renewals:

Recipients may request funding for a subsequent year/cycle of a currently funded project. However, these requests are subject to the same submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 2 CFR 200.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. No, this program has no matching requirements. However, to the extent possible, Federal funds should be matched by non-Federal in-kind or cash contributions.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. See the following for information on how assistance is awarded/released: Recipients request awarded funds as needed and in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation/regulation or special award terms. Program will include any special award terms and conditions in the notice of award.

Reports:

No program reports are required. No cash reports are required. Recipients must

submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Domestic recipients must report expenditures using the SF 425, Federal Financial Report form. Non-domestic recipients must submit a financial table or spreadsheet in the same format as the original project proposal budget, showing itemized expenditures for the reporting period. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Project Grants (Discretionary): FY 16 \$0; FY 17 \$0; FY 18 \$0 USFWS is no longer accepting proposals under this program. No new funding is expected. CFDA should remain active to allow Bureau to close all currently open awards and report any associated amendments to government-wide systems that require the identification of an active CFDA number as a key reporting element.

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No new awards were issued in FY16. Fiscal Year 2017: This program is no longer accepting proposals. Fiscal Year 2018: This program is no longer accepting proposals.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per <http://www.doi.gov/pam/TermsandConditions.html>, acceptance of a Federal Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as starting work, drawing down or otherwise requesting funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by the Department of the Interior and are subject to the terms and conditions incorporated in to the Award either directly or by reference to the following: Program legislation/regulation, assurances, special conditions, the Code of Federal Regulations, and other regulatory requirements, as applicable.

Awards will be administered in conformance with the Assistance Award Guidelines established by the Division of International Conservation. The Assistance Award Guidelines can be found on-line at http://www.fws.gov/international/DIC/pdf/AAG_9-6-07.pdf or in paper copy

by contacting the Division of International Conservation at (703) 358-1754.

Regional or Local Office:

None.

Headquarters Office:

Division of International Conservation U.S. Fish and Wildlife Service
Department of the Interior,
5275 Leesburg Pike, MS: IA, Falls Church, Virginia 22041-3803 Email:
wwb_ceacf@fws.gov Phone: (703)358-1754 Fax: (703)358-2115

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/critically-endangered-animals-conservation-fund.html>

RELATED PROGRAMS:

15.640 Wildlife Without Borders- Latin America and the Caribbean ; 15.641 Wildlife Without Borders-Mexico ; 15.651 Wildlife Without Borders-Africa Program; 15.672 Wildlife Without Borders Amphibians in Decline

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No new awards were issued in FY16. USFWS is no longer accepting proposals for this program. Fiscal Year 2017: N/A. Fiscal Year 2018: N/A.

CRITERIA FOR SELECTING PROPOSALS:

To be considered for funding, proposals must specify a conservation action for an eligible species, listed above in the Description of Funding Opportunity. The Division of International Conservation ranks proposals by scoring how well each addresses the program priority areas and the requested elements listed in the Application and Format section above. High priority, well-justified and feasible projects that address all of the requested proposal elements will receive higher scores. These scores are not the sole determining factor for final funding decisions. Other review criteria include considering the degree to which a project:

- Demonstrates the qualification of the target species as experiencing a high degree of threat or extinction risk; Addresses a conservation need of a species that is exceptional ecologically, evolutionarily, or in conservation (Note that it is the responsibility of the applicant to articulate the exceptional quality of the species, but examples would include a species that strongly influences the ecological community in which it occurs, that is genetically distinct with few close relatives, that exhibits a distinctly unusual behavior, or whose conservation would catalyze the conservation of multiple species);
- Occurs in a region or conserves a taxon poorly represented in the CEACF portfolio;
- Makes a significant and durable contribution to the survival of the species, or to the integrity of its habitat or an area with significant conservation value for the species;
- Addresses a conservation need identified by a specialist group or by a regional, national or global strategy;
- Applies the best scientific and technical information and methods available in support of project activities;
- Includes the participation of local partners in project activities, including meaningful involvement of government, community or civil society stakeholders;
- Is feasible and likely to be successfully implemented as stated;
- Advances the practice of conservation by being designed and implemented in a way that the successes and failures of activities can be credibly assessed and shared;
- Emphasizes conservation action rather than data collection; and
- Implements activities or provides benefits that have the potential to be sustaining beyond the period of performance of the grant, including training that develops local capacity to implement or manage conservation projects.

These considerations are not listed in order of importance. All considerations listed do not necessarily apply to every proposal. The Division of International Conservation occasionally receives and funds proposals outside of the announced submission deadlines that are of such a critical nature (examples include projects resulting from a natural disaster, disease outbreak, other imminent threats or population crash, among others) that they warrant immediate consideration.

**15.674 NATIONAL FIRE PLAN-WILDLAND URBAN INTERFACE
COMMUNITY FIRE ASSISTANCE**

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies: 42 U.S.C. 1856 et seq., Appropriations Act of 2001, Title IV, Public Law 106-291. Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016, Department-wide Programs, Wildland Fire Management, Public Law 114-113.

OBJECTIVES:

To implement the National Cohesive Wildland Fire Management Strategy and assist communities at risk from catastrophic wildland fires by providing assistance in the following areas: Implementation of community programs that develop and enhance local capability in the areas of risk assessment and planning, training, mitigation activities, and community and homeowner education and action;

Planning and implementation of fuels management reduction activities aimed at mitigating the threat of catastrophic wildfire to communities and natural resources in high risk areas, including associated monitoring or maintenance, on federal land and/or on adjacent nonfederal land;

Enhancement of local and small business employment opportunities for rural communities; and providing education and training focused on increasing knowledge and fire protection capability of rural fire districts. Projects that include a cost-share or service in-kind contribution will have priority for selection.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Cooperative Agreements (Discretionary Grants); Dissemination of Technical Information; Provision of Specialized Services; Training; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

GIS analysis, outreach and education efforts, and other general support associated with fuels management and risk reduction activities; and increasing the effectiveness of wildland urban interface fire protection. In addition, FWS staff may be involved in project activities. FWS involvement may include the provision of technical assistance, advising in the development of Community Wildfire Protection Plans or equivalent. All projects selected must meet all applicable National Environmental Protection Act (NEPA) laws. Funding levels vary across fiscal years and regions. For more information, please contact the announcing regional office fire program. Fuel reduction projects are restricted to those that protect both FWS Service managed (federal) lands and adjacent non-Federal land from the damaging effects of catastrophic wildfire. FWS will fund projects that support community-based efforts including, but not limited to: addressing defensible space and fuels management issues that reduce wildfire risk. 100 percent of discretionary funds are used for project activities. 100 percent of discretionary funds is used for project activities.

Applicant Eligibility:

State and local governments and communities at risk and communities of interest, as published in the Federal Register/Vol. 66, No. 160 / Friday, August 17, 2001 or updated Governor-signed list, Indian Tribes, private land owners, public and private education institutions, and nonprofit organizations that manage lands. All selectees must be identified as significant to FWS, deemed by a cooperative agreement, memorandum of understanding, and/or part of an interagency agreement that serves as a clearinghouse for HFR assistance monies.

Federal law mandates that all organizations applying for Federal financial assistance must have a valid Dun & Bradstreet Data Universal Number System (DUNS) number and have a current registration in the Central Contractor Registry (CCR). Individuals submitting an application on their own behalf and not on behalf of a company, state, local or tribal government, academia or other type of organization are exempt from the DUNS number and CCR requirements.

Beneficiary Eligibility:

State and local governments and communities at risk and communities of interest, as published in the Federal Register or updated Governor-signed list,

Indian Tribes, private land owners, public and private education institutions, and nonprofit organizations that manage lands. All selectees must be identified as significant to FWS. For mitigation activities (projects that are removing hazardous fuels) all work funded must be identified from a signed CWPP or CWPP/E or will be signed by expected funding date.

Credentials/Documentation:

The applicant must provide evidence that they are: party to an agreement with the State Forester (or equivalent) or Tribe; party to a cooperative fire agreement/memorandum of understanding with a DOI USFWS; or is a cooperator, or in progress of being a cooperator, with the Department of the Interior (DOI) through a cooperative agreement with the DOI or their respective State, or equivalents such as Fire Safe Councils, Prescribed Fire Councils, etc. Applicants must reference the applicable CWPP or CWPP/E by name and community. Applicants must be in close proximity to DOI lands and communities within the wildland urban interface. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All projects must meet Federal, State, and Local laws for environmental considerations. This will vary between states and is site specific. This is the responsibility of the applicant. For mitigation activities (projects that are removing hazardous fuels), all parties must have a signed CWPP or CWPP/E or will be signed by expected funding date. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must provide a detailed proposal including a completed and signed SF424 Application for Federal Assistance, a completed SF424A Budget Information (Non-construction) form, and a signed SF424B Assurances form. Detailed instructions for submitting a proposal will be posted on Grants.gov.

Award Procedure:

The FWS reviews Wildland Urban Interface community projects at a Regional level and makes funding recommendations through each Regions annual work plan process. Applications are reviewed to ensure applicants meet the eligibility requirements and have the necessary documentation/credentials. The workgroup then establishes each applicants level of significance (LOS). Factors to be considered in this assessment include: The applicants proximity to DOI - FWS managed lands and cooperation role for the Wildland/Urban Interface; how well the applicants proposals would increase Service success for limiting damages from wildfire; Other local or regional criteria, disclosed in advance to grant applicants.

Favorable consideration will be directed to those applicants that are in compliance with environmental regulations; have promptly expended previous funds awarded; comply with monitoring and reporting requirements in timely fashion; and, have consistently maintained cost-share commitments. The workgroup will also evaluate the applicants relationship in supporting initiatives, direction plans such as National Cohesive Wildland Fire Management Strategy and its Implementation Plan, State fire plans, community wildfire protection plans or equivalent(s), and fire management plans. FWS staff will notify applicants of review results by either issuing a fully executed Award either electronically or through the mail, or by sending written notification to the applicant that the application will not be funded.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The approval time for financial assistance applications varies depending on the Region, type and complexity of the project, funding levels, and expertise availability.

Appeals:

Not Applicable.

Renewals:

Recipients may request funding for a subsequent year/cycle of a currently funded or new project. However, these requests are subject to the same

submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 2 CFR 200.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching contributions can be in-kind or cash. The applicant must demonstrate the capability to meet cost share requirements which may include in-kind services. Cooperator contribution may exceed, but not amount to less than 10 percent of the amount awarded. Examples of in-kind services may include, but are not limited to, facility use incurred by an applicant for hosting training courses; Funding or in-kind resources may not be derived from other federal funding programs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Recipients request awarded funds as needed and in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation/regulation or special award terms. Program will include any special award terms and conditions in the notice of award. Program obligates funds and sends a notice of award to successful applicants. See the following for information on how assistance is awarded/released: Program obligates funds and sends a notice of award to successful applicants.

Reports:

No program reports are required. No cash reports are required. Financial assistance recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Financial assistance recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Financial assistance recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1125-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$100,000; FY 17 est \$100,000; and FY 18 est \$100,000 - Cooperative Agreements (Discretionary Grants): FY16 \$100,000; FY17 \$100,000; FY18 \$100,000.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-14-1125-16.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program funded 1 WUI projects in 1 community at risk

from wildfire. Fiscal Year 2017: The program anticipates funding WUI projects in communities at risk from wildfire. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per <http://www.doi.gov/pam/TermsandConditions.html>, acceptance of a Federal Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as starting work, drawing down or otherwise requesting funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by the Department of the Interior and are subject to the terms and conditions incorporated in to the Award either directly or by reference to the following: Program legislation/regulation, assurances, special conditions, the Code of Federal Regulations, and other regulatory requirements, as applicable.

The Fish and Wildlife Services wildland urban interface community assistance program is guided by the provisions of the National Fire Plan. A variety of public information on this plan is available by contacting the appropriate Regional Office Fire Management program or the National Interagency Fire Center's web site at: <http://www.nifc.gov>.

Regional or Local Office:

None.

Headquarters Office:

Gillian Fay Fire Budget Officer, U.S. Fish and Wildlife Service, National Interagency Fire Center, 3833 South Development Avenue,

, Boise, Idaho 83705 Phone: 208-387-5536

Website Address:

<http://www.fws.gov/fire>

RELATED PROGRAMS:

15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance; 15.948 National Fire Plan-Wildland Urban Interface Community Fire Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The program funded one WUI project in one community at risk from wildfire. Fiscal Year 2017: The program anticipates funding WUI projects in communities at risk from wildfire. Projects funded accomplished mitigation activities (projects that remove hazardous fuels) identified as a priority from a CWPP or CWPP/E and reduced the risk from wildland fire to community values and resources in the vicinity of FWS lands. Fiscal Year 2018: The program anticipates funding WUI projects in communities at risk from wildfire.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on their State and local governments and communities at risk and/or communities of interest, as published in the Federal Register or updated Governor- signed list, Indian Tribes, private landowners, public and private education institutions, and nonprofit organizations that manage lands. All selectees must be identified as significant to FWS. For mitigation activities (projects that are removing hazardous fuels) all work funded must be identified from a have a signed CWPP or CWPP/E or will be signed by expected funding date on, and have the ability to reduce the risk from wildland fire to community values and resources, and to increase the capabilities of rural fire organizations, in the vicinity of Fish and Wildlife Service lands.

15.676 YOUTH ENGAGEMENT, EDUCATION, AND EMPLOYMENT (YEEEP)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Powers and Duties of the Secretary of the Interior (16 U.S.C. 460 Sec. 4601 (lower case L)-1(d) and (f); Fish and Wildlife Act of 1956, as amended by the Fish and Wildlife Improvement Act of 1978 (16 U.S.C. 742a-754j-2, as amended; Fish and Wildlife Coordination Act (16 U.S.C. 661); National Fish

Hatchery System Volunteer Act of 2006 (Public Law 109-360); National Wildlife Refuge System Improvement Act of 1997; National Wildlife Refuge System Volunteer and Community Partnership Enhancement Act of 1998 (16 U.S.C. 742j); Public Lands Corps Act of 1993, as amended 2005 (16 U.S.C. 1721-1726, P.L. 109-154); Youth Conservation Corps Act of 1972 (P.L. 93-408).

OBJECTIVES:

To provide experiential, education, and employment program opportunities for youth of all ages (pre-K through early adult life, including students, associates, fellows, interns, members, and volunteers) to participate in conservation activities conducted by the U.S. Fish and Wildlife Service and/or in collaboration with other Department of the Interior bureaus, e.g., Bureau of Lands Management, National Park Service, U.S. Geological Survey; other federal agencies, i.e., Agriculture, Commerce, Corporation for National and Community Service, Environmental Protection Agency, and Labor; and non-Federal entities. The intent of these education, career and leadership development programs is to engage, educate, and employ youth participants to fields of natural resources conservation. A goal of these programs is to promote intra-agency and external partnership that support or enhance youth conservation initiatives and potential career and employment opportunities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Recipients will use the assistance in rural and urban settings to fulfill the need for exposure of youth of all ages to nature and conservation; stewardship of our lands, waters and wildlife; as well as to enhance and sustain cultural heritage. The intent of these education, career and leadership development programs is to engage, educate, and employ youth participants in the various fields of natural resources conservation fisheries management, habitat restoration, invasive species, pollinators, marine biology, migratory birds, strategic planning and lands management consistent with the Services mission. These activities and projects are conducted by the U.S. Fish and Wildlife Service often in collaboration with other Department of the Interior bureaus, e.g., Bureau of Lands Management, National Park Service, U.S. Geological Survey; other federal agencies, i.e., Agriculture, Commerce, Corporation for National and Community Service, Environmental Protection Agency, and Labor; and non-Federal entities. Refer to Program Guidelines attached to the Application Package of the funding opportunity posted, as appropriate, to www.grants.gov. 100% of funding is discretionary.

Applicant Eligibility:

Applicants may be state agencies, local governments, Tribal organizations, interstate, Intrastate, public nonprofit institution/organization, other public institution/organization, private nonprofit/organization, or any other organization subject to the jurisdiction of the United States with interests that support the mission of the Service.

Beneficiary Eligibility:

Applicants may be state agencies, local governments, Tribal organizations, interstate, Intrastate, public nonprofit institution/organization, other public institution/organization, private nonprofit/organization, or any other organization subject to the jurisdiction of the United States with interests that support the mission of the Service.

Credentials/Documentation:

NONE. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit an Application for Federal Assistance (SF-424), Budget Narrative, and other standard forms as specified in the funding opportunity posted, as appropriate, to www.grants.gov.

Award Procedure:

The program posting a funding opportunity to www.grants.gov, as appropriate, adheres to the award review, selection and award procedures described in its authorizing legislation.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Refer to the Application Guidelines attached to the funding opportunity posted, as appropriate, to www.grants.gov.

Appeals:

NONE.

Renewals:

None. Recipients may request funding for a subsequent year/cycle of a currently funded project. However, these requests are subject to the same submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 2 CFR 200.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Refer to the Application Guidelines attached to the funding opportunity posted, as appropriate, to www.grants.gov.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to the Application Guidelines attached to the funding opportunity posted, as appropriate, to www.grants.gov. See the following for information on how assistance is awarded/released: Program obligates funds and sends a notice of award to successful applicants. Recipients request awarded funds as needed and in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation/regulation or special award terms. Program will include any special award terms and conditions in the notice of award.

Reports:

No program reports are required. No cash reports are required. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$9,400,000; FY 17 est \$8,500,000; and FY 18 est \$8,500,000 - Project Grants (Discretionary): FY16 \$ 9,400,000; FY17 est. \$8,500.00 ; FY18 est. \$8,500,000.

Range and Average of Financial Assistance:

\$750.00 - \$200,000.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Received 219 applications and issued 219 awards. Fiscal Year 2017: Anticipate receiving 200 applications and issuing 200 awards. Fiscal Year 2018: Anticipate receiving 200 applications and issuing 200 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Drew Burnett, National Conservation Training Center, 5275 Leesburg Pike, MC: EA , Falls Church, Virginia 22041-3803 Email: drew_burnett@fws.gov Phone: (703)358-2606

Website Address:

<http://www.youthgo.gov>

RELATED PROGRAMS:

15.649 Service Training and Technical Assistance (Generic Training); 15.654 Visitor Facility Enhancements - Vehicle Pullouts, Interpretive Trails, and Kiosks; 15.664 Fish and Wildlife Coordination and Assistance Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Student Conservation Association: Conservation Corps Crews (multiple locations); National Environmental Education Foundation: Hands on the Land Outdoor Environmental Education Classrooms (multiple locations); Transforming Youth Outdoors: Web-based Learning Platforms for Conservation Careers; American Conservation Experience: Youth Employment Wildlife and Habitat Management Projects; Salmon Valley Stewardship: Youth Employment Anadromous Hatchery Operation Project. Fiscal Year 2017: Program has not yet selected projects for funding. Program anticipates funding projects similar to FY16 that support youth internships, development and implementation of conservation education/engagement programs and materials. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects similar to FY15 that support youth internships, development and implementation of conservation education/engagement programs and materials.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selection vary and are dependent upon authorizing legislation and/or program-specific guidelines posted with the funding opportunity posted, as appropriate, on www.grants.gov.

15.677 HURRICANE SANDY DISASTER RELIEF ACTIVITIES-FWS FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Disaster Relief Appropriations Act of 2013, Public Law 113-2.

OBJECTIVES:

To provide technical and financial assistance to identify, protect, conserve, manage, enhance or restore habitat and structures on both public and private lands that have been negatively impacted by Hurricane Sandy.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assistance is provided to fund projects that relate to relief and rebuilding activities that improve or restore habitat and structures affected by the disaster.

These projects may include, but are not limited to assessments, protection, restoration and monitoring activities. 100% of funding is discretionary.

Applicant Eligibility:

Awards may be made to State/Local Governments, Indian Tribal Governments, Non-Profits, Institutes of Higher Education, Hospitals and For-Profit companies.

Beneficiary Eligibility:

These projects will directly benefit the public as a whole.

Credentials/Documentation:

Yes (use OMB Circular A-87 and supplement 2 CFR 225), if award is made to a State, Local or Indian Tribal Government. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard SF-424 application forms must be used as part of an application.

Award Procedure:

Announcement of funding opportunity will be posted on grants.gov, seeking applications from various entities. Selection criteria will be posted at that time.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 45 working days after either the receipt of an application package or the closing date of the grants.gov announcement, dependent upon the complexity of the proposal.

Appeals:

Not Applicable.

Renewals:

Projects may be renewed as needed, if justified and if funds are available.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. No matching is required, however, matching funds are encouraged to allow for additional restoration and may be used as an evaluation factor for the selection of projects to award. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. See the following for information on how assistance is awarded/released: Recipients request awarded funds as needed and in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation/regulation or special award terms. Program will include any special award terms and conditions in the notice of award.

Reports:

No program reports are required. No cash reports are required. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR

200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1612-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$1,742,046; FY 17 est \$8,000,000; and FY 18 est \$500,000 - PROJECT GRANTS (Discretionary): FY 16 \$1,742,046; FY 17 \$8,000,000; FY 18 \$500,000. FY 17 and FY 18 amounts are estimates based on prior year funding levels only. Funding levels are increasing for FY 17 and FY 18 due to the receipt of National Fish and Wildlife funding for monitoring and assessment activities.

Range and Average of Financial Assistance:

Newly established program.

TAFS Codes:

14-0102.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 16, 4 projects were completed. Two dams were removed to restore fish passage in Connecticut and Maryland and major culverts were replaced to allow for salt marsh restoration in the Massachusetts Cape Cod region and a Living Shoreline was created in Virginia. Two new agreements were awarded in the areas of salt marsh restoration for Rhode Island and geomorphology studies and 7 Student Conservation Association agreements were awarded. Fiscal Year 2017: Through the first quarter, 3 projects have been completed. Two were resiliency studies and one dam removal in Connecticut. We anticipate up to 17 more close outs in the areas Salt Marsh Resiliency Studies, Bird Nesting and Monitoring Projects, Salt Marsh Restoration, and Dam Removal. Fiscal Year 2018: We anticipate multiple monitoring and assessment activities occurring across Region 5.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200.

Regional or Local Office:

See Regional Agency Offices. Refer to Program Guidelines attached to funding opportunity as appropriate, on www.grants.gov for national, regional, or field office contact information.

Gary Dupuis - CGS
Cheryl Lawrence - CGS
Arnold Zinan - CGS.

Headquarters Office:

Lisa Virgilio US Fish and Wildlife Service, 300 Westgate Center Drive, Hadley, Massachusetts 01035 Phone: 413-253-8243

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: We awarded 2 new agreements, one for salt marsh restoration and the other was in geomorphology studies. The restoration project was for dredging the salt marsh on the Narrow River in Rhode Island. 8 modifications added funding to existing programs. Fiscal Year 2017: We anticipate up to 25 new Monitoring and Assessment agreements across most of the existing Sandy

Projects. These projects will evaluate the success/failure of the Recovery and Resiliency Project previously funded. Fiscal Year 2018: Monitoring and Assessment projects will continue to 2022, we anticipate a few minor awards in FY 18.

CRITERIA FOR SELECTING PROPOSALS:

Program specific criteria is specified in the announcements of individual financial assistance opportunities.

15.678 COOPERATIVE ECOSYSTEM STUDIES UNITS

(CESU)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Coordination Act of 1958, 16 U.S.C.661; Land and Water Conservation Fund Act of 1965, as amended (16 U.S.C. 4601-4 through 11); Endangered Species Act of 1973 (16 U.S.C. 1531-1543); Fish and Wildlife Conservation Act (16 U.S.C. 2901-2911; 94 Stat. 1322); The Fish and Wildlife Act of 1956 (16 U.S.C. 742a-742j; Stat. 1119), as amended, Migratory Bird Treaty Act of 1918, as amended (16 U.S.C 703-712); The Coastal Barrier Resources Act of 1982 (CBRA) (16 U.S.C. 3501 et seq.); and the Public Lands Corps Act of 1993, as amended 2005 (16 U.S.C. 1721-1726, P.L. 109-154).

OBJECTIVES:

The Cooperative Ecosystem Studies Units (CESU) Network is a national, collaborative consortium of federal agencies, tribes, universities, state and local governments, and other partners that provide scientific research, technical assistance, and education on natural and cultural resource issues to federal land management, environmental, and research agencies. There are currently 17 CESUs throughout the country. Each is comprised of a host university, multiple federal agencies, and additional academic and nonfederal partners. The mission of the CESU Network is to: Provide resource managers with high-quality scientific research, technical assistance, and education; provide resource managers with solid natural resource information; deliver research and technical assistance that is timely, relevant to resource managers, and needed to develop and implement sound adaptive management approaches; ensure the independence and objectivity of research; create and maintain effective partnerships among federal agencies and universities to share resources and expertise; take full advantage of university resources while benefiting faculty and students; provide research, technical assistance, and educational functions within the context of cultural, social, biological, and physical sciences; enhance the ability of Federal natural and cultural resource managers to generate, acquire, synthesize, act upon, and articulate to the public sound research and scientific information; encourage professional development of federal scientists and enhance their ability to manage federal science resources efficiently.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

CESU awards can be used for research, studies, assessments, monitoring, technical/scientific assistance, and educational services having to do with natural science or cultural resources. CESU projects can be funded only as cooperative agreements. Partners must be approved partners of the CESU Network. 100% of funding is discretionary.

Applicant Eligibility:

The CESU Network consists of colleges and universities, including but not limited to Land Grant schools, nongovernmental conservation organizations, state and local governments, and federally recognized American Indian tribal governments, in partnership with Federal natural resource and scientific agencies. State and local governments, federally recognized American Indian tribal governments, public/private nonprofit organizations, nonprofit institutions of higher education, and individuals. Applicant entity must have expertise in the contemplated scientific research, technical assistance, information dissemination, and educational functions within the context of cultural, social, biological, and physical sciences as applicable to resource management. Prospective CESU Network nonfederal and federal partners follow a procedure which is outlined at the programs website.

Beneficiary Eligibility:

University faculty; federal employees by accessing increased opportunities for interdisciplinary, multi-agency research projects related to federal resource management issues; any entity which may, will, or can benefit from the contemplated activity; students, researchers, experts, and instructors as members of the general public.

Credentials/Documentation:

Applicant must be associated with a CESU Network institution. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements and complete application instructions are posted online at Grants.gov at <http://www.grants.gov>. All applicants must submit a complete, signed Standard Form 424, Application for Federal Assistance.

Award Procedure:

Applications for funding will be reviewed and approved by USFWS staff based on the project-specific review criteria to be detailed in the funding opportunity posting. USFWS staff will notify applicants of review results by either issuing a fully executed Award either electronically or through the mail, or by sending written notification to the applicant that the application will not be funded.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days. Typically within 180 days of proposal receipt.

Appeals:

None.

Renewals:

None. Recipients may request funding for a subsequent year/cycle of a currently funded project. However, these requests are subject to the same submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 2 CFR 200.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. See the following for information on how assistance is awarded/released: Recipients request awarded funds as needed and in accordance with 2 CFR 200, unless otherwise dictated by program-specific legislation/regulation or special award terms. Program will include any special award terms and conditions in the notice of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be

required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$5,457,930; FY 17 est \$6,000,000; and FY 18 est \$6,000,000 - PROJECT GRANTS (Discretionary): FY16 \$5,457,930; FY17 \$6,000,000; FY18 \$6,000,000

FY17 and FY18 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

\$20,000 - \$150,000 (\$85,000).

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program received 115 applications and issued 75 awards. Fiscal Year 2017: Program anticipates receiving 150 applications and issuing 100 awards. Fiscal Year 2018: Program anticipates receiving 150 applications and issuing 100 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200.

Regional or Local Office:

See Regional Agency Offices. <http://www.fws.gov/offices/index.html>.

Headquarters Office:

Anna-Marie York U.S. Fish and Wildlife Service
5275 Leesburg Pike MS: SA , Falls Church, Virginia 22041-3803 Email:
anna-marie_york@fws.gov Phone: 703-358-1881

Website Address:

<http://www.fws.gov/science/cesu.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Defining habitat quality for northern grassland birds in Montana - quantify nest density and nesting success and subsequently habitat quality for grassland birds in northeast Montana. Fiscal Year 2017: Controlling Invasive Plants to Benefit Rough Popcornflower in Douglas County Oregon will curb encroachment of invasive native and non-native plants and shrubs that threaten to encroach on rough popcornflower habitat. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects for research, studies, assessments, monitoring, technical/scientific assistance, and educational services having to do with natural science or cultural resources.

CRITERIA FOR SELECTING PROPOSALS:

Merit review will be based on the relevance to program's objectives and uses.

15.679 COMBATING WILDLIFE TRAFFICKING

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

National Strategy for Combating Wildlife Trafficking; Executive Order 13648; July 5, 2013; Implementation Plan of the National Strategy for Combating Wildlife Trafficking; February 11, 2014; Endangered Species Act of 1973, as amended (16 U.S.C. 1531-43).

OBJECTIVES:

On February 11, 2014, President Obama issued the National Strategy for Combating Wildlife Trafficking. Incorporating recommendations from the Advisory Council on Wildlife Trafficking, the Implementation Plan was released on February 11, 2015 to guide and direct the efforts of Federal agencies in executing the Strategy. The Presidents plan establishes three priorities:

- 1.) Strengthen Global Enforcement (both domestic and global),
- 2.) Reduce Demand for Illegally Traded Wildlife, and
- 3.) Expand International Cooperation and Commitment.

The Plan establishes 24 Implementation Plan Objectives for which the U.S. Fish and Wildlife Service (USFWS) is identified as a lead or participating agency.

Financial assistance will be provided for innovative projects that advance counter wildlife trafficking activities (wildlife defined to include terrestrial and aquatic species and timber) as outlined in the Next Steps of the National Strategy Implementation Plan.

Priority will be given to innovative projects that address one or more of the Implementation Plan Objectives and Next Steps detailed at: <https://obamawhitehouse.archives.gov/the-press-office/2014/02/11/fact-sheet-national-strategy-combating-wildlife-trafficking-commercial-b>.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Wildlife trafficking threatens a staggering array of terrestrial, freshwater, and marine species, including: elephants, rhinos, tigers, sharks, tuna, sea turtles, land tortoises, great apes, exotic birds, pangolins, sturgeon, coral, iguanas, chameleons, and tarantulas. Wildlife trafficking also includes trafficking of fisheries products and related threats to food supplies and food security. It is also well-established that wildlife trafficking is facilitated and exacerbated by illegal harvest of and trade in plants and trees, which destroys needed habitat and opens access to previously remote populations of highly endangered wildlife, such as tigers.

Projects submitted for funding under this program must address the above cited species, both terrestrial and marine, and take a multifaceted approach in dealing with the problem of illegal wildlife trafficking as outlined in the Implementation Plans Objectives. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of Intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. These funds may not be used towards training U.S. Federal Government personnel. Program will fund projects that link directly to the National Strategy for Combating Wildlife Trafficking: Implementation Plan.

(<https://www.whitehouse.gov/sites/default/files/docs/nationalstrategywildlifetrafficking.pdf>). 100% of funding is discretionary.

Applicant Eligibility:

Applicants under this program can be: individuals; multi-national secretariats; foreign governments; US and foreign non-profits, non-governmental organizations, community and indigenous organizations; US and foreign public

and private institutions of higher education; and U.S. and foreign for-profit organizations.

Applicants that submit proposals to other USFWSs International award programs are ineligible from submitting that same proposal to this program. If duplicate submissions are discovered those proposals will be disqualified.

Beneficiary Eligibility:

Non-domestic (outside of the United States) government agencies responsible for combating wildlife trafficking and any other U.S.- based or non-domestic public or private non-governmental organization or institution or individual with demonstrated experience in the activities proposed.

Credentials/Documentation:

Federal law (2 CFR Part 25, Universal Identifier and System for Award Management) mandates that all entities applying for Federal financial assistance, except individuals applying on their own behalf and not on behalf of any entity, must have a valid Dun & Bradstreet Data Universal Number System (DUNS) number and have a current registration in the System for Award Management (SAM).

Applicant entities or their key project personnel identified in the SAM.gov Exclusions database as ineligible, prohibited/restricted or excluded from receiving Federal contracts, certain subcontracts, and certain Federal assistance and benefits will not be considered for Federal funding, as applicable to the funding being requested under this Federal program.

U.S. non-profit, non-governmental organizations with 501(c)(3) Internal Revenue Status must provide a copy of their Section 501(c)(3) status determination letter received from the Internal Revenue Service. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-applications must contain a completed, signed and dated Application for Federal Assistance form (SF-424); completed, signed and dated Assurances - Non-Construction form (SF-424b); budget table and budget justification; and a pre-proposal concept of up to four pages.

B.

C. Pre-applications must:

D.

E. (1) Clearly identify the primary Objective and/or Next Steps from the National Strategy Implementation Plan to be addressed by the project;

F. (2) Detail how the project will combat wildlife trafficking and any anticipated outputs and conservation outcomes; and

G. (3) Demonstrate that the applicant has a comprehensive understanding of the problem(s) to be addressed by their project.

H.

I. Pre-applications should include the best available information on:

The wildlife species being trafficked

Routes and key transit points

Who is doing the harvesting, trafficking and/or consuming of illegal wildlife and wildlife products

How the proposed activities build upon or differ from past efforts.

J.

K. Letters of support from government agencies and other partners and curriculum vitae of key personnel do not count toward the four page limit, and may be included as support for the applicants capacity to implement proposed activities effectively and an indication of past history of coordinating with partners.

Based on a review of pre-applications, selected applicants will and must be invited to submit a full application. Technical assistance is available by contacting USFWS program staff at CWT@fws.gov. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The pre-application announcement and program announcement, and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov>. Complete application information can also be found at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html> or, if unable to access the website, by requesting a paper copy from the Division of International Conservation, U.S. Fish and Wildlife Service, 5275 Leesburg Pike, MS:IA, Falls Church, Virginia, 22041, Telephone: (703) 358-1754. An application will not be considered complete if required elements are not submitted as instructed.

Award Procedure:

Pre-applications and subsequent full applications are reviewed and competitively selected for funding based on established criteria. Review criteria can be found in the Notice of Funding Opportunity posted on the Internet at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html> Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the Fish and Wildlife Service and the Recipient. Fully executed awards are sent to the Recipient electronically.

Deadlines:

Feb 05, 2017 February 5, 2017.

Range of Approval/Disapproval Time:

From 90 to 120 days. Decisions on Pre-applications are made within 90 days from the submission deadline. Decisions on full applications are typically made within 180 days after the receipt of the application, but not later than September 30 each year.

Appeals:

Not Applicable.

Renewals:

None. Recipients may request funding for a subsequent year/cycle of a currently funded project. However, these requests are subject to the same submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 2 CFR 200.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Program will include any special award terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Program obligates funds and sends a notice of award to successful applicants. Recipients request awarded funds as needed and in accordance with 2 CFR 200, unless otherwise dictated by program-specific legislation/regulation or special award terms.

Reports:

No program reports are required. No cash reports are required. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$1,300,000; FY 17 est \$1,000,000; and FY 18 est \$1,000,000 - PROJECT GRANTS (Discretionary): FY16 \$1,300,000; FY17 \$1,000,000 est; FY18 \$1,000,000 est. FY17 and FY18 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

We anticipate issuing 10 awards for \$100,000 or less each to be used for projects lasting one year.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program received 172 proposals, and issued 17 awards. Fiscal Year 2017: The program anticipates receiving approximately 172 proposals, and issuing 15 awards. Fiscal Year 2018: The program anticipates receiving approximately 172 proposals, and issuing 15 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and USFWS Standard Award Terms and Conditions (<http://www.fws.gov/grants/atc.html>). Awards will be administered in conformance with the Assistance Award Guidelines established by the Division of International Conservation. The Assistance Award Guidelines can be found on-line at <https://www.fws.gov/international/grants-and-reporting/information-for-grantee.shtml> or in paper copy by contacting the Division of International Conservation at (703) 358-1754.

Regional or Local Office:

None.

Headquarters Office:

Nikole Tucker Headquarters Office
Division of International Conservation
U.S. Fish & Wildlife Service
5275 Leesburg Pike, MS: IA
, Falls Church, Virginia 22041 Phone: (703)358-2379

Website Address:

<http://cwt@fws.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: This program supported projects for: 1) Counter wildlife trafficking efforts in the four provinces of central Sumatra that overlay the 5,400 square mile Kerinci-Seblat National Park, by strengthening law enforcement through increased capacity to recognize, address, and interdict organized illegal wildlife trade, poaching syndicates, and the kingpin traders driving wildlife crime; 2) Counter wildlife trafficking efforts of precious timber species (ebony and rosewood) in the Mamabay landscape in northeastern Madagascar by building the capacity of local community actors in wildlife conservation and field-based government agents in effective enforcement and prosecution; 3) Counter wildlife trafficking efforts in Peru by improving effectiveness of enforcement actions and disrupting wildlife trafficking routes in Peru

FY17: Fiscal Year 2017: Program anticipates funding projects that are effective and similar in scope as those of FY2016. Fiscal Year 2018: Program anticipates funding projects that are effective and similar in scope as those of FY 2016.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.680 MEXICAN WOLF RECOVERY

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, 16 U.S.C. 1531 et. seq. as amended; Public Law 93-205, 87 Stat. 884, Endangered Species Act Amendments of 1978, Public Law 95-632, 92 Stat. 3751, and Endangered Species Act Amendments of 1982, Public Law 97-304, 96 Stat. 1411.

OBJECTIVES:

To provide federal financial assistance to secure Mexican Wolf information, undertake actions that will lead to delisting of the Mexican Wolf, and help prevent extinction or aid in the recovery of the Mexican Wolf.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This opportunity is limited to projects that further the recovery of the Mexican Wolf, which may be described in a species' approved recovery plan, in the implementation schedule of a species' approved recovery plan, or actions recommended in complete 5-year status review of the species or species management plan. These are discretionary funds that are used for project purposes to help ensure the use of the best available scientific information in making management decisions. The amount of funds set aside for discretionary activities varies each year. 100% of funds available are discretionary.

Applicant Eligibility:

State and local government agencies; Institutions of higher education, including public, private state colleges and universities; nonprofits that have 501(c)(3) status with the IRS; Native American tribal organizations (other than recognized tribal governments); city, county or township governments; individuals; Native American tribal governments (federally-recognized); for-profit organizations; and small businesses.

Beneficiary Eligibility:

State and local government agencies; Institutions of higher education, including public, private state colleges and universities; nonprofits that have 501(c)(3) status with the IRS; Native American tribal organizations (other than recognized tribal governments); city, county or township governments; individuals; Native American tribal governments (federally-recognized); for-profit organizations; and small businesses.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

There is no formal pre-application coordination required under this program, however potential applicants are encouraged to contact the Mexican Wolf Coordinator to discuss their projects prior to application. The Service must conduct environmental compliance reviews for projects conducted in the United States. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must complete the Standard Form (SF)-424, and the appropriate Budget and Assurances forms (SF-424A and SF-424B Non-construction; or SF-424C and

SF-424D Construction). Applicants must also submit a project summary page, and a project description. The project description section of the application must address the evaluation factors identified in the Full Announcement posted on www.grants.gov.

Award Procedure:

Notification of an award is the issuance of a grant or cooperative agreement document by Awarding Official. Each proposal is reviewed by the Mexican Wolf Recovery Program staff who make recommendations for funding. The evaluations are based on the criteria outlined in the request for federal assistance. The proposals are ranked and the Mexican Wolf Recovery Coordinator selects proposals that best support the program within available funding. Once a recovery activity is selected for funding, a funding instrument, i.e., notice of award, between Fish and Wildlife Service and the recipient is drafted. The award is processed through the Southwest Regional Ecological Services office and sent to the recipient for signature. The award is considered executed when signed copies of the agreement are received from the recipient and the Ecological Services office has obligated funds to the agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Up to 180 days, however consideration of applications will ultimately be based on FWS budget availability which varies from year to year.

Appeals:

Not Applicable.

Renewals:

None. Recipients may request funding for a subsequent year/cycle of a currently funded project. However, these requests are subject to the same submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 2 CFR 200.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program will include any special award terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Program obligates funds and sends a notice of award to successful applicants. Recipients request awarded funds as needed and in accordance with 2 CFR 200, unless otherwise dictated by program-specific legislation/regulation or special award terms.

Reports:

No program reports are required. No cash reports are required. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 16 \$481,711; FY 17 est \$550,000; and FY 18 est \$580,000 - New Program - This program uses U.S. Fish and Wildlife Service Regional and Field Office discretionary funding, if available. The amount of actual funding will vary from year to year. Funding may not be available every year.

Range and Average of Financial Assistance:

0 to \$250,000; Average \$78,571.00.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program funded 9 awards. With this funding, we were able to accomplish DNA analysis of wolves in the wild population, management of Mexican wolves at the Ladder Ranch Wolf Management Facility, management of Mexican wolves in Arizona and on the Fort Apache Indian Reservation, and curation of Mexican wolf parts and blood at the University of New Mexico. Fiscal Year 2017: Anticipate fewer than 10 applications and awards. Fiscal Year 2018: Anticipate fewer than 10 applications and awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200. U.S. Fish Award Terms and Conditions (<https://www.fws.gov/grants/atc.html>).

Regional or Local Office:

See Regional Agency Offices. Sherry Barrett, Mexican Wolf Recovery Coordinator, 2105 Osuna Road NE, Albuquerque, NM 87113 505-761-4748 Sherry_barrett@fws.gov.

Headquarters Office:

Janet Huff 500 GOLD AVE SW, Albuquerque, New Mexico 87102 Phone: 5052486477

Website Address:

<https://www.fws.gov/southwest/es/mexicanwolf/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Funding was used for projects that contributed to management and recovery of the Mexican Wolf. Fiscal Year 2017: Should funding become available, the U.S. Fish and Wildlife Service (Service) intends to fund projects that contribute to the management and recovery of the Mexican Wolf species in the United States. Projects that address species response to climate change receive additional consideration. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

This opportunity is limited to projects that further the recovery of the Mexican Wolf, which may be described in the Mexican Wolf approved recovery plan, in the implementation schedule of the recovery plan, actions recommended in a completed 5-year status review of the Mexican wolf or Mexican Wolf management plan. Each proposal is evaluated using the following criteria: (1) Strategic Priorities: proposals must be responsive to identified issues and information needs. All projects must have a direct association with the recovery of the Mexican wolf (2) Technical and Scientific Merit: Technical quality of the

proposal must meet accepted standards for information and collection, compilation, analysis, and reporting. Proposals must have clear objectives and specified progress and final reports; (3) Organizations should have demonstrated technical and administrative expertise to complete the proposed recovery activity, and (4) Applicants cost/price proposal will be evaluated for reasonableness. For a price to be reasonable, it must represent a price to the government that a prudent person would pay when consideration is given to prices in the market. Normally, price reasonableness is established through adequate price completion, but may also be determined through cost and price analysis techniques.

Each of these items carries a "Go/No-Go" impact: direct linkage of a Monitoring Program project, enrollment in SAM.gov; affirming in writing in your application that you have enrolled in SAM.gov; providing your DUNS Number; submission of completed SF-424, SF-424A, and SF-424B package; and submission of approved Indirect Cost Negotiation Agreement if requesting reimbursement for indirect costs.

15.681 COOPERATIVE AGRICULTURE

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Coordination Act (16 U.S.C. 661667e); Migratory Bird Conservation Act (16 U.S.C. 715); National Wildlife Refuge System Administration Act, as amended by the National Wildlife Refuge System Improvement Act (16 U.S.C. 668dd); Refuge Revenue Sharing Act (16 U.S.C. National Wildlife Refuge System Regulations, Economic Uses and Cooperative Land Management (50 CFR 29.1-2).

OBJECTIVES:

The objectives for the use of cooperative agriculture in the National Wildlife Refuge System (NWRS) are: Production or modification of specific cover types and/or growing methods that meet the life history requirements of species for which we have established objectives (e.g., waterfowl production); and Production of foods for wildlife species for which we have established objectives or maintenance, rehabilitation, or reestablishment of natural habitat.

TYPES OF ASSISTANCE:

Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Cooperative agriculture is when a person or entity conducts agricultural practices on NWRS lands in support of the Services conservation and resource management objectives and there is substantial involvement between the Service and that person or entity. The NWRS uses cooperative agreements, known as Cooperative Agriculture Agreements (CAAs), as the legal instruments to formalize the agreement between the Service and the program participant. The cost-sharing of a person or entity in cooperative agricultural on NWRS lands can vary depending on the needs and objectives of the particular NWRS land. For example, the Service may provide the cooperators with the right to perform agricultural practices on NWRS land and a percentage of any resulting crop yield, as well as the ability to use Service water, equipment, and/or refuge staff. In exchange, the cooperators may provide the Service with labor, equipment, and materials; a percentage of any resulting crop yield; and/or maintenance, rehabilitation, or reestablishment of specific habitat conditions on NWRS lands. This is a nonfinancial assistance program. No Federal funds are obligated or awarded to program participants. This is a nonfinancial assistance program; no Federal funds are obligated or awarded to program participants.

Applicant Eligibility:

Applicants must be private individuals or small business entities.

Beneficiary Eligibility:

Selected cooperators who conduct cooperative agriculture on NWRS lands under this program, excluding coordination areas (which are areas managed by the Service in coordination with the States).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The program will post an annual Notice of Participation Opportunity on Grants.gov. The annual notice will provide a link to the NWRS website where individual cooperative agricultural opportunities will be posted as they become available (<https://www.fws.gov/refuges/>). Individual cooperative agriculture opportunities will also be advertised through other national, regional, and local outreach channels as determined by the Service to be appropriate. The notice for each new opportunity will include a general description of the opportunity, the objective criteria the Service will use to evaluate applicants, the application due date, and application instructions.

Individual cooperative agriculture agreements are typically approved for a 3-5 year period. Once that period ends, the Service will determine if those same cooperative agriculture activities should continue. If the Service will continue those same cooperative agriculture activities, a new individual cooperative agriculture opportunity will be posted on the NWRS website and made available for eligible entities to apply.

In general and at a minimum, applicants must provide completed FWS Form 3-1383-C (<https://www.fws.gov/forms/3-1383-C.pdf>) to apply for a cooperative agricultural opportunity. The Service will accept applications at the local refuge or complex office listed in the Notice in compliance with the terms and conditions in the Notice.

Award Procedure:

The Service will score each application based on the objective criteria outlined in the NOFO, specific opportunity requirements, and factors that make a potential recipient uniquely qualified. The Service will select the applicant best suited to conduct cooperative agriculture on NWRS lands. The Service will notify all applicants individually within 90 days after the closing of the opportunity notice. Unsuccessful applicants will be notified in writing describing why they were unsuccessful and of their right to appeal any adverse decision in accordance with 50 CFR 25.45.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

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Appeals:

Yes, the decision regarding an award of a CAA may be appealed in compliance with 50 CFR 25.45.

Renewals:

None. After the term of the CAA has ended, the Service will review the cooperative agricultural opportunity. If we plan to continue it, we will publish a notice and award the CAA again following a competitive review and selection process. Previous cooperators are eligible to participate but must apply to be considered.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

. See the following for information on how assistance is awarded/released:
Once a cooperative agriculture opportunity has been awarded, the Service and the cooperator will work together to finalize the agreement terms and conditions. The program will include any special award terms and conditions in the notice of award. The program sends a notice of award to successful applicants.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. The Service monitors cooperator performance and compliance with agreement terms and conditions as part of our substantial involvement responsibilities under this program.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

00-0000-0-0-000 - N/A. This is a nonfinancial assistance program.

Obligations:

(Use of Property, Facilities, and Equipment) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - N/A. This is a nonfinancial assistance program.

Range and Average of Financial Assistance:

\$0. This is a nonfinancial assistance program.

TAFS Codes:

00-0000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: N/A. Fiscal Year 2017: New Program. Fiscal Year 2018: In FY2018 the program anticipates to receive 150 applications and issue 50 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

For information about Program policy and guidelines see Service policy 620 FW 2, Cooperative Agriculture Use Policy in the Fish and Wildlife Service.

Regional or Local Office:

None.

Headquarters Office:

Aaron Mize U.S. Fish and Wildlife Service, National Wildlife Refuge System Headquarters, Falls Church, Virginia 22041-3803 Email: Aaron_mize@fws.gov Phone: (703)358-2678

Website Address:

<https://www.fws.gov/refuges/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Objective criteria may vary depending on the needs and objectives of a refuge (or other NWRS lands). Objective criteria may include:

- (1) Experience in the type of agricultural opportunity posted, especially personal experience on NWRS lands or comparable land;
- (2) Experience and ability to successfully cultivate crops or produce specific cover types and/or growing methods that meet the life history requirements of species for which we have established objectives under Service or similar restrictions;
- (3) Having the proper equipment, materials, labor, and/or other resources to participate in the cost-sharing nature of a CAA; and
- (4) Other objective criteria necessary to ensure the cooperative agriculture meets the resource management objectives of the NWRS lands.

15.805 ASSISTANCE TO STATE WATER RESOURCES RESEARCH INSTITUTES

(Water Research Institute Program)

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Water Resources Research Act of 1984, Section 104, Public Law 98-242 as amended, 42 U.S.C. 10301 et seq.

OBJECTIVES:

To provide financial support to Water Resources Research Institutes located at designated State universities in each of the 50 States, Puerto Rico, the District of Columbia, Guam, the Virgin Islands, American Samoa, the Commonwealth of the Northern Mariana Islands, and the Federated States of Micronesia for research, information transfer and student training on one or more aspects of priority water problems.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program has two parts: a mandatory formula grant program and a competitive project grant program. The mandatory grant program provides the State Institutes with funds to support a State and regional program of water resources research and information transfer. This may include basic or applied research, setting up conferences to discuss research needs or results, conduct of research, information dissemination, and training of students as a byproduct of research. In general the funds are used to study regional, State, or local water problems, but the results may often have national significance. The competitive project grant program supports research focused on water problems of a regional or interstate nature and which relate to program priorities identified jointly by the State Institutes and the Geological Survey. Federal funds cannot be used for (1) formal instructional activities and general education, and (2) the cost of permanent buildings, either directly or indirectly.

Applicant Eligibility:

One University Water Research Institute is authorized in each State and other jurisdictions specified in Section 104. Other colleges and universities within a State are encouraged to participate in the program in cooperation with the designated Institute.

Beneficiary Eligibility:

Researchers at qualified universities and colleges in the State through the designated Institute for the State.

Credentials/Documentation:

Proposed Research and Information Transfer Program application responsive to instructions issued by the U.S. Geological Survey. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Formula Grant Program: Requires each Institute to collaborate with State interests in defining research priorities and to coordinate with other Institutes in the region to avoid duplication of effort. Competitive Grant Program: Requires that the Institutes collectively collaborate with the Geological Survey in setting research priorities. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Formula Grant Program: Geological Survey approves or rejects, in part or as a whole, the program submitted by each State Institute. The State Institutes are notified of any amendments necessary to obtain Geological Survey approval. Competitive Grant Program: Geological Survey conducts a formal review and selection procedure and approves or rejects applications as a whole. Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Jan 19, 2017 January 19, 2017 for Annual Base Grants (104b) and June 1, 2017

for the National Competitive Grants (104g).

Range of Approval/Disapproval Time:

90 days.

Appeals:

Formula Grant Program: Deficiencies noted by the Geological Survey can normally be corrected by the State Institutes. Competitive Grant Program: None.

Renewals:

None.

Formula and Matching Requirements:

Statutory Formula: Title 42 U.S.C. 10303(c) and (g). Amount to each State Water Research Institute under the formula grant is on a basis of one Federal share to not less than two nonfederal shares. Amount to each State Water Research Institute under the competitive grant is on a basis of one Federal share to not less than one nonfederal shares.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Payments are advanced at least quarterly upon application and consistent with Financial Plan submitted previously by institute for use of grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

Directors of the State Institutes are responsible for conduct of programs and maintaining books and records reflecting financial and technical transactions of the program. Records shall be retained and made available for audit for three years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$6,200,000; FY 17 est \$6,200,000; and FY 18 est \$6,200,000

Range and Average of Financial Assistance:

(Formula Grants) \$92,335 to \$277,005; average \$95,755. (Competitive Grants) \$140,162 to \$249,949; average \$212,950.

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: For the Annual Base Grants the program received 54 applications and issued 54 awards. For the National Competitive Grants the program received 83 applications and issued 5 awards. Fiscal Year 2017: For the Annual Base Grants the program anticipates receiving 54 applications and issuing 54 awards. For the National Competitive Grants the program anticipates receiving 154 pre-proposals of those inviting 30 to submit full proposals and issuing 5 awards. Fiscal Year 2018: For the Annual Base Grants the program anticipates receiving 54 applications and issuing 54 awards. For the National Competitive Grants the program anticipates receiving 150 pre-proposals of those inviting 30 to submit full proposals and issuing 5 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Rule, 30 CFR Part 401, 50 FR 27203, June 7, 1993; Geological Survey Request for Applications under the Water Resources Research Institute Grant Program (Section 104), Announcement G17AS00017, December 2015 at <https://niwr.net>. Applications under the Water Resources Research National Competitive Grant Program, Announcement No. G16AS200017, December, 2015 at <https://niwr.net> and <http://water.usgs.gov/wrri/index.php>

Regional or Local Office:

None.

Headquarters Office:

Earl Greene Department of the Interior, Geological Survey, Office of External Research, 5522 Research Park Drive., Baltimore, Maryland 21228 Email: earlene@usgs.gov Phone: (443) 498-5505

Website Address:

<http://water.usgs.gov/wrri/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Program funded water research on topics of 1) water quality (e.g. Detection of sewage contamination in urban areas of the Great Lake); 2) water availability (e.g. Controls on Hydrologic Partitioning, Residence Time and Solute Export in a Snow-Dominated Watershed); and 3) understanding aquatic ecosystems from a water quality and quantity perspective (e.g. Effects of dissolved organic carbon on methylmercury bioavailability in stream ecosystems). Fiscal Year 2017: Program has not yet selected projects for funding. Program anticipates funding projects that focus on water problems and issues of a State or are a regional or interstate concern. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects that focus on water problems and issues of a State or are a regional or interstate concern.

CRITERIA FOR SELECTING PROPOSALS:

Competitive Grant Program: Relevance to announced priorities, technical merit, prospects of attaining objectives in period specified and potential for student training.

15.807 EARTHQUAKE HAZARDS PROGRAM ASSISTANCE

Earthquake Hazards Program Grants

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

42 U.S.C. 7701 et seq.

OBJECTIVES:

To support earthquake hazards research and monitoring in order to develop information, knowledge, and methods relevant to the major Earthquake Hazards Program elements: Assessment and Characterization of Earthquake Hazards; Monitoring and Reporting Earthquake Activity and Crustal Deformation; Conducting Research into Earthquake Causes and Effects; and Earthquake and Safety Information for Loss Reduction. Specific objectives and research tasks identified as measures of progress towards the goals of these program elements are described in greater detail in Program Announcements posted to [Grants.gov](https://grants.gov).

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Specific research and monitoring objectives are identified in Program Announcements posted to [Grants.gov](https://grants.gov).

Applicant Eligibility:

Public and private colleges and universities; Non-profit, non-academic organizations; For-profit organizations; State and Local Governments; and unaffiliated scientists.

Beneficiary Eligibility:

Research scientists, engineers, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposals must furnish evidence of the principal investigator's qualifications for performance of the proposed research. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants will use the SF-424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be submitted only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Due dates for assistance applications are stated in [Grants.gov](https://grants.gov) postings. The range of approval is 5 to 9 months.

Appeals:

Not Applicable.

Renewals:

A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Recipients are encouraged to share in the cost of each research project.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all

financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$16,764,417; FY 17 est \$16,999,000; and FY 18 est \$16,999,000

Range and Average of Financial Assistance:

\$6,000 to \$1,340,000; average \$74,000.

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 217 competitive applications received; awarded 72 earthquake hazards grants & cooperative agreements. Fiscal Year 2017: As of 3/17/17 212 competitive applications received; awarded 44 earthquake hazards grants. Fiscal Year 2018: In FY18 the program anticipates receiving 200 applications and issuing 60 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Thomas L. Pratt Department of the Interior, Geological Survey, MS 905 National Center, 12201 Sunrise Valley Drive, Reston, Virginia 20192 Email: gd-erp-coordinator@usgs.gov Phone: (703) 648-6709 Fax: (703) 648-6717

Website Address:

<http://earthquake.usgs.gov/research/external/>.

RELATED PROGRAMS:

47.041 Engineering Grants; 47.050 Geosciences

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Source zone characteristics; Earthquake monitoring and early warning development and prototype testing; Induced seismicity studies; and Fault zone studies; and Seismic zonation and engineering studies. Fiscal Year 2017: Source zone characteristics; Earthquake monitoring and early warning development and prototype testing; Induced seismicity studies; and Fault zone studies; and Seismic zonation and engineering studies. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects that are similar to FY2016.

CRITERIA FOR SELECTING PROPOSALS:

Panels composed of expert scientists and engineers drawn from government, universities, and private industry evaluate all grant applications. Criteria for judging applications includes: relevance and timeliness of the proposed research to the goals of the program; technical quality of the proposal; competence of the principal investigator and recipient organization; and appropriateness and reasonableness of the budget.

15.808 U.S. GEOLOGICAL SURVEY RESEARCH AND DATA COLLECTION

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Organic Act of 1879, 43 U.S.C. 31, 43 U.S.C. 36c., 43 U.S.C. 36d.

OBJECTIVES:

To support research complementary to USGS program efforts in classification of the public lands and examination of the geological structure, water, mineral, and biological resources, and products of the national domain. This entry covers a variety of USGS assistance awards not covered by any other CFDA program entries. Awards are typically supported by funding from internal projects and programs, and funds are not separately budgeted or reserved for external projects or proposals under this entry.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Applications must be for scientific research projects which are within the Survey's area of responsibility. The majority of funds are used to support research that will enhance existing, long-term collaborative projects. Funds can be used for attendance at national conferences for the dissemination of project results. Further, conferences and symposia will be supported only if it is clear that equivalent results cannot be obtained at regular meetings of professional societies. Office furniture, office equipment, and foreign travel are not normally considered for support. Funds are set aside for discretionary activities.

Applicant Eligibility:

Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions/organizations, and State and local governments may make application for support by a named principal investigator. Due to limited availability of funds to support new external projects, consultation with USGS is strongly recommended prior to submission of applications.

Beneficiary Eligibility:

Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions/organizations, and State and local governments, industry and public decision makers, research scientists, engineers, and the general public will ultimately benefit from knowledge gained under the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed research. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

None. Applications maybe revised and resubmitted.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Generally, no statutory requirement. Recipients are encouraged to share in the cost of each research project. Appropriations language requires cooperating State and local governments to contribute at least one-half the cost of certain cooperative water resources investigation and cooperative mapping projects.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$106,960,000; FY 17 est \$100,000,000; and FY 18 est \$100,000,000

Range and Average of Financial Assistance:

\$1,000 to \$1,866,000; average \$70,000.

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not current data available. Fiscal Year 2017: Not current data available. Fiscal Year 2018: Not current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

USGS Guide for Submission of Unsolicited Proposals is available at: <http://www.usgs.gov/contracts/unsolbk.html>

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate regional office, depending on the location of the study. For Alabama, Connecticut, District of Columbia, Florida, Georgia, Illinois, Indiana, Kentucky, Maine, Maryland, Massachusetts, Michigan, Mississippi, New Hampshire, New Jersey, New York, North Carolina, Ohio, Pennsylvania, Puerto Rico, Rhode Island, South Carolina, Tennessee, Vermont, Virginia, West Virginia, and Wisconsin, write: Office of Acquisition and Grants, U.S. Geological Survey, 12201 Sunrise Valley Drive, MS 211, Reston, VA 20192. Telephone (703) 648-7485. For

Arkansas, Colorado, Iowa, Kansas, Louisiana, Minnesota, Missouri, Montana, Nebraska, New Mexico, North Dakota, Oklahoma, South Dakota, Texas and Wyoming, write: Office Acquisitions and Grants, U.S. Geological Survey, Denver Federal Center, Denver, CO 80225. Telephone: (303) 236-9315. For Alaska, Arizona, California, Hawaii, Idaho, Nevada, Oregon, Utah, and Washington, write: Office of Acquisition and Grants, U.S. Geological Survey, Modoc Hall, USGS, 3020 State University Drive East, Suite 2002, Sacramento, CA 95819. Telephone: (916) 278-9325.

Headquarters Office:

Geological Survey, This is not a single formal program; awards are not centrally managed or coordinated. Refer to Branch or Local Office contacts., Reston, Virginia 20192 Phone: (703) 648-4582.

Website Address:

<http://www.usgs.gov/contracts>.

RELATED PROGRAMS:

47.050 Geosciences

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Not current data available. Fiscal Year 2017: Not current data available. Fiscal Year 2018: Not current data available.

CRITERIA FOR SELECTING PROPOSALS:

Scientists within the Geological Survey will review and evaluate all applications consistent with authorized USGS program purposes. Criteria for judging applications will include scientific excellence and relevance to the solution of important problems within the mission of the Geological Survey. Applications will be funded only to the extent permitted by available funds.

15.809 NATIONAL SPATIAL DATA INFRASTRUCTURE COOPERATIVE AGREEMENTS

(NSDI CAP)

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Organic Act of March 3, 1879, 43 U.S.C. 31; Executive Order 12906, April 13, 1994.

OBJECTIVES:

The purpose of the National Spatial Data Infrastructure Cooperative Agreements Program (NSDI CAP) is to fund innovative projects in the geospatial data community to build the infrastructure necessary to effectively discover, access, share, manage, and use digital geospatial data. The NSDI consists of the technologies, policies, organizations, and people necessary to promote cost-effective production, ready availability, and greater utilization of geospatial data among a variety of sectors, disciplines, and communities. Specific NSDI CAP areas of emphasis include: documenting, implementing, and providing outreach standards including metadata; expanding geographic information coordination across and between organizational levels; promoting geospatial best practices; advancing geospatial related Web services; framework development; and clearinghouse establishment. The results of the awarded projects benefit multiple Federal agencies as well as the overall geospatial community.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Specific objectives are identified in the annual program announcement. Applications for projects not covered by one of the objectives are not solicited. Funds are set aside for discretionary activities.

Applicant Eligibility:

Proposals from State and local government agencies, educational institutions, private firms, private foundations, nonprofit organizations and Federally acknowledged or state-recognized Indian Tribes or groups are invited.

Beneficiary Eligibility:

Agencies and organizations participating in the project, as well as the general public will ultimately benefit from this program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed project. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 2 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Recipients are expected to cost-share depending upon the category of the project up to 50 percent of the amount of funding received from the Federal government. In 2012 the matching requirement was 30-50%. MOE requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12-month budget periods. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Annual and final financial reports must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Annual and final financial reports are must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$0; FY 17 est \$0; and

FY 18 est \$0 - (Cooperative Agreements (Discretionary Grants)) FY 16 \$0; FY 17 funding level to be determined.

Range and Average of Financial Assistance:

\$25,000 to \$70,000; average \$35,000.

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register Vol. 60, No. 242, December 18, 1995, page 65064; Commerce Business Daily announcement January 25, 1996, Section T, program Announcement No. 8187; internet URL <http://www.fgdc.gov/funding/cap2002.html>.

Regional or Local Office:

See Regional Agency Offices. Brigitta Urban-Mathieux, FGDC CAP Coordinator; Telephone: (703) 648-5175, Fax: (703) 648-5755, burbanma@fgdc.gov.

Headquarters Office:

Director, Department of the Interior, Geological Survey, Federal Geographic Data Committee Secretariat, MS 590 National Center, 12201 Sunrise Valley Drive, Reston, Virginia 20192 Email: gdc@usgs.gov Phone: (703) 648-4150 Fax: (703) 648-5755.

Website Address:

<http://www.fgdc.gov/grants>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be evaluated through formal review panels. Proposals will be evaluated on the quality and relevance of the project to the NSDI; the technical approach taken to implement the project; the level and diversity of participation and the applicant's experience and competence to perform the proposed effort successfully; and the extent to which the applicant shows evidence of a capability and willingness to continue and build upon the project when the agreement period ends.

15.810 NATIONAL COOPERATIVE GEOLOGIC MAPPING

(StateMap and EdMap)

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

National Geologic Mapping Reauthorization Act of 2009, Public Law 111-11.

OBJECTIVES:

To produce geologic maps of areas in which knowledge of geology is important to the economic, social, or scientific welfare of individual States. StateMap supports: (1) projects which produce new geologic maps with attendant explanatory information including correlation of map units, description of map units and symbols, stratigraphic columns, geologic sections, and other pertinent information; and (2) projects which compile existing geologic data in a digital form for inclusion in the National Digital Geologic Map Database. EdMap: Provide funding for undergraduate and graduate students in academic research programs, through cooperative agreements, that involve geologic mapping and scientific data analysis as major components; expand research and educational capacity of graduate programs that teach earth science students the techniques of geologic mapping and field data analysis; and facilitate the publication and distribution of geologic maps generated in field-based graduate academic research programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

STATEMAP: Only one application will be accepted from each State geological survey. State proposals cannot include in-kind services by the U.S. Geological Survey. Travel to meetings of professional societies is not an acceptable expense for the program, nor are funds intended for the purchase of computer hardware, software, or other nonexpendable property. Student tuition or reimbursement is not an allowable expense. EdMap: Proposals cannot include a request for in-kind services by the U.S. Geological Survey. Funds are not intended for the purchase of capital equipment. Student tuition or reimbursement is not an allowable expense. For further information, please contact the National Cooperative Geologic Mapping Program office. For further information, please contact the regional office.

Applicant Eligibility:

StateMap program is restricted by statute to State geological surveys. Where State surveys are organized under a State university system, an application may be submitted by a State college or university on behalf of the State geological survey. EdMap program is restricted to universities with geoscience or related departments or programs.

Beneficiary Eligibility:

State geological surveys participating in this program and the general public will ultimately benefit from this program.

Credentials/Documentation:

Proposals for StateMap must include a letter of support from the State Geologic Mapping Advisory Committee to qualify for funding. Proposals for EdMap must include a letter of support from a State Geologist or USGS Project Chief. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Sep 01, 2016 to Nov 15, 2017 Sep 01, 2016 to Nov 15, 2017 STATEMAP Each year the Requests for Proposals (RFP) will be distributed in early September. Applications must be received in early November (the exact date will be announced on grants.gov). EDMAP Each year the Requests for Proposals (RFP) will be distributed in early September. Applications must be received in early November (the exact date will be announced on grants.gov).

Range of Approval/Disapproval Time:

StateMap: Approval/disapproval will be announced by mid-February (or shortly after budget allocation). EdMap: Approval/disapproval will be announced by late February (or shortly after budget allocation).

Appeals:

Not Applicable.

Renewals:

StateMap: Proposals must be submitted for a 1-year project period only. However, projects that were approved and funded during the previous fiscal year may be submitted as renewals (competing continuations). A completely new proposal subject to full review, must be submitted each year. EdMap: Proposals may be submitted which request a performance period of 1 year at a time. Student mapping projects may last up to 2 years, however, each year a separate proposal must be submitted. Students are only allowed two years of

EdMap funding. Funding for year two will be determined through the annual competitive announcement.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The StateMap program is required by Public Law 111-11 to be carried out on a 1:1 matching basis; each recipient must match each Federal dollar with a nonfederal dollar. The nonfederal share may be contributions of services or cash provided to contractors to perform geologic mapping or other services directly applicable to proposed work on the project. The specific source(s) of the State contribution, such as State legislative appropriation, must be provided in the proposal. The EdMap program is required by Public Law 111-11 to be carried out on a 1:1 matching basis; each recipient must match each Federal dollar with a nonfederal dollar. The nonfederal share may be a contribution of funds or services. Such services can include those related to the student research project or cash provided to contractors, i.e., field assistants to perform geologic mapping or other services directly applicable to proposed work on the project. Student tuition is not an allowable expense for direct cost or match for either StateMap or EdMap. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$5,982,436; FY 17 est \$5,982,436; and FY 18 est \$0

Range and Average of Financial Assistance:

StateMap: \$8,000 to \$364,442; proposal average \$115,064. EdMap: \$5,572 to \$10,948 per student; average per student \$7,971. The maximum award allowed per graduate student is \$17,500 and \$10,000 per undergraduate student.

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: EdMap- Funded 31 students at 21 Universities on 23

Projects. StateMap funded 45 States. Fiscal Year 2017: No Data Available.
Fiscal Year 2018: No Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact Darcy K. McPhee, Associate Program Coordinator, National Cooperative Geologic Mapping Program, E-mail: dmphee@usgs.gov.

Headquarters Office:

Darcy K. McPhee Department of the Interior, U.S. Geological Survey, MS 908 National Center, 12201 Sunrise Valley Drive, , Reston, Virginia 20192 Email: dmphee@usgs.gov Phone: (703)648-6973

Website Address:

<http://www.ncgmp.usgs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals for both StateMap and EdMap are included in the Geological Survey program announcements.

15.811 GAP ANALYSIS

(GAP)

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, 16 U.S.C. 1531 et seq., Fish and Wildlife Act of 1956, 16 U.S.C. 742 et seq., Fish and Wildlife Conservation Act, 16 U.S.C. 661 et seq., Omnibus appropriations Act, Public Law 108-7.

OBJECTIVES:

Studies where native animal species and natural plant communities occur and identifies "gaps" in their representation to support conservation of biodiversity.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

GAP is used by Federal agencies, State agencies and other governmental bodies to develop land use or acquisition plans that incorporate information about the presence and distribution of biodiversity. There are no use restrictions. Funds are set aside for discretionary activities.

Applicant Eligibility:

Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions/organizations, and State and local governments may make application for support by a named principal investigator.

Beneficiary Eligibility:

Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions/organizations, State and local governments, as well as the general public will ultimately benefit from this program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed research. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior

regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Information not available.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Recipients request payment as needed through the award period.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$1,556,000; FY 17 est \$200,000; and FY 18 est \$400,000

Range and Average of Financial Assistance:

\$25,000 to \$400,000; average of \$84,000.

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Upon release, program announcements will be available on the Internet at <http://www.gapanalysis.gov>.

Headquarters Office:

Program Coordinator, National GAP Program, 970 Lusk Avenue, Forest and Rangeland Ecosystem Science Center - Snake River Field Station, Boise, Idaho 83706 Phone: (208) 426-5219.

Website Address:

<http://gapanalysis.usgs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Scientists within the Geological Survey will review and evaluate all applications submitted. Criteria for judging applications will include scientific excellence and relevance to the solution of important problems within the mission of the Geological Survey. Generally, applications will be funded only to the extent permitted by available funds.

15.812 COOPERATIVE RESEARCH UNITS

(CRUP)

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Cooperative Research Units Act, Public Law 86-686, Sec. 1, Sept. 2, 1960, 74 Stat. 733, as amended by the Fish and Wildlife Improvement Act of 1978, Public Law 95-616, Sec. 2, Nov. 8, 1978, 92 Stat. 3110.

OBJECTIVES:

To work in partnership with States and universities to address the information needs of local, State, and Federal fish, wildlife, and natural resource agencies through research, technical assistance, and education.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Authority is limited to research with an educational component conducted through one of 40 Cooperative Fish and Wildlife Research Units and their host universities. Awards are limited to information needs related to fish, wildlife, ecology, and natural resources of interest to program cooperators and partners. Funds are for discretionary activities.

Applicant Eligibility:

Universities hosting Cooperative Fish and Wildlife Research Units established under authority of the Cooperative Research Units Act of 1960.

Beneficiary Eligibility:

Federal, State and local governments, industry and public decision makers, research scientists, State institutions of higher education, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposed research application responsive to the instructions issued by the USGS. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Requires each research project to be conducted in collaboration and cooperation

with USGS personnel stationed at Cooperative Fish and Wildlife Research Units, and cooperating agency representatives. Discussions to determine interest and appropriateness of research projects for a Cooperative Fish and Wildlife Research Unit are required before proposals are submitted.

Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a Research Work Order document from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to 3 months.

Appeals:

Not Applicable.

Renewals:

All projects have a limited duration not to exceed 5 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

All records must be maintained for 3 years after submission of the final

financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$10,808,461; FY 17 est \$11,000,000; and FY 18 est \$11,000,000 - Research Work Orders funded with reimbursable funds provided by other Federal programs. No funds appropriated to the program are set aside or are separately identifiable for project grants. Recipients request payment as needed throughout the award period.

Range and Average of Financial Assistance:

\$5,000 to \$721,603; average \$52,081.

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 207. Fiscal Year 2017: Est. 225. Fiscal Year 2018: 225.

REGULATIONS, GUIDELINES, AND LITERATURE:

Provided to each of the 40 universities participating in the Cooperative Research Units Program.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Deputy Chief Department of the Interior, Geological Survey, Cooperative Research Units, 12201 Sunrise Valley Drive, Mail Stop 303, Reston, Virginia 20192 Email: jthompson@usgs.gov Phone: (703) 648-4262 Fax: (703) 648-4269

Website Address:

<https://www.coopunits.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Development and assessment of Adaptive Management methods; lamprey and salmonid migration and survival past dams; grassland birds following Hurricane Katrina; king rail habitat models; risk of fish disease introductions; biomarkers of fish endocrine disruptors; effects of commercial harvest on shovelnose sturgeon; human disturbance effects on warbler behavior; fish and amphibian conservation genetics, invasive species control techniques; use of UAV systems for natural resource management; climate change and water management effects in ridge-slough mosaics; hierarchical soil nutrient mapping for improved ecosystem change detection; endangered/threatened species research; factors effecting spread of CWD; effects of contaminants and toxins on fish; blood fractions as indicators of protein balance in reindeer and caribou; modeling the dynamics of avian influenza in wild birds; assessment of wildlife vulnerability to energy development. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must address natural resource and environmental issues of concern to federal agencies and be acceptable to local program cooperators and universities hosting Cooperative Fish and Wildlife Research Units. The Unit Leader of each Unit must determine this before the Unit and its host university submits a proposal to USGS. Projects must be for research and have educational components for graduate training, including post-doctoral fellows.

15.814 NATIONAL GEOLOGICAL AND GEOPHYSICAL DATA PRESERVATION

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

The Federal Energy Policy Act of 2005, Section 351, Public Law 109-58.

OBJECTIVES:

To preserve and provide access to geological, geophysical, and engineering samples (and any related data) extracted from the Earth to improve the breadth of information available and to inform science and decision making now and in the future.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Specific objectives are identified in the annual program announcement. Applications from States for proposals not covered by one of the objectives are not solicited. For more information, please contact the Program Office. For further information, please contact the regional office.

Specific objectives are identified in the annual program announcement. Applications from States for proposals not covered by one of the objectives are not solicited. For more information, please contact the Headquarters Office. For further information, please contact the regional office.

Applicant Eligibility:

State geological surveys are eligible to apply. For State geological surveys organized under a State university system, such universities may submit a proposal on behalf of or through the State geological survey.

Beneficiary Eligibility:

Research scientists, engineers, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposed research application responsive to the instructions issued by the USGS. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Dec 20, 2016 to Jan 31, 2017 Dec 20, 2016, to Jan 31, 2017. The Program Announcement was posted on [grants.gov](http://www.grants.gov) on December 20, 2016, with a submission deadline of January 31, 2017.

Range of Approval/Disapproval Time:

Three to six months after submittal of proposal or bureau receipt of appropriations, whichever is later.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: In order to gain the greatest leverage of limited Federal and State funds, each proposed State originated program must show a 1:1 Federal/State match of direct-and indirect-cost dollars. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants.

Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

Records shall be retained and made available for audit for three years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Project Grants (Discretionary)) FY 16 \$814,921; FY 17 est \$750,000; and FY 18 est \$750,000 - Recipients request payment as needed throughout the award period.

Range and Average of Financial Assistance:

For FY 15, the most recent year for which totals are available: range is \$3,132 to \$63,320; average is \$24,291.

For FY16, the most recent year for which totals are available: range of financial assistance is \$10,209 to \$79,472; average is \$37,042.

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Thirty-one states submitted applications. Twenty-two states were awarded funds totaling \$814,921. Successful state applicants funded 17,597 student hours. Other funded data preservation efforts included: preserving a legacy collection from geologic investigations of the Appalachian Mountains; aerial photographs showing landscape changes following the May 18, 1980, Mount St. Helens eruption; improving storage conditions for valuable rock core sections; archiving geologic samples from two of the Nations dangerous volcanic centers; digitizing and publicly releasing offshore geophysical data; and inventorying paleontological collections. Fiscal Year 2017: Thirty-seven states submitted applications. No further information is available, because funds have not yet been obligated. Fiscal Year 2018: Approximately 34 state applications are anticipated. No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Lindsay Powers Department of the Interior, U.S. Geological Survey, Box

25046, MS 975, Denver Federal Center, Core Science Systems., Denver, Colorado 80225 Email: lpowers@usgs.gov Phone: (302)2024828

Website Address:

<http://datapreservation.usgs.gov/index.shtml>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: During the project period, several state geological surveys proposed to scan and make digitally available historic photographs obtained during geological field work, including mineral, oil and gas resource, and geologic hazard investigations. The photographs capture outcrops, geological landscapes and structures, rock formations, pastoral scenes, and mine sites many of which have been modified by vegetation overgrowth, urbanization, and landscape processes. These photographs provide historic information that may otherwise be unavailable for a variety of purposes, such as geologic investigations, planning and development, environmental compliance, hazard mitigation, and cultural and archeological research.

Several state geological surveys proposed to catalog, digitize, and provide access to exploration and mining-related documents and ancillary artifacts, including geochemical data, reports, field notebooks, physical samples, and maps. These readily-available mining materials and associated data provide insightful information for research, educational, and historical pursuits; save users millions of dollars in recollection costs; and help generate new exploration projects, which benefit the economy and resource development. The historic mining collections are used by a variety of users, including geologists, miners, academics, governmental agencies, archeologists, historians, environmental consultants, legal community, and general public for a variety of purposes. Historic mining information helps to identify hazards and informs mitigation strategies for abandoned mine sites. U.S. Department of Interior, Office of Surface Mining Reclamation and Enforcement and state reclamation agencies frequently use historic mining information to investigate the location, depth, and extent of mines to plan land reclamation or re-development efforts. In Tennessee, historical field notebooks provided the only source of information for identifying unknown and abandoned underground mines, which have caused sinkholes in urbanized areas. Missouri efforts to preserve geologists field notebooks have yielded information for identifying areas contaminated with heavy metals resulting from mining activities.

Many states planned to preserve, photograph, and create metadata for rock core and cuttings collections obtained during oil and gas exploration and development. The photographs and associated information will be posted on the Internet to enhance access and use of these collections. Users will be able to view photographed characteristics of the specimens without having to travel to the core facilities to physically view samples. The readily available information will provide the opportunity for researchers to learn about the existence of the samples, inform studies of the subsurface, and may direct further exploration activities, such as determining locations of future drilling operations for resource development.

The preserved data, collections, and artifacts are described in the National Digital Catalog (<https://www.sciencebase.gov/catalog/item/4f4e4760e4b07f02db47dfb4>) and information about the Program is available at datapreservation.usgs.gov. Fiscal Year 2017: Information not available, because successful projects have not yet been selected. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Relevance to announced priorities, technical evaluation factors, and prospect of attaining program objectives.

15.815 NATIONAL LAND REMOTE SENSING EDUCATION OUTREACH AND RESEARCH

National Cooperative Geographic Information System

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Public Law 110-161, Organic Act 1879, 43 U.S.C. 31.

OBJECTIVES:

To promote the uses of space-based land remote sensing data and technologies through education and outreach at the State and local level and through university based and collaborative research projects. Technologies of interest include multispectral and hyper-spectral electro-optical, thermal, and radar.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Specific objectives are identified in the annual program announcement. Applications for research projects not covered by one of the objectives are not solicited. Funds are set aside for discretionary activities.

Applicant Eligibility:

Nonprofit organizations, Public and Private colleges and universities, and State and local governments may make an application for support by a named principal investigator.

Beneficiary Eligibility:

States, research scientists, engineers, education (0-13+) and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed education, outreach and research activities. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Jun 01, 2017 to Nov 01, 2017 June 1, 2017 for final year (FY17) of current grant activity which is a multi-year award; In anticipation of an FY18 competitive grant to be awarded, a tentative deadline for applications submission would be November 1, 2017.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions

in the notice of award. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. No cash reports are required. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

All records must be retained and made available for audit for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Project Grants (Discretionary)) FY 16 \$1,227,100; FY 17 est \$367,400; and FY 18 est \$1,250,000 - Project Grants: Actual FY16 \$1,227,100; Estimated FY17 \$367,400; Estimated FY18 \$1,250,000. Funding amount in FY17 reflects conclusion of 5-year competitive grant capped at \$5 million over 5 years. FY 18 estimate reflects anticipation of a newly awarded 5-year competitive grant, subject to availability of funds.

Range and Average of Financial Assistance:

\$1,217,400 to \$1,217,400; average \$1,217,400.

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: This program focuses on satellite remote sensing data and technologies in support of applied research, K-18 education, workforce development and technology transfer. Federal funds are leveraged to work together with other State organizations, Federal agencies and across States (StateViews). Continued educational and technical working groups to increase efficiencies. Continued work to develop remote sensing curricula that can be shared with and tailored to each participating educational institution (as well as workforce training). Select States worked to create a shareable archive of various remote sensing data that all State, local and non-governmental organizations can access.

Increased education and training in Earth Sciences (K-18). Conducted critical applied research real-time applications relevant to each State, sometimes expanding to and of benefit to regions of the U.S. Provided feedback to the U.S. Geological Survey to better understand State and local needs and user requirements. Additionally, the grant recipient continues to explore a distributed cloud-based network utilizing key educational institutions throughout the U.S. to aid in the more efficient distribution of large data sets such as Landsat. Fiscal Year 2017: Several FY16 activities will continue, along with a focus on regional and topical working groups to address various needs and issues at the regional, state and local levels. Work will continue on data access, educational efforts integrating remote sensing into more K-18 curricula and determining local communities remote sensing requirements to address timely issues such as water quantity and quality, hazard mitigation, and

resource management. Projects will continue in this final grant period estimated to be a 6-month period of performance. Fiscal Year 2018: Anticipate receiving 10 applications and issuing 1 award.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Thomas Cecere, Land Remote Sensing Program Office, Climate and Land Use Mission Area, Geological Survey, 516 National Center, Reston, Virginia 20192
Email: tcecere@usgs.gov Phone: (703) 648-5551 Fax: (703) 648-5939.

Website Address:

<http://www.usgs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: As a continuation of the National Land Remote Sensing Education, Outreach and Research Activity, which was awarded as a 5-year activity (initial year plus 4 optional years), these Year-4 projects were funded that assure all users of remotely sensed data have equal, efficient access to the data and can establish the means to conduct research using these data. Additionally, this program has funded projects focusing on K-18 education and outreach, remote sensing course development for the public, and the tailoring of remotely sensed imagery for State and local scientific and public use. Fiscal Year 2017: FY17 is the final option year of the five-year grant which includes projects that provide users equal, efficient access to remotely sensed data, as well as high-impact activities focusing on K-18 education and outreach, remote sensing course development for the public, and research applications developed to meet customer needs at the State and regional level. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects that will develop and establish a nationwide consortium to expand the use of remotely sensed data and the science of remote sensing through education, outreach and research applications development. Plans are for a multi-year grant activity subject to availability of funds.

CRITERIA FOR SELECTING PROPOSALS:

Panel composed of expert scientists and administrators drawn from government evaluate all assistance applications. Criteria for judging applications includes: relevance and timeliness of the proposed research to the goals of the program; technical quality of the proposal; competence of the principal investigator and recipient organization; and appropriateness and reasonableness of the budget.

15.817 NATIONAL GEOSPATIAL PROGRAM: BUILDING THE NATIONAL MAP

The National Map

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Organic Act of 1879; 43 U.S.C. 31; 43 U.S.C. 36c; 43 U.S.C. 36d; Department of the Interior and Related Agencies Appropriations Act of 2003, Public Law 108-7.

OBJECTIVES:

To organize, maintain, publish, and disseminate the geospatial baseline of the Nations topography, natural landscape, and built environment through The National Map, a set of basic geospatial information provided as a variety of products and services; to foster a general understanding of broad geographic patterns, trends, and conditions; and to increase the efficiency of the Nations geospatial community by improving communications about geospatial data, products, services, projects, needs, standards, and best practices.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Applications must be for data collection and research projects which are consistent with the objectives of the National Geospatial Program. The majority

of funds are used to support new data collections that will enhance The National Map and to research new methods to use those data. For further information, please contact the headquarters office. For further information, please contact the regional office. For further information, please contact the regional office.

Applicant Eligibility:

Proposals from State, Local, Interstate, Federally or State recognized Indian Tribal Governments, US Territories and Possessions, Institutions of Higher Education, Private Foundations, and Nonprofit Organizations are invited. Due to limited availability of funding to support new external projects, consultation with the U.S. Geological Survey (USGS) is strongly recommended prior to submission of applications.

Beneficiary Eligibility:

Interstate, State, intrastate, and local government agencies, educational institutions, private firms, private foundations, nonprofit organizations, Federally-acknowledged or state-recognized Native American tribes or groups, U.S. territories and possessions, public decision makers, research scientists, engineers, graduate students, students/trainees, and the general public will ultimately benefit from knowledge gained under the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed research. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Notwithstanding the provision OMB Circular A-110, Subpart C, _25(e)(1), discussions regarding funding availability and program interest are strongly encouraged, as limited funding is available for new projects. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement packages and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

One to six months.

Appeals:

Not applicable except that application may be revised and resubmitted.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Matching funds may be required for this program. Recipients may be required or encouraged to share in the cost of each project. See program announcements for funding details specific to each opportunity. Typical appropriations language requires cooperating State and local governments to contribute at least one-half of the cost of cooperative mapping projects. Proposals with 50% or greater matching funds will receive the highest consideration in order to maximize benefits from these assistance funds.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

Records shall be retained and made available for audit for 3 years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$3,500,000; FY 17 est \$2,350,000; and FY 18 est \$0

Range and Average of Financial Assistance:

\$25,000 to \$770,500; average \$134,682.

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 28 agreements funded. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. For individually-awarded projects, contact the appropriate office, depending on the location of the study.

Headquarters Office:

National Geospatial Program, Geological Survey, 12201 Sunrise Valley Drive, MS 511, Reston, Virginia 20192 Phone: (703) 648-5519.

Website Address:

<http://www.nationalmap.gov>

RELATED PROGRAMS:

10.903 Soil Survey; 15.808 U.S. Geological Survey_ Research and Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No current data available. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Panels of expert geographers, cartographers, physical scientists and geodesists

within the U.S. Geological Survey (USGS) will review and evaluate all applications consistent with authorized USGS program purposes. Criteria for judging applications will include scientific excellence and relevance to the mission of the National Geospatial Program of the USGS. Other factors include geographic location and areal extent, value to potential partners, technical qualifications of the recipients, and amount of matching funds.

15.818 VOLCANO HAZARDS PROGRAM RESEARCH AND MONITORING

Volcano Hazards Program

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Outer Continental Shelf Resource Management, 43 U.S.C. 36d.

OBJECTIVES:

To advance the scientific understanding of volcanic processes and to lessen the harmful impacts of volcanic activity. The Volcano Hazards Program (VHP) monitors active and potentially active volcanoes, assesses their hazards, responds to volcanic crises, and conducts research on how volcanoes work to fulfill a Congressional mandate (Public Law 93-288) that the USGS issue "timely warnings" of potential volcanic hazards to responsible emergency-management authorities and to the populace affected. VHP provides domestic assistance in order to expand the expertise and capabilities applied to fulfilling its mission.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Cooperative Agreements are entered into for the purpose of conducting monitoring and research as specified in Public Law 93-288. For further information, please contact the regional office.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed research and operations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Notwithstanding the provision at OMB Circular A-110, Subpart C, __.25 (e)(1), discussions regarding funding availability and program interest are strongly encouraged, as limited funding is available for new projects. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Established annually. The range of approval/disapproval time is from 3 to 6

months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Recipients are encouraged to share in the cost of each research project.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

Records must be maintained and made available for audit for three years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements) FY 16 \$2,876,300; FY 17 est \$400,000; and FY 18 est \$400,000

Range and Average of Financial Assistance:

\$20,000 to \$2,000,000; average \$250,000.

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Charles Mandeville Volcano Hazards Program Office, Geologic Discipline, Geological Survey, 3A204 National Center, Reston, Virginia 20192 Email:

cmandeville@usgs.gov Phone: (703) 648-4773 Fax: (703) 648-5483

Website Address:

<http://volcanoes.usgs.gov/>

RELATED PROGRAMS:

47.050 Geosciences

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Scientists within the Geological Survey will review and evaluate all applications consistent with authorized USGS program purposes. Criteria for judging applications will include scientific excellence and relevance to the solution of important problems within the mission of the Geological Survey. Applications will be funded only to the extent permitted by available funds.

15.819 ENERGY COOPERATIVES TO SUPPORT THE NATIONAL ENERGY RESOURCES DATA SYSTEM

(NCRDS)

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Public Health and Welfare, 42 U.S.C 15801; Minerals, Lands and Mines, 30 U.S.C 208-1; Public Lands, 43 U.S.C 31 et. seq.

OBJECTIVES:

To collect, interpret, correlate, and evaluate energy-related stratigraphic, chemical, and GIS data that support USGS Energy Resources Program research initiatives; to build and maintain national energy databases of; to conduct energy related research and apply knowledge gained to conduct resource assessments within the United States in order to further the understanding of energy resources within a global, geologic, economic and environmental context.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds may be used to conduct research to further the understanding of U.S. energy resource occurrence (coal, gas shale, coalbed methane, geothermal, conventional and unconventional oil and gas, etc.) and their geologic characteristics. Applications for proposals not covered by at least one of the objectives in the Program Announcement are not solicited. For further information, please contact the regional office. For further information, please contact the regional office.

Applicant Eligibility:

Applicants can be affiliated (but are not required to be affiliated) with State agencies, universities, Tribal governments or organizations. Applications must have the ability to conduct research consistent with the Energy Resources Program goals. Applicants must not be employed by a U.S. Federal agency.

Beneficiary Eligibility:

Research scientists, their agencies, and ultimately the general public will benefit from the program.

Credentials/Documentation:

None specific, although proposal must contain evidence of personnell qualifications for performance of the work. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard

Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Jul 01, 2010 to Jun 30, 2015: Most projects start on July 1 and end on June 30 on a year-by-year basis. Jul 01, 2010 to Jun 30, 2015: Most projects start on July 1 and end on June 30 on a year-by-year basis. Program Announcement 10HQPA0010 instituted a 5-year cycle from FY10 through FY14 (June 30, 2015). A new Program Announcement is being prepared by Acquisitions and Grants for a 5 year cycle from FY15 through FY19.

Range of Approval/Disapproval Time:

Four to six months after submittal of proposal or bureau receipt of appropriations, whichever is later.

Appeals:

Not Applicable.

Renewals:

Annual renewals for continuation of work may occur through the 5-year cycle (FY 2010 to FY 2014, or FY15 to FY19 for the new agreements), subject to the availability of annual funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: No statutory requirement. In order to gain the greatest leverage of limited Federal and State funds, each proposed State-originated program should indicate some State matching of direct- and indirect-cost dollars. Exceptions may be granted for ratios far below 1:1. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will normally be awarded in 12 month budget periods, with the budget periods cumulative to the end of the total project period. In FY14, a new 5 year cycle project period was initiated with annual funding for FY 15-19 based on future availability of funds. See the following for information on how assistance is awarded/released: Not available. See the following for information on how assistance is awarded/released: Not available.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Annual and final financial reports must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

Recipient records shall be retained and made available for audit for three years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 Not Available; FY 17 est \$300,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

\$15,000- \$26,000; average \$15,190.

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No current data available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Joseph A. East Department of the Interior, Geological Survey, Energy Resources Program, MS 956 National Center, Reston, Virginia 20192 Email: jeast@usgs.gov Phone: (703) 648-6450 Fax: (703) 648-6419

Website Address:

http://energy.er.usgs.gov/coal_quality/state_coops

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No current data available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

A panel evaluates application for relevance to announced priorities, technical merit, applicant's professional qualifications and prospect of attaining program objectives. The review process is detailed in a Technical Evaluation document.

15.820 NATIONAL CLIMATE CHANGE AND WILDLIFE SCIENCE CENTER

NCCWSC and DOI Climate Science Centers (DOI CSC)

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Consolidated Appropriations Act of 2008, Public Law 110-161, Public Law 110-161, 43 U.S.C 36(d).

OBJECTIVES:

To enable the National Climate Change and Wildlife Science Center (NCCWSC) and the eight Department of the Interior Climate Science Centers (DOI CSC) to be responsive to the research and management needs of Federal and State agencies by working with partners to provide science and technical support regarding the impacts of climate change in fish, wildlife, plants and ecological processes and the mechanisms for adaptation to, mitigation of, or prevention of those impacts. Center activities will focus on providing national and regional habitat and population modeling and forecasting tools, integrating physical climate models with ecological models, assessing vulnerabilities and forecasting changes, and developing standardized approaches.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The Department of the Interior (DOI) Climate Science Centers were developed according to a consistent national strategy and are managed at the national level by the NCCWSC. National coordination of research, modeling, and other scientific and data management matters at the DOI CSCs will ensure uniformity of downscaling and forecasting models and standardized information to support management of fish and wildlife resources and regional partnership collaborations. NCCWSC will develop cooperative agreements and/or grants with the DOI CSCs at the primary hosting Universities to conduct specific research, data gathering, data management and similar scientific activities. For further information, please contact the NCCWSC or regional DOI CSC office. For further information, please contact the regional office.

Applicant Eligibility:

There are eight (8) DOI CSCs; each CSC has a single "Host Institution" that is eligible to apply on behalf of themselves and members of the CSC university consortium: Alaska CSC: University of Alaska, Fairbanks; Southeast CSC:

North Carolina State University, Raleigh; Northwest CSC: Oregon State University, Corvallis; Southwest CSC: University of Arizona, Tucson; North Central CSC: Colorado State University, Ft. Collins; South Central CSC: Oklahoma University, Norman; Northeast CSC: University of Massachusetts, Amherst; Pacific Islands CSC: University of Hawaii, Manoa.

Beneficiary Eligibility:

Research scientists, policy makers, natural resource managers, educators, and the general public will benefit from the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed education, outreach, science coordination and research activities. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Four to six months after submittal of proposal or Bureau receipt of appropriations, whichever is later.

Appeals:

Not Applicable.

Renewals:

Awards for specific scientific activities and research may be from one to several years depending upon the subject matter. Renewals will be subject to adequate reporting and performance and are also subject to the availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Recipients are encouraged to share in the cost of each project.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance

periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

Records shall be retained and made available for audit for 3 years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$6,500,000; FY 17 est \$6,500,000; and FY 18 est \$6,500,000 - [Cooperative Agreements (Discretionary Grants): FY16 \$6,500,000; FY17 \$6,500,000; FY18 \$6,500,000.

Recipients request payment as needed throughout the award period. Obligations for the climate change science projects will be made under the NCCWSC and DOI CSC program activity via grants or cooperative agreement awards through the USGS Office of Acquisitions and Grants (OAG).

Range and Average of Financial Assistance:

The range for individual DOI CSCs financial assistance awards (individual projects) is up to \$1,000,000 in FY 2016.

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Approximately 50 climate change science project grants or cooperative agreements (new or continued from prior years) across eight DOI CSCs and NCCWSC totaling approximately \$6.5 Million. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. See Headquarters Office, below.

Headquarters Office:

Nadine Hartke, Department of the Interior, U.S. Geological Survey, National Climate Change and Wildlife Science Center (NCCWSC), MS-300 National Center, 12201 Sunrise Valley Drive, Reston, Virginia 20192 Email: nhartke@usgs.gov Phone: (703) 648-4607.

Website Address:

<http://nccwsc.usgs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Various projects were initiated in FY 2016 across eight (8) DOI CSCs focusing on Climate Change initiatives such as: Effects of temperature and precipitation on the Colorado River Water Resources to study climate projections for the Southwest drought related to reductions in streamflow caused by decreases of winter precipitation resulting in forecasts of reduced streamflow of the Colorado River. Managing for Drought in the Red River Valley identified more severe flooding and more severe droughts in the Western Red River basin. The scientific results have provided models for the Chickasaw Nation to use in their drought contingency planning efforts related to the Red River Valley. Warmer waters impacting sport fishing in Wisconsin found that temperatures in the lakes and streams are changing habitat conditions

fish species. Other examples include: climate change monitoring, terrestrial aquatic monitoring, actionable science for decision analysis and science communication, conservation management decisions related to sea-level rise, forest vulnerability to climate change, climate variability drought tolerance and fire response, modeling effects of environmental change on crucial wildlife habitat, ecological and management implications of climate change induced shifts in phenology of coastal fish and wildlife species in the Northeast, assessing the impacts of drought on migratory waterbirds in the Western U.S., impacts of drought on southwestern cutthroat trout, changes in the Pacific coastal temperate rainforest of Alaska. Projects in FY 2016 were selected based on CSC Science Plans and directed science initiatives. Projects were both internally funded (USGS and other Federal Agencies) and externally funded (via Cooperative Agreements or Grants). Fiscal Year 2017: Information not available. Fiscal Year 2018: Information not yet available.

CRITERIA FOR SELECTING PROPOSALS:

Application proposals will be reviewed by the appropriate geographical DOI CSC Center Director and/or the headquarters NCCWSC Director to ensure projects fall within the authorized program purpose. Criteria for judging applications will include scientific excellence and relevance to the solution of important problems within the mission of the Department of the Interior. Applications will be funded only to the extent permitted by available funds.

15.904 HISTORIC PRESERVATION FUND GRANTS-IN-AID (HPF)

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

National Historic Preservation Act of 1996, as amended; 54 USC 302902(a) Grants to States., 16 U.S.C 470.

OBJECTIVES:

To provide matching grants to States for the identification, evaluation, and protection of historic properties by such means as survey, planning technical assistance, acquisition, development, and certain Federal tax incentives available for historic properties; to provide matching grants to States to expand the National Register of Historic Places, (the Nation's listing of districts, sites, buildings, structures, and objects significant in American history, architecture, archeology, engineering and culture at the National, State and local levels) to assist Federal, State, and Local Government agencies, nonprofit organizations and private individuals in carrying out historic preservation activities; and to provide grants to Indian Tribes and Alaskan Native Corporations to preserve their culture.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Matching grants can directly finance State staff salaries, equipment, and materials, and travel necessary to accomplish program purposes.

States may transfer funds to third parties to carry out historic preservation activities such as surveys, preservation plans, National Register nominations, architectural plans and specifications, historic structures reports, and engineering studies necessary to restore properties listed on the National Register of Historic Places, and for acquisition or repair of these properties.

Development projects must comprise one or more of the 4 allowable treatments defined in the "Secretary of the Interior's Standards for the Treatment of Historic Properties" (36 CFR 78). Major reconstruction is not eligible. Other activities must meet the applicable Secretary of the Interior's Standards for Archeology and Historic Preservation.

In accordance with Section 102(a)(5) of the National Historic Preservation Act, as amended, grantees must agree to assume, after completion of the restoration project, the total cost of the continued maintenance, repair, and administration of the property in a manner satisfactory to the Secretary.

Applicant Eligibility:

States and Territories as defined in the National Historic Preservation Act, as

amended, operating programs administered by a State Historic Preservation Officer appointed by the Governor or according to State law, and which are otherwise in compliance with the requirements of the Act. Eligible applicants for the Tribal Grant Program are Federally recognized Indian Tribes, Alaska Native Corporations, and Native Hawaiian organizations.

Beneficiary Eligibility:

State and local governments, public and private nonprofit organizations, and individuals. According to their own priorities and plans, States select their own projects and may sub-grant to public and private parties, including local governments, federally recognized Indian tribal governments, nonprofit and for-profit organizations, and/or individuals to accomplish program objectives.

At least ten percent of each year's appropriation must be sub-granted to local governments certified as eligible to carry out preservation functions according to 36 CFR 61.

Credentials/Documentation:

Each State must have a qualified Review Board, employ professionally qualified staff, and maintain an approved statewide historic preservation plan in accordance with 36 CFR 61.2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application is made by the States for an annual grant in the form of planned activities and projects. Applicants for financial aid for subgrants and contracts must contact the State Historic Preservation Office for application information. Also visit <http://www.nps.gov/stlpg> Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

The Annual appropriation is allocated by the Secretary of the Interior among States and Territories based on applications received, requested amounts and final appropriations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Up to 1 month for Federal grants; sub-grant time depends on State procedures.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Grants to States are for 2 years, subject to "Use or Lose" procedures established by the Federal agency.

Formula and Matching Requirements:

Statutory Formula: Statutory formulas apply to this program.
Matching Requirements: Matching Requirements: Grants are awarded at a ratio of 60 percent Federal, 40 percent State (public and/or private funds and/or allowable in-kind donations). American Samoa, Guam, Commonwealth of Northern Mariana Islands, Federated States of Micronesia, Republic of Palau, Republic of

Marshall Islands and Virgin Islands are exempt from matching share per Public Law 96-205.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Fiscal year in which funds are appropriated and one succeeding fiscal year. See the following for information on how assistance is awarded/released: Fiscal year in which funds are appropriated and one succeeding fiscal year.

Reports:

Program reports are not applicable. Cash reports are not applicable. Summary completion reports must be maintained for subgrant expenditures. An annual performance report is required comparing planned accomplishments with actual results.

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. An annual performance report is required comparing planned accomplishments with actual results.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-5140-0-2-303.

Obligations:

(Formula Grants) FY 16 \$56,309,039; FY 17 est \$60,000,000; and FY 18 est \$60,000,000

Range and Average of Financial Assistance:

Range \$50,000.00 - \$1,400,000.00

Average \$290,000.000.

TAFS Codes:

14-5104.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Grants to States and their sub-divisions, Tribes, museums and historic societies. Fiscal Year 2017: Same. Fiscal Year 2018: Same.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the "Catalog of Historic Preservation Publications." Important examples include: "The National Register of Historic Places," 1966/1994 issue describing National Register properties published in conjunction with the National Trust for Historic Preservation Press and the National Conference for State Historic Preservation Officers, (John Wiley and Sons, Preservation Press, 1 Wiley Drive, Somerset, New Jersey 08875, Telephone 1-800-225-5945, Reference ISBN 0471-144-037); the Historic Buildings Preservation Briefs series; the Preservation Planning series; the Preservation Tech Note Series; and, the National Register Bulletin series. Program regulations are specified in Volume 36 of the Code of Federal Regulations. Program standards are found in "The Secretary of the Interior's Standards and Guidelines for Archeology and Historic Preservation."

Regional or Local Office:

See Regional Agency Offices. State programs applicants should refer to Catalog Appendix IV for list of State Historic Preservation Offices. Contact the appropriate State agency for subgrant eligibility information. This list is also available on the National Park Service's website, at

<http://www.nps.gov/historyhpg/>. Corrections and additions should be directed to Tawana Jackson, Historic Preservation Grants Division, National Park Service, 1849 C Street, NW., Mail Stop 2256, Washington, DC 20240, or E-mail: Tawana_Jackson@nps.gov. Program inquiries should be directed to Hampton Tucker at Hampton_Tucker@nps.gov.

Headquarters Office:

Virginia Carter State Tribal, and Local Plans & Grants
1201 Eye Street, NW (2256), Washington, District of Columbia 20005 Email: preservation_grants_info@nps.gov Phone: (202) 354-2020

Website Address:

<http://www.nps.gov/stlpg>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Grants to states and tribes for the purposes of historic preservation. Sub-grants are included as part of the program. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

Each State selects sub-grant proposals for funding in accordance with its own priorities.

15.912 NATIONAL HISTORIC LANDMARK

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Historic Sites Act of 1935, Public Law 74-292, 16 U.S.C. 461 et seq.; National Historic Preservation Act of 1966, as amended, Public Law 89-665, 16 U.S.C. 470(t); National Historic Preservation Act Amendments of 1980, Public Law 96-515; 16 U.S.C. 470.

OBJECTIVES:

To study, identify, and encourage preservation of nationally-significant historic properties; a bronze plaque is awarded in a presentation ceremony when requested. This provides permanent identification of nationally significant properties.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Properties recognized as National Historic Landmarks will, upon application by the owner or administrator, be awarded a bronze plaque attesting to the property's national significance. At the same time, the applicant is requested to observe certain simple preservation precepts with regard to the property. Should subsequent occurrences alter the historic qualities of the property, landmark status may be revoked and the plaque removed. Automatic listing of National Historic Landmark properties in the National Register of Historic Places affords a degree of Federal protection under Section 106 of the Historic Preservation Act of 1966, and fulfills one of the prerequisites for Federal assistance under the National Register grants-in-aid program (see 15.904). Certain landmarks are also made eligible for Federal tax benefits and protections and technical preservation assessment and assistance.

Applicant Eligibility:

Property owners and general public.

Beneficiary Eligibility:

Anyone may suggest that a property be considered for inclusion in an appropriate National Historic Landmark theme study, provided the property has a high degree of historic integrity and potential national significance with relation to some broad facet of American history. The owner of the property may be an individual, government, or corporate body. Properties of only State or local significance do not qualify.

Credentials/Documentation:

Request should be supported by full discussion of national significance of property and documented integrity. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. If the property is not listed in the National Register of Historic Places, write the National Historic Landmarks Survey giving a brief resume of the history of the property and its present condition and advising what assistance can be provided in the nomination process.

If the property is already listed in the National Register, provide a brief resume of the historical facts that justify consideration of national significance. Properties nominated to, or already listed in, the National Register of Historic Places at a national level of significance by the State Historic Preservation Officer may be reviewed by the National Historic Landmarks Survey staff

Award Procedure:

When the property has been investigated, findings, in the form of a thorough NHL nomination form, are presented to the National Park System Advisory Board which evaluates the property's national significance and high integrity and recommends a final action to the Secretary of the Interior. Owners of proposed landmarks are contacted prior to a landmark study, given an opportunity to comment on a final study, and then notified after designation of the landmark.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Determined by scheduling of theme studies, availability of staff or funds, and proximity to twice yearly Advisory Board meetings.

Appeals:

Owner comments are sought prior to the meeting of the Advisory Board. Board meetings are open to the public. Appeals process is outlined in program regulations.

Renewals:

NONE.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

NA. See the following for information on how assistance is awarded/released: None.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. National Park Service personnel make periodic inquiries and inspections to determine continuing eligibility of National Historic Landmark properties and to identify those which exhibit known or anticipated damage or threats to the integrity of their resources.

Audits:

Not Applicable.

Records:

NA.

Account Identification:

14-1042-0-1-303.

Obligations:

(Advisory Services and Counseling) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

- FY 16: Funding is for staff involvement in the review of properties, technical assistance and staff time. No monetary awards are included in this program.

FY 17 (estimated): NA

FY 18 (estimated): NA.

Range and Average of Financial Assistance:

Range \$20,000.00 to \$100,000.00 in nonmonetary support.

Average \$50,000.00 on non-monetary support.

TAFS Codes:

14-1042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No information available. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

List of National Historic Landmarks available upon request. Regulations were published in the "Federal Register" on February 2, 1983. Copies of regulations also available upon request. Upon request, a bulletin on "How to Prepare National Historic Landmark Nominations" is available.

Regional or Local Office:

None. See Catalog Appendix IV for addresses.

Headquarters Office:

Paul Loether National Park Service
1201 Eye St. NW, 8th Floor (2280), Washington, District of Columbia 20240
Email: Paul.Loether@nps.gov Phone: (202) 354-2211

Website Address:

<http://www.cr.nps.gov/nhl>

RELATED PROGRAMS:

15.904 Historic Preservation Fund Grants-In-Aid; 15.914 National Register of Historic Places; 15.915 Technical Preservation Services

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: There is no direct funding of projects. Through this program. More than 2,500 nationally significant historic properties have been designated as NHLs. By recognizing, documenting, and honoring the most important historic places in our nation, and working with citizens throughout the nation, this program helps to:

■ Understand nationally significant patterns, movements, and themes in American history;

■ Illuminate our rich and complex national story that spans more than 15,000 years;

■ Form a common bond between all Americans and;

■ Ensure that the nations heritage will be accessible to future generations. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

See program 15.914, National Register of Historic Places.

15.914 NATIONAL REGISTER OF HISTORIC PLACES

(National Register)

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

National Historic Preservation Act of 1966, as amended, Public Law 89-665, 16 U.S.C. 470, 80 Stat. 915 et seq.; Executive Order 11593, May 13, 1971; Tax Reform Act of 1976, Public Law 94-455; Public Law 94-442; 90 Stat. 1519; Revenue Act of 1978; Public Law 95-600; National Historic Preservation Act Amendments of 1980; Public Law 96-515; Tax Treatment Extension Act of 1980; Public Law 96- 541; Economic Recovery Tax Act of 1981, Public Law 97-340; Tax Reform Act of 1984, Public Law 98-369; Tax Reform Act of 1986, Public Law 99- 514; National Historic Preservation Act Amendments of 1992, Public Law 102-575; Executive Order 13287, March 3, 2003.

OBJECTIVES:

To expand and maintain the National Register of Historic Places for planning, preservation, research, public education and tourism efforts; to make the information on districts, sites, buildings, structures and objects of historical, architectural, archeological, engineering and cultural significance more accessible to the public in order to increase and broaden the public's understanding of the information, foster a national preservation ethic, and to promote greater appreciation of America's heritage; to coordinate with Federal agencies, State and Tribal preservation offices, and the Advisory Council on Historic Preservation in the implementation of laws and policies pertaining to historic preservation; and to establish liaison with and give guidance to communities under Title I of the Housing and Community Development Act of 1974 (see 14.218).

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Professional advice is provided in determining whether a property qualifies for inclusion in the National Register according to the Criteria for Evaluation contained in 36 CFR Part 60. Listing does not affect the range of actions an owner may take, as long as Federal funding, licensing or assistance is not involved and no governmental unit receives any authority or control over the property, including its use and disposition. However, if a Federal project might have an effect on a property listed or eligible for listing, the agency involved must provide the Advisory Council on Historic Preservation a reasonable opportunity to comment pursuant to 36 CFR Part 800. Registered properties also become eligible to receive grants from the Historic Preservation grant-in-aid program of the Department of the Interior (see 15.904), when funding is available, to receive home improvement loans from the Department of Housing and Urban Development under Title I of the National Housing Act as amended on October 18, 1974, or to participate in the community grant program provided by the Housing and Community Development Act of 1974. Major Federal tax advantages can be received on certain rehabilitation expenditures for "certified historic structures" and for charitable contributions for conservation purposes of partial interests in historic properties.

Applicant Eligibility:

Eligible applicants are the States and territories as defined in the National Historic Preservation Act, operating under programs administered by State Historic Preservation Officers appointed by the Governors (listed in Appendix IV of the Catalog); or the Tribal Preservation Officers; Federal agencies required to nominate and consider historic properties within their jurisdiction or as a result of the National Environmental Policy Act of 1969 and Executive Order 11593, and the National Historic Preservation Act operating under programs administered by representatives (listed in Appendix IV of the Catalog) appointed by the heads of the agencies; and, in States without approved State Historic Preservation Programs, persons and local governments. Applicants eligible for Federal Tax benefits include owners of individually listed properties and properties certified by the Secretary of Interior as being historic and in a district certified as historic.

Beneficiary Eligibility:

Public and private owners of historic properties listed in the National Register of Historic Places or of properties certified by the Secretary of Interior as being historic and in a district certified as historic.

Credentials/Documentation:

Nominations are submitted on standard nomination forms provided upon request to the State Historic Preservation Officers, Federal Preservation Officers, and Tribal Preservation Officers who must certify that each property was properly nominated. Procedures for National Register nominations are found in 36 CFR Part 60. Request for determinations of eligibility must be adequately documented as described in Appendix A of 36 CFR Part 63. Requests for certification of historic properties must be documented as described in Section 36 CFR Part 67 and requests for certification of State and local statutes and districts must be documented as described in Section 36 CFR Part 67. To participate in the program, each State must have an approved review board, an approved State staff, and an accepted State historic preservation plan. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

For National Register nominations the signature of the State Historic Preservation Officer on the nomination form certifies that the State review board has reviewed the nomination and that all applicable procedures, including notification to the property owner at least once during the nomination process, have been followed. The signature of the Federal Preservation Officer for Federal property or the Tribal Preservation Officer on Tribal lands on the nomination form certifies that the property has been nominated in compliance with the National Historic Preservation Act. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Anyone may prepare a National Register nomination. After the property is approved by the State Historic Preservation Officer, Tribal Preservation Officer, or Federal Preservation Officer, the nomination form or letter of request for a determination of eligibility should be forwarded to the Keeper of the National Register, National Park Service, Department of the Interior, 1849 C Street, NW, Mail Stop 2280, Washington, DC 20240. Nominations submitted for review are announced in the Federal Register to provide a period of comment. Documentation for certification for Federal tax benefits of historic buildings and structures, State and local statutes and districts should be forwarded to the appropriate State Historic Preservation Office, which sends it to the appropriate National Park Service Office.

Award Procedure:

The professional review staff of the National Register determines whether the properties meet the National Register criteria as contained in 36 CFR Part 60. Properties that meet the criteria are then officially listed in the National Register and become eligible for Federal tax benefits and Historic Preservation Fund grants when they are available.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

After nomination by State, 45 days at Federal level.

Appeals:

A nomination returned to the States, Tribes, or Federal agencies may be resubmitted, depending upon the reason for its rejection. Appeals for listing and removal may be made in accord with 36 CFR 60.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

NA. See the following for information on how assistance is awarded/released:
None.

Reports:

Not Applicable.

Audits:

No audits are required for this program.

Records:

NA.

Account Identification:

14-1042-0-1-303.

Obligations:

(Advisory Services and Counseling) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - FY 16(Actual) Non-monetary assistance through consultation with NPS staff
FY 17 (Estimated) Non-monetary assistance through consultation with NPS staff
FY 18 (Estimated) Non-monetary assistance through consultation with NPS staff.

Range and Average of Financial Assistance:

Range \$20,000.00 - \$111,000.00 Non-monetary assistance through consultation with NPS staff
Average \$71,000.00.

TAFS Codes:

14-1042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Information Available. Fiscal Year 2017: No Information Available. Fiscal Year 2018: No Information Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

36 CFR Part 60; 36 CFR Part 63; 36 CFR Part 67, The National Register of Historic Places, a free leaflet explaining the program, Guidelines for Completing National Register of Historic Places Forms, and other technical guidance are available from the National Register of Historic Places Reference Desk, National Register, History, and Education, 1849 C Street, N.W., (Mail Stop 2280) Washington, DC 20240 (www.cr.nps.gov/nr/publications). Lists of nominations submitted to the National Register for review are published in the Federal Register. The National Register database of all listings is accessible through the Internet at <http://www.cr.nps.gov/nr>.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV for list of State Historic Preservation Officers and National Park Service Regional Offices.

Headquarters Office:

Paul Loether National Register of Historic Places, National Register, History, and Education, National Park Service, National Park Service, Department of the Interior, 1849 C Street, N.W., Mail Stop 2280., Washington, District of Columbia 20240
Email: Paul_Loether@nps.gov Phone: (202) 345-2213

Website Address:

<http://www.cr.nps.gov/nr>.

RELATED PROGRAMS:

14.218 Community Development Block Grants/Entitlement Grants; 15.904 Historic Preservation Fund Grants-In-Aid; 15.912 National Historic Landmark; 15.915 Technical Preservation Services

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: National Register program staff provides extensive guidance on the identification, documentation, evaluation, and nomination of historic properties for government officials, nonprofit private organizations, and individuals interested in the preservation of the nations cultural heritage.

Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for Evaluation: The following criteria are designed to guide the States and the Secretary of the Interior in evaluating potential entries (other than areas of the National Park System and National Historic Landmarks) to the National Register: The quality of significance in American history, architecture, archeology, engineering, and culture is present in districts, sites, building, structures, and objects that possess integrity of location, design, setting, materials, workmanship, feeling, and association, and; (A) that are associated with events that have made a significant contribution to the broad patterns of our history; or (B) that are associated with the lives of persons significant in our past; or (C) that embody the distinctive characteristics of a type, period, or method of construction, or that represent the work of a master, or that possess high artistic values, or that represent a significant and distinguishable entity whose components may lack individual distinction; or (D) that have yielded, or may be likely to yield, information important in prehistory or history. Ordinarily cemeteries, birthplaces, or graves of historical figures, properties owned by religious institutions or used for religious purposes, structures that have been moved from their original locations, reconstructed historic buildings, properties primarily commemorative in nature, and properties that have achieved significance within the past 50 years shall not be considered eligible for the National Register. However, such properties will qualify if they are integral parts of districts that do meet the criteria or if they fall within the following categories. (A) a religious property deriving primary significance

from architectural or artistic distinction or historical importance; or (B) a building or structure removed from its original location but which is significant primarily for architectural value, or which is the surviving structure most importantly associated with a historic person or event; or (C) a birthplace or grave of a historical figure of outstanding importance if there is no other appropriate site or building directly associated with his productive life; or (D) a cemetery which derives its primary significance from graves of persons of transcendent importance, from age, from distinctive design features, or from association with historic events; or (E) a reconstructed building when accurately executed in a suitable environment and presented in a dignified manner as part of a restoration master plan, and when no other building or structure with the same association has survived; or (F) a property primarily commemorative in intent if design, age, tradition, or symbolic value has invested it with its own historical significance, or (G) a property achieving significance within the past 50 years if it is of exceptional importance.

15.915 TECHNICAL PRESERVATION SERVICES**FEDERAL AGENCY:**

National Park Service, Department of the Interior

AUTHORIZATION:

National Historic Preservation Act of 1966, as amended, Public Law 89-665, 80 Stat. 915, 16 U.S.C. 470, et seq.; Executive Order 11593, May 13, 1971; Federal Property and Administrative Services Act of 1949, as amended, Public Law 81-152, 40 U.S.C. 471, et seq.

OBJECTIVES:

To provide standards, guidance, and technical preservation information on preserving and rehabilitating historic buildings. This includes the development and distribution of the "Secretary of the Interior's Standards for the Treatment of Historic Properties," which are widely used at the federal, state, and local levels by government agencies, property owners, nonprofit organizations, real estate and design professionals, construction and building trades, and the general public. Also to assist state and local government agencies in developing applications to request transfer of historic surplus properties and to make a formal recommendation on the transfer to the disposing agency (either the General Services Administration or the Department of Defense, which makes the final decision and prepares the deed if the transfer is approved).

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Standards, guidance, and technical preservation information is used by federal, state, and local agencies, property owners, non-profit organizations, developers, real estate and design professionals, construction and building trades, and the general public. Historic Surplus Property Program: Limited to state and local governments (although non-profit organizations can lease transferred properties from the state or local government). All changes to historic properties must be approved by the National Park Service in accordance with the Secretary of the Interiors Standards for Rehabilitation.

Applicant Eligibility:

Historic Surplus Property Program: Limited to state and local governments (although non-profit organizations can lease transferred properties from the state or local government); the transferred buildings must be preserved and maintained in perpetuity.

Beneficiary Eligibility:

Historic Surplus Property Program: Limited to state and local governments (although non-profit organizations can lease transferred properties from the state or local government); the transferred buildings must be preserved and maintained in perpetuity.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Historic Surplus Property Program: The National Park Service assists state and local government agencies in developing applications. Pre-application

coordination is strongly encouraged. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Historic Surplus Property Program: National Park Service assists state and local government agencies in developing applications to request transfer of historic surplus properties and to make a formal recommendation on the transfer to the disposing agency (either the General Services Administration or the Department of Defense, which makes the final decision and prepares the deed if the transfer is approved).

Award Procedure:

NPS reviews rehabilitation projects seeking the investment tax credit. NPS certifies that the subject building is a certified historic structure, and thus eligible for the tax credits or tax deduction [Part 1 review] and reviews the work to the building [Part 2 review]. If the rehabilitation project meets the Secretary of the Interior's Standards for Rehabilitation, NPS designates the project a certified rehabilitation eligible for the tax credit to the Internal Revenue Service [Part 3 review]. However, the NPS does not award tax credits or deductions or make any other financial awards under this program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

NA.

Account Identification:

14-1042-0-1-303.

Obligations:

(Advisory Services and Counseling) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - FY 16; This is a non-monetary program.
FY 17: NA
FY 18: NA.

Range and Average of Financial Assistance:

Range: NA
Average: NA.

TAFS Codes:

14-1039.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: New and revised standards, guidelines, and technical preservation information is regularly issued and is all available from the program website (some also in hardcopy format). Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Issued standards, guidelines, and technical preservation information available at

<http://www.nps.gov/tps/>.

Regional or Local Office:

See Regional Agency Offices. Historic Surplus Property Program Coordinators in National Park Service Regional Offices. See program website for more information.

Headquarters Office:

Brian Goeken Technical Preservation Services
National Park Service
1849 C Street, N.W., ORG 2255, Washington, District of Columbia 20240
Phone: (202) 354-2033

Website Address:

<http://www.nps.gov/tps/>

RELATED PROGRAMS:

15.904 Historic Preservation Fund Grants-In-Aid; 15.914 National Register of Historic Places

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: All National Park Service distributes in partnership with the State Historic Preservation Offices. (SHPOs).
The SHPOs serve as a point of contact in distributing such materials and technical preservation information. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Historic Surplus Property Program: The primary component of the application is the Program of Preservation and Utilization, which includes three major sections: a preservation plan, a use plan, and a financial plan. State and local government applicants must demonstrate the ability to preserve and maintain the building in perpetuity.

15.916 OUTDOOR RECREATION ACQUISITION, DEVELOPMENT AND PLANNING

Land and Water Conservation Fund Grants

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

54 U.S.C. 200305 Land and Water Conservation Fund, Assistance to States, and; 54 U.S.C. 101702(a) Cooperative Agreements, Transfer of Service Appropriated Funds.

OBJECTIVES:

To provide financial assistance to the States and their political subdivisions for the preparation of Statewide Comprehensive Outdoor Recreation Plans (SCORPs) and acquisition and development of outdoor recreation areas and facilities for the general public, to meet current and future needs.

TYPES OF ASSISTANCE:

Formula Grants; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Land acquisition and development grants may be used for a wide range of outdoor recreation projects, such as picnic areas, inner city parks, campgrounds, tennis courts, boat launching ramps, bike trails, swimming pools, playing fields, and support facilities such as roads, water supply, etc. Facilities must be open to the general public and not limited to special groups. Every park or outdoor recreation area acquired or developed with assistance under this program must remain available and accessible for public outdoor recreation use forever. Development of basic rather than elaborate facilities is favored. Operation and maintenance of facilities are not eligible expenses for funding. States only are also eligible to apply for grants to revise and update existing SCORPs; prepare new SCORPs; conduct statewide surveys, technical studies, data collection and analysis; and for other planning purposes that are clearly related to SCORP refinement and improvement.

Applicant Eligibility:

For planning grants, only the State agency formally designated by the Governor or State law as responsible for the preparation and maintenance of the SCORP is eligible to apply. (Treated as States for this purpose are the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, the Northern

Mariana Islands, and Guam.) For acquisition and development grants, the above designated agency may apply for assistance for itself, or on behalf of other State agencies or political subdivisions, such as cities, counties, and park districts. Additionally, Indian tribes that are organized to govern themselves and perform the functions of a general purpose unit of government qualify for assistance. Individuals, nonprofit organizations, and private organizations are not eligible.

Beneficiary Eligibility:

The general public. For planning grants, same as Applicant Eligibility.

Credentials/Documentation:

The State Liaison Officer (SLO), who is appointed by the Governor or designated in State legislation to administer the program in the State or Territory, must provide assurance that the project is consistent with the SCORP; i.e., that it meets high priority recreation needs shown in the action program portion of the Plan.

The State must have a sufficient balance of LWCF funding and the required match available for the project. The sponsoring agency must permanently dedicate the project to public outdoor recreation and assume responsibility for its operation and maintenance. SCORPs must cite the State's legal authority to participate in the Land and Water Conservation Fund program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The SLO has the prerogative for initial determination of project eligibility, priority need, and order of fund assistance within the State. All project proposals are submitted to the National Park Service by the SLO. Environmental impact information is required for this program; a screening form is used to determine whether an EA or EIS is necessary. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Proposals selected by the State for sub-award and forwarded to NPS must be accompanied by the standard federal application forms required by 2 CFR Part 200.

Award Procedure:

Proposals received in response to announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

All grants are made to the State lead agency and if applicable passed through to the project sponsor, whether State or local government.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately 60 days from the time the State submits the final application to the NPS. However, the state itself may have processes that add to that time.

Appeals:

State may appeal to the Secretary of the Interior.

Renewals:

Project agreements may be amended to change the scope, funding amount, or duration. Must be approved by the National Park Service.

Formula and Matching Requirements:

Statutory Formula: Statutory Formula: Each year, States and Territories receive an overall allocation of funds based on a statutory formula. Depending on the amount appropriated by Congress, a certain percentage is split equally among States. The remaining percentage is apportioned on the basis of need.

Matching Requirements: Matching Requirements: The Land and Water Conservation Fund Act requires that the share of federal funds not exceed 50 percent of the total project cost. Generally, match must be derived from State, local, or private sources, but under certain conditions all or part of the project sponsor's matching share may be from other Federal assistance programs, such as Community Development Block Grants, when such use is allowable under the other programs sponsoring legislation. Otherwise, no other Federal funds may be applied to the project cost, even if all matching requirements are met. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are available for obligation during the fiscal year in which they are appropriated and for the two following fiscal years. The assistance period for individual projects varies and may be extended but on average is three years.

Complex projects may be broken down into stages, with one being initially approved and the remainder qualified for activation at a later date. Except for project preparation costs, all costs must be incurred within the project period to be eligible for reimbursement.

Planning projects may not be phased. See the following for information on how assistance is awarded/released: On an average of three years.

Reports:

Program reports are not applicable. Cash reports are not applicable. All projects are monitored for progress through consolidated performance reports, which should be at least annually. State inspection reports are submitted every 5 years on completed projects stating whether the properties acquired and/or developed with fund assistance are still being used in accordance with the agreement.

For planning projects, end products are specified in the application for assistance. Consolidated performance reports are required which should be at least annually.

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-5035-0-2-303.

Obligations:

(Project Grants) FY 16 \$64,663,980; FY 17 est \$65,000,000; and FY 18 est \$65,000,000

Range and Average of Financial Assistance:

Range: \$5,000.00 - \$2,000,000.00

Average: \$200,000.00.

TAFS Codes:

14-00-000-000-5035-00.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Assisted in the acquisition, development, and enhancement of community parks and recreation facilities. Fiscal Year 2017: Assisted in the acquisition, development, and enhancement of community parks and recreation facilities. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

36 CFR Part 59, FR 51 No. 186, September 25, 1986 (amended June 15, 1987). The Federal Financial Assistance Manual for the Land and Water Conservation Fund State Assistance Program (vol. 69, effective 10/1/2008)

Regional or Local Office:

See Regional Agency Offices.
http://www.nps.gov/nrcr/programs/lwcf/contact_list.html.

Headquarters Office:

Chief State and Local Assistance Programs Division, National Park Service, (2225), Department of the Interior, 1849 C Street, NW., Washington, District of Columbia 20240 Email: LWCF_grants@nps.gov Phone: (202) 354-6900 Fax: (202) 371-5179

Website Address:

<http://www.nps.gov/lwcf>.

RELATED PROGRAMS:

15.918 Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Improvements to community park and recreation facilities including field lighting, picnic areas, energy efficiency measures, concession area improvements. In addition to thousands of smaller recreation areas, grants helped acquire and develop new parks of statewide or national significance. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

At the Federal level each project must be in accord with a State Comprehensive Outdoor Recreation Plan. Beyond this, the selection of projects is made by the State Liaison Officer of each State who is responsible for the administration of the 15.916 program in his State. Each State has its own selection process and project rating system, which is approved by NPS.

15.918 DISPOSAL OF FEDERAL SURPLUS REAL PROPERTY FOR PARKS, RECREATION, AND HISTORIC MONUMENTS

Federal Lands to Parks Program (FLP); Historic Surplus Property Program (HSPP)

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

FLP: 40 U.S.C. 550(b) and (e) Public Law 107-217, originally under the Federal Property and Administrative Services Act of 1949, Section 203(k), 63 Stat. 385 as amended, 40 U.S.C. 484, Public Law 91-485; Federal Lands for Parks and Recreation, 16 U.S.C. 4601-5. HSPP: 40 U.S.C. 550(h) Public Law 107-217, originally under the Federal Property and Administrative Services Act of 1949.

OBJECTIVES:

FLP: To transfer surplus Federal real property for state and local public park and recreation use; HSPP: To transfer Federal historic real property to state and local governments for historic preservation purposes.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

FLP: Surplus real property may be conveyed for public park and recreation use at discounts up to 100 percent of fair market value. Property conveyed for park and recreation use must be used for these purposes in perpetuity or otherwise may be reverted to Federal ownership at the discretion of the federal government. HSPP: Surplus historic property is conveyed at no cost for historic preservation purposes in perpetuity there are no restrictions on use, so long as the proposed program for the property meets the Secretary of the Interiors Standards for Rehabilitation.

Applicant Eligibility:

FLP: Only State or local units of government and territories are eligible to apply for surplus real property for public parks and recreation. Recipients must agree to manage the property in the public interest and for public recreational use.

HSPP: Only State or local units of government and territories are eligible to apply for surplus real property for historic preservation purposes. A recipient must agree to preserve and maintain the property in perpetuity and may accomplish this goal by leasing the property to a non-profit or for-profit entity. Additionally, a property may be used for revenue-producing activities to support it, and such activities may be eligible for Federal Historic Preservation Tax Incentives (see 15.915, Technical Preservation Services).

Beneficiary Eligibility:

General public.

Credentials/Documentation:

FLP: The applicant must submit an application, proposed program of use for the property and evidence of its ability to finance the program.

HSPP: The applicant must submit an application that includes a Program for Preservation and Utilization, which is comprised of a preservation plan, a use plan and a financial plan. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

FLP: Park and recreation applications are coordinated by the National Park Service, Department of the Interior, and the General Services Administration (GSA), or in the case of legislated military base closures, the Department of Defense (DOD) and its military components.

HSPP: Historic surplus property applications are coordinated by the National Park Service, Department of the Interior, and the GSA, or in the cases of legislated military base closures, the DOD and its military components. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications for park and recreation use and historic uses are submitted to the appropriate National Park Service Regional Office. Application forms are issued by the National Park Service.

Award Procedure:

FLP: Upon approval of a park and recreation application, the National Park Service will request GSA or DOD military service, in the case of base closures, to assign the property to the Secretary of the Interior for transfer. Upon assignment by DOD or GSA, the National Park Service will transfer the property to the applicant.

HSPP: To ensure the adequate preservation of the historic surplus property, the National Park Service will provide the technical assistance to the applicant during the development of the application. Upon approval of the application, the National Park Service will formally recommend to GSA or DOD that the property be transferred to the applicant. GSA or DOD will prepare the deed, which includes provisions for maintaining the property in perpetuity, and the property will be conveyed to the applicant.

Deadlines:

Oct 01, 2010 FLP: The National Park Service must notify the General Services Administration within 30 calendar days after the date of the notice of determination of surplus if there is an eligible applicant interested in acquiring the property, or otherwise comply with the DOD Base Realignment and Closure (BRAC) planning and disposal schedules. For a park and recreation transfer, the National Park Service must request assignment of property from GSA within 30 calendar days after the expiration of the 30 day period, or otherwise comply with DOD Base Realignment and Closure (BRAC) planning and disposal schedules.

HSPP: An eligible state or local government that wishes to acquire a property under the HSPP must follow the notification instructions given in the official surplus determination notice for that property. As part of this process, the eligible applicant must contact the appropriate regional office of the National Park Service to request and move forward with an application. Approval of the application and conveyance of the property follows the process described above under Section 093.

Range of Approval/Disapproval Time:

FLP and HSPP: From 3 to 6 months (could be longer for HSPP depending on the circumstances).

Appeals:

Not Applicable.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

NA. See the following for information on how assistance is awarded/released: NONE.

Reports:

Program reports are not applicable. Cash reports are not applicable. Program reports are not applicable. Cash reports are not applicable. FLP and HSPP: Recipients of surplus properties are required to submit periodic stewardship and compliance reports (ranging from 2-5 year intervals) on the use of the property. Recipients of surplus properties are required to submit periodic stewardship and compliance reports (ranging from 2-5 year intervals) on the use of the property.

HSPP: biennial reporting, which includes financial information for revenue-producing property. Recipients of surplus properties are required to submit periodic stewardship and compliance reports (ranging from 2-5 year intervals) on the use of the property. FLP: Ranges from 2-5 years intervals: HSPP: biennial reporting, which includes financial information for revenue-producing property. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The National Park Service conducts periodic on-site compliance inspections and reviews periodic submitted stewardship reports to assure that the properties are being utilized for the purposes for which they are conveyed. Properties in noncompliance may be reverted back to the Federal Government.

Records:

The National Park Service maintains official records concerning the property.

Account Identification:

14-1042-0-1-303.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 16 \$589,000; FY 17 est \$589,000; and FY 18 est \$589,000

Range and Average of Financial Assistance:

Range: FLP: None. Value varies by the value of the available real property.

HSPP: None

Average: NA.

TAFS Codes:

14-1042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FLP: Fiscal Year 2016: Ten properties, including 3, 241 acres were transferred to the State of North Carolina and communities in CA, FL,

KY, ME, and TN.

Fiscal Year 2017: No information available.

HSPP: Examples of historic surplus properties include three that were conveyed during Fiscal Year 2016: Carl F. Albert Federal Building and US Courthouse, McAlester, OK (converted from federal courthouse to city offices); Austin US Federal Courthouse, Austin, TX (converted from federal courthouse to county courthouse and offices); and Grand Island Federal Building, Grand Island, NE (converted from federal courthouse and post office to county juvenile services and court). Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

HSPP: 40 USC 550(h); 41 CFR Part 10275.440; website; program brochure.

Regional or Local Office:

None. HSPP: regional contacts listed on website.

Headquarters Office:

FLP: Joel Lynch and Wendy Ormont or HSPP: Gary Sachau HSPP: Gary Sachau, National Park Service, State and Local Assistance Division, Federal Lands to Parks Program, 1201 I Street N.W. Org Code 2225, Washington, District of Columbia 20005 Phone: (202) 354-6915

Website Address:

<http://www.nps.gov/flp>

RELATED PROGRAMS:

15.915 Technical Preservation Services

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: FLP: Fiscal Year 2014: Thirteen properties, 189 acres, were transferred to 9 states. Former Army Corps of Engineers boat ramps were transferred to communities to enable public river access - two to the City of Philadelphia, PA, and one to Meade County, KY. Additional Army Reserve Centers, closed under the BRAC process, were transferred to communities in NJ, PA, RI, TX, and VT for new recreation centers. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Suitability of real property for the proposed use and the ability of the applicant unit of government to carry out the proposed program of use.

15.921 RIVERS, TRAILS AND CONSERVATION ASSISTANCE

Rivers and Trails; RTCA

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

16 U.S.C. 1281(e) The Wild and Scenic Rivers Act of 1968; 16 USC 1246(h)(1) Agreements to Operate, Develop, and Maintain Portions of National Trails, and; 54 U.S.C. 200103(g)(1) commonly known as the Outdoor Recreation Act of 1963.

OBJECTIVES:

The Rivers, Trails and Conservation Assistance Program implements the natural resource conservation and outdoor recreation mission of the National Park Service in communities across America. RTCA will help create local, regional and state networks of parks, rivers, trails, greenways and open spaces by collaborating with community partners and National Park areas in every state.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Cooperative Agreements

USES AND USE RESTRICTIONS:

Projects must meet the resource conservation and outdoor recreation mission of the National Park Service. For more specific information contact the regional or headquarters office.

Applicant Eligibility:

Private nonprofit organizations and Federal, State and local government agencies.

Beneficiary Eligibility:

Private nonprofit organizations and Federal, State and local government agencies.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Program advice and information is available from the appropriate NPS Regional Office or the National Office. Consultation with an RTCA staff before an application for assistance is made helps clarify RTCA roles and contributions and also helps identify how the selection criteria might apply to your conservation and outdoor recreation goals.

Information is available electronically at <http://www.nps.gov/rta> or you may contact the appropriate regional RTCA program leader. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on [grants.gov](http://www.grants.gov) are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Deadline information will be included in all funding opportunity announcements posted on www.grants.gov.

Varies depending on the type and complexity of the project, generally about four months.

Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Assistance is for one year and may be renewed for a second year if warranted.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: No fixed amount. Applications must demonstrate commitment by interested public agencies and non-profit organizations. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally 1 to 2 years. See the following for information on how assistance is awarded/released: Generally 1 to 2 years.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2)

Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1042-0-1-303.

Obligations:

(Advisory Services and Counseling) FY 16 \$1,313,706; FY 17 est \$2,000,000,000; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

Range: \$3,000.00 - \$330,000.00
Average: \$150,000.00.

TAFS Codes:

14-1042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Non-profit organizations received funding to further enhance local trail systems that meet the NPS goals and objective as described by the program and legislation. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

None.

Headquarters Office:

Stephan Nofield 1849 C Street, NW., (ORG CODE 2240), Washington, District of Columbia 20240 Email: Stephan_nofield@nps.gov Phone: (202) 354-6922

Website Address:

<http://www.nps.gov/rta>.

RELATED PROGRAMS:

15.916 Outdoor Recreation_Acquisition, Development and Planning; 15.918 Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Projects supported one or more of the following strategic themes. The project:
■ Provided physical connection among resources;
■ Included both outdoor recreation and natural resource conservation;
■ Partnered with or connects to an NPS area;
■ Partnered with a health organization; or
■ Engaged youth. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. Selection may be based on the following:
Initial project partners are identified and a lead project partner is designated. Note: The lead partner may be a state or local agency, tribe, non-profit organization, or citizens' group. Federal agencies, including the National Park Service, maybe the lead partner only in collaboration with a nonfederal partner.

Project has specific, partner- defined goals and anticipated measurable results that are: clearly stated; support the National Park Service mission and RTCA mission and strategic plan goals; protect important natural resources or enhance

outdoor recreation opportunities; and will have results that occur within an appropriate timeframe.

(3) There is a commitment by lead project partners to significant public involvement and outreach in the project.
(4) Roles and contributions of initial project partners, including RTCA, are identified. There is a commitment by initial project partners to cooperate and to provide or obtain the resources necessary to implement the project. (5) There is evidence of broad support for the project. Preference is given to a project that also: (a) provides physical connections among resources; (b) includes an NPS area as an actively involved project partner; (c) includes both natural resource conservation and outdoor recreation; (d) partners with a health organization.

15.922 NATIVE AMERICAN GRAVES PROTECTION AND REPATRIATION ACT

(NAGPRA)

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Native American Graves Protection and Repatriation Act.

OBJECTIVES:

To provide grants to museums to assist in the consultation on and documentation of Native American human remains and cultural items; to provide grants to Indian tribes and Native Hawaiian organizations, as defined in the Act, to assist in identifying human remains and cultural items and to provide grants to museums, Indian tribes and Native Hawaiian organizations to assist in the repatriation of human remains and cultural items.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Project grants may support the following:
Consultation/Documentation grants for museums may be used to: (a) bring lineal descendants, traditional religious leaders, and authorized representatives of Indian tribes and Native Hawaiian organizations to inspect collections and consult regarding the identification, cultural affiliation, proper care, treatment, and repatriation of Native American cultural items; (b) bring traditional religious leaders, and authorized representatives of Indian tribes and Native Hawaiian organizations to inspect collections and consult regarding culturally unidentifiable Native American human remains; (c) provide NAGPRA training for staff members; (d) fund travel by staff members to consult with lineal descendants, traditional religious leaders, and Indian tribe and Native Hawaiian organization officials regarding the proper care, treatment, and disposition of Native American cultural items; and (e) update documentation of unassociated funerary objects, sacred objects, and objects of cultural patrimony in consultation with lineal descendants, traditional religious leaders, and authorized representatives of Indian tribes and Native Hawaiian organizations.

Consultation/Documentation grants for Indian tribes and Native Hawaiian organizations may be used to: (a) assess and evaluate summaries, inventories, and other documentation provided by museums and Federal agencies; (b) provide NAGPRA training for staff members; (c) communicate with museum and Federal agency officials regarding culturally affiliated human remains and/or cultural items of interest to the Indian tribe or Native Hawaiian organization; (d) travel to inspect collections and consult with museum and Federal agency officials regarding the identification, cultural affiliation, proper care, treatment, and repatriation of Native American human remains and other cultural items; (e) document the cultural affiliation of NAGPRA-related items in databases or other records management systems.

Repatriation grants for museums, Indian tribes and Native Hawaiian organizations may be used for: (a) travel by authorized representatives of Indian tribes, Native Hawaiian organizations, or museums to accept and/or accompany human remains or other cultural items where control has transferred; (b) transportation of Native American human remains or other cultural items where control has transferred; and (c) construction of appropriate containers for the transport and disposition of subject Native American human remains and other cultural items.

Grant funds may not be used for: activities related to the documentation or repatriation of human remains and cultural items from the Smithsonian Institution; activities related to the inadvertent discovery and/or intentional excavation of Native American human remains and other cultural items on Federal or tribal lands pursuant to NAGPRA Section 3 and 43 CFR 10 Subpart B. For further information, please contact the regional office.

Applicant Eligibility:

Eligible applicants for museum documentation awards include any institution or state or local government agency (including any institution of higher learning) that has possession of, or control over, Native American human remains or other cultural items and which has completed required NAGPRA obligations (see Section 083 Credentials or Documentation).

Eligible applicants for tribal documentation and repatriation awards are Indian tribes and Native Hawaiian organizations that meet the criteria outlined in the Native American Graves Protection and Repatriation Act. An Indian tribe is defined in NAGPRA as any tribe, band, nation, or other organized group or community of Indians, including any Alaska Native village, which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians.

Native Hawaiian organizations include any organization that: serves and represents the interests of Native Hawaiians; has as a primary and stated purpose the provision of services to Native Hawaiians; and has expertise in Native Hawaiian Affairs.

Beneficiary Eligibility:

Native American and Native Hawaiian Tribes.

Credentials/Documentation:

State or local government agencies (including any institution of higher learning) must provide a written summary of their Native American collections to the National Park Service and appropriate lineal descendants, Indian tribes, and Native Hawaiian organizations; and must submit an inventory of Native American human remains and associated funerary objects in their collections to the National Park Service and culturally affiliated Indian tribes and Native Hawaiian organizations.

Applications for repatriation awards will be considered only after publication of the required Notice of Inventory Completion or Notice of Intent to Repatriate in the Federal Register.

Funding is not available for activities that occur prior to the submissions of the application. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Museums, federally recognized Indian tribes, and Native Hawaiian organizations may apply for annual grants in the form of planned activities and projects.

Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response to announcements on www.grants.gov are reviewed through a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

In general, projects may be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for up to 24 months. Payments are made upon request for costs incurred and, for repatriation grants, after the receipt of documentation of transfer of control of the human remains and/or cultural items from the museum or Federal agency to the recipient Indian tribe or Native Hawaiian organization. See the following for information on how assistance is awarded/released: Grants are awarded for up to 18 months.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports.

Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; (2) Program Performance Report; (3) Final Budget; and (4) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1042-0-1-303.

Obligations:

(Project Grants (Discretionary)) FY 16 \$1,888,386; FY 17 est \$1,900,000; and FY 18 est \$1,657,000

Range and Average of Financial Assistance:

Range: \$5,000.00 - \$90,000.00

Average: \$25,000.00.

TAFS Codes:

14-2013.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 38 awards were made to 35 entities to assist in consultation, documentation, and repatriation of Native American cultural items, including funerary objects, sacred objects, and objects of cultural patrimony. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines and proposal materials are available upon request from the National

Park Service, National NAGPRA Program. Call (202)354-2201, or e-mail: NAGPRA_Grants@nps.gov.

Regional or Local Office:

None.

Headquarters Office:

Sherry Hutt National NAGPRA Program National Park Service
1201 Eye Street NW, (2253)
, Washington, District of Columbia 20005 Email: Sherry_Hutt@nps.gov Phone: 202-354-2201

Website Address:

<http://www.nps.gov/nagpra>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Two types of grants are available: Consultation and Documentation Grants are project-based awards, awarded once a year through a competitive process.

Repatriation Grants defray costs associated with the packaging, transportation, contamination removal, reburial, and/or storage of NAGPRA-related human remains and/or cultural items. They are non-competitive and awarded on a continuing basis throughout each fiscal year.

A list of all NAGPRA grants by fiscal year can be found on the National NAGPRA Program website: www.nps.gov/nagpra/GRANTS/ALLAWARDS.htm. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Grant proposals will be evaluated according to four criteria: Criterion A - Are the objectives of the project consistent with the goals of Native American Graves Protection and Repatriation Act? (Projects that are inconsistent with NAGPRA's goals will not be considered for funding). Are the project objectives clearly described? Special consideration will be given to collaborative proposals, especially proposals that focus on sharing and disseminating information among multiple museums and/or Indian tribes, or outline a plan to work with specific Indian tribes and/or museums. Criterion B - Is the project designed to accomplish the project objectives efficiently? Are the activities clearly described in detail, with an explicitly stated link between the activities and the stated objectives? Are activities organized logically? Are project methods and techniques described clearly? Are the roles and responsibilities of all participants defined clearly and described? Applicants with previously funded NAGPRA grants will have to include a description of the progress achieved and describe how the new project fits with the previously funded one. Criterion C - Is the budget reasonable to accomplish project tasks and activities? Are budget items necessary to accomplish project activities? Is the budget justification sufficient to explain project costs in detail? Criterion D - Are project personnel qualified to accomplish project objectives? Are project personnel are qualified for the activities and tasks assigned? Are unfilled positions and consultancies clearly described? Are search criteria defined for all unfilled positions and consultancies?.

15.923 NATIONAL CENTER FOR PRESERVATION TECHNOLOGY AND TRAINING**FEDERAL AGENCY:**

National Park Service, Department of the Interior

AUTHORIZATION:

54 U.S.C. 305304(a) Preservation Grants, and; 54 U.S.C. 305305 Department of Interior

National Center for Preservation and Technology and Training Contract and Cooperative Agreement Authority.

OBJECTIVES:

To develop and distribute preservation technologies and conservation skills for the identification, evaluation, conservation, and interpretation of prehistoric and historic resources; to develop and facilitate training for Federal, State and local

resource preservation professionals, cultural resource managers, maintenance personnel, and others working in the preservation field; to apply preservation technology benefits from ongoing research by other agencies and institutions; to facilitate the transfer of preservation technology among Federal agencies, State and local governments, universities, international organizations, the private sector; and to cooperate with related international organizations for the benefit of cultural resource conservation in the United States and worldwide.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Support work in archeology, historic architecture, historic landscapes, objects and materials conservation through cooperative agreements and the Preservation Technology and Training Grants program. The PTT Grants program funds innovative projects that advance the application of science and technology to historic preservation. Projects that develop new technologies or adapt existing technologies to preserve cultural resources are considered for funding. Projects may include, but are not limited to: laboratory or field research that explores or assesses novel or adaptive methods; training activities, including workshops, and course or curriculum development that promote the use of new adaptive technologies; documentation using new methods; manuscript, website or social media development that disseminates innovative preservation technologies; and meetings that convene experts to discuss the use of technologies to address preservation problems.

NCPTT does not fund "bricks and mortar" projects or straight-forward documentation projects using well-established methods. Ineligible projects include those whose principal focus is the treatment of a specific site, structure, object or collection; proposals exclusively for undergraduate internships or graduate fellowships; projects that are underway prior to the grant award date; projects that duplicate proposals previously submitted; or proposals for conferences for which equivalent results can be obtained at regular meetings of professional societies. Detailed use and use restrictions are specified in the applicable Request for Proposals. Contact the headquarters office for more specific information.

Applicant Eligibility:

U.S. universities and two and four year colleges; U.S. private nonprofit institution/organizations and quasi-public nonprofit institution/organizations that are directly associated with educational or research activity; Federal, State, local government agencies, Federally recognized Indian Tribal governments and their Tribal Historic Preservation Offices; For-profit organizations and private individuals may submit proposals only in partnership with an eligible U.S. organization.

Beneficiary Eligibility:

Individuals and organizations working to advance the use of science and technology in preservation including the fields of archeology, historic architecture, historic landscapes, objects and materials conservation.

Credentials/Documentation:

Grants and cooperative agreements are awarded to eligible organizations who demonstrate institutional capability and commitment to the Center's mission to preserve historic and prehistoric resources; and who evidence the capacity to perform the proposed work successfully. Proof of nonprofit status is required of nonprofit organizations and institutions. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform

Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Information is available upon request via mail, e-mail (ncptt@ncptt.nps.gov), or our website <http://www.ncptt.nps.gov>.

Award Procedure:

Proposals received in response announcements on [grants.gov](http://www.grants.gov) are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Reviews determine the feasibility of the proposed project, and consistency with National Park Service financial and policy requirements concerning the project's scope and purpose. Final award is by the Director of the National Park Service.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Applicants may reapply if a revised application is submitted in the subsequent competition.

Renewals:

Renewal may be requested through a new, competing application. Extensions of time may be requested subject to acceptable work progress and reasons for the delay.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: 50% Recipient cost share is required under this program. Eligible match may be in-kind, federal or nonfederal cash. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Treasury Check method of payment is used for advances or reimbursement. A SF 270, Request for Advance or Reimbursement, must be submitted for each payment requested. Requests for reimbursement or advanced funds may be requested monthly in amounts greater than \$500. Expenses charged to the grant or cooperative agreement may not be incurred prior to the beginning date specified in the agreement or subsequent or the grant end date, and incurred only to carry out the approved objectives, scope of work, and budget. See the following for information on how assistance is awarded/released: Award amounts are subject to availability of funds. In accordance with Title IV, Public Law 102-575, Section 405(b): (1) Grants shall be allocated in such a fashion to reflect the diversity of the historic preservation fields and shall be geographically distributed. (2) No recipient may receive more than 10 percent of the awards allocated within any year. (3) Total administrative costs, direct and indirect, charged for carrying out these grants may not exceed 25 percent of aggregate costs. Matching share is not required by law, but is an administrative requirement imposed by NPS.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and

invention disclosures. SF-425, Federal Financial Report. Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1042-0-1-303.

Obligations:

(Cooperative Agreements) FY 16 \$1,043,145; FY 17 est \$1,000,000; and FY 18 est \$750,000

Range and Average of Financial Assistance:

Range \$15,000.00 - \$472,000.00

Average: \$38,000.00.

TAFS Codes:

14-1042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Over 20 universities, one state subdivision and a non-profit received funding through this program. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

See Regional Agency Offices. Direct inquiries to PTTGrants, NCPTT, 645 University Parkway, Natchitoches, LA 71457.
Telephone: (318) 356-7444; Fax: (318) 356-9119;
E-mail: ncptt@ncptt.nps.gov.

Headquarters Office:

Mary Striegel State, Tribal, and Local Plans & Grants Division, National Park Service,
1201 Eye St., NW, (6th Floor), Washington, District of Columbia 20005
Email: preservation_grants_info@nps.gov Phone: (202)354-2020 Fax: (318) 356-9119.

Website Address:

<http://www.ncptt.nps.gov/>

RELATED PROGRAMS:

15.904 Historic Preservation Fund Grants-In-Aid; 15.914 National Register of Historic Places; 15.915 Technical Preservation Services

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The grants program funds innovative projects that develop new technologies or adapt existing technologies to preserve cultural resources; it does not fund bricks and mortar projects or documentation projects.

Proposals may include, but are not limited to:

■ Laboratory or field research that explores or assesses novel or adaptive methods;

■ Training activities, such as workshops and course or curriculum development that promote the use

of new or adaptive technologies;

■ Documentation using new methods; and

■ Manuscript or website development that disseminates innovative preservation technologies. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

The successful proposed project should thoroughly address an identifiable national need in preservation technology, present innovative technologies, demonstrate a technically sound methodology, have a principal investigator well qualified to conduct the proposed work, disseminate project results effectively, and result in tangible grant products that disseminate information beyond traditional ways

NCPTT reviews proposals for disciplinary, geographical and institutional distribution; and for financial and policy matters. Special consideration will be given to proposals that leverage resources through public and private partnerships.

15.925 NATIONAL MARITIME HERITAGE GRANTS

Maritime Heritage Grants

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

National Maritime Heritage Act of 1994, P. L. 103-541, Sec. (4)(c).

OBJECTIVES:

To provide matching grants for preservation or education projects that foster a greater awareness and appreciation of the role of maritime endeavors in Americas history and culture.

The grants will help State and local governments and private nonprofit organizations preserve and interpret their maritime heritage. It is designed to reach a broad audience and enhance public awareness and appreciation for the maritime heritage of the United States.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for education or preservation projects that promote the understanding of significant aspect of American maritime heritage. Projects that involve historic resources as defined in the National Historic Preservation Act, as amended, must comply with treatments defined in the "Secretary of the Interior's Standards for the Treatment of Historic Properties" (36 CFR 78), Standards for Historic Vessel Preservation Projects, and Standards for Archeology and Historic Preservation (48 FR 44716). The Grantee must grant a preservation easement or preservation agreement for each grant-assisted property. In general, the Grants Program does not fund projects which will train the individual maritime heritage professional to do his or her job better or assist in career recognition or promotion. This includes activities such as developing training or continuing education courses for the maritime heritage education or preservation professional, personal research, or research resulting in highly specialized reports or publications with narrow audience appeal and little potential for public use or applications. In general, the Grants Program does not fund projects which will train the individual maritime heritage professional to do his or her job better or assist in career recognition or promotion. This includes activities such as developing training or continuing education courses for the maritime heritage education or preservation professional, personal research, or research resulting in highly specialized reports or publications with narrow audience appeal and little potential for public use or applications. Grants Program funds cannot be paid for any work or activity that does not conform to the terms of the NPS Grant Agreement which incorporates all applicable grant requirements, conditions, and Federal laws and regulations.

Applicant Eligibility:

State, local, tribal governments and private nonprofit institutions/organizations are eligible to apply. Individuals are not eligible applicants.

Beneficiary Eligibility:

Any State or local government or private non-profit institution/organization will benefit directly from grant funds. It is intended that the general public will ultimately benefit from the information conveyed by the funded projects.

Credentials/Documentation:

Proposals must furnish evidence of the applicants qualifications to carry out the proposed project. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination with State Historic Preservation Offices is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Applicants may visit the National Maritime Heritage Program website at <http://www.nps.gov/maritime/grants/intro.htm> for more information.

Award Procedure:

Proposals received in response to announcements on [grants.gov](http://www.grants.gov) are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Award amounts are based on recommendations made by the National Maritime Heritage Grant Review Panel to the Secretary of the Interior, who makes final award decisions. The Review Panel is composed of representatives from the NPS, Maritime Administration, National Oceanographic and Atmospheric Administration, U.S. Coast Guard, Naval History and Heritage Command Advisory Council on Historic Preservation, Smithsonian Institution, and the National Archives and Records Administration.

Each application is scored individually based on standardized criteria. Awards are made as direct grants to State or tribal Historic Preservation Offices (SHPO or THPO), who issue sub-grants to applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service may provide applicants with information on why their proposals were not selected for award.

Renewals:

Grants are not renewable, but the grant period may be extended upon approval of a request to the program, showing that unforeseen circumstances prevented the project from being completed on time.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: According to NMHA section 4(d)(2), grants must be fully matched on a dollar-to-dollar basis. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project funding is generally for two years. All awarded funds must be expended within 90 days of the grant end date specified in the grant agreement, unless an extension has been applied for and granted. Assistance is awarded/released to applicants as subgrants issued by SHPOs, who are awarded direct grants by the NPS. See the following for information on how assistance is awarded/released: Available funding is to be divided evenly between preservation projects and education projects.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless

otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1039-0-1-303.

Obligations:

(Project Grants) FY 16 \$2,058,164; FY 17 est \$1,700,000; and FY 18 est \$1,700,000

Range and Average of Financial Assistance:

Range: \$25,000 to \$200,000

Average: \$55,000.00.

TAFS Codes:

14-1039.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 27 non-federal state and local agencies received funding to preserve their maritime heritage. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

See Regional Agency Offices. Kelly Spradley-Kurowski, PhD
Historian, Park History Program
National Park Service
1201 I (Eye) Street NW, #2261
8th Floor
Washington, DC 20005
kelly_spradley-kurowski@nps.gov
phone: 202-354-2266
fax: 202-371-2229.

Headquarters Office:

Kelly Spradley-Kurowski, PhD Park History Program, National Park Service
1201 Eye Street NW, #2261 8th Floor

, Washington, District of Columbia 20005 Email:
kelly_spradley-kurowski@nps.gov Phone: 202-354-2266 Fax: 202-371-2229

Website Address:

<http://www.nps.gov/maritime/grants/intro.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Program reactivated in FY 2014. Projects applicants have proposed projects that include maritime education and information access projects, exhibit and heritage trail development, preservation of ships, lighthouses and other maritime properties, and survey and conservation of underwater archaeological resources. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.926 AMERICAN BATTLEFIELD PROTECTION

ABPP Planning Grants

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Consolidated Appropriations Act 2012, Public Law 112-74.

OBJECTIVES:

To promote the protection and preservation of battlefield lands on American soil by funding non-acquisition preservation methods such as planning, education, survey and inventory.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for projects resulting in the protection and preservation of battlefield lands and their associated sites on American soil. No funding is given to projects dealing with acquisition of properties or land in fee or interest, payment of rent on properties or land, fund raising, lobbying, battle reenactments, new construction or reconstruction of historic resources, permanent staff positions, object or material culture curation or other on-going activities, academic scholarships, capital construction or improvement projects (site excavation, trail or road construction, parking lots, visitor centers, scene restoration, etc.). Applications for projects associated with NPS-owned sites must focus on resources outside the NPS boundaries. For further information, please contact Regional Office.

Applicant Eligibility:

Applicant may be Federal, intrastate, interstate, State and local agencies, public or private nonprofit institutions/organizations, federally recognized Indian tribal governments, U.S. territory and possessions, Native American organizations, State colleges and universities, public and private colleges and universities. Multi-organizational applications are encouraged.

Beneficiary Eligibility:

Federal, intrastate, interstate, State and local agencies, public and private nonprofit institutions/organizations, Federally recognized Indian tribal governments, U.S. territories and possessions, Native American organizations, State college or universities, public and private colleges and universities.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Informal pre-application coordination with ABPP staff is highly recommended. Coordination with appropriate State Historic Preservation Office/s is recommended. Projects with access to private property require land owners written permission. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Jan 15, 2013 Annual applications are due in mid-January.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applications are reviewed and approved or disapproved by the National Park Service by the end of the Federal fiscal year.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant agreements are established for a set period of time, usually one year. Some projects that depend on seasonal access may require more time, but only with prior ABPP approval. Multiyear projects that can be completed in one-year phases are eligible for funding. However, applicants may only request funding for one year, or one phase, at a time. If the ABPP funds the first phase of a multiyear project, it is not obligated to fund the later phases. Additional funding for a multi-phase or continuing project must be requested in subsequent grant cycles. See the following for information on how assistance is awarded/released: Funds are available to grantees on a reimbursement basis.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Program Performance Report.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1042-0-1-303.

Obligations:

(Project Grants) FY 16 \$1,095,306; FY 17 est \$1,198,000; and FY 18 est \$1,198,000

Range and Average of Financial Assistance:

Range: \$5,000.00 to \$122,000.00

Average: \$40,000.00.

TAFS Codes:

15-1036.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Twenty (20) entities received funding to preserve and provide interpretation at historic battlefields. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

None. WASO
Kristen McMasters
1849 C Street, NW (2287)
Washington, District of Columbia 20240
Phone: (202) 354-2037.

Headquarters Office:

Kristen McMasters 1201 Eye Street, NW (2255) 6th Floor, Washington,
District of Columbia 20005 Phone: (202) 354-2037.

Website Address:

<http://www.nps.gov/history/hps/abpp/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The ABPP supports projects that lead to the protection of
battlefield land and sites associated with battlefields. Projects that support this
goal include:

■ Site Identification and Documentation Projects

Historical research

Resource surveys and inventories

Nominations to the National Register of Historic Places

GIS and GPS mapping

■ Planning and Consensus Building Projects

Acquisition, strategic, and preservation plans

Studies of land related to, or adjacent to, publicly owned and protected
battlefield lands

Management, landscape, and stabilization plans

Interpretation plans

Preservation advocacy and consensus building within a community

■ Interpretation or Education Projects

Brochures about preservation

Interpretive programs on preservation techniques

Sign development and design. Fiscal Year 2017: No information available.

Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements
posted on www.grants.gov.

Reviewers evaluated the applications using the following criteria: demonstrated
threat to the battlefield; reasonable and justified budget; preservation need and
appropriateness of the project; well-planned objectives, tasks, and schedule;
qualifications of applicant and partner organizations.

Applications received are scored on the following criteria: Site significance;
Level of Threat; Preservation Opportunity; Well-Planned Objectives, Tasks,
and Schedules; Qualifications and Coordination of Partner Organization(s);
Realistic Budget and Matching Resources.

15.927 HYDROPOWER RECREATION ASSISTANCE

Rivers and Trails, Hydropower Licensing

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Federal Power Act, 16 U.S.C. 797-828c; Wild and Scenic Rivers Act of 1968,
16 U.S.C. 1281(e), and; Outdoor Recreation Act of 1963, Public Law 88-29
Sec. 2(d).

OBJECTIVES:

To serve as a national technical resource to support government, industry, and
nonprofit partnerships in ongoing consultations and negotiations about
applications for hydropower licensing; to meet present and future outdoor
recreation and river conservation needs; to maintain and enhance a project's
riparian areas.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Staff assistance is available to stakeholders in FERC hydropower licensing
proceedings to assist with recreation issues and river conservation. Assistance
is applicable to hydropower projects both within and outside units of the
National Park System.

Applicant Eligibility:

Private nonprofit organizations, Federal, State, and Local government agencies
and hydropower licensing applicants.

Beneficiary Eligibility:

Private nonprofit organizations, Federal, State, and Local government agencies
and hydropower licensing applicants, as well as the general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from
coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Program advice is and information is available from the appropriate NPS
Regional Office. Environmental impact information is not required for this
program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit
Requirements for Federal Awards applies to this program. Contact the program
leader for Rivers, Trails and Conservation Assistance in the appropriate NPS
Regional Office.

Award Procedure:

Decisions are based upon adherence to program criteria and the availability of
staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application
deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length of licensing process. See the following for information on how
assistance is awarded/released: For more specific information contact the
headquarters office.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit
Requirements, non-Federal entities that expend financial assistance of \$750,000
or more in Federal awards will have a single or a program-specific audit
conducted for that year. Non-Federal entities that expend less than \$750,000 a
year in Federal awards are exempt from Federal audit requirements for that
year, except as noted in 2 CFR 200.503.

Records:

NA.

Account Identification:

14-1042-0-1-303.

Obligations:

(Advisory Services and Counseling) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0
- FY 16 (Actual) Non-monetary assistance through consultation with NPS
staff.

FY 17 (Estimated) Non-monetary assistance through consultation with NPS

staff.
FY 18 (Estimated) Non-monetary assistance through consultation with NPS staff.

Range and Average of Financial Assistance:

Range NA
Average NA.

TAFS Codes:

14-00-0000-0000-1042-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Provided technical assistance to public and private energy, conservation, and recreation interests. Ensured that those who have little or no access to professional sources of planning assistance and analysis have equal consideration and opportunity to participate in the licensing process. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information is available on the Web. Assistance complies with Federal Energy Regulatory Commission requirements for licensing nonfederal hydropower projects; 18 CFR Parts 4, 5, and 16. Policy and Guidelines for Recreational Technical Assistance in Hydropower Licenses, 57 FR 61915, December 29, 1992.

Regional or Local Office:

See Regional Agency Offices. Applicants are requested to communicate with National Park Service Regional Offices. See www.nps.gov/hydro.

Headquarters Office:

Joan Harn 1201 Eye Street, Washington, District of Columbia 20240 Email: joan_harn@nps.gov Phone: (202) 354-6900

Website Address:

<http://www.nps.gov/hydro>

RELATED PROGRAMS:

15.916 Outdoor Recreation_Acquisition, Development and Planning; 15.921 Rivers, Trails and Conservation Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: ■ Preservation and recreation enhancements, valued at more than \$500,000, in the Cohoes Falls area of the Erie Canalway National Heritage Corridor (New York). This includes protection, enhancement, and interpretation of the National Historic Landmark District and properties listed on the National Register of Historic Places; Recreation improvements include construction of new trails, a footbridge, fishing access, animal observation sites, and Americans with Disabilities Act (ADA) compliant viewpoints; ■ Creation of an ecological corridor between Great Smoky Mountains National Park and Cherokee National Forest (Tennessee), protecting over 10,000 acres in and around the park; ■ Protection of recreation experiences on the Appalachian National Scenic Trail and James River Face Wilderness where it crosses the James River (Virginia); and ■ Publication of Hydrokinetic Energy Projects & Recreation: A Guide to Assessing Impacts, in partnership with the Hydropower Reform Coalition, Confluence Research & Consulting, and the Department of Energy. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Project should have clear opportunity to enhance recreation and lead to tangible conservation of significant natural and cultural resources.

15.928 CIVIL WAR BATTLEFIELD LAND ACQUISITION GRANTS

LWCF Battlefield Acquisition Grants

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

54 U.S.C. 308103 Battlefield Acquisition Program, and; 54 U.S.C. 200305 Land and Water Conservation Fund, Assistance to States.

OBJECTIVES:

To assist States and local communities acquire and preserve threatened battlefield land from the Revolutionary War, War of 1812, and Civil War.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are available for the fee simple acquisition of land, or for the acquisition of permanent, protective interests in land (easements), at Civil War Battlefields listed in the Civil War sites Advisory Commission's (CWSAC) 1993 Report on the Nation's Civil War Battlefields and at Revolutionary War and War of 1812 Battlefields listed in the American Battlefield Protection Program (ABPP) 2007 Report to Congress on the Historic Preservation of Revolutionary War and War of 1812 Sites in the United States.

Funds may not be used to acquire land or interests in land within the legislative boundary of a National Park. Grantees or subgrantees must provide for the appropriate public access (entry or viewable from public right-of-way) to and enjoyment of any lands or interests in lands acquired with assistance from this program, subject to necessary and reasonable measures on the part of the beneficiary to protect the historic features of the battlefield from damage or loss.

Grantees may not charge costs for administering the project to the grant or to the required matching share.

Land acquired with these funds must be preserved in perpetuity. In any case where a local government or a private non-profit organization acquires land or an interest in land with assistance from this program, it must convey an acceptable perpetual protective easement on the land to the State Historic Preservation Office (SHPO) or other organization acceptable to the National Park Service and SHPO.

Applicant Eligibility:

State and local governments. Private nonprofit organizations seeking to acquire battlefield land or easements must apply in partnership with the State or local government agency that has jurisdiction over the proposed parcel. The government agency may then sub-grant the Federal funds to the nonprofit organization.

Beneficiary Eligibility:

State and local governments (local communities, nonprofits, and battlefield landowners) benefit.

Credentials/Documentation:

Prior to the expenditure of grant funds for the purchase of real property, a current appraisal must be obtained. Appraisal preparation, documentation and reporting must be made in conformance with the standards and practices of the Uniform Appraisal Standards for Federal Land Acquisitions (UASFLA), as codified in 49 CFR 24.103, and the Uniform Standards of Professional Appraisal Practices (USPAP Standards 1 and 2) published by the Interagency Land Acquisition Conference. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Informal pre-application coordination with ABPP staff is highly recommended. Coordination with appropriate State Historic Preservation Office(s) is required for National Historic Preservation Act Section 106 Review. The ABPPs Nationwide Programmatic Agreement for Section 106 Review, available on the ABPPs website <https://www.nps.gov/abpp/grants/grants>, for this grant program must be consulted prior to applying. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for

Federal Awards. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov and the ABPPs website www.nps.gov/abpp. Applicants are responsible for initiating the Section 106 Review with the appropriate SHPO and consulting parties prior to submitting a complete application.

Award Procedure:

Proposals received in response to announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available throughout the year.

The Director of the National Park Service makes awards to approved applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: 50% Recipient/non-federal cost share is required under this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Battlefield land or interests in land are purchased immediately after receipt of funds, usually no later than one year after receipt of funds. Periods of Performance for awarded grants is typically 3 years to allow for purchase and recording of required easement or preservation agreement. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

In certain cases, grantees or sub-grantees must encumber the title to the acquired battlefield property with easement, in favor of enforceable in court by the State Historic Preservation Officer, or by another agency acceptable to the NPS and SHPO, in perpetuity. In other cases where a State government agency will acquire and manage the property, the State must enter into a preservation agreement with the NPS. The agreement must assert that the State will hold the property forever, allow for public access, maintain and protect the historic features and landscape, restrict development to only that needed for interpretation and visitor access, and pursue site development only after appropriate environmental and cultural studies are completed to inform best possibilities for low impact design and construction. Both the easements and preservation agreement must acknowledge Land and Water Conservation Fund

Act Section 6(f)(3) restrictions and must be sent to the NPS for review and approval prior to their execution and recordation. The SF 425 Federal Financial Report is required. Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-5035-0-2-303.

Obligations:

(Project Grants) FY 16 \$11,934,690; FY 17 est \$10,000,000; and FY 18 est \$10,000,000

Range and Average of Financial Assistance:

Range: \$1,000.00 to \$2,000,000.00

Average: \$250,000.00.

TAFS Codes:

14-00-0000-0000-1039-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Forty-five (45) grants were awarded to 18 political sub-divisions to acquire lands or easements on lands related to American battlefields in order to provide protection in perpetuity. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

A more complete description of grant requirements can be found in the ABPP 2012 application guidelines. The 2012 guidelines and application form are available online at <http://www.cr.nps.gov/abpp>. The American Battlefield Protection Program Act of 2009 (16 USC 469k-1), authorizes this grant program. This legislation allows Land and Water Conservation Fund monies to be used to provide the Federal share of the cost of acquiring interests in eligible Civil War battlefield land. It requires that any interest in land acquired under this program shall be subject to section 6(f)(3) of the Land and Water Conservation Fund Act of 1965 (16 U.S.C. 4601-8(f)(3))." Section 6(f)(3) requires that any land acquired with these funds be preserved and not converted to other uses without the express written consent of the Secretary of the Interior.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Vehmeyer National Park Service
Archeologist and Grants Specialist
American Battlefield Protection Program
1201 Eye Street, NW (2255) 6th Floor, Washington, District of Columbia
20005 Email: Elizabeth_Vehmeyer@nps.gov Phone: (202) 354-2215

Website Address:

<http://www.nps.gov/abpp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Since 1998, LWCF Battlefield Land Acquisition Grants has been apportioned over \$101 million; leveraged over \$128 million; and protected over 27,500 acres either in fee simple or easement purchase at 101 battlefields in 19 states. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Reviewers evaluate applications according to the following considerations: Significance of the battlefield land as defined in the Reports Whether the proposed acquisition parcel lies within ABPP-defined battlefield Core and/or Battlefield Boundary Areas and outside the legislative boundaries

of any associated National Parks
Availability of required matching funds
Acquisition schedule
Threat to the battlefield land
Foremost consideration is given to application packages for acquisition proposals at battlefields defined as Priority I or II sites in the CWSAC 1993 Report or the ABPP 2007 Rev War/War of 1812 Report.
More specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.929 SAVE AMERICA'S TREASURES

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

54 U.S.C. 308902(b) Save Americas Treasures.

OBJECTIVES:

To provide matching grants for preservation or conservation work on nationally significant intellectual and cultural artifacts and nationally significant historic structures and sites. Intellectual and cultural artifacts include artifacts, collections, documents, sculpture and works of art.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for projects resulting in the protection and preservation of nationally significant historic structures and sites, as well as nationally significant collections of intellectual and cultural artifacts, documents, sculpture and works of art. Projects to repair structures must comply with treatments defined in the "Secretary of the Interior's Standards for the Treatment of Historic Properties" (36 CFR 78). No funding is given to projects dealing with acquisition or reconstruction of properties or, collections, payment of rent on properties or land, fund raising, and lobbying. The Grantee must grant a preservation easement or preservation agreement for each grant-assisted property to the State Historic Preservation Officer (SHPO) for at least 50 years from the end date of the grant agreement.

Applicant Eligibility:

State and Local agencies, Public or Private nonprofit institutions/organizations, State Colleges and Universities, Public and Private Colleges and Universities, and Federally Recognized Indian Tribes.

Individuals are not eligible applicants.

Properties receiving Save America's Treasures (SAT) grant assistance must be listed in the National Register of Historic Places for National significance.

Beneficiary Eligibility:

Any Federal, Intrastate, Interstate, State and local agencies, Public or Private nonprofit institution/organization, State Colleges or University, Public and Private College or University, or Federally recognized Indian tribes.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in

accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov.

Generally, applications are reviewed and approved or disapproved by the end of the Federal fiscal year.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: 50% Recipient cost share is required under this program.

This program has MOE requirements, see funding agency for further details. Contact local or headquarters office for further details.

Length and Time Phasing of Assistance:

Project duration is generally for two to three years. All awarded funds must be expended within 90 days of the grant end date specified in the Grant agreement. See the following for information on how assistance is awarded/released: Funds for the project may be requested as advances and/or reimbursements via SMARTLINK electronic payment system, with the final payment being a reimbursement. All conditions of the project must be complete at the time of requesting the final payment.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-5140-0-1-303.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$50,000; and FY 18 est \$50,000

Range and Average of Financial Assistance:

Range: \$0

Average: \$0.

TAFS Codes:

14-00-0000-0000-5140-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not funded. Fiscal Year 2017: In house budget but not yet funded. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

None. WASO.

Headquarters Office:

Save America's Treasures Program, State, Tribal and Local Plans & Grants Division
National Park Service,
1201 Eye St., NW (2256), Washington, District of Columbia 20005 Email: preservation_grants_info@nps.gov Phone: (202) 354-2020 Fax: (202) 371-1794.

Website Address:

<http://www.nps.gov/stlpg>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Grants aid preservation and conservation work on nationally significant intellectual and cultural artifacts, historic structures and sites. Intellectual and cultural artifacts include artifacts, collections, documents, sculpture, and works of art. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

Applications received are scored on the following criteria: critical preservation need; well-planned objectives, tasks, and schedules; qualifications and coordination of partner organization(s); and realistic budget and matching resources.

15.930 CHESAPEAKE BAY GATEWAYS NETWORK

Chesapeake Bay Gateways and Trails

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

54 U.S.C. 101702(a) Agreements for the Transfer of Appropriated Funds to Carry Out NPS Programs; 16 USC 1246(h)(1): Agreements to Operate, Develop, and Maintain Portions of National Trails; and; P.L. 105-302, Title V, Sec. 502(b), Conservation, Restoration Interpretation of Sites.

OBJECTIVES:

The NPS Chesapeake Office provides technical and financial assistance to state and local agencies, tribes and private sector organizations in the Chesapeake Bay watershed under the Chesapeake Bay Initiative Act, the National Trails System Act and other authorities. Assistance supports implementation of: The Chesapeake Bay Program, a collaborative watershed restoration partnership among the states of Maryland, Virginia, Pennsylvania, Delaware, New York, and West Virginia, the District of Columbia, the Chesapeake Bay Commission and the federal government. The six Governors and the other partners signed the 2014 Chesapeake Bay Watershed Agreement establishing specific goals, outcomes, management strategies and work plans for conservation and restoration. The NPS is responsible for fostering interjurisdictional collaboration to add 300 new public boating, fishing and water access sites to the Bay and tributaries and conserve 2 million acres of hunting, fishing, wildlife and other conservation lands by 2025. NPS also participates in and supports other initiatives advancing the agreement. The partnership-based Captain John Smith Chesapeake National Historic Trail (NHT). This 3,000 mile trail extends from Norfolk Virginia to Cooperstown New York and along all the Bays major tributaries. The John Smith

Chesapeake NHT was created through the National Trails System Act (Public Law 90-543, as amended) which provides for technical and financial assistance to States or their political subdivisions, landowners, private organizations, or individuals to operate, develop, and maintain any portion of such a trail either within or outside a federally administered area.

The Chesapeake Bay Initiative Act, which authorizes the NPS to: identify, conserve, restore, and interpret natural, recreational, historical, and cultural resources within the Chesapeake Bay Watershed; identify and utilize the collective resources sites for enhancing public education of and access to the Chesapeake Bay; link sites with trails, tour roads, scenic byways, and other connections; and develop and establish watertrails comprising water routes and connections to sites and other land resources within the Chesapeake Bay Watershed.

To advance these objectives, the NPS collaborates with partners to increase access to the Chesapeake and rivers, to conserve important landscapes and resources, to promote tourism and local economies, to engage youth in meaningful work and placed-based education, to improve recreational opportunities, and to interpret the natural and cultural resources of the Chesapeake region.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients of financial assistance must comply with all applicable federal, state, and local environmental laws and regulations. Local planning offices can provide advice on Federal, state or local permits that will be necessary; and NPS - Chesapeake staff can advise on compliance and permitting processes. As advised by NPS Chesapeake, Recipients may be required to (1) document local support for the project; (2) document consultation with their State Historic Preservation Office, state agencies in charge of endangered species, local planning and zoning officials, and other affected agencies in developing their projects and gaining approval for project implementation; and (3) complete an Environmental Screening Form for their proposed project.

All facilities and programming developed with NPS Chesapeake Bay funding must be accessible to persons with disabilities. It is the responsibility of the award recipient to comply with the Architectural Barriers Act of 1968, Section 504 and 508 of the Rehabilitation Act of 1973, as amended, and the Americans with Disabilities Act of 1990 as amended.

All construction projects must be designed and engineered in accordance with applicable law. The services of a professional, registered engineer or architect are advised to assure that necessary provisions are being met with respect to public safety, codes, and standards.

All projects involving physical development must incorporate specific objectives to green the project, such as developing environmentally sensitive designs, incorporating low impact development approaches (e.g., incorporating green roofs and rain gardens, minimizing impervious surfaces, etc.), incorporating ecofriendly materials into structural elements of your project, utilizing native, non-invasive plant species, and using green procurement practices.

All projects proposed to develop new or enhanced public water access facilities must develop one or more of the following types of public water access facilities: boat-related access, swimming access, fishing access and/or viewing access for water, wildlife, and shoreline areas.

For proposed development projects located on the Captain John Smith Chesapeake National Historic Trail, NPS Chesapeake Office will supply standardized CAJO trail-marking and orientation, and possibly interpretation, signage appropriate to the site.

Applicant Eligibility:

NPS Chesapeake technical and financial assistance strategically supports projects and programs that meet DOI's key responsibilities in the Chesapeake

Bay watershed. Specific plans and strategies which guide implementation include:

Chesapeake Bay Watershed Agreement and implementing management strategies and work plans, including the Chesapeake Bay Watershed Public Access Plan

Captain John Smith Chesapeake NHT planning documents, including: Comprehensive Management Plan, Interpretive Plan, Conservation Strategy and segment plans for the James, Potomac and Lower Susquehanna rivers.

Recipients include: State and local governments and non-profit organizations, whose projects have been identified and prioritized in the plans and strategies noted above.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

Recipients must either be a non-profit organization qualified as such under section 501(c) of the Internal Revenue Code or a state or local government. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

If your proposed project is in Delaware, District of Columbia, Maryland or West Virginia, then you must participate in an intergovernmental review process designed to facilitate coordination of federal financial assistance with state programs. Recipients must contact their states single point of contact (POC) to verify their states specific submission requirements. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

The Superintendent makes the final decision on funding based on administrative priorities. Awards are made to the highest priority projects based on the amount of funding available each year. Recipients selected for funding collaborate with NPS Chesapeake staff to complete award requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

None. Final award decisions are not subject to appeal.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: 50% Recipient cost share is required for most awards under this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project period is set by the requirements of the project but is typically for a time period not to exceed 24 months. See the following for information on how assistance is awarded/released: Assistance is provided on a reimbursement basis.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1042-0-1-303.

Obligations:

(Cooperative Agreements) FY 16 \$253,000; FY 17 est \$500,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range: \$28,000.00 - \$125,000.00

Average: \$70,000.00.

TAFS Codes:

14-00-0000-0000-4250-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Since 2000, the National Park Service Chesapeake financial assistance program has provided more than \$15 million for a variety of community projects. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 225 and check www.baygateways.net

Regional or Local Office:

See Regional Agency Offices. Peggy Wall, Administrative Officer, Chesapeake Bay Office, NPS, 410 Severn Avenue, Suite 314, Annapolis, Maryland 21403. (410) 260-2473, Fax: (410) 263-2135. E-mail: peggy_wall@nps.gov.

Headquarters Office:

Bob Campbell 410 Severn Avenue, Suite 314, Annapolis, Maryland 21403
Email: bob_campbell@nps.gov Phone: (410) 260-2478

Website Address:

<http://www.baygateways.net>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Projects for education, youth employment and stewardship programs, and public access and trail development. Each project is leveraged through matching funds, in-kind services, and volunteer hours. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Recipients include: State and local governments and non-profit organizations, whose projects have been identified and prioritized in the plans and strategies noted above in Section 081.

Specific priorities for 2017 include:

Supporting implementation of new water access sites along the Chesapeake Bay and its tributaries, with a priority on sites the lower James and York Rivers, lower Potomac River and lower Susquehanna River segments.

Providing interpretation, media, visitor services, and educational programming focused on Chesapeake Bay natural and cultural resources at visitor contact stations and associated sites on the lower James and York Rivers, lower

Potomac River and lower Susquehanna River segments as well as through the mobile Roving Ranger program.
Fostering public engagement in conservation, recreation, and resource stewardship through the mobile Roving Ranger offered at partner parks and sites.
Supporting increased visibility of the Chesapeake special places through expansion of Find Your Chesapeake, Paddle the Potomac and related web media.
Providing front-line staff and interpretive guide training and resources related to the Chesapeake Bay themes.
Expanding youth engagement through the Chesapeake Youth Corps program through teams in Maryland and Virginia and Kids in Kayaks program.
Advancing local, state, private, and federal collaborative conservation in the watershed through activities and initiatives that support Chesapeake Bay Watershed Agreement outcomes, including supporting the Chesapeake Conservation Partnership.
Identifying, documenting and planning for landscapes and sites important to American Indian tribes within the Chesapeake Bay watershed.

15.931 CONSERVATION ACTIVITIES BY YOUTH SERVICE ORGANIZATIONS

21st Century Conservation Service Corps Program

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

54 U.S.C. 101702(a) Cooperative Agreements,
Transfer of Service Appropriated Funds; 54 USC 101701(b) Challenge Cost-share
Agreement Authority, and; 16 USC 1723(c)(1) Public Land Corp.

OBJECTIVES:

To utilize qualified youth or conservation corps to carry out appropriate conservation projects which the Secretary is authorized to carry out under other authority of law on public lands.

Work cooperatively with the NPS on cultural and natural resource related conservation projects such as trail development and maintenance, historic, cultural, interpretation, forest and timber management, minor construction work, archaeological conservation, and native plant habitat restoration and rehabilitation. Promote and stimulate public purposes such as education, job training, development of responsible citizenship, productive community involvement, and further the understanding and appreciation of natural and cultural resources through the involvement of youth and young adults in care and enhancement of public resources.

Continue the longstanding efforts of the NPS to provide opportunities for public service, youth employment, minority youth development and training, and participation of young adults in accomplishing conservation-related work.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is to be used by organizations to support youth career training and development in the areas of resource management, conservation and cultural resources while working with the NPS to accomplish particular projects. The availability of discretionary funding is determined by various units and program offices of the NPS each fiscal year.

Funding is to be used by organizations to support youth career training and development in the areas of resource management, conservation and cultural resources while working with the NPS to accomplish particular projects. The availability of discretionary funding is determined by various units of the NPS each fiscal year. Funding is to be used by organizations to support youth career training and development in the areas of resource management, conservation and cultural resources while working with the NPS to accomplish particular projects. The availability of discretionary funding is determined by various units of the NPS each fiscal year. Funding is to be used by organizations to support youth career training and development in the areas of resource

management, conservation and cultural resources while working with the NPS to accomplish particular projects. The availability of discretionary funding is determined by various units of the NPS each fiscal year. For American Recovery and Reinvestment Act of 2009 (ARRA) funded projects the requirements will be followed and incorporated, as necessary, within the opportunity announcement and agreement documents.

Applicant Eligibility:

Private nonprofit institutions and organizations, state and local government agencies and quasi-public nonprofit institutions and organizations that support youth career training and development in the areas of resource management, conservation and cultural resources.

Beneficiary Eligibility:

Private nonprofit institutions and organizations, state and local government agencies and quasi-public nonprofit institutions and organizations that support youth career training and development in the areas of resource management, conservation and cultural resources; Individuals/Families; graduate students; general public, specifically, young people, minority groups, social and economically disadvantaged individuals will benefit from the education and skill development in the area of conservation as well as instilling a conservation ethics.

Credentials/Documentation:

Documentation demonstrating that an organizations purpose is strongly related or solely for the purpose of youth/student education in a conservation oriented environment. Proof of past performance in the areas of resource management, conservation and cultural resources and assistance to youth. If applying as a nonprofit organization, proof of nonprofit status will be required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Projects completed under the Public Land Corp (PLC) Act require a 25 percent nonfederal match (cash and/or in-kind services) for all entities except where an award is provided to a State government and on Indian Lands as defined in the PLC Act.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is provided on a project basis. Most projects are two to three months in duration depending on complexity and other factors. Some projects are as long as a year. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1042-0-1-303; 14-1035-1-1-303 - ARRA TAFS 14-1035 2009/2010.

Obligations:

(Cooperative Agreements) FY 16 \$36,580,234; FY 17 est \$30,000,000; and FY 18 est \$20,000,000

Range and Average of Financial Assistance:

Range: \$1,000.00 - \$1,000,000.00

Average: \$30,000.

TAFS Codes:

14-00-0000-0000-1036-000; 14-5110.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Various youth conservation corps received funding to carry out conservation, education and training on NPS lands with 5,120 participants. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

See Regional Agency Offices. Alaska Region, John Morris, 2525 Gambell Street, Room 107, Anchorage, AK 99503; Telephone: (907) 644-3372. Northeast Region, Kelly Fellner, 14 Beacon Street, Room 503, Boston, MA 02108; Telephone: (617) 227-9963. Midwest Region, Phyllis Cremonini, 601 Riverfront Drive, Omaha, NE 68102; Telephone: (402) 661-1638; National Capital Region Wendy OSullivan, 110 Ohio Drive S.W., Washington, DC 20242; (202) 619-7492, Intermountain Region, Nathan Souder, 12795 West Alameda Parkway, Denver, CO 80225-0287; Telephone: (303) 969-2319, Southeast Region, Priscilla Nalls, Atlanta Federal Center, 100 Alabama Street S.W., Atlanta, GA 30303; Telephone: (404) 562-5633, Pacific West Region, Charles H. Thomas, 805-370-2329.

Headquarters Office:

George McDonald National Park Service, 1201 Eye Street, N.W., Washington, District of Columbia 20005 Email: george_mcdonald@nps.gov Phone: (202)513-7146 Fax: (202) 371-2263

Website Address:

<http://www.nps.gov/youthprograms/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Youth corps volunteers and member completed site improvement projects throughout the National Park Service. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov, but will include:

Qualifications of the organization or institution;

The Secretary may give preference to qualified youth or conservation corps located in a specific area that have a substantial portion of members who are economically, physically, or educationally disadvantaged to carry out projects within the area.

(3) Past performance in the area of youth conservation corps activities;

(4) Organizations ability to reach undeserved and disadvantaged population groups;

(5) Offering meaningful, full-time, productive work for individuals between the ages of 15 and

25 in a natural or cultural resource setting; (6) Giving participants a mix of work experience, basic and life skills, education, training, and support service; and

(7) Providing participants with the opportunity to develop citizenship values and skills through service to their community and the United States.

15.933 PRESERVATION OF JAPANESE AMERICAN CONFINEMENT SITES

Japanese American Confinement Sites Grant Program

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Preservation of Japanese American Confinement Sites Act, Public Law 109-441, 120 Stat. 3288.

OBJECTIVES:

To provide for the preservation and interpretation of historic confinement sites where Japanese Americans were detained during World War II. The program was established to encourage projects that identify, research, evaluate, interpret, protect, restore, repair, and acquire historic confinement sites in order that present and future generations may learn and gain inspiration from these sites and that these sites will demonstrate the Nation's commitment to equal justice under the law.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Japanese American Confinement Sites grant funds may be used for identifying, researching, evaluating, interpreting, protecting, restoring, repairing, and acquiring historic confinement sites where Japanese Americans were detained during World War II as authorized by the Preservation of Japanese American Confinement Sites Act of 2006.

These historic confinement sites are defined as the ten War Relocation Authority camps (Gila River, Granada (Amache), Heart Mountain, Jerome, Manzanar, Minidoka, Poston, Rohwer, Topaz, and Tule Lake), as well as other historically significant locations, as determined by the Secretary of the Interior, where Japanese Americans were detained during World War II.

These sites are specifically identified in Confinement and Ethnicity: An Overview of World War II Japanese American Relocation Sites, published by the Department of the Interior, National Park Service, Western Archaeological and Conservation Center, in 1999. For further information, Please contact the Regional Office.

Applicant Eligibility:

Applicant may be State and local agencies, public or private nonprofit institutions/organizations, Federally recognized Indian tribal governments, State colleges and universities, public and private colleges and universities.

Beneficiary Eligibility:

Providing present and future generations of Americans learning opportunities about the nations commitment to equal justice under the law.

Credentials/Documentation:

Applicant must submit proof of applicant's governmental, non-profit or institutional status; a letter from the owner giving consent to the grant applicant as the grantee of record to undertake work on the property or collection (if applicable). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response to announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Recommendations will be approved by the Secretary of Interior through an apportionment process. Grants will be awarded by NPS directly to selected grantees.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact. Generally 60-120 days.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award, upon request.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Each grant requires a 2:1 Federal to non-Federal match; that is, to receive two dollars of Federal funds at least one dollar non-Federal match is required. The match may be composed of cash or in-kind contributions. The non-Federal match may be raised and spent during the grant period; it does not have to be "in the bank" at the time of application. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

1-2 years will be the typical duration of funded awards. See the following for information on how assistance is awarded/released: Assistance released on a reimbursable basis as costs are incurred.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless

otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333-200.337.

Account Identification:

14-1042-0-2-303.

Obligations:

(Project Grants) FY 16 \$2,913,275; FY 17 est \$3,000,000; and FY 18 est \$3,000,000

Range and Average of Financial Assistance:

Range: \$16,000.00 - \$250,000.00

Average: \$150,000.00.

TAFS Codes:

14-1042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2016: Financial assistance was provided to 15 entities, including political subdivisions, universities and non-profit organizations. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

See Regional Agency Offices. Kara Miyagishima, Program Manager
Japanese American Confinement Sites Grant Program
National Park Service
12795 W. Alameda Parkway
Lakewood, CO 80228
P.O. Box 25287
Denver, CO 80225-0287
Phone: 303-969-2885
Fax: 303-987-6675.

Headquarters Office:

Kara Miyagishima, National Park Service - Intermountain Regional Office,
12795 W. Alameda Parkway, Lakewood, Colorado 80228 Email:
Kara_Miyagishima@nps.gov Phone: (303) 969-2885.

Website Address:

<https://www.nps.gov/jacs/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Since it began, the program has awarded nearly \$21 million in grants for projects that involve 20 states and the District of Columbia. Examples of funded projects include:
■ Rohwer Relocation Camp Cemetery Preservation (Arkansas) stabilization and restoration of grave markers in the camp cemetery, a National Historic Landmark.
■ Poston Preservation Project (Arizona) relocation and rehabilitation of one of the barracks at the Colorado River Relocation Center.

■ The Registry: A Documentary Film about the Military Intelligence Service Language School in Minnesota documentary about Japanese Americans who served as interrogators, interpreters, and linguists with the Military Intelligence Service during World War II.

■ Kooskia Internment Camp Archaeological Project (Idaho) archeological survey of camp structures and landscape features; public outreach on survey findings.

■ Heart Mountain Interpretive Learning Center (Wyoming) construction of a new visitors center to include exhibits and recreated structures, and the development of a website to host online tours and interactive K-12 curricula to engage visitors and students in the lives of Japanese Americans confined to the camp.

■ Teach the Teachers (Multiple Sites) a curriculum package and teacher workshops to enhance student learning about the incarceration of Japanese Americans.

■ From Barbed Wire to Barbed Hooks (California) this documentary tells the story of Manzanar internees who sought moments of freedom by crawling under the incarceration sites barbed wire fences to go trout fishing in nearby streams and alpine lakes. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

Eligible project types include: capital projects, documentation, oral history interviews, interpretation and education related to historic confinement sites, preservation of confinement sites and related historic resources, planning projects, and non-Federal real property acquisition (allowed only with the owners written permission at only Heart Mountain, Honouliuli, Jerome, Rohwer, and Topaz, per stipulations of Public Laws 109-441 and 11188), all benefitting one or more historic Japanese American confinement sites (as established by Public Law 109-441).

15.935 NATIONAL TRAILS SYSTEM PROJECTS

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

16 U.S.C. 1246(h)(1) National Trails Act.

OBJECTIVES:

To preserve, protect, and develop the components of the National Trails System, with a strong emphasis on volunteer involvement, the Secretary of the Interior may enter into written cooperative agreements to operate, develop, and maintain any portion of such a trail either within or outside a federally administered area. The Secretary may provide financial assistance to encourage participation in the acquisition, protection, operation, development, or maintenance of such trails.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is to be used by States or their political subdivisions, landowners, private organizations, or individual to operate, develop, and maintain any portion of such a trail either within or outside a federally administered area. Such agreements may include provisions for limited financial assistance to encourage participation in the acquisition, protection, operation, development or maintenance of such trails.

After consulting with States and their political subdivisions, the Secretary may provide assistance to such entities under appropriate cooperative agreements.

The availability of discretionary funding is determined by individual trail offices each fiscal year.

Applicant Eligibility:

States or their political subdivisions, landowners, private organizations, or individuals.

Beneficiary Eligibility:

General public, and States or their political subdivisions, landowners, private organizations, or individuals.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact. Generally 60 to 90 days.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: when Challenge Cost Share authorities are used to enter into a cooperative agreement there is a required 50% recipient cost share.

When National Trail Act authorities and funding is used to enter into a cooperative agreement no cost share requirements exist. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depends on the complexity of the project. See the following for information on how assistance is awarded/released: Not available.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1035-1-1-303 - TAFS 1409/101035; TAS 14-1036-0-1-303.

Obligations:

(Project Grants) FY 16 \$5,092,435; FY 17 est \$5,000,000; and FY 18 est \$4,000,000

Range and Average of Financial Assistance:

Range: \$7,000.00 - \$821,000.00

Average: \$100,000.00.

TAFS Codes:

14-1036; 14-1036.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Financial Assistance was provided to over 50 organizations to maintain and administer programs on trails throughout the United States. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

See Regional Agency Offices. Ala Kahakai National Historic Trail (NHT) Arik Arakaki, Supt., arik_arakaki@nps.gov.
Appalachian National Scenic Trail (NST) Pam Underhill, Park Manager, Pamela_underhill@nps.gov.
California, El Camino Real de los Tejas, El Camino Real de Tierra Adentro, Mormon Pioneer, Old Spanish, Oregon, Pony Express, Santa Fe, and Trail of Tears NHTs Aaron Mahr, Supt., aaron_mahr@nps.gov.
Captain John Smith Chesapeake and Star-Spangled Banner NHTs John Maounis, Supt., john_maounis@nps.gov.
Ice Age and North Country NSTs Pamela Schuler, Acting Supt., pam_schuler@nps.gov.
Juan Bautista de Anza NHT Naomi Torres, Supt., naomi_torres@nps.gov.
Lewis and Clark NHT Mark Weekley, Supt., mark_weekley@nps.gov.
Natchez Trace NST Cam Sholly, Supt., cam_sholly@nps.gov.
New England NST Charlie Tracy, Supt., Charles_tracy@nps.gov.
Overmountain Victory NHT Paul Carson, Supt., paul_carson@nps.gov.
Potomac Heritage NST Don Briggs, Supt., don_briggs@nps.gov.
Selma to Montgomery NHT Sandy Taylor, Supt., sandy_taylor@nps.gov.
Washington-Rochambeau Revolutionary Route NHT Joe DiBello, Supt., joe_dibello@nps.gov.

Headquarters Office:

Stephan Nofield 1849 C Street, NW., Washington, District of Columbia 20240
Email: Stephan_nofield@nps.gov Phone: (202) 354-6900

Website Address:

<http://www.nps.gov/nts/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The establishment of a National Water Trails System has created additional opportunities for recognition and partnership. In early 2012, the Chattahoochee River Water Trail was the first river designated under the new system. To date, nine water trails totaling more than 1,600 miles - have been designated. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. These criteria may vary somewhat from region to

region.

15.937 REDWOOD NATIONAL PARK COOPERATIVE MANAGEMENT WITH THE STATE OF CALIFORNIA

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

16 U.S.C. 79c(e) Redwood National Park;
Public Law 105-83, 111 Stat. 1543, 16 U.S.C 471j Cooperative Management Authority;
54 U.S.C. 101702(a) Cooperative Agreements, Transfer of Service Appropriated Funds;
54 USC 101701(b) Challenge Cost-share Agreement Authority, and;
54 U.S.C. 101702(b) Cooperative Agreements, Cooperative Research and Training Programs.

OBJECTIVES:

Through cooperative management instruments, and cooperative agreements, exchange goods and services to be used by the Secretary of the Interior and the State of California for cooperative management of lands within Headwaters Forest, Redwood National Park, and proximate State lands.

Develop joint operating procedures and standards to ensure effective and efficient accomplishment of park activities, including but not limited to: visitor services, resource protection, public information, interpretation and publications, resource management, maintenance, administration, design and construction, planning, signing, and the development of policies.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Cooperative Agreements; Dissemination of Technical Information; Sale, Exchange, or Donation of Property and Goods; Training; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

The Secretary of the Interior must determine that appropriations for the purpose of cooperative management of projects are available and an agreement is in the best interests of the United States. Assistance is authorized between the Department of the Interior, National Park Service, Redwood government agencies National Park and the State of California.

Applicant Eligibility:

Agencies within the State of California Government.

Beneficiary Eligibility:

General Public and the State of California.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Projects will be awarded as determined by the Secretary (generally through the Superintendent, Redwood National Park) within the requirements of applicable existing bureau and agency regulation and policy.

If cooperative agreements are utilized to fund projects, funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

After project needs and requirements are developed, the National Park Service will issue the State of California a written agreement (Cooperative Agreement or Cooperative Management Agreement) for execution upon which the project can proceed. Department of the Interior policy allows projects that meet the criteria for single source opportunities to be issued by an agency, which are

specifically directed to a known recipient.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

In accordance with applicable requirements for extensions and renewals.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is provided on a project basis. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program reports are not applicable. Cash reports are not applicable. All organizations will be required to submit a yearly narrative summary accomplishments reports to the National Park Service at the end of each project year. All projects and activities are monitored for progress and compliance with agreed upon scope of work.

For projects funded through cooperative agreements, unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1036-1-1-303 - Operation of the National Park System; 14-1039-1-1-303 - Construction; 14-1041-0-1-303 - Construction; 14-1042-0-1-303 - NR&P.

Obligations:

(Cooperative Agreements) FY 16 \$59,516; FY 17 est \$65,000; and FY 18 est \$65,000

Range and Average of Financial Assistance:

Range: \$1,000.00 - \$35,000.00

Average: \$12,000.00.

TAFS Codes:

14-5035.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Provided financial assistance to the State of California Department of Parks and Recreation for custodial, resource management, and interpretation and educational services. Fiscal Year 2017: Anticipate providing financial assistance to the State of California Department of Parks and Recreation for custodial, resource management, and interpretation and educational services. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

See Regional Agency Offices. Stephen Prokop
Regional Agency Offices. Superintendent,
Redwood National Park
111 Second Street, Crescent City, CA 95531.

Headquarters Office:

Director, 1849 C Street, NW , Washington, District of Columbia 20240 Phone: (202) 208-3100.

Website Address:

<http://www.nps.gov/redw>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Funding provided to State of California Department of Parks and Recreation. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Appropriations for the purpose of cooperative management projects must be available and an agreement is in the best interests of the United States.

15.938 BOSTON AFRICAN-AMERICAN NATIONAL HISTORIC SITE COOPERATIVE AGREEMENT WITH THE MUSEUM OF AFRICAN AMERICAN HISTORY

Rehab African Meetinghouse

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

54 U.S.C. 101702(a) Cooperative Agreements, Transfer of Service Appropriated Funds; 54 U.S.C. 101702(b) Cooperative Agreements, Cooperative Research and Training Programs, and; 54 USC 101701(b) Challenge Cost-share Agreement Authority.

OBJECTIVES:

The National Park Service and the Museum of African-American History are partnering for the purpose of rehabilitating the African Meetinghouse in Boston, MA.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Secretary of the Interior must determine that appropriations for the purpose of providing federal assistance are available and an agreement is in the best interests of the United States. Assistance is authorized between the Department of the Interior, National Park Service, Boston African-American National Historic Site and the Museum of African-American History.

Applicant Eligibility:

Museum of African-American History.

Beneficiary Eligibility:

Museum of African-American History and the General public.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Consultation or assistance between the Superintendent, Boston African-American National Historic Site and the Museum of African-American History will occur. Projects will be awarded as determined by the Secretary,

through the Superintendent, Boston African American National Historic Site, within the requirements of applicable existing bureau and agency regulation and policy.

If funding is approved, a Notice of Intent to Award Funds will be posted at grants.gov.

Award Procedure:

After project needs and requirements are developed, the National Park Service will issue the Museum of African American History a written cooperative agreement for execution upon which the project can proceed.

Department of the Interior policy allows projects that meet the criteria for single source opportunities to be issued by an agency, which are specifically directed to a known recipient.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project.

Appeals:

None.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depends on project complexity. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1036-0-1-303 - Operation of the National Park System.

Obligations:

(Cooperative Agreements) FY 16 \$84,001; FY 17 est \$100,000; and FY 18 est \$50,000

Range and Average of Financial Assistance:

Range: \$37,000.00 - \$225,000.00

Average: \$40,000.00.

TAFS Codes:

14-1035; 14-1409.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Provided funding to park management partner to carry out interpretive and educational programming for the general public. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

None. Michael Creasey, Superintendent
Charlestown Navy Yard, Building 1
Charlestown, MA 02129
Phone: (617)242-5645
Email: Michael_creasey@nps.gov.

Headquarters Office:

Director National Park Service, 1849 C Street, NW, Washington, District of Columbia 20240 Phone: (202)208-3100

Website Address:

<http://www.nps.gov.boaf>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Provided funding to park management partner to carry out interpretive and educational programming for the general public. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Appropriations for the purpose of cooperative projects must be available and an agreement is in the best interests of the United States.

15.939 NATIONAL HERITAGE AREA FEDERAL FINANCIAL ASSISTANCE

National Heritage Area Preservation and Conservation Assistance

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

54 U.S.C. 101702(a) Cooperative Agreements, Transfer of Service Appropriated Funds; 54 USC 101701(b) Challenge Cost-share Agreement Authority; 54 U.S.C. 101702(b) Cooperative Agreements, Cooperative Research and Training Programs, and; Pub. L. 109338, 1(a), Oct. 12, 2006, 120 Stat. 1783 National Heritage Areas Act of 2006.

OBJECTIVES:

The purpose of designation as a National Heritage Area is to preserve and interpret for the educational and inspirational benefit of present and future generations the unique and significant contributions to our national heritage of certain historic and cultural lands, waterways, and structures, to encourage a broad range of economic opportunities enhancing the quality of life within the designated area, and provide a management framework to assist state/local government entities, non profits, and others in developing policies and programs that will preserve, enhance, and interpret the cultural, historical, natural, recreation, and scenic resources of the heritage area.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding and/or assistance is managed by an agency, nonprofit or other organized party identified in the public law that created the National Heritage Area.

Funding is to accomplish intended purpose specified within each individual National Heritage Area's public law.

Funding applies to interpretation and education, recreation, preservation and conservation and economic development of the region applied specifically to the nationally important story for which they were established.

Applicant Eligibility:

State, local, or tribal government or other public entity, an educational institution, or a private nonprofit organization.

Beneficiary Eligibility:

General public, states, their political subdivisions, non-profits, private entities, the heritage area management/coordinating entity.

Credentials/Documentation:

2 CFR Part 200, in its entirety, applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact. Generally 30-90 days.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: 50% recipient cost share is required under this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depends on complexity of project. See the following for information on how assistance is awarded/released: Advances or reimbursements for no more than 50% of their authorized financial activities.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1036-0-1-303.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 16 \$20,000,000; FY 17 est \$17,000,000; and FY 18 est \$17,000,000

Range and Average of Financial Assistance:

Range: \$4,000.00- \$650,000.00

Average: \$215,000.00.

TAFS Codes:

14-1042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Over 50 entities received funding for a variety of projects that supported large-scale, community-centered initiatives that connect local citizens to the preservation, conservation, and planning processes. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

See Regional Agency Offices. Regional Coordinators and individual heritage area contacts are listed at <http://www.nps.gov/history/heritageareas/CNTC/>.

Headquarters Office:

Martha Raymond, 1201 "Eye" Street, NW 6th Floor, Washington, District of Columbia 20005 Email: martha_raymond@nps.gov Phone: (202) 354-2222.

Website Address:

<http://www.nps.gov/history/heritageareas>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The program has funded heritage area management plans, heritage corridor planning, and similar projects. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.940 NEW BEDFORD WHALING NATIONAL HISTORIC PARK COOPERATIVE MANAGEMENT**FEDERAL AGENCY:**

National Park Service, Department of the Interior

AUTHORIZATION:

U. S. C.; 410ddd(e)(2)(a) New Bedford Whaling National Historic Park.

OBJECTIVES:

To work collaboratively with interested entities and individuals to provide for visitor understanding, appreciation and enjoyment.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is limited to cooperative agreements with interested entities and individuals to provide for the preservation, development, interpretation, and use of the park.

Applicant Eligibility:

State, local and tribal governments, other public entities, education institutions, and private nonprofit organizations.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Interested parties contact the park superintendent to discuss possible projects. Some projects are subject to compliance with the National Environmental Policy Act (NEPA). An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on project need and the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project and eligible funding.

Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Per the park's enabling legislation every one dollar of Federal funds shall be matched by four dollars of funds contributed by non-federal sources to provide for the preservation, development, interpretation, and use of the park.

Every dollar of Federal funds shall be matched by one dollar of funds contributed by nonfederal sources for construction, restoration and rehabilitation of visitors and interpretive facilities. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depends on the complexity of the project. See the following for information on how assistance is awarded/released: Dependent on availability of funds.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless

otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1036-0-1-130.

Obligations:

(Cooperative Agreements) FY 16 \$60,933; FY 17 est \$60,000; and FY 18 est \$15,000

Range and Average of Financial Assistance:

Range: \$2,500.00 - \$122,500

Average: \$7,500.00.

TAFS Codes:

14-1036.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Assisted with a multi-year conservation and treatment exhibit project; Provided public educational and interpretive programs; completed historical and cultural research. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

See Regional Agency Offices. Meghan Kish, Park Superintendent, New Bedford Whaling National Historic Park
33 William Street,
New Bedford, Massachusetts 02740.

Headquarters Office:

Park Superintendent, New Bedford Whaling National Historic Park 33 William Street, New Bedford, Massachusetts 02740 Phone: xxx.xxx.xxxx

Website Address:

<http://www.nps.gov/nebe>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Conservation and Treatment for the Purrinton and Russel Grand Panorama of a Whaling Voyage 'Round the World; public interpretive and educational programming. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov, but will include:
Appropriateness to the themes and mission of the park
Contribution to visitor understanding, appreciation and enjoyment
Clarity of project objectives including final product or result
Ability to clearly demonstrate matching requirement
Ability to clearly demonstrate collaborative nature and park's substantial involvement.

**15.941 MISSISSIPPI NATIONAL RIVER AND RECREATION AREA
STATE AND LOCAL ASSISTANCE**

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Mississippi National River and Recreation Area, Public Law 100-696; 704(a) 16 USC section 460 zz 5(a) - Grants, Public Law 100-696; 704(a) 16 U.S.C. section 460 zz 5(b) - Cooperative Agreements, and Public Law 100-696; 704(a) 16 U.S.C. section 460 zz (c) - Technical Assistance.

OBJECTIVES:

Provide assistance and/or funds to enhance partner planning for and interpretation of non-Federal publicly owned lands within the area.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding and/or assistance is to be used by the State of Minnesota or its political subdivisions for the acquisition and development within the Area of lands and waters or interest therein in a manner within the legislation. Funding and/or assistance may be used to assist in the planning for and interpretation of non-Federal publicly owned lands within the Area and to develop and implement programs compatible with the comprehensive management plan. The availability of funding is determined by the Mississippi NRRRA each fiscal year. For more specific information contact the regional office.

Applicant Eligibility:

The State of Minnesota or its political subdivisions, counties, cities, non-profits.

Beneficiary Eligibility:

The State of Minnesota or its political subdivisions, counties, cities, non-profits.

Credentials/Documentation:

2 CFR part 200, in its entirety, applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Consultation or assistance from the agency with this application may be available from the NPS. Projects may be subject to compliance with the National Environmental Policy Act. There are no pre-application requirements. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact. Generally 30 to 90 days.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The recipient must be able to provide 50 percent cash match for grants in accordance with 16 U.S.C. 460zz-5(a). If funds are being provided from the Challenge Cost Share program, there must be a 50 percent match for a cooperative agreement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depends on complexity of project. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1036-0-1-303.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$312,253; FY 17 est \$350,000; and FY 18 est \$350,000

Range and Average of Financial Assistance:

Range: \$18,000.00 - \$170,000.00

Average: \$25,000.00.

TAFS Codes:

14-8037; 14-00-000-000-1036-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: One school district, one university and one non-profit received funding under this program. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

None. John Anfinson, Superintendent
111 E Kellogg Blvd., Suite 105
St. Paul, Minnesota 55101
(651) 293-8432.

Headquarters Office:

Denise St. Marie, 111 E Kellogg Blvd., Suite 105, St. Paul, Minnesota 55101
Email: denise_st_marie@nps.gov Phone: (651) 290-3030 ext. 226.

Website Address:

<http://www.nps.gov/miss>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Information available. Fiscal Year 2017: No Information available. Fiscal Year 2018: No Information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.942 ENVIRONMENTAL EDUCATION AND CONSERVATION - NORTH CASCADES BIOREGION

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

54 U.S.C. 101702(a) Cooperative Agreements, Transfer of Service Appropriated Funds, and; 54 U.S.C. 200103(g)(1) Outdoor Research, Information and Education programs and Activities, commonly known as the Outdoor Recreation Act.

OBJECTIVES:

Provide field-based environmental education (focusing on natural and cultural history), providing programs of public education, youth engagement, involvement in conservation, natural science, history and related fields of study, and conducting interpretive activities about the Skagit River and the North Cascades bioregion.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Discretionary appropriated funds shall be made available for Agreements on a case specific basis.

Applicant Eligibility:

State, local, or tribal government or other public entity, an educational institution, or a private nonprofit organization.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

2 CFR part 200, in its entirety, applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All funded projects will be developed collaboratively between the three partners and executed in accordance with availability of appropriations, application law and regulations. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response to announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in

accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

In accordance with applicable law or regulation. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depends on complexity of project. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1036-0-1-303.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$10,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range: \$0
Average: \$0.

TAFS Codes:

14-1039.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No awards. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

See Regional Agency Offices. Denise M. Shultz, Chief of Interpretation and

Education
North Cascades National Park Service Complex Denise_M_Shultz@nps.gov.

Headquarters Office:

Deanne Adams, National Park Service, Pacific West Regional Office, 1111 Jackson Street, Suite 700, Oakland, California 94607 Phone: (360) 854-7302.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Task agreements to fund youth participation in programs for conservation, natural science, history, and leadership in the bioregion. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

Projects will be accomplished in cooperation with the three partners and be in accordance with established priorities. Funding source will determine criteria for prioritization.

Notice of Intent to Award Funds will be posted on grants.gov prior to initiation of agreements in the case of collaborative projects.

15.943 CHALLENGE COST SHARE

National Park Service Challenge Cost Share

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

54 U.S.C. 101702(a) Cooperative Agreements, Transfer of Service Appropriated Funds, and;
54 USC 101701(b) Challenge Cost-share Agreement Authority.

OBJECTIVES:

To increase participation by qualified partners in the preservation and improvement of NPS natural, cultural, and recreational resources in all authorized Service programs and activities.

TYPES OF ASSISTANCE:

Cooperative Agreements; Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

This program supports small-scale partnership projects with units of the National Park System, NPS centers and program areas, and National Trails. All projects and activities receiving these funds must meet a minimum 50:50 match of cash, goods, or services from a non-Federal source. Applications must be approved by appropriate NPS park, program, center, or trails staff. All projects and activities receiving these funds must meet a minimum 50:50 match of cash, goods, or services from a non-Federal source. Applications must be approved by appropriate NPS park, program, center, or trails staff. The maximum CCS award is \$30,000. For more specific information contact the regional office.

Applicant Eligibility:

State, local, or tribal government or other public entity, an educational institution, or a private nonprofit organization.

Beneficiary Eligibility:

General public, trail system users, and organizations with conservation missions.

Credentials/Documentation:

Equal or greater eligible non-Federal contribution with appropriate letter(s) of partner commitment documenting match, and approval of supporting NPS unit, center, program, or trail staff. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Contact Regional/Trail offices for more specific application information. Some

projects may be subject to the National Environmental Policy Act (NEPA). An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

A Memorandum of Understanding (MOU) or the Challenge Cost Share project (CCSP) application can be used as the initial Partnership Agreement to establish the relationship and the intent of the partnership. If CCSP funds are transferred directly to a partner, a cooperative agreement or other instrument will be utilized.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The non-Federal contribution(s) is at least 50% of the CCSP share and all costs detailed in the budget.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are expected to be completed in one year, but can be extended based on the complexity of the project. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. The SF-425, Federal Financial Report. Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1036-1-1-303.

Obligations:

(Direct Payments for Specified Use) FY 16 \$377,199; FY 17 est \$400,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range: \$1,000.00 - \$25,000.00

(subawards)

Average: \$20,000.00.

TAFS Codes:

14-00-000-0000-8037-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Funds were awarded to a non-profit organization as a pass-through organization to distribute sub-awards. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

None.

Headquarters Office:

Charlie Stockman National Park Service, National Challenge Cost Share Coordinator, 1201 Eye St., NW, 9th Floor, Washington, District of Columbia 20005 Email: charlie_stockman@nps.gov Phone: (202) 354-6907 Fax: (202) 371-5179.

Website Address:

<http://www.nps.gov/ccsp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Awards made to organizations that issued sub awards through a separate grant application process. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.944 NATURAL RESOURCE STEWARDSHIP

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

54 U.S.C. 101702(a) Cooperative Agreements, Transfer of Service Appropriated Funds; 54 USC 101701(b) Challenge Cost-share Agreement Authority; 54 U.S.C. 101702(b) Cooperative Agreements, Cooperative Research and Training Programs; 54 USC 100703 Cooperative Study Units; and 54 U.S.C. 101702(d)(1) Cooperative Agreements for System Unit Natural Resource Protection.

OBJECTIVES:

The Natural Resource Stewardship program is the principle means through which the NPS evaluates and improves the health of watersheds, landscapes, and marine and coastal resources, sustains biological communities on the lands and waters in parks, and actively endeavors to improve the resiliency of these natural resources and adapt them to the effects of climate change.

The National Park Service (NPS) conducts natural resource stewardship largely at the park level, utilizing park personnel and contractor or cooperative support. To carry out and further this stewardship responsibility, the Service implements programs that encompass a broad range of research, operational, and

educational activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds used for all aspects of natural resource (air, water, geological, biological) stewardship activities, including but not limited to resource inventories and monitoring, restoration projects, wildlife and plant management, and climate change mitigation and adaptation.

Funds may not be used for acquisition of real property. Contact the Headquarters Office for more information.

Applicant Eligibility:

State, local, or tribal governments, other Federal agencies, other public entities, educational institutions, private nonprofit organizations.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Applicant entities must have expertise in the contemplated resource management and/or research project. If applying as a nonprofit organization, proof of nonprofit status will be required. Examples of prior work in technical areas related to management and research in natural areas is required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination will be specified in the Funding Opportunity Announcement, if applicable. Environmental impact assessment or statement may be required for projects within this program. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact. Generally 30 to 60 days.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Recipients share some project or program costs. The

cost-sharing arrangements are developed between NPS and the recipients in advance of the program unless otherwise stated by Congress.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depends on complexity of project. See the following for information on how assistance is awarded/released: Funds are released on a reimbursable basis as costs are incurred.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1036-0-1-303.

Obligations:

(Cooperative Agreements) FY 16 \$13,314,435; FY 17 est \$10,000,000; and FY 18 est \$8,000,000

Range and Average of Financial Assistance:

Range: \$4,000.00 - \$185,000.00

Average: \$55,000.00.

TAFS Codes:

14-1039.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Over 80 awards were made to organizations throughout the United States. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Karel Morales 1201 Eye Street NW, Washington, District of Columbia 20024
Email: Karel_Morales@nps.gov Phone: (202)513-7204

Website Address:

<http://www.nature.nps.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Stewardship projects that support the NPS mission at various National Parks, Sites, Trails. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.945 COOPERATIVE RESEARCH AND TRAINING PROGRAMS

RESOURCES OF THE NATIONAL PARK SYSTEM

Cooperative Ecosystem Studies Units (CESU) Network

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

54 U.S.C. 100703 Cooperative Study Units; 54 U.S.C. 100702 Research Mandate; 54 U.S.C.

100704 Inventory and Monitoring Program;

54 U.S.C. 100705 Availability for System Units Scientific Study;

54 U.S.C. 101702(a) Cooperative Agreements, Transfer of Service

Appropriated Funds;

54 U.S.C. 101702(b) Cooperative Agreements, Cooperative Research and Training Programs;

54 U.S.C. 101701(b) Challenge Cost-share Agreement Authority;

54 U.S.C. 101702(d) Cooperative Agreements for System Unit Natural Resource Protection;

54 U.S.C. 200103(g) Research, Information, and Education Programs and Activities;

16 U.S.C. 1723(c) Public Land Corps Program; and

42 U.S.C. 5121 et seq. Disaster Relief and Emergency Assistance.

OBJECTIVES:

To support coordinated cooperative research, technical assistance, education and training, and usable knowledge development to inform science-based management of the National Park System.

To establish and maintain cooperative study units, in partnership with federal, state, and local governments, tribes, nonprofit organizations, and institutions of higher education, to facilitate multi-disciplinary science and integrated information products related to the resources of the National Park System.

To maintain a comprehensive network cooperative study units as will provide full geographic and topical coverage for research, technical assistance, and educational activities related to the resources contained in units of the National Park System and their larger regions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Secretary of the Department of the Interior must determine that appropriations for the purpose of cooperative management of projects. For further information, please contact the regional office.

Applicant Eligibility:

State and local governments, federally recognized Indian tribal governments, public/private nonprofit organizations, nonprofit institutions of higher education, and individuals.

Beneficiary Eligibility:

State and local governments, federally recognized Indian tribal governments, public/private nonprofit organizations, nonprofit institutions of higher education, and individuals (including members of the general public).

Credentials/Documentation:

Applicant entity must have expertise in the contemplated scientific research, technical assistance, or educational functions within the context of cultural, social, biological, or physical sciences as applicable to NPS park management or programs. Prospective CESU Network nonfederal and federal partners follow a procedure which is outlined at the programs website.

If applying as a nonprofit organization, proof of nonprofit status will be required. Examples of prior work in technical areas related to research, technical assistance, or education on public lands (e.g., National Park) may be required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination will be specified in the Funding Opportunity Announcement, if applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, and points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response to announcements on [grants.gov](http://www.grants.gov) are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Some projects may be conducted on a predetermined cost share basis.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is provided on a project basis and available appropriations. A project may last from 3 months to 5 years. See the following for information on how assistance is awarded/released: For further information, please contact the regional office.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1036-0-1-303 - Operation of the National Park System; 14-1042-0-1-303 - NR&P.

Obligations:

(Cooperative Agreements) FY 16 \$45,304,275; FY 17 est \$40,000,000; and FY

18 est \$40,000,000

Range and Average of Financial Assistance:

Range: \$5,000.00 - \$970,000.00

Average: \$48,500.00.

TAFS Codes:

14-1036.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Over 500 awards were made to universities and non-profits to conduct projects relevant to the NPS system. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

See Regional Agency Offices. <http://www.cesu.org>

Dr. Thomas E. Fish
National Coordinator
Cooperative Ecosystem Studies Units (CESU) Network
1849 C Street NW, Room #2723
Washington, DC 20240
Email: Tom_Fish@nps.gov
Phone: (202) 208-5972.

Headquarters Office:

Dr. Thomas E. Fish
Cooperative Ecosystem Studies Units (CESU) Network
1201 Eye Street NW, Room 844, Washington, District of Columbia 20005
Email: Tom_Fish@nps.gov Phone: (202) 354-1825

Website Address:

<http://www.cesu.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Awards were made to universities and nonprofits to conduct research, technical assistance, or education projects relevant to the NPS system. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.946 CULTURAL RESOURCES MANAGEMENT

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

54 U.S.C. 320102(f) commonly known as the American Antiquities Act; 54 U.S.C. 302304(b) State Historic Preservation Programs; 54 U.S.C. 101702(a) Cooperative Agreements, Transfer of Service Appropriated Funds; 54 U.S.C. 101702(b) Cooperative Agreements, Cooperative Research and Training Programs, and; 54 USC 101701(b) Challenge Cost-share Agreement Authority.

OBJECTIVES:

The National Park Service (NPS) conducts cultural resource stewardship largely at the park level. To carry out and further this stewardship responsibility, the Service implements programs that encompass a broad range of research, operational, and educational activities. The NPS conducts: Research to identify, evaluate, document, register, and establish basic information about cultural resources and traditionally associated peoples; Planning to ensure that management processes for making decisions and setting priorities integrate information about cultural resources, and provide for

consultation and collaboration with outside entities; and Stewardship to ensure that cultural resources are preserved and protected, receive appropriate treatments (including maintenance), and are made available for public understanding and enjoyment.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds used for all aspects of cultural resource stewardship activities, including but not limited to inventory, monitoring, research, rehabilitation, reconstruction, restoration, preservation, documentation, data recovery, education, and climate change mitigation and adaptation. The Secretary of Interior must determine that appropriations for the purpose of cooperative management of projects are available and an agreement is in the best interests of the United States. Funds may not be used for acquisition of real property. For further information, please contact the regional office.

Applicant Eligibility:

State, local, or tribal government or other public entity, an educational institution, or a private nonprofit organization.

Beneficiary Eligibility:

State and local governments, Federal recognized Indian Tribal governments, nonprofit organizations, educational or scientific institutions, associations, individuals.

Credentials/Documentation:

Generally, applicant entity must have expertise in the contemplated resource management and/or research project. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact. Generally 30-120 days.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Recipients may share some project or program costs. The cost-sharing arrangements are developed between NPS and the recipients in advance of the program unless otherwise stated by Congress.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depends on project complexity. See the following for information on how assistance is awarded/released: Will be specified in the Funding Opportunity Announcement, if applicable.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333-200.337.

Account Identification:

14-1036-0-1-303 - Operation of the National Park System; 14-1042-0-1-303 - NR&P; 14-5140-0-2-303 - HPF.

Obligations:

(Cooperative Agreements) FY 16 \$16,260,471; FY 17 est \$15,000,000; and FY 18 est \$15,000,000

Range and Average of Financial Assistance:

Range: \$1,000.00 - \$5,500,000.00

Average: \$192,000.00.

TAFS Codes:

14-1039.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Over 100 awards made to non-profit, tribal entities and universities. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Stephanie Toothman U.S. Department of the Interior, 1849 C Street NW, Room #2737, Washington, District of Columbia 20240 Phone: (202)208-7625

Website Address:

<http://www.nps.gov/history/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Planning and cultural resources stewardship, including research. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements

posted on www.grants.gov.

15.947 BOSTON HARBOR ISLANDS PARTNERSHIP

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Boston Harbor Islands National Recreational Area 16 U.S.C. 460kkk(d)(3)(b); 54 U.S.C. 101702(a) Cooperative Agreements, Transfer of Service Appropriated Funds, and; 54 USC 101701(b) Challenge Cost-share Agreement Authority.

OBJECTIVES:

NPS may enter into a cooperative management agreements with certain management partners of the park to acquire from and provide to the partners goods and services for cooperative management of lands within the Park. Work collaboratively with Partnership agencies and interested entities to provide for safe visitor access, public information, youth programs, resource management and citizen science and scholarly research.

TYPES OF ASSISTANCE:

Cooperative Agreements; Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Cooperative agreements with the Commonwealth of Massachusetts or its political subdivisions to acquire from and provide to the Commonwealth or its political subdivisions goods and services to be used in the cooperative management of lands within the recreation area, if the Secretary determines that appropriations for that purpose are available and the agreement is in the best interest of the United States. For further information, please contact the regional office.

Applicant Eligibility:

The Commonwealth of Massachusetts, members of the Boston Harbor Islands Partnership, and other public entities, education institutions, and private nonprofit organizations.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals

were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Dependent on fund source and type of project. See the following for information on how assistance is awarded/released: For further information, please contact the regional office.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1036-0-1-303 - Operation of National Park Service; 14-1039-0-1-303 - Construction.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$546,694; FY 17 est \$500,000; and FY 18 est \$250,000

Range and Average of Financial Assistance:

Range: Currently the program supports a cooperative management agreement therefore direct funding is through task agreements with management partner.

Average: Will be based on individual financial assistance task agreements with the management partner.

TAFS Codes:

14-1036.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Outreach and additional interpretation funded. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

See Regional Agency Offices. Giles Parker, Superintendent Boston Harbor Islands National Park Area
408 Atlantic Avenue
Boston, Massachusetts 02110.

Headquarters Office:

Superintendent Boston Harbor Islands National Recreation Area, 15 Stark St., Suite 1100, Boston, Massachusetts 02109 Phone: Contact Park Superintendent

(617

Website Address:

<http://www.nps.gov/boha>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Continued management and partnership agreements between the historic park/site management entity, NPS and the City of Boston, MA.

Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.949 NATIONAL FIRE PLAN - RURAL FIRE ASSISTANCE

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act of 2005, Title I, Public Law 108-447. This program was first implemented in the Department of the Interior and Related Agencies Appropriations Act of 2001, Title IV, Public Law 106-291; 16 USC 1f, NPS Challenge Cost Share.

OBJECTIVES:

To implement the National Fire Plan by increasing firefighter safety and enhancing the knowledge and fire protection capability of rural fire departments by providing assistance in education and training, protective clothing and equipment purchase, and support to public education efforts on a cost share basis.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Cooperative Agreements (Discretionary Grants); Dissemination of Technical Information; Provision of Specialized Services; Training; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Emphasis is placed on fire departments that protect rural communities and play a substantial cooperative role in the protection of the Department of the Interior managed public lands. For further information, please contact the regional office.

Applicant Eligibility:

State and local government, Public nonprofit institution/organizations, Other public institution/organization, Federally Recognized Indian Tribal Government, Private nonprofit institution/organization, Native American Organization, and rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface. All applicants must be rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface.

Beneficiary Eligibility:

State, Local, Public Nonprofit Institution/Organization, Other Public Institution/Organization, Federally Recognized Indian Tribal Government, Small Business, Profit Organization, Private Organization, Anyone/General Public, Native American Organization, Farmer/Rancher/Agriculture Producer, Homeowner, Land/Property Owner, Suburban, and Rural.

Credentials/Documentation:

The following criteria applies for a fire department to participate in the program:

Statewide agreement with the State Forester, who maintains cooperative fire agreements with the rural fire department/volunteer fire department, or a cooperative fire agreement with an Interior Bureau; rural fire department serving a community with a population of 10,000 or less in the wildland/urban interface; and the funding request is limited to training, equipment, and prevention activities. The rural fire department must have the capability to meet cost share at a minimum of 10% which may include in-kind services.

2 CFR Part 200, in its entirety, applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Rural Fire Assistance is coordinated through each State Forester and the Regional Office, or National Park unit. Procedures may be found on the National Interagency Fire Center website at: <http://www.nifc.gov/rfa/index.html>. No specific application forms apply, except for grants awarded, the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations", must be used by this program.

Award Procedure:

To ensure consistency within existing rural fire assistance programs, the Department of the Interior bureaus work with the State Foresters and other Federal partners to ensure that funding is allocated in the amount of the award to qualified and suitable rural fire districts. Specific information will be provided at the local or regional office, as appropriate for application deadlines or visit the National Interagency Fire Centers website at: <http://www.nifc.gov/rfa/index.html>.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Rural fire assistance projects are approved by the Bureau/Service/Park Unit in coordination with the State Forester and other Federal partners. Normally all grant requests are received and awards made during the same fiscal year.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: This program includes matching requirements. For example, rural fire departments must have the capability to meet cost share at a minimum of 10% which may include in-kind services. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are funded on a single year basis and normally funds are expended during the fiscal year of the award. See the following for information on how assistance is awarded/released: For further information, please contact the regional office.

Reports:

No program reports are required. No cash reports are required. Performance monitoring schedules and/or progress reports will be developed in consultation with the applicant, but at a minimum will take place at least once during the life of a project, in accordance with 43 CFR Part 12, Department of the Interior regulations for Federal Financial Assistance. SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1125-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Eliminated Program.

Range and Average of Financial Assistance:

Range: \$1.00 to \$30,000.00

Average: \$6,000.00.

TAFS Codes:

14-1125.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No information available. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of the Interior's rural fire assistance program is guided by the provisions of the National Fire Plan. A variety of public information on this plan is available by contacting the appropriate Bureau/Service Office or the National Interagency Fire Center website at: <http://www.nifc.gov/rfa/index.html>.

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Jeff Scott National Park Service, National Interagency Fire Center, 3833 S. Development Ave, Boise, Idaho 83705 Phone: (208) 387-5206

Website Address:

<http://www.nifc.gov/rfa/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No information available. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for ranking eligible Rural Fire Departments, relative to other applicants, for funding allocation are: The fire department's frequency of responses to wildland/urban fire incidents in the local area; the fire department's wildland prevention and education program needs; the fire department's training program needs; the communities and Department of the Interior's values to be protected; the percentage of wildland/urban lands; and the number of wildland fire engines in the department relative to the percentage of wildland/urban interface acres protected.

15.954 NATIONAL PARK SERVICE CONSERVATION, PROTECTION, OUTREACH, AND EDUCATION

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

54 U.S.C. 101702(a) Cooperative Agreements, Transfer of Service Appropriated Funds;

54 U.S.C. 200103(g)(1) Outdoor Recreation of Programs, Research and Education.

OBJECTIVES:

To support projects complementary to National Park Service program efforts in resource conservation and protection, historical preservation and environmental sustainability. These projects may include but are not limited to research, education, outdoor recreation and community outreach and safety. The

objectives include promoting the use of culturally diverse resources and environmental advocacy in collaboration and cooperation with state, tribal, and local governments, nonprofit organizations and educational institutions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applications must be for projects within the National Park Service area of responsibility. The Secretary of the Interior must determine that appropriations for the purpose of cooperative management of projects are available and an agreement is in the best interests of the United States. Funds may not be used for acquisition of real property.

Applicant Eligibility:

State, local and tribal governments, other public entities, educational institutions, and nonprofit organizations.

Beneficiary Eligibility:

Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions/organizations, and State, local and tribal governments, industry and public decision makers, research scientists, engineers, and the general public.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed project. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Due to limited availability of funds to support new external projects, consultation with NPS is strongly recommended prior to submission of applications. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact. Generally 30-120 days.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Recipients may share some project or program costs.

The cost-sharing arrangements are developed between NPS and the recipients in advance of the program unless otherwise stated by Congress. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depends on project complexity. See the following for information on how assistance is awarded/released: Recipients request payment or draw down as needed throughout the award period in accordance with the approved budget for immediate needs.

Reports:

No program reports are required. No cash reports are required. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1036-0-1-303.

Obligations:

(Cooperative Agreements) FY 16 \$32,401,414; FY 17 est \$30,000,000; and FY 18 est \$20,000,000

Range and Average of Financial Assistance:

Range: \$2,000.00 - \$925,000.00

Average: \$67,000.00.

TAFS Codes:

14-1036.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Over 100 projects were funded under this program. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms and program information.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Heidi Sage National Park Service, 12795 W. Alameda Parkway , Lakewood, Colorado 80228 Email: Heidi_Sage@nps.gov Phone: 303-969-2065

Website Address:

<http://www.nps.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Funded projects were complementary to National Park Service program efforts in resource conservation and protection, historical preservation and environmental sustainability. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements

posted on www.grants.gov.

15.955 MARTIN LUTHER KING JUNIOR NATIONAL HISTORIC SITE AND PRESERVATION DISTRICT

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Martin Luther King, Junior, National Historic Site and Preservation District, Public Law 96428, Sec.3 (b); 54 U.S.C. 101702(a) Cooperative Agreements, Transfer of Service Appropriated Funds.

OBJECTIVES:

The Martin Luther King, Junior, National Historic Site and Preservation District was established October 10, 1980 in order to protect and interpret for the benefit, inspiration and education of present and future generations the places where Martin Luther King, Junior, was born, where he lived, worked, and worshipped, and where he is buried.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Secretary may enter into cooperative agreements with the owners of properties of historical or cultural significance as determined by the Secretary, pursuant to which the Secretary may mark, interpret, improve, restore, and provide technical assistance with respect to the preservation and interpretation of such properties. Such agreements shall contain, but need not be limited to, provisions that the Secretary shall have the right of access at reasonable times to public portions of the property for interpretive and other purposes, and that no changes or alterations shall be made in the property except by mutual agreement. The authorities in this subsection shall also be available to the Secretary with respect to properties within the Martin Luther King, Junior, Preservation District.

Applicant Eligibility:

Owners of properties of historical or cultural significance within the District, State, local, or tribal government or other public entity, an educational institution, or a private nonprofit organizations.

Beneficiary Eligibility:

State, local and tribal governments, public nonprofit institutions/organizations, private nonprofit institutions/organizations, general public, institutions of higher education will ultimately benefit from knowledge gained under this program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed project.

2 CFR Part 200, in its entirety, applies to this program.

Federal Acquisition Regulation Subpart 31.2 for for-profit entities. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact. Generally 30-120 days.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Recipients may share some project or program costs. The cost-sharing arrangements are developed between NPS and the recipients in advance of the program unless otherwise stated by Congress.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depends on project complexity. See the following for information on how assistance is awarded/released: Specific project information will be specified in each Funding Opportunity Announcement.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Specific projects or activities for which funds are advanced shall be tracked and reported by quarterly or annual submittal of Standard Form 425, the Financial Report. Specific projects or activities for which funds are advanced shall be tracked and reported by quarterly or annual submittal of Standard Form 425, the Financial Report. Unless otherwise specified in the award, all organizations will be required to submit yearly narrative summary accomplishment reports to the National Park Service at the end of each project year. All projects and activities are monitored for progress and compliance within the agreed upon scope of work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333-200.337.

Account Identification:

14-1036-0-1-303.

Obligations:

(Cooperative Agreements) FY 16 \$809,168; FY 17 est \$800,000; and FY 18 est \$800,000

Range and Average of Financial Assistance:

Range: \$100,000.00 to \$800,000.00
Average: \$600,000.00.

TAFS Codes:

14-1036.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Funding was provided to initiate programs at the site and within the district. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

See Regional Agency Offices. Judy Forte, Superintendent
Tina Smiley, Administrative Officer
450 Auburn Avenue, NE
Atlanta, GA 30312-1525.

Headquarters Office:

Heidi Sage National Park Service, 12795 W. Alameda Parkway, Lakewood, Colorado 80228 Phone: 303-987-6739

Website Address:

<http://www.nps.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No information available. The program was initiated in 2014.
Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.956 EBEYS LANDING NATIONAL HISTORICAL RESERVE AND EBEYS LANDING NATIONAL HISTORICAL RESERVE TRUST BOARD**FEDERAL AGENCY:**

National Park Service, Department of the Interior

AUTHORIZATION:

16 USC 1g provides that the National Park Service may enter into cooperative agreements that involve the transfer of National Park Service appropriated funds to State, local and tribal governments, other public entities, educational institutions, and private nonprofit organizations for the public purpose of carrying out National Park Service programs pursuant to 31 U.S.C. 6305 to carry out public purposes of National Park Service programs.

The Outdoor Recreation Act, as amended, 16 U.S.C. 4601-1(f), (1988), states that the Secretary is authorized to sponsor, engage in, and assist in research, undertake studies through cooperative agreements, and cooperate with educational institutions in establishing educational programs and activities relating to outdoor recreation.

PL 95-625 authorizes the Secretary of Interior to transfer management and administration, pursuant to a Cooperative Agreement, over all or any part of the property acquired by the Secretary to the State or appropriate units of local government. The 1980 Comprehensive Plan for the Reserve calls for establishment of a Trust Board comprised of representatives from Island County, the Town of Coupeville, the Washington State Park and Recreation Commission (State Parks), and the United States Department of the Interior to provide continuity and coordination of Reserve management.

The Interlocal Cooperation Act (Chapter 39.34 RCW) permits local governmental units to enter into joint powers agreements with each other, with State Parks and the National Park Service (RCW 39.34.030).

OBJECTIVES:

Management of the Reserve as provided in the Comprehensive Plan for the Reserve and in accordance with the Cooperative Agreement between the NPS and the Trust Board
Administration and protection of sites, facilities and interests in land acquired and retained by the NPS, and by mutual written agreement between the Trust Board and a land-owner, other lands within the Reserve.
Administration of programs within the scope of the Reserve purposes.
Monitoring and evaluation of compliance with and effectiveness of various conservation measures being used within the Reserve.

Participation in the land use review process of both Island County and the Town of Coupeville, to assure protection of valuable resources of the Reserve. Cooperation with Town and County departments and staff to assure awareness and protection of valuable resources of the Reserve during routine government activities.

Entering into contracts to provide necessary material and services to develop and maintain facilities and enhance and protect the resources of the Reserve.

Entering into contracts with individuals, private organizations and local community and governmental bodies to protect, research enhance document and interpret the resources of the Reserve.

The Trust Board shall have the authority to accept and expend funds from the parties to this agreement and from other public and private sources for activities and purposes related to the operation of the Reserve, subject to the limitations established by the granting authority, organization or individual.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements); Provision of Specialized Services

USES AND USE RESTRICTIONS:

The Ebey's Landing National Historical Reserve was established in 1978 to preserve and protect a rural community which provides an unbroken historical record from nineteenth century exploration and settlement in Puget Sound to the present time. (National Parks and Recreation Act 1978, PL 95-625). The Trust Board of Ebey's Landing National Historical Reserve was established to manage and administer the Reserve. The Trust Board is comprised of nine members, from Island County (3), Town of Coupeville (3), State Parks (1), and the National Park Service (1). Discretionary appropriated funds shall be made available for Task Agreements on a case-specific basis.

Applicant Eligibility:

The authorizing legislation for Ebey's Landing National Historical Reserve states that the Secretary of Interior is authorized to transfer management and administration of the Reserve to an appropriate unit of local government. A joint administrative board called the Ebey's Landing National Historical Reserve Trust Board (Trust Board), was created in accordance with the provision of RCW 39.34.030. The Trust Board consists of nine members: Three representatives of Island County, residing in the Reserve, three representatives from the Town of Coupeville, one [Washington] State Park representative, and one National Park Service representative. The Trust Board complies with the Open Public Meeting Act Chapter 42.30 RCW and members of the Trust Board are enrolled as Volunteers in Parks (VIP).

Beneficiary Eligibility:

Other Public Institution/organization.

Credentials/Documentation:

2 CFR Part 200, in its entirety, applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All funded projects will be developed collaboratively between the National Park Service and the Trust Board and executed in accordance with availability of appropriations, application law and regulations including DOI Regulations regarding Financial Assistance awards at 43 CFR Part 12. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Projects will be documented in task agreements to be issued under the terms and conditions of a master Cooperative Management Agreement. Each project will include the appropriate documentation for a Financial Assistance award in accordance with National Park Service and DOI policy and regulation.

Award Procedure:

Projects will be selected based on criteria developed with the partners and as approved by the Deputy Regional Director, Pacific West Regional Office. All awards will be made in accordance with Department of Interior Policy, Departmental Manual 505DM2 based upon Unique Qualifications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

In accordance with applicable law and regulation.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The US Department of the interior through the National Park Service shall request an appropriation through customary budgetary procedures to defray a portion not to exceed 50%--of annual operational costs of the Reserve. The remaining costs, which may consist of direct financial contributions or in-kind services, will be provided for from other sources.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Reports shall be specified in each Task Agreement and OMB regulations (OMB Circular A-110 and the Common Rule 43 CFR Part 12, Subpart F, Paragraphs 12.951 through 12.953, and 12.971 through 12.973) and subsequent modifications as implemented by OMB. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1036-0-1-303.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 16 Not Available; FY 17 est \$200,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Range: \$1,000.00 - \$200,000.00

Average: \$200,000.00.

TAFS Codes:

14-1036.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: (The "Shumla School" has no affiliation to the Reserve and did not receive funding from this program). Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

See Regional Agency Offices. Roy M. Zipp, Superintendent, NPS Operations Ebey's Landing National Historical Reserve
Reuble Farmstead
593 Fort Casey Road
Coupeville, Washington 98239
360-678-5787
Craig_holmquist@nps.gov

Barry Fetzer
Ebey's Landing National Historical Reserve
593 Fort Casey Road
Coupeville, Washington 98239
barry_fetzer@nps.gov.

Headquarters Office:

Pacific West Regional Office 909 First Ave #500, Seattle, Washington 98104
Phone: 206-220-4000

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No information available. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Projects will be accomplished in cooperation with the three partners and be in accordance with established priorities. Funding source will determine criteria for prioritization.

15.957 HISTORIC PRESERVATION FUND GRANTS TO PROVIDE DISASTER RELIEF TO HISTORIC PROPERTIES DAMAGED BY HURRICANE SANDY

HPF Hurricane Sandy Relief Grant Program

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Disaster Relief Appropriations Act, 2013 (P.L. 113-2)

National Historic Preservation Act, as amended, 16 U.S.C. 470(e) and (h), Establishment of Historic Preservation Fund

National Historic Preservation Act, as amended, 16 U.S.C. 470a(j), Develop and implement a comprehensive preservation education and training program.

OBJECTIVES:

The purpose of this supplemental funding is to provide technical assistance and emergency repairs to historic and archeological resources impacted by Hurricane Sandy. Only hurricane-related damage is eligible for grant assistance. The NPS will rely on the assessment and documentation of the SHPO offices to determine eligibility of storm damaged resources and eligible grant expenditures related to the storm damage.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

Hurricane Sandy HPF relief grants must be used to preserve properties listed in or eligible for listing in the National Register of Historic Places. States may also use the funds for 1) hiring additional term, temporary, or contractor staff to enable the SHPOs to expeditiously conduct the reviews of all Federally funded or sponsored projects required by Section 106 of the National Historic Preservation Act, 2) providing technical assistance to local government officials and to all owners of properties listed in or considered eligible by the State Historic Preservation Officer for listing in the National Register of Historic Places; and 3) architectural/engineering plans and specifications, economic feasibility studies, survey and damage assessments, construction work to stabilize and repair historic and archeological properties, and survey of hurricane-damaged properties.

Applicant Eligibility:

Eligible applicants are State and Tribal Historic Preservation Offices located within areas receiving major disaster declarations from FEMA.

Beneficiary Eligibility:

States and Tribes.

Credentials/Documentation:

National Register listing, or certification of eligibility, of properties for which grant assistance be being applied.

2 CFR Part 200, in its entirety, applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A completed Grant Application Form. The standard application forms required by 43 CFR 12, Subparts C and F, must be used. In addition, applicants must also submit an Action Plan Narrative providing an overview of needs in the State.

Award Procedure:

Using the FEMA major disaster declarations as a starting point for determining the method of apportioning the \$47.5 million, the NPS will divide the appropriation into two groups. Group 1 includes \$38 million and will be apportioned to the four States containing counties that FEMA designated as eligible to receive both Individual and Public Assistance Connecticut, New Jersey, New York, and Rhode Island. The remaining funds will comprise Group 2, and will be awarded to the remaining eight States, the District of Columbia, and any Federally-recognized Indian Tribes located in those States, through an application process and based on need as described in proposals submitted by the States and Tribes to the NPS. In apportioning the \$38 million from Group 1 that will be assigned to CT, NJ, NY, and RI, because State Historic Preservation Offices (SHPO) in those States have not yet completed damage assessments to historic properties, there is no single best method of determining need. Funds will be distributed using a formula that takes into account three evaluation factors, each having equal weight: 1) population of counties eligible for both IA and PA, 2) land area of counties eligible for both IA and PA, and 3) the number of properties listed in the National Register of Historic Places in counties eligible for both IA and PA.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

30 - 90 days.

Appeals:

NONE.

Renewals:

Recipients may request renewal of existing projects or additional projects to meet program goals and objectives. Extensions may be requested by grant recipients through interim progress reports.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

2-3 years. See the following for information on how assistance is awarded/released: Assistance released on a reimbursable basis as costs are incurred.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Recipients of HPF Hurricane Sandy relief grant agreements will be required to report the financial status on a quarterly basis within ten (10) calendar days of the end of the calendar quarter. Recipients of HPF Hurricane Sandy relief grant agreements will be required to report the performance progress on a quarterly basis within ten (10) calendar days of the end of the calendar quarter. Recipients of HPF Hurricane Sandy relief grant agreements will be required to report the performance progress on a quarterly basis within ten (10) calendar days of the end of the calendar quarter.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-5140-0-2-303.

Obligations:

(Formula Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Statutory authority expired.

Range and Average of Financial Assistance:

Range: \$100,000,000 to \$1,500,000.00

Average: \$1,000,000.00.

TAFS Codes:

14-5140.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No information available. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Historic Preservation Fund Grants Manual

http://www.nps.gov/history/hpg/HPF_Manual.pdf

Secretary of the Interiors Standards for the Treatment of Historic Properties

Regional or Local Office:

See Regional Agency Offices. Hampton Tucker, Chief
State, Tribal, and Local Plans & Grants
National Park Service
202-354-2067
Hampton_Tucker @NPS.gov.

Headquarters Office:

Hampton Tucker National Park Service
State, Tribal, and Local Plans & Grants
1201 Eye Street, 6th Floor
, Washington, District of Columbia 20005 Phone: 202-354-2020

Website Address:

<http://www.nps.gov/history/hpg>

RELATED PROGRAMS:

15.914 National Register of Historic Places

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No information available. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

The following criteria will be used by the National Park Service and States when selecting recipients for Disaster Relief grant Assistance:

- a) Historic significance,
- b) Ability to complete the project promptly and successfully,
- c) Ability of the project to correct the storm related damage,
- d) Degree and nature of the damage (but see Section VI, Ineligible Activities),
- e) Completeness and accuracy of the grant application.

15.958 ROUTE 66 CORRIDOR PRESERVATION

Route 66 Corridor Preservation Program

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

P. L. 106-45, Sec. 2; P.L. 111-11, Sec. 7304.

OBJECTIVES:

Preservation of the Route 66 Corridor.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The National Park Service, Route 66 Corridor Preservation Program is dedicated to preserving the diverse history of U.S. Highway 66. The program provides financial assistance in the form of competitive cost-share grants for the preservation of the most significant and representative buildings, structures, road segments, and cultural landscapes along the length of the Route 66 corridor, covering Illinois, Missouri, Kansas, Oklahoma, Texas, New Mexico, Arizona, and California. Cost-share grants are also available for planning, research, and educational initiatives related to the preservation of Route 66.

Applicant Eligibility:

State and local public and private persons, nonprofit Route 66 preservation entities, Tribes, State Historic Preservation offices, and entities in the States of the Route 66 corridor.

Beneficiary Eligibility:

General public and private property owners.

Credentials/Documentation:

The preservation, restoration, and rehabilitation of Transportation related historic properties on Route 66 are eligible project activities. Properties must have been in service and have had a strong and direct association with Route 66 during its 1926-1985 period of national significance; be on, or within view of a Route 66 road alignment; be in their original location; and retain historical and architectural integrity. Properties that are listed on the National Register of Historic Places or a state register, or that have been determined eligible for a register, will receive priority consideration. Planning, research, interpretive or educational outreach projects are also eligible project activities.

2 CFR Part 200, in its entirety, applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: A minimum 1:1 cost-share match is required in direct, non-Federal funds and/or in-kind contributions (e.g., donated services, materials, and equipment).
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

NA. See the following for information on how assistance is awarded/released: Will be stated in the agreement.

Reports:

Program reports are not applicable. No cash reports are required. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1515-0-1-303.

Obligations:

(Cooperative Agreements) FY 16 \$153,334; FY 17 est \$100,000; and FY 18 est \$90,000

Range and Average of Financial Assistance:

Range: \$5,000-\$30,000
Average: \$15,000.

TAFS Codes:

14-1515.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Seven (7) entities received funding under this program. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

See Regional Agency Offices. Trish Fresquez-Hernandez
1100 Old Santa Fe Trail
P. O. Box 728
Santa Fe, NM 87505
Phone: 505-988-6122
Email: trish_fresquez-hernandez@nps.gov.

Headquarters Office:

Trish Fresquez-Hernandez 1100 Old Santa Fe Trail
P. O. Box 728

, Santa Fe, New Mexico 87505 Email: trish_fresquez-hernandez@nps.gov
Phone: 505-988-6122

Website Address:

<http://nceptt.nps.gov/rt66/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Examples of funded projects are: Santo Domingo Pueblo Trading Post Fade Restoration and Oral History Project; American Indians and Route 66 Cultural History/Educational Outreach Project;
Flagstaff Route 66 Rest Area Interpretive Project; Rock Creek Truss Bridge Restoration Project; African Americans and Route 66 Oral History Project.
Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. Grant awards are made in accordance with the criteria set forth in the Route 66 Corridor Preservation Program guidelines and application.

15.961 FEDERAL HISTORIC PRESERVATION TAX INCENTIVE

Historic Preservation Tax Program

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Federal Property and Administration Services Act, Executive Order Executive Order 11593, Public Law 81-152, 471 U.S.C., Internal Revenue Code Sections 47 and 170.

OBJECTIVES:

To review rehabilitations of investment property for the 20% investment tax credit and to certify that historic properties contribute to the significance of historic district for the purpose of donating a conservation easement.

TYPES OF ASSISTANCE:

Advisory Services and Counseling

USES AND USE RESTRICTIONS:

The 20% rehabilitation tax credit applies only to income-producing buildings listed in the National Register of Historic Places or contributing to registered historic districts; and only for rehabilitations determined by the National Park Service (NPS) to meet the Secretary of the Interior's Standards for Rehabilitation. The income tax deduction for the donation of conservation easements applies to income-producing and non-income producing buildings. The NPS does not award tax credits or deductions, but only certifies to the Internal Revenue Service whether buildings qualify as certified historic structures and whether rehabilitations undertaken qualify as certified rehabilitations (and therefore may be eligible to claim the 20% rehabilitation tax credit). Other Internal Revenue Code requirements apply.

Applicant Eligibility:

For the rehabilitation tax credit: private owners of commercial and other income-producing historic buildings held for investment purposes. For the conservation easement deduction: private owners of historic buildings proposed as the subject of charitable easement donations.

Beneficiary Eligibility:

For the rehabilitation tax credit: private owners of historic buildings held for investment purposes.
For the income tax deduction for the donation of conservation easements: private owners of buildings.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is encouraged. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. By Historic Preservation Certification Application (OMB No. 1024-0009) through the State Historic Preservation Office to the Technical Preservations Services of the NPS.

Award Procedure:

NPS reviews rehabilitation projects seeking the investment tax credit. NPS certifies that the subject building is a certified historic structure, and thus eligible for the tax credits or tax deduction [Part 1 review] and reviews the work to the building [Part 2 review]. If the rehabilitation project meets the Secretary of the Interior's Standards for Rehabilitation, NPS designates the project a certified rehabilitation eligible for the tax credit to the Internal Revenue Service [Part 3 review]. However, the NPS does not award tax credits or deductions or make any other financial awards under this program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications for rehabilitation tax incentives are reviewed by State Historic Preservation Offices normally within 30 days of receipt, and then forwarded to NPS. Decisions on tax incentives applications normally are made by NPS within 30 days of receipt of review fee, but the time can vary according to the thoroughness of the complete application or the nature of problems encountered and the range of alternatives.

Appeals:

Investment tax credit applications that are initially denied may be appealed to NPS Chief Appeals Officer. A decision by the Chief Appeals Officer is the final administrative decision on behalf of the Department of the Interior. Where possible, denials also include instructions on how a rehabilitation project may be modified in order to meet the Standards and thus achieve approval.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

NA. See the following for information on how assistance is awarded/released: NA.

Reports:

Completed rehabilitation work must be documented in photographs submitted with the Request for Certification Work (NPS Form 10-168c). Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

NA.

Account Identification:

14-1042-0-1-303.

Obligations:

(Advisory Services and Counseling) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This program does not make monetary awards, but is limited to certifying eligibility for historic preservation tax incentives to the Internal Revenue Service.

Range and Average of Financial Assistance:

NA.

TAFS Codes:

14-1042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 1,038 completed rehabilitation projects certified and 1,299 proposed projects approved. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

36 CFR Part 67 (for tax incentives program). Treasury Regulations Section 1.48-12. For complete program information, see website at <https://www.nps.gov/tps/tax-incentives.htm>.

Regional or Local Office:

None.

Headquarters Office:

Brian Goeken National Park Services
1849 C Street, N.W., ORG 2255, Washington, District of Columbia 20240
Phone: (202) 513-7270

Website Address:

<http://www.nps.gov/tps/>

RELATED PROGRAMS:

15.904 Historic Preservation Fund Grants-In-Aid; 15.914 National Register of Historic Places; 15.915 Technical Preservation Services

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: This program is administered in partnership with the IRS and the State Historic Preservation Offices. (SHPOs). The SHPOs serve as points of contact for property owners and provide forms and technical assistance. The SHPOs also make certification recommendations to the NPS. The IRS publishes regulations governing qualifying rehabilitation expenses, the periods for incurring expenses, the tax consequences of certification decisions by the NPS, and all other procedural and legal matters concerning the rehabilitation tax credits. Fiscal Year 2017: No information available. Fiscal Year 2018: No information available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.962 NATIONAL WILD AND SCENIC RIVERS SYSTEM

Wild and Scenic Rivers

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

The National Wild and Scenic Rivers Act, 16 U.S.C 1281(e) and Section 1282(b)(1) use of cooperative agreement.

OBJECTIVES:

The Secretary of the Interior shall assist, advise, and cooperate with States or their political subdivisions, landowners, private organizations, other federal agencies or authorized wild and scenic river management councils or committees to plan, protect, and manage river resources associated with those rivers designated into the National Wild and Scenic River system for which the Department of Interior is authorized to act as the federal administrator. Such assistance, advice and cooperation may be through written agreements or otherwise.

This program has dual objectives:

- 1) Encourage cooperation in the planning and administration of components of the National Wild and Scenic Rivers System (16 U.S.C. 1281(e)); and
- 2) Encourage participation in the acquisition, protection, and management of river resources, for rivers within or outside the National Wild and Scenic Rivers System (16 U.S.C. 1282(b)(1)).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is to be used to provide for the long term protection, preservation, and enhancement of rivers designated into the National Wild and Scenic Rivers

System (see P.L. 90-542, as amended); for which the National Park Service has assumed administrative responsibilities on behalf of the Secretary of the Interior.

Funding is intended to encourage participation in the acquisition, protection, and management of river resources as more fully described in each rivers comprehensive river management plan or enabling legislation.

Funding may be available to support the National Wild and Scenic Rivers System and general river conservation.

Applicant Eligibility:

States or their political subdivisions, landowners, private non-profit organizations, other federal agencies or duly authorized by a rivers enabling legislation wild and scenic river management councils or committees.

Beneficiary Eligibility:

States or their political subdivisions, landowners, private non-profit organizations, other federal agencies or duly authorized by a rivers enabling legislation wild and scenic river management councils or committees.

Credentials/Documentation:

Applicants are required to register with <http://www.sam.gov> in order to register an entity must have a valid DUNS number. Authorized Wild and Scenic River management councils or committees must submit an annual work plan. 2 CFR, part 200, in its entirety, applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response to competitive announcements on [grants.gov](http://www.grants.gov) are reviewed through a merit-based process, and rated as stated in the announcement. Awards may be made to the highest rated proposed based on the amount of funding available each year.

A proposal may also be submitted via a letter of intent to the National Park Service office servicing the agreement. Said letter may lead to direct face to face negotiations resulting in a financial assistance agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally 30 to 90 days.

Appeals:

None. Final award decisions are not subject to appeal; however, the National Park Service will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Yes. In accordance with the applicable requirements for extensions and renewal under the appropriate regulations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50%. 50%, as applicable.

When base funds are available through these cooperative agreements, this program has no matching requirements, although matching may provide incentives as mutually agreeable. When funds are made available from the NPS Challenge Cost-Share program, if the project will be credited to that program, at least a 50-50 non-Federal match is required. Such matches can include in-kind services, volunteer hours, and other non-monetary services.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative Agreements generally have a period of performance of up to five years. Funding availability and source will be a factor in determining the length of assistance. Reimbursement is accomplished as outlined in the proposal and subsequent task agreements based on the particular project requirements. See the following for information on how assistance is awarded/released:

Reimbursement is accomplished as outlined in the proposal and subsequent task agreements based on the particular project requirements.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

All organizations will be required to submit a minimum of a yearly narrative summary accomplishments reports to the National Park Service in accordance with the terms of each agreement. All projects and activities are monitored for progress and compliance with agreed upon scope of work. SF-425, Federal Financial Report. Program Performance Reports and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 C.F.R. 200.333 200.337.

Account Identification:

14-1103-6-1-303 - NR MGMT/OPN-P/Water Resources.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$1,200,000; and FY 18 est \$1,200,000 - \$0.00 New CFDA program initiated in FY 2016.

Range and Average of Financial Assistance:

Range: \$20,000.00 - \$350,000.00

Average: \$85,000.00.

TAFS Codes:

14-1103.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

See Regional Agency Offices. See NPS Regional Offices or individual NPS wild and scenic rivers.

Headquarters Office:

Joan Harn National Park Service
NPS National Wild and Scenic River Steering Committee
1849 C Street, Washington, District of Columbia 20240 Email:
Joan_Harn@nps.gov Phone: (202) 354-6929

Website Address:

<http://www.nps.gov/orgs/1912/Partnership-Wild-and-ScenicRivers.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria may vary somewhat from region to region however all projects must assist directly with implementing comprehensive wild and scenic river management plans.

These criteria may vary somewhat from region to region. Fosters components of the National Trails System. Helps local trail office carry current program objectives. Helps complete work started in previous years. Enhances public understanding and appreciation of a trail or the entire Trails System. Can be accomplished within the next year.

15.978 UPPER MISSISSIPPI RIVER SYSTEM LONG TERM RESOURCE MONITORING

(LTRMP)

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Water Resources Development Act of 1986, Public Law 99-662, Section 1103, 33 U.S.C. 652.

OBJECTIVES:

To provide decision makers with information needed to maintain the Upper Mississippi River System (UMRS) as a sustainable large river ecosystem given its multiple-use character. Congress has declared the UMRS to be both a nationally significant ecosystem and a nationally significant commercial navigation system. The long-term goals of the LTRMP are to: understand the UMRS ecosystem, monitor trends and effects with respect to selected resources, develop resource management alternatives, manage information, and develop useful products.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Cooperative Agreements are entered into for the purpose of conducting resource monitoring and research as specified in the LTRMP Operations Plan and for other activities to carry out the purposes of the Water Resources Development Act of 1986. For further information, please contact the regional office.

Applicant Eligibility:

State agencies stipulated in the Water Resources Development Act of 1986 (Public Law 99-662) are eligible to apply.

Beneficiary Eligibility:

UMRS State, Federal, and private natural resource managers, users, and the general public.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed activity. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect

(<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

The LTRMP is a permanent program. Agreements may be renewed on an annual basis dependent upon funding availability.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

Records shall be retained and made available for audit for three years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$2,936,655; FY 17 est \$2,900,000; and FY 18 est \$2,900,000

Range and Average of Financial Assistance:

\$389,475 to \$785,628 average \$455,294.

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Conducting resource monitoring and research as specified in the LTRM Operations Plan and other activities to carry out the purposes of the Water Resources Development Act of 1986. Fiscal Year 2017: Conducting resource monitoring and research as specified in the LTRM Operations Plan and other activities to carry out the purposes of the Water Resources

Development Act of 1986. Fiscal Year 2018: Conducting resource monitoring and research as specified in the LTRM Operations Plan and other activities to carry out the purposes of the Water Resources Development Act of 1986.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 99-662, Section 1103, as amended. Information regarding LTRMP publications may be found at the web site address.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Inquiries regarding the LTRMP may be addressed to the Upper Midwest Environmental Sciences Center, 2630 Fanta Reed Road, La Crosse, Wisconsin 54603, POC: Mark Gaikowski, Center Director, (608) 781-6221, Fax: (608) 783-6066 or Jennifer Sauer, LTRMP Coordinator (608) 781-6376, email jsauer@usgs.gov; or Marissa Borre, Budget Analyst (608) 781-6303, email mborre@usgs.gov or web site address, <http://www.umesc.usgs.gov>.

Headquarters Office:

None None, None, Non-U.S. None Phone: None

Website Address:

<http://www.usgs.gov/ltrmp.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: LTRM Base Monitoring: LTRM Science in Support of Restoration and Management. Fiscal Year 2017: LTRM Base Monitoring: LTRM Science in Support of Restoration and Management. Fiscal Year 2018: LTRM Base Monitoring: LTRM Science in Support of Restoration and Management.

CRITERIA FOR SELECTING PROPOSALS:

The States of Illinois, Iowa, Minnesota, Missouri, and Wisconsin, and their institutions and agencies; States, universities, and others having expertise and experience conducting monitoring and research of the Upper Mississippi River System; States, universities, and others having expertise and experience conducting monitoring and research of large, impounded river systems.

15.979 HURRICANE SANDY

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Public Law 113-2 (Disaster Relief Act).

OBJECTIVES:

To support research and data collection complementary to continued USGS activities supporting recovery and restoration efforts related to Hurricane Sandy. This entry covers a variety of USGS assistance awards funded through the Disaster Relief Appropriations Act. Data, tools, and information produced through these research and data collection efforts will 1) further characterize impacts and changes, 2) guide mitigation and restoration of impacted communities and ecosystems, 3) inform a redevelopment strategy aimed at developing resilient coastal communities and ecosystems, 4) improve preparedness and responsiveness to the next hurricane or similar coastal disaster, and 5) enable improved hazard assessment, response, and recovery for future storms along the hurricane prone shoreline of the United States.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Awards support research and information needs related to 1) coastal topography and bathymetry, 2) impacts to coastal beaches and barriers 3) impacts of storm surge and estuarine and bay hydrology 4) impacts on environmental quality and persisting contaminant exposures, and 5) impacts to coastal ecosystems, habitats, and fish and wildlife. Applications must be for scientific research and data collection projects which complement the Surveys Hurricane Sandy recovery and restoration efforts. Discretionary funding available.

Applicant Eligibility:

Proposals from State, Local, Interstate, Federally or State recognized Indian

Tribal Governments, US Territories and Possessions, Institutions of Higher Education, Private Foundations, and Nonprofit Organizations are invited. Due to limited availability of funding to support new external projects, consultation with the Geological Survey is strongly recommended prior to submission of applications.

Beneficiary Eligibility:

Federal, State and local governments, Federally or State recognized Indian Tribal Governments, industry and public decision makers, research scientists, State institutions of higher education, private firms, private foundations, nonprofit organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposed research application responsive to the instructions issued by the USGS. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Discussions regarding funding availability and program interest are strongly encouraged, as limited funding is available for new projects. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to 3 months.

Appeals:

Not Applicable.

Renewals:

All projects have a limited duration not to exceed two years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Funds are released as required. Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency

and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0102-0-1-303.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

\$5,500 to \$92,000; average \$60,000.

TAFS Codes:

14-0102.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Holly Weyers Department of the Interior, Geological Survey, 12201 Sunrise Valley Drive, , Reston, Virginia 20192 Email: hsweyers@usgs.gov Phone: (703)715-7020

Website Address:

<http://www.usgs.gov/contracts>

RELATED PROGRAMS:

15.808 U.S. Geological Survey_ Research and Data Collection

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must address natural resource and environmental issues related to Hurricane Sandy, and similar events, which significantly impact coastal environments. Proposals will be reviewed by USGS scientists and evaluated for their scientific excellence, relevance to ongoing activities, and contributions to recovery and restoration efforts.

15.980 NATIONAL GROUND-WATER MONITORING NETWORK

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Public Law 111-11, Subtitle F Secure Water: Section 9507 Water Data Enhancement by the United States Geological Survey.

OBJECTIVES:

To support data providers for the National Ground-Water Monitoring Network. The National Ground-Water Monitoring Network (NGWMN) is a cooperative, integrated system of data collection, management, and reporting that will provide data needed to address groundwater management questions. Assistance is provided to new and existing data providers of the National Ground-Water Monitoring Network. The program will provide support to multi-state, State, Tribal, or local Water-Resource agencies which collect groundwater data to serve as data providers for the National Ground-Water Monitoring Network. Support will allow the data providers to perform tasks to get their data into the Network such as: selecting and categorizing their wells within NGWMN specifications, adding sites to the network, establishing and maintaining

connections between their databases and the NGWMN Portal. Support will also allow agencies to maintain their connections to the NGWMN Portal and to enhance their networks to provide better data to the NGWMN.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funding will be used to 1) help new data providers participate in the network, and 2) support existing data providers. Funding for new providers primarily is intended to support data providers to select and categorize their data in accordance with the Framework document guidelines, and to make data for selected sites available to the NGWMN. Funding for existing data providers will support work to keep their connections to the NGWMN data portal up to date and to enhance their networks to improve data available to the NGWMN. This can include updating data on their wells, performing maintenance or repairs on wells to maintain the ability to collect reliable data, drilling new or replacement wells for the NGWMN.

Applicant Eligibility:

Proposals will be accepted from interstate, State, Federally recognized Indian Tribal Government, U.S. territory or possession, local, public nonprofit institution/organization water-resource agencies which collect and maintain groundwater-level or groundwater-quality data. Various elements of the data which are required for the National Ground-Water Monitoring Network are often available from different agencies within a state. Each agency within a state which wishes to participate in the Network can submit a proposal for their part of the work. States may also submit a single proposal that covers the work of multiple state agencies. Coordination of agencies within a state is strongly encouraged.

Beneficiary Eligibility:

Federal, State, Federally recognized Indian Tribal, and local governments, public nonprofit institutions/organizations, private nonprofit institutions/organizations, profit organizations, industry and public decision makers, research scientists, engineers, and the general public will ultimately benefit from data made available through the program.

Credentials/Documentation:

Proposals must include documentation of the data that are collected by the applicant that would become part of the National Ground-Water Monitoring Network. Proposals that intend to provide data that are collected by other agencies or entities generally will not be considered. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Discussions regarding funding availability and program interest are strongly encouraged, as limited funding is available for new projects. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Jan 17, 2018 January, 2018. See Program Announcement for application due date.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

All projects have a limited duration not to exceed two years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Matching Requirements: Funding is provided as a cost share. Cost share can include in-kind services. The amount of the cost share matching is not specified, but will be considered as part of proposal evaluation. In-kind services can include the costs to collect the data that will be provided to the NGWMN.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Funds are released as required. Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$1,125,000; FY 17 est \$145,000; and FY 18 est \$1,700,000 - Cooperative Agreements (Discretionary Grants): FY16 awarded \$1,125,000; FY17 approximately \$1,450,000; FY18 estimated awards of \$1,700,000

FY17 amount based on proposals received totaling \$1,450,000 in requested funds that are currently under review. FY18 based on estimated available funding.

Range and Average of Financial Assistance:

\$15,000 to \$120,000 per year for two years; average \$40,000 per year.

TAFS Codes:

14-0102.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Conducted two rounds of funding. Received 10 proposals in the first round and 17 in the second round. A total of 24 awards were made. Fiscal Year 2017: Received 21 proposals. Proposals are currently under review. Twenty-three state agencies are currently providing data to the NGWMN through this program. Fiscal Year 2018: Planning is underway for the FY18 funding opportunity.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

William Cunningham Department of the Interior, Geological Survey, 411 National Center, 12201 Sunrise Valley Drive, Reston, Virginia 20192 Email: wcunning@usgs.gov Phone: (703)648-5005 Fax: (703)648-6693

Website Address:

<http://www.usgs.gov/contracts>

RELATED PROGRAMS:

15.808 U.S. Geological Survey_ Research and Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Projects were funded in one of three primary areas: 1) Projects to become a new data provider to the NGWMN, 2) Projects to support agency connections to the NGWMN Data Portal, and 3) Projects that enhance the NGWMN by adding agency data, maintain sites to ensure that data collected is of good quality, and to drill replacement or new NGWMN wells. Fiscal Year 2017: For FY17 proposals were received in all three areas above. Fiscal Year 2018: For FY18 the program anticipates funding the same kind of projects.

CRITERIA FOR SELECTING PROPOSALS:

Each objective applied for within a proposal will be reviewed according to three Criteria: Relevance, Technical Quality, and Budget. A score of 1 to 10 is given for each criterion. Persistent Data Service Proposals are only evaluated using the Budget criteria. Proposals will be reviewed and scored by the NGWMN Program Board. The Program Board is made up of Federal representatives, SOGW members, and State Data provider representatives.

15.981 WATER USE AND DATA RESEARCH**FEDERAL AGENCY:**

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Public Law 111-11, Subtitle F Secure Water: Section 9508 Water Availability and Use Assessment Program.

OBJECTIVES:

To support State water resource agencies in developing water use and availability datasets that are integrated with each appropriate dataset developed or maintained by the USGS or that integrate any water use or water availability dataset of the State water resource agency into each appropriate dataset developed or maintained by the USGS. The Water Use Data and Research program will allow State Water Resource agencies to improve the collection and reporting frequency of water use categories, including the inclusion of categories that have been discontinued in the past due to limited resources. Data collection, and improvement efforts, as well as research conducted will complement priorities and investigations carried by Federal, State, and Local Governments, and by private industry in water availability assessments for human and ecological uses.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funding will be used to support State Water Resource agencies in improving data collection techniques and methods, data delivery and management, and data integration with each appropriate dataset developed or maintained by the USGS. Funding for each State cannot exceed \$250,000 cumulatively over the length of the program.

Applicant Eligibility:

Proposals will be accepted from state agencies that collect and maintain water use data and databases. Various elements of the data that are required for the Water Use Data and Research (WUDR) program are often available from different agencies within a state; however only one agency is eligible to submit a proposal for the program. We ask that State agencies collaborate in this instance, and States may submit a single proposal that covers the work of

multiple state agencies. Coordination of agencies within a state is strongly encouraged to avoid proposals that overlap.

Beneficiary Eligibility:

State, Federally recognized Indian Tribal, and local governments, public nonprofit institutions/organizations, private nonprofit institutions/organizations, profit organizations, industry and public decision makers, research scientists, engineers, and the general public will ultimately benefit from data made available through the program.

Credentials/Documentation:

Proposals must include documentation of the data that are collected by the applicant that would become part of USGS Water Use Databases. Proposals that intend to improve data delivery and estimation accuracy will be considered, as will multi-State proposals. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Discussions regarding funding availability and program interest are strongly encouraged, as limited funding is available for new projects. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Apr 18, 2017 The FY17 application submission deadline is April 18, 2017.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

All projects have a limited duration not to exceed two years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Funds are released as required. Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance

for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$1,500,000; FY 17 est \$1,500,000; and FY 18 est \$1,500,000

Range and Average of Financial Assistance:

\$50,000 to \$100,000; average \$75,000.

TAFS Codes:

14-0102.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program received Workplan documents describing current water-use data and research from 43 states in the United States. The program also began a monthly webinar series open to the USGS and interested state agencies and/or academia. The webinars provide an opportunity for collaboration between the USGS and state agencies actively involved in estimating water use in the United States. In FY16, for the competitive program announcement, the program received 26 applications and issued 18 awards. The program also awarded non-competitive awards to four states to complete documentation of current water-use data and research in their respective states. Fiscal Year 2017: In FY17, for the competitive program announcement, the program anticipates receiving 25 applications and issuing 15 awards. The program also anticipates awarding non-competitive awards to three states to complete documentation of current water use data and research in their respective state. Fiscal Year 2018: In FY18, the program anticipates receiving 25 applications and issuing 15 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sonya Jones Department of the Interior, Geological Survey, 1770 Corporate Drive, Suite 500, Norcross, Georgia 30093 Email: sajones@usgs.gov Phone: (678) 524-1544

Website Address:

<http://www.usgs.gov/contracts>

RELATED PROGRAMS:

15.808 U.S. Geological Survey_ Research and Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Example 1: The project will survey water users in Soil and Water Conservation Districts to collect information on irrigated crop acreage, water sources, and irrigation practices. The data will be used as input for a soil water balance and crop demand model to estimate irrigation use per acre for major crop types.

Example 2: The project will develop a new computer program/application that will collect, store, and deliver water-use data. The program will combine several existing systems, and include new quality-assurance checks on water-use data, providing public water supply customer group data, quantifying consumptive use, and reporting data by HUC-8 and county levels. In addition, water use forms will be updated and new water use reporting application will be phased in for all allocated water users.

Example 3: The project will include compilation and estimation of water use-data from 2011 to 2015 for the following categories: public supply, industrial, mining, commercial, self-supplied domestic, hydroelectric power, aquaculture, irrigation-crop, livestock, thermoelectric, irrigation-golf courses, and wastewater treatment. Quality-assurance procedures for analyzing water-use data will be implemented and documented. Additionally, delivery/transfer of data from the state agency to the USGS will be improved. Fiscal Year 2017: Program has not yet selected projects for funding. Program anticipates funding projects that improve the availability, quality, compatibility, and delivery of water-use data that are collected and/or estimated by States. Fiscal Year 2018: Program has not yet selected projects for funding. Program anticipates funding projects that improve the availability, quality, compatibility, and delivery of water use data that are collected and/or estimated by States.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals are included in the program announcement.

DEPARTMENT OF JUSTICE

16.001 LAW ENFORCEMENT ASSISTANCE NARCOTICS AND DANGEROUS DRUGS LABORATORY ANALYSIS

FEDERAL AGENCY:

Drug Enforcement Administration, Department of Justice

AUTHORIZATION:

Comprehensive Drug and Abuse Prevention and Control Act of 1970.

OBJECTIVES:

To provide technical assistance to duly constituted state, county, and municipal law enforcement agencies regarding specialized forensic examinations involving drug evidence.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

DEA laboratories will conduct specialized forensic chemical analysis and examinations involving drug evidence for duly constituted state, county, and municipal law enforcement agencies on an as needed basis, in accordance with DEA policy and procedures. This service supplements but does not replace services provided by state, county, or municipal laboratories. The agencies/laboratories requesting the service must not have the capability of conducting the examination(s) requested. Examinations are made with the understanding that the evidence is connected with an official investigation of a criminal matter related to the mission of the DEA, and that the resulting laboratory report will be used only for official purposes related to the investigation or subsequent prosecution. Laboratory services and follow-up court testimony, if required, will be provided free of cost to agencies. DEA will assist state, county, and municipal agency laboratories with the training of their forensic chemists, as necessary and where appropriate. NOTE: THIS IS NOT A GRANT PROGRAM. NO FUNDING IS AVAILABLE.

Applicant Eligibility:

Any duly constituted State and local law enforcement agency in the United States or any of its possessions.

Beneficiary Eligibility:

Any duly constituted State and local law enforcement agency in the United States or any of its possessions.

Credentials/Documentation:

A formal written request is required from an appropriate official of the requesting law enforcement agency. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Made in the form of a letter on official letterhead to the

Director of the DEA Laboratory which services the regional area where the agency is located; or to DEA Headquarters, Washington, DC 20537; Attn: Deputy Assistant Administrator, Office of Forensic Sciences.

Award Procedure:

Note: This is not a grant program. No funding is available.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: None.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

15-1100-0-1-751.

Obligations:

(Salaries) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable. (Salaries) FY 16 \$254,788; FY 17 est \$250,000; and FY 18 est \$250,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-1100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Please contact the field laboratories of the DEA; see Appendix IV of the catalog for a list of addresses.

Headquarters Office:

Office of Forensics Sciences 8701 Morrisette Drive, Springfield, Virginia 22152 Phone: (202) 307-8866

Website Address:

<http://www.dea.gov>

RELATED PROGRAMS:

16.301 Law Enforcement Assistance_FBI Crime Laboratory Support

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.003 LAW ENFORCEMENT ASSISTANCE NARCOTICS AND DANGEROUS DRUGS TECHNICAL LABORATORY PUBLICATIONS

(Microgram)

FEDERAL AGENCY:

Drug Enforcement Administration, Department of Justice

AUTHORIZATION:

Comprehensive Drug Abuse Prevention and Control Act of 1970.

OBJECTIVES:

To disseminate scientific information on the detection and analysis of narcotics and dangerous drugs.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

To provide current information on detection and analysis of narcotics and dangerous drugs.

Applicant Eligibility:

Forensic laboratories or scientists doing work for law enforcement agencies.

Beneficiary Eligibility:

Forensic laboratories or scientists doing work for law enforcement agencies.

Credentials/Documentation:

The applicant must apply on official letterhead of a law enforcement agency. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Made in the form of a letter on official letterhead to DEA Headquarters, DC 20537; ATTN: Deputy Assistant Administrator, Office of Forensic Sciences.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
None.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

15-1100-0-1-751.

Obligations:

(Salaries) FY 16 Not Separately Identifiable; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-1100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Office of Forensic Sciences 8701 Morrisette Drive, Springfield, Virginia 22152 Phone: (202) 307-8866

Website Address:

<http://www.dea.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.004 LAW ENFORCEMENT ASSISTANCE NARCOTICS AND DANGEROUS DRUGS TRAINING**FEDERAL AGENCY:**

Drug Enforcement Administration, Department of Justice

AUTHORIZATION:

Comprehensive Drug Abuse Prevention and Control Act of 1970.

OBJECTIVES:

To acquaint appropriate professional and enforcement personnel with (1) techniques in the conduct of drug investigations; (2) aspects of physical security in legitimate drug distribution; (3) techniques in analysis of drugs for evidential purpose; (4) pharmacology, socio-psychological aspects of drug abuse, drug education, and; (5) management and supervisory training for drug unit Commanders.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

For use by accredited members of agencies or organizations involved in the control of drug abuse or regulation of the drug industry.

Applicant Eligibility:

State, local, military, and other Federal law enforcement and regulatory officials; crime laboratory technicians and forensic chemists.

Beneficiary Eligibility:

State, local, military, and other Federal law enforcement and regulatory officials; crime laboratory technicians and forensic chemists.

Credentials/Documentation:

The applicant must furnish suitable credentials. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Made in the form of a letter or a pre-printed application to the Divisional Office in the area or to the DEA Office of Training.

Award Procedure:

By written communication to applicant (teletype, letter, etc).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
None.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

15-1100-0-1-751.

Obligations:

(Salaries) FY 16 \$5,726,898; FY 17 est \$6,000,000; and FY 18 est \$6,000,000.
(Training) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-1100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. See the list of regional offices of the DEA in the Catalog address Appendix IV.

Headquarters Office:

Office of Training P.O. Box 1475, Quantico, Virginia 22134-1475 Phone: (703) 632-5141 Fax: (703) 632-5167

Website Address:

<http://www.usdoj.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.015 MISSING ALZHEIMER'S DISEASE PATIENT ASSISTANCE PROGRAM

Alzheimer's Initiatives

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Violent Crime Control in Law Enforcement Act of 1994., 42 U.S.C 14181.

OBJECTIVES:

This program provides funds for projects that aid in the protection and location of missing persons living with Alzheimers disease and related dementias and other missing elderly individuals. It is currently estimated that more than 5 million people in the United States alone are living with Alzheimers disease or some form of dementia. Over 60 percent (nearly 3.1 million individuals) of those with dementia are at risk of wandering at some point. More than 50 percent of those who wander become lost and separated from their loved ones or caregivers. Law enforcement agencies often expend resources searching for missing persons with Alzheimers disease, and if not found quickly, they are at high risk of serious injury or even death. In addition, law enforcement officers may not be trained or equipped to handle the special needs of those with Alzheimers, once they are found.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applications are solicited for projects, pursuant to Congressional appropriations, that may include one or more of the following: (1) Develop or enhance local and national outreach programs to increase awareness among law enforcement agencies and the public in addressing the needs of missing persons with Alzheimers disease; (2) Develop and/or implement a process for aiding in the location of lost persons with Alzheimers disease; and/or (3) Provide training and substantive informational material to law enforcement agencies through a national or regional initiative. All funds must be focused solely on initiatives to benefit those with Alzheimers disease or other forms of dementia.

Applicant Eligibility:

None.

Beneficiary Eligibility:

All funds must be focused solely on initiatives to benefit those with Alzheimers disease or other forms of dementia.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E - Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The application of E.O. 12372 is contingent upon whether the state has designated an entity to coordinate and review proposed federal financial assistance. See list of states that require this at: https://www.whitehouse.gov/omb/grants_spoc. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

An award is granted by the Assistant Attorney General, Office of Justice Programs, and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

There are no appeal rights for rejection of a discretionary application. See 28 CFR Part 18.

Renewals:

Grants can be extended by submitting a written justification for an extension to the Bureau of Justice Assistance office for review. Extensions are subject to approval by the Bureau of Justice Assistance and renewals of congressional funding.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project duration period will be up to 24 months. The project start date should be on or after October 1st of the current fiscal year. See the following for information on how assistance is awarded/released: Contact BJA for further assistance at 202-616-6500 or AskBJA@usdoj.gov.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

BJA may make one or more awards under this category.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Linda Hammond-Deckard U.S. Department of Justice

Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
AskBJA@usdoj.gov Phone: (202) 616-6500

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.016 CULTURALLY AND LINGUISTICALLY SPECIFIC SERVICES PROGRAM

Culturally Specific Services Program

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005; Title I, Section 121, Public Law 109-162, 42 U.S.C. 14045a, as amended, Public Law 109-162, 119 Stat. 2991, 42 U.S.C 14045a.

OBJECTIVES:

To enhance culturally specific services for victims of domestic violence, dating violence, sexual assault, and stalking.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds shall be used for the following statutory program purposes: (A) working with State and local governments and social service agencies to develop and enhance effective strategies to provide culturally specific services to victims of domestic violence, dating violence, sexual assault, and stalking; (B) increasing communities' capacity to provide culturally specific resources and support for victims of domestic violence, dating violence, sexual assault, and stalking crimes and their families; (C) strengthening criminal justice interventions, by providing training for law enforcement, prosecution, courts, probation, and correctional facilities on culturally specific responses to domestic violence, dating violence, sexual assault, and stalking; (D) enhancing traditional services to victims of domestic violence, dating violence, sexual assault, and stalking through the leadership of culturally specific programs offering services to victims of domestic violence, dating violence, sexual assault, and stalking; (E) working in cooperation with the community to develop education and prevention strategies highlighting culturally specific issues and resources regarding victims of domestic violence, dating violence, sexual assault, and stalking; (F) providing culturally specific programs for children exposed to domestic violence, dating violence, sexual assault, and stalking; (G) providing culturally specific resources and services that address the safety, economic, housing, and workplace needs of victims of domestic violence, dating violence, sexual assault, or stalking, including emergency assistance; or (H) examining the dynamics of culture and its impact on victimization and healing.

Applicant Eligibility:

Community-based programs whose primary purpose is providing culturally specific services to victims of domestic violence, dating violence, sexual assault, and stalking; and community-based programs whose primary purpose is providing culturally specific services who can partner with a program having demonstrated expertise in serving victims of domestic violence, dating violence, sexual assault, and stalking.

Beneficiary Eligibility:

Community and faith-based programs.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply on-line at the grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing the costs of their project. Supplemental contributions may be cash, in-kind services, or a combination of both. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards will be two years. Funds are released on an as-needed basis. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants) FY 16 \$5,910,000; FY 17 est \$6,168,114; and FY 18 est \$6,000,000

Range and Average of Financial Assistance:

Range: \$200,000-\$300,000.

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <http://www.ovw.usdoj.gov/ovwgrantprograms.htm>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <http://www.ovw.usdoj.gov/grantees.html>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St. NE, Suite 10W121, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.023 Sexual Assault Services Culturally Specific Program; 16.588 Violence Against Women Formula Grants; 16.889 Grants for Outreach and Services to Underserved Populations

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual solicitation.

16.017 SEXUAL ASSAULT SERVICES FORMULA PROGRAM**FEDERAL AGENCY:**

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005, Title II, Section 202, Public Law 109-162, 42 U.S.C. 14043g(b).

OBJECTIVES:

To increase intervention, advocacy, accompaniment, support services, and related assistance for adult, youth, and child victims of sexual assault; family and household members of such victims; and those collaterally affected by the victimization, except for the perpetrator of such victimization.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are for programs and activities that provide direct intervention and related assistance to victims of sexual assault, including: (i) 24-hour hotline services providing crisis intervention services and referral; (ii) accompaniment and advocacy through medical, criminal justice, and social support systems, including medical facilities, police, and court proceedings; (iii) crisis intervention, short-term individual and group support services, and comprehensive service coordination and supervision to assist sexual assault victims and family or household members; (iv) information and referral to assist the sexual assault victim and family or household members; (v) community-based, linguistically and culturally specific services and support mechanisms, including outreach activities for underserved communities; and (vi) the development and distribution of materials on issues related to the services described in clauses (i) through (v).

Applicant Eligibility:

Eligible applicants are States and territories.

Beneficiary Eligibility:

Beneficiaries are rape crisis centers and other nonprofit, nongovernmental organizations or tribal programs and activities.

Credentials/Documentation:

Each application submitted under this program shall-- (i) set forth procedures designed to ensure meaningful involvement of the State or territorial sexual assault coalition and representatives from underserved communities in the development of the application and the implementation of the plans; (ii) set forth procedures designed to ensure an equitable distribution of grants and grant funds within the State or territory and between urban and rural areas within such State or territory; (iii) identify the State or territorial agency that is responsible for the administration of programs and activities; and (iv) meet other such requirements as the Attorney General reasonably determines are necessary to carry out the purposes and provisions of this section. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

OVW will provide an annual solicitation for the program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

OVW will make awards for at least one year. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Quarterly financial reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Formula Grants) FY 16 \$22,750,000; FY 17 est \$22,750,000; and FY 18 est \$22,750,000

Range and Average of Financial Assistance:

Range: \$12,678 - \$437,011.

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <http://www.ovw.usdoj.gov/ovwgrantprograms.htm>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <http://www.ovw.usdoj.gov/grantees.html>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St. NE, Suite 121, Washington, District of Columbia 20530
Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.588 Violence Against Women Formula Grants; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual solicitation.

16.019 TRIBAL REGISTRY

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005, Title IX, Section 905(b), Public Law 109-162, 28 Stat. 534.

OBJECTIVES:

To develop and maintain a national tribal sex offender registry and a tribal protection order registry containing civil and criminal orders of protection issued by Indian tribes and participating jurisdictions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to develop and maintain a national tribal sex offender registry and a tribal protection order registry containing civil and criminal orders of protection issued by Indian tribes and participating jurisdictions.

Applicant Eligibility:

Eligible applicants are tribes, tribal organizations, or tribal nonprofit organizations.

Beneficiary Eligibility:

Beneficiary Eligibility: Beneficiaries include tribes and tribal law enforcement.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Application forms furnished by the Federal agency must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply on-line at the grants.gov portal. The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing the costs of their project. Supplemental contributions may be cash, in-kind services, or a combination of both. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are released on an as-needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

\$0.

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <http://www.ovw.usdoj.gov/ovwgrantprograms.htm>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <http://www.ovw.usdoj.gov/grantees.html>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St. NE, Suite 10W121, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.588 Violence Against Women Formula Grants; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in a solicitation.

16.021 JUSTICE SYSTEMS RESPONSE TO FAMILIES

Justice for Families Program

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women Reauthorization Act of 2013, Public Law 113-4, 127 Stat. 74, 42 U.S.C 10420.

OBJECTIVES:

The Grants to Support Families in the Justice System program (referred to as Justice Systems Response to Families Program) was authorized in the Violence Against Women Reauthorization Act (VAWA) of 2013 to improve the response of all aspects of the civil and criminal justice system to families with a history of domestic violence, dating violence, sexual assault, and stalking, or in cases involving allegations of child sexual abuse. The program includes purpose areas previously authorized under the Safe Havens: Supervised Visitation and Safe Exchange Grant Program (Supervised Visitation) and the Court Training and Improvements Program (Courts), along with new purpose areas and applicant requirements.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Grants can be used for the following statutory program purpose areas:

- 1) Provide supervised visitation and safe visitation exchange of children and youth by and between parents in situations involving domestic violence, dating violence, child sexual abuse, sexual assault, or stalking;
- 2) Develop and promote state, local, and tribal legislation, policies, and best practices for improving civil and criminal court functions, responses, practices, and procedures in cases involving a history of domestic violence or sexual assault, or in cases involving allegations of child sexual abuse, including cases in which the victim proceeds pro se;
- 3) Educate court-based and court-related personnel and court-appointed personnel (including custody evaluators and guardians ad litem) and child protective services workers on the dynamics of domestic violence, dating violence, sexual assault, and stalking, including information on perpetrator behavior, evidence-based risk factors for domestic and dating violence homicide, and on issues relating to the needs of victims, including safety, security, privacy, and confidentiality, including cases in which the victim proceeds pro se;
- 4) Provide appropriate resources in juvenile court matters to respond to dating violence, domestic violence, sexual assault (including child sexual abuse), and stalking and ensure necessary services dealing with the health and mental health of victims are available;
- 5) Enable courts or court-based or court-related programs to develop or enhance
 - (a) court infrastructure (such as specialized courts, consolidated courts, dockets, intake centers, or interpreter services);
 - (b) community-based initiatives within the court system (such as court watch programs, victim assistants, pro se victim assistance programs, or community-based supplementary services);
 - (c) offender management, monitoring, and accountability programs;
 - (d) safe and confidential information-storage and information-sharing databases within and between court systems;
 - (e) education and outreach programs to improve community access, including enhanced access for underserved populations; and
 - (f) other projects likely to improve court responses to domestic violence, dating violence, sexual assault, and stalking;
- 6) Provide civil legal assistance and advocacy services, including legal information and resources in cases in which the victim proceeds pro se,
 - (a) to victims of domestic violence; and
 - (b) nonoffending parents in matters that involve allegations of child sexual abuse, that relate to family matters including civil protection orders, custody, and divorce, and in which the other party is represented by counsel;
- 7) Collect data and provide training and technical assistance, including developing state, local, and tribal model codes and policies, to improve the capacity of grantees and communities to address the civil justice needs of victims of domestic violence, dating violence, sexual assault, and stalking who have legal representation, who are proceeding pro se, or who are proceeding with the assistance of a legal advocate; and
- 8) Improve training and education to assist judges, judicial personnel, attorneys, child welfare personnel, and legal advocates in the civil justice system.

Applicant Eligibility:

Eligible applicants are states, local governments, courts (including juvenile courts), Indian tribal governments, nonprofit organizations, legal services providers, and victim service providers.

Beneficiary Eligibility:

Courts, supervised visitation providers, and other nonprofit organizations.

Credentials/Documentation:

Under 42 USC 10420(d), all applicants for the Justice Systems Response to

Families Program must:

Certify that the organizational policies of the applicant do not require mediation or counseling involving offenders and victims being physically present in the same place, in cases where domestic violence, dating violence, sexual assault, or stalking is alleged.

For a court-based program, applicants must also:

Certify that victims of domestic violence, dating violence, sexual assault, or stalking are not charged fees or any other costs related to the filing, petitioning, modifying, issuance, registration, enforcement, withdrawal, or dismissal of matters relating to the domestic violence, dating violence, sexual assault, or stalking.

Applicants proposing to operate supervised visitation programs and services or safe visitation exchange must also:

Demonstrate that adequate security measures, including adequate facilities, procedures, and personnel capable of preventing violence, and adequate standards are, or will be, in place (including the development of protocols or policies to ensure that confidential information is not shared with courts, law enforcement agencies, or child welfare agencies unless necessary to ensure the safety of any child or adult using the services of a program funded by OVW).

Any grantee or subgrantee providing legal assistance with funds awarded under this program shall certify in writing that:

- (1) Any person providing legal assistance with funds through this program (A) has demonstrated expertise in providing legal assistance to victims of domestic violence, dating violence, sexual assault, stalking, or child sexual abuse in the targeted population; or (B) is partnered with an entity or person that has demonstrated expertise described in subparagraph (A);
- (2) Any person providing legal assistance through this program has completed, or will complete, training in connection with domestic violence, dating violence, sexual assault, stalking, or child sexual abuse, and related legal issues, including training on evidence-based risk factors for domestic and dating violence homicide;
- (3) Any training program conducted in satisfaction of the requirement of paragraph (1) has been or will be developed with input from and in collaboration with a tribal, state, territorial, or local domestic violence, dating violence, sexual assault, stalking, or child sexual abuse victim service provider or coalition, as well as appropriate tribal, state, territorial, and local law enforcement officials;
- (4) Any person or organization providing legal assistance with funds through this program has informed and will continue to inform state, local, or tribal domestic violence, dating violence, sexual assault or stalking programs and coalitions, as well as appropriate state and local law enforcement officials of their work; and
- (5) The grantees organizational policies do not require mediation or counseling involving offenders and victims physically together, in cases where sexual assault, domestic violence, dating violence, or child sexual abuse is an issue.

Non-profit organizations must be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code. All such applicants are required to submit a determination letter from the Internal Revenue Service recognizing their tax-exempt status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal dollars by contributing to the cost of the project. Supplemental contributions may be cash, in-kind services, or a combination of both. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Information regarding length of awards will be included in an annual solicitation for the program. Funds are released on an as-needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$10,488,436; FY 17 est \$10,710,302; and FY 18 est \$10,500,000

Range and Average of Financial Assistance:

\$325,000-700,000.

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <http://www.ovw.usdoj.gov/ovwgrantprograms.htm>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <http://www.ovw.usdoj.gov/grantees.html>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St. NE, Suite 10W121, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

93.586 State Court Improvement Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria is specified in the annual program solicitation.

16.023 SEXUAL ASSAULT SERVICES CULTURALLY SPECIFIC PROGRAM

SAS Culturally Specific Program

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005, Public Law 109-271, 120 Stat. 754, 42 U.S.C 14043g(c).

OBJECTIVES:

To increase culturally specific intervention, advocacy, accompaniment, support services, and related assistance for adult, youth, and child victims of sexual assault; family and household members of such victims; and those collaterally affected by the victimization, except for the perpetrator of such victimization.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are for culturally specific intervention and related assistance to victims of sexual assault, including: (i) 24-hour hotline services providing crisis intervention services and referral; (ii) accompaniment and advocacy through medical, criminal justice, and social support systems, including medical facilities, police, and court proceedings; (iii) crisis intervention, short-term individual and group support services, and comprehensive service coordination and supervision to assist sexual assault victims and family or household members; (iv) information and referral to assist the sexual assault victim and family or household members; (v) community-based, linguistically and culturally specific services and support mechanisms, including outreach activities for underserved communities; and (vi) the development and distribution of materials on issues related to the services described in clauses (i) through (v).

Applicant Eligibility:

Eligible entities for this program are private nonprofit organizations for which the primary purpose of the organization as a whole is to provide culturally specific services to one or more of the following racial and ethnic communities:

American Indians (including Alaska Natives, Eskimos, and Aleuts); Asian Americans; Native Hawaiians and other Pacific Islanders; Blacks; and Hispanics.

Beneficiary Eligibility:

Beneficiaries are adult, youth, and child victims of sexual assault, family and household members of such victims, and those collaterally affected by the victimization, except the perpetrator.

Credentials/Documentation:

Non-profit organizations must be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code. All such applicants are required to submit a determination letter from the Internal Revenue Service recognizing their tax-exempt status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply on-line at the grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing the costs of their project. Supplemental contributions may be cash, in-kind services, or a combination of both. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards will be three years. Funds are released on an as-needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants) FY 16 \$3,463,319; FY 17 est \$3,600,000; and FY 18 est \$3,500,000

Range and Average of Financial Assistance:

up to \$300,000.

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <http://www.ovw.usdoj.gov/ovwgrantprograms.htm>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <http://www.ovw.usdoj.gov/grantees.html>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St. NE, Suite 10W121, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.017 Sexual Assault Services Program; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office of Violence Against Women and included in an annual solicitation.

16.024 TRIBAL SEXUAL ASSAULT SERVICES PROGRAM

(TSASP)

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005; Title II, Section 202, Public Law 109-162, 42 U.S.C. 14043g(e).

OBJECTIVES:

To support programs or projects in Indian tribal lands and Alaska native villages that increase intervention, advocacy, accompaniment, support services, and related assistance for adult, youth, and child victims of sexual assault; family and household members of such victims; and those collaterally affected by the victimization, except for the perpetrator of such victimization.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are for programs and projects in Indian country and Alaska native villages that provide intervention and related assistance to victims of sexual assault, including: (i) 24-hour hotline services providing crisis intervention services and referral; (ii) accompaniment and advocacy through medical, criminal justice, and social support systems, including medical facilities, police, and court proceedings; (iii) crisis intervention, short-term individual and group

support services, and comprehensive service coordination and supervision to assist sexual assault victims and family or household members; (iv) information and referral to assist the sexual assault victim and family or household members; (v) community-based, linguistically and culturally specific services and support mechanisms, including outreach activities for underserved communities; and (vi) the development and distribution of materials on issues related to the services described in clauses (i) through (v).

Applicant Eligibility:

Eligible applicants are Indian tribes, tribal organizations, and nonprofit tribal organizations.

Beneficiary Eligibility:

Beneficiaries are tribal organizations and nonprofit tribal organizations, as well as Indian or Alaska native victims of sexual assault.

Credentials/Documentation:

Non-profit organizations must be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code. All such applicants are required to submit a determination letter from the Internal Revenue Service recognizing their tax-exempt status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals will be considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal dollars by contributing to the cost of the project. Supplemental contributions may be cash, in-kind services, or a combination of both. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made for 36 months. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant

supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants) FY 16 \$3,465,000; FY 17 est \$3,468,000; and FY 18 est \$3,500,000

Range and Average of Financial Assistance:

\$345,000-\$526,500.

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <http://www.ovw.usdoj.gov/ovwgrantprograms.htm>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <http://www.ovw.usdoj.gov/grantees.html>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St. NE, Suite 10W121, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.017 Sexual Assault Services Program; 16.556 Grants to State Sexual Assault and Domestic Violence Coalitions; 16.557 Tribal Domestic Violence and Sexual Assault Coalitions Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are specified in the annual program solicitation.

16.025 SPECIAL DOMESTIC VIOLENCE CRIMINAL JURISDICTION IMPLEMENTATION

SDVCJ Program

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women Reauthorization Act of 2013, Public Law 113-4, 25 U.S.C 1304(f).

OBJECTIVES:

To assist tribal governments in implementing the Special Domestic Violence Criminal Jurisdiction which was created by section 904 of the Violence Against Women Reauthorization Act of 2013.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants can be used for the following purposes:

(1) to strengthen tribal criminal justice systems to assist Indian tribes in exercising special domestic violence criminal jurisdiction, including

(A) law enforcement (including the capacity of law enforcement or court personnel to enter information into and obtain information from national crime information databases);

(B) prosecution;

(C) trial and appellate courts;

(D) probation systems;

(E) detention and correctional facilities;

(F) alternative rehabilitation centers;

(G) culturally appropriate services and assistance for victims and their families; and

(H) criminal codes and rules of criminal procedure, appellate procedure, and evidence;

(2) to provide indigent criminal defendants with the effective assistance of licensed defense counsel, at no cost to the defendant, in criminal proceedings in which a participating tribe prosecutes a crime of domestic violence or dating violence or a criminal violation of a protection order;

(3) to ensure that, in criminal proceedings in which a participating tribe exercises special domestic violence criminal jurisdiction, jurors are summoned, selected, and instructed in a manner consistent with all applicable requirements; and

(4) to accord victims of domestic violence, dating violence, and violations of protection orders rights that are similar to the rights of a crime victim described in section 3771 (a) of title 18, consistent with tribal law and custom.

Applicant Eligibility:

Eligible applicants are tribal governments.

Beneficiary Eligibility:

Beneficiaries include the tribal criminal justice system and victim service providers.

Credentials/Documentation:

Specific criteria for the program are provided in an annual solicitation available at www.justice.gov/OVW. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may apply online in the Grants.gov portal. Receipt, review, and analysis of applications will follow OVW policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

At least one year. See the following for information on how assistance is awarded/released: Funds are released on an as needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 16 \$2,219,939; FY 17 est \$3,465,000; and FY 18 est \$2,200,000

Range and Average of Financial Assistance:

\$495,000.

TAFS Codes:

15-0409-754.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St. NE, Suite 10W121, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/tribal/grants.html>

RELATED PROGRAMS:

16.024 Tribal Sexual Assault Services Program; 16.587 Violence Against

Women Discretionary Grants for Indian Tribal Governments

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women in program guidelines published annually.

16.026 OVW RESEARCH AND EVALUATION PROGRAM (R&E)

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women Act, as amended, Public Law 109-162, 42 U.S.C 13925(b)(7).

OBJECTIVES:

The purpose of the Research and Evaluation (R&E) Program is to further develop and make maximum use of the evidence base for approaches to combatting domestic violence, sexual assault, dating violence, and stalking. By generating more knowledge about strategies for serving victims and holding offenders accountable, communities that benefit from VAWA funding will be better equipped to align their work with practices that are known to be effective, and they will be more capable of generating empirical knowledge on the efficacy of new and promising ways of doing things. Because OVW has very limited funds to support research and evaluation, this program prioritizes topics for which a stronger evidence base would help OVW grantees use federal funds most effectively.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

OVW is interested in funding research and evaluation to generate knowledge in the six areas of study listed below:

1. Victims needs. How victimization and its aftermath affect peoples lives, especially people who are marginalized; and what they need to cope, heal, and achieve safety and justice
2. Cultures, disparities, and access. Ways that cultural differences and social inequalities matter in terms of where and to whom people go for help, and whether people are able to access justice and get services that are useful to them
3. Justice. Ways the justice system can effectively pursue and achieve justice in cases involving domestic/dating violence, sexual assault, and stalking; with justice broadly understood to include autonomy for victims, accountability for offenders, procedural fairness for all, and restorative justice
4. Impact. Short- and long-term impact of the justice systems response, victim services, and other VAWA-funded interventions on victim safety and offender accountability
5. Indicators of success. What success looks like and how to measure itfor people who work with victims and offenders, for victims pursuing safety and justice, and for offenders being accountable for their violence
6. Prevention. How to prevent violence from happening.

Applicant Eligibility:

Eligible applicants are limited to: States (including territories), units of local government, federally recognized Indian tribal governments as determined by the Secretary of the Interior, nonprofit organizations (including tribal nonprofit organizations), institutions of higher education (including tribal institutions of higher education).

Beneficiary Eligibility:

This program prioritizes research on topics for which a stronger evidence base would help OVW grantees use federal funds most effectively.

Credentials/Documentation:

Specific criteria for the program are provided in an annual solicitation available at www.justice.gov/OVW. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is

not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal dollars by contributing to the cost of the project. Supplemental contributions may be cash, in-kind services, or a combination of both. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Information regarding length of awards will be included in an annual solicitation for the program. See the following for information on how assistance is awarded/released: Funds are released on an as-needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Information reports are required as stipulated in the effective edition of the DOJ Financial Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants) FY 16 \$3,356,608; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

\$270,000-\$450,000.

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N Street NE, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 2023051177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.123 Community-Based Violence Prevention Program; 16.556 Grants to State Sexual Assault and Domestic Violence Coalitions; 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria is specified in the annual program solicitation.

**16.027 NATIONAL CLEARINGHOUSE ON SEXUAL ASSAULT OF AMERICAN INDIAN AND ALASKA NATIVE WOMEN
FEDERAL AGENCY:**

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Consolidated Appropriations Act, 2017, Public Law 115-113.

OBJECTIVES:

To provide training and technical assistance on issues relating to sexual assault of American Indian and Alaska Native women.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To provide training and technical assistance on issues relating to sexual assault of American Indian and Alaska Native women.

Applicant Eligibility:

The recipient will provide training and technical assistance on sexual assault of American Indian and Alaska Native women.

Beneficiary Eligibility:

Training and technical assistance under the program is available to tribes, tribal organizations, and others.

Credentials/Documentation:

Specific criteria for the program are provided in an annual solicitation available at www.justice.gov/OVW. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Application forms furnished by the Federal agency must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information

on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply through the on-line grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing the costs of their project. Supplemental contributions may be cash, in-kind services, or a combination of both.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

24-36 months. Funds are released on an as needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Cooperative Agreements) FY 16 \$500,000; FY 17 est \$500,000; and FY 18 est \$500,000

Range and Average of Financial Assistance:

The program is awarded as a single cooperative agreement for up to the full available amount.

TAFS Codes:

15-0408.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N Street NE, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 2023051177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.025 Special Domestic Violence Criminal Jurisdiction Implementation;
16.557 Tribal Domestic Violence and Sexual Assault Coalitions Program;
16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria is specified in the annual program solicitation.

16.028 RESOURCE CENTER ON WORKPLACE RESPONSE TO DOMESTIC VIOLENCE, DATING VIOLENCE, SEXUAL ASSAULT, AND STALKING

Workplace Resource Center

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women Act of 1994, Section 41501, Public Law 103-322, 42 U.S.C 14043f.

OBJECTIVES:

To provide for establishment and operation of a national resource center on workplace responses to assist victims of domestic and sexual violence.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The resource center shall provide information and assistance to employers and labor organizations to aid in their efforts to develop and implement responses to such violence.

Applicant Eligibility:

To be eligible to receive a grant under this section, an entity or organization shall submit an application to the Attorney General at such time, in such manner, and containing such information as the Attorney General may require, including:

- (1) information that demonstrates that the entity or organization has nationally recognized expertise in the area of domestic or sexual violence;
- (2) a plan to maximize, to the extent practicable, outreach to employers (including private companies and public entities such as public institutions of higher education and State and local governments) and labor organizations described in subsection (a) of this section concerning developing and implementing workplace responses to assist victims of domestic or sexual violence; and
- (3) a plan for developing materials and training for materials for employers that

address the needs of employees in cases of domestic violence, dating violence, sexual assault, and stalking impacting the workplace, including the needs of underserved communities.

Beneficiary Eligibility:

An entity or organization that receives a grant under this section may use the funds made available through the grant for staff salaries, travel expenses, equipment, printing, and other reasonable expenses necessary to develop, maintain, and disseminate to employers and labor organizations described in subsection (a) of this section, information and assistance concerning workplace responses to assist victims of domestic or sexual violence.

(2) Responses referred to in paragraph (1) may include;

- (A) providing training to promote a better understanding of workplace assistance to victims of domestic or sexual violence;
- (B) providing conferences and other educational opportunities; and
- (C) developing protocols and model workplace policies.

Credentials/Documentation:

Specific criteria for the program are provided in an annual solicitation available at www.justice.gov/OVW. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal dollars by contributing to the cost of the project. Supplemental contributions may be cash, in-kind services, or a combination of both. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Information regarding length of awards will be included in an annual solicitation for the program. Funds are released on an as-needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the effective edition of the DOJ Financial Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of

persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Cooperative Agreements) FY 16 \$500,000; FY 17 est \$500,000; and FY 18 est \$500,000

Range and Average of Financial Assistance:

Project is issued as a single award for up to the full available amount.

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitations and financial guides are available on the OVW website: www.justice.gov/OVW

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N Street NE, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 2023051177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16,528 Enhanced Training and Services to End Violence and Abuse of Women Later in Life; 16,556 Grants to State Sexual Assault and Domestic Violence Coalitions; 16,588 Violence Against Women Formula Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria is specified in the annual program solicitation.

16.029 OFFICE ON VIOLENCE AGAINST WOMEN SPECIAL PROJECTS

OVW Special Projects

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005, Public Law 109-162, Section 3(a), 42 U.S.C. 13925(b)(11).

OBJECTIVES:

To promote promising or innovative practices to respond to violence against women, including domestic violence, dating violence, sexual assault, and stalking.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds will be used to promote promising or innovative practices to respond to violence against women, including domestic violence, dating violence, sexual assault, and stalking.

Applicant Eligibility:

Further information will be available in occasional solicitations under the program.

Beneficiary Eligibility:

Beneficiaries will be specified in any solicitations issued under this program.

Credentials/Documentation:

Specific criteria for the program are provided in solicitations available at www.justice.gov/OVW. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal dollars by contributing to the cost of the project. Supplemental contributions may be cash, in-kind services, or a combination of both. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Information regarding length of awards will be included in an annual solicitation for the program. See the following for information on how assistance is awarded/released: Funds are released on an as-needed basis to the grantee. Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the effective edition of the DOJ Financial Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Range and average depend on the specific project.

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N Street NE, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 2023051177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.025 Special Domestic Violence Criminal Jurisdiction Implementation; 16.528 Enhanced Training and Services to End Violence and Abuse of Women Later in Life; 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.888 Consolidated And Technical Assistance Grant Program to Address Children and Youth Experiencing Domestic and Sexual Violence and Engage Men and Boys as Allies

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria is specified in the occasionally issued program solicitation.

**16.123 COMMUNITY-BASED VIOLENCE PREVENTION PROGRAM
FEDERAL AGENCY:**

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

The Juvenile Justice and Delinquency Prevention Act, Part E, 42 U.S.C. 5665-66, and an act appropriating funds for the Department of Justice.

OBJECTIVES:

The Community-Based Violence Prevention (CBVP) Program helps states and localities support a coordinated and multidisciplinary approach to community youth gun and gang violence through prevention, intervention, suppression, and reentry in targeted communities. The CBVP Program is designed to support and enhance the coordination of existing community-based violence prevention and intervention programs and strategies approaches that are attempting to

replicate models and strategies which that have been proven to have a positive impact on the reduction of violence in target communities. Toward achievement of the ultimate goal of eliminating community violence, the Community-Based Violence Prevention Program the CBVP Program has three main goals objectives: to change community norms regarding violence; to provide alternatives to violence when gangs and individuals in the community are making risky behavior decisions; and, to increase awareness of the perceived risks and costs of involvement in violence among high-risk young people. The CBVP Program supports effective use of focused deterrence (enforcement) strategies and public health and education approaches to stop violence. This Program will work with community-based organizations to develop and implement strategies to reduce and prevent violence, particularly shootings and killings. This will be accomplished by relying on supporting communities to establish and strengthen partnerships between law enforcement and outreach workers, clergy, and other community leaders and family members in efforts to deter young people from committing violence, particularly shootings and killings. To intervene in conflicts or potential conflicts and promote alternatives to violence. The Community-Based Violence Prevention Program will also involve law enforcement in its efforts and depends heavily on a strong public education campaign to instill in people the message that shootings and violence are not acceptable. Finally, the model CBVP Program also calls for the strengthening of communities so they have the capacity to exercise informal social controls and to respond to issues that affect them, including community violence. These activities are organized into five core components that address both the community and those individuals who are most at risk of involvement in a shooting or killing: community mobilization, outreach, faith leader involvement, police participation and public education.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

OJJDP is currently encouraging applicants to partner with communities to conduct research and evaluation as well as provide technical assistance in approaches to prevent and reduce youth violence as well as exposure to violence at the community level.

The ultimate aim of this work is to promote the well-being of children and youth, their families, and the communities in which they reside and enhance public safety through the prevention and reduction of violence.

Applicant Eligibility:

Eligible applicants are limited to states (including territories), units of local government, federally recognized tribal governments as determined by the Secretary of the Interior, nonprofit organizations, and for-profit organizations (including tribal nonprofit and for-profit organizations), as well as institutions of higher education (including tribal institutions of higher education). For-profit organizations (as well as other recipients) must agree to forgo any profit or management fee.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for

funding. Applicants are generally required to register and create a profile in GMS.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies by project.

Appeals:

There are not appeal rights for denial of discretionary awards. See generally, 28 CFR Part 18.

Renewals:

See applicable program announcement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project--see applicable program announcement. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Awardees are required by the OJP Financial Guide to report financial data on a quarterly basis. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be retained for a period of 3 years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants) FY 16 \$7,261,796; FY 17 est \$8,000,000; and FY 18 est \$8,000,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Community-Based Violence Prevention Program solicitation, the National Evaluation of the Community-Based Violence Prevention Program, the Office of Justice Programs Financial Guide, applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 CFR).

Regional or Local Office:

None.

Headquarters Office:

US Department of Justice, Office of Justice Programs U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW , Washington, District of Columbia 20531 Phone: 202 307-9963

Website Address:

<http://ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.203 PROMOTING EVIDENCE INTEGRATION IN SEX OFFENDER MANAGEMENT DISCRETIONARY GRANT PROGRAM

FEDERAL AGENCY:

Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking, Department of Justice

AUTHORIZATION:

Violent Crime Control and Law Enforcement Act of 1994; Public Law 103-322, Section 40152, codified at 42 U.S.C. Section 13941, Training; Adam Walsh Child Protection and Safety Act of 2006 (AWA), Public Law 103-322, 42 U.S.C 13941 and an act appropriating funds for the Department of Justice in the current fiscal year, Public Law 103-322, 42 U.S.C 13941.

OBJECTIVES:

To assist States, the District of Columbia, the principal U.S. territories, local and tribal jurisdictions in improving their adult and/or juvenile sex offender management policies and practices by supporting training, technical assistance, demonstration projects, and fellowships in the Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering and Tracking (SMART). These efforts will enhance sex offender management practices, and thus protect the public from sex offenders in the community.

TYPES OF ASSISTANCE:

PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

The Promoting Evidence Integration in Sex Offender Management grant funds may be used to:

- 1) provide training and technical assistance to jurisdictions with reference to sex offender management and accountability;
- 2) support local demonstration projects in the areas of case management, supervision and relapse prevention; and
- 3) fund sex offender management fellowship opportunities in the SMART Office.

Applicant Eligibility:

Non-profit organizations (including tribal organizations), institutions of higher education (including tribal institutions of higher education), for-profit organizations, States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, America Samoa, Guam, the Northern Mariana Islands, local, and tribal communities who are interested in addressing the management of juvenile, adult, or a mixed population of sex offenders are eligible to apply for this grant program. Applicants must coordinate their proposal with others in their jurisdiction to ensure a collaborative response to this solicitation as well as

to ensure that agencies within a single jurisdiction are not competing against one another in the grant process.

For sex offender management fellowships, eligible applicants include individuals.

Beneficiary Eligibility:

State, local and tribal agencies (e.g. parole, probation, pretrial services, etc.) responsible for sex offender management, accountability, registration and supervision to implement programs to enhance strategies for managing sex offender in the community. Non-profit or for-profit organizations, institutions of higher learning and tribal entities with demonstrated experience in sex offender management and accountability issues and delivery of training and technical assistance to implement national training and technical assistance program on sex offender management, accountability, registration and supervision.

For sex offender management fellowships: individuals with knowledge and experience in the field of sex offender management.

Credentials/Documentation:

Applications must meet the requirements of the program solicitation, which sets forth application deadlines and documentation required. The application must include: Application for Federal Assistance (Standard Form 424); Program Narrative; Budget and Budget Narrative. This information may be found in the solicitation section, What an Application Should Include. In addition to the standard requirements, an application may include timelines, resumes, letters of support, etc. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>)

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact the SMART Office or refer to the solicitation for application deadlines. Deadlines are included with the application instructions, which are posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Appeals:

See 28 CFR Part 18.

Renewals:

Awards are for 24 to 36 months unless otherwise noted.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Grants are discretionary and are awarded based on the merits of the application submitted to the SMART Office. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period that includes the fiscal year of the appropriation plus one additional year. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post Award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Reports:

Program reports are not applicable. Cash reports are not applicable. Semi-annual progress reports and final reports are required. Quarterly financial reports are required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements.

Records:

See 2 CFR 200 for the government-wide requirements for maintenance of records by grant recipients.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

\$600K for implementation sites, \$1.5M for TTA, and \$250Kk per fellowship opportunity.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>); Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>), and Department of Justice regulations applicable to specific types of grantees, which can be found in 2 CFR 200.

Regional or Local Office:

None.

Headquarters Office:

SMART Office U.S. Department of Justice
Office of Justice Programs
SMART Office
810 Seventh Street, N.W., Washington, District of Columbia 20531 Phone:
(202) 514-4689

Website Address:

<http://www.ojp.usdoj.gov/smart>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.300 LAW ENFORCEMENT ASSISTANCE FBI ADVANCED POLICE TRAINING

(FBI Academy, Advanced Specialized Courses)

FEDERAL AGENCY:

Federal Bureau of Investigation, Department of Justice

AUTHORIZATION:

none.

OBJECTIVES:

To provide advanced training to experienced personnel of local, county, State, and selected Federal law enforcement agencies, with special emphasis on developing each graduate as a capable manager or administrator for his own department. To afford specialized advanced training to the above personnel.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

The curriculum of the FBI National Academy program includes criminal law and investigations, behavioral science, forensic science, education, management, and health/fitness. The courses are continually adapted to meet the changing needs of law enforcement administration. Training is provided at the FBI Academy at Quantico, VA. Advanced specialized courses of instruction are provided on specific topics such as Firearms Administration, White-Collar and Computer-Related Crimes, Latent Fingerprint Examinations, Police Legal Issues, Hostage Negotiations, Executive Development, Advanced Training Technology, and Laboratory Matters, with conferences and seminars on topics such as Budgeting, Scientific Technical Analysis, Death Investigation, Crime Prevention, Bombing and Arson Investigations, Violent Crimes Against the Elderly, and Sexual Exploitation of Children.

Applicant Eligibility:

Regular, full-time personnel of a criminal justice agency serving a municipality, county, local, or State, as well as some selected, qualified representative of Federal agencies having criminal justice responsibilities. Candidates must meet certain age, experience, education, physical, and character requirements.

Beneficiary Eligibility:

Regular, full-time personnel of a criminal justice agency serving a municipality, county, local or State, as well as some selected, qualified representative of Federal agencies having criminal justice responsibilities. Candidates must meet certain age, experience, education, physical, and character requirements.

Credentials/Documentation:

The applications of candidates for enrollment in the Academy must be approved and submitted by the head of their agency. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Formal application to nominate representative to attend the FBI Academy National program (FBI Form FD- 164) submitted by nominee's agency head, followed by an interview of qualified applicants at FBI field office level. Applications of those nominated by agency heads to attend the specialized course are submitted to local office of the FBI for processing.

Award Procedure:

By letter to applicant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

National Academy training period of 11 weeks. Specialized training varies with subject, from one to four weeks. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

15-0200-0-1-751.

Obligations:

(Training) FY 16 Not Available; FY 17 est \$8,804,322; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the nearest FBI field office; see Catalog Appendix IV for a list of the addresses of FBI field offices.

Headquarters Office:

Michael Tyler 935 Pennsylvania Avenue, Finance Division, Room 6712, Washington, District of Columbia 20535 Email: michael.tyler@ic.fbi.gov Phone: (202) 324-0495

Website Address:

<http://www.fbi.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.301 LAW ENFORCEMENT ASSISTANCE FBI CRIME LABORATORY SUPPORT

(FBI Laboratory)

FEDERAL AGENCY:

Federal Bureau of Investigation, Department of Justice

AUTHORIZATION:

none.

OBJECTIVES:

To provide forensic services to the FBI and any other duly constituted law enforcement agency; and provide technical and forensic assistance through research, training, technology transfer and access to information and forensic databases.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES; TRAINING

USES AND USE RESTRICTIONS:

Submitted evidence is examined and the FBI Laboratory also furnishes the experts necessary to testify in connection with the results of these examinations. These examinations are made with the understanding that the evidence is connected with an official investigation of a criminal or terrorism matter and that the laboratory report will be used only for official purposes related to the investigation or a subsequent prosecution. The FBI Laboratory will not accept cases from other crime laboratories which have the capability of conducting the requested examinations. Additionally, specialized forensic training in many forensic disciplines is conducted. This training is provided to eligible applicants commensurate with available resources. Finally, through available resources, the FBI Laboratory provides new enhanced equipment and tools to State and forensic and law enforcement personnel.

Applicant Eligibility:

Any duly constituted State and local law enforcement agency in the United States or any of its possessions.

Beneficiary Eligibility:

Any duly constituted State and local law enforcement agency in the United States or any of its possessions.

Credentials/Documentation:

Formal request, normally written, from appropriate official of the requesting law enforcement agency. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Inquiries may be sent to the Director, Federal Bureau of Investigation, Washington, DC 20535. Attention: FBI Laboratory.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

15-0200-0-1-751.

Obligations:

(Advisory Services and Counseling) FY 16 \$23,671,109; FY 17 est \$25,866,151; and FY 18 est \$25,598,728

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. The FBI Laboratory is located at 2501 Investigation Parkway, Quantico, VA 20135. See Catalog Appendix IV for addresses and telephone numbers of FBI Field Offices. Persons are encouraged to communicate with the headquarters office noted below.

Headquarters Office:

Michael Tyler 935 Pennsylvania Avenue
Finance Division
Room 6712, Washington, District of Columbia 20535 Email:
michael.tyler@ic.fbi.gov Phone: 2023240495

Website Address:

<http://www.fbi.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.302 LAW ENFORCEMENT ASSISTANCE FBI FIELD POLICE TRAINING

(FBI Field Police Training)

FEDERAL AGENCY:

Federal Bureau of Investigation, Department of Justice

AUTHORIZATION:

none.

OBJECTIVES:

To develop the professional skills of criminal justice personnel.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

Courses available from FBI instructors range from basic recruit training to specialized instruction in such areas as fingerprinting, legal topics, police-community relations, hostage negotiation, white collar crime, organized crime, computer fraud, management techniques, investigative support, criminal investigative analysis, etc. FBI training assistance is available in complete programs of instruction or as supplemental courses to already existing local police training sessions.

Applicant Eligibility:

All authorized municipal, county, local, and State criminal justice personnel.

Beneficiary Eligibility:

All authorized municipal, county, local, and State criminal justice personnel.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform

Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Contact FBI Headquarters in Washington, DC or, preferably, the nearest FBI Field Office.

Award Procedure:

By letter to applicant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

15-0200-0-1-751.

Obligations:

(Training) FY 16 Not Separately Identifiable; FY 17 est \$21,290,667; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with their nearest FBI field office; see Catalog Appendix IV for a list of the addresses of FBI field offices.

Headquarters Office:

Michael Tyler, 935 Pennsylvania Avenue, Finance Division, Room 6712, Washington, District of Columbia 20535 Email: michael.tyler@ic.fbi.gov Phone: (202) 324-0495

Website Address:

<http://www.fbi.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.303 LAW ENFORCEMENT ASSISTANCE FBI FINGERPRINT IDENTIFICATION

(FBI Criminal Justice Information Services Division)

FEDERAL AGENCY:

Federal Bureau of Investigation, Department of Justice

AUTHORIZATION:

none.

OBJECTIVES:

To provide fingerprint and arrest-record services to U.S. Government and criminal justice agencies; and to provide arrest- record services to State and local governmental authorities, as well as certain authorized nongovernmental entities for employment and licensing purposes.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Criminal identification by means of fingerprints; determination of a person's past criminal history, if any; fingerprint identification of missing persons, unknown deceased persons, unknown living persons and victims of major disasters; and processing of physical evidence for latent finger and palm prints. Information must be used for official purposes only and is not furnished for public dissemination.

Applicant Eligibility:

All criminal justice agencies, Federal Government, and other authorized governmental and nongovernmental agencies and entities.

Beneficiary Eligibility:

All criminal justice agencies, Federal Government, and other authorized governmental and nongovernmental agencies and entities.

Credentials/Documentation:

Initial request for services must be from a statutorily authorized agency; request must be written on letterhead of agency and list address of agency and title of official signing request; it must indicate that information is to be used only for criminal investigative or other official purpose authorized by statute; and reply will be sent only to head of requesting organization. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Inquiries must be sent to the Assistant Director, Criminal Justice Information Services Division, Federal Bureau of Investigation, Department of Justice, 1000 Custer Hollow Road, Clarksburg, WV 26306.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

15-0200-0-1-751.

Obligations:

(Information) FY 16 Not Available; FY 17 est \$117,029,459; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses and telephone number of FBI field offices. Persons are encouraged to communicate with the headquarters office noted below.

Headquarters Office:

Michael Tyler, 935 Pennsylvania Avenue, Finance Division, Room 6712, Washington, District of Columbia 20535 Email: michael.tyler@ic.fbi.gov Phone: (202) 324-0495

Website Address:

<http://www.fbi.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.304 LAW ENFORCEMENT ASSISTANCE NATIONAL CRIME INFORMATION CENTER

(NCIC)

FEDERAL AGENCY:

Federal Bureau of Investigation, Department of Justice

AUTHORIZATION:

none.

OBJECTIVES:

The FBI National Crime Information Center (NCIC) is designed to complement the development of similar metropolitan and statewide criminal justice information systems and provide a computerized central index to documented files of local and State criminal justice agencies.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

The FBI National Crime Information Center (NCIC) is a computerized index of crime and criminals of nationwide interest. Included are records concerning wanted persons, missing persons, unidentified persons, persons on supervised release, persons subject of a protection order, registered sexual offenders, persons violating immigration laws, persons associated with a violent gang or terrorist organization, and stolen property. Also provided is access to an index of criminal history records. The NCIC, located at the FBI's Criminal Justice Services Division in Clarksburg, WV, serves as a nucleus of a vast, high-speed criminal justice communications network which includes authorized local, State, and Federal criminal justice agencies throughout the United States, Canada, Puerto Rico, the U.S. Virgin Islands, Guam, and American Samoa. Through use of computer storage and retrieval, the NCIC is able to locate and

transmit in seconds essential data for the efficient performance of criminal justice personnel. FBI personnel are available to assist States in operating their systems which are connected to the NCIC computer. Technical and other consultant services as well as training are provided at no expense to State agencies.

Applicant Eligibility:

Local, State, and Federal criminal justice agencies may participate through their individual control terminal agency.

Beneficiary Eligibility:

Local, State, and Federal criminal justice agencies may participate through their individual control terminal agency.

Credentials/Documentation:

Agencies accessing NCIC must be an authorized criminal justice agency or authorized entity. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Federal, State and local criminal justice agencies applying for access should contact their control terminal agency, or the Director, Federal Bureau of Investigation, 10th and Pennsylvania Ave., NW., Washington, DC 20535.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

15-0200-0-1-751.

Obligations:

(Information) FY 16 Not Available; FY 17 est \$18,105,221; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Michael Tyler 935 Pennsylvania Avenue
Finance Division
Room 6712, Washington, District of Columbia 20535 Email:
michael.tyler@ic.fbi.gov Phone: 2023240495

Website Address:

<http://www.fbi.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.305 LAW ENFORCEMENT ASSISTANCE UNIFORM CRIME REPORTS**FEDERAL AGENCY:**

Federal Bureau of Investigation, Department of Justice

AUTHORIZATION:

none.

OBJECTIVES:

To furnish data which will assist heads of law enforcement agencies in administration and operation of their departments. Further, to make information/data available to judges, penologists, sociologists, legislators, media, students, and others interested in crime and its social aspects.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

The FBI collects, analyzes, and publishes certain crime statistics which it receives on a regular and voluntary basis from law enforcement agencies nationwide. These data are published annually in the publication, "Crime In The United States-Uniform Crime Reports," and are supplemented with preliminary annual and semiannual releases. The annual publication provides information on (1) crime trends; (2) offenses known to police; (3) age, sex, and race of persons arrested; (4) police disposition of juveniles arrested; (5) police employee; and (6) other information. Additionally, the FBI annually publishes statistics on Hate Crimes and Law Enforcement Officers Killed and Assaulted.

Applicant Eligibility:

All participating law enforcement agencies including State and local governments are furnished copies of the annual publication and preliminary annual and semiannual reports. Limited annual copies of statistics on Hate Crime and Law Enforcement Officers Killed and Assaulted, along with preliminary annual and semiannual reports are available to any interested individual who sends a request to the: Communications Unit, Criminal Justice Information Services Division, Federal Bureau of Investigation, Module D-3, 1000 Custer Hollow Road, Clarksburg, WV 26306-0154. The annual publication is available to the general public for purchase from the Superintendent of Documents, U.S. Government Printing Office, 710 North Capitol Street NW., Washington, DC 20401.

Beneficiary Eligibility:

All participating State and local law enforcement agencies are furnished copies of the annual publication and preliminary annual and semiannual reports. Limited copies of preliminary annual and semiannual reports are available to any interested individual who sends a request to the: Communications Unit, Criminal Justice Information Services Division, Federal Bureau of Investigation, Module D-3, 1000 Custer Hollow Road, Clarksburg, WV 26306-0154. The annual publication is available for sale from the Superintendent of Documents, U.S. Government Printing Office, 710 North

Capitol Street, NW., Washington DC 20401.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Inquiries may be sent to the Communications Unit, Criminal Justice Information Services Division, Federal Bureau of Investigation, Module D-3, 1000 Custer Hollow Road, Clarksburg, WV 26306-0154.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

15-0200-0-1-751.

Obligations:

(Advisory Services and Counseling) FY 16 Not Available; FY 17 est \$56,327,717; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Michael Tyler 935 Pennsylvania Avenue
Finance Division
Room 6712, Washington, District of Columbia 20535 Email:
michael.tyler@ic.fbi.gov Phone: 2023240495

Website Address:

<http://www.fbi.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.307 COMBINED DNA INDEX SYSTEM

(CODIS)

FEDERAL AGENCY:

Federal Bureau of Investigation, Department of Justice

AUTHORIZATION:

none.

OBJECTIVES:

To develop or improve forensic DNA analysis capabilities in State and local crime laboratories. The Combined DNA Index Systems (CODIS) allows State and local crime laboratories to store and match DNA records.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The CODIS program enables State and local laboratories to establish databases of convicted offenders, unsolved crime scenes, and missing persons. CODIS allows the forensic laboratories to exchange and compare DNA profiles electronically thereby linking serial violent crimes to each other and to identify suspects by matching DNA from crime scenes to convicted offenders.

Applicant Eligibility:

To receive CODIS software and technical assistance, participants must be publicly funded forensic laboratories performing DNA analysis, or private forensic laboratories performing DNA analysis under contract with crime laboratories.

Beneficiary Eligibility:

To be eligible for DNA grants, participants must be publicly funded State or local forensic laboratories performing DNA analysis.

Credentials/Documentation:

Formal written application from appropriate official of the requesting forensic laboratory to NIJ under the Forensic DNA Laboratory Improvement Program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Formal written application from appropriate official of the requesting forensic laboratory to DoJ under the Forensic DNA Laboratory Improvement Program.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

15-0200-0-1-751.

Obligations:

(Project Grants) FY 16 \$12,305,252; FY 17 est \$11,741,382; and FY 18 est \$11,635,962

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Michael Tyler, 935 Pennsylvania Avenue, Finance Division, Room 6712, Washington, District of Columbia 20535 Email: michael.tyler@ic.fbi.gov Phone: (202) 324-0495

Website Address:

<http://www.fbi.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.308 INDIAN COUNTRY INVESTIGATIONS**FEDERAL AGENCY:**

Federal Bureau of Investigation, Department of Justice

AUTHORIZATION:

none.

OBJECTIVES:

To provide training to the Bureau of Indian Affairs (BIA) and Tribal Law Enforcement Officers in conjunction with the Bureau of Indian Affairs Office of Justice Services to better conduct investigations in Indian Country. Coordination of the development of training curriculum with the BIA; and conduct training seminars in law enforcement techniques throughout the country. To coordinate investigative activities within Indian Country.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

Funding may be utilized for expenses associated with investigative and managerial training for Indian Country law enforcement.

Applicant Eligibility:

BIA Investigators, Tribal Law Enforcement Officers and other law enforcement officers assigned to work in Indian Country.

Beneficiary Eligibility:

BIA Investigators, Tribal Law Enforcement Officers and other law enforcement

officers assigned to work in Indian Country.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. FBI and Indian Police Academy select students for training.

Award Procedure:

Not applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

If the classes are full, the application will be denied.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

15-0200-0-1-751.

Obligations:

(Training) FY 16 Not Available; FY 17 est \$2,200,000; and FY 18 Estimate Not Available - I do not see a spot for reimbursable vs. direct Safe Trails spending, which I saw on last year's spreadsheet. I also do not see a place to edit FY 2014 obligations, which may have been reported using a different methodology, and thus, would make year-over-year comparisons including FY 2014 inaccurate.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Michael Tyler 935 Pennsylvania Avenue
Finance Division
Room 6712, Washington, District of Columbia 20535 Email:

michael.tyler@ic.fbi.gov Phone: 202-324-0495

Website Address:

http://www.fbi.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.309 LAW ENFORCEMENT ASSISTANCE NATIONAL INSTANT CRIMINAL BACKGROUND CHECK SYSTEM

(NICS)

FEDERAL AGENCY:

Federal Bureau of Investigation, Department of Justice

AUTHORIZATION:

none.

OBJECTIVES:

To provide a system so that any Federal Firearm Licensee (FFL) could receive an immediate determination by telephone or by other electronic means, on whether receipt of a firearm and/or explosive by a prospective buyer would violate Federal or State laws.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

The NICS Operations Center conducts NICS background checks by analyzing and evaluating criminal history records to determine whether the transfer of a firearm to an individual may violate State or Federal law. The staff at the Operations Center provides technical and operational support to users, provides customer service to FFLs and State Point of Contacts (POCs), processes appeals of denied transactions, and prepares management and statistical reports on the operation of the NICS.

Applicant Eligibility:

All persons purchasing firearms.

Beneficiary Eligibility:

The Federal Firearm Licensees and American public.

Credentials/Documentation:

Initial request for services must be from a licensed and enrolled FFL. For checks performed by the FBI, FFLs may contact the NICS Section by telephone via a toll-free number or, electronically by the NICS E-Check via the Internet. The FFLs will provide the required descriptive information requested on the Bureau of Alcohol, Tobacco, Firearms and Explosives Form 4473 and each background check is assigned a unique NICS Transaction Number. If a NICS background check results in no matching records, the transfer will be a proceed.

If one or more matching records are found, a NICS Legal Instruments Examiner (Examiner) will review the record(s) to determine if the subject of the record may be identical with the prospective purchaser and to determine if the record contains state and/or federal firearm prohibitive criteria which may prohibit the transfer of the firearm. If it is determined that prohibitive criteria exists, the NICS Examiner will advise the FFL to deny the firearm transaction. If potentially prohibitive criteria exists and more information is required in order to make the determination, the NICS Examiner will advise the FFL to delay the firearm transaction. When a transaction is delayed, the NICS Examiner begins extensive research on the potential prohibitor. The NICS Examiner will contact local, state, and federal law enforcement agencies and courts to resolve questions about an individual who appears to be prohibited from purchasing or possessing a firearm, as well as to request clarification regarding information in a prior record or disposition. When the research is complete, the NICS Examiner calls the FFL and gives a decision on the firearm transaction. Individuals who are denied the purchase of a firearm will be advised by the FFL of the process for appealing the denial decision if he/she believes it is incorrect. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Initial request for services must be from a licensed and enrolled FFL. For checks performed by the FBI, FFLs may contact the NICS Operation Center by telephone via a toll-free number or, in the future, electronically by NICS E-check via the Internet.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Three business days.

Appeals:

Persons denied the right to purchase a firearm may appeal the denial by writing to the NICS Program Office, Post Office Box 4278, Clarksburg, WV 26302-4278. Another option that is available is e-mailing the appeal to: nicsappeals@leo.gov .

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

15-0200-0-1-751.

Obligations:

(Information) FY 16 Not Available; FY 17 est \$52,122,496; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 28, CFR, Part 25 National Instant Criminal Background Check System Regulation, Public Laws 103-159 Federal Regulations, NICS Brochure, Appeals Brochure, POC Manual and FFL Manual.

Regional or Local Office:

See Regional Agency Offices. NICS Program Office, Post Office Box 4278, Clarksburg, WV 26302-4278.

Headquarters Office:

Michael Tyler 935 Pennsylvania Avenue, Finance Division, Room 6712, Washington, District of Columbia 20535 Email: michael.tyler@ic.fbi.gov Phone: (202) 324-0495

Website Address:

http://www.fbi.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.320 SERVICES FOR TRAFFICKING VICTIMS**FEDERAL AGENCY:**

Office for Victims of Crime, Department of Justice

AUTHORIZATION:

22 USC 7105(b2)(A).

OBJECTIVES:

To provide assistance to victims of severe forms of trafficking (i.e., sex trafficking in which a commercial sex act is induced by force, fraud, or coercion, or in which the person induced to perform such act has not attained 18 years of age; or the recruitment, harboring, transportation, provision or obtaining of a person for labor or services, through the use of force, fraud, or coercion for the purpose of subjection to involuntary servitude, peonage, debt bondage, or slavery) without regard to the immigration status of the victim. A set aside of the total appropriation for the following purposes is as follows: three percent for research, evaluation, and statistics; five percent for training and technical assistance; and one percent for management and administration of grant funds.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding under this program is intended to assist victims of severe forms of human trafficking as defined by the Trafficking Victims Protection Act (TVPA). This includes male and female victims of sex and labor trafficking. The Office for Victims of Crime (OVC) awarded its first cooperative agreements to victim service organizations in FY03 to provide a comprehensive array of direct services to trafficking victims.

OVC funds victim service agencies to provide high-quality services to victims of all forms of human trafficking as defined by the Trafficking Victims Protection Act of 2000 and to enhance interagency collaborative and coordination in the provision of services to such victims. OVC makes annual grant funding available for direct comprehensive and specialized services tailored to the needs of human trafficking victims. These services include intensive case management, food, clothing, shelter, medical and dental care, mental health treatment, translation and interpretation services, legal assistance, and more. This OVC funding also supports victim service providers in increasing the capacity of their communities to respond to victims through the development of interagency partnerships and public outreach and awareness efforts.

This funding is also made available to state and local law enforcement agencies and victim services organizations in support of multidisciplinary, victim-centered task forces dedicated to investigating trafficking crimes and providing comprehensive services to victims. Administered jointly by OJP's Bureau of Justice Assistance (BJA) and OVC, the Enhanced Collaborative Model to Combat Human Trafficking Program jointly funds law enforcement and service providers to work together to collaboratively respond to human trafficking as a task force. These task forces also include federal law enforcement agencies, such as the Federal Bureau of Investigation (FBI) and Immigration and Customs Enforcement (ICE), as well as U.S. Attorneys Offices, state and local prosecutors, and other stakeholders.

Training and technical assistance awards and other human trafficking victim assistance awards are also made through this program.

Applicant Eligibility:

The Attorney General may make grants to States, Indian tribes, units of local government, and nonprofit, non-governmental victim service organizations.

Beneficiary Eligibility:

Eligible victim assistance agencies. Eligibility depends on the nature of they may vary depending on specific grant types but generally includes victims and potential victims of human trafficking, as defined in TVPA, but may include a wide variety of public and private nonprofit agencies.

Credentials/Documentation:

Applications for this program must be submitted electronically via Grants.gov; at a time specified by the Office for Victims of Crime, Office of Justice Programs and must contain the following certification and assurances: (1) assure that the applicant will provide such accounting, auditing, monitoring and evaluation procedures as may be necessary, and keep such records as the Office of Justice Programs may prescribe, to assure fiscal control, proper management and efficient disbursement of Federal funds; (2) assure that the applicant will adhere to the audit and financial management requirements set forth in the effective edition of the OJP Financial Guide; (3) assure that the applicant will comply with all applicable nondiscrimination requirements including civil rights compliance, non-discrimination against eligible applicants that are faith or community-based organizations, services to persons with Limited English Proficiency, and protection of human research subjects; (4) certify that the applicant will comply with certifications regarding Lobbying, Debarment, Suspension, and Drug-Free Workplace Requirements (OJP Form 4061/6); and other responsibility matters; and, (5) certify that the information in the application is correct and that the applicant will comply with all applicable provisions of the Victims of Crime Act and other Federal laws, (including subtitle A, Title II of the Americans with Disabilities Act (ADA) 1990) regulations, and circulars. Costs will be determined in accordance with OMB Circular No. A-87 or OMB Circular No. A-133. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In accordance with the Common Rule, Standard Form 424 must be submitted by nonfederal agencies in applying for funding under this program electronically via grants.gov. Forms for funds other than grants or for use by Federal Agencies will be supplied by OVC.

Award Procedure:

Generally awards will be made on a competitive basis with applications reviewed by a panel of subject matter experts who will assess application submissions based on established criteria and forward a recommendation to the Director of the Office for Victims of Crime.

The Assistant Attorney General, Office of Justice Programs has final approval authority.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 3-5 months.

Appeals:

Hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. Eligible applicants must match the

Trafficking Victims Protection Act grant program funds with a 25 percent cash contribution or the value equivalent of an in-kind contribution(s). In-kind match refers to the value of something received or provided that does not have a cost associated with it.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Trafficking funds may be carried for obligation by the grantee for the duration of their grant award. Funds are released via electronic funds transfer. Grantees must become enrolled in the Automated Clearinghouse (ACH) Vendor Express Program to request any Federal funds. See the following for information on how assistance is awarded/released: Please see program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semi-annual progress reports are required. Quarterly financial reports are required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of 3 years.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 16 \$43,053,206; FY 17 est \$45,000,000; and FY 18 est \$6,000,000

Range and Average of Financial Assistance:

OVC anticipates awarding grants of up to \$900,000 (depending on the program) for 3 years to support services to trafficking victims. Additional awards to support research and evaluation, and training and technical assistance may be made.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not Applicable. Vary by grant program, but key grantee accomplishments include:

1. Providing comprehensive and specialized services to victims of human trafficking;
2. Developing multidisciplinary task forces with federal, state, and local law enforcement, service providers, and community- and faith-based organizations to ensure that trafficking victims are identified and referred for appropriate services, and that these cases are investigated and prosecuted;
3. Conducting training, technical assistance and public awareness activities for professionals and community members in order to improve their knowledge of human trafficking and their ability to identify and respond to victims; and
4. Conducting data collection and evaluation activities to determine if the program is meeting stated goals and objectives. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

OVC discretionary grant solicitations, application guidelines, and the current

edition of the OJP Financial Guide are available on-line at the OVC webpage:
<http://www.ojp.usdoj.gov/ovc>. BJA grant solicitations and application guidelines are available on the BJA webpage:
<https://www.bja.gov/funding.aspx>
The Financial Guide : ojp.gov/financial_guide/DOJ/index.htm

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs, Office of Victims of Crime Department of Justice
Office of Justice Programs
Office of Victims of Crime
810 Seventh Street, NW, Washington, District of Columbia 20531 Phone:
202-305-2601

Website Address:

<http://www.ovc.gov>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.598 Services to Victims of a Severe Form of Trafficking

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.321 ANTITERRORISM EMERGENCY RESERVE

FEDERAL AGENCY:

Office for Victims of Crime, Department of Justice

AUTHORIZATION:

42 U.S.C. 10601(d)(5), 42 U.S.C. 10603b(b), 42 U.S.C. 10603c.

OBJECTIVES:

Encompasses two programs for victims of terrorism and/or mass violence: (1) Antiterrorism and Emergency Assistance Program (AEAP) and (2) The International Terrorism Victim Expense Reimbursement Program (ITVERP). The AEAP provides assistance and compensation services for victims of domestic terrorism and intentional mass criminal violence and assistance for victims of international terrorism. ITVERP provides reimbursement for victims of acts of international terrorism that occur outside the United States for expenses associated with that victimization.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

AEAP funds are available specifically for services to victims of terrorism and/or intentional mass criminal violence. Funded activities may include compensation, emergency relief, including crisis response efforts, assistance, and ongoing assistance, including during any investigation or prosecution to victims of terrorist acts or mass violence occurring within and outside the United States. Nonmonetary assistance is available for training and technical assistance. ITVERP provides direct reimbursement for out-of-pocket expenses to victims of international terrorism. Claimants may seek reimbursement for expenses incurred for medical, mental health, funeral and burial, property loss, and other miscellaneous costs (including emergency travel, temporary lodging, and telephone costs).

Applicant Eligibility:

AEAP: Criteria will vary depending on the grant. For terrorism or mass violence occurring within or outside the U.S., eligible applicants for funding under VOCA, Title II, 42 U.S.C. 10603b, 1404B, include states, victim service organizations, and public agencies (including federal, State and local governments) and non-governmental organizations that provide assistance to victims of crime. With the exception of ITVERP, OVC does not provide funding directly to individual crime victims. ITVERP: For an applicant to be eligible to receive reimbursement under ITVERP as authorized under VOCA, Title II, 42 U.S.C. 10603c, 1404C, an individual must be a national of the United States or an officer or employee of the U.S. Government, as of the date

on which the act of terrorism occurred. In addition, the individual must have suffered direct physical or emotional injury or death as a result of an act of international terrorism occurring on or after October 23, 1983, with respect to which an investigation or prosecution was ongoing after April 24, 1996.

Beneficiary Eligibility:

AEAP: Public and private nonprofit victim assistance agencies; victims of domestic and international terrorism. Eligibility depends on the nature of the grant. ITVERP: The law requires that the individual victim must have suffered direct physical or emotional injury or death as a result of an act of international terrorism occurring on or after October 23, 1983, with respect to which an investigation or prosecution was ongoing or was commenced after April 24, 1996. In the case of a victim who is a minor, incompetent, incapacitated, or is killed, a family member or legally designated representative of the victim may receive expense reimbursement on behalf of the victim. In addition to the victim, claimants may include the following: Spouse of the victim, Parents of the victim, Children of the victim, Siblings of the victim, Legally designated victim representative.

Credentials/Documentation:

All potential applicants shall submit a written proposal along with a budget and budget narrative to the OVC Director for consideration. Proposals submitted by State crime victim compensation program administrators should include the following: (1) a description of the qualifying crime; (2) the projected number of claims to be paid and the projected number of claimants to receive payments; (3) the state's maximum award amount by category, such as medical, mental health, lost wages, funeral, etc.; and (4) a description of the range of expenses covered by the program and the amount of state funding available to cover victim claims. Proposals submitted by State crime victim assistance administrators, and all other applicants seeking crime victim assistance, should include the following: (1) type of crime and description of the criminal event; (2) identification of the lead law enforcement agency conducting the investigation; (3) estimated number of victims affected by the crime; (4) description of the applicant's role in responding to the victim population since the date of the incident; (5) description of services that this funding will support and how these efforts will complement services already in place or will respond to an unmet need; (6) the amount of funding requested and the time frame for support; and (7) description of outreach and coordination with other public and private entities during the process of preparing the request for assistance. Once approved for applying, an AEAP solicitation, with detailed instructions on applying as well as reporting requirements, will be posted on the OJP electronic Grants Management System (GMS). Applicants, except Federal agencies, must submit through GMS (1) SF-424, Application for Federal Funding and applicable assurances and forms; and (2) Budget and budget narrative including a description of all other federal and nonfederal contributions (cash or in-kind). Applications for the AEAP must be submitted to the OVC Director as soon as practical following a terrorist or mass violence event by the appropriate State or federal official or private victim service and non-governmental organizations. ITVERP: All potential applicants shall submit a written application along with all supporting documentation to OVC for consideration. Claimants may apply for itemized, interim emergency, or supplemental reimbursement. An Itemized application should be used by those eligible claimants who are making a first-time request for reimbursement of out-of-pocket expenses that were paid following an international terrorism event. An Interim Emergency application should be used by eligible claimants seeking funds for an immediate need such as medical treatment, short-term lodging, or emergency transportation. A Supplemental application should be used by eligible claimants whose expenses have changed since they submitted an initial application. The supplemental application is for bills that may have been received late, or for new services that were not originally thought necessary or included in the original application. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. AEAP: Whereas the

previously submitted proposal letter, budget, and budget narrative were key factors in determining eligibility for funding, they were submitted prior to the posting of a solicitation. Application materials submitted electronically through GMS in response to either the assistance or compensation solicitation become permanent parts of the award file. Thus, it will be necessary to resubmit the proposal letter, budget, and budget narrative documents as part of the application package. Applications will be accepted following an incident of terrorism or mass violence in accordance with the timetable set forth in the AEAP Guidelines. There are two factors that determine the application submission requirements for the AEAP: (1) the applicant status, i.e., government agency (Federal, State, or local), non-governmental organization, or victim service organization; and (2) the type of support requested, i.e., crisis response, consequence management, criminal justice, victim compensation, technical assistance (nonmonetary assistance). Application requirements are listed below. Application requirements for State crime victim compensation programs - Funding will be made available to State crime victim compensation programs in response to an incident of terrorism or intentional mass criminal violence within the United States in the form of a grant. Requests for funding from State crime victim compensation programs may be made at any time in the aftermath of an incident and should include: (1) Responses to the questions or issues raised in the AEAP Compensation Solicitation ; (2) Proposal letter ; (3) Budget.; (4) Budget narrative; and (5) SF-424, Application for Federal Assistance and applicable assurances and forms.. Application requirements for all other recipients of funds -All other applicants seeking Antiterrorism Emergency Reserve support are required to submit: (1) Responses to the questions or issues raised in the AEAP Assistance Solicitation; (2) Proposal letter; (3) Budget; (4) Budget narrative; and (5) SF-424, Application for Federal Assistance and applicable assurances and forms. While no direct funding is available, OVC may provide its own consultants to meet the training and technical assistance needs of applicants. Training and technical assistance may be requested by submitting a letter describing the nature of the problem; the type of expertise or assistance needed; the duration of assistance; and the projected outcomes of the technical assistance or training. See OVC guidelines published in the Federal Register (Vol. 67, No. 21, Thursday, January 31, 2002) for additional information on application requirements for the AEAP. ITVERP: Step 1: Identify the type of application you are applying for by marking the appropriate type at the top of the application form. In addition, a supplemental sheet must be filled out by applicants. Sections B, F and H should be answered by all applicants; section G should be answered by Itemized and Supplemental applicants only. Detailed instructions are included. Step 2: If you believe that you are an eligible victim of a "designated" international terrorism event, please use the following forms and information to prepare your application for reimbursement. Required Documentation: A victim is required to submit documentation that provides proof of identity, citizenship, employment, and damage/injury sustained during the international terrorism event. A victim's family member or representative is required to submit documentation of his/her relationship to the victim plus medical information or a death certificate as appropriate, in addition to the items named above. In all cases, receipts for expenses incurred in the recovery process will be required. If any of the required documents have been destroyed and are unavailable from any other source, you must provide and certify a list of destroyed documents and how each would apply to your ITVERP application.

Award Procedure:

AEAP: Upon receipt and internal review of a complete application, it is the intention of OVC to notify applicants of funding decision within 5 business days or as soon as practicable. The applicant may be notified by telephone, Internet or facsimile. ITVERP: After review of each application, the Director shall determine the eligibility of the victim or representative and the amount, if any, eligible for reimbursement, specifying the reasons for such determination and the findings of fact and conclusions of law supporting it. A copy of the determination shall be mailed to the claimant at his last known address.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

AEAP: It is OVC's intention to make a funding decision within 5 business days

of receipt of a complete application or as soon as practicable. ITVERP: It is OVC's intention to review and process payments as soon as practicable. For itemized and supplemental claims, range of approval/disapproval is dependant upon receipt of an official determination of a reasonable indication of an act of international terrorism from the Assistant Attorney General of National Security for a particular event. Processing time is also dependant upon timely response by claimants of any outstanding documents or information required to process claim applications. Claimants may apply for an interim emergency payment, prior to a determination under Section 94.21(a). If the Director determines that such payment is necessary to avoid or mitigate substantial hardship that may result from delaying reimbursement until complete and final consideration of an application, such payment may be made to cover immediate expenses such as those of medical care, funeral and burial, short-term lodging, and emergency transportation.

Appeals:

For both programs, hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

AEAP: See OVC guidelines for Antiterrorism and Emergency Assistance Program for Terrorism and Mass Violence Crimes published in the Federal Register, Vol. 67, No. 21, Thursday, January 31, 2002. ITVERP: Not applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

AEAP: For grants, dollars remaining unspent by the State or program within 3 fiscal years after the end of the fiscal year in which the award was made, will revert to the Crime Victims Fund/Antiterrorism Emergency Reserve. Funds are released via the Electronic Transfer System (formerly the Letter of Credit System) on an as needed basis to the recipient.

ITVERP: Reimbursements are released via the Electronic Transfer System directly into the claimants bank account. See the following for information on how assistance is awarded/released: Please contact program office for more information.

Reports:

No program reports are required. No cash reports are required. AEAP: semi-annual progress reports are required. ITVERP: There are no reporting requirements for claimants under ITVERP. AEAP: Quarterly financial reports will be required as stipulated in the effective edition of the OJP Financial Guide. A final financial and program report also will be required. ITVERP: There are no reporting requirements for claimants under ITVERP. Performance measure data, as stipulated in the solicitation, are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. AEAP: All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year. Not applicable to ITVERP.

Records:

AEAP: Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of 3 years. ITVERP: All claim files and automated data files are retained on hard copy and on a computer database. All claim files and automated data pertaining to a claim are destroyed 10 years after the date the claim has been fully processed and/or payment made, as approved by the National Archives and Records Administration (NARA). Automated data is retained in its most current form

only, however, and as information is updated, outdated information is deleted.

Account Identification:

15-5041-0-2-754.

Obligations:

(Project Grants (Contracts)) FY 16 \$0; FY 17 Not Separately Identifiable; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Varies depending on terrorism/mass violence event and specific need(s).

TAFS Codes:

15-5041.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Further guidance on the AEAP application procedure and current edition of the OJP Financial Guide are available on the OVC web site at www.ovc.gov or by writing to Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531; Telephone: (202) 307-5983. ITVERP application materials and program information are available on the OVC web site at www.ovc.gov/itverp or by writing to Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531; Telephone: (1-800) 363-0441. Financial Guide ojp.gov/financial_guide/DOJ/index.htm

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, District of Columbia 20531 Phone: (202) 307-5983.

Website Address:

<http://www.ovc.gov>

RELATED PROGRAMS:

16.575 Crime Victim Assistance; 16.576 Crime Victim Compensation; 16.582 Crime Victim Assistance/Discretionary Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals are spelled out in the guidelines, regulations, and application kits for these programs. Additional criteria may be developed by the Office for Victims of Crime and will be published in the application packages.

16.523 JUVENILE ACCOUNTABILITY BLOCK GRANTS

(JABG)

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Public Law 107-273.

OBJECTIVES:

To provide States, units of local government, and Indian Tribes as defined by Section 102 of the Federally Recognized Indian Tribe List Act of 1994, with funds to develop programs to strengthen and promote greater accountability in the juvenile justice system. To survey the field and identify projects that would benefit from research, demonstration, and evaluation in the 18 purpose areas identified in the JABG Program. To provide training and technical assistance to States, units of local government, and Indian Tribes so they may develop programs outlined in the 18 program areas to promote greater accountability in the juvenile justice system.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Program Areas include: (1) Developing, implementing, and administering graduated sanctions for juvenile offenders. (2) Building, expanding, renovating or operating temporary or permanent juvenile correction, detention, or corrections facilities. (3) Hiring juvenile court judges, probation officers, and court-appointed defenders and special advocates, and funding pretrial services (including mental health screening and assessment) for juvenile offenders, to promote the effective and expeditious administration of the juvenile justice system. (4) Hiring additional prosecutors so that more cases involving violent juvenile offenders can be prosecuted and case backlogs reduced. (5) Providing funding to enable prosecutors to address drug, gang, and youth violence problems more effectively and for technology, equipment and training to assist prosecutors in identifying and expediting the prosecution of violent juvenile offenders. (6) Establishing and maintaining training programs for law enforcement and other court personnel with respect to preventing and controlling juvenile crime. (7) Establishing juvenile gun courts for the prosecution and adjudication of juvenile firearms offenders. (8) Establishing drug court programs for juvenile offenders that provide continuing judicial supervision over juvenile offenders with substance abuse problems and the integrated administration of other sanctions and services for such offenders. (9) Establishing and maintaining a system of juvenile records designed to promote public safety. (10) Establishing and maintaining interagency information-sharing programs that enable the juvenile and criminal justice systems, schools, and social services agencies to make more informed decisions regarding the early identification, control, supervision, and treatment of juveniles who repeatedly commit serious delinquent or criminal acts. (11) Establishing and maintaining accountability-based programs designed to reduce recidivism amount juveniles who are referred by law referred by law enforcement personnel or agencies. (12) Establishing and maintaining programs to conduct risk and needs assessments of juvenile offenders that facilitate effective early intervention and the provision of comprehensive services, including mental health screening and treatment and substance abuse testing and treatment, to such offenders. (13) Establishing and maintaining accountability-based programs that are designed to enhance school safety. (14) Establishing and maintaining restorative justice programs. (15) Establishing and maintaining programs to enable juvenile courts and juvenile probation officers to be more effective and efficient in holding juvenile offenders accountable and reducing recidivism. (16) Hiring detention and corrections personnel and establishing and maintaining training programs for such personnel, to improve facility practices and programming. (17) Establishing, improving and coordinating pre-release and post-release systems and programs to facilitate the successful reentry of juvenile offenders from state and local custody in the community. (18) Hiring court-appointed defenders and providing training, coordination, and innovative strategies for indigent defense services. Not more than 10 percent of appropriated funds are available to support research, evaluation, and demonstration projects consistent with this program. Not more than 2 percent of appropriated funds are available for training and technical assistance. Not more than 2 percent of appropriated funds shall be made available to Indian Tribes on a competitive basis. Input will be solicited from the States to determine which of the 18 areas are most important and where the greatest needs are. This information will guide and provide insight for research, evaluation, and demonstration activities under this program.

Applicant Eligibility:

Each State and territory (except Palau) is eligible to receive an allocation and award of funds for State and units of local government if the Governor certifies, consistent with guidelines established by the Attorney General.

In addition to being eligible for JABG funds as a unit of local government, American Indian/Alaska Native Tribes, as defined by Section 102 of the Federally Recognized Indian Tribe List Act of 1994 (25 U.S.C 470a), or a consortium of such tribes, are eligible for JABG funding through OJJDPs Tribal Juvenile Accountability Discretionary Grant (T-JADG) program. OJJDP awards cooperative agreements on a competitive basis.

Beneficiary Eligibility:

All States and territories are eligible to receive an allocation and award of funds for State and units of local government. Funds are available to public and private agencies, organizations, or individuals to apply for discretionary and training and technical assistance funds.

Credentials/Documentation:

Each applicant must submit a completed application, including signed assurances that it will comply with statutory and administrative requirements. For Research and Evaluation grants, costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System. The receipt, review and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. The State Relations and Assistance Division is subject to the provisions of OMB Circular No. A-110 and the Common Rule.

Award Procedure:

A letter with copies of the grant award is sent to the applicant agency upon approval by the Office of Justice Programs. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs. Local units of government will receive JABG funds through the applicant agency subgrant award process. Each State and territory that receives monies under the JABG program must establish an interest-bearing trust fund to deposit program funds. Each State and territory (recipient) or unit of local government (subrecipient) that receives program funds must establish a coordinated enforcement plan for reducing juvenile crime, developed by an Advisory Board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Formula grant applications will generally be approved within 2 to 4 months of receipt of a complete application. Discretionary grant applications also take 2 to 4 months.

Appeals:

Hearing and appeal procedures will follow 28 CFR, Part 18, of the Department of Justice Regulations.

Renewals:

Renewals are subject to appropriations.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Percent: 10%. Public Law 107-273 allocates 0.5 percent of the appropriated amount for each State and territory and of the total funds remaining, allocates to each State an amount that bears the same ratio as the population of people under the age of 18 living in each State for the most recent calendar year in which the data is available. The Program requires a cash match of 10 percent of total program costs; Federal funds may not exceed 90 percent of total program costs. (Each State and territory that receives money under the JABG program must establish an interest-bearing trust fund to deposit program funds.) Interest derived from the award does not have to be matched, but interest generated from the trust fund cannot be used to match the Federal award. Matching contributions need not be applied at the exact time or in proportion to the obligation of Federal funds. However, the full match amount must be obligated by the end of the 36 month project period. For discretionary grants, no match is required.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Formula and discretionary grant awards are made for 36 months. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are due on an annual basis. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Formula Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Public Law 107-273 allocates 0.5 percent of the appropriated amount for each State and territory and of the total funds remaining, allocates to each State an amount that bears the same ratio as the population of people under the age of 18 living in each State for the most recent calendar year in which the data is available.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Jennifer Yeh U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, N.W., Washington, District of Columbia 20531 Phone: (202) 616-9135

Website Address:

<http://www.ojjdp.ncjrs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Juvenile Accountability Block Grants (JABG) 2007 Guidance Manual as established by the Office of Juvenile Justice and Delinquency Prevention.

16.524 LEGAL ASSISTANCE FOR VICTIMS

LAV Program

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women Act of 2000, Public Law 106-386, 42 U.S.C. 3796gg-6.

OBJECTIVES:

These grants are for increasing the availability of comprehensive civil and criminal legal services to victims of domestic violence, dating violence, sexual assault, and stalking in matters relating to or arising from the abuse or violence. The goal is to develop innovative, collaborative programs within the legal system that promote victim safety and increase victim economic autonomy.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Legal Assistance for Victims Grant Program provides an opportunity for communities to enhance legal assistance for victims. Funds may be used: (1) to implement, expand, and establish cooperative efforts and projects between domestic violence, dating violence, and sexual assault victim services organizations and legal assistance providers to provide legal assistance for victims of domestic violence, dating violence, stalking, and sexual assault; (2) to implement, expand, and establish efforts and projects to provide legal assistance for victims of domestic violence, dating violence, stalking, and sexual assault by organizations with a demonstrated history of providing direct legal or advocacy services on behalf of these victims; and (3) to implement, expand, and establish efforts and projects to provide competent, supervised pro bono legal assistance for victims of domestic violence, dating violence, sexual assault, or stalking, except that not more than 10 percent of the funds awarded under this section may be used for this purpose.

Applicant Eligibility:

Eligible grantees for this program are private, nonprofit entities, Indian tribal governments and tribal organizations, territorial organizations, and publicly funded organizations not acting in their governmental capacity, such as law schools.

Beneficiary Eligibility:

Beneficiaries include public or private nonprofit entities providing legal assistance primarily to victims of domestic violence, sexual assault, and/or stalking and victims of these crimes who receive legal assistance.

Credentials/Documentation:

To be eligible for an award under the LAV Grant Program, applicants must certify in writing that they are in compliance with the following statutory requirements:

- (1) Any person providing legal assistance through a program funded under the LAV Grant Program (A) has demonstrated expertise in providing legal assistance to victims of domestic violence, dating violence, sexual assault, or stalking in the targeted population; or (B)(i) is partnered with an entity or person that has demonstrated expertise described in subparagraph (A); and (ii) has completed or will complete training in connection with sexual assault, domestic violence, dating violence, or stalking and related legal issues, including training on evidence-based risk factors for domestic and dating violence homicide.
- (2) Any training program conducted in satisfaction of the requirement of paragraph (1) has been or will be developed with input from and in collaboration with a State, Territorial, Tribal, or local sexual assault, domestic violence, dating violence, or stalking victim service provider or coalition, as well as appropriate, State, Territorial, Tribal, and local law enforcement officials.
- (3) Any person or organization providing legal assistance through a program funded under the LAV Grant Program has informed and will continue to inform State, local, or Tribal sexual assault, domestic violence, dating violence, or stalking programs and coalitions, as well as appropriate State and local law enforcement officials of their work.
- (4) The grantee's organizational policies do not require mediation or counseling involving offenders and victims physically together, in cases where sexual assault, domestic violence, dating violence, and stalking, or child sexual abuse

is an issue. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply online in accordance with instructions contained in the program solicitation. The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Continuation grant awards are competitively selected and determined on a case-by-case basis.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing the costs of their project. Supplemental contributions may be cash, in-kind services, or a combination of both.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 36 months. Funds are released on an as-needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or

audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants) FY 16 \$35,733,196; FY 17 est \$34,615,593; and FY 18 est \$35,000,000

Range and Average of Financial Assistance:

Range: \$350,000-\$800,000.

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St. NE, Suite 10W121, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.588 Violence Against Women Formula Grants; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program; 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and are included in an annual solicitation.

16.525 GRANTS TO REDUCE DOMESTIC VIOLENCE, DATING VIOLENCE, SEXUAL ASSAULT, AND STALKING ON CAMPUS

Campus Program

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

The Violence Against Women and Department of Justice Reauthorization Act of 2005, Title III, Section 304, Public Law 109-162, 42 U.S.C. 14045b, as amended; the Violence Against Women Reauthorization Act of 2013, Public Law 113-4.

OBJECTIVES:

To develop and strengthen effective security and investigation strategies to combat sexual assault, domestic violence, dating violence, and stalking on campuses, and to develop and strengthen victim services in cases involving such crimes against women on campuses, which may include partnerships with local criminal justice authorities and community-based victim services agencies, and to develop and strengthen prevention education and awareness programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for one or more of the following statutory program purpose

areas:

- (1) To provide personnel, training, technical assistance, data collection, and other equipment with respect to the increased apprehension, investigation, and adjudication of persons committing domestic violence, dating violence, sexual assault, and stalking on campus.
- (2) To develop, strengthen, and implement campus policies, protocols, and services that more effectively identify and respond to the crimes of domestic violence, dating violence, sexual assault and stalking, including the use of technology to commit these crimes, and to train campus administrators, campus security personnel, and personnel serving on campus disciplinary or judicial boards on such policies, protocols, and services. Within 90 days after January 5, 2006, the Attorney General shall issue and make available minimum standards of training relating to domestic violence, dating violence, sexual assault, and stalking on campus, for all campus security personnel and personnel serving on campus disciplinary or judicial boards.
- (3) To implement and operate education programs for the prevention of domestic violence, dating violence, sexual assault, and stalking.
- (4) To develop, enlarge, or strengthen victim services programs and population specific services on the campuses of the institutions involved, including programs providing legal, medical, or psychological counseling, for victims of domestic violence, dating violence, sexual assault, and stalking, and to improve delivery of victim assistance on campus. To the extent practicable, such an institution shall collaborate with any victim service providers in the community in which the institution is located. If appropriate victim services programs are not available in the community or are not accessible to students, the institution shall, to the extent practicable, provide a victim services program on campus or create a victim services program in collaboration with a community-based organization. The institution shall use not less than 20 percent of the funds made available through the grant for a victim services program provided in accordance with this paragraph, regardless of whether the services are provided by the institution or in coordination with community victim service providers.
- (5) To create, disseminate, or otherwise provide assistance and information about victims options on and off campus to bring disciplinary or other legal action, including assistance to victims in immigration matters.
- (6) To develop, install, or expand data collection and communication systems, including computerized systems, linking campus security to the local law enforcement for the purpose of identifying and tracking arrests, protection orders, violations of protection orders, prosecutions, and convictions with respect to the crimes of domestic violence, dating violence, sexual assault, and stalking on campus.
- (7) To provide capital improvements (including improved lighting and communications facilities but not including the construction of buildings) on campuses to address the crimes of domestic violence, dating violence, sexual assault, and stalking.
- (8) To support improved coordination among campus administrators, campus security personnel, and local law enforcement to reduce domestic violence, dating violence, sexual assault, and stalking on campus.
- (9) To develop or adapt and provide developmental, culturally appropriate, and linguistically accessible print or electronic materials to address both prevention and intervention in domestic violence, dating violence, sexual violence, and stalking. And,
- (10) To develop or adapt population specific strategies and projects for victims of domestic violence, dating violence, sexual assault, and stalking from underserved populations on campus.

Applicant Eligibility:

Institutions of higher education as defined under the Higher Education Amendments of 1998 that are in compliance with the campus crime reporting requirements set forth in 20 U.S.C. 1092 (f) as amended by Public Law 105-244, 112 Stat. 1581, Sec. 486 (e) (1998). A consortia of institutions of higher education may also apply for these grants provided that each individual consortium member is also eligible to apply.

Beneficiary Eligibility:

Eligible applicants are institutions of higher education.

Credentials/Documentation:

Applicants must certify that they are in compliance with the campus crime reporting requirements set forth in 20 U.S.C. 1092 (f) as amended by Public Law 105-244, 112 Stat. 1581, Section 486 (e) (1998) and also certify that they

are in compliance with 20 U.S.C. 1232g(b)(6). In addition, applicants must meet the following minimum requirements: (A) The grantee shall create a coordinated community response including both organizations external to the institution and relevant divisions of the institution.

(B) The grantee shall establish a mandatory prevention and education program on domestic violence, dating violence, sexual assault, and stalking for all incoming students.

(C) The grantee shall train all campus law enforcement to respond effectively to domestic violence, dating violence, sexual assault, and stalking.

(D) The grantee shall train all members of campus disciplinary boards to respond effectively to situations involving domestic violence, dating violence, sexual assault, or stalking. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply through the on-line grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, an electronic notification will be sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis and competitively selected.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing the costs of their project. Supplemental contributions may be cash, in-kind services, or a combination of both.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 3 years. Funds are released on an as needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants) FY 16 \$15,229,902; FY 17 est \$16,594,162; and FY 18 est \$16,500,000

Range and Average of Financial Assistance:

Range: \$250,000-\$550,000.

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St. NE, Suite 10W121, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.524 Legal Assistance for Victims; 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.588 Violence Against Women Formula Grants; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program; 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by statute and published program guidelines.

16.526 OVW TECHNICAL ASSISTANCE INITIATIVE

TA Program

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005, Public Law 109-162, Section 3(a), 42 U.S.C. 13925(b)(11).

OBJECTIVES:

To provide communities with critical resources that help them forge partnerships across agencies and disciplines to respond effectively to violent crimes against women. OVW's Technical Assistance Program was created to provide grantees with the expertise and support they need to develop and implement successful local projects, increase victim safety, and bolster offender accountability. Grantees participate in educational initiatives, conferences,

peer-to-peer consultations, and targeted assistance that allow them to learn from experts and one another about how to overcome obstacles and incorporate promising practices in their efforts to address violence against women. In addition, the Technical Assistance Program focuses on building the capacity of national criminal justice and victim advocacy organizations to effectively address violent crimes against women and examine issues of special interest to OVW and its constituents.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

OVW funds a constellation of technical assistance projects to support the activities of its grantees and to forge partnerships among organizations that have not traditionally worked together to address domestic violence, dating violence, sexual assault, or stalking. Grantees learn from national experts and each other how best to develop and implement locally responsive programs. OVW encourages applicants to the Technical Assistance Program to design educational initiatives that are interactive and experiential and that seek to change values and attitudes that have traditionally prevented victim advocates and criminal justice professionals from responding effectively to sexual assault, domestic violence, dating violence, and stalking. Applicants proposing education programs must detail the adult education methods they will use to promote new insight, knowledge, skills, and abilities in the individuals with whom they propose to work.

Applicant Eligibility:

Eligible applicants are nonprofit national, tribal and statewide organizations and institutions of higher education. In rare circumstances, state/local government agencies or local nonprofits may be eligible.

Beneficiary Eligibility:

Beneficiaries are organizations or individuals partnered with organizations.

Credentials/Documentation:

Specific criteria for the program are provided in an annual solicitation available at www.justice.gov/OVW. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply through the on-line grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

No statutory rights of appeal.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Match is not required for this

grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing the costs of their project. Supplemental contributions may be cash, in-kind services, or a combination of both. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

24-36 months. Funds are released on an as needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants) FY 16 \$36,638,163; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St. NE, Suite 10W121, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.524 Legal Assistance for Victims; 16.525 Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus; 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.588 Violence Against Women Formula Grants; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program;

16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and are included in an annual solicitation.

16.528 ENHANCED TRAINING AND SERVICES TO END VIOLENCE AND ABUSE OF WOMEN LATER IN LIFE

Abuse in Later Life

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005, Public Law 109-162, section 205(a), Victims of Trafficking and Violence Protections Act of 2000, Public Law 106-386; 42 U.S.C. 14041a.

OBJECTIVES:

To provide training, services, and collaboration to address the needs of victims of elder abuse, neglect, and exploitation, including domestic violence, dating violence, sexual assault, and stalking, who are 50 years of age or older.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

(A) Mandatory activities

An eligible entity receiving a grant under this section shall use the funds received under the grant to

(i) provide training programs to assist law enforcement agencies, prosecutors, agencies of States or units of local government, population specific organizations, victim service providers, victim advocates, and relevant officers in Federal, tribal, State, territorial, and local courts in recognizing and addressing instances of elder abuse;

(ii) provide or enhance services for victims of abuse in later life, including domestic violence, dating violence, sexual assault, stalking, exploitation, and neglect;

(iii) establish or support multidisciplinary collaborative community responses to victims of abuse in later life, including domestic violence, dating violence, sexual assault, stalking, exploitation, and neglect; and

(iv) conduct cross-training for law enforcement agencies, prosecutors, agencies of States or units of local government, attorneys, health care providers, population specific organizations, faith-based advocates, victim service providers, and courts to better serve victims of abuse in later life, including domestic violence, dating violence, sexual assault, stalking, exploitation, and neglect.

(B) Permissible activities

An eligible entity receiving a grant under this section may use the funds received under the grant to

(i) provide training programs to assist attorneys, health care providers, faith-based leaders, or other community-based organizations in recognizing and addressing instances of abuse in later life, including domestic violence, dating violence, sexual assault, stalking, exploitation, and neglect; or

(ii) conduct outreach activities and awareness campaigns to ensure that victims of abuse in later life, including domestic violence, dating violence, sexual assault, stalking, exploitation, and neglect receive appropriate assistance.

Applicant Eligibility:

Eligible applicants include States, tribal governments and tribal organizations, units of local government, population specific organizations, victim service providers, and state, tribal, or territorial domestic violence or sexual assault coalitions.

Beneficiary Eligibility:

Applicants must be part of a multidisciplinary partnership that includes law enforcement, prosecutors, a victim service provider, and a nonprofit program or government agency with demonstrated experience in assisting individuals in later life.

Credentials/Documentation:

Non-profit organizations must be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code. All such applicants are required to submit a determination letter from the Internal Revenue Service recognizing their tax-exempt status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply through the on-line grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, a letter is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants are notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing the costs of their project. Supplemental contributions may be cash, in-kind services, or a combination of both. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 36 months for new recipients. Funds are released on an as-needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants) FY 16 \$3,438,295; FY 17 est \$1,499,561; and FY 18 est \$4,000,000

Range and Average of Financial Assistance:

Range: \$300,000 - \$400,00.

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St. NE, Suite 10W121, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.529 Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities ; 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.588 Violence Against Women Formula Grants; 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual solicitation.

16.529 EDUCATION, TRAINING, AND ENHANCED SERVICES TO END VIOLENCE AGAINST AND ABUSE OF WOMEN WITH DISABILITIES

(Disability Grant Program)

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Victims of Trafficking and Violence Protection Act of 2000, Section 1402, Public Law 106-386, 42 U.S.C. 3796gg-7, as amended; , 42 U.S.C 3796gg-7; Violence Against Women and Department of Justice Reauthorization Act of 2005, Title II, Section 204, Public Law 109-162.

OBJECTIVES:

To provide training, consultation, and information on domestic violence, dating violence, stalking, and sexual assault against individuals with disabilities (as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102)); and to enhance direct services to such individuals.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants awarded under this program shall be used for the following statutory program purposes: (1) to provide personnel, training, technical assistance, advocacy, intervention, risk reduction (including using evidence-based indicators to assess the risk of domestic and dating violence homicide) and prevention of domestic violence, dating violence, stalking, and sexual assault against disabled individuals; (2) to conduct outreach activities to ensure that disabled individuals who are victims of domestic violence, dating violence, stalking, or sexual assault receive appropriate assistance; (3) to conduct cross-training for victim service organizations, governmental agencies, courts, law enforcement, and nonprofit, nongovernmental organizations serving individuals with disabilities about risk reduction, intervention, prevention and the nature of domestic violence, dating violence, stalking, and sexual assault for disabled individuals; (4) to provide technical assistance to assist with modifications to existing policies, protocols, and procedures to ensure equal access to the services, programs, and activities of victim service providers for disabled individuals; (5) to provide training and technical assistance on the requirements of shelters and victim service providers under Federal antidiscrimination laws, including (A) the Americans with Disabilities Act of 1990 [42 U.S.C. 12101 et seq.]; and (B) section 794 of title 29; (6) to modify facilities, purchase equipment, and provide personnel so that shelters and victim service organizations can accommodate the needs of disabled individuals; (7) to provide advocacy and intervention services for disabled individuals who are victims of domestic violence, dating violence, stalking, or sexual assault; or (8) to develop model programs providing advocacy and intervention services within organizations serving disabled individuals who are victims of domestic violence, dating violence, sexual assault, or stalking.

Applicant Eligibility:

States, units of local government, Indian tribal governments or tribal organizations, and victim service providers, such as state or tribal domestic violence or sexual assault coalitions or nonprofit, nongovernmental organizations serving disabled individuals. For profit organizations and individuals are not eligible.

Beneficiary Eligibility:

Beneficiaries include victim service agencies who respond to crime victims who are individuals with disabilities.

Credentials/Documentation:

Non-profit organizations must be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code. All such applicants are required to submit a determination letter from the Internal Revenue Service recognizing their tax-exempt status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply through the on-line Grants.gov portal. The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing the costs of their project. Supplemental contributions may be cash, in-kind services, or a combination of both.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 3 years. Funds are released on an as-needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants) FY 16 \$3,775,000; FY 17 est \$4,275,000; and FY 18 est \$4,100,000

Range and Average of Financial Assistance:

Range: \$450,000 - \$580,000.

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St.NE, Suite 10W121, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.588 Violence Against Women Formula Grants; 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual solicitation.

16.540 JUVENILE JUSTICE AND DELINQUENCY PREVENTION

Title II, Part B Formula Grants

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

42 U.S.C. 5631; and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

The objectives are the following: (1) grants to states to assist them in planning, establishing, operating, coordinating, and evaluating projects for the development of more effective juvenile delinquency prevention (i.e., education, training, research, prevention, diversion, treatment, and rehabilitation); (2) support technical assistance grants to facilitate state compliance with the core requirements under the JJDP Act (42 USC 5633(a)(11), (12), (13) and (22)); (3) support training and technical assistance to benefit the formula grant program; and (4) support research, evaluation, and statistics activities designed to benefit the formula grant program.

TYPES OF ASSISTANCE:

FORMULA GRANTS; Project Grants (Capacity Building and Complaint Processing, Training); Training

USES AND USE RESTRICTIONS:

Formula grants (42 USC 5631(a)): Funds may be used to support juvenile justice and delinquency prevention efforts at the state and local level. This program, established by the Juvenile Justice and Delinquency Prevention (JJDP) Act of 2002, allocates formula grant funds to states and territories on the basis of their relative population under age 18. To be eligible for formula grant funds, a state must submit electronically a comprehensive state plan applicable to a 3-year period addressing the requirements and programs under the JJDP Act and providing for a state advisory group appointed by the chief executive of the state to carry out specified functions and to participate in the development and review of the State's juvenile justice plan, required under 42 USC 5633(a).

Technical assistance (42 USC 5631(b)): The JJDP Act provides that not in excess of two percent of the funds available each fiscal year to formula grants is available for grants and contracts with public and private agencies, organizations and individuals to provide assistance to states, units of general local governments, and combinations thereof, and local private agencies to facilitate compliance with Section 223 of the JJDP Act and implementation of the state plan approved by OJJDP.

Technical assistance and training: Currently, OJJDP is authorized by the annual DOJ appropriations act to allocate up to an additional five percent of Title II funding for training and technical assistance.

Research: Currently, OJJDP is authorized by the annual DOJ appropriations act to allocate up to 10 percent of Title II funding for research, evaluation, and statistics activities designed to benefit the formula grant program.

Applicant Eligibility:

For formula grants, the eligible applicants can be found at

<https://www.ojjdp.gov/statecontacts/resourcelist.asp>.

For the training and technical assistance and research projects, please refer to the program narrative.

Beneficiary Eligibility:

Units of a State and its local government, public and private organizations, Indian tribes performing law enforcement functions, and agencies involved in juvenile delinquency prevention, treatment, and rehabilitation.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program is eligible for coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs." The application of E.O. 12372 to formula grants is contingent upon whether the state has designated an entity to coordinate and review proposed federal financial assistance. See list of states that require this review at: https://www.whitehouse.gov/omb/grants_spoc. An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review.

E.O. 12372 does not apply to grants for technical assistance, training, research that is national in scope, or research that is not designed to benefit a particular state. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS. Specific application instructions for program announcements are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, or the OJJDP Administrator for research grants, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Formula grant applications (state plans) will generally be approved within 30 to 45 days of receipt of a complete application.

Technical assistance, training, and research grant applications will be subject to a peer review process and internal agency review. The total time will depend on the particular program narrative, but all awards will be made by September 30th of the fiscal year in which the program narrative is posted.

Appeals:

For statutory formula awards, see 28 CFR Part 18. There are no appeal rights for the denial of a discretionary application.

Renewals:

Formula grants: A comprehensive state plan must be submitted every three years, with annual updates required each of the other two years.

Technical assistance, training, and research grants: Continuation or supplemental funding will depend on the terms of the original program narrative for the program/project.

Formula and Matching Requirements:

Statutory Formula: 28 CFR Part 31.

Matching Requirements: Percent: 10%. State grantees may use no more than 10 percent of their formula grant allocation for planning and administration and they must match planning and administration funds dollar for dollar.

NO match requirement for technical assistance, training, or research grants. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Formula grant funds awarded in one fiscal year may be carried forward for obligation for two years subsequent to the fiscal year of award.

Technical assistance, training, research grants: Duration and continuation of funding will depend on the particular program narrative. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Formula Grant recipients are required to submit annual progress reports.

Recipients of technical assistance, training, and research grants are required to submit semi-annual progress and quarterly financial reports. All recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be retained for a period of 3 years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0405-0-1-754.

Obligations:

(Formula Grants) FY 16 \$50,736,897; FY 17 est \$54,500,000; and FY 18 est \$55,000,000

Range and Average of Financial Assistance:

Allocation of formula grants to States and territories are based on relative populations under the age of 18.

Amounts awarded for discretionary technical assistance, training, and research grants vary depending on the particular program or project being funded.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program narrative guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance

reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 CFR).

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, N.W., Washington, District of Columbia 20531 Phone: (202) 616-9135

Website Address:

<http://www.ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for formula grants are established by the Juvenile Justice and Delinquency Prevention Act, 42 USC 5631-5633, and the regulations governing the Formula Grant Program at 28 CFR Part 31, Subpart A.

Criteria for technical assistance grants pursuant to the JJDP Act can be found at 42 USC 5631(b) and in the specific program narrative for the program. Criteria for training, technical assistance, or research program/projects authorized pursuant to the DOJ appropriations acts can be found in the program narrative for the particular program.

16.541 PART E - DEVELOPING, TESTING AND DEMONSTRATING PROMISING NEW PROGRAMS

(Program Grants, Discretionary Grants and Contracts)

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 2002, Sections 261, 262, Public Law 93-415, as amended; Public Laws 95-509, 98-473, 100-690, and 102-586, 42 U.S.C. 5601 et seq.

OBJECTIVES:

To develop and implement programs that design, test, and demonstrate effective approaches, techniques and methods for preventing and controlling juvenile delinquency such as community based- alternatives to institutional confinement; developing and implementing effective means of diverting juveniles from the traditional juvenile justice system; programs stressing advocacy activities aimed at improving services to youth impacted by the juvenile justice system; model programs to strengthen and maintain the family unit including self-help programs; prevention and treatment programs relating to juveniles who commit serious crimes; programs to prevent hate crimes; programs to provide aftercare and reintegration services; programs to prevent youth gun and gang violence.

TYPES OF ASSISTANCE:

PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

To be eligible for an Award or contract under Part E, an applicant must: (1) respond to legislative requirements contained in Section 261 (a) and (b) of the JJDP Act, as amended as well as specific program guidelines issued by the Office of Juvenile Justice and Delinquency Prevention (OJJDP); (2) be consistent with the objectives and priorities of OJJDP; (3) provide for proper program administration, evaluation, and fiscal reporting; (4) demonstrate, in the overall quality of the proposal, that the program is technically sound and will achieve the required program objectives at the highest possible level; and (5)

respond to clear and documentable needs.

Applicant Eligibility:

Part E funds are available under the Juvenile Justice and Delinquency Prevention Act of 2002, as amended, to public and private nonprofit agencies, organizations, individuals, Indian Tribal government, State and local units of government, combinations of State or local units. (Section 263 of JJDP Act as Amended.)

Beneficiary Eligibility:

Public and private youth serving agencies/organizations, State and local units of government, combinations of such units, or other private agencies, organizations, institutions or individuals.

Credentials/Documentation:

Administration and cost appropriateness will be determined in accordance with the provisions of OMB circulars and government-wide common rules applicable to grants and cooperative agreements, including OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The applicant must submit an application electronically through the Office of Justice Programs' Grant Management System or grants.gov as directed by the program announcement. Applicants are expected to address each concern or requirement in the guidelines as clearly and specifically as possible, giving particular attention to goal and objective statements, methodology and data requirements. A peer review group is established as mandated in Section 262(d)(1)(A) of JJDP Act and applications are rated and ranked in relation to pre-defined selection criteria. This program is subject to the provisions of OMB Circular No. A-110 and the Common Rule.

Award Procedure:

Assistance awards and contracts are awarded directly to applicants Deadlines (094):
Published in program announcements or requests for proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 3 months.

Appeals:

Informal reconsideration by Administrator for assistance applicants, administrative hearings for assistance award terminations. See CFR Part 18, 50 FR 28199, July 11, 1985.

Renewals:

Continuation grant, supplemental award or contract modification.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Initial Awards usually are made for 12 to 36 months with further funding based upon the project period, grantee performance, and availability of funds. Drawdowns are possible under a Letter of Credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Semi-annual progress reports and quarterly financial reports are required. Quarterly financial reports are required. Semi-annual progress reports. Quarterly financial reports are required. Semi-annual progress reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All organizations that expend financial assistance of \$300,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for three years after the date of the final report.

Account Identification:

15-0405-0-1-754.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

None.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Developing, Testing and Demonstrating Promising New Programs awards are governed by the OJP Financial Guide which is available upon request.

Regional or Local Office:

None.

Headquarters Office:

Kellie J. Dressler, Child Protection Division, Office of Juvenile Justice and Delinquency Prevention, Department of Justice, Washington, District of Columbia 20531 Phone: (202) 514-4817.

Website Address:

<http://www.ojjdp.ncjrs.org>

RELATED PROGRAMS:

16.540 Juvenile Justice and Delinquency Prevention_Allocation to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are applied that are related to the particular program areas under which projects are funded. The criteria are published in the Federal Register as part of the individual program announcements. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy 28 CFR Part 34.

16.543 MISSING CHILDREN'S ASSISTANCE

Missing and Exploited Children (MEC) Program

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Title IV of the Juvenile Justice and Delinquency Prevention Act, The Missing Children's Assistance Act, 42 U.S.C. Section 5773-5776a; and the Protect Our Children Act of 2008, 18 USC Sec. 2258A - 2258E, 42 USC Sec. 17601, 17611 17617, 17631; and an act appropriating funds for the Department of Justice, 42 U.S.C 5775.

OBJECTIVES:

To coordinate Federal missing and exploited children activities and to support research, training, technical assistance, and demonstration programs to enhance the overall response to missing and exploited children and as well as their families. Establish and maintain a national resource center and clearinghouse dedicated to missing and exploited children issues that: (1) provides a toll-free hotline where citizens can report investigative leads and parents and other interested individuals can receive information concerning missing children; (2) provides technical assistance to parents, law enforcement, and other professionals working on missing and exploited children cases; (3) promotes information sharing and provides technical assistance by networking with regional nonprofit organizations, State missing children clearinghouses, and law enforcement agencies; (4) develops publications that contain practical, timely information; and (5) provides information regarding programs offering free or low-cost transportation services that assist in reuniting children with their families. On a periodic basis, as funding is designated by Congress for this purpose, conduct national incidence studies to determine the type and extent of missing children in America. Support law enforcement demonstration programs (e.g., the Internet Crimes Against Children Task Force Program) to enhance the investigative response to missing and exploited children cases. Support research to broaden understanding of a wide range of missing and exploited children issues (e.g., abduction homicide investigation solvability factors), to inform training and technical assistance efforts and to identify promising practices and programs for replication. Develop training programs for law enforcement, child protective services, medical personnel, and prosecutors to enhance coordination and effectiveness of missing and exploited children investigations and to enhance the overall system response. Identify service gaps and develop programs to meet specialized needs of parents or guardians of children who are reported missing.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

On the basis of a yearly appropriation and sufficient funds, the program pays for research, demonstration projects, or service programs designed (1) to educate parents, children, and community agencies and organizations in ways to prevent the abductions and sexual exploitation of children; (2) to provide information to assist in the locating and return of missing children; (3)

to aid communities in the collection of materials which would be useful to parents in assisting others in the identification of missing children; (4) to increase knowledge of and develop effective treatment pertaining to the psychological consequences, on both parents and children, of (a) the abduction of a child, both during the period of disappearance and after the child is recovered; and (b) the sexual exploitation of a missing child; (5) to collect detailed data from selected States or localities on the actual investigative practices utilized by law enforcement agencies in missing children's cases; (6) to address the particular needs of missing children by minimizing the negative impact of judicial and law enforcement procedures on children who are victims of abuse or sexual exploitation and by promoting the active participation of children and their families in cases involving abuse or sexual exploitation of children; (7) to address the needs of missing children and their families following the recovery of such children; and (8) reduce the likelihood that individuals under 18 years of age will be removed from the control of such individuals legal custodians without such custodians' consent; (9) and to establish or operate statewide clearinghouses to assist in recovering or locating missing children. Funds that support missing childrens program activities. Funds that support missing childrens program activities.

Applicant Eligibility:

Eligible applicants are limited to states (including territories), units of local government, federally recognized tribal governments as determined by the Secretary of the Interior, nonprofit and for-profit organizations (including tribal nonprofit and for-profit organizations), and institutions of higher education (including tribal institutions of higher education). For-profit organizations (as well as other recipients) must forgo any profit or management fee.

Beneficiary Eligibility:

State and local units of government, private nonprofit agencies, organizations, institutions or individuals.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. For specific application instructions see applicable program announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. For specific application instructions see applicable program announcement. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 6 months.

Appeals:

see 28 C.F.R. Part 18.

Renewals:

See applicable program announcement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

See applicable program announcement. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503. See Uniform Audit requirements in 2 C.F.R. Part 200 as adopted by D.O.J. Part 2800

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be retained for a period of 3 years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants) FY 16 \$68,422,848; FY 17 est \$67,000,000; and FY 18 est \$72,160,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

program authorization and Uniform Requirements in 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800.

Regional or Local Office:

None.

Headquarters Office:

Us. Department of Justice. Office of Justice Programs U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20531 Phone:
202-514-5533

Website Address:

<http://www.ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The National AMBER Alert Training and Technical Assistance Program, the National Center for Missing and Exploited Children and the Internet Crimes Against Children Task Force program. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Vary by program. Applications are judged according to their consistency with the policies and program priorities established by OJJDP and applicable laws.

16.544 YOUTH GANG PREVENTION

Gangs and youth violence prevention

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 1974, Sections 281 and 282, Public Law 93-415 as amended; and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

To prevent, intervene, and reduce the participation of juveniles in the activities of gangs that commit crimes. Such programs and activities may include: 1) individual, peer, family, and group counseling, including provision of life skills training and preparation for living independently, which shall include cooperation with social services, welfare, and health care programs; 2) education and social services designed to address the social and developmental needs of juveniles; 3) crisis intervention and counseling to juveniles, who are particularly at risk of gang involvement, and their families; 4) the organization

of the neighborhood and community groups to work closely with parents, schools, law enforcement, and other public and private agencies in the community; 5) supporting the coordination of existing comprehensive community-based anti-gang initiatives; and 6) training and assistance to adults who have significant relationships with juveniles who are or may become members of gangs, to assist such adults in providing constructive alternatives to participating in the activities of gangs. To develop within the juvenile adjudicatory and correctional systems new and innovative means to address the problems of juveniles convicted of serious drug-related and gang-related offenses. To provide treatment to juveniles who are members of such gangs, including members who are accused of committing a serious crime and members who have been adjudicated as being delinquent. To promote the involvement of juveniles in lawful activities in geographical areas in which gangs commit crimes. To promote and support, with the cooperation of community-based organizations experienced in providing services to juveniles engaged in gang-related activities and cooperation of local law enforcement agencies, the development of policies and activities in public elementary and secondary schools which will assist such schools in maintaining a safe environment conducive to learning. To assist juveniles who are or may become members of gangs to obtain appropriate educational instruction, in or outside a regular school program, including the provision of counseling and other services to promote and support the continued participation of such juveniles in such instructional programs. To expand the availability of prevention and treatment services relating to the illegal use of controlled substances and controlled substances analogues (as defined in paragraphs (6) and (32) of section 102 of the Controlled Substances Act (21 U.S.C. 802) by juveniles, provided through State and local health and social services agencies. To provide services to prevent juveniles from coming into contact with the juvenile justice system again as a result of gang-related activity. To provide services at a special location in a school or housing project. To facilitate coordination and cooperation among: 1) local education, juvenile justice, employment, law enforcement, and social service agencies; and 2) community-based programs with a proven record of effectively providing intervention services to juvenile gang members for the purpose of reducing the participation of juveniles in illegal gang activities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To be eligible for an award or contract, an applicant must: (1) respond to legislative requirements contained in Section 281A and 282A of the JJDP Act, as amended as well as specific program guidelines issued by the Office of Juvenile Justice and Delinquency Prevention (OJJDP); (2) be consistent with the objectives and priorities of OJJDP; (3) provide for adequate program administration, evaluation and fiscal reporting; (4) demonstrate, in the overall quality of the proposal, that the program is technically sound and will achieve the required program objectives at the highest possible level; and (5) respond to clear and documentable needs. Funds to be used to support gang violence reduction activities.

Applicant Eligibility:

Part D funds are available under the Juvenile Justice and Delinquency Prevention Act of 1974, as amended, to public or private nonprofit agencies, organizations or individuals.

Please contact program office for additional information.

Beneficiary Eligibility:

Contact program office for additional information.

Credentials/Documentation:

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The application of E.O. 12372 is contingent upon whether the state has designated an entity to coordinate and review proposed federal financial assistance. See list of states that require this at:

https://www.whitehouse.gov/omb/grants_spoc. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Appeals:

Determined by the Assistant Attorney General, OJP.

For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

Contact program office for renewal information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Initial awards usually are made for a period of 12 to 36 months with further funding based upon the project period and grantee performance and availability of funds. Drawdowns are possible under a Letter of Credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements.

Records:

See 2 C.F.R. . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0405-0-1-754.

Obligations:

(Formula Grants) FY 16 \$4,124,910; FY 17 est \$5,000,000; and FY 18 est \$5,000,000

Range and Average of Financial Assistance:

Varies.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011
ojp.gov/financial guide/DOJ/index.htm
and Post award Instructions
(www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Kellie Dressler U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20351 Phone: (202) 514-4817

Website Address:

<http://www.ojjdp.gov/>

RELATED PROGRAMS:

16.540 Juvenile Justice and Delinquency Prevention_Allocation to States;
16.541 Part E - Developing, Testing and Demonstrating Promising New Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are assessed according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are applied that are related to the particular program areas under which projects are funded. The criteria are published in the Federal Register as part of each program announcement. Applications may undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy 28 CFR Part 34.

16.548 TITLE V DELINQUENCY PREVENTION PROGRAM

Delinquency Prevention Program

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

The Incentive Grants for Local Delinquency Prevention Programs Act of 2002, and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

Title V focuses on reducing risks and enhancing protective factors to prevent youth at risk of becoming delinquent from entering the juvenile justice system and to intervene with first-time and nonserious offenders to keep them out of the juvenile justice system. The programs goal is to increase the availability

and types of prevention programs to improve juvenile justice systems (Section 504(a) of the JJDP Act). The objective of the program is to support both state and local prevention efforts. Each year, state grantees will provide information that OJJDP will include in its annual Title V report to Congress (Section 503(4) of the JJDP Act).

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

This program authorizes the Administrator to make grants to a State, to be transmitted through the State Advisory Group (SAG), to units of local government for delinquency prevention programming. Funds must support delinquency prevention activities.

Applicant Eligibility:

Contact program office for additional information.

Beneficiary Eligibility:

Contact program office for additional information.

Credentials/Documentation:

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E - Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. State applicants are submitted to OJJDP electronically following pre-established criteria.

Award Procedure:

After OJJDP awards grants to the States, the SAG, in consultation with the State Agency, awards subgrants to units of local government through a competitive process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

From 30 to 60 days. For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

Applications are invited annually. Fund availability will be determined by Congressional appropriation.

Formula and Matching Requirements:

Statutory Formula: The Incentive Grants for Local Delinquency Prevention Programs Act of 2002.

Matching Requirements: Percent: 50%. Formula based on population of youth under the maximum age of original juvenile court delinquency jurisdiction. State and/or units of local government must match Title V fund with a 50 percent cash or the value of in-kind contributions. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants to States will be awarded for a 36-month project period. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports, and additional reporting requirements listed in OJJDP guidelines for Title V. Recipients are required to

submit quarterly Financial Reports, and additional reporting requirements listed in OJJDP guidelines for Title V. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work; and, additional reporting requirements listed in OJJDP guidelines for Title V.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements.

Records:

See 2 C.F.R . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0405-0-1-754.

Obligations:

(Formula Grants) FY 16 \$9,014,278; FY 17 est \$14,500,000; and FY 18 est \$17,000,000

Range and Average of Financial Assistance:

Available in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for Formula Grants and the OJP Financial Guide applicable editions, and Title V guidelines issued by OJJDP.

www.ojp.gov/financial_guide/DOJ/index.htm

Regional or Local Office:

None.

Headquarters Office:

Office Of Justice Programs U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20531 Phone: (202) 616-9135

Website Address:

<http://www.ojdp.ncjrs.org/titleV>

RELATED PROGRAMS:

16.540 Juvenile Justice and Delinquency Prevention_Allocation to States;
16.541 Part E - Developing, Testing and Demonstrating Promising New Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Juvenile Justice and Delinquency Prevention Act, as amended, and the Guideline governing the Title V Grant Program provisions of the JJDP Act. OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.550 STATE JUSTICE STATISTICS PROGRAM FOR STATISTICAL ANALYSIS CENTERS

(SACs)

FEDERAL AGENCY:

Bureau of Justice Statistics, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended; 42 U.S.C. 3732.

OBJECTIVES:

To provide financial and technical assistance to state governments for the establishment and operation of Statistical Analysis Centers (SACs) to collect, analyze, and disseminate justice statistics.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To improve the administration of justice by encouraging the development of State-level capabilities for collecting, analyzing, utilizing, and disseminating statistical information pertaining to crime, criminal justice, sex offenses, incident based reporting, and for providing statistical information to the Federal Government for national compilations. To analyze particular criminal justice issues of current concern and significance to criminal justice practitioners, as identified by BJS in conjunction with other Office of Justice Programs components, the Justice Research and Statistics Association, and selected SAC Directors.

Applicant Eligibility:

Eligible applicants are state agencies whose responsibilities include statistical activities consistent with the goals of the specific programs and are designated as the state Statistical Analysis Center through an Executive Order or legislation.

Beneficiary Eligibility:

Eligible beneficiaries are state agencies whose responsibilities include statistical activities consistent with the goals of the specific programs.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, all of the requirements of the program solicitation, including details of the program budget composition, goals, impact, methods, evaluation plan, and resources of the project.

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

An award is granted by the Director of the Bureau of Justice Statistics and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement. Successful applicants are

notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines are included with the application instructions, which are posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Appeals:

Hearings by the Director.

Renewals:

Most awards are for periods of 12 months. Under some circumstances, subsequent awards may be made to continue a project.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants will be awarded for a 12 to 36 month project period . See the following for information on how assistance is awarded/released: Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post Award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>). See the following for information on how assistance is awarded/released: Please contact the program office for further information.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements

Records:

See 2 C.F.R . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0401-0-1-754.

Obligations:

(Cooperative Agreements) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs funding opportunities
<http://www.ojp.gov/funding/solicitations.htm>
Department of Justice Grants Financial Guide
(<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions

(<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Stephanie L. Burroughs U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Statistics
810 7th Street, NW, Washington, District of Columbia 20531 Email:
Stephanie.Burroughs@usdoj.gov Phone: (202) 307-0765

Website Address:

<http://www.bjs.gov/index.cfm?ty=tp&tipd=48>

RELATED PROGRAMS:

16.734 Special Data Collections and Statistical Studies

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the program announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.554 NATIONAL CRIMINAL HISTORY IMPROVEMENT PROGRAM (NCHIP)

FEDERAL AGENCY:

Bureau of Justice Statistics, Department of Justice

AUTHORIZATION:

Public Law 105-251 , the Crime Identification Technology Act of 1998 (codified at 42 U.S.C. 14601 et seq.); 42 U.S.C. 3732.

OBJECTIVES:

To enhance the quality and completeness of the nation's criminal history record systems; to provide financial and technical assistance to states and tribes for the establishment or improvement of computerized criminal history record systems and in their efforts to collect data on stalking and domestic violence; to improve data accessibility and support data transmissions to national systems will permit the immediate identification of persons who are prohibited from purchasing firearms, are subject to domestic violence protective orders, or are ineligible to hold positions of responsibility involving children, the elderly, or the disabled; to develop and improve the processes for identifying, classifying, collecting, and entering data regarding stalking and domestic violence into local, state, and national crime information databases; to ensure that criminal justice systems are designed, implemented, or upgraded to be compliant where applicable, with the FBI operated National Instant Criminal Background Check System and Interstate Automated Fingerprint Identification System, meet other applicable statewide or regional criminal justice information sharing standards and plans; and, build upon ongoing efforts so as to support the wide range of technology based, criminal justice information, identification, and communications needs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Allowable uses of funds are outlined in the NCHIP Program Announcement which are posted on the Office of Justice Programs web site (<https://ojp.gov/funding/index.htm>).

Applicant Eligibility:

Applicants are limited to the agency designated by the governor in each state to administer the NCHIP program, and federally recognized tribal entities. States and tribes may choose to submit applications as part of a multi-state consortium, multi-tribe consortium, or other entity. In such cases, please contact your BJS program manager for further information.

Beneficiary Eligibility:

Funds awarded to the state or tribe may be allocated for use in state or

local/tribal agencies or the courts, but should have an impact on national record systems. Private organizations may receive funds under contract arrangements with a state/tribal agency or its subgrantees to which NCHIP funds are allocated by the state/tribe.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, all of the requirements listed in the solicitation, including a detailed budget, a budget narrative, and a program narrative including the accomplishments, goals, impact, methods, evaluation plan, and resources of the project.

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

An award is granted by the Director of the Bureau of Justice Statistics and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement. Successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Hearings by the Director.

Renewals:

Awards may be for up to 18 months.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 10%. Provision of a 10 percent cash or in-kind match by the recipient is required. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project duration period will be for up to 18 months. There are three methods for requesting payment of grant funds: the Letter of Credit Electronic Certification

System (LOCES), the Phone Activated Paperless Request System (PAPRS) and the Automated Standard Application for Payment (ASAP). See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements

Records:

See 2 C.F.R . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0401-0-1-754; 15-0404-0-1-754.

Obligations:

(Project Grants) FY 16 \$33,965,029; FY 17 est \$48,000,000; and FY 18 est \$53,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: See BJS website https://www.bjs.gov/index.cfm?ty=tp&tid=47#state_by_state. Fiscal Year 2017: No current data available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Devon B. Adams, U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Statistics
810 7th Street, NW
, Washington, District of Columbia 20531 Email: Devon.Adams@usdoj.gov
Phone: (202) 307-0765.

Website Address:

<http://www.bjs.gov>

RELATED PROGRAMS:

16.813 NICS Act Record Improvement Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No current data available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.556 STATE DOMESTIC VIOLENCE AND SEXUAL ASSAULT COALITIONS

State Coalitions Program

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Sections 2001(c), 2007(b)(2)-(3), 42 U.S.C. 3796gg(c); Violence Against Women and Department of Justice Reauthorization Act of 2005, Title I, Section 101, Public Law 109-162, 42 U.S.C. 14043g(d), as amended; Violence Against Women and Department of Justice Reauthorization Act of 2013, Public Law 113-4.

OBJECTIVES:

To coordinate State victim services activities and collaborate and coordinate with Federal, State, and local entities engaged in violence against women activities.

TYPES OF ASSISTANCE:

Project Grants (with Formula Distribution)

USES AND USE RESTRICTIONS:

To coordinate State victim services activities and collaborate and coordinate with Federal, State, and local entities engaged in violence against women activities.

Applicant Eligibility:

Each State domestic violence coalition as determined by the Secretary of Health and Human Services under the Family Violence Prevention and Services Act and each State sexual assault coalition as determined by the Center for Injury Prevention and Control at the Centers for Disease Control and Prevention under the Public Health Service Act.

Beneficiary Eligibility:

State domestic violence and sexual assault coalitions.

Credentials/Documentation:

Specific criteria for the program are provided in an annual solicitation available at www.justice.gov/OVW. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply through the Office of Justice Programs' Grants Management System (GMS). The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Applications are submitted on an annual basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Each eligible entity receives 1/112 of the funding available for this program (except that dual domestic violence and sexual assault coalitions receive 2 shares). Sexual assault coalitions receive an additional share of funds available under 42 U.S.C. 14043g(d). Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal dollars by contributing to the costs of their projects. Supplemental contributions may be cash, in-kind services, or a combination of both.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 12 months. Funds are released on an as-needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Formula Grants) FY 16 \$13,413,792; FY 17 est \$13,391,656; and FY 18 est \$13,390,000

Range and Average of Financial Assistance:

State DV Coalitions:

Range: \$91,443-\$91,443

Average: \$91,443

State SA Coalitions:

Range: \$147,693-\$147,693

Average: \$147,693.

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St. NE, Suite 10W121, Washington, District of Columbia
20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program; 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office of Violence Against Women and included in an annual solicitation.

16.557 TRIBAL DOMESTIC VIOLENCE AND SEXUAL ASSAULT COALITIONS GRANT PROGRAM

Tribal Coalitions

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Sections 2001(c), 2007(b)(2)-(3), 42 U.S.C. 37966gg(d); Violence Against Women and Department of Justice Reauthorization Act of 2005, Title I, Section 101, Public Law 109-162, 42 U.S.C. 14043g(d), as amended; Violence Against Women and Department of Justice Reauthorization Act of 2013, Public Law 113-4.

OBJECTIVES:

To increase awareness of domestic violence and sexual assault against American Indian and Alaska Native women; enhancing the response to violence against American Indian and Alaska Native women at the tribal, Federal, and State levels; identifying and providing technical assistance to coalition membership and tribal communities to enhance access to essential services to American Indian women victimized by domestic and sexual violence; and assisting tribes in developing and promoting state, local, and tribal legislation and policies that enhance best practices for responding to violent crimes against Indian women.

TYPES OF ASSISTANCE:

Project Grants (with Formula Distribution)

USES AND USE RESTRICTIONS:

Funds may be used for the following statutory program purpose areas: (A) increasing awareness of domestic violence and sexual assault against American Indian and Alaska Native women; (B) enhancing the response to violence against American Indian and Alaska Native women at the tribal, Federal, and State levels; (C) identifying and providing technical assistance to coalition membership and tribal communities to enhance access to essential services to American Indian women victimized by domestic and sexual violence; and (D) assisting tribes in developing and promoting state, local, and tribal legislation and policies that enhance best practices for responding to violent crimes against Indian women.

Applicant Eligibility:

Tribal coalitions that meet the criteria of a tribal coalition under 24 U.S.C. 13925(a), provide services to Indian tribes, and are recognized by the Office on Violence Against Women.

Beneficiary Eligibility:

Tribal sexual assault and domestic violence coalitions.

Credentials/Documentation:

Non-profit organizations must be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code. All such applicants are required to submit a determination letter from the Internal Revenue Service recognizing their tax-exempt status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply through the Office of Justice Programs Grants Management System (GMS) portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified before the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Applications are submitted on an annual basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing the costs of their project. Supplemental contributions may be cash, in-kind services, or a combination of both. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 12 months. Funds are released on an as-needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records

have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants) FY 16 \$5,641,616; FY 17 est \$5,724,144; and FY 18 est \$5,724,000

Range and Average of Financial Assistance:

\$298,564-\$318,008.

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St.NE, Suite 10W121, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program; 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual solicitation. Each recognized coalition that qualifies for funding will receive an equal share.

16.560 NATIONAL INSTITUTE OF JUSTICE RESEARCH, EVALUATION, AND DEVELOPMENT PROJECT GRANTS FEDERAL AGENCY:

National Institute of Justice, Department of Justice

AUTHORIZATION:

Title I of the Omnibus Crime Control and Safe Streets Act of 1968, Part A, Sections 201-202, Pub. L. No 90-351, 42 USC 3722, Title II, Subtitle D of the Homeland Security Act of 2002, Sections 231-233 and 235, Pub. L. 107-296, 6 USC 161 - 6 USC 165; and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

To encourage and support research, development, and evaluation to further understanding of the causes and correlates of crime and violence, methods of crime prevention and control, and criminal justice system responses to crime and violence and contribute to the improvement of the criminal justice system and its responses to crime, violence, and delinquency.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funds may be used to conduct research and development pertaining to the above objectives, including the development of new or improved approaches, techniques, systems, and technologies and to carry out programs of research on the causes of crime and means of preventing crime, and to evaluate criminal justice programs and procedures, and responses to crime, violence, and

delinquency.

Applicant Eligibility:

The National Institute of Justice (NIJ) is authorized to make grants to, or enter into contracts or cooperative agreements with State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, nonprofit organizations, institutions of higher education, and qualified individuals. Applicants from the Territories of the United States and federally recognized Indian Tribal Governments are also eligible to participate in this program. Federal agencies are eligible to apply for funding under this solicitation. If an award is made to a Federal agency, it will be through an Interagency Agreement (IAA) with the National Institute of Justice, Office of Justice Programs, Department of Justice.

Beneficiary Eligibility:

State and local governments; private nonprofit organizations, public nonprofit organizations, profit organizations, nonprofit organizations, institutions of higher education, and qualified individuals.

Credentials/Documentation:

The applicant must furnish, along with the application for a grant, cooperative agreement or contract, resumes of principal investigator and key personnel, details of the budget composition, goals, impact, methods, evaluation, schedule and resources of the project.

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. General information is provided in individual program solicitations, which are obtained by accessing the web site at www.ojp.usdoj.gov/nij, sending a self-addressed mailing label to NCJRS, Box 6000, Rockville, MD 20849-6000; or calling toll free to request a copy. Telephone: (800) 851-3420. This program is subject to the provisions of OMB Circular No. A-110 or the Common Rule, where applicable. The Office of Justice Programs (OJP) requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>. On-line submission of an application represents legal binding acceptance of the terms of the application. For further information about GMS, call the OJP GMS Hotline at (1-888) 549-9901.

Award Procedure:

All applications are reviewed and evaluated by program managers for compatibility with the needs of the Institute's research plan, on-going or prior studies, and the particular promise of the proposal itself. The Institute uses external peer review processes, in addition to NIJ program manager review, in order to weigh each application in terms of proposed methodology, economy, and other criteria bearing on the technical merit of the proposal. The Institute Director makes final award decisions, incorporating the recommendations of the relevant NIJ staff and management-level personnel and external peer reviewers.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days for concept papers and 180 days for full proposals.

Appeals:

For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

Please contact the program office for more information.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula requirement. However, the Institute shall require, whenever feasible, as a condition of approval of a grant or contract that the recipient contribute money, facilities, or services to carry out the purpose for which the grant or contract is sought.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project durations are normally up to 24 months but longer awards are possible. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements.

Records:

See 2 C.F.R. . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0401-0-1-754.

Obligations:

(Project Grants) FY 16 \$0; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants and funding information are available free from the National Criminal Justice Reference Service, Box 6000, Rockville, MD 20849-6000. Telephone: (800) 851-3420. It is also available electronically through the NCJRS web site at www.ncjrs.org or through the NIJ website under "Funding Opportunities" at www.ojp.usdoj.gov/nij.

Regional or Local Office:

None.

Headquarters Office:

NIJ, U.S. Department of Justice
Office of Justice Programs
National Institute of Justice
810 7th Street, N.W., Washington, District of Columbia 20531 Phone: (202) 307-6394.

Website Address:

<http://www.ojp.usdoj.gov/nij>.

RELATED PROGRAMS:

16.562 Criminal Justice Research and Development_Graduate Research

Fellowships; 16.566 National Institute of Justice W.E.B. DuBois Fellowship Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated according to the criteria specified in the program solicitation. With few exceptions, all proposals are reviewed competitively by a peer review panel consisting of outside experts in the field for technical quality and the general merits of the problems addressed. The Institute uses the peer review process to ensure fair and knowledgeable evaluation of preapplications and proposals. Program solicitations are announced in the Federal Register and advertised on the Institute's website at www.ojp.usdoj.gov/nij.

16.562 CRIMINAL JUSTICE RESEARCH AND DEVELOPMENT GRADUATE RESEARCH FELLOWSHIPS

Graduate Research Fellowship Program

FEDERAL AGENCY:

National Institute of Justice, Department of Justice

AUTHORIZATION:

Title I of the Omnibus Crime Control and Safe Streets Act of 1968, Part A, Sections 201-202, Pub.L. No. 90-351, 42 USC 3721 - 42 USC 3722; Title II, Subtitle D of the Homeland Security Act of 2002, Sections 231-233 and 235, Pub. L. 107-296, 6 USC 161 - 6 USC 163 and 6 USC 165; and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

NIJs Graduate Research Fellowship (GRF) program provides awards to accredited universities for the support of doctoral students engaged in research relevant to ensuring public safety, preventing and controlling crime, and ensuring the fair and impartial administration of criminal justice in the United States. The GRF Program has two tracks: Social and Behavioral Sciences; and Science, Technology, Engineering and Mathematics (STEM). This fellowship program furthers the Departments mission by sponsoring research to provide objective, independent, evidence-based knowledge and tools to meet the challenges of crime and justice, particularly at the state and local levels.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The program supports academic institutions sponsoring students engaged in doctoral research. Award funds may be used to cover a students stipend and fringe benefits, tuition and fees, and other allowable research expenses, as specified in the specific GRF Program solicitation. Award funds cannot be used to pay for direct or indirect administrative expenses of the applicant educational institution.

Applicant Eligibility:

Degree-granting academic institutions in the United States. The institution must be fully accredited by one of the regional institutional accreditation agencies recognized by the U.S. Secretary of Education.

Beneficiary Eligibility:

The ultimate beneficiaries of this program are graduate students engaged in research relevant to criminal justice, forensic science, and public safety.

Credentials/Documentation:

Interested applicants are encouraged to review the current solicitation for the specific GRF Program track for information about required documents.

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the method prescribed in the solicitation. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Grants are awarded by the Director of the National Institute of Justice. NIJ makes awards on a competitive basis. Notification of an award will be transmitted electronically to the submitting organization by the Grants Management System.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 180 days. Deadlines are included with the application instructions, which are posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Appeals:

There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

GRF-STEM Fellowships may be supplemented for up to 36 months of total support, pending demonstration of adequate progress. See specific solicitation for details of renewal eligibility.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Initial grants typically provide 12 months of support. GRF-STEM fellowships may be supplemented for up to 36 months of total support, pending demonstration of adequate progress. The total period of an award will not exceed five years. Applicants should refer to the specific solicitation for details about initial and total grant periods. See the following for information on how assistance is awarded/released: Funds are awarded in the form of a grant. See the Department of Justice Grants Financial Guide for more information. (<http://ojp.gov/financialguide/DOJ/index.htm>).

Reports:

No program reports are required. No cash reports are required. Progress reports are required. Additionally, an electronic copy of the graduate fellows approved, defended dissertation is required upon completion. Quarterly financial reports are required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements.

Records:

See 2 C.F.R. . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0401-0-1-754.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately

Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Maximum award amounts: \$32,000 for GRF-SBS; \$50,000/yr. for GRF-STEM. See current solicitation for current award maximum.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Electronic versions of both GRF solicitations can be found on NIJs website at www.nij.gov/funding/fellowships.

Regional or Local Office:

None. Email: GRF@usdoj.gov (Social and Behavioral Sciences) or GRF-STEM@usdoj.gov (Science, Technology, Engineering and Mathematics). Phone: (202) 307-2942.

Headquarters Office:

NIJ National Institute of Justice
810 7th Street, NW, Washington, District of Columbia 20531 Phone: (202) 307-2942

Website Address:

<http://www.nij.gov>

RELATED PROGRAMS:

16.566 National Institute of Justice Research, Evaluation, and Development Project Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The scientific merit of the proposed research and its relevance to criminal justice policy and practice in the United States are assessed by external peer reviewers, according to these selection criteria: 1) Statement of the Problem; 2) Project Design and Implementation; 3) Potential Impact; and 4) Capabilities and Competencies.

All final award decisions will be made by the Director of the National Institute of Justice. Peer reviewers ratings and any resulting recommendations are advisory only, although their views are considered carefully. In addition to peer review ratings, considerations for award recommendations and decisions may include, but are not limited to, planned scholarly products, proposed budgets, past performance (including scholarly products) under prior NIJ and OJP awards, research independence and integrity, strategic priorities, and available funding when making awards.

16.566 NATIONAL INSTITUTE OF JUSTICE W.E.B. DUBOIS FELLOWSHIP PROGRAM

W.E.B. Du Bois Program of Research on Race and Crime

FEDERAL AGENCY:

National Institute of Justice, Department of Justice

AUTHORIZATION:

Title I of the Omnibus Crime Control and Safe Streets Act of 1968, Part A, Sections 201-202, Pub. L. No. 90-351, 42 USC 3721 - 42 USC 3722 and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

The primary objective of NIJs W.E.B. Du Bois Fellowship Programs is to advance the field of knowledge regarding the confluence of crime, justice, and culture in various societal contexts. The secondary objective is to provide early career researchers an opportunity to elevate independently generated research and ideas to the level of national discussion.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

NIJ funds investigator-initiated proposals to conduct research on topics linked

to race and crime in violence and victimization, crime and prevention, and justice systems (law enforcement, courts, or corrections). Funding categories include: 1) W.E.B. Du Bois Scholars who are advanced in their careers; and 2) W.E.B. Du Bois Fellows who are early in their careers. Applicants may propose to serve a period of the grant in residence at NIJ; however, residency at NIJ is not required.

Applicant Eligibility:

Fellowship grants are awarded to individuals or to their parent agencies or organizations. IPA appointments also may be negotiated with Fellows' parent agencies. Generally, researchers and academicians working in the criminal justice field, including university or college-based academic researchers and upper-level managers in criminal justice agencies are eligible.

Beneficiary Eligibility:

Generally, researchers and academicians with research experience in criminal-justice or criminal-justice relevant fields are eligible for grants; those working for law enforcement related branches of State or local government units are eligible for grants or IPA appointments. Each prospective candidate must possess a terminal degree in their respective field.

Credentials/Documentation:

Basic minimum requirements for applications are: program narrative, budget detail worksheet and narrative, and resumes/curriculum vitae of key personnel.

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Detailed information is provided in a program solicitation which is obtained by sending a self-addressed mailing label to NCJRS, Box 6000, Rockville, MD 20849-6000; calling toll free to request a copy. Telephone: (800) 851-3420; or accessing the web site at www.ojp.usdoj.gov/nij under "Funding Opportunities." This program is subject to the provisions of OMB Circular No. A-110 or the Common Rule, where applicable.

Award Procedure:

Applications that are responsive to NIJs research solicitation and meet basic minimum requirements are evaluated by peer reviewers and practice/policy subject matter experts using criteria stated in the solicitation. All final award decisions are made by the NIJ Director, and considerations may include, but are not limited to, budget, past performance, research independence and integrity, strategic priorities, and available funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Up to 180 days for full proposals.

Appeals:

There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

Please contact program office for more information.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The total period of an award, including one that receives a funding supplement

or a no-cost extension, ordinarily will not exceed 36 months for category 1) W.E.B. Du Bois Scholars, or 24 months for category 2) W.E.B. Du Bois Fellows. See the following for information on how assistance is awarded/released: Lump sum Grants awards are made in full; however recipients may not obligate, expend, or draw down funds until human subjects protections and privacy certificate requirements are satisfied. Also, a portion of the funds are withheld pending the delivery of written, data and other work products stipulated in the research solicitation or award document.

Reports:

Program reports are not applicable. Cash reports are not applicable. Reports consisting of bi-annual progress reports; and a final report including a summary are required. Other reports may be requested. Fiscal report consisting of quarterly expenditures and budget expenditure reports are required. Performance measure data, as stipulated in the solicitation, are required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements.

Records:

See 2 C.F.R. . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0401-0-1-754.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program was successful in funding seven grant awards in FY2016, and releasing an FY2017 research solicitation with a focus on two priority areas: homicide and other violence in minority communities; and criminal court research including screening/assessment and sentencing reform.

In FY2016, NIJ funded seven grants ranging from \$99,517 to \$292,979, for a total of \$1,157,061 or \$176,178 on average. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants may obtain program information by accessing the Institute's web site at www.ojp.usdoj.gov/nij under "Funding Opportunities" or calling NCJRS toll free. Telephone: (800) 851-3420.

Regional or Local Office:

None.

Headquarters Office:

NIJ, U.S. Department of Justice
Office of Justice Programs
National Institute of Justice
810 7th Street, N.W., Washington, District of Columbia 20531 Phone: (202) 307-2942.

Website Address:

<http://nij.gov/funding/fellowships/dubois-fellowship/Pages/welcome.aspx>

RELATED PROGRAMS:

16.562 Criminal Justice Research and Development_Graduate Research

Fellowships

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Application review criteria include: understanding the problem and its importance; quality and technical merit; potential impact; research staff and organization capabilities and competencies; and dissemination plans.

16.571 PUBLIC SAFETY OFFICERS' BENEFITS PROGRAM (PSOB)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Public Safety Officers' Benefits Act of 1976, codified at 34 U.S.C. 10281 10288 (as reclassified effective September 1, 2017), formerly classified to sections 3796 3796c-3 of Title 42, The Public Health and Welfare.

OBJECTIVES:

To provide death benefits to the eligible survivors of federal, state, or local public safety officers whose deaths are the direct and proximate result of a personal (traumatic) injury sustained in the line of duty. Effective November 29, 1990, the Act also provides the same benefit to a public safety officer who has been permanently and totally disabled as the direct result of a catastrophic personal injury sustained in the line of duty. The injury must permanently prevent the officer from performing any gainful work.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

To provide a one-time financial death benefit to the eligible survivors of federal, state, local, and tribal public safety officers, and disability benefit to the federal, state, local, or tribal public safety officer whose permanent and total disability is the direct and proximate result of a catastrophic personal injury sustained in the line of duty. There are no restrictions to how the monies are spent once they reach the recipient with the exception that monies paid on behalf of minor children must be used for their care and benefit.

Applicant Eligibility:

Public safety officer means--

(A) an individual serving a public agency in an official capacity, with or without compensation, as a law enforcement officer, as a firefighter, or as a chaplain;

(B) an employee of the Federal Emergency Management Agency who is performing official duties of the Agency in an area, if those official duties (i) are related to a major disaster or emergency that has been, or is later, declared to exist with respect to the area under the Robert T. Stafford Disaster Relief and Emergency Assistance Act; and (ii) are determined by the Administrator of the Federal Emergency Management Agency to be hazardous duties;

(C) an employee of a State, local, or tribal emergency management or civil defense agency who is performing official duties in cooperation with the Federal Emergency Management Agency in an area, if those official duties (i) are related to a major disaster or emergency that has been, or is later, declared to exist with respect to the area under the Robert T. Stafford Disaster Relief and Emergency Assistance Act; and (ii) are determined by the head of the agency to be hazardous duties; or

(D) a member of a rescue squad or ambulance crew who, as authorized or licensed by law and by the applicable agency or entity, is engaging in rescue activity or in the provision of emergency medical services.

Disabled public safety officers and eligible survivors of deceased public safety officers in the District of Columbia, Puerto Rico, Guam, Virgin Islands, American Samoa, the Pacific Trust Territories and the Northern Mariana Islands are also eligible for benefits under the Act. Death benefit coverage for

(1) state and local law enforcement officers and firefighters applies to deaths occurring on or after September 29, 1976; (2) federal law enforcement officers and firefighters applies to deaths occurring on or after October 12, 1984; (3) federal, state, and local rescue squad and ambulance crew members applies to death occurring on or after October 15, 1986; (4) members of certain nonprofit rescue squads and ambulance crews applies to deaths occurring on or after June 1, 2009; and (5) Federal Emergency Management Agency (FEMA) personnel and state, local, and tribal emergency management and civil defense agency employees applies to deaths occurring on or after October 30, 2000. Disability benefit coverage for federal, state, and local law enforcement officers, firefighters and members of public rescue squads and ambulance crews applies to injuries sustained on or after November 29, 1990. FEMA personnel and state, local, and tribal emergency management and civil defense agency employees are covered for such injuries sustained on or after October 30, 2000. Disability benefit coverage for federal, state, and local law enforcement officers, firefighters and members of public rescue squads and ambulance crews applies to injuries sustained on or after November 29, 1990. FEMA personnel and state, local, and tribal emergency management and civil defense agency employees are covered for such injuries sustained on or after October 30, 2000 and Chaplains as of September 11, 2001.

As of January 2, 2013, disability benefit coverage for members of public agency and certain nonprofit rescue squads and ambulance crews applies to injuries sustained on or after June 1, 2009; for injuries sustained between June 29, 1990, and June 1, 2009, disability benefit coverage extends only to members of public agency rescue squads and ambulance crews.

Beneficiary Eligibility:

The Public Safety Officers' Benefits (PSOB) Act, enacted in 1976, was designed to offer peace of mind to men and women seeking careers in public safety and to make a strong statement about the value American society places on the contributions of those who serve their communities in potentially dangerous circumstances. The PSOB Program provides a one-time financial benefit to the eligible survivors of public safety officers whose deaths are the direct and proximate result of a traumatic injury sustained in the line of duty.

The benefit is determined by the date of the fatal or totally and permanently disabling injury and is adjusted annually for inflation. A table with the annually adjusted amounts is contained here:

https://www.pso.gov/benefits_by_year.html.

Credentials/Documentation:

Claim forms entitled "Claim for Death Benefits" and "Report of Public Safety Officer's Death" or "Report of Public Safety Officers' Permanent and Total Disability," and supporting claimant, medical and investigative documentation. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Employing agencies and/or claimants should initiate a claim via the online system at www.pso.gov, or by emailing, telephoning, or writing to the Public Safety Officers' Benefits Program, Bureau of Justice Assistance, 810 7th Street NW, Washington, DC 20531. Telephone: (1-888) 744-6513. Upon receiving such notification, program staff will provide claim forms and advise the employing agency and claimant of claim documentation requirements. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Submit "Claim for Death Benefits" and "Report of Public Safety Officer's Death" or "Report of Public Safety Officers' Permanent and Total Disability," and supporting documentation to the Public Safety Officers' Benefits Office, Bureau of Justice Assistance, 810 7th Street NW, Washington, DC 20531.

Award Procedure:

Upon a finding of eligibility by the Bureau of Justice Assistance, eligible claimants receive a lump sum benefit. For Death Benefit: If the officer is survived by spouse, but there are no eligible children, the spouse will receive

100% of the benefit. If the officer is survived by spouse and eligible children, the spouse will receive 50% of the benefit and the children will receive equal shares of the remaining 50%. If the officer is survived by eligible children but no spouse, the children will receive equal shares of the benefit. If the officer is survived by neither a spouse nor eligible children and did not have a PSOB designee or life insurance policy on file with his or her employing agency, then the benefit will be made payable to the surviving parents in equal shares. If there are no surviving parents, then children who would be eligible but for age will receive the benefit in equal shares.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

This varies from case-to-case, dependent on circumstances of death, sufficiency of claim documentation, and/or the need for expert medical reviews.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Not Applicable.

Account Identification:

15-0403-0-1-754.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$13,770,525; FY 17 est \$16,300,000; and FY 18 est \$16,300,000

Range and Average of Financial Assistance:

None.

TAFS Codes:

15-0403.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For specific information pertaining to the regulations, guidelines and literature, please visit the OJP BJA PSOB website at <https://www.psob.gov/index.html>

Regional or Local Office:

None.

Headquarters Office:

Hope Janke Office of Justice Programs
Bureau of Justice Assistance
Public Safety Officers' Benefits Office
810 7th Street, NW, Washington, District of Columbia 20531 Email:
AskPSOB@usdoj.gov Phone: 1-888-744-6513

Website Address:

<http://www.psob.gov>

RELATED PROGRAMS:

16.575 Crime Victim Assistance; 16.576 Crime Victim Compensation; 16.615 Public Safety Officers' Educational Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.575 CRIME VICTIM ASSISTANCE

FEDERAL AGENCY:

Office for Victims of Crime, Department of Justice

AUTHORIZATION:

42 U.S.C. 10603(a); and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

The Office for Victims of Crime provides an annual grant from the Crime Victims Fund to each State and eligible territory for the financial support of services to crime victims by eligible crime victim assistance programs.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The Office for Victims of Crime provides an annual grant from the Crime Victims Fund to each State and eligible territory for the financial support of services to crime victims by eligible crime victim assistance programs. See 42 U.S.C. 10603(a) and (b), and the program guidelines available on the OVC website at www.ovc.gov.

Applicant Eligibility:

All states, the District of Columbia, the Puerto Rico, the U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands are eligible to receive an annual VOCA victim assistance formula grant. Eligible agencies that receive VOCA victim assistance formula grant funds must meet the eligibility requirements specified in the Victims of Crime Act (VOCA), 42 U.S.C. 10603 (b).

Beneficiary Eligibility:

Eligible crime victim assistance programs.

Credentials/Documentation:

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at www.ovc.gov.

Award Procedure:

The Director, Office for Victims of Crime, Office of Justice Programs has final approval authority. Once an award is granted to a State, the State will subgrant the monies to private nonprofit and/or public agencies for the purpose specified in the application and in conformance with all general and special conditions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 4 weeks.

Appeals:

For Formula awards, please see 28 CFR Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see 28 CFR Part 18.

Renewals:

Program office to complete.

Formula and Matching Requirements:

Statutory Formula: Awards will be made on the basis of population with a base amount of \$500,000 for States; \$200,000 for the territories of N. Mariana Islands, Guam, and American Samoa. Fund availability may necessitate a base change.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

States have the year of award plus 3 years to expend the funds. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

No program reports are required. No cash reports are required. No program reports are required. No cash reports are required. The State will provide relevant data by submitting quarterly performance metrics through OVCs online Performance Measurement Tool (PMT), located at <https://ovcpmt.ojp.gov/>. Quarterly, and Final Financial Reports are required. States must adhere to the reporting requirements as stipulated in the Victim Assistance program rule and the OJP Financial Guide. Quarterly, Final Financial Reports and Annual Program Performance reports will be required as stipulated in the Program Guidelines/Rules and the OJP Financial Guide. Annual Program Performance reports will be required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements.

Records:

See 2 C.F.R . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-5041-0-2-754.

Obligations:

(Formula Grants) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Base of \$500,000 to each State, Commonwealth, and the District of Columbia. Territories of Northern Mariana Islands, Guam, and American Samoa receive a base of \$200,000. Remaining dollars will be divided based on population.

TAFS Codes:

15-5041.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Guidelines/Rules are published in the Federal Register. The OJP Financial Guide is available at ojp.gov/financialguide/DOJ/index.htm, or call the Office of the Chief Financial Officer, Customer Service Center at 1-800-458-0786 to request a copy.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs Domestic and International Victim Assistance Division, Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, District of Columbia 20531 Phone: (202) 307-5983.

Website Address:

<http://www.ojp.usdoj.gov/ovcl/>.

RELATED PROGRAMS:

16.576 Crime Victim Compensation

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are specifically spelled out in the Victims of Crime Act of 1984, as amended. Additional criteria will be developed by the Office for Victims of Crime. These criteria are listed on the OVC web page www.ojp.usdoj.gov.

16.576 CRIME VICTIM COMPENSATION**FEDERAL AGENCY:**

Office for Victims of Crime, Department of Justice

AUTHORIZATION:

42 U.S.C. 10602 (a); and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

The Office for Victims of Crime (OVC), Department of Justice, administers the Crime Victim Compensation formula grant program. OVC annually awards a grant to each state, the District of Columbia, the U.S. Virgin Islands, and the Commonwealth of Puerto Rico to support state crime victim compensation programs. These programs help pay for some of the expenses resulting from crimes involving violence or abuse.

TYPES OF ASSISTANCE:**FORMULA GRANTS****USES AND USE RESTRICTIONS:**

Funds under this program shall be used by the States for awards of compensation benefits to crime victims, with the following exception: States may retain up to 5 percent of their total grant for administrative and training purposes. Formula grant funds to an eligible State crime victim compensation program is based on 60 percent of the amounts States expended during the fiscal year preceding the year of collections for the Crime Victims Fund, other than amounts awarded for property damage. If the sums available in the Fund for grants under this program are insufficient to provide grants of 60 percent as provided above, grants from the sums available will be made to each eligible crime victim compensation program so that all such programs receive the same percentage of the amounts awarded by such programs during the preceding fiscal year, other than amounts awarded for property damage. A crime victim compensation program is an eligible crime victim compensation program if (1) such program is operated by a State and offers compensation to victims and survivors of victims of criminal violence, including drunk driving and domestic violence for: (A) medical expenses attributable to a physical injury resulting from a compensable crime, including expenses for mental health counseling and care; (B) loss of wages attributable to a physical injury resulting from a compensable crime; and (C) funeral expenses attributable to a death resulting from a compensable crime; (2) such program promotes victim cooperation with the reasonable requests of law enforcement authorities; (3) such State, possession or territory certifies that grants received under this program will not be used to supplant funds otherwise available to provide crime victim compensation; (4) such program, as to compensable crimes occurring within the State, possession or territory, makes compensation awards to victims who are nonresidents of the State, possession or territory on the basis of the same criteria used to make awards to victims who are residents of the State, possession or territory; (5) such program provides compensation to victims of Federal crimes occurring within the State on the same basis that such program provides compensation to victims of State crimes; (6) such program does not, except pursuant to rules issued by the program to prevent unjust enrichment of the offender, deny compensation to any victim because of that victim's familial relationship to the offender, or because of the sharing of a residence by the victim and the offender; (7) such program does not provide compensation to any person who has been convicted of an offense under Federal law with respect to any time period during which the person is delinquent in paying a fine, other monetary penalty, or restitution imposed for the offense; and (8) such program provides such other information and assurances related to the purposes of this section as the Director may reasonably require. Any amount of crime victim compensation that the applicant receives through a crime victim compensation program under this section shall not be included in the income of the applicant until the total amount of assistance that the applicant receives

from all such programs is sufficient to fully compensate the applicant for losses suffered as a result of the crime. As used in this section: (1) the term "property damage" does not include damage to prosthetic devices, eyeglasses or other corrective lenses, or dental devices; (2) the term "medical expenses" includes, to the extent provided under the eligible crime victim compensation program, expenses for eyeglasses and other corrective lenses, for dental services and devices and prosthetic devices, and for services rendered in accordance with a method of healing recognized by the law of the State; (3) the term "compensable crime" means a crime the victims of which are eligible for compensation under the eligible crime victim compensation program, and includes crimes involving driving while intoxicated and domestic violence; and (4) the term "State" includes the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, and any other possession or territory of the United States.

Applicant Eligibility:

States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, and any other possession or territory of the United States who have an established eligible crime victim compensation program, and who meet the eligibility requirements discussed above.

Beneficiary Eligibility:

Victims of crime that results in death or physical or personal injury and are determined eligible under the State victim compensation statute. State compensation statutes either declare that coverage extends generally to any crime resulting in physical or personal injury, or they list all specific crimes that can be covered.

Credentials/Documentation:

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

30 to 180 days.

Appeals:

For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for formula awards, see 28 C.F.R. Part 18.

Renewals:

Awards are for the year of award plus 3 years. Applications will be annually.

Formula and Matching Requirements:

Statutory Formula: Formula grant funds to an eligible State crime victim compensation program is based on 60 percent of the amounts States expended

during the fiscal year preceding the year of collections for the Crime Victims Fund, other than amounts awarded for property damage. If the sums available in the Fund for grants under this program are insufficient to provide grants of 60 percent as provided above, grants from the sums available will be made to each eligible crime victim compensation program so that all such programs receive the same percentage of the amounts awarded by such programs during the preceding fiscal year, other than amounts awarded for property damage. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Compensation funds may be carried forward for obligation by the grantee for 3 years subsequent to the fiscal year of award. Funds are released via electronic funds transfer. States must be enrolled in the Automated Clearinghouse (ACH) Vendor Express Program to request any Federal funds. See the following for information on how assistance is awarded/released: Please contact program office for more detail.

Reports:

Program reports are not applicable. Cash reports are not applicable. Program reports are not applicable. Cash reports are not applicable. The State will provide relevant data by submitting quarterly performance metrics through OVCs online Performance Measurement Tool (PMT), located at <https://ovcpmt.ojp.gov/>. Recipients are required to submit quarterly and final Financial Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements.

Records:

See 2 C.F.R . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-5041-0-2-754.

Obligations:

(Formula Grants) FY 16 \$2,217,900,941; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Each State receives 60 percent of its prior year payout of State compensation funds.

TAFS Codes:

15-5041.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 ojp.gov/financial/guide/DOJ/index.htm and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs U.S. Department of Justice
Office of Justice Programs
Office for Victims of Crime
State Compensation and Assistance Division

810 Seventh Street, NW, Washington, District of Columbia 20531 Phone: (202) 307-5983.

Website Address:

<http://www.ovc.gov>

RELATED PROGRAMS:

16.575 Crime Victim Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Victims of Crime Act of 1984, as amended, and Program Guidelines/Rules published in the Federal Register.

**16.578 FEDERAL SURPLUS PROPERTY TRANSFER PROGRAM
FEDERAL AGENCY:**

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

40 U.S.C. 553.

OBJECTIVES:

To facilitate the possible no cost conveyance, by the General Services Administration, to State and local governments, of surplus real and related personal property determined by the Attorney General to be required for correctional facility use, under programs or projects for the care or rehabilitation of criminal offenders, and for law enforcement purposes.

TYPES OF ASSISTANCE:

SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

Deed of Conveyance. The Deed of Conveyance of any surplus real and related personal property disposed of under this program - (1) shall provide that all of the property be used and maintained for the purpose for which it was conveyed in perpetuity, and that if the property ceases to be used or maintained for that purpose, all or any portion of the property shall, in its then existing condition, at the option of the Federal Government, revert to the Federal Government; and (2) may contain additional terms, reservations, restrictions, and conditions that the Administrator determines are necessary to safeguard the interests of the Federal Government.

Applicant Eligibility:

Eligible applicants include states, or political subdivisions or instrumentalities of states, proposing to use the subject property for law enforcement purposes or correctional facility purposes. The 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the Virgin Islands, the Federated States of Micronesia, the Marshall Islands, Palau, and the Northern Mariana Islands.

Beneficiary Eligibility:

State, local and territorial governments, that are engaged in activities to control or reduce crime and juvenile delinquency or the enforcement of criminal law or the care or rehabilitation of criminal offenders.

Credentials/Documentation:

DOJ/OJP/BJA application for a correctional facility use or law enforcement purpose determination. See the current fiscal years solicitation available at the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm> and <http://ojp.gov/financialguide/DOJ/PreawardRequirements/index.htm> for additional information. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Notify BJA and GSA, or DOD by letter, of the State's interest in an identified property. Applicants interested in applying for property under the Base Realignment and Closure Act (BRAC) must consult with their designated Local Redevelopment Authority for the community's plan for economic recovery. A list of the recognized LRAs can be viewed at: <http://www.oea.gov/OEAWeb.nsf/Home?OpenForm>.

In addition to the above identified requirements, view

https://www.whitehouse.gov/omb/grants_spoc for a list of states covered by E.O. 12372 requiring an entity to coordinate and review proposed federal financial assistance. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. See the current fiscal years solicitation available at the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm> and <http://ojp.gov/financialguide/DOJ/PreawardRequirements/index.htm> for additional information.

Award Procedure:

(1) Applicant must be a State or local governmental entity; (2) applicant must propose a correctional facility use or law enforcement purpose consistent with the requirements set forth in 40 U.S.C. Section 553(b)(1) and (2).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

N/A. See the following for information on how assistance is awarded/released: Please contact BJA at Phone: 202-616-6500 or <https://www.bja.gov/contactus.aspx>.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

No audits are required for this program.

Records:

See 2 CFR 200 for the government-wide requirements for maintenance of records by grant recipients.

Account Identification:

15-0401-0-1-754.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Through the Federal Surplus Property Public Benefit Conveyance Program (Program), surplus federal land and buildings are conveyed to public entities at no cost pursuant to 40 U.S.C. 541, et seq., and applicable regulations (40 U.S.C. 553 and 41 C.F.R. Parts 102-75.750 through 102-75.815).

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. General Services Administration:
www.propertydisposal.gsa.gov. Office of Economic Adjustment:
<http://www.defenselink.mil/brac/>.

Headquarters Office:

O. Terry U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 Seventh Street, NW
, Washington, District of Columbia 20531 Phone: (202) 616-6500

Website Address:

https://www.bja.gov/ProgramDetails.aspx?Program_ID=61

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**16.582 CRIME VICTIM ASSISTANCE/DISCRETIONARY GRANTS
FEDERAL AGENCY:**

Office for Victims of Crime, Department of Justice

AUTHORIZATION:

42 U.S.C. 10603(c)(1)(A); 42 U.S.C. 10603(c)(1)(B); 42 U.S.C. 10603d(a); and
42 U.S.C. 10603e(a).

OBJECTIVES:

The Office for Victims of Crime (OVC) administers a discretionary grant program and other assistance programs for crime victims with amounts set-aside from deposits into the Crime Victims Fund for (a) demonstration projects and training and technical assistance services to eligible crime victims assistance programs; and (b) for the financial support of services to victims of Federal crime by eligible crime victim assistance programs. The purpose of the demonstration and training and technical assistance grants is to improve the overall quality of services delivered to crime victims through the provision of training and technical assistance to providers. Of the amount available for training and technical assistance and services to victims of Federal crimes, no less than 50 percent shall be used for demonstration programs and training and technical assistance, and not more than 50 percent for services to victims of Federal crimes.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are available specifically for (a) demonstration projects and training and technical assistance service to eligible crime victim assistance programs; and (b) for the financial support of services to victims of Federal crime by eligible crime victim assistance programs. For the purpose of the grants authorized in 42 U.S.C. 10603, an eligible crime victim assistance program is defined as: (a) operated by a public agency or a nonprofit organization, or a combination of such agencies or organizations or both such agencies and organizations, and providing service to victims of crime; (b) demonstrating (i) a record of providing effective services to victims of crime and financial support from sources other than the Fund; or (ii) substantial financial support from sources other than the Fund; (c) utilizing volunteers in providing such services, unless to the extent the chief executive determines that compelling reasons exist to waive this requirement; (d) promoting within the community served coordinated public and private efforts to the crime victims; and (e) assisting potential recipients in seeking crime victim compensation benefits. For the purpose of grants authorized for assistance to victims of federal crime, services includes (a) training of law enforcement personnel in the delivery of services to

victims of Federal crime; (b) preparation, publication, and distribution of informational materials, setting forth services offered to victims of crime; and concerning services for victims of Federal crime for use by Federal law enforcement and other responsible Federal officials; and (c) salaries of personnel who provide services to victims of crime, to the extent that these personnel provide such services.

Applicant Eligibility:

Criteria will vary depending on the grant or grant program. Generally, eligible applicants may include American Indian/Alaska Native Tribes and tribal organizations, States, United States Attorneys' offices, universities and colleges, eligible public agencies that provide victim services and private nonprofit agencies. Applicants for Tribal Victim Assistance grants must be an Indian Tribe, Tribal organization, partnership or nonprofit organization that provides direct services to victims of crime in Indian Country.

Beneficiary Eligibility:

Eligible victim assistance agencies. Eligibility depends on the nature of the grant but may include a wide variety of public and private nonprofit agencies.

Credentials/Documentation:

Competitive applications under this program must be submitted electronically via Grants.gov or the Office of Justice Programs Grants Management System, as specified. OVC develops solicitations and application guidelines that includes funding strategy, eligibility requirements, required certifications that must be submitted at a time specified by the Office for Victims of Crime, Office of Justice Programs and must contain the following certification and assurances: (1) assure that the applicant will provide such accounting, auditing, monitoring and evaluation procedures as may be necessary, and keep such records as the Office of Justice Programs may prescribe, to assure fiscal control, proper management and efficient disbursement of Federal funds; (2) assure that the applicant will adhere to the audit and financial management requirements set forth in the effective edition of the OJP Financial Guide; (3) assure that the applicant will comply with all applicable nondiscrimination requirements including civil rights compliance, non-discrimination against eligible applicants that are faith or community-based organizations, services to persons with Limited English Proficiency, and protection of human research subjects; (4) certify that the applicant will comply with certifications regarding Lobbying, Debarment, Suspension, and Drug-Free Workplace Requirements (OJP Form 4061/6); and other responsibility matters; and, (5) certify that the information in the application is correct and that the applicant will comply with all applicable provisions of the Victims of Crime Act and other Federal laws, (including subtitle A, Title II of the Americans with Disabilities Act (ADA) 1990) regulations, and circulars. Costs will be determined in accordance with OMB Circular No. A-87 or OMB Circular No. A-133. Applicants from other types of agencies will use forms to be provided by OVC. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In accordance with the Common Rule, Standard Form 424 must be submitted electronically at Grants.gov by nonfederal agencies in applying for funding under this program. Forms for funds other than grants or for use by Federal agencies will be supplied by OVC.

Award Procedure:

Generally awards will be made on a competitive basis with applications reviewed by a panel of subject matter experts who will assess application submissions based on established criteria and forward a recommendation to the Director. The Assistant Attorney General, Office of Justice Programs has final approval authority.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 6 months.

Appeals:

Hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

Awards average 12 months and range from 12 to 36 months, unless otherwise noted. Supplements are considered on a case by case basis.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: There are no formula or matching requirements for discretionary funding, unless stated in the funding solicitations. The State Victim Assistance Academy grants require a 25 percent "in-kind" match. Federal agencies are not expected to provide a financial contribution, but in general are asked to demonstrate a commitment to continuing the funded effort after OVC funding is terminated.

MOE requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Any amount awarded that remains unspent at the end of a fiscal year in which the award is made may be expended for the purpose for which the award is made at any time during the three succeeding fiscal years, at the end of which period, any remaining unobligated sums shall be available for deposit into the emergency reserve fund referred to in subsection (d)(5) of the VOCA at the discretion of the Director. Any remaining unobligated sums shall be returned to the Fund. Funds are released via the Electronic Transfer System (formerly the Letter of Credit System) on an as needed basis to the Recipient. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semi-annual progress reports will be required as stipulated by the program office. A final financial and program report also will be required. Quarterly financial reports will be required as stipulated in the effective edition of the OJP Financial Guide. A final financial and program report also will be required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Recipients of federal funds are expected to retain documentation (financial records, supporting documents, statistical records, and all other records pertinent to a grant) supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-5041-0-2-754.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 est \$1,600,000; and FY 18 est \$1,300,000

Range and Average of Financial Assistance:

OVC anticipates awarding grants ranging from \$35,000 to \$2.4 million, with an average award amount of \$250,000.

TAFS Codes:

15-5041.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Current solicitations and application guidelines and the current edition of the OJP Financial Guide ojp.gov/financial_guide/DOJ/index.htm, are available on line at the OVC webpage at <http://www.ovc.gov>.

Regional or Local Office:

None.

Headquarters Office:

Office for Victims of Crime 810 Seventh Street, N.W., Washington, District of Columbia 20531 Phone: (202) 307-5983.

Website Address:

<http://www.ovc.gov>

RELATED PROGRAMS:

16.320 Services for Trafficking Victims; 16.321 Antiterrorism Emergency Reserve; 16.575 Crime Victim Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals are spelled out in the Victims of Crime Act, 42 U.S.C. Section 10601-10604 (Supp. 1981). Additional criteria may be developed by the Office for Victims of Crime and will be published in OVC solicitations and application guidelines available at <http://www.ojp.gov/funding/solicitations.htm>.

16.583 CHILDREN'S JUSTICE ACT PARTNERSHIPS FOR INDIAN COMMUNITIES

(CJA)

FEDERAL AGENCY:

Office for Victims of Crime, Department of Justice

AUTHORIZATION:

42 U.S.C. 10601 (g); and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

The Office for Victims of Crimes Children's Justice Act Program provides grants for the purpose of assisting American Indian/Alaska Native communities in developing, establishing, and operating programs designed to improve the investigation, prosecution and case management of child abuse, sexual abuse, and physical abuse cases, particularly cases of child sexual abuse, in a manner which lessens trauma to the child victims.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are available specifically for the purpose of assisting American Indian/Alaska Native communities improve the investigation, prosecution and case management of child abuse, sexual abuse, and physical abuse cases, particularly cases of child sexual abuse, in a manner which lessens trauma to the child victims.

Applicant Eligibility:

Federally recognized Indian tribal governments and nonprofit Indian organizations that provide services to American Indians and Alaska Natives. Specific criteria will vary depending on the grant.

Beneficiary Eligibility:

American Indian and Alaskan Native youth who are victims of child abuse and/or child sexual abuse.

Credentials/Documentation:

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E. Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In accordance with the C.F.R. Part 200, Standard Form 424 must be submitted by nonfederal agencies applying for funding under this program. Competitive solicitations are announced on Grants.gov

Award Procedure:

Generally, awards will be made on a competitive basis with applications reviewed by a panel of subject matter experts who will assess application submissions based on established criteria and forward a recommendation to the Assistant Attorney General for the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

See the current fiscal year's solicitation available at www.ovc.gov.

Appeals:

For Formula awards, please see 28 CFR Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see 28 CFR Part 18.

Renewals:

See 2 C.F.R. . 200.334 for the government-wide requirements for retention requirements for records.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

These awards are generally awarded for a 3 year project period. See the following for information on how assistance is awarded/released: See current years solicitation available at www.ovc.gov.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly Federal Financial Reports (SF 425) will be required as stipulated in the effective Financial Guide edition of the OJP. A final financial and program report also will be required. Quarterly Federal Financial Reports (SF 425) will be required as stipulated in the effective edition of the OJP Financial Guide. A final financial and program report also will be required. Performance measure data are required as specified in the solicitation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements.

Records:

See 2 CFR Part 200 for the government-wide requirements for maintenance of records by grant recipients.

Account Identification:

15-5041-0-2-754.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 est \$3,000,000; and FY 18 est \$3,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-5041.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitations and current edition of the Financial Guide (ojp.gov/financialguide/DOJ/index.htm) are available on the Office of Justice Programs website, or by writing to the Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531

Regional or Local Office:

None.

Headquarters Office:

Office of Victims of Crime, Office of Justice Programs, Department of Justice 810 Seventh Street, N.W., Washington, District of Columbia 20531 Phone: 2025981156

Website Address:

<http://www.justice.gov>

RELATED PROGRAMS:

16.582 Crime Victim Assistance/Discretionary Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal year's solicitation available at www.ovc.gov.

16.585 DRUG COURT DISCRETIONARY GRANT PROGRAM

Drug Court Program (DCP)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

42 U.S.C. 3797u; and, an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

The Adult Drug Court Discretionary Grant Program provides financial and technical assistance to states, state courts, local courts, units of local government, and Indian tribal governments to develop and implement treatment drug courts that effectively integrate evidence-based substance disorder treatment, mandatory drug testing, sanctions and incentives, and transitional services in a judicially supervised court setting with jurisdiction over nonviolent, substance use disorder offenders.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Allowable uses of funds are outlined in the Drug Court Program Guideline available from the Department of Justice Response Center. Assistance can be used by assisting states, local court, and tribal nonviolent adult and juvenile offenders by involving early and continuous judicial supervision over these offenders. The administration of appropriate incentives and sanctions will help to reduce recidivism and substance misuse among high-risk participants with substance use disorders and increase their likelihood of successful rehabilitation.

Drug Court Programs under Title V will be for nonviolent adult and juvenile offenders and involve early and continuous judicial supervision over the

nonviolent substance use disorder offenders and the integrated administration of sanctions and services including: (1) mandatory and random drug testing for the use of controlled substances or other addictive substances during any period of supervised release or probation; (2) evidence-based substance use disorder treatment for each participant according to need; (3) diversion, probation, or other supervised release involving the possibility of prosecution, confinement, or incarceration based on noncompliance with program requirements; and (4) programmatic offender management and aftercare services. Yes, violent offenders may not be served by this grant unless they are funded under a separate Veterans Treatment Court congressional appropriation.

Applicant Eligibility:

Grants can be awarded to states, state courts, local courts, units of local government and Indian tribal governments, acting directly or through agreements with other public or private entities. Applicants may choose to submit joint applications with other eligible jurisdictions for statewide, regional, and multijurisdictional drug court programs. With joint applications, one organization must be designated as the applicant and any co-applicants designated accordingly. The applicant organization must be eligible and the other agencies/organizations must provide supporting documentation. All applicants must demonstrate that they have the management and financial capabilities to effectively plan and implement projects of the size and scope described in the application kit. Nonprofit and for-profit agencies are not eligible applicants. For an application from a subunit of government (e.g., county probation department, district attorney's office, pretrial services agency) to be considered, it must be designated by letter as representing an eligible applicant (described above). For example, the county court or county executive may designate the county probation or county district attorney's office as its representative for the purpose of application. In this instance, the applicant continues to be the designating state, court system, or unit of local government. The county probation, district attorney's office, or other designated subunit, is the organization authorized to submit an application on behalf of the eligible applicant.

Beneficiary Eligibility:

States, local governments, Indian tribal governments, public or private entities.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); applicant Information page; a one-page program abstract summarizing the goals and objectives of the grant request; program narrative; letters of support; detailed budget and budget narrative; administrative requirements; and assurances and certifications. See program announcement for more information.

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E - Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Prospective applicants may view the preapplication requirements by visiting the web site at <https://www.bja.gov>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. All competitive grant applications must be submitted electronically through the Grant Management System (GMS) at <http://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted. For additional information, see the current fiscal years solicitation available at www.bja.gov.

Award Procedure:

An award is granted by the Assistant Attorney General, Office of Justice Programs, and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

For Formula awards, please see 28 CFR Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see 28 CFR Part 18.

Renewals:

Grants can be extended by submitting a written justification for an extension to the Bureau of Justice Assistance office for review. Extensions are subject to approval by the Bureau of Justice Assistance and renewals subject to congressional funding.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25%. The federal share of a grant received under this subtitle may not exceed 75 percent of the total cost of an applicant's projected budget. The matching requirement is a 25 percent match provided by the applicant. A portion of the match must be cash. This is required by statute; the term "portion" is not defined. For additional information, please see the current fiscal years solicitation available at www.bja.gov.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project duration period will be up to 3 years for Implementation, Enhancement, and Statewide Grants. For additional information, please see the current fiscal years solicitation available at www.bja.gov. See the following for information on how assistance is awarded/released: By reimbursement.

Reports:

Drug Court Grantee Data Collection Survey. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, the Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

All grantees are required to establish and maintain accounting systems and financial records in order to account for funds awarded to them.

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 16 \$42,346,495; FY 17 est \$43,000,000; and FY 18 est \$420,000,000

Range and Average of Financial Assistance:

Varies based upon appropriation. Consult the solicitation available at <http://www.ojp.gov/funding/solicitations.htm> and/or www.bja.gov.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: BJA consulted with public and private partners to address the illuminated disparities in access and retention. BJA devised a comprehensive strategy to evaluate and improve drug court programming providing greater access to all who need drug treatment. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Gregory D. Torain Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500 or (1-866) 859-2687

Website Address:

<http://www.bja.gov/>

RELATED PROGRAMS:

16.523 Juvenile Accountability Block Grants; 93.959 Block Grants for Prevention and Treatment of Substance Abuse

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm> and/or www.bja.gov.

16.587 VIOLENCE AGAINST WOMEN DISCRETIONARY GRANTS FOR INDIAN TRIBAL GOVERNMENTS**FEDERAL AGENCY:**

Violence Against Women Office, Department of Justice

AUTHORIZATION:

The Violence Against Women and Department of Justice Reauthorization Act of 2005, Title IX, Section 906, Public Law 109-162, 42 U.S.C. 3796gg-10.

OBJECTIVES:

To increase tribal capacity to respond to violent crimes against Indian women, and to develop and strengthen victim services in cases involving violent crimes against Indian women.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Grants may be awarded for the following statutory purposes:

- (1) develop and enhance effective governmental strategies to curtail violent crimes against and increase the safety of Indian women consistent with tribal law and custom;
- (2) increase tribal capacity to respond to domestic violence, dating violence, sexual assault, sex trafficking, and stalking crimes against Indian women;
- (3) strengthen tribal justice interventions including tribal law enforcement, prosecution, courts, probation, correctional facilities;
- (4) enhance services to Indian women victimized by domestic violence, dating violence, sexual assault, sex trafficking, and stalking;
- (5) work in cooperation with the community to develop education and prevention strategies directed toward issues of domestic violence, dating violence, sexual assault, sex trafficking, and stalking;

- (6) provide programs for supervised visitation and safe visitation exchange of children in situations involving domestic violence, sexual assault, or stalking committed by one parent against the other with appropriate security measures, policies, and procedures to protect the safety of victims and their children;
- (7) provide transitional housing for victims of domestic violence, dating violence, sexual assault, sex trafficking, or stalking, including rental or utilities payments assistance and assistance with related expenses such as security deposits and other costs incidental to relocation to transitional housing, and support services to enable a victim of domestic violence, dating violence, sexual assault, sex trafficking, or stalking to locate and secure permanent housing and integrate into a community;
- (8) provide legal assistance necessary to provide effective aid to victims of domestic violence, dating violence, stalking, sex trafficking, or sexual assault who are seeking relief in legal matters arising as a consequence of that abuse or violence, at minimal or no cost to the victims;
- (9) provide services to address the needs of youth who are victims of domestic violence, dating violence, sexual assault, sex trafficking, or stalking and the needs of youth and children exposed to domestic violence, dating violence, sexual assault, or stalking, including support for the nonabusing parent or the caretaker of the youth or child; and
- (10) develop and promote legislation and policies that enhance best practices for responding to violent crimes against Indian women, including the crimes of domestic violence, dating violence, sexual assault, sex trafficking, and stalking.

Applicant Eligibility:

Indian tribal governments and authorized designees of tribal governments. The term "tribal government" means-- (A) the governing body of an Indian tribe; or (B) a tribe, band, pueblo, nation, or other organized group or community of Indians, including any Alaska Native village or regional or village corporation (as defined in, or established pursuant to, the Alaska Native Claims Settlement Act (43 U.S.C. 1601 et seq.)), that is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians.

Beneficiary Eligibility:

Tribal governments and authorized designees of tribal governments.

Credentials/Documentation:

Specific criteria for the program are provided in an annual solicitation available at www.justice.gov/OVW. Additional information is available at <https://www.justice.gov/tribal/grants>. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program is part of the Department of Justice's Consolidated Tribal Assistance Solicitation (CTAS). Applicants for multiple tribal-specific grant programs use the single tribal solicitation, which is issued on an annual basis. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply on the Office of Justice Programs (OJP) Grants Management System (GMS) following the procedures outlined in the CTAS.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified before the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing to the costs of their projects. Supplemental contributions may be cash, in-kind services, or a combination of both.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

At least one year. Funds are released on an as needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants) FY 16 \$33,647,321; FY 17 est \$34,137,475; and FY 18 est \$33,500,000

Range and Average of Financial Assistance:

Range - \$250,000 - \$350,000.

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St. NE, Suite 10W121, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/tribal/grants.html>

RELATED PROGRAMS:

16.588 Violence Against Women Formula Grants; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program; 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women in program guidelines published annually.

16.588 VIOLENCE AGAINST WOMEN FORMULA GRANTS

STOP Violence Against Women Formula Grants

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Public Law 90-351, as added by the Violence Against Women Act of 1994, Public Law 103-322, 42 U.S.C. 3796gg et seq.

OBJECTIVES:

To assist States, Indian tribal governments, tribal courts, State and local courts, and units of local government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and develop and strengthen victim services in cases involving crimes against women. The Program encourages the development and implementation of effective, victim-centered law enforcement, prosecution, and court strategies to address violent crimes against women and the development and enhancement of victim services in cases involving violent crimes against women.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for the following statutory program purpose areas:

- (1) training law enforcement officers, judges, other court personnel, and prosecutors to more effectively identify and respond to violent crimes against women, including the crimes of domestic violence, dating violence, sexual assault, and stalking, including the appropriate use of nonimmigrant status under subparagraphs (T) and (U) of section 1101(a)(15) of title 8;
- (2) developing, training, or expanding units of law enforcement officers, judges, other court personnel, and prosecutors specifically targeting violent crimes against women, including the crimes of domestic violence, dating violence, sexual assault, and stalking;
- (3) developing and implementing more effective police, court, and prosecution policies, protocols, orders, and services specifically devoted to preventing, identifying, and responding to violent crimes against women, including the crimes of domestic violence, dating violence, sexual assault, and stalking, as well as the appropriate treatment of victims;
- (4) developing, installing, or expanding data collection and communication systems, including computerized systems, linking police, prosecutors, and courts or for the purpose of identifying, classifying, and tracking arrests, protection orders, violations of protection orders, prosecutions, and convictions for violent crimes against women, including the crimes of domestic violence, dating violence, sexual assault, and stalking;
- (5) developing, enlarging, or strengthening victim services and legal assistance programs, including sexual assault, domestic violence, dating violence, and stalking programs, developing or improving delivery of victim services to underserved populations, providing specialized domestic violence court advocates in courts where a significant number of protection orders are granted, and increasing reporting and reducing attrition rates for cases involving violent crimes against women, including crimes of domestic violence, dating violence, sexual assault, and stalking;

(6) developing, enlarging, or strengthening programs addressing the needs and circumstances of Indian tribes in dealing with violent crimes against women, including the crimes of domestic violence, dating violence, sexual assault, and stalking;

(7) supporting formal and informal statewide, multidisciplinary efforts, to the extent not supported by State funds, to coordinate the response of State law enforcement agencies, prosecutors, courts, victim services agencies, and other State agencies and departments, to violent crimes against women, including the crimes of sexual assault, domestic violence, dating violence, and stalking;

(8) training of sexual assault forensic medical personnel examiners in the collection and preservation of evidence, analysis, prevention, and providing expert testimony and treatment of trauma related to sexual assault;

(9) developing, enlarging, or strengthening programs to assist law enforcement, prosecutors, courts, and others to address the needs and circumstances of older and disabled women who are victims of domestic violence, dating violence, sexual assault, or stalking, including recognizing, investigating, and prosecuting instances of such violence or assault and targeting outreach and support, counseling, and other victim services to such older and disabled individuals;

(10) providing assistance to victims of domestic violence and sexual assault in immigration matters;

(11) maintaining core victim services and criminal justice initiatives, while supporting complementary new initiatives and emergency services for victims and their families;

(12) supporting the placement of special victim assistants (to be known as Jessica Gonzales Victim Assistants) in local law enforcement agencies to serve as liaisons between victims of domestic violence, dating violence, sexual assault, and stalking and personnel in local law enforcement agencies in order to improve the enforcement of protection orders. Jessica Gonzales Victim Assistants shall have expertise in domestic violence, dating violence, sexual assault, or stalking and may undertake the following activities-

(A) developing, in collaboration with prosecutors, courts, and victim service providers, standardized response policies for local law enforcement agencies, including the use of evidence-based indicators to assess the risk of domestic and dating violence homicide and prioritize dangerous or potentially lethal cases;

(B) notifying persons seeking enforcement of protection orders as to what responses will be provided by the relevant law enforcement agency;

(C) referring persons seeking enforcement of protection orders to supplementary services (such as emergency shelter programs, hotlines, or legal assistance services); and

(D) taking other appropriate action to assist or secure the safety of the person seeking enforcement of a protection order;

(13) providing funding to law enforcement agencies, victim services providers, and State, tribal, territorial, and local governments (which funding stream shall be known as the Crystal Judson Domestic Violence Protocol Program) to promote-

(A) the development and implementation of training for local victim domestic violence service providers, and to fund victim services personnel, to be known as Crystal Judson Victim Advocates, to provide supportive services and advocacy for victims of domestic violence committed by law enforcement personnel;

(B) the implementation of protocols within law enforcement agencies to ensure consistent and effective responses to the commission of domestic violence by personnel within such agencies (such as the model policy promulgated by the International Association of Chiefs of Police (Domestic Violence by Police Officers: A Policy of the IACP, Police Response to Violence Against Women

Project July 2003));

(C) the development of such protocols in collaboration with State, tribal, territorial and local victim service providers and domestic violence coalitions.

Any law enforcement, State, tribal, territorial, or local government agency receiving funding under the Crystal Judson Domestic Violence Protocol Program under paragraph (13) shall on an annual basis, receive additional training on the topic of incidents of domestic violence committed by law enforcement personnel from domestic violence and sexual assault nonprofit organizations and, after a period of 2 years, provide a report of the adopted protocol to the Department of Justice, including a summary of progress in implementing such protocol;

(14) developing and promoting State, local, or tribal legislation and policies that enhance best practices for responding to domestic violence, dating violence, sexual assault, and stalking;

(15) developing, implementing, or enhancing Sexual Assault Response Teams, or other similar coordinated community responses to sexual assault;

(16) developing and strengthening policies, protocols, best practices, and training for law enforcement agencies and prosecutors relating to the investigation and prosecution of sexual assault cases and the appropriate treatment of victims;

(17) developing, enlarging, or strengthening programs addressing sexual assault against men, women, and youth in correctional and detention settings;

(18) identifying and conducting inventories of backlogs of sexual assault evidence collection kits and developing protocols and policies for responding to and addressing such backlogs, including protocols and policies for notifying and involving victims;

(19) developing, enlarging, or strengthening programs and projects to provide services and responses targeting male and female victims of domestic violence, dating violence, sexual assault, or stalking, whose ability to access traditional services and responses is affected by their sexual orientation or gender identity, as defined in section 249(c) of title 18; and

(20) developing, enhancing, or strengthening prevention and educational programming to address domestic violence, dating violence, sexual assault, or stalking, with not more than 5 percent of the amount allocated to a State to be used for this purpose.

Applicant Eligibility:

All States, Puerto Rico, Guam, American Samoa, the Virgin Islands, the Northern Mariana Islands, and the District of Columbia, are eligible.

Beneficiary Eligibility:

State and local units of government, nonprofit nongovernmental victim service programs, state, local, and tribal courts, and Indian tribal governments.

Credentials/Documentation:

Grantees under this program are subject to 4 certification requirements: (1) A State, Indian tribal government, or unit of local government shall not be entitled to funds under this program unless the State, Indian tribal government, or another governmental entity incurs the full out-of-pocket cost of forensic medical examinations for victims of sexual assault and coordinates with health care providers in the region to notify victim of sexual assault of the availability of rape exams at no cost to the victims. A State or Indian tribal government may use Federal grant funds under this part to pay for forensic medical exams performed by trained examiners for victims of sexual assault, except that such funds may not be used to pay for forensic medical exams by any State, Indian tribal government, or territorial government that requires victims of sexual assault to seek reimbursement for such exams from their insurance carriers. The state, tribal government, or local government must comply with this provision without regard to whether the victim participates in the criminal justice system, cooperates with law enforcement, or both. (2) a State shall not be entitled to funds under this program unless it certifies that its laws, policies,

and practices do not require, in connection with the prosecution of any misdemeanor or felony domestic violence, dating violence, sexual assault, or stalking offense, or in connection with the filing, issuance, registration, modification, enforcement, dismissal, withdrawal, or service of a protection order, or a petition for a protection order, to protect a victim of domestic violence, dating violence, stalking, or sexual assault, that the victim bear the costs associated with the filing of criminal charges against the offender, or the costs associated with the filing, issuance, registration, modification, enforcement, dismissal, withdrawal, or service of a warrant, protection order, petition for a protection order, or witness subpoena, whether issued inside or outside the State, tribal or local jurisdiction (3) States must certify that their judicial administrative policies and practices include notification to domestic violence offenders of the requirements delineated in section 922(g)(8) and (g)(9) of title 18, United States Code, and any applicable related Federal, State, or local laws; or give the Attorney General assurances that their judicial administrative policies and practices will be in compliance with this requirement within the later of the period ending on the date on which the next session of the State legislature ends or January 5, 2008. (4) In order to be eligible for grants under this part, a State, Indian tribal government, territorial government, or unit of local government shall certify that, not later than January 5, 2009, their laws, policies, or practices will ensure that no law enforcement officer, prosecuting officer or other government official shall ask or require an adult, youth, or child victim of an alleged sex offense as defined under Federal, tribal, State, territorial, or local law to submit to a polygraph examination or other truth telling device as a condition for proceeding with the investigation of such an offense. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

OVW will provide an annual solicitation. Applicants will apply according to the instructions in the solicitation. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply through the Office of Justice Programs' online Grants Management System (GMS). The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. 120 -180 days after receipt of an application.

Appeals:

Contact the Office on Violence Against Women for further information.

Renewals:

Applications are submitted on an annual basis.

Formula and Matching Requirements:

Statutory Formula: Title 28, Part 90, Subpart B.
Matching Requirements: Percent: 25%. Each eligible entity receives a base amount of \$600,000. Remaining funds are divided in an amount that bears the same ratio to the amount of remaining funds as the population of the State bears to the population of all of the States that results from a distribution among the States on the basis of each State's population in relation to the population of all States (not including populations of Indian tribes). The Federal share of these grants may not exceed 75 percent of the total costs of the projects described in the applications. Projects to victim service providers for victim services or for

tribes do not count towards the total costs of the projects for purposes of calculating match. States may satisfy this 25 percent match through in-kind services. All funds designated as match are restricted to the same uses as the Office on Violence Against Women funds and must be expended within the grant period. States and subgrantees may apply for a waiver of the match requirement based on an adequate demonstration of financial need. Match may not be required in subgrants to victim service providers or tribes. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

OVW will make awards for at least 2 years. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Quarterly and final financial reports plus annual and end-of-grant program performance reports will be required, as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. In the progress reports, States must provide a statistical summary of persons served, detailing the nature of victimization and providing data on age, sex, relationship of victim to offender, geographic distribution, race, ethnicity, disability, and the number of persons served in any underserved population. The reports must also explain the activities carried out and provide an assessment of the effectiveness of those activities, including the number of persons served and the numbers of persons seeking services who could not be served. States are responsible for submitting State reports and ensuring that subgrantees submit annual progress reports as well. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Salaries) FY 16 \$151,085,699; FY 17 est \$150,050,789; and FY 18 est \$150,050,000

Range and Average of Financial Assistance:

Range: \$616,994 - \$12,654,241.

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St. NE, Suite 10W121, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovv>

RELATED PROGRAMS:

16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program; 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications submitted by the Chief Executive Officer of a State, or his or her designee, will be accepted by the grantor Federal Agency for consideration.

16.589 RURAL DOMESTIC VIOLENCE, DATING VIOLENCE, SEXUAL ASSAULT, AND STALKING ASSISTANCE PROGRAM

Rural Program

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2013, Title II, Section 202, Public Law 113-4, 42 U.S.C. 13971.

OBJECTIVES:

- (1) to identify, assess, and appropriately respond to child, youth, and adult victims of domestic violence, sexual assault, dating violence, and stalking in rural communities, by encouraging collaboration among
 - (A) domestic violence, dating violence, sexual assault, and stalking victim service providers;
 - (B) law enforcement agencies;
 - (C) prosecutors;
 - (D) courts;
 - (E) other criminal justice service providers;
 - (F) human and community service providers;
 - (G) educational institutions; and
 - (H) health care providers, including sexual assault forensic examiners;
- (2) to establish and expand nonprofit, nongovernmental, State, tribal, territorial, and local government victim services in rural communities to child, youth, and adult victims; and
- (3) to increase the safety and well-being of women and children in rural communities, by
 - (A) dealing directly and immediately with domestic violence, sexual assault, dating violence, and stalking occurring in rural communities; and
 - (B) creating and implementing strategies to increase awareness and prevent domestic violence, sexual assault, dating violence, and stalking.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding may be used to carry out programs serving rural areas or rural communities that address sexual assault, domestic violence, dating violence, and stalking by:

- (1) implementing, expanding, and establishing cooperative efforts and projects among law enforcement officers, prosecutors, victim service providers, and other related parties to investigate and prosecute incidents of domestic violence, dating violence, sexual assault, and stalking, including developing multidisciplinary teams focusing on high risk cases with the goal of preventing domestic and dating violence homicides;
- (2) providing treatment, counseling, advocacy, legal assistance, and other long-term and short-term victim and population specific services to adult and minor victims of domestic violence, dating violence, sexual assault, and stalking in rural communities, including assistance in immigration matters;
- (3) working in cooperation with the community to develop education and prevention strategies directed toward such issues;
- (4) developing, enlarging, or strengthening programs addressing sexual assault, including sexual assault forensic examiner programs, Sexual Assault Response Teams, law enforcement training, and programs addressing rape kit backlogs; and

(5) developing programs and strategies that focus on the specific needs of victims of domestic violence, dating violence, sexual assault, and stalking who reside in remote rural and geographically isolated areas, including addressing the challenges posed by the lack of access to shelters and victims services, and limited law enforcement resources and training, and providing training and resources to Community Health Aides involved in the delivery of Indian Health Service programs.

Applicant Eligibility:

States, Indian tribes, Territories, local governments, and nonprofit, public or private entities, including tribal nonprofit organizations, are eligible to carry out programs serving rural areas or rural communities that address sexual assault, domestic violence, dating violence, and stalking.

Beneficiary Eligibility:

Beneficiaries include eligible applicants who propose to serve victims of sexual assault, domestic violence, dating violence, and stalking in a rural area or rural community, as defined by 42 U.S.C., 13925(a)(21) to mean (a) any area or community, respectively, no part of which is within an area designated as a standard metropolitan statistical area by the Office of Management and Budget; or (b) any area or community, respectively, that is (i) within an area designated as a metropolitan statistical area or considered part of a metropolitan statistical area; and (ii) located in a rural census tract.

Credentials/Documentation:

Every application must include documentation that the proposed area to be served, in fact, meets the rural eligibility requirements. The requirements include printed documentation of the service area. Instructions to complete the documentation process can be found within the application materials. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

OVW will provide an annual solicitation. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply through the online Grants.gov portal. The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Applicants must provide documentation as specified in the solicitation that the project will be limited to rural areas.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified before the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing to the costs of their projects. Supplemental contributions may be cash, in-kind services, or a combination of both. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length of assistance is provided in an annual solicitation. Funds are released on an as-needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants) FY 16 \$24,231,823; FY 17 est \$26,130,481; and FY 18 est \$24,000,000

Range and Average of Financial Assistance:

Range: \$200,000-750,000.

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St. NE, Suite 10W121, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual solicitation.

16.590 GRANTS TO ENCOURAGE ARREST POLICIES AND**ENFORCEMENT OF PROTECTION ORDERS PROGRAM**

Arrest Program

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2013, Title II, Section 202, Public Law 113-4, 42 U.S.C. 3976hh.

OBJECTIVES:

To encourage States, Indian tribal governments, State and local courts (including juvenile courts), tribal courts, and units of local government to treat domestic violence, dating violence, sexual assault, and stalking as serious violations of criminal law.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Grants may be used for the following statutory program purposes:

- (1) To implement proarrest programs and policies in police departments, including policies for protection order violations and enforcement of protection orders across State and tribal lines.
- (2) To develop policies, educational programs, protection order registries, data collection systems, and training in police departments to improve tracking of cases and classification of complaints involving domestic violence, dating violence, sexual assault, and stalking. Policies, educational programs, protection order registries, and training described in this paragraph shall incorporate confidentiality, and privacy protections for victims of domestic violence, dating violence, sexual assault, and stalking.
- (3) To centralize and coordinate police enforcement, prosecution, or judicial responsibility for domestic violence, dating violence, sexual assault, and stalking cases in teams or units of police officers, prosecutors, parole and probation officers, or judges.
- (4) To coordinate computer tracking systems and provide the appropriate training and education about domestic violence, dating violence, sexual assault, and stalking to ensure communication between police, prosecutors, parole and probation officers, and both criminal and family courts.
- (5) To strengthen legal advocacy service programs and other victim services for victims of domestic violence, dating violence, sexual assault, and stalking, including strengthening assistance to such victims in immigration matters.
- (6) To educate Federal, State, tribal, territorial, and local judges, courts, and court-based and court-related personnel in criminal and civil courts (including juvenile courts) about domestic violence, dating violence, sexual assault, and stalking and to improve judicial handling of such cases.
- (7) To provide technical assistance and computer and other equipment to police departments, prosecutors, courts, and tribal jurisdictions to facilitate the widespread enforcement of protection orders, including interstate enforcement, enforcement between States and tribal jurisdictions, and enforcement between tribal jurisdictions.
- (8) To develop or strengthen policies and training for police, prosecutors, and the judiciary in recognizing, investigating, and prosecuting instances of domestic violence [1] dating violence, sexual assault, and stalking against older individuals (as defined in section 3002 of this title) and individuals with disabilities (as defined in section 12102 (2) of this title).
- (9) To develop State, tribal, territorial, or local policies, procedures, and protocols for preventing dual arrests and prosecutions in cases of domestic violence, dating violence, sexual assault, and stalking, and to develop effective methods for identifying the pattern and history of abuse that indicates which party is the actual perpetrator of abuse.
- (10) To plan, develop and establish comprehensive victim service and support centers, such as family justice centers, designed to bring together victim advocates from victim service providers, staff from population specific organizations, law enforcement officers, prosecutors, probation officers, governmental victim assistants, forensic medical professionals, civil legal attorneys, chaplains, legal advocates, representatives from community-based organizations and other relevant public or private agencies or organizations into one centralized location, in order to improve safety, access to services, and confidentiality for victims and families. Although funds may be used to support the colocation of project partners under this paragraph, funds may not support

construction or major renovation expenses or activities that fall outside of the scope of the other statutory purpose areas.

- (11) To develop and implement policies and training for police, prosecutors, probation and parole officers, and the judiciary in recognizing, investigating, and prosecuting instances of sexual assault, with an emphasis on recognizing the threat to the community for repeat crime perpetration by such individuals.
- (12) To develop, enhance, and maintain protection order registries.
- (13) To develop human immunodeficiency virus (HIV) testing programs for sexual assault perpetrators and notification and counseling protocols.
- (14) To develop and implement training programs for prosecutors and other prosecution-related personnel regarding best practices to ensure offender accountability, victim safety, and victim consultation in cases involving domestic violence, dating violence, sexual assault, and stalking.
- (15) To develop or strengthen policies, protocols, and training for law enforcement, prosecutors, and the judiciary in recognizing, investigating, and prosecuting instances of domestic violence, dating violence, sexual assault, and stalking against immigrant victims, including the appropriate use of applications for nonimmigrant status under subparagraphs (T) and (U) of section 1101 (a)(15) of title 8.
- (16) To develop and promote State, local, or tribal legislation and policies that enhance best practices for responding to the crimes of domestic violence, dating violence, sexual assault, and stalking, including the appropriate treatment of victims.
- (17) To develop, implement, or enhance sexual assault nurse examiner programs or sexual assault forensic examiner programs, including the hiring and training of such examiners.
- (18) To develop, implement, or enhance Sexual Assault Response Teams or similar coordinated community responses to sexual assault.
- (19) To develop and strengthen policies, protocols, and training for law enforcement officers and prosecutors regarding the investigation and prosecution of sexual assault cases and the appropriate treatment of victims.
- (20) To provide human immunodeficiency virus testing programs, counseling, and prophylaxis for victims of sexual assault.
- (21) To identify and inventory backlogs of sexual assault evidence collection kits and to develop protocols for responding to and addressing such backlogs, including policies and protocols for notifying and involving victims.
- (22) To develop multidisciplinary high-risk teams focusing on reducing domestic violence and dating violence homicides by
 - (A) using evidence-based indicators to assess the risk of homicide and link high-risk victims to immediate crisis intervention services;
 - (B) identifying and managing high-risk offenders; and
 - (C) providing ongoing victim advocacy and referrals to comprehensive services including legal, housing, health care, and economic assistance.

Applicant Eligibility:

Grants are available to States, Indian tribal governments, units of local government, and State, tribal, territorial, and local courts. State, tribal, and territorial domestic violence and sexual assault coalitions and victim service providers are also eligible if they partner with a state, tribal government, or unit of local government.

Beneficiary Eligibility:

Beneficiaries include criminal and tribal justice practitioners, domestic violence, dating violence, sexual assault and stalking victim advocates, and other service providers who respond to victims of domestic violence, dating violence, sexual assault, and stalking.

Credentials/Documentation:

According to 42 U.S.C. 3796hh(c), eligible grantees are:
Eligible grantees are

- (1) States, Indian tribal governments [1] State and local courts (including juvenile courts), or units of local government that
 - (A) except for a court, certify that their laws or official policies
 - (i) encourage or mandate arrests of domestic violence offenders based on probable cause that an offense has been committed; and
 - (ii) encourage or mandate arrest of domestic violence offenders who violate the terms of a valid and outstanding protection order;
 - (B) except for a court, demonstrate that their laws, policies, or practices and their training programs discourage dual arrests of offender and victim;
 - (C) certify that their laws, policies, or practices prohibit issuance of mutual

restraining orders of protection except in cases where both parties file a claim and the court makes detailed findings of fact indicating that both parties acted primarily as aggressors and that neither party acted primarily in self-defense; (D) certify that their laws, policies, and practices do not require, in connection with the prosecution of any misdemeanor or felony domestic violence, dating violence, sexual assault, or stalking offense, or in connection with the filing, issuance, registration, modification, enforcement, dismissal, or service of a protection order, or a petition for a protection order, to protect a victim of domestic violence, dating violence, stalking, or sexual assault, that the victim bear the costs associated with the filing of criminal charges against the offender, or the costs associated with the filing, issuance, registration, modification, enforcement, dismissal, or service of a warrant, protection order, petition for a protection order, or witness subpoena, whether issued inside or outside the State, tribal, or local jurisdiction; (E) certify that, [2] their laws, policies, or practices will ensure that

- (i) no law enforcement officer, prosecuting officer or other government official shall ask or require an adult, youth, or child victim of a sex offense as defined under Federal, tribal, State, territorial, or local law to submit to a polygraph examination or other truth telling device as a condition for proceeding with the investigation of, trial of, or sentencing for such an offense; and
- (ii) the refusal of a victim to submit to an examination described in clause (i) shall not prevent the investigation of, trial of, or sentencing for the offense; and

(2) a State, tribal, or territorial domestic violence or sexual assault coalition or a victim service provider that partners with a State, Indian tribal government, or unit of local government that certifies that the State, Indian tribal government, or unit of local government meets the requirements under paragraph (1). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply on-line at the grants.gov portal. The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal dollars by contributing to the cost of the project. Supplemental contributions may be cash, in-kind services, or a combination of both. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length of assistance is provided in an annual solicitation. Funds are released on an as-needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon

immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants) FY 16 \$29,622,711; FY 17 est \$30,027,793; and FY 18 est \$29,500,000

Range and Average of Financial Assistance:

Range: \$200.00-\$900,000.

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St. NE, Suite 10W121, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.588 Violence Against Women Formula Grants; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual solicitation.

16.593 RESIDENTIAL SUBSTANCE ABUSE TREATMENT FOR STATE PRISONERS

(RSAT)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

42 U.S.C. 3796ff, Public Law 90-351.

OBJECTIVES:

The Residential Substance Abuse Treatment (RSAT) for State Prisoners Program assists states and local governments in the development and implementation of substance use treatment programs in state, local, and tribal correctional and detention facilities. Funds are also available to create and maintain community reintegration services for individuals after they are released from incarceration.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The Residential Substance Abuse Treatment formula grant funds may be used to implement residential substance abuse programs that provide individual and group treatment activities for offenders in residential facilities operated by State and local correctional agencies. These programs must 1) last between 6 and 12 months; 2) be provided in residential treatment facilities set apart from the general correctional population; 3) focus on the substance abuse problems of the inmate; and 4) develop the inmate's cognitive, behavioral, social, vocational, and other skills to solve the substance abuse and related problems. Grant funds shall not be used for land acquisition or construction projects.

Applicant Eligibility:

States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, America Samoa, Guam, and the Northern Mariana Islands are eligible to apply. By statute (42 U.S.C. 3796ff-1(e)), the Bureau of Justice Assistance must award RSAT grants to the State office (www.ojp.usdoj.gov/saa/index.htm) designated to administer the Byrne Justice Assistance Grant Program. The State office may award subgrants to state agencies and units of local government (including federally recognized Indian tribal governments that perform law enforcement functions, as determined by the Secretary of the Interior). Applicant States must agree to implement or continue to require urinalysis and/or other proven reliable forms of drug and alcohol testing of individuals assigned to residential substance abuse treatment programs in correctional facilities.

Beneficiary Eligibility:

State and local correctional agencies will implement programs to provide treatment to incarcerated offenders.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. The applicant is also required to submit a description that includes the goals of the program, the implementation process, timetable for implementation, how the State will coordinate substance abuse treatment activities at the State and local levels, and the State's law or policy requiring substance abuse testing of individuals in correctional residential substance abuse treatment programs.

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E - Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at www.bja.gov.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

See the current fiscal years solicitation available at www.bja.gov.

Appeals:

For Formula awards, please see 28 CFR Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see 28 CFR Part 18.

Renewals:

Formula grants will be renewed each year, contingent upon Congressional appropriations.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. Matching Requirements: Percent: 25%. Grant funds are allocated to the States using the following formula: a) each participating State is allocated a base amount of 0.4 percent of the total funds available for the program; and b) the remaining funds are allocated to each participating State in the ratio its prison population bears to the total prison population of all participating States. The most recent National Prisoner Statistics collected by the Bureau of Justice Statistics are used to make these allocations. The Federal share of a grant-funded project may not exceed 75 percent of the total costs of the project. The 25 percent matching funds must be in the form of a cash match.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period that includes the fiscal year of the appropriation plus three additional years, for a total of four years. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released:

Department of Justice Grants Financial Guide (www.ojp.usdoj.gov/financialguide/DOJ/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0404-0-1-754.

Obligations:

(Formula Grants) FY 16 \$10,688,340; FY 17 est \$14,000,000; and FY 18 est \$12,000,000

Range and Average of Financial Assistance:

Individual state and territory awards ranged from \$35,000 to \$908,000.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Department of Justice Grants Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Bureau of Justice Assistance U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
AskBJA@usdoj.gov Phone: (202) 616-6500

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years solicitation available at www.bja.gov.

16.596 JUSTICE SYSTEM INFRASTRUCTURE PROGRAM FOR INDIAN TRIBES

Justice System Infrastructure Program for Indian Tribes

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice for the current fiscal year.

OBJECTIVES:

To renovate and/or expand the following types of tribal justice system facilities to address justice system infrastructure needs:

- single-jurisdiction or regional Tribal correctional facilities,
- police departments,
- courts,
- correctional alternative facilities,
- treatment centers,
- multipurpose justice centers (including police departments, courts, and corrections), and
- transitional living facilities (halfway houses) associated with the incarceration and rehabilitation of juvenile and/or adult offenders.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Grant Program for Indian Tribes may be used to assist Indian tribes in renovating and/or expanding tribal justice facilities to serve both adult and juvenile populations.

Applicant Eligibility:

Federally recognized Indian tribes may apply.

Beneficiary Eligibility:

Federally recognized Indian tribes may apply.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. The applicant is also required to submit a description of the program, the implementation process, and a timetable for implementation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Targeted tribes are invited to submit applications for construction of juvenile correctional facilities.

Applications are submitted through OJP's Grants Management System (GMS) at <https://grants.ojp.usdoj.gov/gmsexternal/>.

BJA will use external peer reviewers to evaluate, score, and rate the applications submitted under this solicitation that meet eligibility and basic minimum requirements. An external peer reviewer is an expert in the field of the subject matter of a given grant program who is not a current federal employee. Peer reviewers ratings and any resulting recommendations are advisory only, although their views are considered carefully. Past performance with DOJ grant funding will be considered in this review process.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Recipients will be required to log in; accept any outstanding assurances and certifications on the award; designate a financial point of contact; and review, sign, and accept the award. The award acceptance process involves physical signature of the award document by the authorized recipient official and the scanning of the fully executed award document to DOJ.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

For Formula awards, please see 28 CFR Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period of 24 to 60 months based on the facility expanded and/or renovated. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/index.htm>) and Post

Award Instructions

(<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (www.ojp.gov/financialguide/index.htm). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 16 \$7,182,941; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Approximately \$9,000,000 is available under this program each FY. Suggested award amounts range between \$1,000,000 (single jurisdiction projects) and \$4,000,000 (multiple jurisdictional projects).

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: As of FY 2009, Bureau of Justice Assistance (BJA) has funded 73 new construction, renovation, and expansion tribal justice system facility projects under the Tribal Justice System Infrastructure Program (TJSIP). The range of projects funded include detention centers, police departments, multi-purpose justice centers, treatment facilities, courts, and transitional living facilities. As of July 2017, 47 of these projects have been completed and 26 are still in progress. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Julius Dupree U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 Seventh Street, N.W., Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: (202) 616-6500 or 1-866-859-2687

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

16.731 Tribal Youth Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Past projects were selected on the basis of need for a justice system facility, demonstrated ability to operate the facilities, soundness of implementation plan, thoroughness of budget submission, and proposed strategy to measure impact/outcomes. Additionally, BJA considers BIAs priority list for facilities that are in need of repair or renovation.

16.606 STATE CRIMINAL ALIEN ASSISTANCE PROGRAM (SCAAP)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Omnibus Appropriations Act, Public Law 106-113, Division B, Section 1000(a); and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

The State Criminal Alien Assistance Program (SCAAP) is a payment program designed to provide federal assistance to states and localities that incur costs for incarcerating undocumented criminal aliens who are being held as a result of state and/or local convictions. The Bureau of Justice Assistance (BJA) administers this program in conjunction with the Department of Homeland Security's (DHS) Immigration and Customs Enforcement (ICE), and U.S. Citizenship and Immigration Services branches.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Department of Justice Reauthorization Act of 2005 (Public Law 109-162, Title XI) included the following requirement regarding the use of SCAAP funds: "Amounts appropriated pursuant to the authorization of appropriations in paragraph (5) that are distributed to a State or political subdivision of a State, including a municipality, may be used only for correctional purposes." All funds must be used for correctional purposes only. To be eligible for reporting, inmates must have been convicted of a felony or second misdemeanor for violations of state or local law, and housed in the applicant's state or local correctional facility for 4 or more consecutive days during the reporting period. Once a person meets these criteria, all pre-trial and post-conviction time served may be included in the application. Salary information reported in the SCAAP application must reflect the total salaries and wages paid to full and part-time correctional officers and others who meet the SCAAP definition. See the SCAAP Guidelines at <http://www.ojp.usdoj.gov/BJA/>. Funds may be used only for correctional purposes. BJA may further define the eligible uses of funds. For further information, see <http://www.ojp.usdoj.gov/BJA/grant/scaap.html>.

Applicant Eligibility:

Eligible applicants include States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands and localities or local jurisdictions exercising authority with respect to the incarceration of an undocumented criminal alien. This covers state prison facilities and local jails, whether operated by counties or cities. Applicants are generally States and units of local government. Note: Only one application is accepted from each separate political division (i.e., State, county, city).

Beneficiary Eligibility:

States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands and localities or local jurisdictions.

Credentials/Documentation:

Applicants who are seeking funding must complete an electronic application form via the Office of Justice Programs Grants Management System (GMS). Inmate data, including the proper inmate identifiers, will either be manually key-stroked into the on-line application system, or submitted on-line by electronically attaching the applicable portions of the applicants inmate databases. The authorized official certifies compliance with all SCAAP requirements, including that the applicant has been authorized by the Chief

Executive Officer of the applicable unit of government; the inmate identifiers required have been submitted to BJA in the proper format(s) for Immigration and Customs Enforcement (ICE) verification within the prescribed deadlines; all inmates counted by the applicant have been convicted of either a felony or two misdemeanor offenses under the applicant's law; and, only salary costs for corrections officers were included in the Total Salary Costs. By certifying on-line, the authorizing signing official is also assuring that all federal certifications and assurances are being met. The certification and assurance forms (Assurances, OJP Form 4000/3 and Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements, OJP Form 4061/6) are provided on-line to allow applicants to review and accept them electronically. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. SCAAP applications are administered via the Office of Justice Programs Internet-based Grants Management System. Inmate data, including the proper inmate identifiers, may either be manually key-stroked into the on-line application system, or submitted on-line by electronically attaching the applicable portions of their inmate databases. Awards are announced after the ICE inmate verification process is done. Technical assistance is available to applicants for preparation of the on-line application process by calling the OJP Grants Management System Hotline at 1-888-549-9901.

Award Procedure:

The final payment process requires that all applications and all data be fully processed and verified before any payments are made. Inmate data will be verified by the ICE, who will attempt to match the inmate data records provided to records in its databases to obtain the maximum number of confirmed matches. These matches are expected to include three categories: 1) those positively identified (matched) as qualifying undocumented criminal aliens; 2) those unknowns and 3) those positively identified as non-criminal aliens. Applicants will be given credit for a percentage of inmates whose eligibility cannot be determined through positive identification or "match," but have valid foreign countries of birth. Payments will be based on the days of incarceration for the verified number of aliens incarcerated by each applicant. Payments are granted by the Director of the Bureau of Justice Assistance, Office of Justice Programs, Department of Justice. The payment must be accepted on-line and the acceptance will effect a simultaneous assurance of compliance with conditions. Acceptance of the payment on-line initiates the automatic electronic transfer of funds from OJP to the recipient.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact BJA.

Appeals:

Not Applicable.

Renewals:

Applications may be submitted annually, subject to availability of funding.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

SCAAP awards are usually obligated and announced by the end of September and released for drawdown by mid-October. There are no restrictions placed on

the time permitted to spend the money awarded because SCAAP funds are considered no year funds. Beginning with FY 2007 SCAAP awards, SCAAP funds must be used for correctional purposes only. Jurisdictions receiving SCAAP awards will be asked to report the projected use of these funds at the time the award is accepted. See the following for information on how assistance is awarded/released: SCAAP payments are calculated simultaneously, with applicants receiving a prorated payment based on the appropriation; costs submitted, and inmates as determined by DHS. All SCAAP payments are made electronically to the applicant's bank account of record identified during the application process. Please use the jurisdiction's EIN (tax identification) and vendor number when applying for SCAAP funds.

Once SCAAP data has been validated and analyzed, applicants will receive e-mail notification that awards are ready for online acceptance and drawdown. Formal acceptance of an award via GMS is necessary for the electronic transfer of funds into the applicants bank account of record. Applicants have 45 calendar days to accept awards through GMS once notice is provided. SCAAP payments must go to the jurisdictions general fund.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, a copy of the inmate records submission, and all other records pertinent to a grant shall be retained for a period of at least three (3) years following the closeout of the grant.

Account Identification:

15-0404-0-1-754.

Obligations:

(Direct Payments for Specified Use) FY 16 \$189,408,372; FY 17 est \$210,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Contact Bureau of Justice Assistance for funding information or see the SCAAP Guidelines at https://www.bja.gov/ProgramDetails.aspx?Program_ID=86.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Joseph Husted U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
SCAAP@usdoj.gov Phone: 202-353-4411

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.607 BULLETPROOF VEST PARTNERSHIP PROGRAM (BVP)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Bulletproof Vest Partnership Grant Act of 1998, Public Law 105-181, June 16, 1998, as amended.

OBJECTIVES:

To protect the lives of law enforcement officers by helping State, local, and tribal law enforcement agencies provide officers with armored vests.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The program pays up to 50 percent of the total cost of each vest order. Total cost includes the cost of the vests, vest carriers, attachments, inserts, and covers considered integral or essential for its proper care, use, and wearability, shipping, handling, fitting charges, and applicable taxes. The total invoiced price, after all vendor and prompt payment discounts have been deducted, is what the Bureau of Justice Assistance (BJA) uses to determine the Federal match. This program only allows the purchase of body armor that has been tested and found to comply with applicable ballistic and stab standards promulgated by the National Institute of Justice's (NIJ) Standard 0101.06 Ballistic Resistance of Police Body Armor. In FY 2001, stab-resistant body armor was also included in the program as an allowable purchase.

Applicant Eligibility:

Only chief executives of jurisdictions (or their designees) may apply for funds. Jurisdictions are defined as general purpose units of local government (e.g., cities, towns, townships, boroughs, counties, etc.), Federally-recognized Indian tribes, the 50 State governments, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands. The chief executive of the jurisdiction registers on-line, submits the jurisdiction application, and requests payment for completed vest orders.

Beneficiary Eligibility:

Only law enforcement officers may receive vests through this program. According to the Act, "law enforcement officer" means any officer, agent, or employee of a State, unit of local government, or an Indian tribe authorized by law or by a government agency to engage in or supervise the prevention, detection, or investigation of any violation of criminal law, or authorized by law to supervise sentenced criminal offenders. Eligible officers may be full-time, part-time, paid or volunteer.

Beginning with FY 2011, in order to receive BVP funds, jurisdictions must certify, during the application process, that all law enforcement agencies benefitting from the BVP Program have a written "mandatory wear" policy in effect. FAQ: <https://ojp.gov/bvpbasi/docs/FAQsBVPmandatoryWearPolicy.pdf>

The BVP Reauthorization Act of 2015 included a provision that states that BJA may give preferential consideration to BVP recipients that provide armor vests to law enforcement officers that are uniquely fitted for such officers, including vests uniquely fitted to individual female law enforcement officers. A certification section has been added to the 2017 application (in the BVP system) stating the jurisdictions and law enforcement agency are aware of and will comply with this requirement.

Credentials/Documentation:

Eligible jurisdictions must be general purpose units of local government, Federally-recognized Indian tribes, the 50 state governments, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands. The validity of the on-line registration process is verified through independent reviews which may include certification by the banking institutions serving the jurisdiction, the U.S. Census Bureau, and agencies within the U.S. Department of Justice. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This is an Internet-based program. The jurisdiction is required to complete and submit an on-line registration form, providing information about the jurisdiction's chief executive officer, program contact person, and electronic banking information. The registration process is handled through the program's web site <http://ojp.gov/bvpbasi/home.html>. Only those jurisdictions with approved registrations are permitted to make application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The jurisdiction submits the application to BJA via the Internet web site <http://vests.ojp.gov>. On-line instructions guide the jurisdiction through the application process. Law enforcement agencies or agencies with law enforcement functions which meet the Act's definition, will assist in completing the jurisdiction's on-line application by indicating the types, numbers, and costs of vests they intend to order. Only those jurisdictions that complete and submit applications will be eligible for matching funds from BJA.

Award Procedure:

Applications received by BJA are reviewed for completeness, accuracy, and compliance with all program requirements. Each application is then checked against the availability of funds to cover up to 50 percent of the application's total cost, in keeping with established criteria for program fund allocation. When all checks are complete and the application approved, the jurisdiction is notified through e-mail that the necessary funds have been obligated.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

A minimum of 15 working days may be required for complete registration validation, although jurisdictions and law enforcement agencies will have immediate access to certain program components during this validation process.

A minimum of 60 working days may be required after the program closes for approval of the jurisdiction's application for funding. A minimum of 25 working days may be required for the electronic transfer of funds from the U.S. Treasury to the jurisdiction's bank account, once the request for funds has been received, reviewed, and approved by the Office of Justice Programs.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50%. The program requires that each applicant jurisdiction be responsible for providing at least 50 percent of the cost of each vest purchased. Tribal governments may use Federal funds to provide this match; all other jurisdictions must use nonfederal match funds. Nonfederal fund sources include State and/or local jurisdiction revenues, private or personal funds, and contributions from insurance or workman's compensation consortiums. Asset forfeiture funds may also be used to meet the jurisdiction's matching requirement. Beginning in FY 2002, changes (Bulletproof Vest Partnership Act of 2000; Public Law 106-517) gives preference to small jurisdictions (those populations below 100,000) guaranteeing them the full 50 percent match payment before payments are calculated and approved for larger jurisdictions.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Jurisdictions with approved applications must request the federal match no later than August 31st of the second federal fiscal year following the end of the federal fiscal year in which their application was approved. In other words, the jurisdiction has two years beyond the year of their application to request payments for vests contained in that application. Payments of the federal match will be made whenever the jurisdiction indicates that at least part of the approved vest order was received and invoiced. See the following for information on how assistance is awarded/released: Funds will be available approximately three to four months after the application deadline. Applicants will be notified, via email, when the award is made and the funds are available. Please see the main BVP web site for more details: <http://ojp.gov/bvpbasi/home.html>.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the General Accounting Office, Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local procurement policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Direct Payments for Specified Use) FY 16 \$18,654,724; FY 17 est \$22,500,000; and FY 18 est \$22,500,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Please visit <http://ojp.gov/bvpbasi/home.html> for information pertaining to program accomplishments and vests purchased. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see the main BVP web site for more details:

<http://ojp.gov/bvpbasi/home.html>.

Regional or Local Office:

None.

Headquarters Office:

Joseph Husted U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, N.W., Washington, District of Columbia 20531 Email:
vests@usdoj.gov Phone: 1-877-758-3787

Website Address:

<http://ojp.gov/bvpbasi/home.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.608 TRIBAL COURT ASSISTANCE PROGRAM (TCAP)**FEDERAL AGENCY:**

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

To develop, enhance, and continue tribal justice systems including law enforcement, pretrial services, risk and needs assessment development and implementation, diversion programming, tribal court services, detention programming, community corrections, re-entry planning and programming, justice system infrastructure enhancement, justice system information sharing, etc.

To respond to and prevent alcohol- and substance abuse-related crimes, including alcohol and substance abuse prevention, healing to wellness courts, intervention, or treatment

To develop, implement, and enhance substance abuse prevention and treatment programs, including those that prevent and address the needs of drug-endangered children

To implement enhanced authorities and provisions under the TLOA and the Violence Against Women Reauthorization Act of 2013

To address the needs of young adults (ages 18-25) who are involved with or at risk of involvement with the justice system

To engage in comprehensive strategic planning to improve tribal justice and community safety as it relates to tribal courts and alcohol and substance abuse.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Planning, implementing or enhancing tribal justice systems, or programs addressing alcohol and/or substance abuse.

Applicant Eligibility:

Federally recognized Indian Tribal governments are eligible to apply for and receive funds under this program.

Beneficiary Eligibility:

Indian Tribal governments.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is administered through the Coordinated Tribal Assistance Solicitation (CTAS) Purpose area 3.

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or <https://www.bja.gov/funding.aspx>.

The 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards: Uniform administrative requirements apply to all OJP grants. The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Normally, 90 days after receipt of applications.

Appeals:

There are no appeal rights for rejection of a discretionary application. See section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Contingent upon congressional funding.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 36 months. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/index.htm>) and Post Award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Reports:

In some cases, evaluation reports may be required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (www.ojp.gov/financialguide/index.htm). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 16 \$4,839,588; FY 17 est \$14,950,000; and FY 18 est \$18,000,000

Range and Average of Financial Assistance:

See solicitation guidelines posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm> and/or www.bja.gov.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Trish Thackston U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 Seventh Street, N.W., Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-307-0581

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.609 PROJECT SAFE NEIGHBORHOODS

(PSN)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended, Title I; 42 U.S.C. 50 et seq.; Crime Control Act of 1990, Public Law 101-647; and, an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

The purpose of PSN is to reduce gun crime and gang violence by the most violent offenders in the most violent neighborhoods by employing a research-driven, intelligence-led, and problem-solving approach to reduce firearms and gang violence through enforcement, deterrence, and prevention. BJA seeks proposals from applicants interested in developing innovative, comprehensive, data-driven approaches to reduce chronic gun crime and/or gang violence. The involvement of the research partner is critical to the success of PSN initiatives.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Project Safe Neighborhoods program focuses on action research, problem solving, strategic planning, and working in partnership with the community to reduce gun crime and gang violence. Project Safe Neighborhoods is a national strategy designed to reduce offenses committed with guns in streets and local neighborhoods. In addition to hiring new state and local prosecutors, the funds will be available to support investigations, provide training, develop and promote community outreach efforts, and improve public safety by supporting gun and gang crime reduction strategies.

PSN should be a part of an overall comprehensive violence reduction strategy. Applicants are encouraged to leverage other federal grant dollars and existing grant resources already in the community, and to partner with a research partner to conduct an impact evaluation to determine the results of the PSN program which may help in securing longer-term funding and sustaining the program locally.

Applicant Eligibility:

Eligible applicants are PSN Task Force fiscal agents for the U.S. Attorney

districts and federally recognized Indian tribal governments (as determined by the Secretary of the Interior). All fiscal agents must be certified by the relevant U.S. Attorneys Office (USAO). Eligible USAO-certified fiscal agents include states, units of local government, educational institutions, faith-based and other community organizations, private nonprofit organizations, and federally recognized Indian tribal governments (as determined by the Secretary of the Interior).

Beneficiary Eligibility:

State and local governments, public and private organizations, Indian Tribal government, prosecutor offices.

Credentials/Documentation:

Eligible applicants must be certified by the U.S. Attorney's Office. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application procedure and time lines will be contained in the program solicitation. See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or <https://www.bja.gov/funding.aspx>. Applications must be submitted on-line at the Office of Justice Programs, Grant Management System: <https://grants.ojp.usdoj.gov/>.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies for competitive applications.

Appeals:

For Formula awards, please see 28 CFR Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Contingent on available funding.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies. Up to 36 months. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide (<http://ojp.gov/financialguide/index.htm>) and Post Award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Reports:

In some cases, evaluation reports may be required. No cash reports are required. Progress reports are due semi-annually. Unless otherwise specified in the awards special conditions, financial reports are due quarterly. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data

that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 16 \$5,727,463; FY 17 est \$0; and FY 18 est \$70,000,000

Range and Average of Financial Assistance:

Prior awards have ranged from approximately \$200,000 to \$500,000.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Made awards to 16 news sites. Each awardee completed a Strategic Action Plan to guide planning and implementation. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

C. Edward Banks U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20735 Email:
AskBJA@usdoj.gov Phone: 202-616-6500

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For competitive grants, criteria for selecting proposals are contained within the individual program solicitations. See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or <https://www.bja.gov/funding.aspx>.

16.610 REGIONAL INFORMATION SHARING SYSTEMS

(RISS)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Part M of Title I of the Omnibus Crime Control and Safe Streets Act of 1968.

OBJECTIVES:

The RISS is a nationwide program supporting local, state, federal, tribal law, and territorial enforcement combat efforts in the areas of terrorism, drug trafficking, organized criminal activity, criminal gangs, violent crime, human trafficking, event de-confliction, and other regional criminal priorities. RISS provides secure, nationwide information and intelligence-sharing capabilities, secure, but unclassified electronic communications capabilities, investigative analysis support, specialized investigative equipment, and other investigative support services. The RISS Program also plays an integral role in the de-confliction of events to assist in officer safety and reduce conflicts between ongoing public safety events nationwide.

RISS provides services in all 50 states, the District of Columbia, U.S. territories, Canada, New Zealand, Australia, and England. RISS services are also made available to tribal agencies throughout the U.S. RISS is the collective effort of six regional centers. The goal is to promote officer safety, enhance the ability to identify, target, and remove criminal conspiracies and activities spanning multi-jurisdictional, multi-state, and sometime international boundaries. While the RISS centers operate independently and are tailored to support the unique needs of the region served, they also operate as a collective body to address national criminal justice issues. RISS is governed by a National Policy Group comprised of the six RISS Directors and the Chair of each regional centers board of directors. The Bureau of Justice Assistance (BJA), U.S. Department of Justice (DOJ), administers the RISS Program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Up to 100 percent of total project grant costs are funded through Federal assistance. Cost-sharing is governed by Appropriation Act requirements. However, projects are encouraged to obtain and utilize additional funds through voluntary contributions, membership fees or dues, fees for service, or other sources from member agencies or governmental units benefiting from project services. Such funds will be considered program income and must be used to augment project operations or to reduce the Federal share of project costs.

Applicant Eligibility:

Six RISS Centers are authorized as eligible to receive funding to provide services to law enforcement agencies throughout the nation. The Centers are: The Middle Atlantic Great-Lakes Organized Crime Law Enforcement Center (MAGLOCLEN), the Mid-States Organized Crime Information Center (MOCIC), the New England State Police Information Network (NESPIN), the Regional Organized Crime Information Center (ROCIC), the Rocky Mountain Information Network (RMIN), and the Western States Information Network (WSIN). Additionally, a competitively selected entity will serve as the administrator of network and support system capabilities serving the aforementioned six RISS Centers. Applications are limited to these six RISS Centers and the competitive process to select an awardee for the purpose of administration and on behalf of the RISS Technology Service Center (RTSC).

Beneficiary Eligibility:

State and local criminal justice agencies; Federal, State, local, tribal, and territorial law enforcement agencies and personnel benefit from this program.

Credentials/Documentation:

The six RISS Centers are required to submit a Federal application for assistance, as well as a detailed program and budget narrative. A competitive process is conducted for the administration of the RISS Technology Service Center (RTSC). Summary information about each RISS Center is available on the central RISS Website. See <http://www.riss.net>. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should

consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

BJA receives and reviews all applications for completeness, accuracy, and compliance with all of BJA's program requirements, to include adherence to the fiscal guidelines and grant reporting.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days prior to the expiration of the project's current active grant.

Appeals:

Not Applicable.

Renewals:

A project must submit a written request justifying the need for the extension and how the extension would be consistent with the objectives of the grant 60 days prior to the expiration of the current grant.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made for a period of 12 months. See the following for information on how assistance is awarded/released: Reimbursement. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>).

Reports:

Reporting requirements for grants/projects awarded under the RISS Program are articulated in the OJP Financial Guide. Regular progress reports are required to be submitted to the BJA Grants Management System (GMS). No cash reports are required. No progress reports are required. No expenditure reports are required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the General Accounting Office, the Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0401-0-1-754.

Obligations:

(Project Grants) FY 16 \$31,568,062; FY 17 est \$35,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

See the current fiscal years solicitation guidelines posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None. Summary information about each RISS Center is available on the central RISS Website. See <http://www.riss.net>.

Headquarters Office:

David P. Lewis U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
david.p.lewis@usdoj.gov Phone: 202-616-6500

Website Address:

<http://www.riss.net>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.614 STATE AND LOCAL ANTI-TERRORISM TRAINING

SLATT

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice in the current fiscal year 42 U.S.C 3756(a)(2).

OBJECTIVES:

To provide specialized, terrorism/extremism orientation, interdiction, investigation, and prevention training and technical assistance to state and local law enforcement executives, command personnel, intelligence officers, investigators, analytical personnel, training directors, and prosecutors.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; TRAINING

USES AND USE RESTRICTIONS:

Grant program funds are intended to: (1) provide state and local law enforcement (including prosecution authorities) a general awareness and working knowledge of domestic terrorist and "political" extremist movements; (2) disseminate information relating to vital elements of law enforcement anti-terrorism preparedness and readiness; (3) provide a general planning orientation to State and local law enforcement pertaining to crisis and consequence management and incident command, including essential elements requisite to conducting criminal investigations and prosecutions of terrorist threats and incidents; and (4) maintain and enhance a domestic terrorism public source database. Standard restrictions as outlined in OJP's Financial Guide apply.

Applicant Eligibility:

For-profit (commercial) organizations; nonprofit organizations; faith-based and community organizations; institutions of higher education; and consortiums with significant and demonstrated experience in terror prevention strategies and in delivering training and technical assistance to law enforcement and tribal communities are eligible to apply. For-profit organizations must agree to waive any profit or fees for services. Joint applications from a consortium must identify the primary applicant and co-applicant(s), and letters of support that outline the partners' responsibilities must be provided.

Beneficiary Eligibility:

State, local, and tribal criminal justice agencies are the primary beneficiaries of this program.

Credentials/Documentation:

All applicants are required to submit an application for assistance, as well as a detailed program and budget narrative. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The standard application forms (SF-424) as furnished by the federal agency in accordance with 28 CFR Part 66 (Common Rule), must be used for this program.

To learn if the state has designated an entity to coordinate and review proposed federal financial assistance, see list of states at:

https://www.whitehouse.gov/omb/grants_spoc. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>). If you experience difficulties at any point during this process, please call the Grants.gov Customer Support Hotline at (1-800) 518-4726.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will generally be approved or denied within 60 days of receipt of a completed application.

Appeals:

There are no appeal rights for rejection of a discretionary application. Please see 28 CFR Part 18.

Renewals:

Renewal is contingent upon: (1) Congressional funding; and (2) Justification. An awardee must submit a written request justifying the need for the extension and how an extension would be consistent with the objectives of the grant within 60 days of expiration.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

12 months. See the following for information on how assistance is awarded/released: Reimbursement. See the following for information on how assistance is awarded/release: Department of Justice Grants Financial Guide (<http://www.ojp.gov/funding/solicitations.htm>) and Post Award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the General Accounting Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0401-0-1-754.

Obligations:

(Training) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

One cooperative agreement for up to \$1 million for a project period of 12 months.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Since implementation of the program, SLATT has trained over 148,000 law enforcement professionals. It has also trained 3,500 law enforcement instructors who have in turn trained approximately 270,000 law enforcement officers. The program has produced various webinars and resources that are housed on a secure website, www.slatt.org. Since 2015, more than 16,600 unique users have taken advantage of resources provided through SLATT.org, and more than 55,000 printed publications have been provided to requesting agencies. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Deborah Meader U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, N.W., Washington, District of Columbia 20531 Email:
AskBJA@usdoj.gov Phone: 202-616-6500 or (1-866) 859-2647

Website Address:

<http://www.ojp.usdoj.gov/training/training.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications received by BJA are reviewed for completeness, accuracy, and compliance with all program requirements.

16.615 PUBLIC SAFETY OFFICERS' EDUCATIONAL ASSISTANCE (PSOEA)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Educational Assistance to Dependents of Civilian Federal Law Enforcement Officers Killed or Disabled in the Line of Duty, codified at 34 U.S.C. 10301-10308 (as reclassified effective September 1, 2017), formerly classified to sections 3796d-3796d-7 of Title 42, The Public Health and Welfare.

OBJECTIVES:

The PSOEA Program, an expansion of the Federal Law Enforcement Dependents Assistance (FLEDA) Program, provides financial assistance for higher education to the spouses and children of public safety officers killed in line of duty. The PSOEA Program also makes assistance available to spouses and children of public safety officers permanently and totally disabled by catastrophic injuries sustained in the line of duty. Under the PSOEA Program, the families of state and local police, fire, and emergency public safety officers are covered for line-of-duty deaths that occurred on or after January 1, 1978. The families of federal law enforcement officers and firefighters are covered for line of duty deaths occurring on or after October 12, 1984. The effective date for families of permanently and totally disabled Federal law enforcement officers is October 3, 1996. Families of state, local, and tribal police, fire, and emergency public safety officers are covered for line-of-duty permanent and totally disabling injuries that occurred on or after November 13, 1998. Families of Federal Emergency Management Agency (FEMA) personnel and state, local, and tribal emergency management and civil defense agency employees are covered for such injuries sustained on or after October 30, 2000.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Program funds are intended solely to defray eligible applicants' educational expenses, which may include tuition, fees, room and board, books, supplies, and other expenditures consistent with the educational, professional, or vocational objectives of the applicant. Funds are restricted to defray above costs only.

Applicant Eligibility:

Spouses and children who attend a program of education at an eligible institution and are the spouse and/or surviving children under the age of 27 of federal, state, and local public safety officers whose deaths or permanent and totally disabling injuries are covered by the Public Safety Officers' Benefits (PSOB) Program (34 U.S.C. 10281-10288) are eligible for this program. The maximum age of eligibility for surviving children will be extended by the amount of time a claim for death, disability, or education benefits has been pending before the Bureau of Justice Assistance in excess of one year. A public safety officer is

(A) an individual serving a public agency in an official capacity, with or without compensation, as a law enforcement officer, as a firefighter, or as a chaplain;

(B) an employee of the Federal Emergency Management Agency who is performing official duties of the Agency in an area, if those official duties (i) are related to a major disaster or emergency that has been, or is later, declared to exist with respect to the area under the Robert T. Stafford Disaster Relief and Emergency Assistance Act; and (ii) are determined by the Administrator of the Federal Emergency Management Agency to be hazardous duties;

(C) an employee of a State, local, or tribal emergency management or civil defense agency who is performing official duties in cooperation with the Federal Emergency Management Agency in an area, if those official duties (i) are related to a major disaster or emergency that has been, or is later, declared to exist with respect to the area under the Robert T. Stafford Disaster Relief and Emergency Assistance Act; and (ii) are determined by the head of the agency to be hazardous duties; or

(D) a member of a rescue squad or ambulance crew who, as authorized or licensed by law and by the applicable agency or entity, is engaging in rescue activity or in the provision of emergency medical services.

Beneficiary Eligibility:

The spouse and surviving children of federal, state, and local public safety officers receive the ultimate benefits from this program. The children may receive benefits for classes taken before their 27th birthday. There is no age restriction for spouses.

Credentials/Documentation:

Documentation needed to apply for assistance includes: the Application for Public Safety Officer's Educational Assistance (34 U.S.C. 10301-10308); educational transcripts; tuition/fees statements; acceptance letters to educational/professional institutions; schedule of classes; verification of full- or part-time status; and certification of other private educational assistance. Contact the PSOB office at 1-888-744-6513 or by e-mail at AskPSOB@usdoj.gov for full credential/documentation requirements. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Claimants may initiate a claim by filing online at www.psob.gov, telephoning, or writing the PSOEA Program, PSOB Office, Bureau of Justice Assistance, 810 Seventh Street, N.W., Washington, DC 20531, (1-888) 744-6513 or AskPSOB@usdoj.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The applicant must submit a fully executed application to the PSOEA Program, PSOB Office, Bureau of Justice Assistance, 810 Seventh Street, N.W., Washington, DC 20531. For further information please visit the OJP BJA PSOB website at <https://www.psob.gov/index.html>.

Award Procedure:

Upon a finding of eligibility by the Bureau of Justice Assistance, claimants are typically awarded funds for the current or upcoming term, and they may apply for the following term once the earlier term has been completed. Funds can be received for multiple terms at one time if they have already been completed.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Dependent upon validity of claim and submission of required documentation.

Appeals:

Applicants may appeal within 30 days of denial notification. The appeal process consists of a record review by an administration hearing officer.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for the equivalent of 45 months of full-time education or training, or for a proportional period of time for a part-time program. Assistance is typically awarded in 4-month installments to coincide with the length of a school term. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

15-0403-0-1-754.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$4,000,000; FY 17 est \$16,300,000; and FY 18 est \$16,300,000

Range and Average of Financial Assistance:

For classes taken since October 1, 2016, the rates of assistance are \$1024 per month for full-time students, \$767 for three-quarter-time students, \$510 for half-time students, and \$256 for less-than-half-time students. The amount of assistance is subject to change consistent with the current computation of educational assistance allowance set forth in Title IV of the Higher Education Act, Section 3532 of Title 38, U.S.C.

TAFS Codes:

15-0403.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Bureau of Justice Assistance's Public Safety Officers' Educational Assistance Program Fact Sheet (April 2011) at https://www.bja.gov/Publications/PSOB_FS.pdf.

Regional or Local Office:

None.

Headquarters Office:

Hope Janke U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
AskPSOB@usdoj.gov Phone: 1-888 744-6513 or (202) 616-6500

Website Address:

https://www.bja.gov/ProgramDetails.aspx?Program_ID=78

RELATED PROGRAMS:

16.571 Public Safety Officers' Benefits Program; 16.575 Crime Victim Assistance; 16.576 Crime Victim Compensation

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications received by BJA are reviewed for completeness, accuracy, and compliance with all program requirements.

16.616 INDIAN COUNTRY ALCOHOL AND DRUG PREVENTION

Indian Alcohol and Substance Abuse Program

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

The Indian Alcohol and Substance Abuse Demonstration Program was created by the FY 2001 Consolidated Appropriations Act (Public Law 106-553); and,

an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

As of FY17, this program is combined with the Tribal Court Assistance Program (TCAP) and administered as a single purpose area within the Coordinated Tribal Assistance Solicitation (see CFDA#16.608). The program assist tribal governments in the development and enhancement of tribal justice strategies to address crime issues related to alcohol and substance abuse.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Indian Alcohol and Substance Abuse Program (IASAP) provides funding and technical assistance to federally recognized tribal governments, including eligible Alaska Native villages and corporations, and authorized intertribal consortia, to plan, implement, or enhance tribal justice strategies to address crime issues related to alcohol and substance abuse. No match is required.

Applicant Eligibility:

For specifics, see the Coordinated Tribal Assistance Program (CTAP) solicitation at the Office of Justice Programs website <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Beneficiary Eligibility:

Federally recognized Tribal governments.

Credentials/Documentation:

For specifics, see the Coordinated Tribal Assistance Program (CTAP) solicitation at the Office of Justice Programs website <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For specifics, see the Coordinated Tribal Assistance Program (CTAP) solicitation at the Office of Justice Programs website <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Award Procedure:

All applications will be peer reviewed. The BJA Director will then make award recommendations to OJP's Assistant Attorney General, who will make final determinations. Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For specifics, see the Coordinated Tribal Assistance Program (CTAP) solicitation at the Office of Justice Programs website <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Appeals:

There are no appeal rights for rejection of a discretionary application. Please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Contingent upon Congressional funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not to exceed 36 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 16 \$13,632,104; FY 17 est \$0; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Program announcement contains information regarding the range and average level of financial assistance. Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Trish Thackston U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, N.W.
, Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone:
(202) 616-6500

Website Address:

https://www.bja.gov/ProgramDetails.aspx?Program_ID=63

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For specifics, see the Coordinated Tribal Assistance Program (CTAP) solicitation at the Office of Justice Programs website <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.710 PUBLIC SAFETY PARTNERSHIP AND COMMUNITY POLICING GRANTS
COPS Office

FEDERAL AGENCY:

Office of Community Oriented Policing Services, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, 42 U.S.C. 3796dd, as amended; Violent Crime Control and Law Enforcement Act of 1994, Title I, Part Q, Public Law 103-322.

OBJECTIVES:

The COPS Office was established in 1994 to assist state, local and tribal law enforcement agencies in enhancing effectiveness and building the capacity to advance public safety through the implementation of community policing strategies. Community policing entails developing partnerships between law enforcement agencies and the communities they serve so they can work collaboratively to resolve problems and build community trust. It is a philosophy that promotes organizational strategies that support the systematic use of partnerships and problem solving techniques, in order to proactively address the immediate conditions that give rise to public safety issues such as crime, social disorder, fear of crime, and satisfaction with police services. Community policing is comprised of three key components:

Community Partnerships

Collaborative partnerships between the law enforcement agency and the individuals and organizations they serve to develop solutions to problems and increase trust in police:

- Other government agencies
- Community members/groups
- Nonprofits/service providers
- Private businesses
- Media

Organizational Transformation

The alignment of organizational management, structure, personnel, and information systems to support community partnerships and proactive problem solving:

- Agency management
- Climate and culture
- Leadership
- Labor relations
- Decision-making
- Strategic planning
- Policies
- Organizational evaluations
- Transparency
- Organizational structure
- Geographic assignment of officers
- Despecialization
- Resources and finances
- Personnel
- Recruitment, hiring, and selection
- Personnel supervision/evaluations
- Training
- Information systems (Technology)
- Communication/access to data
- Quality and accuracy of data

Problem Solving

The process of engaging in the proactive and systematic examination of identified problems to develop and rigorously evaluate effective responses:

Scan: Identify and prioritize problems
Analysis: Research what is known about the problem
Response: Develop solutions to bring about lasting reductions in the number and extent of problems
Assessment: Evaluate the success of the responses
Use the crime triangle to focus on immediate conditions (victim/offender/location).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Since 1994, the COPS Office has invested approximately \$14.9 billion to add officers to the nation's streets; enhance crime fighting technology; support crime prevention initiatives; promote police reform; and provide training and technical assistance to help advance community policing. The programs and initiatives developed have provided funding to more than 13,000 of the nation's 16,000 law enforcement agencies. Through these efforts, the COPS Office has helped create a community policing infrastructure across the nation.

In FY 2017, COPS Office will fulfill its mission of advancing public safety through community policing by continuing to:

- Provide grants under the COPS Hiring Program (CHP) to support the hiring of sworn and non-sworn law enforcement personnel nationwide;
- Promote the hiring of veterans into non-sworn law enforcement positions;
- Continuing oversight and coordination of the National Blue Alert System, created under the Rafael Ramos and Wenjian Liu National Blue Alert Act of 2015. The system is designed to rapidly disseminate information to law enforcement, the media and the public to aid in the apprehension of violent criminals who pose a risk to law enforcement;
- Advancing the work of the national Officer Safety and Wellness (OSW) Group, which brings together representatives from law enforcement, federal agencies, and the research community to focus attention on this critical aspect of public safety. Semi-annual meetings of the OSW Group help amplify critical officer safety issues and result in reports which highlight best practices around different safety and wellness topics;
- Provide grants under the Coordinated Tribal Assistance Solicitation to support the implementation of community policing and meets the needs of law enforcement in Tribal Nations;
- Provide grants under the Preparing for Active Shooter Situations to support training providers who can offer integrated, scenario-based response courses as described in the 2016 Police Act;
- Provide grants under the COPS Anti-Meth Task Force and COPS Anti-Heroine Task Force to support law enforcement agencies with multijurisdictional reach interdisciplinary team structures;
- Provide grants under the COPS Community Policing Development program to advance community policing through training and technical assistance, the development of innovative community policing strategies, applied research, guidebooks and best practices. Applicants may select from the following topics: Cooperative Partnerships with federal law enforcement to combat illegal immigration, officer safety and wellness resources, enhancing officer safety through increased respect for police, critical response technical assistance, and online training development;
- Develop, deliver, and continue to evaluate state-of-the-art training and technical assistance to enhance law enforcement officers problem-solving and community interaction skills;
- Expanding the number of sites reached by the Collaborative Reform Initiative for Technical Assistance;
- Align training and technical assistance to problems identified in the officer hiring program;
- Promote improved public safety outcomes by infusing community policing core principles in all grant programs, and acting on evidence that it advances public safety;
- Support innovative programs that respond directly to the emerging needs of state, local, and tribal law enforcement in order to shift law enforcement focus to preventing, rather than reacting to, crime and disorder within their communities;
- Provide responsive, cost effective customer service delivery to grantees to ensure success in implementing community policing strategies within their

communities; and
100% of COPS funds are discretionary funds. The COPS Office authorizing statute contains specific restrictions applicable to all programs. In addition, annual appropriations acts may impose additional restrictions. 100% of COPS funds are Discretionary funds.

Applicant Eligibility:

States, units of local government, Federally Recognized Indian tribal governments, U.S. territories or possessions (including the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Mariana Islands), other public and private entities, and multi-jurisdictional or regional consortia thereof.

Beneficiary Eligibility:

States, units of local government, Federally Recognized Indian tribal governments, U.S. territories or possessions (including the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Mariana Islands), other public and private entities, and multi-jurisdictional or regional consortia thereof.

Credentials/Documentation:

Documentation varies by program, but may include proof of primary law enforcement authority, any specified Memorandums of Understanding, and Certifications, Assurances and Disclosure of Lobbying Activities. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant submits application and budget forms as well as other required documentation (including required assurances, certifications, retention information, lobbying, etc.) prescribed by the grantor agency and approved by OMB, as outlined in the Application Guide. Application Guides are available from the grantor agency. At the time of submission, original or certified electronic signatures of duly authorized representatives (usually the Law Enforcement and Government Executive). The COPS Office offers the option of applying for COPS Grants online through the COPS website and using the collaborative, multiple-agency E-government initiative Grants.gov. If applicants apply online, the agency is required to complete the mandatory online forms and submit the application through their Authorized Organizational Representative.

Award Procedure:

Upon approval by the Director, Office of Community Oriented Policing Services, award notification letters will be sent to grantees. The grant award must be signed by a duly authorized representative and returned to the designated office within 90 days of the award mail date.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Typically between 4-6 months after receipt of application.

Appeals:

See 28 CFR Part 18.

Renewals:

COPS grants are made for between 1 and 3 years depending on the program. Renewals and/or extensions may be available under some grant programs and

will be considered on a case-by-case basis. If available, extensions should be requested not sooner than 90 days prior to the expiration of the original project period.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: There is no local match requirement for CHP (FY 2010 and FY 2011 only) Anti-Heroin Task Force, CAGI, CAMP, CSPP, Tech, Meth, SSL, CPD, CRI-TA, TRGP-Hiring, TRGP-E/T and Tribal Meth.

UHP and Tech recipients (FY 2007 Tech recipients only) must contribute at least 25 percent of allowable project costs, unless a local match waiver is approved by the COPS Office (42 USC 379dd(g)).

SOS recipients must contribute at least 50 percent of allowable project costs (42 USC 3797a(d)).

FY 2012 to FY 2015, CHP recipients must contribute a minimum of 25 percent of the allowable project costs, unless a local match waiver is approved by the COPS Office.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

COPS grants are made for between 1 and 3 years depending on the program. Assistance is released on a reimbursement or limited advance basis. See the following for information on how assistance is awarded/released: Grantees submit request for payment when needed.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual programmatic reports and quarterly financial status reports will be required as stipulated in the effective edition of the relevant grant owner's manual distributed by the grantor agency. Other reporting requirements, such as a final progress report and a closeout report may also be required depending on the grant program. Grantees are required to submit quarterly SF-425 financial expenditure reports for each individual COPS grant awarded. COPS uses a risk-assessment model to determine on-site monitoring and desk reviews.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, and all other records pertinent to a grant shall be retained for a period of 3 years following the conclusion of Federal assistance.

Account Identification:

15-0406-0-1-754.

Obligations:

(Project Grants) FY 16 \$167,422,943; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Range: \$10,390.00 - \$3,513,921.00

Average award: \$167,547.00.

TAFS Codes:

15-NA-09-10-0412-NA.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Application Guides and current edition of M7100.1, which are available from Headquarters.

Regional or Local Office:

None.

Headquarters Office:

David Neely 145 N St., NE., Washington , District of Columbia 20530 Email: david.neely2@usdoj.gov Phone: 2025148553

Website Address:

<http://www.cops.usdoj.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals are set forth in the Violent Crime Control and Law Enforcement Act of 1994, Public Law 103-322. Additional criteria may be developed by the Office of Community Oriented Policing Services and will be published in COPS application materials.

16.726 JUVENILE MENTORING PROGRAM

Mentoring

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 1974, Part E, 42 U.S.C. Sections 5665-66, and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

To provide resources to prevent juveniles from coming into contact with the juvenile justice system as a result of gang-related activity. To develop and maintain a national website to serve as a one-stop shop for publications and practitioner-oriented resources on youth and street gangs; identify promising gang programs and strategies as well as maintain information in a searchable database; provide national training coordination services and technical assistance support to the Gang Resistance Education and Training (G.R.E.A.T.) Program; develop a comprehensive antigang training plan to be delivered to chronic gang cities, community partners, and law enforcement/personnel; produce and support the production of publications and resource materials for federal, state, and local practitioners, policymakers, and researchers; or develop specific antigang training curricula/courses as well as develop new courses on emerging gang issues.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To be eligible for an Award under the Juvenile Mentoring Program, an applicant must: (1) respond to legislative requirements contained in Section 261 (a) and (b) of the JJDP Act, as amended as well as specific program guidelines issued by the Office of Juvenile Justice and Delinquency Prevention (OJJDP); (2) be consistent with the objectives and priorities of OJJDP; (3) provide for proper program administration, evaluation, and fiscal reporting; (4) demonstrate, in the overall quality of the proposal, that the program is technically sound and will achieve the required program objectives at the highest possible level; and (5) respond to clear and documentable needs. Funds are awarded to help communities assess and address local gang problems.

Applicant Eligibility:

Eligible applicants are limited to states (including territories), units of local government, federally recognized tribal governments as determined by the Secretary of the Interior, nonprofit organizations, and for-profit organizations (including tribal nonprofit and for-profit organizations), as well as institutions of higher education (including tribal institutions of higher education). For-profit organizations (as well as other recipients) must agree to forgo any profit or management fee.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail

worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

See program announcement regarding preapplication coordination (if any), environmental impact information, and coverage under E.O. 12372. Generally, E.O. 12372 does not apply to grants for technical assistance, research that is national in scope, or research that is not designated to benefit a particular state.

Application Procedures (092):

Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS. Specific application instructions for program announcements are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>). An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies by project.

Appeals:

There are no appeal rights for the denial of a discretionary application.

Renewals:

See applicable program announcement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project--see applicable program announcement. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. No expenditure reports are required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public

Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

Generally, all financial records, supporting documents, statistical records, and all other records pertinent to the award must be retained for a period of three (3) years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants) FY 16 \$79,137,324; FY 17 est \$80,000,000; and FY 18 est \$5,800,000

Range and Average of Financial Assistance:

Award amounts vary according to solicitation.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2015 (https://ojp.gov/financialguide/DOJ/pdfs/2015_DOJ_FinancialGuide.pdf) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.). See program announcement and Uniform Administrative Requirements in 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention, Washington, District of Columbia 20531 Phone: (202) 514-1289

Website Address:

<http://www.ojdp.ncjrs.gov>

RELATED PROGRAMS:

16.609 Community Prosecution and Project Safe Neighborhoods

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Funding has supported the National Gang Center to provide training and technical assistance to criminal and juvenile justice professionals, law enforcement, youth-and community-serving practitioners, researchers, and the public on addressing gang issues and reducing gang involvement and levels of gang crime. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Varies by program. Applications are judged according to their consistency with the policies and program priorities established by OJJDP and applicable laws.

16.727 ENFORCING UNDERAGE DRINKING LAWS PROGRAM
(EUDL)

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Section 504 of the Juvenile Justice and Delinquency Prevention Act, 42 U.S.C. 5783. Congress has delegated the authority to administer the EUDL Program to the Office of Juvenile Justice and Delinquency Prevention (OJJDP), in the Department of Justice's (DOJ's) Office of Justice Programs (OJP) under the Consolidated Appropriations Act, 2010, Public Law 111-117. and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

The EUDL Discretionary Program supports and enhances efforts by States, Territories, and the District of Columbia, in cooperation with local jurisdictions, to prohibit the sale of alcoholic beverages to, or consumption of alcoholic beverages by minors. (For the purpose of this solicitation, "minors" are defined as individuals under the age of 21.) Funds will be dispersed to support discretionary program activities in local jurisdictions, as well as training and technical assistance to all States. The local programs implement the comprehensive approach to curtailing access and consumption of alcoholic beverages by minors, including the enforcement of laws pertaining to underage alcohol purchase, possession, and use.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

For purposes of this initiative, minors are defined as individuals under 21 years of age. Activities under the EUDL Program may include:

- Statewide task forces of state and local law enforcement and prosecutorial agencies to target establishments suspected of a pattern of violations of state laws governing the sale and consumption of alcohol by minors.
- Public advertising programs to educate establishments about statutory prohibitions and sanctions.
- Innovative programs to prevent and combat underage drinking. These funds cannot be used to supplant existing programs and activities (Supplanting means to deliberately reduce State or local funds because of the existence of Federal funds).

Applicant Eligibility:

To receive a Block Grant, Governors and the Mayor of the District of Columbia designated an agency to serve as the point of contact to apply for, receive, and administer the targeted grant funds. The designated State agencies may apply to receive a Discretionary Grant. Their applications must detail a comprehensive approach to curtailing access and consumption of alcoholic beverages by minors, including the enforcement of underage drinking laws and how that approach will be implemented by State-selected local communities. States are encouraged to link with ongoing public and private efforts (including those of foundations and national organizations). Training and technical assistance were made available through a separate application process.

Beneficiary Eligibility:

States are eligible to apply for discretionary funds through a separate application process.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for

Federal Awards. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications.

Each applicant must detail a comprehensive approach to enforcing underage drinking laws and describe how the Federal funds will be used to contribute to the implementation of that comprehensive approach. States are encouraged to link with ongoing public and private efforts (including law enforcement agencies and those of foundations and national organizations). Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs. Local units of government will receive Enforcing Underage Drinking Laws funds through the applicant agency subgrant award process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Hearings and appeal procedures will follow 28 CFR Part 18, of the Department of Justice Regulations.

Renewals:

Renewals are subject to appropriations.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made for 24 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants) FY 16 \$46,068; FY 17 est \$0; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 (www.ojp.gov/financialguide/DOJ/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20531 Phone: (202) 616-9135

Website Address:

<http://www.ojjdp.ncjrs.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.730 REDUCTION AND PREVENTION OF CHILDREN'S EXPOSURE TO VIOLENCE

Safe Start Program

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Public Law 105-277, and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

To develop an initiative for the purpose of understanding, preventing, and reducing the impact of family and community violence on young children. The initiative will help communities expand existing partnerships between service providers (such as law enforcement, mental health, health, early childhood education and others) to create a comprehensive service delivery system. Efforts will involve studying the efficacy of these service delivery systems, replicating the most effect systems, and studying the scope of the problem of children's exposure to violence in the United States.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Applicant selected to receive Safe Start cooperative agreements may use funds to establish and enhance a broad range of local prevention, intervention and treatment services for young children who have been exposed and are at risk of being exposed to violence; develop effective multi-agency protocols; coordinate services to develop a community-wide system for responding to the needs of children exposed or at risk of exposure to violence; study the efficacy of these systems; and study the scope of the problem of children's exposure to violence in the U.S.

Applicant Eligibility:

To be eligible for a Safe Start cooperative agreement, applicants must be public

agencies (including state agencies, local units of government, and tribal governments) and/or private organizations who agree to waive any profit or fee.

Beneficiary Eligibility:

Eligible applicants for the Safe Start Demonstration Projects are communities that have formed a strong collaborative group (or shown the ability and commitment to expand coordination with key partners such as courts, law enforcement, early childhood development and domestic violence agencies, and mental health services) to prevent and address the impact that exposure to violence has on young children. Applicants for the research and evaluation projects are public and private agencies (private, for-profit organizations must agree to waive any profit for fee), organizations, institutions, or individuals that have demonstrated experience in evaluating broad-based community initiatives and whose experience includes the design of studies capable of analyzing process and measuring impact across multiple communities and the development and delivery of evaluation-based training and technical assistance.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, Circular No. A-21 for educational institutions, and Circular No. A-122 for nonprofit organizations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Applicants are expected to address each concern or requirement in the solicitation as clearly and specifically as possible, giving particular attention to goal and objective statements, methodology and data requirements. A peer review group is established as mandated in Section 262(d)(1)(A) of JJDP Act and applications are rated and ranked in relation to pre-defined selection criteria. This program is subject to the provisions of OMB Circular No. A-110 and the Common Rule.

Award Procedure:

Cooperative agreements are awarded directly to selected applicants, who are notified of a pending award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 6 months.

Appeals:

Not Applicable.

Renewals:

Continuation grant and/or supplemental award.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards may be for projects from 12 to 48 months. Specific direction about the length of the projects to be funded is given in the solicitation. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Recipients are

required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0405-0-1-754.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Safe Start solicitation/guideline is published in the Federal Register and awards are governed by the OJP Financial Guide (www.ojp.gov/financialguide/DOJ/index.htm), which is available upon request. Reports and studies developed through the OJJDP National Institute are available and can be secured by contacting OJJDP in Washington, DC.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20531 Phone: (202) 307-9963

Website Address:

<http://www.ojjdp.ncjrs.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are published in the Federal Register as part of the individual program announcement. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy, 28 CFR Part 34.

16.731 TRIBAL YOUTH PROGRAM

(TYP)

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice.

OBJECTIVES:

To support and enhance tribal efforts to prevent and reduce juvenile delinquency as well as strengthen a fair and beneficial juvenile justice system response for American Indian and Alaska Native Youth. To reduce, control, and prevent crime both by and against tribal youth; to provide interventions for court-involved tribal youth; to improve tribal juvenile justice systems; and to provide prevention programs focusing on alcohol and drugs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Population of youth served under this grant must not include youth who are age 18 or older.

Applicant Eligibility:

Only federally recognized Indian tribes, as determined by the Secretary of Interior, may apply. This includes Alaska Native villages and tribal consortia consisting of two or more federally recognize Indian tribes. Applicants will be asked to submit documentation reflective of their legal authority to apply for funds on behalf of their tribes. Recognizing that tribes have different forms of tribal governance and tribal laws vary, no prescribed form of documentation will be required.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

varies by project.

Appeals:

See 28 C.F.R. Part 18.

Renewals:

See applicable program announcement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made for 36 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Recipients are

required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See Uniform Administrative Requirements, 2 C.F.R. Part 200 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be retained for a period of 3 years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information, see Uniform Administrative Requirements, 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 16 \$8,472,642; FY 17 est \$66,185,000; and FY 18 est \$89,670,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See program announcement and Uniform Administrative Requirements, 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW , Washington , District of Columbia 20531 Phone: (202) 514-1289

Website Address:

<http://www.ojdp.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Coordinated Tribal Assistance Solicitation Purpose Area 9: Tribal Youth Program. See www.justice.gov/tribal/grants for more information. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Varies by program. Applications are judged according to their consistency with the policies and program priorities and applicable laws.

16.734 SPECIAL DATA COLLECTIONS AND STATISTICAL STUDIES

Statistics

FEDERAL AGENCY:

Bureau of Justice Statistics, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended; 42 U.S.C. 3732.

OBJECTIVES:

To produce official national statistics on crime and the administration of justice to be used to guide Federal, State, and local policy-making and improve the quality of and access to information used for decision making.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used for a variety of activities in support of BJS's statistical programs including: to conduct data collection and processing activities; carry out statistical and methodological research; provide technical assistance to State, local, and tribal governments to develop their capabilities to produce justice statistics; provide dissemination and clearinghouse functions, and other information services to data users.

Applicant Eligibility:

The Bureau of Justice Statistics is authorized to award grants and cooperative agreements to State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, institutions of higher education, and qualified individuals. Applicants from the Territories of the United States and federally recognized Indian Tribal Governments are also eligible to participate in this program.

Beneficiary Eligibility:

Eligible beneficiaries are State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, institutions of higher education, and qualified individuals.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, all of the requirements listed in the solicitation, including a detailed budget, a budget narrative, and a program narrative including the accomplishments, goals, impact, methods, evaluation plan, and resources of the project.

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

An award is granted by the Director of the Bureau of Justice Statistics and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement. Successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs..

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Hearings by the Director.

Renewals:

Most awards are for periods of 12 months. Under some circumstances, a subsequent award may be made to continue the project.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project duration period is generally 12 months. Assistance is released by "direct disbursement" at the request of the grantee. See the following for information on how assistance is awarded/released: Contact program office for more information.

Reports:

No program reports are required. No cash reports are required. Progress Reports presenting information relevant to the performance of the project must be submitted online through GMS for the reporting periods June 30 and December 31. Deliverables, including any program reports, are normally specified in the solicitation. Financial Status Reports containing the actual expenditures for the reporting period and cumulative for the award must be submitted quarterly online through GMS. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements

Records:

See 2 C.F.R . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0401-0-1-754.

Obligations:

(Cooperative Agreements) FY 16 \$28,836,763; FY 17 est \$45,000,000; and FY 18 est \$41,000,000

Range and Average of Financial Assistance:

\$50,000 to \$1,000,000.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post Award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Regional or Local Office:

None.

Headquarters Office:

Gerard Ramker U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Statistics
810 7th Street, NW, Washington, District of Columbia 20531 Email:
Gerard.Ramker@usdoj.gov Phone: 202-307-0765

Website Address:

<http://www.bjs.ojp.usdoj.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria will be outlined in the program announcements or solicitations. Program announcements or solicitations can be found on BJSs website at <http://bjs.ojp.usdoj.gov/index.cfm?ty=fun>.

16.735 PREA PROGRAM: DEMONSTRATION PROJECTS TO ESTABLISH "ZERO TOLERANCE" CULTURES FOR SEXUAL ASSAULT IN CORRECTIONAL FACILITIES

Prison Rape Elimination Act (PREA)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

The Prison Rape Elimination Act of 2003, Pub. L. No. 108-79, as amended, codified at 42 USC 15601, et seq.; and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

The Prison Rape Elimination Act (PREA) Program provides support to states, units of local government, and federally-recognized Indian tribes for demonstration projects within confinement settings, including adult prisons and jails, juvenile facilities, community corrections facilities, law enforcement lockups and other temporary holding facilities, and tribal detention facilities. The goal of this program is to assist correctional facilities in implementing comprehensive prevention, identification, and response mechanisms that will reduce the incidence of sexual abuse in confinement facilities; promote a culture of zero tolerance toward sexual abuse; and support facilities efforts to achieve compliance with the PREA standards.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants

USES AND USE RESTRICTIONS:

The PREA Program supports projects with program design elements that are intended to reduce sexual victimization in correctional facilities, promote a zero tolerance environment, and assist correctional facilities in meeting the requirements of the PREA standards. Grants may be used to support the following types of design elements as part of a comprehensive approach:

- Policy and practice review and revision
- Preventative infrastructure and technology enhancements
- Inmate/detainee/resident education
- Victim support services
- Leadership and assessment of organizational culture
- Data collection and performance measurement
- Staffing support and training
- Evaluation
- PREA audit activities.

Applicant Eligibility:

Eligible applicants are limited to any national nonprofit organization, for-profit (commercial) organization (including tribal nonprofit or for-profit organizations), or institution of higher education (including tribal institutions of higher education) that have expertise and experience managing and delivering training and technical assistance on complex corrections or criminal justice issues at the national and local levels. For-profit organizations (as well as other recipients, including tribal institutions of higher education) must forgo any profit or management fee.

Beneficiary Eligibility:

Local agencies that oversee small- and medium-sized jails (i.e., with 500 beds or less), lockups, and juvenile facilities.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. The applicant is also required to submit a description that includes: (1) program goals; (2) implementation

process; (3) timetable for implementation; and (4) documentation that includes organizational experience, name of grantee agency or fiscal agent, identification of management structure, letters of support/commitment and/or memoranda of understanding, and description of previous collaboration. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit a completed Standard Form 424 and other information outlined in the Application Kit to the Office of Justice Programs, Bureau of Justice Assistance. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Applications must be submitted on-line through the Office of Justice Programs Grant Management System at: <https://grants.ojp.usdoj.gov/>.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or <https://www.bja.gov/funding.aspx>.

Appeals:

There are no appeal rights for rejection of a discretionary application. See section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or <https://www.bja.gov/funding.aspx>.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or <https://www.bja.gov/funding.aspx>. See the following for information on how assistance is awarded/released: Reimbursement. See the following for information on how assistance is awarded/release: Department of Justice Grants Financial Guide (<http://www.ojp.gov/funding/solicitations.htm>) and Post Award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Reports:

Recipients are required to submit Individual Project Reports as sub-awards are made, and an annual Evaluation Report. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. .

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 16 \$16,048,308; FY 17 est \$10,500,000; and FY 18 est \$15,500,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Department of Justice Guide Financial Guide (<http://ojp.gov/financialguide/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Tom Talbot U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
AskBJA@usdoj.gov Phone: (202) 616-6500

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or <https://www.bja.gov/funding.aspx>.

16.736 TRANSITIONAL HOUSING ASSISTANCE FOR VICTIMS OF DOMESTIC VIOLENCE, DATING VIOLENCE, STALKING, OR SEXUAL ASSAULT

(Transitional Housing)

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Prosecutorial Remedies and Other Tools to End the Exploitation of Children Today Act of 2003 (PROTECT Act), Public Law 108-21, 42 U.S.C. 13975; Violence Against Women and Department of Justice Reauthorization Act of 2005, Title II, Section 602, Public Law 109-62, as amended; Violence Against Women and Department of Justice Reauthorization Act of 2013, Title II, Section 202, Public Law 113-4.

OBJECTIVES:

To provide transitional housing assistance and related support services to minors, adults, and their dependents who are homeless, or in need of transitional housing or other housing assistance, as a result of a situation of domestic violence, dating violence, sexual assault, or stalking; and for whom emergency shelter services or other crisis intervention services are unavailable or insufficient.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are available to provide transitional housing, including funding for the operating expenses of newly developed or existing transitional housing: short term housing assistance, including rental or utilities payment assistance and assistance with related expenses, such as payment of security deposits and other costs incidental to relocation to transitional housing; and support services designed to enable a minor, an adult, or a dependent of such minor or adult, who is fleeing a situation of domestic violence, dating violence, sexual assault, or stalking to locate and secure permanent housing and integrate into a community by providing that minor, adult, or dependent, with services, such as transportation, counseling, child care, case management, employment counseling, occupational training, job retention counseling, counseling concerning re-entry into the workforce, and other assistance. Participation in the support services must be voluntary. Receipt of the benefits of the housing assistance shall not be conditioned upon the participation of the youth, adults, or dependents in any or all of the support services offered them. Housing assistance is limited to 24 months with a possible extension for an additional 6 months if the client has made a good faith effort to acquire permanent housing and has been unable to acquire permanent housing.

Applicant Eligibility:

Eligible applicants are States, Indian tribal governments, units of local government, and other organizations, including domestic violence and sexual assault victim service providers, domestic violence and sexual assault coalitions, other nonprofit, nongovernmental organizations, or community-based and culturally specific organizations, that have a documented history of effective work concerning domestic violence, dating violence, sexual assault, or stalking.

Beneficiary Eligibility:

Beneficiaries include minors, adults, and their dependants who are homeless or in need of transitional housing or other housing assistance, as a result of a situation of domestic violence; and for whom emergency shelter services or other crisis intervention services are unavailable or insufficient.

Credentials/Documentation:

Applicants must provide assurances that supportive services offered to participants are voluntary and that refusal to receive such services shall not be grounds for termination from the program or eviction from the housing. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply through the on-line grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing the costs of their project. Supplemental contributions may be cash, in-kind services, or a combination of both.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 36 months. Funds are released on an as-needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants) FY 16 \$25,135,181; FY 17 est \$25,379,034; and FY 18 est \$25,379,000

Range and Average of Financial Assistance:

Range: \$200,000 to \$350,000.

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St. NE, Suite 10W121, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.588 Violence Against Women Formula Grants; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program; 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the annual solicitation.

16.737 GANG RESISTANCE EDUCATION AND TRAINING

(G.R.E.A.T.)

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

The Gang Resistance Education and Training (G.R.E.A.T.) is a school-based, law enforcement officer-instructed classroom training curriculum. G.R.E.A.T.'s violence prevention curriculum is a life-skills competency program designed to provide students with the skills they need to avoid gang pressure and youth violence. The program's primary objective is prevention and minimizing the probability of high risk youth engaging in delinquency, youth violence, and gang membership. The instruction is taught in the classroom by specially trained, uniformed law enforcement officers. The curriculum can be used in conjunction with other prevention programs encouraging positive relationships between the community, parents, schools, and law enforcement. The G.R.E.A.T. program is comprised of a continuum of curricula: Middle School (6th-8th grades); Elementary (3rd and 4th grades); Families Component (parents/guardians and youth) and Summer Component. The various components and curricula build on and reinforce each other in addressing the factors that contribute to youth violence and gang involvement.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Law enforcement agencies can only use the funds provided to administer the G.R.E.A.T. Program in their respective communities. This includes providing G.R.E.A.T. training for law enforcement officers and the purchasing of materials and supplies for the implementation and ongoing operation of the program.

Applicant Eligibility:

All State, county, tribal and municipal units of general purpose government and law enforcement agencies and other special purpose law enforcement agencies (i.e., independent school districts) in the United States and U.S. Territories.

Beneficiary Eligibility:

All State, county, tribal and municipal governments, law enforcement agencies and schools in the United States and U.S. Territories.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS. Specific application instructions for program announcement. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS. Specific application instructions for program announcement.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grant awards and denials are generally made within 90 days of application submission.

Appeals:

Not Applicable.

Renewals:

Contingent upon congressional funding.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

See program announcement. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Applicants are required to submit quarterly Financial Status reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

Generally, all financial records, supporting documents, statistical records, and all other records pertinent to the award must be retained for a period of three (3) years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants) FY 16 \$4,124,910; FY 17 est \$4,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. The G.R.E.A.T. Program currently has five regional offices that are each run by a local law enforcement agency. The offices and contacts are: (1) Southeast Region, Orange County Sheriff's Office, 2500 W. Colonial Drive - 2nd Floor., Orlando, Florida 32804. Telephone: (407) 254-7369; (2) Northeast Region, Philadelphia Police Department, Community Relations Division, 1328 Race Street - 2nd Floor., Philadelphia, Pennsylvania 19107. Telephone: (215) 686-1477; (3) Midwest Region, La Crosse Police Department, 400 La Crosse Street, La Crosse, Wisconsin 54601. Telephone: (608) 789-8202; (4) Southwest Region, Phoenix Police Department, 620 West Washington Street, Phoenix, Arizona 85003. Telephone: (602) 495-0432; and (5) Northwest Region, Portland Police Bureau, 449 NE Emerson, Portland, Oregon 97211. Telephone: (503) 823-2111.

Headquarters Office:

Office of Justice Programs Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20531 Phone:
202-514-3913

Website Address:

<http://www.ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.738 EDWARD BYRNE MEMORIAL JUSTICE ASSISTANCE GRANT PROGRAM

Byrne JAG Program

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Subpart 1 of part E of title I of the Omnibus Crime Control and Safe Streets Act of 1968, as amended (42 U.S.C. 3750-3758), Consolidated Appropriations Act, 2008, Public Law 110-161, Public Law 109-162, Title XI, Department of Justice Reauthorization, Subtitle B, Improving the Department of Justice's Grant Programs, Chapter 1, Assisting Law Enforcement and Criminal Justice Agencies, Section 1111. Merger of Byrne Grant Program and Local Law Enforcement Block Grant Program. And, an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

The JAG Program provides states, tribes, and local governments with critical funding necessary to support a range of program areas including law enforcement, prosecution and court, prevention and education, corrections and community corrections, drug treatment and enforcement, planning, evaluation, and technology improvement, crime victim and witness initiatives and mental health programs and related law enforcement and corrections programs, including behavioral programs and crisis intervention teams.

JAG funded projects may address crime through the provision of services directly to individuals and/or communities and by improving the effectiveness and efficiency of criminal justice systems, processes, and procedures.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

No JAG funds may be expended outside of JAG program areas (see solicitations for specific program areas posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>). Even within these program areas, however, JAG funds cannot be used directly or indirectly for security enhancements or equipment for nongovernmental entities not engaged in criminal justice or public safety. Prohibited and controlled uses of funds including those purposes specifically prohibited by the JAG program statute are set out at 42 U.S.C. 3751(d). Prohibited items include:

- Tracked armored vehicles
- Weaponized aircraft, vessels and vehicles of any kind
- Firearms and/or ammunition with a caliber of .50 or higher
- Grenade Launchers
- Bayonets
- Camouflage Uniforms (digital pattern)
- (*Woodland and desert patterns are allowable)

Additionally, JAG funds may not be used directly or indirectly to pay for any of the following controlled items unless the BJA Director certifies that extraordinary and exigent circumstances exist, making them essential to the maintenance of public safety and good order. This template is based on recommendations pursuant to Executive Order 13688 on Federal Support for Local Law Enforcement Equipment Acquisition.

1. Unmanned Aircraft (UA), Unmanned Aerial Vehicle (UAV) and/or Unmanned Aerial System (UAS)
2. Armored Vehicles (wheeled)
3. Command and Control Vehicles (Bus, Recreational Vehicle, etc.)
4. *Boats (Non-Police Patrol)
5. *Tactical and/or passenger SUVs, Vans and Trucks (excluding SUVs that are used for Police Patrol)
6. Manned aircraft, fixed and/or rotary wing
7. Specialized firearms and ammunition under .50 caliber (excludes firearms/ammunition for service-issued weapons)
8. Breaching Apparatus (battering ram or similar entry device)
9. Riot Helmets, shields and/or batons (excluding service-issued telescopic or fixed-length straight batons)
10. Explosives and pyrotechnics
11. Luxury items and Real estate
12. Construction projects (other than penal/correctional institutions)
13. *Segway, ATV and Golf Cart (Non-Police Patrol)

*Controlled Expenditure request is required if the vehicle is not being used in the ordinary course by police forces in the United States for patrol activities. Additionally, Segways, ATVs and golf carts never require a controlled expenditure request in states which do not require licensing and registration for those vehicle types. When available to carry out subpart 1 of Title I, Part E of the Omnibus Crime Control and Safe Streets Act of 1968 (Omnibus) for one or more of the purposes specified in Section 501 of Title I, Part E, Subpart 1 of Omnibus upon a determination that it is necessary to combat, address, or otherwise respond to precipitous or extraordinary increases in crime, or in a type or types of crime (42 U.S.C. 3756(b)(1)).

Applicant Eligibility:

JAG: All States, the District of Columbia, Guam, American Samoa, the Commonwealths of Puerto Rico, the Virgin Islands, and the Northern Mariana Islands. Units of local government are eligible consistent with established guidelines.

Beneficiary Eligibility:

JAG grants are awarded to States, including the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the Virgin Islands, Guam, and American Samoa, as well as eligible units of local government (including tribes).

Credentials/Documentation:

Each applicant must submit a completed application that meets the requirements specified in the program guidance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are submitted on-line through the Office of Justice Programs Grant Management System at: <https://grants.ojp.usdoj.gov/> and must follow the criteria outlined in the solicitation as well as the JAG Program Guidance Manual.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Bureau of Justice Assistance will not disapprove any application (or amendment to that application) without affording the applicant reasonable notice of any deficiencies and providing an opportunity for correction and reconsideration.

Appeals:

For Formula awards, please see 28 CFR Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Subject to annual appropriations.

Formula and Matching Requirements:

Statutory Formula: Title I, Chapter Subchapter V, Part A, Subpart 42 USC 3756. Statutory Formula: Once each fiscal year overall JAG Program funding level is determined, BJA partners with the Bureau of Justice Statistics (BJS) to begin a four-step grant award calculation process which consists of:

1. Computing an initial JAG allocation for each state and territory, based on their share of violent crime and population (weighted equally).
2. Reviewing the initial JAG allocation amount to determine if the state or territory allocation is less than the minimum (de minimus) award amount defined in the JAG legislation (0.25 percent of the total). If this is the case, the state or territory is funded at the minimum level, and the funds required for this are deducted from the overall pool of JAG funds. Each of the remaining states receives the minimum award plus an additional amount based on their share of violent crime and population.
3. Dividing each state's final award amount (except for the territories and

District of Columbia) between state and local governments at a rate of 60 and 40 percent, respectively.

4. Determining local unit of government award allocations, which are based on their proportion of the states three-year violent crime average. If a local eligible award amount is less than \$10,000, the funds are returned to the state to be awarded to these local units of government through the state agency. If the eligible award amount is \$10,000 or more, then the local government is eligible to apply for a JAG award directly from BJA.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards of at least \$25,000 or more begin October 1 of the FY and are four years in length. Awards that are less than \$25,000 begin October 1 of the FY and are two years in length. Requests for up to two additional years to complete performance of the award will be granted automatically. Extensions beyond a four-year period for all JAG awards may be approved on a case-by-case basis at the discretion of BJA. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

No program reports are required. No cash reports are required. Progress reports are required in accordance with the Department of Justice Grants Financial Guide (www.ojp.usdoj.gov/financialguide/DOJ/index.htm). Quarterly financial reports are required in accordance with the Department of Justice Grants Financial Guide (www.ojp.usdoj.gov/financialguide/DOJ/index.htm). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Formula Grants) FY 16 \$434,522,436; FY 17 est \$403,000,000; and FY 18 est \$332,500,000

Range and Average of Financial Assistance:

\$10,000 to \$37,000,000.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Please visit <https://www.bja.gov/Jag/showcase.html>. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.usdoj.gov/financialguide/DOJ/index.htm>) and Post award

Instructions

(<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

See Regional Agency Offices. Contact the State Administering Agency, listed at <http://www.ojp.usdoj.gov/saa/>.

Headquarters Office:

Tracy Trautman U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
AskBJA@usdoj.gov Phone: 202-616-6500

Website Address:

http://www.bja.gov/ProgramDetails.aspx?Program_ID=59

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed to determine compliance with applicable guideline requirements.

16.739 NATIONAL PRISON RAPE STATISTICS PROGRAM

(PREA)

FEDERAL AGENCY:

Bureau of Justice Statistics, Department of Justice

AUTHORIZATION:

42 U.S.C. 3732, and Public Law 108-79, the Prison Rape Elimination Act, (codified at 42 U.S.C. 15601 et seq.

OBJECTIVES:

To collect and analyze data on the incidence of sexual assault among individuals held in Federal and State prisons, local jails, and juvenile facilities as well as former inmates.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used for a variety of activities in support of the Implementation of the National Prison Rape Statistics program including: to conduct data collection and processing activities, and carry out statistical and methodological research.

Applicant Eligibility:

The Bureau of Justice Statistics is authorized to award grants and cooperative agreements to State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, institutions of higher education, and qualified individuals.

Beneficiary Eligibility:

Eligible beneficiaries are State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, institutions of higher education, and qualified individuals.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, all of the requirements listed in the solicitation, including details of the program budget composition, goals, impact, methods, evaluation plan, and resources of the project.

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Procedures for awarding funds are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18..

Renewals:

Most awards are for periods of 12 - 18 months. Under some circumstances, a subsequent award may be made to continue the project.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project duration period is generally 12-36 months. See the following for information on how assistance is awarded/released: Assistance is released by "direct disbursement" at request of grantee.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Performance monitoring is included on the site visit check list.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements

Records:

See 2 C.F.R . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0404-0-1-754.

Obligations:

(Cooperative Agreements) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

\$1,000,000 to \$10,000,000.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and OJP Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Allen Beck 810 7th Street, NW, Washington, District of Columbia 20531
Email: Allen.Beck@usdoj.gov Phone: 202-307-0765

Website Address:

<http://www.ojp.usdoj.gov/bjs>.

RELATED PROGRAMS:

16.735 Protecting Inmates and Safeguarding Communities Discretionary Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are included with the application instructions, which are posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

16.740 STATEWIDE AUTOMATED VICTIM INFORMATION NOTIFICATION (SAVIN) PROGRAM

(SAVIN)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Pub. L. 110-5, emb. secs. 101-104; Pub. L. No. 109-108, 119 Stat. 2290, 2299; 28 U.S.C. 530C(a)(1); and, an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

The SAVIN Program, administered by the Bureau of Justice Assistance (BJA), helps protect victims and survivors of crime from further victimization and ensures their rights are secured by providing registered individuals with timely and accurate information about any important dates and developments relating to the criminal proceedings at issue in their case (e.g., trial dates, times, or changes; probation hearings; inmate relocation; and offender release). This program allows victims and survivors to discretely receive information that can help them make informed decisions about their level of participation in the legal process. Effective SAVIN programs require broad multiagency support, increased victim safety, compliance with legislative requirements, and minimization of costs associated with keeping registered participants informed throughout the criminal justice process. SAVIN programs provide a single point of shared services for victims located anywhere in a state to learn about the status of their offenders case.

Key SAVIN components include:

Governance: An authorized statewide agency to oversee the planning and implementation of the SAVIN program. The administering agency should establish a governance process that includes representatives of criminal justice and law enforcement agencies, technology staff, and victim advocates, including direct participation of a cross-section of victims of crime to guide the development and delivery of SAVIN services. The governance committee or board should meet (in person or via conference call) on a regular basis to discuss help inform the progress of the grant goals and objectives and the overall SAVIN program.

Technology: The use of technology solutions designed to collect, manage, and share critical information to and from victims that provide these services in a timely, confidential, and cost-effective manner.

Program Management: An organizational structure that will execute the program as directed by the established governance process and will enable the state to establish or expand existing notification capabilities based upon measures of the effectiveness of the program by collecting data on victims and survivors served and cost effectiveness. For example, conducting surveys with victims and survivors to ensure that the system is meeting their needs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Technology improvement programs. SAVIN grantees are required to use the National Information Exchange Model (NIEM) (www.niem.gov), follow associated SAVIN implementation guidelines, and adopt the guidelines as part of their SAVIN program planning. Funds may not be used to supplant State and local funds.

Applicant Eligibility:

Applicants are limited to state-approved agencies that are authorized to manage the SAVIN program. State-approved agencies must be authorized either through state legislation or identified through the Governors office to manage the planning and implementation of a SAVIN program. Federally recognized Indian tribes (as determined by the Secretary of the Interior) are also eligible to receive grant funding for the planning and implementation of an automated victim notification program.

In order to apply for SAVIN program funding, eligible applicant agencies must include the state legislation designating authority to manage the SAVIN program for their state. If there is no authorizing state legislation, a letter from the Governor must be attached as part of the application. The letter must designate the applicant as the approved SAVIN program manager on behalf of the state.

Beneficiary Eligibility:

States, Territories and Tribal governments.

Credentials/Documentation:

Each applicant must submit a completed application that meets the requirements specified in the program guidance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Bureau of Justice Assistance shall not finally disapprove any application (or amendment to that application) without affording the applicant reasonable notice of any deficiencies and providing an opportunity to correction and

reconsideration.

Appeals:

There are no appeal rights for rejection of a discretionary application. Please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Renewals are subject to annual appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: It is not anticipated that a match will be required (depending upon final appropriation authority) but is recommended to promote program sustainability and partnerships across the state. If you include a match in your proposed budget, clearly denote which budget lines items are matching funds. If a successful application proposes the optional match amount, the match amount incorporated into the OJP-approved budget becomes mandatory and subject to audit and must be reported quarterly in the SF-425 (financial status reports).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

BJA will award funding to deploy and operate the program for 24 months from the date of award. See the following for information on how assistance is awarded/released: Reimbursement. See the following for information on how assistance is awarded/release: The Department of Justice Grants Financial Guide section on Period of Availability of Funds" at <https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports in accordance with the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>). Recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0401-0-1-754.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

BJA will determine the number of awards based on available resources, the number of submissions received, and criteria set forth in this grant announcement.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm> . For

additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>).

Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

David P. Lewis U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
AskBJA@usdoj.gov Phone: (202) 616-6500 or 1-866-859-2687

Website Address:

https://www.bja.gov/ProgramDetails.aspx?Program_ID=87

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed to determine compliance with applicable guideline requirements.

16.741 DNA BACKLOG REDUCTION PROGRAM

FEDERAL AGENCY:

National Institute of Justice, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

To assist eligible States and units of local government to process, record, screen, and analyze forensic DNA and/or DNA database samples to increase the capacity of public forensic DNA and DNA database laboratories to process more DNA samples, thereby helping to reduce the number of forensic DNA and DNA database samples awaiting analysis and to use DNA analysis, and other forensic technologies to assist with cold/unsolved cases and missing person/identified human remains cases.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds for this program may be used for the following purposes: Salary and benefits of laboratory employees; Overtime for laboratory staff; Training; Travel (limited); Equipment; Laboratory supplies; Contracts; Direct administrative expenses; Costs associated with and including Accreditation; Software; and Laboratory Information Systems DNA modules or accessories.

See the current fiscal years solicitation available at www.nij.gov. Expenses that are not permitted include: Salaries and benefits other than those allowable; Certain travel expenses; Testimony and associated travel costs; Construction; Direct administrative expenses exceeding 3 percent of the award; General office supplies; Maintenance and service contracts for existing laboratory equipment; Maintenance and service contracts and licensing agreements for existing Laboratory Information Management Systems (LIMS); LIMS hardware or software, other than as stated in section Permissible Uses of Funds.; Renovation costs for ordinary rearrangements, alterations, or restorations of the facility; Purchase of equipment or technologies that have not been approved for use by the National DNA Index System (NDIS), including personnel and supply costs that would be needed to validate equipment or technologies not approved for use by NDIS; Work that is funded under another federal award; Any items not directly related to Capacity Enhancement or Backlog Reduction; Indirect costs; Costs incurred outside of the project period; and Executive salaries and benefits.

Applicant Eligibility:

Eligible applicants are States and units of local government with existing crime laboratories that conduct forensic DNA and/or DNA database sample analysis and:

Participate in external audits, not less than once every two years, that demonstrate compliance with the requirements of the Quality Assurance Standards established by the Director of the Federal Bureau of Investigation.

Are accredited by a nonprofit professional organization actively involved in forensic science that is nationally recognized within the forensic science community.

Participate in the National DNA Index System (NDIS), or have an agreement with an NDIS participating laboratory to upload their data.

See the current fiscal years solicitation available at www.nij.gov.

Beneficiary Eligibility:

See the current fiscal years solicitation available at www.nij.gov.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative.

Current laboratory accreditation certificates are required from applicants.

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at www.nij.gov

Award Procedure:

NIJ may use internal peer reviewers (DOJ employees), external peer reviewers, or a combination, to assess applications meeting basic minimum requirements on technical merit using the solicitations selection criteria. Peer reviewers ratings and any resulting recommendations are advisory only, although their views are considered carefully. Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the Assistant Attorney General (Office of Justice Programs), who may consider factors including, but not limited to, underserved populations, geographic diversity, strategic priorities, past performance under prior NIJ and OJP awards, and available funding when making awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. See the current fiscal years solicitation available at www.nij.gov.

Appeals:

For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

Please contact the program office for information.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Program awards are for a 24-month project period. See the following for information on how assistance is awarded/released: See the current fiscal years solicitation available at www.nij.gov, and the DOJ Grants Financial Guide, available at www.ojp.gov. See the following for information on how assistance is awarded/released: See the current fiscal years solicitation available at www.nij.gov, and the DOJ Grants Financial Guide, available at www.ojp.gov.

Reports:

No program reports are required. No cash reports are required. See the current fiscal years solicitation available at www.nij.gov, and the Department of Justice Grants Financial Guide, available at <http://ojp.gov/financialguide/DOJ/index.htm>. See the current fiscal years solicitation available at www.nij.gov, and the DOJ Grants Financial Guide, available at www.ojp.gov. See the current fiscal years solicitation available at www.nij.gov, and the DOJ Grants Financial Guide, available at www.ojp.gov. To assist the Department with fulfilling its responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, applicants that receive funding under this program must provide data that measure the results of their work done under this solicitation. Performance measures and data expected to be provided are as specified in the current fiscal years solicitation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements.

Records:

See 2 C.F.R. . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0401-0-1-754.

Obligations:

(Formula Grants) FY 16 \$100,649,672; FY 17 est \$125,000,000; and FY 18 est \$105,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See 2 CFR Part 200, 2 CFR Part 2800, the current fiscal years solicitation available at www.nij.gov, and the Department of Justice Grants Financial Guide, available at <http://ojp.gov/financialguide/DOJ/index.htm>.

Regional or Local Office:

None.

Headquarters Office:

Alan Spanbauer U.S. Department of Justice
Office of Justice Programs
National Institute of Justice
810 7th Street, N.W., Washington, District of Columbia 20531 Email:
alan.spanbauer@usdoj.gov Phone: (202) 305-2436

Website Address:

<http://www.usdoj.gov/nij>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years solicitation available at www.nij.gov.

16.742 PAUL COVERDELL FORENSIC SCIENCES IMPROVEMENT GRANT PROGRAM

Coverdell Program

FEDERAL AGENCY:

National Institute of Justice, Department of Justice

AUTHORIZATION:

Title I of the Omnibus Crime Control and Safe Streets Act of 1968, Part BB, Sections 2801 - 2806, Pub. L. No. 90-351, 34 USC 10561 - 34 USC 10566 and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

To improve the quality and timeliness of forensic science and medical examiner services. Among other things, funds may be used to eliminate a backlog in the analysis of forensic evidence and to train and employ forensic laboratory personnel and medicolegal death investigators, as needed, to eliminate such a backlog.

TYPES OF ASSISTANCE:

FORMULA GRANTS; Project Grants

USES AND USE RESTRICTIONS:

Under the Coverdell program, SAAs may apply for both base (formula) and competitive funds. Units of local government may apply for competitive funds. Coverdell SAAs and units of local government may apply directly to NIJ for funding.

Approximately 85 percent of the funds available for Coverdell grants will be allocated among eligible States based on population (State base funds). The Coverdell statute requires that the remaining 15 percent of program funds be awarded competitively. A State or unit of local government that receives a Coverdell grant must use the grant for one or more of these six purposes:

1. To carry out all or a substantial part of a program intended to improve the quality and timeliness of forensic science or medical examiner/coroners office services in the State, including those services provided by laboratories operated by the State and those operated by units of local government within the State.
2. To eliminate a backlog in the analysis of forensic science evidence, including, among other things, a backlog with respect to firearms examination, latent prints, impression evidence, toxicology, digital evidence, fire evidence, controlled substances, forensic pathology, questioned documents, and trace evidence.
3. To train, assist, and employ forensic laboratory personnel and medicolegal death investigators, as needed to eliminate such a backlog.
4. To address emerging forensic science issues (such as statistics, contextual bias, and uncertainty of measurement) and emerging forensic science technology (such as high throughput automation, statistical software, and new types of instrumentation).
5. To educate and train forensic pathologists.
6. To fund medicolegal death investigation systems to facilitate accreditation of medical examiner and coroner offices and certification of medicolegal death investigators.

Funds may be used for personnel, computerization, laboratory equipment, supplies, accreditation, education, training, certification, facilities (the Coverdell law limits the amount of funds that may be used for the costs of a new facility or facilities), and administrative expenses (not to exceed 10 percent of the total amount of a Coverdell grant). Expenses that are not permitted include expenses other than those listed above (including expenses for general law enforcement functions or non-forensic investigatory functions), costs for any new facility that exceed the limits described by the Coverdell law, recipient administrative expenses (direct or indirect) that exceed 10 percent of the total grant amount, and/or the use of funds for the purchase and/or lease of vehicles, such as crime scene vans. Coverdell competitive funds may be awarded to SAAs or dispersed directly to units of local government based on the merits of the respective applications. States and local governments that provide forensic-science or medical-examiner services may apply for the competitive funding.

Applications for competitive funding are reviewed by an independent panel made up of subject-matter experts from the forensic science community. The panelists review and rate the applications individually based on specific evaluation criteria specified in the solicitation.

Applicant Eligibility:

Under the Coverdell program, SAAs may apply for both base (formula) and competitive funds. Units of local government may apply for competitive funds. Coverdell SAAs and units of local government may apply directly to NIJ for funding.

The Coverdell law (at 34 USC 10562) requires that, to request a grant, an applicant for Coverdell funds must submit:

1. A certification and description regarding a plan for forensic science laboratories. Each applicant must submit a certification that the State or unit of local government has developed a plan for forensic science laboratories under a program intended to improve the quality and timeliness of forensic science or medical examiner services in the State, including such services provided by the laboratories operated by the State and those operated by units of local government within the State. Applicants must also specifically describe the manner in which the grant will be used to carry out that plan.
2. A certification regarding use of generally accepted laboratory practices. Each applicant must submit a certification that any forensic laboratory system, medical examiners office, or coroners office in the State, including any laboratory operated by a unit of local government within the State, that will receive any portion of the grant amount (whether directly or through a subgrant) uses generally accepted laboratory practices and procedures established by accrediting organizations or appropriate certifying bodies.
3. A certification regarding forensic science laboratory accreditation. Each applicant must submit a certification that any forensic science laboratory system in the State, including any laboratory operated by a unit of local government within the State, that will receive any portion of the grant amount (whether directly or through a subgrant) either is accredited, or, is not so accredited, but will (or will be required in a legally binding and enforceable writing to) use a portion of the grant amount to prepare and apply for such accreditation not more than two (2) years after the date on which a grant is awarded under the FY 2017 Paul Coverdell Forensic Science Improvement Grants Program.
4. A certification and description regarding costs of new facilities. Each applicant must submit a certification that the amount of the grant used for the costs of any new facility constructed as part of a program to improve the quality and timeliness of forensic science or medical examiner services will not exceed certain limitations set forth in the Coverdell law at 34 USC 10562. (See information on permissible expenses in the next section of this solicitation.) Applicants must also specifically describe any new facility to be constructed as well as the estimated costs of the facility.

5. A certification regarding external investigations into allegations of serious negligence or misconduct. Each applicant must submit a certification that a government entity exists and an appropriate process is in place to conduct independent external investigations into allegations of serious negligence or misconduct substantially affecting the integrity of the forensic results committed by employees or contractors of any forensic laboratory system, medical examiner office, coroners office, law enforcement storage facility, or medical facility in the State that will receive a portion of the grant amount..

Beneficiary Eligibility:

Eligible applicants must be State or local (i.e., county and municipal) governments. The purpose of this solicitation is to request applications for grants from State and units of local government to improve the quality and timeliness of forensic science and medical examiner services and/or to eliminate backlogs in the analysis of forensic evidence, including firearms examination, latent prints, impression evidence, toxicology, digital evidence, fire evidence, controlled substances, forensic pathology, questioned documents, and trace evidence for criminal justice purposes in State and local forensic laboratories.

Credentials/Documentation:

The applicant must furnish the following along with the application for a grant: a certification that states there exists a plan for forensic science laboratories that is designed to improve the quality and timeliness of forensic science or medical

examiner services; a certification that states each forensic laboratory, forensic laboratory system, medical examiner's office, or coroner's office that will receive any portion of a Coverdell grant uses generally accepted laboratory practices and procedures as established by accrediting organizations or appropriate certifying bodies; a certification regarding forensic science laboratory accreditation except with regard to any medical examiner's office, or coroner's office in the State; a certification that states that a government entity exists and an appropriate process is in place to conduct independent external investigations into allegations of serious negligence or misconduct by employees or contractors substantially affecting the integrity of forensic results, and resumes of key personnel.

The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Potential applicants are encouraged to request the program announcement for additional information about eligibility requirements and application and selection procedures.

Applicants must contact their SAA to determine if the program has been selected for review by the State. The date that the application was sent to the SAA, or the reason such submission is not required, must be included in the application.

A. Base Funds for States: Eighty-five percent of the funds available for Coverdell grants will be allocated among eligible States based on population (State base funds). To be eligible, a State must satisfy the specific application requirements outlined in this announcement and all other general requirements for NIJ and OJP grants. Applications for State base funding must be submitted by the Coverdell State Administering Agency listed on the Office of Justice Programs web site at: <https://ojp.gov/saa/>

B. Competitive Funds for States and Units of Local Government: Fifteen percent of the available funds will be allocated among States and units of local government through a competitive process. Units of local government that provide forensic science or medical examiner services (whether through a forensic science laboratory, medical examiner's office, or coroner's office) may apply directly to NIJ for competitive funds. Coverdell SAAs may apply for competitive funds for forensic sciences improvements above and beyond those it can accomplish with its base funds. State applications for competitive funding will only be accepted from Coverdell SAAs. Other State agencies or departments who wish to apply for competitive funds must coordinate with their respective SAA. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O.

12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at www.nij.gov.

Award Procedure:

NIJ reviews program funding applications to make sure that the information presented is reasonable, understandable, measurable, and achievable, as well as consistent with the solicitation. NIJ will also review applications to ensure statutory requirements have been met.

Peer reviewers will review requests for competitive funds submitted under the solicitation that meet basic minimum requirements. NIJ may use internal peer reviewers (DOJ employees), external peer reviewers, or a combination, to

assess competitive requests under the program meeting basic minimum requirements on technical merit using the solicitations selection criteria. Peer reviewers ratings and any resulting recommendations are advisory only, although their views are considered carefully.

Absent explicit statutory authorization or written delegation of authority to the contrary, the Assistant Attorney General (AAG) will make all final award decisions. With respect to requests for competitive funds, the AAG may also give consideration to factors including, but not limited to, peer review ratings, underserved populations, geographic diversity, strategic priorities, past performance, and available funding when making awards.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

See the current fiscal years solicitation available at www.nij.gov.

Appeals:

For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: 34 USC 10563.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

In general, NIJ will limit grants under the Coverdell program to a maximum of 12 months. See the current fiscal years solicitation available at www.nij.gov, and the DOJ Grants Financial Guide at

<http://ojp.gov/financialguide/DOJ/index.htm>, and www.ojp.gov on how assistance is awarded/released. See the following for information on how assistance is awarded/released: See the current fiscal years solicitation available at www.nij.gov, and the Department of Justice Grants Financial Guide, available at <http://ojp.gov/financialguide/DOJ/index.htm>.

Reports:

See the current fiscal years solicitation available at www.nij.gov, and the Department of Justice Grants Financial Guide, available at <http://ojp.gov/financialguide/DOJ/index.htm>. No cash reports are required. See the current fiscal years solicitation available at www.nij.gov, and the DOJ Grants Financial Guide, available at www.ojp.gov. See the current fiscal years solicitation available at www.nij.gov, and the DOJ Grants Financial Guide, available at www.ojp.gov. See the current fiscal years solicitation available at www.nij.gov, and the Department of Justice Grants Financial Guide, available at <http://ojp.gov/financialguide/DOJ/index.htm>. To assist the Department with fulfilling its responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, applicants that receive funding under this program must provide data that measure the results of their work done under this solicitation. Performance measures and data expected to be provided are as specified in the current fiscal years solicitation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements.

Records:

See 2 C.F.R . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0404-0-1-754.

Obligations:

(Formula Grants) FY 16 \$11,959,348; FY 17 est \$13,000,000; and FY 18 est \$13,000,000

Range and Average of Financial Assistance:

In amounts consistent with the applicant's proposed project and NIJ's plans, priorities and levels of financing.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Paul Coverdell Forensic Science Improvement (Coverdell) Program has substantially contributed to the increase of quality and timeliness of forensic science in state and local forensic laboratories and medical examiner/coroners offices. Coverdell funding has been utilized to: provide training and certification(s) to forensic practitioners across the many forensic science disciplines including firearms examination, latent prints, impression evidence, toxicology, digital evidence, fire evidence, controlled substances, forensic pathology, questioned documents, and trace evidence; increase the availability of overtime for analysts; hire additional analysts to reduce evidence backlogs; purchase and/or upgrade laboratory instruments and equipment to increase throughput, decrease turn-around time, replace outdated and/or inoperable equipment and instruments; and assist laboratories to achieve accreditation thereby increasing the level of quality of the forensic science services. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

See 2 CFR Part 200, 2 CFR Part 2800, the current fiscal years solicitation available at www.nij.gov, and the DOJ Grants Financial Guide, available at www.ojp.gov.

Regional or Local Office:

None.

Headquarters Office:

NIJ U.S. Department of Justice
Office of Justice Programs
National Institute of Justice
810 7th Street, N.W., Washington, District of Columbia 20531 Phone: (202) 307-2942.

Website Address:

<https://www.nij.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: See the NIJ website at www.nij.gov. Information on past awarded NIJ projects can be accessed at www.nij.gov/funding/awards/Pages/welcome.aspx. <https://www.nij.gov/funding/awards/>. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years solicitation available at www.nij.gov.

16.745 CRIMINAL AND JUVENILE JUSTICE AND MENTAL HEALTH COLLABORATION PROGRAM

JMHCP

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Mentally Ill Offender Treatment and Crime Reduction Act of 2004 (MIOTCRA) (Pub. L. 108-414); the Mentally Ill Offender Treatment and Crime Reduction Reauthorization and Improvement Act of 2008 (Pub. L. 110-416); and an act making DOJ appropriations for the current fiscal year.

OBJECTIVES:

To increase public safety through innovative cross-system collaboration for individuals with mental illness or co-occurring mental health and substance use disorders who come into contact with the criminal justice system. The JMHCP

advances the transformation of state, local, and tribal justice systems in an effort to improve services for justice-involved adults and juveniles with mental illness.

Please see the BJA website at <https://www.bja.gov/> for more information.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

There are three types of grants supported under the JMHCP grant program: Category 1: Collaborative County Approaches to Reducing the Prevalence of Individuals with Mental Disorders in Jail, where applicants can design a strategic, collaborative plan to initiate systemic change for the identification and treatment of offenders with mental illness. Activities include: a targeted analysis of the prevalence of people with mental disorders in the local jail, a review of existing community resources, and identification and initial implementation of policy and practice changes to minimize contact or deeper involvement of individuals with mental disorders and co-occurring substance use disorders in the criminal justice system.

Category 2: Planning and Implementation, where applicants can complete their strategic plan for criminal justice/mental health collaboration and begin implementing program. Activities include: specialized law enforcement responses, mental health courts, pretrial services, and diversion/alternative prosecution and sentencing programs, treatment accountability services, specialized training for justice and treatment professionals, corrections/community corrections initiatives, transitional and reentry services, treatment and non-treatment recovery support services coordination and delivery including case management, housing placement, and supportive housing, job training and placement, education, primary and mental health care, and family supportive services.

Category 3: Expansion grants, where applicants can expand well-established law enforcement, court-based or corrections-reentry and mental health collaboration program. Activities include: expansion of mental health courts, pre-trial services, and diversion/alternative prosecution and sentencing programs, treatment accountability services, specialized training for justice and treatment services professionals, corrections/community corrections initiatives, transitional and reentry services and treatment and non-treatment recovery support services coordination and delivery including housing placement and supportive housing, job training and placement, education, primary and mental health care and family supportive services. Program applicants must clearly demonstrate an expansion to the current functioning of an existing program.

Applicant Eligibility:

Applicants are limited to States, units of local government, Indian tribes, and tribal organizations. BJA will only accept joint applications; each application must include a mental health agency as well as a unit of government with responsibility for criminal justice activities.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of

Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or www.bja.gov.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 120 days from application deadline. See the current fiscal years solicitation available at www.bja.gov for additional information.

Appeals:

There are no appeal rights for rejection of a discretionary application. Please see 28 CFR Part 18.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for a 12-month project period. For additional information, please see the current fiscal years solicitation available at www.bja.gov. See the following for information on how assistance is awarded/released: Reimbursement. See the following for information on how assistance is awarded/released: Department of Justice Grants Financial Guide (www.ojp.usdoj.gov/financialguide/DOJ/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Program reports consisting of quarterly performance metrics reports, bi-annual progress reports; and a final report including a summary of program accomplishments. Cash reports are not applicable. Progress reports are not applicable. Fiscal report consisting of quarterly expenditures and budget expenditure reports; final financial report giving costs and expenditures of the complete project. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

See 2 CFR 200 for the government-wide requirements for maintenance of records by grant recipients.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 16 \$8,476,120; FY 17 est \$12,000,000; and FY 18 est \$10,000,000

Range and Average of Financial Assistance:

In amounts consistent with the applicant's proposed project and the BJA's plans, priorities and levels of financing. For additional information, please see the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).and/or www.bja.gov.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Criminal and Juvenile Justice and Mental Health Collaboration Program solicitation may be obtained by calling NCJRS at (800) 851-3420 or accessing the web site at <https://www.bja.gov/funding.aspx>.

Regional or Local Office:

None.

Headquarters Office:

Maria Fryer U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Phone:
202-616-6500 or 1-866- 859-2647.

Website Address:

https://www.bja.gov/ProgramDetails.aspx?Program_ID=66

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>. and/or <https://www.bja.gov/funding.aspx>.

16.746 CAPITAL CASE LITIGATION INITIATIVE

(CCLI)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

42 U.S.C. Section 14163 and 14163a.

OBJECTIVES:

Capital Case Litigation Initiative (CCLI) - In an effort to improve the quality of legal representation and to ensure reliable jury verdicts in state capital cases, the CCLI seeks to improve the quality and effectiveness of representation in death penalty cases through training for both the prosecutors who represent the public and the defense attorneys who represent indigent defendants in state capital cases. Wrongful Conviction Review Program - The objective of the Wrongful Conviction Review Program is to provide high quality and efficient representation for defendants in post-conviction claims of innocence. Post-conviction innocence claims are likely to include complex challenges to the reliability or accuracy of evidence presented at trial that fall mainly into three categories: 1) eyewitness identification evidence; 2) confession evidence; and 3) forensic evidence. In some cases, post-conviction DNA testing alone can establish innocence, but the majority of cases will rely on other forms of evidence, and many will involve DNA testing together with additional sources of proof and/or expert testimony, which may be extremely costly.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Capital Case Litigation Initiative (CCLI): Funds are given to States that currently enforce their death penalty statutes or to a national organization capable of providing training and technical assistance. CCLI funds may not be used to fund, either directly or indirectly, representation in, or prosecution of, specific capital cases. Wrongful Conviction Review Program: Funds are awarded to institutions of higher education, non-profit organizations with missions dedicated (in whole or in part) to exonerating the innocent, to state or local public defender offices, to public and nonprofit organizations that have experience and expertise in providing representation to wrongfully convicted defendants in post-conviction claims of innocence cases, as well as providing training and technical assistance on the subject. Funds may not be used to

supplant State and local funds.

Applicant Eligibility:

Capital Case Litigation Initiative: Applicants are limited to state agencies in states that authorize capital punishment and that conduct, or will conduct prosecutions in which capital punishment is sought. For the state agency to be eligible, its state must have an effective system for providing competent legal representation for indigent defendants in capital cases. An effective system is defined in 42 U.S.C. 14163(e) as a system that invests the responsibility for appointing qualified attorneys to represent indigent defendants in capital cases either: (A) In a public defender program that relies on staff attorneys, members of the private bar, or both, to provide representation in capital cases; (B) In an entity established by statute or by the highest state court with jurisdiction in criminal cases, which is composed of individuals with demonstrated knowledge and expertise in capital cases, except for individuals employed as prosecutors; or (C) Pursuant to a statutory procedure enacted before the date of the enactment of the CCLI Act [October 30, 2002] under which the trial judge is required to appoint qualified attorneys from a roster maintained by a state or regional selection committee or similar entity. Wrongful Conviction Review Program - Eligible applicants are limited to institutions of higher education, non-profit organizations with missions dedicated (in whole or in part) to exonerating the innocent, to state or local public defender offices, as well as to public and nonprofit organizations that have experience and expertise in providing representation to wrongfully convicted defendants in post-conviction claims of innocence cases.

Beneficiary Eligibility:

Capital Case Litigation Initiative: Defense counsel, prosecutors and judges in the States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, America Samoa, Guam, and the Northern Mariana Islands that currently enforce their death penalty statutes. Wrongful Conviction Review Program: State or local government public defenders and non-profit organizations dedicated to exonerating the innocent.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. By certifying on-line, the authorizing signing official is also assuring that all Federal certifications and assurances are being met. The certification and assurance forms (Assurances, OJP Form 4000/3 and Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements, OJP Form 4061/6) are provided on-line to allow applicants to review and accept them electronically. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

No formal preapplication coordination is required. While informal preapplication coordination is not required, it is encouraged that applicants coordinate with any facilities for which they have responsibility to detain or incarcerate undocumented criminal aliens.

The application of E.O. 12372 is contingent upon whether the state has designated an entity to coordinate and review proposed federal financial assistance. See list of states that require this at: https://www.whitehouse.gov/omb/grants_spoc. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System (GMS) or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>). Faxed or mailed applications or supplemental materials will not be accepted.

The 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards: Uniform administrative requirements apply to all OJP grants. The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200.

Audit requirements are dependent upon the amount of the award and whether the grantee is a for-profit or non-profit entity.

Award Procedure:

All applications will be peer reviewed. The BJA Director will then make award recommendations to OJP's Assistant Attorney General, who will make a final determination. Upon approval by OJP's Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Applications will generally be approved or denied within 60 days of receipt of a complete application.

Appeals:

There are no appeal rights for rejection of a discretionary application, see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Subject to congressional appropriation.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The terms for these grant program budget periods range from 12 to 24 months. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Department of Justice Grants Financial Guide (<http://www.ojp.gov/financialguide/DOJ/index.htm>) and Post Award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 16 \$2,212,804; FY 17 est \$0; and FY 18 est \$2,500,000

Range and Average of Financial Assistance:

See the current fiscal years solicitation available at www.bja.gov.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: An evaluation is currently being conducted to demonstrate the impact of the Wrongful Conviction Review program. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions

(<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Priva Sarathy Jones, Angela Williamson (Forensics) U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
AskBJA@usdoj.gov Phone: 202-616-6500

Website Address:

https://www.bja.gov/ProgramDetails.aspx?Program_ID=52

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.750 SUPPORT FOR ADAM WALSH ACT IMPLEMENTATION GRANT PROGRAM

Adam Walsh Act

FEDERAL AGENCY:

Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking, Department of Justice

AUTHORIZATION:

Adam Walsh Child Protection and Safety Act of 2006 (AWA) and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

To assist State, local, and tribal jurisdictions with developing and/or enhancing programs designed to implement requirements of the Sex Offender Registration and Notification Act (SORNA), Title I of the Adam Walsh Child Protection and Safety Act of 2006; to support other grant programs authorized by the AWA, and to provide for the maintenance and operation of the Dru Sjodin National Sex Offender Public Website (NSOPW). In summary, SORNA requires: (1) all States, the District of Columbia, the principal U.S. territories, and federally recognized American Indian tribes that are eligible under SORNA section 127 to carry out the functions of SORNA and to maintain a sex offender registry; and (2) sex offenders to register and maintain a current registration in each jurisdiction where the offender resides, is an employee, and is a student. SORNA also sets forth requirements for sex offender registries to include: specified required information, duration of registration, and in-person verification of sex offender identity as well as participation in the NSOPW. NSOPW links to state, territory, and tribal public sex offender registries thus allowing nation-wide searches for registered sex offenders.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The AWA Implementation grant funds must be used to
1) assist jurisdictions in implementing or maintaining requirements of SORNA or to support the adoption of effective sex offender management practices.

Funds must be used to develop or enhance sex offender registration, tracking and notification programs in jurisdictions for the purpose of substantial implementation of SORNA; and/or to support training and technical assistance regarding SORNA implementation and other effective sex offender management practices; and

2) fund sex offender management fellowship opportunities in the SMART Office.

Applicant Eligibility:

States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, America Samoa, Guam, the Northern Mariana Islands, and Federally recognized Indian tribes who have elected to carry out the requirements of SORNA. Only applicant jurisdictions that are enhancing, maintaining, or working towards substantial implementation of SORNA are eligible to apply for AWA Implementation Grants. Nonprofit organizations, for-profit organizations, and institutions of higher learning may apply for training and technical assistance and other AWA-authorized grant programs.

For sex offender management fellowships, eligible applicants include individuals.

Beneficiary Eligibility:

State (including the District of Columbia), local governments (through funds granted to States), U.S. territory and tribal government agencies that have sex offender registry and tracking responsibilities. For training and technical assistance funds: nonprofit and for-profit organizations with experience in SORNA implementation and sex offender management practices. For NSOPW: nonprofit and/or for-profit organizations with experience in providing web site development, hosting and management, web services, service-oriented architecture, and distributed information sharing systems.

Credentials/Documentation:

Applications must meet the requirements of the program solicitation, which sets forth application deadlines and documentation required. The application must include: Application for Federal Assistance (Standard Form 424); Program Narrative; Budget and Budget Narrative. This information may be found in the solicitation section, What an Application Should Include. In addition to the standard requirements, an application may include timelines, resumes, letters of support, etc.

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web

site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Contact the SMART Office or refer to the solicitation for application deadlines. Deadlines are included with the application instructions, which are posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Appeals:

See 28 CFR Part 18.

Renewals:

Awards are for 24 months unless otherwise noted.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period of 24 months unless otherwise noted. See the following for information on how assistance is awarded/released: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Program reports are not applicable. Cash reports are not applicable. Semi-annual progress reports and final reports are required. Quarterly financial reports are required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements.

Records:

See 2 CFR 200 for the government-wide requirements for maintenance of records by grant recipients.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 16 \$22,189,609; FY 17 est \$21,000,000; and FY 18 est \$21,000,000

Range and Average of Financial Assistance:

Up to \$400K for AWA grants; NSOPW, TTSORS, Exchange Portal up to \$1 million, and \$150K per fellowship opportunity.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>); Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award

Instructions

(<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>), and Department of Justice regulations applicable to specific types of grantees, which can be found in 2 CFR 200.

Regional or Local Office:

None.

Headquarters Office:

SMART Office U.S. Department of Justice
Office of Justice Programs
SMART Office
810 Seventh Street, NW, Washington, District of Columbia 20531 Phone: (202) 514-4689

Website Address:

<http://www.ojp.usdoj.gov/smart>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.751 EDWARD BYRNE MEMORIAL COMPETITIVE GRANT PROGRAM

Byrne Competitive Program

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

To improve the functioning of the criminal justice system, such as activities pertaining to crime prevention, control, or reduction, or the enforcement of the criminal law, including, but not limited to, police efforts to prevent, control, or reduce crime or to apprehend criminals, including juveniles, activities of courts having criminal jurisdiction, and related agencies (including but not limited to prosecutorial and defender services, juvenile delinquency agencies and pretrial service or release agencies), activities of corrections, probation, or parole authorities and related agencies assisting in the rehabilitation, supervision, and care of criminal offenders, and programs relating to the prevention, control, or reduction of narcotic addiction and juvenile delinquency.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Byrne Competitive Program helps improve the capacity of local criminal justice systems and provides for national support efforts such as training and technical assistance projects to strategically address needs. Funds can be used for national scope replication, expansion, enhancement, training, and/or technical assistance programs.

Applicant Eligibility:

Eligible applicants include national, regional, State, or local public and private entities, including for-profit (commercial) and nonprofit organizations, faith-based and community organizations, institutions of higher education, tribal jurisdictions, and units of local government.

Beneficiary Eligibility:

State and local governments, public and private organizations, individuals and tribal governments.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms, as furnished by the federal agency and required by the Common Rule, must be used for this program. All applications must be submitted electronically via Grants.gov (www.grants.gov) or the Office of Justice Programs' Grants Management System (GMS) at <https://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies for competitive programs.

Appeals:

There are no appeal rights for rejection of a discretionary application. Please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Continuation grants are renewable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies. Contingent upon program goals and objectives, generally 12-18 months. See the following for information on how assistance is awarded/released: Reimbursement. See the following for information on how assistance is awarded/release: Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post Award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Reports:

In some cases, evaluation reports may be required. No cash reports are required. Unless otherwise specified in the award's special conditions, progress reports are due semi-annually. Unless otherwise specified in the award's special conditions, financial reports are due quarterly. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the

close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Cooperative Agreements) FY 16 \$7,200,000; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Varies.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

BJA, U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866-859-2647.

Website Address:

<http://www.bja.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.752 ECONOMIC HIGH-TECH AND CYBER CRIME PREVENTION FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Consolidated Appropriations Act, 2017 (Public Law 115-31, 131 Stat. 135, 204) for Economic, High-Tech, and Cybercrime Prevention; and, an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

To provide training and investigative support for agencies involved in the prevention, investigation, and prosecution of economic electronic, financial and cyber crimes and to support and partner with other appropriate entities in addressing homeland security initiatives, as they relate to electronic and cyber crimes. The program provides training, technical assistance, and/or resources to State, local, tribal, and territorial law enforcement, prosecutor, and other public safety agencies on how to prevent, combat, investigate and prosecute economic, electronic, high-tech, and cyber crimes. This includes providing training online as well as in a classroom setting.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Economic, High-Tech, and Cyber Crime Prevention program helps improve the capacity of local criminal justice agencies to address economic, electronic, high-tech, and cyber crimes and provides for national support efforts such as training and technical assistance projects to strategically address needs.

Applicant Eligibility:

Eligible applicants include national, regional, State, or local public and private entities, including for-profit (commercial) and nonprofit organizations, faith-based and community organizations, institutions of higher education, tribal jurisdictions, and units of local government.

Beneficiary Eligibility:

State, local, tribal, and local territorial law enforcement agencies, prosecutor, and other criminal justice agencies.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms, as furnished by the federal agency and required by the Common Rule, must be used for this program. All applications must be submitted electronically via Grants.gov (www.grants.gov) or the Office of Justice Programs' Grants Management System (GMS) at <https://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Upon approval by the Assistant Attorney General, letters and an award package are sent to the grantee. One copy of the grant award must be signed by duly authorized representative and returned to BJA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies for competitive programs.

Appeals:

There are no appeal rights for rejection of a discretionary application. Please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Continuation grants are renewable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies. Contingent upon program goals and objectives, generally 12-18 months. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post Award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports in accordance with the Department of Justice Grants Financial Guide (www.ojp.usdoj.gov/financialguide/DOJ/index.htm). Recipients are required to

submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (www.ojp.usdoj.gov/financialguide/DOJ/index.htm). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Cooperative Agreements) FY 16 \$10,810,104; FY 17 est \$13,000,000; and FY 18 est \$11,000,000

Range and Average of Financial Assistance:

Varies.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

David P. Lewis U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email: david.p.lewis@usdoj.gov Phone: 202-616-6500

Website Address:

<http://www.NW3C.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or

<https://www.bja.gov/funding.aspx>.

16.753 CONGRESSIONALLY RECOMMENDED AWARDS

Congressionally Recommended

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

To provide assistance in improving the functioning of the criminal justice system, provide assistance to victims of crime (other than compensation) and to prevent or combat juvenile delinquency.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Congressionally recommended grant funds provide federal financial assistance to public or private agencies and private nonprofit organizations for law enforcement program; prosecution and court program; prevention and education programs; corrections and community corrections programs; drug treatment and enforcement program; programs, planning, evaluation, and technology improvement programs; and crime victim and witness programs (other than compensation).

Applicant Eligibility:

State and local government agencies as well as public and private nonprofit organizations and federally recognized Indian Tribal governments are eligible to receive funds including faith-based and community organizations, under this program.

Beneficiary Eligibility:

State and local governments, public and private organizations, and tribal governments.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms as furnished by the federal agency and required by the Common Rule, must be used for this program. All applications must be submitted electronically via the Office of Justice Programs' Grants Management System (GMS) at <https://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies.

Appeals:

There are no appeal rights for rejection of a discretionary application. Please

see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies. Contingent upon program goals and objectives, generally 12-18 months. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release:

Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post Award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 16 \$686,527; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Varies.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions

(<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

BJA, U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866-859-2647.

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at
<http://www.ojp.gov/funding/solicitations.htm>.

16.754 HAROLD ROGERS PRESCRIPTION DRUG MONITORING PROGRAM

(PDMP)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

To enhance the capacity of regulatory and law enforcement agencies to collect and analyze controlled substance prescription data through a centralized database administered by an authorized state agency and federally recognized Indian tribal governments.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Harold Rogers Prescription Drug Monitoring Program (PDMP) allows for states discretion as they plan, implement, or enhance a PDMP to accommodate local decision-making based on state laws and preferences, while encouraging the replication of promising practices.

In FY 2012, the program expanded to provide funding to federally recognized Indian tribal governments for the specific purpose of enabling tribal health care providers to provide data to and access data contained within state PDMPs. In FY 2013, the program expanded again to enable applications for data-driven, multidisciplinary approaches to reducing prescription drug abuse, encouraging greater utilization of the PDMPs and their data. In FY 2015 the program added a fourth funding category to promote practitioner and researcher partnerships and contribute to the larger body of knowledge on PDMP best practices.

Grant funds under this program can be used to:

Build a state-level data collection and analysis system to enhance the capacity of regulatory and law enforcement agencies and public health officials for future prevention efforts.

Enhance existing programs abilities to analyze and use collected data to identify drug abuse trends, identify and address sources of diversion, and increase the number of users of the PDMP.

Promote the use of data from the PDMP and other sources to measure drug abuse trends, identify and address sources of diversion, and better inform decision making by prescribers and dispensers.

Facilitate and participate in national evaluation efforts to assess efficiency and effectiveness of potential best practices.

Encourage and implement the exchange of information under the PMIX Architecture among states to prevent cross-border diversion.

Contribute to state level opioid abuse prevention plans and determine methodologies to encourage replication of strategies that are proven to be effective.

Assess the efficiency and effectiveness of state-level programs to make

improvements and encourage additional states to implement programs.

Enhance collaborations with law enforcement, prosecutors, treatment professionals, the medical community, pharmacies, and regulatory boards to establish a comprehensive PDMP strategy.

Enable federally recognized Indian tribal governments to establish the policy, legal, and technological infrastructure to share PDMP data from health care facilities with the appropriate state PDMP.

Establish multidisciplinary action groups consisting of county, state, and federal criminal justice professionals; state and local health authorities; and treatment providers to determine best practices for sharing data, regulatory schemes, deconfliction strategies, intelligence gathering, targeted regulatory and enforcement activity, and prioritization of treatment and prevention efforts for at-risk individuals and communities.

Applicant Eligibility:

None.

Beneficiary Eligibility:

Category 1: Implementation and Enhancement: Applicants are limited to state governments that have a pending or enacted enabling statute or regulation requiring the submission of controlled substance prescription data to an authorized state agency.

Category 2: Tribal PDMP Data Sharing Grants: Applicants are limited to federally recognized tribal governments as defined under the Indian Self Determination Act, 25 U.S.C. 450b(e).

Category 3: Data-Driven Multidisciplinary Approaches to Reducing Rx Abuse Grants: Applicants are limited to state agencies (health departments, law enforcement authorities, etc.) and units of county government located in states with existing and operational prescription drug monitoring programs.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. By certifying on-line, the authorizing signing official is also assuring that all federal certifications and assurances are being met. The certification and assurance forms (Assurances, OJP Form 4000/3 and Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements, OJP Form 4061/6) are provided on-line to allow applicants to review and accept them electronically. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

No formal pre application coordination is required. While informal pre application coordination is not required, it is encouraged that applicants coordinate with any facilities for which they have responsibility to detain or incarcerate undocumented criminal aliens. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will generally be approved or denied within 60 days of receipt of a complete application.

Appeals:

There are no appeal rights for rejection of a discretionary application. Please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Subject to congressional appropriation.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The terms for these grant program budget periods are typically 18 months in duration. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: See the Department of Justice Grants Financial Guide section on "Period of Availability of Funds" at <https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Successful applicants will be required to work with designated national organizations to implement the training at the state level and keep the training current with changing laws. Recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 16 \$11,162,933; FY 17 est \$14,000,000; and FY 18 est \$12,000,000

Range and Average of Financial Assistance:

See the current fiscal years solicitation available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>).

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Currently, 49 states, the District of Columbia, and the U.S. territory of Guam have an operational PDMP. St. Louis County, Missouri PDMP is also live.

Missouri has pending state legislation to authorize a PDMP.

Interstate data sharing has expanded significantly in the last two years. Forty states are sharing with at least one other state; nine states are in the process of

initiating interstate data sharing; Guam, Hawaii and Oregon are not presently sharing data outside of the state.

33 states now require prescribers to query the database for all controlled substance prescriptions or under certain circumstances as a result of BJAs training and technical assistance program in the last three years. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Tara Kunkel U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866-859-2647.

Website Address:

https://www.bja.gov/ProgramDetails.aspx?Program_ID=72

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For selection criteria and required elements see the current fiscal years solicitation available at the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.756 COURT APPOINTED SPECIAL ADVOCATES

CASA

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Victims of Child Abuse Act, 42 U.S.C. Section 13013.

OBJECTIVES:

The Court Appointed Special Advocates (CASA) Program ensures that abused and neglected children receive high quality, timely representation in dependency court hearings. Under this program, OJJDP provides membership and accreditation, grant support and training and technical assistance support existing and new local and state CASA programs across the nation and CASA services in communities where representation rates are low, the numbers of abused and neglect children are high, and service systems do not meet the needs of families and children.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are available specifically to achieve the objectives of the Court Appointed Special Advocates (CASA) Program as described in the Victims of Child Abuse Act, 42 U.S.C. Section 13013.

Applicant Eligibility:

As set forth in the authorizing language in 42 U.S.C. Section 13013, the successful applicant shall be: (1) a national organization that has broad membership among court-appointed special advocate programs (OJJDP defines as having a network of volunteers representing the interests of abused and neglected children operating in a minimum of 40 of the nations 56 states and

territories) and in providing training and technical assistance to court-appointed special advocate programs; or (2) a local public or not-for-profit agency that has demonstrated the willingness to initiate, sustain, and expand a court-appointed special advocate program.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS. Specific application instructions for program announcements are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

varies by project.

Appeals:

There are no appeal rights for the denial of a discretionary application.

Renewals:

See applicable program announcement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project see applicable program announcement. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

No program reports are required. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of

2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be generally retained for a period of three (3) years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0404-0-1-754.

Obligations:

(Cooperative Agreements) FY 16 \$7,821,880; FY 17 est \$9,000,000; and FY 18 est \$9,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The FY 2016 funds were used to provide training and technical assistance in support of state and local capacities to promote court appointed volunteer advocacy for abused and neglected children so that every child can be safe, establish permanence, and have the opportunity to thrive. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 ojp.gov/financialguide/DOJ/index.htm. and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Office of Juvenile Justice and Delinquency Prevention U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20735 Phone: (202) 305-1270

Website Address:

<http://www.ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Varies by program. Applications are judged according to their consistency with the policies and program priorities established by OJJDP and applicable laws.

16.757 JUDICIAL TRAINING ON CHILD MALTREATMENT FOR COURT PERSONNEL JUVENILE JUSTICE PROGRAMS

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Victims of Child Abuse Act, 42 U.S.C. Section 13022.

OBJECTIVES:

Courts and child welfare agencies face unprecedented challenges in managing complex child abuse and neglect cases and voluminous caseloads including compliance with child welfare reform laws and reform initiatives designed to achieve safe, permanent homes for abused and neglected children. Through this program, OJJDP seeks to provide judicial, legal, and social service professionals with the training and technical assistance they need to meet the numerous challenges facing juvenile and family courts, including the juvenile justice and dependency systems response to victims of child exploitation and commercial sex trafficking.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Pursuant to 42 U.S.C. Sec.13022, funds are available to provide either technical assistance and training to judicial personnel and attorneys, particularly personnel and practitioners in juvenile and family courts as well as administrative reform in juvenile and family courts.

Applicant Eligibility:

Certain national organizations as specified in the individual program announcement.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

varies by project.

Appeals:

There are no appeal rights for the denial of a discretionary application.

Renewals:

Please contact the program office for more information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project- see applicable program announcement. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be generally retained for a period of three (3) years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0405-0-1-754.

Obligations:

(Cooperative Agreements) FY 16 \$1,735,212; FY 17 est \$2,100,000; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

Range and average of financial assistance vary by project and are posted at <http://www.ojdp.gov/funding/FundingList.asp> as solicitations are released.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: During FY 2016, the grantee developed an array of training and technical assistance resources and provided assistance to juvenile and family courts by providing both on and off-site technical assistance on child abuse and neglect issues and court improvement efforts. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of Justice Financial Guide (https://ojp.gov/financialguide/DOJ/pdfs/2015_DOJ_FinancialGuide.pdf) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.). See program announcement and Uniform Administrative Requirements in 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20531 Phone: (202) 616-3646

Website Address:

<http://ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Vary by program. Applications are judged according to their consistency with the policies and program priorities. Specific criteria are published in the Federal Register as part of the individual program announcement.

16.758 IMPROVING THE INVESTIGATION AND PROSECUTION OF CHILD ABUSE AND THE REGIONAL AND LOCAL CHILDREN'S ADVOCACY CENTERS

VOA

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Victims of Child Abuse Act, 42 U.S.C. Section 13001b, 13003, and 13003.

OBJECTIVES:

To train criminal justice system professionals on innovative techniques for investigating, and prosecuting child abuse cases including victims of child pornography; to promote a multidisciplinary approach to coordinating the investigations and prosecution of child abuse cases and, thereby limiting the number of necessary pre-trial interviews for child victims, as well as to better assure the accuracy of each interview; to increase the number of communities utilizing a Childrens Advocacy Center approach to the investigation, prosecution and treatment of child abuse cases; to assist communities in developing child-focused programs designed to improve the resources available to children and families; to provide support to non-offending family members; to enhance coordination among community agencies, professionals, and provide medical support to health care and mental health care professionals involved in the intervention, prevention, prosecution, and investigation systems that respond to child abuse cases; and to improve the quality of child abuse prosecution by providing specialized training and technical assistance to prosecutors.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are also available to provide specialized training as well as technical assistance to improve child abuse prosecution, to develop and implement multidisciplinary child abuse investigation and prosecution programs, or to develop as well as implement specialized programs to identify and provide services to victims of child pornography.

Applicant Eligibility:

Please see program announcement for specific eligibility. May be limited to national organizations with broad membership among attorneys who prosecute criminal cases in state courts and have demonstrated experience in providing training and technical assistance to prosecutors. Or may be limited to nonprofit and for-profit organizations (including tribal nonprofit and for-profit organizations) and institutions of higher education (including tribal institutions of higher education). For-profit organizations must agree to forgo any profit or management fee.

Beneficiary Eligibility:

contact program office for additional information.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS. Specific application instructions for program announcements are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies by project.

Appeals:

For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

See applicable program announcement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project--see applicable program announcement. See the following for information on how assistance is awarded/released: see applicable program announcement.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be generally retained for a period of three (3) years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0405-0-1-754.

Obligations:

(Cooperative Agreements) FY 16 \$17,353,089; FY 17 est \$21,000,000; and FY 18 est \$20,000,000

Range and Average of Financial Assistance:

Range and average of financial assistance varies by project and are posted at <http://www.ojjdp.gov/funding/FundingList.asp> as solicitations are released.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 (www.ojp.gov/financialguide/DOJ/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.). See program announcement and Uniform Administrative Requirements in 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20531 Phone: 202 514-5335

Website Address:

<http://www.ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Varies by program. Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act (OJJDP) and applicable laws.

16.812 SECOND CHANCE ACT REENTRY INITIATIVE

Second Chance Act (SCA)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Second Chance Act Demonstration Programs 42 USC 3797w; and an act appropriating funds for the Department of Justice in the current fiscal year.

Second Chance Act Mentoring 42 USC 17531; and an act appropriating funds

for the Department of Justice in the current fiscal year.

Second Chance Act Family-Based Treatment 42 USC 3797s; and an act appropriating funds for the Department of Justice in the current fiscal year.

Second Chance Act Tech Careers 42 USC 17511; and an act appropriating funds for the Department of Justice in the current fiscal year.

Second Chance Act Treatment & Justice Collaboration 42 USC 17521; and an act appropriating funds for the Department of Justice in the current fiscal year.

Second Chance Act Research 42 USC 17551, et seq.; and an act appropriating funds for the Department of Justice in the current fiscal year.

Second Chance Act Prosecution Alternatives Program 42 USC 3797q; and an act appropriating funds for the Department of Justice in the current fiscal year.

Second Chance Act Pay for Success Pub. L. No. 110-199; and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

There are currently over 2.2 million individuals serving time in federal and state prisons, and millions of people cycle through local jails every year. Of those in state and federal prison, approximately 95 percent will be released and return to communities across the nation. A majority of these individuals have needs that, if unaddressed in prison, during the reentry process, and after release, will negatively impact their ability to live productive, pro-social, crime-free lives in the community. These needs include housing and employment challenges, relationship and family issues, and substance abuse and mental health problems.

The Second Chance Act of 2007 (Pub. L. 110-199) helps to address these significant challenges by providing comprehensive responses to the significant number of incarcerated adults who are returning to communities from prison, jail, and juvenile residential facilities. Programs funded under the Second Chance Act help to promote public safety by ensuring that the transition individuals make from prison and jail to the community is successful.

Please see the BJA website at <https://www.bja.gov/> for more information on Second Chance Act programs.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Adult and Juvenile Technology Careers Training Demonstration Grants authorizes grants to develop training programs for individuals that are no more than 6 - 18 months prior to release from a prison, jail, or juvenile facility to find jobs in higher paying, technology-related jobs.

Adult Offender Reentry Substance Abuse and Criminal Justice Collaboration (Co-Occurring Disorders) authorizes grants to develop treatment programs to better address the needs of returning individuals with co-occurring substance abuse and mental health issues.

Adult Demonstration authorizes grants to states, local governments, and federally recognized Indian tribes that may be used for demonstration projects to promote the safe and successful reintegration into the community of individuals who have been incarcerated or detained.

Adult Mentoring Grants to Nonprofit Organizations authorizes grants to nonprofit organizations that may be used for mentoring of adults or providing transitional services for reintegration into the community.

Statewide Adult Recidivism Reduction authorizes grants to state correctional agencies (state departments of corrections or community corrections) or State Administering Agencies (SAAs). Unallowable Uses for Award Funds (Generally for SCA Projects):

In addition to the unallowable costs identified in the Financial Guide, award funds may not be used for the following:

Prizes/rewards/entertainment/trinkets (or any type of monetary incentive)
Client stipends
Gift cards
Vehicles
Food and beverage.

Applicant Eligibility:

See current solicitation at the Office of Justice Programs website
<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Beneficiary Eligibility:

None.

Credentials/Documentation:

See current solicitation for requirements at the Office of Justice Programs website <http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The standard application form (SF-424), as furnished by the federal agency in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at www.bja.gov.

Award Procedure:

Upon approval by the Assistant Attorney General, letters and an award package are sent to the grantee. One copy of the grant award must be signed by a duly authorized representative and returned to BJA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

See the current fiscal years solicitation available at www.bja.gov.

Appeals:

There are no appeal rights for rejection of a discretionary application. Please see 28 CFR Part 18.

Renewals:

Continuation grants are renewable, contingent upon Congressional appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Contingent upon program goals and objectives, generally 12-18 months. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise specified in the awards special conditions, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the awards special conditions, recipients are required to submit quarterly Financial Status Reports in accordance with the Department of Justice Grants Financial Guide (www.ojp.usdoj.gov/financialguide/DOJ/index.htm). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

See 2 CFR 200 for the government-wide requirements for maintenance of records by grant recipients.

Account Identification:

15-0401-0-1-754.

Obligations:

(Cooperative Agreements) FY 16 \$48,127,386; FY 17 est \$68,000,000; and FY 18 est \$48,000,000

Range and Average of Financial Assistance:

Varies, see solicitation guidelines posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm> or www.bja.gov.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (www.ojp.usdoj.gov/financialguide/DOJ/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Andre Bethea U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 Seventh Street, NW
, Washington, District of Columbia 20531 Email: ASKBJA@usdoj.gov Phone: 202-616-6500 or 1-866-859-2647

Website Address:

<https://www.bja.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the current fiscal year's solicitation available at <http://www.ojp.gov/funding/solicitations.htm> or www.bja.gov.

16.813 NICS ACT RECORD IMPROVEMENT PROGRAM (NARIP)

FEDERAL AGENCY:

Bureau of Justice Statistics, Department of Justice

AUTHORIZATION:

42 U.S.C. 3732 and the NICS Improvement Amends Act Public Law 110-180 , Public Law 110-180, 42 U.S.C 3732.

OBJECTIVES:

To improve the FBI's National Instant Criminal Background Check System (NICS) by providing assistance to states to improve the completeness, automation and transmittal to state and federal systems the records utilized by the NICS. Such records include criminal history records, records of felony convictions, warrants, records of protective orders, convictions for misdemeanor involving domestic violence and stalking, records of mental health adjudications, and others, which may disqualify an individual from

possessing or receiving a firearm under federal law. Helping states to automate these records will also reduce delays for law-abiding gun purchasers.

The NICS Improvement Act amends the Brady Handgun Violence Prevention Act of 1993 (the Brady Act) (Public Law 103-159), under which the Attorney General established NICS. The Brady Act requires Federal Firearms Licensees (FFLs) to contact the NICS before transferring a firearm to an unlicensed person for information on whether the proposed transferee is prohibited from receiving or possessing a firearm under state or federal law. The NICS Improvement Act was enacted in the wake of the April 2007 shooting tragedy at Virginia Tech. The Virginia Tech shooter was able to purchase firearms from an FFL because information about his prohibiting mental health history was not available to the NICS and the system was therefore unable to deny the transfer of the firearms used in the shootings.

The Act seeks to address the gap in information available to NICS about such prohibiting mental health adjudications and commitments and other prohibiting backgrounds. Filling these information gaps will better enable the system to operate as intended to keep guns out of the hands of persons prohibited by federal or state law from receiving or possessing firearms.

The Act authorized two grant programs to assist states in providing certain information to the NICS, and prescribes grant penalties for non-compliance with the Acts record completeness goals. Pursuant to the Act, there are certain conditions, described below, that a state must satisfy in advance of receiving grants under the Act. The NICS Improvement Act has provisions that require states to meet specified goals for completeness of the records submitted to the Attorney General on individuals prohibited by federal law from possessing firearms. The records covered include automated information needed by the NICS to identify felony convictions, felony indictments, fugitives from justice, drug arrests and convictions, prohibiting mental health adjudications and commitments, domestic violence protection orders, and misdemeanor crimes of domestic violence.

The Act provides for a number of incentives for states to meet the goals it sets for greater record completeness. First, the Act allows states to obtain a waiver, beginning in 2011, of the state matching requirement under the National Criminal History Improvement Program (NCHIP) grant program, if a state provides at least 90 percent of its records identifying persons in specified prohibited categories. Second, the Act authorizes grant programs described herein, which, pursuant to the Act, are being administered consistent with NCHIP, for state executive and judicial agencies to establish and upgrade information automation and identification technologies for timely submission of final criminal record dispositions and other information relevant to NICS checks. Finally, the Act provides for discretionary and mandatory Byrne Justice Assistance Grant (JAG) program grant penalties, beginning 3 years after enactment, for non-compliance with specified record completeness requirements within certain timeframes: after 3 years, 3 percent may be withheld in the case of less than 50 percent completeness; after 5 years, 4 percent may be withheld in the case of less than 70 percent completeness; and after 10 years, 5 percent shall be withheld in the case of less than 90 percent completeness (although the mandatory reduction can be waived if there is substantial evidence of the state making a reasonable effort to comply).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Act outlines the allowable uses of grant funds:

Grants to States:

Section 103 of the NICS Improvement Act, regarding implementation assistance to the states, provides that the grants "shall be used by the States and Indian tribal governments, in conjunction with units of local government and State and local courts, to establish or upgrade information and identification technologies for firearms eligibility determinations." In accordance with the Act, a grant to a state, territory or Indian tribes may only be used to:

Create electronic systems, which provide accurate and up-to-date information

which is directly related to checks under the NICS, including court disposition and corrections records;

Assist states in establishing or enhancing their own capacities to perform NICS background checks;

Supply accurate and timely information to the Attorney General concerning final dispositions of criminal records to databases accessed by NICS;

Supply accurate and timely information to the Attorney General concerning the identity of persons who have a federally prohibiting mental health adjudication or commitment;

Supply accurate and timely court orders and records of misdemeanor crimes of domestic violence for inclusion in federal and state law enforcement databases used to conduct NICS background checks;

Collect and analyze data needed to demonstrate levels of state compliance with the Act; and

Maintain the required relief from disabilities program in accordance with the Act however, not less than 3 percent and no more than 10 percent of each grant shall be used for this purpose.

State Court Grants

Section 301 of the Act provides that grants shall be made to each state and territory, consistent with the states plans for the integration, automation, and accessibility of criminal history records, for use by the court systems to improve automation and transmittal to federal and state repositories of: (1) criminal history dispositions; (2) records relevant to determining whether a person has been convicted of a misdemeanor crime of domestic violence or a prohibiting domestic violence protection order; and (3) prohibiting mental adjudications and commitments. Further, the law provides that the amounts granted shall be used by the state court system only to:

Carry out, as necessary, assessments of the capabilities of state courts to automate and transmit arrest and conviction records, court orders, and mental health adjudications or commitments to federal and state record repositories; and

Implement policies, systems, procedures to automate and transmit arrest and conviction records, court orders, and mental health adjudications or commitments to federal and state record repositories.

Applicant Eligibility:

Applications must be submitted by (a) the agency designated by the Governor to administer the National Criminal History Improvement Program (NCHIP); (b) the state or territory central administrative office or similar entity designated by statute or regulation to administer federal grant funds on behalf of the jurisdiction's court system; or (c) a federally recognized Indian tribal government.

Beneficiary Eligibility:

In accordance with the NICS Improvement Amendments Act (see 18 U.S.C. 922 note), there are two specific conditions that each state must satisfy before being eligible to receive grants:

1. First, each State shall provide the Attorney General with a reasonable estimate, as calculated by a method determined by the Attorney General of the number of the records subject to the NIAA completeness requirements. (Id.)
2. Second, to be eligible for a grant under this [program], a State shall certify, to the satisfaction of the Attorney General, that the State has implemented a relief from disabilities program. (Id.)

For the purpose of this solicitation, a relief from disabilities program is a program that permits persons who have been adjudicated a mental defective or committed to a mental institution to obtain relief from the firearms disabilities imposed by law as a result of such adjudication or commitment. This relief must be based on a finding, in accordance with principles of due process, by a state court, board, commission, or other lawful authority, that the circumstances of the disability and the persons record and reputation are such that the person will not be likely to act in a manner dangerous to the public safety and that the granting of relief would not be contrary to the public interest. The certification form is available on the ATF website at www.atf.gov/forms/download/atf-f-3210-12.pdf. For further information, please visit NICS Improvement Act Questions and Answers on the BJS website.

Further, applications submitted on behalf of state court systems must specifically assure that: (1) the court system has the capability to contribute and will transmit pertinent information to the NICS established under section 103(b) of the Brady Handgun Violence Prevention Act (18 U.S.C. 922 note), and (2) that it will coordinate the programs proposed for NARIP funding with other federally funded information technology programs, including directly funded local programs.

Credentials/Documentation:

The applicant must furnish all documentation required by the program solicitation, along with the application for an award, including; a detailed budget, a budget narrative, and a program narrative describing how funds would be utilized. Applicants must also furnish a NICS Record Improvement Plan as part of the application which reflects (a) the formation of a NICS Record Improvement Task Force, an assessment of the quality and availability of qualifying NICS records in the State, obstacles preventing full and complete transmission to the NICS of the available records, and strategies planned to address such challenges and achieve completeness goals.

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

A potential grantee should contact the Headquarters Office, Devon B. Adams, NARIP Program Administrator, Bureau of Justice Statistics, Department of Justice, Washington, DC 20531; Telephone: (202) 307-0765, or E-mail: Devon.Adams@usdoj.gov, to obtain a copy of the current program announcement. The standard application forms as furnished by the Office of Justice Programs (OJP), in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

An award is granted by the Director of the Bureau of Justice Statistics and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement. Successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days. Deadlines are included with the application instructions, which are posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Appeals:

For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

Awards may be for up to 24 months.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project duration period will be between 12 to 24 months. See the following information on how assistance is awarded/released: Office of Justice Programs' Financial Guide (www.ojp.usdoj.gov/financialguide/index/htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Please contact the program office for further information. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements

Records:

See 2 C.F.R. . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0401-0-1-754.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$25,000,000; and FY 18 est \$15,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: See BJS website: https://www.bjs.gov/index.cfm?ty=tp&tid=49#state_by_state. Fiscal Year 2017: No current data available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and OJP Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Devon B. Adams U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Statistics
810 7th Street, NW, Washington, District of Columbia 20531 Email:
Devon.Adams@usdoj.gov Phone: (202) 307-0765

Website Address:

<http://bjs.gov/index.cfm?ty=tp&tid=49>

RELATED PROGRAMS:

16.554 National Criminal History Improvement Program (NCHIP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No current data available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.815 TRIBAL CIVIL AND CRIMINAL LEGAL ASSISTANCE GRANTS, TRAINING AND TECHNICAL ASSISTANCE

Tribal Civil and Criminal Legal Assistance (TCCLA)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Program authorized by the Indian Tribal Justice Technical and Legal Assistance Act of 2000, Title I (25 USC 3661, et seq.) and an act appropriating funds for the U.S. Department of Justice (DOJ) in the current fiscal year.

OBJECTIVES:

The two-fold objective is to 1) enhance tribal justice systems and improve access to those systems through legal services and 2) provide training and technical assistance for the development and enhancement of tribal justice systems.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Tribal Legal Assistance Grants: Applications are solicited to provide civil or criminal legal assistance services for low income individuals pursuant to the federal poverty guidelines, Indian tribes, and tribal justice systems. Civil legal assistance services may include tribal civil proceedings, but are not limited to, guardian ad-litem appointments, court-appointed special advocates, and development and enhancement of judicial services such as policies, procedures, and code and other activities within Chapter 38A of 25 of U.S.C.

Criminal legal assistance services may include tribal criminal proceedings such as adult criminal actions, juvenile delinquency actions, and guardian ad-litem appointments arising out of criminal delinquency acts or development and enhancement of judicial policies, procedures, and code and other activities within Chapter 38A of 25 of U.S.C.

Tribal Justice Training and Technical Assistance (TTA) Grants: Applications are solicited to provide the following deliverables:

- Develop and implement a plan to compile a needs assessment;
- Plan and conduct two training events based on gaps in service currently provided to tribal justice systems in priority area(s) defined in solicitation;
- Provide ongoing technical assistance (TA) to legal assistance grantees, tribal justice systems and others resources permitting. TA format include, but are not limited to, onsite TA visits, telephone, distance learning, web-based, video or written assistance;
- Develop and disseminate up to three publications;
- Attend and present at Bureau of Justice Assistance (BJA), national DOJ events or other approved priority event;
- Provide travel resources to TCCLA trainings or other approved event or training; and
- Coordinate with the BJA TTA partners, including the BJA National Training and Technical Assistance Center, DOJ TA partners and other partners and federal agencies as appropriate to the projects.

A final TA plan will be developed in conjunction with and approved by BJA. The TTA provider must coordinate with DOJ Tribal Justice Training and Technical Assistance providers including the BJA National Training and Technical Assistance Center; support DOJ's Tribal Justice and Safety website and other BJA Tribal specific websites.

Applicant Eligibility:

Applicants for Tribal Legal Assistance Grants are limited to non-profit organizations, as defined by (Internal Revenue Code 501(c)(3)), including tribal enterprises and educational institutions (public, private, and tribal

colleges and universities), with experience providing legal assistance services to eligible individuals pursuant to federal poverty guidelines, federally-recognized Indian tribes, or tribal justice systems.

Tribal Justice Training and Technical Assistance (TTA) Grants: Applicants are limited to national or regional membership organizations and associations whose membership or a membership section consists of judicial system personnel within tribal justice systems. Judicial system personnel are defined as any judge, magistrate, court counselor, court clerk, court administrator, bailiff, probation officer, officer of the court, dispute resolution facilitator, or other official, employee (e.g., tribal defenders and tribal prosecutors), or volunteer within the tribal judicial system.

Tribal justice systems are defined as a federally recognized Indian tribes entire judicial branch, including traditional methods and forums for dispute resolution, trial courts, appellate courts, inter-tribal courts, alternative dispute resolution systems, and circuit rider systems, established by inherent tribunal authority whether or not they constitute a court of record.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

Please check the annual solicitation requirements available at the OJP website (<https://ojp.gov/funding/Explore/SolicitationRequirements/index.htm>) and Frequently Asked Questions at the BJAs TCCLA webpage (https://www.bja.gov/ProgramDetails.aspx?Program_ID=102) for documentation such as a tribal agreement or verification by non-profits that they have 501(c)(3) status.

Applicants are requested to attach an Internal Revenue Service determination letter recognizing the applicant as a 501(c)(3) tax exempt status identified as a public charity classification. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See current fiscal years solicitation available at www.bja.gov.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Assistant Attorney General, OJP, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the OJP.

Due to the small number of applicants, BJA may elect to make awards for applications submitted under this solicitation in future fiscal years, dependent on the merit of the applications and the availability of appropriations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. See current fiscal years solicitation available at www.bja.gov.

Appeals:

There are no appeal rights for rejection of a discretionary application. Please see section 28 CFR Part 18.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award project periods are up to 24 months. See the following for information on how assistance is awarded/release: DOJ Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>), and for post award instructions. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 16 \$1,200,000; FY 17 est \$1,200,000; and FY 18 est \$1,200,000

Range and Average of Financial Assistance:

The awards vary by fiscal year and resources appropriated. Please see the solicitation for funding amounts at www.bja.gov.

FY 15: \$150,000 - \$625,000

FY 16: \$600,000

FY 17: \$200,000 - \$600,000 (estimate).

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Tribal Civil and Criminal Legal Assistance (TCCLA) Program webpage (https://www.bja.gov/ProgramDetails.aspx?Program_ID=102). For information on four highlighted TCCLA grantees, read the Emerging Practices in Tribal Civil and Criminal Legal Assistance publication, found at: <https://naicja.wildapricot.org/resources/Pictures/Final%20Revised%20Emerging%20Practices%2011.8.2016%20copy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Norena Henry U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
AskBJA@usdoj.gov Phone: 1-202-927-5657

Website Address:

<http://www.bja.gov/>

RELATED PROGRAMS:

16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.608 Tribal Court Assistance Program; 16.616 Indian Country

Alcohol and Drug Prevention; 16.731 Tribal Youth Program; 16.812 Second Chance Act Prisoner Reentry Initiative

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.816 JOHN R. JUSTICE PROSECUTORS AND DEFENDERS INCENTIVE ACT

JRJ Grant Program

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

42 U.S.C. 3797cc-21. An act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

To encourage and retain qualified attorneys to choose careers as local and state prosecutors and local, state, and federal public defenders, and to continue in that capacity for a minimum of thirty-six (36) months.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants are made directly by the Office of Justice Programs (OJP) to the designated applicant State Administering Agency, based on results of a competitive review process conducted by the States. Grant funds will be used by States to make repayments, on behalf of eligible beneficiaries, of loan obligations to institutions holding eligible beneficiary loans. Direct payment of grant funds to beneficiaries is strictly prohibited. Loan payments made are a supplement to, not a substitute for, the eligible beneficiaries loan obligations. Grant funds may be applied as repayments of eligible loans only. See program solicitation for additional information regarding eligible beneficiaries, eligible loans, and contingent obligations of eligible beneficiaries. Priority consideration will be given to those eligible beneficiaries who have the least ability to repay their student loans, except that a fair allocation of repayment benefits among prosecutors and public defenders, and among employing entities nationwide, will be determined.

Applicant Eligibility:

Applicants are limited to state and U.S. territory government agencies, designated by their Governor, and the District of Columbia, designated by the Mayor, to manage this program. The States, Territories, and the District of Columbia will make loan payments directly to the institutions holding eligible beneficiary loans on behalf of eligible beneficiaries. See below for eligibility information.

Beneficiary Eligibility:

For purposes of this program the following persons shall be considered eligible:

Prosecutor - full-time employee of a State or unit of local government (including tribal government) who is continually licensed to practice law and prosecutes criminal or juvenile delinquency cases at the state or unit of local government level (including supervision, education, or training of other persons prosecuting such cases). 42 U.S.C.3797cc-21(b)(1). Prosecutors who are employees of the federal government are not eligible.

Public Defender an attorney who is continually licensed to practice law and is a full time employee of a State or unit of local government (including tribal government) who provides legal representation to indigent persons in criminal or juvenile delinquency cases including supervision, education, or training of other persons providing such representation; is a full time employee of a nonprofit organization operating under a contract with a State or unit of local government who devotes substantially all of the employees full-time employment to providing legal representation to indigent persons in criminal or juvenile delinquency cases including supervision, education, or training of other persons providing such representation; or employed as a full-time Federal defender attorney in a defender organization pursuant to subsection (g) of

section 3006A of Title 18, United States Code, that provides legal representation to indigent persons in criminal or juvenile delinquency cases.

Credentials/Documentation:

The designated agency must submit a completed Application for Federal Assistance (Standard Form 424), including a signed certification form stating that they will comply with statutory and administrative requirements. The applicant is also required to submit a description that includes the goals of their program, the implementation process, and a timetable for implementation. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Contingent upon whether the state has designated an entity to coordinate and review proposed federal financial assistance. See list of states that require this at: https://www.whitehouse.gov/omb/grants_spoc. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. BJA will post the allocation tables and a copy of the Solicitation and notify the designated State agencies that they are posted online. The State agencies will then generally have 45 - 60 days in which to submit an application through the online, Internet-based Grants Management System (GMS) at <https://grants.ojp.usdoj.gov/>.

Award Procedure:

A letter with copies of the grant award are sent to the designated agency upon approval by the Office of Justice Programs. A copy of the grant award must be signed by the authorizing official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Applications will generally be approved or denied within 60 days of receipt of a complete application.

Appeals:

Not Applicable.

Renewals:

Contingent upon Congressional appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally awards will be for a one-year project and budget period. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: See the Department of Justice Grants Financial Guide section on Period of Availability of Funds" at <https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit individual progress reports and verification forms. Recipients are required to submit quarterly financial reports and "Exited/Repayment" verification forms. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 16 \$1,773,891; FY 17 Not Separately Identifiable; and FY 18 est \$0

Range and Average of Financial Assistance:

As of FY 2017 the John R. Justice program is now competitive for states to apply for.

BJA will solicit state applications in 3 categories:

- 1) Large Population - jurisdictions with populations greater than 5 million;
 - 2) Medium Population jurisdictions with populations less than 5 million but greater than 1 million, and
 - 3) Small Population jurisdictions with populations less than 1 million.
- Please reference the JRJ 2017 Category Eligibility Table.

BJA expects to make awards of up to \$200,000 for Category 1, \$100,000 for Category 2, and \$30,000 for Category 3.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

JRJ Program Manager U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW
, Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone:
(202) 616-6500

Website Address:

<http://www.bja.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.817 BYRNE CRIMINAL JUSTICE INNOVATION PROGRAM (BCJI)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

This program is funded under the Consolidated and Further Continuing Appropriations Act, 2016 (P.L. 114-113). And, an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

The Byrne Criminal Justice Innovation (BCJI) program resources are targeted to distressed neighborhoods that have persistent crime challenges and produce a significant proportion of crime or type of crime within the larger jurisdiction, impeding broader neighborhood development goals. The goal of BCJI is to reduce crime and improve community safety as part of a comprehensive strategy to rebuild neighborhoods and spur revitalization. Through a broad cross-sector partnership team, including neighborhood residents, BCJI grantees target neighborhoods with persistent hot spots of violent and serious crime and employ data-driven, cross-sector strategies to reduce crime and violence and increase trust.

To achieve BCJI program goals and objectives, the fiscal agent must commit to accomplishing the following:

Identify a neighborhood with a concentration of crime hot spots which have for a period of time composed a significant proportion of crime or types of crime;

Ensure meaningful engagement of residents and other partners, including coordination in the development or implementation of a comprehensive and coordinated strategic plan;

Develop and/or implement a plan that outlines a set of strategies that offers a continuum of approaches to address crime drivers including enforcement, prevention, intervention, and revitalization strategies with support from the BCJI training and technical assistance provider;

Demonstrate commitment and a clear history of the partners, including the local law enforcement agency and a research partner or team, to support the data collection and analysis throughout the life of the grant;

Demonstrate ability to hire and support a skilled lead site coordinator that will oversee and facilitate coordination and collaboration among criminal justice and service providers (e.g., by the formation of a diverse advisory board or cross-sector partnership team to address an identified problem);

Facilitate, as appropriate, collaboration with relevant local, state, or federal initiatives located in, adjacent, or overlapping the jurisdiction that addresses issues that relate to the crime issues identified; and,

Support the planning, implementation and sustainment of the program through proactive program management tied to rigorous research and data analysis, program assessment, and leverage other funding and resources.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Allowable uses of funds include the planning and implementation of data-driven, evidence-based strategies that target crime in locations where most crime is occurring and can have the biggest impact while also building the capacity of the community to deter future crime through neighborhood revitalization.

Applicant Eligibility:

See the current solicitation available at the Office of Justice Programs website <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Beneficiary Eligibility:

Eligible entities to serve as fiscal agent include states, unit of local governments, non-profit organizations, and federally recognized Indian tribal governments as determined by the Secretary of the Interior.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative; Project Timeline and Position Descriptions; Letters of Support. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The BCJI application requires a consortium of partners (hereinafter cross-sector partnership) to work together to design a strategy addressing a targeted crime problem and responding to the scope of this solicitation. The

application must also show commitment from the local law enforcement agency, community leaders, and a research partner as part of this partnership through detailed letters of support outlining their participation and partnership in the project. This cross-sector partnership must designate one agency or organization as the Fiscal Agent. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All competitive grant applications must be submitted electronically through Grants.gov. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

There are no appeal rights for rejection of a discretionary application. Please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Subject to annual appropriations.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 36 months. See the following for information on how assistance is awarded/released: For specifics pertaining to this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site

(<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on Period of Availability of Funds" at

<https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit semi-annual Progress Reports in accordance with the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>). Recipients are required to submit semi-annual Progress Reports and quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justices Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 16 \$13,204,507; FY 17 est \$17,500,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Category 1 (Implementation) Award: up to \$850,000
Category 2 (Planning and Implementation) Award: up to \$1 million.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Please see BCJI website for comprehensive resources and program news, updates, events and publications. www.jisc.org/bcji. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Bureau of Justice Assistance, U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
AskBJA@usdoj.gov Phone: (202) 616-6500 or 1-866-859-2647

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years program solicitation available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>) and/or <https://www.bja.gov/funding.aspx>.

16.818 CHILDREN EXPOSED TO VIOLENCE

Defending Childhood

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice.

OBJECTIVES:

Every year, millions of children and adolescents in the United States are victimized and exposed to violence in their homes, schools, and neighborhoods. Child victims of or witnesses to violence may suffer devastating consequences beyond physical harm, which may include attachment difficulties, regressive behavior, anxiety, depression, and aggression. When these problems go unaddressed, children are at greater risk for school failure, substance abuse,

repeat victimization, delinquency, gang involvement, and criminal behavior during adulthood. In short, mitigating childrens exposure to violence is central to breaking the cycle of violence.

The Children Exposed to Violence program builds on and incorporates the knowledge gained through research, programs, and demonstration initiatives that have addressed the problem of children exposed to violence over the past decade. The Program features a comprehensive effort to identify successful policies and practices that address the trauma as well as harm that children exposed to violence experience in their homes, communities, and schools. The Program supports prevention, intervention, treatment, and community organizing, and professional as well as public education strategies to implement a comprehensive continuum of care for children and teens, from birth through age 17, who have been exposed to violence. The ultimate goals of the Children Exposed to Violence Program are to reduce the frequency and severity of violence, reduce the short- and long-term traumatic impact of violence, increase community safety and accountability, improve the response to children exposed to violence, improve the safety and well-being of children, and create a national dialogue on the issue of children exposed to violence.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

On the basis of a yearly appropriation and sufficient funds, the use of the funds may be in support of prevention, intervention, treatment, and community organizing strategies to implement a comprehensive continuum of care for children and teens, from birth through age 17, who have been exposed to violence. 100% of the funds are used for discretionary activities in support of program implementation. As this is a discretionary grant programs, 100% of the funds are used for discretionary activities in support of program implementation. 100% of the funds are used for discretionary activities in support of program implementation. As this is a discretionary grant programs, 100% of the funds are used for discretionary activities in support of program implementation.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies by project.

Appeals:

Generally, not applicable but See 28 CFR Part 18.

Renewals:

See applicable program announcement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project--see applicable program announcement. See the following for information on how assistance is awarded/released: see applicable program announcement.

Reports:

No program reports are required. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be retained for a period of three (30 years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 16 \$6,870,798; FY 17 est \$8,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Awards may range up to \$2.5 million.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Children Exposed to Violence Program solicitations, Office of Justice Programs Financial Guide 2011 (www.ojp.gov/financial_guide/DOJ/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20531 Phone:
202-616-3637

Website Address:

<http://ojjdp.ncjrs.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Varies by program. Applications are judged according to their consistency with the policies and program priorities established by OJJDP and applicable laws.

16.819 NATIONAL FORUM ON YOUTH VIOLENCE PREVENTION
the Forum

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice.

OBJECTIVES:

The purpose of this project is to provide training and technical assistance to localities participating in the Forum, a network of communities committed to addressing youth violence, and to support development and support of new youth violence prevention sites. This initiative was created to build the capacity of localities across the country to more effectively address youth violence through multi-disciplinary partnerships, balanced approaches, data-driven strategies, comprehensive planning and the peer-to-peer sharing of common challenges and promising strategies. The initiative has the following overarching goals: 1. Elevate youth and gang violence as an issue of national significance; 2.

Enhance the capacity of participating localities, as well as others across the country, to more effectively prevent youth and gang violence; and, 3. Promote systems and policy change by expanding engagement, collaboration, and coordination in addressing youth violence at the national, state, and local levels.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Uses of these funds may be for one or more of the following purpose areas: Staff Coordination and Support; Management Information System Development or Enhancements; Development or Enhancements of Program Monitoring, Process Evaluation, and Outcome or Performance Evaluation (e.g. hiring a local research partner); Data Collection Efforts; and Training and Technical Assistance for Preventing School Violence and Community-Based Violence Prevention Strategies. Construction is an unallowable use of funds.

Applicant Eligibility:

- 1) 24-US Territories; 13-state; 15-local; 20-public nonprofits; 21-other public institutions; 36 private nonprofits
- 2) 50-law, justice, legal services; 72-youth development
- 3) Contact program office for additional information.

Beneficiary Eligibility:

- 1) 31-family/individual; 80-youth; 79-child, 15-local
- 2) Contact program office for additional information.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS. Specific application instructions for program announcements are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

varies by project.

Appeals:

There are no appeal rights for the denial of a discretionary application. For discretionary awards, see generally, 28 C.F.R. Part 18.

Renewals:

See applicable program announcement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project--see applicable program announcement. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be generally retained for a period of three (3) years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$4,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

capacity building awards: varied
training and technical assistance award: varied.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The National Forum on Youth Violence Prevention Program solicitation, Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.). See program announcement and Uniform Administrative Requirements in 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20531 Phone:
202-514-3913

Website Address:

<http://ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Varies by program. Applications are judged according to their consistency with the policies and program priorities established by OJJDP and applicable laws.

16.820 POSTCONVICTION TESTING OF DNA EVIDENCE

Kirk Bloodsworth Program

FEDERAL AGENCY:

National Institute of Justice, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

This program offers assistance to States, units of local government, and public institutions of higher education (including tribal institutions of higher education), to help defray the costs associated with postconviction case review, evidence location, and DNA testing in violent felony cases where actual innocence might be demonstrated. This supports the DOJ mission to ensure fair and impartial administration of justice for all Americans. While successful exonerations to correct injustice are notable program outcomes, the careful

review, consideration and closing of cases subjected to postconviction DNA testing that do not ultimately demonstrate innocence also work to advance the public interest that justice has been fairly applied. Funding may be used to review postconviction cases of violent felony offenses (as defined by State law), and to locate and conduct DNA analysis of biological evidence samples associated with these cases. Where a strong justification is provided, a small percentage of Federal award funds may be used for permissible case identification activities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used to acquire laboratory supplies for DNA analysis of biological evidence; pay overtime for people directly engaged in case review, location of evidence, or DNA analysis of biological evidence; to hire consultants and/or temporary contract staff to conduct case reviews, locate evidence, or conduct DNA analysis of biological evidence; for contracts with accredited fee-for-service vendors to conduct DNA analysis of biological evidence; to upgrade, replace, lease, or purchase computer hardware or software that will be used exclusively for case review, location of evidence, or DNA analysis of biological evidence; for salaries and benefits of additional full- or part-time employees to the extent such employees are directly engaged in case review, location of evidence, or DNA analysis of biological evidence; and, in limited cases, for case identification activities. Funds may not be used for salaries and benefits for victim advocacy services; laboratory equipment; construction; renovation; costs for postconviction relief litigation, after DNA testing of biological evidence has been completed; or outreach and initial screening activities not included in case identification.(as this term is defined in the program announcement).

Applicant Eligibility:

Eligible applicants are States, units of local government, and public institutions of higher education (including tribal institutions of higher education). For the purposes of agency program announcements, the term State includes the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands.

Beneficiary Eligibility:

Ensures the availability of fair and impartial administration of justice to Americans who may have been unjustly convicted.

Credentials/Documentation:

If an award is made, prior to receiving award funds an applicant must submit an express certification from the chief legal officer of the State (typically the Attorney General) that the State:

- i. Provides postconviction DNA testing of specified biological evidence under a State statute, or under State rules, regulations, or practices, to persons convicted after trial and under a sentence of imprisonment or death for a State offense of murder or forcible rape, in a manner intended to ensure a reasonable process for resolving claims of actual innocence.
- ii. Preserves biological evidence secured in relation to the investigation or prosecution of a State offense of murder or forcible rape, under a State statute, local ordinances, or State or local rules, regulations, or practices, in a manner intended to ensure that reasonable measures are taken by all jurisdictions within the State to preserve such evidence.

Any certification that is submitted must be personally executed by the chief legal officer of the State after a determination that the certification may properly be made. While it may be submitted with the application package, submission of this certification is not required at the time of application. If an award is made, access to award funds will be withheld until this certification is received and approved by NIJ.

Applicants proposing postconviction DNA testing projects involving cases outside of the applicants State are to provide (prior to receiving award funds) the express certification described above executed by the chief legal officer of the appropriate State for each State for which postconviction cases are sought to be funded in response to the program announcement.

Regarding the question below relating to the applicability of 2 CFR Part 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix

VIII to 2 CFR Part 200.

An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at www.nij.gov.

Award Procedure:

NIJ may use external peer reviewers, internal peer reviewers (DOJ employees), or a combination, to assess applications meeting basic minimum requirements on technical merit using the solicitations selection criteria. Peer reviewers ratings and any resulting recommendations are advisory only, although their views are considered carefully. Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the Assistant Attorney General (Office of Justice Programs), who may consider factors including, but not limited to, underserved populations, geographic diversity, strategic priorities, past performance under prior NIJ and OJP awards, and available funding when making awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

See the current fiscal years solicitation available at www.nij.gov.

Appeals:

For statutory formula awards, see 28 CFR Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 CFR Part 18.

Renewals:

A request to change the project end date for an award may be submitted by the recipient by at least 30 days prior to the end date for the period of performance under the award, or by the awarding agency grant manager. Requests for extensions are not automatically granted. When granted, extensions typically are for no more than 12 months past the original end date for period of performance under the award, absent extraordinary circumstances.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. A grant made by NIJ under this program may account for up to 100 percent of the total cost of the project. The application should indicate whether it is feasible for the applicant to contribute cash, facilities, or services as non-federal support for the project. The application should identify generally any such contributions that the applicant expects to make and the proposed budget should indicate in detail which items, if any, will be supported with non-federal contributions.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards under this program typically are for project periods of up to 24 months. See the following for information on how assistance is awarded/released: See the current fiscal years solicitation available at www.nij.gov and the DOJ Grants Financial Guide, available at www.ojp.gov.

Reports:

Program reports are not applicable. No cash reports are required. See the current fiscal years solicitation available at www.nij.gov, and the DOJ Grants Financial Guide, available at www.ojp.gov. See the current fiscal years solicitation available at www.nij.gov, and the DOJ Grants Financial Guide, available at www.ojp.gov. See the current fiscal years solicitation available at www.nij.gov, and the DOJ Grants Financial Guide, available at <http://ojp.gov/financialguide/DOJ/index.htm>. To assist in fulfilling the

Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR Part 200 for the audit requirements

Records:

See 2 CFR Part 200 for the government-wide requirements for maintenance of records by grant recipients.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 16 \$3,596,910; FY 17 est \$7,500,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See 2 CFR Part 200, 2 CFR Part 2800, the current fiscal years solicitation at www.nij.gov, and the DOJ Grants Financial Guide at <http://ojp.gov/financialguide/DOJ/index.htm>.

Regional or Local Office:

None.

Headquarters Office:

National Institute of Justice Department of Justice
Office of Justice Programs
National Institute of Justice
810 7th Street, NW, Washington, District of Columbia 20531 Email:
postconvictionDNA@usdoj.gov Phone: (202) 307-2942

Website Address:

<http://www.nij.gov>

RELATED PROGRAMS:

16.560 National Institute of Justice Research, Evaluation, and Development Project Grants; 16.746 Capital Case Litigation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: See awards from past fiscal years at www.nij.gov/funding/awards. Fiscal Year 2017: No current Data available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.821 JUVENILE JUSTICE REFORM AND REINVESTMENT DEMONSTRATION PROGRAM

Do Right for Kids Pilot Program

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

The Consolidated Appropriations Act 2012, Pubic Law 112-74 and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

The Juvenile Justice Reform and Reinvestment Demonstration Program is a new initiative that is made possible through the Office of Management and Budgets (OMB) Partnership Fund for Program Integrity Innovation (the Partnership Fund). The demonstration program consists of discretionary, competitive grants for up to three State and/or local administering agencies to develop and implement an integrated set of evidence-based and cost-measurement tools for juvenile justice. The tools designed will enable State and/or local agencies to make more informed decisions about resources and services for justice-involved youth, both at the point of service and at the administrative level. In addition, one discretionary, competitive award will be made for an evaluation of the initiative. A discretionary award will also be made to support training and technical assistance for implementation of the initiative.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To implement a pilot test of integrated evidence-based decision-making and cost-measurement tools in juvenile justice, and to determine whether the use of these tools result in better outcomes and lower costs for juvenile justice. In addition, funds are used to support training and technical assistance and evaluation of the initiative. Funds are to be used only to cover the implementation of activities under the Juvenile Justice Reform and Reinvestment Demonstration Program. Discretionary awards to States or localities to implement the JJRRI initiative, and to a training and technical assistance provider and evaluator. Two competitive solicitations will be issued in FY 2012.

Applicant Eligibility:

Applicants for Demonstration grants are limited to local units of government, including state agencies (only if targeted to a local community), and public agencies. If the applicant is a local unit of government, it must be the local administering agency for juvenile justice. Municipal governments may submit applications as part of a larger application from the county or State within which they are located. Units of government may partner as co-applicants, when necessary, to achieve the goals of this solicitation; however, a lead agency must be identified. Only one application per State should be submitted, regardless of whether the lead applicant is a State or local agency. In addition, OJJDP will only consider applications from States determined to be in compliance with the four core requirements of the Juvenile Justice and Delinquency Prevention Act for FY 2012 funding.

Beneficiary Eligibility:

Eligible applicants are limited to states (including territories), units of local government (including federally recognized tribal governments, as determined by the Secretary of the Interior), nonprofit and for-profit organizations (including tribal nonprofit and for-profit organizations), and institutions of higher education (including tribal institutions of higher education). For-profit organizations must agree to forgo any profit or management fee.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications.

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official

designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

An environmental impact statement is required for construction proposed under this program.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants receive an award notification via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

One to six months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will be awarded for a 24 to 36 month project period. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Effective 6/30/2004, all organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0035-0-1-754 - Number will denote a child account under the Partnership Fund.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$25,000,000; and FY 18 est \$22,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

11-15-10-13-0035-4.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs 810 7th Street NW Rm Stop 5222, Washington , District of Columbia 20531 Email: Janet.Chiancone@usdoj.gov Phone: 202-353-9258

Website Address:

<http://www.ojdp.ncjrs.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.822 NATIONAL CENTER FOR CAMPUS PUBLIC SAFETY

National Center

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

To be a resource for campus police chiefs, directors of public safety, emergency managers, and key campus safety stakeholders. The National Center will be a catalyst that brings together all forms of campus public safety, professional associations, advocacy organizations, community leaders, and others to improve and expand services to those who are charged with providing a safe environment on the campuses of the nation's colleges and universities.

The National Center is envisioned as a think tank and clearinghouse for the identification and dissemination of information, research, training, and promising best practices and emerging issues in campus public safety. The National Center will identify and examine emerging risks to campus safety and security and develop resources to combat those risks and facilitate the transfer of research to practice.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The National Center will work closely with the Department of Justice to identify and prioritize the needs of the field and to reach out to key stakeholders and identify their role in the National Center; serve as the one-stop shop for campus public safety; connect existing federal and non-federal resources with the needs of constituents; connect major campus public safety entities with one another, and with federal agencies, as well as highlight and promote best and innovative practices specific to campus public safety efforts and integrate key issues in public safety in order to develop comprehensive responses that meet the complexity of needs on campus; and, deliver essential training and technical assistance specific to campus public safety.

Applicant Eligibility:

A demonstrated knowledge of campus public safety needs and demonstrated experience and infrastructure for successfully carrying out a multi-faceted initiative with multiple campus stakeholder groups is required.

Beneficiary Eligibility:

State, local, and tribal criminal justice agencies are the primary beneficiaries of this program.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. For further information, please see the current fiscal years solicitation available at the Office of Justice Programs web site <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Contingent upon whether the state has designated an entity to coordinate and review proposed federal financial assistance. See list of States that require this at: https://www.whitehouse.gov/omb/grants_spoc. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies for competitive programs, from 30 to 180 days.

Appeals:

There are no appeal rights for rejection of a discretionary application. See section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of the program budget period is 24 months. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: The current fiscal years program solicitation available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on Period of Availability of Funds" at <https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>.

Reports:

No program reports are required. No cash reports are required. Progress reports are due semi-annually, unless otherwise specified in the award's special conditions. Financial reports are due quarterly, unless otherwise specified in the award's special conditions. Yes, to assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

See the current fiscal years solicitation available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>) and/or <https://www.bja.gov/funding/NationalInitiativesLE17.pdf>.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Please visit https://www.nccpsafety.org/assets/files/misc/07.01.14_to_03.31.17_Infographic.pdf for a summary of accomplishments. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

BJA, U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 Seventh Street, N.W., Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866- 859-2647.

Website Address:

<http://www.bja.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm> and/or www.bja.gov.

16.823 EMERGENCY PLANNING FOR JUVENILE JUSTICE

FACILITIES

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

The Juvenile Justice and Delinquency Prevention Act, 42 U.S.C. 5631(a), and an act appropriating funds for the Department of Justice.

OBJECTIVES:

The purpose of this project is to provide funding to States to develop comprehensive plans that address the specific needs of children, youth, and families involved in the justice system during an emergency. Specifically, the initiative supports implementation of the principles outlined in the OJJDP report, Emergency Planning for Juvenile Justice Residential Facilities (www.ncjrs.gov/pdffiles1/ojjdp/234936.pdf). This report is targeted to state, county, and local juvenile justice authorities charged with the custodial care and supervision of youth in the juvenile justice system, with particular focus on those authorities who oversee residential treatment and correctional and detention facilities that house juveniles via court-ordered placements. The principles outlined in this document may also apply to emergency planning for youth in out-of-home placement.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The primary purpose of the use of these funds is to support the development of comprehensive emergency plans for juvenile facilities within the State. To accomplish this task, assistance may be used for a number of key activities to include hiring consultants to facilitate the planning process, emergency preparedness training for staff; conducting needs assessments; development of protocols for communication with families, other agencies, and the public; and arranging for effective emergency medical care and mental health services. The goal of developing the emergency plan is to help ensure the efficient continuation of operations during an emergency, the reduction of risk to the physical plant, and, most importantly, the safety and well-being of youth and staff in our nations juvenile justice residential facilities. Funds may not be used for construction.

Applicant Eligibility:

- 1) 24-US Territories; 13-state; The only eligible applicant for these funds is the designated state agency that receives Title II Formula Grant funds from OJJDP
- 2) 50-law, justice, and legal services; 72-youth development; 58-planning
- 3) Contact the program office for additional information.

Beneficiary Eligibility:

- 1) 15-local; 14-state; 79-child; 80-youth
- 2) Contact program office for additional information.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in

GMS. Specific application instructions for program announcements are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies by project.

Appeals:

Not applicable. There are no appeal rights for the denial of a discretionary application. For discretionary awards, see generally, 28 C.F.R. Part 18.

Renewals:

See applicable program announcement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project--see applicable program announcement. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to report quarterly financial reports to OJP. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be generally retained for a period of three (3) years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 16 \$394,216; FY 17 est \$500,000; and FY 18 est \$500,000

Range and Average of Financial Assistance:

\$70,000-\$91,000.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, funds supported resources for state, tribal, local, municipal, and community organizations to develop, strengthen, and implement emergency preparedness plans for juvenile justice facilities. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Emergency Planning for Juvenile Justice Facilities solicitation, Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf) applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.). See program announcement and Uniform Administrative Requirements in 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20531 Phone:
202-514-4817

Website Address:

<http://www.ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following five selection criteria will be used to evaluate each application, with the different weight given to each based on the percentage value listed after each individual criteria

1. Statement of the Problem (15 percent)
2. Goals, Objectives and Performance Measures (5 percent)
3. Project Design and Implementation (45 percent)
4. Capabilities and Competencies (30 percent)
5. Budget: complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities) (5 percent).

16.824 EMERGENCY LAW ENFORCEMENT ASSISTANCE GRANT (EFLEA)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Authorized by 42 USC 10513.

OBJECTIVES:

The purpose is to provide necessary federal law enforcement assistance to (and through) a State government in response to an uncommon situation which is or threatens to become of serious epidemic proportions, and with respect to which State and local resources are inadequate to protect the lives and property of citizens, or to enforce the criminal law.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Assistance may be used for personnel costs, to include overtime or straight time for officers affected by the emergency situation. The funds may not be used as noted below:

The perceived need for planning or other activities related to crowd control

for general public safety projects; and

A situation requiring the enforcement of laws associated with scheduled public events, including political conventions and sporting events.

Applicant Eligibility:

See the current fiscal years solicitation available at the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Beneficiary Eligibility:

See the current fiscal years solicitation available at the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The applicant will submit the required paperwork through OJPs Grants Management System (GMS), and the program office will review all relevant materials. Before the award can be processed, a financial clearance must be approved. BJA will make the award through the GMS system and provide notification to the grantee. The grantee must sign the acceptance of the award package before the award is complete. BJA will review all applications in consultation with appropriate members of the federal law enforcement community, including the United States Attorney(s) in the affected District(s).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 15 days. BJA is responsible for approving or disapproving the application not later than 10 days after receiving the application.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period is normally 12 months, with availability of project period extensions.

For specifics pertaining to this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on Period of Availability of Funds" at <https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual progress reports to OJP. Recipients are required to report quarterly financial reports to OJP. To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of

2010, Public Law 111-352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$15,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Awards may be made up to the amount available under this program. For specifics, please see the current fiscal years solicitation available at the Office of Justice Programs web site <http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of Section 609M of the Justice Assistance Act of 1984 (42 USC 10513, Public Law 98-473).

Regional or Local Office:

None.

Headquarters Office:

Tracy Trautman US Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
tracy.trautman@usdoj.gov Phone: 202-616-6500 or 202-305-1491

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

97.036 Disaster Grants - Public Assistance (Presidentially Declared Disasters)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

- 1) Problem: The nature and extent of such emergency throughout a State or in any part of a State;
- 2) Cause: The situation or extraordinary circumstances which produced such emergency;
- 3) Resources: The availability of State and local criminal justice resources to resolve the problem;
- 4) Assistance required: The cost associated with the increased Federal presence;
- 5) Other assistance: The need to avoid unnecessary Federal involvement and intervention in matters primarily of State and local concern; and
- 6) Other requirements: Any assistance which the State or other appropriate unit of government has received, or could receive, under any provision of title I of the Omnibus Crime Control and Safe Streets Act of 1968 (JAG funding).

16.825 SMART PROSECUTION INITIATIVE

Smart Prosecution

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

The Smart Prosecution Initiative builds off of the lessons learned from BJAs other SMART Suite programs and seeks to pair action researchers with prosecutor offices to develop solutions such as hot spot strategies, sophisticated crime analysis, offender-based policies, and risk and needs assessment that create prosecution strategies in an effort to break down information silos, advance communities, improve public trust and confidence in the justice system, and increase public safety.

As prosecutors implement innovative, best practices or evidenced-based approaches to address the goals listed below, there is a need to evaluate their efforts. The Smart Prosecution Initiative seeks to encourage exploration of new solutions to public safety concerns, as well as internal operations and organizational structure, while employing research partners at the problem definition stage through assessment of strategies and solutions. Some creative solutions developed by prosecutors around the country include changing how their organizations prioritize cases, using zone/geographic prosecution, using crime analysis tools to reduce gun violence, nuisance abatement, crime prevention through environmental design, drug-free and prostitute-free zones, restorative justice, community courts, truancy abatement, diversion programs, crime analysis, and cyber-crime strategies to improve public safety. Prosecutors are becoming partnership builders who bring the police, the community, and other criminal justice and local agencies together to find ways they can work together to solve problems in their jurisdictions.

The goal of Smart Prosecution is to develop a body of knowledge about data-driven strategies innovative, best practice, or evidenced-based as they are implemented by prosecutors. BJA sought applications from state, local, or tribal prosecutor agencies interested in testing data-driven approaches that address one or more of the following three goals:

- Promoting fair, impartial, and expeditious pursuit of justice;
- Ensuring safe communities; and
- Promoting integrity in the prosecution profession and effective coordination in the criminal justice system.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funding is for site-based awards and training and technical assistance (TTA). Funds may be used to test data-driven strategies innovative, best practice, or evidenced-based as they are implemented by prosecutors. Smart Prosecution applicants will identify a problem to be addressed and enlist a local research partner to help assess the effectiveness of their Smart Prosecution effort.

Applicant Eligibility:

Site-based-- Eligible applicants are limited to state, local, and tribal prosecutor agencies or a government agency acting as fiscal agent for the applicant.

TTA-- Eligible applicants are limited to for-profit (commercial) organizations, nonprofit organizations, and institutions of higher learning that support national initiatives to improve the functioning of the criminal justice system. For-profit organizations must agree to waive any profit or fees for services.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The application of E.O. 12372 is contingent upon whether the State has designated an entity to coordinate and review proposed federal financial assistance. See list of States that require this at:

https://www.whitehouse.gov/omb/grants_spoc. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

The 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards: Uniform administrative requirements apply to all OJP grants. The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200.

Audit requirements are dependent upon the amount of the award and whether the grantee is a for-profit or non-profit entity.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 90 to 120 days. There are no appeal rights for rejection of a discretionary application. Please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

A grant may be supplemented.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is drawn down according to a timeline submitted by grantee. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Department of Justice Grants Financial Guide

(<https://www.ojp.gov/financialguide/DOJ/index.htm>) and Post Award

Instructions

(<https://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject

to audits by the Government Accountability Office, Department of Justices Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 16 \$2,211,852; FY 17 est \$2,500,000; and FY 18 est \$4,000,000

Range and Average of Financial Assistance:

The total award for FY 17 is \$2,207,304. \$750,000 is for Technical Assistance and the remaining \$1,457,304 is for the three site based awards.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Kings County District Attorneys Office (Brooklyn)

PROJECT: The Brooklyn Young Adult Justice Initiative

As of June 23, the site reports 1,781 open cases pending in the Adolescent Diversion Court, which offers diversion instead of prosecution for young adults, 16-24 years old, charged with misdemeanor offenses, who are at the greatest risk for re-offending and/or are facing more serious criminal consequences.

Two Assistant District Attorneys joined the Bureau since June, bringing the total number of prosecutors in the unit up to five.

San Diego City Attorneys Office

PROJECT: The Community Justice Initiative (CJI)

CJI uses data and evidence-based practices to restore justice and increase effectiveness and efficiency through a post-plea, city-wide diversion initiative to provide accountability, early intervention, and swift consequences for participants charged with eligible misdemeanor offenses.

As of June 30, the site reports that: 3,943 offers made; 2,650 offers accepted; 1,293 offers rejected; 2,048 offers completed; and 423 participants failed out of the program. These numbers reflect enrollment since November 2016. The site has also completed 224 CJI Proxy Risk Screenings and 61 CJI assessments.

The site received its software platform/kiosk for client screening. The kiosks will electronically administer the proxy screen to determine high, medium, or low risk scores for program participants (a case manager previously conducted the risk assessment). The site is working with the courthouse for installation, and expects the kiosks to be up and running by September. The site is also building new software for the kiosks.

District of Columbia Office of the Attorney General

PROJECT: Using Our Brains: Reducing Recidivism among Youth and Young Adults

The site is furthering collaboration with the DC US Attorneys Office (USAO). Both offices plan to develop a diversion-based initiative, using restorative justice, for youth (ages 12-17) and young adults (ages 18-24) who commit certain crimes, such as theft, destruction of property and non-injury simple assault cases with victim consent. The team is evaluating USAO data for program development.

The site hired a restorative justice coordinator and two facilitators who will staff their restorative justice panels. The site team, partners, and office staff will receive ongoing support and assistance for program implementation and

restorative justice training from the Baltimore Community Conferencing Center.

Jackson County Prosecutors Office (Kansas City)
PROJECT: Jackson County Prosecutors Office Smart Prosecution Initiative

The sites geographic-based initiative targets the East Zone, the most violent area within Kansas City. Team members will collaborate with law enforcement, community partnership specialists, and community members to assess crime-related problems in the East Zone and implement strategies to address them.

A system for hot spot cases has been developed. The site has access to sophisticated mapping software.

The Essex County Prosecutors Office (Newark)
PROJECT: Essex County SMART Social Media Initiative

A specialized unit has been developed to investigate and prosecute cases based on suspects usage of social media. The site envisions a systematic, proactive approach to social-media mining that will reduce crime, empower victims, promote fair and impartial justice, and instill the publics confidence in criminal justice.

Since the beginning of the initiative, the team has experienced successful identification hearings where witnesses and victims identify defendants without law enforcement involvement. The site continues to educate the judges about this process. The site continues screening about 3-6 potential cases per week, and reports that most cases have social media connections, due to witnesses initially identifying defendants through social media. Since June, the site has 19 confirmed cases in the treatment group, and another 50 tentative cases.

District Attorney of Chatham County (Savannah)
PROJECT: The Chatham County Smart Prosecution Program

The initiative aims to reduce gun, local group, and gang violence by focusing on: identifying and targeting a geographic hot spot for gun violence in the Savannah area and most violent offenders within it; tracking and prioritizing prosecution and individuals within the hot spot; diverting non-essential cases (e.g., theft and simple drug possession) to alternative programs; and, engaging with community groups within the hot spot through meetings, social media forums, and other outreach strategies. As of June 26, there are 19 active cases in the target zone area, including both new charges and probation violations. Total cases including active and disposed cases have reached 30. The sites goal is to reach 50 active cases soon.

The site continues its work with engaging community members, by attending neighborhood association meetings. The program End Violence Now is now housed under the District Attorneys office; this move will promote community engagement and allow team members to work directly with the Youth Intercept Program.

The sites researchers at Georgia Southern University are developing a process evaluation tool. The researchers are also creating one-page briefs on certain topics (such as policing hot spots) that can be quickly digested and used by practitioners. The researchers are currently focusing on social network analysis and spatial analysis, and have recently received data from the Savannah Area Regional Intelligence Center. Fiscal Year 2017: No Current Data Available
Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm> . For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Tammy Brown U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Phone:
202-616-6500

Website Address:

<http://www.smartprosecution.apainc.org/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years program solicitation available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>).

16.826 VISION 21

FEDERAL AGENCY:

Office for Victims of Crime, Department of Justice

AUTHORIZATION:

P.L. 113-76, Division B, Department of Justice Appropriations Act 2014, Office of Justice Programs, State and Local Law Enforcement Assistance, Subparagraph (30); and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

There are several objectives related to Vision 21. These include: (1) Tribal assistance for victims of violence; (2) Funding and enhancing the provision of direct victim services; and (3) Providing funding to the States to address victims multiple legal issues through a broad and coordinated community network of wraparound pro bono legal services and to help jurisdictions build their technological infrastructure in order to improve provision of services to victims of crime.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Vision 21 is a strategic planning initiative based on an 18-month national assessment by OVC that systematically engaged the crime victim advocacy field and other stakeholder groups in assessing current and emerging challenges and opportunities facing the field. The initiative is designed to address identified needs including the need for more victim-related data, research and program evaluation; holistic legal assistance for crime victims; resources for tribal victims; and capacity building to provide technology- and evidence-based training and technical assistance. OVC will undertake several initiatives related to Vision 21. These include: (1) Tribal assistance for victims of violence; (2) Funding and enhancing the provision of direct victim services; and (3) Providing funding to the States to address victims multiple legal issues through a broad and coordinated community network of wraparound pro bono legal services and to help jurisdictions build their technological infrastructure in order to improve provision of services to victims of crime. Grantees will need to address one of these initiatives. Unallowable costs are detailed in the current solicitation available at the Office of Justice Programs website <http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm> and the Department of Justice Grants Financial Guide available at <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.13a.htm>. 100%.

Applicant Eligibility:

n/a.

Beneficiary Eligibility:

n/a.

Credentials/Documentation:

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E - Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The application of E.O. 12372 is contingent upon whether the state has designated an entity to coordinate and review proposed federal financial assistance. See list of states that require this at: https://www.whitehouse.gov/omb/grants_spoc. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

OJP is committed to ensuring a fair and open process for awarding grants. OVC reviews the application to make sure that the information presented is reasonable, understandable, measurable, and achievable, as well as consistent with the solicitation.

Peer reviewers will review the applications submitted under this solicitation that meet basic minimum requirements. OVC may use internal peer reviewers, external peer reviewers, or a combination, to review the applications. An external peer reviewer is an expert in the subject matter of a given solicitation who is NOT a current DOJ employee. An internal reviewer is a current DOJ employee who is well-versed or has expertise in the subject matter of this solicitation. A peer review panel will evaluate, score, and rate applications that meet basic minimum requirements. Peer reviewers ratings and any resulting recommendations are advisory only. In addition to peer review ratings, considerations for award recommendations and decisions may include, but are not limited to, underserved populations, geographic diversity, strategic priorities, past performance, and available funding.

The Office of the Chief Financial Officer, in consultation with OVC, reviews applications for potential discretionary awards to evaluate the fiscal integrity and financial capability of applicants, examines proposed costs to determine if the Budget Detail Worksheet and Budget Narrative accurately explain project costs, and determines whether costs are reasonable, necessary, and allowable under applicable federal cost principles and agency regulations.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the Assistant Attorney General, who may consider factors including, but not limited to, underserved populations, geographic diversity, strategic priorities, past performance, and available funding when making awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 1 to 15 days. For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

From 120 to 180 days. Some solicitations will have the potential for non-competitive supplemental funding based on performance and availability of appropriations, which will involve re-application for each eligible year for invited grantees.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Award periods for Office of Justice programs generally range from 12 to 36 months. For specifics pertaining to this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on "Period of Availability of Funds" at <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>.

See the following for information on how assistance is awarded/released: Reimbursable. Please see the Department of Justice Grants Financial Guide section on "Payments" at <https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>.

Reports:

No program reports are required. No cash reports are required. Semiannual programmatic progress reports. Quarterly financial status reports. Grant managers will review the progress reports submitted by grantees. Grant managers will conduct regular assessments of the grant's progress, this may also require an on site visit.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0404-0-1-121.

Obligations:

(Project Grants) FY 16 \$47,762,871; FY 17 est \$0; and FY 18 est \$25,000,000

Range and Average of Financial Assistance:

\$250,000 to \$1,000,000.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional guidance, please reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>).

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs, Office for Victims of Crime United States
Department of Justice
Office for Victims of Crime
810 7th Street, NW, Washington, District of Columbia 20531 Phone:
800-363-0441

Website Address:

<http://ojp.gov/ovc>

RELATED PROGRAMS:

16.751 Edward Byrne Memorial Competitive Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Peer Review. See the current fiscal years program solicitation available at the Office of Justice Programs web site at <http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.827 JUSTICE REINVESTMENT INITIATIVE

JRI

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

The Justice Reinvestment Initiative employs a data-driven approach to improve public safety, reduce corrections and related criminal justice spending, and reinvest savings in strategies that can decrease crime and strengthen neighborhoods. JRI provides technical assistance and competitive financial support to states, counties, cities, and tribal authorities that are either currently engaged in justice reinvestment or are well positioned to undertake such work.

JRI technical assistance supports states justice system stakeholders and policy leaders as they devise and implement data-driven approaches to criminal justice reform. Under the JRI model, a governmental working group with bipartisan and inter-branch representation analyzes the correctional population and its costs, develops cost-effective policy options, and implements reforms to manage correctional populations while enhancing public safety. JRI jurisdictions reinvest these cost savings into high-performing initiatives that make communities safer. In addition to reducing prison populations, justice reinvestment encourages states to embrace a culture of greater collaboration, data-driven decision-making, and increased use of evidence-based practices.

States may also compete for direct funding through the Justice Reinvestment Initiative: Maximizing State Reforms program which is designed to cement or amplify the goals of states justice reinvestment reform efforts, deepening their investment in and commitment to and use of data-driven decision-making and evidence-based practices and programs. The objectives of the Maximizing State Reforms program are the following:

Increase corrections costs saved or avoided by reducing unnecessary confinement.

Increase reinvestment in evidence-based practices that reduce recidivism. Support justice reinvestment reform efforts by promoting and increasing collaboration among agencies and officials who work in criminal justice, including state and local policymakers, law enforcement, prosecution, defense, pretrial, courts, probation, treatment, corrections, reentry, and parole.

Enhance the translation of evidence into practice by supporting the use of data analysis results to inform policy decisions.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Engagement of stakeholders across branches of government, political parties, and key special interest groups such as victim advocates, prosecutors, etc. Analysis of data to identify criminal justice population and cost drivers. Development of policy framework that leads to enacted JRI legislation at the state level.

Implementation of JRI legislation through high-performing programs and strategies to reduce incarceration costs and increase public safety.

Measurement of implementation of JRI strategy and reinvestment. Other technical assistance to support evidence-based strategies to improve public safety consistent with JRI principles.

Applicant Eligibility:

Eligible applicants are units of state government and federally recognized Indian tribal governments (as determined by the Secretary of the Interior) that can demonstrate substantial completion of the Justice Reinvestment Initiative model.

Beneficiary Eligibility:

See the current fiscal years solicitation available at the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Award Procedure:

Upon approval by the Assistant Attorney General (for science offices, would be the Director of the applicable Office), successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. See the current fiscal years solicitation available at the Office of Justice Programs web site <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Appeals:

From 90 to 120 days. There are no appeal rights for rejection of a discretionary application. Please see 28 CFR Part 18.

Renewals:

From 90 to 120 days. Yes, a grant can be supplemented or granted a no-cost extension, subject to BJA policy and contingent upon available appropriation.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. For direct grants to states, if a state has measured and reinvested savings (either projected or actual costs saved or avoided) into evidence-based strategies to reduce recidivism and make communities safer (see Selection Criteria outlined in the Solicitation for specifics), this solicitation does not require a match. If such a state proposes a voluntary match amount, however, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit.

If a state has not measured and reinvested savings (see Selection Criteria outlined in the Solicitation for specifics), a match is required, and the following requirements apply:

The amount of the match must equal the amount of federal funds being sought.

Match funds are subject to the same regulations and restrictions as the federal

funds for this program.

Applicants must identify the source of the non-federal funds and how they will use the funds. If a successful applicant's proposed match exceeds the required match amount, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit.

Applicants may satisfy this match requirement with cash, in-kind services, or a combination of the two.

Match funds must be used for one or more of the states reinvestment strategies identified in the justice reinvestment process. For example, match funding can be used to expand or improve community-based treatment only if the JRI legislation or task force identified community-based treatment as a reinvestment priority. As another example, match funding could be used for skills-based trainings for probation officers if the states reinvestment strategies included more effective community supervision.

Applicants must identify the target(s) of the reinvestment match with specificity, including, if applicable, names of agencies, service providers, or staff positions to be funded; a description of the kind of service to be provided or functions to be performed; what period of time will be covered; and amounts of funding. Applicants must document these commitments by including relevant attachments to the application. Example: For a federal award amount of \$1,750,000, the required reinvestment match is \$1,750,000. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

See the current fiscal years solicitation available at the Office of Justice Programs web site <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. See the following for information on how assistance is awarded/released: Competitive award and money are released when grantee draws down funds based on an approve timeline. For specifics pertaining to this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on Period of Availability of Funds" at <https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

See 2 CFR 200 for the government-wide requirements for maintenance of records by grant recipients.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 16 \$24,224,613; FY 17 est \$25,000,000; and FY 18 est \$22,000,000

Range and Average of Financial Assistance:

See the current fiscal years solicitation available at the Office of Justice

Programs web site

<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

BJA Policy Advisor U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Phone:
202-616-6500

Website Address:

<http://www.bja.gov/jri>

RELATED PROGRAMS:

16.751 Edward Byrne Memorial Competitive Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at the Office of Justice Programs web site <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

**16.828 SWIFT, CERTAIN, AND FAIR (SCF) SANCTIONS PROGRAM:
REPLICATING THE CONCEPTS BEHIND PROJECT HOPE
SCF**

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

This program is funded under the Consolidated and Further Continuing Appropriations Act, 2016 (P.L. 114-113). And, an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

The goals of this program are to develop and enhance SCF initiatives and implement a SCF model with fidelity, resulting in reduced recidivism and better outcomes for program participants.

The objectives of this SCF program are to:

Demonstrate the use and efficacy of SCF practices and principles to improve the delivery of supervision strategies and practices.

Improve supervision strategies that will reduce recidivism.

Increase the number of supervision decisions that are fair and consistently applied, and with consequences that are transparent.

Promote and increase collaboration among agencies and officials who work in probation, parole, pretrial, law enforcement, treatment, reentry, and related community corrections fields.

Develop and implement strategies for the identification, targeting, supervision, and treatment of high-risk/high-needs offenders who are being supervised in the community.

Increase the number of participants in the program who believe that the supervision decisions are fair, consistently applied, and consequences are transparent.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Allowable uses for award funds can include the following activities to help state, local, and Tribal agencies develop or improve their SCF programs:

- * Support the Project Coordinator position.
 - * Support the a Research Partner.
 - * Overtime for:
 - Court staff to complete paperwork
 - Community corrections staff to expedite bench warrant service
 - Community corrections officers and/or law enforcement officers to apprehend absconders
 - * The development, implementation, and maintenance of a color code hotline.
 - * The development of a robust, onsite, rapid drug-screening process.
 - * Costs for onsite urine analysis testing.
 - * Costs for offsite urine analysis or hair testing.
 - * Additional BJA-approved contracted jail space, if needed.
 - * Travel costs for SCF team members to attend BJA-approved SCF trainings, project meetings, and peer-to-peer meetings (provide an estimate based on a 4-person team, spending 2 days in Washington, DC).
 - * Travel costs to attend the BJA researcher-practitioner Smart Suite Academy.
 - * Other BJA-approved SCF project costs. Unallowable Uses for Award Funds
- In addition to the unallowable costs identified in the Financial Guide, award funds may not be used for the following:
- Prizes/rewards/entertainment/trinkets (or any type of monetary incentive)
 - Client stipends
 - Gift cards
 - Vehicles
 - Food and beverage
- Costs that do not support approved project activities.

Applicant Eligibility:

See the current fiscal years solicitation available at the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Beneficiary Eligibility:

See the current fiscal years solicitation available at the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

There are no appeal rights for rejection of a discretionary application. Please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Supplemental funding may be available in future years.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The award period is for 24 months. See the following for information on how assistance is awarded/released: For specifics pertaining to this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on "Period of Availability of Funds" at <https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justices Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 16 \$3,505,434; FY 17 est \$4,000,000; and FY 18 est \$4,000,000

Range and Average of Financial Assistance:

Award amounts may be up to \$400,000.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Held on-site training and provided assistance for each FY15 BJA-funded SCF/HOPE awardee; held 1 Free trainings for subject matter experts and other jurisdictions interested in developing, enhancing, or implementing a SCF/HOPE initiative. Continued to provide assistance to non-BJA funded jurisdictions interested in the SCF/HOPE model. Fiscal Year 2017: Held on-site training and provided assistance for each FY16 BJA-funded SCF/HOPE awardees. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Heather Tubman-Carbone U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
heather.tubman-carbone@usdoj.gov Phone: 202-514-1158

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

16.812 Second Chance Act Prisoner Reentry Initiative

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.829 JUVENILE JUSTICE EDUCATION COLLABORATION ASSISTANCE

FEDERAL AGENCY:

Department of Justice

AUTHORIZATION:

Department of Justice Appropriations Act, 2014, P.L.113-76; and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

This program will support implementation of the Department of Justice/OJJDP component of a major new program undertaken with the Department of Health and Human Services/SAMHSA, and the Department of Education. This program is part of the Administrations Now Is The Time initiative to improve school climates; respond early and appropriately to student mental health and behavioral needs; use positive, alternative responses, such as diversion programs, restorative justice responses and court-led problem solving approaches to avoid referring students to law enforcement and juvenile justice; and facilitate a proactive and supportive school reentry process in those instances in which a youth is referred to the justice system.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Uses of these funds may be for one or more of the following activities: staffing, training, technical assistance, professional development, and implementation of strategies and programs designed to improve school climate, achieve positive school discipline reforms and reduce the number of student suspensions, expulsions and referrals to court for non-serious behavior. No funds may be used for construction. All funds are discretionary.

Applicant Eligibility:

Contact program office for additional information.

Beneficiary Eligibility:

Contact program office for additional information.

Credentials/Documentation:

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this

program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through ojp.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

Contact program office for renewal information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will be awarded for a 24 to 36 month project period. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Recipients are required to submit semi-annual Progress Reports and quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. No cash reports are required. Recipients are required to submit semi-annual Progress Reports and quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit semi-annual Progress Reports and quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit semi-annual Progress Reports and quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements.

Records:

See 2 C.F.R. 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0404-0-1-121.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Between \$400,000-2,000,000.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs 810 Seventh Street, NW 2136, Washington , District of Columbia 20531 Email: robin.delany-shabazz@usdoj.gov Phone: 202-307-9963

Website Address:

<http://www.ojdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.830 GIRLS IN THE JUVENILE JUSTICE SYSTEM

Delinquent Girls program, Girls in the Juvenile Justice system

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

The Juvenile Justice and Delinquency Prevention Act, Part E, 42 U.S.C. 5665-66, and an act appropriating funds for the Department of Justice.

OBJECTIVES:

To enhance knowledge regarding, build capacity to address and improve services and treatment for girls at risk of entering or already involved in the juvenile justice system.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Uses of these funds may be for one or more of the following activities: program services, staffing, training, technical assistance, professional development, and implementation of strategies and programs designed to address the unique program needs and service delivery requirements of girls at risk of entering or already involved in the juvenile justice system. All funds are discretionary.

Applicant Eligibility:

Eligible applicants are limited to states (including territories), units of local government, federally recognized tribal governments as determined by the

Secretary of the Interior, nonprofit organizations, and for-profit organizations (including tribal nonprofit and for-profit organizations), as well as institutions of higher education (including tribal institutions of higher education).

For-profit organizations (as well as other recipients) must agree to forgo any profit or management fee.

Beneficiary Eligibility:

See applicable program announcement.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS. Specific application instructions for program announcements are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 180 days.

Appeals:

There are no appeal rights for the denial of a discretionary application. For discretionary awards, see generally, 28 C.F.R. Part 18.

Renewals:

See applicable program announcement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project--see applicable program announcement. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit semi-annual Progress Reports and quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit

semi-annual Progress Reports and quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit semi-annual Progress Reports and quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be generally retained for a period of three (3) years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0404-0-4-121.

Obligations:

(Project Grants (Discretionary)) FY 16 \$1,689,366; FY 17 est \$2,000,000; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

\$400,000-\$2,000,000.

TAFS Codes:

15-FXGJ-405.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, funds supported the Reducing Reliance on Confinement and Improving Community-Based Responses for Girls at Risk in the Juvenile Justice System Initiative to strengthen and expand the use of community-based and gender and culturally responsive programs for girls and promote the development of girls and their individual strengths. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2015 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.). See program announcement and Uniform Administrative Requirements in 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention
810 Seventh Street, NW 5136, Washington, District of Columbia 20531 Phone: 202-353-9093

Website Address:

<http://www.ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.831 CHILDREN OF INCARCERATED PARENTS

Children of Incarcerated Parents Demonstration

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice.

OBJECTIVES:

This program supports the implementation and delivery of transitional/reentry services that include one-to-one, group, and peer mentoring services to justice-involved youth who are fathers and mothers. Transitional services include a broad range of activities, including providing case management, assessing risk factors and strengths that affect recidivism, substance abuse treatment, and other supporting comprehensive services to promote responsible parenting and family engagement, and implementing strategies that enhance the life skills and future of young fathers and mothers and their families. For the purpose of this program, mentoring is a structured relationship between an adult or trained peer and one or more young fathers or mothers. Applicants should demonstrate a strong partnership between the mentoring organization and the department/entity for the state/ local/tribal secure confinement facility or facilities holding the identified target population.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The target population for this initiative is medium-to-high-risk youth. Targeted young fathers or mothers must be confined (held in secure confinement facilities, such as a juvenile detention center, juvenile correctional facility, staff-secure facility, jail, or prison of a local or state juvenile or adult correctional agency) and admitted to the program prior to their 25th birthday. However, award recipients may continue to implement a reentry plan for these individuals beyond their 25th birthday. OJJDP does not have a set timeline for terminating these services; they can continue as long as is deemed therapeutically necessary on a case-by-case basis with approval from OJJDP. Yes, all funds are discretionary. A competitive process will be used to award the funds.

Applicant Eligibility:

Generally, eligible applicants are limited to states (including territories), units of local government, and federally recognized tribal governments as determined by the Secretary of the Interior. Some may require that units of local government or states have developed a countywide or statewide plan to reduce recidivism and improve outcomes for youth in contact with the juvenile justice system. These applicants must attach a completed jurisdiction-wide plan to their application. For specific eligibility information, see the program announcement.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF- 424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal

Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

varies by project.

Appeals:

Not applicable. There are no appeal rights for the denial of a discretionary application. For discretionary awards, see generally, 28 C.F.R. Part 18.

Renewals:

See program announcement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

See program announcement. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit quarterly Financial reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public law 103-62, and the GPRA Modernization Act of 2010, Public law 111-352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See Uniform Administrative Requirements in 2 C.F.R. Part 200 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be generally retained for a period of three (3) years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0404-0-1-121.

Obligations:

(Salaries) FY 16 \$2,989,296; FY 17 est \$5,000,000; and FY 18 est \$5,000,000

Range and Average of Financial Assistance:

award amounts vary according to solicitation.

TAFS Codes:

15-FXCQ-405.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, funds supported the Second Chance Act Strengthening Relationships Between Young Fathers, Young Mothers, and Their Children Initiative to reduce recidivism, promote public safety, and improve outcomes for incarcerated young parents. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of Justice Financial Guide (https://ojp.gov/financialguide/DOJ/pdfs/2015_DOJ_FinancialGuide.pdf) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.). See also program announcement and Uniform Administrative Requirements in 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW
, Washington , District of Columbia 20531 Phone: 202-307-5911

Website Address:

<http://www.ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Varies by program. Applications are judged according to their consistency with the policies as well as program priorities established by OJJDP and applicable laws.

16.832 CHILDREN OF INCARCERATED PARENTS WEB PORTAL
COIP Web Portal

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice.

OBJECTIVES:

The purpose of this project is to provide support for the development and enhancement of a publically accessible internet website that will consolidate information regarding federal resources, grant opportunities, best and promising practices, and ongoing government initiatives that address and support children of incarcerated parents and their caregivers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds can be used for developing a new or enhancing and existing web site that would accomplish the goals of the program . All funds are discretionary. A competitive process will be used to award the funds.

Applicant Eligibility:

Funds may be used for an array of activities designed to develop a new or enhance and existing web site that would accomplish the goals of the program.

Beneficiary Eligibility:

The beneficiaries of this program are youth, families, and specialized service providers who can provide services to youth, incarcerated parents, and the communities.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail

worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement or award documentation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

There are no appeal rights for the denial of a discretionary application. For discretionary awards, see generally, 28 C.F.R. Part 18.

Renewals:

From 60 to 90 days. Contact the program office for renewal information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards generally range from 12 to 36 months. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit quarterly financial reports. To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and GPRA Modernization Act of 2010, Public Law 111-352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be generally retained for a period of three (3) years from the date of submission of the final expenditure report (Federal

Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0404-0-1-121.

Obligations:

(Salaries) FY 16 \$450,972; FY 17 est \$500,000; and FY 18 est \$500,000

Range and Average of Financial Assistance:

Award amounts vary according to the appropriation amount.

TAFS Codes:

15-FXCQ.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of Justice Financial Guide (https://ojp.gov/financialguide/DOJ/pdfs/2015_DOJ_FinancialGuide.pdf) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.). See also Uniform Administrative Requirements in 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800.

Regional or Local Office:

None.

Headquarters Office:

Office of Juvenile Justice and Delinquency Prevention Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW., Washington , District of Columbia 20531 Phone:
2025145335

Website Address:

<http://www.ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: CPIP Web portal on the Youth.gov website. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are published in the individual program announcement. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy, 28 CFR Part 34.

16.833 NATIONAL SEXUAL ASSAULT KIT INITIATIVE

SAKI

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

The Sexual Assault Kit Initiative, administered by BJA, provides funding through a competitive grant program to support multi-disciplinary community response teams engaged in the comprehensive reform of jurisdictions approaches to sexual assault cases resulting from evidence found in previously un-submitted sexual assault kits (SAKs).

Un-submitted kits (those in in police custody that have never been submitted to a crime laboratory for testing with CODIS-eligible DNA methodologies) and untested kits (those that have been submitted to crime labs but are delayed for testing, e.g. as a result of a backlog of work in the laboratory) are separate and

distinct issues. The focus of this effort is on those un-submitted kits that have never been submitted to a crime laboratory.

The goal of the SAKI is the creation of a coordinated community response that ensures just resolution to these cases whenever possible through a victim-centered approach, as well as to build jurisdictions capacity to prevent the development of conditions that lead to high numbers of un-submitted SAKs in the future.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds will be provided to address the issue of un-submitted SAKs and address the underlying issues that lead to the problem occurring. See the current solicitation available at the Office of Justice Programs website <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Applicant Eligibility:

See the current solicitation available at the Office of Justice Programs website <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Beneficiary Eligibility:

U.S. Citizen.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. For specifics, please see the current fiscal years solicitation available at the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Determined by the Assistant Attorney General, OJP.

Appeals:

Determined by the Assistant Attorney General, OJP.

Renewals:

BJA may, in certain cases, provide supplemental funding in future years to awards made under this program. Important considerations in decisions regarding supplemental funding include, among other factors, the availability of funding, strategic priorities, assessment of the quality of the management of the award (for example, timeliness and quality of progress reports), and assessment of the progress of the work funded under the award.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The assistance is available for up to 36 months. There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justices Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Cooperative Agreements) FY 16 \$38,393,725; FY 17 est \$45,000,000; and FY 18 est \$45,000,000

Range and Average of Financial Assistance:

Varies.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: More than 45,000 SAKs inventoried by 32 SAKI sites (represents 26 states)
Over 12,000 SAKs sent for DNA testing
Nearly 1,000 DNA hits in CODIS
Identification/apprehension of numerous violent offenders/increased public safety. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Dr. Angela Williamson U.S. Department of Justice
Office of Justice Programs
810 7th Street, NW, Washington, District of Columbia 20531 Email: Angela.Williamson@usdoj.gov Phone: 202-307-5831

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.834 DOMESTIC TRAFFICKING VICTIM PROGRAM

Domestic Trafficking Victim Program

FEDERAL AGENCY:

Office for Victims of Crime, Department of Justice

AUTHORIZATION:

Justice for Victims of Trafficking Act of 2015 (18 U.S.C. 3014(h)(2)).

OBJECTIVES:

The overarching goal of this program is to improve outcomes for children and youth who are victims of human trafficking by integrating human trafficking policy and programming at the state or tribal level and by enhancing a coordinated, multidisciplinary, and jurisdiction-wide approach to human trafficking.

Successful applicants funded through this solicitation will engage in the following general activities in order to meet program goals and objectives:

Develop and implement a jurisdiction-wide strategy to combat the identified greatest challenge areas in child and youth trafficking within the state or tribe; this strategy must be finalized and approved or enacted within the first year of the program start date. This strategy will also include developing a systematic method to screen for victimization across entities; developing protocols and procedures to ensure child and youth victims receive appropriate services; delivering staff training to implement and sustain the strategy jurisdiction-wide; and strengthening data collection across multiple systems of care.

Develop, enhance, and coordinate programs and activities geared toward improving outcomes for child and youth victims of sex and labor trafficking. States or tribes should establish a program coordinator role to oversee program implementation and ensure coordination of anti-trafficking efforts in the state or tribal jurisdiction.

Enhance or develop protocols or policies to ensure that child and youth victims of human trafficking are not penalized for crimes committed as a result of being trafficked, including crimes related to prostitution.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Federal funds awarded under this program may not cover more than 75 percent of the total costs of the project. Applicants must identify the source of the 25-percent non-federal portion of the total project costs and how they will use match funds. If a successful applicants proposed match exceeds the required match amount, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit.

Applicant Eligibility:

please contact program office for additional information.

Beneficiary Eligibility:

please contact program office from additional information.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or <https://www.bja.gov/funding.aspx>.

The 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards: Uniform administrative requirements apply to all OJP grants. The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200.

Audit requirements are dependent upon the amount of the award and whether the grantee is a for-profit or non-profit entity.

Award Procedure:

Upon approval by the Assistant Attorney General (for science offices, would be the Director of the applicable Office), successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Deadlines are included with the application instructions, which are posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Appeals:

> 180 Days. For Formula awards, please see 28 CFR Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

The terms for these grant program budget periods range from 24 to 36 months.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants will be awarded for a 24 to 36 month project period. See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide (<http://www.ojp.gov/financialguide/DOJ/index.htm>) and Post Award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>). See the following for information on how assistance is awarded/released: Lump sum

Quarterly

By Letter of Credit.

Reports:

No program reports are required. No cash reports are required. Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Office of Justice Programs Financial Guide www.ojp.gov/financialguide/DOJ/index.htm. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. OMB Circular A-133, Audits of States, Local Governments and Non-Profit Organizations (includes revisions published in the Federal Register 06/27/2003 and 06/26/2007)

http://www.whitehouse.gov/omb/circulars_default/

Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-5606-0-1-754.

Obligations:

(Project Grants) FY 16 \$4,609,723; FY 17 est \$5,000,000; and FY 18 est \$5,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-5606.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Office of Justice Programs Financial Guide (<http://ojp.gov/financial/guide/DOJ/index.htm>) and Post award Instructions

(<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Office of Justice Programs 810 Seventh Street, NW, Washington, District of Columbia 20531 Phone: 2023537223

Website Address:

<http://www.ovc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

16.835 BODY WORN CAMERA POLICY AND IMPLEMENTATION

BWCCIP

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

Criminal justice agencies use of Body-Worn Camera (BWC) programs has shown BWCs to be a promising practice to improve law enforcement interactions with the public. These cameras are an important tool that can be an integrated part of a jurisdiction's holistic problem-solving and

community-engagement strategy, helping to increase both trust and communication between the police and the communities they serve. BWCs can be highly effective, providing an inalterable audio and visual record of interactions that can capture empirical evidence in the event of a crime, police-citizen interaction, or use-of-force incident.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Cooperative Agreements; Dissemination of Technical Information; Formula Grants; Investigation of Complaints; Project Grants; Provision of Specialized Services; Training; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Provide funding for criminal justice agencies seeking to establish new or expand existing BWC programs.

Assist agencies develop appropriate policies, procedures and practices to further successful adoption of BWCs.

Enhance police and community relations and increase transparency through BWCs.

Enhance the evident use of BWCs and promote prosecutorial, defense, and judicial policy and practices.

Provide remote and onsite training and technical assistance (TTA) to criminal justice agencies and the communities they serve in regards to the implementation of sound BWC programs.

Provide for an annual national conference and multiple regional conferences to promote training and sharing of BWC program development skills.

Maintain and enhance the Body-Worn Camera Toolkit developed by BJA, to include the development of content, tools, templates, and best practices.

Increase the technical availability of tools that facilitate redaction, release, dissemination, and security to enhance the productive use of BWCs while ensuring privacy, civil, and victims rights are protected.

Increase the availability of tools and analytics that promote officer safety, citizen safety, homeland security, and the efficiency of agency resources such as officer force multiplier effects. Federal grants and cooperative agreements require a 50% match.

Applicant Eligibility:

Eligible applicants to be funded to deploy BWC programs are limited to public agencies of state government, units of local government, and federally recognized Indian tribal governments that perform law enforcement functions (as determined by the Secretary of the Interior); or any department, agency, or instrumentality of the foregoing that performs criminal justice functions (including combinations of the preceding, one of which is designated as the primary applicant).

Additionally, training and technical assistance services require applicants be national or regional public and private entities, including for-profit (commercial) and nonprofit organizations (including tribal nonprofit or for-profit organizations), faith-based and community organizations, and institutions of higher education (including tribal institutions of higher education) that support initiatives to improve the functioning of the criminal justice system. For-profit organizations must agree to forgo any profit or management fee.

Beneficiary Eligibility:

Beneficiaries are the criminal justice agencies involved in a BWC program deployment and the communities involved.

Credentials/Documentation:

Agencies requesting federal grants or cooperative agreements must demonstrate a collaborative relationship between law enforcement and prosecution. This documentation can be formal, such as memoranda of understanding or informal, such as letters of intent. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The application of E.O. 12372 is contingent upon whether the state has designated an entity to coordinate and review proposed federal financial assistance. See list of states that require this at: https://www.whitehouse.gov/omb/grants_spoc

To learn if the state has designated an entity to coordinate and review proposed

federal financial assistance. See list of states at: https://www.whitehouse.gov/omb/grants_spoc. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by the Assistant Attorney General (for science offices, would be the Director of the applicable Office), successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 90 to 120 days. There are no appeal rights for rejection of a discretionary application. See section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Yes, a grant can be supplemented or granted a no-cost extension, subject to BJA policy.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 50%. Agencies deploying BWC programs have a 50% match requirement.
TTA services do not have a match requirement.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

New grant. Assistance is drawn down according to a timeline submitted by grantee. Period is 12 to 24 months depending on the applicant. See the following for information on how assistance is awarded/released: Competitive award and money are released when grantee draws down funds based on an approve timeline. Demonstration of effective policy is a requirement prior to full funding availability to agencies with more than 24 officers.

Reports:

No program reports are required. No cash reports are required. Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 16 \$19,811,203; FY 17 est \$22,500,000; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

BWC Policy Advisor U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

16.738 Edward Byrne Memorial Justice Assistance Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years solicitation available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>) and/or <https://www.bja.gov/funding.aspx>.

In summary:

- 1) Provide data in support of the need for body-worn cameras.
- 2) Describe the demographics of your jurisdiction.
- 3) Demonstrate a full understanding of how officer complaints and use-of-force practices can be addressed by BWCs.
- 4) Demonstrate a need to leverage DME (Digital Multimedia Evidence) to help inform adjudication of cases.
- 5) Demonstrate a partnership with associated agencies and advocacy groups necessary to effectively utilize DME and promote the program objectives.
- 6) Identify methods to develop policies and tracking mechanisms that include legal liabilities of FOIA, storage, retention, redaction, and expungement as well as privacy policies addressing BWC issues involving civil rights, domestic violence, juvenile, and other victims groups.

16.836 INDIGENT DEFENSE

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

An act appropriating funds for the Department of Justice, Public Law 114-113.

OBJECTIVES:

The objective of this program is to enhance the capacity to deliver high-quality, fair, and comprehensive legal services to youth who have come into contact

with the juvenile justice system.

To obtain a training and technical assistance provider to focus on juvenile defense, identify gaps in practice, and train on strategies. Training and technical assistance should emphasize adolescent brain and behavioral development, recent advances in neuroscience, and the impact of exposure to violence and trauma on human development and well-being. It should emphasize data-driven, evidence-based, juvenile defense delivery system improvement strategies and best practices for employing a trauma-informed and developmental approach standard in juvenile justice service delivery.

To fund regional juvenile defender resource centers (centers) serving individual juvenile defenders and helping state, tribal, and local juvenile defense systems within their service area to enhance the quality and availability of legal representation, leverage resources, and collect and analyze data to measure the effectiveness of reform activities in states. The centers should provide cost-effective, innovative, localized training for the juvenile indigent defense bar, including public defenders and court-appointed counsel working on behalf of juvenile indigent defendants, particularly in traditionally underserved locations, such as tribal systems and rural areas.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Permitted uses of funds for civil legal assistance include the following: reentry services, including referral to and payment of legal services related to the purpose of the grant, such as securing a drivers license, expunging criminal records, litigating inappropriate denials of housing or employment and violations of the Fair Credit Reporting Act, creating and/or modifying child support orders, and other family law services that help stabilize individuals and families. This excludes the payment of fines or penalties associated with a drivers license suspension or the payment of child support. Applicants should review the DOJ Financial Guide at http://ojp.gov/financialguide/DOJ/pdfs/2015_DOJ_FinancialGuide.pdf for allowable and unallowable costs.

Applicant Eligibility:

Applicants are limited to states (including territories and the District of Columbia), federally recognized tribal governments (as determined by the Secretary of the Interior), nonprofit and for-profit organizations (including tribal nonprofit and for-profit organizations) and institutions of higher education (including tribal institutions of higher education).

Beneficiary Eligibility:

The beneficiary of these funds would be juvenile delinquents and youth.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program may be eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. National training and technical assistance awards may be excluded from the E.O. 12372. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System

(GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS. Specific application instructions for program announcements are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the [Office of Justice Programs Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

varies by project.

Appeals:

There are no appeal rights for the denial of a discretionary application. For discretionary awards, see generally, 28 C.F.R. Part 18.

Renewals:

See applicable program announcement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Please review the published solicitation for specific information regarding the match.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project--see applicable program announcement. See the following for information on how assistance is awarded/released: Applications are required to be submitted in Grants.gov and awarded through the Office of Justice Programs Grants Management System.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be generally retained for a period of three (3) years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 16 \$2,152,950; FY 17 est \$2,500,000; and FY 18 est \$2,500,000

Range and Average of Financial Assistance:

varies by project.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, funding supported implementation of juvenile

indigent defense reform efforts to the recipients of the the FY 2015 Enhancing Youth Access to Justice Initiative: Category 1 State Reform Planning Grants. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The program solicitations, Department of Justice Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.). See also program announcement and Uniform Administrative Requirements in 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20531 Phone:
202-514-4817

Website Address:

<http://www.ojdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Varies by program. Applications are judged according to their consistency with the policies and program priorities established by OJJDP and applicable laws.

16.837 BUILDING COMMUNITY TRUST

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended, Title I; 42 U.S.C. 50 et seq.; Crime Control Act of 1990, Public Law 101-647; and, an act appropriating funds for the Department of Justice in the current fiscal year., Public Law 101-647, 1 U.S.C 42.

OBJECTIVES:

The purpose of the Building Community Trust program is to assist communities in using research and statistics to implement; and measure the impact of; strategies to build community trust in the justice system. Some projects under this program focus on Body Worn Camera programs deployed by law enforcement agencies.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants; Project Grants (Contracts); Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Building Community Trust program focuses on data-driven strategies to address the relationship between the justice system and the community. The emphasis is on using, and building on, research in this area and on collecting statistics to measure the impact of project strategies.

Applicant Eligibility:

State and local governments, public and private organizations, and Indian Tribal government.

Beneficiary Eligibility:

State and local governments, public and private organizations, Indian Tribal government, for-profit organizations.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard

Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application procedure and time lines will be contained in the program solicitation. See the current fiscal years solicitation available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>) and/or <https://www.bja.gov/funding.aspx>. Applications must be submitted on-line at the Office of Justice Programs, Grant Management System: <https://grants.ojp.usdoj.gov/>.

Award Procedure:

OJP reviews applications and quotes for completeness, accuracy, and compliance with all program requirements. For grants and cooperative agreements, upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies for competitive applications and proposals.

Appeals:

There are no appeal rights for rejection of a discretionary application. See section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Contingent on available funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies. Up to 60 months. For specifics pertaining to this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on Period of Availability of Funds" at <https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>. Method of awarding/releasing assistance: quarterly.

Reports:

In some cases, evaluation reports may be required. No cash reports are required. For grants, progress reports are due semi-annually. Unless otherwise specified in the awards special conditions, financial reports are due quarterly. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No prior year program information available.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

BJA Program Policy Advisor U.S. Department of Justice
Office of Justice Programs
810 7th Street, NW
, Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For competitive grants and contracts, criteria for selecting proposals are contained within the individual program solicitations. See the current fiscal years solicitation available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>).

16.838 COMPREHENSIVE OPIOID ABUSE SITE-BASED PROGRAM

COAP

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Comprehensive Addiction Recovery Act of 2016 (CARA) (Pub. L. 114-669). The Harold Rogers Prescription Drug Monitoring Program was created by the FY 2002 U.S. Department of Justice Appropriations Act (Public Law 107-77).

OBJECTIVES:

The goals of the Comprehensive Opioid Abuse Site-based Program are two-fold. First, the program aims to reduce opioid misuse and the number of overdose fatalities. Second, the program supports the implementation, enhancement, and proactive use of Prescription Drug Monitoring Programs to support clinical decision-making and prevent the misuse and diversion of controlled substances.

To address these goals, the Comprehensive Opioid Abuse Site-Based Program, administered by BJA, provides funding through a competitive grant program to encourage and support comprehensive cross-system planning and collaboration among officials who work in law enforcement, pretrial services, the courts, probation and parole, child welfare, reentry, PDMPs, emergency medical services and healthcare providers, public health partners, and agencies who provide substance misuse treatment and recovery support services.

Funding is available to develop and implement strategies to identify and provide treatment and recovery support services to high frequency utilizers of multiple systems (e.g. health care, child welfare, criminal justice, etc.) who have a history of opioid misuse; expand diversion and alternative to incarceration programs; expand the availability of treatment and recovery support services in rural or tribal communities by expanding the use of technology-assisted treatment and recovery support services; implement and enhance prescription drug monitoring programs; and develop multi-disciplinary projects that leverage key data sets (e.g. de-identified PDMP data, naloxone administrations, fatal and non-fatal overdose data, drug arrests, etc.) to create a holistic view of the environment and develop interventions based on this information.

The Comprehensive Opioid Abuse Training and Technical Assistance (TTA) component of the program provides training and technical assistance to state and local criminal justice and substance abuse treatment agencies, prescription drug monitoring programs (PDMPs), and their partner agencies in sites selected through the Comprehensive Opioid Abuse Site-based solicitation.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Funding is for site-based awards and for training and technical assistance (TTA).

Applicant Eligibility:

For TTA - eligible applicants are limited to for-profit (commercial) organizations, nonprofit organizations (including tribal nonprofit and for-profit organizations), and institutions of higher education (including tribal institutions of higher education) that have experience delivering training and technical assistance nationwide. For-profit organizations must agree to forgo any profit or management fee. Applicants are encouraged to submit an application that demonstrates a thorough understanding of the diverse training and technical assistance needs of communities and states attempting to plan and implement comprehensive strategies in response to the growing opioid epidemic.

Beneficiary Eligibility:

Program aims to plan and implement comprehensive strategies in response to the growing national opioid epidemic.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs within 45 days of award date. For further information, please see <https://ojp.gov/financialguide/DOJ/PreawardRequirements/index.htm>.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

There are no appeal rights for rejection of a discretionary application. See section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

BJA may, in certain cases, provide supplemental funding in future years to awards made under this program. Important considerations in decisions regarding supplemental funding include, among other factors, the availability of funding, strategic priorities, assessment of the quality of the management of the award (for example, timeliness and quality of progress reports), and assessment of the progress of the work funded under the award.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award periods for Office of Justice programs generally range from 12 to 36 months. For specifics pertaining to this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on Period of Availability of Funds" at <https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justices Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit <https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0404-0-1-754.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$13,000,000; and FY 18 est \$20,000,000

Range and Average of Financial Assistance:

For specifics, please see the current fiscal years solicitation available at the Office of Justice Programs web site

<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not Applicable. Fiscal Year 2017: The intended goals of these project are to:

Encourage and support comprehensive cross-system planning and collaboration among officials who work in law enforcement, pretrial services, the courts, probation and parole, child welfare, reentry, PDMPs, emergency medical services and health care providers, recovery support services.

Develop and implement strategies to identify and provide treatment and recovery support services to high frequency utilizers of multiple systems (e.g., health care, child welfare, criminal justice, etc.) who have a history of opioid misuse.

Expand diversion and alternatives to incarceration programs.

Expand the availability of treatment and recovery support services in rural or tribal communities by expanding the use to technology-assisted treatment and recovery support services.

Implement and enhance prescription drug monitoring programs.

Develop multi-disciplinary projects that leverage key data sets (e.g., de-identified PDMP data, naloxone administrations, fatal and non-fatal overdose data, drug arrests, etc.) to create a holistic view of the environment and develop interventions based on this information.

Objectively assess and/or evaluate the impact of innovative and evidence-based strategies to engage and serve justice-involved individuals with a history of opioid misuse. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Tara Kunkel U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866-859-2647

Website Address:

<http://www.OJP.gov>

RELATED PROGRAMS:

16.585 Drug Court Discretionary Grant Program; 16.738 Edward Byrne Memorial Justice Assistance Grant Program; 16.754 Harold Rogers Prescription Drug Monitoring Program; 16.812 Second Chance Act Prisoner Reentry Initiative; 16.825 Smart Prosecution Initiative

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years program solicitation available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>).

16.888 CONSOLIDATED AND TECHNICAL ASSISTANCE GRANT PROGRAM TO ADDRESS CHILDREN AND YOUTH EXPERIENCING DOMESTIC AND SEXUAL VIOLENCE AND ENGAGE MEN AND BOYS AS ALLIES

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Consolidated Appropriations Act, 2017, Public Law 115-31.

OBJECTIVES:

The Consolidated and Technical Assistance Grant Program to Address Children and Youth Experiencing Domestic and Sexual Violence and Engage Men and Boys as Allies, hereafter referred to as the Consolidated Youth Program, supports activities that were previously funded under the following four OVW grant programs: Children Exposed to Violence Program (CEV); Services to Advocate for and Respond to Youth Program (Youth Services); Services, Training, Education and Policies to Reduce Sexual Assault, Domestic Violence, Dating Violence, and Stalking in Secondary Schools Grant Program (STEP); and Engaging Men in Preventing Sexual Assault, Domestic Violence, Dating Violence, and Stalking Program (Engaging Men).

The Consolidated Youth Program creates a unique opportunity for communities to increase collaboration among non-profit victim services, violence prevention and children and youth-serving organizations, organizations and programs that support men's role in combating violence against women and girls, schools, and tribal and local government agencies. This program has two primary purposes and supports projects that implement one or both of these areas. First, the program supports comprehensive child- and youth-centered intervention and prevention programs that maximize community based efforts and evidence-informed practices to more fully address domestic violence, dating violence, sexual assault and stalking. Comprehensive programs include victim services to youth and children exposed to violence; training to professionals to improve interventions and responses; coordinated school based strategies; supportive services to non-offending parents; and coordinated community responses to violence targeting children and youth. Second, the program supports multi-faceted prevention strategies that involve community organizing, outreach, public education and mobilization that utilize men as influencers of other men and boys and encourages them to work as allies with women and girls to prevent domestic violence, dating violence, sexual assault and stalking.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Consolidated Youth Program combines and replaces four discretionary grant programs. The program is designed to provide services to advocate for and respond to youth victims of domestic violence, dating violence, sexual assault, and stalking; assistance to children and youth exposed to such violence; programs to encourage men and youth in preventing such violence; and assistance to middle and high school students through educating and other services related to such violence.

Applicant Eligibility:

Applicants for grant funding are limited to:

Nonprofit, nongovernmental entities with the demonstrated primary goal of providing services to children or youth exposed to or victims of domestic violence, dating violence, sexual assault, or stalking;

Non-profit, nongovernmental entities with demonstrated histories of providing comprehensive services to children or youth exposed to or victims of domestic violence, dating violence, sexual assault, or stalking;

Nonprofit, nongovernmental entities with demonstrated histories of creating effective public education and/or community organizing campaigns to encourage men and boys to work as allies with women and girls to prevent sexual assault, domestic violence, dating violence, and stalking;

Indian Tribes or tribal nonprofit organizations that provides services to tribal children and youth exposed to or are of domestic violence, dating violence, sexual assault, or stalking; or

Territorial, Tribal or unit of local government entities.

Beneficiary Eligibility:

Other nonprofit, nongovernmental organizations including community and faith-based organizations.

Credentials/Documentation:

Specific criteria for the program are provided in an annual solicitation available at www.justice.gov/OVW. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Grants will be made for up to 100 percent of the costs of programs or projects contained in approved applications. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing the costs of their project. Supplemental contributions may be cash, in-kind services, or a combination of both.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants under this program will be for a period of three years. Funds are released on an as-needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants) FY 16 \$8,379,218; FY 17 est \$8,525,945; and FY 18 est \$8,500,000

Range and Average of Financial Assistance:

\$340,000-\$750,000.

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St. NE, Suite 10W121, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual solicitation.

16.889 GRANTS FOR OUTREACH AND SERVICES TO UNDERSERVED POPULATIONS

Underserved Program

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005, Public Law 109-162, 119 Stat. 2990, 42 U.S.C 14045.

OBJECTIVES:

To develop and implement outreach strategies targeted at adult or youth victims of domestic violence, dating violence, sexual assault, or stalking in underserved populations and to provide victim services to meet the needs of adult or youth victims of domestic violence, dating violence, sexual assault, or stalking in underserved populations.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants can be used for the following program purpose areas:

- (1) working with Federal, State, tribal, territorial and local governments, agencies, and organizations to develop or enhance population specific services;
- (2) strengthening the capacity of underserved populations to provide population specific services;
- (3) strengthening the capacity of traditional victim service providers to provide population specific services;
- (4) strengthening the effectiveness of criminal and civil justice interventions by providing training for law enforcement, prosecutors, judges and other court personnel on domestic violence, dating violence, sexual assault, or stalking in underserved populations; or
- (5) working in cooperation with an underserved population to develop and implement outreach, education, prevention, and intervention strategies that highlight available resources and the specific issues faced by victims of domestic violence, dating violence, sexual assault, or stalking from underserved

populations.

Applicant Eligibility:

Eligible applicants are:

- (1) population specific organizations that have demonstrated experience and expertise in providing population specific services in the relevant underserved communities, or population specific organizations working in partnership with a victim service provider or domestic violence or sexual assault coalition;
- (2) victim service providers offering population specific services for a specific underserved population; or
- (3) victim service providers working in partnership with a national, State, tribal, or local organization that has demonstrated experience and expertise in providing population specific services in the relevant underserved population.

Beneficiary Eligibility:

Adult and youth victims of domestic violence, dating violence, sexual assault, and stalking in underserved populations.

Credentials/Documentation:

Non-profit organizations must be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code. All such applicants are required to submit a determination letter from the Internal Revenue Service recognizing their tax-exempt status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal dollars by contributing to the cost of the project. Supplemental contributions may be cash, in-kind services, or a combination of both. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Information regarding length of awards will be included in an annual solicitation for the program. Funds are released on an as-needed basis to the grantee. See the following for information on how assistance is awarded/released: Periodic drawdowns should be based upon immediate disbursement/reimbursement needs and project progress.

Reports:

Reports are required as stipulated in the program regulations and the effective

edition of the DOJ Financial Grants Management Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants) FY 16 \$4,099,859; FY 17 est \$4,550,000; and FY 18 est \$3,400,000

Range and Average of Financial Assistance:

Funding will be available for up to \$325,000.

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

None.

Headquarters Office:

Tia Farmer 145 N. St. NE, Suite 10W121, Washington, District of Columbia 20530 Email: tia.farmer@usdoj.gov Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.016 Culturally and Linguistically Specific Services Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual solicitation.

DEPARTMENT OF LABOR

17.002 LABOR FORCE STATISTICS

FEDERAL AGENCY:

Bureau of Labor Statistics, Department of Labor

AUTHORIZATION:

Public Laws 58-57, 62-426, 71-537, 82-203, 93-203, 93-567, 94-206, 94-311, and 94-369; 29 U.S.C. 1, 2, 2b, 5, 8, 882, 1601.

OBJECTIVES:

To provide, analyze, and publish statistical data on payroll employment and the civilian labor force, employment and unemployment, persons not in the labor force, labor demand and turnover, wages, hours, earnings, occupational employment, time use, and employment projections. Data are for the nation, states, metropolitan areas, and counties. Data can be monthly, quarterly, or annual.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS
USES AND USE RESTRICTIONS:

Funds in the form of cooperative agreements are available to state agencies designated by the Governor, usually State Workforce Agencies (SWA), for staffing, travel, equipment, expenses, and indirect costs of statistical business process operations such as sample refinement, data collection, non-response follow-up, data review and editing, estimation, data analysis, and data dissemination.

Applicant Eligibility:

SWAs designated under Section 4 of the Wagner-Peyser Act (as amended by the Workforce Investment Act and subsequently the Workforce Innovation and Opportunity Act) are eligible to apply for cooperative agreement funding to operate the Current Employment Statistics (CES), Local Area Unemployment Statistics (LAUS), Quarterly Census of Employment and Wages (QCEW), and Occupational Employment Statistics (OES) programs in the states. BLS may select an alternative applicant if a SWA declines to apply for cooperative agreement funding or otherwise substantially fails to meet BLS application and performance requirements. Requests for technical information may be made by the general public.

Beneficiary Eligibility:

General public may request information from the SWAs and the Bureau of Labor Statistics.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Draft and final applications for cooperative agreement funding are submitted to the appropriate regional office of the Bureau of Labor Statistics according to a schedule of key dates. Requests for information may be made to the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Award Procedure:

Upon submission of an acceptable application and after negotiation of specific program deliverables and costs, the Bureau of Labor Statistics awards cooperative agreement funding to the SWA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The typical process would include guidelines and application materials being mailed to SWA in early summer, with initial drafts required by mid-summer, and final applications due by late summer. Cooperative agreements are executed and become effective October 1.

Appeals:

Not Applicable.

Renewals:

Each fiscal year the Bureau of Labor Statistics enters into new cooperative agreements with appropriate SWAs.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreement funding generally is for a single fiscal year. Method of awarding/releasing assistance: quarterly.

Reports:

LMI Cooperative Agreement reporting requirements are based on the SWAs Cost Accounting System (CAS) reports or their Financial Accounting and Reporting System (FARS) equivalents. CAS report 65, or FARS Report 11, is submitted monthly. CAS reports 61, and 94B, or FARS Report 12A and 17, are submitted quarterly. SWAs choosing not to use the CAS- or FARS- generated reports must submit the LMI Cooperative Statistics Financial Report in lieu of CAS reports 61, 65 and 94B or FARS reports 11, 12A and 17. This report is submitted monthly for data which would appear on CAS report 65 or FARS report 11, and quarterly for CAS reports 61 and 94B, or FARS reports 12A and 17. Federal Financial Reports are required and submitted on a quarterly basis. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recordkeeping is in accordance with 2 CFR 200.

Account Identification:

16-0200-0-1-505.

Obligations:

(Salaries) FY 16 \$262,746,958; FY 17 est \$267,705,000; and FY 18 Estimate Not Available - (Grants) FY 16 \$70,701,000; FY 17 est 72,928,000; and FY 18 est Not Available.

Range and Average of Financial Assistance:

The size of each cooperative agreement reflects the staff and non-personal resources required to operate the programs in a state. The range of awards in fiscal year 2016 was from \$61,000 (Guam) to \$7,208,000 (California). The average was \$1,309,000.

TAFS Codes:

12-1223.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Employment and Earnings;" "Monthly Labor Review;" "Occupational Employment and Wages;" "BLS Handbook of Methods;" "Publications of the Bureau of Labor Statistics;" "Handbook of Labor Statistics;" "Unemployment in States and Local Areas;" "Geographic Profile of Employment and Unemployment;" and "Employment and Wages." Monthly Press Releases: "The Employment Situation." "Charting the Labor Market." "Metropolitan Area Employment and Unemployment;" and "Regional and State Employment and Unemployment." "Job Openings and Labor Turnover," and Real Earnings." Quarterly Press Releases: "County Employment and Wages," "Usual Weekly Earnings," and "Business Employment Dynamics." Cooperative agreement application instructions, including all applicable regulations, are available from Bureau of Labor Statistics regional offices free of charge.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Headquarters Office:

Michael Horrigan 2 Massachusetts Avenue, N.E., Washington, District of Columbia 20212 Phone: (202) 691-6400.

Website Address:

<https://www.bls.gov>

RELATED PROGRAMS:

17.004 Productivity and Technology Data; 17.005 Compensation and Working Conditions

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.003 PRICES AND COST OF LIVING DATA

FEDERAL AGENCY:

Bureau of Labor Statistics, Department of Labor

AUTHORIZATION:

Public Laws 62-426, 71-537, and 94-206, 29 U.S.C. 1-2.

OBJECTIVES:

To provide, analyze, and publish statistical data for assisting in the evaluation of consumer, producer, export and import prices and price changes, and consumer expenditures.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Provides for Consumer Price Indexes (CPI) which measure the average change in prices of goods and services consumed by all urban households as well as urban wage earners and clerical workers. As the basis for most estimates of changes in the purchasing power of the consumer's dollar, the CPI is a Principal Federal Economic Indicator and has widespread use in escalation adjustments in both the private and public sectors. Provides for Producer Price Indexes (PPI) which measure the average change in selling prices that domestic producers of goods, services, and construction receive for their output and which are widely cited within price escalation clauses of long term sales contracts to protect both parties against inflation. Provides for Export and Import Price Indexes which measure the average change in import and export prices, which are used to adjust international trade data and to measure the effect these price changes have on the competitiveness of the U.S. economy. Provides for Consumer Expenditure Surveys (CE), which supply periodic updates to the market basket and the weights used to calculate the CPI. In addition, the CE supplies information on consumers' spending and income by characteristics such as income, age, race, family size, and region.

Applicant Eligibility:

Request for information may be made by the general public.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Requests for information and assistance may be made to the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

16-0200-0-1-505.

Obligations:

(Salaries) FY 16 \$213,317,666; FY 17 est \$210,357,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

12-1223.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Consumer Price Index"; "Producer Price Index"; "Export Price Index"; "Import Price Index"; "Consumer Expenditure Survey," "Monthly Labor Review"; "BLS Handbook of Methods," BLS Bulletin 2490; "Major Programs of the Bureau of Labor Statistics"; "Publications of the Bureau of Labor Statistics."

Regional or Local Office:

See Regional Agency Offices. Contact the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Headquarters Office:

David M. Friedman 2 Massachusetts Avenue, N.E., Washington, District of Columbia 20212 Phone: (202) 691-6960

Website Address:

<https://www.bls.gov>

RELATED PROGRAMS:

10.950 Agricultural Statistics Reports; 17.005 Compensation and Working Conditions

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.004 PRODUCTIVITY AND TECHNOLOGY DATA

FEDERAL AGENCY:

Bureau of Labor Statistics, Department of Labor

AUTHORIZATION:

Public Laws 54-249, 62-426, 71-537, 76-77, and 94- 206, 29 U.S.C. 1, 29 U.S.C. 2b.

OBJECTIVES:

To provide, analyze, and publish data on productivity trends in the U.S.

economy, as well as in major sectors and individual industries, and examine the factors underlying productivity change.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Provides for: (a) Labor Productivity (output per hour worked), unit labor costs and related measures for the business, nonfarm business and nonfinancial corporate sectors, for manufacturing sectors, and for industries; (b) Multifactor productivity indexes (output per combined inputs of capital and labor) for the private business and private nonfarm business sectors; and (c) Multifactor productivity measures (output per combined inputs of capital, labor, energy, materials, and purchased business services) for manufacturing and nonmanufacturing industries.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Not applicable.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Not applicable.

Account Identification:

16-0200-0-1-505.

Obligations:

(Salaries) FY 16 \$10,749,905; FY 17 est \$10,974,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

12-1223.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"BLS Handbook of Methods"; "Major Programs Bureau of Labor Statistics"; "BLS Publications on Productivity and Technology"; "Estimating Capital Inputs for Productivity Measurement: An Overview of Concepts and Methods"; "The Impact of Research and Development on Productivity Growth"; "Trends in Multifactor Productivity, 1948-81"; "Labor Composition and U.S. Productivity Growth, 1948-90"; "Monthly Labor Review."

Regional or Local Office:

See Regional Agency Offices. Contact the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Headquarters Office:

Lucy P. Eldridge 2 Massachusetts Avenue, N.E., Washington, District of Columbia 20212 Phone: 202-691-5600

Website Address:

<https://www.bls.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.005 COMPENSATION AND WORKING CONDITIONS

FEDERAL AGENCY:

Bureau of Labor Statistics, Department of Labor

AUTHORIZATION:

Public Laws 62-426, 71-537, 91-596 and 94-206, 29 U.S.C. 1-2; 29 U.S.C. 2b; 29 U.S.C. 4; 5 U.S.C. 5305; 29 U.S.C. 651; 29 U.S.C. 673.

OBJECTIVES:

To provide, analyze, and publish a diverse set of measures of employee compensation, including cost, wages, and benefits and work-related injuries, illnesses, and fatalities, compile work stoppages statistics, and conduct research to improve the measurement process.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program provides the following information: (A) level and trend data on employee wages and compensation; (B) studies of employee benefits plans; (C) national and state data on occupational injuries, illness and fatalities; and (D) information on work stoppages. It also makes available funds in the form of cooperative agreements to state agencies or designated local governments for collection of data on occupational injuries, illnesses, and fatalities. The Occupational Safety and Health Statistics program authorizes funds on a matching basis to states or local governments to assist them in developing and administering programs.

Applicant Eligibility:

State agencies or designated local governments are eligible to apply for cooperative agreement funding to share costs in operating statistical programs dealing with occupational safety and health statistics. Request for copies of published studies and reports may be made by the general public.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for information may be made to the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the catalog. Applications for cooperative agreement funding are submitted to the appropriate regional office of the Bureau of Labor Statistics according to a schedule of key dates.

Award Procedure:

Upon submission of an acceptable application and after negotiation of specific program deliverables and costs, the Bureau of Labor Statistics awards cooperative agreement funding to the state or local government.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The typical process would include guidelines and application materials being mailed to state or local government in early summer, with initial drafts required by mid-summer, and final applications due by late summer. Cooperative agreements are executed and become effective October 1.

Appeals:

Not Applicable.

Renewals:

Each fiscal year the Bureau of Labor Statistics enters into new cooperative agreements with appropriate state or local governments.

Formula and Matching Requirements:

Statutory Formula: Public Law 91-596, Section 24.

Matching Requirements: Percent: 50%. The Federal share for each state's or local government's statistical program may be up to 50 percent of the state's or local government's total cost for the Occupational Safety and Health Statistical program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cooperative agreement funding generally is for a single fiscal year. Method of awarding/releasing assistance: quarterly.

Reports:

Cooperative agreements with state or local government specify quarterly financial reports and periodic program reports. Federal Financial Reports are required and submitted on a quarterly basis. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audit requirements are in accordance with 2 CFR 200.

Records:

Recordkeeping is in accordance with 2 CFR 200).

Account Identification:

16-0200-0-1-505.

Obligations:

(Salaries) FY 16 \$85,682,556; FY 17 est \$84,344,000; and FY 18 Estimate Not

Available - (Grants) FY 16 \$6,676,000; FY 17 est \$6,932,000; and FY 18 est Not Available.

Range and Average of Financial Assistance:

The size of each cooperative agreement reflects the staff and nonpersonal resources required to operate the program. The range of awards in fiscal year 2016 was from \$10,000 (Idaho) to \$740,000 (California). The average was \$117,000.

TAFS Codes:

12-1223.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

NCS national news releases on Employee Benefits in the U.S., the Employment Costs Index, and Employer Costs for Employee Compensation; Employment Costs Index data; NCS publications on benefits at the national level; monthly releases on Major Work Stoppages and an annual summary; OSHA news releases on occupational injuries, illnesses and fatalities; OSHA publications on injuries, illnesses, and fatalities at the national and state level; other information about these programs can be found in "Major Programs of the Bureau of Labor Statistics," "Bureau of Labor Statistics Customer Service Guide," and "BLS Handbook of Methods."

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Headquarters Office:

Kristen Monaco 2 Massachusetts Avenue, N.E., Washington, District of Columbia 20212 Phone: 202-691-7527

Website Address:

<https://www.bls.gov>

RELATED PROGRAMS:

17.002 Labor Force Statistics; 17.003 Prices and Cost of Living Data

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Acceptable application and specific program deliverables and cost.

17.150 EMPLOYEE BENEFITS SECURITY ADMINISTRATION (EBSA)

FEDERAL AGENCY:

Employee Benefits Security Administration, Department of Labor

AUTHORIZATION:

Employee Retirement Income Security Act of 1974, Public Law 93-406.

OBJECTIVES:

The Employee Benefits Security Administration (EBSA) is responsible for the administration and enforcement of the civil and criminal provisions of Title I of the Employee Retirement Income Security Act of 1974 (ERISA) as well as related provisions of other Federal laws (e.g., Title 18 USC federal criminal statutes). EBSA is also responsible for assuring compliance with the fiduciary responsibility provisions of the Thrift Savings Plan under the Federal Employees' Retirement System Act of 1986 (FERSA).

The Employee Benefits Security Administration (EBSA) is committed to educating and assisting the 149 million workers, retirees and their families covered by approximately 685,000 private retirement plans, 2.2 million health plans, and similar numbers of other welfare benefit plans holding approximately \$9.3 trillion in assets; as well as plan sponsors and members of the employee benefits community. EBSA balances proactive enforcement with compliance assistance and works diligently to provide quality assistance to plan participants and beneficiaries. It is the policy of EBSA to provide the highest quality of service to its customers.

The mission of the Employee Benefits Security Administration is to assure the

security of the retirement, health and other workplace related benefits of America's workers and their families. We will accomplish this mission by developing effective regulations; assisting and educating workers, plan sponsors, fiduciaries and service providers; and vigorously enforcing the law.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

EBSA's compliance assistance program provides information to assist plan participants including, beneficiaries, sponsors, service providers and other interested parties in understanding and complying with the requirements of ERISA as it applies to the administration of employee retirement, health and other welfare benefit plans.

This enables participants to better understand and exercise their rights under the law and, when possible, to recover any benefits to which they may be entitled. This also allows plan administrators and practitioners the opportunity to better understand and comply with the law.

Applicant Eligibility:

Plan administrators, trustees, participants, beneficiaries of employee benefit plans, or others involved in plan administration may obtain assistance in complying with the Act. Interested persons may obtain certain plan documents which are required to be filed by plan administrators.

Beneficiary Eligibility:

Plan officials, trustees, participants, beneficiaries of employee benefit plans, or others involved in plan administration.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Contact the nearest field office of the Employee Benefits Security Administration listed in Appendix IV of the Catalog, EBSA's web site at www.dol.gov/ebsa or EBSA's hotline at (1 866) 444-EBSA (3272). (Participants and beneficiaries should first contact their plan administrator for plan information.)

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

16-1700-0-1-601.

Obligations:

(Salaries) FY 16 \$181,000; FY 17 est \$183,000; and FY 18 est \$183,296 - EBSA received an additional \$2,000,000 in fiscal year 2017 from the Employment and Training Administration (ETA) State Unemployment Insurance and Employment Service Operations (SUIESO) Unemployment Insurance (UI) State Administration appropriation to allow EBSA to conduct and support the major case initiative, provide support to the Office of the Solicitor in litigation of extraordinary case work, and enhance oversight of the Federal Retirement Thrift Investment Board.

Range and Average of Financial Assistance:

Data not available.

TAFS Codes:

16-1700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, EBSA utilized an aggressive outreach, education, and participant assistance program to inform the public of their rights and benefits as well as to educate the regulated community regarding their responsibilities and obligations under the law. EBSA programs utilized a number of different approaches to ensuring employee benefits were protected, including national/regional enforcement priorities and projects, voluntary compliance programs, civil/criminal litigation, and research-based analysis to achieve program results. In addition, the economic research program continued to study economic issues affecting all employee benefits programs. EBSA's approach to promoting benefits security in FY 2016 was developed and implemented in response to public concerns, the Agency's experience with civil and criminal investigations, participant inquiries, research, and trends and vulnerabilities identified in the private sector. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Copies of the final regulations, proposed regulations, and other directives are available from the national office or on EBSA's website at www.dol.gov/ebsa. Certain audio-visual material also are available for hearing-impaired audiences. EBSA publishes a variety of free publications directed to employers and participants which can be obtained from its website and its publication hotline at (1 866) 444-EBSA (3272).

Regional or Local Office:

See Regional Agency Offices. Persons desiring assistance should contact the nearest Department of Labor, Employee Benefits Security Administration field office listed in Appendix IV of the Catalog.

Headquarters Office:

Benefits Advisors Employee Benefits Security Administration, Department of Labor, 200 Constitution Avenue, NW., Room N5623, Washington, District of Columbia 20210 Phone: 1-866-444-3272

Website Address:

<https://www.dol.gov/agencies/ebsa>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.201 REGISTERED APPRENTICESHIP

Fitzgerald Act

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

National Apprenticeship Act of 1937 (Fitzgerald Act);

Unless otherwise stipulated, recipients are subject to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule on December 26, 2013 and found at 2 CFR Part 200 along with the OMB approved exceptions for DOL at 2 CFR Part 2900 published on December 19, 2014 in the Federal Register., Title 29, Part 29, Public Law 075-75-308, 29 U.S.C 1-4.

OBJECTIVES:

To promote, engage, and assist industry in the development, expansion, and improvement of Registered Apprenticeship. This program is designed to: provide skilled workers required by U.S. employers, ensure equal employment opportunities, and ensure the quality of all new and existing Registered Apprenticeship programs.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Project Grants (for specified projects); Training

USES AND USE RESTRICTIONS:

The Registered Apprenticeship system has been utilized to meet the needs of America's skilled workforce for more than 75 years. It is a unique, flexible training system that combines job-related-technical instruction with structured on-the-job learning experiences. Registered Apprenticeship is a leader in preparing American workers to compete in a global 21st Century economy because the system keeps pace with advancing technologies and innovations in training and human resource development. Under the Office of Apprenticeship (OA), apprenticeship training programs and apprentices are registered in 25 States. OA also provides technical assistance and works closely with State Apprenticeship Agencies (SAAs) in the remaining 25 States, the District of Columbia, Guam, and two territories that register programs and apprentices in accordance with Federal standards. OA provides information on existing and recommended standards of training in Registered Apprenticeship. The wage rates of apprentices in registered programs (Federal and State) are exempt from the prevailing wage requirements of the Davis-Bacon Act and the Service Contract Act.

Registered programs are driven directly by the demands of the labor market. The training is industry-recognized, voluntarily sponsored by an individual business or an employer association, and may be partnered with a labor organization through a collective bargaining agreement. OA brings these entities together, as appropriate, to formulate Registered Apprenticeship training programs which meet the federal standards. There are no grant funds available to employers for construction of training facilities, curricula development, and apprentices' subsidies. Apprentices start working from day one with incremental wage increases as they become more proficient on the job. Apprenticeships range from one to six years

The "Earn and Learn" training model of Registered Apprenticeship provides an assigned mentor for the on-the-job learning. The related instruction, technical training, or other certified training is provided by training centers, technical schools, community colleges and/or institutions employing distance and computer-based learning approaches. The goal is to provide workers with advanced skill sets that meet the specific needs of employers. Upon completion of a Registered Apprenticeship program, participants receive an industry issued, nationally-recognized credential that certifies occupational proficiency, is portable, and can provide a pathway to the middle class. In many cases, these programs provide apprentices with the opportunity to simultaneously obtain secondary and post-secondary degrees. Registered Apprenticeship is highly active in traditional industries such as construction and manufacturing but it is also instrumental in training and development in high-growth industries such as advanced manufacturing, energy, health care, homeland security, information technology, telecommunications, and more. The Registered Apprenticeship system provides the opportunity for workers seeking high-skilled, high-paying jobs and for employers seeking to build a quality workforce. In this regard, the Registered Apprenticeship system meets the needs of both workers and employers.

Applicant Eligibility:

Employers, unions, and other workforce intermediaries can be eligible to start and maintain Registered Apprenticeship programs. The vast majority of programs are not funded by Federal grant funds. The OA and SAAs provide technical assistance.

OA also administers two grant programs, as follows:

I. Women in Apprenticeship and Non-Traditional Occupations (WANTO) grants fund innovative projects to community-based organizations (CBOs) that are designed to improve women's participation in Registered Apprenticeship programs for nontraditional occupations in a broad range of industries. WANTO grants were awarded for Program Year (PY) 2015 and PY 2016 to three CBOs to establish regional/multi-state Technical Resource Centers to assist Registered Apprenticeship program sponsors to improve the recruitment, hiring, training, employment, and retention of women in apprenticeships. PY 2013 and PY 2014 funds were similarly awarded to three CBOs for the same purposes. Future funding was not requested for PY 2017. These grants are jointly administered by the Department of Labor's Women's Bureau and the Employment and Training Administration. See http://www.doleta.gov/grants/grants_awarded.cfm.

II. ApprenticeshipUSA State Accelerator Grants/State Expansion Grants Registered Apprenticeship has made available \$60 million in grants to help state governments integrate apprenticeship into their education and workforce systems; engage industry and other partners at scale to expand apprenticeship to new sectors and new populations; support state capacity to conduct outreach and work with employers to start new programs; provide support to promote greater inclusion and diversity in apprenticeship, and implement state innovations, incentives and system reforms. By investing in state strategies for growing Registered Apprenticeship opportunities, these funds will help strengthen the foundation for the rapid and sustained expansion of quality apprenticeship nationwide. These grants are covered under CFDA 17.285 .

Beneficiary Eligibility:

Registered Apprenticeship program sponsors identify the minimum qualifications to apply into their apprenticeship programs. Individuals applying for acceptance into an apprenticeship program must be at least 16 years old. To be an apprentice in a hazardous occupation, the individual must usually be 18 years. Program sponsors may also identify additional minimum qualifications and credentials to apply, e.g., education, ability to physically perform the essential functions of the occupation, ability to complete the related technical instruction, proof of age.

Along with the completed application form, each prospective apprentice may be required by the program sponsor to submit a transcript of school subjects and grades, proof of age, an honorable military discharge (if applicable), and a high-school diploma or equivalence certificate (if applicable). References from all previous employers may be required.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For Program Registration: Prospective program sponsors must meet with an OA or SAA field representative, as appropriate, for technical assistance to develop a set of apprenticeship training program standards. These include the age and educational background of apprentices, and a schedule of the work processes and related technical instruction subjects to be covered during the training program. The program will be registered if it meets Federal requirements covering (a) the apprenticeability of the occupation(s) and (b) the suitability of the training standards for providing apprentices with sufficient knowledge to become skilled workers.

For Grants: Funding Opportunity Announcements (FOAs) are published in the Federal Register and announced on the Employment and Training Administration's (ETA's) Internet website at <http://www.doleta.gov>. Projects are awarded on a competitive basis. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The FOA provides all of the necessary information for applying for federal assistance.

For Grants: Funding Opportunity Announcements (FOAs) are published in the Federal Register and announced on the Employment and Training Administration's (ETA's) Internet website at <http://www.doleta.gov>. Projects are awarded on a competitive basis. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The FOA provides all of the necessary information for applying for federal assistance.

Award Procedure:

Not applicable for advisory services and counseling type of assistance. Procedure for award is specified in the application request. Generally, the procedure is as follows: A technical review panel will carefully evaluate applications against the selection criteria. These criteria are based on the policy goals, priorities, and emphases set forth in the Funding Opportunity Announcement (FOA) for grant awards. The ranked scores (which may include the mathematical normalization of review panels) will serve as the primary basis for selection of applications for funding, in conjunction with other factors such as geographic balance, the availability of funds, and which proposals are most advantageous to the government. Award Notices are posted on the Employment and Training Administration (ETA) Grants Awarded Page at http://www.doleta.gov/grants/grants_awarded.cfm. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

For grants, from 45 to 90 days.

Appeals:

OA refusal to register programs and OA de-registration of existing programs may be appealed to the OA Administrator as provided in Title 29 CFR Part 29.

For grants, procedures for each project are specified in the applicable FOA.

Renewals:

Reinstatement of program registration is set out in Title 29 CFR Part 29.9.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For grants, procedures for each project are specified in the FOA. See the following for information on how assistance is awarded/released: applicable FOA.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress Reports: The program sponsor reports new apprentice registrations, suspensions, cancellations, completions, and program status to the OA or SAA field representative. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

For program sponsors: Apprenticeship selection records showing compliance with the nondiscrimination requirements set out in Title 29 CFR Part 30 are required to be kept for 5 years. The program sponsor reports new apprentice registrations, suspensions, cancellations, completions, and program status to the OA or SAA field representative. Program sponsors must record and maintain all records concerning apprenticeship as may be required by OA or recognized SAA and other applicable law as provided in Title 29 CFR Part 29.

Account Identification:

16-0174-0-1-504 - Project Grants; 16-0172-0-1-504 - Federal Salaries and Expenses.

Obligations:

(Salaries) FY 16 \$34,000,000; FY 17 est \$34,000,000; and FY 18 est \$34,000,000. (Project Grants) FY 16 \$2,000,000; FY 17 est \$0; and FY 18 est \$0 - Women in Apprenticeship and Non-traditional Occupations (WANTO).

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

16-0172; 16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Budget Performance Information is available at: <https://www.dol.gov/general/aboutdol#budget>. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Further information concerning the Registered Apprenticeship Program may be found in the following regulations:

Title 29 CFR 29: "Apprenticeship Programs, Labor Standards for the Registration, Amendment of Regulations," at <http://www.doleta.gov/oa/pdf/FinalRule29CFRPart29.pdf>

Title 29 CFR Part 30: "Equal Employment Opportunity in Apprenticeship and Training" at <http://www.gpo.gov/fdsys/pkg/CFR-2010-title29-vol11/pdf/CFR-2010-title29-vol11-part30.pdf>

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Regional or Local individuals are encouraged to communicate with the Regional Directors of the Office of Apprenticeship (OA), as appropriate. The Regional Directors are the following: Region I (Connecticut, Maine, Massachusetts, New Hampshire, New Jersey, New York, Puerto Rico, Rhode Island, Vermont, and Virgin Islands), Ms. Jill A. Houser, Boston Regional Director, USDOL/ETA/OA, JFK Federal Building, 15 New Sudbury Street, Room E 370, Boston, MA 02203, Telephone (617) 788-0177, FAX (617) 788-0304, E-mail: houser.jill@dol.gov; Region II (Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, and West Virginia), Mr. Thomas J. Bydlon, Philadelphia Regional Director, USDOL/ETA/OA, 170 S. Independence Mall West, Suite 820-East, Philadelphia, PA 19106, Telephone (215) 861-4830, FAX (215) 861-4833, E-mail: bydlon.thomas@dol.gov; Region III (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, and Tennessee), Mr. Garfield G. Garner, Jr., Atlanta Regional Director, USDOL/ETA/OA, 61 Forsyth Street, SW, Room 6T71, Atlanta, GA 30303, Telephone (404) 302-5478, FAX (404) 302-5479, E-mail: garner.garfield@dol.gov; Region IV (Arkansas, Colorado, Louisiana, Montana, New Mexico, North Dakota, Oklahoma, South Dakota, Texas, Utah, and Wyoming), Mr. Steven D. Opitz, Dallas Regional Director, USDOL/ETA/OA, Federal Building, 525 S. Griffin Street, Room 317-L, Dallas, TX 75202, Telephone (972) 850-4681, FAX (972) 850-4688, E-Mail: opitz.steve@dol.gov; Region V (Illinois, Indiana, Iowa, Kansas, Michigan, Minnesota, Missouri, Nebraska, Ohio, and Wisconsin), Mr. Dean Guido, Chicago Regional Director, USDOL/ETA/OA, Federal Building, 230 South Dearborn Street, Room 656, Chicago, IL 60604, Telephone (312) 596-5500, FAX (312) 596-5501, E-Mail: guido.dean@dol.gov; Region VI (Alaska, Arizona, California, Guam, Hawaii, Idaho, Nevada, Oregon, and Washington), Patricia Garcia, San Francisco Regional Director, USDOL/ETA/OA, 90 Seventh St., Suite 17-100, San Francisco, CA

94103, Telephone (415) 625-2232, FAX (415) 625-2235, E-Mail: Garcia.partricia@dol.gov.

Headquarters Office:

Alexander Jordan 200 Constitution Ave., NW, C-5311, Washington, District of Columbia 20210, Washington, District of Columbia 20210 Email: jordan.alexander@dol.gov Phone: 202-693-3748 Fax: 202-693-3799

Website Address:

<http://www.doleta.gov/oa>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.278 WIA Dislocated Worker Formula Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Procedure for award is specified in the application request. Generally, the procedure is as follows: A technical review panel will carefully evaluate applications against the selection criteria. These criteria are based on the policy goals, priorities, and emphases set forth in the FOA. The ranked scores (which may include the mathematical normalization of review panels) will serve as the primary basis for selection of applications for funding, in conjunction with other factors such as geographic balance, the availability of funds, and which proposals are most advantageous to the government. Award Notices are posted on the Employment and Training Administration (ETA) Grants Awarded Page at http://www.doleta.gov/grants/grants_awarded.cfm. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

17.207 EMPLOYMENT SERVICE/WAGNER-PEYSER FUNDED ACTIVITIES

Wagner-Peyser Act of 1933.

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014.

, Public Law 113-128.

OBJECTIVES:

The Employment Service (ES) program brings together individuals looking for employment and employers looking for job seekers. It does this by providing a variety of services, which are available to all individuals. The program provides Job seekers with career services, including labor exchange services, job search assistance, workforce information, referrals to employment, and other assistance. Employers can use the ES to post job orders and obtain qualified applicants.

TYPES OF ASSISTANCE:

FORMULA GRANTS; Project Grants

USES AND USE RESTRICTIONS:**FORMULA GRANTS:**

Wagner-Peyser Employment Services Grants: The Wagner-Peyser Act of 1933 established a nationwide system of public employment offices collectively known as the Employment Service (ES). The Workforce Innovation and Opportunity Act (WIOA), amended Wagner-Peyser, making the Employment Service part of the American Job Center (AJC) Network, also known as the One-Stop Career Center delivery system. AJCs provide an integrated array of high-quality services which allow workers, job seekers, and businesses to access services under one roof, with many services also offered through self-service electronic access, both at the Centers and remotely on the Internet. These services provide universal access to all including persons with barriers to employment.

For Wagner-Peyser Employment Services Grants to States, funds must be used for career services, including labor exchange activities and the provision of labor market information. Training is not an allowable activity. Services

available include assessments of career interests, career guidance when appropriate, job search workshops, and referral to jobs or training as appropriate. Services offered to employers in addition to referral of job seekers to job openings, include matching job requirements with applicants' experience, skills and other attributes; helping with special recruitment needs; assisting employers with hard-to-fill job orders; assisting with job restructuring; and helping employers assist laid-off workers. Under WIOA, the ES has increased its reemployment services to Unemployment Insurance (UI) claimants.

Under Wagner-Peyser Act Section 7(b), 10 percent of the total sums allotted to each state workforce agency (SWA) are reserved for use by the Governor to provide performance incentives, services for individuals with special needs, and for the extra costs of exemplary models for enhancing state workforce staff with professional development and career advancement opportunities.

Labor Market Information Grants to States: These fund the provision of state and local workforce information to job seekers, employers, and educators, job trainers, economic developers and others. Such information includes job opportunities, employment projections, workforce trends, career guidance, wage rates, and other data and information.

The amended Wagner-Peyser Act under WIOA has enhanced collaboration among employment and training programs by States mandating co-location of ES offices with the one-stop offices. WIOA aligns the service delivery, planning and performance data within the one-stop delivery system. For Wagner-Peyser Employment Services Grants to states, the funds must be used for career services, including labor exchange activities, labor market, for workforce information purposes. Training is not an allowable activity.

Applicant Eligibility:

For Wagner-Peyser Employment Service grants and Labor Market Information grants, eligible applicants include all 50 States, the District of Columbia, Virgin Islands, Puerto Rico, and Guam.

Beneficiary Eligibility:

The system affords universal access to all job seekers. In addition, services are available to employers seeking to hire workers. Priority of service is given to veterans and other covered persons. These individuals receive priority referral to jobs, as well as specialized employment services and assistance.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. FORMULA GRANTS: Under WIOA, Wagner-Peyser is a "core" program, in which the Governor submits a single Unified State Plan or Combined State Plan in accordance with the respective Planning Guidance, issued through the Federal Register. The Planning Guidance provides detailed instruction on what must be included in the State Plan. The Unified Planning Guidance provides a framework for collaboration across programs and integration of services, including WIOA Title I programs and Wagner-Peyser Activities, and WIOA Titles II and IV programs overseen by the Department of Education. The Combined Plan includes these programs as well as other non-DOL programs. The plan is submitted to the Federal Coordinator for Plan Review and Approval (currently Heather Fleck), Division of WIOA Adult Services and Workforce System, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4209, Washington, DC 20210.

Award Procedure:

Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation or, as in the case of LMI grants, based on an administrative formula. The State Plans include services to persons with barriers to employment, including persons with disabilities.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. As provided in regulations.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title The Wagner-Peyser Act , Chapter Section 6 (b)(4), Public Law 29 U.S.C. 493.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Formula funds are provided at the beginning of a program year and are available for expenditure during that program year and the succeeding two program years. Method of awarding/releasing assistance: by letter of credit.

Reports:

For formula grants, quarterly performance reporting is required in addition to quarterly financial, activities and outcomes reports. No cash reports are required. No progress reports are required. For formula grants, quarterly financial reports are required. Monitoring is conducted by Regional Federal Project Officers based on quarterly reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Standard records for audits are required.

Account Identification:

16-0179-0-1-999.

Obligations:

(Formula Grants) FY 16 \$710,000,000; FY 17 est \$698,000,000; and FY 18 est \$445,000,000. (Project Grants) FY 16 \$23,000,000; FY 17 est \$35,000,000; and FY 18 est \$35,000,000

Range and Average of Financial Assistance:

Wagner-Peyser Formula Grants vary by year. Funding is published annually in the Federal Register.

TAFS Codes:

16-----0179--.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal data available at: <https://www.dol.gov/general/budget/index-2016>. Fiscal Year 2017: Fiscal data available at: <https://www.doleta.gov/budget/17bud.cfm>
Program data not yet available. When program results are available, they are posted at: https://www.doleta.gov/performance/results/wagner-peyser_act.cfm. Fiscal Year 2018: Fiscal data available at: <https://www.doleta.gov/budget/18bud.cfm>
Program data not yet available. When program results are available, they are

posted at:

https://www.doleta.gov/performance/results/wagner-peyser_act.cfm.

REGULATIONS, GUIDELINES, AND LITERATURE:

WIOA Final Rule, 20 CFR Part 652 - Establishment and Functioning of State Employment Service, Subpart B - Services for Veterans; Part 680 - Adult and Dislocated Worker Activities under Title I of the Workforce Innovation and Opportunity Act; Subpart A - Delivery of Adult and Dislocated Worker Services through the One Stop Service Delivery System.

Regional or Local Office:

None.

Headquarters Office:

Robert Kight 200 Constitution Ave., NW, Room S-4203, Washington, District of Columbia 20210 Email: kight.robert@dol.gov Phone: 202-693-3937

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

17.225 Unemployment Insurance; 17.258 WIA Adult Program; 17.801 Disabled Veterans' Outreach Program (DVOP); 17.804 Local Veterans' Employment Representative Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.225 UNEMPLOYMENT INSURANCE

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Social Security Act (Titles III, IX, XI, XII) 42 USC 501-504; 1101-1110; 1320b-7; 1321-1324, 42 U.S.C 501-504; Federal Unemployment Tax Act, 26 U.S.C 3301 et seq.; Unemployment Compensation for Federal Civilian Employees, 5 U.S.C 8501 et seq.; Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C 5177; Unemployment Compensation for Ex-Servicemembers, 5 U.S.C 8521 et seq.; Federal-State Extended Unemployment Compensation Act, Title II, Section 201 et seq., Public Law 91-373, 26 U.S.C 3304(a)(1) note; The Workforce Innovation and Opportunity Act (WIOA) (Pub. L. 113-128) was passed on July 22, 2014. It supersedes titles I and II of the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. The law went into effect on July 1, 2015. Also, unless otherwise stipulated, recipients are subject to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule on December 26, 2013 and found at 2 CFR Part 200 along with the OMB approved exceptions for DOL at 2 CFR Part 2900 published on December 19, 2014 in the Federal Register., Public Law 113-128.

OBJECTIVES:

To oversee unemployment insurance programs for eligible workers through federal and state cooperation, including unemployment compensation for federal employees or ex-service members, Disaster Unemployment Assistance, and to assist in the oversight of Trade Adjustment Assistance and Alternative Trade Adjustment Assistance, and Reemployment Trade Adjustment Assistance programs.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE; FORMULA GRANTS

USES AND USE RESTRICTIONS:

The states have the direct responsibility for establishing and operating their own unemployment insurance programs, while the federal government finances the cost of administration. State unemployment insurance tax collections are used solely for the payment of benefits. Federal unemployment insurance tax collections are used to finance expenses deemed necessary for proper and efficient administration of the state unemployment insurance laws; to reimburse state funds for one-half the costs of extended benefits paid under the provisions of state laws which conform to the provisions of the Social Security Act and the

Federal Unemployment Tax Act; and to make repayable advances to states when needed to pay benefit costs. Funds used for benefit payments may not be used for any program administration costs nor for training, job search, and job relocation payments. Disaster Unemployment Assistance (DUA) is paid out of funds provided by the Federal Emergency Management Agency (FEMA). Benefits for former Federal civilian employees, including postal workers, and former members of the Armed Forces are paid out of the Federal Employees Compensation Account (FECA) in the Unemployment Trust Fund, subject to reimbursement by the former employing agency. Trade Adjustment Assistance payments are made by states for workers adversely affected by trade imports or shifts in production.

Applicant Eligibility:

State workforce agencies, including those in the District of Columbia, Puerto Rico and Virgin Islands.

The Workforce Innovation and Opportunity Act (WIOA) (Pub. L. 113-128) was passed on July 22, 2014. It supersedes titles I and II of the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. The law went into effect on July 1, 2015. Also, unless otherwise stipulated, recipients are subject to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule on December 26, 2013 and found at 2 CFR Part 200 along with the OMB approved exceptions for DOL at 2 CFR Part 2900 published on December 19, 2014 in the Federal Register.

Beneficiary Eligibility:

All workers whose wages are subject to state unemployment insurance laws, federal civilian employees, ex-service members, and workers whose unemployment is caused by a presidentially declared disaster under the Robert T. Stafford Disaster Relief and Emergency Assistance Act, are eligible if they are involuntarily unemployed, able to work, available for work, meet the eligibility and qualifying requirements of the state law, and are free from disqualifications. Some states provide Short Time Compensation or Self Employment Assistance for eligible individuals. Workers who became unemployed or underemployed because of the adverse effect of increased imports or because of shifts in production outside the U.S. may be eligible for Trade Readjustment Allowance, Alternative Trade Adjustment Assistance or Reemployment Adjustment Assistance and other types of Trade Adjustment Assistance (see program 57.001). Individual state information on eligibility requirements is available from local American Job Centers or at <http://www.servicelocator.org/>.

Credentials/Documentation:

Awards of grants to states are conditioned on the Secretary of Labor's determination that the states' unemployment compensation laws are in conformity with the Social Security Act and the Federal Unemployment Tax Act and that state practices substantially comply with these laws. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible applicants are furnished applications (State Quality Service Plan) by the Employment and Training Administration for this program. States submit the plans to apply for grants to the appropriate regional office of the Employment and Training Administration based on target performance levels pursuant to nationally-developed workload estimates. All plans are to be constructed in terms of selected workloads to be undertaken and the resources and numbers of employees needed to accomplish them.

Award Procedure:

The Regional Administrator for Employment and Training issues a preliminary approval for a state within the dollar target provided by the ETA national office, based on the state plan submitted.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. At the national office level 30 to 60 days. Approximately 45 days at the regional office level.

Appeals:

Contact federal agency. A hearing is required if state law is not certified to be in conformity.

Funding is based on workload formula.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual grants for base operation of programs are based on workload projections pursuant to economic assumptions and nationally developed workload estimates. Additional quarterly funding is based on actual workloads. Financing of administrative costs is accomplished through letter of credit draw downs needed to meet immediate cash requirements. Method of awarding/releasing assistance: by letter of credit.

Reports:

Weekly, monthly, quarterly and yearly statistical reports of unemployment insurance workloads are required. Cash reports include the following transactions: Federal cash receipts/disbursements; Federal expenditures and un-obligated balance; recipient share of obligations and expenditures; program income. Additional expenditure data required includes other federal funds expended and real property proceeds expended. The comprehensive performance management system requires that a State Quality Service Plan (SQSP) be submitted as part of the application. Where performance is below the established minimum criteria, a corrective action plan (CAP) is required as part of the SQSP. The CAP identifies state activities and planning targets for performance improvement activities. Separate quarterly financial status reports are required for grants used for administration of each type of program. Data and progress towards performance targets are reviewed by the regional and national office staff. The SQSP and CAPs are reviewed quarterly by regional office staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. State workforce agencies are subject to audits by the Department of Labor or other authorized government agencies.

Records:

State laws and requirements are to be in conformity with Federal statutes. States are expected to report any legislative and regulatory changes to the Federal agency. National office staff provides guidance on the time frame for data submission and corrections to data reports. The state determines the time period to maintain tax records; however, the IRS recommends 4 years after the date tax is due or is paid, whichever is later. The recommendation for performance records is 3 years.

Account Identification:

16-0326-0-1-999 - Benefits; 16-0179-0-1-999 - Grants; 16-8042-0-7-999 - Benefits; 16-0168-0-1-603 - Grants and Benefits.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$32,676,000,000; FY 17 est \$33,148,000,000; and FY 18 est \$33,365,000,000. (Formula Grants) FY 16 \$2,730,000,000; FY 17 est \$2,805,000,000; and FY 18 est \$2,698,000,000

Range and Average of Financial Assistance:

For FY 2015, the minimum grant award for state administration was \$1,585,278

and

the maximum award was \$376,955,755. The average award for FY 2015 was \$52,239,778.

FY 2016, estimate for the minimum award is \$1.7 million and the estimated maximum award is \$380 million. The estimated average award is \$52 million.

FY 2017, the estimated minimum award is \$1.7 million and the estimated maximum award is \$368 million. The estimated average award is \$51 million.

TAFS Codes:

16-0326; 16-0179; 16-0168; 16-8042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: States collected \$41.5 billion in state unemployment taxes and paid \$32 billion in federal and state UI benefits to 6.3 million beneficiaries. \$2.7 billion in administrative dollars was provided to 53 states and territories to support program operations in FY 2017. Fiscal Year 2017: States are expected to collect \$41.4 billion in state unemployment taxes and pay an estimated \$32.8 billion in federal and state UI benefits to 6.4 million beneficiaries. \$2.6 billion in administrative dollars is anticipated to be provided to 53 states and territories to support program operations in FY 2017. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: 20 CFR Part 601, 602, 603, 604, 606, 609, 614, 615, 616, 617, 625, 640, 650.

Literature: "Unemployment Insurance For Ex-Service Members;" "Unemployment Insurance For Federal Workers;" "Significant Provisions of State Unemployment Insurance Laws;" "Comparison of State Unemployment Insurance Laws;" fact sheets, and other program information are available on the Internet at <http://www.workforcesecurity.doleta.gov/unemploy/laws.asp#overview>.

Regional or Local Office:

None. Employment and Training Administration regional offices listed in Appendix IV of the Catalog.

Headquarters Office:

Gay Gilbert Frances Perkins Building - 200 Constitution Avenue NW, Room S4524, Washington, District of Columbia 20210 Email: gilbert.gay@dol.gov Phone: 202-693-3029 Fax: 202-693-3229

Website Address:

<http://ows.doleta.gov/unemploy/>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.245 Trade Adjustment Assistance; 57.001 Social Insurance for Railroad Workers; 97.034 Disaster Unemployment Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.235 SENIOR COMMUNITY SERVICE EMPLOYMENT PROGRAM (SCSEP)

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Older Americans Act of 2016

Unless otherwise stipulated, recipients are subject to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule on December 26, 2013 and found at 2 CFR Part 200 along with the OMB approved exceptions for DOL at 2 CFR Part 2900 published on December 19, 2014 in the Federal Register.

, Title V, Public Law 114-144, 120 Stat. 2522, 42 U.S.C 3001; Older Americans Act of 1965.

OBJECTIVES:

The purpose of the Senior Community Service Employment Program (SCSEP) is to foster economic self-sufficiency, provide career skills training, and promote useful part-time employment through community service assignments. SCSEP is a job-skills training program that helps unemployed, low-income seniors (ages 55 and over) re-enter the workforce. Participants gain work experience at host agencies on a part-time basis.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Organizations that receive grants use the funds to create and pay for part-time community service training positions for unemployed persons age 55 and above whose income is at or below 125 percent of the poverty level. The individuals who are enrolled may be trained in work assignments at local 501(c)(3) non-profit or government agencies. A portion of the funds may be used to provide participants with classroom training and supportive services. Participants may not train in projects involving political activities, sectarian activities, nor may participants displace any employed worker or perform work which impairs existing contracts for service. Each local project is required to coordinate its activities with local Workforce Investment Act projects and One-Stop Career Centers and the State Office of the Aging. The amount of Federal funds expended for enrollee wages and fringe benefits shall be no less than 75 percent of the grant (20 CFR Section 641.873) except in those instances in which a grantee has requested, and DOL has approved such request, to use not less than 65 percent of the grant funds to pay for participant wage and fringe benefits so as to use up to an additional 10 percent of grant funds for participant training and supportive services (42 USC 3056(c)(6)(C)(i)).

The amount of Federal funds expended for the costs of administration during the program year shall be no more than 13.5 percent of the grant (20 CFR Section 641.867(a)). A waiver of this requirement to increase administrative expenditures to 15 percent may be granted by the Secretary of Labor (20 CFR Section 641.867(b)).

Grantees are required to negotiate share in infrastructure cost with required local partners in accordance with the Workforce Innovation and Opportunity Act (Final Rule 20 CFR 679.370(k)). The Secretary of Labor may reserve up to 1.5 percent of annual appropriation for pilots, demonstration, and evaluation activities and related technical assistance.

Applicant Eligibility:

The following types of organizations are eligible to receive grants: (1) states and U.S. territories; (2) national public and private non-profit institutions/organizations, other than political parties but including faith-based and community organizations; and (3) tribal organizations.

Unless otherwise stipulated, recipients are subject to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule on December 26, 2013 and found at 2 CFR Part 200 along with the OMB approved exceptions for DOL at 2 CFR Part 2900 published on December 19, 2014 in the Federal Register.

Beneficiary Eligibility:

Persons 55 years or older whose family is low-income (income does not exceed the low-income standards defined in 20 CFR Section 641.507) are eligible for enrollment (20 CFR Section 641.500). Low-income means an income of the family which, during the preceding 6 months on an annualized basis or the actual income during the preceding 12 months (whichever method is more favorable to the individual) is not more than 125 percent of the poverty levels established and periodically updated by the U.S. Department of Health and Human Services (42 USC 3056(a)(1)). The poverty guidelines are issued each year in the Federal Register and the Department of Health and Human Services maintains the poverty guidelines at <http://www.aspe.hhs.gov/poverty/index.shtml>. Enrollee eligibility is redetermined on an annual basis (20 CFR Section 641.505).

Credentials/Documentation:

Applicants must meet the eligibility test under Section 514(c) and the responsibility test under Section 514(d) of the Older Americans Act. 2 CFR

200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. National nonprofit organizations are required under Section 502(d) of the Older Americans Act to submit an application to the state agency, in addition to the Department of Labor. The Office of Workforce Investment in the Employment and Training Administration will provide information concerning proper application, format, and content and furnish standard application forms. Governors may comment on SCSEP grant proposals prior to award and may make recommendations to the Secretary of Labor to improve the distribution of SCSEP services.

Award Procedure:

Awards are made directly to eligible applicants by the Employment and Training Administration of the Department of Labor.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. The approval range for formula grants is approximately 30 to 60 days.

Appeals:

From 1 to 15 days. Appeals may be filed according to the regulations at 20 CFR 641.900.

Renewals:

Grantees must meet the eligibility and responsibility test each year in order to be funded. In addition, grantees must meet negotiated performance measures described in Section 513(b) of OAA-2016, in administrative guidance, and in the Final Rule on SCSEP Performance Accountability published September 1, 2010.

Formula and Matching Requirements:

Statutory Formula: Title Older Americans Act 2016 Amendments, Chapter 20 CFR 641.100 of the 2010 Final Rule, Public Law P.L. 114-144.

Matching Requirements: The grantee must contribute matching, in cash or in-kind, of not less than 10 percent of the total cost of the project, except that the Federal Government may pay all costs of any project that is

- a. an emergency or disaster project; or
- b. a project located in an economically depressed area as determined by the Secretary of Labor in consultation with the Secretary of Commerce and the Director of the Office of Community Services of the Department of Health and Human Services;
- c. a project which is exempt by law (42 USC 3056(c)).

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funding is provided annually. The program year runs from July 1 to June 30. The Secretary has the authority to extend the period of performance under specified conditions. Method of awarding/releasing assistance: by letter of credit.

Reports:

The Annual Equitable Distribution Report and the Four Year Strategic State Plan (for state grantees only), to be reviewed and updated no less frequently than every two years. Quarterly Financial Status Report (ETA 9130). The Quarterly Progress Report (QPR). QPRs are generated by the SCSEP Performance and Results QPR (SPARQ) system located within the Department of Labor based on participant data entered by grantees into the SPARQ on-line system. Quarterly Financial Status Report (ETA 9130). Unless otherwise stipulated, permitted applicant organizational costs will be determined in accordance with the Uniform Guidance and DOL Exceptions. All SCSEP

grantees are required to enter data in the SCSEP Performance and Results QPR (SPARQ) online system to generate the Quarterly Progress Reports (QPRs). Federal Project Officers conduct quarterly desk reviews and on-site reviews as needed. Performance is evaluated annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Normally to be retained by the grant or contract recipient for a three-year period following the completion of the grant or contract. Grantees are required to submit participant data via high-speed Internet access to a DOL-sponsored data collection system (SPARQ).

Account Identification:

16-0175-0-1-504.

Obligations:

(Formula Grants) FY 16 \$95,000,000; FY 17 est \$87,000,000; and FY 18 est \$0 - State Programs. (Formula Grants) FY 16 \$222,000,000; FY 17 est \$480,000,000; and FY 18 est \$5,000,000 - National Programs.

Range and Average of Financial Assistance:

For Program Year 2013, grants ranged from \$318,604 to \$82.8M.
For Program Year 2014, grants ranged from \$324,965 to \$84.2M.

TAFS Codes:

16-0175.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR 641; Training and Employment Guidance Letters, Training and Employment Notices, previously issued Older Worker Bulletins that are still in effect and other SCSEP directives.

Regional or Local Office:

See Regional Agency Offices. Region 1, Suzanne Pouliot, Telephone: (617) 788-0180; Region 2, Chantal Watler, Telephone: (215) 861-5224; Region 3, Arthur Brooks, Telephone: (404) 302-5350; Region 4, Kajuna Donahue, Telephone: (972) 850-4613; Region 5, Gary Lewis, Telephone: (312) 596-5522; Region 6, John Jacobs, Telephone: (415) 625-7940.

Headquarters Office:

LaMia Chapman 200 Constitution Ave., NW
Rm. C-4510
, Washington, District of Columbia 20210 Email: Chapman.Lamia@dol.gov
Phone: 202-693-3356 Fax: 202-693-3015

Website Address:

<http://www.doleta.gov/seniors/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Department annually renews grant agreements. The Department will have an open competition every four to five years to select national grantees. National grants were competed in 2006, 2012, 2016 and the next competition opportunity, as specified in the authorizing legislation, will be no sooner than 2020. When such a competition occurs, a notice will be published in the Federal Register.

17.245 TRADE ADJUSTMENT ASSISTANCE

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

The Trade Adjustment Assistance Reauthorization Act of 2015 (TAARA 2015), title IV of the Trade Preferences Extension Act of 2015 (Public Law 114-27), was signed into law by President Barack Obama on June 29, 2015.

Unless otherwise stipulated, recipients are subject to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule on December 26, 2013 and found at 2 CFR Part 200 along with the OMB approved exceptions for DOL at 2 CFR Part 2900 published on December 19, 2014 in the Federal Register., Public Law 114-27.

OBJECTIVES:

The Trade Adjustment Assistance (TAA) for Workers Program is a federal entitlement program that assists workers impacted by foreign trade. Through the provision of a number of employment-related benefits and services, the TAA Program provides trade-affected workers with opportunities to obtain the support, resources, skills, and credentials they need to return to the workforce in a good job in an in-demand industry. The program services include training, employment and case management services, job search allowances, relocation allowances, wage supplements for workers aged 50 and older, and Trade Readjustment Allowances (TRA).

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

State Workforce Agencies (SWAs) serve as agents of the United States and administer the worker adjustment assistance benefit provisions of the Trade Act. SWAs, through their American Job Centers (AJC), formerly known as One-Stop Career Centers or by a different name, and other local offices, provide assessments, employment counseling, and job placement services; job search and relocation assistance; and training.

The weekly subsistence payments and wage supplements of TRA and A/RTAA are administered under the Unemployment Insurance program (see CFDA 17.225). State unemployment compensation and extended benefits must be exhausted before TRA is paid to eligible claimants. Trade impacted workers are eligible to receive job search and relocation allowances in addition to the costs of training. They may be paid subsistence and transportation allowances to attend approved training outside the normal commuting distance of a worker's regular place of residence.

Applicant Eligibility:

a. Applicant Eligibility (081):

Currently, ETA administers three separate TAA programs (2002 Program, 2009 Program, and 2011/2015 Program) and six distinct participant cohorts resulting from the enactment of the Trade Adjustment Assistance Reform Act of 2002 (TAARA); Trade and Globalization Adjustment Assistance Act of 2009 (TGAAA); the Trade Adjustment Assistance Extension Act of 2011 (TAAEA); and the Trade Adjustment Assistance Reauthorization Act of 2015 (TAARA 2015), which restores group eligibility criteria and program benefits to the TAAEA level. The relevant laws governing each cohort, marked by certification numbers and effective dates, are provided in the list below, along with the guidance documents that CSAs must continue to apply.

Law Amending the Trade Act of 1974: TARRA (2002 Amendments)
Guidance: TEGL No. 11-02 and Changes 1, 2, and 3; TEGL No. 2-03, and Change 1; 20 CFR parts 617 and 618, and 29 CFR part 90.
Effective Dates of Amendments: Applies to petitions filed between November 4, 2002 and May 18, 2009

Law Amending the Trade Act of 1974: TGAAA (2009 Amendments)
Guidance: TEGL No. 22-08 and Change 1; Omnibus Trade Act; and 20 CFR parts 617 and 618, and 29 CFR part 90.
Effective Dates of Amendments: Applies to petitions filed between May 18, 2009 and February 14, 2011

Law Amending the Trade Act of 1974: TAARA (2002 Amendments under

TGAAA sunset provisions) -or- TAAEA (2011 Amendments)
Guidance: These workers are subject to either (I) or (IV), as described in
TEGL No. 10-11, and its Changes 1 and 2
Effective Dates of Amendments: Applies to petitions filed between February
12, 2011 and October 20, 2011

Law Amending the Trade Act of 1974: TAAEA (2011 Amendments)
Guidance: TEGL No. 10-11, and Changes 1, and 2; and 20 CFR parts 617 and
618 and 29 CFR part 90.
Effective Dates of Amendments: Applies to petitions filed between October
21, 2011 and December 31, 2013

Law Amending the Trade Act of 1974: Reversion 2014 (2002 Amendments
under TAAEA sunset provisions)
Guidance: TEGL No. 7-13; and 20 CFR parts 617 and 618, and 29 CFR part
90.
Effective Dates of Amendments: Applies to petitions filed between January 1,
2014 and June 29, 2015

Law Amending the Trade Act of 1974: TAARA 2015 (2015 Amendments)
Guidance: (restore group eligibility and program benefits to the 2011
Amendment level, retroactive from January 1, 2014. Enacted on June 29, 2015).
TEGL No. 5-15 and Change 1; TEN 25-15; and 20 CFR parts 617 and 618, and
29 CFR part 90.
Effective Dates of Amendments: Applies to petitions filed on or after June 29,
2015

The TAARA 2015 maintains the expansions to the program made by the
TAAEA, which were not operational under the TAAEA sunset provisions (the
Reversion 2014 Program), and provide TAA coverage to more workers and
firms, including workers and firms in the service sector; made benefits available
to workers whose jobs have been off-shored to any country (as opposed to
covering a more limited set of shifts in production), improved workers training
options, and increased the affordability of health insurance coverage (the Health
Coverage Tax Credit). TAARA 2015 maintains the TAAEA's expansion in the
scope of the program to better assist adversely affected workers in finding new
employment. It reauthorizes funding for employment and case management
services, and encourages the type of long-term training necessary for jobs in the
21st century economy through an expansion of income support, and access to
training for adversely affected incumbent workers.

b. Applicant Eligibility (081)

Under TARRA 2015, the more expansive TAAEA Group Eligibility
requirements, including petition investigation criteria, apply to petitions filed on
or after June 29, 2015, and retroactively apply to all petitions filed between
January 1, 2014, and June 29, 2015.

Beneficiary Eligibility:

For a worker to be eligible to apply for TAA, the worker must be part of a
group of workers that are the subject of a petition filed with the Department.
Three workers of a company, a company official, a union or other duly
authorized representative, or an AJC operator or partner may file that petition
with the Department. In response to the filing, the Department initiates an
investigation to determine whether foreign trade was an important cause of the
workers job loss or threat of job loss. If the Department determines that the
workers meet the statutory criteria for group certification of eligibility for the
workers in the group to apply for TAA, the Department grants the petition and
issues a certification.

Once covered by a certification, individual workers apply for benefits and
services through the AJCs. Most benefits and services have specific individual
eligibility criteria that must be met, such as previous work history,
unemployment insurance eligibility, and individual skill levels.

The TAA program currently offers the following benefits and services to
eligible individuals: training, weekly income support in the form of TRA,
out-of-area job search and relocation allowances, case management and
employment services, and wage supplement for qualified older workers through

RTAA.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from
coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact
information is not required for this program. This program is excluded from
coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit
Requirements for Federal Awards applies to this program. This program is
excluded from coverage under OMB Circular No. A-102. This program is
excluded from coverage under OMB Circular No. A-110.

To apply for TAA under the Trade Act of 1974, as amended, a "Petition for
Trade Adjustment Assistance" must be filed directly with the Office of Trade
Adjustment Assistance at the address listed below and with the State official or
organization designated by the Governor of the State in which the workers' firm
or subdivision is located. OTAA and State contact information, as well as
petition forms in English and Spanish, are available through the State
Workforce Agencies or from the Office of Trade Adjustment Assistance listed
below. The petition forms may also be downloaded from the TAA web-site, or
filed online, at: <http://www.doleta.gov/tradeact>.

Petitions must be filed with both the OTAA and the State agency in which the
worker group's facility is located. Notice of such filing shall be promptly
published in the Federal Register. Within 10 days after publication, the
petitioner or any other person found by the Secretary to have a substantial
interest may request a hearing and be afforded the opportunity to be present, to
present evidence, and to be heard.

Award Procedure:

A Certification of Eligibility to apply for worker adjustment assistance may be
issued by the Secretary of Labor to groups of workers who have been found by
the Department of Labor to have met the requirements concerning foreign
trade-affected separations from employment. Individual workers covered by the
certification can apply to the local office of their State Workforce Agency for
individual determinations of eligibility to receive benefits and services.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. For the TAA program, eligibility investigations begin with
the receipt of a valid petition are completed, and a determination issued, within
40 calendar days of the receipt of the petition.

Appeals:

From 30 to 60 days. There are two courses of appeal, one administrative and the
other judicial. Petitioners aggrieved by a final determination by the Secretary
may, within 30 days after notice of such determination is published in the
Federal Register, file an application for reconsideration with the Director of the
Office of Trade Adjustment Assistance. If the determination following
reconsideration is negative, they may, within 60 days after notice of the
negative determination upon reconsideration, file a petition for review of such
determination with the United States Court of International Trade in New York
City. Aggrieved petitioners may request judicial appeal without first seeking
administrative reconsideration, within 60 days after notice of such
determination is published in the Federal Register.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cash trade readjustment allowances (TRA) generally become payable only after
workers have exhausted their entitlement to State unemployment compensation

including extended benefits.

For TAA certifications of petitions filed between May 18, 2009 and February 15, 2011, the maximum number of weeks of State unemployment compensation, extended benefits and trade readjustment allowances cannot exceed 52 weeks except that up to 78 additional weeks may be paid to workers while participating in approved training and an additional 26 weeks for workers whose approved training program includes pre-requisite and/or remedial education. Participation in RTAA allows older workers to accept reemployment at a lower wage and receive a wage subsidy instead of benefits under the regular TAA program. The RTAA allows for payments of 50 percent of the wage difference between old employment and new employment, not to exceed \$12,000 for up to two years. The individual must earn not more than \$55,000 a year in wages in reemployment, and must not return to employment from which the worker was separated. Base formula funding grants for training services are provided to States at the beginning of the year; additional funds may be requested by States based on additional needs above the base funding provided.

For TAA certifications of petitions filed before May 18, 2009, and after February 12, 2011 through October 21, 2011, the maximum number of weeks of State unemployment compensation, extended benefits and trade readjustment allowances cannot exceed 52 weeks except that up to 52 additional weeks may be paid to workers while participating in approved training and an additional 26 weeks for workers whose approved training program includes remedial education. Participation in ATAA allows older workers, for whom retraining may not be appropriate, to accept reemployment at a lower wage and receive a wage subsidy instead of benefits under the regular TAA program. The ATAA allows for payments of 50 percent of the wage difference between old employment and new employment, not to exceed \$10,000 for up to two years. The individual must earn not more than \$50,000 a year in wages in reemployment, and must not return to employment from which the worker was separated. Base formula funding grants for training services are provided to States at the beginning of the year; additional funds may be requested by States based on additional needs above the base funding provided.

For TAA certifications of petitions filed between October 21, 2011 and through December 31, 2013, the maximum number of weeks of State unemployment compensation, extended benefits and trade readjustment allowances cannot exceed 52 weeks except that up to 65 additional weeks may be paid to workers while participating in approved training and an additional 13 weeks for workers who are pursuing a degree or industry recognized credential, continue to make satisfactory progress and meeting the training benchmarks, and will complete the training within the period of eligibility. Participation in RTAA allows older workers to accept reemployment at a lower wage and receive a wage subsidy instead of benefits under the regular TAA program. The RTAA allows for payments of 50 percent of the wage difference between old employment and new employment, not to exceed \$10,000 for up to two years. The individual must earn not more than \$50,000 a year in wages in reemployment, and must not return to employment from which the worker was separated.

For TAA certifications of petitions filed after January 1, 2014, the maximum number of weeks of State unemployment compensation, extended benefits and trade readjustment allowances cannot exceed 52 weeks except that up to 65 weeks of additional weeks may be paid to workers while participating in approved training and an additional 13 weeks for workers who are pursuing a degree or industry recognized credential, continue to make satisfactory progress and meeting the training benchmarks, and will complete the training within the period of eligibility. Participation in RTAA allows workers age 50 and older, for whom retraining may not be appropriate, to accept reemployment at a lower wage and receive a wage subsidy instead of benefits under the regular TAA program. The RTAA allow for payments of 50 percent of the wage difference between old employment and new employment, not to exceed \$10,000 for up to two years. The individual must earn not more than \$50,000 a year in wages in reemployment, and must not return to employment from which the worker was separated.

Base formula funding grants for training services are provided to States at the beginning of the year; additional funds may be requested by States based on additional needs above the base funding provided. Method of

awarding/releasing assistance: lump sum.

Reports:

Quarterly Performance Reports are required. No cash reports are required. No progress reports are required. Quarterly financial reports on grants for administration of TAA/TRA program are required. Program performance is monitored both by state staff and by Federal Regional Office staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

16-0326-0-1-999.

Obligations:

(Formula Grants) FY 16 \$391,000,000; FY 17 est \$391,000,000; and FY 18 est \$450,000,000

Range and Average of Financial Assistance:

This amount is published yearly in the Report to the Committee on Finance of the Senate and Committee on Ways and Means of the House of Representatives, which can be found on the program website at www.doleta.gov/tradeact.

TAFS Codes:

16-0326.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Budget Performance Information is available at: <https://www.dol.gov/general/aboutdol#budget>. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations:

-29 CFR 90, Certification of Eligibility to Apply for Worker Adjustment Assistance

-20 CFR 617, Trade Adjustment Assistance for Workers

-20 CFR 618.900- 618.930, Regulations Regarding TAA Funding

The list of regulations can be found at <https://www.doleta.gov/tradeact/statutes.cfm#Regulations>.

Directives and Guidances:

A comprehensive list of Directives and Guidances can be found at <https://www.doleta.gov/tradeact/directives.cfm>.

Regional or Local Office:

See Regional Agency Offices. Regional offices of the Employment and Training Administration, Department of Labor listed in Appendix IV, and local offices of affiliated State Employment Security Agencies.

Headquarters Office:

Erica Herrmann 200 Constitution Ave N.W., Washington, District of Columbia 20210 Phone: 2026933628

Website Address:

<http://www.doleta.gov/tradeact/>

RELATED PROGRAMS:

17.225 Unemployment Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.258 WIOA ADULT PROGRAM

Workforce Innovation and Opportunity Act (WIOA)

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014

The Workforce Innovation and Opportunity Act (WIOA) was signed into law on July 22, 2014. It supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. This regulation has been submitted to the Office of the Federal Register (OFR) for publication, and is currently pending publication in the Federal Register. Only the version published in the Federal Register is the official regulation., Public Law 113-128.

OBJECTIVES:

The Adult Program is one of the six core programs authorized by Title I of the Workforce Innovation and Opportunity Act (WIOA). The program serves individuals and helps employers meet their workforce needs. It enables workers to obtain good jobs by providing them with job search assistance and training opportunities. Under WIOA, the Adult Program will ensure that the unemployed and other job seekers have access to high-quality workforce services, and that priority for services will be given to those who are public assistance recipients, low-income individuals, and/or basic skills deficient. In addition, the program will provide individuals with disabilities access to high quality workforce services, and better prepare them for competitive, integrated employment. Performance program measures include entry into unsubsidized employment, earnings, skills and credentials attainment. The employment goals are measured by using the Unemployment Insurance Wage Records Information System whenever possible.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

WIOA specifies that most services for adults will be provided through the American Job Center Network, also known as one-stop career centers or workforce centers. It authorizes that funds be used to provide career services, which are classified into two categories: basic and individualized services. Basic services are available to all job seekers and include labor exchange services, labor market information, job listings, and information on partner programs. Individualized services include training and supportive services, such as child care and needs-related payments. These are made available to individuals after an interview, assessment or evaluation determines that the individual requires training to obtain employment. These training services will be linked to job opportunities in their communities, including occupational training, work-based training and basic skills training. To promote customer choice and involvement in career decisions, some participants use an "Individual Training Account (ITA)" to select an appropriate training program from an eligible training provider. Consistent with the allowable activities in the statute.

Applicant Eligibility:

Under WIOA, the entities eligible to receive funding from the Department are the 50 States, Puerto Rico, the District of Columbia and the outlying areas. Funds are allotted based on a statutory formula. The states in turn allocate funds to local workforce development boards which are responsible for operating American Job Centers (approximately 2,400 nationwide).

Beneficiary Eligibility:

All adults 18 years of age and older are eligible to receive career services. Several populations receive priority, with States and local areas being responsible for establishing procedures for applying the priority requirements. Priority of service is given to veterans and other covered persons. Priority for career and training services must be given to public assistance recipients, other low income populations, and low-skilled individuals.

Credentials/Documentation:

Formula-funded programs must submit a Governor/Secretary Agreement and a

State Plan. States also sign a grant document agreeing to comply with the Act and regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Governor must submit a single WIOA Unified or Combined State Plan in accordance with planning guidance issued through the Federal Register. The planning guidance provides detailed instruction on what must be included in the State Plan, and provides a framework for collaboration across programs and integration of services, including the WIOA Title I programs, Title III Wagner-Peyser Activities, and WIOA Titles II and IV programs housed with the Department of Education. The Combined State Plan includes the six "core" programs of the Unified Plan as well as other workforce development programs administered by the Department of Labor, the Department of Education, Health and Human Services, the Department of Agriculture, and the Department of Housing and Urban Development. States submit the Unified or Combined State Plan to the Federal Coordinator for Plan Review and Approval (currently Heather Fleck), Division of WIOA Adult Services and Workforce System, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4209, Washington, DC 20210.

Award Procedure:

Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. State plans will be reviewed in accordance with 20 CFR 661.220(e), which provides that the Secretary must approve all state plans within 90 days of their submission, unless the Secretary determines in writing that: (1) the State Plan is inconsistent with the provisions of Title I of WIOA or the WIOA regulations, including 29 CFR Part 37; or (2) the portion of the State Plan impacting the Wagner-Peyser Act plan does not satisfy the criteria for approval in Section 8(d) of the Wagner-Peyser Act or the Wagner-Peyser Act regulations at 20 CFR Part 652.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Workforce Innovation and Opportunity Act, Public Law 113-128.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Formula funds are allotted every year to a State in two portions during a program year and are available for expenditure by the State for that program year and the two succeeding program years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Performance reporting requires:

1. WIOA Annual Report
2. WIOA Annual Report narrative
3. Files documenting program performance, which include data of both program participants and exiters on a quarterly basis, in addition to the annual

reporting

4. Quarterly Financial Reports

Note: Monitoring is conducted by Regional Federal Project Officers based on a risk assessment. No cash reports are required. No progress reports are required. Quarterly Financial Reports. Monitoring is conducted by Regional Federal Project Officers based on a risk assessment.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

States are required to maintain adequate records in accordance with 29 CFR 95 and 97.

Account Identification:

16-0174-0-1-504.

Obligations:

(Formula Grants) FY 16 \$813,000,000; FY 17 est \$813,000,000; and FY 18 est \$808,000,000

Range and Average of Financial Assistance:

WIOA formula grant amounts vary annually and are published in the Federal Register.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program budget is available at:

<https://www.doleta.gov/budget>

Program data not yet available. Fiscal Year 2017: Program budget is available at:

<https://www.doleta.gov/budget/>

Program data not yet available. Fiscal Year 2018: Program budget is available at:

<https://www.doleta.gov/budget/>

Program data not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

WIOA Final Rule (DOL only), 20 CFR Part 603, 651, 652 et.al., and WIOA Joint Final Rule (DOL and Education), 20 CFR Part 676, 677, 678 are available at:

https://www.doleta.gov/wioa/Final_Rules_Resources.cfm

Regional or Local Office:

See Regional Agency Offices. Contact appropriate Regional Employment and Training Office listed in Appendix IV of the Catalog.

Headquarters Office:

Robert Kight 200 Constitution Ave., NW, Room S-4203, Washington, District of Columbia 20210 Email: kight.robert@dol.gov Phone: 202-693-3937

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.245 Trade Adjustment Assistance; 17.259 WIA Youth Activities; 17.277 Workforce Investment Act (WIA) National Emergency Grants; 17.278 WIA Dislocated Worker Formula Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.259 WIOA YOUTH ACTIVITIES

WIOA Formula Youth

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014

The Workforce Innovation and Opportunity Act (WIOA), Public Law 113-128, was signed into law on July 22, 2014. It supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. This regulation has been submitted to the Office of the Federal Register (OFR) for publication, and was published in the Federal Register on August 19, 2016, , Public Law 113-128.

OBJECTIVES:

To help low income youth, between the ages of 14 and 24, acquire the educational and occupational skills, training, and support needed to achieve academic and employment success and successfully transition into careers and productive adulthood.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Title I of the Act authorizes the Workforce Innovation and Opportunity Act System and establishes state workforce development boards with oversight responsibility by the governors for local workforce development boards; and establishes the process by which eligible providers of training and youth activities are identified. The Act authorizes the use of funds for youth employment and training activities that will provide eligible youth assistance in achieving careers and academic and employment success; ensures ongoing mentoring opportunities; provides opportunities for training; provides continued supportive services; and provides opportunities for leadership, youth development, financial literacy, entrepreneurship, and community service. Funds must be used in accordance with the rules and regulations. Section 129(C)(4)(A) increases the minimum Out-of-School Youth expenditure rate for youth formula funded programs to 75 percent. Additionally, Section 129(c)(4) prioritizes work experiences with the requirement that local areas must spend a minimum of 20 percent of non-administrative local area funds on work experience. Funds must be used in accordance with the rules and regulations.

Applicant Eligibility:

Under WIOA, 50 states, Puerto Rico, the District of Columbia, and the outlying areas are identified as the recipients of youth training activities funds. For a state to be eligible to receive youth funds, the governor of the state will submit a Unified or Combined State Plan to the Secretary of DOL that outlines a 4-year strategy for the States workforce development system.

Beneficiary Eligibility:

To be eligible to participate in the WIOA youth program, an individual must be an Out-of-School Youth or an In-School Youth.

Under WIOA, an out-of-school youth is an individual who is:

- (a) Not attending any school (as defined under State law);
- (b) Not younger than age 16 or older than age 24 at time of enrollment. Because age eligibility is based on age at enrollment, participants may continue to receive services beyond the age of 24 once they are enrolled in the program; and
- (c) One or more of the following:
 - (1) A school dropout;
 - (2) A youth who is within the age of compulsory school attendance, but has not attended school for at least the most recent complete school year calendar quarter. School year calendar quarter is based on how a local school district defines its school year quarters. In cases where schools do not use quarters, local programs must use calendar year quarters;
 - (3) A recipient of a secondary school diploma or its recognized equivalent who is a low-income individual and is either basic skills deficient or an English language learner;

- (4) An offender;
- (5) A homeless individual aged 16 to 24 who meets the criteria defined in sec. 41403(6) of the Violence Against Women Act of 1994 (42 U.S.C. 14043e2(6)), a homeless child or youth aged 16 to 24 who meets the criteria defined in sec. 725(2) of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11434a(2)) or a runaway;
- (6) An individual in foster care or who has aged out of the foster care system or who has attained 16 years of age and left foster care for kinship guardianship or adoption, a child eligible for assistance under sec. 477 of the Social Security Act (42 U.S.C. 677), or in an out-of-home placement;
- (7) An individual who is pregnant or parenting;
- (8) An individual with a disability; or
- (9) A low-income individual who requires additional assistance to enter or complete an educational program or to secure or hold employment

Under WIOA, An in-school youth (ISY) is an individual who is:

- (a) Attending school (as defined by State law), including secondary and postsecondary school;
- (b) Not younger than age 14 or (unless an individual with a disability who is attending school under State law) older than age 21 at time of enrollment. Because age eligibility is based on age at enrollment, participants may continue to receive services beyond the age of 21 once they are enrolled in the program;
- (c) A low-income individual; and
- (d) One or more of the following:
 - (1) Basic skills deficient;
 - (2) An English language learner;
 - (3) An offender;
 - (4) A homeless individual aged 14 to 21 who meets the criteria defined in sec. 41403(6) of the Violence Against Women Act of 1994 (42 U.S.C. 14043e2(6)), a homeless child or youth aged 14 to 21 who meets the criteria defined in sec. 725(2) of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11434a(2)), or a runaway;
 - (5) An individual in foster care or who has aged out of the foster care system or who has attained 16 years of age and left foster care for kinship guardianship or adoption, a child eligible for assistance under sec. 477 of the Social Security Act (42 U.S.C. 677), or in an out-of-home placement;
 - (6) An individual who is pregnant or parenting;
 - (7) An individual with a disability; or
 - (8) An individual who requires additional assistance to complete an educational program or to secure or hold employment.

Credentials/Documentation:

WIOA requires the Governor of each State to submit a Unified or Combined State Plan to the Secretary of DOL that outlines a 4-year strategy for the States workforce development system. States must have approved State Plans (Section 102(a) of WIOA) in place to receive funding for a core program under WIOA the youth program (Title I of WIOA). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. In Section 102(c)(2)(B) of WIOA, a unified State plan shall be subject to the approval of both the Secretary of Labor and the Secretary of Education after approval of the Commissioner of the Rehabilitation Services Administration for the portion of the plan described in subsection (b)(2)(D)(ii). The plan shall be considered to be approved at the end of the 90-day period beginning on the day the plan is submitted, unless the Secretary of Labor or the Secretary of Education makes a written determination, during the 90-day period, that the plan is inconsistent with the provisions of this section or the provisions authorizing the core program as appropriate.

Appeals:

Contact Headquarters Office to obtain information on appeal procedures.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I, Chapter 4, Subpart B, Public Law 113-128.

Statutory Formula: Title I, Chapter 2, Public Law 113-128

This program has no matching requirement.

This program has MOE requirements, see funding agency for further details.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Formula funds allotted to a state each year are available for expenditure by the State for that program year plus two succeeding program years. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting requirements as specified by the Secretary in accordance with 20 CFR 677, Subpart F Performance and Reporting Administrative Requirements. No cash reports are required. Quarterly reports are required. Quarterly financial reports are required. Regional Federal Project Offices conduct risk analysis, desk reviews, and on-site monitoring. They also review quarterly reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

States are required to maintain adequate records in accordance with 2 CFR 200.333.

Account Identification:

16-0174-0-1-504 - Annual Appropriation.

Obligations:

(Formula Grants) FY 16 \$858,000,000; FY 17 est \$854,000,000; and FY 18 est \$512,000,000

Range and Average of Financial Assistance:

Formula grant award amounts vary annually and are published in the Federal Register.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2016: Fiscal Year 2016: Performance budgets are posted annually on the Internet and are available at:

<http://www.dol.gov/dol/aboutdol/main.htm#budget>. Fiscal Year 2017: Fiscal

Year 2017: No Current Data Available. Once finalized, performance budgets are posted annually on the Internet and are available at:

<http://www.dol.gov/dol/aboutdol/main.htm#budget>. Fiscal Year 2018: Fiscal

Year 2018: No Current Data Available. Once finalized, performance budgets

are posted annually on the Internet and are available at:
<http://www.dol.gov/dol/aboutdol/main.htm#budget>.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR PART 681 et al, WIOA at <https://www.doleta.gov/>.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate Regional Employment and Training Office listed in Appendix IV of the Catalog.

Headquarters Office:

Jennifer Kemp 200 Constitution Avenue, NW, Washington, District of Columbia 20210 Email: Kemp.Jennifer.N@dol.gov Phone: (202) 693-3377 Fax: (202) 693-3113

Website Address:

http://www.doleta.gov/youth_services

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.261 WIOA PILOTS, DEMONSTRATIONS, AND RESEARCH PROJECTS

PDR (including WDQI)

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014

The Workforce Innovation and Opportunity Act (WIOA) was signed into law on July 22, 2014. It supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. This regulation has been submitted to the Office of the Federal Register (OFR) for publication, and is currently pending publication in the Federal Register. Only the version published in the Federal Register is the official regulation., Public Law 113-128; The Workforce Investment Act, Public Law 105-220.

OBJECTIVES:

Address national employment and training issues through demonstrations, pilot projects, and applied research that have interstate validity and will aid policymakers and stakeholders in addressing these issues and for continuous improvement of the public workforce system.

TYPES OF ASSISTANCE:

Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Projects shall include demonstration of innovative direct services or improvements to existing service strategies for individuals to enhance employment opportunities, and include a rigorous research or evaluation component and support the Department of Labor's stated strategic goal of Good Jobs for Everyone, by: (1) Preparing workers for good jobs; (2) For those not working, providing income security; (3) Focusing on key segments of the workforce; (4) Building a comprehensive workforce system through innovative approaches; and (5) Evaluating program effectiveness.

The Workforce Data Quality Initiative (WDQI), also included under pilots, demonstration, and research, provides funding to states for the development of, or enhancement to, state workforce longitudinal administrative databases. Grants and contracts awarded for carrying out demonstration and pilot projects under this subsection are intended to be awarded only on a competitive basis, except that a noncompetitive award may be made in the case of a project that is funded jointly with other public or private sector entities that provide a portion of the funding for the project.

WDQI grants are awarded on a competitive basis. 100 percent of the funds are discretionary, excluding a significant portion directed by Congress for use on

specific projects.

Applicant Eligibility:

State and local governments, Federal agencies, private non-profit and for-profit organizations, including faith-based and community-based organizations, and educational institutions. Note: Applicant eligibility may be restricted to one or more applicant classes under the particular announcement or solicitation.

Only states and U.S. territories are eligible to apply for WDQI grants. These funds are used to develop, or enhance, state IT systems established as longitudinal administrative databases for the long-term analysis of individual data from pre-K through the workforce to determine the effectiveness of education and training programs.

Beneficiary Eligibility:

Generally limited to the economically disadvantaged and those who are underemployed, unemployed, need to upgrade their skills in order to retain jobs, at-risk youth, and/or to those who have barriers to employ ability.

With WDQI funding, states are required to develop a scorecard, using their longitudinal administrative database, that will display information in a consumer-friendly manner. These scorecards will help inform consumer-choice when selecting workforce training providers.

Credentials/Documentation:

Non-governmental entities ordinarily must furnish documentary evidence of adequate financial controls. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Procedures for each project are specified in the applicable funding opportunity announcement (FOA). Generally, the procedure is as follows: 1) A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications; 2) The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating; 3) Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification; and 4) After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov. If an application is rejected, a letter is sent to the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 45 to 90 days.

Appeals:

Procedures for each project are specified in the applicable FOA.

Renewals:

Project extensions available upon approval by the Grant Officer (renewals are not automatic). Requests for extensions or renewals must be submitted in writing along with supporting justification for the renewal.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Most projects last one to two years. WDQI grants have a period of performance of three years. See the following for information on how assistance is awarded/released: Most grants and contracts are awarded on a fixed cost basis. The Grant Officer issues a Notice of Obligation when the

grant is awarded.

Reports:

Program reports are required for accountability purposes. Cash reports are required for accounting purposes. Quarterly progress reports on all grants awarded are a mandatory requirement of all solicitations. Monthly progress reports are required for contracts/task orders. Quarterly expenditure reports on all grants awarded are a mandatory requirement of all solicitations. Monthly expenditure reports are required for contracts/task orders. Performance monitoring is done by the Contracting Officer Representative or Federal Project Officer in accordance with Federal Acquisition or Department of Labor Management Series Guidelines.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain books, records, documents and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 16 \$4,000,000; FY 17 est \$6,000,000; and FY 18 est \$6,000,000

Range and Average of Financial Assistance:

Grant amounts vary and are influenced by the complexity of the initiative being studied. A general range of grant amounts would be \$200,000 to \$1 million and an average \$500,000. WDOI grant award amounts are influenced by the total amount available funding as determined by the appropriation.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notices of FOAs are published periodically in the Federal Register, on grants.gov, and on the ETA Web site at www.doleta.gov.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest ETA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Wayne S. Gordon 200 Constitution Ave, N.W. Room N-5641, Washington, District of Columbia 20210 Email: gordon.wayne@dol.gov Phone: 2026933179

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable FOA.

17.264 NATIONAL FARMWORKER JOBS PROGRAM

NFJP

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014

The Workforce Innovation and Opportunity Act (WIOA) was signed into law on July 22, 2014. It supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. This regulation has been submitted to the Office of the Federal Register (OFR) for publication, and is currently pending publication in the Federal Register. Only the version published in the Federal Register is the official regulation., Title I, Part D, Section 167, Public Law 113-128, 29 U.S.C 3222.

OBJECTIVES:

To help individuals, and their dependents, who are primarily employed in agricultural and fish farming labor that is characterized by chronic unemployment and underemployment, obtain and retain unsubsidized employment, or stabilize their unsubsidized employment, including upgraded employment in agriculture. Grant organizations provide career services, job training, housing assistance, and other related assistance.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

In the National Farmworker Jobs Program (NFJP), eligible migrant and seasonal farmworkers and their dependents may receive career services, such as an eligibility determination, initial assessment, job placement assistance, comprehensive skills assessment, an individual employment plan, case management, career planning, and workplace readiness. Training services, such as occupational skills training or on-the-job training, and related assistance, such as emergency assistance, allow a farmworker to obtain, retain, or stabilize their unsubsidized employment, including upgraded employment in agriculture. In addition, permanent and temporary housing assistance is also provided to migrant and seasonal farmworkers (MSFWs). Funds must be used in accordance with Federal Regulations. The WIOA statute requires a grant competition every four years to operate the NFJP program. Funds for NFJP employment and training grants are allocated through an administrative formula to state service areas. A percentage of program funds are designated for housing grants, and are allocated based on the services described and the service areas specified in grantee program plans.

Applicant Eligibility:

The provisions of WIOA, Section 167(b), describe entities eligible to receive a grant as those that have: 1) an understanding of the problems of eligible migrant and seasonal farmworkers (including their dependents); 2) a familiarity with the area to be served; and 3) the ability to demonstrate a capacity to administer and deliver effectively a diversified program of workforce investment activities (including youth workforce investment activities) and related assistance for eligible migrant and seasonal farmworkers. Entities such as state government agencies, state workforce investment boards, local government agencies, local workforce investment boards, faith-based and community-based organizations, institutions of higher learning, and other entities are examples of organizations that could be eligible to apply for NFJP grants.

Beneficiary Eligibility:

Beneficiaries are low-income individuals and their dependents who, for 12 consecutive months out of the 24 months prior to application for the program, have been primarily employed in agricultural or fish farming labor that is characterized by chronic unemployment or underemployment, and who face multiple barriers to economic self-sufficiency. Male NFJP Participants must not have violated section 3 of the Military Selective Service Act (50 U.S.C. App. 453).

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State

requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grants for operating NFJP programs are awarded every four years through a competitive process. Applications are solicited through a Funding Opportunity Announcement (FOA) published in the Federal Register and on ETA's Web site, www.doleta.gov. Applicants are required under the solicitation to provide their proposed plan to operate NFJP services in the areas where they propose to operate. A single NFJP employment and training grantee is funded to serve the geographic area of each state, except as follows: more than one applicant may be selected to serve areas within California, and some service areas comprise the geography of two adjoining states. Housing grantees serve the geographic areas designated in their program plans, and may include single states, multiple states, or parts of states.

Award Procedure:

Competing applications will be rated according to criteria included in the FOA. Awards are made directly to eligible applicants by the Employment and Training Administration.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days.

Appeals:

Petition for reconsideration of non-selection as a potential grantee may be made to an Administrative Law Judge within 21 days of notification of the Department's decision.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 20 CFR, Part 685, Public Law P.L. 113-128.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are for four years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Quarterly reports are required on grant expenditures, program activities, and participant services and outcomes. No cash reports are required. Quarterly reports are required on program activities and participant services and outcomes. Financial reports are required quarterly. Regional Federal Project Officers conduct monitoring, including desk audits, risk assessments, site visits and file audits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Subject to audits by the Department of Labor or other authorized Government agencies.

Records:

Grantees are required to maintain complete accounting, personnel, and individual participant records in accordance with the Uniform Guidance at 2 CFR 200 and DOL Exceptions at 2 CFR 2900. Participant records must support eligibility and the need for services provided.

Account Identification:

16-0174-0-1-504.

Obligations:

(Formula Grants) FY 16 \$81,000,000; FY 17 est \$81,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

The range and average varies by year according to appropriation levels. State allocations are published annually in the Federal Register.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR Part 685

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Regional contact information is available on the NFJP website at http://www.doleta.gov/Farmworker/html/Contact_Us.cfm.

Headquarters Office:

Steven Rietzke 200 Constitution Ave. NW, Room C-4510, Washington, District of Columbia 20210-0001 Email: Rietzke.Steven@dol.gov Phone: 2026933912

Website Address:

<http://www.doleta.gov/Farmworker/html/NFJP.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are described in the FOA published in the Federal Register whenever there is a competition for grant awards. (Also, please see paragraph 92, above.)

17.265 NATIVE AMERICAN EMPLOYMENT AND TRAINING

WIA / WIOA, Section 166, Native American Employment and Training Program

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014

The Workforce Innovation and Opportunity Act (WIOA) was signed into law on July 22, 2014. It supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. The regulations implementing WIOA were published in the Federal Register on August 19, 2016, Title I, Part SubtitleD, Section 166, Public Law 113-128; The Workforce Innovation and Opportunity Act, Public Law 113-128.

OBJECTIVES:

To support employment and training services for Native Americans, Alaska Natives, and Native Hawaiian individuals in order to develop more fully the academic, occupational, and literacy skills of such individuals; to make such individuals more competitive in the workforce and to equip them with the entrepreneurial skills necessary for successful self-employment; and to promote the economic and social development of Native Americans, Alaska Natives, and Native Hawaiian communities in accordance with the goals and values of such communities. All programs assisted under this section shall be administered in a manner consistent with the principles of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450 et seq.) and the government-to-government relationship between the Federal Government and Indian tribal governments. Supplemental youth funding is also awarded to help low-income Native American youth and Native Hawaiian youth, between the ages of 14 and 24, acquire the educational and occupational skills needed to achieve academic and employment success and transition to careers and productive adulthood.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds may be utilized for employment and training programs and services,

including classroom training, on-the-job training, training assistance, work experience, youth employment programs, day care, health care, job search, relocation, rent assistance and transportation allowances designed to help eligible participants to obtain employment. There are specified restrictions on the amount of grant funds which can be used for administrative costs (15% but can be up to 20% with prior agency approval). Supplemental youth funds may be utilized to provide employment and training activities that assist youth in achieving academic and employment success. Such activities may include; mentoring, career exploration, work experience / summer employment, community service, education programs including cultural education, leadership development, and supportive services. Funds are restricted to Native Americans, Alaska Natives, and Native American youth and Native Hawaiian youth, between the ages of 14 and 24, living on or near reservations and the States of Oklahoma, Hawaii, and Alaska. Administrative costs are limited to 15% but are negotiable up to 20% upon prior agency approval.

Participants of the program must be American Indian, as defined by the organization, which at a minimum must include enrolled members of any Federally Recognized Tribe. For the Adult program, participants must also be unemployed, underemployed or low-income individuals. For the youth program, participants must be between the ages of 14 - 24 and must be low-income unless the grant recipient's service area is located in a "high poverty area" as defined in the WIOA regulations at 684.130. Federally-recognized Indian Tribal Governments, bands or groups, Alaska Native villages or groups (as defined in the Alaska Native Claims Settlement Act, 43 U.S.C. 1602(b)), Native Hawaiian organizations meeting the eligibility criteria, and Native American Organizations (public bodies or private nonprofit agencies) are selected by the Secretary on a competitive basis to run programs in designated service areas. However, funds are allocated to entities (selected from the competitive process) using a funding formula. Participants of the program must be American Indian, as defined by the organization, which at a minimum must include enrolled members of any Federally Recognized Tribe. For the Adult program, participants must also be unemployed, underemployed or low-income individuals.

For the youth program, participants must be between the age of 14 - 24 and must be low-income unless the grant recipient's service area is located in a "high poverty area" as defined in the WIOA regulations at 681.260. Federally-recognized Indian Tribal Governments, bands or groups, Alaska Native villages or groups (as defined in the Alaska Native Claims Settlement Act, 43 U.S.C. 1602(b)), Hawaiian Native communities meeting the eligibility criteria, and Native American Organizations (public bodies or private nonprofit agencies) are selected by the Secretary on a competitive basis to run programs in designated service areas. However, funds are allocated to entities (selected from the competitive process) using a funding formula.

Applicant Eligibility:

Federally-recognized Indian Tribal Governments, bands or groups, Alaska Native villages or groups (as defined in the Alaska Native Claims Settlement Act, 43 U.S.C. 1602(b)), Native Hawaiian organizations meeting the eligibility criteria, and Native American Organizations (public bodies or private nonprofit agencies) are selected by the Secretary on a competitive basis. Tribes, bands, and groups may also form consortia in order to qualify for designation as a grantee. Detailed requirements for consortium grantee applicants are set forth in the WIOA Final Rule at 684.200(e). Supplemental funding is automatically awarded to Federal Recognized Tribes and Tribal consortiums selected through the competitive process. However, there are a few exceptions in which non-profit entities receive youth funds. Youth funds are also based on a funding formula and is restricted to Native American, Alaska Native and Native Hawaiian youth living on or near reservations, OTSA areas in Oklahoma, Alaskan villages and the state of Hawaii.

Beneficiary Eligibility:

Eligibility requirements for the adult program are provided in the WIOA Final Rule at 684.300. To be eligible for services under the adult program, individuals must meet the definition of an Indian, as determined by a policy of the Native American grantee. American Indians are generally considered members (or descendants) of federally-recognized Indian tribes, bands, and groups or members of well-established state recognized tribes such as, but not limited to, the Homa Indians in Louisiana and the Lumbee Indians in North

Carolina. Applicants must also be low-income according to HHS poverty income guidelines or unemployed, or underemployed or the recipient of a bona fide lay-off notice or an individual who is employed, but is determined by the grantee to be in need of employment and training services to obtain or retain employment that allows for self-sufficiency. Eligibility requirements for the youth program are provided in the WIOA Final Rule at 684.430. To be eligible for services under the youth program, individuals must meet the definition of an Indian, as determined by a policy of the Native American grantee and must be between the ages of 14 and 24 and live on or near a reservation or in OTSA areas of Oklahoma or Alaska Native Villages or ANRC areas in Alaska, or the State of Hawaii and are low income. 684.130 of the Final Rule for WIOA provides the definition of a "high-poverty" area. If applicable Section 129(a)(2) of WIOA allows youth living in a "high poverty" area to be considered, male applicants also must register or be registered for the Selective Service in order to be eligible for the adult or the youth program. WIOA provides for an exception that allows up to five percent of the youth participants during a program year to be placed on the youth program and not have to meet the low-income requirement.

Credentials/Documentation:

An entity requesting to apply for a grant must submit an application through a competitive process known as a Funding Opportunity Announcement (FOA). Eligibility for a WIOA section 166 grant is defined in the WIOA Final Rule at 684.200. WIOA also requires a 4-year strategic plan as part of the competitive application process. The required documents and information that must be included in the plans are provided in the FOA. The plan may include, among other things: (1) a program narrative description; (2) a planning summary; and (3) a brief budget summary. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. The Employment and Training Administration publishes a Funding Opportunity Announcement (FOA) in the Federal Register every 4 years. The FOA provides the application and award process for interested entities to apply for funding to serve specified geographic areas. Grantees receiving a grant award must also submit a 4-year strategic plan to the Department of Labor, Employment and Training Administration, Office of Workforce Investment, Division of Indian and Native American Programs (DINAP). In addition, grantees must describe the administrative, planning, and operational elements needed to implement a WIOA Section 166 program. Instructions for completing these documents are issued by the Employment and Training Administration through a Training and Employment Guidance Letter (TEGL).

Award Procedure:

Grants will be made directly to eligible grantees for their service areas.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact the Department of Labor, Employment and Training Administration, Office of Workforce Investment, DINAP office for application deadlines (202) 693-3737.

Appeals:

From 60 to 90 days. From 60 to 90 days. Applicants will be notified of approval or disapproval of the 4-year strategic plan and if disapproved, given a reasonable time to make adjustments and resubmit the Plan. Final disapproval of an application or plan submitted by a designated grantee will not be made without affording the grantee an opportunity for reconsideration.

Renewals:

From 30 to 60 days. From 30 to 60 days. Grant awards may be renewed

annually however competition for these grants must be held every 4-years pursuant to Section 166 (c) of WIOA.

Formula and Matching Requirements:

Statutory Formula: Title 1, Part Subtitle D - National Programs, , Subpart Section 166 - Indian and Native American Programs, Public Law Workforce Innovation and Opportunity Act. Statutory Formula: Workforce Innovation and Opportunity Act, Title 1, Subtitle D - National Programs, Section 166 - Indian and Native American Programs.. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are made available through annual congressional appropriations. The program year runs from July 1 to June 30, except that the Supplemental Youth Services Program runs from April 1 to March 31. Funds obligated for any program year may be expended by each recipient during the program year and the two succeeding program years. See the following for information on how assistance is awarded/released: Funds are placed in the HHS, Payment Management System and can be drawn down by the grantee as needed to pay for expenditures. See the following for information on how assistance is awarded/released: Funds are placed in the HHS, Payment Management System and can be drawn down by the grantee as needed to pay for expenditures.

Reports:

OMB 9084 form for comprehensive services adult program is submitted quarterly and OMB 9085 for supplemental youth services program is submitted semi-annually. Cash reports are not applicable. No progress reports are required. ETA 9130 Form financial report is submitted quarterly. National office staff monitors performance using the Grants Enterprise Management System (GEMS) to conduct risk assessments and desk reviews. On-site reviews are also conducted. Cash reports are not applicable. No progress reports are required. ETA 9130 Form financial report is submitted quarterly. National office staff monitors performance using the Grants Management System (GEMS) to conduct risk assessments and desk reviews. On-site reviews are also conducted every three years.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other pertinent records shall normally be retained for a period of three years after the grant is closed out. Participant records shall be retained for five years. Records must be retained longer in certain cases, such as when audit findings have not been resolved.

Account Identification:

16-0174-0-1-504.

Obligations:

(Formula Grants) FY 16 \$63,000,000; FY 17 est \$62,000,000; and FY 18 est \$57,000,000

Range and Average of Financial Assistance:

The range and average of financial assistance varies by year. Amounts are published annually in a Training Employment Guidance Letter (TEGL) Grant awards range from \$1,000 to \$5,000,000. Funding is based on a formula which is based on the percentage of low-income and unemployed Native Americans living in a geographic service area requested by the applicant in the competitive proposal. Federally Recognized tribes are typically awarded funds based on their reservation area (land base) but may also apply for "off-reservation" areas.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register, Volume 81, No. 161, Friday, August 19, 2016, 20 CFR Parts 683 and 684 Employment and Training Administration, United States Department of Labor. and Federal Register, Volume 81, No. 161, Friday, August 19, 2016, Book 2, 20 CFR Part 678, Joint Rule, Employment and Training Administration, United States Department of Labor and United States Department of Education.

Regional or Local Office:

None.

Headquarters Office:

Duane Hall 200 Constitution Avenue, NW, Room S-4209

, Washington, District of Columbia 20210 Email: hall.duane@dol.gov Phone: (972) 850-4637 Fax: (972) 850-4605

Website Address:

<http://www.doleta.gov/dinap>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are described in the regulations, Funding Opportunity Announcements (FOA) and the Department's Training and Employment Guidance Letters (TEGL)s In general, awards are based on the regulatory requirements found at 20 CFR 684.200, 684.210, 684.220 and 684.230 in WIOA. In selecting entities for a grantee award, some key criteria include the entity's legal status, ability to administer Federal funds, and prior experience and success in providing employment and training services to the client population.

17.267 INCENTIVE GRANTS - WIA SECTION 503

WIA Incentive Grants

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Adult Education and Family Literacy Act;

Unless otherwise stipulated, recipients are subject to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule on December 26, 2013 and found at 2 CFR Part 200 along with the OMB approved exceptions for DOL at 2 CFR Part 2900 published on December 19, 2014 in the Federal Register. , 20 U.S.C 9211; Workforce Investment Act of 1998, Title 1, Part B, Section 174, 503, Public Law 105-220, 20 U.S.C 9273.

OBJECTIVES:

To carry out innovative programs consistent with the purposes of Title I of WIA (Workforce Investment Systems), Title II of WIA (Adult Education and Family Literacy Act (AEFLA), 20 U.S.C. 9201 et seq.), the Carl D. Perkins Vocational and Applied Technology Education Amendments of 1998 (Public Law 105-332, 20 U.S.C. 2301 et seq.) or a combination of two or more of these acts. This is the last year of incentive grants.

The new legislation, Workforce Innovation and Opportunity Act (WIOA), Public Law 113-128, did not authorize these grants.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

States have great flexibility in using these funds and are not limited to

conducting only one type of innovative program. However, the use of grant funds must be consistent with WIA Section 503, including the requirement that they be used for innovative programs which further the purposes of WIA Titles I and II, AEFLA and/or the Perkins Act. In addition, grantees must comply with the requirements of whichever program the innovation affects. For example, if the incentive funds will be used for innovations in the delivery of youth activities under WIA Title I, the program must be consistent with WIA Title I provisions applicable to youth activities and the WIA regulations at 20 CFR part 664. States must submit a plan describing the innovative activities to be funded with this grant. States are expected to use funds for services and activities: (1) beyond those provided with regular funds from the three programs, particularly those authorized by one of these programs and another program; (2) which serve the needs of populations intended to be served by one or more of these programs; and (3) targeted to improving the performance of State systems of employment, training, and education. In addition, States are encouraged to plan activities that promote cooperation and collaboration among the agencies administering WIA Title I, AEFLA, and Perkins Act programs. States may only use funds in accordance with WIA, AEFLA, or Perkins Law III/IV. Program Year (PY) 2013 was the last year of incentive grants as WIOA does not authorize these grants.

Applicant Eligibility:

A listing of States eligible to receive incentive grants for Program Year 2013 performance was published in the Federal Register on May 1, 2015. This is the last year that incentive grants will be awarded to states. WIOA does not authorize these grants.

Under WIA, to qualify for a grant, a State must have exceed performance levels agreed to by the Secretary of Education, the Secretary of Labor, the Governor, and the State Education Officer, for outcomes in Titles I and II of the Workforce Investment Act (WIA) and the American Education and Family Literacy Act (AEFLA), which include placement after training, retention in employment, and improvement in literacy levels, among other measures.

Beneficiary Eligibility:

Regulations at 20 CFR 666.210 authorize the state to use its incentive grant award to carry out an innovative program consistent with the requirements of any one or more of the programs within Title IB or Title II of WIA or the Perkins Act. See Training and Employment Guidance Letter (TEGL) 20-01, Change 13 for additional information (http://wdr.doleta.gov/directives/attach/TEGL/TEGL%2020-01,Change%2013_Acc.pdf).

Credentials/Documentation:

Documentation of the exceeded performance measures must be available via the annual performance report. See Training and Employment Guidance Letter 8-99 (<http://wdr.doleta.gov/directives/attach/TEGL8-99.pdf>) for additional information on the incentives process. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The application must include assurances that: the State legislature was consulted with respect to the development of the application; the application was approved by the Governor, the eligible agency for adult education, as defined in WIA Section 203(4) (20 U.S.C. 9202(4)); and the State agency responsible for vocational and technical education programs, as defined in Perkins Act Section 3(9) (20 U.S.C. 2302(9)); and the State and the eligible agency, as appropriate, exceeded the State adjusted levels of performance for WIA Title I, the State adjusted levels of performance for AEFLA, and the performance levels established for Perkins Act programs. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Grants for projects were awarded each year to those states and territories that exceed their WIA and AEFLA performance measures. A Federal Register Notice (FRN) was published announcing the award winning states as well as the amount of the award. A Training and Employment

Guidance Letter (TEGL) was published to provide guidance for the submission of the application. The FRN and the TEGL were published on the Performance Web site at https://www.doleta.gov/performance/results/incentives_sanctions.cfm.

States develop a proposal and budget that demonstrate how they will provide services in accordance with the WIA, AEFLA and or Perkins IV laws. ETA coordinates the review of applications with the Department of Education.

Award Procedure:

States must exceed performance for WIA Adult, Dislocated Worker and Youth programs as well as meet performance in AEFLA. Representatives from DOL and Education review states' performance and compile a list of those states meeting both sets of performance requirements. States are allocated a share of the incentive funds based on their proportionate share of WIA and AEFLA title funds. States must submit an application indicating they will meet all requirements of WIA and AEFLA as well as Perkins IV before funds can be granted. See USDOL ETA guidance TEGL 20-01, Change 13 at: http://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=3981 for the PY 2013 (last round) guidance.

Deadlines:

May 01, 2015 to Jun 15, 2015: 45 days from FRN posting. Specified in the applicable TEGL, but generally 45 days from announcement in the Federal Register.

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

From 15 to 30 days. Appeal procedures are at 20 CFR Part 667, Subpart H.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are awarded on a program year (July to June) basis and are available for expenditure for two years. Method of awarding/releasing assistance: by letter of credit.

Reports:

When funds are expended for programs under AEFLA, Perkins IV or WIA, the applicable program report requirements apply. See TEGL 14-00, Change 3, TEGL 9-07, and TEGL 14-03, Change 1 for additional reporting guidance for PY 2013 (the last year of the inventive grant awards). When funds are expended for programs under AEFLA, Perkins IV or WIA, the applicable program report requirements apply. See TEGL 14-00, Change 3 and TEGL 14-03, Change 1 for additional reporting guidance for PY 2013 (the last year of the inventive grant awards). When funds are expended for programs under AEFLA, Perkins IV or WIA, the applicable program report requirements apply. See TEGL 14-00, Change 3 and TEGL 14-03, Change 1 for additional reporting guidance for PY 2013 (the last year of the inventive grant awards). When funds are expended for programs under AEFLA, Perkins IV or WIA, the applicable program report requirements apply. See TEGL 14-00, Change 3 and TEGL 14-03, Change 1 for reporting guidance for PY 2013 (the last year of the inventive grant awards). When funds are expended for programs under either AEFLA, Perkins IV or WIA, the applicable program report requirements apply. See TEGL 14-00, Change 3, TEGL 9-07, and TEGL 14-03, Change 1 for reporting guidance for PY 2013 (the last year of the inventive grant awards).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits shall be conducted in accordance with 20 CFR 667.200(b).

Records:

States are required to maintain adequate records in accordance with DOL uniform administrative requirements in 29 CFR Sections 97.20 and 97.42.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

For PY13 awards, each eligible state received \$3,000,000.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR 666.200 - 666.230; Training and Employment Guidance Letters No. 20-01, change 13, TEGL 14-03, Change 1 and TEGL 14-00, Change 3.

Regional or Local Office:

See Regional Agency Offices. Federal Project Officers for those states receiving the awards.

Headquarters Office:

Luke A. Murren Frances Perkins Building, 200 Constitution Ave, NW, Room N-5641, Washington, District of Columbia 20210 Email: murren.luke@dol.gov Phone: (202) 693-3733

Website Address:

<http://www.doleta.gov/performance>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.268 H-1B JOB TRAINING GRANTS**FEDERAL AGENCY:**

Employment Training Administration, Department of Labor

AUTHORIZATION:

American Competitiveness and Workforce Improvement Act of 1998

Unless otherwise stipulated, recipients are subject to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule on December 26, 2013 and found at 2 CFR Part 200 along with the OMB approved exceptions for DOL at 2 CFR Part 2900 published on December 19, 2014 in the Federal Register.

, Section 414(c), Public Law 105-227.

OBJECTIVES:

The H-1B Job Training Grant Program funds projects that provide training and related activities to workers to assist them in gaining the skills and competencies needed to obtain or upgrade employment in high-growth industries or economic sectors. Over time, these education and training programs will help businesses reduce their use of skilled foreign professionals permitted to work in the U.S. on a temporary basis under the H-1B visa program.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds are to be used to provide job training services and related activities to assist workers in gaining the skills and competencies needed to obtain or upgrade employment positions in high-growth industries and economic sectors which currently use H-1B visas to employ foreign workers. Grant opportunities

are published in the Federal Register. Please refer to the Funding Opportunity Announcement (FOA) for specific eligibility requirements. See above. A very small percentage of funds will be used by the Department of Labor for grants administration, technical assistance, and performance reporting.

Applicant Eligibility:

Grants may be awarded to a partnership of private and public sector entities as defined in the American Competitiveness and Workforce Improvement Act (ACWIA). Applicants may generally be public and non-profit organizations. See the Funding Opportunity Announcement (FOA) for specific requirements.

Beneficiary Eligibility:

The scope of potential trainees under these programs can be very broad. Please review the Funding Opportunity Announcement (FOA) for specific requirements. Training may be targeted to a wide variety of populations including unemployed individuals and incumbent workers.

Credentials/Documentation:

Generally grantees must demonstrate an adequate organizational capacity, both fiscally and programmatically, including a project management structure. Additionally, grantees must provide evidence of the use of data systems to track outcomes in a timely and accurate manner. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grants for projects are awarded on a competitive basis, announced in Funding Opportunity Announcement (FOA) in the Federal Register and also on ETA's Internet Web site at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The FOAs provide all of the necessary information for applying for federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Selections are made based on the best rated proposals, as well as other factors cited in the FOA. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA website at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. ETA announces grant recipients once the paneling process is complete, generally 90 to 120 days after the application deadline.

Appeals:

Procedures for each project are specified in the applicable Funding Opportunity Announcement (FOA).

Renewals:

Renewals with funds are generally not available. However, with significant justification ETA may elect to exercise its option to award no-cost extensions to these grants for an additional period at its own discretion, based on the success of the program and other relevant factors.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. The requirement for match is

contingent on the activities proposed by the applicant. For detailed information about match requirements, applicants should refer to the specific Funding Opportunity Announcement (FOA).

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Most projects last three to four years. Payments to grantees are usually made by Letter of Credit draw-down procedures. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Grantees are required to submit quarterly reports within 45 days of the end of the calendar quarter regarding the status of their project. Quarterly financial reports are required within 45 days of the end of the quarter. Regional Federal Project Officers conduct performance monitoring using risk assessments, desk reviews, and on-site monitoring. Final project reports are due to ETA 45 days after the grant ends.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 16 \$321,000,000; FY 17 est \$134,000,000; and FY 18 est \$150,000,000

Range and Average of Financial Assistance:

Awards generally range from \$1,000,000 to \$5,000,000. Please review specific Funding Opportunity Announcements (FOAs) for specific details.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Budget Performance Information is available at: <https://www.dol.gov/general/aboutdol#budget>. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Provided in applicable Funding Opportunity Announcement.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Employment and Training Administration Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Megan Baird 200 Constitution Ave NW, Room C-4518, Washington, District of Columbia 20210 Email: baird.megan@dol.gov Phone: 2026932822

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are specified in the applicable Funding Opportunity Announcement (FOA).

17.270 REENTRY EMPLOYMENT OPPORTUNITIES

Reentry Employment Opportunities

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014

The Workforce Innovation and Opportunity Act (WIOA) was signed into law on July 22, 2014. It supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. This regulation has been submitted to the Office of the Federal Register (OFR) for publication, and is currently pending publication in the Federal Register. Only the version published in the Federal Register is the official regulation., Executive Order The Workforce Innovation and Opportunity Act (WIOA) was signed into law on July 22, 2014. It supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. Only the version published in the Federal Register is the official regulation., Public Law 113-128.

OBJECTIVES:

This program includes both reentry grants focused on serving returning adults and youth focused grants aimed at youth involved or at risk of involvement in crime and violence. The objectives of the adult reentry grants include increasing the employment, employment retention, and earnings rate of released individuals while also decreasing their rate of recidivism. The objectives of the youthful reentry grants include preventing in-school youth from dropping out of school, increasing the employment rate of out-of-school youth, increasing the reading and math skills of youth, reducing the involvement of youth in crime and violence, and reducing the recidivism rate of youth.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Services under the adult reentry grants include assistance in finding employment, mentoring, job training, remedial education, and other comprehensive transitional services. Services under the youth focused grants include a wide array of educational, employment, mentoring, case management, violence reduction, diversion, and record expungement services. Restrictions for specific projects are listed in grant announcements. One hundred percent of these funds are set aside for discretionary activities to be distributed almost exclusively through competitive procurements.

Applicant Eligibility:

Eligible applicants for Reentry Employment Opportunities (REO) grants are community-based organizations (CBOs) that are located in, or have a staff presence in the community being served. Eligible applicants for youth focused grants vary depending on the solicitation. Examples of possible eligible applicants include community-based organizations, school districts, state and local government juvenile justice agencies, tribal entities, and entities carrying out activities under WIOA, such as local Workforce Investment Boards or American Job Centers. Eligible applicants for adult focused grants vary depending on the solicitation.

Beneficiary Eligibility:

Reentry Employment Opportunities (REO) Adult grants serve individuals, 18 years old and older, who have been convicted as an adult and have been imprisoned for violating a state or federal law, and who have never been convicted of a sex-related offense. Depending on the solicitation, enrollment may be limited based on whether the presenting offense was violent or whether the individual has previously committed a violent crime. Eligible applicants for youth focused grants vary depending on the solicitation.

Credentials/Documentation:

Non-governmental entities ordinarily must furnish documentary evidence of adequate financial controls. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grants for projects are awarded on a competitive basis, announced in Funding Opportunity Announcements (FOAs), in Grants.gov and also on ETA's Web site at <http://www.doleta.gov>. Notices of the availability of FOAs may be published in the Federal Register. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The FOA provides all of the necessary information for applying for Federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. The general procedure is as follows. A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluate submitted and eligible applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once recommendations are made by the Grant Officer and approved by the Secretary of Labor an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 60 to 90 days. Procedures for each project are specified in the applicable request for proposals.

Renewals:

> 180 Days. ETA may provide no-cost extensions at its own discretion based on the success of the project and other relevant factors.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of performance varies by grant announcement. The possibility of additional years of funding varies by grant announcement. Payments to grantees are usually made by Letter of Credit draw-down procedures. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports are required. Depending on the solicitation, grantees also may be required to participate in DOL's Management Information System data collection system. Quarterly financial reports are required. Regional Federal Project Officers are responsible for monitoring performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Varies

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the Grant Officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504 - Project Grants.

Obligations:

(Project Grants) FY 16 \$73,000,000; FY 17 est \$79,000,000; and FY 18 est \$85,000,000

Range and Average of Financial Assistance:

The range and average of financial assistance varies by grant announcement. Adult focused grants have recently varied from \$680,000 to \$1.4 million for two years of operation. Youth offender grants have recently varied from \$800,000 to \$5 million for two years of operation.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2015: Entered Employment Rate Non-Youth: 59.5 percent
Employment Retention Rate Non-Youth: 66.5 percent
Placement Rate for Youth Ages 18 and Above: 61.2 percent
Recidivism Rate Youth Ages 17 and Below: 12.8 percent
Recidivism Rate Youth Ages 18 and Above: 8.2 percent. Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Specified in Funding Opportunity Announcements (FOA).

Regional or Local Office:

None.

Headquarters Office:

Richard G. Morris, 200 Constitution Ave, NW, Room N-4511, Washington, District of Columbia 20210 Email: morris.richard@dol.gov Phone: (202) 693-3603 Fax: (202) 693-3113.

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable request for proposals.

17.271 WORK OPPORTUNITY TAX CREDIT PROGRAM (WOTC)

WOTC

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

The WOTC Program is authorized under 51 and 3111(e) of the Internal Revenue Code (Code), as extended and amended by the Protecting Americans from Tax Hikes Act of 2015, Pub. L. No. 114-113, div. Q (the PATH Act), Title 26, Part IV, Section 51, Public Law 104-188, 26 U.S.C 51-52; Tax Increase Prevention Act of 2014 (The Act), Public Law 113-295.

OBJECTIVES:

This federal tax credit was designed to help individuals from certain target groups who consistently face significant barriers to employment move from economic dependency to self-sufficiency by encouraging businesses to hire target group members and be eligible to claim tax credits against the wages paid to the new hires during the first year of employment.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States are to use these formula grants for: accepting WOTC applications from employers; determining eligibility of individuals as members of the target groups; issuing employer certifications or denials; developing working

agreements with partner agencies in American Job Centers (also called One-Stop Career Centers) or other State agencies to verify or document eligibility of new hires, including issuing Conditional Certifications; and coordinating efforts to promote WOTC with employers, job seekers and other Workforce innovation and Opportunity Act (WIOA) partners. The Federal government provides general direction, funding, and oversight to the states. See above.

Applicant Eligibility:

States (not individuals), the District of Columbia, the Virgin Islands, and Puerto Rico.

Beneficiary Eligibility:

Beneficiaries are all employers seeking WOTC target group workers and members of those target groups seeking employment. The members of the different target groups have statutory definitions (per Public Law 104-188, as amended) with specific eligibility requirements that must be verified by the State Workforce Agencies before a new hire certification can be issued to an employer or his/her representative. Participating employers and their representatives must file their certification requests with State Workforce Agencies using IRS Form 8850 and ETA Form 9061 or 9062 within 28 days after the employment start day of the new hires.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

WOTC grant funds are provided to State Workforce Agencies (SWAs) on an annual basis. Grant amounts are determined by formula.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

As provided in funding allotment and policy guidance issued by ETA and IRS.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funding is provided by annual formula allotments. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program performance reporting by quarterly data submission is required. No cash reports are required. No progress reports are required. Quarterly financial reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503. See below.

Records:

Standard records for periodic internal audits are required. The Internal Revenue Service (IRS) also requires that the State Workforce Agencies (SWAs) keep and maintain certification records for four years, and denial records for one year in the event of an employer audit by IRS or an employer appeal to the SWAs.

Account Identification:

16-0179-0-1-999.

Obligations:

(Formula Grants) FY 16 \$18,000,000; FY 17 est \$18,000,000; and FY 18 est \$18,000,000

Range and Average of Financial Assistance:

FY 2016 grants to states ranged from \$66,000 to \$2,518,373.

TAFS Codes:

16-0179.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 51 of the Internal Revenue Code, Title 26 U.S.C. ETA Handbook No. 408, Third Edition, November 2002, as amended. The Handbook and applicable U.S. Department of Labor, Employment and Training Administration policy directives are available on the WOTC program website at www.doleta.gov/wotc.

Regional or Local Office:

See Regional Agency Offices. Contact information for regional and state WOTC coordinators is available on the WOTC program website at www.doleta.gov/wotc.

Headquarters Office:

Steven Rietzke 200 Constitution Ave. NW, Room C-4510, Washington, District of Columbia 20210-0001 Email: Rietzke.Steven@dol.gov Phone: 2026933912

Website Address:

<http://www.doleta.gov/wotc>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 93.558 Temporary Assistance for Needy Families; 96.006 Supplemental Security Income

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.272 PERMANENT LABOR CERTIFICATION FOR FOREIGN WORKERS

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Immigration and Nationality Act of 1952, as amended, Sections 101 (a) (15) H (II), 214 (c) and 212 (a) (5) (A).

Unless otherwise stipulated, recipients are subject to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule on December 26, 2013 and found at 2 CFR Part 200 along with the OMB approved exceptions for DOL at 2 CFR Part 2900 published on December 19, 2014 in the Federal Register., Public Law 82-414, 66 Stat. 163, 8 U.S.C 1101 et seq.

OBJECTIVES:

To protect the jobs of American workers; ensure that the wages and working conditions of U.S. workers will not be adversely affected by the admission of foreign workers; and assist employers by supplementing the work force with needed skills.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Employers may, upon meeting certain conditions of employment, use this assistance to seek the admission of foreign workers for positions in the United States, provided that the employment of such foreign workers will not adversely affect the wages and working conditions of similarly-employed U.S. workers.

Applicant Eligibility:

Under Section 212 (a)(5)(A) of the Immigration and Nationality Act, foreign workers who seek to immigrate to the United States for employment shall be excluded from admission unless the Secretary of Labor determines and certifies to the Secretary of State and Secretary of Homeland Security that there are not sufficient U.S. workers available for the position and that the employment of such foreign workers will not adversely affect the wages and working conditions of similarly-employed U.S. workers. The certified employer must hire the foreign worker as a full-time employee; there must be a bona fide job opening available to U.S. workers; and job requirements must adhere to what is customarily required for the occupation in the U.S. and may not be tailored to the foreign worker's qualifications. In addition, the employer shall document that the job opportunity has been and is being described without unduly restrictive job requirements, unless adequately documented as arising from business necessity. The employer must pay at least the prevailing wage for the occupation in the area of intended employment.

Beneficiary Eligibility:

Any employer who is unable to find qualified U.S. workers to meet his or her needs and seeks to hire a foreign worker to fill a given job vacancy on a permanent basis is eligible to file an application for permanent labor certification with the Department of Labor. An employer who seeks to employ a foreign worker whose category of employment is included in the Department of Labor, Schedule A list of pre-certified occupations contained in Part 656, Title 20, Code of Federal Regulations is eligible to file an application directly with the appropriate U.S. Citizenship and Immigration Services Office.

Credentials/Documentation:

Employers seeking labor certification, and thereafter filing an immigration petition to sponsor a foreign worker for employment-based permanent residency, must document that worker's education, experience, and job qualifications to the satisfaction of the U.S. Citizenship and Immigration Services. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Prior to filing the application for permanent employment certification, the employer must request a prevailing wage determination from the National Prevailing Wage Center (NPWC). When filing, the employer must attest, in addition to a number of other conditions of employment, to having conducted recruitment prior to filing the application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Employers must file labor certification applications for permanent employment directly with the Atlanta National Processing Center (except for Schedule A occupations). See <https://www.foreignlaborcert.doleta.gov/perm.cfm> for additional details.

Award Procedure:

If the Office of Foreign Labor Certification approves the application, the application form is "certified" (approved) by the Certifying Officer and returned to the employer/agent who submitted the application. The labor certification is then filed by the sponsoring employer in support of its immigrant worker petition with the United States Citizenship and Immigration Services (USCIS).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Timeframe for processing of permanent labor certification applications varies with the circumstances of each case.

Appeals:

The permanent labor certification program provides for reconsideration by a

Certifying Officer and/or administrative review by the Department of Labor's Board of Alien Labor Certification Appeals (BALCA) upon the employer's request.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Employer applications may be filed at any time. See the following for information on how assistance is awarded/released: Assistance is provided to employer applicants based on the order in which the applications are received.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Selected audits are conducted by the Department of Labor.

Records:

The employer is required to retain all supporting documentation for five years from the date of filing the Application for Permanent Employment Certification.

Account Identification:

16-0179-0-1-999.

Obligations:

(Salaries) FY 16 \$12,000,000; FY 17 est \$12,000,000; and FY 18 est \$12,000,000 - Funds for the Permanent Labor Certification Program are not appropriated separately from other foreign labor certification funds.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

16-0179.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, the Department processed 126,143 PERM applications and 133,242 Prevailing Wage Determination requests. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

References in the Code of Federal Regulations: For permanent employment-Title 20, CFR Part 656. Applicable statutes, regulations, and policies are described on the Employment and Training Administration, Office of Foreign Labor Certification website at <https://www.foreignlaborcert.doleta.gov>.

Regional or Local Office:

None. All application materials, inquiries, and other correspondence regarding the Permanent Labor Certification Program should be sent to:

Office of Foreign Labor Certification
Atlanta National Processing Center
Harris Tower
233 Peachtree Street, Suite 410
Atlanta, GA 30303

Telephone: (404) 893-0101
Fax: (404) 893-4642.

Headquarters Office:

John Ake 200 Constitution Ave., N.W., Washington, District of Columbia
20210 Email: ake.john@dol.gov Phone: (202) 513-7350

Website Address:

<http://www.foreignlaborcert.doleta.gov>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.273 Temporary Labor Certification for Foreign Workers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.273 TEMPORARY LABOR CERTIFICATION FOR FOREIGN WORKERS**FEDERAL AGENCY:**

Employment Training Administration, Department of Labor

AUTHORIZATION:

Immigration and Nationality Act of 1952, as amended, Sections 101(a)(15)(H), 212(n), 214(c) and 214(i), Section 101, 212, 214, Public Law 82-414, 66 Stat. 163, 8 U.S.C 1101 et seq; Immigration Act of 1990, Public Law 101-649, 104 Stat. 4978, 8 U.S.C 1182(n), 1184; Omnibus Insular Areas Act of 1991, Public Law 102-232, 105 Stat. 1733, 8 U.S.C 1182; Immigration Reform and Control Act of 1986, Public Law 99-603, 100 Stat. 3359, 8 U.S.C 1186.

OBJECTIVES:

To provide greater protection for U.S. and foreign workers, while assisting U.S. employers seeking to hire temporary foreign workers when no able, willing, and qualified U.S. workers are available. To ensure that adequate working and living conditions are provided for foreign and domestic workers.

TYPES OF ASSISTANCE:

Federal Employment; Formula Grants; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Employers may, upon meeting certain conditions of employment, use this assistance to seek the admission of foreign workers for positions in the United States, provided that the employment of such foreign workers will not adversely affect the wages and working conditions of similarly-employed U.S. workers.

Assistance may also be used by States and U.S. Territories to conduct required activities under the Immigration and Nationality Act in support of employer applications for foreign workers.

Applicant Eligibility:

H-2A Program: An agricultural employer who anticipates a shortage of U.S. workers needed to perform agricultural labor or services of a temporary or seasonal nature may apply to the Department of Labor under the H-2A program. The employer may be an individual proprietorship, a partnership, or a corporation. An association of agricultural producers may file as a sole employer, a joint employer with its members, or as an agent of its members. An authorized agent or attorney, whether an individual or an entity (e.g., an association), may file an application on behalf of an employer. Associations may file master applications on behalf of their members.

H-2B Program: The job and the employer's need must be one time, seasonal, peak load or intermittent; the job must be for less than one year; and there must be no qualified and willing U.S. workers available for the job. An employer must file an application for employment certification with the Chicago National Processing Center of the Employment and Training Administration. The National Prevailing Wage Center issues prevailing wage determinations to employers considering whether or not to hire foreign workers on a temporary or permanent basis.

Beneficiary Eligibility:

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Credentials/Documentation:

In the major temporary employment certification programs, employers sponsoring foreign workers to fill a temporary need file the certification from the Department of Labor as documentation in support of their respective I-129

nonimmigrant worker petitions with the Department of Homeland Security, United States Citizenship and Immigration Services (USCIS). In the H-1B1 and E-3 programs, a USCIS petition is not needed for initial visa issuance and the foreign national may use proof of a labor condition application certification in support of the visa application. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States and U.S. territories receive grant funds to support federal foreign labor certification activities. The grantees submit work plans each year to obtain continued eligibility for the grant. Employers who participate in foreign labor certification programs do not receive funding from these grants, but do benefit from the services offered by these state agencies to recruit U.S. workers for positions before they apply to hire a foreign worker. See <https://www.foreignlaborcert.doleta.gov/> for more information on specific programs.

Award Procedure:

Employers must prove they qualify for foreign labor certification before they can move to the next step of the employment-based immigration process. Certifications are sent to the employer in support of the petition to be filed with the U.S. Citizenship and Immigration Services which determines admissibility and visa classification. The employer, via the ETA Form 9142, advises as to the type of visa supported by the application. Certified copies of accepted attestations for the D-1 Crewmember program are returned to the employer and the USCIS is notified of the filing in writing.

State Workforce Agencies receive grant funds upon acceptance of their annual work plans.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

H-1B: From one to seven days.

H-2A: Statute requires an initial acceptance by ETA within seven days of filing and a final determination 30 days prior to the employer's date of need. 8 U.S.C. Section 1188(c).

Appeals:

There is no procedure for appealing Employment and Training Administration determinations to accept or reject a Labor Condition Application submitted for the H-1B, H1-B1, and E3 Programs. Complaints regarding misrepresentation in the attestation or failure of the employer to carry out the terms of the attestation may be filed with the Wage and Hour Division of the Department of Labor.

Renewals:

Treated as the filing of a new labor condition application.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Employer applications may be filed at any time.

The Employment and Training Administration also issues grants to State Workforce Agencies for activities supporting the federal labor certification programs. These grants are issued on a fiscal year basis. See the following for information on how assistance is awarded/released: Assistance is provided to employer applicants based on the order in which the applications are received. Grants are provided to State Workforce Agencies on a fiscal year basis.

Reports:

Quarterly financial and workload reports from State Workforce Agencies. Cash

reports are not applicable. Quarterly financial and workload reports from State Workforce Agencies. Quarterly financial and workload reports from State Workforce Agencies. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. States are regularly monitored for compliance with grant requirements. Employers may make a filed labor condition application and necessary supporting documentation available for public examination at the employer's principal place of business in the United States or at the place of employment within one working day after the date on which the labor condition application is filed with the Employment and Training Administration. The employer may post a hard copy notice or an electronic notice. The employer may post a completed copy of the LCA or may post all the information required in 20 CFR 655.734(a) (1) (ii). Complaints concerning misrepresentation in the attestation or failure of the employer to carry out the terms of the attestation may be filed with the Wage and Hour Division, Employment Standards Administration, Department of Labor. The Administrator, Wage and Hour Division, performs the investigative and enforcement functions detailed in 8 U.S.C. 1182(n) and subparts I and K of 29 C.F.R. Part 504.

Records:

Program-related records, including financial records. Employer shall make the Labor Condition Application (LCA) and necessary supporting documentation available for public examination at the employer's principal place of business in the United States or at the place of employment within one working day after the date on which the LCA is filed with Employment and Training Administration.

Account Identification:

16-0179-0-1-999.

Obligations:

(Salaries) FY 16 \$36,000,000; FY 17 est \$36,000,000; and FY 18 est \$36,000,000 - Congressional funding for temporary labor certification programs is not appropriated separately from funds for other federal labor certification activities. (Formula Grants) FY 16 \$14,000,000; FY 17 est \$14,000,000; and FY 18 est \$14,000,000 - Congressional funding for temporary employment certification programs is not appropriated separately from funds for other federal labor certification activities.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

16-0179.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, the Department processed 647,852 H-1B LCA applications, 8,684 H-2A applications, 7,209 H-2B applications, and 133,242 Prevailing Wage Determination requests. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Further information concerning the program may be found at 20 CFR 655. Additional information on applicable statutes, regulations, and Departmental guidance is posted on the website of the Employment and Training Administration, Office of Foreign Labor Certification at www.foreignlaborcert.doleta.gov.

Regional or Local Office:

None. All application materials, inquiries, and other correspondence regarding the Temporary Labor Certification Program should be sent to:

U.S. Department of Labor
Office of Foreign Labor Certification
Chicago National Processing Center
11 West Quincy Court

Chicago, IL 60604-2105
Phone: (312) 886-8000
Fax: (312) 353-3352

Please note, for all application materials, inquiries, and other correspondence envelopes should be clearly marked according to the appropriate program type (i.e. H-1B, H-1B1, E-3, H-2A, H-2B, or D-1).

Headquarters Office:

John Ake 200 Constitution Ave., N.W.
Box 12-200, Washington, District of Columbia 20210 Email:
ake.john@dol.gov Phone: (202) 513-7350

Website Address:

<http://www.foreignlaborcert.doleta.gov>

RELATED PROGRAMS:

17.272 Permanent Labor Certification for Foreign Workers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.274 YOUTHBUILD

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014

The Workforce Innovation and Opportunity Act (WIOA) was signed into law on July 22, 2014. It supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. This regulation has been submitted to the Office of the Federal Register (OFR) for publication, and is currently pending publication in the Federal Register. Only the version published in the Federal Register is the official regulation., Public Law 113-128; The Workforce Investment Act of 1998, Public Law 105-220.

OBJECTIVES:

The funds will be used to provide disadvantaged youth with: the education and employment skills necessary to achieve economic self sufficiency in occupations in high demand and post-secondary education and training opportunities; opportunities for meaningful work and service to their communities; and opportunities to develop employment and leadership skills and a commitment to community development among youth in low-income communities. As part of their programming, YouthBuild grantees will tap the energies and talents of disadvantaged youth to increase the supply of permanent affordable housing for homeless individuals and low-income families and to assist youth to develop the leadership, learning, and high-demand occupational skills needed to succeed in today's global economy.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funds must be used for: (A) Education and workforce investment activities including (i) work experience and skills training (coordinated, to the maximum extent feasible, with pre-apprenticeship and registered apprenticeship programs) in the activities described in subparagraphs (B) and (C) related to rehabilitation or construction, and, if approved by the Secretary, in additional in-demand industry sectors or occupations in the region in which the program operates; (ii) occupational skills training; (iii) other paid and unpaid work experiences, including internships and job shadowing; (iv) services and activities designed to meet the educational needs of participants, including (I) basic skills instruction and remedial education; (II) language instruction educational programs for participants who are English language learners; (III) secondary education services and activities, including tutoring, study skills training, and school dropout prevention and recovery activities, designed to lead to the attainment of a secondary school diploma or its recognized equivalent (including recognized certificates of attendance or similar documents for individuals with disabilities); (IV) counseling and assistance in obtaining

postsecondary education and required financial aid; and (V) alternative secondary school services; (v) counseling services and related activities, such as comprehensive guidance and counseling on drug and alcohol abuse and referral; (vi) activities designed to develop employment and leadership skills, which may include community service and peer-centered activities encouraging responsibility and other positive social behaviors, and activities related to youth policy committees that participate in decision-making related to the program; (vii) supportive services and provision of need-based stipends necessary to enable individuals to participate in the program and to assist individuals, for a period not to exceed 12 months after the completion of training, in obtaining or retaining employment, or applying for and transitioning to postsecondary education or training; and (viii) job search and assistance. (B) Supervision and training for participants in the rehabilitation or construction of housing, including residential housing for homeless individuals or low-income families, or transitional housing for homeless individuals, and, if approved by the Secretary, in additional in-demand industry sectors or occupations in the region in which the program operates. (C) Supervision and training for participants (i) in the rehabilitation or construction of community and other public facilities, except that not more than 15 percent of funds appropriated to carry out this section may be used for such supervision and training; and (ii) if approved by the Secretary, in additional in-demand industry sectors or occupations in the region in which the program operates. (D) Payment of administrative costs of the applicant, including recruitment and selection of participants, except that not more than 10 percent of the amount of assistance provided under this subsection to the grant recipient may be used for such costs. (E) Adult mentoring. (F) Provision of wages, stipends, or benefits to participants in the program. (G) Ongoing training and technical assistance that are related to developing and carrying out the program. (H) Follow-up services. Funds must be used to provide prescribed education and workforce activities for eligible youth, including construction of housing, including residential housing for homeless individuals or low-income families, or transitional housing for homeless individuals. There is a fifteen percent limitation on the use of funds for the rehabilitation or construction of community or other public facilities and a ten percent ceiling on administrative costs for grantees. Ninety-five percent of available funds are distributed through a competitive grant process and five percent of funds are reserved for a competitive technical assistance contract to support grant success.

Applicant Eligibility:

Eligible applicants for these grants are public or private nonprofit agency or organization (including a consortium of such agencies or organizations), including: a community-based organization; a faith-based organization; an entity carrying out activities under this title, such as a local board; a community action agency; a State or local housing development agency; an Indian tribe or other agency primarily serving Indians; a community development corporation; a State or local youth service or conservation corps; and any other entity eligible to provide education or employment training under a Federal program other than YouthBuild.

Beneficiary Eligibility:

Under WIOA, an eligible youth is an individual who is (i) not less than age 16 and not more than age 24 on the date of enrollment; (ii) a member of a low-income family, a youth in foster care (including youth aging out of foster care), a youth offender, a youth who is an individual with a disability, a child of incarcerated parents, or a migrant youth; and (iii) a school dropout or an individual who was a school dropout and has subsequently reenrolled. Up to (but not more than) 25 percent of the participants in the program may be youth who do not meet the education and disadvantaged criteria above but who are: (1) basic skills deficient, despite attainment of a secondary school diploma or its recognized equivalent (including recognized certificates of attendance or similar documents for individuals with disabilities); or (2) have been referred by a local secondary school for participation in a YouthBuild program leading to the attainment of a secondary school diploma.

Credentials/Documentation:

Grantees must demonstrate adequate organizational capacity to implement a YouthBuild program which includes a description of the type of educational or occupational skills credential(s) that will be gained through participating in the program. Additionally, grantees must provide evidence of the capacity to track and report required performance outcomes and must demonstrate successful

past performance with a YouthBuild grant or other similar youth-serving program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grants for projects are awarded on a competitive basis, announced in Funding Opportunity Announcements (FOAs) on ETA's Internal Web site at <http://www.doleta.gov/grants> and via www.grants.gov. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The FOA provides all of the necessary information for applying for Federal assistance.

Award Procedure:

Procedures for each project are specified in the funding opportunity announcement. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov/grants. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Procedures for each project are specified in the applicable Funding Opportunity Announcement.

Renewals:

Renewals with funds are not available. However, with significant justification, ETA may elect to exercise its option to award no-cost extensions to these grants for an additional period at its own discretion, based on the success of the program and other relevant factors.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 25%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

YouthBuild funds are awarded for a period of 3 years and 4 months, which includes four months of planning, two years of program operations and one year of follow-up services to youth. Method of awarding/releasing assistance: by letter of credit.

Reports:

The quarterly performance reports must be submitted within 45 days following the end of each Program Year quarter. Cash reports are not applicable. The quarterly narrative progress report must be submitted within 45 days following the end of each Program Year quarter. A quarterly financial report must be submitted within 45 days following the end of each Program Year quarter. Regional Offices conduct monitoring using risk assessments, enhanced desk monitoring reviews, and on-site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment, unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 16 \$82,000,000; FY 17 est \$80,000,000; and FY 18 est \$80,000,000

Range and Average of Financial Assistance:

Grants range from \$700,000 to \$1.1 million.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Placement into Employment, Post-Secondary Education or Vocational Training: 53.25% Attainment of a Degree or Certificate: 69.55% Literacy/Numeracy Gains: 61.56%. Fiscal Year 2017: Not currently available. Fiscal Year 2018: Not currently available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Provided in the applicable Funding Opportunity Announcement.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Employment and Training Administration regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Jennifer A Smith 200 Constitution Avenue, NW, Room N-4508, Washington, District of Columbia 20210 Email: smith.jenn@dol.gov Phone: (202) 693-3597 Fax: (202) 693-3110

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable Funding Opportunity Announcement.

17.276 HEALTH CARE TAX CREDIT (HCTC) NATIONAL EMERGENCY GRANTS (NEGS)

HCTC Infrastructure National Dislocated Worker Grants (NDWG) (formerly called HCTC NEGs)

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Public Law 111-5), Trade Act of 1974 (Public Law 93-618), as amended; Trade Adjustment Assistance (TAA) Reform Act of 2002 (Public Law 107-210), as reauthorized by the TAA Extension Act of 2011; as reauthorized by the TAA Reauthorization Act of 2015 (Public Law 114-27), Public Law 111-5.

OBJECTIVES:

To provide health insurance coverage assistance and support related services to eligible Trade Adjustment Assistance (TAA) recipients and eligible ATAA/RTAA recipients, as authorized under the Trade Adjustment Assistance Reauthorization Act of 2015 (TAARA 2015), title IV of the Trade Preferences Extension Act of 2015 (Public Law 114-27), which amends Subparagraph (B) of section 35(b)(1) of the Internal Revenue code of 1986.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

There is currently only one type of HCTC DWG: infrastructure. Infrastructure DWG funds can be used to help states establish the systems and procedures needed to make healthcare benefits available for eligible individuals. States may request HCTC Infrastructure DWG funds to cover administrative costs for activities related to the transmittal of records of eligible TAA and ATAA/RTAA recipients to the IRS via the Unemployment Insurance (UI) Interstate Connection Network (ICON). Upon receipt of the state workforce agency (SWAs) information, the IRS determines whether the eligible TAA and ATAA/RTAA recipients are also eligible for HCTC. NEG's funds are discretionary. States may apply for HCTC Infrastructure funds on a rolling basis. The awards will be available from October 1, 2016, through September 30, 2019. The grant period of performance will be from award (no earlier than) October 1, 2016, through December 31, 2019. States may apply for funds up to \$500,000, and if additional funds are required, states may submit a justification for consideration. States may apply for HCTC Infrastructure Dislocated Worker Grants (HCTC Infrastructure DWGs) to cover administrative costs, notifying workers, public education about the benefit, staff training, and outreach activities related to the reinstatement of the advance credit option of HCTC. NDWGs funds are discretionary.

Applicant Eligibility:

Not Applicable.

Beneficiary Eligibility:

Not Applicable.

Credentials/Documentation:

TAA certification. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications submitted requesting funding for administrative costs in response to this UIPL must contain four separate and distinct parts: (1) SF-424 Application for Federal Assistance; (2) SF-424A Budget Information Form; (3) Budget Narrative; and (4) Project Narrative. It is each states responsibility to ensure that the funding amount requested is consistent across all parts and sub-parts of the application.

A. SF-424, Application for Federal Assistance

A state must complete the SF-424, Application for Federal Assistance OMB Control No. 4040-0004

An SF-424 electronically submitted through grants.gov constitutes the official signed document. Item #11 must include the Catalog of Federal Domestic Assistance Number, (include HCTC Number here). Upon confirmation of an award, the individual signing the SF-424 on behalf of the applicant is considered the Authorized Representative of the applicant. As stated in block 21 of the SF-424 form, the signature of the Authorized Representative on the SF-424 certifies that the organization is in compliance with the Assurances and Certifications form SF-424B (available at <http://apply07.grants.gov/apply/forms/sample/SF424B-V1.1.pdf>). You do not need to submit the SF-424B with the application.

B. Project Budget

A state must complete the SF-424A Budget Information Form OMB Control No. 4040-0006.

C. Budget Narrative

The budget narrative must provide a description of costs associated with each line item on the SF-424A, demonstrating how grant funds will be used. It must also include a description of leveraged resources provided (as applicable) to support grant activities.

Note that the SF-424, SF-424A, and budget narrative must include the entire Federal grant amount requested (not just for one year). Do not show leveraged resources on the SF-424 and SF-424A. A state should describe leveraged resources in the budget narrative. The requested Federal grant amount listed on the SF-424, SF-424A, and budget narrative must be the same. The funding amount included on the SF-424 will be considered the official funding amount requested if any inconsistencies are found.

D. Project Narrative

The Project Narrative must demonstrate the states capability to implement the grant project in accordance with the provisions of this announcement. It must provide a comprehensive framework and description of all aspects of the proposed project. It must be succinct, self-explanatory, and well-organized so that reviewers can understand the proposed project. Examples of activities for which funding may be requested include (but are not limited to): 1) outreach to notify eligible individuals regarding the availability of the advance credit option of HCTC; 2) process improvements to ensure eligible TAA and ATAA/RTAA recipients are correctly identified; 3) development/implementation of information technology systems related to the requirements this advisory; and 4) HCTC staff training.

E. Reporting

Each grant recipient is required to submit a ETA 9130, Quarterly Financial Status Report (OMB Control Number 1205-0461) until such time as all funds has been expended or the grant period has expired. Quarterly reports are due 45 days after the end of each calendar year quarter. On the final Financial Status Report, grant recipient must include any sub-award amounts so that applicable final indirect costs may be calculated. The ETA 9130 report must be submitted using the Departments Online Electronic Reporting System. Specific instructions on how to use that system will be provided after award

Applications for funding under this project must be submitted through www.grants.gov. Applications will be accepted on rolling basis as long as funds remain available or until September 30, 2019. To submit the required documents, States must follow the Apply for Grants link on grants.gov, and download the links for the grant application package. States should not follow the Find Grants link, as this is not a competitive funding opportunity.

Award Procedure:

The Grant Officer issues a Notice of Obligation to the grantee providing the dollar amount, purpose of the funds and the terms and conditions of the grant, based on the information provided in the application.

Deadlines:

Oct 01, 2016 to Sep 30, 2019: Applications taken on a rolling basis within this range.

Range of Approval/Disapproval Time:

From 15 to 30 days. A decision on an initial application and monetary grant modifications such as requests for incremental funding, are made within 30 working days from receipt of a complete and responsive request.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

HCTC grants are awarded on a rolling basis. The grant period of performance is from (no earlier) than October 1, 2016, through December 29, 2019 or through expenditure of funds, whichever is earlier. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Each grant recipient is required to submit a ETA 9130, Quarterly Financial Status Report

(OMB Control Number 1205-0461) until such time as all funds has been expended or the grant period has expired. Quarterly reports are due 45 days after the end of each calendar year quarter. On the final Financial Status Report, grant recipient must include any sub-award amounts so that applicable final indirect costs may be calculated. The ETA 9130 report must be submitted using the Departments Online Electronic Reporting System. Specific instructions on how to use that system will be provided after award. Each grant recipient is required to submit a ETA 9130, Quarterly Financial Status Report (OMB Control Number 1205-0461) until such time as all funds has been expended or the grant period has expired. Quarterly reports are due 45 days after the end of each calendar year quarter. On the final Financial Status Report, grant recipient must include any sub-award amounts so that applicable final indirect costs may be calculated. The ETA 9130 report must be submitted using the Departments Online Electronic Reporting System. Specific instructions on how to use that system will be provided after award. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

State requirements are to be in conformity with Federal statutes.

Account Identification:

16-0184-0-1-504.

Obligations:

(Project Grants) FY 16 \$1,000,000; FY 17 est \$1,000,000; and FY 18 est \$1,000,000 - An estimated 10% of funds out of the total \$12,750,000 will be awarded in FY 2017.

Range and Average of Financial Assistance:

Grant amounts awarded historically FY2002/FY2004 ranged from \$12,702 - 1,000,000. The average was \$151,371.

Grant amounts awarded in FY 2016 ranged from \$45,422 - \$500,000. The average was \$217,083.

TAFS Codes:

16-0184.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Training and Employment Guidance Letter No. 5-15 Operating Instructions for Implementing the Amendments to the Trade Act of 1974 Enacted by the Trade Adjustment Assistance Reauthorization Act of 2015 (TAARA 2015)

Training and Employment Notice No. 25-15 Reinstatement of the Health Coverage Tax Credit (HCTC) for Eligible Trade Adjustment Assistance (TAA) Recipients and Alternative TAA (ATAA) and Reemployment TAA (RTAA) Recipients

Regional or Local Office:

See Regional Agency Offices. Employment and Training Administration regional offices.

Headquarters Office:

Julie S Baker Frances Perkins Building, 200 Constitution Avenue, N.W., Washington, District of Columbia 20210 Email: baker.julie.s@dol.gov Phone: 2026933707 Fax: 2026933584

Website Address:

<https://www.doleta.gov/tradeact/>

RELATED PROGRAMS:

17.245 Trade Adjustment Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.277 WIOA NATIONAL DISLOCATED WORKER GRANTS / WIA NATIONAL EMERGENCY GRANTS

National Dislocated Worker Grants (DWGs)

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014

The Workforce Innovation and Opportunity Act (WIOA) was signed into law on July 22, 2014. It supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. This regulation has been submitted to the Office of the Federal Register (OFR) for publication, and is currently pending publication in the Federal Register. Only the version published in the Federal Register is the official regulation. Title 1, Part D, Section 170, Public Law 113-128; Workforce Investment Act, Public Law 105-220.

OBJECTIVES:

The purpose of the National Dislocated Worker Grant program is to temporarily expand service capacity at the state and local levels by providing time-limited funding assistance in response to significant dislocation events. Significant events are those that create a sudden need for assistance that cannot reasonably be expected to be accommodated within the on-going operations of the formula-funded Dislocated Worker program, including the discretionary resources reserved at the state level.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible circumstances for funding include: Disasters - Both Federal Emergency Management Agency (FEMA)-declared disasters and other emergency events of national significance as designated by another Federal Agency; plant closures and mass layoffs affecting 50 or more workers; and assistance to trade impacted workers and other individuals eligible under the Trade Adjustment Assistance (TAA) Reform Act as amended; and assistance to areas of higher than average demand for services for dislocated members of the armed forces and their spouses.

Applicant Eligibility:

Entities that are generally eligible to receive a National Dislocated Worker Grant include: designated state Workforce Innovation and Opportunity Act (WIOA) program grantee agencies; a Local Workforce Investment Area; a consortium of local boards for adjoining local areas; a designated organization receiving WIOA funding through the Native American Program provisions of WIOA; and a consortium of states.

Funds can be used to provide employment and training services (including some supportive services) to eligible participants.

Beneficiary Eligibility:

Individuals who are eligible for assistance vary by type of National Dislocated Worker Grant project; however, they must meet the criteria provided in the Workforce Investment Act: National Emergency Grants - Application Procedures, 69 Federal Register (April 27, 2004).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Applications for NDWGs are submitted via the NEG Electronic Application System.

Award Procedure:

The Grant Officer issues a Notice of Obligation to the grantee providing the dollar amount, purpose of the funds, and the terms and conditions of the grant based on the information provided in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. A decision on an initial application and monetary grant modifications such as requests for incremental funding, are made within 45 working days from receipt of a complete and responsive request.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Workforce Innovation and Opportunity Act of 2014, Public Law 113-128.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applications for NDWG funds can be funded in whole or in part. Generally, NDWGs are funded incrementally. Awarded NDWG funds may be expended during the months remaining in the Program Year in which the grant award is made plus the subsequent two program years. Generally, planned project durations should not exceed 24 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. No cash reports are required. Performance Reports are submitted quarterly. Financial reports are submitted quarterly. Performance monitoring occurs on a quarterly basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

States are required to maintain adequate records in accordance with 29 CFR 95 and 97.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 16 \$167,000,000; FY 17 est \$110,000,000; and FY 18 est \$173,000,000 - Prior to July 2010 NEG grants were issued under CFDA # 17.260. Beginning in PY 2010 (July 1, 2010 to June 30, 2011) NEG grants or older grant increments began going out under 17.277.

Range and Average of Financial Assistance:

Grant amounts awarded PY2015/FY2016 ranged from \$67,000 - \$9,000,000. The average was \$2,320,778.

Grant amounts awarded PY2016/FY2017 ranged from \$500,000 - \$12,000,000. The average was \$2,559,552.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: HOLD. Fiscal Year 2017: Data not available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Workforce Investment Act: National Emergency Grants - Application Procedures (April 27, 2004) & TEGL 2-15/ Operational Guidance for National Dislocated Worker Grants, pursuant to the Workforce Innovation and Opportunity Act (WIOA or Opportunity Act)

Regional or Local Office:

See Regional Agency Offices. Contact appropriate Regional Employment and Training Office listed in the appendix of the Catalog.

Headquarters Office:

Robert Kight 200 Constitution Ave., NW, Room C-4526, Washington, District of Columbia 20210 Email: kight.robert@dol.gov Phone: 202-693-3937

Website Address:

<http://www.doleta.gov/DWGs>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.245 Trade Adjustment Assistance; 17.258 WIA Adult Program; 17.259 WIA Youth Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.278 WIOA DISLOCATED WORKER FORMULA GRANTS

Workforce Innovation and Opportunity Act (WIOA) Dislocated Worker Program

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014

The Workforce Innovation and Opportunity Act (WIOA) was signed into law on July 22, 2014. It supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. This regulation has been submitted to the Office of the Federal Register (OFR) for publication, and is currently pending publication in the Federal Register. Only the version published in the Federal Register is the official regulation., Public Law 113-128.

OBJECTIVES:

The purpose of the WIOA Dislocated Worker program is to help dislocated workers become reemployed. It provides them with job search assistance, career services, and/or training that builds their skills to meet labor market needs. Dislocated Worker services are targeted for workers who are unemployed and have lost a job through no fault of their own, or who have exhausted their Unemployment Compensation. The program's success is measured by (add new measures).

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

WIOA specifies that most services for adult and dislocated workers will be provided through the American Job Center (AJC) Network, also known as the one-stop career center system. It authorizes that funds be used to provide career services, which are classified into two categories: basic and individualized services. While some job seekers may only need self-service or other basic career services such as labor exchange services, others may need more comprehensive services, such as individualized services, which include career planning, and developing an individual employment plan outlining needs and goals of the job seeker. Participants will also receive training services linked to job opportunities in their communities. To promote customer choice and involvement in career decisions, participants use an "Individual Training Account" (ITA) to select an appropriate training program from an eligible training provider list (ETPL). WIOA also authorizes the provision of supportive services (e.g. transportation and child care assistance) to enable an individual to participate in the program. Funds must be used in accordance with the statute and regulations.

Applicant Eligibility:

Under WIOA, the entities eligible to receive formula-based funding from the Department are the 50 states, Puerto Rico, the District of Columbia and the outlying areas. Funds are allotted based on a statutory formula and states, in turn, allocate funds to local workforce development boards (approximately 600), which are responsible for operating comprehensive American Job Centers (approximately 2,400 nationwide).

Beneficiary Eligibility:

Individuals eligible for assistance through the Act are workers who have lost their jobs, including those dislocated as a result of plant closings or mass layoffs, and are unlikely to return to their previous industry or occupation; formerly self-employed individuals; and displaced homemakers who depend on income of another family member, but are no longer supported by that income. Priority of Service is given to veterans and other covered persons.

Credentials/Documentation:

Formula-funded programs are subject to an agreement between the Governor and Secretary and an approved State Plan. States sign a grant document agreeing to comply with the Act and regulations for the formula-allotted program. Cost principles apply to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Governor must submit a single WIOA Unified or Combined State Plan in accordance with planning guidance issued through the Federal Register. The planning guidance provides detailed instruction on what must be included in the State Plan, and provides a framework for collaboration across programs and integration of services, including the WIOA Title I programs and Wagner-Peyser Activities, as well as WIOA Titles II and IV programs housed with the Department of Education. The Combined State Plan includes the six "core" programs of the Unified Plan as well as other workforce development programs administered by the Department of Labor, the Department of Education, Health and Human Services, the Department of Agriculture, and the Department of Housing and Urban Development. States submit the Unified or Combined State Plan to the Federal Coordinator for Plan Review and Approval (currently Heather Fleck), Division of WIOA Adult Services and Workforce System, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4209, Washington, DC 20210.

Award Procedure:

Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. State plans will be reviewed in accordance with 20 CFR 661.220(e), which provides that the Secretary must approve all state plans within 90 days of their submission, unless the Secretary determines in writing that: (1) the state plan is inconsistent with the provisions of Title I of WIOA or the WIOA regulations, including 29 CFR Part 37; or (2) the portion of the state plan impacting the Wagner-Peyser Act plan does not satisfy the criteria for approval in section 8(d) of the Wagner-Peyser Act or the Wagner-Peyser Act regulations at 20 CFR Part 652.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Workforce Innovation and Opportunity Act of 2014, . Public Law 113-128.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Formula funds are annually allotted to states and local workforce development areas under statutory formulas based on the distribution of unemployed individuals. States and local areas have three years to obligate the funds. Funds are distributed in two portions during a program year. Method of awarding/releasing assistance: by letter of credit.

Reports:

Performance reporting requires:

1. WIOA Annual Report
2. WIOA Annual Report narrative
3. Files documenting program performance which include data of both program participants and exiters on quarterly basis, in addition to the annual reporting
4. Quarterly Financial Reports

Note: Monitoring is conducted by Regional Federal Project Officers based on a risk assessment. No cash reports are required. No progress reports are required. Quarterly financial reports are required in accordance with 20 CFR 667.300. Regional Federal Project Officers conduct monitoring using risk assessments, desk reviews, and on-site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

States are required to maintain adequate records in accordance with 29 CFR 95 and 97.

Account Identification:

16-0174-0-1-504.

Obligations:

(Formula Grants) FY 16 \$1,021,000,000; FY 17 est \$1,020,000,000; and FY 18 est \$1,017,000,000

Range and Average of Financial Assistance:

WIOA formula grants vary annually and are published in the Federal Register.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program budget is available at:

<https://www.doleta.gov/budget/>. Fiscal Year 2017: Program budget is available at:

<https://www.doleta.gov/budget/>

Program data not yet available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

WIOA Final Rule (DOL only), 20 CFR Parts 603, 651, 652 et.al., is available at: <https://www.doleta.gov/wioa/Docs/wioa-regs-labor-final-rule.pdf>

WIOA Joint Final Rule (DOL and Education), 20 CFR Part 676, 677, 678, is available at:

<https://www.doleta.gov/wioa/Docs/wioa-regs-joint-final-rule.pdf>

Regional or Local Office:

See Regional Agency Offices. Contact appropriate Regional Employment and Training Office listed in Appendix IV of the Catalog.

Headquarters Office:

Robert Kight 200 Constitution Ave., NW, Room S-4203, Washington, District of Columbia 20210 Email: kight.robert@dol.gov Phone: 202-693-3937

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.245 Trade Adjustment Assistance; 17.258 WIA Adult Program; 17.277 Workforce Investment Act (WIA) National Emergency Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.280 WIOA DISLOCATED WORKER NATIONAL RESERVE DEMONSTRATION GRANTS

Workforce Innovation and Opportunity Act (WIOA) Dislocated Worker National Reserve Demonstration Grants

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014

The Workforce Innovation and Opportunity Act (WIOA) was signed into law on July 22, 2014. It supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. This regulation has been submitted to the Office of the Federal Register (OFR) for publication, and is currently pending publication in the Federal Register. Only the version published in the Federal Register is the official regulation., Title 1, Part D, Section 169(c), Public Law 113-228.

OBJECTIVES:

To carry out demonstration and pilot projects for the purpose of developing and implementing techniques and approaches, and demonstrating the effectiveness of specialized methods, in addressing employment and training needs of Dislocated Workers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To carry out demonstration and pilot projects for the purpose of developing and implementing techniques and approaches, and demonstrating the effectiveness of specialized methods, in addressing employment and training needs of Dislocated Workers. Grants awarded for carrying out demonstration and pilot projects under this subsection shall generally be awarded on a competitive basis to non-state entities. Awards to states are provided on a non-competitive basis.

Applicant Eligibility:

Eligible applicants include: State and local governments, Federal agencies, private non-profit and for profit organizations, including faith-based and community-based organizations, and educational institutions. Note: Applicant eligibility may be restricted to one or more applicant classes under the particular announcement or solicitation.

Beneficiary Eligibility:

Project participants are dislocated workers and incumbent workers.

Credentials/Documentation:

Non-governmental entities ordinarily must furnish documentary evidence of adequate financial controls. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Grants for projects are awarded on a competitive basis, announced in Funding Opportunity Announcements (FOA) in the Federal Register and also on ETA's Internet website <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The FOA provides all of the necessary information for applying for federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable FOA. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an award notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov. If an application is rejected, a letter is sent to the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Procedures for each project are specified in the applicable FOA.

Renewals:

Project extensions available upon approval by the Grant Officer (renewals are not automatic). Requests for extensions or renewals must be submitted in writing.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Most projects last 1 to 2 years. See the following for information on how assistance is awarded/released: Reports are required for accountability purposes.

Reports:

Program reports are not applicable. Cash reports are required for accountability purposes. Quarterly progress reports on all grants awarded are a mandatory requirement of all solicitations. Monthly progress reports are required for contracts/task orders. Expenditure reports are not applicable. Performance monitoring is done by the Contracting Officer's or Grant Officer's Technical Representative in accordance with Federal Acquisition or Department of Labor Management Series Guidelines.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain books, records, documents and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorized earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 16 \$22,000,000; FY 17 est \$22,000,000; and FY 18 est \$22,000,000 - A maximum of ten percent of the WIOA Dislocated Worker National Reserve may be used for Demonstration and Pilot projects.

Range and Average of Financial Assistance:

No grants were awarded in program year 2016 for this CFDA number.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notices of FOAs are published periodically in the Federal Register on the ETA website at www.doleta.gov

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Employment and Training Administration Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Robert Kight 200 Constitution Avenue, N.W., Washington, District of Columbia 20210 Email: kight.robert@dol.gov Phone: 202-693-3937

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable FOA.

17.281 WIOA DISLOCATED WORKER NATIONAL RESERVE TECHNICAL ASSISTANCE AND TRAINING

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014

The Workforce Innovation and Opportunity Act (WIOA) was signed into law on July 22, 2014. It supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. This regulation has been submitted to the Office of the Federal Register (OFR) for publication, and is currently pending publication in the Federal Register. Only the version published in the Federal Register is the official regulation., Title 1, Part D, Section 168(b), Public Law 113-128; Workforce Investment Act, Public Law 105-220.

OBJECTIVES:

To support the coordination, development, and provision of appropriate training, technical assistance, staff development, and other activities, including assistance in replicating programs of demonstrated effectiveness to States, local areas, and other entities involved in providing assistance to dislocated workers, as well as promoting the continuous improvement of assistance provided to dislocated workers under the Workforce Innovation and Opportunity Act of 2014.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants awarded to entities other than States or local units of government that are for amounts in excess of \$100,000 shall only be awarded on a competitive basis.

Of the amounts available pursuant to WIA Section 132(a)(2), the National Reserve Account, the Secretary shall reserve not more than 5 percent of such amounts to provide technical assistance to States, local areas, and other entities involved in providing reemployment services to dislocated workers to promote the continuous improvement of assistance provided to dislocated workers under this title.

Applicant Eligibility:

Eligible applicants include: State and local governments, Federal agencies, private non-profit and for profit organizations, including faith-based and community-based organizations, and educational institutions. Note: Applicant eligibility may be restricted to one or more applicant classes under the particular announcement or solicitation.

Beneficiary Eligibility:

Funds are used to promote the continuous improvement of assistance provided to dislocated workers.

Credentials/Documentation:

Non-Governmental entities ordinarily must furnish documentary evidence of adequate financial controls. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants submit application to US Department of Labor, Employment and Training Administration.

Award Procedure:

The Grant Officer issues a Notice of Obligation to the grantee providing the dollar amount, purpose of the funds and the terms and conditions of the grant, based on information provided in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required for accountability purposes. Cash reports are required for accountability purposes. Quarterly progress reports on all grants are a mandatory requirement of all solicitations. Expenditure reports are not applicable. Performance monitoring is done by the Contracting Officer's or Grant Officer's Technical Representative in accordance with Federal Acquisition or Department of Labor Management Series Guidelines.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain books, records, documents and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final

payment unless the grant officer authorized earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 16 \$11,000,000; FY 17 est \$12,000,000; and FY 18 est \$14,000,000 - Grants and cooperative agreements. Contracts are excluded.

Range and Average of Financial Assistance:

The total grant awards/cooperative agreements for PY2016/FY2017 ranged from \$79,525 to \$3.5 million.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Robert Kight 200 Constitution Ave., NW, Room C-4526, Washington, District of Columbia 20210 Email: kight.robert@dol.gov Phone: 202-693-3937

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.282 TRADE ADJUSTMENT ASSISTANCE COMMUNITY COLLEGE AND CAREER TRAINING (TAACCCT) GRANTS**FEDERAL AGENCY:**

Employment Training Administration, Department of Labor

AUTHORIZATION:

Trade Act of 1974 as amended by the American Recovery and Reinvestment Act of 2009

Unless otherwise stipulated, recipients are subject to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule on December 26, 2013 and found at 2 CFR Part 200 along with the OMB approved exceptions for DOL at 2 CFR Part 2900 published on December 19, 2014 in the Federal Register., Public Law 111-5, 19 U.S.C 2372a(b); Health Care and Education Reconciliation Act of 2010, Public Law 111-152.

OBJECTIVES:

The TAACCCT program seeks to increase the number of workers who attain certificates, degrees, and other industry-recognized credentials, helping to meet President Obama's college graduation goal of increasing the percentage of adults with a post-secondary credential by 2020. The overarching goals of the program are to: (1) increase attainment of degrees, certifications, certificates, diplomas, and other industry-recognized credentials that match the skills needed by employers to better prepare workers eligible for training under the Trade Adjustment Assistance (TAA) for Workers Program (TAA-eligible workers) of chapter 2 of title II of the Trade Act of 1974, 19 U.S.C. 2271-2323, and other adults for high-wage, high-skill employment or re-employment in growth industry sectors; (2) introduce or replicate innovative and effective methods for designing and delivering instruction that address specific industry needs and lead to improved learning, completion, and other outcomes for TAA-eligible workers and other adults; and (3) demonstrate improved employment outcomes.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Health Care and Education Reconciliation Act includes \$2 billion over four years for the Trade Adjustment Assistance Community College and Career Training grant program. The grants awarded under this Solicitation will help eligible institutions expand and improve their ability to deliver education and career training programs that can be completed in two years or less, and are suited for workers who are eligible for training under the TAA for Workers program, and other adults. The Department of Labor is implementing this program in partnership with the Department of Education. Specific rules and requirements are published in the Solicitation for Grant Application. Approximately one hundred percent of available funds are used for discretionary activities. Grant opportunities are published in the Federal Register. Eligible institutions are institutions of higher education as defined in Section 102 of the Higher Education Act of 1965 (20 U.S.C. 1002) which offer programs that can be completed in not more than 2 years. Please refer to the Solicitation for Grant Application for specific eligibility. Eligible institutions are institutions of higher education as defined in Section 102 of the Higher Education Act of 1965 (20 U.S.C. 1002) which offer programs that can be completed in not more than 2 years. Please refer to the Solicitation for Grant Application for specific eligibility.

Applicant Eligibility:

See Uses Section Above.

Beneficiary Eligibility:

See Information Above.

Credentials/Documentation:

Grantees must demonstrate an educational capacity need in their community and the impact that the new capacity will have on training workers in that community. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Section 278 of the Trade Act of 1974 as amended by the American Recovery and Reinvestment Act of 2009 requires community outreach to employers and other entities such as the workforce system, including Trade Adjustment Assistance (TAA) programs, to identify shortcomings in existing educational and career training opportunities available to workers in the community and any future employment opportunities within the community. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on ETA's Internet Web site at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for federal assistance.

Award Procedure:

Applications were accepted during the four years of funding and are no longer being accepted, although grants already awarded continue through September 2018. Procedures for each project were specified in the applicable request for proposals. Generally, the procedure was as follows: A technical review panel composed of federal staff and peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of each application and the cumulative rating. Selections are made based on the best rated proposals, as well as other factors as cited in the SGA. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA website at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. ETA announces grant recipients once the paneling process is complete (see above).

Appeals:

Procedures for each project are specified in the applicable Solicitation for Grant Application.

Renewals:

Renewals are not available.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Most projects last anywhere from 3 to 4 years. Payments to grantees are usually made by Letter of Credit draw-down procedures. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports are required within 45 days following the end of the quarter. Quarterly financial reports are required within 45 days following the end of the quarter. Annual performance reports are required within 45 days following the end of each project year. Final progress reports are required no later than 90 days after all grant funds have been expended, or the period of grant funds availability has expired. Regional Federal Project Officers conduct monitoring using risk assessments, desk monitoring, and on-site reviews.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0187-0-1-504.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Grants ranged from about \$2.4 million to \$25 million per award. Please see Solicitation for Grant Application for details. No funding after FY 2014, although grants already awarded continue through September 2018.

Range and Average of Financial Assistance:

There are currently no new awards being made for this program.

TAFS Codes:

16-0187.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

There are currently no new awards being made for this program.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Contact the nearest Employment and Training Administration regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Cheryl Martin 200 Constitution Ave., NW, Room C-4518, Washington, District of Columbia 20210 Email: martin.cheryl1@dol.gov Phone: 202-693-3644

Website Address:

<http://www.doleta.gov/taacct/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable Solicitation for Grant Application.

17.283 WORKFORCE INNOVATION FUND

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Full Year Continuing Appropriations Act, 2011; the Consolidated and Further Continuing Appropriations Act, 2013 (P.L. 113-6) and the Consolidated Funding Act, 2014 (P.L. 113-76). Entities awarded grant funds from the Employment and Training Administration (ETA) must adhere to the Office of Management and Budgets (OMB) consolidation and publication of the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule on December 26, 2013 and found at 2 CFR Part 200 along with the OMB approved exceptions for DOL at 2 CFR Part 2900 published on December 19, 2014 in the Federal Register, Title VIII, Section 1801, Public Law 112-10.

OBJECTIVES:

The Workforce Innovation Fund funds projects that demonstrate innovative strategies or replicate effective evidence-based strategies that align and strengthen the workforce investment system in order to improve program delivery and education and employment outcomes for program beneficiaries.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds can be used for a broad range of activities. Please see the grant solicitations for specific allowable activities. Restrictions are established by law and through the grant solicitations. All of the Workforce Innovation Fund grants are discretionary. They were awarded through a competitive process.

Applicant Eligibility:

Eligible institutions are: (i) State Workforce Agencies; (ii) Local Workforce Investment Boards; (iii) entities eligible to apply for WIA Section 166 grants; (iv) consortia of State Workforce Agencies; (v) consortia of Local Workforce Investment Boards; and (vi) consortia of entities eligible to apply for WIA Section 166 grants (Tribal entities).

Beneficiary Eligibility:

The scope of potential beneficiaries under these programs can be very broad. Please review the Solicitations for Grant Application for specific requirements.

Credentials/Documentation:

Generally grantees must demonstrate an adequate organizational capacity, both fiscally and programmatically, and strong proposed plans for implementing their project. Applicants should review the Solicitations for Grant Applications for specific requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. No new applications are being accepted.

Award Procedure:

No new applications are being accepted.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

No new applications are being accepted.

Appeals:

No new applications are being accepted.

Renewals:

No new applications are being accepted.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Most projects last approximately 3 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports are required within 45 days following the end of the quarter. Quarterly financial reports are required within 45 days following the end of the quarter. Annual performance reports are required within 45 days following the end of each project year. Final progress reports are required no later than 90 days after all grant funds have been expended, or the period of grant funds availability has expired. Regional Federal Project Officers conduct monitoring using risk assessments, desk monitoring, and on-site reviews.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - No new applications are being accepted.

Range and Average of Financial Assistance:

See SGAs.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. No new applications are being accepted.

Headquarters Office:

Wendy Havenstrite 200 Constitution Ave, NW, Washington, District of Columbia 20210 Email: havenstrite.wendy@dol.gov Phone: 2026932618

Website Address:

http://www.doleta.gov/workforce_innovation/

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See SGAs.

17.285 APPRENTICESHIP USA GRANTS

Apprenticeship USA Expansion and Innovation Grants

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

National Apprenticeship Act of 1937 (Fitzgerald Act) and The FY 2016 Omnibus Budget, Public Law 075-75-308, 29 U.S.C 1-4.

OBJECTIVES:

The objectives for grant funding are three fold:

- 1) Make Registered Apprenticeship a mainstream education and career pathway option, one that can help each state, and the country as a whole, maintain its prominence in building the strongest, most adaptable, and most credentialed workforce in the world.
- 2) Support integrated, 21st Century statewide apprenticeship strategies that promote and scale apprenticeships, as well as, critical investments in State capacity to keep pace with industry demand for new programs, including apprenticeships in both traditional and non-traditional industries such as, IT, Healthcare, Advanced Manufacturing, Skilled Trades, Cybersecurity, Business Services, among others.
- 3) Develop and utilize state strategies that offer innovative approaches to significantly increase apprenticeship opportunities for all American workers, particularly underrepresented populations in apprenticeship including young people, women, minorities, veterans, including transitioning service members, and persons with disabilities to prepare for and successfully enter careers that provide long-term employment and family- sustaining wages in growing industries and occupations within the State and regional economies.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funding will be used to help states develop and implement comprehensive strategies to drive apprenticeship expansion; engage industry and other partners to expand apprenticeship to new sectors and new populations; enhance state capacity to conduct outreach and work with employers to start new programs; and expand participation in apprenticeship through state innovations, incentives and system reforms. By launching the ApprenticeshipUSA Expansion and Innovation grant initiative, the department is taking a critical first step in charting a new path forward for ApprenticeshipUSA, with States as the key facilitators.

Applicant Eligibility:

- ApprenticeshipUSA State Expansion (i.e., development of new Registered Apprenticeship Programs)
- Statewide Promotion and Outreach to engage industry and business partners in Registered Apprenticeship
- State Alignment of Registered Apprenticeship, Workforce (WIOA) , Education and Economic Development
- Increased Employer Demand through Incentives
- Job Training and supportive services
- ApprenticeshipUSA pilots and demonstrations to increase diversity in Registered Apprenticeship
- Modernized Apprenticeship Data Collection and Reporting
- Program Administration

- Program Evaluation.

Beneficiary Eligibility:

States and US Territories are the recipients of this funding.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This FOA will be available at www.Grants.gov and http://www.doleta.gov/grants/find_grants.cfm. These links will contain all of the information and links to forms needed to apply for grant funding. Applicants should note that hyperlinks to portions of the FOA are included in various parts of the Announcement. Applicants are required to comply with all parts of the FOA, including those parts found at the hyperlinks. Projects are awarded on a competitive basis.

Award Procedure:

Funding announcements will be published in the Federal Register and the Employment and Training Administration's website at <http://www.doleta.gov> or through a Training and Employment Guidance Letter. These announcements will provide all of the necessary information to apply for federal assistance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Funding announcements are projected to be made in Fall 2016.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. While matching funds are not required, leveraging resources to create program efficiencies is strongly encouraged.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

FY 2016 is the first year of funding. The period of performance is still being determined. See the following for information on how assistance is awarded/released: See applicable funding announcement.

Reports:

No program reports are required. Fiscal reports, form 9130 (quarterly basis). Any entity that receives an award under this announcement must close its grant with ETA at the end of the final year of the grant. Information about this process may be found in ETAs Grant Closeout FAQ located at <http://www.doleta.gov/grants/docs/GCFAQ.pdf>. A paperwork reduction act package will be submitted for approval of progress report and associated data elements. It is expected that grants will require reports on data of new programs and apprentices along with a narrative progress report (provided on a quarterly basis). Grantees are required to submit updates on expenditures in ETA financial form 9130. ETA will monitor state grantees. States, in turn, are required to monitor sub-grants and other partnership activities associated with the grant.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. To be determined.

Records:

For program sponsors, apprenticeship selection records are required to show compliance with nondiscrimination requirements set out in Title 29 CFR Part 30 and are to be kept for 5 years.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants (Discretionary)) FY 16 \$10,000,000; FY 17 est \$50,000,000; and FY 18 est \$0 - FY 2016 is the first year of funding. Future funding is conditional upon congressional approval.

Range and Average of Financial Assistance:

Average grant will be approximately \$1.55 million with a range of \$700,000 - \$3,000,000 depending on a combination of factors including but not limited to state size and level of commitment to innovate and expand Registered Apprenticeship in the State.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 29 CFR 29 "Apprenticeship programs, labor standards for the registration, amendment of regulations" at <http://www.doleta.gov/oa/pdf/finalrule29cfrpart29.pdf>

Title 29 CFR Part 30 "Equal Employment Opportunity in Apprenticeship and Training"

Regional or Local Office:

See Regional Agency Offices. Please find a list of ETA Office of Apprenticeship representatives at: <https://www.doleta.gov/oa/contactlist.cfm>.

Headquarters Office:

Anna J. Velez 200 Constitution Ave., N.W. (room # C5321)
, Washington, District of Columbia 20210 Email: velez.anna@dol.gov Phone: 202 693 2796

Website Address:

<http://www.dol.gov/apprenticeship>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: FY 2017 Apprenticeship USA Expansion and Innovation Grants. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.302 LONGSHORE AND HARBOR WORKERS' COMPENSATION FEDERAL AGENCY:

Office of Workers' Compensation Programs, Department of Labor

AUTHORIZATION:

Longshore and Harbor Workers' Compensation Act as extended, 43 U.S.C. 1331; Longshore and Harbor Workers' Compensation Act as extended, Public Law 92-576, 5 U.S.C. 8171., Public Law 92-576, 5 U.S.C. 8171; Longshore and Harbor Workers' Compensation Act as extended, 43 U.S.C. 1331.

OBJECTIVES:

To provide compensation for disability or death resulting from injury, including occupational disease, to eligible private employees.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

This program provides income replacement and supplement; schedule awards for the loss of sight or hearing, dismemberment, disfigurement etc., medical expenses (including hospital care); and funeral expenses up to \$3,000. Benefits are typically paid by private insurance carriers or self-insured employers.

Applicant Eligibility:

Longshore workers, harbor workers, and certain other employees engaged in maritime employment on the navigable waters of the United States and adjoining pier and dock areas, employees engaged in activities on the Outer Continental Shelf, employees of Nonappropriated Fund Instrumentalities, employees of private employers engaged in work outside the United States under contracts with the United States Government, and others as specified, including survivors of the above. Employees of private concerns in the District of Columbia and their survivors are eligible for benefits under an extension of the Act, applicable to injuries or deaths based upon employment events that occurred prior to July 26, 1982. Puerto Rico is not covered by the Longshore and Harbor Workers' Compensation Act.

Beneficiary Eligibility:

Longshore workers, harbor workers, and certain other employees engaged in maritime employment on the navigable waters of the United States and adjoining pier and dock areas, employees engaged in activities on the Outer Continental Shelf, employees of nonappropriated fund instrumentalities, employees of private employers engaged in work outside of the United States under contracts with the United States Government, and other as specified, including survivors of the above. Employees of private concerns in the District of Columbia and their survivors are eligible for benefits under an extension of the Act, applicable to injuries or deaths based upon employment events that occurred prior to July 26, 1982. Puerto Rico is not covered by the Longshore and Harbor Workers' Compensation Act.

Credentials/Documentation:

Longshore workers, harbor workers, and certain other employees engaged in maritime employment on the navigable waters of the United States and adjoining pier and dock areas, employees engaged in activities on the Outer Continental Shelf, employees of Nonappropriated Fund Instrumentalities, employees of private employers engaged in work outside the United States under contracts with the United States Government, and others as specified, including survivors of the above. Employees of private concerns in the District of Columbia and their survivors are eligible for benefits under an extension of the Act, applicable to injuries or deaths based upon employment events that occurred prior to July 26, 1982. Necessary documentation is developed when claim is filed by employee/employer or insurance carrier. The claimant may be required to submit to physical examination. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Employee or his or her survivor files written claim for compensation (Form LS-203 or LS-262) to the Office of Workers' Compensation Programs (OWCP). Employer may pay benefits voluntarily.

Award Procedure:

Authority to informally oversee the adjudication of claims under this program rests with the District Director in (OWCP) district offices. Claims unresolved on voluntary basis are referred to the Office of Administrative Law Judges for formal hearing under the Administrative Procedure Act. Claim must be filed within one year after (a) the injury or death (2 years if injury is an occupational disease which does not immediately result in death or disability), or (b) the last compensation payment. Time begins to run when prudent person should have been aware of relationship between injury or death and employment. For hearing loss claims, time does not begin to run until an audiogram together with

a report showing a hearing loss, is given claimant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Insurance carrier or self-insured employer must pay compensation after 14 days of having knowledge of an injury causing disability or death, or controvert claim.

Appeals:

Application for modification of awards must be filed within 1 year after (a) the last compensation payment, or (b) rejection of a claim by an Administrative Law Judge or District Director Office of Workers' Compensation Programs. Appeal from Administrative Law Judge's decision must be filed within 30 days; Appeals from Benefits Review Board decision must be filed within 60 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Injury: The period of total or partial disability, with no monetary limit. Death: Spouse - until death or remarriage; children - until age 18 or to age 23 if qualified as a student as defined in the Act. Employers or their insurance carriers are required to begin compensation within 14 days of (a) knowledge of the injury or death or (b) injured employee's report of loss of wages. Payments are generally disbursed on a bi-weekly basis. See the following for information on how assistance is awarded/released: Payments are generally disbursed on a bi-weekly basis.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

16-1521-0-1-601.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$119,491,000; FY 17 est \$128,714,000; and FY 18 est \$127,359,000

Range and Average of Financial Assistance:

Disability - 66-2/3 percent of average weekly wage; death benefits 50 percent average wages of deceased to such widow or widower, plus 16-2/3 percent for one or more surviving children with 66-2/3 percent limit. Average benefit unknown. Weekly compensation payments limited to between 50-200 percent of national average weekly wage.

TAFS Codes:

15-8130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Longshore and Harbor Workers' Compensation Act, as extended. Copies may be obtained from the Office of Workers' Compensation Programs, Division of Longshore and Harbor Workers' Compensation, 200 Constitution Ave. NW, Suite C-4315, Washington, DC 20210.

Regional or Local Office:

None. Persons are encouraged to communicate with the district offices of the Office of Workers' Compensation Programs (OWCP), Division of Longshore and Harbor Worker's Compensation (DLHWC). Contact information is available at the following website:
<http://www.dol.gov/owcp/dlhwc/lscntac.htm>.

Headquarters Office:

Douglas Fitzgerald Office of Workers' Compensation Programs, Division of Longshore and Harbor Workers' Compensation, 200 Constitution Avenue NW, Washington, District of Columbia 20210 Email: DLHWC-PUBLIC@dol.gov Phone: (202) 693-0038.

Website Address:

<https://www.dol.gov/owcp/dlhwc/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.307 COAL MINE WORKERS' COMPENSATION

(Black Lung)

FEDERAL AGENCY:

Office of Workers' Compensation Programs, Department of Labor

AUTHORIZATION:

Black Lung Benefits Act, as amended, 30 U.S.C 901 et seq.

OBJECTIVES:

To provide benefits to coal miners who have become totally disabled due to coal workers' pneumoconiosis (CWP), and to widows and other surviving dependents of miners who have died of this disease.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Monthly cash benefits are paid to coal miners disabled from coal workers' pneumoconiosis and to widows and other survivors of miners who have died of this disease. There are no restrictions on the use of these benefits by a beneficiary. Medical payments for treatment related to coal workers' pneumoconiosis are available to miners only after January 1, 1974.

Applicant Eligibility:

The miner (including some workers involved in coal transportation in and around mines and coal mine construction workers) must have worked in the Nation's coal mines or a coal preparation facility and become "totally disabled" (as defined in the Act) from pneumoconiosis. The applicant may be able to work in areas other than coal mines and still be eligible for benefits. Benefits to miner shall be reduced on account of excess earnings as determined under sections 203(b) through (1) of the Social Security Act for claims filed on or after January 1, 1982. Widows and other surviving dependents of coal miners whose death resulted from coal workers' pneumoconiosis are also eligible for benefits with earnings offsets applicable to certain classes of dependents. Applicants can reside anywhere in the world at the time they apply.

Beneficiary Eligibility:

Disabled coal miners, widows and other surviving dependents of the deceased.

Credentials/Documentation:

The miner (including some workers involved in coal transportation in and around mines and coal mine construction workers) must have worked in the Nation's coal mines or a coal preparation facility and become "totally disabled" (as defined in the Act) from pneumoconiosis. The applicant may be able to work in areas other than coal mines and still be eligible for benefits. Benefits to miner shall be reduced on account of excess earnings as determined under sections 203(b) through (1) of the Social Security Act for claims filed on or after January 1, 1982. Widows and other surviving dependents of coal miners whose death resulted from coal workers' pneumoconiosis or where the miner had been found to be qualified for Black Lung Benefits are also eligible for benefits with earnings offsets applicable to certain classes of dependents. Applicants can reside anywhere in the world at the time they apply. Medical tests, at Department of Labor expense, which include an x-ray, pulmonary function study, physical examination, and blood gas tests. Proof of work in or around a coal mine or coal preparation facility. Also proof of death, relationship and dependency required for widows and other survivors applying.

Identification of a responsible mine operator is made as specified in regulations published in the Code of Federal Regulations (20 CFR 725). This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Applicants are required to state on the claim form whether a claim for disability benefits due to the same condition has been filed under any State or other Federal workers' compensation law. Benefits received as a result of such a claim could be offset against Federal benefits. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Telephone, write-in, or visit the local Division of Coal Mine Workers' Compensation district or local office listed in Appendix IV of the Catalog.

Award Procedure:

After review of the application is completed, the applicant will be notified by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 2 months to 8 months for proposed decisions and orders depending on availability of supporting evidence. A miner must file a claim for benefits within 3 years after a medical determination of total disability due to pneumoconiosis or within 3 years after the date of enactment of Public Law 95-239, whichever comes later, (Black Lung Benefits Reform Act of 1977). There are no time limitations for dependent survivors to file claims.

Appeals:

Notify Office of Workers' Compensation Programs (OWCP). The appeal process includes de novo formal hearings before the Office of Administrative Law Judges, review by the Benefits Review Board, Federal courts of Appeal, and U.S. Supreme Court.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of total disability with no monetary limit. Death: Spouse - until death or remarriage; children - until age 18 or to age 23 if qualified as a student as defined by the Act unless under a disability as defined in Section 223(d) of the Social Security Act, 42 U.S.C. 423(d). Employers or their insurance carriers are required to begin compensation within 30 days of the initial determination of eligibility. See the following for information on how assistance is awarded/released: Payments are disbursed on a monthly basis.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Any change in an eligibility requirement or in a factor affecting the amount of benefits, such as, State workers' compensation awards, or change in status of a dependent, must be reported to the Office of Workers' Compensation Programs when it occurs.

Account Identification:

20-8144-0-7-601.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$275,988,029; FY 17 est \$271,215,000; and FY 18 est \$257,484,000 - These are benefits for both Parts B

& C of the program.

Range and Average of Financial Assistance:

On January 1, 2017 new monthly rates went into effect for Black Lung. The rates are as follows: PART B MONTHLY BENEFITS RATES (claims approved by the Social Security Administration - payments received around the 3rd of each month): Primary beneficiary: \$651.00, Primary beneficiary and one dependent: \$976.40, Primary beneficiary and two dependents: \$1,139.10, Primary beneficiary and three or more dependents: \$1,301.90. PART C BLACK LUNG MONTHLY BENEFIT RATES (claims approved by the Department of Labor - payments received around the 15th of each month): Primary beneficiary: \$651.00, Primary beneficiary and one dependent: \$976.40, Primary beneficiary and two dependents: \$1,139.10, Primary beneficiary and three or more dependents: \$1,301.90. Benefits rates are set accordance with Section 412(a)(1) of the Federal Coal Mine Health and Safety Act, which specifies that the rate for an individual Black Lung beneficiary is 37.5% of the base salary of a Federal employee at level GS-2, Step 1.

TAFS Codes:

15-8144.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 410.401 et seq., 718, 722, 725, and 726. "Black Lung Benefits," Fact Sheet No. ESA 91-14.

Regional or Local Office:

None. Persons are encouraged to communicate with the district offices of the Office of Workers' Compensation Programs (OWCP), Division of Coal Mine Workers' Compensation (DCMWC). Contact information is available at the following website: <http://www.dol.gov/owcp/dcmwc/blcontact.htm>.

Headquarters Office:

Michael Chance Office of Workers' Compensation Programs, Division of Coal Mine Workers' Compensation, 200 Constitution Avenue NW, Washington, District of Columbia 20210 Email: DCMWC-PUBLIC@dol.gov Phone: (202) 693-0046.

Website Address:

<https://www.dol.gov/owcp/dcmwc/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.309 LABOR ORGANIZATION REPORTS

Labor-Management Reporting and Disclosure Act (LMRDA)

FEDERAL AGENCY:

Office of Labor-Management Standards, Department of Labor

AUTHORIZATION:

Labor-Management Reporting and Disclosure Act of 1959, Public Law 86-257.

OBJECTIVES:

To provide for the reporting and disclosure of financial transactions and administrative practices of labor organizations, employers, labor consultants and others required to report under the Labor-Management Reporting and Disclosure Act (LMRDA); to provide standards for the election of union officers, trusteeships, fiduciary responsibilities of union officers, and rights of union members; and to provide investigative authority to the Secretary of Labor.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Provides union members the authority to bring civil action in the district courts

of the United States to enforce the rights and protections granted them under the Bill of Rights of Members of Labor Organizations; enables union members and employees whose rights are affected by a collective bargaining contract, to obtain or examine a copy of the agreement; enables union members to secure information on the organization, administrative practices, and financial transactions of their unions and to prevent abuses in these areas and in the administration of trusteeships imposed upon labor organizations; assures union members free and democratic officer elections through enforcement of standards and procedures with respect to such elections, and safeguards assets of labor organizations by imposing upon union officials fiduciary responsibility, enforceable in the courts, and by requiring the bonding of persons who handle union funds.

Applicant Eligibility:

Officers of unions may obtain assistance in preparing reports or otherwise complying with the Act. Union members or union organizations may request assistance in investigating alleged violations. All reports required to be filed are available for inspection and disclosure to the general public.

Beneficiary Eligibility:

Union officers, union members, union organizations. All reports required to be filed are available for inspection and disclosure to the general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Write or call the nearest Department of Labor, Office of Labor-Management Standards field office listed in Appendix IV of the Catalog.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Union members may file an election complaint with the Department of Labor within one calendar month after having exhausted internal union remedies or within one calendar month after having invoked internal union remedies without obtaining a final decision within three calendar months after invoking them.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

16-0104-0-1-505.

Obligations:

(Salaries) FY 16 \$40,593; FY 17 est \$39,332; and FY 18 est \$46,634

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

16-1635.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In fiscal year 2016, OLMS completed 245 union audits, 234 criminal investigations, and 105 investigations of union officer elections. OLMS supervised 27 union officer election reruns and conducted 14,739 participant hours of compliance assistance for union officials to administer and enforce the LMRDA. Fiscal Year 2017: In fiscal year 2017, OLMS projects conducting 204 union audits, 221 criminal investigations, and 107 investigations of union officer elections. OLMS projects supervising 27 union officer election reruns and conducting 12,000 participant hours of compliance assistance for union officials to administer and enforce the LMRDA. Fiscal Year 2018: In fiscal year 2018, OLMS projects conducting 204 union audits, 221 criminal investigations, and 107 investigations of union officer elections. OLMS projects supervising 27 union officer election reruns and conducting 12,000 participant hours of compliance assistance for union officials to administer and enforce the LMRDA.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR 401-453. 29 CFR 457-459. The Labor-Management Reporting and Disclosure Act of 1959, as amended, Rights and Responsibilities under the LMRDA; Reports Required under the LMRDA (Spanish edition also available); Bonding Requirements under LMRDA; Trusteeship Requirements under the LMRDA, Electing Union Officers (Spanish edition also available); LMRDA Regulations and Interpretative Bulletins: Election of Officers of Labor Organizations, 29 CFR 452; Standards of Conduct Regulations, 29 CFR 457-459. The above literature is available free of charge in limited quantities from the Headquarters Office listed below or field offices in Appendix IV of the Catalog. Conducting Local Union Officer Elections - a guide for election officials is available for sale by the U.S. Government Printing Office.

Regional or Local Office:

None. Persons desiring assistance should contact the nearest Department of Labor, Office of Labor-Management Standards field office listed in Appendix IV of the Catalog.

Headquarters Office:

Stephen J. Willertz, Department of Labor
Director of Field Operations, OLMS
Room N-5119
200 Constitution Avenue NW
, Washington, District of Columbia 20011 Email: willertz.stephen@dol.gov
Phone: 2026931182 Fax: 2026931343

Website Address:

<http://www.dol.gov/olms>

RELATED PROGRAMS:

17.150 Employee Benefits Security Administration

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.310 ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION

FEDERAL AGENCY:

Office of Workers' Compensation Programs, Department of Labor

AUTHORIZATION:

Energy Employees Occupational Illness Compensation Program Act of 2000, as amended, 42 U.S.C 7384 et seq.

OBJECTIVES:

Part B provides lump-sum monetary payments and medical benefits to covered employees and, where applicable, to survivors of such employees, of the Department of Energy (DOE), its predecessor agencies and certain of its vendors, contractors and subcontractors. Part B also provides lump-sum payments and medical benefits to individuals found eligible by the Department of Justice (DOJ) under section 5 of the Radiation Exposure Compensation Act (RECA) and, where applicable, to their survivors. Part E of the Act provides variable lump-sum payments (based on a worker's permanent impairment and/or calendar years of qualifying wage-loss) and medical benefits for covered DOE contractor employees and, where applicable, provides variable lump-sum payments to survivors of such employees (based on a worker's death due to a covered illness and percentage of wages lost in a given year. Part E also provides these same payments and benefits to uranium miners, millers and ore transporters covered by section 5 of RECA and, where applicable, to survivors of such employees.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

This program provides lump-sum monetary payments to employees and former employees (or their eligible survivors) as compensation for occupational illnesses under Part B, and covered illnesses under Part E; medical expenses (including hospital care). Benefits are paid from a mandatory appropriation of Federal funds.

Applicant Eligibility:

Employees and, where applicable, to survivors of such employees, of the Department of Energy (DOE), its predecessor agencies, certain of its vendors, contractors and subcontractors, and uranium miners, millers and ore transporters covered by section 5 of RECA, who are or were engaged in covered employment related to the testing or production of nuclear weapons.

Beneficiary Eligibility:

Employees and, where applicable, to survivors of such employees, of the Department of Energy (DOE), its predecessor agencies, certain of its vendors, contractors and subcontractors, and uranium miners, millers and ore transporters covered by section 5 of RECA, who are or were engaged in covered employment related to the testing or production of nuclear weapons.

Credentials/Documentation:

Necessary documentation is developed when claim is filed with the program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Employee or his or her survivor(s) files written claim for compensation (Form EE-1 or EE-2) with the local district office of the Office of Workers' Compensation Programs (OWCP), or with a Resource Center.

Award Procedure:

Authority to issue recommended decisions under this program rests with OWCP district offices. Authority to issue final decisions rests with the Final Adjudication Branch.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

From 120 to 180 days. Request for review of or hearing on a recommended decision must be made within 60 days. Request for reconsideration of a final agency decision must be filed within 30 days of issuance of the final decision.

Request to reopen claim after issuance of a final decision may be made at any time, if supported by material evidence.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Benefits are not length-based. Maximum monetary lump-sum payment under Part B is \$150,000 per covered employee; maximum monetary lump-sum payment under Part E is \$250,000 per covered employee. No limitation on payment of medical expenses. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Not applicable.

Account Identification:

16-1523-0-1-053.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$1,110,137,484; FY 17 est \$1,115,633,048; and FY 18 est \$1,060,580,654

Range and Average of Financial Assistance:

Maximum monetary lump-sum payment under Part B is \$150,000 per covered employee; maximum monetary lump-sum payment under Part E is \$250,000 per covered employee. No limitation on payment of medical expenses.

TAFS Codes:

15-1523.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Claims for Compensation Under the Energy Employees Occupational Illness Compensation Program Act, 20 CFR Part 30, are available on the Internet at <http://www.dol.gov>, or from OWCP.

Regional or Local Office:

None. None. Persons are encouraged to communicate with the district offices of the Office of Workers' Compensation Programs (OWCP), Division of Energy Employees Occupational Illness Compensation (DEEOIC). Contact information is available at the following website: <http://www.dol.gov/owcp/energy/regs/compliance/law/JurisdictionMap.htm>.

Headquarters Office:

Rachel Leiton, Office of Workers' Compensation Programs, Division of Energy Employees Occupational Illness Compensation, 200 Constitution Avenue NW, Washington, District of Columbia 20210 Email: DEEOIC-PUBLIC@dol.gov Phone: (202) 693-0081.

Website Address:

<https://www.dol.gov/owcp/energy/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**17.401 INTERNATIONAL LABOR PROGRAMS
FEDERAL AGENCY:**

Bureau of International Labor Affairs, Department of Labor

AUTHORIZATION:

Consolidated Appropriations Act, 2014, Public Law 113-76; Consolidated and Further Continuing Appropriations Act, 2015, Public Law 113-235; Consolidated Appropriations Act, 2016, Public Law 114-113; Consolidated Appropriations Act, 2017, Public Law 115-31.

OBJECTIVES:

The Bureau of International Labor Affairs (ILAB) promotes a fair global playing field for workers and businesses in the United States by enforcing trade commitments; strengthening labor standards; and combating international child labor, forced labor, and human trafficking. ILAB combines trade and labor monitoring and enforcement, policy engagement, research, and technical cooperation to carry out the international responsibilities of the Department of Labor.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

That funds available to the Bureau of International Labor Affairs may be used to administer or operate international labor activities, bilateral and multilateral technical assistance, and microfinance programs, by or through contracts, grants, subgrants and other arrangements, including programs to combat exploitative child labor internationally and model programs that address worker rights issues through technical assistance in countries with which the United States has free trade agreements or trade preference programs. 100% of funds are for discretionary activities.

Applicant Eligibility:

See www.grants.gov.

Beneficiary Eligibility:

See www.grants.gov.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. Generally, the procedure is as follows: A technical review panel composed of federal staff and peer reviewers evaluates eligible submitted applications. The panel prepares a report for the Grant Officer identifying the strengths and weaknesses of each application and the cumulative rating. Selections are made based on the best rated proposals, as well as other factors as cited in the funding opportunity announcement. Once selections are made by the Grant Officer, an Award Notification is sent to awardees. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

See www.grants.gov.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Cost sharing or matching funds

(including in-kind contributions) are not required; however, USDOL welcomes applications that include cost share or matching funds.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects generally run from 3 to 4 years. See the following for information on how assistance is awarded/released: Funds are made available through the Payment Management System operated by the Department of Health and Human Services. Funds may be drawn down as expenses are incurred or for immediate needs.

Reports:

See www.grants.gov. See www.grants.gov. See www.grants.gov. No expenditure reports are required. See www.grants.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

16-0165-0-1-505.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-0165.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Bruce Yoon 200 Constitution Ave. NW, Washington, District of Columbia 20210 Email: yoone.bruce@dol.gov Phone: 202-693-4876

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See www.grants.gov.

17.502 OCCUPATIONAL SAFETY AND HEALTH SUSAN HARWOOD TRAINING GRANTS

Susan Harwood Training Grants

FEDERAL AGENCY:

Occupational Safety and Health Administration, Department of Labor

AUTHORIZATION:

Occupational Safety and Health Act, Public Law 91-596, 29 U.S.C 670(c).

OBJECTIVES:

To provide occupational safety and health training and education to employees and employers, particularly in the recognition, avoidance and abatement of

workplace hazards.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants to nonprofit organizations to provide training or other educational services to employees and/or employers in priority areas designated by OSHA.

Applicant Eligibility:

Nonprofit organizations.

Beneficiary Eligibility:

Individuals employed in workplaces that receive training and/or educational services under grants.

Credentials/Documentation:

Applicants must show nonprofit status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application instructions are distributed by Occupational Safety and Health Administration, Directorate of Training and Education. Completed applications are returned to the Directorate of Training and Education.

Award Procedure:

Final award decisions are made by the Assistant Secretary of Labor for Occupational Safety and Health.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Grants are awarded for a minimum of 12 months.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for a minimum of 12 months. Grantees receive funds through the Department of Health and Human Services' Payment Management System. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Progress reports are required quarterly. Federal Financial Reports are required to be completed quarterly. Performance monitoring is conducted by the Regional Offices on a quarterly and annual basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-0400-0-1-554.

Obligations:

(Training) FY 16 \$10,537,000; FY 17 est \$10,537,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Fiscal Year 2016 grants ranged from \$60,000 to \$526,000.

TAFS Codes:

16-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 77 training and education grants were awarded. Fiscal Year 2017: An estimate of 77 training and education grants will be awarded. Fiscal Year 2018: An estimate of 0 training and education grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB 2 CFR 200 and DOL exceptions 2 CFR 2900.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for Regional Offices of the Occupational Safety and Health Administration.

Headquarters Office:

Donna Robertson 200 Constitution Ave NW, Washington, District of Columbia 20210 Email: Robertson.Donna@dol.gov Phone: 847-759-7769 Fax: 202-693-1696

Website Address:

<https://www.osha.gov>

RELATED PROGRAMS:

17.503 Occupational Safety and Health_State Program; 17.504 Consultation Agreements; 17.602 Mine Health and Safety Education and Training

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in the Federal Register Notice and funding opportunity announcement applications. They include program, administration and budget categories.

17.503 OCCUPATIONAL SAFETY AND HEALTH STATE PROGRAM

State Plan Grant Awards

FEDERAL AGENCY:

Occupational Safety and Health Administration, Department of Labor

AUTHORIZATION:

Occupational Safety and Health Act, Section 23(g), Public Law 91-596, 29 U.S.C 60(c).

OBJECTIVES:

To fund federally approved comprehensive State occupational safety and health programs that are "at least as effective" as the Federal program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants to States to administer and enforce State programs for occupational safety and health, limited to States having federally approved plans.

Applicant Eligibility:

Designated State agencies which have federally approved occupational safety and health plans.

Beneficiary Eligibility:

Any employer, worker or their representative from a business engaged in interstate commerce except those under jurisdiction of other Federal agencies.

Credentials/Documentation:

State agency responsible for occupational safety and health must show that its program is "at least as effective" as the Federal occupational safety and health program. This is accomplished by providing standards covering occupational safety and health issues, an enforcement program for those standards, and adequate legal authority and resources to operate the program. 2 CFR 200,

Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application instructions are distributed by the Occupational Safety and Health Administration to the approved State Plan States through OSHA's Directives System. Completed applications are returned to the National Office.

Award Procedure:

Final approval of funding requests is given annually by the Assistant Secretary of Labor for Occupational Safety and Health.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Grants to States for administering their own safety and health programs that can be renewed indefinitely, provided they continue to meet the "at least as effective" requirements. Applications for renewals are submitted to the Occupational Safety and Health Administration's National Grants Office.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%. The Federal share may not exceed 50 percent of the total cost to the State.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

State program grants are aspects of a continuing program. Grantees receive funds through the Department of Health and Human Services' Payment Management System. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required quarterly and annually. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Reports are required quarterly. Performance monitoring is conducted by the Regional Offices on a quarterly and annual basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. .

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-0400-0-1-554.

Obligations:

(Salaries) FY 16 \$100,850,000; FY 17 est \$100,850,000; and FY 18 est \$100,658,000 - Fiscal year 2016 grants ranged from \$196,000 to \$26,544,000. These awards represent approximately 50 percent of the total program cost. (Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

16-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: State inspections were 43,105. Fiscal Year 2017: State inspections are estimated at 42,371. Fiscal Year 2018: State inspections are estimated at 41,735.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Parts 1902, 1903, 1904, 1905, 1908, 1952, 1953, 1954, 1955, 1956, 2200; and 2 CFR 200 and 2 CFR 2900.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for Regional Offices of the Occupational Safety and Health Administration.

Headquarters Office:

Aleksandr Krivitskiy 200 Constitution Avenue, NW, Washington, District of Columbia 20210 Email: krivitskiy.aleks@dol.gov Phone: 202-693-2423 Fax: 202-693-1696

Website Address:

<https://www.osha.gov>

RELATED PROGRAMS:

17.502 Occupational Safety and Health_Susan Harwood Training Grants; 17.504 Consultation Agreements; 17.600 Mine Health and Safety Grants; 17.601 Mine Health and Safety Counseling and Technical Assistance; 17.602 Mine Health and Safety Education and Training; 93.262 Occupational Safety and Health Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grants may be awarded only to a State agency, designated by the Governor of a State, which has had its State Plan approved by the Assistant Secretary and which has submitted an application for a grant.

17.504 CONSULTATION AGREEMENTS

Consultation Grant Program

FEDERAL AGENCY:

Occupational Safety and Health Administration, Department of Labor

AUTHORIZATION:

Occupational Safety and Health Act, Section 21(d), Public Law 105-197, 29 U.S.C 651.

OBJECTIVES:

To fund consultative workplace safety and health services, targeting smaller employers with more hazardous operations.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative Agreements to States to provide occupational safety and health consultative services to employers.

Applicant Eligibility:

Designated State agencies which have been authorized by the Governor to enter into a Cooperative Agreement with full power to perform the obligations funded therein and to expend Federal funds as well as State funds as required.

Beneficiary Eligibility:

Any private employer operating within a State, with priority given to smaller employers with the more hazardous operations.

Credentials/Documentation:

State agency responsible for providing consultative services to employers must meet the minimum requirements of the consultation program set forth in 29 CFR 1908. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application instructions are distributed to the States by the Occupational Safety and Health Administration (OSHA) to the designated State agencies through OSHA's Directives System. Completed applications are returned to OSHA's National Office.

Award Procedure:

Final approval of funding requests is given annually by the Assistant Secretary of Labor for Occupational Safety and Health.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Funding to States for administering their consultation program can be renewed indefinitely, provided that State consultation projects continue to meet the requirements of the program. Applications for renewals are submitted to the Occupational Safety and Health Administration's National Grants Office.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 10%. The Federal share may not exceed 90 percent of the total cost to the recipient.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Consultation Cooperative Agreements are aspects of a continuing program. Grantees receive funds through the Department of Health and Human Services' Payment Management System. Method of awarding/releasing assistance: lump sum.

Reports:

Program Reports are required annually. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Reports are required and submitted on a quarterly basis. Performance Monitoring is conducted.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-0400-0-1-554.

Obligations:

(Salaries) FY 16 \$57,775,000; FY 17 est \$59,500,000; and FY 18 est \$57,665,000

Range and Average of Financial Assistance:

Fiscal year 2016 grants ranged from \$196,000 to \$5,453,000. These awards represent approximately 90 percent of total program costs.

TAFS Codes:

16-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: State consultation visits were 27,927. Fiscal Year 2017: State consultation visits are estimated at 27,420. Fiscal Year 2018: State consultation visits are estimated at 27,920.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Parts 200, and 2900; and 29 CFR Part 1908.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for regional offices of the Occupational Safety and Health Administration.

Headquarters Office:

Aleksandr Krivitskiy 200 Constitution Avenue, NW, Washington, District of Columbia 20210 Email: krivitskiy.aleks@dol.gov Phone: 202-693-2423 Fax: 202-693-1696

Website Address:

<https://www.osha.gov>

RELATED PROGRAMS:

17.502 Occupational Safety and Health_Susan Harwood Training Grants; 17.503 Occupational Safety and Health_State Program; 17.600 Mine Health and Safety Grants; 17.601 Mine Health and Safety Counseling and Technical Assistance; 17.602 Mine Health and Safety Education and Training; 93.262 Occupational Safety and Health Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Agreements may be awarded only to a State agency, designated by the Governor of a State, which has submitted an application for funding.

17.600 MINE HEALTH AND SAFETY GRANTS

FEDERAL AGENCY:

Mine Safety and Health Administration, Department of Labor

AUTHORIZATION:

Section 503 of the Federal Mine Safety and Health Amendments Act of 1977, 30 U.S.C. 953, Public Law 095-164.

OBJECTIVES:

To assist States in providing safety and health training and develop programs to improve mine health and safety conditions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Provides grants (1) To assist States in developing and enforcing effective coal or other mine health and safety laws and regulations consistent with the provisions of Section 503 of the Federal Mine Safety and Health Act of 1977; (2) to improve State workers' compensation and occupational disease laws and programs related to coal or other mine employment; and (3) to provide Federal-State coordination and cooperation in improving the health and safety conditions in coal or other mines.

Applicant Eligibility:

Any mining State of the United States.

Beneficiary Eligibility:

States.

Credentials/Documentation:

In addition to items as stated in Solicitation for Grant Application (SGA) from Mine Safety and Health Administration (MSHA), State mine inspection or safety agency has or will employ an adequate and competent staff of qualified trainers to provide health and safety training for miners and trained inspectors qualified under the laws of the State to make mine inspections within the State. 2 CFR Part 225 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application will be submitted through the www.grants.gov website

Award Procedure:

Notification will be made by the Office responsible for monitoring performance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Within 3 months.

Appeals:

From 15 to 30 days. Within 30 days from the final decision date, an appeal may be filed with the U.S. Court of Appeals for the District of Columbia.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Public Law 30 U.S.C. Section 953 (h).

Matching Requirements: Percent: 20%. A maximum of 80 percent of the amount expended by any mining State for a fiscal year is paid from Federal funds and at least 20 percent are paid by the Applicant State.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length of assistance is on a fiscal year basis or a portion thereof. Time phasing of assistance is on a cost reimbursable basis, normally quarterly. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly reports, as required by MSHA, shall be furnished to the Assistant Secretary of Labor for Mine Safety and Health stating work accomplished, benefits derived, project status. No cash reports are required. No progress reports are required. Expenditure reports must show quarterly obligations listing description of expenditures and amounts. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records relating to each grant shall be retained and made available until the expiration of 3 years after the filing of the grantee's final expenditure report for the period.

Account Identification:

16-1200-0-1-554.

Obligations:

(Project Grants) FY 16 \$8,441,000; FY 17 est \$10,537,000; and FY 18 est \$8,441,000

Range and Average of Financial Assistance:

From \$25,000 to \$528,394. Average: \$175,854.

TAFS Codes:

16-1200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: ALABAMA - \$199,932; ALASKA - \$95,650; ARIZONA - \$293,879; ARKANSAS - \$105,621; CALIFORNIA - \$287,950; COLORADO - \$206,603; CONNECTICUT - \$57,467; FLORIDA - \$127,069; GEORGIA - \$153,671; IDAHO - \$108,845; ILLINOIS - \$210,021; INDIANA - \$201,931; IOWA - \$146,957; KANSAS - \$92,956; KENTUCKY - \$508,207; LOUISIANA - \$82,629; MAINE - \$80,285; MARYLAND - \$53,884; MASSACHUSETTS - \$68,649; MICHIGAN - \$196,142; MINNESOTA -

\$289,468; MISSISSIPPI - \$46,426; MISSOURI - \$203,446; MONTANA - \$150,000; NAVAJO NATION - \$25,000; NEBRASKA - \$67,580; NEVADA - \$278,998; NEW HAMPSHIRE - \$49,875; NEW JERSEY \$42,905; NEW MEXICO - \$153,084; NEW YORK - \$250,993; NORTH CAROLINA - \$139,849; NORTH DAKOTA - \$98,272; OHIO - \$229,415; OKLAHOMA - \$123,977; OREGON - \$110,616; PENNSYLVANIA - \$528,394; SOUTH CAROLINA - \$57,207; SOUTH DAKOTA - \$69,765; TENNESSEE - \$148,474; TEXAS - \$466,821; UTAH - \$181,777; VERMONT - \$75,279; VIRGINIA - \$227,574; WASHINGTON - \$133,536; WEST VIRGINIA - \$524,378; WISCONSIN - \$251,295; WYOMING - \$238,248. Fiscal Year 2017: ALABAMA - \$199,932; ALASKA - \$95,650; ARIZONA - \$293,879; ARKANSAS - \$105,621; CALIFORNIA - \$287,950; COLORADO - \$206,603; CONNECTICUT - \$57,467; FLORIDA - \$127,069; GEORGIA - \$153,671; IDAHO - \$108,845; ILLINOIS - \$210,021; INDIANA - \$201,931; IOWA - \$146,957; KANSAS - \$92,956; KENTUCKY - \$508,207; LOUISIANA - \$82,629; MAINE - \$80,285; MARYLAND - \$53,884; MASSACHUSETTS - \$68,649; MICHIGAN - \$196,142; MINNESOTA - \$289,468; MISSISSIPPI - \$46,426; MISSOURI - \$203,446; MONTANA - \$150,000; NAVAJO NATION - \$25,000; NEBRASKA - \$67,580; NEVADA - \$278,998; NEW HAMPSHIRE - \$49,875; NEW JERSEY \$42,905; NEW MEXICO - \$153,084; NEW YORK - \$250,993; NORTH CAROLINA - \$139,849; NORTH DAKOTA - \$98,272; OHIO - \$229,415; OKLAHOMA - \$123,977; OREGON - \$110,616; PENNSYLVANIA - \$528,394; SOUTH CAROLINA - \$57,207; SOUTH DAKOTA - \$69,765; TENNESSEE - \$148,474; TEXAS - \$466,821; UTAH - \$181,777; VERMONT - \$75,279; VIRGINIA - \$227,574; WASHINGTON - \$133,536; WEST VIRGINIA - \$524,378; WISCONSIN - \$251,295; WYOMING - \$238,248. Fiscal Year 2018: Unknown.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Part 97.

Regional or Local Office:

None.

Headquarters Office:

Jeffrey A. Duncan 201 12th Street South, Arlington, Virginia 22202 Phone: (202) 693-9570

Website Address:

<http://www.msha.gov>

RELATED PROGRAMS:

17.601 Mine Health and Safety Counseling and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: N/A. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Responses related to the following proposal criteria enable the applicant to show that the proposed grant is consistent with the purposes of the Act: (a) Programs, policies, and methods to be followed in administering the grant, (b) designation of the State mining agency as the sole agency with authority and responsibility for administering the grant throughout the State, (c) assurances that such agency has an adequate and competent staff of trained and qualified inspectors, (d) use of the grant will extend and improve mine health and safety in the State while providing for no advance notice of an inspection, (e) assurances that grant will supplement not supplant existing State mine health and safety programs, (f) period over which program will be pursued, (g) financial plan, (h) fiscal control and accounting procedures, (i) name and title of person who will direct the program, (j) approximate number of qualified personnel who will work on the program, (k) location or locations where program will be pursued, (l) assurance that matching funds from nonfederal sources will be forthcoming, (m) indication of whether the programs or any part have been or will be submitted to other organizations for the purpose of obtaining a grant, and (n) agreement that the official State mine agency shall make required reports.

17.601 MINE HEALTH AND SAFETY COUNSELING AND TECHNICAL ASSISTANCE

FEDERAL AGENCY:

Mine Safety and Health Administration, Department of Labor

AUTHORIZATION:

Federal Mine Safety and Health Amendments Act of 1977, Public Law 095-164.

OBJECTIVES:

To improve conditions of health and safety in and around coal, metal and nonmetallic mines and mineral processing facilities through technical advice, special studies, investigations, and development of mine health and safety programs.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Assistance can be provided to establish or improve mine health and safety programs.

Applicant Eligibility:

Applicants should be authorized by their individual organization to request assistance, and should work cooperatively with mine management to facilitate communications with MSHA representatives.

Beneficiary Eligibility:

Representatives of state and local government agencies, professional and labor organizations, and mine operators.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Requests for assistance or information may be submitted in the form of a letter to the Mine Safety and Health Administration.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
None.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

16-1200-0-1-554.

Obligations:

(Salaries) FY 16 \$17,281,000; FY 17 est \$17,176,000; and FY 18 est \$17,176,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

16-1200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Technical Support will continue initiatives that prevent and further reduce mine accidents and disasters by providing engineering and scientific expertise to mine inspectors, state agencies, and industry stakeholders. Activities include equipment testing and approvals, engineering and scientific evaluation of health and safety hazards, laboratory support, environmental sampling, accident investigations, mine rescue team training, air and dust sampling. Fiscal Year 2017: Technical Support will continue initiatives that prevent and further reduce mine accidents and disasters by providing engineering and scientific expertise to mine inspectors, state agencies, and industry stakeholders. Activities include equipment testing and approvals, engineering and scientific evaluation of health and safety hazards, laboratory support, environmental sampling, accident investigations, mine rescue team training, air and dust sampling. Fiscal Year 2018: Technical Support will continue initiatives that prevent and further reduce mine accidents and disasters by providing engineering and scientific expertise to mine inspectors, state agencies, and industry stakeholders. Activities include equipment testing and approvals, engineering and scientific evaluation of health and safety hazards, laboratory support, environmental sampling, accident investigations, mine rescue team training, air and dust sampling.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 30, Mineral Resources (Code of Federal Regulations); Federal Mine Safety and Health Act of 1977; 2006 Mine Improvement and New Emergency Response (MINER) Act; Bureau of Mines/NIOSH Information Circulars; Bureau of Mines/NIOSH Reports of Investigations; MSHA Informational Reports.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a list of the addresses of Mine Safety and Health Administration Field Offices. Information on health and safety programs, inspections, and enforcement should be sought from Coal Mine Safety and Health or Metal and Nonmetal Mine Safety and Health District Offices listed in Appendix IV of the Catalog, or the Headquarters Office. Technical information can be sought from the same sources or from the Technical Support Centers.

Headquarters Office:

Office of the Director, Technical Support 201 12th Street South

4W210, Arlington, Virginia 22202 Phone: (202) 693-9470

Website Address:

<http://www.msha.gov>

RELATED PROGRAMS:

17.600 Mine Health and Safety Grants; 17.602 Mine Health and Safety Education and Training; 17.603 Brookwood-Sago Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**17.602 MINE HEALTH AND SAFETY EDUCATION AND TRAINING
FEDERAL AGENCY:**

Mine Safety and Health Administration, Department of Labor

AUTHORIZATION:

Federal Mine Safety and Health Amendments Act of 1977, Public Law 095-164.

OBJECTIVES:

To provide technical training for Federal Mine Inspectors and representatives of

the mining industry.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

Provide initial and advanced mine safety and health training for Federal Mine Inspectors. This technical training is available to mine operators, miners, states, and labor organizations. Assistance is also provided in the form of written and audio visual training materials. These materials deal with mining safety and health technical materials.

Applicant Eligibility:

Any mine operator, miner or their agent can request training or training materials.

Beneficiary Eligibility:

Mine operators, miners or their agent, organizations and individuals.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may make inquiries by telephone or written request.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
None.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

16-1200-0-1-554.

Obligations:

(Salaries) FY 16 \$13,100,000; FY 17 est \$13,000,000; and FY 18 est \$13,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

16-1200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Training was provided through 1,322 course days for Federal and other employees and 124 course days for the mining industry, as well as distributing approximately 2,500,000 mine safety publications. Fiscal Year 2017: Training will be provided through approximately 1,000 course days for Federal and other employees and 125 course days for the mining industry, as well as distributing approximately 2,500,000 mine safety publications. Fiscal Year 2018: Training will be provided through approximately 1,000 course days for Federal and other employees and 100 course days for the mining industry, as well as distributing approximately 2,500,000 mine safety publications.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for listing of Mine Safety and Health Administration Offices.

Headquarters Office:

Jeffrey A. Duncan, 201 12th Street South, Arlington, Virginia 22202 Phone: (202) 693-9570.

Website Address:

<http://www.msha.gov>

RELATED PROGRAMS:

17.600 Mine Health and Safety Grants; 17.603 Brookwood-Sago Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.603 BROOKWOOD-SAGO GRANT

FEDERAL AGENCY:

Mine Safety and Health Administration, Department of Labor

AUTHORIZATION:

Brookwood-Sago Mine Safety Grants, 30 U.S.C 965; Brookwood-Sago Mine Safety Grants, 30 U.S.C 965; Mine Improvement and New Emergency Response Act of 2006 (MINER Act), Public Law 109-236. Section 14, Public Law 109-236.

OBJECTIVES:

To provide mine safety and health training and education programs for workers and mine operators, to better identify, avoid, and prevent unsafe working conditions in and around mines. To establish and implement education and training programs, or to develop training materials for employers and miners, concerning safety and health topics in mines, as determined appropriate by Mine Safety and Health Administration (MSHA).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Provides grants to states, public, and private nonprofit organizations to provide training and other educational programs or develop training materials for employers and miners concerning safety and health topics in priority areas designated by MSHA.

Applicant Eligibility:

Any mining State of the United States, nonprofit public and private organizations.

Beneficiary Eligibility:

Mine operators and any organization employing miners or creating training materials.

Credentials/Documentation:

Any credential or documentation requirements are detailed in each solicitation for grant applications. See the Federal Register for solicitation for grant applications. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedures are detailed in each solicitation for grant applications. See the Federal Register for each solicitation for grant applications.

Award Procedure:

Competitive grant applications, submitted in response to a solicitation for grant application, will be reviewed by a panel for consistency with the solicitation for grant application requirements, and are subject to availability of funds and performance achievement. Funding decisions are at the discretion of the Assistant Secretary.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Timeframes are detailed in each solicitation for grant applications. See the Federal Register for solicitation for grant applications.

Appeals:

None.

Renewals:

Renewal and option year availability is detailed in each solicitation for grant applications. All awards are based on single-year budget authority. Renewal and option year funding, when provided, is subject to availability of funds and performance achievement. See the Federal Register for solicitation for grant applications.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length of time and phasing of assistance are detailed in each solicitation for grant applications. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly Program Reports. No cash reports are required. No progress reports are required. Quarterly Program Reports. No cash reports are required. Grantees are required by Departmental regulations to submit financial and project reports on a quarterly basis

(a) Financial Reports.

All financial reports are due no later than 30 days after the end of the quarter and shall be submitted to MSHA electronically. Grantees will be contacted with instructions on how to submit reports.

(b) Technical Project Reports.

After signing the agreement, the grantee shall submit technical project reports to MSHA no later than 30 days after the end of each quarter. Technical project reports provide both quantitative and qualitative information and a narrative assessment of performance for the preceding three-month period. See 29 CFR 95.51 and 29 CFR 97.40. This should include the current grant progress against the overall grant goals as provided in Part IV.B.3. Between reporting dates, the grantee shall immediately inform MSHA of significant developments or problems affecting the organizations ability to accomplish the work. See 29 CFR 95.51(f) and 29 CFR 97.40(d).

(c) Final Reports.

At the end of each 12-month performance period, each grantee must provide a final financial report, a summary of its technical project reports, and an evaluation report. These final reports are due no later than 90 days after the end of the 12-month performance period. No performance monitoring is required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-1200-0-1-554.

Obligations:

(Project Grants) FY 16 \$100,000; FY 17 est \$250,000; and FY 18 est \$100,000

Range and Average of Financial Assistance:

At a minimum, \$50,000, depending on the project needs as detailed in the solicitation for grant application and availability of funds.

TAFS Codes:

16-1200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Mine Safety and Health Act of 1977, Public Law 91-173 as amended by Public Law 95-164.

Regional or Local Office:

None.

Headquarters Office:

Janice Oates 201 12th Street South, Arlington, Virginia 22202 Phone: (202) 693-9570

Website Address:

<http://www.msha.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in the Federal Register Notice soliciting applications.

17.604 SAFETY AND HEALTH GRANTS

FEDERAL AGENCY:

Mine Safety and Health Administration, Department of Labor

AUTHORIZATION:

Federal Mine Safety and Health Amendments Act of 1977 (Mine Act), Public Law 095-164.

OBJECTIVES:

To provide mine safety and health training and education to miners, mine operators, and other individuals who may work at a mine; and to develop training and other programs (to include research and equipment development) to improve health and safety conditions at mines.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are restricted to the use, services, mine type, or any other priority that MSHA has designated or is mandated by its legislation.

Applicant Eligibility:

Any mining State of the United States, non-profit public and private organizations, commercial entity, or the legislatively mandated entity.

Beneficiary Eligibility:

Individuals employed in workplaces covered by the Mine Act, as amended by Miner Act that receive training and/or educational services and owners and employers covered by the Mine Act receiving the benefits of the health and safety project under these grants.

Credentials/Documentation:

All applicants must produce the required documents for the grant, including any expertise requested. All applicants that are non-profits must show non-profit status. If MSHA issues a Funding Opportunity Announcement (FOA), applicants must produce all items as requested in the FOA. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application instructions are distributed by MSHA. The standard application forms furnished by the Federal agency and required by the applicable OMB Circular must be used for this program. Completed applications are returned to MSHA as noted in the application.

Award Procedure:

Final award decisions are made by the Assistant Secretary. Notification will be made by the Office responsible for monitoring performance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 3 to 5 months.

Appeals:

None.

Renewals:

Primarily, grants are awarded for 12 months and may be renewed for an additional 12 months. In certain circumstances, MSHA may award grants with variable up to a 5-year period of performance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for 12 months. Grantees receive funds through the Department of Labor, Mine Safety and Health Administration, Educational Policy and Development. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required quarterly. No cash reports are required. No progress reports are required. Fiscal reports are required quarterly. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-1200-0-1-554.

Obligations:

(Cooperative Agreements) FY 16 \$125,000; FY 17 est \$125,000; and FY 18 est \$125,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

16-1200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Parts 31, 32, 33, 35, 36, 93, 94, 95, 96, 97, 98, and 99; OMB Circulars A-21, A-110 and A-122.

Regional or Local Office:

None.

Headquarters Office:

Jeffrey A. Duncan, 201 12th Street South, Arlington, Virginia 22202 Phone: (202) 693-9570.

Website Address:

<http://www.msha.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in the Federal Register Notice soliciting applications, if applicable.

17.700 WOMEN'S BUREAU

Women's Bureau

FEDERAL AGENCY:

Office of the Secretary, Women's Bureau, Department of Labor

AUTHORIZATION:

Public Law 66-259; 29 U.S.C. 11-16.

OBJECTIVES:

The Womens Bureau was created by law in 1920 to formulate standards and policies to promote the welfare of wage-earning women, improve their working conditions, increase their efficiency, and advance their opportunities for profitable employment.

The Womens Bureau establishes and maintains linkage with national, community and faith-based organizations, business and industry, trade unions, research foundations, academic, and Federal, State, and local government agencies in an effort to develop cooperative projects that address the employment and supportive service needs of women.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Technical information and referrals are provided to interested constituents. This information includes program models and advisory services that increase opportunities for women to plan for their economic and retirement security, identify training and educational programs designed to increase employment and earning opportunities, and support the establishment of programs that encourage a balance between home and work-life through flexible policies and programs. The Women's Bureau does not provide financial assistance to individuals.

Applicant Eligibility:

Any individual or group located in the United States or its territories may benefit from Women's Bureau projects and/or programs. The Women's Bureau does not provide financial assistance to individuals.

Beneficiary Eligibility:

Any individual or group (especially women or women's organizations) located in the United States or its territories may benefit from Women's Bureau projects and/or programs. The Women's Bureau does not provide financial assistance to individuals.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Requests for information or technical assistance may be made to the appropriate Department of Labor, Women's Bureau regional office listed in Appendix IV of the Catalog, or to the Headquarters Office listed under the Information Contacts section of this program. The Women's Bureau does not provide financial assistance to individuals.

Award Procedure:

Not applicable. The Women's Bureau does not provide financial assistance to individuals.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not applicable. The Women's Bureau does not provide financial assistance to individuals. See the following for information on how assistance is awarded/released: Technical assistance is provided to constituents via phone, e-mail and other written communication. The Women's Bureau does not provide financial assistance to individuals.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

16-0165-0-1-505.

Obligations:

(Salaries) FY 16 \$11,529,084; FY 17 est \$11,330,000; and FY 18 est \$2,925,000

Range and Average of Financial Assistance:

Not applicable. The Women's Bureau does not provide financial assistance to individuals.

TAFS Codes:

12-0165.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, the Women's Bureau (WB) worked to maintain and develop projects in response to the demands and challenges of the 21st century workforce. The Bureau continued efforts in support of its mission to develop policies and standards and conduct inquiries to safeguard the interests of working women, advocate for their equality and economic security for themselves and their families, and promote quality work environments. The Bureau's mission is as critical today as when the agency was established in 1920, because women now comprise almost half of the Nation's workforce, and their contributions are vital to our country's economic prosperity.

The Bureau continued its role as an advocate for women in the labor force and a vital source of information about issues affecting working women, educating individuals and organizations at the local, state, and national levels. It also

worked promoting policy and programmatic changes aimed at increasing equal economic and employment opportunity for working women and their families. Specifically, the Bureau focused its efforts in support of the Secretary's vision of Promoting and Protecting Opportunity related to two strategies: Advancing Policies that Support Women and Working Families in the 21st Century Economy; and Promoting New Opportunities for Women's Advancement and Earning Power.

ADVANCING POLICIES THAT SUPPORT WOMEN AND WORKING FAMILIES IN THE 21ST CENTURY ECONOMY

In FY 2016, the Women's Bureau continued its collaboration with DOL's Employment and Training Administration to administer a paid leave grant program. Approximately \$1.25 million in grant funds were made available for the 2015 grants to support research and analysis needed to explore, develop, implement and/or improve paid family and medical leave programs at the State and municipal levels, as well as in U.S. Territories and Possessions and federally recognized Indian/Native American Tribes.

The Bureau also continued its collaboration with DOL agencies on advancing the latest research and further study on paid leave benefits and costs and conducting outreach on paid leave. Building on the White House Summit on Working Families action plan, the Bureau continued to advance policy initiatives targeting industries with unique challenges to implementation of flexible workplace practices, including those industries that employ minimum wage and lower-paid workers whose families may depend on reliable employment of women earners.

In support of pregnant women and nursing mothers, the WB published a user-friendly and easy to understand guide for employers and employees on the benefits of providing reasonable accommodations to pregnancy and nursing workers. The guide addresses gaps in Federal law and provides an overview of relevant state laws that could serve as models for policy makers.

The Bureau also conducted research on the economic impact of child, elder, and disabled informal caregiving on women in the short-term and long-term and the policy implications of those effects in terms of women's economic security, including employment, income, savings, retirement, and poverty rates. Research was also conducted to gain a better understanding of the needs and challenges workers face in their efforts to secure safe, quality, affordable care for their children while in training programs. Special emphasis for the research included those pursuing training in the federal workforce development system and the information gathered will be used to inform new grant initiatives.

PROMOTING NEW OPPORTUNITIES FOR WOMEN'S ADVANCEMENT AND EARNING POWER

-- In FY 2016, the Women's Bureau continued its work in collaboration with the National Equal Pay Task Force, working to advance equal pay for women by reducing occupational segregation as a barrier to equitable compensation. The Bureau focused on increasing opportunities for women in non-traditional careers as one approach to reducing pay inequities between men and women and also worked to increase women's presence in-demand occupations that offer increased earnings potential for women.

Another area of research in FY16 reflected the Bureau's efforts around the barriers that immigrant and refugee women workers face in moving out of low-wage jobs and into jobs in nontraditional occupations and emerging industry sectors that pay well above minimum wage. The Bureau's research resulted in the development of a fact sheet that updated data on immigrant and refugee women workers; strategies for improving their upward mobility in the job market; and a statistical profile, including demographic, geographic, and employment characteristics of the population.

OUTREACH EFFORTS

-- The Bureau continued to build on and increase its work with state and local governments and organizations, the women's and civil rights advocacy community, and labor union leaders to share model practices and to continue to raise women's awareness of, and increase education on issues of importance to working women and their families. In addition to producing and updating publications, the Bureau worked to update and improve website functionality and resources.

In FY16, the WB updated its Equal Pay and Pay Secrecy publications and the related interactive website maps. The Bureau also released its first e-book on Amazon.com, a long-awaited update of its womens employment rights guide. The publication includes information on employees right to equal employment opportunity (e.g., equal pay, age discrimination, disability and reasonable accommodation); right to be free of hostile work environments; right to family and medical leave; right to a safe and healthy work environment; right to fair labor standards (e.g., minimum wage and overtime); right to organize; and to receive injury, illness, or disability benefits; etc.

The Bureau continued to expand its Clearinghouse website, which houses Department of Labor resources, tools, and publications of interest to women, including resources on working women from non-federal sources. Resources include reports, papers, policies, fact sheets, case studies, and discussion guides, as well as interactive career exploration activities for women; a list of non-profit organizations publishing and interested womens employment issues; and links to resources on topics such as career development; compensation and wages; access to and preparation for better jobs; workplace discrimination; workplace practices and supports; workplace safety and violence; retirement planning; education and training programs; and womens rights in the workplace. The Bureau also promoted its new NTO website: Women Protect, Build and Move America, which focuses on best practices in law-enforcement, construction and transportation careers. Specifically, the website offers a repository of information for women job-seekers and service providers, including occupational data and statistics, relevant organizations, associations and advocacy groups, promising practices and success stories, and support service resource and referral information. These resources are key outreach tools that benefit practitioners, policymakers, researchers, the media and the general public. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Department of Labor, Women's Bureau regional office in Appendix IV of the Catalog listed under the Office of the Secretary.

Headquarters Office:

Women's Bureau 200 Constitution Avenue, NW Room S3002, Washington, District of Columbia 20210 Phone: (202) 693-6710.

Website Address:

<http://www.dol.gov/wb/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.720 DISABILITY EMPLOYMENT POLICY DEVELOPMENT

Office of Disability Employment Policy (ODEP)

FEDERAL AGENCY:

Office of Disability Employment Policy, Department of Labor

AUTHORIZATION:

The Consolidated Appropriations Act, 2016, Public Law 114-113, 29 U.S.C 557b.

OBJECTIVES:

The Office of Disability Employment Policy (ODEP) provides national leadership on disability employment policy to the Department of Labor and other Federal agencies. ODEP develops and fosters the adoption and implementation of comprehensive and integrated disability employment policies and strategies throughout the workforce development system, in public and private workplaces, and in employment-related supports programs and services. Implementation of these effective policies and strategies increase employment opportunities, the recruitment of, and reduce barriers to the hiring,

retention and promotion of people with disabilities. ODEP's response to the low labor force participation of people with disabilities is comprehensive and aggressive, and includes securing the active involvement of and cooperation among a number of stakeholders, including Federal, State and local government agencies, private and public employers, educational and training institutions, and the disability community.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Uses and use restrictions are detailed in each solicitation for cooperative agreement or grant applications. All ODEP funds are discretionary.

Applicant Eligibility:

Nonprofit and for-profit organizations, State and local government agencies, academic institutions, and other entities are typically eligible. Eligibility requirements are detailed in each solicitation for cooperative agreements or grant applications .

Beneficiary Eligibility:

Beneficiary eligibility is detailed in each solicitation for cooperative agreements or grant applications.

Credentials/Documentation:

Grantees must demonstrate an adequate organizational capacity, both fiscally and programmatically, including a project management structure. Applicants should review the Solicitations for Grant Applications for specific requirements 2 CFR 200, Subpart E- Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circulars No A-87, A-122 and A-133 apply to this program. Grants for projects are awarded on competitive basis, announced on the Office of Disability Employment Policys website (www.dol.gov/odep).

Award Procedure:

Awards are made based on a panel review to ensure adherence to solicitation requirements and Federal principles. Awards are made on approval of the Assistant Secretary of the Office of Disability Employment Policy and the subject to availability of funds and performance achievement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Timeframes are detailed in each solicitation for cooperative agreement or grant applications.

Appeals:

Appeal information and contact procedures for each solicitation are contained and detailed within the solicitation for cooperative agreement or grant application .

Renewals:

Renewal and additional year funding are detailed in each solicitation for cooperative agreement or grant applications. All awards are based on single-year budget authority. Renewal and additional year funding, when provided, is subject to availability of funds and grantee performance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length of time and phasing of assistance are detailed in each solicitation for cooperative agreements or grant applications. See the following for information

on how assistance is awarded/released: Length of time and phasing of assistance are detailed in each solicitation for cooperative agreement or grant applications.

Reports:

All cooperative agreements and grants require quarterly programmatic reports and financial reports (SF 425 and SF 272) as well as a final report. Additional reporting requirements are detailed in each solicitation for cooperative agreement or grant applications. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Quarterly programmatic and financial reporting as well as close-out reporting and documentation must be maintained under the standard Federal record retention requirements.

Records:

All cooperative agreements and grants are subject to financial compliance site reviews and independent evaluations. Quarterly programmatic and financial reporting as well as close-out reporting and documentation must be maintained under the standard Federal record retention requirements.

Account Identification:

16-0166-0-1-505.

Obligations:

(Salaries) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Recent awards for cooperative agreements and grants have ranged from approximately \$300,000 to \$1,800,000 per year, depending on the project needs as detailed in the solicitation for cooperative agreement or grant applications and availability of funds. Awards have been to non-profit and for-profit organizations, State and local government agencies, academic institutions, and other entities.

TAFS Codes:

16-01-0166.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Office of Disability Employment Policy is located at www.dol.gov/odep. Information and resources on disability employment and other disability-related topics can be found at www.Disability.gov, for which ODEP is the managing partner of more than 20 Federal agencies.

Regional or Local Office:

None.

Headquarters Office:

Office of Disability Employment Policy, 200 Constitution Ave., NW, Room S-1303, Washington, District of Columbia 20210 Email: infoodep@dol.gov Phone: (202) 693-7880 / (202) 693-7881 Fax: (202) 693-7888.

Website Address:

<http://www.dol.gov/odep>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: Not available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are detailed in each solicitation for cooperative agreement or grant application. Criteria always include the availability of

ODEP funds, alignment with ODEP's mission to develop national policy to reduce barriers to employment for people with disabilities, performance achievement, and provision of data to support performance reporting.

17.791 DEPARTMENT OF LABOR CHIEF EVALUATION OFFICE

Department of Labor Evaluation Grants

FEDERAL AGENCY:

Departmental Management , Department of Labor

AUTHORIZATION:

Consolidated Appropriations Act of 2017, Title I, Section Division H, 244 Stat. 379.

OBJECTIVES:

A key way that the Department of Labor (DOL) examines the impact of the agencies programs and policies is by conducting rigorous research and evaluation studies that allow DOL to learn systematically about and improve the effectiveness of programs and services. These grants are designed to increase the capacity of educational institutions and researchers to rigorously examine critical issues in labor and workforce for the benefit of the general public. The purpose of these grants is to increase awareness and build the capacity of the American people to understand critical issues in labor.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

These grants are for researchers and research institutions to undertake research and evaluation on topics related to the labor force and the American worker. Applicants are encouraged to propose innovate and relevant research projects for these grants. The applicant must demonstrate capability to conduct rigorous evaluation methods to conduct research envisioned in the grants. These grants are for researchers and research institutions to undertake research and evaluation on topics related to the labor force and the American worker. Applicants are encouraged to propose innovate and relevant research projects for these grants. The applicant must demonstrate capability to conduct rigorous evaluation methods to conduct research envisioned in the grants.

Applicant Eligibility:

The following organizations are eligible to apply:

Public/State Controlled Institution of Higher Education
Alaska Native and Native Hawaiian Serving Institutions
Asian American and Native American Pacific Islander-Serving Institutions
Indian/Native American Tribal Government (Federally Recognized)
Indian/Native American Tribal Government (Other than Federally Recognized)
Indian/Native American Tribally Designated Organization
Private Institution of Higher Education
Hispanic-serving Institution
Historically Black Colleges and Universities (HBCUs)
Tribeally Controlled Colleges and Universities (TCCUs).

Beneficiary Eligibility:

None.

Credentials/Documentation:

The applicant must provide information on the applicant institutions current mission, structure, staffing, and relevant experience. The applicant should describe how these factors contribute to the ability of the institution to conduct the research and meet grant expectations. The applicant shall also identify the grants key research staff. Curriculum Vitae (CVs) of proposed staff members shall be included as a separate attachment to the application. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

A technical merit review panel will carefully evaluate applications against the selection criteria to determine the merit of applications. These criteria are based on the policy goals, priorities, and emphases set forth in this FOA. Up to 100 points may be awarded to an applicant, depending on the quality of the responses provided. The final scores (which may include the mathematical normalization of review panels) will serve as the primary basis for selection of applications for funding. The panel results are advisory in nature and not binding on the Grant Officer. The Grant Officer reserves the right to make selections based solely on the final scores or to take into consideration other relevant factors when applicable. Such factors may include the geographic distribution of funds and/or other relevant factors. The Grant Officer may consider any information that comes to his/her attention.

The government may elect to award the grant(s) with or without discussions with the applicant. Should a grant be awarded without discussions, the award will be based on the applicants signature on the SF-424, including electronic signature via E-Authentication on <http://www.grants.gov>, which constitutes a binding offer by the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Other - Not Specified.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depending on the nature of the research, applicants may propose up to a 36-month period of performance. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Grantees are required to work on a quarterly basis with the designated Grant Officers Technical Representative to describe the progress of the identified research in the grant. Quarterly Financial Status Report (ETA 9130) is required until such time as all funds have been expended or the grant period has expired. Quarterly reports are due 45 days after the end of each calendar year quarter. On the final Financial Status Report, you must include any subaward amounts so we can calculate final indirect costs, if applicable. You must use DOLs Online Electronic Reporting System and information and instructions will be provided to grantees. You must submit a quarterly progress report within 45 days after the end of each calendar year quarter. The report must include quarterly information on grant activities, performance goals, and milestones. The last quarterly progress report will serve as the grants Final Performance Report. This report must provide both quarterly and cumulative information on the grant activities. It must summarize project activities, employment outcomes and other deliverables, and related results of the project, and must thoroughly document the training or labor market information approaches that you used. We will provide you with formal guidance about the data and other information that is required to be collected and reported on either a regular basis or special request basis.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

16-0165-0-1-505 - Departmental Program Evaluation.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$1,968,946; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-0165.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Jessica Lohmann U.S. Department of Labor
Frances Perkins Building
200 Constitution Avenue NW
Room S2312, Washington, District of Columbia 20210 Email:
lohmans.jessica@dol.gov Phone: 2026935087

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

We have instituted procedures for assessing the technical merit of applications to provide for an objective review of applications and to assist you in understanding the standards against which your application will be judged. The evaluation criteria are based on the information required in the application as described in the FOA. Reviewers will award points based on the evaluation criteria described in the rating criteria section of the FOA.

17.801 DISABLED VETERANS' OUTREACH PROGRAM (DVOP) (DVOP)

FEDERAL AGENCY:

Veterans' Employment and Training Service, Department of Labor

AUTHORIZATION:

Jobs for Veterans Act; Title 38, Part III, Chapter 41, Section 4103A; as amended by Public Law (P.L.) 107-288, P.L. 109-461, and P.L. 111-275., Title 38, Section 4103A, Public Law 107-288, 38 U.S.C 4103A.

OBJECTIVES:

To provide individualized career services to meet the employment needs of disabled and other eligible veterans identified by the Secretary of Labor with maximum emphasis in meeting the employment needs of those who are economically or educationally disadvantaged, particularly for veterans with significant barriers to employment.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds must be used by States only for salaries, expenses and reasonable support of Disabled Veterans' Outreach Program (DVOP) Specialists who are assigned only those duties directly related to meeting the employment needs of eligible veterans according to the provisions of 38 U.S.C. 4103A.

Applicant Eligibility:

The state administrative entity designated by each Governor.

Beneficiary Eligibility:

Veterans and eligible persons with emphasis on service-connected special disabled veterans, other disabled veterans, economically or educationally disadvantaged veterans, homeless veterans and veterans with other significant barriers to employment.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Standard Form 424M, Application for Federal Assistance, submitted to the Director for Veterans' Employment and Training (DVET) in each state, as listed at <https://www.dol.gov/vets/aboutvets/regionaloffices/map.htm>, along with required program and financial narratives and forms in accordance with guidance for multiyear plans, and annual or mid-year (interim) funding modification requests.

Award Procedure:

Fiscal Year based formula level funds requested are approved annually by a Department of Labor, Employment and Training Administration's Grant Officer based upon reviews and recommendations by VETS Regional Administrators and Directors for Veterans' Employment and Training (RAVETS and DVETS).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Ninety days following the submission of an application pending the availability of a fiscal year appropriation.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 20, Chapter IX, Part 1001, Subpart F.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Usually awarded for a fiscal year (October through September). States may obligate fiscal year funds to provide assistance for a fifth fiscal year quarter (until December 31). See the following for information on how assistance is awarded/released: Funds are awarded annually on a Fiscal Year basis, pending the enactment of a Fiscal Year appropriation or budget resolution and available to be accessed through a payment management system.

Reports:

Quarterly reporting of: (1) accrued expenditures against budget categories in fiscal operating plans; and (2) workload activity of veterans' who were provided assistance using a case management approach to service delivery, referred to training and employment, received individualized staff-assisted services, entered employment and employment retention; and (3) additional reports as described in the most recent Veterans' Program Letter on Recurring Reporting Requirements. Cash reports are not applicable. Technical Performance Narrative is required per the current Veterans' Program Letter on Recurring Reporting Requirements. Federal Financial Report (SF 425) and OMB approved Expenditure Detail Reports (VETS 402A/B). ETA 9002 Series and VETS 200 Series quarterly performance reports are submitted and reported outcomes are compared to state negotiated goals by VETS' field staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Cost accounting, time keeping, and performance records to be maintained in accordance with each grant agreement and provisions, regulations and Veterans' Program Letters.

Account Identification:

16-0164-0-1-702.

Obligations:

(Formula Grants) FY 16 \$110,991,836; FY 17 est \$116,436,068; and FY 18 est \$116,436,068 - Estimated obligations by are determined by aggregating requests for funding from States.

Range and Average of Financial Assistance:

FY 2016, range from \$115,000 to \$13,524,029 and average assistance: \$2,055,404 (rounded).

FY 2017, range from \$136,620 - \$13,376,652 and average assistance: \$2,156,223.

TAFS Codes:

17-801.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 38, United States Code, Section 4103A; 20 CFR 1001; Veterans' Program Letters.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrator or State Director for Veterans' Employment and Training at the nearest office listed here, <https://www.dol.gov/vets/aboutvets/regionaloffices/map.htm>.

Headquarters Office:

Maria E. Temiquel 200 Constitution Avenue, N.W.
Room S-1325
, Washington, District of Columbia 20210 Email: temiquel.maria@dol.gov
Phone: 202-693-4706 Fax: 202-693-4755

Website Address:

<http://www.dol.gov/vets>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.805 Homeless Veterans Reintegration Project

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on criteria in Annual Funding Modification Request guidance.

17.804 LOCAL VETERANS' EMPLOYMENT REPRESENTATIVE PROGRAM

(LVER Program)

FEDERAL AGENCY:

Veterans' Employment and Training Service, Department of Labor

AUTHORIZATION:

Jobs for Veterans Act; Title 38, Part III, Chapter 41, Section 4104; as amended by Public Law (P.L.) 107-288, P.L. 109-461, and P.L. 111-275., Title 38, Section 4104, Public Law 107-288, 38 U.S.C 4104.

OBJECTIVES:

Conduct outreach to employers including conducting seminars for employers, conducting job search workshops and establishing job finding clubs; and to facilitate employment, training, and placement services furnished to veterans in a State under the applicable State employment service or One-Stop Career Center delivery systems whose sole purpose is to assist veterans to gain and retain employment.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds must be used only for salaries, expenses and reasonable support of Local Veterans' Employment Representatives who shall be assigned only those functions directly related to providing services to veterans according to provisions of 38 U.S.C. 4104.

Applicant Eligibility:

The state administrative entity designated by each Governor.

Beneficiary Eligibility:

Veterans, transitioning service members and eligible persons; business associations, businesses and other employers.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Standard Form 424M, Application for Federal Assistance, Jobs for Veterans State grant narrative and financial plans, staff directory, assurances and certifications are submitted to the Director for Veterans' Employment and Training (DVET) in each State, (see Appendix IV of the Catalog) in accordance with Jobs for Veterans State Grant Application or annual modification request instructions.

Award Procedure:

Fiscal Year (FY) based formula level funds requested are approved annually by a Department of Labor Grant Officer for the Assistant Secretary for Veterans' Employment and Training (ASVET) are based on reviews and recommendations by Regional Administrators for Veterans' Employment and Training (RAVETs and DVETs).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Ninety days following submission of an application pending the availability of a Fiscal Year appropriation.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 20, Chapter IX, Part 1001, Subpart F.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Usually awarded for a fiscal year (October through September). States may obligate funds to provide assistance for a fifth fiscal year quarter (until December 31). See the following for information on how assistance is awarded/released: Funds are awarded annually on a Fiscal Year basis, pending the enactment of a Fiscal Year appropriation or budget resolution and available through a payment management system.

Reports:

Quarterly reporting of: (1) accrued expenditures against budget categories in fiscal operating plans; and (2) workload activity of veterans' who were provided case management, referred to training and employment, received staff-assisted services, entered employment and employment retention; and (3) additional reports as described in the most recent Veterans' Program Letter on Recurring

Reporting Requirements. Cash reports are not applicable. Technical Performance Narrative is required per the current Veterans' Program Letter on Recurring Reporting Requirements. Federal Financial Report (SF 425) and OMB Approved Expenditure Detail Reports (VETS 402A/B). ETA 9002 Series and VETS 200 Series are submitted and reported outcomes are compared to state negotiated goals by VETS' field staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Cost accounting, time keeping, and performance records to be maintained according to grant agreement, regulations and Veterans' Program Letters.

Account Identification:

16-0164-0-1-702.

Obligations:

(Formula Grants) FY 16 \$57,874,265; FY 17 est \$54,241,316; and FY 18 est \$54,241,316 - Estimated obligations by are determined by aggregating requests for funding from States.

Range and Average of Financial Assistance:

FY 2016, ranges from \$0 to \$5,958,324 and the average assistance: \$1,071,745 (rounded).

FY 2017, ranges from \$0 to \$4,677,738 and the average assistance: \$1,004,468 (rounded).

TAFS Codes:

17-804.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 38, United States Code, Section 4104; 20 CFR 1001; Veterans' Program Letters.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrators and State Directors for Veterans' Employment and Training Service listed in Appendix IV of the Catalog.

Headquarters Office:

Maria E. Temiquel 200 Constitution Avenue, N.W.
Room S-1325, Washington, District of Columbia 20210 Email:
temiquel.maria@dol.gov Phone: 202-693-4706 Fax: 202-693-4755

Website Address:

<http://www.dol.gov/vets>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on criteria in Annual Funding Modification Request guidance.

17.805 HOMELESS VETERANS REINTEGRATION PROGRAM

Homeless Veterans' Reintegration Program (HVRP)

FEDERAL AGENCY:

Veterans' Employment and Training Service, Department of Labor

AUTHORIZATION:

Stewart B. McKinney Homeless Assistance Act of 1987; Title II, Part C; Public Law 100-77, as amended; Public Laws 100-628, 101-645, 102-590, 104-275, 105-41; 105-114, 107-95, 111-275; 112-37; 113-37 and 113-235; 42 U.S.C. 1447-1450; 38 U.S.C., 2021, et seq., Public Law 113-235, 38 U.S.C 2021-23.

OBJECTIVES:

To provide services to assist in reintegrating homeless veterans into meaningful employment within the labor force; and to stimulate the development of effective service delivery systems that will address the complex problems facing homeless veterans.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Projects supported with these funds shall provide for employment and training services and support services directly or through linkages with other service providers to assist homeless veterans to reenter the workforce. Outreach is necessary and is to be performed by formerly homeless veterans.

Applicant Eligibility:

State and Local Workforce Investment Boards, State and State Agencies, local public agencies, Native American tribal governments (federally recognized), Native American tribal organizations, non-profit organizations (including faith-based and community organizations) and for-profit/commercial entities, and for-profit commercial entities. Potential jurisdictions are metropolitan areas of the largest U.S. cities or Non-Urban areas in need, as announced in the latest solicitation for grant applications (SGA).

Beneficiary Eligibility:

Individuals who are homeless veterans. The term "homeless" or "homeless individual" includes: (1) An individual who lacks a fixed, regular, and adequate night-time residence; and (2) an individual who has a primary night-time residence that is: (a) a supervised publicly or privately operated shelter designed to provide temporary living accommodations including welfare hotels, congregate shelters, and transitional housing for the mentally ill; (b) an institution that provides a temporary institutionalized; or (c) a public or private place not designed for, or ordinarily used as, a regular sleeping accommodations for human beings (Reference: 42 U.S.C. 1302), and (3) an individual or family who - (a) will imminently lose their housing, including housing they own, rent, or live in without paying rent, are sharing with others, and rooms in hotels or motels not paid for by Federal, State, or local government programs for low-income individuals or by charitable organizations, as evidenced by - (i) a court order resulting from an eviction action that notifies the individual or family that they must leave within 14 days; (ii) the individual or family having a primary nighttime residence that is a room in a hotel or motel and where they lack the resources necessary to reside there for more than 14 days; or (iii) credible evidence indicating that the owner or renter of the housing will not allow the individual or family to stay for more than 14 days, and any oral statement from an individual or family seeking homeless assistance that is found to be credible shall be considered credible evidence for purposes of this clause; (b) has no subsequent residence identified; and (c) lacks the resources or support networks needed to obtain other permanent housing. A "veteran" is an individual who served in the activity military, naval, or air service, and who was discharged or released there from under conditions other than dishonorable. (Reference: 33 U.S.C. 101 (2)).

Credentials/Documentation:

According to the program's Solicitation for Grant Application (SGA) or Standard 424, Application for Federal Assistance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Standard Form 424, Application for Federal Assistance, and Standard Form 424A, Budget Information shall be submitted, along with the technical proposal and budget narrative as required by the application SGA.

Award Procedure:

The Office of the Assistant Secretary for Veterans' Employment and Training in conjunction with a Department of Labor Grant Officer are responsible for all funding decisions regarding this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 90 days.

Appeals:

Debriefings of unsuccessful applicants are provided upon request.

Renewals:

As noted in the SGA.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Usually awarded for a full program year (July through June) period. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly reporting of program activity such as number enrolled, entered employment and retention in employment. Through the HHS and Department's E-Grants system. Progress reports are submitted by grantees on a quarterly basis which include comparisons between planned and actual results under a wide variety of performance measures and budgetary goals. Program narrative presents highlighted case studies and success stories as well as identified areas in need of improvement. Federal Financial Report (SF 425) through the Department's E-Grants system. Performance monitoring is conducted through quarterly desk audits and at least one on-site visit conducted by the Grant Officer Technical Representative (GOTR) who notes and checks specific thresholds of performance against yearly planned goals and who may recommend that poorly performing grantees submit a Corrective Action Plan (CAP) that describes the specific actions that will ensure that the grantee meets or exceeds the performance expectations stated in their application.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial and performance records to be maintained in accordance with the grant agreement.

Account Identification:

16-0164-0-1-702.

Obligations:

(Project Grants) FY 16 \$38,109,000; FY 17 est \$45,000,000; and FY 18 est \$45,000,000 - Program operates on a program year (PY) beginning on July 1 and ending on June 30. PY 2016 (Performance period July 1, 2016 - June 30, 2017); PY 2017 (Performance period July 1, 2017 - June 30, 2018).

Range and Average of Financial Assistance:

In PY 2016, there were 153 grant awards, ranging from \$100,000 to \$300,000 for an average of \$236,737.

In PY 2017, 155 grants awarded, ranging from \$100,000 to \$500,000 for an average of \$279,763.

TAFS Codes:

17-805.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrator or State Director for Veterans' Employment and Training at the nearest office listed here, <https://www.dol.gov/vets/aboutvets/regionaloffices/map.htm>.

Headquarters Office:

Maria E. Temiquel 200 Constitution Avenue, N.W.
Room S-1325, Washington, District of Columbia 20210 Email:
temiquel.maria@dol.gov Phone: 202-693-4706 Fax: 202-693-4755

Website Address:

<http://www.dol.gov/dol/vets>.

RELATED PROGRAMS:

17.801 Disabled Veterans' Outreach Program (DVOP)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on criteria in the Solicitation for Grant Application.

17.807 TRANSITION ASSISTANCE PROGRAM

TAP - Department of Labor Employment Workshops (DOL EW)

FEDERAL AGENCY:

Veterans' Employment and Training Service, Department of Labor

AUTHORIZATION:

Defense Reauthorization Act of 1991, Public Law 101-510, 10 U.S.C. 1144, Public Law 113-235, 10 U.S.C 1144.

OBJECTIVES:

To provide employment instruction, information and assistance to separating and retiring military personnel and their spouses through domestic and overseas installations and or facilities by offering job search and other related services.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

These services are provided through comprehensive workshops at military installations worldwide. TAP Employment Workshops provide service members and spouses with labor market information as they return to the world of civilian employment; resume assistance; and information on available service benefits. TAP Employment Workshops are provided through a competitively awarded training and assistance contract.

Applicant Eligibility:

Contractor, as determined by the Department of Labor's Contracting Officer.

Beneficiary Eligibility:

Service members within two years of retirement or one year of separation and their spouses.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. As determined by the Department of Labor's Contracting Officer.

Award Procedure:

Contract awards are approved based on a determination by the Department of Labor's Contracting Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Contract deadlines are irregular and depend upon current

contract requirements and end dates.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding is awarded for each contract year, based upon agreement and performance. See the following for information on how assistance is awarded/released: By contract before the beginning of each performance period.

Reports:

Program reports are not applicable. As required by contract. As required by contract. As required by contract. No performance monitoring is required.

Audits:

Not Applicable.

Records:

Cost accounting and performance records to be maintained in accordance with the current contract.

Account Identification:

16-0174-1-0-702.

Obligations:

(Provision of Specialized Services) FY 16 Not Available; FY 17 est \$14,600,000; and FY 18 est \$16,073,000 - FY 2018 also incorporates Career Technical Training Track (CTTT) funding which has been incorporated into the DOL TAP program.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

17-807.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Timothy Winter 200 Constitution Ave, NW, Room S-1312, Washington, District of Columbia 20210 Email: winter.timothy@dol.gov Phone: 202-693-4705

Website Address:

<http://www.dol.gov/vets>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on criteria in request for proposals (Contract).

DEPARTMENT OF STATE

19.009 ACADEMIC EXCHANGE PROGRAMS - UNDERGRADUATE PROGRAMS

Undergraduate programs include the Global Undergraduate Exchange Program (UGRAD); Study of the U.S. Institutes for Student Leaders; Community College Initiative Program (CCIP); and the Critical Language Scholarship (CLS) Program and Capacity-Building Program for U.S. Undergraduate Study Abroad.

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended, Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of Undergraduate Programs is to provide targeted support for American students to pursue intensive language study abroad and for foreign students to gain a better understanding of the United States, while developing new generations of world leaders.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is provided to non-profit organizations, colleges, and universities to support their work in designing and administering programs as well as coordinating program logistics. Funding supports publicity and recruitment, screening of applications, communication with participants, payment of participant costs, and the provision of enrichment activities. The Global Undergraduate Exchange Program provides one semester scholarships to outstanding undergraduate students from underrepresented sectors in Central Asia, East Asia and the Pacific, Europe, Eurasia, the Near East, South Asia, Sub-Saharan Africa, and the Western Hemisphere for non-degree full-time study combined with community service, professional development, and cultural enrichment.

The Study of the U.S. Institutes for Student Leaders are five- to six-week academic programs focusing on topics in U.S. studies for groups of foreign undergraduates. Hosted by universities, colleges, and NGOs throughout the United States, the Institutes include an intensive academic residency, an educational study tour, leadership development, community service activities, and opportunities for participants to engage with American peers. The Institutes aim to increase the undergraduates understanding of U.S. history, government, culture, society, and institutions with the overall goal of increasing mutual understanding between the people of the United States and the people of other countries. This model includes the Young Southeast Asian Leaders (YSEALI) Academic Fellowship, which aims to build the leadership capacity of youth in Asia, to strengthen ties between the United States and Asia, and to nurture an ASEAN identity. YSEALI Academic Fellows take part in five-week programs in the themes of Civic Engagement, Environmental Issues, and Social Entrepreneurship and Economic Development. The Mandela Washington Fellowship for Young African Leaders also follows the SUSI model but targets young professionals from throughout Sub-Saharan Africa. The Mandela Washington Fellowship is the flagship program of President Obamas Young African Leaders Initiative. The Fellowship aims to empower young people through academic coursework, leadership training, and networking. Fellows take part in a six-week intensive academic and leadership program focused in one of three tracks: business and entrepreneurship, civic leadership, or public management followed by a three-day Presidential Summit. Some fellows also take part in six-week professional development experiences at a U.S. workplace.

The Community College Initiative Program (CCIP) provides participants from underserved and underrepresented groups with a one-year non-degree academic program at a U.S. community college in the fields of agriculture; applied engineering; business management and administration; early childhood education; information technology; media; public safety; and tourism and hospitality management. Participants increase their employment-related skills and knowledge of English, increase their ability to contribute to the economic development of their countries, and promote mutual understanding. Please refer to the Grants.gov or the GrantSolutions.gov announcement for further information.

The Critical Language Scholarship (CLS) Program provides fully-funded, group-based intensive language instruction and structured cultural enrichment experiences to U.S. undergraduate and graduate students through overseas summer institutes. CLS Program languages include Arabic, Azerbaijani, Bangla, Chinese, Hindi, Indonesian, Japanese, Korean, Persian, Punjabi, Russian, Swahili, Turkish, and Urdu, with institutes held in countries where the target languages are spoken. The CLS Program includes twenty hours a week of formal language instruction for 8-10 weeks (equivalent to one full year of language study). Students also participate in activities that are specifically designed and organized to increase language acquisition and cultural knowledge. CLS students engage with the local host community by living with host families, meeting weekly with local language partners and engaging in volunteer activities while using the host language. The CLS program plays a critical role in preparing U.S. students for the 21st century globalized workforce and increasing American competitiveness.

The Capacity Building Program for U.S. Undergraduate Study Abroad provides awards to U.S. colleges and universities to expand and diversify their study abroad programs. The program provides support to approximately 11 U.S. higher educational institutions to expand study abroad opportunities for students who have been traditionally underrepresented in study abroad and/or develop programs of study for students to destinations, and/or in disciplines, that have been traditionally underrepresented in study abroad. The goal is to increase the number of students who have the knowledge and skills they need to compete in the global economy and to help address global challenges as well as to develop sustainable study abroad programs that can benefit future cohorts of students.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the GrantSolutions.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards project grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the GrantSolutions.gov announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600, entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Announcements are posted on the Grants.gov and Department of State's website: <http://eca.state.gov/organizational-funding> or GrantSolutions.gov website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or GrantSolutions.gov announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via GrantSolutions.gov to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. See Grants.gov or GrantSolutions.gov for announcement.

Appeals:

Not Applicable.

Renewals:

As stated in Grants.gov or GrantSolutions.gov announcements.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. None.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As stated in Grants.gov or GrantSolutions.gov announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Cash reports are not applicable. As stated in Grants.gov announcements. As stated in Grants.gov announcements. As stated in Grants.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the Department of State Standard

Terms and Conditions for awards

(<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Cooperative Agreements) FY 16 \$52,845,728; FY 17 est \$52,845,728; and FY 18 est \$52,845,728

Range and Average of Financial Assistance:

\$130,000 to \$8,600,000.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In 2016, approximately 25 individual UGRAD scholarships were awarded.

There were approximately 685 participants in Study of the U.S. Institutes for Student Leaders including in YSEALI exchanges. Twenty-four universities, colleges, and NGOs throughout the United States hosted the SUSI and YSEALI students.

In FY 2016, 1,000 Mandela Washington Fellows from all 48 sub-Saharan African countries participated in the inaugural Fellowship. They were hosted by 38 universities and colleges throughout the United States.

In FY 2016, 146242 Community College Initiative Program participants from 1113 countries were placed at U.S. community colleges.

In FY 2016, 564550 U.S. undergraduate and graduate students studied in fourteen countries and twenty-three institutes across the world through the Critical Language Scholarship Program.

In FY 2016, approximately eleven U.S. higher education institutions will receive grants to expand or diversify their study abroad opportunities through the Capacity-Building Program for U.S. Undergraduate Study Abroad. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or GrantSolutions.gov announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB Guidance cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Heidi Manley (Critical Language Scholarship Program, Capacity-Building Program for U.S. Undergraduate Study Abroad and Community College Initiative Program) and Lana Muck (Global Undergraduate Exchange Program and Study of the U.S. Institutes for Student 2200 C Street, NW, SA-5, Fourth Floor, Room 4CC16, Washington, District of Columbia 20037 Email: global@state.gov Phone: (202)632-9265

Website Address:

<http://exchanges.state.gov>

RELATED PROGRAMS:

19.400 Educational Exchange_Graduate Students; 19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars; 19.408 Educational Exchange_Teachers from Secondary and Postsecondary Levels and

School Administrators

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in Grants.gov or GrantSolutions.gov announcements.

19.010 ACADEMIC EXCHANGE PROGRAMS - HUBERT H. HUMPHREY FELLOWSHIP PROGRAM

Hubert H. Humphrey Fellowship Program

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended, Public Law 87-256, 22 U.S.C. 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The Humphrey Program brings young and mid-career professionals from designated countries to the United States for a year of non-degree graduate-level study, leadership development, and professional collaboration with U.S. counterparts.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is provided to a non-profit organization to support program administration as well as coordinating program logistics. Funding supports activities such as publicity and recruitment, screening of participant applications, recruitment and selection of U.S. host institutions, communication with host institutions and recipients, payment of stipends, and the provision of enrichment activities. The Hubert H. Humphrey Fellowship Program is a Fulbright exchange activity that brings young and mid-career professionals from designated countries to the United States for a year of non-degree graduate-level study, leadership development, and professional collaboration with U.S. counterparts. Humphrey Fellows are selected based on their potential for leadership and their commitment to public service in either the public or the private sector. The Humphrey Program fosters a mutual exchange of knowledge and understanding about issues of common concern in the United States and the Fellows home countries. The Program offers Fellows opportunities for leadership development and professional engagement with Americans and their counterparts from many nations. Thirteen major universities in the United States serve as host campuses for Humphrey Fellows. These host universities are chosen for their excellence in relevant Humphrey fields of study and for the resources and support they offer Humphrey Fellows. Please refer to the Grants.gov or GrantSolutions.gov announcement for further information.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as

amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards project grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600, entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirement for Federal Awards applies to this program. Announcements are posted on the Grants.gov and Department of State's website: <http://eca.state.gov/organizational-funding> or GrantSolutions.gov website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or GrantSolutions.gov announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau of Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via GrantSolutions.gov to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

As stated in the Grants.gov or GrantSolutions.gov announcements.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or GrantSolutions.gov announcements. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Progress reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C. 7501-7507. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.statebuy.state.gov/fa/pages/homes.aspx>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for awards (<https://www.statebuy.state.gov/fa/pages/homes.aspx>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Cooperative Agreements) FY 16 \$11,982,116; FY 17 est \$11,982,116; and FY 18 est \$11,982,116

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, 15 U.S. universities hosted 168 Humphrey Fellows from 90 countries. Fiscal Year 2017: In FY 2017, 13 U.S. host universities are hosting 152 Humphrey Fellows from 97 countries. Fiscal Year 2018: In FY 2018, it is estimated that 13 U.S. host universities will host approximately 153 Humphrey Fellows from 97 countries.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or GrantSolutions.gov announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB Guidance cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

John Sedlins Department of State
Bureau of Educational and Cultural Affairs
Office of Global Educational Programs
Humphrey and Institutional Linkages Branch
2200 C Street, NW

SA-5, Rm. 4CC13, Washington, District of Columbia 20037 Email: sedlinsjz@state.gov Phone: (202) 632-6328

Website Address:

<http://www.exchanges.state.gov/non-us/program/hubert-h-humphrey-fellowship-program>

RELATED PROGRAMS:

19.400 Educational Exchange_Graduate Students; 19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars; 19.408 Educational Exchange_Teachers from Secondary and Postsecondary Levels and

School Administrators

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in Grants.gov or GrantSolutions.gov announcements.

19.011 ACADEMIC EXCHANGE PROGRAMS - SPECIAL ACADEMIC EXCHANGE PROGRAMS

Special Academic Exchange programs include: American Overseas Research Centers, Benjamin A Gilman International Scholarship Program; National Clearinghouse on Disability and Exchange, United States-South Pacific Scholarship Program; Tibetan Scholarship Program, United States-Timor-Leste Scholarship Program, among others

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended, Public Law 87-256, 22 U.S.C. 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of leaders and future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of Special Academic Exchange Programs is to provide targeted support for U.S. and foreign students and others who may not otherwise have the resources to pursue international exchange opportunities or who are in fields directly relevant to identified needs in their countries.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Special Academic Exchange Programs support participants in undergraduate, graduate, and/or non-degree professional development programs. Special Academic Exchange Programs target underserved populations from the U.S., developing world or strategically important areas. These programs provide selected participants with the opportunity to participate in exchanges and develop international competencies and skills, which allow them to serve as leaders in their professions and communities. Funding is provided to non-profit organizations, colleges, and universities to support their work in designing and administering programs as well as coordinating program logistics. Funding supports publicity and recruitment, screening of applications, communication with participants, monitoring of participants, payment of participant costs, and the provision of enrichment activities for both participants and alumni.

American Overseas Research Centers (AORCs) are located throughout the world -- -- in Europe; North Africa; the Middle East; Central, East, South, & Southeast Asia; Latin America; and West Africa- and provide services to scholars, including assistance with research and publication.

The Benjamin A. Gilman International Scholarship Program provides scholarships for credit-bearing study or internships abroad to U.S. undergraduate students with significant financial need (Pell Grant recipients), including students from diverse backgrounds and students going to non-traditional study abroad destinations.

The National Clearinghouse on Disability and Exchange (NCDE) 1) provides information to the disabilities community about exchange opportunities and encourages persons with disabilities to participate and 2) assists exchange organizations, colleges and universities, NGOs and other program providers with including people with disabilities into their programs and ensuring that they have successful experiences.

The United States-South Pacific Scholarship Program (USSPSP) provides scholarships for academically talented individuals from sovereign island nations of the South Pacific to pursue bachelor's and master's degrees at U.S. institutions in fields important to the regions development.

The Tibetan Scholarship Program (TSP) provides scholarships for students from the Tibetan refugee communities of India and Nepal to pursue two-year Masters degrees in the United States in fields that will contribute to those communities. The TSP, a Congressionally-mandated program, began in 1988 with the goal of fostering mutual understanding and building the capacity of participants to engage and impact their communities when they return home. Student enrichment activities include U.S.-based arrival orientations, mid-year and re-entry, professional development workshops and a mentoring component to support students during and after their exchanges.

The United States-Timor-Leste Scholarship Program (USTLSP) provides educational opportunities for academically talented future leaders from Timor-Leste to pursue bachelors degrees at U.S. institutions in priority fields that are directly relevant to development needs in Timor-Leste. Special Academic Programs include several other academic exchange initiatives in which the U.S. Congress has particular interest. Please refer to Grants.gov or GrantSolutions.gov for further information.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2

CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Announcements are posted on the Grants.gov and Department of State's website: <http://eca.state.gov/organizational-funding> or GrantSolutions.gov website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or GrantSolutions.gov announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via GrantSolutions.gov to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Appeals:

Not Applicable.

Renewals:

As stated in the Grants.gov or GrantSolutions.gov announcements.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or GrantSolutions.gov announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Progress reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Progress reports are not applicable. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200 Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the Department of State Standard

Terms and Conditions for Domestic Financial Assistance Awards
(<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards
(<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Cooperative Agreements) FY 16 \$18,150,000; FY 17 est \$18,150,000; and FY 18 est \$18,150,000

Range and Average of Financial Assistance:

\$350,000 to \$12,200,000.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The grant to the Council of American Overseas Research Centers (CAORC) provides program and administrative funds to 20 Overseas Research Centers (ORCs) in all world regions, and coordinates grant management, administrative operations, and the program collaboration of these centers. Approximately 175 grants were awarded in FY 2016, including seven U.S. fellows conducting multi-country research in countries where ORCs are located.

Under the Benjamin A. Gilman International Scholarship Program, 2,922 American undergraduate students were awarded scholarships for study abroad in countries around the world.

Alumni of the Tibetan Scholarship Program are active through an alumni association and work with Tibetan refugee youth. Approximately 430 Tibetan scholarships have been awarded in the past 29 years. Under the U.S.-South Pacific Scholarship Program, one student was awarded graduate scholarships and two student were awarded undergraduate scholarship.

Under the U.S.-Timor-Leste Scholarship Program, three students were awarded undergraduate scholarships with pre-academic English language study.

Working to promote increased participation of persons with disabilities in international exchange, the National Clearinghouse on Disability and Exchange during FY 2016 addressed particular themes relating to 1) international students with disabilities studying in the United States; 2) encouraging U.S. citizens with disabilities to participate in experientially-based exchange programs, internships and volunteer assignments relating to sports, the arts and other fields beyond the academic world and 3) increasing the capabilities of the U.S. State Department and its program partners to more effectively manage international exchange programs including people with disabilities. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or GrantSolutions.gov announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB Guidance cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Lana Muck/Heidi Manley Lana Muck: Department of State, Bureau of Educational and Cultural Affairs, Office of Academic Exchange Programs, 2200 C Street, NW, SA-5, Fourth Floor, Room 4B07
Programs: AORCs, NCDE, USSPSP, TSP, USTLSP

Heidi Manley: Department of State, Bureau of Educational and Cultural Affairs, Office of Global Educational Programs, 2200 C Street, NW, SA-5, Fourth Floor, Room 4L12, Washington, District of Columbia 20037
Programs: Benjamin Gilman Scholarship Program, Washington, District of Columbia 20037 Email: fulbright@state.gov; studyabroad@state.gov Phone: Lana Muck:: (202) 632-3238; Heid

Website Address:

<http://exchanges.state.gov>

RELATED PROGRAMS:

19.009 Academic Exchange Programs - Undergraduate Programs; 19.400 Educational Exchange_Graduate Students; 19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the Grants.gov or GrantSolutions.gov announcements.

19.012 PROFESSIONAL AND CULTURAL EXCHANGE PROGRAMS - SPECIAL PROFESSIONAL AND CULTURAL PROGRAMS

Special Professional and Cultural Programs include the Ngwang Choephep Fellows Program.

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, Public Law 87-256.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity. The purpose of the Special Professional and Cultural Programs is to carry out Congressionally-directed initiatives that support professional exchanges between the United States and select countries through grants to American non-profit, non-governmental institutions and organizations, including community organizations, professional associations, and universities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding is provided to eligible non-profit organizations, colleges and universities to support their work in designing and administering programs as well as coordinating program logistics. Funding to those organizations supports activities such as publicity and recruitment, screening of participant applications, communication with participants, domestic and international travel, payment of stipends and program benefits, and the provision of enrichment activities. Special Professional and Cultural Programs constitute exchange initiatives in which the U.S. Congress has particular interest. The programs are designed to promote U.S. interests in designated countries around the world by developing mutual understanding of key issues and promoting long-lasting ties between the United States and those countries. Programs are designed to promote good governance, civil society and civic responsibility, educational reform, conflict prevention and management, trade, leadership, cultural diversity and heritage, and educational reform, as well as other topics

through people-to-people exchanges. Please refer to Grants.gov or GrantSolutions.gov for further information.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to Grants.gov or GrantSolutions.gov for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Announcements are posted on the Grants.gov and Department of State's website: <http://eca.state.gov/organizational-funding> or GrantSolutions.gov website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or GrantSolutions.gov announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureaus Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

As stated in the Grants.gov or GrantSolutions.gov announcements.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or GrantSolutions.gov announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement.

Cash reports are not applicable. Progress reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Project Grants) FY 16 \$575,000; FY 17 est \$575,000; and FY 18 est \$575,000

Range and Average of Financial Assistance:

\$287,500.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Ngwang Choephel Fellows Program provides general support to non-governmental organizations located outside of China which promote activities that preserve cultural traditions and enhance sustainable development and environmental conservation in Tibetan communities in China. There were approximately 18 U.S. participants, and approximately 24 non-U.S. participants in FY 2016. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or GrantSolutions.gov announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB circulars cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Rene Hadjigeorgalis 2200 C Street, NW
SA-05, Rm. 3B14, Washington, District of Columbia 20037, Washington,
District of Columbia 20037 Email: hadjigeorgalisea@state.gov Phone:
202-632-6411

Website Address:

<http://eca.state.gov/about-bureau-0/organizational-structure/office-citizen-exchanges>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in Grants.gov or the GrantSolutions.gov announcements.

19.015 CULTURAL, TECHNICAL AND EDUCATIONAL CENTERS

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961 (Public Law 87-256), as amended., 22 U.S.C 2054 2078 2451; Department of State, Foreign Operations, and Related Programs Appropriations Act.

OBJECTIVES:

To assist various organizations identified by Congress to achieve objectives specified by Congress. As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance is provided to implement specific projects identified by Congress. Funding is provided to the Center for Cultural and Technical Interchange Between East and West (East-West Center) to promote better relations and understanding between the United States and the nations of Asia and the Pacific through cooperative study, training, and research. Scholars, students, teachers, government officials, NGO leaders, journalists and other professionals in various fields from the U.S. and the Asia Pacific region may study and carry out research, exchange ideas and views, lead and participate in seminars and training activities, take part in cultural programs, and conduct other activities primarily in support of the objectives of the Fulbright-Hays Act, as amended, and other Acts promoting the international, educational, cultural, and related activities of the United States. Funding is appropriated each fiscal year from interest and earnings credited to the Center for Middle Eastern-Western Dialogue Trust Fund for operations of the International Center for Middle Eastern-Western Dialogue (commonly referred to as the Hollings Center) which promotes dialogue, programs, and scholarships for various stakeholders to promote understanding and expand channels of communication between the United States and the Muslim world.

Applicant Eligibility:

Organizations specifically identified by Congress in agency appropriations legislation.

Beneficiary Eligibility:

Beneficiaries are those served by the organizations receiving awards.

Credentials/Documentation:

Eligible organizations are those specifically identified by Congress in agency

appropriations legislation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirement for Federal Awards applies to this program. As stated in the award solicitation documents.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via GrantSolutions.gov to the recipients responsible officer identified in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals are contingent upon future Congressional funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

As stated in the award solicitation documents. Cash reports are not applicable. Progress reports are not applicable. As stated in the award solicitation documents. As stated in the award solicitation documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. For awards that are renewed quarterly or annually, from the date of the submission

of the quarterly or annual financial report as authorized by the DOS. Please refer to the Department of State Standard Terms and Conditions for awards(<http://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Cooperative Agreements) FY 16 \$17,475,007; FY 17 est \$17,475,007; and FY 18 est \$17,475,007 - No Data Available.

Range and Average of Financial Assistance:

\$775,007 to \$16,700,000.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In 2016, the East-West Center continued to perform critical education, training, capacity building, and convening roles to assist U.S. officials, educators, business and NGO executives, and other thought leaders for constructive engagement in Asia Pacific, the worlds fastest growing region. Consistent with previous years, the Center engages participants from all levels of society to address issues of national and regional common, while also advancing American geopolitical and geostrategic interests. A primary goal in all Center programs is to empower catalyst people who can effect change across borders, cultures, and sectors for common goods. The Center continued to create and facilitate links between Asia-Pacific and American institutions through a rich variety of cross-cultural and cross-national research and leadership activities focused, for example, on women, ASEAN, journalists, and educators designed to build international capacity on environmental sustainability, trade policy, HIV/AIDS mitigation, North Pacific Arctic studies, and the rule of law. Prominent among the more than 300 programs and events held during 2016 was the Pacific Island Conference of Leaders summit, including ten heads of state from the Pacific islands region.

The Hollings Center hosted dialogues on important topics such as challenging violent extremism (solutions to radicalization), regional security (focused on this cycle on Middle East, Africa, and Europe), and Preserving Cultural Heritage. The Hollings Center supported a young professionals in international relations speaker series, funded small grants on dialogue topics, and produced special reports on various topics. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or GrantSolutions.gov announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB circulars cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website. Refer to solicitation documents for additional requirements.

Regional or Local Office:

None.

Headquarters Office:

Lana Muck Department of State
Bureau of Educational and Cultural Affairs
Office of Academic Programs
2200 C Street, NW, SA-05
Rm. Room 4B07
, Washington, District of Columbia 20037 Email: MuckLS@state.gov Phone: 202-632-3233

Website Address:

<http://exchanges.state.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the award solicitation documents.

19.016 IRAQ ASSISTANCE PROGRAM

Iraq Assistance Programs

FEDERAL AGENCY:

Bureau of Near Eastern Affairs, Department of State

AUTHORIZATION:

Section 634 of the Foreign Assistance Act of 1961, as amended, Executive Order Department of State, Foreign Operations and Related Programs Appropriations Act, 2010, Public Law 110-161, U.S.C 7015, Part 2, Section 531, 22 U.S.C 2346.

OBJECTIVES:

To promote democracy, political development and reconciliation, economic development and rule of law in Iraq.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Seeking well designed projects that support democratic and political development, reconciliation, economic development and rule of law in Iraq.

All funds for grants will comply with the restrictions on foreign assistance, as specified in the Foreign Assistance Act of 1961, as amended. See www.grants.gov for specific announcement. This depends on the individual award. No funds may be used for police, military or para-military activities. No funds may be used to influence Iraqi national policy. All funds are discretionary. Possible micro finance projects may be funded.

Applicant Eligibility:

U.S. or foreign non-profit organizations; for-profit organizations; private institutions of higher education, public or state institutions of higher education; public international organizations; and small businesses with functional and regional experience. Each solicitation outlines who is eligible and what types of experience are needed to apply for funding.

Beneficiary Eligibility:

U.S. or foreign non-profit organizations; for-profit organizations; private institutions of higher education, public or state institutions of higher education; public international organizations; and small businesses with functional and regional experience. Each solicitation outlines who is eligible and what types of experience are needed to apply for funding. See www.grants.gov for specific announcement.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-award coordination is required for all grantees applying for foreign assistance grants through the U.S. Department of State, Bureau of Near Eastern Affairs, Office of Assistance Coordination (NEA/AC) . This coordination will take place between program officers in the field and the recipient agencies, or between program officers in Washington, DC and the recipient organizations. See www.grants.gov for specific announcement. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NEA/AC solicits proposals via program announcements posted on www.grants.gov, www.grantsolutions.gov, and on the websites of NEA/AC, and posts in the region. NEA Iraq programming implementers are selected through open competitions, directed, or limited solicitations. Occasionally, NEA/AC will

issue sole source awards. NEA/AC also enters into a limited number of Interagency Acquisition Agreements with other U.S.G. Federal agencies. See www.grants.gov for specific announcement.

Award Procedure:

Solicitations are reviewed by panels comprised of area and subject matter experts drawn from both inside and outside the State Department, including from NGOs and the private sector. Award procedures are defined by the U.S. Department of State, Administration Bureau in accordance with relevant OMB Circulars.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. See program announcements on Grants.gov.

Appeals:

No appeals.

Renewals:

From 60 to 90 days. Renewals are based on performance, need and funds availability. Renewals must be coordinated with the State/NEA program officer, the Representative of State/Administration Bureau grants officer, and the recipient.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50%. Projects benefiting the Government of Iraq may be subject to a 50% matching requirement. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award funds must be expended no more than 7 years from date of award. Method of awarding/releasing assistance: by letter of credit.

Reports:

Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, program reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, cash reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, progress reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, expenditure reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, performance monitoring reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that

expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133 if applicable.

Records:

Per OMB regulations. All records must be kept for 3 years beginning from the expiration date of the award.

Account Identification:

19-7289-9-1-037 - Economic Support Funds.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - See announcement for programs at grants.gov for more information.

Range and Average of Financial Assistance:

Estimated range for awards is \$500,000 to \$4,000,000.

TAFS Codes:

19-19.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB and Department of State regulations and guidelines will apply to all funded proposals.

Regional or Local Office:

None.

Headquarters Office:

Sara Curley 2430 E Street NW, Washington, District of Columbia 20037
Email: curleysl@state.gov Phone: (202) 776-8691 Fax: (202) 776-8500

Website Address:

<http://www.state.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Project specific, depends on the program. All awards are screened for terror finance and/or support per the relevant U.S. Department of State and federal guidelines and regulations. See www.grants.gov for specific announcement.

19.017 ENVIRONMENTAL AND SCIENTIFIC PARTNERSHIPS AND PROGRAMS

FEDERAL AGENCY:

Bureau of Oceans and International Environmental and Scientific Affairs, Department of State

AUTHORIZATION:

State Department Basic Authorities Act of 1956, as Amended; Foreign Assistance Act of 1961, as Amended.

OBJECTIVES:

To support sustainable growth across the globe, the Bureau of Oceans, Environment, and Science (OES) builds international partnerships to address environmental, scientific, and health concerns. Key areas of funding include but are not limited to, programs that: address climate change and its impact, and reduce global greenhouse gas emissions; conserve natural resources and reduce international threats to biodiversity; improve access to safe drinking water; promote a level playing field with free trade partners; protect fisheries and oceans; reduce the threat from mercury and other pollutants; and foster international scientific collaboration.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Funding is provided for eligible profits and non-profit organizations to support international environmental and scientific initiatives, as defined by the

individual program announcement in grants.gov. Various restrictions may apply, depending on the source of funding. Please refer to the individual program announcement in grants.gov for further information. For profit-organizations under exceptional circumstances may be available and are subject of prior approval.

Applicant Eligibility:

The use of assistance is to support a wide range of partnerships responding to diverse climate change and environmental initiatives.

Beneficiary Eligibility:

n/a.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants respond to a specific Notice of Funding Opportunity (NOFO) posted on www.grants.gov. SF-424 and full proposal required.

Award Procedure:

A technical review panel reviews proposals and scored them in accordance with published review criteria and determines priority program recommendations for final negotiation on the grant or cooperative agreement by a Department of State Grants Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Based on program needs and availability of funding.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Requirements vary by individual program solicitation; applications that reflect cost-sharing arrangements generally viewed more favorably.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies. See the following for information on how assistance is awarded/released: Varies by program; generally grantees request drawdown of funds based on immediate disbursement requirements through the U.S. Department of Health and Human Services Payment Management System.

Reports:

Varies; generally Semi-Annual or Quarterly Programmatic Reports, including relation of progress to expenditures, and description of progress on established milestones and indicators. Final Program and Financial report due after conclusion of program. SF-425 and any other reporting as specified in the grant agreement. Varies; generally Semi-Annual or Quarterly Programmatic Reports, including relation of progress to expenditures, and description of progress on established milestones and indicators. Final Program and Financial report due after conclusion of program. SF-425 and any other reporting as specified in the grant agreement. All programs will be monitored by Department of State Grants Officers and Grants Officers Representatives.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For all Department of State (DOS) awards, regardless of business type, the recipients are subject to the audit requirements found in OMB audit requirements. In addition, the recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C 7501-7507. For additional information please refer to the Standard Terms and Conditions (available online at <http://fa.statebuy.state.gov>).

Records:

Refer to the Department of State Standard Terms and Conditions (<http://fa.statebuy.state.gov>) for guidance.

Account Identification:

19-0000-0-0-001.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - n/a.

Range and Average of Financial Assistance:

Grants vary depending on program objectives and availability of funds.

TAFS Codes:

19-19.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Application guidelines are distributed in conjunction with the request for applications or program announcements.

Regional or Local Office:

None.

Headquarters Office:

Maria Urbina Central Programming Unit, Executive Office, Bureau of Oceans, Environment and Science, Room 2880 HST, Department of State, 2201 C Street, NW, Washington, District of Columbia 20520 Email: urbinaMA1@state.gov Phone: (202) 647-4346 Fax: (202) 647-0774

Website Address:

<http://www.state.gov/goes/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals should be responsive to the objectives and requirements set forth in each Program Announcement or Request for application posted on www.grants.gov.

19.018 RESETTLEMENT SUPPORT CENTERS (RSCS) FOR U.S. REFUGEE RESETTLEMENT

PRM

FEDERAL AGENCY:

Bureau of Population, Refugees, and Migration, Department of State

AUTHORIZATION:

The Migration and Refugee Assistance Act of 1962. The Immigration and Nationality Act, as amended by the Refugee Act of 1980.

OBJECTIVES:

The purpose of the Resettlement Support Centers (RSCs) is to assist the Bureau in preparing the necessary casework for persons eligible for interview by United States Citizenship and Immigration Services (USCIS) of the Department of Homeland Security (DHS) under the U.S. Refugee Admissions Program and, for those approved, to provide assistance in completing the additional requirements for refugee admission under Section 207 of the Immigration and Nationality Act. In addition, the RSC forwards the necessary data on all

DHS-approved cases to the Refugee Processing Center (RPC) for distribution to Reception and Placement agencies according to procedures established by the Bureau.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The cooperative agreement, grant or contribution provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval.

Applicant Eligibility:

International and non-governmental organizations.

Beneficiary Eligibility:

Refugees approved under the U.S. Refugee Admissions Program will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Non-governmental organizations (NGOs) must submit proposals for the Bureau's consideration via Grants.gov in response to funding opportunity announcements posted by the Bureau on Grants.gov to fill critical needs, with Bureau review occurring following the deadline of the funding announcement. International organizations submit appeals to the Bureau. (Funding announcements are also posted at <http://www.state.gov>.)

Organizations interested in submitting applications in response to Bureau funding opportunity announcements are encouraged to communicate directly with the Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive funding.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Admissions and other Bureau offices. The Comptroller or Deputy Comptroller of the Bureau signs the cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

May be renewable for up to two additional fiscal years based upon budget submissions on an annual basis, as long as there remains a need for the RSC and the organization operating the RSC provides satisfactory service, and subject to the availability of funds. At the end of three years, if the need to operate the RSC continues, the Bureau will re-compete the project, and the recipient of this award may participate in that process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Funds may be renewable for up to two additional fiscal years based upon budget submissions on an

annual basis, as long as there remains a need for the RSC and the organization operating the RSC provides satisfactory service, and subject to the availability of funds. At the end of three years, if the need to operate the RSC continues, the Bureau will re-compete the project, and the recipient of this award may participate in that process. See the following for information on how assistance is awarded/released: Payments will be made through the U.S. Department of Health and Human Services Payment Management System (PMS). Recipients request funds based on immediate disbursement requirements and disburse funds as soon as possible to minimize the Federal cash on hand in accordance with the policies established by the U.S. Treasury Department and mandated by the OMB Circulars.

Reports:

A final program report is due ninety (90) days following the end of the agreement. The submission date for program report will be written into the grant or cooperative agreement. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). No progress reports are required. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). The general performance of the Recipient's operations will be evaluated and monitored to ensure that the established objectives are being successfully met and to determine whether the performance is in compliance with the terms of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Bureau funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit report is due 30 days after issuance or nine months after the end of the audit period.

Records:

Retention and access requirements for records are set forth in 22 CFR 145.53.

Account Identification:

19-1143-0-1-151.

Obligations:

(Cooperative Agreements) FY 16 \$52,847,676; FY 17 est \$53,000,000; and FY 18 est \$53,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-1143.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the Bureau contact(s) listed below

Regional or Local Office:

None.

Headquarters Office:

Jennifer Smith 2025 E St. NW, 8th Floor, SA-9, Washington, District of

Columbia 20520 Email: SmithJL1@state.gov Phone: 202-453-9253

Website Address:

<https://www.state.gov/j/prm/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The purpose of the Resettlement Support Center (RSC) is to assist the Bureau in preparing the necessary casework for persons eligible for interview by United States Citizenship and Immigration Services (USCIS) of the Department of Homeland Security (DHS) under the U.S. Refugee Admissions Program and, for those approved, to provide assistance in completing the additional requirements for refugee admission under Section 207 of the Immigration and Nationality Act. In addition, the RSC forwards the necessary data on all DHS-approved cases to the Refugee Processing Center (RPC) for distribution to Reception and Placement agencies according to procedures established by the Bureau. Organizations seeking funding to provide these services must demonstrate that they can:

- a) Provide refugee processing services for all U.S. Refugee Admissions Program applicants in the location outlined in the relevant funding announcement and by the date listed in the announcement; and
- b) Relate equitably to all domestic resettlement agencies. This will include sharing relevant biographic and other background information with domestic resettlement agencies working in the Reception and Placement program (to facilitate their provision of required sponsorship assurances). Specific objectives are listed in detail in the relevant funding announcement.

19.019 INTERNATIONAL PROGRAMS TO COMBAT HUMAN TRAFFICKING

International Programs to Combat Human Trafficking

FEDERAL AGENCY:

Office to Monitor and Combat Trafficking in Persons, Department of State

AUTHORIZATION:

Foreign Assistance Act of 1961 as amended.

OBJECTIVES:

The mission of G/TIP is to combat modern slavery by employing a 3 Ps strategy: preventing trafficking in persons, protecting and assisting trafficking victims, and prosecuting traffickers and others who profit from trafficking in persons. Additionally, as Secretary Clinton has indicated, G/TIP will seek partnerships to effectuate this strategy.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

The programs will assist countries in all regions of the world to support transformational diplomacy in the areas of international crime and rule of law by strengthening the legal foundation to prosecute the crime of human trafficking and provide victim assistance. The U.S. Governments anti-trafficking paradigm prosecution, protection, and prevention, together with rescue, rehabilitation, and reintegration is a comprehensive approach that engages governments and civil society to address human trafficking internationally. See solicitation on Grants.gov. See solicitation on Grants.gov.

Applicant Eligibility:

Foreign NGOs are eligible for awards under this program.

Beneficiary Eligibility:

Foreign NGOs are eligible for awards under this program.

Credentials/Documentation:

See solicitation on Grants.gov. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

See solicitation on Grants.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O.

12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

See solicitation on Grants.gov.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

> 180 Days. See solicitation on Grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. See Grants.gov.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 3 years. Program generally awards funds through the Payment Management System operated by the Department of Health and Human Services. See the following for information on how assistance is awarded/released: Funds may be drawn down as expenses are incurred.

Reports:

Program progress reports are required under the grant agreement. For further information see Grants.gov. Financial reports are required under the grant agreement. For further information see Grants.gov. Progress reports are required under the grant agreement. For further information see Grants.gov. Expenditure reports are required under the grant agreement. For further information see Grants.gov. See Grants.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

See Grants.gov.

Account Identification:

19-1191-1-1-022.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

\$50,000 to \$750,000.

TAFS Codes:

19-19.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: xxx. Fiscal Year 2017: xxx. Fiscal Year 2018: xxx.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Mark Forstrom, 1800 G. St., N.W., Ste 2201 (SA-22), Washington, District of Columbia 20006 Email: forstromma@state.gov Phone: (202) 312-9893 Fax: (202) 312-9638.

Website Address:

<http://www.state.gov/g/tip/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. In Eastern Europe G/TIP is funding a project which supports and strengthens the host governments fight against sex and labor trafficking of children.
2. G/TIP is supporting an NGO which is working Africa through regional initiative to provide direct victim services to trafficking survivors. Services will include the provision of counseling, psychosocial support, and vocational training.
3. G/TIP is supporting an NGO to strengthen the legal response to trafficking in persons in Central Asia by improving the ability of law enforcement and the justice sector to investigate and prosecute TIP cases.

19.021 INVESTING IN PEOPLE IN THE MIDDLE EAST AND NORTH AFRICA

Investing in people/ cultural/educational/alumni/information and media efforts in the Middle East and North Africa.

FEDERAL AGENCY:

Bureau of Near Eastern Affairs, Department of State

AUTHORIZATION:

Fulbright Hays Act - The Mutual Educational and Cultural Exchange Act of 1961, Public Law 87-256; Foreign Assistance Act of 1961; as amended by Foreign Assistance Act, Public Law 87-195, U.S.C. 22; Smith Mundt Act-The United States Information and Educational Exchange Act of 1948, Public Law 80-402.

OBJECTIVES:

To support programs, projects and activities to include (but not limited to) cultural, educational, alumni, information and media efforts in the Middle East and North Africa. NEA Public Diplomacy objectives are focused on active promotion of projects which promote mutual understanding and invest in people.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grants and Cooperative agreements to support programs, projects and activities to include (but not limited to) cultural, educational, alumni, information and media efforts in the Middle East and North Africa. Awards are designed to benefit local organizations and citizens of countries in the Middle East and North Africa.

Applicant Eligibility:

Not-For-Profit organizations subject to 501(c)(3) of the U.S. tax code or registered as a non-profit organization in the entity's home country. Organizations that have and have not previously received international program funding from the U.S. Government. Applicants with demonstrated experience in work with vendors, suppliers, contractors, etc. and appropriately staffed offices in country will be preferred.

Beneficiary Eligibility:

Local organizations, citizens of countries in the Middle East and North Africa, and the U.S.

Credentials/Documentation:

Please refer to grants.gov and/or Federal Register announcement for further eligibility criteria. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

A technical review panel reviews proposals and scores them in accordance with published review criteria and determines priority program recommendations for final negotiation on the grant and/or cooperative agreement. Further details, see program announcement grants.gov.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

see program announcement grants.gov.

Appeals:

Not Applicable.

Renewals:

Based on program needs and availability of funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies.

See announcement in grants.gov. See the following for information on how assistance is awarded/released: As stated in grants.gov and/or Federal Register announcements. Cash reports are not applicable.

Reports:

Reporting requirements will be outlined in the Grant and/or Cooperative Agreement, e.g., Quarterly Programmatic Reports, including relation of progress to expenditures in the narrative, Financial Reports, and final report including program evaluation. See announcement in grants.gov regarding applicability of cash reports. Reporting requirements will be outlined in the Grant and/or Cooperative Agreement, e.g., Quarterly Programmatic Reports, including relation of progress to expenditures in the narrative, Financial Reports, and final report including program evaluation. Reporting requirements will be outlined in the Grant and/or Cooperative Agreement, e.g., Quarterly Programmatic Reports, including relation of progress to expenditures in the narrative, Financial Reports, and final report including program evaluation. Reporting requirements will be outlined in the Grant and/or Cooperative Agreement, e.g., Quarterly Programmatic Reports, including relation of progress to expenditures in the narrative, Financial Reports, and final report including program evaluation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per OMB regulations. All records must be kept for 3 years beginning from the expiration date of the award.

Account Identification:

19-0101-1-3-000 - 190/10113.000.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - Amounts are Estimates.

Range and Average of Financial Assistance:

Range: 10,000 to over 5 Million
Avg: 500,000.

TAFS Codes:

19-19.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Lavenia Holland, 2201 C Street NW, HST Building, Washington, District of Columbia 20520 Email: hollandny@state.gov Phone: (202) 6476397 Fax: (202) 776 - 8869.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.022 EDUCATIONAL AND CULTURAL EXCHANGE PROGRAMS APPROPRIATION OVERSEAS GRANTS

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Mutual Educational and Cultural Exchange Act of 1961 (Fulbright-Hays Act), as amended, Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

To provide assistance awards by U.S. diplomatic missions abroad made directly to eligible organizations in the United States using funds appropriated to and authorized by the Bureau of Educational and Cultural Affairs for the purpose of supporting international exchanges that address issues of mutual interest to the United States and other countries, consistent with the program criteria established in the Departments annual appropriation. As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange; to strengthen the ties which unite us with other nations by demonstrating the educational and cultural interests, developments, and achievements of the people of the United States and other nations, and the contributions being made toward a peaceful and more fruitful life for people throughout the world; to promote international cooperation for educational and cultural advancement; and thus to assist in the development of friendly, sympathetic, and peaceful relations between the United States and the other countries of the world."

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Awards made by Overseas Public Diplomacy Offices may support any activity authorized by the Fulbright-Hays Act for which funding has been appropriated by Congress through the annual Educational and Cultural Exchange Programs Appropriation administered by the Department of State (DOS) Bureau of Educational and Cultural Affairs. The activities are designed to promote U.S. interests in designated countries around the world by developing mutual understanding of key issues and promoting long-lasting ties between the United States and other countries. Awards are made to eligible non-profit corporations, institutions or individuals. Awards under this program are specific to those made to domestic (U.S.) institutions or individuals and supported by funds appropriated to and approved by the Bureau of Educational and Cultural Affairs.

Applicant Eligibility:

Projects must support the development of mutual understanding between the

United States and other countries in any area of importance to U.S. interests in those countries.

Beneficiary Eligibility:

Beneficiaries include individuals selected for participation in the project, educational institutions, and Americans and people of other countries who interact with international participants.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Program announcements and application procedures may vary widely depending on the overseas post and program, including through grants.gov as applicable. Note that overseas posts may also administer programs limited to overseas applicants, which are not covered by this CFDA entry.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

See announcement at Grants.gov.

Appeals:

Not Applicable.

Renewals:

As stated in the original solicitation document at Grants.gov.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of time for which assistance is available varies depending on the specific program and will be stated in the terms and conditions of the award. See the following for information on how assistance is awarded/released: The method of assistance may vary depending on the specific program and will be stated in the terms and conditions of the award.

Reports:

Program reports are to be submitted in accordance with the terms and conditions of the award. Cash reports may be required in accordance with the terms and conditions of the award. Progress reports may be required in accordance with the terms and conditions of the award. Expenditure reports are to be submitted in accordance with the terms and conditions of the award. Performance monitoring may be required in accordance with the terms and conditions of the award.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Please refer to the Department of State Standard Terms and Conditions for awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. For awards that are renewed quarterly or annually, records pertinent to the award must be maintained for three years from the date of the submission of the quarterly or annual financial report as authorized by the DOS. Please refer to the Department of State Standard Terms and Conditions for awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Project Grants) FY 16 \$1,403,343; FY 17 est \$1,403,343; and FY 18 est \$1,403,343

Range and Average of Financial Assistance:

Award amounts may vary widely depending on the nature of the project, from \$25,000 up to approximately \$88,000. A typical institutional award may total \$50,000 or less.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for programs will vary with individual activities and posts.

Regional or Local Office:

None.

Headquarters Office:

Yolanda Robinson, Bureau of Educational and Cultural Affairs, Department of State, 2200 C Street, SA-5, Room 04-P04, Washington, District of Columbia 20522-0504 Email: RobinsonYJ@state.gov Phone: (202) 632-3358.

Website Address:

<http://exchanges.state.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals vary by awards supporting individual activities and are outlined in the solicitations for individual activities.

19.023 OVERSEAS SCHOOLS PROGRAM**FEDERAL AGENCY:**

Office of Overseas Schools, Department of State

AUTHORIZATION:

Department of State Basic Authorities Act of 1956, as amended by the Foreign Service Act of 1980., 22 U.S.C 2701; The Foreign Assistance Act of 1961 as amended., Section 636c and d.

OBJECTIVES:

The Office of Overseas Schools promotes quality educational opportunities at the elementary and secondary school levels for dependents of American citizens carrying out programs and interests of the U.S. Government abroad. The Office also works to increase mutual understanding between the people of the United States and the people of other countries through educational institutions which demonstrate American educational practices, principles and methods employed in the United States.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

A/OPR/OS gives grant assistance to approximately 200 American-sponsored overseas schools. These schools meet criteria outlined in the Foreign Affairs Manual (2 FAM 600), which requires that they be non-profit, non-church related and serve American interests. Schools accepting the grants agree to admit all eligible USG dependents. Grants must be used for approved educational purposes such as curriculum purchase, teacher training, and educational supplies/equipment.

Applicant Eligibility:

Grants are generally restricted to selected overseas schools.

Beneficiary Eligibility:

Dependents of American citizens carrying out programs and interests of the U.S. Government abroad are the primary beneficiaries.

Credentials/Documentation:

Schools must meet all requirements as prescribed in the Foreign Affairs Manual, Volume 2, Section 600. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Post and Legal approve school's eligibility to receive a grant. School applies to A/OPR/OS, which decides the amount and purpose(s) of the grant, prepares the documents and sends them to school/post to execute. School signs and returns copies to post and A/OPR/OS.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are generally 12 - 18 months in length. See the following for information on how assistance is awarded/released: Schools spend funds as outlined in grant and submit receipts to post for payment. Grants are reimbursable.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. A/OPR/OS has an OMB approved form (DS-2028) that grantees submit to report expenditures. The form is provided at the time the grant is issued. Regional Education Officers from A/OPR/OS visit posts/schools to review performance. There is also regular email dialog between schools, posts and A/OPR/OS on performance matters.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Currently, all A/OPR/OS grants are well below the audit threshold.

Records:

Grantee must maintain grant files for three years from closeout of the grant.

Account Identification:

19-4519-0-0-001 - 19___x45190001 (ICASS funds).

Obligations:

(Project Grants) FY 16 Not Available; FY 17 est \$1,200,000; and FY 18 est \$12,000,000

Range and Average of Financial Assistance:

Grants range from \$5000 to \$400,000. Majority of grants are less than \$40,000.

TAFS Codes:

19-19.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Wanda M Lyles A/OPR/OS
2401 C St. NW
Room H328, SA-1, Washington, District of Columbia 20522-0103 Email:
lyleswm2@state.gov Phone: 202-261-8203 Fax: 202-261-8224

Website Address:

<http://www.state.gov/m/a/os>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.025 U.S. AMBASSADORS FUND FOR CULTURAL PRESERVATION

AFCP, USAFCP

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961 (Fulbright-Hays Act), Public Law 87-256; Foreign Assistance Act of 1961, Public Law 87-195.

OBJECTIVES:

- 1) Support the preservation of cultural heritage overseas
- 2) Show respect for the cultural heritage of other countries
- 3) Support U.S. diplomatic objectives and foreign policy goals.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The U.S. Ambassadors Fund for Cultural Preservation supports activities that contribute to the preservation of cultural sites, cultural objects and collections, and forms of traditional cultural expression (intangible heritage). These activities may involve: in the case of CULTURAL SITES, restoration of an historic building, an archaeological survey as a component of a preservation plan, preservation management planning for a site, or documentation of sites in a region for preservation purposes; in the case of CULTURAL OBJECTS AND COLLECTIONS, conservation treatment for an object or collection of objects; needs assessment of a collection with respect to its condition and strategies for improving its state of conservation; inventory of a collection for conservation purposes; the creation of safe environments for storage or display of collections; or specialized training in the preservation and protection of collections; in the case of FORMS OF TRADITIONAL CULTURAL EXPRESSION, documentation and audiovisual recording of traditional music and dance forms for preservation and eventual dissemination, or support for training in the preservation of traditional arts or crafts that are threatened by extinction. An appropriate preservation activity is one that protects the values of the site, object or collection, or form of traditional cultural expression as they are understood by stakeholders. Stakeholders may include national, regional, or local cultural authorities, the local community, and others with vested interests in the site and the outcome of a project. The U.S. Ambassadors Fund for Cultural Preservation does not support the following:

- Preservation or purchase of privately or commercially owned cultural objects, collections, or real property, including those whose transfer from private or commercial to public ownership is envisioned, planned, or in process but not complete at the time of proposal submission;

- Preservation of natural heritage (physical, biological, and geological formations, paleontological collections, habitats of threatened species of animals and plants, fossils, etc.);
- Preservation of hominid or human remains;
- Preservation of news media (newspapers, newsreels, radio and TV programs, etc.);
- Preservation of published materials available elsewhere (books, periodicals, etc.);
- Development of curricula or educational materials for classroom use;
- Archaeological excavations or exploratory surveys for research purposes;
- Historical research, except in cases where the research is justifiable and integral to the success of the proposed project;
- Acquisition or creation of new exhibits or collections for new or existing museums;
- Construction of new buildings or permanent coverings (over archaeological sites, for example);
- Commissions of new works of art or architecture for commemorative or economic development purposes;
- Creation of new or the modern adaptation of existing traditional dances, songs, chants, musical compositions, plays, or other performances;
- Creation of replicas or re-creation of cultural objects or sites that no longer exist;
- Relocation of cultural sites from one physical location to another;
- Removal of cultural objects or elements of cultural sites from the country for any reason;
- Digitization of cultural objects or collections, unless part of a larger, clearly defined conservation effort;
- Conservation plans or other studies, unless they are one component of a larger project to implement the results of those studies;
- Cash reserves, endowments, or revolving funds (funds must be expended within the award period [up to five years] and may not be used to create an endowment or revolving fund);
- Costs of fund-raising campaigns;
- Indirect costs or operating expenses, i.e. expenses incurred during the day-to-day operational activities of an organization, such as office rent, utilities, license fees, and other costs for administrative time, services, or materials not directly related to performing project work;
- Contingency, unforeseen, or miscellaneous costs or fees;
- Costs of work performed prior to announcement of the award;
- International travel, except in cases where travel is justifiable and integral to the success of the proposed project;
- Travel or study for professional development;
- Individual projects costing less than \$10,000;
- Independent U.S. projects overseas. Discretionary funds: 100%.

Applicant Eligibility:

The U.S. Ambassadors Fund for Cultural Preservation supports the preservation of cultural heritage in more than 130 eligible countries around the world. Eligible entities may apply through U.S. embassies for fund support for the preservation of cultural sites, cultural objects and collections, and forms of traditional cultural expression (intangible heritage).

Beneficiary Eligibility:

Eligible countries: Afghanistan, Albania, Algeria, Angola, Antigua & Barbuda, Armenia, Azerbaijan, Bangladesh, Barbados, Belarus, Belize, Benin, Bhutan, Bolivia, Bosnia & Herzegovina, Botswana, Brazil, Bulgaria, Burkina Faso, Burma, Burundi, Cambodia, Cameroon, Cape Verde, Central African Republic, Chad, China, Colombia, Comoros, Congo (Democratic Republic of the), Congo (Republic of), Costa Rica, Cote d'Ivoire, Cuba, Djibouti, Dominica, Dominican Republic, Ecuador, Egypt, El Salvador, Equatorial Guinea, Eritrea, Ethiopia, Fiji, Gabon, Gambia, Georgia, Ghana, Grenada, Guatemala, Guinea, Guinea-Bissau, Guyana, Haiti, Honduras, India, Indonesia, Iraq, Jamaica, Jordan, Kazakhstan, Kenya, Kiribati, Kosovo, Kyrgyzstan, Laos, Lebanon, Lesotho, Liberia, Libya, Macedonia, Madagascar, Malawi, Malaysia, Maldives, Mali, Marshall Islands, Mauritania, Mauritius, Mexico, Micronesia, Moldova, Mongolia, Montenegro, Morocco, Mozambique, Namibia, Nauru, Nepal, Nicaragua, Niger, Nigeria, Oman, Pakistan, Palau, Panama, Papua New Guinea, Paraguay, Peru, Philippines, Romania, Russian Federation, Rwanda, Saint Kitts & Nevis, Saint Lucia, Saint Vincent & the Grenadines, Samoa, Sao Tome & Principe, Senegal, Serbia, Seychelles, Sierra Leone, Solomon Islands, South

Africa, South Sudan, Sri Lanka, Sudan, Suriname, Swaziland, Syria, Tajikistan, Tanzania, Thailand, Timor-Leste, Togo, Tonga, Trinidad & Tobago, Tunisia, Turkey, Turkmenistan, Tuvalu, Uganda, Ukraine, Uzbekistan, Vanuatu, Venezuela, Vietnam, Yemen, Zambia, and Zimbabwe.

Credentials/Documentation:

Proposal must include a letter or other document granting official permission to undertake the project. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Contact the Public Affairs Section at the U.S. Embassy in the country where the project is to take place for detailed application instructions. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Organizations interested in applying for support from the U.S. Ambassadors Fund for Cultural Preservation must apply through the Public Affairs Section of the U.S. Embassy in the country where the proposed project is to take place.

Award Procedure:

Following submission through U.S. embassies, applications for support from the U.S. Ambassadors Fund for Cultural Preservation undergo a comprehensive screening, rating, ranking, and selection process. U.S. embassies announce award recipients.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Maximum of 5 years. See the following for information on how assistance is awarded/released: Assistance customarily released in a minimum of three installments tied to project benchmarks.

Reports:

Recipients are required to submit quarterly financial reports and, at minimum, biannual progress reports covering the periods January-June and July-December for the duration of the project. Recipients are required to submit final reports upon completion of projects. Cash reports are not applicable. Recipients are required to submit, at minimum, biannual progress reports, including SF-PPR cover page, covering the periods January-June and July-December for the duration of the project. Recipients are required to submit final reports upon completion of projects. Recipients are required to submit quarterly financial reports covering the periods January-March, April-June, July-September, and October-December for the duration of the project. Recipients are required to submit final financial reports upon completion of projects. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are expected to retain records for a period of three years after the submission of the final expenditure report.

Account Identification:

19-0000-0-0-000 - Varies by U.S. Embassy.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 est \$5,750,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Awards in Fiscal Year 2015 ranged from \$10,000 to \$695,000 with an average award amount of \$154,000.

TAFS Codes:

19-0113.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. For more information, contact the Public Affairs Section of the U.S. embassy in the country where the project is to take place.

Headquarters Office:

Martin J. Perschler, ECA/P/C, SA-5, C2, Department of State, Washington, District of Columbia 20522-0582 Email: perschlermj@state.gov Phone: (202) 632-6308 Fax: (202) 632-6300

Website Address:

<http://go.usa.gov/jeUC>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on the criteria specified in the annual Notice of Internal Funding Opportunity (NOIFO) and based on proposal quality and project merit. Proposals may be deemed ineligible if they do not fully adhere to U.S. Ambassadors Fund for Cultural Preservation program priorities, eligibility and proposal requirements, application procedures, and restrictions.

19.031 RESEARCH AND DEVELOPMENT - PHYSICAL SECURITY PROGRAMS

Research and Development

FEDERAL AGENCY:

Diplomatic Security, Department of State

AUTHORIZATION:

Omnibus Diplomatic Security and Antiterrorism Act of 1986, as amended (22 U.S.C. 4802 et seq.) , Public Law 100-204, 22 U.S.C 4802.

OBJECTIVES:

The Research and Development Program evaluates and recommends physical security countermeasures against terrorism threat for blast mitigation, anti-ram barriers, and forced-entry ballistic-resistant systems.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance can be used to design and evaluate physical security countermeasures against terrorism threat for blast mitigation, anti-ram barriers, and forced-entry ballistic-resistant systems.

Applicant Eligibility:

The Vehicle Anti-Ram program develop passive and active anti-ram designs to lower the cost of providing anti-ram protection.

The Blast Mitigation program develops, tests, and fields systems and techniques that protect USG employees and property from the effects of attacks employing

explosives.

The Force-Entry Ballistic-Resistance program develops and certifies FE/BR construction products and systems to protect USG employees and property from the effects of forced-entry attacks.

Beneficiary Eligibility:

USG Personnel and Facilities are the beneficiary of all research and development programs and products.

Credentials/Documentation:

1. Accreditation Board for Engineering and Technology (ABET)-certified Academic educational institutions, colleges and universities of Higher Education -located in the United States of America and its Territories. Proposals are encouraged from Historically Black Colleges and Universities in accordance with 20 USC 1067k (3) and 10 USC 2323(a)(1)(C), or
2.State and Local Government institutions certified by OSHA and ASTM standards. Proposals are encouraged from Federally recognized Indian Tribal governments in accordance with Section 3 of the Alaska Native Claims Settlement Act, 85 Stat 688. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

- 1)Submit a completed government-wide version of form SF-424: Application for Federal Assistance available on line at <http://apply07.grants.gov/apply/FormLinks?family=15>
- 2)Proposals must be submitted electronically through the www.grants.gov website using the apply process. All applicants must register with Grants.gov prior to submitting a proposal.
- 3)For new users, begin the registration process early since it could take up to two weeks to obtain validation and confirmation from Grants.gov. Until that process is complete, you will not be issued a user password for Grants.gov. The required information to complete the registration process is as follows:
 - a. Valid DUNS number (Contact 1-866-705-5711 for inquiries)
 - b. Registered with CCR (Central Contractor Registry)
- 4)Proposals submitted by any other means may not be considered or reviewed.
- 5)Proposal receipt after the specified due date and time shall be governed by the provisions of the Federal Acquisition Regulation (FAR) 52.215-1(c)(3). Proposals outside of the scope as described herein will not be further reviewed.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. a. Proposals will be evaluated based on the following descending order of importance:

- 1)Experience
- 2) Qualified facility
- 3) Principal Officer or Project Lead
- 4) Cost Proposal.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Anticipated Period of Award: 5 Years. Method of awarding/releasing

assistance: lump sum.

Reports:

1) The Recipient shall submit quarterly financial reports within 15 days after the quarter ends and program reports within 30 days after the calendar year quarter ends and/or the project ends. A final certified financial report and program report must be submitted to the Grants Officer Representative and Grants Officer within 90 days after the award period end date.

2) Financial reports are to be submitted electronically using Form FFR (SF-425): Federal Financial Report through the Payment Management System (PMS) under US Department of Health and Human Services website available on line at www.dpm.psc.gov.

3) Program reports shall be provided in written format, in scientific and technical terms, to describe how the research aligns with the objective and scope involved. It should describe how the goals of the projects were met, what problems were encountered and how they were resolved, the likely future impact, what new contacts were made, what new plans or projects were conceived, and what issues were discussed and the participants. The final report must provide additional project documentation such as photos, detailed write-ups, and published information, which demonstrates the overall benefits of the award requirements. Cash reports are not applicable. Program reports shall be provided in written format, in scientific and technical terms, to describe how the research aligns with the objective and scope involved. It should describe how the goals of the projects were met, what problems were encountered and how they were resolved, the likely future impact, what new contacts were made, what new plans or projects were conceived, and what issues were discussed and the participants. The final report must provide additional project documentation such as photos, detailed write-ups, and published information, which demonstrates the overall benefits of the award requirements. Financial reports are to be submitted electronically using Form FFR (SF-425): Federal Financial Report through the Payment Management System (PMS) under US Department of Health and Human Services website available online at www.dpm.psc.gov. Program reports shall be provided in written format, in scientific and technical terms, to describe how the research aligns with the objective and scope involved. It should describe how the goals of the projects were met, what problems were encountered and how they were resolved, the likely future impact, what new contacts were made, what new plans or projects were conceived, and what issues were discussed and the participants. The final report must provide additional project documentation such as photos, detailed write-ups, and published information, which demonstrates the overall benefits of the award requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records are required for three years after the project ends.

Account Identification:

19-0011-3-0-000 - Actual # is 19X0113.000.

Obligations:

(Salaries) FY 16 Not Available; FY 17 est \$1,222,000; and FY 18 est \$250,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-031.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2. State and Local Government institutions certified by OSHA and ASTM standards. Proposals are encouraged from Federally recognized Indian Tribal

governments in accordance with Section 3 of the Alaska Native Claims Settlement Act, 85 Stat 688.

Regional or Local Office:

None.

Headquarters Office:

Russell J. Norris 1400 Wilson Blvd.
, Arlington, Virginia 22209 Email: NorrisRJ@state.gov Phone: 7033123125

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.035 CYBER CAPACITY BUILDING

Cyber Capacity Building

FEDERAL AGENCY:

Office of the Coordinator for Cyber Issues, Department of State

AUTHORIZATION:

section 634A of the Foreign Assistance Act of 1961, as amended (FAA), and sections 7015(c) and (f), and section 7009(c) of the Department of State, Foreign Operations and Related Programs Appropriations Act, 2016 (Div. K, P.L. 114-113).

OBJECTIVES:

To enhance global cybersecurity.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants (Capacity Building and Complaint Processing, Training)

USES AND USE RESTRICTIONS:

To develop and implement cyber capacity building programs.
See www.grants.gov for specific application. Economic support funds cannot be used for military or law enforcement.

Applicant Eligibility:

See www.grants.gov for specific application.

Beneficiary Eligibility:

See www.grants.gov for specific application.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See www.grants.gov for specific application

Award Procedure:

See www.grants.gov for specific application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

See www.grants.gov for specific application.

Appeals:

Not Applicable.

Renewals:

See www.grants.gov for specific application.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

See www.grants.gov for specific application. See the following for information on how assistance is awarded/released: See www.grants.gov for specific application.

Reports:

See www.grants.gov for specific application. Cash reports are not applicable.
See www.grants.gov for specific application. See www.grants.gov for specific application. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See www.grants.gov for specific application

Records:

Records should be kept for three years after the final report
See www.grants.gov for specific application.

Account Identification:

19-0000-0-1-000.

Obligations:

(Project Grants) FY 16 \$0; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

See www.grants.gov for specific application.

TAFS Codes:

19-19.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Joanna LaHaie 2201 C street, NW, Washington, District of Columbia 20520
Email: lahaiejmc@state.gov Phone: 2026473918

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: The United States provides training and assistance to nations on organizing national cybersecurity efforts around key building blocks and support international coordination. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See www.grants.gov for specific application.

19.040 PUBLIC DIPLOMACY PROGRAMS

FEDERAL AGENCY:

Under Secretary for Public Diplomacy and Public Affairs , Department of State

AUTHORIZATION:

Fulbright Hayes Act, the Mutual and Cultural Exchange Act of 1961 and PL

256, Foreign Assistance Act of 1961 as amended. USC 22, Smith-Mundt Act - US Information and Education Exchange Act of 1948, PL 80-402.

OBJECTIVES:

To support the achievement of U.S. foreign policy goals and objectives, advance national interests, and enhance national security by informing and influencing foreign publics and by expanding and strengthening the relationship between the people and government of the United States and citizens of the rest of the world.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To support the achievement of U.S. foreign policy goals and objectives, advance national interests, and enhance national security by informing and influencing foreign publics and by expanding and strengthening the relationship between the people and government of the United States and citizens of the rest of the world.

See specific announcement in www.grants.gov.

Applicant Eligibility:

See specific announcement in www.grants.gov.

Beneficiary Eligibility:

Local organizations. See specific announcement in www.grants.gov. Citizens throughout the world as determined by post.

Credentials/Documentation:

See specific announcement in www.grants.gov. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See individual announcement at www.grants.gov.

Award Procedure:

A technical review panel reviews proposals and scores them in accordance with published review criteria and determines priority program recommendations for final negotiation on the grant. For further details see individual program announcement @ www.grants.gov.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As determined by post and Embassies. For further details see individual program announcement @ www.grants.gov.

Appeals:

Not Applicable.

Renewals:

Based on program needs and availability of funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For further details see individual program announcement @ www.grants.gov. See the following for information on how assistance is awarded/released: For further details see individual program announcement @ www.grants.gov.

Reports:

Usually quarterly, further details see individual program announcement @ www.grants.gov. Usually quarterly, further details see individual program announcement @ www.grants.gov. Usually quarterly, further details see

individual program announcement @ www.grants.gov. Reporting requirement will be outlined in the grant or cooperative agreement. Reporting requirement will be outlined in the grant or cooperative agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per OMB regulations all records should be kept for three years, beginning from the expiration date of the award.

Account Identification:

19-1201-1-3-000.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable - Public Diplomacy funds are for use by Embassies worldwide.

Range and Average of Financial Assistance:

Range between \$10,000 and \$5,000,000. Average \$50,000.

TAFS Codes:

19-19.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. See Embassy website for additional information.

Headquarters Office:

Carol F. Keith 2200 C Street, N.W.
R/PPR/R, 5th Floor, Washington, District of Columbia 20522. Email: KeithCF@state.gov Phone: 202-632-3341.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.121 CONFLICT AND STABILIZATION OPERATIONS

CSO

FEDERAL AGENCY:

Bureau of Conflict and Stabilization Operations, Department of State

AUTHORIZATION:

State Department Basic Authorities Act; Foreign Assistance Act.

OBJECTIVES:

The Bureau of Conflict and Stabilization Operations (CSO) is part of the Under Secretariat for Civilian Security, Democracy, and Human Rights (J) within the Department of State (DOS). CSO's mission is to strengthen U.S. national security by breaking cycles of violent conflict and mitigating crisis in priority countries. Guided by local dynamics, CSO acts quickly to devise sustainable solutions to address the full spectrum of conflict, from prevention to crisis response to stabilization. Building more effective partnerships with capable implementing organizations with experience in conflict prevention and stabilization activities is integral to the CSO mission and as such, CSO seeks to identify qualified partners, facilitators and implementers from among private and nonprofit entities. Funding may be made available for flexible, rapid,

targeted, and high-impact awards to organizations to prevent, mitigate and/or respond to conflict situations and stabilize countries around the world.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Some examples of past CSO activities include: Burma: CSO is supporting efforts to increase trust between the Burmese government and ethnic minorities by developing locally-owned programs, including mine-risk education, survivor assistance, and non-technical surveys. Kenya: CSO is working with the U.S. Embassy in Nairobi and other partners to prevent the sort of violence that occurred after the 2007 elections. The goal is to promote free, fair, and peaceful elections that will help ensure Kenya's stability and prosperity. To help achieve this goal, our team is focusing on hotspots and is working alongside Kenyan groups such as Champions of Peace. Sierra Leone: CSO piloted a project from June 2012-January 2013 to strengthen the role of women in conflict mitigation and prevention during the 2012 election cycle in Sierra Leone. The project focused on boosting the involvement of women in conflict prevention and non-violence advocacy at the national and sub-national levels and developing relationships between women and local election authorities to build and strengthen early warning and response networks in the lead up to and during the elections. Honduras: CSO is working to improve security in Honduras by reforming the security sector and visibly reducing violence. CSO works with local partners to increase public confidence in government institutions as well as to decrease impunity by supporting civil society and government institutions to reform the security sector. CSO also attempts to decrease homicide rates and other manifestations of intimidation in urban centers by mobilizing civil society and local leaders. The above examples are illustrative only. CSO recommends applicants view the open application on www.grants.gov for more information. CSO recommends applicants view the open application on www.grants.gov for more information.

Applicant Eligibility:

CSO recommends applicants view the open application on www.grants.gov for more information.

Beneficiary Eligibility:

CSO recommends applicants view the open application on www.grants.gov for more information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. CSO recommends applicants view the open application on www.grants.gov for more information.

Award Procedure:

CSO recommends applicants view the open application on www.grants.gov for more information.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Due to the rapid response nature of CSOs engagements, organizations should be prepared to respond to subsequent invitations for proposals within a short time, such as within 10 working days. CSO recommends applicants view the open application on www.grants.gov for more information.

Appeals:

Not Applicable.

Renewals:

CSO recommends applicants view the open application on www.grants.gov for

more information.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. CSO recommends applicants view the open application on www.grants.gov for more information.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

CSO recommends applicants view the open application on www.grants.gov for more information. See the following for information on how assistance is awarded/released: SF-270, PMS, or other methods as necessary, CSO recommends applicants view the open application on www.grants.gov for more information.

Reports:

Quarterly or as stipulated by the award, CSO recommends applicants view the open application on www.grants.gov for more information. Quarterly or as stipulated by the award, CSO recommends applicants view the open application on www.grants.gov for more information. Quarterly or as stipulated by the award, CSO recommends applicants view the open application on www.grants.gov for more information. Quarterly or as stipulated by the award, CSO recommends applicants view the open application on www.grants.gov for more information. CSO recommends applicants view the open application on www.grants.gov for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. OMB Circular A-133 Audit

Records:

Records are maintained for three years after the close-out of the award.

Account Identification:

19-0121-0-0-000 - CSO Account; 19-7223-1-0-370 - ESF; 19-0113-0-0-000 - 1207.

Obligations:

(Project Grants) FY 16 \$17,500,000; FY 17 est \$6,650,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

CSO recommends applicants view the open application on www.grants.gov for more information.

TAFS Codes:

19-19-121.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY2016, CSO issued 18 federal assistance awards focused in regions all across the globe. One of CSO's most successful programs awarded in FY16 supported the peace process in Colombia. CSO's awardee implemented a barometer program that links bureau stabilization priorities with early warning/early response mechanisms and post-accord implementation monitoring capabilities with the goal of improving Colombia's ability to successfully implement a peace agreement, once reached. Fiscal Year 2017: In FY2017, CSO issued 11 federal assistance awards. These awards touched on nearly all of CSO's thematic focus areas - Peace Process Support, Electoral Violence, Countering Violent Extremism, Transitional Security, and Atrocity Prevention. One standout program will identify key indicators of violent extremism, allow for monitoring the effectiveness and impact of CVE interventions, and fill critical gaps in understanding of drives and resiliencies of VE in six target countries. Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ashley O. Perrin 2121 Virginia Avenue, Suite 7100, Washington, District of Columbia 20037 Email: perrinao@state.gov Phone: 2026630316

Website Address:

<http://www.state.gov/j/cso/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

CSO recommends applicants view the open application on www.grants.gov for more information.

19.221 IRAN ASSISTANCE PROGRAM

Iran Assistance

FEDERAL AGENCY:

Bureau of Near Eastern Affairs, Department of State

AUTHORIZATION:

Section 634 of the Foreign Assistance Act of 1961, as amended, Executive Order Department of State, Foreign Operations and Related Programs Appropriations Act, 2010, Public Law 110-161, U.S.C 7015.

OBJECTIVES:

To support democracy and human rights in the Near East region.

TYPES OF ASSISTANCE:

Cooperative Agreements; Cooperative Agreements (Discretionary Grants); Project Grants

USES AND USE RESTRICTIONS:

All funds for grants will comply with the restrictions on foreign assistance, as specified in the Foreign Assistance Act of 1961, as amended. See www.grants.gov for specific announcement. This depends on the individual award. Funds can only be used to promote democracy and human rights.

Applicant Eligibility:

Non-profit, for-profit organizations or state and local governments interested in partnering with the NEA/AC to promote democratic change in the Near East.

Beneficiary Eligibility:

Any award made using State/NEA funds requires the full complement of standard federal forms and budget documents, CVs of main program staff, as dictated by the U.S. Department of State, Bureau of Administration, and the relevant OMB circulars.

See www.grants.gov for specific announcement. OMB Circular No. A-87 applies to this program.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-award coordination is required for all grantees applying for foreign assistance grants through the U.S. Department of State, Bureau of Near Eastern Affairs, Office of Assistance Coordination (NEA/AC). This coordination will take place between program officers in the field and the recipient agencies, or between program officers in Washington, DC and the recipient organizations. See www.grants.gov for specific announcement. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NEA/AC solicits proposals via program announcements posted on www.grants.gov, www.grantsolutions.gov, and on the websites of NEA/AC, and posts in the

region. Iran programming implementers are selected through open competitions, directed, or limited solicitations. Occasionally, NEA/AC will issue sole source awards. NEA/AC also enters into a limited number of Interagency Acquisition Agreements with other U.S.G. Federal agencies. See www.grants.gov for specific announcement.

Award Procedure:

A technical review panel reviews proposals and scores them in accordance with published review criteria and determines priority program recommendations for final negotiation on the grant. Award procedures are defined by the U.S. Department of State, Administration Bureau in accordance with relevant OMB Circulars.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Renewals must be coordinated with the State/NEA program officer, the Representative of State/Administration Bureau grants officer, and the recipient.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Although the average length is 24 months, the specific length of time for Iran programming grant may vary from one award to another. Details for each can be found on the respective announcements. All assistance for Centralized Payments, Cash Management, Financial Reporting, Debt Management, and Audit Assistance is with the Department of Health and Human Services, Division of Payment Management one of the two non-DoD grants payment systems for use by the entire Federal Government. See the following for information on how assistance is awarded/released: All assistance is with the Division of Payment Management.

Reports:

Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, program reports may be required either quarterly, semi-annually, or annually. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, program reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer. No cash reports are required. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, progress reports may be required either quarterly, semi-annually, or annually. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, progress reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer. Quarterly Financial Review Reports. Quarterly Progress Review Reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that

expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133 if applicable.

Records:

All project/program and financial records must be retained by awards recipients for three years.

Account Identification:

19-4401-0-1-150.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

The range is \$500,000 to \$2,500,000 with an average of \$1,500,000.

TAFS Codes:

19-19.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sara Curley 2430 E Street NW, Washington, District of Columbia 20037
Email: curleysl@state.gov Phone: (202) 776-8691 Fax: (202) 776-8500.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Project specific, depends on the program. All awards are screened for terror finance and/or support per the relevant U.S. Department of State and federal guidelines and regulations. See www.grants.gov for specific announcement.

19.222 TRANS-SAHARA COUNTERTERRORISM PARTNERSHIP (TSCTP)

Trans-Sahara Counterterrorism Partnership (TSCTP)

FEDERAL AGENCY:

Bureau of Near Eastern Affairs, Department of State

AUTHORIZATION:

Department of State, Foreign Operations, and Related Programs Appropriations Act, 2015, Public Law 113-235.

OBJECTIVES:

The Trans-Sahara Counterterrorism Partnership (TSCTP) policy objective is to create an environment that will disrupt the ability of terrorists, violent extremist organizations (VEOs), and traffickers to operate by promoting regional government and non-government capacity building partnerships in the Sahel and Maghreb. Partner countries include Burkina Faso, Cameroon, Chad, Mali, Mauritania, Niger, Nigeria, and Senegal in sub-Saharan Africa (Bureau of African Affairs), and Algeria, Morocco, and Tunisia in North Africa (Bureau of Near Eastern Affairs).

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

TSCTP programs are designed to be proactive and preventive in nature, to combat terrorism and violent extremism through both civilian and military efforts. These programs are structured in a way that empowers local law enforcement authorities to effectively address the threat of terrorist organizations, while also respecting national sovereignty and cultural

sensitivities of countries in the region.

Applicant Eligibility:

TSCTP programs are designed to be proactive and preventive in nature, to combat terrorism and violent extremism through both civilian and military efforts. These programs are structured in a way that empowers local law enforcement authorities to effectively address the threat of terrorist organizations, while also respecting national sovereignty and cultural sensitivities of countries in the region.

Beneficiary Eligibility:

TSCTP supports projects in Burkina Faso, Cameroon, Chad, Mali, Mauritania, Niger, Nigeria, and Senegal in sub-Saharan Africa, and Algeria, Morocco, and Tunisia in North Africa.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. See www.Grants.gov for individual program announcements. Recipients are selected through open competitions, directed, or limited solicitations. Occasionally, AF or NEA will issue sole source awards. AF and NEA also enter into a limited number of Interagency Acquisition Agreements with other U.S.G. Federal agencies. See www.grants.gov for specific announcement.

Award Procedure:

In general, solicitations are reviewed by panels comprised of area and subject matter experts drawn from inside and sometimes outside the State Department. Award procedures are defined by the U.S. Department of State, Bureau of Administration in accordance with relevant OMB Circulars.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Based on program needs and availability of funding.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

See www.grants.gov for individual notice of funding opportunities and time requirements/restrictions. See the following for information on how assistance is awarded/released: See www.grants.gov for individual notice of funding opportunities and time requirements/restrictions.

Reports:

Quarterly reporting is required at a minimum for all awards. Depending on the size of the awards and length of the project period, program reports may be required either quarterly, semi-annually, or annually. At the discretion of the listed Grants Officer. No cash reports are required. Reporting requirements will be dictated in the official U.S. Department of State Notice Award. See www.grants.gov for specific notice of funding opportunities. No cash reports are required. Quarterly reporting is required at a minimum for all awards. Depending on the size of the awards and length of the project period, program

reports may be required either quarterly, semi-annually, or annually. At the discretion of the listed Grants Officer. No cash reports are required. Reporting requirements will be dictated in the official U.S. Department of State Notice Award. See www.grants.gov for specific notice of funding opportunities. Quarterly reporting is required at a minimum for all awards. Depending on the size of the awards and length of the project period, program reports may be required either quarterly, semi-annually, or annually. At the discretion of the listed Grants Officer. No cash reports are required. Reporting requirements will be dictated in the official U.S. Department of State Notice Award. See www.grants.gov for specific notice of funding opportunities. Quarterly reporting is required at a minimum for all awards. Depending on the size of the awards and length of the project period, program reports may be required either quarterly, semi-annually, or annually. At the discretion of the listed Grants Officer. No cash reports are required. Reporting requirements will be dictated in the official U.S. Department of State Notice Award. See www.grants.gov for specific notice of funding opportunities.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients must maintain all grant files for three years after the final report date. Please refer to 2 CFR 200 for specific records retention requirements.

Account Identification:

19-4401-1-0-371 - Bureau of Near Easter Affairs; 19-1015-1-0-371 - Bureau of African Affairs.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 Not Available; FY 17 est \$7,000,000; and FY 18 Estimate Not Available - Bureau of African Affairs: FY 15 \$7M; FY 16 estimate \$7M; and FY 17 estimate \$7M. Bureau of Near Easter Affairs: FY15 \$5.7M; FY 16 estimate \$3M; and FY17 estimate \$0.

Range and Average of Financial Assistance:

See www.grants.gov for individual notice of funding opportunities or www.USASpending.gov for previous award information.

TAFS Codes:

19-19; 19-19.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for grants and cooperative agreements are distributed in conjunction with the notice of funding opportunity and/or Notice of Award.

Regional or Local Office:

None.

Headquarters Office:

Nathan A Edgerton 2430 E Street, NW
, Washington, District of Columbia 20520 Email: EdgertonNA@state.gov
Phone: 2027766831

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals should be responsive to the substantive provisions set forth in each notice of funding opportunity listed on www.grants.gov. Final award decisions are influenced by whether the applications meet TSCTPs goals and objectives, whether and how they support the USGs overarching foreign policy priorities

and whether they have the support of the appropriate U.S. Embassy(ies) or Consulates.

19.300 PROGRAM FOR STUDY OF EASTERN EUROPE AND THE INDEPENDENT STATES OF THE FORMER SOVIET UNION

(Title VIII)

FEDERAL AGENCY:

Bureau of Intelligence and Research, Department of State

AUTHORIZATION:

Research and Training for Eastern Europe and the Independent States of the Former Soviet Union Act of 1983, Public Law 90-164, Title VIII, 97 Stat. 1948, as amended by Public Law 103-199.

OBJECTIVES:

To sustain and strengthen American expertise on the independent states of the former Soviet Union and countries of Eastern Europe, by supporting graduate training; advanced research; public dissemination of research data, methods, and findings; contact and collaboration among Government and private specialists; and firsthand experience of these countries by American specialists, including on site conduct of advanced training and research.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

For grants to nonprofit organizations and institutions of higher education with interest and expertise in conducting research and training programs on the independent states of the former Soviet Union and Eastern Europe. These organizations act as intermediaries for the Federal funds by conducting their own national, open competitions to make awards at the graduate level and above to individual students, scholars or other institutions.

Applicant Eligibility:

Applicants must be nonprofit organizations or institutions of higher education with an established track record in conducting research and training programs on the independent states of the former Soviet Union and countries of Eastern Europe. These organizations must run national programs of: advanced research; graduate training; language training; public dissemination of research data, methods, and findings; contact and collaboration among Government and private specialists; and/or firsthand experience of the area by U.S. specialists.

Beneficiary Eligibility:

Graduate students, individual scholars, nonprofit organizations and institutions of higher education active in the study of the Independent States of the former Soviet Union and countries of Eastern Europe.

Credentials/Documentation:

Applications must include vitae of professional staff. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The call for applications is published on Grantsolutions.gov and Grants.gov. Applications must be prepared and submitted via one of these systems. The basic application includes a project narrative which should not exceed 20 double-spaced pages (in Times New Roman 12 pt font). It must be accompanied by a one-page executive summary, a budget, budget notes, statement of work (SoW) and vitae of professional staff. Applications must be submitted to one of the aforementioned systems by the competition deadline.

Award Procedure:

A Federal Advisory Committee mandated by the legislation reviews each application and rates it according to its responsiveness to the goals and review criteria published in the Request for Grant Proposal (RIGP). To be technically eligible, an application must demonstrate: a program that is national in scope; the organization's established track record in administering a research and

training program; mechanisms for sharing the cost of the program; how it intends to advertise its program to the broad constituency of scholars; how successfully an organization targets individuals most likely to make a career commitment to the study of the region; etc. The Committee makes funding recommendations to the Assistant Secretary of State for Intelligence and Research for approval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 days following the deadline, the Advisory Committee will meet in public to evaluate applications and make funding recommendations. Assuming the program's funding is in place, the Advisory Committee will forward its recommendations to the Assistant Secretary of State for Intelligence and Research who usually acts on them within two weeks. All applicants then are notified regarding their funding status.

Appeals:

Not Applicable.

Renewals:

Each competition is open and national; applicants may submit a single proposal in response to each RFGP.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Cost sharing is encouraged. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant recipients have approximately three (3) years to spend their awards depending upon the appropriation legislation. Grant recipients draw down on the grant as needed by requesting funds through the Payment Management System (PMS). See the following for information on how assistance is awarded/released: See individual grants application package in www.grantsolutions.gov or www.grants.gov.

Reports:

Grant recipients are required to provide quarterly Programmatic (narrative) reports and quarterly Federal Financial Reports (SF 425) and Financial Status Reports. Grant recipients are required to provide quarterly Federal Financial Reports (SF 425) and Financial Status Reports. Grant recipients are required to provide quarterly Programmatic (narrative) reports and quarterly Federal Financial Reports (SF 425) and Financial Status Reports. Grant recipients are required to provide quarterly Programmatic (narrative) reports and quarterly Federal Financial Reports (SF 425) and Financial Status Reports. Grant recipients are required to provide quarterly Programmatic (narrative) reports and quarterly Federal Financial Reports (SF 425) and Financial Status Reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Grant recipients are required to keep standard accounting records for 3 years beyond the life of the grant.

Account Identification:

72-1093-0-1-150.

Obligations:

(Project Grants) FY 16 \$2,000,000; FY 17 est \$200,000; and FY 18 Estimate Not Available - Funding dependant on appropriation.

Range and Average of Financial Assistance:

Avg. Approx \$500,000.

TAFS Codes:

19-1900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sidni Dechaine 2201 C Street, NW, Washington, District of Columbia 20520
Email: dechaines@state.gov Phone: 202 7364562

Website Address:

<http://www.state.gov/s/intr/grants>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.301 THE SECRETARY'S OFFICE OF THE GLOBAL PARTNERSHIP INITIATIVE (S/GPI) GRANT PROGRAMS

Global Partnership Initiative

FEDERAL AGENCY:

Office of the Secretary of State, Department of State

AUTHORIZATION:

The Foreign Assistance Act of 1961, as Amended, Executive Order Department of State, Foreign Operations and Related Programs Appropriations Act, Section 634, U.S.C 7015.

OBJECTIVES:

Support the foreign assistance goals and objectives of the Department of State, Secretary's Office of the Global Partnership Initiative (S/GPI), as delineated in the FY Bureau Strategic and Resouce Plan and other strategic planning documents. This program is for all grant awards for the entire fiscal year funded through State/S/GPI.

See individual announcements in www.grants.gov.

TYPES OF ASSISTANCE:

Project Grants; Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

All funds for grants will comply with the restrictions on Economic Support Funds (ESF), as specified in the Foreign Assistance Act of 1961, as amended. See www.grants.gov for specific announcements and individual application. This depends on the individual award. In some cases, program activities are precluded in particular countries due to legislative restrictions.

Applicant Eligibility:

Uses of assistance vary according to the individual award. Please see www.grants.gov for specific announcement.

Beneficiary Eligibility:

U.S. Department of State, Secretary's Office of the Global Partnership Initiative, issues grants to domestic and international entities that implement programs abroad. Primary beneficiaries are residents of any community abroad where program activities are taking place. See www.grants.gov for specific application.

Credentials/Documentation:

Any award made using State/S/GPI funds requires the full complement of standard forms and budget documents (including Congressional Notifications), as delineated by the U.S. Department of State, the Bureau of Administration, and relevant OMB circulars. See www.grants.gov for specific announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-award coordination is required for all grantees applying for foreign assistance grants through the U.S. Department of State, Office of the Global Partnership Initiative. This coordination will take place between program officers in Washington, D.C. and the recipient organizations, or between program officers in the field and recipient organizations. See individual announcements in www.grants.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See individual announcements in www.grants.gov

Award Procedure:

Defined by the U.S. Department of State, Administration Bureau in accordance with the relevant OMB Circulars. See www.grants.gov for specific announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Renewals must be coordinated with the State/S/GPI program officer, the Representative of the State/Administration Bureau grants officer, and the recipient.

See individual announcements in www.grants.gov.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Most grant awards are from one to three years in length. See www.grants.gov for specific announcement. See the following for information on how assistance is awarded/released: The release and awarding of assistance depends on the amount and the details of the project. Most often, the HHS PMS system is used for monthly or quarterly installments, as required, and only if the grantee has submitted timely and complete progress and financial reports.

Reports:

Project/program reports are required to be submitted on a quarterly basis. Cash reports are required to be submitted as part of the quarterly financial reports. Progress reports are required to be submitted on a quarterly basis. Standard expenditure reports (SF-FFR) are required to be submitted on a quarterly basis. Quarterly progress reports should reflect the grantee's continued focus on measuring the project's impact on the overarching goals or problems the project set out to address. An assessment of the overall project impact, as appropriate, should be included in each quarterly report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All project/program documents and financial records must be retained by awards recipients for three years.

Account Identification:

19-1210-3-7-001.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Grants can range from small amounts (thousands of dollars) up to \$1 million.

TAFS Codes:

19-19-1912-103.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants must follow the scope of work and terms and conditions as stated in the grant agreement concluded between grantees and S/GPI. See individual announcements in www.grants.gov

Regional or Local Office:

None.

Headquarters Office:

Thomas Debass 2201 C Street NW, Ste 6817, Washington, District of Columbia 20520 Email: debass@state.gov Phone: 2026479097

Website Address:

<http://www.state.gov/partnerships>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See www.grants.gov for specific announcement and criteria for selection.

19.345 INTERNATIONAL PROGRAMS TO SUPPORT DEMOCRACY, HUMAN RIGHTS AND LABOR

FEDERAL AGENCY:

Bureau of Democracy, Human Rights and Labor, Department of State

AUTHORIZATION:

Foreign Assistance Act of 1961, as amended, Department of State, Foreign Operations, and Related Programs Appropriations Act 2009.

OBJECTIVES:

DRL funds targeted democracy and human rights programs to address human rights abuses globally, where fundamental rights are threatened; open political space in struggling or nascent democracies and countries ruled by authoritarian regimes; support civil society activists worldwide; and protect at-risk populations, including women, religious minorities, disabled, indigenous, and lesbian, gay, bisexual and transgendered (LGBT) people. In addition, DRL funds efforts across the globe to facilitate successful and sustained transitions to democracies, where civil and political rights are respected, and there is a process for transitional justice, accountability and reconciliation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Bureau of Democracy, Human Rights and Labor's Human Rights and Democracy Fund (HRDF) supports innovative, venture capital programs that uphold democratic principles, support and strengthen democratic institutions, promote human rights, and build civil society. DRL focuses its work in countries with egregious human rights violations, where democracy and human rights advocates are under pressure and where governments are undemocratic in transition. The Bureau's programmatic strategy is to primarily work with local civil society, including independent media, to support those working to strengthen democratic institutions and promote accountability. Please see solicitation on www.grants.gov. Please see solicitation on www.grants.gov.

Applicant Eligibility:

Please see solicitation on www.grants.gov for additional eligibility criteria.

Beneficiary Eligibility:

Please see solicitation on www.grants.gov for additional eligibility criteria.

Credentials/Documentation:

Please see solicitation on www.grants.gov. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Please see solicitation on www.grants.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Please see solicitation on www.grants.gov.

Award Procedure:

Please see solicitation on www.grants.gov.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for up to 3 years. HRDF funds are accessible through the Payment Management System operated by the Department of Health and Human Services. See the following for information on how assistance is awarded/released: Funds may be drawn down as expenses are incurred.

Reports:

Program progress reports are required on a quarterly basis under the grant agreement. Financial reports are required on a quarterly basis under the grant agreement. Progress reports are required on a quarterly basis under the grant agreement. Expenditure reports are required on a quarterly basis under the grant agreement. Please see solicitation on www.grants.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

19-7290-0-3-060 - AEED; 19-7290-1-0-101 - SEED; 19-1991-1-1-210 - Democracy Fund; 19-7290-1-0-210 - DA; 19-7290-1-0-371 - Economic Support Funds.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - Please see solicitation on www.grants.gov.

Range and Average of Financial Assistance:

Please see solicitation on www.grants.gov.

TAFS Codes:

19-19-345.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: N/A. Fiscal Year 2017: N/A. Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see solicitation on www.grants.gov.

Regional or Local Office:

None.

Headquarters Office:

Doreen Mullady 2201 C Street, NW, Washington, District of Columbia 20520
Email: mulladydk@State.gov Phone: 202-663-3672

Website Address:

<http://www.state.gov/g/drl/p/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Please see solicitation on www.grants.gov.

19.400 ACADEMIC EXCHANGE PROGRAMS - GRADUATE STUDENTS

The Fulbright Student Program includes program components for US students, foreign students, Foreign Language Teaching Assistant Program, Fulbright English Teaching Assistant Program, Western Hemisphere Fulbright Faculty Development Program, Fulbright Graduate Fellows, and Fulbright Public Policy Fellows program, Fulbright-National Geographic Digital Storytelling Fellows program, among others.

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of the Fulbright Student Program is to promote mutual understanding by providing opportunities for American and foreign students to study, do research, and/or serve as teaching assistants of their native languages abroad.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The flagship international exchange program sponsored by the United States Government, the Fulbright Program is designed to increase mutual understanding between the people of the United States and the people of other countries. Funding is provided to non-profit organizations to support their work in designing and administering programs as well as coordinating program logistics. Funding to those organizations supports activities such as publicity and recruitment, screening of applications, communication with participants, payment of participant costs, and the provision of enrichment activities. The Fulbright English Teaching Assistant (ETA) Program, an element of the Fulbright U.S. Student Program, places recent college graduates and young professionals as English teaching assistants in K-12 schools, universities, and

language-learning institutions overseas.

The Fulbright Foreign Language Teaching Assistant (FLTA) Program brings early career educators from all over the world to the U.S. to refine their teaching skills, increase their English language proficiency and extend their knowledge of the cultures and customs of the United States while engaging in non-degree studies at accredited post-secondary U.S. educational institutions. FLTAs teach or assist in the instruction of language courses, supervise language labs, and lead language table discussions and may also act as resource persons and cultural representatives, as they represent their language and culture in the United States.

Fulbright Public Policy Fellows serve in professional placements as special assistants in foreign government ministries in areas of joint interest to the U.S. government and host country government to gain hands-on public sector experience and contribute to public policy initiatives in participating foreign countries while simultaneously carrying out an academic research project. The Fulbright-National Geographic Digital Storytelling Fellowship is a collaboration with the National Geographic Society that provides Fellows with an opportunity to receive National Geographic mentorship and share stories on a dedicated program blog hosted by National Geographic while they complete 9 month Fulbright grants in one, two, or three countries focused on theme of global importance agreed upon by ECA and National Geographic. The Western Hemisphere Faculty Development program provides opportunities for faculty from regional provinces across the region to pursue graduate degrees at U.S. universities.

Please refer to the Grants.gov or GrantSolutions.gov announcement for further information.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Announcements are posted on the Grants.gov and Department of

State's website: <http://eca.state.gov/organizational-funding> or GrantSolutions.gov website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or GrantSolutions.gov announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via GrantSolutions.gov to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

As stated in the Grants.gov or GrantSolutions.gov announcements.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As stated in the Grants.gov announcements. See the following for information on how assistance is awarded/released: As stated in the Grants.gov or GrantSolutions.gov announcements.

Reports:

Assistance is awarded/released through the Department's central financial management database. Cash reports are not applicable. Progress reports are not applicable. Financial and Program Reporting requirements are described in the Grants.gov announcement. As stated in the Grants.gov or GrantSolutions.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200 Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$96,004,350; FY 17 est \$96,004,350; and FY 18 est \$96,004,350

Range and Average of Financial Assistance:

\$1,900,000 to \$56,369,559.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, there were approximately 1,126 English Teaching Assistants (ETAs) in 68 countries.

In FY 2016, 19 Fulbright Public Policy Fellows served as special assistants to support joint public policy initiatives within the government ministries or institutions in ten countries in AF, EAP, EUR, and WHA

In FY 2016, five Fulbright-National Geographic Digital Storytelling Fellows carried out projects with components in countries from AF, EAP, EUR, SCA, and WHA.

In FY 2016, ECA supported approximately 236 Western Hemisphere Faculty Development grantees.

In FY 2016, 396 grantees participated in the Fulbright Foreign Language Teaching Assistant Program. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or GrantSolutions.gov announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

For a copy of the OMB Guidance cited, please contact U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Lana Muck Department of State, Bureau of Educational and Cultural Affairs, Office of Academic Exchange Programs, 2200 C Street, NW, SA-5, Fourth Floor, Room 4B07, Washington, District of Columbia 20037 Email: fulbright@state.gov Phone: (202) 632-3238

Website Address:

<http://exchanges.state.gov>

RELATED PROGRAMS:

19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the Grants.gov or GrantSolutions.gov announcements.

19.401 ACADEMIC EXCHANGE PROGRAMS - SCHOLARS

The Fulbright Scholar program includes program components for US scholars, visiting foreign scholars, the Scholar-in-Residence Program, Global Scholar Award, Arctic Initiative, Regional Research and Cohort Programs, and Study of the US Institutes for Scholars.

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the

people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of leaders and future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of individuals who have first-hand international experience with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among people globally. The Fulbright Scholar Program offers opportunities for American and foreign scholars to conduct research, lecture, and/or consult with other scholars and institutions in the United States and abroad. The Study of the U.S. Institutes for Scholars strengthens curricula and improves the quality of teaching about the United States in academic institutions overseas.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The flagship international exchange program sponsored by the United States Government, the Fulbright Program is designed to increase mutual understanding between the people of the United States and the people of other countries. Funding is provided to non-profit organizations, colleges, and universities to support their work in designing and administering programs as well as coordinating program logistics. Funding supports publicity and recruitment, screening of applications, communication with participants, payment of individual grantee costs, and the provision of enrichment activities. Study of the U.S. Institutes (SUSIs) for Scholars and Secondary Educators are five to six week academic programs designed to strengthen curricula and improve the quality of teaching about the United States in academic institutions overseas.

Hosted by U.S. universities and colleges, foreign university faculty, secondary educators, and other scholars spend approximately four weeks in an academic residency followed by a complimenting one to two-week study tour to another region of the United States. During the Institutes, scholars engage in rigorous academic coursework, seminar, and panel discussions; interact and establish networks with American scholars; meet with experts in the respective disciplines, visit civic institutions, and pursue related research interests. The Fulbright Specialist Program (FSP) provides an on-demand, rapid response exchange resource which sends highly qualified U.S. academics and professionals to host institutions abroad for short term visits of 2-6 weeks with a goal of sharing expertise and establishing partnerships which benefit institutions and communities both in the United States and overseas. Specialists carry out projects at host institutions that align with Department of State goals and the priorities of foreign host institutions. The Fulbright Scholar-in-Residence Program enables U.S. colleges and universities to host academicians from abroad to lecture on a wide range of subject fields for a semester or academic year as part of an internationalization effort. Preference is given to institutions less involved in international exchange and/or institutions serving minority audiences, including Historically Black Colleges and Universities, Hispanic Serving Institutions, Tribal Colleges, Asian American and Pacific Islander serving Institutions, American Indian and Alaska Native Serving Institutions, Predominantly Black Institutions, small liberal arts colleges, community colleges and rural colleges and universities. The Fulbright Global Scholar Award allows U.S. academics and professionals to engage in multi-country, trans-regional projects. Fulbright Global Scholars conduct research and teaching activities in two to three countries with flexible scheduling options. Participants represent a broad range of fields and levels of professional experience. The Fulbright Arctic Initiative supports and facilitates cross-discipline research and cooperation on shared challenges in the Arctic among scholars from the eight member states of the Arctic Council. Regional cohort programs -- the Junior Faculty Development Programs and International Education Administrators (IEA) Seminars -- place visiting scholars from selected countries at U.S. colleges and universities and U.S. scholars at host

institutions in select countries abroad to enhance discipline knowledge, increase professional networks between U.S. and international counterparts, and help participants master new pedagogical approaches.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Announcements are posted on the Grants.gov and Department of State's website: <http://eca.state.gov/organizational-funding> or GrantSolutions.gov website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or GrantSolutions.gov announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

As stated in the Grants.gov or GrantSolutions.gov announcements.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or GrantSolutions.gov announcements. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Progress reports are not applicable. Financial and Program Reporting requirements are described in the Grants.gov announcement. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirement, a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Cooperative Agreements) FY 16 \$36,328,501; FY 17 est \$36,328,501; and FY 18 est \$36,328,501

Range and Average of Financial Assistance:

\$239,750 to \$33,650,767.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Approximately 425 Fulbright Specialist Program projects were funded in FY 2016.

Approximately 32 Fulbright Scholars-in-Residence taught at U.S. colleges and universities during the 2016-17 academic year.

Approximately 186 scholars and teachers from around the world participated in Study of the U.S. Institutes (SUSIs) for Scholars. They were hosted by 10 different U.S. universities across the United States. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or GrantSolutions.gov announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB Guidance cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Lana Muck Department of State, Bureau of Educational and Cultural Affairs, Office of Academic Exchange Programs, 2200 C Street, NW, SA-5, Fourth Floor, Room 4B07, Washington, District of Columbia 20037 Email: fulbright@state.gov Phone: (202) 632-3238

Website Address:

<http://exchanges.state.gov>

RELATED PROGRAMS:

19.400 Educational Exchange_Graduate Students

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the Grants.gov or GrantSolutions.gov announcements.

19.402 PROFESSIONAL AND CULTURAL EXCHANGE PROGRAMS - INTERNATIONAL VISITOR LEADERSHIP PROGRAM

International Visitor Leadership Program

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., Public Law 87-256, 22 U.S.C. 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans.

The International Visitor Leadership Program (IVLP) facilitates short-term visits to the United States for current and emerging leaders from around the world to exchange best practices with American counterparts in a broad range of professional fields directly tied to U.S. foreign policy priorities. Interagency staff at U.S. embassies worldwide nominate and select participants in government, politics, business, education, the media, the arts and other fields for the program; there is no application process. Over 500 alumni of the IVLP went on to become Chiefs of State or Heads of Government in their countries.

IVLP projects provide opportunities for participants to explore issues in the U.S. context, meet with their American professional counterparts, experience U.S. society, and understand American values. Participants meet with representatives of the private sector and civil society, as well as with government officials at all levels. The IVLP partners with a network of non-government, non-profit organizations, including about 90 community-based organizations and 40,000 volunteers across the country. These citizen diplomats host the International Visitors and share the American way of life by bringing them into their places of work, schools, communities, and homes. Americans, in turn, benefit from the opportunity for first-hand, in-person exchange with current and emerging leaders from around the world. The IVLP broadens international understanding and supports the local economy in both rural and urban communities throughout the United States. Virtually the entire IVLP budget is spent within the United States in

support of program partners and local businesses.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funding is provided for nonprofit organizations to support their work in planning, organizing, and directing programs of travel, observation, consultation, study, and practical experience for foreign visitors from countries throughout the world selected and assigned by the Department. Programs are intended to bring U.S. embassy selected International Visitors into contact with influential Americans and representative organizations and institutions across the United States, with the intention of increasing communication and mutual understanding between the people of the United States and the people of other countries. Recipients are responsible for insuring that programs are balanced, non-political, non-partisan, and representative of the diversity of American political, social, and cultural life. The Bureau of Educational and Cultural Affairs seeks balance and diversity in terms of itinerary, geography and community-size; the range of opinions to which visitors are exposed; the professional backgrounds of those commenting on the issues; the ethnic, socio-economic and age diversity of the American people; and other factors which may be of particular relevance to the particular subject or particular visitor(s) for whom programming is being arranged. Please refer to the Grants.gov or GrantSolutions.gov announcement for further information.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act), the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Announcements are posted on the Grants.gov and Department of State's website: <http://eca.state.gov/organizational-funding> or GrantSolutions.gov website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or GrantSolutions.gov

announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau's Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via GrantSolutions.gov to the recipients responsible officer identified in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

As stated in the Grants.gov or GrantSolutions.gov announcements.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or GrantSolutions.gov announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Cooperative Agreements) FY 16 \$60,560,608; FY 17 est \$60,560,608; and FY 18 est \$60,560,608

Range and Average of Financial Assistance:

\$300,000 to \$17,648,362.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 4,466 International Visitors participated in 579 projects.
Fiscal Year 2017: An estimated 5,600 International Visitors will participate in 670 projects. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or GrantSolutions.gov announcements. In addition, organizations should be familiar with OMB Circular 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Regional or Local Office:

None.

Headquarters Office:

Tom Rathburn Bureau of Educational and Cultural Affairs
Office of International Visitors
Community Resources Division
2200 C Street, NW
SA-05, Room 03BB06, Washington, District of Columbia 20037, Washington, District of Columbia 20037 Email: rathburntg@state.gov Phone: (202) 632-9384

Website Address:

<http://eca.state.gov/ivlp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the Grants.gov or GrantSolutions.gov announcements.

19.408 ACADEMIC EXCHANGE PROGRAMS - TEACHERS

Teacher Exchange programs include: the Fulbright Distinguished Awards in Teaching Program, International Leaders in Education Program, Teaching Excellence and Achievement Program, Teachers of Critical Languages Program, Teachers for Global Classrooms Program, and other targeted professional development programs for teachers and administrators.

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of the Teacher Exchange programs is to provide K-12 educators from the United States and around the world with the opportunity to enhance their teaching, leadership and technology skills through professional development and exchange. Teachers participate in seminars, teach, observe best practices, pursue individualized

research and develop courses with the goal of globalizing school and classroom curricula and preparing students for an interconnected world. Through these exchanges, K-12 educators become leaders in global education, which is integral to building students skills for the 21st century.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is provided to nonprofit organizations and educational institutions to implement exchange and professional development programs for teachers and other educators from the U.S. and approximately 75 countries around the world.

Organizations are responsible for the following broad categories: program planning and management; placement; orientation; enrichment activities; participant supervision and support services; fiscal management and budgeting; and program reporting and evaluation. The goal of the programs is to create mutual understanding between the people of the United States and the people of other countries. Please refer to the Grants.gov or GrantSolutions.gov announcement for further information.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Announcements are posted on the Grants.gov and Department of State's website: <http://eca.state.gov/organizational-funding> or GrantSolutions.gov website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or GrantSolutions.gov announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau's Grants Office. The FAA and the original proposal with subsequent

modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via GrantSolutions.gov to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

As stated in the Grants.gov or GrantSolutions.gov announcements.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or GrantSolutions.gov announcements. Assistance is awarded/released through the Department's central financial management database. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Progress reports are not applicable. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Cooperative Agreements) FY 16 \$12,279,999; FY 17 est \$12,279,999; and FY 18 est \$12,279,999

Range and Average of Financial Assistance:

\$450,000 to \$6,990,000.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Teacher Exchange programs provided professional

development opportunities for 377 U.S. and international teachers during FY 2016. Fiscal Year 2017: Teacher Exchange programs will provide professional development opportunities for approximately 367 U.S. and international teachers during Fiscal Year 2017. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or GrantSolutions.gov announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB Guidance cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Michael Kuban Department of State
Bureau of Educational and Cultural Affairs
Office of Global Educational Programs
Teacher Exchange Branch
2200 C Street, NW
SA-05, Rm. 4S17, Washington, District of Columbia 20037 Email:
kubanmm@state.gov Phone: 202-632-6346

Website Address:

<http://exchanges.state.gov/>

RELATED PROGRAMS:

19.400 Educational Exchange_Graduate Students; 19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the Grants.gov or GrantSolutions.gov announcements.

19.415 PROFESSIONAL AND CULTURAL EXCHANGE PROGRAMS - CITIZEN EXCHANGES

Citizen Exchange activities include the Professional Fellows Program, Global Sports Mentoring Program, International Sports Programming Initiative, Sports Visitor Program, Congress-Bundestag Youth Exchange, Future Leaders Exchange Program, Kennedy-Lugar Youth Exchange and Study Program, Youth Leadership Programs, National Security Language Initiative for Youth, the International Writers Program, Community Solutions, TechWomen, TechGirls, American Film Showcase, American Music Abroad, OneBeat, DanceMotion USA, Center Stage, the National Youth Science Camp, the Emerging Young Leaders Award, and other Professional and Cultural Exchange programs

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., Public Law 87-256.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of Citizen Exchanges

is to support professional, youth, sports and cultural exchange programs between the United States and other countries through grants and cooperative agreements to American non-profit, non-governmental institutions and organizations, including community organizations, professional associations, and universities.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Funding is provided to eligible non-profit organizations, colleges and universities to support their work in designing and administering programs as well as coordinating program logistics. Funding to those organizations supports activities such as publicity and recruitment, screening of participant applications, communication with participants, domestic and international travel, payment of stipends and program benefits, and the provision of enrichment activities. Citizen Exchanges constitute a range of professional, youth, sports and cultural exchange programs designed to promote priority U.S. interests around the world by developing mutual understanding of key issues and promoting long-lasting ties between the United States and other countries. Programs are designed to promote good governance, civil society and civic responsibility, public health, conflict prevention and management, womens empowerment, trade, environmental awareness and responsibility, leadership, arts management, cultural diversity and heritage, sports education, and educational reform, as well as other topics through people-to-people exchanges. Please refer to the Grants.gov or GrantSolutions.gov announcement for further information.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600, entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Announcements are posted on the Grants.gov and Department of State's website: <http://eca.state.gov/organizational-funding> or

GrantSolutions.gov website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or GrantSolutions.gov announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureaus Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via GrantSolutions.gov to the recipients responsible officer identified in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

As stated in the Grants.gov or GrantSolutions.gov announcements.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or GrantSolutions.gov announcements. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Financial and Program Reporting requirements are described in the Grants.gov announcement. As stated in Grants.gov announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entities fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements.. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Project Grants) FY 16 \$118,404,551; FY 17 est \$118,404,551; and FY 18 est \$118,404,551

Range and Average of Financial Assistance:

\$119,875 to \$15,189,114.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Professional Fellows Program supports young professionals in specific fields from countries around the globe to undergo individually-tailored internships at businesses, NGOs and government offices in the United States for five to six weeks, and sends their American counterparts overseas for follow-on programming. The program for the non-U.S. participants concludes with the Professional Fellows Congress a three-day event dedicated to the strategic development of action plans and leadership skills development. There were approximately 167 U.S. participants and approximately 514 non-U.S. participants in FY 2016.

The Professional Fellows On-Demand Program is the flexible, rapid-response complement to the Professional Fellows Program and is designed to address urgent, evolving Department priorities. The program enables young professionals from across the globe to participate in four week internships at U.S. organizations, and sends U.S. mentors, experts, and professional counterparts overseas for follow-on programming. There were approximately 30 U.S. participants and approximately 60 non-U.S. participants in FY 2016.

The Community Solutions program supports young professionals in specific fields from countries around the globe to undergo leadership training and four-month internships at NGOs and government offices in the United States to experience firsthand the interaction between the government and non-profit sector to enact change in local communities. There were approximately 100 non-U.S. participants in FY 2016.

TechWomen empowers women engaged in science, technology, engineering, and math (STEM) fields from select countries in Africa, Central Asia and the Middle East through networking activities and month-long mentorships where they are matched with women at leading science, tech and innovation companies in Silicon Valley and the San Francisco Bay Area, as well as through outreach projects to women and girls overseas. There were approximately 100 non-U.S. participants in FY 2016.

The Fortune/State Department Global Womens Mentoring Partnership develops the management and business skills of emerging women leaders from countries around the world through mentorships in the United States where they are matched with senior women executives in the fields of business, law, and non-profit management. There were approximately 25 non-U.S. participants in FY 2016.

The Traditional Public-Private Partnerships are long-standing programs to support the Bureaus commitment to work with the U.S. NGO community to implement cooperative international exchange projects. There were approximately 107 U.S. participants, and approximately 132 non-U.S. participants in FY 2016.

The National Youth Science Camp encourages the most talented science-inclined high school students to achieve their full potential. ECA supports the participation students from other countries in the Western Hemisphere in this month-long camp. There were approximately 16 non-U.S. participants in FY 2016.

The Global Sports Mentoring Program is composed of two distinct annual mentorships: the espnW GSMP to empower women and the Sport for Community GSMP on disability rights. The espnW GSMP is a public-private partnership that empowers women through sports by pairing international emerging leaders with American senior female executives in the sports sector for a mentorship focused on supporting underserved populations worldwide through sports. The Sport for Community GSMP promotes disability rights at home and abroad with participants who create opportunities for people with

disabilities through adaptive sports. For both GSMPs, international participants develop business strategies in collaboration with American mentors that provide sports and professional opportunities for others particularly marginalized populations in their respective communities. The GSMP places an emphasis on long-term and sustainable change through follow-on alumni grants and monitoring as well as outbound programs with American participants. There were approximately 35 non-U.S. participants in FY 2016 and 10 U.S. participants.

The International Sports Programming Initiative (ISPI) supports educational exchanges designed to foster mutual understanding and help start a dialogue at the grassroots level among American youth, coaches, and sports administrators and their peers from around the world through sports for social change programs. There were approximately 300 U.S. participants, and approximately 450 non-U.S. participants in FY 2016.

The Sports Visitor Program brings youth and coaches to the United States for a short-term sports cultural exchange. During the program, they engage with American peers and sports practitioners, participate in clinics, and sessions on leadership, team-building and conflict resolution, as well as on inclusion and equity in sport. Sports Visitor programs show young people how success in athletics can translate into achievements in the classroom and life, also providing Americans with an opportunity to interact firsthand with people from every region of the world. There were approximately 110 non-U.S. participants in FY 2016.

The Future Leaders Exchange (FLEX) program promotes mutual understanding between the United States and the countries of Eurasia by providing scholarships for high school students to live in the United States for an academic year. There were approximately 872 non-U.S. participants in FY 2016.

The Kennedy-Lugar Youth Exchange and Study (YES) program promotes mutual understanding between the United States and countries with significant Muslim populations by providing scholarships for high school students to live in the United States for an academic year. There were approximately 803 non-U.S. participants in FY 2016.

Through the Kennedy-Lugar Youth Exchange and Study Abroad (YES Abroad) program, American high school participants from across the United States study for up to eleven months in select countries in Africa, Asia, Europe and the Middle East. There were approximately 60 U.S. participants in FY 2016.

Youth Leadership Programs foster greater understanding and respect between the people of the United States and other countries, to develop leadership skills and a sense of civic responsibility among teenagers and educators. There were approximately 426 non-U.S. participants and 35 U.S. participants in FY 2016.

The Youth Leadership On Demand Program provides high school students and adult educators from countries identified as Department priorities the opportunity to explore civic education, youth leadership development, and community service in the United States. There were approximately 42 non-U.S. participants in FY 2016.

TechGirls is an educational exchange initiative for teenage girls from the Middle East and North Africa that focuses on promoting the high-level study of technology while fostering mutual understanding among the youth of the United States and the countries and territories of this region. There were approximately 27 non-U.S. participants in FY 2016.

The Youth Ambassadors Program provides high school students and educators the opportunity to explore civic education, community service, and leadership through three-week exchanges between the United States and Latin America. There were approximately 77 U.S. participants, and approximately 411 non-U.S. participants in FY 2016.

The Congress-Bundestag Youth Exchange program (CBYX) was inaugurated in 1983 through a bilateral agreement between the U.S. Congress and the German Bundestag. The program celebrates German-American friendship

based on common values of democracy and creates lasting personal and institutional relationships through an academic year school and home stay experience for American and German high school students and vocational school graduates. There were approximately 350 U.S. participants, and approximately 360 non-U.S. participants in FY 2016.

Designed to increase the number of Americans who learn foreign languages that are critical to advancing U.S. national interests, the National Security Language Initiative for Youth (NSLI-Y) program provides scholarships to American high school students for overseas intensive language programs in Arabic, Chinese (Mandarin), Hindi, Korean, Persian, Russian, and Turkish. There were approximately 586 U.S. participants in FY 2016.

The Emerging Young Leaders Award supports international youth through an intensive, three-week program in the United States focused on the themes of peacebuilding and conflict prevention, democracy and governance, and advanced leadership development, in order to recognize and support young people who have shown the tenacity and courage to create positive and impactful change in challenging environments while also increasing public participation in, and public information about, social change and tolerance. There were approximately 10 non-U.S. participants in FY 2016.

The International Writing Program is a five-part international exchange of writers who participate in a residency program at the University of Iowa, which also hosts the Between the Lines program, and supports residency programs focused on creative writing. American writers conduct public policy-related reading, lecture and teaching tours in several priority overseas countries with limited access to creative writing courses. There were approximately 19 U.S. traveling participants, and approximately 48 non-U.S. traveling participants in FY 2016.

The American Film Showcase (AFS) presents award-winning independent American documentaries and narrative films and their filmmakers to audiences around the world, offers contemporary insights into American life and culture, and explores issues affecting democratic societies. There were approximately 80 U.S. participants, and approximately 10 foreign traveling participants in FY 2016.

Global Media Makers (GMM) is an innovative mentoring initiative that connects visual storytellers from around the world with leading U.S. entertainment professionals through specially tailored mentorships, workshops and master classes. There were seven U.S. participants and 14 non-U.S. participants in FY 2016.

The American Music Abroad Program is designed to bring American music and culture to audiences around the globe through person-to-person exchanges. U.S. musical groups engage with foreign audiences through workshops, master classes, jam sessions, and performances to present a positive image of the United States and provide a greater understanding of our society. There were approximately 45 U.S. participants in FY 2016.

Through DanceMotion USA, American dance companies tour approximately nine overseas countries in three world regions, selected based on U.S. foreign policy interests, to present workshops, master classes and performances in the following American dance genres: contemporary, jazz, ballet, tap/or step and hip hop. There were approximately 33 U.S. participants in FY 2016.

American Arts Incubator is an arts-based international people-to-people exchange through which American artists travel to five different countries for three to four weeks to implement a community-based art and micro grant program for new media art projects, in order to promote women's empowerment, conflict resolution, and environmental protection. There were approximately 12 U.S. participants in FY 2016.

Next Level is an arts-based international people-to-people exchange that supports and furthers U.S. Department of State foreign policy objectives by sending professional American hip hop artists to visit five select countries and implement multi-disciplinary workshops in music production, beat making, break dancing and rapping as a way to reach out to underserved youth and

promote conflict resolution. There were approximately 20 U.S. participants, and approximately five foreign traveling participants in FY 2016.

The Communities Connecting Heritage program engages at-risk communities, empowers youth, and builds partnerships between communities in the U.S. and in key strategic world regions through exchange projects that explore cultural heritage topics. These projects advance tangible and intangible cultural heritage appreciation and preservation through community outreach and public education and by reinforcing positive narratives. There are approximately 30 American and 30 foreign participants in FY 2016.

The Arts Envoys program engages American artists and arts professionals in cultural exchange programs to address clearly defined foreign policy themes and objectives. In collaboration with ECA, posts have the opportunity to develop customized cultural programming that directly supports their achievement of specific mission objectives. There were approximately 200 American participants in FY 2016. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or GrantSolutions.gov announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600, entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB Guidance cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Rene Hadji-georgalis
Department of State
Bureau of Educational and Cultural Affairs
Office of Citizen Exchange Programs
2200 C Street, NW
SA-05, Rm. 3B13, Washington, District of Columbia 20037
Email: hadji-georgalisea@state.gov Phone: (202) 632-6411

Website Address:

<http://eca.state.gov/about-bureau-0/organizational-structure/office-citizen-exchanges>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the Grants.gov or GrantSolutions.gov announcements.

19.421 ACADEMIC EXCHANGE PROGRAMS - ENGLISH LANGUAGE PROGRAMS

English Language Programs include the English Access Microscholarship Program, the English Language Fellow and Specialist Program, and the American English E-Teacher Program.

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., Public Law 87-256, 22 U.S.C. 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education

programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of English Language Programs is to achieve U.S. foreign policy goals and strengthen relationships with foreign publics by supporting English language education.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is provided to non-profit organizations to support their work in designing and administering programs as well as coordinating program logistics for U.S. English language educators, underserved foreign students and teachers of English as a foreign language as participants in study and professional development and international exchanges. Funding to those organizations supports activities such as publicity and recruitment, screening of applications, communication with recipients, payment of stipends, and the provision of enrichment activities.

The English Language Fellow Program fosters mutual understanding between the people of the United States and those of other countries by sending talented, highly qualified U.S. educators in the field of Teaching English to Speakers of Other Languages (TESOL) on ten-month fellowships to overseas academic institutions in all regions of the world. The Program promotes English language learning and enhances English teaching capacity abroad.

The English Language Specialist Program recruits U.S. academics and professionals in the fields of Teaching English as a Foreign Language (TEFL) and Teaching English to Speakers of Other Languages (TESOL) to conduct programs overseas for a minimum of two weeks.

The English Access Microscholarship Program (Access) provides a foundation of English language skills to bright, economically disadvantaged students, primarily aged 13 to 20, through after-school classes and intensive learning activities. Access students also gain an appreciation for U.S. culture and democratic values through cultural enhancement, community service and professional development activities.

The American English E-Teacher Program is designed to improve the quality of overseas English language teaching through the use innovative distance learning technology. Participants are English teaching professionals who receive instruction from U.S. experts in the most recent English language teaching methods and techniques.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to

educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Announcements are posted on the Grants.gov and Department of State's website: <http://eca.state.gov/organizational-funding> or Grantsolutions.gov website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or GrantSolutions.gov announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via Grantsolutions.gov to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

As stated in the Grants.gov or GrantSolutions.gov announcements.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or GrantSolutions.gov announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. No progress reports are required. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Cooperative Agreements) FY 16 \$38,871,273; FY 17 est \$38,871,273; and FY 18 est \$38,871,273

Range and Average of Financial Assistance:

\$721,273.73 to \$24,150,000.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: English Access Microscholarship Program (Access); Under FY 2016 funding, approximately 15,000 bright, economically disadvantaged students, primarily aged 13 to 20 years old, will receive scholarships to study English through the worldwide Access Program.

English Language Fellow Program: Approximately 140 English Language Fellows were placed in 10-month programs in over 60 countries for Academic Year 2016-17.

English Language Specialist Program: In 2016, 82 English Language Specialists conducted projects in over 51 countries.

American English E-Teacher Program: In January 2017, the redesigned American English E-Teacher Program launched to include multiple U.S. educational institution partners which allows the program the flexibility to better respond to the needs of U.S. embassies. In addition to offering global online courses to over 700 participants in 92 countries, the AE E-Teacher Program is working with Regional English Language Offices to develop customized courses to meet the needs of teachers in India, Nepal, Venezuela, Kyrgyzstan, and Syria. There has been a total of 158,739 participants participating in the American English Massive Open Online Courses from 208 countries. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or GrantSolutions.gov announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB Guidance cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Caryn Danz Office of English Language Programs:
Department of State, Bureau of Educational and Cultural Affairs, Office of English Language Programs, English Language Fellow Program, 2200 C Street, NW

SA-05, Rm.4B14, Washington, District of Columbia 20037 Email:
DanzCB@state.gov Phone: (202) 632-9412

Website Address:

<http://americanenglish.state.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in Grants.gov or GrantSolutions.gov announcements.

19.432 ACADEMIC EXCHANGE PROGRAMS - EDUCATIONAL ADVISING AND STUDENT SERVICES

The U.S. Department of State Bureau of Educational and Cultural Affairs ECA oversees the EducationUSA network, which consists of more than 400 international student advising centers in 177 countries worldwide. The EducationUSA network supports ECAs mission by increasing international student mobility, providing students from across the globe with access to educational opportunities, and building a broader understanding of the global higher education sector among government and academic personnel from around the world. EducationUSA advising centers are housed within in U.S. Embassies and Consulates and/or in partner institutions that include Fulbright Commissions, bi-national cultural centers, U.S. non-governmental organizations (NGOs), international NGOs, and universities and libraries abroad. EducationUSA advisers provide guidance and support to international students and their families as they navigate the U.S. college admissions process and offer accurate, comprehensive, and current information about the full range of accredited U.S. institutions of higher education. Advising activities are conducted in centers, through external in-person outreach, and virtually utilizing a variety of online platforms. Through programs like the EducationUSA Academy and Leadership Institutes, EducationUSA seeks to develop the capacity of colleges and universities in the U.S. to partner with international institutions around student and scholar exchange, collaborative research, and workforce development initiatives. ECAs EducationUSA branch also funds the annual Open Doors Report on International Educational Exchange which tracks the flow of students to and from of the United States and provides data essential to calculating the economic impact of inbound international student mobility an estimated \$36 billion in 2016.

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity while building international knowledge and capacity among Americans. The EducationUSA network promotes U.S. higher education and ongoing student mobility research by increasing the number of students engaged in international educational experiences and by ensuring that those experiences are academically engaging, culturally enriching, and create lasting ties between individuals today that will support stronger relations between nations tomorrow.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is provided for nonprofit organizations to support research on international exchange programs, coordination of overseas EducationUSA advising programs, and training for educational advisers to ensure that international students receive accurate, current, and comprehensive information on U.S. higher education. Please refer to the Grants.gov or GrantSolutions.gov announcement for further information.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600, entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Announcements are posted on the Grants.gov and Department of State's website: <http://eca.state.gov/organizational-funding> or GrantSolutions.gov website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or GrantSolutions.gov announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via GrantSolutions.gov to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

As stated in the Grants.gov or GrantSolutions.gov announcements.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or GrantSolutions.gov announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Progress reports are not applicable. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Cooperative Agreements) FY 16 \$7,600,356; FY 17 est \$7,600,356; and FY 18 est \$7,600,356

Range and Average of Financial Assistance:

\$300,000 to \$5,492,656.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Through these cooperative agreements/grants, EducationUSAs more than 400 advising centers in 177 countries reached more than 15 million prospective students through three categories of advising services: in-center, outreach, and virtual. 14 Regional Educational Advising Coordinators (REACs) offer training and guidance to the more than 550 advisers at these centers.

The 2016 Open Doors Report on international student mobility, which is

financed by one of these grants, showed a total of 1,043,839 international students studying in the United State for credit (a 7.1% increase from the previous year) and 313,413 U.S. student studying overseas (2.9% increase). Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or GrantSolutions.gov announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

For a copy of the OMB Guidance cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Alfred Boll Department of State
Bureau of Educational and Cultural Affairs
Educational Information and Resources (ECA/A/S/A)
2200 C Street NW
SA-05, Rm. 04W12
, Washington, District of Columbia 20037 Email: BollAM@state.gov Phone: (202) 632-6353

Website Address:

<http://educationusa.state.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in Grants.gov or GrantSolutions.gov announcements.

19.440 IIP INDIVIDUAL GRANTS

U.S. Speaker and Specialist Grants, Bureau of International Information Programs (IIP)

FEDERAL AGENCY:

Bureau of International Information Programs, Department of State

AUTHORIZATION:

The US Information and Educational Exchange Act of 1948, also called the Smith-Mundt Act, Public Law 80-402.

OBJECTIVES:

As authorized by the Smith-Mundt Act, the Bureau of International Information Programs U.S. Speaker and Specialist Program awards short-term grants to U.S. experts to present lectures, serve as consultants, or conduct workshops and seminars for professional audiences. Grantees represent most disciplines, except English language teaching, and interact with a variety of overseas professional audiences. Grantees do not teach undergraduate classes or conduct research. The program applies the intellectual and creative competence of those U.S. citizens to public diplomacy issues identified by U.S. missions abroad through the mission performance plan process. Grantees travel to one or more foreign countries, or participate in an electronic audio or video conference.

TYPES OF ASSISTANCE:

Project Grants (including individual awards)

USES AND USE RESTRICTIONS:

Grants are only available to U.S. citizens. Grants are used to provide funding for round trip international airfare, honorarium, per diem and other minor allowances.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Credentials of U.S. experts must be presented and reviewed by staff to determine suitability for programs. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Final grant awards are determined by IIP staff in concert with participating U.S. embassies.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No time restriction. Aside from honorarium payment, all other grant funds are to be spent on actual trip. Method of awarding/releasing assistance: lump sum.

Reports:

U.S. embassies are required to submit program reports in the Mission Activity Tracker. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. U.S. Embassies are required to submit evaluation reports for all U.S. Speaker and Specialist programs.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C. 7501-7507. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Account Identification:

19-0113-0-1-154.

Obligations:

(Project Grants (including individual awards)) FY 16 Not Available; FY 17 est \$3,500,000; and FY 18 est \$4,500,000

Range and Average of Financial Assistance:

see individual solicitation in www.grants.gov.

TAFS Codes:

19-19.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S. Speaker and Specialist Program guidelines and policies are outlined in the U.S. Department of State Foreign Affairs Manual, Section 10 FAM 350.

Regional or Local Office:

None.

Headquarters Office:

ESTELLE M BAIRD 2200 C STREET, NW, WASHINGTON, District of Columbia 20037 Email: BAIRDEM@STATE.GOV Phone: 2026329204

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grant awards are made based on the professional qualifications of the individual U.S. experts.

19.450 ECA INDIVIDUAL GRANTS

Individual Grants, U.S. academics and professionals, Bureau of Educational and Cultural Affairs (ECA)

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

Fulbright-Hays Act, Public Law, as amended., Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

To provide individual assistance awards by the Bureau of Educational and Cultural Affairs (ECA) to experts and other influential or distinguished persons in the United States, including U.S. academics and professionals, athletes and coaches and creative and performing artists, with funds appropriated to and authorized by the Bureau of Educational and Cultural Affairs. These individual grants support interchanges between the United States and other countries that address issues of mutual interest consistent with the Fulbright-Hays Act.

As authorized by the Fulbright-Hays Act, ECA seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange; to strengthen the ties which unite us with other nations by demonstrating the educational and cultural interests, developments, and achievements of the people of the United States and other nations, and the contributions being made toward a peaceful and more fruitful life for people throughout the world; to promote international cooperation for educational and cultural advancement; and thus to assist in the development of friendly, sympathetic, and peaceful relations between the United States and the other countries of the world..

TYPES OF ASSISTANCE:

Project Grants (including individual awards)

USES AND USE RESTRICTIONS:

Individual awards made by the Bureau of Educational and Cultural Affairs may support any activities authorized by the Fulbright-Hays Act for which funding has been appropriated by Congress through the annual Educational and Cultural Exchange Programs appropriation administered by the Department of State, Bureau of Educational and Cultural Affairs. The activities are designed to promote U.S. interests in designated countries around the world by developing mutual understanding of key issues and promoting long-lasting ties between the United States and other countries. Awards are made to individuals and supported by funds appropriated to and approved by the Bureau of Educational and Cultural Affairs.

Applicant Eligibility:

Individuals in fields of specialized knowledge or skill, and other influential or

distinguished persons.

Beneficiary Eligibility:

Beneficiaries include selected participants and the people of participating countries.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Program announcements and application procedures may vary widely depending on the program.

Award Procedure:

Specific processes vary from program to program activities. However, the Bureau of Educational and Cultural Affairs uses the Departments Form DS-1909 (Federal Assistance Award) to award individual grants to successful applicants. All awards are signed by a warranted Grants Officer and administered through the State Award Management System/GrantSolutions. The Bureau of Educational and Cultural Affairs DOES NOT accept unsolicited grant proposals for individual grant awards. Grant awards are made based on specific needs of the program office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

n/a.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Not applicable.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Reports are to be submitted in accordance with the terms and conditions of the award

See individual award terms and conditions. Cash reports are not applicable.

Progress reports are not applicable. Expenditure reports are not applicable.

Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

Copies of obligating documents are maintained in the Budget Office of the Bureau of Educational and Cultural Affairs for up to seven years. Grant records, including closeout data, are maintained in the Grants Office of the Bureau of Educational and Cultural Affairs for up to seven years.

Account Identification:

19-0201-0-1-154.

Obligations:

(Project Grants (including individual awards)) FY 16 \$97,564; FY 17 est \$97,564; and FY 18 est \$97,564

Range and Average of Financial Assistance:

\$100 to \$6,350.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for programs will vary with individual activities.

Regional or Local Office:

None.

Headquarters Office:

Deborah Thompson Department of State, SA-5, Room 4S06, Washington, District of Columbia 20037, Washington, District of Columbia 20037 Email: ThompsonDL1@state.gov Phone: (202) 632-6365

Website Address:

hppt://eca.state.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.451 SPECIAL INTERNATIONAL EXCHANGE GRANT PROGRAMS

Special International Exchange Grant Programs

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

To provide special grants for international exchanges and other activities that support and address current and emerging issues of mutual interest to the United States and other countries, consistent with the program criteria established in the Departments annual appropriation. As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

The Special International Exchange Grant Programs may include Academic and Professional, Cultural, and Youth exchange activities, as well as international alumni programs. The activities are designed to promote U.S. interests in designated countries around the world by developing mutual understanding of key issues and promoting long-lasting ties between the United States and other countries. This program provides selected individuals with opportunities to participate in exchanges and other activities that develop international competencies and skills, which allow them to serve as leaders in their professions and communities. Funding is provided to eligible non-profit organizations, colleges and universities to support their work in designing and administering exchange programs as well as coordinating program logistics.

Program models, themes and world regions may vary from year to year.

Please refer to the Grants.gov or GrantSolutions.gov announcements for further information.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs

of the U.S. Department of State awards project grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200 Subpart E Cost Principles applies to this program.

Beneficiary Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards project grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200 Subpart E Cost Principles applies to this program.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by organizations public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or GrantSolutions.gov announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200 Subpart E Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600, entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Announcements are posted on the Grants.gov and Department of State's website: <http://eca.state.gov/organizational-funding> or GrantSolutions.gov website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or GrantSolutions.gov announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureaus Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via GrantSolutions.gov to the recipients responsible officer identified in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or GrantSolutions.gov announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

As stated in the Grants.gov announcements. Cash reports are not applicable. Progress reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Parts 200, Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Cooperative Agreements) FY 16 \$6,690,877; FY 17 est \$8,401,984; and FY 18 est \$6,690,877 - See Grants.gov or GrantSolutions.gov announcements for further information.

Range and Average of Financial Assistance:

\$6,690,877.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Trust for University Innovation in Vietnam (TUIV) receives financial support from ECA, including funds via the U.S. Department of Treasury Vietnam Debt Repayment Fund, towards the development of Fulbright University Vietnam (FUV), the first independent, not-for-profit university in Vietnam. USAID also is providing funding. FUV will embody American higher education values including academic freedom, autonomy, meritocracy, and transparency. Based in Ho Chi Minh City, it will admit its first class of students in academic year 2017-18 (teaching applied economics and management to Vietnamese mid-career managers and policymakers through a two-year Masters in Public Policy degree program) and its first undergraduates for 2018-19. Ground will be broken on campus construction in the fall of 2017, with the first phase of the construction to be completed by 2020. The Vietnamese government has been very supportive, including donating the land for the campus. FUV builds on the investments made under the direction of the U.S. Congress over 20 years in the Fulbright Economic Teaching Program (FETP), a cornerstone of U.S.-Vietnamese bilateral cooperation in higher education for which ECA provided funding. FETP has

transitioned into FUVs School of Public Policy and Management. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or GrantSolutions.gov announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB Guidance cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Lana Muck/Rene Hadjigeorgalis 2200 C Street, NW, SA-05, Washington, District of Columbia 20037, Washington, District of Columbia 20037, Washington, District of Columbia 20037 Email: fulbright@state.gov;hadjigeorgalisa@state.gov Phone: (202) 632-9330 / 632-6411

Website Address:

http://http.exchanges.state.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the Grants.gov or GrantSolutions.gov announcements.

19.452 INTERNATIONAL EXCHANGE ALUMNI PROGRAMS

International Exchange Alumni Programs include International Exchange Alumni Enrichment Seminars.

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., Title 87, Part 256.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans.

The purpose of International Exchange Alumni Programs is to advance U.S. foreign policy by building and maintaining relationships with current and future leaders who have participated in international exchanges. Alumni engagement is an integral component of the U.S. Department of States public diplomacy that generates maximum return on the U.S. governments investment in exchange programs. Alumni programs aim to unite alumni communities around the globe and foster post-exchange opportunities through follow-on activities, virtual engagement, funding opportunities and resources, and regional programs.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

With approximately 1,000,000 alumni worldwide, ECA is committed to providing resources to sustain engagement with and harness the energy, enthusiasm, and expertise of these current and emerging leaders. Working closely with Department of State regional bureaus and U.S. embassies worldwide, ECA supports alumni programs that are strategic, responsive to U.S. embassy priorities, and designed to reinforce U.S. foreign policy objectives. To best address those objectives, ECA focuses alumni programs on policy priority topics relating to civic engagement, social inclusion, education diplomacy, English language learning, environmental security, entrepreneurship, conflict resolution, and good governance.

In addition to providing expertise, training, and support for on-the-ground alumni activities led by U.S. embassies and consulates around the world, ECA maintains a robust, targeted virtual engagement system. One of the key ways the office currently unites and engages the alumni community virtually is through the International Exchange Alumni website (alumni.state.gov), an exclusive, password-protected on line global community, which serves as the Department of States official website for U.S. government-sponsored exchange alumni. The site offers alumni a place to network and connect with other alumni, share news, and access resources such as grants and research databases.

Funding provided to a non-profit organization supports regional or sub-regional enrichment seminars for exchange alumni, which focus on thematic topics that support U.S. foreign policy priorities and foster a sense of shared identity, networking, and dialogue among participating alumni.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the GrantSolutions.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards project grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the GrantSolutions.gov announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600, entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. . Announcements are posted on the Grants.gov and Department of

State's website: <http://eca.state.gov/organizational-funding> or GrantSolutions.gov website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or GrantSolutions.gov announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via GrantSolutions.gov to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

As stated in Grants.gov or GrantSolutions.gov announcements.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As stated in Grants.gov or GrantSolutions.gov announcements. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Financial and Program Reporting requirements are described in the Grants.gov announcement. As stated in Grants.gov announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entities fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the Department of State Standard Terms and Conditions for awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for awards (<https://www.statebuy.state.gov/fa/pages/home.aspx>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$750,000; FY 17 est \$750,000; and FY 18 est \$750,000

Range and Average of Financial Assistance:

\$750,000.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Alumni Thematic International Exchange Seminar (Alumni TIES) Program supports regional or sub-regional enrichment seminars for alumni of U.S. government-sponsored exchange programs focusing on thematic topics that support U.S. foreign policy priorities and foster a shared sense of identity, networking, and dialogue among participating alumni. To support efforts of alumni to apply their international exchange and seminar experience with their communities, participants are eligible to compete for a small grants opportunity of up to \$10,000 per project following the seminar. Approximately 130 U.S. alumni of various ECA exchange programs participated in four seminars in FY 2016. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or GrantSolutions.gov announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 800 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. . For a copy of the OMB circulars cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Megan Huber 2200 C Street NW, SA-05, Washington, District of Columbia 20037 Email: HuberME@state.gov Phone: 202-632-9487

Website Address:

<https://alumni.state.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in Grants.gov or GrantSolutions.gov announcements.

19.500 MIDDLE EAST PARTNERSHIP INITIATIVE

MEPI

FEDERAL AGENCY:

Bureau of Near Eastern Affairs, Department of State

AUTHORIZATION:

Foreign Assistance Act of 1961, Public Law 87-195, 75 Stat. , 22 U.S.C 2151.

OBJECTIVES:

The U.S.-Middle East Partnership Initiative supports organizations and individuals in their efforts to promote political, economic, and social reform in the Middle East and North Africa.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The U.S.-Middle East Partnership Initiative (MEPI) supports democratic transitions and reform in the Middle East and North Africa (MENA) through partnerships with a broad range of local stakeholders working for change in the region. MEPI works directly with indigenous civil society organizations, business associations, activist networks, nongovernmental organizations (NGOs), citizen-led groups and educational institutions, as well as with

reformers within MENA governments, when appropriate, to promote democratic and economic reform. MEPI supports active citizen engagement in the development of representative, transparent and responsive governments; promotes clear, consistent and fair rules of the game for political competition in emerging democracies; improves the business environment for small and medium enterprises and entrepreneurs through private sector advocacy for freer markets and other underpinnings of inclusive economic growth and job creation; and assists those striving for political and social freedoms, often in the face of repression.

Applicant Eligibility:

U.S. or foreign non-profit organizations; for-profit organizations; private institutions of higher education, public or state institutions of higher education; public international organizations; and small businesses with functional and regional experience. Each solicitation outlines who is eligible and what types of experience are needed to apply for funding.

Beneficiary Eligibility:

MEPI supports projects in Algeria, Bahrain, Egypt, Iraq, Israel, Jordan, Kuwait, Lebanon, Libya, Morocco, Oman, Qatar, Saudi Arabia, Syria, Tunisia, United Arab Emirates, West Bank or Gaza, and Yemen. Regional and multi-country projects may include Iraqi participants, but we currently do not fund Iraq-specific projects.

Any award made using State/NEA funds requires the full complement of standard federal forms and budget documents, CVs of main program staff, as dictated by the U.S. Department of State, Bureau of Administration, and the relevant OMB circulars.

See www.grants.gov for specific announcement. OMB Circular No. A-87 applies to this program.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-award coordination is required for all grantees applying for foreign assistance grants through the U.S. Department of State, Bureau of Near Eastern Affairs, Office of Assistance Coordination (NEA/AC). This coordination will take place between program officers in the field and the recipient agencies, or between program officers in Washington, DC and the recipient organizations. See www.grants.gov for specific announcement. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. NEA/AC solicits proposals via program announcements posted on www.grants.gov, www.grantsolutions.gov, and on the websites of NEA/AC, and posts in the region. NEA Syria programming implementers are selected through open competitions, directed, or limited solicitations. Occasionally, NEA/AC will issue sole source awards. NEA/AC also enters into a limited number of Interagency Acquisition Agreements with other U.S.G. Federal agencies. See www.grants.gov for specific announcement.

Award Procedure:

Solicitations are reviewed by panels comprised of area and subject matter experts drawn from both inside and outside the State Department, including from NGOs and the private sector. Award procedures are defined by the U.S. Department of State, Administration Bureau in accordance with relevant OMB Circulars.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. N/A.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Based on program needs and availability of funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Although the average length is 24 months, the specific length of time for a MEPI grant may vary from one award to another. Details for each can be found on the respective announcements. All assistance for Centralized Payments, Cash Management, Financial Reporting, Debt Management, and Audit Assistance is with the Department of Health and Human Services, Division of Payment Management one of the two non-DoD grants payment systems for use by the entire Federal Government. See the following for information on how assistance is awarded/released: All assistance for Centralized Payments, Cash Management, Financial Reporting, Debt Management, and Audit Assistance is with the Department of Health and Human Services, Division of Payment Management one of the two non-DoD grants payment systems for use by the entire Federal Government.

Reports:

Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, program reports may be required either quarterly, semi-annually, or annually. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, program reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer. No cash reports are required. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer. Quarterly Financial Reports. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133 if applicable.

Records:

Above reports will be retained for three years from the date of submission of the final financial report. Recipients will maintain records for three years from

the date of submission of the final report.

Account Identification:

19-1014-0-1-150.

Obligations:

(Cooperative Agreements) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - N/A.

Range and Average of Financial Assistance:

From \$10,000 to \$23,000,000.

TAFS Codes:

19-19-19.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for grants and cooperative agreements are distributed in conjunction with the request for applications or program announcements. Extensive background and program information is available on www.mepi.state.gov.

Regional or Local Office:

See Regional Agency Offices. MEPI has Regional Offices in Rabat, Morocco, and Abu Dhabi, UAE.

Headquarters Office:

Sara Curley 2430 E Street NW, Washington, District of Columbia 20037
Email: curleysl@state.gov Phone: (202) 776-8691 Fax: (202) 776-8500

Website Address:

<http://mepi.state.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

MEPI looks for new and innovative proposals for the promotion of political, economic, and social reform in the Middle East and North Africa. Proposals that include cost-sharing, partnership with local organizations, public outreach plans, sustainability plans, and an alumni component will be favorably viewed. In addition, proposals should be responsive to the substantive provisions set forth in each solicitation. Final award decisions are influenced by whether the applications meet MEPI's programmatic goals and objectives, whether and how they support the USGs overarching foreign policy priorities and whether they have the support of the appropriate U.S. Embassy(ies) or Consulates. All final grant award decisions are made by the Deputy Assistant Secretary.

19.510 U.S. REFUGEE ADMISSIONS PROGRAM

U.S. Refugee Admissions Program

FEDERAL AGENCY:

Bureau of Population, Refugees, and Migration, Department of State

AUTHORIZATION:

The Immigration and Nationality Act (INA), as amended and the Refugee Migration and Assistance Act, as amended (MRA).

OBJECTIVES:

Initial reception and placement program for refugees approved for admission in the United States. Program objectives include: (1) arranging for refugees' placement by ensuring that approved refugees are sponsored and offered appropriate assistance upon arrival in the United States; (2) providing refugees with basic necessities and core services during their initial resettlement period in the United States; and (3) promoting refugee self-sufficiency through employment as soon as possible after arrival in the United States in coordination with other refugee service and assistance programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The cooperative agreement provides financial support to partially cover the

identified services based on a fixed per capita sum per refugee resettled in the United States. Allocation of funds is also based on the organization's reported expenses for its headquarters each fiscal year.

Applicant Eligibility:

private non-profit organizations.

Beneficiary Eligibility:

Refugees approved under the U.S. Refugee Admissions Program will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible organizations must submit proposals for the Bureau's consideration via Grants.gov in response to funding opportunity announcements posted by the Bureau on Grants.gov, with Bureau review occurring following the announcement deadline. Organization representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. Organizations that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on the Director of the Office of Admissions' recommendations for all reception and placement awards and the Comptroller of the Bureau signs the cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals or extensions require additional approval.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Organizations are expected to contribute private funds to assist with reception and placement services. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are awarded on a fiscal year basis, October 1 through September 30. See the following for information on how assistance is awarded/released: Funds are awarded on a reimbursement basis through a per capita and reported expenses.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. The general performance of the Recipient's operations will be evaluated and monitored to ensure that the established objectives are being successfully met and to determine whether the performance is in compliance with the terms of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503. The Recipient will have the U.S. Government Federal funds awarded to it included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. In particular, the audit must be able to confirm that reported charges were actually incurred in the amounts reported and that such reported charges were not based on average costs of similar or grouped expenses and that they were not allocated based on shared resources. Specific audit requirements will be written into the grant or cooperative agreement.

Records:

The grantee shall maintain a case file for each refugee case unit admitted into the United States as well as comply with all other retention and access requirements for records as set forth in 22 CFR 145.53.

Account Identification:

19-1143-0-1-151.

Obligations:

(Cooperative Agreements) FY 16 \$227,636,918; FY 17 est \$227,000,000; and FY 18 est \$227,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-1143.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Barbara Day Department of State, Bureau of Population, Refugees and Migration, 2025 E.St., NW, Washington, District of Columbia 20522-0908
Email: DayBJ@state.gov Phone: (202) 453-9261

Website Address:

<https://www.state.gov/j/prm/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements are made on the basis of a panel review using evaluation criteria specified in the request for proposal.

19.511 OVERSEAS REFUGEE ASSISTANCE PROGRAMS FOR EAST ASIA

Overseas Refugee Assistance Programs for East Asia

FEDERAL AGENCY:

Bureau of Population, Refugees, and Migration, Department of State

AUTHORIZATION:

The Migration and Refugee Assistance Act of 1962, as amended (MRA), 22 U.S.C. 2601 et seq., 22 U.S.C. 2601.

OBJECTIVES:

The Bureau of Population, Refugees, and Migration (PRM) supports NGO humanitarian assistance and protection programs for vulnerable populations in Australia, Bangladesh, Burma, Cambodia, Indonesia, Laos, Malaysia, Mongolia, the Philippines, Thailand, and Vietnam. In addition, the Bureau also monitors issues pertaining to the protection and assistance needs of refugees, asylum seekers, internally displaced persons (IDPs), stateless persons, vulnerable migrants, and other victims of conflict originating from Southeast Asia and displaced throughout East Asia and the Pacific and South Asia regions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The cooperative agreement, grant or contribution provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval.

Applicant Eligibility:

Non-governmental organizations. The Migration and Refugee Assistance Account (MRA) designates primary UN or IO recipient organizations. NGO activities must be complementary to, and coordinated with, UN programs.

Beneficiary Eligibility:

Refugees and victims of conflict requiring assistance.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Non-governmental organizations (NGOs) must submit proposals for the Bureau's consideration via Grants.gov (international organizations submit appeals to the Bureau). NGOs may submit proposals in response to funding opportunity announcements posted by the Bureau on Grants.gov to fill critical needs, with Bureau review occurring following the deadline of the funding announcement. (Funding announcements are also posted at <http://www.state.gov>.) IO and NGO representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Assistance for Asia and the Near East and other Bureau offices. The Comptroller or Deputy Comptroller of the Bureau signs the cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals or extensions require additional approval.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Although there are no matching requirements, PRM looks favorably on cost-sharing efforts and seeks to support projects with a diverse donor base and/or resources from the submitting organization.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Shorter time periods may also be authorized. See the following for information on how assistance is awarded/released: Payments will be made through the Department of Health and Human Services Payment Management System (PMS). Recipients request funds based on immediate disbursement requirements and disburse funds as

soon as possible to minimize the Federal cash on hand in accordance with the policies established by the Treasury Department and mandated by the OMB Circulars.

Reports:

The Bureau requires program reports describing and analyzing the results of activities undertaken during the validity period of the agreement. A program report is required within thirty (30) days following the end of each three month period of performance during the validity period of the agreement. The final program report is due ninety (90) days following the end of the agreement. The submission dates for program reports will be written into the grant or cooperative agreement. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). No progress reports are required. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). The general performance of the Recipient's operations will be evaluated and monitored to ensure that the established objectives are being successfully met and to determine whether the performance is in compliance with the terms of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Bureau funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit report is due 30 days after issuance or nine months after the end of the audit period.

Records:

Retention and access requirements for records are set forth in 22 CFR 145.53.

Account Identification:

19-1143-0-1-151.

Obligations:

(Cooperative Agreements) FY 16 \$18,264,778; FY 17 est \$18,300,000; and FY 18 est \$18,300,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-1143.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Hoa Tran Department of State, Bureau of Population, Refugees and Migration, Office of Assistance for Asia and the Near East, 2025 E Street NW , Washington, District of Columbia 20522-0908 Email: TranHT3@state.gov Phone: (202) 453-9289

Website Address:

<https://www.state.gov/j/prm/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Bureau's main objective is to help ensure that refugees and victims of conflict have access to basic life-sustaining resources in ways that meet internationally accepted standards of care in shelter, food supply, nutrition, water supply, sanitation, and public health. NGO proposals should clearly use SPHERE standards as the basis for design, implementation, and evaluation, including proposed objectives and indicators. Underlying PRM's support for humanitarian assistance is a commitment to protection, targeted support to women, vulnerable individuals, coordination with relevant UN agencies and other NGOs, sustainability of programming, security, and capacity building. NGOs in search of Bureau funding would do well to address these specific areas in any proposals sent for funding.

19.151 CONTRIBUTIONS TO INTERNATIONAL ORGANIZATIONS FOR OVERSEAS ASSISTANCE

Contributions to International Organizations for Overseas Assistance

FEDERAL AGENCY:

Bureau of Population, Refugees, and Migration, Department of State

AUTHORIZATION:

Migration and Refugee Assistance Act of 1962, as amended, Public Law 87-510, 22 U.S.C 2601.

OBJECTIVES:

Provision of voluntary and assessed contributions to Public International Organizations to carry out humanitarian assistance activities overseas benefiting refugees, victims of conflict, and other persons of concern.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

Voluntary and assessed contributions provide funds to key international organizations to address pressing humanitarian needs overseas and to resettle refugees in the United States. These funds support programs that sustain life; provide protection and assistance to the most vulnerable, particularly women and children and the elderly; assist refugees with voluntary repatriation, local integration, or permanent resettlement in a third country; and foster the humane and effective management of international migration policies. These contributions meet the international organization's objectives as approved by the Bureau. Funding documents approved by the Bureau authorize funds based on the organization's budget submission, funding request or appeal document.

Applicant Eligibility:

Voluntary and assessed contributions to Public International Organizations to provide assistance to refugees and victims of conflict overseas.

Beneficiary Eligibility:

Refugees, victims of conflict, and other persons of concern requiring protection and assistance.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

As authorized, the Bureau provides funds multilaterally as voluntary and

assessed contributions to international organizations (IOs). To ensure that the international system is effectively and efficiently addressing the humanitarian needs of refugees, internally displaced persons (IDPs), victims of conflict, stateless persons, and vulnerable migrants, the Bureau ensures that the U.S. Government (USG) works closely with other donor governments to achieve a common understanding of humanitarian requirements and what constitutes satisfactory performance in responding to them by the international humanitarian system. Through its active participation in international organizations' governing boards, the USG promotes efforts to strengthen the UN system and increase the effectiveness of multilateral humanitarian action. The Bureau makes USG contributions to major IO partners including the Office of the United Nations High Commissioner for Refugees, the International Committee of the Red Cross, the International Organization for Migration, the United Nations Relief and Works Agency for Palestine Refugees in the Near East (UNRWA), and other international organizations. To demonstrate continued U.S. leadership and commitment to these institutions, the U.S. contributions aim to constitute a fair share of the IOs funding requests and appeals with the expectation that other donors -- in the spirit of responsibility sharing -- will support the remaining funding request. Each contribution funding decision is based on Bureau budget and policy prioritization considerations. Each contribution action contains award conditions that are signed and countersigned by authorized representatives of the Bureau and the recipient organization.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each voluntary and assessed contribution contains award conditions that are accepted by the recipient organization. Most contribution award agreements stipulate that funds may be used to continue authorized designated activities beyond the initial time period of the appeal, if needed. See the following for information on how assistance is awarded/released: Recipients should request funds based on immediate disbursement requirements and disburse funds as soon as possible to minimize the Federal cash on hand in accordance with the established policies. Payment requests should be submitted only in amounts that are required to meet the immediate cash needs of the contribution.

Reports:

Copies of all program and financial reports concerning the activities specified by the contribution are required to be provided to the Bureau within thirty days of issuance. Cash reports are not applicable. Progress reports are not applicable. Contribution awards require that financial records regarding the contribution be maintained in accordance with the Recipients established accounting policies and procedures. Monitoring programs funded through contributions to international organizations remains a Bureau priority to ensure that funds meet core objectives of providing protection and assistance to populations of concern. The Bureau pursues its monitoring of its primary IO partners, as applicable, by negotiating frameworks for cooperation between the USG and the IO incorporating rigorous, mutually-agreed strategic goals, indicators, and performance targets for the year. With these organizations, the Bureau plays an important role in shaping and supporting strategic planning and performance management. The Bureau monitors its programs closely using a range of performance measures to gauge humanitarian impact, assess progress toward strategic priorities, and ensure accountability to beneficiaries and American taxpayers. IO programs are monitored by routine visits of agency staff to program sites and to IO headquarters and regional/country offices.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Annual and/or bi-annual audits are required depending on the PIO. External audits may also be required. The contribution agreement states that in addition to the published financial and program reports, the Recipient agrees to provide copies of its external audit report prepared for donors to the Bureau.

Records:

Program and financial reports are maintained for 10 years.

Account Identification:

19-1143-0-1-151.

Obligations:

(Direct Payments for Specified Use) FY 16 \$2,585,558,782; FY 17 est \$2,600,000,000; and FY 18 est \$2,600,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-1143.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Eric Hembree 2025 E Street NW, Washington, District of Columbia 20522-0908 Email: HembreeEL@state.gov Phone: 2024539239

Website Address:

<https://www.state.gov/j/prm/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.517 OVERSEAS REFUGEE ASSISTANCE PROGRAMS FOR AFRICA

Overseas Refugee Assistance Programs for Africa

FEDERAL AGENCY:

Bureau of Population, Refugees, and Migration, Department of State

AUTHORIZATION:

The Migration and Refugee Assistance Act of 1962, as amended (MRA), 22 U.S.C. 2601 et seq., 22 U.S.C 2601.

OBJECTIVES:

Assistance will contribute to the basic needs of refugees and conflict victims spread across the African continent (both Sub-Saharan and North Africa). NGOs are key partners of international organizations in Africa, often in specialized areas such as health care, water, sanitation, food distribution, and education. Funds will be provided directly to NGOs to complement the programs of UNHCR and to bring basic assistance up to international life-sustaining standards of care.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The cooperative agreement, grant or contribution provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval.

Applicant Eligibility:

International and non- governmental organizations. MRA designates primary UN or IO recipient organizations. NGO activities must be complementary to, and coordinated with, UN programs.

Beneficiary Eligibility:

Refugees and victims of conflict requiring assistance.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Non-governmental organizations (NGOs) must submit proposals for the Bureau's consideration via Grants.gov (international organizations submit appeals to the Bureau). NGOs may submit proposals in response to funding opportunity announcements posted by the Bureau on Grants.gov to fill critical needs, with Bureau review occurring following the deadline of the funding announcement. (Funding announcements are also posted at <http://www.state.gov>.) IO and NGO representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Assistance for Africa and other Bureau offices. The Comptroller or Deputy Comptroller of the Bureau signs the cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals or extensions require additional approval.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Although there are no matching requirements, PRM looks favorably on cost-sharing efforts and seeks to support projects with a diverse donor base and/or resources from the submitting organization.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Shorter time periods may also be authorized. See the following for information on how assistance is awarded/released: Payments will be made through the U.S. Department of Health and Human Services Payment Management System (PMS). Recipients request funds based on immediate disbursement requirements and disburse funds as soon as possible to minimize the Federal cash on hand in accordance with the policies established by the U.S. Treasury Department and mandated by the OMB Circulars.

Reports:

PRM requires program reports describing and analyzing the results of activities undertaken during the validity period of the agreement. A program report is required within thirty (30) days following the end of each three month period of performance during the validity period of the agreement. The final program report is due ninety (90) days following the end of the agreement. The

submission dates for program reports will be written into the grant or cooperative agreement. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). No progress reports are required. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). The general performance of the Recipient's operations will be evaluated and monitored to ensure that the established objectives are being successfully met and to determine whether the performance is in compliance with the terms of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Bureau funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit report is due 30 days after issuance or nine months after the end of the audit period.

Records:

Retention and access requirements for records are set forth in 22 CFR 145.53.

Account Identification:

19-1143-0-1-151.

Obligations:

(Cooperative Agreements) FY 16 \$93,759,300; FY 17 est \$93,000,000; and FY 18 est \$93,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-1143.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Eric Hembree 2025 E Street NW, Washington, District of Columbia 20522-0908 Email: HembreeEL@state.gov Phone: 2024539239

Website Address:

<https://www.state.gov/j/prm/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Bureau's main objective is to help ensure that refugees and victims of conflict have access to basic life-sustaining resources in ways that meet internationally accepted standards of care in shelter, food supply, nutrition, water supply, sanitation, and public health. NGO proposals should clearly use

SPHERE standards as the basis for design, implementation, and evaluation, including proposed objectives and indicators. Underlying PRM's support for humanitarian assistance is a commitment to protection, targeted support to women, vulnerable individuals, coordination with relevant UN agencies and other NGOs, sustainability of programming, security, and capacity building. NGOs in search of Bureau funding would do well to address these specific areas in any proposals sent for funding.

19.518 OVERSEAS REFUGEE ASSISTANCE PROGRAMS FOR WESTERN HEMISPHERE

Overseas Refugee Assistance Programs for Western Hemisphere

FEDERAL AGENCY:

Bureau of Population, Refugees, and Migration, Department of State

AUTHORIZATION:

The Migration and Refugee Assistance Act of 1962, as amended, (MRA) and 22 U.S.C 2601 et seq., 22 U.S.C 2601.

OBJECTIVES:

Since 2000, PRM has provided assistance to internally displaced persons (IDPs) and refugees in Colombia and neighboring countries. PRM's assistance strategy in Colombia includes ensuring adequate protection for vulnerable IDPs, filling gaps in the provision of emergency humanitarian assistance, and building local government and community capacity to meet the needs of IDPs. In Colombia, Ecuador, Venezuela, Panama and Costa Rica, PRM programs provide direct emergency humanitarian assistance such as food, non-food, shelter, health, and psychosocial support to IDPs, refugees, and other vulnerable populations, including indigenous persons, Afro-Colombians, women, and children. PRM programs also support capacity-building activities with local governments, IDP and refugee associations, and civil society to improve the quality of assistance and services for IDPs and refugees.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The cooperative agreement, grant or contribution provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval.

Applicant Eligibility:

United Nations, international and non-governmental organizations. MRA designates primary UN or IO recipient organizations. NGO activities must be complementary to, and coordinated with, UN programs.

Beneficiary Eligibility:

Refugees and victims of conflict requiring assistance.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Non-governmental organizations (NGOs) must submit proposals for the Bureau's consideration via Grants.gov in response to funding opportunity announcements posted by the Bureau on Grants.gov to fill critical needs, with Bureau review following the deadline of the funding announcement. International Organizations (IOs) submit appeals to the Bureau. (Funding opportunity announcements are also posted at <http://www.state.gov/>) IO and NGO representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Assistance for Europe, Central Asia and Americas and other Bureau Offices. The Comptroller or Deputy Comptroller of the Bureau signs the cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals or extensions require additional approval.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Although there are no matching requirements, PRM looks favorably on cost-sharing efforts and seeks to support projects with a diverse donor base and/or resources from the submitting organization.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Shorter time periods may also be authorized. See the following for information on how assistance is awarded/released: Payments will be made through the U.S. Department of Health and Human Services Payment Management System (PMS). Recipients request funds based on immediate disbursement requirements and disburse funds as soon as possible to minimize the Federal cash on hand in accordance with the policies established by the U.S. Treasury Department and mandated by the OMB Circulars.

Reports:

PRM requires program reports describing and analyzing the results of activities undertaken during the validity period of the agreement. A program report is required within thirty (30) days following the end of each three month period of performance during the validity period of the agreement. The final program report is due ninety (90) days following the end of the agreement. The submission dates for program reports will be written into the grant or cooperative agreement. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). No progress reports are required. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). The general performance of the Recipient's operations will be evaluated and monitored to ensure that the established objectives are being successfully met and to determine whether the performance is in compliance with the terms of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Bureau funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit report is due 30 days after issuance or nine months after the end of the audit

period.

Records:

Retention and access requirements for records are set forth in 22 CFR 145.53.

Account Identification:

19-1143-0-1-151.

Obligations:

(Cooperative Agreements) FY 16 \$22,864,159; FY 17 est \$22,800,000; and FY 18 est \$22,800,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-1143.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Nancy Iris 2025 E St. NW 8th Floor, SA-9, Washington, District of Columbia 20520 Email: IrisNR@state.gov Phone: 202-453-9297 Fax: 202-453-9392

Website Address:

<https://www.state.gov/j/prm/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Bureau's main objective is to help ensure that refugees and victims of conflict have access to basic life-sustaining resources in ways that meet internationally accepted standards of care in shelter, food supply, nutrition, water supply, sanitation, and public health. NGO proposals should clearly use SPHERE standards as the basis for design, implementation, and evaluation, including proposed objectives and indicators. Underlying PRM's support for humanitarian assistance is a commitment to protection, targeted support to women, vulnerable individuals, coordination with relevant UN agencies and other NGOs, sustainability of programming, security, and capacity building. NGOs in search of Bureau funding would do well to address these specific areas in any proposals sent for funding.

19.519 OVERSEAS REFUGEE ASSISTANCE PROGRAM FOR NEAR EAST AND SOUTH ASIA

Overseas Refugee Assistance Program for Near East and South Asia

FEDERAL AGENCY:

Bureau of Population, Refugees, and Migration, Department of State

AUTHORIZATION:

The Migration and Refugee Assistance Act of 1962, as amended (MRA), 22 U.S.C. 2601 et seq., 22 U.S.C 2601.

OBJECTIVES:

In the Near East: Providing protection and assistance to Syrian and Iraqi refugees, conflict victims, internally displaced persons (IDPs) and returnees remains a high priority. Programs will assist refugee populations in neighboring countries. Programs inside Iraq will also help strengthen local structures so that Iraqi government policies and programs will be responsive to refugee, IDP and returnee needs. In South Asia: The Bureau will continue support for the return and reintegration programs for Afghan refugees and IDPs. The Bureau will also continue supporting protection and assistance activities for refugees who remain in Pakistan and Iran and who may not repatriate. The Bureau provides reception, healthcare, education, and livelihood services for Tibetans living outside Tibet, most in Central Tibetan Administration (CTA)-sponsored refugee settlements in India, Nepal, and Bhutan.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The cooperative agreement, grant or contribution provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval.

Applicant Eligibility:

United Nations, international and non-governmental organizations. MRA designates primary UN or IO recipient organizations. NGO activities must be complementary to, and coordinated with, UN programs.

Beneficiary Eligibility:

Refugees and victims of conflict requiring assistance.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Non-governmental organizations (NGOs) must submit proposals for the Bureau's consideration via Grants.gov (international organizations submit appeals to the Bureau). NGOs may submit proposals in response to funding opportunity announcements posted by the Bureau on Grants.gov to fill critical needs, with Bureau review occurring following the deadline of the funding announcement. (Funding announcements are also posted at <http://www.state.gov/>.) NGO representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Policy and Resource Planning and the Comptroller or Deputy Comptroller of the Bureau signs the cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals or extensions require additional approval.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Although there are no matching requirements PRM looks favorably on cost-sharing efforts and seeks to support projects with a diverse donor base and/or resources from the submitting organization.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Shorter time periods may also be authorized. See the following for information on how assistance is awarded/released: Payments will be made through the U.S. Department of Health and Human Services Payment Management System (PMS). Recipients request funds based on immediate disbursement requirements and disburse

funds as soon as possible to minimize the Federal cash on hand in accordance with the policies established by the U.S. Treasury Department and mandated by the OMB Circulars.

Reports:

PRM requires program reports describing and analyzing the results of activities undertaken during the validity period of the agreement. A program report is required within thirty (30) days following the end of each three month period of performance during the validity period of the agreement. The final program report is due ninety (90) days following the end of the agreement. The submission dates for program reports will be written into the grant or cooperative agreement. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). No progress reports are required. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). The general performance of the Recipient's operations will be evaluated and monitored to ensure that the established objectives are being successfully met and to determine whether the performance is in compliance with the terms of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Bureau funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit report is due 30 days after issuance or nine months after the end of the audit period.

Records:

Retention and access requirements for records are set forth in 22 CFR 145.53.

Account Identification:

19-1143-0-1-151.

Obligations:

(Salaries) FY 16 \$116,187,900; FY 17 est \$116,200,000; and FY 18 est \$116,200,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-1143.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Virginia Terhar Department of State, Bureau of Population, Refugees and Migration (PRM), Office of Assistance for Asia and Near East, 2025 E St. NW, 8th Floor SA-9, Washington, District of Columbia 20520 Email: TerharVS@state.gov Phone: (202)453-9292

Website Address:

<https://www.state.gov/j/prm/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Bureau's main objective is to help ensure that refugees and victims of conflict have access to basic life-sustaining resources in ways that meet internationally accepted standards of care in shelter, food supply, nutrition, water supply, sanitation, and public health. NGO proposals should clearly use SPHERE standards as the basis for design, implementation, and evaluation, including proposed objectives and indicators. Underlying PRM's support for humanitarian assistance is a commitment to protection, targeted support to women, vulnerable individuals, coordination with relevant UN agencies and other NGOs, sustainability of programming, security, and capacity building. NGOs in search of Bureau funding would do well to address these specific areas in any proposals sent for funding.

19.520 OVERSEAS REFUGEE ASSISTANCE PROGRAMS FOR EUROPE

Overseas Refugee Assistance Programs for Europe

FEDERAL AGENCY:

Bureau of Population, Refugees, and Migration, Department of State

AUTHORIZATION:

The Migration and Refugee Assistance Act of 1962, as amended (MRA), 22 U.S.C. 2601 et seq., 22 U.S.C 2601.

OBJECTIVES:

Funding of organizations that assist refugees and internally displaced persons (IDPs) in Europe and Central Asia. In the North and South Caucasus, the Bureau, in conjunction with International Organizations (IOs), non-governmental organizations (NGOs), and the international community, will continue to provide life-sustaining assistance to the most vulnerable IDPs and refugees produced by the conflict in Chechnya and in Georgia, Armenia, and Azerbaijan. In the Balkans, programs that assist returns to Kosovo from Serbia-Montenegro and Macedonia, and local integration of refugees and IDPs in Serbia are the focus of our funding, and, through international organizations, we will provide protection to refugees, asylum seekers, and individuals at risk for statelessness.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The cooperative agreement, grant or contribution provides funds to meet the organization's objectives as approved by the Bureau. Upon Bureau approval, funding documents authorize funds based on the organization's budget submission.

Applicant Eligibility:

United Nations, international and non- governmental organizations. MRA designates primary UN or IO recipient organizations. NGO activities must be complementary to, and coordinated with, UN programs.

Beneficiary Eligibility:

Refugees and victims of conflict requiring assistance.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Non-governmental organizations (NGOs) must submit

proposals via Grants.gov in response to funding announcements posted on Grants.gov by the Bureau to fill critical needs, with Bureau review occurring after the funding announcement deadline. International organizations (IOs) submit appeals to the Bureau. IO and NGO representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Assistance for Europe, Central Asia and Americas and other Bureau offices. The Comptroller or Deputy Comptroller of the Bureau signs the cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals or extensions require additional approval.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Although there are no matching requirements, PRM looks favorably on cost-sharing efforts and seeks to support projects with a diverse donor base and/or resources from the submitting organization.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Shorter time periods may also be authorized. See the following for information on how assistance is awarded/released: Payments will be made through the U.S. Department of Health and Human Services Payment Management System (PMS). Recipients request funds based on immediate disbursement requirements and disburse funds as soon as possible to minimize the Federal cash on hand in accordance with the policies established by the U.S. Treasury Department and mandated by the OMB Circulars.

Reports:

PRM requires program reports describing and analyzing the results of activities undertaken during the validity period of the agreement. A program report is required within thirty (30) days following the end of each three month period of performance during the validity period of the agreement. The final program report is due ninety (90) days following the end of the agreement. The submission dates for program reports will be written into the grant or cooperative agreement. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). No progress reports are required. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). The general performance of the Recipient's operations will be evaluated and monitored to ensure that the established objectives are being successfully met and to determine whether the performance is in compliance with the terms of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Bureau funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit report is due 30 days after issuance or nine months after the end of the audit period.

Records:

Retention and access requirements for records are set forth in 22 CFR 145.53.

Account Identification:

19-1143-0-1-151.

Obligations:

(Cooperative Agreements) FY 16 \$3,098,439; FY 17 est \$3,100,000; and FY 18 est \$3,100,000

Range and Average of Financial Assistance:

Not available.

TAFS Codes:

19-1143.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Nancy Iris 2025 E St. NW 8th Floor, SA-9, Washington, District of Columbia 20520 Email: IrisNR@state.gov Phone: 202-453-9297 Fax: 202-453-9392

Website Address:

<https://www.state.gov/j/prm/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Bureau's main objective is to help ensure that refugees and victims of conflict have access to basic life-sustaining resources in ways that meet internationally accepted standards of care in shelter, food supply, nutrition, water supply, sanitation, and public health. NGO proposals should clearly use SPHERE standards as the basis for design, implementation, and evaluation, including proposed objectives and indicators. Underlying PRM's support for humanitarian assistance is a commitment to protection, targeted support to women, vulnerable individuals, coordination with relevant UN agencies and other NGOs, sustainability of programming, security, and capacity building. NGOs in search of Bureau funding would do well to address these specific areas in any proposals sent for funding.

19.522 OVERSEAS REFUGEE ASSISTANCE PROGRAMS FOR STRATEGIC GLOBAL PRIORITIES

Overseas Refugee Assistance Programs for Strategic Global Priorities

FEDERAL AGENCY:

Bureau of Population, Refugees, and Migration, Department of State

AUTHORIZATION:

The Migration and Refugee Assistance Act of 1962, as amended; (MRA) and 22 U.S.C. 2601 et seq., 22 U.S.C 2601.

OBJECTIVES:

Bureau support under this program includes mostly contributions to the headquarters and global program costs of the United Nations High

Commissioner for Refugees (UNHCR), the headquarters budget of the International Committee of the Red Cross (ICRC) and to a smaller extent, the multiregional activities of other international and non-governmental organizations assisting refugees and other conflict victims. A particular emphasis of this support is to promote initiatives in areas that are cross-cutting, Bureau priorities, such as protecting refugee women and refugee children, preventing and responding to gender-based violence (including sexual exploitation), addressing statelessness, enhancing refugee protection, improving refugee health, including HIV/AIDS prevention and response, and advancing the use of standards and evidence in humanitarian assistance.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The cooperative agreement, grant or contribution provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval.

Applicant Eligibility:

United Nations, international and non-governmental organizations. MRA designates primary UN or IO recipient organizations. NGO activities must be complementary to, and coordinated with, UN programs.

Beneficiary Eligibility:

Refugees and victims of conflict requiring assistance.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application Procedure: Non-governmental organizations (NGOs) must submit proposals via Grants.gov. International organizations submit appeals to the Bureau. NGOS may submit proposals in response to funding opportunity announcements posted by the Bureau on Grants.gov to fill critical needs, with Bureau review occurring following the deadline of the funding announcement. (Funding announcements are also posted at <http://www.state.gov/>) IO and NGO representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Multilateral Coordination and External Relations, Office of Policy and Resource Planning and other Bureau offices. The Comptroller or Deputy Comptroller of the Bureau signs the cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals or extensions require additional approval.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Shorter time periods may also be authorized. See the following for information on how assistance is awarded/released: Payments will be made through the Department of Health and Human Services Payment Management System (PMS). Recipients request funds based on immediate disbursement requirements and disburse funds as soon as possible to minimize the Federal cash on hand in accordance with the policies established by the Treasury Department and mandated by the OMB Circulars.

Reports:

PRM requires program reports describing and analyzing the results of activities undertaken during the validity period of the agreement. A program report is required within thirty (30) days following the end of each three month period of performance during the validity period of the agreement. The final program report is due ninety (90) days following the end of the agreement. The submission dates for program reports will be written into the grant or cooperative agreement. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). No progress reports are required. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). The general performance of the Recipient's operations will be evaluated and monitored to ensure that the established objectives are being successfully met and to determine whether the performance is in compliance with the terms of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Bureau funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit report is due 30 days after issuance or nine months after the end of the audit period.

Records:

Retention and access requirements for records are set forth in 22 CFR 145.53.

Account Identification:

19-1143-0-1-151.

Obligations:

(Cooperative Agreements) FY 16 \$6,717,762; FY 17 est \$6,700,000; and FY 18 est \$6,700,000

Range and Average of Financial Assistance:

Not available.

TAFS Codes:

19-1143.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Eric Hembree 2025 E Street NW, Washington, District of Columbia
20522-0908 Email: HembreeEL@state.gov Phone: 2024539239

Website Address:

<https://www.state.gov/j/prm/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Through humanitarian assistance, the Bureau aims to help ensure that refugees and victims of conflict have access to basic life-sustaining services that meet internationally-accepted standards of care in shelter, food supply, nutrition, water, sanitation, and health. NGO proposals should clearly use Sphere standards as the basis for design, implementation, and evaluation, including proposed objectives and indicators. At the core of PRM's humanitarian assistance is a commitment to protection, including support to women, stateless persons, and other vulnerable individuals, coordination with relevant UN agencies and other NGOs, sustainability of programming, security, and capacity building. NGOs in search of Bureau funding would do well to address these specific areas in any proposals sent for funding.

19.600 BUREAU OF NEAR EASTERN AFFAIRS

Bureau of Near Eastern Affairs

FEDERAL AGENCY:

Bureau of Near Eastern Affairs, Department of State

AUTHORIZATION:

Section 634 of the Foreign Assistance Act of 1961, as amended, Executive Order Department of State, Foreign Operations and Related Programs Appropriations Act, 2010, Public Law 110-161, U.S.C 7015, Executive Order Department of State, Foreign Operations and Related Programs Appropriations Act, 2010, Public Law 110-161, U.S.C 7015.

OBJECTIVES:

Support the foreign assistance goals and objectives of the Department of State, Bureau of Near Eastern Affairs (NEA), as delineated in the FY Bureau Strategic and Resource Plan. This program is for all grant awards for the entire fiscal year funded through State/NEA/AC.

TYPES OF ASSISTANCE:

Cooperative Agreements; Cooperative Agreements (Discretionary Grants); Project Grants; Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

All funds for grants will comply with the restrictions on foreign assistance, as specified in the Foreign Assistance Act of 1961, as amended. See www.grants.gov for specific announcement. This depends on the individual award. All funds for grants will comply with restrictions on Economic Support Funds (ESF), as specified in the Foreign Assistance Act of 1961, as amended. See www.grants.gov for specific announcement. This depends on the individual award.

Applicant Eligibility:

U.S. or foreign non-profit organizations; for-profit organizations; private institutions of higher education, public or state institutions of higher education; public international organizations; and small businesses with functional and regional experience. Each solicitation outlines who is eligible and what types of experience are needed to apply for funding.

Beneficiary Eligibility:

U.S. or foreign non-profit organizations; for-profit organizations; private institutions of higher education, public or state institutions of higher education; public international organizations; and small businesses with functional and regional experience. Each solicitation outlines who is eligible and what types of experience are needed to apply for funding. Any award made using State/NEA funds requires the full complement of standard federal forms and budget documents, CVs of main program staff, as

dictated by the U.S. Department of State, Bureau of Administration, and the relevant OMB circulars.

See www.grants.gov for specific announcement. OMB Circular No. A-87 applies to this program.

See www.grants.gov for specific announcement.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-award coordination is required for all grantees applying for foreign assistance grants through the U.S. Department of State, Bureau of Near Eastern Affairs, Office of Assistance Coordination (NEA/AC). This coordination will take place between program officers in the field and the recipient agencies, or between program officers in Washington, DC and the recipient organizations. See www.grants.gov for specific announcement. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NEA/AC solicits proposals via program announcements posted on www.grants.gov, www.grantsolutions.gov, and on the websites of NEA/AC, and posts in the region. NEA Bureau programming implementers are selected through open competitions, directed, or limited solicitations. Occasionally, NEA/AC will issue sole source awards. NEA/AC also enters into a limited number of Interagency Acquisition Agreements with other U.S.G. Federal agencies. See www.grants.gov for specific announcement.

Award Procedure:

Solicitations are reviewed by panels comprised of area and subject matter experts drawn from both inside and outside the State Department, including from NGOs and the private sector. Award procedures are defined by the U.S. Department of State, Administration Bureau in accordance with relevant OMB Circulars.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. See www.grants.gov for specific announcement.

Appeals:

Not Applicable.

Renewals:

Renewals must be coordinated with the State/NEA program officer, the Representative of State/Administration Bureau grants officer, and the recipient.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Although the average length is 12-24 months, the specific length of time for an NEA Bureau programming grant may vary from one award to another. Details for each can be found on the respective announcements. All assistance for Centralized Payments, Cash Management, Financial Reporting, Debt Management, and Audit Assistance is with the Department of Health and Human Services, Division of Payment Management one of the two non-DoD grants payment systems for use by the entire Federal Government. See the following for information on how assistance is awarded/released: It depends on

the amount and the details of the project. Sometimes it is one lump sum, in the cases of under \$25,000 awards. For anything larger the Health and Human Services, Payment Management System is used for quarterly installments, as required.

See www.grants.gov for specific announcement.

Reports:

Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133 if applicable.

Records:

All project/program and financial records must be retained by awards recipients for three years.

Account Identification:

19-7245-0-1-130.

Obligations:

(Project Grants (Discretionary)) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Depends on specific grant award. See www.grants.gov for specific announcement.

TAFS Codes:

19-19.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sara Curley 2430 E Street NW, Washington, District of Columbia 20037
Email: curleysl@state.gov Phone: (202) 776-8691 Fax: (202) 776-8500

Website Address:

<http://www.state.gov/p/nea>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Project specific, depends on the program. All awards are screened for terror finance and/or support per the relevant U.S. Department of State and federal guidelines and regulations. See www.grants.gov for specific announcement.

19.601 SYRIA ASSISTANCE PROGRAM

NEA Syria

FEDERAL AGENCY:

Bureau of Near Eastern Affairs, Department of State

AUTHORIZATION:

Section 634 of the Foreign Assistance Act of 1961, Executive Order Executive Order Department of State, Foreign Operations and Related Programs Appropriations Act, 2010.

OBJECTIVES:

Support the foreign assistance goals and objectives of the Department of State, Bureau of Near Eastern Affairs (NEA), as delineated in the Fiscal Year Bureau Strategic and Resource Plan. This program is for all grant awards for the entire fiscal year funded through State/NEA for Syria programming.

TYPES OF ASSISTANCE:

Cooperative Agreements; Cooperative Agreements (Discretionary Grants); Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

All funds for grants will comply with the restrictions on foreign assistance, as specified in the Foreign Assistance Act of 1961, as amended.

See www.grants.gov for specific announcement. All funds for grants will comply with the restrictions on foreign assistance, as specified in the Foreign Assistance Act of 1961, as amended.

See www.grants.gov for specific announcement.

Applicant Eligibility:

U.S. or foreign non-profit organizations; for-profit organizations; private institutions of higher education, public or state institutions of higher education; public international organizations; and small businesses with functional and regional experience. Each solicitation outlines who is eligible and what types of experience are needed to apply for funding.

Any award made using State/NEA funds requires the full complement of standard federal forms and budget documents, CVs of main program staff, as dictated by the U.S. Department of State, Bureau of Administration, and the relevant OMB circulars.

See www.grants.gov for specific announcement. OMB Circular No. A-87 applies to this program.

See www.grants.gov for specific announcement.

Beneficiary Eligibility:

U.S. or foreign non-profit organizations; for-profit organizations; private institutions of higher education, public or state institutions of higher education; public international organizations; and small businesses with functional and regional experience. Each solicitation outlines who is eligible and what types of experience are needed to apply for funding.

See www.grants.gov for specific announcement.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-award coordination is required for all grantees applying for foreign assistance grants through the U.S. Department of State, Bureau of Near Eastern Affairs, Office of Assistance Coordination (NEA/AC). This coordination will take place between program officers in the field and the recipient agencies, or between program officers in Washington, DC and the recipient organizations. See www.grants.gov for specific announcement. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

NEA/AC solicits proposals via program announcements posted on www.grants.gov, and www.grantsolutions.gov, and on the websites of NEA/AC, and posts in the region. NEA Syria programming implementers are selected through open competitions, directed, or limited solicitations. Occasionally, NEA/AC will issue sole source awards. NEA/AC also enters into a limited number of Interagency Acquisition Agreements with other U.S.G. Federal agencies.

See www.grants.gov for specific announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals must be coordinated with the State/NEA program officer, the Representative of State/Administration Bureau grants officer, and the recipient.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Although the average length is 6-12 months, the specific length of time for an NEA Syria programming grant may vary from one award to another. Details for each can be found on the respective announcements. All assistance for Centralized Payments, Cash Management, Financial Reporting, Debt Management, and Audit Assistance is with the Department of Health and Human Services, Division of Payment Management one of the two non-DoD grants payment systems for use by the entire Federal Government. Method of awarding/releasing assistance: quarterly.

Reports:

Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, program reports may be required either quarterly, semi-annually, or annually.

See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer. Cash reports are not applicable. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, progress reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer. Depending on the size of the awards and length of the project period, as dictated in the official U.S.

Department of State Notice Award, financial reports may be required either quarterly, semi-annually, or annually.

See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer. Depending on the size of the awards and length of the project period, as dictated in the official U.S.

Department of State Notice Award, performance monitoring may be required either quarterly, semi-annually, or annually.

See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133 if applicable.

Records:

All project/program and financial records must be retained by awards recipients for three years.

Account Identification:

19-7245-0-1-113.

Obligations:

(Salaries) FY 16 Not Available(Exp: Information Sensitive); FY 17 Estimate Not Available(Exp: Information Sensitive); and FY 18 Estimate Not Available - Information Sensitive.

Range and Average of Financial Assistance:

Depends on specific grant award. See www.grants.gov for specific announcement.

TAFS Codes:

19-19.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sara Curley 2430 E Street NW, Washington, District of Columbia 20037
Email: curleysl@state.gov Phone: (202) 776-8691 Fax: (202) 776-8500

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Project specific, depends on the program. All awards are screened for terror finance and/or support per the relevant U.S. Department of State and federal guidelines and regulations. See www.grants.gov for specific announcement.

19.700 GENERAL DEPARTMENT OF STATE ASSISTANCE

General Assistance Programs

FEDERAL AGENCY:

Department of State

AUTHORIZATION:

Smith-Mundt Act The United States Information and Educational Exchange Act of 1948, P.L. 80-402; The Immigration and Nationality Act, as amended by the Refugee Act of 1980; Foreign Assistance Act of 1961 as amended., Part 2, 22 U.S.C ; The Fulbright-Hays Act -1961 , Public Law 87-256.

OBJECTIVES:

To fulfill the mission of The United States Department of State.

TYPES OF ASSISTANCE:

Cooperative Agreements; Cooperative Agreements (Discretionary Grants); Project Grants; Project Grants (Capacity Building and Complaint Processing, Training); Project Grants (Discretionary); Project Grants (Fellowships); Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

See www.grants.gov for specific program information. The General Assistance Programs provide information of Department of State programs that are not elsewhere classified.

See www.grants.gov for individual project information.

Applicant Eligibility:

The General Assistance Programs provide information of Department of State programs that are not elsewhere classified.

See www.grants.gov for individual project information.

Beneficiary Eligibility:

The General Assistance Programs provide information of Department of State programs that are not elsewhere classified.

See www.grants.gov for individual project information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The General Assistance Programs provide information of Department of State programs that are not elsewhere classified.

See www.grants.gov for individual project information.

Award Procedure:

The General Assistance Programs provide information of Department of State programs that are not elsewhere classified.

See www.grants.gov for individual project information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The General Assistance Programs provide information of Department of State programs that are not elsewhere classified.

See www.grants.gov for individual project information.

Appeals:

Not Applicable.

Renewals:

See www.grants.gov for specific program information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

See www.grants.gov for specific program information. See the following for information on how assistance is awarded/released: See www.grants.gov for specific program information.

Reports:

OMB Circular A-133 is applicable for domestic recipients where total Federal Assistance is greater than \$500,000. See www.grants.gov for specific program requirements. See www.grants.gov for specific program requirements. See www.grants.gov for specific programs. See www.grants.gov for specific programs.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 Sub Part F

Records:

Financial records are required to be maintained for three years.

Account Identification:

19-7290-1-0-101 - SEED; 19-7290-0-3-060 - AEEB; 19-7290-1-0-371 - Economic Support Funds; 19-7290-1-0-210 - DA; 19-1991-1-1-210 - Democracy Funds.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - Amounts vary by individual program.

See www.grants.gov for details.

Range and Average of Financial Assistance:

Various.

TAFS Codes:

19-19.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200

See www.grants.gov for program specifics

Regional or Local Office:

See Regional Agency Offices. see www.grants.gov for program specifics.

Headquarters Office:

Steve Galiotto 2201 C Street , Washington, District of Columbia 20522 Email: galiottosj@state.gov Phone: 7035161989

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.701 GLOBAL COUNTERTERRORISM PROGRAMS

Global War on Terrorism

FEDERAL AGENCY:

Bureau of Counterterrorism , Department of State

AUTHORIZATION:

Foreign Assistance Act of 1961 as amended, Executive Order (FAA) 22 U.S.C .

OBJECTIVES:

The Bureau for Counterterrorism (CT) develops and leads a worldwide effort to counter terrorism using all the tools of statecraft diplomacy, development and defense working bilaterally, regionally, and multilaterally. This includes tactical measures, partner capacity building, and efforts to counter violent extremism. Our programs support this goal.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

The Primary goal these funds is to combat terrorism. See Grants.Gov for individual program announcements.

Applicant Eligibility:

See Grants.Gov for individual program announcements.

Beneficiary Eligibility:

See Grants.Gov for individual program announcements.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Portion of grants awarded to Public International Organizations (PIO); remainder see Grants.Gov for individual announcements

Award Procedure:

Portion of grants awarded to Public International Organizations (PIO); remainder see Grants.Gov for individual announcements.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. see Grants.Gov for individual announcements.

Appeals:

see Grants.Gov for individual announcements.

Renewals:

see Grants.Gov for individual announcements.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Most grants are for one year. Occasionally grant performance period is two years. see Grants.Gov for individual announcements. Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly financial and program status reports are required. See terms and conditions for individual grants. Quarterly financial and program status reports are required. Quarterly financial and program status reports are required. Quarterly financial and program status reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. see Grants.Gov for individual program announcements

OMB Circular A-133 applies to grant recipients in excess of \$500,000

Records:

Records are retained for three years.

Account Identification:

19-1112-1-0-750.

Obligations:

(Cooperative Agreements) FY 16 Not Available; FY 17 Estimate Not

Available; and FY 18 Estimate Not Available - see www.grants.gov for individual announcements.

Range and Average of Financial Assistance:

Depending on the project, the range is \$100,000 to \$2,000,000. see www.grants.gov for individual announcements.

TAFS Codes:

19-1075.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not available for security reasons. Fiscal Year 2017: Not available for security reasons. Fiscal Year 2018: Not available for security reasons.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. see www.grants.gov for individual announcements.

Headquarters Office:

Leon D. Coates 2401 E Street NW

, Washington, District of Columbia 20520 Email: coates@state.gov Phone: 2026631430

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

see www.grants.gov for individual announcements.

19.703 CRIMINAL JUSTICE SYSTEMS

Rule of Law, Justice Systems

FEDERAL AGENCY:

International Narcotics and Law Enforcement Affairs, Department of State

AUTHORIZATION:

Foreign Assistance Act of 1961.

OBJECTIVES:

Institutionalize rule of law by developing and expanding criminal justice systems to strengthen partner country law enforcement and judicial effectiveness, foster cooperation in legal affairs, and advance respect for human rights.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Projects that expand legal training programs to enhance the ability of associations to improve the effectiveness of attorneys, prosecutors, and judicial investigators; expanding and enhancing justice institutions, anti-corruption initiatives, access to justice, increased support for women legal professionals, and a safe, secure, and humane corrections system; support programs to fight corruption, prosecute environmental crime, and enhance the rule of law through technical assistance. Please see announcements in www.grants.gov. Please see announcements in www.grants.gov. Please see announcements in www.grants.gov.

Applicant Eligibility:

Please see announcements in www.grants.gov.

Beneficiary Eligibility:

Please see announcements in www.grants.gov.

Credentials/Documentation:

Please see announcements in www.grants.gov. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See application in www.grants.gov.

Award Procedure:

Please see Awards Policy Page of the Office of the Procurement Executive: <http://www.statebuy.state.gov/assistance/awards/gtpolicy.htm>.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Please see announcements in www.grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Solicitation for proposals are posted in [grants.gov](http://www.grants.gov) all year-round. Most performance period of INL grant is for one year. Please see announcements in www.grants.gov. See the following for information on how assistance is awarded/released: Domestic Award is released thru the Payment Management System (HHS PMS). Please check www.dpm.psc.gov for information on how assistance is awarded/released.

Reports:

Submission of a Program Report is required at the end of the grant. Submissions of quarterly reports are required. Submissions of quarterly reports are required. Submissions of quarterly reports are required. Please see announcements in www.grants.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain financial records for three years.

Account Identification:

19-1123-1-0-220.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - See individual Application on www.grants.gov.

Range and Average of Financial Assistance:

Range varies widely. Please see announcements in www.grants.gov.

TAFS Codes:

11-1022.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: N/A. Fiscal Year 2017: N/A. Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see the following website: <http://www.statebuy.state.gov/assistance/awards/gtpolicy.htm>.

Regional or Local Office:

None. Please see announcements in www.grants.gov.

Headquarters Office:

Lourdes F. Stein 2430 E St. NW, Washington, District of Columbia 20037
Email: steinlf@state.gov Phone: 2027768774 Fax: 2027768686

Website Address:

<http://www.state.gov/p/inl/>

RELATED PROGRAMS:

16.001 Law Enforcement Assistance_Narcotics and Dangerous Drugs_Laboratory Analysis; 16.601 Corrections_Training and Staff Development

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Please see announcements in www.grants.gov.

19.704 COUNTER NARCOTICS

Counter Narcotics, Interdiction, Demand Reduction

FEDERAL AGENCY:

International Narcotics and Law Enforcement Affairs, Department of State

AUTHORIZATION:

Foreign Assistance Act of 1961.

OBJECTIVES:

Disrupt the overseas production and trafficking of illicit drugs through targeted counter-narcotics and institution-building assistance and coordination with foreign nations and international organizations.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Counter-narcotics programs include expanding interdiction, drug demand reduction and public outreach activities, involving women and youth and increasing their engagement and understanding of drug-related issues. Please see announcements in www.grants.gov. Please see announcements in www.grants.gov. Please see announcements in www.grants.gov.

Applicant Eligibility:

Please see announcements in www.grants.gov.

Beneficiary Eligibility:

Please see announcements in www.grants.gov.

Credentials/Documentation:

Please see announcements in www.grants.gov. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Please see announcements in www.grants.gov.

Award Procedure:

Please see Awards Policy Page of the Office of the Procurement Executive:<http://www.statebuy.state.gov/assistance/gtpolicy.htm>.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Please see announcements in www.grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Solicitation for proposals are posted all year round. Most performance period of INL grant is for one year. Please see announcements in www.grants.gov. See the following for information on how assistance is awarded/released: Domestic assistance is awarded through the Payment Management System (PMS). Please check www.dpm.psc.gov for information on how assistance is awarded or released.

Reports:

Submission of a Program Report is required at the end of the grant. Submissions of quarterly reports are required. Submissions of quarterly reports are required. Submissions of quarterly reports are required. Please see announcements in www.grants.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain financial records for three years.

Account Identification:

19-1123-1-0-220.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - See individual Application on www.grants.gov.

Range and Average of Financial Assistance:

Range varies widely. Please see announcements in www.grants.gov.

TAFS Codes:

11-1022.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: N/A. Fiscal Year 2017: N/A. Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see Awards Policy page of the Office of Procurement Executive: <http://www.statebuy.state.gov/assistance/gtpolicy.htm>.

Regional or Local Office:

None. Please see announcements in www.grants.gov.

Headquarters Office:

Lourdes F. Stein 2430 E St. NW, Washington, District of Columbia 20037
Email: steinlf@state.gov Phone: 202-776-8774 Fax: 202-776-8686

Website Address:

<http://www.state.gov/p/inl>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Please see announcements in www.grants.gov.

19.705 TRANS-NATIONAL CRIME

FEDERAL AGENCY:

International Narcotics and Law Enforcement Affairs, Department of State

AUTHORIZATION:

Foreign assistance Act of 1961.

OBJECTIVES:

Minimize the impact of transnational crime and criminal networks on the U.S. and its allies through enhanced international cooperation and foreign assistance.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Programs that aims to improve law enforcement capacity to investigate and prosecute complex organized crimes, including narcotics smuggling, environmental crimes, and terrorism; effective law enforcement development programs - including enhancing maritime police capacity and assisting in the development of Emergency Management System to respond to natural disasters, terrorist attacks, or other emergencies; enhance law enforcement capacity to investigate and prosecute transnational crimes, including narco-trafficking, terrorism, and piracy; and programs focusing on problem areas along our shared borders. Please see announcements in www.grants.gov. Please see announcements in www.grants.gov.

Applicant Eligibility:

Please see announcements in www.grants.gov.

Beneficiary Eligibility:

Please see announcements in www.grants.gov.

Credentials/Documentation:

Please see announcements in www.grants.gov. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Please see announcements in www.grants.gov.

Award Procedure:

Please see announcements in www.grants.gov.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Please see announcements in www.grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Solicitation for proposals are posted in grants.gov all year-round. Most performance period of INL grants is for one year. Please see announcements in www.grants.gov. See the following for information on how assistance is awarded/released: Domestic assistance is awarded through the Payment Management Assistance (PMS). Please check www.dpm.psc.gov for information on how assistance is awarded/released.

Reports:

Submission of a Program Report is required at the end of the grant. Submissions of quarterly reports are required. Submissions of quarterly reports are required. Submissions of quarterly reports are required. Please see announcements in www.grants.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain financial records for three years.

Account Identification:

19-1123-1-0-220.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - See individual Application on www.grants.gov.

Range and Average of Financial Assistance:

Range varies widely. Please see announcements in www.grants.gov.

TAFS Codes:

11-1022.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: N/A. Fiscal Year 2017: N/A. Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see the following website: <http://www.statebuy.state.gov/assistance/awards/gtpolicy.htm>.

Regional or Local Office:

None.

Headquarters Office:

Lourdes F. Stein 2430 E St. NW, Washington, District of Columbia 20037
Email: steinlf@state.gov Phone: 202-776-8774 Fax: 202-776-8686

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Please see individual announcements in [grants.gov](http://www.grants.gov).

19.800 WEAPONS REMOVAL AND ABATEMENT

(PM/WRA)

FEDERAL AGENCY:

Political Military Affairs/ Weapons Removal and Abatement, Department of State

AUTHORIZATION:

Foreign Assistance Act (FAA) of 1961, Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016 (Div. K, P.L. 114-113).

OBJECTIVES:

To reduce the threats posed to civilian security by at-risk, illicitly proliferated, and indiscriminately used conventional weapons.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

All assistance must support the U.S. Conventional Weapons Destruction (CWD) program. Assistance may be used for small arms/light weapons (SA/LW) destruction programs, SA/LW security, physical security and stockpile management, man-portable air-defense systems (MANPADS) threat reduction, and explosive remnants of war remediation, including landmine and unexploded ordnance destruction. All funds are discretionary.

Applicant Eligibility:

All projects must have a clear focus on CWD program goals and objectives.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

In addition to submitting applications for assistance, all applicants must be accredited by the host nation government to perform CWD-related activities in country. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

PM/WRA issues awards through a competitive or non-competitive process. All applications must be submitted through GrantSolutions.gov, at which point they are considered for funding by PM/WRA's program and resource management teams.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Dependent upon CWD program priorities, funds availability, and grantee past performance, grants and cooperative may be renewed at the discretion of PM/WRA.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Matching requirements are grant-specific. PM/WRA's Notice of Funding Opportunities (NOFO) will indicate if there is a matching requirement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Award funding is available to the recipient only during the award's period of performance. If a grantee does not fully expend award funding during the period of performance, then any remaining funds must be returned to the Department of State. See the following for information on how assistance is awarded/released: All assistance is awarded through GrantSolutions.gov. Funding is released on either a monthly, quarterly, or annual basis depending on the recipient organization.

Reports:

Quarterly narrative and financial reports are required as well as a final project report with clear and measurable deliverables. Quarterly narrative and financial reports are required as well as a final project report with clear and measurable deliverables. Quarterly narrative and financial reports are required as well as a final project report with clear and measurable deliverables. Quarterly financial reports are required as well as a final project report with clear and measurable deliverables. PM/WRA monitors grantee performance by reviewing program and financial reports, conducting site visits, employing third-party monitors (in specific countries), and working with relevant U.S. embassies to oversee grantees.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All recipients must have program

audits performed in accordance with 2 CFR 200, Subpart F.

Records:

All records must be maintained in accordance with 2 CFR 200.

Account Identification:

19-1111-0-7-500.

Obligations:

(Project Grants (Discretionary)) FY 16 \$179,532,000; FY 17 est \$189,000,000; and FY 18 est \$196,900,000

Range and Average of Financial Assistance:

Grants range from \$25,000 to \$30,000,000.

TAFS Codes:

19-0000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program accomplishments include the obligation of \$179.532 million in foreign assistance funds to support Conventional Weapons Destruction projects in over 30 countries. Fiscal Year 2017: Program accomplishments will include the obligation of an estimated \$189 million in foreign assistance funds to support Conventional Weapons Destruction projects in over 30 countries. Fiscal Year 2018: Program accomplishments will include the obligation of an estimated \$198 million in foreign assistance funds, subject to Congressional approval, to support Conventional Weapons Destruction projects in over 30 countries.

REGULATIONS, GUIDELINES, AND LITERATURE:

Primary regulations are the Foreign Assistance Act of 1961, 2 CFR 200, 2 CFR 600, and the Department of State's Federal Assistance Directive.

Regional or Local Office:

None.

Headquarters Office:

Christopher E. Murguia DOS Annex 3, Suite 6100, 2121 Virginia Ave. NW, Washington, District of Columbia 20037 Email: MurguiaCE@state.gov Phone: 202-663-0085

Website Address:

<http://www.state.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: For examples of all past programs please see the publication, "To Walk the Earth in Safety," located at: <https://www.state.gov/t/pm/rls/rpt/walkearth/>. Fiscal Year 2017: For examples of all past programs please see the publication, "To Walk the Earth in Safety," located at: <https://www.state.gov/t/pm/rls/rpt/walkearth/>. Fiscal Year 2018: For examples of all past programs please see the publication, "To Walk the Earth in Safety," located at: <https://www.state.gov/t/pm/rls/rpt/walkearth/>.

CRITERIA FOR SELECTING PROPOSALS:

All criteria for selecting proposals are included in Notice of Funding Opportunities (NOFO) posted to Grants.gov.

19.801 OFFICE OF GLOBAL WOMEN'S ISSUES

Global Women's Empowerment Programs

FEDERAL AGENCY:

Secretary's Office of Global Women's Issues, Department of State

AUTHORIZATION:

Department of State, Foreign Assistance Act of 1961 as Amended.

OBJECTIVES:

The Secretary's Office of Global Women's Issues (S/GWI) works to promote sustained peace and development by empowering women around the world. The work of the office is organized upon four pillars: 1) Promoting the full engagement of women in the political and economic spheres; 2) Addressing and responding to gender based violence, food security, and global problems such as climate change; 3) Addressing underlying socio-economic problems, including women's access to health and education; 4) and ensuring that

emergency relief to countries affected by conflict and natural disasters reaches women and children.

TYPES OF ASSISTANCE:

Project Grants (Capacity Building and Complaint Processing, Training); Project Grants (Contracts); Project Grants (Special)

USES AND USE RESTRICTIONS:

See individual announcements in grants.gov for further information. See individual announcements in grants.gov for further information.

Applicant Eligibility:

See individual announcements in grants.gov for further information.

Beneficiary Eligibility:

See individual announcements in grants.gov for further information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

See individual announcements in grants.gov for further information. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See individual announcements in grants.gov for further information

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Office procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Grants Officer. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and submitted to the recipients officer in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. See individual announcements in grants.gov for further information.

Appeals:

Not Applicable.

Renewals:

As stated in the grants.gov and Federal Register announcements.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

See individual announcements in grants.gov for further information. See the following for information on how assistance is awarded/released: draw-down by request of SF-270 or through the Payment Management System.

Reports:

Quarterly or as specified via grant agreement. Quarterly or as specified via grant agreement. Quarterly or as specified via grant agreement. Quarterly or as specified via grant agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. As per 2 CFR 200, Subpart F as applicable

Records:

Financial records are required to be kept for three years after the final reports are due.

Account Identification:

19-1201-1-3-000.

Obligations:

(Cooperative Agreements) FY 16 \$8,250,000; FY 17 est \$10,000,000; and FY 18 est \$10,000,000

Range and Average of Financial Assistance:

See individual announcements in grants.gov for further information.

TAFS Codes:

19-1001.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See individual announcements in grants.gov for further information

Regional or Local Office:

None.

Headquarters Office:

Katrina Fotovat 2201 C St NW
Room 7532, Washington, District of Columbia 20520 Email:
fotovatki@state.gov Phone: 2026475896

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See individual announcements in grants.gov for further information.

19.878 EUR-OTHER

EUR-Other

FEDERAL AGENCY:

Bureau of European and Eurasian Affairs, Department of State

AUTHORIZATION:

Department of State, Foreign Operations, and Related Programs Appropriations Act of 2010 , Executive Order Foreign Assistance Act of 1961 , Title 111, Part 117, Section 634A , Public Law 87-194 , 75 Stat. 424 , 22 U.S.C 2151.

OBJECTIVES:

The primary goal is to promote democratic and free market transitions in the former communist countries of Central and Eastern Europe and Eurasia, enabling them to overcome their past and become reliable, productive members of the Euro-Atlantic community of Western democracies.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Project grants vary. Awards provide support for promoting democratic and free market transitions. Programs promote long-term stability in the region.

Applicant Eligibility:

This program is awarded on a non-competitive basis.

Beneficiary Eligibility:

Not Applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is

not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

The Coordinator of U.S. Assistance to Europe and Eurasia will solicit proposals. The Grants Officer in A/LM/AQM and Grants Officer Representative in EUR/ACE will review the proposals.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funding must be obligated within two years of appropriation and expended within five years of obligation. See the following for information on how assistance is awarded/released: Release of funds is requested on an as-needed basis.

Reports:

Varies. Varies. Varies. Varies. Varies.

Audits:

Not Applicable.

Records:

As required by FAR and OMB circular A-133, 22 CFR-145, and 2 CFR 230 (A-122) regulations.

Account Identification:

19-7201-0-3-060 - 19720/103060.000.

Obligations:

(Project Grants) FY 16; FY 17; and FY 18

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Alexander Gupman, 2201 C St. NW, Washington , District of Columbia 20052
Email: gupmanam@state.gov Phone: (202) 647-8002.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.900 AEECA/ESF PD PROGRAMS

Assistance for Europe, Eurasia, and Central Asia (AEECA) & Economic Support Fund (ESF) Public Diplomacy Programs (EUR/PD and SCA/PPD)

FEDERAL AGENCY:

Office of the Coordinator of U.S. Assistance to Europe and Eurasia, Department of State

AUTHORIZATION:

Foreign Assistance Act of 1961, FREEDOM Support Act, and SEED Act.

OBJECTIVES:

To provide civil society and democracy building public diplomacy programs within Europe, Eurasia and Central Asia. The applicable countries are: Albania, Armenia, Azerbaijan, Belarus, Bosnia & Herzegovina, Georgia, Kazakhstan, Kosovo, Kyrgyzstan, Macedonia, Moldova, Montenegro, Russia, Serbia, Tajikistan, Turkmenistan, Ukraine, and Uzbekistan.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are granted primarily to indigenous NGOs and institutions of higher learning to carry out civil society and democracy building programs within their respective countries/regions. Must adhere to AEECA legislation language. Only applicant NGO's from Albania, Armenia, Azerbaijan, Belarus, Bosnia & Herzegovina, Georgia, Kazakhstan, Kosovo, Kyrgyzstan, Macedonia, Moldova, Montenegro, Russia, Serbia, Tajikistan, Turkmenistan, Ukraine, or Uzbekistan are eligible for this program.

Applicant Eligibility:

See individual federal assistance announcements on U.S. Embassy web sites in: Albania, Armenia, Azerbaijan, Belarus, Bosnia & Herzegovina, Georgia, Kazakhstan, Kosovo, Kyrgyzstan, Macedonia, Moldova, Montenegro, Russia, Serbia, Tajikistan, Turkmenistan, Ukraine, or Uzbekistan for details.

Beneficiary Eligibility:

Only applicants NGO's from Albania, Armenia, Azerbaijan, Belarus, Bosnia & Herzegovina, Georgia, Kazakhstan, Kosovo, Kyrgyzstan, Macedonia, Moldova, Montenegro, Russia, Serbia, Tajikistan, Turkmenistan, Ukraine, or Uzbekistan are eligible for this program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circulars A-102 and A-110 are used as guidelines in administering these programs.

Award Procedure:

Applicable embassies vary award procedures. Typical steps are: requests for proposals, reviews, and then notification based on the individual Public Affairs Section timelines.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Typical grants are less than one year. Grants are not to exceed five years. See the following for information on how assistance is awarded/released:

Combination - can be done in increments after benchmarks are met or in lump sums.

Reports:

Requirements for reporting are determined on a case-by-case basis by the program office, in compliance with USG regulations. Cash reports are not applicable. Progress reports are required in compliance with USG regulations. Expenditure reports are not applicable. Performance monitoring by program office is conducted on, at minimum, a yearly basis.

Audits:

Not Applicable.

Records:

Financial records should be maintained for three years after the grant has ended.

Account Identification:

19-7201-0-3-060.

Obligations:

(Project Grants) FY 16 Not Available(Exp: 23000000); FY 17 est \$23,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-19.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Marion Lange 2201 C Street, NW, Room 3249, Washington, District of Columbia 20520 Email: langem2@state.gov Phone: 202 647 8519

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.901 EXPORT CONTROL AND RELATED BORDER SECURITY

Export Control and Related Border Security (EXBS) Program

FEDERAL AGENCY:

Bureau of International Security and Nonproliferation, Department of State

AUTHORIZATION:

Foreign Assistance Act of 1961, as amended, Public Law 87-195, 22 U.S.C 2349bb-1.

OBJECTIVES:

The U.S. Department of State's Office of Export Control Cooperation (ISN/ECC), part of the Bureau of International Security and Nonproliferation, oversees the U.S. Governments Export Control and Related Border Security (EXBS) Program. It assists existing and potential proliferation source, transit, and transshipment countries with strengthening their strategic trade controls and border security. Through this assistance, EXBS bolsters partner countries

capabilities to detect and interdict illicit transfers of strategic items, radioactive materials, and other WMD components; as well as man-portable air defense systems and other conventional weapons. EXBS also works to prevent irresponsible transfers of sensitive items by helping partner countries to recognize and reject proposed transactions that would contribute to proliferation. EXBS focuses on capacity-building through legislation development outreach, licensing and regulatory workshops, enforcement training, provision of inspection and detection equipment, and assistance with government-industry outreach and interagency coordination. EXBS has over 50 active partner countries on five continents.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants; Project Grants (including travel grants)

USES AND USE RESTRICTIONS:

ISN/ECC periodically awards assistance instruments to facilitate implementation of specific EXBS projects in various partner countries. Examples of typical EXBS projects include formal training workshops for foreign government officials, working closely with foreign government officials to assist with drafting strategic trade control legislation and implementing regulations, and assessing the status of EXBS partner countries' strategic trade control systems. ISN/ECC will release requests for application (RFAs) as specific EXBS grant/cooperative agreement opportunities are identified.

Applicant Eligibility:

N/A

Please see specific announcement in www.grants.gov.

Beneficiary Eligibility:

N/A

Please see specific announcement in www.grants.gov.

Credentials/Documentation:

As specified in specific requests for application (RFAs), applicants may be required to submit copies of their most recent negotiated indirect cost rate agreement (NICRA) to support proposed indirect costs. Additionally, applicants may be required to submit resumes, curricula vitae, or other supporting documentation showing that proposed key personnel are qualified to perform their intended roles. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Detailed application instructions will be specified in individual requests for application (RFAs). Please see specific announcement in www.grants.gov.

Award Procedure:

ISN/ECC will evaluate applications for EXBS assistance agreements consistent with the terms specified in individual requests for application (RFAs). Please see specific announcement in www.grants.gov.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Please see specific announcement in www.grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

ISN/ECC typically limits performance of individual EXBS assistance agreements to twelve months, though performance may be permitted beyond twelve months on a case-by-case basis depending on the complexity of the given project. Method of awarding/releasing assistance: lump sum.

Reports:

ISN/ECC requires program reports at least quarterly, though more frequent and specialized reporting may be required. Specific reporting requirements are detailed in individual requests for application (RFAs). Please see specific announcement in www.grants.gov. Cash reports are not applicable. Please see specific announcement in www.grants.gov. ISN/ECC requires expenditure reports at least quarterly, though more frequent and specialized reporting may be required. Specific reporting requirements are detailed in individual requests for application (RFAs).

Please see specific announcement in www.grants.gov. Please see specific announcement in www.grants.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Please see specific announcement in www.grants.gov.

Records:

Financial records required to be maintained for three years.

Account Identification:

19-1112-1-0-075.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - Historically, ISN/ECC has awarded approximately \$2 million in EXBS assistance awards per fiscal year.

Range and Average of Financial Assistance:

Historically, ISN/ECC has awarded approximately \$2 million in EXBS assistance awards per fiscal year.

Typical award approx \$85,000

See specific announcement at www.grants.gov.

TAFS Codes:

19-19.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Max McClellan 2100 C Street NW, Washington, District of Columbia 20520

Email: mcclellanmc@state.gov Phone: 202-647-1228

Website Address:

<http://www.state.gov/t/isn/ecc/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: N/A. Fiscal Year 2017: N/A. Fiscal Year 2018: N/A.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for proposal selection is specified in individual requests for application (RFAs).

See specific announcement at www.grants.gov.

19.979 OFFICE OF SECURITY AFFAIRS

African Regional Security Affairs

FEDERAL AGENCY:

Bureau of African Affairs, Department of State

AUTHORIZATION:

Foreign Assistance Act, Section 531, 22 Stat. , U.S.C 2346; Foreign Assistance Act, Section 551, 22 Stat. , U.S.C 2348.

OBJECTIVES:

The Office of Security Affairs in the Department of States Bureau of African Affairs (AF/SA) supports U.S. foreign policy goals in sub-Saharan Africa through a variety of programs and policies designed to bolster peace and security.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants; Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

AF/SA manages security assistance grants totaling approximately \$26 million per year. However, these funding levels can vary considerably from year-to-year depending on shifting needs and U.S. Government priorities. The office awarded approximately \$26.5 million in Fiscal Year 2014 and plans to award approximately \$28.4 million in Fiscal Year 2015. Program activities support a range of requirements including capacity building in support of post-conflict or transitional security or defense reform, maritime security sector reform, and counter terrorism efforts. Pending Congressional appropriation, AF/SA may implement upwards of \$31 million in security assistance programs in Fiscal Year 2016.

Applicant Eligibility:

If you are interested in implementing AF/SA security-related assistance programs that promote peace and stability on the continent, please see www.Grants.gov for individual solicitation opportunities.

Beneficiary Eligibility:

AF/SA security-related assistance grants bolster our African partners' capacity to provide peace and security.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See www.grants.gov for individual award announcements.

Award Procedure:

See www.grants.gov for individual award announcements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. See www.grants.gov for individual award announcements.

Appeals:

Not Applicable.

Renewals:

See www.grants.gov for individual award announcements.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

See www.grants.gov for individual award announcements. See the following for information on how assistance is awarded/released: See www.grants.gov for individual award announcements.

Reports:

See www.grants.gov for individual award announcements. No cash reports are required. See www.grants.gov for individual award announcements. See www.grants.gov for individual award announcements. See www.grants.gov for individual award announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See www.grants.gov for individual award announcements.

Records:

Recipients must maintain all grant files for three years after the final report date.

Account Identification:

19-7214-1-5-000 - ESF Funds; 19-1032-0-0-000 - PKO Funds.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

See www.grants.gov for individual award announcements.

TAFS Codes:

19-19.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Christopher John Pommerer 2201 C Street, NW, Washington, District of Columbia 20520 Email: pommerercj@state.gov Phone: 2026477158

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

DEPARTMENT OF TRANSPORTATION

20.106 AIRPORT IMPROVEMENT PROGRAM

(AIP)

FEDERAL AGENCY:

Federal Aviation Administration (FAA), Department of Transportation

AUTHORIZATION:

Airport Improvement Act, Subtitle VII of Title 49 United States Code, PART B-Airport Development and Noise, Public Law 103-272.

OBJECTIVES:

To assist sponsors, owners, or operators of public-use airports in the development of a nationwide system of airports adequate to meet the needs of civil aeronautics. The purpose of the law includes the investment in transportation, environmental protection, and airport infrastructure that will support long-term economic benefits.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants can be made for integrated airport system planning in a specific area; and airport master planning, construction, or rehabilitation at a public-use airport or portion thereof. Authorizing legislation refers to an airport as any area of land or water used or intended to be used for the landing or taking off of aircraft and includes, within the five categories of airports listed below, special types of facilities such as seaplane bases and heliports. The statute further defines airports by categories which include commercial service, primary, cargo service, reliever, and general aviation airports. They are defined as follows: Commercial Service Airports are publicly owned airports that have at least 2,500 passenger boardings each year and receive scheduled passenger service. Passenger boardings refer to revenue passenger boarding on an aircraft in service in air commerce. The definition also includes passengers who continue on an aircraft in international flight that stops at an airport in any of the 50 states for a non-traffic purpose. Passenger boardings at airports that receive scheduled passenger service are also referred to as enplanements. Non-primary Commercial Service Airports are Commercial Service Airports that have at least 2,500 and no more than 10,000 passenger boardings each year. Primary Airports are Commercial Service Airports that have more than 10,000 passenger boardings each year. These airports are further categorized as Hub Airports, based on the level of passenger boardings. Hub categories for Primary Airports are defined as a percentage of total passenger boardings in the most current calendar year ending before the start of the current fiscal year. The definition and formulae used for designating Primary Airports by Hub Type and percentage of annual passenger boardings are: Large 1 percent or more; Medium - at least 0.25 percent, but less than 1 percent; Small - at least 0.05 percent, but less than 0.25 percent; and Non hub - more than 10,000, but less than 0.05 percent. Cargo Service Airports are airports that, in addition to any other air transportation services that may be available, are served by aircraft providing air transportation of only cargo with a total annual landed weight of more than 100 million pounds. Reliever airports are airports designated by the FAA to relieve congestion at a Commercial Service Airports and to provide more general aviation access to the overall community. The remaining airports, are referred to as general aviation (GA) Airports and are defined as a public airport that is not a commercial service airport. General aviation airports comprise the largest single group of airports in the U.S. airport system. Eligible work at airports consists of: (1) airport master plans; (2) airport noise compatibility plans; (3) land acquisition; (4) site preparation; (5) construction, alteration, and rehabilitation of runways, taxiways, aprons, and certain roads within airport boundaries; (6) construction and installation of airfield lighting, navigational aids, and certain related offsite work; (7) safety equipment required for certification of airport facility; (8) security equipment required of the sponsor by the Secretary of Transportation by rule or regulation for the safety and security of persons and property on the airport; (9) snow-removal equipment; (10) terminal development; (11) aviation-related weather reporting equipment; (12) equipment to measure runway surface friction; (13) firefighting burn area training structures and land for that purpose, on or off airport; (14) agency-approved noise compatibility projects; (15) relocation of air traffic control towers and navigational aids (including radar) if they impede other projects funded under AIP; (16) land, paving, drainage, aircraft deicing equipment and structures for centralized deicing areas; and (17) projects to comply with the Americans with Disabilities Act of 1990, Clean Air Act, and Federal Water Pollution Control. Under limited criteria construction of hangars and automobile parking are eligible. Grants for hangars and parking facilities are available on a limited basis. Grants may not be, made for buildings not related to the safety of persons on the airport, decorative landscaping or artwork, or routine maintenance and repair. Technical advisory services are also provided. Formula funds are available to primary commercial service airports and to cargo service airports. Applicable restriction may be found in the AIP Handbook, FAA Order 5100.38D. Discretionary funds may be used at any eligible facility and allocation is based on established criteria. The percentage of fund varies each fiscal year.

Applicant Eligibility:

States, counties, municipalities, U.S. Territories and possessions, other public agencies including an Indian tribe or pueblo, the republics of the Marshall Islands and Palau, and the Federated States of Micronesia, are eligible for airport development grants if the airport on which the development is required is listed in the National Plan of Integrated Airport Systems (NPIAS). Certain

units of local government may be eligible for grants to implement noise planning and compatibility projects. Private owners of public-use reliever airports or airports having at least 2,500 passengers boarding annually and receiving scheduled passenger aircraft service are eligible.

Beneficiary Eligibility:

States, counties, municipalities, U.S. Territories and possessions, and other public agencies including an Indian tribe or pueblo, the republics of the Marshall Islands and Palau, The Federated States of Micronesia, and private owners of reliever airports or airports having at least 2,500 passenger boarding annually and receiving scheduled passenger aircraft service.

Credentials/Documentation:

Sponsors must submit information establishing financial capability and legal authority to accomplish the project and to operate the airport. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An environmental impact assessment is required for this program. Alternative environmental reporting and documentation may be acceptable based upon the project type. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Pre-application for Federal Assistance, SF 424, Part I (face sheet) filed with FAA field office, reviewed by the regional office and/or Washington office for program approval, as appropriate. For master plans (may be combined as part of development project), noise compatibility plans, and system plans, SF-424 and Parts II through V of FAA Form 5100-101, Application for Federal Assistance and Application for Planning projects respectively, must be submitted to FAA field offices. Level of approval is dependent on the type of airport and amount of FAA monies requested. No State plan is required.

Award Procedure:

Upon program approval for development projects, applicant submits project application, SF 424, Part I (facesheet) and remaining parts of FAA Form 5100-100 to FAA field office. Master, noise compatibility, and system plan grant applications are submitted to FAA field offices and upon approval, grant offers are made by FAA field offices. Either the district or regional office prepares Grant Offer, FAA Form 5100-37, for planning and development for execution by FAA applicant.

Deadlines:

Oct 01, 2016 to Sep 30, 2017 Primary airport sponsors must notify FAA by May 2 of each fiscal year or another date specified in the Federal Register of their intent to apply for funds to which they are entitled under Section 47102 of Title 49, United States Code. A reminder is published annually in the Federal Register. Other sponsors are encouraged to submit early in the fiscal year and to contact the appropriate FAA Regional or Airports District Offices for any local deadlines. Sponsors must formally accept grant offers no later than September 30 for grant funds appropriated in that fiscal year.

Range of Approval/Disapproval Time:

From 90 to 120 days. If the project is challenged on environmental grounds, approval may take longer.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The local match is between 10% and 25% determined by a variety of factors. Select pilot studies under the program may have a local match of 50%.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

No set period of time. Projects are expected to be completed as expeditiously as possible. Most within one to two years from grant award. See the following for information on how assistance is awarded/released: Awarded by lump sum. Assistance is released upon application for reimbursement of expenses or by letter of credit.

Reports:

During the project, the sponsor monitors performance to ensure that time schedules are being met. Periodic reports, as required, are forwarded to FAA. SF-270 or 271, as appropriate based on project type. The recipient periodically submits form 5370-1, Construction Progress and Inspection Reports. For non-construction projects, the recipient submits a quarterly performance report. SF-425. Project performance monitoring is conducted through site visits and reports. Reports must be filed quarterly or as determined by FAA field office based on project type.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipient sponsors' records are required to be made available for inspection by FAA, OIG/DOT and the General Accounting Office. An airport layout plan must be kept up to date and available as long as the grant agreement lasts, ordinarily a period of 20 years. Accounting records reflecting all project costs, books, documents, and records pertinent to grants are to be retained for 3 years after date of submission of final expenditure report.

Grant recipients shall submit periodic reports containing the following information:

1. The number of projects that have been put out to bid under the grant and the amount of Federal funds associated with each project.
2. The number of projects for which contracts have been awarded and the amount of Federal funds associated with each project.
3. The number of projects for which work has begun under such contracts and the amount of Federal funds associated with each contract.
4. The number of projects for which work has been completed under such contracts and the amount of Federal funds associated with each contract.

Account Identification:

69-8106-0-7-402.

Obligations:

(Project Grants) FY 16 \$3,295,800,000; FY 17 est \$3,191,900,000; and FY 18 est \$0

Range and Average of Financial Assistance:

AIP Grants: \$15,000 to \$36,201,092. Average: \$1,864,143 for FY2016.

TAFS Codes:

69-8106.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: See Section 170 for Example of Projects and Programs for 2016. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Aviation Administration Order and Advisory Circulars (FAA Order 5100.38D, Airport Improvement Program Handbook, and FAA Advisory Circulars in the 150/5100 series).

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to contact the Federal Aviation Administration Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office: Federal Aviation Administration, Office of Airport Planning and Programming, Airports Financial Assistance Division, APP-500,

800 Independence Avenue, SW., Washington, DC 20591. Telephone: (202) 267-3831.

Web Site Address: http://www.faa.gov/airports_airtraffic/airports/.

Headquarters Office:

Jonathan DiMartino 800 Independence Avenue, Washington, District of Columbia 20591 Email: jonathan.dimartino@faa.gov Phone: (202) 267-8744

Website Address:

http://www.faa.gov/airports_airtraffic/AIP/.

RELATED PROGRAMS:

39.002 Disposal of Federal Surplus Real Property; 39.003 Donation of Federal Surplus Personal Property

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: (1) airport master plans; (2) airport noise compatibility plans; (3) land acquisition; (4) site preparation; (5) construction, alteration, and rehabilitation of runways, taxiways, aprons, and certain roads within airport boundaries; (6) construction and installation of airfield lighting, navigational aids, and certain offsite work; (7) safety equipment required for certification of airport facility; (8) security equipment required of the sponsor by the Secretary of Transportation by rule or regulation for the safety and security of persons and property on the airport; (9) snow-removal equipment; (10) terminal development; (11) aviation-related weather reporting equipment; (12) equipment to measure runway surface friction; (13) burn area training structures and land for that purpose, on or off airport; (14) agency-approved noise compatibility projects; (15) relocation of air traffic control towers and navigational aids (including radar) if they impede other projects funded under AIP; (16) land, paving, drainage, aircraft deicing equipment and structures for centralized deicing areas; and (17) projects to comply with the Americans with Disabilities Act of 1990, Clean Air Act, and Federal Water Pollution Control. Under limited criteria construction of hangars and automobile parking lots. Fiscal Year 2017: No current data available for FY 2017. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Only those Airport Improvement Program (AIP) projects considered by the FAA Administrator to be necessary to provide for a safe and efficient airport system and to meet the current and projected growth of civil aeronautics will be considered for selection. The airports at which AIP projects are proposed must be included in the National Plan of Integrated Airports Systems (NPIAS).

20.108 AVIATION RESEARCH GRANTS**FEDERAL AGENCY:**

Federal Aviation Administration (FAA), Department of Transportation

AUTHORIZATION:

Federal Aviation Administration Research, Engineering and Development Authorization Act of 1990, Public Law 101-508; Aviation Security Improvement Act of 1990, Federal Aviation Administration Authorization Act, Public Law 103-305.

OBJECTIVES:

To encourage and support innovative, advanced, and applied research and development in areas of potential benefit to the long-term growth of civil aviation.

TYPES OF ASSISTANCE:

PROJECT GRANTS; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

The grants and cooperative agreements may be used to support research and development projects at colleges, universities and nonprofit institutions in the following areas: (1) Capacity and Air Traffic Control Technology; (2) Communications, Navigation and Surveillance; (3) Aviation Weather; (4) Airports; (5) Aircraft Safety Technology; (6) Human Factors and Aviation Medicine; (7) Environment and Energy; (8) Systems Science and Operations Research; (9) Commercial Space Transportation. Discretionary funds are not currently available. Potential grantees are encouraged to contact FAA Research Technical Program Offices for sponsorship.

Applicant Eligibility:

Colleges, universities and nonprofit institutions are eligible to benefit.

Beneficiary Eligibility:

Colleges, universities and nonprofit institutions are eligible to benefit.

Credentials/Documentation:

Required documentation is outlined in FAA Aviation Research Grant Program Solicitation No. FAA 12-01. This includes evidence of eligibility and of negotiated indirect rates and audit by cognizant agency. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application form not required. Consultation and assistance is available by calling (609) 485-4962. A pre-application conference is not required. However, in order to streamline the application process, it is recommended (but not required) that all prospective grantees submit a 3 page white paper (letter of intent) for agency technical review identifying the specific area of interest (Solicitation FAA-12-01 Chapter I. FAA Research Grants Program, Technical Areas of Research #1 through #9). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Colleges, universities and other nonprofit institutions/organizations may submit proposals through Grants.gov in accordance with solicitation number: FAA-12-01. This solicitation can also be accessed on the internet at http://www.faa.gov/about/office_org/headquarters_offices/ato/service_units/acquisition/grants.

Award Procedure:

Award authority has been delegated to the FAA Grants Officer for the Aviation Research Grants Program, AAQ-600, at the William J. Hughes Technical Center, Atlantic City International Airport, NJ 08405. Awards will be made throughout the year. Solicitation FAA-12-01 will remain open until 12/31/19. Any change to this will appear on the internet site mentioned under "Application Procedures".

Deadlines:

Dec 31, 2019: Deadline for submission under current solicitation is 12/31/19. Proposals are accepted throughout the calendar year.

Range of Approval/Disapproval Time:

120 Days.

Appeals:

Formal protests are not an option, however, a debriefing may be requested.

Renewals:

Multi-year grants and cooperative agreements are available; extensions are possible. No-cost extensions available upon request.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Minimum award duration is 6 months and maximum award duration is 5 years. No other time restrictions. Assistance is disbursed monthly. Advances are possible with prior approval from the FAA Grants Officer. See the following for information on how assistance is awarded/released: Aviation Research Grants Program awards research grants through issuance of a research grant or cooperative agreement grant. Additionally, funds are awarded through award and amendments to the grant. Upon receipt of an invoice and approval by the Technical Monitor a payment is authorized to the grantee.

Reports:

Technical and Financial reporting requirements are specified in 2 CFR 200 Subpart D Post Federal-Award Requirements. Final reports are required for closeout of each grant. Reporting requirements are spelled out in the terms and

conditions of each award document. SF-270. Progress reports are required in accordance with the terms and conditions of each award document. Progress reports are required throughout the period of performance of the grant. This includes but is not limited to quarterly, semiannual or annual progress reporting. SF-425. A technical monitor is a Federal employee who is assigned by the sponsoring organization to oversee each task, to monitor performance, receive technical reports and approve invoices.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Single Audit Act assigns responsibility to either the Department of Health and Human Services or the Department of Defense for most institutions. The FAA reserves the right to conduct individual audits as needed within the constraints of applicable laws and regulations.

Records:

Technical and financial records must be retained for a minimum of 3 years (or longer, depending on special situations) following completion of a grant or cooperative agreement.

Account Identification:

69-8108-0-7-402.

Obligations:

(Salaries) FY 16 \$8,500,000; FY 17 est \$8,000,000; and FY 18 est \$9,000,000

Range and Average of Financial Assistance:

Proposals received to date have requested from \$15,000 to \$5.0 million with most between \$50,000 and \$250,000. Larger proposals are for multi-year research.

TAFS Codes:

69-8108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The FAA has awarded numerous grants this year. Several grants awarded this year are: Probabilistic Finite Element Modeling of Oblique Impact Loading to the Lumbar Spine Southwest Research Institute, Further Airframe Usage and Operational Loads Monitoring of ASM/Lead Aircraft Wichita State University, Statistical Need for Probability of Detection in Structural Health Monitoring Iowa State University of Science and Technology, Global Safety Information Project Flight Safety and Identifying CRM Approaches for Enhancing Flight crew Performance University of Central Florida and Probabilistic Modeling of Random Variables and K-Solution Developments for General Aviation Extensions to the SMART/DT Software The University of Texas San Antonio. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 formerly OMB Circulars 2 CFR 215, A-122, A-133, A-110 and A-21. Regulations and Executive Orders are referenced in Appendix 1 of the FAA Research Grants Order 9550-7B. A solicitation package containing the appropriate forms and directions is available through grants.gov.

Regional or Local Office:

None.

Headquarters Office:

Nicole Saiauskie, AJA-4A William J. Hughes Technical Center, Atlantic City International Airport, New Jersey 08405 Email: nicole.saiauskie@faa.gov Phone: (609) 485-4781 Fax: (609) 485-6766 .

Website Address:

No Data Available

RELATED PROGRAMS:

20.109 Air Transportation Centers of Excellence

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Probabilistic Finite Element Modeling of Oblique Impact Loading to the Lumbar Spine, Southwest Research Institute, Further

Airframe Usage and Operational Loads Monitoring of ASM/Lead Aircraft, Wichita State University, Statistical Need for Probability of Detection in Structural Health Monitoring, Iowa State University of Science and Technology, and Probabilistic Modeling of Random Variables and K-Solution Developments for General Aviation Extensions to the SMART/DT Software The University of Texas San Antonio. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The FAA has established four criteria which should be met for a proposal to be eligible for funding. These four criteria are: (1) Intrinsic Value; (2) Relevance to the FAA Mission; (3) Technical Soundness of the Proposal; (4) Research Performance Competence.

20.109 AIR TRANSPORTATION CENTERS OF EXCELLENCE

(FAA Centers of Excellence)

FEDERAL AGENCY:

Federal Aviation Administration (FAA), Department of Transportation

AUTHORIZATION:

Federal Aviation Administration Research, Engineering and Development Authorization Act of 1990, Public Law 101-508, 49 U.S.C.C.

OBJECTIVES:

Conduct long term research in critical and specific areas of aviation related technology. Responsibilities of Centers may include, but are not limited to: the conduct of research concerning catastrophic failure of aircraft; airspace and airport planning and design; airport capacity enhancement techniques; human performance in the air transportation environment; aviation safety and security; the supply of trained air transportation personnel including pilots and mechanics; and other aviation issues pertinent to developing and maintaining a safe and efficient air transportation system, and the interpretation, publication, and dissemination of the results of such research.

TYPES OF ASSISTANCE:

PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Centers of Excellence are established for long term mission-critical research in specific technology areas. Funding may be used for the establishment and operation of the Centers of Excellence and the related training, education and research projects. The FAA determines a need for a Center of Excellence in a specific area of technology and publishes in Grants.gov a Notice of Intent to Establish a COE and a Notice of Availability of a Draft Solicitation. In response to the Final Solicitation, each applicant submits a proposal with a description of their institutional capabilities, available faculty, facilities and equipment, and additional information as required in the Solicitation. Researchers at each COE are expected to maintain a close working relationship with the FAA COE Program Office and relevant FAA program offices and others having responsibility in areas related to their research projects. Centers conduct semi-annual reviews, and a major symposium at the conclusion of each cooperative agreement and research phase. This activity satisfies Congressional requirements to disseminate research results to a broad audience.

CONGRESSIONAL RESTRICTIONS: (1) Matching Funds - The Federal share of the grant awarded shall be 50 percent of the cost to establish and operate and conduct related research within the Center of Excellence and related research activities. (2) Maintenance of Effort - Each recipient will maintain its aggregate expenditures from all other sources for establishing and operating the Center and related research activities at or above the average level of such expenditures in its 2 fiscal years preceding November 5, 1990. (3) Locations of centers and distribution of funds shall be geographically equitable. (4) Contract tasks may be awarded following the competitive process and may include negotiable cost share. (5) Funds are awarded to universities. Industry and other affiliates must align with academic institutions who may then sub-contract to others as appropriate. Centers of Excellence are established to conduct aviation related research, education, training, information dissemination and technology transfer. Unless otherwise directed by Congress, FAA COEs are awarded discretionary R&D, Operations, AIP, and F&E funds for the appropriate purpose. These funds are made available by sponsoring organizations and are awarded to establish, operate and conduct research, related activities and

support general COE initiatives.

Applicant Eligibility:

FAA COE applicants are limited to colleges and universities with the financial resources to meet statutory requirements for matching Federal funds and maintenance of effort. Academic institutions may partner with industry affiliates, other public and private entities, government laboratories and other interested parties. Applicants must satisfy the Congressionally mandated selection criteria as stated in an open Final Solicitation.

Beneficiary Eligibility:

Recipients are limited to colleges and universities with the financial resources to meet statutory requirements for matching Federal grants and maintenance of effort. In conducting research, a Center of Excellence may contract with nonprofit research organizations and other appropriate persons.

Credentials/Documentation:

Required documentation is outlined in each solicitation package. After the Draft Solicitation is published, copies of the Solicitation are available prior to a Public Meeting and are provided by the FAA Centers of Excellence Program Office, FAA Technical Center, Atlantic City International Airport, NJ 08405. To establish a Center of Excellence, the FAA COE Program Office conducts a competitive process to include a: public announcement, publication of a Draft and a Final Solicitation, period of public comment, management/fiscal review, and a technical evaluation. Public Law 101-508 established the following equally weighted selection criteria: (1) The extent to which the needs of the State in which the applicant is located are representative of the needs of the region for improved air transportation services and facilities. (2) The demonstrated research and extension resources available to the applicant for carrying out this program. (3) The capability of the applicant to provide leadership in making national and regional contributions to the solution of both long- range and immediate air transportation problems. (4) The extent to which the applicant has an established air transportation program. (5) The demonstrated ability of the applicant to disseminate results of air transportation research and educational programs through a State-wide or region-wide continuing education program. (6) The projects which the applicant proposes to carry out under the grant. Each proposal must include the following: (a) description of current research projects being conducted in the specific research area, (b) a schedule of anticipated costs to establish and operate the Center of Excellence, (c) a plan for meeting the 50 percent nonfederal contribution toward the matching requirements, (d) a statement showing aggregate expenditures from all other sources for aviation research in its 2 fiscal years preceding November 5, 1990, (e) all data sufficient for the evaluation team to determine the extent to which the applicant is able to satisfy the six selection criteria outlined.

Each proposal must contain a: 1. completed Application for Federal Assistance (SF-424 Research and Related); 2. table of contents; 3. technical statement addressing the problems inherent in the specific technical area(s) and probable solutions; 4. summary of results from FAA funding in the past five years; 5. biographical sketch for all senior personnel and a list of their principal accomplishments and publications during the past five years; 6. proposed budget for the initial three years including the anticipated costs of managing a new Center of Excellence, and a management plan; 7. listing of all current and pending support on all research awards from all sources; 8. listing of all available facilities and major items of equipment to be available to conduct research, and that which is necessary to acquire. 9. a cover letter and letters of support from various industry representatives, state and local officials, etc. Other required forms are to be included such as Disclosure of Lobbying Activities Form SF-LLL and certification of Compliance with the Civil Rights Act. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

FAA holds a public information meeting prior to submission of proposals. During the period of public comment and thereafter, questions may be submitted to the FAA Centers of Excellence Program Office, ANG-A12, FAA Technical Center, Atlantic City International Airport, NJ 08405. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State

requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Colleges and universities may apply after the FAA has published a Notice of Availability of Solicitation for a Center of Excellence in a specific research area on the Grants.gov website. Solicitation and application packages are available from the FAA Centers of Excellence Program Office, FAA Technical Center, Atlantic City International Airport, NJ 08405.

Award Procedure:

The FAA Administrator is the selecting official for each Air Transportation Center of Excellence. The FAA Centers of Excellence Program Director issues solicitations, receives all proposals, convenes evaluation teams to evaluate eligible institutions for Centers of Excellence awards. Applicants should be prepared to host an onsite inspection of existing research facilities when being considered for a Center of Excellence designation. Grant award authority has been delegated by the FAA Senior Procurement Executive to the FAA COE Grants Officer and Program Director, ANG-E, located at the William J. Hughes Technical Center, Atlantic City International Airport, NJ 08405. Awards are made to COE members throughout the term of the Center. No further announcements are issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From the closing date for applications, the FAA requires at least 6 months for evaluation and selection procedures.

Appeals:

Formal protests are not an option; however, those submitting a proposal may request a debriefing.

Renewals:

Grants: Multi-year grants and cooperative agreements are available; extensions are possible. No-cost extensions are available upon request. Centers of Excellence designation: Centers are evaluated during the last year of each research Phase. Cooperative agreements are renewed upon successful completion of each previous Phase throughout the 10-year term.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%. This program has no statutory formula. Matching Funds Requirements - The Federal share shall be 50 percent of the costs of establishing and operating the Center of Excellence and related research activities. Maintenance of Effort - Each recipient will maintain its aggregate expenditures from all other sources for establishing and operating the Center and related research activities at or above the average level of such expenditures in its 2 fiscal years preceding November 1990. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The FAA intends to support a Center of Excellence in two (2) five-year increments over a period of 10 years. Each Center undergoes a reassessment within the final year of each cooperative agreement Phase. Research is reviewed semi-annually. Budgets and research projects are defined, evaluated and negotiated yearly. As a consequence of changing needs, or diminished requirements, the agency reserves the right not to renew support for a Center of Excellence at any time. Funds from program offices and additional appropriations may increase at any time providing the institution can demonstrate matching contributions are available. See the following for information on how assistance is awarded/released: Centers of Excellence are established following the execution of a cooperative agreement between the FAA and each core member university. Thereafter, funds are awarded via grants as amendments to the agreements. Following the FAA or DOT announcement of the selected team, the FAA COE Program Director negotiates a cooperative agreement with each university core member. Grant awards are issued as amendments to the initial cooperative agreement(s) following submission of technical proposals and individual evaluations.

Reports:

Technical reports are provided to the sponsoring organization. COE Program status and fiscal reports are required on a quarterly basis. Technical and Financial reporting requirements are specified in 2 CFR 200, Subpart D Post-Federal Award Requirements. Semi-annual matching reports are required as well as an annual review of the research completed and in progress at each Center of Excellence. The annual technical review focuses on the relevance, merit and direction of research efforts in the designated area, and negotiation of projects and budget for the next year. A major technical conference is required by the end of each cooperative agreement period as part of close-out activities and serves to highlight research results and discuss plans for the next five-year phase. A major technical symposium is designed to satisfy legislative requirements to highlight COE outcomes and disseminate information and is conducted prior to the final 10-year period of performance. No cash reports are required. Technical progress reports are required on a quarterly basis throughout the period of performance. These include the following:

- * Description of project.
- * Investigation Team: the team that will perform the research, including the Principal Investigator and all academic, government, and industry partners
- * Objective of the research
- * General description of approach to research
- * Expected benefits
- * Funding
 1. Project funding, identifying proposed FAA contributions, proposed contributions from other agencies or organizations, and COE matching funds
 2. Project in-kind contributions, identifying contributions of cash versus in-kind contributions
 3. Project spending profile, outlining expenditures anticipated over time.Universities may use their most current approved indirect cost rate at the time of submission.
- * Schedule: including milestones
- * The Universities will utilize the standardized tracking and reporting system, the FAA COE e-grants system, to the greatest extent possible to satisfy these requirements.

A progress report is also provided during the annual technical review which focuses on the relevance, merit and direction of research efforts in the designated area, and discussion regarding future projects and budgets for the next year. The required final symposium is part of the COE close-out activities and serves to highlight research results over the entire period of performance. The symposium is also designed to satisfy legislative requirements to disseminate information. The standard form is submitted and the process is followed as described in the Financial Reporting Requirements section, 2 CFR 200, Subpart D Post-Federal Award Requirements. A technical monitor is assigned by the sponsoring organization to oversee each task, to monitor performance, receive technical reports, and approve invoices. A major meeting to present research results for a broad audience of peers is conducted during the fifth year; additionally, a major symposium is required by the end of the 10-year period as part of close-out activities and serves to highlight research results. The symposium is designed to satisfy legislative requirements to disseminate information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Single Audit Act assigns responsibility to either the Department of Health and Human Services or the Department of Defense for most institutions. The FAA calls for an audit of each Center every five years as a part of close-out activities, and reserves the right to conduct individual audits annually, and as needed, within the constraints of applicable laws and regulations. A final formal audit is conducted during the 10th year of operation prior to close out of the COE.

Records:

Technical and financial records must be retained for a minimum of 3 years (or longer, depending on special situations) following termination of the Center of

Excellence.

Account Identification:

69-8107-0-7-402; 69-8108-0-7-402; 69-8106-0-7-402; 69-1301-0-1-402.

Obligations:

(Salaries) FY 16 \$22,500,000; FY 17 est \$24,600,000; and FY 18 est \$16,400,000

Range and Average of Financial Assistance:

Program started in fiscal year 1992. Grant assistance is expected to be a minimum of \$500,000 per year for each Center, and may be augmented by tasks funded through IDIQ contract awards.

TAFS Codes:

69-69-1214-8107; 69-69-1214-8108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, the FAA COE Program Management Office (PMO) awarded \$28,397,118 in support of 160 FAA projects, negotiated and executed 16 COE cooperative agreements, issued 225 funded grant awards, executed 66 other COE actions, and generated more than \$29 million in matching contributions from industry and other non-federal sources.

Additionally, the FAA COE PMO conducted and completed a competition to establish the COE for Technical Training and Human Performance (TTHP) and finalized significant pre-award activities and the award of 65 awards in preparation for the establishment of the new COE in September 2016. The pre-award competitive process culminated in the submission of proposals representing universities throughout the U.S. and their industry and other affiliates. The COE PMO invited subject-matter-experts from various federal organizations to participate in the conduct of a technical evaluation of the submissions. Management and fiscal officers were additionally invited to conduct a review of the best qualified proposals from a non-technical perspective. The process enabled the FAA Administrator to select the new COE TTHP team in FY 2016, on schedule as planned. The COE PMO negotiated and entered into 16 new cooperative agreements with the COE core universities.

Fiscal Year 2017: In FY 2017, the FAA COE PMO anticipates to award \$24,600,000 in support of FAA projects. The FAA COE PMO will continue to support active Centers. The follow-on strategy for the Joint Center of Excellence for Advanced Materials (JAMS) will be formulated. JAMS will continue to fulfill research requirements until the FAA Administrator concurs with a follow-on strategy. Fiscal Year 2018: In FY 2018, the FAA COE PMO anticipates to award \$16,400,000 in support of FAA projects. The FAA COE PMO will continue to support active Centers.

REGULATIONS, GUIDELINES, AND LITERATURE:

The FAA announces the Intent to Establish a Center of Excellence with a formal notice followed by the publication of a Draft and Final Solicitation. An application package is made available and contains the appropriate forms and directions are made available. The Notice of Intent to Establish an Air Transportation Center of Excellence and a Notice of Solicitation Availability are announced on Grants.gov.

Regional or Local Office:

None.

Headquarters Office:

Patricia Watts, Ph.D. Centers of Excellence Program Office
FAA William J. Hughes Technical Center, Bldg 300, L28
, Atlantic City International Airport, New Jersey 08405 Email:
patricia.watts@faa.gov Phone: (609) 485-5043 Fax: (609) 485-4753.

Website Address:

<http://www.faa.gov/go/coe>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In FY 2016, the FAA COE PMO supported the following topic areas: Technical Training and Human Performance; Unmanned Aircraft Systems; Alternative Jet Fuels and Environment; Commercial Space Transportation; General Aviation Safety, Accessibility and Sustainability Research; and Advanced Materials. Fiscal Year 2017: In FY 2017, the FAA

COE PMO continues to support the following topic areas: Technical Training and Human Performance; Unmanned Aircraft Systems; Alternative Jet Fuels and Environment; Commercial Space Transportation; General Aviation Safety, Accessibility and Sustainability Research; and Advanced Materials. Fiscal Year 2018: In FY 2018, the FAA COE PMO plans to continue to support the following topic areas: Technical Training and Human Performance; Unmanned Aircraft Systems; Alternative Jet Fuels and Environment; Commercial Space Transportation; General Aviation Safety, Accessibility and Sustainability Research; and Advanced Materials.

CRITERIA FOR SELECTING PROPOSALS:

Public Law 101-508 has established the following equally weighted six selection criteria: (1) The extent to which the needs of the State in which the applicant is located are representative of needs of the region for improved air transportation services and facilities; (2) The demonstrated research and extension resources available to the applicant for carrying out this program; (3) The capability of the applicant to provide leadership in making national and regional contributions to the solution of both long-range and immediate air transportation problems; (4) The extent to which the applicant has an established air transportation program; (5) The demonstrated ability of the applicant to disseminate results of air transportation research and educational programs through a State-wide or region-wide continuing education program; and (6) The projects which the applicant proposes to carry out under the grant. Public Law 101-508 mandates geographic equity in location and funding of Centers of Excellence. Depending on the outcome of evaluation under the six mandated criteria, geographic location may become an additional selection factor.

20.200 HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM

Highway Research and Development Program
Surface Transportation Research, Development, and Technology

FEDERAL AGENCY:

Federal Highway Administration (FHWA), Department of Transportation

AUTHORIZATION:

Fixing Americas Surface Transportation Act (FAST Act)
, Public Law 114-94, 23 U.S.C 104.

OBJECTIVES:

To carry out the highway research and development program as authorized by the FAST Act. To conduct research needed to maintain and improve our vital transportation infrastructure. The FAST Act addresses the many challenges facing our transportation system today by providing funding for improving highway safety, improving infrastructure integrity, strengthening transportation planning and environmental decision making, reducing congestion, improving highway operations, enhancing freight movement productivity, and exploratory advanced research.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Varies by project. See individual project Notice of Funding Opportunity (NOFO) for details. (NOFOs issued at www.Grants.gov.) Varies by project. See individual project NOFO for details. (NOFOs issued at www.Grants.gov.) Varies by project. See individual project NOFO for details. (NOFOs issued at www.Grants.gov.)

Applicant Eligibility:

Varies by project. See individual project NOFO for details. (NOFOs issued at www.Grants.gov.)

Beneficiary Eligibility:

State (State DOTs) Local Sponsored Organization General Public.

Credentials/Documentation:

Eligible activities and allowable costs will be determined in accordance with the FAST Act and 2 CFR 200, Subpart E - Cost Principles, as applicable to the recipient/sub-recipient. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Varies by project. See individual project NOFO for details. (NOFOs issued at www.Grants.gov.) Generally, per directions contained in the NOFOs, applicants submit applications online via www.Grants.gov by the due date and time specified in the NOFO.

Award Procedure:

Varies by project. See individual project NOFO for details. (NOFOs issued at www.Grants.gov.) Generally, FHWA evaluates applications and selects awardee(s).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies by project. See individual project NOFOs for details. (NOFOs issued at www.Grants.gov.) Generally 1 to 3 months (60 to 120 days) after application submittal.

Appeals:

Not Applicable.

Renewals:

Varies by project. See individual project NOFOs for details. (NOFOs issued at www.Grants.gov.)

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies by project. See individual project NOFOs for details. (NOFOs issued at www.Grants.gov.) Funds generally become available at the beginning of the fiscal year for which they are authorized, and are available until expended or as otherwise designated in the FAST Act. See the following for information on how assistance is awarded/released: Varies by project. See individual project NOFOs for details. (NOFOs issued at www.Grants.gov.) Funds generally become available at the beginning of the fiscal year for which they are authorized, and are available until expended or as otherwise designated in the FAST Act.

Reports:

Varies by project. See individual project NOFO for details. (NOFOs issued at www.Grants.gov.) Generally quarterly progress reports and annual budget reports are required. Cash reports are not applicable. Generally quarterly progress reports and annual budget reports are required. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Project records and documents must be retained by the Recipient as specified in 2 CFR 200.333 as applicable.

Account Identification:

69-8083-0-7-401.

Obligations:

(Salaries) FY 16 \$98,168,571; FY 17 est \$107,158,367; and FY 18 est \$116,148,163 - Part of this funding is used for salaries and expenses, but these obligation totals are not just for salaries.

Range and Average of Financial Assistance:

Varies by project. See individual project NOFO for details. (NOFOs issued at www.Grants.gov.)

TAFS Codes:

69-8083.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FHWA - HCFA awarded approximately 40 assistance agreement awards in FY16. Fiscal Year 2017: FHWA-HCFA anticipates awarding 40-50 grants and cooperative agreements in FY17. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR, "Highways", 49 CFR, "Transportation", and 2 CFR 200, "Grants and Agreements".

Regional or Local Office:

See Regional Agency Offices. State-level division offices of the FHWA (as listed in Appendix IV of the Catalog).

Headquarters Office:

Aimee Drewry 1200 New Jersey Ave S.E., Washington, District of Columbia 20590 Phone: 202-366-4211

Website Address:

<http://www.fhwa.dot.gov>

RELATED PROGRAMS:

20.205 Highway Planning and Construction

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: FHWA HCFA awarded cooperative agreements to address specific technology and knowledge gaps in highway safety with research and deliverables aimed to have a direct value to highway safety practitioners at the State and local level. Fiscal Year 2017: Similar projects will be completed as noted in FY16. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Varies by project. See individual project NOFO for details. (NOFOs issued at www.Grants.gov.)

20.205 HIGHWAY PLANNING AND CONSTRUCTION

(Federal-Aid Highway Program, Federal Lands Highway Program).

FEDERAL AGENCY:

Federal Highway Administration (FHWA), Department of Transportation

AUTHORIZATION:

Fixing Americas Surface Transportation Act (FAST Act), Public Law 114-94, 23 U.S.C 104.

OBJECTIVES:

Federal-aid Highway Program: To assist the States in providing for construction, preservation, and improvement of highways and bridges on eligible Federal-Aid routes, (including the National Highway System (NHS) - an integrated, interconnected transportation system important to interstate commerce and travel), and for other special purpose programs and projects.. This program also provides for the construction and improvement of highways in the District of Columbia, Puerto Rico, American Samoa, Guam, the Commonwealth of the Northern Mariana Islands and the U.S. Virgin Islands. The Federal Lands Highway Program: The Federal Lands Transportation Program (FLTP) provides assistance to the Federal Land Management Agencies (FLMAs) for Federally-owned roads and trails. It provides transportation engineering services and funding for planning, design, construction, and rehabilitation of the highways and bridges that are on or provide access to federally owned lands. The Federal Lands Highway organization also provides training, technology deployment, and engineering services to other customers. The FAST Act established two new freight programs: the formula-based National Highway Freight Program (NHFP) which provides funds to the States to improve efficient movement of freight on the National Highway Freight Network (NHFN); and the discretionary Nationally Significant Freight and Highway Projects (NSFHP) program which provides targeted investment to freight projects on the NHFN as well as highway and bridge projects on the NHS and railway-highway grade crossing or grade separation projects. The FAST Act also continues to focus the program

on safety and performance-based investment and on accelerating project delivery through expedited environmental review and elimination of duplicate processes.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Required Fields Missing:

The following required fields have not been filled out on previous steps. Please go back and fill out all required fields before you can submit:

060 Actual data is required in program accomplishments for fiscal year 2016

Program Information

Federal Agency:

Department of Transportation/Federal Highway Administration (FHWA)

010 Title:

Highway Planning and Construction

020 Popular Name:

(Federal-Aid Highway Program, Federal Lands Highway Program).

040 Authorization:

Act:

Fixing Americas Surface Transportation Act (FAST Act)

Public Law: No. 114-94

Statute:

U.S.C

Title:

23

Section:

104

050 Objectives:

Federal-aid Highway Program: To assist the States in providing for construction, preservation, and improvement of highways and bridges on eligible Federal-Aid routes, (including the National Highway System (NHS) - an integrated, interconnected transportation system important to interstate commerce and travel), and for other special purpose programs and projects.. This program also provides for the construction and improvement of highways in the District of Columbia, Puerto Rico, American Samoa, Guam, the Commonwealth of the Northern Mariana Islands and the U.S. Virgin Islands. **The Federal Lands Highway Program:** The Federal Lands Transportation Program (FLTP) provides assistance to the Federal Land Management Agencies (FLMAs) for Federally-owned roads and trails. It provides transportation engineering services and funding for planning, design, construction, and rehabilitation of the highways and bridges that are on or provide access to federally owned lands. The Federal Lands Highway organization also provides training, technology deployment, and engineering services to other customers. The FAST Act established two new freight programs: the formula-based National Highway Freight Program (NHFP) which provides funds to the States to improve efficient movement of freight on the National Highway Freight Network (NHFN); and the discretionary Nationally Significant Freight and Highway Projects (NSFHP) program which provides targeted investment to freight projects on the NHFN as well as highway and bridge projects on the NHS and railway-highway grade crossing or grade separation projects. The FAST Act also continues to focus the program on safety and performance-based investment and on accelerating project delivery through expedited environmental review and elimination of duplicate processes..

060 Types of Assistance:

A - FORMULA GRANTS, B - PROJECT GRANTS

070 Uses and Use Restrictions

Describe how assistance can potentially be used:

Most Federal-aid highway funds are apportioned to the States by statutory formulas. They are generally restricted to public roads that are not functionally classified as rural minor collectors or local roads. Planning and research activities, bridges, tunnels, bicycle and pedestrian paths, safety improvements on any public road, non-motorized transportation, the FLTP, and public transportation improvement are some exceptions to the highway functional classification restriction.. Federal-aid highway funds may be used for environmental studies, engineering and design services, right-of-way acquisition and relocation assistance, and construction for capital improvement

projects classified as new construction, reconstruction, restoration, rehabilitation, resurfacing and preservation, or for functional, geometric, or safety reasons. Funds may also be used for planning; research, development, and technology transfer; intelligent transportation systems projects; roadside beautification; wetland and natural habitat mitigation; traffic management and control improvements; improvements necessary to accommodate other transportation modes; development and implementation of performance and asset management systems; billboard removal; construction of bicycle facilities and pedestrian walkways; fringe and corridor parking; car pool and van pool projects; transportation alternatives and enhancements such as scenic and historic highway improvements; and recreational trails. Funds generally cannot be used for routine highway operational activities, such as police patrols, mowing, snow plowing, litter control, or maintenance, unless it is preventative maintenance. Funding from the National Highway Performance Program (NHPP) is generally limited to projects on the NHS, which includes the Eisenhower Interstate System. Surface Transportation Block Grant Program (STBG) funds may be used on any Federal-aid highway or for bridges and tunnels on any public road. Congestion Mitigation and Air Quality Improvement (CMAQ) Program funds are limited to projects and programs that reduce transportation related emissions air quality non-attainment and maintenance areas for ozone, carbon monoxide, and particulate matter, though provision is made for States without air quality issues. Eligibility criteria for the various programs differ, so program guidance should be consulted. Federal-aid highway projects in metropolitan areas must be based on a transportation planning process carried out by a Metropolitan Planning Organization (MPO) in cooperation with the State, local officials, and transit operators. The projects must be included in the metropolitan transportation plan (MTP) and the transportation improvement program (TIP). Projects in non-metropolitan areas of a State must be consistent with a statewide transportation plan. Projects in both metropolitan and non-metropolitan areas must also be included in a fiscally constrained Statewide Transportation Improvement Program (STIP) developed as part of the required statewide transportation planning process. The FHWA and the Federal Transit Administration (FTA) must approve the STIP jointly. Projects under the FLTP are also subject to metropolitan and statewide planning requirements.

Funding from the NHFP is generally limited to projects that contribute to the efficient movement of freight on the National Highway Freight Network (NHFN), and are consistent with metropolitan and statewide transportation planning requirements. Beginning December 4, 2017, there are additional planning requirements related to the NHFP in that a State may not obligate NHFP funds apportioned to the State unless the State has developed a State Freight Plan (SFP). The NHFP strategically directs resources and policies to present solutions and strategies to address the infrastructure, institutional, and financial bottlenecks that hinder the safe and efficient movement of goods. NHFP funds may be obligated for one or more of the following: development phase activities including planning, feasibility analysis, revenue forecasting, environmental review, preliminary engineering and design work, and other preconstruction activities. construction, reconstruction, rehabilitation, acquisition of real property (including land relating to the project and improvements to land), construction contingencies, acquisition of equipment, and operational improvements directly relating to improving system performance, intelligent transportation systems and other technology to improve the flow of freight, including intelligent freight transportation systems, efforts to reduce the environmental impacts of freight movement, environmental and community mitigation for freight movement, railway-highway grade separation, geometric improvements to interchanges and ramps, truck-only lanes climbing and runaway truck lanes, adding or widening of shoulders, truck parking facilities, real-time traffic, truck parking, roadway condition, and multimodal transportation information systems, electronic screening and credentialing systems for vehicles, including weigh-in-motion truck inspection technologies traffic signal optimization, including synchronized and adaptive signals, work zone management and information systems, highway ramp metering, electronic cargo and border security technologies that improve truck freight movement intelligent transportation systems that would increase truck freight efficiencies inside the boundaries of intermodal facilities, additional road capacity to address highway freight bottlenecks, physical separation of passenger vehicles from commercial motor freight, enhancement of the resiliency of critical highway infrastructure,

including highway infrastructure that supports national energy security, to improve the flow of freight, a highway or bridge project to improve the flow of freight on the NHFN. In addition, a State may use up to 10% of the total fiscal year State apportionment under NHFP for freight intermodal or freight rail projects; including projects within the boundaries of public or private freight rail or water facilities (including ports), and that provide surface transportation infrastructure necessary to facilitate direct intermodal interchange, transfer, and access into or out of the facility.. In addition to the NHFP formula program; the FAST Act establishes the Nationally Significant Freight and Highway Projects (NSFHP) program to provide financial assistance competitive grants, known as FASTLANE grants, or credit assistance to nationally and regionally significant freight and highway projects that align with the program goals to improve the safety, efficiency, and reliability of the movement of freight and people; generate national or regional economic benefits and an increase in global economic competitiveness of the U.S; reduce highway congestion and bottlenecks; improve connectivity between modes of freight transportation; enhance the resiliency of critical highway infrastructure and help protect the environment; improve roadways vital to national energy security; and address the impact of population growth on the movement of people and freight. Program requirements and restrictions are contained in Title 23 United States Code. The NSFHP program provides discretionary grants to nationally and regionally significant freight and highway projects that align with the program goals. The authorized amounts are: \$800 million for FY 2016; \$850 for FY 2017; \$900 million for FY 2018; \$950 million for FY 2019; and \$1 billion for FY 2020. There are also discretionary funds remaining from previous authorizations, which may remain available until expended.

Applicant Eligibility:

By law, the Federal-aid highway program is a federally assisted State administered program that requires each State to have a suitably equipped and organized transportation department. Therefore, most projects are administered by or through State transportation departments (State DOTs). Projects to be funded under the Federal-aid highway program are generally selected by State DOTs or MPOs, in cooperation with appropriate local officials, as specified in 23 U.S.C. and implementing regulations. Territorial highway projects are funded in the similar manner as other Federal aid highway projects, with the territorial transportation agency functioning in a manner similar to a State transportation department. Most FLTP projects are administered by the FHWA Office of Federal Lands Highway and its Divisions or by the various FLMAs.

Beneficiary Eligibility:

State transportation departments, and in some instances, Federal agencies, other State agencies, local agencies, and private, community-based organizations.

Credentials/Documentation:

Eligible activities and allowable costs will be determined in accordance with Title 23 and the OMB cost principles applicable to the recipient/sub-recipient. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental investigation, reviews, and consultations are required for most projects under this program and must comply with the National Environmental Policy Act of 1969, although the level of review varies with the project. Concerning Executive Order 12372 -- Projects under the FLHP have similar requirements; however, an interested applicant would need to contact the designated FLMA or the local FLH Division for more information. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The FHWA has a Stewardship and Oversight Agreement with each State that details the extent to which the State assumes the responsibilities of the FHWA for projects in that State. States may assume the responsibilities of the FHWA for oversight of design and construction for projects that are on the NHS, including the Interstate System, unless the State or the FHWA determines that such

assumption is not appropriate. For projects that are not on the NHS, the State shall assume the responsibilities of the FHWA for oversight of design and construction, unless the State determines that such assumption is not appropriate. The State DOT may be required to submit statements of work and plans, specifications, and estimates for certain projects to their respective FHWA division office for approval.

Award Procedure:

The State DOTs generally decide which projects will be developed within funding categories and levels. The FHWA division office, located in each State, approves and executes a project agreement for each project before work can commence. There are several categories where funding is allocated at the discretion of the Secretary of Transportation and administered by the FHWA. When such funding is available, FHWA will solicit applications for candidate projects from the States. Projects administered by the FHWA Office of Federal Lands Highways or the FLMAs are subject to procurement processes identified in the Federal Acquisition Regulations. Project awards are subject to the availability of funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 day to 5 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23, Part 104. Federal-aid highway funds are generally apportioned to the States by statutory formulas.

Matching Requirements: Percent: 20%. Typically, 80% of costs are federally funded with a 20% matching requirement.. See 23 USC 120. Exceptions apply; contact FHWA for specific information.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Federal-aid highway funds generally become available at the beginning of the fiscal year for which they are authorized and must be obligated within 3 years after the close of that fiscal year. However, some categories of funds are available until expended. See the following for information on how assistance is awarded/released: For formula funds, FHWA issues a notice of apportionment on October 1 of each fiscal year based on the authorizing legislation and appropriations act (or continuing resolution) for the applicable year. Contract authority.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. SF-425. The FHWA division office in each State is responsible for the stewardship of Federalaid highway funds in that State. They accomplish this using a variety of techniques ranging from program, process, and compliance reviews to involvement in individual Federal-aid projects. State DOTs have assumed oversight responsibilities for most Federal-aid highway projects. The FHWA division office will retain project approval actions that are either required by Law or based on a risk approach. The FHWA division office also may retain additional project approval action or get involved in some projects that have been designated Projects of Division Interest based on a risk approach. Certain non-construction activities, such as transportation planning, require periodic progress reports. Similar procedures apply to the FLMAs and FLTP projects with the FHWA Office of Federal Lands Highways providing the mandatory stewardship and oversight. Value engineering (VE) is required for Federal-aid projects on the National Highway System (NHS) with a cost of \$50 million or more; Federal-aid bridge projects on the NHS with a cost of \$40 million or more; and Federal-aid major projects on or off the NHS. Although VE is not required on design-build projects, FHWA does encourage that agencies perform a VE analysis. The FHWA Division Office may require VE reviews on projects below the minimum threshold if the project may benefit from the review. For more information visit: <http://www.fhwa.dot.gov/ve/>.

Project sponsors must submit annual financial plans and project management plans to FHWA for all major projects. A major project is defined as any project receiving Federal financial assistance for construction, with an estimated total cost of \$500,000,000 or more. In addition, project sponsors must prepare annual financial plans for all projects with an estimated total cost of \$100,000,000 or more that are not designated as major projects. These annual financial plans must be submitted upon request by FHWA Division Offices.

The FAST Act requires FHWA to establish national performance measures and standards for safety, infrastructure condition, congestion reduction, system reliability, and freight movement. State DOTs and MPOs will set individual performance targets and must make significant progress toward achieving targets established for the National Highway Performance Program and Highway Safety Improvement Program. State DOTs will be required to periodically report to FHWA on their progress toward the achievement of their targets. FHWA will use these progress reports and performance data to determine if the State DOT has made significant progress toward the achievement of their targets as well as meeting national standards.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Project records and documents must be retained by the State or other governmental recipients/ sub-recipients as specified in 2 CFR 200.333. For the Federal Lands Highway Program, the Federal Lands Highway Divisions maintain the project records.

Account Identification:

69-8083-0-7-401.

Obligations:

(Salaries) FY 16 \$41,666,093,741; FY 17 est \$42,227,818,530; and FY 18 est \$43,895,324,916 - Part of this funding is used for salaries and expenses, but these obligation totals are not just for salaries.

Range and Average of Financial Assistance:

Federal-aid highway funds are provided to States on an annual basis, by a combination of statutory formula and discretionary allocation. The most recent authorization act is the Fixing Americas Surface Transportation Act (FAST Act).

TAFS Codes:

69-8083.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Colorado: Reconstruct the US 34 Big Thompson Canyon corridor, which serves as the access to Rocky Mountain National Park) from Loveland to Estes Park.

Washington: Westbound I-90 between Peoh Road Bridge and Elk Heights Road Replace/Rehab Concrete Pavement. Construction Contract Amount \$17,712,492.11

Maryland: MD 5 at Brandywine Road (MD 373/MD381) interchange construction. The project will be awarded soon. EE\$34.2 M. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR, "Highways" and 49 CFR, "Transportation", and 2 CFR 200, Grants and Agreements. <http://www.fhwa.gov>.

Regional or Local Office:

See Regional Agency Offices. See Local Agency Offices

Additional Information:

Multiple, see www.fhwa.gov for specific State offices. State-level division offices of the Federal Highway Administration (as listed in Appendix IV of the Catalog) or State transportation agencies.

152 Headquarters Office:

Headquarters Office:

Peter Stephanos 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-0027

Website Address:

<http://www.fhwa.dot.gov>

RELATED PROGRAMS:

20.200 Highway Research and Development Program; 20.215 Highway Training and Education; 20.219 Recreational Trails Program; 20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program; 20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort; 23.003 Appalachian Development Highway System

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Colorado: Reconstruct the US 34 Big Thompson Canyon corridor, which serves as the access to Rocky Mountain National Park) from Loveland to Estes Park.

Washington: Westbound I-90 between Peoh Road Bridge and Elk Heights Road Replace/Rehab Concrete Pavement. Construction Contract Amount \$17,712,492.11

Maryland: MD 5 at Brandywine Road (MD 373/MD381) interchange construction. The project will be awarded soon. EE\$34.2 M. Fiscal Year 2017: Budget Fiscal Year 2017 project awards will be similar to previous year awards. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

To be eligible, most projects must be located on public roads that are not functionally classified as minor rural collectors and local roads. The major exceptions are bridges not located on Federal-Aid highways; highway safety activities; bicycle and pedestrian projects, transportation alternatives and enhancement activities, the recreational trails program, and planning, research, development, and technology transfer. Proposed projects meeting these and other planning, design, environmental, safety, etc., requirements can be approved on the basis of State and local priorities within the limit of the funds apportioned or allocated to each State.

20.215 HIGHWAY TRAINING AND EDUCATION

National Highway Institute (NHI) Training Program
Local Technical Assistance Program/Tribal Technical Assistance Program (LTAP), (TTAP)

Dwight David Eisenhower Transportation Fellowship Program (DDETFP)
Transportation Education Development Program (TEDP)

Garrett A. Morgan Technology and Transportation Education Program (GAMTTEP)

FEDERAL AGENCY:

Federal Highway Administration (FHWA), Department of Transportation

AUTHORIZATION:

Fixing Americas Surface Transportation Act (FAST Act)
, Public Law 114-94, 23 U.S.C 504.

OBJECTIVES:

National Highway Institute (NHI) Training Program: To develop and administer, in cooperation with those in the highway community, educational, training, and technical assistance for the Federal Highway Administration, State and local highway agency employees, the private sector and members of international organizations. Local Technical Assistance Program: To provide training, technology transfer, and professional development services to local agencies and tribal governments with road management and safety responsibilities. Dwight David Eisenhower Transportation Fellowship Program (DDETFP): To attract qualified students to the field of transportation education and research, and advance transportation workforce development. Transportation Education Development Program: To develop and implement innovative transportation education and workforce development programs at all levels of transportation. Garrett A. Morgan Technology and Transportation Education Program (GAMTTEP): To improve the preparation of K-12 students, particularly women and minorities, in science, technology, engineering, and mathematics through curriculum development and other transportation-related

activities.

TYPES OF ASSISTANCE:

Cooperative Agreements; TRAINING

USES AND USE RESTRICTIONS:

National Highway Institute: Funds provided to support program/course development and delivery. Local Technical Assistance Program (LTAP): Funds provided to support an LTAP Center in each state, Puerto Rico, and seven regional Tribal Technical Assistance Centers. Eisenhower Fellowship Program: Funds provided to primarily university graduate students to support studies in transportation related areas. Transportation Education Development Program: Funds are provided on a competitive basis to institutions of higher education defined as an institution that provides at least 2 years of curriculum and offers, as a minimum, a certificate upon completion of the course work. GAMTTEP: Funds are provided on a competitive basis to support a GAMTTEP Clearinghouse as a resource for state and local education agency Science, Technology, Engineering, Math (STEM) transportation curriculum and education programs. NHI, LTAP, DDETFP, TEDP, GAMTTEP: Funds provided by statute to implement programs based on statutory requirements.

Applicant Eligibility:

NHI Training Program: Employees of State and local transportation agencies, private sector transportation company employees are eligible to participate in NHI courses. DDETFP: Undergraduate (juniors and seniors at Minority Institutes of Higher Education (MIHE)) and graduate students matriculating full-time at a U.S. university or college in a transportation-related discipline participate. TEDP: Supports Region Workforce Centers that identify and facilitate implementation of successful transportation training and education programs for students and transportation professionals at all levels of education; K-12, community colleges, technical schools, universities and incumbent transportation professionals. GAMTTEP: Provides information about transportation curriculum and education programs with a focus on the STEM disciplines and outreach to women and minorities.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. LTAP: LTAP Centers established through 5 year agreements with state transportation departments. DDETFP, TEDP, GAMTTEP provided through a Notice of Funding Opportunity process and advertised on Grants.gov. Cooperative Agreements for TTAP Centers are directly with FHWA on a five-year cycle.

TEDP and GAMTTEP application procedures are managed through the FHWA Office of Acquisitions established processes. The Dwight David Eisenhower Transportation Fellowship Program (DDETFP): Students may apply to compete for eight award categories annually; Eisenhower Graduate, Grants for Research Fellowships; Eisenhower Intern Fellowships; Community Colleges; People with Disabilities; Historically Black Colleges and Universities (HBCUs); Hispanic Serving Institutions (HSIs); and Tribal Colleges (TCs). The application process is managed by the are forwarded to the Program Manager, Universities and Grants Programs, Center for Transportation Workforce Development, Office of Innovative Program Delivery.

Award Procedure:

LTAP funds are allocated to state transportation agencies for selection through the state acquisition procedures. Tribal Transportation Assistance Program Center (TTAP) awards are made directly to the TTAP managing institutions through the FHWA acquisitions process. Dwight David Eisenhower Transportation Fellowship Program awards are made annually for the academic year by the Program Manager, Universities and Grants Programs, Center for

Transportation Workforce Development, Office of Innovative Program Delivery based on recommendations made by application review panels. Awards for the Transportation Education Development Program are managed through Cooperative Agreement by the FHWA Office of Acquisitions based on recommendations made by application review panels. Awards for the Garrett A. Morgan Technology and Transportation Education Program are managed through Cooperative Agreement and made by the FHWA Office of Acquisitions based on recommendations made by application review panels, based on competitive review by the Office of Acquisitions.

Deadlines:

Nov 30, -0001: May vary slightly for each of DDETFP Fellowship categories of HBCUs, HSIs, TCs, PWDs ad CCs. DDETFP grants provided to universities and community colleges to support students during academic year. Deadline Start Date:

TBD (under review by Acquisition Office, Chief Counsels Office, OST)

Deadline End Date:

TBD (pending approval by Acquisitions, Office, Chief Counsels Office, OST Description:

May vary slightly for each of DDETFP Fellowship categories of HBCUs, HSIs, TCs, PWDs ad CCs. DDETFP grants provided to universities and community colleges to support students during academic year.

NHI Training Program: None; TEDP, Garrett Morgan Programs varies depending on the Notice of Funding Opportunity releases.

Range of Approval/Disapproval Time:

DDETFP: Seven months. NHI course development and delivery selected through competitive process; LTAP through competitive process for those LTAP Centers that are managed by universities (12 Centers are managed by State DOTs); TTAP, TEDP, GAMTTEP through competitive processes.

Appeals:

NHI, TEDP, GAMTTEP, TTAP through FHWA acquisitions process for; LTAP through State DOT processes.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: LTAP -100%; TEDP 20% in 3rd year and 25% in 4th year of the 4-year Cooperative Agreement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

DDETFP on-site assignments, 6 to 12 months with extension upon approval from the Program Manager, Universities and Grants Programs; NHI, LTAP, TEDP based on contract/cooperative agreement requirements. See the following for information on how assistance is awarded/released: NHI, LTAP, DDETFP, TEDP, GAMTTEP funds are allotted to Office of Technical Services (OTS) through Advice of Funds. LTAP: OTS Technology Partnership Programs allocates funds in equal amounts to FHWA Divisions that in turn provide to state transportation departments for LTAP Center management through agreements between the state and Center organization. TTAPs through Cooperative Agreements; DDETFP: Through grant agreements with universities; TEDP and GAMTTEP awards are provided for in Cooperative Agreement on a reimbursable basis with funds released to grantees based on invoices submitted and approved through the FHWA Office of Acquisitions.

Reports:

LTAP; State transportation agency reports generally required annually. DDETFP, TEDP, GAMTTEP final projects reports required, based on Cooperative Agreements requirements. Cash reports are not applicable. TEDP, Garrett Morgan, and TTAP: Quarterly reports required per Cooperative Agreements. LTAP: Reports may be required as part of contract agreement between the state transportation agency and the LTAP Center. OTS TPP requires LTAP/TTAP Centers to report annually on a number of Center program activities. NHI, LTAP, DDETFP, TEDP, GAMTTEP required according to contract/agreements with awardee or service provider. NHI, LTAP, TEDP, GAMTTEP: Yes, by FHWA Office of Acquisitions and OTS

Program Managers. DDETFP by OTS Program Managers.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Yes, discretionary through FHWA Office of Acquisitions.

Records:

Awardee organizations and FHWA Office of Acquisitions keeps records of expenditures/accomplishments as applicable to Federal requirements.

Account Identification:

69-8083-0-7-401 - LTAP: Provided to state transportation agency; DDETFP: provided to FHWA. TEDP and GAMTTEP grantees must provide budget information as part of Cooperative Agreement.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$23,410,493; FY 17 est \$25,554,311; and FY 18 est \$27,698,129 - Assistance Type: Individual program allocations for NHI, LTAP, DDETFP, TEDP, GAMTTEP based on program priority/need with consideration to funding history.

FY 17 and FY 18 -- funding provided through FAST Act legislation. Remaining unobligated balances from prior years may be available. The obligation totals provided are for all programs identified as highway training and education.

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

69-8083.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: NHI, LTAP/TTAP expects similar numbers of participants to receive training as were training in 2015. DDETFP provided 210 fellowship awards in 2016. TEDP supported five Region Transportation Workforce Centers. The Centers worked with transportation, education, and workforce development organizations to identify and facilitate implementation of successful transportation education and training programs throughout the education continuum. GAMTTEP established a Clearinghouse of transportation education programs as a resource for teachers and students. Fiscal Year 2017: DDETFP expects to provide approximately 200 fellowship awards. The five Region Transportation Workforce Centers funded through TEDP will continue to work with private and public sector organizations to develop, identify, and facilitate implementation of transportation education and training programs. The GAMTTEP Clearinghouse program plans to expand its services to including teacher education and enhanced student outreach programs. Fiscal Year 2018: Similar to FY17.

REGULATIONS, GUIDELINES, AND LITERATURE:

NHI Training Program: 23 CFR, "Highways." DDETFP: The first guidelines for the DDETFP were issued in August 1992. National guidelines are reissued annually in the program announcement by the University and Grants Programs, Technology Partnership Programs, Office of Technical Services. TEDP, LTAP/TTAP Garrett Morgan Program requirements provided in statute and as part of grant application. NHI, LTAP, DDETFP, TEDP, Garrett Morgan programs described in FHWA literature and websites.

Regional or Local Office:

See Regional Agency Offices. LTAP Program supported through oversight of state DOT LTAP Agreements by Federal Highway Administration Division Offices.

DDETFP, TEDP, GAMTTEP program oversight through the FHWA Center for Transportation Workforce Development and Office of Acquisitions. For DDETFP, TEDP, GAMTTEP.

Headquarters Office:

Virginia Tsu 1310 N. Courthouse Rd, Suite 300, Arlington, Virginia 22201
Phone: (703) 235-1263.

Website Address:

https://www.fhwa.dot.gov/innovativeprograms/centers/workforce_dev/professionals.aspx

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The DDETFP provided 210 Fellowships. The GAMTTEP Clearinghouse was established. NHI, LTAP, Eisenhower programs are expected to continue with similar success and contribution. Garrett Morgan Program will operate a GAMTTEP Clearinghouse to promote successful programs/practices related to transportation in the STEM disciplines at the K-12 grade levels; TEDP supported operation of the five Regional Surface Transportation Workforce Centers to identify and assist in implementing successful transportation education and workforce programs. Fiscal Year 2017: DDETFP will provide approximately 200 fellowships in eight categories. TEDP, through the Region Workforce Centers, is identifying career pathways in five key disciplines of planning, environment, safety, operations, and engineering at the technical school, community college, and university levels. The GAMTTEP Clearinghouse is working to market the Clearinghouse services to the education community. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

National Highway Institute: Proposals are selected based on quality of technical proposal, past performance and budget plan. LTAP: Ability to staff, develop and deliver an effective training and professional development program for local agencies; TTAP: Ability to staff, develop and deliver an effective training and professional development program for tribal governments. DDETFP: fellowships are awarded on competition on the basis of demonstrated merit, including pursuit of transportation related careers, academic credentials, academic recommendations, and study proposals. TEDP: Selections through competition based on quality of proposals and ability to develop and/or delivery innovative workforce development programs at all levels of transportation. GAMTTEP: Selections through competitions based on quality of proposals relative to innovative transportation education programs for underrepresented groups at K-12 grade levels and to meet program objectives.

20.218 MOTOR CARRIER SAFETY ASSISTANCE

(MCSAP)

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Fixing Americas Surface Transportation (FAST) Act, 49 U.S.C. 31102(a)-(k), 31104 (2016), as amended, Pub. L. No. 114-94, 5101(a) and 5101(c) (2015) and 49 CFR 31102(a)-(k) & 31104, Public Law 114-94, 49 U.S.C 31102 (a) (k), as amended by See also 49 CFR part 350, as amended. States agree to adopt and enforce 49 CFR parts 390-397 and 107 (subparts F and G only), 171173, 177, 178 & 180.

OBJECTIVES:

The Motor Carrier Safety Assistance Program (MCSAP) is a Federal formula grant program that provides financial assistance to States to reduce the number and severity of crashes and hazardous materials incidents involving commercial motor vehicles (CMV). The goal of the MCSAP is to reduce CMV-involved crashes, fatalities, and injuries through consistent, uniform, and effective CMV safety programs. Investing grant monies in appropriate safety programs will increase the likelihood that safety defects, driver deficiencies, and unsafe motor carrier practices will be detected and corrected before they become contributing factors to crashes.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The primary MCSAP activities eligible for reimbursement include the National Program Elements currently outlined in 49 CFR 350.109:

1. Driver and Vehicle Inspections;
2. Traffic Enforcement;
3. Compliance Reviews, Carrier Interventions, Investigations, and New Entrant

- Safety Audits;
4. Public Education and Awareness;
5. Data Collection

Part 350 is currently being revised to include changes required by the FAST Act. Additional elements will include:
1. New entrant safety audits,
2. Border enforcement activities;
3. Data Quality;
4. PRISM;
5. ITD (operations and maintenance only)

Other activities eligible for reimbursement to enforce other laws include:
Sanitary food transportation inspections performed under 49 U.S.C. 5701; and

The following activities, when carried out in conjunction with an appropriate North American Standard (NAS) inspection of a CMV and inspection report:

Enforcement of CMV size and weight limitations at locations, excluding fixed-weight facilities, such as near steep grades or mountainous terrains, where the weight of a CMV can significantly affect the safe operation of the vehicle, or at ports where intermodal shipping containers enter and leave the United States.

Detection of and enforcement actions taken as a result of criminal activity; including trafficking of human beings, in a CMV or by any occupant, including the operator, of the CMV.

For documented enforcement of State traffic laws and regulations designed to promote the safe operation of CMVs. This includes documented enforcement of such laws and regulations relating to non-CMV when necessary to promote the safe operation of CMVs, if (1) the number of motor carrier safety activities (including roadside safety inspections) conducted in the State is maintained at a level at least equal to the average level of such activities conducted in the State in fiscal years 2004 and 2005; and 2) A State may not use more than 10% of the amount of MCSAP Basic funds the State receives for enforcement activities relating to non-CMV necessary to promote the safe operation of CMVs unless the FMCSA Administrator determines that a higher percentage will result in significant increases in CMV safety.

Applicant Eligibility:

All States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, and the U.S. Virgin Islands, are eligible for MCSAP. The MCSAP grants are provided annually to the States MCSAP lead agency. A MCSAP lead agency is designated by the Governor as the State motor vehicle safety agency responsible for administering the Commercial Vehicle Safety Plan (CVSP) within the State. The CVSP is also known in statute and regulation as the Plan and serves as the MCSAP grant program application, project plan, and budget.

Beneficiary Eligibility:

Under the Basic and Incentive grant programs, a State lead MCSAP agency, as designated by its Governor, is eligible to apply for Basic and Incentive grant funding by submitting a commercial vehicle safety plan (CVSP), in accordance with the provisions of Title 49 of the Code of Federal Regulations (CFR) Part 350.201 and 205.

Credentials/Documentation:

A State lead MCSAP agency, as designated by its Governor, must self-certify that it will meet the conditions in 49 CFR Part 350.201. These conditions can be found on the FMCSA website at: www.fmcsa.dot.gov/regulations/title49/section/350.201. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must register with Grants.gov to apply for funding. Applications should be submitted in accordance with the instructions provided and must be submitted electronically to FMCSA through the Grants.gov website.

As stated above, States must also complete and submit a CVSP to FMCSA for review and assessment.

Award Procedure:

90-120 days of the submission deadline (subject to availability of funds) or as soon thereafter as administratively practicable.

Deadlines:

Aug 16, 2017 to Sep 30, 2017 The deadline for MCSAP applications is typically August 1 of each year; however, this deadline has been extended to August 16th for the submission of FY 2018 MCSAP application materials. The deadline may, for good cause, be extended by the Division Administrator/State Director for no more than 30 calendar days.

Range of Approval/Disapproval Time:

From 30 to 60 days. The FMCSA will notify the State, in writing, within 30 days of receipt of the Commercial Vehicle Safety Plan (CVSP) whether: (1) the plan is approved; (2) Approval of the plan is withheld because the CVSP does not meet the requirements, or is not adequate to ensure effective enforcement of the FMCSRs and HMRs or compatible State laws and regulations, (a) If approval is withheld, the State will have 30 days from the date of the notice to modify and resubmit the plan, or (b) disapproval of a resubmitted plan is final.

Appeals:

From 30 to 60 days. In accordance with the provisions set forth in 49 CFR Part 350.207, any State aggrieved by an adverse decision may seek judicial review under 5 U.S.C. Chapter 7.

Renewals:

Renewals to standard assistance agreements, if any, will be in the form of a new grant with a new grant number.

Formula and Matching Requirements:

Statutory Formula: Public Law 49 U.S.C. 31102(a)-(k), 31104 (2016), as amended. See 49 CFR part 350, as amended. States agree to adopt and enforce 49 CFR parts 390-397 and 107 (subparts F and G only), 171173, 177, 178 & 180. The MCSAP formula is described in 49 CFR 350.323 and is based on the most current approved statistics available. Most funds will be allocated each year among the States according to a formula based on four equally weighted (25 percent) factors: (1) road miles (all highways) as defined by the U.S. Department of Transportation's Federal Highway Administration (FHWA); (2) All vehicle miles traveled as defined by the FHWA; (3) Population - annual census estimates as issued by the U. S. Census Bureau; and (4) special fuel consumption (net after reciprocity adjustment) as defined by the FHWA.

The FAST Act also required that FMCSA use an interim calculation until an updated allocation formula is created and approved. This interim formula requires that FMCSA add the three-year average (2012 through 2015) of New Entrant and Border Enforcement grant awards for each state to the Basic and Incentive calculation prescribed in 49 CFR Part 350.

Matching Requirements: Percent: 15%. 85 Federal, 15 State. The FMCSA has waived the requirement for matching funds to be provided by the U.S. Virgin Islands, American Samoa, Guam, and the Commonwealth of the Northern Marianas.

This program has MOE requirements, see funding agency for further details. The State must maintain the average level of expenditure of the State lead agency (not including amounts of the Government or State matching funds) for commercial motor vehicle safety programs, for enforcement of commercial motor vehicle size and weight limitations, drug interdiction, and State traffic safety laws and regulations for the fiscal years 2004 and 2005. This maintenance of effort requirement (MOE) is substantiated by the State on an annual basis.

Length and Time Phasing of Assistance:

The funds obligated to a State will remain available for the rest of the fiscal year in which they were obligated and the next full fiscal year. The State must account for any prior year's unexpended funds in the annual Commercial

Vehicle Safety Plan (CVSP). Funds must be expended in the order in which they are obligated. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly progress reports showing both financial status and project accomplishments are required. No cash reports are required. Quarterly reports, showing expenditures, requests for reimbursement, and project progress, are required. An SF-425 must accompany each quarterly report or request for reimbursement. Routine monitoring includes regular communication with borrowers regarding the status of projects and reviewing periodic reports that borrowers are required to submit. Scheduled monitoring is an annual scheduled activity that includes a desk review and for active projects, a site visit. No cash reports are required. A quarterly Activity Report is to be filed with the FMCSA Division Office. SF-425 -- quarterly. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See www.grants.gov for individual award announcements.

Records:

During the course of the grant project and for three years after the final voucher (invoice) is submitted, grant recipients must retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to the project as FMCSA may require in addition to the reporting and record-keeping requirements set forth in 2 C.F.R. 200.333.

Account Identification:

69-8159-0-7-401.

Obligations:

(Salaries) FY 16 \$168,275,000; FY 17 est \$288,211,000; and FY 18 est \$294,416,500

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-8158.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Within FY 2016 the following national safety elements were reached:

3,294,578 CMV safety inspections conducted;
412,487 CMV traffic violations identified;
35,769 safety audits of new motor carriers conducted;
6,269 compliance investigations of motor carriers initiated, and
878,919 out of service safety violations identified.

The above accomplishments were achieved by the 55 States and U.S. Territories that were recipients of MCSAP funds within FY 2016. These efforts provided the majority of national CMV and passenger carrier safety enforcement supported through FMCSA. Fiscal Year 2017: Within the current fiscal (FY 2017), over 2 million CMV inspections have been conducted under the auspices of this program, leading to safer highways with over 500,000 out of service (OOS) violations issued. This data also feeds FMCSAs various safety data systems, allowing the Agency and its State partners to direct enforcement resources as necessary. In addition, more than 21,000 Safety Audits and over 4,000 State Compliance Reviews have been conducted on carriers to ensure greater compliance with FMCSRs. Fiscal Year 2018: In FY 2018, the FMCSA anticipates awarding funds totaling approximately \$294 million, dependent upon appropriation levels and availability of funds. This total authorization is an increase compared to FY 2017 funding (as outlined in

the FAST Act) due to increased program requirements and eligible activities on the part of grantees now allowed under the Act. Allocation amounts by State are determined by the final formula calculations as described previously.

Information about the changes in the program can be found within the FMCSA Motor Carrier Safety Assistance Program Comprehensive Policy located on the FMCSA website <https://www.fmcsa.dot.gov/mission/grants/grantee-resources>. Overall program accomplishments (CMV inspections, reviews, audits, etc.) are expected to increase in FY 2018 by 3%, dependent upon appropriated funding levels.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 formerly OMB Circulars 2 CFR 215, A-122, A-133, A-110 and A-21, Regulations, Executive Orders, and related policy are on the public website at: www.fmcsa.dot.gov. A solicitation package (notice of funding availability) containing the appropriate forms and directions are made available through www.grants.gov.

Regional or Local Office:

See Regional Agency Offices. Contact your regional/local office at: <https://www.fmcsa.dot.gov/mission/field-offices>.

Headquarters Office:

FMCSA Grants Management Office 1200 New Jersey Ave., SE, Washington, District of Columbia 20590 Email: FMCSA_GrantMgmtHelpDesk@dot.gov Phone: (202) 366-0621

Website Address:

<https://www.fmcsa.dot.gov/mission/grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Within FY 2016 the following national safety elements were reached:

3,294,578 CMV safety inspections conducted;
412,487 CMV traffic violations identified;
35,769 safety audits of new motor carriers conducted;
6,269 compliance investigations of motor carriers initiated, and
878,919 out of service safety violations identified.

The above accomplishments were achieved by the 55 States and U.S. Territories that were recipients of MCSAP funds within FY 2016. These efforts provided the majority of national CMV and passenger carrier safety enforcement supported through FMCSA. Fiscal Year 2017: Within the current fiscal (FY 2017), the following national program elements have been supported through the MCSAP grant:

2,065,980 CMV safety inspections conducted;
253,782 CMV traffic violations identified;
21,544 safety audits of new motor carriers conducted;
4,025 compliance investigations of motor carriers initiated, and
553,738 out of service safety violations identified.

The data obtained from these safety interventions are uploaded and maintained within FMCSAs safety data information technology systems, which are accessed by Federal, State and local law enforcement organizations responsible for highway safety. This allows both Federal and State entities to direct enforcement resources as necessary. Funded projects will also include safety data improvement, maintenance of deployed innovative technology projects, and participation in the Performance and Registration Information Systems (PRISM) program. Fiscal Year 2018: Funded.

CRITERIA FOR SELECTING PROPOSALS:

In accordance with 49 U.S.C. 31102(i) and grant/financial management requirements in 2 CFR part 200, each CVSP receives a fair, equitable and objective review prior to award approval. This review ensures that applicable statutory and regulatory requirements will be met and allowable CVSP projects and activities will succeed. The CVSP review process generally consists of a review in the following areas: 1) Application Review. The FMCSA reviews the CVSP and all supplemental attachments (e.g., forms and certifications) for

completeness and to ensure that the MCSAP lead agency meets the basic eligibility requirements defined in the solicitation (notice of funding availability); 2) Programmatic Review. The FMCSA reviews the CVSP to make sure that the information presented is reasonable and understandable and the activities proposed in the application are measurable, achievable, and consistent with program or legislative requirements. The FMCSA evaluates the CVSP against the performance-based information required in accordance with 49 CFR 350.213.; 3) Financial Review. The FMCSA evaluates the fiscal integrity and financial capability of a MCSAP lead agency, and reviews the CVSP details, including the budget and budget narrative, and any other documentation to examine costs for proposed project/program activities to determine if they appear reasonable, necessary, eligible and allowable for award. Note that approval of the CVSP is not a final approval of costs as defined in accordance with 2 CFR part 200 Subpart E (Cost Principles); and 4) Suitability Review in accordance with 2 CFR 200.205 is discussed in more detail in Chapter 7.3.

20.219 RECREATIONAL TRAILS PROGRAM

FEDERAL AGENCY:

Federal Highway Administration (FHWA), Department of Transportation

AUTHORIZATION:

Fixing Americas Surface Transportation (FAST) Act. , Public Law 114-94, 23 U.S.C 104, 133, 206.

OBJECTIVES:

To provide funds to the States to develop and maintain recreational trails and trail-related facilities for both nonmotorized and motorized recreational trail uses. The funds represent a portion of the motor fuel excise tax collected from nonhighway recreational fuel use.

TYPES OF ASSISTANCE:

Formula Grants; Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

Funds from this program may be used for: (1) Maintenance and restoration of existing trails; (2) development and rehabilitation of trailside and trailhead facilities and trail linkages; (3) purchase and lease of trail construction and maintenance equipment; (4) construction of new trails (with restrictions for new trails on Federal lands); (5) acquisition of easements or property for trails or trail corridors; (6) assessment of trail conditions for accessibility and maintenance; (7) development and dissemination of publications and operation of educational programs to promote safety and environmental protection (as those objectives relate to one or more of the use of recreational trails, supporting non-law enforcement trail safety and trail use monitoring patrol programs, and providing trail-related training), (limited to 5 percent of a State's funds); and (8) State administrative costs related to this program (limited to 7 percent of a State's funds). Funds may not be used for: property condemnation; constructing new trails for motorized use on National Forest or Bureau of Land Management lands unless the project is consistent with resource management plans; or facilitating motorized access on otherwise nonmotorized trails. The USDOT encourages States to enter into contracts and cooperative agreements with qualified youth conservation or service corps to perform construction and maintenance of recreational trails under this program.

Note: States must return 1 percent of the funds to the FHWA for administrative, research, technical assistance, and training expenses for the program. The FHWA may enter into contracts with for-profit organizations or contracts, partnerships, or cooperative agreements with other government agencies, institutions of higher learning, or nonprofit organizations to perform these tasks. Funds from this program may be used for: (1) Maintenance and restoration of existing trails; (2) development and rehabilitation of trailside and trailhead facilities and trail linkages; (3) purchase and lease of trail construction and maintenance equipment; (4) construction of new trails (with restrictions for new trails on Federal lands); (5) acquisition of easements or property for trails or trail corridors; (6) assessment of trail conditions for accessibility and maintenance; (7) development and dissemination of publications and operation of educational programs to promote safety and environmental protection (as those objectives relate to one or more of the use of recreational trails,

supporting non-law enforcement trail safety and trail use monitoring patrol programs, and providing trail-related training), (limited to 5 percent of a State's funds); and (8) State administrative costs related to this program (limited to 7 percent of a State's funds). States must use 40 percent of their funds for diverse recreational trail use, 30 percent for motorized use, and 30 percent for non-motorized use (Connecticut, Delaware, District of Columbia, and Rhode Island have a small State exception).

Note: States must return 1 percent of the funds to the FHWA for administrative, research, technical assistance, and training expenses for the program. The FHWA may enter into contracts with for-profit organizations or contracts, partnerships, or cooperative agreements with other government agencies, institutions of higher learning, or nonprofit organizations to perform these tasks.

Applicant Eligibility:

The FHWA may enter into contracts with for-profit organizations or contracts, partnerships, or cooperative agreements with other government agencies, institutions of higher learning, or nonprofit organizations using its administrative funds.

For funds available to the States: the Governor of each State must designate the State agency or agencies responsible for administering this program. The State must have a State recreational trail advisory committee that represents both motorized and nonmotorized recreational trail users, which shall meet not less than once per fiscal year. If the State Recreational Trail Advisory Committee does not meet in a fiscal year, or does not have required representation, the State becomes ineligible for an apportionment. The State agency may accept project proposals from private organizations, or from municipal, county, State, or Federal government entities, and other government entities. The projects must satisfy one or more of the permissible uses. States may provide sub-grants to Federal, State, and local government entities and to private entities, at the discretion of the State.

Beneficiary Eligibility:

The FHWA may enter into contracts with for-profit organizations or contracts, partnerships, or cooperative agreements with other government agencies, institutions of higher learning, or nonprofit organizations using its administrative funds.

The State agency designated by the Governor. A State may opt out of the Recreational Trails Program if the Governor of the State notifies the Secretary not later than 30 days prior to apportionments being made for any fiscal year.

Credentials/Documentation:

Allowable costs will be determined in accordance with applicable cost principles listed in 2 CFR Part 200 for the kind of organization receiving the grant or sub-grant. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Most Recreational Trails Program (RTP) projects qualify as Categorical Exclusions under the National Environmental Policy Act (NEPA). States may use programmatic agreements to establish streamlined processes for handling routine environmental requirements for commonly encountered project types. Some projects may require Environmental Assessments. Projects that may have a significant environmental impact may require Environmental Impact Statements. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For funds available to the States: The States must submit project proposals to the FHWA division office located in each State for approval. The State may submit individual projects or consolidate similar projects for the purposes of program approval. FHWA approval constitutes a

commitment to pay the Federal share of the project's cost. The State's projects also must be included in State transportation improvement programs and applicable metropolitan transportation improvement programs.

For FHWA's administrative, research, technical assistance, and training expenses, FHWA may enter into contracts with for-profit organizations or contracts, partnerships, or cooperative agreements with other government agencies, institutions of higher learning, or nonprofit organizations to perform these tasks. Contracts are announced through www.fbo.gov. Cooperative agreements are announced through www.grants.gov.

Award Procedure:

For funds available to the States: The State agency or agencies designated by the Governors decide which projects will be developed within funding levels, but the FHWA division office located in each State makes the final decision on the eligibility of specific projects for funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 5 days to 5 months. In some States, requirements for projects to be listed in statewide or metropolitan transportation improvement programs may add up to a year of delay.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23 U.S.C., Part 133(h), 206, and former 213(f) and (g) , Public Law 114-94. 133(h), 206, and former 213(f) and (g). See

http://www.fhwa.dot.gov/environment/recreational_trails/legislation/.

Matching Requirements: Percent: 80%. The Federal share is subject to a sliding scale under 23 U.S.C. 120(b). Funds from any other Federal program may be used for the non-Federal match if the project also is eligible under the other program. Recreational Trails Program funds may be used to match other Federal funds if the project also is eligible under the other program. States also may allow a programmatic match: if some project sponsors in a State provide more match funds than required, other sponsors in the State may provide less. Some in-kind materials and services may be credited toward the project match. States may allow some pre-approval planning and environmental assessment costs toward the project match. See

http://www.fhwa.dot.gov/environment/recreational_trails/guidance/matchingfunds.cfm.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds generally become available at the beginning of the fiscal year for which they are authorized, and must be obligated within 3 years after the close of that fiscal year. See the following for information on how assistance is awarded/released: The method of money release varies case by case.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Project records and documents must be retained by the State for 3 years following the final submission for Federal payment in accordance with 2 CFR 200.

Account Identification:

69-8083-0-7-401.

Obligations:

(Formula Grants (Apportionments)) FY 16 \$69,579,184; FY 17 est \$63,828,792; and FY 18 est \$63,828,792 - The Recreational Trails Program has continued as a set-aside of funds under the Transportation Alternatives Program (20.205) at up to \$84,160,000 per year. A State may choose to opt out of the program in a fiscal year: Connecticut opted out for FY 2016. Remaining unobligated balances from prior years may be available. Note: States must return 1 percent of the funds to the FHWA for administrative, research, technical assistance, and training expenses for the program. The FHWA may enter into contracts with for-profit organizations or contracts, partnerships, or cooperative agreements with other government agencies, institutions of higher learning, or nonprofit organizations to perform these tasks.

Range and Average of Financial Assistance:

Apportionments to the States are based on statutory formula. All 50 States and the District of Columbia are eligible to receive apportionments. For FY 2016: \$83,318,400 was potentially available (after the 1% return); \$82,365,802 was apportioned (Connecticut opted out for FY 2016). Awards ranged from \$816,847 to \$5,698,627; the average was \$1,647,316.

TAFS Codes:

69-8083.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: States obligated about \$69 million for about 1,000 projects. RTP-funded projects provide accessibility improvements, access to health and fitness, active transportation for safe and livable communities, youth employment, economic stimulus, and habitat conservation. Examples of RTP-funded projects include trails, trail bridges, and trail facilities for uses including hiking, bicycling, in-line skating, equestrian use, cross-country skiing, snowmobiling, off-road motorcycling, all-terrain vehicle riding, four-wheel driving, or using other off-road motorized vehicles. Fiscal Year 2017: States will obligate about \$75-\$85 million for about 1,200 projects. RTP-funded projects provide accessibility improvements, access to health and fitness, active transportation for safe and livable communities, youth employment, economic stimulus, and habitat conservation. Examples of RTP-funded projects include trails, trail bridges, and trail facilities for uses including hiking, bicycling, in-line skating, equestrian use, cross-country skiing, snowmobiling, off-road motorcycling, all-terrain vehicle riding, four-wheel driving, or using other off-road motorized vehicles. Fiscal Year 2018: States will obligate about \$75-\$85 million for about 1,200 projects. RTP-funded projects provide accessibility improvements, access to health and fitness, active transportation for safe and livable communities, youth employment, economic stimulus, and habitat conservation. Examples of RTP-funded projects include trails, trail bridges, and trail facilities for uses including hiking, bicycling, in-line skating, equestrian use, cross-country skiing, snowmobiling, off-road motorcycling, all-terrain vehicle riding, four-wheel driving, or using other off-road motorized vehicles.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR, Highways and 49 CFR, Transportation. There are no regulations specifically for the Recreational Trails Program. Program guidance was completed on April 1, 1999; and is posted at <http://www.fhwa.dot.gov/environment/recrails/guidance.htm> along with program updates. Program guidance under the FAST Act is posted at http://www.fhwa.dot.gov/environment/transportation_alternatives/.

Regional or Local Office:

See Regional Agency Offices. State-level offices of the Federal Highway Administration (as listed in Appendix IV of the Catalog) or the State agency designated by the Governor to administer this program.

Headquarters Office:

Christopher B. Douwes 1200 New Jersey Ave S.E., Washington, District of Columbia 20590 Email: christopher.douwes@dot.gov Phone: (202) 366-5013 Fax: (202) 366-3409

Website Address:

http://www.fhwa.dot.gov/environment/recreational_trails/

RELATED PROGRAMS:

20.205 Highway Planning and Construction

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: States obligated \$69 million for about 1,000 projects. RTP-funded projects provide accessibility improvements, access to health and fitness, active transportation for safe and livable communities, youth employment, economic stimulus, and habitat conservation. Examples of RTP-funded projects include trails, trail bridges, and trail facilities for uses including hiking, bicycling, in-line skating, equestrian use, cross-country skiing, snowmobiling, off-road motorcycling, all-terrain vehicle riding, four-wheel driving, or using other off-road motorized vehicles. See the Recreational Trails Program Annual Reports at https://www.fhwa.dot.gov/environment/recreational_trails/. States obligate funds for similar projects each year. Fiscal Year 2017: Similar projects will be completed as noted in FY16. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Each State (and the District of Columbia) solicits and selects projects for funding. To be eligible, projects must be selected on the basis of State priorities within the limit of the funds apportioned to each State.

20.223 TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION ACT (TIFIA) PROGRAM

TIFIA Credit Program

FEDERAL AGENCY:

Federal Highway Administration (FHWA), Department of Transportation

AUTHORIZATION:

Fixing Americas Surface Transportation (FAST) Act, Public Law 112-141, 23 U.S.C 601-609.

OBJECTIVES:

To finance projects of national or regional significance by filling market gaps and leveraging substantial non-Federal and private co-investment. TIFIA credit assistance is intended to facilitate the financing of projects that would otherwise have been significantly delayed because of funding limitations or difficulties accessing the capital markets. Through TIFIA, the DOT provides Federal credit assistance to eligible highway, transit, rail, and intermodal freight projects, including access to seaports.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Eligible projects include highway, transit, passenger rail, intercity bus vehicles and facilities, certain port facilities, surface transportation facilities at airports, rural infrastructure projects, transit oriented development projects (TOD), and any other type of project that is eligible for grant assistance under title 23 or Chapter 53 of Title 49 of the United States Code. Additionally, under the recently enacted Fixing Americas Surface Transportation (FAST) Act, TIFIA credit assistance may be used to capitalize State Infrastructure Banks (SIBs) to fund rural infrastructure projects.

Applicant Eligibility:

Public or private entities seeking to finance, design, construct, own, or operate an eligible surface transportation project may apply for TIFIA assistance. Examples of such entities include state departments of transportation; local governments; transit agencies; special authorities; special districts; railroad companies; and private firms or consortia that may include companies specializing in engineering, construction, materials, and/or the operation of transportation facilities.

Beneficiary Eligibility:

Public or private entities seeking to finance, design, construct, own, or operate an eligible surface transportation project. Examples include state departments of transportation; local governments; transit agencies; special authorities; special districts; railroad companies; and private firms or consortia that may include companies specializing in engineering, construction, materials, and/or the operation of transportation facilities.

Credentials/Documentation:

All applicants must demonstrate relevant experience, strong qualifications, a sound project approach, and a project that can demonstrate financial feasibility. Applicants also must meet various Federal standards for participation in a

Federal credit program. For example, applicant may not be delinquent or in default on any Federal debts. Such requirements will be specified in the contractual documents between the DOT and each applicant. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

For more information, please visit the TIFIA website at <http://www.transportation.gov/tifia>. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Upon receiving the DOT's notification that the project meets the basic eligibility criteria, the applicant may submit an application. An application fee must be submitted with the proposal. The application form is available on the TIFIA web site at <http://www.transportation.gov/tifia>.

Award Procedure:

Final approval is granted by the Secretary of Transportation.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

After a complete application has been filed with all supporting documents, processing time is approximately 90 days. The average time to execute a TIFIA agreement from the date of Secretarial approval is 1 to 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum maturity of all TIFIA credit instruments is 35 years after a project's substantial completion. See the following for information on how assistance is awarded/released: Lump sum award but actual disbursements fluctuates based on expenditures of the project.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See Index of Current Regulations, Federal Highway Administration.

Records:

Documentary evidence that may be requested for each project includes: audited financial statements, updated budget and cash flow projections, audit reports, sources and uses of funds, coverage ratios, project schedules, operating statistics, and management updates (within no more than 180 days following the recipient's fiscal year-end). In addition, the credit agreement obligates the borrower to provide the DOT an annual update to the project's financial plan in accordance with specified requirements. Each borrower will be required to give notice to the DOT of material events, including litigation, which could affect project development or the credit quality of the project.

Account Identification:

69-4123-0-3-401; 69-4173-0-3-401; 69-4145-0-3-401.

Obligations:

(Salaries) FY 16 \$2,180,000,000; FY 17 est \$3,982,000,000; and FY 18 est \$3,736,000,000 - Part of this funding is used for salaries and expenses, but

these obligation totals are not just for salaries.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-4123; 69-4145; 69-4173.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, the Department closed six TIFIA loans totaling 2.2 billion in transportation infrastructure investments. Examples of projects closed in FY 2016, are the I-93 project, the U.S 301 project, and the CTA Rail Cars project. Fiscal Year 2017: As of June 26, 2017, the Department has closed seven TIFIA loans totaling 1.9 billion in transportation infrastructure investments and is in position to close additional loans by the end of the fiscal year. The seven loans that have closed are Chesapeake Bay Bridge and Tunnel, IH-35 E, West Side Purple Line Section II, Sound Transits Northgate project, Monroe Expressway project, C-470 Express Lanes, and the BelRed Street Network project. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

See TIFIA website at <http://www.transportation.gov/tifia/legislation-regulations>.

Regional or Local Office:

None.

Headquarters Office:

Duane Callender 1200 New Jersey Ave S.E., Room E, Washington, District of Columbia 20590 Phone: (202) 366-1059

Website Address:

<https://www.transportation.gov/buildamerica/programs-services/tifia>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In November of 2015, a \$282 million TIFIA loan was approved for the I83-S. Bergstrom Expressway Project. The project is 8 miles tolled freeway located in the City of Austin in Travis County along the existing I83 corridor. The project aims to tolled seven miles out of the total 8 miles project.

In December of 2015, a \$211 million TIFIA loan was approved for the new U.S 301 project. The new U.S 301 is a 13 mile long four lane access-controlled tolled highway in New Castle County, Delaware. The project extends the existing U.S 301 to the SR 1, a major north-south expressway from Dover, DE to I-95.

In March of 2016, a \$255 million TIFIA loan was approved for the CTA Rail Fleet Replacement Project. The Chicago Transit Authority, the nations second largest transit agency, seeks to replace its aging fleet of rail cars.

In April of 2016, a \$357 million TIFIA loan was approved for the U.S 288 Project. The U.S 288 Project is located in the Houston Metropolitan area in Harris County, Texas. The project is a nine mile reversible toll lanes beginning at the U.S 59 and aims to improve mobility in the region. Fiscal Year 2017: In November of 2016, a \$385 million TIFIA loan was approved for the IH-35 E project. The Project is the initial phase in a multi-phase project to reconstruct and expand a 28-mile section of IH35E between IH635 to US380 in Texas.

In November of 2016, a \$339 million TIFIA loan was approved for the Chesapeake Bay Bridge project. The project is located in Norfolk/Virginia Beach and connects the area to Virginias Eastern Shore. The project is 17.6 mile toll Tunnel.

In December of 2016, a \$307 million TIFIA loan was approved for the West Side Purple Line project. The Project entails the construction of the second section of a three-section, 9-mile underground heavy rail line that will extend the existing Metro Purple line along Wilshire Boulevard to a new western terminus in West Los Angeles near the Veterans Affairs Hospital in Westwood. Section 2 extends the line another 2.6 miles through the cities of Los Angeles

and Beverly Hills and includes two new stations and the procurement of 20 heavy rail cars.

In December of 2016, a \$615 million TIFIA loan was approved for the Northgate project. The Project expands the Borrowers existing transit system in the Seattle, Washington, area, by adding 4.3 miles of light rail, running mostly underground, and three stations at Northgate Transit Center, Roosevelt neighborhood, and the University District near the west side of the University of Washington Campus.

In February of 2017, a \$166 million TIFIA loan was approved for the Monroe Expressway project. The Monroe Expressway Project is a new, four-lane tolled expressway that will extend nearly 20 miles from US 74 near I-485 in Mecklenburg County to US 74 between the towns of Wingate and Marshville in Union County, North Carolina.

In June of 2017, a \$107 million TIFIA loan was approved for the C-470 Express Lanes project. The project is a 26-mile highway that runs along the southern and western part of the Denver metropolitan area, linking the intersection of I-25 and E-470 in the east, to the intersection of US-36 and I-70 in the west. The project is intended to deliver additional capacity along this congested corridor by adding express tolled lanes (ETLs) and improving road layout over approximately 11.2 miles of the highway.

In June of 2017, a \$100 million TIFIA loan was approved for the BelRed Street Network project. The City of Bellevue, Washington is developing the BelRed Street Network (Project), a combination of 12 multimodal roadways, to support the new BelRed neighborhood in the heart of the City. The Project, along with the introduction of East Link light rail by Sound Transit, is intended to provide a catalyst for redevelopment of the BelRed neighborhood to be connected, multi-modal, pedestrian-friendly, and transit-oriented. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

DOT reviews the Letters of Interest on a first come, first serve basis. Under MAP-21, DOT has been given the direction to focus on creditworthiness. Projects are also review to ensure they meet the eligibility requirements under related statutes.

20.224 FEDERAL LANDS ACCESS PROGRAM

Access Program or FLAP

FEDERAL AGENCY:

Federal Highway Administration (FHWA), Department of Transportation

AUTHORIZATION:

Fixing Americas Surface Transportation Act (FAST), Public Law 114-94, 23 U.S.C 204; Section 1119 of the Moving Ahead for Progress in the 21st Century Act (MAP-21) , Public Law 112-141, 23 U.S.C 204.

OBJECTIVES:

The goal of the Federal Lands Access Program is to improve transportation facilities that provide access to, are adjacent to, or are located within Federal lands. The Access Program supplements State and local resources for public roads, transit systems, and other transportation facilities, with an emphasis on high-use recreation sites and economic generators. The program is designed to provide flexibility for a wide range of transportation projects in the 50 States, the District of Columbia, and Puerto Rico. A Programming Decisions Committee (PDC) within each State will make programming decisions and should develop a multi-year program of projects.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

Funds made available under the Access Program shall be used to pay the cost of:

- A. transportation planning, research, engineering, preventive maintenance, rehabilitation, restoration, construction, and reconstruction of Federal Lands Access Transportation Facilities located on or adjacent to, or that provide access to, Federal land, and:
 - i. adjacent vehicular parking areas;
 - ii. acquisition of necessary scenic easements and scenic or historic sites;
 - iii. provisions for pedestrians and bicycles;

iv. environmental mitigation in or adjacent to Federal land to improve public safety and reduce vehicle-caused wildlife mortality while maintaining habitat connectivity;

v. construction and reconstruction of roadside rest areas, including sanitary and water facilities; and

vi. other appropriate public road facilities, as determined by the Secretary;

B. operation and maintenance of transit facilities; and

C. any transportation project eligible for assistance under title 23 that is within or adjacent to, or that provides access to, Federal land.

In regard to (B) above, "operation and maintenance of transit facilities" includes the operation of all components of a transit system, including vehicles.

The eligibility under (C) above includes transit capital projects eligible under Chapter 53 of title 49, United States Code, that are also eligible under title 23 and that are within or adjacent to, or that provides access to, Federal lands open to the public. By law, these funds are to be used on publicly owned or maintained transportation facilities open to the public.

Applicant Eligibility:

Only the owner of the affected transportation asset or assets may submit an application.

Beneficiary Eligibility:

State transportation departments, other State agencies, local agencies, and Federal agencies.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. As required by law, a programming decisions committee (PDC) is responsible for the Access Program programming decisions in each State and must be comprised of a representative of:

The Federal Highway Administration (FHWA);

The State Department of Transportation; and

An appropriate political subdivision of the State.

The representative for local governments should be from an organization or entity that is suited to represent the local entities responsible for building, operating, or maintaining transportation facilities that are located on, are adjacent to, or provide access to Federal lands. The local representative should work cooperatively with representatives of local public transportation providers that provide access to or within Federal recreation areas within the State.

Depending on State law, such entities could include the Association of County Engineers or Officials in many States. In States with only one or two Federal facilities, the local interests may best be represented by the Metropolitan or Regional Transportation Planning Organization, whose jurisdiction includes the Federal area. In other States where the predominant Federal facility is adjacent to a gateway community, the municipality or other local entity may be the appropriate representative. Regardless, the local representative should represent local interests for access to Federal lands statewide. The local representative may not be affiliated with or work for the Executive Branch of the Federal or State government. The FHWA will work with the State DOT to identify an appropriate local representative.

The PDC in each State should develop Standard Operating Procedures (SOP)

that may be part of a Statewide Program Agreement.

Award Procedure:

PDCs decide which projects will be developed within available funding. Award procedures will follow the procedures developed by the delivery agency, as long as they are consistent with federal law. Projects administered by the FHWA Office of Federal Lands Highways or the FLMA are subject to procurement processes identified in the Federal Acquisition Regulations. Project awards are subject to the availability of funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23 USC, Part 204(b).

Matching Requirements: Percent: 20%. Typically, 80% of costs are federally funded with a 20% matching requirement. See 23 USC 120.

Exceptions apply; contact FHWA for specific information.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The funds made available under this program will be available for the current year plus three additional years. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Project records and documents must be retained by the State or other governmental recipients/ sub-recipients as specified in 2 CFR 200.333. For the Federal Lands Highway Program, the Federal Lands Highway Divisions maintain the project records.

Account Identification:

69-8083-0-7-401.

Obligations:

(Formula Grants) FY 16 \$232,009,953; FY 17 est \$236,640,000; and FY 18 est \$247,000,000 - For Access Program Funding Amounts by State visit:

<https://flh.fhwa.dot.gov/programs/flap/>. Under the Access Program resources section, there is a worksheet with the funding distribution amounts by fiscal year.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-8083.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FHWA obligated approximately \$230 Million for FLAP projects. Fiscal Year 2017: FHWA will obligate approximately \$230 Million for FLAP projects. Fiscal Year 2018: FHWA will obligate approximately \$240 Million for FLAP projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR, "Highways" and 49 CFR, "Transportation", and 2 CFR 200, Grants

and Agreements. <http://www.fhwa.gov>.

Regional or Local Office:

See Regional Agency Offices. Regional Agency Offices. For specific State information visit <https://flh.fhwa.dot.gov/programs/flap/>.

Headquarters Office:

Frances Ramirez 1200 New Jersey Avenue, Washington, District of Columbia 20590 Email: Frances.Ramirez@dot.gov Phone: (202) 493-0271

Website Address:

<https://flh.fhwa.dot.gov/programs/flap/reports/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Completed:

The City of Crystal River Florida of Citrus County, project for Three Sisters Spring Site, FL. This project constructed a 44-foot wide entranceway to Three Sister Spring site at the Crystal River National Wildlife Refuge (NWR). The roadway cross section now has two lanes heading out of the refuge and one lane heading into the refuge with an 8-foot median in the middle. A 5-foot by 7-foot precast box culvert with concrete end walls, curbs and gutters, and a sidewalk for the entranceway. Native species were planted for landscaping and the roadway was sloped toward a bios wale. The Crystal River NWR was established specifically to protect the endangered West Indian Manatee, for a population of nearly 600 manatees as this is their primary habitat and safe haven during winter months. The refuge attracts 100,000 visitors annually to see and swim with manatees, and manatee viewing opportunities are predominantly only available from the water. Other recreational opportunities are sport fishing, snorkeling, motorized and non-motorized boating, wildlife observation and nature photography. Fiscal Year 2017: Ongoing FY17:

La Sal Mountain Loop Road, UT: Grand County Road 73/San Juan County Road B127 (La Sal Mountain Loop Road) is a primary access route to the Manti-La Sal National Forest near Moab in southeastern Utah. This route provides access to many recreational opportunities in the National Forest including hiking, rock climbing, camping, world class mountain biking, as well as providing a scenic and challenging road biking route that is used for many race events in the area. This project consists of 12.3 miles of the route and includes minor widening, pavement rehabilitation, retaining walls, drainage and safety improvements. The emphasis of the scope of this work is to improve the pavement condition as well as providing a consistent roadway section to improve safety. The pavement along this section of road varies in width and is in extremely poor condition with significant areas of transverse and longitudinal cracking, alligator cracking, bleeding, stripping, raveling, rutting, potholes, and edge deterioration. The project continues a strong partnership with both counties as well as the National Forest in delivering this exceptional project. Fiscal Year 2018: Project planned FY18:

WA JEFF 91420(1) Upper Hoh River Road, WA - The goal of this project is to preserve vehicular access to Olympic National Parks Hoh Rain Forest by addressing immediate access issues on the Upper Hoh Road along the Hoh River in Jefferson County, WA. The project will repair the four most critical infrastructure deficiencies along the Jefferson County segment of the Upper Hoh Road including: 1) Stabilize middle revetment segment at MP 4 by replacing dislodged riprap and installing three stream bars; 2) Replace Tower Creek Bridge at MP 7.5 with single-span, 130-foot bridge on new upstream alignment; 3) Relocate the river channel away from the road at MP 7 by shifting the active river channel 400 feet with 4 to 6 engineered log jams; and 4) Replace Canyon Creek Culvert MP 10.23 with multi-span bridge, 160 to 180 feet in length. An infrastructure failure at any one of these sites could result in a long-term closure of the sole vehicular access to the Hoh Rain Forest. An estimated 85% of the traffic on the Upper Hoh Road enters Olympic National Park.

CRITERIA FOR SELECTING PROPOSALS:

Each FLAP project is selected from a pool of applications submitted by the facility owner in response to a state-wide call for projects. The call for projects frequency is determined by the PDC based on the funds available. The applications are screened, rated, and ranked using the PDC approved rating criteria. The PDC agrees which projects met the established State program goals and conformed to the project ranking criteria. Based upon these

evaluations, the proposed projects are recommended for programming within the available funding for each State.

20.231 PERFORMANCE AND REGISTRATION INFORMATION SYSTEMS MANAGEMENT

(PRISM)

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century, Section 32602, 32603(c), Public Law 112-141, 49 U.S.C 405, 807 (2012).

OBJECTIVES:

This program links Federal Motor Carrier Safety information systems with State commercial vehicle registration systems and enables a State to (1) determine the safety fitness of a motor carrier or registrant when applying for registration or while the registration is in effect; and (2) deny, suspend, or revoke the registration of a motor carrier or registrant that has been issued an Out-of-Service (OOS) order by the FMCSA..

The PRISM grant program has been consolidated into the Motor Carrier Safety Assistance Program (MCSAP) and High Priority grant programs due to changes in FMCSAs authorization under the Fixing Americas Surface Transportation Act (Pub. L. No. 114-94, 5101(a) and 5101(c) (2015), 49 U.S.C. 31102(a)-(k), 31104 (2016), as amended). Awards are no longer being made under this CFDA; however, pre-existing grants will remain active until project completion and/or period of performance on the individual grant agreements expire. For more information on these changes, visit FMCSA CFDA number 20.218.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Financial assistance to States for the implementation of the PRISM program to (A) comply with the program requirements and technical and operational standards; (B) possess or seek the authority to impose sanctions relating to commercial motor vehicle registration on the basis of a Federal safety fitness determination; and (C) establish and implement a process to cancel the motor vehicle registration and seize the registration plates of a vehicle when an employer is found liable under section 31310 (i)(2)(C) for knowingly allowing or requiring an employee to operate such a commercial motor vehicle in violation of an out-of-service order. Financial assistance to States for the implementation of the PRISM program must be within scope of the above description on how assistance can potentially be used and national priorities set forth in the Notice of Funding Opportunity. PRISM grants are 100 percent federally funded and require no State matching of funds.

Applicant Eligibility:

The FMCSA may award these grants to the agencies of States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, and the U.S. Virgin Islands.

According to 49 U.S.C. 31106(d), FMCSA may use PRISM to fund other Federal departments, agencies, and instrumentalities, or by making grants to, and entering into contracts and cooperative agreements with, States, local governments, associations, institutions, corporations, and other persons."

Beneficiary Eligibility:

Eligible applicants include State agencies located in one of the fifty States, the District of Columbia, Puerto Rico, Northern Mariana Islands, American Samoa, Guam, and the U.S. Virgin Islands. Applicants must work on highway traffic safety activities and must demonstrate a capacity to work with highway traffic safety stakeholders.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

As stated in Section 13 of the FMCSA Financial Assistance General Provisions and Assurances, upon execution of the grant agreement recipients agree to comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. 1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must register with Grants.gov to apply for funding. Applications should be submitted in accordance with the instructions provided and must be submitted electronically to FMCSA through the Grants.gov website.

Award Procedure:

The amount of the PRISM grant funding allocated to a qualifying State will be determined by the Federal Motor Carrier Safety Administration (FMCSA) based on the assessed needs of the State, the eligibility of the State, and the availability of funds. Once the State's PRISM Implementation Plan has been approved, the grant will be awarded and the State will be required to sign a grant agreement with FMCSA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

90-120 days of the submission deadline (subject to availability of funds) or as soon thereafter as administratively practicable.

Appeals:

Not Applicable.

Renewals:

The funds are available for expenditure as stipulated in the grant agreement and the terms and conditions.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The initial grant period of performance is typically 36 months; grant program extensions are allowable with FMCSA approval. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

Reporting requirements for PRISM recipients are outlined in the grant agreement terms and conditions and consists of quarterly performance progress status and financial reports no later than 30 days following the end of the quarter being reported. Progress Reports include the Performance Progress Report Coversheet (SF-PPR), together with SF-PPR-B_PRISM attachment. Financial Reporting requirements include quarterly submission of the Federal Financial Report (SF-FFR, also known as the SF-425) and at least quarterly submission of the Request of reimbursement form (SF-270). No cash reports are required. Progress reports are required quarterly. SF 425. Performance

monitoring reports are submitted in the form of status reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Recipient agrees to obtain any other audits required by FMCSA. Project closeout will not alter the Recipient's audit responsibilities. Audit costs for Project administration and management are allowable under this Project to the extent authorized by 2 CFR 200. The Recipient agrees to permit FMCSA, the Secretary of Transportation and the Comptroller General of the United States, or their authorized representatives, to inspect all Project work, materials, payrolls, and other data, and to audit the books, records, and accounts of the Recipient and its contractors pertaining to the Project. The Recipient agrees to require each third-party contractor whose contract award is not based on competitive bidding procedures as defined by the Secretary to permit the Secretary of Transportation and the Comptroller General of the United States, or their duly authorized representatives, to inspect all work, materials, payrolls, and other data and records involving that contract, and to audit the books, records, and accounts involving that contract as it affects the Project.

Records:

The recipient shall retain records related to the approved project for the annotated time periods as specified in the grant agreement and 2 CFR 200, as applicable. The recipient shall make available to FMCSA copies of all work developed in performance with the grant, including but not limited to software and data.

Account Identification:

69-8158-0-7-401.

Obligations:

(Project Grants) FY 16 \$5,000,000; FY 17 est \$0; and FY 18 est \$0 - Awards are no longer being made under this CFDA; however, pre-existing grants will remain active until project completion and/or period of performance on the individual grant agreements expires.

Range and Average of Financial Assistance:

Awards can range from \$100,000 to \$750,000.

TAFS Codes:

69-8158.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Nineteen grant applications were submitted for a total request of \$7,787,356.50. Eighteen grants were awarded for a total of \$5,000,000. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The PRISM Implementation Guide, Procedures Manual, System Specifications, State Training Materials, and other useful information may be obtained from the PRISM website specified below. Performance and Registration Information Systems Management (PRISM) grants funding authorization was part of the contract authority for information systems codified at 49 U.S.C. 31107.

Regional or Local Office:

See Regional Agency Offices. State Division Offices of the FMCSA are listed at www.fmcsa.dot.gov.

Headquarters Office:

Lisa Clayton 1200 New Jersey Ave., W66-443, Washington, District of Columbia 20590 Phone: 202-366-1736

Website Address:

<http://www.fmcsa.dot.gov/safety-security/prism/prism.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: 18 Grants were awarded for a total of \$5,000,000. Under this

grant program, three additional states joined the PRISM program to modify their license plates registration systems to begin suspending, revoking, and denying license plates to carriers who have been suspended due to Federal OOS orders. Fiscal Year 2017: No grants were issued under this grant program. Fiscal Year 2018: No grants were issued under this grant program.

CRITERIA FOR SELECTING PROPOSALS:

The applicant must submit a State PRISM Implementation Plan specifying how the State will meet the program requirements, timeframes for completion, and associated costs of the program.

20.232 COMMERCIAL DRIVER'S LICENSE PROGRAM IMPLEMENTATION GRANT

CDLPI

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

FAST Act, Pub. L. No. 114-94, 5101(c), 5104 (2015). 49 U.S.C. 31104 and 31313 (2016), as amended. See 49 CFR Part 384 for CDL Compliance requirements., Public Law 114-94.

OBJECTIVES:

Assist States in complying with CDL requirements and dedicate funding to priority activities for research, development and testing, demonstration projects, public education, and other special activities and projects relating to commercial drivers licensing.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assist States and ensure State is making a good faith effort toward substantial CDL compliance; improve the States implementation of its computer hardware and software; pay for publications, testing, personnel, training, quality control, CDL program coordinators; and implement or maintain a system to notify an employer of an operator of a CMV of the suspension or revocation of the operators CDL.

For Priority Activities: Promote activities that benefit all U.S. jurisdictions; address National safety concerns and circumstances; address emerging issues relating to CDL improvements; support innovative ideas and solutions to CDL issues; or other CDL issues as determined by FMCSA. The grant may not be used to rent, lease, or buy land or buildings or to generate profit. Every grant must serve a public purpose. 100% funding; no match required.

Applicant Eligibility:

States may receive grant funds to comply with the requirements of section 31311 of SAFETEA-LU or if making good faith efforts toward substantial compliance with the requirements of section 31311 and 31313 receive grant funds to improve implementation of the commercial driver's license program. States, local governments, and other persons for projects involving research, development, demonstration projects, public education, and other special activities and projects relating to commercial driver licensing and motor vehicle safety that are of benefit to all jurisdictions of the United States or are designed to address national safety concerns and circumstances. States, local governments, and other persons may use grant funds to address emerging issues relating to commercial driver's license improvements.

Beneficiary Eligibility:

- 14 - State (includes District of Columbia, public institutions of higher education and hospitals)
- 15 Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals)
- 20 Public nonprofit institution/organization
- 38 other private institutions/organizations
- 39 anyone/general public.

Credentials/Documentation:

Evidence of eligibility and of negotiated indirect rates and audit by cognizant agency; documentation of requested amounts and how those figures were

calculated. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Detailed application instructions and forms are available on Grants.gov. Applications must be submitted using Grants.gov

Award Procedure:

90-120 days of the submission deadline (subject to availability of funds) or as soon thereafter as administratively practicable.

Applicants must register with the System of Awards Management and with Grants.gov to apply for funding. Applications should be submitted in accordance with the instructions provided and must be submitted electronically to FMCSA through the Grants.gov website.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Formal protests are not an option; unsuccessful applicants are notified in writing and may request a debriefing.

Renewals:

Grant period of performance may be extended without additional funding according to the requirements of the FMCSA Financial Assistance Agreement General Provisions and Assurance and other applicable OMB Circulars and Federal law. Continuation awards or grants are not available.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Not through Fiscal Year 2017; the FAST Act authorizes up to a 15% match for this program, which may be initiated in future fiscal years.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The maximum period of performance for a grant is year of award plus an additional four fiscal years. All new application proposals will be evaluated to ensure that proposed projects can be achieved within the requested timeframe. There is no mandatory minimum grant duration. Amendment requests to extend the period of performance beyond the period of availability described above will not be approved. See the following for information on how assistance is awarded/released: Reimbursement of actual expenditures.

Reports:

Quarterly progress reports showing project accomplishments are required. No cash reports are required. Quarterly reports showing expenditures and pending requests for reimbursement are required. The SF-425 Federal Financial Report is used for financial quarterly reporting. The SF-PPR Performance Progress Report is used for project performance reporting. FMCSA may require reporting more frequently than once per quarter at its discretion. A separate SF-425 and Performance Progress Report is required for each grant. Grantees must be current on required reporting to receive reimbursement. Routine monitoring includes regular communication with Grantees regarding the status of projects, reviewing quarterly reports, and site visits or conference calls as appropriate. No cash reports are required. Project activity reports (SF-PPR) must be submitted quarterly to the FMCSA Division office. SF-425 as described above must be submitted quarterly to the FMCSA Division office. Through reports and regular contact with the Grantee by phone, site visits, and annual performance and skills test reviews.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See www.grants.gov for individual award announcements.

Records:

Records of all program activities covered in the grant proposal. Such records must be kept for 3 years following the end of the grant project period.

Account Identification:

69-8158-0-7-401.

Obligations:

(Salaries) FY 16 \$30,673,509; FY 17 est \$31,200,000; and FY 18 est \$31,800,000 - Salary and Expenses -- In part or in full; applications may include other eligible costs such as travel, supplies, contractual expenses, and indirect costs.

Range and Average of Financial Assistance:

\$20,000 - \$1,500,000.

TAFS Codes:

69-69-8158.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 49 Grants were awarded for a total of \$30,673,509. These awards were provided to assist States in achieving and/or maintaining compliance with the requirements of 49 CFR Parts 383 and 384, for the electronic transmission of skills testing and driver history records, for multi-state partnerships, for training, to address open findings in the Automated Compliance Review System, to facilitate multi-platform compatibility within State systems, to enable States to comply with new CDL-related rule-makings, and to facilitate the accuracy, completeness, and timeliness of CDL data. Fiscal Year 2017: Applications are currently under review for similar projects. Fiscal Year 2018: No substantive change to the programs priorities and consequent awards is expected at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

49 CFR Part 383 and 384

Regional or Local Office:

See Regional Agency Offices. Contact your regional/local office at: <https://www.fmcsa.dot.gov/mission/field-offices>.

Headquarters Office:

FMCSA Grants Management Office 1200 New Jersey Ave., SE, Washington, District of Columbia 20590 Email: FMCSA_GrantMgmtHelpDesk@dot.gov Phone: (202) 366-0621

Website Address:

<https://www.fmcsa.dot.gov/mission/grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: 2016

Funded projects include, but are not limited to data integration, addressing data backlog, training on the use of automated skills testing systems, expanding system capabilities to include mobile platforms, fraud prevention using facial recognition software, correction of data errors, outreach to court officers in the correct adjudicating and reporting of CDL convictions, outreach to State partners, operational support of the skills testing system platform, examiner Train-the Trainer and refresher training, replacing paper-based systems with electronic systems for use in testing and in reporting data, and overt and covert monitoring of examiners. Fiscal Year 2017: N/A applications are currently under review. Fiscal Year 2018: No substantive change to the programs priorities and consequent awards is expected at this time.

CRITERIA FOR SELECTING PROPOSALS:

All discretionary (competitive) grant program applications undergo a series of reviews prior to award selection as required in 2 CFR 200.204 and 205. These reviews include: 1) technical review that provides an independent assessment of the technical/programmatic merit of an application. At least three qualified individuals are selected to review each application to ensure diversity of perspective and knowledge. Individuals are selected based on their technical education and experience and the extent to which the individual has engaged in relevant work, the capacities in which the individual has done so, and the quality of such work; 2) suitability review that provides a risk assessment on each applicants organization to ensure the applicant is suitable to receive and manage Federal funds. The risk assessment is conducted in several parts: 1) a debarment and suspension review that included a review of the applicants administrative capability self-certification and a check against the applicants records in the System for Award Management (www.sam.gov); 2) a review of the applicants history with other Federal agencies in the Single Audit Clearinghouse Database; and 3) an evaluation of the applicants Single Audit in accordance with the Single Audit Act; 3) past performance review that provides information that is considered as a possible indicator for predicting future performance. Many applicants for FMCSA programs have received FMCSA funding in the past and will be evaluated against their ability to complete prior year awards on-time, compliance with grant terms and conditions, and results from FMCSA grant monitoring activities. Applicants with no prior FMCSA grant awards will not be eliminated from funding consideration; and 4) budget/cost analysis that provides an assessment of allowable costs in accordance with Federal grant requirements, the cost realism of the budget estimate, appropriateness and reasonableness of resources, and reasonableness and feasibility of the schedule relative to the application timeline. Importantly, the budget evaluation provides initial insight to project-related risk, beyond those dealing with technical uncertainty, which is considered prior to recommendation. Application budgets are evaluated based on the same standards to which recipients will be held after award, which are outlined in the cost principles at 2 CFR part 200.101(c) Subpart E for all non-Federal entities, including commercial organizations.

20.233 BORDER ENFORCEMENT GRANTS

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century, Public Law 112-141 32603(c), 126 Stat. 405,807, 49 U.S.C 31107.

OBJECTIVES:

The Federal Motor Carrier Safety Administrations objective is to ensure motor carriers operating commercial vehicles entering the United States from a foreign country are in compliance with commercial vehicle safety standards and regulations, financial responsibility regulations and registration requirements of the United States, and to ensure drivers of those vehicles are qualified and properly licenses to operate the commercial vehicle.

The Border Enforcement Grant program purpose has been incorporated into the FMCSA Motor Carrier Safety Assistance formula grant program and the FMCSA High Priority grant program due to changes in FMCSAs authorization under the Fixing Americas Surface Transportation Act (Pub. L. No. 114-94, 5101(a) and 5101(c) (2015), 49 U.S.C. 31102(a)-(k), 31104 (2016), as amended). Awards are no longer being made under this CFDA; however, pre-existing grants will remain active until project completion and/or period of performance on the individual grant agreements expires. For more information on this change, visit FMCSA CFDA number 20.218.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assistance is provided to entities and States that share a land border with another country for carrying out border commercial motor vehicle safety programs and related enforcement activities and projects. Assistance is provided to entities and States that share a land border with another country for

carrying out border commercial motor vehicle safety programs and related enforcement activities and projects. One hundred percent discretionary funding is available for approved projects.

Applicant Eligibility:

Entities and States that share a land border with a foreign country.

Beneficiary Eligibility:

States that share a land border with a foreign country (Entities and Accredited public institutions of higher education). The States of Alaska, Arizona, California, Idaho, Maine, Michigan, Minnesota, Montana, New Hampshire, New Mexico, New York, North Dakota, Texas, Vermont and Washington, and entities and local governments within these enumerated States are eligible to receive funding.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

File the complete application SF-424, SF-424A and SF424B form, a certification that the State meets all eligibility requirements and a State Border Enforcement Plan, electronically through grants.gov. Information on how to register with grants.gov and file an application using grants.gov is available at <http://www.grants.gov/Apply>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. File the SF-424 and SF424A forms, a certification that the State meets all eligibility requirements, and a State Border Enforcement Plan with grants.gov.

Award Procedure:

The amount of the award to a qualifying applicant will be based on a panel review of all applications received, recommendations of the panel, and funding availability. The panel will prioritize funding request based on the State or entity's performance on previous BEG awards; their ability to expend the awarded funds within the BEG performance year; and, national criteria established by the FMCSA as follows:

Increasing the number of inspections of motorcoaches engaged in international commerce (travel). FMCSA previously established a goal for this effort to be a minimum of five percent of the total BEG inspections to be conducted at or within the commercial zones of international crossings. For FY 2014, FMCSA encourages States examine their data on international motorcoach activity and to use that data to establish reasonable goals that will result in an increase of motorcoach-focused activities. In the application, States must substantiate the goals set and provide the data (or data source references).

Increasing the number of Level 1 and 2 inspections supported by BEG funds. Level 3 inspections are strongly discouraged as part of BEG-supported strategies. Level V inspections are not permitted with BEG funds.

Targeting CMV inspections within corridors where the data indicate that there are a high number of crashes involving vehicles engaged in international commerce.

Improving the capability to conduct CMV safety inspections at remote and other sites near the Canadian and Mexican borders. If included, the application should establish a data-driven reason why non-fixed location inspections will increase the likelihood of reducing crashes, injuries, and fatalities involving vehicles engaged in international commerce.

Other activities or initiatives designed to improve the compliance of CMVs, drivers, and carriers entering the U.S. from Canada or Mexico.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. 120 days.

Appeals:

Applicants will be notified in writing or via e-mail by the FMCSA Grant Program Manager if the State or entity's application was not approved. There is no appeal process as funding is discretionary.

Renewals:

Eligible applicants must submit a new grant application to receive additional funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The amounts made available under the Border Enforcement Grants Program shall remain available for expenditure for the fiscal year in which they are allocated and for the next fiscal year. Amounts not expended by a State during those two fiscal years are available to the Secretary for reallocation. See the following for information on how assistance is awarded/released: Lump sum or based on funds available based upon agency authorization including a continuing resolution.

Reports:

A quarterly activity report is to be filed with the FMCSA Division Office. A program evaluation report is to be filed with the FMCSA Division Office within 90 days upon completion of the grant program. No cash reports are required. All grantees must submit quarterly performance report that reflect the performance of the approved activities that reflect the work performed in that quarter. All grantees must submit quarterly performance report that reflect the financial expenditures and commitments associated with the approved activities performed that quarter. All grantors and grantees with sub-grantees must complete performance monitoring and financial monitoring and document the monitoring observations. Monitoring activities should include discussing meeting performance measures and goals and discussions of financial expenditure usage and expense rate to ensure awarded funds are expended in accordance with governing regulations.

Audits:

No audits are required for this program.

Records:

Records of all programs activities covered in the State's Border Enforcement Plan must be kept for three years after the grant is closed.

Account Identification:

69-8158-0-7-401.

Obligations:

(Project Grants (Discretionary)) FY 16 \$32,000,000; FY 17 est \$0; and FY 18 est \$0 - Awards are no longer being made under this CFDA; however, pre-existing grants will remain active until project completion and/or period of performance on the individual grant agreements expires.

Range and Average of Financial Assistance:

For Border Enforcement awards ranged from \$22,000 to \$18,000,000.00.

TAFS Codes:

69-8158.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The FMCSA provided BEG funds to ensure that motor carriers and drivers operating CMVs in international commerce (primarily those entering the United States from a foreign country) are in compliance with U.S. CMV safety standards and regulations, financial responsibility regulations, registration requirements, and that the drivers of those vehicles are qualified and properly licensed to operate a CMV in the United States. In FY 2016 \$32,000,000 grants were awarded to 15 States for inspections of international carriers of commerce, with 229,213 inspections on motor carriers conducted. Fiscal Year 2017: Starting in FY 2017, the BEG was consolidated into the Motor Carrier Safety Assistance Program (MCSP) formula grant and will no longer exist as a stand-alone grant program. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

49 CFR 1.48; 49 CFR 350; 49 CFR 350-399; 49 CFR 100-180; 49 CFR 18. Publications available through the Government Printing Office \$14-\$27 per volume.

Regional or Local Office:

See Regional Agency Offices. Division Office of FMCSA as listed in Appendix IV of the Catalog.

Headquarters Office:

Lisa Clayton 1200 New Jersey Ave, W66-443, Washington, District of Columbia 20590 Phone: 202-366-1736

Website Address:

<http://www.fmcsa.dot.gov>

RELATED PROGRAMS:

20.218 National Motor Carrier Safety

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The FMCSA provides BEG funds to ensure that motor carriers and drivers operating CMVs in international commerce (primarily those entering the United States from a foreign country) are in compliance with U.S. CMV safety standards and regulations, financial responsibility regulations, registration requirements, and that the drivers of those vehicles are qualified and properly licensed to operate a CMV in the United States. In FY 2016 \$32,000,000 grants were awarded to 15 States for inspections of international carriers of commerce, with 229,213 inspections on motor carriers conducted. Fiscal Year 2017: Starting in FY 2017, the BEG was consolidated into the Motor Carrier Safety Assistance Program (MCSP) formula grant and will no longer exist as a stand-alone grant program. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

1. Addresses the programs national priorities and demonstrates knowledge of the program and potential barriers to successfully meeting those priorities.
2. Defines specific, measurable, and feasible program goals and objectives.
3. Outlines activities and accompanying timelines to achieve the projects goals.
4. Defines a plan to monitor the effective implementation of the strategies and plans to document the project outcomes.
5. Documents infrastructure and other organizational information to demonstrate an ability to perform the activities proposed in the application.
6. Includes proposed costs that are allocable, allowable, reasonable, and necessary.
7. Addresses program-specific requirements established in the Notice of Funding Availability.

20.234 SAFETY DATA IMPROVEMENT PROGRAM

(SaDIP)

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century, Public Law 112-141, 126 Stat. 405, 808.

OBJECTIVES:

050 Objectives:

The Federal Motor Carrier Safety Administrations (FMCSA) objective is to fund State programs designed to improve the overall quality of commercial motor vehicle (CMV) data in accordance with the FMCSA State Safety Data Quality (SSDQ) measures, specifically to increase the timeliness, efficiency, accuracy and completeness of processes and systems related to the collection and analysis of large truck and bus crash and inspection data.

The Safety Data Improvement Program grant purpose has been incorporated into the FMCSA Motor Carrier Safety Assistance formula grant program and the FMCSA High Priority grant program due to changes in FMCSAs authorization under the Fixing Americas Surface Transportation Act (Pub. L. No. 114-94, 5101(a) and 5101(c) (2015), 49 U.S.C. 31102(a)-(k),

31104 (2016), as amended). Awards are no longer being made under this CFDA; however, pre-existing grants will remain active until project completion and/or period of performance on the individual grant agreements expires. For more information on this change, visit FMCSA CFDA number 20.218.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The FMCSA may award grants to improve the overall quality of commercial motor vehicle (CMV) data, and specifically, to improve the timeliness, efficiency, accuracy, and completeness of State processes and systems used to collect, analyze, and report large truck and bus crash and inspection data. The FMCSA gives priority to proposals received from States rated yellow (fair) and red (poor) on the State Safety Data Quality Map, viewable here: <https://ai.fmcsa.dot.gov/DataQuality/National.aspx>. Examples of uses for funding may include, but are not limited to the following:

- Hiring staff to manage data quality improvement programs.
- Hiring staff to code and enter CMV safety performance data.
- Revising outdated crash report forms.
- Developing software to transfer data from the State data repository to SAFETYNET.
- Purchasing software for field data collection and data transfer.
- Implementing data quality initiatives designed to support the Compliance, Safety, Accountability (CSA) intervention strategies.
- Improving the overall quality of FMCSA Motor Carrier Management Information System (MCMIS) census data. Specific restrictions placed upon the use of the assistance may include: assistance awarded to defray costs associated with the purchase of air cards, Wi-Fi connectivity, lease agreements for laptops or other mobile data devices, etc. may be limited to startup costs only and for organizations not covered through the MCSAP formula grant program. Interested applicants should note all proposed costs must be allocable, allowable, reasonable, and necessary. The Federal share of a grant under this section shall be 80 percent of the cost of the activities for which the grant is made.

Applicant Eligibility:

A State shall be eligible for a grant under this section in a fiscal year if the Secretary determines that the State has (1) conducted a comprehensive audit of its commercial motor vehicle safety data system within the preceding 2 years; (2) developed a plan that identifies and prioritizes its commercial motor vehicle safety data needs and goals; and (3) identified performance-based measures to determine progress toward those goals.

Eligible applicants include State Departments of Public Safety, Departments of Transportation, or State Law Enforcement Agencies in any of the several States of the United States, the District of Columbia, and the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments. The term does not include any public and Indian housing agency under United States Housing Act of 1937.

Beneficiary Eligibility:

Any of the several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments. The term does not include any public and Indian housing agency under United States Housing Act of 1937.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must register with Grants.gov to apply for funding. Applications should be submitted

in accordance with the instructions provided and must be submitted electronically to FMCSA through the Grants.gov website.

Award Procedure:

An evaluation panel will select award recipients based on an overall application score outlined in the application package, the potential for improvement of national Safety data collection and reporting rates, and the total funding available.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

If the statutory eligibility criteria are met and funds are available, discussion with potential grantees continues until the project plan is mutually acceptable.

Appeals:

Appeals will be considered upon demonstration that the proposal meets SaDIP grant eligibility criteria and the State Safety Data Quality measures have been addressed.

Renewals:

Renewals or request for extensions to the grant period of performance can be made through the FMCSA State Division Offices.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 20%. The Federal share of a grant under this section shall be 80 percent of the cost of approved eligible activities for which the grant is made; the State share of a grant under this section shall be 20 percent of the cost of the activities for which the grant is made.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The initial grant period of performance is typically 24 months; grant program extensions are allowable by approval from FMCSA. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

A quarterly activity report is to be filed with the FMCSA Division Office. A program evaluation report is to be filed with the FMCSA Division Office within 90 days upon completion of the grant program. No cash reports are required. No progress reports are required. Recipients are required to file a quarterly Federal Financial Report, SF-425 no later than 30 days following the end of the quarter being reported. Review of reports and/or site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Recipient agrees to obtain any other audits required by FMCSA. Project closeout will not alter the Recipient's audit responsibilities. Audit costs for Project administration and management are allowable under this Project to the extent authorized by OMB Circular 2 CFR 200. The Recipient agrees to permit FMCSA, the Secretary of Transportation and the Comptroller General of the United States, or their authorized representatives, to inspect all Project work, materials, payrolls, and other data, and to audit the books, records, and accounts of the Recipient and its contractors pertaining to the Project. The Recipient agrees to require each third party contractor whose contract award is not based on competitive bidding procedures as defined by the Secretary to permit the Secretary of Transportation and the Comptroller General of the United States, or their duly authorized representatives, to inspect all work, materials, payrolls, and other data and records involving that contract, and to audit the books, records, and accounts involving that contract as it affects the Project.

Records:

The recipient shall retain records related to the approved project for the specified time periods as specified in the grant agreement and 2 CFR 200, as applicable. The recipient shall make available to FMCSA copies of all work developed in performance with the grant, including but not limited to software

and data.

Account Identification:

69-8055-0-7-401.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Awards are no longer being made under this CFDA; however, pre-existing grants will remain active until project completion and/or period of performance on the individual grant agreements expires.

Range and Average of Financial Assistance:

Range \$5,000 - \$500,000; Average award \$250,000.

TAFS Codes:

69-8158.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 4128 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) established the SaDIP grant opportunity. The legislation provides discretionary funding for States to improve the quality of crash and inspection data for large trucks and buses reported by States to FMCSA, as described in 49 U.S.C. Section 31102. The funding is codified in 49 U.S.C. Section 31104. Interested applicants are encouraged to also review the Data Quality Module at Analysis & Information Online: <http://ai.fmcsa.dot.gov/DataQuality/dataquality.asp>

Regional or Local Office:

See Regional Agency Offices. Refer to the FMCSA Field Office Roster at the FMCSA website for your FMCSA State Division Office (<http://www.fmcsa.dot.gov/about/contact/offices/displayfieldroster.asp>).

Headquarters Office:

Lisa Clayton 1200 New Jersey Ave, W66-443, Washington, District of Columbia 20590 Phone: 202-366-1736

Website Address:

<http://www.fmcsa.dot.gov/safety-security/grants/grants.aspx>

RELATED PROGRAMS:

20.218 National Motor Carrier Safety

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The FMCSA considers the extent to which each application:

1. Addresses the programs national priorities and demonstrates knowledge of the program and potential barriers to successfully meeting those priorities.
2. Defines specific, measureable, and feasible program goals and objectives.
3. Outlines activities and accompanying timelines to achieve the projects goals.
4. Defines a plan to monitor the effective implementation of the strategies and plans to document the project outcomes.
5. Documents infrastructure and other organizational information to demonstrate an ability to perform the activities proposed in the application.
6. Includes proposed costs that are allocable, allowable, reasonable, and necessary.
7. Addresses program-specific requirements established in the Notice of Funding Availability.

20.235 COMMERCIAL MOTOR VEHICLE OPERATOR SAFETY TRAINING GRANTS

CMVOST

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

FAST Act, Pub. L. No. 114-94, 5101(b) and 5101(c) (2015). 49 U.S.C.

31103, 31104 (2016), as amended. No CFR reference., Public Law 114-94, 49 U.S.C 31103.

OBJECTIVES:

Promote the safe operation of Commercial Motor Vehicles (CMVs), as defined in 49 U.S.C. 31301, through financial assistance to persons who train current and future drivers in the safe operation of CMVs.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Provide tuition assistance to eligible accredited applicants. Priority is given to programs that train former members of the armed forces (defined in 10 U.S.C. Section 101) in safe CMV operation. Applicant must adhere to eligibility criteria and project plan to be considered. Direct tuition assistance is not available. Every grant must serve a public purpose. Availability of funds are announced on Grants.gov (www.grants.gov).

Applicant Eligibility:

Eligible applicants must be accredited by a government organization such as the Department of Education.

Beneficiary Eligibility:

Eligible applicants must be accredited by a government organization such as the Department of Education.

Credentials/Documentation:

Evidence of eligibility and of negotiated indirect rates and audit by cognizant agency; demonstration of accreditation; . documentation of requested amounts and how those figures were calculated. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must register with Grants.gov to apply for funding. Applications should be submitted in accordance with the instructions provided and must be submitted electronically to FMCSA through the Grants.gov website.

Award Procedure:

90-120 days of the submission deadline (subject to availability of funds) or as soon thereafter as administratively practicable.

Deadlines:

Nov 30, -0001 The deadline for application submission is included in each years Notice of Funding Opportunity posted in Grants.gov.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Formal protests are not an option; unsuccessful applicants are notified in writing and may request a debriefing.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 15%. The Federal share of the grant is 85 percent of the approved expenditures in the project plan. A 15 percent matching share by the recipient is required. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The maximum period of performance for a CMVOST grant is two fiscal years,

including the fiscal year in which the grant agreement is executed. All new application proposals will be evaluated to ensure that proposed projects can be achieved within the requested timeframe. There is no mandatory minimum grant duration. Additionally, FMCSA may award a grant agreement with a period of performance for less than maximum period of performance timeframe, depending upon project complexity, reasonableness, and necessity. Amendment requests to extend the period of performance beyond the period of availability described above will not be approved. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

Quarterly progress reports showing project accomplishments are required. No cash reports are required. Quarterly reports showing expenditures and pending requests for reimbursement are required. The SF-425 Federal Financial Report is used for financial quarterly reporting. The SF-PPR Performance Progress Report is used for project performance reporting. FMCSA may require reporting more frequently than once per quarter at its discretion. A separate SF-425 and Performance Progress Report is required for each grant. Grantees must be current on required reporting to receive reimbursement. Routine monitoring includes regular communication with Grantees regarding the status of projects, reviewing quarterly reports, and site visits or conference calls as appropriate. No cash reports are required. Project activity reports (SF-PPR) as described above must be submitted quarterly to the FMCSA Division office. SF-425 as described above must be submitted quarterly to the FMCSA Division office. Through reports and regular contact with the Grantee by phone and site visits by Federal staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See www.grants.gov for individual award announcements.

Records:

During the course of the grant project and for three years after the final voucher (invoice) is submitted, grant recipients must retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to the project as FMCSA may require in addition to the reporting and record-keeping requirements set forth in 2 C.F.R. 200.333.

Account Identification:

69-8159-0-7-401.

Obligations:

(Salaries) FY 16 \$996,947; FY 17 est \$1,000,000; and FY 18 est \$1,000,000

Range and Average of Financial Assistance:

The average award was \$100,000.

TAFS Codes:

69-8159.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Awards were not issued until late September 2016; no data available; projected students to be trained during the current calendar year using FY 2016 funds: 250. Fiscal Year 2017: N/A applications are still under review. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 formerly OMB Circulars 2 CFR 215, A-122, A-133, A-110 and A-21, Regulations, Executive Orders, and related policy are on the public website at: www.fmcsa.dot.gov. A solicitation package (notice of funding availability) containing the appropriate forms and directions are made available through www.grants.gov.

Regional or Local Office:

None.

Headquarters Office:

FMCSA Grants Management Office 1200 New Jersey Ave., SE, Washington, District of Columbia 20590 Email: FMCSA_GrantMgmtHelpDesk@dot.gov Phone: (202) 366-0621

Website Address:

<https://www.fmcsa.dot.gov/mission/grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: All projects provided accredited organizations with funding for tuition assistance to veterans and members of the US armed forces. Fiscal Year 2017: N/A applications are still under review; no substantive changes to the programs priorities is expected at this time. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All discretionary (competitive) grant program applications undergo a series of reviews prior to award selection as required in 2 CFR 200.204 and 205. These reviews include: 1) technical review that provides an independent assessment of the technical/programmatic merit of an application. At least three qualified individuals are selected to review each application to ensure diversity of perspective and knowledge. Individuals are selected based on their technical education and experience and the extent to which the individual has engaged in relevant work, the capacities in which the individual has done so, and the quality of such work; 2) suitability review that provides a risk assessment on each applicants organization to ensure the applicant is suitable to receive and manage Federal funds. The risk assessment is conducted in several parts: 1) a debarment and suspension review that included a review of the applicants administrative capability self-certification and a check against the applicants records in the System for Award Management (www.sam.gov); 2) a review of the applicants history with other Federal agencies in the Single Audit Clearinghouse Database; and 3) an evaluation of the applicants Single Audit in accordance with the Single Audit Act; 3) past performance review that provides information that is considered as a possible indicator for predicting future performance. Many applicants for FMCSA programs have received FMCSA funding in the past and will be evaluated against their ability to complete prior year awards on-time, compliance with grant terms and conditions, and results from FMCSA grant monitoring activities. Applicants with no prior FMCSA grant awards will not be eliminated from funding consideration; and 4) budget/cost analysis that provides an assessment of allowable costs in accordance with Federal grant requirements, the cost realism of the budget estimate, appropriateness and reasonableness of resources, and reasonableness and feasibility of the schedule relative to the application timeline. Importantly, the budget evaluation provides initial insight to project-related risk, beyond those dealing with technical uncertainty, which is considered prior to recommendation. Application budgets are evaluated based on the same standards to which recipients will be held after award, which are outlined in the cost principles at 2 CFR part 200.101(c) Subpart E for all non-Federal entities, including commercial organizations.

20.237 MOTOR CARRIER SAFETY ASSISTANCE HIGH PRIORITY ACTIVITIES GRANTS AND COOPERATIVE AGREEMENTS

High Priority (HP) Grant

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Fixing Americas Surface Transportation Act (FAST Act), Pub. L. No. 114-94, 5101(a) and 5101(c) (2015). 49 U.S.C. 3112(l)(2) and (3), 31104 (2016), as amended.

OBJECTIVES:

Support, enrich, and augment Commercial Motor Vehicle (CMV) safety programs through partnerships with States, local governments, Federally

recognized Indian tribes, other political jurisdictions, and other persons to carry out high priority activities and projects. The High Priority grant program includes: 1) High Priority (HP) grants to implement, promote, and maintain national programs to improve CMV safety; increase compliance with CMV safety regulations; increase public awareness about CMV safety; provide education on CMV safety and related issues; and demonstrate new safety related technologies; and 2) Information Technology Deployment (ITD) grants to advance technological capability and promote deployment of intelligent transportation system applications (CMV, carrier, and driver) as well as support/maintain CMV information systems and networks.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

HP grant funds may be used to: increase public awareness and education on CMV safety and related issues; target unsafe driving of CMVs and non-CMVs in areas identified as high risk crash corridors; improve the safe and secure movement of hazardous materials; improve safe transportation of goods and persons in foreign commerce; demonstrate new technologies to improve CMV safety; support participation in Performance and Registration Information Systems Management (PRISM); and conduct safety data improvement projects that enhance data collection and data quality.

ITD grants may be used to: improve safety and productivity of motor carriers, commercial vehicles, and their drivers; streamline enforcement operations; improve efficiency and effectiveness of commercial vehicle safety programs through targeted enforcement; improve security of data and the sharing of commercial vehicle data within States, and between States and FMCSA; reduce Federal/State and industry regulatory and administrative costs; and achieve nationwide deployment of the ITD grant program, with all jurisdictions participating at least at the Core deployment level. The FMCSA will reimburse up to 15 percent of eligible costs.

Applicant Eligibility:

HP grants are eligible to any State agency, local government (including county, city, township, special district, and Federally-recognized Native American tribal governments), institutions of higher education (public, private, and State-controlled), non-profit organizations with or without having a 501(c)(3) status with the Internal Revenue Service, for-profit entities (including small businesses), and other persons. Other persons is defined as an entity not included above and may not be an individual, foreign entity, hospital, public/Indian housing authority, or Federal institution.

ITD grants are eligible to States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, and the U.S. Virgin Islands to deploy, operate, and maintain elements of their ITD programs. The FMCSA may award ITD funds to agencies of States, the District of Columbia, or U.S. territories that have an approved plan as outlined in the FAST Act. Individuals and businesses are not eligible to apply.

Beneficiary Eligibility:

FMCSA may award these grants to the agencies of States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, and the U.S. Virgin Islands.

Credentials/Documentation:

Evidence of eligibility and of negotiated indirect rates and audit by cognizant agency. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

90-120 days of the submission deadline (subject to availability of funds) or as soon thereafter as administratively practicable.

Applicants must register with Grants.gov to apply for funding. Applications should be submitted in accordance with the instructions provided and must be submitted electronically to FMCSA through the Grants.gov website.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Contingent upon Agency funding levels.

Appeals:

Other - Not Specified.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 15%. A 15% match is required.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The maximum period of performance for an HP grant is three fiscal years, including the fiscal year in which the grant agreement is executed. The maximum period of performance for an ITD grant is four fiscal years including the fiscal year in which the grant agreement is executed. All new application project timelines will be evaluated to ensure they can be achieved within this timeframe. Additionally, FMCSA may award a grant agreement with a period of performance for less than maximum period of performance timeframe, depending upon project complexity, reasonableness, and necessity.

Amendment requests to extend the period of performance beyond the period of availability described above will not be approved. See the following for information on how assistance is awarded/released: Request for reimbursement.

Reports:

Reporting requirements are outlined in the grant agreement terms and conditions and consist of quarterly performance progress status and financial reports. Progress Reports include the Performance Progress Report Coversheet (SF-PPR). Financial Reporting requirements include quarterly submission of the Federal Financial Report (SF-425). SF-425. Reporting requirements are outlined in the grant agreement terms and conditions and consist of quarterly performance progress status and financial reports. Progress Reports include the Performance Progress Report Coversheet (SF-PPR). Financial Reporting requirements include quarterly submission of the Federal Financial Report (SF-425). SF-425. Routine monitoring includes regular communication with borrowers regarding the status of projects and reviewing periodic reports that borrowers are required to submit. Scheduled monitoring is an annual scheduled activity that includes a desk review and for active projects, a site visit.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

During the course of the grant project and for three years after the final voucher (invoice) is submitted, grant recipients must retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to the project as FMCSA may require in addition to the reporting and record-keeping requirements set forth in 2 C.F.R. 200.333.

Account Identification:

69-8158-0-7-401.

Obligations:

(Salaries) FY 16 \$15,000,000; FY 17 est \$41,567,000; and FY 18 est \$43,100,000

Range and Average of Financial Assistance:

Awards range from \$50,000 to \$1,000,000.

TAFS Codes:

69-8158.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The FMCSA provided MHP funds to enable recipients to carry out enforcement activities and projects that improve CMV safety and compliance with CMV regulations. Projects commonly focused on enforcement of CMV regulations through state law enforcement details and strike-forces. These actions routinely involved the completion of CMV safety inspections, traffic enforcement, passenger carrier safety, public awareness and education and to demonstrate new technologies. In FY 2016, 42 grants were awarded totaling \$15,000,000 for the above referenced project areas. These grants remain active and final performance achievements will be collected at the conclusion of the project period of performance.

Estimated outcomes:

Community outreach events 24,183

Educational events 134

CMV Inspections 190,688

Traffic Enforcement/Safety strike-force contacts 178,000. Fiscal Year 2017: MHP funds are awarded in according to the FAST Act grant consolidation requirements. At this time, FMCSA is finalizing grant awards for FY 2017. In general, grants will be used to support CMV safety details and strike-forces focused on CMV safety inspections, traffic enforcement and passenger carrier safety. In addition, grant funds will be awarded to conduct public education and outreach, research into CMV safety education, technology investments and operational costs for State CMV safety technology systems previously supported through the CVISN grant (now consolidated under the HP-ITD grant). Fiscal Year 2018: Priorities to be established in FY 2018. Scope to remain similar to FY 2017.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 formerly OMB Circulars 2 CFR 215, A-122, A-133, A-110 and A-21. Regulations, Executive Orders, and related policy are on the public website at: www.fmcsa.dot.gov. A solicitation package (notice of funding availability) containing the appropriate forms and directions are made available through www.grants.gov.

Regional or Local Office:

See Regional Agency Offices. ISee regional agency offices: States may contact their States FMCSAs Division Administrator.

Headquarters Office:

FMCSA Grants Management Office 1200 New Jersey Ave., SE, Washington, District of Columbia 20590 Email: FMCSA_GrantMgmtHelpDesk@dot.gov Phone: (202) 366-0621

Website Address:

<https://www.fmcsa.dot.gov/mission/grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The FMCSA provided MHP funds to enable recipients to carry out enforcement activities and projects that improve CMV safety and compliance with CMV regulations. Funding is also available for projects that are national in scope, increase public awareness and education, demonstrate new technologies and reduce the number and rate of CMV accidents. In FY 2016, 42 grants were awarded totaling \$15,000,000 for passenger inspection projects, education, and the purchase of material and support for CMV safety projects. Fiscal Year 2017: 2017 Award recommendations currently being reviewed.

None to date. Fiscal Year 2018: None to date.

CRITERIA FOR SELECTING PROPOSALS:

All discretionary (competitive) grant program applications undergo a series of reviews prior to award selection as required in 2 CFR 200.204 and 205. These reviews include: 1) technical review that provides an independent assessment of the technical/programmatic merit of an application. At least three qualified individuals are selected to review each application to ensure diversity of perspective and knowledge. Individuals are selected based on their technical education and experience and the extent to which the individual has engaged in relevant work, the capacities in which the individual has done so, and the quality of such work; 2) suitability review that provides a risk assessment on each applicant's organization to ensure the applicant is suitable to receive and manage Federal funds. The risk assessment is conducted in several parts: 1) a debarment and suspension review that included a review of the applicant's administrative capability self-certification and a check against the applicant's records in the System for Award Management (www.sam.gov); 2) a review of the applicant's history with other Federal agencies in the Single Audit Clearinghouse Database; and 3) an evaluation of the applicant's Single Audit in accordance with the Single Audit Act; 3) past performance review that provides information that is considered as a possible indicator for predicting future performance. Many applicants for FMCSA programs have received FMCSA funding in the past and will be evaluated against their ability to complete prior year awards on-time, compliance with grant terms and conditions, and results from FMCSA grant monitoring activities. Applicants with no prior FMCSA grant awards will not be eliminated from funding consideration; and 4) budget/cost analysis that provides an assessment of allowable costs in accordance with Federal grant requirements, the cost realism of the budget estimate, appropriateness and reasonableness of resources, and reasonableness and feasibility of the schedule relative to the application timeline. Importantly, the budget evaluation provides initial insight to project-related risk, beyond those dealing with technical uncertainty, which is considered prior to recommendation. Application budgets are evaluated based on the same standards to which recipients will be held after award, which are outlined in the cost principles at 2 CFR part 200.101(c) Subpart E for all non-Federal entities, including commercial organizations.

20.240 FUEL TAX EVASION-INTERGOVERNMENTAL ENFORCEMENT EFFORT**FEDERAL AGENCY:**

Federal Highway Administration (FHWA), Department of Transportation

AUTHORIZATION:

Fixing Americas Surface Transportation Act (FAST Act), Public Law 114-94, 23 U.S.C 143.

OBJECTIVES:

To increase intergovernmental activities and enforcement efforts among public agencies to reduce Federal and State fuel tax evasion. Funds made available shall be used only to expand or enhance intergovernmental efforts to increase motor fuel tax enforcement and payments; to supplement motor fuel tax examinations and criminal investigations; and to increase research and training in the area of fuel tax evasion. This program is part of the Highway Use Tax Evasion program which also may provide funds to the Internal Revenue Service (IRS) for their efforts as outlined in 23 U.S.C. 143.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

State agencies can use the funds: to expand efforts to enhance motor fuel tax enforcement; to supplement motor fuel tax examinations and criminal investigations; to develop automated data processing tools to monitor motor fuel production and sales; to evaluate and implement registration and reporting requirements for motor fuel taxpayers; to reimburse State expenses that supplement existing fuel tax compliance efforts; to analyze and implement programs to reduce tax evasion associated with other highway use taxes; to support efforts between States and Indian tribes to address issues relating to State motor fuel taxes; and to analyze and implement programs to reduce tax evasion associated with foreign imported motor fuel. An approved indirect cost rate by the entity's cognizant agency is required before claiming indirect costs under a Federal award (2 CFR 200, Appendix VII, States and Local

Government and Indian Tribe Indirect Cost Proposals). Allowable activities are included in the defining statute at the United States Code, Title 23, Section 143. Pre-award costs are not allowed under this program. \$ 2,000,000 is available each year that are awarded through a competitive process based upon a Notice of Funding Opportunity.

Applicant Eligibility:

States, and the District of Columbia.

Beneficiary Eligibility:

States, and the District of Columbia.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit all proposals electronically through www.Grants.gov.

Award Procedure:

Awards are scheduled to be made for Fiscal Year 2015. FHWA and IRS will jointly recommend and approve projects for Federal funding for fuel tax evasion intergovernmental enforcement efforts. States selected to receive funding will enter into negotiations with FHWA and be required to enter into a project agreement with FHWA.

Deadlines:

Nov 30, -0001: No. Due to a delay in the approval of the 2015 and 2016 awards, we have not yet announced the availability of the 2017 grants. When we do, we will allow approximately 60 days from the Notice of Funding Opportunity (NOFO) date. Not available at this time.

Range of Approval/Disapproval Time:

The approval/disapproval time will take approximately 1 month.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The program is currently authorized for fiscal year 2016. There is no statutory expiration of the funds once awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Annual reports will be required for each project and will be outlined in the project agreement. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Participating agencies must keep records of expenditures/accomplishments as applicable.

Account Identification:

69-8083-0-7-401.

Obligations:

(Project Grants) FY 16 \$738,057; FY 17 est \$4,000,000; and FY 18 est \$4,000,000 - The FY 2017 budget line item is for the Highway Use Tax Evasion program. The source of funds for this program is a deduction (set-aside) of not more than \$4 million per year from the funds authorized for FHWA administrative expenses. Of the amount set-aside, \$2 million must be reserved to make grants for intergovernmental enforcement efforts, including research and training. As of May 2017, the awards for 2015 and 2016 were still awaiting final approval by the Office of the Secretary of Transportation (OST). The additional amount, \$ 2 million under the FAST Act, is allocated to the Internal Revenue Service (IRS) for their highway use tax evasion enforcement efforts.

The source of funds for this program is a deduction (set-aside) of not more than \$4 million per year from the funds authorized for FHWA administrative expenses. Of the amount set-aside, \$2 million must be reserved to make grants for intergovernmental enforcement efforts, including research and training.

Range and Average of Financial Assistance:

Minimum: \$10,000; Maximum \$250,000.

TAFS Codes:

69-8083.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Funds have not been awarded for FY 2015 and 2016 as of May 2017. However, prior awards are still used to support training, and a few individual projects in the States. These may include enforcement programs, and enhancements to data systems. Fiscal Year 2017: Funds will likely also be used to expand auditing and tracking programs, including enhancements to data systems. When diesel fuel leaves the bulk terminal, fuel to be used in a non-taxable fashion (off-highway use) is injected with a red dye. At both the Federal and State level, fuel taxes are not imposed on dyed fuel. Many States have enforcement initiatives where vehicles are randomly checked for the presence of red dye in the fuel. Several of the awards will likely be used to start or enhance existing programs in testing for dyed fuel. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Michael Dougherty, 1200 New Jersey Ave. S.E., Washington, District of Columbia 20590 Email: michael.dougherty@dot.gov Phone: (202) 366-9234.

Website Address:

<http://www.fhwa.dot.gov/policy/otps/fueltax.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Awards have not been made using 2016 funds as of May 2017. However, several States continue to use funds from previous awards. Examples of the uses include participation by States in the Joint Operations Center for National Fuel Tax Compliance (JOC) which is a cooperative program between the FHWA, the IRS, and individual States excise taxing agencies. Information from distributors reports to the States and the IRS are compared and combined with other data sets to identify anomalies or questionable transactions. This can greatly reduce the number of transactions that internal or field staff must review. It is very helpful in identifying potential missed tax payments. Other projects include funding for States to attend fuel tax training for auditors and enforcement agents. Funds are used for additional enforcement efforts, and in some cases, funds have been applied to enhancements of data systems (Oregon, Alabama). Fiscal Year 2017: Awards have not been made using 2017 funds as of May 2017. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants are encouraged to submit innovative proposals to reduce fuel tax evasion and increase collaboration and coordination among several public agencies. Priority will be given to proposals that involve more than one public agency. FHWA and the IRS will review the proposals using a scoring process that includes consideration of completeness of the application, enforcement potential, innovation, intergovernmental inclusion, and risk of success.

20.301 RAILROAD SAFETY

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Department of Transportation Act of 1966, Public Law 89-670, 49 U.S.C 1651-1659.

OBJECTIVES:

To reduce railroad-related casualties and accidents.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

1) Risk Reduction Assistance will support pilot projects and a peer-peer program on NS. 2) OLI Assistance will promote educational awareness. 3) Security Assistance will help establish, develop, and implement plans, procedures, and networks to send and receive security-sensitive or emergency-related information from the Government to rail stakeholders and from rail stakeholders to the Government.

Applicant Eligibility:

Anyone concerned with railroad safety.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

See Application Procedure. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms

<http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>; Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424E or Assurances Construction, SF424D (whichever is specified in the announcement); Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets; Description of work completed to date; and description of type and source of matching funds.

Award Procedure:

Not applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. See the following for information on how assistance is awarded/released: Assistance is usually awarded upon request for reimbursement.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. SF-270 Request for Advance or Reimbursement. Quarterly progress reports. Quarterly financial status reports using SF 425, Federal Financial Report. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 C.F.R. Part 200, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. Part 200.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0700-0-1-401; 69-0702-0-1-401.

Obligations:

(Project Grants) FY 16 \$1,105,000; FY 17 est \$18,170,000; and FY 18 est \$20,670,000

Range and Average of Financial Assistance:

The range was between 15,000 to 1,015,000, and the average was 366,907.

TAFS Codes:

69-69-0702; 69-69-0700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Transcaer taught 49,999 emergency responders about railroad emergency response through its various face to face exercises and webinar training outreach all over the United States in 2016. Some of the training included the following: students were trained in Chlorine response during 15 training events; the Crude by Rail component was rolled out this year to a national audience; and there were 14 ethanol safety seminars in addition to training for Anhydrous Ammonia.

The Hazardous Materials Division will continue to oversee one grant for Transcaer. Hazardous Materials was able to enhance nationwide emergency response hands on exercises, train the trainer, face to face and web based training through its support of the American Chemistry Councils Transcaer Program. The Hazardous Materials Division plans to continue funding this Transcaer grant and possibly others in order to enhance rail emergency response and the security of hazardous materials in transportation.

Operation Lifesaver provided assistance to State programs for State coordinator training; national and regional training seminars; educational materials; information to the public promoting rail safety through public service announcements, videos, website and e-learning, and supported the national office to administer its programs and developed and deployed national public awareness and outreach campaign See Tracks? Think Train.

The law enforcement liaisons have developed a wide variety of initiatives and rail safety training programs for local law enforcement and emergency responder organizations throughout their respective regions. These initiatives include conducting grade crossing collision investigation training and emergency responder training for hundreds of police, fire, and emergency responders. The liaisons conduct extensive outreach to communities to promote grade crossing safety, encourage enforcement of laws related to trespassing and highway rail grade crossings. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, 55 Broadway, Room 1077, Cambridge, MA 02142 Telephone: (617) 494-2302. Regional Administrator, 1510 Chester Pike, Crum Lynne, PA 19023 Telephone: (610) 521-8200 Regional Administrator, 61 Forsyth Street, SW., Suite 16T20, Atlanta Federal Center, Atlanta, GA 30303- 3104. Telephone: (404) 562-3800. Regional Administrator, 111 West Adam Street, Suite 310, Chicago, IL 60606 Telephone: (312) 353- 6203. Regional Administrator, 4100 International Plaza, Suite 450, Fort Worth, TX 76109 Telephone: (817) 862-2200. Regional Administrator, 901 Locust Street, Suite 464, Kansas City, MO 64106 Telephone: (816) 329-3840. Regional Administrator, 801 I Street, Suite 466, Sacramento, CA 95814. Telephone: (916) 498-6540. Regional Administrator, 500 E. Broadway, Suite 240, Vancouver, WA, 98660 Telephone: (360) 696-7536.

Headquarters Office:

Gina Matrassi 1200 New Jersey Ave SE., Washington, District of Columbia 20590 Phone: (202) 493-6139.

Website Address:

<http://www.fra.dot.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Grant for Transcaer -- Hazardous Materials was able to enhance nationwide emergency response hands on exercises, train the trainer, face to face and web based training through its support of the American Chemistry Councils Transcaer Program.

Operation Lifesaver -- Provided assistance to State programs for State coordinator training; national and regional training seminars; educational materials; information to the public promoting rail safety through public service announcements, videos, website and e-learning, and supported the national office to administer its programs and developed and deployed national public awareness and outreach campaign. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.313 RAILROAD RESEARCH AND DEVELOPMENT

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Rail Safety Improvement Act of 2008, Public Law 91-458, 49 U.S.C 10208.

OBJECTIVES:

To foster long-range enhancement of the Federal Railroad Administration's program of research in support of rail safety by developing cooperative research relationships between the Administration and university and industry

organizations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Projects must show a relationship towards advancing railroad safety and technology. Applicants must typically demonstrate 5 years of railroad research activity.

Applicant Eligibility:

Applicants can be major academic and industry research institutions with backgrounds in the rail transportation arena. A minimum of 5 years of railroad or railroad related research experience is typically required.

Beneficiary Eligibility:

No restrictions.

Credentials/Documentation:

See Application Procedures section (092). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The FRA will announce specific pre- application coordination activities for each project area in the Federal Register. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms (<https://www.fra.dot.gov/Lib/Details/L05284>);

Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424E or Assurances Construction, SF424D (whichever is specified in the announcement); Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets; Description of work completed to date; and description of type and source of matching funds.

Award Procedure:

The application package is reviewed to ensure that all required documents are complete and signed by the appropriate person. The statement of work (SOW) and budget are reviewed to ensure the appropriation language requirements are met. The SOW is reviewed for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Applications are compared to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Four to 16 weeks after application.

Appeals:

60 Days & to the Administrator, Federal Railroad Administration.

Renewals:

Grants contemplated in this program are predominantly project-oriented rather than on-going efforts and are therefore non-renewable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. See the following for information on how assistance is awarded/released: Assistance is

usually awarded upon request for reimbursement.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant and; Final report giving details of the results and benefits of the Grantee's improvement efforts. SF-270 Request for Advance or Reimbursement. Quarterly progress reports. Quarterly financial status reports using SF-425 Federal Financial Report. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 C.F.R. Part 200, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. Part 200.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0745-0-1-401.

Obligations:

(Cooperative Agreements) FY 16 \$2,674,712; FY 17 est \$2,000,000; and FY 18 est \$3,000,000

Range and Average of Financial Assistance:

Range for FY 2016 was \$8,120 to \$2,401,500, with an average of \$278,755.

TAFS Codes:

69-69-0745.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Training of American Short Line and Regional Railroad Associations Short Line Safety Institute safety assessors and pilot assessment of member railroads.

Field testing of a prototype system for rail defect detection using air-coupled guided waves.

Laboratory testing of a high efficiency heat exchanger for recovering waste energy from locomotive exhaust. Fiscal Year 2017: The American Short Line and Regional Railroad Associations Short Line Safety Institute accomplishments included completing webinars, training events, releasing safety tips, publishing press releases and articles, communications via dashboards and social media and a development training session with assessors and internal team.

The Safety IDEA programs FY-2017 Grant is currently in the final review phase at the FRA. It is expected to provide the final grant agreement to vendor (NAS/TRB) during this month (June, 2017).

The system was completely redesigned from active excitation to passive wheel/rail interface excitation. This makes the system simpler in many ways and allows for higher speed operation. This is important as the previous active system had trouble producing acceptable results beyond 5 or 10mph.

The new passive system was tested on the RTT track at TTC and was able to detect joints and welds at speeds up to 80mph. A great first step and proof of concept. Fiscal Year 2018: The American Short Line and Regional Railroad Associations Short Line Safety Institute planned accomplishments will include:

- Webinars: 12
- Safety Tips: 40
- Training events: 6
- On-site railroad safety culture training events: 2
- Press releases: 12
- Articles or publications: 6
- Facebook & Twitter promotions: 100
- Communications to internal teams: 48 + 12 dashboards
- 2X survey and follow-up training: 4 + its development training sessions with assessors.

The 2018 awards have not been decided, the committee will meet on December 2017 to select projects for FY-18 Rail Safety IDEA program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

John Tunna 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 493-6359

Website Address:

<http://www.fra.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: American Short Line and Regional Railroad Associations Short Line Safety Institute to assess and improve members safety performance.

A system for rail defect detection using air-coupled guided waves.

Transportation Research Boards Safety IDEA (Ideas Deserving Exploratory Analysis) program to improve railroad safety. Fiscal Year 2017: With congressional funding, the Short Line Safety Institute (SLSI) functions to (a) conduct on-site assessments of safety culture, and (b) provide safety education for managers and employees of short line and regional railroads. While the large Class I railroads have invested millions to improve their safety culture over the last decade, Class II and III railroads often lack the resources to make the same investment. The SLSI was formed to fill this need for the smaller railroads.

Refine the air-coupled prototype based on the lessons learnt from the planned fall 2015 field test, and develop and test a ruggedized version of the system for transitioning to industry and commercialization.

The Transportation Research Boards Safety IDEA (Ideas Deserving Exploratory Analysis) program to improve railroad safety provides grants for innovative research ideas. Currently, there are four active projects through this grant:

- Adaptive Prestressing System for Concrete Cross-ties
- Prototype System for Managing Enterprise Rail Transport Risks for Hazmat
- Non-contact Deflection Monitoring System for Timber Railroad Bridges
- Intelligent Systems for Railroad Bridge Inspections. Fiscal Year 2018: American Short Line and Regional Railroad Associations Short Line Safety Institute to assess and improve members safety performance. The Short Line Safety Institute will:

Further develop expertise in education and training, communication, and research and share that expertise with Class II and Class III railroads.

Retain additional personnel to: conduct additional assessments, provide education and training, enhance the research capabilities of SLSI, and disseminate proactive and interactive communications to Class II and Class III

railroads; and

Create benchmarks and objectives to measure the progress and effectiveness of the SLSIs programs.

Transportation Research Boards Safety IDEA (Ideas Deserving Exploratory Analysis) program to improve railroad safety has not selected projects for FY18.

CRITERIA FOR SELECTING PROPOSALS:

In descending order of relative importance: (1) Degree to which it may improve upon or advance railroad safety; (2) Overall scientific and/technical merit; (3) Likelihood for its near term adoption and implementation of possible recommendations; (4) Degree to which the proposed project fits into the Administration's overall research objectives; (5) Reasonableness and realism of the proposed cost, and the availability of funds (to include due consideration for proposed cost-sharing by the applicant).

20.314 RAILROAD DEVELOPMENT

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59; Moving Ahead for Progress in the 21st Century Act (aka - MAP-21), Public Law 112-141; Rail Safety Improvement Act of 2008 (aka - RSIA 2008), Public Law 110-432.

OBJECTIVES:

To provide financial assistance for: planning and developing railroad corridors (including environmental studies), purchasing rail equipment, rail line relocation and improvement projects, construction projects that improve rail lines, enhance service, and add capacity to the national rail system, capital construction, rehabilitation and improvements benefiting train operations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

- (1) The financial assistance may fund, but is not limited to, rehabilitation or construction of railroads, including planning activities and associated studies.
- (2) Specific descriptions are based on the language in the authorizing or appropriating legislation and any associated regulations. Yes, as stated in each funding solicitation.

Applicant Eligibility:

State governments, Regional and local governments, For-profit organizations, such as railroads.

Beneficiary Eligibility:

State governments Regional and local governments For-profit organizations, such as railroads.

Credentials/Documentation:

For more information visit the FRA website at:

<http://www.fra.dot.gov/Page/P0021>. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. At a minimum, the applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms(<https://www.fra.dot.gov/eLib/Details/L05284>); Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424E or Assurances Construction, SF424D (whichever is specified in the

announcement); Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets; Description of work completed to date; and description of type and source of matching funds.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act, as appropriate and related laws and orders. Competitive comparison of applications to determine relative satisfaction of published selection criteria might occur for some grants. Following selection (if applicable) and after the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching is determined by each award.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The restriction is based on the period of performance as outlined in the grant award. Grant award assistance for expenditures is awarded on a reimbursable basis. See the following for information on how assistance is awarded/released: ACH reimbursement.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant and; Final report giving details of the results and benefits of the Grantee's improvement efforts. SF-270 Request for Advance or Reimbursement. Quarterly progress reports. Quarterly financial status reports using SF-425 Federal Financial Report. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met. Monitoring may include onsite visits or detailed, interactive desk reviews. In addition, grantees are provided with intensive technical assistance from grants staff on a daily basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 C.F.R. Part 200, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. Part 200.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private

non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0702-0-1-401; 69-0722-0-1-401; 69-8083-0-1-401; 69-0125-0-1-401.

Obligations:

(Cooperative Agreements) FY 16 \$31,561,467; FY 17 est \$26,965,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Grants within these programs ranged from \$500,000 to \$16,000,000 in FY 2016, with an average of \$3,945,183.

TAFS Codes:

69-0702; 69-0722; 69-0125; 69-8083.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: One project was obligated in FY 16 to construct a new station building that will be fully ADA-compliant and better meet the needs of the traveling public. Fiscal Year 2017: Five projects are projected to be completed in FY 17. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

These two Acts and associated regulations provide the primary guidance for this program: The National Environmental Policy Act of 1969 as amended (42 U.S.C. 4321 et seq.), and related laws Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (Public Law 109-59).

Regional or Local Office:

None.

Headquarters Office:

Mary Ann McNamara 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 493-6393.

Website Address:

[Http://www.fra.dot.gov](http://www.fra.dot.gov)

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Example of a project funded in FY2016 and expected to be completed in FY2017 includes:

A Basis of Design Report that will be a prerequisite for the design and construction of station facilities. Fiscal Year 2017: 1) A feasibility study that will evaluate existing rail infrastructure to identify potential improvements that will increase maximum allowable train speeds and assess the feasibility of passenger rail service.

2) A Tier 1 Environmental Impact Statement (EIS) for a proposed high-speed ground transportation corridor.

3) The conceptual design for the rehabilitation and modernization of an existing tunnel. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The work and cost estimates are reasonable and are within the scope of the appropriation language. For the grants competed, the selection criteria will be specified in the final regulations, and shall include, but shall not be limited to, prioritizing criteria defined in relevant authorizing and appropriations law, and criteria intended to ensure the timely completion of funding projects.

20.315 NATIONAL RAILROAD PASSENGER CORPORATION GRANTS

(Amtrak Grants)

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Continuing Appropriation Resolution, 2015 & Consolidated and Further Continuing Appropriations Act, 2015, Public Law 113-164 & 235; Continuing Appropriations Act, 2016 & Consolidated Appropriations Act, 2016, Public Law 114-53 & 113; Continuing Appropriations and Military Construction, Veterans Affairs and Related Agencies Appropriations Act, 2017, and Zika Response and Preparedness Act & Further Continuing and Security Assistance Appropriations Act, Public Law 114-223 & 254.

OBJECTIVES:

To provide financial assistance to support the operation of and capital investment in intercity passenger rail service in the United States.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

As specified in individual Grant Opportunity announcements, assistance may be used to fund operating expenses, capital investment activities, and/or payment of debt service obligations. As directed by authorizing and appropriating statute, assistance is available only to the National Railroad Passenger Corporation.

Applicant Eligibility:

As directed by authorizing and appropriating statute, assistance is available only to the National Railroad Passenger Corporation.

Beneficiary Eligibility:

As directed by authorizing and appropriating statute, assistance is available only to the National Railroad Passenger Corporation.

Credentials/Documentation:

See Application Procedures. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Environmental clearance is required for the capital portion of this program. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms (<https://www.fra.dot.gov/eLib/Details/L05284>); Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424B or Assurances Construction, SF424D (whichever is specified in the announcement); Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets.

Award Procedure:

Review the application package to ensure that all required documents have been submitted and are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Timing of Approval/Disapproval typically ranges from between 2-6 weeks.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Length and time phasing of assistance is dependent on the specific appropriating and/or authorizing law governing the grant opportunity. See the

following for information on how assistance is awarded/released: The award is determined by legislation.

Reports:

The grantee submits the following reports: Monthly/Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. The grantee submits additional program reports monthly/quarterly and annually to comply with mandatory regulatory and statutory requirements or other related laws and orders. A comprehensive list of the grantees reporting requirements can be found in the annual grant agreements. SF-270 Request for Advance or Reimbursement. Monthly/Quarterly progress reports. Monthly/Quarterly financial status reports using SF-425, Federal Financial Report. Monthly detailed reports regarding performance against project budgets and schedules, and forecasts of future performance. Final reports provide details of the results and benefits of the grantee's operational improvement efforts and annual capital investment and maintenance programs. Additional monitoring efforts include scheduled monitoring of corporate and specific program/project activities as well as Quarterly Meetings to discuss progress and results on the grantees capital and maintenance investment programs and IT and marketing projects.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 C.F.R. Part 200, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. Part 200.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-1774-0-1-401; 69-0125-0-1-401; 69-1775-0-1-401; 69-0123-0-1-401; 69-0121-0-1-401.

Obligations:

(Salaries) FY 16 \$1,390,892,500; FY 17 est \$1,417,000,000; and FY 18 est \$773,000,000 - Funds for this activity were requested as a part of the National High-Performance Rail System account in the FY 2017 budget. (\$1.9B of \$2.3B).

Range and Average of Financial Assistance:

Awards under this program ranged from \$1,250,000 to \$1,090,992,500 in FY 2016 and depend on the amount and break down of assistance made available through specific appropriation laws.

TAFS Codes:

69-69-1775; 69-69-0125; 69-69-0123; 69-69-1774; 69-69-0121.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY2016, the grantees capital investment and annualized maintenance programs consisted of the following activities to maintain the national intercity passenger rail infrastructure: Engineering Infrastructure State of Good Repair (SOGR), Engineering Infrastructure Improvements, ADA Compliance Projects, Fleet Overhauls and Other Mechanical Requirements, Fleet Acquisitions, Information Technology, Facility Repair and Improvements, Planning and Development, Gateway and Operations Support. The total cost to update and maintain the national intercity passenger rail infrastructure in a state of good repair, develop plans and implement projects to ensure safety totaled 1.1B.

During FY2016 the grantee upgraded multiple facilities to improve access for disabled patrons and comply with ADA regulations. FY2016 program accomplishments include development and implementation of Passenger Information Display Systems, ADA Stations Program and Accessible Boarding Technologies and the Washington Station PIDS Replacement project. The grantee is mandated to spend no less than 50M annually on ADA activities. Fiscal Year 2017: In FY2017 the grantee defined and identified 37 capital investment and annualized maintenance programs for its National Network and Northeast Corridor accounts. The programs combined consists of more than 200 projects for implementation along the National Network and Northeast Corridor. For example, the grantees maintenance and capital improvement program includes but is not limited to Bridges, Culverts, and Tunnels, Track Replacement, Railway Infrastructure Equipment, Locomotive Program, Mechanical Facility Improvements, ADA and Debt Service. The grantee anticipates capital investment and annualized maintenance implementation and construction cost of 1.4B.

The aim of the grantees ADA Stations Program is to ensure train stations and passenger facilities comply with Federal ADA guidelines and meet all Federal regulations and statutory requirements. For FY2017, the grantee identified and will take active measures to bring 36 stations into compliance. The grantee is mandated to spend no less than 50M annually on ADA activities. Fiscal Year 2018: Dependent on appropriations.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Not applicable.

Headquarters Office:

Federal Railroad Administration, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 493-6377

Website Address:

<http://www.fra.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In FY2016 the grantee received federal financial assistance for operating and capital, debt service and ADA program. During the FY the grantee utilized funding to maintain the national intercity passenger rail infrastructure in a state of good repair, implemented projects to allow for the continued safe operation of national rail service, pursued activities that supported intercity passenger rail operations and addressed and complied with specific infrastructure improvement requirements and mandates. Examples of funded projects include Engineering Infrastructure, SOGR, Engineering Infrastructure Improvements, ADA Compliance Projects, Fleet Overhauls and Other Mechanical Requirements, Fleet Acquisitions, Information Technology, Facility Repair and Improvements, Planning and Development, Gateway and Operations Support. Fiscal Year 2017: In FY2017 the grantee will utilize federal assistance to fund the National Networks operations and capital, debt service and ADA programs. The Northeast Corridor does not require operating assistance with funding solely used to conduct routine maintenance, construct infrastructure projects, service debt and conduct ADA Stations Programs activities. The grantee has identified 37 programs that consist of 200 capital improvements and annualized maintenance projects. Examples of funded projects include IT, marketing, environmental, planning, SOGR, infrastructure and ADA projects. Fiscal Year 2018: Dependent on appropriations.

CRITERIA FOR SELECTING PROPOSALS:

Proposals for projects must support the stated strategic goals of the applicant organization, align with the priorities identified in authorization or appropriation statutes, and of the Secretary of Transportation, and be accompanied by detailed scope and budget documentation. Quantitative or qualitative justification of project prioritization must be provided.

20.316 RAILROAD REHABILITATION AND IMPROVEMENT FINANCING PROGRAM

(RRIF Loan Program)

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Railroad Revitalization and Regulatory Reform Act of 1976 (45 U.S.C. 821, et seq.), 45 U.S.C 821.

OBJECTIVES:

The RRIF program provides direct loans and loan guarantees to State and local governments, interstate compacts consented to by Congress under section 410(a) of the Amtrak Reform and Accountability Act of 1997 (49 U.S.C. 24101); government sponsored authorities and corporations; railroads; joint ventures that include at least one railroad; and solely for the purpose of constructing a rail connection between a plant or facility and a second rail carrier, limited option rail freight shippers that own or operate a plant or other facility that is served by no more than a single railroad.

TYPES OF ASSISTANCE:

DIRECT LOANS; Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Funds provided by direct loans or loan guarantees under the RRIF Program can be used to: (1) Acquire, improve, or rehabilitate intermodal or rail freight or passenger equipment or facilities, including track, components of track, bridges, yards, buildings and shops (2) Refinance outstanding debt incurred for purposes described in the previous paragraph (3) Develop or establish new intermodal or railroad facilities. The repayment period for direct loans and loan guarantees is up to 35 years. The interest rate on direct loans will be equal to the rate on Treasury securities of a similar term.

Applicant Eligibility:

Eligible borrowers include railroads, state and local governments, government-sponsored authorities and corporations, joint ventures that include at least one railroad, and limited option freight shippers who intend to construct a new rail connection.

Beneficiary Eligibility:

The beneficiaries of the Program will be the State or local government organizations, railroad, joint ventures that include a railroad, and limited option freight shippers that will receive the financial assistance to permit them to complete the specified projects.

Credentials/Documentation:

Application is required and other related information/documentation as needed. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

A pre-application meeting may be held if requested by a potential applicant. Procedures for requesting such a meeting are available on the FRA website and at 49 CFR 260.19. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Complete and submit application form available on the FRA website.

Award Procedure:

FRA will evaluate application and advise applicant of approval or disapproval.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The Federal Railroad Administration (FRA) will approve or disapprove a request for a loan within 90 days after receipt of a complete application.

Appeals:

Not Applicable.

Renewals:

Disapproved applicants may reapply at any time.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As a part of the application package, the borrower provides a projected timetable for the completion of the project. The funding will be made available to reimburse the Borrower for prior payment of allowable costs incurred in connection with the project. See the following for information on how assistance is awarded/released: Quarterly or as needed when borrower requests a disbursement to be reimbursed for allowable costs.

Reports:

Program reports are not applicable. Financial statements are required to be submitted for the life of the financial assistance. During the course of the project, monthly progress reports of work completed and actual expenditures compared to the budget. Yearly audited and certified profit and loss statement, balance sheet and statement of cash flows must be submitted for the life of the financial assistance. During the course of the project, monthly progress reports of work completed and actual expenditures compared to the budget. FRA staff conduct routine and scheduled monitoring of RRIF loans. Routine monitoring includes regular communication with borrowers regarding the status of projects and reviewing periodic reports that borrowers are required to submit. Scheduled monitoring is an annual scheduled activity that includes a desk review and for active projects, a site visit. Questions asked by FRA cover the following areas: programmatic (scope, schedule, budget), compliance (agreement terms and conditions) and fraud, waste and abuse. A report is then drafted by FRA staff.

Audits:

No audits are required for this program.

Records:

Documentary evidence required for each loan varies. However, standard loan terms require financial and project reports as well as evidence of lien perfection for collateral.

Account Identification:

69-4420-0-3-401.

Obligations:

(Direct Loans) FY 16 \$2,450,000,000; FY 17 est \$600,000,000; and FY 18 est \$600,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-4420.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: One loan closed in FY 2016. Fiscal Year 2017: It is projected one loan will close. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Duane Callender 1200 New Jersey Avenue, S.E., W12-426
, Washington , District of Columbia 20590 Phone: (202) 366-1059

Website Address:

<https://www.transportation.gov/buildamerica/programs-services/rrif>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Continued to support Safety infrastructure and refinance purchases of rolling stocks. Fiscal Year 2017: Will continue to support Safety infrastructure and refinance purchases of rolling stocks. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The program provides direct loans and loan guarantees to State and local governments, government-sponsored authorities and corporations, railroads, and joint ventures that include at least one railroad. Eligible projects include: (1) refinancing outstanding debt that was incurred for eligible purposes, (2) developing or establishing new intermodal or railroad facilities, or (3) acquisition, improvement or rehabilitation of intermodal or rail equipment or facilities (including tracks, components of tracks, bridges, yards, buildings and shops).

20.317 CAPITAL ASSISTANCE TO STATES - INTERCITY PASSENGER RAIL SERVICE

IPR Program

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

The Passenger Rail Investment and Improvement Act of 2008 (PRIIA)
, Public Law 110-161.

OBJECTIVES:

To provide financial assistance to fund capital improvements (and related planning activities) necessary to support improved or new intercity passenger rail service.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance can be used to develop projects, programs and planning. This includes Projects which will result in the creation of new or substantially improved High-Speed Rail/Intercity Passenger Rail service, and will provide tangible and measurable benefits, such as on-time performance improvements, travel-time reductions and higher service frequencies resulting in increased ridership. Final Design, the last phase of project development, includes right-of-way acquisition, utility relocation, the preparation of final design plans, construction management plans, system safety plans for construction management and operations, safety certification, any required collision hazard analysis, final construction cost estimates and detail specifications, as well as procurement of construction services and equipment. 100% of the funds are set aside for discretionary activities.

Applicant Eligibility:

Profit organization, Other private institutions/organizations, State & Transportation.

Beneficiary Eligibility:

The general public, both users and non-users of intercity passenger rail service. State departments of transportation and other public agencies, although private transportation companies may participate through contractual arrangements with a State department of transportation.

Credentials/Documentation:

Department of Transportation, Federal Railroad Administration (FRA) Assurances and Certification forms including: (1) Certification Regarding Debarment, Suspension, and Other Responsibility Matters Primary Covered Transactions; (2) Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions; (3) Certification Regarding Drug-Free Workplace Requirements; (4) Certification Regarding Lobbying; (5) Assurances Non- Construction Programs SF 424B; and (6) Certificate of Indirect Costs Assurances. Other documents may be required as pre-requisites to funding based on program type. Documentation demonstrating applicant eligibility (for applicants other than States); National Environmental Policy Act documentation for construction projects and service development programs; program-specific Assurances and Certifications; planning and engineering documentation. Also see Application Procedure. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Prospective applicants may contact FRA prior to submission of applications to inquire about applicant eligibility, the competitive prospects of their proposed project, the National Environmental Policy Act and related legal requirements.

and other requirements. This program is excluded from coverage under E.O. 12372. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An initial review of the applicant, project application and eligibility. The application is then reviewed and rated against the key criteria set forth in the NOFO.

Funds are awarded after review and approval the required pre-requisite documentation.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Compare applications to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

FRA is committed to responding to applicants in a reasonable and timely manner. Response times will vary based on size, scope, complexity and volume of applications.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Match requirements depend on the legislative authority, project type, and the terms of the specific NGA. This program has MOE requirements, see funding agency for further details. This program has MOE requirements; see funding agency for further details.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. Assistance is awarded upon request for reimbursement. See the following for information on how assistance is awarded/released: Funding is obligated through cooperative agreements, and outlays occur as reimbursement. Funding will only be obligated and disbursed as milestones are achieved.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant and a final report giving details of the results and benefits of the Grantee's improvement efforts. Please describe the cash reports required:

SF-270 Request for Advance or Reimbursement. Quarterly progress reports. Quarterly financial status reports using SF 425, Financial Status Report. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met. Monitoring may include on-site visits or detailed, interactive desk reviews. In addition, grantees are provided with intensive technical assistance from grants staff on a daily basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 C.F.R. Part 200, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. Part 200.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0715-0-1-401.

Obligations:

(Cooperative Agreements) FY 16 \$7,189,643; FY 17 est \$2,000,000; and FY 18 est \$10,000,000

Range and Average of Financial Assistance:

The range was between \$80,875 to \$7,189,643, and the average was \$3,635,259.

TAFS Codes:

69-69-0715.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Capital Assistance to States projects completed in FY 2016 include the construction of new track, bridges and signal improvements that will enable more efficient operations of freight and passenger services. Fiscal Year 2017: The completion of Environmental Impact Statements and Service Development Plans in FY 2017 will further development of high-speed passenger rail service in heavily traveled corridors in the nations fast growing regions. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance for this program is provided by The National Environmental Policy Act of 1969 as amended (42 U.S.C. 4321 et seq.), and related laws, the FRA publication entitled, Railroad Corridor Transportation Plans: A Guidance Manual (available at http://www.fra.dot.gov/Downloads/RRdev/corridor_planning.pdf), and Federal Register notices announcing the availability of funding pursuant to this program.

Regional or Local Office:

See Regional Agency Offices. If necessary to contact a regional office, the contact information will be in a grant agreement.

Headquarters Office:

Gina Matrassi 1200 New Jersey Ave SE., Washington, District of Columbia 20590 Phone: (202) 493-6139.

Website Address:

<http://www.fra.dot.gov>

RELATED PROGRAMS:

20.319 High-Speed Rail Corridors and Intercity Passenger Rail Service Capital Assistance Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: An example of a project that was completed in FY 2016 involved the design and construction of a new railroad bridge and 1.3 miles of new passenger-only track between two existing rail lines, streamlining passenger rail access to the Detroit area. Fiscal Year 2017: An example of the project that will be completed in FY 2017 involves planning assistance intended to advance intercity passenger rail service from a conceptual phase through completion of an initial environmental analysis of the alternatives, preliminary corridor selection, and initial engineering design. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All selection criteria will be specified in Federal Register notices announcing the availability of funding pursuant to this program, and shall include, but shall not be limited to, prioritizing criteria defined in relevant law, and criteria intended to ensure the timely completion of funding projects and the full realization of the benefits the projects are intended to generate.

20.318 MAGLEV PROJECT SELECTION PROGRAM - SAFETEA-LU

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

The Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59.

OBJECTIVES:

To provide financial assistance for a demonstration magnetic levitation transportation project.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for preconstruction planning activities and capital costs of a viable Maglev project. Funds may be used for preconstruction planning activities and capital costs of a viable Maglev project.

Applicant Eligibility:

Only for existing Maglev projects.

Beneficiary Eligibility:

State governments.

Credentials/Documentation:

See Application Procedure. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms (<https://www.fra.dot.gov/eLib/Details/L05284>); Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424E or Assurances Construction, SF424D (whichever is specified in the announcement); Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets; Description of work completed to date; and description of type and source of matching funds.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Compare applications to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement for further information.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The period of performance is up to 60 months. See the following for information on how assistance is awarded/released: Assistance is usually awarded upon request for reimbursement.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Final report giving details of the results and benefits of the Grantee's improvement efforts. SF-270 Request for Advance or Reimbursement. Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly financial status reports using SF 425. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met. Monitoring may include onsite visits or detailed, interactive desk reviews. In addition, grantees are provided with intensive technical assistance from grants staff on a daily basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 C.F.R. Part 200, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. part 200.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-8083-0-1-401.

Obligations:

(Cooperative Agreements) FY 16 \$27,800,000; FY 17 est \$0; and FY 18 est \$13,800,000 - [Note: This amount includes the redirected West of the Mississippi River funds, which were moved from Maglev to other projects -- Rail Safety Technology (PTC) grants, HSR Passenger Rail Planning, Multi-State Planning, and Regional Planning (MW & SE)].

Range and Average of Financial Assistance:

Only one obligation was made in FY 2016 for \$27,800,000. One additional obligation is planned for \$13,800,000.

TAFS Codes:

69-69-8083.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: One grant was awarded in late FY2016 to fund Preliminary

Engineering and NEPA review and documentation for a proposed high-speed superconducting maglev (SCMAGLEV) train system. Fiscal Year 2017: The FY 2016 funded project will include preliminary engineering work and a NEPA study that will evaluate the proposed SCMAGLEV service on a new right-of-way (ROW) with reasonable alternatives for alignments and station locations, and will document potential impacts on the environment. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The National Environmental Policy Act of 1969 as amended (42 U.S.C. Section 4321 et seq.), and related laws; Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (Public Law 109-59); SAFETEA-LU Technical Corrections Act (Public Law 110-244); OMB Circular No. 2 CFR 200. Solicitation guidelines will be made available.

Regional or Local Office:

None.

Headquarters Office:

Mary Ann McNamara 1200 New Jersey Avenue, S.E.
United States
, Washington,, District of Columbia 20590 Email: fra-grants@dot.gov Phone: 202-493-6393

Website Address:

<http://www.fra.dot.gov>

RELATED PROGRAMS:

20.314 Railroad Development

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: An example of the project funded in FY 2016 includes Preconstruction SCMAGLEV planning activities. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.319 HIGH-SPEED RAIL CORRIDORS AND INTERCITY PASSENGER RAIL SERVICE CAPITAL ASSISTANCE GRANTS

HSR/IPR Program

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

The Passenger Rail Investment and Improvement Act of 2008 (PRIIA) , Public Law 111-5.

OBJECTIVES:

To assist in financing the capital costs of facilities, infrastructure, and equipment necessary to provide or improve high-speed rail and intercity passenger rail service. Funds are made available pursuant to three authorized programs: Intercity Passenger Rail Service Corridor Capital Assistance, Congestion Grants; and High-Speed Rail Corridor Development.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Assistance can be used to develop projects, programs and planning. This includes Projects which will result in the creation of new or substantially improved High-Speed Rail/Intercity Passenger Rail service, and will provide tangible and measurable benefits, such as on-time performance improvements, travel-time reductions and higher service frequencies resulting in increased ridership. Final Design, the last phase of project development, includes right-of-way acquisition, utility relocation, the preparation of final design plans, construction management plans, system safety plans for construction management and operations, safety certification, any required collision hazard analysis, final construction cost estimates and detail specifications, as well as procurement of construction services and equipment. Funds may not be used to funding operating expenses, or for commuter rail passenger transportation. All funds are discretionary.

Applicant Eligibility:

Profit organization, Other private institutions/organizations, State & Transportation.

Beneficiary Eligibility:

The general public, both users and non-users of intercity passenger rail service. State departments of transportation and other public agencies, although private transportation companies may participate through contractual arrangements with a State department of transportation.

Credentials/Documentation:

Department of Transportation, Federal Railroad Administration (FRA) Assurances and Certification forms including: (1) Certification Regarding Debarment, Suspension, and Other Responsibility Matters Primary Covered Transactions; (2) Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions; (3) Certification Regarding Drug-Free Workplace Requirements; (4) Certification Regarding Lobbying, (5) Assurances for Construction Programs SF 424A, (6) Assurances Non- Construction Programs SF 424B; and (7) Certificate of Indirect Costs Assurances. Other documents may be required as pre-requisites to funding based on program type. Documentation demonstrating applicant eligibility (for applicants other than States); National Environmental Policy Act documentation for construction projects and service development programs; program-specific Assurances and Certifications; planning and engineering documentation. Also see Application Procedure. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program requires submission of environmental impact information based on the project type. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An initial review of the applicant, project application and eligibility. The application is then reviewed and rated against the key criteria set forth in the NOFO. Funds are awarded after review and approval the required pre-requisite documentation.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Evaluate applications to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

FRA is committed to responding to applicants in a reasonable and timely manner. Response times will vary based on size, scope, complexity and volume of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Match requirements depend on the legislative authority, project type, and the terms of the specific NGA. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Congress made \$8 billion available through the American Recovery and Reinvestment Act of 2009 (ARRA). Congress continued to build upon the

Recovery Act by making available an additional \$2.1 billion through annual appropriations for FY 2009 and 2010, using the framework initially established by the Passenger Rail Investment and Improvement Act of 2008 (PRIIA), bringing the total program funding to \$10.1 billion. Funding appropriated under ARRA was awarded to grantees by September 30, 2012, and be expended by September 30, 2017. Funding appropriated under PRIIA shall remain available until expended. If any amount provided as a grant is not obligated or expended within 2 years after the date on which the grantee received the grant, such sums shall be returned for other intercity passenger rail development projects at the discretion of the Secretary of Transportation. See the following for information on how assistance is awarded/released: Funds are awarded through a standardized drawdown or auto-pay process for some grantees, and for others, the agency maintains the right to release funds through an invoicing method, with the ability to enforce a payment hold.

Funding is obligated through cooperative agreements, and outlays occur as reimbursement. For complex corridor development programs, FRA will issue a Letter of Intent (LOI) that represents a contingent financial commitment to a States corridor program. A LOI does not represent an obligation or disbursement of funds. Funding will only be obligated and disbursed as milestones are achieved.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Final report giving details of the results and benefits of the Grantee's improvement efforts. SF-270 Request for Advance or Reimbursement. Quarterly progress reports. Quarterly financial status reports using SF 425, Federal Financial Report. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met. Monitoring may include on site visits or detailed, interactive desk reviews. In addition, grantees are provided with intensive technical assistance from grants staff on a daily basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 C.F.R. Part 200, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. Part 200.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0719-0-1-401; 69-8083-0-1-401; 69-0715-0-1-401.

Obligations:

(Project Grants) FY 16 \$5,218,600; FY 17 est \$52,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

In FY 2016, awards ranged from \$2,236,550 to \$2,982,050, with an average of \$2,609,300.

TAFS Codes:

69-0719; 69-8083; 69-0715.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Three projects were obligated in FY 16 for planning and

safety improvements. Fiscal Year 2017: Projects have been completed or will be completed in FY 17 that:

1. Build new high-speed rail corridors that expand and fundamentally improve passenger transportation in the geographic regions they serve;
2. Upgrade existing intercity passenger rail corridors to improve reliability, speed, and frequency of existing services; and
3. Lay the groundwork for future high-speed rail services through corridor and state planning efforts. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim program guidance is provided within Notices of Funding Availability. Additional information is available as needed on the FRA website and from FRA grants management staff.

Regional or Local Office:

None.

Headquarters Office:

Gina Matrassi 1200 New Jersey Ave, S.E., Washington, District of Columbia 20590 Phone: (202) 493-6139 Fax: (202) 493-6381.

Website Address:

<http://www.fra.dot.gov>

RELATED PROGRAMS:

20.317 Capital Assistance to States - Intercity Passenger Rail Service

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Examples of projects obligated in FY 16 include the planning and environmental documentation to support a future decision to fund and implement a major investment in a passenger rail corridor, as well as grade crossing and bridge improvements to improve safety and efficiency. Fiscal Year 2017: Examples of projects planned for completion in FY 17 include planning, engineering, and environmental studies, as well as the construction of improved passenger access facilities and railroad infrastructure. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications will be individually evaluated against the following criteria, listed in order of priority: 1) achieving transportation solutions in a cost effective manner; 2) achieving public benefits in a cost effective manner; 3) sustainability of transportation and other public benefits; 4) management and minimization of project delivery risk; and 5) timeliness of project commencement and completion. Selection of applications for award will also take into account the following selection criteria: 1) regional balance necessary to create a nationwide high-speed rail and intercity passenger rail network and address urban and non-urban mobility needs; 2) balance and diversity of cost effective technological approaches to improving HSR and IPR service; 3) organizing multi-State partnerships and encouraging community involvement where appropriate; and 4) previous HSIPR Program grants and previous State investments in high-speed intercity passenger rail.

20.320 RAIL LINE RELOCATION AND IMPROVEMENT

Rail Line Relocation

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU).

OBJECTIVES:

To provide financial assistance for rail line relocation and improvement projects.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The financial assistance may fund, but is not limited to, rehabilitation, relocation or construction of railroads, including some planning activities.

Applicant Eligibility:

Government - General and Transportation.

Beneficiary Eligibility:

States, Political Subdivisions of States, and the District of Columbia.

Credentials/Documentation:

See Application Procedure. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The applicant must submit the following information to the FRA through www.grants.gov: Application for Federal Assistance SF-424 Department of Transportation Federal Railroad Administration Assurances and Certification forms (<https://www.fra.dot.gov/eLib/Details/L05284>);

Certification Regarding Debarment, suspension, and other Responsibility Matters Primary Covered Transactions; ; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances Non-Construction Programs; SF 424E Certificate of Indirect Costs; Assurances - Construction Programs SF-424D Statement of Work Budget. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms (<https://www.fra.dot.gov/eLib/Details/L05284>);

Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; ; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424E; Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets; Description of work completed to date; and description of type and source of matching funds.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Compare applications to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 10%. This program has no statutory formula. All grantees will be required to provide at least a 10% match from non-program funds toward the grant budget. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. Assistance is usually awarded upon request for reimbursement. See the following for information on how assistance is awarded/released: Assistance is usually awarded upon request for reimbursement.

Reports:

The grantee shall submit the following reports: Quarterly progress reports

giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant and; Final report giving details of the results and benefits of the Grantee's improvement efforts. SF-270 Request for Advance or Reimbursement. Quarterly progress reports. Quarterly financial status reports using SF-425 Federal Financial Report. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 C.F.R. Part 200, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. Part 200.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0716-0-1-401.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$3,000,000; FY 17 est \$5,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

No awards were made in FY 2016. Range of expected awards is \$386,000 to \$500,000 with an average of \$428,667.

TAFS Codes:

69-69-0716.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Five projects were completed in FY 2016. Fiscal Year 2017: Two projects remain to be completed in FY 17. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

These two Acts and associated regulations provide the primary guidance for this program: The National Environmental Policy Act of 1969 as amended (42 U.S.C. 4321 et seq.), and related laws; the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (Public Law 109-59); 49 CFR Part 262.

Regional or Local Office:

See Regional Agency Offices. If necessary to contact a regional office, the contact information will be in a grant agreement.

Headquarters Office:

John Winkle 1200 New Jersey Ave SE., Washington, District of Columbia 20590 Phone: (202) 493-6067 Fax: (202) 493-6330.

Website Address:

<http://www.fra.dot.gov/Page/P0090>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: An example of a project that was completed in FY 16 is the relocation and reconstruction of a rail line that will facilitate the creation of the Osceola Avenue multimodal trail and eliminate important safety issues caused

by concurrent rail, vehicular and pedestrian use.

Another example of a project that was completed in FY16 is the rehabilitation of approximately 40 miles of the railroad in order to accommodate 286,000 pound loads, increase train speeds to up to 25 mph, and reduce Excepted Track Classification. Fiscal Year 2017: An example of a project that will be completed in FY17 involves upgrading the Lead track from an industrial lead with speeds limited to approximately 5 mph, to a Class 1 track, which will allow for speeds of 10 mph.

Another example of a project that will be completed in FY17 involves relocating the Railroad Line to reduce the potential for a slope failure and the resulting loss of service and possible derailment. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria were included in SAFETEA-LU and the Final Rule establishing the program. For the earmarks, the work and cost estimates are reasonable and are within the scope of the program regulations and the appropriation language. For the earmarks, the work and cost estimates are reasonable and are within the scope of the program regulations and the appropriation language.

20.321 RAILROAD SAFETY TECHNOLOGY GRANTS

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Section 105 of the Rail Safety Improvement Act of 2008 as modified by the Consolidated Appropriations Act, 2016, Division L, Title I (Public Law 114-113 (December 18, 2015) , Public Law 114-113, 49 U.S.C 20158.

OBJECTIVES:

To facilitate the deployment of train control technologies, train control component technologies, processor-based technologies, electronically controlled pneumatic brakes, rail integrity inspection systems, rail integrity warning systems, switch position indicators and monitors, remote control power switch technologies, track integrity circuit technologies, and other new or novel railroad safety technology.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Railroad Safety Technology Grants Program funds projects that enhance railroad safety by implementing a Positive Train Control (PTC) system or benefiting overall PTC implementation on freight, intercity passenger, and commuter railroads. These projects focus on development and deployment of technologies that will lower the costs, speed implementation, increase interoperability, and improve the reliability of PTC systems. The FRA is particularly interested in development and deployment on the following topics related to PTC: elimination of PTC communications interference; configuration management of multi-railroad PTC software and firmware deployments; and host-tenant railroad PTC interoperability/system certification. In addition, the establishment and management of collaborative interoperability coordination groups, that may be used to resolve industry-wide PTC technical and management issues, were eligible for funding. Examples of eligible projects include the following:

- Costs for implementation, installation, and testing of PTC systems;
- Costs for shared PTC infrastructure (e.g., back office systems, CAD systems); and

- Costs to advance PTC interoperability, such as pilot programs, standardization committees, development of standard processes, and spectrum acquisition, sharing, and desensitization. Grant funds may not be used for lobbying or in direct support of litigation. 100 percent of the funds are discretionary grants.

Applicant Eligibility:

There is no additional information.

Beneficiary Eligibility:

Passenger and freight railroad carriers; Railroad suppliers; and

State and local governments for projects that have a public benefit of improved safety and network efficiency. To be eligible for assistance, the above entities subject to 49 U.S.C. 20157(a) must have submitted a revised Positive Train Control Implementation Plan (PTCIP) to FRA as required by 49 U.S.C. 20157(a). FRA considers the development and submission of a revised PTCIP under 49 U.S.C. 20157(a) to meet the eligibility requirement related to submitting a plan required under 49 U.S.C. 20156(e)(2) containing an analysis of the impact, feasibility, costs and benefits of implementing PTC system technology. FRA believes that any submission connected to sec. 20156(e)(2), which has yet to be incorporated into a Federal regulation, would merely be duplicative of what a railroad analyzed when it developed and submitted a revised PTCIP. Thus, FRA considers the submission of a revised PTCIP to meet the eligibility requirements in 49 U.S.C. 20158(b)(3) for purposes of this NOFO. If an applicant is not required to comply with either sec. 20157(a) or sec. 20156(e)(2), the applicant must demonstrate that to FRA's satisfaction in its NOFO application Individuals are not eligible for these awards.

Credentials/Documentation:

U.S. Department of Transportation Federal Railroad Administration Assurances and Certification forms <https://www.fra.dot.gov/eLib/Details/L05284> Certification Regarding Debarment, Suspension, and Other Responsibility Matters-Primary Covered Transactions, , Certification Regarding Drug-Free Workplace Requirements, Certification Regarding Lobbying, Assurances-Non-Construction Programs, SF 424E, Certificate of Indirect Costs, Assurances - Construction Programs SF-424D. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An initial review of the applicant, project application and eligibility. The application is then reviewed and rated against the key criteria set forth in the NOFO. Funds are awarded after review and approval the required pre-requisite documentation.

Award Procedure:

Review the application package to ensure that all required documents have been submitted and are complete and signed by the appropriate person. Review the SOW and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

FRA is committed to responding to applicants in a reasonable and timely manner. Response times will vary based on size, scope, complexity and volume of applications.

Appeals:

Not Applicable.

Renewals:

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Formula and Matching Requirements:

Statutory Formula: Title 49, Part 20158.

Matching Requirements: Percent: 80%. Federal funds for any eligible project shall not exceed 80 percent of the total cost of such project FRA cannot consider any other Federal funds, nor any non-Federal funds already expended (or otherwise encumbered), towards the matching requirement. Additionally, FRA is limiting the method for calculating the non-Federal match to cash contributions only in-kind contributions will not be accepted. Matching funds provided in excess of the minimum requirement will be considered in evaluating the merit of an application.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. See the following for information on how assistance is awarded/released: FRA will award grants for the full federal amount, however, recipients shall receive funds on a reimbursable basis. Funding will only be obligated and disbursed as milestones are achieved.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Final report giving details of the results and benefits of the Grantee's improvement efforts. SF-270 Request for Advance or Reimbursement. Quarterly progress reports. Quarterly financial status reports using SF-425 Federal Financial Report. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timeliness, milestones, budgets, and other related program criteria are being met.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 C.F.R. Part 200, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. Part 200.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0702-0-1-401; 69-0700-0-1-401.

Obligations:

(Project Grants) FY 16 \$1,275,000; FY 17 est \$26,275,000; and FY 18 est \$0 - No funds requested in FY 2016, this is an eligible activity in the National High-Performance Rail System request. These funds cannot be used for salaries and benefits.

Range and Average of Financial Assistance:

The range was between 15,000.00 to 1,015,000, and the average was 318,598 in FY 2016.

TAFS Codes:

69-69-0700; 69-0702.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In 2008, Congress mandated PTC implementation on the main lines of Class I railroads and entities providing regularly scheduled intercity or commuter rail passenger transportation over which any poisonous or toxic by inhalation hazardous materials are transported, or over which intercity or commuter rail passenger transportation is regularly provided. Since Congress extended the original PTC implementation deadline from December 31, 2015 to at least December 31, 2018, these grants will help make the nation's rail system safer by facilitating deployment of PTC collision avoidance systems. Fiscal Year 2017: These grants will continue to facilitate the implementation of PTC that prevents certain train-to-train collisions, over-speed derailments, incursions into established work zone limits, and trains going to the wrong tracks due to improper switching. Many grant projects will help railroads achieve interoperability among the different PTC systems that railroads are deploying. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Rail Safety Improvement Act of 2008 (Public Law 110-432) and associated regulations.

Regional or Local Office:

None. If necessary to contact a regional office, the contact information will be in a grant agreement.

Headquarters Office:

Dr. Mark W. Hartong, PE Office of Railroad Safety, Federal Railroad Administration, Mail Stop 25, 1200 New Jersey Ave SE, Washington, District of Columbia 20590 Email: mark.hartong@dot.gov Phone: (202) 493-1332.

Website Address:

<http://www.fra.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: An example of a project awarded in FY 16 includes a Wireless Communications Analysis, Security Plan, and System Specification and Implementation Plan. Another project awarded in FY 16 will assist the North American rail industry in developing strategies for identifying and mitigating disruptions to GPS service and 220 MHz ITC radio communication service due to equipment malfunctions or malicious attacks, and to help define interoperable standards and recommended practices to support PTC message security among Class I railroads and commuter and short line railroads. Fiscal Year 2017: An example of a project awarded in FY 17 is one that will sustain uninterrupted freight rail interchanges, and rail service to eighty-eight (88) rail-served businesses in North Texas. The Grantee will purchase equipment, and communications systems necessary to operate as a tenant railroad via trackage rights on the Burlington Northern SantaFe, Union Pacific Railroad, Trinity Railway Express, and TexRail Railroad, where PTC is applicable. The Project will consist of the following: 1) Procurement and installation of Locomotive on-board equipment for nine (9) locomotives; 2) Procurement and installation of 220 MHz Radios; 3) Procurement of Crew Initialization Back Office Server (CI-BOS) that will be used for on-board equipment testing; and 4) Employee Training/Certification that meets the host railroads standards. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Evaluation criteria included:
PTC Deployment Benefits
Technical Merit
Project Development approach

The following selection criteria ensured that the projects selected for funding advance FRAs current mission and key priorities:

- 1) Alignment with the DOT Strategic Goals and Priorities
- 2) Project Delivery Performance
- 3) Region/Location
- 4) Innovation/Resource Development.

20.323 FISCAL YEAR 2013 HURRICANE SANDY DISASTER RELIEF GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

The Disaster Relief Appropriations Act, 2013, Public Law 113-2.

OBJECTIVES:

To provide supplemental assistance to the National Passenger Railroad Corporation for disaster assistance related to Hurricane Sandy.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

As specified in individual Grant Opportunity announcements, assistance may be

used to fund repair expenses or resiliency projects.

Applicant Eligibility:

As directed by authorizing and appropriating statute, assistance is available only to the National Railroad Passenger Corporation.

Beneficiary Eligibility:

As directed by authorizing and appropriating statute, assistance is available only to the National Railroad Passenger Corporation.

Credentials/Documentation:

See Application Procedures. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The applicant submitted the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms; Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424B or Assurances Construction, SF424D (whichever is specified in the announcement); Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets.

Award Procedure:

FRA reviewed the application package to ensure that all required documents had been submitted and were completed and signed by the appropriate person. Reviewed the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Reviewed SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Timing of Approval/Disapproval typically ranged from between 2-6 weeks.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Length and time phasing of assistance is dependent on the specific appropriating and/or authorizing law governing the grant opportunity. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

The grantee shall submit the following reports: Monthly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Through the grant agreement, the grantee may be required to submit various other reports. Final report giving details of the results and benefits of the Grantee's activities. Request for Advance or Reimbursement SF-270. The grantee is required to submit the following reports: Monthly progress reports giving an account of

significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Monthly Federal Financial Status Report SF-425. Monthly detailed reports regarding performance against project budgets and schedules. Final report giving details of the results and benefits of the Grantee's activities.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 C.F.R. Part 200, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. Part 200.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0704-0-1-401; 69-0723-0-1-401.

Obligations:

(Project Grants) FY 16 \$32,000,000; FY 17 est \$71,491,500; and FY 18 est \$13,479,978

Range and Average of Financial Assistance:

There were no awards in FY 2016. Future awards are expected to range from \$13,479,978 to \$40,200,000 with an average of \$28,323,826.

TAFS Codes:

69-0704; 69-0723.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The grant for Amtrak Sandy Repairs helped Amtrak pay for recovery activities pursued immediately following Superstorm Sandy and begin to pursue projects to replace damaged infrastructure and equipment. Immediate repairs to restore service utilized two thirds of the grant, mitigating the economic damage caused by the unavailability of a critical piece of infrastructure along the NEC rail network.

The grant for the Hudson Yards Concrete Encasement Project consists of the construction of an underground, sealed, watertight rectangular structure 800 feet long, 50 feet wide, and approximately 35 feet tall in the Eastern Rail Yard portion of the Hudson Yards rail yard in New York City. Fiscal Year 2017: Two projects remain to be completed in FY 2017 for Amtrak to repair damage caused by Hurricane Sandy along its heavily-traveled Northeast Corridor. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Federal Railroad Administration 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 493-6454

Website Address:

<http://www.fra.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Examples of projects with funds obligated in FY 13 include: 1) Disaster relief for repairs addressing equipment issues, failures, and problems, and 2) Disaster relief for the extension of the encasement under the Hudson Yards, NY. Fiscal Year 2017: An example of a project obligated in FY13 that will be completed in FY 2017 is the Hudson Yards Concrete Encasement Project that consists of the construction of an underground, sealed, watertight rectangular structure.

An example of a project obligated in FY14 that will be completed in FY 2017 is the extension of the encasement. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals for projects must support the stated strategic goals of the applicant organization, align with the priorities identified in authorization or appropriation statutes, and of the Secretary of Transportation, and be accompanied by detailed scope, schedule and budget documentation. Quantitative or qualitative justification of project prioritization must be provided.

20.324 RESTORATION AND ENHANCEMENT

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Fixing Americas Surface Transportation Act (FAST Act) , Public Law 114-94.

OBJECTIVES:

To provide operating assistance grants for the purpose of initiating, restoring, or enhancing intercity rail passenger transportation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Operating assistance for the purpose of initiating, restoring, or enhancing intercity rail passenger transportation. The Secretary shall require a grant recipient under this section to enter into a grant agreement that requires such recipient to provide similar information regarding the route performance, financial, and ridership projections, and capital and business plans that Amtrak is required to provide, and such other data and information as the Secretary considers necessary.

Applicant Eligibility:

- (1) a State, including the District of Columbia;
- (2) a group of States;
- (3) an Interstate Compact;
- (4) a public agency or publicly chartered authority established by 1 or more States;
- (5) a political subdivision of a State;
- (6) Amtrak or another rail carrier that provides intercity rail passenger transportation;
- (7) Any rail carrier in partnership with at least 1 of the entities described in (1) through (5);
and
- (8) any combination of the entities described in paragraphs (1) through (7).

Beneficiary Eligibility:

- (1) a State, including the District of Columbia;
- (2) a group of States;
- (3) an Interstate Compact;
- (4) a public agency or publicly chartered authority established by 1 or more States;
- (5) a political subdivision of a State;
- (6) Amtrak or another rail carrier that provides intercity rail passenger transportation;
- (7) Any rail carrier in partnership with at least 1 of the entities described in paragraphs (1) through (5);
and
- (8) any combination of the entities described in (1) through (7).

Credentials/Documentation:

SF424 (Application for Federal Assistance); SF 424A - Budget Information for Non-Construction or SF 424C Budget Information for Construction; SF 424B Assurances for Non-Construction or SF 424D Assurances for Construction; FRAs Additional Assurances and Certifications; and SF LLL: Disclosure of Lobbying Activities. Other documents may be required as pre-requisites to funding based on program type; documentation demonstrating applicant eligibility (for applicants other than States); National Environmental Policy Act documentation for construction projects and service development programs; program-specific Assurances and Certifications; planning and engineering documentation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An applicant for a grant under this section shall submit:

- (1) A capital and mobilization plan that(A) describes any capital investments, service planning actions (such as environmental reviews), and mobilization actions (such as qualification of train crews) required for initiation of intercity rail passenger transportation; and (B) includes the timeline for undertaking and completing each of the investments and actions referred to in (A);
- (2) an operating plan that describes the planned operation of the service, including(A) the identity and qualifications of the train operator; (B) the identity and qualifications of any other service providers; (C) service frequency; (D) the planned routes and schedules; (E) the station facilities that will be utilized; (F) projected ridership, revenues, and costs; (G) descriptions of how the projections under subparagraph (F) were developed; (H) the equipment that will be utilized, how such equipment will be acquired or refurbished, and where such equipment will be maintained; and (I) a plan for ensuring safe operations and compliance with applicable safety regulations;
- (3) a funding plan that (A) describes the funding of initial capital costs and operating costs for the first 3 years of operation; (B) includes a commitment by the applicant to provide the funds described in subparagraph (A) to the extent not covered by Federal grants and revenues; and (C) describes the funding of operating costs and capital costs, to the extent necessary, after the first 3 years of operation; and
- (4) a description of the status of negotiations and agreements with (A) each of the railroads or regional transportation authorities whose tracks or facilities would be utilized by the service; (B) the anticipated railroad carrier, if such entity is not part of the applicant group; and (C) any other service providers or entities expected to provide services or facilities that will be used by the service, including any required access to Amtrak systems, stations, and facilities if Amtrak is not part of the applicant group.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Compare applications to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

FRA is committed to responding to applicants in a reasonable and timely manner. Response times will vary based on size, scope, complexity and volume of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: 20% year 1, 40% year 2, 60% year 3

Grants may not exceed

(A) 80 percent of the projected net operating costs for the first year of service;

(B) 60 percent of the projected net operating costs for the second year of service; and

(C) 40 percent of the projected net operating costs for the third year of service.

This program does not have MOE requirements. This program does not have an MOE requirement.

Length and Time Phasing of Assistance:

Not more than 6 of the operating assistance grants awarded may be simultaneously active. Federal operating assistance grants authorized for any individual intercity rail passenger transportation route may not provide funding for more than 3 years and may not be renewed. Funding is obligated through cooperative agreements, and outlays occur as reimbursement. Funding will only be obligated and disbursed as milestones are achieved. See the following for information on how assistance is awarded/released: Funding is obligated through cooperative agreements, and outlays occur as reimbursement. Funding will only be obligated and disbursed as milestones are achieved.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant and; Final report giving details of the results and benefits of the Grantee's improvement efforts. SF-270 Request for Advance or Reimbursement. Quarterly progress reports. Quarterly financial status reports using SF-425 Federal Financial Report. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met. Monitoring may include onsite visits or detailed, interactive desk reviews. In addition, grantees are provided with intensive technical assistance from grants staff on a daily basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0127-0-1-401.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$4,950,000

Range and Average of Financial Assistance:

Not available new account.

TAFS Codes:

69-0127.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The National Environmental Policy Act of 1969 as amended (42 U.S.C. 4321 et seq.) and related laws.

Regional or Local Office:

None.

Headquarters Office:

Mary Ann McNamara 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 493-6393

Website Address:

Http://www.fra.dot.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In awarding grants, priority to applications will be

(1) for which planning, design, any environmental reviews, negotiation of agreements, acquisition of equipment, construction, and other actions necessary for initiation of service have been completed or nearly completed;

(2) that would restore service over routes formerly operated by Amtrak, including routes described in section 11304 of the Passenger Rail Reform and Investment Act of 2015;

(3) that would provide daily or daytime service over routes where such service did not previously exist;

(4) that include funding (including funding from railroads), or other significant participation by State, local, and regional governmental and private entities;

(5) that include a funding plan that demonstrates the intercity rail passenger service will be financially sustainable beyond the 3-year grant period;

(6) that would provide service to regions and communities that are underserved or not served by other intercity public transportation;

(7) that would foster economic development, particularly in rural communities and for disadvantaged populations;

(8) that would provide other non-transportation benefits; and

(9) that would enhance connectivity and geographic coverage of the existing national network of intercity rail passenger service.

20.325 CONSOLIDATED RAIL INFRASTRUCTURE AND SAFETY IMPROVEMENTS

FEDERAL AGENCY:

Department of Transportation

AUTHORIZATION:

Fixing Americas Surface Transportation Act (FAST Act) , Public Law 114-94.

OBJECTIVES:

To assist in financing the cost of improving passenger and freight rail transportation systems in terms of safety, efficiency, or reliability.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

(1) Deployment of railroad safety technology, including positive train control and rail integrity inspection systems.

(2) A capital project as defined in section 24401(2), except that a project shall not be required to be in a State rail plan developed under chapter 227.

(3) A capital project identified by the Secretary as being necessary to address congestion challenges affecting rail service.

(4) A capital project identified by the Secretary as being necessary to reduce congestion and facilitate ridership growth in intercity passenger rail transportation along heavily traveled rail corridors.

(5) A highway-rail grade crossing improvement project, including installation, repair, or improvement of grade separations, railroad crossing signals, gates, and related technologies, highway traffic signalization, highway lighting and crossing approach signage, roadway improvements such as medians or other barriers, railroad crossing panels and surfaces, and safety engineering improvements to reduce risk in quiet zones or

potential quiet zones.

- (6) A rail line relocation and improvement project.
- (7) A capital project to improve short-line or regional railroad infrastructure.
- (8) The preparation of regional rail and corridor service development plans and corresponding environmental analyses.
- (9) Any project that the Secretary considers necessary to enhance multimodal connections or facilitate service integration between rail service and other modes, including between intercity rail passenger transportation and intercity bus service or commercial air service.
- (10) The development and implementation of a safety program or institute designed to improve rail safety. ~80% discretionary funds (where 25% of these funds are allocated to rural areas) in FY 17

FY 17 Restrictions:

\$10 M of funds allocated to capital projects for initiation/restoration of service ~3% of funds allocated to Alaska, Hawaii, South Dakota, and Wyoming based on those states rail route miles as a percentage of the nations total rail route miles
1% takedown.

Applicant Eligibility:

- (1) A State.
- (2) A group of States.
- (3) An Interstate Compact.
- (4) A public agency or publicly chartered authority established by 1 or more States.
- (5) A political subdivision of a State.
- (6) Amtrak or another rail carrier that provides intercity rail passenger transportation
- (7) A Class II railroad or Class III railroad
- (8) Any rail carrier or rail equipment manufacturer in partnership with at least 1 of the entities in (1) through (5).
- (9) The Transportation Research Board and any entity with which it contracts in the development of rail-related research, including cooperative research programs.
- (10) A University transportation center engaged in rail-related research.
- (11) A non-profit labor organization representing a class or craft of employees of rail carriers or rail carrier contractors.

Beneficiary Eligibility:

- (1) A State.
- (2) A group of States.
- (3) An Interstate Compact.
- (4) A public agency or publicly chartered authority established by 1 or more States.
- (5) A political subdivision of a State.
- (6) Amtrak or another rail carrier that provides intercity rail passenger transportation
- (7) A Class II railroad or Class III railroad
- (8) Any rail carrier or rail equipment manufacturer in partnership with at least 1 of the entities in (1) through (5).
- (9) The Transportation Research Board and any entity with which it contracts in the development of rail-related research, including cooperative research programs.
- (10) A University transportation center engaged in rail-related research.

Credentials/Documentation:

SF424 (Application for Federal Assistance); SF 424A - Budget Information for Non-Construction or SF 424C Budget Information for Construction; SF 424B Assurances for Non-Construction or SF 424D Assurances for Construction; FRAs Additional Assurances and Certifications; and SF LLL: Disclosure of Lobbying Activities. Other documents may be required as pre-requisites to funding based on program type; documentation demonstrating applicant eligibility (for applicants other than States); National Environmental Policy Act documentation for construction projects and service development programs; program-specific Assurances and Certifications; planning and engineering documentation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An initial review of the applicant, project application and eligibility. The application is then reviewed and rated against the key criteria set forth in the NOFO.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Compare applications to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

FRA is committed to responding to applicants in a reasonable and timely manner. Response times will vary based on size, scope, complexity and volume of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Public Law 114-94. The Federal share of total project costs under this section shall not exceed 80 percent.

Matching Requirements: Percent: 20%. The Federal share of total project costs under this section shall not exceed 80 percent.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. See the following for information on how assistance is awarded/released: Assistance is awarded upon request for reimbursement Funding is obligated through cooperative agreements, and outlays occur as reimbursement. Funding will only be obligated and disbursed as milestones are achieved.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant and; Final report giving details of the results and benefits of the Grantee's improvement efforts. SF-270 Request for Advance or Reimbursement. Quarterly progress reports. Quarterly financial status reports using SF-425 Federal Financial Report. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met. Monitoring may include onsite visits or detailed, interactive desk reviews. In addition, grantees are provided with intensive technical assistance from grants staff on a daily basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

During the course of its activities under a grant agreement and for three years

thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-2811-0-1-401.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$92,070,000

Range and Average of Financial Assistance:

Not available new program.

TAFS Codes:

69-2811.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The National Environmental Policy Act of 1969 as amended (42 U.S.C. 4321 et seq.) and related laws.

Regional or Local Office:

None.

Headquarters Office:

Mary Ann McNamara 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 493-6393

Website Address:

[Http://www.fra.dot.gov](http://www.fra.dot.gov)

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

FRA will give preference to a proposed project for which the proposed Federal share of total project costs does not exceed 50 percent; and select projects that will maximize the net benefits of the funds appropriated for use. Other considerations include: the degree to which the proposed projects business plan considers potential private sector participation in the financing, construction, or operation of the project.; the recipients past performance in developing and delivering similar projects, and previous financial contributions; whether the recipient has or will have the legal, financial, and technical capacity to carry out the proposed project, satisfactory continuing control over the use of the equipment or facilities, and the capability and willingness to maintain the equipment or facilities; if applicable, the consistency of the proposed project with planning guidance and documents set forth by the Secretary or required by law or State rail plans developed under chapter 227; if applicable, any technical evaluation ratings the proposed project received under previous competitive grant programs administered by the Secretary; and other factors as the Secretary considers relevant to the successful delivery of the project.

20.326 FEDERAL-STATE PARTNERSHIP FOR STATE OF GOOD REPAIR

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Fixing Americas Surface Transportation Act (FAST Act) , Public Law 114-94.

OBJECTIVES:

To fund capital projects that reduce the state of good repair backlog with respect to qualified railroad assets.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Capital projects to replace or rehabilitate qualified railroad assets, including

- (1) capital projects to replace existing assets in-kind;
- (2) capital projects to replace existing assets with assets that increase capacity or provide a higher level of service;
- (3) capital projects to ensure that service can be maintained while existing assets are brought to a state of good repair; and
- (4) capital projects to bring existing assets into a state of good repair. 99% discretionary in FY 17

FY 17 Restrictions:

1% takedown

Northeast Corridor Projects:

- (1) Compliance with usage agreements Grant funds may not be provided to an eligible recipient for an eligible project located on the Northeast Corridor unless Amtrak and the public authorities providing commuter rail passenger transportation on the Northeast Corridor are in compliance with section 24905(c)(2).
- (2) Capital investment plan. When selecting projects located on the Northeast Corridor, the Secretary shall consider the appropriate sequence and phasing of projects as contained in the Northeast Corridor capital investment plan developed pursuant to section 24904(a).

Applicant Eligibility:

- (A) a State (including the District of Columbia);
- (B) a group of States;
- (C) an Interstate Compact;
- (D) a public agency or publicly chartered authority established by 1 or more States;
- (E) a political subdivision of a State;
- (F) Amtrak, acting on its own behalf or under a cooperative agreement with 1 or more States; or
- (G) any combination of the entities described in (A) through (F).

Beneficiary Eligibility:

- (A) a State (including the District of Columbia);
- (B) a group of States;
- (C) an Interstate Compact;
- (D) a public agency or publicly chartered authority established by 1 or more States;
- (E) a political subdivision of a State;
- (F) Amtrak, acting on its own behalf or under a cooperative agreement with 1 or more States; or
- (G) any combination of the entities described in subparagraphs (A) through (F).

Credentials/Documentation:

SF424 (Application for Federal Assistance); SF 424A - Budget Information for Non-Construction or SF 424C Budget Information for Construction; SF 424B Assurances for Non-Construction or SF 424D Assurances for Construction; FRAs Additional Assurances and Certifications; and SF LLL: Disclosure of Lobbying Activities. Other documents may be required as pre-requisites to funding based on program type; documentation demonstrating applicant eligibility (for applicants other than States); National Environmental Policy Act documentation for construction projects and service development programs; program-specific Assurances and Certifications; planning and engineering documentation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An initial review of the applicant, project application and eligibility. The application is then reviewed and rated against the key criteria set forth in the NOFO.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including

compliance with the National Environmental Policy Act and related laws and orders. Compare applications to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

FRA is committed to responding to applicants in a reasonable and timely manner. Response times will vary based on size, scope, complexity and volume of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Public Law 114-94. The Federal share of total project costs under this section shall not exceed 80 percent.

Matching Requirements: Percent: 20%. The Federal share of total project costs under this section shall not exceed 80 percent.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. Assistance is awarded upon request for reimbursement. See the following for information on how assistance is awarded/released: Funding is obligated through cooperative agreements, and outlays occur as reimbursement. Funding will only be obligated and disbursed as milestones are achieved.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant and; Final report giving details of the results and benefits of the Grantee's improvement efforts. SF-270 Request for Advance or Reimbursement. Quarterly progress reports. Quarterly financial status reports using SF-425 Federal Financial Report. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met. Monitoring may include onsite visits or detailed, interactive desk reviews. In addition, grantees are provided with intensive technical assistance from grants staff on a daily basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-2810-0-1-401.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$50,435,000

Range and Average of Financial Assistance:

Not available new program.

TAFS Codes:

69-2810.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The National Environmental Policy Act of 1969 as amended (42 U.S.C. 4321 et seq.) and related laws

Regional or Local Office:

None.

Headquarters Office:

Mary Ann McNamara 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 493-6393

Website Address:

Http://www.fra.dot.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

FRA will give preference to a proposed project for which:

(1)(A) Amtrak is not the sole applicant; (B) applications were submitted jointly by multiple applicants; and (C) the proposed Federal share of total project costs does not exceed 50 percent; and

(2) take into account (A) the cost-benefit analysis of the proposed project, including anticipated private and public benefits relative to the costs of the proposed project, including (i) effects on system and service performance; (ii) effects on safety, competitiveness, reliability, trip or transit time, and resilience; (iii) efficiencies from improved integration with other modes; and (iv) ability to meet existing or anticipated demand;(B) the degree to which the proposed projects business plan considers potential private sector participation in the financing, construction, or operation of the proposed project; (C) the applicants past performance in developing and delivering similar projects, and previous financial contributions; (D) whether the applicant has, or will have (i) the legal, financial, and technical capacity to carry out the project; (ii) satisfactory continuing control over the use of the equipment or facilities; and (iii) the capability and willingness to maintain the equipment or facilities; (E) if applicable, the consistency of the project with planning guidance and documents set forth by the Secretary or required by law; and (F) any other relevant factors, as determined by the Secretary.

20.500 FEDERAL TRANSIT CAPITAL INVESTMENT GRANTS

New Starts, Small Starts, and Core Capacity

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Fixing Americas Surface Transportation Act (FAST), Public Law 114-94, 49 U.S.C 5309.

OBJECTIVES:

The Capital Investment Grant Program is the Federal Governments primary financial resource for supporting transit capital projects that are locally planned, implemented, and operated. The majority of the projects are fixed-guideway transit projects, meaning they use or occupy a separate right-of-way such as rails, catenaries, or exclusive bus lanes. This includes rapid rail, light rail, streetcar, commuter rail, and bus rapid transit (BRT). However, ferry projects and corridor-based BRT projects that do not use an exclusive bus lane but have other characteristics similar to rail transit service are also eligible. Note: Under prior authorizations, this section also included eligibility for the Bus and Bus Facilities and Fixed Guideway Modernization programs. Although these programs are no longer under this section (repealed), a residual amount of funding allocated under previous authorizations may be obligated in grants using that authority.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

FAST includes three categories of eligible projects under the Capital Investment Grant Program, referred to as New Starts, Core Capacity, and Small Starts projects. New Starts projects are those whose sponsors request \$100 million or more in Capital Investment Grant Program funds or have an anticipated total capital cost of \$300 million or more. Core Capacity projects are substantial investments in an existing fixed-guideway corridor that is at capacity today or will be in five years, where the proposed project will increase capacity by not less than 10 percent. Small Starts projects are defined as those whose sponsors request less than \$100 million in Capital Investment Grant Program funds and have an anticipated total capital cost of less than \$300 million.

The Pilot Program for Transit-Oriented Development (TOD) Planning, authorized under Section 20005(b) of the Moving Ahead for Progress in the 21st Century Act (MAP-21), Public Law 112-141, July 6, 2012, with funding provided under 49 U.S.C. 5338(a)(2)(B), as amended by the FAST Act, provides grants for corridor-level comprehensive planning activities conducted in conjunction with the development of Section 5309 Capital Investment Grant program (Core Capacity, New Starts and fixed-guideway Small Starts) projects. The comprehensive planning work must help to enhance economic development, ridership, and other goals established during the project development and engineering processes; facilitate multimodal connectivity and accessibility; increase access to transit hubs for pedestrian and bicycle traffic; enable mixed-use development; identify infrastructure needs associated with the eligible project; and include private sector participation. In FY 2017, a total of \$15.79 million was available to be allocated to eligible projects.

Applicant Eligibility:

Public agencies, including States; municipalities and other subdivisions of States; public agencies and instrumentalities of one or more States; and public corporations, boards, and commissions established under State law. Applicant must have legal, financial, and technical capacity to carry out proposed project, including safety and security aspects, and maintain facilities and equipment purchased with Federal assistance. Private non-profit organizations are not eligible direct recipients.

Beneficiary Eligibility:

Public agencies, including States; municipalities and other subdivisions of States; public agencies and instrumentalities of one or more States; and public corporations, boards, and commissions established under State law. Applicant must have legal, financial, and technical capacity to carry out proposed project, including safety and security aspects, and maintain facilities and equipment purchased with Federal assistance. Private non-profit organizations are not eligible direct recipients.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's Transportation Improvement Program (TIP), in the State transportation improvement program (STIP) and approved by FTA and FHWA; information must be provided on labor and relocation; environmental impact statement; legal opinion; coordinated regional planning documentation; maintenance certification; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Cost will be in accordance with OMB Super Circular 2 CFR 200 for State and local governments. Federal Register Notice FTA Fiscal Year Apportionments, Allocations, and Program Information, published annually contain the capital investment apportionments. The Federal Register notice with the full year's apportionment was published in February 2016. For other fiscal years, contact the FTA Regional Office to obtain the publication dates or the FTA website: www.transit.dot.gov/. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An environmental impact determination is required for this program. The determination will lead to the requirement of an environmental impact statement, environmental assessment, or categorical exclusion. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process

the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is subject to the provisions of OMB Super Circular 2 CFR 200 and FTA Circular 9300.1B, "Capital Program: Grant Application Instructions," November 1, 2008. Applications are made to the regional offices of the Federal Transit Administration listed in Appendix IV of the Catalog.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a construction grant agreement. Grants are awarded electronically using FTA's electronic grant award system. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in FTAs electronic grant award system and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Funding is made available annually through the appropriation process. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Statutory Formula: Title 49, Part 5309.

Matching Requirements: Funds for the Capital Investment Grant program are allocated on a discretionary basis. By law, a grant may be made up to 80 percent of net project cost.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Terms and conditions are contractually agreed on between FTA and the applicant. See the following for information on how assistance is awarded/released: The grants may be awarded in one lump sum or in smaller increments as specified by the grantee.

Reports:

(1) Progress reports; (2) Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. (1) Progress reports; (2) Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. (1) Progress reports; (2) Federal Financial reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. (1) Progress reports; (2) Federal Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipient is required to retain intact, for three years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-1133-0-1-401; 69-1134-0-1-401; 69-1102-0-1-401; 69-8350-0-7-401.

Obligations:

(Project Grants) FY 16 \$2,177,000,000; FY 17 est \$2,301,785,760; and FY 18 est \$1,232,000,000 - Major Capital Investment Grants FY 16 2,177,000,000 FY 17 2,301,785,760 FY 18 1,232,000,000.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-1133; 69-1102; 69-1134; 69-8350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FTA signed one full funding grant agreement (FFGA) during FY 2016 and four Small Starts construction grant agreements.

New Starts projects:

San Diego, CA, Mid-Coast Corridor, expected to serve 24,600 daily trips. This FFGA was signed in September 2016.

Small Starts projects:

Denver, CO, Southeast Rail Extension Project, expected to serve 4,400 daily trips. This construction grant was signed in April 2016.
Jacksonville, FL, BRT Southeast Corridor, expected to serve 4,800 daily trips. This construction grant was signed in December 2015.
Charlotte, NC, City LYNX Gold Line Phase 2, expected to serve 4,100 daily trips. This construction grant was signed in May 2016.
Columbus, OH, Cleveland Avenue Bus Rapid Transit Project, expected to serve 5,700 daily trips. This construction grant was signed in May 2016. Fiscal Year 2017: FTA has signed four full funding grant agreements (FFGAs) and three Small Starts construction grant agreements.

New Starts projects:

Los Angeles, CA, Westside Purple Line Extension Section 2, expected to serve 21,600 daily trips. This FFGA was signed in December 2016.
Fort Worth, TX, TEX Rail Project, expected to serve 8,300 daily trips. This FFGA was signed in December 2016.
Core Capacity projects:
Chicago, IL, Red and Purple Modernization Phase One Project, expected to increase capacity in the corridor by 15 percent. This FFGA was signed in January 2017.
San Carlos, CA, Peninsula Corridor Electrification Project, expected to increase capacity in the corridor by 10 percent. This FFGA was signed in May 2017.

Small Starts projects:

San Francisco, CA, Van Ness Bus Rapid Transit Project, expected to serve 52,400 daily trips. This construction grant was signed in December 2016.
Reno, NV, 4th Street/Prater Way Bus Rapid Transit Project, expected to serve 6,200 daily trips. This construction grant was signed in December 2016.
Utah County, UT, Provo-Orem Bus Rapid Transit Project, expected to serve 11,300 daily trips. This construction grant was signed in December 2016.
Fiscal Year 2018: In FY 2018, the Presidents Budget proposal would limit funding for the CIJ Program to projects with existing full funding grant agreements. Future investments in new transit projects would be funded by the localities that use and benefit from these localized projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the FTA Regional Offices. See FTA Circular 9300.1B, "Capital Investment Program: Guidance and Application Instructions," November 1, 2008, located at <https://www.transit.dot.gov/regulations-and-guidance/fta-circulars/capital-investment-program-guidance-and-application>.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV: Agency Regional and Local Office Addresses of the Catalog for a listing of the Federal Transit regional offices.

Headquarters Office:

Elizabeth Day 1200 New Jersey Avenue, S.E., Washington, District of

Columbia 20590 Phone: (202) 366-5159

Website Address:

<http://www.fta.dot.gov>

RELATED PROGRAMS:

20.205 Highway Planning and Construction; 20.215 Highway Training and Education; 20.505 Federal Transit Metropolitan Planning Grants; 20.507 Federal Transit Formula Grants; 20.509 Formula Grants for Other Than Urbanized Areas; 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In FY 2016, three examples are provided of Small Starts projects that received construction grant agreements. A Small Starts construction grant was awarded in December 2015 for the Jacksonville, FL, Bus Rapid Transit Southeast project, which is expected to serve 4,800 daily trips when it opens in 2017. A second Small Starts construction grant was awarded in April 2015 for the Denver Southeast Rail Extension light rail project, which is expected to serve 4,400 daily trips when it opens in 2019. A third Small Starts construction grant was awarded in May 2016 for the Charlotte, NC, City LYNX Gold Line Phase 2 streetcar project, which is expected to serve 4,100 daily trips when it opens in 2020. Fiscal Year 2017: In FY 2017, two examples are provided of New Starts projects that received Full Funding Grant Agreements (FFGA). In Los Angeles, CA, the Westside Purple Line Extension Section 2, expected to serve 21,600 daily trips when it opens in 2026, was awarded an FFGA in December 2016. In Fort Worth, TX, the TEX Rail Project, expected to serve 8,300 daily trips when it opens in 2020, was awarded an FFGA in December 2016. Fiscal Year 2018: In FY 2018, the Presidents Budget request limits funding for the Capital Investment Grant Program to projects with existing Full Funding Grant Agreements (FFGA). Future investments in new transit projects would be funded by the localities that use and benefit from these localized projects.

CRITERIA FOR SELECTING PROPOSALS:

Projects must meet the project justification, local financial commitment, and process criteria established in Section 5309, and should be consistent with Executive Order 12893, Principles for Federal Infrastructure Investments, issued January 26, 1994. Firm funding commitments, embodied in FFGAs or SSGAs, will not be made until the project sponsor has demonstrated that its project is ready for such an agreement, i.e., the projects development and design have progressed to the point where its scope, costs, benefits, and impacts are considered firm and final. FTA encourages project sponsors to provide an overmatch as a means of funding more projects and leveraging State and local financial resources as well as other Federal financial resources.

20.505 METROPOLITAN TRANSPORTATION PLANNING AND STATE AND NON-METROPOLITAN PLANNING AND RESEARCH

Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Fixing Americas Surface Transportation (FAST), Public Law 114-94, 49 U.S.C 5305(d)(e).

OBJECTIVES:

To assist in development of metropolitan and state transportation improvement programs, long-range transportation plans, and other technical studies in a program for a unified and officially coordinated Statewide Transportation system and Metropolitan Transportation system(s) within the state. As a result of this coordinated effort, the former CFDA# 20.515 has been discontinued. This CFDA incorporates both the Statewide Transportation system and the Metropolitan Transportation system into one CFDA.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

In metropolitan areas, activities assisted under this section may include preparation of transportation plans including transportation improvement

programs and management systems; studies related to transportation management, operations, capital requirements, and economic feasibility; evaluation of previously funded capital projects; and other related activities in preparation for the construction, acquisition, or improved operation of transportation systems, facilities, and equipment. In nonurbanized areas of the state, eligible activities include planning, technical studies and assistance, innovative demonstrations, management training, and cooperative research. A State may authorize the use of a portion of these funds to supplement and administer Metropolitan Planning.

Applicant Eligibility:

Apportionments are made to the States for 1) statewide planning and 2) formula distribution to the Metropolitan Planning Organizations designated for the urbanized areas within each State for planning within urbanized areas.

Beneficiary Eligibility:

Apportionments for metropolitan planning and for state planning and research are made to the States. Funds for metropolitan planning are distributed by formula to the Metropolitan Planning Organizations (MPOs) designated for the urbanized areas within each State.

Credentials/Documentation:

Federal Register Notice, "FTA Fiscal Year 2016 Apportionments, Allocations, and Program Information", published at <https://www.federalregister.gov/articles/2016/02/16/2016-02821/notice-of-fta-transit-program-changes-authorized-funding-levels-and-implementation-of-federal-public-h-50>, contains the program apportionment in Tables II.A.1. for Metropolitan Transportation Planning and II.B.2. for State and Non-Metropolitan Planning and Research. For fiscal year 2016, the current state by state apportionment is located at <https://www.transit.dot.gov/funding/apportionments/table-2-fiscal-year-2016-section-5303-and-5304-statewide-and-metropolitan> For other fiscal years, contact the FTA Regional Office or refer to the FTA website at <https://www.transit.dot.gov/funding/apportionments>. 2 CFR 400 Subpart E: Cost Principles applies to these programs. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Following each decennial Census, each State must submit to FTA for approval a formula, developed in cooperation with affected MPOs, for the distribution of a State's Section 5305(d) apportionment to MPOs in each urbanized area within the State. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application for a grant should be addressed to the appropriate Regional Office of the Federal Transit Administration. FTA Circular 8100.IC dated September 1, 2008, Program Guidance and Application Instructions for Metropolitan Planning and State Planning and Research Program Grants, provides detailed application information. Available on the Internet at: http://www.fta.dot.gov/legislation_law/12349_16434.html. States may apply through a paperless electronic grant application, review, approval, acceptance, and management process. This program is excluded from coverage under 49 CFR Part 19.

Award Procedure:

FTA will approve and release the grant by the end of the quarterly cycle or earlier provided the application is complete and received by FTA at the beginning of the quarterly cycle.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grant applications are due in the appropriate FTA Regional Office no later than the first business day of the quarter.

Appeals:

Not Applicable.

Renewals:

Grants may be amended to add additional funds.

Formula and Matching Requirements:

Statutory Formula: Title 49, Part 5305(d)(e).

Matching Requirements: The matching requirements vary. Funds obligated for State or metropolitan planning are granted an 80 percent federal, 20 percent local ratio. In addition, funds can be transferred from the Federal Highway Administration to form Consolidated Planning Grants which permit a higher Federal match for Metropolitan funds.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for 3 years following the year for which they were appropriated. Method of awarding/releasing assistance: by letter of credit.

Reports:

(1) Progress reports; (2) Financial report upon completion of the project; and (3) Final technical study report (if applicable). SF-425. (1) Progress reports; (2) Financial report upon completion of the project; and (3) Final technical study report (if applicable). SF-425. (1) Progress reports; (2) Financial report upon completion of the project; and (3) Final technical study report (if applicable).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200.500 Subpart F: Audit Requirements nonfederal entities that expend \$750,000 or more in a year in Federal awards shall have a single or program specific audit conducted that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year with certain exceptions as stated in 2 CFR 200.500 Subpart F.

Records:

Following submission of the final Financial Status Report pending resolution of audit findings, project sponsor is required to retain all project contract documents, financial records, and supporting documents intact for 3 years.

Account Identification:

69-8350-0-7-401; 69-1137-0-1-401.

Obligations:

(Formula Grants) FY 16 \$130,732,000; FY 17 est \$133,398,933; and FY 18 est \$136,200,310

Range and Average of Financial Assistance:

Range and Average of Financial Assistance
\$20,000 to \$5,000,000.

TAFS Codes:

69-8350; 69-1137.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FTA awarded planning grants to 52 states covering both Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research. Planning funds were used to develop or update approximately 400 Metropolitan Transportation Improvement Programs and 52 State Transportation Improvement Programs. Grants were also used in the development or update of 410 Unified Planning Work Program and 52 State Planning and Research Work Programs. Fiscal Year 2017: It is estimated that FTA will award planning grants to 52 states covering both Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research. Planning funds will be used to develop or update approximately 400 Metropolitan Transportation Improvement Programs and 52 State Transportation Improvement Programs. Grants will also be used to the development or update of 410 Unified Planning Work Program and 52 State Planning and Research Work Programs. Fiscal Year 2018: FTA expects to award planning grants to 52 states covering both Metropolitan Transportation

Planning and State and Non-Metropolitan Planning and Research. Planning funds to develop or update 410 Metropolitan Transportation Improvement Program. 52 State Transportation Improvement Programs, approximately 410 Unified Planning Work Programs, and 52 State Planning and Research Work Programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register Notice, "FTA Fiscal Year 2016 Apportionments, Allocations, and Program Information", contains the program apportionment in Tables II.A.1. for Metropolitan Transportation Planning II.B.2. for State and Non-Metropolitan Planning and Research. For fiscal year 2016, the current apportionment is located at <https://www.transit.dot.gov/funding/apportionments/table-2-fiscal-year-2016-ctio-5303-and-5304-statewide-and-metropolitan> For other fiscal years, contact the FTA Regional Office or refer to the FTA website at <https://www.transit.dot.gov/funding/apportionments..> 2 CFR 400 Subpart E: Cost Principles applies to these programs.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of Federal Transit Administration regional offices and DOT Metropolitan Offices.

Headquarters Office:

Victor Austin 1200 New Jersey Avenue, SE, Washington, District of Columbia 20590 Phone: (202) 366-2996.

Website Address:

<http://www.fta.dot.gov>

RELATED PROGRAMS:

20.205 Highway Planning and Construction; 20.500 Federal Transit_Capital Investment Grants; 20.507 Federal Transit_Formula Grants; 20.514 Public Transportation Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Planning funds provided to Florida DOT for distribution to MPOs in Florida to support multimodal transportation planning for the State and for each MPOs urbanized area. Planning activities funded include studies related to transit system management and increasing ridership, development of the metropolitan Transportation Improvement Program, coordination of non-emergency human service transportation, and short and long-range transportation planning. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Funding is allocated to the States for Statewide planning and for pass through by formula to Metropolitan Planning Organizations within their jurisdictions for urbanized area planning.

20.507 FEDERAL TRANSIT FORMULA GRANTS

(Urbanized Area Formula Program); Section 5307

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century (MAP-21). , Public Law 112-141, 49 U.S.C 5307.

OBJECTIVES:

To support public transportation services in urbanized areas (Census designated areas over 50,000 in population).

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for capital projects to finance the planning, acquisition, construction, cost-effective lease, improvement, and maintenance of equipment and facilities for use in transit. One percent of the funds apportioned to urbanized areas with a population of at least 200,000 must be expended for associated transit improvements. For urbanized areas with populations under 200,000, funds may be used to finance transit operating costs. Recipients of these grants are required to make information available to the public and to publish a program of projects to afford affected citizens opportunities through

public hearings to submit comments on the proposed program and the performance of the recipient. In addition, a Passenger Ferry Grant Program component has been added under Section 5307 as a result of MAP-21 and will award funding on a competitive discretionary selection basis. One percent of the funds apportioned to urbanized areas with a population of at least 200,000 must be expended for associated transit improvements. For urbanized areas with populations under 200,000, funds may be used to finance transit operating costs.

Applicant Eligibility:

Funds will be made available to urbanized areas (as defined by the U.S. Census Bureau) through designated recipients, which must be public entities and have the legal capacity to receive and dispense federal funds. The Governor, responsible local officials, and publicly owned operators of mass transportation services must jointly select the designated recipient(s) for an urbanized area with a population of 200,000 or more. The Governor or his designee acts as the designated recipient for an urbanized area with a population of 50,000 to 199,999. Recipients must submit a program of projects to FTA; submit a program application to FTA; enter into formal agreements with FTA; and certify that public notification has been conducted.

Beneficiary Eligibility:

The general public, both users and non-users, and publicly owned operators of public transportation services.

Credentials/Documentation:

The following documentation must be submitted prior to award of a formula grant under this program: A resolution by an authorized public body approving the filing of an application, the urbanized areas transportation improvement program (TIP), and the Statewide transportation improvement program (STIP) approved by FTA and FHWA. In addition, information must be provided on labor agreements and relocation planning; environmental impact, legal capacity, coordinated regional planning and compliance with certifications and assurances as compiled in FTAs Annual List of Certifications and Assurances. Eligible costs must be in accordance with OMB Circular 2 CFR 200. Prior to the award of a discretionary grant under this program, applicants must submit a project application based on criteria outlined in the Notice of Funding Availability published yearly in the Federal Register.

A notice is published annually in the Federal Register on FTAs fiscal year apportionments and applications. The notice contains a list of the amount apportioned to each urbanized area and the total available for discretionary award. The full apportionment amounts for fiscal year 2014 were published on March 10, 2014. Prior year notices can be found at FTAs website at www.fta.dot.gov/apportionments or by contacting the appropriate FTA Regional Office to obtain copies or publication dates. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance if the State has selected the program for review.

An environmental impact assessment may be required. In addition, an environmental impact statement may also be required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is subject to the provisions of OMB Circular No. A-87 and 49 CFR Part 18. Applications are to be submitted in the Transportation Electronic Award Management system. (TEAM-Web), which is the FTA electronic grant-making and record keeping system.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. To access funds, the recipient must execute the grant agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

Opportunity for public hearing for interested persons.

Renewals:

Funds are apportioned annually to each urbanized area. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Statutory Formula: Title 49 USC, Part 5336, Public Law 112-141.
Matching Requirements: Percent: 10%. The program operates under the statutory formula prescribed in 49 USC 5336. The federal share is not to exceed 80 percent of the net project cost. The Federal share may be 90 percent for the cost of vehicle-related equipment attributable to compliance with the American with Disabilities Act and the Clean Air Act Or 85 percent for the cost of a vehicle that complies with these requirements. The federal share may also be 90 percent for projects or portions of projects related to bicycles facilities. The federal share may not exceed 50 percent of the net cost for operating assistance. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds apportioned under the Urbanized Area Formula program are available for obligation by the Governor, designated recipient, or authorized grant recipient for a period of 5 years following the close of the fiscal year for which such funds are apportioned. Any amounts remaining unobligated at the end of this period are distributed among all urbanized areas. See the following for information on how assistance is awarded/released: Reimbursement of eligible project expenses.

Reports:

No program reports are required. SF -425. (1) Milestone progress reports. (2) Federal Financial reports, which must be submitted quarterly for recipients in urbanized areas over 200,000 in population; annually for other recipients and states. (3) Construction reports where applicable. (4) Annual report to the National Transit Database (NTD). SF-425. See audit requirements. Grantees receiving 500,000 or more have an audit requirement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all project contract documents, financial records and supporting documents.

Account Identification:

69-8350-0-7-401; 69-1129-0-1-401.

Obligations:

(Formula Grants) FY 16 \$4,538,905,700; FY 17 est \$4,629,683,814; and FY 18 est \$4,726,907,174

Range and Average of Financial Assistance:

Varies according to local programming of available formula funds and the level of operating expenses incurred.

TAFS Codes:

69-8350; 69-1129.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FTA made approximately \$5 billion available to 5307

program recipients. FTA awarded over 1,600 grants to Section 5307 program recipients in FY 2016. For the Passenger Ferry Program, FTA received 21 proposals from 10 states and the U.S. Virgin Island requesting \$98 million. FTA funded 18 projects for a total of \$59 million. Passenger Ferry project selections includes funds for Fiscal Years 2015 and 16. Fiscal Year 2017: FTA is expected to make approximately \$5 billion available to 5307 program recipients. FTA is expected to award over 1,100 grants to Section 5307 program recipients in FY 2017. FTA is expected to competitively allocate \$30 million for the Passenger Ferry Grant Program. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Formula Grant Application Instructions may be accessed on the FTA website or secured at no charge from the FTA Regional Offices - FTA Circular 9030.1E, "Urbanized Area Formula Program: Program Guidance and Application Instructions," January 16, 2014.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of Federal Transit Administration regional offices.

Headquarters Office:

Tara Clark & Vanessa Williams 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-2623 & (202) 366-4818

Website Address:

<http://www.fta.dot.gov/>

RELATED PROGRAMS:

20.205 Highway Planning and Construction; 20.505 Federal Transit Metropolitan Planning Grants; 20.509 Formula Grants for Other Than Urbanized Areas

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Centre Area Transportation Authority received \$5 million for facility expansion and upgrade.

King County Department of Transportation received \$3.9 million to replace the passenger only ferry docking float and expand the docking capacity to re-launch or start routes to downtown Seattle, WA. Fiscal Year 2017: Massachusetts Bay Transportation Authority received approximately \$135 million to purchase buses. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Projects must be eligible public transportation projects and meet all formula grant requirements. Discretionary projects must respond to a list of criteria published in the Notice of Funding Availability as part of the application process.

20.509 FORMULA GRANTS FOR RURAL AREAS

(Rural Area Program)

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Fixing
Americas Surface Transportation (FAST) Act
, Public Law 114-94, 49 U.S.C 5311.

OBJECTIVES:

To improve, initiate, or continue public transportation service in nonurbanized areas (rural areas and small cities under 50,000 in population) and to provide technical assistance for rural transportation providers. The Section 5311 program supports both the maintenance of existing public transportation services and the expansion of those services through the following program goals: enhancing access in rural areas to health care, shopping, education, employment, public services, and recreation; assisting in the maintenance, development, improvement, and use of public transportation systems in rural areas; encouraging and facilitating the most efficient use of all transportation funds used to provide passenger transportation in rural areas through the coordination of programs and services; providing financial assistance to help carry out national goals related to mobility for all, including seniors, individuals with disabilities, and low-income individuals; increasing availability of

transportation options through investments in intercity bus services; assisting in the development and support of intercity bus transportation; encouraging mobility management, employment-related transportation alternatives, joint development practices, and transit-oriented development; and providing for the participation of private transportation providers in rural public transportation.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Section 5311 funds may be used for eligible planning, capital, and operating expenses needed to provide efficient and coordinated public transportation service in non-urbanized areas. States may use up to 10 per cent of the annual apportionment for planning and program administration. Job Access and Reverse Commute projects are also eligible under the program. Projects must provide for the maximum feasible coordination of public transportation sources assisted under this section with transportation services assisted by other Federal sources, and must provide for the maximum feasible participation of private operators. Fifteen percent of the State's annual apportionment must be spent to support rural intercity bus transportation, unless the governor certifies that such needs are adequately met. Rural Transit Assistance Program (RTAP) funds may be used for technical assistance, training, research, and related support services.

Additional set-asides under the Section 5311 program include the Tribal Transit formula, Tribal Transit discretionary and Appalachian Development Public Transportation Assistance Formula Programs. States may use up to 10 per cent of the annual apportionment for planning and program administration. Projects must provide for the maximum feasible coordination of public transportation sources assisted under this section with transportation services assisted by other Federal sources, and must provide for the maximum feasible participation of private operators. Fifteen percent of the State's annual apportionment must be spent to support rural intercity bus transportation, unless the governor certifies that such needs are adequately met. Rural Transit Assistance Program (RTAP) funds may be used for technical assistance, training, research, and related support services.

Applicant Eligibility:

Only designated State agencies and Indian Tribes may apply directly to FTA for grants. Eligible sub-recipients may include State agencies, local public bodies and agencies thereof, nonprofit organizations, Indian tribes, and operators of public transportation services, including intercity bus service, in rural and small urban areas. Private for-profit operators of transit or paratransit services may participate in the program only through contracts with eligible recipients. Private intercity bus operators may participate as subrecipients or through contracts. Urbanized areas, as defined by the Bureau of the Census, are not eligible.

Beneficiary Eligibility:

The general public, both users and nonusers, and private and public providers of public transportation in nonurbanized areas.

Credentials/Documentation:

The State agency must be designated by the Governor to administer the program. Projects must be included in the State Transportation Improvement Program (STIP) approved by FTA and FHWA. The state and sub-recipients must agree to the standard labor protection warranty for the program. Environmental assessments may be required. Compliance with FTA's annual list of Certifications and Assurances is required.

Eligible cost must be in accordance with 2 CFR 200. The state must submit a program of projects listing all sub-recipients and projects in accordance with FTA Circular 9040.1G issued October 24, 2014. FTA annually issues a Federal Register Notice of apportionments, allocations and program information. This information can be found on the FTA website at www.fta.dot.gov or by contacting the appropriate FTA regional office to obtain copies or publication dates. 2 CFR 200 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should

consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Eligible sub-recipients should submit application to the State agency designated by the Governor to administer the program. This agency will evaluate and select eligible applicants and submit a program of projects to the Federal Transit Administration.

Award Procedure:

The Federal Transit Administration approves the State's program of projects. An FTA grant award obligating Federal funds is reflected in a grant agreement. To access funds, the state must execute the grant agreement. The state implements grant agreements or other instructions with the subrecipients.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. As prescribed by the State agency designated to administer the Section 5311 program. FTA approves grants on a quarterly release cycle.

Appeals:

Interested persons are afforded the opportunity of a public hearing for capital projects.

Renewals:

Subrecipients typically receive funding through the designated State agency.

Formula and Matching Requirements:

Statutory Formula: Title 49, Part 18.

Matching Requirements: The program operates under the statutory formula prescribed in 49 USC 5335. The federal share is not to exceed 80 percent of the net project cost for capital projects. The federal share may be 90 percent for the cost of vehicle-related equipment attributable to compliance with the American with Disabilities Act and the Clean Air Act or 85 percent for the cost of a vehicle that complies with these requirements. The federal share may also be 90 percent for projects or portions of projects related to bicycle facilities. The federal share may not exceed 50 percent of the net cost for operating assistance. Recipients may use up to 20 percent of the amounts apportioned under Section 5311 for ADA complementary paratransit at an 80 percent federal share if certain conditions are met. Under the Tribal Transit Program, there is no match for formula grants. Under the Tribal Transit Discretionary Program, there is a 10 percent match requirement.

This program has MOE requirements, see funding agency for further details. This program has MOE requirements; see funding agency for further details.

Length and Time Phasing of Assistance:

Funds are apportioned annually to the States. Amounts apportioned are available for obligation by the State designated agency for a period of three years (two years following the close of the fiscal year for which sums are apportioned) and amounts remaining unobligated at the end of such period shall be apportioned among the States for the succeeding fiscal year. States usually fund local recipients on an annual cycle. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Annual Program of Projects Status Reports, Milestone Progress Reports, Federal Financial Reports, Disadvantaged Business Enterprise Reports, National Transit Database Reports. Annual Program of Projects Status Reports are required. SF-425. Milestone progress reports are submitted annually. States may require additional reports from recipients. Federal Financial Reports are submitted annually. National Transit Database reports are required annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, all contract documents, financial records, and supporting documents.

Account Identification:

69-1129-0-1-401; 69-8350-0-7-401.

Obligations:

(Formula Grants) FY 16 \$619,956,000; FY 17 est \$632,355,120; and FY 18 est \$645,634,578

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-1129; 69-8350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: During the 22nd National Rural and Intercity Bus Conference, FTAs Acting Administrator presented the Administrators Award to three rural transit providers that improved the mobility of Americans in rural areas and enhanced access to employment, health care, and other community services. The award recipients included the following:

Durango Transit in Durango, CO;

Barry County Transit in Hastings, MI; and

Tri-Valley Heartland Express in Crookston, MN. Fiscal Year 2017:

Approximately 167 million trips in rural areas are provided annually.

Additionally, FTA allocated approximately \$30 million to 124 tribes for the purpose of expanding transportation services and improving the quality of life for tribes in Indian Country. Fiscal Year 2018: The rural transit program is anticipated to continue.

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 9040.1, Nonurbanized Area Formula Program Guidance and Grant Application instructions.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the address of Federal Transit Administration Regional Offices. State Designated Agency: The Governor in each State has designated a State agency to administer the Section 5311 program. This should be the first contact point. Regional Offices: A person from each Federal Transit Administration Regional Office is available to answer questions about Federal regulations related to the Nonurbanized Formula Program.

Headquarters Office:

Elan Flippin, Office of Program Management 1200 New Jersey Avenue, SE., Washington, District of Columbia 20590 Phone: (202) 366-3800

Website Address:

<http://www.fta.dot.gov>

RELATED PROGRAMS:

20.500 Federal Transit_Capital Investment Grants; 20.507 Federal Transit_Formula Grants; 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities; 20.516 Job Access_Reverse Commute; 20.521 New Freedom Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Formula Grants for Rural Areas projects supported capital, planning, and operating assistance to states to support public transportation in rural areas with populations of less than 50,000, where many residents often relied on public transit to reach their destinations. The program also provided funding for state and national training and technical assistance through the Rural Transportation Assistance Program. Fiscal Year 2017: The Formula Grants for Rural Areas projects support capital, planning, and operating assistance to states to support public transportation in rural areas with populations of less than 50,000, where many residents often rely on public transit to reach their destinations. The program also provides funding for state and national training and technical assistance through the Rural Transportation Assistance Program. Fiscal Year 2018: The Formula Grants for Rural Areas

projects will continue to support capital, planning, and operating assistance to states to support public transportation in rural areas with populations of less than 50,000, where many residents often rely on public transit to reach their destinations. The program also provides funding for state and national training and technical assistance through the Rural Transportation Assistance Program.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting projects under State allocations is as described by the State designated agency in its procedures or State management plan. There are no criteria for selecting projects under Tribal Transit Formula as allocations are made directly to the tribes. The criteria for selecting projects under the Tribal Transit discretionary program are listed yearly in the Notice of Funding Availability published with the Federal Register.

20.513 ENHANCED MOBILITY OF SENIORS AND INDIVIDUALS WITH DISABILITIES

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Fixing Americas Surface Transportation (FAST) Act, Public Law 114-94, 49 U.S.C 5310.

OBJECTIVES:

To provide financial assistance in meeting the transportation needs of seniors and individuals with disabilities where public transportation services are unavailable, insufficient or inappropriate. The Section 5310 program is designed to supplement FTA's other capital assistance programs by funding transportation projects for seniors and individuals with disabilities in all areas - urbanized, small urban, and rural. While the New Freedom Program was repealed, the goals the New Freedom program have been incorporated into the Section 5310 program, which funds alternative forms of transportation where traditional services are unavailable, inappropriate, or insufficient. Funds can be used for capital, planning and operations.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Section 5310 funding may be used for eligible capital, planning, or operating expenses needed to provide specialized transportation service for elderly persons and persons with disabilities. Projects must provide for the maximum feasible coordination of transportation services funded under this section. A minimum of 55 percent of funds must be used for capital projects as listed in Federal Circular. The remaining 45 percent may be used for other capital or operating projects. Funding under the Discretionary category, may be used for capital projects that demonstrate innovation in coordination of non-emergency medical trips (NEMT). Yes. Section 3006(b) of the FAST Act authorized a discretionary pilot program for innovative coordinated access and mobility, that is open to Section 5310 recipients. The program assists in financing innovative projects for the transportation disadvantaged that improve the coordination of transportation services and non-emergency medical transportation (NEMT) services.

Applicant Eligibility:

Eligible sub-recipients include private nonprofit organizations, public bodies approved by the State to coordinate services for elderly persons and individuals with disabilities and public bodies which certify that no nonprofit organizations or associations are readily available in an area to provide the service.

Beneficiary Eligibility:

Seniors and persons with disabilities.

Credentials/Documentation:

FTA apportions the funds to the States and large urbanized areas on an annual basis. The Governor of each State or an official designee designates an agency to administer the program. Projects must be included in the State Transportation Improvement Program (STIP) approved by FTA and FHWA and must be included in a locally developed Coordinated Public Transit Human Services Transportation Plan. Compliance with FTA's Annual list of Certifications and Assurances is required. Eligible costs must be in accordance with 2 CFR 200. The state must submit a program of projects (POP) listing all subrecipients and

projects in accordance with FTA Circular 9070.1G. FTA annually issues a Federal Register Notice of apportionments, allocations, and program information. Notices can be found on the FTA website at www.fta.dot.gov/ or by contacting the appropriate FTA Regional office to obtain copies or publication dates. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Local agencies in small urbanized or rural areas should submit application to the State agency designated by the Governor to administer the program. Local agencies in large urbanized areas should submit applications to the designated recipient for the large urbanized area. The designated recipient agency will evaluate, select and approve eligible applicants and submit a program of projects to the Federal Transit Administration. For the discretionary funding, applicants are required to submit a complete proposal in response to a Notice of Funding Opportunity (NOFO) that is published in the Federal Register.

Award Procedure:

The Federal Transit Administration approves the program of projects (POPs). An FTA grant award obligating federal funds, includes the POPs in a grant agreement. The grant agreement is subsequently executed so that funds can be used.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Interested persons are afforded the opportunity of a public hearing for capital projects.

Renewals:

As determined by the designated State agency.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 80%. Section 5310 funds may be used to finance capital and operating expenses. The federal share of eligible capital costs may not exceed 80 percent of the net cost of the activity. The federal share of the eligible operating costs may not exceed 50 percent of the net operating costs of the activity. Recipients may use up to 10 percent of their apportionment to support program administrative costs including administration, planning, and technical assistance, which may be funded at 100 percent federal share. Funds for this program are allocated among the States and large urbanized areas by a formula which is based on the population of elderly persons and persons with disabilities in each State according to the latest U.S. Census population figures. States eligible for the sliding scale match under FHWA programs may use that match ratio for section 5310 capital projects. Funds provided under other Federal programs (other than those of the Department of Transportation, with the exception of the Federal Lands Highway Program established by section 204 of Title 23 U.S.C.) may be used as match for capital funds provided under section 5310.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Section 5310 program funds are available to the States for three years from year of allocation. See the following for information on how assistance is awarded/released: Reimbursement of eligible project expenses.

Reports:

FTA requires an Annual Program of Projects Status Report, Milestone Progress Reports (MPR), Federal Financial Report (FFR), and reporting of Program Measures (Gaps in service filled; Ridership; Service improvement in geographic coverage, service quality, service times; and

physical improvements). SF - 425. Milestone reports each quarter which show the progression of the project. SF - 425. As requested by the State and federal agencies administering the program. States and large urbanized areas must submit annual status reports and annual financial reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipient is required to retain intact, for 3 years following submission of the final financial report, all contract documents, financial records, and supporting documents with the following qualification: If any litigation, claim or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved.

Account Identification:

69-8350-0-7-401; 69-1129-0-1-401.

Obligations:

(Formula Grants (Apportionments)) FY 16 \$262,949,400; FY 17 est \$268,208,388; and FY 18 est \$273,840,764

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-8350; 69-1129.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The FTA apportioned \$261 million to the Section 5310 program to fund public transportation projects designed to meet the special needs of older adults and people with disabilities. Projects may include rolling stock, including replacement or expansion of buses and vans, vehicle rehabilitation or overhaul, preventive maintenance, vehicle wheelchair lifts and securement devices; Vehicle shelters; Computer hardware and software; Transit related ITS; Dispatch systems; and Mobility management programs). Public transportation projects that exceed the requirements of ADA (Examples may include: Expansion of paratransit service beyond the mile requirement; Expansion of current hours of operation for ADA paratransit; Incremental cost of providing same day service; Incremental cost of providing door-to-door service; and Acquisition of vehicles and equipment designed to accommodate mobility aids that exceed the dimensions and weight ratings for wheelchairs). Under the Discretionary category, 19 projects were funded that demonstrated innovation in coordination and improved access to non-emergency medical appointments. Fiscal Year 2017: The FTA anticipates apportioning a total of \$268 million of Formula Grants for Elderly and Persons with disabilities Transportation Program to designated urban, small urban, and rural communities. The Section 5310 program continues to fund public transportation projects designed to meet the special needs of older adults and people with disabilities. Projects may include rolling stock, including replacement or expansion of buses and vans, vehicle rehabilitation or overhaul, preventive maintenance, vehicle wheelchair lifts and securement devices; Vehicle shelters; Computer hardware and software; Transit related ITS; Dispatch systems; and Mobility management programs). Public transportation projects that exceed the requirements of ADA (Examples may include: Expansion of paratransit service beyond the mile requirement; Expansion of current hours of operation for ADA paratransit; Incremental cost of providing same day service; Incremental cost of providing door-to-door service; and Acquisition of vehicles and equipment designed to accommodate mobility aids that exceed the dimensions and weight ratings for wheelchairs). Additionally, FTA anticipates apportioning \$3 million in Discretionary Grants to continue the objectives set forth by the FAST ACT Section 3006 (b) Pilot Program for capital projects that demonstrate innovation in coordination of non-emergency medical trips (NEMT). Fiscal Year 2018: The Section 5310 program is anticipated to continue. The FAST Act authorizes \$273 million for 5310 Program and \$3.25million for the discretionary program.

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular C 9070.1G, Enhanced Mobility of Seniors and Individuals with Disabilities Program, dated July 7, 2014, can be viewed here: http://www.fta.dot.gov/legislation_law/12349_16011.html 150 Information Contacts.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the address of Federal Transit Administration's Regional Office or visit <http://www.fta.dot.gov/12926.html>. State- Designated Agency: The Governor in each State has designated a State agency to administer the Section 5310 program.

Headquarters Office:

Kelly Tyler 1200 New Jersey Ave. SE , Washington, District of Columbia 20590 Phone: (202) 366-3102

Website Address:

<http://www.fta.dot.gov>

RELATED PROGRAMS:

20.500 Federal Transit_Capital Investment Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Purchase of accessible vehicles; replacement of rolling stock; mobility management programs; travel training; vehicle wheelchair lifts, ramps, and securement devices; transit related information and technology systems; and dispatch systems. Fiscal Year 2017: Purchase of accessible vehicles; replacement of rolling stock; mobility management programs; travel training; vehicle wheelchair lifts, ramps, and securement devices; transit related information and technology systems; and dispatch systems. Fiscal Year 2018: Purchase of accessible vehicles; replacement of rolling stock; mobility management programs; travel training; vehicle wheelchair lifts, ramps, and securement devices; transit related information and technology systems; and dispatch systems.

CRITERIA FOR SELECTING PROPOSALS:

As described by the State or designated recipient in the state or project management plan.

20.514 PUBLIC TRANSPORTATION RESEARCH, TECHNICAL ASSISTANCE, AND TRAINING

National Research Programs

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

The Moving Ahead for Progress in the 21st Century Act (MAP-21), Pub. L. 112-141, signed into law on July 6, 2012, and effective October 1, 2012, and the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU). , Public Law 112-141, 49 U.S.C 5312-5314, 5322; The Fixing Americas Surface Transportation (FAST) Act (Public Law No. 114-94), signed into law on December 4, 2015, and effective October 11, 2015, 49 U.S.C. Section 5312/FAST Section 3008 and Section 5314/FAST Section 3009., Public Law 114-94, 49 U.S.C 5312 & 5314.

OBJECTIVES:

The Federal Transit Administration's Research, Development, Demonstration, Deployment, Cooperative Research, Technical Assistance, Standards Development, and Human Resources and Training programs are authorized at 49 U.S.C. 5312, 5313, 5314, and 5322 and collectively seek to develop solutions that improve public transportation. Its primary goals are to increase transit ridership, improve safety and emergency preparedness, improve operating efficiencies, protect the environment, promote energy independence, and provide transit research leadership; develop and conduct workforce development activities, training and educational programs for Federal, State, and local transportation employees, United States citizens, and foreign nationals engaged or to be engaged in Government-aid relating to public transportation work; and to sponsor development of voluntary and consensus-based standards to more effectively and efficiently provide transit service, as well as support the improved administration of Federal transit funds. To accomplish this, FTA funds projects to support research and development, demonstration,

deployments of various technologies and operational models for transit; a national cooperative research program, a national training institute, national technical assistance centers, and transit workforce development programs. :

The Research, Development, Demonstration, and Deployment Projects program (49 U.S.C. 5312) supports research not generally undertaken by the private sector including studies on transit policy issues, operational efficiency, and travel behavior. These projects will promote the use of clean energy and air quality improvement. The program also funds long-term, high-risk, high-potential payoff investigations of new technologies, which is critical to developing a public transportation system that is efficient, attractive, and reliable. The majority of the funds (75 percent) appropriated under 49 U.S.C 5338 to support this section, must be used for a discretionary Low and No Emission deployment program.

The Transit Cooperative Research Program (TCRP) (49 U.S.C 5313) funds shorter-term research projects that address immediate operational issues facing the transit industry. These projects are designed to identify immediate improvements in transit safety, equipment and system design, system operations, and the economic development impact of transit investments, among other topic areas. These funds are awarded to the National Academies of Science.

The Technical Assistance and Standards (49 U.S.C. 5314) funds support provide resources and direct assistance to support compliance with the Americans with Disabilities Act (ADA), human services transportation coordination requirements, the transportation needs of elderly individuals, increased transit ridership, transportation equity needs, and any other technical assistance activities deemed necessary by FTA, such as improvements in safety and asset management.

The Human Resources and Training program (49 U.S.C 5322) creates Ladders of Opportunity to help build pathways for job-seekers by leveraging opportunities in the transit industry in manufacturing, operations and maintenance. This program, among other activities, awards grants to transit agencies and other entities for workforce development programs and projects.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

See section above, Objectives. There are multiple assistance opportunities within this CFDA. Generally, the program supports research not undertaken by the private sector including studies on transit policy issues, operational efficiency, and travel behavior. Funding will provide for training and educational programs which may include courses in recent developments, techniques, and procedures related to intermodal and public transportation planning; management; environmental factors; acquisition and joint use rights-of-way; engineering and architectural design; procurement strategies for public transportation systems; new technologies; emission reduction technologies; way to make public transportation accessible to individuals with disabilities; construction, construction management, insurance, and risk management; maintenance; contract administration; inspection; innovative finance; workplace safety; and public transportation security. The program will also fund innovative workforce development activities in areas with special emphasis on targeting areas with high unemployment; provide advanced training related to maintenance of alternative energy efficient or zero emission vehicle; and address current or projected workforce shortages in areas that require technical expertise. In addition, it will provide for the development of voluntary and consensus-based standards and best practices by the public transportation industry, including standards and best practices for safety, fare collection, Intelligent Transportation Systems, accessibility, procurement, security, asset management to maintain a state of good repair, operations, maintenance, vehicle propulsion, communications, and vehicle electronics. Depending on the specific funding program, the eligibility of recipients, projects, and federal share may vary. To the extent FTA allocates the funding through a competitive notice of funding availability, FTA will specific eligibility and requirements in a notice of funding availability (NOFA).

In broad terms, Section 5312(a) authorizes the Secretary of Transportation to make grants and enter into contracts, cooperative agreements, and other agreements for research, development, demonstration, and deployment projects and evaluation of research and technology of national significance to public transportation, and that the Secretary determines will improve public transportation.

Section 5312(b) focuses on public transportation research projects with the goal of developing and deploying new and innovative ideas, practices, and approaches.

Section 5312(c) authorizes public transportation innovation and development projects seeking to improve public transportation systems nationwide by providing more efficient and effective delivery of public transportation services, including through technology and technological capacity improvements.

Section 5312(d)(1) (4) establishes a program to promote the early deployment and demonstration of innovation in public transportation that has broad applicability.

Section 5312(d)(1)(5) establishes a program within FTAs research program to support low or no emission vehicle deployment.

Depending on the statutory section under which a project is undertaken, Section 5312 authorizes the Secretary to make grants to and enter into contracts, cooperative agreements, and other agreements with departments, agencies, and instrumentalities of the government, including Federal laboratories; state and local governmental entities; providers of public transportation; private or nonprofit organizations; institutions of higher education; and technical and community colleges.

Section 5313 authorizes the Secretary to make grants to, and cooperative agreements with, the National Academy of Sciences to carry out a public transportation cooperative research program.

Section 5314(a) authorizes the Secretary to assist in the development of voluntary and consensus-based standards and best practices by the public transportation industry.

Support for compliance with Americans with Disabilities act of 1990 (ADA) (42 U.S.C. 12101 et seq.) is codified in Section 5314(b), which authorizes the Secretary to make grants and enter into contracts, cooperative agreements, and other agreements to provide public transportation-related technical assistance to comply with the ADA; comply with human services transportation coordination requirements and enhance the coordination of related Federal resources; meet the transportation needs of elderly individuals; assist market-based development around transit stations; address transportation equity for low-income and minority individuals; and any other technical assistance activity that the Secretary determines is necessary to advance the interests of public transportation.

Section 5322(a) authorizes the Secretary to undertake, or make grants and contracts for, programs that address human resource needs as they apply to public transportation activities including employment training, outreach to minority and female candidates, research on public transportation personnel and training needs, and training and assistance for minority business opportunities.

Section 5322(b) directs the Secretary to establish an innovative public transportation workforce development program to assist the development of innovative activities in these areas.

In addition, Section 5322(d) directs the Secretary to establish a national transit institute and award grants to a public four-year degree-granting institution of higher education to develop and conduct training and educational programs for Federal, state, and local transportation employees, United States citizens, and foreign nationals engaged or to be engaged in government aid public transportation work. Section 5312: 25 percent of the funds appropriated under this section are available for discretionary research, development, demonstration, and deployment activities; the other 75 percent are available for

a discretionary deployment program to specifically support Low and No Emission vehicles and infrastructure.

Section 5313: 100 percent of the funds are awarded to the National Academy of Science, who in cooperation with the industry, the Transit Research Board, and FTA, determine the specific topics to research.

Section 5314: 100 percent of the funds are available for discretionary activities, pursuant to the agency's priorities.

Section 5322: funds are available are available to support a discretionary innovative public transportation workforce development program and then the remaining funds must be used to support a national transit institute.

Applicant Eligibility:

Applicants may include State and local DOTs, nonprofit institutions, universities, and legally constituted public agencies and operators of public transportation services, and private for-profit organizations. Also, urban and rural transit agencies, Indian Tribes, public 4-year degree-granting institutions of higher education as defined in section 101(a) of the Higher Education Act of 1965 (20 U.S.C. 1001(a)) in order to carry out the duties of the NTL.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

FTA will specify the credentials and documentation in the individual notices of funding availability published to support the various sections and program purposes. At a minimum, the initial proposal should include project objectives, background, and project description, including time schedule, budget, and applicant's organizational experience. Legal opinion and compliance with labor requirements are required later. Costs will be determined in accordance with 2 CFR 200, Contracts, Cooperative Agreements, Interagency Agreements, and grants are awarded in response to specific requests for proposal in which applicants will respond to a solicitation that FTA will conduct after funds become available. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

To the extent funding is available for discretionary activities, FTA will publish specific application instructions in a notice of funding availability or a request for proposals. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200 apply. In addition, FTA guidance for these programs can be found in FTA Circular 6100.1D, "Transit Research and Technology Programs: Application and Program Management Guidelines." Potential applicants should follow the instructions in this Circular, which may be found at the FTA website at www.fta.dot.gov.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement and/or cooperative agreement. Cooperative Agreements and Grants are awarded electronically using FTAs Electronic Award Management system. In order to access this system, a user name and password are needed can be obtained by contacting the appropriate FTA staff. The Federal Transit Administrator makes the final decision to approve a project. An FTA grant or cooperative agreement award obligating Federal funds is reflected in a grant agreement or cooperative agreement. To access funds, the recipient must execute the grant agreement or cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The local share requirements for Section 5312(a) research, development, demonstration, and deployment projects is at least 20% of the project cost unless increased by a clear and direct financial benefit to an entity participating in the project.

The local share requirements for the Section 5312(b) research projects is at least 20% of the project cost unless increased by a clear and direct financial benefit to an entity participating in the project.

The local share requirements for the Section 5312(c) innovation and development projects is at least 20% of the project cost unless increased by a clear and direct financial benefit to an entity participating in the project.

The local share requirements for the Section 5312(d)(1) (4) demonstration, deployment, and evaluation projects is at least 20% of the project cost unless increased by a clear and direct financial benefit to an entity participating in the project.

There is no local share requirement for the Section 5313 Transit Cooperative Research program,

The local share requirements for Section 5314(a) voluntary consensus based standards projects is at least 20% of the project cost.

The local share requirements for the Section 5314(b) technical assistance to support transportation for seniors and individuals with disabilities projects is at least 20% of the project costs.

The local share requirements for the Section 5322(a) Human Resources projects financed under MAP-21 is 50% of the project costs.

The local share requirements for the Section 5322(b) Innovative Workforce Development projects is 50% of the project costs.

There is no local share requirement for the Section 5322(d) NTI program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of time varies with the complexity of the project. Method of awarding/releasing assistance: by letter of credit. Funds are available until expended. Generally, the applicant is required to submit an application for a grant or cooperative agreement in response to a solicitation that will be made. FTA will determine which applicant will be awarded funding. See the following for information on how assistance is awarded/released: Generally, competitive / discretionary funding is solicited through Grants.gov. Once a project is selected to receive funds, the applicant will then be required to submit an electronic grant or cooperative agreement in FTAs electronic award management system to obligate the funds. All payments are handled electronically through FTAs financial systems.

Reports:

Quarterly financial; (2) quarterly or monthly progress; and (3) interim and final reports are required and must be submitted electronically. Generally, as it relates to the national research program in its entirety: (1) Quarterly financial; (2) quarterly or monthly progress; and (3) interim and final. By electronic filing. SF - 425. (1) Quarterly financial; (2) quarterly or monthly progress; and (3) interim and final. By electronic filing. Research projects may also require additional information be submitted to support the required project and program evaluation reports.

FTA also has a number of Congressional reports it submits using the program

reports from the applicants. The Federal Financial Report SF-425 is required. Quarterly milestone progress and federal financial reports are required. The Federal Financial Report SF-425 is required. Quarterly financial reports are required. There quarterly progress reports describe accomplishments within the reporting period. They must also provide interim and final reports. Electronic filing is preferred.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant recipients shall retain records for 3 years following submission of a final expenditure report, pending resolution of audit findings, all project contracts documents, financial records, and supporting records.

Account Identification:

69-1137-0-1-401.

Obligations:

(Project Grants (Contracts)) FY 16 \$42,000,000; FY 17 est \$49,000,000; and FY 18 est \$39,000,000 - FY 2016 Enacted

Technical Assistance, Standards Development and Human Resources and Training Projects, 5314, \$4,000,000, National Transit Institute program 5314(c), \$5,000,000, Lo/No Emissions Vehicles Component Assessment estimate \$3,000,000, Transit Cooperative Research estimate \$5,000,000, Research, Development, Demonstration, and Deployment \$20,000,000.

FY 2017 Enacted

Technical Assistance, Standards Development and Human Resources and Training Projects, 5314, \$4,000,000, National Transit Institute program 5314(c), \$5,000,000, Lo/No Emissions Vehicles Component Assessment estimate \$3,000,000, Transit Cooperative Research estimate \$5,000,000, Research, Development, Demonstration, and Deployment \$20,000,000. Additional \$5,000,000 enacted for Technical Assistance and Training.

FY 2016 and FY 2017

New obligations for FY 2016 and FY 2017 will be included in newly approved CFDA's. The Fixing Americas Surface Transportation (FAST) Act (Public Law No. 114-94), signed into law on December 4, 2015, and effective October 11, 2015, 49 U.S.C. Section 5312 and Section 5314 (FAST) Section 3009 separated FTAs research programs into two groups Section 5312 programs (CFDA 20.530) include; Transit Cooperative Research Program (TCRP), Innovations and Development, Demonstration, Deployment and Evaluation and Low or No Emission Vehicle component Testing (Low-No Testing).Section 5314 programs (CFDA 20.531) include; Technical Assistance and Human Resources and Training Innovative Public Transportation Frontline Workforce Development Program. For information regarding Section 5312 please see CFDA #20-530 and for Section 5314 please see CFDA #20-531.

Range and Average of Financial Assistance:

None established.

TAFS Codes:

69-1137.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FTA continued to implement new programs and manage active programs in accordance with current Federal Public Transportation Law and FTAs research vision that innovative technologies, projects, partnerships, and world-class Infrastructure promote economic growth, productivity, safety, and improve quality of life in communities. FTAs research mission is to advance public transportation innovation by leading research, development, demonstration, deployment, evaluation, and implementation practices and technologies that, enhance effectiveness, increase efficiency, expand quality, promote safety, and ultimately improve the transit riders experience. FTA awarded agreements for research, development, demonstration, and deployment projects, and evaluation of research and technology of national significance to

public transportation that improves public transportation in our nation.

Projects were chosen through a new transparent, participatory, and consensus oriented planning process. Also, as there is a five-year authorization, FTA is developing a five-year strategic plan. Following are top accomplishments for FY 2016, and for further information on FY 2016 research projects and their accomplishments please see the 2016 FTA annual research report which can be found at the following URL:

<https://www.transit.dot.gov/research-innovation/fta-annual-report-fy-2016-public-transportation-innovation-research-projects-fta>

To support infrastructure capital investment innovations, FTA established a new testing program to assess low or no emission vehicle (LoNo) components. The program provides assessments of low- or no-emission vehicle components, documenting (at a minimum) the maintainability, reliability, performance, structural integrity, efficiency, and noise of the tested components and continues FTAs legacy of supporting the transit industry in the introduction of advanced technologies to reduce the energy consumption and emissions of transit buses. FTA selected The Ohio State University and Auburn University to receive research funds to conduct the program. Both universities will conduct testing, evaluation, and analysis of low or no emission (LoNo) components intended for use in LoNo transit buses used to provide public transportation.

To enhance safety in public transportation systems, the Safety, Resiliency, and All-Hazards Emergency Response and Recovery Research Demonstrations (SRER) Program carried out by FTA continued to work with grantees who are developing and testing new innovative technologies in safety. One example is the Metropolitan Atlanta Rapid Transit Authority (MARTA) project that is mitigating the risks of one of the most hazardous roles in rail transportation inspecting and maintaining track and wayside infrastructure. MARTA partnered with Bombardier to deploy six miles of TrackSafe technology on MARTA's rail system to improve track worker safety and reduce hazards associated with track inspection, maintenance, and repair. The technology alerts track workers to the presence of an approaching train, and train operators and control center staff to the specific location of workers. FTA is also leading projects to develop safety standards and improve safety culture in collaboration with national industry experts.

Mobility innovations were accomplished through the Ride to Wellness Program aimed which is seeking to increase access to care, improve health outcomes, and lower healthcare costs through increased partnerships between public transportation and health providers. To help agencies identify ways to utilize automation, an active FY 2016 project is developing materials to help in the planning and executing of U.S. DOT-sponsored transit automation development and demonstration projects. And, the Accessible Transportation Technology Research Initiative (ATTRI) is researching innovative technologies that can increase independence and mobility for people living with disabilities.

A yearly statutory program in Section 5312, is the Transit Cooperative Research Program (TCRP): with the National Academies of Science. This cooperative agreement funded transit research activities as recommended by the TCRP Oversight and Project Selection (TOPS) Committee, an independent governing board for TCRP. For more information on the projects funded and major accomplishments for TCRP, please see their annual report at the following URL:

<http://onlinepubs.trb.org/onlinepubs/tcrp/TCRPAAnnual2016.pdf>

FTA held a Workforce Development Summit in Washington, DC on June 7, 2016. The meeting was attended by 45 representatives of current active grantees and former FTA recipients, allowing discussions focused on the workforce challenges facing the public transportation industry, innovative projects conducted by grant recipients, collaboration opportunities and the importance of partnerships, funding opportunities, and performance measurement.

FTA funds the National Transit Institute Program (NTI), and over the last NTI delivered 322 training courses throughout the U.S. to 7,738 participants with an average cost per course of about \$13,000 and an average cost per participant of approximately \$580. In addition, NTI conducted 120 sessions of All Hazards Awareness and Preparedness for Transit Employees in preparation for Super

Bowl 50 at Levis Stadium in Santa Clara, California in February 2016, with 1,437 staff of the Santa Clara Valley Transportation Authority (VTA) trained. The National Transit Institute (NTI) continued its focus to develop and deploy training programs for the public transportation industry. NTI held a workforce summit on behalf of FTA, and over a year period fielded over 300 courses training over 7,200 people.

In FY 2016, FTA continued to fund two technical assistance centers: the National Aging and Disability Transportation Center (NADTC), and the National Center for Mobility Management (NCMM),

Accomplishments for the NADTC in 2016 included developing online courses on Section 5310 and other areas; awarding eight community grants; holding five webinars, launching a new website with useful materials that benefit older adults, individuals with disabilities, caregivers, and public transportation providers; and supporting 800# inquiries through the Eldercare Locator. Additionally, the NADTC provided targeted technical assistance in response to locally-identified challenges.

NCMM supported FTA by funding \$400,000 in planning grants to 16 communities for the development of innovative solutions to healthcare access transportation challenges. Local coalitions of cross-industry providers, including consumers, spanning the healthcare, transportation, human service, and other industries, developed replicable strategies to enhance access to preventive, dialysis, behavioral health, and post-hospitalization care. NCMM also organized and hosted Peer Exchanges in Baltimore and Austin (Texas), allowing transit agencies and MPOs an opportunity to learn from each other about effective transit planning. The NCMM also continued to provide support to communities and shared best practices around the field of mobility management and in the area of coordinated planning. Fiscal Year 2017: FTA focused eligible projects in three strategic priority areas of mobility, infrastructure (asset management and asset innovation), and safety. Projects in these areas promoted public transportation innovation to improve operations, infrastructure, and the travelers experience. An active project under Section 5312 is the Mobility on Demand (MOD) Program, a program aimed to improve transportation efficiency, increase transportation effectiveness, and enhance the customer experience. Currently, the MOD program is engaging in numerous activities to prepare the transportation industry to deliver innovative mobility solutions. The MOD Sandbox program, launched in 2016, explores innovative approaches to integrate emerging MOD solutions with existing public transportation investments, is underway. FTA selected and announced a portfolio of 11 innovative MOD Sandbox projects in October 2016. All 11 innovative projects are underway with the project teams, and are expected to be operational within the next 12 months. In addition, FTA initiated work to conduct independent evaluations of all 11 Sandbox sites, and is currently working with each site to develop evaluation plans for assessing the demonstrations, and related technologies and approaches to MOD. FTA has also initiated work to develop new MOD-specific metrics, to better understand and capture the relevance and impacts of MOD projects, and FTA initiated stakeholder engagement, knowledge transfer, and outreach activities to support the MOD program.

Another active program is the Safety Research and Demonstration (SRD) Program, aimed to provide technical and financial support for transit agencies to pursue innovative approaches to eliminate or mitigate safety hazards. 7 grantees have been selected and will be operational at by the end of FY2017. The selected projects will Improve the safety culture at transit agencies, support stakeholder coordination and outreach for collision avoidance and mitigation, and support transit worker safety protection. Final reports are expected of each of the projects will be delivered to FTA by Fall 2021. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Most Federal transit laws are codified at Title 49, United States Code, Chapter 53 (49 U.S.C. chapter 53). Authorizing legislation is substantive legislation enacted by Congress that establishes or continues the legal operation of a Federal program or agency. FTAs most recent authorizing legislation is the Moving Ahead for Progress in the 21st Century Act (MAP-21), Pub. L. 112-141, signed into law on July 6, 2012 and effective on October 1, 2012;

Fiscal Year 2014 Annual List of Certifications and Assurances for Federal Transit Administration Grants and Cooperative Agreements; FTA Circular 6100.1 for Research Projects; FTA Circular 4220.1F for Third-Party Procurements; and FTA Circular 5010.1D for Grants Management. In certain circumstances and in other fiscal years, contact the FTA Office of Research, Demonstration and Innovation is necessary. Also, consult FTA website www.fta.dot.gov. Other information will be made available upon request.

Regional or Local Office:

None.

Headquarters Office:

Edwin Rodriguez 1200 New Jersey Ave. S.E., Washington, District of Columbia 20590 Phone: 202- 366-0671

Website Address:

<http://www.fta.dot.gov/research>

RELATED PROGRAMS:

20.500 Federal Transit_Capital Investment Grants; 20.507 Federal Transit_Formula Grants; 20.530 Public Transportation Innovation; 20.531 Technical Assistance and Workforce Development

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Safety Research and Demonstration Program is an example of a 2016 program funded by FTA under Section 5312. The Safety Research and Demonstration (SRD) Program is part of a larger safety research effort of the U.S. Department of Transportation that provides technical and financial support for transit agencies to pursue innovative approaches to eliminate or mitigate safety hazards. The SRD Program focused on demonstration of technologies and safer designs. SRD objectives included: 1. Exploring advanced technologies to prevent transit vehicle collisions; 2. Enhanced safety of transit services by incorporating safer design elements; and 3. Evaluated cost-effectiveness and practicability of potential solutions. The FY 2016 SRD Program targets collision avoidance and mitigation and transit worker safety protection. The program provided financial and technical assistance for transit agencies to pursue cutting-edge technologies and innovative approaches to safety. The funding is intended to assess the practicality and effectiveness of potential solutions to improve safety as well as influence transit industry guidance and standards. For additional examples of 2016 program and projects please see the link below for FTA 2016 FTA Annual Research Report which can be found at the following URL: <https://www.transit.dot.gov/research-innovation/fta-annual-report-fy-2016-public-transportation-innovation-research-projects-fta>. Fiscal Year 2017: FTA provided in funding for Mobility on Demand public transportation projects. The MOD Sandbox Program is part of a larger research effort at DOT that supports transit agencies and communities as they integrate new mobility tools like smart phone apps, bike- and car-sharing, and demand-responsive bus and van services. MOD projects help make transportation systems more efficient and accessible, particularly for people who lack access to a car. An 2017 Annual Research Report with additional examples of projects is anticipated to be published in early 2018. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Please see published Notices of Funding Availability (NOFA) and Requests for proposals.

FTA published notices between FY 2014 and 2015; and either has allocated the funding or is in the process of allocating funds for support.

20.516 JOB ACCESS AND REVERSE COMMUTE PROGRAM

(JARC)

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient & Transportation Equity Act: A Legacy for users (SAFETEA-LU), Title 49, Part 53, Section 5316, Public Law 109-59, 49 U.S.C 5317,5307, 5311.

OBJECTIVES:

On October 1, 2013, the Job Access and Reverse Commute (JARC) Program

was repealed by Congress under the Moving Ahead for Progress in the 21st Century Act (MAP-21).

The JARC Program provided grants to local governments, nonprofit organizations, and designated recipients of Federal transit funding to develop transportation services to connect welfare recipients and low- income persons to employment and support services. Job Access grants finance planning, capital and operating cost of projects. The Reverse Commute grants assist in funding the costs associated with adding reverse commute bus, train, carpool or service from urban areas, urban, rural and other suburban locations to suburban work places. Projects meeting these objectives are now eligible for funding under Section 5307 and 5311.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funds were to be used for delivery of services as well as to administer, plan and provide technical assistance for projects.

Applicant Eligibility:

State and local government agencies, nonprofit agencies, and transit providers.

Beneficiary Eligibility:

Low income individuals; individuals traveling to suburban work places.

Credentials/Documentation:

The applications submitted were derived from a locally developed, coordinated public transit-human services transportation plan and developed through a process that included representatives of public, private and nonprofit transportation and human services providers and participation by the public. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Applicants must coordinate with: the State or local agencies that administer the State program funded under part A of Title IV of the Social Security Act, public housing agencies, the community to be served, and other stakeholders. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The project had to be a product of the local coordinated public transit human services coordinated plan, competitively selected by the area's recipient, and included in the State Transportation Improvement Program prior to grant award. Recipients will submit applications electronically to the appropriate FTA regional office. The FTA regional offices are listed in Appendix IV of the Catalog. Recipients would distribute funding directly to the subrecipients. After the recipient has competitively selected projects to be funded, the recipient may officially request that FTA allow subrecipients that are eligible to apply directly to FTA for Section 5307 funds be allowed to apply directly to FTA for JARC funds awarded. Notification of the grant program was located at <http://www.grants.gov>.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. To access funds, the recipient must execute the grant agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

In the past Fiscal years, FTA approved JARC grants on an average of 42 days following submission of a complete application.

Appeals:

Not Applicable.

Renewals:

Grants may be amended to add additional funds.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 80%. The Federal share of eligible capital and planning costs could not exceed 80 percent of the net cost of the activity. The Federal share of the eligible operating cost is 50 percent.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

For three years from the time of allocation. Method of awarding/releasing assistance: by letter of credit.

Reports:

(1) Progress reports; (2) Federal Financial Reports, submitted quarterly for recipients in urbanized areas over 200,000 population, and annually for other recipients and states; (3) Detailed annual reports of project results for program evaluation purposes. SF - 425. (1) Progress reports; (2) Federal Financial Reports, submitted quarterly for recipients in urbanized areas over 200,000 population, and annually for other recipients and states; (3) Detailed annual reports of project results for program evaluation purposes. SF - 425. (1) Progress reports; (2) Federal Financial Reports, submitted quarterly for recipients in urbanized areas over 200,000 population, and annually for other recipients and states; (3) Detailed annual reports of project results for program evaluation purposes.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. 2 CFR 200, Subpart F audit reports are required if the total federal funds from all sources exceeds \$750,000.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-1125-0-1-401; 69-8350-0-7-401.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Program has been repealed, but projects are now eligible for funding under Section 5307 and 5311.

Range and Average of Financial Assistance:

\$10,890 to \$1,293,611. Average: \$180,588.

TAFS Codes:

69-8350; 69-1125.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Previously- funded JARC projects will continue, and new services enabling low-income people access to job opportunities may be funded through Sections 5311 and 5307. Fiscal Year 2017: It is anticipated that previously- funded JARC projects will continue, and new services enabling low-income people access to job opportunities may be funded through Sections 5311 and 5307. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 9050.1, Job Access and Reverse Commute Program Guidelines and Application Instructions, updated May 1, 2007.

Regional or Local Office:

None. See Catalog Appendix for listing of Federal Transit Administration regional offices.

Headquarters Office:

Marianne Stock 1200 New Jersey Avenue, SE, East Building-Fourth Floor, Washington, District of Columbia 20590 Phone: (202) 366-2677

Website Address:

http://www.fta.dot.gov/funding/grants/grants_financing_3550.html

RELATED PROGRAMS:

20.505 Federal Transit_Metropolitan Planning Grants; 20.507 Federal Transit_Formula Grants; 20.509 Formula Grants for Other Than Urbanized Areas; 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: This program is expired. Job Access and Reverse Commute activities are eligible for funding under FTA's Urbanized Area Formula Grants (Section 5307) and the Formula Grants for Rural Areas (Section 5311) programs. Fiscal Year 2017: Previously-funded JARC projects are anticipated to continue, enabling low-income people to gain access to job opportunities. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

49 U.S.C. 5316(f) of SAFETEA-LU states factors that had to be fair and equitable. Proposals had to also meet legal and administrative requirements, available on the FTA web site at <http://www.fta.dot.gov>. Projects must be derived from a locally developed, coordinated public transit-human services transportation plan. Designated recipients of JARC funding must conduct a competitive selection process which must be described in a State Management Plan or Program Management Plan.

20.518 CAPITAL AND TRAINING ASSISTANCE PROGRAM FOR OVER-THE-ROAD BUS ACCESSIBILITY

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-059. , Public Law 109-059.

OBJECTIVES:

To make funds available to private operators of over-the-road buses to finance the incremental capital and training costs of complying with requirements of the Department of Transportation's Over-the-Road Bus Accessibility regulation, "Transportation for Individuals with Disabilities" (49 CFR Part 37, Subpart H). The program was repealed under the Moving Ahead for Progress in the 21st Century Act (MAP-21).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Program funds were used to finance the incremental capital and training costs of complying with DOT's Over-the-Road Bus Accessibility regulation. Capital projects eligible for funding included adding wheelchair lifts and other accessibility components to new vehicle purchases, and purchasing lifts to retrofit existing vehicles. Eligible training costs included training in proper operation and maintenance of equipment, boarding assistance, and securement, handling and storage of mobility devices, and sensitivity training. In FY 2014, \$8.8 million was available for discretionary award. In FY 2012, \$8.8 million is available for discretionary award. All funds obligated in FY14.

Applicant Eligibility:

Private operators of over-the-road buses that provide intercity fixed route bus service and other providers, including operators of over-the-road buses of local fixed-route service, commuter service and charter or tour service.

Beneficiary Eligibility:

Persons with disabilities.

Credentials/Documentation:

Costs are determined in accordance with program guidance in an annual Federal Register Notice soliciting applications. This program is excluded from coverage under OMB Circular No. A-87. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. FTA conducted national solicitations for applications annually. Grant awards were made on a competitive basis. Applications were submitted through Grants.Gov.

Award Procedure:

The announcement of awards is published in a Federal Register Notice. Successful applicants file a grant application with FTA. An FTA grant award obligating federal funds is reflected in a grant agreement. To access funds, the recipient must execute the grant agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Project selections were announced approximately six months following the application deadline for competitive consideration.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 10%. This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for obligation to grantees for 3 fiscal years. Any funds not obligated by FTA by the end of this period will be added to the succeeding year's program allocation. See the following for information on how assistance is awarded/released: Reimbursement of eligible expenses.

Reports:

No program reports are required. No cash reports are required. Final Milestone Progress Report and Federal Financial Report are both required upon closing the grant, and annual reports for grants remaining open are required at the end of each Federal fiscal year. Documentation is required for payment. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. This program is excluded from coverage under OMB Circular 2CFR 200. As requested by FTA.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-1129-0-1-401; 69-8350-0-7-401.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This program was repealed under the MAP-21 Act.

Range and Average of Financial Assistance:

\$25,000 to \$ 180,000. Average: \$25,000.

TAFS Codes:

69-8350; 69-1129.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 85 percent of funds are obligated under this program. Fiscal Year 2017: 90 percent of funds have been obligated. Fiscal Year 2018: All funds will be obligated.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register Notices, published annually.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix for listing of Federal Transit Administration regional offices.

Headquarters Office:

Elan Flippin 1200 New Jersey Avenue, S.E., Washington , District of Columbia 20590 Phone: (202) 366-3800

Website Address:

<http://www.fta.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Grants are used for training, new lifts and retrofits for wheelchair lifts. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Guidance contained in Federal Register Notice was published annually.

20.519 CLEAN FUELS

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Fixing Americas Surface Transportation Act, Public Law 109-59, 49 U.S.C 5308.

OBJECTIVES:

To assist in financing the acquisition of clean fuel vehicles and related facilities for agencies providing public transportation and operating in an urbanized and non-urbanized area designated as a non-attainment or maintenance area for ozone or carbon monoxide. The Clean Fuels Grant program was repealed in 2013, and FTA announced the final project selection of competitive project awards on October 2, 2012.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Although the Clean Fuels Program has been repealed, past Eligible projects included the purchase or lease of clean fuel vehicles, the construction or lease of clean fuel vehicles or electrical recharging facilities and related equipment for such vehicles, and construction or improvement of public transportation facilities to accommodate clean fuel vehicles. A clean fuel vehicle is a passenger vehicle used to provide public transportation that is powered by compressed natural gas, liquefied natural gas, biodiesel fuels, batteries, alcohol-based fuels, hybrid electric systems, fuel cells, clean diesel (to the extent allowed by law), or other low or zero emissions technology. Vehicles that employ lightweight composite primary structure may also be eligible. Funds can be used for capital projects only.

Applicant Eligibility:

Public agencies, including States; municipalities and other subdivisions of States; public agencies and instrumentalities of one or more States; and public corporations, boards, and commissions established under State law. Applicant had legal, financial, and technical capacity to carry out proposed project and maintain facilities and equipment purchased with Federal assistance. Applicant also operated in an urbanized area designated as a non-attainment or maintenance area for ozone or carbon monoxide. If the urbanized area is less than 200,000 in population, the State in which the area is located acted as the recipient.

Beneficiary Eligibility:

The general public, both users and non-users of public transportation.

Credentials/Documentation:

Resolution by an authorized public body approved the filing for an application; projects must be included in an urbanized area's transportation improvement program (TIP), and in the Statewide transportation improvement program (STIP) approved by FTA and FHWA. Information provided labor agreements and relocation planning; environmental impact; legal capacity; coordinated regional planning; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An environmental impact assessment is required for construction projects. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Notice of Funding Availability was published to announce the availability of FY 2012 funds on February 7, 2012. Awards were announced in the Federal Register on October 2, 2012.

Award Procedure:

An FTA grant award obligating federal funds was reflected in a grant agreement. Grants were awarded electronically using FTA's electronic grants management system.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. It was a goal of the Federal Transit Administration to obligate grants within an average of 36 days of the submission of a complete grant application.

Appeals:

Not Applicable.

Renewals:

Funds are no longer appropriated annually. The program has been repealed.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The federal share is not to exceed 90 percent of the net cost to comply with or maintain compliance with the Clean Air Act. FTA has administratively determined the composite federal share for vehicles and vehicle related equipment or facilities to be 83 percent. The 90 percent share applies to the actual incremental costs of improvements for compliance with the Clean Air Act.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Terms and conditions are delineated in the grant agreement. See the following for information on how assistance is awarded/released: Assistance is awarded/released by grant obligation.

Reports:

FTA retains the semiannual reporting requirements in Section 624.11, which requires grantees receiving program funds for hybrid electric, battery electric, and fuel cell vehicles to provide information on the operations, performance, and maintenance of those vehicles purchased or leased with program funds. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Non-federal entities that expend less than \$750,000 federal awards were exempt from federal audit requirements for that year in which certain exceptions as determined by OMB. The Federal Transit Administration may also conduct its own audits.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401; 69-1137-0-1-401.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - The Clean Fuels

Grant program was repealed in 2013, and funds are no longer available.

Range and Average of Financial Assistance:

\$69,720 - \$5,000,000. Average approx. \$2,165,596.

TAFS Codes:

69-1137; 69-8350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FTA received a total of 146 applications requested approximately \$516 million. Twenty-one projects were selected for funding in the non-attainment and maintenance areas. Six projects were selected in the attainment areas. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Please visit the Federal Transit Administration (FTA) website or contact the appropriate FTA Region office for more information. See Federal Register/ Vol.70, No. 229/ Wednesday, November 30, 2005/ Notices.

Regional or Local Office:

See Regional Agency Offices. See list of region contacts listed in Appendix IV.

Headquarters Office:

Vanessa Williams 1200 New Jersey Avenue, SE,
Washington, District of Columbia 20590 Phone: (202) 366-4818

Website Address:

<http://www.fta.dot.gov>

RELATED PROGRAMS:

20.505 Federal Transit_Metropolitan Planning Grants; 20.526 Bus and Bus Facilities Formula Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: City of Fargo, ND replaced 35-foot buses and River Valley Transit, PA construction of CNG fueling facility.

Metropolitan Transportation Commission (MTC) replaced diesel trolleys with electric trolley buses. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria was specified in past Federal Register notices.

20.520 PAUL S. SARBANES TRANSIT IN THE PARKS

Transit in the Parks

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Section 3021 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act A Legacy for Users (SAFETEA-LU) enacted August 10, 2005 [Public Law 109-59], established the Alternative Transportation in Parks and Public Lands program. The name of the program was changed to the Paul S. Sarbanes Transit in Parks under the SAFETEA-LU Technical Corrections Act, 2008. The Transit in Parks Program was repealed by Congress under MAP-21. Alternative transportation projects formerly eligible under Transit in Parks are now eligible under the Federal Highway Administrations Federal Lands Transportation Program and the Federal Lands Access Program., 49 U.S.C 5320.

OBJECTIVES:

The Paul S. Sarbanes Transit in Parks Program was necessary because it addressed the challenge of increasing vehicle congestion in and around our national parks and other federal lands. Americas national parks, wildlife refuges, and national forests were created to protect unique environmental and cultural treasures, but are now facing traffic, pollution and crowding that diminishes the visitor experience and threatens the environment. To address these concerns, this program provided funding for the planning and capital costs of alternative transportation systems, such as shuttle buses, rail connections and even bicycle trails. The program provided grants to Federal land management agencies, including but not limited to the National Park Service, the Fish and Wildlife Service, the Bureau of Land Management, the Forest Service, the

Bureau of Reclamation, and to State, tribal and local governments. The Transit in Parks Program was repealed by Congress under MAP-21, and FTA announced the final selection of competitive project awards on February 18, 2013. Alternative transportation projects formerly eligible under Transit in Parks are now eligible under the Federal Highway Administrations Federal Lands Transportation Program and the Federal Lands Access Program.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

A qualified project is a planning or capital project in or in the vicinity of a Federally-owned or managed park, refuge, or recreational area that is open to the general public and meets the goals of the program. Project evaluation will be based on the considerations listed in the law and explained in section 180 of this notice. The following types of projects are eligible:

- (1) Planning Projects: Activities to comply with metropolitan and statewide planning provisions (49 U.S.C. 5320(b)(5)(A) referencing 49 U.S.C. 5303, 5304, and 5305). These activities include planning studies for an alternative transportation system, including evaluation of no-build and all other reasonable alternatives, traffic studies, visitor utilization studies, transportation analysis, feasibility studies, and environmental studies.
- (2) Capital projects for acquiring, constructing, supervising, or inspecting equipment or a facility for use in public transportation, expenses incidental to the acquisition or construction (including designing, engineering, location surveying, mapping, and acquiring rights-of-way), payments for the capital portions of rail trackage rights agreements, transit-related intelligent transportation systems, relocation assistance, acquiring replacement housing sites, and acquiring, constructing, relocating, and rehabilitating replacement housing; (49 U.S.C. 5320(b)(5)(A) referencing 49 U.S.C. 5302(a)(1)(A).)
- (3) Fixed Guideway and Bus Projects: (i) New fixed guideway capital projects including the acquisition of real property, the initial acquisition of rolling stock for the systems, the acquisition of rights-of-way, and relocation, for fixed guideway corridor development for projects in the advanced stages of alternatives analysis or preliminary engineering; (ii) Capital projects to modernize existing fixed guideway systems; (iii) Capital projects to replace, rehabilitate, and purchase buses and related equipment and to construct bus-related facilities, including programs of bus and bus-related projects for assistance to subrecipients that are public agencies, private companies engaged in public transportation, or private non-profit organizations; and (iv) The development of corridors to support new fixed guideway capital projects, including protecting rights-of-way through acquisition, construction of dedicated bus and high occupancy vehicle lanes and park and ride lots, and other nonvehicular capital improvements that the Secretary may decide would result in increased public transportation usage in the corridor. (49 U.S.C. 5320(b)(5)(A) referencing 49 U.S.C. 5309(b).)
- (4) Purchase of rolling stock that incorporates clean fuel technology or the replacement of buses of a type in use on August 10, 2005, with clean fuel vehicles;
- (5) The deployment of alternative transportation vehicles that introduce innovative technologies or methods;
- (6) The capital costs of coordinating Federal land management agency public transportation systems with other public transportation systems;
- (7) Non-motorized transportation systems (including the provision of facilities for pedestrians, bicycles and non-motorized watercraft); and
- (8) Any other alternative transportation project that:
 - Enhances the environment;
 - Prevents or mitigates an adverse impact on a natural resource;
 - Improves Federal land management agency resource management; & #61589; & #61472;Improves visitor mobility and accessibility and the visitor experience;
 - Reduces congestion and pollution (including noise pollution and visual pollution); or
 - Conserves a natural, historical, or cultural resource (excluding rehabilitation or restoration of a nontransportation facility). No more than 15% of program funds may be used for project administration, contingency and oversight. As stated above, only planning and implementation projects that involve alternative transportation may receive funds. Funds must be spent in accordance with the details of the grant application.

Applicant Eligibility:

Eligible applicants are:(1) The following Federal land management agencies: The National Park Service, the Fish and Wildlife Service, the Bureau of Land management, the Forest Service, and the Bureau of Reclamation; and (2) State, tribal and local governments with jurisdiction over land in the vicinity of an eligible area acting with the consent of a Federal land management agency, alone or in partnership with a Federal land management agency or other governmental or non-governmental participant.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Any project sponsor must be acting with the consent of a Federal land management agency as documented by the provision of a letter of support from the relevant federal land unit accompanying the project proposal. Any project proposed must be consistent with the metropolitan and statewide planning and public participation requirements found in 49 U.S.C 5303, 5304, and 5307(d). If the project is a capital project in contrast with a planning project, the capital project must be in the metropolitan Transportation Improvement Program (TIP) or State Transportation Improvement Program (STIP), or, if selected, will not receive funds until it is incorporated into the TIP or STIP. Projects also must be consistent with Federal land management agency plans. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The program allows the Department of Transportation to spend up to 10 percent of program funds to carry out planning, research and technical assistance activities. Funds allocated to technical assistance will be used to assist program participants in planning, implementing, and evaluating alternative transportation projects. In addition, the Federal Transit Administration will provide planning guidance and will disseminate research findings. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In previous fiscal years, proposals were submitted to FTA online via the GRANTS.GOV website.

Award Procedure:

Proposals were collected by the FTA and uploaded into a structured evaluation system and evaluated by an interagency team which includes representatives from FTA, each of the Federal land management agencies, and the Department of the Interior.

When this program was funded the projects were evaluated on the basis of criteria in the law and further explained in Section 180 under Criteria for Selecting Proposals, the team provided a recommendation to the Secretary of the Interior. The Secretary of the Interior, after consultation with and in cooperation with the Secretary of Transportation, determined the final selection and amount of funding for each project. The Department of the Interior notified each Federal land management agency of projects awarded for sites under the agency's jurisdiction. FTA published the list of all selected projects and funding levels in the Federal Register.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. This program has no statutory

formula. The Secretary of Transportation, in cooperation with the Secretary of Interior will establish the Governments share of the net project cost to be provided. Funds appropriated to any Federal land management agency may be counted toward the remainder of the net project cost. The maximum Federal share may be 100 percent.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding will be provided on an annual basis. Funds awarded do not have an established date of expiration. See the following for information on how assistance is awarded/released: Lump sum and/or reimbursement of qualified expenses.

Reports:

Requirements regarding reports to be prepared by the program recipient have been published in the Federal Register in the announcement of program awards. Generally, this entails the submission of quarterly reports to the FTA containing a narrative description of the project and a discussion of all budget and schedule changes. In addition, annual reports were required that must include: 1. Annual visitation to the land unit, 2. Annual number of persons who use the alternative transportation system, 3. An estimate of the number of vehicle trips mitigated based on alternative transportation system usage and the typical number of passengers per vehicle, 4. Cost per passenger, and 5. A note of any special services offered for those systems with higher costs per passenger but more amenities. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipient is required to retain intact, for three years following submission of the final expenditure report, all contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-1-407.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - The Transit in Parks Program was repealed under MAP-21, and funds are no longer available.

Range and Average of Financial Assistance:

No one project could receive more than 25 percent of funds.

TAFS Codes:

69-8350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Transit in Parks Program was repealed in 2013, and funds are no longer available. Fiscal Year 2017: No Current Data Available
Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance is included with each Federal Register notice that announces project selections. Program requirements, additional guidance and legislation information can be found at <http://www.fta.dot.gov/>

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Vanessa Williams 1200 New Jersey Avenue, SE, Washington, District of Columbia 20590 Email: Vanessa.Williams@dot.gov Phone: 202-366-4818

Website Address:

<http://www.fta.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Transit in Parks program was repealed in 2013, and funds are no longer available. FTA announced the final selection of competitive project awards on February 18, 2013. An example of a project funded during that time was a \$250,000 grant in West Glacier, Montana for new fuel efficient buses to continue providing free shuttle service for park visitors, which helps reduce traffic congestion along the parks 50-mile road. Fiscal Year 2017: The Transit in Parks program was repealed under MAP-21 and funds are no longer available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Consistent with the considerations identified in Title 49, Chapter 53 at Section 5320(g)(2), evaluation of proposals were based on the following criteria:

- 736-1472; Demonstration of need
- * Documentation of problem in plans and other reports
- * Severity of problem

- Protection of natural and cultural resources
- * Protection or improvement of cultural, historical, scenic, and natural resources
- * Environmental benefits reduction of pollution (including air pollution, noise pollution, and visual pollution)

- 1472:Operational efficiency and financial sustainability
- * Evaluation of costs and benefits of all reasonable alternatives
- * Financial planning (including for capital, operations, maintenance, and equipment replacement expenses; and revenues, including user fees)
- * Cost effectiveness
- * Innovative financing or joint development strategies
- * Deferred maintenance issues

- Public benefits
- * Enhancing visitor experience
- * Mobility issues (reduces congestion, improves intermodal connectivity, improves public access, including access for persons with disabilities)
- * Safety
- * Partnership with public and private entities, and benefits to gateway communities.

- Additional consideration will be given to projects based upon geographic diversity, balance between urban and rural projects, and balance in size of projects. Finally, projects that demonstrate innovative funding mechanisms or partnerships will be given extra consideration. The proposal template contains specific questions related to each of these criteria to guide the applicant in justifying the project.

20.521 NEW FREEDOM PROGRAM

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Program was repealed under MAP-21 and Safe, Accountable, Efficient, Flexible Transportation Equity Act: A legacy for Users (SAFETEA-LU) , 49 U.S.C 5310.

OBJECTIVES:

The New Freedom program (Section 5317) provided grants for new capital and operating projects aimed at reducing, beyond the requirements of the Americans with Disabilities Act of 1990, transportation barriers faced by individuals with disabilities to expand mobility through transportation, including transportation to and from jobs and employment support services. The New Freedom Program was repealed under MAP-21; however, New Freedom type projects are eligible for funding under the new Section 5310 Program (Enhanced Mobility of Seniors and Individuals with Disabilities Program Circular FTA C 9070.1G).

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

To be eligible, a project must either be a new public transportation service or a public transportation alternative beyond those required by the Americans with Disabilities Act (ADA) and the project must assist individuals with disabilities with transportation, including transportation to and from jobs and employment support services. Capital and operating expenses for projects that meet the purpose of the statute are eligible to be funded. The recipient may use up to 10 percent of apportioned funds for administration, planning, and technical assistance.

Applicant Eligibility:

The chief executive officer of each State or an official designee must designate a public entity to be the recipient for New Freedom funds. In urbanized areas with populations less than 200,000 and in non-urbanized areas, the State is the designated recipient. For these areas, the chief executive officer of a State designates a State agency responsible for administering the New Freedom Program, and officially notifies the appropriate Federal Transit Administration (FTA) regional office in writing of that designation. The chief executive officer of a State may designate the State agency that receives Other Than Urbanized Area (Non-urbanized Area, Section 5311) and/or the Elderly Individuals and Individuals with Disabilities (Section 5310) Program funds to be the New Freedom recipient, or the chief executive officer of a State may designate a different agency. In urbanized areas over 200,000 in population, the recipient charged with administering the New Freedom Program must be officially designated through a process consistent with 49 U.S.C. 5307(a)(2): an entity designated in accordance with the planning process under Sections 5303, 5304, and 5306, by the chief executive officer of a State, responsible local officials, and publicly owned operators of public transportation, to receive and apportion amounts under Section 5336 that are attributable to transportation management areas identified under Section 5303.

Beneficiary Eligibility:

Individuals with Disabilities.

Credentials/Documentation:

Compliance with FTA's Annual list of Certifications and Assurances is required. Eligible costs must be in accordance with 2CFR200. The New Freedom program provides grants for new capital and operating projects aimed at reducing, beyond the requirements of the Americans with Disabilities Act of 1990, transportation barriers faced by individuals with disabilities to assist with transportation, including transportation to and from jobs and employment support services. The New Freedom Program was repealed under MAP-21; however, New Freedom projects are eligible for funding under the new Section 5310 Program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular 2 CFR 200. The project must be a product of the local coordinated public transit human services coordinated plan, competitively selected by the areas recipient, and included in the State Transportation Improvement Program (STIP) prior to grant award. Recipients will submit applications electronically to the appropriate Federal Transit Administration (FTA) regional office. The FTA regional offices are listed in Appendix IV of the Catalog or visit <http://www.fta.dot.gov/12926.html>. Recipients will then distribute funding directly to the subrecipients. Notification of the grant program may also be found at <http://www.grants.gov>.

Award Procedure:

The New Freedom Program has been repealed. No funding will be appropriated in FY 2014 or beyond. For previously awarded FTA New Freedom grants, awards and funds are obligated in an electronic grant agreement. The recipient

must execute the grant agreement, and access FTA's electronic funds management system; the funds management system allows the grantee to drawdown funds after invoices are received. The grantee directs funds to subrecipients.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

It is a goal of the Federal Transit Administration to obligate grants within 36 days of the submission of a complete grant application.

Appeals:

Not Applicable.

Renewals:

Prior to the New Freedom Program being repealed, funds were appropriated annually. Grants may be amended to add project continuations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: New Freedom funds may be used to finance capital and operating expenses. The Federal share of eligible capital and planning costs may not exceed 80 percent of the net cost of the activity. The Federal share of the eligible operating costs may not exceed 50 percent of the net operating costs of the activity. Recipients may use up to 10 percent of their apportionment to support program administrative costs including administration, planning, and technical assistance, which may be funded at 100 percent Federal share. The local share of eligible capital and planning costs shall be no less than 20 percent of the net cost of the activity, and the local share for eligible operating costs shall be no less than 50 percent of the net operating costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of time New Freedom Program funds are available is three years from year of allocation. Terms and conditions are delineated in the grant agreement. Funds are awarded using an electronic financial management system as funds are expended and invoices received. See the following for information on how assistance is awarded/released: Funds are appropriated annually. Grants may be amended to add newly available funds for a continuing project.

Reports:

No program reports are required. No cash reports are required. Annual Program of Projects Status Reports, Milestone Activity Reports, Program Measures, Financial Status Report, and a Disadvantaged Business Enterprise (DBE) Reports is required if the recipient receives planning, capital, and/or operating assistance and will award prime contracts exceeding \$250,000 in FTA funds in a fiscal year, SF-425. Program measures have to be reported.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Pursuant to requirements established by the Office of Management and Budget (OMB) and 2 CFR 200, nonfederal entities that expend \$1,000,000 or more in a year in Federal awards shall have a single or program specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year with certain exceptions as determined by the OMB. The Federal Transit Administration may also conduct its own audits.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401.

Obligations:

(Formula Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - The program has been repealed. No additional funding will be obligated.

Range and Average of Financial Assistance:

Project funding varied based on competitive selection process at State or urbanized area level. Urbanized area apportionments range from \$111 to \$2.2 M. for an average of \$150K. State apportionments for small urbanized areas range from \$644 to \$1.5 M for an average of \$115K, and for rural areas from \$459 to \$701K for an average of 160K.

TAFS Codes:

69-8350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: It is expected that current New Freedom Projects will continue to expand accessible transportation options for people with disabilities to enhance their access to employment, education, healthcare and other life activities. New Freedom programs such as mobility management, expansions of service coverage/time, accessible infrastructure improvement, the proliferation of travel training programs, taxi voucher programs, and other above the ADA service will assist more people with disabilities to stay remain active in their communities of choice. Additionally, as these projects end, the innovations developed through those programs will inform additional projects that could be funded other formula grants such as Section 5310. Fiscal Year 2017: It is expected that current New Freedom Projects will continue to expand accessible transportation options for people with disabilities to enhance their access to employment, education, healthcare and other life activities. New Freedom programs such as mobility management, expansions of service coverage/time, accessible infrastructure improvement, the proliferation of travel training programs, taxi voucher programs, and other above the ADA service will assist more people with disabilities to stay remain active in their communities of choice. Additionally, as these projects end, the innovations developed through those programs will inform additional projects that could be funded other formula grants such as Section 5310. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

1.) Federal Transit Administration Transit Program Changes, Authorized Funding Levels and Implementation of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users, 70 Fed. Reg. 71950, 71968 (Nov. 30, 2005). 2) FTA Circular 9045.1, New Freedom Program Guidelines and Application Instructions, enacted May 1, 2008.

Regional or Local Office:

None.

Headquarters Office:

Danielle Nelson 1200 New Jersey Ave., S.E , Washington, District of Columbia 20590 Phone: (202) 366-2160

Website Address:

http://www.fta.dot.gov/funding/grants/grants_financing_3549.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Continuation of projects such as those through human services transportation programs that enable people with disabilities to access community services such as employment programs; mobility management programs, travel training programs, taxi voucher programs for same day service, and expansion of service to later in the evenings and on weekends for riders with disabilities. Fiscal Year 2017: Continuation of projects such as those through human services transportation programs that enable people with disabilities to access community services such as employment programs; mobility management programs, travel training programs, taxi voucher programs for same day service, and expansion of service to later in the evenings and on weekends for riders with disabilities. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Per Congressional designation, project must be new. For the purpose of the New Freedom Program, new service is any service or activity that was not operational on August 10, 2005, and did not have an identified funding source

as of August 10, 2005, as evidenced by inclusion in the Transportation Improvement Plan (TIP) or the STIP. Recipients must conduct a competition to determine which projects should be funded and publically available and transparent information in the state management plan that describes how the recipient will manage and oversee projects. The competitive process and selection criteria must be clear and transparent to the public. Recipient must also certify that all projects are derived from a locally developed, coordinated public transit-human services transportation plan developed through a process that consists of representatives of public, private, and non-profit transportation and human services providers with participation by the public.

20.522 ALTERNATIVES ANALYSIS

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), 109 Stat. 59, 49 U.S.C 533.

OBJECTIVES:

Assisted in financing the evaluation of all reasonable modal and multimodal alternatives and general alignment options for identified transportation needs in a particular, broadly defined travel corridor.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are used to assist State and local governmental authorities in conducting alternatives analyses as part of the early planning for capital projects for new fixed guideway systems and extensions to existing fixed guideway systems.

The Moving Ahead for Progress in the 21st Century (MAP-21) Act that became effective on October 1, 2012, eliminated the Alternatives Analysis Program. Some of the Alternatives Analysis studies are still active, that is, they are completing the work. However, no new funding or requirements are associated with the former program. There are 42 grants remaining open.

Applicant Eligibility:

Public agencies, including States; municipalities and other subdivisions of States; public agencies and instrumentalities of one or more States; and public corporations, boards, and commissions established under State law. Applicant must have legal, financial, and technical capacity to carry out proposed project and maintain facilities and equipment purchased with Federal assistance. Private non-profit organizations are not directly eligible recipients.

Beneficiary Eligibility:

The general public, both users and non-users of public transportation and public agencies. Private consultants may participate through contractual arrangements with a public agency grantee.

Credentials/Documentation:

Resolution by an authorized public body approved the filing for an application; projects must be included in an urbanized area's Unified Planning Work Program (UPWP) and approved by FTA and FHWA. Applicant had to complete certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Cost should be in accordance with OMB Circular No. 2 CFR 200 for State and local governments. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program was eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant consulted the office or official designated as the single point of contact in his or her State for more information on the process that the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement was required for this program. An environmental impact statement may also be required upon completion of the review. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Project funds may be allocated by Congress for specific projects. When applicable, a Notice of Funding Availability is published to announce the availability of discretionary funds and application process. The notification and application are also available at <http://www.grants.gov>.

Award Procedure:

Project funds were allocated by Congress for specific projects. When applicable, a Notice of Funding Availability is published to announce the availability of discretionary funds and application process. The notification and application are also available at <http://www.grants.gov>.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

FTA awards more than 80 percent of grants within 60 days of receipt of a completed application, with an average processing time of 36 days or less.

Appeals:

Not Applicable.

Renewals:

Alternative Analysis program was repealed under MAP-21. This program will not receive new funding.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Funds for Alternatives Analysis projects are allocated based on statutory or discretionary basis. A grant may be made for up to 80 percent of total project cost.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Terms and conditions are contractually agreed on between FTA and the applicant. The grants were awarded in one lump sum or in smaller increments as specified by the grantee. See the following for information on how assistance is awarded/released: by grant.

Reports:

(1) Progress reports; (2) Federal Financial Report . SF-425. (1) Progress reports; (2) Federal Financial Report . SF-425. (1) Progress reports; (2) Federal Financial Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401.

Obligations:

(Project Grants (for specified projects)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

In FY 2006 Congressional designations ranged from \$300,000 to \$2,500,000.

TAFS Codes:

69-8350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Moving Ahead for Progress in the 21st Century (MAP-21) eliminated the Alternatives Analysis Program. Although there is no funding for this program, there are currently 42 open grants. Fiscal Year 2017: The Moving Ahead for Progress in the 21st Century (MAP-21) eliminated the

Alternatives Analysis Program. Although there is no funding for this program, there are currently 35 open grants. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Please visit the Federal Transit Administration (FTA) website or contact the appropriate FTA Region office for more information. See Federal Register/ Vol.70, No. 229/ Wednesday, November 30, 2005/ Notices.

Regional or Local Office:

See Regional Agency Offices. See list of regional office contacts located in Appendix IV.

Headquarters Office:

Maurice Foushee 1200 New Jersey Ave., S.E , Washington, District of Columbia 20590 Phone: (202) 366-1636

Website Address:

<http://www.fta.dot.gov>

RELATED PROGRAMS:

20.205 Highway Planning and Construction; 20.500 Federal Transit_Capital Investment Grants; 20.505 Federal Transit_Metropolitan Planning Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Moving Ahead for Progress in the 21st Century (MAP-21) eliminated the Alternatives Analysis Program. Although there is no funding for this program, there are currently 42 open grants. Fiscal Year 2017: The Moving Ahead for Progress in the 21st Century (MAP-21) eliminated the Alternatives Analysis Program. Although there is no funding for this program, there are currently 35 open grants. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Major, non-recurring capital investment Congressional designation.

20.523 CAPITAL ASSISTANCE PROGRAM FOR REDUCING ENERGY CONSUMPTION AND GREENHOUSE GAS EMISSIONS

Transit Investments for Greenhouse Gas and Energy Reduction or "TIGGER" Grants

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

The Consolidated Appropriations Act, 2010 , Public Law 111-68; The American Recovery and Reinvestment Act (ARRA) , Public Law 111-68.

OBJECTIVES:

To assist public agencies that provide transit service in financing the acquisition of capital assets to reduce energy consumption or greenhouse gas emissions.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Eligible projects include the purchase of transit capital assets that will reduce energy consumption or greenhouse gas emissions. Public Transportation Agencies. Applicants must have the legal, financial, and technical capacity to carry out the proposed project and maintain in a state of good repair any facilities and equipment purchased with federal assistance. The program is a 100% discretionary program.

Applicant Eligibility:

Only public transportation agencies are eligible recipients.

Beneficiary Eligibility:

Only public transportation agencies are eligible recipients.

Credentials/Documentation:

Grants and cooperative agreements: Initial proposal should include project objectives, background, and project description, including time schedule, budget, and applicant's organizational experience. Legal opinion and compliance with labor requirements are required later. Costs will be determined in accordance with OMB 2 CFR 200. 2 CFR 200, Subpart E - Cost Principles

applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 49 CFR Part 18 and 2 CFR 200 for State and local governments.

Award Procedure:

After conducting a competitive selection process, the Federal Transit Administration, selected projects to be allocated funds. An FTA grant or cooperative agreement award obligating Federal funds is reflected in a grant agreement or cooperative agreement. To access funds, the recipient must execute the grant agreement or cooperative agreement. To date, all available funds have been obligated.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

From the 2010 appropriations, there are 29 remaining projects underway. There is no date by which these funds must be fully expended. However, FTA will monitor these projects for inactivity. Method of awarding/releasing assistance: by letter of credit.

Reports:

(1) Quarterly financial; (2) quarterly or monthly progress; and (3) interim and final by electronic filing. SF-425. (1) Quarterly financial; (2) quarterly or monthly progress; and (3) interim and final. Electronic filing is preferred. SF-425. (1) Quarterly financial; (2) quarterly or monthly progress; and (3) interim and final. Electronic filing is preferred.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant recipients shall retain records for three years following submission of a final expenditure report, pending resolution of audit findings, all project contracts documents, financial records, and supporting records.

Account Identification:

69-1101-0-1-401.

Obligations:

(Project Grants) FY 16 \$17,000; FY 17 est \$30,000; and FY 18 est \$0

Range and Average of Financial Assistance:

\$250,000 to \$10,800,000. Average: \$2,300,000.

TAFS Codes:

69-1101.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: A total of 42 TIGGER applications were awarded and 22 remain active. Currently, 52% of the projects are complete. Under the TIGGER program, nearly \$225 million in total grants were awarded to 88 competitively-selected projects implementing a wide variety of technologies including building efficiency improvements, solar installations, wind technology, wayside energy storage for rail, and purchase of technologically-innovative energy-efficient buses. The awarded projects are geographically diverse, covering 35 states and 68 different transit agencies in both urban and rural settings. A total of 40 projects are implementing efficient bus technologies such as purchase of new buses powered by both hybrid-electric and zero-emission propulsion systems as well as retrofits to existing buses for increased efficiency. 10 projects are investigating efficient rail technologies such as wayside energy storage, locomotive upgrades, and control systems for track heaters. 39 projects are implementing technologies to reduce energy use by increasing efficiencies of facility buildings or generating electricity to offset what is used from the grid. Fiscal Year 2017: It is anticipated that 19 of the TIGGER projects, 11% of the total projects, will be active in FY 2017. An example of an active project is King County Metro Battery Electric Bus Demonstration managed by King County Department of Transportation in the State of Washington. This demonstration project will demonstrate the state of the art battery electric bus technology. The buses are in revenue service and FTA is collecting data on vehicle performance, including maintenance and operating cost and vehicle availability and reliability. Similarly, Long Beach Public Transportation Company, in the State of California, is managing the All Electric Bus Project. The buses are being delivered starting in April and a total of 10 buses will be delivered by the end of the year to collect similar data. These buses will use the WAVE inductive technology for recharging the buses, allowing for the study of multiple technologies. The information is being presented to the public transportation industry to enable agencies to assess the liability of electric bus technology. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Fiscal Year 2010 Annual List of Certifications and Assurances for Federal Transit Administration Grants and Cooperative Agreements; Notice published October 1, 2009. For other fiscal years, contact the FTA Office of Research Demonstration and Innovation to obtain the publication dates. FTA website www.fta.dot.gov. Other information will be made available upon request.

Regional or Local Office:

See Regional Agency Offices. Marcel Belanger, Office of Research, Demonstration and Innovation (TRI-20), Federal Transit Administration, Department of Transportation, 1200 New Jersey Avenue, S.E., Washington, DC 20590. Telephone: (202) 366-0725.

Headquarters Office:

Marcel Belanger 1200 New Jersey Ave. S.E., Washington, District of Columbia 20590 Phone: (202) 366 - 0725

Website Address:

<http://www.fta.dot.gov/research>

RELATED PROGRAMS:

20.500 Federal Transit_Capital Investment Grants; 20.507 Federal Transit_Formula Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No new funds are being awarded to this program. Expanding its existing all-electric fleet, in the Wenatchee and East Wenatchee area; in operation on a full-time basis are five new electric trolleys, two ultra quick charging stations, and one overnight charging station. The manufacturer of the trolleys was EBus of Downey, California. The trolleys are 22 feet long, with a capacity of 22 seated passengers and 10 standing passengers.

2016 Example: Four energy conservation projects were identified and funded by a grant: Those projects were as follows: (1) Service Building Unit Heater Replacement; (2) Operations Building Boiler Replacement; (3) Service

Building and Operations Building HVAC Controls Upgrade; and (4) Service Building Snow Melt Controls Upgrade.

2016 Example: Awarded funds to retrofit as many as 70 buses with an innovative electric engine cooling fan system (mini-Hybrid Thermal System-MH8) that is cost effective and lowers pollutant emissions. Energy savings are anticipated with a nine percent reduction in fuel consumption. The original idea for the cooling system is developed from military vehicles and now being applied to transit buses. Fiscal Year 2017: No new funds are being awarded to this program. We anticipate 11% or 5 projects to still be underway. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals were selected based on the following criteria as published in the Notices of Funding Availability (NOFA): energy savings of the project and/or greenhouse gas emission reductions of the project; innovation; cost; national applicability, project readiness, project management capabilities, return on investment, and geographic diversity.

20.524 PASSENGER RAIL INVESTMENT AND IMPROVEMENT (PRIIA) PROJECTS FOR WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY (WMATA)

(PRIIA -- WMATA)

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Section 601 of the Passenger Rail Investment and Improvement Act of 2008, Public Law 110-432.

OBJECTIVES:

To assist in financing part of the capital and preventive maintenance projects included in the Capital Improvement Program approved by the Board of Directors of the Washington Metropolitan Area Transit Authority. The grants are used to address needed safety improvements including track repairs, track control systems, new rail cars, and escalator repairs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding provides for projects of the highest priority, that will improve the safety of the system, including but not limited to fixing the track signal system, replacing 1000 series cars, installing guarded turnouts, buying equipment for wayside worker protection, and installing rollback protection on cars that are not equipped with the safety feature. Grants shall not be used to increase the mileage of the rail system. The provisions of the federal grants are subject to the provisions of the equal annual contributions of capital funds from the District of Columbia, the Commonwealth of Virginia and the State of Maryland. Furthermore, the Federal grant shall be for 50 percent of the net project cost of the project involved, and the matching fund shall be provided in cash from sources other than Federal funds or operating revenues. The use of the funds shall be subject to the requirements applicable to capital projects for which funds are provided under Chapter 53 of title 49, United States Code, except to the extent that the Secretary of Transportation determines that the requirements are inconsistent with the purpose of the grants.

Applicant Eligibility:

This program is only for the Washington Metropolitan Area Transit Authority (Public Law 110-432, Section 601 of the Passenger Rail Investment and Improvement Act of 2008) for construction, renewal, and rehabilitation.

Beneficiary Eligibility:

WMATA was created by interstate compact, authorized by the United States Congress, and is jointly funded by the District of Columbia and jurisdictions in suburban Maryland and Northern Virginia.

Credentials/Documentation:

Resolution by the Board of Directors of Washington Metropolitan Area Transit Authority (WMATA) approving the filing of an application; evidence that projects are included in the formal Capital Improvement Program approved by the Board of Directors of WMATA; information on labor and relocation; legal

opinion; coordinated regional planning documentation; maintenance certification; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Cost will be in accordance with OMB Circular 2 CFR 200 for State and local governments. Federal Register Notice FTA Fiscal Year Apportionments, Allocations, and Program Information, published annually contain the capital investment apportionments. For fiscal year 2016, the Federal Register notice with the full year's apportionment was published in February 2016. For other fiscal years, contact the FTA Regional Office to obtain the publication dates or the FTA website: www.fta.dot.gov/. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. WMATA must submit a list of eligible projects for review and approval by the Secretary of Transportation or his/her designee prior to the submittal of a formal application. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of OMB Circular 2 CFR Part 200 and FTA Circular 5300.1. Applications are made to Washington Metropolitan Area Transit Authority (WMATA) by regional offices of the Federal Transit Administration.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's Transit Award Management System (TrAMS), www.transit.dot.gov/TrAMS/. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in TrAMS and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 45 to 60 days.

Appeals:

Not Applicable.

Renewals:

Funding is made available annually through the appropriation process. The total amount authorized in the Passenger Rail Investment and Improvement Act of 2008 shall not exceed \$1,500,000,000 to be available in increments over 10 fiscal years beginning in fiscal year 2009, or until expended. A separate grant application is executed for each fiscal year as funds are appropriated by Congress.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50%. Matching Requirements: WMATA shall provide 50 percent of the net project cost to match each Federal grant provided. The matching funds shall be provided in cash from sources other than Federal Funds or revenues from the operation of public mass transportation systems. The provisions of the federal grants are subject to the provisions of the equal annual contributions of capital funds from the District of Columbia, the Commonwealth of Virginia and the State of Maryland. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds are available until spent. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Quarterly Milestone status reports are required. Quarterly Federal Financial Reports are required. FTA staff must review and sign off on all quarterly FFRs.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The grantee must record and maintain all financial transactions for a period of 3 years after the close of a grant. The grantee must maintain an asset inventory for the useful life of an capital acquisition .

Account Identification:

69-1128-0-1-401.

Obligations:

(Project Grants) FY 16 \$150,000,000; FY 17 est \$150,000,000; and FY 18 est \$149,715,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-1128-69.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, FTA obligated \$148.5 million to fund capital projects included in WMATA's FY17 Capital Improvement Program. These funds were directed to the following projects: , which includes railcar replacement, track rehabilitation, rehabilitation of rail yards, replacement of radio and cellular infrastructure, elevator and escalator replacements, and projects that address required safety actions from FTA and recommendations from the National Transportation Safety Board. Through March of 2017, 316 new 7000 Series railcars have been accepted and WMATA will finish retiring the 1000 and 4000 Series fleets by the end of calendar year 2017. WMATA has provided cellular service in its first section of tunnel and, through SafeTrack, WMATA has returned 63 miles of track to a state of good repair by replacing over 30,000 ties and fasteners and aged track infrastructure. Fiscal Year 2017: The same types of funded projects are expected in FY 2017. Fiscal Year 2018: The same types of projects funded in prior years are expected in FY2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 5010.1D for Grants Management
FTA Circular 4220.1F for Third-Party Procurements

Regional or Local Office:

See Regional Agency Offices. FTA Washington, DC Metropolitan Office
1990 K Street NW, Suite 510
Washington, DC 20006-1178

FTA Region 3 Office
1760 Market Street, Suite 500
Philadelphia, PA 19103-4124.

Headquarters Office:

Eric Hu 1200 New Jersey Ave. S.E., Washington, District of Columbia 20590
Phone: (202) 366-0870

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In FY 2016, FTA obligated \$148.5 million to fund capital projects included in WMATA's FY17 Capital Improvement Program. These funds were directed to the following projects: railcar replacement, track rehabilitation, rehabilitation of rail yards, replacement of radio and cellular infrastructure, elevator and escalator replacements, and projects that address required safety actions from FTA and recommendations from the National Transportation Safety Board. . The funded capital projects include: rail car replacement; track rehabilitation; station and tunnel leak mitigation, escalator and elevator rehabilitation and projects that address FTA and NTSB safety recommendations. Fiscal Year 2017: The same types of projects funded in prior

years are expected in FY 2017. Fiscal Year 2018: The same types of projects funded in prior years are expected in FY2018.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.525 STATE OF GOOD REPAIR GRANTS PROGRAM

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Fixing Americas Surface Transportation (FAST) Act, 49 U.S.C 5337.

OBJECTIVES:

To assist in financing capital projects to maintain public transportation systems in a state of good repair and to ensure public transit operates safely, efficiently, reliably, and sustainably so that communities can offer balanced transportation choices that helps to improve mobility, reduce congestion, and encourage economic development.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds may be used to assist State and local governmental authorities in financing capital projects to maintain public transportation systems in a state of good repair. Eligible projects include the maintenance, replacement and rehabilitation of rolling stock, track, line equipment and structures, signals and communications, power equipment and substations, passenger stations and terminals, security equipment and systems, maintenance facilities and equipment, operational support equipment (including computer hardware and software), and development and implementation of a transit asset management plan. Preventive maintenance is eligible for funding so long as it is specifically for fixed guideway systems and High Intensity Motorbus systems. Funds must be used for the maintenance, replacement and/or rehabilitation of existing high intensity fixed guideway and high intensity motorbus systems that have been in operation for at least seven years. Projects that solely expand capacity or service are not eligible for the State of Good Repair Grants funds.

Applicant Eligibility:

Eligible applicants are state and local governmental authorities in urbanized areas. FTA will apportion funds to designated recipients in the urbanized areas with fixed guideway and high intensity motorbus transportation systems operating at least 7 years. The designated recipients will then allocate funds as appropriate to recipients that are state and local governmental authorities in the urbanized areas.

Beneficiary Eligibility:

The general public, both users and non-users of public transportation. Public agencies, although private transportation companies may participate through contractual arrangements with public agency grantee.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's Transportation Improvement Program (TIP), in the State Transportation Improvement Program (STIP) and approved by FTA and FHWA; information must be provided on labor and relocation; environmental impact statement; legal opinion; coordinated regional planning documentation; maintenance certification; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Cost will be in accordance with OMB Circular 2 CFR 200 for State and local governments. All recipients are required to have a transit asset management system in place by October 1, 2018 in order to be eligible for grants, and recipients must certify that the recipients comply with the rule issues under section 5326 (d) Transit Asset Management. Starting from FY 2019 (October 1, 2018), projects eligible for funding under the Section 5337 State of Good Repair Grants must be identified within the investment prioritization of a transit providers TAM plan. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O.

12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of OMB Circular 2 CFR 200 and FTA Circular 5300. "State of Good Repair Grants Program: Guidance and Application Instructions," January 28, 2015. Applications are made to the regional offices of the Federal Transit Administration listed in Appendix IV of the Catalog.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's electronic award system, TrAMS (<https://faces.fta.dot.gov/suite/>). In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in FTA's electronic award system and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is made available annually through the appropriation process. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Statutory Formula: Title 49, Chapter 5337 (c) and (d). 49 U.S.C. Section 5337 (c) and (d), 97.15 percent of the total amount authorized for the State of Good Repair program be apportioned to UZAs with High Intensity Fixed Guideway systems. The apportionments to UZAs with High Intensity Fixed Guideway systems are determined by two equal elements: (1) the proportion of the amount a UZA received in FY 2011 to the total amount apportioned to all UZAs in FY 2011; (2) the proportion of vehicle revenue miles of a UZA to the total vehicle revenue miles of all UZAs and the proportion of directional route miles of a UZA to the total directional route miles of all UZAs. High Intensity Motorbus systems will receive the remaining 2.85 percent of the total amount authorized for the State of Good Repair program, and the apportionments to UZAs are based on vehicle revenue miles and directional route miles. Matching Requirements: Percent: 20%. Matching Requirements: Grants shall provide minimum 20 percent of the net project cost to match each Federal grant provided. The matching funds shall be provided in cash from sources other than Federal Funds or revenues from the operation of public mass transportation systems.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The funds apportioned under the State of Good Repair Program remain available to recipients to be obligated in grants during the year of appropriation plus three additional years or four fiscal years in total. See the following for information on how assistance is awarded/released: Applicant is required to submit a grant application in FTA's electronic award System.

Reports:

(1) Milestone Progress reports; (2) Federal financial reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. Federal Financial Report, SF-425. Milestone progress reports are required quarterly. Federal Financial Report contains expenditure information. Progress report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401.

Obligations:

(Formula Grants) FY 16 \$2,507,000,000; FY 17 est \$2,549,670,000; and FY 18 est \$2,593,703,558 - FY 2016 \$2,507,000,000

FY 2017 2,549,670,000

FY 2018 2,593,703,558.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-8350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, FTA obligated \$1,835 million SGR funds to 329 projects in 37 states. Of the \$1,835 million, \$1,759 million obligated to fixed guideway projects and \$76 million obligated to motorbus projects. The grants have improved the reliability and safety of public transportation around the country. Fiscal Year 2017: The same types of accomplishments are expected for FY 2017. Fiscal Year 2018: The same types of accomplishments are expected for FY 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 5010.1D for Grants Management
FTA Circular 4220.1F for Third-Party Procurements
FTA Circular 5300.1 State of Good Repair Grants Program: Guidance and Application Instructions

Regional or Local Office:

See Regional Agency Offices. See Appendix IV: Agency Regional and Local Office Addresses of the Catalog for a listing of the Federal Transit regional offices.

Headquarters Office:

Eric Hu 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-0870

Website Address:

<http://www.fta.dot.gov>

RELATED PROGRAMS:

20.500 Federal Transit_Capital Investment Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: 1) Massachusetts Bay Transportation Authority applied \$233.7 million in FY 2016 and FY 2015 SGR funds to repair and rehabilitate rail facilities which include commuter rail and subway bridge rehabilitation projects and third rail heater projects as well as projects that improve station resiliency and project that provide a new portable 600-volt DC substation.

2) The New Jersey Transit Corporation applied \$ 214.9 million in FY 2016 and FY 2015 SGR funds. These funds will support NJ TRANSIT's Rail Preventive Maintenance Program activities.

3) Chicago Transit Authority (CTA) applied \$192.9 million to support implementation of capital projects. These funds are from the FY2016 apportionment. CTA is using the funds for rail car overhaul, rail stations rehabilitation, computer systems, infrastructure, and bond principal/interest payments. In 2015, CTA began its overhaul of more than 250 of its second-oldest generation rail cars - the 3200-series that currently operate on the Brown and Orange lines. The overhaul is expected to be completed in 2017.

4) Southeastern Pennsylvania Transportation Authority (SEPTA) applied \$166.8 million to support preventive maintenance, vehicle overhaul Program, and debt service for the Silverliner V Rail Car acquisition and the Wayne

Junction Intermodal Station Reconstruction Project.

5) Washington Metropolitan Transit Authority (WMATA) applied \$ \$147.4 million in FY 2016 SGR grants to support WMATAs Capital Improvement Program (CIP). The SGR grants will be used for railcars preventive maintenance and rehabilitation, track rehabilitation, rail system rehabilitation, rehabilitation of train control signal and communication systems, and rehabilitation of cooling equipment. This CIP project is an ongoing effort of WMATA to fix the deteriorating rail infrastructure and to improve safety. This project used Motorbus funds for fixed guideway system. This grant provided important financial assistance to WMATAs CIP projects. Fiscal Year 2017: The same type of projects is expected for FY 2017. Fiscal Year 2018: The same type of projects is expected for FY 2018.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.526 BUS AND BUS FACILITIES FORMULA PROGRAM

Bus Program

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century Act, 49 U.S.C 5339(a),(b),(c).

OBJECTIVES:

Provides capital funding to replace, rehabilitate and purchase buses and bus related equipment and to construct bus-related facilities. Provides capital funding for low or no emissions bus projects.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Eligible capital projects include the acquisition of buses for fleet and service expansion, bus maintenance and administrative facilities, transfer facilities, bus malls, transportation centers, intermodal terminals, park-and-ride stations, acquisition of replacement vehicles, bus rebuilds, passenger amenities such as passenger shelters and bus stop signs, accessory and miscellaneous equipment such as mobile radio units, supervisory vehicles, fare boxes, computers, and shop and garage equipment. Eligible Low-No projects include technological changes or innovations to modify low or no emission vehicles or facilities. Planning projects are not eligible for funding. Bus Discretionary Program & Low-No Discretionary Program (FTA awards 100% of discretionary funds).

Applicant Eligibility:

Beneficiaries of funding include states and direct recipients. After allocation of funds, fixed-route bus operators, the general public, both users and non-users of public transportation, public agencies, and private transportation companies may benefit.

Beneficiary Eligibility:

Beneficiaries of funding include states and designated recipients. After allocation of funds, fixed-route bus operators, the general public, both users and non-users of public transportation, public agencies, and private transportation companies may benefit.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's transportation improvement program (TIP), in the State transportation improvement program (STIP) and approved by FTA and FHWA; information must be provided on labor and relocation; environmental impact statement; legal opinion; coordinated regional planning documentation; maintenance certification; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Cost will be in accordance with OMB Circular No. A-87 for State and Local Governments. Federal Register Notice FTA Fiscal Year Apportionments, Allocations, and Program Information, published annually contain the capital investment apportionments. Contact the FTA Regional Office to obtain the publication dates or the FTA website: www.transit.dot.gov/. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is subject to the provisions of OMB Circular No 2 CFR 200 and FTA Circular 5100.1 "Bus and Bus Facilities Program. Applications are made to the regional offices of the Federal Transit Administration listed in Appendix IV of the Catalog.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's electronic award management system, <http://fteamweb.fta.dot.gov/fta-flash2b.html>. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in the electronic award management system and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is made available annually through the appropriation process. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Statutory Formula: Title 49, Chapter Section 5339. This program has a statutory formula in Section 5339 (a).

Matching Requirements: Percentages for matching: 10/15/20. The federal share is not to exceed 80 percent of the net project cost. The Federal share may be 85 percent for the cost of a vehicle that complies with the American with Disabilities Act and the Clean Air Act or 90 percent for the cost of vehicle-related equipment or facilities attributable to compliance with these requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds must be obligated in a grant within three years after funds are appropriated (total of four years). See the following for information on how assistance is awarded/released: Reimbursement of eligible project expenses: Applicant is required to submit a grant application in the Transportation Awards Management System (TrAMS).

Reports:

(1) Progress reports; (2) Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. Federal Financial Report, SF-425. Progress reports are required on a quarterly basis. Expenditures are reported on the Federal Financial Report. A milestones progress report is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401.

Obligations:

(Formula Grants) FY 16 \$427,800,000; FY 17 est \$436,356,000; and FY 18 est \$445,519,476

Range and Average of Financial Assistance:

Current year: \$165,000 - \$40,000,000.

TAFS Codes:

69-8350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FTA awarded funds for battery-electric buses, hydrogen fuel cell buses, and buses powered by more common technologies, as well as supporting equipment and facilities. Fiscal Year 2017: FTA will award funds for battery-electric buses, hydrogen fuel cell buses, and buses powered by more common technologies, as well as supporting equipment and facilities. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the FTA Regional Offices. See FTA Circular 5100.1 "Bus and Bus Facilities Program. May 18, 2015.

Regional or Local Office:

None. See Appendix IV: Agency Regional and Local Office Addresses of the Catalog for a listing of the Federal Transit regional offices.

Headquarters Office:

John Bodnar, Mark Bathrick & Tara Clark 1200 New Jersey Ave, SE , Washington, District of Columbia 20590 Phone: 202-366-1089 & 202-366-9955

Website Address:

<http://www.transit.dot.gov>

RELATED PROGRAMS:

20.205 Highway Planning and Construction; 20.505 Federal Transit Metropolitan Planning Grants; 20.507 Federal Transit Formula Grants; 20.509 Formula Grants for Other Than Urbanized Areas; 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Los Angeles County Metropolitan Transportation Authority (CA) Bus purchase.

.City of Clemson dba Clemson Area Transit (SC) Zero emission electric buses

Iowa DOT (IA) - Rural vehicle purchase. Fiscal Year 2017: The same types of funded projects are expected in FY 2017. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For the Competitive Bus Program the criteria utilized included: Demonstration of Need; Demonstration of Benefits; Project Implementation; Financial Commitment; Technical, Legal, and Financial Capacity; and Planning and Local Prioritization.

For the Competitive Low-No Program the criteria utilized included: Demonstration of Need; Demonstration of Benefits; Project Implementation; Financial Commitment; Technical, Legal, and Financial Capacity; and Planning and Local Prioritization.

20.527 PUBLIC TRANSPORTATION EMERGENCY RELIEF PROGRAM

Transit Emergency Relief Program (ER Program)

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century Act, 49 U.S.C 5324.

OBJECTIVES:

The Emergency Relief Program is intended to provide operating assistance and capital funding to aid recipients and sub-recipients in restoring public transportation service, and in repairing and reconstructing public transportation assets to a state of good repair, as expeditiously as possible following an emergency or major disaster.

TYPES OF ASSISTANCE:

Project Grants (Discretionary); Project Grants (Special)

USES AND USE RESTRICTIONS:

The ER Program allows FTA to make grants for eligible public transportation capital and operating costs in the event of a catastrophic event, such as a natural disaster, that affects a wide area, and, as a result of which, the Governor of a State has declared an emergency or the President has declared a major disaster under the Robert T. Stafford Disaster Relief and Emergency Assistance Act. Eligible projects include emergency operations, emergency repairs, permanent repairs, actual engineering and construction costs on eligible projects, and resiliency projects designed to protect rolling stock, equipment, facilities and infrastructure from future damage. Funds may only be used for capital and operating costs incurred by public transportation systems in response to a catastrophic event, such as a natural disaster, that affects a wide area, and, as a result of which, the Governor of a State has declared an emergency or the President has declared a major disaster under the Robert T. Stafford Disaster Relief and Emergency Assistance Act. Reimbursable costs include emergency operations, emergency repairs, permanent repairs, actual engineering and construction costs on eligible projects, and resiliency projects designed to protect rolling stock, equipment, facilities and infrastructure from future damage. Funds may not be used for project costs for which funds are already obligated in a grant, for which FEMA or another federal agency has already provided emergency funding, or for which the applicant has received insurance proceeds. Funds are awarded to eligible agencies based on the demonstrated costs of responding to and recovering from an emergency or major disaster. Funds are also awarded to affected agencies for projects that improve the resiliency of public transportation assets and infrastructure to future emergencies or disasters.

Applicant Eligibility:

An entity that operates public transportation service in an area impacted by an emergency or major disaster, as defined by a gubernatorial or presidential declaration of such an emergency or disaster, and that receives federal transit funds directly from FTA.

Beneficiary Eligibility:

Beneficiaries of funding include public transportation operators and the general public in areas for which an emergency or major disaster has been declared as defined under section 5324.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's transportation improvement program (TIP), in the State transportation improvement program (STIP) and approved by FTA and FHWA; information must be provided on labor and relocation; environmental impact statement; legal opinion; coordinated regional planning documentation; maintenance certification; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Cost will be in accordance with OMB Circular No. A-87 for State and local governments. Federal Register Notice FTA Fiscal Year Apportionments, Allocations, and Program Information, published annually contains the capital investment apportionments. Contact the FTA Regional Office to obtain the publication dates or the FTA website: www.fta.dot.gov/. Requirements associated with Chapter 53 of Title 49, U.S. Code, and other DOT regulations may be waived by FTA. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O.

12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is subject to the provisions of OMB Circular 2CFR 200. Applications are made to the regional offices of the Federal Transit Administration listed in Appendix IV of the Catalog.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in TEAM and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is made available as necessary through the appropriation process. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. There is an 80% federal 20% non-federal match, subject to waiver by FTA. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds are available until expended. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

(1) Progress reports; (2) Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. Federal Financial Report, SF-425. Progress reports are required on a quarterly basis. Expenditures are reported on the Federal Financial Report, SF-425. A milestones progress report is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-1140-0-1-401.

Obligations:

(Project Grants) FY 16 \$487,049,008; FY 17 est \$155,511,648; and FY 18 Estimate Not Available - Future obligations will be made in response to assessed needs and subject to the availability of program funds.

Range and Average of Financial Assistance:

Future obligations will be made in response to assessed needs and subject to the availability of program funds.

TAFS Codes:

69-1140.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FTA has continued to award grants that fund long term recovery and rebuilding of public transit systems from Hurricane Sandy, and that increase the resilience of these transit systems to future natural disasters. Fiscal Year 2017: FTA will continue to award grants that fund long term recovery and rebuilding of public transit systems from Hurricane Sandy, and that increase the resilience of these transit systems to future natural disasters. Fiscal Year 2018: FTA will continue to award grants that fund long term recovery and rebuilding of public transit systems from Hurricane Sandy, and that increase the resilience of these transit systems to future natural disasters.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the FTA Regional Offices. See FTA Circular 9300.1A, "Capital Program: Grant Application Instructions," November 1, 1998.

Regional or Local Office:

None.

Headquarters Office:

John Bodnar 1200 New Jersey Avenue, SE, Washington, District of Columbia 20590 Email: John.Bodnar@dot.gov Phone: 202-366-9091

Website Address:

<http://www.fta.gov>

RELATED PROGRAMS:

20.507 Federal Transit Formula Grants; 20.509 Formula Grants for Other Than Urbanized Areas; 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Recovery projects include the replacement of water-damaged facilities and equipment for New York City Transits subway system and New Jersey Transits commuter rail network. Examples of resilience projects include the elevation of ventilation shafts for the Washington, DC Metro system and the protection of essential track, signal and power systems within the New York City subway system. Fiscal Year 2017: FTA will continue to award grants that fund long term recovery and rebuilding of public transit systems. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Funds will be allocated based on detailed damage assessments conducted in the aftermath of an emergency or major disaster and on demonstrated operational costs incurred in preparation for and in response to an emergency or major disaster. Damage assessments must provide detailed information on the extent of damage to a public transportation system and the costs of restoring the system to a state of good repair. Project proposals that improve the resiliency of a public transportation systems infrastructure will be evaluated on the basis of proposed costs and benefits based, in part, on the likelihood and extent of potential damage from future events.

20.528 RAIL FIXED GUIDEWAY PUBLIC TRANSPORTATION SYSTEM STATE SAFETY OVERSIGHT FORMULA GRANT PROGRAM

State Safety Oversight Formula Grant Program

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century (MAP-21). Fixing Americas Surface Transportation (FAST) Act in 2015. , 49 U.S.C 5330.

OBJECTIVES:

To improve public transportation safety by assisting States with the financing of safety oversight of rail fixed guideway public transportation systems in the jurisdiction of the state not regulated by the Federal Railroad Administration. A final rule was published on March 16, 2016 that will enhance the State Safety Oversight Program. This final rule will replace the current State Safety Oversight (SSO) rule, which will be rescinded no later than three years

following the effective date of this rule.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The funds may only be used to provide grants to States for State safety oversight program expenses that will bring the State Safety Oversight Agency into compliance with the MAP-21 requirements codified at 49 USC 53299e). The Federal grants shall cover up to 80 percent of the allowable costs to develop or carry out such a program, and the local matching share may include in-kind contributions by an eligible State. The non-Federal share may not be met by any Federal funds, any funds received from a public transportation agency or any revenues earned by a public transportation agency. The use of the funds shall be subject to uniform administrative requirements for grants and cooperative agreements to State and local governments under part 1201 of title2, Code of Federal Regulations, as well as other requirements for funds provided under Chapter 53 of title 49, United States Code, except to the extent that the Secretary of Transportation determines that the requirements are inconsistent with the purpose of the grants. The funds may only be used to provide grants to States for State safety oversight program expenses. The Federal grants shall be for 80 percent of the reasonable cost to develop or carry out such a program, and the matching fund shall include in-kind contributions by an eligible State. The match may not be met by any Federal funds, any funds received from a public transportation agency or any revenues earned by a public transportation agency. The use of the funds shall be subject to uniform administrative requirements for grants and cooperative agreements to State and local governments under part 18 of title 49, Code of Federal Regulations, as well as other requirements for funds provided under Chapter 53 of title 49, United States Code, except to the extent that the Secretary of Transportation determines that the requirements are inconsistent with the purpose of the grants.

Applicant Eligibility:

Eligible States are those with a rail fixed guideway public transportation system within the jurisdiction of the State that is not subject to regulation by the Federal Railroad Administration; or a rail fixed guideway public transportation system in the engineering or construction phase of development within the jurisdiction of the State that will not be subject to regulation by the Federal Railroad Administration. Subrecipients must be public agencies that are eligible to become Federal Transit Administration recipients.

Beneficiary Eligibility:

Eligible States are those with a rail fixed guideway public transportation system within the jurisdiction of the State that is not subject to regulation by the Federal Railroad Administration; or a rail fixed guideway public transportation system in the engineering or construction phase of development within the jurisdiction of the State that will not be subject to regulation by the Federal Railroad Administration. Subrecipients must be public agencies that are eligible to become Federal Transit Administration recipients.

Credentials/Documentation:

Recipients must complete certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Eligible costs will be in accordance with OMB cost principles for State and local governments in part 225 of Title 2 of the Code of Federal Regulations. Federal Register Notice FTA Fiscal Year Apportionments, Allocations, and Program Information, published annually, contain the capital investment apportionments. Grant requirements will also be published in the Federal Register along with the programs Fiscal Year apportionment. Apportionment notices and other grant information is available on the FTA website: www.fta.dot.gov/. Subrecipients State Safety Oversight Agencies must be included in the State Safety Oversight Program submission. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

In order to ensure a new State to the program is considered eligible and included in the formula apportionment, new states to this formula program must contact their regional office sixty days in advance of FTAs annual apportionment notice. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Given the applicant and beneficiary eligibility, This program is subject to the provisions of 2 CFR part 1201, incorporating by reference 2 CFR 200, and FTA Circulars 4220 and 5010.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's Transportation Electronic Award Management (TEAM) system, <http://ftateamweb.fta.dot.gov/fta-flash2b.html>. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in TEAM and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is made available annually through the appropriation process. A separate grant application is executed for each fiscal year as funds are appropriated by Congress.

Formula and Matching Requirements:

Statutory Formula: Title USC 49, Part 5329(e)(6), Subpart 5330. CFR Part 659 - The rules at 49 CFR part 659 will be replaced by 49 CFR part 674 three years following the effective date of the final rule (April 15, 2019). CFR Part 674.

Matching Requirements: Percent: 20% . 80% Federal / 20% State. Matching fund shall include in-kind contributions by an eligible State. The non-Federal share may not be met by any Federal funds, any funds received from a public transportation agency or any revenues earned by a public transportation agency. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available until spent. See the following for information on how assistance is awarded/released: Applicant is required to submit grant applications in FTAs grant management system, Transportation Electronic Award Management System (TEAM). Awards are made within the grant management system.

Reports:

The Annual State Safety Oversight program reports are due annually per 49 CFR 674.39. The State Safety Oversight Agency will submit evidence once a year that each of its employees and contractors is in compliance with the applicable Safety Training Certification requirements. The State Safety Oversight Agency will submit a summary of the 3 year audits completed the previous year and the Rail Transit Agency's progress in carrying out any corrective action plans arising from those audits. The State Safety Oversight Agency will review and approve any changes to the Public Transportation Agency Safety Plans during the preceding year. No cash reports are required. Quarterly Milestone status reports are required. Quarterly Federal Financial Reports (FFR) are required. FTA staff must review and sign off on all quarterly FFRs.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The grantee must record and maintain all financial transactions for a period of 3 years after the close of a grant. The grantee must maintain an asset inventory for the useful life of capital acquisitions.

Account Identification:

69-1128-0-1-401.

Obligations:

(Project Grants (Contracts)) FY 16 \$1,000,000; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-1128.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: On March 16, 2016 FTA published a Final Rule for the SSO program that significantly strengthens state safety oversight and enforcement authority to prevent and mitigate accidents and incidents on rail transit systems. It applies to States where a rail transit system operates, and carries out several explicit Federal statutory requirements, including that a State must submit its State Safety Oversight (SSO) program to FTA for certification and that the designated SSO Agency must have financial and legal independence from the rail transit agencies it oversees. In addition, the final rule requires that a State must ensure that the SSO Agency adopts and enforces relevant Federal and state safety laws, has investigatory authority, and has appropriate financial and human resources for the number, size and complexity of the rail transit systems within its jurisdiction. Furthermore, SSO Agency personnel responsible for performing safety oversight activities must have proper training and certification. Within three years of the effective date of this final rule, States with an operating rail transit system must have an SSO program certified by FTA. Fiscal Year 2017: Funding for this program will continue. The SSO final rule was published March 16, 2016 and the States have three years to comply with 49 CFR 674. The State Safety Oversight (SSO) Program is not a typical federal assistance project, but a program that requires routine monitoring of 30 States by the FTA to review, approve, size, and certify programmatic aspects, as well as provide technical assistance, as needed. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 5010.1D for Grants Management
FTA Circular 4220.1F for Third-Party Procurements

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Maria Wright 1200 New Jersey Avenue, SE, Washington, District of Columbia 20590 Email: maria.wright@dot.gov Phone: (202) 366-6922

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The funding provided for the State Safety Oversight Program (SSO) is not for actual projects. The federal funds provided through the SSO Formula Grant Program allows for eligible states to develop or carry out their SSO programs. The SSO attended the Annual SSO workshop and they conducted SSO triennial audits of their Rail Transit Agencies (RTA) they oversee. Some SSOs conducted Safety and Security Readiness Reviews (SSRR) of new projects and extensions at their RTAs. Many completed TSI training and they continue to work towards Certification. Fiscal Year 2017: The funding provided for the State Safety Oversight Program (SSO) is not for actual projects. The federal funds provided through the SSO Formula Grant Program allows for eligible states to develop or carry out their SSO programs. The SSOs attended the annual SSO workshop to receive training and learn technical skills to enhance their ability to provide oversight of the rail transit agency within their jurisdiction. In addition, the SSO conducted their triennial SSO audits and some States conducted Safety and Security Readiness Reviews. All States continue to work towards getting their Certification by April 2019. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposed grant activities must be used to develop or carry out State Safety

Oversight programs that comply with the statutory requirements and advance a Safety Management Systems approach.

20.529 BUS TESTING FACILITY

FTA Bus Testing Program

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Fixing Americas Surface Transportation (FAST) Act, October 1, 2015, 49 U.S.C 5318.

OBJECTIVES:

The purpose of the program is to provide assistance for the operation and maintenance of one facility capable of testing new transit bus models and reporting on their maintainability, reliability, safety, performance (including braking performance), structural integrity, fuel economy, emissions, and noise performance characteristics. Per 49 U.S.C Section 5318(e), amounts appropriated or otherwise made available under this chapter may be obligated or expended to acquire a new bus model only if a bus of that model has been tested at the Bus Testing Facility and that the bus tested met the performance standards for maintainability, reliability, performance (including braking performance), structural integrity, fuel economy, emissions, and noise, and safety as established by the Secretary by rule.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding is provided to pay 80 percent of the costs associated with testing a vehicle at the facility from amounts available to carry out this section. The entity having the vehicle tested shall pay 20 percent of the cost. The funding can only be used for the operation and maintenance of one facility capable of testing all new transit bus models and reporting on their maintainability, reliability, safety, performance (including braking performance), structural integrity, fuel economy, emissions, and noise performance characteristics per the requirements of 49 U.S.C Section 5318.

Applicant Eligibility:

Applicants may include: Federal, interstate, intrastate, local, public nonprofit institution/organizations, other public institution/organization, specialized group, small business, profit organization, private nonprofit institution/organization, quasi-public nonprofit institution/organization, other private institution/organizations and anyone/general public.

Beneficiary Eligibility:

Beneficiaries may include: Federal, interstate, intrastate, local, public nonprofit institution/organizations, other public institution/organization, specialized group, small business, profit organization, private nonprofit institution/organization, quasi-public nonprofit institution/organization, other private institution/organizations and anyone/general public.

Credentials/Documentation:

Proposals must thoroughly document the ability and experience of the applicant to perform each and every bus test identified in the program implementation regulation 49 CFR Part 665. Proposals must outline a fee structure for the conduct of a full test and for partial test programs. Legal opinion is required later. Costs will be determined in accordance with 2 CFR 200 for State and local governments, universities, and for private nonprofit organizations. The Federal Acquisition Regulations are applied for private for profit organizations. Contracts are awarded in response to specific requests for proposal in which applicants will respond to a solicitation that FTA will conduct after funds become available. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. A web based system is used to award and manage grant agreements. In order to access this system, a user name and password can be obtained by contacting the program manager at FTA HQ. Once the funds are reserved and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirement. The entity operating and maintaining the facility shall establish and collect fees for the testing of vehicles at the facility. The Secretary must approve the fees. The operator of the facility shall collect 20% of the cost of testing a bus from the entity having the bus tested. The remaining 80% of the cost shall be paid with the provided assistance.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available until expended. See the following for information on how assistance is awarded/released: Applicant is required to submit grant applications in the prevailing electronic grant award management system at the time of submission. Award is announced through the FTAs Discretionary funding release process.

Reports:

The purpose of the program is to produce test reports on new transit bus models. A final report outlining the overall activity at the facility on the project is required once the grant/cooperative agreement is complete. The Federal Financial Report SF-425 is required. Quarterly financial; (2) quarterly or monthly progress; and (3) interim and final reports are required and must be submitted electronically. Generally, as it relates to the national research program in its entirety: (1) Quarterly financial; (2) quarterly or monthly progress; and (3) interim and final. By electronic filing: SF - 425. (1) Quarterly financial; (2) quarterly or monthly progress; and (3) interim and final. The Federal Financial Report SF-425 is required. Quarterly progress reports are required. The Federal Financial Report SF-425 is required. Quarterly financial reports are required. There quarterly progress reports describe accomplishments within the report period. They must also provide interim and final reports. Electronic filing is preferred. See #4. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant recipients shall retain records for 3 years following submission of a final expenditure report, pending resolution of audit findings, all project contracts documents, financial records, and supporting records.

Account Identification:

69-8350-0-7-401.

Obligations:

(Project Grants) FY 16 \$3,000,000; FY 17 est \$3,000,000; and FY 18 est \$3,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-69-8350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Bus Testing Facility conducted standardized tests and prepare test reports for up to twenty new transit bus models and brought a compressed hydrogen fueling station on-line in June of 2016 to enable the testing of new hydrogen fuel cell bus models. Overall, the Bus Testing Program has proven to be valuable to the transit industry. As of March 31, 2016 testing has been completed on 444 buses with a total of 9,324 bus failure modes were identified since the programs inception. Of those failure modes, 44 could have resulted in serious injuries or significant property damage had they occurred in revenue service. Another 175 failure modes would have resulted in the bus being stranded on its route had they occurred in actual service. Many of the other malfunctions identified would adversely impact transit service (e.g., resulting in road calls stranding passengers), and all would increase maintenance costs by requiring corrective maintenance actions. The Bus Testing Program ensures that by testing new bus models before they are purchased, recipients and manufacturers can often address problems before the fleet is built, potentially saving the federal government and grant recipients considerable money and time and avoiding inconveniencing passengers. Fiscal Year 2017: The Bus Testing Facility will implement the new program requirements outlined in the new Bus Testing Pass/Fail rulemaking. The demand for testing services is expected to remain strong with additional zero-emission bus models expected to enter the marketplace. Also, FTA will offer a standardized web-based test request form on the FTA external site as soon as the revised rule becomes effective. This form incorporates comments from OMB and stakeholder during the previous information collection request. It is a change from the current test request process which accommodates both formal written test requests and the more common e-mail test requests. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The implementing regulation for the bus testing program is 49 CFR Part 665.

Regional or Local Office:

None.

Headquarters Office:

Mr. Marcell Belanger Federal Transit Administration
Office of Mobility Innovation, TRI-12
Room E43-465
1200 New Jersey Ave. SE
East Building, 4th floor
, Washington, District of Columbia 20590 Phone: 202-366-0725

Website Address:

http://www.fta.dot.gov/about/12351_4584.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The standardized testing of new transit bus models is being performed with the awarded program funds. Fiscal Year 2017: The standardized testing of new transit bus models will be performed with the awarded program funds. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals for assistance must address evaluation criteria related to the capabilities of the bus testing facility, the technical capability, the staffing capacity, and financial cost efficiency of the operator to execute and report the results of fifteen full twelve-year service life tests a year within the allocated annual assistance budget for the program (currently \$3,000,000) and the required 20% testing fee collected (non-federal).

The evaluation criteria for the bus testing facility include:

1. Ability to operate and test all transit bus types in a controlled environment

including 60 foot articulated buses and motor coaches.

2. Ability to supply and accurately meter all transit bus fuel types (gasoline, diesel, LPG, CNG, electricity, and hydrogen).
3. Ability to test buses at sustained highway speeds.
4. Availability of a paved roadway suitable for the conduct of braking, performance, and stability tests.
5. Availability of a shop area capable of supporting the simultaneous maintenance of multiple heavy-vehicles.
6. Availability of a dedicated test site for conducting noise testing.
7. Availability of a durability test track that closely approximates the design of the Altoona Bus Research and Test Centers durability test course.
8. Availability of suitable facilities to conduct all of the other Structural Integrity tests currently required by the program.

The evaluation criteria for the bus testing facility operator include:

1. Adequacy of the qualifications and experience of facility operator personnel to conduct automotive and other engineering tests on transit buses.
2. Level of organizational independence with no financial interests in bus manufacturing.
3. Adequacy of established procedures and funding for the calibration and maintenance of test facilities and equipment.
4. Knowledge of current and upcoming medium and heavy-duty bus technologies.
5. Ability to develop new test methodologies and instrumentation to address new bus performance categories.
6. Experience with electric-drive vehicles, battery performance and safety, and bus battery charging technology and equipment.
7. Experience with the unique safety, performance and metrology issues with gaseous fuels.
8. Ability and experience of preparing and publishing consumer oriented test reports.
9. Ability and experience to support an on-line test report repository.
10. Ability and experience to provide effective and responsive administrative and technical support to test customers and test report consumers.

20.530 PUBLIC TRANSPORTATION INNOVATION

National Research Programs

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

The Fixing Americas Surface Transportation (FAST) Act (Public Law No. 114-94), signed into law on December 4, 2015, and effective October 11, 2015, 49 U.S.C. Section 5312/FAST Section 3008. , Public Law 114-94, 49 U.S.C 5312.

OBJECTIVES:

The Federal Transit Administrations Public Transportation Innovation program is authorized at 49 U.S.C. 5312 and seeks to advance innovative public transportation research and development. Its primary goals are:

- a. Providing more effective and efficient public transportation service, including services to seniors; individuals with disabilities; and low-income individuals;
- b. Mobility management and improvements and travel management systems;
- c. Data and communication system advancements;
- d. System capacity, including train control; capacity improvements; and performance management;
- e. Capital and operating efficiencies;
- f. Planning and forecasting modeling and simulation;
- g. Advanced vehicle design;
- h. Advancements in vehicle technology;
- i. Asset maintenance and repair systems advancement;
- j. Construction and project management;
- k. Alternative fuels;
- l. The environment and energy efficiency;
- m. Safety improvements; or
- n. Any other area that is important to advance the interest of public transportation.

To accomplish this, the Secretary may make grants and enter into contracts, cooperative agreements, and other agreements for research, development, demonstration, and deployment projects, and evaluation of research and technology of national significance to public transportation, that the Secretary determines will improve public transportation.

Innovations and Development: Total amount apportioned under this clause multiplied by the ratio of the number of low-income individuals residing on an Indian tribes lands divided by the total number of low-income individuals on tribal lands on which more than 1,000 low-income individuals reside. No recipient shall receive more than \$300,000 of the amounts apportioned in a fiscal year. Activities shall focus on:

- a. the development of public transportation research projects that received assistance that were successful;
- b. planning and forecasting modeling and simulation;
- c. capital and operating efficiencies;
- d. advanced vehicle design;
- e. advancements in vehicle technology;
- f. the environment and energy efficiency;
- g. system capacity, including train control and capacity improvements; or
- h. any other area that is important to advance the interests of public transportation.

Demonstration, Deployment and Evaluation: The Secretary may make agreements with an entity to promote the early deployment and demonstration of innovation in public transportation that has broad applicability. A demonstration, deployment, or evaluation project that receives assistance shall seek to build on successful research, innovation, and development efforts to facilitate:

- a. the deployment of research and technology development resulting from private efforts or Federally funded efforts; or
- b. the implementation of research and technology development to advance the interests of public transportation; or
- c. the deployment of low or no emission vehicles, zero emission vehicles, or associated advanced technology

A comprehensive evaluation must be conducted within 2 years from the date a demonstration or deployment project receives assistance; to evaluate the success or failure of the project and to describe any plans for broad-based implementation of the innovation promoted by successful projects.

Low or No Emission Vehicle component Testing (Low-No Testing): The Secretary may enter into an agreement with at least one institution of higher education that shall be competitively selected to operate and maintain a facility to conduct testing, evaluation, and analysis of low or no emission vehicle components intended for use in low or no emission vehicles. The institution(s) shall have:

- I. the capacity to carry out transportation-related advanced component and vehicle evaluation;
- II. laboratories capable of testing and evaluation; and
- III. direct access to or a partnership with a testing facility capable of emulating real-world circumstances in order to test low or no emission vehicle components installed on the intended vehicle. Component testing is voluntary; however, a low or no emission bus model must still comply with Section 5318 Bus Testing.

On October 1, 2015, the Transit Cooperative Research Program (TCRP) was repealed and incorporated into 5312 by Congress under the Fixing Americas Surface Transportation (FAST) Act. Transit Cooperative Research Program (TCRP): Through a cooperative agreement, the National Academy of Science will administer a public transportation cooperative research program. An independent governing board will continue to recommend public transportation research, development and technology transfer activities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Dissemination of Technical Information; Project Grants; Training

USES AND USE RESTRICTIONS:

See Objectives section. There are multiple assistance opportunities within this

CFDA for research, innovation and development, demonstration, deployment, evaluation, and Low or no emission vehicle component assessment, and the Transit Cooperative Research Program (TCRP). Generally, the program supports research not undertaken by the private sector including studies on transit policy issues, operational efficiency, and travel behavior. Depending on the specific funding program, the eligibility of recipients, projects, and federal share may vary. A prohibition exists such that no grants can be made for the demonstration, deployment, or evaluation of a vehicle that is in revenue service unless the Secretary determines that the project makes significant technological advancements in the vehicle. To the extent FTA allocates the funding through a competitive notice of funding availability, FTA will specify eligibility and requirements in a notice of funding availability (NOFA). Government share of costs shall not exceed 80% except for Section 5312(h) which is 50% and Section 5312 (i) where there is no match required funding for TCRP is at 100%. Demonstration and deployment projects must have a comprehensive evaluation of the success or failure of the funded projects within 2 years after the date on which a project received assistance.

Applicant Eligibility:

Federal Government departments, agencies, and instrumentalities of the Government, including Federal laboratories; State and local governmental entities; providers of public transportation; private or non-profit organizations; institutions of higher education; and technical and community colleges.

Beneficiary Eligibility:

14 State, 15 Local, 20 Public nonprofit institution/organization, 36 Private nonprofit institution/organization.

Credentials/Documentation:

FTA will specify the credentials and documentation in the individual notices of funding availability published to support the various sections and program purposes. At a minimum, the initial proposal should include projects objectives, background, and project description, including time schedule, budget, and applicants organizational experience. Legal opinion and compliance with labor requirements are required later. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

To the extent funding is available for discretionary activities; FTA will publish specific application instructions in a notice of funding availability or a request for proposals. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. 2 CFR 200 apply.

In addition, FTA guidance for these programs can be found in FTA Circular 6100.1D, Transit Research and Technology Programs: Application and Program Management Guidelines. Potential applicants should follow the instructions in this Circular, which may be found at the FTA website at www.fta.dot.gov.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement and/or cooperative agreement. Cooperative Agreements and Grants are awarded electronically using FTAs Transit Award Management System (TrAMS). A pre-requisite to work with the government and apply for Federal funds, you must have a valid Data Universal Numbering System (DUNS) number and register with the System for Award Management (SAM). To access this system, a user name and password are needed and can be obtained by contacting the appropriate FTA staff. The Federal Transit Administrator makes the final decision to approve a project. An FTA grant or cooperative agreement award obligating Federal funds is reflected in a grant agreement or cooperative agreement. To access funds, the recipient must execute the grant agreement or cooperative agreement. For demonstration and deployment

projects receiving assistance an evaluation of the success or failure of projects is required.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The local share requirements for Section 5312(b) research, development, demonstration, and deployment projects is at least 20% of the project cost unless increased by a clear and direct financial benefit to an entity participating in the project.

The local share requirements for the Section 5312(c) research projects is at least 20% of the project cost unless increased by a clear and direct financial benefit to an entity participating in the project.

The local share requirements for the Section 5312(d) innovation and development projects is at least 20% of the project cost unless increased by a clear and direct financial benefit to an entity participating in the project.

The local share requirements for the Section 5312(e) demonstration, deployment and evaluation projects is at least 20% of the project cost unless increased by a clear and direct financial benefit to an entity participating in the project.

The local share requirements for the Section 5312(h) low or no emission vehicle component assessment projects is 50% of the project cost unless increased by a clear and direct financial benefit to an entity participating in the project.

There is no local share requirement for the Section 5312(i) Transit Cooperative Research program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of time varies with the complexity of the project. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance: by letter of credit. Funds are available until expended. Generally, the applicant is required to submit an application for a grant or cooperative agreement in response to a solicitation that will be made. FTA will determine which applicant will be awarded funding. Regularly, competitive/discretionary funding is solicited through Grants.gov. Once a project is selected to receive funds, the applicant will then be required to submit an electronic grant or cooperative agreement in FTAs Transit Award Management System to obligate the funds. All payments are handled electronically through FTAs financial systems.

Reports:

(1) Quarterly financial reports; (2) Quarterly milestone progress report; (3) Monthly progress report, as requested by FTA; (4) Special reports should be submitted when problems, delays or adverse conditions will affect the recipients ability to achieve project objectives within the scheduled time period or within the approved budget; (5) Periodic progress report, may be substituted by published technical papers, conference presentations or similar documentation of progress, if requested by FTA (events may occur between quarterly progress reporting); (6) Interim performance report should compare actual accomplishments with goals established for the reporting period and be submitted electronically; and (7) final technical report submitted electronically documenting project performance and the final results including Executive Summary must be submitted to FTA at the conclusion of the project. By electronic filing, SF-425.

FTA also has a number of Congressional reports it submits using the program reports from the applicants. The Federal Financial Report SF-425. Generally, as it relates to the national research program in its entirety: (1) Quarterly Federal Financial reports; (2) quarterly milestone progress report; and (3) interim and final reports all must be submitted electronically. Please describe the progress reports required.

(1) Quarterly federal financial reports; (2) quarterly milestone progress reports; (3) Periodic progress report, as requested by FTA; and (4) Special progress reports as approved by FTA. (1) Quarterly Financial Status Report 269-A submitted electronically. (2) Quarterly A Request for

Reimbursement or Advance, SF-270. (3) The Federal Financial Report SF-425. (1) Quarterly financial reports are required. There quarterly progress reports describe accomplishments within the reporting period. They must also provide an interim performance and final technical reports. Special and monthly reports are required if requested by FTA. The reports highlight progress toward project objectives and any potential problems. Electronic filing is preferred.

(2) Detail annual report of demonstration or deployment project results for program evaluation purposes is required and must be submitted electronically.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant recipients shall retain records for 3 years following submission of a final expenditure report, pending resolution of audit findings, all project contracts documents, financial records, and supporting records.

Account Identification:

69-1137-0-1-401.

Obligations:

(Project Grants) FY 16 \$28,000,000; FY 17 est \$28,000,000; and FY 18 est \$0 - The first year when obligations will be noted in this CFDA is FY 2018.

Range and Average of Financial Assistance:

\$200,000 to \$1,000,000. Average \$400,000.

TAFS Codes:

69-1137.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No obligations until FY 2018. Accomplishments under this sections are reflected in CFDA 20.514. Fiscal Year 2017: Accomplishments under this sections are reflected in CFDA 20.514. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Most Federal transit laws are codified at Title 49, United States Code, Chapter 53 (49 U.S.C. chapter 53). Authorizing legislation is substantive legislation enacted by Congress that establishes or continues the legal operation of a Federal program or agency. FTAs most recent authorizing legislation is Fixing Americas Surface Transportation (FAST) Act, (Public Law No. 114-94), signed into law on December 4, 2015, and effective October 11, 2015, 49 U.S.C. Section 5312/FAST Section 3008. Fiscal Year 2016 Annual List of Certifications and Assurances for Federal Transit Administration Grants and Cooperative Agreements; FTA Circular 6100.1E for Research Projects; FTA Circular 4220.1F for Third-Party Procurements; and FTA Circular 5010.1D for Grants Management. In certain circumstances and in other fiscal years, contact the FTA Office of Research, Demonstration and Innovation is necessary. Also, consult FTA website www.fta.dot.gov. Other information will be made available upon request.

Regional or Local Office:

None.

Headquarters Office:

Mary Leary 1200 New Jersey Ave. S.E., Washington, District of Columbia

20590 Phone: 202-366-2204

Website Address:

<http://www.fta.dot.gov/research>

RELATED PROGRAMS:

20.514 Public Transportation Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Projects under this sections are reflected in CFDA 20.514.

Fiscal Year 2017: Projects under this sections are reflected in CFDA 20.514.

Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Guidance contained in Federal Register Notice, published Notices of Funding Availability (NOFA) and Requests for proposals. FTA published notices between FY 2015 and 2016; and either has allocated the funding or is in the process of allocating funds for support.

20.531 TECHNICAL ASSISTANCE AND WORKFORCE DEVELOPMENT

National Transit Research Technical Assistance and Workforce Development

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

The Fixing Americas Surface Transportation (FAST) Act (Public Law No. 114-94), signed into law on December 4, 2015, and effective October 11, 2015, 49 U.S.C. Section 5314/FAST Section 3009. , Public Law 114-94, 49 U.S.C 5314.

OBJECTIVES:

The Federal Transit Administrations Technical Assistance, Standards Development, and Workforce development programs are authorized by 49 U.S.C. Section 5314 Technical Assistance and Workforce Development. Per the legislation, the goals of the program are to more effectively and efficiently provide public transportation service; administer funds received under this chapter in compliance with Federal law; and improve public transportation. Key activities include:

Technical assistance;

The development of voluntary and consensus-based standards and best practices by the public transportation industry, including standards and best practices for safety, fare collection, Intelligent Transportation Systems, accessibility, procurement, security, asset management to maintain a state of good repair, operations, maintenance, vehicle propulsion, communications, and vehicle electronics.

Training through the National Transit Institute.

The Technical Assistance and Standards activities provide resources and direct assistance to support compliance with the Americans with Disabilities Act (ADA); human services transportation coordination requirements; the transportation needs of elderly individuals; increase transit ridership; address transportation equity; facilitate best practices to promote bus driver safety; meet the requirements 5323(j) (Buy America) and 5323(m) (Pre and Post-Award Audits); assist with the development and deployment of low or no emission vehicles; and any other technical assistance activities the Secretary of Transportation determines is necessary to advance the interests of public transportation.

Human Resources and Training Innovative Public Transportation Frontline Workforce Development Program. As funding is available, FTA will award competitive grants to assist the development of innovative activities to support:

- (1) Employment training programs;
- (2) Outreach to specific under-represented populations in the workforce;
- (3) Research on public transportation personnel and training needs;
- (4) Training and assistance for veteran and minority business opportunities;

and
(5) Consensus-based national training standards and certifications in partnership with industry stakeholders.

Eligible frontline workforce program activities include:

- i. developing apprenticeships, on-the job training, and instructional training for public transportation maintenance and operations occupations;

ii. building local, regional, and statewide public transportation training partnerships with local public transportation operators, labor union organizations, workforce development boards, and State workforce agencies to identify and address workforce skill gaps;

iii. improving safety, security, and emergency preparedness in local public transportation systems through improved safety culture and workforce communication with first responders and the riding public; and

iv. addressing current or projected workforce shortages by developing partnerships with high schools, community colleges, and other community organizations.

FTA will also directly fund the National Transit Institute. NTI will develop and conduct training and educational programs for Federal, State, and local transportation employees, United States citizens and foreign nationals engaged or to be engaged in Government-aid public transportation work.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Dissemination of Technical Information; Project Grants; Training

USES AND USE RESTRICTIONS:

See Objectives. Generally, the program supports technical assistance, training, workforce projects, and standards development not undertaken by the private sector. Funding will provide for training and educational programs which may include courses in recent developments, techniques, and procedures related to intermodal and public transportation planning; management; environmental factors; acquisition and joint use rights-of-way; engineering and architectural design; procurement strategies for public transportation systems; new technologies; emission reduction technologies; way to make public transportation access to individuals with disabilities; construction, construction management, insurance, and risk management, maintenance; contract administration; inspection; innovative finance; workplace safety; and public transportation security.

This program funds technical assistance to assist providers of public transportation to: Comply with the Americans with Disabilities Act (ADA); comply with human services transportation coordination requirements and enhance the coordination of related Federal resources; meet the transportation needs of elderly individuals; and address transportation equity.

The program can also fund innovative workforce development activities in the areas with special emphasis on targeting areas with high unemployment; provide advanced training related to maintenance of alternative energy efficient or zero emission vehicle; and address current or projected workforce shortages in areas that require technical expertise. In addition, it will provide for the development of voluntary and consensus-based standards and best practices by the public transportation industry, including standards and best practices for safety, fare collection, Intelligent Transportation Systems, accessibility, procurement, security, asset management to maintain a state of good repair, operations, maintenance, vehicle propulsion, communications, and vehicle electronics.

Depending on the specific funding program, the eligibility of recipients, projects, and federal share may vary. To the extent FTA allocates the funding through a competitive notice of funding availability, FTA will specify eligibility and requirements in a notice of funding availability (NOFA).

Applicant Eligibility:

Federal Government departments, agencies, and instrumentalities of the Government; Metropolitan Planning Organizations; State and local governmental entities; providers of public transportation; and national non-profit organizations (that have the appropriate demonstrated capacity to provide public transportation-related technical assistance); public four-year degree-granting institutions of higher education, as defined in section 101(a) of the Higher Education Act of 1965 (20 U.S.C. 1001(a)) to carry-out the duties of the institute.

Beneficiary Eligibility:

State, Local, Public nonprofit institution/organization and Private nonprofit institution/organization.

Credentials/Documentation:

FTA will specify the credentials and documentation in the individual notices of funding availability published to support the various sections and program purposes. At a minimum, the initial proposal should include projects objectives, background, and project description, including time schedule, budget, and applicants organizational experience. Legal opinion and compliance with labor requirements are required later. Costs will be determined in accordance with 2 CFR 200.

Contracts, Cooperative Agreements, Interagency Agreements, and grants are awarded in response to specific requests for proposal in which applicants will respond to a solicitation that FTA will conduct after funds become available. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

To the extent funding is available for discretionary activities; FTA will publish specific application instructions in a notice of funding availability or a request for proposals. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. 2 CFR 200 apply. In addition, FTA guidance for these programs can be found in FTA Circular 6100.1D, Transit Research and Technology Programs: Application and Program Management Guidelines. Potential applicants should follow the instructions in this Circular, which may be found at the FTA website at www.fta.dot.gov.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement and/or cooperative agreement. Cooperative Agreements and Grants are awarded electronically using FTAs Transit Award Management System (TrAMS). A pre-requisite to work with the government and apply for Federal funds, you must have a valid Data Universal Numbering System (DUNS) number and register with the System for Award Management (SAM). To access this system, a user name and password are needed and can be obtained by contacting the appropriate FTA staff. The Federal Transit Administrator makes the final decision to approve a project. An FTA grant or cooperative agreement award obligating Federal funds is reflected in a grant agreement or cooperative agreement. To access funds, the recipient must execute the grant agreement or cooperative agreement. For demonstration and deployment projects receiving assistance an evaluation of the success or failure of projects is required.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of time varies with the complexity of the project. Method of awarding/releasing assistance: by letter of credit.

Reports:

- (1) Quarterly financial reports; (2) Quarterly milestone progress reports;
- (3) Interim and Final reports are required and must be submitted electronically.

Federal Financial Form, SF-425 is required and filed electronically.

FTA also has a number of Congressional reports it submits using the program reports from the applicants. The Federal Financial Report SF-425 is filed electronically.

- The Request for Advance or Reimbursement, SF-270 is filed electronically. Quarterly Federal Financial reports are submitted electronically. (1) Quarterly Milestone Progress reports
- (2) Interim report and Final report. (1) Quarterly A Request for Reimbursement or Advance, SF-270.
 - (2) The Federal Financial Report SF-425. (1) Quarterly Federal Financial reports;
 - (2) Quarterly Milestone Progress report;
 - (3) Interim progress report; and
 - (4) Final technical report. All reports must be submitted electronically.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant recipients shall retain records for 3 years following submission of a final expenditure report, pending resolution of audit findings, all project contracts documents, financial records, and supporting records.

Account Identification:

69-1137-0-1-401.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$9,000,000 - FY 2018 will be the first year where obligations will be made under this CFDA. Obligations for FY 2016 and FY 2017 are referenced in CFDA 20.514.

Range and Average of Financial Assistance:

None established.

TAFS Codes:

69-1137.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Accomplishments for this section are included in CFDA 20.514. Fiscal Year 2017: Accomplishments for this section are included in CFDA 20.514. Fiscal Year 2018: Detailed project planning has not yet begun for FY 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

Most Federal transit laws are codified at Title 49, United States Code, Chapter 53 (49 U.S.C. chapter 53). Authorizing legislation is substantive legislation enacted by Congress that establishes or continues the legal operation of a Federal program or agency. FTAs most recent authorizing legislation is Fixing Americas Surface Transportation (FAST) Act, (Public Law No. 114-94), signed into law on December 4, 2015, and effective October 11, 2015, 49 U.S.C. Section 5314/FAST Section 3009. Fiscal Year 2016 Annual List of Certifications and Assurances for Federal Transit Administration Grants and Cooperative Agreements; FTA Circular 6100.1E for Research Projects; FTA Circular 4220.1F for Third-Party Procurements; and FTA Circular 5010.1D for Grants Management. In certain circumstances and in other fiscal years, contact the FTA Office of Research, Demonstration and Innovation is necessary. Also, consult FTA website www.fta.dot.gov. Other information will be made available upon request.

Regional or Local Office:

None.

Headquarters Office:

Mary Leary 1200 New Jersey Ave. S.E., , Washington, District of Columbia

20590 Phone: 202-366-2204

Website Address:

<http://www.fta.dot.gov/research>

RELATED PROGRAMS:

20.514 Public Transportation Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Project examples for this section are included in CFDA 20.514. Fiscal Year 2017: Project examples for this section are included in CFDA 20.514. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Guidance contained in Federal Register Notice, published Notices of Funding Availability (NOFA) and Requests for proposals. FTA published notices between FY 2016 and 2017; and either has allocated the funding or is in the process of allocating funds for support.

20.600 STATE AND COMMUNITY HIGHWAY SAFETY

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century (P.L. 112-141), Title I- Motor Vehicle and Highway Safety Improvement Act of 2012, Section 31105 , 23 U.S.C 402.

OBJECTIVES:

To provide a coordinated national highway safety program to reduce traffic crashes, deaths, injuries, and property damage.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Formula grant funds may be used for problems identified within the ninenational program areas of Alcohol and other Drug Countermeasures, Police Traffic Services Occupant Protection, Traffic Records, Emergency Medical Services Motorcycle Safety, Pedestrian/Bicycle Safety, Speed Control and Roadway Safety. Other program areas identified by a State as constituting a highway safety problem in that State, e.g., pupil transportation safety programs, may be eligible for Federal funding, as encompassing a major highway safety problem in the State and for which effective countermeasures have been identified. The law provides that at least 40 percent of these Federal funds apportioned to a State for any fiscal year will be expended by the political subdivisions of such State. The law provides that at least 40 percent of these Federal funds apportioned to a State for any fiscal year will be expended by the political subdivisions of such State.

Applicant Eligibility:

States, federally recognized Indian tribes, the District of Columbia, Puerto Rico, American Samoa, Guam, Northern Marianas, and the Virgin Islands.

Beneficiary Eligibility:

Political subdivisions, through the State Highway Safety Agencies.

Credentials/Documentation:

Performance plan approved by the agency is required and Highway Safety plan, certification statement, and Program Cost Summary. State Plan due date is July 1 for the formula grant program. 2 CFR Part 200, Cost Principles. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

NHTSA Regional Administrators and FHWA Division Administrators review each State's Performance Plan and Highway Safety Plan. This program is exempt from the standard application forms required by the Government-wide Common Grant Rule for State, Local, and Indian Tribal Governments. Assistance from NHTSA and FHWA is continuously available to participants in this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State

for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submission of Highway Safety Plan covering State and community highway safety activities for the year to NHTSA regional offices.

Award Procedure:

Awards are made by NHTSA Regional Administrators.

Deadlines:

Jul 01, 2017: The Highway Safety Plan is the Application. It is due to the Regional Office by July 1. Highway Safety Plans must be submitted by July 1 each year.

Range of Approval/Disapproval Time:

From 30 to 60 days. N/A.

Appeals:

Appeals of decisions by NHTSA Regional Administrators are to the NHTSA Associate Administrator for Regional Operations and Program Delivery.

Renewals:

Each year, States submit Performance Plans and Highway Safety Plans as part of continuing formula grant program.

Formula and Matching Requirements:

Statutory Formula: Title 49 CFR, Chapter 1240.11, 1240.12, 1240.13.
Matching Requirements: 75 percent apportioned on total resident population; 25 percent apportioned against public road mileage in States. Federal share shall not exceed 80 percent or applicable sliding scale.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of funds method. Funds placed under obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

States are required to submit annual program reports to the Federal Highway Administration and to the National Highway Traffic Safety Administration. No cash reports are required. Progress reports are not applicable. SF-425, expenditure reports are done electronically in the NHTSA Grants Tracking System. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records relating to the program shall be retained for a period not less than 3 years from the date of submission of final financial report.

Account Identification:

69-8016-0-7-401.

Obligations:

(Formula Grants (Apportionments)) FY 16 \$243,500,000; FY 17 est \$252,300,000; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-8016.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: States are using these funds for staffing, training in all aspects of highway safety, equipment purchases, media and awareness campaigns for traffic safety programs. Fiscal Year 2017: No Current Data

Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR Chapter II, State and Community Highway Safety Grant Program; Manual on Uniform Traffic Control Devices; Handbook of Highway Safety Design and Operating Practice, available from Government Printing Office, Washington, DC 20402.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of National Highway Traffic Safety Administration regional office addresses.

Headquarters Office:

Mary D. Gunnels 1200 New Jersey Avenue, S.E., NRO-010, Washington, District of Columbia 20590 Phone: (202) 366-2121.

Website Address:

<http://www.nhtsa.whatsup/fedassist/index.html>.

RELATED PROGRAMS:

20.205 Highway Planning and Construction

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: States will utilize these funds for programs for CIOT, Driver Sober or Get Pulled Over National Crackdown, Distracted Driving campaigns, Child Passenger safety campaigns, enforcement of traffic safety laws and other programs that will combat highway safety problems in their states based on problem identification. They can also fund projects to improve data gathering, sobriety checkpoints, training for law enforcement in occupant protection, impaired driving detection, motorcyclist safety, bicyclist and pedestrian safety awareness, and other highway safety-related issues. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Federal/State relationship for the highway safety grant program is a partnership. Each State is given flexibility in the administration of its own highway safety program. Federal funds are obligated in support of a highway safety program based on problems identified by the State. States have latitude in determining projects upon which they spend their Federal monies.

20.601 ALCOHOL IMPAIRED DRIVING COUNTERMEASURES INCENTIVE GRANTS I

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2004, Section 2007, Public Law 109-59, 23 U.S.C 410; Fixing America's Surface Transportation Act (FAST Act), Public Law 114-94.

OBJECTIVES:

To encourage States to adopt effective programs to reduce crashes resulting from persons driving while under the influence of alcohol.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Incentive grant funds are available to States to implement effective programs to reduce drunk and drugged driving, in compliance with established criteria.

Applicant Eligibility:

States, Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. States are provided with two alternative means to qualify for a Section 410 grant. Under the first alternative, States may qualify as a low fatality rate State if they have an alcohol-related fatality rate of 0.5 or less per 100 million vehicle miles traveled (VMT). Under the second alternative, States may qualify as a programmatic State if they demonstrate that they meet three of eight grant criteria for fiscal year 2006, four of eight grant criteria for fiscal year 2007, and five of eight grant criteria for fiscal years 2008, 2009 And for the remainder of the current authorization. Qualifying under both alternatives would not entitle the State to receive

additional grant funds. SAFETEA-LU directs that States with low alcohol-related fatality rates, based on the agency's Fatality Analysis Reporting System (FARS), be awarded grants without the need to satisfy any of these programmatic criteria. There is also an additional Section 410 grant available to assist the 10 States with the highest impaired driving related fatalities as determined by the most recent FARS data. A High fatality rate State may also apply as a programmatic State.

Beneficiary Eligibility:

State Highway Safety Agency.

Credentials/Documentation:

State submits certification and application that it meets eligibility requirements; after being informed by the National Highway Traffic Safety Administration (NHTSA) that it is eligible for a grant, the State describes the programs it will implement and fund in the Annual Highway Safety Plan. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. High Fatality Rate States only must submit a plan for grant expenditures with the application.

Award Procedure:

The State submits information that reflects its eligibility for the award based on the criteria for which it is applying. NHTSA staff review the information to determine the eligibility of the State. If the State is deemed eligible to receive an award, it will be notified by the Region and will also receive an official letter of award from the NHTSA Administrator. The release of grant funds shall be subject to the availability of funds for that fiscal year.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Applicant received a response, from the agency, between 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

States were eligible for up to 4 years under the 410 program or until the end of the current authorization. Certification and plan had to be approved each year.

Formula and Matching Requirements:

Statutory Formula: Title 23 CFR, Chapter 4, Part 1313.

Matching Requirements: The first and second year of the grant the States provided a 25% match. For the third and fourth year the States provided a 50% match and for the fifth, sixth, seventh and eighth year the States provided a 75% match.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Federal share was reimbursed on claims submitted in vouchers covering costs incurred. All participants were converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

Annual program plan for next year's funding. No cash reports are required. A progress report on the prior year's program and accomplishments is required in the application for high fatality rate States only. All other States must report in their Annual Highway Safety Report. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records relating to the program shall be retained for a period not less than 3 years from the date of submission of final financial report.

Account Identification:

69-8020-0-7-401.

Obligations:

(Project Grants) FY 16 \$143,717,499; FY 17 Estimate Not Available(Exp: No new funds authorized.); and FY 18 Estimate Not Available - \$ 4,933,409.21 of SAFETEA-LU funds. A total of \$143,717,499.76 was spent in FAST Act funds.

Range and Average of Financial Assistance:

\$972,388 to \$17,973,219.

TAFS Codes:

69-8020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Funds were spent on training and identifying impaired driving countermeasures to combat the serious problem of impaired driving across the country. States used these funds for the Drive Sober or Get Pulled Over national enforcement campaign over the Labor Day holiday to increase the number of officers on the highways enforcing the impaired driving laws of their State. Additional enforcement efforts were also conducted throughout the year to decrease the number of injuries and fatalities caused by impaired drivers. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR Chapter 4 Part 1313.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of National Highway Traffic Safety Administration regional office addresses.

Headquarters Office:

Mary D. Gunnels, Ph.D. 1200 New Jersey Avenue, S.E., NRO-010, Washington, District of Columbia 20590 Email: maggi.gunnels@dot.gov Phone: (202) 366-2121.

Website Address:

<http://www.nhtsa.gov/Impaired>

RELATED PROGRAMS:

20.600 State and Community Highway Safety

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Drive Sober or Get Pulled Over national enforcement campaign (See accomplishments for details). Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

States must meet a certain number of criteria established by statute and regulation each year including, for example, prompt license suspension for drunk driving, mandatory sentencing for repeat drunk driving offenders and self-sustaining drunk driving prevention programs, improvement in Blood Alcohol Content (BAC) testing rates, an increase in the number of DWI courts and improvements in statewide high visibility enforcement coverage.

20.602 OCCUPANT PROTECTION INCENTIVE GRANTS

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for

Users (SAFETEA-LU) of 2011, Public Law 109-59, 23 U.S.C 405; Fixing America's Surface Transportation Act (FAST Act), Public Law 114-94.

OBJECTIVES:

To encourage States to adopt effective programs to reduce highway deaths and injuries resulting from individuals riding unrestrained or improperly restrained in motor vehicles.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Incentive grant funds are available to States to implement and enforce occupant protection programs, in compliance with established criteria.

Applicant Eligibility:

States, the District of Columbia, Puerto Rico, American Samoa, Guam, Northern Marianas, Virgin Islands, and the Bureau of Indian Affairs.

Beneficiary Eligibility:

State Highway Safety agencies.

Credentials/Documentation:

A State submits certification and application that it meets eligibility requirements; after being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

NHTSA Regional Administrator coordinates qualification process with the Office of Injury Control Operations and Resources. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Certifications, signed by the Governor's Representative for Highway Safety, are due no later than February 15 of each fiscal year to the appropriate NHTSA Regional Administrator. To apply for grant funds in a fiscal year, a State must submit the certification required by Federal Register, Vol. 7, No. 218, Monday, November 14, 2006, signed by the Governor's Representative for Highway Safety, to the appropriate NHTSA Regional Administrator.

Award Procedure:

A State submits certification that it meets eligibility requirements. After being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. NHTSA notifies the Governor in a letter that the State has met the requirements for a Section 405 grant. The letter also describes the amount of the grant award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23 CFR, Chapter 401, Part 1345.

Matching Requirements: Under the 405 program, basic grant funding is up to 100 percent of their Section 402 apportionment. The Federal share shall not

exceed 75 percent in the first and second years, 50 percent in the third and fourth years, and 25 percent in the fifth and subsequent years.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the electronic transfer of funds method of payment. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

Annual program plan for next year's funding must include a progress report on the prior year's program and accomplishments. Cash reports are not applicable. Annual program plan for next year's funding must include a progress report on the prior year's program and accomplishments. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

(Formula Grants) FY 16 \$77,862; FY 17 Estimate Not Available; and FY 18 est \$0 - No new money has been awarded under SAFETEA-LU since FY 12. States are continuing to spend down their grant awards.

Range and Average of Financial Assistance:

The range is \$ 74,843 3,109,419.

TAFS Codes:

69-8020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Seat belt use nationwide saw an increase from 88.5% in 2015 to 90.1% in 2016. The National Occupant Protection Use Survey (NOPUS) provides the agency's official measure of nationwide seat belt use. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Part 1345, Section 2004, Public Law 109-59, as amended, 23 U.S.C. 402.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Mary D. Gunnels, Ph.D. 1200 New Jersey Avenue, S.E., NROI-010, Washington, District of Columbia 20590 Email: Maggi.Gunnels@dot.gov Phone: (202) 366-2121

Website Address:

<http://www.nhtsa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: States spent these funds on training for law enforcement and

other first responders on seat belts and child restraint systems, enforcement for their States occupant protection laws; roll-call videos as a training tool; high visibility enforcement during the Click It or Ticket national mobilization during the Memorial Day holiday. States also took programs into the junior and senior high school to focus on the importance of wearing seat belts on each and every trip. Some states provided a class for seat belt law violators that discussed in further detail their States law and the consequences of not wearing a belt should you be involved in a crash. Funds were used for enforcement efforts at other times of the year beside the CIOT period. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

States must meet 4 out of 6 criteria established by statute and regulation including safety belt use law for all passengers, primary safety belt use law, minimum fine or penalty points, statewide special traffic enforcement programs, child passenger protection education program, and child passenger protection law.

20.607 ALCOHOL OPEN CONTAINER REQUIREMENTS

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Highway Safety Act of 1998, as amended, 23 U.S.C 154.

OBJECTIVES:

To encourage States to enact and enforce an alcohol open container law.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds transferred from NHTSA must be used for alcohol-impaired driving countermeasures or enforcement of driving while intoxicated (DWI), driving under the influence (DUI) and other related laws. A State may elect to use all or part of its transferred funds for activities eligible under Section 154 Hazard Elimination program. Funds transferred from NHTSA must be used for alcohol-impaired driving countermeasures or enforcement of driving while intoxicated (DWI), driving under the influence (DUI) and other related laws. A State may elect to use all or part of its transferred funds for activities eligible under Section 154 Hazard Elimination program.

Applicant Eligibility:

States, the District of Columbia, and Puerto Rico.

Beneficiary Eligibility:

State Highway Safety agencies.

Credentials/Documentation:

If a State has not enacted and is not enforcing an Open Container Law, then a State is subject to the penalty transfer program. After being informed by NHTSA that it is subject to the penalty transfer, the State submits to the agency a split letter advising the agency of the intent to split funds between alcohol programs or hazard elimination programs (FHWA). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Office of Regional Operations and Program Delivery coordinate the transfer penalty funding process with the Federal Highway Administration. This program is eligible for coverage under Executive Order 12372, Intergovernmental Review of Federal Programs.

Award Procedure:

Once the State provides the agency with a split letter to NHTSA, the State will be given access to the transfer and can obligate the funds.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter 1270.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the electronic transfer of funds method of payment. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The Annual Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables.

Account Identification:

69-8020-0-7-401.

Obligations:

(Formula Grants (Apportionments)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Varies.

TAFS Codes:

69-8020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 2016: States receiving these penalty transfer funds are designated for alcohol impaired driving programs and are subject to 23 U.S.C. Section 402. They could spend their funds on impaired driving countermeasures, including paid media to support alcohol impaired driving countermeasures, and enforcement of laws prohibiting driving while intoxicated, driving under the influence, or other related laws or regulations, including the purchase of equipment, training of officers, and the use of additional personnel for specific alcohol impaired driving countermeasures. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR 1270.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of NHTSA.

Headquarters Office:

Mary D. Gunnels, Ph.D. 1200 New Jersey Avenue, S.E., NRO-010, Washington, District of Columbia 20590 Email: maggi.gunnels@dot.gov Phone: (202) 366-2121.

Website Address:

<http://www.nhtsa/whatsup/fedassist/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: 1. Impaired driving countermeasures
2. Enforcement of laws prohibiting driving while intoxicated and
3. Driving under the influence. Fiscal Year 2017: No Current Data Available
Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.608 MINIMUM PENALTIES FOR REPEAT OFFENDERS FOR DRIVING WHILE INTOXICATED**FEDERAL AGENCY:**

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Highway Safety Act of 1998 as amended, 23 U.S.C 164.

OBJECTIVES:

To encourage States to enact and enforce Repeat Intoxicated Offender laws.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Funds transferred from NHTSA must be used for alcohol-impaired driving countermeasures or enforcement of driving while intoxicated (DWI), driving under the influence (DUI) and other related laws. A state may elect to use all or part of its transferred funds for activities eligible under Section 152 Hazard Elimination program. Funds transferred from NHTSA must be used for alcohol-impaired driving countermeasures or enforcement of driving while intoxicated (DWI), driving under the influence (DUI) and other related laws.

Applicant Eligibility:

States, the District of Columbia, and Puerto Rico.

Beneficiary Eligibility:

State Highway Safety agencies.

Credentials/Documentation:

If a State has not enacted and is not enforcing a Repeat Intoxicated Driver Law, then a state meets eligibility requirements. After being informed by NHTSA that it is subject to the penalty transfer eligible for a grant, the State submits to the agency a split letter advising the agency of the intent to split funds between alcohol programs or hazard elimination programs (FHWA). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NHTSA Office of Regional Operations and Program Delivery coordinated the program with the Federal Highway Administration. The program is eligible for coverage under Executive Order 12372, Intergovernmental Review of Federal Programs.

Award Procedure:

Once the State provides a split letter to NHTSA, the State will be given access to the transfer funds and can obligate the funds.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23, Part 164.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the electronic transfer of funds method of payment. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

Annual report required under the section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments. No cash reports are required. No progress reports are required. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables.

Account Identification:

69-8020-0-7-401.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

The grants range were from \$2,489,000 - \$54,546,000.

TAFS Codes:

69-8020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 2016 funds used by States for NHTSA programs are designated for alcohol impaired driving programs and are subject to 23 U.S.C. Section 402 requirements. States spent funds on enforcing laws prohibiting driving while intoxicated, driving under the influence, or other related laws or regulations, including the purchase of equipment, officer training and the use of additional personnel for specific alcohol impaired driving countermeasures. States spent monies on alcohol impaired driving countermeasures including paid media to support the alcohol impaired driving countermeasures. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR 1270.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of NHTSA.

Headquarters Office:

Mary D. Gunnels, Ph.D. 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Email: Maggi.gunnels@dot.gov Phone: (202) 366-2121

Website Address:

<http://www.nhtsa/whatsup/fedassist/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: 2016 Impaired Drivers violators courses, enforcing the states impaired driving laws, sobriety checkpoints, training in standardized field sobriety testing, and enforcement participation in the Driver Sober or Get Pulled Over crackdown over the Labor Day holiday. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.609 SAFETY BELT PERFORMANCE GRANTS**FEDERAL AGENCY:**

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 406, 23 U.S.C 406.

OBJECTIVES:

Increase safety belt use by encouraging States to enact and enforce primary safety belt laws. A primary safety belt law permits law enforcement officers to stop and cite motorists for failing to wear safety belts without requiring that some other motor vehicle violation first be observed.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

A state may use grant funds for any safety purpose under Title 23 or for any project that corrects or improves a hazardous roadway location or feature or proactively addresses highway safety problems. However, at least \$1 million of amounts received by States must be obligated for behavioral highway safety activities. At least \$1 million of amounts received by States must be obligated for behavioral highway safety activities.

Applicant Eligibility:

The 50 States, District of Columbia, Puerto Rico, American Samoa, the Commonwealth of the Northern Mariana Islands, Guam and the Virgin Islands are eligible to apply for a grant.

Beneficiary Eligibility:

State Highway Safety Agencies.

Credentials/Documentation:

States that apply as either New Primary Law States or Pre-2003 Primary Law States must submit a certification that their primary safety belt law is in effect and is being enforced, and must also provide a citation where a copy of the law may be obtained for review. States that apply as Safety Belt Performance States must submit the result of a statewide safety belt observational survey for each of the two calendar years preceding the fiscal year of the grant application, along with certifications that the surveys were conducted in conformance with NHTSA's Uniform Criteria for State Observational Surveys of Seat Belt Use (23 CFR Part 1340). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A State is eligible for a grant if it did not have a conforming primary safety belt law for all passenger motor vehicles in effect on or before December 31, 2002, and either: Enacts for the first time after December 31, 2002, and has in effect and is enforcing a conforming primary safety belt law for all passenger motor vehicles (States meeting this criterion are called New Primary Law States); or, After December

31, 2005, has a State safety belt use rate of 85 percent or more for each of the 2 consecutive calendar years immediately preceding the fiscal year of the grant (States meeting this criterion are called Safety Belt Performance States). A State that meets either of the above two criteria will receive a one-time grant equal to 475 percent of the State's apportionment under Section 402 for fiscal year 2003. If a State does not meet either of the above two criteria, and if funds remain after grants have been awarded to all States that do meet either of the two criteria by July 1 each year, the State will qualify for a one-time grant equal to 200 percent of its apportionment under Section 402 for fiscal year 2003 if it has in effect and is enforcing a conforming primary safety belt law for all passenger motor vehicles that was in effect before January 1, 2003 (States in this category are called Pre-2003 Primary Law States). States that are applying as either New Primary Law States or Pre-2003 Primary Law States must submit the required certification and citation (see Credentials / Documentation above) by July 1 of the year of the grant application. States applying as Safety Belt Performance States must submit the survey result and related certification for each of the two consecutive calendar years preceding the year of the grant application by March 1 of the calendar year immediately following the year in which the survey was conducted.

Award Procedure:

NHTSA will review the information contained in each State's application for compliance with Section 406 and notify qualifying States in writing of grant awards.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter 406.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: by letter of credit.

Reports:

The Annual Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's Section 406 program for behavioral highway safety activities. No cash reports are required. The Annual Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's Section 406 program for behavioral highway safety activities. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For direct procurement contracts, audits will be conducted in accordance with Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

(Formula Grants (Apportionments)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - No new money authorized. States are spending down their SAFETEA-LU grant awards.

Range and Average of Financial Assistance:

States are spending down their SAFETEA-LU grant awards.

TAFS Codes:

69-8020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: This program was not authorized under the FAST Act. Fiscal Year 2017: This program was not authorized under the FAST Act. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Public Law 109-59; 23 U.S.C. 408.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Mary D. Gunnels, Ph.D. 1200 New Jersey Avenue, S.E., NRO-010, Washington, District of Columbia 20590 Email: maggi.gunnels@dot.gov Phone: (202) 366-2121.

Website Address:

<http://www.nhtsa.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No expenditures in 2016. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications from States attempting to qualify as New Primary Law States or Pre-2003 Primary Law States will be reviewed to determine if the State is enforcing a primary safety belt use law that applies to all passenger motor vehicles. Applications from States attempting to qualify as Safety Belt Performance States will be reviewed to determine if the State' safety belt use rate was at or above 85 percent during each of the two consecutive calendar years immediately preceding the year of the grant application.

20.610 STATE TRAFFIC SAFETY INFORMATION SYSTEM IMPROVEMENT GRANTS**FEDERAL AGENCY:**

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, 23 U.S.C 408.

OBJECTIVES:

Encouraged States to adopt and implement effective programs to improve the timeliness, accuracy, completeness, uniformity, integration and accessibility of State data; to evaluate the effectiveness of efforts to make such improvements; to link these State data systems, including traffic records, with other data systems within the State; and to improve the compatibility of the State data systems with national data systems and data systems of other States to enhance the ability to observe and analyze national trends in crash occurrences, rates, outcomes, and circumstances.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

A State may use grant funds only to implement data improvement programs. A State may use grant funds only to implement data improvement programs.

Applicant Eligibility:

To qualify for a first-year grant, a State demonstrated that it has an established multi-disciplinary highway safety data and traffic records coordinating committee; a developed multi-year safety data and traffic records strategic plan, approved by the coordinating committee and containing performance-based measures; certify that the State has adopted and is using the model data elements determined by the Secretary to be useful, or certify that grant funds will be used toward adopting and using the most elements practicable. To qualify for a subsequent-year grant, a State must certify that an assessment or audit of the State traffic records system has been conducted or updated within the preceding 5 years; certify that the coordinating committee continues to operate and supports the multi-year plan; specify how the grant funds and any other funds of the State will support the multi-year strategic plan; demonstrate measurable progress toward achieving the goals and objectives identified in the multi-year plan; and submit a report, showing measurable progress in the implementation of the multi-year plan. The 50 States, District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands and Indian tribes through the Bureau of Indian Affairs are eligible to apply for a grant.

Beneficiary Eligibility:

State Highway Safety Agencies.

Credentials/Documentation:

A State would submit information unique to each criterion for which it chooses to demonstrate compliance. After being informed by NHTSA that it is eligible for a grant, the state submits to the agency a plan that describes the programs the state will implement using these funds. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply for a first fiscal year grant, a State must submit the certification required by Appendix 1, signed by the Governor's Representative for Safety, to the appropriate NHTSA Administrator no later than June 15 of the fiscal year. To apply for a successive fiscal year grant, a State must submit the certification required by Appendix 2, signed by the Governor's Representative for Highway Safety, to the appropriate NHTSA Administrator no later than June 15 of the fiscal year.

Award Procedure:

NHTSA reviewed each State's application for compliance with section 408 and notify qualifying States in writing of grant awards. SAFETEA-LU provides that the amount of each first fiscal year grant shall be the higher of \$300,000 or an amount determined by multiplying the amount appropriated to carry out the section 408 Program for that fiscal year by the ratio that the funds appropriated to the State under Section 402 for FY 2003 bears to the funds apportioned to all eligible States under Section 402 for FY 2003. Each State that qualifies for a successive fiscal year shall be eligible to receive the higher of \$500,000 or an amount determined by multiplying the amount appropriated to carry out the Section 408 Program for that fiscal year by the ratio that the funds apportioned to all eligible States under Section 402 for FY 2003.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

States are eligible for this grant for up to four years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. SF-425. The Annual Report required under Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments. SF-425. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For direct procurement contracts, audits will be conducted in accordance with Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-0605-0-1-401.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - States are spending down their Section SAFETEA-LU 408 awards. No new money since FY 2012.

Range and Average of Financial Assistance:

The ranges were \$500,000-\$2,344,000.

TAFS Codes:

69-0605.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No new funds. States are spending previously obligated funds. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Public Law 109-59; 23 U.S.C. 408.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Mary D. Gunnels, Ph.D. 1200 New Jersey Avenue, S.E., NRO-100, Washington, District of Columbia 20590 Email: maggi.gunnels@dot.gov Phone: (202) 366-2121.

Website Address:

<http://www.nhtsa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No new projects for 2016. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

SAFETEA-LU provided that a State may qualify for a grant under the section 406 by demonstrating that it had an established Traffic Records Coordinating Committee (TRCC), a multi-year safety data and traffic records strategic plan

approved by the TRCC, certify that the State has adopted and is using the Model Minimum Uniform Crash Criteria (MMUCC) or certify that it will use grant funds toward adopting and using the most elements practicable. To qualify in subsequent years, a State certified that an assessment or audit of the State traffic records system had been conducted in the past five years, certified that the TRCC continues to support and operate the multi-year plan and submit a report showing measurable progress in the implementation of the multi-year plan.

20.611 INCENTIVE GRANT PROGRAM TO PROHIBIT RACIAL PROFILING

(Section 1906)

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 1906, Public Law 109-59, 23 U.S.C 1096.

OBJECTIVES:

Encouraged States to enact and enforce laws that prohibit the use of racial profiling in the enforcement of traffic laws on Federal-aid highways, and to maintain and allow public inspection of statistics on motor vehicle stops.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Incentive grant funds were available to States to enact and enforce laws to prohibit racial profiling, in compliance with the following established criteria. Law States may use Section 1906 grant funds for: collecting and maintaining data on traffic stops; evaluating the results of such data; and developing and implementing programs to reduce the occurrence of racial profiling, including programs to train law enforcement officers. Assurances States may use Section 1906 grant funds for: funding activities to prohibit racial profiling in the enforcement of State laws regulating the use of Federal-aid highways; collecting, maintaining and providing public access to traffic stop data; evaluating the results of such data; and developing and implementing programs to reduce the occurrence of racial profiling, including programs to train law enforcement officers. A State may not receive a grant for more than two fiscal years if it is qualifying for the grant as an Assurances State. A State could not receive a grant for more than two fiscal years if it is qualifying for the grant as an Assurances State.

Applicant Eligibility:

This Grant is available to the 50 states, the District of Columbia, Puerto Rico, the Virgin Islands, Guam American Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

State Highway Safety agencies.

Credentials/Documentation:

A State submitted certification that it met eligibility requirements; after being informed by NHTSA that it is eligible for a grant, the State submitted to the agency a plan that describes the programs the State will implement using the funds. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All certifications must be submitted by July 1 for each fiscal year. To apply for grant funds in a fiscal year, a Law State must submit the certification required by Federal

Register, Vol. 71, No. 22, Thursday, February 2, 2006, Appendix 1 and an Assurances State must submit the certification required by Federal Register, Vol. 71, No. 22, Thursday, February 2, 2006, Appendix 2, signed by the Governor's Representative for Highway Safety, to the appropriate NHTSA Regional Administrator.

Award Procedure:

A State submits certification that it meets eligibility requirements; after being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Certifications must be submitted each year; however, a State may not receive a grant for more than two fiscal years if it is qualifying for the grant as an Assurances State.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The Annual Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

(Formula Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - States are spending down their Section SAFETEA-LU awards.

Range and Average of Financial Assistance:

Grant award ranged from \$885,460- \$454,170. Average grant was for \$668,544.

TAFS Codes:

69-8020.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 1906, Pub. L.109-59, as amended, 23 U.S.C. 402. Federal Register, Vol. 71, No. 22, Thursday, February 2, 2006.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Mary D. Gunnels, Ph.D. 1200 New Jersey Avenue, S.E., NRO-100, Washington, District of Columbia 20590 Email: maggi.gunnels@dot.gov Phone: (202) 366-2121.

Website Address:

<http://www.nhtsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

SAFETEA-LU provides that a State may qualify for a grant under the Section 1906 Program in one of two ways: (a) by enacting and enforcing a law that prohibits the use of racial profiling in the enforcement of State laws regulating the use of Federal-aid highways and maintaining and allowing public inspection of statistical information on the race and ethnicity of the driver and any passengers for each such motor vehicle stop made by a law enforcement officer on a Federal-aid highway (a Law State); or (b) by providing satisfactory assurances to the Secretary that the State is undertaking activities to prohibit racial profiling and to maintain and provide public access to data on the race and ethnicity of the driver and passengers for each motor vehicle stop made by a law enforcement officer on a Federal-aid highway (an Assurances State).

20.612 INCENTIVE GRANT PROGRAM TO INCREASE MOTORCYCLIST SAFETY

(Section 2010)

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2010, Public Law 109-59.

OBJECTIVES:

Encouraged States to adopt and implement effective programs to reduce the number of single and multi-vehicle crashes involving motorcyclists.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

As specified in SAFETEA-LU, a State used Section 2010 grant funds only for motorcyclist safety training and motorcyclist awareness programs, including: (1) Improvements to motorcyclist safety training curricula; (2) Improvements in program delivery of motorcycle training to both urban and rural areas (including procurement or repair of practice motorcycles; instructional materials; mobile training units; and leasing or purchasing facilities for closed-course motorcycle skill training); (3) Measures designed to increase the recruitment or retention of motorcyclist safety training instructors; and (4) Public awareness, public service announcements, and other outreach programs to enhance driver awareness of motorcyclists. A State used Section 2010 grant funds only for motorcyclist safety training and motorcyclist awareness programs, including: (1) Improvements to motorcyclist safety training curricula; (2) Improvements in program delivery of motorcycle training to both urban and rural areas (including procurement or repair of practice motorcycles; instructional materials; mobile training units; and leasing or purchasing facilities for closed-course motorcycle skill training); (3) Measures designed to

increase the recruitment or retention of motorcyclist safety training instructors; and (4) Public awareness, public service announcements, and other outreach programs to enhance driver awareness of motorcyclists.

Applicant Eligibility:

This Grant was available to the 50 states, the District of Columbia, and Puerto Rico.

Beneficiary Eligibility:

State Highway Safety Agencies.

Credentials/Documentation:

Eligibility for the Section 2010 grants were based on 6 specified grant criteria. To qualify for a Section 2010 grant for the first fiscal year the State seeks to qualify, it must demonstrate compliance with at least 1 of the 6 grant criteria. To qualify for a Section 2010 grant for the second and subsequent fiscal years that it seeks to qualify, a State must demonstrate compliance with at least 2 of the 6 grant criteria. A State would submit information unique to each criterion for which it chooses to demonstrate compliance. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply for grant funds in a fiscal year, a State submitted a completed application to the appropriate NHTSA Regional Administrator by the due date. NHTSA reviewed each State's application for compliance with Section 2010 and notify qualifying States in writing of grant awards. Upon initial review of the application, the proposed procedures would allow NHTSA to request additional information from the State prior to making a determination of award in order to clarify compliance with the statutory criteria and grant application procedures. SAFETEA-LU specifies that the amount of a grant made to a State for a fiscal year under this grant program may not be less than \$100,000 and may not exceed 25 percent of the amount apportioned to the State for fiscal year 2003 under section 402 of title 23, United States Code. However, the release of the full grant amounts under Section 2010 were subject to the availability of funding for each fiscal year.

Award Procedure:

NHTSA reviewed each State's application for compliance with Section 2010 and notify qualifying States in writing of grant awards. Once the State is notified, it will submit to the agency a plan that describes the programs the State will implement using the funds. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation were available until expended.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not Applicable.

Renewals:

Certifications were submitted each year showing that changes have not been made to any information related to the criterion or criteria previously satisfied. A State may certify each subsequent year of the grant program.

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter 402.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. SF-425. No progress reports are required. Expenditure reports are entered directly into the ROPD Grants Management System. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

(Formula Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - In FY 2016 no new grant awards made using SAFETEA-LU funds.

Range and Average of Financial Assistance:

The range for these grants are \$100,000 - \$482,959.

TAFS Codes:

69-8020.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2010, Public Law 109-59. Regulations are forthcoming and were published in the 23 CFR Part 1350.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Mary D. Gunnels, Ph.D. 1200 New Jersey Avenue, S.E., NRO-100, Washington, District of Columbia 20590 Email: maggi.gunnels@dot.gov Phone: (202) 366-2121.

Website Address:

<http://www.nhtsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

SAFETEA-LU provided that a State qualify for a grant under the Section 2010 by satisfying one or more of six criteria. States must satisfy one criterion in the first year and two criteria in the subsequent years. In sum, the criteria are: (1) offering effective motorcycle rider training courses, (2) developing an effective motorcyclists awareness program, (3) showing a reduction in of fatalities and crashes involving motorcycles, (4) implementing an impaired driving program, (5) showing a reduction of fatalities and accidents involving impaired motorcyclists, and/or (6) showing that all fees collected from motorcyclists are used for motorcyclists safety training and motorcycle awareness. A State must

submit documents unique to each criterion the State wishes to qualify showing how the State demonstrates compliance with the criterion.

20.613 CHILD SAFETY AND CHILD BOOSTER SEATS INCENTIVE GRANTS

(Section 2011)

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2011, Public Law 109-59, 23 U.S.C 402.

OBJECTIVES:

To encourage States to enact and enforce a child restraint law that requires children up to 65 pounds and under 8 years of age to be properly restrained in a child restraint, unless they are 4' 9" tall.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

No more than 50 percent of the grant a State received in a fiscal year was used to fund programs for purchasing and distributing child safety seats and restraints to low income families. The remaining amounts can be used to carry out child safety seat and child restraint program, including the following: enforcement of child restraint laws; training child passenger safety professionals, police officers, fire and emergency medical personnel, educators and parents concerning child safety seats and child restraints; and educating the public concerning the proper use and installation of child safety seats and child restraints. No more than 50 percent of the grant a State received in a fiscal year was used to fund programs for purchasing and distributing child safety seats and restraints to low income families.

Applicant Eligibility:

The grant program was available to the 50 States, the District of Columbia and Puerto Rico.

Beneficiary Eligibility:

State Highway Safety Agencies.

Credentials/Documentation:

States submitted certifications that met eligibility requirements no later than July 1 of each fiscal year. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Certifications, signed by the Governor's Representative for Highway Safety, are due no later than July 1 of each fiscal year to the appropriate NHTSA Regional Administrator. To apply for grant funds in a fiscal year, a New or Changed Law State must submit the certification required by Federal Register, Vol. 71, No. 20, Tuesday, January 31, 2006, Appendix 1 and an Unchanged Law State must submit the certification required by Federal Register, Vol. 71, No. 20, Tuesday, January 31, 2006, Appendix 2, signed by the Governor's Representative for Highway Safety, to the appropriate NHTSA Regional Administrator.

Award Procedure:

A State submitted certification met eligibility requirements; after being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. Federal share is reimbursed on claims submitted in vouchers covering

costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. NHTSA notifies the Governor in a letter that the State has met the requirements for a Section 2011 grant. The letter also described the amount of the grant award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not Applicable.

Renewals:

Certifications must be submitted each year no later than July 1 of that fiscal year.

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter 402.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering the eligible costs incurred. All participants have converted to the Electronic Transfer of Funds method. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. Grants Tracking System. The Annual Evaluation Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments of the Section 2011 grant program. Grants Tracking System. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years, following submission of a final expenditure report and other project deliverables, all product contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - No new funds will be authorized. This program ended with SAFETEA-LU. MAP-21 replaced SAFETEA-LU. States are in the process of spending down these funds. No new funds are available.

Range and Average of Financial Assistance:

The range was normally \$100,000 - \$700,000.

TAFS Codes:

69-8020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No new funds will be authorized. This program ended with SAFETEA-LU. Fiscal Year 2017: No new funds will be authorized. This program ended with SAFETEA-LU. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for

Users (SAFETEA-LU) of 2005, Section 2011, Public Law 109-59, as amended, 23 U.S.C. 402. Federal Register, Vol. 71, No. 20, Tuesday, January 31, 2006.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Mary D. Gunnels, Ph.D. 1200 New Jersey Avenue, S.E., NRO-100, Washington, District of Columbia 20590 Email: maggi.gunnels@dot.gov Phone: (202) 366-2121.

Website Address:

<http://nhtsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A certification from the Governor's Representative that the State is enforcing a child restraint law that conforms to the criteria established in Section 2011.

20.614 NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION (NHTSA) DISCRETIONARY SAFETY GRANTS AND COOPERATIVE AGREEMENTS

Highway Safety Research, Education, and Enforcement

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Highway Safety Act of 1966, as amended, and Public law 109-59 the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) and as amended by Public law 112-140 the Moving Ahead for Progress in the 21st Century Act (MAP-21), Public Law 112-140, 23 U.S.C 403.

OBJECTIVES:

(1) Conduct research on all phases of highway safety and traffic conditions, including vehicle crash causation, highway or driver characteristics, communications, and emergency care; (2) Conduct ongoing research into driver behavior and its effect on traffic safety; (3) Conduct research on, launch initiatives to counter, and conduct demonstration projects on fatigued driving by drivers of motor vehicles and distracted driving in such vehicles, including the effect of electronic devices and other factors have on driving; (4) Conduct training or education programs in cooperation with other federal departments and agencies, States, private sector persons, highway safety personnel, and law enforcement personnel; (5) Conduct research on, and evaluate the effectiveness of, traffic safety countermeasures, including seat belts and impaired driving initiatives; (6) Conduct research on, evaluate, and develop best practices related to driver education programs (including driver education curricula, instructor training and certification, program administration and delivery mechanisms) and make recommendations for harmonizing driver education and multistage graduated licensing systems; (7) Conduct research, training, and education programs related to older drivers; (8) Conduct demonstration projects; and (9) Conduct research, training, and programs relating to motorcycle safety, including impaired operating and conduct safety research into drugs and driving behavior.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

The grants and cooperative agreements can only be used for those projects as authorized under Section 403 of Public law 112-140. The grants and cooperative agreements can only be used for those projects as authorized under Section 403 of Public law 112-140. All projects falling within this CFDA are subject to 100% discretionary funding.

Applicant Eligibility:

Eligibility requirements will be specified on a project-by-project basis.

Generally speaking, and historically, projects have been made available to the following types of organizations: Intrastate, Local, Sponsored Organization, Public nonprofit institution/organization, Other public institution/organization, Federally Recognized Indian Tribal Government, U.S. territory or possession, Specialized Group, Small Business, Profit Organization, Private nonprofit institution/organization, Quasi-public nonprofit institution/organization, Other private institution/organization, Native American Organization,.

Beneficiary Eligibility:

Intrastate, State, Local, Sponsored Organization, Public Nonprofit Institution/Organization, Other Public Institution/organization, Federally Recognized Indian Tribal Government, U.S. territory or possession, Specialized Group, Small Business, Profit Organization, Private nonprofit institution/organization, Quasi-public nonprofit institution/organization, Other private institution/organization, Native American Organization, Anyone/General Public, Health Professional, Scientist/Researcher, Consumer, Minority Group, Handicapped, Youth, Senior Citizen,.

Credentials/Documentation:

In addition to the specific documentation requirements which are stipulated in detail with each announcement of a discretionary grant or cooperative agreement opportunity, applicants will also be required to execute the following certifications: 49CFR Part 20 Restrictions on Lobbying, 49 CFR Part 29, U.S.DOT Government-wide Requirement for Drug Free Work Place, SF-424B Assurances for Non-Construction Programs, 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application Procedures vary depending upon the nature of the assistance. For awards made without competition, application procedures will be provided directly to the prospective grantee as part of an invitation to submit an application. For competitive awards, application procedures will be posted on Grants.gov.

Award Procedure:

Competitive project grants and cooperative agreement applications must be submitted to the National Highway Traffic Safety Administration via the grants.gov website. Project grants and cooperative agreements which are to be awarded without competition shall be submitted directly to the NHTSA point of contact identified for that financial assistance opportunity. Grantees will be required to complete the suite of SF 424 forms, representations and certifications, project plan and budget. NHTSA's Office of Acquisition Management in cooperation with the applicable NHTSA program office will review project plans and budgets. Awards will be based upon the application of defined evaluation factors to applicants project plans and upon analysis of applicants project budgets.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

None. The deadlines shall be established for each grant or cooperative agreement application package. Refer to deadlines established for each Request for Application as presented in Grants.gov.

Appeals:

Not Applicable.

Renewals:

Renewals and/or extensions vary on a project by project basis. Some discretionary grants and cooperative agreements may include option periods.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length is specific to each grant or cooperative agreement and typically does not exceed five (5) years. Depending upon the type of federal funding applied to the grant or cooperative agreement, the period of funding availability may or may not be restricted. See the following for information on how assistance is awarded/released: Monthly/Quarterly to coincide with submission of invoices.

Financial assistance is released, on a cost-reimbursement basis, upon receipt and approval of monthly or quarterly invoices and supporting project progress reports.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports are required as well as a final report. At very least, grantee must submit reports which describe progress made during the preceding reporting period. Progress reports will also identify any plans for the upcoming reporting period, any problems that the grantee may be experiencing and any additional assistance it may need from the government. For Discretionary Grants, performance is typically monitored through review of quarterly progress reports. Cooperative Agreements are monitored through progress reports and any other method specified in the agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Records are due in accordance with the terms and conditions of each grants or cooperative agreement. Records will be retained in accordance with provisions of OMB Circular No. 2 CFR 200 and Commercial Organizations and in accordance with 15 CFR Part 24 for State and Local Governments.

Account Identification:

69-0650-0-1-401.

Obligations:

(Project Grants) FY 16 \$31,058,580; FY 17 est \$27,606,000; and FY 18 est \$0

Range and Average of Financial Assistance:

The range for these grants are \$100,000 - \$482,959.

TAFS Codes:

69-0650.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: During Fiscal Year 2016, A total of 15 discretionary cooperative agreements were awarded from 19 applications received.

Accomplishments included:

Provided assistance to the National Association of Drug Court Professionals (NADCP) to train 174 participants on how to establish new DWI Courts, provided assistance to support refresher training for 187 participants, provided assistance to support 76 participants in receiving regional DWI Court trainings, conducted quarterly webinars, support 4 Academy Courts in educating judges on DWI Courts, and presented at dozens of conferences, and state meetings.

Provided assistance to the National Traffic Law Center to conducted over 75 training courses for prosecutors, provided direct technical assistance to 558 prosecutors, provided website for DWI prosecutors that had 513,490 web hits, 500,000 of those accessed.

Assisted the Health Resources and Services Administration (HRSA) EMS for Children Program. The program supports a 16-month Cooperative Agreement with the National Association of State Emergency Medical Services Officials (NASEMSO) to help build and promote the national EMS system. The Grantee provides national leadership to help define and drive the future of EMS; develops resources for State EMS offices; and, gives technical assistance to help States improve regional and local EMS services. NHTSA awarded

cooperative agreements to the Florida Department of Transportation, and the Tennessee Governor's Highway Safety Office to support Focus cities in their States with implementing education and enforcement components of their local Pedestrian and Bicycle Safety Action Plan. Each State will identify State management processes and approaches that provide ongoing support for local communities to strategically address pedestrian and bicyclist safety with education and enforcement efforts.

Assisted the International Association of Chiefs of Police (IACP) - with the promotion of priority highway safety programs, e.g. Click It Or Ticket and Drive Sober or Get Pulled Over; publication of articles in Police Chief Magazine to advance traffic safety, coordination with external organizations, e.g. American Association of Motor Vehicle Administrators (AAMVA), Governors Highway Safety Association (GHSA) on aligned highway safety concerns, development of an updated Highway Safety Desk Book to provide law enforcement leaders with comprehensive information and strategies for responding to highway safety issues, hosting of conferences and meeting with a focus on highway safety and drug impaired driving interdiction. Fiscal Year 2017: During Fiscal Year 2017, it is estimated that 74 discretionary cooperative agreements will be awarded from 77 applications received. During this Fiscal Year, planned accomplishments included: Working with various non-profit organizations to develop Emergency Management Services quality performance measures and to provide tools to measure and improve trauma care.

Provide assistance to the Safe States Alliance to build on existing relationships between the traffic safety and public health injury and violence prevention communities in a two-part approach to (1) Develop three actionable deliverables to guide the traffic safety and public health communities in problem identification and strategies to address shared risk and protective factors; and (2) Conduct targeted, intensive training and technical assistance to select state/local-level injury prevention programs on traffic safety issues.

Assisting the Family, Career and Community Leaders of America (FCCCLA) with a new approach and strategy for reaching middle school families, especially those based in rural communities, with information and activities focused on the importance of seat belt use. Since middle school students are typically ages 10-14, this initiative will address the older segment of the tween population. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Highway Safety Act of 1966, as amended (23 U.S.C. Chapter 4) or Section 403 of Public Law 109-593.

Regional or Local Office:

None. NHTSA Office of Acquisition Management.

Headquarters Office:

S. Peter Shultz 1200 New Jersey Avenue, S.E., NPO-320, Washington, District of Columbia 20590 Email: Pete.shultz@dot.gov Phone: 202) 366-9561

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Develop and support enforcement and education strategies aimed at improving walking and biking safety in communities with high numbers or rates of pedestrian and bicyclist fatalities.

Enlist the assistance of employers by developing and disseminating a set of employer-focused road safety resources that can be provided to employees in the private sector.

Provide resources to assist judges and court administrators in adjudication and management of impaired driving and other highway safety cases.

Support an internship in NHTSA for the Students Against Destructive Decisions (SADD) Student of the Year. The purpose is to mentor and cultivate today's young leaders, strengthen their understanding of highway safety, and prepare them for future public service opportunities. Fiscal Year 2017: Develop and implement State programs which identify and demonstrate a sustained ability to support and work with local jurisdictions to

implement older driver safety strategies.

Renew a five-year agreement between the Federal government and the University of Iowa whereby the University will house, operate, and maintain the Federally-owned National Advanced Driving Simulator (NADS).

Support the National Organization of Black Law Enforcement Executives (NOBLE) in carrying out its mission to meet the needs of State, Tribal, and Federal law enforcement agencies through a variety of traffic safety efforts that impact African American policing issues.

Support the implementation of a program to reach middle school families, especially those based in rural communities, with information and activities focused on the importance of seat belt use. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Grantee criteria for selecting proposals will be developed on an individual grant or cooperative agreement basis by the NHTSA Program and Acquisition Team.

20.616 NATIONAL PRIORITY SAFETY PROGRAMS

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century (P.L. 112-141), Title I- Motor Vehicle and Highway Safety Improvement Act of 2012, Section 31105, Public Law 112-141.

OBJECTIVES:

To encourage States to address national priorities for reducing highway deaths and injuries through occupant protection programs, state traffic safety information system improvements, impaired driving countermeasures, passage of effective laws to reduce distracted driving, implementation of motorcyclist safety programs, and the implementation of graduated driving licensing laws.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funding can be used to address national highway safety priority programs to reduce the number of highway deaths and injuries. Yes- Funds are available only to States, DC, Territories and the BIA and must be used as defined in the MAP-21 legislation and implementing regulation.

Applicant Eligibility:

With the exception of the Motorcyclist Safety Program, the 50 States, District of Columbia, Puerto Rico, U.S. territories (American Samoa, Guam, Northern Marianas, and Virgin Islands) are eligible for funding. Under the Motorcyclist Safety Program, the 50 States, District of Columbia, and Puerto Rico are eligible for grant awards.

Beneficiary Eligibility:

Funding is provided to State Highway Safety agencies.

Credentials/Documentation:

A State submits certification and application materials that meet eligibility requirements. After being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application materials and applicable certifications, signed by the Governors

Representative for Highway Safety, are due to NHTSA on July 1 of each year.

Award Procedure:

Applications are reviewed by subject matter experts to determine if the State meets the specified eligibility requirements. After being informed that the State has been approved for grant award, the State submits to the agency a plan that describes the programs the State will implement using the funds. NHTSA notifies the Governor in a letter that the State has met the requirements for a Section 405 grant award. The letter also describes the amount of the grant award. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

MAP-21 authorizes the National Priority Safety Programs for FY 2014 & FY 2015.

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter 4, Part 405.

Matching Requirements: Percent: 80%. For all program under Section 405, the Federal share of the costs of the activities funded using amounts from grants awarded under this subsection may not exceed 80 percent for each fiscal year for which a State receives a grant.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The Federal share is reimbursed on claims submitted in vouchers covering costs incurred; all participants have converted to the electronic transfer of funds method of payment. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

Funding recipients are required to provide an annual program report detailing program activities and accomplishments. Cash reports are not applicable. Funding recipients are required to provide an annual program report detailing program activities and accomplishments. Voucher and back up documentation as defined in NHTSAs implementing regulation. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

(Formula Grants) FY 16 \$270,230,987; FY 17 est \$0; and FY 18 est \$0 - In FY 2016, States spent a total of \$270,230,987.01 in Section 402 funds authorized by MAP-21.

Range and Average of Financial Assistance:

\$33,125 - \$8,046,147.33.

TAFS Codes:

69-8020-69.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 2016 States are spending their grant funds in accordance with the regulations published in the Federal Register January 23, 2013 as an Interim Final Rule. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Moving Ahead for Progress in the 21st Century (P.L. 112-141), Title I- Motor Vehicle and Highway Safety Improvement Act of 2012., Section 31105; to be codified in 23 USC, chapter 4, section 405.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for addresses of Regional Offices of the National Highway Safety Administration.

Headquarters Office:

Mary D. Gunnels, Ph.D. 1200 New Jersey Avenue, S.E., NRO-100, Washington, District of Columbia 20590 Email: maggi.gunnels@dot.gov Phone: (202) 366-2121.

Website Address:

<http://nhtsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: 47 States, DC and 2 Territories were awarded Occupant Protection grants; 50 States, DC, PR and 4 territories received Data grants; 50 States, DC and PR received Impaired Driving grants; 5 States were awarded Ignition Interlock grants; 1 State qualified for a Distracted driving grant; 45 States and PR received Motorcyclist Safety grants; and no States qualified for a graduated Driver Licensing Law grant. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria outlined in statute and regulation and vary for each program.

- (a) Occupant Protection: meet requirements for a high or low seat belt use categories.
- (b) State Traffic Safety Information System Improvements: have traffic records coordinating committee that meet 3 times a year; designated TRCC coordinator; an approved State traffic records strategic plan; certify that assessment was done in preceding 5 years; and demonstrate quantitative measureable progress to program attribute of accuracy, completeness, timeliness, uniformity, accessibility or integration.
- (c) Impaired Driving Countermeasures: meet requirements for low-range state, mid-range state, or high-range state; State can also receive funding for adoption and enforcement a mandatory alcohol-ignition interlock law.
- (d) Distracted Driving Grant: enacts and enforces a law that prohibits texting while driving or prohibits youth cell phone use while driving.
- (e) Motorcyclist Safety: meet 2 of the following criteria; motorcycle rider training course, motorcyclist awareness program, reduction of fatalities and crashes involving motorcycles, impaired driving program, reduction of fatalities and accidents involving impaired motorcyclists, and fees collected from motorcyclists for motorcycle programs
- (f) State graduated driver licensing incentive grant: State has a graduated driver licensing law that requires novice drivers younger than 21 years of age to comply with the 2-stage licensing process.

20.700 PIPELINE SAFETY PROGRAM STATE BASE GRANT**FEDERAL AGENCY:**

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Natural Gas Pipeline Safety Act of 1968; Revision of Title 49, US Code, Annotated, Transportation, Public Law 103-272.

OBJECTIVES:

To develop, support and maintain inspection and enforcement activities for State gas and hazardous liquid pipeline safety programs.

TYPES OF ASSISTANCE:**FORMULA GRANTS****USES AND USE RESTRICTIONS:**

The Federal Pipeline Safety Law authorizes Federal reimbursement of up to 80 percent of a State's expenditure during the year for personnel, equipment, and activities reasonably required by the State agency for the conduct of its pipeline safety program. The Law further stipulates the State expenditures in any given year, without Federal assistance, cannot be less than the average amount expended by the State for gas and hazardous liquid safety programs for the 3 fiscal years prior to the fiscal year in which the Secretary makes the payment, except when the Secretary waives this requirement. The State agency must also agree to provide the remaining cost of the safety program(s). Within these limitations, the available funds are allocated by a method designed to reflect the degree to which a State has met certain established goals. The allocation is determined by assigning point values to the States for having achieved certain levels of program participation, dividing this point score by the sum of the States' point scores, and multiplying this ratio by the funding amount available for the distribution. PHMSA distributes remaining funds according to certain criteria designed to seek improvements in State programs. The criteria used by PHMSA is the extent of intrastate jurisdiction, inspector qualifications, recommended number of inspection person-days, State adoption of applicable Federal regulations, and other relevant criteria. In 2016, the Federal allocations represented 67.42 percent of the total estimated State requests in the natural gas program. The Federal allocation in the hazardous liquid program represented 67.34 percent of the total estimated State requests. Funds must be dedicated to the Certified Pipeline Safety Program and are based on the State performance scores.

Applicant Eligibility:

State must have a 60105 Certification or 60106 Agreement with PHMSA. The Department provides Federal funds (limited to congressional appropriations), up to 80 percent of the State's total program costs to any State agency with a certificate under Section 60105 of Title 49, United States Code, an agreement under Section 60106 of Title 49, United States Code, or to any State acting as a DOT agent on interstate pipelines.

Beneficiary Eligibility:

State, District of Columbia, and Puerto Rico.

Credentials/Documentation:

Appropriate State legal official must approve legality of agency application and agreement. Costs will be determined in accordance with 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements, and "Guidelines for States Participating in the Pipeline Safety Program" Application (plan) due date is September 30. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each State submits with its annual application a summary of estimated program costs by calendar year covering the State gas and/or hazardous liquid pipeline safety activities to PHMSA. The State Certifies it is complying with the requirements of the Certification and/or Agreements under 49 USC Sections 60105 and 60106. The estimated budgets and Certification/Agreements are reviewed by State Program Staff for reasonableness and completeness. Applications are due by September 30th of each year. All State Programs Certifying to PHMSA they are meeting the program requirements applications are accepted. The Certification and/or Agreement requirements are specified in 49 USC Sections 60105(b) and 60106(a). If a States Certification or Agreement is not accepted the State is notified in writing of the reason for rejection.

Also see: 2 CFR 200 at

http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02

.tpl

Award Procedure:

Awards are made by the Secretary of Transportation by approving the grant award amounts. PHMSA makes the Grant Application available to each state agency in August of each year. The deadline for filing this application is September 30th. (Note: This deadline is established in 49 USC 60107). Grant Applications are submitted on-line through the Federal State Tracking and Reporting FedSTAR) database. Grant Applications are reviewed by PHMSA State Program staff to assure the costs submitted are necessary and reasonable expenses for the proper and efficient administration of the pipeline safety program. The appropriated grant funding, contingent on an enacted budget, is allocated (awarded) to individual State Pipeline Safety Programs in May based on each States most recent Program Evaluation and Progress Report scores and the grant allocation formula.

Payment Agreements are sent to the individual state agencies in May notifying them of the amount of the State Base Grant available to them to pay the individual states cost for the calendar year. States may request reimbursement of their program costs based on actual expenses "to date" in June (Mid-year Payment). In January of each year, PHMSA makes available through FedSTAR the OMB Standard Form 270 - Request for Advance or Reimbursement to each State agency for submission of their total pipeline safety program costs for the previous calendar year. Requests for reimbursement (End of Year Payments) submitted by each State agency are reviewed and approved by PHMSA before being forwarded to the DOT accounting office for payment. Grant funds will be electronically deposited into a bank account established for receipt of Federal funds.

Deadlines:

Aug 15, 2017 to Sep 30, 2017 Applications are due by September 30 for the next calendar year.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days from date of receipt.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 49 C.F.R., Part 198, Subpart 13.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Expenditures made by a State during the calendar year are eligible for Federal reimbursement. States receiving Federal assistance submit claims for reimbursement in February for the Federal share of the cost of actual expenditures between January 1 and December 31 of the year. See the following for information on how assistance is awarded/released: Electronic Funds Transfer.

Reports:

No program reports are required. SF-270. An annual detailed progress report is required outlining each programs jurisdiction status, operators inspected, inspection days completed, compliance activities, incidents investigated and program accomplishments. SF-270 End of Year Payment Report. List State Actual Costs and reasons for deviation from estimated program costs. Program Evaluation and Progress Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records relating to the program shall be retained for a period of not less than

3 years from the date of submission of the End of Year Payment Report.

Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl.

Account Identification:

69-5172-0-2-407.

Obligations:

(Formula Grants (Health Incentive Grants)) FY 16 \$47,882,989; FY 17 est \$46,559,000; and FY 18 est \$0

Range and Average of Financial Assistance:

The range of grants awarded \$ \$16,283 - \$. \$5,023,033 Average -- \$. \$769,976.

TAFS Codes:

69-5172.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: PHMSA received fifty applications from the State agencies for calendar year 2016 Natural Gas and Hazardous Liquid Safety Programs. Fiscal Year 2017: For calendar year 2017 PHMSA received 49 applications from the State agencies for the Gas program and 14 applications from the State agencies for the Hazardous Liquid Safety Program. Budget Fiscal Year: 2018. Fiscal Year 2018: No current data is available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 190, 191, 192, 193, 195, 198 and 199. Individual copies available from the Training and Qualifications Center, Pipeline Safety Program, Oklahoma City, Oklahoma 73125. "Procedural Guide for the Pipeline Safety Grant Program." "Guidelines for States Participating in the Pipeline Safety Program." "Guidance Manual for Operators of Small Gas Systems."

Regional or Local Office:

None.

Headquarters Office:

Zach Barrett, 1200 New Jersey Avenue, S.E, Washington, District of Columbia 20590 Phone: (405) 834-8344.

Website Address:

<http://www.phmsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: There were 50 State Pipeline Safety Programs that participate in the grant resulting in safety oversight for over 2 million miles of pipeline. The State Base Grant is to support State Pipeline Safety Programs which are certified through PHMSA to inspect and enforce the pipeline safety regulations under the States safety authority. All States currently participate in the pipeline safety program except Alaska and Hawaii. This is a recurring grant which has been in place for over 30 years. The Grantees are provided with specific notification of the grant availability and webinars supporting their grant applications Budget Fiscal Year: Fiscal Year 2017: There are 50 State Pipeline Safety Programs that participate in the grant resulting in safety oversight for over 2 million miles of pipeline. The State Base Grant is to support State Pipeline Safety Programs which are certified through PHMSA to inspect and enforce the pipeline safety regulations under the States safety authority. All States currently participate in the pipeline safety program except Alaska and Hawaii. This is a recurring grant which has been in place for over 30 years. The Grantees are provided with specific notification of the grant availability and webinars supporting their grant applications. Fiscal Year 2018: No current data is available.

CRITERIA FOR SELECTING PROPOSALS:

All reasonable expenses from Certified State pipeline safety regulatory agencies are considered for funding to the limit of available grant funding.

Indirect cost reimbursement is limited to 20% of the actual total direct program costs per the Guidelines for States Participating in the Pipeline Safety Program. This is a formula grant and funding is distributed among Certified State Pipeline Safety Programs based on their performance scores.

Pipeline Safety Grants are awarded based on the following formula:

Grant Award = State Budget Amount*State Performance Score*Percent Allocation

Where Percent Allocation(80%Maximum)= Total Available Funding/Sum of All Programs (State Score*State Budget)

State Budget Amount = Calendar Year Budget Estimate Submitted by each State with Grant Application

Annual State Performance Score Outlined in Section 9.7.2

Percent Allocation This is the maximum percentage of total program costs that can be applied to each grantee. There is an 80% maximum funding limited required by Section 60107 of the Pipeline Safety Act. This formula provides for an equal distribution of available funds in the event appropriated funding is less than required for maximum funding.

Total Available Funding - This is the annual funding provided by congressional appropriation. This would also include any unexpended (carryover) funds available from previous fiscal years.

The combined sum of each State Performance Score multiplied by each State Budget Estimate for all Natural Gas and Hazardous Liquid programs.

20.701 UNIVERSITY TRANSPORTATION CENTERS PROGRAM FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

The Moving Ahead for Progress in the 21st Century Act (MAP21), Title 49, Part 5505(b)(4)(B) , Public Law 112-Sec. 52009 ; Fixing Americas Surface Transportation (FAST) Act, Title 49 , Part 5505(b)(4)(B) , Public Law 114-6061.

OBJECTIVES:

To provide grants to nonprofit institutions of higher learning for the purpose of establishing and operating university transportation centers that conduct research, education, and technology transfer programs addressing regional and national transportation issues.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The University Transportation Centers will address surface transportation problems and issues and will seek solutions to both long-range and immediate transportation problems. See below for information about grant matching requirements.

Applicant Eligibility:

For the program's competitive grants, public and private nonprofit institutions of higher learning that have established transportation research programs. Non-competitive grants must also be to public and private nonprofit institutions of higher learning but may or may not have established programs.

Beneficiary Eligibility:

For the program's competitive grants, public and private nonprofit institutions of higher learning that have established transportation research programs. Non-competitive grants must also be to public and private nonprofit institutions of higher learning but may or may not have established programs.

Credentials/Documentation:

A formal application outlining in detail how the institution meets program mission and goals; proposed management of the center; detailed delineation of organization, staff, faculty, and budget. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An Application for Federal Assistance (SF 424) was submitted by each grantee through Grants.gov for the balance of Federal Funding for Fiscal Year 2015, under MAP-21, which was awarded to 35 grantees in the first quarter of FY 2016 (December 2016)

Fixing Americas Surface Transportation (FAST) Act

A full and open competitive selection process for new grants is currently underway. Selection will be based on having the following: 1) one grant in each of ten standard Federal regions of the U.S.; 2) one national UTC to lead research in each of the six research priority areas; and 3) up to 20 Tier 1 grants with no locational restrictions.093 Award Procedure:

An Application for Federal Assistance (SF 424) is to be submitted by each grantee through Grants.gov prior to the deadline for submission. Proposals received under the FAST Act solicitation will be evaluated by knowledgeable and experienced subject matter experts drawn from the transportation research community and the US DOT The selection will be made based on reviewers recommendations forwarded to a committee comprised of the Assistant Secretary for Research and Technology, the Administrator of the Federal Highway Administration, and other US DOT modal administrators as appropriate. This committee will present recommended recipients to the Secretary of Transportation of final decision.

Award Procedure:

Proposals received for the recompetete solicitation will be evaluated by a Departmental panel on the basis of demonstrated ability toward the current goals of the Department. The selection will be made by the Assistant Secretary for Research and Technology.

Deadlines:

Jun 12, 2017 to Aug 18, 2017: Regions 1, 2 and 3 have a proposal submission deadline of August 18, 2017.

Range of Approval/Disapproval Time:

Approximately 4 months.

Appeals:

Not Applicable.

Renewals:

MAP-21 Grants - grantees received the final four-months worth of Federal Fiscal Year 2015 funding in December 2016.

FAST Act Grants - grants will be renewable each year upon satisfactory completion of prior-year work subject to the availability of authorized funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Regional and national grants = 100%; Tier 1 = 50%. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Three, four, or five years as designated in the authorizing legislation. Method of awarding/releasing assistance: by letter of credit.

Reports:

Final technical report at the end of each research project. SF-270 (Request for Advance or Reimbursement). Semi-annual and annual progress and financial reports. SF-425 (Financial Reporting Form). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Consistent with OMB Circular No. 2

CFR 200.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-8083-0-7-401; 69-1136-0-1-401.

Obligations:

(Project Grants) FY 16 \$72,500,000; FY 17 est \$75,000,000; and FY 18 est \$75,000,000 - FAST ACT.

Range and Average of Financial Assistance:

The Department competitively selected five national UTCs with an award of \$3 million each, ten regional UTCs with an award of \$2.75 million each, and up to 20 Tier I UTCs with an award of \$1.5 million each.

TAFS Codes:

69-1136; 69-8083.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Accomplishments of the UTC Program are expected to continue advancing the capabilities of the nations universities to conduct needed transportation research on local, regional and national issues, and educate the future transportation workforce, while continuing to enhance the degree of collaboration between and among universities, develop partnerships with state and local government. Fiscal Year 2017: Accomplishments of the UTC Program are expected to continue advancing the capabilities of the nations universities to conduct needed transportation research on local, regional and national issues, and educate the future transportation workforce, while continuing to enhance the degree of collaboration between and among universities, develop partnerships with state and local government. Fiscal Year 2018: Accomplishments of the UTC Program are expected to continue advancing the capabilities of the nations universities to conduct needed transportation research on local, regional and national issues, and educate the future transportation workforce, while continuing to enhance the degree of collaboration between and among universities, develop partnerships with state and local government.

REGULATIONS, GUIDELINES, AND LITERATURE:

Posted on the University Transportation Centers Program website at <http://utc.dot.gov>.

Regional or Local Office:

None.

Headquarters Office:

Denise E. Dunn, Office of the Assistant Secretary for Research and Technology, Office of Innovation, Research, and Education (RDT-30); Department of Transportation; 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Email: Denise.E.Dunn@dot.gov Phone: (202) 366-4985. Fax: 202-493-2993

Website Address:

<http://www.rita.dot.gov/utc/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Projects are required to be based on the Departments six research priority areas and include new and continued research in various disciplines that cover several modes of surface transportation. Fiscal Year 2017: Projects are based on the Departments six research priority areas and include new and continued research in various disciplines that cover several modes of surface transportation. Fiscal Year 2018: Projects will continue to be based on the Departments six research priority areas and include new and continued research in various disciplines that cover several modes of surface transportation.

CRITERIA FOR SELECTING PROPOSALS:

Resources available to conduct work of center; leadership in transportation;

established transportation programs in prior years; ability to disseminate results (full text found in authorizing legislation at 49 U.S.C.5505(b)(4)(B) as amended by Pub. L. 112141, Sec. 52009 (effective Oct. 1, 2012)).

20.703 INTERAGENCY HAZARDOUS MATERIALS PUBLIC SECTOR TRAINING AND PLANNING GRANTS

Hazardous Materials Emergency Preparedness Training and Planning Grants, (HMEP)

Hazardous Materials Instructor Training Grants (HMIT)

Supplemental Public Sector Training Grants (SPST)

Assistance for Local Emergency Response Training Grants (ALERT)

Hazardous Materials Community Safety Grants (HMCS)

FEDERAL AGENCY:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Hazardous Materials Transportation Uniform Safety Act of 1990; Revision of Title 49, U.S.C., Annotated, Transportation; Hazardous Materials Transportation Authorization Act of 1994; Consolidated and Further Continuing Appropriations Act of 2015 (P.L. 113-235) , Public Law 103-272 & 311, 49 U.S.C U.S.C. 5101.

OBJECTIVES:

Hazardous Materials Emergency Preparedness Grant (HMEP):To increase State, local, territorial and Native American tribal effectiveness to safely and efficiently handle hazardous materials accidents and incidents; enhance implementation of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA); and encourage a comprehensive approach to emergency planning and training by incorporating response to transportation standards.

Hazardous Materials Instructor Training Grant (HMIT): To train the trainer that is, to train hazmat instructors who will then train hazmat employees in the proper handling of hazardous materials.

Supplemental Public Sector Training Grants (SPST): to increase the number of hazardous materials training instructors, thereby increasing the number of training instructors available to conduct hazardous materials responder training programs for individuals with statutory responsibility to respond to hazardous materials accidents and incidents.

Assistance for Local Emergency Response Training Grant (ALERT): to increase the number of emergency responders trained to respond to incidents or accidents involving the transportation of crude oil, ethanol and other flammable liquids by rail.

Hazardous Materials Community Safety Grants (HMCS): to conduct national outreach and training programs to assist communities in preparing for and responding to accidents and incidents involving the transportation of hazardous materials, including Class 3 flammable liquids by rail; and train State and local personnel responsible for enforcing the safe transportation of hazardous materials, including Class 3 flammable liquids.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The five grant programs will be used to increase the emphasis on transportation in ongoing efforts and to improve the capability of communities to plan for and respond to the full range of potential risks posed by accidents and incidents involving hazardous materials. HMEP grants have two principal uses. First, to assist States, Territories and Native American Tribes in developing, improving and implementing emergency response plans under EPCRA; including the determination of flow patterns of hazardous materials within a State, between States and Native American lands; determining the need for regional hazardous materials response teams. Second, to stimulate support for training of public sector employees to respond to accidents and incidents involving hazardous materials.

HMIT grants will be used to ensure that hazmat employees receive an initial

and continuing understanding of the risks involved in transporting hazardous materials, the relevant requirements that have to be met, and the need for performing their duties in a way that will ensure their safety and the safety of others.

SPST grants will be used to train instructors to conduct hazardous materials response training programs, to purchase training equipment used exclusively to train instructors to conduct training programs and to disseminate information and materials necessary for the conduct of training programs.

ALERT grants will provide hazardous materials direct or web-based training for volunteer or remote emergency responders, with a focus on response activities for the transportation of crude oil, ethanol and other flammable liquids by rail.

HMCS grants will provide national outreach and training programs to assist communities in preparing for and responding to accidents and incidents involving the transportation of hazardous materials, including Class 3 flammable liquids by rail; and train State and local personnel responsible for enforcing the safe transportation of hazardous materials, including Class 3 flammable liquids. Emergency Preparedness grants are intended to support the direct costs of planning and training activities, in accordance with an approved budget, plus indirect costs at a pre-established rate. Both the award and use of funds are subject to applicable provisions of basic statutory authorities, appropriations acts, pertinent regulations, and operating policies of the Pipeline and Hazardous Materials Safety Administration (PHMSA).

Applicant Eligibility:

States, U. S. Territories and Federally recognized Native American Tribes may apply for either or both planning and training grants. The Governor or Tribal official of each eligible applicant has been asked to designate an agency responsible for managing the program. DOT will work with the designated organization.

Beneficiary Eligibility:

HMEP: 14 - State, 15 - Local, 22 - Federally Recognized Indian Tribal Governments, 23 - U.S. Territories, 43 - Student/Trainee
HMIT: 20 - Public nonprofit institution/organization, 36 - Private nonprofit institution/organization
SPST: 20 - Public nonprofit institution/organization, 36 - Private nonprofit institution/organization
ALERT: 20- Public nonprofit institution/organization, 36 - Private nonprofit institution/organization
HMCS: 20- Public nonprofit institution/organization, 36 - Private nonprofit institution/organization

All segments of the U.S. including Territories and Native American tribal populations that are involved with management of or possible exposure to hazardous materials benefit. Specifically Federal, State, and local authorities are assisted through the HMEP grant program with their responsibilities. Students and trainees in emergency response and local emergency planning activities are program beneficiaries since grant funds will be used to benefit local programs.

Credentials/Documentation:

Appropriate legal official must approve legality of agency/organization application and agreement. Costs will be determined in accordance with 2 CFR 200, Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The HMEP/HMIT/SPST/ALERT/HMCS programs are eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact his or her, State, Territory or Native American Tribe for more information on the process required to follow in applying for assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See 2 CFR 200 at: http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

Applicants are to complete and submit an application through www.grants.gov. All applications will be reviewed in accordance with the programs regulations, agency procedures, and the annual funding opportunity announcement requirements.

Award Procedure:

Grant awards will be signed by the PHMSA Associate Administrator for Hazardous Materials Safety.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 180 days.

Appeals:

Not Applicable.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 20%. HMEP grant only.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length of project periods may extend from time of initial award for 12 months, through the end of the following fiscal year; however phased accomplishments or budget periods will limit the time frame for the funds awarded. Payments will generally be reimbursable, paid based on submission of a Request For Advance or Reimbursement Form 270. See the following for information on how assistance is awarded/released: Reimbursed periodically after submission of incurred costs by grantee.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. SF-425 and SF-270. Final report accountability questions required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F, Annual audit or Management Report. See 2 CFR 200 at: http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

Records:

Records of technical and financial accomplishments must be maintained for 3 years after submission of an acceptable final Financial Status Report, or until any audit issues are resolved.

Account Identification:

69-5282-0-2-407.

Obligations:

(Project Grants (Discretionary)) FY 16 \$24,460,000; FY 17 est \$25,386,104; and FY 18 est \$26,431,000

Range and Average of Financial Assistance:

HMEP grant award ranges are \$24,000 - \$1,500,000. State and Territory HMEP awards are allocated based on a formula that accounts for risk and population.

HMIT grant award ranges are \$250,000-\$1,000,000.

SPST grant award ranges are \$100,000-\$3,500,000.

ALERT grant award ranges are estimated to be between \$500,000-\$2,500,000.

HMCS grant award ranges are estimated to be between \$200,000-\$1,000,000.

TAFS Codes:

69-5282.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Grant funds:

Trained more than 96,000 emergency responders to the NFPA 472 standard
Developed over 115 additional emergency plans
Updated over 1,200 emergency response plans
Performed over 900 emergency response exercises
Completed more than 100 Commodity Flow Studies

Published the 2016 Guidelines for Public Sector Hazardous Materials Response, Planning and Prevention/Mitigation Training (Guidelines) which constitutes one component of the overall program to provide assistance and support to state, tribal, territory and local hazardous materials training initiatives; completed through an interagency agreement with the Federal Emergency Management Agency.

Developed a new training module, the Transportation Rail Incident Preparedness and Response (TRIPR), for Flammable Liquid Unit Trains and developed resource materials to provide critical information on best practices related to rail incidents involving hazard class 3 flammable liquids, such as crude oil and ethanol. Fiscal Year 2017: Not available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements.

49 Code of Federal Regulations, Part 110, Hazardous Materials Public Sector Training and Planning Grants; A grant application kit is available from DOT that includes all guidance, regulatory and administrative requirements.

Regional or Local Office:

None.

Headquarters Office:

Aaron Mitchell 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Email: Aaron.Mitchell@dot.gov Phone: (202) 366-0579 Fax: (202) 366-3753

Website Address:

<http://www.phmsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Developing, improving, and implementing emergency plans required under the Emergency Planning and Community Right-to-Know Act of 1986

Workshops, drills, and exercises associated with hazmat emergency plans
Risk assessments to enhance plans

Example of Allowable Training Courses:

- o Hazmat Awareness, Operations, Technician, Specialist, and Refresher
- o Hazmat Incident Commander Hazmat Officer/Safety Officer Industrial Fire Fighting- (rail yards, fuel transfer facilities, and ports)

Training airline hazmat workers in the proper handling and transporting of hazardous material within the airline industry

Training hazmat employees to reduce the number of dangerous incidents and near misses occurring when hazardous materials are used, packaged,

warehoused or transported

Training fire instructors to conduct hazardous materials response training programs. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

HMEP: Not applicable for grants to States and Territories. Grants made to Native American Tribes will be in accordance with the following selection criteria: (1) Potential Benefit; (2) Priority Need; (3) Number of Public Sector Employees Trained ; (4) Long Term Benefit to Tribe; (5) Past Performance. HMIT: Grants made to nonprofit hazmat employee organizations will be in accordance with the following selection criteria: demonstrates quantified need for training (15%); (2) Degree to which the proposed training program meets the identified training needs (15%); (3) Number of instructors to be trained (15%); (4) Projected number of hazmat employees each instructor is expected to train (10%); (5) Projected impact of the training in reducing risk and enhancing hazmat transportation safety (15%); (6) Organizations prior experience in providing hazmat instructor and employee training and the facilities/mechanisms in place to conduct the training (10%); (7) Efficiency and cost associated with conducting the training (10%); (8) Ability to account for program expenditures and program outcomes (10%).

SPST: Grants made to national nonprofit fire service organizations will be in accordance with the following selection criteria: How applicants intend to accomplish training for instructors of individuals with statutory responsibility to respond to accidents and incidents involving hazardous materials supporting diverse States and Native American Tribes curriculum (20%); the applicants analysis of the regions or locations in which fire departments or other organizations providing emergency response to hazardous materials transportation accidents and incidents are in need of hazardous materials training and the applicants method of identifying these needs (20%); the applicants prioritization of training needs and the applicants explanation of the means for identifying additional specific future training needs (20%); the applicants prioritization of specific program tasks to be performed and the cost of each task. Since PHMSA expects that the number of applications will exceed the amount of funding, the prioritization is critical (20%); the applicants statement of work for the upcoming budget period that describes and set priorities for the activities and tasks to be conducted, the costs associated with each activity, the number and types of deliverables and products to be completed, and a schedule for implementation (20%).

ALERT: PHMSA will review applications based upon merit, including the clarity of the project narrative and reasonableness of cost as described in the budget narrative. PHMSA reserves the right to make adjustments that are beneficial to the goals of the FY15 ALERT Grant program. A review panel of Federal agency representatives will evaluate the grant applications. Each grant application will be evaluated in accordance with the criteria listed below. Special emphasis will be placed on reviewing whether or not the application has a coherent project narrative that follows the outline of this funding announcement, and whether or not the costs described in the budget narrative appear to be necessary, reasonable, allowable and allocable. Applications will be evaluated as exceptional, acceptable, or unacceptable.

HMCS: PHMSA will review applications based upon merit, including the clarity of the project narrative and reasonableness of cost as described in the budget narrative. PHMSA reserves the right to make adjustments that are beneficial to the goals of the current FY HMCS Grant program. A review panel of Federal agency representatives will evaluate the grant applications. Each grant application will be evaluated in accordance with the criteria listed below. Special emphasis will be placed on reviewing whether or not the application has a coherent project narrative that follows the outline of this funding announcement, and whether or not the costs described in the budget narrative appear to be necessary, reasonable, allowable and allocable. Applications will be evaluated as exceptional, acceptable, or unacceptable.

20.710 TECHNICAL ASSISTANCE GRANTS

TAGs or TAG Program

FEDERAL AGENCY:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Pipeline Safety Improvement Act of 2002 , Public Law 107-355, 49 U.S.C 60130; Pipeline Inspection, Protection, Enforcement and Safety Act of 2006 , Public Law 109-468; Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011, Public Law 112-90; Protecting Our Infrastructure of Pipelines and Enhancing Safety Act of 2016 , Public Law 114-183.

OBJECTIVES:

Development of engineering or other scientific analysis of pipeline safety issues, including the promotion of public participation in official proceedings.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The grant program provides funding to local communities and organizations (non-profit) for technical assistance in the form of engineering or other scientific analysis of pipeline safety issues in addition to promoting public participation in official proceedings. Grant funds may not be used for lobbying, for indirect/direct advocacy for or against a pipeline construction or expansion project, in direct support of litigation, or for activities associated with regulatory compliance and typical operations and maintenance of pipeline facilities.

Applicant Eligibility:

Use with Transportation as well as Private nonprofit institution/organization and Public nonprofit institution/organization.

Beneficiary Eligibility:

Public nonprofit institution/organization and Local.

Credentials/Documentation:

A written application addressing the evaluation criteria. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Detailed information for the application procedures is in the annual funding opportunity announcement. Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl.

Award Procedure:

A committee of pipeline safety stakeholders will review the grant applications. Grants will be awarded to applicants with the highest merit based on the evaluation criteria until the available funds are exhausted.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Range of Approval/Disapproval Time from 120 to 180 days. Applications are typically due 60 to 90 days after funding opportunity announcement is posted to www.Grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

12 months from date of award. See the following for information on how assistance is awarded/released: Funds are available (a) reimbursement for costs incurred, or (b) 50% at award, 50% after delivery of mid-year report.

Reports:

Program reports are not applicable. SF-425 and SF-270. Each grant recipient will be required to provide a mid-term and a final report to PHMSA demonstrating progress and completion of the work as outlined in the grant agreement. Further, each recipient of a grant must ensure that: (1) the technical findings made possible by the grants are made available to the relevant operators; and, (2) open communication is maintained between the grant recipients, local operators, local communities and other interested parties. SF-425. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

Records:

Grant recipient must maintain, for a period of 3 years beyond the end of the grant project period of performance: (a) documentation supporting the costs incurred under the grant, and (b) information regarding any sub-awards made using grant funds.

Account Identification:

69-5172-0-2-407.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$1,500,000; and FY 18 est \$1,500,000 - FY2016: \$0.

Range and Average of Financial Assistance:

The range is \$0 - \$100,000.

TAFS Codes:

69-69-5172.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Consolidated Appropriations Act of 2016 (P.L. 114-113) did not appropriate funding for the TAG program. PHMSA did not solicit applications or issue TAG awards in FY 2016. Fiscal Year 2017: Advancement of pipeline safety through the funding of local communities and organizations for technical assistance related to pipeline safety issues. Technical assistance is defined as engineering or other scientific analysis of pipeline safety issues. TAG funding may also be used to help promote public participation in official proceedings. Fiscal Year 2018: Advancement of pipeline safety through the funding of local communities and organizations for technical assistance related to pipeline safety issues. Technical assistance is defined as engineering or other scientific analysis of pipeline safety issues. TAG funding may also be used to help promote public participation in official proceedings.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 192.614, 49 CFR 192.616, 49 CFR 195.440, 49 CFR 195.442, 49 CFR 198.37, and 49 CFR 198.39 Individual copies of regulations may be requested from: Office of Pipeline Safety, Pipeline and Hazardous Materials Safety Administration, Department of Transportation, 1200 New Jersey Avenue, SE, East Building, 2nd Floor, Washington, DC 20590, Telephone: (202) 366-4595, Fax: (202) 366-4566. Also, available on-line at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title49/49cfrv3_02.tpl

Regional or Local Office:

None.

Headquarters Office:

Karen Lynch 1200 New Jersey Avenue, S.E., E22-105, Washington, District of Columbia 20590 Email: karen.lynch@dot.gov Phone: 202-366-6855

Website Address:

<http://primis.phmsa.dot.gov/tag>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Consolidated Appropriations Act of 2016 (P.L. 114-113) did not appropriate funding for the TAG program. PHMSA did not solicit applications or issue TAG awards in FY 2016. Fiscal Year 2017: Project awards will be similar in scope to projects awarded in the past. TAG awards have funded a broad range of activities, including: improvement of local pipeline emergency response capabilities; improvement of safe digging or damage prevention programs; development of pipeline safety information resources; implementation of local land use practices that enhance pipeline safety; community and pipeline awareness campaigns; and, enhancements in public participation in official proceedings pertaining to pipelines. Fiscal Year 2018: Project awards will be similar in scope to projects awarded in the past. TAG awards have funded a broad range of activities, including: improvement of local pipeline emergency response capabilities; improvement of safe digging or damage prevention programs; development of pipeline safety information resources; implementation of local land use practices that enhance pipeline safety; community and pipeline awareness campaigns; and, enhancements in public participation in official proceedings pertaining to pipelines.

CRITERIA FOR SELECTING PROPOSALS:

PHMSA developed evaluation criteria for project proposals to rate and select competing applications. Each criterion is weighted equally and is intended to align with the challenges and strategies impacting pipeline safety. Submission of an application is not a guarantee of award. The evaluation criteria are as follows: 1. The extent to which the Applicants project scope establishes clear goals and objectives that focus on areas where a pipeline failure could pose a significant risk to people or to unusually sensitive environmental areas (see definition in 49 C.F.R 195.6); 2. The extent to which the Applicants project scope identifies specific pipeline safety concerns or risks that affected pipelines pose to the affected geographic areas to stakeholders and/or communities; 3. The extent to which the proposal demonstrates the Applicants experience with and commitment to foster open communication with affected operators and other key members of the community to ensure project success. 4. The extent to which the Applicants proposal aligns with the challenges and strategies in PHMSAs Strategic Plan and seeks to improve performance and safety over time in areas such as engineering, damage prevention, land use, public education, emergency response, and community awareness; 5. The extent to which the Applicants project plan clearly establishes resources, milestones, and estimated project costs that align with project goals and objectives; 6. The extent to which the Applicants project plan identifies measureable results and deliverables and specifies how results will be evaluated and disseminated to affected stakeholders; and 7. The extent to which the Applicants project scope provides the potential for learning or technology transfer to other groups and communities.

20.720 STATE DAMAGE PREVENTION PROGRAM GRANTS

(SDPP Grants)

FEDERAL AGENCY:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Pipeline Inspection, Protection, Enforcement, and Safety Act of 2006, Public Law 109-468, 49 U.S.C 60134.

OBJECTIVES:

To improve State Damage Prevention programs, which are intended to protect underground facilities from excavation damage.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Personnel, equipment, and activities that are needed by the State authority to enhance damage prevention programs. Grant funds may not be used for lobbying or in direct support of litigation. Grant funds may not be used for lobbying or in direct support of litigation.

Applicant Eligibility:

Any State (including U.S. Territory or possessions) authority designated by the

Governor is eligible to apply for a grant as long an agency within the State (including U.S. Territory or possessions) has an annual Section 60105 (49 U.S.C.) certification or Section 60106 (49 U.S.C.) agreement in effect with PHMSA. If a State (including U.S. Territory or possessions) does not have a certification or agreement with PHMSA, then no State (including U.S. Territory or possessions) authority can receive a grant.

Beneficiary Eligibility:

State Government, U.S. Territory and possessions would receive the ultimate benefit from this program.

Credentials/Documentation:

A written application describing the current status of the State Damage Prevention Program and plans for improvements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Through the website at www.Grants.gov and [fedstar.phmsa.dot.gov](http://www.fedstar.phmsa.dot.gov). Also see the annual solicitation for application procedures. Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

Award Procedure:

Panel of stakeholders will review and score applications. Grants will be awarded to applicants with the highest score until the available funds are exhausted.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

120 days after application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

There is a restriction on the time permitted to spend the money awarded. It must be spent within one year of the award. See the following for information on how assistance is awarded/released: Advance payments or Reimbursement payments will be made after the electronic receipt via the Delphi e-Invoicing System of a Request for Advance or Reimbursement (Standard Form SF-270). Recipients, upon receipt of the fully executed award document, may request up to 50% of the total federally funded amount of the award. The remaining amount may be requested, upon receipt and approval, (by the PHMSA Agreement Officer) of the Mid-Term Report..

Reports:

Progress Report; Final Report; Mid-term Financial Status Report; Final Financial Status Report. No cash reports are required. During the performance of the grant (progress report) and at the end of the grant period (final report), the Grantee must provide a letter-type written report that describes the results of all activities undertaken to date as a result of the grant. The report must include the following:

1. A comparison of actual accomplishments to the objectives established for the period.
2. Where the output of the project can be quantified, a computation of the cost per unit of output.
3. The reasons for slippage if established objectives were not met.

The project report is due 6 months after the project period start date. The Final Report is due no later than 90 days after the project period end date. SF-425 During the performance of the grant, the Grantee must submit a mid-term Federal Financial Report, Standard Form 425 (SF-425), to report the status of funds. In addition to SF-425, the Grantee should provide the breakdown of costs for each object class category (Personnel, Fringe Benefits, Travel, Equipment, Supplies, Contractual, Other, and Indirect Charges). This report is due 6 months after the project period start date. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

Records:

Grant recipient must maintain for a period of three years: (a) documentation supporting the cost incurred under the grant, and (b) information regarding any sub-awards made using grant funds.

Account Identification:

69-5172-0-2-407.

Obligations:

(Project Grants (Discretionary)) FY 16 \$1,499,976; FY 17 est \$1,499,939; and FY 18 est \$1,497,000

Range and Average of Financial Assistance:

The grants awarded range \$30,000-\$100,000. The average is \$88,231. .

TAFS Codes:

69-5172.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: From 2008-2016, PHMSA has awarded over \$13.6 million to 39 state organizations. Work completed under these projects addresses each of the Nine Elements of an Effective Damage Prevention Program, though the work scope varied from state to state.

In 2016, PHMSA made 17 awards with a total dollar value of \$1,499,976. Fiscal Year 2017: Not Available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 192.614, 49 CFR 192.616, 49 CFR 195.440, 49 CFR 195.442, 49 CFR 198.37, and 49 CFR 198.39 Individual copies of regulations may be requested from: Office of Pipeline Safety, Pipeline and Hazardous Material Safety Administration, Department of Transportation, 1200 New Jersey Avenue, SE East Building, 2nd Floor, Washington, DC 205901, Telephone: (202) 366-4595 Fax: (202) 366-4566. Also available on line at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title49/49cfr195_main_02.tpl.

Regional or Local Office:

None.

Headquarters Office:

Hung Nguyen 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Email: hung.nguyen@dot.gov Phone: (202) 366-0568

Website Address:

<http://www.phmsa.dot.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Refer to Link <http://primis.phmsa.dot.gov/sdp/?nocache=2783&nocache=3193>. Fiscal Year

2017: Projects to improve state damage prevention programs will be funded and are anticipated to include enforcement, education and outreach, and other safety programs. Past grant projects can be found at: <http://primis.phmsa.dot.gov/sdp/?nocache=2783&nocache=3193>. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Evaluation Criteria. The State Damage Prevention grant application process is competitive. Applications will be evaluated against the selection criteria listed below as well as against each other. Submission of an application is not a guarantee of award. PHMSA may award a grant based on an application in its entirety, award only portions of a grant application, or not award an application at all. Each Application will be reviewed against the criteria listed below. The criteria are weighted as follows: Criterion 1. is most heavily weighted; criterion 2 is second most heavily weighted; criterion 3 is third most heavily weighted; criterion 4 is fourth most heavily weighted; criterion 5 is fifth most heavily weighted. An effective damage prevention program as stated in 49 U.S.C. 60134 (b) includes one or more of the nine (9) elements below. Grant funding is available to assist state in aligning with one or more of these nine elements. The number of elements addressed in an application will not affect the evaluation of the application. Criterion 1. Relevance to the Nine Elements - This criterion will be used to evaluate proposed work under each element addressed on the application. This criterion will be used to evaluate proposed projects that: Clearly link results to one or more of the nine elements. Have merit for advancing implementation or continued support of one or more of the nine elements within the state. Align with the meaning and intent of the nine elements as described in PHMSAs Damage Prevention Assistance Program (DPAP) Guide (available at <http://primis.phmsa.dot.gov/comm/publications/DPAP-Guide-FirstEdition-20080911.pdf?nocache=6648>). Proposed work under each element will be evaluated separately. Criterion 2. Costs, Results, and Schedule This criterion will be used to evaluate proposed work under each element addressed in the application. This criterion will be used to evaluate proposed projects that: Will produce tangible results within the proposed project period. Establish clear goals, objectives, milestones, and estimates of project costs. Have deliverables that do not overlap with the deliverables of any other PHMSA grant award. Use funds efficiently and effectively. Proposed work under each element will be evaluated separately. Criterion 3. States Commitment to the Nine Elements - This criterion will be used to evaluate the applicants description of existing damage prevention activities as they relate to the nine elements. This criterion will be used to evaluate applications that demonstrate the State has made substantial progress toward, or has clear and concrete plans for, implementing the nine elements. Criterion 4. - States Commitment to Damage Prevention Program Effectiveness. This criterion will be used to evaluate the applicants description of any legislative or regulatory actions (including studies, etc.) taken by the State within the past five (5) years pertaining to damage prevention program improvement. This criterion will be used to evaluate applications that demonstrate the States commitment to ensuring lasting damage prevention program effectiveness and continuing improvement, including any legislative and/or regulatory actions taken within the past five years or other significant activities, such as efforts of study groups or task teams established to analyze the States damage prevention program. Criterion 5. Past Performance. This criterion will be used to evaluate past performance of applicants who have received a PHMSA State Damage Prevention grant in the past. Past performance includes fulfillment of grant agreements in a timely manner and compliance with grant terms and conditions. Applicants who received funding previously for a project that is ongoing and are requesting grant funding for the continuation of that project should provide a summary of accomplishments and tasks completed during the previous grant period. The information provided should include measurable results and deliverables, and also include any information about tasks that were not completed or other challenges encountered during the grant period. Evaluation of applications from applicants who have not received a PHMSA State Damage Prevention grant in the past will not be affected positively or negatively by this criterion.

20.721 PHMSA PIPELINE SAFETY PROGRAM ONE CALL GRANT FEDERAL AGENCY:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Transportation Equity Act for the 21st Century, Public Law 105-178, 49 U.S.C 6106.

OBJECTIVES:

To provide funding to State agencies in promoting damage prevention, including changes with their State underground damage prevention laws, related compliance activities, training and public education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible programs will cover such areas as compliance enforcement, legal assistance with enforcement actions, new equipment to support on-going enforcement programs, compliance monitoring, one call center statistics, compliance/noncompliance statistics, One-call membership initiatives, computer equipment, communication improvements, development and/or conduct of state-provided training programs for locators, development and/or distribution of educational items or materials, damage prevention awareness campaigns, public service announcements, informational mailings, advertisements and One Call center educational items.

Applicant Eligibility:

A state is eligible if it qualifies under section 49 USC 6104 (b).

Beneficiary Eligibility:

State (Includes District of Columbia and Puerto Rico) Also, State agency with a Certification or Agreement under 60105 or 60106 of Title 49 USC.

Credentials/Documentation:

Appropriate State legal official must approve legality of agency application and agreement. Costs will be determined in accordance with 2 CFR 200, and "One Call Application Guidelines". Application (plan) is opened in early September and due early October. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. U.S. Department of Transportation, Pipeline & Hazardous Materials Safety Administration, Pipeline Safety, PHP-50, 1200 New Jersey Avenue, SE Washington, DC 20590. Each State submits a detailed description of the proposed project activity in one of the three priority categories listed in the criteria section with estimated costs on how the money would be spent. The application will be specific regarding what the funding would buy, and what otherwise unmet need the funding would help resolve. If the proposed activity was previously submitted and approved, the applicant will be required to provide a description of results from previous action of the activity.

Also see: 2 CFR 200 at

http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

Award Procedure:

Proposed projects are reviewed by a group of peers for their completeness with estimated project criteria as described in the criteria section (180) listed below.

Deadlines:

Sep 13, 2017 to Oct 18, 2017 Applications are submitted in October for the next calendar year. The Director of State Programs may extend or modify the dates based on good cause.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days of receipt. Award is contingent on a current fiscal year appropriation and enacted budget.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Period of performance is 12-18 months from the award date depending on the award date. See the following for information on how assistance is awarded/released: Electronic Funds Transfer.

Reports:

No program reports are required. SF-270. A final progress report is due 45 days after the period of performance ends. SF-270. Triennial grant review is performed on all States to assure funds were spent on proposed projects and in accordance with OMB requirements. Each State progress report is reviewed prior to award of future grant.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

Records:

All records relating to the projects must be retained for a period of not less than 3 years from the date of submission of fund expenditure report.

Account Identification:

69-5172-0-2-407.

Obligations:

(Project Grants (Discretionary)) FY 16 \$1,018,271; FY 17 est \$1,292,778; and FY 18 est \$0

Range and Average of Financial Assistance:

The range for grants awarded \$10,000 to \$45,000.

TAFS Codes:

69-5172.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: PHMSA received 27 applications for the PHMSA Pipeline Safety Program One Call Grant for CY 2016 totaling \$1,018,271. Fiscal Year 2017: NPHMSA received 26 applications for the PHMSA Pipeline Safety Program One Call Grant for CY2017 totaling \$1,292,778. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 190, 191, 192, 193, 195, 198 and 199. Individual copies available from the Transportation Safety Institute, PHMSA Training and Qualification, Oklahoma City, Oklahoma 73125. "Guidelines for States Participating in the Pipeline Safety Program." Also available on-line at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title49/49tab_02.tpl

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of Pipeline and Hazardous Materials Safety Administration regional office addresses.

Headquarters Office:

Zach Barrett 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (405) 834-8344.

Website Address:

<http://www.phmsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: 1. The overall quality and effectiveness of one-call notifications systems in the state;
2. Communications systems linking one-call notification systems; location capabilities, including training personnel and developing and using location technology;
3. Record retention and recording capabilities for one-call notification systems;
4. Public information and education;
5. Participation in one-call notification systems; and
6. Compliance and enforcement under the State one-call system. Fiscal Year 2017: 1. The overall quality and effectiveness of one-call notifications systems in the state;
2. Communications systems linking one-call notification systems; location capabilities, including training personnel and developing and using location technology;
3. Record retention and recording capabilities for one-call notification systems;
4. Public information and education;
5. Participation in one-call notification systems; and
6. Compliance and enforcement under the State one-call system. Fiscal Year 2018: No data is available.

CRITERIA FOR SELECTING PROPOSALS:

Eligibility is determined by 49 USC, Section 6104.
Please describe criteria for selecting proposals:

- 1.1 Compliance Enforcement - Legal assistance with enforcement actions
- 1.2 Compliance Enforcement - Cost of enforcement and/or complaint investigations
- 1.3 Compliance Enforcement - Cost of enforcement actions (**NOTE: this does not include equipment**)
- 1.4 State agency collection and analysis of data - One Call center statistics
- 1.5 State agency collection and analysis of data - One Call center membership
- 1.6 State agency collection and analysis of data - Compliance/Noncompliance statistics
- 1.7 State agency collection and analysis of data - Causes of noncompliance
- 1.8 State agency collection and analysis of data - Frequency, cause, and consequences of dig-ins
- 1.9 State agency collection and analysis of data - Identification of problem areas or individuals
- 1.10 State agency collection and analysis of data - Incorporation of excavation damage data tools (such as DIRT, Virtual DIRT, etc.) into state monitoring and compliance program
- 1.11 State agency collection and analysis of data - Submission of state-collected excavation damage data to other data collection systems (such as DIRT and Virtual DIRT)
- 1.12 Legislation and Rulemaking - Obtaining input from affected interests
- 1.13 Legislation and Rulemaking - Assistance drafting language
- 1.14 Legislation and Rulemaking - Testimony before legislative/rulemaking bodies
- 1.15 Legislation and Rulemaking - Studies to identify legislative needs
- 1.16 Start-up costs for the state agency only - Mandated by new or amended law or rules
- 1.17 Start-up costs for the state agency only - Equipment Related to Start-up (Computer programming and software must be used exclusively for damage prevention activities. Computer upgrades for agency-wide activities are not eligible for funding.)
- 1.18 Start-up costs for the state agency only - Records Systems and databases
- 1.19 Start-up costs for the state agency only - Developing Procedures
- 1.20 Start-up costs for the state agency only - First-year information campaign on the new/changed law or rules (**A state cannot request grant funds to implement proposed legislation - the legislation must have already been enacted.**)
- 1.21 Other Priority 1

Priority 2

- 2.1 One Call Membership Initiatives for Operators - Initial Membership Fees
- 2.2 One Call Membership Initiatives for Operators - Fax Machines
- 2.3 One Call Membership Initiatives for Operators - Computer Equipment
- 2.4 One Call Membership Initiatives for Operators - Communication

Improvements

- 2.5 One Call Membership Initiatives for Operators - E-mail Access
- 2.6 One Call Membership Initiatives for Operators - Dedicated Phone Line
- 2.7 One Call Membership Initiatives for Operators - Locating Equipment and training
- 2.8 Consolidation of Multiple One Call Centers (only costs incurred by the State agency) - State agency expense to encourage consolidation
- 2.9 Consolidation of Multiple One Call Centers (only costs incurred by the State agency) - One Call center consolidation expenses
- 2.10 Consolidation of Multiple One Call Centers (only costs incurred by the State agency) - First year awareness campaigns for new One Call center and/or phone number
- 2.11 Training of state inspection or enforcement personnel - Facility locating methods and technology
- 2.12 Training of state inspection or enforcement personnel - Provisions of state One Call law or regulations
- 2.13 Equipment to support on-going activities (Computer programming and software must be used exclusively for damage prevention activities. Computer upgrades for agency-wide activities are not eligible for funding.) (Computer programming and software. All requests for equipment will be requested under this priority beginning in 2014)
- 2.14 Location Capabilities - Development and/or conduct of training for locators
- 2.15 Location Capabilities - Field trials or demonstrations of new technology locating equipment
- 2.16 Efforts to encourage operators, excavators and others to contribute to data collection systems such as DIRT
- 2.17 Other Priority 2

Priority 3

- 3.1 Development and/or conduct of state-provided training programs for excavators (includes training DVDs)
- 3.2 Development and/or conduct of state-provided training programs for operators (includes training DVDs)
- 3.3 Development and/or distribution of educational items or materials (includes training DVDs)
- 3.4 Development and/or conduct of damage prevention awareness campaigns - Public Service Announcements
- 3.5 Development and/or conduct of damage prevention awareness campaigns - Informational Mailings
- 3.6 Development and/or conduct of damage prevention awareness campaigns - Advertisements
- 3.7 Development and/or conduct of damage prevention awareness campaigns - One Call center awareness and outreach
- 3.8 Development and/or conduct of damage prevention awareness campaigns - Booths/exhibits for damage prevention outreach
- 3.9 Development and/or conduct of damage prevention awareness campaigns - 811 awareness campaigns
- 3.10 Record retention and recording capabilities for One Call notification systems; and making the data available to the state.
- 3.11 Other Priority 3.

20.723 PHMSA PIPELINE SAFETY RESEARCH AND DEVELOPMENT OTHER TRANSACTION AGREEMENTS

PHMSA Pipeline Safety Research and Development

FEDERAL AGENCY:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Pipeline Safety Improvement Act of 2002, Public Law 107-355, 49 U.S.C. 60101 note.

OBJECTIVES:

To sponsor research and development projects focused on providing near-term solutions that will improve the safety, reduce environmental impact, and

enhance the reliability of the Nations pipeline transportation system.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This research program is used for: fostering the development of new technologies so that pipeline operators can improve safety performance and more effectively address regulatory requirements; targeting and feeding new knowledge into the process of keeping standards relevant to their purpose; and generating and promoting general knowledge to decision makers. For applications not working on inherently governmental research at least 30% cost sharing is required of awardees. For applications working on inherently governmental research no cost share is required. Section 32 (f) of The Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011 signed into law in January 2012 (PUBLIC LAW 11290JAN. 3, 2012) amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note) by adding subsection d including 12(d)(3)(C) FUNDING FROM NON-FEDERAL SOURCES. The Secretary shall ensure at least 30 percent of the costs of program-wide research and development activities are carried out using non-Federal sources. The 2011 Act also amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note), subsection (f), by striking 2003 through 2006. And inserting 2012 through 2015..

Applicant Eligibility:

Universities and other academic institutions, individual, profit organization, nonprofit organization, State (includes D.C.), U.S. Territories and possessions, Indian tribes, local government, other entities are eligible to apply.

Beneficiary Eligibility:

Anyone/general public can receive benefits from the eligible applicant.

Credentials/Documentation:

Prior to submission of a White Paper each applicant must first complete (electronically) a registration form the location of which will be stated in the PHMSA Research Announcement (PRA). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Yes, if the awardee is a state or local government. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

In response to a PHMSA Research Announcement (PRA) applicants submit White Papers which are evaluated against specific evaluation criteria identified in the PRA. Those deemed worthy of further consideration are notified, and provided detailed guidelines for submitting a full proposal. Full proposals that are not determined as inherently governmental research must include cost sharing of at least 30%. Full proposals that are determined as inherently governmental research are fully funded and no cost share is required. If selected for award an Other Transaction Agreement (OTA) is awarded by PHMSA. Section 32 (f) of The Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011 signed into law in January 2012 (PUBLIC LAW 11290JAN. 3, 2012) amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note) by adding subsection d including 12(d)(3)(C) FUNDING FROM NON-FEDERAL SOURCES. The Secretary shall ensure at least 30 percent of the costs of program-wide research and development activities are carried out using non-Federal sources. The 2011 Act also amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note), subsection (f), by striking 2003 through 2006. And inserting 2012 through 2015..

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

30-45 days for review of White Papers. 30-45 days for review of proposals (if requested).

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 49, Chapter 12, Part 60101, Public Law 112-90. Full proposals must include cost sharing of at least 30%. If selected for award an Other Transaction Agreement (OTA) is awarded by PHMSA. Section 32 (f) of The Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011 signed into law in January 2012 (PUBLIC LAW 11290JAN. 3, 2012) amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note) by adding subsection d including 12(d)(3)(C) FUNDING FROM NON-FEDERAL SOURCES. The Secretary shall ensure at least 30 percent of the costs of program-wide research and development activities are carried out using non-Federal sources. The 2011 Act also amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note), subsection (f), by striking 2003 through 2006. And inserting 2012 through 2015..

Matching Requirements: Percent: 30%. Cost sharing of at least 30% is required. Full proposals must include cost sharing of at least 30%. If selected for award an Other Transaction Agreement (OTA) is awarded by PHMSA. Section 32 (f) of The Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011 signed into law in January 2012 (PUBLIC LAW 11290JAN. 3, 2012) amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note) by adding subsection d including 12(d)(3)(C) FUNDING FROM NON-FEDERAL SOURCES. The Secretary shall ensure at least 30 percent of the costs of program-wide research and development activities are carried out using non-Federal sources. The 2011 Act also amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note), subsection (f), by striking 2003 through 2006. And inserting 2012 through 2015..

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of an Other Transaction Agreement is normally between 1 and 3 years. Method of awarding/releasing assistance: quarterly.

Reports:

Draft final project report, Final project report. No cash reports are required. Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl. Invoices are to be submitted no more frequently than quarterly. Peer Reviews are conducted on the project. They normally occur during the first quarter of each calendar year.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records are subject to Government examination or audit, and must be maintained for 3 years from the completion of the Other Transaction Agreement..

Account Identification:

69-5172-0-2-407.

Obligations:

(Project Grants) FY 16 \$2,381,849; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

The range of awards is \$40,000 to \$856,000. The average is \$189,000.

TAFS Codes:

69-69-5172.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program made three new awards addressing the further development of robotic inspection solutions capable of inspecting natural gas pipelines that are not piggable by traditional technology. The program also entered into two cooperative agreements. One with the Bureau of Safety and Environmental Enforcement where a project developing inspection criteria for offshore risers was co-funded and one with the National Institute of Standards and Technology that is investigating the characterization of modern high toughness steels for fracture propagation and arrest assessment. All projects have a public page for more information and are sortable by FY via <https://primis.phmsa.dot.gov/matrix/>. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

An in depth description of the PHMSA Pipeline Safety R&D Program can be found at <http://primis.phmsa.dot.gov/rd/>. A listing of OTA awards can be found at: <http://primis.phmsa.dot.gov/matrix/>.

Regional or Local Office:

None.

Headquarters Office:

James Merritt. U.S. DOT, Pipeline and Hazardous Materials Safety Administration, Office of Pipeline Safety, 793 Countrybriar Lane, Highlands Ranch, Colorado 80129. Email: James.Merritt@dot.gov Phone: 303-683-3117. Fax: 303-346-9192.

Website Address:

<http://www.phmsa.dot.gov>

RELATED PROGRAMS:

20.700 Pipeline Safety Program Base Grants; 20.710 Technical Assistance Grants; 20.720 State Damage Prevention Program Grants; 20.721 PHMSA Pipeline Safety Program One Call Grant

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Program made three new awards addressing the further development of robotic inspection solutions capable of inspecting natural gas pipelines that are not piggable by traditional technology. The program also entered into two cooperative agreements. One with the Bureau of Safety and Environmental Enforcement where a project developing inspection criteria for offshore risers was co-funded and one with the National Institute of Standards and Technology that is investigating the characterization of modern high toughness steels for fracture propagation and arrest assessment. All projects have a public page for more information and are sortable by FY via <https://primis.phmsa.dot.gov/matrix/>. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria, for each PHMSA Research Announcement, is located in the announcement document.

20.724 PIPELINE SAFETY RESEARCH COMPETITIVE ACADEMIC AGREEMENT PROGRAM (CAAP)

CAAP Program

FEDERAL AGENCY:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Pipeline Safety Improvement Act of 2002, Public Law 107-355, 49 U.S.C 60101 note.

OBJECTIVES:

The CAAP initiative is intended to spur innovation by enabling an academic research focus on high-risk and high pay-off solutions for the many pipeline safety challenges. It will potentially deliver solutions that can be hand-offs to PHMSA's core research program of demonstration and deployment. The goal would be to validate proof of concept of a thesis or theory all the way to commercial penetration into the market. Further, the pipeline industry and federal/state regulators are experiencing low numbers of applicants to entry

level positions that are technically focused. As such, another goal of the CAAP program is to expose graduate and PhD research students to subject matter that is common to pipeline safety challenges and to illustrate how their engineering or technical disciplines are highly needed in the field. The ultimate benefit would be to cultivate new talent in all aspects of pipelining, similar to how programs at other Federal Agencies and non-profit organizations have encouraged talent to consider a career in a certain field.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards will be made in the form of cooperative agreements, which will play a role in finding the solutions to national, regional and local pipeline operational safety and environmental challenges. Some of these challenges involve having the best technology to efficiently and effectively meet or exceed Federal and State regulatory requirements. Other challenges are in keeping critical industry consensus standards fresh with the latest knowledge and know-how so that people, property and the environment are protected. Section 32 (f) of The Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011, signed into law in January 2012 (P.L. 11290 January 3, 2012) amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note) by amending section(d) to add: (d)(3)(C) Funding From Non-Federal Sources. The Secretary shall ensure at least 30 percent of the costs of program-wide research and development activities are carried out using non-Federal sources. The 2011 Act also amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note), subsection (f), by striking 2003 through 2006, and inserting 2012 through 2015.. The following are minimum requirements that all applicants must meet in order to be considered. Failure to meet these requirements at any time pre- or post-award will result in either removing an application from further consideration or grounds for termination of the agreement. The application must include a letter on the submitting institutions letterhead that certifies these minimum requirements are understood and will be met. The letter must be signed by the Dean or equivalent level of that college, department or program and inserted as Appendix A to the technical application. 1) Eligible applicants are non-profit institutions of higher education located in the United States or a U.S. territory or possession. 2) The academic research agreement will be with the institution and not with individual students. 3) The research scope must be executed by undergraduate, graduate and/or PhD research students. Institution faculty/staff should design the scope and oversee student execution of the scope. Applications that do not propose student involvement will be deemed non-responsive and will be removed from consideration. 4) Institution faculty, staff and students that are proposed to be involved with the research agreement must be U.S. citizens, permanent residents, or possess visas that will allow them to remain in the country long enough to complete the research project. 5) Federal funding is solely for allowable charges such as institution overhead and the procurement of expendables and any required labor necessary for executing the research scope. Furnishing of new or existing testing equipment will not be permitted with Federal funds. Also, Federal funding cannot be used toward construction of new facilities or refurbishing of existing facilities. Post-delivery financial audits may occur. 6) Applications must meet the required cost sharing threshold of 20%. Applications that fail to meet this requirement may be removed from consideration. Discretionary funds in the amount of \$2,000,000 are available for this program in FY 2015. Applications will be evaluated and awards made to the entities whose applications demonstrate the highest merit.

Applicant Eligibility:

Applicants must be non-profit institutions of higher education located in the United States or a U.S. territory or possession.

Beneficiary Eligibility:

Solutions from academic research agreements to non-profit institutions of higher education will benefit the American public who has a stake in safe, reliable and environmentally friendly pipeline transportation of hydrocarbons.

Credentials/Documentation:

Applications must include a letter on the submitting institutions letterhead that certifies minimum requirements are understood and will be met. The letter must be signed by the Dean or equivalent level of that college, department or program and inserted Appendix A to the technical application. 2 CFR 200,

Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To submit an application, applicants must be registered at www.grants.gov

For new users, go to http://www.grants.gov/applicants/get_registered.jsp, or go to the main page at <http://www.grants.gov/> and click on Register In the top right corner. Please note that new user registrations for www.Grants.gov can take up to two weeks to complete. For additional questions on how to register, contact Grants.gov support.

Application Content & Format - In preparing their technical proposal, applicants must utilize the technical application templates attached to the opportunity. The attachment will include instructions regarding required content and information. Applicants must only apply for funding that can reasonably be spent within the proposed period of performance.

The Technical Application requirements are summarized below but elaborated upon in the provided template. Failure to follow these requirements may result in the removal of an application from consideration.

1) All information supporting the evaluation criteria must be contained within 20 pages which does not include the Cover Page, the Technical Application Information Page, or the Appendix; 2) The appendix may include additional information; however, this information will not be used by reviewers in evaluating the proposal (except to determine that each applicant has submitted a certification letter regarding minimum requirements); 3) The Certification Letter of Minimum Requirements must be the first page in the Appendix; 4) Times New Roman 12pt font 1.15 line and paragraph spacing for text body. Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

Award Procedure:

PHMSA will conduct an initial administrative review of each application to determine if it is complete and meets the minimum eligibility requirements. A team composed of PHMSA program staff representatives and, potentially, industry experts will then conduct a technical review to evaluate and rate each application against the evaluation criteria and to evaluate the acceptability of the proposed budget. Cooperative agreements will be awarded to applicants having the highest merit until the available funding is exhausted.

PHMSA intends to solicit applications under this program on a yearly basis during the second quarter of the calendar year, with applications due during the second quarter. Monitor Grants.gov.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications are normally due within 45 days after the announcement is posted to www.Grants.gov.

Appeals:

Not Applicable.

Renewals:

No-cost extensions of up to 90 days may be authorized by the Agreement Officer in order to complete final reports.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 30%.

This program does not have MOE requirements. There is a mandatory 20% cost-sharing requirement under this program. Additional resource sharing above the mandatory 20% is strongly encouraged. PHMSA will fund no more than 80% (up to \$300,000) of the total cost of each project. For instance, if the total

project cost (including Federal and non-Federal funding) is \$250,000, then the applicant must propose no less than \$50,000 in non-Federal funding (20%) and PHMSA will fund no more than \$200,000 (80%). Section 32 (f) of The Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011 signed into law in January 2012 (P.L. 11290 January 3, 2012) amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 .S.C. 60101 note) by amending subsection (d) to add: (d)(3)(C) Funding From No-Federal Sources. The Secretary shall ensure at least 30 percent of the costs of program-wide research and development activities are carried out using non-Federal sources. The 2011 Act also amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note), subsection (f), by striking 2003 through 2006. And inserting 2012 through 2015..

Length and Time Phasing of Assistance:

The announcement will be posted to www.Grants.gov and eligible applications must be submitted within 45 days. Recipients will have between 24 and 36 months from the date of award (typical length of projects) to spend the money awarded. See the following for information on how assistance is awarded/released: Agreements will facilitate academic research funding that can reasonably be spent within the project period of performance (estimated at 24 and 36 months). Funding will be provided by Electronic Fund Transfer.

Reports:

Draft Final Report (template will be provided), Final Report and Internet Based Presentation (held remotely; template will be provided). SF-270, Request for Advance or Reimbursement, and SF-425, Federal Financial Report. Brief Quarterly Status Reporting via email (reporting categories will be conveyed) & Mid-Term Summary (phone discussion categories will be conveyed). SF-425, Federal Financial Report. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report.

Account Identification:

69-5172-0-2-407 - PHMSA will utilize prior year carry forward funding to obligate awards anticipated in FY15. The decision on which research accounting Budget Program Activity Code will be made at award..

Obligations:

(Salaries) FY 16 \$1,828,846; FY 17 est \$2,000,000; and FY 18 est \$0 - This program is designed to be administered as an annual announcement with up to six awards of \$300,000 each, or up to \$2,000,000 for the overall annual program. If a large number of high-quality applications are received, and there are remaining funds available, PHMSA may award more than six agreements.

Range and Average of Financial Assistance:

The current program level of \$2,000,000 (six individual awards at up to \$300,000 each) is anticipated.

TAFS Codes:

69-5172-69.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Three (3) new research awards issued with allowable funds.
Fiscal Year 2017: Solicitation pending approval by OST before public release.
Fiscal Year 2018: TBD.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Saurabh Vasudeva & Jim Merritt Pipeline & Hazardous Materials Safety Administration, Acquisition Services Division, PHA-30
1200 New Jersey Ave, SE, Washington, District of Columbia 20590 Email: Saurabh.vasudeva@dot.gov Phone: (202) 366-4429 & (303) 693-3117

Website Address:

<http://www.phmsa.dot.gov/pipeline>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Three (3) new research awards issued with allowable funds.
Fiscal Year 2017: No new awards to date. Solicitation pending approval by OST before public release. Fiscal Year 2018: TBD.

CRITERIA FOR SELECTING PROPOSALS:

PHMSA will use the following evaluation criteria to rate and select amongst competing applications. These criteria are intended to identify projects that target high-risk areas; offer well-defined plans; and produce results that are measurable and transferable to further investigations in the CAAP Program or PHMSAs core research program. The two (2) technical evaluation criteria are as follows:

Criterion 1: Scientific Merit and Quality

1. To what extent does the proposed project suggest/explore creative and original concepts and/or scientific/engineering principles to describe the challenges? 2. To what extent does the proposed research describe how the output result advances a potential solution toward an identified pipeline challenge(s)? 3. To what extent does the proposed research describe how the quality of results will be peer reviewed? 4. To what extent does the proposed research involve partnering to validate/demonstrate scientific results and/or quantify engineering principles?

Criterion 2: Feasibility of Management Plan, Work Task, Budget and

Schedule. 1. How well are the scope of work, tasks and milestones defined? Are the specific assigned tasks that will be performed by student(s) defined? 2. How well are the research goals or objectives defined? 3. Does the institution have access to appropriate facilities to conduct the proposed work? 4. How well is the project schedule defined and tied to the management plan? 5. How detailed is the plan to oversee students regularly by academic organization faculty/staff with the relevant knowledge and experience in the proposed area? In addition, PHMSA expects the proposed results of the projects to be factual, unbiased, verifiable, and repeatable to the extent practicable. Applicants should also be aware that a cooperative agreement will not convey any authority to recipients to secure information or cooperation from pipeline operators.

20.725 PHMSA PIPELINE SAFETY UNDERGROUND NATURAL GAS STORAGE GRANT**FEDERAL AGENCY:**

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Protecting our Infrastructure of Pipelines and Enhancing Safety Act (PIPES) 2016, Public Law 114-183, 49 U.S.C 60101.

OBJECTIVES:

Participating state entities, through annual Certification/Agreements with PHMSA, will inspect and enforce the federal underground storage regulations for intrastate underground natural gas storage facilities located within the state.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

To participate, state law must provide the state entity with the authority to establish and enforce regulations over the downhole portion of intrastate storage facilities. Participating state entities, through annual Certification/Agreements with PHMSA, will inspect and enforce the federal underground storage regulations for intrastate underground natural gas storage facilities located

within the state. PHMSA will support participating state entities with grant funding paying a portion of their inspection and enforcement program costs based on the available federal funding for the program.

Applicant Eligibility:

State must have a 60105 Certification or 60106 Agreement with PHMSA. The Department provides Federal funds, up to 80 percent of the State's total program costs to any State agency with a certificate under Section 60105 of Title 49, United States Code, an agreement under Section 60106 of Title 49, United States Code, or to any State acting as a DOT agent on interstate pipelines.

Beneficiary Eligibility:

State (Includes District of Columbia and Puerto Rico) Also, State agency with a Certification or Agreement under 60105 or 60106 of Title 49 USC.

Credentials/Documentation:

Appropriate State legal official must approve legality of agency application and agreement. Costs will be determined in accordance with 2 CFR 200. Application (plan) is opened in early August and due September 30. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. U.S. Department of Transportation, Pipeline & Hazardous Materials Safety Administration, Pipeline Safety, PHP-50, 1200 New Jersey Avenue, SE, Washington, DC 20590.

Award Procedure:

Each State submits with its annual application a summary of estimated program costs by calendar year covering the State gas and/or hazardous liquid pipeline safety activities to PHMSA. The State Certifies it is complying with the requirements of the Certification and/or Agreements under 49 USC Sections 60105 and 60106. The estimated budgets and Certification/Agreements are reviewed by State Program Staff for reasonableness and completeness. All State Programs Certifying to PHMSA they are meeting the program requirements applications are accepted. The Certification and/or Agreement requirements are specified in 49 USC Sections 60105(b) and 60106(a). If a States Certification or Agreement is not accepted the State is notified in writing of the reason for rejection.

Deadlines:

Sep 06, 2017 to Oct 25, 2017 Applications are submitted in October for the next calendar year. The Director of State Programs may extend or modify the dates based on good cause.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days of receipt. Award is contingent on a current fiscal year appropriation and enacted budget.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Expenditures made by a State during the calendar year are eligible for Federal reimbursement. States receiving Federal assistance submit claims for reimbursement in February for the Federal share of the cost of actual expenditures between January 1 and December 31 of the year. See the

following for information on how assistance is awarded/released: Electronic Funds Transfer.

Reports:

No program reports are required. SF-270. An annual detailed progress report is required outlining each program's jurisdiction status, operators inspected, inspection days completed, compliance activities, incidents investigated and program accomplishments and any other items required by program guidelines or PHMSA Administrator. SF-270 End of Year Payment Report, List State Actual Costs and reasons for deviation from estimated program costs, Program Evaluation and Progress Report. Triennial grant review is performed on all States to assure funds were spent on proposed projects and in accordance with OMB requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

Records:

All records relating to the projects must be retained for a period of not less than 3 years from the date of submission of final expenditure report for grant period.

Account Identification:

69-5172-0-2-407.

Obligations:

(Formula Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$6,000,000 - Fiscal Year 2018 will be the first year for the grant.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-5172.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 190, 191, 192, 193, 195, 198 and 199. Individual copies available from the Transportation Safety Institute, PHMSA Training and Qualification, Oklahoma City, Oklahoma 73125. "Guidelines for States Participating in the Pipeline Safety Program." Also available on-line at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title49/49tab_02.tpl

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of Pipeline and Hazardous Materials Safety Administration regional office addresses.

Headquarters Office:

Zach Barrett 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (405) 834-8344

Website Address:

<http://www.phmsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Eligibility is determined by 49 USC, Section 6104.

Please describe criteria for selecting proposals:

All reasonable, allowable and necessary inspection and enforcement expenses from Certified State agencies are considered for funding to the limit of available grant funding.

20.761 BIOBASED TRANSPORTATION RESEARCH

(Biobased R&D)

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users of 2005 (SAFTEA- LU), Public Law 109-59, 119 Stat. 1781.

OBJECTIVES:

Carry out biobased research of national importance at the National Biodiesel Board and at research centers identified in section 9011 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8109).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The recipients will conduct research according to the provisions provided in SAFTEA- LU (Public Law 109-59, August 10, 2005 119 Stat. 1781) Subtitle B Title V Section 5101 (m) The Department's role will be to manage and coordinate with the grantees to best address related DOT program needs, and ensure that no unnecessary duplication of effort exists with other DOT projects. The program provided an avenue for innovative research to initiate and stimulate the biopower economy and rural areas as well as provide efficient, cost effective and environmentally safe transportation fuels to reduce greenhouse gases. The recipients are five regional land-grant universities and the National Biodiesel Board. The regional centers are called the Sun Grants and they consist of the University of Tennessee, South Dakota State University, Oregon University, Cornell University, and Oklahoma State University.

Applicant Eligibility:

Earmark recipients as designated in SAFTEA- LU , Public Law 109-59, August 10, 2005 119 Stat. 1781, Subtitle B Title V Section 5101 (m).

Beneficiary Eligibility:

Public and private nonprofit institutions of higher learning and industry trade groups.

Credentials/Documentation:

A formal application outlining how the institution will meet the project scope; detailed delineation of organization, staff, faculty, and budget. Costs were determined in accordance with OMB Circular A-21. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

The grants were earmarks under SAFTEA-LU. There are no additional or new grants awarded however the existing grants received incremental modifications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 1 to 15 days. Prior procedures had fifteen working days from receipt of proposal.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 20%. The Federal share of this grant shall be 20 percent of the funds received by the grantee.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Four years as designated in: SAFTEA-LU (Public Law 109-59, August 10, 2005, 119 Stat. 1781) Subtitle B Title V Section 5101 (m). In 2010, the Hire Incentive to Restore Employment Act extended the program and provided additional funding at the 2009 authorized levels. See the following for information on how assistance is awarded/released: The recipients were notified by letter and through the procurement process.

Reports:

Each recipient is required to submit an annual report and a final technical report at the end of the research project. If a recipient receives income during the life time of the grant, they are required to report the income on the SF-270. Each recipient must submit quarterly progress reports as well as annual reports on the project(s) status. The recipient is required by the Department and OMB (2 CFR part 215) to submit a financial report (SF-425). The report identifies the total program obligation, expenditures, and cost share during the specified quarter. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are required to conduct an A-133 audit.

Records:

Recipient is required to retain intact, for 3 to 5 years following submissions of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-8083-0-1-401.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - The program will be closing and each center will be required to submit their final reports except for South Dakota State University. The first Center to complete the research was Cornell University. University of Tennessee, Oregon State University, and Oklahoma State University are preparing to close in 2017. The program was not authorized for additional funding after 2010.

Range and Average of Financial Assistance:

The range was between \$9,000,000 - \$13,000,000.

TAFS Codes:

69-8083.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Northeast Center led by Cornell University closed in 2016. This center provided systematic approach to bioenergy economy. The North Central Center, South Dakota State University finalized and completed their EcoSun Prairie farm. The experiment studies the benefits of bioenergy production by utilize a specific area for multiple purposes from the same source. The other 3 centers are working on their final projects and results for end of calendar of 2017. Fiscal Year 2017: Oklahoma State University (South Central Regional Center), Oregon State University (Western Regional Center), University of Tennessee (Southeastern Regional Center) are working on the final research projects. A full report will be received before the end of the calendar year of 2017. South Dakota State University (North Central Region) will submit their final research reports during the 2018 calendar year. The Centers are working on biomass conversion process for feedstock specific to their region to improve the feedstock logistics supply chain for biofuels and bio-products. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU, Public Law 109-59), enacted in 2005, Section 5201(m).

Regional or Local Office:

None.

Headquarters Office:

Shawn Johnson, 1200 New Jersey Avenue, S.E, E33-470, Washington, District of Columbia 20590 Email: shawn.johnson@dot.gov Phone: (202) 366-1762.

Website Address:

<https://ag.tennessee.edu/sungrantinitiative/Pages/default.aspx>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The centers will be preparing the final reports to begin closing the grants issued by the Department of Transportation.

The website listed above demonstrate the continuous effort to improve conversion process and feedstock logistics supply chain. The program will continue as initiated under the Farm Act of the Department of Agriculture. Fiscal Year 2017: The centers will be preparing the final reports to begin closing the grants issued by the Department of Transportation. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.762 RESEARCH GRANTS

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users of 2005 (SAFTEA_LU), Public Law 109-59, 423 U.S.C 117 and 502.

OBJECTIVES:

A. Rural Transportation Research Initiative, North Dakota State University s Upper Great Plains Transportation Institute must carry out the Rural Transportation Research Initiative. B. Hydrogen-Powered Transportation Research Initiative, University of Montana must carry out the Hydrogen-Powered Transportation Research Initiative. C. Cold Region and Rural Transportation Research, Maintenance, and Operations, Montana State Universitys Western Transportation Institute is to develop a research facility in Lewistown, Montana, for basic and applied research and testing on surface transportation issues facing rural and cold regions. D. Advanced Vehicle Technology, University of Kansas Transportation Research Institute is to research and develop advanced vehicle technology concepts, focused on vehicle emissions, fuel cells and catalytic processes, and intelligent transportation systems. E. Renewable Transportation Systems Research, University of Vermont is to research, develop and field test of hydrogen fuel cell and biofuel transportation technology. F. Alternative Fuels and Life Cycle Engineering, Rochester Institute of Technology are to conduct applied research for alternative fuel vehicle testing and analysis and manufacturing technology.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The grantees will conduct research according to the provisions provided in SAFETEA-LU (Public Law 109-59), August 10, 2005, 119 Stat. 1406. The Departments role will be to manage the grants, provide technical collaboration, coordinate with the grantee to best address related DOT program needs and ensure that no unnecessary duplication of effort exists with other DOT projects.

Applicant Eligibility:

Legislated grants in SAFETEA-LU Section 5513(d),(g) and (i).

Beneficiary Eligibility:

All listed beneficiaries will benefit from results produced by these research efforts.

Credentials/Documentation:

A formal application outlining how the institution will meet the project scope; detailed delineation of organization, staff, faculty and budget. Costs are determined in accordance with OMB Circular No. A-21. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. It is recommended that applicants use the procedures prescribed in grants.gov to facilitate an electronic application process. This will allow applicants the opportunity to submit all required forms, along with the necessary technical and cost proposals as prescribed in the relevant Opportunity description.

Award Procedure:

This is a Congressional earmark grant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

See award procedures.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. There is a 20% matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

See SAFETEA-LU (Public Law 109-59) for additional information. Method of awarding/releasing assistance: by letter of credit.

Reports:

Quarterly reports, SF-425. Annual reports are required, SF-425. OST-R monitors all grant awards with site visits and report reviews.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Institutions of higher education or other non-profit organizations are subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507) and revised OMB Circular A-133.

Records:

Grantee is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit finding, all grant products, financial records, and supporting documents.

Account Identification:

69-1136-0-1-401.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - No new funding but previously obligated funds enables activities to continue.

Range and Average of Financial Assistance:

\$500K to \$5M. Averaged \$2.25M.

TAFS Codes:

69-69-8083.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Rural Transportation Research Initiative - Researchers conducted a comprehensive analysis of currently legal truck configurations in North Dakota. Fiscal Year 2017: NCFRP will continue to work on two projects prior to close-out. Fiscal Year 2018: NCFRP will end on December 31, 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 220 Cost Principles for Educational Institutions and 2 CFR Part 215 Uniform Administrative Requirements for Grants and Agreements with Organizations.

Regional or Local Office:

None.

Headquarters Office:

Dawn Tucker-Thomas, 1200 New Jersey Avenue, E33-464, Washington, District of Columbia 20590 Email: dawn.tucker-thomas@dot.gov Phone: (202) 366-1300.

Website Address:

<http://www.rita.dot.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Two NCFRP projects: F-49, Understanding and Using New Truck Data Sources to Address Urban Freight Challenges (\$600,000) and F-50, Freight Resiliency: Dealing with major Cargo Traffic Diversions in National Emergencies (\$600,000).

Under the Rural Transportation Research Initiative, researchers completed a comprehensive analysis of legal truck configurations in North Dakota.

Under NCFRP, eleven potential projects were provided to the NCFRP Oversight Committee for reviewing and rating. Rating ballots from the NCFRP Oversight Committee was due and projects were selected. The request for proposals was released with proposals due January 19, 2016. Project panels met thereafter to select research contractors. Fiscal Year 2017: NCFRP will continue to work on two projects prior to close-out. Fiscal Year 2018: NCFRP will end on December 31, 2018.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.802 FEDERAL SHIP FINANCING GUARANTEES

(Title XI)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Federal Credit Reform Act, 46 U.S.C 537.

OBJECTIVES:

To provide competitive financing through the issuance of guarantees of debt issued for the purpose of financing or refinancing the construction, reconstruction or reconditioning of vessels built in United States shipyards and guarantee obligations for advanced shipbuilding technology and modern shipbuilding technology of a general shipyard facility located in the United States to stimulate commercial ship construction for domestic and export sales, encourage shipyard modernization, and support increased productivity.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Guarantees are available to aid in financing of: (1) advanced shipbuilding technology and modern shipbuilding technology of a general shipyard facility located in the United States, and (2) vessels (including eligible export vessels) which are designed for research or for commercial use (a) in coastwise or intercoastal trade; (b) on the Great Lakes or on bays, sounds, rivers, harbors, or inland lakes of the United States; (c) in foreign trade; (d) as an ocean thermal energy conversion facility or plantship; (e) as floating drydocks, or (f) with respect to an eligible export vessel, in world-wide trade. Any vessel of not less

than 5 net tons, (other than a towboat, barge, scow, lighter, car float, canal boat or tank vessel of less than 25 gross tons) is eligible. To provide competitive financing through the issuance of guarantees of debt issued for the purpose of financing or refinancing the construction, reconstruction or reconditioning of vessels built in United States shipyards. Assistance is provided as ship/shipbuilding technology is constructed and/or throughout life of ship/shipbuilding technology, but in any case not to exceed 25 years from vessel delivery or economic life of the assets being financed.

Applicant Eligibility:

An individual with the ability, experience, financial resources, and other qualifications necessary for the adequate operation and maintenance of a vessel or an eligible shipyard.

Beneficiary Eligibility:

U.S. and foreign shipowners, or eligible U.S. shipyards.

Credentials/Documentation:

Financial history, economic feasibility of project, operating history, citizenship of applicant. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Informal preapplication meeting is recommended. This program is excluded from coverage under OMB Circular No. A-102. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application Form MA-163 must be filed with the Office of Marine Financing, Maritime Administration, 1200 New Jersey Avenue, S.E., Washington, DC 20590.

Award Procedure:

Final approval granted by the Maritime Administrator.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The Maritime Administration is required to approve or deny an application within two years of receiving a signed application. The deadline may be extended up to two years by applicant.

Appeals:

none.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance provided as ship/shipbuilding technology is constructed and/or throughout life of ship/shipbuilding technology, but in any case not to exceed 25 years from vessel delivery or economic life of the assets being financed. See the following for information on how assistance is awarded/released: Guarantee of private-sector debt.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. See "Index of Current Regulations," Maritime Administration.

Records:

Financial reports as per contract. Annual reports regarding citizenship, no default certificates, vessel requirements.

Account Identification:

69-1752-0-1-403.

Obligations:

(Salaries) FY 16 \$3,135,000; FY 17 est \$3,000,000; and FY 18 est \$0 - (Salaries) FY 16 \$3,135,000; FY 17 est \$3,000,000; and FY 18 \$0. (Guaranteed/Insured Loans) FY 16 \$0; FY 17 est \$373,748,000; and FY 18 est \$0 - (Guaranteed/Insured Loans) FY 16 \$0; FY 17 est \$373,748,000; and FY 18 est \$0.

Range and Average of Financial Assistance:

Historically projects have ranged from less than \$1 million to several hundred million. (Average is not entered, because it would not be typical of the breadth of the program).

TAFS Codes:

69-69-1752.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, a commitment to guarantee \$373.7 million was approved for mortgage period financing to construct two Jones Act qualified LNG powered ConRo vessels. Fiscal Year 2017: In 2017 authority to guarantee over \$9.67 billion of new guarantees. Fiscal Year 2018: In 2018 authority to guarantee over \$9.2 billion of new guarantees.

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR Part 298. Booklet entitled, "Federal Ship Financing Program."

Regional or Local Office:

See Regional Agency Offices. See Maritime Administration Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

David M. Gilmore 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-2118

Website Address:

<http://www.marad.dot.gov>

RELATED PROGRAMS:

11.415 Fisheries Finance Program; 20.808 Capital Construction Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In FY 2016, a commitment to guarantee \$373.7 million was approved for mortgage period financing to construct two Jones Act qualified LNG powered ConRo vessels. Fiscal Year 2017: None projected. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Projects which involve the construction, reconstruction or reconditioning of vessels in the United States and advanced and modern shipbuilding technology for a general shipyard facility are eligible for Title XI financing. In order to receive approval, applicants must possess the necessary ability, experience, financial resources and other qualifications. The financial resources necessary for the project are determined by the project's costs and the financial position and resources available to the applicant. Additionally, all projects must be determined to be economically feasible before approval can be granted. Applications are generally processed with a view towards accommodating the time frame of each and every applicant.

20.803 MARITIME WAR RISK INSURANCE

(Title XII, MMA, 1936)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Merchant Marine Act of 1936, Public Law 110-417, U.S.C Stat. , 46 U.S.C 539.

OBJECTIVES:

To provide war risk insurance to U.S.-flag and foreign vessels whenever it appears to the Secretary of Transportation that adequate insurance for waterborne commerce cannot be obtained on reasonable terms and conditions from commercial companies.

TYPES OF ASSISTANCE:

Insurance

USES AND USE RESTRICTIONS:

Insurance binders or policies on certain vessels in operation or under construction are provided for nominal cost in times of peace, conflict, and national security purposes. Presidential Approval.

Applicant Eligibility:

All U.S. flag vessels, and certain foreign flag vessels meeting specific criteria as determined by the Maritime Administrator.

Beneficiary Eligibility:

Covered beneficiaries include vessel owners, third party liabilities, merchant mariners, and certain designated beneficiaries of deceased mariners.

Credentials/Documentation:

Applications, vessel data, unqualified contract of commitment, and other supporting data as required by 46 CFR Part 308. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Complete and proper filing of applications, supporting documents, and payment of appropriate binding fees or promises as specified in 46 CFR Part 308.

Award Procedure:

Binders issued only to those applicants that qualify.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 5 to 15 working days.

Appeals:

Not Applicable.

Renewals:

This program has been extended until December 31, 2019.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Indeterminate; depends on war situation and posture of the country. Method of awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable in peacetime.

Account Identification:

69-4302-0-3-403.

Obligations:

(Insurance) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Applicable only in designated combat areas, subject to terms of the binder.

TAFS Codes:

69-69-4302.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Rules and Regulations are set forth under 46 CFR 308 (as published under authority of Public Law 101-115) and 309 as amended from time to time in the Federal Register.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Michael Yarrington Office of Marine Insurance

1200 New Jersey Avenue, SE
Washington, District of Columbia 20590
United States, Washington, District of Columbia 20590 Phone: (202)366-1915

Website Address:

<http://www.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

20.806 STATE MARITIME SCHOOLS

State Maritime Schools or Academies (SMA)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Maritime Education and Training Act of 1936, Public Law 96-453, 46 U.S.C 51501 - 51511.

OBJECTIVES:

To educate and train future merchant marine officers at the State Maritime Academies (SMA). The education and training of these students prepares them to graduate and enter the maritime industry in numerous capacities, including crewing merchant vessels, maritime opportunities ashore and also to be available during national emergencies or contingencies.

TYPES OF ASSISTANCE:

Cooperative Agreements; DIRECT PAYMENTS FOR A SPECIFIED USE; Training; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Operation and maintenance of the State Maritime Schools/Academies; training vessel fuel, maintenance and repair of training vessels loaned by Federal Government; student incentive payments to selected U.S. qualified students; and marketing activities related to the Student Incentive Payment (SIP) Program. Students, meeting eligibility requirements; SMA SIP marketing activities.

Applicant Eligibility:

State institutions of higher education. Assistance is limited to one maritime academy in any one State.

Beneficiary Eligibility:

Students, meeting eligibility requirements.

Credentials/Documentation:

Formal application from State Governor setting forth evidence of establishment of school; State appropriation funds must be provided to the SMAs that must match the federal funds provided by MARAD in direct payments; suitable curriculum and facilities. Maintain the training vessels in accordance with US Coast Guard regulations and maintain safety and seaworthiness guidelines. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Letter from a State Governor to the Secretary of Transportation or the Maritime Administrator.

Award Procedure:

Need for training must be established and school must satisfy established maritime training standards. The final award is approved by the Maritime Administrator. For cooperative agreements relating to SIP program marking activities, final award determinations will be made by appropriate MARAD program officials at HQ (and 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards will apply).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

For cooperative agreements relating to SIP program marking activities, awards will be renewed only if provided for in the cooperative agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Annual assistance payments of \$600,000 to the regional academies if matched by State appropriations and if out-of-State students are enrolled. Federal assistance consists of (1) lump-sum annual assistance payment to schools, (2) loan of vessel and payment for its maintenance, and (3) student incentive payments. States are required to show that their annual funding for the school at least matches the Federal lump sum assistance payment. For cooperative agreements relating to SIP program marking activities, any matching requirements will be at the discretion of appropriate MARAD program officials at HQ.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Annual payments to the schools are given normally on a lump-sum basis. SIP payments are paid directly to the students per semester. For cooperative agreements relating to SIP program marking activities, the time limits for any award will be set forth in the terms and conditions of the cooperative agreement. See the following for information on how assistance is awarded/released: lump sum, or per semester directly to financial institutions.

Reports:

Semester enrollment by class. Annual cost analysis of school operation. Other at request of the Maritime Administrator. For cooperative agreements relating to SIP program marking activities, the number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement. Annual submission of State contributions. For cooperative agreements relating to SIP program marking activities, the number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement. Semester enrollment by class. Annual cost analysis of school operation. Other at request of the Maritime Administrator. For cooperative agreements relating to SIP program marking activities, the number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement. SF-425. Semester enrollment by class. Annual repair and maintenance as needed. Other at request of the Maritime Administrator.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular 2 CFR 200 nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific

audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular 2 CFR 200.

Records:

Pertaining to each school, its officers, faculty and students, the vessel and crew, and the shore base are required to be kept for the period of employment/enrollment, plus 6 years. For cooperative agreements relating to SIP program marking activities, recordkeeping requirements will be set forth in the terms and conditions of the cooperative agreement.

Account Identification:

69-1750-0-1-403.

Obligations:

(Direct Payments for Specified Use) FY 16 \$3,000,000; FY 17 est \$3,000,000; and FY 18 est \$3,000,000

Range and Average of Financial Assistance:

Annual assistance payment of \$500,000 to each regional school provided State matches funds and admits eligible out-of-state student. A subsistence of \$8,000 per academic year, not to exceed 4 years, paid to selected students in good standing in the Student Incentive Payment (SIP) Program, NTE a total of 300 students.

TAFS Codes:

69-69-1750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Approximately 50 merchant marine officers with Merchant Mariner Credentials (MMC) graduated from the SMAs. Initial draft cooperative agreement, beginning development of a marketing strategy to increase awareness of and attract qualified candidates to SIP program and maritime careers. Fiscal Year 2017: An estimated 50-60 merchant marine officers with MMC will graduate from the SMAs. Development and implementation of a marketing strategy to increase awareness of and attract qualified candidates to SIP program and maritime careers. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR 310A; Standard college catalogs are available from the schools listed below.

Regional or Local Office:

See Regional Agency Offices. (a) Federal Government: none; (b) standard information for prospective students: Maine Maritime Academy, Castine, ME 04421; Massachusetts Maritime Academy, Buzzards Bay, MA 02532; State University of New York Maritime College, Fort Schuyler, NY 10465; Texas A&M Maritime Academy, Galveston, TX 77550; California Maritime Academy, Vallejo, CA 94591; Great Lakes Maritime Academy, Traverse City, MI 49684.

Headquarters Office:

Anne Wehde 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-5469.

Website Address:

<http://www.dot.gov>

RELATED PROGRAMS:

11.417 Sea Grant Support; 20.807 U.S. Merchant Marine Academy

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Approximately 50 merchant marine officers with Merchant Mariner Credentials (MMC) graduated from the SMAs. Initial draft cooperative agreement, beginning development of a marketing strategy to increase awareness of and attract qualified candidates to SIP program and maritime careers. Fiscal Year 2017: An estimated 50-60 merchant marine officers with MMC will graduate from the SMAs. Development and implementation of a marketing strategy to increase awareness of and attract qualified candidates to SIP program and maritime careers. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See Credentials/Documentation section of this program.

20.807 U.S. MERCHANT MARINE ACADEMY

(Kings Point)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Maritime Education and Training Act, Public Law 46-51301-51316.

OBJECTIVES:

To educate and train merchant marine officers.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

This program is a federally operated maritime academy providing education, training, subsistence, books, quarters, uniforms, medical care, and program travel to its U.S. citizen students. Appropriations are restricted to the above and the operation and maintenance of the school. International students may attend, at the expense of their sponsoring nation. Federal education grants and/or student loans are available to cover the cost of midshipman fees for supplies and services of a personal nature (estimated at a total of \$3,014 over four years for the Class of 2016).

Applicant Eligibility:

High school graduates who are U.S. citizens and international students in accordance with legislation. In general, criteria are similar to those generally used for college admission.

Beneficiary Eligibility:

High school graduates who are U.S. citizens and eligible international students.

Credentials/Documentation:

Usual documents for college admission and a comprehensive physical examination. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

U.S. citizens desiring appointment to the school apply to Senate or House of Representative members or Maritime Administrator for nomination. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Nominating officials submit nominations to school's Office of Admission. Selection is competitive and merit-based.

Award Procedure:

Appointment approved by the Maritime Administrator.

Deadlines:

Aug 01, 2016 to Jan 31, 2017: Nominations. Nominations must be submitted by January 31 of the year preceding that in which admission is desired. Applications must be submitted by March 1 of the year in which admission is desired.

Range of Approval/Disapproval Time:

From 3 to 8 months.

Appeals:

To the school.

Renewals:

Applicants not accepted may reapply the following year.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Students are furnished with required uniforms and textbooks during their tenure at the Academy. Federal education grants and/or student loans are available to cover the cost of midshipman fees for supplies and services of a personal

nature. See the following for information on how assistance is awarded/released: Federal grants or loans are distributed in accordance with US Department of Education policies (usually at the start of each academic term).

Reports:

Program reports are submitted by the request of the Maritime Administration. SF-425. At the request of the Maritime Administration. SF-425. At the request of the Maritime Administration.

Audits:

Not Applicable.

Records:

Copies will be furnished at the request of the Maritime Administration. Those pertaining to its officers, faculty, staff and midshipmen shall be kept for the period of enrollment plus eight years.

Account Identification:

69-1750-0-1-403.

Obligations:

(Training) FY 16 \$140,000; FY 17 est \$140,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Annual assistance payment of \$400,000 to each regional school provided State matches funds and admits eligible out-of-state student. An allowance of \$48,000 per academic year, not to exceed 4 years, payment to selected students in good standing in the student incentive payment program.

TAFS Codes:

69-1750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2106, USMMA graduated 229 new licensed merchant marine officer. USMMA welcomed 278 new Midshipman for the Class of 2020. To date, progress is being achieved on these infrastructure improvements. Phases 1 and 2 of the water main replacement project are complete. The final phase, Phase 3B, will be completed in April 2016. The installation of the new 8-inch water main was completed in August 2012 and upgrade of the existing water vault was completed in January 2013. Phase 3 upgrades the water distribution system throughout the campus and is scheduled for completion in April 2016. For the Sewer Pump Replacement Project, upgrades to the Elmridge Road Sewage Lift Station included one new lift pump and renovation of a second lift pump, addition of an emergency generator, and installation of modern electrical equipment. Additionally, the survey phase of the electric grid upgrade project was completed in February 2013. Four projects were completed in the past year including the Zero Deck design, sewer pump replacement, renovation of the museum restrooms and completion of the barracks and facilities safety issues. Fiscal Year 2017: The next CIP priorities are the academic areas including classrooms, laboratories, lecture halls and faculty spaces on campus. In order to meet its goal of educating the future leaders of the maritime industry, the USMMA requires updated and technologically advanced classrooms, laboratory and computer simulator spaces. Samuels Hall will be the first of four academic buildings to be renovated. The design will include the Academy leadership directed repurposing of Samuels Hall for use as computer simulator labs, classrooms and office spaces for the Marine Transportation and Marine Engineering Departments. The facility design will include full renovation and upgrades, and will incorporate facility needs required by the academic departments. The A&E design phase is scheduled for contract award in October 2016. The Gibbs Hall academic building design and renovation project is a new project for this years report. Gibbs Hall is the third of four academic buildings to be renovated. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR 310 Subpart C; Standard college catalogs and brochures.

Regional or Local Office:

None. Director of Admissions
U.S. Merchant Marine Academy
300 Steamboat Road, Admissions Center
Kings Point, New York 11024-1699

USMMA Admissions Office and Field Representatives listed in the Catalog.

Headquarters Office:

Rilla Gaither 1200 New Jersey Avenue, S.E, Washington, District of Columbia 20590 Phone: 202-366-5111

Website Address:

<http://www.dot.gov>

RELATED PROGRAMS:

11.417 Sea Grant Support; 20.806 State Maritime Schools

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Capital Asset Management Program (CAMP): \$18.1 million; Academic Departments: \$4 million; Day-to-day operations of the Midshipmen (including food and health services, textbooks and uniforms): \$11.9 million; Operations and administrative support: \$13.6 million; Faculty and staff compensation: \$35.1 million. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See Credentials/Documentation section of this program.

20.808 CAPITAL CONSTRUCTION FUND

(CCF)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Merchant Marine Act of 1936, Section 607, Public Law 91-469, 1018 Stat. , 46 U.S.C 53501 - 53517.

OBJECTIVES:

To provide for replacement vessels, additional vessels or reconstructed vessels, built and documented under the laws of the United States for operation in the United States foreign, Great Lakes, Marine Highways or noncontiguous domestic trades.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The capital construction fund program is a program created to help rejuvenate the American Merchant Marine. The assistance provided is not through payment of appropriated funds, but rather allows the fund holder to defer a portion of tax monies that would otherwise be paid to the U.S. Government during the tax year. The extension of tax deferral privileges encourages the accomplishment of the program objective by allowing the fund holder to accumulate and use otherwise taxable earnings for the purposes of acquiring, constructing or reconstructing vessels built and documented in the United States and operated in the United States foreign, Great Lakes or noncontiguous domestic trade and in the fisheries.

Applicant Eligibility:

An applicant must be a U.S. citizen, own or lease one or more eligible vessels, have a program for the acquisition, construction or reconstruction of a qualified vessel and demonstrate the financial capabilities to accomplish the program.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Be able to provide proof of requirements called for in the Applicant Eligibility section of this program. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Contact the Maritime Administration, Office of Financial Approvals. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for

Federal Awards. . See Federal Register Notice (46 CFR Part 390, Appendix I) dated January 29, 1976. Persons seeking to enter into a Capital Construction Fund Agreement may make application by letter to the headquarters office shown below.

Award Procedure:

Citizenship of the applicant, whether the applicant owns or leases one or more eligible vessels, has an acceptable program for the acquisition, construction or reconstruction of a qualified vessel and has the financial capability to accomplish the program. Approved by the Associate Administrator for Business and Finance Development.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depends upon the individual program agreed to between the Maritime Administration and the applicant. See the following for information on how assistance is awarded/released: N/A.

Reports:

Annual reports specified in the agreement and the rules and regulations. Cash reports are not applicable. Annual reports specified in the agreement and the rules and regulations. SF-425. Annual reports specified in the agreement and the rules and regulations.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All financial records are subject to audit by the Internal Revenue Service and/or the Maritime Administration, Department of Transportation.

Records:

See Reports.

Account Identification:

69-1750-0-1-403.

Obligations:

(Direct Payments for Specified Use) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - No Obligations are awarded. Company's own funds are used for the program.

Range and Average of Financial Assistance:

Applicant receives tax benefits for depositing assets in accordance with the program.

TAFS Codes:

69-1750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In 2016 over \$159 million of funds deposited in Capital Construction Funds was used to construct new vessels, reconstruct existing vessels or pay down debt on existing vessels. An additional \$193 of earnings from the operation or sale of vessels was deposited into CCFs by the over 145 program participants, leaving a balance of over \$2.1 billion on deposit. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Capital Construction Fund Agreement; Parts 390 and 391, Title 46, CFR; Part 3, Title 26, CFR.

Regional or Local Office:

See Regional Agency Offices. See Maritime Administration Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Daniel Ladd 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202)366-1859

Website Address:

<http://www.dot.gov>

RELATED PROGRAMS:

20.802 Federal Ship Financing Guarantees; 20.812 Construction Reserve Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In 2016 CCF funds were used to install ship exhaust emissions scrubbers in vessels in the Great Lakes and servicing Alaska, reducing the harmful emissions from the vessels. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See Award Procedure section of this program.

20.812 CONSTRUCTION RESERVE FUND

(CRF)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Merchant Marine Act of 1936, Section 511, Public Law 74-835, 46 U.S.C 1161.

OBJECTIVES:

To promote the construction, reconstruction, reconditioning, or acquisition of merchant vessels built and documented under the laws of the United States for purposes of national defense and the development of U.S. commerce.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Construction Reserve Fund (CRF), authorized under 46 USC 1161, is a financial assistance program which provides tax deferral benefits to U.S. flag operators. The CRF permits the fundholder to deposit gains attributable to the sale of, or indemnification for, loss of vessels and to defer tax on such gains provided the gains are to be reinvested in vessels. Also the CRF allows the accumulation of earnings from operations of vessels documented in the U.S., or the earnings from the investment of the Fund. The ability to accumulate funds and the tax deferral provision have enabled fundholders to construct or reconstruct in the United States, and documented under the laws of the United States, larger and better-equipped vessels, to reduce mortgage debt on the vessels, and to construct a greater number of vessels than would be possible without the program.

Applicant Eligibility:

A Construction Reserve Fund (CRF) may be established by any citizen of the United States who owns, in whole or in part, a vessel or vessels operating in the foreign or domestic commerce of the U.S., or in the fisheries. Additionally, any citizen who is operating such vessel or vessels owned by another individual may establish a CRF.

Beneficiary Eligibility:

Any citizen of the United States who owns, in whole or in part, a vessel or vessels operating in the foreign or domestic commerce of the U.S. or in the fisheries. Additionally, any citizen who is operating such vessel or vessels owned by another individual.

Credentials/Documentation:

Be able to provide proof of requirements called for in Applicant Eligibility section of this program. The program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Contact the Maritime Administration, Office of Financial Approvals and Marine Insurance. This program is excluded from coverage under OMB Circular No. A-102. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. You may manually enter in any other application procedures not found above

See Federal Register Notice (46 CFR 287.4). Persons seeking to establish a Construction Reserve Fund may make application by letter to the headquarters office shown below. This program is excluded from coverage under OMB Circular No. 2 CFR 200..

Award Procedure:

Review of the application by the Office of Financial Approvals and Marine Insurance to determine citizenship of the applicant, if applicant is owner or operator of the vessel and if the proposed objective of construction, reconstruction or acquisition is acceptable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depends on each individual program agreed upon between the Maritime Administration and the applicant. Method of awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

See Reports section of this program.

Account Identification:

69-1750-0-1-403.

Obligations:

(Direct Payments for Specified Use) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Company's own funds are used for the program.

Range and Average of Financial Assistance:

Defer tax on gains by depositing the gains attributable to the sale of or indemnification for loss of vessels in accordance with the program.

TAFS Codes:

69-1750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In 2016 over 30 vessels were constructed with funds deposited into CRFs. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR Part 287; Order and Form of Resolution which outlines details pertaining to the establishment and maintenance of the Construction Reserve Fund.

Regional or Local Office:

See Regional Agency Offices. Offices listed in appendix IV of the Catalog.

Headquarters Office:

Daniel Ladd 1200 New Jersey Avenue, S.E. , Washington, District of Columbia
20590 Phone: (202)366-1859

Website Address:

<http://www.marad.dot.gov>

RELATED PROGRAMS:

20.802 Federal Ship Financing Guarantees; 20.808 Capital Construction Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In 2016 over 30 vessels were constructed with funds deposited into CRFs. Fiscal Year 2017: Not available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Review of the application by the Office of Financial Approvals and Marine Insurance to determine citizenship of the applicant, if applicant is owner or operator of the vessel and if the proposed objective of construction, reconstruction or acquisition is acceptable.

20.813 MARITIME SECURITY FLEET PROGRAM OR SHIP OPERATIONS COOPERATION PROGRAM

(Maritime Security Program)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

The Maritime Security Act of 2003; the National Defense Authorization Act (NDAA) for Fiscal Year 2013, Public Law 108-136; Omnibus Appropriations Bill of 2015; NDAA for Fiscal Year 2017, P.L. -112-239; 1122, Section 212(f), Public Law 112-238.

OBJECTIVES:

The MSP helps sustain a fleet of 60 active, commercially viable, militarily useful, privately-owned vessels operating under U.S. registry to meet national defense and other security requirements. Participating operators are required to make their ships and commercial transportation resources available upon request by the Secretary of Defense during times of war or national emergency.

Address and promote innovation in transportation of cargo, through identification, development, and application of methods, equipment, facilities and techniques with U.S. based companies and organizations involved in marine and intermodal transportation.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Vessel's Operations restricted to U.S. foreign trade. Public purpose of support and promotion of new concepts for the carriage of cargo, improve communications, share technology, improve transportation security and research.

Applicant Eligibility:

U.S. citizens and operators of U.S. flag vessels.

Beneficiary Eligibility:

Ownership and operation of vessels and facilities useful to the United States in time of war or national emergency.

Credentials/Documentation:

Ownership of U.S. documented vessels. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform

Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Solicitation for applications in the Federal Register; None.

Award Procedure:

MARAD Office of Sealift Support and the U.S. Transportation Command review applications against criteria established in the Maritime Security Act of 2003.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

90 Days from closing of application.

Appeals:

Decisions concerning administration of the Maritime Security Program will be referred to the Maritime Administrator.

Renewals:

Program is based on 1-year contracts renewable each year, subject to funding, and not to extend past fiscal year 2025.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

One year contracts, renewable yearly, payable in monthly installments. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Maritime Security Program subject to both internal and external audit. These audits will be made by the Office of the Inspector General (DOT) in accordance with the General Accounting Office guidelines, "Standards for Audit of Government Organizations, Programs, Activities and Functions," and additional OMB guidance.

Records:

Vouchers monthly.

Account Identification:

69-1711-0-1-054.

Obligations:

(Direct Payments for Specified Use) FY 16 \$210,000,000; FY 17 est \$299,940,000; and FY 18 est \$5,000,000 - (Direct payments) FY 16 \$3.5mil per ship/annually = \$210,000.00 annual; FY 17 \$4,999,950.00 per ship/annually = \$300.mil annual; FY 2018 \$5,000,000.00 per ship/annually = \$300,000.00 mil annual.

Range and Average of Financial Assistance:

Authorized \$3.1 million per vessel per year (FY 2012-2015); \$3.5 million (FY 2016); \$4.999 (FY2017); \$5.0 million (FY 2018-20); \$5.23 million (FY 2021); and \$3.7 million (FY2022-2025).

TAFS Codes:

69-1711.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Maintained full authorized 60 ships in MSP, six of the 60 ships were replaced with newer, more modern ships. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR Part 212(f).

Regional or Local Office:

None.

Headquarters Office:

William McDonald 1200 New Jersey Avenue, S.E. , Washington, District of Columbia 20590 Phone: (202) 366-5076

Website Address:

<http://www.marad.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The 60 ships in the MSP received payments up to \$3.5 million per ship during 2016 for operation in U.S. international trade. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) Vessel is required to maintain U.S.-flag presence in foreign commerce and/or necessary for meeting military sealift requirements; (2) Applicant possesses operational expertise and intermodal assets useful to the United States in meeting its sealift requirements; (3) Maritime Security Program participants are required to commit sealift and intermodal capacity to the Emergency Preparedness Program (EPP), approved by the Secretary of Defense (SECDEF).

20.814 ASSISTANCE TO SMALL SHIPYARDS

(Small Shipyard Grants)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Section 3508 National Defense Authorization Act, Public Law 110-417.

OBJECTIVES:

To award grants for capital improvements and related infrastructure improvements at qualified shipyards that will facilitate the efficiency, cost effectiveness, and quality of domestic ship construction for commercial and Federal Government use.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

For capital and related infrastructure improvements. Cannot be used for physical facility construction or land acquisition unless specifically approved by Administrator as consistent with capital and infrastructure improvements. Federal funds cannot exceed 75 percent of project cost unless (1) cost is less than \$26,075, or (2) determination is made that project merits support and requires additional assistance. Cannot be used for physical facility construction or land acquisition unless specifically approved by the Administrator as consistent with capital infrastructure improvements.

Federal funds cannot exceed 75 percent of project costs unless a determination is made that project merits support and requires additional assistance.

Applicant Eligibility:

Either a shipyard or a State or local government on behalf of a shipyard can apply. The shipyard must be one in a single geographical location, located in or near a maritime community, that (1) is a small business concern within the meaning of section 3 of the Small Business Act (15 U.S.C. 632); and (2) does not have more than 600 production employees. Other factors taken into account when grants are awarded will be (a) the economic circumstances and conditions of the maritime community near to which a shipyard is located; and (B) the local, State and regional economy in which such community is located.

Beneficiary Eligibility:

Shipyard.

Credentials/Documentation:

As required by application. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under

E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. SF-424 application form must be filed with the Maritime Administration. There are specific agency instructions for additional information which should be provided as an addendum to Form SF-424. These instructions were published in the Federal Register on January 10, 2008, in Vol.73, No. 7, pp.1912-1913. Applicants can go to www.grants.gov to apply.

Award Procedure:

Awards will be made by the Maritime Administrator in such amounts and under such conditions as he determines.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. Public Law 110-417 provides that no more than 75 percent of a project's cost may be paid from Federal Funds.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

To be individually determined based on project. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. SF-425 is required. There will be Progress Reports, Annual Reports, and/or Status Reports depending on the nature of the project and the period of time it is expected to take. No expenditure reports are required. Monitoring is based done by the audit requirements. Grants over \$750,000 have mandatory audits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all project contract documents, financial records and supporting documents.

Account Identification:

69-1770-0-1-021.

Obligations:

(Project Grants) FY 16 \$10,000,000; FY 17 est \$4,900,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Awards can be in any amount up to \$9,800,000.

TAFS Codes:

69-1770.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Funds were awarded on April 18, 2016. Fiscal Year 2017: Program funded at \$4,900,000.00. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register publication on January 10, 2008 in Vol.73, No.7, pp.1912-1913. Implementing legislation: Section 3506 of the National Defense Authorization Act for Fiscal Year 2006 (Public Law 109-163) and section

entitled "Assistance to Small Shipyards" in Consolidated Appropriations Act, 2008.

Regional or Local Office:

None.

Headquarters Office:

David.Heller 1200 New Jersey Ave, Room W21-318
, Washington, District of Columbia 20590 Email: David.heller@dot.gov
Phone: (202) 366-5737 Fax: (202) 366-6988.

Website Address:

<http://www.marad.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Funds were awarded to Commercial Shipyards that builds and delivers or repairs completed vessels. Fiscal Year 2017: Awards will be made September 5, 2017. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) How well the project will facilitate the efficiency, cost-effectiveness, and quality of domestic ship construction for commercial and Federal Government use, and (2) the economic factors set forth in section 081 above.

20.816 AMERICAS MARINE HIGHWAY GRANTS

Marine Highway Program

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

2010 National Defense Authorization Act (Section 3515), Public Law 111-84, 46 U.S.C 55601 (g); 2016 National Defense Authorization Act, Public Law 114-113.

OBJECTIVES:

Mitigate landside congestion, expand transportation options, and realize public benefit and external cost savings by awarding Marine Highway grants to qualified applicants to implement designated Marine Highway projects.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

The assistance will be used to expand the use of water transportation using through designated Marine Highway Projects to create new or expand existing services along designated Marine Highway Routes. Components of projects that are eligible for grant funding include the following:

Port and terminal infrastructure including wharves, docks, terminals and paving, etc.,

Cargo, passenger and/or vessel handling equipment,
Efficiency or capacity improvements in ports, terminals, aboard vessels and intermodal connectors, etc.,

Investments that improve environmental sustainability,
New or used vessel purchase, lease, or modification,
Marine Highway demonstration projects of a limited duration, and
Planning, preparation and design efforts in support of Marine Highway Projects. 100 percent are discretionary funds.

Applicant Eligibility:

Grant applicants must be public agencies at the state, regional or local level including, but not limited to, Metropolitan Planning Organizations, State governments (including State Departments of Transportation) and port authorities. They must also have had their projects for which they are requesting funds be designated as Marine Highway Projects by the Secretary of Transportation under Americas Marine Highway Program (110th Congress, Public Law 110-140).

In order to receive a grant under this program, applicants must submit an application to the Secretary and demonstrate that the project is financially viable, the funds will be spent efficiently and effectively, and that a market

exists for the services of the proposed project as evidenced by contracts or written statements of intent from potential customers. An applicant shall provide at least 20 percent of the project costs from non-Federal sources. In awarding grants under the program, the Secretary shall give a preference to those projects or components that present the most financially viable transportation services and require the lowest percentage Federal share of the costs." Eligible applicants include those entities defined within OMB 2 CFR 200 excluding Federal agencies due to matching-fund regulatory requirements.

Beneficiary Eligibility:

Eligible beneficiaries include those entities defined in OMB 2CFR 200.

Credentials/Documentation:

The following documentation is required:

1. First page of application:

A. Marine Highway Project name (as stated in the Departments Letter of Designation)

B. Primary point of contact for applicant

C. Amount of dollars of Grant Funds the applicant is seeking, sources and share of other matching funds.

D. Summary of what the grant funding will be used for

E. Project parties

F. Recipients of Marine Highway grants and their first-tier sub-awardees will be required to have a DUNS number (<http://www.dnb.com>) and a current registration in the Central Contractor Registration (<http://www.ccr.gov>). While these items do not need to be provided as part of the application, a Marine Highway Grant will not be awarded if a recipient or first-tier sub-awardee does not have these items.

2. Contact Information: An application should include the name, phone number, e-mail address and organization address of the primary point of contact for the applicant. The Department will use this information to inform parties of the Departments decision regarding selection of grantees, as well as to contact parties in the event that the Department needs additional information about the application.

3. Grant Funds and Sources and Uses of Project Funds: An application should include information about the amount of grant funding requested, sources and uses of all project funds, total project costs, percentage of project costs that would be paid for with Marine Highway Grant funds and the identity and percentage shares of all parties providing funds for the project (including Federal funds provided under other programs).

4. Selection Criteria: In general, applications will be evaluated based on the information provided in the original application for designation as a Marine Highway Project as set forth in the (final rule). However, as addressed in section (IV) Selection Criteria of this notice, applicants may provide additional information. This information should be provided in the order it was solicited in the (final rule).

5. National Environmental Policy Act Requirement: An application must detail whether the project will significantly impact the natural, social and/or economic environment. If the NEPA process is completed, an applicant must indicate the date of, and provide a Web site link or other reference to, the final Categorical Exclusion, Finding of No Significant Impact or Record of Decision. If the NEPA process is underway but not complete, the application must detail where the project is in the process, indicate the anticipated date of completion and provide a website link or other reference to copies of any NEPA documents prepared.

6. Environmentally Related Federal, State and Local Actions: An application must indicate whether the proposed project is likely to require actions by other agencies (e.g., permits), indicate the status of such actions and provide a website link or other reference to materials submitted to the other agencies, and/or demonstrate compliance with other Federal, State and local regulations as applicable.

7. Certification Requirements: In order for an application to be considered for a grant award, the Chief Executive Officer of the applicant is required to certify, in writing, to the following:

A. That, except as noted in this grant application, nothing has changed from the original application for formal designation as a Marine Highway Project.

B. The project sponsor will administer the project and any funds received will be spent efficiently and effectively.

C. Applicants will provide information, data and reports as required by the grantor. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

As stated in the Notice of Funding Opportunity, Section 55601 of Title 46 of the United States Code directs the Secretary to establish a short sea transportation grant program to implement projects or components of designated projects. The Final Rule for Americas Marine Highway Program (46 CFR Part 393) provides the criteria to submit an application to become a designated Marine Highway Project. From the Notice of Funding Availability, Requirements of the National Environmental Policy Act (NEPA) may apply. Applicants should consult 40 CFR Section 1500 if there are any questions regarding NEPA requirements. An application must detail whether the project will significantly impact the natural, social and/or economic environment. If the NEPA process is completed, an applicant must indicate the date of, and provide a Web site link or other reference to, the final Categorical Exclusion, Finding of No Significant Impact or Record of Decision. If the NEPA process is underway but not complete, the application must detail where the project is in the process, indicate the anticipated date of completion and provide a website link or other reference to copies of any NEPA documents prepared. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See guidance provided herein throughout.

Award Procedure:

All applicable Federal laws, rules and regulations will apply to projects that receive Marine Highway Grants or Cooperative Agreements. How soon after award a project is expected to expend Grant Funds and start construction, acquisition or procurement will be considered on a case-by case basis and will be specified in the project-specific grant agreement. The Department reserves the right to revoke any award of Marine Highway Grant funds and to award such funds to another project to the extent that such funds are not timely expended and in accordance with the project schedule.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contingent on publication date. The end date is 4 weeks following publication.

Appeals:

Not Applicable.

Renewals:

The Departments ability to obligate funds for Marine Highway Grants and Cooperative Agreements is dependent upon current available appropriations. The Consolidated Appropriations Act of 2016 (Public Law 114-113) signed by the President on December 18, 2015 appropriated \$5,000,000 for the Short Sea Transportation Program.

Formula and Matching Requirements:

Statutory Formula: Public Law 114-113.

Matching Requirements: Percent: 20%. Applicants are required to provide at least 20 percent of the project costs from non-Federal sources.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applicants shall demonstrate to the satisfaction of the Secretary that the funds received will be spent efficiently and effectively. How soon after award a project is expected to expend Grant Funds and start construction, acquisition, or procurement will be considered on a case-by case basis and will be specified in the project-specific grant agreement. See the following for information on how assistance is awarded/released: Grants are expended upon invoicing.

Reports:

According to the Notice of Funding Opportunity, Applicants will provide

information, data, and reports as required by the grantor. The grantee shall submit an electronic copy of the SF- 270 form, no more frequently than monthly for Advance or Reimbursement, and the SF-425 Federal Financial Report. Quarterly Project Reports are due to the Government beginning on the first day of the first full quarter following execution of the grant agreement. (SF-425). Monthly per SF-425.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In the section of the grant agreement cited Other Reports in accordance with the purposes of the Recovery Act, the grantee may be required to submit additional information in responses to requests from DOT, OMB, the Congressional Budget Office, the Government Accountability Office of the DOT inspector General. The Government will inform recipients if and when such additional reports are required.

Records:

Records must be maintained as outlined in the Federal Acquisition Regulations and OMB A -136 (Financial Reporting Requirements).

Account Identification:

69-1750-0-1-403 - Operations and Training Account.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$5,000,000; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-1750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Marine Highway Open Season Call for Projects was published in April 2016 and is currently open. The Office of Marine Highways will review applications on a rolling basis every 6 months until December 31, 2018.

These projects represent concepts for new services or expansion of existing Marine Highway services that have the potential to offer public benefits and long-term sustainability without long-term Federal support. These projects receive preferential treatment for any future federal assistance from the Department of Transportation and MARAD. The projects will help start new businesses or expand existing ones to move more freight or passengers along Americas coastlines and waterways. Fiscal Year 2017: The Office of Marine Highways will review applications on a rolling basis every 6 months until December 31, 2018. Fiscal Year 2018: The Office of Marine Highways will review applications on a rolling basis every 6 months until December 31, 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

Existing literature includes MARAD 2010-0035 (April 9, 2010). In addition, the Maritime Administration solicited a call for projects on April 15, 2010 (Federal Register, Vol. 75, No. 68; p. 18095).

Regional or Local Office:

See Regional Agency Offices. Maritime Administration Gateway Offices.

Headquarters Office:

Scott Davies Maritime Administration, Office of Marine Highways and Passenger Services, 1200 New Jersey Ave., Mail Stop 201, Washington, District of Columbia 20590 Email: Scott.davies@dot.gov Phone: (202)366-0951

Website Address:

<http://marad.dot.gov/ships>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Did not award projects in FY2016. Fiscal Year 2017: Port New York/New Jersey Training Infrastructure for New York Harbor Container and Trailer for Barge Services; Commonwealth of Virginia Richmond Marine Terminal Barge Service Optimization. Fiscal Year 2018: No Current Data

Available

CRITERIA FOR SELECTING PROPOSALS:

Three criteria will be considered in the evaluation process, which were established in the final rule. This information will have been provided by applicants during the project designation process. No additional applicant input is required to address these criteria. These criteria establish the degree to which projects are expected to:

1. Reduce external cost and provide public benefit,
2. Offer a lower-cost alternative to increasing land-based capacity in the Corridor, and
3. Demonstrate the likelihood of becoming self-supporting in a specified and reasonable timeframe.

Applicants may, however opt to provide additional information specific to the above criteria if they desire. In evaluating this option, applicants should consider paragraph (g)(2)(B) of section 3515 of the National Defense Authorization Act of 2010, which requires that, in order to receive a grant under the program, the following factors will be taken into consideration:

1. Applicants shall demonstrate to the satisfaction of the Secretary that the funds received will be spent efficiently and effectively,
2. Applicants shall demonstrate to the satisfaction of the Secretary that a market exists for the services of the proposed project as evidenced by contracts or written statements of intent from potential customers,
3. An applicant shall provide at least 20 percent costs from non-Federal sources, and,
4. In awarding grants or cooperative agreements under the program, the Secretary shall give preference to those projects or components that present the most financially viable transportation services and require the lowest percentage Federal share of the costs.

While the criteria above were generally addressed in the original Marine Highway Project application requirements (Section 393.4(e)1(G); Proposed Project Timeline; and (F)(ii) and (i); Business Planning, respectively), these more specific requirements may warrant submission of additional information in response to this Notice of Funding Availability. It is important that this information is available to the Department during the grant evaluation process.

20.817 AIR EMISSIONS AND ENERGY INITIATIVE

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Nationals Defense Authorization Act, 2010, Title 49, Section 109, 49 U.S.C 109.

OBJECTIVES:

MARAD will use projects results and data for a variety of purposes including further support of air emissions reduction research, demonstration, and pilot projects. In addition, the result will be used to evaluate the public benefits of providing incentives to adopt new technologies and alternative energy in the maritime sector.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This funding will be used to fund studies in the development of air emissions reduction and alternative fuels/ technologies that will be used to evaluate public benefits of providing incentives to adopt new technologies and alternative energy in the maritime sector.

Applicant Eligibility:

Eligible applicants include vessel owners, operators, or public sponsors. Shore side equipment upgrade or shore power projects are not eligible for funding.

Beneficiary Eligibility:

The benefit of this project will be for the federal government as well as anyone in the general public and research organizations.

Credentials/Documentation:

Applications submitted with Statement of Work and estimated budget Costs will be determined in accordance with OMB Circular 2 CFR 200. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

MARAD solicits a request for proposals (RFA), in grants.gov, applicants submits proposals through grants.gov. Evaluation of proposals by program office, selected proposals awarded by the Grants Specialist in the Office of Acquisition.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 49, Part 109.

Matching Requirements: Percent: 50%. Yes, In-Kind Match - 60% match Yes, monetary match 50%.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The funding is available for one year after date of award. See the following for information on how assistance is awarded/released: Funds are disbursed on a quarterly basis by submission of SF-270 invoices.

Reports:

Yes, Quarterly Process Reports, Final Report. No cash reports are required. Reports are in accordance with the terms and conditions of each Cooperative Agreement. The recipient shall provide Financial Status report, SF-425. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of each Cooperative Agreement.

Account Identification:

69-1750-0-1-403.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Funding was available in 2015 in the amount of \$1,230,000 total; \$730,000 for LNG conversion project and \$500,000 for exhaust gas cleaning system project.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-12-1750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Did not fund any projects under RFAs. Fiscal Year 2017: Not anticipated to fund any projects under RFAs. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Judy Bowers 1200 New Jersey Avenue, S.E. , Washington, District of Columbia 20590 Email: judy.bowers@dot.gov Phone: 202-366-1913 Fax: (202)366-3029

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Did not fund any projects under RFAs. Fiscal Year 2017: Did not fund any projects under RFAs. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants must demonstrate a reduction of emissions of nitrogen oxides (NOx), sulfur oxides (SOx), particulate matter (PM), or carbon through an approved emission testing scheme. Data such as emissions, costs, and fuel consumption must be made available to MARAD and can be used publically. Shore side equipment upgrade or shore power projects are not eligible for funding.

20.818 GREAT SHIPS INITIATIVE

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Defense Authorizations Act of 2011, 31 U.S.C 1535(a) (3).

OBJECTIVES:

The Great Ships Initiative is a collaboration whose objective is to end the problem of ship-mediated invasive species in the Great Lakes-St. Lawrence Seaway System. The near term objective is to significantly accelerate research, development and implementation of ballast water treatment system for ships that operate in the Great Lakes.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The funding for this project will be used to further the current efforts that address the curtailment of aquatic invasive species within the Great Lakes as associated with ballast water operations and other aquatic invasive species issues associated with commercial shipping.

Applicant Eligibility:

Applicants must have the following capabilities and resources:
A current EPA-approved Quality Management Plan (QMP). The facilities and equipment for conducting biological analyses to determine concentrations of living organisms in three size-classes (less than 10 microns, between 10 and 50 microns, greater than 50 microns) at the levels of the proposed USCG discharge standard. A mix of personnel available for engineering support and for conducting biological analyses according to standard protocols. The ability to write and follow a rigorous quality assurance plan that maximizes the production of credible results.

Beneficiary Eligibility:

Eligible beneficiaries include those entities defined in OMB A-102 and OMB Circular A-122.

Credentials/Documentation:

Applications must be submitted with a Statement of Work and estimated budget costs. These costs will be determined in accordance with OMB Circular 2 CFR

200, 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

MARAD will solicit a request for applications in grants.gov; applicants will submit applications through grants.gov. Evaluation of applications by program office, selected applications awarded by the Grants Specialist in the Office of Acquisition within 30-60 days.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The funding is available for one year after the date of award of individual tasks. The funds must be spent within one year. See the following for information on how assistance is awarded/released: Funds are disbursed on a monthly basis by submission of SF-270 invoices.

Reports:

Program progress report shall be done every six months. No cash reports are required. Reports are in accordance with the terms and conditions of the Cooperative Agreement. Yes, the recipient shall provide Financial Status report, SF-425. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the Cooperative Agreement.

Account Identification:

69-1750-1-2-121.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Beyond years estimates are not available. Future funding is subject to availability of funds.

Range and Average of Financial Assistance:

N/A.

TAFS Codes:

69-1750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Continuation of efforts from 2015 plus shipboard certification tests of 2 ballast water management system. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Judy Bowers 1200 New Jersey Avenue, S.E., Washington, District of Columbia
20590 Email: judy.bowers@dot.gov Phone: (202) 366-1913 Fax: (202)
366-3029

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Land-based testing of at least 1 technology, 8 bench scale tests, at least 2 shipboard certification tests of technologies, Refinement of sampling/analyses methods, and Major facility upgrade. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants must be able to provide scientific and technical expertise and services for biological research activities.

20.819 BALLAST WATER TREATMENT TECHNOLOGIES

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

National Defense Authorization Act 2012, 49 U.S.C 109.

OBJECTIVES:

MARAD will use the projects results and data for a variety of purposes including to further the current efforts that address the curtailment of aquatic invasive species within the Chesapeake Bay and coastal waters throughout the United States as associated with ballast water operations of commercial shipping.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Cooperative Agreement seeks to support the Evaluation and Verification of Ballast Water Treatment Technologies and other Green Shipping Initiatives.

Applicant Eligibility:

The assistance will be used to support the Evaluation and Verification of Ballast Water Treatment Technologies and other Green Shipping Initiatives.

Applicants must be able to provide technical services, equipment and to support the testing, evaluation, and demonstration of treatment methods, practices, systems and equipment. Technical efforts will be primarily directed towards the evaluation of the effectiveness of ballast water treatment technologies and systems at shipboard/barge-based and shore side facilities fabricated to simulate the in-tank configuration(s) and flow requirements typical of commercial vessels.

Beneficiary Eligibility:

Beneficiary eligibility include State, Public nonprofit institution/organization, other public institution/organization, Private nonprofit institution/organization, and Education Professional.

Credentials/Documentation:

Applications submitted with Statement of Work and estimated budget costs will be determined in accordance with OMB Circular 2 CFR 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

MARAD solicits a request for applications, in grants.gov, applicants submit applications through grants.gov. Evaluation of applications by program office, selected applications awarded by the Grants Specialist in the Office of Acquisition within 30-60 days.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The funding is available for one year after the date of award of individual tasks. See the following on how assistance is awarded/released. Funds are disbursed on a monthly basis by submission of SF-270 invoices.

There will be several tasks to be implemented and funded under this project, the period of performance and funding for each task will all be within the time frame of the entire project which will be for four (4) years, FY 2013-2017. See the following for information on how assistance is awarded/released: Monthly.

Reports:

No program reports are required. No cash reports are required. Reports are in accordance with the terms and conditions of the Cooperative Agreement. The recipient shall provide Financial Status report, SF-425. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the Cooperative Agreement.

Account Identification:

69-1750-7-0-101.

Obligations:

(Salaries) FY 16 \$1,128,840; FY 17 est \$1,000,000; and FY 18 est \$0 - Future funding is subject to availability of funds.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-1750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The three facilities continued their work with conducting verification and certification testing of ballast water management systems. Also, collaborated with the USCG and RDC for verification of variable fluorescent fluorometers for ballast water compliance monitoring. The goal of the project is to determine what portable tools can be used by inspectors when conducting a compliance inspection. A specific aquatic species of concern is not an issue as the focus is on the USCG ballast water numerical standard. The

geographical area is not specific; in this case the effort will be conducted in brackish and other water determined by the University of Maryland Center for Environmental Science and the Naval Research Lab. Work also continues on hull husbandry research; bio-fouling of ships hulls is another source of non-indigenous species entry into the ecosystem. The facilities are also conducting shipboard ballast water technology certification tests. Fiscal Year 2017: Continue similar work. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Judy Bowers 1200 New Jersey Avenue, S.E.
Room W26-418, Washington, District of Columbia 20590 Email:
judy.bowers@dot.gov Phone: (202) 366-1913 Fax: (202) 366-3029

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The three facilities are continued their work with conducting verification and certification testing of ballast water management systems. Also, collaborated with the USCG and RDC for verification of variable fluorescent fluorometers for ballast water compliance monitoring. The goal of the project is to determine what portable tools can be used by inspectors when conducting a compliance inspection. A specific aquatic species of concern is not an issue as the focus is on the USCG ballast water numerical standard. The geographical area is not specific; in this case the effort will be conducted in brackish and other water determined by the University of Maryland Center for Environmental Science and the Naval Research Lab. Work also continues on hull husbandry research; bio-fouling of ships hulls is another source of non-indigenous species entry into the ecosystem. The facilities are also conducting shipboard ballast water technology certification tests. Fiscal Year 2017: To be determined. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications submitted must support for the Evaluation and Verification of Ballast Water Treatment Technologies and other Green Shipping Initiatives.

20.820 MARITIME STUDIES AND INNOVATIONS

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Jones Act (Merchant Marine), 46 U.S.C 50101 -50111.

OBJECTIVES:

The purpose of this program is to provide assistance for projects involving studies and innovations related to shipping, vessel operations, shipyards, maritime financing and insurance, and all other maritime activities in support of the policy of the United States Government to encourage and aid the development and maintenance of a merchant marine.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding shall be used in accordance with the terms and conditions in the cooperative agreement. Only projects that meet the policy objectives of the United States Government to encourage and aid the development and maintenance of a merchant marine will be authorized. Allowable costs will be determined by applicable laws and regulations, and the terms of the cooperative agreement. Funds may be available for projects at MARADs discretion. Funding is not guaranteed and will depend on MARADs budget priorities during the fiscal year.

Applicant Eligibility:

The assistance must be used for projects involving studies and innovations

related to shipping, vessel operations, shipyards, maritime financing and insurance, and all other maritime activities in support of the policy of the United States Government to encourage and aid the development and maintenance of a merchant marine. Limitations on applicant eligibility for a specific project, if any, will be explained and included in any Notice of Funding Opportunity posted on grants.gov.

Beneficiary Eligibility:

The ultimate beneficiaries of the projects will be the United States Government and the general public.

Credentials/Documentation:

Required credentials or documentation are to be determined on a case by case basis, and if required, will be included in any Notice of Funding Opportunity posted on grants.gov. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedures for competitively awarded cooperative agreements will be included in any Notice of Funding Opportunity posted on grants.gov. Applicants may submit unsolicited proposals at any time for a project with a unique or innovative idea, method, or approach. Applicants may also submit proposals at any time for a project which relies on the unique capability or expertise of the applicant. MARAD cannot guarantee funding will be available for any project.

Award Procedure:

For competitively awarded cooperative agreements, the award procedure will be included in the Notice of Funding Opportunity posted on grants.gov. For all other awarded cooperative agreements, final award determinations will be made by appropriate MARAD program officials at HQ after review of proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Additional information for each competitively awarded cooperative agreement will be included in the Notice of Funding Opportunity posted to grants.gov. For all other awards, approval/disapproval times vary depending on the type and complexity of the project.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Time limits and restrictions for any award will be set forth in the terms and conditions of the cooperative agreement. See the following for information on how assistance is awarded/released: Assistance is awarded in the form of a cooperative agreement. The mechanism for the release of funds will be set forth in the terms and conditions of the cooperative agreement.

Reports:

The number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement. The number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement. The number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement. SF-425. The number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recordkeeping requirements will be set forth in the terms and conditions of the cooperative agreement.

Account Identification:

69-1750-0-1-403.

Obligations:

(Cooperative Agreements) FY 16 \$5,000; FY 17 est \$200,000; and FY 18 est \$5,000

Range and Average of Financial Assistance:

The range of funding depends on funds available during the fiscal year.

TAFS Codes:

69-1750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Delivery of study on Analysis of Vessel related to Energy Efficiency and Conservation Technologies. Fiscal Year 2017: Sexual Assault/Sexual Harassment (SA/SH) DVD Training Product, SA/SH Best Practices Document and SA/SH Tool Kit that provides materials, tools and strategies to promote safety and respect in the maritime workplace. Fiscal Year 2018: Delivery of study on Analysis of Vessel related to Ballast Water Technology, Clean Air Emissions and Conservation Technologies.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Todd Ripley USDOT Maritime Administration, Office of Safety (MAR-430)
1200 New Jersey Ave SE
, Washington, District of Columbia 20590 Phone: 202-366-2526

Website Address:

No Data Available

RELATED PROGRAMS:

20.817 Air Emissions and Energy Initiative

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Contribute to the production of a Study/Analysis of vessel related energy efficiency and conservation technologies. Fiscal Year 2017: Items included Sexual Harassment Awareness Training and materials for the maritime industry; research, evaluate, and exploration of concepts and strategies that promote the implementation of environmentally responsible maritime technologies. Fiscal Year 2018: Focus on assessing the effectiveness of Ballast Water Treatment Technologies, Clean Air technologies and Energy Efficient Technologies.

CRITERIA FOR SELECTING PROPOSALS:

In general, proposals are selected based on, among other things, the scope of the project and how it meets the requirements of the United States Government, the qualifications of the applicant, and cost. Specific evaluation factors, and the order of importance, will be included in a Notice of Funding Opportunity posted in grants.gov.

20.821 WOMEN ON THE WATER (WOW)

WOW Conference

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Maritime Education and Training Act of 1980, Public Law 96-453, 46 U.S.C 51301-51317.

OBJECTIVES:

The purpose of the WOW program is to promote diversity in the maritime industry. The program also provides exposure to the history and the current climate women face today in the maritime industry. It provides opportunities for the cadets to interact and network with cadets from other maritime academies, as well as current female leaders in the maritime industry. Career opportunities and maritime organizations for women in the industry are highlighted. It also provides an introduction to the Maritime Administration (MARAD) and its female leadership, as well as an update on current issues impacting the maritime industry and its workforce, especially those that impact the lives of women.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial assistance is used to support the WOW program activities with any number of items to promote maximum participation by the maritime academy cadets and midshipmen to include, but not be limited to, the following, event space or facility, audio/visual equipment, printed material, or to assist with the cost for hosting maritime academy cadets (linen cost, etc.). Financial assistance cannot be utilized for food or alcoholic beverages. Other restrictions may apply. Please contact MARAD HQ for additional information. Funds may be available for WOW programs at MARADs discretion. Funding is not guaranteed and will depend on MARADs budget priorities during the fiscal year.

Applicant Eligibility:

Eligible applicants for financial assistance for WOW program activities are the six State Maritime Academies, regional maritime academies, and the U.S. Merchant Marine Academy.

Beneficiary Eligibility:

Beneficiaries are individuals attending the State Maritime Academies and the U.S. Merchant Marine Academy.

Credentials/Documentation:

Required credentials or documentations are to be determined on a case by case basis. Please contact MARAD HQ for additional information. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. WOW program activities rotate among the six State Maritime Academies and the USMMA, with the hosting academy determined by agreement among the academies. The hosting academy will be required to provide MARAD with information on WOW program activities to ensure that any financial assistance potentially awarded to the hosting academy is used to promote diversity in the maritime industry.

Award Procedure:

WOW program activities rotate among the six State Maritime Academies and the USMMA, with the hosting academy determined by agreement among the academies. MARAD, in its sole discretion, determines how much if any financial assistance is to be awarded to the hosting academy based on its review of the WOW activities.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of time is normally less than one year, but may vary depending on the activity. Specific time limits will be set forth in the terms and conditions of the cooperative agreement. See the following for information on how assistance is awarded/released: The mechanism for the release of funds may vary depending on the activity, and will be set forth in the terms and conditions of the cooperative agreement.

Reports:

The number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement. The number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement. The number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement. A confirmation of what the funds will be utilized on is requested. Additional requirements may be set forth in the terms and conditions of the cooperative agreement. The number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement.

Audits:

No audits are required for this program.

Records:

Recordkeeping requirements will be set forth in the terms and conditions of the cooperative agreement.

Account Identification:

69-1750-0-1-403.

Obligations:

(Cooperative Agreements) FY 16 \$15,000; FY 17 est \$15,000; and FY 18 est \$0 - FY-2016 \$15,000.

Range and Average of Financial Assistance:

FY-2016 \$15,000.

TAFS Codes:

69-69-1750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: \$15,000 WOW Conference for education and promotion of women in maritime industry. Fiscal Year 2017: No Current Data Available
Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Rita Jackson The Department of Transportation, Maritime Administration, Office of Maritime Labor and Training (MAR-650), 1200 New Jersey Ave S.E., Washington, District of Columbia 20590 Email: rita.jackson@dot.gov Phone: 202 366-0284

Website Address:

<http://www.marad.dot.gov>

RELATED PROGRAMS:

20.806 State Maritime Schools; 20.807 U.S. Merchant Marine Academy

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: \$15,000 for WOW Conference for education and promotion of women in maritime industry. Fiscal Year 2017: No Current Data Available
Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.822 PORT OF GUAM IMPROVEMENT ENTERPRISE PROGRAM**FEDERAL AGENCY:**

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Duncan Hunter National Defense Authorization Act, Public Law 110-417 3512.

OBJECTIVES:

The purpose of this program is to provide financial assistance for the planning, design, and construction of projects for the Port of Guam to improve facilities, relieve port congestion, and provide greater access to port facilities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial assistance shall be used in accordance with the terms and conditions in the award agreement. Only projects that relate to Port of Guam, as described in the statutory authority, will be authorized. Allowable costs will be determined by applicable laws and regulations, and the terms of the award agreement. Discretionary funds are available. Funding for projects is not guaranteed and will be at MARADs discretion.

Applicant Eligibility:

Port of Guam or any subdivision, instrumentality, or agent thereof.

Beneficiary Eligibility:

Port of Guam or any subdivision, instrumentality, or agent thereof.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Final award determinations will be made by appropriate MARAD program officials at HQ after review of proposals.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approval/disapproval times vary depending on the type and complexity of the project.

Appeals:

None.

Renewals:

Renewals will be on a case-by-case basis.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Time limits and restrictions for any award will vary depending on the type and complexity of the project, and will be set forth in the terms and conditions of the award agreement. See the following for information on how assistance is awarded/released: How the assistance is awarded and/or released will vary depending on the type and complexity of the project, and will be set forth in the terms and conditions of the award agreement.

Reports:

The number, type, and frequency of required program reports will be in accordance with 2 C.F.R. Part 200 and the terms and conditions of the award agreement. The number, type, and frequency of required program reports will be in accordance with 2 C.F.R. Part 200 and the terms and conditions of the

award agreement. The number, type, and frequency of required program reports will be in accordance with 2 C.F.R. Part 200 and the terms and conditions of the award agreement. The number, type, and frequency of required program reports will be in accordance with 2 C.F.R. Part 200 and the terms and conditions of the award agreement. The number, type, and frequency of required program reports will be in accordance with 2 C.F.R. Part 200 and the terms and conditions of the award agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recordkeeping requirements will be set forth in the terms and conditions of the award agreement.

Account Identification:

69-5560-0-1-403.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$2,268,367; and FY 18 est \$0

Range and Average of Financial Assistance:

No financial assistance awards made in any prior years.

TAFS Codes:

69-5560.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Robert Bouchard 1200 New Jersey Ave., S.E., Washington , District of Columbia 20590 Phone: (202) 366-5076

Website Address:

<http://www.portofguam.com/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The agency will work with the Port of Guam to select the projects which best improve facilities, relieve port congestion, and provide greater access to the facilities.

20.901 PAYMENTS FOR ESSENTIAL AIR SERVICES

(EAS)

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

Airline Deregulation Act of 1978, 49 U.S.C 41731-41748.

OBJECTIVES:

To assure that air transportation is provided to eligible communities by subsidizing air carriers when necessary to provide service.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; Project Grants

USES AND USE RESTRICTIONS:

When necessary subsidy payments are made to air carriers providing air

services at certain points. Subsidy is paid to cover the carrier's prospective operating loss, plus a profit element.

Applicant Eligibility:

Air carrier must be found fit and be selected by the Department to perform the subsidized service.

Beneficiary Eligibility:

Air carriers and eligible local communities.

Credentials/Documentation:

Financial statements and detailed operating statistics. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. In accordance with 14 CFR, Part 271, and specific Department requests in docketed cases.

Award Procedure:

Evaluation of applications according to factors established in 49 U.S.C. 41731, et seq., and any Department-established factors.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 2 to 4 months.

Appeals:

In accordance with 14 CFR, Part 302.14.

Renewals:

Application procedures are repeated.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

In accordance with Department evaluation and determination of demonstrated need. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Air carriers are subject to audits of financial and operational fitness, of major contested claims of compensation for continued service, and of monthly billings to the Department for subsidy.

Records:

We direct all subsidized air carriers to retain all books, records, and other source and summary documentation to support claims for payment, and to preserve and maintain such documentation in a manner that readily permits its audit and examination by representatives of the Department. Such documentation shall be retained for seven years from the service date of the Order establishing subsidy rates or until the Department indicates that the records may be destroyed, whichever comes first. Copies of flight logs for aircraft sold or disposed of must be retained. The air carrier may forfeit its compensation for any claim that is not supported under the terms of the Departments Orders.

Account Identification:

69-8304-0-7-402.

Obligations:

(Direct Payments for Specified Use) FY 16 \$288,172,731; FY 17 est \$307,532,761; and FY 18 est \$0

Range and Average of Financial Assistance:

For continental United States: range from \$491,205 to \$4,710,683 annually; an average of \$2,189,355 annually per community per year.

TAFS Codes:

69-69-8304.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Department issued a show cause order to terminate 30 EAS communities located within the 48 contiguous States receiving per passenger subsidy amounts exceeding \$200., Unless the communities are located more than 210 miles from the nearest large or medium hub airport, the subsidy per passenger cannot exceed \$200, absent a waiver from the Secretary. Several of these communities did not meet the 10-enplanement per day threshold, as established by Congress. Three communities were terminated because their subsidy per passenger exceeded the \$1,000 per passenger subsidy cap for any community, regardless of distance to a large or medium hub airport (Alaska and Hawaii are exempt from the \$1,000 cap). Fiscal Year 2017: In FY 2016, The Department issued a show cause order to terminate 30 EAS communities located within the 48 contiguous States receiving per passenger subsidy amounts exceeding \$200., Unless the communities are located more than 210 miles from the nearest large or medium hub airport, the subsidy per passenger cannot exceed \$200, absent a waiver from the Secretary. Several of these communities did not meet the 10-enplanement per day threshold, as established by Congress. Three communities were terminated because their subsidy per passenger exceeded the \$1,000 per passenger subsidy cap for any community, regardless of distance to a large or medium hub airport (Alaska and Hawaii are exempt from the \$1,000 cap). In FY 2017, the Department issued an order granting waivers from termination to Alamosa, CO; Altoona, PA; Clarksburg, WV; DuBois, PA; El Centro, CA; Hagerstown, MD; Jackson, TN, Jamestown, NY; Johnstown, PA; Kearney, NE; Lancaster, PA; Owensboro, KY; Parkersburg, WV; Marietta, OH; Pendleton, OR; Prescott, AZ; Salina, KS; Scottsbluff, NE; Show Low, AZ; Tupelo, MS; Victoria, TX; Visalia, CA; and Watertown, SD. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

49 U.S.C. 41731, et seq.; 14 CFR, Parts 204, 271, 323, 325, 398.

Regional or Local Office:

None.

Headquarters Office:

Kevin Schlemmer Office of Aviation Analysis, X-50, Department of Transportation, 1200 New Jersey Ave S.E., Washington, District of Columbia 20590 Phone: (202) 366-3176

Website Address:

<http://www.dot.gov/policy/aviation-policy/small-community-rural-air-service/essential-air-service>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In FY2016, new contracts (or extended contracts) were issued at: Alaska (Alitak, Amook Bay, Central, Chignik, Chignik Lake, Chisana, Circle, Clark's Point, Egegik, Ekwok, Elfin Cove, False Pass, Healy Lake, Hydaburg, Igiugig, King Cove, Kitoi Bay, Koliganek, Levelock, Manokotak, Moser Bay, New Stuyahok, Olga Bay, Pilot Point, Port Bailey, Port Heiden, Port Williams, Seal Bay, South Naknek, Uganik, Ugashik, West Point, Zachar Bay); Alabama (Muscle Shoals); Arkansas (Jonesboro), Colorado (Pueblo); Hawaii (Kalaupapa); Iowa (Burlington, Waterloo); Illinois (Decatur, Marion/Herrin, Quincy); Kansas (Salina); Kentucky (Owensboro, Paducah); Maryland (Hagerstown); Michigan (Hancock/Houghton, Muskegon, Pellston, Sault Ste. Marie); Missouri (Cape Girardeau, Kirksville); Montana (Butte, Glasgow, Glendive, Havre, Wolf Point); Nebraska (Grand Island); New York (Watertown); Pennsylvania (Lancaster); South Dakota (Aberdeen); Utah (Cedar City, Moab, Vernal); Wisconsin (Eau Claire); Wyoming (Cody). Fiscal Year 2017: In FY2017, new contracts (or extended contracts) were issued at:

Diomedes, AK; Merced, CA; Carlsbad, NM; Tatitlek, AK; Crescent City, CA; Twin Hills, AK; Perryville, AK; Chadron, NE; Alliance, NE; Port Alexander, AK; Page, AZ; Page, AZ; Clark's Point, AK; South Naknek, AK; False Pass, AK; Jackson, TN; Meridian, MS; Laurel/Hattiesburg, MS; Prescott, AZ; Massena, NY; Joplin, MO; Gustavus, AK; Cordova, AK; Petersburg, AK; Wrangell, AK; Yakutat, AK; May Creek, AK; McCarthy, AK; Macon, GA; West Yellowstone, MT; Mason City, IA; Fort Dodge, IA; Mason City, IA; Brainerd, MN; International Falls, MN; Iron Mountain/Kingsford, MI; Rhinelander, WI; El Dorado/Camden, AR; Hot Springs, AR; Harrison, AR; Victoria, TX; Sault Ste. Marie, MI; Dickinson, ND; Lake Minchumina, AK; Silver City/Hurley/Deming, NM; Akutan, AK; Staunton, VA; Visalia, CA; Beckley, WV; Clarksburg, WV, and Parkersburg, WV. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Established by statute and by precedent in individual docketed cases.

20.904 BONDING ASSISTANCE PROGRAM**FEDERAL AGENCY:**

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century (MAP-21).

OBJECTIVES:

To encourage, promote, and assist minority entrepreneurs and businesses in getting transportation-related contracts, subcontracts, and projects by providing bonding assistance to obtain bid, payment, and performance bonds.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

The Bonding Assistance Program is an all-inclusive, hands-on, multi-component program designed to address the credit, character, and capacity needs of the small disadvantaged business community in order to become bond-ready, increase capacity, and compete for and participate on transportation-related contracts.

Applicant Eligibility:

The participant in bonding assistance program must be a construction contractor whom is or in the process of becoming certified as small and disadvantaged business to perform work on transportation-related project. Hereafter, the term small and disadvantaged business will refer to: 8(a), small disadvantaged business (SDB), disadvantaged business enterprises (DBE), women owned small business (WOB), HubZone, service disabled veteran owned business (SDVOB), and veteran owned small business (VOSB).

Additional requirements include:

To be considered for the program, applicants must be the primary owner(s), key manager(s) or administrator(s) of a business that meet the following criteria:

- Existing in business for at least (2) consecutive years
- Revenue of at least \$250,000 annually
- Have a minimum of (2) full time employees (including owner)
- Past performance in construction industry
- Pursuing transportation-related contracts
- Interested in satisfying credit, capacity and character evaluations in consultation with a surety representative

Consultants, developers and engineering firms are not eligible for the program.

Beneficiary Eligibility:

The recipient of bonding assistance must be a small and disadvantaged business. Bonding Assistance provided under the American Recovery and Reinvestment Act of 2009 (ARRA) is only available to Disadvantaged Business Enterprises (DBE) certified under 49 Code of Federal Regulations Part 26.

Credentials/Documentation:

The recipient of bonding assistance must provide proof of certification by the certifying agency. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. In partnership with the surety industry, the Bonding Assistance Program is designed to individually identify and address key factors for the bondability of small disadvantaged businesses and is offered through USDOT OSDBU and its network of Small Business Transportation Resource Centers (SBTRC) around the country. Contractors who are interested in participating in the program are encouraged to contact the National Bonding Program Manager to enroll in a local program.

Award Procedure:

Not Applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Provision of Specialized Services/Technical Assistance: The goal to help the small businesses become bond ready.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Provision of Specialized Services/Technical Assistance: Services are provided until they are no longer required by the participant or the participant is no longer eligible. Method of awarding/releasing assistance: by letter of credit.

Reports:

Monthly, internal OSDBU reports; Reports to the Secretary of Transportation on all bond approvals. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Provision of Specialized Services/Technical Assistance: Participant records are maintained by the participating SBTRCs with access provided to DOT.

Account Identification:

69-0102-0-0-119.

Obligations:

(Training) FY 16 \$494,709; FY 17 est \$0; and FY 18 est \$0 - 2016-BEP \$494,709.50

There were no budget request made because this program is fully funded.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-69-0102.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The below BEP programs were approved in FY 2016 year
Kansas City, MO
St. Louis, MO
Detroit, MI
Chicago, IL
Houston, TX

"Atlanta -Skanska/Blaford BEP

Atlanta Airport
Connecticut State
Quad Cities (I-74 Mississippi River Bridge Corridor Project)
Bettendorf, IA
Billings, MT
Summit
Everett, WA
Washington State
Charlottesville, VA
Lane Construction
Kannapolis, NC
Wave Streetcar Project
Fort Lauderdale, FL
Florida International University City Prosperity Project
Miami, FL
LA Metro
Los Angeles, CA
Las Vegas, NV
Reno, NV
Salt Lake City, UT
Regional Transportation District
Denver
City of Denver/DIA
Denver, CO. Fiscal Year 2017: The below BEP programs were approved this year
Potomac Yards
Minneapolis, MN
I-71 MLK Interchange
Cincinnati, OH
Washington Reagan National Airport
Washington, DC
Kansas City, MO
Jackson, MS
Bexar Country
San Antonio, TX
Regional Transportation District (RTD) Denver, CO
Salt Lake City International Airport
Salt Lake City, UT
I-66 Express Mobility
New Port News, VA
City LYNX Gold Line
Charlotte, NC
Port Access Road
Charleston, SC
Inner Harbor
Savannah, GA
Jacksonville, FL. Fiscal Year 2018: There are 13 regions and every region is required to submit 2 proposals for BEP programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 97-449, 49 U.S.C.332.

Regional or Local Office:

None.

Headquarters Office:

Bianca Fagin 1200 New Jersey Ave, S.E., Washington, District of Columbia
20590 Phone: (202) 366-9887

Website Address:

<http://osdbu.dot.gov>

RELATED PROGRAMS:

59.006 8(a) Business Development Program; 59.016 Surety Bond Guarantees

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Kansas City, MO
St. Louis, MO
Detroit, MI
Chicago, IL
Houston, TX

Atlanta -Skanska/Blaford BEP
 Atlanta Airport
 Connecticut State
 Quad Cities (I-74 Mississippi River Bridge Corridor Project)
 Bettendorf, IA
 Billings, MT
 Summit
 Everett, WA
 Washington State
 Charlottesville, VA
 Lane Construction
 Kannapolis, NC
 Wave Streetcar Project
 Fort Lauderdale, FL
 Florida International University City Prosperity Project
 Miami, FL
 LA Metro
 Los Angeles, CA
 Las Vegas, NV
 Reno, NV
 Salt Lake City, UT
 Regional Transportation District
 Denver
 City of Denver/DIA
 Denver, CO. Fiscal Year 2017: Potomac Yards
 Minneapolis, MN
 I-71 MLK Interchange
 Cincinnati, OH
 Washington Reagan National Airport
 Washington, DC
 Kansas City, MO
 Jackson, MS
 Bexar Country
 San Antonio, TX
 Regional Transportation District (RTD) Denver, CO
 Salt Lake City International Airport
 Salt Lake City, UT
 I-66 Express Mobility
 New Port News, VA
 City LYNX Gold Line
 Charlotte, NC
 Port Access Road
 Charleston, SC
 Inner Harbor
 Savannah, GA
 Jacksonville, FL. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.905 DISADVANTAGED BUSINESS ENTERPRISES SHORT TERM LENDING PROGRAM

(Short Term Lending Program)

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century (MAP-21), Public Law 97-449, 49 U.S.C 332.

OBJECTIVES:

Advance the opportunities for small, minority-owned disadvantaged business, including women and service-disabled veteran owned businesses and Disadvantaged Business Enterprises (DBEs) to obtain accounts receivable financing for the performance of eligible transportation-related contracts originating from the Department of Transportation (DOT), its grantees, recipients, their contractors and subcontractors.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

The line of credit is for account receivable financing to support work being performed on transportation related contracts. The line of credit cannot be used for equipment purchase or other long term uses; contract mobilization refinancing of existing debt; payment of taxes; or distribution to stock holders. The borrower must have a federally funded transportation contract. Discretionary funds are used to fund the STLP. The STLP is a guaranteed loan program. The loans assist small and disadvantaged businesses by providing accounts receivable financing of eligible transportation related contracts.

Applicant Eligibility:

The recipient of a line of credit must be a certified DBE, or certified small business, including: 8(a); Small Disadvantaged Business (SDB); HUBZONE; Women-Owned Small Business (WOSB); and Service-Disabled Veteran-Owned Business (SDVOB). For DBE's, this certification must be performed under DOT guidelines, 49 CFR Part 26. The applicant must be in business for 2-3 years. This program is not for start-up businesses. There must be an existing transportation-related contract(s). Transportation-related contract is a contract for the maintenance, rehabilitation, restructuring, improvement, or revitalization of any of the Nation's modes of transportation with any public or commercial provider of transportation or any Federal, State or local transportation agency.

Beneficiary Eligibility:

Small Business/Small Business Person.

Credentials/Documentation:

STLP application dated and signed; 3 years of business financial statements and taxes; 3 years of personal income taxes and current personal financial statement; current work-in-progress; cash flow projection to cover the length of the term of the loan (one year); current DBE or SBA certification; business formation information; company history; resume of key management; business and trade reference letters; and a copy of the signed transportation related contract(s). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Application and checklist can be found at www.osdbu.dot.gov/financialassistance/shortterm lendingprogram.cfm. Information, consultation is available at <http://osdbu.dot.gov> or by calling the DOT Office of Small and Disadvantaged Business Utilization (OSDBU) at 1-800-532-1169. Potential applicants may also contact OSDBU or their local U.S. DOT Small Business Transportation Resource Center (SBTRC) for assistance in the preparation of the application package. SBTRC locations may be found at <http://www.osdbu.dot.gov/regional/index.cfm>. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applicants are presented to Participating Lenders by OSDBUs Small Business Transportation Resource Centers (SBTRCs) and are either approved/disapproved for Guaranteed STLP Loan (Based on PLs requirements) If approved by the PL, the loan application is then presented to the OSDBU Loan Board. Decisions are made within 30 to 60 days from the time the complete application is submitted to the PL.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Decisions are made within 30 to 60 days from the time the complete application is submitted to the PL.

Appeals:

Not Applicable.

Renewals:

Applicant may apply for renewal of line of credits as long as they remain eligible (certification is current and they have a current transportation related

contract). Renewals and increases in the line of credit are possible. The maximum period of eligibility is 5 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Approved lines of credit are for one year terms with the possibility of renewal for four additional years. See the following for information on how assistance is awarded/released: Lines of credit disbursed by certified invoices presented to the Participating Lender.

Reports:

Monthly and quarterly reports are required by the Participating Lenders and Small Business Transportation Resource Centers. Cash reports are not applicable. Quarterly progress reports are made by the participating lenders and Small Business Transportation Resource Centers. Expenditure reports are not applicable. Participating Lenders are required to monitor and report on line of credit (loan) status on a monthly and quarterly basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. DOT has the right to audit its accounts with the banks.

Records:

All line of credit approvals will be maintained for 7 years and non-approvals will be maintained for 3 years. Follow NARA standards.

Account Identification:

69-0155-0-1-407.

Obligations:

(Salaries) FY 16 \$250,000; FY 17 est \$1,000,000; and FY 18 est \$0 - Funds are used to for S&E as well as subsidies, and bank administrative fees.

2017 Estimate total loan portfolio value: 8,158,000

2016 Actual total loan portfolio value: 250,000.

Range and Average of Financial Assistance:

The maximum line of credit is \$750,000. There is no minimum line of credit amount.

TAFS Codes:

69-69-0155.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In 2016 one Short Term Lending Program loan was approved for a total of \$250,000. The loan was approved to assist the Hooper Bay Improvements project and the Shishmare Airport Resurfacing project. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 97-449, 49 U.S.C. 332.

Regional or Local Office:

None.

Headquarters Office:

Clinton Dunn 1200 New Jersey Ave., S.E., Washington, District of Columbia 20590 Phone: (800) 532-1169.

Website Address:

<http://www.osdbuweb.dot.gov>

RELATED PROGRAMS:

59.006 8(a) Business Development Program; 59.043 Women's Business Ownership Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Some of the funded projects are followed:

1. Hooper Bay Airport Improvements, Knik Construction Co., Inc. is the prime contractor and the project is to resurface the existing runway and runway safety area, relocating the apron, and resurfacing and extending the taxiway to the new apron, and constructing two new snow removal equipment buildings.

2. Shishmare Airport Resurfacing project with Knik Construction Co., Inc. as the prime contractor. The project is to rehabilitate and resurface runway, taxiway, and apron; construct pads and replace panels for snow fence; and replace runway, taxiway, and apron lighting. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.910 ASSISTANCE TO SMALL AND DISADVANTAGED BUSINESSES

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

The Small Business Act and the Small Business Investment Act of 1958, Title 49, Section 332, Public Law 95-507.

OBJECTIVES:

To enter into successful partnerships between OSDBU and chambers of commerce, community-based organizations, colleges and universities, community colleges, or trade associations, to establish regional Small Business Transportation Resource Centers (SBTRCs) to provide business assessment, technical assistance, technical assistance referrals, business training, and the dissemination of information regarding DOT and DOT funded contracting opportunities, as well as OSDBU services to the Disadvantaged Business Enterprise (DBE) and Small Business (SB) community in their regions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The OSDBU Regional SBTRCs provide business analysis, business counseling and coaching, market research, procurement assistance, serve as liaison between prime contractors and sub-contractors, assistance with access to capital, implement U.S. DOT's Bonding Education Program, implement U.S. DOT's Women In Transportation Initiative, and outreach to all small businesses to disseminate pertinent information that support the economic development of small business and DBEs.

Applicant Eligibility:

Established 501 C(6) tax-exempt Chambers of Commerce, Trade Associations and 501 C(3) nonprofit organization, community colleges, minority educational institutions, tribal colleges and universities that have the documented experience and capacity necessary to successfully operate and administer a coordinated, Small Business Transportation Resource Center (SBTRC) within their regions.

Beneficiary Eligibility:

For the purpose of this program, the term small businesses refers to: 8 (a), small disadvantaged business (SDB), disadvantaged business enterprises (DBE), women-owned small business (WOB), HubZone, service- disabled veteran-owned business, and veteran owned small business.

Credentials/Documentation:

Must be an established 501 C(3) or 501 C(6) tax exempt organization and provide documentation as verification. No application will be accepted without proof of IRS tax exempt status. Have at least one year of documented and continuous experience prior to the date of application in providing advocacy, outreach, and technical assistance to small businesses within the region in which proposed services will be provided. Have an office physically located within the proposed city in the designated headquarters state in the region for which applicant is submitting the proposal that is readily accessible to the public. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants responding to a notice on www.grants.gov must submit their applications electronically to OSDBU via sbtrc@dot.gov

Award Procedure:

Applications will be reviewed by a selection panel and selection will be made based upon the evaluation criteria included in the announcement. The Department of Transportation, Office of Small and Disadvantaged Business Utilization will award the Cooperative Agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Selections for award are announced on www.osdbu.gov. All RFP announcements include a deadline for submission.

Appeals:

Not Applicable.

Renewals:

Awards will be re-competed at a minimum of every 3 years. OSDBU has the option to extend the grant beyond the 1st year for two additional option years, without re-competing the grant.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Awards are generally made for a minimum of 12 months with 2 option years depended on performance and funds availability. See the following for information on how assistance is awarded/released: Obligated annually.

Reports:

Implementation Plan and Quarterly Reports: Implementation Plans are due within 30 days of the effective date of the Cooperative Agreement. Quarterly Reports are due on the 15th day of the month after the quarter ends. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. DOT's Inspector General and the Comptroller General of the United States may at all reasonable times investigate and conduct audits in the offices of the recipient, all documents, papers, books and records, relevant to the execution and implementation of the conditions of this agreement.

Records:

Recipient is required to retain all documents, files, books, and records relevant to the execution and implementation of the conditions of this agreement for a period of not less than 3 years, except, if any litigation, dispute, exception, or audit is commenced, the records and other materials shall be retained until the litigation, dispute, exception, or audit is judicially or administratively made final.

Account Identification:

69-0119-0-1-407.

Obligations:

(Salaries) FY 16 \$1,900,000; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Average of \$150,000.

TAFS Codes:

69-69-0119.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: a.) Conferences:

The SBTRCs participate in conferences to share resources and knowledge within/beyond the transportation learning community, communicating to the public the needs and contributions of transportation. Also, to build partnership linkages in order to promote transportation programs in a seamless manner. We estimate in FY17 the SBTRCs will participate in 205 conferences and provide procurement assistance to an estimated 3,600 DBEs.

b.) Short-Term Lending Program (STLP) Workshops:

The SBTRCs work with OSDBUs STLP participating lenders to organize seminars and workshops on this accounts receivable financial access program that is available to eligible DBEs and small businesses. The seminars and workshops cover the entire STLP process, from completion of the STLP loan application and preparation of the loan package to approval and loan management. We estimate in FY17 the SBTRCs will conduct 65 workshops.

c.) Bonding Education Program (BEP)

The SBTRCs in partnership with Surety and Fidelity Association of America (SFAA) combine classroom instruction and one-on-one meetings with surety professionals to help small businesses obtain a bonding line and apply that capacity to transportation related projects. This effort is facilitated by DOT's network of regional offices, the SBTRCs around the country, as program partners whose role is to provide outreach, logistics, technical assistance, and coordination for the local program efforts. In FY17 will estimate the SBTRCs will conduct 26 Bonding Education Programs in various cities across the country.

d.) Women In Transportation Initiative

An SBTRC created transportation-focused career awareness program designed to encourage young women to pursue careers in science, technology, engineering, and mathematics. The program also advances the development of professional potential by providing quality educational experiences, training, and employment opportunities to students interested in transportation related careers. Annually students are placed in internships with small businesses, federal, state, and local transportation agencies. The students gained valuable work experience to assist them in future career choices. We estimate in FY17 the SBTRCs will recruit 26 students to participate in the internship. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

DOT Code of Federal Regulations Parts 26 and 23 as Disadvantaged Business Enterprises; Public Law 95-507; Section 8,15, and 31 of the Small Business Act as amended; OMB 2 CFR 200; Title 49 Subtitle I, Chapter 3, Subchapter II Sec. 332, the Minority Resource Center.

Regional or Local Office:

None.

Headquarters Office:

Michelle Harris 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-2253 Fax: (202) 366-7228.

Website Address:

<http://www.dot.gov/osdbu>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: OSDBU grants are not project specific. The program will continue to support business counseling, internships, education, conferences, seminars and workshops. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are rated on a numerical point scale using the following factors: Approach and Strategy; Linkages; Organizational Capability; Staff Capabilities

and Experience; and Cost.

20.930 PAYMENTS FOR SMALL COMMUNITY AIR SERVICE DEVELOPMENT

Small Community Program or SCASDP Payments for Small Community Air Service Development

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

Wendell H. Ford Aviation Investment and Reform Act for the 21st Century (AIR-21), reauthorized by the FAA Modernization and Reform Act of 2012, Public Law 106-181, 49 U.S.C 41743.

OBJECTIVES:

To help small communities enhance their air service and increase access to the national transportation system.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants for specified use, provided on a reimbursable basis. Grant funds can be used to cover a variety of expenses depending on the approved project. For example, funds can be expended for marketing, advertising or promotional activities associated with current or new or existing air service, studies designed to measure air service deficiencies, or to measure traffic loss or diversion to other communities; and employment or use of consulting firms, universities, public relations firms, and new, dedicated air service development staff. In addition, grant funds may be used for financial incentives, including revenue guarantees to cover the air carrier's prospective operating loss, or to ground service providers in providing access to air transportation services.

Applicant Eligibility:

The following eligibility criteria apply:

1. The airport serving the community is not larger than a small hub airport, according to FAA hub classifications effective on the date of service of the Departments RFP Order (for 2016, March 28, 2016), or as of calendar year 1997, the airport serving the community was not larger than a small hub airport;
2. The community has insufficient air carrier service or unreasonably high air fares,
3. The airport serving the community presents characteristics, such as geographic diversity or unique circumstances that demonstrate the need for, and feasibility of, grant assistance from the Small Community Program;
4. An applicant may not receive an additional grant to support the same project from a previous grant;
5. An applicant may not receive an additional grant, prior to the completion of its previous grant;
6. No more than 4 communities or consortia of communities, or a combination thereof, from the same State may be selected to participate in the program in any fiscal year; and
7. No more than 40 communities or consortia of communities, or a combination thereof, may be selected to participate in the program in each year for which the funds are appropriated.

In addition to the statute, the Department applies the following eligibility conditions:

Communities without existing air service. Communities that do not currently have commercial air service are eligible,.

Essential Air Service communities. Small communities that meet the basic SCASDP criteria and currently receive subsidized air service under the Essential Air Service (EAS) program are eligible to apply for SCASDP . However, grant awards to EAS-subsidized communities are limited to marketing or promotion projects that support existing or newly subsidized EAS. Grant funds will not be authorized for EAS-subsidized communities to support any new competing air service. Furthermore, no funds will be authorized to support additional flights by EAS carriers or changes to those carriers existing schedules. These restrictions are necessary to avoid conflicts with the mandate

of the EAS program.

Alternate Essential Air Service communities. Small communities that meet the basic SCASDP criteria and currently receive assistance under the Alternate Essential Air Service Pilot Program (49 U.S.C. 41745(a)) are eligible to apply for SCASDP funds. Since the Alternate EAS Pilot Program is a substitute/alternative to traditional EAS, the Department considers applications from communities receiving assistance under the Alternate EAS Pilot Program only for marketing or promotion projects. If the community is already receiving Department support for marketing projects, per the communitys proposal under the Alternate EAS Pilot Program, the communitys project would not be considered for a SCASDP grant.

Consortium applications. The statute permits individual communities and consortia of communities to apply for grant awards under this program. An application from a consortium of communities must be one that seeks to facilitate the efforts of the communities working together toward a joint grant project. In other words, the application must set forth one grant project, with one joint objective, and establish one entity to ensure that the joint objective is accomplished according to the terms of a grant agreement. For example, several communities surrounding an airport may apply together to improve air services at that airport, with a joint objective of securing new or additional service to that airport. Or, surrounding airports may apply together in support of a regional plan to lower fares or reverse a decline in traffic.

Multiple Applications: A community may file only one application for a grant, either individually or as part of a consortium.

Prior grant recipients. Communities or members of a consortia, that were awarded grants in previous years and want to apply for a grant this year should be aware that they are precluded from seeking new funds for projects for which they have already received an award under the Small Community Program.

Beneficiary Eligibility:

The legal sponsor of the proposed project must be a government entity. If the applicant is a public-private partnership, a public government member of the organization must be identified as the communitys sponsor to receive project cost reimbursements. A community may designate only one government entity as the legal sponsor, even if it is applying as a consortium that consists of two or more local government entities. Private organizations may not be designated as the legal sponsor of a grant under the Small Community Program. The community has the responsibility to ensure that the recipient of any funding has the legal authority under state and local laws to carry out all aspects of the grant.

Credentials/Documentation:

Communities must apply for the grant program by submitting a project proposal with required data to justify its proposal in accordance with the Department-issued Request for Proposals. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A request for proposals (RFP) soliciting applications from eligible communities is issued each year. The RFP can be accessed on the internet through <http://www.grants.gov>. Additionally, the solicitation is published in the Federal Register and served on the Council of Mayors, the National League of Cities, the National Governors Association, the National Association of State Aviation Officials, the Association of County Executives, the American Association of Airport Executives (AAAE), and the Airports Council International-North America (ACI).

Award Procedure:

Applications will be evaluated according to factors established in the RFP and under the guidance of the FAA Modernization and Reform Act of 2012, Public Law 112-95, and the Vision 100-Century in Aviation Reauthorization Act, Public Law 108-176.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Can vary from year to year. However, the target is to award grants within 120 days from the established application deadline.

Appeals:

Not Applicable.

Renewals:

Application procedures are repeated each year. However, grant recipients are precluded by statute from seeking additional grants in support of the same project. A community can only have one Small Community Program grant at any time. 49 U.S.C. Section 41743(c)(4).

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of time for awards will vary with the complexity of the project. Minimum grant duration is one year. The statute limits use of grant funds for air carrier subsidy to a maximum period of three years. The same limitation applies to other forms of ongoing support for air carrier programs. Financial assistance is provided on a reimbursable basis; cash advances will not be made. See the following for information on how assistance is awarded/released: Funding is paid to a community on a reimbursable basis; therefore, a community is required to make expenditures for project implementation under the program prior to seeking reimbursement from the Department. Eligible project implementation costs are reimbursable from grant funds only for services or property delivered during the grant term. Reimbursement rates are calculated as a percentage of the total federal funds requested divided by the federal funds plus the local cash contribution (which is not refundable). The percentage is determined by: (SCASDP Grant Amount) / (SCASDP Grant Amount + Local Cash Contribution + State Cash Contribution, if applicable). Payments/expenditures in forms other than cash (e.g., in-kind) are not reimbursable.

Reports:

Quarterly progress reports, and a final report are required. Cash reports are not applicable. Each grantee must submit quarterly reports on the progress made during the previous quarter in implementing its grant project. In addition, each community will be required to submit a final report on its project to the Department, prior to release of the final 10% of the grant funding. Agency requires reimbursement request using electronic form that self calculates prorated government obligation. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. SCASDP also is subject to the A-123 review process.

Records:

The grant recipient is expected to retain all documents relevant to the grant award for a period of 3 years from completion of all projects undertaken pursuant to the Grant Agreement and receipt of final reimbursement from the Treasury.

Account Identification:

69-8106-0-7-402.

Obligations:

(Salaries) FY 16 \$5,150,000; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Range: \$50,000 to \$1,000,000 Average: \$450,000.

TAFS Codes:

69-8106.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Completed FY2016 selection process: \$5,150,000 was obligated for FY grants. Fiscal Year 2017: N/A. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

49 U.S.C. 41743; 14 CFR 302.12 (Rule 12); 49 CFR 1.25a(b); 49 CFR Part 18; Public Law 106-181; Public Law 108-176; Public Law 108-477, Public Law 112 - 95.

Regional or Local Office:

None.

Headquarters Office:

Brooke Chapman 1200 New Jersey Ave., SE W86-307, Washington, District of Columbia 20590 Email: brooke.chapman@dot.gov Phone: (202) 366-0577 Fax: (202) 366-7638.

Website Address:

<http://www.dot.gov/policy/aviation-policy/small-community-rural-air-service/SCASDP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: All of the awards were made to communities proposing revenue guarantees, or revenue guarantees with marketing support, as a means to attract new service or to restore lost service. Fiscal Year 2017: N/A. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

There are two categories of selection criteria: Priority Selection Criteria and Secondary Selection Criteria. Applications that meet one or more of the priority selection criteria will be viewed more favorably than those that do not meet any priority selection criteria.

Priority Selection Criteria:

1. Air fares are higher than the national average air fares for all communities. The Department will compare the local community's air fares to the national average air fares for all similar markets. Communities with market air fares significantly higher than the national average air fares in similar markets will receive priority consideration. The Department calculates these fares using data from the Bureau of Transportation Statistics (BTS) Airline Origin and Destination Survey data. The Department evaluates all fares in all relevant markets that serve a SCASDP community and compares the SCASDP community fares to all fares in similar markets across the country. Each SCASDP applicant's air fares are computed as a percentage above or below the national averages. The report compares a community's air fares to the average for all other similar markets in the country that have similar density (passenger volume) and similar distance characteristics (market groupings). All calculations are based on 12-month ended periods to control for seasonal variation of fares.

2. The community or consortium will provide a portion of the cost of the activity from local sources other than airport revenue sources. DOT will consider whether a community or consortium proposes local funding for the proposed project. Applications providing proportionately higher levels of cash contributions from sources other than airport revenues will be viewed more favorably. Applications that provide multiple levels of contributions (state, local, airport, cash and in-kind contributions) will also be viewed more favorably.

3. The community or consortium has established or will establish a public-private partnership to facilitate air carrier service to the public. DOT will consider a community or consortium's commitment to facilitate air carrier service in the form of a public-private partnership. Applications that describe in detail how the partnership will actively participate in the implementation of the proposed project will be viewed more favorably.

4. The assistance will provide material benefits to a broad segment of the traveling public, including businesses, educational institutions, and other enterprises, whose access to the national air transportation system is limited DOT will consider whether the proposed project would provide, to a broad segment of the community's traveling public, important benefits relevant to the community. Examples include service that would offer new or additional access to a connecting hub airport, service that would provide convenient travel times for both business and leisure travelers that would help obviate the need to drive long distances, and service that would offer lower fares.

5. The assistance will be used in a timely manner The Department will consider whether a proposed project provides a well-defined strategic plan and reasonable timetable for use of the grant funds. In the Department's experience, a reasonable timetable for use of grant funds includes a year to complete studies, two years for marketing and promotion of the airport, community, carrier, or destination, and three years for projects that target a revenue guarantee, subsidy, or other financial incentives. Applicants are asked to describe how their projects can be accomplished within this timetable, including whether the airport and proposed air service provider have the requisite authorities and certifications necessary to carry out the proposed projects. In addition, because of this emphasis placed on timely use of funds, applicants proposing new service are asked to describe the airport and whether it can support the proposed service, including whether the airport holds, or intends to apply for, an airport operating certificate issued under 14 C.F.R. Part 139. Air service providers proposed for the new service must have met or be able to meet in a reasonably short period of time, all Department requirements for air service certification, including safety and economic authorities.

6. Multiple communities cooperate to submit a regional or multistate application to consolidate air service into one regional airport DOT will consider whether a proposed project involves a consortium effort to consolidate air service into one regional airport. This is a new statutory priority criterion, added pursuant to Section 429 of the FAA Modernization and Reform Act of 2012 (P.L. 112-95).

Secondary Selection Criteria:

1. Innovation DOT will consider whether an application proposes new and creative solutions to the transportation issues facing the community, including: the extent to which the applicants proposed solution(s) to solving the problem(s) is new or innovative, including whether the proposed project utilizes or encourages intermodal or regional solutions to connect passengers to the community's air service (i.e., cost-effective inter/intra city passenger bus service, marketing of intermodal surface transportation options also available to air travelers, or projects that have a positive impact on travel and tourism); and whether the proposed project, if successfully implemented, could serve as a working model for other communities.

2. Community Participation DOT will consider whether an application has broad community participation, including: whether the proposed project has broad community support; and the community's demonstrated commitment to and participation in the proposed project.

3. Location DOT will consider the location and characteristics of a community: the geographic location of each applicant, including the community's proximity to larger centers of air service and low-fare service alternatives; the population and business activity, as well as the relative size of each community; and whether the community's proximity to an existing or prior grant recipient could adversely affect either its proposal or the project undertaken by the other recipient.

4. Other Factors DOT will also consider: whether the proposed project clearly addresses the applicants stated problems; the community's existing level of air service and whether that service has been increasing or decreasing; whether the applicant has a plan to provide any necessary continued financial

support for the proposed project after the requested grant award expires; the grant amount requested compared with total funds available for all communities; the proposed federal grant amount requested compared with the local share offered; any letters of intent from airline planning departments or intermodal surface transportation providers on behalf of applications that are specifically intended to enlist new or expanded air service or surface transportation service in support of the air service in the community; whether the applicant has plans to continue with the proposed project if it is not self-sustaining after the grant award expires; and equitable and geographic distribution of available funds.

Full community participation is a key goal of this program as demonstrated by the statutes focus on local contributions and active participation in the project. Therefore, applications that demonstrate broad community support will be more attractive. For example, communities providing proportionately higher levels of cash contributions from other than airport revenues will have more attractive proposals. Communities that provide multiple levels of contributions (state, local, airport, cash and in-kind contributions) also will have more attractive proposals. Similarly, communities that demonstrate participation in the development and execution of the proposed air service project will enhance the attractiveness of their proposals.

20.931 TRANSPORTATION PLANNING, RESEARCH AND EDUCATION

Innovative and Advanced Transportation Research

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005.

OBJECTIVES:

To provide funds to conduct research and development on innovative transportation systems and related applied technologies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The grants may be used for innovative technology based projects directed towards application on national transportation infrastructure development and construction in planning, design and operations.

Applicant Eligibility:

These grants are mandated by Congress in US DOT's Annual Appropriation Legislation. Therefore, only those organizations specifically identified in the appropriation legislation can apply.

Beneficiary Eligibility:

Public Non-Profit Institutions/Organizations; Sponsored Organizations; State; Local; Other Public Institutions; Federal Recognized Indian Tribal Government; US Territory or Possession; Private Non-Profit Institutions/Organizations; Quasi-Public Non-Profit Institutions/Organizations; Native American Organizations.

Credentials/Documentation:

Proposals with statement of work and estimated budget. Costs were determined in accordance with relevant OMB circulars (i.e. Circular Nos. A-87 for State and Local Governments, A-21 for Educational Institutions and A-122 for Non-Profit and For-Profit Organizations). This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. The Broad Agency Announcement containing the solicitation shall be submitted via FedBizOpps.gov. Grantees were required to complete the suite of SF-424 forms and the appropriate representations and certifications.

Award Procedure:

The award procedure typically required two-step process White Papers and Full Technical and Cost Proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The deadlines, if any, were established in each grant application package.

Appeals:

Not Applicable.

Renewals:

Requests were submitted to the Program Office for approval.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50%. Fifty (50) percent non-Federal matching requirements was per SAFETEA-LU legislation language.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The typical length of the cooperative agreements averaged 2.5 years and expenditures were reimbursed on a quarterly basis. See the following for information on how assistance is awarded/released: Funds were reimbursed.

Reports:

Mandatory quarterly reports; final project report. SF-270. Mandatory quarterly reports. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Reports are due in accordance with the terms and conditions of each grant. Records will be retained in accordance with provisions of OMB Circular No. A-110 for institutions of higher education, other nonprofit organizations, and commercial organizations and in accordance with 15 CFR Part 24 for State and local governments.

Account Identification:

69-0102-0-1-407.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Obligations are from FY2012 residual no year money.

Range and Average of Financial Assistance:

The range is between \$500,000 to \$1.5 million.

TAFS Codes:

69-8083.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Conducted applied research in commercial remote sensing and spatial information technologies for application to national transportation infrastructure development and construction. The Program investigated, developed and validated new applications and provided products to monitor and assess infrastructure condition of bridges, pavements and other transportation infrastructure. In addition, the Program developed remote sensing applications to optimize freight movements in urban areas and in and around ports. Spatial information technologies, such as GIS and GPS systems, were deployed to assist in these research investigations. Fiscal Year 2017: Conduct applied research in commercial remote sensing and spatial information technologies for

application to national transportation infrastructure development and construction. The Program will investigate, develop and validate new applications and provide products to monitor and assess infrastructure condition of bridges, pavements and other transportation infrastructure. In addition, the Program develops remote sensing applications to optimize freight movements in urban areas and in and around ports. Spatial information technologies, such as GIS and GPS systems, will be deployed to assist in these research investigations. Review of final project reports and close-out will also be completed. Fiscal Year 2018: Conduct research into developing a set of recommended adoption/implementation guidelines for use by the stakeholder community in the process of implementing Remote Sensing technologies within their individual agencies. Organize workshops to disseminate research information.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Caesar Singh 1200 New Jersey Avenue, SE, Washington, District of Columbia 20950 Email: Caesar.Singh@dot.gov Phone: (202) 366-3252.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Program investigated, developed and validated applications and provided products to monitor and assess infrastructure condition of bridges, pavements and other transportation infrastructure. Fiscal Year 2017: In FY2017, review of final project reports and close-out will also be completed. Fiscal Year 2018: Conduct research into developing a set of recommended adoption/implementation guidelines for use by the stakeholder community in the process of implementing Remote Sensing technologies within their individual agencies. Organize workshops to disseminate research information.

CRITERIA FOR SELECTING PROPOSALS:

Concept, technical approach, cost, background, and partnerships.

20.932 SURFACE TRANSPORTATION DISCRETIONARY GRANTS FOR CAPITAL INVESTMENT

TIGER Grants (Transportation Investment Generating Economic Recovery)

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

The American Recovery and Reinvestment Act of 2009 (Recovery Act), Title XII Transportation and Housing and Urban Development, and Related Agencies, Department of Transportation, Office of the Secretary, Supplemental Discretionary Grants for a National Surface Transportation System., Public Law 111-5, 123 Stat. 115.

OBJECTIVES:

The purposes of the Recovery Act include, among other things: (i) to preserve and create jobs and promote economic recovery and (ii) to invest in transportation infrastructure that will provide long-term economic benefits. The Act requires that grants be provided for surface transportation projects that will have a significant impact on the Nation, a metropolitan area, or a region.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Under this program, \$1,500,000,000 was available through September 30, 2011, for the Department to make grants on a competitive basis for projects that would have a significant impact on the Nation, a metropolitan area, or a region. Projects eligible for funding provided under this program included, but were not limited to, highway or bridge projects eligible under title 23, United States

Code, including interstate rehabilitation, improvements to the rural collector road system, the reconstruction of overpasses and interchanges, bridge replacements, seismic retrofit projects for bridges, and road realignments; public transportation projects eligible under chapter 53 of title 49, United States Code, included investments in projects participating in the New Starts or Small Starts programs that would expedite the completion of those projects and their entry into revenue service; passenger and freight rail transportation projects; and port infrastructure investments, including projects that connect ports to other modes of transportation and improve the efficiency of freight movement. The Department also used an amount not to exceed \$200,000,000 for the purpose of paying the subsidy and administrative costs of projects eligible for federal credit assistance under chapter 6 of title 23, United States Code, if the Department finds that such use of the funds would advance the purposes of this program. In distributing funds available under this program, the Department must take measures to ensure an equitable geographic distribution of funds and an appropriate balance in addressing the needs of urban and rural communities. Grants provided under this program generally were not less than \$20,000,000 and not greater than \$300,000,000, however, the Department waived the \$20,000,000 minimum grant size for the purpose of funding significant projects in smaller cities, regions, or States. Not more than 20 percent of the funds made available under this program would be awarded to projects in a single State. The Federal share of the costs for which an expenditure was made under this program may have been up to 100 percent, however, the Department gave priority to projects that required a contribution of Federal funds in order to complete an overall financing package, and to projects that were expected to be completed by February 17, 2012. Not more than 20 percent of the funds made available under this program were awarded to projects in a single State. Not more than 20 percent of the funds made available under this program will be awarded to projects in a single State. The Federal share of the costs for which an expenditure is made under this program may be up to 100 percent, however, the Department gave priority to projects that required a contribution of Federal funds in order to complete an overall financing package, and to projects that were expected to be completed by February 17, 2012.

Applicant Eligibility:

The Federal share of the costs for which an expenditure is made under this program may have been up to 100 percent, however, the Department gave priority to projects that required a contribution of Federal funds in order to complete an overall financing package, and to projects that are expected to be completed by February 17, 2012.

Beneficiary Eligibility:

The ultimate benefits of this program may have been received by, among others, State or local governments, transit agencies, builders/contractors/developers, major metropolises, and other urban, suburban, or rural areas.

Credentials/Documentation:

Recipients and their first-tier sub-awardees were required to have a DUNS number (www.dnb.com) and a current registration in the Central Contractor Registration (www.ccr.gov). Recipients of Recovery Act funds had to have systems and internal controls that allow them to separately track and report Recovery Act funds even if the funds are being used to fund an existing project/activity. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Complete applications for TIGER Discretionary Grants were submitted by September 15, 2009 (the Application Deadline). While applicants were encouraged to submit applications in advance of the Application Deadline, applications would not be evaluated, and awards would not be made, until after the Application Deadline. Due to the need to expedite the grant award process to meet the

requirements and purposes of the Recovery Act (as defined below), the Department evaluated all applications and announced the projects that had been selected to receive Grant Funds (as defined below) as soon as possible after the Application Deadline, but no later than February 17, 2010. In addition, in the event this solicitation did not result in the award and obligation of all available funds, the Department decided to publish an additional solicitation.

Award Procedure:

TIGER Discretionary Grants were awarded to projects with a demonstrated or ability to: (i) Deliver programmatic results; (ii) achieve economic stimulus by optimizing economic activity and the number of jobs created or saved in relation to the Federal dollars obligated; (iii) achieve long-term public benefits by, for example, investing in technological advances in science and health to increase economic efficiency and improve quality of life; investing in transportation, environmental protection, and other infrastructure that provided long-term economic benefits; fostering energy independence; or improving educational quality; and (iv) satisfy the Recovery Acts transparency and accountability objectives..

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program has no statutory formula. Not more than 20 percent of the funds made available under this program were awarded to projects in a single State. The Federal share of the costs for which an expenditure is made under this program may be up to 100 percent, however, the Department gave priority to projects that require a contribution of Federal funds in order to complete an overall financing package.

While this program did not require a State match, the Maintenance of Effort provisions of section 1201 of the Act apply to projects that receive funding under this program to the extent that a State planned to expend State funds on such a project as of February 17, 2009. Specifically, section 1201(a) required that for amounts distributed to a State or State agency from an appropriation in the Act for a covered program (including this program), the Governor of the State shall certify to the Secretary of Transportation that the State will maintain its effort with regard to State funding for the types of projects funded by the appropriation. As part of this certification, the Governor was required to submit a statement identifying the amount of funds the State planned to expend from State sources as of February 17, 2009, during the period beginning on February 17, 2009, through September 30, 2010, for the types of projects funded by the appropriation.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds available under this program were available through September 30, 2011. The Department gave priority to projects that are expected to be completed by February 17, 2012. Method of awarding/releasing assistance: by letter of credit.

Reports:

All ARRA reporting requirements. All ARRA reporting requirements. To include all ARRA reporting requirements. SF 425. Monitoring with be conducted based on OMB ARRA guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Any awarding procedures, documentation of expenditures and copies of reports

as well as policies and procedures for the life of the grant.

Account Identification:

69-0106-0-1-407.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Grants provided under this program generally were not less than \$20,000,000 and not greater than \$300,000,000, however, some cases the Department waived the minimum grant size for the purpose of funding significant projects in smaller cities, regions, or States.

TAFS Codes:

69-0106.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: As of September 30, 2016, the \$1.5 billion appropriated under this title has been fully expended on the 51 projects awarded on February 17, 2010. Fiscal Year 2017: There will be no additional expenditures under this title during Budget Year 2017. Fiscal Year 2018: There will be no additional expenditures under this title during Budget Year 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Howard Hill 1200 New Jersey Ave, SE , Washington, District of Columbia 20590 Email: TIGERgrants@dot.gov Phone: 202-366-0301 Fax: 202-366-0263

Website Address:

<http://www.dot.gov/TIGER>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The remaining project/s under this title requiring the submission of final reimbursable invoices included the Priority Bus Transit in the National Capital Region and the Fitchburg Commuter Rail Project in Fitchburg, MA. Fiscal Year 2017: No additional projects were funded under this title during FY 2017. Fiscal Year 2018: No additional projects will be funded under this title during FY 2018.

CRITERIA FOR SELECTING PROPOSALS:

TIGER Discretionary Grants were awarded based on the selection criteria as outlined below. There were two categories of selection criteria, Primary Selection Criteria and Secondary election Criteria. The Primary Selection Criteria include (1) Long-Term Outcomes and (2) Jobs Creation & Economic Stimulus. The Secondary Selection Criteria include (1) Innovation and (2) Partnership. The Primary selection Criteria were intended to capture the primary objectives of the TIGER Discretionary Grants provision of the Recovery Act, which include near-term economic recovery and job creation, maximization of long-term economic benefits and impacts on the Nation, a region, or a metropolitan area, and assistance for those most affected by the current economic downturn. The Secondary Selection Criteria were intended to capture the benefits of new and/or innovative approaches to achieving programmatic objectives.

20.933 NATIONAL INFRASTRUCTURE INVESTMENTS

TIGER Discretionary Grants

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

The Consolidated Appropriations Act, 2016 (Pub. L. 114113, December 18, 2015) (FY 2016 Appropriations Act), Public Law 114-113.

OBJECTIVES:

The grants for National Infrastructure Investments in the FY 2015

Appropriations Act are for capital investments in surface transportation infrastructure grants to be awarded to a State, local, or Tribal governments, including U.S. territories, tribal governments, transit agencies, port authorities, metropolitan planning organizations (MPOs), other political subdivisions of State or local governments, and multi-State or multijurisdictional groups applying through a single lead applicant on a competitive basis for surface transportation projects (including, but not limited to: (1) Highway or bridge projects eligible under title 23, United States Code; (2) public transportation projects eligible under chapter 53 of title 49, United States Code; (3) passenger and freight rail transportation projects; and (4) port infrastructure investments) that will have a significant impact on the Nation, a metropolitan area, or a region.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Under the FY 2015 Appropriations Act TIGER Discretionary Grants, \$500,000,000 is available through September 30, 2017, for the Department to make grants on a competitive basis for projects that will have a significant impact on the Nation, a metropolitan area, or a region. Projects eligible for funding provided under this program include, but are not limited to, highway or bridge projects eligible under title 23, United States Code, including interstate rehabilitation, improvements to the rural collector road system, the reconstruction of overpasses and interchanges, bridge replacements, seismic retrofit projects for bridges, and road realignments; public transportation projects eligible under chapter 53 of title 49, United States Code, including investments in projects participating in the New Starts or Small Starts programs that will expedite the completion of those projects and their entry into revenue service; passenger and freight rail transportation projects; and port infrastructure investments, including projects that connect ports to other modes of transportation and improve the efficiency of freight movement. The Department may also use an amount not to exceed \$100,000,000 for the purpose of paying the subsidy and administrative costs of projects eligible for federal credit assistance under chapter 6 of title 23, United States Code, if the Department finds that such use of the funds would advance the purposes of this program. In distributing funds available under this program, the Department must take measures to ensure an equitable geographic distribution of funds and an appropriate balance in addressing the needs of urban and rural communities. Grants provided under this program shall generally be not less than \$5,000,000 and not greater than \$100,000,000, however, projects located in rural areas, the minimum grant size shall be \$1,000,000. The FY 2015 Appropriations Act directs that not less than \$100 million of the funds provided for TIGER Discretionary Grants be used for projects located in rural areas. Further, DOT will take measures to ensure an equitable geographic distribution of grant funds, an appropriate balance in addressing the needs of urban and rural areas, and investment in a variety of transportation modes. The Federal share of the costs for which an expenditure is made under this program may be up to 80 percent, however, the Department may increase the Federal share of costs above 80 percent for projects located in rural areas. The Department will give priority to projects that require a contribution of Federal funds in order to complete an overall financing package. The Department has developed selection criteria and requirements for this program. Information about selection criteria and requirements is available in the Departments Notice of Funding Availability that has been published the Federal Register (available at <http://www.transportation.gov/tiger/>). Grants provided under this program shall generally be not less than \$5,000,000 and not greater than \$100,000,000, however, projects located in rural areas, the minimum grant size shall be \$1,000,000. The Federal share of the costs for which an expenditure is made under this program may be up to 80 percent, however, the Department may increase the Federal share of costs above 80 percent for projects located in rural areas. 100% of the funding is discretionary. These is credit assistance available under the Transportation Infrastructure Finance and Innovation Act (TIFIA) loan program..

Applicant Eligibility:

State, local, and tribal governments, including U.S. territories, transit agencies, port authorities, metropolitan planning organizations (MPOs), other political subdivisions of State or local governments, and multi-State or multi-jurisdictional groups applying through a single lead applicant (for

multi-jurisdictional groups, each member of the group, including the lead applicant, must be an otherwise eligible applicant as defined in this paragraph.

Beneficiary Eligibility:

The ultimate benefits of this program may be received by, among others, States or local governments, transit agencies, builders/contractors/developers, major metropolises, and other urban, suburban, or rural areas.

Credentials/Documentation:

Recipients and their first-tier sub-awardees will be required to have a DUNS number (www.dnb.com) and a current registration in the Central Contractor Registration (www.ccr.gov) submitted as part of the submitted application. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit a complete application package through Grants.gov by the Application Deadline, which is April 29, 2016, at 8:00 p.m. EDT. Grants.gov Apply function will open on March 28, 2016, allowing applicants to submit applications. You are encouraged to submit applications in advance of the Application Deadline, but applications will not be evaluated, and selections for awards will not be made, until after the Application Deadline. Applications must be submitted through Grants.gov. To apply for funding through Grants.gov, you must be properly registered. Complete instructions on how to register and submit applications can be found at www.grants.gov. Please be aware that the registration process usually takes 2-4 weeks and must be completed before an application can be submitted. If interested parties experience difficulties at any point during the registration or application process, please call the Grants.gov Customer Support Hotline at 1-800-518-4726, Monday-Friday from 7:00 a.m. to 9:00 p.m. EDT. Additional information on applying through Grants.gov is available in Information about Applying for Federal Grants through Grants.gov at www.transportation.gov/TIGER.

To help ensure that applicants submit only those applications that are most likely to align well with the departments selection criteria, each applicant may submit no more than three applications for consideration under the TIGER Discretionary Grant Program. While applications may include requests to fund more than one project, applicants should not bundle together unrelated projects in the same application for purposes of avoiding the three application limit that applies to each applicant. Please note that the three application limit applies only to applications where the applicant is the lead applicant, and there is no limit on applications for which an applicant can be listed as a partnering agency. Also, DOT will not count any application for a multistate project against the three application limit to the extent multiple states are partnering to submit the application.

Award Procedure:

DOT will award TIGER Discretionary Grants to projects that are well-aligned with one or more of the selection criteria and that satisfy the statutory distributional requirements while remaining as consistent as possible with the competitive ratings.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. This program has matching requirements. The Federal share of the costs for which an expenditure is made under this program may be up to 80 percent, however, the Department may increase the Federal share of costs above 80 percent for projects located in rural areas. The Department will give priority to projects for which Federal funding is required to complete an overall financing package and projects can increase their competitiveness by demonstrating significant non-Federal contributions. Additionally, not more than 25 percent of the funds made available under this program will be awarded to projects in a single State. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds available under this program are available for obligation through September 30, 2019. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

Quarterly progress reports, annual budget reports, and performance measurement reports are due in accordance with the terms and conditions of the grant agreement. No cash reports are required. Quarterly reports are required. SF-425. Grantees will provide information on the performance outcomes of the capital investments they make with TIGER funds.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees will be required to keep all project accounts and records that fully disclose the amount and disposition by the Grantee of the proceeds of the grant, the total cost of the project in connection with which the grant is given or used, and the amount or nature of that portion of the cost of the project supplied by other sources, and such other financial records pertinent to the project. The accounts and records shall be kept in accordance with an accounting system that will facilitate an effective audit in accordance with the Single Audit Act of 1984, as amended (31 U.S.C. 7501-7507).

Account Identification:

69-0143-0-1-407.

Obligations:

(Salaries) FY 16 \$484,400,000; FY 17 est \$484,400,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Grants provided under this program shall generally be not less than \$5,000,000 and not greater than \$100,000,000, however, projects located in rural areas will have a minimum grant size of \$1,000,000 and the Secretary may increase the Federal share of costs above 80 percent.

TAFS Codes:

69-69-0143.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Secretary awarded approximately \$484.4 million to fund 40 transportation projects across the nation. Fiscal Year 2017: The Department has obligated funding for 8 projects since July 29, 2016 and anticipates the remaining 32 projects will be obligated on or before September 30, 2017. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Howard Hill 1200 New Jersey Avenue, SE, Washington, District of Columbia

20590 Email: TIGERGrants@dot.gov Phone: (202) 366-0301

Website Address:

<http://www.dot.gov/TIGER>

RELATED PROGRAMS:

20.932 Surface Transportation _ Discretionary Grants for Capital Investment.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: On July 29, 2016, Secretary Anthony Foxx awarded approximately \$484.4 million for 40 projects across the nation. For example, in Pittsburgh, Pennsylvania, the I-579 Cap Urban Connector Project will receive \$19 million to construct a cap over a below grade portion of Interstate 579 in downtown Pittsburgh. The cap will reconnect the Hill District to downtown Pittsburgh, more than 60 years after highway and arena construction razed a middle income African American community. The project includes improvements to nearby streets, sidewalks, and crosswalks, a new bus stop, bike-sharing station, and ADA-compliant walkways. It also will create open space for transportation and recreation.

The City of Brownsville, Texas will receive \$10 million to rehabilitate a regional bus maintenance facility which will also serve as a new passenger transfer station, purchase eight hybrid transit replacement buses, and renovate bus stops to include sidewalks, curb ramps, and benches. The grant will also fund an innovative 2.4-mile long causeway which will be one of the longest dedicated pedestrian/bike bridge facilities of its kind in the United States and the first of its kind in Texas.

Several TIGER 2016 grants also went to projects supporting the movement of freight to boost economic competitiveness. These include \$6.2 million for an inland port in Little Rock, Arkansas, \$17.7 million for a highway freight interchange in Scott County, Minnesota, and \$9.8 million for a rural freight project that crosses the South Carolina/North Carolina border. Fiscal Year 2017: The program will continue to fund transit, railroad, road, bicycle/pedestrian, and port projects. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

TIGER Discretionary Grants will be awarded based on the selection criteria as outlined below. TIGER Discretionary Grants will be awarded based on the following selection criteria. There are two categories of selection criteria, Primary Selection Criteria and Secondary Selection Criteria. The Primary Selection Criteria include (1) Long-Term Outcomes and (2) Jobs Creation & Near-Term Economic Activity. The Secondary Selection Criteria include (1) Innovation and (2) Partnership. The Primary Selection Criteria are intended to capture the primary objective of the FY 2014 TIGER provisions of the FY 2014 Appropriations Act, which is to provide capital investments in surface transportation projects that will have a significant impact on the Nation, a metropolitan area, or a region. The Secondary Selection Criteria are intended to capture the benefits of new and/or innovative approaches to achieving the programmatic objective. Information about selection criteria and requirements is available in the Departments Notice of Funding Availability that has been published the Federal Register <http://www.dot.gov/tiger/>.

1. Primary Selection Criteria:

DOT will give priority to projects that are ready to proceed quickly and have a significant impact on desirable long-term outcomes for the Nation, a metropolitan area, or a region. Applications that do not demonstrate a likelihood of significant long-term benefits in this criterion will not proceed in the evaluation process. The first five primary selection criteria are based on the priorities included in DOT's Strategic Plan for FY 2012- FY 2016. DOT is elevating project readiness as a primary selection criterion for this round of TIGER Discretionary Grants due to the legislatively-mandated timeline for obligation of TIGER Discretionary Grant funds. For more detail on DOT's long-term priorities, please refer to the Strategic Plan, which can be found at: http://www.dot.gov/sites/dot.dev/files/docs/990_355_DOT_StrategicPlan_5081owres.pdf. The long-term outcomes and readiness criteria that will be given priority are:

1. State of Good Repair: Improving the condition of existing transportation facilities and systems, with particular emphasis on projects that minimize

life-cycle costs and improve resiliency. DOT will assess whether and to what extent (i) the project is consistent with relevant plans to maintain transportation facilities or systems in a state of good repair and address vulnerabilities; (ii) if left unimproved, the poor condition of the asset will threaten future transportation network efficiency, mobility of goods or accessibility and mobility of people, or economic growth; (iii) the project is appropriately capitalized up front and uses asset management approaches that optimize its long-term cost structure; and (iv) the extent to which a sustainable source of revenue is available for long-term operations and maintenance of the project.

2. Economic Competitiveness: Contributing to the economic competitiveness of the United States over the medium- to long-term by improving the national transportation system while creating and preserving jobs. DOT will assess whether the project will (i) improve long-term efficiency, reliability or cost-competitiveness in the movement of workers or goods, with a particular focus on projects that have a significant effect on reducing the costs of transporting export cargoes; (ii) increase the economic productivity of land, capital or labor at or between specific locations, particularly in Economically Distressed Areas; or (iii) result in job creation and practicable opportunities, particularly for low-income workers or for people in Economically Distressed Areas, and practicable opportunities for small businesses and disadvantaged business enterprises, including veteran-owned small businesses and service disabled veteran-owned small businesses.

3. Livability: Increasing transportation choices and access to transportation services for people in communities across the United States. DOT will consider whether the project furthers the six livability principles developed by DOT with the Department of Housing and Urban Development (HUD) and the Environmental Protection Agency (EPA) as part of the Partnership for Sustainable Communities. DOT will give particular consideration to the first principle, which prioritizes the creation of affordable and convenient transportation choices, particularly for economically disadvantaged populations, non-drivers, senior citizens, and persons with disabilities. Further, DOT will prioritize projects developed in coordination with land-use planning and economic development decisions, including through programs like TIGER II Planning Grants, the Department of Housing and Urban Development's Regional Planning Grants, or the Environmental Protection Agency's Brownfield Area-Wide Planning Pilot Program as well as technical assistance programs focused on livability or economic development planning.

4. Environmental Sustainability: Improving energy efficiency, reducing dependence on oil, reducing greenhouse gas emissions and benefitting the environment. DOT will assess the projects ability to (i) reduce energy use, air or water pollution; (ii) avoid adverse environmental impacts to air or water quality, wetlands, and endangered species; or (iii) provide environmental benefits, such as brownfield redevelopment, wetlands creation or improved habitat connectivity. Applicants are encouraged to provide quantitative information that demonstrates the existence of substantial existing transportation-related costs related to energy consumption and adverse environmental effects and evidence of the extent to which the project will reduce or mitigate those costs.

5. Safety: Improving the safety of U.S. transportation facilities and systems. DOT will assess the projects ability to reduce the number, rate, and consequences of surface transportation-related crashes, serious injuries, and fatalities among drivers and/or non-drivers in the United States or in the affected metropolitan area or region, and/or the projects contribution to the elimination of highway/rail grade crossings, the protection of pipelines, or the prevention of unintended releases of hazardous materials.

6. Project Readiness: For projects that receive funding in this round of TIGER, DOT is required to obligate funds to those projects by September 30, 2014, or the funding will expire. Priority will be given to projects that can meet all local, State, and federal requirements by June 30, 2014. This is a shorter period of time for obligation of funds than the comparable period for any prior round of TIGER, and is therefore a primary concern to DOT that will be treated as such during the evaluation and selection process. DOT will assess whether a project is ready to proceed rapidly upon receipt of a TIGER Discretionary Grant.

2. Secondary Selection Criteria

(a) Innovation

Use of innovative strategies to pursue the long-term outcomes outlined above. DOT will assess the extent to which the project uses innovative technology (such as, intelligent transportation systems, dynamic pricing, value capture, rail

wayside or on-board energy recovery, smart cards, active traffic management, or radio frequency identification) to pursue one or more of the long-term outcomes outlined above and/or to significantly enhance the operational performance of the transportation system. DOT will also assess the extent to which the project incorporates innovations in transportation funding and finance, leverages both existing and new sources of funding through both traditional and innovative means, and demonstrates how the TIGER grant would serve to complete the projects financing package and allow for expedited project completion. Further, DOT will consider the extent to which the project utilizes innovative practices in contracting, project delivery, congestion management, safety management, asset management, or long-term operations and maintenance. Projects integrating creative uses of technology to improve capacity or performance as part of an overall project to construct or replace traditional transportation facilities have been competitive in previous rounds, and DOT expects projects which intelligently use technology and other innovations to continue to be competitive..

(b) Partnership

Demonstrating strong collaboration among a broad range of participants, integration of transportation with other public service efforts, and/or projects that are the product of a robust planning process.

20.934 NATIONALLY SIGNIFICANT FREIGHT AND HIGHWAY PROJECTS

Fostering Advancements in Shipping and Transportation for the Long-term Achievement of National Efficiencies (FASTLANE) Grants

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

The Fixing Americas Surface Transportation Act (FAST Act), Section 1105 Nationally Significant Freight and Highway Projects (NSFHP) program, Pub. L. 114-94, section 1105 (23 U.S.C. 117).. Public Law 114-94, 23 U.S.C 117.

OBJECTIVES:

The Nationally Significant Freight and Highway Projects (NSFHP) program will provide Federal financial assistance to projects of national or regional significance.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The FAST Act authorizes the Nationally Significant Freight and Highway Projects program at \$4.5 billion for fiscal years (FY) 2016 through 2020, including \$800 million for FY 2016 to be awarded by DOT on a competitive basis to projects of national or regional significance that meet statutory requirements. NSFHP grants may be used for the construction, reconstruction, rehabilitation, acquisition of property (including land related to the project and improvements to the land), environmental mitigation, construction contingencies, equipment acquisition, and operational improvements directly related to system performance. NSFHP grants may also fund developmental phase activities, including planning, feasibility analysis, revenue forecasting, environmental review, preliminary engineering, design, and other preconstruction activities, provided the project meets statutory requirements including that they are based on the results of preliminary engineering. All projects funded with NSFHP grants must be reasonably expected to begin construction not later than 18 months after the date funds are obligated for the project. The Department will divide grants under the NSFHP program into large and small projects. For large projects, the FAST Act specifies that NSFHP grants must be at least \$25 million. For small projects, the grants must be at least \$5 million. For both large and small projects, maximum NSFHP awards may not exceed 60 percent of future eligible project costs. Ten percent of available funds, approximately \$76 million in FY 2016, are reserved for small projects. Applicants are strongly encouraged to submit applications only for eligible award amounts. Pursuant to the FAST Act, not more than \$500 million in aggregate of the \$4.5 billion authorized for NSFHP grants over fiscal years 2016 to 2020 may be used for grants to freight rail, water (including ports), or

other freight intermodal projects that make significant improvements to freight movement on the National Highway Freight Network. Only the non-highway portion(s) of multimodal projects count toward the \$500 million maximum. Improving freight movement on the National Highway Freight Network may include shifting freight transportation to other modes, thereby reducing congestion and bottlenecks on the National Highway Freight Network. The Federal share for projects that count toward the \$500 million maximum may fund only elements of the project that provide public benefit. Grade crossing and grade separation projects do not count toward the \$500 million maximum for freight rail, port, and intermodal projects. The FAST Act directs at least 25 percent of the funds provided for NSFHP grants, \$190 million in FY 2016, are to be used for projects located in rural areas, as defined in Section C.3.iv. If the Department does not receive enough qualified applications to fully award the 25 percent reserved for rural projects, the Department may use the excess funding for non-rural awards. DOT must consider geographic diversity among grant recipients, including the need for a balance in addressing the needs of urban and rural areas. The FAST Act allows an NSFHP grant recipient to use NSFHP funds granted to pay the subsidy and administrative costs necessary to receive credit assistance for the associated project under the Transportation Infrastructure Finance and Innovation Act of 1998 (TIFIA) program. NSFHP Funding is limited to the list of eligible applicants for eligible projects as described in Section 1105 of the FAST Act. \$800 million in NSFHP Funding is 100% Discretionary Grant Funding. The FAST Act allows an NSFHP grant recipient to use NSFHP funds granted to pay the subsidy and administrative costs necessary to receive credit assistance for the associated project under the Transportation Infrastructure Finance and Innovation Act of 1998 (TIFIA) program.

Applicant Eligibility:

Eligible applicants for NSFHP grants are 1) a State or group of States; 2) a metropolitan planning organization that serves an urbanized area (as defined by the Bureau of the Census) with a population of more than 200,000 individuals; 3) a unit of local government or group of local governments; 4) a political subdivision of a State or local government; 5) a special purpose district or public authority with a transportation function, including a port authority; 6) a Federal land management agency that applies jointly with a State or group of States; 7) a tribal government or a consortium of tribal governments; or 8) a multi-State or multijurisdictional group of public entities. Multiple States or jurisdictions that submit a joint application must identify a lead applicant as the primary point of contact. Each applicant in a joint application must be an Eligible Applicant. Joint applications must include a description of the roles and responsibilities of each applicant and must be signed by each applicant.

Beneficiary Eligibility:

Eligible applicants for NSFHP grants are 1) a State or group of States; 2) a metropolitan planning organization that serves an urbanized area (as defined by the Bureau of the Census) with a population of more than 200,000 individuals; 3) a unit of local government or group of local governments; 4) a political subdivision of a State or local government; 5) a special purpose district or public authority with a transportation function, including a port authority; 6) a Federal land management agency that applies jointly with a State or group of States; 7) a tribal government or a consortium of tribal governments; or 8) a multi-State or multijurisdictional group of public entities. Multiple States or jurisdictions that submit a joint application must identify a lead applicant as the primary point of contact. Each applicant in a joint application must be an Eligible Applicant. Joint applications must include a description of the roles and responsibilities of each applicant and must be signed by each applicant.

Credentials/Documentation:

To submit an application through Grants.gov, applicants must: Obtain a Data Universal Numbering System (DUNS) number; Register with the System Award for Management (SAM) at www.sam.gov; Create a Grants.gov username and password; and The E-business Point of Contact (POC) at the applicants organization must respond to the registration email from Grants.gov and login at Grants.gov to authorize the POC as an Authorized Organization Representative (AOR). Please note that there can only be one AOR per organization. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for

this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Complete applications for the Nationally Significant Freight and Highway Projects program must be submitted no later than 60 days after the Notice of Funding Opportunity is published in the Federal Register (the Application Deadline). While applicants are encouraged to submit applications in advance of the Application Deadline, applications will not be evaluated, and awards will not be made, until after the Application Deadline. Due to the need to expedite the grant award process to meet the requirements and purposes of the Fast Act (as defined below), the Department will evaluate all applications and announce the projects that have been selected to receive Grant Funds (as defined below) as soon as possible after the Application Deadline, but no later than September 30, 2016. In addition, in the event that this solicitation does not result in the award and obligation of all available funds, the Department may decide to publish an additional solicitation.

Award Procedure:

The FAST Act authorizes the NSFHP program at \$4.5 billion for fiscal years (FY) 2016 through 2020, including \$800 million for FY 2016 to be awarded by DOT on a competitive basis to projects of national or regional significance that meet statutory requirements. NSFHP grants may be used for the construction, reconstruction, rehabilitation, acquisition of property (including land related to the project and improvements to the land), environmental mitigation, construction contingencies, equipment acquisition, and operational improvements directly related to system performance. NSFHP grants may also fund developmental phase activities, including planning, feasibility analysis, revenue forecasting, environmental review, preliminary engineering, design, and other preconstruction activities, provided the project meets statutory requirements including that they are based on the results of preliminary engineering. All projects funded with NSFHP grants must be reasonably expected to begin construction not later than 18 months after the date funds are obligated for the project.

Deadlines:

Jun 29, 2017 to Nov 02, 2017: Projects will be awarded NSFHP funding no later than September 30, 2018.

Range of Approval/Disapproval Time:

Start Date: Notice of Funding Opportunity published no later than June 29, 2017

Application Deadline: Applications are due on or before November 2, 2017.

End Date: Projects will be awarded NSFHP funding no later than September 30, 2018.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter 202, Part 117, Public Law 114-94 section 1105. NSFHP grants may be used for up to 60 percent of future eligible project costs. Other Federal assistance may satisfy the non-Federal share requirement for an NSFHP grant, but total Federal assistance for a project receiving an NSFHP grant may not exceed 80 percent of the future eligible project costs. Unless otherwise authorized in statute, local cost-share that exceed the 80 percent Federal-share maximum will not be considered matching funds if the source of those funds is ultimately a Federal program. Non-Federal sources include State funds originating from programs funded by State revenue, local funds originating from State or local revenue funded programs, private funds or other funding sources of non-Federal origins. Funds from the Tribal Transportation Program (23 U.S.C. 202), TIFIA, a Federal land management

agency, or other Federal source that is expressly authorized in statute may be used for the non-Federal share. Unless otherwise authorized in statute, local cost-share may not be counted as non-Federal share for both the NSFHP and another Federal program. For any project, the Department cannot consider previously incurred costs or previously expended or encumbered funds towards the matching requirement. Matching funds are subject to the same Federal requirements as awarded funds.

Matching Requirements: Percent: 20%. NSFHP grants may be used for up to 60 percent of future eligible project costs. Other Federal assistance may satisfy the non-Federal share requirement for an NSFHP grant, but total Federal assistance for a project receiving an NSFHP grant may not exceed 80 percent of the future eligible project costs. Unless otherwise authorized in statute, local cost-share that exceed the 80 percent Federal-share maximum will not be considered matching funds if the source of those funds is ultimately a Federal program. Non-Federal sources include State funds originating from programs funded by State revenue, local funds originating from State or local revenue funded programs, private funds or other funding sources of non-Federal origins. Funds from the Tribal Transportation Program (23 U.S.C. 202), TIFIA, a Federal land management agency, or other Federal source that is expressly authorized in statute may be used for the non-Federal share. Unless otherwise authorized in statute, local cost-share may not be counted as non-Federal share for both the NSFHP and another Federal program. For any project, the Department cannot consider previously incurred costs or previously expended or encumbered funds towards the matching requirement. Matching funds are subject to the same Federal requirements as awarded funds. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

FY 2016 NSFHP Funding was awarded no later than September 30, 2016. Once awarded, the Department must obligate the awarded funding no later than September 30, 2019. Once the NSFHP Funding is obligated, the project sponsor will have until September 30, 2024, to expend all of the NSFHP awarded. See the following for information on how assistance is awarded/released: Funding can be awarded through the approval of an allocation of funding to a State Department of Transportation, a metropolitan planning organization, or through the successful execution of a grant agreement.

Reports:

The FAST Act requires the Department of Transportation to produce an annual report at the end of each fiscal year that lists each project for which a grant has been provided under Section 1105 of the FAST Act. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Any awarding procedures, documentation of expenditures and copies of reports as well as policies and procedures for the life of the grant.

Account Identification:

69-0170-0-1-401.

Obligations:

(Project Grants) FY 16 \$759,200,000; FY 17 est \$788,880,000; and FY 18 est \$0 - The FAST Act authorizes the NSFHP program at \$4.5 billion for fiscal years (FY) 2016 through 2020, including \$800 million for FY 2016 to be awarded by DOT on a competitive basis to projects of national or regional significance that meet statutory requirements.

Range and Average of Financial Assistance:

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TAFS Codes:

69-0170.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: On September 7, 2016, Secretary Anthony Foxx awarded \$759.2 million to 18 projects across the nation. For example, \$42 million was awarded to the Massachusetts Port Authority for the Conley Terminal and Intermodal Improvements and Modernization Project. Fiscal Year 2017: To date, 12 of the 18 projects awarded on September 7, 2016 have been obligated. Fiscal Year 2018: Anticipates the remaining 6 projects will have funding obligated before September 30, 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. For more information about highway projects, please contact Crystal Jones at (202) 366-2976. For more information about maritime projects, please contact Robert Bouchard at (202) 366-5076. For more information about rail projects, please contact Scott Greene at (202) 493-6408. For all other questions, please contact Howard Hill at (202) 366-0301. A TDD is available for individuals who are deaf or hard of hearing at 202-366-3993.

Headquarters Office:

Howard Hill U.S. Department of Transportation, Office of the Secretary of Transportation, 1200 New Jersey Avenue, SE, Washington, District of Columbia 20590 Email: NSFHP@dot.gov Phone: (202) 366-7687

Website Address:

<http://www.transportation.gov/NSFHP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: On September 7, 2016, Secretary Anthony Foxx awarded \$759.2 million to 18 projects across the nation. For example, \$42 million was awarded to the Massachusetts Port Authority for the Conley Terminal and Intermodal Improvements and Modernization Project. Fiscal Year 2017: To date, 12 of the 18 projects awarded on September 7, 2016 have been obligated. Fiscal Year 2018: Anticipates the remaining 6 projects will have funding obligated before September 30, 2018.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for applications submitted under the NSFHP program will be provided in the Notice of Funding Opportunity that will be published in the Federal Register no later than March 1, 2016.

DEPARTMENT OF THE TREASURY

21.006 TAX COUNSELING FOR THE ELDERLY**FEDERAL AGENCY:**

Internal Revenue Service (IRS), Department of the Treasury

AUTHORIZATION:

Revenue Act of 1978, Executive Order Public Law 95-600, 92 Stat. 2810, Title 26, Part 601, Section 163, Public Law 600-95, 19 Stat. 141, 26 U.S.C 601.

OBJECTIVES:

To authorize the Internal Revenue Service to enter into agreements with private or public nonprofit agencies or organizations; to establish a network of trained volunteers to provide free federal income tax information and return preparation assistance to elderly taxpayers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding may be used for reimbursing volunteers for their out-of-pocket expenses including transportation, meals, and other expenses incurred by them in providing tax counseling assistance at locations convenient to the taxpayers.

Applicant Eligibility:

Tax Counseling for the Elderly sponsors must be private or public nonprofit organizations with experience in coordinating volunteer programs. Federal, State, and local governmental agencies are not eligible to sponsor a program. Applicants must be tax compliant and not debarred or suspended.

Beneficiary Eligibility:

Elderly taxpayers, age 60 or older.

Credentials/Documentation:

Applicants must provide proof of their tax exempt status. Applicants must be tax compliant and not be debarred or suspended. 2 CFR Part 200 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedures are included in IRS Publication 1101, Application Package and Guidelines for Managing a TCE Program. Eligible organizations are to submit their applications through grants.gov on or before May 31, 2017..

Award Procedure:

All complete applications meeting eligibility requirements will be evaluated on technical merit, capacity to reach targeted audience, their prior performance (if returning recipient and how the organization plans to provide Federal tax return assistance and electronic filing service to elderly individuals in the community. Continuing multi-year applicants will be evaluated on their continued eligibility, adherence to program requirements and whether they succeeded in meeting the prior year goals. Continuing multi-year applicants are exempt from evaluation on technical merit during their second and third year grant cycle.

Deadlines:

May 01, 2017 to May 31, 2017

Range of Approval/Disapproval Time:

From 90 to 120 days. Applicants will notify applicants of their acceptance or non-selection of their grant application, as well as those that did not qualify for the program generally by October 1 of each year. The schedule for notification will be determined based on the Congressional appropriation of funding for the TCE Program, meaning if we are operating under a Continuing Resolution, applicant notification may be delayed pending approval of TCE funds.

Appeals:

The selection of the grantees for this program is left to the discretion of the IRS - there is no appeals process.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cooperative Agreements expire on September 30 of each year unless an earlier date is set-forth in the Cooperative Agreement. TCE grant funds are released on an immediate need or reimbursement basis - meaning an organization should request reimbursement from the IRS as they disburse funds for TCE program activities. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

TCE Program recipients are required to submit a year-end Federal Financial Report (FFR) Cash Transaction Report Standard Form 425 to the Payment Management System. In addition, Form 8654, Tax Counseling for the Elderly Semi-Annual/Annual Program Report is required to be submitted annually to the Grant Program Office. No cash reports are required. Form 8654, Tax Counseling for the Elderly Semi-Annual/Annual Program Report is required to be submitted annually and is prepared as cumulative, reflecting expenditures for entire program period. In addition, on-line Federal Financial reports are

required through the Payment Management System to reflect expenditures that are being requested for reimbursement through the system. Grantees are responsible for monitoring volunteer compliance with program regulations and requirements, Section 163 of the Revenue Act of 1978, the Cooperative Agreement, and compliance with OMB circulars and regulations.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR Part 200 nonfederal entities that expend financial assistance of \$750,000 (fiscal year beginning after December 26, 2014) or more in Federal awards must have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year (fiscal year beginning after December 26, 2014) in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR Part 200. The Federal Audit Clearinghouse operates on behalf of the Office of Management and Budget (OMB) and its primary purpose is to disseminate audit information to Federal agencies and the public. If the most recent audit showed an unfavorable finding, it will not automatically disqualify you from consideration; however, more information may be requested to ensure any deficiencies noted in the audit have been corrected.

Records:

Grant recipients are required to have a record keeping system in place to support all information reported. Supporting receipts and other documentation should be maintained for a minimum of 3 years following the submission of the final report.

Account Identification:

20-0912-0-1-803.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$6,500,000; FY 17 est \$6,500,000; and FY 18 est \$6,500,000 - none.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

05-2050.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 1,407,219 Returns Prepared. Fiscal Year 2017: No current data is available. Fiscal Year 2018: A conservative growth rate of 1 percent is projected.

REGULATIONS, GUIDELINES, AND LITERATURE:

Publication 1101, Application Package and Guidelines for Managing a TCE Program

Regional or Local Office:

None.

Headquarters Office:

Grant Program Office - TCE Internal Revenue Service
5000 Ellin Road
NCFB C4-110, Lanham, Maryland 20706 Email: tce.grant.office@irs.gov
Phone: (404) 338-7894

Website Address:

<http://www.irs.gov/Individuals/Tax-Counseling-for-the-Elderly>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Quality of Programs and Service for age 60 and over 30 points; Geographic coverage and extent of coverage for age 60 and over 20 points; Electronic

Filing services for age 60 and over 20 points; Prior experience in Federal Tax Return Counseling and Tax Preparation 10 points; Quality of Cooperative Agreement Administration and Internal Accounting Procedures 10 points; Past Performance 10 points; Grant Program Office Evaluation. The Grant Program Office evaluation involves a more in-depth review of the applicants budget information and accounting processes. The proposed geographic coverage and target audiences are also taken into consideration.

21.008 LOW INCOME TAXPAYER CLINICS

Low Income Taxpayer Clinic

FEDERAL AGENCY:

Internal Revenue Service (IRS), Department of the Treasury

AUTHORIZATION:

Internal Revenue Code, as amended, 26 U.S.C 7526.

OBJECTIVES:

To provide matching grants to organizations providing: (1) Representation of low income taxpayers in controversies with the Internal Revenue Service (IRS), and (2) Educational services to inform individuals for whom English is a second language about their taxpayer rights and responsibilities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards under this program are issued to provide financial assistance that enhances the awardees' ability to represent low income taxpayers in controversies with the Internal Revenue Service (IRS) and inform individuals for whom English is a second language of their taxpayer rights and responsibilities. Each awardee is required to enter into an assistance agreement that requires the awardee to comply with program goals and abide by other terms and conditions pertinent to assistance received under this program.

Applicant Eligibility:

The Low income Taxpayer Clinic programs awards matching grants to qualifying organizations that represent low income taxpayers involved in controversies with the IRS and organizations that provide education and outreach on the taxpayer rights and responsibilities of U.S. taxpayers to individuals who speak English as a second language. IRC Section 7526 requires clinics to provide services for free or for no more than a nominal fee. The term "clinic" includes, but is not limited to, a clinical program at an accredited law, business, or accounting school in which students represent low income taxpayers in controversies with the IRS, and an organization described in IRC Section 501(c) and exempt from tax under IRC Section 501(a) that either directly represents taxpayers or refers taxpayers to qualified representatives.

Beneficiary Eligibility:

Low-income taxpayers are those with incomes which do not exceed 250 percent of the Federal Poverty Guidelines published annually by the Department of Health and Human Services, or taxpayers for whom English is a second language.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each Low Income Taxpayer Clinic applicant must complete a Low Income Taxpayer Clinic Application Package. See IRS Publication 3319, Low Income Taxpayer Clinic Grant Application Package and Guidelines, which you may obtain by calling 1-800-TAX-FORM (1-800-829-3676) or by visiting www.irs.gov. This program is subject to the provisions of OMB 2CFR200.

Award Procedure:

Applications go through 3 review processes before a decision is made on funding. Applicants are ranked by panels; reviewed by management; and the National Taxpayer Advocate completes a review and discussion about each applicant and decides on who and how much funding.

Deadlines:

Jun 20, 2017 An annual notice of funds availability, published in the Federal Register, announces deadline dates for submission of applications. Deadline dates are generally 45 days subsequent to the notice publication date.

Range of Approval/Disapproval Time:

Grantees are generally selected 120 days after the application submission deadline.

Appeals:

None.

Renewals:

A multi-year award may be issued up to 3 years maximum.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 100%. All awards of financial assistance shall be matched by the recipient on a dollar-for-dollar basis. In general, funds from sources (other than from other federal government sources) can be counted as matching funds.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, an award may be issued up to 1-3 years maximum and all funds must be spent within each calendar year. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Each awardee will submit two reports, an interim report due approximately 30 days after the calendar mid-year and an annual report due approximately 90 days after the end of the award year. Each awardee will submit two reports, an interim report due approximately 30 days after the calendar mid-year and an annual report due approximately 90 days after the end of the award year. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

Award recipients are required to maintain records to substantiate total costs incurred and to assure that all performance measures were met. Records must be retained in accordance with the provisions of OMB 2CFR.

Account Identification:

20-0912-0-1-803.

Obligations:

(Project Grants) FY 16 \$11,500,000; FY 17 est \$12,000,000; and FY 18 est \$12,000,000

Range and Average of Financial Assistance:

Range of grant: \$10,000 to \$100,000.

TAFS Codes:

20-0912.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

IRS Publication 3319, Low Income Taxpayer Clinic Grant Application Package and Guidelines.

Regional or Local Office:

None.

Headquarters Office:

William C Beard 24000 Avila Road, Laguna Niguel, California 92677 Email: beard.william@irs.gov Phone: 949-575-6200 Fax: 877-477-3520

Website Address:

<http://www.irs.gov/advocate>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors include: (1) Quality of the programs offered by the clinic, including the qualifications of the clinic's administrators and representatives as well as the clinic's record in providing service to low-income or ESL taxpayers; (2) Quality of grant administration and internal accounting procedures; (3) Number of low income or ESL taxpayers in geographic area and proposed efforts to reach these taxpayers; and (4) Other factors deemed appropriate under this program.

21.009 VOLUNTEER INCOME TAX ASSISTANCE (VITA) MATCHING GRANT PROGRAM

FEDERAL AGENCY:

Department of the Treasury

AUTHORIZATION:

Executive Order Consolidated Appropriations Act, 2016, P.L. 114-113.

OBJECTIVES:

To provide direct funding that will enable VITA initiatives to extend services to underserved populations and hardest-to-reach areas, both urban and non-urban; specific objectives as they relate to the VITA Program which include: increasing the capacity to file returns electronically, heighten quality control, enhance training of volunteers, and significantly improve the accuracy rate of returns prepared by VITA sites.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds can be used to cover ordinary and necessary expenses for operating a VITA tax preparation program. Such expenses are only allowable if they are reasonable, allocable, and in accordance with CFR Part 200.

Applicant Eligibility:

Non-profit organization or state or government entity.

Beneficiary Eligibility:

Providing assistance to low to moderate income individuals and families.

Credentials/Documentation:

Applicants must provide proof of their entity status (i.e. tax-exempt organization/government agency). Matching funds must be documented. Applicants must be tax compliant and not be debarred or suspended. 2 CFR Part 200 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Complete application procedures are included in IRS Publication 4671, Volunteer Income Tax Assistance Grant Program Overview and Application Instructions. Applicants must provide proof of their entity status (i.e. tax-exempt organization/government agency). Matching funds must be documented.

Award Procedure:

All complete applications meeting initial eligibility requirements will be evaluated on technical merit, capacity to reach the targeted audiences, and

geographic coverage. Applicants will be notified of their acceptance or rejection of a grant award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. Please note that NO applications are being accepted during 2017 because the VITA Grant operates in a two year award process, therefore awards were provided during 2016 for a two year cycle. The next application period will be during 2018.

Appeals:

The selection of the grantees for this program is left to the discretion of the IRS - there is no appeals process for non-selection.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 100%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant period extends from July 1st of a given year through June 30th of the subsequent year. See the following for information on how assistance is awarded/released: Once awarded, VITA grant funds are released on an immediate need or reimbursement basis. Funding is deposited in an account managed by the Division of Payment Management and recipients may request/receive funds electronically after account establishment.

Reports:

A year-end FFR Financial Status Report is also required to be submitted through the PMS by September 30. No cash reports are required. Additional year-end reporting is required to be submitted by September 30. These reports as detailed in the grant agreement must be submitted to the Grant Program Office using specific forms within the Performance Progress Report family, a narrative, final listing of sites opened and budget summary. Grant recipients are also expected to prepare and submit on-line Federal Financial Reports to the Payment Management System. Grantees are responsible for monitoring volunteer compliance with program regulations and requirements, the Grant Agreement, and compliance with applicable OMB Circulars and regulations.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. 2 CFR Part 200 nonfederal entities that expend financial assistance of \$750,000 (fiscal year beginning after December 26, 2014) or more in Federal awards must have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year (fiscal year beginning after December 26, 2014) in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR Part 200. The Federal Audit Clearinghouse operates on behalf of the Office of Management and Budget (OMB) and its primary purpose is to disseminate audit information to Federal agencies and the public. If the most recent audit showed an unfavorable finding, it will not automatically disqualify you from consideration; however, more information may be requested to ensure any deficiencies noted in the audit have been corrected.

Records:

Grant recipients are required to have a record keeping system in place to support all information reported. Supporting receipts and other documentation should be maintained for a minimum of three years following the submission of the final report.

Account Identification:

20-0912-0-1-803.

Obligations:

(Project Grants (Discretionary)) FY 16 \$12,200,000; FY 17 est \$15,000,000;

and FY 18 est \$15,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

04-2050.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 1,519,123 Returns Prepared. Fiscal Year 2017: No current data available. Fiscal Year 2018: A conservative growth rate of 1 percent is projected.

REGULATIONS, GUIDELINES, AND LITERATURE:

Publication 4671, VITA Grant Program Overview and Application Instructions

Regional or Local Office:

None.

Headquarters Office:

Grant Program Office 401 West Peachtree Street, NW, Stop 420-D, Atlanta, Georgia 30308 Email: Grant.Program.Office@irs.gov Phone: (404) 338-7894

Website Address:

<http://www.irs.gov/Individuals/IRS-VITA-Grant-Program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection of proposals is based on a two-tiered evaluation process:

1. Experience with low income and/or return preparation 40 points
2. Strength of program 40 points
3. VITA target audience 40 points
4. Ability to partner or collaborate with multiple organizations 20 points
5. Sustainability and growth strategy 10 points
6. Quality control process 40 points
7. Program measures 10 points

Grant Program Office Evaluation

The Grant Program Office evaluation involves a more in-depth review of the applicants budget information and accounting processes. The proposed geographic coverage and target audiences are also taken into consideration.

21.011 CAPITAL MAGNET FUND

CMF

FEDERAL AGENCY:

Community Development Financial Institutions Fund, Department of the Treasury

AUTHORIZATION:

The Housing and Economic Recovery Act of 2008, Section 1131, Public Law 110-289.

OBJECTIVES:

To attract financing for and increase investment in affordable housing for low-income, very low-income, and extremely low-income people and certain related economic and community development activities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The CDFI Fund awards Capital Magnet Fund grants to certified Community Development Financial Institutions (CDFIs) and certain nonprofit organizations to finance: (1) the development, preservation, rehabilitation, or purchase of affordable housing for primarily extremely low-, very low-, and low-income families; and (2) economic development activities or community service facilities, such as day care centers, workforce development centers, and health care clinics, which in conjunction with affordable housing activities implement a concerted strategy to stabilize or revitalize a low-income area or underserved rural area. Each recipient enters into an assistance agreement that specifies the

terms and conditions related to expenditures (including eligible uses) of the grant funds, requires compliance with performance goals, and requires reporting on performance outcomes.

Applicant Eligibility:

Applicants must be (1) a Treasury certified CDFI; or (2) a nonprofit organization having as one of its principal purposes the development or management of affordable housing.

Beneficiary Eligibility:

Low income, very low-income, and extremely low-income people as set forth in 12 C.F.R. 1807.

Credentials/Documentation:

An applicant must provide information necessary to establish that it is an eligible organization in order to apply for assistance. This information is included as part of the application materials. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each applicant must complete an application package. All applications must be received by the established deadline.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established awards review process set forth in the Notice of Funds Availability (NOFA) and application materials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award approval/disapproval determinations are generally made within 180 days after the application deadline. The application deadline is 60 days subsequent to the NOFA publication date.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Certain leverage requirements apply.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Recipients must commit all funds within two years; begin initial expenditure of funds within three years; and place the projects into service within five years of the award. Method of awarding/releasing assistance: lump sum.

Reports:

Post-award reporting consists of annual performance and financial reporting. No cash reports are required. The performance reports include the following components: an investment period report, an affordability period report, and SF-425 Expenditure of Financial Assistance Report. In certain circumstances, environmental review notification reports or explanations of noncompliance may be required. Financial reporting includes annual submission of a financial audit or Single Audit Report, if applicable. Expenditure Reports are included in the SF-425 Expenditure of Financial Assistance Report. Performance reports include an investment period report and an affordability period report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Award recipients must maintain records to substantiate total costs incurred and to assure that all performance measures are met. Records must be retained in accordance with the provisions of applicable OMB guidance and the terms of the assistance agreement.

Account Identification:

20-8524-0-7-451.

Obligations:

(Project Grants) FY 16 \$91,500,000; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - No funds were appropriated for the program in FY 2011, FY 2012, FY 2013, FY 2014, and FY 2015.

Range and Average of Financial Assistance:

The maximum allowable award is 15% of the annual available funding.

TAFS Codes:

20-1881.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim Rule (12 C.F.R. 1807); NOFA; and application materials.

Regional or Local Office:

None.

Headquarters Office:

Capital Magnet Fund 1500 Pennsylvania Avenue, N.W., Washington, District of Columbia 20036 Email: cmf@cdfi.treas.gov Phone: (202) 653-0300

Website Address:

<http://www.cdfifund.gov>

RELATED PROGRAMS:

21.012 Native Initiatives; 21.014 Community Development Financial Institutions Bond Guarantee Program; 21.020 Community Development Financial Institutions Program; 21.021 Bank Enterprise Award Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are evaluated based upon criteria set forth in the interim rule, the NOFA, and application materials.

21.012 NATIVE INITIATIVES

NACA Program

FEDERAL AGENCY:

Community Development Financial Institutions Fund, Department of the Treasury

AUTHORIZATION:

Riegle Community Development and Regulatory Improvement Act of 1994.

OBJECTIVES:

To promote economic revitalization and community development through financial and technical assistance to Native Community Development Financial Institutions (CDFIs) and a complementary series of training programs called Expanding Native Opportunities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Under the Native American CDFI Assistance (NACA) Program, Financial Assistance (FA) and Technical Assistance (TA) awards are provided to build the capacity of new or existing Native CDFIs serving Native Communities. Each NACA recipient enters into an assistance agreement that requires it to

achieve performance goals and abide by other terms and conditions. No awards may be issued to Federal agencies, departments or instrumentalities, State governments, local governments, or any agency or instrumentalities thereof.

Applicant Eligibility:

Only certified Native CDFIs are eligible to apply for Financial Assistance awards. Organizations that are Certified Native CDFIs, Emerging Native CDFIs, or Sponsoring Entities may apply for Technical Assistance awards. However, non-certified organizations must be able to become certified within three years after receiving a TA award. Sponsoring Entities must create an organization that must become certified within four years after receiving the TA award.

Beneficiary Eligibility:

Targeted Populations as defined in 12 C.F.R. 1805.

Credentials/Documentation:

An organization wishing to apply for a FA award through the NACA Program must be either a certified Native CDFI or be able to be certified by the CDFI Fund at the time of application. Organizations that are Certified Native CDFIs, Emerging Native CDFIs, or Sponsoring Entities may apply for TA awards. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each applicant must complete a CDFI Program Application which includes a Comprehensive Business Plan.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established awards review process, set forth in the Notice of Funds Availability (NOFA), and application materials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Application deadlines are from 30 to 60 subsequent to the NOFA publication date. Award approval/disapproval determinations may be made within 270 days after the application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. The applicant is required to match its Financial Assistance award dollar-for-dollar with non-federal funds of the same type as the award itself, unless waived by Congress within the appropriations. This requirement enables CDFIs to leverage private capital to meet the demand for affordable financial products and services in economically distressed communities. The CDFI Funds appropriations waived the matching funds requirement for NACA FA applicants for FY 2009, 2010, 2011, 2012, 2013, 2015, 2016, and 2017. Matching funds are not statutorily required for TA Applicants.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Recipients must use all funds within three years. TA funds within two years, unless the Recipient is uncertified then it must use all award funds within three years or within four years if the Recipient is a Sponsoring Entity. See the following for information on how assistance is awarded/released: Paid based on projected use in upcoming twelve months.

Reports:

Program Reports provide the explanation of noncompliance with a statement addressing the failure to achieve program goals. No cash reports are required. Progress Reports cover the following areas: Program Goals and Measure; Institutional Performance Reporting; Transactional Performance Reporting; and the utilization of the award funds for Financial and/or Technical Assistance. Expenditure Reports are included in the SF-425-Expenditure of Financial Assistance Report. Performance Monitoring is also accomplished with the financial review of Audited Financial Statements or Single Audits conducted pursuant to 2 CFR 200, Subpart F - Audit Requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Award recipients must maintain records to substantiate total costs incurred and to assure that all performance measures are met. Records must be retained in accordance with the provisions of 2 CFR 200 and the terms of the assistance agreement.

Account Identification:

20-1881-0-1-451.

Obligations:

(Project Grants) FY 16 \$15,543,467; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

FY 2015 Range of Financial Assistance Awards - \$100,000 - \$1,000,000; FY 2015 Average Financial Assistance Awards were \$606,058 and capped at \$1,000,000.

FY 2015 Range Technical Assistance Awards - \$124,995 - \$150,000; FY 2015 Average Technical Assistance Awards were \$145,216 and capped at \$150,000.

FY 2016 Range of Financial Assistance Awards - \$150,000 - \$1,000,000; FY 2016 Average Financial Assistance Awards were \$600,909 and capped at \$1,000,000.

FY 2016 Range Technical Assistance Awards - \$147,535 - \$150,000; FY 2016 Average Technical Assistance Awards were \$145,216 and capped at \$150,000.

TAFS Codes:

20-1881.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

12 C.F.R. Part 1805; Notice of Funds Availability; Native Initiatives Program Application.

Regional or Local Office:

None.

Headquarters Office:

NACA Program 1500 Pennsylvania Avenue, N.W., Washington, District of Columbia 20036 Email: cdfihelp@cfdi.treas.gov Phone: (202) 653-0300

Website Address:

<http://www.cdfifund.gov>

RELATED PROGRAMS:

21.011 Capital Magnet Fund; 21.014 Community Development Financial Institutions Bond Guarantee Program; 21.020 Community Development Financial Institutions Program; 21.021 Bank Enterprise Award Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors include: (1) financial strength and organizational capacity of applicant, (2) external resources or matching fund commitment/ability to obtain

commitments, (3) community impact and (4) other factors as set forth in the regulations, NOFA and application materials.

21.014 COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS BOND GUARANTEE PROGRAM

BGP

FEDERAL AGENCY:

Community Development Financial Institutions Fund, Department of the Treasury

AUTHORIZATION:

The Small Business Jobs Act of 2010, Section 1134, Public Law 111-240.

OBJECTIVES:

To support community development lending and investment by providing a new source of long-term capital to certified Community Development Financial Institutions (CDFIs) for economic and community development in low-income communities and underserved rural areas.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Through the CDFI Bond Guarantee Program, the U.S. Department of the Treasury will provide a 100 percent guarantee on the principal and interest of Bonds issued by Qualified Issuers. No guarantee amount may be less than \$100,000,000, provided the total of all such guarantees in any one fiscal year may not exceed \$1,000,000,000 (subject to appropriations). By legislative design, the CDFI Bond Guarantee Program is a zero subsidy credit program. In accordance with Federal credit policy, the Federal Financing Bank will purchase Bonds issued by Qualified Issuers. The maximum maturity of the bonds is 29.5 years and the bonds are taxable. Qualified Issuers as pass-through entities (not as obligors) will lend the Bond proceeds to Eligible CDFIs. The loans to Eligible CDFIs must be used for Eligible Community or Economic Development Purposes. Bond and Bond Loan rates and terms will vary.

Applicant Eligibility:

The Act defines Eligible CDFIs and authorizes the CDFI Fund to determine which entities may serve as Qualified Issuers.

Beneficiary Eligibility:

Eligible CDFIs must use the Bond Proceeds for Eligible Community and Economic Development Purposes. This may include, but is not limited to, lending activities in low-income communities and underserved rural areas such as: owner-occupied home mortgages, charter schools, and municipal and community entity lending, among others.

Credentials/Documentation:

Eligible CDFIs must be certified by the CDFI Fund. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application procedures will be set forth in the CDFI Bond Guarantee Program regulations, the applicable Notice of Guarantee Availability (NOGA), and application materials.

Award Procedure:

The CDFI Fund reviews applications and authorizes the provision of Guarantees based on an established merit-based review process set forth in the CDFI Bond Guarantee Program regulations, NOGA, and application materials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The CDFI Bond Guarantee Program is subject to annual congressional authorization and appropriation. Guaranteed Bonds may have terms up to 29.5 years. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Procedures for Bond Loan disbursements are set forth in the CDFI Bond Guarantee Program regulations and the NOGA.

Reports:

Reporting is required of Qualified Issuers and Eligible CDFIs regarding the use of Bond and Bond Loan proceeds. No cash reports are required. Progress reports are required. Expenditure reports may be required. Performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The CDFI Fund may, if it deems appropriate, audit Qualified Issuers, Eligible CDFIs, Program Administrators, Servicers, and/or the Master Servicer/Trustee, or provide for or require an audit, at least annually.

Records:

Record keeping will be required.

Account Identification:

20-1881-0-1-451.

Obligations:

(Guaranteed/Insured Loans) FY 16 \$500,000,000; FY 17 est \$500,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

20-1881.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations (12 C.F.R. 1808), NOGA, and application materials.

Regional or Local Office:

None.

Headquarters Office:

Bond Guarantee Program 1500 Pennsylvania Avenue, N.W., Washington, District of Columbia 20036 Email: bgp@cdfi.treas.gov Phone: (202) 653-0300

Website Address:

<http://www.cdfifund.gov>

RELATED PROGRAMS:

21.011 Capital Magnet Fund; 21.012 Native Initiatives; 21.020 Community Development Financial Institutions Program; 21.021 Bank Enterprise Award Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The application review and evaluation process is merit-based and based on established standard operating procedures, which may include interviews and/or site visits.

21.016 EQUITABLE SHARING

FEDERAL AGENCY:

Department of the Treasury, Department of the Treasury

AUTHORIZATION:

The Comprehensive Crime Control Act of 1984, 31 U.S.C 9705.

OBJECTIVES:

The Department of the Treasury Asset Forfeiture Program is a nationwide law enforcement initiative that removes the tools of crime from criminal organizations, deters crime and deprives wrongdoers of the proceeds of their criminal proceeds, and recovers property that may be used to compensate victims.

Equitable sharing further enhances the law enforcement mission by fostering cooperation among federal, state, and local law enforcement agencies. Federal law authorizes Treasury to share federally forfeited property with participating state and local law enforcement agencies. Equitable sharing is discretionary and limited by statute.

The U.S. Department of the Justice administers a similar program.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Comprehensive Crime Control Act of 1984 authorized federal officials to implement a national asset forfeiture program. One ancillary benefit of asset forfeiture is the authorization to share federal forfeiture proceeds with cooperating state and local law enforcement agencies, which provides agencies with valuable additional resources without burdening tax payer dollars. The Guide to Equitable Sharing for State and Local Law Enforcement Agencies (Guide) outlines the policies and procedures governing the receipt, use, maintenance and reporting of forfeited funds received through the Department of the Treasury Equitable Sharing Program (Program). The Guide is supplemented with the National Code of Professional Conduct for Asset Forfeiture and all Program participants must ensure compliance with the Code. Except as noted in the Guide, equitably shared funds must be used by law enforcement agencies for law enforcement purposes only. Permitted expenditures listed in the Guide are preapproved uses of shared funds and property, subject to policies and statutes governing the use of public funds. The fact that shared property was forfeited as a result of a particular federal violation does not limit its use. Law Enforcement.

Applicant Eligibility:

A state or local law enforcement agency meeting eligibility requirements set forth in the Guide and that directly participates in an investigation or prosecution that results in a federal forfeiture may request an equitable share of the net proceeds of the forfeiture.

For purposes of equitable sharing, the Department of Treasury defines law enforcement agency as a state or local government organization authorized to engage in as its primary function the investigation and apprehension, or the prosecution of individuals suspected or convicted of offenses against the criminal laws of the United States or of any state, county, municipality, or territory of the United States. A State National Guard generally does not meet the law enforcement agency criteria for participation in the Program because its primary mission is a military or other non-law enforcement purpose. However, a National Guard Counterdrug Unit may qualify for sharing if: (1) It is a distinct unit of a state National Guard that has counterdrug activities as its primary mission and receives funding solely for this purpose; or (2) It is a military police or similar state National Guard unit that provides support to federal law enforcement agencies in counterdrug activities.

Beneficiary Eligibility:

A state or local law enforcement agency meeting eligibility requirements set forth in the Guide and that directly participates in an investigation or

prosecution that results in a federal forfeiture may request an equitable share of the net proceeds of the forfeiture.

Credentials/Documentation:

The federal deciding authority must approve all sharing requests and recommendations, including sharing percentages negotiated in a task force or other agreement, before sharing occurs. Sharing will only be awarded to agencies that are compliant Program participants in good standing with the policies set forth in the Guide. This program is excluded from coverage under 2 CFR 200, Subpart E -Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under 2 CFR 200. Uniform administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. After the seizure or forfeiture of an identified asset, a participating state or local agency meeting all requirements set forth in the Guide may request a share of the property by submitting a Form TD F 92.26, Application for Transfer of Federally Forfeited Property, to the federal seizing agency. A separate Form TD F must be completed for each asset to be shared.

Award Procedure:

Federal law authorizes the Director of Executive Office for Asset Forfeiture to share federally forfeited property with participating state and local law enforcement agencies. The exercise of this authority is discretionary and limited by statute.

No sharing request or recommendation is final until approved by the federal deciding authority. The equitable sharing deciding authority levels are specified in the Guide.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days. TD F Form must be submitted within 60 days of the seizure.

Appeals:

The exercise of this authority is discretionary and limited by statute. It is not subject to appeal or court review.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula.

Matching Requirements: There is no local match requirement for Equitable Sharing.

Equitable Sharing funds are eligible to cover the costs associated with paying a state or local law enforcement agencies matching contribution or share in a federal grant program, provided that the grant funds are used for a permissible law enforcement purpose in accordance with the Guide or where such use is authorized by federal law.

Equitable Sharing awards have Non-supplanting requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is

awarded/released: Equitable Sharing is disbursed based via Electronic Funds Transfer on a case-by-case basis.

Reports:

To ensure effective management, promote public confidence in the integrity of the Equitable Sharing Program, and protect the Program against potential waste, fraud, and abuse, the Department of Justice and Treasury has established for participating law enforcement agencies reporting requirements that the annual submission of the Equitable Sharing Agreement and Certification Form and, if applicable, an audit report. A state or local law enforcement agency must be in compliance with the report-ing requirements set forth in this Guide to receive any distribution of money or property under the Equitable Sharing Program.

As a prerequisite to participating in the Department of Justice Equitable Sharing Program, a state or local law enforcement agency must annually submit a signed Equitable Sharing Agreement and Certification form. The agreement must be signed by the head of the law enforcement agency and a designated official of the governing body. By signing the Affidavit, the signatories agree to be bound by the statutes and guidelines that regulate the equitable sharing program and certify that the law enforcement agency will comply with these guidelines and statutes.

State and local law enforcement agencies must submit this form within 60 days after the end of an agency's fiscal year, regardless of whether funds were received or maintained during the fiscal year. The report must be completed electronically and emailed to aca.submit@usdoj.gov. (Shared by Treasury and Justice). Cash reports are not applicable. Progress reports are not applicable. To ensure effective management, promote public confidence in the integrity of the Equitable Sharing Program, and protect the Program against potential waste, fraud, and abuse, the Departments of Justice and Treasury have established for participating law enforcement agencies reporting requirements that include the annual submission of the Equitable Sharing Agreement and Certification form and, if applicable, an audit report. A state or local law enforcement agency must be in compliance with the report-ing requirements set forth in this Guide to receive any distribution of money or property under the Equitable Sharing Program.

As a prerequisite to participating in the Department of Justice Equitable Sharing Program, a state or local law enforcement agency must annually submit to AFMLS a signed Equitable Sharing Agreement and Certification form. The agreement must be signed by the head of the law enforcement agency and a designated official of the governing body. By signing the Affidavit, the signatories agree to be bound by the statutes and guidelines that regulate the equitable sharing program and certify that the law enforcement agency will comply with these guidelines and statutes.

State and local law enforcement agencies must submit this form within 60 days after the end of an agency's fiscal year, regardless of whether funds were received or maintained during the fiscal year. The report must be completed electronically and emailed to aca.submit@usdoj.gov (Shared by Treasury and Justice). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, and all other records pertinent to equitable sharing shall be retained for a period of 3 years following the conclusion of Federal assistance.

Account Identification:

15-6400-5-5-123 - Forfeiture Fund.

Obligations:

(Direct Payments for Specified Use) FY 16 \$173,284,930; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

20-5697.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of the Treasury Guide to Equitable Sharing for Foreign Countries and Federal, State, and Local Law Enforcement Agencies(April 2004)

Interim Policy Guidance on the Use of Shared Funds dated November 1, 2015

Regional or Local Office:

None.

Headquarters Office:

Jackie A. Jackson 1341 G. Street N.W.
Suite 900, Washington, District of Columbia 20005 Email:
Jackie.Jackson@Treasury.gov Phone: 2026222755 Fax: 2026229610

Website Address:

<https://www.treasury.gov/resource-center/terrorist-illicit-finance/Pages/Equitable-Sharing.aspx>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

21.020 COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS PROGRAM

CDFI Program

FEDERAL AGENCY:

Community Development Financial Institutions Fund, Department of the Treasury

AUTHORIZATION:

Riegle Community Development and Regulatory Improvement Act of 1994, 12 U.S.C 4701 et.seq.

OBJECTIVES:

To promote economic revitalization and community development through investment in and assistance to Community Development Financial Institutions (CDFIs).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial Assistance (FA) awards enhance the Recipient's ability to make loans and investments and to provide financial services that promote economic revitalization and community development. Technical Assistance (TA) awards build the Recipient's internal capacity. Each Recipient enters into an assistance agreement that requires it to achieve performance goals and abide by other terms and conditions.

Applicant Eligibility:

Only certified CDFIs are eligible to apply for Financial Assistance awards. Certified CDFIs and entities seeking to become certified CDFIs may apply for Technical Assistance awards. No awards may be issued to Federal Government agencies, departments or instrumentalities, State governments local governments or any agency or instrumentality thereof.

Beneficiary Eligibility:

Investment Areas and Targeted Populations, as defined in 12 CFR 1805.

Credentials/Documentation:

An organization wishing to apply for a FA through the CDFI Program must be

either a certified CDFI or be able to be certified by the CDFI Fund at the time of application. Both certified CDFIs and non-certified CDFIs are eligible to apply for TA awards. However, non-certified organizations must be able to become certified within three years after receiving a TA award. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each applicant must complete a CDFI Program Application which includes a Comprehensive Business Plan.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established awards review process, set forth in the Notice of Funds Availability (NOFA) and application materials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Application deadlines are from 30 to 60 days subsequent to the NOFA publication date. Award approval/disapproval determinations may be made within 270 days after the application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: The CDFI applicant is required to match its Financial Assistance award dollar-for-dollar with non-federal funds of the same type as the award itself, unless waived by Congress in the appropriations. This requirement enables CDFIs to leverage private capital to meet the demand for affordable financial products and services in economically distressed communities. The CDFI Funds appropriations waived the matching funds requirement for Small and Emerging CDFIs (SECA) FA Applicants for FY 2009, 2010, 2011, 2012, 2013, 2015, 2016, and 2017. The matching funds requirement was waived for CORE FA Applicants for FY 2009, 2010, and 2011. Matching funds are not statutorily required for TA Applicants. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Recipients must use all FA funds within three years and TA funds within two years, unless the Recipient is uncertified then it must use all award funds within three years. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance is multiple disbursements based on the projected use in upcoming twelve months.

Reports:

Program Reports provide the explanation of noncompliance with a statement addressing the failure to achieve program goals. No cash reports are required. Progress Reports cover the following areas: Program Goals and Measures; Institutional Performance Reporting; Transactional Performance Reporting; and the utilization of the award funds for Financial and/or Technical assistance. Expenditure Reports are included in the SF-425 - Expenditure of Financial Assistance. Performance Monitoring is also accomplished with the financial review of Audited Financial Statements or Single Audits conducted pursuant to 2 CFR 200, Subpart F - Audit Requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Award recipients must maintain records to substantiate total costs incurred and to assure that all performance measures are met. Records must be retained in accordance with the provisions of 2 CFR 200 and the terms of the assistance agreement.

Account Identification:

20-1881-0-1-451.

Obligations:

(Project Grants) FY 16 \$148,157,493; FY 17 est \$161,500,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

FY 2016 Range of Financial Assistance Awards \$125,000 to \$2,000,000; FY 2016 SECA Average Financial Assistance Awards were \$487,000 capped at \$700,000; FY 2016 Core Average Financial Assistance Award was \$1,385,000 and capped at \$2,000,000. FY 2016 Range of Technical Assistance Awards \$10,000 - \$125,000; FY 2016 Average Technical Assistance Awards were \$118,000.

TAFS Codes:

20-1881.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim Rule (12 C.F.R. 1805), NOFA, and application materials.

Regional or Local Office:

None.

Headquarters Office:

CDFI Program 1500 Pennsylvania Avenue, N.W., Washington, District of Columbia 20036 Email: cdfihelp@cdfi.treas.gov Phone: (202) 653-0300

Website Address:

<http://www.cdfifund.gov>

RELATED PROGRAMS:

21.011 Capital Magnet Fund; 21.012 Native Initiatives; 21.014 Community Development Financial Institutions Bond Guarantee Program; 21.021 Bank Enterprise Award Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors include: (1) financial strength and organizational capacity of applicant, (2) external resources or matching fund commitment/ability to obtain commitments, (3) community impact, and (4) other factors set forth in the CDFI Program regulations, NOFA and application materials.

21.021 BANK ENTERPRISE AWARD PROGRAM

BEA Program

FEDERAL AGENCY:

Community Development Financial Institutions Fund, Department of the Treasury

AUTHORIZATION:

Bank Enterprise Act of 1991, Community Development Banking and Financial Institutions Act of 1994, 12 U.S.C 1834a, 4713.

OBJECTIVES:

To encourage insured depository institutions to increase their level of community development activities in the form of loans, investments, services, and technical assistance within distressed communities and to provide assistance to certified Community Development Financial Institutions (CDFIs) through grants, equity investments, loans, deposits, and other forms of financial and technical assistance.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The CDFI Fund makes grants to selected applicants that: (1) invest in or otherwise support CDFIs; (2) increase lending and investment activities within distressed communities; and/or (3) increase the provision of certain services and technical assistance within distressed communities. An award is based upon a percentage of the increase in qualifying activities that are demonstrated by the applicant between an established baseline period and assessment period. This information is announced in the annual Notice of Funds Availability (NOFA) published in the Federal Register. Only Federal Deposit Insurance Corporation insured banks and thrifts may apply for an award under this program.

Applicant Eligibility:

For Profit Organizations and Other Private Institution/Organizations in the form of FDIC-insured depository institutions.

Beneficiary Eligibility:

Distressed communities as defined in 12 C.F.R. 1806.

Credentials/Documentation:

An entity that is an Insured Depository Institution is eligible to apply for a BEA Program Award if the CDFI Fund receives a complete BEA Program Award application by the deadline set forth in the applicable NOFA. Additional eligibility requirements are set forth in the applicable NOFA. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All applications must be received by the established deadline.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established awards review process, set forth in the NOFA and application materials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award approval/disapproval determinations may be made within 180 days after the application deadline. The application deadline is 60 days subsequent to the NOFA publication date.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds must be used within approximately one year of executing an Award Agreement and must be used for BEA qualified activities. See the following for information on how assistance is awarded/released: The method of Award payment may be a lump sum or multiple disbursements.

Reports:

The Uses of BEA Program Award Report is used to identify and record the utilization of the award funds. No cash reports are required. No progress reports are required. No expenditure reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. Performance Monitoring is accomplished with the review of the Uses of BEA Program Award Report submitted by the award recipient.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Award recipients must maintain records to substantiate all award costs incurred and to assure that all performance measures are met. Records must be retained in accordance with the provisions of applicable OMB guidance and the terms of the Award Agreement.

Account Identification:

20-1881-0-1-451.

Obligations:

(Project Grants) FY 16 \$18,625,141; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

FY 2010 Range of awards \$6,000 to \$600,000; Average Award \$357,249;
FY 2011 Range of awards \$6,000 to \$500,000; Average Award \$285,143;
FY 2012 Range of awards \$7,500 to \$415,000; Average Award \$304,559;
FY 2013 Range of awards \$6,000 to \$323,000; Average Award \$200,574;
FY 2014 Range of awards \$12,000 to \$355,000; Average Award \$258,962;
FY 2015 Range of awards \$7,500 to \$265,496; Average Award \$182,599;
FY 2016 Range of awards \$12,500 to 227,282; Average Award \$182,599.

TAFS Codes:

20-1881.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 C.F.R. 200, Revised Interim Rule (12 C.F.R. 1806); NOFA; and application materials.

Regional or Local Office:

None.

Headquarters Office:

CDFI Fund 1500 Pennsylvania Avenue, N.W., Washington, District of Columbia 20036 Email: bea@cdfi.treas.gov Phone: (202) 653-0300

Website Address:

<http://www.cdfifund.gov>

RELATED PROGRAMS:

21.011 Capital Magnet Fund; 21.012 Native Initiatives; 21.014 Community Development Financial Institutions Bond Guarantee Program; 21.020 Community Development Financial Institutions Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Award recipients are selected based upon a priority ranking of qualified activities as set forth in the BEA regulations, the NOFA, and application materials. Qualified activities include, in order of importance, the following: (1) Community Development Financial Institution Related Activities; (2) Distressed Community Financing Activities; and (3) Service Activities.

APPALACHIAN REGIONAL COMMISSION

23.001 APPALACHIAN REGIONAL DEVELOPMENT (SEE INDIVIDUAL APPALACHIAN PROGRAMS)

(Appalachian Program)

FEDERAL AGENCY:

Appalachian Regional Commission

AUTHORIZATION:

Appalachian Regional Development Act of 1965, Public Law 89-4, as amended, 40 U.S.C. 14101-14704.

OBJECTIVES:

To help the regional economy become more competitive by putting in place the building blocks for self-sustaining economic development, while continuing to provide special assistance to the Region's most distressed counties and areas. This program focuses on activities which support ARC's mission to innovate, partner, and invest to build community capacity and strengthen economic growth in Appalachia. Activities funded must advance ARC's strategic plan. Specific program goals are: (1) Invest in entrepreneurial and business development strategies that strengthen Appalachia's economy; (2) Increase the education, knowledge, skills, and health of residents to work and succeed in Appalachia; (3) Invest in critical infrastructure especially broadband; transportation, including the Appalachian Development Highway System; and water/wastewater systems; (4) Strengthen Appalachia's community and economic development potential by leveraging the Region's natural and cultural heritage assets; and (5) Build the capacity and skills of current and next-generation leaders and organizations to innovate, collaborate, and advance community and economic development. Specific objectives were developed for each goal. Grants are made either directly by the Commission or grants may supplement other Federal grants.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Appalachian funds enable the States and local areas to develop networks of facilities and services. Individual programs following this description illustrate the types of investments that can be made. In considering programs and projects to be given assistance under this Act, and in establishing a priority ranking of the requests for assistance presented to the Commission (ARC), the ARC follows procedures insuring consideration of the following factors: (1) The relationship of the project or class of projects to overall regional development and the reduction of economic distress; (2) the population and area to be served including the relative per capita income and the unemployment rates in the area; (3) the relative financial resources available to the State or political subdivision or instrumentalities thereof which seek to undertake the project; (4) the importance of the project or class of projects in relation to other activities which may compete for the same funds; (5) the prospects that the project for which assistance is sought will improve the opportunities for sustained employment, income growth, or socioeconomic development of the area; and (6) the degree and manner of private sector involvement. No financial assistance can be used to assist establishments relocating from one area to another. Each State is required to file a State Appalachian development plan, appraising prospects for development in its Appalachian area and relating to them a strategic program for which Appalachian funding is requested in that year. Once an application is submitted for the individual projects and given final approval, the grant is administered either by the basic Federal agency involved in that type of program or directly by the ARC. The counties (including any political subdivision located within such area) in which investment under the Appalachian Act (40 U.S.C. 14102) can be made are: in Alabama, the counties of Bibb, Blount, Calhoun, Chambers, Cherokee, Chilton, Clay, Cleburne, Colbert, Coosa, Cullman, DeKalb, Elmore, Etowah, Fayette, Franklin, Hale, Jackson, Jefferson, Lamar, Lauderdale, Lawrence, Limestone, Macon, Madison, Marion, Marshall, Morgan, Pickens, Randolph, Saint Clair, Shelby, Talladega, Tallapoosa, Tuscaloosa, Walker, and Winston; in Georgia, the counties of Banks, Barrow, Bartow, Carroll, Catoosa, Chattooga, Cherokee, Dade, Dawson, Douglas, Elbert, Fannin, Floyd, Forsyth, Franklin, Gilmer, Gordon, Gwinnet, Habersham, Hall, Haralson, Hart, Heard, Jackson, Lumpkin, Madison, Murray, Paulding, Pickens, Polk, Rabun, Stephens, Towns, Union, Walker, White, and Whitfield; in Kentucky, the counties of Adair, Bath, Bell, Boyd, Breathitt, Carter, Casey, Clark, Clay, Clinton, Cumberland, Edmonson, Elliott, Estill, Fleming, Floyd, Garrard, Green, Greenup, Harlan, Hart, Jackson, Johnson, Knott, Knox, Laurel, Lawrence, Lee, Leslie, Letcher, Lewis, Lincoln, McCreary, Madison, Magoffin, Martin, Menifee, Metcalfe, Monroe, Montgomery, Morgan, Nicholas, Owsley, Perry, Pike, Powell, Pulaski, Robertson, Rockcastle, Rowan, Russell, Wayne, Whitley, and Wolfe; in Maryland, the counties of Allegany, Garrett, and Washington; in Mississippi, the counties of Alcorn, Benton, Calhoun, Chickasaw, Choctaw, Clay, Itawamba, Kemper, Lee, Lowndes, Marshall, Montgomery, Monroe, Noxubee, Oktibbeha, Panola, Pontotoc, Prentiss, Tippah, Tishomingo, Union, Webster, Winston, and Yalobusha; in New York, the counties of Allegany, Broome,

Cattaraugus, Chautauq, Chemung, Chenango, Cortland, Delaware, Otsego, Schoharie, Schuyler, Steuben, Tioga, and Tompkins; in North Carolina, the counties of Alexander, Alleghany, Ashe, Avery, Buncombe, Burke, Caldwell, Cherokee, Clay, Davie, Forsyth, Graham, Haywood, Henderson, Jackson, McDowell, Macon, Madison, Mitchell, Polk, Rutherford, Stokes, Surry, Swain, Transylvania, Watauga, Wilkes, Yadkin, and Yancey; in Ohio, the counties of Adams, Ashtabula, Athens, Belmont, Brown, Carroll, Clermont, Columbiana, Coshocton, Gallia, Guernsey, Harrison, Highland, Hocking, Holmes, Jackson, Jefferson, Lawrence, Mahoning, Meigs, Monroe, Morgan, Muskingum, Noble, Perry, Pike, Ross, Scioto, Trumbull, Tuscarawas, Vinton, and Washington; in Pennsylvania, the counties of Allegheny, Armstrong, Beaver, Bedford, Blair, Bradford, Butler, Cambria, Cameron, Carbon, Centre, Clarion, Clearfield, Clinton, Columbia, Crawford, Elk, Erie, Fayette, Forest, Fulton, Greene, Huntingdon, Indiana, Jefferson, Juniata, Lackawanna, Lawrence, Luzerne, Lycoming, McKean, Mercer, Mifflin, Monroe, Montour, Northumberland, Perry, Pike, Potter, Schuylkill, Snyder, Somerset, Sullivan, Susquehanna, Tioga, Union, Venango, Warren, Washington, Wayne, Westmoreland, and Wyoming; in South Carolina, the counties of Anderson, Cherokee, Greenville, Oconee, Pickens, and Spartanburg; in Tennessee, the counties of Anderson, Bledsoe, Blount, Bradley, Campbell, Cannon, Carter, Claiborne, Clay, Cocke, Coffee, Cumberland, De Kalb, Fentress, Franklin, Grainger, Greene, Grundy, Hamblen, Hamilton, Hancock, Hawkins, Jackson, Jefferson, Johnson, Knox, Lawrence, Lewis, Loudon, McMinn, Macon, Marion, Meigs, Monroe, Morgan, Overton, Pickett, Polk, Putnam, Rhea, Roane, Scott, Sequatchie, Sevier, Smith, Sullivan, Unicoi, Union, Van Buren, Warren, Washington, and White; in Virginia, the counties of Alleghany, Bath, Bland, Botetourt, Buchanan, Carroll, Craig, Dickenson, Floyd, Giles, Grayson, Henry, Highland, Lee, Montgomery, Patrick, Pulaski, Rockbridge, Russell, Scott, Smyth, Tazewell, Washington, Wise, and Wythe; all the counties of West Virginia.

Applicant Eligibility:

States, and through the States, public bodies and private nonprofit organizations. All proposed projects must meet the requirements of the State Appalachian plan and the annual State strategy statement, both of which must be approved annually by the Commission.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

(See individual Appalachian program descriptions.). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The following describes the general nature and Administration of Appalachian Regional Development Program. The Appalachian Regional Development program is a joint Federal-State partnership for the development of the Appalachian region. Responsibility for the development of plans and programs authorized under the Act is vested in the ARC, composed of the 13 State Governors (who may appoint alternates) and a Federal Co-Chairman. General policies and procedures, and the allocation of Appalachian funds among the various programs and States are established by the ARC. Application for assistance may only be made through a State member of the ARC. The State Alternate's Offices are the coordinators for the Governors for Appalachian investments. Preapplication conferences can determine within a few weeks if the project conforms to the State Appalachian Development Plan. The appropriate local development district director should be the first contact. The State Alternate's Offices will provide guidance on specific problems and technical assistance in the preparation of applications. (See individual Appalachian program descriptions.)

Only infrastructure projects require an environmental review. Please see 40 U.S.C. 14507. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for individual projects must be submitted by and have the approval of the State Alternate to the Appalachian Regional Commission (listed in the appendix). (See individual Appalachian program description.)

Award Procedure:

Upon receipt of project applications approved by the State, the Federal Co-Chairman determines that the project satisfies all requirements for assistance under the Act and approves the application. If a basic Federal agency will administer the project, it is then notified and will disburse funds when appropriate. The ARC notifies Congressional offices and the office of the Governor of grant awards. (See individual Appalachian program descriptions.).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

(See individual Appalachian program descriptions.).

Appeals:

There are no appeal procedures as such, project review allows for full and free interchange with applicants.

Renewals:

Generally renewals are not applicable except for administrative expenses, including technical services, of local development districts (23.009).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: For the Area Development program, grants are generally limited to 50% of project costs. For projects in counties designated as At Risk, this limit can be raised to 70% and in economically Distressed counties, it can be raised to 80%. For projects in counties designated as Competitive (those approaching national economic norms), funding is usually limited to 30% of project costs. Funding is usually not available for projects located in counties that have attained or exceeded national economic norms. For the Appalachian Development Highway System, funding for work is allowed at 100%, wherever the project is located. Administrative grants to Local Development Districts are generally funded at 50%. These grants may be increased to 75% upon request by a state in Districts where distressed counties are located. See the ARC Code for additional information. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

See individual Programs. See the following for information on how assistance is awarded/released: See individual Programs.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Records generally are required by the basic Federal agency, but see local development districts (23.009).

Account Identification:

46-0200-0-1-452.

Obligations:

(Project Grants) FY 16 \$95,080,282; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - Sections 23.002, 23.009 and 23.011 provide a detailed break-out of these funds.

Range and Average of Financial Assistance:

See Individual programs.

TAFS Codes:

46-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"The Appalachian Regional Commission Code" (limited distribution);
"Appalachian Regional Commission Project Guidelines" (limited distribution);
applicable State Appalachian Plans and Guidelines; Performance and Accountability Reports, no charge.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Jill Wilmoth 1666 Connecticut Ave NW Suite 600, Washington, District of Columbia 20009 Email: jwilmoth@arc.gov Phone: 202-884-7668

Website Address:

http://www.arc.gov

RELATED PROGRAMS:

11.300 Investments for Public Works and Economic Development Facilities;
11.307 Economic Adjustment Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

23.002 APPALACHIAN AREA DEVELOPMENT

(Supplemental and Direct Grants)

FEDERAL AGENCY:

Appalachian Regional Commission

AUTHORIZATION:

Appalachian Regional Development Act of 1965, Public Law 89-4, as amended, 40 U.S.C. 14101-14704.

OBJECTIVES:

To help the regional economy become more competitive by putting in place the building blocks for self-sustaining economic development, while continuing to provide special assistance to the Region's most distressed counties and areas. This program focuses on activities which support ARC's mission to innovate, partner, and invest to build community capacity and strengthen economic growth in Appalachia. Activities funded must advance ARC's strategic plan. Specific program goals are: (1) Invest in entrepreneurial and business development strategies that strengthen Appalachia's economy; (2) Increase the education, knowledge, skills, and health of residents to work and succeed in Appalachia; (3) Invest in critical infrastructure especially broadband; transportation, including the Appalachian Development Highway System; and water/wastewater systems; (4) Strengthen Appalachia's community and economic development potential by leveraging the Region's natural and cultural heritage assets; and (5) Build the capacity and skills of current and next-generation leaders and organizations to innovate, collaborate, and advance community and economic development. Specific objectives were developed for each goal. Grants are made either directly by the Commission or grants may supplement other Federal grants.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The grants may be used for providing supplemental funds under Federal grant-in-aid programs. To be eligible for special basic grants, projects must be of high priority in the State's Appalachian development plan and be either of critical importance to a phased investment and development program for a multicounty area, or of unusual economic benefit to such area. Refer to "General Provisions for Area Development Program" of the Appalachian Regional Commission Code and ARC Project Guidelines for additional use restrictions. Also, please see www.arc.gov. The Appalachian Regional Commission awards grants and contracts from funds appropriated to the Commission annually by Congress. At least half of ARC's Area Development grant funding is directed to projects that benefit counties and areas in the Appalachian Region designated by ARC as economically distressed. Most program grants originate at the state level. Potential applicants should contact their state ARC program manager to request a preapplication package.

Applicant Eligibility:

States, their subdivisions and instrumentalities and private nonprofit agencies.

Beneficiary Eligibility:

State, local and not for profit organizations.

Credentials/Documentation:

Any finding, report, certification, or documentation required to be submitted to the head of the department, agency, or instrumentality of the Federal

Government responsible for the administration of the basic Federal grant-in-aid program shall be accepted by the Federal Co-chairman with respect to a supplemental grant for any project under such program. Projects must conform to the ARC Code, the ARDA Act, the State Appalachian Plan and annual State Strategy Statement. The Appalachian State Development Plan and the annual State Strategy Statement must be submitted by the Governors and approved by the Commission. Costs will be determined in accordance with OMB Circular applicable to the grantee. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The State Alternate's Office is the coordinator for Appalachian investments. Preapplication conferences with the Appalachian local district director or the State Alternate's Office can determine within a few weeks if the project can be related to the State Appalachian development plan. The State Alternate's Office will provide guidance on specific problems and technical assistance in preparation of applications. An environmental impact statement may be required for this program, depending on the basic Federal grant-in-aid program being assisted. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Only infrastructure projects require an environmental review. Please see 40 U.S.C. 14507. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be submitted and have the approval of the State member of the ARC. All proposed projects must conform to the State Strategy Statement submitted annually. Guidelines and forms for funding Appalachian development projects are available from the local development district director and the State Alternate's Office. A letter of transmittal signed by the State member and an executed ARC Form 1 may be required with the submission (contact individual State Offices as appropriate).

Award Procedure:

Upon determination that the State approved project is eligible within a Commission approved Appalachian State Development Plan and Strategy Statement, the Federal Co-Chairman determines that the project satisfies all Federal requirements. If a basic Federal agency will administer the project it is then notified and will disburse the grant funds when appropriate. The ARC notifies Congressional Offices and the Office of the Governor of grant awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days after the receipt of the application at the Commission.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Generally grants are limited to 50% of project costs. For projects in counties designated as At Risk, this limit can be raised to 70%, and in economically distressed counties, it can be raised to 80%. For projects in counties designated as competitive (those that are approaching national economic norms), funding is usually limited to 30% of project costs. Funding is usually not available for projects located in counties that have attained or exceeded national economic norms. If otherwise eligible for funding, projects involving construction assistance for housing under the Appalachian Regional Development Act (ARDA) (40 U.S.C. 14503) cannot exceed 10% of total project costs regardless of location. The ARC Code and "Appalachian Regional Commission Project Guidelines" furnish details.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is provided until completion; funds are transferred to the basic Federal agency and disbursed as appropriate. ARC reserves the right to withdraw grants for projects not under contract within 18 months of approval. See the following for information on how assistance is awarded/released: Generally, most funds are awarded on a quarterly basis. Grant monies are released in a timely fashion through coordination with the applicant.

Reports:

No program reports are required. No cash reports are required. Grantees of non-construction projects submit quarterly reports outlining progress throughout the award period. Grantees of non-construction projects submit quarterly expenditure reports along with their progress reports. Grantees of non-construction assess performance in their final closing report. Performance is measured against estimated projections in the initial application.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. OMB Circular requirements.

Records:

Records as required by the basic Federal agency. Under the total audit concept, audits will be made on an organization-wide basis (rather than grant-by-grant) under GAO guidelines, Standards for Audit of Governmental Organizations, Programs, Activities and Functions, and for programs covered by A-102, Attachment P.

Account Identification:

46-0200-0-1-452.

Obligations:

(Project Grants) FY 16 \$84,529,632; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

46-0200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 22,293 businesses and households with improved infrastructure
18,702 jobs created or retained
46,513 students, workers and leaders with improvements
4,757 businesses strengthened
357 communities with enhanced capacity. Fiscal Year 2017: 20,000 jobs created or retained
22,000 students, workers, and leaders with improvements
22 businesses and households with access to improved infrastructure
2,500 businesses created or strengthened
250 communities with enhanced capacity. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

"The Appalachian Regional Commission Code" (limited distribution);
"Appalachian Regional Commission Project Guidelines" (limited distribution);
applicable State Appalachian Plans and Guidelines; Performance and Accountability Report, no charge.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Jill Wilmoth 1666 Connecticut Ave NW Suite 600, Washington, District of Columbia 20009 Email: jwilmoth@arc.gov Phone: 202-884-7668

Website Address:

<http://www.arc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See APPLICATION AND AWARD PROCESS.

23.003 APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM

(Appalachian Corridors)

FEDERAL AGENCY:

Appalachian Regional Commission

AUTHORIZATION:

Appalachian Regional Development Act of 1965, Section 201, Public Law 89-4, as amended, 40 U.S.C. 14501.

OBJECTIVES:

To provide a highway system which, in conjunction with other federally-aided highways, will open up areas with development potential within Appalachia where commerce and communication have been inhibited by lack of adequate access.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The grants may be used for preliminary engineering, right-of-way, and construction of highways meeting the objectives stated above. Total highway construction may not exceed 3,090 miles for the 13-State system. The highways must be accepted and maintained as a Federal-aid highway. Refer to general use restrictions stated in the Appalachian Regional Development program (23.001).

Applicant Eligibility:

State governments only are eligible for development highways within their Appalachian portions.

Beneficiary Eligibility:

States.

Credentials/Documentation:

Segments must be approved by the ARC and projects must be processed in accordance with State Highway department and Federal Highway Administration procedures. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. The State highway department coordinates with the Federal Highway Administration, Department of Transportation (DOT), as in the case of federally aided highway construction. The District Director or State Alternate's Office will provide guidance on specific problems and technical assistance in the preparation of applications. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The ARC has established a corridor system. The Appalachian State office designated by the State Member submits an application for construction within this system to the Commission for consideration. ARC must concur in detailed highway locations and construction centerlines. Upon such approval, State highway departments may submit plans to implement projects to the State-level office of Federal Highway Administration.

Award Procedure:

Upon determination that the State approved project is eligible within a Commission approved Appalachian Highway Plan, the Federal Co-chairman notifies FHWA of centerline approval after determining that the project satisfies all Federal requirements. The Department of Transportation is then notified, administers the grants and disburses the funds.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Funding for work on the Appalachian Development Highway System is allowed at 100%, wherever the project is located. Please see the ARC Code for more details.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Assistance is provided as required. See the following for information on how assistance is awarded/released: Funds are distributed to states.

Reports:

Grantees are required to submit data in preparation for an annual status report. Grantees are required to reconcile obligations against progress. Grantees are required to detailed construction status reports. Grantees are required to reconcile obligations against progress. Performance is measured and reported each year in accordance with the goals and strategies of ARC's Strategic Plan 2016-2020.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Federal Highway Administration, DOT, supervises audits for the Commission.

Records:

Records and time of record maintenance as required by the Federal Highway Administration, DOT.

Account Identification:

46-0200-0-1-452.

Obligations:

(Project Grants) FY 16 \$271,200,000; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - Ranges and averages vary with type of use. Allocations are within predetermined system of highways. Funding is provided from the Highway Trust Fund.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-0640.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 10.9 miles completed. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The ARC Code (available at www.arc.gov); "Appalachian Regional Commission Project Guidelines" (also available at www.arc.gov); applicable State Appalachian Plans and Guidelines; Performance and Accountability Reports, no charge.

Regional or Local Office:

See Regional Agency Offices. Inquiries and proposals for projects should be submitted first to the Appalachian State office designated by the Governor. (See Appendix IV of the Catalog.) Other inquiries may be addressed to: Executive Director, Appalachian Regional Commission, 1666 Connecticut Ave., NW., Washington, DC 20009. Telephone: (202) 884-7700. Use the same number for FTS.

Headquarters Office:

Jill Wilmoth 1666 Connecticut Ave NW Suite 600, Washington, District of Columbia 20009 Email: jwilmoth@arc.gov Phone: 202-884-7668

Website Address:

<http://www.arc.gov>

RELATED PROGRAMS:

20.205 Highway Planning and Construction

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See APPLICATION AND AWARD PROCESS.

23.009 APPALACHIAN LOCAL DEVELOPMENT DISTRICT ASSISTANCE

(LDD)

FEDERAL AGENCY:

Appalachian Regional Commission

AUTHORIZATION:

Appalachian Regional Development Act of 1965, Section 302, Public Law 89-4, as amended, 40 U.S.C. 14321.

OBJECTIVES:

To provide planning and development resources in multicounty areas; to help develop the technical competence essential to sound development assistance; and to meet the objectives stated under the program entitled Appalachian Regional Development (23.001).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

For administrative expenses, including technical services of certified local development districts; in addition to the uses and use restrictions detailed in the Appalachian Regional Development Program (23.001), the local development district may use grant funds for the purchase of real estate and vehicles; and for space improvement and construction only with the express approval of ARC. Funds may be used only in the performance of grantees program and in accordance with the budget, and amendments thereto, as approved by the Commission. See restrictions listed under the Appalachian Regional Development Program (23.001). For administrative expenses, including technical services of certified local development districts.

Applicant Eligibility:

Multicounty organizations certified by the State.

Beneficiary Eligibility:

Multicounty organizations.

Credentials/Documentation:

Certificate by the Governor. State Appalachian Plan and Strategy Statement must describe the procedures for the participation of local development districts in the Appalachian development planning process. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The State Alternate's Office is the coordinator for the Governor for Appalachian investments. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are approved by the State Member of ARC and the Federal Co-Chair. All proposed projects must meet the requirements of the State Appalachian Plan and Strategy Statement. Strategy statements are submitted to the Commission for approval annually prior to the Fall Commission meeting. A letter of transmittal signed by the State Member and Standard Form 424 are required.

Award Procedure:

Upon determination that the State approved project is eligible within a Commission approved Appalachian State Development Plan and Strategy Statement, the Federal Co-chairman determines that the project satisfies all Federal requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Usually within 45 days after the receipt of the application at the Commission.

Appeals:

Not Applicable.

Renewals:

Renewals and amended applications follow the same procedures as the original application.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Administrative grants may be funded at 50%, wherever the local development district is located, except that a state may request that ARC participation be increased to as much as 75 percent for a local development district that includes a distressed county or counties within its service area or to as much as 70 percent for a local development district that includes an at-risk county or counties within its service area. See the ARC Code and ARC Project Guidelines for more details.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is provided annually for 12 months. Method of awarding/releasing assistance: quarterly.

Reports:

A final report is required at the end of each calendar year from each local development district that includes an analysis of performance activities and financial expenditures. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Commission, the Federal Co-chairman of the Commission, and the Comptroller General of the United States shall have access for the purpose of audit and examination.

Records:

The grantee shall keep records for not less than 3 years which fully disclose the purpose, amount and disposition of ARC funds and other contributions.

Account Identification:

46-0200-0-1-452.

Obligations:

(Project Grants) FY 16 \$7,264,543; FY 17 est \$7,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

46-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"The Appalachian Regional Commission Code" (available at www.arc.gov); "ARC Travel Regulations;" "ARC Section 302A Guidelines" and "Audit Guide for Grants Made Under Section 302(A)(1) of the Appalachian Regional Development Act of 1965, as amended", and applicable OMB Circulars.

Regional or Local Office:

See Regional Agency Offices. Refer to Appendix IV of the Catalog.

Headquarters Office:

Jill Wilmoth 1666 Connecticut Ave NW Suite 600, Washington, District of Columbia 20009 Email: jwilmoth@arc.gov Phone: 202-884-7668

Website Address:

<http://www.arc.gov>

RELATED PROGRAMS:

11.302 Economic Development_Support for Planning Organizations

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: local development district support. Fiscal Year 2017: local development district support. Fiscal Year 2018: local development district support.

CRITERIA FOR SELECTING PROPOSALS:

See APPLICATION AND AWARD PROCESS.

23.011 APPALACHIAN RESEARCH, TECHNICAL ASSISTANCE, AND DEMONSTRATION PROJECTS

(State Research)

FEDERAL AGENCY:

Appalachian Regional Commission

AUTHORIZATION:

Appalachian Regional Development Act of 1965, Section 302, Public Law 89-4, as amended; 40 U.S.C. 14321.

OBJECTIVES:

To expand the knowledge of the region to the fullest extent possible by means of research (including investigations, studies, technical assistance and demonstration projects) in order to assist the Commission in accomplishing the objectives of the Act, and implementation of the ARC strategic plan.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

To research the feasibility of plans and programs for concerted economic and community development. Priority will be given to research and technical assistance related to job creation projects. Project activities can include investigations, research, studies, evaluations, and assessments of needs, potentials, or attainments of the people of the region. All developments resulting from such research must be made freely available to the general public. Existing research by other agencies is to be utilized as much as possible. Research projects are subject to the uses and use restrictions described under the Appalachian Regional Development program (23.001). The purpose of these funds are to research or demonstrate the feasibility of plans and programs for concerted economic and community development.

Applicant Eligibility:

Qualified candidates with subject matter experience who respond to Commission issued requests for proposals that are advertised on the Appalachian Regional Commission website (www.arc.gov).

Beneficiary Eligibility:

States and local public bodies.

Credentials/Documentation:

Projects must conform to the Appalachian Regional Commission Strategic Plan. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The Appalachian Regional Commission reviews and prioritizes research and evaluation projects necessary to further the goals of the agency's strategic plan. The Executive Director approves individual contractors. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Candidates with

subject matter expertise can respond to publicly advertised requests for proposals. Requests for proposals are located on the ARC website: www.arc.gov. The Commission reviews and prioritizes research projects to be completed each year.

Award Procedure:

Upon determination that the approved project is eligible within a Commission approved Appalachian Strategic Plan, the Executive Director determines that the project satisfies all Federal requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 60 days after the receipt of the application at the Commission.

Appeals:

Not Applicable.

Renewals:

Yes, renewals are possible. They are processed in the same manner as the original application.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. No other matching information is available.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Usually 12 months. Assistance is provided as required. See the following for information on how assistance is awarded/released: A schedule for payment is included in the contract proposal. However, ARC retains 10% of funds until the project is completed.

Reports:

General reports are required throughout the research period, as required by the terms of the individual contract. A cost estimate of the project is required upon initiation of the research contract. As the contract progresses, researchers are asked to update ARC on their progress. Drafts are sent to ARC for review.

Expenditure updates are required for reimbursement. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. As required by the Commission, in accordance with the Single Audit Act Amendments of 1996, Public Law 104-156.

Records:

As required by the terms of the contract.

Account Identification:

46-0200-0-1-452.

Obligations:

(Project Grants) FY 16 \$1,064,328; FY 17 est \$1,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

46-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"The Appalachian Regional Commission Code" (limited distribution);

"Appalachian Regional Commission Project Guidelines" (limited distribution);

Research Program Prospectus (limited distribution).

Regional or Local Office:

See Regional Agency Offices. Refer to Appendix IV of the Catalog.

Headquarters Office:

Jill Wilmoth 1666 Connecticut Ave NW Suite 600, Washington, District of Columbia 20009 Email: jwilmoth@arc.gov Phone: 202-884-7668

Website Address:

<http://www.arc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See APPLICATION AND AWARD PROCESS.

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION**30.001 EMPLOYMENT DISCRIMINATION TITLE VII OF THE CIVIL RIGHTS ACT OF 1964****FEDERAL AGENCY:**

Equal Employment Opportunity Commission

AUTHORIZATION:

Title VII of the Civil Rights Act of 1964 (Public Law 88-352), as amended, 42 U.S.C. section 2000e et seq.

OBJECTIVES:

Title VII prohibits employment discrimination against applicants or employees based on race, color, religion, sex (including pregnancy, gender and sexual orientation), and national origin. Title VII also prohibits retaliation against applicants or employees who oppose employment practices that discriminate based on race, color, religion, sex or national origin, who filing an employment discrimination charge, or who file a charge, testify, or participate in any way in an investigation, proceeding, or litigation under Title VII.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Federal Employment; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Individuals are protected from discrimination on the basis of race, color, religion, sex (including pregnancy, gender identity and sexual orientation) and national origin by employers with 15 or more employees, including state and local governments, employment agencies, labor organizations and the federal government. The EEOC Assessment System, available at <https://e.gov.eeoc.gov/eas/>, enables individuals to determine whether EEOC is the appropriate agency to contact regarding discrimination, harassment or retaliation. Charges of discriminatory employment practices can be filed at the field office by or on behalf of an individual or group of individuals claiming to be aggrieved. Mediation may be offered to the parties involved. If mediation is not used or is not successful, further investigation may ensue. If after its investigation the Commission does not find reasonable cause, the charging party is issued a right to sue letter to the party who filed the charge, giving him or her the right to file a civil action in federal court within 90 days. If the Commission determines that there is reasonable cause to believe that discrimination has occurred, it will attempt to resolve the charge informally through conciliation. If conciliation proves to be unsuccessful and the employer is not a state or local government, the Commission may bring a civil action against respondent(s) named in the charge or issue a right to sue letter to the party who filed the charge, giving him or her the right to file a civil action in federal court within 90 days. If conciliation fails on a charge against a state or local government, EEOC refers the case to the Department of Justice for consideration of litigation or issuance of a right to sue letter. A federal government applicant or employee who believes that his or her employment rights have been violated under this statute and wants to make a claim against a federal agency must file a complaint with that agency and follow the procedures set forth at 29 C.F.R. part 1614.

Applicant Eligibility:

Any aggrieved individual, or any individual, organization, or agency filing on behalf of an aggrieved individual, who has reason to believe that an unlawful employment practice within the meaning of Title VII, as amended, has been committed by an employer with 15 or more employees, a state or local government entity, an employment agency, labor organization, or joint labor-management committee controlling apprenticeship or other training or retraining, including on-the-job training programs. Any aggrieved individual who believes he or she has been retaliated against for opposing employment practices that discriminate, or who testifies, or participates in any way in an investigation, proceeding, or litigation under Title VII.

Beneficiary Eligibility:

Applicants, current employees, or former employees of the named respondent(s) who have been subjected to employment practices based on race, color, religion, sex, or national origin by the named respondent(s), and/or who have been subjected to retaliation for opposing discrimination or participating in a Title VII investigation, proceeding, or litigation.

Credentials/Documentation:

A claim of unlawful employment practice(s) may be made in person, by mail or by fax. An allegation must be in writing, signed, and/or notarized when necessary to meet state or local requirements. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons at all field offices of the Commission. Individuals may also consult EEOC's website at <http://www.eeoc.gov/employees/howtofile.cfm> for detailed information about filing a charge. Complaints against the federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office. Federal government applicants or employees should consult EEOC's website at http://www.eeoc.gov/federal/fed_employees/complaint_overview.cfm for details about filing an employment discrimination complaint against a federal agency. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. A charge may be filed by any aggrieved individual, any individual on behalf of an aggrieved individual, or by any organization, i.e., labor union, association, legal representative, etc., either as an aggrieved entity or on behalf of an aggrieved individual. Charges may be filed in person, by mail or by fax at the nearest field office of the EEOC. Complaints against the federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact an agency's EEO office.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written signed statement that includes an allegation of discrimination, the name(s) of the parties involved, and a request that the EEOC act to protect the applicant or employees rights or otherwise settle a dispute between the applicant or employee and the employer. The charge must also be verified either by oath or signed under penalty of perjury.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Title VII requires that charges be filed within 180 days of the date of the alleged violation or, within 300 days if the charge is also covered by a state or local anti-discrimination law and there is a state or local entity with the authority to enforce the law, or within 30 days after the receipt of notice of termination of state or local proceedings, whichever is earlier. If the evidence does not establish that discrimination occurred, charging parties will be given written notice of their right to sue. A charging party may file a lawsuit within 90 days after receiving a notice of a right to sue from EEOC, as stated above. Under Title VII, a charging party also can request a notice of right to sue from

EEOC 180 days after the charge was first filed with the Commission, and may then bring suit within 90 days after receiving this notice. When a right to sue letter is issued at the request of the charging party, EEOC usually stops its investigation. Federal employees must generally contact the agency's EEO Counselor within 45 days from the date the discrimination occurred.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries) FY 16 \$364,500,000; FY 17 est \$364,500,000; and FY 18 est \$363,807,086 - Not separately identifiable. (Salaries and Expenses).

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

45-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In fiscal year 2016, EEOC field legal units filed 86 merits lawsuits, including 58 individual suits, 11 non-systemic suits with multiple victims, and 18 systemic suits. Merits lawsuits are direct suits or interventions alleging violations of the substantive provisions of the statutes enforced by EEOC and suits to enforce administrative settlements. These merits filings alleged violations covering a wide variety of bases, including disability (36), sex (25), retaliation (24), race (10), religion (6), national origin (5), age (2), and genetic information (2). The issues raised most frequently in these suits were discharge (48), hiring (22), reasonable accommodation (17), and harassment (11). At the end of fiscal year 2016, EEOC had 166 cases on its active district court docket, of which 30 (18.1 percent) were non-systemic multiple victim cases and 47 (28.3 percent) involved challenges to systemic discrimination. The agency also filed 28 subpoena enforcement actions. EEOCs legal staff resolved 139 merits lawsuits in the federal district courts for a total monetary recovery of \$52.2 million. EEOC achieved a favorable resolution in 90.6 percent of all district court resolutions. A total of 8,489 individuals received monetary relief as a direct result of EEOC lawsuit resolutions. The Commission also resolved 32 subpoena enforcement actions during the fiscal year.

Fiscal Year 2016: EEOC received 91,503 charges alleging discrimination in employment, resolved 97,443 charges and obtained monetary benefits of \$348 million. Fiscal Year 2017: No Current Data Available; Fiscal Year 2018: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

A significant number of publications are available on the EEOC web site (www.eeoc.gov). To request documents in alternative formats (Braille, large print, etc), contact the EEOC at (202) 663-4191. Regulations include: 29 CFR 1601, Procedural Regulations and Guidelines; 29 CFR 1602, Recordkeeping and Reporting Requirements under Title VII and the ADA; 29 CFR 1604,

Guidelines on Discrimination Because of Sex; 29 CFR 1605, Guidelines on Discrimination Because of Religion; 29 CFR 1606, Guidelines on Discrimination Because of National Origin. Enforcement Guidances and policy documents include: Unlawful Disparate Treatment of Workers with Caregiving Responsibilities; Vicarious Employer Liability for Unlawful Harassment by Supervisors; Application of EEO Laws to Contingent Workers Placed by Temporary Employment Agencies and Other Staffing Firms; Sex Discrimination in the Compensation of Sports Coaches in Educational Institutions. Compliance Manual: Section 2: Threshold Issues; Section 3: Employee Benefits; Section 8: Retaliation; Section 10: Compensation Discrimination; Section 12: Religious Discrimination; Section 13: National Origin Discrimination; Section 15: Race and Color Discrimination. Technical assistance documents, fact sheets, and other relevant material include: Best Practices for Eradicating Religious Discrimination in the Workplace; Commission Decision on Coverage of Contraception; Employer Best Practices for Workers with Caregiving Responsibilities; Employment Tests and Selection Procedures; The Family and Medical Leave Act, the Americans with Disabilities Act, and Title VII of the Civil Rights Act of 1964; Questions and Answers About Employer Responsibilities Concerning the Employment of Muslims, Arabs, South Asians, and Sikhs; Questions and Answers About the Workplace Rights of Muslims, Arabs, South Asians, and Sikhs Under the Equal Employment Opportunity Laws; Fact Sheet: Employment Discrimination Based on Religion, Ethnicity, or Country of Origin; Fact Sheet: National Origin Discrimination; Fact Sheet: Race/Color Discrimination; Fact Sheet: Religious Discrimination; Fact Sheet: Sexual Harassment Discrimination.

Regional or Local Office:

See Regional Agency Offices. See map of field offices available at <http://www.eeoc.gov/field/index.cfm>. EEOC offices are also listed in Appendix IV of the Catalog.

Headquarters Office:

Equal Employment Opportunity Commission 131 M Street, NE, Washington, District of Columbia 20507 Phone: 202-663-4191/202-663-4494 (TTY)

Website Address:

<http://www.eeoc.gov>

RELATED PROGRAMS:

17.700 Women's Bureau; 30.008 Employment Discrimination_Age Discrimination in Employment; 30.010 Employment Discrimination Equal Pay Act; 30.011 Employment Discrimination_Title I of The Americans with Disabilities Act; 30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008; 93.001 Civil Rights and Privacy Rule Compliance Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

30.005 EMPLOYMENT DISCRIMINATION PRIVATE BAR PROGRAM FEDERAL AGENCY:

Equal Employment Opportunity Commission

AUTHORIZATION:

Civil Rights Act of 1964.

OBJECTIVES:

(a) To assist individuals who have filed a charge with the Commission, or on whose behalf a charge has been filed, in contacting members of the private bar; (b) to provide technical assistance to aggrieved individuals and their attorneys in Title VII, Equal Pay Act, Age Discrimination in Employment Act, Genetic Information Nondiscrimination Act and Americans with Disabilities Act cases; (c) to establish the attorney referral mechanism; and (d) to coordinate the strategies of the private bar with those of the Commission.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Upon request, provide assistance to individuals in locating lawyers who are prepared to litigate Title VII, Americans with Disabilities Act, Equal Pay Act, Genetic Information Nondiscrimination Act and Age Discrimination in Employment Act issues.

Applicant Eligibility:

Any individual who has filed a charge with the Commission, or on whose behalf a charge has been filed.

Beneficiary Eligibility:

Any individual who has filed a charge with the Commission, or on whose behalf a charge has been filed.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Requests for assistance may be made in writing or orally to nearest EEOC District Office listed in Appendix IV of the Catalog.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

None.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries) FY 16 \$364,500,000; FY 17 est \$364,500,000; and FY 18 est \$363,807,086 - (Salaries and expenses) not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

45-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In fiscal year 2016, EEOC field legal units filed 86 merits lawsuits, including 58 individual suits, 11 non-systemic suits with multiple victims, and 18 systemic suits. Merits lawsuits are direct suits or interventions

alleging violations of the substantive provisions of the statutes enforced by EEOC and suits to enforce administrative settlements. These merits filings alleged violations covering a wide variety of bases, including disability (36), sex (25), retaliation (24), race (10), religion (6), national origin (5), age (2), and genetic information (2). The issues raised most frequently in these suits were discharge (48), hiring (22), reasonable accommodation (17), and harassment (11). At the end of fiscal year 2016, EEOC had 166 cases on its active district court docket, of which 30 (18.1 percent) were non-systemic multiple victim cases and 47 (28.3 percent) involved challenges to systemic discrimination. The agency also filed 28 subpoena enforcement actions. EEOCs legal staff resolved 139 merits lawsuits in the federal district courts for a total monetary recovery of \$52.2 million. EEOC achieved a favorable resolution in 90.6 percent of all district court resolutions. A total of 8,489 individuals received monetary relief as a direct result of EEOC lawsuit resolutions. The Commission also resolved 32 subpoena enforcement actions during the fiscal year.

Fiscal Year 2016: EEOC received 91,503 charges alleging discrimination in employment, resolved 97,443 charges and obtained monetary benefits of \$348 million. Fiscal Year 2017: No Current Data Available; Fiscal Year 2018: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EEOC Compliance Manual, Section 81 - Private Bar Referral Program.

Regional or Local Office:

See Regional Agency Offices. EEOC District Offices (see Appendix IV of the Catalog for addresses).

Headquarters Office:

Jerome Scanlan 131 M Street, NE, Washington, District of Columbia 20507
Phone: 202-663-4719

Website Address:

<http://www.eeoc.gov>

RELATED PROGRAMS:

30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964; 30.008 Employment Discrimination Age Discrimination in Employment; 30.010 Employment Discrimination Equal Pay Act; 30.011 Employment Discrimination Title I of The Americans with Disabilities Act; 30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

30.008 EMPLOYMENT DISCRIMINATION AGE DISCRIMINATION IN EMPLOYMENT

FEDERAL AGENCY:

Equal Employment Opportunity Commission

AUTHORIZATION:

Age Discrimination in Employment Act of 1967 (P.L. 90-202), as amended, 29 U.S.C. section 621 et seq.

OBJECTIVES:

The Age Discrimination in Employment Act (ADEA) prohibits discrimination based on age (40 or older) with respect to any term, condition, or privilege of employment, including hiring, firing, promotion, layoff, compensation, benefits, job assignments, training and harassment. The ADEA also prohibits retaliation against an individual for opposing employment practices that discriminate based on age or for filing an age discrimination charge, testifying, or participating in any way in an investigation, proceeding, or litigation under the ADEA.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Federal Employment; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Individuals 40 years of age or older are protected from age discrimination by employers with 20 or more employees, all state and local governments, employment agencies, labor organizations and the federal government. It is unlawful for covered employers to post advertisements indicating any preference, limitation, or specification based on age. Limited exceptions apply: in situations in which age is a bona fide occupational qualification reasonably necessary to the normal operation of the business; in situations in which the differentiation is based on reasonable factors other than age; or if an otherwise prohibited practice is in observance of the terms of a bona fide seniority system or employee benefit plan (except that no such seniority system or employee benefit plan shall excuse the failure to hire, or require or permit the involuntary retirement of any covered individual). Exemptions and exceptions also apply for highly compensated employees, public officials, firefighters and law enforcement officers. The EEOC Assessment System, available at <https://egov.eeoc.gov/eas/>, enables individuals to determine whether EEOC is the appropriate agency to contact regarding their specific claims of discrimination, harassment or retaliation. If a charge is filed, mediation may be offered to the parties involved. If mediation is not used or is not successful further investigation may ensue. The Commission will attempt to eliminate any alleged unlawful practice by informal methods of conciliation, conference and persuasion. If conciliation fails, the charging party will be notified that he or she can file a civil action within 90 days. If the Commission's proceedings with regard to a charge are terminated, the Commission will notify the complainant who shall then have the right to bring a civil action within 90 days. A federal government applicant or employee who believe that his or her employment rights have been violated under this statute and wants to make a claim against a federal agency must file a complaint with that agency and follow the procedures set forth at 29 C.F.R. part 1614.

Applicant Eligibility:

Any aggrieved individuals age 40 and over, or any individual, organization, or agency filing on behalf of an aggrieved individual who has reason to believe that a covered employer has committed an unlawful employment practice within the meaning of the ADEA, as amended.

Beneficiary Eligibility:

Applicants, current employees, or former employees of the named respondent(s) who are age 40 or older and who have been subjected to unlawful employment practices based on age by the named respondent(s), and/or who have been subjected to retaliation for opposing age discrimination, filing a charge of discrimination, or participating in an ADEA investigation, proceeding, or litigation.

Credentials/Documentation:

An allegation of unlawful employment practice(s) may be made in person, by mail or by fax. An allegation must be in writing, signed, and notarized when necessary to meet state or local requirements. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from all field offices of the Commission. Individuals may also consult EEOCs website at <http://www.eeoc.gov/employees/howtofile.cfm> for detailed information about filing a charge. Complaints against the federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office. Federal government applicants or employees should consult EEOCs website at http://www.eeoc.gov/federal/fed_employees/complaint_overview.cfm for details about filing an employment discrimination complaint against a federal agency. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. A charge may be filed by any aggrieved individual or by any organization, i.e. labor union, association, legal representative, etc., either as an aggrieved entity or on behalf of an aggrieved individual. Charges may be filed in person, by mail or by fax at the nearest field office of the EEOC.

Complaints against the federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written signed statement that includes an allegation of discrimination, the name(s) of the parties involved, and a request that the EEOC act to protect the applicant or employees rights or otherwise settle a dispute between the applicant or employee and the employer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The ADEA requires that charges be filed within 180 days of the date of the alleged violation, within 300 days if the charge is also covered by a state or local anti-discrimination law and a state or local entity enforcing the law, or within 30 days after the receipt of notice of termination of state proceedings, whichever is earlier. A civil action may be commenced at any time 60 days after a charge has been filed with the EEOC. If the evidence does not establish that discrimination occurred, charging parties will be given written notice of their right to sue. A charging party may file a lawsuit within 90 days after receiving a notice of a right to sue from EEOC, as stated above. If the charging party files an ADEA lawsuit, EEOC usually stops its investigation.

Federal employees must generally contact the agency's EEO Counselor within 45 days from the date the discrimination occurred.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries) FY 16 \$364,500,000; FY 17 est \$364,500,000; and FY 18 est \$363,807,086 - (Salaries and expenses) Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

45-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In fiscal year 2016, EEOC field legal units filed 86 merits lawsuits, including 58 individual suits, 11 non-systemic suits with multiple victims, and 18 systemic suits. Merits lawsuits are direct suits or interventions alleging violations of the substantive provisions of the statutes enforced by EEOC and suits to enforce administrative settlements. These merits filings alleged violations covering a wide variety of bases, including disability (36), sex (25), retaliation (24), race (10), religion (6), national origin (5), age (2), and genetic information (2). The issues raised most frequently in these suits were

discharge (48), hiring (22), reasonable accommodation (17), and harassment (11). At the end of fiscal year 2016, EEOC had 166 cases on its active district court docket, of which 30 (18.1 percent) were non-systemic multiple victim cases and 47 (28.3 percent) involved challenges to systemic discrimination. The agency also filed 28 subpoena enforcement actions. EEOCs legal staff resolved 139 merits lawsuits in the federal district courts for a total monetary recovery of \$52.2 million. EEOC achieved a favorable resolution in 90.6 percent of all district court resolutions. A total of 8,489 individuals received monetary relief as a direct result of EEOC lawsuit resolutions. The Commission also resolved 32 subpoena enforcement actions during the fiscal year.

Fiscal Year 2016: EEOC received 91,503 charges alleging discrimination in employment, resolved 97,443 charges and obtained monetary benefits of \$348 million. Fiscal Year 2017: No Current Data Available; Fiscal Year 2018: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications are available for downloading on the EEOC web site (www.eeoc.gov). To request documents in alternative formats (Braille, large print, etc), contact EEOCs Office of Communications and Legislative Affairs at (202) 663-4191 or (202) 663-4494 (TTY). Regulations: 29 C.F.R 1625, Age Discrimination in Employment Act; 29 C.F.R 1626, Procedures Age Discrimination in Employment Act; 29 C.F.R 1627, Records to be made or kept relating to age; notices to be posted; administrative exemptions. Policy documents and fact sheets include: Compliance Manual Section 2: Threshold Issues; Compliance Manual Section 3: Employee Benefits; Compliance Manual Section 8: Retaliation; Compliance Manual Section 10: Compensation Discrimination; Fact Sheet: Age Discrimination.

Regional or Local Office:

See Regional Agency Offices. See map of field offices available at <http://www.eeoc.gov/field/index.cfm>. EEOC Offices are also listed in Appendix IV of the Catalog.

Headquarters Office:

Equal Employment Opportunity Commission 131 M Street, NE, Washington, District of Columbia 20507 Phone: 202-663-4191/202-663-4494 (TTY)

Website Address:

<http://www.eeoc.gov>

RELATED PROGRAMS:

17.700 Women's Bureau; 30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964; 30.010 Employment Discrimination Equal Pay Act; 30.011 Employment Discrimination Title I of The Americans with Disabilities Act; 30.013 Employment Discrimination Title II of the Genetic Information Nondiscrimination Act of 2008; 93.001 Civil Rights and Privacy Rule Compliance Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

30.010 EMPLOYMENT DISCRIMINATION EQUAL PAY ACT FEDERAL AGENCY:

Equal Employment Opportunity Commission

AUTHORIZATION:

Equal Pay Act of 1963, (P.L. 88-38), as amended, 29 U.S.C. section 206(d).

OBJECTIVES:

The Equal Pay Act (EPA) prohibits sex discrimination in the payment of wages to men and women performing jobs that require substantially equal skill, effort and responsibility, under similar working conditions within the same establishment. The EPA also prohibits retaliation against applicants or employees who oppose compensation practices that discriminate based on sex or who file a discrimination charge, testify, or participate in any way in an investigation, proceeding, or litigation under the EPA.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Federal Employment; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Employers engaged in commerce or in the production of goods for commerce (i.e. subject to The Fair Labor Standards Act of 1938, as amended, 29 U.S.C. 201, et seq.), including the federal government, and state and local governments, are prohibited from paying unequal wages to men and women performing jobs that require substantially equal skill, effort and responsibility, under similar working conditions, and within the same establishment. Labor organizations representing employees of a covered employer are prohibited from causing or attempting to cause employers to violate the Act. Exceptions are permitted only where payment is made pursuant to a seniority system, a merit system, a system that measures earnings by quantity or quality of production, or a differential based on any other factor other than sex. The EEOC Assessment System, available at <https://egov.eeoc.gov/eas/>, enables individuals to determine whether EEOC is the appropriate agency to contact regarding their specific claim of wage discrimination or retaliation. The Commission may investigate allegations of violations of the Act and may initiate and conduct litigation. A federal government applicant or employee who believes that his or her employment rights have been violated under this statute and wants to make a claim against a federal agency must file a complaint with that agency and follow the procedures set forth at 29 C.F.R. part 1614.

Applicant Eligibility:

Any aggrieved individual, or any individual, organization, or agency filing on behalf of an aggrieved individual, who has reason to believe that a covered employer has committed an unlawful employment practice within the meaning of the EPA. An employee who believes he or she has been retaliated against for opposing compensation practices that discriminate based on sex or who files a discrimination charge, testifies, or participates in any way in an investigation, proceeding, or litigation under the EPA.

Beneficiary Eligibility:

Applicants, current employees, or former employees of the named respondent (s) who have been subjected to unlawful compensation practices based on gender by the named respondent (s), and/or who have been subjected to retaliation for filing a charge of discrimination, for opposing gender-based compensation discrimination or participating in an EPA investigation, proceeding, or for litigation.

Credentials/Documentation:

The EPA does not require aggrieved parties to file a charge of discrimination with EEOC before filing a private lawsuit. However, since many EPA claims also raise Title VII sex discrimination issues, it may be advisable to file a charge of discrimination under both laws within the appropriate time limit (see section on Deadlines 095). An allegation of unlawful employment practice(s) may be made in person, by mail or by fax. An allegation must be in writing, signed, and/or notarized when necessary to meet state or local requirements. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from all field offices of the Commission. Individuals may also consult EEOCs website at <http://www.eeoc.gov/employees/howtofile.cfm> for detailed information about filing a charge. Complaints against the Federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office. Federal government applicants or employees should consult EEOCs website at http://www.eeoc.gov/federal/fed_employees/complaint_overview.cfm for details about filing an employment discrimination complaint against a federal agency. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The EPA does not require aggrieved parties to file a charge of discrimination with EEOC before filing a private lawsuit. However, since many EPA claims also raise Title VII sex discrimination issues, it may be

advisable to file a charge of discrimination under both laws within the appropriate time limit (see section on the range of approval/disapproval times). A charge may be filed by any aggrieved individual, any individual on behalf of an aggrieved individual, or by any organization, i.e., labor union, association, legal representative, etc., either as an aggrieved entity or on behalf of an aggrieved individual. Charges may be filed in person, by mail or by fax at the nearest EEOC field office. Complaints against the federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written signed statement that includes an allegation of discrimination, the name(s) of the parties involved, and a request that the EEOC act to protect the applicant or employees rights or otherwise settle a dispute between the applicant or employee and the employer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The EPA does not require aggrieved parties to file a charge of discrimination with EEOC before filing a private lawsuit. The time limit for filing an EPA charge with the EEOC and the time limit for going to court are the same: within two years of the alleged unlawful compensation practice or in the case of a willful violation, within three years. However, since many EPA claims also raise Title VII sex discrimination issues, it may be advisable to file a charge of discrimination under both laws within the appropriate time limit. (Title VII requires that charges be filed within 180 days of the date of the alleged violation, or within 300 days if the charge is also covered by a state or local anti-discrimination law and there is a state or local entity with authority to enforce the law, or within 30 days after the receipt of notice of termination of state or local proceedings, whichever is earlier.) A charging party may file a lawsuit within 90 days after receiving a notice of a right to sue from EEOC, as stated above. Under Title VII, a charging party also can request a notice of right to sue from EEOC 180 days after the charge was first filed with the Commission, and may then bring suit within 90 days after receiving this notice. When a right to sue letter is issued at the request of the charging party, EEOC usually stops its investigation. Federal employees must generally contact the agency's EEO Counselor within 45 days from the date the discrimination occurred.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries) FY 16 \$364,500,000; FY 17 est \$364,500,000; and FY 18 est \$363,807,086 - (Salaries and expenses) Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

45-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In fiscal year 2016, EEOC field legal units filed 86 merits lawsuits, including 58 individual suits, 11 non-systemic suits with multiple victims, and 18 systemic suits. Merits lawsuits are direct suits or interventions alleging violations of the substantive provisions of the statutes enforced by EEOC and suits to enforce administrative settlements. These merits filings alleged violations covering a wide variety of bases, including disability (36), sex (25), retaliation (24), race (10), religion (6), national origin (5), age (2), and genetic information (2). The issues raised most frequently in these suits were discharge (48), hiring (22), reasonable accommodation (17), and harassment (11). At the end of fiscal year 2016, EEOC had 166 cases on its active district court docket, of which 30 (18.1 percent) were non-systemic multiple victim cases and 47 (28.3 percent) involved challenges to systemic discrimination. The agency also filed 28 subpoena enforcement actions. EEOC's legal staff resolved 139 merits lawsuits in the federal district courts for a total monetary recovery of \$52.2 million. EEOC achieved a favorable resolution in 90.6 percent of all district court resolutions. A total of 8,489 individuals received monetary relief as a direct result of EEOC lawsuit resolutions. The Commission also resolved 32 subpoena enforcement actions during the fiscal year.

Fiscal Year 2016: EEOC received 91,503 charges alleging discrimination in employment, resolved 97,443 charges and obtained monetary benefits of \$348 million. Fiscal Year 2017: No Current Data Available; Fiscal Year 2018: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications are available on the EEOC web site (www.eeoc.gov). To request documents in alternative formats (Braille, large print, etc), contact EEOC at (202) 663-4191. Regulations include: 29 CFR 1620, Equal Pay Act Interpretive Regulations; 29 CFR 1621, Equal Pay Act Procedural Regulations; 29 CFR 1604, Guidelines on Discrimination Because of Sex. Compliance Manual: Section 2: Threshold Issues; Section 3: Employee Benefits; Section 8: Retaliation; Section 10: Compensation Discrimination. EEOC-ESA Memorandum of Understanding Providing for Cross-Training, Referrals and Information Sharing on Compensation Discrimination Cases.

Regional or Local Office:

See Regional Agency Offices. See map of field offices available at <http://www.eeoc.gov/field/index.cfm>. EEOC Offices are listed in Appendix IV of the Catalog.

Headquarters Office:

Equal Employment Opportunity Commission 131 M Street, NE, Washington, District of Columbia 20507 Phone: 202-663-4191/663-4494 (TTY)

Website Address:

<http://www.eeoc.gov>

RELATED PROGRAMS:

17.700 Women's Bureau; 30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964; 30.008 Employment Discrimination Age Discrimination in Employment; 30.011 Employment Discrimination Title I of The Americans with Disabilities Act; 30.013 Employment Discrimination Title II of the Genetic Information Nondiscrimination Act of 2008; 93.001 Civil Rights and Privacy Rule Compliance Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

30.011 EMPLOYMENT DISCRIMINATION TITLE I OF THE AMERICANS WITH DISABILITIES ACT

FEDERAL AGENCY:

Equal Employment Opportunity Commission

AUTHORIZATION:

Americans with Disabilities Act, Title I & V, as amended Public Law 101-336,

Public Law 110-325 42, U.S.C. 12101-12117; 12201-12213; Section 501 of the Rehabilitation Act of 1973, as amended, 29 U.S.C. 791-794a.

OBJECTIVES:

The Americans with Disabilities Act (ADA) prohibits employment discrimination against applicants or employees based on disability. The ADA also prohibits retaliation against an individual for opposing employment practices that discriminate based on disability or for filing a discrimination charge, testifying, or participating in any way in an investigation, proceeding, or litigation under the ADA. The Rehabilitation Act provides federal employees with the same protections.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Federal Employment; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Individuals are protected from discrimination on the basis of disability by employers with 15 or more employees, state and local governments, employment agencies, and labor organizations. Federal employees and applicants are covered under section 501 of the Rehabilitation Act of 1973, which applies the same standards as the ADA. The EEOC Assessment System, available at <https://egov.eeoc.gov/eas/>, enables individuals to determine whether EEOC is the appropriate agency to contact regarding their specific claims of discrimination, harassment or retaliation. Charges of discriminatory employment practices can be filed by or on behalf of an individual or group of individuals claiming to be aggrieved. Mediation may be offered to the parties involved. If mediation is not used or is not successful, further investigation may ensue. If after its investigation, the Commission does not find reasonable cause, the charging party is issued a right to sue letter and may file a lawsuit within 90 days. If the Commission determines that there is reasonable cause to believe that discrimination has occurred, it will attempt to resolve the charge informally through conciliation. If conciliation proves to be unsuccessful and the employer is not a state or local government, the Commission may bring a civil action against respondent(s) named in the charge or issue a right to sue letter to the charging party who may then file a civil action in federal court within 90 days. If conciliation fails on a charge against a state or local government, EEOC refers the case to the Department of Justice for consideration of litigation or issuance of a right to sue letter.

A Federal employment applicant or employee who believes that his or her employment rights have been violated under Section 501 of the Rehabilitation Act must file a complaint with that agency and follow the procedures set forth at 29 C.F.R. part 1614.

Applicant Eligibility:

Any aggrieved individual, or any individual, or any organization, or agency filing on behalf of an aggrieved individual, who has reason to believe that an unlawful employment practice within the meaning of Title I of the ADA has been committed by an employer with 15 or more employees, including state or local governments, an employment agency, labor organization, or joint labor-management committee controlling apprenticeship or other training or retraining, including on-the-job training programs. Any aggrieved individual who believes he or she has been retaliated against for opposing employment practices that discriminate based on disability or who files an ADA charge, testifies, or participates in any way in an investigation, proceeding, or litigation under the ADA. Federal employees are protected from disability discrimination under section 501 of the Rehabilitation Act.

Beneficiary Eligibility:

Applicants, for employment, current employees, and former employees of the named respondent(s) in a charge who have been subjected to unlawful employment practices based on disability by the named respondent(s), and/or who have been subjected to retaliation for filing a charge of discrimination, for opposing disability discrimination or for participating in an ADA or Rehabilitation Act investigation, proceeding, or litigation.

Credentials/Documentation:

A claim of unlawful employment practice(s) may be made in person, by mail or by fax. An allegation must be in writing, signed, and/or notarized when necessary to meet State or local requirements. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from all field offices of

the Commission. Individuals may also consult EEOCs website at <http://www.eeoc.gov/employees/howtofile.cfm> for detailed information about filing a charge. Complaints against the Federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office.

Federal government applicants or employees should consult EEOCs website at http://www.eeoc.gov/federal/fed_employees/complaint_overview.cfm for details about filing an employment discrimination complaint against a federal agency. This program is excluded from coverage under OMB Circular No. A87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. A charge may be filed by any aggrieved individual, any individual on behalf of an aggrieved individual, or by any organization, i.e., labor union, association, legal representative, etc., either as an entity or on behalf of an aggrieved individual. Charges may be filed in person, by mail or by fax at the nearest field office of the EEOC.

Complaints against the federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written signed statement that includes an allegation of discrimination, the name(s) of the parties involved, and a request that the EEOC act to protect the applicant or employees rights or otherwise settle a dispute between the applicant or employee and the employer. The charge must also be verified; in some circumstances verification can relate back to the date that the charge was filed.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The ADA requires that charges be filed within 180 days of the date of the alleged violation, within 300 days if the charge is also covered by a state or local anti-discrimination law, or within 30 days after the receipt of notice of termination of state or local proceedings, whichever is earlier. If the evidence does not establish that discrimination occurred, charging parties will be given written notice of their right to sue. Persons can also ask for a notice of right to sue before EEOC finishes its investigation. A charging party may file a lawsuit within 90 days after receiving a notice of a right to sue from EEOC, as stated above. Under the ADA, a charging party also can request a notice of right to sue from EEOC 180 days after the charge was first filed with the Commission, and may then bring suit within 90 days after receiving this notice. When a right to sue letter is issued at the request of the charging party, EEOC usually stops its investigation.

Federal employees must generally contact the agency's EEO Counselor within 45 days from the date the discrimination occurred.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries) FY 16 \$364,500,000; FY 17 est \$364,500,000; and FY 18 est \$363,807,086 - (Salaries and expenses) Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

45-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In fiscal year 2016, EEOC field legal units filed 86 merits lawsuits, including 58 individual suits, 11 non-systemic suits with multiple victims, and 18 systemic suits. Merits lawsuits are direct suits or interventions alleging violations of the substantive provisions of the statutes enforced by EEOC and suits to enforce administrative settlements. These merits filings alleged violations covering a wide variety of bases, including disability (36), sex (25), retaliation (24), race (10), religion (6), national origin (5), age (2), and genetic information (2). The issues raised most frequently in these suits were discharge (48), hiring (22), reasonable accommodation (17), and harassment (11). At the end of fiscal year 2016, EEOC had 166 cases on its active district court docket, of which 30 (18.1 percent) were non-systemic multiple victim cases and 47 (28.3 percent) involved challenges to systemic discrimination. The agency also filed 28 subpoena enforcement actions. EEOCs legal staff resolved 139 merits lawsuits in the federal district courts for a total monetary recovery of \$52.2 million. EEOC achieved a favorable resolution in 90.6 percent of all district court resolutions. A total of 8,489 individuals received monetary relief as a direct result of EEOC lawsuit resolutions. The Commission also resolved 32 subpoena enforcement actions during the fiscal year.

Fiscal Year 2016: EEOC received 91,503 charges alleging discrimination in employment, resolved 97,443 charges and obtained monetary benefits of \$348 million. Fiscal Year 2017: No Current Data Available; Fiscal Year 2018: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

A large number of publications are available on the EEOC web site (www.eeoc.gov). To request documents in alternative formats (Braille, large print, etc), contact EEOC at (202) 663-4191. Regulations include those implementing the employment provisions of the Americans with Disabilities Act (ADA), 29 CFR 1630. In addition to these regulations, the website also contains a range of guidances and resource documents that explain various parts of the ADA. These include resource documents addressing various disabilities (include blindness, deafness, intellectual disabilities, and psychiatric disabilities). Also available are publications on reasonable accommodation issues, the timing and scope of employer medical questions and examinations, the overlap between the ADA, FMLA and workers compensation, and the application of the ADA to job applicants and contingent workers.

Regional or Local Office:

See Regional Agency Offices. See map of field offices available at <http://www.eeoc.gov/field/index.cfm>. EEOC Offices are also listed in Appendix IV of the Catalog.

Headquarters Office:

Equal Employment Opportunity Commission 131 M Street, NE, Washington, District of Columbia 20507 Phone: 202-663-4191/202-663-4494(TTY)

Website Address:

<http://www.eeoc.gov>

RELATED PROGRAMS:

17.700 Women's Bureau; 30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964; 30.008 Employment Discrimination Age Discrimination in Employment; 30.010 Employment Discrimination Equal Pay Act; 30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008; 93.001 Civil Rights and Privacy Rule Compliance Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

30.013 EMPLOYMENT DISCRIMINATION-TITLE II OF THE GENETIC INFORMATION NONDISCRIMINATION ACT OF 2008

GINA Title II

FEDERAL AGENCY:

Equal Employment Opportunity Commission

AUTHORIZATION:

The Genetic Information Nondiscrimination Act of 2008, Title II, Public Law 110-233, 42 U.S.C 2000ff.

OBJECTIVES:

Title II of GINA prohibits the use of genetic information in making employment decisions, restricts employers and other entities covered by Title II (employment agencies, labor organizations and joint labor-management training and apprenticeship programs) from requesting, requiring or purchasing genetic information, and strictly limits the disclosure of genetic information. Title II of GINA also prohibits retaliation against applicants or employees for filing a charge of discrimination, participating in a discrimination proceeding (such as a discrimination investigation or lawsuit), or otherwise opposing discrimination. Genetic information includes information about an individual's genetic tests and the genetic tests of an individual's family members, as well as information about the manifestation of a disease or disorder in an individual's family members (i.e. family medical history). Genetic information also includes an individual's request for, or receipt of, genetic services, or the participation in clinical research that includes genetic services by the individual or a family member of the individual, and the genetic information of a fetus carried by an individual or by a pregnant woman who is a family member of the individual and the genetic information of any embryo legally held by the individual or family member using an assisted reproductive technology.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Federal Employment; Investigation of Complaints

USES AND USE RESTRICTIONS:

Individuals are protected from discrimination on the basis of genetic information by employers with 15 or more employees, federal agencies, employment agencies, labor organizations, and joint labor-management training and apprenticeship programs. The EEOC has an assessment tool, available at <https://egov.eeoc.gov/eas/>, to enable individuals to determine whether EEOC is the appropriate agency to contact regarding discrimination, harassment, or retaliation at the hands of a private sector employer or state or local government. Charges of discriminatory employment practices by a private sector employer or a state or local government can be filed by or on behalf of an individual or group of individuals claiming to be aggrieved. Mediation may be offered to the parties involved. If mediation is not used or is not successful, an investigation may ensue. If, after its investigation, the Commission determines that there is reasonable cause to believe that discrimination has occurred, it will attempt to resolve the charge informally through conciliation. If conciliation proves to be unsuccessful and the employer is not a state or local government, the Commission may bring a civil action against the respondent(s) named in the charge or issue a right to sue letter to the party who filed the charge, giving him or her the right to file a civil action in federal court. If conciliation fails on a charge against a state or local government, EEOC refers the case to the Department of Justice for consideration of litigation or issuance of a right to sue letter. If based upon its investigation, the EEOC is unable to conclude that the

information obtained establishes violations of the statutes, the charge is dismissed and the charging party is issued a Notice of Right to Sue. This does not certify that the respondent is in compliance with the statutes.

Applicant Eligibility:

Any aggrieved individual, or any individual, labor union, association, legal representative, or organization filing on behalf of an aggrieved individual, who has reason to believe that an unlawful employment practice within the meaning of Title II of GINA has been committed by an employer, federal agency, an employment agency, labor organization, or joint labor-management committee controlling apprenticeship or other training or retraining, or on-the-job training programs. Any aggrieved individual who believes that he or she has been retaliated against for opposing employment practices that discriminate on the basis of genetic information or who files a charge of discrimination, testifies, or participates in any way in an investigation, proceeding, or litigation under Title II of GINA.

Beneficiary Eligibility:

Applicants, current employees, or former employees of the named respondent(s) who have been subjected to employment practices based on genetic information by the named respondent(s), and/or who have been subjected to retaliation for filing a charge of discrimination, opposing discrimination or participating in a Title II of GINA investigation, proceeding, or litigation.

Credentials/Documentation:

A claim of unlawful employment practice(s) may be made in person, by mail, or by fax. An allegation must be in writing, signed, and verified; the charge may also need to be notarized when required by state or local laws. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from field offices of the Commission. Individuals may also consult EEOCs website at <http://www.eeoc.gov/employees/howtofile.cfm> for detailed information about filing a charge. Federal government applicants or employees should consult EEOCs website at http://www.eeoc.gov/federal/fed_employees/complaint_overview.cfm for details about filing an employment discrimination complaint against a federal agency. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. A charge may be filed by any aggrieved individual, any individual on behalf of an aggrieved individual, or by any organization, i.e., labor union, association, legal representative, etc., either as an aggrieved entity or on behalf of an aggrieved individual. Charges may be filed in person, by mail, or by fax at the nearest field office of the EEOC. Complaints against the federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written signed statement that includes an allegation of discrimination, the name(s) of the parties involved, and a request that the EEOC act to protect the applicant or employees rights or otherwise settle a dispute between the applicant or employee and the employer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Title II of GINA requires that charges be filed within 180 days of the date of the alleged violation, within 300 days if the charge is also covered by a state or local anti-discrimination law, or within 30 days of receipt of notice of termination of state or local proceedings, whichever is earlier. If the evidence does not establish that discrimination occurred, charging parties will be given written notice of their right to sue. A charging party may file a lawsuit within

90 days after receiving a notice of a right to sue from the EEOC. Under Title II of GINA, a charging party also can request a notice of right to sue from EEOC 180 days after the charge was first filed with the Commission, and may then bring suit within 90 days after receiving this notice. When a right to sue letter is issued at the request of the charging party, EEOC usually stops its investigation. Federal job applicants or employees must generally contact the agency's EEO Counselor within 45 days from the date the discrimination occurred.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title The Genetic Information Nondiscrimination Act of 2008, Chapter 29, Part 1635, Subpart N/A, Public Law 110 Stat.233; 42 U.S.C. 2000ff. Title II of the Genetic Information Nondiscrimination Act of 2008 (GINA) prohibits the use of genetic information in making employment decisions, restricts employers and other entities covered by Title II from requesting, requiring or purchasing genetic information and strictly limits the disclosure of genetic information.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Not Applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries) FY 16 \$364,500,000; FY 17 est \$364,500,000; and FY 18 est \$363,807,086

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

45-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In fiscal year 2016, EEOC field legal units filed 86 merits lawsuits, including 58 individual suits, 11 non-systemic suits with multiple victims, and 18 systemic suits. Merits lawsuits are direct suits or interventions alleging violations of the substantive provisions of the statutes enforced by EEOC and suits to enforce administrative settlements. These merits filings alleged violations covering a wide variety of bases, including disability (35), sex (25), retaliation (24), race (10), religion (6), national origin (5), age (2), and genetic information (2). The issues raised most frequently in these suits were discharge (48), hiring (22), reasonable accommodation (17), and harassment (11). At the end of fiscal year 2016, EEOC had 166 cases on its active district court docket, of which 30 (18.1 percent) were non-systemic multiple victim cases and 47 (28.3 percent) involved challenges to systemic discrimination. The agency also filed 27 subpoena enforcement actions. EEOCs legal staff resolved 138 merits lawsuits in the federal district courts for a total monetary recovery of \$53.7 million. EEOC achieved a favorable resolution in 90.6 percent of all district court resolutions. A total of 8,489 individuals received monetary relief as a direct result of EEOC lawsuit resolutions. The Commission also resolved 32 subpoena enforcement actions during the fiscal year.

Fiscal Year 2016: EEOC received 91,503 charges alleging discrimination in employment, resolved 97,443 charges and obtained monetary benefits of \$348 million. Fiscal Year 2017: No Current Data Available; Fiscal Year 2018: No

Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications are available on the EEOC website (www.eeoc.gov). To request documents in alternative formats (Braille, large print, etc), contact EEOC at (202) 663-4191/ (202) 663-4494 (TTY). Regulations include 29 CFR 1635: Regulations under the Genetic Information Nondiscrimination Act of 2008 and 29 CFR 1614: Federal Sector Equal Employment Opportunity. There are no enforcement guidances or policy documents discussing Title II of GINA. Technical assistance documents include: Background Information for EEOC Final Rule on Title II of the Genetic Information Nondiscrimination Act of 2008 and Questions and Answers for Small Businesses: Title II of the Genetic Information Nondiscrimination Act of 2008, both available at <http://www.eeoc.gov/laws/types/genetic.cfm>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Office of Communication and Legislative Affairs 131 M Street, N.E., Washington, District of Columbia 20507 Phone: 202-663-4191/202-663-4494TTY

Website Address:

<http://www.eeoc.gov>

RELATED PROGRAMS:

17.700 Women's Bureau; 30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964; 30.005 Employment Discrimination Private Bar Program; 30.008 Employment Discrimination Age Discrimination in Employment; 30.010 Employment Discrimination Equal Pay Act; 30.011 Employment Discrimination Title I of The Americans with Disabilities Act; 93.001 Civil Rights and Privacy Rule Compliance Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

FEDERAL MEDIATION AND CONCILIATION SERVICE

34.002 LABOR MANAGEMENT COOPERATION

FMCS Labor-Management Cooperation Grant Program

FEDERAL AGENCY:

Federal Mediation and Conciliation Service

AUTHORIZATION:

Labor-Management Cooperation Act of 1978, Title VII, Section 6, Public Law 95-524, 29 U.S.C 175a.

OBJECTIVES:

The FMCS Grants Office provides federal grants to support the establishment and operation of plant-level, area-wide, industry or sectoral joint labor-management committees confronting specific, definable problems for which they have developed clear, innovative, and measurable long-term solutions.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Discretionary Grants are used only to create new or expand existing joint labor-management committees where recognized collective bargaining agreements exist at the company/plant, area, or industry-wide levels (as applicable) and where both labor and management agree to the establishment or expansion. 100% of FY2017 funding will be used for discretionary grants.

Applicant Eligibility:

Not Applicable.

Beneficiary Eligibility:

Not Applicable.

Credentials/Documentation:

Evidence of the existence of a current collective bargaining agreement and of joint commitment to the project by both labor and management. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Federal Mediation and Conciliation Service Labor-Management Cooperation Grant Program will have funding for fiscal year 2017. Beginning on March 1, 2017 and concluding on May 31, 2017, FMCS will accept applications for potential funding. The complete application package is available thru www.GRANTS.gov. The opportunity number is FMCS-2017 or 34.002.

Award Procedure:

All FY2017 grant applicants will be notified of results and all grant awards will be made on or before September 30, 2017.

Deadlines:

Mar 01, 2017 to May 31, 2017 No applications/proposals will be accepted after May 31, 2017.

Range of Approval/Disapproval Time:

All notifications will be made by September 30, 2017.

Appeals:

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Renewals:

FMCS reserves the right under special condition to award supplemental (continuation) grants subject to funds availability.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 10%. In Fiscal Year 2017, dollars only, no in-kind or project income contributions allowed.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally between 12 and 18 months. A one-time no cost grant extension is approved on a case by case basis for a maximum of six (6) months. See the following for information on how assistance is awarded/released: As requested, funds are issued via Electronic Funds Transfer (EFT).

Reports:

During the life of the grant: quarterly narratives, milestones, LMC meeting minutes, and financial reports. Quarterly financial reporting is required. After the grant: within 90 days of grant expiration an evaluation summary of accomplishments and/or obstacles during the grant project period will be submitted. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with 2 CFR 200.

Account Identification:

93-0000-0-1-000.

Obligations:

(Project Grants (Discretionary)) FY 16 \$514,486; FY 17 est \$400,000; and FY 18 est \$400,000 - FMCS will accept applications beginning on March 1, 2017 and concluding on May 31, 2017. \$400,000 in discretionary funding is available.

Range and Average of Financial Assistance:

The grants solicitation for fiscal year 2017 will not specify range of awards based on type of committee. Grants will be awarded in amounts of up to \$400,000. The amount of the grant request should be based on the reasonable needs of the committee.

TAFS Codes:

93-0000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: \$514,486. Fiscal Year 2017: \$400,000. Fiscal Year 2018: \$400,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

FMCS Current Fiscal Year Program Guidelines/Application Solicitation Announcement and Financial and Administrative Grants Manual which incorporates applicable Federal grant regulations. Grantees will also comply with all applicable requirements of all other Federal laws, Executive Orders, regulations and policies governing this program.

Regional or Local Office:

None.

Headquarters Office:

Linda Gray-Broughton, 250 E Street, SW, Washington, District of Columbia 20427 Email: lgbroughton@fmcs.gov Phone: (202) 606-8181 Fax: (202) 606-3434

Website Address:

<http://www.fmcs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Three labor-management grants totaled \$514,486:

Finishing Trades Institute of the Mid-Atlantic Region (FTI MAR), Philadelphia, PA (\$250,000)

The highly regarded FTI MAR labor-management partnership will receive funding for a new initiative designed to attract, hire, and retain the next generation of workers in the construction industry, where there is a significant shortage of highly skilled workers. Uniquely, this program will develop both hard and soft skills among high school graduates and provide important opportunities for continuing education in post-secondary degree programs at institutions of higher learning. Partnering with two colleges on programming and curriculum development, FTI MAR will guide newly hired workers in the construction industry finishing trades from high school to a bachelors and masters degree, providing program participants with advanced opportunities in construction management, architecture, and organizational design.

SEIU Healthcare NW Health Benefits Trust, Seattle, WA (\$150,827)

Due to well-documented demographic changes in the U.S., there is a dramatically increasing need for skilled Home Care Workers (HCWs) to care for the elderly and disabled in their homes. Providing care in the home not only saves on hospital and nursing home costs, but also improves the quality of life of this growing population. One issue that has not been addressed in the context of this widely expanding sector of the economy, however, is the increased risk of on-the-job injuries to individual HCWs. Unlike workers in other industries, such as manufacturing, construction, or facility-based health care delivery, Home Care Workers lack access to standard safety equipment. To the extent such equipment is available, it currently depends entirely on the elderly or disabled client to drive the requisition process and HCWs are therefore at increased risk for on-the-job injury. FMCS seed money will permit the creation of a Labor-Management Committee to evaluate the potential of worker-carried mobile assistive devices in a safety toolkit that can easily be carried into clients homes by the HCW. The project will produce much-needed evidence about the injury reduction potential of the portable toolkit and serve as foundation for developing best practices in HCW safety. Results will be shared with key stakeholders in Washington States home care system as well as with a national audience to help build awareness, develop best practices, and prevent on-the-job injuries in home care.

Rutgers, the State University of New Jersey, Piscataway, NJ (\$113,659)
The New Jersey K-12 Public School Labor-Management Committee (the Committee or LMC) will receive a grant to advance student performance, teacher retention, and overall educational quality. Evidence has shown that a collaborative, partnership approach is a cornerstone of excellence in teaching and learning. FMCS seed money will allow the Committee to broaden and deepen its reach by regularly convening an innovative Inter-District Learning Network to facilitate the exchange of ideas, experiences, and best practices for improving educational outcomes. In addition, the establishment of a website and annual state-wide information and learning exchange will further support this network of districts, administrators, and educators. The Committee, aided by researchers from Rutgers University, will evaluate and deliver a report on the impact of increased labor-management collaboration on student performance, teacher retention, and overall educational quality at the district and local school level. Fiscal Year 2017: \$400,000. Fiscal Year 2018: \$400,000.

CRITERIA FOR SELECTING PROPOSALS:

Applicant need, measurable goals, practicality of approach, commitment of appropriate parties, cost benefit, effectiveness and overall feasibility.

GENERAL SERVICES ADMINISTRATION**39.002 DISPOSAL OF FEDERAL SURPLUS REAL PROPERTY****FEDERAL AGENCY:**

General Services Administration

AUTHORIZATION:

Executive Order Public Buildings, Property and Works, Public Law 102-517.

OBJECTIVES:

To assist State and local governments, and certain eligible (501(c)(3) tax-exempt status) non-profit organizations with acquiring surplus Federal real property for various public uses that benefit communities.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Based on a determination by GSA of a property's highest and best use, surplus Federal real and related personal property may be conveyed to State and local governments and, in some cases, certain eligible non-profit organizations for various public purposes or uses as allowed by law. These conveyances are called Public Benefit Conveyances (PBCs). Under the law, PBCs are completed without monetary consideration or at discounts of up to 100 percent of the fair market value; and, depending on the PBC, the use of the property is restricted for a period of up to 30 years or in perpetuity. Property may be conveyed at a discount of up to 100 percent for Homeless assistance use; if the property is conveyed by deed, it must be used for homeless assistance purposes for a 30 years; if it is conveyed by lease or permit the property must be used for a period according to the terms of the lease or permit, which is generally not less than one year. Surplus Federal real property is also conveyed at discounts of up to 100 percent for public park or recreation use (restriction: perpetuity); public health or educational purposes (restriction: 30 years for both); historic monument (restriction: perpetuity); correctional (restriction: perpetuity); law enforcement (restriction: perpetuity); emergency management response use, including fire and rescue services (restriction: perpetuity); self-help housing (restriction: 30 years for multifamily, 20 years for single family); port facility use (restriction: perpetuity); wildlife conservation (restriction: perpetuity); public airport (restriction: perpetuity); public highway use (restriction: perpetuity); public road widening use (restriction: perpetuity).

Applicant Eligibility:

State and local government agencies are eligible to apply for surplus real property for park and recreation, correctional facility, law enforcement, historic monument, public airport, public health, educational, homeless assistance, self help housing, emergency management, public road widening and port facilities. Eligibility for property for wildlife conservation use, other than for migratory birds and highway use, is limited to the States. Tax-supported and non-profit medical and educational institutions, housing assistance and homeless assistance providers which have been held exempt from taxation under

501(c)(3) of the Internal Revenue Code are also eligible to apply for property for health, educational, self help housing and homeless assistance use, respectively.

Beneficiary Eligibility:

State and local governments and for certain programs, nonprofit organizations (501(c)(3) may also apply.

Credentials/Documentation:

The applicant must submit an application describing the proposed program of use for the property and evidence of its ability to finance the program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications for public health and homeless assistance use are submitted to the Department of Health and Human Services (HHS) which requests assignment of the property from GSA; applications for educational use are submitted to the Department of Education which requests assignment of the property from GSA; applications for both park and recreation and historic monument uses are submitted to the National Park Service, Department of the Interior. The National Park Service requests assignment of the property from GSA for park and recreation use; applications for correctional and law enforcement uses are submitted to the Office of Justice Programs, Bureau of Justice Assistance, Department of Justice; applications for emergency management response uses are submitted to the Federal Emergency Management Agency, Department of Homeland Security; applications for self-help housing are submitted to the Department of Housing and Urban Development, which requests assignment of the property from GSA; applications for port facilities are submitted to the Maritime Administration, Department of Transportation; applications for highway use are submitted to the Federal Highway Administration, Department of Transportation; for public airport use and wildlife conservation use, GSA supplies the applications, which are then submitted to the Federal Aviation Administration, Department of Transportation and the Fish and Wildlife Service, Department of the Interior, respectively.

Award Procedure:

Typically, the sponsoring agency responsible for reviewing and approving the application makes the award; however, for wildlife conservation and public airport uses, GSA makes the award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 1 to 2 months.

Appeals:

From 1 to 15 days.

Renewals:

From 60 to 90 days.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

None.

Account Identification:

47-0110-0-1-804.

Obligations:

(Salaries) FY 16 \$4,000,000; FY 17 est \$4,200,000; and FY 18 est \$4,300,000 - These are rough estimates of the salary costs for labor devoted to Public Benefit Conveyances. There is no separate appropriation or fund for the PBC program.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

47-0110.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Public benefit conveyance of 36 properties having an estimated value of \$2.8 million. Fiscal Year 2017: As of August 31, 2017, public benefit conveyance of 30 properties having an estimated value of \$30.4 million. Fiscal Year 2018: Current data unavailable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Director, Office of Property Disposal, General Services Administration. Applicant's initial contact should be at the regional level. See the Office of Property Disposal for each Region listed in Appendix IV of the Catalog.

Headquarters Office:

David Stinson, 1800 F Street NW, Washington, District of Columbia 20405
Email: david.stinson@gsa.gov Phone: (202) 208-0324.

Website Address:

<http://Rc.gsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

39.003 DONATION OF FEDERAL SURPLUS PERSONAL PROPERTY
(Donation Program)

FEDERAL AGENCY:

General Services Administration

AUTHORIZATION:

40 U.S.C. 549; 42 U.S.C. 3020d.

OBJECTIVES:

To donate Federal personal property no longer required for Federal use to eligible nonfederal entities in order to maximize the use of Federal property.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Surplus items are used by State and local public agencies for carrying out or promoting one or more public purposes, such as conservation, parks and recreation, education, public health, public safety, economic development and programs for older individuals; by certain nonprofit, tax-exempt activities for public health or educational purposes, including research for such purposes, and for use in programs for older individuals; and by public airports for airport

development, operation or maintenance; or by eligible veterans organizations for purposes of providing services to veterans. Federal restrictions require that, at a minimum, all surplus property be placed into use by the donee within 1 year of acquisition and be used at least for 1 year thereafter. Other restrictions, terms and conditions are imposed by GSA and the agencies determining eligibility (shown under Applicant Eligibility, section below) for items with original acquisition value above \$5,000 and for certain commodities, such as vehicles and aircraft. There are restrictions on use during the period of conditional title. Recipients only acquire full, unrestricted title after an established period of time, 12 months, 18 months, 60 months, or in perpetuity, depending on the individual property. There are restrictions on use during the period of conditional title. Recipients only acquire full, unrestricted title after an established period of time, 12 months, 18 months, 60 months, or in perpetuity, depending on the individual property.

Applicant Eligibility:

(a) Applicants other than public airports need to have eligibility determined by their local State Agency for Surplus Property (SASP), established in each state, territory, and the District of Columbia. Public airports need to contact their local Federal Aviation Administration regional office.

(b) State participation via the SASP is based upon the acceptance by the General Services Administration (GSA) of a State plan of operation as being in conformance with 40 U.S.C. 549. This State plan must establish a State agency which is responsible for the distribution of Federal surplus personal property to eligible recipients within the State on a fair and equitable basis. Eligible donee categories for the distribution of property through the State Agencies for Surplus Property are defined as: (1) Public agencies which include any (i) State or department, agency, or instrumentality thereof; (ii) political subdivision of the State, including any unit of local government or economic development district, or any department, agency or instrumentality thereof; (iii) instrumentality created by compact or other agreement between State or political subdivisions; (iv) multijurisdictional sub-State district established by or pursuant to State law; and (v) Indian tribe, band, group, pueblo, or community located on a State reservation. Eligibility for public agencies is determined by the State Agency for Surplus Property. (2) Nonprofit, tax-exempt activities such as schools, colleges, universities, libraries, historic light stations, schools for the mentally challenged or physically challenged, educational radio or TV stations, child care centers, museums, medical institutions, hospitals, clinics, health centers, drug abuse treatment centers, providers of assistance to the homeless, providers of assistance to the impoverished and programs for older individuals. (3) Service educational activities as determined by the Deputy Under Secretary of Defense (L/SCD). (4) Public airports. Eligibility is determined by the Federal Aviation Administration. (5) Veterans organizations recognized by the Secretary of Veterans Affairs under section 5902 of title 38.

Beneficiary Eligibility:

Eligibility is determined by the SASP.

Credentials/Documentation:

Contact appropriate State or Federal agency shown under Applicant Eligibility section of this program. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

For information on how to participate in the Federal Surplus Personal Property Donation Program, contact the State Agency for Surplus Property (SASP) in your state or territory. Contact information for each SASP can be found at: www.gsa.gov/sasp. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Contact appropriate agency shown under Preapplication Coordination section of this program.

Award Procedure:

Upon determination of eligibility, applicant maintains contact with the appropriate agency shown under Preapplication Coordination section of this program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Applicants participating through State Agencies for Surplus Property may appeal a determination of ineligibility through the State Agency for Surplus Property for review by GSA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 200, subpart F, a nonfederal entity that expends \$750,000 or more during the nonfederal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of that part. A nonfederal entity that expends less than \$750,000 during the nonfederal entity's fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503.

Records:

Prescribed by agencies shown under Applicant Eligibility section of this program.

Account Identification:

47-0110-0-1-804.

Obligations:

(Salaries) FY 16 \$7,217,701; FY 17 est \$6,967,143; and FY 18 Estimate Not Available - Utilization & Donation Program Obligations: Personnel Compensation/benefits. These figures are for the Utilization & Donation (U&D) Program. The Donation Program is not funded separately from U&D.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

47-0110.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 31,059 line items of property with an original acquisition cost of \$462,025,134 million were donated. Fiscal Year 2017: through July: 27,558 line items of property with an original acquisition cost of \$438,984,919 million were donated. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

41 CFR 102-37, "Donation of Surplus Personal Property," can be found at http://www.gsa.gov/portal/ext/public/site/FMR/file/FMRTOC102_37.html category/21858/#wp436256. Pamphlet, "The Federal Surplus Personal Property Donation Program," is available from the General Services Administration at http://www.gsa.gov/portal/media/d/144703/fileName/16-00098_PersPropDonat_Bro_final508.action.

Regional or Local Office:

See Regional Agency Offices. Initial contacts (except Service Educational

Activities) should be at regional or local level. See Appendix IV of the Catalog for addresses of GSA Federal Acquisition Service Regional Property Division serving the geographical area of interest. Individual units of Service Educational Activities national organizations such as Boy Scout or Girl Scout troops, Boys and Girls Clubs, etc., should contact their regional or national headquarters; Service Educational Activity Schools should contact their sponsoring military service.

Headquarters Office:

Sunny Kwa 1800 F Street, N.W., Washington, District of Columbia 20405
Email: sunny.kwa@gsa.gov Phone: 202-501-1700

Website Address:

<http://www.gsa.gov/property>

RELATED PROGRAMS:

12.700 Donations/Loans of Obsolete DOD Property; 39.002 Disposal of Federal Surplus Real Property; 39.007 Sale of Federal Surplus Personal Property

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

39.007 SALE OF FEDERAL SURPLUS PERSONAL PROPERTY

(Sales Program)

FEDERAL AGENCY:

General Services Administration

AUTHORIZATION:

Public Law 81-152, 63 Stat. 377, 40 U.S.C. 541 et. seq.

OBJECTIVES:

To sell personal property no longer needed by the Government in an expeditious, economical and efficient manner and to obtain the maximum net return from sales. The General Services Administrations GSA Auctions is an OMB authorized Sales Center and conducts the sale of personal property for most of the civil agencies; the Department of Defense handles the sale of its own surplus property.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

This program provides individuals, business concerns, and other organizations with the opportunity to enter competitive bids for purchase of a wide variety of personal property whenever it is determined surplus to the needs of the Federal Government and available for sale. Included are vehicles, aircraft, hardware, electronic and medical equipment, furniture, office supplies and equipment, exotic gifts and collectables, and many other items. This program has been expanded offering assets available to the general public via online capabilities. GSA Auctions (www.gsauctions.gov) provides the general public with an Internet website to bid electronically on a wide variety of federal personal property. The website allows GSA to offer assets located across the country to any interested buyer, regardless of location. GSA Auctions provides item descriptions and pictures of items available for sale. Successful bidders are notified by email and can also submit payments electronically. Some restrictions may apply based on property type and/or locations. Other laws may restrict those eligible to participate or place conditions on property. Other laws may restrict those eligible to participate or place conditions on property.

Applicant Eligibility:

Competitive bid sales are open to the general public, however bidders must be at least 18 years of age. Individuals cannot successfully register to bid on items without providing a Taxpayer Identification Number (TIN). A TIN is defined as an individual's Social Security Number (SSN) or business entity's Employer Identification Number (EIN).

Beneficiary Eligibility:

General public.

Credentials/Documentation:

A credit card is required for all registered users in our online community. Credit card validation assists in the prevention of fraudulent bidding activity and ensures that bidders are prepared to accept responsibility for their bidding activity and all submitted bids are valid. This card number is recorded but will not be automatically charged if a bidder is the successful bidder of an item. For security reasons and to prevent fraudulent bidding activities, all registered bidders are subject to billing address verification. No P.O. Box address will be accepted unless accompanied by a street address. By entering the credit card information, you are attesting you are an authorized user of the card. It is required that the bidder creates and secures their username and password. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Interested individuals may participate in GSA sales of Federal personal property by internet auctions or can find information on sales not conducted on the internet by visiting the GSA Auctions website at www.gsauctions.gov. Information is also available at the Federal Asset Sales website at www.govsales.gov.

Award Procedure:

Property is awarded to that responsible bidder whose bid conforms to the invitation and is most advantageous to the Government, though price and other factors may be considered. For internet sales, the successful bidders will be notified by email and must submit payment within 2 business days from the date & time the award email notification was sent. Award notices for other sale methods may be furnished by U.S. mail, email or telephone. It is the bidder's responsibility to follow-up on the status of his/her bid and to ensure that his/her email address and all registration data are kept accurate and up-to-date.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

It is the policy of GSA Auctions to monitor bids and other activity to ensure the proper use of our site. The Government reserves the right to reject any and all bids. Bids can be rejected for any reason, especially those containing inaccurate, incomplete or unverifiable information, or information which is deemed to have been given in bad faith. Indebted bidders will be ineligible for contract awards. Bidders will not be able to bid on items specified on GSA Auctions until all debts have been cleared, and will not be awarded items when participating in other methods of sale, such as sealed bid or fixed price sales.

Appeals:

Disputes, claims and/or refunds request are subject to the Contract Disputes Act of 1978 (41 U.S.C. 601-613). A claim or request for refund must be substantiated in writing to the Contracting Officer for issues regarding the claim and request for cure within 15 days from the date of the award.

Renewals:

If at any time, a participant's information changes, it is their responsibility to update the appropriate information with GSA and in GSA Auctions.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

47-4530-0-4-804.

Obligations:

(Salaries) FY 16 \$8,984,591; FY 17 est \$9,154,565; and FY 18 Estimate Not Available - Sales program operates under a revolving fund, and obligations are not applicable. Sales operating expenses would be the equivalent personnel compensation/benefits.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

47-4530-2222.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: \$24 million. Fiscal Year 2017: Fiscal Year 2017 thru July \$18 million. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

FMR 102-38, Sale of Personal Property. FMR 102-39, Replacement of Personal Property Pursuant to the Exchange/Sale Authority. Pamphlet available: "For Public Sale! - Used Federal Government Personal Property."

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Federal Acquisition Service, General Services Administration, (select address from Appendix IV of the Catalog for the GSA Federal Acquisition Services, Office of Personal Property Management Sales Program Division which serves the geographical area of interest); or DLA Disposition Services (for Department of Defense surplus property), National Sales Office, 74 Washington Ave. North, Battle Creek, MI 49037-3092. Internet: <http://www.gsa.gov>.

Headquarters Office:

Sunny Kwa, 1800 F Street, N.W., Washington, District of Columbia 20405
Email: sunny.kwa@gsa.gov Phone: (202) 501-1700

Website Address:

<http://www.govsales.gov>

RELATED PROGRAMS:

39.003 Donation of Federal Surplus Personal Property

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION**43.001 SCIENCE**

Science

FEDERAL AGENCY:

National Aeronautics and Space Administration

AUTHORIZATION:

Aeronautics and Space Act of 1958.

OBJECTIVES:

Basic Research, Educational Outreach, or Training Opportunities in the area of Science.

TYPES OF ASSISTANCE:

Cooperative Agreements; Cooperative Agreements (Discretionary Grants); Direct Payments for Specified Use; Direct Payments for Specified Use (Cooperative Agreements); Project Grants; Project Grants (Capacity Building and Complaint Processing, Training); Project Grants (Cooperative Agreements); Project Grants (Discretionary); Project Grants (Fellowships); Project Grants (for collaborative design of curriculum, production of; Project Grants (for specified projects); Project Grants (including individual awards); Project Grants (including travel grants); Training; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Basic Research, Educational Outreach, or Training Opportunities in the area of Science. Basic Research, Educational Outreach, or Training Opportunities in the area of Science.

Science funds (Treasury Account Symbol = 80 0120) will be used to fund NASA Federal Financial Assistance awards with institutions of higher education and/or other non-profit entities. 85 Percent.

Applicant Eligibility:

Basic Research, Educational Outreach, or Training Opportunities in the area of Science.

Science funds (Treasury Account Symbol = 80 0120) will be used to fund NASA Federal Financial Assistance awards. Review funding opportunity for specific eligibility requirements.

Beneficiary Eligibility:

Basic Research, Educational Outreach, or Training Opportunities in the area of Science.

Science funds (Treasury Account Symbol = 80 0120) will be used to fund NASA Federal Financial Assistance awards with institutions of higher education and/or other non-profit entities.

Credentials/Documentation:

Financial Assistance Use of Universal Identifier (DUNS) & SAM requirements.

Review NASA Proposers Guide for specific certifications and assurance requirements., 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All Principle Investigators and Co-Investigators are required to register in NASA Solicitation and Proposal Integration and Review System (NSPIRES) <https://nspires.nasaprs.com/external>. See NASA Proposer's Guide.

Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. General application processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for specific application procedures, including requirements for intent to apply and phase 1/phase 2 applications.

- (1) Broad Agency Announcements (Competitive)
- (2) Unsolicited Proposals (Non-Competitive)
- (3) Single-Recipient (Non-Competitive)

Regulations for cooperative agreements with commercial firms that have a required cost share elements are found at 14 CFR 1274.

Award Procedure:

General award processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for any deviations that may apply to that funding opportunity.

Deadlines:

Oct 01, 2017: Review funding opportunity announcement for specific deadlines and other key dates.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Individual programs may have a cost share or match requirement - see funding opportunity announcement to determine if that program requires cost share or match.

Awards made to commercial firms require cost share - see funding announcement for more information.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, up to three years but no more than five years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Progress Reports (Summary of Annual Progress/Metrics); Quarterly Progress Reports (Summary of Quarterly Progress/Metrics), Summary of Research/Educational Outreach Reports, and FFATA (FSRS) Reports. NASA has a New Technology Reporting requirement. See NASA Grants and Cooperative Agreement for interim and final reporting requirements.

Some funding opportunities may have additional reporting requirements. SF-425. NASA uses the Research Performance Progress Report. SF-425. The requesting office is required to monitor recipient performance for an incremental period in a manner that permits evaluation and review of the effort performed. For example, measurement criteria may include: (1) Meeting specified milestones between beginning and ending dates, (2) Successful completion of significant activities or objectives identified, and/or (3) On-time delivery of reports and other required documentation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

NASA follows the requirements from 2 CFR 200.333.

Account Identification:

80-0120-0-1-252 - Science.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$722,802,672; FY 17 est \$653,000,000; and FY 18 est \$653,000,000 - Funding was used to support discretionary grants and cooperative agreements.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

80-0120.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

General and Special Conditions (Award Terms)
2 CFR 1800
14 CFR 1274
NASA Grant & Cooperative Agreement Handbook

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Jennifer Richards 300 E Street, SW, Mail Stop: LH010, Washington, District of Columbia 20546 Email: jennifer.l.richards@nasa.gov Phone: (202) 358-0047 Fax: (202) 358-3082.

Website Address:

<http://www.nasa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation of intrinsic merit includes consideration of the following factors:

- (i) Overall scientific or technical merit of the proposal and/or unique and innovative methods, approaches, concepts, or advanced technologies demonstrated by the proposal;
- (ii) Proposers capabilities, related experience, facilities, techniques, or unique combination of these which are integral factors for achieving the proposal's objectives;
- (iii) The qualifications, capabilities, and experience of the proposed principal investigator, team leader, or key personnel critical in achieving the proposal objectives; and
- (iv) Evaluation against the state-of-the-art. Review panels are instructed not to compare proposals to each other; all comparative evaluations are conducted by NASA program personnel.

43.002 AERONAUTICS

Aeronautics

FEDERAL AGENCY:

National Aeronautics and Space Administration

AUTHORIZATION:

Aeronautics and Space Act of 1958.

OBJECTIVES:

Basic Research, Educational Outreach, or Training Opportunities in the area of Aeronautics.

TYPES OF ASSISTANCE:

Cooperative Agreements; Cooperative Agreements (Discretionary Grants); DISSEMINATION OF TECHNICAL INFORMATION; Project Grants; Project Grants (Capacity Building and Complaint Processing, Training); Project Grants (Contracts); Project Grants (Cooperative Agreements or Contracts); Project Grants (Cooperative Agreements); Project Grants (Discretionary); Project Grants (Fellowships); Project Grants (for administration projects authorized under Section); Project Grants (for collaborative design of curriculum, production of); Project Grants (for specified projects); Project Grants (including individual awards); Project Grants (including travel grants); Project Grants (Rehabilitation of existing indoor and outdoor recreat; Project Grants (Special); Project Grants (to capitalize loan funds); Project Grants (with Formula Distribution)

USES AND USE RESTRICTIONS:

Basic Research, Educational Outreach, or Training Opportunities in the area of Aeronautics. Review funding opportunity announcement for additional information. Basic Research, Educational Outreach, or Training Opportunities in the area of Aeronautics. Review funding opportunity announcement for additional information. 85 Percent.

Applicant Eligibility:

Basic Research, Educational Outreach, or Training Opportunities in the area of Aeronautics. Review funding opportunity announcement for additional information.

Beneficiary Eligibility:

Basic Research, Educational Outreach, or Training Opportunities in the area of Aeronautics. Review funding opportunity announcement for additional information.

Credentials/Documentation:

Financial Assistance Use of Universal Identifier (DUNS) & SAM requirements. Review NASA Proposers Guide for specific certifications and assurance requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

See individual funding opportunity announcements to determine if Notice of Intent and/or Phase 1 proposals are required. All Principle Investigators and Co-Investigators are required to register in NASA Solicitation and Proposal Integration and Review System (NSPIRS) <https://nspires.nasaprs.com/external>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. General application processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for specific application procedures, including requirements for intent to apply and phase 1/phase 2 applications.

Award Procedure:

General award processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for any deviations that may apply to that funding opportunity.

Deadlines:

Oct 01, 2017: Review funding opportunity announcement for specific deadlines and other key dates. See the Funding Opportunity for specific deadline information.

Range of Approval/Disapproval Time:

From 90 to 120 days. See the Funding Opportunity for specific deadline information.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Individual programs may have a cost share or match requirement - see funding opportunity announcement to determine if that program requires cost share or match.

Awards made to commercial firms require cost share - see funding opportunity for more information.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, up to three years but no more than five years. Review funding opportunity announcement for additional information. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Progress Reports (Summary of Annual Progress/Metrics); Quarterly Progress Reports (Summary of Quarterly Progress/Metrics), Summary of Research/Educational Outreach Reports, and FFATA (FSRS) Reports. NASA has a New Technology Reporting requirement. See NASA Grants and Cooperative Agreement for interim and final reporting requirements.

Some funding opportunities may have additional reporting requirements. SF-425. NASA uses the Research Performance Progress Report. SF-425. The requesting office is required to monitor recipient performance for an incremental period in a manner that permits evaluation and review of the effort performed. For example, measurement criteria may include: (1) Meeting specified milestones between beginning and ending dates, (2) Successful completion of significant activities or objectives identified, and/or (3) On-time delivery of reports and other required documentation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

NASA follows the requirements from 2 CFR 200.333.

Account Identification:

80-0126-0-1-402 - Aeronautics.

Obligations:

(Salaries) FY 16 \$34,398,898; FY 17 est \$40,000; and FY 18 est \$40,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

80-0126.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

General and Special Conditions (Award Terms)

2 CFR 1800

14 CFR 1274

NASA Grant & Cooperative Agreement Handbook

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Jennifer Richards 300 E Street, SW, Mail Stop: LH010, Washington, District of Columbia 20546 Email: jennifer.l.richards@nasa.gov Phone: (202) 358-0047 Fax: (202) 358-3082.

Website Address:

<http://www.nasa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation of intrinsic merit includes consideration of the following factors:

- (i) Overall scientific or technical merit of the proposal and/or unique and innovative methods, approaches, concepts, or advanced technologies demonstrated by the proposal;
- (ii) Proposers capabilities, related experience, facilities, techniques, or unique combination of these which are integral factors for achieving the proposal's objectives;
- (iii) The qualifications, capabilities, and experience of the proposed principal investigator, team leader, or key personnel critical in achieving the proposal objectives; and
- (iv) Evaluation against the state-of-the-art. Review panels are instructed not to compare proposals to each other; all comparative evaluations are conducted by NASA program personnel.

43.003 EXPLORATION

FEDERAL AGENCY:

National Aeronautics and Space Administration

AUTHORIZATION:

Aeronautics and Space Act of 1958.

OBJECTIVES:

Basic Research, Educational Outreach, or Training Opportunities in the area of space exploration. Review funding opportunity announcement for additional information.

TYPES OF ASSISTANCE:

Cooperative Agreements; Cooperative Agreements (Discretionary Grants); Direct Payments for Specified Use; Direct Payments for Specified Use (Cooperative Agreements); Dissemination of Technical Information; Project Grants; Project Grants (Cooperative Agreements or Contracts); Project Grants (Cooperative Agreements); Project Grants (Discretionary); Project Grants (Fellowships); Project Grants (for specified projects); Project Grants (including individual awards); Project Grants (including travel grants); Provision of Specialized Services; Training; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Basic Research, Educational Outreach, or Training Opportunities in the area of space exploration. Review funding opportunity announcement for additional information. Basic Research, Educational Outreach, or Training Opportunities in the area of Exploration.

Review funding opportunity announcement for additional information. 85 Percent.

Applicant Eligibility:

Basic Research, Educational Outreach, or Training Opportunities in the area of space exploration.

Review funding opportunity announcement for additional information.

Beneficiary Eligibility:

Review funding opportunity announcement for additional information.

Credentials/Documentation:

Financial Assistance Use of Universal Identifier (DUNS) & SAM requirements.

Review NASA Proposers Guide for specific certifications and assurance requirements. Review funding opportunity announcement for additional information. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

See individual funding opportunity announcements to determine if Notice of Intent and/or Phase 1 proposals are required.

All Principle Investigators and Co-Investigators are required to register in NASA Solicitation and Proposal Integration and Review System (NSPIRS) <https://nspires.nasaprs.com/external>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. General application processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for specific application procedures, including requirements for intent to apply and phase 1/phase 2 applications.

Award Procedure:

General award processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for any deviations that may apply to that funding opportunity.

Deadlines:

Oct 01, 2017: Review funding opportunity announcement for specific deadlines and other key dates. Review funding opportunity announcement for additional information.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Individual programs may have a cost share or match requirement - see funding opportunity announcement to determine if that program requires cost share or match.

Awards made to commercial firms may require cost share - see funding announcement for more information.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, up to three years but no more than five years. Review funding opportunity announcement for additional information. Method of awarding/releasing assistance: lump sum.

Reports:

Annual Progress Reports (Summary of Annual Progress/Metrics); Quarterly Progress Reports (Summary of Quarterly Progress/Metrics), Summary of Research/Educational Outreach Reports, and FFATA (FSRS) Reports. NASA has a New Technology Reporting requirement. See NASA Grants and Cooperative Agreement for interim and final reporting requirements.

Some funding opportunities may have had additional reporting requirements.

Standard Form (SF) 425. NASA uses the Research Performance Progress Report. Standard Form (SF) 425. The requesting office is required to monitor recipient performance for an incremental period in a manner that permits evaluation and review of the effort performed. For example, measurement criteria may include: (1) Meeting specified milestones between beginning and ending dates, (2) Successful completion of significant activities or objectives identified, and/or (3) On-time delivery of reports and other required documentation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

NASA follows the requirements from 2 CFR 200.333.

Account Identification:

80-0124-0-1-252 - Exploration.

Obligations:

(Salaries) FY 16 \$30,976,788; FY 17 est \$28,000,000; and FY 18 est \$28,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

80-00-0000-0000-0124-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

General and Special Terms and Conditions (Award Terms)

Grants and Cooperative Agreement Manual

2 CFR 1800

14 CFR 1274

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Jennifer Richards 300 E Street, SW, Mail Stop: LH010, Washington, District of Columbia 20546 Email: jennifer.l.richards@nasa.gov Phone: (202) 358-0047 Fax: (202) 358-3082.

Website Address:

<http://www.nasa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation of intrinsic merit includes consideration of the following factors:

- (i) Overall scientific or technical merit of the proposal and/or unique and innovative methods, approaches, concepts, or advanced technologies demonstrated by the proposal;
- (ii) Proposers capabilities, related experience, facilities, techniques, or unique combination of these which are integral factors for achieving the proposal's objectives;
- (iii) The qualifications, capabilities, and experience of the proposed principal investigator, team leader, or key personnel critical in achieving the proposal objectives; and
- (iv) Evaluation against the state-of-the-art. Review panels are instructed not to compare proposals to each other; all comparative evaluations are conducted by NASA program personnel.

43.004 AERONAUTICS, RECOVERY ACT

FEDERAL AGENCY:

National Aeronautics and Space Administration

AUTHORIZATION:

American Recovery & Reinvestment Act of 2009., Public Law 111-5.

OBJECTIVES:

The purpose of the American Recovery and Reinvestment Act of 2009 is to provide a stimulus to the U.S. economy, and among other provisions, it provides funds to Federal agencies for use on contracts, grants, cooperative agreements, and other financial assistance arrangements.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Basic Research involving Aeronautics. Recovery Act funded grants and cooperative agreements. Generally, it is anticipated that Recovery Act funds will be used to fund grants or cooperative agreements with institutions of higher education and/or other non-profit institutions. Generally, it is anticipated that Recovery Act funds will be used to fund grants or cooperative agreements with institutions of higher education and/or other non-profit institutions.

Applicant Eligibility:

Generally, it is anticipated that Recovery Act funds will be used to fund grants or cooperative agreements with institutions of higher education and/or other non-profit institutions.

Beneficiary Eligibility:

Generally, it is anticipated that Recovery Act funds will be used to fund grants or cooperative agreements with institutions of higher education and/or other non-profit institutions.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

N/A.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

N/A. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual Progress Reports (Summary of Annual Progress/Metrics), Quarterly Progress Reports (Summary of Quarterly Progress/Metrics), and Federal Reporting.gov. Expenditure reports are not applicable. The requesting office is required to monitor recipient performance for an incremental period in a manner that

permits evaluation and review of the effort performed. For example, measurement criteria for a Recovery Act increment may include: (1) Meeting specified milestones between beginning and ending dates, (2) Successful completion of significant activities or objectives identified, and (3) On-time delivery of reports and other required documentation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Office of Inspector General Audits.

Records:

No Data Available.

Account Identification:

80-0125-0-1-402 - Aeronautics.

Obligations:

(Salaries) FY 16 \$300,750; FY 17 est \$0; and FY 18 est \$0 - TAFS Number 80-0125 2009 2010.

Range and Average of Financial Assistance:

No new programs will be awarded off of this CFDA no.

TAFS Codes:

80-00-2009-2010-0125-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Standard grant and cooperative agreement provisions
Recovery Act-specific terms and conditions

Regional or Local Office:

None.

Headquarters Office:

Jennifer Richards 300 E Street, SW, Mail Stop: LH010, Washington, District of Columbia 20546 Email: jennifer.l.richards@nasa.gov Phone: (202) 358-0047 Fax: (202) 358-3082.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

43.007 SPACE OPERATIONS

Space Operations

FEDERAL AGENCY:

National Aeronautics and Space Administration

AUTHORIZATION:

Aeronautics and Space Act of 1958.

OBJECTIVES:

Basic Research, Educational Outreach, and Training Opportunities in the area of Space Operations.

Review funding opportunity announcement for additional information.

TYPES OF ASSISTANCE:

Cooperative Agreements; Cooperative Agreements (Discretionary Grants); Project Grants; Project Grants (Cooperative Agreements or Contracts); Project Grants (Cooperative Agreements); Project Grants (Discretionary); Project Grants (Fellowships); Project Grants (for collaborative design of curriculum, production of; Project Grants (for specified projects); Project Grants (including

individual awards); Project Grants (including travel grants)

USES AND USE RESTRICTIONS:

Basic Research, Educational Outreach, and Training Opportunities in the area of Space Operations.

Review funding opportunity announcement for additional information. Review funding opportunity announcement for additional information. 85 Percent.

Applicant Eligibility:

Basic Research, Educational Outreach, and Training Opportunities in the area of Space Operations.

Review funding opportunity announcement for additional information.

Beneficiary Eligibility:

See funding opportunity announcement for additional information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

- See individual funding opportunity announcements to determine if Notice of Intent and/or Phase 1 proposals are required.

All Principle Investigators and Co-Investigators are required to register in NASA Solicitation and Proposal Integration and Review System (NSPIRS) <https://nspires.nasaprs.com/external>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. General application processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for specific application procedures, including requirements for intent to apply and phase 1/phase 2 applications.

Award Procedure:

General award processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for any deviations that may apply to that funding opportunity.

Deadlines:

Oct 01, 2017: Review funding opportunity announcement for specific deadlines and other key dates.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Individual programs may have a cost share or match requirement - see funding opportunity announcement to determine if that program requires cost share or match.

Awards made to commercial firms require cost share - see funding announcement for more information.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, up to three years but no more than five years. Review funding opportunity announcement for additional information. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Progress Reports (Summary of Annual Progress/Metrics); Quarterly Progress Reports (Summary of Quarterly Progress/Metrics), Summary of Research/Educational Outreach Reports, and FFATA (FSRS) Reports.

NASA has a New Technology Reporting requirement. See NASA Grants and Cooperative Agreement for interim and final reporting requirements.

Some funding opportunities may have additional reporting requirements. Standard Form (SF) 425. NASA uses the Research Performance Progress Report. Standard Form (SF) 425. The requesting office is required to monitor recipient performance for an incremental period in a manner that permits evaluation and review of the effort performed. For example, measurement criteria may include: (1) Meeting specified milestones between beginning and ending dates, (2) Successful completion of significant activities or objectives identified, and/or (3) On-time delivery of reports and other required documentation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

80-0115-0-1-252 - Space Operations.

Obligations:

(Salaries) FY 16 \$62,340,303; FY 17 est \$30,000,000; and FY 18 est \$30,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

80-0115.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

General and Special Conditions (Award Terms)
NASA Grant & Cooperative Agreement Handbook
2 CFR 1800
14 CFR 1274

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Jennifer Richards 300 E Street, SW, Mail Stop: LH010, Washington, District of Columbia 20546 Email: jennifer.l.richards@nasa.gov Phone: (202) 358-0047 Fax: (202) 358-3082.

Website Address:

<http://www.nasa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation of intrinsic merit includes consideration of the following factors:

- (i) Overall scientific or technical merit of the proposal and/or unique and innovative methods, approaches, concepts, or advanced technologies demonstrated by the proposal;
- (ii) Proposers capabilities, related experience, facilities, techniques, or unique combination of these which are integral factors for achieving the proposal's objectives;
- (iii) The qualifications, capabilities, and experience of the proposed principal investigator, team leader, or key personnel critical in achieving the proposal objectives; and
- (iv) Evaluation against the state-of-the-art. Review panels are instructed not to

compare proposals to each other; all comparative evaluations are conducted by NASA program personnel.

43.008 EDUCATION

Education

FEDERAL AGENCY:

National Aeronautics and Space Administration

AUTHORIZATION:

Aeronautics and Space Act of 1958.

OBJECTIVES:

Basic Research, Educational Outreach, or Training Opportunities in the area of Education.

Review funding opportunity announcement for additional information.

TYPES OF ASSISTANCE:

Cooperative Agreements; Cooperative Agreements (Discretionary Grants); Project Grants; Project Grants (Contracts); Project Grants (Cooperative Agreements or Contracts); Project Grants (Cooperative Agreements); Project Grants (Discretionary); Project Grants (Fellowships); Project Grants (for specified projects); Project Grants (including individual awards); Project Grants (including travel grants)

USES AND USE RESTRICTIONS:

Basic Research, Educational Outreach, or Training Opportunities in the area of Education. Review funding opportunity announcement for additional information. Basic Research, Educational Outreach, or Training Opportunities in the area of Education. Review funding opportunity announcement for additional information. 85 Percent.

Applicant Eligibility:

Review funding opportunity announcement for additional information.

Beneficiary Eligibility:

Review funding opportunity announcement for additional information.

Credentials/Documentation:

Financial Assistance Use of Universal Identifier (DUNS) & SAM requirements. Review NASA Proposers Guide for specific certifications and assurance requirements. Review funding opportunity announcement for additional information. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

See individual funding opportunity announcements to determine if Notice of Intent and/or Phase 1 proposals are required.

All Principle Investigators and Co-Investigators are required to register in NASA Solicitation and Proposal Integration and Review System (NSPIRS) <https://nspires.nasaprs.com/external>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. General application processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for specific application procedures, including requirements for intent to apply and phase 1/phase 2 applications.

Award Procedure:

General award processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for any deviations that may apply to that funding opportunity.

Deadlines:

Oct 01, 2017: Review funding opportunity announcement for specific deadlines and other key dates.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Individual programs may have a cost share or match requirement - see funding opportunity announcement to determine if that program requires cost share or match.

Awards made to commercial firms require cost share - see funding announcement for more information.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, up to three years but no more than five years. Review funding opportunity announcement for additional information. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Progress Reports (Summary of Annual Progress/Metrics); Quarterly Progress Reports (Summary of Quarterly Progress/Metrics), Summary of Research/Educational Outreach Reports, and FFATA (FSRS) Reports. NASA has a New Technology Reporting requirement. See NASA Grants and Cooperative Agreement for interim and final reporting requirements.

Some funding opportunities may have additional reporting requirements. Standard Form (SF) 425. NASA uses the Research Performance Progress Report. Standard Form (SF) 425. The requesting office is required to monitor recipient performance for an incremental period in a manner that permits evaluation and review of the effort performed. For example, measurement criteria may include: (1) Meeting specified milestones between beginning and ending dates, (2) Successful completion of significant activities or objectives identified, and/or (3) On-time delivery of reports and other required documentation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

NASA follows the requirements from 2 CFR 200.333.

Account Identification:

80-0128-0-1-252 - Education.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$142,659,559; FY 17 est \$10,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

80-0128.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

General and Special Conditions (Award Terms)

2 CFR 1800

14 CFR 1274

NASA Grant & Cooperative Agreement Handbook

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Jennifer Richards 300 E Street, SW, Mail Stop: LH010, Washington, District of Columbia 20546 Email: jennifer.l.richards@nasa.gov Phone: (202) 358-0047 Fax: (202) 358-3082.

Website Address:

<http://www.nasa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation of intrinsic merit includes consideration of the following factors:

- (i) Overall scientific or technical merit of the proposal and/or unique and innovative methods, approaches, concepts, or advanced technologies demonstrated by the proposal;
- (ii) Proposers capabilities, related experience, facilities, techniques, or unique combination of these which are integral factors for achieving the proposal's objectives;
- (iii) The qualifications, capabilities, and experience of the proposed principal investigator, team leader, or key personnel critical in achieving the proposal objectives; and
- (iv) Evaluation against the state-of-the-art. Review panels are instructed not to compare proposals to each other; all comparative evaluations are conducted by NASA program personnel.

43.009 CROSS AGENCY SUPPORT

Cross Agency Support

FEDERAL AGENCY:

National Aeronautics and Space Administration

AUTHORIZATION:

Aeronautics and Space Act of 1958.

OBJECTIVES:

Basic Research, Educational Outreach, or Training Opportunities in the area of Cross Agency Support (CAS). Review funding opportunity announcement for additional information.

TYPES OF ASSISTANCE:

Cooperative Agreements; Cooperative Agreements (Discretionary Grants); Project Grants; Project Grants (Contracts); Project Grants (Cooperative Agreements or Contracts); Project Grants (Cooperative Agreements); Project Grants (Discretionary); Project Grants (Fellowships); Project Grants (for collaborative design of curriculum, production of; Project Grants (for specified projects); Project Grants (including individual awards); Project Grants (including travel grants)

USES AND USE RESTRICTIONS:

Basic Research, Educational Outreach, or Training Opportunities in the area of Cross Agency Support (CAS). Review funding opportunity announcement for additional information. Basic Research, Educational Outreach, or Training Opportunities in the area of Cross Agency Support (CAS). Review funding opportunity announcement for additional information. 85 Percent.

Applicant Eligibility:

Review funding opportunity announcement for additional information.

Beneficiary Eligibility:

Review funding opportunity announcement for additional information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

See individual funding opportunity announcements to determine if Notice of Intent and/or Phase 1 proposals are required.

All Principle Investigators and Co-Investigators are required to register in NASA Solicitation and Proposal Integration and Review System (NSPIRS) <https://nspires.nasaprs.com/external>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform

Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. General application processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for specific application procedures, including requirements for intent to apply and phase 1/phase 2 applications.

Award Procedure:

General award processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for any deviations that may apply to that funding opportunity.

Deadlines:

Oct 01, 2017: Review funding opportunity announcement for specific deadlines and other key dates. Review funding opportunity announcement for additional information.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Individual programs may have a cost share or match requirement - see funding opportunity announcement to determine if that program requires cost share or match.

Awards made to commercial firms require cost share - see funding announcement for more information.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, up to three years but no more than five years. Review funding opportunity announcement for additional information. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Progress Reports (Summary of Annual Progress/Metrics); Quarterly Progress Reports (Summary of Quarterly Progress/Metrics), Summary of Research/Educational Outreach Reports, and FFATA (FSRS) Reports. NASA has a New Technology Reporting requirement. See NASA Grants and Cooperative Agreement for interim and final reporting requirements.

Some funding opportunities may have additional reporting requirements. Standard Form (SF) 425. NASA uses the Research Performance Progress Report. Standard Form (SF) 425. in a manner that permits evaluation and review of the effort performed. For example, measurement criteria may include: (1) Meeting specified milestones between beginning and ending dates, (2) Successful completion of significant activities or objectives identified, and/or (3) On-time delivery of reports and other required documentation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

NASA follows the requirements from 2 CFR 200.333.

Account Identification:

80-0122-0-1-252 - Cross Agency Support.

Obligations:

(Salaries) FY 16 \$26,211,544; FY 17 est \$25,000,000; and FY 18 est \$25,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

80-0122.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

General and Special Conditions (Award Terms)

2 CFR 200

14 CFR 1274

NASA Grant & Cooperative Agreement Handbook

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Jennifer Richards 300 E Street, SW, Mail Stop: LH010, Washington, District of Columbia 20546 Email: jennifer.l.richards@nasa.gov Phone: (202) 358-0047 Fax: (202) 358-3082.

Website Address:

<http://www.nasa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation of intrinsic merit includes consideration of the following factors:

- (i) Overall scientific or technical merit of the proposal and/or unique and innovative methods, approaches, concepts, or advanced technologies demonstrated by the proposal;
- (ii) Proposers capabilities, related experience, facilities, techniques, or unique combination of these which are integral factors for achieving the proposal's objectives;
- (iii) The qualifications, capabilities, and experience of the proposed principal investigator, team leader, or key personnel critical in achieving the proposal objectives; and
- (iv) Evaluation against the state-of-the-art. Review panels are instructed not to compare proposals to each other; all comparative evaluations are conducted by NASA program personnel.

43.010 CONSTRUCTION & ENVIRONMENTAL COMPLIANCE & REMEDIATION

Construction & Environmental Compliance & Remediation

FEDERAL AGENCY:

National Aeronautics and Space Administration

AUTHORIZATION:

Aeronautics and Space Act of 1958.

OBJECTIVES:

Review funding opportunity announcement for additional information. Construction is only allowed with Congressional authorization.

TYPES OF ASSISTANCE:

Cooperative Agreements; Cooperative Agreements (Discretionary Grants); Project Grants; Project Grants (Contracts); Project Grants (Cooperative Agreements or Contracts); Project Grants (Cooperative Agreements); Project Grants (Discretionary); Project Grants (Fellowships); Project Grants (for collaborative design of curriculum, production of; Project Grants (for specified projects); Project Grants (including individual awards); Project Grants (including travel grants)

USES AND USE RESTRICTIONS:

Construction & Environmental Compliance & Remediation.

Review funding opportunity announcement for additional information. Review funding opportunity announcement for additional information. 85 Percent.

Applicant Eligibility:

Construction & Environmental Compliance & Remediation.

Review funding opportunity announcement for additional information.

Beneficiary Eligibility:

Review funding opportunity announcement for additional information.

Credentials/Documentation:

Financial Assistance Use of Universal Identifier (DUNS) & SAM requirements. Review NASA Proposers Guide for specific certifications and assurance requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Due to the individualistic nature of these types of awards the funding opportunity announcement will provide specific instructions on the pre-application requirements. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Due to the individualistic nature of these types of awards the funding opportunity announcement will provide specific instructions on the application process.

Award Procedure:

Due to the individualistic nature of these types of awards the funding opportunity announcement will provide specific instructions on the award process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Due to the individualistic nature of these types of awards the funding opportunity announcement will provide specific instructions on the award process.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days. Due to the individualistic nature of these types of awards NASA staff will provide specific instructions on this process.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Due to the individualistic nature of these types of awards the funding opportunity announcement will provide specific instructions on the match or cost sharing requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Due to the individualistic nature of these types of awards the funding opportunity announcement will provide specific instructions on the length of award. Method of awarding/releasing assistance: by letter of credit.

Reports:

Due to the individualistic nature of these types of awards the funding opportunity announcement will provide specific instructions on the post award reporting requirements. Standard Form (SF) 425. Due to the individualistic nature of these types of awards the funding opportunity announcement will provide specific instructions on the progress reporting requirements. Standard Form (SF) 425. Due to the individualistic nature of these types of awards the funding opportunity announcement will provide specific monitoring requirements.

However, generally, the requesting office is required to monitor recipient performance for an incremental period in a manner that permits evaluation and review of the effort performed. For example, measurement criteria may include:

- (1) Meeting specified milestones between beginning and ending dates,
- (2) Successful completion of significant activities or objectives identified, and/or
- (3) On-time delivery of reports and other required documentation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Due to the individualistic nature of these types of awards NASA staff will provide specific instructions on the award process.

Account Identification:

80-0130-0-1-252 - Construction & Environmental Compliance & Remediation.

Obligations:

(Salaries) FY 16 \$395,332; FY 17 est \$0; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

80-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

General and Special Conditions (Award Terms)

2 CFR 1800

14 CFR 1274

NASA Grant & Cooperative Agreement Handbook

Due to the individualistic nature of these types of awards the funding opportunity announcement will provide specific requirements for any awards issued under this CFDA.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Jennifer Richards 300 E Street, SW, Mail Stop: LH010, Washington, District of Columbia 20546 Email: jennifer.l.richards@nasa.gov Phone: (202) 358-0047 Fax: (202) 358-3082.

Website Address:

<http://www.nasa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Due to the individualistic nature of these types of awards the funding opportunity announcement will provide specific instructions on the selection process.

43.011 OFFICE OF INSPECTOR GENERAL

Office of Inspector General

FEDERAL AGENCY:

National Aeronautics and Space Administration

AUTHORIZATION:

Aeronautics and Space Act of 1958.

OBJECTIVES:

Basic Research, Educational Outreach, or Training Opportunities in the area of Office of Inspector General.

Review funding opportunity announcement for additional information.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Advisory Services on Compliance; Cooperative Agreements; Cooperative Agreements (Discretionary Grants); Direct Payments for Specified Use; Direct Payments for Specified Use (Cooperative Agreements); Dissemination of Technical Information; Information; Investigation of Complaints; Investigation of Complaints

(Compliance Reviews); Project Grants; Project Grants (Capacity Building and Complaint Processing, Training); Project Grants (Contracts); Project Grants (Cooperative Agreements or Contracts); Project Grants (Cooperative Agreements); Project Grants (Discretionary); Project Grants (Fellowships); Project Grants (for collaborative design of curriculum, production of; Project Grants (for specified projects); Project Grants (including individual awards); Project Grants (including travel grants); Project Grants (Special); Provision of Specialized Services; Resolution of Disputes; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Basic Research, Educational Outreach, or Training Opportunities in the area of Office of Inspector General.

Review funding opportunity announcement for additional information. Basic Research, Educational Outreach, or Training Opportunities in the area of Office of Inspector General.

Review funding opportunity announcement for additional information.

Applicant Eligibility:

Review funding opportunity announcement for additional information.

Beneficiary Eligibility:

Review funding opportunity announcement for additional information.

Credentials/Documentation:

Financial Assistance Use of Universal Identifier (DUNS) & SAM requirements. Review NASA Proposers Guide for specific certifications and assurance requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

See individual funding opportunity announcements to determine if Notice of Intent and/or Phase 1 proposals are required.

All Principle Investigators and Co-Investigators are required to register in NASA Solicitation and Proposal Integration and Review System (NSPIRS) <https://nspires.nasaprs.com/external>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. General application processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for specific application procedures, including requirements for intent to apply and phase 1/phase 2 applications.

Award Procedure:

General award processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for any deviations that may apply to that funding opportunity.

Deadlines:

Oct 01, 2016: Review funding opportunity announcement for specific deadlines and other key dates.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Individual programs may have a cost share or match requirement - see funding opportunity announcement to determine if that program requires cost share or match.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, up to three years but no more than five years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Progress Reports (Summary of Annual Progress/Metrics); Quarterly Progress Reports (Summary of Quarterly Progress/Metrics), Summary of Research/Educational Outreach Reports, and FFATA (FSRS) Reports. NASA has a New Technology Reporting requirement. See NASA Grants and Cooperative Agreement for interim and final reporting requirements.

Some funding opportunities may have additional reporting requirements. Standard Form (SF) 425. NASA uses the Research Performance Progress Report. Standard Form (SF) 425. The requesting office is required to monitor recipient performance for an incremental period in a manner that permits evaluation and review of the effort performed. For example, measurement criteria may include: (1) Meeting specified milestones between beginning and ending dates, (2) Successful completion of significant activities or objectives identified, and/or (3) On-time delivery of reports and other required documentation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

NASA follows the requirements from 2 CFR 200.333.

Account Identification:

80-0109-0-1-252 - Office of Inspector General.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

80-0109.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

General and Special Conditions (Award Terms)

2 CFR 1800

14 CFR 1274

NASA Grant & Cooperative Agreement Handbook

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Jennifer Richards 300 E Street, SW, Mail Stop: LH010, Washington, District of Columbia 20546 Email: jennifer.l.richards@nasa.gov Phone: (202) 358-0047 Fax: (202) 358-3082.

Website Address:

<http://www.nasa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation of intrinsic merit includes consideration of the following factors:

- (i) Overall scientific or technical merit of the proposal and/or unique and innovative methods, approaches, concepts, or advanced technologies demonstrated by the proposal;
- (ii) Proposers capabilities, related experience, facilities, techniques, or unique combination of these which are integral factors for achieving the proposal's objectives;
- (iii) The qualifications, capabilities, and experience of the proposed principal

investigator, team leader, or key personnel critical in achieving the proposal objectives; and

(iv) Evaluation against the state-of-the-art. Review panels are instructed not to compare proposals to each other; all comparative evaluations are conducted by NASA program personnel.

43.012 SPACE TECHNOLOGY**FEDERAL AGENCY:**

National Aeronautics and Space Administration

AUTHORIZATION:

Aeronautics and Space Act of 1958.

OBJECTIVES:

The Space Technology Mission Directorate (STMD) is responsible for developing the crosscutting, pioneering, new technologies and capabilities needed by the agency to achieve its current and future missions.

STMD rapidly develops, demonstrates, and infuses revolutionary, high-payoff technologies through transparent, collaborative partnerships, expanding the boundaries of the aerospace enterprise. Space Technology funds will be used to fund NASA Federal Financial Assistance awards.

TYPES OF ASSISTANCE:

Cooperative Agreements; Cooperative Agreements (Discretionary Grants); Direct Payments for Specified Use (Cooperative Agreements); Project Grants; Project Grants (Capacity Building and Complaint Processing, Training); Project Grants (Cooperative Agreements); Project Grants (Discretionary); Project Grants (Fellowships); Project Grants (for collaborative design of curriculum, production of); Project Grants (for specified projects); Project Grants (including individual awards); Project Grants (including travel grants); Training; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Basic research, applied research, technology development, or training opportunities in the area of space technology. Review funding announcements for specific restrictions. All Space Technology funds are available via discretionary appropriations. No research grants are awarded without full and open competition.

Applicant Eligibility:

Generally, applications are solicited from institutions of higher learning and non-profit organizations.

All applicants should review the appropriate funding announcement to determine eligibility for a specific project.

Beneficiary Eligibility:

Review funding opportunity announcement for additional information.

Credentials/Documentation:

Financial Assistance Use of Universal Identifier and registration in System of Award Management (SAM) are required.

Copy of Negotiated Indirect Cost Rate Agreement is required for all entities requesting indirect costs.

Check each funding announcement to determine if other credentials, certifications or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

See individual funding opportunity announcements to determine if Notice of Intent and/or Phase 1 proposals are required.

All Principle Investigators and Co-Investigators are required to register in NASA Solicitation and Proposal Integration and Review System (NSPIRS) <https://nspires.nasaprs.com/external>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. General application processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for specific application procedures, including requirements for intent to apply and phase 1/phase 2 applications.

Award Procedure:

General award processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for any deviations that may apply to that funding opportunity.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements. In general match/cost sharing is not required for research grants. However, applicants should review the funding announcements for match/cost sharing requirements that would apply to that specific Announcement of Opportunity.

Length and Time Phasing of Assistance:

Generally, up to three years but no more than five years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Progress Reports (Summary of Annual Progress/Metrics); Quarterly Progress Reports (Summary of Quarterly Progress/Metrics), Summary of Research/Educational Outreach Reports, and FFATA (FSRS) Reports. NASA has a New Technology Reporting requirement. See NASA Grants and Cooperative Agreement for interim and final reporting requirements.

Some funding opportunities may have additional reporting requirements, a Federal Cash Transactions Report (SF 425), and, when applicable, a Continuation Sheet (SF 425) electronically to DHHS/PMS within 30 working days following the end of each Federal Fiscal quarter. NASA uses the Research Performance Progress Report. A final SF 425 in electronic or paper form to NASA within 90 calendar days after the expiration date of the grant. The requesting office is required to monitor recipient performance for an incremental period in a manner that permits evaluation and review of the effort performed. For example, measurement criteria may include: (1) Meeting specified milestones between beginning and ending dates, (2) Successful completion of significant activities or objectives identified, and/or (3) On-time delivery of reports and other required documentation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records shall be retained in accordance with 2 CFR 200.333.

Account Identification:

80-0130-0-1-252.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$25,281,410; FY 17 est \$300,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

80-130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Jennifer Richards 300 E Street, SW, Mail Stop: LH010, Washington, District of Columbia 20546 Email: jennifer.l.richards@nasa.gov Phone: (202) 358-0047 Fax: (202) 358-3082.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation of intrinsic merit includes consideration of the following factors:

- (i) Overall scientific or technical merit of the proposal and/or unique and innovative methods, approaches, concepts, or advanced technologies demonstrated by the proposal;
- (ii) Proposers capabilities, related experience, facilities, techniques, or unique combination of these which are integral factors for achieving the proposal's objectives;
- (iii) The qualifications, capabilities, and experience of the proposed principal investigator, team leader, or key personnel critical in achieving the proposal objectives; and
- (iv) Evaluation against the state-of-the-art. Review panels are instructed not to compare proposals to each other; all comparative evaluations are conducted by NASA program personnel.

NATIONAL CREDIT UNION ADMINISTRATION**44.002 COMMUNITY DEVELOPMENT REVOLVING LOAN FUND PROGRAM FOR CREDIT UNIONS**

Loan Program for Low-Income Designated Credit Unions
Grant Program for Low-Income Designated Credit Unions
Office of Small Credit Union Initiatives Grant and Loan Program (CDRLF) (CDCU)

FEDERAL AGENCY:

National Credit Union Administration

AUTHORIZATION:

Omnibus Budget Reconciliation Act of 1981, as amended, Sections 623,633, and 681, 42 U.S.C 9812,9822 and 9910, Public Law 115-244, Stat. 494,498,518, 42 U.S.C 9812, 9822,9910.

OBJECTIVES:

Congress created NCUAs CDRLF program to stimulate economic development in low income communities served by credit unions. Credit unions play a significant role in providing needed financial services to low-wealth, underserved consumers.

Through the CDRLF, credit unions have access to reduced-rate loans and technical assistance grants. Low-income credit unions use these funds to develop relevant products and services for low-wealth individuals. This support results in improved access to financial services, an opportunity for increased member savings, and improved employment opportunities in low-income communities.

NCUAs Office of Small Credit Union Initiatives administers and manages the Community Development Revolving Loan Fund. NCUA does not use funds for the administration of the CDRLF. All administrative costs associated with the program are borne by the agency's Operating Fund. NCUAs Office of Small Credit Union Initiatives serves as the administrator of the CDRLF.

The overall objectives for the CDRLF, including its operating principles, are outlined in Part 705 of NCUAs Rules and Regulations.

To summarize, the objective of the fund is to support low-income designated credit unions in their efforts to: 1) Provide basic financial and related services to its members and their communities; 2) Enhance their capacity to better serve their members and the communities in which they operate; and 3) Respond to emergencies.

TYPES OF ASSISTANCE:

DIRECT LOANS; Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

In order to meet the objectives of the Community Development Revolving Loan Program for Credit Unions, an applicant must be certified as low-income credit union approved for participation must provide a variety of financial and related services designed to meet the particular needs of the low-income community served. Federal grants and loans under the program may generally be used for services that include 1) Provide basic financial and related services to its members and their communities; 2) Enhancing their capacity to better serve their members and the communities in which they operate; 3) Responding to emergencies. The aforementioned are subject to initiatives offered for funding each year. The funds must be utilized in compliance with the particular project approved for funding and program guidelines, which provide information on expenses the loans and grants may cover. Details located at:

<https://www.ncua.gov/services/Pages/small-credit-union-initiatives/grants-loans/grants.aspx>. Current Program for Loans (2017)- Credit unions are eligible to apply for a 5-year balloon note, with a 0.6% interest rate. Payments are due semi-annually. There is no penalty for early repayment.

Grants: Based on initiatives. Published on NCUA Website and NOFO published in the Federal Register.

Applicant Eligibility:

All State and federally chartered credit unions with a low-income designation are eligible. Congress established the fund to provide grants and loans to credit unions serving low-income communities. For details regarding the low-income designation, see 12 CFR 701.34.

Beneficiary Eligibility:

A credit union wishing to participate must serve a field of membership which is comprised primarily of low-income individuals.

To participate in the CDRLF Program, a federally chartered credit union must be currently designated as a low-income credit union as set forth in NCUAs Rules and Regulations. A state-chartered credit union must have the equivalent low-income designation from its respective state supervisory authority and concurrence from NCUA. 12 CFR 701.34

A low-income designated credit union is one in which more than half of its members meet the NCUA definition for a low-income member. Low-income members are those who earn 80 percent or less than the median family income for the metropolitan area where they live, or the national metropolitan area, whichever is greater. Low-income designated credit unions have offices and serve members throughout the United States, Puerto Rico, Guam, and the U.S. Virgin Islands, and on military bases around the world. Depending on the charter type, these credit unions serve occupational groups, associations and communities.

Credentials/Documentation:

Evidence of Chartering from the National Credit Union Administration

Evidence of Low Income Designation (12 CFR 701.34)

Applicants must obtain a Data Universal Number System (DUNS) number and be registered in the System for Award Management (SAM) before an Application is considered complete. Additionally, Applications must include a valid and current Employer Identification Number (EIN) issued by the U.S. Internal Revenue Service (IRS). If an Applicant does not fully comply with these requirements, NCUA may deem the Application incomplete and

disqualify the Applicant. Other submission requirements include disclosure agreements and mandatory clauses which are specified in the grant guidelines and web-based application system.

DUNS Number - Applicants can obtain a DUNS number by visiting the Dun & Bradstreet (D&B) website or calling 1-866-705-5711 to register or search for a DUNS number. Registering for a DUNS number is FREE.

Active SAM Registration - Applicants can register by visiting the SAM website. An active SAM account status and CAGE number is required to apply for the OSCUI Grant Program. The SAM registration process is FREE. DUNS Number. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Only the Subpart F "Audit Requirements" under 2 CFR 200, Uniform Administrative Requirements applies. While the loans under this program is limited to a maximum of \$500,000 and the grants to a maximum of \$25,000; these amounts can be considered when calculating the total of all Federal awards received. For this program alone, audit requirement does not apply due to the amounts involved.

Grants - Are reimbursable grants. Allowed projects and expenses are published in the grant guideline. The Application related documents can be found on NCUAs website at

<https://www.ncua.gov/services/Pages/small-credit-union-initiatives/grants-loans/grants.aspx>.

Grants are reimbursable grants -Once approved, awardees incur expenses by completing the approved projects/implementing the approved products/services. The awardee then submit a reimbursement request electronically with the required documentations by the specified deadline.

Award Procedure:

Grant Award Procedure:

- 1) Low-Income Designated Credit Unions Apply Online during the open period
- 2) Agency (NCUA) Review of Applications
- 3) Agency (NCUA) Notifies Credit Union of Approval or Denial/Announces Awards
- 4) Awarded Credit Union Incurs Expense for Approved Project (s)
- 5) Credit Union Requests Reimbursement Online with supporting documents
- 6) Agency (NCUA) Reimbursement Review, Approve and Payment via Electronic Funds Transfer

Loan Award Procedure:

- 1) Low-Income Designated Credit Unions Apply Online
- 2) Agency (NCUA) Review of Applications
- 3) Agency (NCUA) Notifies Credit Union of Approval or Denial
- 4) Credit Union and NCUA Sign Loan Documents (if approval)
- 5) Loan Amount disbursed to Credit Union via Electronic Funds Transfer (if approval)
- 6) Loan recipient credit union make bi annual interest payment via pay.gov and principal payment at the 5th year.
- 7) Annual reporting

There is no repayment penalty. Loan can be paid off at any time during the five years.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 30 to 90 days.

Appeals:

From 90 to 120 days. Any applicant whose qualification is denied may appeal that decision to the NCUA Board. Refer to NCUA Rules and Regulations 12 CFR 705.10.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 12, Chapter VII, Part 705.

Matching Requirements: NCUA may require (in some rare cases) credit unions to match the loan. If necessary, the credit union will be notified as part of the approval process.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant Program

Credit unions approved for funding must utilize the funds and submit a reimbursement request by the commitment expiration date provided at the time of approval (approval letter). Extension may be granted upon written request up to 12 months from the original date of expiration.

Loan Program

Financial assistance made available in this program will be in the form of a loan which is to be deposited in the credit union as either a non-member deposit or as a note payable and must be repaid to the Revolving Loan Program at a rate (Current rate 2016/2017 is at .60%) The term of the loans will not exceed 5 years from the date of award of the loan. Funds will be released directly to the credit union by NCUA, Community Development Revolving Loan Program for Credit Unions. Method of awarding/releasing assistance: lump sum.

Reports:

Grant Program

Credit unions are required to provide a summary of outcome and proof of purchase and payment for the project implemented to receive reimbursement.

Loan Program

Loan recipients must submit an application along with other financial, business or program reports as required by NCUA and an annual report when requested by NCUA summarizing the activities and accomplishments of the project in relation to the approved goals and objectives.

Credit unions are encouraged to notify its membership annually the activities it is undertaking to serve the underserved community. No cash reports are required. Grant Program

Credit unions are required to provide a summary of outcome and proof of purchase and payment for the project implemented to receive reimbursement.

Loan Program

Loan recipients must submit an application along with other financial, business or program reports as required by NCUA and an annual report when requested by NCUA summarizing the activities and accomplishments of the project in relation to the approved goals and objectives. Grant Program

Credit unions are required to provide a summary of outcome and proof of purchase and payment for the project implemented to receive reimbursement.3. Reporting and Reimbursement Requirements:

Successful Applicants must submit a reimbursement request in order to receive the awarded funds. The reimbursement requirements are specific to each initiative. In general, the reimbursement request will require evidence of expenses, project related documentation, a summary of project accomplishments and outcomes, and a certification form signed by a credit union official (e.g. CEO, manager, or Board Chairperson) authorized to request the reimbursement and make the certifications. NCUA, in its sole discretion, may modify these requirements. Successful Applicants are required to submit the reimbursement request within the expiration date specified in the approval letter. Additional document requirements are published via the Grant Guideline for each year.

Loan Program

Loan recipients must submit an application along with other financial, business or program reports as required by NCUA and an annual report when requested by NCUA summarizing the activities and accomplishments of the project in relation to the approved goals and objectives. Grants - As these are reimbursable grants, no performance monitoring required.

Loan recipients must submit an application along with other financial, business or program reports as required by NCUA and an annual report when requested by NCUA summarizing the activities and accomplishments of the project in relation to the approved goals and objectives.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Maximum amount of the CDRLF Loan is \$500,000.

The recipient credit union must submit to NCUA when requested the Supervisory Committee's audit report and the annual State or Federal examiner's report.

Records:

Loan and grant recipients are required to keep all financial, business and program reports necessary for program review and audit to ensure that funds have been expended in accordance with the applicable regulations, guidelines, loan agreement and terms and conditions.

Account Identification:

25-4472-0-3-451 - Community Development Revolving Loans Fund.

Obligations:

Fiscal Year 2016: For the 2016, Grant Round, received 1,430 applications totaling \$9.6 million. Grants awarded total to approximately 2.5 million will help 309 low-income credit unions expand services to underserved communities, train staff, improve cybersecurity and provide students with work experience. This is a revolving fund (X fund). (Project Grants (Special)) FY 16 \$2,499,187; FY 17 est \$2,000,000; and FY 18 Estimate Not Available(Exp: Awaiting 2018 Congressional Budget)

Range and Average of Financial Assistance:

From \$2000.00 to \$25,000 for Grants and From \$10,000 to \$500,000 for Loans.

TAFS Codes:

25-4472.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: For the 2016, Grant Round, received 1,430 applications totaling \$9.6 million. Grants awarded total to approximately 2.5 million will help 309 low-income credit unions expand services to underserved communities, train staff, improve cybersecurity and provide students with work experience.

Funding Information:

309 CREDIT UNIONS RECEIVED \$2,499,187 IN GRANT AWARDS. THE 309 OSCUI PROGRAM AWARD RECIPIENTS ARE LOCATED IN 41 STATES, THE DISTRICT OF COLUMBIA, PUERTO RICO, AND THE VIRGIN ISLANDS. 50 ARE MINORITY DEPOSITORY INSTITUTIONS.

In the most recent round, NCUA awarded grants in four initiative areas: Capacity and growth: NCUA awarded 116 grants, totaling \$1,495,163, to credit unions expanding financial access to underserved communities through digital products, lending programs and other growth strategies. Cybersecurity: NCUA awarded 112 grants, totaling \$752,529, to help credit unions better protect member information and other sensitive data. Student internships: NCUA awarded 33 grants, totaling \$125,795, for credit

unions to provide students with professional work experiences.

Staff training: NCUA awarded 48 grants, totaling \$125,700, for credit unions to train staff in areas like lending, collections and governance. Fiscal Year 2017: \$2 Million Projected for the 2017 Grant Round opening on July 1, 2017. Each credit union may apply for funding under one of three initiatives:

Leadership development (maximum grant \$10,000) Credit unions may use this grant to promote career development, management succession planning, diversity and inclusion training, or structuring essential employment positions.

Digital services and security (maximum grant \$7,500) Credit unions can improve digital member services as well as cybersecurity and fraud prevention under this initiative. This grant can be used to offer services like remote deposit capture, digital payments and wallets, or mobile loan applications or to provide cybersecurity training or digital encryption.

Small, low-income credit union capacity (maximum grant \$7,500) Credit unions with assets of less than \$30 million may apply for funding under this initiative to address operational challenges such as upgrading technology systems for greater efficiency and improved operations. Applicants must fall under the \$30 million asset limit.

In addition to those initiatives, each credit union may apply for a grant of up to \$25,000 to support projects designed to reach people living in underserved communities. Fiscal Year 2018: Amount unavailable.

REGULATIONS, GUIDELINES, AND LITERATURE:

NCUA CDRLF Program, Federal Register, 2 CFR 200, Subpart F, 12 CFR 705 and 12 CFR 701.34

Regional or Local Office:

None. Central Office -
OSCUIAPPS@NCUA.GOV.

Headquarters Office:

Geetha Valiyil 1775 Duke Street, Alexandria, Virginia 22314 Email:
gvaliyil@ncua.gov Phone: 703-518-6645

Website Address:

<http://www.ncua.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Capacity & Growth

The Capacity and Growth initiative helped credit unions establish new financial service relationships with their members. Grant funds were used to improve outreach efforts through the implementation of new deposit and lending products, enhance digital service channels, and explore other strategies for growth.

Cybersecurity

The cybersecurity initiative strengthened credit union technology systems against potential threats and vulnerabilities to confidential member information. Credit unions were able to enhance their security posture by investing in data encryption technology, biometric and multi-factor authentication systems and risk assessments for their IT systems.

Staff and Volunteer Training

As the credit union system evolves, credit unions must be diligent in obtaining the required training for management, staff, and volunteers. This initiative provided funds for low-income credit unions to offset the cost of training relevant to improving credit union operations and staff performance. Credit unions used grant funds to attend credit union management schools, attend credit union course trainings, purchase online training libraries, and participate in webinars. NCUA encouraged credit unions to attend training for compliance, lending and collections and financial counseling for front-line staff.

Student Internships

Student internship grant funds allowed credit unions to introduce students to the credit union system. Interns gained meaningful hands-on experience by

assisting small credit unions in areas where, many times, there was a gap in staff expertise. Interns assisted credit unions in developing new marketing strategies including social media and web development, as well as providing insight to the younger demographics product and service needs. Through this initiative, students are better equipped to transition into a career in the financial services industry. Fiscal Year 2017: Each credit union may apply for funding under one of three initiatives:

Leadership development (maximum grant \$10,000) Credit unions may use this grant to promote career development, management succession planning, diversity and inclusion training, or structuring essential employment positions. Digital services and security (maximum grant \$7,500) Credit unions can improve digital member services as well as cybersecurity and fraud prevention under this initiative. This grant can be used to offer services like remote deposit capture, digital payments and wallets, or mobile loan applications or to provide cybersecurity training or digital encryption.

Small, low-income credit union capacity (maximum grant \$7,500) Credit unions with assets of less than \$30 million may apply for funding under this initiative to address operational challenges such as upgrading technology systems for greater efficiency and improved operations. Applicants must fall under the \$30 million asset limit.

In addition to those initiatives, each credit union may apply for a grant of up to \$25,000 to support projects designed to reach people living in underserved communities. Fiscal Year 2018: Not available.

CRITERIA FOR SELECTING PROPOSALS:

The Community Development Revolving Loan Fund Program will use the following to base its consideration for awards on the following priorities: (1) Purpose; (2) Financial Factors and (3) Non-Financial Factors as listed in the application for funds. Details provided in the Notice of Funding Opportunity and/or published guidelines.

1. Criteria:

Each grant initiative consists of unique criteria NCUA will use to evaluate Applications. The criteria is distinct from the eligibility criteria that are addressed before an Application is accepted for review. Specific details about the selection criteria are described further in the grant guidelines.

2. Review and Selection Process:

i. Eligibility and Completeness Review: NCUA will review each Application to determine whether it is complete and that the Applicant meets the eligibility criteria described in the Regulations, this NOFO, and the grant guidelines. An incomplete Application or one that does not meet the eligibility criteria will be declined without further consideration.

ii. Substantive Review: After an Applicant is determined eligible and its Application is determined complete, NCUA will conduct a substantive review in accordance with the criteria and procedures described in the Regulations, this NOFO, and the grant guidelines. NCUA reserves the right to contact the Applicant during its review for the purpose of clarifying or confirming information contained in the Application. If so contacted, the Applicant must respond within the time specified by NCUA or NCUA, in its sole discretion, may decline the application without further consideration.

iii. Evaluation and Scoring: The evaluation criteria for each initiative will be more fully described in the grant guidelines.

iv. Input from Examiners: NCUA may not approve an award to a credit union for which its NCUA regional examining office or State Supervisory Agency (SSA), if applicable, indicates it has safety and soundness concerns. If the NCUA regional office or SSA identifies a safety and soundness concern, OSCUI, in conjunction with the regional office or SSA, will assess whether the condition of the Applicant is adequate to undertake the activities for which funding is requested, and the obligations of the grant and its conditions. NCUA, in its sole discretion, may defer decision on funding an Application until the credit unions safety and soundness conditions improve.

v. Award Selection: NCUA will make its award selections based on a consistent scoring system where each Applicant will receive a ranking position. NCUA will also consider the impact of funding and rank Applications based on the factors listed in the grant guidelines.

FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES

45.201 ARTS AND ARTIFACTS INDEMNITY

FEDERAL AGENCY:

Federal Council on the Arts and the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 089-209, 20 U.S.C 977 et seq.; Arts and Artifacts Indemnity Act, Public Law 094-158.

OBJECTIVES:

To provide for indemnification against loss or damage for eligible art works, artifacts, and objects (1) when borrowed from abroad for exhibition in the U.S.; (2) when borrowed from the U.S. for exhibition abroad, preferably when there is an exchange exhibition from a foreign country; (3) when borrowed from the U.S. for exhibition in the U.S. as part of exhibitions from abroad which include foreign-owned objects; and 4) when borrowed from U.S. collections for exhibition in the U.S.

TYPES OF ASSISTANCE:

INSURANCE

USES AND USE RESTRICTIONS:

Certificates of Indemnity will be issued for the following items insuring them against loss or damage: (1) works of art, including tapestries, paintings, sculpture, folk art, graphics, and craft arts; (2) manuscripts, rare documents, books, and other printed or published materials; (3) other artifacts or objects; and (4) photographs, motion pictures, or audio and video tape. Items must be of educational, cultural, historical or scientific value; and their exhibition, in the case of international exhibitions, must be certified by the Department of State to be in the national interest. No indemnity agreement for a single exhibition shall exceed \$1.8 billion for international exhibitions, or \$1 billion for domestic exhibitions. Deductible amounts are on a sliding scale based on the value of certificate, as follows: \$15,000 deductible for valuations up to \$2 million; \$25,000 deductible for valuations from \$2 million to \$10 million; \$50,000 deductible for valuations from \$10 million to \$125 million; \$100,000 deductible for valuations from \$125 to \$200 million; \$200,000 deductible for valuations of \$200 million to \$300 million; \$300,000 deductible for valuations of \$300 million to \$400 million; \$400,000 for valuations of \$400 million to \$500 million; and \$500,000 for valuations above \$500 million. The total amount of indemnities that can be outstanding at any one time is \$15 billion for international exhibitions and \$7.5 billion for domestic exhibitions.

Applicant Eligibility:

Federal, State, and local government entities, and nonprofit agencies and institutions may apply.

Beneficiary Eligibility:

Federal, State, and local government entities, and nonprofit agencies and institutions will benefit. Audiences of indemnified exhibitions will also benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Prior to applying, potential applicants are encouraged to consult with the Indemnity Administrator, National Endowment for the Arts, Washington, DC. All applicants must consult the Arts and Artifacts Indemnity Guidelines available at www.arts.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must obtain guidelines and application instructions from the National Endowment for the Arts' website at www.arts.gov, and apply through Grants.gov.

Award Procedure:

The Federal Council on the Arts and the Humanities makes final decisions on all awards based on recommendations from consulting panels of professionals in the field.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

The length of time between application and notification is dependent on deadline dates and the meetings of the Federal Council on the Arts and the Humanities. Details can be found in the guidelines and program announcements available at www.arts.gov.

Appeals:

Not Applicable.

Renewals:

Requests for extensions and renewals must compete against new applications.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The time during which Indemnity coverage is in effect varies depending on the schedule of the particular exhibition. See the following for information on how assistance is awarded/released: This program provides indemnification against loss or damage, not grant support.

Reports:

Reports of any loss or damage are due by the termination of the indemnity period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Final audits may be made at the discretion of the Federal Council on the Arts and the Humanities for up to 3 years after the termination of the indemnity period.

Records:

Indemnitees are responsible for complete packing, shipping, security and condition reports on all indemnified items. These should be kept for 90 days beyond the end date of the indemnity period.

Account Identification:

59-0101-0-1-503.

Obligations:

(Insurance) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable - The figures in this section relate to claim payments, not obligations.

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

59-00-00-0101.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Thirty-one certificates of indemnity were issued for 31 exhibitions. Fiscal Year 2017: It is anticipated that 48 certificates of indemnity will be issued for 34 exhibitions. Fiscal Year 2018: The President's FY 2018 budget proposes the elimination of the National Endowment for the Arts. It is anticipated that no certificates of indemnity will be issued.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations and guidelines are available on the National Endowment for the Arts' website at www.arts.gov.

Regional or Local Office:

None.

Headquarters Office:

Patricia Loiko National Endowment for the Arts, 400 7th Street, SW, Washington, District of Columbia 20506 Email: loikop@arts.gov Phone: 202-682-5541

Website Address:

<http://www.arts.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Indemnified exhibitions are listed at www.arts.gov. Fiscal Year 2017: Indemnified exhibitions will be listed at www.arts.gov. Fiscal Year 2018: The Presidents FY 2018 budget proposes the elimination of the National Endowment for the Arts. We do not anticipate issuing certificates of indemnity in FY 2018.

CRITERIA FOR SELECTING PROPOSALS:

Contact the Indemnity Administrator.

NATIONAL ENDOWMENT FOR THE ARTS**45.024 PROMOTION OF THE ARTS GRANTS TO ORGANIZATIONS AND INDIVIDUALS****FEDERAL AGENCY:**

National Endowment for the Arts

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 089-209, 20 U.S.C 951 et seq.

OBJECTIVES:

To support the creation of art that meets the highest standards of excellence, public engagement with diverse and excellent art, lifelong learning in the arts, the strengthening of communities through the arts, and increased public knowledge and understanding about the contributions of the arts.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

The National Endowment for the Arts' grants support projects that include: opportunities for artists to create, refine, perform, and exhibit their work; the presentation of artistic works of all cultures and periods; opportunities for arts organizations and artists to expand and diversify their audiences; innovative uses of new models or technology to create work or engage audiences; the preservation of significant works of art and cultural traditions; innovative creative placemaking that contributes to community livability; opportunities for individuals to experience and participate in a wide range of art forms and activities; opportunities to enhance the effectiveness of arts organizations and artists; development, production and national distribution of innovative media projects about the arts and of media projects that can be considered art; standards-based arts education activities for K-12 students; lifelong learning in the arts for individuals of all ages; professional development for artists and arts-related educators; the recognition of artists for their contributions to our nation's artistic heritage; partnerships with other government agencies and foundations to carry out quality projects or initiatives of mutual interest including international cultural exchange; other activities that acquaint foreign artists and cultural officials with the best of American culture; research that analyzes the value and impact of the arts in the United States; access to creative arts therapies and evidence-based programs in the arts and health; and initiatives intended to address special needs or opportunities; .

Consult the current funding opportunities, guidelines, or program announcements for the area of interest for complete information (see www.arts.gov). Funds must be used for the purposes outlined in the relevant guidelines or program announcement, and in accordance with the National Endowment for the Arts General Terms and Conditions for Grants and Cooperative Agreements. All of the funds under "Promotion of the Arts - Grants to Organizations and Individuals" are discretionary.

Applicant Eligibility:

Tax-exempt organizations meeting the following conditions may apply: (1) No part of any earnings may benefit a private stockholder or individual, and (2) donations to the organization are allowable as charitable deductions under Section 170(c) of the Internal Revenue Code. Examples of eligible organizations are arts institutions, arts service organizations, local arts agencies, official units of state and local governments, federally recognized tribal communities and Indian tribes. Generally, an organization may submit only one

application for a single project under one of the category deadlines; must have a three-year history of programming prior to the application deadline; and must have submitted acceptable final reports by the due date for all National Endowment for the Arts grants previously received. Individuals must be U.S. citizens or permanent residents and, according to 20 U.S.C. 954 (c), must demonstrate exceptional talent. Currently, the only individuals eligible to apply directly are published creative writers and translators. Certain master artists who have made extraordinary contributions to the arts in the United States are eligible for honorary fellowships awarded on the basis of nominations. See respective funding opportunities, guidelines, or program announcements for further information.

Beneficiary Eligibility:

Nonprofit organizations, state and local governments, local arts agencies, local education agencies (school districts), federally recognized tribal communities and Indian tribes, literary artists, and master artists. Through activities and services supported, beneficiaries include the general public and artists.

Credentials/Documentation:

Applicants should review relevant funding opportunities, guidelines, or program announcements at www.arts.gov for full requirements.

For individuals, Literature fellowship applicants must be published writers. Nominators of candidates for National Endowment for the Arts honorary fellowships for master artists must document the candidate's significant contribution to the applicable art form. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Applicants should consult current funding opportunities, guidelines, or program announcements for the funding area under which they intend to apply. Relevant items are available on the National Endowment for the Arts' website at www.arts.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must obtain current National Endowment for the Arts funding opportunities, guidelines, or program announcements (available at www.arts.gov). Applicants must adhere to instructions, and must submit their applications through Grants.gov.

Award Procedure:

Applications generally receive three independent levels of review. First, they are reviewed by independent, national panels of artists and other arts experts. Panel recommendations are forwarded to the National Council on the Arts, which then makes recommendations to the Chairman of the National Endowment for the Arts. The Chairman reviews the Council's recommendations and makes the final decision on all awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Range of approval/disapproval time varies by funding category. Dependent on deadline dates and meetings of the National Council on the Arts. Details can be found in the funding opportunities, guidelines, and program announcements available at www.arts.gov.

Appeals:

Information on requests for reconsideration may be obtained from the headquarters office.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Grant awards to organizations generally must have a cost share/ match of at least 1:1 with non-federal funds. Awards to individuals do not require a match. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of time the assistance is available and money awarded can be spent varies according to project or grant category. Most grants have a one-year period of performance; some extend longer. See the following for information on how assistance is awarded/released: Grantees must submit a request for payment to cover immediate allowable project expenses. Generally, these requests for payment may be submitted at any time during the period of performance.

Reports:

Grantees must submit a Final Descriptive Report no later than 90 days following the end of the grant period. No reports are required from recipients of NEA honorary fellowships for master artists. No cash reports are required. Grantees must submit a Progress Report as part of the payment request at the point that the request exceeds two-thirds of the total grant amount. No progress reports are required from recipients of National Endowment for the Arts honorary fellowships or master artists. All organizational grantees are required to submit a Final Federal Financial Report no later than 90 days following the end of the grant period. Performance monitoring may be applicable for certain awards.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. No audits are required for National Endowment for the Arts awardees who are individuals.

Records:

Award-related records must be retained by the grantee for 3 years following submission of the Final Federal Financial Report.

Account Identification:

59-0100-0-1-503.

Obligations:

(Project Grants (Discretionary)) FY 16 \$73,412,746; FY 17 est \$75,024,583; and FY 18 est \$0 - The Presidents FY 2018 budget proposes the elimination of the National Endowment for the Arts.

Range and Average of Financial Assistance:

Most of the National Endowment for the Arts' regular grants range from \$10,000 to \$100,000. Grants of \$100,000 or more are made only in rare instances, and only for projects that the National Endowment for the Arts determines demonstrate exceptional national or regional significance and impact. In the past few years, well over half of our grants have been for amounts less than \$25,000.

TAFS Codes:

59-00-00-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The National Endowment for the Arts received more than 5,850 applications and made approximately 2,400 awards in all 50 States and six special jurisdictions for a broad variety of arts projects. Fiscal Year 2017: The National Endowment for the Arts anticipates receiving more than 6,400 applications and making approximately 2,500 awards in all 50 States and six special jurisdictions for a broad variety of arts projects. Fiscal Year 2018: The Presidents FY 2018 budget proposes the elimination of the National Endowment for the Arts. We anticipate receiving no new applications and making no new grants or cooperative agreements beginning in FY 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

Visit our website at www.arts.gov for funding opportunities, guidelines, or program announcements.

Regional or Local Office:

None.

Headquarters Office:

Carol Walton National Endowment for the Arts, 400 7th Street, SW, Washington, District of Columbia 20506 Email: waltonc@arts.gov Phone:

202-682-5635

Website Address:

<http://www.arts.gov>

RELATED PROGRAMS:

45.025 Promotion of the Arts_Partnership Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Listings for FY 2016 are posted on www.arts.gov. Fiscal Year 2017: Listings for FY 2017 will be posted on www.arts.gov as grants are obligated. Fiscal Year 2018: The Presidents FY 2018 budget proposes the elimination of the National Endowment for the Arts. We anticipate making no new grants or cooperative agreements beginning in FY 2018.

CRITERIA FOR SELECTING PROPOSALS:

The primary criteria by which all applications are assessed are artistic excellence and artistic merit. For certain project types that enhance the livability of communities, special preference may be given to projects that take place in designated Promise Zones. For additional criteria, consult respective funding opportunities, guidelines, or program announcements available at www.arts.gov.

**45.025 PROMOTION OF THE ARTS PARTNERSHIP AGREEMENTS
FEDERAL AGENCY:**

National Endowment for the Arts

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 089-209, 20 U.S.C 951 et seq.

OBJECTIVES:

To develop and maintain partnerships with the state and jurisdictional arts agencies (SAAs) and their regional arts organizations (RAOs) to advance the mission of the National Endowment for the Arts.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Partnership Agreements support elements of a state or regional plan addressing state or regional priorities that: 1) enhance opportunities for the creation of art that meets the highest standards of excellence; 2) foster public engagement with excellent art; 3) provide Americans of all ages with arts learning opportunities; 4) incorporate the arts and design into strategies to improve the livability of communities; and 5) increase public knowledge and understanding of the various ways the arts contribute to positive outcomes for individuals and communities. Limited Partnership funds also are available for national services provided by membership organizations of SAAs and RAOs. Partnership Agreement funds must be used to supplement and not to supplant non-Federal funds.

Applicant Eligibility:

Agencies that are officially designated as the state arts agency by the state government in each of the 50 States and six special U.S. jurisdictions, and that meet the National Endowment for the Arts' eligibility requirements for SAAs as outlined in the Partnership Agreements guidelines on our website; regional arts organizations that represent state arts agencies and that meet the National Endowment for the Arts' eligibility requirements for RAOs as outlined in the Partnership Agreements guidelines on our website; and organizations providing support services to state and regional arts agencies at a national level.

Beneficiary Eligibility:

The official arts agencies of the 50 states and six U.S. jurisdictions, regional arts organizations, and organizations providing support services to SAAs and RAOs at the national level. Through activities and services supported, beneficiaries include the general public, local arts agencies, nonprofit organizations, and artists.

Credentials/Documentation:

The State Partnership Agreement requires that the agency be legally designated as the official state arts agency and that it meet all National Endowment for the Arts eligibility requirements. The Regional Partnership Agreement stipulates that the regional organization must be authorized by three or more state arts

agencies to apply for funds on their behalf and that it meet all National Endowment for the Arts eligibility requirements. An SAA can belong to only one RAO. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Applicants should consult the Partnership Agreements guidelines (available at www.arts.gov). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must obtain current Partnership Agreements guidelines at www.arts.gov and adhere to instructions. Applications must be submitted through Grants.gov.

Award Procedure:

Applications receive three independent levels of review. First, they are reviewed by an independent, national panel of individuals with expertise in the arts and the state and regional arts agency field. Panel recommendations are forwarded to the National Council on the Arts, which then makes recommendations to the Chairman of the National Endowment for the Arts. The Chairman reviews the Council's recommendations and makes the final decision on all grant awards. Partnership Agreements receive panel review on a three-year cycle -- one-third of the SAAs and RAOs are reviewed each year -- with funding subject to annual recommendation and approval by the Council and the Chairman.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Partnership Agreements deadline is in late September. Applications are generally reviewed by the National Council on the Arts and approved by the National Endowment for the Arts Chairman in late March. See our website at www.arts.gov for specific dates.

Appeals:

Information on requests for reconsideration may be obtained from the headquarters office.

Renewals:

The National Endowment for the Arts uses staggered, multi-year review for Partnership Agreements. Funding is subject to annual review and approval.

Formula and Matching Requirements:

Statutory Formula: Title The National Foundation on the Arts and the Humanities Act of 1965, as amended, Part 20 USC 954, Subpart (g), Public Law 089-209.

Matching Requirements: Partnership Agreement awards must have at least a 1:1 cost share/match with non-federal funds. The 1:1 cost share/match must come from state government funds that are directly controlled and appropriated by the state and directly managed by the state agency. For those agencies covered by the Economic Development of the Territories Act (American Samoa, Commonwealth of Northern Mariana Islands, Guam, and the Virgin Islands), the matching requirement does not apply to the first \$200,000 of National Endowment for the Arts funds (48 USC 1469a(d) as amended). Agencies must request a waiver from the matching requirement for amounts above the first \$200,000 of our funds. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Each award is for the full fiscal year or as designated. Partnership Agreement awards support activities scheduled to begin on or after July 1 of the year following the application deadline. See the following for information on how assistance is awarded/released: Generally, requests for payment to cover immediate allowable project expenses may be received at any time during the grant period.

Reports:

For Partnership Agreements, a Final Descriptive Report is due no later than 90 days following the end date of the period of performance. No cash reports are required. Progress reports are required for all grants and must be submitted at the time the cumulative amount of grant funds requested exceeds two-thirds of the grant amount. Final Federal Financial Reports are due no later than 90 days following the end date of the period of performance. Performance monitoring may be required for certain awards.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant-related records are to be retained by grantees for three years following submission of the Final Federal Financial Report.

Account Identification:

59-0100-0-1-503.

Obligations:

(Project Grants (with Formula Distribution)) FY 16 \$49,941,458; FY 17 est \$51,850,440; and FY 18 est \$0 - 1.) Funds are allotted in accordance with the National Endowment for the Arts' mandate and based on the strength of plans and accomplishments in relation to the review criteria. 2.) The Presidents FY 2018 budget proposes the elimination of the National Endowment for the Arts.

Range and Average of Financial Assistance:

FY 2017 Partnership Agreements for SAAs range from \$294,000 to \$1,142,700, averaging approximately \$750,000. The range of RAO awards is \$1,192,000 to \$1,785,000, averaging approximately \$1,520,000.

TAFS Codes:

59-00-00-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The National Endowment for the Arts made 63 Partnership awards to enable SAAs and RAOs to support a variety of arts projects nationwide. Fiscal Year 2017: The National Endowment for the Arts anticipates making 63 Partnership awards to enable SAAs and RAOs to support a variety of arts projects nationwide. Fiscal Year 2018: The Presidents FY 2018 budget proposes the elimination of the National Endowment for the Arts. We anticipate making no Partnership awards in FY 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Partnership Agreements guidelines are available on the National Endowment for the Arts' website at www.arts.gov.

Regional or Local Office:

None.

Headquarters Office:

Laura A. Scanlan National Endowment for the Arts, 400 7th Street, SW, Washington, District of Columbia 20506 Phone: 202-682-5583

Website Address:

<http://www.arts.gov>

RELATED PROGRAMS:

45.024 Promotion of the Arts_Grants to Organizations and Individuals

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Partnership Agreements with the SAAs and RAOs enabled the support of a variety of arts activities in communities across the nation. Grant listings are posted online. Fiscal Year 2017: Partnership Agreements with the SAAs and RAOs enable the support of a variety of arts activities in communities across the nation. Grant listings are posted online once grants are obligated. Fiscal Year 2018: The Presidents FY 2018 budget proposes the elimination of the National Endowment for the Arts. We anticipate making no new Partnership awards in FY 2018.

CRITERIA FOR SELECTING PROPOSALS:

For Partnership Agreements: Quality of the planning process; quality of the

plan; and quality of accomplishments and implementation in relation to the plan. For additional criteria, consult the Partnership Agreements guidelines at www.arts.gov.

NATIONAL ENDOWMENT FOR THE HUMANITIES

45.129 PROMOTION OF THE HUMANITIES FEDERAL/STATE PARTNERSHIP

FEDERAL AGENCY:

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq.

OBJECTIVES:

To promote local, statewide, and regional humanities programming through annual grants to humanities councils in each of the 50 States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Northern Mariana Islands, Guam, and American Samoa. The 56 councils conduct humanities projects and grant programs for local nonprofit organizations, institutions, and groups, and occasionally for individuals. Under the provisions of 20 U.S.C. 956(f)(8), only one entity in each State may receive assistance from NEH as the State humanities council.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants may be used to fund local, statewide, and regional humanities projects, subject to guidelines determined by each State humanities council.

Applicant Eligibility:

Nonprofit citizen councils in the several States and territories which conform to the requirements of 20 U.S.C. 956(f). If the State matches a certain percentage of the Federal grant and meets other legislated requirements, the Governor may designate the existing Council as a State agency. The resulting agency becomes an eligible applicant.

Beneficiary Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government general; minority organizations; other specialized groups; and quasi-public nonprofit institutions which apply directly to the State Humanities Council.

Credentials/Documentation:

Submission of an annual compliance plan and a self-assessment every five years from the council. Compliance requirements are outlined in 20 U.S.C. 956(f). Costs will be determined in accordance with OMB Circular No. A-122 as amended for nonprofit organizations. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Filing of a compliance plan is required from all councils annually and a self-assessment every five years

Award Procedure:

Compliance plans are reviewed by Federal/State Partnership staff. Self-assessments are reviewed by teams of visiting scholars and other professionals. Awards are made by the Chairman of the National Endowment for the Humanities after recommendation by the National Council on the Humanities.

Deadlines:

Jun 01, 2017 Sept 01, 2017 for annual compliance plans. Timetable for

submission of self-assessment material is flexible.

Range of Approval/Disapproval Time:

> 180 Days. Variable: Six months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: As established by the Congressional funding formula, each State council receives \$200,000 of the definite funds appropriated to Federal/State Partnership. Of the remaining funds, 44 percent is divided equally among the States which have a population of 200,000 or more, 22 percent is allocated to each council on the basis of population, and 34 percent is awarded at the discretion of the Chairman of the agency. Total matching of the grant on a one-to-one basis is required. Source: 20 U.S.C. 956 (f)(4). This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Thirty-six months. Funds must be expended during the grant period. Funds are released as required. Method of awarding/releasing assistance: by letter of credit.

Reports:

Compliance plans are required annually. A cash report is required quarterly. No progress reports are required. An expenditure report is required quarterly and a final expenditure report is due within 90 days after completion or termination of project support by NEH. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. "Audits of Institutions of Higher Education and Other Nonprofit Organizations" have been mandated for the program. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for 3 years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants) FY 16 \$43,014,272; FY 17 est \$46,113,000; and FY 18 Estimate Not Available(Exp: Awaiting final FY18 Appropriatio) - FY '16 obligations include additional funding provided by NEH Treasury matching grants.

Range and Average of Financial Assistance:

In FY 2016 the range of assistance was \$331,490 to \$2,206,170. Average was \$788,438.

TAFS Codes:

59-X020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2016: Support for the programs and operations of 56 state humanities councils made possible more than 42,000 high quality state and local humanities projects throughout the nation that reached an audience of more than 322,775,000, including 12,000 reading and discussion programs, 1,875 exhibitions, 3,300 literacy programs, 3,300 speakers bureau presentations, 2,325 teacher institutes and workshops, 4,125 conferences, 1,200 Chautauqua events, 9,750 media program events, 1,200 technology projects, 560 preservation projects and 4,050 local history projects. Fiscal Year 2017: Fiscal Year 2017: Support for the programs and operations of 56 state humanities councils made possible 43,500 high quality state and local

humanities projects throughout the nation that reached an audience of more than 325,000,000, including 12,240 reading and discussion programs, 1,910 exhibitions, 3,375 literacy programs, 3,375 speakers bureau presentations, 2,400 teacher institutes and workshops, 4,200 conferences and symposia, 1,235 Chautauqua events, 9,750 media program events, 1,250 technology projects, 570 preservation projects and 4,125 local history projects. Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

445 CFR 1100 and 1105. Information about the National Endowment for the Humanities and program guidelines are available online at <http://www.neh.gov/> or upon request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign). Addresses and phone numbers for the 56 State humanities councils are available from Federal/State Partnership, NEH, Washington, DC 20506, and from the NEH web site, <http://www.neh.gov/about/state-humanities-councils>.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Located in each State. Addresses available from National Endowment for the Humanities.

Headquarters Office:

Federal/State Partnership, National Endowment for the Humanities, 400 Seventh St, SW, Washington, District of Columbia 20506 Email: fedstate@neh.gov Phone: (202) 606-8254.

Website Address:

<http://www.neh.gov/divisions/fedstate>

RELATED PROGRAMS:

45.164 Promotion of the Humanities_Public Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Each State council sets its own guidelines and criteria for assessing proposals, which are widely disseminated within the State. The criteria for State council funding from NEH are established in the National Foundation on the Arts and the Humanities Act of 1965, as amended, 20 U.S.C. Section 950, 956, and by the NEH Federal/State Partnership.

45.130 PROMOTION OF THE HUMANITIES CHALLENGE GRANTS FEDERAL AGENCY:

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C 951.

OBJECTIVES:

To support educational and cultural institutions and organizations in order to increase their financial stability and to sustain or improve the quality of humanities programs, services, or resources. Normally, institutions requesting an NEH challenge grant must raise three dollars in new donations from nonfederal sources to receive each Federal dollar. A special category of challenge grants is designed to help 2-year colleges secure long-term improvements in humanities activities. Two-year colleges, along with Historically Black Colleges and Universities and Tribal Colleges and Universities, are required to raise two times the Federal award in new donations from nonfederal sources.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be applied to a variety of institutional needs which an applicant has demonstrated serve both the humanities and the institution's long-term objectives. The major purpose of an NEH Challenge Grant is to help institutions and organizations attain or sustain a high level of quality in

humanities programs or activities by building long-term capital resources. The most common expenditure of grant and matching funds is the creation or augmentation of endowments. Also possible are direct expenditures such as library acquisitions, technological enhancement, construction and renovation, and other costs clearly attributable to improvements in humanities programs and activities. Funds may not be used directly for general operating support or undergraduate scholarships and prizes or for short-term projects or projects eligible for support from other NEH programs.

Applicant Eligibility:

Any public or private U.S. nonprofit institution or organization working wholly or in part within the humanities may apply, including the following: two-year and four-year colleges; universities; museums; historical societies; research libraries; public libraries; advanced study centers; media organizations; university presses; professional societies; or state humanities councils. State and local governments and U.S. Territories are eligible to apply on their own behalf or on behalf of nonprofit institutions, associations or organizations within their jurisdictions. Individuals and public and private elementary and secondary schools or school districts are not eligible to apply.

Beneficiary Eligibility:

All applicant organizations and institutions and all users of their humanities resources, programs, or activities.

Credentials/Documentation:

. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

None required, but preliminary discussion with Endowment staff and the submission of a draft application at least six weeks before the deadline are strongly encouraged. The standard application forms as furnished by the Endowment and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-110. Applications to NEH must be submitted via Grants.gov. Guidelines and application instructions are available online at <http://www.neh.gov/grants/grants.html>.

Award Procedure:

Applications are reviewed by administrators of humanities institutions, panels of scholars, and other appropriate individuals. Awards are made by the Chairman of the National Endowment for the Humanities after recommendation by the National Council on the Humanities.

Deadlines:

Jul 29, 2017 to Nov 29, 2017: Challenge Grants. November 29, 2017 for all challenge grants. Deadlines are receipt deadlines. Write or call the NEH Office of Challenge Grants.

Range of Approval/Disapproval Time:

> 180 Days. Seven months (approximately 210 days) after the deadline.

Appeals:

None, but applicants may request written reasons for rejection and reapply at the next appropriate deadline with a revised proposal.

Renewals:

> 180 Days. Eligibility to apply for a subsequent award begins in the third year after the close of the previous challenge grant.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: All challenge grant funds are matching funds. Grantees must raise three nonfederal dollars for every federal dollar offered, except that Historically Black Colleges and Universities, Tribal Colleges and Universities, and two-year colleges are required to raise two times the Federal award.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

From one to five years. Funds must be expended during the grant period. Funds expended include funds deposited into an income earning account. See the following for information on how assistance is awarded/released: Federal funds up to the amount offered in any year are released as matching amounts are certified to the Endowment and minimum annual matching requirements are met.

Reports:

Program reports are not applicable. Cash reports are not applicable. Narrative progress reports are required biennially; reports on nonfederal contributions raised and sources of giving are required annually. Final narrative and financial reports are due within 90 days after the grant ending date. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

To be retained by the grantee for three years from the date of submission, with copies to be submitted with gift certifications, of the final narrative and financial reports. Records include financial documentation on all matching gifts and on all expenditures of Federal and matching funds.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants) FY 16 \$8,836,549; FY 17 est \$7,414,400; and FY 18 Estimate Not Available(Exp: Awaiting final FY18 Appropriatio) - FY'12 obligations Include additional funding provided by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

Applicants may request up to \$1,000,000 in Federal funds over 4 years. In fiscal year 2016, the largest award offered was \$425,000, the smallest was \$5,939; and the average award was approximately \$113,400.

TAFS Codes:

59-X020.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants/grants.html> and upon request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None.

Headquarters Office:

Office of Challenge Grants, Room 420, National Endowment for the Humanities, Washington, District of Columbia 20506 Email: challenge@neh.gov Phone: (202) 606-8309.

Website Address:

<http://www.neh.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current

Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

To reach decisions about funding, all reviewers of Challenge Grants applications are asked to evaluate the existing and potential quality of an applicant's programs in the humanities, its needs, management, and fund-raising capability. In light of the goals of the Challenge Grants Program, reviewers assess each application in accordance with the following criteria: (1) The significance of existing and planned humanities activities. (2) The appropriateness of institutional resources and plans. (3) The impact of challenge grant funds. (4) The feasibility of fund raising. Applicants for second or subsequent awards must describe and assess the effects of their prior awards.

45.149 PROMOTION OF THE HUMANITIES DIVISION OF PRESERVATION AND ACCESS

FEDERAL AGENCY:

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended,, 89 Stat. 209, 20 U.S.C 951.

OBJECTIVES:

To fund projects that will promote preserving, creating, and providing intellectual access to resources held in libraries, museums, archives, historical organizations, and other collections that are important for research, education, and public programming in the humanities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants support: the digitization, arrangement, description, and preservation of archival collections, still and moving images, and recorded sound collections; the documentation of collections of art and material culture; projects to produce research tools and reference works such as databases and electronic archives, bibliographies, dictionaries, and encyclopedias; professional training in preservation administration and in the care of collections; preventive conservation of humanities collections; the work of regional preservation services; general preservation assessments and special consultations for smaller cultural institutions; research and development projects to develop technical standards, best practices, and tools for preserving and creating access to humanities collections; projects to document endangered languages; and a national program for the digitization of historic U.S. newspapers. Expenses may include salaries and wages, consultant and other contract services, supplies and limited equipment purchases, travel, and per diem.

Applicant Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general; minority organizations; and other specialized groups; quasi-public nonprofit institutions.

Beneficiary Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general; minority organizations; and other specialized groups; quasi-public nonprofit institutions.

Credentials/Documentation:

OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for commercial and nonprofit organizations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Applications to NEH must be submitted via Grants.gov. Guidelines and application instructions are available online at <http://www.neh.gov/grants/grants.html>.

Award Procedure:

Applications are evaluated by scholars in the humanities, professionals with expert knowledge of preservation and access methodologies, and administrators of libraries, archives, and museums. Awards are made by the Chairman of the National Endowment for the Humanities after recommendation by the National Council on the Humanities.

Deadlines:

Jul 21, 2015: Humanities Collections and Reference Resources.

Range of Approval/Disapproval Time:

> 180 Days. Approximately 7 months.

Appeals:

None, but applicant may reapply with a revised proposal.

Renewals:

Renewal grants are processed and awarded in competition with and in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 36 months. Funds must be expended during the grant period. Funds are released as required. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. Cash reports are required quarterly. Progress reports are required semiannually or annually. Final progress and expenditures reports are due within 90 days after completion or termination of project support by NEH. Final progress and expenditures reports are due within 90 days after completion or termination of project support by NEH. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for three years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

(Salaries) FY 16 \$16,054,272; FY 17 est \$14,700,000; and FY 18 Estimate Not Available(Exp: Awaiting final FY18 Appropriatio) - FY'13 obligations Include additional funding provided by NEH Treasury matching grants.

Range and Average of Financial Assistance:

FY 16 from \$1,000 to \$300,000; average \$73,620.

TAFS Codes:

59-X020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: More than 200 grants were awarded that provided in excess of \$16 million in humanities preservation support. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants.html> or upon request from National Endowment for

the Humanities, Washington, DC 20506. It is also available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Division of Research Programs, National Endowment for the Humanities, Division of Preservation and Access, Washington, District of Columbia 20506, Washington, District of Columbia 20506 Email: preservation@neh.gov Phone: (202) 606-8570

Website Address:

<http://www.neh.gov/divisions/preservation>

RELATED PROGRAMS:

45.164 Promotion of the Humanities_Public Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The criteria used to determine funding priorities include the significance and potential impact of the project, either for creating, preserving, and establishing intellectual access to important humanities resources or for improving the infrastructure for preservation and access activities in the country's academic and cultural institutions; the soundness of the project's methodology, including its adherence to accepted professional and technical standards or practice; the viability, efficiency, and productivity of the project's plan of work; the professional training and experience of the project's staff in relation to the activity for which support is requested; and the appropriateness of the project's budget. Preference is given to projects that provide free, online access to digital materials produced with grant funds.

45.160 PROMOTION OF THE HUMANITIES FELLOWSHIPS AND STIPENDS

FEDERAL AGENCY:

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq., Public Law 89-209, 20 U.S.C 951.

OBJECTIVES:

Fellowships and Summer Stipends provide support for scholars to undertake full-time independent research and writing in the humanities. Grants are available for 6-to-12-month fellowships and 2 months of summer study. Awards for Faculty programs support research work conducted by faculty at Historically Black Colleges and Universities, Hispanic-Serving Institutions, and Tribal Colleges and Universities for periods of 2 to 12 months.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Fellowships and Summer Stipends: Projects may contribute to scholarly knowledge or to the general public's understanding of the humanities. The proposed study or research may be completed during the grant period or it may be part of a longer project. Supported applications typically result in publication. The Awards for Faculty program assist faculty members as they engage in advanced research in the humanities.

These awards are restricted to faculty members at Historically Black Colleges and Universities, Hispanic-Serving Institutions, and Tribal Colleges and Universities as designated by the White House offices charged with the implementation of Executive Orders 12876, 13230, 13021. Applications for planning institutional curricula, for educational research projects, for the creation or performance of works

of art, and that lack humanities content or methodology are not eligible.

Applicant Eligibility:

All applicants must be U.S. citizens, native residents of U.S. jurisdictions, foreign nationals who have been legal residents in the U.S. or its jurisdictions for at least the three years immediately preceding the application deadline. Fellowships: Faculty members at colleges and universities, individuals affiliated with other institutions, independent scholars, and others who work in the humanities are eligible. Applicants need not have advanced degrees, but they must have completed their formal academic training. Active candidates for degrees and persons seeking support for work leading to degrees are not eligible. Summer Stipends: University and college faculty members must be nominated by their academic institutions. Faculty members with terminating appointments, independent scholars, and nonfaculty college and university staff members are exempt from nomination and may apply directly. Awards for Faculty are offered to full-time faculty members at Historically Black Colleges and Universities, Hispanic-Serving Institutions, and Tribal Colleges and Universities as designated by the White House offices charged with the implementation of Executive Orders 12876, 13230, 13021.

Beneficiary Eligibility:

Fellowships and Stipends: College and university faculty and staff, individuals affiliated with institutions other than colleges and universities, and scholars and writers working independently. Awards for Faculty: faculty members at Historically Black Colleges and Universities, Hispanic-Serving Institutions, and Tribal Colleges and Universities.

Credentials/Documentation:

. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications to NEH must be submitted via Grants.gov. Fellowships, Summer Stipends, and Awards for Faculty application materials are available online at <http://www.neh.gov> and from the headquarters office.

Award Procedure:

Applications are reviewed by panels of scholars and other appropriate individuals. Awards are made by the Chairman of the National Endowment for the Humanities after advice from the National Council on the Humanities.

Deadlines:

Apr 11, 2018: Faculty Research Awards. Deadlines are approximate; interested individuals should check the

NEH website for deadlines. Fellowships: Annual receipt deadline of April 11 for projects beginning after January 1 of the following year. Summer Stipends: annual receipt deadline of September 27, for projects beginning after May 1 of the following year. Awards for Faculty: Annual receipt deadline of April 11 for projects beginning after January 1 of the following year.

Range of Approval/Disapproval Time:

> 180 Days. Five to seven months.

Appeals:

None, but applicant may reapply.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Fellowships are held from 6 to 12 months, with payment in quarterly installments. Summer Stipends are held for 2 consecutive months, with stipends disbursed in one payment. Awards for Faculty may support activities from 2 to 12 months, with payments in quarterly installments. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. A final report is required within 90 days after the end of the tenure period describing the results of the work done during the period of the award. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Not applicable.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants (Fellowships)) FY 16 \$5,812,170; FY 17 est \$59,250,000; and FY 18 Estimate Not Available(Exp: Awaiting final FY18 Appropriatio) - FY 2016 and 2017 obligations include additional funding provided by The Common Good. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

Fellowships and Awards for Faculty: An award of \$4,200 per month for a grant period of from 3 to 12 months. Summer Stipends: All awards are \$6,000 for a grant period of 8 weeks.

TAFS Codes:

59-X020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Almost 200 Research and Fellowships grants were awarded to Humanities Scholars, including Independent Research support in 2016. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Fellowship, Awards for Faculty, and Summer Stipends guidelines are available online at <http://www.neh.gov/grants/grantsbydivision.html#research>. For assistance, contact the National Endowment for the Humanities, 400 Seventh St., SW., Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$33.60 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Division of Research Programs 1100 Pennsylvania Avenue, Washington, District of Columbia 20506 Email: fellowships@neh.gov Phone: (202) 606-8200.

Website Address:

<http://www.neh.gov/>

RELATED PROGRAMS:

45.161 Promotion of the Humanities_Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Are the criteria based on the cost of the project, qualification of

principal investigator and institution, relevance to program's objectives and uses, or merit? Is there an order of priority? If the Code of Federal Regulations is used for this section extract the salient points of interest to an applicant. Do not cite the Code verbatim.

For Fellowships, Summer Stipends: 1) The intellectual significance of the proposed project, including its value to scholars, general audiences in the humanities, or both; 2) the quality or promise of quality of the applicant's work as an interpreter of the humanities; 3) the quality of the conception, definition, organization, and description of the project and the applicant's clarity of expression; 4) the feasibility of the proposed plan of work, including, when appropriate, the soundness of the dissemination and access plans; and, 5) the likelihood that the applicant will complete the project.

Awards to Faculty at Historically Black Colleges and Universities, Institutions with High

Hispanic Enrollment, and Tribal Colleges and Universities: 1) The intellectual significance of the proposed project, including its value to scholars, students, or general audiences in the humanities; 2) the quality or promise of quality of the applicant as a humanities teacher and researcher; 3) the quality of the conception, definition, organization, and description of the project and the clarity of expression in the application; 4) the feasibility of the proposed plan of work, including, when appropriate, the soundness of the dissemination and access plans for the proposed audience or audiences; and 5) the likelihood that the applicant will complete the project.

45.161 PROMOTION OF THE HUMANITIES RESEARCH FEDERAL AGENCY:

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq., Public Law 89-209, 20 U.S.C. 951.

OBJECTIVES:

To strengthen the intellectual foundations of the humanities through the collaboration of scholars and the support of post-doctoral fellowship programs at independent research institutions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Collaborative Research and Scholarly Editions and Translations grants support up to three years of research. Awards support direct costs, including salaries, travel, supplies, and appropriate research assistance and consultation. Grants also support fellowships offered through independent research centers and institutions.

Applicant Eligibility:

For Collaborative Research and Scholarly Editions and Translations, institutions of higher education, nonprofit professional associations, scholarly societies, and other nonprofit organizations in the United States may apply, as may eligible individuals (U.S. citizens and foreign nationals who have been living in the United States or its jurisdictions for at least the three years immediately prior to the time of application). For support of fellowship programs, U.S. independent research centers, scholarly societies, and international research organizations with existing fellowship programs may apply. Individual scholar eligibility is the same as described above.

Beneficiary Eligibility:

U.S. citizens and residents, State and local governments, sponsored organizations, public and private nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian tribal governments, Native American organizations, U.S. territories; non-governmental-general; minority organizations, other specialized groups; and quasi-public nonprofit institutions benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-122 for nonprofit organizations and OMB Circular No. A-21 for educational institutions. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Prior to submitting a proposal, applicants are encouraged to contact program staff for advice on preparing the proposal, and for review of draft proposals. These draft reviews are not part of the formal review process and have no bearing on the final outcome of the proposal, but applicants have found them helpful in strengthening their applications. Program staff recommend that draft proposals be submitted no later than six weeks before the deadline. Time restraints may prevent staff from reviewing draft proposals submitted after that date. Draft proposals may be submitted by e-mail attachment to research@neh.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications to NEH must be submitted via Grants.gov. NEH application instructions are available online at <http://www.neh.gov/grants/grants.html>.

Award Procedure:

Applications are reviewed by subject area specialists, panels of scholars, and other appropriate individuals outside the agency. Awards are made by the Chairman of the National Endowment for the Humanities after recommendation by the National Council on the Humanities.

Deadlines:

Aug 08, 2018: Fellowship Programs at Independent Research Institutions.
None.

Range of Approval/Disapproval Time:

> 180 Days. For Collaborative Research, Scholarly Editions and Translations, and fellowship programs offered through independent research centers and international research organizations, approximately seven months. For Fellowships at Digital Humanities Centers, approximately six months.

Appeals:

None, but applicant may reapply with a revised proposal.

Renewals:

Renewal applications are eligible; they are evaluated in competition with new applications.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Cost sharing consists of the cash contributions made to the project by the applicant, third parties and other federal agencies, as well as third party in-kind contributions, such as donated services and goods. Cost sharing includes third party gift money that will be raised to release federal matching funds. Cost sharing is not required. NEH, however, is rarely able to support the full costs of projects approved for funding. Consult the individual program guidelines for more information. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 36 months for Collaborative Research, Scholarly Editions and Translations, and Fellowship Programs at Independent Research Institutions. See the following for information on how assistance is awarded/released: Funds are released as required and must be expended during the grant period.

Reports:

Program reports are not applicable. Cash reports are required quarterly. For Collaborative Research, Scholarly Editions and Translations, and Fellowship Programs at Independent Research Institutions and for Fellowships for Digital Publication: Progress reports are required at least annually, no more frequently than quarterly. Final progress are due within 90 days after completion or termination of project support by NEH. Final expenditure reports are due within 90 days after completion or termination of project support by NEH. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for three years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants) FY 16 \$10,203,370; FY 17 est \$9,850,000; and FY 18 Estimate Not Available(Exp: Awaiting final FY18 Appropriatio) - FY 16 obligations include additional funding provided by NEH Treasury matching grants and by the Common Good.

Range and Average of Financial Assistance:

FY 16 from \$50,400 to \$350,000; \$173,000 average.

TAFS Codes:

59-X020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: in 2016, 59 grants were awarded to both individual and institutional scholars, in excess of \$10 million of humanities research funding. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants/grants.html> and upon request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None. Not Applicable.

Headquarters Office:

National Endowment for the Humanities, Division of Research Programs, 400 Seventh St., SW, Washington, District of Columbia 20506 Email: collaborative@neh.gov Phone: (202) 606-8200.

Website Address:

<http://www.neh.gov/divisions/research>

RELATED PROGRAMS:

45.160 Promotion of the Humanities Fellowships and Stipends

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For Collaborative Research, the principal criteria considered by evaluators are: (1) Intellectual significance of the project, including its potential contribution to scholarship in the humanities; the likelihood that it will stimulate new research; its relationship to larger themes in the humanities; and the significance of the material on which the project is based. (2) Pertinence of the research questions being posed, the appropriateness of research methods or conference design; the appropriateness of the technology employed in the project; the feasibility of the work plan; and the appropriateness of the field work to be undertaken, the archival or source materials to be studied, and the research site. (3) Qualifications, expertise, and levels of commitment of the project director and key project staff or contributors. (4) Soundness of the dissemination and access plans, including benefit to the audience identified in the proposal and the strength of the case for employing print, digital format, or a combination of media; and in the case of archaeology projects, the likelihood that the project will produce an interpretive study. All other considerations being equal,

preference will be given to projects that provide free, online access to digital materials produced with grant funds. (5) Potential for success, including the likelihood that the work proposed will be completed within the projected time frame; where appropriate, the project's previous record of success; and the reasonableness of the proposed budget in relation to anticipated results.

For Scholarly Editions and Translations, the principal criteria considered by evaluators are: (1) The intellectual significance of the proposed work, including its potential contribution to scholarship in the humanities; the likelihood that it will stimulate new research; its relationship to larger themes or issues in the humanities; and the significance of the material on which the project is based. (2) The appropriateness of the research methods, critical apparatus, and editorial policies; in the case of translation projects, the translation approaches; the appropriateness of selection criteria; the thoroughness and feasibility of the work plan; the quality of the samples, e.g., their content, accuracy; readability, and the clarity and helpfulness of annotation. (3) The qualifications, expertise, and levels of commitment of the project director and key project staff or contributors. (4) The soundness of the dissemination and access plans, including benefit to the audience identified in the proposal; the strength of the case for producing print volumes, electronic format, or a combination of media; and the appropriateness of the technology to be used. All other considerations being equal, preference will be given to projects that provide free, online access to digital materials produced with grant funds. (5) The potential for success, including the likelihood that the proposed project will be successfully completed within the projected time frame; when appropriate, the edition or translations previous record of success; and the reasonableness of the budget in relation to its likely results.

For Fellowship Programs at Independent Research Institutions, the principal criteria considered by evaluators are: (1) How important to the advancement of the humanities is the fellowship program for which funding is requested? (2) Are fellows likely to pursue their research more successfully because of the research collections, facilities, services, and other resources provided by the applicant institution? In the case of centers with residential programs, does the application provide evidence that the fellows' projects benefit significantly from the location of the center and the intellectual exchange among the fellows? (3) How strong is the institution's previous record in offering fellowships? Have former fellows been productive? Have the scholarly contributions resulting from their FPRI fellowships been of value to scholars and general audiences in the humanities? (4) Is the fellowship selection process, including the choosing of selection committee members, expert and objective? (5) Does the application make a persuasive case for the amount of NEH support requested for fellowships? (6) How effective is the publicity for the competition for fellowships? (7) How effective is the administration of the fellowship program? Is the institution's research misconduct policy adequate? (8) Are there ways in which the fellowship program could be improved?.

45.162 PROMOTION OF THE HUMANITIES TEACHING AND LEARNING RESOURCES AND CURRICULUM DEVELOPMENT FEDERAL AGENCY:

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq. , Public Law 89-209, 20 U.S.C 951.

OBJECTIVES:

Humanities Initiatives at Historically Black, Hispanic-Serving Institutions, and Tribal Colleges and Universities are designed to strengthen humanities teaching and learning at these institutions. Enduring Question Course Grants support the development of new humanities courses at the undergraduate level that examine fundamental questions of the humanities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Humanities Initiatives at Historically Black, Hispanic-Serving, and Tribal Colleges and Universities provide funds for faculty study programs, institutional planning, and the acquisition of library and advanced technology

resources that can assist institutions in enhancing humanities opportunities for their students and their communities, typically through strengthening the capacity of faculty to engage student or community beneficiaries in substantive study of the humanities. Humanities Initiatives awards are restricted to Historically Black, Hispanic-Serving Institutions, and Tribal Colleges and Universities (as designated by appropriate government agencies) and to faculty members at these institutions. Projects that deal solely with pedagogical theory or that are intended to improve writing, speaking, or thinking skills apart from a focus on specific humanities content are not normally supported. Enduring Questions Course Grants encourage faculty and students at the undergraduate level to grapple with the most fundamental concerns of the humanities, and to join together in deep, sustained programs of study in order to encounter influential thinkers over the centuries and into the present day; these questions include What is friendship? What is dignity? What is good government? What are the limits of scientific understanding? What are the origins of the modern world?.

Applicant Eligibility:

Humanities Initiatives at Presidentially Designated Colleges and Universities offer Historically Black, High Hispanic Enrollment, and Tribal Colleges and Universities, as designated by the White House offices charged with the implementation of Executive Orders 12876, 12900, 13021.

Beneficiary Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general; minority organizations; other specialized groups; and quasi-public nonprofit institutions will benefit.

Credentials/Documentation:

Costs will be determined in accordance OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for nonprofit organizations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications to NEH must be submitted via Grants.gov. Guidelines are available online (<http://www.neh.gov>) or upon request to the headquarters office.

Award Procedure:

Applications are reviewed by panels of scholars, teachers, and educational administrators at all academic levels and by other appropriate individuals. Awards are made by the Chairman of the National Endowment for the Humanities after advice of the National Council on the Humanities.

Deadlines:

Jun 26, 2018: Humanities Initiatives. Humanities Initiatives: June 26, 2018, for projects beginning after January 1, 2019. Humanities Connections: October 17, 2017, for projects beginning after May 1, 2018.

Range of Approval/Disapproval Time:

> 180 Days. Approximately 4-6 months.

Appeals:

None, but applicant may reapply with a revised proposal.

Renewals:

Applications for renewal must compete against new applications. Applications for renewal must demonstrate a record of success and the potential to serve new audiences.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula. Source: Program Guidelines. Contact: See Headquarters Office below. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds must be expended during the grant period. Funds are released as required. For Humanities Initiatives, grants are available for projects for a maximum of 3 years. For Enduring Questions, grants are available for eighteen to thirty-six months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Interim progress reports are required with due dates listed on the last page of the grant award. Final progress are due within 90 days after completion or termination of project support by NEH. Final expenditure reports are due within 90 days after completion or termination of project support by NEH. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for three years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants) FY 16 \$15,339,908; FY 17 est \$14,438,500; and FY 18 Estimate Not Available(Exp: Awaiting final FY18 Appropriation) - FY 17 obligations include additional funding provided by NEH Treasury matching grants and by the Common Good. See 45.168 PROMOTION OF THE HUMANITIES-Common Good.

Range and Average of Financial Assistance:

Humanities Initiatives at Historically Black, Hispanic-Serving Institutions, and Tribal Colleges and Universities do not exceed \$100,000.

TAFS Codes:

59-X020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Awarded 136 grants exceeding \$15.3M in humanities grants support. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. The publication entitled "National Endowment for the Humanities Grant Programs, 2000-2001" is available upon request from the National Endowment for the Humanities, Washington, DC 20506. It is also available online at <http://www.neh.gov>. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Division of Education Programs 400 Seventh Street, SW District of Columbia 20505 Email: hi@neh.gov, Washington, District of Columbia 20506 Email: hi@neh.gov Phone: (202) 606-8463.

Website Address:

<http://hi@neh.gov>

RELATED PROGRAMS:

45.129 Promotion of the Humanities_Federal/State Partnership; 45.130 Promotion of the Humanities_Challenge Grants; 45.149 Promotion of the

Humanities_Division of Preservation and Access; 45.160 Promotion of the Humanities_Fellowships and Stipends; 45.161 Promotion of the Humanities_Research; 45.163 Promotion of the Humanities_Professional Development; 45.164 Promotion of the Humanities_Public Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are read and evaluated on whether the intellectual quality of the project is excellent; whether the project is well designed; and whether the project will have significant results. See the program guidelines for detailed criteria. For Humanities Initiatives at Historically Black Colleges and Universities, Hispanic-Serving Institutions, and Tribal Colleges and Universities, projects will be selected for funding on the basis of the quality of planned activities and the potential of these activities to strengthen the humanities capacity of the institution; the ability of the institution to carry out the proposed plan; the qualifications of project leaders and consultants; and the cogency of the plan to evaluate the outcomes of the project. For Enduring Questions, projects will be selected for funding based on their intellectual content, feasibility and impact on the intended academic audience.

45.164 PROMOTION OF THE HUMANITIES PUBLIC PROGRAMS

FEDERAL AGENCY:

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq. , Public Law 89-209, 20 U.S.C 951.

OBJECTIVES:

To provide opportunities for the American public to explore human history and culture through humanities programs in museums, historical organizations, libraries, community centers, and other gathering places, as well as on public television, radio, the Internet, and other digital media.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are made to support discovery and prototyping costs for Digital Projects for the Public, development and production costs for Media Projects and Bridging Cultures through Film, and planning and implementation costs for Museums, Libraries, and Cultural Organizations.

Applicant Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general, minority organizations and other specialized groups; quasi-public nonprofit institutions may apply.

Beneficiary Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general, minority organizations and other specialized groups; quasi-public nonprofit institutions will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for nonprofit organizations apply. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications to NEH must be submitted via Grants.gov. Application forms and guidelines are available online (<http://www.neh.gov/grants/grants.html>) and upon request from the headquarters office.

Award Procedure:

Applications are reviewed by subject area specialists, panels of scholars, and other appropriate individuals. Awards are made by the Chairman of the National Endowment for the Humanities after advice from the National Council on the Humanities.

Deadlines:

Jun 06, 2018: For Digital Projects for the Public, with three distinct grants areas for Discovery, Prototyping and Production. None.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 4 to 6 months.

Appeals:

None, but applicant may reapply.

Renewals:

Renewals may be granted and are processed as new applications.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies, but usually 6 months to 3 years. Funds must be obligated during the grant period. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are required at least annually but no more frequently than quarterly. Final progress reports are due within 90 days after completion or termination of the project. Expenditure reports are due within 90 days after completion or termination of the project. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for 3 years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants) FY 16 \$16,938,857; FY 17 est \$14,586,200; and FY 18 Estimate Not Available(Exp: Awaiting final FY18 Appropriatio) - FY 17 obligations include additional funding provided by NEH Treasury matching grants and by the Common Good.

Range and Average of Financial Assistance:

FY 16 from \$1,000 to \$600,000; \$129,300.

TAFS Codes:

59-X020.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants/grants.html> or by request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC

20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Division of Public Programs, National Endowment for the Humanities, Division of Public Programs, 400 7th Street, SW, Washington, District of Columbia 20506 Email: publicprograms@neh.gov Phone: (202) 606-8269. , Washington, District of Columbia 20506 Email: publicprograms@neh.gov Phone: (202) 606-8269.

Website Address:

<http://www.neh.gov/divisions/public>

RELATED PROGRAMS:

45.129 Promotion of the Humanities_Federal/State Partnership

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

A successful application will demonstrate national significance or impact, outreach to groups of Americans not often engaged in public humanities projects, collaboration among cultural institutions and organizations as well as other similar or different groups, and use of a broad spectrum of the most effective formats, technologies, and venues for lifelong learning in the humanities. Although every proposal will not be expected to meet all of the criteria outlined above, the Division will give priority to applications that further these objectives. See program guidelines for details.

45.169 PROMOTION OF THE HUMANITIES OFFICE OF DIGITAL HUMANITIES

FEDERAL AGENCY:

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq.

OBJECTIVES:

To support innovative humanities projects that utilize or study the impact of digital technology for research, preservation, access, education, and public programming.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants support large-scale training institutes or seminars on advanced topics in the digital humanities that explore new technology-driven methodologies; innovative start-up projects that are in the first phases of planning, brainstorming, and creating prototypes that explore the use or impact of digital technology for the humanities; the broader implementation of innovative projects in the digital humanities that have moved beyond the start-up phase; projects that explore and enrich humanities materials using digital technology, thereby enhancing teaching, research, and public access. Expenses may include salaries and wages, consultant and other contract services, supplies and limited equipment purchases, travel, and per diem.

Applicant Eligibility:

U.S. nonprofit organizations or institutions; state and local governmental agencies and Native American tribal organizations; and U.S. citizens or foreign nationals who have been living in the United States or its jurisdictions for at least the three years immediately prior to the time of application.

Beneficiary Eligibility:

U.S. nonprofit organizations or institutions; state and local governmental agencies and Native American tribal organizations; and U.S. citizens or foreign nationals who have been living in the United States or its jurisdictions for at least the three years immediately prior to the time of application.

Credentials/Documentation:

Costs will be determined in accordance with OMB A-21 for educational institutions and OMB Circular No. A-122 for nonprofit organizations apply. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Prior to submitting a proposal, applicants are encouraged to contact program officers who can offer advice about preparing the proposal, and review draft proposals. These comments are not part of the formal review process and have no bearing on the final outcome of the proposal, but applicants in other programs have found them helpful in strengthening their applications. Program staff recommend that draft proposals be submitted six weeks before the deadline. Time restraints may prevent staff from reviewing draft proposals submitted after that date. Draft proposals may be submitted by E-mail attachment (odh@neh.gov). Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications for this program must be submitted via Grants.Gov. Before using Grants.gov for the first time, each organization must register with the Web site to create an institutional profile.

Award Procedure:

Knowledgeable persons outside NEH will read each application and advise the agency about its merits. The Endowment's staff comments on matters of fact or on significant issues that otherwise would be missing from these reviews, then makes recommendations to the National Council on the Humanities. The National Council meets at various times during the year to advise the NEH chairman on grants. The chairman takes into account the advice provided by the review process and, by law, makes all funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applicants will be notified by mail within six months after the submission of the application.

Appeals:

Applicant may request written reasons for rejection and reapply with revised proposal to a subsequent application deadline.

Renewals:

Renewal grants are processed and awarded in competition with and in the same manner as new applications.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically 6 to 24 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. A Federal Cash Transactions Report will be due within 30 days of the end of each calendar quarter. A final Financial Status Report will be due within 90 days after the completion date of the award period. Final performance reports will be required. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for 3

years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants) FY 16 \$4,747,437; FY 17 est \$4,455,000; and FY 18 Estimate Not Available(Exp: Awaiting FY18 Appropriations Ac) - FY 16 obligations include additional funding provided by the Common Good.

Range and Average of Financial Assistance:

FY 09 from \$35000 to \$325000.

TAFS Codes:

59-X020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 239 grants applications and 34 grants awarded/. Fiscal Year 2017: 292 applications and 41 grants awarded. Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Available from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$33.60 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Office of Digital Humanities 400 Seventh St. SW, Washington, District of Columbia 20506 Email: odh@neh.gov Phone: 202-606-8400

Website Address:

<http://https://www.neh.gov>.

RELATED PROGRAMS:

45.149 Promotion of the Humanities_Division of Preservation and Access

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Past recipients of Office of Digital Humanities grant awards may be found in the NEHs Funded Projects Query Form, found at:

<https://securegrants.neh.gov/publicquery/main.aspx>. Fiscal Year 2017: Past recipients of Office of Digital Humanities grant awards may be found in the NEHs Funded Projects Query Form, found at:

<https://securegrants.neh.gov/publicquery/main.aspx>. Fiscal Year 2018: N/A.

<https://securegrants.neh.gov/publicquery/main.aspx>. Fiscal Year 2018: N/A.

CRITERIA FOR SELECTING PROPOSALS:

The criteria used to determine funding priorities include the (1) intellectual significance of the long-term project, including its potential to enhance research, teaching, and learning in the humanities; the likelihood that it will stimulate new research or approaches to the humanities or use new digital technologies to communicate humanities scholarship to broad audiences; its relationship to larger themes in the humanities; and the significance of the material on which the project is based; (2) the appropriateness of the proposed methods; the appropriateness of the technology employed in the project; the feasibility of the work plan; (3) qualifications, expertise, and levels of commitment of the project director and key project staff or contributors; (4) promise of quality, usefulness to the targeted audience, and/or impact on scholarship of the long-term project; soundness of the dissemination plans, including benefit to the audience identified in the proposal and the strength of the case for employing print, microform, digital format, or a combination of media; (5) quality of the assessment and evaluation of the activities, and the potential contribution of this evaluation to the realization of the long-term goals; and (6) potential for success, including the likelihood that the work proposed will be completed within the projected time frame; where appropriate, the project's previous record of success; and the reasonableness of the proposed budget in relation to anticipated results.

NATIONAL SCIENCE FOUNDATION

47.041 ENGINEERING GRANTS

(ENG)

FEDERAL AGENCY:

National Science Foundation

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S. C. 1861 et seq.

OBJECTIVES:

NSF's Directorate for Engineering (ENG) seeks to improve the quality of life and the economic strength of the Nation by fostering innovation, creativity, and excellence in engineering education and research. Specifically, ENG enables the Nation's long-term capacity to perform by: (1) Investing in the creation of new engineering knowledge and the development of human capital within disciplines and at their interfaces; (2) making critical investments to enable an intelligent, agile and adaptable physical infrastructure for engineering education and research; (3) improving the quality and effectiveness of engineering education and research through the integration of and systemic reform of these processes; and (4) enabling knowledge transfer connections among diverse constituencies and communities. Areas of research include: tissue engineering; metabolic pathway engineering; bioinformatics; protein drug processing, fluid flow; combustion; heat transfer; fuel cells; sensors; integrated modeling of the behavior of materials and structures; civil infrastructure; structures and mechanical systems; engineering in geologic materials; reducing risks of natural and technological hazards; enterprise-level integration technologies; innovative design strategies; manufacturing processes and materials; production systems; microelectronic, nanoelectronic, micromagnetic, photonic, and electromechanical devices and their integration into circuits and microsystems; design and analysis of systems and the convergence of control, communications and computation; Engineering Research Groups through the Emerging Frontiers in Research and Innovation (EFRI) program; Engineering Research Centers; Industry/University Cooperative Research Centers; Engineering Education; Human Resources Development; cross cutting activities and special studies and analyses. Support is also provided for undergraduate student research, graduate research fellowships, Broadening Participation in Engineering (BPE), research equipment and instrumentation, Small Business Innovation Research (SBIR), Small Business Technology Transfer (STTR) and Grant Opportunities for Academic Liaison with Industry (GOALI). ENG also provides support for NSF-wide programs including the NSF Innovation Corps (I-Corps™) program, Faculty Early Career Development (CAREER) program, NSF Research Traineeships (NRT), Risk and Resilience, Understanding the Brain (UTB), Innovations at the Nexus of Food, Energy, and Water Systems (INFEWS), and the Inclusion across the Nation of Communities of Learners that have been Underrepresented for Diversity in Engineering and Science (NSF INCLUDES) program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for paying costs to conduct research, such as salaries and wages, equipment and supplies, travel, publication costs, other direct costs, and indirect costs. This program does not provide support for inventions, product development, marketing, pilot plant efforts, technical assistance, or research requiring security classifications. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the principal investigator is responsible for the scientific work. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Except where a program solicitation establishes more restrictive eligibility criteria, individuals and organizations in the following categories may submit proposals: Universities and colleges; Non-profit, non-academic organizations; for-profit organizations; State and local governments; and unaffiliated individuals. See the NSF Grant Proposal Guide, Chapter I.E. for a full description of eligibility requirements: www.nsf.gov/publications/pub_summ.jsp?ods key=gpg.

Beneficiary Eligibility:

NA.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit

the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 (2 CFR Part 220) for educational institutions, No. A-87 (2 CFR Part 225) for State and local governments, and A-122 (2 CFR Part 230) for nonprofit organizations. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination may be required. Environmental impact information may be required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. By electronic submission via FastLane or Grants.gov of a formal proposal, and, in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the Grant Proposal Guide. http://www.nsf.gov/publications/pub_summ.jsp?ods_key=ggp

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientists, engineers, educators, and other appropriate persons who are specialists in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF uses target dates, deadline dates, and submission windows. Consult the Grant Proposal Guide, Chapter I.F. for further information about these types of due dates: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=ggp.

Appeals:

The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal or application.

Renewals:

A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF program officer prior to submission.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Mandatory cost sharing will only be required for NSF programs when explicitly authorized by the NSF Director, the National Science Board, or legislation. In those rare instances cost sharing requirements will be clearly identified in the program solicitation. Inclusion of voluntary, committed cost sharing is prohibited. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award durations of up to 5 years. Method of awarding assistance: Standard or continuing grant, fellowship, or cooperative agreement. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Unless otherwise specified in the award, Annual Project Reports should be submitted at least 90 days prior to the end of the current budget period. Within 90 days following the expiration of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0100-0-1-251.

Obligations:

(Project Grants) FY 16 \$923,530,000; FY 17 est \$946,410,000; and FY 18 est \$833,490,000 - "1) FY 2016 Obligations are the FY 2016 Appropriations Actuals, 2) FY 2017 Obligations estimates are the FY 2017 NSF Current Plan, and 3) FY 2018 Obligations estimates are the FY 2018 NSF Congressional Request."

Range and Average of Financial Assistance:

Range Low \$3,037
Range High \$5,154,845
Average \$146,803.

TAFS Codes:

49-49-2016-2017-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year 2016, 12,577 proposals were received, and 2,505 awards were made. Fiscal Year 2017: In Fiscal Year 2016, approximately 12,600 proposals will be received and 2,330 awards made. Fiscal Year 2018: In Fiscal Year 2018, approximately 12,800 proposals will be received and 2,250 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=papp), which incorporates the Grant Proposal Guide (GPG) and Award & Administration Guide (AAG).

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Darren Dutterer 2415 Eisenhower Avenue, Alexandria, Virginia 22314 Email: dduttere@nsf.gov Phone: 7032924494

Website Address:

<http://nsf.gov/dir/index.jsp?org=eng>

RELATED PROGRAMS:

47.049 Mathematical and Physical Sciences; 47.050 Geosciences; 47.070 Computer and Information Science and Engineering; 47.074 Biological Sciences; 47.075 Social, Behavioral, and Economic Sciences; 47.076 Education and Human Resources; 47.079 International Science and Engineering (OISE); 47.080 Office of Cyberinfrastructure

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program where they will be reviewed if they meet NSF proposals preparation requirements. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with the oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officers discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal.

All NSF proposals are evaluated through use of the two National Science Board (NSB)-approved merit review criteria: intellectual merit and the broader impacts of the proposed effort. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

The two NSB-approved merit review criteria are listed below. The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposals being considered and for which the reviewer is qualified to make judgments. Relevant questions are: (1) What is the intellectual merit of the proposed activity?; (2) How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields?; (3) How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.); (4) To what extent does the proposed activity suggest and explore creative, original, or potentially transformative concepts?; (5) How well conceived and organized is the proposed activity?; (6) Is the sufficient access to resources?; (7) What are the broader impacts of the proposed activity?; (8) How well does the activity advance discovery and understanding while promoting teaching, training, and learning?; (9) How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)?; (10) To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships?; (11) Will the results be disseminated broadly to enhance scientific and technological understanding?; and (12) What may be the benefits of the proposed activity to society?

NSF staff will give careful consideration to the following in making funding decisions:

Integration of Research and Education One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives. Integrating Diversity into NSF Programs, Projects, and Activities Broadening opportunities and enabling the participation of all citizens women and men, underrepresented minorities, and persons with disabilities is essential to health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

47.049 MATHEMATICAL AND PHYSICAL SCIENCES

(MPS)

FEDERAL AGENCY:

National Science Foundation

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.

OBJECTIVES:

To promote the progress of the mathematical and physical sciences and thereby strengthen the Nation's scientific enterprise; to increase the store of scientific knowledge and enhance understanding of major problems confronting the Nation. Most of the research supported is basic in character. The program includes support of research project grants in the following disciplines: astronomical sciences, chemistry, materials research, mathematical sciences, physics, as well as support for symposia and conferences. Basic research in multidisciplinary areas related to these disciplines is especially encouraged. Support is also provided for state-of-the-art user facilities in astronomy, physics, and many areas of materials science; science and technology centers; institutes; undergraduate student research; faculty enhancement; curriculum development; instrumentation; laboratory improvement; and for research opportunities for women, minority, and disabled scientists and engineers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for paying costs necessary to conduct research or studies, such as salaries and wages, permanent equipment, expendable materials and supplies, travel, participant support; publication costs, and other direct and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the principal investigator is responsible for the scientific work. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Except where a program solicitation establishes more restrictive eligibility criteria, individuals and organizations in the following categories may submit proposals: Universities and colleges; Non-profit, non-academic organizations; for-profit organizations; State and local governments; and unaffiliated individuals. See the NSF Grant Proposal Guide, Chapter I.E. for a full description of eligibility requirements: <http://www.nsf.gov/publications/pubsumm.jsp?odskey=gpg>.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 (2 CFR Part 220) for educational institutions, No. A-87 (2 CFR Part 225) for State and local governments, and A-122 (2 CFR Part 230) for nonprofit organizations. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination may be required. Environmental impact information may be required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. By electronic submission via FastLane or Grants.gov of a formal proposal, and, in some programs, a preliminary proposal, describing the

planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the Grant Proposal Guide; http://www.nsf.gov/publications/pub_summ.jsp?ods key=gpg.

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientist, engineers, educators, and other appropriate persons who are specialists in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal or application.

Renewals:

A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF program officer prior to submission.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Mandatory cost sharing will only be required for NSF programs when explicitly authorized by the NSF Director, the National Science Board, or legislation. In those rare instances, cost sharing requirements will be clearly identified in the program solicitation. Inclusion of voluntary, committed cost sharing is prohibited. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award duration of up to 5 years. Method of awarding/releasing assistance: Standard or continuing grant, fellowship, or cooperative agreement. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Annual Project Reports should be submitted at least 90 days prior to the end of the current budget period. Within 90 days following the expiration of the award, a Final Progress Report and a Project Outcomes Report for the General Public must be submitted. Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide; http://www.nsf.gov/publications/pub_summ.jsp?ods key=aag. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503.

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0100-0-1-251.

Obligations:

(Project Grants) FY 16 \$1,348,784,000; FY 17 est \$1,356,025,000; and FY 18 est \$1,219,430,000 - 1) FY 2016 Obligation projections are the FY 2016 NSF Current Plan
2) FY 2016 Obligations are the FY 2016 NSF Appropriations Actual
3) FY 2017 Obligations estimates are the FY 2017 NSF Current Plan
4) FY 2018 Obligations estimates are the FY 2018 NSF Congressional Request.

Range and Average of Financial Assistance:

Range Low \$2,540
Range High \$181,638,052
Average \$158,742.

TAFS Codes:

49-49-2016-2017-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year 2016, approximately 9,200 proposals were received and about 2,400 awards were made. Fiscal Year 2017: In Fiscal Year 2017, approximately 9,300 proposals will be received and about 2,800 awards will be made. Fiscal Year 2018: In Fiscal Year 2018, approximately 9,900 proposals will be received and about 2,300 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposals and Award Policies and Procedures Guide (http://www.nsf.gov/publications/pub_summ.jsp?ods key=papp), which incorporates the Grant Proposal Guide (GPG) and Award & Administration Guide (AAG).

Regional or Local Office:

None.

Headquarters Office:

Clark Cooper 2415 Eisenhower Avenue, Alexandria, Virginia 22314 Email: ccooper@nsf.gov Phone: 7032928800

Website Address:

<http://nsf.gov/dir/index.jsp?org=mps>

RELATED PROGRAMS:

47.041 Engineering Grants; 47.050 Geosciences; 47.070 Computer and Information Science and Engineering; 47.074 Biological Sciences; 47.075 Social, Behavioral, and Economic Sciences; 47.076 Education and Human Resources; 47.079 International Science and Engineering (OISE); 47.083 Office of Integrative Activities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program where they will be reviewed if they meet NSF proposals preparation requirements. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with the oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officers discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal.

All NSF proposals are evaluated through use of the two National Science Board (NSB)-approved merit review criteria: intellectual merit and the broader impacts of the proposed effort. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

The two NSB-approved merit review criteria are listed below. The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposals being considered and for which the reviewer is qualified to make judgments. Relevant questions are: (1) What is the intellectual merit of the proposed activity?; (2) How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields?; (3) How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.); (4) To what extent does the proposed activity suggest and explore creative, original, or potentially transformative concepts?; (5) How well conceived and organized is the proposed activity?; (6) Is the sufficient access to resources?; (7) What are the broader impacts of the proposed activity?; (8) How well does the activity advance discovery and understanding while promoting teaching, training, and learning?; (9) How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)?; (10) To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships?; (11) Will the results be disseminated broadly to enhance scientific and technological understanding?; and (12) What may be the benefits of the proposed activity to society?

NSF staff will give careful consideration to the following in making funding decisions:

Integration of Research and Education One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives. Integrating Diversity into NSF Programs, Projects, and Activities Broadening opportunities and enabling the participation of all citizens women and men, underrepresented minorities, and persons with disabilities is essential to health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

47.050 GEOSCIENCES

(GEO)

FEDERAL AGENCY:

National Science Foundation

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.

OBJECTIVES:

To strengthen and enhance the national scientific enterprise through the expansion of fundamental knowledge and increased understanding of the integrated Earth system through the support of basic research in the atmospheric, earth, and ocean sciences, and in the polar regions. Objectives include the discovery of new knowledge of the atmosphere from the sun to the Earth's surface over the entire spectrum of physical and chemical phenomena; a better understanding of the physical, chemical, and biological character of the Earth and the processes that govern its evolution; increased insight into the world's oceans, their composition, structure, behavior, and tectonics; and fundamental discovery regarding and enabled by the polar regions, in all disciplines supported by NSF. Support is also provided for undergraduate student research, graduate and postdoctoral fellowships, facility enhancement,

instrumentation, and laboratory equipment; and for research opportunities for women, minority, and disabled scientists and engineers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for paying costs necessary to conduct research or studies such as salaries and wages, permanent equipment and supplies, computer services, travel, publication costs, and other direct and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the principal investigator is responsible for the scientific work. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Except where a program solicitation establishes more restrictive eligibility criteria, individuals and organizations in the following categories may submit proposals: Universities and colleges; Non-profit, non-academic organizations; For-profit organizations; State and local governments; and unaffiliated individuals. See the NSF Grant Proposal Guide, Chapter I.E., for a full description of eligibility requirements:

http://www.nsf.gov/publications/pub_summ.jsp?ods_key=ggp.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 (2 CFR Part 220) for educational institutions, No. A-87 (2 CFR Part 225) for State and local governments, and A-122 (2 CFR Part 230) for nonprofit organizations. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination may be required. Environmental impact information may be required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. By electronic submission via FastLane or Grants.gov of a formal proposal, and, in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the Grant Proposal Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=ggp

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientist, engineers, educators, and other appropriate persons who are specialist in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later.

The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal or application.

Renewals:

A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window.

Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Mandatory cost sharing will only be required for NSF programs when explicitly authorized by the NSF Director, the National Science Board, or legislation. In those rare instances, cost sharing requirements will be clearly identified in the program solicitation. Inclusion of voluntary, committed cost sharing is prohibited.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award duration up to 5 years. Method of awarding assistance: Standard or continuing grant, fellowship, or cooperative agreement. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Unless otherwise specified in the award, Annual Project Reports should be submitted at least 90 days prior to the end of the current budget period. Within 90 days following the expiration of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag. Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0100-0-1-251; 49-0551-0-1-251; 49-0100-0-1-054.

Obligations:

(Project Grants) FY 16 \$1,257,859,000; FY 17 est \$1,223,116,000; and FY 18

est \$1,121,490,000 - 1) FY 2016 Obligation projections are the FY 2016 NSF Current Plan

2) FY 2016 Obligations are the FY 2016 NSF Appropriations Actual

3) FY 2017 Obligations estimates are the FY 2017 NSF Current Plan

4) FY 2018 Obligations estimates are the FY 2018 NSF Congressional Request.

Starting in FY 2018 GEO will be reported separate from Polar Programs. The below amounts indicate the break out.

Range and Average of Financial Assistance:

Range Low	\$6,400
Range High	\$40,317,999
Average	\$197,318.

TAFS Codes:

49-49-2016-2017-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year 2016, 4,495 proposals were received, and 1,522 awards were made. Fiscal Year 2017: In Fiscal Year 2017, approximately 6,300 proposals will be received and about 1,600 awards will be made. Fiscal Year 2018: For GEO in Fiscal Year 2018, approximately 4,000 proposals will be received and 1,100 awards will be made.

For Polar in Fiscal Year 2018, approximately 950 proposals will be received and 200 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=papp), which incorporates the Grant Proposal Guide (GPG) and Award & Administration Guide (AAG).

Regional or Local Office:

None.

Headquarters Office:

Melissa Lane 4201 Wilson Blvd., Stafford I-705, Arlington, Virginia 22230
Email: mlane@nsf.gov Phone: 7032928500 Fax: 7032929042

Website Address:

<http://nsf.gov/dir/index.jsp?org=geo>

RELATED PROGRAMS:

11.417 Sea Grant Support; 47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences; 47.070 Computer and Information Science and Engineering; 47.074 Biological Sciences; 47.075 Social, Behavioral, and Economic Sciences; 47.076 Education and Human Resources; 47.079 International Science and Engineering (OISE)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as ad hoc reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included as GPG Exhibit III-1.

A comprehensive description of the Foundations merit review process is available on the NSF website at:

<http://www.nsf.gov/bfa/dias/policy/meritreview/>.

Proposal review is one step in the NSF program planning and implementation process. Embedded in this process are core strategies that are fundamental to the fulfillment of NSF's mission. More information about NSF's mission and strategies can be found in Empowering the Nation Through Discovery and Innovation: NSF Strategic Plan for Fiscal Years (FY) 2011-2016. NSF's

mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities.

A. Merit Review Principles and Criteria

The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes. NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects.

1. Merit Review Principles

These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply:

All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge.

NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These broader impacts may be accomplished through the research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified.

Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind the likely correlation between the effect of broader impacts and the resources provided to implement projects. If the size of the activity is limited, evaluation of that activity in isolation is not likely to be meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the individual project. With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities.

These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent.

2. Merit Review Criteria

All NSF proposals are evaluated through use of two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

The two merit review criteria are listed below. Both criteria are to be given full consideration during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (GPG Chapter II.C.2.d.(i) contains additional information for use by proposers in development of the Project Description section of the proposal.) Reviewers are strongly encouraged to review the criteria, including GPG Chapter II.C.2.d.(i), prior to the review of a proposal.

When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria:

Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and

Broader Impacts: The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes.

The following elements should be considered in the review for both criteria:

1. What is the potential for the proposed activity to:

- a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and
- b. Benefit society or advance desired societal outcomes (Broader Impacts)?
2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts?
3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success?
4. How well qualified is the individual, team, or organization to conduct the proposed activities?
5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities?.

47.070 COMPUTER AND INFORMATION SCIENCE AND ENGINEERING

(CISE)

FEDERAL AGENCY:

National Science Foundation

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.

OBJECTIVES:

To support investigator-initiated research and education in all areas of computer and information science and engineering; advance the development and use of cyberinfrastructure across the science and engineering enterprise; and contribute to the education and training of future generations of scientists and engineers who will dedicate their careers to advancing computing and information research and education as well as cyberinfrastructure.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used to pay costs of conducting research, and obtaining access to advanced computing and networking capabilities, salaries and wages, equipment and supplies, travel, publication costs, other direct costs, and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the principal investigator is responsible for the scientific work. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Except where a program solicitation establishes more restrictive eligibility criteria, individuals and organizations in the following categories may submit proposals: Universities and colleges; Non-profit, non-academic organizations; For-profit organizations; State and local governments; and unaffiliated individuals. See the NSF Grant Proposal Guide, Chapter I.E., for a full description of eligibility requirements:

http://www.nsf.gov/publications/pub_summ.jsp?ods_key=gpg.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 (2 CFR Part 220) for educational institutions, No. A-87 (2 CFR Part 225) for State and local governments, and A-122 (2 CFR Part 230) for nonprofit organizations. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination may be required. Environmental impact information may be required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the

program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. By electronic submission via FastLane or Grants.gov of a formal proposal, and in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the Grant Proposal Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=gpg.

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientists, engineers, educators, and other appropriate persons who are specialists in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal application.

Renewals:

A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Mandatory cost sharing will only be required for NSF programs when explicitly authorized by the NSF Director, the National Science Board, or legislation. In those rare instances, cost sharing requirements will be clearly identified in the program solicitation. Inclusion of voluntary, committed cost sharing is prohibited.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award durations of up to 5 years. Method of awarding assistance: Standard or continuing grant, fellowship, or cooperative agreement. . Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Unless otherwise specified in the award, Annual Project Reports should be submitted at least 90 days prior to the end of the current budget period. Within 90 days following the expiration of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. Grantees are

required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag. Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0100-0-1-251.

Obligations:

(Project Grants) FY 16 \$935,203,000; FY 17 est \$935,403,000; and FY 18 est \$838,920,000 - 1) FY 2016 Obligation projections are the FY 2016 NSF Current Plan

2) FY 2016 Obligations are the FY 2016 NSF Appropriations Actual

3) FY 2017 Obligations estimates are the FY 2017 NSF Current Plan

4) FY 2018 Obligations estimates are the FY 2018 NSF Congressional Request.

Range and Average of Financial Assistance:

Range Low \$1,195

Range High \$16,024,000

Average \$169,264.

TAFS Codes:

49-49-2016-2017-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year 2016, 7,735 proposals were received, and 1,692 awards made. Fiscal Year 2017: In Fiscal Year 2017, approximately 8,400 proposals are expected to be received, and about 1,970 awards will be made. Fiscal Year 2018: In Fiscal Year 2018, approximately 8,550 proposals are expected to be received, and about 1,720 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=papp), which incorporates the Grant Proposal Guide (GPG) and Award & Administration Guide (AAG).

Regional or Local Office:

None.

Headquarters Office:

Carmen Whitson 2415 Eisenhower Avenue, Suite C10000, Alexandria, Virginia 22314 Email: cwhitson@nsf.gov Phone: 7032928900

Website Address:

<http://nsf.gov/dir/index.jsp?org=cise>

RELATED PROGRAMS:

47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences; 47.050 Geosciences; 47.074 Biological Sciences; 47.075 Social, Behavioral,

and Economic Sciences; 47.076 Education and Human Resources; 47.078 Polar Programs; 47.079 International Science and Engineering (OISE)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as ad hoc reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included as GPG Exhibit III-1. A comprehensive description of the Foundations merit review process is available on the NSF website at:

<http://www.nsf.gov/bfa/dias/policy/meritreview/>.

Proposal review is one step in the NSF program planning and implementation process. Embedded in this process are core strategies that are fundamental to the fulfillment of NSF's mission. More information about NSF's mission and strategies can be found in Empowering the Nation Through Discovery and Innovation: NSF Strategic Plan for Fiscal Years (FY) 2011-2016. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities.

A. Merit Review Principles and Criteria

The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes. NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects.

1. Merit Review Principles

These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply:

All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge.

NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These broader impacts may be accomplished through the research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified.

Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind the likely correlation between the effect of broader impacts and the resources provided to implement projects. If the size of the activity is limited, evaluation of that activity in isolation is not likely to be meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the individual project. With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities.

These three merit review principles provide the basis for the merit review

criteria, as well as a context within which the users of the criteria can better understand their intent.

2. Merit Review Criteria

All NSF proposals are evaluated through use of two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

The two merit review criteria are listed below. Both criteria are to be given full consideration during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (GPG Chapter II.C.2.d.(i) contains additional information for use by proposers in development of the Project Description section of the proposal.) Reviewers are strongly encouraged to review the criteria, including GPG Chapter II.C.2.d.(i), prior to the review of a proposal.

When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria:

Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and

Broader Impacts: The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes.

The following elements should be considered in the review for both criteria:

1. What is the potential for the proposed activity to:

a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and

b. Benefit society or advance desired societal outcomes (Broader Impacts)?

2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts?

3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success?

4. How well qualified is the individual, team, or organization to conduct the proposed activities?

5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities?.

47.074 BIOLOGICAL SCIENCES

(BIO)

FEDERAL AGENCY:

National Science Foundation

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.

OBJECTIVES:

To promote the progress of the biological sciences and thereby strengthen the Nation's scientific enterprise; to increase scientific knowledge and enhance understanding of major problems confronting the Nation. Most of the research supported is basic in character. The program includes support of research project grants in the following disciplines: molecular and cellular biosciences; integrative organismal biology; environmental biology; biological infrastructure; and plant genome research. Support is also provided for the purchase of multi-user scientific equipment and instrument development, and for research workshops, symposia, and conferences. In addition, awards are made to support graduate student research across biological sciences, postdoctoral fellowships in research using biological collections and in the area of plant genomics, and postdoctoral fellowships to scientists of groups under-represented in all areas of research supported by the biological sciences.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for paying costs necessary to conduct research or studies such as salaries and wages, permanent equipment, expendable materials and supplies, travel, publication costs, and other direct and indirect costs.

Primary responsibility for general supervision of all grant activities rests with the grantee institution; the principal investigator is responsible for the scientific work. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Except where a program solicitation establishes more restrictive eligibility criteria, individuals and organizations in the following categories may submit proposals: Universities and colleges; Non-profit, non-academic organizations; For-profit organizations; State and local governments; and unaffiliated individuals. See the NSF Grant Proposal Guide, Chapter I.E., for a full description of eligibility requirements:

<http://www.nsf.gov/publications/pub summ.jsp?ods key=gpg>.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 (2 CFR Part 220) for educational institutions, No. A-87 (2 CFR Part 225) for State and local governments, and A-122 (2 CFR Part 230) for nonprofit organizations. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination may be required. Environmental impact information may be required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. By electronic submission via FastLane or Grants.gov of a formal proposal, and in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the Grant Proposal Guide: <http://www.nsf.gov/publications/pub summ.jsp?ods key=gpg>

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientists, engineers, educators, and other appropriate persons who are specialists in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether the proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's

recommendation.

Appeals:

The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal application.

Renewals:

A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Mandatory cost sharing will only be required for NSF programs when explicitly authorized by the NSF Director, the National Science Board, or legislation. In those rare instances, cost sharing requirements will be clearly identified in the program solicitation. Inclusion of voluntary, committed cost sharing is prohibited.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award durations of up to 5 years. Method of awarding assistance Standard or continuing grant, fellowship, or cooperative agreement. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Annual Project Reports should be submitted at least 90 days prior to the end of the current budget period. Within 90 days following the expiration of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide: <http://www.nsf.gov/publications/pub summ.jsp?ods key=aag>. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0100-0-1-251.

Obligations:

(Project Grants) FY 16 \$723,780,000; FY 17 est \$741,582,000; and FY 18 est \$672,110,000 - "1) FY 2016 Obligation projections are the FY 2016 NSF Current Plan
2) FY 2016 Obligations are the FY 2016 NSF Appropriations Actual
3) FY 2017 Obligations estimates are the FY 2017 NSF Current Plan
4) FY 2018 Obligations estimates are the FY 2018 NSF Congressional Request".

Range and Average of Financial Assistance:

Range Low	\$3,780
Range High	\$6,840,000
Average	\$194,361.

TAFS Codes:

49-49-2016-2017-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year 2016, 5,208 proposals were received, and 1,332 awards made. Fiscal Year 2017: In Fiscal Year 2017, approximately 5,200 proposals are expected to be received, and about 1,200 awards will be made. Fiscal Year 2018: In Fiscal Year 2018, approximately 5,200 proposals are expected to be received, and about 1,200 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide (<http://www.nsf.gov/publications/pubsumm.jsp?odskey=papp>), which incorporates the Grant Proposal Guide (GPG) and Award & Administration Guide (AAG).

Regional or Local Office:

None.

Headquarters Office:

Montana Futrell-Griggs 2415 Eisenhower Avenue, Alexandria, Virginia 22314
Email: mfutrell@nsf.gov Phone: 7032927162

Website Address:

<http://nsf.gov/dir/index.jsp?org=bio>

RELATED PROGRAMS:

47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences; 47.050 Geosciences; 47.070 Computer and Information Science and Engineering; 47.075 Social, Behavioral, and Economic Sciences; 47.076 Education and Human Resources; 47.078 Polar Programs; 47.079 International Science and Engineering (OISE); 84.120 Minority Science and Engineering Improvement

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program where they will be reviewed if they meet NSF proposals preparation requirements. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with the oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officers discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal.

All NSF proposals are evaluated through use of the two National Science Board (NSB)-approved merit review criteria: intellectual merit and the broader impacts of the proposed effort. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

The two NSB-approved merit review criteria are listed below. The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposals being considered and for which the reviewer is qualified to make judgments. Relevant questions are: (1) What is the intellectual merit of the proposed activity?; (2) How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields?; (3) How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.); (4) To what extent does the proposed activity suggest and explore creative, original, or potentially transformative concepts?; (5) How well conceived and organized is the proposed activity?; (6) Is the sufficient access to resources?; (7) What are the broader impacts of the proposed activity?; (8) How well does the activity advance discovery and understanding while promoting teaching, training, and learning?;

(9) How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)?; (10) To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships?; (11) Will the results be disseminated broadly to enhance scientific and technological understanding?; and (12) What may be the benefits of the proposed activity to society?

NSF staff will give careful consideration to the following in making funding decisions:

Integration of Research and Education One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives. Integrating Diversity into NSF Programs, Projects, and Activities Broadening opportunities and enabling the participation of all citizens women and men, underrepresented minorities, and persons with disabilities is essential to health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

47.075 SOCIAL, BEHAVIORAL, AND ECONOMIC SCIENCES

(SBE)

FEDERAL AGENCY:

National Science Foundation

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C 1861 et seq.

OBJECTIVES:

To contribute to the scientific strength and welfare of the Nation through the promotion of basic research and education in the social, behavioral and economic sciences and through monitoring and understanding the resources invested in science and engineering in the United States. Supported activities include research and education in the following disciplines: Anthropological and geographic sciences; cognitive, psychological, learning, developmental, and language sciences; economics, decision risk and management sciences; sociology, political sciences; innovation and organizational change, measurement methods and statistics, law and social science and science and society. Other SBE grants build infrastructure, and develop methods, support center-scale investments, and fund research workshops, symposia, and conferences. Educational activities include awards to improve the quality of doctoral dissertations in the behavioral and social sciences; grants for graduate traineeships and postdoctoral fellowships; and grants to promote K-12 education in the SBE sciences. Support is also provided for junior faculty and mid-career development opportunities, undergraduate student research, and for research opportunities for women, minorities, and the differentially abled. The Directorate also funds science studies; studies of scientists and engineers, R&D funding and expenditures, and educational attainment in science, mathematics and engineering.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Programs in social, behavioral and economic sciences and in science resources statistics provide funds that may be used for paying costs necessary to conduct research or studies, such as salaries and wages, equipment and supplies, travel, publication costs, and other direct and indirect costs. Primary responsibility for the supervision of grant activities rests with the grantee institution; the project director or principal investigator is responsible for the execution of the research activities, as well as for submitting progress and final reports on research activities. Grants are made on a competitive basis. Funds must be used for purposes specified in the proposal.

Applicant Eligibility:

Except where a program solicitation establishes more restrictive eligibility criteria, individuals and organizations in the following categories may submit

proposals: Universities and colleges; Non-profit, non-academic organizations; For-profit organizations; State and local governments; and unaffiliated individuals. See the NSF Grant Proposal Guide, Chapter I.E., for a full description of eligibility requirements: <http://www.nsf.gov/publications/pubsumm.jsp?odskey=ggg>.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination may be required. Environmental impact information may be required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. By electronic submission via FastLane or Grants.gov of a formal proposal, and in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the Grant Proposal Guide. <http://www.nsf.gov/publications/pubsumm.jsp?odskey=ggg>.

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientists, engineers, educators, and other appropriate persons who are specialists in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. This time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal application.

Renewals:

A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Mandatory cost sharing will only be required for NSF programs when explicitly authorized by the NSF Director, the National Science Board, or legislation. In those rare instances, cost sharing requirements will be clearly identified in the program solicitation. Inclusion of voluntary, committed cost sharing is prohibited.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award duration of up to 5 years. Method of awarding assistance: Standard or continuing grant, fellowship, or cooperative agreement. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Annual Project Reports should be submitted at least 90 days prior to the end of the current budget period. Within 90 days following the expiration of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide:

<http://www.nsf.gov/publications/pubsumm.jsp?odskey=aag>. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of, "2 CFR 200, Subpart F Audit Requirements, a non-Federal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or a program-specific audit conducted for that year. A non-Federal entity that expends less than \$750,000 during the non-Federal entity's fiscal year in Federal is exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0100-0-1-251.

Obligations:

(Project Grants) FY 16 \$272,200,000; FY 17 est \$269,820,000; and FY 18 est \$244,020,000 - 1) FY 2016 Obligations are the FY 2016 Appropriations Actuals;

2) FY 2017 Obligations estimates are the FY 2017 NSF Current Plan; and 3) FY 2018 Obligations estimates are the FY 2018 NSF Congressional Request.

Range and Average of Financial Assistance:

Range Low	\$577
Range High	\$6,762,243
Average	\$104,146.

TAFS Codes:

49-49-2016-2017-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year 2016, 4,177 proposals were received, and 991 awards made. Fiscal Year 2017: In Fiscal Year 2017, approximately 4,200 proposals will be received, and about 1,000 awards will be made. Fiscal Year 2018: In Fiscal Year 2018, approximately 4,200 proposals will be received and about 900 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide (<http://www.nsf.gov/publications/pubsumm.jsp?odskey=papp>); which

incorporates the Grant Proposal Guide (GPG) and Award & Administration Guide (AAG).

Regional or Local Office:

None.

Headquarters Office:

Deborah Livingston 2415 Eisenhower Avenue
, Alexandria, Virginia 22314 Email: dlivings@nsf.gov Phone: 7032928700

Website Address:

<http://nsf.gov/dir/index.jsp?org=sbe>

RELATED PROGRAMS:

47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences; 47.050 Geosciences; 47.070 Computer and Information Science and Engineering; 47.074 Biological Sciences; 47.076 Education and Human Resources; 47.078 Polar Programs; 47.079 International Science and Engineering (OISE); 47.083 Office of Integrative Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program where they will be reviewed if they meet NSF proposals preparation requirements. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with the oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officers discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal.

All NSF proposals are evaluated through use of the two National Science Board (NSB)-approved merit review criteria: intellectual merit and the broader impacts of the proposed effort. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

The two NSB-approved merit review criteria are listed below. The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposals being considered and for which the reviewer is qualified to make judgments. Relevant questions are: (1) What is the intellectual merit of the proposed activity?; (2) How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields?; (3) How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.); (4) To what extent does the proposed activity suggest and explore creative, original, or potentially transformative concepts; (5) How well conceived and organized is the proposed activity?; (6) Is the sufficient access to resources?; (7) What are the broader impacts of the proposed activity?; (8) How well does the activity advance discovery and understanding while promoting teaching, training, and learning?; (9) How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.); (10) To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships?; (11) Will the results be disseminated broadly to enhance scientific and technological understanding?; and (12) What may be the benefits of the proposed activity to society?

NSF staff will give careful consideration to the following in making funding decisions:

Integration of Research and Education One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and

research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives. Integrating Diversity into NSF Programs, Projects, and Activities Broadening opportunities and enabling the participation of all citizens women and men, underrepresented minorities, and persons with disabilities is essential to health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

47.079 OFFICE OF INTERNATIONAL SCIENCE AND ENGINEERING (OISE)

FEDERAL AGENCY:

National Science Foundation

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.

OBJECTIVES:

To enable the U.S. to maintain its leadership within the global scientific community by strengthening international partnerships to advance scientific discovery and contribute to the scientific strength and welfare of the Nation; to promote research excellence through international collaboration; to develop a diverse, globally engaged U.S. science and engineering workforce by providing U.S. students and faculty with international research and education experiences. The research supported is basic in character. OISE programs includes support of international research and education projects that are innovative and catalytic. OISE programs complement and enhance the Foundation's research and education portfolio to overcome barriers involved in international collaboration. Grants are made in all the disciplinary fields supported by NSF. Support is provided for international collaborative research; research workshops and planning visits; activities that will develop the next generation of U.S. scientists and engineers such as graduate traineeships, postdoctoral fellowships, special opportunities for junior faculty for research support, and dissertation enhancements; and for U.S. Government support to key multilateral organizations. Support is provided for undergraduate student international research and education experiences, and for research opportunities for women, minorities, and disabled scientists and engineers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

International cooperative scientific activities provide funds for U.S. scientists and engineers to carry out studies abroad, to conduct research, to engage in joint research projects with foreign counterpart organizations, and to support international workshops focused on well-defined scientific opportunities in the United States and abroad; travel must be on U.S. flag carriers. In some programs, support is provided by paying costs necessary to conduct research, such as salaries, equipment, supplies, travel, publications, and other direct and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the project director or principal investigator is responsible for the execution of the research activities. Grants are made on a competitive basis. For all programs, funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Individuals and organizations in the following categories may submit proposals: Universities and colleges; Non-profit, non-academic organizations; For-profit organizations; State and local governments; and unaffiliated individuals. See the NSF Grant Proposal Guide, Chapter I.E., for a full description of eligibility requirements: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=gpg.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Applicants must show evidence of ability such as academic records, letters of

recommendation, graduate record examination scores, and grade point average. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination may be required. Environmental impact information may be required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. By electronic submission via FastLane or Grants.gov of a formal proposal, and in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the Grant Proposal Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods key=pgg.

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientist, engineers, educators and other appropriate persons who are specialist in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal application.

Renewals:

A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Mandatory cost sharing will only be required for NSF programs when explicitly authorized by the NSF Director, the National Science Board, or legislation. In those rare instances, cost sharing requirements will be clearly identified in the pgram solicitation. Inclusion of voluntary, committed cost sharing is prohibited. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award durations of up to 5 years. Method of awarding assistance: Standard or continuing grant, fellowship, or cooperative agreement. Please note: EPSCoR assistance is limited to continuing grants and cooperative agreements. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Annual Project Reports should be submitted at least 90 days prior to the end of the current budget period. Within 90 days following the expiration of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods key=aag. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of," 2 CFR 200. Subpart F Audit Requirements, a non-Federal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or a program-specific audit conducted for that year. A non-Federal entity that expends less than \$750,000 during the non-Federal entity's fiscal year in Federal is exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0100-0-1-251.

Obligations:

(Project Grants) FY 16 \$49,070,000; FY 17 est \$48,980,000; and FY 18 est \$44,020,000 - 1) FY 2016 Obligation projections are the FY 2016 NSF Current Plan

2) FY 2016 Obligations are the FY 2016 NSF Appropriations Actual

3) FY 2017 Obligations estimates are the FY 2017 NSF Current Plan

4) FY 2018 Obligations estimates are the FY 2018 NSF Congressional Request.

Range and Average of Financial Assistance:

Range Low	\$400
Range High	\$523,000
Average	\$20,150.

TAFS Codes:

49-49-2016-2017-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year 2016, 314 proposals were received, and 237 awards made. Fiscal Year 2017: In Fiscal Year 2017, 620 proposals will be received, and 310 awards will be made. Fiscal Year 2018: In Fiscal Year 2018, 400 proposals will be received, and 300 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide: (<http://www.nsf.gov/publications/pubsumm.jsp?ods key=papp>), which incorporates the Grant Proposal Guide (GPG) and Award & Administration Guide (AAG).

Regional or Local Office:

None.

Headquarters Office:

Simona Gilbert 2415 Eisenhower Avenue, Suite W17220, Alexandria, Virginia
22314 Email: sgilbert@nsf.gov Phone: 703-292-7216

Website Address:

<http://nsf.gov/dir/index.jsp?org=oise>

RELATED PROGRAMS:

47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences;
47.050 Geosciences; 47.070 Computer and Information Science and
Engineering; 47.074 Biological Sciences; 47.075 Social, Behavioral, and
Economic Sciences; 47.076 Education and Human Resources; 47.078 Polar
Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current
Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as ad hoc reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included as GPG Exhibit III-1. A comprehensive description of the Foundations merit review process is available on the NSF website at:

<http://www.nsf.gov/bfa/dias/policy/meritreview/>. Proposal review is one step in the NSF program planning and implementation process. Embedded in this process are core strategies that are fundamental to the fulfillment of NSF's mission. More information about NSF's mission and strategies can be found in Empowering the Nation Through Discovery and Innovation: NSF Strategic Plan for Fiscal Years (FY) 2011-2016. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities. A. Merit Review Principles and Criteria; The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes. NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects. 1. Merit Review Principles; These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply: All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge. NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These broader impacts may be accomplished through the research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified. Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind the likely correlation between the effect of broader impacts and the resources provided to implement projects. If

the size of the activity is limited, evaluation of that activity in isolation is not likely to be meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the individual project.

With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities. These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent.

2. Merit Review Criteria. All NSF proposals are evaluated through use of two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. The two merit review criteria are listed below. Both criteria are to be given full consideration during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (GPG Chapter II.C.2.d.(i) contains additional information for use by proposers in development of the Project Description section of the proposal.) Reviewers are strongly encouraged to review the criteria, including GPG Chapter II.C.2.d.(i), prior to the review of a proposal.

When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria: Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and Broader Impacts: The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes.

The following elements should be considered in the review for both criteria:

1. What is the potential for the proposed activity to: a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and b. Benefit society or advance desired societal outcomes (Broader Impacts)?
2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts?
3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success?
4. How well qualified is the individual, team, or organization to conduct the proposed activities?
5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities?.

47.083 OFFICE OF INTEGRATIVE ACTIVITIES

(OIA)

FEDERAL AGENCY:

National Science Foundation

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.

OBJECTIVES:

To enhance the competitiveness of the Nation's research through activities that build capacity for science and engineering and broaden participation in research and education. The program is intended to incubate new ideas and communities, support innovation in research and NSF's own processes, and to promote integration across research and education domains. Support is provided to develop human and infrastructure capacity critical to the U.S. science and engineering enterprise; to catalyze new cross-cutting programs; to expand NSF's capability to gather and use evidence about the progress and impacts of its programs; for prestigious honorary award programs and professional internships for aspiring scientists and engineers; and for policy and programmatic analysis for the NSF Director, Deputy Director and Chief Operating Officer.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Primary responsibility for general supervision of all grant activities rests with the grantee institution; the project director or principal investigator is responsible for the execution of the research activities. Grants are made on a competitive basis. For all programs, funds may not be used for purposes other than those specified in the proposal. EPSCoR Funds may be used to pay the costs of conducting research, product development, resources, tools and services, as salaries and wages, equipment and supplies, travel, publication costs, other direct costs, and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

For non-EPSCoR grants, except where a program solicitation establishes more restrictive eligibility criteria, individuals and organizations in the following categories may submit proposals: Universities and colleges; Non-profit, non-academic organizations; For-profit organizations; State and local governments; and unaffiliated individuals. See the NSF Grant Proposal Guide, Chapter I.E., for a full description of eligibility requirements: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=gpg.

EPSCoR eligibility is based on two primary considerations: (1) a jurisdiction's demonstrated commitment to develop its research bases and to improve the quality of science, technology, engineering, and mathematics (STEM) research conducted at its universities and colleges, and (2) a jurisdiction's most recent three-year history of research funds awarded by NSF relative to the Foundations total research budget for that same period. Regarding the second consideration, a jurisdiction is eligible to participate in EPSCoR programs if its level of research support is equal to or less than 0.75 percent of the total NSF research budget for that same period. Adjustments are made in the rare instances where a single large NSF-funded national or international facility skews the data.

Beneficiary Eligibility:

Except where a program solicitation establishes more restrictive eligibility criteria, individuals and organizations in the following categories may submit proposals: Universities and colleges; Non-profit, non-academic organizations; for-profit organizations; State and local governments; and unaffiliated individuals. See the NSF Grant Proposal Guide, Chapter I.E. for a full description of eligibility requirements: www.nsf.gov/publications/pub_summ.jsp?ods_key=gpg.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Applicants for non-EPSCoR fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination may be required. Environmental impact information may be required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientist, engineers, educators and other appropriate persons who are specialist in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the

submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal application.

Renewals:

A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Mandatory cost sharing will only be required for NSF programs when explicitly authorized by the NSF Director, the National Science Board, or legislation. In those rare instances cost sharing requirements will be clearly identified in the program solicitation. Inclusion of voluntary, committed cost sharing is prohibited. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award durations of up to 5 years. Method of awarding assistance: Standard or continuing grant, fellowship, or cooperative agreement. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Unless otherwise specified in the award, Annual Project Reports should be submitted at least 90 days prior to the end of the current budget period. Within 90 days following the expiration of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of, "2 CFR 200, Subpart F - Audit Requirements, a non-Federal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or a program-specific audit conducted for that year. A non-Federal entity that expends less than \$750,000 during the non-Federal entity's fiscal year in Federal is exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0100-0-1-251.

Obligations:

(Project Grants) FY 16 \$426,570,000; FY 17 est \$432,250,000; and FY 18 est \$315,740,000 - "1) FY 2016 Obligation projections are the FY 2016 NSF Current Plan
2) FY 2016 Obligations are the FY 2016 NSF Appropriations Actual
3) FY 2017 Obligations estimates are the FY 2017 NSF Current Plan
4) FY 2018 Obligations estimates are the FY 2018 NSF Congressional Request".

Range and Average of Financial Assistance:

Range (Low): \$21,300
Range (High): \$4,000,000
Average: \$963,463.

TAFS Codes:

49-2016-2017-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Patricia Page 2415 Eisenhower Avenue, Suite W17100, Alexandria, Virginia
22314 Email: ppage@nsf.gov Phone: 703-292-8040

Website Address:

No Data Available

RELATED PROGRAMS:

47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences;
47.050 Geosciences; 47.070 Computer and Information Science and
Engineering; 47.074 Biological Sciences; 47.075 Social, Behavioral, and
Economic Sciences; 47.076 Education and Human Resources; 47.078 Polar
Programs; 47.079 International Science and Engineering (OISE)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

RAILROAD RETIREMENT BOARD**57.001 SOCIAL INSURANCE FOR RAILROAD WORKERS****FEDERAL AGENCY:**

Railroad Retirement Board

AUTHORIZATION:

Railroad Retirement Act of 1974, Public Law 93-445, 88 Stat. 1305, 45 U.S.C 231; Social Security Act of 1935, Public Law 74-271, 49 Stat. 620, 42 U.S.C 7; Railroad Unemployment Insurance Act, Public Law 75-722, 52 Stat. 1094, 45 U.S.C 351.

OBJECTIVES:

To pay rail social security level benefits (tier I), benefits based on rail service alone (tier II), vested dual benefits, supplemental annuities, annuities based on total or occupational disability, and sickness and unemployment benefits to railroad workers and, in some cases, their families to assist with expenses of daily living.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Under the provisions of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, benefits are provided for: (1) workers who retire because of age or disability, including supplemental annuities for career railroad employees; (2) eligible spouses and divorced spouses of retired employees; (3) surviving widows, widowers, divorced spouses, children, and dependent parents of deceased employees; (4) unemployed workers; and (5) workers who are sick or injured. In addition, the Railroad Retirement Board

participates in the administration of the Medicare program, which covers railroad retirement beneficiaries on the same basis as other eligible persons.

Applicant Eligibility:

Under the Railroad Retirement Act for employee, spouse and survivor benefits, the employee must have had 10 or more years of railroad service or, for annuities beginning January 2002 or later, 5 years of railroad service rendered after 1995. For survivors to be eligible for benefits, the employee must also have been insured at death. Under the Railroad Unemployment Insurance Act, an employee must have earned at least \$3,637.50 in calendar years 2015 and 2016, and \$3,862.50 in 2017 (counting no more than \$1,455 in calendar years 2015 and 2016, and \$1,545 in 2017) and, if a new employee, must have worked for a railroad at least 5 months in a calendar (base) year to be a qualified employee in the applicable benefit year.

Beneficiary Eligibility:

Individuals, families, pension recipients.

Credentials/Documentation:

Proof of age must be provided for retirement benefits. For spouse and survivor benefits, proof of marriage and familial relationship is required. Medical evidence is necessary for disability and sickness benefits. Registration is required in order to receive unemployment benefits. Other proofs, such as military service, must also be submitted as required by law. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application for retirement, disability, survivor, unemployment or sickness benefits should be made to any office of the Railroad Retirement Board. Individuals can call (1-877) 772-5772 for the location of the nearest office. Application for unemployment benefits can also be made online see www.rrb.gov.

Award Procedure:

The Office of Programs makes awards under the Railroad Retirement Act and the Railroad Unemployment Insurance Act. After review of the application is completed, the applicant will be notified by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending upon complexity of claim.

Appeals:

From 30 to 60 days. Initial appeals are made to the agency's Reconsideration Section, with subsequent appeals to the Bureau of Hearings and Appeals, the Board and the U.S. Court of Appeals.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Benefits are paid on a one-time or recurring basis as long as eligibility is maintained. The death of the recipient generally terminates payment of benefits. See the following for information on how assistance is awarded/released: Section 093 (ante) provides information on the award process, with payments released on a monthly, periodic or lump-sum basis.

Reports:

Any change in address or eligibility requirements (such as the death of a recipient, work status, excess earnings, marital/family status, medical condition or school attendance) should be reported to the Railroad Retirement Board when it occurs. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

60-8011-0-7-601 - Railroad Retirement Account; 60-8051-0-7-603 - Railroad Unemployment Insurance Account; 60-8010-0-7-601 - Social Security Equivalent Benefit Account; 60-0111-0-1-601 - Dual Benefits Payments Account.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$12,594,000,000; FY 17 est \$12,608,000,000; and FY 18 est \$13,019,000,000

Range and Average of Financial Assistance:

(1) Employee initially awarded age annuities-monthly maximum \$4,925, average \$2,717; (2) employee disability-monthly maximum \$4,876, average \$2,688; (3) employee supplemental annuities-monthly maximum \$70, average \$42; (4) married spouse benefits-monthly maximum \$2,353, average \$1,018; (5) widows and widowers-monthly maximum \$4,701, average \$1,641; (6) widowed mothers and fathers-monthly maximum \$4,155, average \$1,868; (7) children-monthly maximum \$2,724, average \$1,079; (8) unemployment and sickness-weekly maximum for benefit year 2017-2018 \$336, expected average \$336.

TAFS Codes:

60-8010; 60-8051; 60-0111; 60-8011.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: About 553,000 individuals received benefits under the Railroad Retirement Act and approximately 33,000 received unemployment and sickness benefits. Fiscal Year 2017: An estimated 549,000 individuals should receive benefits under the Railroad Retirement Act and 29,000 should receive unemployment and sickness benefits. Fiscal Year 2018: An estimated 546,000 individuals should receive benefits under the Railroad Retirement Act and 27,000 should receive unemployment and sickness benefits.

REGULATIONS, GUIDELINES, AND LITERATURE:

Benefits paid under the Railroad Retirement Act and the Railroad Unemployment Insurance Act are covered in Chapter 20 of the Code of Federal Regulations, Parts 200 through 350. Information on benefits is also available in press releases and various publications. They can be obtained free of charge at any Railroad Retirement Board office and on the agency website (www.rrb.gov). Information on extended unemployment benefits is also available at the Recovery Act website (www.recovery.gov).

Regional or Local Office:

See Regional Agency Offices. The Railroad Retirement Board has 53 local offices around the country. To contact or obtain the address for the nearest office, call (1-877) 772-5772.

Headquarters Office:

Railroad Retirement Board 844 North Rush Street, Chicago, Illinois 60611-2092 Email: opa@rrb.gov Phone: (312) 751-4777 Fax: (312) 751-7154

Website Address:

<http://www.rrb.gov>

RELATED PROGRAMS:

17.225 Unemployment Insurance; 93.773 Medicare_Hospital Insurance; 93.774 Medicare_Supplementary Medical Insurance; 96.001 Social Security_Disability Insurance; 96.002 Social Security_Retirement Insurance; 96.004 Social Security_Survivors Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

SMALL BUSINESS ADMINISTRATION

59.006 8(A) BUSINESS DEVELOPMENT PROGRAM

(Section 8(a) Program)

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Small Business Act, Section 8(a) (15 U.S.C. 637(a)), Public Law 100-656.

OBJECTIVES:

To foster business ownership by individuals who are both socially and economically disadvantaged; and to promote the competitive viability of such firms by providing business development and mentoring assistance including, but not limited to, management and technical assistance, access to capital and other forms of financial assistance, business training and counseling, and access to sole source and limited competition Federal contract opportunities, to help the firms to achieve competitive viability.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

This program utilizes authority provided to SBA under Section 7(j) and 8(a) of the Small Business Act to enter into procurement contracts with other Federal agencies and to subcontract the performance of these contracts to eligible program participants, to provide access to capital and other forms of financial assistance, and to provide business training and counseling.

Applicant Eligibility:

Firms applying for 8(a) program participation must meet certain requirements which include, but are not limited to: (a) Status as a small business; (b) at least 51 percent unconditional ownership, control and management of the business by an American citizen(s) determined by SBA to be socially and economically disadvantaged, or by an economically disadvantaged Indian Tribe, Alaska Native Corporation, or Native Hawaiian Organization; and (c) demonstrated potential for success; and (d) possess good character. Absent evidence to the contrary, the following individuals are presumed to be socially disadvantaged: African Americans, Hispanic Americans, Native Americans, Asian Pacific Americans and Subcontinent Asian Americans. Individuals who are not members of the named groups may establish their social disadvantage on the basis of a preponderance of evidence of personal disadvantage stemming from color, national origin, gender, physical handicap, long-term residence in an environment isolated from the American society, or other similar cause beyond the individual's control. Economic disadvantage must be demonstrated on a case-by-case basis.

Beneficiary Eligibility:

Socially and economically disadvantaged individuals and small businesses owned and operated by such individuals; economically disadvantaged Indian tribes including Alaskan Native Corporations and economically disadvantaged Native Hawaiian organizations.

Credentials/Documentation:

Documentation to establish that all program eligibility criteria are met. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Electronic applications are made to SBA offices through

SBA's webpage at www.sba.gov. Detailed instructions, and if necessary, assistance in completing application is available at SBA District offices and on SBA's home page at <http://www.sba.gov> and https://cweb.sba.gov/gls/dsp_addcustomer.cfm

Award Procedure:

Applicant is notified of program participation approval or decline by a letter from the SBA headquarters office. Broad range of business development assistance, including procurement, and access to capital and other forms of financial assistance and business training and counseling is provided to approved program participants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 80 days.

Appeals:

Within 45 days of the date of decline letter, applicant has right to request that the decision be reconsidered. For applications declined solely on the basis of negative finding with regard to social disadvantage, economic disadvantage, ownership or control of business by socially or economically disadvantaged individuals, the applicant within 45 days of the date of the decline letter or reaffirmation of decline, has a right to appeal the decision to the SBA's Office of Hearing and Appeals. In addition, applicant firms may reapply 12 months after SBA decline.

Renewals:

Additional information available on SBA's website at sba.gov.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Maximum of 9 years. See the following for information on how assistance is awarded/released: Additional information available on SBA's website at www.sba.gov.

Reports:

Additional information available on SBA's website at www.sba.gov. See www.sba.gov. Check on www.sba.gov. Please see www.sba.gov. Additional information available on SBA's website at www.sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Program participation audits as needed to evaluate progress. Contract audits as and when required by Federal Acquisition Regulations.

Records:

Standard business/accounting records.

Account Identification:

73-0100-0-1-376.

Obligations:

(Provision of Specialized Services) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Additional information available on SBA's website at www.sba.gov.

TAFS Codes:

73-0100-376.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 911 applicants approved into the 8(a) BD Program. Fiscal Year 2017: FY 2017: 430 applications approved year to date. Fiscal Year 2018:

N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

SBA Rules and Regulations, 13 CFR Section 124, SOP 80-05-02.

Regional or Local Office:

See Regional Agency Offices. Initial contact should be made with the field offices listed in Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Ajoy Sinha 409 3rd. Street, S.W., 8th Floor, Washington, District of Columbia 20416 Email: Ajoy.Sinha@sba.gov Phone: 202-205-1904

Website Address:

<http://www.sba.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.007 7(J) TECHNICAL ASSISTANCE

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Small Business Act, Section 7(j) (15 U.S.C. 636(j)), Public Law 85-536.

OBJECTIVES:

The purpose of the program is to provide Business Development Assistance for Small Disadvantaged Businesses. SBA performs this mission by entering into grants, cooperative agreements and contracts with qualified service providers who have the capability to provide business development assistance to eligible businesses and individuals under Sections 7(i), 7(j) and 8(a) of the Small Business Act.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial assistance under this Section may be given for projects that respond to requirements as outlined in each respective program solicitation announcement, or for an unsolicited proposal that could provide valuable business development assistance for 8(a) and other socially and economically disadvantaged small businesses and individuals. Types of business development assistance may include: Accounting and marketing services, proposal/bid preparation workshops, feasibility studies, marketing/presentation analyses and advertising expertise, loan packaging, cyber security training, industry specific technical assistance and other specialized management training and technical services. The program funds can also be used to establish and strengthen business service agencies, including trade associations and cooperatives. The 7(j) program funds are not available to finance or purchase a business nor are they available to use as expansion capital for an existing business.

Applicant Eligibility:

Educational institutions, public or private organizations and businesses, individuals, State and local governments, Indian tribes and lending and financial institutions and sureties that have the capability to provide the required business development assistance.

Beneficiary Eligibility:

8(a) program certified firms, small disadvantaged businesses, businesses operating in areas of low-income or high-unemployment, and firms owned by low-income individuals like Economically Disadvantaged Women Owned Businesses.

Credentials/Documentation:

As specified in the individual "Request for Application Proposals." Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2

CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application proposal forwarded to District Office Director for appropriate geographic area. See Appendix IV of the Federal Domestic Assistance Catalog.

Award Procedure:

Decisions on acceptance are made by the Office of Procurement and Grants Management based upon recommendations of the Director for Management and Technical Assistance, Office of Business Development. The Contracting Officer or Grants Management Officer notifies successful applicants by Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies.
<https://www.sba.gov/about-sba/sba-initiatives/7j-management-and-technical-assistance-services-program>.

Appeals:

See additional information at www.sba.gov.

Renewals:

Contact the Federal agency. See additional information at www.sba.gov.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made for a maximum of 1 year with options as stated in the individual announcements. Disbursements are made within a few days of receipt of all required documents along with the request for disbursement. Disbursements are made in partial payments based on work successfully performed. See the following for information on how assistance is awarded/released: See additional information at www.sba.gov.

Reports:

Information available at www.sba.gov. See information at www.sba.gov. Information available at www.sba.gov. See additional information at www.sba.gov. See additional information at www.sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Pre-award accounting system survey. Final audit by a certified or licensed public accountant.

Records:

Appropriate records as needed for above requirements.

Account Identification:

73-0400-0-1-376.

Obligations:

(Project Grants) FY 16 \$1,407,000; FY 17 est \$2,800,000; and FY 18 est \$2,800,000 - See additional information at www.sba.gov.

Range and Average of Financial Assistance:

No data available.

TAFS Codes:

73-0400-376.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FY 2016: 5,709. Increased the utilization of 7j training in FY 16 by 389 additional individuals trainings over 5709 in comparison with 5,320 in FY 2015. Fiscal Year 2017: 4,820 - year to date. Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fact sheet upon request.

Regional or Local Office:

See Regional Agency Offices. SBA District Offices listed in Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration. See District Offices and contact info at <https://www.sba.gov/tools/local-assistance/districtoffices>.

Headquarters Office:

Ajoy Sinha 409 3rd. Street, S.W., 8th Floor, Washington, District of Columbia 20416 Email: Ajoy.Sinha@sba.gov Phone: 202-205-1904

Website Address:

<http://www.sba.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.008 DISASTER ASSISTANCE LOANS

(7(b) Loans (DL))

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Small Business Act, Section 7(b) (15 U.S.C. 636(b)), Public Law 91-606.

OBJECTIVES:

To provide loans to the survivors of declared disasters for uninsured or otherwise uncompensated physical damage and economic injury.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

Loans made to businesses of all sizes, nonprofit organizations, homeowners and renters to repair or replace damaged or destroyed real property and/or personal property to its pre-disaster condition. Credit elsewhere test required for all applicants to determine interest rate. Loans to homeowners/tenants are made for up to 30 years. For homeowners, limit of \$200,000 for repair/replacement of real property; for homeowners and renters, limit of \$40,000 for repair/replacement of personal property. The loan limit may be increased to provide post-disaster mitigation. Loans to business generally may not exceed \$2,000,000. For businesses determined to be able to obtain credit elsewhere, maturities may not exceed 7 years. For businesses determined to be unable to obtain credit elsewhere and for nonprofit organizations, maturities may not exceed 30 years. Under certain circumstances, homeowners and businesses may be eligible for refinancing of existing liens. Economic Injury Disaster Loans are available and provide working capital to help small businesses, small agricultural cooperatives, small businesses engaged in aquaculture, and most private, non-profit organizations of all sizes meet their ordinary and necessary financial obligations that cannot be met as a direct result of the disaster. These loans are intended to assist through the disaster recovery period. Provisions of the Flood Disaster Protection Act of 1973 and the National Flood Insurance Reform Act of 1994 apply. Additional information provided on SBA's website at www.sba.gov/Disaster. See information at www.sba.gov/Disaster. Information available at www.sba.gov/Disaster.

Applicant Eligibility:

Eligible applicants must have suffered physical property loss or economic injury as a result of a disaster which occurred in an area declared by the President or SBA. They must also demonstrate an ability and willingness to repay the loan. Business concerns, charitable and nonprofit organizations and

individuals are eligible to apply for assistance.

Beneficiary Eligibility:

Business concerns, charitable and nonprofit organizations, and individuals. Agricultural enterprises are ineligible.

Credentials/Documentation:

For homeowners and renters: A Home Loan Application (SBA Form 5c) completed and signed by Applicant and Co-Applicant and an IRS Form 4506T completed and signed by Applicant and Co-Applicant to enable SBA to obtain tax return information from IRS. For Businesses: A Business Loan Application (SBA Form 5) completed and signed by business applicant and an IRS Form 4506T completed and signed by Applicant business, each principal owning 20% or more of the applicant business, each general partner or managing member and, for any owner who has more than 50% ownership in an affiliate business. (Affiliates include business parent, subsidiaries, and/or businesses with common ownership or management.). Complete copies, including all schedules, of the most recent Federal income tax returns for the applicant business; an explanation if not available. Personal Financial Statement (SBA Form 413) completed, signed and dated by the applicant (if a sole proprietorship), each principal owning 20% or more of the applicant business, each general partner or managing member. Schedule of Liabilities listing all fixed debts (SBA Form 2202 may be used). This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. SBA now offers you the option of filing home and business disaster loan applications through the Electronic Loan Application (<https://disasterloan.sba.gov/ela>). Over 90% of our applications are submitted through the Electronic Loan Application. Applicants have the option of submitting an application via mail. Applicants may also apply in person at any Disaster Recovery Center and receive personal, one-on-one help from an SBA representative. Applicants can find information by contacting SBA's Customer Service Center. Call 1-800-659-2955 (TTY: 1-800-877-8339) or e-mail disastercustomerservice@sba.gov.

Award Procedure:

Applicant is notified of approval by authorization letter from SBA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally, from 2 to 3 weeks depending on complexity of case and availability of resources.

Appeals:

Reconsideration of a declined application can be requested within 6 months of the initial decline, and appealed within 30 days from the reconsideration decline.

Renewals:

Additional information provided on SBA's website at www.sba.gov/Disaster.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds must be disbursed within six months of authorization of the loan, unless extended. See the following for information on how assistance is awarded/released: Additional information provided on SBA's website at www.sba.gov/Disaster.

Reports:

Additional information provided on SBA's website at www.sba.gov/Disaster.

Information available at www.sba.gov/Disaster. Additional information provided on SBA's website at www.sba.gov/Disaster. See information at www.sba.gov/Disaster. Additional information provided on SBA's website at www.sba.gov/Disaster.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Information available at www.sba.gov/Disaster.

Records:

Complete financial records must be maintained by business borrower.

Account Identification:

73-1152-0-1-453.

Obligations:

(Direct Loans) FY 16 \$1,181,000,000; FY 17 est \$1,600,000,000; and FY 18 est \$1,100,000,000

Range and Average of Financial Assistance:

Additional information provided on SBA's website at www.sba.gov/Disaster.

TAFS Codes:

73-1152-453.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR Part 123; "Disaster Loans for Homes and Personal Property, "Physical Disaster Business Loans" and "Economic Injury Disaster Loans".

Regional or Local Office:

See Regional Agency Offices. For reference to the Disaster Center Office, see Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Alan Escobar 409 3rd St., 6th Floor
Office of Disaster Assistance, Washington, District of Columbia 20416 Email: alan.escobar@sba.gov Phone: 202-205-6734

Website Address:

<http://www.sba.gov/Disaster>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.011 SMALL BUSINESS INVESTMENT COMPANIES

(SBIC; SSBIC)

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Small Business Investment Act of 1958, Title III (15 U.S.C. 681 et seq.), Public Law 85-699.

OBJECTIVES:

To establish privately owned and managed investment companies, which are licensed and regulated by the U.S. Small Business Administration; to provide equity capital and long term loan funds to small businesses; and to provide advisory services to small businesses.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

The investment companies provide management and financial assistance on a continuing basis to eligible small business concerns. Financial assistance is provided by making long-term loans to these small concerns, and/or by the purchase of debt or equity type securities issued by these firms. Emphasis is on providing assistance to the pioneering, innovating-type concerns developing new products, processes, and markets. Specialized investment companies organized/licensed under former Section 301(d) of the authorizing statute (SSBICs) must restrict eligibility of small concerns financed by such investment companies to those owned and operated by individuals whose participation in the free enterprise system has been hampered by social or economic disadvantages. Debentures and participating securities are issued by the SBIC and guaranteed by SBA for a term not to exceed ten years. All investment companies generally may not self-deal, finance "big business," or invest over 30 percent of private capital in any single small concern.

Applicant Eligibility:

Any chartered small business investment company having private capital of not less than \$5 million, having qualified management, giving evidence of sound operation, and establishing the need for SBIC financing in the geographic area in which the applicant proposes to operate.

Beneficiary Eligibility:

Individual businesses (single proprietorship, partnership or corporation) which satisfy the established criteria of a small business. SSBICs beneficiary must also be a business owned and operated by socially or economically disadvantaged individuals.

Credentials/Documentation:

An investment company must be chartered as a corporation, limited partnership (with individuals, corporation, partnership, or limited liability company as general partner), or limited liability company. Determination is made as to need in area, general business reputation and character of proposed owners and management and probability of successful operations. All loans to, or investments in, small concerns shall be of such sound value as reasonable to assure repayment. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Request information and appropriate forms from SBA's Investment Division. Complete application requirements and submit with required application fee to SBA's Investment Division.

Award Procedure:

Applicant is notified by issuance of a license from the Investment Division of the Small Business Administration.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Average of 180 days.

Appeals:

Proponents may reply at any time.

Renewals:

Additional information available at SBA's website at www.sba.gov.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Additional information available at SBA's website at www.sba.gov. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Additional information available at SBA's website at www.sba.gov. See the following for information on how assistance is awarded/released: Information

available at www.sba.gov.

Reports:

See information at www.sba.gov. Additional information available at www.sba.gov. Information available at SBA's website at www.sba.gov. Information available at www.sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Annual audit by a certified public accountant and periodic examinations by SBA personnel.

Records:

Current financial records, minutes of meetings of stockholders, general partners, directors, executive committees, and time spent and charges made for management consulting services performed must be maintained for 6 years.

Account Identification:

73-1154-0-1-376.

Obligations:

(Guaranteed/Insured Loans) FY 16 \$2,514,000,000; FY 17 est \$4,000,000,000; and FY 18 est \$4,000,000,000

Range and Average of Financial Assistance:

Additional information available at SBA's website at www.sba.gov.

TAFS Codes:

73-1154-376.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR Chapter 1, Part 107.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Joseph Shepard 409 3rd Street, SW
6th Floor, Washington, DC, District of Columbia 20416 Email:
OII.FrontOffice@sba.gov Phone: 202-619-0384

Website Address:

<http://www.sba.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.012 7(A) LOAN GUARANTEES

(Regular Business Loans 7(a) Loans)

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Small Business Act, Section 7(a) (15 U.S.C. 636(a))

, Public Law 97-35.

OBJECTIVES:

To provide guaranteed loans from lenders to small businesses which are unable to obtain financing in the private credit marketplace, but can demonstrate an ability to repay loans if granted, in a timely manner. Guaranteed loans are made

available to for profit small businesses. The SBA's 7(a) lending authority includes: (1) Standard 7(a); (2) SBAExpress; (3) the Cap Line Program; the Qualified Employee Trust (ESOP); (4) International Trade; and (5) Export Working Capital loan programs.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

All funds guaranteed by SBA under the 7(a) program are subject to the following general use restrictions:
Excludes gambling establishments, nonprofit enterprises, speculators in property, lending or investment enterprises, and financing of real property held for investment; also excludes funding for sexually prurient enterprises, firms engaged in religious proselytizing, and funds to indiscriminately relocate the business. Funds must not otherwise be available on reasonable terms, nor used to pay off a loan to an unsecured creditor who is in a position to sustain loss.

Standard 7(a) loans may be used to construct, expand, or convert facilities; to purchase building equipment or materials; and for working capital.

SBA Express Program loan proceeds may be used to for the 7 (a) uses above and permits lender participants to utilize their own forms and processes in exchange for a lower guarantee percentage.

Qualified Employee Trust loans are used to permit employees to acquire eventual ownership interests in a small concern through an Employee Stock Ownership (ESOP) structure.

The four (4) Cap Lines Programs can be used to finance seasonal working capital needs; finance the direct costs of performing certain construction, service and supply contracts, subcontracts, or purchase orders; finance the direct cost associated with commercial and residential construction; or provide general working capital lines of credit.

International Trade loan proceeds are used to finance assets that significantly expand an existing export market or develop new markets.

Export Working Capital 7(a) loans may be used to pay for the manufacturing costs of goods for export; to purchase goods or services for export; to support standby letters of credit to act as bid or performance bonds; and/or to finance foreign accounts receivable. Additional information is available on SBA's website at www.sba.gov/7a-loan-program.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Small businesses that meet the size and eligibility standards.

Credentials/Documentation:

Additional information is available on SBA's website at www.sba.gov/7a-loan-program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications for guarantees are submitted by the participating lender to SBA electronically.

Award Procedure:

SBA provides approval notification to participating lender who provides a commitment letter and loan to the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From one to ten days from date of receipt of a complete application, depending on type of loan and type of lender program.

Appeals:

If reconsideration is requested within six months after decline or withdrawal, no new application is required.

Renewals:

Additional information is available on SBA's website at www.sba.gov.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Additional information is available on SBA's website at www.sba.gov.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

See the following for information on how assistance is provided. Information is available at www.sba.gov. See the following for information on how assistance is awarded/released: Information is available at www.sba.gov.

Reports:

Please see Program Performance Reports at SBAs website at <https://www.sba.gov/about-sba/sba-performance/performance-budget-finances/small-business-administration-sba-loan-program-performance>. Additional information available at SBA's website at www.sba.gov. Information available at www.sba.gov. See www.sba.gov. Information available at www.sba.gov/7a-loan-program. See www.sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. When necessary, on a case-by-case basis.

Records:

Adequate accounting system.

Account Identification:

73-1154-0-1-376.

Obligations:

(Guaranteed/Insured Loans) FY 16 \$22,734,000,000; FY 17 est \$27,500,000,000; and FY 18 est \$29,000,000,000

Range and Average of Financial Assistance:

Additional information is available on SBA's website at www.sba.gov.

TAFS Codes:

73-1154-376.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 22,734,000,000. Fiscal Year 2017: 27,500,000,000. Fiscal Year 2018: 29,000,000,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

See www.sba.gov

Regional or Local Office:

See Regional Agency Offices. Initial contact should be with one of the 68 District Offices (<https://www.sba.gov/tools/local-assistance/districtoffices>) listed in Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Robert Carpenter 409 3rd Street, SW
8th Floor, Washington, DC, District of Columbia 20416 Email:
Robert.Carpenter@sba.gov Phone: 202-205-7654

Website Address:

<http://www.sba.gov/7a-loan-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.016 SURETY BOND GUARANTEES

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Small Business Investment Act of 1958, Title IV, Part B (15 U.S.C. 694a et seq.)

, Title IV, Part B, Section 410, Public Law 108-447.

OBJECTIVES:

To guarantee surety bonds issued by commercial surety companies for small businesses unable to obtain a bond without an SBA guarantee. The guarantees range between 70 and 90% and cover losses and expenses incurred by a participating surety should the small business default on the contract.

TYPES OF ASSISTANCE:

Insurance (Guaranteed Surety Bonds)

USES AND USE RESTRICTIONS:

Contracts not exceeding \$6.5 million, where a surety bond is required but not obtainable privately on reasonable terms and conditions. Guarantee is for bid, payment, and performance bonds (and ancillary bonds incidental to the performance of a specific contract). It is provided directly to the surety for a particular contract. Under the Prior Approval program, sureties must apply to SBA for each guarantee. Sureties in the Preferred Bond Program are empowered to issue, service, and monitor bonds without prior SBA approval.

Applicant Eligibility:

Guarantees are limited to those surety companies holding certificates of authority from the Secretary of the Treasury as an acceptable surety for bonds on Federal contracts. Specific criteria apply to the Prior Approval and PSB Sureties.

Beneficiary Eligibility:

For Federal contracts, a small business is eligible for the surety bond program if it qualifies as a small business under Code of Federal Regulations Subpart 121, Size Eligibility Provisions and Standards.

Credentials/Documentation:

Application and supplement information. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. A small business must apply directly to a surety agent who represents a participating surety company. The application includes background, credit, and financial information. Under the Prior Approval Program, the surety company deals directly with the SBA Area Office concerning the bond guarantee. Headquarters, Area and District SBA Offices serve as contact points for program information purposes.

Award Procedure:

Under the Prior Approval Program, SBA notifies the surety company of SBA's approval of the guarantee and furnishes the surety with e-mail authorization. Surety company (or agent/broker) notifies the bond applicant and issues the bond. Preferred sureties issue bonds guaranteed by SBA without SBA's prior approval. Prior approval and PSB sureties remit 26 percent of the premium they charge small businesses to SBA. Small businesses pay SBA a fee of .729% of the contract price.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The goal for completing the review of a bond guarantee application is 4.5 days.

Appeals:

Additional information available on SBA's website at www.sba.gov.

Renewals:

Additional information available on SBA's website at www.sba.gov.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Guarantee runs to termination of bond (usually completion of contract). See the following for information on how assistance is awarded/released: Additional information available on SBA's website at www.sba.gov/osg.

Reports:

Additional information available on SBA's website at www.sba.gov. Information available at www.sba.gov. See information at www.sba.gov. Additional information available at www.sba.gov. Information available at www.sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. SBA has the right to audit its accounts with any participating surety. Each participating PSB surety must be audited every three years.

Records:

SBA Headquarters maintains records on contractors in default and/or claim status (including recoveries on paid claims) as furnished by sureties. SBA Headquarters and each SBA Area Office have information about participating small businesses for its assigned geographic area.

Account Identification:

73-4156-0-1-376.

Obligations:

(Insurance (Guaranteed Surety Bonds)) FY 16 \$1,424,000,000; FY 17 est \$6,000,000,000; and FY 18 est \$6,000,000,000

Range and Average of Financial Assistance:

Additional information available on SBA's website at www.sba.gov.

TAFS Codes:

73-4156-376.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Information available on SBA's website at www.sba.gov/about-sba/sba-performance. Fiscal Year 2017: Not available. Fiscal Year 2018: Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR 115.

Regional or Local Office:

See Regional Agency Offices. Applicants must contact an approved agent or broker of surety bonds. General program particulars may be obtained from SBA Area Offices listed in Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Peter C. Gibbs 409 3rd Street, SW
8th Floor, Washington, District of Columbia 20416 Email:
peter.gibbs@sba.gov Phone: 202-205-6548

Website Address:

<http://www.sba.gov/osg>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.026 SCORE

SCORE

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Executive Order Small Business Act, Section 8(b)(1)(B) (15 U.S.C. 637(b)(1)(B))

, Public Law 95-510, 637 U.S.C.

OBJECTIVES:

To use the management experience of retired and active business professionals to counsel and train potential and existing small business owners. All client counseling information is confidential.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

SCORE members volunteer their small business counseling and mentoring services to the public free of charge. Small business training workshops are offered for a low fee. SCORE is a resource partner with the Small Business Administration and works with the agency to serve aspiring and existing small business owners. A small business owner interested in getting help from SCORE should contact the local chapter, the SBA District Office, or SCORE's website, www.score.org. The SCORE national office. SCORE is bound by the terms set forth in the annual Notice of Award. All existing and potential small business owners are eligible for assistance from SCORE. The business must be independently owned and operated, not dominant in its field, and must conform to SBA size standards.

Applicant Eligibility:

All existing and potential small business owners are eligible. The business must be independently owned and operated, not dominant in its field, and must conform to SBA size standards.

Beneficiary Eligibility:

Current and potential small business persons.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Personal or written application (SBA Form 641, Request for Counseling).

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements. MOE means Maintenance of Efforts.

This program does not have MOE requirements. MOE means Maintenance of Efforts.

Length and Time Phasing of Assistance:

The length varies as appropriate. See the following for information on how assistance is awarded/released: Cooperative agreement.

Reports:

Additional information available on SBA's website at www.sba.gov. No cash reports are required. See information at www.sba.gov. No expenditure reports are required. Information available at www.sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See information at www.sba.gov.

Records:

Annual independent financial audit.

Account Identification:

73-0400-0-1-376.

Obligations:

(Project Grants) FY 16 \$10,500,000; FY 17 est \$10,500,000; and FY 18 est \$9,900,000

Range and Average of Financial Assistance:

FY 15 \$8,000,000

FY 16 \$10,500,000

FY 17 \$10,300,000.

TAFS Codes:

73-0400-376.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 10500000. Fiscal Year 2017: FY 17 data not yet available.

Fiscal Year 2018: 9900000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Small Business Act, SOP 60-10-2A, SCORE Operating Manual, Counselor's Professional Development Program Annual Report and Brochures.

Regional or Local Office:

See Regional Agency Offices. The initial contact can be made directly with SCORE chapters, with SBA District Office, or with the SCORE website at www.score.org. See Appendix IV of the Catalog of Federal Domestic Assistance.

Headquarters Office:

Nathaniel Bishop 409 3rd St., SW

6th Floor, Washington, District of Columbia 20416 Email: nvbishop@sba.gov

Phone: 202-205-7007

Website Address:

<https://www.sba.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: \$10,300,000.

Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

As defined in SBA Request for Proposals Notice on Grants.gov.

59.037 SMALL BUSINESS DEVELOPMENT CENTERS (SBDC)**FEDERAL AGENCY:**

Small Business Administration

AUTHORIZATION:

Small Business Act, Section 21 (15 U.S.C. 648), Public Law 96-302.

OBJECTIVES:

To provide management counseling, training, and technical assistance to the small business community through Small Business Development Centers (SBDCs).

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Funds restricted to the use of assisting small businesses.

Applicant Eligibility:

SBA is authorized to make grants (including contracts and cooperative agreements) to any public or private institution of higher education, including but not limited to any land-grant college or university, any college or school of business, engineering, commerce, or agriculture, community college or junior college. SBA is also authorized to renew the funding of other entities currently funded as SBDCs providing SBA affirmatively determines that such applicants have their own budget and will primarily utilize institutions of higher education to provide the services to the small business community.

Beneficiary Eligibility:

Current and potential Small business persons.

Credentials/Documentation:

Documentation must include approval and support of the proposal from the State government for state hosted SBDCs. Documentation should also include, but not be limited to: (a) Endorsement of the proposal by State officials; (b) endorsement of the proposal by the university hierarchy; and (c) a statement of commitment by the agencies and organizations listed as resources in the proposal. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Additional information available on SBA's website at www.sba.gov. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All eligible entities within a State interested in establishing an SBDC should coordinate with each other in order to develop a proposal for a statewide SBDC service plan. This program is subject to the provisions of 13 CFR 143 (for State and local governments) and A-110 for institutions of higher education and nonprofit organizations. The proposal should be submitted to the local SBA District Office, listed in Appendix IV of the Catalog.

Award Procedure:

Central Office of SBA executes cooperative agreements to approved applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies with individual proposals.

Appeals:

Varies with individual proposals.

Renewals:

This program is on-going and is renewed annually subject to availability of funds. SBDCs are required to update their proposal and submit a current year budget.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Additional information available on SBA's website at www.sba.gov.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements are usually limited to 1 year. Payments are made by cost reimbursement or in advance as specified in the Notice of Award. See the following for information on how assistance is awarded/released: Additional information available on SBA's website at www.sba.gov.

Reports:

Additional information available on SBA's website at www.sba.gov. Information available at www.sba.gov. See information at www.sba.gov. Additional information available on SBA's website at www.sba.gov. Information available at www.sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The SBDC shall maintain detailed, complete, and accurate client activity records of a sufficiency to reflect clearly the nature and variety of the SBDC service provided. Financial records must be maintained until 3 years after the completion of the grant project or submission of the final report, whichever is later.

Account Identification:

73-0400-0-1-376.

Obligations:

(Formula Grants) FY 16 \$121,200,000; FY 17 est \$125,000,000; and FY 18 est \$110,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

73-0400-376.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 121200000. Fiscal Year 2017: No data available. Fiscal Year 2018: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

SBDC Program Announcement, SBDC Policy Guidelines, SBDC Handbook for SBA Project Officers.

Regional or Local Office:

See Regional Agency Offices. For addresses of the field offices, see Appendix IV of the Catalog under the Small Business Administration.

Headquarters Office:

Vicky Mundt 409 3rd St., SW
6th Floor, Washington, District of Columbia 20416 Email:
victoria.mundt@sba.gov Phone: 202-205-7176

Website Address:

<http://www.sba.gov/sbdc>.

RELATED PROGRAMS:

59.026 Service Corps of Retired Executives; 59.043 Women's Business Ownership Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Ability to match funds (2) Ability to provide technical assistance, training, counseling, and specialized services to the small business community, and (3) State approval.

59.041 504 CERTIFIED DEVELOPMENT LOANS

(504 Loans)

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Executive Order Small Business Investment Act of 1958, Title V (15 U.S.C. 695 et seq.)

, Title V, Section 504, Public Law 85-699.

OBJECTIVES:

To assist small business concerns by providing long-term, fixed-rate financing for fixed assets through the sale of debentures to private investors.

TYPES OF ASSISTANCE:**GUARANTEED/INSURED LOANS****USES AND USE RESTRICTIONS:**

Loans are to assist small businesses in the acquisition of land and buildings; the construction, expansion, renovation or modernization of buildings; or the acquisition and/or installation of machinery and equipment. Loans may have either a 10 or 20-year term. The 504 Debt Refinancing Program may be used to help eligible small business owners ease their financial burdens and further job creation. The 504 Debt Refinancing Program can help eligible borrowers refinance debt from adjustable rate loans with its long term fixed-rates, creating significant savings to borrowers.

Applicant Eligibility:

Certified Development Companies (CDCs) package, close, and service these SBA-guaranteed loans to small businesses. A CDC must be incorporated under general State corporation statute, on a nonprofit basis, for the purpose of promoting economic growth in a particular area.

Beneficiary Eligibility:

Small businesses must be independently owned and operated for profit. The small business applicant and its affiliates (affiliation defined at 13 CFR 121.103) must have:
a) A Tangible net worth of \$15 million or less; and
b) Average net income after Federal income taxes (excluding any carry-over losses) for the preceding two completed fiscal years of \$5 million or less.

Credentials/Documentation:

To become a Certified Development Company (CDC), the applicant should submit SBA Form 1246, Application for Certified Development Company to their local District Office and include the following information: (1) Name, address, and contact information of applicant; (2) list of officers and directors who represent the fields of commercial lending, financial risk management, internal controls, workforce, community, and economic development, legal issues related to commercial lending, and corporate governance in accordance with 13 CFR 120.823; (3) SBA Form 1081 on all officers, directors, and staff; (4) resume on each officer and director; (5) the name, resume, and description of duties for each individual who provides the CDC with the professional staff capability; (6) if contracted out, a copy of the contract is required to be submitted to SBA for pre-approval; (7) an organization chart and operating plan; (8) a plan of CDC operations (marketing, evaluating, packaging, processing, closing and servicing functions); (9) financial statements (if a start-up, provide a pro-forma balance sheet with detailed projections and assumptions); (10) the CDC's charter and certified-by-laws; (11) articles certified by the State; and (12) a resolution of the Board of Directors certified by a corporate officer in which a company resolves to become a CDC, naming the person who is authorized to execute all documents. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Loan applications must be made on SBA Form 1244 and requirements set forth thereon must be fully complied with by the Certified Development Company and the small business being assisted.

Award Procedure:

CDC is notified in writing by the SBA Office. CDC notifies applicant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 1 to 15 days. Within 10 working days after formal acceptance of application.

Appeals:

Requests for reconsideration of a declined loan will be granted at any time within 6 months from the date of decline, providing that reasonable evidence is submitted to substantially overcome the stated reasons for decline.

Renewals:

Renewals initiated by loan recipients.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Additional information available on SBA's website at www.sba.gov. See the following for information on how assistance is awarded/released: Information available at www.sba.gov.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Small Business Administration has the right to conduct an audit of the books of the Certified Development Company and the small business concern at its discretion.

Records:

During the term of the loan, both the Certified Development Company and the small business concern assisted must maintain financial records and information adequately reflecting all transactions and results of operations.

Account Identification:

73-1154-0-1-376.

Obligations:

(Guaranteed/Insured Loans) FY 16 \$41,000,000; FY 17 est \$7,500,000,000; and FY 18 est \$7,500,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

73-1154-376.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 41000000. Fiscal Year 2017: No data available. Fiscal Year 2018: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

See SBA Rules and Regulation, CFR, Section 120.800 and 120.900; Certified Development Company Program - Program Guide.

Regional or Local Office:

See Regional Agency Offices. Initial contact should be directed to the local District Offices (<https://www.sba.gov/tools/local-assistance/districtoffices>) listed in Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Linda Reilly 409 3rd St., SW
8th Floor, Washington, District of Columbia 20416 Email: linda.reilly@sba.gov
Phone: 202-205-9949

Website Address:

<http://www.sba.gov/>

RELATED PROGRAMS:

59.012 7(a) Loan Guarantees

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.043 WOMEN'S BUSINESS OWNERSHIP ASSISTANCE

Womens Business Center

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Small Business Act, Section 29 (15 U.S.C. 656).

OBJECTIVES:

To fund private, nonprofit organizations to assist, through training and counseling, small business concerns owned and controlled by women, and to remove, in so far as possible, the discriminatory barriers that are encountered by women in accessing capital and promoting their businesses.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To establish women's business centers (WBCs) for the benefit of small business concerns owned and controlled by women. The services provided by the women's business centers must include financial, management, procurement and marketing assistance to start-up or established concerns, as well as mentoring and Internet services. Program participants that successfully complete the initial 5-year grant may apply for a 3-year renewal grant. Successful participants may renew the grant every 3 years.

Applicant Eligibility:

Private, nonprofit organizations having experience in effectively training and counseling business women. Public educational institutions and State and local governments are not eligible.

Beneficiary Eligibility:

Women entrepreneurs starting their own business or expanding their existing business.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications are accepted in accordance with and up to the date specified in the annual program announcement as posted at www.grants.gov and www.sba.gov/wbc. The applicant must complete the Application for Federal Assistance (Standard Forms 424, 424A and 424B) and submit a written proposal addressing all of the selection criteria stated in the

program announcement. The applicant must submit applications/proposals electronically through www.grants.gov (free training on electronic filing is available on the site) by the application closing date.

Award Procedure:

Proposals of eligible applicants are evaluated by a review panel. Candidates for sustainability awards also undergo a site review as appropriate. The SBA/Office of Women's Business Ownership issues an award to each approved applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Within 120 days from application deadline.

Appeals:

Additional information available at SBA's website at www.sba.gov.

Renewals:

Continued annual option awards are issued to successful award recipients through a five-year term without recompetition and also through a three-year term for the renewal recipients.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: For the initial phase WBC awards, the non-Federal entities are required to match awarded funds on a 1:2 ratio for the first 2 years of the grant and a 1:1 ratio for the subsequent 3 years. For Renewal grants, the non-Federal entity(ies) are required to match awarded funds on a 1:1 ratio for each of the 3 years of the grant.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Initial and option year awards are issued annually, without recompetition, through the five-year grant term to compliant centers. See the following for information on how assistance is awarded/released: Additional information available at SBA's website at www.sba.gov.

Reports:

Information available at www.sba.gov/wbc. Information available at www.sba.gov/wbc. Information available at www.sba.gov/wbc. Information available at www.sba.gov/wbc.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Applicants must follow the requirements as listed in 2 CFR Chapter 1, Chapter II, Part 200, et al.

Records:

The awardee shall maintain and submit detailed, complete and accurate client activity records to reflect clearly the nature and variety of services provided. Financial records must be kept until three years after the completion and submission of the final report.

Account Identification:

73-0400-0-1-376.

Obligations:

(Project Grants) FY 16 \$17,335,000; FY 17 est \$18,000,000; and FY 18 est \$16,000,000

Range and Average of Financial Assistance:

Additional information available at www.sba.gov/wbc.

TAFS Codes:

73-0400-376.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 17335000. Fiscal Year 2017: 18000000. Fiscal Year 2018: 16000000.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular 2 CFR 200.

Regional or Local Office:

See Regional Agency Offices. Women's business ownership representatives in each SBA district office. See Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Bruce Purdy 409 3rd St., SW
6th Floor, Washington, District of Columbia 20416 Email:
bruce.purdy@sba.gov Phone: 202-205-7532

Website Address:

<http://www.sba.gov/wbc>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in each solicitation for proposals, but including: B. EVALUATION CRITERIA FOR WBC APPLICANT TECHNICAL PROPOSAL a. Mission Alignment and Experience (up to 10 points), b. Organizational Experience and Capacity (up to 15 points), c. Market Assessment for Services Provided (up to 15 points), d. Project Objectives and Milestone (up to 20 points), e. Plans for Marketing and Collaboration with SBA and Other Community and Small Business Organizations (up to 10 points), f. Sources and Leverage of other Funds (up to 5 points), g. Financial Management Capability and Confirmation of Match (up to 15 points), h. Program Evaluation and Economic Impact (up to 5 points), and i. Technological Capabilities (up to 5 points).

59.044 VETERANS OUTREACH PROGRAM

Veterans Business Outreach Center Program (VBOC)

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Small Business Act, Section 8(b)(17) (15 U.S.C. 637(b)(17)).

OBJECTIVES:

In accordance with Public Law 110-186, the organizations will provide information on small business ownership to service members and military spouses by facilitating and instructing the U.S. Small Business Administrations Introduction to Entrepreneurship known as Boots to Business which is a course offered within the Department of Defense Transition Assistance Program (TAP). Additionally, these organizations will provide counseling, training, technical and financial skill development, comprehensive business assessments and mentoring to veteran, service disabled veteran, active duty, Reserve, Guard, and military spouse/survivor entrepreneurs and small business owners interested in starting new or expanding/diversifying established small businesses.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To establish Veteran Business Outreach Centers (VBOC) for the benefit of small business concerns and potential small business concerns owned and controlled by veterans, active duty service members, Guard & Reserve members and military spouses.

Applicant Eligibility:

Eligible applicants may be education institutions, private businesses, veterans nonprofit community-based organizations, and Federal, State, local and tribal government agencies.

Beneficiary Eligibility:

First beneficiaries are eligible veterans, active duty service members, Guard & Reserve members and military spouses who seek to start and manage a small

business; second beneficiaries are all others.

Credentials/Documentation:

Credentials and documentation can be found on the annual program announcement. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants will submit proposals based on a request for proposal (RFP). The RFP may request that the applicant apply for a cooperative agreement. This will be made clear at the time of the RFP is issued. Instructions for applying will be contained in the RFP document.

Award Procedure:

The proposal will be subject to an independent panel review. The elements of the panel review will include a review of the technical and cost proposals, each under a separate cover.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Usually within 30 to 90 days after closing date of the RFP.

Appeals:

In accordance with FAR Part 33 if a contract; or not applicable if a grant.

Renewals:

Subject to the exercise of option years.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Usually not to exceed 12 months for the basic effort and four option years. Funds are made available on a cost reimbursement basis. See the following for information on how assistance is awarded/released: Additional detail provided on SBA's website at sba.gov/ovbd.

Reports:

Additional detail provided on SBA's website at sba.gov/ovbd. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. When necessary, on a case-by-case basis.

Records:

The recipient should be prepared to maintain detailed, complete, and accurate client activity records of a sufficiency to reflect clearly the nature and variety of the services provided. Financial records must be maintained until 3 years after the completion of the grant project or submission of the final report, whichever is later. The recipient should be prepared to participate in the development of a program-wide intranet system.

Account Identification:

73-0400-0-1-376.

Obligations:

(Project Grants) FY 16 \$12,808,000; FY 17 est \$12,300,000; and FY 18 est \$11,250,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

73-0400-376.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Federal agency for more information.

Regional or Local Office:

See Regional Agency Offices. For addresses of the SBA field offices, see Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Raymond Milano 409 3rd St., SW
5th Floor, Washington, District of Columbia 20416 Email:
raymond.milano@sba.gov Phone: 202-205-6777

Website Address:

<http://www.sba.gov/ovbd>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The technical proposal will consist of four sections: (1) Mission Experience, (2) Organizational Experience & Capacity, (3) SBA Resource Partner Collaboration, (4) Market Assessment, (5) Project Objectives and Performance Metrics, (6) Innovation and (7) Financial Management Capability. The cost proposal will be evaluated based on reasonableness of cost and compliance with OMB Circulars A-110 or A-112. Additionally the cost proposal must contain (1) a comprehensive development plan, (2) budget preparation, and (3) expenditures for fundraising activities may not be charged as a cost item and demonstration of adequate community based resources to achieve matching formula for nonfederal funds.

59.046 MICROLOAN PROGRAM**FEDERAL AGENCY:**

Small Business Administration

AUTHORIZATION:

Small Business Act, Section 7(m) (15 U.S.C. 636(m))

, Public Law 105-135.

OBJECTIVES:

To assist women, low-income, and minority entrepreneurs, business owners, and other individuals possessing the capability to operate successful business concerns and to assist small business concerns in those areas suffering from a lack of credit due to economic downturns. Under the Program, the Small Business Administration (SBA) will make loans or provide guaranties to private, non-profit, and quasi-governmental organizations (intermediary lenders) that will use the loan funds to make short-term, fixed interest rate microloans in amounts up to \$50,000 to start-up, newly established, and growing small business concerns. These microloans are to be used exclusively for working capital, inventory, supplies, furniture, fixtures, machinery, and/or equipment. In addition, the SBA will make grants to participating intermediary lenders to provide marketing, management, and technical assistance to prospective borrowers and borrowers receiving microloans. Under the Program, SBA will also provide training for intermediary lenders participating in the Program.

TYPES OF ASSISTANCE:

DIRECT LOANS; FORMULA GRANTS

USES AND USE RESTRICTIONS:

Loans to intermediaries with terms and restrictions as provided in Public Laws 102-140, 102-366 and 105-135 and subsequent regulations as published in the Federal Register.

Applicant Eligibility:

An applicant is considered eligible to apply if it meets the definition of an intermediary lender as published in program materials, 13 CFR, and PL 102-140, and meets published minimum experience and capability requirements.

Beneficiary Eligibility:

Small businesses, minority entrepreneurs, nonprofit entities, business owners, women and low-income, and other individuals possessing the capability to operate successful business concerns.

Credentials/Documentation:

An entity may apply to SBA to become an Intermediary lender. A small business may apply to an intermediary for a microloan. Small business seeking funding must: (1) Meet SBA size standard requirements as defined in Chapter 13 of the U.S. Code of Federal Regulations; (2) meet type of business requirements as published by SBA; and (3) meet lending requirements of local intermediary lenders. This program is covered under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Microloan Borrowers: Apply directly to intermediary lenders. Intermediary Lenders: Apply to the SBA Office of Economic Opportunity prior to deadlines and according to established procedures.

Award Procedure:

Intermediaries are notified by SBA. Microborrowers are notified by the intermediaries.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Loan applicants can expect an answer from the SBA approved intermediary lender within 15 days from the date of application acceptance.

Appeals:

Decisions on the part of the SBA are final. SBA will not become involved in appeals by microborrowers to intermediary lenders except in the case of suspected violation of Federal regulations.

Renewals:

Based on performance.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. The outstanding balance of loans from SBA to intermediary lenders may not exceed \$5 million at any point in time. Grant awards from SBA to intermediary lenders may not exceed 25% of intermediary lenders outstanding loan balance to SBA. Loans from SBA to the intermediary lender require that the intermediary lender contribute not less than 15% of the loan amount from non-Federal sources. Grants from SBA to intermediary lenders require that the intermediary contribute not less than 25% of the grant amount from non-Federal sources. Bonus Grants to specialized intermediaries do not require a matching funds contribution from the intermediary lender. This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The maximum life of a loan to an intermediary lender is 10 years. Grants award amounts are based on the total outstanding balance of loans made to the

intermediary lender by SBA and by the annual microloan production of the intermediary lender. See the following for information on how assistance is awarded/released: Additional detail available at <https://www.sba.gov/sites/default/files/sops/SOP-52-00-A-Microloan.pdf> and SBA's website at www.sba.gov. See the following for information on how assistance is awarded/released: Additional detail available at SBA's website at www.sba.gov.

Reports:

Additional detail available at <https://www.sba.gov/sites/default/files/sops/SOP-52-00-A-Microloan.pdf> and SBA's website at www.sba.gov. Information available at www.sba.gov. See information at www.sba.gov. Additional detail available at SBA's website at www.sba.gov. Information available at SBA's website at www.sba.gov. Information available at www.sba.gov. See information at www.sba.gov. Additional detail available at SBA's website at www.sba.gov. Information available at SBA's website at www.sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Required annually.

Records:

Systems sufficient to generate accurate and acceptable reports.

Account Identification:

73-1154-0-1-376.

Obligations:

(Advisory Services and Counseling) FY 16 \$24,340,000; FY 17 est \$31,000,000; and FY 18 est \$25,000,000. (Direct Loans) FY 16 \$35,000,000; FY 17 est \$44,000,000; and FY 18 est \$36,000,000

Range and Average of Financial Assistance:

No data available.

TAFS Codes:

73-1154-376.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No data available. Fiscal Year 2017: No current data available. Fiscal Year 2018: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the SBA Office of Economic Opportunity, Microenterprise Development Division, 409 3rd Street S.W., Mail Code 7881, Washington, DC 20416.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Daniel Upham 409 3rd St., SW
8th Floor
Office of Economic Opportunity
Microenterprise Development Division, Washington, District of Columbia
20416 Email: daniel.upham@sba.gov Phone: 202-205-7001

Website Address:

<http://www.sba.gov>

RELATED PROGRAMS:

59.011 Small Business Investment Companies; 59.012 7(a) Loan Guarantees; 59.041 504 Certified Development Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated individually. Each is rated on its own merits or, in the case of an affiliated group, on the merits of each of the organizational parts

that make up the whole. Qualitative and quantitative information regarding the applicant is reviewed. Criteria include but may not be limited to: (1) Experience level of Organizational Officers, Directors and personnel to be active in the Microloan Program operation; (2) Financial strength of the applicant organization; (3) Knowledge of the local economy; (4) Lending/loan performance; (5) Current and proposed technical assistance program; (6) Current and Proposed market to be served; (6) Availability and probability of matching contributions; and (7) Information submitted in the grant application package.

59.050 PRIME TECHNICAL ASSISTANCE

(Prime)

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Riegle Community Development and Regulatory Improvement Act of 1994, Public Law 106-102 (15 U.S.C. 6901 et seq.)
Law 106-102 (15 USC 6901 et seq)
, Public Law 106-102.

OBJECTIVES:

To increase the number of microenterprises and to enhance the management capability of microentrepreneurs in starting, expanding and/or growing their business; to provide training and capacity building services to enhance existing Microenterprise Development Organizations (MDOs) and expand the universe of MDOs that provide microenterprise development training programs and services; and to fund research and development of best practices for training and technical assistance consistent with the PRIME Act.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The recipients of the services provided by the grantees are those persons/businesses who satisfy the eligibility criteria as defined in the Act.

Applicant Eligibility:

Grant recipients shall be non-profit microenterprise development or program (or a collaborative thereof) that has a demonstrated record of delivering microenterprise services to disadvantaged entrepreneurs, an intermediary, a microenterprise development organization or program that is accountable to a local community, working in conjunction with a State or local government or Indian tribe, or an Indian tribe acting on its' own, if the tribe can certify that no private organization or program referred to in this paragraph exists within its' jurisdiction.

Beneficiary Eligibility:

Disadvantaged entrepreneurs, microenterprises, and microenterprise development organizations as defined in the Act.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Subject to the availability of funds, SBA will issue a Notice of Funds Availability (NOFA) soliciting responses from qualified organizations for the services to be provided under the Act.

Award Procedure:

Successful offerors will be notified of a decision by letter from the Office of Economic Opportunity.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

60 to 90 days.

Appeals:

Additional information available at SBA's website at www.sba.gov.

Renewals:

The period of performance for grants awarded under the Act is for one year with four twelve month options exercised at the discretion of the government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

See information at www.sba.gov. See the following for information on how assistance is awarded/released: Information available at www.sba.gov.

Reports:

See information at www.sba.gov. Additional details available on SBA's website at www.sba.gov. Information available at www.sba.gov. See information at www.sba.gov. Information available at www.sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. SBA reserves the right to perform pre- and post award audits. Grant recipients are required to provide audited financial statements on an annual basis.

Records:

Grant recipients are required to collect and maintain data on recipients of technical assistance which shall be included in the grant recipient's performance reports.

Account Identification:

73-0400-0-1-376.

Obligations:

(Project Grants) FY 16 \$5,000,000; FY 17 est \$5,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

No data available.

TAFS Codes:

73-0400-376.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016:
https://www.sba.gov/sites/default/files/files/2016_PRIME_Awardee_Profiles.pdf. Fiscal Year 2017: No current data available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations are available in 13 CFR.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Manuel Hidalgo SBA Office of Economic Opportunity
409 3rd St., SW
8th Floor, Washington, DC, District of Columbia 20416 Email:
manuel.hidalgo@sba.gov Phone: 202-401-6365

Website Address:

<http://www.sba.gov/>

RELATED PROGRAMS:

59.006 8(a) Business Development Program; 59.037 Small Business Development Centers; 59.043 Women's Business Ownership Assistance; 59.044 Veterans Business Development; 59.052 Native American Outreach

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Past performance, approach, personnel, and cost.

59.052 NATIVE AMERICAN OUTREACH**FEDERAL AGENCY:**

Small Business Administration

AUTHORIZATION:

Small Business Act, Section 7(j) (15 U.S.C. 636(j))

, Public Law 108-447.

OBJECTIVES:

To fund economic development projects that will provide small business opportunities and empower American Indians, Alaska Natives and Native Hawaiian entrepreneurs located in disadvantaged and under-served Native American communities nationwide.

TYPES OF ASSISTANCE:

Advisory Services and Counseling

USES AND USE RESTRICTIONS:

The projects must provide increased entrepreneurial development opportunities for Native Americans, serve as effective models to address the issue of access to SBA information and resources, and have the potential to become best practices for implementation by other entities around the country. Program initiatives must assist small businesses in Native communities on the basis of need, realizing that not all Native Americans, particularly those in remote areas experiencing severe economic hardship, may be aware of this and other SBA programs. Projects must also include a strong outreach component to ensure that under-served Native American communities have the opportunity to participate in the program.

Applicant Eligibility:

Organizations must have experience in effectively training, counseling, developing and measuring small business development in Indian Country, Alaska and Hawaii.

Beneficiary Eligibility:

Native American entrepreneurs who are starting their own business or expanding their existing business.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

On a project by project basis, proposals of eligible applicants are evaluated by a review panel. The SBA/Office of Procurement and Grants Management issues an award to each approved applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Within 120 days from filing deadline.

Appeals:

Additional information available on SBA's website at www.sba.gov.

Renewals:

On a case by case need basis, as determined by the contracting officer and the

program office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The performance period varies based upon the accepted proposals. See the following for information on how assistance is awarded/released: Additional information available on SBA's website at www.sba.gov.

Reports:

Information available at www.sba.gov. See information at www.sba.gov.
Information available at www.sba.gov. See information at www.sba.gov.
Additional information available at www.sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See information at www.sba.gov.

Records:

The awardee shall maintain and submit detailed, complete and accurate client activity records to reflect clearly the nature and variety of services provided. Financial records must be kept until 3 years after the completion and submission of the final report.

Account Identification:

73-0400-0-1-376.

Obligations:

(Advisory Services and Counseling) FY 16 \$1,778,000; FY 17 est \$2,000,000; and FY 18 est \$1,500,000

Range and Average of Financial Assistance:

No data available.

TAFS Codes:

73-0400-376.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 1778000. Fiscal Year 2017: No current data available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Small Business Administration for more information. OMB Circulars A-110, A-122, A-21, A-133.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Carol Walker 409 3rd Street, SW
8th Floor, Washington, DC, District of Columbia 20416 Email:
Carol.Walker@sba.gov Phone: 202-205-7094

Website Address:

<http://www.sba.gov/naa/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.053 OMBUDSMAN AND REGULATORY FAIRNESS BOARDS

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Small Business Act, Section 30 (15 U.S.C. 657)

, Public Law 104-121.

OBJECTIVES:

To assure equity and fairness in federal regulation of small business by receiving comments from small businesses, small non-profit organizations or small government entities (representing less than 50,000 people) regarding regulatory compliance and enforcement involving Federal Agencies or Agency employees; to ease undue compliance burdens for small business by: (a) facilitating independent, high-level Agency reviews of compliance or enforcement activities against small businesses and (b) promoting access to compliance assistance resources available from Federal Agencies.

TYPES OF ASSISTANCE:

INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Comments received by the Office of the National Ombudsman are confidential and when authorized by the commenter, they are forwarded to the appropriate senior level Federal Agency Personnel to obtain a timely review and response to an excessive and/or unfair Federal regulatory issue. Public Regulatory Fairness Hearings and other outreach events are held throughout the U.S. to receive testimony and commentary from small entities about unfair and/or excessive Federal regulatory enforcement actions and burdensome regulations. Cases are filed online, by fax, mail or personal delivery.

Applicant Eligibility:

Small businesses, small nonprofit organizations or small government entities (representing less than 50,000 people).

Beneficiary Eligibility:

The Ombudsman's actions assist those who individually seek assistance as well as other similarly-situated small entities.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Submit SBA Form 1993.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

As needed.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: This program does not issue funds.

Reports:

Additional information available on SBA's website at sba.gov. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Standard audit procedures. This program does not make Federal awards.

Records:

Not applicable.

Account Identification:

73-0100-0-1-376.

Obligations:

(Investigation of Complaints) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

73-0100-376.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Additional information available at <http://www.sba.gov/ombudsman>.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Elahe Zahirieh 409 3rd Street, SW
3rd Floor
, Washington, DC, District of Columbia 20416 Email: elahe.zahirieh@sba.gov
Phone: 202-205-6499

Website Address:

<http://www.sba.gov/ombudsman>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.054 7(A)EXPORT LOAN GUARANTEES**FEDERAL AGENCY:**

Small Business Administration

AUTHORIZATION:

Small Business Act, Section 7(a)(16) (15 U.S.C. 636(a)(16)), Public Law 97-35.

OBJECTIVES:

To aid and assist small-business to increase their ability to compete in international markets by enhancing their ability to export; facilitating technology transfers; enhancing their ability to compete effectively and efficiently against imports; increasing the access of small businesses to long-term capital for the purchase of new plant and equipment used in the production of goods and services involved in international trade; disseminate information concerning, State, Federal, and private programs and initiatives to enhance the ability of small businesses to compete in international Markets; and ensure that the interests of small businesses are adequately represented in bilateral and multilateral negotiations.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

To construct, expand, or convert facilities; to purchase building equipment or materials; inventory and for export working capital.

Applicant Eligibility:

A small business is eligible, which is independently owned and operated and not dominant in its field. They also need to meet the SBA size standards.

Beneficiary Eligibility:

Small businesses, including those owned by low-income and handicapped individuals located in high unemployment areas.

Credentials/Documentation:

Statement of personal history, personal financial statement, company financial statements, summary of collateral and a resume. Loan must be of such sound value or so secured as to reasonably assure repayment. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications for guarantees are submitted by the participating lender to SBA electronically.

Award Procedure:

SBA provides approval notification to participating lender who provides a commitment letter and loan to the applicant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 1 to 10 days from date of receipt of a complete application, depending on type of loan and type of lender program.

Appeals:

If re-consideration is requested within 6 months after decline or withdrawal, no new application is required.

Renewals:

Additional information available on SBA's website at www.sba.gov.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Information available at www.sba.gov. See the following for information on how assistance is awarded/released: Information is available at www.sba.gov.

Reports:

Please see Program Performance Reports at SBA's website at <https://www.sba.gov/about-sba/sba-performance/performance-budget-finance/small-business-administration-sba-loan-program-performance>. Information available at www.sba.gov. See information at www.sba.gov. Additional information available on SBA's website at www.sba.gov. Information available at www.sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. When necessary on a case-by-case basis.

Records:

Adequate accounting system.

Account Identification:

73-1154-0-1-376.

Obligations:

(Guaranteed/Insured Loans) FY 16 Not Separately Identifiable; FY 17 est \$0; and FY 18 est \$0 - N/A.

Range and Average of Financial Assistance:

Additional information available on SBA's website at www.sba.gov.

TAFS Codes:

73-1154-376.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Not available. Fiscal Year 2017: Not available. Fiscal Year 2018: Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

SBA Business Loans from the SBA.

Regional or Local Office:

See Regional Agency Offices. For reference to the U.S. Export Assistance Centers, see Service Centers in Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Robert Carpenter 409 3rd St., SW
8th Floor, Washington, District of Columbia 20416 Email:
Robert.Carpenter@sba.gov Phone: 202-205-7654

Website Address:

<http://www.sba.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.055 HUBZONE PROGRAM

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Small Business Act, Section 31 (15 U.S.C. 657a).

OBJECTIVES:

The purpose of the HUBZone program is to provide federal contracting assistance for qualified small business concerns (SBCs) located in Historically Underutilized Business Zones in an effort to increase employment opportunities, investment, and economic development in such areas.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

The HUBZone Program stimulates capital investment, creation of jobs, and economic development in distressed rural and urban communities by providing Federal contracting preferences to firms certified by SBA as 'qualified' HUBZone small businesses.

Applicant Eligibility:

To be eligible to participate in the program, a firm must (1) be a small business (as defined by SBA Size Standards), (2) be at least 51% owned and controlled by one or more U.S. citizens, a Community Development Corporation, an agricultural cooperative, a Native Hawaiian Organization, or an Indian tribe, (3) be located (principal office) in a HUBZone, and (4) certify that least 35 percent of its employees are residents of a HUBZone.

Beneficiary Eligibility:

The HUBZone Program is precisely targeted to provide contract opportunities - revenue sources - to firms located in approximately 19,467 qualified census tracts, 767 qualified non-metropolitan counties, 593 qualified Indian Lands, 108 qualified base closure areas, and 37 Qualified Disaster Areas.

Credentials/Documentation:

Application for HUBZone status is accomplished on-line. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications are made on-line via the Intranet at www.sba.gov/hubzone.

Award Procedure:

Applicant is notified of program participation approval electronically within 90 days of receipt of a completed application, whenever practicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

An average of 90 days from the receipt of a complete application.

Appeals:

Additional information available at <https://www.sba.gov/contracting/government-contracting-programs/hubzone-program>.

Renewals:

Information available on SBA's website at <https://www.sba.gov/contracting/government-contracting-programs/hubzone-program>.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

See information at <https://www.sba.gov/contracting/government-contracting-programs/hubzone-program>. See the following for information on how assistance is awarded/released: Additional information available on SBA's website at <https://www.sba.gov/contracting/government-contracting-programs/hubzone-program>. See the following for information on how assistance is awarded/released: Additional information available on SBA's website at <https://www.sba.gov/contracting/government-contracting-programs/hubzone-program>.

Reports:

Information available on SBA's website at <https://www.sba.gov/contracting/government-contracting-programs/hubzone-program>. Information available on SBA's website at <https://www.sba.gov/contracting/government-contracting-programs/hubzone-program>. Information available on SBA's website at <https://www.sba.gov/contracting/government-contracting-programs/hubzone-program>. Information available on SBA's website at <https://www.sba.gov/contracting/government-contracting-programs/hubzone-program>. Information available on SBA's website at <https://www.sba.gov/contracting/government-contracting-programs/hubzone-program>. Information available on SBA's website at <https://www.sba.gov/contracting/government-contracting-programs/hubzone-program>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Information available on SBA's website at <https://www.sba.gov/contracting/government-contracting-programs/hubzone-program>

ogram.

Records:

Not applicable.

Account Identification:

73-0100-0-1-376.

Obligations:

(Salaries) FY 16 \$3,184,000; FY 17 est \$3,000,000; and FY 18 est \$2,500,000

Range and Average of Financial Assistance:

Additional information available on SBA's website at <https://www.sba.gov/contracting/government-contracting-programs/hubzone-program>.

TAFS Codes:

73-0100-376.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: See <https://www.sba.gov/contracting/government-contracting-programs/hubzone-program>. Fiscal Year 2017: No data available. Fiscal Year 2018: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The HUBZone Act of 1997, the HUBZones in Native America Act of 2000, and the Small Business Administration Authorization and Manufacturing Assistance Act of 2004, and program regulations. 13 CFR Part 126. See the HUBZone website: <https://www.sba.gov/contracting/government-contracting-programs/hubzone-program>.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Mariana Pardo 409 3rd St., SW
8th Floor, Washington, District of Columbia 20416 Email: mariana.pardo@sba.gov Phone: 202-205-2985

Website Address:

<http://www.sba.gov/hubzone>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.058 FEDERAL AND STATE TECHNOLOGY PARTNERSHIP PROGRAM

Federal and State Technology (FAST) Partnership Program

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Small Business Act, Section 34 (15 U.S.C. 657d)

, Section 34, Public Law 111-117, 15 U.S.C 657d.

OBJECTIVES:

The purpose of the FAST program is to strengthen the technological competitiveness of small business concerns in the U.S.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

1) Technology research and development by small business concerns; 2) Technology transfer from university research to technology-based small business concerns; 3) Technology deployment and diffusion benefiting small

business concerns; 4) The technological capabilities of small business concerns through the establishment or operation of consortia comprised of entities, organizations, or individuals including: (i) State and local development agencies and entities; (ii) Representatives of technology-based small business concerns; (iii) Industries and emerging companies; (iv) Universities; and (v) Small business development centers; 5) Outreach, financial support and technical assistance to technology-based small business concerns participating in or interested in participating in an SBIR program, including initiatives: (i) To make grants or loans to companies to pay a portion or all of the cost of developing SBIR proposals; (ii) To establish or operate a mentoring network within the FAST Program to provide business advice and counseling that will assist small business concerns that have been identified by FAST Program participants, program managers of participating SBIR agencies, the Administration, other entities that are knowledgeable about the SBIR and STTR programs as good candidates for the SBIR and STTR Programs, and that would benefit from mentoring, in accordance with section 35 of the Small Business Act (15 USC 657c); (iii) To create or participate in a training program for individuals providing SBIR outreach and assistance at the state and local levels; and (iv) To encourage the commercialization of technology developed through SBIR program funding. Not more than one proposal may be submitted for inclusion in the FAST program to provide services in any one State in any one fiscal year, proposals and applications for assistance shall be in such form and subject to such procedures as the Administrator shall establish, awards and cooperative agreements shall be made or entered into, as applicable, on a competitive basis, the awardees have a one year period of performance, there is a matching non-Federal share of the cost of the activity.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Not more than one proposal may be submitted for inclusion in the FAST program to provide services in any one State in any one fiscal year, proposals and applications for assistance shall be in such form and subject to such procedures as the Administrator shall establish, awards and cooperative agreements shall be made or entered into, as applicable, on a competitive basis, the awardees have a one year period of performance, there is a matching non-Federal share of the cost of the activity. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Complete proposals are submitted, reviewed and evaluated from a cost and technical perspective, based on the rating of these proposals by a panel of technical experts awards are granted.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Three tiers ranging from 50 percent to 100 percent.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding for program is one year funding as must be obligated by end of fiscal year. See the following for information on how assistance is awarded/released: Financial assistance is received following performance of certain activities.

Reports:

Quarterly. Cash reports are not applicable. Quarterly Reports. Expenditure reports are not applicable. Quarterly Reports.

Audits:

Not Applicable.

Records:

Quarterly reports referenced above must be submitted to SBA and these reports must be retained by the recipient for a period of time as identified by SBA.

Account Identification:

73-0100-0-1-376 - Within Salaries and Expenses appropriation.

Obligations:

(Cooperative Agreements) FY 16 \$2,936,000; FY 17 est \$3,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

No more than 20 awards.

TAFS Codes:

73-0100-376.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See information at <http://www.sbir.gov>

Regional or Local Office:

None. See information at <http://www.sbir.gov>.

Headquarters Office:

Brittany Sickler 409 3rd Street, SW
6th Floor, Washington, DC, District of Columbia 20416 Email:
Brittany.Sickler@sba.gov Phone: 202-710-5163

Website Address:

<http://www.sbir.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See <http://www.sbir.gov>.

59.061 STATE TRADE EXPANSION

State Trade Expansion Program

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Small Business Act, Section 22(l) (15 U.S.C. 649(l)), Section 1207, Public Law 111-240.

OBJECTIVES:

Grants made to state governments to increase the number of small businesses that are exporting and increase the value of exports for those small businesses that are currently exporting.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds are restricted to export promotion activities that assist eligible small business concerns. Reference STEP program announcement. Reference STEP program announcement.

Applicant Eligibility:

States, defined as the 50 states, District of Columbia, Puerto Rico, US Virgin Islands, Guam, American Samoa, and the Commonwealth of Northern Mariana Islands.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Documentation must include designation by the State Governor or his/her designee that the applicant is the sole entity responsible for conducting the state's export activities. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The proposal must be submitted via grants.gov.

Award Procedure:

SBA Headquarters executes cooperative agreements to approved state applicants.

Refer to STEP Program Announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Prior to the end of the Federal fiscal year (i.e., September 30th).

Appeals:

Discretionary awards; no appeal opportunity.

Renewals:

Eligible applicants may apply each year of the program.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The 10 states with the highest percentage of exporters that are small business concerns based upon the latest data available from the Department of Commerce shall receive no more than 40 percent of the total Federal Fiscal year appropriation. The Federal Share of project cost for a State that has a high export volume will be 65%. The Federal share of project cost for a State that does not have a high export volume will be 75%. (The Associate Administrator for the SBA Office of International Trade will determine which States are high volume). The State must match the remainder of project cost. The match must be comprised of not less than 50% cash and not more than 50% of in-kind contributions. Matching funds may not be derived from any Federal program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements are limited to 1 year. Payments are made by cost reimbursement or in advance as specified in the Notice of Award. See the following for information on how assistance is awarded/released: Reference STEP Program Announcement; STEP Merit-based Review Process.

Reports:

Reference STEP Program Announcement and notice of award document. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The legislation requires SBAs Office

of Inspector General (OIG) to conduct a review that determines the extent the recipients of grants are measuring the performance of activities, the results of the measurements, and the overall management and effectiveness of the program.

Records:

The SBA/Office of International Trade shall maintain detailed, complete, and accurate activity records of sufficiency to reflect clearly the nature and variety of State Trade Expansion Program trade promotion activities that are conducted to benefit small businesses. Financial records will be maintained until 3 years after the completion of the grant period.

Account Identification:

73-0400-0-1-376.

Obligations:

(Cooperative Agreements) FY 16 \$18,850,000; FY 17 est \$18,000,000; and FY 18 est \$10,000,000

Range and Average of Financial Assistance:

No data available.

TAFS Codes:

73-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 18850000. Fiscal Year 2017: No current data available. Fiscal Year 2018: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Reference STEP Program Announcement

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

James Parker 409 3rd St., SW
2nd Floor, Washington, DC, District of Columbia 20416 Email:
James.Parker@sba.gov Phone: 202-205-3644

Website Address:

<http://www.STEP@sba.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Awards are competitive. Selection is made based on the eligible applicants written ability to meet the criteria stated in the program announcement in a merit-based, competitive award process with other eligible applicants; and the applicants ability to match funds.

59.062 INTERMEDIARY LOAN PROGRAM

(ILP)

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Small Business Act, Section 7(l) (15 U.S.C. 636(l))

, Title 13, Part 109, Section 1131, Public Law 111-240.

OBJECTIVES:

Three year pilot program in which SBA made direct loans of up to \$1 million at an interest rate of 1 percent to up to 20 nonprofit lending intermediaries each year, subject to the availability of funds. Term of ILP loans is for a maximum period of 20 years with deferred payments for the first two years. Intermediaries use the ILP loan funds to make loans of up to \$200,000 to startup, newly established, or growing small business concerns. No small business (including affiliates) may have more than \$200,000 outstanding under this program at one time.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

Loans shall be made for startup, newly established, and growing small business concerns for working capital, real estate, and the acquisition of materials, supplies, furniture, fixtures, and equipment. \$20 million was set aside for this program each in FY 2011 and FY 2012. Three year pilot program in which SBA made direct loans of up to \$1 million at an interest rate of 1 percent of up to 20 nonprofit lending intermediaries each year, subject to the availability of funds. Term of loans is for a maximum period of 20 years with deferred payments for the first two years. No ILP loan was made to an eligible intermediary if the total amount of outstanding and committed to the eligible intermediary would, as a result of the loan, exceed \$1 million during the participation of the eligible intermediary in the program. \$20 million was set aside for this program each in FY 2011 and FY 2012. Three year pilot program in which SBA will make direct loans of up to \$1 million at an interest rate of 1 percent of up to 20 nonprofit lending intermediaries each year, subject to the availability of funds. Term of loans will be for a maximum period of 20 years with deferred payments for the first two years. No ILP loan may be made to an eligible intermediary if the total amount of outstanding and committed to the eligible intermediary would, as a result of the loan, exceed \$1 million during the participation of the eligible intermediary in the program.

Applicant Eligibility:

Applicants to the pilot program were required to be a private nonprofit entity with not less than one year of experience of making loans to startups, newly established or growing small businesses. The applicant must have directly funded the loans and not simply provided referrals to loans made by another entity. If an applicant is made up of a consortium of organizations, each member of the consortium must be individually eligible. Intermediaries that participate in SBA's microloan program were not eligible to become ILP intermediaries. However, affiliates of microloan intermediaries may have applied. Refer to Federal Register Notice Vol. 76, No. 63, dated Friday, April 1, 2011 starting on page 18007 for additional information.

Beneficiary Eligibility:

Not necessary.

Credentials/Documentation:

Generally applicants submitted an application which included financial statements, certificate of good standing, resumes of individuals associated with the organization, business plan, at least one year experience of making small business loans \$50,000 to \$200,000, documentation supporting non-profit status, and plan for distributing loan funds within two years. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Refer to Federal Register Notice Vol. 76, No. 63, dated Friday, April 1, 2011 starting on page 18007.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Refer to Federal Register Notice Vol. 76, No. 63, dated Friday, April 1, 2011 starting on page 18007.

Appeals:

Not applicable.

Renewals:

Only one award of \$1 million was available to each intermediary.

Formula and Matching Requirements:

Statutory Formula: Title Small Business Administration, Chapter 13, Part 109, Public Law P.L. 111--240. This program requires participating intermediaries to maintain a loan loss reserve of at least 5% of the outstanding principal balance of loans to small business concerns.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

All funds must be disbursed within the first two years of award. Program was funded through FY 2012. See the following for information on how assistance is awarded/released: Award is provided in not less than two installments with first installment not more than \$500,000.

Reports:

Quarterly ILP program activity reports accompanied with bank statements. Audited financial statements. Portfolio identification reports that must be submitted within 7 days of closing of the loan. Portfolio status reports that update payments and balance information on the ILP's portfolio. Expenditure reports are not applicable. Reports for any changes to the ILP's intermediary organization or financing.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Each ILP must submit audited financial statement annually.

Records:

Refer to Federal Register Notice Vol. 76, No. 63, dated Friday, April 1, 2011 starting on page 18007.

Account Identification:

73-1154-0-1-376.

Obligations:

(Direct Loans) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - 2015, 2016, and 2017 - Not applicable.

Range and Average of Financial Assistance:

\$1 million each for 20 ILP's with average of \$1 million.

TAFS Codes:

73-1154-376.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No data available. Fiscal Year 2017: No data available. Fiscal Year 2018: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

See www.sba.gov

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

James Webb 409 3rd Street, SW
8th Floor, Washington, DC, District of Columbia 20416 Email:
James.Webb@sba.gov Phone: 202-619-0628

Website Address:

<http://www.sba.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Information available at www.sba.gov.

59.064 ENTREPRENEURIAL DEVELOPMENT DISASTER ASSISTANCE (DISASTER RELIEF APPROPRIATIONS ACT)

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Disaster Relief Appropriations Act, 2013, Public Law 113-2

, Public Law 96-302; Disaster Relief Appropriations Act, 2013, Public Law 113-2

, Public Law 95-510; Disaster Relief Appropriations Act, 2013, Public Law 113-2

, Public Law 113-2.

OBJECTIVES:

To provide grants to or cooperative agreements with organizations to provide technical assistance related to disaster recovery, response, and long term resiliency to small businesses that are recovering from a natural disaster.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Cooperative Agreements; Dissemination of Technical Information; Project Grants; Provision of Specialized Services

USES AND USE RESTRICTIONS:

Funds restricted to the use of assisting small businesses that are recovering from Hurricane Sandy. Funds restricted to the use of assisting small businesses that are recovering from Hurricane Sandy.

Applicant Eligibility:

SBA is authorized to make grants (including contracts and cooperative agreements) to public or private institution of higher education, including but not limited to any land-grant college or university, any college or school of business, engineering, commerce, or agriculture, community college or junior college, to any private, nonprofit organizations having experience in effectively training and counseling business women, or all existing and potential business owners.

Beneficiary Eligibility:

Current and potential Small business persons recovering from Hurricane Sandy.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Additional information available on www.grants.gov or www.sba.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications are accepted in accordance with the program announcement posted at www.grants.gov. The applicant must submit a written proposal addressing all of the selection criteria stated in the program announcement. The applicant must submit applications/proposals electronically through www.grants.gov (free training on electronic filing is available on the site) by the application closing date.

Award Procedure:

Central Office of SBA executes cooperative agreements to approved applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies with individual proposals.

Appeals:

Varies with individual proposals.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of performance is no longer than 24 months. Method of awarding/releasing assistance: quarterly.

Reports:

Additional information available on the Announcement of Funding Opportunity available on www.grants.gov or on www.sba.gov. Additional information available on the Announcement of Funding Opportunity available on www.grants.gov or on www.sba.gov. Additional information available on the Announcement of Funding Opportunity available on www.grants.gov or on www.sba.gov. Additional information available on the Announcement of Funding Opportunity available on www.grants.gov or on www.sba.gov. Additional information available on the Announcement of Funding Opportunity available on www.grants.gov or on www.sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The awardee shall maintain and submit detailed, complete, and accurate client activity records of a sufficiency to reflect clearly the nature and variety of services provided. Financial records must be maintained until three years after the completion of the grant project or submission of the final report, whichever is later.

Account Identification:

73-0100-0-1-376.

Obligations:

(Salaries) FY 16 Not Available; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

73-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Additional information available in the Notice of Funding on www.grants.gov.

Regional or Local Office:

See Regional Agency Offices. For addresses of the field offices, see Appendix IV of the Catalog under the Small Business Administration.

Headquarters Office:

Patricia Branch 409 3rd Street,
6th Floor, Washington, DC, District of Columbia 20416 Email:
Patricia.Branch@sba.gov Phone: 202-205-7081

Website Address:

<http://www.sba.gov>

RELATED PROGRAMS:

59.026 Service Corps of Retired Executives; 59.037 Small Business Development Centers; 59.043 Women's Business Ownership Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Ability to provide technical assistance, training, counseling, and specialized services to the small business community affected by Hurricane Sandy.

59.066 TRANSITION ASSISTANCE ENTREPRENEURSHIP TRACK (BOOTS TO BUSINESS)

Boots to Business

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

15 U.S.C. 637(b)(1)(A) and (b)(17) and 648 (Section 8(b)(1)(A) and (b)(17) and Section 21 of the Small Business Act).

OBJECTIVES:

Boots to Business (B2B) grant/cooperative agreement funding enables eligible organizations to provide follow-on online entrepreneurship training, information and resources, and domestic and global delivery of the B2B two-day Introduction to Entrepreneurship classroom course to transitioning service members, spouses and family members, and veterans.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To fund eligible organizations in providing online follow-on entrepreneurship training to B2B graduates and to deliver the two-day B2B Introduction to Entrepreneurship course at state-side overseas installations. Beneficiaries must be eligible for transition assistance as defined by Department of Defense policy.

Applicant Eligibility:

Eligible applicants may be a non-profit organization, a state, local, or tribal government agency, an institution of higher learning, a for-profit organization, or collaboration between such entities.

Beneficiary Eligibility:

First beneficiaries are eligible transitioning service members, spouses and family members, and veterans, as defined by DOD policy.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants submit using standard agency process administered via grants.gov

Award Procedure:

Funding opportunity released to public via grants.gov.

Proposals submitted and Proposals evaluated by independent panel review. The elements of the panel review include a review of the technical and cost proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Usually From 30 to 960 days after closing date of RFP.

Appeals:

Not Applicable.

Renewals:

Subject to the exercise of option years.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Usually not to exceed 12 months for the basic effort and four option periods of 12 months each. Funds are made available on a cost reimbursement basis. See the following for information on how assistance is awarded on SBAs website at www.sba.gov/ovbd. Method of awarding/releasing assistance: quarterly.

Reports:

Additional detail provided on SBAs website at www.sba.gov/ovbd. Progress reports and budget reports are required. Cash reports are not applicable. Cash reports are not applicable. When necessary, on a case-by-case basis. SF424. Government monitors performance through day-to-day management relationship with grantee; grants are cooperative agreements so substantial involvement of government is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. When necessary, on a case-by-case basis.

Records:

The recipient(s) should be prepared to maintain detailed, complete, and accurate records to reflect clearly the nature and variety of the services provided. Financial records must be maintained until 3 years after the completion of the grant project or submission of the final report, whichever is later. The recipient(s) should be prepared to participate in the development of a program-wide customer relationship management (CRM) system.

Account Identification:

73-0400-0-1-376.

Obligations:

(Advisory Services and Counseling) FY 16 \$12,808,000; FY 17 est \$12,300,000; and FY 18 est \$11,250,000

Range and Average of Financial Assistance:

\$3,500,000.

TAFS Codes:

73-0400-376.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Federal agency for more information.

Regional or Local Office:

None.

Headquarters Office:

Dena Moglia 409 3rd St., SW
5th Floor, Washington, District of Columbia 20416 Email:
Dena.Moglia@sba.gov Phone: 202-205-7034

Website Address:

<http://www.sba.gov/bootstobusiness>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The technical proposal will consist of five weighted sections: (1) Project Summary and Approach; (2) Programmatic Capability; (3) Entrepreneurial Development or Economic Results Outputs, Outcomes and Measures; (4) Leveraging of Resources; and (5) Risk Management and Budget. The cost proposal will be evaluated based on reasonableness of cost and compliance with OMB Circulars A-110 or A-112.

59.067 REGIONAL INNOVATION CLUSTERS

Regional Innovation Clusters (RICs)

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Small Business Act, Section 8(b)(1)(A) (15 U.S.C. 637(b)(1)(A)).

OBJECTIVES:

The purpose of this program is to connect and enhance regional cluster initiatives so that small businesses can effectively leverage them to commercialize new technologies and expand into new markets, thereby positioning themselves and their regional economies for growth.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Business training; business counseling/consulting; mentoring; technology transfer counseling/consulting; commercialization of existing research and development (R&D) counseling/consulting; engagement with federal agency Small Business Innovative Research & Development programs; support in obtaining Facility Clearance status and holding of security clearances; export readiness counseling/consulting; support for underserved communities; building networks and partnerships ; providing opportunities for accessing new resources and markets; and/or other defined services relevant to small businesses. Funding for this program is designed to provide specialized technical assistance to businesses involved in an industry cluster. Funds are strictly intended for technical assistance and/or support services to small businesses and the associated operating expenses . Funds are not available to finance or purchase a business nor are they available to use as expansion capital for an existing business.

Applicant Eligibility:

Educational institutions, public or private organizations and businesses, individuals, State and local governments, Indian tribes and lending and financial institutions and sureties that have the capability to provide the required business assistance.

Beneficiary Eligibility:

Small business concerns as defined by industry size standards established by the U.S. Small Business Administration.

Credentials/Documentation:

Documentation to establish that all program eligibility criteria are met and that offeror is registered in the System for Award Management. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The Regional Innovation Cluster program is currently deployed through firm-fixed level-of-effort contracts and as such, applicants shall comply with the associated Federal Acquisition Regulation clauses.

Award Procedure:

A technical evaluation panel reviews offers for this program based on a published evaluation criteria and makes a recommendation for award to the designated contracting officer. The contracting officer is warranted to make an award determination on behalf of the agency and may do so at his/her discretion.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

FAR Part 33 applies to protests and appeals for the Regional Innovation Clusters program.

Renewals:

On a case by case need basis, as determined by the contracting officer and the program office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The contract is awarded for one year (12 months), with four additional option years to be exercised at the discretion of the SBA. See the following for information on how assistance is awarded/released: Invoicing shall occur once a month in arrears. Invoices shall include the contract number, the requisition number, and the period of time (beginning and end date) for the services detailed on the invoice. Invoicing shall be in accordance with Contractors Pricing Schedule. Back up detail shall be provided for travel, Other Direct Costs, and subcontracting efforts.

Reports:

Quarterly reports. No cash reports are required. The Contractor shall provide a status report at the end of every quarter detailing activities completed, activities delayed, problem areas determined, problems resolved, and progress to date towards meeting specified metrics. The report shall be submitted to the SBA COTR within 15 calendar days following the last day of the quarter reported. Invoicing shall occur once a month in arrears. Invoices shall include the contract number, the requisition number, and the period of time (beginning and end date) for the services detailed on the invoice. Invoicing shall be in accordance with Contractors Pricing Schedule. Back up detail shall be provided for travel, Other Direct Costs, and subcontracting efforts. Each award is monitored by a Contracting Officers Representative.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. OMB Circular No. A-133

Records:

(2) The Contractor shall make available at its offices at all reasonable times the records, materials, and other evidence for examination, audit, or reproduction, until 3 years after final payment under this contract or for any shorter period specified in FAR Subpart 4.7..

Account Identification:

73-0400-0-1-376.

Obligations:

(Direct Payments for Specified Use) FY 16 \$5,824,000; FY 17 est \$5,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

\$5,000,000 to \$6,000,000 cumulative.

TAFS Codes:

73-0400-376.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: data not available. Fiscal Year 2017: data not available.
Fiscal Year 2018: data not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

See Federal Acquisition Regulation (FAR) at <https://www.acquisition.gov/?q=browsefar>

Regional or Local Office:

None.

Headquarters Office:

Matthew Stevens SBA Office of Entrepreneurial Development
409 3rd St, SW
Washington, DC 20416, Washington, DC, District of Columbia 20416 Email:
Matthew.Stevens@sba.gov Phone: 202-205-7699

Website Address:

<http://www.sba.gov/clusters>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Government will award a contract resulting from this solicitation to the responsible offeror(s) whose offer conforming to the solicitation will be most advantageous to the Government, price and other factors considered, which could include the technical approach, cluster qualifications and experience, and past performance/past experience.

DEPARTMENT OF VETERANS AFFAIRS

64.030 LIFE INSURANCE FOR VETERANS FACE AMOUNT OF NEW LIFE INSURANCE POLICIES ISSUED

FEDERAL AGENCY:

Veterans Benefits Administration, Department of Veterans Affairs

AUTHORIZATION:

The Housing and Economic Recovery Act of 2008, Public Law 110-289, 38 U.S.C 1901-1928; The Veterans Benefits Act of 1992, Public Law 102-568, 38 U.S.C 2106; The Mortgage Protection Life Insurance Act of 1971, Public Law 92-95; The Servicemens Indemnity and Insurance Act of 1951, Public Law 82-23, 38 U.S.C 1903-1922.

OBJECTIVES:

To provide Service Disabled Life Insurance (S-DVI) protection for other than dishonorably discharged Veterans who are service disabled and who separated from active duty on or after April 25, 1951, and to provide Veterans Mortgage Life Insurance (VMLI) for Veterans and Servicemembers who have been granted Department of Veterans Affairs (VA) specially adapted housing benefits. 38 U.S.C. 1922; 38 U.S.C. 2106. These programs represent new contingent liabilities of VA and are reported under this CFDA program.

TYPES OF ASSISTANCE:

Insurance

USES AND USE RESTRICTIONS:

Life insurance settlement is paid upon the death of the insured Veteran. The S-DVI face amount of the policy, less any indebtedness on the policy, is payable to the designated beneficiary upon the insured Veterans death, and only to the mortgage holder under VMLI coverage. See 38 U.S.C. 1917(b)(4); 38 U.S.C. 1924(c); 38 U.S.C. 2106(e).

Applicant Eligibility:

VA may issue S-DVI to a Veteran discharged under other than dishonorable conditions from active military duty, on or after April 25, 1951, who has been granted service connection for a disability, and who, if not for the service-connected disability(ies), would be otherwise insurable in accordance with established standards of good health. The Veteran must apply for coverage within two years from the date of notification of the decision granting service connection. 38 U.S.C. 1922(a). A Veteran insured under S-DVI who qualifies for waiver of premiums, is under age 65, and applies within one year from date of notice from VA that he/she qualifies for waiver of premiums, may be issued Supplemental S-DVI coverage. 38 U.S.C. 1912(a); 38 U.S.C. 1922A(a). A Veteran or Servicemember granted VA specially adapted housing benefits will be sent notice that he/she is automatically eligible to be insured for VMLI unless he/she elects in writing not to be so insured, or fails to respond within 60 days after VA sends a final request for information on which premiums can be based. 38 CFR 8a.3(a).

Beneficiary Eligibility:

S-DVI proceeds are payable to designated beneficiaries. 38 U.S.C. 1917(a). S-DVI may also be granted under certain conditions to mentally incompetent Veterans who were otherwise eligible for such insurance, but due to their incompetency, died without filing an application. 38 U.S.C. 1922(b). VMLI proceeds are payable to the holder of the mortgage loan. 38 U.S.C. 2106(c).

Credentials/Documentation:

Veterans eligible for S-DVI may apply using paper VA Form 29-4364, or apply online using VA Form 29-0151 (system generated). Veterans and Servicemembers granted specially adapted housing benefits under 38 U.S.C. 2101 are automatically approved for VMLI if they complete the application process. To complete the VMLI application process, the following documents must be submitted to VA: (1) Settlement Statement; (2) Truth-In-Lending Disclosure Statement; (3) and current mortgage account statement. Failure to provide these documents in a timely manner will result in coverage not being issued. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Veterans eligible for S-DVI may apply using paper VA Form 29-4364, or apply online using VA Form 29-0151. If a Veteran is mentally incompetent, a VA-recognized fiduciary may apply on his/her behalf for S-DVI. Veterans eligible for waiver of S-DVI premiums may apply for Supplemental S-DVI coverage using VA Form 29-0190. Veterans and Servicemembers granted VA specially adapted housing benefits under 38 U.S.C. 2101 may apply for VMLI using VA Form 29-8636. The S-DVI program is excluded from coverage under OMB Circular No. A-102. The VMLI program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Individuals will be notified of the acceptance/issuance of their insurance policy by VA or the appropriate designated entity. S-DVI is issued in amounts up to \$10,000. 38 U.S.C. 1903. Supplemental S-DVI is issued in amounts up to \$30,000. 38 U.S.C. 1922A. VMLI is issued in amounts up to \$200,000. 38 U.S.C. 2106.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days. Approvals/disapprovals will be issued from 15 to 30 days upon application for Service-Disabled Veterans Insurance after VAs notice of the service-connected rating. Veterans Mortgage Life Insurance is effective immediately upon approval of a specially adapted housing grant.

Appeals:

Claimants can appeal to the Board of Veterans Appeals under 38 U.S.C. 7104 or file an action in a United States district court under 38 U.S.C. 1984. Claimants have one year to appeal to the Board of Veterans Appeals or six years to file an action in a federal district court.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Records are maintained by the Insurance Center.

Account Identification:

36-4012-0-1-701; 36-0120-0-1-701.

Obligations:

(Insurance) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

None.

TAFS Codes:

36-0120; 36-4012.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. For all States, contact the Department of Veterans Affairs, P.O. Box 42954, Philadelphia, PA 19101.

Headquarters Office:

Michelle Staton, VA Insurance Center, 5000 Wissahickon Ave, Philadelphia, Pennsylvania 19144 Email: michelle.staton@va.gov Phone: (1-800) 669-8477.

Website Address:

<http://www.benefits.va.gov/INSURANCE/index.asp>

RELATED PROGRAMS:

64.031 Life Insurance for Veterans - Direct Payments for Insurance; 64.103 Life Insurance for Veterans; 64.105 Pension to Veterans Surviving Spouses, and Children; 64.106 Specially Adapted Housing for Disabled Veterans; 64.109 Veterans Compensation for Service-Connected Disability; 96.001 Social Security_Disability Insurance; 96.004 Social Security_Survivors Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.031 LIFE INSURANCE FOR VETERANS - DIRECT PAYMENTS FOR INSURANCE

FEDERAL AGENCY:

Veterans Benefits Administration, Department of Veterans Affairs

AUTHORIZATION:

War Risk Insurance Act, Public Law 65-90, 38 U.S.C. 1903-1941; National Service Life Insurance Act, Public Law 76-801; World War Veterans Act, Public Law 68-242; Servicemen's Indemnity and Insurance Act, Public Law 82-23.

OBJECTIVES:

To provide direct insurance payments, including advance payments disbursed as loans, to Veterans and their beneficiaries for claims based on death or other qualifying circumstances. Payments may be authorized for United States Government Life Insurance (USGLI), National Service Life Insurance (NSLI), and Veterans Mortgage Life Insurance (VMLI). 38 U.S.C. 1901-1963; 38 U.S.C. 2106.

TYPES OF ASSISTANCE:

Insurance

USES AND USE RESTRICTIONS:

The funds used to pay insurance proceeds will be discussed below in the Award Procedure (093) section. Life insurance settlement is payable upon death of the insured, or the insured may surrender a permanent plan policy for cash. 38 U.S.C. 1917; 38 U.S.C. 1951; 38 CFR 8.11(b); 38 CFR 6.14. The insured may also be granted a loan for up to 94 percent of the cash surrender value of a permanent plan policy. 38 CFR 8.13; 38 CFR 6.13. Interest on United States Government Life Insurance (WWI) policy loans is charged at the rate of 5 percent per annum. 38 U.S.C. 1944. Interest is charged at a variable annual rate for NSLI. 38 CFR 8.13. The variable rate equals the yield on the Ten-Year Constant Maturities Index for U.S. Treasury securities for the month of June of each year rounded down to the next whole percentage. 38 CFR 8.13(c). This loan rate is effective from the first day of October of each year

and will not exceed 12 percent or be lower than 5 percent per annum. 38 CFR 8.13(d). The face amount of the policy, less any indebtedness on the policy, is payable to the designated beneficiary upon the insured's death under a regular life policy, and only to the mortgage holder under VMLI coverage. 38 U.S.C. 1917(a); 38 U.S.C. 2106(e). Other direct payments may include dividend cash option payments or other payments as authorized. 38 CFR 8.10; 38 CFR 6.11.

Applicant Eligibility:

Veterans currently insured under USGLI, NSLI, or VMLI. Payment cannot be made if it would cause the insurance proceeds to revert back to a State (as in cases where there are no permissible heirs to pay). 38 U.S.C. 1950; 38 U.S.C. 1917(d).

Beneficiary Eligibility:

Payment may be made on a claim to a designated beneficiary, a representative of the estate, a minor or incompetent, or another designee filing for benefits. 38 U.S.C. 1917. A beneficiary may assign all or a portion of his or her share of the insurance to a restricted class of the insured's relatives. 38 U.S.C. 1918(b). Payments may also be made to the policyholder for loan or dividend amounts. 38 CFR 8.11(b); 38 CFR 6.14; 38 CFR 8.10; 38 CFR 6.11.

Credentials/Documentation:

The following documentation is generally required for payment of an insurance claim: (1) for a principal beneficiary, a photocopy of the death certificate showing date and cause of death of the insured, and a completed VA Form 29-4125; (2) for a contingent beneficiary, death certificates for the insured and principal beneficiary(ies), and a completed VA Form 29-4125; (3) for a representative of the Veteran's estate, the death certificate(s), copies of letters testamentary, letters of administration, or a court order of distribution along with claims from the entitled parties, and an appropriate claim form signed by the executor or administrator of the estate; (4) for a minor or incompetent, death certificate(s); a claim from the next of kin, personal representative (guardian, custodian, etc.) or logical person to receive payment for the minor or incompetent; letters of guardianship, conservatorship (if any); and the address of minor or incompetent. If filing for VMLI benefits, the following documentation is needed: insured's death certificate, and a payoff statement from the Veteran's or Servicemember's mortgage lender showing the outstanding mortgage balance owed as of the Veteran's or Servicemember's death. An insured's will can be used as evidence that he or she intended a lump sum payment, regardless of when the will was executed. 38 CFR 8.25. A letter claiming the insurance proceeds and showing a mailing address for the check may be used instead of a VA claim form. The claimant should be sure to show the insurance file number and to sign the letter. An insured can surrender the policy for its cash value by submitting VA Form 29-1546, page 1. An insured can request a loan against the cash value of the policy by submitting VA Form 29-1546, page 2, or by applying online. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Death proceeds can be claimed by submitting a VA Form 29-4125 or 4125(a). An insured can surrender the policy for its cash value by submitting VA Form 29-1546, page 1. An insured can request a loan against the cash value of the policy by submitting VA Form 29-1546, page 2, or by applying online.

Award Procedure:

Direct Insurance payments can be directly deposited into a claimant's account. Claimants must provide their checking or savings deposit account number as well as their social security numbers. Claimants must also provide the name, address, phone number, and routing transit number for their financial institutions. If the award check is to be mailed to the claimant's bank account, the account number and type of account (checking or savings) must be shown

on the claim in addition to the name and address of the bank. USGLI proceeds are paid from the USGLI fund. 38 U.S.C. 1955(a). Under 38 CFR part 8, four distinct life insurance programs exist, namely, NSLI, Veterans Special Life Insurance (VSLI, 38 U.S.C. 1923), Veterans Reopened Insurance (VRI, 38 U.S.C. 1925), and Service-Disabled Veterans Insurance (S-DVI, 38 U.S.C. 1922). NSLI proceeds are paid from the NSLI fund. 38 U.S.C. 1920(a). VSLI proceeds are paid from the VSLI fund. 38 U.S.C. 1923(a). VRI proceeds are paid from the VRI fund. 38 U.S.C. 1925(d)(1). S-DVI proceeds are paid from the fund established at 38 U.S.C. 1922(a)(4). VMLI proceeds are paid from the Veterans Insurance and Indemnities appropriations account. 38 U.S.C. 2106(d)(1).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Most death awards are authorized by the Insurance Center within 10 days of receipt of the documents needed for payment.

Appeals:

Claimants can appeal to the Board of Veterans Appeals under 38 U.S.C. 7104 or file an action in a United States district court under 38 U.S.C. 1984. Claimants have one year to appeal to the Board of Veterans Appeals or six years to file an action in a federal district court.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Records are maintained at the Insurance Center.

Account Identification:

36-5150-0-1-701; 36-8132-0-1-701; 36-0120-0-1-701; 36-4010-0-1-701; 36-4012-0-1-701; 36-8455-0-1-701.

Obligations:

(Insurance) FY 16 \$1,220,000,000; FY 17 est \$1,220,000,000; and FY 18 est \$1,160,000,000 - Death claims, disability claims, mature endowments, cash surrender, dividends, interest paid on dividend credits and deposits, policy liens established.

Range and Average of Financial Assistance:

None.

TAFS Codes:

36-8132; 36-0120; 36-8455; 36-8150; 36-4012.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. For all States, contacts the Department of Veterans Affairs, P.O. Box 42954, Philadelphia, PA 19101. This office should also be contacted about Veterans Mortgage Protection Life Insurance.

Headquarters Office:

Michelle Staton VA Insurance Center, 5000 Wissahickon Ave., Philadelphia, PA 19144, Philadelphia, Pennsylvania 19144 Email: michelle.staton@va.gov Phone: 1-800-669-8477

Website Address:

<http://www.benefits.va.gov/INSURANCE/index.asp>

RELATED PROGRAMS:

64.030 Life Insurance for Veterans Face Amount of New Life Insurance Policies Issued; 64.105 Pension to Veterans Surviving Spouses, and Children; 64.106 Specially Adapted Housing for Disabled Veterans; 64.109 Veterans Compensation for Service-Connected Disability; 96.001 Social Security_Disability Insurance; 96.004 Social Security_Survivors Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.032 MONTGOMERY GI BILL SELECTED RESERVE; RESERVE EDUCATIONAL ASSISTANCE PROGRAM

Montgomery GI Bill - Selected Reserve (MGIB-SR)
Reserve Educational Assistance Program (REAP)

FEDERAL AGENCY:

Veterans Benefits Administration, Department of Veterans Affairs

AUTHORIZATION:

Federal Funding Accountability and Transparency Act; National Defense Authorization Act, Public Law 114-92, 10 U.S.C 1606-1607.

OBJECTIVES:

To encourage membership in units of the Selected Reserve of the Ready Reserve and to provide educational assistance to members of the reserve components called or ordered to active service in response to a war or national emergency declared by the President or Congress.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Participants may receive payment for pursuit of approved educational assistance programs. Participants may receive payment for pursuit of approved educational assistance programs.

Applicant Eligibility:

What follows is not a complete list of eligibility requirements. For more information on MGIB-SR and REAP visit <http://www.gibill.va.gov>. Generally, to qualify for benefits under MGIB-SR you must (1) Have a six-year obligation to serve in the Selected Reserve signed after June 30, 1985. If you are an officer, you must have agreed to serve six years in addition to your original obligation. For some types of training, it is necessary to have a six-year commitment that begins after September 30, 1990; (2) Complete your initial active duty for training (IADT); (3) Meet the requirement to receive a high school diploma or equivalency certificate before completing IADT. You may not use 12 hours toward a college degree to meet this requirement; and (4) Remain in good standing while serving in an active Selected Reserve unit. Generally, to qualify for benefits under REAP you must have served at least 90 consecutive days on active duty as a result of a call or order to active duty from a reserve component in response to a war or national emergency declared by the President or Congress.

Beneficiary Eligibility:

As stated above under Applicant Eligibility.

Credentials/Documentation:

Notice of Basic Eligibility (NOBE), or eligibility notification from the Department of Defense. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for

Federal Awards. Reservist may apply for MGIB-SR and REAP benefits by completing and submitting the Application for VA Education Benefits (VA Form 22-1990). Applications may be obtained and completed online via the GI Bill website at www.GIBill.va.gov. Applications are also available at all VA Regional Offices.

Award Procedure:

Awards are authorized at the designed VA Regional Processing Office. Participants may use benefits while serving in an active selected reserve unit. MGIB-SR participants released due to disability that was not caused by misconduct will have 14 years from their date of eligibility to use benefits. REAP participants generally have 10 years from their release date to use education benefits.

Deadlines:

Nov 24, 2015 to Nov 25, 2019 The following information applies only to the Reserve Educational Assistance Program (REAP). Veterans who were attending an educational institution on November 24, 2015, or during the last semester, quarter, or term ending prior to that date, are eligible to continue to receive RREAP benefits until November 25, 2019. Veterans who applied for REAP but were not attending an educational institution on November 24, 2015, or during the last semester, quarter, or term ending prior to that date, are no longer eligible to receive REAP benefits. Veterans may be eligible to receive benefits under the Post-9/11 GI Bill. Veterans who have not enrolled in school and applied for REAP benefits prior to November 2, 2015, are no longer eligible for REAP benefits. However, in most cases, the Veteran will be eligible for the Post-9/11 GI Bill.

If the VA received a new application for REAP on or after November 25, 2015, the veterans periods of service will be evaluated for eligibility for all programs, including Post-9/11 GI Bill.

If you're using REAP but would like to learn how to make an irrevocable election to use the Post-9/11 GI Bill instead, please call us at 1-888-GIBILL-1.

Range of Approval/Disapproval Time:

In FY 2017, an original claim will be considered timely if processed within 21 days. A supplemental claim will be considered timely if processed within 9 days.

Appeals:

Appeals are available in 2016, the average response time was 81 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Reservists receive monthly payments in most instances. Accelerated payments (one-time payments) equal to 60% of the cost of the course are available for certain high cost courses. Advance payment of the first 2 months of benefits for an enrollment period may be available. See the following for information on how assistance is awarded/released: Reservists receive monthly payments in most instances. Accelerated payments (one-time payments) equal to 60% of the cost of the course are available for certain high cost courses. Advance payment may be available; if eligible, VA will advance payment for the first partial month plus the first full month of the enrollment.

Reports:

Educational assistance will not be paid until VA receives certification of enrollment from the school. Beneficiaries are required to notify the school and VA of any changes to enrollment. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

36-0137-0-1-702.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable - (Direct Payments)

MGIB-SR: FY 09 \$163,962,000; FY10 \$195,223,000; FY 11 EST \$230,630,000

REAP: FY 09 \$177,705,000; FY 10 \$109,026,000; FY 11 EST \$90,834,000.

Range and Average of Financial Assistance:

The amount of educational assistance an individual is entitled to under chapter 1606 is \$369, based on full time training for FY 2017. Assistance under chapter 1607 for FY 2017 is \$1,485.60 for consecutive service of 2 or more years, \$1114.20 for one year, but less than two, and \$742.80 for 90 days but less than a year of service. Chapter 1607 rates are based on MGIB chapter 30 three year rate.

TAFS Codes:

36-01-0137.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 10, U.S. Code, chapters 1606 and 1607.

Regional or Local Office:

See Regional Agency Offices. 1-888-GI-BILL-1 (1-888-442-4551).

Headquarters Office:

VA Central Office Department of Veterans Affairs, Central Office, Washington, District of Columbia 20420. Phone: (202) 461-9800.

Website Address:

<http://www.benefits.va.gov/gibill>

RELATED PROGRAMS:

64.117 Survivors and Dependents Educational Assistance; 64.120 Post-Vietnam Era Veterans' Educational Assistance; 64.124 All-Volunteer Force Educational Assistance; 64.125 Vocational and Educational Counseling for Servicemembers and Veterans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.054 RESEARCH AND DEVELOPMENT

Research and Development

FEDERAL AGENCY:

VA Health Administration Center, Department of Veterans Affairs

AUTHORIZATION:

Veterans Health Care, Capital Asset, and Business Improvement Act of 2003, Public Law 108-170, 38 U.S.C 7303.

OBJECTIVES:

In accordance with the provisions of Title 38 U.S.C. 7303, this part sets forth the mechanism for VA medical facilities to submit applications for VA employees to conduct research for the purpose of improving Veterans health and well-being. Investigator-initiated research proposals is the foundation to achieving ORDs mission to discover knowledge, develop VA researchers and health care leaders, and create innovations that advance health care for our Veterans.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The VA research program is an intramural program. The ORD allocates appropriated medical and prosthetic research funds to VA medical facilities for scientifically meritorious research related to the high-priority health care needs of Veterans to be conducted by VA employees. VA does not have the statutory

authority to make research grants to colleges and universities, cities, states, or any other non-VA entity.

Applicant Eligibility:

The Principal Investigator (PI) and any Co-Principal Investigator (Co-PI) must have employment status of at least 25 hours per week (5/8ths) and activities that demonstrate a primary professional commitment to VA, including research, patient care, teaching, committee work, etc.. The eligibility of each prospective PI (and Co-PI) must be established prior to the funding of a research proposal.

Beneficiary Eligibility:

VA Research's fundamental mission is to advance the healthcare of Veterans.

Credentials/Documentation:

Applicants are required to submit a complete application package to be considered for an award. The FOA/RFA will specify the application procedures to be followed. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications submitted in response to a FOA/RFA must be submitted through Grants.gov using the VA-ORD Application Guide SF424 Research and Related (R&R) and forms available on the VA-ORD Intranet site at <http://vawww.research.va.gov/funding/electronic-submission.cfm>. ORD FOA/RFAs are not searchable in Grants.gov and are obtained through the aforementioned Intranet site.

Award Procedure:

Applications submitted in response to a VA-ORD FOA/RFA will be reviewed through a two-tier system.

The first level of review will be performed by a Scientific Review Group (SRG) composed of scientists who have expertise in relevant scientific disciplines and current research areas. The purpose of the SRG is to evaluate the scientific and technical merit of applications. The SRG does not make funding decisions.

The second level of review will be performed by VA-ORD, based not only on considerations of scientific merit (as judged by the SRGs), but also on the relevance of the proposed study to the mission, programs, and priorities of VA-ORD and the awarding Service. Final funding decisions are made at the discretion, and approval, of the awarding Service Director.

VA-ORD uses a Just-in-Time process to ensure all VA regulations and policies are met prior to making a final award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

The appeals process is intended to ensure that the scientific review of all applications is fair and equitable. It is not intended as a means to resolve differences in scientific opinion, to adjust funding decisions, or to circumvent the peer review process. The basis for an appeal and the procedure for submitting an appeal will be detailed in the FOA/RFA.

Renewals:

Renewal applications are not accepted for all award types. The FOA/RFA will specify if renewal applications will be accepted.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. PIs are required to submit an annual research performance progress report or other interim performance report as per the FOA/RFA. The requirement to submit a progress report allows for the documentation of awardee accomplishments and compliance with terms of award and describes the scientific progress, identifies significant changes, reports on personnel, and describes plans for the subsequent budget period or year. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. The Office of Research Oversight (ORO) serves as the primary VHA office exercising oversight concerning all matters of research compliance and assurance. ORO conducts onsite Comprehensive Program Reviews (CPRs) that provide a high-level, integrated evaluation of all components of the VA medical facility's research compliance program that are subject to ORO oversight (i.e., human research protections, research information security, laboratory animal welfare, research safety, and general research administration). A CPR may be followed by an onsite focused compliance review, remote remediation, and/or onsite/remote technical assistance, targeting the specific areas of high vulnerability or potential noncompliance identified in the CPR.

Records:

As per VA Records Control Schedule 10-1, Chapter 8.

Account Identification:

36-0161-0-1-703.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 est \$536,000,000; and FY 18 Estimate Not Available - VA estimates that \$536 million of the Medical Care appropriation will support Medical and Prosthetic Research in 2017.

Range and Average of Financial Assistance:

VA-ORD awards are for terms ranging from one to seven years, as specified in each FOA/RFA. Availability of funds is dependent on Congressional appropriation and adjustments to budgets may be imposed after an award is initiated.

TAFS Codes:

36-0161.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Accomplishments are defined by each award. This is available through the annual research performance progress report or other interim performance report. Fiscal Year 2017: Accomplishments are defined by each award. This is available through the annual research performance progress report or other interim performance report. Fiscal Year 2018: Accomplishments are defined by each award. This is available through the annual research performance progress report or other interim performance report.

REGULATIONS, GUIDELINES, AND LITERATURE:

See Website.

Regional or Local Office:

See Regional Agency Offices. VHA CO RDO ERA Mailbox.

Headquarters Office:

Tiffany Asqueri 810 Vermont Ave., N.W., Washington, District of Columbia 20420 Email: vhaacordera.vhaacordera@va.gov Phone: 202-443-5600

Website Address:

<http://www.research.va.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Federal RePORTER will provide a list of active awards by Fiscal Year (FY).

1. Go to <http://federalreporter.nih.gov/>

2. Click Advanced Search

3. Select VA for the Agency

4. Select FY

5. Submit Query. Fiscal Year 2017: Federal RePORTER will provide a list of active awards by Fiscal Year (FY).

1. Go to <http://federalreporter.nih.gov/>

2. Click Advanced Search

3. Select VA for the Agency

4. Select FY

5. Submit Query. Fiscal Year 2018: Federal RePORTER will provide a list of active awards by Fiscal Year (FY).

1. Go to <http://federalreporter.nih.gov/>

2. Click Advanced Search

3. Select VA for the Agency

4. Select FY

5. Submit Query.

CRITERIA FOR SELECTING PROPOSALS:

The review and selection criteria are dependent on the funding mechanism and will be provided in the funding opportunity announcement/request for applications.

64.101 BURIAL EXPENSES ALLOWANCE FOR VETERANS**FEDERAL AGENCY:**

Veterans Benefits Administration, Department of Veterans Affairs

AUTHORIZATION:

38 U.S.C. 2301, 2302, 2303, 2306, 2307, 2308, 38 U.S.C 2301-2308.

OBJECTIVES:

To provide a monetary allowance not to exceed \$747, or that amount authorized under 38 U.S.C. 2303 whichever is greater, toward the plot or interment expense for certain Veterans not buried in a national cemetery. Up to \$300 as a burial allowance is payable toward the burial expense of certain Veterans. If death is the result of a service-connected disability, payments can be made to reimburse burial and funeral expenses not to exceed \$2,000 or that amount authorized under 5 U.S.C. 8134(a) whichever is greater. In addition to the statutory burial allowance, the cost of transporting the Veterans' remains from place of death to site of burial is paid by VA if death occurs in a VA facility. The cost of transporting the remains from place of death to the national cemetery in which space is available nearest the Veteran's last place of residence may also be paid if death was due to service-connected disability, or at the time of death the Veteran was in receipt of or was entitled to receive disability compensation but for the receipt of military retired pay or non-service-connected disability pension and burial is in a national cemetery. A headstone or marker may be authorized. Also, an American flag to drape the casket of a Veteran may be provided.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

No restrictions.

Applicant Eligibility:

Eligible surviving spouses of record or added to the record at the time the death is reported are paid burial and plot allowances automatically upon notification of a Veterans death, without the need to submit a claim. VA may grant additional benefits, including the interment and transportation allowance, if VA receives a claim for these benefits.

If the burial benefit has not been automatically paid to the surviving spouse, VA will pay the first living person to file a claim of those listed below:

The Veterans surviving spouse, OR

The survivor of a legal union between the deceased Veteran and the survivor, OR

The Veterans children, regardless of age, OR

The Veterans parents or surviving parent, OR

The executor or administrator of the estate of the deceased Veteran. If no one has been appointed, then VA may pay someone acting in such a capacity for the estate.

The next of kin, friend or associate of the deceased Veteran is eligible for the flag.

Beneficiary Eligibility:

The Veterans surviving spouse, the survivor of a legal union between the deceased Veteran and the survivor, the Veterans children, regardless of age, the Veterans parents or surviving parent, or the executor or administrator of the estate of the deceased Veteran who paid the Veterans burial expenses. If no one has been appointed as executor or administrator of the estate, then VA may pay someone acting in such a capacity for the estate. A funeral director may be eligible if the Veterans remains are unclaimed.

Credentials/Documentation:

Burial and plot allowances are payable for a Veteran whose discharge was under other than dishonorable conditions and meets the following criteria: the deceased Veteran was discharged or released from active duty for a disability incurred or aggravated in line of duty; or at the time of death the Veteran was entitled to compensation or pension, or the deceased Veteran was indigent or the deceased Veteran was properly hospitalized at VA expense. In lieu of plot and burial allowances, the service-connected allowance is payable toward the burial expenses of a deceased Veteran who died of a service-connected cause or who, at the date of death was rated totally disabled for a service-connected disability or disabilities. Each wartime Veteran and each peacetime Veteran who served at least one enlistment or was discharged or released from active duty because of a disability incurred or aggravated in line of duty is entitled to a flag to drape the casket. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An application (VA Form 21-530) may be obtained from any VA office or regional office (see Appendix IV of the Catalog) and submitted to the regional office for burial benefits. Headstones and markers must be applied for on VA Form 40-1330 in accordance with the "General Information Sheet" and instructions attached to the application and submit it to the Department of Veterans Affairs. Applications are also available on-line at <http://www.va.gov/vaforms/>.

Award Procedure:

Awards are authorized at the regional office except for headstones and markers.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. On average from 78 to 144 days. Approximately 62 percent completed in 125 days or less.

Appeals:

Available through special board. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

36-0155-0-1-701.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$225,269,000; FY 17 est \$231,669,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Up to \$747 for plot or interment expenses. Up to \$300 for burial allowance if death is not service-connected. Up to \$2,000 if death is service-connected. Reasonable transportation charges may be covered in certain cases. A reasonable transportation expense is an expense that is usual and customary in the context of burial transportation, with a corresponding charge that is the usual and customary charge made to the general public for the same or similar services.

TAFS Codes:

36-0155.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FY 2016 estimates are 40,538 burial allowances and 497,644 burial flags. Fiscal Year 2017: : FY 2017 estimates are 41,867 burial allowances and 497,644 burial flags. Fiscal Year 2018: : FY 2018 estimates are 41,867 burial allowances and 497,644 burial flags.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.1600-3.1611. "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-6-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is 051-000-00233-4.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Department of Veterans Affairs 810 Vermont Ave., N.W., Washington, District of Columbia 20420 Phone: 202-461-9700

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

64.105 Pension to Veterans Surviving Spouses, and Children

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.104 PENSION FOR NON-SERVICE-CONNECTED DISABILITY FOR VETERANS

(Pension)

FEDERAL AGENCY:

Veterans Benefits Administration, Department of Veterans Affairs

AUTHORIZATION:

38 U.S.C. 1511, 1512, 1513, 1521, 1522, 38 U.S.C 1511-1513 1521.

OBJECTIVES:

To assist wartime veterans in need whose non-service- connected disabilities are permanent and total preventing them from following a substantially gainful occupation.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

No restrictions.

Applicant Eligibility:

A Veteran who meets the wartime service requirements is potential eligible if he/she is:

permanently and totally disabled for reasons not necessarily due to service, age 65 or older, or

is presumed to be totally and permanently disabled for pension purposes because:

o he/she is a patient in a nursing home for long-term care due to a disability, or o being disabled, as determined by the Commissioner of Social Security (SS) for purposes of any benefits administered by the Commissioner, such as SS disability benefits or Supplemental Security Income.

Income restrictions are prescribed in 38 U.S.C. 1521. Pension is not payable to those whose estates are so large that it is reasonable they use the estate for maintenance.

A Veteran meets wartime service requirements if he/she served:

a total of 90 days or more during one or more periods of war;
90 or more consecutive days that began or ended during a period of war; or
for any length of time during a period of war if he/she was discharged or released for a service-connected disability.

Veterans entering service after September 7, 1980, must also meet the minimum active duty requirement of 24 months of continuous service or the full period to which the Veteran was called to active duty. (38 U.S.C.5303(A)).

Beneficiary Eligibility:

Disabled veterans.

Credentials/Documentation:

Military discharge certificate showing the separation from service was under other than dishonorable conditions. The Veteran must have a permanent and total disability preventing a substantially gainful occupation, be age 65 or older, or presumed to be totally and permanently disabled for pension purposes because he/she is a patient in a nursing home for long-term care because of disability, or disabled, as determined by the Commissioner of Social Security (SS) for purposes of any benefits administered by the Commissioner, such as SS disability benefits or Supplemental Security Income. His or her income and net worth must be limited as prescribed by 38 U.S.C. 1521 and 1522. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An application (VA Form 21-526 or VA Form 21-527EZ) may be obtained from a local or regional VA Office (see Appendix IV of the Catalog) and submitted to the Regional Office. Veterans may also apply on-line at www.va.gov.

Award Procedure:

Awards are authorized at the regional office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. On average from 69 to 93 days. Approximately 86 percent completed in 125 days or less.

Appeals:

Available. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

36-0102-0-1-701.

Obligations:

(Direct Payments for Specified Use) FY 16 \$3,745,970,000; FY 17 est \$3,900,824,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Payments are \$12,868 annually, reduced by countable income for a Veteran without dependents (\$21,466 if in need of aid and attendance and \$15,725 if housebound) and \$16,851 for a Veteran with one dependent (\$25,488 if in need of aid and attendance and \$19,710 if housebound) plus \$2,198 for each additional dependent. Also, an additional \$2,923 is added if a Veteran is of the WWI or Mexican Border Period. These rates were effective December 1, 2014 for Improved Law Pensions.

TAFS Codes:

36-0102.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FY 16, 291,195 Veterans received pensions. Fiscal Year 2017: FY 17, 291,777 Veterans received pensions. Fiscal Year 2018: FY 18, 291,777 Veterans received pensions.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.3. "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-79054. The stock number is 051-000-00233-4.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Department of Veterans Affairs 810 Vermont Ave., N.W., Washington, District of Columbia 20420 Phone: 202-461-9700

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.105 PENSION TO VETERANS SURVIVING SPOUSES, AND CHILDREN

(Death Pension)

FEDERAL AGENCY:

Veterans Benefits Administration, Department of Veterans Affairs

AUTHORIZATION:

Military Construction, Veterans Affairs and Related Agencies Appropriations Act, 38 U.S.C 1541.

OBJECTIVES:

To assist needy surviving spouses, and children of deceased war-time veterans

whose deaths were not due to service.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

No restrictions.

Applicant Eligibility:

Unmarried surviving spouses and children of deceased Veterans who met the wartime service requirements or was a wartime Veteran who was receiving (or was entitled to receive) disability compensation at the time of death. Veterans who entered service after September 7, 1980, must also have met the minimum active duty requirement of 24 months of continuous service or the full period to which the Veteran was called to active duty. Veterans discharged on or after October 16, 1981, must have served for two years or the length to which called to active duty, if less than two years, or have a service-connected disability (38 U.S.C. 5303(A)). Income restrictions are prescribed in 38 U.S.C. 1541 and 1542. A child must be unmarried and under 18, between 18 and 23 if in school, or disabled before 18 and continuously incapable of self-support. Pension is not payable to those whose estates are so large that it is reasonable they use the estate for maintenance.

A Veteran meets wartime service requirements if he/she served: a total of 90 days or more during one or more periods of war; 90 or more consecutive days that began or ended during a period of war; or for any length of time during a period of war if he/she was discharged or released for a service-connected disability.

Beneficiary Eligibility:

Low income surviving spouses and children of deceased war-time Veterans.

Credentials/Documentation:

Military discharge certificate, marriage certificate, and birth certificate(s). Income and net worth must be limited as prescribed by statute. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. An application (VA Form 21-534 or VA Form 21-534EZ) may be obtained from any VA office or regional office (see Appendix IV of the Catalog) and submitted to the regional office. Applications are also available on-line at <http://www.va.gov/vaforms/>.

Award Procedure:

Awards are authorized by the Regional Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. On average from 73 to 187 days. Approximately 52 percent completed in 125 days or less.

Appeals:

Available. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Effective December 2004, entitlement may be established from the first day of the month in which the Veteran died if the application is received within one

year of the death; otherwise, entitlement is established from the date of receipt of the claim. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None. A surviving spouse or child must promptly report a change in marital status and income. Additionally, a change in schooling for a child over 18 but under 23 must be reported.

Account Identification:

36-0102-0-1-701.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$1,792,871,000; FY 17 est \$1,859,608,000; and FY 18 est \$2,014,943,000

Range and Average of Financial Assistance:

\$8,630 annually, reduced by countable income for a spouse without children (\$13,794 if in need of aid and attendance and \$10,548 if housebound) and \$11,296 for a surviving spouse with one child (\$16,456 if in need of aid and attendance and \$13,209 if housebound) plus \$2,198 for each additional child. These rates were effective December 1, 2014 for Improved Law Pensions.

TAFS Codes:

36-0102.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 15, 208,315 survivors cases received pension. FY 16, an estimated 201,330 cases. Fiscal Year 2017: FY 17, an estimated 201,390 cases. Fiscal Year 2018: FY 18, an estimated 201,390 cases.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.3. "Federal Benefits for Veterans and Dependents," Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number: 051-000-00-233-4.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Michelle Staton Department of Veterans Affairs, Washington, DC 20420, Washington, District of Columbia 20420 Phone: 202-461-9700

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

64.101 Burial Expenses Allowance for Veterans; 64.103 Life Insurance for Veterans; 96.004 Social Security_Survivors Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.106 SPECIALLY ADAPTED HOUSING FOR DISABLED VETERANS

(Paraplegic Housing)

FEDERAL AGENCY:

Veterans Benefits Administration, Department of Veterans Affairs

AUTHORIZATION:

Veterans' Housing Opportunity and Benefits Improvement Act of 2006, Public Law 109-233, 38 U.S.C 2101-2106.

OBJECTIVES:

To help certain severely disabled veterans acquire a home which is suitably adapted to meet the special needs of their disabilities.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

a. The Specially Adapted Housing (SAH) grant program helps Veterans with certain service-connected disabilities live independently in a barrier-free environment. SAH grants can be used in one of the following ways: (1) construct a suitable home on suitable land either already owned or to be acquired by the veteran, or (2) remodel an existing home if it can be suitably adapted, or (3) acquire a suitably adapted home or reduce the outstanding mortgage on a suitably adapted home already owned by the veteran.

b. The Special Housing Adaptation (SHA) grant program helps veterans with certain service-connected disabilities adapt or purchase a home to accommodate the disability. SHA grants can be used in one of the following ways: (1) adapt an existing home the veteran or a family member already owns in which the veteran lives; (2) adapt a home the veteran or family member intends to purchase in which the veteran will live; (3) help a veteran purchase a home already adapted in which the veteran will live.

c. . The Temporary Residence Adaptations (TRA) program provides adaptation assistance to veterans who are residing, but do not intend to permanently reside, in the a residence owned by a family member. If a veteran is otherwise eligible for SAH or SHA, the assistance is limited.

d. SAH and SHA grants may be used up to three times, as long as the aggregate grant amount does not exceed the statutory dollar limitation. TRA grants may only be used once (and count as a grant usage for purposes of the limit of three), and the amount of assistance provided will be subtracted from the veteran's available statutory maximum.

Applicant Eligibility:

For a SAH grant, veterans are eligible if they are entitled to disability compensation for a permanent, total, and service-connected disability due to: (1) loss or loss of use of both lower extremities, such as to preclude locomotion without the aid of braces, canes, crutches, or a wheelchair; (2) blindness in both eyes, having only light perception, plus loss or loss of use of one lower extremity; (3) loss or loss of use of one lower extremity, together with residuals of organic disease or injury, or the loss or loss of use of one upper extremity, which so affect the functions of balance or propulsion as to preclude locomotion without the aid of braces, crutches, canes, or a wheelchair; or (4) loss or loss of use, of both upper extremities such as to preclude use of the arms at or above the elbows; (5) a severe burn injury. It must be medically feasible for the veteran to reside in the particular home involved. For an SHA grant, veterans are eligible if they are entitled to disability compensation for a permanent and total service-connected disability that (1) is due to blindness in both eyes with 5/200 visual acuity or less, or (2) includes the anatomical loss or loss of use of both hands, or (3) a severe burn injury.

Beneficiary Eligibility:

Permanently and totally disabled veterans.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Initial application for determination of basic eligibility may be made on VA Form 26-4555 to the VA regional office holding the veteran's claim folder. Veterans can also apply through eBenefits at <https://www.ebenefits.va.gov/ebenefits/homepage>. After eligibility is determined, a VA employee will personally assist the veteran throughout

subsequent processing, including advice on a suitable house plan and lot, adaptive features, location of a qualified architect and builder, and submission of the documents necessary for final grant approval.

Award Procedure:

The VA Regional Office releases the grant proceeds after conditions of the contract and grant approval are fulfilled.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Initial interview completed within 30 days of determination of eligibility. Grant approval timeline varies and is dependent upon veteran's desires to move forward with securing contractors and drafting construction plans.

Appeals:

Denial of eligibility is appealable through the Board of Veterans' Appeals. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The SAH and SHA benefit amount is set by law, but may be adjusted upward annually based on a cost-of-construction index. The maximum dollar amount allowable for SAH grants in fiscal year 2016 is \$73,768. The maximum dollar amount allowable for SHA grant in fiscal year 2016 is \$14,754. No individual may use the grant benefit more than three times up to the maximum dollar amount allowable. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The way grant funds are released is dependent on the grants purpose (mortgage principle reduction or remodel and construction). Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

36-0137-0-1-702.

Obligations:

(Direct Payments for Specified Use) FY 16 \$117,885,000; FY 17 est \$124,626,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

SAH: For the housing unit, land, fixtures, and allowable expenses, up to \$73,768 . SHA: For adaptations to the veteran's residence, up to \$14,754.

TAFS Codes:

36-0137.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FY 2016 grants were made to 2,037 disabled Veterans. Fiscal Year 2017: In 2017 grants were made to 2,389 disabled Veterans. Fiscal Year 2018: Estimated grants made to disabled Veterans in 2018 is 2,389.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.809, 36.4400-36.4411 , and title 38, United State Code, chapter 21; "Questions and Answers on Specially Adapted Housing for Veterans," VA Pamphlet 26-69-1, no charge; "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00 , available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is 051-000-00233-4 . "Handbook for Design, Specially Adapted Housing," VA Pamphlet 26-13, available to eligible veterans.

Regional or Local Office:

See Regional Agency Offices. Applicants should communicate with the nearest VA Regional Office. Case development and assistance will be provided by the VA office with jurisdiction over the location of the property involved. See Appendix IV of the Catalog for addresses of these offices listed under Department of Veterans Affairs. Information may be obtained from the nearest Regional Office by calling toll-free 1-800- 827-1000.

Headquarters Office:

Department of Veterans Affairs 810 Vermont Ave., N.W., Washington, District of Columbia 20420 Phone: 202-461-9500

Website Address:

<http://www.benefits.va.gov/homeloans/adaptedhousing.asp>

RELATED PROGRAMS:

64.103 Life Insurance for Veterans; 64.114 Veterans Housing Guaranteed and Insured Loans; 64.118 Veterans Housing Direct Loans for Certain Disabled Veterans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.110 VETERANS DEPENDENCY AND INDEMNITY COMPENSATION FOR SERVICE-CONNECTED DEATH

(DIC and Death Compensation)

FEDERAL AGENCY:

Veterans Benefits Administration, Department of Veterans Affairs

AUTHORIZATION:

Military Construction, Veterans Affairs and Related Agencies Appropriation Act, 38 U.S.C 1121-1318.

OBJECTIVES:

To compensate surviving spouses, children and parents for the death of any servicemember who died while on active duty, active duty for training, or inactive duty training, OR any Veteran who:
died from an injury or disease deemed to be related to military service, OR
died from a non service-related injury or disease, but was receiving, or was entitled to receive, VA Compensation for a service-connected disability or disabilities that was rated as totally disabling:
o for at least 10 years immediately preceding death, OR
o since the Veteran's release from active duty and for at least five years immediately preceding death, OR
o for at least one year before death if the Veteran was a former prisoner of war who died after September 30, 1999.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

No restrictions.

Applicant Eligibility:

Death Compensation is payable to an unmarried surviving spouse, unmarried children and dependent parent or parents of the deceased Veteran who died before January 1, 1957, because of a service-connected disability. For deaths on or after January 1, 1957, Dependency and Indemnity Compensation (DIC) is payable to an unmarried surviving spouse, unmarried children and dependent parent or parents of the deceased Veteran. DIC is a tax free monetary benefit paid to eligible survivors of a military servicemember who died in the line of duty or eligible survivors of a Veteran who:
died from an injury or disease deemed to be related to military service, OR
died from a non service-related injury or disease, but was receiving, or was entitled to receive, VA Compensation for a service-connected disability or disabilities that was rated as totally disabling:
o for at least 10 years immediately preceding death, OR
o since the Veteran's release from active duty and for at least five years immediately preceding death, OR
o for at least one year before death if the Veteran was a former prisoner of war

who died after September 30, 1999.

The surviving parent(s) must have an income below a limit established by law, 38 U.S. Code 5312.

Effective January 2005, surviving spouses who receive DIC and have one or more children under age 18 will receive \$250 a month in addition to their current rate. Only one monthly child supplement payment is made, regardless of the number of minor children on the surviving spouses award. This increased rate applies only to months "occurring" during the two-year period beginning on the date entitlement to DIC began. It ceases on the first month beginning after the expiration of the two-year period or the month in which all of the surviving spouse's children have attained the age of 18, or are removed from the award, whichever is earlier.
A surviving spouse who remarries after age 57 retains eligibility for DIC.

Beneficiary Eligibility:

Surviving spouses, children and parents of deceased Veterans.

Credentials/Documentation:

Death certificate, marriage certificate, birth certificate(s), and military discharge certificate. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. An application (VA Form 21-534, VA Form 21-534a, or VA Form 21-534EZ for surviving spouses, and or children; 21-535 for parents) may be obtained from any VA office or regional office (see Appendix IV of the Catalog) and submitted to the regional office. Applications are also available on-line at <http://www.va.gov/vaforms/>.

Award Procedure:

Awards are authorized at the regional office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. On average from 79 to 103 days. Approximately 79 percent completed in 125 days or less.

Appeals:

Available through special board. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Any change in the marital status of a surviving spouse, child, or parent must be reported promptly. A surviving spouse who remarries after age 57 retains eligibility for DIC. Also, a change in school attendance of children over 18 must be reported. Parents must report changes in income promptly. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None. Any change in the marital status of a surviving spouse, child, or parent must be reported promptly. A surviving spouse who remarries after age 57 retains eligibility for DIC. Also, a change in school attendance of children over

18 must be reported. Parents must report changes in income promptly.

Account Identification:

36-0102-0-1-701.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$6,589,909,000; FY 17 est \$6,950,613,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Monthly rates of DIC range from \$529.55 for one child when no spouse is entitled; from \$1,254.19 to \$2,677.67 for a surviving spouse, with \$310.71 additional if the surviving spouse is in need of aid and attendance, or \$145.55 if housebound. A surviving spouse is also entitled to an additional \$310.71 monthly for each child under age 18. Monthly rates for parents range from \$5 to \$621 depending upon income and whether single or married. An additional \$336 is payable if the parent is in need of aid and attendance. Monthly Death Compensation ranges from \$87 for a surviving spouse to \$121 for a surviving spouse with one child; plus \$29 for each additional child. An additional allowance of \$79 if widow, widower or dependent parent is in need of aid and attendance. Assistance for a dependent parent is \$75 for one alone and \$80 for two. . These rates were effective December 1, 2014 for DIC and Parents DIC.

TAFS Codes:

36-0102.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 394,979 cases of survivors were receiving benefits. Fiscal Year 2017: 405,038 cases of survivors were receiving benefits. Fiscal Year 2018: 405,038 cases of survivors were receiving benefits.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.4(C) and 3.5; "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954 Pittsburgh, PA 15250-7954. The stock number is: 051-000-00233-4

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Department of Veterans Affairs 810 Vermont Ave., N.W., Washington, District of Columbia 20420 Phone: 202-461-9700

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

64.114 Veterans Housing Guaranteed and Insured Loans; 64.117 Survivors and Dependents Educational Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.124 ALL-VOLUNTEER FORCE EDUCATIONAL ASSISTANCE

(Montgomery GI-Bill Active Duty (MGIB) - Chapter 30)

FEDERAL AGENCY:

Veterans Benefits Administration, Department of Veterans Affairs

AUTHORIZATION:

Montgomery GI Bill, 38 U.S.C 30.

OBJECTIVES:

To help servicepersons readjust to civilian life after their separation from military service. Assist in the recruitment and retention of highly qualified personnel in the active and reserve components in the Armed Forces by providing education benefits.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

The following types of programs may be approved for training under the Montgomery GI Bill: (1) courses at colleges and universities leading to associate, bachelor or graduate degrees (including courses taken via accredited independent study, provided those courses lead to a standard college degree or a certificate that reflects educational attainment offered by an institution of higher learning); (2) courses leading to a certificate or diploma from business, technical or vocational schools; (3) apprenticeship or on-the-job training programs for individuals not on active duty; (4) correspondence courses; (5) flight training, if veteran holds a private pilot license and meets the medical requirements upon beginning the training program; (6) state-approved teacher certification programs; (7) preparatory courses for tests necessary for admission to a college or graduate school; (8) reimbursement for licensing and certification tests approved for veterans; (9) entrepreneurship training; (10) reimbursement for national exams for admission to college or for college credit.

Applicant Eligibility:

What follows is not a complete list of eligibility requirements. For more information on the newest MGIB program changes go to the VA web-site address listed below. A high school diploma or equivalency certificate is always required for eligibility to the MGIB as is an honorable discharge from the qualifying period of service unless the individual is currently on active duty. (1) Individuals initially entering military service on or after July 1, 1985 may be eligible unless they specifically elect not to participate in the MGIB program. Service members can use the MGIB benefit after completing two continuous years of service. Veterans whose initial obligation was 3 years or more may use the MGIB benefit after completing three continuous years of active duty, or less time if discharged early for an acceptable reason. Veterans whose initial obligation was less than 3 years may use the MGIB benefits after serving two years of active duty, or less time if discharged early for an acceptable reason or if they sign up for 4 years in the Selected Reserve. Any period of active duty may be used to meet these requirements, but generally, periods of active duty cannot be combined. (2) Individuals who were eligible for the Old (Vietnam-Era) GI Bill benefits as of December 31, 1989, and served on continuous active duty for 3 years after June 30, 1985, may be eligible for the MGIB. (3) Persons involuntarily separated from the military for certain reasons may be eligible for the MGIB. Likewise, persons who received voluntary separation incentives may be eligible for the MGIB. (4) Persons who converted from VEAP (Veterans Educational Assistance Program) to the MGIB during open seasons from October 9, 1996 through July 8, 1997, or from November 1, 2000 to October 31, 2001, may be eligible for the MGIB. Likewise, certain persons serving full-time under title 32 in the National Guard during the period from July 1, 1985 to November 28, 1989 with no previous active duty and who elected the MGIB during the open season from October 9, 1996 through July 8, 1997, may be eligible for the MGIB. In addition, in very limited circumstances, dependents of veteran or service member may be eligible for the MGIB if the veteran or service member transferred entitlement to those dependents.

Beneficiary Eligibility:

As stated above under Applicant Eligibility.

Credentials/Documentation:

Military discharge certificate (DD 214) sometimes required; enrollment certification by institution offering training required in most cases. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. An application (VA Form 22-1990) may be obtained from any VA Regional Office or from the web site www.GIBILL.va.gov and submitted to the appropriate VA Regional Processing Office.

Award Procedure:

Awards are authorized at the designated VA Regional Processing Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days. In FY 2017, an original claim will be considered timely if processed within 21 days. A supplemental claim will be considered timely if processed within 9 days.

Appeals:

From 90 to 120 days. Appeals are available in 2016, the average response time is 81 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Veterans receive monthly payments in most instances. Service members generally receive lump sum payments for each enrollment period. Accelerated payments (one-time payments) equal to 60% of the cost of the course are available for certain high cost, high tech courses leading to employment in high tech industries. Advance payment may be available; if eligible, VA will advance payment for the first partial month plus the first full month of the enrollment. Payments for correspondence school training are issued quarterly. See the following for information on how assistance is awarded/released: Veterans receive monthly payments in most instances. Service members generally receive lump sum payments for each enrollment period. Accelerated payments (one-time payments) equal to 60% of the cost of the course are available for certain high cost, high tech courses leading to employment in high tech industries. Advance payment may be available; if eligible, VA will advance payment for the first partial month plus the first full month of the enrollment. Payments for correspondence school training are issued quarterly.

Reports:

Not Applicable.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

36-0137-0-1-702.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Currently, the full-time monthly benefit range from \$1,509 to over \$3,000 depending on the length of the service obligation, military incentives, military career field, branch of service and voluntary contributions. In most cases, benefits last for 36 (48 months when combined with other VBA education benefits) calendar months of full-time training. The work-study allowance is limited to the higher of the Federal minimum wage, or the State minimum wage where work is performed. Tutorial assistance can be up to a maximum of \$1,200.

TAFS Codes:

36-0137.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 21.7000 - 21.7320. "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is: 0051-000-00233-4.

Regional or Local Office:

See Regional Agency Offices. 1-888-GIBILL-1.

Headquarters Office:

VA Central Office Department of Veterans Affairs
810 Vermont Ave., NW, Washington, District of Columbia 20420 Phone:
202-461-9800

Website Address:

<http://www.benefits.va.gov/gibill>

RELATED PROGRAMS:

64.120 Post-Vietnam Era Veterans' Educational Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.125 VOCATIONAL AND EDUCATIONAL COUNSELING FOR SERVICEMEMBERS AND VETERANS

(Chapter 36 Counseling)

FEDERAL AGENCY:

Veterans Benefits Administration, Department of Veterans Affairs

AUTHORIZATION:

Veterans Education and Employment Programs Amendments, Public Law 102-16, 38 U.S.C 3697A.

OBJECTIVES:

To offer vocational and educational counseling to service members within 180 days of their projected discharge or release from active duty and to veterans within one year from the date of their discharge or release from active duty. This counseling is also available to individuals who are eligible for VA educational assistance including: Chapter 30- All-Volunteer Force Educational Assistance Program; Chapter 31 Vocational Rehabilitation and Employment; Chapter 32-Post-Vietnam Era Veterans' Educational Assistance; Chapter 33 Post 9/11 Educational Assistance Chapter 35-Survivors' and Dependents' Educational Assistance; Chapter 1606-Educational Assistance for Members of the Selective Service; and Chapter 1607-Reserve Educational Assistance Program.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

The program provides the counseling services necessary to enable the individual to select vocational and educational objectives. The program also assists the individual to identify the means through which these objectives will be achieved. Counseling may also be provided to aid the individual to cope with personal problems that might interfere with the successful achievement of the educational and vocational objectives. As part of vocational counseling, the program can help the individual to develop an employment plan. This plan directs the individual to make the best use of his or her existing aptitudes and abilities to obtain employment.

These services are provided by professionally qualified vocational rehabilitation counselors who are either VA staff members or under contract to VA. To apply for and receive this benefit, service members must be within 180 days of projected discharge or release from active duty. Veterans must apply for and receive this benefit within 1 year from the date of discharge or release from active duty. For service members, the projected discharge or release from active duty must be under conditions other than dishonorable. For veterans, the discharge or release from active duty must have been under conditions other than dishonorable. This vocational and educational counseling is also available to current beneficiaries of VA educational assistance including: Chapter 30 - All-Volunteer Force Educational Assistance Program; Chapter 31 Vocational Rehabilitation and Employment; Chapter 32 - Post-Vietnam Era Veterans' Educational Assistance; Chapter 33 Post 9/11 Educational Assistance; Chapter 35 - Survivors' and Dependents' Educational Assistance; Chapter 1606 - Educational Assistance for Members of the Selective Service; Chapter 1607 - Reserve Educational Assistance Program and to veterans and qualified dependents who are eligible for educational assistance under any of the above programs (Chapters).

Applicant Eligibility:

Service members must be within 180 days of projected discharge or release from active duty. Veterans must be within 1 year from the date of discharge or release from active duty. For servicemembers, the projected discharge or release from active duty must be under conditions other than dishonorable. For veterans, the discharge or release from active duty must have been under conditions other than dishonorable. This counseling is also available to individuals who are eligible for VA educational assistance including: Chapter 30 - All-Volunteer Force Educational Assistance Program; Chapter 31 Vocational Rehabilitation and Employment; Chapter 32 - Post-Vietnam Era Veterans' Educational Assistance; Chapter 33 Post 9/11 Educational Assistance; Chapter 35 - Survivors' and Dependents' Educational Assistance; Chapter 1606 - Educational Assistance for Members of the Selective Service; and Chapter 1607 - Reserve Educational Assistance Program.

Beneficiary Eligibility:

Service members must be within 180 days of projected discharge or release from active duty. Veterans must be within 1 year from the date of discharge or release from active duty. For service members, the projected discharge or release from active duty must be under conditions other than dishonorable. For veterans, the discharge or release from active duty must have been under conditions other than dishonorable. This counseling is also available to individuals who are eligible for VA educational assistance including: Chapter 30 - All-Volunteer Force Educational Assistance Program; Chapter 31 Vocational Rehabilitation and Employment; Chapter 32 - Post-Vietnam Era Veterans' Educational Assistance; Chapter 33 Post 9/11 Educational Assistance; Chapter 35 - Survivors' and Dependents' Educational Assistance; Chapter 1606 - Educational Assistance for Members of the Selective Service; and Chapter 1607 - Reserve Educational Assistance Program.

Credentials/Documentation:

Servicemembers must present proof that they are within 180 days of projected discharge or release from active duty. Examples of this proof are a copy of the enlistment contract and a brief written statement from the individual's commander. Veterans must present proof they are within 1 year of discharge or release from active duty under conditions other than dishonorable. An example of this proof is a copy of the individual's DD Form 214. Individuals who are eligible for VA educational assistance must indicate this eligibility and have it confirmed at the VA regional or local office. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Obtain VA Form 28-8832, Application for Counseling, from any VA office or regional office, or online at www.va.gov. Complete and submit this form to the nearest regional office. A written statement from an individual requesting vocational and educational counseling is also sufficient. With the application form or written statement, submit proof of prior or pending discharge or release from active duty if VA has not already received this evidence or indicate eligibility for, or current eligibility for VA educational assistance.

Award Procedure:

The regional office will notify qualified Individual.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Available through special board. Average time to process an appeal is 622 days.

In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

36-0137-0-1-702.

Obligations:

(Direct Payments for Specified Use) FY 16 \$6,000,000; FY 17 est \$6,000,000; and FY 18 est \$6,000,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

36-0137.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2015-2017: The number of Servicemembers and Veterans receiving Vocational and educational counseling is not separately identifiable. Fiscal Year 2017: Fiscal Year 2015-2017: The number of Servicemembers and Veterans receiving Vocational and educational counseling is not separately identifiable. Fiscal Year 2018: Fiscal Year 2015-2017: The number of Servicemembers and Veterans receiving Vocational and educational counseling is not separately identifiable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 21.5100; "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is: 051-000-00233-4. "VA Counseling Can Help You," the VA pamphlet portion of VA Form 28-8832, Application for Counseling, free, is available from any VA office or regional office.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Department of Veterans Affairs, Veterans Benefits Administration 810 Vermont Ave., N.W., Washington, District of Columbia 20420 Phone: 202-461-9600

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

64.116 Vocational Rehabilitation for Disabled Veterans; 64.120 Post-Vietnam Era Veterans' Educational Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contract counseling psychologists are selected on the basis of (1) their academic credentials and experience in vocational and educational counseling, (2) their location in relation to the geographic dispersion of available clients for referral, and (3) costs of the services to be provided.

64.128 VOCATIONAL TRAINING AND REHABILITATION FOR VIETNAM VETERANS' CHILDREN WITH SPINA BIFIDA OR OTHER COVERED BIRTH DEFECTS

FEDERAL AGENCY:

Veterans Benefits Administration, Department of Veterans Affairs

AUTHORIZATION:

Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, Executive Order To provide vocational training and rehabilitation to certain children born with spina bifida or other covered birth defects who are children of Vietnam veterans and some Korean veterans., Public Law 104-204, 38 U.S.C 1804.

OBJECTIVES:

To provide vocational training and rehabilitation to certain children born with spina bifida or other covered birth defects who are children of Vietnam veterans and some Korean veterans.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Program must lead to a vocational goal that it is feasible for the child to achieve. The child should be able to achieve the vocational goal within 24 months of services and assistance, although an extension of up to 24 months is possible under certain conditions.

Applicant Eligibility:

A child born with spina bifida or other covered birth defects, except spina bifida occulta, who is the natural child of a Vietnam veteran and some Korean veterans, regardless of the age or marital status of the child, conceived after the date on which the veteran first served in the Republic of Vietnam during the Vietnam era and in particular areas near the DMZ in the Korean conflict. VA must also determine that it is feasible for the child to achieve a vocational goal..

Beneficiary Eligibility:

Children born with spina bifida.

Credentials/Documentation:

Birth certificate and military discharge certificate are required. The child must be born with spina bifida and be the natural child of a Vietnam veteran and some Korean veterans. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circulars No. A-102 and No. A-110. To apply, you can obtain VA Form 21-0304, Application for Benefits for Certain Children With Disabilities Born of Vietnam and Certain Korea Service Veterans, from your nearest VA regional benefits office, or online at www.va.gov. Complete and submit this form to the nearest VA regional benefits office. A written statement from an individual requesting services is also sufficient. To apply, the statement must include the child's full name, address, the veteran parent's name, and the VA claim number.

Award Procedure:

Awards are authorized at the Regional Benefits Office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not available at this time.

Appeals:

Available through special board. In the event of a denial, claimants are advised

of appeal rights and procedures at the time of notification. Average time to process an appeal is 622 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not available at this time. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

36-0102-0-1-701.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

36-0102.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Title 38 of the Code of Federal Regulations, Part 21, Subpart M Vocational Training and Rehabilitation for Certain Children of Vietnam Veterans and Veterans with Covered Service in Korea Spina Bifida and Covered Birth Defects.

Headquarters Office:

Department of Veterans Affairs 810 Vermont Ave., N.W., Washington, District of Columbia 20420 Phone: 202-461-9600

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ENVIRONMENTAL PROTECTION AGENCY

66.001 AIR POLLUTION CONTROL PROGRAM SUPPORT

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Clean Air Act of 1990, Section 105, Public Law 101-549.

OBJECTIVES:

The objectives of the 105 program are to assist State, Tribal, Municipal, Intermunicipal, and Interstate agencies in planning, developing, establishing, improving, and maintaining adequate programs for the continuing prevention and control of air pollution and/or in the implementation of national primary and secondary air quality standards.

The Office of Air and Radiation's National Program Manager (NPM) Guidance identifies key priorities and activities expected to be undertaken by EPA Headquarters and Regional offices and implementing air agencies in national areas of focus. The associated grant guidance provides information on the State and Tribal Grant program (STAG). The Guidance provides the basis for grant negotiations between HQ and regions and between regions and air agencies as to resource allocation and expected performance. Specific expectations and deliverables will be established through negotiations in grant agreements between regions and air agencies, and OAR encourages air agencies to engage EPA on activities where there may be opportunities for flexibility.

Funding Priority for 2016

In FY 2016, EPA issued NPM guidance for FY 2016 and 2017. The guidance can be accessed at <http://www.epa.gov/planandbudget/national-program-manager-guidances#fy20162017>.

The Office of Air and Radiation also develops specific guidance for the ambient air monitoring program. The monitoring guidance outlines monitoring priorities, quality assurance programs, and funding projections. The monitoring guidance may be accessed at <http://www.epa.gov/ttnamti1/files/policy/2016NPMGuidanceMonitoringAppendix.pdf>.

Funding Priority for 2017

By nature, much of the work occurring in FY 2016, including the implementation of mobile, area and stationary source pollution controls, will continue into FY 2017. The Office of Air and Radiation's NPM Guidance for FY 2016 and FY 2017 and the FY 2017 Addendum identifies key priorities and activities expected to be undertaken by EPA Headquarters and Regional offices and implementing air agencies in national areas of focus. The associated grant guidance provides information on the State and Tribal Grant program (STAG). The Guidance provides the basis for grant negotiations between HQ and regions and between regions and air agencies as to resource allocation and expected performance. Specific expectations and deliverables will be established through negotiations in grant agreements between regions and air agencies, and OAR encourages air agencies to engage EPA on activities where there may be opportunities for flexibility. Details of the 2017 Addendum of the NPM Guidance for FY 2016-2017 can be found at <https://www.epa.gov/planandbudget/national-program-manager-guidances>. The monitoring guidance outlines monitoring priorities, quality assurance programs, and funding projections. The monitoring guidance is expected to be finalized by October 2016.

Funding Priorities

By nature, much of the work occurring in FY 2017, including the implementation of mobile, area and stationary source pollution controls, will continue into FY 2018. The Office of Air and Radiation's NPM Guidance for FY 2018 and FY 2019 identifies key priorities and activities expected to be undertaken by EPA Headquarters and Regional offices and implementing air agencies in national areas of focus. The associated grant guidance provides information on the State and Tribal Grant program (STAG). The Guidance provides the basis for grant negotiations between HQ and regions and between regions and air agencies as to resource allocation and expected performance. Specific expectations and deliverables will be established through negotiations in grant agreements between regions and air agencies, and OAR encourages air agencies to engage EPA on activities where there may be opportunities for flexibility. Details of the NPM Guidance for FY 2018-2019 can be found at <https://www.epa.gov/planandbudget/national-program-manager-guidance>. The monitoring guidance outlines monitoring priorities, quality assurance programs, and funding projections. The monitoring appendix may be accessed at <https://www.epa.gov/amtic/national-program-manager-npm-guidance-monitoring-appendix>.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for costs specifically incurred in the conduct of a State/Local/Tribal Air Pollution Control Program in accordance with the purposes enumerated in the approved application. These include personnel costs, supplies, equipment, personnel training, travel, and other necessary expenditures during the approved project period. Funds may not be used for construction of facilities, nor for expenses incurred other than during each approved award period. Grant funds may not be used to subsidize the costs of Title V operating permit programs or to supplant otherwise available recipient resources. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://www.epa.gov/geospatial/>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Municipal, Intermunicipal, State, Federally Recognized Indian Tribe, or Interstate or Intertribal with legal responsibility for appropriate air pollution planning, development, establishment, implementation, and maintenance of Clean Air Act air pollution control activities, including management of grant support for those activities, provided such organization furnishes funds for the current year that are equal to or in excess of its recurrent expenditures for the previous year for its approved section 105 air pollution program. The determination of expenditures is subject to decisions based on provisions of the Clean Air Act and applicable grant regulations. This program is available to each State, territory and possession of the U.S., including the District of Columbia. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Municipalities (local governments), Intermunicipalities, States, Federally Recognized Indian Tribes, and Interstate and Intertribal agencies.

Credentials/Documentation:

The application must supply evidence of legal authority for air pollution control including responsibility for carrying out the implementation plan requirements under 110 of the Clean Air Act; evidence of the availability of nonfederal matching funds; assurance that federal funds do not supplant available recipient funds; evidence that the Governor or his designated State agency has been given the opportunity to comment on the relationship of the program to be funded to the State plan; and a workable program officially adopted for the agency. Principles for determining allowable costs are set forth in applicable Federal management circulars described in the Uniform Grants Guidance regulations and procedures 2 CFR 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Discussion with the applicable EPA Regional Office is advised for air program grant applications. The standard application forms as furnished by the Environmental Protection Agency and required by Uniform Grants Guidance 2 CFR 200 must be used for this program. Applications are subject to the State's review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact

the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for application forms and completed applications must be submitted to the appropriate EPA Regional Grants Administration Branch. Application must meet the requirements of the grant regulations and will be reviewed to determine merit and relevancy of the proposed project particularly with regard to the most recently available national program and grant guidance. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

Procedures for awards are outlined in UGG 2 CFR 200. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500.12 Subpart E.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Clean Air Act does not prescribe a specific statutory funding formula but does direct that the factors of population, the extent and severity of the air pollution problem, and financial need, be considered in the allocation of available resources by the Agency. State, Interstate, and Local programs may receive up to 60 % Federal funding for the total approved program costs. The Grantee must contribute a minimum of 40% as well as meet a maintenance of effort (MOE) requirement. The amount of Federal share of grant costs should be determined by reference to the criteria set forth in Section 105 of the Clean Air Act and in 40 CFR 35.145, 40 CFR 35.146, and 40 CFR 147. Not more than 10 % of the funds available shall be granted for air pollution control programs in any one State. Not less than one-half of one % of the funds are to be made available as a minimum to a State (overall) for application. However, award of these levels of funds will be made only if the agencies within the State meet the requirements of the Act and grant regulations. For Indian Tribes establishing eligibility pursuant to Section 35.573, the Regional Administrator may provide financial assistance in an amount up to 95 % of the approved costs of planning, developing, establishing, or improving an air pollution control program, and up to 95 % of the approved costs of maintaining that program. After 2 years from the date of each Tribe's initial grant award, the Regional Administrator will reduce the maximum federal share to 90 %, as long as the Regional Administrator determines that the

Tribes meet certain economic indicators that would provide an objective assessment of the tribe's ability to increase its share.

This program has MOE requirements, see funding agency for further details.

This program does have a maintenance of effort (MOE) requirement which requires that a recipient's recurring expenditures must meet or exceed the level of the prior year's recurring expenditures.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of award. See the following for information on how assistance is awarded/released: Assistance agreements may be fully funded by a lump sum or funded incrementally (quarterly).

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Grant and administrative reporting requirements Programmatic reporting requirements are outlined in the Uniform Grants Guidance 2 CFR 200 and in the applicable national program manager annual guidance. Requirements for the evaluation of recipient performance are discussed in 40 CFR 35.115.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Uniform Grants Guidance 2 CFR 200. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Uniform Grants Guidance 2 CFR 200. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in the Uniform Grants Guidance 2 CFR 200. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in the Uniform Grants Guidance 2 CFR 200 and 1500 Subpart E.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 16 \$228,219,000; FY 17 est \$228,219,000; and FY 18 est \$159,450,000 - FY 2016 - \$228,219,000; FY2017-\$228,219,000 FY 2018 - \$159,450,000 (projected).

Range and Average of Financial Assistance:

From approximately \$70,000 to \$7,000,000 per recipient; average approximately \$1,545,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Annual emissions estimates are used as one indicator of the effectiveness of our programs. Between 1970 and 2016, gross domestic product increased 253 percent, vehicle miles traveled increased 190 percent, energy consumption increased 44 percent, and U.S. population grew by 58 percent. During the same time period, total emissions of the six principal air pollutants dropped by 73 percent. Each year EPA tracks the levels of these pollutants in the air and how much of each pollutant (or the pollutants that form them) is emitted from various pollution sources. The agency looks at these numbers year after year to see how the pollutants have changed over time. EPA posts the results of our analyses at www.epa.gov/airtrends. Fiscal Year 2017: Projects are underway, the program accomplishments will be available when reporting is completed. See current results at www.epa.gov/airtrends. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Air Pollution Control Program Grants, EPA, 40 CFR Part 35 (revised January 9, 2001); Interim Rule published January 4, 1995 (FR V.60 No.2, pp.366-372); Uniform Grants Guidance 2 CFR Parts 200 and 1500

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Jeff Whitlow Office of Air and Radiation
Office of Air Quality Planning and Standards
109 T.W. Alexander Drive, MC C404-02, Research Triangle Park, North Carolina 27709 Email: whitlow.jeff@epa.gov Phone: (919) 541-5523

Website Address:

<http://www.epa.gov/air/>

RELATED PROGRAMS:

66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Continuing program grants are provided to State, Local and Tribal air pollution control agencies for the purpose of operating programs that plan and implement activities to achieve ambient air quality standards. These programs cover: planning for attainment of updated national air quality standards including fine particulates and ozone; state implementation plan development; ambient monitoring; emission inventories; air and emissions modeling; source permitting; certain compliance and enforcement activities related to mobile and non-Title V stationary and area sources; public outreach and education activities; development and implementation of air quality regulations; implementation of market-based, early action compacts and other innovative air pollution reduction or prevention strategies; technical training; risk and data analyses; information management; and program evaluation, progress reporting and other program operation and maintenance activities. Fiscal Year 2017: Continuing program grants are provided to State, Local and Tribal air pollution control agencies for the purpose of operating programs that plan and implement activities to achieve ambient air quality standards. These programs cover: planning for attainment of updated national air quality standards including fine particulates and ozone; state implementation plan development; ambient monitoring; emission inventories; air and emissions modeling; source permitting; certain compliance and enforcement activities related to mobile and non-Title V stationary and area sources; public outreach and education activities; development and implementation of air quality regulations; implementation of market-based, early action compacts and other innovative air pollution reduction or prevention strategies; technical training; risk and data analyses; information management; and program evaluation, progress reporting and other program operation and maintenance activities. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The criteria for awarding air pollution control program assistance grants are provided in the 1990 Clean Air Act, Section 105, and set forth in State and local assistance grant regulations (40 CFR 35). Some of the criteria considered for award include the following: 1) No grant may be awarded unless the program contained in the grant application meets the requirements of Section 35.140 (program purposes and associated regulations) and has been approved by the

Regional Administrator. Sections 35.104 and 35.107 describe what an agency needs to do to prepare an adequate application. This usually includes the use of annual program technical and grant guidance from EPA and is generally done in consultation with the EPA Regional Office. 2) No grant may be awarded until the Regional Administrator has consulted with the official designated by the Governor(s) of the State(s) affected by such award pursuant to Section 105(b) of the Clean Air Act. Such consultation should consider the role of the applicant in the enforcement of any applicable implementation plan and confirm that the applicant's program will be generally compatible with the objectives of the applicable implementation plan. 3) The requirements of this Section shall not apply to Indian Tribes that have established eligibility pursuant to Section 35.573 and intertribal agencies made up of such Tribes. 4) No grant may be awarded unless the Regional Administrator has determined that the agency has adequate air pollution control authority and necessary regulations to implement such authority. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.032 STATE INDOOR RADON GRANTS

(SIRG)

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Indoor Radon Abatement Act, Section 306, 15 U.S.C 2661.

OBJECTIVES:

Title III of the Toxic Substances Control Act (TSCA), the Indoor Radon Abatement Act (IRAA), Section 306, authorizes EPA to assist States and Federally Recognized Indian Tribes to develop and implement programs to assess and mitigate radon-related lung cancer risk. In FY 2017 EPA will encourage state and tribal grant recipients to work collaboratively with their (non-EPA) Federal Departments and Agencies and non-profit partners participating in the National Radon Action Plan (NRAP) - <https://www.epa.gov/radon/national-radon-action-plan-strategy-saving-lives>. Projects also focus on assisting state radon programs, working with industry, and addressing low-income population exposure.

- http://www.epa.gov/radon/action_plan.html.

Projects should also focus on addressing environmental justice (EJ) concerns in communities. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies

Funding Priority FY 2017

EPA will focus SIRG funding in three broad areas: 1. Promoting radon awareness through the medical community, state cancer control plans, child care providers and real estate transactions., 2. Informing local school systems about radon exposure risk in schools and providing sample school testing and mitigation plans, 3. Providing training and technical support on voluntary consensus standards for radon testing and mitigation, and identifying and spreading best practices for building homes radon resistant and adopting radon in building codes. Priority consideration will be given to actions which: promote adoption of radon in building codes for single family homes, awareness building activities targeting homebuyers and/or utilization of an established process to ensure appropriate credentialing of radon testing and mitigation service providers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The following activities are eligible for funding under SIRG: radon surveys, public information and educational materials, radon control programs, purchase of radon measurement equipment or devices, purchase and maintenance of analytic equipment, training, program overhead and administration, data storage and management, mitigation demonstrations, and toll-free hotlines. Funding for

these eligible activities may be used to develop State radon response programs. States may, at the Governor's direction, provide assistance to local governments for public information and educational materials, radon control programs and training. **RESTRICTIONS:** The statute places the following restrictions on the use of Federal funds: 1) SIRG recipients must perform satisfactorily in the preceding budget period to be eligible to receive additional funding; 2) State expenditures for measurement equipment/devices and mitigation demonstrations cannot exceed 50 percent of the grant amount in a budget period; 3) State expenditures for general overhead and program administration cannot exceed 25 percent in a budget period; and 4) SIRG applicants may use grant funds for financial assistance to persons only to the extent that such assistance is related to approved demonstration projects or the purchase and analysis of radon measurement devices.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Eligible entities include States (including District of Columbia (DC)), Puerto Rico, the Virgin Islands, Guam, the Canal Zone, American Samoa, the Northern Mariana Islands, Federally recognized Indian Tribes and Tribal consortia, or any other U.S. Territory or possession.

Beneficiary Eligibility:

State agencies: local, municipal, district, or area wide governments and organizations; U.S. territories or possessions, Federally Recognized Indian Tribes, colleges, universities, multi-state agencies, nonprofit organizations, low-income individuals, homeowners, and the general public.

Credentials/Documentation:

An applicant's application must: 1) describe the seriousness and extent of radon exposure; 2) identify the lead State agency with primary responsibility for the radon program that will receive the grant, with a description of the lead agency's role and responsibilities, and those of any other State agencies, and any municipal, district or area wide organizations involved in the radon program; 3) submit a work plan describing the activities and programs to be funded; 4) provide a budget specifying the Federal and applicant share of the funding for each work plan element. First time applicants must have a 3-year work plan outlining long range program goals and objectives, the tasks necessary to achieve them, the resource requirements including anticipated SIRG applicant funding levels and the desired Federal funding level. Costs are determined in accordance with 2 CFR 200 Subpart E, "Cost Principles for State, Local and Tribal Governments.". 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Application assistance is available through Regional radon coordinators and their Regional grants specialist counterparts. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Visit www.epa.gov/iaq/whereyoulive.html for state specific information on the state radon programs and how to contact them. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency and the appropriate EPA Regional Office listed in Appendix IV of the Catalog, or through the Grants and Interagency Agreements Management Division, 3903F,

Washington, DC 20460. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

Each state or tribal SIRG application is subjected to an administrative and prior period performance evaluation to determine the recipient's eligibility to receive continued funding. An applicant's proposed projects and work plan are evaluated for projected results and alignment with the Agency's radon program goals and priorities. SIRG award decisions are non-competitive and made at the EPA Regional level, based on a formula moderated by Regional management discretion utilizing prior performance and results. A state or tribe able to provide the required 40% in matching funds is eligible to apply to the Regional EPA office for a grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 90 days.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Renewals of awards will be conducted in accordance with the provisions of Section 306 and the SIRG Program Handbook.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: EPA may provide States a maximum of 60% of allowable costs of approved SIRG Program activities. TSCA Section 306 requires a progressive match for States and Tribes; 25% in the first year; 40% in the second year; and, 50% in the third and subsequent years of participation. However, in the FY 2006 appropriation, Congress permanently reduced the recipient match requirement from a maximum of 50% to 40% for participants with two or more years in the program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards funded under TSCA Section 306 are normally for a period of 12-36 months; EPA strongly encourages 36-month (3-year) SIRG project periods. EPA negotiates project periods appropriate to a suite of projects or specific project. However, EPA project periods are limited to a maximum of five years. Grants and cooperative agreements may be fully funded or incrementally (partially) funded, conditional on the availability of funds. See the following for information on how assistance is awarded/released: Assistance agreements are fully funded by a lump sum.

Reports:

Required reports from grant recipients include: performance reports, no more often than quarterly; Financial Status Reports, (Standard Form 269); Federal Cash Transactions Reports (for grants paid by letter of credit); and if applicable, Request for Advance or Reimbursement (Standard Form 270). To monitor the performance of each grant recipient, the EPA Project Officer and other Agency personnel may conduct mid-year (or more frequent) site visits to inspect program activities first hand.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements

stated in Uniform Grants Guidance 2 CFR 200. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Uniform Grants Guidance 2 CFR 200. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Uniform Grants Guidance 2 CFR 200. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Uniform Grants Guidance 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 16 \$7,978,000; FY 17 est \$7,978,000; and FY 18 est \$0 - FY 2016 - \$7,978,000 FY2017- \$7,978,000; FY 2018 - We do not have an estimated budget amount at this time. This information will be updated when we receive further information.

FY 2015 - \$8,051,000; FY 2016 - \$7,978,000 FY2017- N/A.

Range and Average of Financial Assistance:

Range and Average of Financial Assistance In FY 2016 federal funding can range from

Range and Average of Financial Assistance in FY 2017 federal funding can range from \$15,000 to a maximum of \$805,100 (by law 10% of the annual appropriation amount) per State/Tribal applicant. Regional allotments for FY2017 are not proportionally identical to the FY2016 allotments (see <https://www.epa.gov/radon/state-indoor-radon-grant-sirg-program>) since they were adjusted to allow for funding of two additional SIRG programs.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The SIRG program awarded \$7,998,20445 to 45 state and 6 tribal programs in FY16; 6 Tribes received approximately \$200,000 in funding in FY16. Program priority areas addressed: radon-resistant new construction techniques in new homes and schools; disclosure, testing, and mitigation in conjunction with residential real estate transactions; developing radon programs in high-risk areas; activities that improve the effectiveness and viability of the program; developing coalitions that work in partnership with local governments, Partner affiliates and others interested in reducing the risk of radon; setting and measuring environmental results goals; testing and, mitigating schools for radon. Fiscal Year 2017: ORIA Regional offices are currently processing SIRG awards to states and tribes. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA regulations that govern State activities under the SIRG Program are

contained in the following Parts of 40 CFR: (1) Part 4, "Implementation of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1980"; (2) Part 7, "Nondiscrimination in Programs Receiving Federal Assistance from the Environmental Protection Agency"; (3) Part 29, "Intergovernmental Review of Environmental Protection Agency Programs and Activities"; (4) UGG 2 CFR 200, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments"; (5) Part 32, "Debarment and Suspension under EPA Assistance Programs"; and (6) Part 35; "State and Local Assistance". Uniform Grant Guidance 2 CFR 200, "Cost Principles for State and Local Governments," and "Uniform Requirements for Assistance to State and Local Governments". Also applicable to SIRG is the State Indoor Radon Grants (SIRG) Program Handbook (January 2005) ; Visit <http://www.epa.gov/radon/sirgprogram.html> for more information

Regional or Local Office:

See Regional Agency Offices. For questions of a programmatic/technical nature, contact the appropriate Regional Radon Office representative listed in Appendix IV of the Catalog. The individual Regional radon SIRG project officers can be found at <http://www.epa.gov/radon/whereyoulive.html>.

Headquarters Office:

Philip Jalbert, Office of Radiation and Indoor Air, Environmental Protection Agency, 1310 L Street, NW., Mail Code 6609J, Washington, District of Columbia 20005 Email: jalbert.philip@epa.gov Phone: (202) 343-9431.

Website Address:

<http://www.epa.gov/radon/sirgprogram.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: State and tribal radon programs use SIRG grants to inform the public and promote risk reduction action by concerned citizens, homeowners/buyers, creating Radon-Resistant Building Codes Task Force, promoting policy and codes, operating radon certification programs to assure quality services to the public, and achieving and capturing results. An example which documents risk reduction in Illinois: radon mitigators purchase tags for every radon reduction system they install providing an accurate account of the number of systems installed. Fiscal Year 2017: ORIA Regional offices are currently processing SIRG awards to states and tribes. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The EPA will base its review of SIRG applications on whether they reflect the program's found goals and promote integrity in States' use of grant funds. Pursuant to TSCA Section 306(e), if State applications exceed the funds appropriated for award in a fiscal year, EPA will prioritize State activities based on the following criteria: (1) the seriousness and extent of the radon contamination problem to be addressed; (2) the potential for the activity or project to bring about reduction in radon levels; (3) the potential for the development of innovative radon assessment techniques, mitigation measures as approved by the Administrator, or program management approaches which may be of use to other States; (4) the potential for the activity to implement EPA's model radon-resistant building standards; (5) the potential for the development of radon programs in high-risk areas; and (6) the potential for the activity to improve the effectiveness and viability of the State program. EPA may establish additional criteria related to demonstration activities or other aspects of the SIRG program. All other requirements of the SIRG program are applicable to the States and Tribes unless otherwise stated in TSCA 306.

66.033 OZONE TRANSPORT COMMISSION

(OTC)

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Clean Air Act of 1990, Section 106 and 111, Public Law 101-549, 42 U.S.C. 1406.

OBJECTIVES:

To develop or recommend air quality implementation plans for air quality control regions designated pursuant to Section 106 (interstate pollution) or Section 111 (interstate ozone pollution) of the Clean Air Act of 1990.

The Ozone Transport Commission (OTC) will continue to provide a forum for the states within the Ozone Transport Region (New England and Middle Atlantic states) to develop and adopt air quality implementation plans for reducing ozone pollution pursuant to Section 106 of Section 111 of the Clean Air Act of 1990.

Funding Priority 2017

The OTC activities primarily further EPA's Goal to Improve Air Quality by helping States develop state implementation plans that include collaboration and coordinated state-level controls as appropriate, while also working to reduce transport of ozone and ozone precursor pollutants into the OTR. The OTC states continue to face a significant challenge in preparing State Implementation Plans (SIPs) for attainment of the 2008 Ozone NAAQS as well as the 2015 Ozone NAAQS. These challenges are highlighted by the recent increases in monitored ozone levels. With the more health-protective forthcoming ozone standard, the OTC has projected that the States in the OTR will face significant additional ozone attainment challenges, and will require a greater level of regional support and coordination from the OTC. The member States rely on OTC outputs to meet their individual SIP obligations. The coordinated and collaborative effort put forth through OTCs committees represents the most efficient, cost effective and in certain cases the only possible means to assemble all the necessary elements of an attainment plan for OTC States. Additionally, with the continued uncertainty surrounding resolution of ozone related transport under section 110 (a)(2)(D) of the CAA, OTC has and will continue to take a leadership role in coordinating with other Regional Planning Organizations (RPOs), contributing States and EPA offices to assist in resolution of this critical problem. These State officials have primary responsibility under the CAA for achieving the nation's ambient air quality standards, including the standard for ozone pollution which is caused by oxides of nitrogen and volatile organic compounds (NOx and VOC, respectively) emissions within the region and ozone transported into the region from non-OTC States. The Commission provides a forum through which States collaboratively develop and share air pollution inventories and analyses, and engage in developing harmonized regional ozone pollution reduction strategies.

Funding Priorities 2018

OTC will continue its goal of Improving Air Quality thru NAAQS Ozone Modeling (Including Emission Inventories). The Modeling Committee facilitates the coordination of the photochemical modeling and emissions inventory work of the OTC States. The modeling work begins with developing an emissions inventory of ozone precursor emissions for a base year, and developing a reproduced meteorological field for that same year. The emissions inventory and meteorological field data are then processed and run through a photochemical model to predict the ozone readings at air quality monitors. These predictions are then compared to actual data on ozone levels as collected at the monitors for the same period. This is done to validate the performance of the model. The modeling and emission inventory work in the OTC is coordinated by the Modeling Committee that ensures States are capable of completing the work accurately and on time. Both the emission inventory and modeling work is decentralized across States and other planning organization, requiring considerable commitment of resources, coordination and oversight. Ideally and with additional funding, these work efforts, including access to super computers and large data storage, would be funded in part through the OTC to the inventory and modeling centers in the States and

universities. Over the project period the Modeling Committee will pursue continued development and refinement of a photochemical modeling platform that performs according to EPA specifications on a timeline that follows: 1) EPA implementation timelines (to be modified with 2015 Ozone NAAQS that is more health-protective), and 2) State planning, rulemaking, and implementation timelines. OTC will manage, support and facilitate the committees ongoing work to: 1) develop the highest quality base and future year projected emission inventories for photochemical modeling purposes; and 2) track ozone trends and transport patterns into and within the OTR.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance funds may be used for costs specifically incurred in the conduct of interstate pollution projects in accordance with the purposes enumerated in the approved application. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

An agency or commission designated by the Governors of the affected States, which is capable of recommending to those Governors' plans for implementation of national primary and secondary ambient air quality standards and which includes representation from the States and the appropriate political subdivisions within the affected air quality control region. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Municipalities, intermunicipalities, States, interstate agencies or commissions, and Federally recognized Indian tribes.

Credentials/Documentation:

The application must supply evidence of legal authority for air pollution control, evidence of the availability of non-federal matching funds, evidence that the Governor or his designated State agency has been given the opportunity to comment on the relationship of the program to be funded to the State plan and a workable program officially adopted for the agency. Principles for determining allowable costs are set forth in the Uniform Grants Guidance 2 CFR 200 of the Federal Regulations. Costs will be determined in accordance with 2 CFR 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Discussions or informal meetings with the Headquarters Program Office concerning program preparation are advisable. The standard application forms as furnished by the Federal Agency and required by Uniform Grants Guidance 2 CFR 200 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information

Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for application forms and completed applications should be submitted to the appropriate EPA Office (See Appendix IV of the Catalog). Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

Each application shall be subjected to administrative coordination to determine adequacy in relation to grant regulations, and to technical and program evaluation to determine merit and relevancy of the project. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Applicant must reapply.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Upon initial designation by the Governors of the affected States, pursuant to Sections 106 or 111 of the Clean Air Act of 1990, the Administrator is authorized to pay for up to 2 years, and up to 100 percent of the air quality planning program costs of a designated commission or interstate agency. After the initial 2-year period, the Administrator is authorized to make grants to such agency or such commission in amounts up to 3/5 of its air quality management plan costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of grant award. Method of awarding/releasing assistance: lump sum.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim and final progress reports, and financial and equipment reports. Reporting requirements are also identified in the Uniform Grants Guidance 2 CFR 200.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in the Uniform Grants Guidance 2 CFR 200. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in the Uniform Grants Guidance 2 CFR 200. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in the Uniform Grants Guidance 2 CFR 200. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in the Uniform Grants Guidance 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0200-0-1-304.

Obligations:

(Project Grants) FY 16 \$639,000; FY 17 est \$639,000; and FY 18 est \$639,000 - FY 2016 - \$639,000; FY 2017 - \$639,000; FY 2018 - \$639,000 (projected).

Range and Average of Financial Assistance:

There is only one cooperative agreement awarded under this program. The cooperative agreement will range from \$600,000 to \$650,000/fiscal year with an average award of \$639,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The OTC Modeling Committee continued to move forward with its work plan to fulfill the charge from the Commission from the OTC Fall 2015 meeting and assist the other Committees with modeling support. The OTC and its Committees activities have increased significantly given the nexus of continued development of recommendations to facilitate the work of OTC states to meet the 2008 ozone NAAQS, continued work to assist states on the 110(a)(2)(d) good neighbor provision of the CAA, working collaboratively on regionally significant national measures under development from EPA, planning for states and regional activities under the revised ozone standard finalized on October 1, 2015, and working collaboratively with states across a region encompassing the eastern United States. The Modeling Committee continued development and testing of the next generation, 2011 based, modeling platform. This platform corresponds with EPA's updated modeling platform and will use EPA data when appropriate to maintain consistency and reduce costs. The Modeling Committee has shifted its 2018 projection inventory development to include a 2017 projection year to support states attainment SIPs. The majority of the committee's work focused on processing the second version of EPA's emissions inventory for photochemical modeling. Work also began on the development of a technical support document for the modeling platform as well as documentation necessary for SIP submittal. Fiscal Year 2017: The OTC Modeling Committee continued to move forward with its

work plan to fulfill the charge from the Commission to assist the other Committees with modeling support. The OTC Committees activities have increased significantly since the revision of ozone NAAQS on October 1, 2015. In addition to the continuing development of recommendations to facilitate OTC states efforts in meeting the 2008 ozone NAAQS, the OTC is continuing to assist states on the section 110(a)(2)(d) good neighbor provision of the CAA, working collaboratively on regionally-significant national measures being developed by the EPA, planning for regional activities under the 2015 ozone NAAQS, and working collaboratively with states across the eastern United States. The Modeling Committee continued the development and testing of the next generation 2011 based modeling platform. This platform corresponds with EPA's updated modeling platform and uses EPA data, when appropriate, to maintain consistency and reduce costs. The Modeling Committee began work to assist states in SIP planning for potential bump ups to serious non-attainment for the 2008 ozone NAAQS and for 110(a)(2)(d) good neighbor SIPs for the 2015 ozone NAAQS by developing screening modeling. The committee completed screening modeling and presented the results at the OTC Annual Meeting in June 2017. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administration Requirements for Grants and Cooperative Agreements to State and Local Governments 2 CFR 200 and 1500).

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Catrice Jefferson Office of Air and Radiation
Environmental Protection Agency
1200 Pennsylvania Avenue, N.W., Mail Code 6102A, Washington, District of Columbia 20460 Email: Jefferson.catrice@epa.gov Phone: (202) 564-1668

Website Address:

<http://www.otcair.org/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Modeling Committee facilitated the coordination of the photochemical modeling and emissions inventory work of the OTC States. Developed regional models of ozone concentrations: Because ozone precursors and ozone itself can travel over long distances, projecting future ozone concentrations requires a super-regional model that encompasses most of the United States east of the Mississippi River. Improved the modeling tools available: The OTC also works to improve the suite of modeling tools available in order to make the process of modeling quicker, more transparent, and more accurate. Fiscal Year 2017: OTC has several workgroups to support their goals. The Largest Emitters/EGUs Workgroup is compiling two reports on OTC, EPA, & individual state efforts to ensure (a) optimal operation of existing EGU controls, and (b) addition of controls to large uncontrolled EGUs inside & outside of the OTR for maximum NOX emissions reduction. The Reports cover EGUs having no post-combustion controls, and those not running existing controls optimally, i.e. EGUs with SCR & SNCR that emit 0.10 lb/MMBtu and 0.30 lb/MMBtu respectively. The reports and workbooks will include Top 25 emitters with controls, Top 25 emitters without controls, Emitter Scorecards, Emitter Binning Analyses, State Regulations & Federal Consent Decrees, EPA petitions, and state legal actions. The Control Measures Workgroup is developing recommendations & model rules for SAS strategies that states should consider in their Good Neighbor (GN) SIPs due in 2018. The Workgroup is working on a Model Rule for Control of NOx Emissions from Natural Gas Pipeline Compressor Fuel-Fired Prime Movers which include combustion turbines, and 2/4 stroke, spark-ignited, RB/LB reciprocating internal combustion engines that use coal, residual oil, or natural gas, and are located within the CSAPR U and OTR states. The Workgroup is also working on recommendations for NOx emission limits for Cement Kilns. The High Electric Demand Days (HEDD) Workgroup is addressing its 2017 charge, i.e. to develop recommendations for an effective strategy to reduce NOX emissions on High Electric Demand Days. The Workgroup is finalizing the whitepaper

that includes enforceable Rule-Based Strategy for Boilers, Turbines, & Other Combustion Devices at Minor Sources, a Rule-Based Strategy for Non-Emergency Generators Powered by Reciprocating Engines, and a voluntary Outreach-Based Strategy that includes recommendations to reduce electric demand on poor air quality days. The recommendations cover devices located within OTR that use coal, natural gas, residual oil or other oils. The workgroup recommends an MOU, Statement or Resolution as an implementation mechanism. The Mobile Source Committee (MSC) began its work fulfilling the charge given to it at the 2016 Fall Meeting. The MSC followed developments with policies affecting aftermarket catalytic converters and developed a statement for adoption at the Spring Meeting calling on US EPA to update the federal program. The MSC also continued work on recommendations on policies to reduce vehicle idling regionally, which included discussions with several more stakeholders and development of two brief surveys for two different trade organizations. The MSC also developed another statement for adoption at the Spring Meeting concerning the adoption of stricter federal emission standards for NOX from heavy-duty vehicles and locomotive engines. Finally, the MSC updated the success story document with information from the states on successful projects to reduce air pollution in the mobile sector. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.034 SURVEYS, STUDIES, RESEARCH, INVESTIGATIONS, DEMONSTRATIONS, AND SPECIAL PURPOSE ACTIVITIES RELATING TO THE CLEAN AIR ACT

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

National Environmental Policy Act of 1969, Section 102(2)(F), Public Law 91-190, 42 U.S.C 4332; Clean Air Act of 1963, Section 103, Public Law 95-95, 42 U.S.C 7401.

OBJECTIVES:

To support Surveys, Studies, Research, Investigations, Demonstrations and Special Purpose assistance relating to the causes, effects (including health and welfare effects), extent, prevention, and control of air pollution to include such topics as air quality, acid deposition, global programs, indoor environments, radiation, mobile source technology and community-driven approaches to transportation and emissions reduction. Projects should also focus on addressing environmental justice (EJ) concerns in communities. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority for 2017

(1) Indoor Environments. Activities will support surveys, studies, research, training, outreach, education, investigations or demonstrations performed by organizations that lead to effective outreach strategies to educate key audiences about indoor air pollutants, their associated health risks and encourage effective mitigation and control strategies. Currently, the Program focuses on several critical aspects of indoor air quality that pose significant risks to public health, and in particular, to children and other disproportionately impacted segments of society. Program focus includes, but is not limited to, reducing the exposure of children and others with asthma to indoor triggers that worsen their condition; promoting the adoption of operation and maintenance practices in schools, homes and other buildings throughout the nation to reduce the harmful effects of poor indoor air quality on the health of the public, students and staff; promoting voluntary radon testing by homeowners to identify elevated levels and fix them when they are found; as well as working with homebuilders to incorporate radon resistant construction features into new homes; and encouraging adult smokers to protect their children from the adverse health effects of environmental exposure to secondhand smoke by making a conscious decision to smoke outside and keep their homes and cars smokefree. (2) Community-Scale Air Toxics Ambient Monitoring (CSATAM). The CSATAM Grant Program results in two-year projects designed to assist state, local and tribal communities in identifying and profiling air toxics sources, characterizing

the degree and extent of local air toxics problems and tracking progress of air toxics reduction activities in specific local areas without reliance on often uncertain estimates of emissions and air dispersion models. Further, projects typically allow the recipient state, local and tribal air pollution control agencies to establish or enhance hazardous air pollutant monitoring networks, thus providing long term capability to investigate and assess specific local air quality scenarios of concern. The program will continue working with grant recipients that received funding in previous years. (3) Green House Gas(GHG) Reporting Program. The Climate Change Division (CCD) supports a range of technical, analytical, policy and data issues within EPA. CCD is implementing a variety of partnership programs targeting non-CO2 greenhouse gases; applying the latest science and impacts information in regulatory and policy contexts; conducting comprehensive economic analysis of legislation; supporting the regulatory work of other offices within OAR and EPA with information on emissions, economics, science, and policy; advancing understanding of key mitigation technologies such as carbon capture and storage (CCS) and biomass energy; and coordinating communication of the technical and analytical work. (4) Environmental Protection Partnerships. The Climate Protection Partnerships Division (CPPD) supports voluntary public-private partnership programs designed to save American businesses and consumers energy and money through energy efficiency. Our programs work by overcoming widely acknowledged barriers to energy efficiency: lack of clear, reliable information on technology opportunities; lack of awareness of energy efficient products and services; lack of financing options to turn lifecycle energy savings into initial cost savings for consumers; and low incentives to manufacturers for efficiency research and development. In addition, our programs provide technical assistance, training, information exchange and other forms of cooperation to enhance the capabilities of governments and other stakeholders to protect human health and the environment regionally and globally. (5) Global Green Freight Supply Chain Demonstration. The program provides technical support, management and oversight in implementing outreach, analysis and capacity building for international green freight programs. The goal is to provide assistance to nations seeking to develop sustainable freight transport and thereby, increase efficiencies to reduce greenhouse gases and black carbon. (6) Mobile Sources. Provides funding to maintain, update, and improve an internet-based information clearinghouse to facilitate the accurate and timely exchange of information related to vehicle on-board diagnostics (OBD) testing with State inspection and maintenance (I/M) programs, the automotive industry, and public outreach materials. The clearinghouse is an online repository of information and also maintains an interactive forum where questions may be asked and answered and where interactive dialogue on issues can take place.

Funding Priorities for 2018

(1) Community-Scale Air Toxics Ambient Monitoring (CSATAM). The CSATAM Grant Program results in two-year projects designed to assist state, local and tribal communities in identifying and profiling air toxics sources, characterizing the degree and extent of local air toxics problems and tracking progress of air toxics reduction activities in specific local areas without reliance on often uncertain estimates of emissions and air dispersion models. Further, projects typically allow the recipient state, local and tribal air pollution control agencies to establish or enhance hazardous air pollutant monitoring networks, thus providing long term capability to investigate and assess specific local air quality scenarios of concern. The program will continue working with grant recipients that received funding in previous years. (2) Green House Gas(GHG) reporting program: The Climate Change Division (CCD) supports the Greenhouse Gas Reporting program that will help facilities that participate in state-based and federal GHG reporting programs better understand the requirements of state GHG reporting programs in comparison to the federal rule. CCD will also continue to provide technical, analytical, and scientific support for the regulatory action consistent with Presidential Executive Order on Promoting Energy Independence and Economic Growth dated March 28, 2017, including GHG-related economic and science analyses. (3) Global Green Freight Supply Chain Demonstration. The program provides technical support, management and oversight in implementing outreach, analysis and capacity building for international green freight programs. The goal is to provide assistance to nations seeking to develop sustainable freight transport and thereby, increase efficiencies to reduce greenhouse gases and black carbon.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved Surveys, Studies, Research, Investigations, Demonstrations and Special Purpose plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia, international organizations, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of Air and Radiation's mission. Eligibility for projects awarded or competed exclusively with State and Tribal Assistance Grant (STAG) funds is limited to air pollution control agencies, as defined in section 302(b) of the Clean Air Act that are also eligible to receive grants under section 105 of the Clean Air Act, and/or federally recognized tribes and inter-tribal consortia, consisting of federally recognized tribe members. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions.

Credentials/Documentation:

Costs will be determined in accordance with Uniform Grants Guidance 2 CFR 200. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460

or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for Surveys, Studies, Research, and Investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policy on the competitive grant process.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: While there is no statutory or regulatory cost sharing requirement for this program, as a matter of policy the Agency may impose a cost sharing requirement in specific competitions. If the Agency decides to do this, the cost sharing terms will be stated in Section III of the competitive announcement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Grants are generally fully funded or on an incremental funding basis. Successful applicants will be notified either via U.S. mail or electronically. Such notification is contingent upon information contained in the resulting solicitation. See the following for information on how assistance is awarded/released: Assistance agreements may be incrementally (quarterly) or fully (lump sum) funded.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Uniform Grants Guidance 2 CFR 200.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Uniform Grants Guidance 2 CFR 200. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in the Uniform Grants Guidance 2 CFR 200. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program

Performance requirements stated in the Uniform Grants Guidance 2 CFR 200. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in the Uniform Grants Guidance 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; 68-1018-0-1-304; 68-0107-0-1-304.

Obligations:

(Project Grants) FY 16 \$4,661,355; FY 17 est \$7,480,000; and FY 18 est \$2,930,000 - FY 2016 - \$4,661,355; FY 2017-\$7,480,000 FY2018-\$2,930,000 (Projected).

Range and Average of Financial Assistance:

EPA generally award grants ranging in value from \$5,000 to \$750,000 per fiscal year. The average value of each grant is \$150,000 per fiscal year.

TAFS Codes:

68-0107; 68-0103; 68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: (1)Indoor Air/Radon: Produced effective outreach strategies to educate key audiences about indoor air pollutants and their associated health risks, convincing them to adopt effective mitigation and control strategies. These outreach strategies focused on several critical aspects of indoor air quality that pose significant risks to public health, and in particular, to children and to other disproportionately impacted segments of society. These include: reducing the exposure of children and others with asthma to indoor triggers that worsen their condition; promoting the adoption of operation and maintenance practices in schools throughout the nation to reduce the harmful effects of poor indoor air quality on the health of students and staff; promoting voluntary radon testing by homeowners to identify elevated levels and fix them when they are found, as well as working with homebuilders to incorporate radon resistant construction features into new homes; and encouraging adult smokers to protect their children from the adverse health effects of environmental exposure to secondhand smoke by making a conscious decision to smoke outside and keep their homes and cars smokefree (2)Climate Change Division: The USEPA's Global Methane Initiative Grants program provides cooperative agreements to recipients around the globe to build capacity and promote international capture and use of methane. The grants have become an integral and important capacity building instrument of the Global Methane Initiative, a public-private partnership (of more than 33 partner governments) that reduces greenhouse gas (GHG) pollution by promoting the cost-effective, near-term recovery and use of methane, a GHG that is more than 20 times more potent than carbon dioxide. Methane capture and use projects supported by the partnership through grants and other means are currently reducing emissions by more than 27.3 million metric tons of carbon dioxide equivalent ~ annually equivalent to the annual emissions from 5 million passenger vehicles. The USEPA's Greenhouse Gas (GHG) Reporting Grants program will help facilities that participate in voluntary, state-based and federal GHG reporting programs better understand the requirements of voluntary and state GHG reporting programs in comparison

to the federal rule; and identify options for how data collected through state and federal reporting requirements may be used to inform state GHG programs and facility-level efforts to identify emissions-reduction opportunities. (3) Climate Partnership Protection: Continued to reduce GHG and improved air quality through collaborative efforts with stakeholders. (4) Mobile Sources: Awarded funds for the continued operation and maintenance of the On-Board Diagnostics (OBD) Information Exchange, an online repository of OBD information for State inspection and maintenance programs, the automotive industry, and the general public. Fiscal Year 2017: Climate Change Division: CCDs grants helped build the capacity of tribes to address the health impacts of climate change. In addition, EPAs Integrated Assessment of Greenhouse Gases helped advance the science and understanding needed to provide to provide technical, analytical, and scientific support for the regulatory action consistent with Presidential Executive Order on Promoting Energy Independence and Economic Growth dated March 28, 2017. Climate Protection Partnerships Division (CPPD): CPPDs programs helped American families and businesses save energy and money while also protecting the environment by helping reduce air emissions. Indoor Air/Radon: Delivered effective technical assistance and outreach strategies to educate key audiences about indoor air pollutants and their associated health risks, convincing them to adopt effective mitigation and control strategies. These efforts focused on several critical aspects of indoor air quality that pose significant risks to public health, and in particular, to children and to other disproportionately impacted segments of society. These include: reducing the exposure of children and others with asthma to indoor triggers that worsen their condition; promoting the adoption of operation and maintenance practices in schools throughout the nation to reduce the harmful effects of poor indoor air quality on the health of students and staff; promoting voluntary radon testing by homeowners to identify elevated levels and fix them when they are found, as well as working with homebuilders to incorporate radon resistant construction features into new homes; and encouraging adult smokers to protect their children from the adverse health effects of environmental exposure to secondhand smoke by making a conscious decision to smoke outside and keep their homes and cars smokefree. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, Studies, Research, and Investigations grants and cooperative agreements are subject to the Uniform Grants Guidance 2 CFR 200.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Eric Geer 1200 Pennsylvania Ave, N.W.
Mail Code 6102A, Washington, District of Columbia 20460 Email:
geer.eric@epa.gov Phone: 202-564-0890 Fax: 202-564-1327

Website Address:

<http://www.epa.gov/air/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Indoor Environments: Conducting training courses and outreach activities for environmental health professionals on indoor air quality topics including asthma triggers, schools, radon, indoor air quality in homes, large buildings, and community outreach.

Climate Change Division: Feasibility Studies/Training Landfill Gas Program-Global Methane Initiative, Assessment Methane Technologies and Management Practices for Municipal Wastewater Facilities in Chile- Global Methane Initiative projects in various developing countries.

Climate Protection Partnerships: This project will use voluntary and market based strategies to realize energy efficiency gains in products and buildings. The long term goal for the work outlined in this work plan is to create lasting, sustainable change in the market resulting in significant energy savings, reductions in greenhouse gas emissions, and reduced utility bills. This project will establish product energy performance and testing metrics, collect energy performance data, conduct outreach around the energy use and efficiency opportunities of products and buildings, support development of product labeling and verification programs, and support utility program design. Fiscal Year 2017: Climate Change Division: Tribal Climate Change Health Impacts Capacity Building Project-build tribal capacity to address the health effects of climate change and plan for new or changing tribal community health needs as the climate changes; Energy Modeling Forum for Climate Economics- convene preeminent economic and integrated assessment modeling teams to engage in model comparison studies and climate policy studies.; Integrated Assessment of Climate Change Mitigation, Impacts, and Adaptation within the United States. Climate Partnership Program: Voluntary and market based strategies to realize energy efficiency gains in products and buildings. Established a freight outreach center to promote fuel efficient practices and technologies to heavy duty diesel vehicle operators. Indoor Environments: Conducted technical training courses and outreach activities for environmental health professionals on indoor air quality topics including asthma trigger mitigation in homes, school environmental health, radon testing and mitigation, indoor air quality in homes, large buildings, and community outreach. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

EPA selects proposed Surveys, Studies, Research, and Investigations projects for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. If EPA issues a solicitation for applications for a particular project or group of projects, the solicitation will identify specific criteria. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.037 INTERNSHIPS, TRAINING AND WORKSHOPS FOR THE OFFICE OF AIR AND RADIATION FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

National Environmental Policy Act of 1969, Section 102(2)(F), Public Law 91-190, 42 U.S.C 4332; Clean Air Act, Section 103(b)(3), Public Law 42-7403.

OBJECTIVES:

To provide, Internships, Training, Workshops, and Technical Monitoring in support of the Clean Air Act. These activities aim to: (1) support the development of career-oriented personnel qualified to work in occupations involving environmental protection and air pollution abatement and control; (2) provide technical training for state, local, territorial, and Indian Tribal environmental control agencies; (3) enhance the capability of state, tribal, and local agencies responsible for environmental pollution control or other agencies with similar pollution control responsibilities; (4) provide educational renewal for career oriented personnel to achieve additional knowledge through academic professional training; (5) provide students in science, engineering, and other relevant fields with education and training opportunities to enhance their understanding of air quality-related topics; and 6) to increase the quality and number of people into the environmental control field. Projects should also focus on addressing environmental justice (EJ) concerns in communities. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority for 2017

The American Indian Air Quality Training Program. Provides workshop training, internships and technical support to tribal governments to investigate, develop and establish air quality management programs for lands under their jurisdiction. The Tribal Air Monitoring Support Center is a component of this program and provides a full spectrum of technical support to tribes undertaking air quality monitoring and related activities. The Tribal Training Support for the

Community and Tribal Programs project supports efforts to encourage and facilitate involvement of tribal partner governments in implementing ambient air quality programs in Indian country, under the Clean Air Act, through training and technical support. The project supports tribal community members on air quality issues and addresses environmental justice impacts on those communities. The Grant will focus on permit training and development of a series on wildland fire/smoke events.

Funding Priority for 2018

The American Indian Air Quality Training Program. Provides workshop training, internships and technical support to tribal governments to investigate, develop and establish air quality management programs for lands under their jurisdiction. The Tribal Air Monitoring Support Center is a component of this program and provides a full spectrum of technical support to tribes undertaking air quality monitoring and related activities. The Tribal Training Support for the Community and Tribal Programs project supports efforts to encourage and facilitate involvement of tribal partner governments in implementing ambient air quality programs in Indian country, under the Clean Air Act, through training and technical support. The project supports tribal community members on air quality issues and addresses environmental justice impacts on those communities. The Grant will focus on the wildland fire/smoke series, Treatment as State (TAS) training, permit training and understanding the oil and gas industry.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance agreements are available to support recipients' allowable direct costs incident to approved projects for internships, training and workshops related to environmental issues plus allowable indirect costs, in accordance with established EPA policies and regulations. This requirement does not involve geospatial information.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S., including the Federally Recognized Indian Tribal Government, District of Columbia and possessions of the U.S., international organizations, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of Air and Radiation's mission. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, and Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

Costs will be determined in accordance with Uniform Grants Guidance 2 CFR 225 for State and local governments and Indian Tribes, UGG 2 CFR 220 for educational institutions, and UGG 2 CFR 230 for non-profit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. EPA requires final applications to be made on Standard Form 424, Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog or downloaded from <http://www.epa.gov/ogd/forms/forms.htm>. Applicants must submit their requests for training assistance on EPA Standard Form 424 and the fellowship assistance on EPA Standard Form 5770-2. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days after the deadline for the Request for Applications.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. All projects will be fully funded.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

If the grant is not fully funded at time of award, EPA normally funds grants on a 12-month incremental basis. Each grant is limited to a 3-year duration. See the following for information on how assistance is awarded/released: Assistance Agreements are typically fully funded by a lump sum.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim and final progress reports, and financial and equipment reports. Reporting requirements are also identified in the Uniform Grants Guidance 2 CFR parts 200 and 1500

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in 2 CFR parts 200 and 1500. Grantees are required to submit financial

reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Uniform Grants Guidance 2 CFR parts 200 and 1500. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Uniform Grants Guidance 2 CFR parts 200 and 1500. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Uniform Grants Guidance 2 CFR parts 200 and 1500.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; 68-0107-0-1-304; 68-0108-0-1-304.

Obligations:

(Project Grants) FY 16 \$2,000,000; FY 17 est \$2,000,000; and FY 18 est \$1,900,000 - FY 2016 \$2,000,000; FY 2017 \$2,000,000; FY 2018 \$1,900,000(Projected).

Range and Average of Financial Assistance:

EPA generally awards grants ranging in value from \$100,000 to \$300,000 per fiscal year. The average amount is \$250,000.

TAFS Codes:

68-0107; 68-0103; 68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The American Indian Air Quality Training Program (AIAQTP) provides comprehensive air quality policy and regulatory analysis consisting of support and national coordination activities to assist tribes in understanding, participating in and responding to US EPA's Office of Air and Radiation (OAR) policy and regulatory activities; provides opportunity for American Indian students, educators, and communities to participate in, and pursue environmental careers in the field of air quality management. The AIAQTP program also conducts outreach to local communities on air quality and related environmental justice issues via a website to disseminate the environmental education curriculum. The Student Program for Environmental Excellence in Design (SPEED) program provides graduate-level students and senior-level undergraduate students in the science, technology, and engineering disciplines opportunities to collaborate with the EPA and obtain research training on-site at the EPA's National Vehicle and Fuel Emissions Lab (NVFEL). Students increase their awareness and understanding of the environmental benefits associated with increased fuel efficiency, reduced carbon intensity in transportation fuels, and reduced emissions in advanced vehicles. The Tribal Training Support for the Community and Tribal Programs project supported 17 tribes and approximately 100 tribal environmental staff and tribal community members in our very first set of tribal community trainings. The trainings brought together not only community members and EPA personnel but also tribal environmental professionals, industry, state and local organizations, colleges and other stakeholders surrounding the Navajo Nation. Understanding air quality issues within the community, learning about the regulatory development process, and being able to engage in the regulatory process in a meaningful way were just some of the accomplishments of these

particular trainings. Fiscal Year 2017: The American Indian Air Quality Training Program (AIAQTP) provides comprehensive air quality policy and regulatory analysis consisting of support and national coordination activities to assist tribes in understanding, participating in and responding to US EPA's Office of Air and Radiation (OAR) policy and regulatory activities; provides opportunity for American Indian students, educators, and communities to participate in, and pursue environmental careers in the field of air quality management. The AIAQTP program also conducts outreach to local communities on air quality and related environmental justice issues via a website to disseminate the environmental education curriculum. The Tribal Training Support for the Community and Tribal Programs project supported trainings on New Source Review (NSR) and Title V Permits and Air Quality Planning for Wildland Smoke. Approximately 15 tribal environmental professionals were trained on the purpose and requirements of the different permit programs, e.g., major/minor NSR, Prevention of Significant Deterioration (PSD), Title V. They were able to review actual permits and get a better understanding about applicability, control technology, monitoring, record keeping and reporting, and enforceability. Attendees were able to develop a strategy for impacting the development of permits. The Wildland Smoke training was a one-day workshop to assist tribal environmental professionals with learning about the different sources of smoke and how to prepare their tribal community when a smoke event happens. The workshop was so popular that we are in the process of expanding the training into a 5-part webinar series with a 2-day hands-on workshop. The Student Program for Environmental Excellence in Design (SPEED) program provides graduate-level students and senior-level undergraduate students in the science, technology, and engineering disciplines opportunities to collaborate with the EPA and obtain research training on-site at the EPA's National Vehicle and Fuel Emissions Lab (NVFEL). Students increase their awareness and understanding of the environmental benefits associated with increased fuel efficiency, reduced carbon intensity in transportation fuels, and reduced emissions in advanced vehicles. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Training grants are subject to Uniform Grants Guidance 2 CFR 200; 40 CFR Part 45; and EPA Annual Program Guidance provided to State and Local agencies

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Pat Childers
For Program Information, contact: (Tribes) Pat Childers (202) 564-1082, childers.pat@epa.gov; (General) Eric Geer (202) 564-0890, geer.eric@epa.gov; Office of Air and Radiation, William Jefferson Clinton North Building, 1200 Pennsylvania Avenue, N.W., Mail Code 6101A, Washington, D.C. 20460.

Office of Air and Radiation, Ariel Rios North Building, 1200 Pennsylvania Avenue, N.W., Mail Code 6101A For Program Information, contact: (Tribes) Pat Childers (202) 564-1082, childers.pat@epa.gov ; (general) Maureen Hingeley (202) 564-1306, hingeley.maureen@epa.gov

, Washington, District of Columbia 20460 Email: childers@epa.gov Phone: 202-564-1082

Website Address:

<http://www.epa.gov/air>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Projects include providing comprehensive air quality policy

and regulatory analysis consisting of support and national coordination activities to assist tribes in understanding, participating in and responding to US EPA's Office of Air and Radiation (OAR) policy and regulatory activities; ensuring American Indian students, educators, and communities are properly informed of, and provided with opportunities to participate in, and pursue environmental careers in the field of air quality management; the American Indian Air Quality Training Program (AIAQTP), which includes workshop training, internships and technical support to tribal governments seeking to investigate, develop and establish air quality management programs for lands under their jurisdiction. The AIAQTP program will also include outreach to local communities on air quality and related environmental justice issues and the development of a website to disseminate the environmental education curriculum. The Student Program for Environmental Excellence in Design (SPEED) program aims to increase students' awareness and understanding of the environmental benefits associated with increased fuel efficiency, reduced carbon intensity in transportation fuels, and reduced emissions in advanced vehicles. Through the SPEED, graduate-level students and senior-level undergraduate students in the science, technology, and engineering disciplines are provided opportunities to collaborate with the EPA and obtain research training on-site at the EPA's National Vehicle and Fuel Emissions Lab (NVFEL) in Ann Arbor, Michigan. The Tribal Training Support for the Community and Tribal Programs project supported 2 tribal trainings for fence-line communities. The participants learned about air quality issues within the community, the regulatory development process, and how to engage in the regulatory process in a meaningful way. Fiscal Year 2017: Projects include providing comprehensive air quality policy and regulatory analysis consisting of support and national coordination activities to assist tribes in understanding, participating in and responding to US EPA's Office of Air and Radiation (OAR) policy and regulatory activities; ensuring American Indian students, educators, and communities are properly informed of, and provided with opportunities to participate in, and pursue environmental careers in the field of air quality management; the American Indian Air Quality Training Program (AIAQTP), which includes workshop training, internships and technical support to tribal governments seeking to investigate, develop and establish air quality management programs for lands under their jurisdiction. The AIAQTP program will also include outreach to local communities on air quality and related environmental justice issues and the development of a website to disseminate the environmental education curriculum. The Tribal Training Support for the Community and Tribal Programs project supported trainings on New Source Review (NSR) and Title V Permits, Air Quality Planning for Wildland Smoke and Understanding the Oil and Gas Industry. Attendees learned about the purpose and requirements of the different permit programs, e.g., major/minor NSR, Prevention of Significant Deterioration (PSD), Title V. They reviewed actual permits and learned about applicability, control technology, monitoring, record keeping and reporting, and enforceability. Attendees learned how to develop a strategy for impacting the development of permits. The Wildland Smoke training was a one-day workshop, in conjunction with a national tribal meeting, where participants learned about sources of smoke and how to prepare their tribal community when a wild fire/smoke event happens. Student Program for Environmental Excellence in Design (SPEED) program: (1) Comprehensive survey of GDI particle characteristics, by size selecting sampling and characterization of soot nanostructure, soot composition and soot surface chemical species(2) Dry run testing using both a complex (CO₂-CO-NO_x-N₂) blend and a dual (CO₂-N₂) blend for all 8 compounds. (3)The SPEED team sponsored a ME 450 project for Winter 2017 on the subject of carbon dioxide capture from vehicle exhaust, building upon a ME 450 project supervised in the Fall 2016 term. Another ME 450 team sponsored in the Winter 2017 term worked on a system to desorb and purge the carbon dioxide captured within the device developed by the Fall 2016 team. (4) Undergraduate student teams performed analysis that compared the observed travel behavior of respondents to their stated willingness to use connected and automated vehicles (CAVs) to complete their trips. (5) an experimental investigation of electrocatalysis, (6) a life cycle assessment of the overarching bioenergy system starting with poplar cultivation, and (7) the development of a policy framework that, if implemented, would provide value for the carbon that is present in the biochar co-product of pyrolysis. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA

description will be described in the competitive announcement.

66.038 TRAINING, INVESTIGATIONS, AND SPECIAL PURPOSE ACTIVITIES OF FEDERALLY-RECOGNIZED INDIAN TRIBES CONSISTENT WITH THE CLEAN AIR ACT (CAA), TRIBAL SOVEREIGNTY AND THE PROTECTION AND MANAGEMENT OF AIR QUALITY

(Tribal CAA 103 Project Grants)

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Clean Air Act of 1963, Section 103, Public Law 95-95, 42 U.S.C 7403.

OBJECTIVES:

Projects include providing comprehensive air quality policy and regulatory analysis consisting of support and national coordination activities to assist tribes in understanding, participating in and responding to US EPA's Office of Air and Radiation (OAR) policy and regulatory activities; ensuring American Indian students, educators, and communities are properly informed of, and provided with opportunities to participate in, and pursue environmental careers in the field of air quality management; the American Indian Air Quality Training Program (AIAQTP), which includes workshop training, internships and technical support to tribal governments seeking to investigate, develop and establish air quality management programs for lands under their jurisdiction. The AIAQTP program will also include outreach to local communities on air quality and related environmental justice issues and the development of a website to disseminate the environmental education curriculum. The Student Program for Environmental Excellence in Design (SPEED) program aims to increase students' awareness and understanding of the environmental benefits associated with increased fuel efficiency, reduced carbon intensity in transportation fuels, and reduced emissions in advanced vehicles. Through the SPEED, graduate-level students and senior-level undergraduate students in the science, technology, and engineering disciplines are provided opportunities to collaborate with the EPA and obtain research training on-site at the EPA's National Vehicle and Fuel Emissions Lab (NVFEL) in Ann Arbor, Michigan

Funding Priority for 2016

EPA and Tribes, in partnership, will protect public health, the environment, and unique Tribal air resources, consistent with the CAA, its Amendments, and EPA's trust responsibilities. This program will ensure Tribes have appropriate levels of support and opportunity to understand their own air quality and take proactive measures to preserve, restore, and protect air quality for their reservations and other lands over which they have jurisdiction through CAA implementation, radiation protection, mobile source controls, and voluntary programs to address indoor and outdoor air, climate change and other concerns. Activities will ensure that all Tribes have the tools they need to understand and participate in local, regional, and national issues and to protect their air quality from changes off reservation that may impact them. Key activities include: (1) Training and Program Development - this area includes technical, administrative, and policy related training conducted in-person, on-line, and/or by any remote technological method supporting the enhancement of skills that contribute to development of tribal air quality management activities; (2) Assessment and Monitoring - activities related to assessment may include short or long term efforts to define, design, understand, and assess in a qualitative or quantitative manner (such as through an emission or source inventory) the impacts of air pollutants to human health, the environment, and items of cultural importance. Monitoring includes numerous activities that gather data about the state of the environment and measuring the concentrations and potential effects of criteria and/or toxic air pollutants; (3) Developing capacity or a plan for eligibility under the Tribal Authority Rule - developing capacity includes activities that increase the experience and expertise of tribes to understand and assess air quality, including technical, administrative, policy, regulatory, education and outreach, communication skills, training, and building contacts, networks and experience. Capacity includes carrying-out these programs and activities, interacting with the national air program and providing input, guidance, and perspective to efforts to develop policies, regulations, and guidance at the local, regional, and national level including reading, report

writing, grant writing and reporting, budgeting, public speaking, developing presentations, preparing comments, editing documents, operating and maintaining equipment, and participating in meetings. Developing a plan for eligibility under the Tribal Authority Rule (TAR) includes report reading and writing, grant writing and reporting, budgeting, public speaking, presentation development, comment preparation, document editing, equipment operation and maintenance, and meeting participation; (4) Delegation or Ongoing Capability Development - This activity includes developing technical, administrative, and management skills, knowledge, and abilities through experience, training, and education to enable activities such as reading and interpreting federal regulations, operating equipment, preparing and presenting technical information and reports, interacting with tribal communities and governments, federal bureaucrats and bureaucracy, providing outreach and education, public speaking, conducting public hearings, collecting and analyzing data, developing plans and innovative approaches to pollution control in a culturally sensitive manner; and (5) Tribal Implementation Plan development - tribes may conduct all aspects of air quality management at an advanced level, including developing lists of sources by category, conducting emission inventories and emission estimating, data analysis, analyzing, interpreting and commenting on administrative and regulatory requirements and activities at local, state, regional and national levels, developing advanced technical, policy and planning document preparation, developing an enforcement and inspection program, and tribal government representation

Funding Priority for 2017

EPA and Tribes, in partnership, will protect public health, the environment, and unique Tribal air resources, consistent with the CAA, its Amendments, and EPA's trust responsibilities. This program will ensure Tribes have appropriate levels of support and opportunity to understand their own air quality and take proactive measures to preserve, restore, and protect air quality for their reservations and other lands over which they have jurisdiction through CAA implementation, radiation protection, mobile source controls, and voluntary programs to address indoor and outdoor air, climate change and other concerns. Activities will ensure that all Tribes have the tools they need to understand and participate in local, regional, and national issues and to protect their air quality from changes off reservation that may impact them. Key activities include: (1) Training and Program Development - this area includes technical, administrative, and policy related training conducted in-person, on-line, and/or by any remote technological method supporting the enhancement of skills that contribute to development of tribal air quality management activities; (2) Assessment and Monitoring - activities related to assessment may include short or long term efforts to define, design, understand, and assess in a qualitative or quantitative manner (such as through an emission or source inventory) the impacts of air pollutants to human health, the environment, and items of cultural importance. Monitoring includes numerous activities that gather data about the state of the environment and measuring the concentrations and potential effects of criteria and/or toxic air pollutants; (3) Developing capacity or a plan for eligibility under the Tribal Authority Rule - developing capacity includes activities that increase the experience and expertise of tribes to understand and assess air quality, including technical, administrative, policy, regulatory, education and outreach, communication skills, training, and building contacts, networks and experience. Capacity includes carrying-out these programs and activities, interacting with the national air program and providing input, guidance, and perspective to efforts to develop policies, regulations, and guidance at the local, regional, and national level including reading, report writing, grant writing and reporting, budgeting, public speaking, developing presentations, preparing comments, editing documents, operating and maintaining equipment, and participating in meetings. Developing a plan for eligibility under the Tribal Authority Rule (TAR) includes report reading and writing, grant writing and reporting, budgeting, public speaking, presentation development, comment preparation, document editing, equipment operation and maintenance, and meeting participation; (4) Delegation or Ongoing Capability Development - This activity includes developing technical, administrative, and management skills, knowledge, and abilities through experience, training, and education to enable activities such as reading and interpreting federal regulations, operating equipment, preparing and presenting technical information and reports, interacting with tribal communities and governments, federal bureaucrats and bureaucracy, providing outreach and education, public speaking, conducting public hearings, collecting and analyzing data, developing plans and innovative approaches to pollution control in a culturally sensitive manner; and (5) Tribal Implementation Plan development - tribes may conduct all aspects of air quality management at an advanced level, including developing lists of sources by category, conducting emission inventories and emission estimating, data analysis, analyzing, interpreting and commenting on administrative and regulatory requirements and activities at local, state, regional and national levels, developing advanced technical, policy and planning document preparation, developing an enforcement and inspection program, and tribal government representation

speaking, conducting public hearings, collecting and analyzing data, developing plans and innovative approaches to pollution control in a culturally sensitive manner; and (5) Tribal Implementation Plan development - tribes may conduct all aspects of air quality management at an advanced level, including developing lists of sources by category, conducting emission inventories and emission estimating, data analysis, analyzing, interpreting and commenting on administrative and regulatory requirements and activities at local, state, regional and national levels, developing advanced technical, policy and planning document preparation, developing an enforcement and inspection program, and tribal government representation.

Funding Priority 2018

EPA and Tribes, in partnership, will protect public health, the environment, and unique Tribal air resources, consistent with the CAA, its Amendments, and EPA's trust responsibilities. This program will ensure Tribes have appropriate levels of support and opportunity to understand their own air quality and take proactive measures to preserve, restore, and protect air quality for their reservations and other lands over which they have jurisdiction through CAA implementation, radiation protection, mobile source controls, and voluntary programs to address air quality, climate change and other concerns. Activities will ensure that all Tribes have the tools they need to understand and participate in local, regional, and national issues and to protect their air quality from changes off reservation that may impact them. Key activities include: (1) Training and Program Development - this area includes technical, administrative, and policy related training conducted in-person, on-line, and/or by any remote technological method supporting the enhancement of skills that contribute to development of tribal air quality management activities; (2) Assessment and Monitoring - activities related to assessment may include short or long term efforts to define, design, understand, and assess in a qualitative or quantitative manner (such as through an emission or source inventory) the impacts of air pollutants to human health, the environment, and items of cultural importance. Monitoring includes numerous activities that gather data about the state of the environment and measuring the concentrations and potential effects of criteria and/or toxic air pollutants; (3) Developing capacity or a plan for eligibility under the Tribal Authority Rule - developing capacity includes activities that increase the experience and expertise of tribes to understand and assess air quality, including technical, administrative, policy, regulatory, education and outreach, communication skills, training, and building contacts, networks and experience. Capacity includes carrying-out these programs and activities, interacting with the national air program and providing input, guidance, and perspective to efforts to develop policies, regulations, and guidance at the local, regional, and national level including reading, report writing, grant writing and reporting, budgeting, public speaking, developing presentations, preparing comments, editing documents, operating and maintaining equipment, and participating in meetings. Developing a plan for eligibility under the Tribal Authority Rule (TAR) includes report reading and writing, grant writing and reporting, budgeting, public speaking, presentation development, comment preparation, document editing, equipment operation and maintenance, and meeting participation; (4) Delegation or Ongoing Capability Development - This activity includes developing technical, administrative, and management skills, knowledge, and abilities through experience, training, and education to enable activities such as reading and interpreting federal regulations, operating equipment, preparing and presenting technical information and reports, interacting with tribal communities and governments, federal bureaucrats and bureaucracy, providing outreach and education, public speaking, conducting public hearings, collecting and analyzing data, developing plans and innovative approaches to pollution control in a culturally sensitive manner; and (5) Tribal Implementation Plan development - tribes may conduct all aspects of air quality management at an advanced level, including developing lists of sources by category, conducting emission inventories and emission estimating, data analysis, analyzing, interpreting and commenting on administrative and regulatory requirements and activities at local, state, regional and national levels, developing advanced technical, policy and planning document preparation, developing an enforcement and inspection program, and tribal government representation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipient's allowable direct costs incident to approved Tribal air resources activities that will protect public health and the environment, plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. Executive Order 12372 does not apply to the program.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Assistance under this program is generally available to Federally-recognized Indian Tribes and Intertribal Consortia, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of Air and Radiation's mission. Tribal CAA 103 Project Grants have been determined by the Agency as exempt from competition under EPA Order 5700.5A1.

Beneficiary Eligibility:

Federally-recognized Indian Tribes and Intertribal Consortia.

Credentials/Documentation:

Costs will be determined in accordance with Uniform Grants Guidance 2 CFR 225 for State and local governments and Indian Tribes. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreement Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

EPA will review and evaluate applications, proposals, and/or submissions in accordance with EPA policies/regulations for non-competitive assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None. Generally, EPA incrementally funds assistance agreements for Training, Investigations, and Special Purpose. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policies.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds assistance agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Grants and cooperative agreements are generally fully funded or on an incremental funding basis. Successful applicants will be notified either via U.S. mail or electronically. See the following for information on how assistance is awarded/released: Assistance agreements are fully funded (lump sum) or incrementally (quarterly) funded.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Uniform Grants Guidance 2 CFR 200, as applicable.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in the Uniform Grants Guidance 2 CFR 200. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in the Uniform Grants Guidance 2 CFR 200. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in the Uniform Grants Guidance 2 CFR 200. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in the Uniform Grants Guidance 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients are subject to the retention and access requirements for records under 2 CFR 1500.6. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients' grant and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; 68-0108-0-1-304; 68-0107-0-1-304.

Obligations:

(Project Grants) FY 16 \$12,712,000; FY 17 est \$12,712,000; and FY 18 est \$12,712,000 - FY 2016 - \$12,712,000; FY 2017 - \$12,712,000; FY 2018 - \$12,712,000(Projected).

Range and Average of Financial Assistance:

There is no minimum amount of assistance; the maximum is \$7,750,000. The general range of the amount of assistance is \$25,000 to \$500,000. The average is \$75,000.

TAFS Codes:

68-0103; 68-0108; 68-0107.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program accomplishments of the tribes utilizing these funds can best be found in the annual Status of Tribal Air Report, which is a product of the National Tribal Air Association. According to this report there are 85 tribes operating Air monitors now, and 84 tribes with recently completed or updated Emissions inventories. 48 tribes have non regulatory Treatment in a Manner Similar to a State (TAS), while 10 tribes have moved forward with regulatory TAS. Fiscal Year 2017: Program accomplishments of the tribes utilizing these funds can best be found in the annual Status of Tribal Air Report, which is a product of the National Tribal Air Association. According to this report there are 85 tribes operating Air monitors now, and 80 tribes with recently completed or updated Emissions inventories. 49 tribes have non regulatory Treatment in a Manner Similar to a State (TAS), while 10 tribes have moved forward with regulatory TAS. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Training, Investigations, and Special Purpose grants and cooperative agreements are subject to Uniform Grants Guidance 2 CFR 200. Costs will be determined in accordance with Uniform Grants Guidance 2 CFR 220 Subpart E-Cost principles

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below. For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreement Management Division, 3903R, Washington, D.C. 20460. For program information contact: Pat Childers, Phone: (202) 564-1082, e-mail: childers.pat@epa.gov.

Headquarters Office:

Pat Childers USEPA Headquarters
Ariel Rios Building
1200 Pennsylvania Avenue, N. W.
MC 6103A, Washington, District of Columbia 20460 Email:
childers.pat@epa.gov Phone: (202) 564-1082

Website Address:

<http://www.epa.gov/air/tribal>

RELATED PROGRAMS:

66.001 Air Pollution Control Program Support; 66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016:
<https://www7.nau.edu/itep/main/ntaa/Resources/StatusTribalAir/>. Fiscal Year 2017: <https://www7.nau.edu/itep/main/ntaa/Resources/StatusTribalAir/>. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications and proposals are selected for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. Tribal CAA 103 Project Grants have been determined exempt from competition under EPA Order 5700.5A1.

66.039 NATIONAL CLEAN DIESEL EMISSIONS REDUCTION PROGRAM

DERA Clean Diesel Funding Assistance Program

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Energy Policy Act of 2005, Title VII, Part G, 42 U.S.C 16131.

OBJECTIVES:

The Diesel Emissions Reduction Act, 42 U.S.C. 16131 et seq., as amended, authorization includes the authority for EPA to award grants, rebates and low-cost revolving loans to eligible entities to fund the costs of a retrofit technology that significantly reduces emissions through implementation of a certified engine configuration, verified technology, or emerging technology for buses (including school buses), medium heavy-duty or heavy heavy-duty diesel trucks, marine engines, locomotives, or nonroad engines or diesel vehicles or equipment used in construction, handling of cargo (including at port or airport), agriculture, mining, or energy production. In addition, eligible entities may also use funds awarded for programs or projects to reduce long-duration idling using verified technology involving a vehicle or equipment described above. The objective of the assistance under this program is to achieve significant reductions in diesel emissions in terms of tons of pollution produced and reductions in diesel emissions exposure, particularly from fleets operating in areas designated by the Administrator as poor air quality areas. Projects should also focus on promoting environmental justice (EJ). EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority for 2017

The National Clean Diesel Funding Assistance Program aims to work aggressively to reduce the pollution emitted from diesel engines across the country through the implementation of varied control strategies and involvement of national, state, and local partners. Priority shall be given to projects which achieve significant reductions in diesel emissions in terms of tons of pollution produced by diesel engines and diesel emissions exposure, particularly from fleets operating at or servicing goods movement facilities located in areas designated as having poor air quality. Further, priority for funding may be given to projects which result in outcomes that benefit affected communities, those that engage local communities with respect to the design and performance of the project, and those which can demonstrate the ability to promote and continue efforts to reduce emissions after the project has ended. Poor air quality areas include areas in nonattainment or maintenance of national ambient air quality standards for PM2.5 and Ozone, and areas with toxic air pollutant concerns. In FY 2017, projects were competed and funded under the Clean Diesel Funding Assistance Program FY 2017 Request for Proposals, and the Tribal Clean Diesel Funding Assistance Program FY 2017 Request for Proposals. Clean Diesel Emerging Technologies Program grants and SmartWay Clean Diesel Finance Program grants were not funded in FY2017.

Funding Priority 2018

The National Clean Diesel Funding Assistance Program aims to work aggressively to reduce the pollution emitted from diesel engines across the country through the implementation of varied control strategies and involvement of national, state, and local partners. Priority shall be given to projects which achieve significant reductions in diesel emissions in terms of tons of pollution produced by diesel engines and diesel emissions exposure,

particularly from fleets operating at or servicing goods movement facilities located in areas designated as having poor air quality. Further, priority for funding may be given to projects which result in outcomes that benefit affected communities, those that engage local communities with respect to the design and performance of the project, and those which can demonstrate the ability to promote and continue efforts to reduce emissions after the project has ended. Poor air quality areas include areas in nonattainment or maintenance of national ambient air quality standards for PM2.5 and Ozone, and areas with toxic air pollutant concerns. In FY 2018, projects will be competed and funded under the Clean Diesel Funding Assistance Program FY 2018 Request for Proposals, and the Tribal Clean Diesel Funding Assistance Program FY 2018 Request for Proposals. Clean Diesel Emerging Technologies Program grants and SmartWay Clean Diesel Finance Program grants will not be funded in FY2018.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance agreements are available to support recipient's allowable costs incident to supporting projects to reduce emissions from diesel engines, plus allowable indirect costs, in accordance with established EPA policies and regulations. No grant, rebate or loan provided under this section shall be used to fund the costs of emissions reductions that are mandated under Federal law. Voluntary or elective emission reduction measures shall not be considered "mandated", regardless of whether the reductions are included in the State implementation plan of a State. Distribution of Funds: 1) not less than 95 percent of funds available for a fiscal year under the DERA National Clean Diesel Emissions Reduction Program shall be provided to eligible entities for projects using a certified engine configuration or a verified technology and 2) not more than 5 percent of funds available for a fiscal year under the DERA National Clean Diesel Emissions Reduction Program shall be provided to eligible entities for the development and commercialization and implementation of emerging technologies.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Eligible applicants are: A regional, State, local or tribal agency or port authority with jurisdiction over transportation or air quality; and a nonprofit organization or institution that represents or provides pollution reduction or educational services to persons or organizations that own or operate diesel fleets; or has, as its principal purpose, the promotion of transportation or air quality are eligible for assistance under this program. City, county, or municipal agencies, school districts, and metropolitan planning organizations (MPOs) that have jurisdiction over transportation or air quality are all eligible entities under this program to the extent that they fall within the definition above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State, Local, Public Nonprofit Institution/Organization, Anyone/General Public.

Credentials/Documentation:

Costs will be determined in accordance with found at 2 CFR 225, for State and local governments and Indian Tribes, found at 2 CFR 220, for educational institutions, found at 2 CFR 230, for nonprofit institutions. Federal cost principles applicable to for-profit entities are located at 48 CFR Part 31, with the exception of the method of payment to for-profit sub recipients must be "reimbursement" rather than "advance". Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects.

Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days after the request for applications.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Generally, EPA fully funds assistance agreements under this program.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: There is statutory no requirement for a matching contribution from applicants. However, FY2017 programmatic policy requires a mandatory match for certain types of projects (engine upgrades, idle reduction technologies, engine replacements, vehicle/equipment replacements, and alternative fuel conversions). Applicants may use their own funds or other sources for cost-share if the standards of 2 CFR Part 200, as applicable, are met. If the proposed cost-share is to be provided by a named project partner, a letter of commitment is required. Other federal grants may not be used as cost-share under this program unless the statute authorizing the other federal funding provides that the federal funds may be used to meet a cost-share requirement on a federal grant. Projects involving engine upgrades, idle reduction technologies, marine shore connection systems, electrification parking space technologies, engine replacements, vehicle/equipment replacements, and alternative fuel conversions are subject to the following funding limitations and mandatory cost-share requirements: Engine Upgrades and Remanufacture Systems: EPA will fund up to 40% of the cost (labor and equipment) of an eligible engine upgrade or remanufacture system (i.e. applicants are responsible for cost-sharing at least 60% of the cost). Highway Idle Reduction Technologies:

EPA will fund up to 25% of the cost (labor and equipment) of eligible idle reduction technologies on long-haul trucks and school buses (i.e. applicants are responsible for cost-sharing at least 75% of the cost). Locomotive Idle Reduction Technologies: EPA will fund up to 40% of the cost (labor and equipment) of an eligible idle reduction technology on a locomotive (i.e. applicants are responsible for cost-sharing at least 60% of the cost). Marine Shore Connection Systems: EPA will fund up to 25% of the cost (labor and equipment) of an eligible marine shore connection system (i.e. applicants are responsible for cost-sharing at least 70% of the cost). Electrified Parking Space Technologies: EPA will fund up to 30% of the cost (labor and equipment) of an eligible electrified parking space technology (i.e. applicants are responsible for cost-sharing at least 70% of the cost). Engine Replacement: EPA will fund up to 40% of the cost (labor and equipment) of an eligible engine replacement with an engine certified to EPA emission standards (i.e. applicants are responsible for cost-sharing at least 60% of the cost). EPA will fund up to 50% of the cost (labor and equipment) of an eligible engine replacement with an engine certified to CARBs Optional Low-NOx Standards (i.e. applicants are responsible for cost sharing at least 50% of the cost). EPA will fund up to 60% of the cost (labor and equipment) of an eligible engine replacement with an electric motor or electric power source (i.e. applicants are responsible for cost sharing at least 40% of the cost). Vehicle/Equipment Replacement: Locomotive and Nonroad Diesel Vehicles and Equipment: EPA will fund up to 25% of the cost of an eligible vehicle or piece of equipment powered by an engine certified to EPA emission standards (i.e. applicants are responsible for cost-sharing at least 75% of the cost). EPA will fund up to 45% of the cost of an eligible all-electric vehicle or piece of equipment (i.e. applicants are responsible for cost-sharing at least 55% of the cost). Highway Diesel Vehicles: EPA will fund up to 25% of the cost of an eligible vehicle powered by an engine certified to EPA emission standards (i.e. applicants are responsible for cost-sharing at least 75% of the cost). EPA will fund up to 35% of the cost of an eligible vehicle powered by an engine certified to meet CARBs Optional Low-NOx Standards (i.e. applicants are responsible for cost-sharing at least 65% of the cost). EPA will fund up to 45% of the cost of an eligible all-electric vehicle (i.e. applicants are responsible for cost-sharing at least 55% of the cost). Drayage Vehicle Replacement: EPA will fund up to 50% of the cost of an eligible drayage truck powered by a 2012 model year or newer certified engine (i.e., applicants are responsible for cost-sharing at least 50% of the cost). Clean Alternative Fuel Conversion: EPA will fund up to 40% of the cost (labor and equipment) of an eligible certified or compliant clean alternative fuel conversion (i.e., applicants are responsible for cost-sharing at least 60% of the cost).

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The assistance agreements funded under the National Clean Diesel Emissions Reduction Program typically have a two-year project period with the possibility for extension up to a five-year project period. FY 2017 is the tenth year of funding for this Program, in addition to the FY 2009 supplemental funding received under the American Recovery and Reinvestment Act (ARRA or Recovery Act). See the following for information on how assistance is awarded/released: Assistance agreements may be fully (lump sum) funded.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim and final progress reports, and financial, equipment, and invention reports. Specific reporting requirements are also identified in the Uniform Grants Guidance 2 CFR 200.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in the Uniform Grants Guidance 2 CFR 200. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in the Uniform Grants Guidance 2 CFR 200. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in the Uniform Grants Guidance 2 CFR 200. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in the Uniform Grants Guidance 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 16 \$35,000,000; FY 17 est \$34,000,000; and FY 18 est \$34,000,000 - FY2016 \$35 million; FY2017 \$34 million; FY2018 \$34 million(Projected).

Range and Average of Financial Assistance:

Smaller grants typically range from \$100,000 - \$300,000 with an average award of \$125,000. Larger grants typically range from \$500,000 - \$2 million with an average award of \$650,000. Recovery Act Funding awarded under the National Clean Diesel Emissions Reduction Program totaled \$205,800,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: For a list of awarded grants, visit www.epa.gov/cleandiesel/clean-diesel-national-grants and www.epa.gov/cleandiesel/clean-diesel-tribal-grants. Fiscal Year 2017: For a list of awarded grants, visit www.epa.gov/cleandiesel/clean-diesel-national-grants and www.epa.gov/cleandiesel/clean-diesel-tribal-grants. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Diesel Emissions Reduction program assistance agreements are subject to the Uniform Grants Guidance 2 CFR 200, as applicable.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Jennifer Keller, Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Mail Code: 64051, Washington, District of Columbia 20460 Email: keller.jennifer@epa.gov Phone: (202) 343-9541.

Website Address:

<http://www.epa.gov/cleandiesel>

RELATED PROGRAMS:

66.040 State Clean Diesel Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Projects include a variety of diesel emissions reductions solutions such as: add-on emission control retrofit technologies; idle reduction technologies; cleaner fuel use; engine replacement; engine upgrades; and vehicle or equipment replacement. Eligible vehicles, engines and equipment may include: buses (including school buses); Class 5 Class 8 heavy-duty diesel trucks; marine engines; locomotives; and non-road engines or vehicles used in: i) construction; ii) handling or cargo (including at a port or airport); iii) agriculture; iv) mining; or v) energy production (including stationary engines

such as generators or pumps). Fiscal Year 2017: Projects include a variety of diesel emissions reductions solutions such as: add-on emission control retrofit technologies; idle reduction technologies; cleaner fuel use; engine replacement; engine upgrades; vehicle or equipment replacement, and alternative fuel conversions. Eligible vehicles, engines and equipment may include: buses (including school buses); Class 5 Class 8 heavy-duty diesel trucks; marine engines; locomotives; and nonroad engines or vehicles used in: i) construction; ii) handling or cargo (including at a port or airport); iii) agriculture; iv) mining; or v) energy production (including stationary engines such as generators or pumps). Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.040 STATE CLEAN DIESEL GRANT PROGRAM

(DERA State Program)

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

The Energy Policy Act of 2005 as amended (The Diesel Emissions Reduction Act), Title 7(G), 42 U.S.C 16131.

OBJECTIVES:

The Diesel Emissions Reduction Act, 42 U.S.C. 16131 et seq., as amended, authorizes EPA to award assistance agreements to States to develop and implement such grant, rebates, and low-cost revolving loan programs in the State as are appropriate to meet State needs and goals relating to the reduction of diesel emissions. Projects should also focus on promoting environmental justice (EJ). EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority 2017

In providing grants, rebates, and loans under this program, States must give priority to projects which: Maximize public health benefits; Are the most cost-effective; Serve areas with the highest population density, that are poor air quality areas (including nonattainment or maintenance of national ambient air quality standards for a criteria pollutant; Federal Class I areas; or areas with toxic air pollutant concerns); Serve areas that receive a disproportionate quantity of air pollution from diesel fleets, including truck stops, ports, rail yards, terminals, construction sites, schools, and distribution centers or that use a community-based multi-stakeholder collaborative process to reduce toxic emissions; will only include a certified engine configuration or verified technology that has a long expected useful life; Maximize the useful life of any certified engine configuration or verified technology used or funded by the eligible entity; and Conserve diesel fuel

Funding Priority 2018

In providing grants, rebates, and loans under this program, States must give priority to projects which: Maximize public health benefits; Are the most cost-effective; Serve areas with the highest population density, that are poor air quality areas (including nonattainment or maintenance of national ambient air quality standards for a criteria pollutant; Federal Class I areas; or areas with toxic air pollutant concerns); Serve areas that receive a disproportionate quantity of air pollution from diesel fleets, including truck stops, ports, rail yards, terminals, construction sites, schools, and distribution centers or that use a community-based multi-stakeholder collaborative process to reduce toxic emissions; will only include a certified engine configuration or verified technology that has a long expected useful life; Maximize the useful life of any certified engine configuration or verified technology used or funded by the eligible entity; and Conserve diesel fuel.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support the development and implementation of such grant, rebate, and low-cost revolving loan programs in a State as are appropriate to meet State needs and goals relating to the reduction of diesel emissions. A grant, rebate, or loan funded by the State using funds from the DERA State Program must be used for projects involving EPA-verified and/or California Air Resources Board (CARB) verified diesel emissions reduction technologies and/or EPA certified engines. Funds received under the DERA National Program (see CFDA 66.039) may not be used to pay a matching share under this program. States must use the same statutory priorities as the national competitive program when selecting projects. States receiving funding under this program must comply with public notification requirements. Additional project eligibility and use restrictions may be defined in program guidance.

Recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is available to the 50 states and the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands.

Beneficiary Eligibility:

State, Local, Public Nonprofit Institution/Organization, Anyone/General Public.

Credentials/Documentation:

Costs for State governments will be determined in accordance with regulations found at Uniform Grants Guidance 2 CFR 225. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

EPA's Office of Air and Radiation and/or the 10 EPA Regions will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the Federal Register Notice of Availability of Funds for this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days after the request for applications.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Permitted. Generally, EPA incrementally funds these cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the projects to EPA's priorities, availability of funds, and Agency policy on the cooperative agreement process.

Formula and Matching Requirements:

Statutory Formula: Title 42 U.S.C. 16133(c). This program has a statutory allocation formula (42 U.S.C. 16133(c)). There is no statutory match requirement for this program. However, there is a statutory incentive match provision (42 U.S.C. 16133(c)(3)). Program guidance may restrict the eligibility and amount of grant funds that may be used for certain types of projects. There is no statutory requirement for a matching contribution from applicants. However, FY 2017 programmatic policy guidance requires a mandatory match for certain type of projects (engine upgrades, idle reduction technologies, engine replacements, vehicle/equipment replacements, and alternative fuel conversions). Any voluntary matching funds provided by the state to qualify for the matching incentive are included in the EPA funds and state voluntary matching funds described below. Mandatory cost-share funds provided by the state and/or eligible third parties cannot count towards the states voluntary matching funds to qualify for the matching incentive. However, if a state requires a third-party cost-share contribution above and beyond the mandatory cost-share amount for the elected technology, then the excess cost-share may be applied towards the state voluntary match funds for the purpose of qualifying for the matching incentive. The recipient may not use EPA funds and state voluntary matching funds to provide more than the cost-share percentages outlined below, as applicable, of the final equipment costs. Recipients must satisfy any applicable cost-share requirements with allowable costs as set forth in 2 CFR 200.306. The cost-share requirements are as follows: Engine Upgrades: EPA funds and state voluntary matching funds can cover up to 40% of the cost (labor and equipment) of an eligible engine upgrade; states and/or eligible third parties are responsible for the mandatory cost-share of at least 60% of the cost of an eligible engine upgrade. Idle Reduction Technologies on Locomotives: EPA funds and state voluntary matching funds can cover up to 40% of the cost (labor and equipment) of an eligible idle reduction technology for a locomotive; states and/or eligible third parties are responsible for the mandatory cost-share of at least 60% of the cost of an eligible idle reduction technology for a locomotive. Idle Reduction Technologies on Highway Diesel Vehicles: EPA funds and state voluntary matching funds can cover up to 25% of the cost (labor and equipment) of eligible, verified idle technologies on Class 8 long-haul trucks and school buses; states and/or eligible third parties are responsible for the mandatory cost-share of at least 75% of the cost of eligible, verified idle reduction technologies on Class 8 long-haul trucks and school buses. Marine Shore Power Connection Systems: EPA funds and state voluntary matching funds can cover up to 25% of the cost (labor and equipment) of an eligible shore connection system; states and/or eligible third parties are responsible for the mandatory cost-share of at least 75% of the cost of an eligible shore connection system. Electrified Parking Spaces (EPS): EPA funds and state voluntary matching funds can cover up to 30% of the cost (labor and equipment) of eligible EPS technology; states and/or eligible third parties are responsible for the mandatory cost-share of at least 70% of the cost of eligible EPS technology. Certified Engine Replacement: EPA funds and state voluntary matching funds can cover up to 40% of the cost (labor and equipment) of an eligible diesel or alternative fuel engine replacement. States and/or eligible third parties are responsible for the mandatory cost-share of at least 60% of the cost of an eligible engine replacement. Highway Low-NOx: EPA funds and state voluntary matching funds can cover up to 50% of the cost (labor and equipment) of replacing a highway diesel engine with a 2017 model year or newer engine that is certified to CARBs Optional Low-NOx Standards of 0.1 g/bhp-hr, 0.05 g/bhp-hr, or 0.02 g/bhp-hr NOx. States and/or eligible third parties are responsible for the mandatory cost-share of at least 50% of the cost of eligible Low-NOx engine replacement. All-Electric: EPA funds and state voluntary matching funds can cover up to 60% of the cost (labor and equipment) of an eligible all-electric engine replacement. States and/or eligible third parties are responsible for the mandatory cost-share of at least 40% of the

cost of an eligible all-electric engine replacement. Certified Vehicle/Equipment Replacement: EPA funds and state voluntary matching funds can cover up to 25% of the cost of an eligible replacement vehicle or piece of equipment powered by a 2017 model year or newer certified engine; states and/or eligible third parties are responsible for the mandatory cost-share of at least 75% of the cost of an eligible replacement vehicle or piece of equipment. Highway Low-NOx: EPA funds and state voluntary matching funds can cover up to 35% of the cost of an eligible highway replacement vehicle powered by a 2017 model year or newer engine certified to meet CARBs Optional Low-NOx Standards of 0.1 g/bhp-hr, 0.05 g/bhp-hr, or 0.02 g/bhp-hr NOx. Engines certified to CARBs Optional Low NOx Standards may be found by searching CARBs Executive Orders for Heavy-duty Engines and Vehicles, found at: www.arb.ca.gov/msprog/onroad/cert/cert.php. States and/or eligible third parties are responsible for the mandatory cost-share of at least 65% of the cost of an eligible replacement vehicle. All-Electric: EPA funds and state voluntary matching funds can cover up to 45% of the cost of an eligible all-electric replacement vehicle or equipment. States and/or eligible third parties are responsible for the mandatory cost-share of at least 55% of the cost of an eligible all-electric replacement vehicle or piece of equipment. Drayage Trucks: EPA funds and state voluntary matching funds can cover up to 50% of the cost of an eligible replacement drayage truck powered by a 2012 model year or newer certified engine. States and/or eligible third parties are responsible for the mandatory cost-share of at least 50% of the cost of an eligible replacement drayage vehicle. Clean Alternative Fuel Conversion: EPA funds and state voluntary matching funds can cover up to 40% of the cost (labor and equipment) of an eligible certified or compliant clean alternative fuel conversion. States and/or eligible third parties are responsible for the mandatory cost-share of at least 60% of the cost of an eligible clean alternative fuel conversion.

This program has no matching requirements. This program has a statutory allocation formula (42 U.S.C. 16133(c)). There is no match requirement for this program. However, there is an incentive match provision (42 U.S.C. 16133(c)(3)). Program guidance may restrict the eligibility and amount of grant funds that may be used for certain types of projects.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The assistance agreements funded under this program typically have a two-year project period with the possibility for extension up to a seven-year project period. See the following for information on how assistance is awarded/released: Assistance agreements may be incrementally (annually) or fully funded.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Uniform Grants Guidance 2 CFR 200. Recipients must make a public notification listing project information on their State websites within 60 days of a grant, rebate, or loan. Website postings must include the total number and dollar amount of rebates, grants, or loans provided, as well as a breakdown of the technologies funded.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Uniform Grants Guidance 2 CFR 200. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Uniform Grants Guidance 2 CFR 200. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Uniform Grants Guidance 2 CFR 200. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in the Uniform Grants Guidance 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 16 \$9,000,000; FY 17 est \$15,000,000; and FY 18 est \$15,000,000 - FY 2016 - \$9 million; FY 2017 - \$15 million; FY 2018 \$15 million (projected).

Range and Average of Financial Assistance:

There is no minimum or maximum amount of assistance. The range is estimated from \$28,000 (Island Base Amount) to approximately \$168,000 (non-Island State Base Amount), with an average funding of approximately \$120,000, depending on whether all eligible states participate in any given year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, 47 States and territories participated in the program. Each State was awarded a cooperative agreement for clean diesel activities. For a list of awarded grants, visit <https://www.epa.gov/cleandiesel/clean-diesel-state-allocations>. Fiscal Year 2017: In FY 2017, 49 States and territories participated in the program. Each State will be awarded a cooperative agreement for clean diesel activities. For a list of awarded grants, visit <https://www.epa.gov/cleandiesel/clean-diesel-state-allocations>. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

State Clean Diesel Grant Program grants and cooperative agreements are subject to EPA's general grant regulations (2 CFR 200).

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Jennifer Keller, Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Mail Code: 6405J, Washington, District of Columbia 20460 Email: keller.jennifer@epa.gov Phone: (202) 343-9541.

Website Address:

<http://www.epa.gov/cleandiesel>

RELATED PROGRAMS:

66.039 National Clean Diesel Funding Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: States may use funds under this program to develop and implement grant, rebate and loan programs that are designed to achieve significant reductions in diesel emissions and are appropriate to meet State needs and goals relating to the reduction of diesel emissions. State grant, rebate and loan programs may fund projects relating to a certified engine configuration or a verified technology for vehicles, engines and equipment, including: buses; Class 5 Class 8 heavy-duty diesel trucks; marine engines; locomotives; and nonroad engines or vehicles used in: i) construction; ii) handling or cargo (including at a port or airport); iii) agriculture; iv) mining; or v) energy production. Projects under the State's grant program may include, but are not

limited to, a variety of diesel emissions reductions solutions such as: add-on emission control retrofit technologies; idle reduction technologies; cleaner fuel use; engine repowers; engine upgrades; and/or vehicle or equipment replacement. For a list of awarded grants, visit <https://www.epa.gov/cleandiesel/clean-diesel-state-allocations>. Fiscal Year 2017: States may use funds under this program to develop and implement grant, rebate and loan programs that are designed to achieve significant reductions in diesel emissions and are appropriate to meet State needs and goals relating to the reduction of diesel emissions. State grant, rebate and loan programs may fund projects relating to a certified engine configuration or a verified technology for vehicles, engines and equipment, including: buses; Class 5 Class 8 heavy-duty diesel trucks; marine engines; locomotives; and nonroad engines or vehicles used in: i) construction; ii) handling or cargo (including at a port or airport); iii) agriculture; iv) mining; or v) energy production. Projects under the State's grant program may include, but are not limited to, a variety of diesel emissions reductions solutions such as: add-on emission control retrofit technologies; idle reduction technologies; cleaner fuel use; engine replacements; engine upgrades; and/or vehicle or equipment replacement; and alternative fuel conversions. For a list of awarded grants, visit <https://www.epa.gov/cleandiesel/clean-diesel-state-allocations>. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

This is a noncompetitive program. Proposals must meet the eligibility requirements set forth in the DERA State Program Guidelines.

**66.041 CLIMATE SHOWCASE COMMUNITIES GRANT PROGRAM
FEDERAL AGENCY:**

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

FY 2009 Appropriations Act, Public Law 111-8; FY 2010 Appropriations Act, Public Law 111-117.

OBJECTIVES:

Public Law 111-8 authorizes EPA to award competitive grants to communities to develop plans and demonstrate and implement projects which reduce greenhouse gas emissions. EPA will implement this grant authority by awarding grants to local and tribal governments for Planning, Demonstrations, and Implementation Projects designed to address climate change by reducing greenhouse gas emissions. Such projects should include a plan for measuring documentable reductions in greenhouse gas emissions and exhibit the capacity for replication. Grant activities should aim to: 1) reduce emissions of greenhouse gases; 2) build capacity within local and tribal agencies to address greenhouse gas emissions within their communities; 3) create meaningful and sustainable programs and management systems to achieve ongoing reductions; 4) link climate change initiatives with broader environmental, economic, and social concerns; 5) build and leverage partnerships across multiple stakeholder groups that facilitate front-end participation by communities impacted by climate change; and 6) create models of success that can be replicated across the nation.

Projects should also focus on addressing environmental justice (EJ) concerns in communities. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority - Fiscal Year 2014/15 Projects funded will continue to 1) reduce emissions of greenhouse gases; 2) build capacity within local and tribal agencies to address greenhouse gas emissions within their communities; 3) create meaningful and sustainable programs and management systems to achieve ongoing reductions; 4) link climate change initiatives with broader environmental, economic, and social concerns; 5) build and leverage partnerships across multiple stakeholder groups that facilitate front-end participation by communities impacted by climate change; and 6) create models of success that can be replicated across the nation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

EPA will implement this grant authority by awarding grants to local and tribal governments for Planning, Demonstrations, and Implementation Projects designed to address climate change by reducing greenhouse gas emissions. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website:
<https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally, this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Local governments - a county, municipality, city, town, township, local public authority (including any public and Indian housing agency) school district, special district, intrastate district, council of governments, any other regional or interstate government entity, or any agency or instrumentality of a local government. Federally recognized Indian tribal governments - the governing body or a governmental agency of any Indian tribe, band, nation, or other organized group or community (including Native villages) certified by the Secretary of the Interior as eligible for the special programs and services provided by him through the Bureau of Indian Affairs. Intertribal Consortia - a partnership between two or more Tribes that is authorized by the governing bodies of those Tribes to apply for and receive assistance under this program. Intertribal Consortia are eligible to receive grants under this program only if the Consortium demonstrates that all members of the Consortium meet the eligibility requirements for the grant and authorize the Consortium to apply for and receive assistance by submitted to EPA documentation of (1) the existence of the partnership between Indian Tribal governments, and (2) Authorization of the Consortium by all its members to apply for and receive the grant(s) for which the Consortium has applied.

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Local Governments and Federally-recognized Indian Tribes, Intertribal Consortia, General Public.

Credentials/Documentation:

Costs will be determined in accordance with Uniform Grants Guidance 2 CFR 200. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review

of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

EPA intends to fully fund amended projects with supplemental awards.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: The match required for this program is set at 50% with the exception of tribal governments who are exempt from matching requirements. This program does not have a statutory formula, but EPA may allocate 5% of the funds to tribal governments. This program does not have MOE requirements. This program does not have MOE requirements or total allocations over \$100 million for FY16.

Length and Time Phasing of Assistance:

The grants and/or cooperative agreements funded under the Climate Showcase Communities Grant Program have a project period of up to 5-years. However EPA negotiated the project period with each applicant based on project requirements. Most Climate Showcase Community awards have a project period of between 24 to 36 months. See the following for information on how assistance is awarded/released: Assistance agreements will be fully funded by a lump sum.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Uniform Grants Guidance 2 CFR 200.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Uniform Grants Guidance 2 CFR 200. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in the Uniform Grants Guidance 2 CFR 200. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. No expenditure reports are required. Grantees are

required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in the Uniform Grants Guidance 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients' grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - FY 2016 \$0; FY 2017 \$0; FY 2018 \$0(projected).

Range and Average of Financial Assistance:

There is no minimum amount of assistance. \$500,000 is the max amount with a 50% cost share.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: NA. Fiscal Year 2017: NA. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Local Communities Climate Change Initiative grants and cooperative agreements are subject to Uniform Grants Guidance 2 CFR 200

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Andrea Denny, Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Mail Code: 6202J, Washington, District of Columbia 20460 Email: denny.andrea@epa.gov Phone: (202) 343-9268.

Website Address:

<http://www.epa.gov/statelocalclimate/local/showcase/index.html>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: NA. Fiscal Year 2017: NA. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA

description will be described in the competitive announcement.

66.042 TEMPORALLY INTEGRATED MONITORING OF ECOSYSTEMS (TIME) AND LONG-TERM MONITORING (LTM) PROGRAM

(TIME/LTM)

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Clean Air Act, Section 103(b)(3); Clean Water Act, Section 104(b)(3); National Environmental Policy Act, Section 102(2)(F).

OBJECTIVES:

Clean Air Act section 103(b)(3) authorizes EPA to conduct and promote the coordination and acceleration of research, investigations, experiments, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention and control of air pollution. Clean Water Act section 104(b)(3) authorizes EPA to award grants to conduct and promote the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys and studies relating to the causes, effects, extent, prevention, reduction and elimination of pollution. EPA will award cooperative agreements to study the ecological response to pollution reduction and emissions control programs. The programs will assist in understanding biogeochemical changes in sulfur, nitrogen, aluminum, and carbon in streams and lakes in relation to changing pollutant emissions and deposition, as well as other factors, such as potential environmental changes (e.g., temperature, precipitation, and storm frequency and intensity).

Funding Priority for 2017

In 2017, the LTM program will support initiatives that demonstrate the potential for tracking and understanding the ecological response to air pollution reduction programs in acid-sensitive regions of the U.S. Funding will be available for applicants that demonstrate the ability to provide consistent, reliable, quality-assured data from lakes and streams for a variety of surface water chemistry parameters (e.g., pH, sulfate and nitrate concentrations, acid neutralizing capacity, aluminum concentrations, base cations). Initiatives supporting the TIME program, specifically, are not anticipated to be supported in FY2017.

Funding Priority for 2018

The LTM program will support initiatives that demonstrate the potential for tracking and understanding the ecological response to air pollution reduction programs in acid-sensitive regions of the U.S. Funding will be available for applicants that demonstrate the ability to provide consistent, reliable, quality-assured data from lakes and streams for a variety of surface water chemistry parameters (e.g., pH, sulfate and nitrate concentrations, acid neutralizing capacity, aluminum concentrations, base cations).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

EPA will implement this grant authority by awarding cooperative agreements to state and local governments, institutions of higher education, and quasi-public and non-profit organizations that demonstrate the capability to perform the sampling, measurement, and parameter characterization of the lakes and streams in this long-term ecological system response program. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal

Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S. (including the District of Columbia); public and private universities and colleges; hospitals; laboratories; public or private nonprofit institutions; intertribal consortia; and individuals. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. An intertribal consortium must meet the definition of eligibility in the Environmental Program Grants for Tribes Final Rule, at 40 CFR 35.504 (66 FR 3782, January 16, 2001) (FRL-6929-5) and be a non-profit organization within the meaning of OMB Circular A-122, found at Uniform Grants Guidance 2 CFR 230. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments in acid-sensitive regions of the U.S., institutions of higher education, scientific research community, general public.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200 Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog.

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in

accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. While there is no statutory or regulatory cost sharing requirement for this program, as a matter of policy the Agency may impose a cost sharing requirement in specific competitions. If the Agency decides to do this, the cost sharing terms will be stated in Section III of the competitive announcement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds assistance agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 7 years. See the following for information on how assistance is awarded/released: Assistance agreements may be incrementally (quarterly) or fully (lump sum) funded.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Uniform Grants Guidance 2 CFR 200.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Uniform Grants Guidance 2 CFR 200, as applicable. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in the Uniform Grants Guidance 2 CFR 200, as applicable. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in the Uniform Grants Guidance 2 CFR 200, as applicable. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 2 CFR 200.328, as applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients' grants or cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; 68-0107-0-1-304.

Obligations:

(Project Grants) FY 16 \$3,775,411; FY 17 est \$375,000; and FY 18 est \$130,000 - FY 2016 - \$375,411; FY 2017-\$375,000; FY 2018 - \$130,000 (projected).

Range and Average of Financial Assistance:

There is no minimum amount of assistance. EPA anticipates annual awards ranging in value of \$100,000 to \$205,000, with an average award of \$150,000.

TAFS Codes:

68-0107; 68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The two grantees continue to successfully fulfill their assistance agreement project performance and financial obligations. Fiscal Year 2017: The two grantees continue to successfully fulfill their assistance agreement project performance and financial obligations. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

TIME/LTM assistance agreements are subject to EPA's General Grant Regulations (Uniform Grants Guidance 2 CFR 200, as applicable).

Regional or Local Office:

None.

Headquarters Office:

Clara Funk US Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Mail Code: 6204J, Washington, District of Columbia 20460 Email: funk.clara@epa.gov Phone: (202) 343-9622

Website Address:

<http://www.epa.gov/airmarkets/assessments/surfacewater.html>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Projects consisted of monitoring of small headwaters streams in undeveloped watersheds; monitoring of lakes smaller than four hectares with low acid neutralizing capacity levels in acid-sensitive regions; monitoring of lake and stream sulfate and nitrate concentrations in acid-sensitive regions of the eastern U.S. Fiscal Year 2017: Projects consisted of monitoring of small headwaters streams in undeveloped watersheds; monitoring of lakes smaller than four hectares with low acid neutralizing capacity levels in acid-sensitive regions; monitoring of lake and stream sulfate and nitrate concentrations in acid-sensitive regions of the eastern U.S. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

EPA selects proposed TIME/LTM projects for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. If EPA issues a solicitation for applications for a particular project or group of projects, the solicitation will identify specific criteria. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.110 HEALTHY COMMUNITIES GRANT PROGRAM

(Healthy Communities)

FEDERAL AGENCY:

Region 1, Environmental Protection Agency

AUTHORIZATION:

Solid Waste Disposal Act, Section 8001; Safe Drinking Water Act, Section 1442(a)&(c); Indian Environmental General Assistance Program Act; Clean Air Act, Section 103(b)(3); Marine Protection, Research, & Sanctuaries Act, Section 203; Toxic Substances Control Act, Section 10; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Clean Water Act, Section

104(b)(3); Robert T Stafford Disaster Relief and Emergency Assistance Act(1974); Comprehensive Environmental Response, Compensation and Liability Act (1980), Section 104 and 105; Pollution Prevention Act of 1990; Fiscal Year 2015 Omnibus, Consolidation and Further Appropriations Act, Public Law 113-235.

OBJECTIVES:

Grants are awarded to support projects that meet two criteria: 1) They must be located in and directly benefit one or more Target Investment Areas and 2) They must achieve measurable environmental and public health results in one or more of the Target Program Areas. Target Investment Areas and Target Program areas are identified in the annual competitive funding announcement. Funds for all projects should support activities to provide education, outreach, or training, in the Target Program Areas. The Regional Office will only accept submissions for projects that affect the States, Tribes, and Territories within the six New England States: Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, and Vermont. Projects that are National in scope are not eligible for funding under this Regional Program. The statutory authorities for this program restrict the use of assistance agreements to support the following activities: conducting or promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, and elimination of water pollution. Demonstrations must involve new or experimental technologies, methods, or approaches, and it is encouraged that the results of these projects will be disseminated so that others can benefit from the knowledge gained. A project that is accomplished through the performance of routine, traditional, or established practices, or a project that is simply intended to carry out a task rather than transfer information or advance the state of knowledge, however worthwhile the project might be, is not considered a demonstration project.

Funding Priority Fiscal Year 2015:

The Healthy Communities Grant Program is EPA New England's main competitive grant program to work directly with communities to reduce environmental risks, protect and improve human health and improve the quality of life. The Healthy Communities Grant Program will achieve this through identifying and funding projects that: target resources to benefit communities at risk [areas at risk from climate change impacts, environmental justice areas of potential concern, sensitive populations (e.g. children, elderly, tribes, urban/rural residents, and others at increased risk), and Southeast New England coastal watersheds; assess, understand, and reduce environmental and human health risks; increase collaboration through partnerships and community-based projects; build institutional and community capacity to understand and solve environmental and human health problems; Advance emergency preparedness and ecosystem resilience; and achieve measurable environmental and human health benefits in one or more of the following areas:

Capacity Building on Environmental and Public Health Issues: Projects that focus on providing training, education, outreach, and/or engagement on the causes, effects, extent, reduction, prevention and/or elimination of one or more of the following environmental and public health issues: indoor/ambient air quality, lead, asthma, pesticides, chemical risks, recycling, energy efficiency and/or conservation, pollution prevention, food waste minimization or diversion, and/or renewable energy.

Clean, Green and Healthy Schools: Projects that focus on creating clean, green and healthy school environments by promoting EPA's State School Environmental Health Guidelines, EPA's Voluntary Guidelines for Selecting Safe School Location and/or implementing replicable programs across New England serving children's environmental health at K-12 schools.

Community and Water Resource Resilience: Projects that advance the emergency preparedness and resilience of communities and water infrastructure: stormwater, wastewater and/or drinking water.

Healthy Indoor Environments: Projects that focus on reducing and/or preventing childhood lead poisoning, reducing asthma triggers, promoting integrated pest management; reducing childhood exposure to one or more toxins (PCBs, dioxin, mercury, lead, pesticides, etc.), promoting comprehensive healthy homes and/or other indoor environments.

Healthy Outdoor Environments: Projects that focus on reducing and/or preventing exposure to toxics in the air, soil and/or water by addressing the causes, effects, extent, reduction, prevention and/or elimination of pollution in rivers and/or other natural resources.

Southeast New England Coastal Watershed Restoration: Large-scale projects that focus on regional, bi-state, or watershed approaches to integrate habitat, water quality, and physical processes; facilitate a vision to address complex problems across political boundaries, including next steps for project implementation and maintenance; organize effective and sustainable partnerships for action; accelerate reduction or prevention of nutrient impacts, and promote innovations and efficiencies through integrated ecosystem management. All projects should describe the potential for transferability to other areas located within the Southeast New England Coastal Watershed region. Specific appropriation language in FY15 authorizes implementation activities to support Southeast New England Coastal Watershed Restoration priorities. Please note: Applicants that identify this Target Program Area are required to identify the "Southeast New England Coastal Watersheds" as a Target Investment Area for the proposed project. In addition, projects identifying this Target Program Area are restricted from selecting additional Target Program Areas and/or Target Investment Areas as part of the proposal.

Funding Priority Fiscal Year 2016:

The Healthy Communities Grant Program is EPA New England's main competitive grant program to work directly with communities to reduce environmental risks, protect and improve human health and improve the quality of life.

The Healthy Communities Grant Program will achieve this through identifying and funding projects that: target resources to benefit communities at risk [areas at risk from climate change impacts, environmental justice areas of potential concern, sensitive populations (e.g. children, elderly, tribes, urban/rural residents, and others at increased risk), and Southeast New England coastal watersheds; assess, understand, and reduce environmental and human health risks; increase collaboration through partnerships and community-based projects; build institutional and community capacity to understand and solve environmental and human health problems; Advance emergency preparedness and ecosystem resilience; and achieve measurable environmental and human health benefits in one or more of the following areas:

Clean, Green and Healthy Schools: Projects that focus on creating clean, green and healthy school environments by promoting EPA's State School Environmental Health Guidelines, EPA's Voluntary Guidelines for Selecting Safe School Location and/or implementing replicable programs across New England serving children's environmental health at K-12 schools.

Community and Water Resource Resilience: Projects that provide education, outreach, and training to manage facilities at which hazardous substances are located, advance the emergency preparedness and resilience of communities and water infrastructure through training related to the safe handling and removal of hazardous waste.

Healthy Indoor Environments: Projects that focus on reducing and/or preventing childhood lead poisoning through compliance assistance, outreach, and/or education on lead-based paint regulations and/or small drinking water

systems, reducing asthma triggers, promoting integrated pest management; promoting recycling, energy efficiency and/or conservation, pollution prevention, food waste minimization and/or diversion, and/or renewable energy; reducing childhood exposure to one or more toxins (PCBs, dioxin, mercury, lead, pesticides, etc.), promoting comprehensive healthy homes and/or other indoor environments for children or other sensitive populations.

Healthy Outdoor Environments: Projects that focus on reducing and/or preventing exposure to toxics in the air, soil and/or water by addressing the causes, effects, extent, reduction, prevention and/or elimination of pollution in rivers and/or other natural resources.

Tribal Youth Environmental Program: Projects that focus on creating and conducting Tribal youth education programs for federally recognized Tribes in EPA Region 1 that build future tribal environmental leaders by improving tribal community health and sustainability through pollution prevention/source reduction for ecosystems, land, and water. Programs must address protecting ecosystem functions, goods and services; supporting ecologically sensitive land management and development; and sustaining water resources to ensure quality and availability for desired uses. The projects must prioritize and incorporate use and understanding of Tribal Environmental Knowledge and help native youth better understand their relationship to the environment, community sustainability, and community health from a landscape perspective. Projects must include a form of camp or programming for high school and/or middle school tribal students, an environmental health summit emphasizing youth initiatives and projects, and establish and/or utilize a living classroom (e.g. greenhouse, garden, etc.) on Tribal lands in partnership with one or more federally recognized Tribes in Region 1.

Funding Priority Fiscal Year 2017:

The Healthy Communities Grant Program is EPA New England's main competitive grant program to work directly with communities to reduce environmental risks, protect and improve human health and improve the quality of life. The Healthy Communities Grant Program will achieve this through identifying and funding projects that: target resources to benefit communities at risk [areas needing to create community resilience, environmental justice areas of potential concern, sensitive populations (e.g. children, elderly, tribes, urban/rural residents, and others at increased risk), and Southeast New England coastal watersheds; assess, understand, and reduce environmental and human health risks; increase collaboration through partnerships and community-based projects; build institutional and community capacity to understand and solve environmental and human health problems; Advance emergency preparedness and ecosystem resilience; and achieve measurable environmental and human health benefits in one or more of the following areas: Clean, Green and Healthy Schools: Projects that focus on creating clean, green and healthy school environments by promoting EPA's State School Environmental Health Guidelines, EPA's Voluntary Guidelines for Selecting Safe School Location and their design, construction, and renovation, and/or implementing replicable programs across New England serving children's environmental health at K-12 schools. Community and Water Infrastructure Resilience: Projects that provide education, outreach, and training to manage facilities at which hazardous substances are located, advance the emergency preparedness and resilience of communities and water infrastructure through training related to the safe handling and removal of hazardous waste. Energy Efficiency: Projects that promote energy efficiency and/or conservation by providing education, outreach, and technical assistance on energy systems, weatherization and/or energy best management practices and policies. d. Healthy Indoor Environments: Projects that focus on reducing and/or preventing childhood lead poisoning through compliance assistance, outreach, and/or education on lead-based paint regulations and/or small drinking water systems, reducing asthma triggers, promoting integrated pest management; promoting recycling, pollution prevention, food waste minimization and/or diversion, and/or renewable energy; reducing childhood exposure to one or more toxins (PCBs, dioxin, mercury, lead, pesticides, etc.), promoting comprehensive healthy homes and/or other indoor environments for children or other sensitive populations. Healthy Outdoor Environments: Projects that focus on reducing

and/or preventing exposure to toxics and pollutants in the air, soil and/or water by addressing the causes, effects, extent, reduction, prevention and/or elimination of pollution in rivers and/or other natural resources. f. Tribal Youth Environmental Program: Projects that focus on creating and conducting Tribal youth education programs for federally recognized Tribes in EPA Region 1 that build future tribal environmental leaders by improving tribal community health and sustainability through pollution prevention/source reduction for ecosystems, land, and/or water. The projects must raise awareness and understanding of pollution prevention/source reduction, toxics reduction, and/or improvements to water quality to help native youth better understand their relationship to the environment and improve local environmental conditions. Projects must directly engage, involve and support Tribal youth who are members of federally-recognized tribes in New England. Partnership with one or more federally recognized Tribes in Region 1 is strongly encouraged.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

- Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

- Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Assistance under this program is available to State, Local, public nonprofit institutions/organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, Federally Recognized Indian Tribal Governments, K-12 schools or school districts; and non-profit organizations (e.g. grassroots and/or community-based organizations). Funding will be considered for a college or university to support a project with substantial community involvement. Private businesses, federal agencies, and individuals are not eligible to be grant recipients; however, they are encouraged to work in partnership with eligible applicants on projects. Applicants need not be located within the boundaries of the EPA regional office to be eligible to apply for funding but must propose projects that affect the States, Tribes, and Territories within their Region. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State, Local, Federally Recognized Indian Tribal Governments, public nonprofit institutions/organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, anyone/general public.

Credentials/Documentation:

Tribes may be asked to demonstrate that they are federally recognized. Interstate organizations may be asked to provide a citation to the statutory authority, which establishes their status. Intertribal consortia may be asked to provide documentation that they meet the requirements of 2 CFR 200 Subpart E. Non-profit applicants are not required to have a formal Internal Revenue Service (IRS) non-profit designation, such as 501(c)(3) or 501(c)(4); however they must present their letter of incorporation or other documentation demonstrating their non-profit or not-for-profit status. This requirement does not apply to public agencies or Federally Recognized Indian Tribes. Failure to enclose the letter of incorporation or other documentation demonstrating non-profit or not-for-profit status will render full proposal submissions incomplete and they will not be reviewed. Applicants who have an IRS 501(c)(4) designation are not eligible for grants if they engage in lobbying, no matter what the source of funding for the lobbying activities. For-profit

enterprises are not eligible to receive sub-grants from eligible recipients, although they may receive contracts, subject to EPA's regulations on procurement under assistance agreements, 2 CFR 200 Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

For competitive awards, an initial project summary format is provided in the competitive announcement. Applicants invited to submit a full proposal are required to submit the SF 424, 424A, 424B, and the pre-award compliance report. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Interested applicants should review information on the Internet at:

<http://www.epa.gov/ne/eco/uep/hcgp.html>. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Healthy Communities Grant Program has a two step process for evaluating competitive applications which is described in the annual Request for Initial Proposals. The standard application forms as furnished by the Federal agency and required by 2 CFR 200 and 1500, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards must be used for this program. Application forms are available at <http://www.epa.gov/ogd/AppKit/index.htm> and by mail upon request to the Grants and Interagency Agreements Management Division at (202) 564-5305. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will generally be notified within 60 days of receipt of submission for funding.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

All competitive grant awards should be prepared and submitted as new projects, which will be evaluated based on criteria specified in the annual competitive funding announcement. Renewals or extensions of existing projects may be available.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The grant program requires a match of 5% of the

total budget as part of their proposal. Any exceptions will be identified in the annual competitive funding announcement. Award recipients can use contributions from entities other than themselves as a match. However, other Federal money cannot be used as the match for this grant program. Matching funds are considered grant funds. They must be used for the reasonable and necessary expenses of carrying out the assistance agreement work plan. Any restrictions on the use of grant funds (e.g., prohibition of land acquisition with grant funds) also apply to the matching funds. This program has no statutory formula.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance agreements are normally funded on a 12 (annual) or 24 month basis, at the discretion of the applicant. There is no restriction placed on the time permitted to spend the money awarded as long as the money is spent within the budget and project periods of the award specified in the workplan and the budget worksheet in the SF 424. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. EPA includes reporting requirements for cooperative agreements in the terms and conditions of the agreements. Cooperative agreements require quarterly and final progress and expenditure reports; program evaluations and other reports as detailed by the specific terms and conditions of the agreements. Progress reports are required on a quarterly basis. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year.

Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 16 \$270,566; FY 17 est \$299,643; and FY 18 Estimate Not Available - FY15 \$1,753,405; FY16 \$270,566; FY17 \$299,643. The Healthy Communities Grant Program combines resources across contributing regional and national programs and varies annually.

Range and Average of Financial Assistance:

\$15,000 to \$25,000/fiscal year; \$22,754/fiscal year.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Forty-three initial proposals were received, 38 proposals were invited to submit a full proposal and 12 projects, worth \$287,440 were competitively selected through the 2016 Healthy Communities Grant Program. Fiscal Year 2017: Seventy initial proposals were received, 38 proposals were invited to submit a full proposal and 13 projects, worth \$299,643 were competitively selected through the 2017 Healthy Communities Grant Program. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants and cooperative agreements awarded under the Healthy Communities Grant Program are subject to EPA's General Grant Regulations, and Procedures (40 CFR Parts 30 and 40 CFR Parts 31). Costs will be determined on accordance with OMB Circular A-87 for State and Local governments and Indian Tribes, OMB Circular A-21 for educational institutions and OMB Circular A-122 for nonprofit institutions.

Regional or Local Office:

See Regional Agency Offices. Sandra Brownell, US EPA Region I, 5 Post Office Square, Suite 100, Boston, MA 02109. Phone: 617-918-1797. Toll Free: 888-372-7341. TTY: 617-918-2028. Fax: 617-918-0797. E-Mail: brownell.sandra@epa.gov.

Headquarters Office:

Sandra Brownell US EPA Region I
1 Congress Street, CPT, Suite 100, Boston, Massachusetts 02114 Email: brownell.sandra@epa.gov Phone: 617-918-1797

Website Address:

<https://www3.epa.gov/region1/eco/uep/hcgp.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Conduct a cleaning for health program, promoting procurement of safer cleaners and disinfectants and developing cleaning policies and procedures for programs that are tenants of public schools and other spaces. Design training and education to remove potential hazards to human health presented by facilities which handle, store and/or contain hazardous waste. Conduct an education and outreach campaign to increase food recovery rates in an urban area (i.e., Food Too Good to Waste). Design and conduct an education and outreach campaign to address stormwater overflows and poor water quality. Develop and conduct a week-long program that provides an opportunity for tribal high school and/or middle school students in Region 1 to work one on one with western science professionals and Cultural Knowledge Keepers on a specific environmental topic. Fiscal Year 2017: Create and deliver educational curricula for 25-50 Tribal high school, middle school, primary school, and/or college students in Region 1 to increase awareness and understanding of pollution prevention/source reduction for ecosystems, land and/or water. Design and conduct an education and outreach campaign to identify housing at risk for lead and/or other toxins and provide in-home education to families to improve childrens environmental health, such as a reduction of asthma triggers. Provide outreach opportunity to disseminate educational material on residential energy efficiency and weatherization. Design and conduct a training for state, local or other personnel to support safe handling of hazardous waste at facilities and/or increase safe handling practices to reduce potential impacts to human health and the environment. Develop and/or promote site specific environmental health assessments, which can be used by schools to determine their environmental health baselines, identify issues of concern, and help schools prioritize which environmental health problems to address and promote utilizing EPA developed guidelines to address environmental issues in schools. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

**66.120 PUGET SOUND WATERSHED MANAGEMENT ASSISTANCE
FEDERAL AGENCY:**

Region 10, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Title III, Section 320, Public Law 94-117, 33 U.S.C 1330; Clean Water Act, Title III, Section 320, Public Law 106-457, 33 U.S.C 1330; Omnibus Appropriations Act, 2009, Public Law 111-8.

OBJECTIVES:

The overall goal of the National Estuary Program is to attain and maintain water quality in designated estuaries that would assure protection of public water supplies and the protection and propagation of a balanced, indigenous population of shellfish, fish and wildlife and allows recreational activities in and on the water.

Puget Sound has been designated as one of 28 estuaries of National Significance under 320 of the Clean Water Act. The goal of the National Estuary Program is to attain and maintain water quality in designated estuaries that would assure protection of public water supplies and the protection and propagation of a balanced, indigenous population of shellfish, fish and wildlife and allows recreational activities in and on the water. The Puget Sound National Estuary Program's approved Comprehensive Conservation and Management Plan (CCMP) is the Action Agenda. The goal of the Action Agenda is to restore and maintain the Puget Sound estuarine environment by 2020 so that it will support balanced indigenous populations of shellfish, fish and wildlife and support the extensive list of recognized uses of Puget Sound.

The EPA is committed to protecting and improving water quality and minimizing the adverse impacts of rapid development in the Puget Sound Basin. These commitments include protecting the watersheds and waters of Puget Sound by protecting the fundamental watershed processes that provide and create aquatic habitats and by reducing the generation and release of toxic, nutrient and pathogen pollution.

EPA is committed to working with the Management Conference to protect and improve water quality and minimize the adverse impacts of rapid development in the Puget Sound Basin by protecting the fundamental watershed processes that provide and create aquatic habitats and by reducing the generation and release of toxic, nutrient and pathogen pollution.

Funding Priority - Fiscal Year 2016: The Region did not make any solicitations under this program in FFY 2016.

Funding Priority - 2017

The Region does not plan on making any solicitations under this program in FFY 2017.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Local and tribal governments are at various stages of developing and applying effective and robust water quality programs in their watersheds. Some need to improve their scientific understanding of their watersheds. Others understand how their watersheds work and need assistance implementing a watershed plan. Therefore, a wide range of activities will be eligible for funding under this program including, but not limited to activities that: (1) Develop, demonstrate, enhance and implement watershed protection and restoration plans, land use and transportation plans, basin plans, storm water controls and/or land development standards to maintain native vegetation and natural hydrology by protecting and restoring wetland, riparian, upland and nearshore habitats and ecological processes. (2) Promote watershed protection by enhancing local planning processes authorized by the State's Coastal Zone Management Program such as the development of watershed land use designations, development of standards and other regulations or incentive programs that protect and restore coastal watersheds. (3) Refine and implement watershed land use plans based on watershed models that predict the hydrological impacts of alternative future land cover practices and on development scenarios and their projected impacts on aquatic resources. (4) Develop, carry out and study the effectiveness of laws, ordinances and incentive programs to implement watershed programs such as systematic implementation of low-impact development in sensitive basins, land acquisition or transfer of development rights approaches and techniques.

Restrictions: All uses of these funds must be consistent with the goals and objectives of the 2020 Puget Sound Action Agenda. Activities permitted under the Clean Water Act are not eligible for funding under this program. Specifically, required activities under NPDES Phase I and II stormwater permits are not eligible for funding under this program.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website:
<https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Units of local government, under Washington State law, and federally recognized Indian Tribes located within the greater Puget Sound basin are eligible to apply. Also eligible to apply are special purpose districts, as defined by Washington State law at R.C.W. 36.93.020, including but not limited to, irrigation districts, and water and sewer districts that are located in or govern land and water resources within the greater Puget Sound basin. Conservation districts located in or governing land and water resources within the greater Puget Sound Basin are also eligible to apply for assistance under this program. The greater Puget Sound basin is defined as all watersheds draining to the U.S. waters of Puget Sound, southern Georgia Basin, and the Strait of Juan de Fuca.

Federal and state agencies, institutions of higher learning, watershed planning units formed under RCW 90.82.040 and RCW 90.82.060, local management boards organized under RCW 90.88.030, salmon recovery lead entities organized pursuant to RCW 77.85.050, regional fisheries enhancement groups organized pursuant to RCW 77.95.060, Marine Resource Committees organized pursuant to RCW 36.125 and nongovernmental entities are not eligible to directly receive financial assistance awards under this announcement. Business enterprises and individuals or families will also not be eligible applicants.

However, EPA strongly encourages local and tribal governments to solicit participation from these types of entities as local collaborators. All of these types of entities are eligible to apply for subawards or subcontracts from a successful award recipient.

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. The specific types of eligible applicants will be identified in the individual Requests for Proposals.

Beneficiary Eligibility:

The ultimate beneficiary of the assistance offered under this program will be the general public that lives and works in the Puget Sound region.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles apply to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog.

This program is excluded from coverage under E.O. 12372. The State of Washington has chosen to not participate in this review process. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants will be required to submit application materials as described in the Request For Proposals. The Request For Proposals will also specify the submission methods Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. EPA reserves the right to reject all proposals or applications and make no awards under any RFP issued under this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Region expects that its review of the applications received in response to competitive solicitations will be completed within 120 to 150 days following the deadline for the submission of applications for each Request for Proposals issued under this program.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: The Puget Sound Watershed Management Assistance program has no statutory formula for allocating the funds. All of the grants will be for implementation projects under the Puget Sound Comprehensive Conservation and Management Plan (CCMP) under CWA 320(g)(2) and 320(g)(3)(ii). There is a statutory match of 50% of the total project costs for implementation project grants under CWA 320(g)(3)(ii) and CWA Section 320 allows for an aggregate match.

For awards made under the competitions conducted under this program, the Puget Sound Management Conference, represented by the Puget Sound Partnership, agreed to provide 67% of the 50% required non-federal match for successful project proposals for FFY 2009 and 2010. For future funding, this would need to be negotiated.

Match Example:

For an applicant requesting \$600,000 in federal funds under a competition, the total match required would be \$600,000. Under the competition, the applicant will be required to provide a match of \$198,000, or 33% of the required 50%

match. The remaining \$402,000 (\$600,000 - \$198,000) of the required 50% match will be met at the aggregate level by the Puget Sound Partnership. This program does not have MOE requirements. Region 10 has allocated approximately \$10,000,000 to \$12,000,000 for this program from the Agency's FFY 2009 appropriation for award during Federal Fiscal Year 2010. Additional funds may be awarded under the competitions from the Agency's FFY 2010 appropriation once it is received if appropriate and consistent with Agency policy.

Length and Time Phasing of Assistance:

Assistance will be disbursed in accordance with the terms specified in the assistance agreement. Typically, assistance recipients draw funds at either monthly or quarterly intervals based on their incurred costs. See the following for information on how assistance is awarded/released: Assistance will be disbursed in accordance with the terms specified in the assistance agreement. Typically, assistance recipients draw funds at either monthly or quarterly intervals based on their incurred costs.

Reports:

No program reports are required. No cash reports are required. Progress report requirements will be a part of each assistance agreement. A schedule showing interim milestones and the outputs that will be completed by the end of the project period will also be included. Typical progress reports will discuss the progress that the assistance recipient has made towards achieving each major goal or objective identified in the approved statement of work. The report will also discuss the progress that has been made towards each interim milestone. Progress reports will discuss any difficulties or problems that the assistance recipient has encountered and how those problems have been or are being resolved. Other specific reporting requirements will be defined in the Assistance Agreement based on the statement of work described in the application. Expenditure reports will typically be required at the same time intervals as progress reports. The expenditure reports will document expenditures to date, including expenditures of any matching funds, in a manner that allows the user to confirm that matching requirements are being met and that all assistance payments (disbursements to the assistance recipient) are for costs that have been incurred in compliance with applicable cost principles. Recipients of this funding will be required to use EPA's Puget Sound Financial and Ecosystem Accounting Tracking System (FEATS), which is the primary mechanism for performance monitoring. Key grant outputs will be tracked on a semi-annual basis through FEATS, as well as progress towards project milestones and deliverables. FEATS provides linkages to EPA Puget Sound performance measures and Dashboard Indicators. FEATS allows award recipients to share challenges, solutions, lessons learned, and reflections associated with their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised by an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Region 10 awarded funding for 24 projects under the one solicitation that has been conducted to date. The Region obligated a total of \$19,808,896 in FFY 2009 and FFY 2010 funds to these projects. All of the awards took the form of Cooperative Agreements with the assistance recipients. The Region did not conduct additional solicitations in FFY 2011-2017 and does not anticipate conducting additional solicitations in FFY 2018 in this program. We expect that similar projects, in the future, will be funded by the Watershed Restoration Lead Organization (the Washington Department of Ecology) which was selected under the solicitation completed for Puget Sound Ecosystem Restoration Lead Organizations under CFDA 66.123.

Range and Average of Financial Assistance:

The range for awards in the Request for Proposals issued under this CFDA listing is between \$300,000 and \$1,000,000. The average award is approximately \$700,000.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Most of these grants have closed now. Here are some highlights of grants just completed. **The City of Seattle created a rating system to incentivize the greening of shorelines for private landowners (likened to the LEED rating system). **Snohomish County, King County and the Tulalip Tribe developed a Protection Plan for the Snohomish River that has been incorporated into their salmon plan. The plan is designed for local planners and decision makers so they can understand which areas in a watershed are important for protecting hydrology and what tools they can apply to achieve the goal of protecting hydrology. The plan recognizes development and changing climate as primary threats to properly functioning hydrology and dependent salmon populations. **The Seattle Public Utilities established a partnership among a variety of entities to restore riparian ecosystems on both public and private property in the lower Cedar River. The focus of this grant was knotweed removal and replanting of native plants in the riparian area, and along with the landowner participation they far exceeded their targets with the five-year grant. Fiscal Year 2017: NA. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

140):For grants and cooperative agreements with local governments, tribal governments and special purpose districts, the procedures and requirements should be in conformance with the Uniform Grants Guidance (UGG) located in 2 CFR 200 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards which had been placed in 2 CFR 220, 225, 215, and 230.

40 C.F.R. Part 35 "Environmental Program Grants-State, Interstate and Local Government Agencies" is still applicable.

Regional or Local Office:

See Regional Agency Offices. Angela Adams, Puget Sound Team Lead Office of Water and Watersheds U.S. Environmental Protection Agency, Region 10 1200 Sixth Avenue, Suite 900, OWW-193 Seattle, WA 98101 (206) 553-0332 or 1-800 424-4EPA, extension 3-0332 E-mail: adams.angela@epa.gov.

Headquarters Office:

Angela Adams Puget Sound Team

Office of Water and Watersheds

U.S. Environmental Protection Agency, Region 10

1200 Sixth Avenue, Suite 900, ETPA-086

Seattle, WA 98101

, Seattle, Washington 98101 Email: adams.angela@epa.gov Phone: (206) 553-0332

Website Address:

<http://www.epa.gov/pugetsound/>

RELATED PROGRAMS:

66.456 National Estuary Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No projects were funded in FFY2016. Fiscal Year 2017: No projects will be funded in FFY2017. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be published in the announcement of the competitive funding opportunity (the Request for Proposals or RFP).

66.121 PUGET SOUND PROTECTION AND RESTORATION: TRIBAL IMPLEMENTATION ASSISTANCE PROGRAM

FEDERAL AGENCY:

Region 10, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Title III, Section 320, Public Law 94-117, 33 U.S.C 1330; Omnibus Appropriations Act, 2009, Public Law 111-8; Clean Water Act, Title III, Section 320, Public Law 106-457, 33 U.S.C 1330; Continuing Appropriations Act, 2011, Public Law 111-242; Continuing Appropriations Act, 2012, Public Law 112-74; Continuing Appropriations Act, 2013, Public Law 113-6; Consolidated Appropriations Act of 2014, Public Law 113-76; Consolidated Appropriations Act, 2017, Public Law 114-113.

OBJECTIVES:

Puget Sound has been designated as one of 28 estuaries of National Significance under 320 of the Clean Water Act. The goal of the National Estuary Program is to attain and maintain water quality in designated estuaries that would assure protection of public water supplies and the protection and propagation of a balanced, indigenous population of shellfish, fish and wildlife and allows recreational activities in and on the water. The Puget Sound National Estuary Program's approved Comprehensive Conservation and Management Plan (CCMP) is the Action Agenda. The goal of the Action Agenda is to restore and maintain the Puget Sound estuarine environment by 2020 so that it will support balanced indigenous populations of shellfish, fish and wildlife and support the extensive list of recognized uses of Puget Sound.

The EPA is committed to protecting and improving water quality and minimizing the adverse impacts of rapid development in the Puget Sound Basin. These commitments include protecting the watersheds and waters of Puget Sound by protecting the fundamental watershed processes that provide and create aquatic habitats and by reducing the generation and release of toxic, nutrient and pathogen pollution.

The Puget Sound Protection and Restoration: Tribal Implementation Assistance Program is aimed at assisting the Indian Tribes of the greater Puget Sound basin in their efforts to carry out work critically needed for Puget Sound protection and restoration. This work includes activities identified in, or consistent with, the Action Agenda such as efforts called for by established salmon recovery plans in the Puget Sound basin. EPA has a trust responsibility to Federally recognized Indian Tribes. EPA also recognizes that Federally recognized Indian Tribes in the greater Puget Sound basin have a critical role in the protection and restoration of the ecosystem and its resources. EPA seeks to provide financial assistance to these Tribes to help them implement priority strategies and actions

in or consistent with the approved Clean Water Act 320 CCMP for Puget Sound.

Funding Priority - Fiscal Year 2017: Tribal Implementation Lead (competitive)

Tribal Capacity (non-competitive) Capacity grants will be continued with incremental FFY2017 funding to support each Tribe and Consortium to participate in the Puget Sound Management Conference. Eligible tasks include representing tribal interests in Management Conference forums and processes and other activities like identifying limiting factors to habitat protection and salmon and shellfish recovery. Tribal Implementation Lead (competitive) This grant will be continued with incremental FFY2017 funding to support eligible subaward work which includes watershed and marine/estuary habitat restoration projects. We anticipate that many of these projects will directly address salmon and shellfish recovery. Depending on funding, this grant will be continuing with incremental FFY2017 funding to support eligible subaward work which includes watershed and marine/estuary habitat restoration projects. We anticipate that many of these projects will directly address salmon and shellfish recovery.

Funding Priorities for 2018

Depending on funding, capacity grants will continue with incremental FFY2018 funding to support each Tribe and Consortium to participate in the Puget Sound Management Conference. Eligible tasks include representing tribal interests in Management Conference forums and processes and other activities like identifying limiting factors to habitat protection and salmon and shellfish recovery. Also the Tribal Implementation Lead grant will be continued with incremental FFY2018 funds, depending on appropriations.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This assistance may be used by Tribes to plan for and implement work that is critically needed for Puget Sound restoration and protection. Such work includes priority actions or strategies in or consistent with the approved 320 CCMP for Puget Sound including, but not limited to, projects called for by established salmon recovery plans, except where the proposed work is inconsistent with applicable Federal law, regulation or published EPA policy.

Additional information on use restrictions, if any, for the Puget Sound Protection and Restoration: Tribal Implementation Assistance Program, will be provided in each request for proposals published on the EPA Region 10 website.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website:
<https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

All federally recognized Indian Tribes located within the greater Puget Sound basin, and any consortium of these eligible Tribes, may apply for funding under the program. The greater Puget Sound basin is defined as all watersheds draining to the U.S. waters of Puget Sound, southern Georgia Basin, and the

Strait of Juan de Fuca.

An eligible Intertribal consortium is one that demonstrates that: 1) a majority of its members meet the eligibility requirements for this program; 2) all members that meet the eligibility requirements authorize the consortium to apply for and receive the grant; and 3) only members that meet the eligibility requirements will benefit directly from the grant project and the consortium agrees to a grant condition to that effect.

An Intertribal consortium must have adequate documentation of the existence of the partnership and the authorization of the member Tribes to apply for and receive assistance. Documentation that demonstrates the existence of the partnership of Indian Tribal governments may consist of Tribal council resolutions, Intertribal consortia resolutions in conjunction with a Tribal council resolution from each member Tribe, or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that a partnership of Indian Tribal governments exists. Documentation that demonstrates that member Tribes authorize the consortium to apply for and receive assistance may consist of a Tribal council resolution from each Tribe or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that the Tribe authorizes the consortium to apply for and receive the grant on behalf of the Tribe. An Intertribal consortium resolution is not adequate documentation of the member Tribes' authorization of the consortium unless it includes a written certification from a duly authorized representative of each Tribal government.

Federal and state agencies, institutions of higher learning, units of local government, special purpose districts, conservation districts, watershed planning units organized pursuant to RCW 90.82.040 and 060, local management boards organized pursuant to RCW 90.88.030, salmon recovery lead entities organized pursuant to RCW 77.85.050, regional fisheries enhancement group organized pursuant to RCW 77.95.060 and nongovernmental entities are not eligible to directly receive financial assistance awards under this announcement. Business enterprises and individuals or families will also not be eligible applicants.

However, EPA strongly encourages eligible applicants to solicit participation from these types of entities as local collaborators. All of these types of entities are eligible to apply for sub-awards or subcontracts from a successful award recipient.

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

The beneficiary of this assistance under this program would be the Federally recognized Indian Tribes or the consortia of these Tribes that receive the assistance. Ultimate beneficiaries would include the tribal members and the general public (due to the general public's interest in restoring and protecting the resources of Puget Sound).

Credentials/Documentation:

OMB Circular A-87 has been codified at 2 C.F.R. Part 225. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog.

This program is excluded from coverage under E.O. 12372. The State of Washington has chosen to not participate in this review process. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants will be required to submit application materials as described in the Request For Proposals. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. EPA reserves the right to reject all applications and make no awards under any RFP issued under this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Region expects that its review of the applications received in response to competitive solicitations will be completed within 120 to 150 days following the deadline for the submission of applications for each Request for Proposals issued under this program.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: The Puget Sound Protection and Restoration: Tribal Implementation Assistance program has no statutory formula for allocating the funds. All of the assistance agreements will be for planning and implementation projects under the Puget Sound Comprehensive Conservation Management Plan (CCMP) under CWA 320(g)(2) and 320(g)(3)(ii). There is a statutory match of 50% of the total project costs for assistance agreements under CWA 320(g)(3)(ii) and CWA Section 320 allows for an aggregate match. For the awards to be made under this program, the Puget Sound Management Conference, represented by the Puget Sound Partnership, has agreed to provide all of the required non-federal match for successful project proposals for FFY 2010, 2011, 2012, 2013, 2014, 2015, 2016, and 2017 under a separate cooperative agreement with EPA. Accordingly, eligible applicants will not be required to provide any of the required non-federal match. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The assistance was awarded for FFY2010-2014 and in FFY2015 new grants were awarded for FFY2015-2020. Funds will be disbursed to assistance recipients in accordance with the terms specified in their respective assistance agreements, and the availability of new appropriations. A new Tribal lead organization cooperative agreement was awarded for FFY2016-2021, and incremental funds will be awarded each year depending on the availability of new appropriations. See the following for information on how assistance is awarded/released: Assistance will be disbursed in accordance with the terms of each assistance agreement. Typically, assistance recipients draw funds at either monthly or quarterly intervals based on their incurred costs.

Reports:

No program reports are required. No cash reports are required. Progress report requirements will be a part of each assistance agreement. A schedule of interim milestones and the outputs that will be completed by the end of the project period will also be included. Progress reports will typically discuss the progress that has been made on each major task and on each interim milestone identified

in the approved statement of work. Progress reports will also discuss any difficulties or problems that have been encountered and how they have been or are being resolved. Other specific reporting requirements will be defined in the assistance agreement based on the statement of work described in the application. Expenditure reports will typically be required at the same time intervals as progress reports. The expenditure reports will document expenditures to date, including expenditures of any matching funds, in a manner that allows the report user to confirm that matching requirements, if any, are being met and that all assistance payments (disbursements to assistance recipients) are for costs that have been incurred in compliance with applicable costs principles. Recipients of this funding will be required to use EPA's Puget Sound Financial and Ecosystem Accounting Tracking System (FEATS), which is the primary mechanism for performance monitoring. Key grant outputs will be tracked on a semi-annual basis through FEATS, as well as progress towards project milestones and deliverables. FEATS provides linkages to EPA Puget Sound performance measures and Dashboard Indicators. FEATS allows award recipients to share challenges, solutions, lessons learned, and reflections associated with their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised by an audit, related records should be maintained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 \$4,000,000; FY 17 Not Separately Identifiable; and FY 18 est \$3,700,000 - EPA has made three distinct categories of awards under this CFDA program:

- 1) FFY 2009 (\$5M) and FFY 2010 (0.33M) funds were competitively awarded to 13 Tribes for projects to protect and restore Puget Sound.
- 2) FFY 2010 funds (\$3M) were competitively awarded to the Lead Organization for Puget Sound recovery tribal implementation projects. An additional \$5.48M in incremental funding was added to this assistance agreement in FFY 2011, \$3.6M in FFY2012, \$3.621M in FFY2013, \$2.490M in FFY2014, and \$2.490 in FFY2015.

In FFY2016, a RFP was issued to select a new Lead Organization for Puget Sound recovery tribal implementation projects. \$4.00M is planned to be awarded with FFY2016 funds. Funding for FFY2017 is unknown at this time.

- 3) FFY 2010 funds (\$3.75M) were awarded to the 19 federally recognized Puget Sound Tribes and three tribal consortia to help build their capacity to engage with the Management Conference on efforts to protect and restore Puget Sound. An additional \$4M in incremental funding was added to these assistance agreements in FFY 2011, \$4M in FFY2012, and \$3.950M in FFY2013. In

FFY2014, an additional \$3.950M was added to these assistance agreements.

In FFY2015, new capacity agreements were approved for the 19 federally recognized Puget Sound Tribes and three tribal consortia in the amount of \$3.500M. In FFY2016 incremental funding will be added to these agreements totaling \$3.70M.

Funding for FFY 2017 is unknown as this time.

Range and Average of Financial Assistance:

EPA has made three distinct categories of awards under this CFDA program: 1) FFY 2009 (\$5M) and FFY 2010 (0.33M) funds were competitively awarded to 13 Tribes for projects to protect and restore Puget Sound. 2) FFY 2010 funds (\$3M) were competitively awarded to the Lead Organization for Puget Sound recovery tribal implementation projects. An additional \$5.48M in incremental funding was added to this assistance agreement in FFY 2011, \$3.6M in FFY2012, \$3.621M in FFY2013, \$2.490M in FFY2014, and \$2.490 in FFY2015

In FFY2016, a RFP was issued to select a new Lead Organization for Puget Sound recovery tribal implementation projects. \$4.00M was awarded with FFY2016 and FFY2017 funds for each year. Funding for FFY2018 is unknown at this time. 3) FFY 2010 funds (\$3.75M) were awarded to the 19 federally recognized Puget Sound Tribes and three tribal consortia to help build their capacity to engage with the Management Conference on efforts to protect and restore Puget Sound. An additional \$4M in incremental funding was added to these assistance agreements in FFY 2011, \$4M in FFY2012, and \$3.950M in FFY2013. In FFY2014, an additional \$3.950M was added to these assistance agreements. In FFY2015, new capacity agreements were approved for the 19 federally recognized Puget Sound Tribes and three tribal consortia in the amount of \$3.500M. In FFY2016 and FFY2017 incremental funding was added to these agreements totaling \$3.70M each year. Funding for FFY 2018 is unknown at this time.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Accomplishments in FFY2016:

Example Tribal Capacity award accomplishments during FY 2016:
Completed aerial and historic map review as part of a channel re-alignment and fish passage feasibility and alternatives analysis of upper Boise Creek (RM 4.3 to 4.6). This task supports development of the conceptual design of this habitat restoration project to restore access by anadromous salmon including ESA-listed fish of approximately 6,000 lineal feet of stream corridor in prime salmon-bearing tributary of the White River within tribe's Usual and Accustomed fishing area. Increase in ESA-listed fish production would be an estimated 40 chinook, 15 steelhead redds and 100 coho redds. (Puyallup Tribe). Continue to actively participate in regional and local Puget Sound Management Conference processes and forums, including the Hood Canal Coordinating Council (HCCC), the Straits Ecosystem Recovery Network (ERN), and the Salish Sea Ecosystem Conference, to discuss issues of concern for the tribe. This includes assisting in developing strategies for protecting and restoring habitats and species in Hood Canal, Admiralty Inlet and the Strait of Juan de Fuca (Port Gamble S'Klallam Tribe).

Example Lead Organization award accomplishments during FY 2016:
Completed extended sampling of nutrient sources and harmful algal blooms (HABs) for the Sequim Bay watershed (sampling extended into FY16 due to extended algae blooms), and initiated statistical analysis of sampling results (subaward to Jamestown S'Klallam Tribe)
Continuing to lead coordination and integration of data and analyses for Skagit Watershed Salmon Recovery Plan, including working with subgroups on modeling and GIS analysis (subaward to Skagit River System Cooperative).
Fiscal Year 2017: Tribal Implementation Lead: Projects under the tribal implementation lead vary broadly but many include restoration, long-term planning for areas of work, conducting ecological research, and tribal engagement. To further support Puget Sound recovery, Stillaguamish Tribe implemented a program to monitor water quality related to shellfish, salmon,

and human health with the operation and maintenance of a remote marine hydro lab and harmful algal bloom surveys. In addition, the Tribe enhanced riparian forests on tribally-owned and private land at sites throughout the Stillaguamish basin and created an education-outreach program to educate local residents and youth on the life history and habitat requirements of salmon and watershed health. Other projects funded - *Dungeness River Floodplain Protection and Recovery (a portion to be conducted jointly with the U.S. Army Corps of Engineers); *Elk Flats Phase 2 Sediment Reduction and Habitat Restoration Project; *Nooksack River Instream and Floodplain Restoration; *San Juan Island Creosote Removal (in partnership with Washington State Department of Natural Resources, Washington Conservation Corps, Veterans Corps, and Earth Corps); *Stillaguamish River Floodplain Riparian Restoration; *Swinomish Coast Salish Canoe Journey Water Quality Project; *Lower Elwha ongoing monitoring and revegetation post-dam-removal; *Sauk Suittale sediment research/invasive knottedweed removal; **Additional information on projects can be found at the following website link - <http://blogs.nwifc.org/psp/files/> **Some highlights from Tribal Capacity grants - *Swinomish - A number of efforts are underway revealing the momentum generated through the leveraged efforts of Skagit Climate Science Consortium (SC2). This includes the visualization of jointly produced hydrologic data by Seattle City Light, a historic trend visualization completed which also represents a long-time priority for SC2, interest by the Town of La Conner in using climate science to inform their upcoming Comprehensive Plan update, advancement of a joint representation of the various dynamics at play in the Skagit Delta, interest in pursuing a better understanding of how hydrologic understanding can be applied to culvert sizing, and interest by the Upper Skagit Tribe in also contributing to an expanded understanding of basin hydrology related to climate impacts. Also, the Economic Development Association of Skagit County has expressed interest in potentially partnering with SC2 to further expand local understanding of climate impacts to long-term local economic viability. *Jamestown S'Klallam - The Dungeness River Floodplain Restoration: trestle phase is completed restoring more than 20 acres of floodplain and channel migration zone. The purchase of three acres of Dungeness River for restoration at approximately river mile 9.5 has also been completed. *Both the Samish and Snoqualmie Tribes have performed knottedweed removal/eradication and native planting projects. These projects had strong and critical community and landowner outreach components. *The elements pertaining to the Nisqually River Council were performed through a sub-award to the Nisqually River Foundation. The Council is a key partner in the watershed and its actions and projects are critical to our success in salmon recovery and implementing the action agenda at the local level. In particular, the elements of teacher and student involvement in the Nisqually River Education Program are vital to the long term sustainability of our environmental work. This grant has allowed an expansion of the program to additional classrooms to reach more students across the watershed with the message of stewardship and sustainability. Since the inception of the Education Program in 1992 more than 400 teachers and 9,000 students have been involved. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For grants and cooperative agreements with local governments, tribal governments and special purpose districts, the procedures and requirements should be in conformance with the OMB's Uniform Grants Guidance (UGG) located in 2 CFR 200 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards). This supercedes and streamlines requirements from 40 C.F.R. Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments", and OMB Circular Nos. A-21, A-87, A-110, and A-122 (which had been placed in 2 CFR 220, 225, 215, and 230).

40 C.F.R. Part 35 "Environmental Program Grants-State, Interstate and Local Government Agencies" is still applicable.

Regional or Local Office:

See Regional Agency Offices. Angela Adams, Team Lead Office of Water and Watersheds U.S. Environmental Protection Agency, Region 10 1200 Sixth Avenue, Suite 900, OWW-193 Seattle, WA 98101 (206) 553-0332 or 1-800-424-4EPA, extension 3-0332 E-Mail: adams.angela@epa.gov

Angela Bonifaci, Team Leader
Puget Sound Team
Office of Ecosystems, Tribal and Public Affairs
U.S. Environmental Protection Agency, Region 10
1200 Sixth Avenue, Suite 900, ETPA-086
Seattle, WA 98101
(206) 553-0332 or 1-800-424-4EPA, extension 3-0332
E-Mail: bonifaci.angela@epa.gov.

Headquarters Office:

Angela Adams Angela Adams, Team Lead Office of Water and Watersheds
U.S. Environmental Protection Agency, Region 10 1200 Sixth Avenue, Suite
900, OWW-193 Seattle, WA 98101 (206) 553-0332 or 1-800-424-4EPA,
extension 3-0332 E-Mail: adams.angela@epa.gov

, Seattle, Washington 98101 Email: adams.angela@epa.gov Phone: (206)
553-0332

Website Address:

<http://www.epa.gov/pugetsound/funding/index.html>

RELATED PROGRAMS:

66.456 National Estuary Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Accomplishments in FFY2016:

Example Tribal Capacity award accomplishments during FY 2016:
Completed aerial and historic map review as part of a channel re-alignment
and fish passage feasibility and alternatives analysis of upper Boise Creek (RM
4.3 to 4.6). This task supports development of the conceptual design of this
habitat restoration project to restore access by anadromous salmon including
ESA-listed fish of approximately 6,000 lineal feet of stream corridor in prime
salmon-bearing tributary of the White River within tribe's Usual and
Accustomed fishing area. Increase in ESA-listed fish production would be an
estimated 40 chinook, 15 steelhead redds and 100 coho redds. (Puyallup Tribe).
Continue to actively participate in regional and local Puget Sound
Management Conference processes and forums, including the Hood Canal
Coordinating Council (HCCC), the Straits Ecosystem Recovery Network
(ERN), and the Salish Sea Ecosystem Conference, to discuss issues of concern
for the tribe. This includes assisting in developing strategies for protecting and
restoring habitats and species in Hood Canal, Admiralty Inlet and the Strait of
Juan de Fuca (Port Gamble S'Klallam Tribe).

Example Lead Organization award accomplishments during FY 2016:
Completed extended sampling of nutrient sources and harmful algal blooms
(HABs) for the Sequim Bay watershed (sampling extended into FY16 due to
extended algae blooms), and initiated statistical analysis of sampling results
(subaward to Jamestown S'Klallam Tribe)
Continuing to lead coordination and integration of data and analyses for
Skagit Watershed Salmon Recovery Plan, including working with subgroups on
modeling and GIS analysis (subaward to Skagit River System Cooperative).
Fiscal Year 2017: No projects to report with this year funding since the
incremental funding will be awarded in late FFY2017. Fiscal Year 2018: No
Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA
description will be published in the announcement of the competitive funding
opportunity (the Request for Proposals or RFP).

**66.124 COASTAL WETLANDS PLANNING PROTECTION AND
RESTORATION ACT**

CWPPRA (The Breaux Act)

FEDERAL AGENCY:

Region 6, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), Public Law 92-500, 33 U.S.C 1254(b)(3).

OBJECTIVES:

To assist the State, local government, college or university in planning and
implementing projects that create, protect, restore and enhance wetlands in
coastal Louisiana.

Funding Priority - Fiscal Year 2015: Funds are to be used to protect, restore and
enhance coastal wetlands ecosystems through strategic "natural" reintroduction
of river water, barrier island/shoreline restoration and application of innovative
technologies such as pipeline delivery of new sediment.

Funding Priority - Fiscal Year 2016: Funds are to be used to protect, restore and
enhance coastal wetlands ecosystems through strategic "natural" reintroduction
of river water, barrier island/shoreline restoration and application of innovative
technologies such as pipeline delivery of new sediment.

Funding Priority - Fiscal Year 2017: Funds are to be used to protect, restore and
enhance coastal wetlands ecosystems through strategic "natural" reintroduction
of river water, barrier island/shoreline restoration and application of innovative
technologies such as pipeline delivery of new sediment.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used to protect, restore and enhance coastal wetlands
ecosystems through strategic "natural" reintroduction of river water, barrier
island/shoreline restoration and application of innovative technologies such as
pipeline delivery of new sediment. Assistance agreement awards under this
program may involve or relate to geospatial information. Further information
regarding geospatial information may be obtained by viewing the following
website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies
that ban text messaging while driving company-owned or rented vehicles or
government owned vehicles, or while driving privately-owned vehicles when
on official government business or when performing any work for or on behalf
of the government. Grant recipients and sub-recipients are encouraged to
conduct initiatives of the type described in section 3(a) of the Federal
Leadership on Reducing Text Messaging While Driving Executive Order that
was signed on October 1, 2009.

Applicant Eligibility:

Eligible applicants for assistance include State and local governments,
including their universities and colleges.

Beneficiary Eligibility:

State and local governments, including their universities and colleges involved
in administering coastal wetlands protection, restoration and/or management
programs or programs related to or that complement coastal wetlands protection
programs.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200 Subpart E for State and
local governments and 2 CFR 200 Subpart E for colleges and universities." 2
CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination with the appropriate office is encouraged. The
standard application forms as furnished by the Federal agency and required by 2
CFR 200 and 1500 must be used for this program. This program is eligible for
coverage under E.O. 12372, "Intergovernmental Review of Federal Programs."
An applicant should consult the office or official designated as the single point
of contact in his or her State for more information on the process the State
requires to be followed in applying for assistance, if the State has selected the
program for review. Environmental impact information is not required for this
program. This program is eligible for coverage under E.O. 12372,
"Intergovernmental Review of Federal Programs." An applicant should consult
the office or official designated as the single point of contact in his or her State
for more information on the process the State requires to be followed in
applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Completed applications should be submitted to the appropriate EPA Regional Office contact. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

Applications are evaluated by the appropriate EPA office and are subject to review for technical merit, soundness of design, and reasonableness of proposed costs. Approved projects are awarded by the Regional Administrator.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants are usually approved within five months of receipt of a complete application.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: CWPPRA projects require a 15 percent match of the State or local government of which 5% must be submitted in cash to the U.S. Army Corps of Engineers, New Orleans District. These funds are put in an escrow account for the project. Colleges or Universities awarded a grant in support of a CWPPRA project require a 5% match.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: Assistance disbursement will be determined at the time of award.

Reports:

No program reports are required. The recipient will be required to submit quarterly cash reports to the EPA Project Officer. The recipient will be required to submit quarterly progress reports to the EPA Project Officer. The recipient will be required to submit quarterly expenditure reports to the EPA Project Officer. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 \$1,997,636; FY 17 est \$0; and FY 18 est \$34,200,000 - R6 made one Phase I award in July 2015 in the amount of \$362,213 and one Phase II award in April 2016 in the amount of \$2,467,918. R6 anticipates to make one more Phase II award to the state in the amount of \$1,750,718 in FY16. No awards were made during FY 2017. Estimated for FY 2018 - one Phase I award for \$4.1M and one Phase II award for \$30.1M.

Range and Average of Financial Assistance:

\$100,000 to \$30,000,000. If a Phase I (E&D) project is selected for funding, the estimated amount could be from \$1-\$6 million. If it is a Phase II (construction) project, the estimate could be up to \$30 million.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: R6 awarded a cooperative agreement to the State for Phase II of the Bayou Dupont 3 project in the amount of \$2,467,918. R6 anticipates awarding a cooperative agreement to the state for the BA-34-2 project in the amount of \$1,750,718 before the end of the FY16. Fiscal Year 2017: The Bayou Dupont 3, Phase II project was completed. The Phase I BA-34-2 project was completed. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Grants Guidance (aka Omni Circular, 2 CFR 200)

Regional or Local Office:

See Regional Agency Offices. Sondra McDonald, Project Officer, 6WQ-AT, USEPA Region 6, 1445 Ross Avenue, Dallas, TX 75202, Office: (214) 665-7187 Fax: (214) 665-6490 Email: mcdonald.sondra@epa.gov.

Headquarters Office:

Sondra McDonald, USEPA Region 6, 6WQ-AT, 1445 Ross Avenue, Dallas, Texas 75202 Email: mcdonald.sondra@epa.gov Phone: (214) 665-7187.

Website Address:

<http://www.epa.gov/region6/water/at/sttribal.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: R6 awarded a cooperative agreement to the state in the amount of \$2,467,918 for the Phase II (construction) of the Bayou Dupont 3 Sediment Delivery Marsh Creation and Terracing project. Fiscal Year 2017: There were no new projects funded in FY 2017. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

CWPPRA projects to be funded are selected through an annual, publicly-vetted process and ultimately approved by a multi-agency Task Force.

66.125 LAKE PONTCHARTRAIN BASIN RESTORATION PROGRAM (PRP)

Lake Pontchartrain Restoration Program

FEDERAL AGENCY:

Region 6, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 121; Lake Pontchartrain Basin Restoration Act of 2012, Section 121 and 320 of the Clean Water Act.

OBJECTIVES:

The purpose of the program shall be to restore the ecological health of the Basin by developing and funding restoration projects and related scientific and public education projects.

Funding Priority - Fiscal Year 2016: The program will continue to work to restore the ecological health of the Lake Pontchartrain Basin. In FY 2016, EPA will invest funds awarded for the Lake Pontchartrain Basin Program for the following activities:

- Completing plans and studies as identified in the Lake Pontchartrain Basin

Program Comprehensive Management Plan (LPBCMP) which supports the following goals:

- Planning and design of consolidated wastewater treatment systems which support the Agency's Sustainable Infrastructure goal;
- Repair and replacement studies to improve existing wastewater systems; and
- Investigation and design of storm water management systems.
- Conducting outreach and public education projects that address the goals of the LPBCMP, such as:
 - Improving the management of animal waste lagoons by educating and assisting the agricultural community on lagoon maintenance techniques;
 - Protecting and restoring critical habitats and encouraging sustainable growth by providing information and guidance on habitat protection and green development techniques; and
 - Reducing pollution at its source

Funding Priorities for 2017

Funding Priority - Fiscal Year 2017: The program will continue to work to restore the ecological health of the Lake Pontchartrain Basin. In FY 2017, EPA invest funds for the Lake Pontchartrain Basin Program for the following type of activities: Completing plans and studies as identified in the Lake Pontchartrain Basin Program Comprehensive Management Plan (LPBCMP) which supports the following goals: - Planning and design of consolidated wastewater treatment systems, which support the Agency's Sustainable Infrastructure goal; - Repair and replacement studies to improve existing wastewater systems; and - Investigation and design of storm water management systems. Conducting outreach and public education projects that address the goals of the LPBCMP, such as: - Improving the management of animal waste lagoons by educating and assisting the agricultural community on lagoon maintenance techniques; - Protecting and restoring critical habitats and encouraging sustainable growth by providing information and guidance on habitat protection and green development techniques; and - Reducing pollution at its source.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Administrator may make grants (1) for restoration projects and studies recommended by a management conference convened for the basin under section 320, and (2) for public education projects recommended by the management conference.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

The grants for this program are awarded to the Management Conference, also known as the PRP, for restoration projects, studies and public education projects. Eligible sub-grantees for this program include the Parishes and Cities within the 16 parish area of the Lake Pontchartrain Basin Watershed and the Lake Pontchartrain Basin Foundation. Local, nonprofits (includes State-designated

Indian Tribes, excludes institutions of higher education and hospitals). For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Eligible applicants for assistance include the Parishes and Cities within the Lake Pontchartrain Basin Watershed and the Lake Pontchartrain Basin Foundation.

Credentials/Documentation:

Costs will be determined in accordance 2 CFR 200 Subpart E for State and local governments. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by 2 CFR 200 and 1500 must be used for this program." must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Completed applications should be submitted to the appropriate EPA Regional Office contact. Applicants are required to use <http://www.grants.gov> to electronically apply for grant opportunities under this CFDA.

Award Procedure:

Applications are evaluated by the appropriate EPA office and are subject to review for technical merit, soundness of design, and reasonableness of proposed costs. Approved projects are awarded by the Regional Administrator.

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants are awarded within two months of receipt of a complete application.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 5%. Matching Requirements: Percent: 25% statutory. This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: Assistance disbursement will be determined at the time of award.

Reports:

Program report information is included in the semi-annual reports submitted to the Region 6 Project Officer. No cash reports are required. The recipient will be required to submit semi-annual progress reports to the EPA Region 6 Project Officer. The recipient will include expenditure reports in the semi-annual reports submitted to the EPA Region 6 Project Officer. Progress reports are submitted semi-annually and yearly program-grant reviews are conducted by EPA Region 6 for the program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 \$948,000; FY 17 est \$947,000; and FY 18 Estimate Not Available(Exp: contingent upon Congressional re) - Federal Funds awarded for the following Fiscal Years (FY): FY 2016 - \$948,000; The funds allocated for FY 2017 are \$947,000. Funds for FY 2018 are contingent upon Congressional re-authorization of the program.

Range and Average of Financial Assistance:

The range of funding for the projects for FY 2015 for sixteen (16) projects was \$22,000 to \$246,080. The average including all sixteen (16) projects was \$62,698. The range for FY 2016, for fourteen (14) projects was \$25,000 to \$327,680. The average including all fourteen (14) projects was \$67,642.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: For 2016, fourteen (14) projects were funded ranging from \$25,000 to \$327,680. The projects include: Reserve wastewater collection system lift station and force main capacity evaluation; emergency power for lift stations; lift station sub-basin collection area assessment; storm water management plan; Lake Pontchartrain ecosystem restoration & preservation program; upgrade lift station and force main; pollution source tracking for water quality in the Ponchitolawa Creek watershed; redirecting flows project for a municipality; sewer district phase II expansion study; landscape and stormwater management design plan for Bucktown Marina ecology park; lift station rehabilitation; and phase II of a sanitary sewerage improvement

program. Fiscal Year 2017: NA. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements, Cost Principles and Audit

Requirements for Federal Awards; Title 2 CFR, Parts 200 and 1500.

Regional or Local Office:

See Regional Agency Offices. Leslie Rauscher
Project Officer, 6WQ-AT
USEPA Region 6
1445 Ross Avenue
Dallas, TX 75202
214-665-2773 office
214-665-6490 fax
ritzky.sylvia@epa.gov.

Headquarters Office:

Leslie Rauscher USEPA Region 6
1445 Ross Avenue
, Dallas, Texas 75202 Email: rauscher.leslie@epa.gov Phone: 214-665-2773

Website Address:

<http://www.epa.gov/region6/water/at/sttribal.htm>

RELATED PROGRAMS:

66.437 Long Island Sound Program ; 66.456 National Estuary Program; 66.475 Gulf of Mexico Program; 66.481 Lake Champlain Basin Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: For 2016, fourteen (14) projects were funded ranging from \$25,000 to \$327,680. The projects include: Reserve wastewater collection system lift station and force main capacity evaluation; emergency power for lift stations; lift station sub-basin collection area assessment; storm water management plan; Lake Pontchartrain ecosystem restoration & preservation program; upgrade lift station and force main; pollution source tracking for water quality in the Ponchitolawa Creek watershed; redirecting flows project for a municipality; sewer district phase II expansion study; landscape and stormwater management design plan for Bucktown Marina ecology park; lift station rehabilitation; and phase II of a sanitary sewerage improvement program. Fiscal Year 2017: NA. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

PRP projects to be funded are selected through an annual, publicly-vetted process with the selection of projects made by the PRP Executive committee and ultimately approved by an internal regional EPA review.

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.128 SOUTHEASTERN MULTI-MEDIA AND GEOGRAPHIC PRIORITY PROJECTS

FEDERAL AGENCY:

Region 4, Environmental Protection Agency

AUTHORIZATION:

Marine Protection, Research, and Sanctuaries Act, Section 203; National Environmental Education Act, Section 6; Toxic Substances Control Act, Section 10; Safe Drinking Water Act, Section 1442; Solid Waste Disposal Act, Section 8001; Pollution Prevention Act, Section 6605; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Clean Water Act, Section 104; Clean Air Act, Section 103; Comprehensive Environmental Response, Compensation, and Liability Act, Section 104&311; Resource Conservation and Recovery Act, Section 8001.

OBJECTIVES:

To fund projects, including surveys, studies, investigations, demonstrations, training, outreach, human health and the environment to (1) prevent, reduce and eliminate pollution through the promotion of environmental sustainability; (2) build capacity on environmental sustainability and public health issues; (3) support the development and implementation of sustainability strategies and enhance environmental literacy; (4) promote coordination and collaboration between and among federal, state, and local governmental and

non-governmental organizations as well as build environmental education capacity at institutions of higher education.

Funding Priority 2015: There were no projects funded in FY15.

Funding Priority - Fiscal Year 2016: There were no projects funded in FY16.

Funding Priority - Fiscal Year 2017: The projects should be centered around providing a forum for academic advisors and sustainability coordinators to collaborate with industry, college and graduate level students to establish and broaden environmental learning opportunities that promote capacity building on environmental sustainability and public health issues, including the creation of hands-on instructional learning of sustainable practices including pollution prevention, water and energy conservation, watershed protection, and environmental stewardship. The project should also serve to foster an environmental literacy plan to ensure that students have an understanding of environmental sustainability and how it interconnects with the green economy.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website:
<https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Projects conducted within the geographic boundaries of EPA's Region 4, which comprises the states and tribal lands of Alabama, Georgia, Florida, Kentucky, Mississippi, North Carolina, South Carolina and Tennessee, are eligible for funding when it is available. Interstate, state, tribal, intrastate, and local government agencies, districts, and councils; and public and private universities and colleges, laboratories, and research facilities; and public or private non-governmental, non-profit institutions are eligible to apply, unless restricted by the authorizing statutes. Non-profit organizations must have documentation of non-profit status from the U.S. Internal Revenue Service or their state of incorporation, except that non-profits organizations as defined in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying as defined in Section 3 of the Lobbying Disclosure Act 1995 or superseding legislation are ineligible.

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Interstate, state, tribal, intrastate, and local government agencies, districts, and councils; public and private universities and colleges, laboratories, and research facilities; and public or private non-governmental, non-profit institutions are eligible to apply, unless restricted by the authorizing statutes.

Credentials/Documentation:

EPA may require applicants and principle investigators to demonstrate appropriate background, academic training, experience in the field, and necessary equipment to carry out projects, and to provide curriculum vitae and relevant publications. EPA may require nonprofit organizations to provide

documentation of nonprofit status accorded by the Internal Revenue Service or their state of incorporation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms, as furnished by the Federal Agency, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm.

For competitive awards, Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days from receipt of final application, through selection and notification, and development and approval of work plan, to award of funds.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

EPA can fund grants and cooperative agreements for surveys, studies, investigations, demonstrations, training, education, limited research, outreach, and/or special-purpose activities incrementally. Approval of incremental funding depends on satisfactory project progress as well as continued relevance of the project and availability of funds. The application procedure is identical to applying for a new grant, with the same funds source, selection criteria, and review procedure.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

EPA may negotiate the project period with each applicant based on project requirements. The term of the grant shall be determined at the time of the grant award. See the following for information on how assistance is awarded/released: Funds are issued electronically through automated funds transfer. Method of awarding/releasing assistance: reimbursement.

Reports:

No program reports are required. No cash reports are required. EPA may include reporting requirements in the terms and conditions of the assistance agreements, which may require quarterly, interim, and final performance reports, and financial, equipment, and inventory reports. Progress reports are required under this program. An interim and final Federal Financial Report financial status report will be required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients must demonstrate financial management capability, keep financial records including documentation for entries on accounting and for any changes in grant requirements, and make records available to personnel authorized to examine assistance recipients' records. Recipients must maintain all records for a minimum of three years from the date of submission of the final report and until all questions regarding the assistance agreement, such as those arising from audits, are resolved.

Account Identification:

86-8153-0-1-304; 68-0103-0-1-304; 68-0107-0-1-304; 68-0108-0-1-304; 68-8145-0-1-304.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$15,000; and FY 18 est \$0 - There was no funding or awards made in FY 15 and FY 16. The FY17 funding is \$15,000. FY18 We do not have an estimated budget amount at this time. This information will be updated when we receive further guidance.

Range and Average of Financial Assistance:

In FY14, the one assistance agreement awarded was for \$14,965 with a two year budget and project period. There were no awards made in FY15 and FY16.

TAFS Codes:

68-8145; 68-0103; 68-0108; 68-0107; 68-8153.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: There were no projects funded during FY16. Fiscal Year 2017: Not available. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Assistance agreements under this program are in accordance with the provisions of 2 CFR 200 and 2 CFR 1500.

Regional or Local Office:

See Regional Agency Offices. Caroline Robinson
Senior Advisor
Office of the Regional Administrator

Region 4, U.S. Environmental Protection Agency
61 Forsyth Street, SW, Atlanta, Georgia 30303
Email: freeman.caroline@epa.gov
Phone: 404 562-8976.

Headquarters Office:

Caroline Freeman Caroline Freeman
Senior Advisor
Office of the Regional Administrator
Region 4, U.S. Environmental Protection Agency
61 Forsyth Street, SW, Atlanta, Georgia 30303
Email: Freeman.caroline@epa.gov
Phone: 404 562-8976

, Atlanta, Georgia 30303 Email: freeman.caroline@epa.gov Phone: 404 562-8976

Website Address:

<http://www2.epa.gov/aboutepa/about-epa-region-4-southeast>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.129 SOUTHEAST NEW ENGLAND COASTAL WATERSHED RESTORATION

(SNEP)

FEDERAL AGENCY:

Environmental Protection Agency

AUTHORIZATION:

FY 2015 Omnibus, Consolidation and Further Continuing Appropriations Act and Continuing Appropriations Act, 2016; ; Clean Water Act, Section 104(b)(3), 33 U.S.C. 1251(b)(3). , Title 104, Part b3, Section 33 U.S.C. 1251.

OBJECTIVES:

To develop and support the Southeast New England Program (SNEP) for coastal watershed restoration. SNEP is a geographically-based program intended to serve as a collaborative framework for advancing ecosystem resiliency, protecting and restoring water quality, habitat, and ecosystem function, and developing and applying innovative policy, science, and technology to environmental management in southeast coastal New England (eligibility map is available at www.epa.gov/region1/snecwrp and will be included in competitive funding announcements). A critical aspect of this framework is the integration of physical processes, water quality, and critical habitat at a regional, watershed, and/or landscape scale. Additional background information on SNEP can be found at the following website: www.epa.gov/region1/snecwrp. EPA intends to fund projects that address SNEP priorities through projects, networks, and/or partnerships among governmental and community resource managers, technology and science practitioners, policy organizations, nongovernmental organizations (NGOs), tribes, and other groups. A major focus is to build program capacity for environmental management, including developing and establishing robust institutional, monitoring, information, and technology frameworks that can offer more effective, transferable, and sustainable paths to restoring and protecting the southeast New England coastal watersheds. Through competitive funding announcements, EPA will outline specific program priorities and eligible activities in areas such as restoration planning and construction, ordinance adoption and implementation, technology and policy development, testing, and adoption, financing, monitoring, including methods, equipment, data analysis and interpretation, public understanding and engagement, technical training in new approaches, information sharing, and targeted/applied research. EPA will provide project examples in specific RFP announcements.

Funding Priority - Fiscal Year 2015: In FY15 no awards were made under this

CFDA. SNEP made awards through 66.456 (National Estuary Program) and 66.110 (Healthy Communities Grant Program).

Funding Priority - Fiscal Year 2016/2017: EPA's funding priority for SNEP assistance agreements is to fund projects that protect, improve, or restore water quality through innovative projects and partnerships that address nutrients in coastal ecosystems; integrate habitat and ecological restoration with water quality improvement; develop and invest in innovative, cost-effective restoration and protection practices; identify and sustain ecosystem services and functions; and/or contribute directly or indirectly to increased regional ecosystem resiliency.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs in approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. The funds will support projects that prevent, reduce, and eliminate water pollution through partnership and innovation; that promote watershed solutions; and that encourage resilience to the impacts of climate change. Specific uses and restrictions on funds will be described in detail in the competitive announcements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in Section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Assistance under SNEP is available to state, local, territorial, and Tribal governments; institutions of higher education; nonprofit institutions and organizations; intertribal consortia; and interstate agencies. Private businesses, federal agencies, and individuals are not eligible to be grant recipients; however, they are encouraged to work in partnership with eligible applicants on projects. Applicants are not limited to the geographic area of southeastern coastal New England, however, those applying from outside the specified region must carry out their projects and have at least one local partner from within the geographic area specified in the competitive funding announcement. Organizations must be capable of undertaking and managing activities that advance SNEP priorities, including managing potentially complex fiscal and administrative requirements. Non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply; the term interstate agency is defined in Clean Water Act Section 502 as an agency of two or more States established by or pursuant to an agreement or compact approved by the Congress, or any other agency of two or more States, having substantial powers or duties pertaining to the control of pollution as determined and approved by the Administrator. Intertribal consortia must meet the requirements of 40 CFR Section 35.504. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Assistance under SNEP is available to state, local, territorial, and Tribal governments; institutions of higher education; nonprofit institutions and organizations; intertribal consortia; and interstate agencies. Private businesses, federal agencies, and individuals are not eligible to be grant recipients; however, they are encouraged to work in partnership with eligible applicants on

projects. Applicants are not limited to the geographic area of southeastern coastal New England, however, those applying from outside the specified region must carry out their projects and have at least one local partner from within the geographic area specified in the competitive funding announcement. Organizations must be capable of undertaking and managing activities that advance SNEP priorities, including managing potentially complex fiscal and administrative requirements. Non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply; the term interstate agency is defined in CWA Section 502 as an agency of two or more States established by or pursuant to an agreement or compact approved by the Congress, or any other agency of two or more States, having substantial powers or duties pertaining to the control of pollution as determined and approved by the Administrator. Intertribal consortia must meet the requirements of 40 CFR Part 35.504. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Credentials/Documentation:

Nonprofit applicants may be asked to provide documentation that they meet the definition of a nonprofit organization in 2 CFR Section 200.70. Interstate organizations may be asked to provide a citation to the statutory authority, which establishes their status. Intertribal consortia may be asked to provide documentation that they meet the requirements of 40 CFR Part 35.504. 2 CFR Part 200 applies to this program. Tribes may be asked to demonstrate that they are federally recognized. Applicants who have an IRS 501(c)(4) designation are not eligible for grants if they engage in lobbying, no matter what the source of funding for the lobbying activities. For-profit enterprises are not eligible to receive sub-grants from eligible recipients, although they may receive contracts, subject to the procurement regulations found at 2 CFR Part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

EPA awards grants and cooperative agreements supporting eligible activities based on the competitive applications submitted in response to EPA solicitations, considering relevance and likelihood of the success of the projects. The standard application forms, available on Grants.gov, must be used for this program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as Information Contacts or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR Part 200 applies to this program. EPA awards grants and cooperative agreements based on the competitive applications submitted in response to EPA solicitations, considering relevance and likelihood of the success of the projects. The standard application forms, available on Grants.gov, must be used for this program. For additional information, contact the individual(s) listed as Information Contacts or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the

program for review. Applicants are required to use <http://www.grants.gov> to electronically apply for grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies and federal regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to 7 months.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as Information Contacts. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR Part 1500, Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula under the FY 2015 Omnibus, Consolidation and Further Continuing Appropriations Act and Continuing Appropriations Act, 2016 or under the Clean Water Act, Section 104(b)(3), 33 U.S.C. 1251(b)(3). EPA has discretion to determine the match requirements for awards made under these authorities and will do so within each announcement. Any other matching requirements will be described in the competitive announcement. This program does not have any maintenance of effort requirements.

In addition to matching requirements, the applicant must demonstrate how they will leverage resources during grant performance.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of grant award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in 2 CFR Part 200, as applicable. Program reports are required under this program. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Performance monitoring is required in accordance with 2 CFR Section 200.301. No cash reports are required. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in 2 CFR Part 200, as applicable. Program reports are required under this program. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in 2 CFR Part 200, as applicable. Program reports are required under this program. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Performance monitoring is required in accordance with 2 CFR Section 200.301.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with 2 CFR Part 200, Subpart F, nonfederal entities that expend \$750,000 or more in Federal awards during the nonfederal entity's fiscal year, must have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR Part 200, Subpart F. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304 - EPM.

Obligations:

(Cooperative Agreements) FY 16 \$4,637,000; FY 17 est \$3,945,172; and FY 18 Estimate Not Available - Cooperative Agreements) FY 15 \$2,500,000; FY16 \$4,637,000; FY17 \$3,945,172.

Range and Average of Financial Assistance:

Range: \$250,000 - \$1,000,000/fiscal year Average: \$500,000 (estimated).

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY16 SNEP awarded 8 assistance agreements totaling \$4,637,000 as a result of EPA's RFIP (EPA-R1-SNEP-2016). Fiscal Year 2017: In FY17, SNEP awarded one assistance agreement totaling \$3,945,172 as a result of EPAs RFA (EPA-R1-SNEP-2017). Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Grants Guidance 2 CFR Part 200, 2 CFR Part 1500, and 40 CFR Section 35.610, as applicable.

Regional or Local Office:

See Regional Agency Offices. Karen Simpson Baird, U.S. EPA Region 1, 5 Post Office Square, Suite 100, Boston, MA 02109, Phone 617-918-1672, Toll Free: 888-372-7341, TTY: 617-918-2028, Email: simpson.karen@epa.gov.

Headquarters Office:

Timothy Roberts 1200 Penn Ave. N.W., Washington, District of Columbia 20460 Email: roberts.timonthk-py@epa.gov Phone: 202-564-6004

Website Address:

<http://www.epa.gov/region1>

RELATED PROGRAMS:

66.110 Healthy Communities Grant Program; 66.440 Urban Waters Small Grants; 66.441 Healthy Watersheds Consortium Grant Program ; 66.454 Water Quality Management Planning; 66.456 National Estuary Program; 66.460 Nonpoint Source Implementation Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding under the Fiscal Year 2015 Omnibus, Consolidation and Further Appropriations Act, and Continuing Appropriations Act, 2016, may be used for implementation projects throughout SNEP. Awards made under this authority are subject to the availability of funding.

Additional evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

Competitive applications and proposals will be selected in accordance with the announced criteria.

66.130 GULF COAST ECOSYSTEM RESTORATION COUNCIL COMPREHENSIVE PLAN COMPONENT

EPA's RESTORE Council Component

FEDERAL AGENCY:

Environmental Protection Agency

AUTHORIZATION:

Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (RESTORE) Act of 2012, Section 1603, Public Law 112-141, 126 Stat. 588, 33 U.S.C 1321(t)(2). . , Public Law 112-141.

OBJECTIVES:

The primary objective of this program is to disburse funds to eligible entities according to the funded priorities list approved by the Gulf Coast Ecosystem Restoration Council. The Council enters into Interagency Agreements with EPA to expend funds to carry out those Comprehensive Plan projects and programs that have been assigned to EPA. Projects funded through this component achieve one or more of the seven objectives listed in the Gulf Coast Ecosystem Restoration Council Initial Comprehensive Plan: (1) Restore, Enhance and Protect Habitats, (2) Restore, Improve, and Protect Water Resources, (3) Protect and Restore Living Coastal and Marine Resources, (4) Restore and Enhance Natural Processes and Shorelines, (5) Promote Community Resilience, (6) Promote Natural Resource Stewardship and Environmental Education, and (7) Improve Science-Based Decision-Making Processes. Projects funded through this component also achieve one or more of the four EPA Gulf of Mexico Program priorities: 1) Restore, protect and enhance habitat, 2) Improve water quality, 3) Enhance community resilience, and 4) Further environmental education.

Funding Priority - Fiscal Year 2017 and Fiscal Year 2018: Funding priority will be projects on the RESTORE funded priorities list where EPA is listed as the Responsible Council Member. Please see https://restorethegulf.gov/sites/default/files/FPL_forDec9Vote_Errata_04-07-2016.pdf for more information.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The primary objective of this program is to disburse funds to eligible entities for the purpose of restoring and protecting the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, coastal wetlands, and economy of the Gulf Coast region using the best available science. All projects, programs, and activities must be eligible activities pursuant to clauses (i) and (ii) of 33 U.S.C. 1321(t)(1)(B) and must be listed on the Council's approved Funded Priorities List (FPL) (https://restorethegulf.gov/sites/default/files/FPL_forDec9Vote_Errata_04-07-2016.pdf) in order to be eligible for funding. The RESTORE Act, at 33 U.S.C. section 1321(t)(2)(E)(i), provides that the RESTORE Council, "acting through" the federal and state Council members, will expend funds to carry out Comprehensive Plan projects and programs; and at (2)(E)(ii)(I) provides that primary authority and responsibility for those programs "shall be assigned" by the RESTORE Council to the state members or federal agencies. The Council enters into Interagency Agreements with EPA to expend funds to carry out those Comprehensive Plan projects and programs that have been assigned to EPA. Awards will be made noncompetitively or competitively based on the authority for the award and EPA procedures. Competitive awards will be made in accordance with EPA policies and procedures for competitive awards.

Projects must be listed in the Funded Priorities List Addendum to the Initial Comprehensive Plan in order to be eligible for funding. Use of these funds must

be in accordance with projects on the funded priorities list with the US Environmental Protection Agency listed as the Responsible Council Member.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally, this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office. Generally this program makes Federal awards on a discretionary basis. For further information, please contact Headquarters or regional office.

Applicant Eligibility:

Funds are available to State and local governments, Tribes, colleges and universities, and other public or nonprofit organizations. Awards will be made noncompetitively or competitively based on the authority for the award and EPA procedures. Competitive awards will be made in accordance with EPA policies and procedures for competitive awards. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Funded Priorities List and Agency policy.

Beneficiary Eligibility:

State and local governments, interstate agencies, Tribes, colleges and universities, and other public or nonprofit organizations.

Credentials/Documentation:

Yes Credentials or documentation are required. Compliance with 2 CFR 200 and 1500, Program Funding Guidance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Whenever competition is required for the FPL project/program assigned to EPA, EPA will generally specify the nature of the pre-application coordination in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog.

EPA will have already developed and provided necessary and appropriate environmental assessment information and compliance documentation to the Council prior to the project/program being included on the FPL, or the grant recipient will be preparing the necessary and appropriate environmental assessment information and compliance documentation as part of the project/program scope of work and deliverables. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Applicants should follow the proposal format and instructions provided in the

Funding Announcement. All applications for funding must be submitted through <http://www.grants.gov>.

Award Procedure:

Awards will be made noncompetitively or competitively based on the authority for the award and EPA procedures. For projects on the funded priorities list identified as a competitive award, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact the headquarters or regional office, as appropriate for application deadlines

Approximately 90-180 days following the application deadline as addressed in the competitive funding announcement.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Contact the Gulf of Mexico Program Office for information.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements, except when the specific FPL project/program stipulates there is a matching requirement (e.g. Gulf of Mexico Conservation Enhancement Grants Program). In those instances, the specific FPL project/program and funding opportunity (if applicable) will provide the details of the matching requirements..

This program does not have MOE requirements. No MOE is required.

Length and Time Phasing of Assistance:

The method of fund disbursement will be determined at the time of award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program. EPA includes specific reporting requirements for each grant and cooperative agreement in the terms and conditions of the agreement. EPA includes specific reporting requirements for each grant and cooperative agreement in the terms and conditions of the agreement. EPA includes specific reporting requirements for each grant and cooperative agreement in the terms and conditions of the agreement. EPA includes specific reporting requirements for each grant and cooperative agreement in the terms and conditions of the agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Yes, in accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program- specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$2,200,000; and FY 18 est \$6,400,000 - Resources under this component are provided by the RESTORE Council to the Environmental Protection Agency as interagency agreements. Projects are then funded by the Environmental Protection Agency according to the RESTORE Council Funded Priorities List. EPA funds the projects where EPA is identified as the Responsible Council Member.

Fiscal Year 2016: \$0

Fiscal Year 2017 (anticipated): \$2.2M

Fiscal Year 2018 (estimate): \$6.4M.

Range and Average of Financial Assistance:

Projects funded are consistent with the amounts listed in the RESTORE Council Funded Priorities List.

TAFS Codes:

68-01-0108-010.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For assistance agreements, the procedures and requirements should be in conformance with 2 CFR 200 and 1500 as applicable

Regional or Local Office:

See Regional Agency Offices. EPA/Gulf of Mexico Program Office, 2510 14th St., Suite 1212, Gulfport, MS 39501 Attention: Rachel Houge. Telephone: 228-304-7441. FAX: 228-679-5921. E-Mail: houge.rachel@epa.gov.

Headquarters Office:

Rachel Houge 2510 14th Street
Suite 1212, Gulfport, Mississippi 39501 Email: houge.rachel@epa.gov Phone: 228-304-7441 Fax: 228-679-5921

Website Address:

<https://www.epa.gov/gulfofmexico>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Not applicable - new program. Fiscal Year 2017: FY2017:

Mobile Bay Estuary Program (MBNEP) RESTORE Project. This activity includes engineering and design of a stream restoration plan for restoring Twelve Mile Creek, one of six main tributaries within the Three Mile Creek Watershed and development of an invasive species control program focused on aquatic vegetation in Three Mile Creek

The Tampa Bay Estuary Program (TBEP)-RESTORE Project Planning activity

includes environmental compliance attainment, quality assurance, and pre-monitoring activities for seven priority water quality and habitat improvement elements located throughout the Tampa Bay watershed. Seven elements of the Tampa Bay Estuary Program (TBEP) would be implemented throughout the Tampa Bay watershed, expected to collectively result in 16.5 tons of nitrogen reduced per year; more than 250 acres of coastal habitat restored and 200 acres of seagrass created. More than \$3.4M from local, state and federal agencies is provided as cash match. Fiscal Year 2018: FY2018: Projection:

Mobile Bay Estuary Program (MBNEP) RESTORE Project. This activity includes implementation of the stream restoration plan for restoring Twelve Mile Creek and implementation of the invasive species control program plan focused on aquatic vegetation in Three Mile Creek. The project will adhere to environmental and other regulatory compliance requirements; quality assurance and post-restoration monitoring.

Gulf of Mexico Conservation Enhancement Grant Program (GMCEGP): a funding assistance opportunity to enhance private/public partnerships that support land protection and conservation across the Gulf Coast region. This program will be available to land conservation organizations such as land trusts, non-governmental organizations (NGOs), and state land preservation agencies across the Gulf of Mexico region through a competitive grant selection process.

Gulf of Mexico Estuary Program: This project will develop and stand-up a place-based estuary program encompassing one or more of the following bays in Florida's northwest panhandle region: Perdido Bay, Pensacola Bay, Escambia Bay, Choctawhatchee Bay, St. Andrews Bay and Apalachicola Bay. The key components of the project include establishing the host organization, host organization hiring key staff, developing Management and Technical committees, determining stressors and then developing and approving a Comprehensive Plan (e.g., CCMP).

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Projects will be selected based on the criteria and ranking factors established in the EPA's Gulf of Mexico Program's Competitive Funding Announcement. Leveraged funds and resources may be considered during the evaluation scoring process in accordance with the competitive funding announcement.

66.202 CONGRESSIONALLY MANDATED PROJECTS

(CONGRESSIONAL EARMARKS)

FEDERAL AGENCY:

Office of the Chief Financial Officer, Environmental Protection Agency

AUTHORIZATION:

Executive Order Executive Order 13457 "Protecting American Taxpayers from Government Spending of Wasteful Earmarks".

OBJECTIVES:

To implement special Congressionally directed projects or programs identified in EPA's annual appropriations act, committee reports incorporated by reference into the annual appropriation act, and other statutes mandating that EPA provide financial assistance agreements to designated recipients for projects or programs. These assistance agreements support special projects earmarked by Congress for specific recipients which are not requested by EPA in its annual budget request to Congress. The projects may be associated with: (1) various environmental requirements (e.g., wastewater treatment, drinking water treatment); (2) identifying, developing, and/or demonstrating necessary pollution control technologies and techniques to prevent, reduce, and eliminate pollution; or (3) evaluating the economic and social consequences of alternative environmental pollution strategies, technologies, or mechanisms for use by those in economic, social, governmental, and environmental management positions. Funding priorities are to award these assistance agreements to the designated recipients as quickly as possible within statutory and regulatory

requirements.

Funding Priority 2016-Targeted Airshed

"To make the funds available for competitive grants reduce air pollution in nonattainment areas that the Agency determines are ranked as the top five most polluted areas relative to ozone, annual PM2.5, or 24-hour PM2.5 standards."

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support specific projects or programs specified by Congress for designated recipients. Allowable direct and indirect costs are included, in accordance with established EPA policies and requirements for assistance agreements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Eligible applicants are specified in the statute authorizing the earmark. Examples of recipients that may receive assistance under this program include local, state, intrastate, interstate, U.S. territories or possessions, public or nonprofit institutions/organizations, public/private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, institutions of higher education, Federally Recognized Indian Tribal Governments, Native American Organizations, and international organizations.

Beneficiary Eligibility:

Beneficiaries vary with the project Congress has directed EPA to fund. For example, an earmark for a wastewater treatment plant or sewer system would benefit the community in which the project is constructed. An earmark for a training project would benefit the individuals receiving the training under the assistance agreement.

Credentials/Documentation:

EPA may ask principle investigators for earmarked research projects to provide curriculum vitae and relevant publications. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

- Regarding pre-application/pre-proposal assistance with respect to awards under this program description, EPA will generally specify the nature of any pre-application/pre-proposal assistance. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog.

- This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Principles for determining allowable costs are set forth in applicable Federal management circulars described in the Uniform Grants Guidance regulations and procedures 2 CFR 200.

Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA will evaluate each application to determine (a) the adequacy of the proposed project to meet the specified purpose of the Congressionally mandated project and compliance with other applicable laws. EPA's R&D Office conducts a peer review of all applications by outside experts. EPA will also review the application in relation to EPA's general grant regulations and OMB guidance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new application which will be reviewed in the same manner as the original application. EPA may incrementally fund grants and cooperative agreements depending on the nature of the project and the terms of the earmark.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula for distribution of Federal funds, therefore, matching requirements are defined in each Appropriations Act or other statute authorizing the earmark.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the assistance agreement shall be determined at time of award. The length and time phasing of the assistance agreement will be determined by the duration of the availability of appropriated funds. See the following for information on how assistance is awarded/released: Assistance awards are released by letter of credit and other financial authorizations.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. No cash reports are required. EPA assistance agreements may, in the terms and conditions, require annual, quarterly, interim, and/or final progress reports. EPA assistance agreements may, in the terms and conditions, require annual, quarterly, interim, and/or final expenditure/financial reports. Performance monitoring of Congressionally mandated special project or program assistance agreements are required per EPA administrative grant orders.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are

subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits, remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0107-0-1-304; 68-0103-0-1-304; 68-0110-0-1-304; 68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 \$20,000,000; FY 17 est \$0; and FY 18 est \$0 - (Cooperative Agreements) FY 15 \$10 million ; FY 16 \$20 million; FY 17 est \$0 - Budget Authority; and FY 18 est \$0 - Budget Authority; FY 2015 \$10 million (Targeted Air Shed Grant program) FY 2016 \$20 million (Targeted Air Shed Grant program) FY 2017 est \$0 FY 2018 est\$0.

Range and Average of Financial Assistance:

FY 2016 Targeted Air Shed Grant program: 8 assistance agreements, \$2,477,250 per award. FY 2017 Targeted Air Shed Grant program: Estimated number of awards TBD based on applications, total appropriation is \$29,479,000 (post-rescission of funds).

TAFS Codes:

68-0108; 68-0103; 68-0107; 68-0110.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program Accomplishments 2016 Targeted Air Shed Grant program anticipated results: The program will repair or replace diesel school buses so more children can ride buses with reduced emissions. The program will also accelerate the replacement of older commercial lawn and garden equipment in environmental justice communities. The program will replace up to 19 yard tractors with electric yard tractors or equivalent, as well as 136 on-road heavy-duty trucks. In addition to mobile sources, the program will assist in the change-out or repair of thousands of old wood burning appliances. Fiscal Year 2017: No content available. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Congressional mandated earmarks are subject to EPA's General Grant Regulations, Environmental Protection Agency (2 CFR 200 and 1500 as applicable).

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. For program information, contact the following designated Program Office officials: - Office of Air and Radiation - Courtney Hyde, 202-564-1227, Hyde.Courtney@epa.gov; - Office of Water - Tim Fontaine, 202-564-0318, Fontaine.Tim@epa.gov; - Office of Chemical Safety and Pollution Prevention - Bruce Berkley, 202 564-7802, Berkley.Bruce@epa.gov - Office of Solid Waste and Emergency Response to Office of Land and Emergency Management: Jennifer Wilbur, 202 566-2756, Wilbur.Jennifer@epa.gov - Office of Enforcement and Compliance Assurance - John Warren, 202-564-2452, Warren.Johnm@epa.gov; - Office of Research and Development - Eric Burman, 202 564-0267, Burman.Eric@epa.gov - Office of Environmental Information - Juanita Standifer, 202-564-2764, Standifer.Juanita@epa.gov; - Office of International and Tribal Affairs - Mike Weckesser, 202-564-0324, Weckesser.Mike@epa.gov; - Office of the Administrator - Lance McCluney, 202 564-2944, McCluney.Lance@epa.gov - Office of the Inspector General - Kellie Walker, 202 566-2970, Walker.Kellie@epa.gov Addresses may be reached by mail at the following common address and fax number: USEPA Headquarters, 1200 Pennsylvania Avenue, N.W., Washington, DC, 20460,

202-564-1838 (fax).

Headquarters Office:

Jeff Swartz USEPA Headquarters, 1200 Pennsylvania Avenue, N.W.,
Washington, DC, 20460, 202-564-1838 (fax).

, Washington, District of Columbia 20460 Email: swartz.jeff@epa.gov Phone:
(202) 564-1230

Website Address:

<http://www.epa.gov/ocfo/budget/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016; Fiscal Year 2016; No Current Data Available. Fiscal Year
2017: No content available. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

Addresses the specific purpose designated by the Congressional earmark in
EPA's annual Appropriations Act or other statute. All Congressionally
mandated earmarks must meet the following criteria: (a) address the specified
Congressionally directed support or action described in the annual
appropriations act or committee report incorporated by reference into the
appropriations act or other statutes mandating that EPA provide financial
assistance agreements to designated recipients for projects or programs; and (b)
have an approved workplan and processed assistance agreement before the
appropriated funds expire.

66.203 ENVIRONMENTAL FINANCE CENTER GRANTS

(EFC Grant Program)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3); Safe Drinking Water Act, Section
1442(c)(3) & 14, U.S.C 1420; Solid Waste Disposal Act, Section 8001(a);
Toxics Substances Control Act, Section 10; Marine Protection, Research and
Sanctuaries Act, Section 203; Federal Insecticide, Fungicide, and Rodenticide
Act, Section 20; Clean Air Act, Section 103(b)(3); Clean Water Act, Section
104(b)(3);.

OBJECTIVES:

The Environmental Finance Center Grant Program provides funding to support
Environmental Finance Centers (EFCs) that provide multi-media environmental
finance expertise and outreach to the regulated communities. The EFCs work
with state and tribal governments, local governments, and the private sector to
address the growing costs of environmental protection. The EFCs provide
finance-related training, education, and analytical studies to help these
regulated parties develop solutions to the difficult "how-to-pay" issues
associated with meeting environmental standards.

The EFCs educate state, tribal, and local governments and businesses on
lowering environmental costs, increasing environmental investments,
improving financial capacity, encouraging full cost pricing, and identifying and
evaluating environmental financing options. A central goal of the EFCs is to
create sustainable systems. Sustainable systems have the financial, technical,
and institutional resources and capabilities to operate in compliance with
environmental requirements and in conformance with accepted environmental
practices over the long term. EFCs focus on helping smaller parties find ways to
obtain financing for their environmental protection responsibilities.

Funding Priority - Fiscal Year 2016: Providing education, training, technical
assistance, and analytic support and outreach to state, tribal and local
governments and businesses (with a focus on smaller governments and
businesses) on: Funding Priority - Fiscal Year 2016: Providing education,
training, technical assistance, and analytic support and outreach to state, tribal
and local governments and businesses (with a focus on smaller governments

and businesses) on: (1) identifying, developing, and applying tools and
strategies to determine and estimate the benefits of coastal wetlands; (2)
expanding environmental education in support of community-based
environmental stewardship, sustainable materials management, and green
infrastructure (3) continuing to support certification programs and activities
integrating planning, sustainability, citizen participation and partnerships, green
design, land use and transportation, natural resources, agricultural viability,
water quality, finance, health and wellness, waste reduction and management,
and many other environmental areas; (4) developing, refining, updating and
testing web-based analytic models used for rate setting, capital planning,
capacity assessment, asset management, and other financial tools and analyses
needed for sound water and wastewater utility management; (5) building the
capacity of communities to address urban environmental issues in areas such as
transportation, improved community design, and multifamily residential
housing in ways that are environmentally and economically sustainable and
promote environmental justice; (6) identifying, developing, testing, educating,
and supporting the use of green infrastructure approaches in addressing storm
water management challenges in communities across the country; (7) educating
municipal utility managers in areas such as finance, risk management, project
management, planning, regulatory needs, customer service, personnel
management and public/customer relations; and (8) working in partnership with
community development/support organizations to identify, develop, and pilot
marketing, finance and business plans for commercial composting operations to
help cleanup neighborhoods and reduce illegal dumping.

Funding Priority 2017

Funding Priority - Fiscal Year 2017: Providing education, training, technical
assistance, and analytic support and outreach to state, tribal and local
governments and businesses (with a focus on smaller governments and
businesses) on: (1) supporting cleaner/greener business through source
reduction, pollution prevention, conservation, resource recovery, reuse, and
recycling (sustainable materials management); (2) promoting environmentally
sustainable development, redevelopment, smart growth and land use planning;
green infrastructure approaches; and green building efforts by state and local
governments and the private sector; (3) supporting wetlands programs and
protection; (4) improving drinking water and wastewater utility water
conservation, energy efficiency, management, and capital planning; (5)
encouraging green jobs and green business products and services; (6) promoting
innovative ways to finance and otherwise support interstate, state, regional, and
local air pollution reduction efforts; (7) assisting the owners and operators of
small, medium, and disadvantaged environmental systems in planning,
developing, and obtaining financing for infrastructure projects; (8) developing
fiscal sustainability plans for utilities that include inventories of critical assets,
evaluations asset performance, energy conservation efforts, operations,
maintenance and repair needs and activities, and any required certifications; (9)
reducing greenhouse gas emissions, and developing decision tools and financial
strategies for adaptation to adverse weather events and/or climate change.; (10)
identifying, supporting and advancing environmental equity and justice
concerns, where appropriate, in all training, outreach, projects, programs, and
activities; and, (11) encouraging the development and use of public-private
partnerships, where appropriate in providing environmental services,
infrastructure, projects, and activities.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to recipients to support their
allowable direct costs incident to approved environmental financial technical
assistance and outreach work plus allowable indirect costs, in accordance with
established EPA policies and regulations. EPA provides incremental funding
for these grants or cooperative agreements on an annual basis. As part of EPA's
substantial involvement, the appropriate EPA regional and/or headquarters
office reviews and approves each annual project phase consistent with the scope
of work for the grant or cooperative agreement.

As provided in 2 CFR Part 220, recipients of grants or cooperative agreements
under this program may not charge fundraising costs to their grants or

cooperative agreements. Funding for research under this program does not include research within the purview of EPA's Office of Research and Development. Under this program, EPA does not fund research, training, and technical assistance to facilitate the inventory of brownfields sites, site assessments, the remediation of brownfields sites, community involvement, and site preparation. These activities are covered by the EPA grant program established at CFDA 66.814.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website:
<https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Assistance under this program is available to public and private non-profit universities and colleges and to nonprofit organizations. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States, tribes, local governments, businesses and community organizations and the general public are the beneficiaries of this program.

Credentials/Documentation:

Applicants must demonstrate that they meet eligibility requirements. In addition, EPA may request applicants to demonstrate that they have the appropriate background, academic training, experience in the field, and necessary staff and equipment to carry out the project work. The Agency may also ask principal analysts named in applicants' proposals to provide curriculum vitae and other documentation of relevant experience. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, announcements for competitive funding opportunities will specify application procedures.

Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Requests for application kits must be submitted to the U.S. Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or to the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Annually, subject to the budget and project periods specified in the awards.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program does not have a statutory or regulatory formula or matching requirement. The Agency may, as a matter of policy, require cost sharing when such a requirement is specified in the announcement of the competitive funding opportunity.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. The project period for the grants or cooperative agreements may range up to seven years. EPA will provide incremental funding for the cooperative agreements each fiscal year (on a 12-month basis) over the duration of the grants or cooperative agreements. See the following for information on how assistance is awarded/released: Annual lump sum.

Reports:

A final program or technical report shall be completed within 90 calendar days of the completion of the period performance for each award. No cash reports are required. Quarterly Progress Reports may be required as determined by EPA after the award. Quarterly Expenditure Reports may be required as determined by EPA after the award. Quarterly Performance Monitoring may be required as determined by EPA after the award. No cash reports are required. Quarterly Progress Reports may be required as determined by EPA after the award. They may be required. Quarterly Performance Monitoring may be required as determined by EPA after the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 2 CFR 200 (nonprofits and universities) apply. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those brought up in an audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 \$1,825,000; FY 17 est \$1,000,000; and FY 18 est \$0 - FY 16 \$1,825,000; FY 17 est \$1,000,000; FY 18 est \$0.

Range and Average of Financial Assistance:

Range: \$111,111 to \$465,000/fiscal year Average: Approximately \$202,777/fiscal year.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: n FY 2016, nine (eight university-based and one nonprofit-based) Environmental Finance Centers (EFCs) worked with state, tribal, and local governments as well as the private sector in developing and implementing a range of creative, responsible, and sustainable solutions to existing and new environmental challenges. In this first year of the new EFC grant award period, five of the eight grantees operating EFCs under the previous grant award were awarded funding and four new grantees were awarded funding and began operating as EFCs. EFC accomplishments in FY 2016 included: (1) University of Southern Maine EFC - (1) facilitating a series of three workshops on implementing a stormwater financing program in the Narragansett Bay that presented the components of a good stormwater feasibility study, examined ways to gain community acceptance and build political support, looked at options for reducing costs and leveraging innovative financing, and shared ways to build successful partnerships; (2) Syracuse University EFC - building stakeholder partnerships in New York, New Jersey and Puerto Rico to strengthen and develop regional marine debris protection (trash free waters) and providing climate resiliency training on issues including watershed management, strengthening infrastructure for flood protection, financing resiliency, and storm water asset management planning; (3) University of Maryland EFC implementing and expanding the Sustainable Maryland Certified program that helps Maryland municipalities to find cost-effective and strategic ways to protect their natural assets and revitalize their communities, and developing a Municipal Online Stormwater Training (MOST) Center that provides communities with access to needed storm water management technical and financial resources through a comprehensive and interactive online training program; (4) University of North Carolina EFC - comparing the financial structures and outcomes of alternative water service delivery models (including public-private partnerships) in nine communities across the country, and assisting EPA WaterCARE communities in developing sustainable financing strategies to address the challenges of aging water infrastructure; (5) Michigan Technological University EFC - staffing and standing up a new center that will focus on providing financial technical assistance on water, wastewater, and storm water infrastructure issues especially for small and rural communities; (6) University of New Mexico EFC - delivering technical, managerial, and financial capacity building training for small water systems covering important topics such as water loss control, asset management, and operator certifications and providing needed financial planning support to EPA WaterCARE communities; (7) Wichita State University EFC - performing energy assessments for small to medium sized wastewater treatment plants across the state of Kansas identifying substantial energy reduction opportunities and significant cost savings, and implementing an educational and training program designed to stimulate the interest of high school students in the career opportunities in the water and wastewater industry; (8) California State University at Sacramento (Sacramento State) - staffing and standing up a new EFC that looks to provide cost-effective solutions to protecting public health and the environment focusing on stormwater management, sustainable utility operations, solid and hazardous waste management and tribal communities; and (9) Rural Community Assistance

Corporation - Standing up a new EFC that will focus on providing infrastructure financial education to tribal communities, creating map-based tools for asset management, and developing financial analysis that incorporates cultural concerns when comparing adapt-in-place costs to relocation costs for infrastructure. Fiscal Year 2017: n FY 2017, EPA will fund (eight university-based and two non-profit based) Environmental Finance Centers (EFCs) covering ten EPA Regions located across the nation. Each participating EFCs will provide technical assistance and outreach services to the regulated communities (States, tribal, local governments and businesses). The EFCs will provide finance-related training, education, and analytical studies to help these regulated parties develop solutions to the difficult "how-to-pay" issues associated with meeting environmental standards. The EFCs educate state, tribal, and local governments and businesses on lowering environmental costs, increasing environmental investments, improving financial capacity, encouraging full cost pricing, and identifying and evaluating environmental financing options. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

These grants and cooperative agreements are subject to EPA's General Grant regulations (40 CFR Part 30, "Grants and Agreements with Institutions of Higher Education). Costs for educational institutions will be determined in accordance with 2 CFR Part 220 (OMB Circular No. A-21). Information pertinent to the EFC grant program can be found at www.epa.gov/envirofinance/efcn.html. Written materials on the program can be obtained by calling Timothy McProuty at (202) 564-4996.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog and the Headquarters program contacts listed below.

Headquarters Office:

Alecia Crichlow

For information on grants applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, 1200 Pennsylvania Avenue, NW, Washington, DC 20460. For program information, contact: Alecia F. Crichlow, Environmental Protection Agency, Office of Water, Water Infrastructure & Resiliency Finance Center, Mailcode 4201T, 1200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460, E-Mail: crichlow.alecia@epa.gov, Phone: (202) 564-5188 Fax: (202) 564-2587

, Washington, District of Columbia 20460 Email: crichlow.alecia@epa.gov Phone: (202) 564-5188.

Website Address:

<https://www.epa.gov/envirofinance>

RELATED PROGRAMS:

66.611 Environmental Policy and Innovation Grants; 66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In FY 2016, EPA funded eight university-based and one non-profit based Environmental Finance Centers (EFCs) covering nine EPA Regions located across the nation. Each participating university and non-profit base will operate as an EFC or EFCs that provided technical assistance and outreach services to the regulated communities (States, tribal, local governments and businesses). The EFCs will continue to provide finance-related training, education, and analytical studies to help these regulated parties develop solutions to the difficult "how-to-pay" issues associated with meeting environmental standards. The EFCs educate state, tribal, and local governments and businesses on lowering environmental costs, increasing environmental investments, improving financial capacity, encouraging full cost pricing, and identifying and evaluating environmental financing options. Fiscal Year 2017: In FY 2017, EPA anticipates funding eight university-based and two non-profit based Environmental Finance Centers (EFCs) covering ten EPA Regions located across the nation. Each participating university and non-profit base will operate an EFC or EFCs that will provide

technical assistance and outreach services to the regulated communities (States, tribal, local governments and businesses). The EFCs will provide finance-related training, education, and analytical studies to help these regulated parties develop solutions to the difficult "how-to-pay" issues associated with meeting environmental standards. The EFCs educate state, tribal, and local governments and businesses on lowering environmental costs, increasing environmental investments, improving financial capacity, encouraging full cost pricing, and identifying and evaluating environmental financing options. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the announcement of the competitive funding opportunity.

66.305 COMPLIANCE ASSISTANCE SUPPORT FOR SERVICES TO THE REGULATED COMMUNITY AND OTHER ASSISTANCE PROVIDERS

(Compliance Assistance Centers)

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act of 1972, Section 104, Public Law 92-500, 33 U.S.C 1254; Resource Conservation and Recovery Act of 1976, Section 8001, Public Law 94-580, 42 U.S.C 6981; Clean Air Act of 1970, Section 103, Public Law 91-604, 42 U.S.C 7403.

OBJECTIVES:

The EPA has sponsored partnerships with industry, academic institutions, environmental groups, and other agencies to launch sector-specific Compliance Assistance Centers. Each Compliance Assistance Center addresses real world issues in language that is used by the regulated entities.

This program's objective is to provide financial assistance to private nonprofit institutions, universities, and public agencies to develop and maintain Compliance Assistance Centers to improve environmental compliance within an identified industrial/government sector or environmental topic.

Funding Priority - Fiscal Year 2016: Funding will support the web-based Compliance Assistance Centers. Through Internet Websites, e-mail discussion groups and more, the Centers will help businesses, local governments, and federal facilities understand federal environmental requirements and save money through pollution prevention techniques

Funding Priority - Fiscal Year 2017: Funding will support the web-based Compliance Assistance Centers. Through Internet Websites, e-mail discussion groups and more, the Centers will help businesses, local governments, and federal facilities understand federal environmental requirements and save money through pollution prevention techniques.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

FY 16 funds will support ongoing Center work, with an emphasis on maintaining and updating the existing Center Websites.

It is expected that common communications channels used by the industry/government will continue to quickly distribute information to the targeted audience. The sector entry pages will serve as portals to relevant information developed by the Centers and other organizations as appropriate (e.g. EPA). Individual sector entry pages will use navigation structures specific to the sector thereby providing easy access to relevant environmental content. EPA funding must be used only for activities specified in the approved scope of work; discretionary funding will not be provided.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or

government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Applicants must be nonprofit organizations as that term is defined in Section 4(6) of the Federal Financial Assistance Management Improvement Act of 1999, Public Law 96-107, 31 U.S.C. 6101 Note. Colleges, universities, and community colleges are eligible to apply. EPA will also accept applications from state, tribal and local governments. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Additionally, for certain funding opportunities under this CFDA, applicants may have to demonstrate how they meet the following factors for threshold eligibility purposes: 1) That they have an indirect cost rate that has been approved or is pending approval by a federal agency or has a cost allocation system that meets the requirements in the applicable OMB Circular; 2) That they have had a previous working relationship(s) with experts and national organizations devoted to the relevant industrial, commercial or governmental sector, or intends to partner with an organization with such expertise; 3) An understanding of the environmental needs of the relevant industrial, commercial or governmental sector through past activities, or intends to partner with an organization with such expertise; 4) That they, or a partnering organization, has familiarity with applicable federal, state and local environmental regulations and experience in developing tools (e.g., training, plain-language guides, fact-sheets, Agency contact locators, etc.) to improve the audiences' understanding of their regulatory obligations; 5) Previous experience (or intends to partner with an organization with experience) in developing and maintaining web-based services.

Beneficiary Eligibility:

The primary beneficiaries are small businesses, local governments and colleges/universities that are being serviced by the grantees. Other beneficiaries include state, local, general public, and the regulated business community.

Credentials/Documentation:

EPA may request that applicants document their non profit status. The Agency may also request that applicants demonstrate they have appropriate background, experience in the field, organizational capacity and necessary equipment to carry out projects. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days from the date of submission of the final proposal.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None. Generally, cooperative agreements will be awarded for a five year project period. EPA will partially fund each budget period and will consider funding the balance of budget requests contingent upon satisfactory progress as certified by the EPA Project Officer, the availability of funds, and EPA priorities.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Although EPA may not require matching funds, applicants may be encouraged to provide information regarding resources (cash/in-kind services) that they would commit to manage a Compliance Assistance Center. This program has no statutory formula.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA generally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Incremental funding may be available. EPA generally limits project periods to 5 years. EPA will partially fund each budget period and will consider funding the balance of budget requests contingent upon satisfactory progress as certified by the EPA Project Officer, the availability of funds, and EPA priorities. See the following for information on how assistance is awarded/released: Other.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require semi-annual, annual and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. Program reports are required.

. No cash reports are required. Progress reports are required. No expenditure reports are required. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 16 \$220,000; FY 17 est \$220,000; and FY 18 est \$350,000 - FY 16: \$220,000, FY 17: \$220,000, FY 18 est. \$350,000.

Range and Average of Financial Assistance:

\$5,000/year - \$110,000/year. Generally, individual Centers will receive between \$5,000 - \$10,000 this fiscal year to continue maintenance and operation of the Web site. An approximate average of the annual financial assistance awards that will be made this current fiscal year is \$27,000.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Center Website content continued to be updated. Other examples include: Construction Industry Compliance Assistance Center continues to partner with AGC; Healthcare Environmental Resource Center seeks third party partnerships; Transportation Environmental Resource Center created a greenhouse gas calculator; the Center Platform created a hazardous waste portal. Fiscal Year 2017: Center Website content continued to be updated. Other examples include: Construction Industry Compliance Assistance Center continues to partner with AGC; Healthcare Environmental Resource Center seeks third party partnerships; Transportation Environmental Resource Center created a greenhouse gas calculator; the Center Platform created a hazardous waste portal. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Compliance Assistance Centers are subject to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Costs will be determined in accordance with 2 CFR 200 Subpart E for State and local governments and Indian Tribes and for educational institutions, 2 CFR 200 Subpart E for non-profit institutions, and FAR Part 31 for profit makers. Additional information pertinent to the Centers program can be found at www.assistancecenters.net. Centers brochures and/or fact-sheets can be obtained by calling the EPA Compliance Assistance Centers Team Leader at (202) 564-7076

Regional or Local Office:

See Regional Agency Offices. Kenneth Harmon, Local Government Center Lead, Education Sector Lead, 1200 Pennsylvania Ave., NW, Washington, DC 20460, Tele: (202) 564-7049, Fax: (202) 564-0037, email: harmon.kenneth@epa.gov.

Headquarters Office:

Tracy Back, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W. Mail Code: 2224A, Washington, District of Columbia 20460 Email: back.tracy@epa.gov Phone: (202) 564-7076.

Website Address:

<http://epa.gov/compliance/assistance/centers/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Center Website content continued to be updated. Other examples include: Construction Industry Compliance Assistance Center continues to partner with AGC; Healthcare Environmental Resource Center seeks third party partnerships; Transportation Environmental Resource Center created a greenhouse gas calculator; the Center Platform created a hazardous

waste portal. Fiscal Year 2017: Center Website content continued to be updated. Other examples include: Construction Industry Compliance Assistance Center continues to partner with AGC; Healthcare Environmental Resource Center seeks third party partnerships; Transportation Environmental Resource Center created a greenhouse gas calculator; the Center Platform created a hazardous waste portal. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Non-competitive proposals are judged for (a) technical merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project; and (2) relationship to program objectives.

66.306 ENVIRONMENTAL JUSTICE COLLABORATIVE PROBLEM-SOLVING COOPERATIVE AGREEMENT PROGRAM (EJCPS)

FEDERAL AGENCY:

Office of Environmental Justice, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3); Solid Waste Disposal Act, Section 8001(a); Safe Drinking Water Act, Section 1442(b)(3); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a); Clean Air Act, Section 103(b)(3); Toxic Substances Control Act, Section 10(a); Marine Protection, Research, and Sanctuaries Act, Section 203.

OBJECTIVES:

The program's mission/objective is to award cooperative agreements to eligible non-profit organizations to support projects which demonstrate the use of the Environmental Justice Collaborative Problem-Solving Model as they address the environmental/public health issues in their community.

Funding Priority - Fiscal Year 2016:

The purpose of the EJCPS Program is for EPA to provide financial assistance to support community-based non-profit organizations as they create collaborations and partnerships with other stakeholders (e.g., industry, government, academia, etc.) to develop and implement solutions that will significantly address environmental and/or public health issues at the local level. In an effort to support sustainability and community revitalization, organizations are encouraged to identify connections between the proposed project activities and any neighborhood, local, city or regional land use planning efforts

Due to the limited availability of funding resulting in the inability to offer multiple assistance programs, this program will not be funded in FY 2017.

It is not clear if this program will be appropriated funding in FY 2018. There are no planned FY 2018 priorities pending final Congressional action on the budget.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. The recipient cannot use these funds for the following activities under this program: litigation against the Federal government or any other government entity; construction; lobbying; matching funds for other Federal grants; travel for Federal employees; and human health studies (taking blood or other information from humans).

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

An eligible applicant MUST BE: an incorporated non-profit organization; OR a Native American tribal government (Federally recognized) (AND) located within the same territory, commonwealth, or tribe that the proposed project will be located. In addition, an eligible applicant must be able to demonstrate that it has worked directly with the affected community. An "affected community" for the purposes of this assistance agreement program is a community that is disproportionately impacted by environmental harms and risks and has a local environmental and public health issue that is identified in the proposal

INELIGIBLE: colleges and universities; hospitals; state and local governments; quasi-governmental entities (e.g., water districts, utilities)*; and non-profit organizations that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995.

*Generally, a quasi-governmental entity is one that: (1) has a close association with the government agency, but is not considered a part of the government agency; (2) was created by the government agency but is exempt from certain legal and administrative requirements imposed on government agencies; or (3) was not created by the government agency but performs a public purpose and is significantly supported financially by the government agency.

Beneficiary Eligibility:

Non-Profit Community Groups as described in 081 above.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200 Subpart E. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through. <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 150 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cooperative agreements will be awarded for a 2-3 year project period. Activities must be completed within the project timeframe, and all cooperative agreements will be fully funded at the time of award. See the following for information on how assistance is awarded/released: Grant award is fully funded at time of award and the recipient will draw down funds on an as needed basis.

Reports:

At a minimum, written semi annual progress reports are required. The PO is also encouraged to communicate with the recipient on a monthly basis. No cash reports are required. At a minimum, semi annual progress reports are required. No expenditure reports are required. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 16 \$20,809,000; FY 17 est \$0; and FY 18 est \$0 - FY 2016 \$20,809,000 FY2017 \$0 FY2018 \$0.

Range and Average of Financial Assistance:

Range: \$15,000 to \$900,000 per fiscal year. Average: \$275,000 (estimated).

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The FY 2016 Consolidated Appropriations Act provided \$21,000,000 (\$20,809,000 with rescission applied) for EPA to issue grants to states and tribes to assist with implementing environmental programs. EPA provided \$19,809,000 to states and territories to support implementation of air and state-led climate activities and other state-identified high priority activities. Based on EPA's grant guidance, states are to direct at least 65% of their funding to support air work and may use up to 35% of their funding on other state-identified activities. EPA also provided \$1,000,000 to tribes that have obtained authorization to develop water quality standards. All 56 states, territories, and the District of Columbia accepted their allocated share of multipurpose grant funding. Tribes are using multipurpose funding to complement and enhance implementation of their water quality standards programs in conjunction with existing Clean Water Act Section 106 activities. Fiscal Year 2017: NA. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Collaborative Problem Solving cooperative agreements are subject to EPA's General Grant Regulations, 2 CFR 200 and any other program regulations.

Regional or Local Office:

None.

Headquarters Office:

Sheila Lewis Office of Environmental Justice, USEPA
1200 Pennsylvania Avenue, NW, Mail Code 2201A, Washington, District of Columbia 20460 Email: lewis.sheila@epa.gov Phone: (202) 564-0152

Website Address:

<http://www.epa.gov/compliance/environmentaljustice/grants/ej-cps-grants.html>

RELATED PROGRAMS:

66.309 Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice; 66.604 Environmental Justice Small Grant Program ; 66.950 Environmental Education and Training Program; 66.951 Environmental Education Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The FY 2016 Consolidated Appropriations Act provided \$21,000,000 (\$20,809,000 with rescission applied) for EPA to issue grants to states and tribes to assist with implementing environmental programs. EPA provided \$19,809,000 to states and territories to support implementation of air and state-led climate activities and other state-identified high priority activities. Based on EPA's grant guidance, states are to direct at least 65% of their funding to support air work and may use up to 35% of their funding on other state-identified activities. EPA also provided \$1,000,000 to tribes that have obtained authorization to develop water quality standards. All 56 states, territories, and the District of Columbia accepted their allocated share of multipurpose grant funding. Tribes are using multipurpose funding to complement and enhance implementation of their water quality standards programs in conjunction with existing Clean Water Act Section 106 activities. Fiscal Year 2017: NA. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.309 SURVEYS, STUDIES, INVESTIGATIONS, TRAINING AND SPECIAL PURPOSE ACTIVITIES RELATING TO ENVIRONMENTAL JUSTICE

(EJSS)

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Toxic Substances Control Act, Section 10(a); Comprehensive Environmental Response, Compensation and Liability Act of 1980 (CERCLA), Section 311(c); Clean Air Act, Section 103(b)(3); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a); Clean Water Act, Section 104(b)(3); Marine Protection, Research, and Sanctuaries Act, Section 203; Solid Waste Disposal Act, Section 8001(a); Safe Drinking Water Act, Section 1442(c)(3).

OBJECTIVES:

The program's mission/objective is to provide funding in support of surveys, studies and investigations, and special purpose assistance programs as they relate to environmental and/or public health issues, with a particular emphasis on environmental justice.

Funding Priority for 2016

Activities that support community-based participatory surveys, studies and investigations, and special purpose assistance that address environmental justice concerns. EPA defines "environmental justice" as the fair treatment and meaningful involvement of all people regardless of race, color, national origin or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies. Fair treatment means that no one group of people, including racial, ethnic, or socioeconomic groups, should bear a disproportionate share of the negative environmental consequences resulting from industrial, municipal, and commercial operations or the execution of federal, state, local, and tribal environmental programs and policies. Meaningful involvement means that: (1) potentially affected community residents have an appropriate opportunity to participate in decisions about a proposed activity that will affect their environment and/or health; (2) the public's contribution can influence the regulatory agency's decision; (3) the concerns of all participants involved will be considered in the decision-making process; and (4) the decision-makers seek out and facilitate the involvement of those potentially affected. Assistance agreements may be associated with air quality, safe drinking water quality, water quality, hazardous waste, toxic substances, and/or pesticides.

Funding Priority for 2017

Activities that support community-based participatory surveys, studies and investigations, and special purpose assistance that address environmental justice concerns. EPA defines "environmental justice" as the fair treatment and meaningful involvement of all people regardless of race, color, national origin or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies. Fair treatment means that no one group of people, including racial, ethnic, or socioeconomic groups, should bear a disproportionate share of the negative environmental consequences resulting from industrial, municipal, and commercial operations or the execution of federal, state, local, and tribal environmental programs and policies. Meaningful involvement means that: (1) potentially affected community residents have an appropriate opportunity to participate in decisions about a proposed activity that will affect their environment and/or health; (2) the public's contribution can influence the regulatory agency's decision; (3) the concerns of all participants involved will be considered in the decision-making process; and (4) the decision-makers seek out and facilitate the involvement of those potentially affected. Assistance agreements may be associated with air quality, safe drinking water quality, water quality, hazardous waste, toxic substances, and/or pesticides.

FY 2018

We do not have an estimated budget amount at this time. This information will be updated when we receive further information.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. The recipient cannot use these funds for the

following activities under this program: litigation against the Federal government or any other government entity; construction; lobbying; matching funds for other Federal grants; travel for Federal employees; and human health studies (taking blood or other information from humans).

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Assistance under this program is generally available to States, territories, Indian Tribes, intertribal consortia, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, and other public or private nonprofit institutions which submit applications proposing projects concerning environmental justice issues with significant technical merit and relevance to EPA's mission. Some of EPA's statutes may limit assistance to specific types of interested applications. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, and other public or private nonprofit institutions.

Credentials/Documentation:

Costs will be determined in accordance with the provisions of 2 CFR 200 Subpart E apply for State and local governments and Indian Tribes, the provisions of 2 CFR 200 Subpart E for educational institutions, the provisions of 2 CFR 200 Subpart E for nonprofit institutions, and FAR Part 31 for profit makers. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are normally funded on a 12-month basis (annual). Most of OEJ awarded grants and cooperative agreements have project periods ranging from one year to three years, and generally, grants and cooperative agreements are fully funded at the time of award. See the following for information on how assistance is awarded/released: Grant award fully funded at time of award and recipient will draw down funds on an as needed basis.

Reports:

Semi-annual reports must be submitted to the Project Officer for the duration of the project period. Final reports must be submitted to the Project Officer upon completion of the project. The EPA Project Officer will determine whether additional reports are appropriate and necessary. Program reports are required. No cash reports are required. At a minimum, semi annual progress reports are required. Expenditure Reports may be required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-8145-0-7-304; 68-0103-0-1-304; 68-0108-0-1-304; 68-0107-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 \$30,000; FY 17 est \$0; and FY 18 est \$0 - FY 16 \$30,000; FY 17 \$0.

Range and Average of Financial Assistance:

\$15,000 to \$35,000/fiscal year; \$20,000.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: n FY 2016, two awards totaling \$35,000, were made to support the study and investigation of issues related to Environmental Justice. Fiscal Year 2017: Due to the limited availability of funding this program will not be funded in FY 2017. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, Studies, and Investigations grants and cooperative agreements are subject to EPA's General Grant Regulations 2 CFR 200 and any other program regulations.

Regional or Local Office:

See Regional Agency Offices. Region 1 Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont: Primary Contact: Sharon Wells, Wells.Sharon@epa.gov, USEPA Region 1 (RAA), One Congress Street - Suite 1100, Boston, MA 02203-0001; Region 2 New Jersey, New York, Puerto Rico, U.S. Virgin Islands: Primary Contact: Tasha Frazier (212) 637-3861, frazier.tasha@epa.gov, USEPA Region 2, 290 Broadway, 26th Floor, New York, NY 10007; Region 3 Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia: Primary Contact: Reginald Harris (215) 814-2988, harris.reggie@epa.gov, USEPA Region 3 (3DA00), 1650 Arch Street, Philadelphia, PA 19103-2029. Region 4 Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee: Primary Contact: Deborah Carter, Carter.Deborah@epa.gov, USEPA Region 4 Main Office (404) 562-8407, 61 Forsyth Street, Atlanta, GA 30303-8960; Region 5 Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin: Primary Contact: Erin Newman, Newman.Erin@epa.gov, USEPA Region 5 (DM7J), 77 West Jackson Boulevard, Chicago, IL 60604-3507; Region 6 Arkansas, Louisiana, New Mexico, Oklahoma, Texas: Primary Contact: Charlotte Runnels, Runnels.Charlotte@epa.gov, USEPA Region 6, Fountain Place, 13th Floor, 1445 Ross Avenue (RA-D), Dallas, Texas 75202-2733; Region 7 Iowa, Kansas, Missouri, Nebraska: Primary Contact: Brendan Corazzin, Corazzin.Brendan@epa.gov USEPA Region 7, 901 North 5th Street (RGAD/ECO), Kansas City, KS 66101; Secondary: Althea Moses (913) 551-7649, mooses.althea@epa.gov, Region 8 Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming: Primary Contact: Corbin Darling, Darling.Corbin@epa.gov, USEPA Region 8 (8ENF-EJ), 999 18th Street, Suite 300, Denver, CO 80202-2466; Region 9 Arizona, California, Hawaii, Nevada, American Samoa, Guam: Primary Contact: Deldi Reyes, Reyes.Deldi@epa.gov, USEPA Region 9 CMD-1, 75 Hawthorne Street, San Francisco, CA 94105. Region 10 Alaska, Idaho, Oregon, Washington: Primary Contact: Sheryl Stohs, Stohs.Sheryl@epa.gov, USEPA Region 10 (OMP-143), 1200 Sixth Avenue, Seattle, WA 98101

Region 1 Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont: Primary Contact: Amy Braz (617) 918-1346, braz.amy@epa.gov, USEPA Region 1 (RAA), One Congress Street - Suite 1100, Boston, MA 02203-0001; Region 2 New Jersey, New York, Puerto Rico, U.S. Virgin Islands: Primary Contact: Terry Wesley (212) 637-5027, wesley.terry@epa.gov, USEPA Region 2, 290 Broadway, 26th Floor, New York, NY 10007; Secondary: Tasha Frazier (212) 637-3861, frazier.tasha@epa.gov. Region 3 Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia: Primary Contact: Reginald Harris (215)

814-2988, harris.reggie@epa.gov, USEPA Region 3 (3DA00), 1650 Arch Street, Philadelphia, PA 19103-2029. Region 4 Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee: Primary Contact: Daphne Wilson (404) 562-9890, wilson.daphne@epa.gov, USEPA Region 4 Main Office (404) 562-8407, 61 Forsyth Street, Atlanta, GA 30303-8960; Secondary: Ella Melendon (404) 562- 8316, mclendon.ella@epa.gov. Region 5 Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin: Primary Contact: Lara Lasky (312) 353-5614, lasky.lara@epa.gov, USEPA Region 5 (DM7J), 77 West Jackson Boulevard, Chicago, IL 60604-3507. Region 6 Arkansas, Louisiana, New Mexico, Oklahoma, Texas: Primary Contact: Israel Anderson (214) 665-3138, anderson.israel@epa.gov, USEPA Region 6, Fountain Place, 13th Floor, 1445 Ross Avenue (RA-D), Dallas, Texas 75202-2733; Region 7 Iowa, Kansas, Missouri, Nebraska: Primary Contact: Althea Moses (913) 551-7649, mooses.althea@epa.gov, USEPA Region 7, 901 North 5th Street (RGAD/ECO), Kansas City, KS 66101. Region 8 Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming: Primary Contact: April Nowak (303) 312-6528, nowak.april@epa.gov, USEPA Region 8 (8ENF-EJ), 999 18th Street, Suite 300, Denver, CO 80202-2466; Region 9 Arizona, California, Hawaii, Nevada, American Samoa, Guam: Primary Contact: Ladonna Thomas, (415)972-3375, thomas.ladonna@epa.gov, USEPA Region 9 CMD-1, 75 Hawthorne Street, San Francisco, CA 94105. Region 10 Alaska, Idaho, Oregon, Washington: Primary Contact: Sheryl Stohs (206) 553-, stohs.sheryl@epa.gov, USEPA Region 10 (OMP-143), 1200 Sixth Avenue, Seattle, WA 98101.

Headquarters Office:

Jacob Burney Environmental Protection Agency, Grants and Interagency Management Division, 1200 Pennsylvania Avenue, NW., Mail Code 3903R, Washington, DC 20460. For program information contact: Office of Environmental Justice, Jacob Burney, 1200 Pennsylvania Avenue, NW., Mail Code 2201A, Washington DC 20460. Phone: (202)564-2907. Fax: 202-501-1107. Email: burney.jacob@epa.gov. All EPA Regions and program offices may provide financial assistance under this assistance program.

, Washington, District of Columbia 20460 Email: burney.jacob@epa.gov
Phone: (202) 564-2907

Website Address:

<http://www.epa.gov/environmentaljustice>

RELATED PROGRAMS:

66.305 Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers ; 66.604 Environmental Justice Small Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: FY 2016, two awards totaling \$35,000, were made to support the study and investigation of issues related to Environmental Justice. Fiscal Year 2017: Due to the limited availability of funding this program will not be funded in FY 2017. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.310 CAPACITY BUILDING GRANTS AND COOPERATIVE AGREEMENTS FOR COMPLIANCE ASSURANCE AND ENFORCEMENT ACTIVITIES IN INDIAN COUNTRY AND OTHER TRIBAL AREAS

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Clean Air Act, Section 103; Solid Waste Disposal Act, Section 8001; Toxic Substances Control Act, Section 10; Safe Drinking Water Act, Section 1442; Marine Protection, Research and Sanctuaries Act, Section 203; National Environmental Policy Act, Section 102(2)(F); Indian Environmental General Assistance Program Act.

OBJECTIVES:

Providing financial resources to build and improve the compliance assurance and enforcement capacity of federally-recognized Indian tribes (tribes), inter-tribal consortia, or tribal organizations. Such capacity building efforts may include economic, social science, statistical research, development, studies, surveys, demonstrations, investigations, public education, training, and fellowships to the extent authorized under the federal environmental laws listed above. This Catalog of Federal Domestic Assistance (CFDA) number covers EPA's Environmental Programs and Management (EPM) resources targeted for compliance assurance and enforcement in Indian country and other tribal areas, including those in Alaska.

Funding Priority - Fiscal Year 2016: In fiscal year 2017, EPA's Office of Enforcement and Compliance Assurance anticipated priorities include, but are not limited to, compliance monitoring and enforcement activities under the Safe Drinking Water Act, the Clean Water Act, and the Clean Air Act.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funding is for fixed or known periods associated with specific projects. Project grants can include fellowships, scholarships, research grants, training grants, traineeships, experimental and demonstration grants, evaluation grants, planning grants, technical assistance grants, survey grants, and construction grants. OECA identifies special focus areas each funding cycle (annually). Previous years have included calls for proposals focusing on conducting sanitary surveys at tribal public water systems, compliance assistance to tribal solid waste programs, and innovative enforcement and compliance assurance projects, including performance measurement, data quality, and public access to information. Consistent with existing statutory authority, the funds are used to support compliance assistance, compliance monitoring, and enforcement activities.

The funding is for fixed or known periods associated with specific projects. Project grants can include fellowships, scholarships, research grants, training grants, traineeships, experimental and demonstration grants, evaluation grants, planning grants, technical assistance grants, survey grants, and construction grants. OECA identifies special focus areas each funding cycle (annually). Previous years have included calls for proposals focusing on conducting sanitary surveys at tribal public water systems, compliance assistance to tribal solid waste programs, and innovative enforcement and compliance assurance projects, including performance measurement, data quality, and public access to information. Consistent with existing statutory authority, the funds are used to support compliance assistance, compliance monitoring, and enforcement activities.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Tribal Organizations, Inter-tribal Consortia, Federal, and State Organizations, Colleges and Universities, and non-for-profit organizations; eligible applicants must also have enforcement and compliance assurance responsibilities in Indian country and/or other tribal areas or provide support for enforcement and compliance assurance projects in Indian country and/or other tribal areas. EPA may also limit eligibility for certain competitive funding opportunities under this CFDA to: (1) tribes and intertribal consortia located in the Region where a project is going to be performed; and/or (2) applicants that have access to Indian country or other tribal areas.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Inter-tribal Consortia and Tribal Organizations; Federal, State, and Multi-jurisdictional State Organizations; colleges and universities; and non-for-profit organizations.

Credentials/Documentation:

OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency must submit all initial applications for funding through <http://www.grants.gov>

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days from the date of submission of the final proposal.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is normally provided in one lump sum. Projects may span multiple years. There is no restriction on the time permitted to spend the money awarded, although money must be spent prior to expiration associated with the specified federal fiscal year. See the following for information on how assistance is awarded/released: To be determined at the time of award. x .

Reports:

Semi-annual reports must be submitted to the Project Officer for the duration of the project period. Final reports must be submitted to the Project Officer upon completion of the project. The EPA Project Officer will determine whether additional reports are appropriate and necessary. Program reports are required. No cash reports are required. Progress reports are required. Expenditure Reports may be required under this program. Performance monitoring is required by this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each cooperative agreement, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 16 \$129,000; FY 17 est \$140,000; and FY 18 est \$60,000 - FY 2016 \$129,000. FY 2017 \$140,000. FY 2018 Estimate: \$60,000. If available and distributed, EPA's Office of Enforcement and Compliance Assurance uses these resources to enable the EPA Regions to fund projects that are designed to improve environmental compliance and enforcement in Indian country.

Range and Average of Financial Assistance:

\$3000 to \$30,000/fiscal year; \$30,000/fiscal year.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: - Expansion of TRI stakeholder education and outreach;

- Use of various avenues to deliver TRI related training to interested stakeholders such as online seminars;

- Fiscal Year 2017: - Expansion of TRI stakeholder education and outreach;

- Use of various avenues to deliver TRI related training to interested stakeholders such as online seminars;

- Engagement with new communities and organizations that could benefit from increased awareness, understanding and use of TRI;

- Promotion of access and use of TRI and other related data among grass roots community organizations such as producing analyses or training community leaders; and

- Planning activities for a 2017 National Training Conference. Fiscal Year 2018: Not Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 C.R.F. 200 (Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards) and 40 C.F.R. Part 35 (State, Tribal and Local Assistance Grants)

Regional or Local Office:

See Regional Agency Offices. Contact Jonathan Binder at binder.jonathan@epa.gov or 202-564-2516.

Headquarters Office:

Jonathan Binder, Contact Jonathan Binder, The Office of Compliance, Environmental Protection Agency, Ariel Rios Building, 1200 Pennsylvania Ave., NW., Washington, DC 20460. Telephone: (202) 564-2516 and electronic mail: binder.jonathan@epa.gov. Office of Compliance, Environmental Protection Agency, Ariel Rios Building, 1200 Pennsylvania Ave., NW., Washington, District of Columbia 20460 Email: binder.jonathan@epa.gov Phone: (202) 564-2516.

Website Address:

<http://www.epa.gov/compliance/state/grants/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: FY 2016=2017, EPA's Office of Enforcement and Compliance Assurance provided funds to the EPA Regions to support compliance assurance and enforcement activities in Indian country. Fiscal Year 2017: FY 2017, EPA's Office of Enforcement and Compliance Assurance provided funds to the EPA Regions to support compliance assurance and enforcement activities in Indian country. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

If funds are made available in Fiscal Year 2013, the evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.313 INTERNATIONAL COMPLIANCE AND ENFORCEMENT PROJECTS**FEDERAL AGENCY:**

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, Public Law 92-516, 7 U.S.C 136; Marine Protection, Research and Sanctuaries Act of 1972, Section 203; Toxic Substances Control Act, Section 10, Public Law 94-469, 15 U.S.C 2601; Clean Air Act, Section 103, Public Law 95-95, 42 U.S.C 7401; Solid Waste Disposal Act, Section 8001; Safe Drinking Water Act, Section 1442(a)&(c), Public Law 93-523; National Environmental Policy Act, Section 102(2)(F); Clean Water Act, Section 104, Public Law 95-217, 33 U.S.C 1251.

OBJECTIVES:

The primary purpose of the proposed capacity building projects is to protect human health and the environment while advancing U.S. national interests through international environmental collaboration on environmental compliance and enforcement. This mission is supported by these strategic objectives: 1) Reduce Exposure to Toxic Chemicals; 2) Improve Urban Air Quality; 3) Reduce Hazardous Waste and Improve Waste Management; 4) Limit Global GHG Emissions and Other Climate-Forcing Pollutants; 5) Improve access to Clean Water; and 6) Build Strong Environmental Institutions and Legal Structures.

International capacity building plays a key role in protecting human health, communities and the environment by providing technical cooperation to help countries improve environmental compliance and enforcement in order to reduce air pollution, better manage air quality and global climates, manage drinking and surface water quality, and restore the land and mitigate sources of land pollution. OECA will work to transfer appropriate compliance and enforcement tools and techniques to key countries and regions as we collaborate with partners to improve compliance with domestic environmental laws in those countries. In addition, we will continue efforts to strengthen domestic, regional, and global networks on criminal and civil/administrative enforcement, build capacity in developing countries to monitor and enforce compliance with environmental laws, and raise awareness of the role of enforcement in ensuring sustainable development.

OECA plays a key role in ensuring trade-related activities support environmental protection, particularly related to effective enforcement of environmental laws. Initiatives relating to environmental trade and investment are carried out bilaterally, multilaterally or in connection with multilateral institutions such as the International Network for Environmental Compliance and Enforcement (INECE) and associated regional networks, INTERPOL's Environmental Crime Program, the World Trade Organization (WTO), World Bank, European Union (EU), and others. Also, OECA will support protection of human health and the ecosystem of North America through the United States participation in the North American Agreement on Environmental Cooperation and through leadership in the Enforcement Working Group of the Commission on Environmental Cooperation (CEC), the Organization for Economic Cooperation and Development (OECD), and other international entities. OECA will provide targeted capacity building support under the environmental cooperation agreements developed parallel to U.S. free trade agreements.

Funding Priority - Fiscal Year 2016: EPA expects to continue funding for ongoing activities that advance environmental compliance worldwide. Possible funding priorities include efforts to build capacity for compliance and enforcement in El Salvador, South America, and other parts of the world; support for international conferences on compliance and enforcement; and specific projects such as establishing a compliance and enforcement network in South America, preventing the illegal trans-boundary shipments of hazardous wastes and e-wastes, addressing compliance and enforcement issues in air pollution laws that may impact global climate change, and international studies of best practices to exchange information among enforcement practitioners and policy makers.

Fiscal Year 2017: EPA expects to continue funding for ongoing activities that advance environmental compliance worldwide. Possible funding priorities include efforts to build capacity for compliance and enforcement in, South America, China and other parts of the world; support for international conferences on compliance and enforcement; and specific projects such as establishing a compliance and enforcement network in South America, preventing the illegal transboundary shipments of hazardous wastes and e-wastes, addressing compliance and enforcement issues in air pollution laws that may impact global climate change, and international studies of best practices to exchange information among enforcement practitioners and policy makers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Discretionary funds are available for the awards under this program. Grants and cooperative agreements are available to support recipients' allowable direct cost expenditures associated with the approved project plus allocable portions of allowable indirect costs of the institution, in accordance with established EPA policy, 40 CFR parts 30 and 31. Funding awarded for international research under these projects does not include research within the purview of EPA's Office of Research and Development. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. The recipient cannot use these funds for the following activities under this program: litigation against the Federal government or any other government entity; construction; lobbying; matching funds for other Federal grants; travel for Federal employees; and human health studies (taking blood or other information from humans).

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States and local governments, territories and possessions, foreign governments, international organizations, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of Enforcement and Compliance Assurance's mission. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States and local governments, territories and possessions, foreign governments, international organizations, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions.

Credentials/Documentation:

Office of Enforcement and Compliance Assurance (OECA) may require applicants to submit documentation of non-profit status. OECA may request applicants to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. OECA may also ask applicants or principal investigators to provide curriculum vitae and relevant publications. 2 CFR 200 and 1500 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms as furnished by the Federal agency and required by 2 CFR Parts 200 and 1500 and must be used for this program. EPA requires final applications to be made on Standard Form 424.

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Under EPA Order No. 5700.5A1, Policy for Competition in Assistance Agreements (9/12/02), Assistance awards to Foreign Governments and to United Nations agencies and similar International Organizations, such as the Organization of American States and Organization for Economic Cooperation and Development (OECD), may be exempted from competition at the discretion of OECA. For competitive awards, Requests for Initial Proposals or Requests for Applications will specify application procedures.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For non-competitive awards, OECA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and a technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new

grant, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to OECA's priorities, and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. See the following for information on how assistance is awarded/released: Payments will be on an advance letter of credit or reimbursement basis; recipient must request the initial advance payment on SF 270, Request for Advance or Reimbursement.

Reports:

No program reports are required. No cash reports are required. Reporting requirements are identified at 2 CFR 200. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. Progress reports are required under this program. Expenditure Reports are required under this program. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 16 \$170,000; FY 17 est \$59,000; and FY 18 Estimate Not Available - (Project Grants) FY 16 est. \$170,000, FY 17 est. \$59,000.

Range and Average of Financial Assistance:

Range: \$30,000 - \$1,000,000; Average: FY 2010 est. \$400,000, FY 2011 est. \$300,000, FY 2012 est. \$620,000, FY 2013 est. \$150,000, FY 2014 est. \$0, FY 2015 est. \$275,000, FY 2016 est. \$170,000, FY 2017 est. \$59,000. Annual amounts fluctuate greatly because OECA usually issues a single large grants for multiple years with interim awards for other projects at much lower levels. We do not have an estimated budget amount for FY 2018 at this time. This information will be updated when we receive further information..

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, the program supported a reorganization and

update of the www.inece.org website, strengthening of partnerships in China and other regions, training for customs and port officials in Africa and Asia, and development of training materials on enforcement of laws to reduce climate change. Fiscal Year 2017: In FY 2017, the program continued to support the www.inece.org website and hosted a series of webinars on enforcement programmatic performance measures that lead to the publication of a white paper on best practices. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

These grants and cooperative agreements are subject to EPA's General Grant Regulations (2 CFR 200 and 1500). Costs will be determined in accordance with 2 CFR 200 Subpart E for State and local governments and Indian Tribes, 2 CFR 200 Subpart E for educational institutions, and 2 CFR 200 Subpart E for nonprofit institutions.

Regional or Local Office:

None. All assistance agreements issued under this CFDA will originate in the Office of Enforcement and Compliance Assurance (OECA) at EPA Headquarters.

Headquarters Office:

David Swack USEPA Headquarters
Ariel Rios Building
1200 Pennsylvania Avenue, N. W.
Mail Code: 2201A, Washington, District of Columbia 20460 Email:
swack.david@epa.gov Phone: 202-564-9963.

Website Address:

<http://www.epa.gov/enforcement/>

RELATED PROGRAMS:

66.310 Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas; 66.931 International Financial Assistance Projects Sponsored by the Office of International Affairs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: For FY 2016, funding has supported a training course in China on air monitoring technology, training for customs and port officials on movements of environmentally controlled products and wastes, and updates to the www.inece.org website. Fiscal Year 2017: In FY 2017, the project supported a series of webinars on enforcement programmatic measures which led to a white paper with recommendations based on best international practices. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.419 WATER POLLUTION CONTROL STATE, INTERSTATE, AND TRIBAL PROGRAM SUPPORT

(106 Grants)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 106, Public Law 95-217, 33 U.S.C 1251.

OBJECTIVES:

To assist States (including territories, the District of Columbia, and Indian Tribes qualified under CWA Section 518(e)), and interstate agencies in establishing and maintaining adequate measures for prevention and control of surface and ground water pollution from both point and nonpoint sources.

Funding Priority - Fiscal Year 2016: States and Tribes will continue to focus on fulfilling their basic responsibilities under the CWA and, based upon a shared understanding with EPA of the environmental progress expected, will identify program activities that will best support attaining targeted environmental improvements. State priority efforts will include: (1) implementing monitoring strategies and the statistically-valid surveys to determine water quality status and trends; (2) fostering a watershed approach, including total maximum daily loads (TMDLs) and watershed plans designed to meet water quality standards; and (3) implementing concentrated animal feeding operations (CAFOs) and

storm water permitting programs. States and Tribes will work toward adoption of nutrient criteria for fresh water for state water quality standards. States NPDES Programs will support EPA in: (1) developing and strengthening systems to ensure the integrity of the program; (2) achieving and measuring environmental results; and (3) incorporating efficiencies in permitting program operations. States will also conduct source water protection actions to protect both ground water and surface waters used for drinking water. Tribes will continue to conduct watershed assessments and will maintain and improve their capacity to implement water quality programs through monitoring, assessments, planning, data management and standards development

Funding Priority for 2017

Funds will be awarded to assist in construction of municipal wastewater treatment works which are required to meet state and/or federal water quality standards and improve the water quality in the waters of the United States. Annual funding priorities are determined by the state in which the grantee is located. The project must be given priority by the state water pollution control agency through its project priority system and recommended for funding for approval by the EPA Regional Office.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are used for construction of municipal wastewater treatment works including privately owned individual treatment systems, if a municipality applies on behalf of a number of such systems. Such works may serve all or portions of individual communities, metropolitan areas, or regions. A project may include, but may not be limited to, treatment of industrial wastes. The grantee must require pretreatment of any industrial wastes which would otherwise be detrimental to efficient operation and maintenance, or the grantee must prevent the entry of such waste into the treatment plant. The grantee must have or initiate an acceptable system of user charges. From FY 2004 onwards, American Samoa, Commonwealth of Northern Mariana Islands, Guam, Virgin Islands, and the District of Columbia may use up to four percent of the funds appropriated under Title VI for administration and closeout of their construction grant program. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Eligible entities include States (including the District of Columbia and territories), interstate water pollution control agencies as defined in the Federal Water Pollution Control Act, and Indian tribes qualified under CWA Section 518(e). Agencies making application for funds must annually submit their pollution-control program to the appropriate EPA Regional Administrator for approval. Requirements of the program are based on Section 106 of the Act, 2 CFR 200 and 1500 as applicable, and 40 CFR Parts 35 and 130. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States (including the District of Columbia), Territories, interstate water pollution control agencies and Indian tribes qualified under Section 518(e) of the Clean Water Act (CWA).

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200 Subpart E. State and interstate agencies and Indian tribes qualified under Section 518(e) of the Clean Water Act must show compliance with 40 CFR 35, Subpart A and Subpart B. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Informal meetings are held between regional office, State, territorial, and Indian tribe applicant agencies concerning program preparation. The standard application forms, as furnished by the Federal agency and required by 2 CFR 200 and 1500 as applicable, must be used for this program. The grant agreement must adequately reflect the priorities identified in the State/EPA Agreement. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The grants application must be submitted to the appropriate EPA Regional Office, Grants Administration Branch (see Appendix IV of the Catalog). The standard application forms as furnished by the Federal agency and required by 2 CFR 200 and 1500, as applicable, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>

Award Procedure:

Applications are reviewed by the appropriate EPA Regional Office and if approved, are signed by the Regional Administrator. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Clean Water Act, Chapter CFR 35.162. The regulation that implements the formula can be found at 40 CFR 35.162. As stated in the Clean Water Act, appropriated funds are allotted among the State and Interstate Water Pollution Control Agencies on the basis of the extent of the pollution problems in the respective States. The six components in the Section 106 State allotment formula selected to reflect the extent of the water pollution control problems in the United States are: (1) surface water area; (2) ground water use; (3) water quality impairment; (4) point sources; (5) non-point sources; and (6) population of urbanized areas. The set-aside for Interstate Water Pollution Control Agencies is 2.6 percent of the total State monies appropriated under Section 106. The interstate allotment formula consists of two parts: (1) a funding floor, and (2) a variable portion. To receive a Section 106 Water Pollution Control grant, a State or interstate agency must expend annually for recurrent Section 106 program expenditures an amount of non-federal funds at least equal to expenditures during the fiscal year ending June 30, 1971. A portion of the annual Section 106 appropriation is set-aside for eligible Indian Tribes qualified under CWA Section 518(e), and an allocation formula is used to distribute these funds to the EPA Regions. The Tribal allocation formula consists of both a base portion (which is currently equal to approximately \$65,000 times the total number of Tribes with Treatment in a manner similar to a State [TAS] approval for Section 106 grants in each EPA Region), plus a weighted variable portion. The variable portion is based upon the total tribal water area (50 percent), land area (25 percent), and reservation population (25 percent) in each EPA Region. Tribal work plan costs include the costs of planning, developing, establishing, improving, and maintaining a water pollution control program. The Regional Administrator may provide up to 95 percent of the approved work plan costs for Tribes or intertribal consortia establishing a Section 106 water pollution control program. The Regional Administrator may increase the maximum Federal share if the tribe or intertribal consortium can demonstrate in writing to the satisfaction of the Regional Administrator that fiscal circumstances within the Tribe or within each Tribe that is a member of an intertribal consortium are constrained to such an extent that fulfilling the 5 percent match requirement would impose undue hardship. Since 2005, additional funds have been allocated for the purposes of strengthening State and Territorial water quality monitoring programs.

Matching Requirements: To receive a Section 106 Water Pollution Control grant, a State or interstate agency must expend annually for recurrent Section 106 program expenditures an amount of non-federal funds at least equal to expenditures during the fiscal year ending June 30, 1971. A portion of the annual Section 106 appropriation is set-aside for eligible Indian Tribes qualified under CWA Section 518(e), and an allocation formula is used to distribute these funds to the EPA Regions. The Tribal allocation formula consists of both a base portion (which is currently equal to approximately \$65,000 times the total number of Tribes with Treatment in a manner similar to a State [TAS] approval for Section 106 grants in each EPA Region), plus a weighted variable portion. The variable portion is based upon the total tribal water area (50 percent), land area (25 percent), and reservation population (25 percent) in each EPA Region. Tribal work plan costs include the costs of planning, developing, establishing, improving, and maintaining a water pollution control program. The Regional Administrator may provide up to 95 percent of the approved work plan costs for Tribes or intertribal consortia establishing a Section 106 water pollution control program. The Regional Administrator may increase the maximum Federal share if the tribe or intertribal consortium can demonstrate in writing to the satisfaction of the Regional Administrator that fiscal circumstances within the Tribe or within each Tribe that is a member of an intertribal consortium are constrained to such an extent that fulfilling the 5 percent match requirement would impose undue hardship. Since 2005, additional funds have been allocated for the purposes of strengthening State and Territorial water quality monitoring programs.

This program has MOE requirements, see funding agency for further details. This program has MOE requirements; see funding agency for further details.

Length and Time Phasing of Assistance:

One year; payments are approved quarterly and disbursed monthly. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in

the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 16 \$26,848,000; FY 17 est \$26,170,000; and FY 18 est \$26,000,000 - Title II obligations available for construction grants to District of Columbia, American Samoa, Guam, Northern Mariana Islands, and the Virgin Islands are: FY 16 \$26,848,000; FY 17 \$26,170,000; and FY 18 est. \$26,000,000.

Range and Average of Financial Assistance:

Range: \$30,000 to \$12,000,000/fiscal year; Average: \$5,000,000/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year 2016, 5 grants for approximately \$26 million were awarded. Projects funded included new and expanded wastewater treatment plants, infiltration/inflow correction, stormwater projects, new collector sewers, nutrient removal, new and rehabilitated sewer lines, and green infrastructure. Fiscal Year 2017: In Fiscal Year 2017, it is anticipated that 5 grants for approximately \$26 million will be awarded. Projects to be funded include new and expanded wastewater treatment plants, infiltration/inflow correction, stormwater projects, new collector sewers, nutrient removal, new and rehabilitated sewer lines, and green infrastructure. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (2 CFR 200 and 1500 as applicable); Handbook of Procedures, State and Interstate Program Grants; Environmental Protection Agency, State and Local Assistance Programs, Grants for Water Quality Planning, Management and Implementation (40 CFR Part 130 and 40 CFR Part 35 Subpart A and B).

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Robyn Delehanty Office of Wastewater Management (4201M), U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W.

Tara Johnson, Sustainable Communities Branch (4204M), Municipal Support Division, Office of Wastewater Management, Environmental Protection Agency, 1200 Pennsylvania Ave., NW, Washington, DC 20460. Telephone: (202) 564-6186, Fax: (202) 501-2346, E-mail: johnson.tara@epa.gov, Washington, District of Columbia 20460 Email: delehanty.robyn@epa.gov Phone: (202) 564-3880

Website Address:

<http://www2.epa.gov/water-pollution-control-section>

RELATED PROGRAMS:

66.454 Water Quality Management Planning; 66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: New and expanded wastewater treatment plants, infiltration/inflow correction, stormwater projects, new collector sewers, nutrient removal, new and rehabilitated sewer lines, and green infrastructure projects. Fiscal Year 2017: New and expanded wastewater treatment plants, infiltration/inflow correction, stormwater projects, new collector sewers, nutrient removal, new and rehabilitated sewer lines, and green infrastructure projects. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

Section 106 funds are awarded to State, territory, interstate, and Indian tribal agencies in accordance with overall water quality management needs, the requirements of programs covered by these agreements, and EPA Program guidance. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.424 SURVEYS, STUDIES, INVESTIGATIONS, DEMONSTRATIONS, AND TRAINING GRANTS - SECTION 1442 OF THE SAFE DRINKING WATER ACT

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

National Environmental Policy Act, Section 102(2)(F); Safe Drinking Water Act, Section 1442, Public Law 104-182.

OBJECTIVES:

1) To support surveys, studies, investigations, demonstrations, and training associated with source water and drinking water; (2) To develop and expand capabilities of programs to carry out the purposes of the Safe Drinking Water Act (SDWA).

Funding Priority FY 2016

Funding Priority - Fiscal Year 2016: Funding priorities include providing assistance for the following: (1) research on the occurrence of contaminants in drinking water; (2) source water protection and treatment methods and measures to protect water quality in the distribution system and at the tap; (3) tribal source water protection program support and tribal operator certification program support; (4) tribal capacity development program support; and (5) assistance to tribes in administration of the Drinking Water Infrastructure Grants to identify health effects associated with drinking water contaminants. Funding priorities also include providing assistance for the following training and technical assistance activities: (1) training personnel who manage or operate public water systems; (2) training personnel for occupations involving the public health aspects of providing safe drinking water; (3) training to develop and expand the capabilities of states and municipalities to carry out the SDWA; and (4) technical assistance to enable small public water systems to meet the requirements of SDWA.

Funding Priority - Fiscal Year 2017: Funding priorities include providing assistance for the following: (1) research on the occurrence of contaminants in

drinking water; (2) source water protection and treatment methods and measures to protect water quality in the distribution system and at the tap; (3) tribal source water protection program support and tribal operator certification program support; (4) tribal capacity development program support; and (5) assistance to tribes in administration of the Drinking Water Infrastructure Grants to identify health effects associated with drinking water contaminants. Funding priorities also include providing assistance for the following training and technical assistance activities: (1) training personnel who manage or operate public water systems; (2) training personnel for occupations involving the public health aspects of providing safe drinking water; (3) training to develop and expand the capabilities of states and municipalities to carry out the SDWA; and (4) technical assistance to enable small public water systems to meet the requirements of SDWA.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funds will support research, investigations, studies, demonstrations, and training associated with source water and drinking water. Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved scopes of work, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Specific uses and restrictions on funds will be described in detail in the competitive announcements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S. (including the District of Columbia); public and private universities and colleges; hospitals; laboratories; public or private nonprofit institutions; and individuals. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions and individuals.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200 Subpart E

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should

consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to the provisions of 2 CFR 200 and 1500 as applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms, as furnished by the Federal agency and required by 2 CFR 200 and 1500 as applicable, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, announcements for competitive funding opportunities will specify application procedures. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for water surveys, studies, demonstrations, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policy on the competitive grant process.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula. For certain competitive funding opportunities under this CFDA description, the Agency may include matching requirements consistent with the Agency's Assistance Agreement Competition Policy. Match may be cash or in-kind consistent with the regulation governing match requirements (2 CFR 200 and 1500). Specific matching requirements will be described in detail in the competitive announcements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA may negotiate the project period with each applicant based on project requirements. The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 2 CFR 200 and 1500, as applicable, apply. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0107-0-1-304; 68-0108-0-1-304.

Obligations:

(Project Grants) FY 16 \$12,311,200; FY 17 est \$12,700,000; and FY 18 est \$1,000,000 - FY 16 \$12,311,200; FY 17 est. \$12,700,000; and FY 18 est. \$1,000,000.

Range and Average of Financial Assistance:

Range: \$10,000 to \$6,900,000/fiscal year; Average: \$1,150,000/fiscal year.

TAFS Codes:

68-0108; 68-0107.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Competitions are held throughout the year at Headquarters and in the Regions based on available funds and Agency priorities. Competitive announcements typically include the number of anticipated awards. Five awards were made in FY 16 in support of drinking water and source water initiatives associated with developing and expanding capabilities of programs to carry out the purposes of the SDWA. Fiscal Year 2017: Competitions are held throughout the year at Headquarters and in the Regions based on available funds and Agency priorities. Competitive announcements typically include the number of anticipated awards. In FY 17, it is anticipated that additional support for drinking water and source water initiatives will be provided. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, and investigations grants and cooperative agreements are subject to the regulations at 2 CFR 200 and 1500. Training grants and cooperative agreements are subject to EPA's Regulations for Training Assistance (40 CFR Part 45).

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Joe Jackson, For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreements

Management Division, 1200 Pennsylvania Avenue, Mailcode (3903R), Washington, DC 20460. For program information contact: Office of Ground Water and Drinking Water, Joe A. Jackson, Phone: (202) 564-3817; FAX: (202) 564-3754; e-mail: jackson.joe-a@epa.gov.

, Washington, District of Columbia 20460 Email: jackson.joe-a@epa.gov Phone: (202) 564-3817. Fax: 202-564-3754

Website Address:

<http://water.epa.gov/drink/index.cfm>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development; 66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator; 66.716 Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Assisting states in effective implementation of SDWA requirements; assistance to states in ground water protection and conservation; developing utility planning tools; capacity development support; and source water protection through prevention. Fiscal Year 2017: Assisting states in effective implementation of SDWA requirements; assistance to states in ground water protection and conservation; developing utility planning tools; capacity development support; and source water protection through prevention. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.432 STATE PUBLIC WATER SYSTEM SUPERVISION

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act Amendments of 1996, Public Law 104-182; Safe Drinking Water Act of 1974, Public Law 93-523; Public Health Service Act; Safe Drinking Water Act of 1974, Public Law 96-502; Safe Drinking Water Act of 1974, Public Law 95-190; Safe Drinking Water Act of 1974, Public Law 96-16.

OBJECTIVES:

The objective of these grants is to provide financial assistance to eligible States and Tribes (those that have Primary Enforcement Responsibility for the Public Water System Supervision (PWSS) Program, for implementation and enforcement of the requirements of the Safe Drinking Water Act that apply to public water systems. In cases where a State or a Tribe does not have a Primary Enforcement Responsibility program, EPA is authorized to use funds that would have otherwise been made available to the State or the Tribe to assist it in direct implementation of the PWSS program. The fundamental goal of the PWSS Program, and the grants, is to ensure that public water systems comply with the National Primary Drinking Water Regulations listed in 40 CFR 141 (http://www.ecfr.gov/cgi-bin/text-idx?c=ecfr&tpl=/ecfrbrowse/Title40/40cfr141_main_02.tpl). State drinking water agencies, which have Primary Enforcement Responsibility for the PWSS Program, and Indian Tribes that receive PWSS grants are to use them to ensure that public drinking water systems, of all types, and of all sizes: (1) are currently in compliance with the drinking water regulations and remain in compliance, (2) are working towards and in the process of achieving compliance when these systems are noncompliant, and (3) are preparing for future compliance with any new drinking water regulations that will be taking effect in the current or following year. Furthermore, State drinking water agencies, which have Primary Enforcement Responsibility for

the PWSS Program, and Indian Tribes that receive PWSS grants are required to use the funds to update and maintain its PWSS program to comply with the National Primary Drinking Water Regulations Implementation requirements listed in 40 CFR 142

(<http://www.ecfr.gov/cgi-bin/text-idx?c=ecfr&SID=9fd40a5dfb416f9b56716c0808f3968b&rgn=div5&view=text&node=40:24.0.1.1.4&idno=40>) to ensure that the State or Tribal PWSS program is capable of conducting oversight of all public water systems as new drinking water rules, new public water systems, and/or drinking water program requirements become effective.

Funding Priority - Fiscal Year 2016: The priority for the PWSS grants is to ensure that the population served by community water systems will receive drinking water that meets all applicable health-based drinking water standards. This includes ensuring public water systems implement and comply with the new drinking water rules: Stage 2 Disinfectants and Disinfection Byproducts Rule, Long Term 2 Enhanced Surface Water Treatment Rule, and Ground Water Rule. Furthermore, EPA's priority is for States and Tribal PWSS Programs to meet the primary enforcement responsibilities, listed as follows, along with preparing the States and Tribal PWSS program for implementation of the recently promulgated Revised Total Coliform Rule. The primary components of a State or Tribal PWSS program are: (1) the adoption and implementation of State and Indian Tribe drinking water regulations at least as stringent as the Federal regulations; (2) the development and maintenance of database(s) of an inventory of public water systems and housing of public water system compliance information; (3) the conduct of sanitary surveys, which identifies sanitary deficiencies and requires that these deficiencies to be addressed, at public water systems; (4) the establishment and maintenance of a laboratory certification program for approval of laboratories to perform analyses of drinking water contaminants/analytes, including the assurance of adequate laboratory capacity to perform drinking water analyses; (5) the review of public water system plans and specifications; (6) the establishment of legal enforcement and authority to assess penalties to compel public water systems' compliance with the National Primary Drinking Water Regulations; (7) the adoption of regulations consistent with 40 CFR part 3 which deals with electronic documents; and (8) the adoption and implementation of an adequate plan for providing safe drinking water during emergency circumstances

Funding Priority - Fiscal Year 2017: The priority for the PWSS grants is to ensure that the population served by community water systems will receive drinking water that meets all applicable health-based drinking water standards. This includes ensuring public water systems implement and comply with the new drinking water rules: Stage 2 Disinfectants and Disinfection Byproducts Rule, Long Term 2 Enhanced Surface Water Treatment Rule, and Ground Water Rule. Furthermore, EPA's priority is for States and Tribal PWSS Programs to meet the primary enforcement responsibilities, listed as follows, along with preparing the States and Tribal PWSS program for implementation of the recently promulgated Revised Total Coliform Rule. The primary components of a State or Tribal PWSS program are: (1) the adoption and implementation of State and Indian Tribe drinking water regulations at least as stringent as the Federal regulations; (2) the development and maintenance of database(s) of an inventory of public water systems and housing of public water system compliance information; (3) the conduct of sanitary surveys, which identifies sanitary deficiencies and requires that these deficiencies to be addressed, at public water systems; (4) the establishment and maintenance of a laboratory certification program for approval of laboratories to perform analyses of drinking water contaminants/analytes, including the assurance of adequate laboratory capacity to perform drinking water analyses; (5) the review of public water system plans and specifications; (6) the establishment of legal enforcement and authority to assess penalties to compel public water systems' compliance with the National Primary Drinking Water Regulations; (7) the adoption of regulations consistent with 40 CFR part 3 which deals with electronic documents; and (8) the adoption and implementation of an adequate plan for providing safe drinking water during emergency circumstances.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The funds are to be used to develop and implement a Public Water System Supervision Program adequate to enforce the requirements of the Safe Drinking

Water Act and associated program regulations found in 40 CFR Parts 141, 142, and 143. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Eligibility is limited to the governments of the fifty States; the District of Columbia; the Commonwealth of Puerto Rico; the Northern Mariana Islands; the Virgin Islands; Guam; American Samoa; and federally recognized Tribes, that have either assumed primary enforcement responsibility for the PWSS Program or that want to develop a program that will allow them to seek delegation for a PWSS Program. EPA may also use funds allotted for a State or Tribal program, if the State or Tribe does not have, or is not developing, primary enforcement responsibility, or EPA may use all or part of the funds to support the PWSS Program in absence of an acceptable State program. Eligibility is also limited to a single agency within each State, Territory, or Tribe - an agency that has been designated by the jurisdiction's Governor or Chief Executive Officer. Primary Enforcement Responsibility for the Public Water System Supervision Program is provided for in 40 CFR 142 (http://www.access.gpo.gov/nara/cfr/waisidx_02/40cfr142_02.html).

Beneficiary Eligibility:

The beneficiaries are the agencies within the fifty States; the District of Columbia; the Commonwealth of Puerto Rico; the Northern Mariana Islands; the Virgin Islands; Guam; American Samoa; and federally recognized Tribes, that have been designated by the jurisdiction's Governor or Chief Executive Officer as being responsible for the supervision of water supplies within the State, Territory, or Tribe.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200 Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by 2 CFR 200 and 1500 as applicable, must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The grant application, including a Program Plan, must be submitted to the appropriate EPA Regional Administrator. The standard application forms, as furnished by the Federal agency and required by 2 CFR 200 and 1500 as applicable, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Additional information on the EPA grant package can be found at:

http://www.epa.gov/ogd/grants/how_to_apply.htm. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

The grant application and Program Plan are reviewed by the appropriate EPA Regional Office, and if approved, are signed by the Regional Administrator, who then awards the funds to the recipient.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Maximum of 60 days.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Safe Drinking Water Act of 1974, Public Law 93-523. State allotments are computed through use of a formula, as prescribed in Public Law 93-523 Section 1443 (a)(3) and (a)(4), 40 CFR 35.172. Funds appropriated each year are allotted on the basis of: (a) State, Territory, or Tribal population (20 percent) as contained in the most currently available (published) U.S. Census Bureau data; (b) State, Territory, or Tribal geographical area (10 percent) as contained in the most currently available (published) U.S. Census Bureau data; (c) the number of active community and non-transient non-community water systems being regulated by the State, Territory, or Tribe that are on record in EPA's data system (56 percent); and (d) the number of active transient non-community water systems being regulated by the State, Territory, or Tribe that are on record in EPA's data system (14 percent). All States, the District of Columbia, and Puerto Rico are eligible for a minimum of \$334,500 (which is equal to 1 percent of the FY 1989 PWSS Program appropriation). The American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands are eligible for a minimum of \$111,500 (which is equal to 1/3 percent of the FY 1989 PWSS Program appropriation). Up to seven percent of the amount appropriated for the PWSS Program is set-aside to support Public Water System Supervision programs on Indian lands (including grants to Tribes) in accordance with EPA Grant Regulations. The formula factors of population and land area are statutory. The other factors, and all of the weightings, are Agency imposed. Federal assistance is limited to 75 percent of total, eligible program costs.

Matching Requirements: Federal assistance is limited to 75 percent of total, eligible program costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual grants. The term of the grant shall be determined at the time of grant award. Awards are released through letters of credit or reimbursement. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report or until any audit exceptions have been resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 16 \$101,036,000; FY 17 est \$100,194,000; and FY 18 est \$71,238,000 - FY 16 \$101,036,000; FY 17 est. \$100,194,000; and FY 18 est. \$71,238,000.

Range and Average of Financial Assistance:

Range of \$120,000 to \$6,615,000/fiscal year; Average of \$1,530,848/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: During FY 2016, 49 States, five territories, and one Indian Tribe (Navajo Nation) were awarded grant funding under the PWSS Program. The majority of the grant funds were used for State, territorial, or Tribal governmental salaries to allow the governments to: develop and maintain State drinking water regulations; develop and maintain an inventory of public water systems throughout the State; develop and maintain a database housing compliance information on public water systems; conduct sanitary surveys on the public water systems; review public water system plans and specifications to ensure systems meet State design standards; provide technical assistance and training to water system managers and operators to ensure they are knowledgeable of the State requirements and best treatment and operation practices; conduct of a program to ensure that the public water systems keep their consumers informed about the quality of the water they are providing; inspect and certify laboratories that are allowed to perform the analysis of drinking water that will be used to determine compliance with the drinking water regulations; and conduct an enforcement program to ensure that the public water systems comply with all of the requirements. States, territories, and Tribes also use the grant funds to upgrade and maintain the data systems that are used to store and track information on public water systems. Fiscal Year 2017: During FY 2017, 49 States, five territories, and one Indian Tribe (Navajo Nation) were awarded grant funding under the PWSS Program. The majority of the grant funds were used for State, territorial, or Tribal governmental salaries to allow the governments to: develop and maintain State drinking water regulations; develop and maintain an inventory of public water systems throughout the State; develop and maintain a database housing compliance information on public water systems; conduct sanitary surveys on the public water systems; review public water system plans and specifications to ensure systems meet State design standards; provide technical assistance and training to water system managers and operators to ensure they are knowledgeable of the State requirements and best treatment and operation practices; conduct of a program to ensure that the public water systems keep their consumers informed about the quality of the water they are providing; inspect and certify laboratories that are allowed to perform the analysis of drinking water that will be used to determine compliance with the drinking water regulations; and conduct an enforcement program to ensure that the public water systems comply with all of the requirements. States, territories, and Tribes also use the grant funds to upgrade and maintain the data systems

that are used to store and track information on public water systems. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Financial Assistance for Continuing Environmental Programs (40 CFR 35, Subpart A); General Grant regulations and procedures (2 CFR 200 and 1500 as applicable).

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Kevin Roland, Office of Ground Water and Drinking Water (4606M), Office of Water, Environmental Protection Agency, Washington, District of Columbia 20460 Email: roland.kevin@epa.gov Phone: (202) 564-4588.

Website Address:

<http://www.epa.gov/safewater/pws/pwsgrant.html>

RELATED PROGRAMS:

66.433 State Underground Water Source Protection; 66.468 Capitalization Grants for Drinking Water State Revolving Funds

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Funding was awarded to each of the 49 States, five territories, and one Indian Tribe that has primary enforcement responsibility for the PWSS Program. The grants were used by these governments, primarily for governmental salaries to: develop State or Tribal drinking water regulations, update and maintain an inventory of drinking water systems, manage information on public water systems, ensure public participation regarding drinking water systems, provide technical assistance to public water systems, and enforce drinking water quality standards as required to comply with the National Primary Drinking Water Regulations Implementation requirements listed in 40 CFR 142 to thereby ensure that the State or Tribal PWSS program is capable of conducting oversight of all public water systems as new drinking water rules, new public water systems, and/or drinking water program requirements become effective. In total for FY 2016, the State, territory, and tribal PWSS primary enforcement programs used the PWSS grant to assist an estimated 150,310 public water systems. Fiscal Year 2017: Funding was awarded to each of the 49 States, five territories, and one Indian Tribe that has primary enforcement responsibility for the PWSS Program. The grants were used by these governments, primarily for governmental salaries to: develop State or Tribal drinking water regulations, update and maintain an inventory of drinking water systems, manage information on public water systems, ensure public participation regarding drinking water systems, provide technical assistance to public water systems, and enforce drinking water quality standards as required to comply with the National Primary Drinking Water Regulations Implementation requirements listed in 40 CFR 142 to thereby ensure that the State or Tribal PWSS program is capable of conducting oversight of all public water systems as new drinking water rules, new public water systems, and/or drinking water program requirements become effective. In total for FY 2017, the State, territory, and tribal PWSS primary enforcement programs used the PWSS grant to assist an estimated 150,310 public water systems. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

(1) Adequacy of States and Tribes (those that have Primary Enforcement Responsibility for the PWSS Program) for the adoption and enforcement of drinking water regulations which are no less stringent than the national primary drinking regulations as listed in 40 CFR 141 and 40 CFR 142. (2) Designation of a State agency to receive the grant and be responsible for conducting the public water system supervision program. (3) Compliance with requirements of 2 CFR 200 and 1500 as applicable, and 40 CFR 35.

66.433 STATE UNDERGROUND WATER SOURCE PROTECTION

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act Amendments of 1986, Public Law 99-339; Safe Drinking Water Act of 1974, Public Law 93-523; Safe Drinking Water Act Amendments of 1996, Public Law 104-182, 42 U.S.C 300; Safe Drinking Water Act of 1974, Public Law 96-502; Safe Drinking Water Act of 1974, Public Law 96-63.

OBJECTIVES:

To foster development and implementation of underground injection control (UIC) programs under the Safe Drinking Water Act (SDWA). The objective of the grant program is to provide financial assistance, to eligible States and Tribes, for the implementation of their UIC Program. The fundamental goal of the program, and the grants, is to ensure that underground sources of drinking water are protected from endangering injection activities.

Funding Priority - Fiscal Year 2016: The program will fund the major components of State UIC programs which include: the development and maintenance of inventories of injection systems; the development and maintenance of databases housing compliance information on underground injection well activities; the implementation of UIC permitting activities; and the implementation of enforcement programs to ensure that underground sources of drinking water are protected from underground injection activities.

Funding Priority - Fiscal Year 2017: The program will fund the major components of State UIC programs which include: the development and maintenance of inventories of injection systems; the development and maintenance of databases housing compliance information on underground injection well activities; the implementation of UIC permitting activities; and the implementation of enforcement programs to ensure that underground sources of drinking water are protected from underground injection activities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The funds are to be used to develop and implement an underground injection control program adequate to enforce the requirements of the Safe Drinking Water Act. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States, U.S. Territories and possessions, and Indian Tribes that qualify as Programs that have delegated primary Enforcement Authority pursuant to SDWA amendments of 1986.

Beneficiary Eligibility:

States, U.S. Territories, and Indian Tribes.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200 Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Applications are subject to State and area wide clearinghouses review pursuant to procedures in the proposed 40 CFR Part 19. The standard application forms, as furnished by the Federal agency and required by 2 CFR 200 and 1500 as applicable, must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official

designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The grant application must be submitted to the appropriate EPA Regional Administrator. The standard application forms, as furnished by the Federal agency and required by 2 CFR 200 and 1500 as applicable, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm.

Award Procedure:

Applications are reviewed by the appropriate EPA Regional Office and, if approved, the funds are awarded by the Regional Administrator.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Safe Drinking Water Act. This program operates under a formula which uses criteria set forth in the SDWA, Section 1443(b)(4). Matching Requirements: Except for Indian Tribes, Federal assistance is limited to 75 percent of eligible costs, not to exceed the State allotment. The statistical factors used for allocation include: (1) population of State, based on the most recent Census Bureau data; (2) State land area, based on the most recent Census Bureau data; and (3) injection practices by State, based on data reported by States and maintained by EPA as of the most recent fiscal year prior to allocation development. For Indian Tribes, Federal assistance is limited to 90 percent of eligible costs. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents which support entries on accounting records and substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report, or until any audit exceptions have been resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 16 \$8,859,300; FY 17 est \$10,109,000; and FY 18 est \$7,188,000 - FY 16 \$8,859,300; FY 17 est. \$10,109,000; and FY 18 est. \$7,188,000.

Range and Average of Financial Assistance:

\$13,000 to \$973,000/fiscal year; \$176,000/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: This program provides funding support to States, Territories, and Tribes that have delegated primary enforcement authority pursuant to the SDWA amendments of 1986. The grant funds are used by the States, Territories, and Tribes to support operation of their ongoing UIC programs including: the development and maintenance of UIC regulations; the development and maintenance of inventories of injection systems; the development and maintenance of databases housing compliance information on underground injection wells activities; the implementation of UIC permitting activities; and the implementation of enforcement programs to ensure that underground sources of drinking water are protected from endangering injection activities. Fiscal Year 2017: This program provides funding support to States, Territories, and Tribes that have delegated primary enforcement authority pursuant to the SDWA amendments of 1986. The grant funds are used by the States, Territories, and Tribes to support operation of their ongoing UIC programs including: the development and maintenance of UIC regulations; the development and maintenance of inventories of injection systems; the development and maintenance of databases housing compliance information on underground injection wells activities; the implementation of UIC permitting activities; and the implementation of enforcement programs to ensure that underground sources of drinking water are protected from endangering injection activities. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants for State Underground Water Source Protection Programs (40 CFR 35); General Grant Regulations and Procedures (2 CFR 200 and 1500 as applicable).

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Denny Cruz Denny Cruz, Prevention Branch, Drinking Water Protection Division, Office of Ground Water and Drinking Water, Office of Water, (4606M) Environmental Protection Agency, 1200 Pennsylvania Avenue, NW., Washington, DC 20460. Telephone: (202) 564-3879; FAX: (202) 564-3756;

E-mail: cruz.denny@epa.gov, Washington, District of Columbia 20460 Email: cruz.denny@epa.gov Phone: (202) 564-3879

Website Address:

<http://water.epa.gov/type/groundwater/uic/grants.cfm>

RELATED PROGRAMS:

66.432 State Public Water System Supervision

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Grant funds are used by the States for such purposes as State regulation review, program plan developments, data management, inventory of injection facilities, public participation, technical assistance and review, permit approval and enforcement, and surveillance and investigation. Fiscal Year 2017: Grant funds are used by the States for such purposes as State regulation review, program plan developments, data management, inventory of injection facilities, public participation, technical assistance and review, permit approval and enforcement, and surveillance and investigation. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

1) Adequacy of State's annual program plan for an enforceable underground injection control program; (2) compliance with requirements of 2 CFR 200 and 1500 as applicable, and 40 CFR 35, and Executive Order No. 12367 (Revised); (3) designation of a State agency to receive the grant and be responsible for coordinating the underground water source protection program; and (4) evidence that Federal grant funds will not supplant the State's nonfederal funding committed to underground water source protection efforts. For Indian Tribes: (1) demonstration of Tribal adequacy; and (2) demonstration of the tribe's intent, adequate legal authority, and resources to implement specific program elements outlined in 40 CFR 144, 145, 146, and 148.

66.436 SURVEYS, STUDIES, INVESTIGATIONS, DEMONSTRATIONS, AND TRAINING GRANTS AND COOPERATIVE AGREEMENTS - SECTION 104(B)(3) OF THE CLEAN WATER ACT

Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), Public Law 95-217, 33 U.S.C 1251; National Environmental Policy Act, Section 102(2)(F).

OBJECTIVES:

To support the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, reduction, and elimination of water pollution.

Funding Priority - Fiscal Year 2016: Funding priorities include, but are not limited to training, surveys, studies, investigations, and demonstration projects to support water quality improvement, watershed planning and management, nonpoint source planning, wetlands protection, coastal and estuarine planning, treatment technologies, water efficiency, and environmental management systems

.. Funding Priority - Fiscal Year 2017: Funding priorities include, but are not limited to training, surveys, studies, investigations, and demonstration projects to support water quality improvement, watershed planning and management, nonpoint source planning, wetlands protection, coastal and estuarine planning, treatment technologies, water efficiency, and environmental management systems.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs in approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of

Research and Development. The funds will support conducting and promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, reduction, and elimination of water pollution. Specific uses and restrictions on funds will be described in detail in the competitive announcements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S. (including the District of Columbia); public and private universities and colleges; hospitals; laboratories; public or private nonprofit institutions; intertribal consortia; and individuals. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. An intertribal consortium must meet the definition of eligibility in the Environmental Program Grants for Tribes Final Rule, at 40 CFR 35.504 (66 FR 3782, January 16, 2001) (FRL-6929-5) and be a non-profit organization within the meaning of 2 CFR 200. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, and individuals.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

EPA awards grants and cooperative agreements supporting surveys, studies, and investigations based on competitive applications submitted in response to EPA solicitations, considering relevance and likelihood of success of proposed projects. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms, as furnished by the Federal agency and required by 2 CFR 200 and 1500 as applicable, must be used for this program. EPA requires final

applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula. For certain competitive funding opportunities under this CFDA description, the Agency may include matching requirements consistent with the Agency's Assistance Agreement Competition Policy. Match may be cash or in-kind consistent with the regulations governing match requirements at 2 CFR 200 and 1500 as applicable. Specific matching requirements will be described in detail in the competitive announcements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations at 2 CFR 200.328. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 2 CFR 200 and 1500 as applicable, apply. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0107-0-1-304; 68-0108-0-1-304.

Obligations:

(Project Grants) FY 16 \$3,928,000; FY 17 est \$3,910,000; and FY 18 est \$1,000,000 - FY 16 \$3,928,000; FY 17 est. \$3,910,000; and FY 18 est. \$1,000,000.

Range and Average of Financial Assistance:

Range: \$10,000 to \$580,000/fiscal year; Average: \$295,000/fiscal year.

TAFS Codes:

68-0107; 68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Competitions are held throughout the year at Headquarters and in the Regions based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement will typically include the number of anticipated awards. In FY 16, EPA funded 6 projects to support training, surveys, studies, investigations, and demonstrations for water quality improvement, watershed planning and management, treatment technologies, water efficiency, and environmental management systems. Fiscal Year 2017: Competitions are held throughout the year at Headquarters and in the Regions based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement will typically include the number of anticipated awards. In FY 17, EPA will continue to fund projects to support training, surveys, studies, investigations, and demonstrations for water quality improvement, watershed planning and management, treatment technologies, water efficiency, and environmental management systems. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, investigations, demonstrations, and training grants and cooperative agreements are subject to the general grant regulations at 2 CFR 200 and 1500 as applicable. Costs will be determined in accordance with 2 CFR 200 Subpart E.

Regional or Local Office:

See Regional Agency Offices. For information on grant applications and procedures, contact:

Environmental Protection Agency, Grants and Interagency Agreement Management Division, 1200 Pennsylvania Avenue, N.W., Mail Code (3903R), Washington, DC 20460. For program information contact: Office of Wetlands, Oceans and Watersheds, Connie Cahanap, Phone: (202) 566-1382; FAX: (202) 566-1147; E-mail: cahanap.concepcion@epa.gov. Office of Science and Technology, Agnes Ortiz, Phone: (202) 566-1303; FAX: (202) 566-0441; E-mail: ortiz.agnes@epa.gov.

Headquarters Office:

Tracey Miller For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, Mailcode (3903R), Washington, DC 20460. For program information contact: Tracey Miller, Environmental Protection Agency, Office of Water, Immediate Office, Phone: (202) 564-0783; FAX: (202) 564-0348; E-mail: miller.tracey@epa.gov 1200 Pennsylvania Avenue, N.W., Mail Code (3903R), Washington, DC 20460, Washington, District of Columbia 20460 Email: miller.tracey@epa.gov Phone: 202-564-0783 Fax: 202-564-0348

Website Address:

http://water.epa.gov/grants_funding/

RELATED PROGRAMS:

66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Development of innovative watershed education tools, development of wetland protection guides for local communities, demonstration projects for watershed management, development of sustainable approaches to water quality management, and training on environmental management systems. Fiscal Year 2017: Development of innovative watershed education tools, development of wetland protection guides for local communities, demonstration projects for watershed management, development of sustainable approaches to water quality management, and training on environmental management systems. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Competitive applications and proposals will be selected in accordance with announced criteria.

66.437 LONG ISLAND SOUND PROGRAM

Long Island Sound Study (LISS)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 119(d).

OBJECTIVES:

Protect and restore the water quality and ecosystem health of Long Island Sound.

To (1) implement the Long Island Sound Study (LISS) Comprehensive Conservation and Management Plan (CCMP) and (2) assist the states of Connecticut and New York, and other public or nonprofit entities in conducting research, experiments, investigations, training, demonstration, surveys, or studies related to reducing pollution and improving the quality of the environment to sustain living resources in the Long Island Sound.

Funding Priority - Fiscal Year 2016: EPA's funding priority is to award grants that support the Long Island Sound Study (LISS) National Estuary Program. Section 119(d) of the Clean Water Act as amended by the Long Island Sound Restoration Act of 2000, P.L. 106-457 authorizes the Administrator to award grants to eligible entities to implement the LISS Comprehensive Conservation and Management Plan (CCMP), with special emphasis on implementation, research and planning, enforcement, and citizen involvement and education projects. The LISS management conference, with participants from state, interstate and regional environmental agencies, local governments and other public or nonprofit private agencies, research institutions and individuals, develops programs to protect and restore Long Island Sound. The LISS program characterizes the problems in the estuary, determines relationships between pollutant loading and impacts on living resources, maintains a comprehensive plan recommending solutions to priority problems, and implements actions addressing priority problem areas. Activities will focus on theme areas identified in the plan as requiring special attention, such as: clean waters and healthy watersheds; thriving habitats and abundant wildlife; sustainable and resilient communities; and sound science and inclusive

management.

Funding Priority - Fiscal Year 2017: EPA's funding priority is to award grants that support the Long Island Sound Study (LISS) National Estuary Program. Section 119(d) of the Clean Water Act as amended by the Long Island Sound Restoration Act of 2000, P.L. 106-457 authorizes the Administrator to award grants to eligible entities to implement the LISS Comprehensive Conservation and Management Plan (CCMP), with special emphasis on implementation, research and planning, enforcement, and citizen involvement and education projects. The LISS management conference, with participants from state, interstate and regional environmental agencies, local governments and other public or nonprofit private agencies, research institutions and individuals, develops programs to protect and restore Long Island Sound. The LISS program characterizes the problems in the estuary, determines relationships between pollutant loading and impacts on living resources, maintains a comprehensive plan recommending solutions to priority problems, and implements actions addressing priority problem areas. Activities will focus on theme areas identified in the plan as requiring special attention, such as: clean waters and healthy watersheds; thriving habitats and abundant wildlife; sustainable and resilient communities; and sound science and inclusive management.

Funding Priorities 2018

Activities will continue to focus on theme areas identified in the CCMP as requiring special attention, such as: clean waters and healthy watersheds; thriving habitats and abundant wildlife; sustainable and resilient communities; and sound science and inclusive management.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to implement elements of the LISS CCMP. Special emphasis shall be given to CCMP implementation projects, research and planning, enforcement, and citizen involvement and education projects. The Administrator may give priority to a distressed community as defined in 33 USC 1269(e)(1). Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

State, interstate, and regional water pollution control agencies, and other public and nonprofit private agencies, institutions, and organizations held to be eligible for grants pursuant to the authorizing legislation.

State, interstate, and regional water pollution control agencies, and other public or nonprofit private agencies, institutions, and organizations are eligible. Private profit-making entities, and individuals, are not eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Assistance under this program generally benefits state, interstate, and regional water pollution control agencies and other public or nonprofit private agencies, institutions, and organizations. The general public and Long Island Sound user groups such as swimmers, beach goers, sport and commercial fishermen, boaters, and shellfishes, benefit from the results of the program through cleaner water, restored and protected habitat, and preserved and enhanced ecosystems.

Credentials/Documentation:

Nonprofit private agencies, institutions and organizations may be required to provide documentation of their organizational status upon application. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The EPA LISO annually provides guidance in September/October to the LISS Management Conference on developing proposals. The LISO coordinates review of proposals to ensure consistency with program goals and objectives as outlined in the CCMP and develops funding recommendations for the LISS Management Committee's consideration in early winter. The Management Committee makes final recommendations on funding at that time, or as otherwise specified. Successful applicants are required to submit formal grant applications using the standard federal grant application forms.

The EPA Long Island Sound Office (LISO) issues annual guidance on the form and content of pre-proposals. All pre-proposals or work statements should be consistent with LISO's guidance. Consultation or technical assistance may be available upon request from the LISO to aid applicants in preparing their pre-proposal. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Regional contacts in the Catalog. An environmental impact statement or environmental impact assessment is not required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The EPA LISO annually releases a request for base program pre-proposals in the Fall. Preproposals are due to the LISO generally by early January or as specified in guidance. The LISS management committee reviews pre-proposals in late January to identify areas of concern and to request additional information or clarification on proposals through the LISO. The LISO coordinates review of pre-proposals among the several LISS technical work groups and teams to ensure consistency with program goals and objectives as outlined in the CCMP and other priorities as directed by the management committee. If necessary, applicants are contacted by the LISO to provide the additional information or clarification. The LISO coordinates review of the revised proposals and develops funding recommendations for the management committee's consideration in April. The management committee makes final recommendations on funding preproposals at that time, or as otherwise specified. Applicants are advised of the status of their request by the LISO within 30 days of the management committee's final decisions. Successful applicants are required to submit formal grant applications using the standard federal grant application forms. The deadline for submitting applications may vary by EPA Region, but is generally June 1. Applications not received by the deadline are not further considered for funding. Unallocated funds are carried over or otherwise used to further the purposes of this program at the discretion of the management committee. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

Each federal assistance application and final work plan will be evaluated by the LISO to determine the adequacy of the application under LISS priorities and objectives, including technical merit and relevance of the project to the implementation of the CCMP and for consistency with the pre-application proposal. Each federal assistance award application will also be subject to an administrative review by the EPA Region 1 or 2 grants management office as 8/17/2017 CFDA <https://usepa-7291dac51b2874.sharepoint.com/sites/OARM/CFDA/CFDA-SPADdin/index.html#/program/26/print> 8/16 appropriate. Funds are awarded by the EPA Region 1 or 2 Administrator as appropriate. Grantees will receive a final assistance award package from the appropriate EPA Regional grants management office. An assistance agreement recipient demonstrates its commitment to carry out the award by either: 1) drawing down funds within 21 days after the EPA award or amendment mailing date; or, 2) not filing a notice of disagreement with the award terms and conditions within 21 days after the EPA award or amendment mailing date. Recipients are not required to sign and return a copy of the award document. Payments under awards may be made on an advance or reimbursement basis. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/ regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification of action on pre-proposals is provided approximately 120 days after the deadline for pre-proposal submission. Final grantees are notified of approval approximately 90 days after the submission of the application for federal assistance.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Renewals are subject to approval of EPA.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: This program has no statutory formula (33 USC 1269(d)(3)). Federal costs for citizen involvement and education grants shall not exceed 95 percent of the costs of such work; for all other grants and cooperative agreements, federal costs shall not exceed 50 percent of the research, studies, or work. EPA has determined that annual matching requirements for LISS grant awards shall be met in the aggregate for all funds awarded as specified in the approved annual work plan. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance agreements are generally made for a one-year period corresponding to the federal fiscal year, October-September. Awards may be made for longer periods upon justification and with the approval of EPA. Awards may be made by advance or reimbursement at the discretion of EPA. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements require semi-annual and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program.

See Section 113, Records for post assistance requirements. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 16 \$3,893,000; FY 17 est \$80,000,000; and FY 18 Estimate Not Available(Exp: Not in budget) - FY 16 \$3,893,000; and FY 17 est. \$8,000,000.

Range and Average of Financial Assistance:

Range: \$20,000 to \$2,000,000/fiscal year; Average: \$438,611/fiscal year.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The 5-year rolling average for the maximum summer time area of low dissolved oxygen (hypoxia) in Long Island Sound was estimated at 138 square miles in 2016. This represents a 33.7 percent decline in the five-year rolling average compared to the pre-2000 average (i.e., before the Total Maximum Daily Load (TMDL) was put in place by EPA and the states. This result exceeds the EPA Strategic Plan targets by 15%. The 106 New York and Connecticut wastewater treatment plants (WWTPs) discharging to Long Island Sound have reduced nitrogen by more than 44,000,000 pounds annually compared to baseline levels established in the 2000 TMDL. In 2016 reported discharges were below the final TMDL targets, attaining 110% of the EPA Strategic Plan goal. In FY 16, partners restored or protected 532 acres of coastal habitat compared to the target of 96 acres in the Strategic Plan. The partners reopened 50 river miles to fish passage compared to the target of 70 miles in the Strategic Plan. Fiscal Year 2017: EPA grantees for the Long Island Sound Futures Fund grant program and the Long Island Sound Scientific Research grant program hold competitions throughout the year based on available funds and Long Island Sound Study priorities. The number of applications and the number of awards made is dependent on the announcement issued. The announcement includes the number of anticipated awards. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

LISS grants and cooperative agreements are subject to grant regulations at 40 CFR Part 35, 2 CFR 200 and 1500; annual EPA Office of Water National Estuaries Program guidance; the LISS CCMP, and Long Island Sound 2003 or successor Agreement.

Regional or Local Office:

See Regional Agency Offices. Mark Tedesco, USEPA Long Island Sound Office, Government Center, 888 Washington Boulevard, Suite 9-11, Stamford, Connecticut 06904; Telephone: (203) 977-1541; FAX: (203) 977-1546; E-mail: tedesco.mark@epa.gov.

Headquarters Office:

surabhi shah USEPA Office of Water; Office of Wetlands, Oceans and Watersheds. Oceans, Wetlands & Communities Division 1200 Pennsylvania Avenue, NW, Washington, DC 20460. Contact: Surabhi Shah, Telephone: (202) 564-1383; FAX: (202) 566-1336; E-mail: shah.surabhi@epa.gov

, Washington, District of Columbia 20460 Email: shah.surabhi@epa.gov
Phone: 202-564-1383 Fax: 202-566-1338

Website Address:

<http://longislandsoundstudy.net/>

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention; 10.914 Wildlife Habitat Incentive Program; 11.419 Coastal Zone Management Administration Awards; 11.420 Coastal Zone Management Estuarine Research Reserves; 15.608 Fish and Wildlife Management Assistance; 15.611 Wildlife Restoration; 15.614 Coastal Wetlands Planning, Protection and Restoration Act; 15.615 Cooperative Endangered Species Conservation Fund; 15.616 Clean Vessel Act; 15.630 Coastal Program; 66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.454 Water Quality Management Planning; 66.456 National Estuary Program; 66.460 Nonpoint Source Implementation Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Grants and cooperative agreements awarded to states and local governments, colleges, universities, interstate agencies and nonprofit organizations are for nonpoint source implementation, scientific research, water quality monitoring and modeling, public education and outreach, living resources management, habitat restoration and program support. Examples are listed as follows: (1) Hypoxia: sustain and accelerate efforts to meet the LISS nutrient reduction goals in the nitrogen Total Maximum Daily Load to attain water quality conditions necessary to support the living resources of the Sound; (2) Habitat Restoration: restore and protect living resources, their habitats and ecological relationships; (3) Water Quality Monitoring: collect water quality samples at monitoring stations in Long Island Sound and interpret data on Long Island Sound and embayments. (4) Communication/Outreach: Provide communication, outreach and education components of the LISS partnership; Research: investigate causes and effects of eutrophication on water quality and living marine resources; study factors affecting abundance and distribution of submerged aquatic vegetation, food web dynamics, and tidal wetland loss. and living marine resources; study factors affecting abundance and distribution of submerged aquatic vegetation, food web dynamics, and tidal wetland loss. Fiscal Year 2017: 10.904 Watershed Protection and Flood Prevention; 10.906 Watershed Surveys and Planning; 10.914 Wildlife Habitat Incentive Program; 11.419 Coastal Zone Management Administration Awards; 11.420 Coastal Zone Management Estuarine Research Reserves; 15.608 Fish and Wildlife Management Assistance; 15.611 Wildlife Restoration; 15.614 Coastal Wetlands Planning, Protection and Restoration Act; 15.615 Cooperative Endangered Species Grants and cooperative agreements awarded to states and local governments, colleges, universities, interstate agencies and nonprofit organizations are for nonpoint source implementation, scientific research, water quality monitoring and modeling, public education and outreach, living resources management, habitat restoration and program support. Examples are listed as follows: (1) sustain and accelerate efforts to meet the LISS nutrient reduction goals in the nitrogen Total Maximum Daily Load to attain water quality conditions necessary to support the living resources of the Sound; (2) restore and protect living resources, their habitats and ecological relationships; (3) monitor water quality in Long Island Sound and interpret data on Long Island Sound and embayments. (4) conduct communication outreach and education on Long Island Sound issues and programs; and (5) develop, calibrate, and manage the application of linked hydrodynamic-water quality-living resources models to improve nutrient management. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be Hypoxia, toxic substances, pathogen contamination, dissolved oxygen, habitat restoration, public participation, water quality, estuary, embayments, monitoring, nutrients, sediment, nonpoint sources, TMDL, nitrogen, living resources, wetlands, eutrophication, food webs, submerged aquatic vegetation, Long Island Sound, LISS, abundance, distribution, models, modeling, hydrodynamic, public education, described in the competitive announcement.

66.440 URBAN WATERS SMALL GRANTS

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

National Environmental Policy Act, U.S.C 102(2)(F); Clean Water Act, Section 104(b)(3), Public Law 95-217, 33 U.S.C 1251.

OBJECTIVES:

The objective of EPA's Urban Waters Program is to protect and restore America's urban waterways. EPA's funding priority is to achieve the goals and commitments established in the Agency's Urban Waters Strategic Framework (<https://www.epa.gov/urbanwaters/urban-waters-strategic-framework>). This program has an emphasis on engaging communities with environmental justice concerns. The objective of the Urban Waters Small Grants is to fund research, investigations, experiments, training, surveys, studies, and demonstrations that will advance the restoration of urban waters by improving water quality in urban areas through activities that also support community revitalization and other local priorities. This program recognizes that healthy and accessible urban waters can help grow local businesses and enhance educational, recreational, social, and employment opportunities in nearby communities.

Funding Priority - Fiscal Year 2017: EPA's funding priority for Urban Waters Small Grants is to fund projects that improve water quality by conducting or promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. Implementation projects are not eligible for funding.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs in approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. The funds will support conducting and promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, reduction, and elimination of water pollution. Specific uses and restrictions on funds will be described in detail in the competitive announcements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Assistance under the Urban Waters Small Grants is generally available to States, local governments, Indian Tribes, public and private universities and colleges, public or private nonprofit institutions/organizations, intertribal

consortia, and interstate agencies. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. An intertribal consortium must meet the definition of eligibility in the Environmental Program Grants for Tribes Final Rule, at 40 CFR 35.504 (66 FR 3782, January 16, 2001) (FRL-6929-5) and be a non-profit organization within the meaning of 2 CFR 200.

Indian Tribes (or "federally recognized Indian tribe"), as defined in 2 CFR 200.54, is any Indian tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act (43 U.S.C. Chapter 33), which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians (25 U.S.C. 450b(e)). See annually published Bureau of Indian Affairs list of Indian Entities Recognized and Eligible to Receive Services.

State, as defined in 2 CFR 200.90, is defined as any state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any agency or instrumentality thereof exclusive of local governments.

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Residents of urban areas adversely impacted by water pollution, State and local governments, Indian Tribes, other public and private nonprofit institutions, intertribal consortia, and interstate agencies.

Credentials/Documentation:

EPA may request that applicants demonstrate their eligibility. Documentation of nonprofit status may be required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

EPA awards grants and cooperative agreements supporting surveys, studies, and investigations based on competitive applications submitted in response to EPA solicitations, considering relevance and likelihood of success of proposed projects. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms, as furnished by the Federal agency and required by 2 CFR 200 and 1500 as applicable, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue,

N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching requirements, if any, will be described in the competitive announcement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA may negotiate the project period with each applicant based on project requirements. The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The record retention requirements of 2 CFR 200 and 1500 as applicable, apply. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 16 \$1,600,000; FY 17 est \$0; and FY 18 est \$0 - FY 16 \$1,600,000; FY 17 est. \$0.00; and FY 18 est. \$0.

FY 14 \$2,100,000; FY 15 est. \$0; and FY 16 est. \$1,600,000.

Range and Average of Financial Assistance:

Range: \$40,000 to \$60,000/fiscal year; Average: \$50,000/fiscal year.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 16, 24 awards totaling \$1.3 million were made for projects that seek to help restore and protect urban water quality and revitalize adjacent neighborhoods by engaging communities in activities that increase their connection to, understanding of, and stewardship of local urban waterways. Competitive announcements for Urban Waters Small Grants are issued based on available funds and program priorities. Fiscal Year 2017: In FY 17, funding continues to support projects that seek to help restore and protect urban water quality and revitalize adjacent neighborhoods by engaging communities in activities that increase their connection to, understanding of, and stewardship of local urban waterways. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, investigations, demonstrations, and training grants and cooperative agreements are subject to the grant regulations (2 CFR 200 and 1500 as applicable). Costs will be determined in accordance with 2 CFR 200 Subpart E.

Regional or Local Office:

None.

Headquarters Office:

Robin Parker For information on grant applications and procedures, contact: Robin Parker, Partnership Programs Branch (4504T), Oceans, Wetlands, and Communities Division, Office of Wetlands, Oceans, and Watersheds, EPA 1200 Pennsylvania Ave., Washington, DC 20460. Telephone: (202) 564-0662; FAX: (202) 564-0500. E-mail: parker.robin@epa.gov.

, Washington, District of Columbia 20460 Email: parker.robin@epa.gov Phone: 202-564-0662

Website Address:

<http://www.epa.gov/urbanwaters>

RELATED PROGRAMS:

66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Funded projects will help restore and protect urban water quality and revitalize adjacent neighborhoods by engaging communities in activities that increase their connection to, understanding of, and stewardship of local urban waterways. Examples of selected projects include youth education on watershed science and stewardship of urban waterways, water quality monitoring and studies, community engagement and outreach to promote best

management practices in restoring urban waters, urban watershed assessments to develop a community action plan, and hands-on learning workshops to educate the public on the importance of urban waters. More information on specific projects selected for funding can be found at <http://www2.epa.gov/urbanwaters/urban-waters-small-grants>. Fiscal Year 2017: Funded projects will help restore and protect urban water quality and revitalize adjacent neighborhoods by engaging communities in activities that increase their connection to, understanding of, and stewardship of local urban waterways. Examples of selected projects include youth education on watershed science and stewardship of urban waterways, water quality monitoring and studies, community engagement and outreach to promote best management practices in restoring urban waters, urban watershed assessments to develop a community action plan, and hands-on learning workshops to educate the public on the importance of urban waters. More information on specific projects selected for funding can be found at <https://www.epa.gov/urbanwaters/urban-waters-small-grants>. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Competitive applications and proposals will be selected in accordance with announced criteria.

66.441 HEALTHY WATERSHEDS CONSORTIUM GRANT PROGRAM

FEDERAL AGENCY:

Environmental Protection Agency

AUTHORIZATION:

National Environmental Policy Act (NEPA), Section 102(2)(f); Clean Water Act, Part 104, Section 104(b)(3), Public Law 95-217, 33 U.S.C 1251.

OBJECTIVES:

To support strategically protecting healthy watersheds across the country. The focus of the grant program is to protect freshwater ecosystems and their watersheds. Additional background information on the Healthy Watersheds Program and priorities can be found at the following website: <http://water.epa.gov/polwaste/nps/watershed/index.cfm>.

Funding Priority - Fiscal Year 2016: Through the Healthy Watersheds Consortium Grant, EPA funds an intermediary organization to provide subawards to eligible subawardees for projects to support strategic protection of freshwater ecosystems through capacity development and local demonstration and training projects.

Funding Priority - Fiscal Year 2017: Through the Healthy Watersheds Consortium Grant, EPA funds an intermediary organization to provide subawards to eligible subawardees for projects to support strategic protection of freshwater ecosystems through capacity development and local demonstration and training projects.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Healthy Watershed Consortium Grant Program funds one cooperative agreement to an organization to manage the Healthy Watershed Consortium Grant subaward process that will award subgrants to support the strategic protection of freshwater ecosystems and their watersheds across the country. These funds are used for healthy watershed capacity development and for local demonstration and/or training projects. Projects funded under the subgrant process must be limited to activities that conduct or promote the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. Specific uses and restrictions on funds will be described in detail in the competitive announcements. Grants and cooperative agreements are available to support recipients' allowable direct costs in approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development.

Healthy Watersheds Consortium Grant funds cannot be used for the purchase of land or conservation easements.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Universities, colleges and institutions of higher education and hospitals are not eligible under this announcement. Non-profit, non-governmental organizations, interstate agencies, and intertribal consortia which are capable of undertaking activities that advance watershed protection programs are eligible to compete in this program. The term "interstate agency" is defined in CWA Section 502 as "an agency of two or more States established by or pursuant to an agreement or compact approved by the Congress, or any other agency of two or more States, having substantial powers or duties pertaining to the control of pollution as determined and approved by the Administrator." Intertribal consortia must meet the requirements of 40 CFR Part 35.504. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Public and private nonprofit institutions/ organizations, federally recognized Indian tribal governments, states, local governments, U.S. territories and interstate agencies.

Credentials/Documentation:

Non-profit applicants may be asked to provide documentation that they meet the definition of a non-profit organization. Interstate organizations may be asked to provide a citation to the statutory authority, which establishes their status. Intertribal consortia may be asked to provide documentation that they meet the requirements of 40 CFR Part 35.504. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

EPA awards grants and cooperative agreements based on the competitive applications submitted in response to EPA solicitations, considering relevance and likelihood of the success of the projects. The standard application forms, as furnished by the Federal agency and required by 2 CFR 200 and 1500 as applicable, must be used for this program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information

on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200 and 1500, as applicable, applies to this program. Submittal instructions can be found in the competitive announcement. All applicants will be notified by the HQ Office on whether or not the applicant has been selected for funding. The notification is not an authorization to begin performance. The Grant Award document signed by the appropriate grant official in the EPA HQ Office is the authorizing document. Applicants selected for funding must submit a final workplan and grant application forms. Application forms are available at <http://www.epa.gov/ogd/AppKit/index>. Completed applications should be submitted to HQ. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Grants are usually approved within 4 months of receipt of completed application.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 25%. Matching Requirements: The applicant must provide a minimum of 25 percent of the total cost of the workplan. To determine if the minimum match is met, EPA will use the following formula: amount (in dollars) of federal funds requested from EPA divided by 3 = minimum match (in dollars) or Total project amount (in dollars) divided by 4 = minimum match (in dollars). For example, if the applicant requests \$75,000 of federal funds or has a total project cost of \$100,000 for a three-year project period, it must be able to provide \$25,000 in matching funds or services. Award recipients can meet the match requirements with in-kind or monetary contributions from entities other than themselves. However, other federal money cannot be used as the match for this grant program unless authorized by the statute governing the award of the other federal funds. Matching funds are considered grant funds. They must be used for the reasonable and necessary expenses of carrying out the assistance agreement workplan. Any restrictions on the use of grant funds (i.e., prohibition of land acquisition with grant funds) also apply to the matching funds. In addition to matching requirements, the applicant must demonstrate how they will leverage resources during grant performance. This program does not have MOE requirements. This program does not have Maintenance of Effort (MOE) requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of grant award. See the following for information on how assistance is awarded/released: The terms of the grant shall be determined at time of grant award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in

the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Progress reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304 - EPM.

Obligations:

(Cooperative Agreements) FY 16 \$1,270,000; FY 17 est \$82,000; and FY 18 est \$357,000 - FY 16 \$1,270,000; FY 17 est. \$982,000 and FY 18 est. \$357,000.

Range and Average of Financial Assistance:

\$3,750,000 every six years. Average: \$625,000/fiscal year.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: EPA continued to fund a cooperative agreement to an organization to provide subawards to eligible subawardees for projects to support strategic protection of freshwater ecosystems through capacity development and local demonstration and training projects. Examples of subaward projects include: projects that help the states/tribes identify healthy watersheds through assessments; projects to develop and support healthy watersheds conservation plans; projects on public outreach and education on the importance of healthy watersheds; local conservation demonstration (new or experimental) projects related to healthy watershed protection; and training projects related to healthy watersheds protection. Fiscal Year 2017: EPA continued to fund a cooperative agreement to an organization to provide subawards to eligible subawardees for projects to support strategic protection of freshwater ecosystems through capacity development and local demonstration and training projects. Examples of subaward projects include: projects that help the states/tribes identify healthy watersheds through assessments; projects to develop and support healthy watersheds conservation plans; projects on public outreach and education on the importance of healthy watersheds; local conservation demonstration (new or experimental) projects related to healthy watershed protection; and training projects related to healthy watersheds protection. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

General grant regulations and procedures, 2 CFR 200 and 1500, 2 CFR 200 Subpart E, and 40 CFR 35.610, as applicable.

Regional or Local Office:

None.

Headquarters Office:

Chris Solloway Chris Solloway, Nonpoint Source Management Branch (4503T), Office of Oceans, Wetlands, and Watersheds, Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, DC 20460. Phone: (202) 566-1202; E-mail: solloway.chris@epa.gov.

, Washington, District of Columbia 20460 Email: solloway.chris@epa.gov
Phone: (202) 566-1202

Website Address:

No Data Available

RELATED PROGRAMS:

66.454 Water Quality Management Planning; 66.460 Nonpoint Source Implementation Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: EPA funded a cooperative agreement to an organization to provide subawards to eligible subawardees for projects to support strategic protection of freshwater ecosystems through capacity development and local demonstration and training projects in FY 15. In FY 16 EPA continued to support the organization to fund subaward projects such as: projects that help the states/tribes identify healthy watersheds through assessments; projects to develop and support healthy watersheds conservation plans; projects on public outreach and education on the importance of healthy watersheds; local conservation demonstration (new or experimental) projects related to healthy watershed protection; and training projects related to healthy watersheds protection. Fiscal Year 2017: EPA funded a cooperative agreement to an organization to provide subawards to eligible subawardees for projects to support strategic protection of freshwater ecosystems through capacity development and local demonstration and training projects in FY 15. In FY 17 EPA will continue to support the organization to fund subaward projects such as: projects that help the states/tribes identify healthy watersheds through assessments; projects to develop and support healthy watersheds conservation plans; projects on public outreach and education on the importance of healthy watersheds; local conservation demonstration (new or experimental) projects related to healthy watershed protection; and training projects related to healthy watersheds protection. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Competitive applications and proposals will be selected in accordance with the announced criteria.

66.454 WATER QUALITY MANAGEMENT PLANNING

(205(j)(2)) or 604(b)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Water Quality Act of 1987, Public Law 100-4; Clean Water Act, Section 205(j)&604(b), Public Law 97-117; American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

(205(j)(2)) or 604(b)

To assist States (including territories and the District of Columbia), Regional Public Comprehensive Planning Organizations (RPCPOs), and Interstate Organizations (IOs) in carrying out water quality management (WQM) planning.

Funding Priority -- Fiscal Year 2016: Funds are allotted by state in accordance with Section 604(b) of the Clean Water Act. Grant funds are used to determine the nature and extent of point and non-point source water pollution and to develop water quality management plans. States are encouraged to give priority to watershed restoration planning. EPA is requesting states to emphasize a watershed approach in developing their state workplans. This will complement the Agency's overall watershed effort as stated in the Agency's Strategic Plan. In addition, states should incorporate climate change considerations into their

water quality planning, as appropriate. This will complement the Agency's Climate Change Action Plan.

Funding Priority - Fiscal Year 2017: Funds are allotted by state in accordance with Section 604(b) of the Clean Water Act. Grant funds are used to determine the nature and extent of point and non-point source water pollution and to develop water quality management plans. States are encouraged to give priority to watershed restoration planning. EPA is requesting states to emphasize a watershed approach in developing their state workplans. This will complement the Agency's overall watershed effort as stated in the Agency's Strategic Plan.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Section 604(b) funds are awarded under Section 205(j)(2) to State water quality management agencies to carry out WQM planning. Pursuant to Section 205(j)(3) of the CWA, as amended, States are required to allocate at least 40 percent of funds awarded under 205(j)(2) to eligible RPCPOs and IOs. EPA may approve a State's request to pass through less than 40 percent if, after consultation with its RPCPOs and IOs, the Governor determines that pass through of at least 40 percent will not: (1) result in significant participation by RPCPOs and IOs in WQM planning; and (2) significantly assist in development and implementation of the state's WQM plan. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State Water Quality Management Agencies.

Beneficiary Eligibility:

State Water Quality Management Agencies.

Credentials/Documentation:

2 CFR 200 Subpart E applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The standard application forms, as furnished by the Federal agency and required by 2 CFR 200 and 1500 as applicable, must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Neither environmental impact statements nor environmental impact assessments are required for activities funded under Section 205(j) and 604(b) since these funds are used for water quality planning and not implementation

. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The grant application must be submitted to the appropriate EPA Regional Administrator's Office. The standard application forms, as furnished by the Federal agency and required by

2 CFR 200 and 1500 as applicable, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm.

Award Procedure:

Grant applications are reviewed by the appropriate EPA Regional Office and, if approved, the funds are awarded by the Regional Administrator.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 45 days.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Clean Water Act. Each fiscal year, the Administrator shall reserve under Section 604(b) of the CWA for each State an amount not to exceed one percent of the amount allotted and available for obligation for Clean Water State Revolving Fund or \$100,000, whichever is greater, for the purposes of making grants to the States to carry out water quality management planning. Under Section 205(j)(3), at least 40 percent of a State's annual award under 205(j)(2) must be allocated to eligible RPCPOs and IOs, unless EPA approves a lesser amount.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As determined in accordance with Section 604(c)(1) of the Clean Water Act (CWA). Sums allotted to a State under this section for a fiscal year shall be available for EPA's obligation to the State during the fiscal year for which sums are authorized and during the following fiscal year. Recovery Act funds were available for EPA's obligation to the State until September 30, 2010. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. For Recovery Act funds, funding reports must be submitted in a prescribed format within 10 calendar days after the end of each calendar quarter, beginning October 10, 2009. These reports include data elements as prescribed by Public Law 111-5, Section 1512 and OMB Guidance. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are

subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records kept for 3 years from date of submission of the annual financial status report. If questions remain, records retained until matter is completely resolved.

Account Identification:

68-0103-0-1-304; 68-0102-0-1-304.

Obligations:

(Formula Grants) FY 16 Not Available; FY 17 est \$11,822,000; and FY 18 Estimate Not Available(Exp: Budget not specified) - FY 15 \$11,700,000; FY 16 \$14,140,000; and FY 17 est. \$11,822,000.

Range and Average of Financial Assistance:

Range: \$100,000 to \$1,478,000/fiscal year; Average: \$251,000/fiscal year.

TAFS Codes:

68-0102; 68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Section 604(b) funding provides the financial source for States and territories to pursue water quality planning under Sections 205(j) and 303(e) of the Clean Water Act. This program provides a planning framework to support watershed restoration and protection activities. In FY 16, a total of 56 grants will be awarded to States and territories to support water quality planning activities. Outputs included new/updated water quality management plans, new/revised water quality standards, Total Maximum Daily Loads (TMDLs), river planning projects, ambient monitoring data to support water quality assessments, and integrated 304(b)/303(d) reports. Fiscal Year 2017: Section 604(b) funding provides the financial source for States and territories to pursue water quality planning under Sections 205(j) and 303(e) of the Clean Water Act. This program provides a planning framework to support watershed restoration and protection activities. In FY 17, a total of 56 grants will be awarded to States and territories to support water quality planning activities. Outputs included new/updated water quality management plans, new/revised water quality standards, Total Maximum Daily Loads (TMDLs), river planning projects, ambient monitoring data to support water quality assessments, and integrated 304(b)/303(d) reports. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grant regulations at 2 CFR 200 and 1500 as applicable, and 40 CFR Part 35, Subpart A.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Chris Solloway

Chris Solloway, Nonpoint Source Management Branch (4503T), Office of Wetlands, Oceans, and Watersheds, Office of Water, USEPA, 1200 Pennsylvania Avenue, NW., Washington, DC 20460. Telephone: (202) 566-1202; E-mail: solloway.chris@epa.gov

, Washington, District of Columbia 20460 Email: solloway.chris@epa.gov
Phone: 202-566-1202

Website Address:

<http://water.epa.gov/aboutow/owow/funding.cfm>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Grants are allocated to States for: 1) development of water quality management plans; 2) development of watershed plans; 3) development of waste load allocations for impaired waterbodies; 4) reviews and revisions of water quality standards; and 5) collection and analysis of ambient monitoring data. Fiscal Year 2017: Grants are allocated to States for: 1) development of water quality management plans; 2) development of watershed plans; 3) development of waste load allocations for impaired waterbodies; 4) reviews and revisions of water quality standards; and 5) collection and analysis of ambient monitoring data. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Funds are awarded to state agencies in accordance with Sections 205(j) and 303(e) of the Clean Water Act, 40 CFR 130.5-6, and EPA program guidance.

66.456 NATIONAL ESTUARY PROGRAM

(NEP)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Title 3, Section 320, Public Law 94-117, 33 U.S.C 466; Clean Water Act, Title 3, Section 320, Public Law 106-457, 33 U.S.C 466.

OBJECTIVES:

The National Estuary Program (NEP) goal is to protect and restore the water quality and estuarine resources of estuaries and associated watersheds designated by the EPA Administrator as estuaries of national significance. The 28 estuaries of national significance, or NEPs, use an ecosystem-based management approach to help achieve their protection and restoration goals. For example, each NEP characterizes the priority problems in its estuary and surrounding watershed, develops a long-term plan known as a Comprehensive Conservation and Management Plan (CCMP) that identifies actions to address those problems, and identifies partners, including lead entities, who will implement those actions. Implementation of CCMPs can include the following actions: protecting and restoring habitat, including wetlands; supporting water quality protection and restoration, including Total Maximum Daily Load (TMDL) plan implementation; monitoring for, assessing the extent of toxics loadings and pathogen contamination, and taking steps to address excess loadings and contamination; implementing stormwater management, reducing non-point source pollution impacts, and promoting the adoption of green infrastructure approaches; preventing the spread of aquatic invasive species and/or managing their impacts; developing and implementing nutrient reduction strategies; conducting climate vulnerability assessments and developing and implementing climate change adaptation strategies and using adaptation tools to promote coastal resilience; and developing and implementing strategies to provide opportunities for residents of urban minority and/or under-served communities to have greater access to urban waters, participate in urban ecosystem restoration, and participate in capacity-building/educational activities

Funding Priority - Fiscal Year 2017: EPA's funding priority is to award assistance agreements that support the 28 National Estuary Programs' (NEPs) efforts to address their estuarine watersheds' priority problems identified in each of the 28 long-term management plans known as Comprehensive Conservation and Management Plans (CCMPs). EPA will provide focused support for NEPs to address priority problems identified by NEP management conferences and to document accomplishments and environmental results. NEP efforts address such problems as: (1) impacts on estuarine water quality and living resources of nutrients, toxics (chemical, heavy metals), pathogen contamination, and sediment attributable to excess loadings and non-point stormwater runoff; e.g., excess nutrient loadings can result in nutrient over-enrichment and hypoxic conditions in estuarine water bodies; (2) habitat loss/degradation; (3) need to assess risks to CCMP implementation due to climate change impacts, to build regional, local, and tribal officials' capacity to address climate change impacts on NEP watersheds, and to promote community resilience; activities that build capacity include conducting vulnerability assessments of communities and local development and implementation of climate adaptation strategies and programs; and 4) need to build the capacity of local public officials and managers in

estuarine communities to implement sustainable land use, green infrastructure, and low-impact development best practices.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Section 320 authorizes issuance of assistance agreements used to meet Section 320 requirements to develop and implement CCMPs. Annual workplans, which address priority actions in the CCMPs, lay out projects to be undertaken during the fiscal year. They are developed and approved by each management conference. Twenty-eight estuaries have been designated as estuaries of national significance; those 28 are: Long Island Sound, Puget Sound, Buzzards Bay, Narragansett Bay, New York-New Jersey Harbor, Delaware Bay, Delaware Inland Bays, Sarasota Bay, Galveston Bay, Santa Monica Bay, Albemarle-Pamlico Sounds, San Francisco Estuary, Casco Bay, Massachusetts Bays, Indian River Lagoon, Tampa Bay, Peconic Bay, San Juan Bay, Coastal Bend Bays, Tillamook Bay, the Barataria-Terrebonne Estuarine Complex, Piscataqua Estuary Complex, Barnegat Bay, Maryland Coastal Bays, Charlotte Harbor, Mobile Bay, Morro Bay, and Columbia River Estuary. NEPs and their partners carry out such actions as: (1) protecting and restoring habitat; (2) identifying research needs and conducting research as needed to obtain new data to address priority problems; (3) monitoring estuarine conditions to detect changes in water quality and condition of ecosystem resources; and (4) providing technical assistance to States and local communities to address impacts from nutrient over-enrichment, stormwater, sedimentation, toxics, pathogens, and aquatic invasive species; to assess vulnerability from climate change impacts; and to develop climate change adaptation strategies and tools. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Assistance agreements are issued only to those estuaries designated by the Administrator. The Administrator is authorized to make grants to State, interstate, and regional water pollution control agencies and entities; State coastal zone management agencies; interstate agencies; and other public and private nonprofit agencies, institutions, organizations, and individuals (Section 320(g)(1)). Profit making organizations are not eligible for grants. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200 Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication assistance may be obtained from the appropriate EPA Regional Office. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. All work plans should be developed in response to the priority tasks identified in the management

conference annual work plan. Work plans should also be developed in response to negotiated schedules for commitments to meet the purpose of a management conference outlined in the Act for the estuary. Each work plan will be considered by the appropriate management conference and the EPA Regional program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms, as furnished by the Federal agency and required by 2 CFR 200 and 1500 as applicable, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. The completed application, including the final proposal approved by the estuary management committee, should be submitted to the appropriate Regional program office for processing. This program is subject to the provisions of 2 CFR 200 and 1500 as applicable. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

Each application is reviewed by EPA Regional Offices to determine the adequacy of the application under grant regulations and National Estuary Program objectives, including technical merit and relevance of the project. Awards are issued by the EPA Regional Offices after approval by the appropriate Division Director. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days after deadline for application submission.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Renewals are subject to approval of the individual estuary management organization. Contact the appropriate EPA Regional office for guidance.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Public Law 106-457, which amended Section 320(g) of the Water Quality Act of 1987, limits the amount of grants to 75 percent of the aggregate CCMP development project costs for each estuary program and 50 percent of the aggregate CCMP implementation project costs for each estuary program, and requires that the nonfederal share be provided from

nonfederal sources. The nonfederal share can be contributed by any of the participants in the management conference. Contact the appropriate management conference program office or EPA Regional Office for more information.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance agreements are typically funded on a 12-month basis (yearly). Project period may cover up to 36 months, with continuations possible for certain types of projects. Payments will be on a letter of credit or advance basis. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements and in the annual NEP Funding Guidance. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 16 \$17,915,300; FY 17 est \$17,077,000; and FY 18 est \$0 - FY 16 \$17,915,300; FY 17 est. \$17,077,000; and FY 18 est. \$0.00.

Range and Average of Financial Assistance:

For FY 16, each of the NEPs received base funding in the amount of \$600,000. For FY 17, each of the NEPs received base funding in the amount of \$600,000.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Maintaining high-quality habitat is one way of ensuring the health of the nation's estuaries. Since 2000, the NEPs and their partners have protected and restored over 1.5 million acres of habitat in the 28 NEP estuarine watersheds. The acreage protected and restored by the NEPs and their partners enhances the 28 NEP's capacity to support healthy populations of wildlife and near-coastal and marine organisms, including many commercially-valuable shellfish and finfish. That acreage also enhances the 28 estuarine watersheds' capacity to support the economic and ecosystem services and aesthetic qualities provided by estuarine environments and on which coastal populations depend for their livelihoods and well-being. In FY 16, the NEPs and their partners protected and restored approximately 111,584 acres of estuarine habitat in their estuarine watersheds. Fiscal Year 2017: In FY 17, grants will support the NEP's CCMP implementation by funding NEP efforts that include: protecting and restoring up to 100,000 acres of estuarine habitat; protecting and restoring

estuarine water quality in NEP study areas; supporting core Clean Water Act programs like those that target stormwater, excess nutrients, and pathogens and promoting adoption of green infrastructure approaches to reduce the impacts of stormwater and non-point source pollution; conducting vulnerability assessments and/or implementing climate adaptation strategies in over 50% of NEP study areas and collaborating with other EPA programs and with agencies like the National Oceanic and Atmospheric Administration to build regional, local, and tribal coastal community resilience to impacts of climate change on coastal ecosystems, public health, and economies; building local capacity to reach out to and involve urban community residents who typically may not have had access to water bodies in NEP study areas nor have been actively engaged in urban water body protection and restoration. Since 2000, the NEPs and their partners have protected and restored over 2 million acres of habitat in the 28 NEP estuarine watersheds. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

General grant regulations and procedures (2 CFR 200 and 1500, as applicable). Federal Register, Vol. 59, 61124, November 29, 1994, Technical Amendment Financial Assistance Requirements for the National Estuary Program (40 CFR, Part 35). Saving Bays and Estuaries, a Primer for Establishing and Managing Estuary Projects, EPA/503/8-89-001, is available from the Oceans and Coastal Protection Division, Office of Wetlands, Oceans, and Watersheds (4504T) EPA, 1200 Pennsylvania Ave., NW., Washington, DC 20460. Forms and instructions for filing are included in an application kit available from the Grants and Intergency Agreements Management Division (3903R), Office of Grants and Debarment, EPA, 1200 Pennsylvania Ave., NW., Washington, DC. 20460.

Regional or Local Office:

See Regional Agency Offices. Office of Ecosystem Protection, EPA, Region I, 1 Congress Street, Boston, MA 02114-2023; Telephone:(617) 918-1511. Division of Environmental Planning and Protection, EPA, Region II, 290 Broadway, New York, NY 10007-1866; Telephone:(212) 637-3724. Water Protection Division, EPA, Region III, 1650 Arch Street, Philadelphia, PA 191103-2029; Telephone:(215)814-2989. Water Management Division, EPA, Region IV, 61 Forsyth St., S.W., Atlanta, GA 30303; Telephone:(404) 562-9345. Water Quality Protection Division, EPA, Region VI, 1445 Ross Ave., Dallas, TX 75202-2733; Telephone:(214) 665-7101. Water Division, EPA, Region IX, 75 Hawthorne Street, San Francisco, CA 94105; Telephone: (415)744-1860. Office of Ecosystems, Tribal, and Public Affairs; and Office of Water & Watersheds, EPA Region X, 1200 Sixth Ave., Seattle, WA 98101; Telephone:(206) 553-4181.

Headquarters Office:

Surabhi Shah, Surabhi Shah, Chief, Partnership Programs Branch (4504T), Oceans, Wetlands, and Communities Division, Office of Wetlands, Oceans, and Watersheds, EPA 1200 Pennsylvania Ave., Washington, DC 20460. Telephone: (202) 564-3833; FAX: (202) 566-1336; E-mail: Shah.surabhi@epa.gov

, Washington, District of Columbia 20460 Email: Shah.surabhi@epa.gov
Phone: (202) 564-3833.

Website Address:

<https://www.epa.gov/nep>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.454 Water Quality Management Planning; 66.458 Capitalization Grants for Clean Water State Revolving Funds; 66.461 Regional Wetland Program Development Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Assistance agreements awarded to states, interstate agencies, academic institutions and other nonprofit organizations to implement NEP

Comprehensive Conservation and Management Plans (CCMPs). Activities associated with CCMP implementation include those that: (1) protect and restore water quality by conducting monitoring, assessing water quality conditions, implementing best management practices that help restore degraded waters to healthy conditions, and reducing loadings of pollutants like nutrients and toxics to estuarine water bodies; (2) protect and restore habitat and living resources by implementing local stormwater management programs, eradicating invasive species, removing dams that obstruct fish passage, and providing technical assistance on low-impact development practices that reduce sediment loadings to water bodies; (3) conduct climate change vulnerability assessments and develop and implement climate adaptation strategies; (4) revise CCMPs to reflect new local priorities; (5) develop annual work plans and design and maintain systems to track and report on priority action implementation, deliverables, and environmental results; (6) evaluate and report on CCMP implementation progress and environmental results; and (7) reach out to and build local capacity of urban community residents to participate in estuarine urban waters protection and restoration; those residents may not have had access to water bodies in NEP study areas nor have been actively engaged in urban water body protection and restoration. Fiscal Year 2017: Assistance agreements awarded to states, interstate agencies, academic institutions and other nonprofit organizations to implement NEP Comprehensive Conservation and Management Plans (CCMPs). Activities associated with CCMP implementation include those that: (1) protect and restore water quality by conducting monitoring, assessing water quality conditions, implementing best management practices that help restore degraded waters to healthy conditions, and reducing loadings of pollutants like nutrients and toxics to estuarine water bodies; (2) protect and restore habitat and living resources by implementing local stormwater management programs, eradicating invasive species, removing dams that obstruct fish passage, and providing technical assistance on low-impact development practices that reduce sediment loadings to water bodies; (3) conduct climate change vulnerability assessments and develop and implement climate adaptation strategies; (4) revise CCMPs to reflect new local priorities; (5) develop annual work plans and design and maintain systems to track and report on priority action implementation, deliverables, and environmental results; and (7) reach out to and build local capacity of urban community residents to participate in estuarine urban waters protection and restoration; those residents may not have had access to water bodies in NEP study areas nor have been actively engaged in urban water body protection and restoration. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Grants are awarded to the NEPs that satisfy the requirements outlined in the application procedure section.

66.458 CAPITALIZATION GRANTS FOR CLEAN WATER STATE REVOLVING FUNDS

(CW State Revolving Fund)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act (CWA), as amended, Public Law 95-217; Water Quality Act of 1987, Section 601-607.205(m), Public Law 100-4; American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To create State Revolving Funds (SRFs) through a program of capitalization grants to States which will provide a long term source of State financing for construction of wastewater treatment facilities and implementation of other water quality management activities.

Funding Priority - Fiscal Year 2017: Capitalization grants are available to each State for the purpose of establishing a Clean Water SRF for providing assistance for: (1) construction of publicly owned wastewater treatment works; (2) implementing nonpoint source management activities included in State Plans developed pursuant to Section 319; and (3) developing and implementing an estuary comprehensive conservation and management plan under Section

320. The 2014 Water Resources Reform and Development Act (WRRDA) included amendments to the Clean Water SRF. The amendments included additional types of assistance available in the SRF, changed the amount of funding that is available for administering the SRF and provided the opportunity for States to offer additional subsidy. In addition to the assistance mentioned above, SRF may now provide assistance for: (1) the construction, repair, or replacement of decentralized wastewater treatment systems that treat municipal wastewater or domestic sewage; (2) measures to manage, reduce, treat or recapture stormwater or subsurface drainage water; (3) any municipality or intermunicipal, interstate, or State agency for measures to reduce the demand for publicly owned treatment works capacity through water conservation, efficiency or reuse; (4) the development and implementation of watershed projects meeting the criteria set forth in section 122; (5) any municipality or intermunicipal, interstate, or State agency for measures to reduce the energy consumption needs for publicly owned treatment works; (6) reusing or recycling wastewater, stormwater, or subsurface drainage water; (7) measures to increase the security of publicly owned treatment works; and (8) any qualified nonprofit entity, as determined by the Administrator, to provide assistance to owners and operators of small and medium publicly owned treatment works. The capitalization grant is deposited in the State's SRF, which is used to provide loans and other types of financial assistance, to local communities and intermunicipal and interstate agencies. 4 percent of the grant amounts, \$400,000 each year or 1/5 percent per year of the current valuation (net profit) of the fund may be used by the States for the cost of administering the SRF. States determine priorities for funding within their State in accordance with the CWA. A portion of the funding must be used to provide additional subsidy in the form of grants, principal forgiveness or negative interest loans. The maximum percentage that may be provided as additional subsidy will range from 0 percent to 30 percent based on the amount of the total appropriation. For example, if the total appropriation is less than or equal to \$1 billion, no additional subsidy would be authorized; if the total appropriation is greater than or equal to \$1.3 billion, additional subsidy up to 30 percent of the grant would be authorized; but, if the total appropriation is greater than \$1 billion but less than \$1.3 billion, a percentage equal to the percentage by which the appropriation exceeds \$1 billion would be authorized. Additional subsidy may only be provided to a municipality or intermunicipal, interstate, or State agency and used to benefit a municipality who meets the SRF affordability criteria, benefits individual ratepayers in residential user rate class or to implement a process, material, technique, or technology to address water efficiency goals, energy efficiency goals, mitigate stormwater runoff or encourage sustainable project planning, design and construction. In addition to the above, the FY 2017 Appropriation required that ten percent of the capitalization grant be used as additional subsidy in the form of principal forgiveness, negative interest or grants. The program supports the Agency's strategic goal of ensuring Clean and Safe Water.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Capitalization grants are available to each State for the purpose of establishing a Clean Water SRF for providing assistance for: (1) construction of publicly owned wastewater treatment works; (2) implementing nonpoint source management activities included in State Plans developed pursuant to Section 319; and (3) developing and implementing an estuary conservation and management plan under Section 320;(4) the construction, repair, or replacement of decentralized wastewater treatment systems that treat municipal wastewater or domestic sewage; (5) measures to manage, reduce, treat or recapture stormwater or subsurface drainage water; (6) any municipality or intermunicipal, interstate, or State agency for measures to reduce the demand for publicly owned treatment works capacity through water conservation, efficiency or reuse; (7) the development and implementation of watershed projects meeting the criteria set forth in section 122; (8) any municipality or intermunicipal, interstate, or State agency for measures to reduce the energy consumption needs for publicly owned treatment works; (8) reusing or recycling wastewater, stormwater, or subsurface drainage water; (10) measures to increase the security of publicly owned treatment works; and (11) any qualified nonprofit entity, as determined by the Administrator, to provide assistance to owners and operators of small and medium publicly owned treatment works. The capitalization grant is deposited in the state's SRF, which

is used to provide loans and other types of financial assistance, but not grants, to local communities and intermunicipal and interstate agencies. Assistance may be provided to persons for implementing nonpoint source and estuary management activities provided for in State Plans developed under Sections 319 and 320. Up to 4 percent of the grant amounts, \$400,000 each year or 1/5 percent per year of the current valuation (net profit) of the fund may be used by the States for the cost of administering the SRF. States determine priorities for funding within their State in accordance with the CWA. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States and Puerto Rico are eligible to receive capitalization grants under Title VI. Indian tribes are eligible to receive grants from Title VI for the construction of municipal wastewater facilities. The District of Columbia, territories, possessions of the U.S. are eligible to receive grants from Title VI for the construction of municipal wastewater facilities (see CFDA 66.418).

Beneficiary Eligibility:

For loans and other financial assistance (but not grants) for wastewater treatment facilities: local communities, intermunicipal, State, interstate agencies, and Indian tribes. For nonpoint source management programs and estuary activities in approved State Nonpoint Source Management Programs and Comprehensive Conservation and Management Plans: the public agencies listed above, individuals, and programs.

Credentials/Documentation:

To receive a capitalization grant, a State shall enter into an agreement with the EPA Regional Administrator which shall include, but not be limited to, the 10 specifications set forth in Section 602(b) of the CWA. 2 CFR 200 Subpart E applies to the program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant (State) should seek preapplication assistance from the appropriate EPA Regional Office. The State is required to prepare and provide for public comment a plan identifying the intended uses of the funds in the SRF (an intended use plan) and how those uses support the goals of the SRF. The plan is to be submitted no later than the application. An environmental impact statement is not required prior to grant award; however, a State environmental review process must be applied to all subsequent state assistance for wastewater facilities. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application for EPA non-construction grant assistance (SF424-A and B) is submitted to the appropriate EPA Regional Office. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities

under this CFDA. The State must certify in its application that it has the legal, managerial, technical, and operational capabilities to administer the SRF program competently and that it will comply with Federal anti-discrimination laws and other applicable Federal statutes. Regulations at 2 CFR 200 and 1500, as applicable, applies to the State. Establishment of the SRF is a prerequisite for a grant award. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

A grant application is reviewed by the appropriate Regional Office and, if approved, the grant is awarded by the Regional Administrator under a delegation of authority from the Administrator of EPA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval time averages 45 days.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

For those portions of the State program that do not change from year to year, a subsequent grant application may incorporate by reference relevant portions of the previous year's application which have not changed or an operating agreement approved by the region.

Formula and Matching Requirements:

Statutory Formula: Title Clean Water Act.
Matching Requirements: The Regional Administrator may award capitalization grants from funds appropriated for this purpose. Allotments to the States are determined by a table of decimal fractions ("formula") in Section 205(c)(3) of the CWA. The required State match is 20 percent of the amount of each Federal grant payment. For Recovery Act funds, the required State match of 20 percent of the amount of each Federal grant payment was waived. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for EPA's obligation to the State during the fiscal year in which they are allotted and during the following year. The State must agree to enter into binding commitments with recipients to provide financial assistance from the SRF in an amount equal to 120 percent of each quarterly grant payment. The State is also required to agree to expend all funds in the SRF in an expeditious and timely manner. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

Beginning the first fiscal year after receiving payments, the State shall provide an annual report to the Regional Administrator in accordance with the schedule established in the grant agreement (generally not later than 90 days after the end of the fiscal year in which the payments were received). The annual report shall describe how the State has met the goals and objectives for the preceding fiscal year, as identified in its intended use plan, including identification of loan recipients, loan amounts, and loan terms and similar details on other forms of financial assistance provided from the SRF. For Recovery Act funds, States are required to input loan information into the CWSRF Benefits Reporting (CBR) database within one week of finalizing the loan. For base funds, loan information is required to be put into CBR in the quarter in which the loan is made. CBR includes data elements as prescribed by Public Law 111-5, Section 1512 and OMB Guidance. Inputting loan data into CBR is required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. A State must, at a minimum, comply with the provisions of 2 CFR 200, Subpart F. States are also encouraged to conduct annual independent audits. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As part of the annual review conducted by the agency to assess the State's performance against activities identified in the intended use plan and annual report, and to determine compliance with the terms of the capitalization grant agreement, the State or loan recipient shall make available to EPA such records as the Regional Administrator reasonably requires to review and determine State compliance with the requirements of the CWA.

Account Identification:

68-0102-0-1-304; 68-0103-0-1-304.

Obligations:

(Formula Grants) FY 16 \$1,319,293,600; FY 17 est \$1,369,202,000; and FY 18 est \$1,393,387,000 - FY 16 \$1,319,293,600; FY 17 est. \$1,369,202,000; and FY 18 est. \$1,393,387,000.

Range and Average of Financial Assistance:

\$6,500,000 to \$147,000,000/fiscal year; \$26,000,000/fiscal year.

TAFS Codes:

68-0102; 68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Each year, 51 grants are awarded to states and Puerto Rico. The program funds an estimated 10 to 20 percent of the nation's annual wastewater capital projects. The program has provided over \$120 billion in cumulative assistance since 1988. Assistance has included funding to prevent polluted runoff, upgrade wastewater treatment plants from secondary level treatment to advanced (tertiary) treatment to reduce pollutant loads, and improve water quality on a watershed basis. In FY 16, the program provided \$7.6 billion in assistance. This included \$2.8 billion for secondary treatment to reduce pollutant loads, \$1.7 billion to upgrade wastewater treatment plants from secondary level treatment to advanced (tertiary) treatment, and over \$3.1 billion to prevent polluted runoff. Fiscal Year 2017: Each year, 51 grants are awarded to states and Puerto Rico. Assistance in FY 17 will include funding to prevent polluted runoff, upgrade wastewater treatment plants from secondary level treatment to advanced (tertiary) treatment to reduce pollutant loads, and improve water quality on a watershed basis. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program guidance was issued January 28, 1988. Interpretive Guidance on the Water Resources Reform and Development Act SRF amendments was issued on January 6, 2015. A State Revolving Fund Management Manual was distributed in November, 1988. Regulations include 2 CFR 200 and 1500 as applicable, and SRF regulations 40 CFR Part 35, Subpart K which were issued in March 1990.

Regional or Local Office:

See Regional Agency Offices. EPA Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Sheila Platt, Sheila Platt, State Revolving Fund Branch, Municipal Support Division, (4204M), Office of Wastewater Management, Environmental Protection Agency, Washington, DC 20460. Telephone: (202) 564-0686; Fax: (202) 501-2403; E-mail: platt.sheila@epa.gov, Washington, District of Columbia 20460 Email: platt.sheila@epa.gov Phone: (202) 564-0686.

Website Address:

http://water.epa.gov/grants_funding/cwsrf/cwsrf_index.cfm

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: State revolving loan fund; wastewater projects involving advanced treatment, secondary treatment, infiltration/inflow correction, replacement/upgrade of pipe and equipment and expansion of existing wastewater treatment plants; nonpoint source and estuary protection projects (for example, septic system rehabilitation, leaking underground storage tank removal, contaminated soil removal, agricultural BMPs, conservation easements, wetlands protection/rehabilitation, and prevention of agricultural runoff). Fiscal Year 2017: State revolving loan fund; wastewater projects involving advanced treatment, secondary treatment, infiltration/inflow correction, replacement/upgrade of pipe and equipment and expansion of existing wastewater treatment plants; nonpoint source and estuary protection projects (for example, septic system rehabilitation, leaking underground storage tank removal, contaminated soil removal, agricultural BMPs, conservation easements, wetlands protection/rehabilitation, and prevention of agricultural runoff). Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

Grants are awarded to States that satisfy the requirements outlined in the application procedure section.

66.460 NONPOINT SOURCE IMPLEMENTATION GRANTS

(319 Program)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Title 3, Section 319(h).

OBJECTIVES:

To assist States, the District of Columbia, American Samoa, Guam, Northern Marianas, Puerto Rico, Virgin Islands (hereinafter referred to as States), and qualified Indian Tribes and intertribal consortia in implementing EPA-approved Section 319 nonpoint source management programs.

Funding Priority - Fiscal Year 2016: EPA's funding priority is to award grants that implement a grant recipient's nonpoint source management program plan, particularly the development and implementation of watershed-based plans, focusing on watersheds with water quality impairments caused by nonpoint sources, which result in improved water quality in impaired waters. These watershed plans are a mechanism to coordinate monitoring and planning on a watershed basis and will build a foundation for effective implementation actions using federal and other funding. Nonpoint source implementation projects include best management practice (BMP) installation for animal wastes, sediment, pesticide and fertilizer control, a variety of other structural and non-structural practices, watershed planning, monitoring, watershed coordinators, technology demonstration, and a variety of education/outreach programs, among others.

Funding Priority - Fiscal Year 2017: EPA's funding priority is to award grants that implement a grant recipient's nonpoint source management program plan, particularly the development and implementation of watershed-based plans, focusing on watersheds with water quality impairments caused by nonpoint sources, which result in improved water quality in impaired waters. These watershed plans are a mechanism to coordinate monitoring and planning on a watershed basis and will build a foundation for effective implementation actions using federal and other funding. Nonpoint source implementation projects include best management practice (BMP) installation for animal wastes, sediment, pesticide and fertilizer control, a variety of other structural

and non-structural practices, watershed planning, monitoring, watershed coordinators, technology demonstration, and a variety of education/outreach programs, among others.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are provided to eligible applicants to carry out nonpoint source projects and programs pursuant to Section 319 of the Clean Water Act as amended by the Water Quality Act of 1987. Requirements under Section 319(h) include: nonfederal matching funds of at least 40 percent of project cost (match is an overall grant requirement - the match requirement for an individual project may be waived and in-kind services may be used as match); maintenance of effort; grants may be used for financial assistance to individual persons in the case of demonstration projects only; annual reporting; and EPA determinations of adequate recipient progress before additional funding. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Funds are provided to eligible applicants to carry out nonpoint source projects and programs pursuant to Section 319 of the Clean Water Act as amended by the Water Quality Act of 1987. Requirements under Section 319(h) include: nonfederal matching funds of at least 40 percent of project cost (match is an overall grant requirement - the match requirement for an individual project may be waived and in-kind services may be used as match); maintenance of effort; grants may be used for financial assistance to individual persons in the case of demonstration projects only; annual reporting; and EPA determinations of adequate recipient progress before additional funding. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Eligible entities include States and qualified Indian Tribes and intertribal consortia who have approved nonpoint source assessment reports and management plans. To be qualified, Tribes must have treatment in a manner similar to a state (TAS) status for the 319 Program. Grants to States are awarded by formula. The State allotment is awarded to the agency in each State designated by the chief executive as the lead nonpoint source agency. The lead nonpoint source agency may distribute grant funds to other organizations in accordance with its work program, which is approved by EPA. Eligible Tribes are allocated a base allotment by formula; the remaining Tribal portion of the 319(h) appropriation is competed among qualified Indian Tribes and intertribal consortia. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments; interstate and intrastate agencies; federally recognized Indian tribal governments; intertribal consortia; the following US territory or possessions: the District of Columbia, American Samoa, Guam, Northern Marianas, Puerto Rico, Virgin Islands; public and private nonprofit organizations and institutions. The lead nonpoint source agency may distribute grant funds to other organizations in accordance with a work program which is approved by EPA.

Credentials/Documentation:

The provisions of 2 CFR 200 Subpart E apply. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination with the appropriate EPA Regional Office, listed in Appendix IV of the Catalog, is recommended. The standard application forms, as furnished by the Federal agency and required by 2 CFR 200 and 1500 as applicable, must be used for this program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications by States must be submitted to the appropriate EPA Regional Office (refer to Appendix IV of the Catalog for a list of Regional Offices). Grant applications by subgrantees within a State (after EPA has made a grant award to the State) must be submitted to the State agency that administers Section 319 grants. The list of State nonpoint source contacts for subgrantees is available at <https://www.epa.gov/nps/state-contacts-nps-programs>. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

Grant applications must be submitted by the State to the appropriate EPA Regional Office to determine the overall adequacy of the application to meet the statutory objectives. Funds are awarded to States by formula. Award procedure by States to subgrantees varies by State. The list of State nonpoint source contacts is available at <https://www.epa.gov/nps/state-contacts-nps-programs>. Eligible Tribes receive funding for a base grant work plan; Tribes may also compete for the rest of the tribal portion of the total 319(h) appropriation. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

EPA generally awards grants to States within 60 days after the application is submitted.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Clean Water Act.

Matching Requirements: Nonfederal match of at least 40 percent of project or program costs is required except for grants to Indian Tribes, where following demonstration of financial hardship, the nonfederal match may be reduced to as low as 10 percent of project or program costs. If the tribe includes the section 319 grant as a part of an approved Performance Partnership Grant (PPG), the nonfederal match requirement may be reduced to 5 percent of the total cost of the work plan budget for the first 2 years in which the tribe receives a PPG; after 2 years, the cost share/match may be increased up to 10 percent of the work plan budget (as determined by the Regional Administrator). States must also meet maintenance of effort requirements contained in statute. EPA awards grants to all eligible States and Tribes which apply for funding based on an allocation formula that the Agency developed. The allocation formula is described in the revised grant guidelines issued on April 12, 2013 and found at <https://www.epa.gov/nps/319-grant-current-guidance> Note, tribal base grant guidelines and annual Request for Proposals (RFP) are found at <https://www.epa.gov/nps/tribal-319-grant-program>.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

As determined in accordance with Section 319 (h). See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports, and expenditure/financial, equipment, and inventory reports. Progress reports are generally required at least semi-annually. Specific reporting requirements are also identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be kept for three years from date of submission of the annual federal financial report. If questions remain, records must be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 16 \$166,177,000; FY 17 est \$169,248; and FY 18 est \$0 - FY 16 \$166,177,000; FY 17 \$169,248; and FY 18 est. \$0.00.

Range and Average of Financial Assistance:

States/Territories: \$443,000 to \$8,603,800; \$2,867,600. Indian Tribes: base grants \$30,000 to \$50,000; competitive grants up to \$100,000. Ranges vary year-to-year based on size of appropriation (and also varies depending on number of applicants for grants to Indian Tribes or intertribal consortia).

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Grants are awarded annually to all States and selected Tribes.

Grant funds were used by States and Tribes to implement portions of their NPS management programs. In FY 16, 56 grant awards were made to states and territories. Additional information regarding selected projects is available in Section 319 Success Stories, available online at <http://www.epa.gov/owow/nps/Success319/>. An estimate of load reductions resulting from CWA Section 319(h) funded projects reported from the time period February 2016 - February 2017 is as follows: nitrogen - 12.7 million pounds; phosphorus - 2.6 million pounds; and sediment - 903,000 tons. Fiscal Year 2017: Grants are awarded annually to all States and selected Tribes. Grant funds are used by States and Tribes to implement portions of their NPS management programs. Additional information regarding selected projects is available in Section 319 Success Stories, available at <http://www.epa.gov/nps/Success/>. Data for FY 17 funded projects are not available at this time. In addition, information on ongoing and completed 319 projects is available at <https://ofmpub.epa.gov/apex/grts/f?p=110:95:0::NO:::>. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Operational grant guidelines for FY 2013 Nonpoint Source Program and Grants Guidelines for States and Territories (Oct. 23, 2003). The guidelines can be found at

<https://www.epa.gov/polluted-runoff-nonpoint-source-pollution/319-grant-historic-guidance>. The revised guidelines published on April 12, 2013 apply for FY 2014 and beyond and can be found at

<https://www.epa.gov/polluted-runoff-nonpoint-source-pollution/319-grant-current-guidance>.

Tribal grant information is posted at <http://www.epa.gov/nps/tribal>.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate EPA Regional Office listed in Appendix IV of the Catalog. A list of specific EPA Regional nonpoint source contacts is available at

<http://water.epa.gov/polwaste/nps/upload/reg-nps-coord.pdf>. The list of specific EPA Tribal nonpoint source contacts is available at

<http://water.epa.gov/polwaste/nps/tribal/>.

Headquarters Office:

cynthia curtis

Cynthia Curtis, Nonpoint Source Management Branch, Watersheds Restoration, Assessment and Protection Division, Office of Wetlands, Oceans and Watersheds, Mail Code 4503T, EPA, 1200 Pennsylvania Avenue NW, Washington, DC 20460. Telephone: (202) 566-0340; E-mail: curtis.cynthia@epa.gov

, Washington, District of Columbia 20460 Email: curtis.cynthia@epa.gov
Phone: 202-566-0340

Website Address:

<https://www.epa.gov/NPS>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Nonpoint Source implementation projects funded include BMP installation for animal wastes, sediment, pesticide and fertilizer control, urban runoff, and a variety of other structural and non-structural practices; watershed planning, monitoring, watershed coordinators, technology demonstration, and a variety of education/outreach programs, among others.

Fiscal Year 2017: Nonpoint Source implementation projects funded include BMP installation for animal wastes, sediment, pesticide and fertilizer control, urban runoff, and a variety of other structural and non-structural practices; watershed planning, monitoring, watershed coordinators, technology demonstration, and a variety of education/outreach programs, among others.

Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Funds are awarded to States in accordance with Section 319 (h). For selection processes

within individual States, contact the appropriate State nonpoint source coordinators. A list of these coordinators is available at http://water.epa.gov/polwaste/nps/upload/state_nps_coord.pdf.

66.461 REGIONAL WETLAND PROGRAM DEVELOPMENT GRANTS

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), Public Law 92-500, 33 U.S.C 1254(b)(3).

OBJECTIVES:

To assist state, tribal, local government (S/T/LG) agencies, and interstate/intertribal entities in building programs which protect, manage, and restore wetlands. The primary focus of the grants is to build state and tribal wetland programs. A secondary focus is to build local (e.g. county or municipal) programs. Funding Priority - Fiscal Year 2013: Under the Regional Wetland Program Development Grant (WPDG) competition, EPA has identified the following four Core Elements for improving state/tribe/local governments' (S/T/LGs) ability to protect and restore their wetlands: (1) Monitoring and Assessment; (2) Voluntary Restoration and Protection; (3) Regulatory Approaches Including CWA 401 Certification; and (4) Wetland-Specific Water Quality Standards. EPA will include as an appendix to each Regional Request For Proposals (RFP) a list of actions and activities under each Core Element that will develop or refine a S/T/LG's wetlands program. In addition, EPA has identified two funding Tracks. Track One is for states and tribes that propose to develop a Wetlands Program Plan (WPP) as described at <http://water.epa.gov/type/wetlands/wpp.cfm> or carrying out projects from an EPA-approved WPP. Track Two is for states, tribes, local governments, interstate agencies, intertribal consortia, and universities that are agencies of a state that submit proposals that are consistent with the Four Core Elements as described above. Regions may add additional Region specific priorities that are compatible and consistent with the core element(s) and action(s) in the attached Appendix of development and refinement actions.

Funding Priority - Fiscal Year 2017: Under the Regional Wetland Program Development Grant (WPDG) competition, EPA has identified the following four Core Elements for improving state/tribe/local governments' (S/T/LGs) ability to protect and restore their wetlands: (1) Monitoring and Assessment; (2) Voluntary Restoration and Protection; (3) Regulatory Approaches Including CWA 401 Certification; and (4) Wetland-Specific Water Quality Standards. EPA will include a website in each Regional Request For Proposals (RFP) where potential applicants can find a list of actions and activities under each Core Element that will develop or refine a S/T/LG's wetlands program. In addition, EPA has identified two funding Tracks. Track One is for states and tribes that propose to develop a Wetlands Program Plan (WPP) as described at <https://www.epa.gov/wetlands/developing-wetland-program-plan> or carrying out projects from an EPA-approved WPP. WPPs can be found on-line at <https://www.epa.gov/wetlands/state-and-tribal-wetland-program-plans#r1>. Track Two is for states, tribes, local governments, interstate agencies, intertribal consortia, and universities that are agencies of a state that submit proposals that are consistent with the Four Core Elements as described above. Regions may add additional Region specific priorities that are compatible and consistent with the core element(s) and action(s) in the Appendix of development and refinement actions found at https://www.epa.gov/sites/production/files/2016-03/documents/wpdg_cef_appendix.pdf. In addition, for Fiscal Year 2017 EPA also held a National Tribal competition. Under the National Tribal competition EPA has identified the following four Core Elements for improving a tribe's ability to protect and restore their wetlands: (1) Monitoring and Assessment; (2) Voluntary Restoration and Protection; (3) Regulatory Approaches Including CWA 401 Certification; and (4) Wetland-Specific Water Quality Standards. EPA will include a website in the National Tribal Request For Proposals (RFP) where potential applicants can find a list of actions and activities under each Core Element that will develop or refine a S/T/LG's wetlands program. In addition, EPA has identified two funding Tracks. Track One is for tribes that propose to develop a Wetlands Program Plan (WPP) as described at <https://www.epa.gov/wetlands/developing-wetland-program-plan> or carrying out projects from an EPA-approved WPP. WPPs can be found on-line at

<https://www.epa.gov/wetlands/state-and-tribal-wetland-program-plans#r1>. Track Two is for tribes and intertribal consortia that submit proposals that are consistent with the Four Core Elements as described above.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

These grants are intended to encourage comprehensive wetlands program development by promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. Projects build the capacity of States, Tribes, and local governments to effectively protect wetland and riparian resources. Projects funded under this program support the development of a wetlands protection, restoration, or management program or support enhancement/refinement of an existing program. Interstate agency and intertribal consortia projects must be broad in scope and encompass more than one State, Tribe, or local government. The Regional Office will accept submissions only for projects that affect the States, Tribes, and Territories within their Region. Funds cannot be used for implementation of individual mitigation projects, mitigation banks, or in-lieu-fee mitigation programs. Additional uses and restrictions on funds will be described in detail in the competitive announcements. Projects that are national in scope are not eligible for funding under this Regional program but may be eligible for funding under EPA's National Wetland Program Development Grants program (CFDA 66.462). Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

States, Tribes, local government agencies, interstate agencies, and intertribal consortia are eligible to apply to the Regions. Past recipients include, but are not limited to, wetland regulatory agencies, water quality agencies (Section 401 water quality certification), planning offices, wild and scenic rivers agencies, departments of transportation, fish and wildlife or natural resources agencies, agriculture departments, forestry agencies, coastal zone management agencies, park and recreation agencies, non-point source or storm water agencies, and city or county and other S/T/LG governmental agencies that conduct wetland-related activities. In order to be eligible for WPDG funds, Tribes must be federally recognized, although "Treatment as a State" status is not a requirement. The term "interstate agency" is defined in CWA Section 502 as "an agency of two or more States established by or pursuant to an agreement or compact approved by the Congress, or any other agency of two or more States, having substantial powers or duties pertaining to the control of pollution as determined and approved by the Administrator." Intertribal consortia that meet the requirements of 40 CFR Part 35.504 are eligible for direct funding. Intertribal consortia projects must be broad in scope and encompass more than one State, Tribe, or local government. Universities that are agencies of a State government are eligible to receive funds through these competitions. Universities must include documentation showing that they are chartered as a part of a State government in their proposal. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Applicants need not be located within the boundaries of the EPA regional office to be eligible to apply for funding but must propose projects that affect the States, Tribes, and Territories within the Region.

Beneficiary Eligibility:

State, Tribal, and local governments involved in administering wetlands

protection, restoration and/or management programs, or programs related to or that complement wetlands protection programs.

Credentials/Documentation:

Tribes may be asked to demonstrate that they are Federally recognized. Interstate organizations may be asked to provide a citation to the statutory authority which establishes their status. Intertribal consortia may be asked to provide documentation that they meet the requirements of 40 CFR Part 35.504. Universities must include documentation showing that they are chartered as a part of a State government in their proposal. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Each EPA Regional Office will set up its own competitive process for awarding WPDGs. Interested applicants should review information on the WPDG at: <https://www.epa.gov/wetlands/wetland-program-development-grants>. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each EPA Regional Office will establish a process for awarding WPDGs. Regional Offices will accept applications only for projects selected through the competitive process that affect the States, Tribes, and Territories within their Region. The standard application forms, as furnished by the Federal agency and required by 2 CFR Parts 200 and 1500, must be used for this program. Applicants can also receive a copy of the current announcement by calling the EPA Regional Coordinator. Completed applications should be submitted to the appropriate EPA Regional Office. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

Grant applications are reviewed by the appropriate EPA Regional Office and, if approved, the grant is awarded by the Regional Administrator. For the National Tribal competition grant applications are reviewed by appropriate EPA staff and, if approved, the grant is awarded by the Regional Administrator. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants are usually approved within 4 months of receipt of completed application.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal

Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula. The applicant must provide a minimum of 25 percent of the total cost of the work plan to the extent allowed by 2 CFR 200 and 1500 as applicable, 40 CFR 35.385, and 35.615. To determine if the minimum match is met, EPA will use the following formula: amount (in dollars) of federal funds requested from EPA divided by 3 = minimum match (in dollars) or Total project costs divided by 4 = minimum match (in dollars). For example, if the applicant requests \$75,000 of federal funds or a Total project cost of \$100,000 for the three-year project period, it must be able to provide \$25,000 in matching funds or services. A reduced match may be available for Tribal grantees that place the Wetland Program Development Grant funds in a Performance Partnership Grant. (See regulations at 40 CFR 536(c).) Please contact your EPA Regional contact person listed in Section VII if you have any questions about calculating the match requirement. We encourage States and Tribes to provide a larger share of the project's cost whenever possible (i.e., in excess of the required 25 percent of total project costs). Award recipients can meet the match requirement with in-kind or monetary contributions from entities other than themselves. However, other Federal money cannot be used as the match for this grant program unless authorized by the statute governing the award of the other Federal funds. Matching funds are considered grant funds. They must be used for the reasonable and necessary expenses of carrying out the assistance agreement work plan. Any restrictions on the use of grant funds (i.e., prohibition of land acquisition with grant funds) also apply to the matching funds. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of grant award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 \$14,028,000; FY 17 est \$13,907,000; and FY 18 est \$9,868,000 - FY 16 \$14,028,000; FY 17 \$13,907,000; and FY 18 est. \$9,868,000.

Range and Average of Financial Assistance:

Range: \$20,000 to \$600,000/fiscal year; Average: \$220,000/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 16 five of the ten EPA Regional Offices issued a competitive announcement based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcements include the number of anticipated awards. In FY 16, projects were funded to support activities such as: developing state or tribal Wetland Program Plans (WPPs); assessing a marsh's sustainability; developing a functional assessment of a state's wetlands of past and present; developing scenario models to analyze impacts of groundwater withdrawal on calcareous fens; advancing a state's wetland monitoring and assessment; developing a framework for evaluating the environmental results of one state's wetland mitigation banks; determining the local importance of vernal pools; and sentinel monitoring and mapping of coastal wetland condition and response to climate change. Fiscal Year 2017: In FY 17 eight of the ten EPA Regional Offices issued a competitive announcement based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcements include the number of anticipated awards. In FY 17, approximately 4 to 30 proposals were received by each Region under the Regional competitive announcements. Projects have not yet been awarded but all will support activities to build state/tribal/local wetland program building and improvement. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General grant regulations and procedures (2 CFR 200 and 1500, as applicable, 2 CFR 200 Subpart E, and 40 CFR 35.610 through 35.615).

Regional or Local Office:

See Regional Agency Offices. REGIONAL WETLAND GRANT COORDINATORS: Region 1 - CT, ME, MA, NH, RI, VT: John Rogan, US EPA Region 1, 1 Congress Street, MC CSP, Suite 100, Boston, MA, 02114. Phone: 617-918-1645. rogan.john@epa.gov. Region 2 - NJ, NY, PR, VI: Kathleen Drake, US EPA Region 2, 290 Broadway, NY, NY, 10007. Phone: 212-637-3817. drake.kathleen@epa.gov. Region 3 - DE, MD, PA, VA, WV, DC: Danielle Algazi, US EPA Region 3, 1650 Arch Street, MC 3EA30, Philadelphia, PA, 19103. Phone: 215-814-2722. algazi.danielle@epa.gov. Region 4 - AL, FL, GA, KY, MS, NC, SC, TN: Geryl Ricks, US EPA Region 4, 61 Forsyth Street, SW, Atlanta, GA, 30303. Phone: 404-562-9393. ricks.geryl@epa.gov. Region 5 - IL, IN, MI, MN, OH, WI: Dertera Collins, US EPA Region 5, 77 West Jackson Blvd., MC WW16J, Chicago, IL, 60604. Phone: 312-353-6291. collins.dertera@epa.gov. Region 6 - AR, LA, NM, OK, TX: Sondra McDonald, US EPA Region 6, 1445 Ross Avenue, MC 6WQ-AT, Dallas, TX, 75202. Phone: 214-665-7187. mcdonald.sondra@epa.gov. Region 7 - IA, KS, MO, NE: Mandy Whitsitt, US EPA Region 7, 901 North Fifth Street, Kansas City, KS, 66101. Phone: 913-551-7311. whitsitt.mandy@epa.gov. Region 8 - CO, MT, ND, SD, UT, WY: Cynthia Gonzales, US EPA Region 8, 999 18th Street, Suite 300, Denver, CO, 80202. Phone: 303-312-6569. gonzales.cynthia@epa.gov. Region 9 - AZ, CA, HI, NV, AS, GU: Leana Rosetti, US EPA Region 9, 75 Hawthorne Street, San Francisco, CA 94105. Phone: (415) 972-3070. rosetti.leana@epa.gov. Region 10 - AK, ID, OR, WA: Krista Rave-Perkins, US EPA Region 10, 1200 Sixth Ave, MC: AWT-107, Seattle, WA, 98101. Phone: 206-553-6686. rave-perkins.krista@epa.gov.

REGIONAL WETLAND GRANT COORDINATORS: Region 1 - CT, ME, MA, NH, RI, VT: Kristen Conroy, US EPA Region 1, 1 Congress Street, MC CSP, Suite 100, Boston, MA, 02114. Phone: 617-918-1069. conroy.kristen@epa.gov. Region 2 - NJ, NY, PR, VI: Kathleen Drake, US EPA Region 2, 290 Broadway, NY, NY, 10007. Phone: 212-637-3817. drake.kathleen@epa.gov. Region 3 - DE, MD, PA, VA, WV, DC: Anita Provenzano, US EPA Region 3, 1650 Arch Street, MC 3EA30, Philadelphia, PA, 19103. Phone: 215-814-5180. provenzano.anita@epa.gov. Region 4 - AL, FL, GA, KY, MS, NC, SC, TN: Geryl Ricks, US EPA Region 4, 61 Forsyth Street, SW, Atlanta, GA, 30303. Phone: 404-562-9393. ricks.geryl@epa.gov. Region 5 - IL, IN, MI, MN, OH, WI: Dertera Collins, US EPA Region 5, 77 West Jackson Blvd., MC WW16J, Chicago, IL, 60604. Phone: 312-353-6291. collins.dertera@epa.gov. Region 6 - AR, LA, NM, OK, TX: Sondra McDonald, US EPA Region 6, 1445 Ross Avenue, MC 6WQ-AT, Dallas, TX, 75202. Phone: 214-665-7187. mcdonald.sondra@epa.gov. Region 7 - IA, KS, MO, NE: Jennifer Ousley, US EPA Region 7, 901 North Fifth Street, Kansas City, KS, 66101. Phone: 913-551-9311. ousley.jennifer@epa.gov. Region 8 - CO, MT, ND, SD, UT, WY: Cynthia Gonzales, US EPA Region 8, 999 18th Street, Suite 300, Denver, CO, 80202. Phone: 303-312-6569. gonzales.cynthia@epa.gov. Region 9 - AZ, CA, HI, NV, AS, GU: Leana Rosetti, US EPA Region 9, 75 Hawthorne Street, San Francisco, CA 94105. Phone: (415) 972-3070. rosetti.leana@epa.gov. Region 10 - AK, ID, OR, WA: Michael Szerlog, US EPA Region 10, 1200 Sixth Ave, MC: AWT-107, Seattle, WA, 98101. Phone: 206-553-0279. szerlog.michael@epa.gov.

Headquarters Office:

Myra Price, Wetlands Division (4502T), Office of Oceans, Wetlands, and Watersheds, Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460 Email: price.myra@epa.gov Phone: (202) 566-1225.

Website Address:

<https://www.epa.gov/wetlands/wetland-program-development-grants>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.454 Water Quality Management Planning; 66.456 National Estuary Program; 66.458 Capitalization Grants for Clean Water State Revolving Funds; 66.462 National Wetland Program Development Grants and Five-Star Restoration Training Grant

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The WPDG database provides project information for grants funded through this program since 2005 (<http://iaspub.epa.gov/pls/grts/f?p=101:1>). It is anticipated that projects funded in FY16 include: developing state or tribal Wetland Program Plans (WPPs); developing a discrete wetland data set classifying wetlands by designated and beneficial use categories; assessing the ecological integrity of and risk to wetlands ;building restoration partnerships; developing communication strategies to more effectively reach and serve the public and reach and engage the stakeholders; developing of two pocket guides to common wetland plants of a state; development of a Wetland Restoration Program for a state; and mapping, survey and assessment of critical wetlands for one state. Fiscal Year 2017: The WPDG database provides project information for grants funded through this program since 2005 (<http://iaspub.epa.gov/pls/grts/f?p=101:1>). Examples of funded projects include: wetland and stream mitigation studies; development of wetland or watershed conservation plans; development of wetland program plans; wetland training workshops; developing a monitoring and assessment program; development of wetland monitoring and assessment methods and programs; training for State/Tribal/local government staff in monitoring techniques or wetland science such as delineation; wetland monitoring and assessment studies; wetland mapping studies; wetland inventory and classification projects to determine restoration sites; developing a wetland restoration program; development of wetland restoration methods and prioritization schemes; database development to track 404 permit action and compliance; and development of wetland water quality standards. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.462 NATIONAL WETLAND PROGRAM DEVELOPMENT GRANTS AND FIVE-STAR RESTORATION TRAINING GRANT

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), Public Law 92-500, 33 U.S.C 1254(b)(3).

OBJECTIVES:

To assist state, tribal, local government (S/T/LG) agencies, and interstate/intertribal entities in building programs which protect, manage, and restore wetlands. The primary focus of the grants is to build state and tribal wetland programs. A secondary focus is to build local (e.g. county or municipal) programs

Funding Priority - Fiscal Year 2017: For the National WPDGs: EPA has identified the following national priorities - National Priority Area 1: Core Elements Framework. National Priority Area 1 is based on the four core elements of a Comprehensive State/Tribal Wetlands Program: 1) Monitoring and Assessment; 2) Voluntary Wetland Restoration and Protection; 3) Regulatory Approaches Including CWA 401 Certification; and 4) Wetland-Specific Water Quality Standards. Further explanation of all of the elements can be found at the following website address:

<https://www.epa.gov/wetlands/wetland-program-development-grants>. The Wetlands Program Development Grants (WPDG) program strives to develop State/Tribal/local government programs that will incorporate each of these elements. For the Five-Star Restoration Training Grants, EPA funds an intermediary organization to provide subawards to eligible subawardees to bring together citizen groups, corporations, youth conservation corps, students, landowners, and government agencies to undertake projects that achieve environmental training through voluntary, community/watershed-based wetland restoration projects.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The National WPDGs are intended to encourage comprehensive, national wetlands program development by promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. Projects build the capacity of States, Tribes, and local governments programs to effectively protect wetland and riparian resources. Activities must help S/T/LGs develop and refine wetland programs. Projects funded under this program support the initial development of a national wetlands protection, restoration or management program, or support enhancement/refinement of an existing national program. Projects must be broad in scope and include more than one EPA Region or be national in scope and cannot be limited to activities that affect such entities in only one EPA region. For example, projects and tasks can involve advancing science or collecting and making available through publications and other appropriate means, such as training on information about how various wetland programs across the nation protect, manage, and restore their wetland resources and about initiatives to improve S/T/LG wetland programs. Projects that are local or encompass only one EPA Region in scope are not eligible for funding under this program but may be eligible for funding under EPA's Regional Wetland Program Development Grants program (CFDA 66.461). Funds cannot be used for the purchase of land or conservation easements. Funds cannot be used for implementation of individual mitigation projects, mitigation banks, or in-lieu-fee mitigation programs. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. EPA's Five Star Restoration Training Grant is anticipated to fund one cooperative agreement to an organization to manage the Five Star Restoration Training Grant subaward process that will award subgrants to achieve environmental training through voluntary, community/watershed-based wetland restoration projects. Projects funded under the subgrant process must be limited to activities that conduct or promote

the coordination and acceleration of training relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. Other activities under section 104(b)(3) will not be considered for funding. Funds cannot be used for the purchase of land or conservation easements. Funds cannot be used for implementation of individual mitigation projects, mitigation banks, or in-lieu-fee mitigation programs. Wetland restoration training projects cannot include wetlands constructed for the purposes of treating wastewater or stormwater only. The assistance agreement award under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Non-profit, non-governmental organizations, Interstate agencies, and Intertribal consortia which are capable of undertaking activities that advance wetland programs on a national basis are eligible to compete in this program. The term "interstate agency" is defined in CWA Section 502 as "an agency of two or more States established by or pursuant to an agreement or compact approved by the Congress, or any other agency of two or more States, having substantial powers or duties pertaining to the control of pollution as determined and approved by the Administrator." Intertribal consortia must meet the requirements of 40 CFR Part 35.504. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State, Tribal, and local governments involved in administering wetlands protection, restoration, and/or management programs or programs related to or complement wetlands protection programs.

Credentials/Documentation:

Non-profit applicants may be asked to provide documentation that they meet the definition of a non-profit organization. Interstate organizations may be asked to provide a citation to the statutory authority, which establishes their status. Intertribal consortia may be asked to provide documentation that they meet the requirements of 40 CFR Part 35.504. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The standard application forms, as furnished by the Federal agency and required by 2 CFR 200 and 1500 as applicable, must be used for this program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review

of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The EPA Headquarters (HQ) Office will conduct the competitive process for awarding National Wetland Program Development Grants. Interested applicants should review information on the National Wetland Program Development Grants at: <https://www.epa.gov/wetlands/wetland-program-development-grants>. Submittal instructions can be found in the competitive announcement. The EPA Headquarters (HQ) Office will conduct the competitive process for awarding the Five-Star Restoration Training Grant. Interested applicants should review information on the Five-Star Restoration Training Grant at: <https://www.epa.gov/wetlands/wetland-program-development-grants>. Submittal instructions can be found in the competitive announcement. All applicants will be notified by the HQ Office on whether or not the applicant has been selected for funding. The notification is not an authorization to begin performance. The Grant Award document signed by the appropriate grant official in the EPA HQ Office is the authorizing document. Applicants selected for funding must submit a final workplan and grant application forms. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants are usually approved within 4 months of receipt of completed application.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: This program has no statutory formula. The applicant must provide a minimum of 25 percent of the total cost of the workplan to the extent allowed by 2 CFR 200 and 1500 as applicable, 40 CFR 35.385, and 35.615. To determine if the minimum match is met, EPA will use the following formula: amount (in dollars) of federal funds requested from EPA divided by 3 = minimum match (in dollars) or Total project amount (in dollars) divided by 4

= minimum match (in dollars). For example, if the applicant requests \$75,000 of federal funds or has a total project cost of \$100,000 for a three-year project period, it must be able to provide \$25,000 in matching funds or services. A reduced match may be available for Tribal grantees that place the Wetland Program Development Grant funds in a Performance Partnership Grant. (See regulations at 40 CFR 536(c)). States and Tribes can provide a larger share of the project's cost whenever possible (i.e., in excess of the required 25 percent of total project costs). Award recipients can meet the match requirements with in-kind or monetary contributions from entities other than themselves. However, other Federal money cannot be used as the match for this grant program unless authorized by the statute governing the award of the other Federal funds. Matching funds are considered grant funds. They must be used for the reasonable and necessary expenses of carrying out the assistance agreement workplan. Any restrictions on the use of grant funds (i.e., prohibition of land acquisition with grant funds) also apply to the matching funds. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of grant award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. All final reports must be accompanied by a 1-2 page summary electronic document on the project. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 \$650,000; FY 17 est \$650,000; and FY 18 est \$250,000 - National Wetlands Program Development Grants: FY 16 \$400,000; FY 17 est. \$400,000; and FY 18 est. \$0. Five-Star Restoration Training Grant: FY 16 \$250,000; FY 17 est. \$250,000; and FY 18 est. \$250,000.

Range and Average of Financial Assistance:

National Wetlands Program Development Grants: \$75,000 to \$200,000/every two years; \$160,500/every two years; Five-Star Restoration Training Grant: \$1,000,000 every four years.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 16, funding will continue to support projects that encourage the use of data documenting wetland condition, function, status and trends to support development and implementation of other state wetland program core elements such as wetland regulation, restoration, and development of water quality standards for wetlands; identify best practices for developing wetland restoration and protection programs; develop and deliver in-lieu fee mitigation training for states, tribes, and local governments; and increase understanding of multiple aspects of state and tribal wetland programs, including all four of the EPA core essential elements, resulting in more robust state and tribal programs; and develop. EPA issued a competition in FY16 and made seven (7) awards. Fiscal Year 2017: In FY 17, funding will continue to support projects that encourage the use of data documenting wetland condition, function, status and trends to support development and implementation of other state wetland program core elements such as wetland regulation, restoration, and development of water quality standards for wetlands; identify best practices for developing wetland restoration and protection programs; develop and deliver in-lieu fee mitigation training for states, tribes, and local governments; and increase understanding of multiple aspects of state and tribal wetland programs, including all four of the EPA core essential elements, resulting in more robust state and tribal programs. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

General grant regulations and procedures, 2 CFR 200 and 1500, 40 CFR 35.610, as applicable.

Regional or Local Office:

None.

Headquarters Office:

Myra Price Wetlands Division (4502T), Office of Oceans, Wetlands, and Watersheds, Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460 Email: price.myra@epa.gov Phone: (202) 566-1225.

Website Address:

<https://www.epa.gov/wetlands/wetland-program-development-grants>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.454 Water Quality Management Planning; 66.456 National Estuary Program; 66.458 Capitalization Grants for Clean Water State Revolving Funds; 66.461 Regional Wetland Program Development Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Wetland Program Development Grant database will provide project information for the National WDPGs (<http://iaspub.epa.gov/pls/grts/f?p=101:1>). Examples of funded projects include projects that: improving wetland/aquatic Resource Protection through 401 Certification of linear energy transmission project permits; assist states and tribes to effectively integrate their use of compensation for Natural Resource Damages (NRD) for damage to wetlands and aquatic resources with wetland mitigation banks and in-lieu fee (ILF) programs; develop and deliver in-lieu fee mitigation training for states, tribes, and local governments; develop a webinar series on the policy, practice, and science of stream mitigation for states, tribes, and local governments; developing a protocol for gathering and organizing current and historic data on compensatory mitigation and tracking these data into the future; development of Rapid Assessment Tools to identify opportunities for transgression of coastal wetlands; and to identify ways to integrate state and tribal wetland programs with other federal, state and local water, habitat and floodplain programs in order to leverage the benefits of wetland protection and restoration to improve overall watershed health. Fiscal Year 2017: The Wetland Program Development Grant database will provide project information for the National WDPGs (<http://iaspub.epa.gov/pls/grts/f?p=101:1>). Examples of continuing funded projects include projects that: improving wetland/aquatic Resource Protection through 401 Certification of linear energy transmission project permits; assist states and tribes to effectively integrate their use of compensation for Natural Resource Damages (NRD) for damage to wetlands and aquatic resources with wetland mitigation banks and in-lieu fee (ILF) programs; develop and deliver in-lieu fee mitigation training for states, tribes, and local governments; develop

a webinar series on the policy, practice, and science of stream mitigation for states, tribes, and local governments; developing a protocol for gathering and organizing current and historic data on compensatory mitigation and tracking these data into the future; development of Rapid Assessment Tools to Identify opportunities for transgression of coastal wetlands; and to identify ways to integrate state and tribal wetland programs with other federal, state and local water, habitat and floodplain programs in order to leverage the benefits of wetland protection and restoration to improve overall watershed health. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.466 CHESAPEAKE BAY PROGRAM

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 117.

OBJECTIVES:

To expand and strengthen cooperative efforts to restore and protect the Chesapeake Bay. EPA's funding priority is to achieve the goals and commitments established in the 2014 Chesapeake Bay Watershed Agreement and the final coordinated strategy under Executive Order (EO) 13508. As a means to achieve their goals and outcomes, assistance agreements are awarded to: nonprofit organizations, state and local governments, colleges, universities, and interstate agencies. The type of projects that are awarded range from monitoring to environmental education. These projects have helped support the commitments set forth in Chesapeake Executive Council agreements and amendments (The Chesapeake Bay Agreement of 1983 through the 2014 Chesapeake Bay Watershed Agreement) and subsequent directives, adoption statements, endorsements and resolutions

To expand and strengthen cooperative efforts to restore and protect the Chesapeake Bay. EPA's funding priority is to achieve the goals and commitments established in the Chesapeake 2000 agreement and the final coordinated strategy under Executive Order (EO) 13508. As a means to achieve the goals and commitments, assistance agreements are awarded to: nonprofit organizations, State and local governments, colleges, universities, and interstate agencies. The type of projects that are awarded range from monitoring to environmental education. These projects have helped support the commitments set forth in Chesapeake Executive Council agreements and amendments (The Chesapeake Bay Agreement of 1983 through Chesapeake 2000) and subsequent directives, adoption statements, endorsements and resolutions.

Funding Priority - Fiscal Year 2017: EPA's emphasis in FY 2017 is improvement of the rate of progress in the Chesapeake Bay watershed using the Agency's existing statutory authority, implementing the Chesapeake Bay Total Maximum Daily Load (TMDL), providing states with the tools necessary for effective regulatory implementation, creating better tools for scientific analysis and accountability, and supporting regulatory compliance and enforcement. EPA will work toward implementing key initiatives, including: implementing the TMDL; developing a TMDL tracking and accountability system; deploying technology to integrate discrete Bay data systems and to present the data in an accessible accountability system called ChesapeakeStat; and moving forward on the Bay's challenges related to toxic contaminants. A centerpiece of EPA's FY 2017 activities is the implementation of the nation's largest and most complex TMDL for the entire Chesapeake Bay watershed. A TMDL is essentially a plan that defines how much of a particular pollutant may be discharged into a particular waterbody while allowing the waterbody to meet its water quality standards and designated uses. The TMDL and watershed implementation plans (WIPs) are designed to ensure that all pollution control measures needed to fully restore the Bay and its tidal rivers are in place by 2025. To support the TMDL, EPA will develop and initiate a tracking and

accountability system to ensure that the Bay jurisdictions are effectively implementing the TMDL. To support accountability under the TMDL, EPA will enhance the Chesapeake Bay Program's water-quality monitoring network to better track TMDL progress. EPA will use its technical and scientific analysis capabilities to provide implementation support and guidance to the states and thousands of local governments that will be affected by the TMDL. EPA will assist the jurisdictions in making scientifically informed determinations of the most effective ways to meet their TMDL obligations that will provide individually tailored solutions. EPA is providing resources to expand the Partnership's existing monitoring through integration of citizen science data collection efforts of known and documented quality and other non-traditional monitoring partners (e.g., counties, municipal discharge authorities, riverkeepers, watershed organizations). EPA will provide funding to local governments and watershed jurisdictions through state grants based on their ability to reduce nutrient and sediment loads via key sectors such as development and agriculture in urban and rural areas. Ensuring that the regulated community complies with the appropriate regulations is an essential responsibility for achieving the goals established for the Chesapeake Bay and its watershed. In FY 2017, the continued implementation of the Compliance and Enforcement Strategy for the Bay Watershed will target sources of pollution impairing the Bay in the watershed and airshed. EPA's multi-year, multi-state strategy combines the Agency's water, air and waste enforcement authorities to address violations of federal environmental laws resulting in nutrient, sediment, and other pollution in the Bay.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

On November 7, 2000, the President signed the Estuaries and Clean Waters Act of 2000, which includes Title II-Chesapeake Bay Restoration. This Act amends Section 117 of the Federal Water Control Act (Clean Water Act) and established new authorities for the Chesapeake Bay Program. These new legal authorities specify the type of work that can be performed with the funds appropriated for the Chesapeake Bay Program, the type of funding vehicles (e.g., assistance agreement) that can be used, and the type of organization eligible to receive funding. The purposes of these amendment authorities are: (1) to expand and strengthen cooperative efforts to restore and protect the Chesapeake Bay; and (2) to achieve the goals established in the Chesapeake Bay Agreement. The term "Chesapeake Bay Agreement" means the formal, voluntary agreements signed by the Chesapeake Executive Council and executed to achieve the goal of restoring and protecting the Chesapeake Bay ecosystem (e.g., the 1987 Chesapeake Bay Agreement, Chesapeake 2000 agreement, 2014 Chesapeake Bay Watershed Agreement, various Executive Council directives, etc.). Assistance Agreements awarded under this program are: (1) 117(d) Technical Assistance and General Assistance Grants - These grants will be awarded competitively to nonprofit organizations, State and local governments, colleges, universities, and interstate agencies to implement the goals of the Chesapeake Bay Agreements; such as activities to support living resource protection and restoration; vital habitat protection and restoration; water quality protection and restoration; sound land use; and stewardship and community engagement. All applicants applying for grants under Section 117(d) must commit to a cost share ranging from 5 to 50 percent of the total project costs, as determined at the sole discretion of EPA. This determination will be stated in Request for Proposals (RFPs) or Agency policy. (2) 117(e)(1)(A) Chesapeake Bay Regulatory and Accountability Grants (CBRAP) - As a result of EO 13508, these grants help each watershed state and the District of Columbia to develop new regulations, design TMDL watershed implementation plans, reissue and enforce permits, and provide technical and compliance assistance to local governments and regulated entities. The grants aid the states and DC in implementing and expanding their jurisdiction's regulatory, accountability and enforcement capabilities, in support of reducing nitrogen, phosphorus and sediment loads delivered to the Bay to meet the water quality goals of the 2014 Chesapeake Bay Watershed Agreement. EPA awards these grants non-competitively. Signatory jurisdictions applying for regulatory and accountability program grants under Section 117(e)(1)(A) must identify 50% cost share of total project costs (equal match/dollar for dollar) as required by statute. (3) Section 117(e)(1)(A) Chesapeake Bay Implementation Grants (CBIG) - The Chesapeake Bay Implementation Grants are authorized under Section 117(e)(1)(A) to signatory jurisdictions. Implementation Grants are for

the purpose of implementing the management mechanisms established under the 2014 Chesapeake Bay Watershed Agreement, with particular emphasis on state programs for control and abatement of nonpoint source nutrient and sediment pollution (including atmospheric deposition as a nonpoint source). Specifically, CBIG awards support the jurisdictions' implementation of the management strategies developed for each of the applicable outcomes identified in the 2014 Chesapeake Bay Watershed Agreement. CBIG awards may support the jurisdictions' implementation of their watershed implementation plans (WIPs) and milestone commitments, including addressing EPA's evaluation of the WIPs and milestones, and comparable actions that support nutrient and sediment load reduction goals. If the signatory jurisdictions do not use 117(e)(1)(A) grants for projects consistent with furthering the 2014 Chesapeake Bay Watershed Agreement goals and outcomes, EPA may reallocate, conditionally award, or withhold funds. These grants are awarded non-competitively to any jurisdiction that signed the 2014 Chesapeake Bay Watershed Agreement. Signatory jurisdictions applying for implementation under Section 117(e)(1)(A) must identify 50% cost-share of total project costs (equal match/dollar for dollar). (4) Section 117(e)(1)(B) Monitoring Grants - The monitoring grants are authorized under Section 117(e)(1)(B) for signatory jurisdictions. These grants support the characterization of water and habitat quality and benthic community conditions for the main stem Chesapeake Bay and tidal tributaries, characterizing nutrient and sediment loadings to the Chesapeake Bay and its tidal tributaries from the surrounding Chesapeake Bay watershed, assessing changes in nutrient and sediment concentrations in local streams and rivers throughout the Chesapeake Bay watershed, and providing data analysis and interpretation support for water quality and living resources status and trends. These grants are awarded non-competitively to any signatory jurisdiction that signed the 2014 Chesapeake Bay Watershed Agreement. Signatory jurisdictions applying for monitoring grants under Section 117(e)(1)(B) must identify 50% cost share of total project costs (equal match/dollar for dollar). (5) Section 117(g)(2) Small Watershed Grants - The Small Watershed Grants Program was established under Section 117(g)(2), which provides that grants can be awarded under Section 117(d) to local governments and nonprofit organizations in the Chesapeake Bay region working on a local level to protect and improve watersheds, while building citizen based resource stewardship. The purpose of the grants program is to demonstrate effective techniques and partnership-building to achieve Chesapeake Bay Program objectives at the small watershed scale. The Small Watershed Grants Program was designed to encourage the sharing of innovative ideas among the many organizations wishing to be involved in watershed protection activities. Applicants applying for small watershed grants under Section (g)(2) must commit to a cost share of 25% of the total project cost. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Under section 117(d), funds are available for technical and general assistance grants to nonprofit organizations, State and local governments, colleges, universities, and interstate agencies; under section 117(e)(1)(A) and 117e(1)(B), respectively, funds are available for implementation, regulatory and accountability, and monitoring grants to signatory jurisdictions; and under section 117(g)(2), funds are available for technical assistance and assistance grants under the Small Watershed Grants Program to local governments and nonprofit organizations and individuals in the Chesapeake Bay region. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Under section 117(d), funds are available for technical and general assistance grants to nonprofit organizations, State and local governments, colleges, universities, and interstate agencies; under section 117(e)(1)(A) and 117(e)(1)(B), respectively, funds are available for implementation, and monitoring grants to signatory jurisdictions and headwaters states; and under Section 117(g)(2), funds are available for technical assistance and assistance grants under the Small Watershed Grants Program to local governments and nonprofit organizations and individuals in the Chesapeake Bay region.

Credentials/Documentation:

Compliance with 2 CFR 200 and 1500, and with Program Grant Guidance to matching funds. Costs will be determined in accordance with 2 CFR 200 Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Preapplication assistance is recommended and should be obtained from the EPA Region III Grants Management Office. All proposals or work statements should be consistent with CBPO's Grant Guidance located at <http://www2.epa.gov/restoration-chesapeake-bay/chesapeake-bay-program-grant-guidance>. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200 and 1500 as applicable applies to this program. Competitive RFPs for grants/cooperative agreements are announced widely through the internet and Environmental Protection Agency's CBPO RFP e-mail and mailing database. RFPs are listed on the following websites: <http://www.grants.gov>; <http://www.epa.gov/region03/chesapeake/grants.htm>; <http://www.epa.gov/region03/grants/grantopp.htm>; and <http://www.chesapeakebay.net/grantsandrfps.aspx?menuItem=14892>.

Issued CBPO RFPs will seek applicant proposals that identify specific outcomes that will further goals of the 2014 Chesapeake Bay Watershed Agreement. The RFP will include detailed instructions for submission of proposals. Interested parties who would like to receive a copy of issued CBPO RFPs and be added to the Environmental Protection Agency's CBPO e-mail and/or mailing database should submit their name, address, phone number, and e-mail address to James Hargett at hargett.james@epa.gov or such requests can be mailed to James Hargett, Environmental Protection Agency CBPO, 410 Severn Avenue, Suite 112, Annapolis, Maryland 21403. EPA will only accept addresses provided in writing (either by e-mail or letter); no phone calls will be accepted. Requests for application kits must be submitted to the Environmental Protection Agency, Region 3, 1650 Arch Streets, Philadelphia, PA 19103, Grants and Audit Management Branch (3PM70). Additional information on the EPA assistance package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Additional information pertaining to application requirements for EPA's CBPO grants programs can be found in the 2011 CBPO Grant Guidance at: <http://www2.epa.gov/grants/application-kit-federal-assistance>.

Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately 7 months after deadline for application submission.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Renewals are subject to approval of EPA.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: This program has no statutory formula. Under Section 117 (e)(1)(A) and 117(e)(1)(B), respectively, signatory jurisdictions applying for implementation and monitoring grants must identify 50 percent cost share of total project costs (equal match/dollar for dollar). All applicants applying for technical and general assistance grants under Section 117 (d), must commit to a cost share ranging from 5 percent to 50 percent as determined at the sole discretion of EPA. This determination will be stated in Request for Proposals or Agency policy. EPA will promptly inform the applicant of the selected cost share requirement. Signatory jurisdictions applying for regulatory and accountability program grants under Section 117(e)(1)(A) must identify 50% cost share of total project costs (equal match/dollar for dollar) as required by statute. Applicants applying for Small Watershed Grants Program under Section 117(g)(2) must commit to a cost share of 25 percent of the total project cost. State and local governments receiving assistance under any of the provisions of Section 117 must comply with 2 CFR 200 and 1500. In addition to the cost share requirement, applicants must adhere to the requirement in the Clean Water Act, Section 117 - Administrative Costs. This section requires a 10 percent cap for administrative costs. The cost of salaries and fringe benefits incurred in administering the grant cannot exceed 10 percent of the Federal grant amount.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are normally funded on a 12-month basis. The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly,

interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 16 \$64,744,800; FY 17 est \$54,000,000; and FY 18 est \$0 - FY 15 \$64,744,800; FY 16 est. \$54,000,000 and FY 17 est. \$54,000,000.

Range and Average of Financial Assistance:

\$36,667 to \$6,000,000/fiscal year; \$300,000/fiscal year average.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: To date in FY 2016, CBPO has issued three RFP announcements. CBPO received one proposal for one of these RFPs, and issued one award. CBPO may issue additional RFPs in FY 16, and plans to fund over 45 existing awards. FY 16 activities will include: citizens monitoring; communications, outreach, and education activities to support the staffing and operations of the Bay Program Communication Office; implementation of WIPs to reduce nutrients and sediments to improve water quality; continued technical support and outreach to address water quality restoration goals and maintain public awareness of Bay restoration; investigation of the nitrogen reduction efficiency and cost effectiveness of nitrogen reducing septic systems within the mid-Atlantic region; evaluation of the impact of phosphorus species on water quality and plant growth; NPDES data synthesis and field monitoring to better estimate loadings of toxics (metals, organics) to Chesapeake Bay through storm water; Chesapeake Bay modeling, GIS and data analyses support, water quality data analysis/integration support, nonpoint and point source data management/analysis; and development of new regulations, design of TMDL watershed implementation plans, reissuance and enforcement of permits, and technical and compliance assistance to local governments and regulated entities to meet the goals of the 2014 Chesapeake Bay Watershed Agreement. Fiscal Year 2017: In FY 2017, CBPO has issued one RFP announcement. CBPO received thirteen proposals for this RFP, and issued four awards. CBPO may issue additional RFPs in FY 2017, and plans to fund over 45 existing awards. FY 2017 activities will include: implementation of WIPs to reduce nutrients and sediments to improve water quality; citizens monitoring; communications, outreach, and education activities to support the staffing and operations of the Bay Program Communication Office; investigation of the nitrogen reduction efficiency and cost effectiveness of nitrogen reducing septic systems within the mid-Atlantic region; continued technical support and outreach to address water quality restoration goals and maintain public awareness of Bay restoration; evaluation of the impact of phosphorus species

on water quality and plant growth; NPDES data synthesis and field monitoring to better estimate loadings of toxics (metals, organics) to Chesapeake Bay through storm water; Chesapeake Bay modeling, GIS and data analyses support, water quality data analysis/integration support, nonpoint and point source data management/analysis; and development of new regulations, design of TMDL watershed implementation plans, reissuance and enforcement of permits, and technical and compliance assistance to local governments and regulated entities to meet the goals of the 2014 Chesapeake Bay Watershed Agreement. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Chesapeake Bay Program Office's Annual Grant Guidance and general regulations (2 CFR 200 and 1500) for assistance programs available from EPA. The Chesapeake Bay Program Office's Grant Guidance is available at <http://www.epa.gov/region03/chesapeake/grants.htm>.

Regional or Local Office:

See Regional Agency Offices. James Hargett, EPA Chesapeake Bay Program Office, 410 Severn Avenue, Suite 112, Annapolis, MD 21403. Telephone: (410) 267-5743; FAX: (410) 267-5777; E-mail: hargett.james@epa.gov.

Headquarters Office:

James Hargett EPA Chesapeake Bay Program Office, 410 Severn Avenue, Suite 112, Annapolis, Maryland 21403 Email: hargett.james@epa.gov Phone: 410-267-5743 Fax: 410-267-5777

Website Address:

<http://www.epa.gov/region03/chesapeake>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.454 Water Quality Management Planning; 66.460 Nonpoint Source Implementation Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Grants and cooperative agreements will be awarded to states and local governments, colleges, universities interstate agencies, and nonprofit organizations for nonpoint source implementation, water quality monitoring, education and outreach, watershed management, living resources and habitat restoration, and program support. Examples of funded projects include: (1) enhanced storm water monitoring; (2) communications, outreach, and public education efforts; (3) computer modeling; (4) nonpoint source pollution programs; (5) citizens monitoring; (6) Chesapeake Bay point source data management; and (7) regulatory and accountability programs. Fiscal Year 2017: Cooperative agreements and grants will be awarded to states and local governments, colleges, universities interstate agencies, and nonprofit organizations for nonpoint source implementation, water quality monitoring, education and outreach, watershed management, living resources and habitat restoration, and program support. Examples of funded projects include: (1) communications, outreach, and public education efforts; (2) enhanced storm water monitoring; (3) citizens monitoring; (4) nonpoint source pollution programs; (5) computer modeling; (6) Chesapeake Bay point source data management; and (7) regulatory and accountability programs. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.468 CAPITALIZATION GRANTS FOR DRINKING WATER STATE REVOLVING FUNDS

(Drinking Water State Revolving Fund)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Safe Drinking Water Act (SDWA) Amendments of 1996, Section 130, Public Law

104-182; Water Infrastructure Improvements for the Nation Act of 2016., Public Law 114-322.

OBJECTIVES:

Grants are made to States and Puerto Rico to capitalize their Drinking Water State Revolving Funds (DWSRFs) which will provide a long-term source of financing for the costs of drinking water infrastructure. Grants are also made to the District of Columbia, U.S. Territories (Virgin Islands, Northern Mariana Islands, American Samoa, and Guam), and Indian Tribes.

Funding Priority - Fiscal Year 2017: The funding priority established by the SDWA are for capitalization grants to each state for infrastructure improvement projects that are needed to achieve or maintain compliance with SDWA requirements, protect public health, and assist systems with economic need. A state may use a portion of the capitalization grant funds for programs that emphasize preventing contamination problems through source water protection and enhancing water system management. States and EPA Regions determine priorities for funding in accordance with SDWA. The program supports the Agency's strategic goal of ensuring clean and safe water.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Capitalization grants are made available to each State and Puerto Rico for the purpose of establishing a DWSRF for providing assistance to drinking water systems for infrastructure improvements. States award loans and other types of financial assistance to eligible public water systems for projects. Once invoices for these projects are submitted, the state can request reimbursement from the Federal Treasury up to the amount of their capitalization grant. A State may elect to use up to approximately 31% of the capitalization grant for other eligible activities, including 4%, \$400,000 or 1/5th percent of fund valuation for administration of the program. States may also elect to transfer up to one-third of the DWSRF capitalization grant amount to the Clean Water State Revolving Fund (CWSRF) or an equivalent amount from the CWSRF to the DWSRF program. Grants are also made available to Federally Recognized Indian Tribal Governments, U.S Territories, and the District of Columbia. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States, the District of Columbia, U.S. Territories or Possessions (the Commonwealth of Puerto Rico, Virgin Islands, Mariana Islands American Samoa, and Guam), and Federally Recognized Indian Tribal Governments are eligible for grants from the program. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States, U.S. Territories or Possessions (the Commonwealth of Puerto Rico, Virgin Islands, Mariana Islands American Samoa, and Guam), Federally Recognized Indian Tribal Governments, local, and intrastate.

Credentials/Documentation:

To receive a grant, an applicant enters into an agreement with the EPA Regional Administrator which shall include, but is not limited to, the requirements set forth in Section 130 of the SDWA. Recipients must follow 2 CFR 200 Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

States are required to prepare and provide for public comment on a plan

identifying the intended uses (Intended Use Plan, or IUP) of the funds in the DWSRF and how those uses support the goals of the DWSRF. The IUP is to be submitted no later than the application. An environmental impact statement is not required prior to grant award; however, a State environmental review process must be applied to all subsequent State assistance for drinking water systems. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms as furnished by the Federal agency and required by 2 CFR 200 and 1500 as applicable, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode 3903R, Washington, DC 20460 or through the appropriate Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Grants for Tribes, U.S. Territories, and the District of Columbia are selected based on funding priorities established by EPA Regional Offices. States must certify that they have the legal authority to receive a capitalization grant and that they have the legal authority to operate the program. States must provide assurance in their applications that they have the legal, managerial, technical and operational capabilities to administer the DWSRF program competently and that they will comply with all applicable Federal cross-cutting authorities and Federal statutes. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

A grant application is reviewed by the appropriate Regional Office, and if approved, the grant is awarded by the Regional Administrator under a delegation of authority from the Administrator of EPA. EPA Headquarters retains the authority to review certain applications or parts thereof. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications should be submitted to the appropriate Regional Office no later than June 30 of the year following the year of appropriation to allow sufficient time for review and processing prior to the September 30 reallocation deadline. Approval time averages 45 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

For those portions of the applicant's program that do not change from year to year, a subsequent grant application may incorporate by reference relevant portions of the previous year's application which have not changed.

Formula and Matching Requirements:

Statutory Formula: Title Safe Drinking Water Act. The funds available for allotment to State DWSRF programs are those funds appropriated by Congress under the Safe Drinking Water Act (SDWA 1452; 40 CFR 35.3540 and 35.3585). EPA allots funds to each State based on the State's proportional share of total eligible needs reported for the most recent Drinking Water Infrastructure Needs Survey (which is conducted every four years). The minimum proportional share that each State can receive is 1% of total funds available to States. EPA reserves up to 1.5% of the funds available for allotment to the States to provide grants to the U.S. Territories (Virgin Islands, Mariana Islands American Samoa, and Guam). The SDWA also requires the Administrator to reserve 1% of the funds available for allotment to the States to provide grants to the District of Columbia. The SDWA also allows EPA to reserve funds for national set-asides that include: up to 2.0% of the national appropriation for grants to Indian Tribes as well as funding for health effects studies, small system technical assistance, and monitoring of unregulated contaminants.

Matching Requirements: The funds available for allotment to State DWSRF programs are those funds appropriated by Congress under the Safe Drinking Water Act (SDWA 1452; 40 CFR 35.3540 and 35.3585). EPA allots funds to each State based on the State's proportional share of total eligible needs reported for the most recent Drinking Water Infrastructure Needs Survey (which is conducted every four years). The minimum proportional share that each State can receive is 1% of total funds available to States. EPA reserves up to 1.5% of the funds available for allotment to the States to provide grants to the U.S. Territories (Virgin Islands, Mariana Islands American Samoa, and Guam). The SDWA also requires the Administrator to reserve 1% of the funds available for allotment to the States to provide grants to the District of Columbia. The SDWA also allows EPA to reserve funds for national set-asides that include: up to 2.0% of the national appropriation for grants to Indian Tribes as well as funding for health effects studies, small system technical assistance, and monitoring of unregulated contaminants. The required State match is 20% of the amount of the capitalization made to the State. For Recovery Act funds, the required State match of 20% of the amount of the capitalization grant was waived. For additional information go to <https://www.epa.gov/drinkingwatersrf/annual-allotment-federal-funds-states-tribes-and-territories>.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for EPA's obligation to the State during the fiscal year in which they are allotted and during the following year. Recovery Act funds were available for EPA's obligation to the State until September 30, 2010. The term of the grant shall be determined at the time of award. States must agree to enter into binding commitments with loan recipients to provide financial assistance from the DWSRF in an amount equal to the sum of Federal assistance, less amounts used by the State for eligible set-aside purposes, and the State match. States are also required to agree to commit and expend all funds in the DWSRF as efficiently as possible, and in a timely manner. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

States shall provide biennial reports to the Regional Administrator in accordance with the schedule established in the grant agreement (generally not later than 90 days after the end of the second fiscal year during which the payments were received). The biennial report shall describe how the State has met the goals and objectives for the preceding two fiscal years as identified in its intended use plans for those periods, including identification of loan recipients, loan amounts, and loan terms and similar details on other forms of financial assistance provided from the DWSRF. For grants to Tribes and U.S. Territories, procedures for accounting, auditing, evaluating, and reviewing any program for activities in whole or in part shall be governed by regulations at 2 CFR 200 and 1500 as applicable, and 40 CFR Parts 32 and 35, "Environmental Program Grants for Tribes," with reports as required by the specific terms of the agreement. For Recovery Act funds, funding reports must be submitted in a prescribed format within 10 calendar days after the end of each calendar quarter, beginning October 10, 2009. These reports will include data elements as prescribed by Public Law 111-5, Section 1512 and OMB Guidance. Program reports are required under this program. Cash reports are required under this

program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. States must comply with the provisions of the Single Audit Act Amendments of 1996, and the OMB Circular No. A-133 and Compliance Supplement. States are also encouraged to conduct annual independent audits. The audit of the fund to be prepared by the State or an independent auditor must be in accordance with the standards of the Government Accountability Office (known as the Generally Accepted Government Auditing Standards). To the extent that the set-asides are used for project purposes that must be repaid, or are directly related to the DWSRF (e.g., administration) or are revolving funds themselves, they must be part of an audited opinion(s). The audits must provide an auditor's opinion on the DWSRF financial statements, a report on internal controls and a report on compliance with laws and regulations. Those set-aside funds that are not loaned out may be audited in conjunction with audits conducted under the Single Audit Act, as described in OMB Circular No. A-133 and OMB's Compliance Supplement for Single Audits of State and Local Governments.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved. As part of the annual review conducted by the Agency to assess a State's performance against activities identified in the intended use plan and biennial report, and to determine compliance with the terms of the capitalization grant agreement, the State or assistance recipient shall make available to EPA such records as the Regional Administrator reasonably requires to review and determine State compliance with the requirements of the SDWA.

Account Identification:

68-0103-0-1-304; 68-0102-0-1-304.

Obligations:

(Formula Grants) FY 16 \$833,218,900; FY 17 est \$844,255,000; and FY 18 est \$863,233,000 - FY 16 \$833,218,900; FY 17 est. \$844,255,000; and FY 18 est. \$ 863,233,000. Recovery Act funds - FY 16 \$0; FY 17 est. \$0; and FY 18 est. \$0.

Range and Average of Financial Assistance:

States: \$8,787,000 to \$82,674,000/fiscal year; \$16,318,800/fiscal year. Tribes: \$6,000 to \$2,400,000/fiscal year; \$480,000/fiscal year. Territories: \$1,532,000 to \$8,787,000/fiscal year; \$4,189,000/fiscal year. Recovery Act funds - States: \$19,500,000 to \$160,000,000/fiscal year. Territories: \$500,000 to \$2,100,000/fiscal year. Tribes: \$15,600 to \$3,200,000/fiscal year.

TAFS Codes:

68-0102; 68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: All 50 states and Puerto Rico have established and are implementing DWSRF programs through receipt of a capitalization grant. In FY 16, States made 708 loans to systems for a cumulative total of \$2.47 billion to conduct infrastructure improvement projects. Of the total number of loans, 71% of loans went to small water systems that serve 10,000 persons or fewer. States also used funds to prevent drinking water contamination through source water protection and enhanced system management. Fiscal Year 2017: All 50 states and Puerto Rico have established and are implementing DWSRF

programs through receipt of a capitalization grant. In FY 17, states, tribes, and territories will continue to receive funding to support infrastructure improvement projects. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements for Grants and Cooperative Agreements (2 CFR 200 and 1500) and Drinking Water State Revolving Funds (40 CFR Part 35, Subpart L). Additional program information, including contact information for state program managers, is available online at <http://www.epa.gov/safewater/dwsrf.html>.

Regional or Local Office:

See Regional Agency Offices. See EPA Regional Offices listed in the Catalog, or online.

Headquarters Office:

Nick Chamberlain Nick Chamberlain, Infrastructure Branch (4606M), Drinking Water Protection Division, Office of Groundwater and Drinking Water, U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, DC 20460. Phone: (202) 564-1871. E-mail: chamberlain.nick@epa.gov. , Washington, District of Columbia 20460 Email: chamberlain.nick@epa.gov Phone: 202-564-1871

Website Address:

<https://www.epa.gov/drinkingwatersrf>.

RELATED PROGRAMS:

66.458 Capitalization Grants for Clean Water State Revolving Funds

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Drinking water projects addressing treatment, storage, source, transmission, distribution and consolidation. Some examples of projects include: construction of a ultra-violet treatment facility; construction of pumping stations; upgrades of water treatment plant; line replacement; and new wells to replace wells that have been contaminated. Fiscal Year 2017: Drinking water projects addressing treatment, storage, source, transmission, distribution and consolidation. Some examples of projects include: construction of a ultra-violet treatment facility; construction of pumping stations; upgrades of water treatment plant; line replacement; and new wells to replace wells that have been contaminated. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.469 GREAT LAKES PROGRAM

(GLNPO)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Executive Order NA, Section 104&118, 33 U.S.C 1254; National Environmental Policy Act, Executive Order NA, Section 102(2)(F).

OBJECTIVES:

To restore and maintain the chemical, physical, and biological integrity of the Great Lakes Basin Ecosystem. USEPA leads a consortium of programs, agencies, and public and private institutions in attaining specific objectives and actions that will address the most significant Great Lakes ecosystem problems and efforts in five major focus areas: Toxic Substances and Areas of Concern; Invasive Species; Nonpoint Source Pollution Impacts on Nearshore Health; Habitats and Species; and Foundations for Future Restoration Actions. Funded activities will advance protection and restoration of the Great Lakes ecosystem in support of (i) the Great Lakes Restoration Initiative as described in the Great Lakes Restoration Initiative Action Plan II (available from: <http://www.greatlakesrestoration.us/actionplan/index.html>), (ii) the Great Lakes portion of Objective 2.02 (Protect and Restore Watersheds and Aquatic Ecosystems) of EPA's 2014-2018 Strategic Plan, and/or (iii) the Great Lakes Regional Collaboration Strategy to Protect and Restore the Great Lakes (<http://www.gllrc.us/strategy.html>).

Lakes Restoration Initiative Action Plan II.

Funding Priority - Fiscal Year 2016: The Great Lakes Restoration Initiative (GLRI), guided by the Great Lakes Regional Collaboration Strategy, is a coordinated effort among EPA and the Great Lakes Federal Interagency Task Force to fund the highest priority activities in order to protect and restore the Great Lakes.

The President in 2009 announced a Great Lakes Restoration Initiative (GLRI), committing the federal government to significantly advance Great Lakes protection and restoration. EPA has since then led the Interagency Task Force in development of two Great Lakes Restoration Initiative Action Plans, the second covering FY2015-FY2019 (Action Plan) that guide protection and restoration under the Initiative. This carefully coordinated interagency effort involves the cooperation of 16 federal agency partners. The goal of the GLRI is to restore and maintain the environmental integrity of the Great Lakes ecosystem, in accordance with the Great Lakes Water Quality Agreement and the Clean Water Act. Using funds appropriated to EPA to supplement their base funding, agencies fund work directly or through others such as states, tribes, cities, universities, and non-governmental organizations.

Projects will be funded in five focus areas: (i) Toxic Substances and Areas of Concern; (ii) Invasive Species; (iii) Nonpoint Source Pollution Impacts on Nearshore Health; (iv) Habitats and Species; and (v) Foundations for Future Restoration Actions. The GLRI places a special priority on cleaning up and de-listing Areas of Concern, reducing phosphorus contributions from agricultural and urban lands that contribute to harmful algal blooms and other water quality impairments, and invasive species prevention.

Funding Priority - Fiscal Year 2017: The Great Lakes Restoration Initiative (GLRI), guided by the Great Lakes Regional Collaboration Strategy, is a coordinated effort among EPA and the Great Lakes Federal Interagency Task Force to fund the highest priority activities in order to protect and restore the Great Lakes. The President in 2009 announced a Great Lakes Restoration Initiative (GLRI), committing the federal government to significantly advance Great Lakes protection and restoration. EPA has since then led the Interagency Task Force in development of two Great Lakes Restoration Initiative Action Plans, the second covering FY2015-FY2019 (Action Plan) that guide protection and restoration under the Initiative. This carefully coordinated interagency effort involves the cooperation of 16 federal agency partners. The goal of the GLRI is to restore and maintain the environmental integrity of the Great Lakes ecosystem, in accordance with the Great Lakes Water Quality Agreement and the Clean Water Act. Using funds appropriated to EPA to supplement their base funding, agencies fund work directly or through others such as states, tribes, cities, universities, and non-governmental organizations. Projects will be funded in five focus areas: (i) Toxic Substances and Areas of Concern; (ii) Invasive Species; (iii) Nonpoint Source Pollution Impacts on Nearshore Health; (iv) Habitats and Species; and (v) Foundations for Future Restoration Actions. The GLRI places a special priority on cleaning up and de-listing Areas of Concern, reducing phosphorus contributions from agricultural and urban lands that contribute to harmful algal blooms and other water quality impairments, and invasive species prevention.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

The statutory authority for awards is contained in appropriations acts, including the Consolidated Appropriations Act 2016, P.L. 114-113, including administrative provisions authorizing grants for planning, research, monitoring, outreach, and implementation for grants and/or cooperative agreements with governmental entities, nonprofit organizations, and institutions in furtherance of the Great Lakes Restoration Initiative and the Great Lakes Water Quality Agreement. Great Lakes Restoration Initiative implementation, as described above, includes but is not limited to land use activities, governmental partnerships and informed public participation, technology development, investigations, experiments, training, demonstrations, surveys, and studies to the extent consistent with the Great Lakes Regional Collaboration or Great

The statutory authority for awards in furtherance of the Great Lakes Restoration Initiative and the Great Lakes Water Quality Agreement is contained in Clean Water Act Section 118, appropriations acts, including the Consolidated Appropriations Act 2016, P.L. 114-113, including administrative provisions authorizing grants and cooperative agreements to qualified non-federal entities for planning, research, monitoring, outreach, and implementation. For grants and/or cooperative agreements with governmental entities, nonprofit organizations, and institutions in furtherance of the Great Lakes Restoration Initiative and the Great Lakes Water Quality Agreement. Great Lakes Restoration Initiative implementation, as described above, includes but is not limited to land use activities, governmental partnerships and informed public participation, technology development, investigations, experiments, training, demonstrations, surveys, and studies to the extent consistent with the Great Lakes Regional Collaboration or Great Lakes Restoration Initiative Action Plan II. The Statutory Authority to take action to implement the U.S. responsibilities under the Great Lakes Water Quality Agreement, which these awards will support, is contained in Section 118(c) of the Clean Water Act. The principal goal of the Great Lakes Water Quality Agreement is the restoration and maintenance of the chemical, physical, and biological integrity of the Great Lakes Ecosystem. For projects with international aspects the above statutes are supplemented, as appropriate, by the National Environmental Policy Act, Section 102(2)(F). Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved activities plus allowable indirect costs, in accordance with established EPA policies and regulations. Quality System Documentation is required for projects involving measurements or information that describe environmental processes, location, or conditions; ecological or health effects and consequences; or the performance of environmental technology. Section 118 (c)(112) authorizes contaminated sediment remediation in Areas of Concern pursuant to eligible projects under the Great Lakes Legacy Act; however, these will be done through project cooperation agreements, rather than assistance. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Qualified non-federal entities eligible to apply for grants include non-federal governmental entities, nonprofit organizations, and institutions. This includes state agencies; any agency or instrumentality of local government; interstate agencies; federally-recognized tribes and tribal organizations; colleges and universities; non-profit organizations; and other public or non-profit private agencies, institutions, and organizations. Non-profit organization means any corporation, trust, association, cooperative, or other organization which: (1) is operated primarily for scientific, educational, service, charitable, or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses its net proceeds to maintain, improve, and/or expand its operations. Non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible applicants. "For profit" organizations, federal agencies, and individuals are not eligible applicants. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Beneficiaries include non-federal governmental entities, nonprofit organizations, and institutions. This includes state agencies; any agency or instrumentality of local government; interstate agencies; federally-recognized tribes and tribal organizations; colleges and universities; non-profit organizations; and other public or non-profit private agencies, institutions, and organizations. Non-profit organization means any corporation, trust, association, cooperative, or other organization which: (1) is operated primarily for scientific, educational, service, charitable, or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses its net proceeds to maintain, improve, and/or expand its operations. Non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in

lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible applicants. "For profit" organizations and individuals are not eligible applicants. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Credentials/Documentation:

The provisions at 2 CFR 200 Subpart E apply. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Preapplication assistance is available. Requests for Initial Proposals and Proposals will be accessible on the Internet from GLNPO's home page (<http://www.epa.gov/glnpo/>) and an announcement will be e-mailed to organizations which have self-registered with GLNPO at <https://www.epa.gov/great-lakes-funding/great-lakes-news-email-list>. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program has no statutory formula. Leveraging, including an applicant's match percentage, will generally be considered as part of the project selection process for grants and cooperative agreements; however, the program does not expect to require any minimum match requirement. Any such requirement will be specified in the individual Request for Proposals or Applications. A minimum 35 percent matching requirement only applies to Legacy Act projects (Section 103 of the Great Lakes Legacy Act of 2002); Legacy Act projects are done through contracting, not grants or cooperative agreements. Application instructions are contained s specified in applicable requests for proposals. Application kits may be requested from USEPA GLNPO (G-17J), 77 West Jackson Boulevard, Chicago, Illinois 60604-3590. Application Packages should be submitted to the same address. Standard application forms, as furnished by the Federal agency and required by 2 CFR 200 and 1500, must be used. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

Proposals are evaluated by EPA reviewers to determine their adequacy under Great Lakes objectives, including technical merit, relevance of the project, environmental outcomes, and cost. Administrative review is done by the Regional Assistance Branch. Funds are awarded by the Great Lakes National Program Office or Region 2, 3, or 5, as applicable. Great Lakes Restoration Initiative assistance is approved by the Director of the Great Lakes National Program Office. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately two to four months after the deadline for application submission.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Contact the Great Lakes National Program Office for information.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula. Leveraging, including an applicant's match percentage, will be considered as part of the project selection process for grants and cooperative agreements; however, the program does not expect to require any minimum match requirement. Any such requirement will be specified in the individual Request for Proposals or Applications. A minimum 35 percent matching requirement only applies to Legacy Act projects (Section 103 of the Great Lakes Legacy Act of 2002); Legacy Act projects are done through contracting, not grants or cooperative agreements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally 1-3 years; however, awards can be made for up to 7 years. The term of the grant will be determined at the time of award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 16 \$690,351,000; FY 17 est \$115,000,000; and FY 18 est \$0 - Grants and Cooperative Agreements - FY 16 est. \$66,351,000; and FY 17 est. \$65,000,000. Legacy Act (Project Agreements) - FY 16 est. \$24,000,000; and FY 17 \$50,000,000.

Range and Average of Financial Assistance:

Some awards are fully funded at award and others are funded incrementally over several years. Representative Award Range: \$19,000 to \$46,600,000/fiscal year; \$543,000/fiscal year.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In 2016, GLNPO used FY2016 funding in a general request for applications offering \$26 million in seven categories that addressed invasive species and nutrients in the Great Lakes. GLNPO also issued competitive grants for monitoring activities. GLNPO also issued non-competitive grants to States, Tribes, and local governments for LaMP and RAP activities, including: state and tribal capacity, Area of Concern restoration. Additional information is available from links at <http://www.epa.gov/grtlakes/fund/index.html>.

Information on accomplishments is included in an annual Report to Congress and the President available from:

<https://www.gtri.us/pdfs/fy2016-glri-progress-report-to-congress-and-president-20170803-35pp.pdf> GLNPO holds competitions and invites non-competitive applications throughout the year based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement typically includes the number of anticipated awards. FY 2016 announcement information is not available. Additional information is available from links at <http://www.epa.gov/grtlakes/fund/glf.html>. Fiscal Year 2017: GLNPO holds competitions and invites non-competitive applications throughout the year based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement typically includes the number of anticipated awards. FY 2016 announcement information is not available. Additional information is available from links at <http://www.epa.gov/grtlakes/fund/glf.html>. Fiscal Year 2018: na.

REGULATIONS, GUIDELINES, AND LITERATURE:

Requests for Applications, examples, and other Great Lakes funding information are available from <http://www.epa.gov/glnpo/fund/>. General regulations for EPA assistance programs are contained in 2 CFR 200 and 1500 and 40 CFR Parts 32 through 47.

Regional or Local Office:

See Regional Agency Offices. USEPA Great Lakes National Program Office (G-17J), 77 West Jackson Boulevard, Chicago, Illinois 60604-3590. Patricia Thompson, 312-886-6015, thompson.patricia@epa.gov Mike Russ, 312-886-4013, russ.michael@epa.gov.

Headquarters Office:

Mike Russ, Mike Russ, USEPA Great Lakes National Program Office (G-17J), 77 West Jackson Boulevard, Chicago, Illinois 60604-3590. Telephone: (312) 886-4013; FAX: (312) 692-2415; E-mail: russ.michael@epa.gov, Chicago, Illinois 60604 Email: russ.michael@epa.gov Phone: (312) 886-4013.

Website Address:

<http://www.epa.gov/glnpo/fund/>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.454 Water Quality Management Planning; 66.460 Nonpoint Source Implementation Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Grants and cooperative agreements will be awarded to governmental entities, nonprofit organizations, and institutions for planning, research, monitoring, outreach, and implementation in support of the Great Lakes Restoration Initiative. Projects will be funded within the focus areas of: (i) Toxic Substances and Areas of Concern; (ii) Invasive Species; (iii) Nonpoint Source Pollution Impacts on Nearshore Health; (iv) Habitats and Species; and (v) Foundations for Future Restoration Actions. Under the Great Lakes Legacy Act, projects will also be funded through contracts for remediation of contaminated sediments in Great Lakes Areas of Concern. Project descriptions will be available from: <http://greatlakesrestoration.us>. Fiscal Year 2017: Grants

and cooperative agreements will be awarded to governmental entities, nonprofit organizations, and institutions for planning, research, monitoring, outreach, and implementation in support of the Great Lakes Restoration Initiative. Projects will be funded within the focus areas of: (i) Toxic Substances and Areas of Concern; (ii) Invasive Species; (iii) Nonpoint Source Pollution Impacts on Nearshore Health; (iv) Habitats and Species; and (v) Foundations for Future Restoration Actions. Under the Great Lakes Legacy Act, projects will also be funded through contracts for remediation of contaminated sediments in Great Lakes Areas of Concern. Project descriptions will be available from: <http://greatlakesrestoration.us>. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Proposals are approved by EPA according to technical merit and relevance to the Great Lakes Water Quality Agreement, Section 118 of the Clean Water Act, and the criteria established in the applicable Request for Proposals.

66.472 BEACH MONITORING AND NOTIFICATION PROGRAM IMPLEMENTATION GRANTS

BEACH Act Program

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Beaches Environmental Assessment and Coastal Health Act of 2000, Public Law 106-284; Clean Water Act, Section 406.

OBJECTIVES:

To assist Coastal and Great Lakes States and Tribes eligible under Section 518(e) of the Clean Water Act, as amended, in developing and implementing programs for monitoring and notification for coastal recreation waters adjacent to beaches or similar points of access that are used by the public.

Funding Priority - Fiscal Year 2017: EPA's funding priority is to award grants to those applicants whose proposals clearly demonstrate a state's, tribe's, or local government's ability to monitor recreational waters; notify the public of risks; manage programs; and communicate among environmental and public health agencies and the public.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

These grants are intended to support the implementation of recreational water monitoring and notification programs or support enhancement of an existing program. EPA encourages grantees to incorporate tools such as sanitary surveys and monitoring frequencies tailored to beach conditions while meeting other BEACH Act program requirements. Grantees should strive to implement the most efficient and timely means of communicating advisory information from public health agencies to the beachgoing public. To be eligible for implementation grants, programs must also demonstrate annually that they meet the program performance criteria listed in the National Beach Guidance and Required Performance Criteria for Grants, 2014 Edition (EPA-823-B-14-001). Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Coastal and Great Lakes States, territories (Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands), and Tribes eligible under Section 518(e) of the Clean Water Act, as amended. The Administrator may make a grant to a local government under this

subsection for implementation of a monitoring and notification program only if, after the one-year period beginning on the date of publication of performance criteria under Section 406 (a)(1), the Administrator determines that the State is not implementing a program that meets the requirements of Section 406(a)(1), regardless of whether the State has received a grant under Section 406(a)(1). Interstate agencies and intertribal consortia are not eligible for Beach grants.

Beneficiary Eligibility:

States, U.S. territories, Federally recognized Indian Tribal Governments, environmental and public health agencies, and local governments involved in implementing monitoring and notification programs.

Credentials/Documentation:

2 CFR 200 Subpart E applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination with the appropriate EPA Regional Office is encouraged. The standard application forms as required by 2 CFR 200 and 1500 as applicable, must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for application forms should be made to the appropriate EPA Regional Grants Management Office identified in Appendix IV of the Catalog. Completed applications should be sent to the appropriate Regional or Headquarters Contact. All proposals or work statements should be developed in response to the criteria identified in CRITERIA FOR SELECTING PROPOSALS. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

Grant applications are reviewed by the appropriate EPA Regional Office, and if approved, are awarded by the Regional Administrator.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants are usually approved within three months of receipt of complete application.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Renewals are subject to approval by the appropriate EPA Regional or Headquarters Contact. Please contact the appropriate EPA Regional or Headquarters Contact for guidance.

Formula and Matching Requirements:

Statutory Formula: Title Beaches Environmental Assessment and Coastal Health Act of 2000. This program has no statutory formula. EPA awards BEACH Act grants to all eligible states, territories, and tribes who apply for funding based on an allocation formula that the Agency developed in 2002 with a supplemental allocation formula published in 2010. The allocation formula uses three factors: (1) beach season length, (2) beach miles, and (3) beach use. This program has no matching requirements. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Beach grants are normally funded on a 12-month basis (yearly). However, EPA may negotiate the project period, grant continuations, and grant amendments with each applicant based on project requirements. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

Recipients must submit annual performance reports and financial reports as required in 2 CFR 200 and 1500 as applicable. The annual performance report explains changes to the beach monitoring and notification program during the grant year. It also describes how the grant funds were used to implement the program to meet the performance criteria listed in the National Beach Guidance and Required Performance Criteria for Grants, 2014 Edition (EPA 823-B-14-001). The annual performance report required under 2 CFR 200 and 1500 as applicable, is due no later than 90 days after the grant year ends. Recipients must also submit annual monitoring and notification reports required by the National Beach Guidance and Required Performance Criteria for Grants 2014 Edition (EPA 823-B-14-001). Sections 2.2.4 and 4.5 of the document contain the performance criterion requiring an annual monitoring report, and sections 2.2.8 and 5.5 contain the performance criterion requiring an annual notification report. This document can be found at http://water.epa.gov/grants_funding/beachgrants/guidance_index.cfm. These reports are required to be submitted to EPA under CWA section 406(b)(3)(A) and the National Beach Guidance and Required Performance Criteria for Grants, and include data collected as part of a monitoring and notification program. As a condition of award of an implementation grant, EPA requires that the monitoring report and the notification report for any beach season be submitted not later than January 31 of the year following the beach season. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 16 \$9,487,000; FY 17 est \$9,383,000; and FY 18 est \$0 - FY 16 \$9,487,000; FY 17 est. \$9,383,000; and FY 18 est. \$0.

Range and Average of Financial Assistance:

States and territories made available: \$150,000 to \$445,000/fiscal year; \$297,500/fiscal year. In FY 17, EPA made \$150,000 (total) available to tribes; there were three eligible tribes.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 16, EPA awarded grants to all 35 coastal and Great Lakes states and territories and three eligible tribes that qualified for the BEACH Act Grant program. Program benefits from funding in FY 16 include: development and implementation of recreational water quality monitoring

protocol (sampling design, indicator organism); decreasing swimmer exposure by improving communication outreach and education to public on swimming advisories; establishing more efficient and timely management decision process for posting swimming advisories; and constructing databases to provide government and public access to data and information. Fiscal Year 2017: In FY 17, grants will continue to support the development and implementation of recreational water quality monitoring and notification programs or support enhancement of an existing program. FY 17 is a year when states provide schedules for the adoption of the 2012 Recreational Water Quality Criteria into their WQS and for implementing updated beach notification thresholds reflecting the content of the 2012 RWQC and the 2014 Beach Guidance. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Grant Guidance 2 CFR 200 and 1500 as applicable.

Regional or Local Office:

See Regional Agency Offices. Region I (Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island) Alicia Grimaldi, USEPA Region 1, 5 Post Office Square, Suite 100 (OEP06-1), Boston, MA 02109-3912; Telephone: (617) 918-1806; FAX: (617) 918-0748; e-mail: grimaldi.alicia@epa.gov
Region II (New Jersey, New York, Puerto Rico, U.S. Virgin Islands) Helen Grebe, USEPA Region 2, 2890 Woodbridge Ave. MS220, Edison, NJ 08837-3679; Telephone: (732) 321-6797; FAX: (732) 321-6616; e-mail: grebe.helen@epa.gov
Region III (Delaware, Maryland, Pennsylvania, Virginia) Denise Hakowski, USEPA Region 3, 1650 Arch Street 3WP30, Philadelphia, PA 19103-2029; Telephone: (215) 814-5726; FAX: (215) 814-2318; e-mail: hakowski.denise@epa.gov
Region IV (Alabama, Florida, Georgia, Mississippi, North Carolina, South Carolina) Joel Hansel, USEPA Region 4, 61 Forsyth St. 15th Floor, Atlanta, GA 30303-3415; Telephone: (404) 562-9274; FAX: (404) 562-9224; e-mail: hansel.joel@epa.gov
Region V (Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin) Peggy Donnelly, USEPA Region 5, 77 West Jackson Blvd. WT-16J, Chicago, IL 60604-3507; Telephone: (312) 886-6109; FAX: (312) 886-0168; e-mail: wirick.holiday@epa.gov
Region VI (Louisiana, Texas) Rob Cook, USEPA Region 6, 1445 Ross Ave. 6WQ-EW, Dallas, TX 75202-2733; Telephone: (214) 665-7141; FAX: (214) 665-6689; e-mail: cook.robert@epa.gov
Region IX (American Samoa, Commonwealth of the Northern Mariana Islands, California, Guam, Hawaii) Terry Fleming, USEPA Region 9, 75 Hawthorne St. WTR-2, San Francisco, CA 94105; Telephone: (415) 744-1939; FAX: (415) 744-1078; e-mail: fleming.terrence@epa.gov
Region X (Alaska, Oregon, Washington) Rob Pedersen, USEPA Region 10, 120 Sixth Ave. OW-134, Seattle, WA 98101; Telephone: (206) 553-1646; FAX: (206) 553-0165; e-mail: pedersen.rob@epa.gov.

Headquarters Office:

Lisa Larimer Lisa Larimer USEPA, 1200 Pennsylvania Ave. NW, (4305T), Washington DC 20460; Telephone: (202) 566-1017; FAX: (202) 566-0409; E-mail: larimer.lisa@epa.gov, Washington, District of Columbia 20460 Email: larimer.lisa@epa.gov Phone: 202-566-1017

Website Address:

<http://www.epa.gov/waterscience/beaches>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Development and implementation of beach monitoring programs; development of databases and websites; purchase of lab equipment for water quality monitoring; construction and posting of signs on beaches; and purchase of vehicles for monitoring. Fiscal Year 2017: It is anticipated that funding will support the implementation of beach monitoring programs; development of databases and websites; purchase of lab supplies for water quality monitoring; purchase of lab equipment to update water quality monitoring programs and construction and posting of signs on beaches. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

To be eligible for program implementation grants, applicants must meet program performance criteria outlined in National Beach Guidance and Required Performance Criteria for Grants, 2014 Edition (EPA-823-B-14-001). The performance criteria set forth implementation grant requirements for monitoring and assessing recreation waters and promptly notifying the public of exceedances of water quality for pathogens. As a condition of receipt of a program Implementation Grant under Section 406(b) of the Clean Water Act, a State or local government program for monitoring and notification under this section shall: (1) undertake a risk-based beach evaluation and classification process; (2) formulate a tiered monitoring plan based on the classification process; (3) select methods and assessment procedures that will be used in the monitoring program; (4) submit a report to the Administrator annually detailing the results of the monitoring program; (5) in the case of a state program for monitoring and notification, the process by which the state may delegate to local governments responsibility for implementing the monitoring program; (6) develop a plan for the prompt communication of the occurrence, nature, location, pollutants involved, and extent of any exceeding of, or likelihood of exceeding, applicable water quality standards for pathogens and pathogen indicators; (7) take such actions as are required to notify the public of exceedances of applicable water quality standards for pathogens and pathogen indicators; (8) submit a report annually to the Administrator detailing the results of the monitoring and notification program for the previous year; (9) describe the process by which the state may delegate to local governments responsibility for implementing the notification responsibilities under the grant; (10) provide schedules to the Administrator for the adoption of new or revised water quality standards for pathogens and pathogen indicators; and (11) conduct a public evaluation of the program.

66.473 DIRECT IMPLEMENTATION TRIBAL COOPERATIVE AGREEMENTS (DITCA)

FEDERAL AGENCY:

Office of International and Tribal Affairs, Environmental Protection Agency

AUTHORIZATION:

Department of Defense, Military Construction and Veterans Affairs, and Full-Year Continuing Appropriations Act, 2013, Public Law 113-6; Department of Defense, Military Construction and Veterans Affairs, and Full-Year Continuing Appropriations Act, 2014, Public Law 113-76; The Consolidated and Further Continuing Appropriations Act, 2015, Public Law 113-235, Public Law 113-235.

OBJECTIVES:

Direct Implementation Tribal Cooperative Agreements (DITCAs) enable EPA to award cooperative agreements to federally recognized Indian tribes and eligible intertribal consortia to help carry out the Agency's function to implement directly, Federal environmental programs required or authorized by law in the absence of an acceptable tribal program, notwithstanding the Federal Grant and Cooperative Agreement Act. DITCAs are an increasingly important avenue for EPA and the tribes to realize meaningful environmental protection in Indian Country. DITCAs enable EPA to partner with tribes to help fulfill EPA's direct implementation authorities, yield environmental results, and assist the Agency in meeting its Strategic Plan goals and targets. DITCAs also provide tribes with flexibility and opportunity by allowing tribes, through a workplan with EPA and under federal authority, to choose aspects of a program that address their tribal environmental needs and priorities, to determine the scope and pace of tribal involvement, and to build tribal capacity to implement environmental programs.

Funding Priority - Fiscal Year 2017: The program provided another avenue for tribes and EPA to partner in implementing meaningful environmental protection in Indian Country. DITCAs provide tribes with the flexibility and opportunity to develop staff capacity to manage environmental programs, to address specific tribal environmental needs and priorities that are within EPA's authority for direct implementation, and to determine the scope and pace of tribal involvement. EPA retained final decision making authority and ultimate responsibility for the environmental programs including all regulatory activities. EPA awarded DITCAs to fund activities that EPA is required or authorized to take to directly implement the federal environmental program in

the absence of an acceptable tribal program. Because DITCAs can address a wide range of activities, and the need for implementation of these activities in Indian Country is great, the funding priority is for any eligible activity that addresses a tribal environmental need or priority, and yields meaningful environmental results for the tribe and the Agency.

Funding Priority - Fiscal Year 2018: The program provides another avenue for tribes and EPA to partner in implementing meaningful environmental protection in Indian Country. DITCAs provide tribes with the flexibility and opportunity to develop staff capacity to manage environmental programs, to address specific tribal environmental needs and priorities that are within EPA's authority for direct implementation, and to determine the scope and pace of tribal involvement. EPA retains final decision making authority and ultimate responsibility for the environmental programs including all regulatory activities. EPA may award DITCAs to fund activities that EPA is required or authorized to take to directly implement the federal environmental program in the absence of an acceptable tribal program. Because DITCAs can address a wide range of activities, and the need for implementation of these activities in Indian Country is great, the funding priority is for any eligible activity that addresses a tribal environmental need or priority, and yields meaningful environmental results for the tribe and the Agency.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

DITCAs assist Tribes in helping EPA directly implement Federal environmental programs required or authorized by law in the absence of an acceptable Tribal program, and may only be awarded to Tribes to assist the Administrator in directly implementing Federal environmental programs for Indian Tribes required or authorized by law. EPA may award DITCAs to fund activities that EPA is required or authorized to take to directly implement the federal environmental program in the absence of an acceptable tribal program.

There are several limitations on DITCA awards. Among them are: (1) The statutory authority for DITCA is found in appropriations acts. In the event the appropriation authority is extended by continuing resolution(s), the DITCA authority will also be extended. (2) The project period of the DITCA may extend beyond the period of the appropriations act under which it was created, but all funds must be awarded prior to the expiration of the appropriations act authorizing the DITCA. (3) DITCA funded personnel may not perform inherently Federal functions. (4) EPA personnel can provide assistance to DITCA representatives based on the written DITCA work plan which may include daily direction. EPA cannot treat DITCA representatives as EPA employees by participating in hiring, disciplining, or firing decisions. (5) DITCA funded personnel cannot operate vehicles which are either owned or leased by the Federal government. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

DITCAs may be awarded to: (1) Federally Recognized Indian Tribal Government, and (2) intertribal consortia consistent with applicable provisions. In order for an intertribal consortium to be eligible to receive cooperative agreements under this authority, an intertribal consortium should be consistent with the provisions in 40 C.F.R. Part 35. See Notice of Guidance Issuance:

Direct Implementation Tribal Cooperative Agreements (DITCAs) Guidance, 70 Fed. Reg 1440 (2005).

Beneficiary Eligibility:

Federally Recognized Indian Tribal Government and intertribal consortia consistent with applicable provisions.

Credentials/Documentation:

The provisions of 2 CFR 200, Subpart E apply. In order for intertribal consortium to be eligible to receive cooperative agreements under this authority, an intertribal consortium should be consistent with the provisions in 40 CFR Part 35. For additional information see also the Notice of Guidance Issuance, 70 Fed. Reg. 1440 (2005). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. EPA will work with Tribes and Intertribal Consortia to develop work plans consistent with program guidance and any regulations that govern the implementation of the relevant Federal environmental program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The preapplication must be submitted to EPA for review. Successful applicants will be contacted by EPA and will be required to submit "Application for Federal Assistance," SF 424; "Budget Information: Non-Construction Programs," SF 424A; "Assurances-Non-Construction Programs, Certification Regarding Debarment, Suspension and Other Responsibility Matters," SF 424B; "Certification Regarding Lobbying;" "Pre-Award Compliance Review," EPA 4700-4; and other required forms to complete the application process. Eligible applicants should contact the appropriate Regional Office Contact identified in Appendix IV of the Catalog of information regarding preapplications. The forms are available at: <http://www.epa.gov/ogd/AppKit/application.htm>. Completed applications should be submitted to the appropriate Regional Office Contact identified in Appendix IV of the Catalog. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

EPA will review each application to determine the adequacy of the application in relation to EPA's grant regulations (2 CFR 200) and applicable program regulations and guidance. If the application is approved, EPA will award a cooperative agreement up to the reasonable and necessary cost of the approved work plan.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications are generally approved and cooperative agreements awarded within 60 to 120 days.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. Cooperative agreement amounts awarded will be determined based on the total amount available for awards and the amounts requested by Tribes and Intertribal Consortia.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally awards cooperative agreements for periods of 12 to 36 months. The term of the grant shall be determined at the time of award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA and Tribes will negotiate the frequency and content of performance and financial status reports. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Uniform grant Guidance 2 CFR 200 and 40 CFR Part 35, "Environmental Program Grants for Tribes." Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual Financial Status Report (SF-269). If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304 - EPM.

Obligations:

(Project Grants) FY 16 \$350,000; FY 17 est \$350,000; and FY 18 est \$350,000 - FY 2016 \$350,000; FY 2017 \$350,000; and FY 2018 est. \$350,000 in active cooperative agreements.

Range and Average of Financial Assistance:

Cooperative agreement amounts range between \$10,000 and \$100,000/fiscal year; Average: \$55,000/fiscal year.

68-6/7-0108 68-7/8-0108 68-8/9-0108.

TAFS Codes:

68-0108-5/6; 68-0108-7/8; 68-0108-8/9.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Examples of projects addressed by DITCAs continued to include regulatory oversight of Public Water Systems, to perform evaluation work, to develop products and to provide training; and Continued development of Underground Storage Tank (UST) and Leaking Underground Storage Tank (LUST) Prevention programs. Fiscal Year 2017: Examples of projects addressed by DITCAs continued to include regulatory oversight of Public Water Systems, to perform evaluation work, to develop products and to provide training; and Continued development of Underground Storage Tank (UST) and Leaking Underground Storage Tank (LUST) Prevention programs. Fiscal Year

2018: na.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments 2 CFR part 200; Environmental Protection Agency and applicable EPA guidance. DITCA guidance is available at <https://www.epa.gov/tribal/grant-programs-tribes>.

Regional or Local Office:

See Regional Agency Offices. EPA's Regional and HQ National Tribal Program Managers at <https://www.epa.gov/tribal/tribal-program-managers>.

Headquarters Office:

Jeff Besougloff, Jeff Besougloff, American Indian Environmental Office (2690M), U.S. Environmental Protection Agency, 1200 Pennsylvania Ave. NW., Washington, DC 20460. Telephone: (202) 564-0292; FAX: (202) 564-0298; e-mail: besougloff.jeff@epa.gov.

, Washington, District of Columbia 20460 Email: besougloff.jeff@epa.gov
Phone: (202) 564-0292.

Website Address:

<https://www.epa.gov/tribal>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Examples of DITCAs funded activities included: implementation of the Public Water System Supervision program and implementation of the Underground Storage Tank program. Fiscal Year 2017: Examples of DITCAs funded activities included: implementation of the Public Water System Supervision program and implementation of the Underground Storage Tank program. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements may be awarded to Tribes which submit applications consistent with EPA regulations and guidance. EPA will work with Tribes and Intertribal consortia to develop work plans consistent with program guidance and any regulations that govern the implementation of the relevant Federal environmental program.

66.474 WATER PROTECTION GRANTS TO THE STATES**FEDERAL AGENCY:**

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act, Section 1442; Department of Defense and Emergency Supplemental Appropriations for Recovery from and Response to Terrorist Attacks on the United States Act, 2002, Public Law 107-117; Consolidated Appropriations Resolution of 2004, Public Law 108-199; Consolidated Appropriations Resolution of 2003, Public Law 108-7.

OBJECTIVES:

The objective of this grant program is to assist states, territories, and possessions of the United States with critical water infrastructure protection.

Funding Priority - Fiscal Year 2017: EPA's funding priority is to continue to support the states with prior year funding to support technical assistance, training, and communication activities on critical water infrastructure protection efforts that include work with drinking water systems as well as with federal, state, and local agencies. No new appropriations are available for this program.

TYPES OF ASSISTANCE:**FORMULA GRANTS****USES AND USE RESTRICTIONS:**

Funded activities include providing: (1) technical assistance, training, or distribution of tools that would lead to satisfactory vulnerability assessments of drinking water systems; (2) technical assistance, training, or distribution of tools that would lead to drinking water systems creating, amending or updating

emergency response plans to meet new requirements or security threats; (3) assistance to improve communications with or between drinking water systems and other agencies or organizations involved with security or emergency response; and (4) other activities focusing on training to enhance security and improving the readiness of individuals and groups involved in first response at drinking water systems. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is available to States, Tribes, Territories, and possessions of the United States.

Beneficiary Eligibility:

Water programs of States, Territories, and possessions of the United States.

Credentials/Documentation:

2 CFR 200 Subpart E applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application assistance is recommended and should be obtained from the Grants Management Office of the pertinent EPA Regional Office listed in Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms in accordance with 2 CFR 200 and 1500 as applicable are used for this program. Requests for application kits may be directed to the Grants Management Office of the applicable EPA Regional Office listed in Appendix IV of the Catalog. Kits may also be obtained online at <http://www.epa.gov/ogd/AppKit/application.htm>. The completed application, including the final proposal, should be submitted to the appropriate EPA Regional Grants Management Office. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

Application is reviewed by the appropriate EPA Regional Office and, if approved, is signed by the Regional Administrator or designated Division Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30 to 90 days.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None.

Formula and Matching Requirements:

Statutory Formula: Title PWSS formula. As was the case in FY 02 through FY 08, for FY 09 a modification of the basic PWSS formula was chosen to allocate the funds, with each State, the District of Columbia, and Puerto Rico receiving a minimum of \$50,000 and each Territory at least \$16,700.

This program has no matching requirements. As was the case in FY 02 through FY 08, for FY 09 a modification of the basic PWSS formula was chosen to allocate the funds, with each State, the District of Columbia, and Puerto Rico receiving a minimum of \$50,000 and each Territory at least \$16,700. This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project/budget period on these grants may exceed one year. The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the regulations at 2 CFR 200 and 1500 as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - FY 16 est. \$0; and FY 17 est. \$0.

Range and Average of Financial Assistance:

Funds are awarded by each Regional Office. The range of financial assistance available to States varies according to program and fiscal year. Contact the Grants Management Office of the pertinent EPA Regional Office, listed in Appendix IV of the Catalog, to determine the amount for which applicants are eligible. The range and average of financial assistance provided is: FY 09 - \$16,700 to \$380,300/fiscal year; \$198,500/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: NA. Fiscal Year 2017: In FY 17, states with prior year funding continued to develop materials (i.e., documents, training manuals, etc.) focused on improving security and emergency response, ensuring the quality of drinking water vulnerability assessments, and associated security enhancements. Additionally, states conducted trainings, workshops, and/or conferences to improve infrastructure protection, increase preparedness, promote mutual aid compacts and/or provide information to drinking water

utilities. Significant action has been accomplished through the program to help to support and establish mutual aid compacts, including providing technical support and training exercises related to these agreements. Outcomes included changed management and operation of drinking water utilities based on training and current vulnerability assessments of the utilities; and establishment and improvement of emergency response coordination and communications. During this time states continued to use prior allocated funding to accomplish goals as new funding is not available. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 as applicable.

Regional or Local Office:

See Regional Agency Offices. Contact the Grants Management Office of the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

David Goldbloom Helzner For general information on the program, contact David Goldbloom-Helzner, Water Security Division, U.S. EPA, (Mail Code 4608T), 1200 Pennsylvania Ave., N.W., Washington, DC 20460. Phone: (202) 564-2106; FAX: (202) 566-0055; E-mail: Goldbloom-Helzner.David@epa.gov. For specific information on the program, contact the appropriate Regional Office, Washington, District of Columbia 20460 Phone: 202-564-2106

Website Address:

<http://water.epa.gov/infrastructure/watersecurity/funding/index.cfm>

RELATED PROGRAMS:

66.432 State Public Water System Supervision

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: EPA has supported the following types of drinking water security activities: (1) improving communications to drinking water systems by updating drinking water system contact information and utilizing a mass faxing system; (2) providing onsite assistance for small drinking water systems on security issues; (3) developing and mailing security information kits to drinking water systems; (4) maintaining a duty officer program and toll free number to enable operators to reach state drinking water agency after hours; (5) assisting in the formation of neighborhood watch programs to increase citizen awareness of drinking water security; (6) developing guidance for water utilities to use alternative water supplies; (7) incorporating security considerations into state design standards and State Revolving Fund (SRF) criteria; (8) creating websites to provide easy access to state drinking water security information; (9) designing and conducting on-line training, videos, and materials for the law enforcement community to outline water utility security basics; (10) conducting emergency response exercises and drills; (11) creating ready-to-go complete sampling kits to eliminate delays in obtaining bottles and preparing expedited sampling and transport protocols in preparation for emergency water supply collection needs; (12) assisting in preparation of state plans to implement measures for safe drinking water under emergency circumstances; and (13) facilitating mutual aid compacts in instances of emergency need, between states and water systems. Fiscal Year 2017: EPA has supported the following types of drinking water security activities: (1) improving communications to drinking water systems by updating drinking water system contact information and utilizing a mass faxing system; (2) providing onsite assistance for small drinking water systems on security issues; (3) developing guidance for water utilities to use alternative water supplies; (4) incorporating security considerations into state design standards and State Revolving Fund (SRF) criteria; (5) creating websites to provide easy access to state drinking water security information; (6) designing and conducting on-line training, videos, and materials for the law enforcement community to outline water utility security basics; (7) conducting emergency response exercises and drills; (8) assisting in preparation of state plans to implement measures for safe drinking water under emergency circumstances; and (9) facilitating mutual aid compacts in instances of emergency need, between states and water systems. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are approved by EPA according to technical merit and relevance, and availability of funds.

**66.475 GULF OF MEXICO PROGRAM
FEDERAL AGENCY:**

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), 33 U.S.C 1254.

OBJECTIVES:

(1) To assist States, Indian Tribes, interstate agencies, and other public or nonprofit organizations in developing, implementing, and demonstrating innovative approaches relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution; and (2) To expand and strengthen cooperative efforts to restore and protect the health and productivity of the Gulf of Mexico in ways consistent with the economic well-being of the region.

Funding Priority - Fiscal Year 2016: Projects that will deliver significant results to achieve the environmental outcomes of improved health of the Gulf of Mexico by restoring, protecting and enhancing habitat, improving water quality, enhancing community resilience, and furthering environmental education.

Funding Priority - Fiscal Year 2017: Projects that will deliver significant results to achieve the environmental outcomes of improved health of the Gulf of Mexico by restoring, protecting and enhancing habitat, improving water quality, enhancing community resilience, and furthering environmental education.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Section 104 (b)(3) of the Clean Water Act, 33 U.S.C. Section 1254 (b)(3) authorizes the awarding of assistance for programs established under the Clean Water Act. Assistance will be targeted to States, Tribes, interstate agencies, and other public or nonprofit organizations that commit to specific activities. Eligible activities should be of a relatively short time frame (6 months to 3 years) and all projects should achieve and demonstrate results. Assistance agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, investigations, research, and demonstrations plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Funds are available to State and local governments, interstate agencies, Tribes, colleges and universities, and other public or nonprofit organizations. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, interstate agencies, Tribes, colleges and universities, and other public or nonprofit organizations.

Credentials/Documentation:

Compliance with 2 CFR 200 and 1500, Program Funding Guidance, and 2 CFR 200 Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that

will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should follow the proposal format and instructions provided in the Funding Announcement. The proposal should be transmitted electronically to the email address and by the date and time published in the Funding Announcement. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90-180 days following the application deadline as addressed in the competitive funding announcement.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Contact the Gulf of Mexico Program Office for information.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the assistance shall be determined at the time of award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 \$459,000; FY 17 est \$3,000,000; and FY 18 est \$3,000,000 - FY 16 \$1,459,000; and FY 17 \$3,000,000 FY 18 est \$3,000,000.

Range and Average of Financial Assistance:

\$30,000 to \$300,000/fiscal year; \$165,000/fiscal year.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The program initiated a competition in the last quarter of FY2015. Ninety-one proposals were received and in FY2016, nine of the proposals were selected for funding for a total of \$2.5 million. In FY 2016, the program continued to support projects which improved water quality, restored, enhanced, and protected habitat, helped coastal communities understand their resilience to climate change and significantly advance environmental education for all. Fiscal Year 2017: Fiscal Year 2017: Notice of Tentative awards will be made by the end of the FY. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

For assistance agreements, the procedures and requirements should be in conformance with 2 CFR 200 and 1500 as applicable.

Regional or Local Office:

See Regional Agency Offices. Contact the EPA Region 4 Office listed in Regional Office of the Catalog for information on the grants administration process. Local Office: EPA/Gulf of Mexico Program Office, 2510 14th St., Suite 1212, Gulfport, MS 39501 Attention: Rachel Houge. Telephone: 228-304-7441. FAX: 228-679-5921. E-Mail: houge.rachel@epa.gov.

Headquarters Office:

Rachel Houge EPA/Gulf of Mexico Program Office, Mail Code EPA/GMPO, Stennis Space Center, Mississippi 39529 Email: houge.rachel@epa.gov Phone: 228-688-2052

Website Address:

<https://www.epa.gov/gulfofmexico>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Nine projects were selected for funding in FY 16. In FY 16, the program continues to support projects which improve water quality, restore habitat, enhance and protect habitat, help coastal communities understand their resilience to climate change, and significantly advance environmental education. Fiscal Year 2017: Fiscal Year 2017: Notice of Tentative awards will be made by the end of the FY. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Projects will be selected based on the criteria and ranking factors established in the EPA's Gulf of Mexico Program's Competitive Funding Announcement. Leveraged funds and resources will be considered during the evaluation scoring process.

66.481 LAKE CHAMPLAIN BASIN PROGRAM

(Lake Champlain Program)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act , Section 120, Public Law 107-303.

OBJECTIVES:

To implement the Lake Champlain Basin Management Plan, Opportunities for Action: An Evolving Plan for the Future of the Lake Champlain Basin 2017 (Opportunities for Action), and to assist the states of New York and Vermont in protecting, restoring and preserving the Lake Champlain ecosystem.

Funding Priority - Fiscal Year 2016: Efforts in Lake Champlain will continue to support the successful interstate, interagency, and international partnership undertaking the implementation of "Opportunities for Action," a plan designed to address various threats to the Lake's water quality, including phosphorus loadings, invasive species, and toxic substances. Grants under this program are for assisting research, surveys, studies, modeling and technical and supporting work (restoration, education, outreach) necessary for the development and implementation of the Lake Champlain Basin Management Plan. Funding priorities include update of the 5-year management plan, phosphorus control and reduction, cyanobacteria control and reduction, aquatic invasive species prevention and control, floodplain and river corridor preservation, and environmental education and outreach.

Funding Priority-Fiscal Year 2017: Efforts in Lake Champlain will continue to support the successful interstate, interagency, and international partnership undertaking the implementation of the Lake Champlain Management Plan, Opportunities for Action, a plan designed to protect and where necessary restore the lake's water quality, sustain healthy ecosystems in the basin, support thriving communities and inform and involve the public in lake issues and activities. Financial awards under this program are made to the States of Vermont and New York, and the New England Interstate Water Pollution Control Commission to implement the Lake Champlain Management Plan. Funding priorities include phosphorus reduction, cyanobacteria control, water quality monitoring to track progress and alert the public if unsafe conditions exist, aquatic invasive species prevention and control, lake corridor protection and environmental education and outreach.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

As described in Section 120 of the CWA, funds may be used to implement elements of the Lake Champlain Basin Management Plan, Opportunities for Action. Projects outside of the Lake Champlain Basin are not eligible for funding under this program. Grant awards must be made in consultation with the Lake Champlain Basin Program Steering Committee, and must support activities consistent with the goals of Opportunities for Action. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

State, interstate, and regional water pollution control agencies, and public or nonprofit agencies, institutions, and organizations are eligible to receive grants from EPA through this program. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a

number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Assistance under this program generally benefits State environmental, health, and agriculture agencies; interstate water pollution control agencies; public nonprofit institutions and organizations; sponsored organizations; Federal agencies; local agencies; intrastate agencies; public and private nonprofit institutions and organizations; private organizations; small businesses; and quasi-public nonprofit institutions.

Credentials/Documentation:

Nonprofit private agencies, institutions, and organizations may be required to provide documentation of their organizational status upon application. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. A pre-application form is not required. Informal pre-application discussions or conferences with the Lake Champlain Basin Program Steering Committee, and EPA Regions 1 and 2 are required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Lake Champlain Basin Program Steering Committee, in conjunction with EPA Regions 1 and 2, develops the annual budget and task list. This budget and task list is then divided between the States of Vermont and New York and the New England Interstate Water Pollution Control Commission. From this information, these three organizations then prepare grant applications and workplans for submittal to EPA Region 2 and/or Region 1. The standard application forms, as furnished by the Federal agency, must be used for this program. EPA requires final applications to be made on Standard Form 424. Application kits should be requested from the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), 1200 Pennsylvania Avenue, N. W., Washington, DC 20460 or the appropriate EPA Regional Office identified in "Regional or Local Office" section for information contacts. Detailed information and assistance, including and application kit, required forms, and a checklist may also be found on the web (<http://www.epa.gov/ogd/AppKit/application.htm>). Applications must be submitted to the appropriate EPA Regional Office identified in "Regional or Local Office" section for information contacts. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

Each federal assistance application and final work plan will be evaluated by EPA Region 1 or Region 2 to determine the adequacy of the application under the established EPA grants program requirements and guidelines, including, but not limited to, the requirements set forth in 2 CFR 200 and 1500. Technical merit and the relevance of the project to the priorities and objectives established in Opportunities for Action will also be considered. Each application will also be subject to an administrative review by the EPA Region 1 or 2 grants management office as appropriate to determine whether all administrative

requirements have been met. The workplan is submitted to the EPA Region 1 and 2 Regional Administrators for approval prior to the award of any assistance agreement. Once a Regional Office approves the annual workplan, funds are awarded by the EPA Region 1 or 2 Regional Administrator as appropriate. Grantees will receive an award package from the appropriate EPA Regional grants management office that must be accepted and signed by an authorized official of the recipient organization and returned to the grants management office within 30 days. Awards may be made on an advance or reimbursement basis. Awards that are not signed and returned by the deadline may be subject to cancellation. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification of action on proposals varies depending on the timing of funds release to the EPA Regional Offices from Headquarters. Interval from submission to notification may vary from two months to eight months.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

No cost extensions and amendments may be available subject to the approval of EPA. Approval of subsequent funding is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and the Agency policy on the competitive grant process.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: This program has a statutory matching requirement; see CWA section 120 (f)(3). For all assistance (grants and cooperative agreements) made under this program, federal costs shall not exceed 75 percent of the total cost of the agreement.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance agreements are generally made for a multi-year period at the discretion of EPA. Start dates for assistance agreements are variable and are established at the discretion of EPA. Generally however, it is intended that start dates closely follow award dates of the assistance agreement. Awards are fully funded, recipients draw down funding as cost are incurred, typically monthly. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are

subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those that arose as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 16 \$4,395,000; FY 17 est \$4,395,000; and FY 18 Estimate Not Available - FY 15 \$4,396,000; FY 16 \$4,395,000; and FY 17 \$4,395,000.

Range and Average of Financial Assistance:

Range: \$350,000 to \$3,166,197/fiscal year; Average: \$1,758,098/fiscal year.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Since 1991, EPA's funding of the Lake Champlain Basin Program has led to the protection and restoration of the Lake Champlain basin through coordination with federal, provincial, state, and municipal agencies and local citizens. The main program goals, as laid out in the Lake Champlain Management Plan Opportunities for Action, are as follows: reducing phosphorus inputs to Lake Champlain; decreasing toxic contamination; minimizing the risks to humans from water-related health hazards; and controlling the introduction, spread, and impact of nonnative nuisance species. EPA works with the Lake Champlain Basin Program Steering Committee to determine funding priorities and distributes funds accordingly. The following three entities typically receive funding on an annual basis: New England Interstate Water Pollution Control Commission (NEIWPCC), Vermont Department of Environmental Conservation, and the New York Department of Environmental Conservation. They may choose to compete all or part of the funds. Competitive announcements typically include the number of anticipated awards. In FY 16, expected accomplishments include: further removal of invasive water chestnuts; conducting water quality and biological monitoring programs; continued development of an adaptive management system; development and implementation of aquatic invasive species colonization prevention programs that are pro-active and targeted within the Lake Champlain Basin; agronomy support, snow and ice removal training, floodplain and river corridor outreach, local implementation to reduce pollution from entering Lake Champlain and its tributaries, and education and outreach programs. Fiscal Year 2017: Since 1991, EPA's funding of the Lake Champlain Basin Program has led to the protection and restoration of the Lake Champlain basin through coordination with federal, provincial, state, and municipal agencies and local citizens. The main program activities focus on reducing phosphorus inputs to Lake Champlain; decreasing toxic contamination; minimizing the risks to humans from water-related health hazards; and controlling the introduction, spread, and impact of nonnative nuisance species. EPA works with the Lake Champlain Basin Program Steering Committee to determine funding priorities and distributes funds accordingly. The following three entities receive funding on an annual basis: New England Interstate Water Pollution Control Commission (NEIWPCC), Vermont Department of Environmental Conservation, and the New York Department of Environmental Conservation. In FY17, expected accomplishments include: reduction of phosphorus loading to Lake Champlain through implementation projects, further removal of invasive water chestnuts; conducting water quality and biological monitoring programs; development and implementation of aquatic invasive species colonization prevention programs that are pro-active and targeted within the Lake Champlain Basin; lakeshore management to reduce shoreline erosion, agronomy support, and education and outreach programs. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Lake Champlain program grants and cooperative agreements are subject to the general grant regulations at 2 CFR 200 and 1500. All assistance agreements must support the goals and objectives of the Lake Champlain Management Plan, Opportunities for Action, most recently updated in 2017. A copy of this document is available on request from the Lake Champlain Basin Program, 54 West Shore Road, Grand Isle, VT 05458, Phone 802-372-3213 and is also available online at <http://www.lcbp.org>.

Regional or Local Office:

See Regional Agency Offices. MaryJo Feuerbach, US EPA Region 1, 5 Post Office Square, Suite 100, Mail Code OEP 6-1, Boston, MA 02109-3912. Phone: (617) 918-1578; FAX: (617) 918-0578; E-mail: feuerbach.maryjo@epa.gov. Mario Paula, US EPA Region 2, 290 Broadway, 24th Floor, New York, NY 10007-1866. Phone: (212) 637-3819; FAX: (212) 637-3889; E-mail: paula.mario@epa.gov.

Headquarters Office:

Jeanne Voorhees US EPA Region 1, 5 Post Office Square, Suite 100, Mail Code OEP 6-1, Boston, Massachusetts 02109 Email: voorhees.jeanne@epa.gov Phone: (617) 918-1686 Fax: (617) 918-0686

Website Address:

<http://www.epa.gov/NE/eco/lakechamplain/index.html>

RELATED PROGRAMS:

12.100 Aquatic Plant Control; 66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.454 Water Quality Management Planning; 66.460 Nonpoint Source Implementation Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: Grants and cooperative agreements awarded to states and interstate agencies are for implementation of the Lake Champlain Management Plan. Examples of work carried out by these grants include: (1) funding for the overall program coordination, the full-time New York and Vermont Lake Champlain Basin Program coordinators employed by their respective states, and continued development of an adaptive management system; (2) annual lake and tributary monitoring for phosphorus, cyanobacteria and other parameters by professional limnologists; (3) local implementation subawards to reduce phosphorus, conserve habitat, limit the spread of invasive species, enhance watershed resiliency and support outreach and education about Lake Champlain issues; (4) removal of invasive species such as water chestnuts; (5) agronomy technical assistance in New York; (6) development of public information about recently finalized Total Maximum Daily Loads for Vermont segments of Lake Champlain, (7) healthy soils education for landscaping companies in Vermont and New York; and (8) Lakeshore assessments to reduce streambank erosion. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Steering Committee recommends projects to EPA for funding based on the technical merit of the proposal and its relevance to the Lake Champlain Basin Management Plan. EPA approves applications after Steering Committee recommendation based on the technical merit of the application and its relevance to the Lake Champlain Basin Management Plan. The evaluation and selection criteria for any competitive awards under this CFDA description will be described in the competitive announcement.

**66.482 DISASTER RELIEF APPROPRIATIONS ACT (DRAA)
HURRICANE SANDY CAPITALIZATION GRANTS FOR CLEAN
WATER STATE REVOLVING FUNDS**

Clean Water State Revolving Fund Hurricane Sandy Supplemental Appropriation

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Disaster Relief Appropriations Act, 2013, Public Law 113-2.

OBJECTIVES:

Grants are made to New York and New Jersey to fund resiliency projects for

facilities impacted by Hurricane Sandy through the State CWSRF Programs.

Funding Priority - Fiscal Year 2016: The funding priority established by the Hurricane Sandy Supplemental Appropriation is to fund projects at wastewater facilities that will improve the resiliency of those facilities against future disasters. Only facilities that were impacted by Hurricane Sandy in the States of New York and New Jersey are eligible.

Funding Priority - Fiscal Year 2017: The funding priority established by the Hurricane Sandy Supplemental Appropriation is to fund projects at wastewater facilities that will improve the resiliency of those facilities against future disasters. Only facilities that were impacted by Hurricane Sandy in the States of New York and New Jersey are eligible.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Capitalization grants are made available to New York and New Jersey for the purpose of funding resiliency related projects for facilities that were impacted by Hurricane Sandy. The capitalization grant is awarded to the State's CWSRF, and is used to provide loans and other types of financial assistance to eligible publicly-owned treatment systems. A State may elect to use up to 4% for administration of the program. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

The States of New York and New Jersey.

Beneficiary Eligibility:

The States of New York and New Jersey, and wastewater treatment facilities funding resiliency projects that were impacted by Hurricane Sandy.

Credentials/Documentation:

To receive a capitalization grant, a State shall enter into an agreement with the EPA Regional Administrator which shall include, but is not limited to, the 10 specifications set forth in Section 602(b) of the CWA. 2 CFR 200 Subpart E applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant (State) should seek preapplication assistance from the appropriate EPA Regional Office. The State is required to prepare and provide for public comment on a plan identifying the intended uses of the funds in the SRF (Intended Use Plan, or IUP) and how those uses support the goals of the SRF. The IUP is to be submitted no later than the application. An environmental impact statement is not required prior to grant award; however, a State environmental review process must be applied to all subsequent state assistance for wastewater facilities. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application for EPA non-construction grant assistance (SF424-A and B) is submitted to the appropriate EPA Regional Office. Applicants, except in limited

circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>. The State must certify in its application that it has the legal, managerial, technical, and operational capabilities to administer the SRF program competently and that it will comply with Federal anti-discrimination laws and other applicable Federal statutes. The regulations at 2 CFR 200 and 1500 as applicable, apply to the program. Establishment of the SRF is a prerequisite for a grant award.

Award Procedure:

A grant application is reviewed by the appropriate Regional Office and, if approved, the grant is awarded by the Regional Administrator under a delegation of authority from the Administrator of EPA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval time averages 45 days.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

For those portions of the State program that do not change from year to year, a subsequent grant application may incorporate by reference relevant portions of the previous year's application which have not changed or an operating agreement approved by the Region.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The supplemental appropriation left the development of an allocation formula to US EPA. New York is eligible for 59.7% of the appropriation and New Jersey is eligible for 40.3% of the appropriation.

The allocation percentages were determined in accordance with the population of the counties of each state that were declared disaster areas by FEMA for Hurricane Sandy. Census data from the most recent census was used. 59.7% of all the total impacted population live in New York and 40.3% live in New Jersey.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for EPA's obligation to the State during the fiscal year in which they are allotted and during the following year. The term of the grant shall be determined at the time of award. All funds must be liquidated within 24 months of the date of the grant award, subject to waiver by OMB. States must enter into binding commitments with loan recipients to provide financial assistance from the CWSRF in an amount equal to the sum of Federal assistance and the State match. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are required under this program. The State shall provide annual reports to the Regional Administrator in accordance with the schedule established in the grant agreement. The annual report shall describe how the State has met the goals and objectives for the preceding fiscal year of the overall program, including the supplemental appropriation for Hurricane Sandy, including identification of loan recipients, loan amounts, and loan terms. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized

representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. States are also encouraged to conduct annual independent audits. The audit of the fund to be prepared by the State or an independent auditor must be in accordance with the standards of the Government Accountability Office (known as the Generally Accepted Government Auditing Standards).

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved. As part of the annual review conducted by the Agency to access a State's performance against activities identified in the intended use plan and biennial report, and to determine compliance with the terms of the capitalization grant agreement, the State or assistance recipient shall make available to EPA such records as the Regional Administrator reasonably requires to review and determine State compliance with the requirements of the CWA.

Account Identification:

68-0103-0-1-304 - STAG.

Obligations:

(Formula Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - FY 16 \$0; FY 17 est. \$0; and FY 18 est. \$0.

Range and Average of Financial Assistance:

\$191,136,855 to \$283,148,135/fiscal year; \$237,142,500/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Funding from the two awards made in FY15 to the states of New York and New Jersey continues to support projects at wastewater facilities that will improve the resiliency of those facilities against future disasters. Examples of projects funded include outfall sewer replacement, modification of sewage treatment plants, restoration and flood mitigation, pump station improvements, and force main modifications. No additional awards are anticipated. Fiscal Year 2017: Funding from the two awards made in FY15 to the states of New York and New Jersey continues to support projects at wastewater facilities that will improve the resiliency of those facilities against future disasters. Examples of projects funded include outfall sewer replacement, modification of sewage treatment plants, restoration and flood mitigation, pump station improvements, and force main modifications. No additional awards are anticipated. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements at 2 CFR 200 and 1500 as applicable, and the CWSRF regulations (40 CFR Part 35, Subpart K). Additional program information, including contact information for state program managers, is available online at http://water.epa.gov/grants_funding/cwsrf/cwsrf_index.cfm. Additional guidance can be found at: <http://www.epa.gov/sandy/>

Regional or Local Office:

See Regional Agency Offices. Steve Vida, Section Chief, State Revolving Fund Program Section, EPA Region 2, 290 Broadway - 24th Floor, New York, NY 10007. Telephone:(212) 637-3862; Fax: (212) 637-3889; E-mail: vida.stephen@epa.gov.

Headquarters Office:

Sheila Platt State Revolving Fund Branch, Municipal Support Division, (4204M), Office of Wastewater Management, Environmental Protection Agency, , Washington, District of Columbia 20460 Email: platt.sheila@epa.gov Phone: 2025640686

Website Address:

http://water.epa.gov/grants_funding/cwsrf/cwsrf_index.cfm

RELATED PROGRAMS:

66.483 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Funding from the two awards made in FY15 to the states of New York and New Jersey continues to support projects at wastewater facilities that will improve the resiliency of those facilities against future disasters. Examples of projects funded include outfall sewer replacement and modification of sewage treatment plant to provide resiliency and mitigate against flooding, pump stations to improve water quality, sewage treatment plant generator replacement, force main modifications, and sewage treatment plant replacement to improve water quality. No additional awards are anticipated. Fiscal Year 2017: Funding from the two awards made in FY15 to the states of New York and New Jersey continues to support projects at wastewater facilities that will improve the resiliency of those facilities against future disasters. Examples of projects funded include outfall sewer replacement and modification of sewage treatment plant to provide resiliency and mitigate against flooding, pump stations to improve water quality, sewage treatment plant generator replacement, force main modifications, and sewage treatment plant replacement to improve water quality. No additional awards are anticipated. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Grants are awarded to States that satisfy the requirements in the application procedures section.

66.483 DISASTER RELIEF APPROPRIATIONS ACT (DRAA) HURRICANE SANDY CAPITALIZATION GRANTS FOR DRINKING WATER STATE REVOLVING FUNDS

Drinking Water State Revolving Fund Hurricane Sandy Supplemental Appropriation

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Disaster Relief Appropriations Act, 2013, Public Law 113-2.

OBJECTIVES:

Grants are made to New York and New Jersey to fund resiliency projects for facilities impacted by Hurricane Sandy through the State DWSRF Programs. Funding Priority - Fiscal Year 2014: The funding priority established by the Hurricane Sandy Supplemental Appropriation is to fund projects at drinking water facilities that will improve the resiliency of those facilities against future disasters. Only facilities that were impacted by Hurricane Sandy in the States of New York and New Jersey are eligible.

Funding Priority - Fiscal Year 2017: The funding priority established by the Hurricane Sandy Supplemental Appropriation is to fund projects at drinking water facilities that will improve the resiliency of those facilities against future disasters. Only facilities that were impacted by Hurricane Sandy in the States of New York and New Jersey are eligible. No additional awards are anticipated in FY 2017.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Capitalization grants are made available to New York and New Jersey for the purpose of funding resiliency related projects for facilities that were impacted by Hurricane Sandy. States award loans and other types of financial assistance to eligible public water systems for projects. Once invoices for these projects are submitted, the state can request reimbursement from the Federal Treasury up to the amount of their capitalization grant. A State may elect to use up to 31% of the capitalization grant for other eligible activities, including 4% for administration of the program. States may also elect to transfer funds between either of the CWSRF and DWSRF capitalization grants. Transfers are subject to the statutory limits of the DWSRF. Assistance agreement awards under this program may involve or relate to geospatial information. Further information

regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

The States of New York and New Jersey.

Beneficiary Eligibility:

The States of New York and New Jersey, and drinking water facilities funding resiliency projects that were impacted by Hurricane Sandy.

Credentials/Documentation:

To receive a capitalization grant, a State shall enter into an agreement with the EPA Regional Administrator which shall include, but is not limited to, the requirements set forth in Section 130 of the SDWA. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant (State) should seek preapplication assistance from the appropriate EPA Regional Office. The State is required to prepare and provide for public comment on a plan identifying the intended uses of the funds in the DWSRF (Intended Use Plan, or IUP) and how those uses support the goals of the DWSRF. The IUP is to be submitted no later than the application. An environmental impact statement is not required prior to grant award; however, a State environmental review process must be applied to all subsequent state assistance for wastewater facilities. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application for EPA non-construction grant assistance (SF424-A and B) is submitted to the appropriate EPA Regional Office. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>. The State must certify in its application that it has the legal, managerial, technical, and operational capabilities to administer the DWSRF program competently and that it will comply with Federal anti-discrimination laws and other applicable Federal statutes. Establishment of the DWSRF is a prerequisite for a grant award.

Award Procedure:

A grant application is reviewed by the appropriate Regional Office and, if approved, the grant is awarded by the Regional Administrator under a delegation of authority from the Administrator of EPA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval time averages 45 days.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

For those portions of the State program that do not change from year to year, a subsequent grant application may incorporate by reference relevant portions of the previous year's application which have not changed or an operating agreement approved by the Region.

Formula and Matching Requirements:

Statutory Formula: Title Disaster Relief Appropriations Act. The supplemental appropriation left the development of an allocation formula to US EPA. New York is eligible for 59.7% of the appropriation and New Jersey is eligible for 40.3% of the appropriation.

The allocation percentages were determined in accordance with the population of the counties of each state that were declared disaster areas by FEMA for Hurricane Sandy. Census data from the most recent census was used. 59.7% of all the total impacted population live in New York and 40.3% live in New Jersey.

Matching Requirements: Other.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for EPA's obligation to the State during the fiscal year in which they are allotted and during the following year. The term of the grant shall be determined at the time of award. States must enter into binding commitments with loan recipients to provide financial assistance from the DWSRF in an amount equal to the sum of Federal assistance, less amounts used by the State for eligible set-aside purposes, and the State match. States are also required to agree to commit and expend all funds in the DWSRF as efficiently as possible, and in a timely manner. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

The State shall provide biennial reports to the Regional Administrator in accordance with the schedule established in the grant agreement. The biennial report shall describe how the State has met the goals and objectives for the preceding two fiscal years of the overall program, including the supplemental appropriation for Hurricane Sandy, including identification of loan recipients, loan amounts, and loan terms. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance reporting is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. A State must, at a minimum, comply with the provisions at 2 CFR 200, Subpart F - Audit Requirements. States are also encouraged to conduct annual independent audits. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The audit of the fund to be prepared by the State or an independent auditor must be in accordance with the standards of the Government Accountability Office (known as the Generally Accepted Government Auditing Standards). To the extent that the set-asides are used for project purposes that must be repaid, or are directly related to the DWSRF (e.g., administration) or are revolving funds themselves, they must be part of an audited opinion(s). The audits must provide an auditor's opinion on the DWSRF financial statements, a report on internal controls and a report on compliance with laws and regulations. Those set-aside funds that are not loaned out may be audited in conjunction with audits conducted under the provisions of 2 CFR 200, Subpart F.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved. As part of the annual review conducted by the Agency to access a State's performance against activities identified in the intended use plan and biennial report, and to determine compliance with the terms of the capitalization grant agreement, the State or assistance recipient shall make available to EPA such records as the Regional Administrator reasonably requires to review and determine State compliance with the requirements of the SDWA.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - FY 16 \$0; FY 17 est. \$0; and FY 18 est. \$0.

Range and Average of Financial Assistance:

\$38,189,000 to \$56,572,000/fiscal year; \$47,381,000/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016 funding continues to support projects at drinking water facilities that will improve the resiliency of those facilities against future disasters. Only facilities that were impacted by Hurricane Sandy in the States of New York and New Jersey are eligible for funds. Funding continues to support projects at drinking water facilities that will improve the resiliency of those facilities against future disasters. Examples of projects anticipated to be funded in FY16 include, projects that provide resiliency through installation of standby emergency generators, projects that repair, maintain, or upgrade existing infrastructure, projects that replace undersized water mains, projects that raise wellhead elevations, and projects that install wireless radio communications for water facilities. Fiscal Year 2017: In FY 2017 funding continues to support projects at drinking water facilities that will improve the resiliency of those facilities against future disasters. Only facilities that were impacted by Hurricane Sandy in the States of New York and New Jersey are eligible for funds. Funding continues to support projects at drinking water facilities that will improve the resiliency of those facilities against future disasters. Examples of projects anticipated to be funded in FY17 include, projects that provide resiliency through installation of standby emergency generators, projects that repair, maintain, or upgrade existing infrastructure, projects that replace undersized water mains, projects that raise wellhead elevations, and projects that install wireless radio communications for water facilities. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Uniform Administrative Requirements for Grants and Cooperative Agreements (2 CFR 200 and 1500) and Drinking Water State Revolving Fund regulations (40 CFR Part 35, Subpart L). Additional program information, including contact information for state program managers, is available online at <https://www.epa.gov/drinkingwatersrf/>. Additional guidance can be found at <http://www.epa.gov/sandy/>

Regional or Local Office:

See Regional Agency Offices. Steve Vida, Section Chief, State Revolving Fund Program Section, EPA Region 2, 290 Broadway - 24th Floor, New York, NY 10007. Telephone:(212) 637-3862; Fax: (212) 637-3889; E-mail: vida.stephen@epa.gov.

Headquarters Office:

Nick Chamberlin Nick Chamberlain, Infrastructure Branch (4606M), Drinking Water Protection Division, Office of Ground Water and Drinking Water, U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, DC 20460. Telephone: (202) 564-1871; FAX: (202) 564-1836;

E-mail: chamberlain.nick@epa.gov., Washington, District of Columbia 20460
Email: chamberlain.nick@epa.gov Phone: 202-564-1871

Website Address:

<https://www.epa.gov/drinkingwatersrf/>

RELATED PROGRAMS:

66.482 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Two awards were made in FY 14 to the states of New York and New Jersey. No additional awards are anticipated. Funding continues to support projects at drinking water facilities that will improve the resiliency of those facilities against future disasters. Examples of projects anticipated to be funded in FY16 include, projects that provide resiliency through installation of standby emergency generators, projects that repair, maintain, or upgrade existing infrastructure, projects that replace undersized water mains, projects that raise wellhead elevations, and projects that install wireless radio communications for water facilities. Fiscal Year 2017: Two awards were made in FY 14 to the states of New York and New Jersey. No additional awards are anticipated. Funding continues to support projects at drinking water facilities that will improve the resiliency of those facilities against future disasters. Examples of projects anticipated to be funded in FY17 include, projects that provide resiliency through installation of standby emergency generators, projects that repair, maintain, or upgrade existing infrastructure, projects that replace undersized water mains, projects that raise wellhead elevations, and projects that install wireless radio communications for water facilities. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

Grants are awarded to States that satisfy the requirements in the application procedures section.

66.508 SENIOR ENVIRONMENTAL EMPLOYMENT PROGRAM (SEE)

FEDERAL AGENCY:

Office of Administration and Resources Management, Environmental Protection Agency

AUTHORIZATION:

Environmental Programs Assistance Act of 1984, Public Law 98-313, 42 U.S.C. 4368a.

OBJECTIVES:

To use the talents of Americans 55 years of age or older to provide technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control to achieve the Agency's goals of Clean Air; Clean and Safe Water; Land Preservation and Restoration; Healthy Communities and Ecosystems; and Compliance and Environmental Stewardship.

Funding Priority - Fiscal Year 2017: The Environmental Programs Assistance Act of 1984 (Pub. L. 98-313) authorized the Administrator of the EPA "to make grants to, or enter into cooperative agreements with private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965." These cooperative agreements are to utilize the talents of older Americans in temporary, full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control." "Technical assistance" may include any activity performed for the EPA in support of its projects ranging from inspections of underground storage tanks, to support for a Headquarters staff office. This allows for the coverage of all types of SEE program positions from professional to clerical. Annual funding priorities are not established at the SEE program level. Rather, Senior Resource Officers from the participating 65 program offices establish priorities requiring SEE program support.

Funding Priority - Fiscal Year 2018: The Environmental Programs Assistance Act of 1984 (Pub. L. 98-313) authorized the Administrator of the EPA "to make grants to, or enter into cooperative agreements with private, nonprofit

organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965." These cooperative agreements are to utilize the talents of older Americans in temporary, full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control." "Technical assistance" may include any activity performed for the EPA in support of its projects ranging from inspections of underground storage tanks, to support for a Headquarters staff office. This allows for the coverage of all types of SEE program positions from professional to clerical. Annual funding priorities are not established at the SEE program level. Rather, Senior Resource Officers from the participating 65 program offices establish priorities requiring SEE program support.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The SEE Act states that only nonprofit organizations which are designated by the Secretary of Labor under Title V of the Older Americans Act can participate. These cooperative agreements are to utilize the talents of older Americans in temporary full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control."

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965.

Beneficiary Eligibility:

Federal, State, and local environmental agencies and individuals 55 years old or older.

Credentials/Documentation:

The Environmental Programs Assistance Act of 1984 requires a certification by the Federal, State, or local agency that is to receive the SEE workers that the grant/cooperative agreement will not displace any Federal or State workers, prevent the rehiring of any laid-off Federal/State workers, or affect existing service contracts. This program is excluded from coverage under 2 CFR 200 Subpart E. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is subject to the provisions of OMB 2 CFR 1500. This program is excluded from coverage under OMB 2 CFR 200. Applicants, except limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

Each application shall be subjected to administrative evaluation to determine adequacy of application in relation to assistance regulations, and to program objectives.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance covers a period of one to three years and is awarded in increments during that period. See the following for information on how assistance is awarded/released: Assistance is incrementally awarded throughout the life of the agreement to support ongoing activities.

Reports:

No program reports are required. Recipients must furnish quarterly reports on expenditures by cooperative agreement. Progress reports are required on a quarterly schedule. Expenditure reports are required on a quarterly schedule. Performance monitoring is required as a normal part of project officer post award monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB 2 CFR 200, Subpart F - "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend \$750,000 or more in the Federal awards shall have a single or a

program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB 2 CFR 200.503.

Records:

Financial records, including all documents which support entries on accounting records and which substantiate changes to each assistance agreement must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until expiration of three years from the date of submission of the final financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-8145-0-1-304; 68-8153-0-1-304; 68-0108-0-1-304; 68-0107-0-1-304 - 68-0107.

Obligations:

(Cooperative Agreements) FY 16 \$38,346,358; FY 17 est \$50,000,000; and FY 18 est \$50,000,000 - (Grants)FY 2016 \$38,346,358; FY 2017 estimate \$50,000,000; FY 2018 estimate \$50,000,000.

Range and Average of Financial Assistance:

New awards and amendments: \$300 to \$945,178/FY 2016; Average \$73,041/FY 2016; \$1,000 to \$750,000/FY 2017; Average \$69,276/FY 2017.

TAFS Codes:

68-0107; 68-0108; 68-8145; 68-8153; 68-103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year 2016, 525 cooperative

agreements/amendments were awarded. Fiscal Year 2017: As of June 30, 2017, 336 cooperative agreements/amendments were awarded. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Assistance Administration Manual, available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis; 2 CFR 1500, General Regulation for Assistance Programs; Office of Administration and Resources Management for the Management of the Senior Environmental Employment Program.

Regional or Local Office:

See Regional Agency Offices. Angela Hughes, Program Analyst, SEE Program, (3102A) Environmental Protection Agency, Office of Administration and Resources Management, 1200 Pennsylvania Ave., N. W., Washington, D. C. 20460. Telephone: (202) 564-4390, FAX: (202) 564-0735; e-mail: Hughes.Angela@epa.gov.

Headquarters Office:

Angela Hughes Angela Hughes, Program Analyst, SEE Program (3102A), Office of Administration and Resources Management, Office of Human Resources, Environmental Protection Agency, 1200 Pennsylvania Ave, N.W., Washington, DC 20460. Telephone: (202) 564-4390 FAX: (202) 564-0735; e-mail: Hughes.Angela@epa.gov., Washington, District of Columbia 20460 Email: Hughes.Angela@epa.gov Phone: (202) 564-4390

Website Address:

<https://www.epa.gov/careers/senior-environmental-employment-see-program>

RELATED PROGRAMS:

66.518 State Senior Environmental Employment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Provide funding to eligible Grantee organizations to provide senior citizens who conduct national non-agricultural pesticide surveys, outreach on renovation, repair, and painting rule, provide review and monitoring support for the Import Car Program, monitor the anti-fuel switching program, conduct research, and work on other general administrative and clerical tasks. Fiscal Year 2017: Provide funding to eligible Grantee organizations to provide senior citizens who conduct national non-agricultural pesticide surveys, outreach on renovation, repair, and painting rule, provide review and monitoring support for the Import Car Program, monitor the anti-fuel switching program, conduct research, and work on other general administrative and clerical tasks. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must demonstrate that the Organizations have the capability of operating nationwide; can manage the program within the prescribed administrative funding limits; and can provide a quality fringe benefit package to enrollees under the proposal (including health insurance).

66.509 SCIENCE TO ACHIEVE RESULTS (STAR) RESEARCH PROGRAM

FEDERAL AGENCY:

Office of Research and Development (ORD), Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104, 33 U.S.C 1254; Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C 136r; National Environmental Policy Act of 1969, Section 102(2)(F); Clean Air Act, Section 103, 42 U.S.C 7403; Toxic Substances Control Act, Section 10, 15 U.S.C 2609; Safe Drinking Water Act, Title 42, Part 3000, Section 1442, 42 U.S.C 300j-1.

OBJECTIVES:

To (1) support research to determine the environmental and human health effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; (2) identify, develop, and demonstrate effective pollution control techniques; and (3) support research to explore and develop strategies and mechanisms for those in the social, governmental, and environmental arenas to use in environmental management decisions.

Funding Priority - Fiscal Year 2017: The STAR Program will fund the highest quality research in the following research areas:

1) Air climate and energy research assess human and ecosystem exposures and effects associated with air pollutants and climate change. 2) Safe and sustainable water research provides the resource management tools that allow decision makers to systematically consider complex tradeoffs occurring in a watershed on a regional or national scale. These tools are based on an improved understanding of the impacts of environmental stresses such as waterborne chemicals and microbial contaminants on aquatic systems and the potential impacts of anthropogenic processes. This research will also address issues related to the nation's aging water infrastructure; high priority water quality and availability research; and promising approaches such as green infrastructure; 3) Sustainable and healthy communities research program supports the Agency's effort to protect and restore land, and support community health. This research focuses on developing data and tools for forecasting and assessing ecological and community health, designing near-term approaches for sustainable solutions to address environmental contaminants present in communities, and developing sustainable practices to help communities manage waste and materials; infrastructure, energy and water; transportation; and planning and zoning for buildings and land use; and 4) Chemical safety and sustainability research underpins the analysis of risks and potential health impacts across the broad spectrum of EPA programs and provides the scientific foundation for pesticide and toxic substances programs, pollution prevention. Specifically, this research allows the Agency to more quickly, efficiently, and cost-effectively assess potential chemical toxicity.

Please check the NCER website: <https://www.epa.gov/research-grants> for an updated listing of all solicitations.

FY 2018, EPA did not include funding for this activity in the FY 2018 budget request.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The National Center for Environmental Research (NCER) generally does not accept unsolicited proposals for the STAR program. All STAR awards are made in response to competitive requests for applications (RFAs) or requests for initial proposals (RFIPs). Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures incident to the performance of research plus allocable portions of allowable indirect costs of the institutions, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Some solicitations issued under this CFDA may allow for the submittal of Multiple Investigator applications. The applicability of Multiple Investigator applications will be described in the solicitations. For further information, please see the EPA Implementation Plan for Policy on Multiple Principal Investigators (<http://rbm.nih.gov/toolkit.htm>).

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Public and private nonprofit institutions/organizations, public and

private institutions of higher education, and hospitals located in the U.S., state and local governments, Federally Recognized Indian Tribal Governments, and U.S. territories or possessions are eligible to apply. Profit-making firms and individuals are not eligible to apply. Non-profit organization, as defined by 2 CFR Part 200, means any corporation, trust, association, cooperative or other organization that: (1) is operated primarily for scientific, educational, service, charitable or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses its net proceeds to maintain, improve and/or expand its operations. Note that 2 CFR Part 200 specifically excludes the following types of organizations from the definition of non-profit organization because they are separately defined in the regulation: (i) institutions of higher education; and (ii) state, local and federally-recognized Indian tribal governments. While not considered to be a non-profit organization(s) as defined by 2 CFR Part 200, Institutions of Higher Education and state, local and federally-recognized Indian tribal governments are, nevertheless, eligible to submit applications under this program. Hospitals operated by state, tribal, or local governments or that meet the definition of nonprofit at 2 CFR 200.70 are also eligible to apply. For-profit colleges, universities, trade schools, and hospitals are ineligible. Nonprofit organizations described in Section 501(c) (4) of the Internal Revenue Code that lobby are not eligible to apply. Foreign governments, international organizations, and non-governmental international organizations/institutions are not eligible to apply. National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, FFRDCs) may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its assistance agreement from the EPA to an FFRDC for research personnel, supplies, equipment, and other expenses directly related to the research. However, salaries for permanent FFRDC employees may not be provided through this mechanism. Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on an assistance agreement. Federal employees may not receive salaries or augment their Agency's appropriations through awards made under this program unless authorized by law to receive such funding. The applicant institution may enter into an agreement with a Federal Agency to purchase or utilize unique supplies or services unavailable in the private sector to the extent authorized by law. Examples are purchase of satellite data, chemical reference standards, analyses, or use of instrumentation or other facilities not available elsewhere. A written justification for federal involvement must be included in the application. In addition, an appropriate form of assurance that documents the commitment, such as a letter of intent from the Federal Agency involved, should be included. Certain competitions may allow for early career awards. The following requirements in addition to the requirements listed above apply to early career awards. The early career awards will support research performed by PIs with outstanding promise at the Assistant Professor or equivalent level. Principal investigators from applicant institutions applying for the early career portion of the RFA must meet the following additional eligibility requirements: 1. Hold a doctoral degree in a field related to the research being solicited by the closing date of the RFA; 2. Be untenured at the closing date of the RFA; 3. By the award date, be employed in a tenure-track position (or tenure-track-equivalent position) as an assistant professor (or equivalent title) at an institution in the U.S., its territories, or possessions. Note: For a position to be considered a tenure-track-equivalent position, it must meet all of the following requirements: (1) the employing department or organization does not offer tenure; (2) the appointment is a continuing appointment; (3) the appointment has substantial educational responsibilities; and (4) the proposed project relates to the employee's career goals and job responsibilities as well as to the goals of the department/organization. Senior researchers may collaborate in a supporting role for early career awards. Early career applications should not propose significant resources for senior researchers and may not list senior researchers as co-PIs. Applicants will be asked to verify their early career status. See RFAs at: <https://www.epa.gov/research-grants> for additional information pertaining to eligibility requirements.

Beneficiary Eligibility:

Public nonprofit institutions/organizations and private nonprofit

institutions/organizations located in the U.S.; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; Anyone/General Public, Education Professional, Student/Trainee, Graduate Student, Scientists/Researchers.

Credentials/Documentation:

The EPA may request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary resources to carry out the research. EPA may ask applicants to provide curriculum vitae and relevant publications. EPA may also ask the lead principal investigator/contact principal investigator for information documenting past performance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Policy Act. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Competitive RFAs or RFPs for grants/cooperative agreements are announced widely through the Internet, university and scientific organizations, among other mechanisms. Applicants must propose EPA mission relevant research based on excellent science as determined through peer review by experts drawn from the national and international scientific community. Other more specialized scientific areas may be solicited via joint RFAs with other Federal agencies. The STAR program may fund research centers that focus on long-term, multi-disciplinary research. This program is subject to the provisions of 2 CFR Part 200 and 2 CFR Part 1500. Specific details on the application process are described in the solicitations which are located at: <https://www.epa.gov/research-grants>. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <https://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified of award decisions within six months of the close of the RFA. After being recommended for award, applicants will be required to submit additional certifications and may be requested to submit an electronic version of the project abstract, provide responses to comments or suggestions offered by the peer reviewers, and provide a revised budget. EPA Project Officers will contact the Lead Principal Investigator/Contact Principal Investigator to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreement Management Division. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As shown in the specific solicitations, which are accessible through the EPA website at <https://www.epa.gov/research-grants>.

Approximately three to six months from the close of the solicitation.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The Office of Research and Development reserves the right to impose a cost share requirement under certain competitions. See individual RFAs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are normally funded for a minimum of 3 years. Total approved project period may not exceed 5 years. Grants are generally fully funded or incrementally funded (on an annual basis), subject to the availability of funds. See the following for information on how assistance is awarded/released: Assistance is either fully funded (lump sum) or incrementally funded on an annual basis.

Reports:

No program reports are required. No cash reports are required. A grant recipient must agree to provide annual progress reports, with associated summaries for posting on NCER's web site, and a final report with an executive summary for web posting. A grant recipient must agree to provide copies of any peer reviewed journal article(s) resulting from the research during the project period. The recipient also agrees to provide expenditure and invention reports as appropriate. Progress Reports are required under this program. No expenditure reports are required. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 2 CFR Part 200 apply. Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 16 \$49,200,000; FY 17 est \$28,400,000; and FY 18 est \$0 - FY 16 \$49.2M; FY 17 est. \$28.4M; FY 18 est. \$0.0M.

Range and Average of Financial Assistance:

New awards range from \$799,000 to \$10,000,000 total per grant and average \$266,000 to \$2,000,000 per fiscal year.

TAFS Codes:

68-0107.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Applications received for the STAR Program - FY 16: 87. New awards for the STAR Program - FY 16: 9. Abstracts of current grant awards, and annual interim and final summary results of the research are available on the homepage: <https://www.epa.gov/research-grants>. Fiscal Year 2017: Applications received for the STAR Program - FY 17 est.: 187. New awards for the STAR Program - FY 17 est.: 0. Abstracts of current grant awards, and annual interim and final summary results of the research are available on the homepage: <https://www.epa.gov/research-grants>. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200 & 2 CFR Part 1500 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards) and Research and Demonstration Grant Regulations, Environmental Protection Agency (40 CFR Part 40).

Regional or Local Office:

See Regional Agency Offices. Applicants are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contacts listed in the solicitations. Solicitations are located at: <http://www.epa.gov/ncer>.

Headquarters Office:

Ron Josephson EPA, 2777 Crystal Drive (One Potomac Yards), Arlington, Virginia 22202 Email: josephson.ron@epa.gov Phone: 703-308-0442

Website Address:

<https://www.epa.gov/research-grants>

RELATED PROGRAMS:

66.511 Office of Research and Development Consolidated Research/Training/Fellowships

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: 1. Utilize a freshwater crustacean and a freshwater gastropod as model ecological receptors to develop bioenergetic markers and population models for predicting adverse ecological effects in response to two emerging contaminants, pyroclostrobin fungicide and perfluorooctane sulfonate. 2. Develop new and improved Environmental Public Health Indicators related to the school environment, and explore appropriate statistical methodology in order to evaluate linkages between the school environment and children's health and school performance. 3. Communicate to the public the importance of the effects of nutrient loading and the consequences for ecological services. This will be achieved through the development of an organizational framework, quantification of spatially scalable ecological services production function, investigation of household preferences and economic value of water quality, preference surveys to estimate willingness to pay, and the production of an Integrated Assessment Model (IAM). 4. Use a preference function model to value changes in water quality benefits. The findings will be adaptable to alternative study-sites and will address critical deficiencies in traditional benefit transfer studies. 5. Develop an integrated modeling framework to characterize present and future health risks in an elderly population jointly exposed to ozone and extreme heat. Fiscal Year 2017: Projects listed for FY 16 will cross over into FY 2017. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. See the web page (<http://www.epa.gov/ncer>) for additional information on the evaluative criteria for the RFAs.

66.510 SURVEYS, STUDIES, INVESTIGATIONS AND SPECIAL PURPOSE GRANTS WITHIN THE OFFICE OF RESEARCH AND

DEVELOPMENT

FEDERAL AGENCY:

Office of Research and Development (ORD), Environmental Protection Agency

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act of 1980, Section 311; Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981; Resource Conservation and Recovery Act of 1976, Public Law 94-580, 42 U.S.C 6981; Clean Water Act, Section 104, Public Law 95-217, 33 U.S.C 1251; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 92-516, 7 U.S.C 136r&136u; Clean Air Act, Section 103, Public Law 95-95, 42 U.S.C 7401; National Environmental Policy Act of 1969, Section 102(2)(F); Safe Drinking Water Act, Section 1442, 93 U.S.C 523; Marine Protection, Research, and Sanctuaries Act, Section 203; Toxic Substances Control Act, Section 10, Public Law 94-469, 15 U.S.C 2601; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 95-396, 7 U.S.C 136; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 94-140, 7 U.S.C 136.

OBJECTIVES:

To (1) support surveys, studies and investigations and special purpose assistance to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides (2) identify, develop, and demonstrate effective pollution control techniques; and (3) fund innovative regional projects that address a stated problem or opportunity relating to sustainability and use science to inform design, planning and decision-making at the local, state and industrial levels.

FY 2016 priorities include conducting air quality research, improving the science behind risk assessment, water quality research, drinking water research, research to improve human health and the environment, and research related to Homeland Security. Surveys, studies, and investigations to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, endocrine disrupting chemicals, and pesticides will also be funded under this category. Additionally, this funding priority provides support for conferences relating to the areas above.

FY 2017 priorities include conducting air quality research, improving the science behind risk assessment, water quality research, drinking water research, research to improve human health and the environment, and research related to Homeland Security. Surveys, studies, and investigations to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, endocrine disrupting chemicals, and pesticides will also be funded under this category. Additionally, this funding priority provides support for conferences relating to the areas above.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures incident to performance plus allocable portions of allowable indirect costs of the institutions, in accordance with the established policies of EPA. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the

Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

These programs are available to each State, territory and possession, and Tribal nation of the U.S., including the District of Columbia, for public and private State universities and colleges, hospitals, laboratories, State and local government departments, other public or private nonprofit institutions, and in some cases, individuals or foreign entities. Profit-making firms are not eligible to receive assistance agreements from the EPA under this program.

Eligible nonprofit organizations include any organizations: 1) Are operated primarily for scientific, educational, service, charitable or similar purposes in the public interest; 2) Are not organized primarily for profit; and 3) Use its net proceeds to maintain, improve, and/or expand its operations. However, nonprofit organizations described in Section 501(c) (4) of the Internal Revenue Code that lobby are not eligible to apply.

National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, "FFRDCs") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its assistance agreement from the EPA to an FFRDC for research personnel, supplies, equipment, and other expenses directly related to the research. However, salaries for permanent FFRDC employees may not be provided through this mechanism.

Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on an assistance agreement, and may not receive salaries or augment their Agency's appropriations in other ways through awards made under this program.

The applicant institution may enter into an agreement with a Federal Agency to purchase or utilize unique supplies or services unavailable in the private sector to the extent authorized by law. Examples are purchase of satellite data, chemical reference standards, analyses, or use of instrumentation or other facilities not available elsewhere. A written justification for federal involvement must be included in the application. In addition, an appropriate form of assurance that documents the commitment, such as a letter of intent from the Federal Agency involved, should be included.

Beneficiary Eligibility:

Public nonprofit institutions/organizations and private nonprofit institutions/organizations; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; anyone/general public; education professionals; students/trainees; graduate students; scientists/researchers; hospitals; foreign entities; and individuals.

Credentials/Documentation:

The Agency may request that applicants demonstrate they have appropriate background, academic training experience in the field, and the necessary equipment to carry out the research. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. EPA may ask principal investigators for information documenting past performance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Policy Act. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-102 and No. A-110 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An

applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Competitive RFAs (requests for applications) or RFIPs (requests for initial proposals) for grants in these areas are announced widely through the Internet, university and scientific organizations, among other mechanisms. Although the programs identified are the formal assistance programs that ORD funds, there are periodic RFAs/RFIPs disseminated on other topics that are not part of the formal program. These new programs are also part of 66.510 but cannot be presently identified. Funds may be available to support activities including but not limited to experiments, surveys, studies, investigations, public education programs, and monitoring where authorized by specific statutes, in both science and engineering disciplines. Please check the Federal Register or the EPA/ORD website <http://www.epa.gov/ord/htm/grantopportunity.htm> for an updated listing of these programs. Additional information may be available through the Grants.gov website (<http://www.grants.gov/>). Completed applications must be submitted to the appropriate Grants Management Office at the Environmental Protection Agency or as shown in the specific RFAs/RFIPs, which are accessible through the EPA/ORD website at <http://www.epa.gov/ord/htm/grantopportunity.htm>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified about award decisions within six months of the solicitation deadline. After being recommended for award, applicants will be required to submit additional certifications and an electronic version of the revised project abstract, and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, past performance documentation, and/or make appropriate revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreements Management Division. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Office of Research and Development reserves the right to impose a cost share requirement under certain competitions. See individual RFAs for additional information pertaining to matching/cost share requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are normally funded on a 12-month basis (annual). Total approved project period may not exceed 5 years. See the following for information on how assistance is awarded/released: Assistance is either fully funded (lump sum) or incrementally funded on an annual basis.

Reports:

No program reports are required. No cash reports are required. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in 2 CFR 200 and 1500. Progress reports are required under this program. A discussion of expenditures is required in progress reports under this program. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 2 CFR Part 200 apply. Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 16 \$2,000,000; FY 17 est \$2,000,000; and FY 18 est \$2,000,000 - FY 2016 \$2.0M, FY 2017 \$2.1M, FY 2018 estimate \$2.0M.

Range and Average of Financial Assistance:

New grants/cooperative agreement funding ranges will be forthcoming shortly.

TAFS Codes:

68-0107.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Six grant/cooperative agreements were made in Fiscal Year 2016. Summary information of the funded research is available in the USA Spending.GOV website.

Database: <https://www.usaspending.gov/transparency/Pages/AgencySummary.aspx?AgencyCode=6800>. Fiscal Year 2017: An estimated three grant/cooperative agreements are to be made in Fiscal Year 2017. Summary information of the funded research is available in the USA Spending.GOV website.

Database: <https://www.usaspending.gov/transparency/Pages/AgencySummary.aspx?AgencyCode=6800>. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 & 2 CFR Part 1500 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards) and Research and Demonstration Grant Regulations, Environmental Protection Agency (40 CFR Part 40)

Regional or Local Office:

See Regional Agency Offices. Individuals are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contact listed on the RFA.

Headquarters Office:

John Nanartowicz For administrative questions on grant applications and procedures, contact: John Nanartowicz, at the following address: U.S. Environmental Protection Agency, 1201 Pennsylvania Ave. N.W., Washington, DC 20460 MC: 8201R. Phone number 202-564-4756, email: nanartowicz.john@epa.gov, Washington, District of Columbia 20460 Email: Nanartowicz.john@epa.gov Phone: 202-564-4756

Website Address:

<http://www.epa.gov/ord/htm/grantopportunity.htm>

RELATED PROGRAMS:

66.509 Science To Achieve Results (STAR) Research Program; 66.511 Office of Research and Development Consolidated Research/Training/Fellowships

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Projects supported include conferences for exposure science, teratology (protection of human health during pregnancy), environmental toxicology and chemistry, advancing the science of toxicology, and environmental laboratory measurement and accreditation. Fiscal Year 2017: Projects supported include conferences for exposure science, teratology (protection of human health during pregnancy), environmental toxicology and chemistry, advancing the science of toxicology, and environmental laboratory measurement and accreditation. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

**66.511 OFFICE OF RESEARCH AND DEVELOPMENT
CONSOLIDATED RESEARCH/TRAINING/FELLOWSHIPS
FEDERAL AGENCY:**

Office of Research and Development (ORD), Environmental Protection Agency

AUTHORIZATION:

Toxic Substances Control Act, Section 10, Public Law 94-469, 15 U.S.C 2601; National Environmental Policy Act of 1969, Section 102(2)(F); Safe Drinking Water Act, Section 1442, Public Law 93-523; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 92-516, 7 U.S.C 136r&136u; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Section 311, Public Law 95-510; Marine Protection, Research, and Sanctuaries Act, Section 203; Resource Conservation and Recovery Act of 1976, Public Law 94-580, 42 U.S.C 6901; Clean Water Act, Section 104, Public Law 95-217, 33 U.S.C 1251; Solid Waste Disposal Act, Section 8001; Clean Air Act, Section 103&104, Public Law 95-95, 42 U.S.C 7401; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Section 311, Public Law 99-499; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 94-140, 7 U.S.C 136r&136u; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 95-396, 7 U.S.C 136r&136u.

OBJECTIVES:

The Office of Research and Development (ORD) supports research and development to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; (2) identify, develop, and demonstrate effective pollution control techniques; (3) perform risk assessments to characterize the potential adverse health effects of human exposures to environmental hazards; and (4) the facilitation of training and fellowships in these areas. Investigator initiated grants/cooperative agreements may be funded in broad areas such as environmental chemistry and physics, environmental engineering, and health and ecological effects of

pollution. The ORD conducts leading-edge research to provide a solid underpinning of science and technology for the Agency. Applied research builds our understanding of how to protect and enhance the relationship between humans and the ecosystems of earth. ORD conducts a comprehensive research program to protect air, water, land, and human health and ecosystems, to improve environmental compliance and stewardship, and to conduct and promote coordination and acceleration of training for individuals relating to the protection and enhancement of the relationship between humans and the ecosystems of earth as well as to improve environmental compliance and stewardship.

Funding Priority 2016

ORD's research supports EPA's strategic goals. Brief descriptions of this research are as follows: 1) Air climate and energy research assess human and ecosystem exposures and effects associated with air pollutants and climate change. Working with other Federal and state agencies, major universities and institutions, and the private sector, this research provides the scientific tools, metrics, and information on air pollution exposure necessary for individuals, communities, and governmental agencies to make public health decisions related to air quality and climate change; 2) Safe and sustainable water research provides the resource management tools that allow decision makers to systematically consider complex tradeoffs occurring in a watershed on a regional or national scale. These tools are based on an improved understanding of the impacts of environmental stresses such as waterborne chemicals and microbial contaminants on aquatic systems and the potential impacts of anthropogenic processes. This research will also address issues related to the nation's aging water infrastructure; high priority water quality and availability research; and promising approaches such as green infrastructure; 3) Sustainable and healthy communities research program supports the Agency's effort to protect and restore land, and support community health. This research focuses on developing data and tools for forecasting and assessing ecological and community health, designing near-term approaches for sustainable solutions to address environmental contaminants present in communities, and developing sustainable practices to help communities manage waste and materials; infrastructure, energy and water; transportation; and planning and zoning for buildings and land use; and 4) Chemical safety and sustainability research underpins the analysis of risks and potential health impacts across the broad spectrum of EPA programs and provides the scientific foundation for pesticide and toxic substances programs, pollution prevention, human health risk assessment, and homeland security. Specifically, this research allows the Agency to more quickly, efficiently, and cost-effectively assess potential chemical toxicity; develop integrated health hazard and dose-response assessments that support Agency decisions related to chemical safety; improve the Agency's preparedness, response, and recovery capabilities for homeland security incidents and other hazards.

Funding Priority 2017

ORD's research supports EPA's strategic goals. Brief descriptions of this research are as follows: 1) Air climate and energy research assess human and ecosystem exposures and effects associated with air pollutants and climate change. Working with other Federal and state agencies, major universities and institutions, and the private sector, this research provides the scientific tools, metrics, and information on air pollution exposure necessary for individuals, communities, and governmental agencies to make public health decisions related to air quality and climate change; 2) Safe and sustainable water research provides the resource management tools that allow decision makers to systematically consider complex tradeoffs occurring in a watershed on a regional or national scale. These tools are based on an improved understanding of the impacts of environmental stresses such as waterborne chemicals and microbial contaminants on aquatic systems and the potential impacts of anthropogenic processes. This research will also address issues related to the nation's aging water infrastructure; high priority water quality and availability research; and promising approaches such as green infrastructure; 3) Sustainable and healthy communities research program supports the Agency's effort to protect and restore land, and support community health. This research focuses on developing data and tools for forecasting and assessing ecological and community health, designing near-term approaches for sustainable solutions to

address environmental contaminants present in communities, and developing sustainable practices to help communities manage waste and materials; infrastructure, energy and water; transportation; and planning and zoning for buildings and land use; and 4) Chemical safety and sustainability research underpins the analysis of risks and potential health impacts across the broad spectrum of EPA programs and provides the scientific foundation for pesticide and toxic substances programs, pollution prevention, human health risk assessment, and homeland security. Specifically, this research allows the Agency to more quickly, efficiently, and cost-effectively assess potential chemical toxicity; develop integrated health hazard and dose-response assessments that support Agency decisions related to chemical safety; improve the Agency's preparedness, response, and recovery capabilities for homeland security incidents and other hazards.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures incident to performance of research plus allocable portions of allowable indirect costs of the institutions, in accordance with the established policies of EPA. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

These programs are available to each State, territory and possession, and Tribal nation of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, State and local government departments, other public or private nonprofit institutions, and foreign entities. Profit-making firms are not eligible to receive assistance agreements from the EPA under this program.

Eligible nonprofit organizations include any organizations that: 1) Are operated primarily for scientific, educational, service, charitable or similar purposes in the public interest; 2) Are not organized primarily for profit; and 3) Use its net proceeds to maintain, improve, and/or expand its operations. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that lobby are not eligible to apply.

National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, "FFRDCs") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its assistance agreement from the EPA to an FFRDC for research personnel, supplies, equipment, and other expenses directly related to the research.

However, salaries for permanent FFRDC employees may not be provided through this mechanism.

Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on an assistance agreement, and may not receive salaries or augment their Agency's appropriations in other ways through awards made under this program.

The applicant institution may enter into an agreement with a Federal Agency to purchase or utilize unique supplies or services unavailable in the private sector to the extent authorized by law. Examples are purchase of satellite data, chemical reference standards, analyses, or use of instrumentation or other facilities not available elsewhere. A written justification for federal involvement must be included in the application. In addition, an appropriate form of assurance that documents the commitment, such as a letter of intent from the Federal Agency involved, should be included.

Beneficiary Eligibility:

Public nonprofit institutions/organizations and private nonprofit institutions/organizations; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; education professionals; students/trainees; graduate students; scientists/researchers; hospitals; and foreign entities.

Credentials/Documentation:

The EPA may request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out the research. EPA may ask applicants to provide curriculum vitae and relevant publications. EPA may also ask the lead principal investigator/contact principal investigator for information documenting past performance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Policy Act. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-102 and No. A-110 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Competitive RFAs (requests for applications) or RFIPs (requests for initial proposals) for grants in these areas are announced widely through the Internet, university and scientific organizations, among other mechanisms. Although the programs identified are the formal assistance programs that ORD funds, there are periodic RFAs disseminated on other topics that are not part of the formal program. These new programs are also part of 66.511 but cannot be presently identified. Funds may be available to support research activities including but not limited to experiments, surveys, studies, investigations, public education programs, and monitoring where authorized by specific statutes, in both science and engineering disciplines. Funds may also be used for training and fellowships. Please check the Federal Register or the EPA/ORD website <http://www.epa.gov/ord/htm/grantopportunity.htm> for an updated listing of

these programs. Completed applications must be submitted to the appropriate Grants Management Office at the Environmental Protection Agency or as shown in the specific RFAs, which are accessible through the EPA/ORD website at <http://www.epa.gov/ord/htm/grantopportunity.htm>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified about award decisions within six months of the solicitation deadline. After being recommended for award, applicants will be required to submit additional certifications and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, past performance documentation, and/or make appropriate revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreements Management Division. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: The Office of Research and Development reserves the right to impose a cost share requirement under certain competitions. See RFAs for additional information pertaining to matching/cost share requirements

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants/cooperative agreements are normally funded on a 12-month basis (annual). Total approved project period may not exceed 5 years for research and 3 years for training agreements. See the following for information on how assistance is awarded/released: Assistance is either fully funded (lump sum) or incrementally funded on an annual basis.

Reports:

No program reports are required. No cash reports are required. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in 2 CFR 200 and 1500. A discussion of expenditures is required in progress reports under this program. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 2 CFR Part 200 apply. Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 16 \$22,000,000; FY 17 est \$18,500,000; and FY 18 est \$10,000,000 - FY 2016 (actual obligation \$22.0M), FY 2017 (total estimated obligation \$18.5M), FY 2018 (reliable estimate \$10.0M).

Range and Average of Financial Assistance:

wards range for new grants/cooperative agreements is not available at this time. It will be made available prior to final acceptance

TAFS Codes:

68-0107.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Four grants/cooperative agreements were awarded in Fiscal Year 2016, covering topics such as: 1) Establishing a life cycle cost framework model for storm-water infrastructure alternatives, assessing the costs and benefits of green, grey and hybrid infrastructure.; 2) Creating a multi-tiered, web-based decision support system to examine risks of low flow in premise plumbing systems; 3) Developing a database of premise plumbing conditions and concentrations of opportunistic pathogens, disinfection byproducts, and lead; and 4) Stimulating publically available research supporting decision-makers on managing nitrogen and carbon inputs to northern Gulf of Mexico estuaries. Summary information of the funded research is available at USA Spending.Gov

Database.<https://www.usaspending.gov/transparency/Pages/AgencySummary.aspx?AgencyCode=6800>. Fiscal Year 2017: Information is currently unavailable. Summary information of funded research will be available at USA Spending.Gov

Database.<https://www.usaspending.gov/transparency/Pages/AgencySummary.aspx?AgencyCode=6800>. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 & 2 CFR Part 1500 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards) and Research and Demonstration Grant Regulations, Environmental Protection Agency (40 CFR Part 40).

Regional or Local Office:

See Regional Agency Offices. Individuals are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contact listed on the RFA.

Headquarters Office:

John Nanartowicz III U.S. Environmental Protection Agency, 1301 Pennsylvania Ave., NW, Washington, District of Columbia 201460 Email: nanartowicz.john@epa.gov Phone: 202-564-4756

Website Address:

<http://www.epa.gov/ord/htm/grantopportunity.htm>

RELATED PROGRAMS:

66.509 Science To Achieve Results (STAR) Research Program; 66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: 1) Establishing a life cycle cost framework model for storm-water infrastructure alternatives, assessing the costs and benefits of green, grey and hybrid infrastructure.; 2) Creating a multi-tiered, web-based decision support system to examine risks of low flow in premise plumbing systems; 3) Developing a database of premise plumbing conditions and concentrations of opportunistic pathogens, disinfection byproducts, and lead; and 4) Stimulating publically available research supporting decision-makers on managing nitrogen and carbon inputs to northern Gulf of Mexico estuaries. Fiscal Year 2017: 1) Oil and dispersant behavior under extreme conditions. Fiscal Year 2018: No content available.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.513 GREATER RESEARCH OPPORTUNITIES (GRO) FELLOWSHIPS FOR UNDERGRADUATE ENVIRONMENTAL STUDY FEDERAL AGENCY:

Office of Research and Development (ORD), Environmental Protection Agency

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C 136r; Clean Air Act, Section 103, 42 U.S.C 7403; Clean Water Act, Section 104, 33 U.S.C 1254; Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981; National Environmental Policy Act of 1969, Section 102(2)(F); Safe Drinking Water Act, Section 1442, 42 U.S.C 300j-1; Toxic Substances Control Act, Section 10, 15 U.S.C 2609.

OBJECTIVES:

The National Center for Environmental Research (NCER) offers undergraduate fellowships to students in environmentally related fields of study. This program is intended to strengthen the environmental research capacity of institutions of higher education that receive limited funding to build such capacity.

Funding Priority - Fiscal Year 2015: The Greater Research Opportunities Fellowship Program will support undergraduate fellowships in the following areas: Natural and Life Sciences, Environmental Sciences and Interdisciplinary Programs, Engineering, Social Sciences, Physical Sciences and Earth Sciences, and Mathematics and Computer Science. A portion of the resources appropriated in FY 2015 will be awarded in FY 2016.

Funding Priority - Fiscal Year 2016: No funding opportunity is planned. Expected obligations for FY 2016 are associated with funds appropriated in FY 2015.

Funding Priority - Fiscal Year 2017: No funding opportunity is planned.

Fiscal Year 2018 EPA did not include funding for this activity in the FY 2018 budget request.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Fellowship awards are made in response to a competitive solicitation. Funds are to provide resources to allow for fellowships related to environmental issues. No matching funds are required. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf

of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Applicants must attend a fully accredited four-year U.S. college or university (located in the U.S. or its territories) for the fellowship period. Individuals must be citizens of the U.S. or its territories or possessions, or be lawfully admitted to the U.S. for permanent residence. You must have your green card at the time of application to be eligible for this Fellowship opportunity. Do not provide the green card number with your application; however, you may be asked to provide it at a later time to verify eligibility with the U.S. Citizenship and Immigration Service of the Department of Homeland Security.

The GRO Undergraduate Fellowship is intended for students entering their last two years of full time study before obtaining their first bachelor's degree. Students who have already earned one bachelor's degree and are pursuing additional degrees are not eligible. The fellowship tenure is for 2 full academic years (9 months each) with a required paid summer internship after their first year. Thus, only students who will be entering their last two years of college will be considered eligible.

In order to receive the fellowship, the student must attend a fully accredited four-year U.S. institution of higher education. This school must be among those that are not highly funded for research and development capacity. For the purposes of this solicitation, students attending those institutions receiving more than \$35 million in annual federal research and development funding are ineligible to apply under this solicitation. Institutions who exceed this threshold can be identified in the National Science Foundation's publication "Federal Science and Engineering Support to Universities, Colleges, and Nonprofit Institutions: FY 2012," Page 37, Table 12, column 2. These data can be found at <http://www.nsf.gov/statistics/2015/nsf15305/pdf/nsf15305.pdf>.

Students attending those institutions either not listed, or listed as having received \$35 million or less as designated in column 2 of Table 12 are eligible to apply.

Students attending two-year institutions, community colleges, and those not in attendance at an institution of higher education at the time of application submittal may be eligible to apply. However, in order to be eligible to receive the fellowship, students attending two-year institutions, community colleges, or those not in attendance at the time of application will need to show evidence of having received their Associate's degree, demonstrate that they only have two years left of undergraduate studies for completion of their Bachelor's degree, and will also need to demonstrate that they have been accepted to attend an accredited eligible four-year institution. This requirement is considered satisfied if the student is transferring to an accredited eligible four-year institution which has an Articulation Agreement with the two-year institution, thus meaning that all credits will transfer towards requirements for the Bachelor's degree. An Articulation Agreement is a signed contract between a community or technical college and a four-year college or university that guarantees that a student who earns an associate's degree at a participating two-year institution can transfer all of the general education core credits to the four-year institution toward the

completion of baccalaureate degree requirements. More information can usually be obtained directly from the institution's Registrar. Alternately, a student with an Associate's degree may provide a letter from the Registrar of the accredited eligible four-year institution verifying that upon matriculation, the student will only have two remaining years of undergraduate study to complete the first Bachelor's degree.

Students must be pursuing a bachelor's degree in an environmentally-related field, such as biology, health, the social sciences, or engineering. Students must have two (2) years remaining from the start of the Fall semester (Fall 2015) before receiving their initial bachelor's degree. Students must have at least a "B" average overall at the time of application submittal and during the tenure of the fellowship.

Applicants who currently have another federal fellowship are not eligible. However, acceptance of a fellowship under this solicitation does not necessarily preclude acceptance of another private, state, regional, local, or non-profit scholarship, fellowship, traineeship, research assistantship, teaching assistantship, or grant aid.

Employees of the U.S. government may apply, but must be able to prove separation from Federal service before accepting this fellowship.

Applications must be submitted by the student. Applications submitted by the institution on behalf of the student will be rejected without review.

The exact term (start and end dates) of the undergraduate fellowship is negotiated with the student and covers a period of nine months for each fellowship year. Students seeking a bachelor's degree may be supported for a maximum of two academic years.

EPA recognizes that scientific, technical, engineering and mathematical (STEM) competence is essential to the Nation's future well-being in terms of national security and competitive economic advantage. For instance, the health and vitality of the economy is predicated, in part, on the availability of an adequate supply of scientists, technicians, engineers and mathematicians, to develop innovative technologies and solutions. In other words, this country must engage all available minds to address the challenges it faces. Minorities, women, and persons with disabilities historically have been under-represented in the STEM fields. For this reason, EPA strongly encourages women, minorities, and persons with disabilities to apply.

Beneficiary Eligibility:

Individual/Family; Student/Trainee.

Credentials/Documentation:

EPA may request that fellows document their affiliation with an eligible institution. The EPA may also request that applicants demonstrate they have appropriate background, academic training, and experience in the field to carry out the fellowship. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see

Appendix IV of the Catalog. NCER invites applications for undergraduate fellowships in academic disciplines including engineering, environmental science, and physical science. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Specific details on the application process are described in the solicitations which are located at: <https://www.epa.gov/research-grants>. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <https://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately three to six months from the close of the solicitation

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of the fellowship is negotiated with the student and covers a period of nine months for each fellowship year. Students seeking a bachelor's degree may be supported for a maximum of two years. The official notification of an award will be made by the EPA's Grants and Interagency Agreement Management Division. See the following for information on how assistance is awarded/released: Assistance is fully funded (lump sum).

Reports:

No program reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. EPA includes reporting requirements for fellowships in the terms and conditions of the agreements. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the

provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The record retention requirements of 40 CFR Part 46 are applicable. All records related to a fellowship agreement must be maintained for three years after the completion date inserted on the "Completion of Studies Notice" (EPA Form 5770-9). EPA, the Inspector General, Comptroller General of the United States, or any of their duly authorized representatives, has the right of timely and unrestricted access to documents, papers, or other records related to a fellowship, in order to make audits, examinations, excerpts, transcripts and copies of such documents. The rights of access in this paragraph are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - FY 16 \$ 1.7M; FY 17 est. \$0; FY 18 est. \$0.

Range and Average of Financial Assistance:

The undergraduate fellowship provides up to \$20,700 per year of academic support and \$8,600 for internship support for a combined total of up to \$50,000 over the life of the fellowship. Awards average \$50,000.

TAFS Codes:

68-0107.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: New awards for the Greater Research Opportunities Undergraduate Fellowship Program-FY 16: 33. Fiscal Year 2017: No content available. Fiscal Year 2018: No content available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fellowship Regulations, Environmental Protection Agency (40 CFR Part 46).

Regional or Local Office:

See Regional Agency Offices. Applicants are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contacts listed in the solicitations. Solicitations are located at: <http://www.epa.gov/ncer>.

Headquarters Office:

Sonja Lee Potomac Yards South 2777 Crystal Drive, Rm# S6336, Arlington, Virginia 22202 Email: lee.sonja@epa.gov Phone: 703-347-8083

Website Address:

<https://www.epa.gov/research-grants>

RELATED PROGRAMS:

66.514 Science To Achieve Results (STAR) Fellowship Program

EXAMPLES OF FUNDED PROJECTS:

1. The goal of this study is to assess contamination by quantifying the pathogens Salmonella and Aeromonas in comparison to fecal indicators in an impaired stream. The results of this study will inform management of impaired waters.
2. The fellow will investigate volatile organic compounds found in ambient air samples in order to monitor local air quality. This will be achieved through analyzing aerosol filter samples by gas chromatography- mass spectrometry (GC-MS).
3. The fellow will investigate the effects of drainage tiles on sub-surface erosion rates through isotopic analysis of fallout radionuclides in samples of river sediment in the Vermillion River watershed in northeast Ohio. Understanding the sources and magnitude of sediment pollution is of critical importance in the Great Lakes watershed, as sediment and the contaminants it may carry has a detrimental effect on aquatic ecosystems and drinking water quality.
4. Biofuels are potentially promising alternatives to conventional petrofuels, as they are renewable and release substantially lower emissions than their fossil fuel counterparts. Although there has been much progress made with respect to

biofuel research, there are many setbacks that make it hard for these fuels to be fully implemented. This research works to optimize biofuels by studying properties of fuel synthesis and fuel cold flow behavior, while considering interactions with the environment.

5. The ecological context of chemical pollutant exposure can enhance susceptibility to chemical toxicity, and variation in environmental conditions such as shifts in temperature resulting from climate change may heighten the adverse impacts of pollutants. This research will examine the interactions between chemical exposure and environmental context by testing how the adverse reproductive effects of exposure to 4-nonylphenol in an estuarine fish model are influenced by thermal regime. Fiscal Year 2017: No new projects will be funded during FY 2017. Fiscal Year 2018: No content available.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. See the Web page (<http://www.epa.gov/ncer>) for additional information on the evaluative criteria for the RFAs.

66.514 SCIENCE TO ACHIEVE RESULTS (STAR) FELLOWSHIP PROGRAM

FEDERAL AGENCY:

Office of Research and Development (ORD), Environmental Protection Agency

AUTHORIZATION:

Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981; Clean Air Act, Section 103, 42 U.S.C 7403; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C 136r; National Environmental Policy Act of 1969, Section 102(2)(F); Safe Drinking Water Act, Section 1442, 42 U.S.C 300j-1; Toxic Substances Control Act, Section 10, 15 U.S.C 2609; Clean Water Act, Section 104, 33 U.S.C 1254.

OBJECTIVES:

The National Center for Environmental Research (NCER), as part of its Science to Achieve Results (STAR) program, offers graduate fellowships for master's and doctoral level students in environmentally related fields of study. The purpose of the fellowship program is to encourage promising students to obtain advanced degrees and pursue careers in environmentally related fields. This goal is consistent with the mission of EPA, which is to provide leadership in the nation's environmental science, research, education, assessment, restoration, and preservation efforts. This program will benefit both the public and private sectors which will need a steady stream of well-trained environmental specialists if society is to meet the environmental challenges of the future. These fellowships are intended to help defray costs associated with advanced environmentally-oriented study leading to a master's or doctoral degree.

Funding Priority - Fiscal Year 2015: The STAR Fellowship Program will support graduate fellowships in the following areas: Emerging Environmental Approaches & Challenges: Environmental Innovation, Information Science, Synthetic Biology for Environmental Purposes; Air, Climate & Energy: Clean Air, Global Change, Green Energy/Natural Resources Production & Use; Chemical Safety for Sustainability: Adverse Impacts from Exposures to Endocrine Disrupting Compounds, Environmental Health and Safety of Engineered Nanomaterials, Computational Chemistry for Predictive Toxicology, Communicating and Translating Emerging Science for Evaluating Impacts of Chemicals; Human Health Risk Assessment: Public Health, Risk Assessment and Risk Management; Safe and Sustainable Water Resources: Drinking Water, Water Quality--Coastal and Estuarine Processes, Water Quality - Hydrogeology and Surface Water; Sustainable and Healthy Communities: Multidisciplinary Approaches To Optimize Decision Outcomes, Tribes and American Indian/Alaska Native/Pacific Islander Communities. A portion of the resources appropriated in FY 2015 will be awarded in FY 2016.

Funding Priority - Fiscal Year 2016: No funding opportunity is planned. Expected obligations for FY 2016 are associated with funds appropriated in FY 2015.

Funding Priority - Fiscal Year 2017: No funding opportunity is planned.

Funding Priority - Fiscal Year 2018: EPA did not include funding for this

activity in the FY 2018 budget request.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All STAR fellowship awards are made in response to a competitive solicitation. Funds are to provide resources to allow for fellowships related to environmental issues. No matching funds are required. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Applicants must attend a fully accredited U.S. college or university (located in the U.S. or its territories) for their graduate studies. Individuals must be citizens of the U.S. or its territories or possessions, or be lawfully admitted to the U.S. for permanent residence. Resident aliens must have their green card at the time of application to be eligible for this fellowship opportunity. Do not provide the green card number with your application; however, you may be asked to provide it at a later time to verify eligibility with the U.S. Citizenship and Immigration Service of the Department of Homeland Security.

Applicants do not need to be enrolled in or formally accepted into a full time graduate program at the time they apply for a fellowship, but proof of enrollment or acceptance must be produced prior to the award of the fellowship. To be eligible for this fellowship, applicants must be pursuing a master's or doctoral degree in an environmentally-related topic area at a fully accredited U.S. college or university (based in the U.S. or its territories).

Applicants who are in a graduate program at the time of application and meet either of the following two criteria as of the solicitation closing date ARE NOT eligible to receive a fellowship: 1) have completed more than one year in their current master's program; or 2) have completed more than four years in their current doctoral program. However, applicants enrolled in a master's program who intend to pursue a doctoral degree beginning in the fall of 2015 may apply for a doctoral fellowship.

Applicants who currently have another federal fellowship are not eligible. However, acceptance of a fellowship under this announcement does not necessarily preclude acceptance of another private, state, regional, local, or non-profit scholarship, fellowship, traineeship, research assistantship, teaching assistantship, or grant aid.

Employees of the U.S. government may apply, but must be able to prove separation from Federal service before accepting this fellowship.

Applications must be submitted by the student. Applications submitted by the institution on behalf of the student will be rejected without review.

EPA recognizes that scientific, technical, engineering and mathematical (STEM) competence is essential to the Nation's future well-being in terms of national security and competitive economic advantage. For instance, the health and vitality of the economy is predicated, in part, on the availability of an

adequate supply of scientists, technicians, engineers and mathematicians, to develop innovative technologies and solutions. In other words, this country must engage all available minds to address the challenges it faces. Minorities, women, and persons with disabilities historically have been under-represented in the STEM fields. For this reason, EPA strongly encourages women, minorities, persons with disabilities and MAI applicants as described in Section I.A. of the solicitation (http://www.epa.gov/ncer/rfa/2015/2015_star_gradfellow.html#Introduction) to apply.

Beneficiary Eligibility:

Individual/Family; Student/Trainee and Graduate Student.

Credentials/Documentation:

EPA may request that fellows document their affiliation with an eligible institution. The EPA may also request that applicants demonstrate they have appropriate background, academic training, and experience in the field to carry out the fellowship. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. NCER invites applications for graduate fellowships in academic disciplines relating to environmental research including engineering, public health, and ecological sciences. An application provides the information needed for the review of its merit. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Specific details on the application process are described in the solicitations which are located at: <https://www.epa.gov/research-grants>. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <https://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately three to six months from the close of the solicitation.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable..

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of a graduate fellowship is negotiated with students and customarily covers a period of 9 to 12 months for each fellowship year. Students seeking a master's degree may be supported for a maximum of two years. Students seeking doctoral degrees may receive support for a maximum of three years, available over a period of four years. If selected to receive a fellowship, the fellow will be contacted no later than 3-6 months after the close of the solicitation and should subsequently receive official notification of award by no later than 3-6 months after the close of the solicitation for the fall term. Please note that this schedule may be changed without notification due to factors that were not anticipated at the time of announcement. The official notification of an award will be made by the EPA's Grants and Interagency Agreement Management Division. See the following for information on how assistance is awarded/released: Assistance is incrementally funded on an annual basis.

Reports:

No program reports are required. No cash reports are required. Fellowship recipients must provide annual progress reports in order to retain their funding, and must provide a final report upon termination of the award. In the spring of each fellowship year, the fellow will need to provide documentation (letter from sponsor, current copy of transcript, updated project synopsis, updated technical abstract, etc.). No expenditure reports are required. EPA includes reporting requirements for fellowships in the terms and conditions of the agreements. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The record retention requirements of 40 CFR Part 46 are applicable. All records related to a fellowship agreement must be maintained for three years after the completion date inserted on the "Completion of Studies Notice" (EPA Form 5770-9). EPA, the Inspector General, Comptroller General of the United States, or any of their duly authorized representatives, has the right of timely and unrestricted access to documents, papers, or other records related to a fellowship, in order to make audits, examinations, excerpts, transcripts and copies of such documents. The rights of access in this paragraph are not limited to the required retention period, but shall last as long as records are retained.

In the spring of each fellowship year, the fellow will need to provide documentation (letter from sponsor, current copy of transcript, updated project synopsis, updated technical abstract, etc.).

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 16 \$6,700,000; FY 17 est \$0; and FY 18 est \$0 - FY 16 \$6.7M; FY 17 est. \$0; FY 18 est. \$0.

Range and Average of Financial Assistance:

A maximum of \$88,000 (\$44,000/year) will be provided for master's fellows (two years) and up to \$132,000 (three years) for doctoral fellows. Awards range from \$88,000 to \$132,000 total per fellowship and average \$120,000.

TAFS Codes:

68-0107.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Applications received for the STAR Fellowship Program: FY

16: 0. New Awards for the STAR Fellowship Program: FY 16: 45. Fiscal Year 2017: No applications were received during FY 2017. No new projects will be funded during FY 2017. Fiscal Year 2018: No content available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fellowship Regulations, Environmental Protection Agency (40 CFR Part 46).

Regional or Local Office:

See Regional Agency Offices. Applicants are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contact listed in the solicitation. The solicitation is located at: <http://www.epa.gov/ncer>.

Headquarters Office:

Brandon Jones, USEPA, Potomac Yards South, 2777 Crystal Drive, Rm# S11772, Arlington, Virginia 22202 Email: jones.brandon@epa.gov Phone: 703-347-8053

Website Address:

<https://www.epa.gov/research-grants>

RELATED PROGRAMS:

66.513 Greater Research Opportunities (GRO) Fellowships For Undergraduate/Graduate Environmental Study

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: 1. The research will investigate the mechanisms of anaerobic bacterial lignin degradation to improve paper pulping processes and the use of lignin as a biofuel feedstock. 2. In the proposed research, pathogens being transmitted through small rural drinking water systems will be identified using molecular biology. This data will then be used to conduct a quantitative microbial risk assessment to estimate the health risks attributable to small drinking water systems and develop risk management strategies. 3. The fellow will design, build and deploy an instrument to measure up to thousands of distinct organic compounds and gain insight into what aerosols from fires are made of and how they form. 4. The research will apply modern econometric techniques to climatological and socioeconomic datasets to uncover the causal relationship between climate variation and global labor productivity, a fundamental building block of economic development that is vulnerable to warming through biophysical and cognitive constraints imposed by higher temperatures. 5. The research will use stable carbon isotopes to study organic reactions that occur in fog and clouds to assess the effects of these processes on particulate matter mass. This will help in understanding the atmospheric organic carbon budget, as existing models underestimate the concentration of aerosol particulate matter. Fiscal Year 2017: No new projects will be funded during FY 2017. Fiscal Year 2018: No content available.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. See the Web page (<http://www.epa.gov/ncer>) for additional information on the evaluative criteria for this RFA.

66.516 P3 AWARD: NATIONAL STUDENT DESIGN COMPETITION FOR SUSTAINABILITY

P3 Award: A National Student Design Competition for Sustainability Focusing on People, Prosperity and the Planet

FEDERAL AGENCY:

Office of Research and Development (ORD), Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act, Section 1442, 42 U.S.C 300j-1; Clean Water Act, Section 104, 33 U.S.C 1254; Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C 136r; Toxic Substances Control Act, Section 10, 15 U.S.C 2609; Clean Air Act, Section 103, 42 U.S.C 7403; National Environmental Policy Act of 1969, Section 102(2)(F).

OBJECTIVES:

The U.S. Environmental Protection Agency (EPA), as part of the P3-People, Prosperity and the Planet Award Program, is seeking applications proposing to research, develop, and design solutions to real world challenges involving the overall sustainability of human society. The P3 competition highlights the use

of scientific principles in creating innovative projects focused on sustainability. The P3 Award program was developed to foster progress toward sustainability by achieving the mutual goals of economic prosperity, protection of the planet, and improved quality of life for its people, prosperity, and the planet - the three pillars of sustainability. The EPA offers the P3 competition in order to respond to the technical needs of the world while moving towards the goal of sustainability.

Funding Priority for 2016

Funding Priority - Fiscal Year 2016: The United States Environmental Protection Agency (EPA) invites submissions to the P3 Award: A National Student Design Competition for Sustainability. The P3 Program is intended to support science-based projects and designs developed by interdisciplinary student teams that benefit people by improving their quality of life, promote prosperity by developing local economies, and protect the planet by conserving resources and minimizing pollution.

The P3 Award Program is composed of two phases that award grants on a competitive basis. The first phase of the P3 Award Program is a competition for one-year grants to test, research and develop innovative scientific projects or engineering designs that will promote sustainable development. Challenges from a wide range of categories will be considered. These include: Energy; Built Environment; Materials and Chemicals; and Water.

Recipients of Phase I grant awards will have the opportunity to compete for Phase II grant awards. The Phase II grant awards are to support the demonstration and further development of the sustainability projects/designs created in the first phase of the program. The competitors for the P3 Phase II grants will be limited to those selected to receive support as a result of the Phase I competition.

Funding Priority - Fiscal Year 2017:

The United States Environmental Protection Agency (EPA) invites submissions to the P3 Award: A National Student Design Competition for Sustainability. The P3 Program is intended to support science-based projects and designs developed by interdisciplinary student teams that benefit people by improving their quality of life, promote prosperity by developing local economies, and protect the planet by conserving resources and minimizing pollution.

The P3 Award Program is composed of two phases that award grants on a competitive basis. The first phase of the P3 Award Program is a competition for one-year grants to test, research and develop innovative scientific projects or engineering designs that will promote sustainable development. Challenges from a wide range of categories will be considered. These include: Energy; Built Environment; Materials and Chemicals; and Water.

Recipients of Phase I grant awards will have the opportunity to compete for Phase II grant awards. The Phase II grant awards are to support the demonstration and further development of the sustainability projects/designs created in the first phase of the program. The competitors for the P3 Phase II grants will be limited to those selected to receive support as a result of the Phase I competition.

Fiscal Year 2018 EPA did not include funding for this activity in the FY 2018 budget request.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards are made in response to an announced competitive request for applications (RFA). Funds awarded via grant agreements are available for allowable direct cost expenditures incident to the performance of research plus

allocable portions of allowable indirect costs of the institutions, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Public nonprofit institutions/organizations (limited to degree-granting public institutions of higher education) and private nonprofit institutions/organizations (limited to degree-granting private institutions of higher education) located in the U.S. (includes eligible institutions of higher education located in U.S. territories and possessions) are eligible to apply to be the recipient of a grant to support teams of undergraduate and/or graduate students. State and local governments, Federally Recognized Indian Tribal Governments, and U.S. territories or possessions are not eligible to apply. Profit-making firms are not eligible to receive assistance agreements from the EPA under this program.

The students on the teams supported by the institution receiving the grant must be enrolled in the college, university, or post-secondary educational institution they will be representing at the time the proposal is submitted. Institutions are allowed to submit more than one application where each application represents a unique design concept and student team. For the purposes of grant administration, the team's faculty advisor will be designated the Principal Investigator throughout the P3 grant award and competition process. In addition to the Principal Investigator, each team selected for award will also be asked to provide contact information for a student lead.

Eligible nonprofit organizations include research institutes, corporations, or foundations that are part of a U.S. institution of higher education. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that lobby are not eligible to apply.

Foreign governments, international organizations, and non-governmental international organizations/institutions are not eligible to apply.

National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, "FFRDCs") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its assistance agreement from the EPA to an FFRDC for supplies, equipment, and other expenses directly related to the research. However, salaries for permanent FFRDC employees may not be provided through this mechanism.

Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on an assistance agreement, and may not receive

salaries or augment their Agency's appropriations in other ways through awards made under this program.

The applicant institution may enter into an agreement with a Federal Agency to purchase or utilize unique supplies or services unavailable in the private sector. Examples are purchase of satellite data, chemical reference standards, analyses, or use of instrumentation or other facilities not available elsewhere. A written justification for federal involvement must be included in the application. In addition, an appropriate form of assurance that documents the commitment, such as a letter of intent from the Federal Agency involved, should be included.

Beneficiary Eligibility:

Public Nonprofit Institutions/Organizations, Private Nonprofit Institutions/Organizations, Anyone/General Public, Education Professional, Student/Trainee, Graduate Student, Scientists/Researchers.

Credentials/Documentation:

EPA may request that students document their affiliation with an eligible institution. The EPA may also request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary resources to carry out the research. EPA may ask principal investigators for information documenting past performance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Policy Act. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 & 2 CFR Part 1500. Specific details on the application process are described in the solicitation which is located at: <http://www.epa.gov/ncer/p3>. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified of award decisions within six months of the close of the RFA. After being recommended for award, applicants will be required to submit additional certifications and an electronic version of the revised project abstract, and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, and/or make appropriate proposal revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreement Management Division.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

P3 Awards will be fully funded. Project period for Phase I will normally not exceed 1 year. Project period for Phase II will normally not exceed 2 years. See the following for information on how assistance is awarded/released: Assistance is fully funded (lump sum).

Reports:

No program reports are required. No cash reports are required. Phase I Report, Phase II report, and invention reports as appropriate. Phase II awards require annual progress reports. No expenditure reports are required. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 2 CFR Part 200 apply. Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$1,300,000; and FY 18 est \$0 - FY 16 \$0.2M; FY 17 est. \$1.2M; FY 18 est. \$0.0M.

Range and Average of Financial Assistance:

Phase I Awards range from \$10,220 to \$15,000 total per grant. Average awards total \$14,750. Phase II Awards range from \$71,060 to \$75,000 total per grant. Average awards total \$74,750.

TAFS Codes:

68-0107.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Applications received for the P3 Phase I Award: FY 16: 86. New awards for the P3 Phase I Award: FY 16: 32. Applications received for the P3 Phase II Award: FY 16: 34. New awards for the P3 Phase II Award: FY 16: 7. Abstracts of grant awards, and final summary results of the research will be available on the NCER P3 homepage: <https://www.epa.gov/p3>. Fiscal Year

2017: Applications received for the P3 Phase I Award: FY 17 est.: 88. New awards for the P3 Phase I Award: FY 17 est.: 30. Applications received for the P3 Phase II Award: FY 17 est.: 30. New awards for the P3 Phase II Award: FY 17 est.: 7. Abstracts of grant awards, and final summary results of the research will be available on the NCER P3 homepage: <https://www.epa.gov/p3>. Fiscal Year 2018: na.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200 & 2 CFR Part 1500 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards) and Research and Demonstration Grant Regulations, Environmental Protection Agency (40 CFR Part 40).

Regional or Local Office:

See Regional Agency Offices. Applicants are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contact listed on the solicitation. The solicitation may be found at: <http://www.epa.gov/ncer/p3>.

To obtain further information via the Internet for the P3 Award, go to <https://www.epa.gov/p3>. Further information, if needed, may also be obtained from Greg Lank; phone: 202-564-7721; e-mail: lank.gregory@epa.gov; 1200 Pennsylvania Ave. NW (8725R) Washington, DC 20460. E-mail inquiries are preferred.

Headquarters Office:

Gregory Lank 1200 Pennsylvania Ave. NW (8725R) Washington, DC 20460, Washington, District of Columbia 20460 Email: lank.gregory@epa.gov Phone: 202-564-7721

Website Address:

<https://www.epa.gov/p3>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The project aims to reduce demolition waste associated with current insulation technologies. The team proposes to use design-for-disassembly principles to develop a pre-fabricated system that uses benign materials and complementary manufacturing and disassembly processes to maximize the ability to reuse the components with only minor reprocessing. 2. Combine 3D virtual-prototyping technology with the Material Sustainability Index to increase efficiency and reduce waste starting at the apparel development stage. 3. This project aims to design, construct, and demonstrate a drinking water purification process that couples sand filtration with the natural protein, keratin, which will act as an adsorbent for removing heavy metals from water. 4. Study the effectiveness of catalytic de-coloration at low temperatures (<250 C) using a bi-metallic catalyst, then convert the biphenyl into other more useful and less toxic chemicals, such as cyclohexyl benzene. 5. This project will study the practicality of using stormwater biofiltration to slow runoff and capture pollutants. The project will do laboratory studies to determine key design parameters to achieve biofilter resiliency and monitor for 18 months the hydrologic and pollutant removal of the existing biofilters in the test beds. It will develop a methodology for determining the most effective media-plant combinations for biofiltration systems in particular settings. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. See the Web page (<http://www.epa.gov/ncer/p3>) for additional information on the evaluative criteria for this RFA.

66.517 REGIONAL APPLIED RESEARCH EFFORTS (RARE) FEDERAL AGENCY:

Office of Research and Development (ORD), Environmental Protection Agency

AUTHORIZATION:

Solid Waste Disposal Act, Section 8001; Clean Water Act, Section 104, Public Law 95-217, 33 U.S.C 1251; Toxic Substances Control Act, Section 10, Public Law 94-469, 15 U.S.C 2601; Marine Protection, Research, and Sanctuaries Act,

Section 203; Resource Conservation and Recovery Act of 1976, Public Law 94-580, 42 U.S.C 6981; Clean Air Act, Section 103&104, Public Law 95-95, 42 U.S.C 7401; Safe Drinking Water Act, Section 1442, Public Law 93-523; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311, Public Law 95-510; National Environmental Policy Act of 1969, Section 102(2)(F); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20&23, Public Law 92-516, 7 U.S.C 136r&136u; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 94-140, 7 U.S.C 136; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 95-396, 7 U.S.C 136; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311, Public Law 99-499.

OBJECTIVES:

To (1) support surveys, studies and investigations and special purpose assistance to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; (2) identify, develop, and demonstrate effective pollution analysis, monitoring, and control techniques; and (3) fund innovative regional projects that address a stated problem or opportunity relating to sustainability and use science to inform design, planning and environmental decision-making.

FY 2015: Priorities include but are not limited to conducting high priority air pollutants research, ecological risk assessment, water quality, watersheds and drinking water research, research to improve human health (including children's risk assessment, pollution prevention and new technologies/Economic and Social Science research. Support surveys, studies and investigations and special purpose assistance actions to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; and identify, develop, and demonstrate effective pollution control techniques and community applications will all be funded under this category.

FY 2016: Priorities include but are not limited to conducting high priority air pollutants research, ecological risk assessment, water quality, watersheds and drinking water research, research to improve human health (including children's risk assessment, pollution prevention and new technologies/Economic and Social Science research. Support surveys, studies and investigations and special purpose assistance actions to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; and identify, develop, and demonstrate effective pollution control techniques and community applications will all be funded under this category.

FY 2017: Priorities include but are not limited to conducting high priority air pollutants research, ecological risk assessment, water quality, watersheds and drinking water research, research to improve human health (including children's risk assessment, pollution prevention and new technologies/Economic and Social Science research. Support surveys, studies and investigations and special purpose assistance actions to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; and identify, develop, and demonstrate effective pollution control techniques and community applications will all be funded under this category.

FY 2018: EPA did not include funding for this activity in the FY 2018 budget request.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures incident to the performance of research plus allocable portions of allowable indirect costs of the institutions, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies

that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Only Regions are eligible to send applications or proposals. Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on an assistance agreement. Federal employees may not receive salaries or augment their Agencies appropriations through awards made under this program unless authorized by law to receive such funding. The applicant institution may enter into an agreement with a Federal Agency to purchase or utilize unique supplies or services unavailable in the private sector to the extent authorized by law. Examples are purchase of satellite data, chemical reference standards, analyses, or use of instrumentation or other facilities not available elsewhere. A written justification for federal involvement must be included in the application. In addition, an appropriate form of assurance that documents the commitment, such as a letter of intent from the Federal Agency involved, should be included.

Beneficiary Eligibility:

Public nonprofit institutions/organizations and private nonprofit institutions/organizations; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; Scientists/Researchers, Hospitals, and Individuals.

Credentials/Documentation:

No content available. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Policy Act. The standard application forms as furnished by the EPA and required by 2 CFR 200 and 1500 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funds may be available to support activities including but not limited to experiments, surveys, studies, investigations, public education programs, and monitoring where authorized by specific statutes, in both science and engineering disciplines. Please check the Federal Register or the EPA/ORD website <http://www.epa.gov/ord/htm/grantopportunity.htm> for an updated listing of these programs. Completed applications must be submitted to the appropriate laboratory, center or office within ORD specified in the announcement.

Award Procedure:

The official notification of an award will be made by the Agency's Grants and Interagency Agreements Management Division. Customarily, applicants are notified about award decisions within six months of the solicitation deadline. After being recommended for award, applicants will be required to submit additional certifications and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, past performance documentation, and/or make appropriate revisions. EPA Project

Officers will contact Principal Investigators to obtain these materials. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

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Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are normally funded on a 12-month basis (annual). Total approved project period typically does not exceed 2 years. See the following for information on how assistance is awarded/released: Assistance is either fully funded (lump sum) or incrementally funded on an annual basis.

Reports:

No program reports are required. No cash reports are required. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations, 2 CFR 200 and 1500. Progress reports are required under this program. A discussion of expenditures is required in progress reports under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 est \$100,000; and FY 18 est \$0 - FY 16 Actual \$0.1M - FY 17 Actual \$0.1 M - FY18 Estimated \$0.0M.

Range and Average of Financial Assistance:

New grants/cooperative agreements shall not exceed \$60,000 per Year/per Region.

TAFS Codes:

68-0107.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: NA. Fiscal Year 2017: No Content Available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures for new awards for the Environmental Protection Agency are in 2 CFR Parts 200 and 1500. Costs will be determined in accordance with 2 CFR Part 200, which supersedes all previous OMB Circulars.

Regional or Local Office:

See Regional Agency Offices. Individuals are encouraged to communicate with the appropriate EPA Regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Maggie Lavy For administrative questions on grant applications and procedures, contact Maggie Lavy, at the following address: U.S. Environmental Protection Agency, 1201 Pennsylvania Ave. Washington, D.C. 20460. Commercial telephone number 202-564-5264. Government e-mail address: lavy.maggie@epa.gov

, Washington, District of Columbia 20460 Email: lavy.maggie@epa.gov
Phone: 202-564-5264

Website Address:

<http://www.epa.gov/osp/regions/rare.htm>

RELATED PROGRAMS:

66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: NA. Fiscal Year 2017: No content available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

66.518 STATE SENIOR ENVIRONMENTAL EMPLOYMENT PROGRAM

(SEE)

FEDERAL AGENCY:

Office of Administration and Resources Management, Environmental Protection Agency

AUTHORIZATION:

Environmental Programs Assistance Act of 1984, Public Law 98-313, 42 U.S.C 4368a.

OBJECTIVES:

To use the talents of Americans 55 years of age or older to provide technical assistance to State environmental agencies for projects of pollution prevention, abatement, and control to achieve the Agency's goals of Clean Air; Clean and Safe Water; Land Preservation and Restoration; Healthy Communities and Ecosystems; and Compliance and Environmental Stewardship.

Funding Priority 2016

Funding Priority, Fiscal Year 2016: The Environmental Programs Assistance Act of 1984 (Pub. L. 98-313) authorized the Administrator of the EPA "to make grants to, or enter into cooperative agreements with, private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965." These cooperative agreements are to utilize the talents of older Americans in temporary, full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control". "Technical assistance" may include any activity performed for the State in support of its projects ranging from inspections of large capacity cesspools, to support for a State Environmental staff office. This allows for the coverage of all types of SEE program positions from professional to clerical.

Funding Priority for 2017

Funding Priority, Fiscal Year 2017: The Environmental Programs Assistance Act of 1984 (Pub. L. 98-313) authorized the Administrator of the EPA "to make grants to, or enter into cooperative agreements with, private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965." These cooperative agreements are to utilize the talents of older Americans in temporary, full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control". "Technical assistance" may include any activity performed for the State in support of its projects ranging from inspections of large capacity cesspools, to support for a State Environmental staff office. This allows for the coverage of all types of SEE program positions from professional to clerical.

Funding Priority, Fiscal Year 2018: The Environmental Programs Assistance Act of 1984 (Pub. L. 98-313) authorized the Administrator of the EPA "to make grants to, or enter into cooperative agreements with, private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965." These cooperative agreements are to utilize the talents of older Americans in temporary, full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control". "Technical assistance" may include any activity performed for the State in support of its projects ranging from inspections of large capacity cesspools, to support for a State Environmental staff office. This allows for the coverage of all types of SEE program positions from professional to clerical.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The SEE Act states that only nonprofit organizations which are designated by the Secretary of Labor under Title V of the Older Americans Act can participate. These cooperative agreements are to utilize the talents of older Americans in temporary full time or part time positions "providing technical assistance to Federal, State and local environmental agencies for projects of pollution prevention, abatement, and control."

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965.

Beneficiary Eligibility:

State environmental agencies and individuals 55 years old or older.

Credentials/Documentation:

The Environmental Programs Assistance Act of 1984 requires a certification by the Federal, State, or local agency that is to receive the SEE workers that the grant/cooperative agreement will not displace any Federal or State workers, prevent the rehiring of any laid-off Federal/State workers, or affect existing service contracts. This program is excluded from coverage under OMB 2 CFR 200 Subpart E. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded

from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is subject to the provisions of OMB 2 CFR 1500. This program is excluded from coverage under OMB 2 CFR 200. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

Each application shall be subjected to administrative evaluation to determine adequacy of application in relation to assistance regulations and to program objectives.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance covers a period of one to three years and is awarded in increments during that period. See the following for information on how assistance is awarded/released: Assistance is awarded throughout the life of the Agreement to support ongoing activities.

Reports:

No program reports are required. Recipients must furnish quarterly reports on expenditures by cooperative agreement. Cash reports are required on a quarterly schedule. Progress reports are required on a quarterly schedule. Expenditure reports are required on a quarterly schedule. Performance monitoring is required as a normal part of Project Officer Post Award Monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB 2 CFR 200.503.

Records:

Financial records, including all documents which support entries on accounting records and which substantiate changes to each assistance agreement, must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until expiration of three years from the date of submission of the final financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; 68-0108-0-1-304 - 68-0108-0-1-304; 68-8153-0-1-304; 68-0107-0-1-304; 68-8145-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 \$382,654; FY 17 est \$500,000; and FY 18 est \$500,000 - (Grants) FY 2016 \$382,654; FY 2017 estimate \$500,000; FY 2018 estimate \$500,000.

Range and Average of Financial Assistance:

New awards and amendments: \$102,654 to \$280,000/FY 2016; Average \$191,327/FY 2016; \$65,000/FY 2017; Average \$65,000/FY 2017.

TAFS Codes:

68-8153; 68-8145; 68-0103; 68-0108; 68-0107.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year 2016, 2 cooperative agreement/amendments was awarded. Fiscal Year 2017: As of June 30, 2017, 1 cooperative agreement/amendment was awarded. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Assistance Administration Manual, available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis; 40 CFR Part 30, General Regulation for Assistance Programs; Office of Administration and Resources Management for the Management of the Senior Environmental Employment Program.

Regional or Local Office:

See Regional Agency Offices. Angela Hughes, Program Analyst, SEE Program (3102A), Environmental Protection Agency, Office of Administration and Resources Management, Office of Human Resources, Program Management and Communications Staff, 1200 Pennsylvania Ave, N.W., Washington DC 20460. Telephone: (202) 564-4390 FAX: (202) 564-0735; e-mail: Hughes.Angela@epa.gov.

Headquarters Office:

Angela Hughes Environmental Protection Agency, Office of Administration and Resources Management, Office of Human Resources, Program Management and Communications Staff, 1200 Pennsylvania Ave, N.W., Washington, District of Columbia 20460 Email: Hughes.Angela@epa.gov Phone: (202) 564-4390

Website Address:

<https://www.epa.gov/careers/senior-environmental-employment-see-program>

RELATED PROGRAMS:

66.508 Senior Environmental Employment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Focus is on State level programs. Cooperative Agreements utilize the talents of older Americans in temporary, full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control". "Technical Assistance" may include any activity performed for the State in support of its projects ranging from inspections of large capacity cesspools, to support for a State Environmental staff office. This allows for the coverage of all types of SEE program positions from professional to clerical. Fiscal Year 2017: Focus is on State level programs. Cooperative Agreements utilize the talents of older Americans in temporary, full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control". "Technical Assistance" may include any activity performed for the State in support of its projects ranging from inspections of large capacity cesspools, to support for a State Environmental staff office. This allows for the coverage of all types of SEE program positions from professional to clerical. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must demonstrate that the Organizations have the capability of operating nationwide; can manage the program within the prescribed administrative funding limits; and can provide a quality fringe benefit package to enrollees under the proposal (including health insurance). CFDA's which have discretionary funding we ask that you check the box that says Yes and insert the following statement: A discretionary grant program is generally one where EPA retains considerable discretion in selecting the recipient, determining the amount of the award, and/or negotiating and approving the

assistance agreement work plan. The term does not include, for example, continuing State and Tribal assistance agreement programs or other continuing programs where awards are awarded on the basis of an allocation formula prescribed by statute, regulation, or legally-binding program guidance.

66.604 ENVIRONMENTAL JUSTICE SMALL GRANT PROGRAM (EJSG)**FEDERAL AGENCY:**

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Marine Protection, Research, and Sanctuaries Act, Section 203; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a); Toxic Substances Control Act, Section 10(a); Safe Drinking Water Act, Section 1442(c)(3); Clean Air Act, Section 103(b)(3); Solid Waste Disposal Act, Section 8001(a); Clean Water Act, Section 104(b)(3); Comprehensive Environmental Response, Compensation and Liability Act, Section 311(c).

OBJECTIVES:

The primary purpose of proposed projects should be to develop a comprehensive understanding of environmental and public health issues, identify ways to address these issues at the local level, and educate and support the community. The long-term goals of the program are to help build the capacity of the affected community and create self-sustaining, community-based partnerships that will continue to improve local environments in the future.

Program was not funded in FY 2016. The potential limited availability of funds resulted in the inability to offer multiple assistance programs.

Funding Priority for 2017

Proposed projects should educate the community, develop a comprehensive understanding of environmental and/or public health issues, and identify ways to address these issues at the local level while developing and/or enhancing collaborative partnerships. The long-term goals of the program are to help build the capacity of the community to create self-sustaining, community-based partnerships that will continue to improve the local environments for the future. Applicants are encouraged to develop innovative projects that include elements of economic development and identify neighborhood solutions to environmental justice concerns. In an effort to increase outreach to affected communities in some States and US Territories, the fiscal year (FY) 2017 EJSG program will place special emphasis on high ranking proposals to be performed in Underrepresented States as defined by the program. Additionally, EPA emphasis will focus on projects proposed by eligible organizations that have not received an EPA Environmental Justice Grant award (either EJSG or Collaborative Problem-Solving).

Funding Priorities 2018

We do not have an estimated budget amount at this time. This information will be updated when we receive further information.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, and investigations plus allowable direct costs in accordance with established EPA policies and procedures. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. The recipient cannot use these funds for the following activities under this program: litigation against the Federal government or any other government entity; construction; lobbying; matching funds for other Federal grants; travel for Federal employees; and human health studies (taking blood or other information from humans).

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. An eligible applicant **MUST BE**: an incorporated non-profit organization; OR a Native American tribal government (Federally recognized) (AND) located within the same, territory, commonwealth, or tribe that the proposed project will be located. In addition, an eligible applicant must be able to demonstrate that it has worked directly with the affected community. An "affected community" for the purposes of this assistance agreement program is a community that is disproportionately impacted by environmental harms and risks and has a local environmental and public health issue that is identified in the proposal.

The following entities are **INELIGIBLE** to receive an award, but we encourage partnerships with these organizations for technical assistance: colleges and universities; hospitals; state governments, their entities; quasi-governmental entities (e.g., water districts, utilities)*; national organizations and chapters of the aforementioned organizations; and non-profit organizations that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 and organizations acting only as "fiscal agents".

*Generally, a quasi-governmental entity is one that: (1) has a close association with the government agency, but is not considered a part of the government agency; (2) was created by the government agency, but is exempt from certain legal and administrative requirements imposed on government agencies; or (3) was not created by the government agency but performs a public purpose and significantly supported financially by the government agency.

Beneficiary Eligibility:

Non-Profit organizations as described in 081 above.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200 and 1500 for non-profit organizations and local governments. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. EPA requires final applications to be made on Standard Form 424. Application kits should be requested from and submitted to the U.S. Environmental Protection Agency, Office of Environmental Justice, Mail Code 2201A, 1200 Pennsylvania Avenue, N.W., Washington, DC 20460 or the appropriate EPA Regional Office identified under Information Contacts. Detailed information and assistance including an application may also be found at <http://www.epa.gov/compliance/environmentaljustice/grants/index.html>. For grant awards, Requests for Applications will specify application procedures. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 150 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project grant will be awarded for a 1 year project period. Activities must be completed within the timeframe of the project's budget period and all grants will be fully funded at the time of award. See the following for information on how assistance is awarded/released: Grants are fully funded at award and recipients request funds on an as needed basis.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports and financial reports. Reporting requirements are also identified in 2 CFR 200. Program reports are required. No cash reports are required. Progress reports are required. No expenditure reports are required. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each assistance agreement must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until the expiration of three years from the date of

submission of the Financial Status Report. If questions still remain, such as those raised as the result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$1,080,000; and FY 18 Estimate Not Available - FY 15 \$1,200,000; FY 16 \$0; FY 17 \$1,080,000.

Range and Average of Financial Assistance:

\$20,000 to \$50,000/fiscal year; average \$30,000.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: This program was not funded in FY 2016. The limited availability of funds resulted in the inability to offer multiple assistance programs. Fiscal Year 2017: In FY 2017, 188 applications were received and 35 awards were made. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures 2 CFR 200 and 1500 as applicable.

Regional or Local Office:

See Regional Agency Offices. Region 1 Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont: Primary Contact: Sharon Wells, Wells.Sharon@epa.gov, USEPA Region 1 (RAA), One Congress Street - Suite 1100, Boston, MA 02203-0001; Region 2 New Jersey, New York, Puerto Rico, U.S. Virgin Islands: Primary Contact: Tasha Frazier (212) 637-3861, frazier.tasha@epa.gov, USEPA Region 2, 290 Broadway, 26th Floor, New York, NY 10007; Region 3 Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia: Primary Contact: Reginald Harris (215) 814-2988, harris.reggie@epa.gov, USEPA Region 3 (3DA00), 1650 Arch Street, Philadelphia, PA 19103-2029. Region 4 Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee: Primary Contact: Deborah Carter, Carter.Deborah@epa.gov, USEPA Region 4 Main Office (404) 562-8407, 61 Forsyth Street, Atlanta, GA 30303-8960; Region 5 Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin: Primary Contact: Erin Newman, Newman.Erin@epa.gov, USEPA Region 5 (DM7J), 77 West Jackson Boulevard, Chicago, IL 60604-3507; Region 6 Arkansas, Louisiana, New Mexico, Oklahoma, Texas: Primary Contact: Charlotte Runnels, Runnels.Charlotte@epa.gov, USEPA Region 6, Fountain Place, 13th Floor, 1445 Ross Avenue (RA-D), Dallas, Texas 75202-2733; Region 7 Iowa, Kansas, Missouri, Nebraska: Primary Contact: Brendan Corazzin, Corazzin.Brendan@epa.gov USEPA Region 7, 901 North 5th Street (RGAD/ECO), Kansas City, KS 66101; Secondary: Althea Moses (913) 551-7649, moser.althea@epa.gov, Region 8 Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming: Primary Contact: Corbin Darling, Darling.Corbin@epa.gov, USEPA Region 8 (8ENF-EJ), 999 18th Street, Suite 300, Denver, CO 80202-2466; Region 9 Arizona, California, Hawaii, Nevada, American Samoa, Guam: Primary Contact: Deldi Reyes, Reyes.Deldi@epa.gov, USEPA Region 9 CMD-1, 75 Hawthorne Street, San Francisco, CA 94105 Region 10 Alaska, Idaho, Oregon, Washington: Primary Contact: Sheryl Stohs, Stohs.Sheryl@epa.gov, USEPA Region 10 (OMP-143), 1200 Sixth Avenue, Seattle, WA 98101.

Headquarters Office:

Jacob Burney Environmental Protection Agency, Office of Environmental Justice, 1200 Pennsylvania Avenue, NW., Mail Code 2201A Washington, DC 20460. For program information contact: Jacob Burney, Office of Environmental Justice, (2201A), Environmental Protection Agency, 1200 Pennsylvania Ave., NW., Washington, DC 20460. Telephone: (202) 564-2907. Fax: (202) 501-1107. Email: burney.jacob@epa.gov. Or call toll free (1-800-962-6215).

, Washington, District of Columbia 20460 Email: burney.jacob@epa.gov Phone: (202) 564-2907.

Website Address:

<http://www.epa.gov/compliance/environmentaljustice/grants/ej-smgrants.html>

RELATED PROGRAMS:

66.306 Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program; 66.309 Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The limited availability of funds resulted in the inability to offer multiple assistance programs, so this program was not funded in FY 2016. Fiscal Year 2017: The Environmental Justice Small Grants (EJSG) program provides funding to support projects that address local environmental and public health issues within communities facing environmental justice concerns. Communities with environmental justice concerns include low-income, minority, tribal, and indigenous populations that potentially experience disproportionate environmental harms and risks as a result of greater vulnerability to environmental hazards. This increased vulnerability may be attributable to an accumulation of both negative and lack of positive environmental, health, economic, or social conditions within these populations or communities. The projects funded addressed the following environmental issues: Lead Exposure; Other Toxic Substances exposure (e.g. Radon and pesticide exposure); access to Clean Water and Clean Air; Land Remediation; Green Infrastructure; Solid Waste Disposal and enhancing Environmental Education. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.605 PERFORMANCE PARTNERSHIP GRANTS

(PPGs)

FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

Omnibus Consolidated Rescissions and Appropriations Act of 1996, Public Law 104-134; Departments of Veterans Affairs, Housing and Urban Development, and Independent Agencies Appropriations Act of 1998, Public Law 105-65, Public Law 105-65; Omnibus Consolidated Rescissions and Appropriations Act of 1996, Public Law 104-134.

OBJECTIVES:

Improving EPA's partnership with the States and Tribes is critical to accelerating environmental outcomes. Performance Partnership Grants (PPGs) are the cornerstone of the National Environmental Performance Partnership System (NEPPS) -- EPA's strategy to strengthen partnerships and build a results-based management system. PPGs are innovative grant delivery tools that allow States and Tribes to combine up to 20 eligible State and Tribal Assistance Grants (STAG) grants into a single grant with a single budget. PPGs can reduce administrative transaction costs, provide the flexibility to direct resources toward the highest priority environmental problems, and support cross-media approaches and initiatives. EPA's overarching goal is to optimize the leveraging power of PPGs to focus strategically on the joint priorities of EPA, States and Tribes. PPGs do not include any funding in addition to the state and tribal assistance grants provided under other statutory authorities.

Funding Priority - Fiscal Years 2015 and FY 2016: EPA headquarters and regional offices will give priority to flexibility and opportunities to work collaboratively with States and Tribes. The Agency will continue to focus on our ongoing goals for the PPG program, which are: (1) strengthen partnerships between EPA, the States, Tribes, and interstate agencies through joint planning and priority setting to deploy resources more effectively; (2) provide States, Tribes, and interstate agencies with the flexibility to direct resources where they are needed most to address environmental and public health priorities; (3) link program activities more effectively with environmental and public health goals and environmental outcomes; (4) foster implementation of innovative approaches such as pollution prevention, ecosystem management, and community-based environmental protection strategies; and (5) provide savings by streamlining administrative requirements.

Funding Priority - Fiscal Years 2016 and FY 2017: EPA headquarters and regional offices will give priority to flexibility and opportunities to work

collaboratively with States and Tribes. The Agency will continue to focus on our ongoing goals for the PPG program, which are: (1) strengthen partnerships between EPA, the States, Tribes, and interstate agencies through joint planning and priority setting to deploy resources more effectively; (2) provide States, Tribes, and interstate agencies with the flexibility to direct resources where they are needed most to address environmental and public health priorities; (3) link program activities more effectively with environmental and public health goals and environmental outcomes; (4) foster implementation of innovative approaches such as pollution prevention, ecosystem management, and community-based environmental protection strategies; and (5) provide savings by streamlining administrative requirements.

Funding Priority - Fiscal Years 2016 and 2017: EPA headquarters and regional offices will give priority to flexibility and opportunities to work collaboratively with States and Tribes. The Agency will continue to focus on our ongoing goals for the PPG program, which are: (1) strengthen partnerships between EPA, the States, Tribes, and interstate agencies through joint planning and priority setting to deploy resources more effectively; (2) provide States, Tribes, and interstate agencies with the flexibility to direct resources where they are needed most to address environmental and public health priorities; (3) link program activities more effectively with environmental and public health goals and environmental outcomes; (4) foster implementation of innovative approaches such as pollution prevention, ecosystem management, and community-based environmental protection strategies; and (5) provide savings by streamlining administrative requirements.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

PPGs are a type of modified block grant, where recipients may combine funds from categorical grants to accomplish their joint and several purposes, so long as recipients meet program requirements for each categorical grant combined into the PPG. The 20 categorical grants eligible for PPGs are a mixture of continuing program grants and competitive project grants. Eligible recipients can combine two or more of the following 20 categorical grants programs identified in EPA's State and Tribal Assistance Grants (STAG) appropriation into a PPG: (1) Air Pollution Control (Clean Air Act [CAA] Section 105); (2) Water Pollution Control (Clean Water Act [CWA] Section 106); (3) Nonpoint Source Management (CWA Section 319); (4) Wetlands Program Development (CWA Section 104(b)(3)); (5) Public Water System Supervision (Safe Drinking Water Act [SDWA] Sections 1443(a) and 1451(a)(3)); (6) Underground Water Source Protection (SDWA Section 1443(b)); (7) Hazardous Waste Management (Solid Waste Disposal Act, Section 3011(a)); (8) Underground Storage Tank (Solid Waste Disposal Act, Section 2007(f)(2)); (9) Radon Assessment and Mitigation (Toxic Substances Control Act [TSCA] Section 306); (10) Lead-based Paint Activities (TSCA Section 404(g)); (11) Toxic Substances Compliance Monitoring (TSCA Section 28); (12) Pollution Prevention Incentives for States (Pollution Prevention Act [PPA] Section 6605); (13) Pesticide Cooperative Enforcement (Federal Insecticide, Fungicide, and Rodenticide Act [FIFRA] Section 23(a)(1)); (14) Pesticides Program Implementation (FIFRA Section 23(a)(1)); (15) Pesticide Applicator Certification and Training (FIFRA Section 23 (a)(2)); (16) Environmental Information Network Exchange Grants (Consolidated Appropriations Resolution for the Departments of Veterans Affairs, Housing and Urban Development, and Independent Agencies of 2003, Public Law 108-7; Consolidated Appropriations Act of 2004, Public Law 108-199); (17) Brownfields State and Tribal Response Program Grants (Comprehensive Environmental Response, Compensation, and Liability Act of 1980 [CERCLA], Section 128(a), as amended; Public Law 107-118; Small Business Liability Relief and Brownfields Revitalization Act); (18) General Assistance Grants to Indian Tribes (Indian Environmental General Assistance Program Act of 1992; only eligible Tribes can propose to include these funds in a PPG application); (19) Beaches Environmental Assessment and Coastal Health (BEACH) Act (Public Law 106-284); and (20) Multipurpose grants to states and tribes, Consolidated Appropriations Act, 2016 (Public Law 114-113). Recipients may use PPGs to fund activities that are within the cumulative eligibilities of the 20 categorical grants programs listed above for which they are eligible.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website:
<https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

All States, interstate agencies, U.S. territories, the District of Columbia, and federally recognized Indian Tribes eligible to receive more than one of the 20 categorical grant programs referred to in "Uses and Use Restrictions" above are eligible to apply for PPGs. Any duly authorized State or tribal entity that currently receives or is eligible to receive EPA categorical program grants may request a PPG for the funds it administers. This may include agencies other than environmental agencies (for example, agricultural and health agencies), where authorized by state or tribal law. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States, U.S. territories, federally recognized Indian tribal governments, and interstate agencies.

Credentials/Documentation:

All recipients are agencies of state, local, tribal, or territorial governments. All documentation requirements for recipients are presented at 2 CFR Part 200, Subpart E, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards". 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Applicants interested in pursuing PPGs should coordinate with their appropriate EPA regional office to develop a PPG through joint negotiations (See Appendix IV of the Catalog). Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for applications should be made to the appropriate EPA regional grants management office. The standard application forms as furnished by the federal agency and required by 2 CFR Parts 200 and 1500, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards" must be used for this program.

Applicants will negotiate the content of their PPG with their EPA regional office and submit an application for the PPG based on these negotiations. Applicants for PPGs must submit an "Application for Federal Assistance: State

and Local Non-Construction Programs," Standard Form 424, including the required supporting documents. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

Grant applications are reviewed by the appropriate EPA regional office, and if approved, awarded by the Regional Administrator. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. After the applicant is chosen for the competitive award, the applicant will work with the EPA regional office to add those competitive grant funds to the PPG if requested by the state. The workplan and supporting documentation that were the basis of the competitive award also will be added to the PPG.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Major portions of the PPGs are by statutory formula. The allocation for a PPG consists of the cumulative allocations for the categorical grants included in the PPG. Recipients should calculate a single, composite minimum cost share (based on the match or maintenance of effort requirements of the categorical grants included in the PPG) for each of their PPGs. To calculate the minimum cost share for a PPG, start with the amount of federal dollars from each program (source of funds) going into the PPG. The minimum required cost share for each portion is determined by identifying the cost share requirements of the relevant categorical grant program. The minimum recipient cost share for the PPG is the sum of the minimum cost shares of the contributed categorical grants. Tribal governments and intertribal consortia may be eligible for match requirement waivers based on demonstrated hardship. Such waiver eligibility will be determined by the Regional Administrator and will be based on socio-economic criteria. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the PPG shall be determined during pre-application negotiations and at the time of the award. Applicants may choose to submit either annual or multi-year work plans and project periods. Budget periods will be for 12 months with the applicant having the flexibility to select, in consultation with the Regional Administrator, the specific start and end date for the budget period. Grant awards are made after review and approval of the application. Grantees are reimbursed for actual expenses based on submissions in financial status reports. See the following for information on how assistance is awarded/released: Annually.

Reports:

Applicants must provide reports and conduct joint evaluations in accordance with 2 CFR Part 200 and 2 CFR Part 1500, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards" and 40 CFR Part 35, Subparts A and B. Refer to the program descriptions for the individual STAG program grants eligible for inclusion in PPGs (see section [70] for a list). Cash reports may be required. Refer to the program descriptions for the individual STAG program grants eligible for inclusion in PPGs. Progress reports may be required. Refer to the program descriptions for the

individual STAG program grants eligible for inclusion in PPGs. Expenditure reports may be required. Refer to the program descriptions for the individual STAG program grants eligible for inclusion in PPGs. Performance monitoring may be required. Refer to the program descriptions for the individual STAG program grants eligible for inclusion in PPGs.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 16 \$445,000,000; FY 17 est \$445,000,000; and FY 18 Estimate Not Available - FY 16 est. \$445,000,000; FY 17 est. \$445,000,000

NOTE: See General Objectives, section [50], for a description of PPGs. The amounts cited above are inclusive of, not in addition to, obligations reported under individual STAG program grants eligible for inclusion in PPGs (see section [70] for a list).

Range and Average of Financial Assistance:

There is no low-end limit for PPG awards, which may be as small as combining two programs and thousands of dollars to Tribes, or as large as combining up to 20 categorical grants to States. PPG totals for larger States can exceed \$10 million. In FY 2014, state PPGs generally contained six or seven categorical grants, with award amounts averaging around \$5 million.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: these grants fund state and tribal pesticide programs that are part of a Performance Partnership Agreement, known as a PPG or a PPA. As EPAs co-regulators, these pesticide programs work extensively with pesticide applicators, growers, commodity groups, as well as other government agencies to promote the proper use of pesticides and address pesticide issues. These programs conduct extensive technical assistance for all stakeholders as well as the general public, and provide outreach and education on EPAs national pesticide priorities such as worker protection, pollinator protection and emerging public health pesticide issues. If enforcement funds are included, these funds are used to enforce FIFRA.

<https://www.cfda.gov/index?s=program&mode=form&tab=step1&id=369fc5eda1e44e7dd8002f175c10809d>. Fiscal Year 2017: . these grants fund state and tribal pesticide programs that are part of a Performance Partnership Agreement, known as a PPG or a PPA. As EPAs co-regulators, these pesticide programs work extensively with pesticide applicators, growers, commodity groups, as well as other government agencies to promote the proper use of pesticides and address pesticide issues. These programs conduct extensive technical assistance for all stakeholders as well as the general public, and provide outreach and

education on EPA's national pesticide priorities such as worker protection, pollinator protection and emerging public health pesticide issues. If enforcement funds are included, these funds are used to enforce FIFRA. <https://www.cfd.gov/index?s=program&mode=form&tab=step1&id=369fc5ed1e44e7dd8002f175c10809d>. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 35 Subparts A and B; 2 CFR 200 and 1500 as applicable, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments."

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for EPA Regional Office contacts.

Headquarters Office:

Michael Osinski, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W. Mail Code: 1306A, Washington, District of Columbia 20460 Email: osinski.michael@epa.gov Phone: (202) 564-3792.

Website Address:

<http://www.epa.gov/ocir/nepps/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: these grants fund state and tribal pesticide programs that are part of a Performance Partnership Agreement, known as a PPG or a PPA. As EPA's co-regulators, these pesticide programs work extensively with pesticide applicators, growers, commodity groups, as well as other government agencies to promote the proper use of pesticides and address pesticide issues. These programs conduct extensive technical assistance for all stakeholders as well as the general public, and provide outreach and education on EPA's national pesticide priorities such as worker protection, pollinator protection and emerging public health pesticide issues. If enforcement funds are included, these funds are used to enforce FIFRA.

<https://www.cfd.gov/index?s=program&mode=form&tab=step1&id=369fc5ed1e44e7dd8002f175c10809d>. Fiscal Year 2017: these grants fund state and tribal pesticide programs that are part of a Performance Partnership Agreement, known as a PPG or a PPA. As EPA's co-regulators, these pesticide programs work extensively with pesticide applicators, growers, commodity groups, as well as other government agencies to promote the proper use of pesticides and address pesticide issues. These programs conduct extensive technical assistance for all stakeholders as well as the general public, and provide outreach and education on EPA's national pesticide priorities such as worker protection, pollinator protection and emerging public health pesticide issues. If enforcement funds are included, these funds are used to enforce FIFRA. <https://www.cfd.gov/index?s=program&mode=form&tab=step1&id=369fc5ed1e44e7dd8002f175c10809d>. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

Applicants must meet selection criteria for the categorical grants to be combined in the PPG. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.608 ENVIRONMENTAL INFORMATION EXCHANGE NETWORK GRANT PROGRAM AND RELATED ASSISTANCE

Exchange Network Grant Program

FEDERAL AGENCY:

Office of Environmental Information, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act, Section 1442; Solid Waste Disposal Act, Section 8001; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; National Environmental Policy Act, Section 102(2)(F); Marine Protection, Research and Sanctuaries Act, Section 203; Clean Water Act, Section 104(b)(3); Comprehensive Environmental Response, Compensation, and Liability Act, Section 104; Clean Air Act, Section 103; Toxic Substances Control Act, Section 10; EPA's Annual Appropriation Acts.

OBJECTIVES:

The objectives of the grant program are to: Facilitate sharing environmental data, especially through shared and reusable services. Streamline data collection and exchanges to improve its timeliness for decision making. Increase the quality and access to environmental data through discovery, publishing, outbound, and analytical services so it is more useful to environmental managers. Develop foundational EN shared services (e.g., network security or corporate portals) to reduce burden and avoid costs for co-regulators and the regulated community. Expand and improve participation in the EN by strengthening the requisite information management and technology capabilities for interested parties to fully participate in the EN.

EPA and its state, tribal, and territorial partners continue to expand the Exchange Network. Building upon the success of the Network's Phase 1, EPA and its partners are guided by the Exchange Network's Phase 2 implementation plan. Phase 2 emphasizes data exchanges among partners as well as making data readily available and more usable for communities of interest and the public.

The National Environmental Information Exchange Network (EN) is an inter-governmental partnership to foster better environmental management and decision-making through increased access to timely and high quality environmental information. The EN, launched in 2002, uses a standards-based approach to facilitate environmental data sharing among EPA, states, tribes and territories. This framework allows organizations to exchange and share data over the Internet regardless of the specific information technology used. The EN is the foundation for the E-Enterprise Initiative, also a joint state, tribal, territory and EPA partnership, launched in 2013. Its goals include expanding access to environmental data, personalizing the access experience through customized portals and re-engineering and streamlining regulatory information collection and reporting processes to achieve burden reduction and cost avoidance. Phase 1 of EN implementation focused on transforming the regulatory reporting processes of eight national data flows, by moving them from out-of-date reporting methods to standardized electronic reporting approaches based on EN data standards and technology. Phase 2, which was initiated in 2013, focuses on providing the data management and technology approaches needed by EPA and its co-regulators to collaboratively modernize and improve the implementation of environmental programs. This includes creating and using shared services, data publishing and EPA outbound services to increase access to EPA data, innovative collection, monitoring and analytical methods, and electronic reporting to states by the regulated community. The Exchange Network Grant Program provides funding for projects that develop the Network and enable timely, on-demand access to environmental data through innovative technologies, improved support systems, and expanded collaboration using shared tools and services EPA encourages applicants to propose projects that align with the principles of E-Enterprise for the Environment.

Funding Priority Fiscal Year 2017: EPA expects projects supported by an Exchange Network (EN) assistance agreement will improve the accessibility and usability of high-quality environmental data from public and private sector sources. In FY 2017, the Exchange Network Grant Program continues to focus on implementing Phase 2 of the EN. Phase 2 development efforts, especially those related to the development of reusable and discoverable (or reuse of existing) tools and applications that deliver automated access to environmental data, such as: Desktop, laptop and tablet/smartphone applications that provide access to and analyze/display environmental data; Websites that provide users access to data sets and a range of analytical and display tools; and Dashboards that provide real time program status information to senior program managers and executives. Applicants should consider opportunities to share data across programs within their organization, across agencies within a state or tribe, or with EPA. EPA is especially interested in applications/websites that support cross-program data integration and support more efficient environmental business processes such as permit writing, compliance inspections, cross-jurisdictional data comparisons analyses or integration. Applicants should also consider opportunities to build applications/websites that support EPA's open data and transparency goals and that serve interested non-governmental

organizations, research institutions, other communities of interest and the public.

There are five proposed program priorities for the FY 2018 EPA Exchange Network Grant Program: 1. Integrate foundational EN services into environmental business processes. 2. Increase the number of co-regulator data flows involved in e-reporting. 3. Expand data access and availability. 4. Improve environmental management business processes through advanced data monitoring and transmittal processes. 5. Augment the data and technology management capacity of potential EN partners.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Applicants may propose Exchange Network (EN) project funding for costs associated with personnel salaries and fringe benefits, Intergovernmental Personnel Act Agreements (IPAs) travel, travel related to EN activities, equipment, supplies, contractual costs, in-kind services provided by EPA, and indirect costs. Applicants may not use EN funding for the following functions (see Appendix L for definitions):

Construction costs;

Operations and Maintenance including previously developed and implemented EN projects;

Workshops and Conferences that are not initiated, advertised, and conducted for the benefit of the recipient and other state, tribal, territorial, or local representatives or public participants or are conducted primarily for EPA's benefit;

Pre-Award Costs not previously requested to cover pre-award costs incurred 90 days or less before the award date; and

Management Fees in excess of the direct costs and indirect costs at the rate approved by the applicant's cognizant audit agency, or at the rate provided for by the terms of the agreement negotiated with EPA. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Eligible applicants for the Exchange Network (EN) Grant Program include states, the District of Columbia, U.S. territories (American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands), federally recognized Indian tribes, and intertribal consortia of federally recognized tribes. An intertribal consortium is eligible to apply for assistance from the EN Grant Program by meeting the following criteria: a) the majority of the consortium members are federally recognized Indian tribes; b) all consortium federally recognized tribal members have authorized the consortium to apply for and receive assistance (if awarded) from the EN Grant Program; and c) the intertribal consortium has adequate accounting controls to manage awarded funds while ensuring that only federally recognized tribal members will benefit directly from the award. Regional air pollution control districts may apply for assistance if they are legally considered to be agencies or instrumentalities of the state under applicable state laws. Recipients of four or more active EN Grants are not eligible to apply for FY 2016 grants. An active grant is any grant where the final technical report has not yet been approved by EPA.

The following entities are not eligible to apply for funding from the competitive EN Grant Program funded through the STAG appropriation: state/territorial/tribal universities; city, town, county, or regional governments; nonprofit organizations, including organizations that represent the interests of co-regulators/co-implementers in executing environmental programs. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. In addition to issuing competitive awards to states/territories/tribes and

intertribal consortia, EPA may use a portion of the EN Grant Program STAG funds to issue one or more non-competitive awards for associated program support to a co-regulator/co-implementer organization. A co-regulator/co-implementer organization is one that represents the interests of governmental units (for example, state or regional governments) in executing a national or regional environmental program. The membership of such a national or regional organization is composed of officials of the co-regulator or co-implementer entities (for example, state environmental commissioners). EPA will also issue a competitive award funded through EPA's EPM account to an organization that is broadly representative of federally recognized Indian tribes with the goal of expanding tribal participation in the EN. EPA makes this competitive award once every five years. The Agency will next award it in 2019.

Eligible Applicants :(Select all that apply) Select the eligible applicants and enter additional information on applicant eligibility into the text box below.

U.S. Territories and possessions

State

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federal, state, territory, city, town, county, and regional governments; federally recognized Indian tribes and intertribal consortia of federally recognized tribes; public institutions and industries subject to EPA regulatory reporting requirements; and the public.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200 Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>. The standard application forms as furnished by the Federal agency and required by 2 CFR 200, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards" must be used for this program. Required forms in PDF format can be obtained at <http://www.epa.gov/ogd/AppKit/application.htm>. Please see the solicitation notice for complete application instructions.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

EPA will evaluate the project proposal based on the evaluation criteria in the 2016 Solicitation Notice. Please note that in evaluating applicants under this criteria, the Agency will consider the information provided by the applicant and may also consider relevant information from other sources including agency files and prior/current grantors (e.g., to verify and/or supplement the information supplied by the applicant). In making the final funding decisions

from among the most highly scored proposals, the EPA selection official may also consider one or more of the following factors: A. EPA programs' ability and/or readiness to support proposed project activities; B. geographic distribution of funding; C. prioritization of data exchange and priority activities over other assistance activities; D. ensuring participation in the EN by federally recognized Indian tribes and inter-tribal consortia; and E. EPA's capacity to provide any requested in-kind services.

EPA will evaluate the project proposal based the evaluation criteria in section V-A of the 2015 Solicitation Notice. Please note that in evaluating applicants under this criteria, the Agency will consider the information provided by the applicant and may also consider relevant information from other sources including agency files and prior/current grantors (e.g., to verify and/or supplement the information supplied by the applicant). In making the final funding decisions from among the most highly scored proposals, the EPA selection official may also consider one or more of the following factors: A. EPA programs' ability and/or readiness to support proposed project activities; B. geographic distribution of funding; C. prioritization of data exchange and priority activities over other assistance activities; D. ensuring participation in the EN by federally recognized Indian tribes and inter-tribal consortia; and E. EPA's capacity to provide any requested in-kind services.

Deadlines:

Nov 15, 2013: Applications for the FY 2014 Exchange Network Grant must be postmarked on or before November 15, 2013, or received electronically at or before 11:59 PM Eastern Standard Time on November 15, 2013. Applications for the FY 2017 Exchange Network Grant Program must be or must have been submitted electronically via grants.gov (or by an alternative method for those applicants with an approved waiver) no later than 11:59 PM Eastern Standard Time, November 18, 2016.

Range of Approval/Disapproval Time:

Applicants whose applications are denied for funding will be notified approximately five months after the application deadline. Applicants whose applications are recommended for funding will be notified, initially to request additional documentation to finalize the application, and, later, when the assistance agreements are issued and mailed by EPA's Grants and Interagency Agreements Management Division, which is expected to be approximately eight to nine months after the application deadline.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA plans to issue awards for applications that are recommended for funding approximately seven months after the application deadline. The standard period of performance for projects funded by the EN Grant Program is two years. EPA may consider requests from award recipients for project/budget period extensions; and if deemed appropriate by EPA, the Grants and Interagency Agreements Management Division may issue amendments extending the project/budget periods. See the following for information on how assistance is awarded/released: Assistance will be provided through grants and cooperative agreements. EPA may provide support in the form of direct funding and/or in-kind assistance, in lieu of direct funding.

Reports:

Award recipients must submit semi-annual progress reports and a final project report that covers the entire project period. The semi-annual progress reports must be submitted within one month of the end of each semi-annual reporting period. The reporting periods are from October through March and April through September of each fiscal year. Award recipients must submit the final project report and a final Financial Status Report (Standard Form 269) to EPA within 90 days after the end of the project/budget period. Program reports are required. Cash reports are required. Progress reports are required. Expenditure reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all accounting records that substantiate the charges to each grant, must be maintained and accessible to personnel authorized to examine EPA grant accounts. If certain financial records are part of an audit investigation, then they must be retained until the matter under investigation has been completely resolved. Award recipients must retain complete records documenting their projects for a period of seven years after the close-out of the agreement. Such records include, but are not limited to, financial records, technical reports, periodic performance progress reports, project management files, correspondence with other project partners, and correspondence with EPA. Final products and deliverables that result from the project are considered to be permanent records and should be retained indefinitely.

Account Identification:

68-0108-0-1-304; 68-0103-0-1-304.

Obligations:

(Project Grants) FY 16 \$10,020,000; FY 17 est \$9,551,000; and FY 18 est \$6,160,000 - Fiscal Year 2016: \$9,860,000 (STAG) Fiscal Year 2017: \$9,391,000, FY 2018 estimate \$6,000,000 (STAG) EPM Account: FY 2015 \$160,000; FY 2016 \$160,000 and FY 2017 \$160,000. FY 2018 estimate \$160,000. EPA will set aside approximately ten percent of appropriated funding for tribal assistance agreements to further facilitate expanded tribal participation in the EN.

Range and Average of Financial Assistance:

Grants are awarded on a single year basis with the average grant award being \$50,000/fiscal year.

TAFS Codes:

68-0108; 68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FY 2016 is the fifteenth-year EPA will award Exchange Network grants. From FY 2002 through FY 2015, EPA has provided approximately \$200 million for state, tribal and territorial awards and associated program support through the grant program. As of May 2014, all 50 states, 75 tribes and 5 territories have received Exchange Network grants. All states, nearly 150 tribes and several territories are using the EN to report data to EPA. Fiscal Year 2017: FY 2017 is the sixteenth-year EPA will award Exchange Network grants. From FY 2002 through FY 2016, EPA has provided approximately \$210 million for state, tribal and territorial awards and associated program support through the grant program. As of May 2016, all 50 states, 75 tribes and 5 territories have received Exchange Network grants. All

states, nearly 150 tribes and several territories are using the EN to report data to EPA. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Exchange Network Grant Program assistance agreements issued to state, territorial, and tribal governments and nonprofit organizations (i.e., co-regulator/co-implementer organizations) for associated program support for the EN Grant Program or other EN activities governed by OMB's regulations at 2 CFR 200 and EPA's supplemental regulations at 2 CFR 1500.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices.

Headquarters Office:

Wendt Blake-Coleman
Wendy Blake- Coleman, Information Exchange Partnership Branch, Office of Information Collection, Office of Environmental Information, Environmental Protection Agency, 1200 Pennsylvania Ave., NW, Mail Code (2823-T), Washington, District of Columbia 20460 Email: Blake-Coleman.Wendy@epa.gov Phone: (202) 566-1709

, Washington, District of Columbia 20460 Email: blake-coleman.wendy@epa.gov Phone: (202) 566-1709.

Website Address:

<https://www.epa.gov/exchangenetwork/exchange-network-grant-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Otoe-Missouria Tribe of Indians, Environmental Department proposes to solicit and introduce additional organizations to the data consortium; configure the publishing of data using the virtual node; improve data quality through standardization and thresholds validation; support sharing of data via Representational State Transfer web services; enhance Ambient Water Quality Monitoring System (AWQMS) Geographic Information System capabilities and implement web services based on open geospatial standards; consume Water Quality Exchange (WQX) XML files in AWQMS; provide Consortium of Oklahoma AWQMS Tribes members better support for groundwater and drinking water data; share open dumps data via the Exchange Network; consume Water Quality Portal or other Environmental Protection Agency web services and integrate into AWQMS data analysis tools.

The University of California, Davis proposes to implement virtual node to improve the ease with which tribes and state agencies can retrieve water-sustainability data; improve purpose-driven retrieval and interpretation of water sustainability information; and increase the likelihood of sustainable decision-making, by water users, at individual to state scales.

The Ohio Environmental Protection Agency proposes to build web services, shareable with direct entry/java environment Exchange Network (EN) partners, using two or more Resource Conservation and Recovery Act Information (RCRAInfo), Federal Web Services, and develop web services and a Java OpenNode2 plug-in reusable by EN partners to automatically provide facilities' stack test, emissions, and compliance data to United States Environmental Protection Agency's Compliance Enforcement Data Reporting Interface (CEDRI). Fiscal Year 2017: The Eight Northern Indian Pueblo Councils (NIPC) Water Quality, Assessments, and Continuous Data Sharing Project will result in improved exchange of, and access to, water quality data between tribes and the EPA. The project will focus on enhancing data quality assurance procedures, data analysis, and reporting capabilities of the NIPC hosted water quality exchange (WQX) compatible database to meet requirements of the EPA National Strategic Plan and tribe-specific reporting needs. The Washington State Department of Health proposes to establish a Community of Interest for radon data flows, and coordinate radon data flow to an Exchange Network (EN) node or virtual network from partner states in order to increase the flow of radon data through EN. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The complete evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. The evaluation criteria for the FY 2017 EN Grant program were described in Section V of the FY 2017 Solicitation Notice at: <https://www.epa.gov/exchangenetwork/exchange-network-grant-program#SN2017>. The evaluation criteria included such factors as expected project outputs/outcomes, the feasibility and approach of the work plan, the relevance and significance of the proposed project to the EN, the adequacy of resources and key personnel, and past performance. EPA may also consider various qualitative criteria when determining which applications to recommend for funding. Examples of such qualitative criteria may include, but are not limited to, factors such as achieving a balance among projects, distributing funds among different states/territories/ tribes, and having EPA programmatic support for the project. Applications for non-competitive awards to co-regulator/co-implementer organizations, funded by the EN Grant program through EPA's STAG appropriation, will be evaluated based on the ability of the applicant to provide the following: outreach, communications, technical assistance, and other support to states/tribes that are participating in, or may wish to participate in, the Network; and support for state participation in the EN governance. Applications for competitive awards to an organization that represents federally recognized Indian tribes, funded through EPA's EPM appropriation, will be evaluated based on the applicant's ability to support tribal participation in the EN governance, provide programmatic support to tribes and intertribal consortia of federally recognized tribes that are participating in or may wish to participate in the EN.

66.609 PROTECTION OF CHILDREN FROM ENVIRONMENTAL HEALTH RISKS

Children's Environmental Health

FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

Marine Protection, Research, and Sanctuaries Act, Section 203; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311; Solid Waste Disposal Act, Section 8001; Clean Water Act, Section 104; Clean Air Act, Section 103; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Solid Waste Disposal Act, Section 1442; National Environmental Policy Act, Section 102(2)(F); Toxic Substances Control Act, Section 10.

OBJECTIVES:

To support efforts by organizations; educational institutions; and/or State, local, and tribal governmental agencies to establish or enhance their ability to take actions that will reduce environmental risks to the health of children.

Funding Priority - Fiscal Year 2015: It is not anticipated that any awards will be made under CFDA 66.609 for FY 2015.

Funding Priority - Fiscal Year 2016: No content available.

Funding Priority - Fiscal Year 2017: No content available.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, investigations, and special purpose assistance, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Assistance under this program is generally available to States or state agencies, territories, the District of Columbia, American Indian Tribes (federally recognized), and possessions of the U.S. It is also available to public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, and 501(c)(3) organizations. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are generally not eligible for funding. Some of EPA's statutes may limit assistance to specific types of interested applications. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State agencies and local governments, U.S. territories and possessions, American Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions and organizations.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. The Office of Children's Health Protection may ask applicants or principal investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms as furnished by the Federal agency and required by 2 CFR 200 and 1500, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards must be used for this program. EPA requires final applications (except in limited circumstances approved by the Agency) to be made on Standard Form 424, "Application for Federal Assistance." Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, the Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to the Office of Children's Health Protection's program priorities, and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: This program has no statutory formula. In addition, CERCLA Section 311(b)(3) requires that "to the maximum extent possible," EPA enter into an appropriate cost sharing arrangement with recipients of grants and cooperative agreements relating to innovative and alternative treatment technologies. EPA may waive the Section 311(b)(3) cost-sharing requirement in appropriate cases. Matching funds requirements may be established in program guidance or in the terms of competitive solicitations. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are usually funded on a 12- or 24-month basis. However, the Office of Children's Health Protection can negotiate the project period with each applicant based on project requirements. EPA has limitations on project periods, and grants and cooperative agreements may be fully funded or incrementally funded. These determinations are made by EPA. See the following for information on how assistance is awarded/released: Assistance will be awarded in a lump sum and will be released on a reimbursement basis.

Reports:

No program reports are required. No cash reports are required. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports. Reporting requirements are also identified in EPA's grants regulations at 2 CFR 200 and 1500. Quarterly progress reports are required to be sent to the EPA Project Officer within 30 days after each reporting period. These reports will cover work status, work progress, difficulties encountered, preliminary data results and a statement of activity anticipated during the subsequent reporting period, including a description of equipment, techniques, and materials to be used or evaluated. The report shall also include any changes of key personnel concerned with the project. Quarterly progress reports are required to be sent to the EPA Project Officer within 30 days after each reporting period. A discussion of expenditures along with a comparison of the percentage of the project completed to the project schedule and an explanation of significant discrepancies shall be included in the report. The EPA Project Officer is required to conduct baseline monitoring of each

active award. For awards with original project periods 18 month or less in duration, the initial baseline monitoring will take place no later than six months from the award date. For awards with original project periods greater than 18 months in duration, the initial baseline monitoring will take place no later than 1 year from the award date. After the initial baseline monitoring, all subsequent baseline monitoring will occur within 12 months of the last baseline or advanced monitoring activity.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The record retention requirements of 2 CFR 1500 Subpart E (non-profits and institutions of higher education, governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records which support substantial changes to the grant, available to personnel authorized to examine EPA recipients' grants and cooperative agreement records. Recipient must maintain all records for a period of three years from the date of submission of final expenditures reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is fully resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$25,000; and FY 18 est \$50,000 - FY 2016 \$0.00, FY 2017 \$25,000 FY 2018 \$50,000.

Range and Average of Financial Assistance:

Range: \$10,000 to \$100,000 per grant. Average: \$100,000 per grant (2 year grants).

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No awards made under 66.609 in FY 2016. Fiscal Year 2017: One regional award made under 66.609 to reduce potential environmental hazards in child care settings through education of child care professionals and assistance implementing low-to-no cost changes in practices and purposes. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, and investigations grants and cooperative agreements are subject to EPA general grant regulations, (2 CFR 200) Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. Costs will be determined in accordance with 2 CFR Subpart E for State and local governments and federally recognized tribes, 2 CFR 200 Subpart E for educational institutions, CFR 200 Subpart E for nonprofit institutions, and FAR Part 31 for "for profit" entities.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

LaVonne Switzer, Office of Children's Health Protection; USEPA; Mail Code: 1107T; 1200 Pennsylvania Avenue, NW, Washington, DC 20460. FAX: (202) 564-2733. Children's Health LaVonne Switzer; (202) 564-2711; e-mail address: switzer.lavonne@epa.gov.

, Washington, District of Columbia 20460 Email: switzer.lavonne@epa.gov Phone: (202) 564-2711.

Website Address:

<http://yosemite.epa.gov/ochp/ochpweb.nsf/content/homepage.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No awards were made in FY 2016 under CFDA 66.609. Fiscal Year 2017: One award made to Region 3 organization focusing on making a visible difference in communities. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Non-competitive proposals are judged for: (a) technical merit in terms of: (1) strengths and weaknesses of the project, (2) adequacy of overall project design, (3) competency of proposed staff, (4) suitability of applicant's available resources, (5) appropriateness of the proposed project period and budget, and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project, and (2) relationship to program objectives.

66.610 SURVEYS, STUDIES, INVESTIGATIONS AND SPECIAL PURPOSE GRANTS WITHIN THE OFFICE OF THE ADMINISTRATOR ECOS Omnibus Cooperative Agreement

FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act, Section 1442(a)&(c); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, Public Law 106-74; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311; Toxic Substances Control Act, Section 10; National Environmental Policy Act, Section 102(2)(F); Clean Air Act, Section 103; Solid Waste Disposal Act, Section 8001; Clean Water Act, Section 104; Marine Protection, Research, and Sanctuaries Act, Section 203.

OBJECTIVES:

(1) To support surveys, studies, investigations, and special purpose assistance associated with air quality, acid deposition, drinking water, water quality, hazardous waste, toxic substances, and/or pesticides; (2) to identify, develop, and demonstrate necessary pollution control techniques; (3) to prevent, reduce, and eliminate pollution; (4) to evaluate the economic and social consequences of alternative strategies and mechanisms for use by those in economic, social, governmental, and environmental management positions; and (5) to promote collaboration on projects and activities within the states and enable wider and more coordinated state input on national environmental issues.

In FY 2015, specific funding priorities include:

ECOS Shale Gas Caucus- the Shale Gas Caucus is led by state environmental agency commissioners, who work closely with EPA, DOE, non-profit organizations, and private-sector entities, and its purpose is to promote coordination between states on data sharing, best practices, community outreach, and lessons learned pertaining to the reduction of methane and VOC emissions from the oil and gas sector.

Sustainability - develop video and other media to promote state leadership in

green infrastructure, sustainable products, purchasing and materials management.

ECOS Partnership and Performance Workgroup - projects and deliverables to support the partnership between EPA and the States regarding strategic planning, grants management, and implementation of the National Environmental Performance Partnership System.

Funding Priority - Fiscal Year 2016: Under the Environmental Workforce Development and Job training Grant Application Guidelines, applicants are anticipated to be provided the opportunity to deliver training in areas such as: brownfields hazardous waste training; solid waste management and recycling; emergency management and oil spill cleanup; Superfund cleanup related training, including innovative and alternative treatment technologies, wastewater treatment and stormwater management; integrated pest management; and chemical safety and enhanced environmental health and safety training. Other types of supplemental environmental training are also eligible as this list is not exhaustive. Under the FY17 competition, energy auditing, solar installation, and weatherization training are anticipated to be eligible uses of grant funds. Applicants in FY17 will also be evaluated on the extent to which they have partnered with employers willing to hire graduates and the extent to which they have conducted labor market assessments that link proposed training with employers; forecasted hiring needs.

Funding Priority - Fiscal Year 2017:

EPA plans to provide support for states work in the following areas: lean/business process improvement and modernization, rural waste management on tribal lands, sustainable materials management and the built environment, research priorities and communications, planning, compliance innovation, drinking water state revolving fund, and methane diversion from oil and natural gas facilities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, investigations and special purpose assistance, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Assistance under this program is generally available to State agencies, territories, the District of Columbia, Indian Tribes, and possessions of the U.S. Assistance is also available to public and private universities and colleges, hospitals, laboratories, and other public or private nonprofit institutions. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are

generally not eligible for funding. Some of EPA's statutes may limit assistance to specific types of interested applications. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State agencies and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. Office of the Administrator may ask applicants or principal investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to the Office of the Administrator's program priorities, and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula. In addition, CERCLA Section 311(b)(3) requires that "to the maximum extent possible," EPA enter into an appropriate cost-sharing arrangement with recipients of grants and cooperative agreements relating to innovative and alternative treatment technologies. EPA may waive the Section 311(b)(3) cost-sharing requirement in appropriate cases. Matching fund requirements may be established in program guidance or in the terms of competitive solicitations. This program does not have MOE requirements. Not applicable.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, the Office of the Administrator can negotiate the project period with each applicant based on project requirements. EPA has limitations on project periods, and grants and cooperative agreements may be incrementally or fully funded. These determinations are made by EPA. See the following for information on how assistance is awarded/released: Assistance is generally awarded incrementally and is released on an as-needed basis.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in EPA's grant regulations 2 CFR Parts 200 and 1500. Program reports are required. No cash reports are required. Progress reports are required. No expenditure reports are required. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The record retention requirements of 2 CFR Part 200 and 1500 as applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records which support substantial changes to the grant, available to personnel authorized to examine EPA recipients' grants and cooperative agreement records. Recipient must maintain all records for a period of three (3) years from the date of submission of final expenditures reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is fully resolved.

Account Identification:

68-1810-0-1-304.

Obligations:

(Project Grants) FY 16 \$340,000; FY 17 est \$1,024,035; and FY 18 Estimate Not Available - FY16 \$340,000 FY17 Estimated Obligations: \$1,024,035.

Range and Average of Financial Assistance:

Range \$40,000 to \$520,000 per amendment. Average amount approximately \$341,000 per amendment.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: As of June 21, 2016, ECOS is working on a project to increase the capacity of states to improve their business processes. To date, ECOS has drafted a compilation of states Lean activities and supported state participation at the federal level regarding state-EPA engagement on business process improvement. Fiscal Year 2017: In FY17, ECOS issued a report on state business process improvement activities from 2010-2016, facilitated 3 BPI peer-to-peer skills exchanges, supported state participation in EPAs Lean Action Board, scoped a national BPI community of practice, engaged states on draft FY18-19 NPM Guidances, piloted a Measures project, issued a Field Guide to Flexibility and Results, published a Green Report on state environmental agency budgets, supported state participation on EPAs grants management work group, facilitated communication on EJ and Title VI priorities, supported states on the Combined Air Emissions Reporting Team, issued a call for capacity-building projects related to state clean water revolving loan funds that benefit disadvantaged communities, issued an RFP for support of an ECOS-maintained database of state oil and gas documents, researched enforcement training needs and drafted a needs assessment, facilitated communications on EAP ORDs C-FERST tool and ORDs Wildfire guide, synthesized and communicated states research needs to EPA/ORD, assisted with follow-up communications on state research needs, shared information to states on research including ORD webinars and NAS work and opportunities, created a work group on sustainable materials management, held monthly calls with states and state waste associations on various SMM topics, planned a panel in coordination with ASTSWMO for the August 2017 Materials Management and Hazardous Waste Training Conference, created a work group on amended TSCA implementation, held regular calls on toxics work to share information and solicit state perspectives, and established state representatives for the Inorganics Byproduct Negotiated Rulemaking Committee. Fiscal Year 2018: No content available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, and investigations grants and cooperative agreements are subject to EPA general grant regulations located at 2 CFR Parts 200 and 1500. Costs will be determined in accordance with 2 CFR 200 Subpart E for all entities. FAR Part 31 for "for profit" entities.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Dan Murphy For program information contact: Dan Murphy, Office of the Administrator, Office of Congressional & Intergovernmental Relations: e-mail address: Murphy.Dan@epa.gov; Phone: (202) 564-3227., Washington, District of Columbia 20460 Email: Murphy.Dan@epa.gov Phone: 202-564-3227

Website Address:

<http://www.epa.gov>

RELATED PROGRAMS:

66.001 Air Pollution Control Program Support; 66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Sustainability - Through a series of informative briefings for state environmental agency leaders, ECOS covered topics including current research, existing and emerging technologies, and regulatory initiatives. These briefings set the stage for ECOS to develop a compendium of principles and best practices and other tools for states to use in addressing methane and VOC emissions. ECOS Partnership and Performance Workgroup - collaborations and deliverables on NPM Guidance development, grants management initiatives, implementing the Partnerships Cross-Agency Strategy, Title VI programs, Environmental Justice tools, and the oversight of state delegated permitting programs. Shale Gas Caucus-through a series of informative briefings for state environmental agency leaders, ECOS covered topics including current research, existing and emerging technologies, and regulatory initiatives. These briefings set the stage for ECOS to develop a compendium of principles and best practices and other tools for states to use in addressing methane and VOC emissions. Fiscal Year 2017: This comprehensive nationwide project covers a wide array of topics including: air & water quality, drinking water, toxics, planning & resource allocation, data management, compliance & enforcement, solid & hazardous waste, emerging contaminants, climate change, energy &

energy efficiency, public & environmental health, state capacity building, alignment of state & EPA priorities, civil rights, EJ, oversight, partnership enhancement, legal relationships, performance measures, streamlining of state environmental business processes, innovations, new technologies, and pollution prevention. Fiscal Year 2018: No content available.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Non-competitive proposals are judged for: (a) technical merit in terms of: (1) strengths and weaknesses of the project, (2) adequacy of overall project design, (3) competency of proposed staff, (4) suitability of applicant's available resources, (5) appropriateness of the proposed project period and budget, and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project, and (2) relationship to program objectives.

66.611 ENVIRONMENTAL POLICY AND INNOVATION GRANTS

FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act, Section 1441, 42 U.S.C 300j-1; Toxics Substances Control Act, Section 10, 15 U.S.C 2609; Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981; Comprehensive Environmental Response, Compensation, and Liability Act, Section 104(k)(6)&311, 42 U.S.C 9660; Clean Water Act, Section 104, 33 U.S.C 1254; National Environmental Policy Act, Section 102(2)(F), 42 U.S.C 4332; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C 136(r); Clean Air Act, Section 103, 42 U.S.C 7403; Annual Appropriations Act.

OBJECTIVES:

(1) To support analyses, studies, evaluations, workshops, conferences, and demonstration projects that lead to reduced pollutants generated and conservation of natural resources; (2) to improve economic information and analytic methods to support studies, surveys, analyses, evaluations, conferences, workshops, and demonstration projects on the benefits, costs, and impacts of environmental programs; (3) to improve economic information and analytic methods to support studies, surveys, analyses, evaluations, conferences, workshops, and demonstration projects of incentive-based and voluntary environmental management strategies and mechanisms; (4) to support research to explore and develop strategies and mechanisms for those in the economic, social, governmental, and environmental arenas to use in environmental management decisions; and (5) to provide technical assistance to help communities to learn about and implement locally led, community driven approaches that deliver environmental, economic, and quality-of-life benefits.

Funding Priority - Fiscal Year 2015: There have been no new competitive environmental economic research solicitations issued in recent years due to limited available resources.

If resources should become available in FY2015, research priorities in the field of environmental economics and related research continue to include: work on improvements in the valuation of environmental benefits, including human health and ecological services; measuring economic costs and impacts to society from regulations, including effective ways to forecast future pollution control technologies and regulatory costs; integrating economic and natural science models for purposes of assessing environmental risks and consequences, including those associated with GHG emissions and potential consequences for climate change; and utilization of alternative regulatory management and economic incentive approaches to achieve environmental objectives

Funding Priority - Fiscal Year 2016: There have been no new competitive environmental economic research solicitations issued in recent years due to limited available resources.

If resources should become available in FY2016, research priorities in the field

of environmental economics and related research continue to include: work on improvements in the valuation of environmental benefits, including human health and ecological services; measuring economic costs and impacts to society from regulations, including effective ways to forecast future pollution control technologies and regulatory costs; integrating economic and natural science models for purposes of assessing environmental risks and consequences, including those associated with GHG emissions and potential consequences for climate change; and utilization of alternative regulatory management and economic incentive approaches to achieve environmental objectives.

There were no new competitive solicitations issued for smart growth in FY 2016.

Funding Priority - Fiscal Year 2017: There have been no new competitive environmental economic research solicitations issued in recent years due to limited available resources. If resources should become available in FY2017, research priorities in the field of environmental economics and related research continue to include: work on improvements in the valuation of environmental benefits, including human health and ecological services; measuring economic costs and impacts to society from regulations, including effective ways to forecast future pollution control technologies, regulatory costs and impacts on employment and competitiveness of regulated sectors of the economy; integrating economic and natural science models for purposes of assessing environmental risks and consequences; and utilization of alternative regulatory management approaches, including use of voluntary and incentive-based programs to achieve environmental objectives. Additionally, if resources become available for community driven environmental protection strategies in FY 2017, priorities for funding will include providing assistance to communities around revitalization strategies that improve environmental outcomes, training on technical assistance methods that connect economic development and environmental protection, and assistance to states to advance their environmental objectives through policy reform or capacity building.

Funding Priority - Fiscal Year 2018: There have been no new competitive environmental economic research solicitations issued in recent years due to limited available resources. We do not have an estimated budget amount at this time. This information will be updated when we receive further information. If resources should become available in FY2018 to support additional research under this CFDA, priorities in the field of environmental economics and related research continue to include: work on improvements in the valuation of environmental benefits, including human health and ecological services; measuring economic costs and impacts to society from regulations, including effective ways to forecast future pollution control technologies, regulatory costs and impacts on employment and competitiveness of regulated sectors of the economy; integrating economic and natural science models for purposes of assessing environmental risks and consequences; and effectiveness of the utilization of alternative regulatory management approaches, including use of voluntary and incentive-based programs to achieve environmental objectives. Additionally, if resources become available for community driven environmental protection strategies in FY 2018, priorities for funding will continue to include providing assistance to communities around revitalization strategies that improve environmental outcomes, training on technical assistance methods that connect economic development and environmental protection, and assistance to states to advance their environmental objectives through policy reform or capacity building.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved Environmental Policy and Innovation plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Assistance agreement awards under this program

may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Assistance under this program is generally available to States and local governments, territories and possessions, foreign governments, international organizations, Indian Tribes, interstate organizations, intrastate organizations, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, and individuals. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are generally not eligible for funding. Some of EPA's statutes may limit assistance to specific types of interested applicants. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, "FFRDCs") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its grant from the EPA to an FFRDC for research personnel, supplies, equipment, and other expenses directly related to the research. Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on a grant, and may not receive salaries or augment their Agency's appropriations in other ways through grants made by this program.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, individuals, and international organizations.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official

designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms as furnished by the Federal agency and required by 2 CFR 200 and 1500, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. EPA requires final applications to be made on Standard Form 424, "Application for Federal Assistance." Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For non-competitive awards, EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For competitions involving research that are administered as part of the Economic and Decision Sciences program, OP will continue to adhere to the policies established by ORD, NCER and their Science to Achieve Results (STAR) program, which includes engaging an external technical peer review panel to evaluate the technical merits of research proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days for most competitive solicitations. For competitive solicitations administered under the Economic and Decision Sciences program, the time for notification is approximately 240 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None. Generally, EPA may sometimes choose to either fully or incrementally fund awarded grants and cooperative agreements for Environmental Policy and Innovation. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policy on the competitive grant process.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: CERCLA 311(b)(3) requires that "to the maximum extent possible," EPA enter into an appropriate cost sharing arrangement with recipients of grants and cooperative agreements relating to innovative and alternative treatment technologies. EPA may waive the 311(b)(3) cost sharing requirement in appropriate cases. Matching requirements may be established in program guidance or the terms of competitive solicitations.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are usually funded on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA has limitations on project periods, and grants and cooperative agreements may be fully funded or incrementally funded. These determinations are made by EPA. See the following for information on how assistance is awarded/released: Most assistance under this CFDA will either be awarded as a lump sum payment or incrementally. Funds will be released on an as-needed basis to the grant recipient.

Reports:

For the economic research grants, recipients are required to prepare final written reports that summarize their research project and findings, and cite work (presentations, publications) supported with the EPA grant. No content available. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations 2 CFR Parts 200 and 1500.

In general, it is the policy of the Office of the Administrator to require Progress Reports from recipients on a routine schedule - either quarterly, semiannually or on an annual basis. This requirement, or a different requirement when applicable, will be spelled out in solicitations issued under this CFDA, and confirmed in the Terms and Conditions section for funded assistance agreements. No content available. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The record retention requirements of 2 CFR 200 and 1500 are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 16 \$450,000; FY 17 est \$0; and FY 18 est \$0 - There were no new obligations of funds to support the environmental economics research program during FY 2015, FY 2016 and FY 2017. For community driven environmental protection strategies, obligations were as follows: FY 2016: \$450,000, FY 2017: \$0, and FY 2018: \$0.

For awards directed at economic analysis and research topics, including funding provided under the Economic and Decision Sciences program, the following distributions and projections are as follows: in FY 2015 there were no new

awards or incremental funding of existing assistance agreements. As a result of limited resources, there will also be no new awards or incremental funding anticipated for existing assistance agreements in FY2016, and there are insufficient funds to warrant issuing a competitive solicitation to accept proposals for new environmental economics research under this CFDA in FY2016. If the Pres Bud 2017 submission is accepted, there may be an opportunity to request proposals under a new competitive solicitation on environmental economics. If funds are approved, the total amount of funds available for these purposes will likely be in the range of \$200,000 - \$400,000. The amount of funding awarded to each selected recipient will depend on particular features and objectives identified in the solicitation.

Range and Average of Financial Assistance:

For most recent competitively awarded grants in the area of economics - related to Environmental Economic Workshops: range is \$35,000 - \$95,000, with an average award of ~\$70,000. Related to Environmental Economics Dissertations and Early Career Research: range is \$35,000 - \$75,000, with an average of ~\$60,000. Related to Environmental Economic Research: range is \$75,000-\$300,000, with an average of ~\$250,000. There were no earmarks included for the period FY 2010 through FY 2017. For recent awards related to community driven environmental protection strategies, the range is from \$25,000 to \$200,000, with an average around \$100,000.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Most of the environmental economics research grants awarded under this CFDA have ended, and the few that remain are wrapping up in FY2016. One environmental economics research project funded under this CFDA that concluded this year sought to develop a series of complementary analyses for understanding the economic efficiency, environmental effectiveness, and distributional effects of overlapping policies, accounting for how different policy instruments interact with one another, and how they perform in the presence of induced technological change. The methods were applied to the two sectors of greatest import for GHG and conventional pollutant emissions: electricity generation and transportation. The first objective was to conduct a comprehensive review of existing policies and major proposals for reducing emissions and promoting clean technologies in these sectors. The second goal was to develop an analytical model of consumer, supplier, and overall market responses to these kinds of policy interventions, in order to develop intuition about interactions among policies. Importantly, in addition to an emissions externality, the model would incorporate two types of market imperfections that often motivate technology-specific policies: undervaluation of energy efficiency by consumers and spillovers from knowledge accumulated by producers. The third goal was to develop numerical models following the conceptual analysis, and carefully parameterize them for our two sectoral applications. The ultimate goal was to provide a comprehensive guide for policymakers on how the existing abundance of policies and policy options should be understood, coordinated, and possibly reformed. Their work was successful, producing the following types of information and materials: Development of a simple unifying model to analyze interactions among overlapping environmental policies, particularly those that involve tradable credit mechanisms. The model was extended to allow for both more and less mature renewable energy sources (e.g., wind versus solar), differentiating the innovation market failures among these different classes, and by incorporating demand-side market failures e.g., the undervaluation of energy efficiency improvements). It demonstrates that the value of a given policy change depends on the extent to which any and all of these market failures are internalized. The model was further adapted to allow for the peculiarities of the transportation fuels sector. This included modeling the nested targets in the Renewable Fuels Standard, a low-carbon fuel standard, and different forms of CAFE standards, including size-based incentives. It highlights how each of these policies can be modeled as combinations of implicit taxes and subsidies and reveals how they interact. The research led to parameterization of a new analytical model for the electricity sector. This permitted quantitative analysis about the optimal policy combinations. A significant focus was on the optimal deployment subsidies for wind and solar and their sensitivity to a variety of assumptions regarding the potential for learning-by-doing, the degree of spillovers, and the stringency of the emissions target. Central estimates for

these values were in the range of 1 cent for wind (mature renewable technologies) and 5 cents/kWh for solar. While they rise somewhat as emissions reduction targets get more stringent, the carbon price in the optimal carbon policy combination is what rises most significantly. The development and parameterization of this model proved more challenging than expected. The baseline involves a large pre-existing suite of policy incentives and mandates, for which implicit values must be calculated. Incorporating realistic fuel economy strategies required calibrating both technological and size-based opportunities for fuel economy improvements. Trying to maintain an overall calibration strategy of relying on EIA data (projections generated from the much more complex NEMS model), while being consistent with other empirical evidence on innovation and renewable fuels, required significant innovations in terms of the model and solution strategies. The results emphasize the inefficiency of current federal renewable fuel standards and size-based fuel economy standards relative to direct taxes on carbon or gasoline. We find that the current mix of state and federal fuel taxes, fuel economy standards, and renewable fuel blending mandates leads to a 13% reduction in domestic carbon emissions from this sector relative to a no-policy baseline at an average private welfare cost of \$18/tCO₂. These policies induce behavioral changes that are highly cost-ineffective, however, as evidenced by a marginal cost of carbon abatement that varies widely across competing abatement options: \$0/tCO₂ to \$736/tCO₂ for options based on fuel switching (e.g., from gasoline to ethanol) and negative \$45/tCO₂ to positive \$73/tCO₂ for options based on conservation (i.e., driving fewer miles or improving fuel economy). Negative abatement costs for conservation arise in this setting due to consumer undervaluation of fuel economy and due to existing policies that implicitly subsidize ethanol well beyond the point where its marginal cost exceeds its energy value. In contrast, the optimal carbon policy a carbon tax combined with corrective subsidies for cellulosic ethanol production and fuel economy improvements can achieve the same 13% reduction in carbon emissions, but at an average private welfare gain of \$15/tCO₂ relative to no policy. Due to limited funds, during Fiscal Year 2016, EPA's Office of Sustainable Communities did not fund any new cooperative agreements. For awards related to Sustainable Communities, program accomplishments involved supporting activities of current grant recipients: a Smart Growth Conference held in February 2016 and attended by 1,700 people from the public and private sector, a Smart Growth On-line Website and Policy Clearinghouse, technical assistance workshops in 14 communities addressing land use and code audits to promote more sustainable development, technical assistance workshops in 9 communities addressing complete streets and sustainable design workshops focused on opportunities to enhance community sustainability through state policy, and technical assistance workshops in 8 communities using the LEED for Neighborhood Development Standards as a tool for evaluating options to improve community environmental performance. Fiscal Year 2017: The environmental economics assistance agreements under this CFDA closed in FY2016, and there were no additional awards issued in FY2017. Therefore, there are no program accomplishments to report for this year. Due to limited funds, during Fiscal Year 2017, EPA's Office of Sustainable Communities did not fund any new cooperative agreements. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Environmental Policy and Innovation grants and cooperative agreements are subject to EPA's General Grant Regulations (2 CFR 200 and 1500). Costs will be determined according to 2 CFR 200 Subpart E.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Brett Snyder, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W., Mail Code: 1809T, Washington, District of Columbia 20460
Email: snyder.brett@epa.gov Phone: (202) 566-2261.

Website Address:

<http://www2.epa.gov/aboutepa/about-office-policy-op>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.436 Surveys, Studies,

Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No new environmental economic research grants were funded in FY2016. This is due to reductions in appropriations that have limited amount of available funding to be used for these purposes. The Office of Sustainable Communities funded existing three cooperative agreements in FY 2016 that funded organizations with specific expertise to deliver technical assistance to communities. Two cooperative agreements provided assistance to 20 communities on complete streets policies, fiscal and economic aspects of sustainability and code and policy innovations to support sustainable neighborhood design. Fiscal Year 2017: No new environmental economic research grants were funded in FY2017. This is due to reductions in appropriations that resulted in having insufficient funding available to be used for these purposes. No new cooperative agreements were funded by the Office of Sustainable Communities in FY 2017, however, work with additional support from other federal agencies continued under agreements that had been funded in the prior year. For example, awards related to community driven environmental solutions carried out in FY 2017 include a Smart Growth Conference held in February 2016 and attended by 1,700 people from the public and private sector, a Smart Growth On-line Website and Policy Clearinghouse, technical assistance workshops in 14 communities addressing land use and code audits to promote more sustainable development, technical assistance workshops in 9 communities addressing complete streets and sustainable design, workshops focused on opportunities to enhance community sustainability through state policy, and technical assistance workshops using the LEED for Neighborhood Development Standards as a tool for evaluating options to improve community environmental performance. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Non-competitive proposals are judged for: (a) technical merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project; and (2) relationship to program objectives.

66.612 SURVEYS, STUDIES, INVESTIGATIONS, TRAINING DEMONSTRATIONS AND EDUCATIONAL OUTREACH RELATED TO ENVIRONMENTAL INFORMATION AND THE RELEASE OF TOXIC CHEMICALS

Increasing community awareness and use of environmental information through TRI education and outreach.

FEDERAL AGENCY:

Office of Chemical Safety and Pollution Prevention, Environmental Protection Agency

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Clean Water Act, Section 104; Clean Air Act, Section 103; Solid Waste Disposal Act, Section 8001; Toxic Substances Control Act, Section 10; Safe Drinking Water Act, Section 1442.

OBJECTIVES:

The program objectives supported through this program are:

To provide funding in support of surveys, studies, investigations, training/demonstrations, educational outreach and special purpose assistance as they relate to environmental information and the release of toxic chemicals. The purpose is to educate the public on how to obtain access to and effectively use environmental information, including information about toxic chemical releases and other waste management activities. Through this grant program, EPA intends to enhance the public's understanding of environmental data, in general,

and the potential risks and hazards of releases of toxic chemicals to communities as they relate to facilities covered under the Toxic Release Inventory (TRI) Program; support efforts to assist state, tribal and local governments improve management of environmental information; identify priorities and opportunities for the public and state, tribal and local governments to work with industry to reduce toxic chemical disposal or other releases and potential risks associated with them; and establish reduction targets and measure progress toward reduction goals.

Other program objectives are to access TRI and other toxic chemical information to other organizations so they can help facilitate and manage activities that will further promote analysis, understanding, and use of TRI and other toxic chemical data to prevent pollution, improve environmental performance, and reduce the hazards/risks associated with toxic chemical releases within communities. Also through this grant program, EPA intends to enhance public understanding of environmental information generally and the potential risks and hazards of releases of toxic chemicals to their communities; support efforts to assist interested stakeholders (e.g., members of the public, industry, community organizations, academic institutions, state, tribal and local officials, non-governmental organizations, etc.), to improve the management, access, understanding and use of TRI and other toxic chemical information; and to utilize TRI and related information to prioritize community action and develop opportunities for interested stakeholders to work with industry to achieve beneficial outcomes such as improved data quality, environmental compliance, and reduced toxic chemical disposal or other releases and associated potential risks.

Funding Priority for 2017

- Expansion of TRI stakeholder education and outreach; - Use of various avenues to deliver TRI related training to interested stakeholders such as online seminars; - Engagement with new communities and organizations that could benefit from increased awareness, understanding and use of TRI; - Promotion of access and use of TRI and other related data among grass roots community organizations such as producing analyses or training community leaders.

Funding Priority for 2018

Expansion of TRI stakeholder education and outreach; - Use of various avenues to deliver TRI related training to interested stakeholders such as online seminars; - Engagement with new communities and organizations that could benefit from increased awareness, understanding and use of TRI; - Promotion of access and use of TRI and other related data among grass roots community organizations such as producing analyses or training community leaders.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Costs will be determined in accordance with 2 CFR 200 Subpart E

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Grants and cooperative agreements awarded under this program may involve or relate to geospatial information may be obtained at www.epa.gov/geospatial.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Assistance under this program is generally available to states, the District of Columbia, U.S. territories (for example, American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands), federally recognized Indian tribes, intertribal consortia of federally recognized tribes, public and private colleges and universities, and other public or private nonprofit organizations. Nonprofit organizations exempt from taxation under Section 501(c)(4) of the Internal Revenue Code that lobby are not eligible for financial assistance. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State, territory, city, town, county, and regional governments; federally recognized Indian tribes and intertribal consortia of federally recognized tribes; public institutions and industries subject to EPA regulatory reporting requirements; and the public.

Credentials/Documentation:

Applicants must demonstrate that they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA will ask applicants or principle investigators to provide curricula vitae and relevant publications. EPA may ask for documentation of nonprofit status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

EPA awards grants and cooperative agreements supporting surveys, studies and investigations, demonstrations, educational outreach and special purpose projects that consider the relevance to EPA's mission and the likelihood of the success of proposed projects. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds, and the Agency policy on the competitive grant process.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements. EPA awarded one (five year) cooperative agreement in FY 13. Incremental funding is contingent upon satisfactory progress as certified by the EPA Project Officer, the availability of funds, and EPA priorities.

FY 16 - \$200,000

FY 17 - \$175,000.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations, 2 CFR Parts 200 and 1500. A summary of expenditures should be included in the quarterly progress reports. Annual performance monitoring is required in July of each year of the grant.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The record retention requirements of 2 CFR Parts 200 and 1500 are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and

to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 \$200,000; FY 17 est \$175,000; and FY 18 Estimate Not Available(Exp: We do not have an estimated budg) - FY 16 \$200,000; FY 17 \$175,000; FY 18 We do not have an estimated budget amount at this time. This information will be updated when we receive further guidance.

Range and Average of Financial Assistance:

Estimated \$175,000 to \$225,000 with an average of \$200,000 annually.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Coordinated and planned for the TRI National Training Conference, including scheduling speakers from EPA, states, tribes, NGOs and international, and other organizations to address attendees. Conducted three successful and well attended (100+ attendees) webinars. Continued to assist in development of a TRI app using EnviroMapper. Conducted a TRI workshop in Atlanta for 100+ attendees. Fiscal Year 2017: Planned, managed, and successfully completed TRI National Training Conference on October 2016, including scheduling speakers from EPA, states, tribes, NGOs and international, and other organizations to address over 300 attendees. Conducted two successful and well attended (100+ attendees) webinars in July and September 2017. Continued to assist in development of a TRI app using EnviroMapper. Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, and investigations grants and cooperative agreements are subject to EPA's General Grant Regulations 2 CFR Parts 200 and 1500

Costs will be determined on accordance with 2 CFR 200 Subpart E

Research and demonstration projects are subject to 40 CFR Part 40. Training grants are subject to 40 CFR Part 45.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in http://www.epa.gov/tri/contacts_regional.htm or in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

EPA has communicated with Cynthia Peurifoy, Region 4 Environmental Justice Coordinator to ask assistance in engaging a speaker, Representative Harold Mitchel. Region 4 was also made aware of this grant.

Headquarters Office:

Margaret Conomos For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460. For program information contact: Margaret Conomos, Phone: 202-566-0617, E-Mail: Conomos.Margaret@epa.gov.

, Washington, District of Columbia 20460 Email: Conomos.Margaret@epa.gov Phone: 202-566-0671

Website Address:

<http://www.dscej.org>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe

Drinking Water Act; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Planning for October 2016 TRI National Training conference, three webinars and development of TRI app. Fiscal Year 2017: Held TRI National Training Conference in October 2016, conducted two webinars and development of TRI app. Fiscal Year 2018: NA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. EPA selects proposed Surveys, Studies, and Investigations projects for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. If EPA issues a solicitation for applications for a particular project or group of projects, the solicitation will identify specific criteria.

66.700 CONSOLIDATED PESTICIDE ENFORCEMENT COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act, Section 23, Public Law 92-516, 7 U.S.C 136; Federal Insecticide, Fungicide, and Rodenticide Act, Section 23, Public Law 94-140, 7 Stat. 136; Federal Insecticide, Fungicide, and Rodenticide Act, Section 23, Public Law 95-396, 7 U.S.C 136.

OBJECTIVES:

Used for Consolidated Pesticide Enforcement Agreements (only one with this one now) - State, territorial, and tribal agencies participating in this cooperative agreement program receive funds to support and strengthen their pesticide compliance programs, including pesticide compliance monitoring, inspection and enforcement activities.

Funding Priority 2016

Grantees must conduct activities related to: (1) basic pesticide program; (2) pesticide worker safety: Worker Protection Safety; (3) pesticide worker safety: pesticide applicator certification; (4) pesticide container and containment regulations; (5) soil fumigation and soil fumigants; and (6) pesticides in water. Grantees also must choose two additional programmatic areas from the following: (1) endangered species protection; (2) bed bugs; (3) pollinator protection; (4) spray drift; (5) state and tribal coordination and communication; (6) supplemental distributors; (7) contract manufacturers; and (8) imports. These activities include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices; inspections of pesticide products at producing facilities and in the retail marketplace to ensure industry compliance with registration, classification and labeling requirements; and initiation of appropriate enforcement action when violations are detected including civil and criminal prosecution, stop sale orders, seizures, recalls and warning notices.

Funding Priority 2017

Grantees must conduct activities related to: (1) basic pesticide program; (2) pesticide worker safety: Worker Protection Safety; (3) pesticide worker safety: pesticide applicator certification; (4) pesticide container and containment regulations; (5) soil fumigation and soil fumigants; and (6) pesticides in water. Grantees also must choose two additional programmatic areas from the following: (1) endangered species protection; (2) bed bugs; (3) pollinator protection; (4) spray drift; (5) state and tribal coordination and communication; (6) supplemental distributors; (7) contract manufacturers; and (8) imports. These activities include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices; inspections of pesticide products at producing facilities and in the retail marketplace to ensure industry compliance with registration, classification and labeling requirements; and initiation of appropriate enforcement action

when violations are detected including civil and criminal prosecution, stop sale orders, seizures, recalls and warning notices.

Funding Priority - Fiscal Year 2018:

Grantees must conduct activities related to: (1) Basic Pesticide Program; (2) Pesticide Worker Safety: Worker Protection Standard; (3) Worker Safety: Pesticide Applicator Certification; (4) Pesticides in Water; (5) Product Integrity; (6) Border Compliance. Grantees also must choose one additional programmatic areas from the following: (1) Pick-List Program Area: Fumigation and Fumigants; (2) Spray Drift; (3) State and Tribal Coordination and Communication; (4) Emerging Public Health Pesticide Issues. . These activities include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices; inspections of pesticide products at producing facilities and in the retail marketplace to ensure industry compliance with registration, classification and labeling requirements; and initiation of appropriate enforcement action when violations are detected including civil and criminal prosecution, stop sale orders, seizures, recalls and warning notices.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Available for costs specifically incurred in purchasing inspectional supplies and equipment; reimbursing State travel and per diem expenses associated with the performance of grant outputs; purchasing essential laboratory equipment and supplies; paying salaries for personnel performing inspectional, analytical and/or managerial functions related to grant activities, and for administrative costs associated with the performance of grant outputs. Funds also can be used for training. Each program must have at least one federally credentialed inspector pursuant to the September 2004 Guidance for Issuing Federal EPA Inspector Credentials to Authorize Employees of State/Tribal Governments to Conduct Inspections on Behalf of EPA. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. There are no specific restrictions on the use of this assistance.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State agencies having pesticide compliance program responsibilities in each state, territory and possession of the United States, including the District of Columbia and Indian Tribes.

Beneficiary Eligibility:

States, Federally Recognized Indian Tribal Governments, U.S. Territories and the District of Columbia.

Credentials/Documentation:

The application must supply evidence of legal authority to conduct pesticide compliance activities contemplated under the grant and a workable program officially adopted for the agency. Costs will be determined in accordance with the provisions of 2 CFR 200 Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Discussions or informal meetings with Regional program offices concerning program preparation are advisable. The standard application forms as furnished by the Federal agency and required by 2 CFR 200 and 1500 must be used for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for application form and completed applications should be submitted to the appropriate EPA Regional Office (see Appendix IV of the Catalog). The enforcement program is excluded from coverage under 2 CFR 200 and 1500. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

Each application shall be subjected to administrative coordination to determine adequacy in relation to grant regulations, and to technical and program evaluation to determine merit and relevancy of the project. States will be notified of Federal Assistance Awards through the Federal Assistance Awards Data System (FAADS). Applicants may use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 60 days.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Applicants must reapply.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects are normally funded for a 12-month period. Payments will be on an advance letter of credit or reimbursement basis; recipient must request the initial advance payment on SF 270, Request for Advance or Reimbursement. See the following for information on how assistance is awarded/released:
Other.

Reports:

As requested in the Annual Program Guidance for inclusion in the grant award; quarterly with mid-year and year-end evaluations. Program reports are required. No cash reports are required. Progress reports are required. Expenditure reports are required. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records including all documents to support entries on accounting records and to substantiate charges to each cooperative agreement must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of the submission of the annual financial status report or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 \$17,886,000; FY 17 est \$17,737,000; and FY 18 est \$11,050,000 - FY 16 - 17,886,000; FY 17 - 17,737,000; FY 18 -

11,050,000.

Range and Average of Financial Assistance:

32,000 (territory) to 698,000; average 245,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Consolidated Pesticide Enforcement Cooperative Agreements are continuing environmental program grants. It is anticipated that 72 applications for pesticide enforcement grants will be received and 72 grants awarded in fiscal year 2016. Agencies participating in the federal cooperative agreement program receive funds to support and strengthen pesticide compliance monitoring, inspection, and enforcement activities. Typical program activities include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices; inspections of pesticide products at production facilities and in the retail marketplace to ensure industry compliance with registration, classification, and labeling requirements; and initiation of appropriate enforcement action when violations are detected including civil and criminal prosecution, stop sale orders, seizures, recalls, and warning notices. The states will also develop implementation plans for special pesticide initiatives. States will be responsible for dissemination of information and materials related to these pesticide initiatives. States, territories, and tribes that are participating in the pesticide enforcement cooperative agreements program are expected to conduct approximately 82,000 compliance monitoring inspections in FY 2016. These inspections will be conducted at agricultural establishments, pesticide producers and retailers, ports of entry, at pesticide applicators, as well as at other site where pesticides were distributed, sold, or used. Fiscal Year 2017: The Consolidated Pesticide Enforcement Cooperative Agreements are continuing environmental program grants. It is anticipated that 70 applications for pesticide enforcement grants will be received and 70 grants awarded in fiscal year 2017. Agencies participating in the federal cooperative agreement program receive funds to support and strengthen pesticide compliance monitoring, inspection, and enforcement activities. Typical program activities include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices; inspections of pesticide products at production facilities and in the retail marketplace to ensure industry compliance with registration, classification, and labeling requirements; and initiation of appropriate enforcement action when violations are detected including civil and criminal prosecution, stop sale orders, seizures, recalls, and warning notices. The states will also develop implementation plans for special pesticide initiatives. States will be responsible for dissemination of information and materials related to these pesticide initiatives. States, territories, and tribes that are participating in the pesticide enforcement cooperative agreements program are expected to conduct approximately 78,000 compliance monitoring inspections in FY 2017. These inspections will be conducted at agricultural establishments, pesticide producers and retailers, ports of entry, at pesticide applicators, as well as at other site where pesticides were distributed, sold, or used. These grants fund state and tribal pesticide programs that are part of a consolidated agreement. As EPAs co-regulators, these pesticide programs work extensively with pesticide applicators, growers, commodity groups, as well as other government agencies to promote the proper use of pesticides and address pesticide issues. These programs conduct extensive technical assistance for all stakeholders as well as the general public, and provide outreach and education on EPAs national pesticide priorities such as worker protection, pollinator protection and emerging public health pesticide issues. If enforcement funds are included, these funds are used to enforce FIFRA. - <https://www.cfda.gov/index?s=program&mode=form&tab=step1&id=ab5da0b9a33c2522fae9f6c160d7670c>. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards" 2 CFR 200; Environmental Protection Agency, State and Local Assistance (40 CFR Part 35 Subpart A).

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed

in Appendix IV of the Catalog.

Headquarters Office:

Elizabeth Vizard Office Of Compliance, Office of Enforcement and Compliance Assurance (2227A), EPA, Washington, District of Columbia 20460 Email: vizard.elizabeth@epa.gov Phone: (202) 564-5940

Website Address:

<http://www.epa.gov/compliance/state/grants/fifra.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: State agencies participated in the federal/state cooperative agreement program received funds to support and strengthen their pesticide compliance and enforcement programs, including pesticide compliance monitoring, inspection and enforcement activities as well as special pesticide initiatives activities. Typical program activities included inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices; inspections of pesticide products at production facilities and in the retail marketplace to ensure industry compliance with registration, classification, and labeling requirements; inspections and investigations at ports of entry to assess compliance with import and export requirements; and initiation of appropriate enforcement action when violations were detected, including civil and criminal prosecution, stop sale orders, seizures, recalls and notices. Funds also supported training activities. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Each application will be reviewed by the Environmental Protection Agency according to the following criteria: (1) Need: The need for the development, improvement and/or maintenance of a comprehensive pesticides enforcement program within the State/Tribe that includes enforcement, new initiative programs, and programs that address existing environmental problems, potential problems, and/or existing exposed populations related to the use of pesticides; (2) State/Tribal Pesticide Activity: The relative amount of pesticide production, formulation and use in a State/Tribe, and the potential risk to human health and the environment from pesticide misuse or abuse; (3) Long-Term Impact: The potential of the cooperative agreement to have a long-term beneficial impact on human health and the environment resulting from the comprehensive pesticide program; (4) Effectiveness of program: The past level and effectiveness of the State/Tribal pesticide program; (5) Level of activity: As indicated by such factors as numbers of farms and numbers of applicators; (6) Magnitude of effort: Need to accomplish expected outputs and products; and (7) Ability to accomplish output goals.

66.701 TOXIC SUBSTANCES COMPLIANCE MONITORING COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Toxic Substances Control Act (TSCA), Section 28&404(g), Public Law 102-550, 15 U.S.C 2601.

OBJECTIVES:

1) To assist States, including the District of Columbia, the Commonwealth of Puerto Rico, Guam, America Samoa, the Northern Marianas, the Trust Territories of the Pacific Islands, the Virgin Islands and Indian Tribes in developing and maintaining compliance monitoring programs to prevent or eliminate unreasonable risks to health or the environment associated with chemical substances or mixtures within the States, specifically Asbestos, PCB, and Lead-based paint; 2) encourage regulatory activities within the States to establish their own programs for Lead-based paint and Asbestos (waiver programs); and 3) provide funds to support enforcement activities for Asbestos waiver state programs and Lead-based paint programs. EPA may provide funding for cooperative compliance monitoring grants to States and Tribes under TSCA to conduct inspections to ensure compliance with the PCB regulations, the Asbestos-in-Schools requirements (inspections at charter schools, public schools, private, non-profit schools and religious schools), the

Model Accreditation Plan (MAP), TSCA section 6 Ban and Phase Out Rule, and the TSCA Asbestos Worker Protection Standards and to conduct Lead-based paint activities. Authorized States also conduct enforcement responses for the Lead-based program. States that have obtained an EPA waiver from the Asbestos regulation (Subpart E of Part 763) also have enforcement responsibilities.

Funding priorities in FY 2016 for the TSCA PCB and Asbestos programs will be: 1) existing compliance monitoring programs to ensure they continue to meet established standards; 2) activities leading to waiver status for Asbestos programs; 3) activities as necessary for meeting training requirements necessary for obtaining and keeping EPA credentials in those programs which require the use of EPA credentials (the PCB compliance monitoring programs and Asbestos programs in non-waiver states); 4) new programs that provide inspection activity where there is an identified need including, but not limited to, Environmental Justice areas.

Funding priorities in FY 2016 for States with authorized Lead-based paint programs will focus on individuals and firms which conduct lead abatement and renovation and their continuance with the training and certification, and work practice standards requirements. States with authorized renovation notice rules will also monitor those requirements.

FY 2017 funding priorities for the TSCA PCB and Asbestos programs are: 1) ensure existing compliance monitoring programs continue to meet established standards; 2) promote activities that lead to waiver status for Asbestos programs; 3) ensure states meet EPA inspector training requirements necessary to obtain and keep EPA credentials in those programs which require the use of EPA credentials (the PCB compliance monitoring programs and Asbestos programs in non-waiver states); 4) provide inspection activity where there is an identified need including, but not limited to, Children's Health and Environmental Justice areas. FY 2017 funding priorities for States with authorized Lead-based paint programs will focus on individuals and firms which conduct lead abatement and renovation and their continuance with the training and certification, and work practice standards requirements. States with authorized renovation notice rules will also monitor those requirements.

FY 2018 funding priorities for the TSCA PCB and Asbestos programs are: 1) ensure existing compliance monitoring programs continue to meet established standards; 2) promote activities that lead to waiver status for Asbestos programs; 3) ensure states meet EPA inspector training requirements necessary to obtain and keep EPA credentials in those programs which require the use of EPA credentials (the PCB compliance monitoring programs and Asbestos programs in non-waiver states); 4) provide inspection activity where there is an identified need including, but not limited to, Children's Health and Environmental Justice areas. FY 2018 funding priorities for States with authorized Lead-based paint programs will focus on individuals and firms which conduct lead abatement and renovation and their continuance with the training and certification, and work practice standards requirements. States with authorized renovation notice rules will also monitor those requirements.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds are available for costs specifically incurred by States and Tribes to develop, enhance and maintain comprehensive compliance monitoring/enforcement programs under TSCA, specifically PCBs, Asbestos, and Lead-based paint. Funded activities may include inspector training, inspection supplies, and laboratory costs, including personnel and equipment; reimbursement for salaries, travel, training, and per diem expenses for inspectors, analysts, and program managers; and other functions related to grant activities. For those programs where inspections are conducted using EPA credentials, prior to conducting inspections under the Grant, the State/Tribe must have an authorization agreement and the inspectors must complete the minimum inspector training, including health and safety training, and conduct inspections pursuant to the September 2004 Guidance for Issuing Federal EPA Inspector Credentials to Authorize Employees of State/Tribal Governments to Conduct Inspections on Behalf of EPA. They must also have a signed authorization agreement with EPA.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website:
<https://www.epa.gov/geospatial>.

Assistance agreement awards under this program may involve generating or using environmental data, such as, environmental sampling, field measurements, and/or laboratory analyses. Further information on the "POLICY TO ASSURE THE COMPETENCY OF ORGANIZATIONS GENERATING ENVIRONMENTAL MEASUREMENT DATA UNDER AGENCY-FUNDED ASSISTANCE AGREEMENTS" should refer to the following website:
<http://www.epa.gov/fem/pdfs/competency-policy-aiaa-new.pdf>.

Applicant Eligibility:

For the PCB and Asbestos programs, States/Tribes should have toxic substance compliance responsibilities and be designated as the lead agency with the authority to enter into these cooperative agreements. For the Lead-based paint program, state agencies, Indian tribes, and tribal consortiums that have toxic substance compliance responsibilities, who have the authority to enter into these cooperative agreements, and who have their own lead laws in place are eligible to apply for assistance under the TSCA Compliance Monitoring Grant.

Beneficiary Eligibility:

For PCB, Asbestos and the Lead-based paint programs: States, including the District of Columbia, the Commonwealth of Puerto Rico, Guam, America Samoa, the Northern Marianas, the Trust Territories of the Pacific Islands, the Virgin Islands and Indian Tribes.

Credentials/Documentation:

The applicant must supply evidence of legal authority to conduct toxic substance compliance program activities contemplated under the grant and a workable program officially adopted for the agency. Costs will be determined in accordance with 2 CFR Part 200 Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Discussions or informal meetings with Regional program offices concerning program preparation are advisable. The standard application forms as furnished by the federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is subject to the provisions of 2 CFR 200 and 1500 as applicable.

Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

Each application shall be subjected to administrative coordination to determine adequacy in relation to grant regulations, and to technical and program evaluation to determine merit and relevancy of the project. States will be notified of Federal Assistance awards through the Federal Assistance Awards Data System (FAADS). Applicants may use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications are due 60 days prior to the beginning of the next budget period

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Applicant must reapply.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Under TSCA Section 28, no grant may exceed 75 percent of the establishment and operation costs of such a program during the period for which the grant is made. Thus, recipients must contribute 25% of the total costs for activities conducted under Section 28 of TSCA (i.e., the PCB and Asbestos grants).

There are no cost-sharing or matching fund requirements for Lead-based Paint enforcement grants under Section 404(g).

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cooperative Agreements are normally funded for a 12-month period. Payments will be on an advance letter of credit or reimbursement basis; recipients must request the initial advance payment on the form SF 270, Request for Advance or Reimbursement. See the following for information on how assistance is awarded/released: To be determined at the time of the award.

Reports:

As required in the annual National Program Guidance for inclusion in the grant award, grantees must submit mid-year and year-end evaluations. For inspections conducted using credentials issued by EPA to the state or tribal employee, the inspection report must be submitted to the regional office for review pursuant to the authorization agreements. Program reports are required. No cash reports are required. Progress reports are required. Expenditure reports are to be included with the End-of-Year reports. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each cooperative agreement, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0103-0-1-304 - STAG.

Obligations:

(Cooperative Agreements) FY 16 \$4,874,000; FY 17 est \$4,834,000; and FY 18 est \$3,437,000 - FY 16: \$4,874,000; FY 17: \$4,834,000; FY 18: \$3,437,000 (proposed).

Range and Average of Financial Assistance:

For PCB and Asbestos: \$21,000 to \$299,000/year, \$96,000/year average. For Lead: \$46,000 per state/tribe.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Twenty-two Asbestos, nine PCB, and thirty-eight Lead grants were awarded to States in FY 2016. Agencies participating in the TSCA Compliance Monitoring grants program receive funds to support and enhance toxic substance compliance monitoring, assurance and enforcement activities. Typical program activities for the Asbestos grant program include compliance inspections at facilities regulated under the AHERA program plus preparation of inspection reports. For waiver states, work includes case preparation and taking enforcement action. Non-waiver states using EPA credentials submit their inspection reports to EPA for case review and appropriate enforcement action. In FY 2016, 1,093 compliance monitoring inspections were conducted. Typical program activities for the PCB grant program include compliance inspections at facilities regulated under the TSCA PCB program, and submission of inspection reports to EPA for review and enforcement action, if appropriate. In FY 2016, 346 inspections were conducted. Typical program activities for the Lead-based paint grant program include compliance inspections at facilities regulated under the TSCA Lead-based paint program plus initiation of appropriate enforcement action by authorized states or referral to EPA for case review and appropriate enforcement action when violations are detected. In FY 2016, 2,995 inspections were conducted. Fiscal Year 2017: It is anticipated the TSCA Compliance Monitoring grant program will receive a similar number of state grant requests in FY 2017 as were received in FY 2016. At the earliest, FY 2017 program information (end-of-year report) must be submitted 90 days after the close of the grant. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (2 CFR Parts 200 and 1500); Environmental Protection Agency, State and Local Assistance (40 CFR Parts 35 Subpart A), October 12, 1982.

Regional or Local Office:

See Regional Agency Offices. For TSCA Compliance Monitoring program information: Elizabeth Vizard, Chief, Pesticides, Waste and Toxics Branch, Monitoring, Assistance and Media Programs Division, Office of Enforcement and Compliance Assurance, (2227A), U.S. EPA, 1200 Pennsylvania Ave. NW., Washington, DC 20460; Telephone: (202) 564-5940; FAX: (202)-564-0050; e-mail: Vizard.Elizabeth@epa.gov.

For Lead-based paint program information: Shirley Fan, Pesticides, Waste and Toxics Branch, Monitoring, Assistance and Media Programs Division, Office of Enforcement and Compliance Assurance (2227A), U.S. EPA, 1200 Pennsylvania Ave. NW., Washington, DC 20460; Telephone: (202) 564-2425; FAX: (202) 564-0050; e-mail: Fan.Shirley@epa.gov

For PCBs and Asbestos (AHERA) program information: Everett Bishop, Pesticides, Waste and Toxics Branch, Monitoring, Assistance and Media Programs Division, Office of Enforcement and Compliance Assurance (2227A), U.S. EPA, 1200 Pennsylvania Ave. NW., Washington, DC 20460; Telephone: (202) 564-7032; FAX: (202) 564-0050; e-mail: Bishop.Everett@epa.gov.

Headquarters Office:

Elizabeth Vizard 1200 Penn Ave, NW, Washington, District of Columbia 20460 Email: vizard.elizabeth@epa.gov Phone: 202-564-5940 Fax: 202-564-0050

Website Address:

<http://epa.gov/compliance/monitoring/programs/tsca/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: State agencies participating in the Toxic Substances Compliance Monitoring grant program receive funds to support and conduct compliance monitoring (inspection) and enforcement activities, as appropriate for the specific program. Examples of program activities that may be funded include, targeting activities, inspections/investigations to evaluate compliance, follow-up inspections, initiation of appropriate enforcement action when violations are detected, inspector training, equipment for inspections, development of compliance monitoring strategies/targeting approaches, development of software or e-forms capable of automating inspection data collection activities in the field. The majority of the funds are used by the states to conduct compliance monitoring inspections. Those states that are authorized to enforce the Lead-based paint program or are "waiver" states under the AHERA program can use these funds to enforce the regulation, as appropriate. Fiscal Year 2017: State agencies participating in the Toxic Substances Compliance Monitoring grant program receive funds to support and conduct compliance monitoring (inspection) and enforcement activities, as appropriate for the specific program. Examples of program activities that may be funded include, targeting activities, inspections/investigations to evaluate compliance, follow-up inspections, initiation of appropriate enforcement action when violations are detected, inspector training, equipment for inspections, development of compliance monitoring strategies/targeting approaches, development of software or e-forms capable of automating inspection data collection activities in the field. The majority of the funds are used by the states to conduct compliance monitoring inspections. Those states that are authorized to enforce the Lead-based paint program or are "waiver" states under the AHERA program can use these funds to enforce the regulation, as appropriate. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Each application will be reviewed by the Environmental Protection Agency according to criteria including the following: (1) Need: The need for the development, improvement, and/or maintenance of a comprehensive compliance monitoring/enforcement program within the State; (2) Level of Activity: The amount of regulated chemicals and facilities, and the potential risk to human health and the environment; (3) Long-Term Impact: The potential of the cooperative agreement to have a long-term beneficial impact on human health and the environment resulting from the compliance program; and (4) Effectiveness of program: The past level and effectiveness of the State regulatory program, number of inspections conducted by the state and number of trained inspectors that have completed the minimum training set forth in the September 30, 2004 "Guidance for Issuing Federal EPA Inspector Credentials to Authorize Employees of State/Tribal Governments to Conduct Inspections on Behalf of EPA."

66.707 TSCA TITLE IV STATE LEAD GRANTS CERTIFICATION OF LEAD-BASED PAINT PROFESSIONALS

(State Lead Certification Grants)

FEDERAL AGENCY:

Office of Chemical Safety and Pollution Prevention, Environmental Protection Agency

AUTHORIZATION:

Toxic Substances Control Act, Section 404(g).

OBJECTIVES:

The goal of EPA's lead-based paint program is to eliminate childhood lead poisoning. The program is comprised of four strategies designed to achieve the this goal 1) Establish standards to define where lead hazards are present in paint, dust and soil; 2) Give the public information about lead hazards and steps to protect themselves; 3) Ensure that information about known lead-based paint hazards is disclosed to individuals buying or renting pre-1978 housing, and that owners and occupants of pre-1978 housing are provided information on lead-based paint hazards before renovation activities take place; 4) Establish lead-safe work practice standards and require lead-based paint professionals

and renovators who work in pre-1978 housing to be trained and certified.

The objective of this grant program is to assist States, Tribes, and Territories in developing and carrying out authorized programs that: (a) certify contractors engaged in lead-based paint activities and accredit lead-based paint activities training programs; (b) certify contractors engaged in renovation, repair and painting activities that disturb painted surfaces in most target housing; and/or (c) require distribution of lead-hazard information prior to renovation (pre-renovation education program).

Funding Priority - Fiscal Year 2015: The priority for FY 2015 is to continue to provide assistance to authorized State, Tribal, and Territorial lead-based paint activities training and certification programs and pre-renovation education programs. As appropriate, the Agency will use grant funding to provide assistance to a State, Tribal, or Territorial program looking to develop or administer an authorized Renovation, Repair, and Painting Program.

Funding Priority - Fiscal Year 2016: The priority for FY 2016 is to continue to provide assistance to authorized State, Tribal, and Territorial lead-based paint activities training and certification programs and pre-renovation education programs. As appropriate, the Agency will use grant funding to provide assistance to a State, Tribal, or Territorial program looking to develop or administer an authorized Renovation, Repair, and Painting Program.

Funding Priority - Fiscal Year 2017: The priority for FY 2017 is to continue to provide assistance to authorized State, Tribal, and Territorial lead-based paint activities training and certification programs and pre-renovation education programs. As appropriate, the Agency will use grant funding to provide assistance to a State, Tribal, or Territorial program looking to develop or administer an authorized Renovation, Repair, and Painting Program.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Assistance may be available for activities which relate to authorization under TSCA Title IV. Supported activities must contribute or lead to the attainment or maintenance of authorization pursuant to TSCA Title IV. Funds cannot be used for general program activities not related to the attainment or maintenance of authorized programs.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website:
<https://www.epa.gov/geospatial>.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Eligible applicants for purposes of funding under these grant programs include any state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any agency or instrumentally thereof exclusive of local governments (includes public institutions of higher education and hospitals).

Beneficiary Eligibility:

State any state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any agency or instrumentally thereof exclusive of local governments may receive assistance

under Section 404(g) of TSCA. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency Assistance Agreement Competition Policy.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200 Subpart E for State and local governments. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Discussions or informal meetings with the appropriate EPA Regional Office concerning program preparation are advisable. The standard application form as furnished by the Federal agency and required by 2 CFR 200 must be used for this program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog.

This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for application forms and completed applications should be submitted to the appropriate EPA Regional Office. The following forms and certifications must be included in all final grant applications: Standard Form 424 (Application for Federal Assistance); Standard Form 424A (Budget Information -- Non-Construction Programs); Standard Form 424B (Assurances -- Non-Construction Programs); Certification Regarding Lobbying and SF LLL, if applicable; Certification Regarding Debarment and Suspension; EPA Form 4700-4 (Compliance Review Report Form); and Quality Assurance Narrative Statement, if applicable. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Contact the appropriate EPA Regional Office for guidance on award procedures. Regional contacts for the EPA lead program can be found at <http://www2.epa.gov/lead/where-you-live>.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days after deadline for application submission.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Applicants must reapply for grant funding each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cooperative agreements are normally funded for a 12-month period. See the following for information on how assistance is awarded/released: Grantees usually receive either a lump sum payment or draw down funds as their Agency allows.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports, and expenditure/financial, equipment and invention reports. Progress reports are generally required at least semi-annually. Specific reporting requirements are also identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. No cash reports are required. Pursuant to 2 CFR 200.238, Monitoring and reporting program performance.

(a) Monitoring by the non-Federal entity. The non-Federal entity is responsible for oversight of the operations of the Federal award supported activities. The non-Federal entity must monitor its activities under Federal awards to assure compliance with applicable Federal requirements and performance expectations are being achieved. Monitoring by the non-Federal entity must cover each program, function or activity. See also 200.331 Requirements for pass-through entities.

(b) Non-construction performance reports. The Federal awarding agency must use standard, OMB-approved data elements for collection of performance information (including performance progress reports, Research Performance Progress Report, or such future collections as may be approved by OMB and listed on the OMB Web site).

(1) The non-Federal entity must submit performance reports at the interval required by the Federal awarding agency or pass-through entity to best inform improvements in program outcomes and productivity. Intervals must be no less frequent than annually nor more frequent than quarterly except in unusual circumstances, for example where more frequent reporting is necessary for the effective monitoring of the Federal award or could significantly affect program outcomes. Annual reports must be due 90 calendar days after the reporting period; quarterly or semiannual reports must be due 30 calendar days after the reporting period. Alternatively, the Federal awarding agency or pass-through entity may require annual reports before the anniversary dates of multiple year Federal awards. The final performance report will be due 90 calendar days after the period of performance end date. If a justified request is submitted by a non-Federal entity, the Federal agency may extend the due date for any performance report.

(2) The non-Federal entity must submit performance reports using OMB-approved government wide standard information collections when providing performance information. As appropriate in accordance with above mentioned information collections, these reports will contain, for each Federal award, brief information on the following unless other collections are approved by OMB. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to cooperative agreements, must be kept available to personnel authorized to examine EPA accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report, or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 16 \$13,921,000; FY 17 est \$13,805,000; and FY 18 Estimate Not Available - FY16 \$13,921,000; FY17 \$13,805,000 FY18 We do not have an estimated budget amount at this time. This information will be updated when we receive further guidance.

Range and Average of Financial Assistance:

\$16,000 to \$350,000; average of \$200,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: EPA's Lead Risk Reduction program contributes to the goal of eliminating childhood lead poisoning by: Establishing a national pool of certified firms and individuals who are trained to carry out renovation and repair and painting projects while adhering to the lead-safe work practice standards, and to minimize lead dust hazards created in the course of such projects; Establishing standards governing lead hazard identification and abatement practices and maintaining a national pool of professionals trained and certified to implement those standards; and, Providing information and outreach to housing occupants and the public so they can make informed decisions and take actions about lead hazards in their homes. Fiscal Year 2017: EPA's Lead Risk Reduction program contributes to the goal of eliminating childhood lead poisoning by: Establishing a national pool of certified firms and individuals who are trained to carry out renovation and repair and painting projects while adhering to the lead-safe work practice standards, and to minimize lead dust hazards created in the course of such projects; Establishing standards governing lead hazard identification and abatement practices and maintaining a national pool of professionals trained and certified to implement those standards; and, Providing information and outreach to housing occupants and the public so they can make informed decisions and take actions about lead hazards in their homes. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (2 CFR 200); Environmental Protection Agency. "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis; Order No. NTIS UB/031.

Regional or Local Office:

See Regional Agency Offices. Regional contacts for the EPA Lead Program can be found at <http://www2.epa.gov/lead/where-you-live>.

Headquarters Office:

Michelle Price, National Program Chemicals Division 7404T, Office of Pollution Prevention and Toxics, Environmental Protection Agency, 1200 Pennsylvania Ave. NW, Washington, District of Columbia 20460 Email: price.michelle@epa.gov Phone: (202) 566-0744.

Website Address:

<http://www.epa.gov/lead>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Since FY93 EPA has provided financial assistance to the States, Territories and Indian Tribes aimed at the development, implementation and enforcement of approved programs under the final EPA lead-based paint activities training and certification rule (40 CFR part 745, subpart L). The following is a list of previously funded activities: (a) core lead-based paint

activities and enforcement capacity development; (b) developing the appropriate infrastructure to administer and enforce a lead-based paint activities program successfully; (c) overseeing the conduct of contractors engaged in lead-based paint activities; (d) overseeing and controlling training program activities; (e) ensuring compliance with requirements for post-abatement clearance sampling; (f) monitoring compliance with the work practice standards of regulations for the conduct of abatement; (g) implementing lead-based paint compliance assistance; (h) implementing the lead-based paint activities sampling techniques; and (i) implementing lead-based paint activities tracking tips and complaints efforts. Fiscal Year 2017: Since FY93 EPA has provided financial assistance to the States, Territories and Indian Tribes aimed at the development, implementation and enforcement of approved programs under the final EPA lead-based paint activities training and certification rule (40 CFR part 745, subpart L). The following is a list of previously funded activities: (a) core lead-based paint activities and enforcement capacity development; (b) developing the appropriate infrastructure to administer and enforce a lead-based paint activities program successfully; (c) overseeing the conduct of contractors engaged in lead-based paint activities; (d) overseeing and controlling training program activities; (e) ensuring compliance with requirements for post-abatement clearance sampling; (f) monitoring compliance with the work practice standards of regulations for the conduct of abatement; (g) implementing lead-based paint compliance assistance; (h) implementing the lead-based paint activities sampling techniques; and (i) implementing lead-based paint activities tracking tips and complaints efforts. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Regional contacts for the EPA lead program can be found at <http://www.epa.gov/lead/epa-regional-contacts>.

66.708 POLLUTION PREVENTION GRANTS PROGRAM

(P2 Grant Program)

FEDERAL AGENCY:

Office of Chemical Safety and Pollution Prevention, Environmental Protection Agency

AUTHORIZATION:

Pollution Prevention Act of 1990, Section 6605, Public Law 101-508.

OBJECTIVES:

CFDA announcement 66.708 applies to the Pollution Prevention (P2) and Pollution Prevention Information Network (PPIN) grant programs.

Both programs were enacted under the Pollution Prevention Act of 1990 to support projects that use pollution prevention techniques to reduce and/or eliminate pollution from air, water and/or land prior to performing recycling, reuse or clean up.

The P2 grant program funds grants and cooperative agreements that provide pollution prevention technical assistance services and/or training to businesses. The PPIN grant program funds grants and cooperative agreements through a national network of Pollution Prevention Resource Exchange (P2Rx) Centers. The Centers work to deliver pollution prevention information, resources and tools to state technical assistance providers, businesses and industry.

Funding Priorities for FY 2015:

1. To help businesses adopt P2 techniques into day-to-day operations to reduce or eliminate harmful pollutants from entering the air, water or land;
2. To encourage education and training in P2/source reduction techniques and applications; and
3. Support P2 information sharing among State and local technical assistance programs, businesses, and industry.

Funding priorities in 2016:

1. To help businesses adopt P2 techniques into day-to-day operations to reduce or eliminate harmful pollutants from entering the air, water or land;

2. To encourage education and training in P2/source reduction techniques and applications through applying one or more of the P2 Program's National Emphasis Areas - 1) Climate Change Mitigation/Prevention of Greenhouse Gas Emissions; 2) Food Manufacturing and Processing; and 3) State or Community Approaches to Hazardous Materials Source Reduction; and

3. Support P2 information sharing among State and local technical assistance programs, businesses, and industry.

Funding Priority - Fiscal Year 2017:

1. To help businesses adopt P2 techniques into day-to-day operations to reduce or eliminate harmful pollutants from entering the air, water or land; 2. To encourage education and/or training in P2/source reduction techniques by adopting one or more of the P2 Program's National Emphasis Areas (NEAs). NEAs will be described in anticipated grant solicitation announcements. 3. To support P2 information sharing among States, federally-recognized tribes, local technical assistance programs, businesses, and industry.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding under the P2 and PPIN grant programs is supported through Congressional appropriation. The programs support P2 projects that address the transfer of potentially harmful pollutants across all environmental media: air, water, and land. The programs also support the establishment and expansion of State and Tribal P2 programs under various topic areas, some which are: industrial toxics, agriculture, energy and water conservation, and transportation.

More specifically, the P2 grant program supports P2 approaches and methodologies that focus on: institutionalizing P2 as an environmental management method, helping businesses establish prevention goals, providing on-site technical assistance or training to businesses, supporting outreach and research endeavors, and supporting data collection and analysis to curb environmental inefficiencies while increasing awareness of P2.

Under the PPIN program, EPA supports projects that help to: coordinate work among State and local technical assistance providers, disseminate P2 information, offer training to State and local technical assistance providers, businesses, and industry, promote new and innovative P2 technologies, and take a broad reading of what P2 activities are occurring across the nation in order to minimize duplication of effort.

The P2 and PPIN grant programs do not support projects that focus on recycling, reuse, waste removal or any activity which alters the physical, chemical, or biological characteristics or the volume of a hazardous substance, pollutant, or contaminant through a process or activity which is not integral to and necessary for the production of a product or essential to providing a service. For more information, refer to PPA Section 6603 and 40 CFR Sections 35.343 and 35.662.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Eligible applicants include the 50 states, the District of Columbia, the U.S. Virgin Islands, the Commonwealth of Puerto Rico, any territory or possession of the United States, any agency or instrumentality of a state, including state colleges and universities, and federally-recognized Indian tribes that meet the requirements for treatment in a manner similar to a state as described in 40 CFR 35.663, and Intertribal Consortia that meet the requirements in 40 CFR 35.504.

Beneficiary Eligibility:

State agencies, State colleges and universities that are instrumentalities of the State and federally-recognized Tribes are encouraged to establish partnerships with businesses and environmental assistance providers to deliver seamless P2 assistance. The most successful applicants will be those that make the most efficient use of government funding. In many cases, this has been accomplished through partnerships. As a result, those who are eligible can provide P2 benefits to the following entities: states, interstate and local agencies or organizations/universities, federally-recognized Tribes, intertribal consortia, public or private nonprofit organizations/institutions, private businesses, quasi public nonprofit organizations, and the general public.

Credentials/Documentation:

In cases where the applicants credentials are not clear, the applicant must provide proof that the applicant is indeed a state agency/organization, federally-recognized tribe, or intertribal consortium. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. If the applicant does not know who their Single Point of Contact is, they may call the appropriate EPA regional coordinator listed in the Information Contacts section, or call the EPA Headquarters Grant Policy Information and Training Branch at 202-564-5325. Federally-recognized Tribal governments are not required to comply with this procedure. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for general information may be made to EPA Headquarters or to the appropriate EPA regional pollution prevention program office listed in Appendix IV of this Catalog.

Under the P2 grant program, proposals and applications must be submitted to the appropriate EPA Regional Pollution Prevention Program office in order to be considered for an award.

Under the PPIN grant program, proposals and applications must be submitted to EPA Headquarters in order to be considered for an award.

Submitted materials must abide by EPA grant policy and programmatic requirements in order to be considered for funding.

Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Under the P2 grant program - FY 2016 and FY 2017 grant proposals were due to the applicable regional P2 program office on May 9, 2016.

Under the PPIN grant program - FY 2016 and FY 2017 grant proposals were due to EPA Headquarters on May 17, 2016.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Pollution Prevention Act of 1990. The statutory formula for P2 and PPIN grants is authorized by the Pollution Prevention Act of 1990. Organizations receiving grant funds through the P2 and PPIN grant programs are required to match federal funds by at least 50 percent. The federal government will provide half of the total allowable cost of the project, and the state will provide the other half. Thus, a federal grant of \$100,000 would support a total allowable project cost of \$200,000, with the state providing the remaining half equal to \$100,000. State contributions may include: dollars, in kind goods and services, and/or third party contributions. In a detailed budget, the use of the matching funds must be documented. The match requirement may be applied at the time of award or at specified intervals during the project period. The grant applicant must document in the itemized budget plan the type of match to be applied and how it will be used. The grant project officer in the Region or Headquarters will monitor the grant recipient's compliance. If the match requirement is not met or is not applied at specified intervals during the project period, federal funding will cease and the recipient will be held liable for all incurred costs.

With concern to P2 grants only: If a tribe or intertribal consortium is selected for an award and their workplan will be included in a performance partnership grant (PPG), then the required tribal match will be reduced to 5% as it applies to the total allowable P2 project cost. This reduction in match will be applied to the first two years of the PPG. After two years, the EPA Regional Administrator is required to determine through objective assessment whether the tribe or intertribal consortium still meets the socioeconomic needs test to warrant keeping the cost share at 5 percent.

Matching Requirements: Organizations receiving grant funds through the P2 and PPIN grant programs are required to match federal funds by at least 50 percent. The federal government will provide half of the total allowable cost of the project, and the state will provide the other half. Thus, a federal grant of \$100,000 would support a total allowable project cost of \$200,000, with the state providing the remaining half equal to \$100,000. State contributions may include: dollars, in kind goods and services, and/or third party contributions. In

a detailed budget, the use of the matching funds must be documented. The match requirement may be applied at the time of award or at specified intervals during the project period. The grant applicant must document in the itemized budget plan the type of match to be applied and how it will be used. The grant project officer in the Region or Headquarters will monitor the grant recipient's compliance. If the match requirement is not met or is not applied at specified intervals during the project period, federal funding will cease and the recipient will be held liable for all incurred costs.

With concern to P2 grants only: If a tribe or intertribal consortium is selected for an award and their workplan will be included in a performance partnership grant (PPG), then the required tribal match will be reduced to 5% as it applies to the total allowable P2 project cost. This reduction in match will be applied to the first two years of the PPG. After two years, the EPA Regional Administrator is required to determine through objective assessment whether the tribe or intertribal consortium still meets the socioeconomic needs test to warrant keeping the cost share at 5 percent. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

From FY 2016 through FY 2017, grant programs under this CFDA will award grants or cooperative agreements covering a funding period of two years. Funds will be released by a letter of credit. See the following for information on how assistance is awarded/released: Financial assistance under the P2 and PPIN grant programs is provided in the form of a grant or a cooperative agreement issued as a letter of credit by the federal government to the eligible grantee.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports, and expenditure/financial, equipment and invention reports. Progress reports are generally required at least semi-annually. Specific reporting requirements are also identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. The P2 and PPIN grant programs require grantees to submit budget information to the grant project officer. The information should be included in the grantees' semi-annual progress report. Organizations that are awarded grants will be required to submit semi-annual progress reports during the life of the project to the grant project officer. The report should summarize funds expended, tasks accomplished, and results achieved to date. A final technical report is also required at the end of the grant/cooperative agreement. The final technical report should explain the prospect for continuation, further development of the pollution prevention effort, and offer a project evaluation. The P2 and PPIN grant programs require the grantee to submit semi-annual progress reports to the grant project officer. The report should explain the work executed under the project(s), whether or not the project's milestones were achieved, and the staff and budget plan for any remaining work. Expenditure reports are required at the end of the grant/cooperative agreement. The grantee should submit this information to the grant project officer when submitting their final technical report. If the grantee proposes work that involves gathering, measuring, or tracking environmental data, the grantee is required to report its environmental performance to EPA. The information should be provided in the grantees' semi-annual progress report and final technical report. The reports should be submitted to the grant project officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal

audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, including all documents to support entries on accounting records to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 16 \$4,722,000; FY 17 est \$4,682,000; and FY 18 Estimate Not Available - (P2 and PPIN grants) FY 2015: \$4,435,830; FY 2016: \$4,722,000; FY 2017: \$4,682,000; FY 2018: EPA does not have an estimated budget amount at this time. This information will be updated when we receive further guidance.

Range and Average of Financial Assistance:

Historical range for P2 grants \$40,000 - \$360,000, reflecting a two-year funding period. Historical average award per year: \$100,000. Historical range for PPIN grants \$110,000 - \$220,000, reflecting a two-year funding period. Historical average award per year: \$220,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: During FY 2016 - FY 2017, EPA awarded 48 P2 grants to support a two-year funding period - \$4,009,070 awarded in FY 2016 and \$3,942,000 awarded in FY 2017. During FY 2016 - FY 2017, EPA awarded 8 PPIN grants to support a two-year funding period - \$746,473 awarded in FY 2016 and \$740,000 awarded in FY 2017. Fiscal Year 2017: During FY 2016 - FY 2017, EPA awarded 48 P2 grants to support a two-year funding period - \$4,009,070 awarded in FY 2016 and \$3,942,000 awarded in FY 2017. During FY 2016 - FY 2017, EPA awarded 8 PPIN grants to support a two-year funding period - \$746,473 awarded in FY 2016 and \$740,000 awarded in FY 2017. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Parts 29.32; 2 CFR 200 and 1500; and "EPA Assistance Administration Manual," available from the National Technical Information Services, Department of Commerce, Springfield, VA 22161 on a subscription basis, Order No.: NTIS UB/031.

Regional or Local Office:

See Regional Agency Offices. For P2 Grants, please contact the applicable regional contact: U.S. EPA Region 1 (CT, ME, MA, NH, RI, VT), 5 Post Office Square, Suite 100, Mail Code: OESO4-1, Boston, MA 02109-3912, Contact: Lee Fiske, phone: (617) 918-1847; email: fiske.lee@epa.gov. U.S. EPA Region 2 (NJ, NY, PR, U.S. Virgin Islands), 290 Broadway, 25th Floor, Mail Code: PSPMMB, New York, NY 10007-1866, Contact: Alex Peck, phone: (212) 637-3758; email: peck.alex@epa.gov. U.S. EPA Region 3 (DE, MD, PA, VA, WV, D.C.), 1650 Arch Street, Mail Code: 3E100, Philadelphia, PA 19103-2029, Contact: Mindy Lemoine, phone: (215) 814-2736; email: lemoine.mindy@epa.gov. U.S. EPA Region 4 (AL, FL, GA, KY, MS, NC, SC, TN), Atlanta Federal Center, 61 Forsyth Street S.W., Atlanta, GA 30303, Contact: Pamela Swingle, phone: (404) 562-8482; email: swingle.pamela@epa.gov. U.S. EPA Region 5 (IL, IN, MI, MN, OH, WI), 77 West Jackson Blvd, Mail Code: DW-8J, Chicago, IL 60604-3590; Contact: Christine Anderson, phone: (312) 886-9749; email: andersona.christine@epa.gov. U.S. EPA Region 6 (AR, LA, NM, OK, TX), 1445 Ross Ave, Suite 1200, Mail Code: 6EN-XP, Dallas, TX 75202, Contact: David Bond, phone: (214) 665-6431; email: bond.david@epa.gov. U.S. EPA Region 7 (IA, KS, MO, NE), 901 N. 5th Street, Mail Code: ARTD/TSPP, Kansas City, KS 66101, Contact: Lisa Thresher, phone: (913) 551-7964; email: thresher.lisa@epa.gov. U.S. EPA Region 8 (CO, MT, ND, SD, UT, WY), 1595 Wynkoop St, Mail Code: 8P-P3T, Denver, CO 80202-1129, Contact: Melissa Payan, phone: (303) 312-6511; email: payan.melissa@epa.gov. U.S. EPA Region 9 (AZ, CA, HI, NV, and Pacific Islands and Tribal Nations subject to U.S. law), 75 Hawthorne Street, Mail Code: WST-7, San Francisco, CA 94105,

Contact: Jessica Counts-Arnold, phone: 415-972-3288; email: counts-arnold.jessica@epa.gov, U.S. EPA Region 10 (AK, ID, OR, WA), 1200 Sixth Avenue, Suite 900, Mail Code: AWT-128, Contact: Robert Drake phone: (206) 553-4803; email: drake.robert@epa.gov.

Headquarters Office:

Michele Amhaz, Office of Pollution Prevention and Toxics, Pollution Prevention Division, U.S. Environmental Protection Agency, 1200 Pennsylvania, Ave., N.W., (MC 7409M), Washington, D.C. 20460. Contact: Michele Amhaz, phone: (202) 564-8857; fax: (202) 564-8901; email: amhaz.michele@epa.gov

, Washington, District of Columbia 20460 Email: amhaz.michele@epa.gov Phone: (202) 564 8857.

Website Address:

<http://epa.gov/p2/pubs/grants/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The following are examples of P2 grants supporting activities in FY 2016 and FY 2017. P2 Grant: EPA funded amount: \$64,940 in FY 2016 and \$64,140 in FY 2017. The grant recipient will evaluate above-ground storage tanks (ASTs) for pollution prevention and risk preparedness within high risk, flood-prone coastal communities due to both anticipated sea level rise and flooding from various storm events. The scope of the study will cover coastal communities in Rhode Island. Facilities in these areas will be initially identified using information housed within the grant recipients AST database. All information gathering will be driven by direct observation and using environmentally-based tools provide accuracy for the project. All relevant information will be integrated into a geographic information system. It is anticipated the grant recipient will perform five to ten on-site assessments. P2 Grant: EPA funded amount: \$104,103 in FY 2016 and \$92,920 in FY 2017. The grant recipient will develop a capacity-building project to encourage local municipalities to serve as educators and supporters of small businesses, helping the businesses institute best management practices to reduce use and storage of toxic materials and improve worker safety, profitability, and the safety of surrounding properties and neighborhoods. The grant recipient will target three local jurisdictions containing large numbers of small businesses in high flood-risk areas and demonstrating diverse types of population density and economic and demographic profiles. P2 Grant: EPA funded amount: \$80,000 in FY 2016 and \$80,000 in FY 2017. The grant recipient will design, produce and conduct four half-day workshops geared to Kentucky EXCEL members, Kentucky Pollution Prevention Center program participants, organizations and industries. The events will be free for participants and will be held in multiple locations to facilitate participation across the state and to target specific sectors and regions. The workshops will address source reduction and money savings for small businesses, efficient steam generation to realize greenhouse gas reductions, strategies for reductions in peak demand energy use and energy efficiency opportunities for facilities. The recipient will also develop a series of tools and resources for the workshops to help train participants. The tools and resources are: 1) Spreadsheets: Energy Profile and Cost Summary, Energy Report Card, Utility Bill Analysis Tracker; 2) Calculators: Energy Use Intensity Comparison, Thermostat Savings, Lighting Retrofit Recommendations Savings; 3) Surveys for: lighting, HVAC Systems, motors; and, 4) tip sheets for: lighting, HVAC systems, motors, installing and assessing building envelopes, and achieving compressed air energy savings. Post-workshop evaluation and additional one-to-one technical assistance will be provided by the grant recipient to participants on-demand and through a series of scheduled webinars. P2 Grant: EPA funded amount: \$109,330 in FY 2016 and \$108,957 in FY 2017. The grant recipient will provide technical assistance to chemical and food industry manufacturers participating in Louisianas Economy, Energy and Environment (E3) program - Value Louisiana. The assistance to manufacturers will include: 1) on-site assessments for businesses that address ways and means for reducing chemical usage, energy and water use, 2) sponsoring and facilitating educational, environmental workshops and trainings in partnership with the Zero Waste Network, 3) increasing the amount of member participation in Value Louisiana and the Louisiana Chemical Manufacturing

Imitative, and 4) leveraging faculty research to support source reduction opportunities and innovation for manufacturers. P2 Grant: EPA funded amount: \$134,372 in FY 2016 and \$162,860 in FY 2017. The grant recipient will work to improve the environmental performance of industrial facilities and businesses by conducting 44 confidential site assessments focused on Iowas greenhouse gas emitters, food manufacturers, and hospitals and chemical manufacturers that use large quantities of water or energy. The P2 assessments aim to generate cost-saving and environmental improvement recommendations for facilities with assistance from pollution prevention engineers or a P2 intern. The grant recipient will report aggregated environmental outcomes and the sectors served by the project. In particular, the grant recipient anticipates P2 assistance will reduce energy demand by increasing the implementation of energy efficiency (e.g., lighting retrofits, boiler and compressor efficiency, etc.) and heat recovery P2 strategies throughout production processes; reduce the amount of water use for production and mechanical operation by increasing water conservation; reducing effluent pollutant loadings and wastewater flows to onsite treatment facilities and municipal POTWs resulting in lower operation and maintenance costs; and reduce or eliminate toxics use and waste generation through less toxic alternatives and more efficient usage. Fiscal Year 2017: The following are examples of P2 grants supporting activities in FY 2016 and FY 2017. P2 Grant: EPA funded amount: \$64,940 in FY 2016 and \$64,140 in FY 2017. The grant recipient will evaluate above-ground storage tanks (ASTs) for pollution prevention and risk preparedness within high risk, flood-prone coastal communities due to both anticipated sea level rise and flooding from various storm events. The scope of the study will cover coastal communities in Rhode Island. Facilities in these areas will be initially identified using information housed within the grant recipients AST database. All information gathering will be driven by direct observation and using environmentally-based tools provide accuracy for the project. All relevant information will be integrated into a geographic information system. It is anticipated the grant recipient will perform five to ten on-site assessments. P2 Grant: EPA funded amount: \$104,103 in FY 2016 and \$92,920 in FY 2017. The grant recipient will develop a capacity-building project to encourage local municipalities to serve as educators and supporters of small businesses, helping the businesses institute best management practices to reduce use and storage of toxic materials and improve worker safety, profitability, and the safety of surrounding properties and neighborhoods. The grant recipient will target three local jurisdictions containing large numbers of small businesses in high flood-risk areas and demonstrating diverse types of population density and economic and demographic profiles. P2 Grant: EPA funded amount: \$80,000 in FY 2016 and \$80,000 in FY 2017. The grant recipient will design, produce and conduct four half-day workshops geared to Kentucky EXCEL members, Kentucky Pollution Prevention Center program participants, organizations and industries. The events will be free for participants and will be held in multiple locations to facilitate participation across the state and to target specific sectors and regions. The workshops will address source reduction and money savings for small businesses, efficient steam generation to realize greenhouse gas reductions, strategies for reductions in peak demand energy use and energy efficiency opportunities for facilities. The recipient will also develop a series of tools and resources for the workshops to help train participants. The tools and resources are: 1) Spreadsheets: Energy Profile and Cost Summary, Energy Report Card, Utility Bill Analysis Tracker; 2) Calculators: Energy Use Intensity Comparison, Thermostat Savings, Lighting Retrofit Recommendations Savings; 3) Surveys for: lighting, HVAC Systems, motors; and, 4) tip sheets for: lighting, HVAC systems, motors, installing and assessing building envelopes, and achieving compressed air energy savings. Post-workshop evaluation and additional one-to-one technical assistance will be provided by the grant recipient to participants on-demand and through a series of scheduled webinars. P2 Grant: EPA funded amount: \$109,330 in FY 2016 and \$108,957 in FY 2017. The grant recipient will provide technical assistance to chemical and food industry manufacturers participating in Louisianas Economy, Energy and Environment (E3) program - Value Louisiana. The assistance to manufacturers will include: 1) on-site assessments for businesses that address ways and means for reducing chemical usage, energy and water use, 2) sponsoring and facilitating educational, environmental workshops and trainings in partnership with the Zero Waste Network, 3) increasing the amount of member participation in Value Louisiana and the Louisiana Chemical Manufacturing Imitative, and 4) leveraging faculty research to support source reduction opportunities and innovation for manufacturers. P2 Grant: EPA funded amount:

\$134,372 in FY 2016 and \$162,860 in FY 2017. The grant recipient will work to improve the environmental performance of industrial facilities and businesses by conducting 44 confidential site assessments focused on low greenhouse gas emitters, food manufacturers, and hospitals and chemical manufacturers that use large quantities of water or energy. The P2 assessments aim to generate cost-saving and environmental improvement recommendations for facilities with assistance from pollution prevention engineers or a P2 intern. The grant recipient will report aggregated environmental outcomes and the sectors served by the project. In particular, the grant recipient anticipates P2 assistance will reduce energy demand by increasing the implementation of energy efficiency (e.g., lighting retrofits, boiler and compressor efficiency, etc.) and heat recovery P2 strategies throughout production processes; reduce the amount of water use for production and mechanical operation by increasing water conservation; reducing effluent pollutant loadings and wastewater flows to onsite treatment facilities and municipal POTWs resulting in lower operation and maintenance costs; and reduce or eliminate toxics use and waste generation through less toxic alternatives and more efficient usage. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in anticipated competitive announcements.

66.716 RESEARCH, DEVELOPMENT, MONITORING, PUBLIC EDUCATION, OUTREACH, TRAINING, DEMONSTRATIONS, AND STUDIES

RESEARCH, DEVELOPMENT, MONITORING, PUBLIC EDUCATION, OUTREACH, TRAINING, DEMONSTRATIONS, AND STUDIES (OCSPP)

FEDERAL AGENCY:

Office of Chemical Safety and Pollution Prevention, Environmental Protection Agency

AUTHORIZATION:

National Environmental Policy Act, Section 102(2)(F); Toxic Substances Control Act, Section 10, Public Law 106-74; Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), Section 20 & 23; Toxic Substances Control Act, Executive Order Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), Sections 20 and 23; Toxic Substances Control Act (TSCA), Section 10, as supplemented by Public Law No. 106-74; which granted EPA permanent authority to use STAG funds to award grants (other than PPGs) to entities eligible to receive grants under the Agency's statutes; supplemented, as appropriate, for international awards by NEPA Section 102(2)(F).

Toxic Substances Control Act (TSCA), Section 10, as supplemented by Public Law No. 106-74; which granted EPA permanent authority to use STAG funds to award grants (other than PPGs) to entities eligible to receive grants under the Agency's statutes; supplemented, as appropriate, for international awards by NEPA Section 102(2)(F).
, Section 10, Public Law 106-74.

OBJECTIVES:

The Objectives: For OPP: *To provide financial assistance to Pesticide Safety Education Programs (PSEPs) who will in turn use the funds on the education and training of certified pesticide applicators of restricted use pesticide for FY2016 and 2017. *To provide support to develop, revise or update Pesticide Safety Resources Projects (PSRP) to advance the protection of humans, communities, and ecosystems from risk of pesticide poisonings, illness and injury. *To provide support for technical assistance to the Tribal Pesticide Program Council (TPPC) For OPPT: To provide financial support to Organization for Economic Cooperation and Developments (OECDs) work on the environment, health, and safety programme that will support work on: test guidelines; the assessment of chemicals and nanomaterials; capacity building; facilitation of risk reduction; harmonization of regulatory oversight of the safety of products of modern biotechnology; the assessment and management of pesticides and biocides; chemical accidents and Pollutant Release and Transfer Registers. To provide support to improve tribal and EPA collaboration in improving environmental conditions and human health for tribal populations through chemical risk assessment, risk management, reduction and pollution prevention through the National Tribal Toxics Council

(NTTC) in order to: 1) Represent tribal interests in the development and implementation of chemical risk assessment, risk management and pollution prevention programs; and, 2) Facilitate tribal cooperation with EPA in resolving associated issues

Office of Pesticide Programs (OPP) FY 2016: Projects for safer use of pesticides, including worker protection, certification and training of pesticide applicators, protection of endangered species, tribal pesticide programs, integrated pest management, environmental stewardship, Pesticide Registration Renewal Act (PRIA 2) Partnership Grants; Pesticide Regulatory Education Program (PREP), and Integrated Pest Management in Schools Regional grants. Types of activities: develop and implement programs to reduce pesticide risks; analysis and development of pesticide safety programs and materials; National train-the-trainer program to educate farmworkers on how to reduce risks from pesticides; continue the National Farmworker Training(NFT); PREP education program for states and tribes; and implement verifiable integrated pest management in schools programs. Office of Pollution Prevention & Toxics (OPPT) FY 2016: Project for OECD's work on the environment, health, and safety program that will support work on: test guidelines, the assessment of chemicals and nanomaterials, capacity building, facilitation of risk reduction, chemical accidents and pollutant releases and transfer registers. Project to support and improve tribal and EPA coordination with the National Toxics Tribal Council, including representing tribal interests and facilitate tribal cooperation.

Office of Pollution Prevention & Toxics (OPPT) FY 2017 Project: Provide meeting support and coordination of tribes and tribal nations including scheduling consultation on rule and meetings. Also provide support for international work by the environment, health and safety program. Office of Pesticide Programs (OPP) FY 2017 Project: Provide support for meetings of EPA's partners and stakeholders for Field Implementation Meeting Support, planning, coordinating and managing activities.

Office of Pesticide Programs (OPP) FY 2018 National Strategies for Healthcare Providers Training Program will be an estimated amount up to \$2,500,000 for five years. The first year estimate \$500,000. The Office of Pollution Prevention and Toxics (OPPT) will continue to support the National Toxics Tribal Council (NTTC) in its second year, with an estimate of \$150,000. Will continue to support the OECD's Environment, Health and Safety program in its second year, with an estimate of \$130,000.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the

Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Eligible applicants for purposes of funding under these grant programs include any state of the United States, the District of Columbia, Native American Organizations, the Commonwealth of Puerto Rico, U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any agency or instrumentally thereof exclusive of local governments (includes public institutions of higher education and hospitals).

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, federally recognized Indian tribal governments and Native American Organizations, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, general public, and other Non-Governmental Organizations.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR Part 225 for State and local governments and Indian Tribes, 2 CFR Part 220 for educational institutions, and 2 CFR Part 230 for nonprofit institutions. Applicants may be requested to demonstrate that they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. Non-profits are required to demonstrate non-profit status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog.

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. EPA requires final applications to be made on Standard Form 424. Application kits should be requested from and submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, 1200 Pennsylvania Avenue, N. W., Washington, DC 20460 or the appropriate EPA Regional Office identified in Appendix IV of the Catalog. Detailed information and assistance, including the application kit, required forms, and a check list may also be found at: <http://www.epa.gov/ogd/AppKit/>. For competitive awards, Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For non-competitive awards, EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated

in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Procedures for awards are outlined in the 2 CFR parts 200 and 1500.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for research, development, monitoring, public education, training, demonstrations and studies. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds, and the Agency policy on the competitive grant process.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Funds are released by a letter of credit. See the following for information on how assistance is awarded/released: EPA normally funds grants and cooperative agreements on a 12 month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Funds are released by a letter of credit.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports, and expenditure/financial, equipment and invention reports. Progress reports are generally required at least semi-annually. Specific reporting requirement are also identified in the 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Under OPP, grantees are required to submit financial reports. Under OPPT, grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in 2 CFR Part 200. Uniform reporting requirements for institutions of higher education and other non-profit organizations. The recipient and the Grant Project Officer will develop a process for jointly evaluating and reporting progress and accomplishments under the work plan. The work plans and reporting must be consistent with the requirements of 2 CFR 200.328. A description of the evaluation process and a reporting schedule must be included in the work plan. The reporting schedule requires the recipient to submit, at a minimum, annual progress reports, during the life of the project, to the Grant Project Officer. Recipients should provide a discussion of accomplishments as measured against work plan commitments; a discussion of cumulative effectiveness of the work performed under all work plan components; a discussion of existing and potential problem areas; and suggestions for improvement, including where feasible, schedules for making improvements. If evaluation reveals the recipient has not made sufficient progress under the work plan, the Grant Project Officer and the recipient will negotiate a resolution. The recipient may request a review of the Grant Project Officer's decision under the dispute resolution process under (2 CFR 1500 Subpart E).

Uniform reporting requirement for state, local and tribal governments. The recipient and the Grant Project Officer will develop a process for jointly evaluating and reporting progress and accomplishments under the work plan.

The work plans and reporting must be consistent with the requirements of 2 CFR 200.328. A description of the evaluation process and a reporting schedule must be included in the work plan. The reporting schedule requires the recipient to submit, at a minimum, annual progress reports, during the life of the project, to the Grant Project Officer. Recipients should provide a discussion of accomplishments as measured against work plan commitments; a discussion of cumulative effectiveness of the work performed under all work plan components; a discussion of existing and potential problem areas; and suggestions for improvement, including where feasible, schedules for making improvements. If evaluation reveals the recipient has not made sufficient progress under the work plan, the Grant Project Officer and the recipient will negotiate a resolution that addresses the issues. If issues cannot be resolved through negotiation, the Grant Project Officer may take appropriate action. The recipient may request a review of the Grant Project Officer's decision under the dispute resolution process under 2 CFR 1500, Subpart E.

Semi-annual progress reports are required. Grantees should explain the work executed to date, provide environmental performance data, if available, describe the future direction of the project and describe staff and budget plans. Under OPP, grantees are required to submit expenditure reports. Under OPPT, grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 2 CFR 200.237. Under OPP, grantees are required to perform performance monitoring as part of their requirements. Under OPPT, grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 2 CFR 200.328.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The record retention requirements of 2 CFR 200.333 are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-2-1-304; 68-0108-0-1-304; 68-4310-0-0-000; 68-5374-0-0-000; 68-0107-2-1-304 - 068-0108 (EPM), 068-0103 (STAG), 068-0107 (S&T), 068-X4310 (FIFRA) and 068-X5374 (PRIA).

Obligations:

(Project Grants) FY 16 \$2,608,036; FY 17 est \$3,150,000; and FY 18 Estimate Not Available - The Office of Pesticide Programs(OPP) FY2016 \$2,458,036 The Office of Pollution Prevention and Toxics (OPPT)FY2016 - \$150,000 FY2017 \$2,965,000.00 For FY2017 for OPPT: \$185,000 FY2018 EPA does not have an estimated budget amount at this time. This information will be updated when we receive further guidance.

Range and Average of Financial Assistance:

\$1,000 to \$1,500,000. Average: \$500,000 For OPPT: \$80,000 to \$250,000.

Average: \$165,000.

TAFS Codes:

68-0103; 68-0108; 68-0107.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Office of Pesticide Programs (OPP) FY2016 accomplishments for the Pesticide Regulatory Education Program (PREP) include: PREP developed and delivered four training courses in 2016, with a total of 108 state, tribe, and territory managers and staff who participated. Courses included: Compliance & Enforcement in Asheville, North Carolina (Co-located with WPS PIRT Course); Worker Protection Standard Implementation in Fort Myers, Florida; Comprehensive Combo in Santa Fe, New Mexico; and Pesticide Management & Emerging Issues in Indianapolis, Indiana. PREP organized and ran four planning groups to develop the agendas for each course. All classroom presentations, agendas, participant lists, handouts, and post-course reports were catalogued in the PREP Archive. PREP web site was kept up to date with course schedules, email nomination dates, and participant selection dates. The PREP email list was updated in January 2017, as on an as-needed basis. Pesticide Education Resources Collaborative Accomplishments:1) Established advisory boards (one each for calendar years 2016 and 2017), standard operating procedures and policies, and a new website; 2) Engaged with stakeholders for input on resources needs; 3) determined resource gaps; 4) established a clearinghouse of existing materials; 5) developed materials to assist the regulated community in complying with the 2015 revised agricultural Worker Protection Standard: The Quick Reference Guide (in two formats), the "How to Comply" manual, fact sheet on when WPS training is needed, and a WPS "Train the Trainer" PowerPoint; 6) held first annual meeting of the advisory board and developed plans for materials and resource development in calendar year 2017. National Farmworker Training Program (AFOP) Accomplishments:1) Recruited 29 participating sites; 2) Increased its network of trainers to 218; 3) Provided training to 52,443 farmworkers on pesticide safety and heat stress prevention; 4) AFOP held its annual National Long Sleeve Shirt Drive during the National Farmworker Awareness Week, from March 26th through April 2nd; 5) Trained 500 women on Pesticide Exposure and Pregnancy (PEP); 6) Conducted a webinar "Farmworker Safety" to network of trainers; 7) Trained 2,879 farmworkers on heat stress prevention. The National Pesticide Information Center (NPIC) Accomplishments: 1) During the last year NPIC received 11,337 inquiries; 2) NPIC continues to develop new materials based on the most popular topics discussed with callers; 3) NPIC collaborated with the Centers for Disease Control & Prevention (CDC) to create a map of vector control districts; 4) NPIC and AAPCC co-developed an infographic about reading pesticide labels; 5) Developed four new fact sheets relying on up-to-date scientific and regulatory resources; 6) Developed and updated web apps such as the Herbicide Properties Tool (HPT) which evaluates persistence and the potential for movement of herbicides in the environment; 7) NPIC created 25 new web pages, developed 318 original posts, averaging six posts per week, and hosted a social media series on permaculture; 8) NPIC continued to modernize common pesticide questions and answers by expanding the FAQ web pages (FAQs) were also developed in response to increased interest about Zika and mosquito control). Tribal Pesticide Program Council (TPPC) Technical Support Accomplishments: 1) UC Davis Extension assumed the duties of providing technical support to the TPPC, and began building a relationship with tribal members. UCDE administered TPPC's biennial election on January 2016, at the first of two semi-annual, in person meetings held at the Ak-Chin Reservation in Maricopa, Arizona. The second in person meeting was hosted by the Navajo Nation in Flagstaff, Arizona in June. UCDE helped the TPPC establish key priorities for the year, administered monthly TPPC executive meeting calls, developed a TPPC website, and began developing an administrative process for the TPPC to store key documents. OPPT Accomplishments: National Toxics Tribal Council (NTTC): 1) Participated in monthly executive meetings, 2) Scheduled and managed 2 in-person meetings; one in DC and one in Indian country, 3) Drafted agenda items, provided logistical support, identified and ensured speakers, and wrote up meeting notes. And provided assistance to schedule meetings for Tribal consultation on OPPT regulatory actions. (University of Arizona) The accomplishments of the grant were the following: o Created a national sustainable program offering IPM certification and certificates for each key role involved in pest management for schools, offered free to the public. o Through the course of the project, increased the

knowledge of over 1,200 individuals on school IPM across 8 states, thereby impacting nearly 1.8 million students and 60,000 school staff. (Texas A&M) The accomplishments of the grant were the following: o Compiled all school IPM resources available nationwide into an organized online central repository, available free to the public. o Provided education on school IPM to over 275 individuals. (National Environmental Health Association) Awarded a \$241,036 grant to the National Environmental Health Association to utilize existing networks to increase the adoption of IPM in schools (Health Resources in Action) Awarded a grant to Health Resources in Action to conduct an impartial study on the economic impact of IPM in schools. Fiscal Year 2017: FY17 accomplishments for the Pesticide Regulatory Education Program - include: PREP developed and delivered four training courses in 2017, with a total of 113 state, tribe, and territory managers and staff who participated. Courses included: Pesticide Applicator Certification in Baltimore, Maryland; Pesticides and Water Quality in St. Paul, Minnesota; Public Health Pests in Seattle, Washington and will host the Laboratory Issues course in Denver, Colorado. PREP organized and ran four planning groups to develop the agendas for each course. All classroom presentations, agendas, participant lists, handouts, and post-course reports were catalogued in the PREP Archive. PREP web site was kept up to date with course schedules, email nomination dates, and participant selection dates, and the PREP email list was updated as-needed. The Tribal Pesticide Program Council (TPPC) Technical Support Accomplishments: 1) UC Davis Extension organized and facilitated two semiannual, in-person meetings this year in addition to facilitating meetings and providing administrative support. The first in-person meeting was hosted by the Pauma Band of Luiseno Indians in Pauma Valley, California, in October 2016. The second meeting was hosted by OPP in Crystal City, Virginia in March. UCDE also assisted the TPPC in making progress on the Pesticides in Indian Country Report that has been several years in the making, which documents key pesticide issues for tribes across the country. UCDE successfully organized TPPC membership information which included securing letters of appointment, revamped an action item list that contained outdated information, and helped tribal members identify their key issues for the year which include pollinator protection, providing tribal -specific bed bug information to tribes, and completing the Pesticide in Indian Country Report. OPPT Accomplishments: National Toxics Tribal Council (NTTC): 1) Participated in monthly executive meetings, 2) Scheduled and managed 2 in-person meetings; one in DC and one in Indian country, 3) Drafted agenda items, provided logistical support, identified and ensured speakers, and wrote up meeting notes, and 4) Set up tribal three major consultations meetings with OPPT management to provide tribes with information regarding regulatory actions. (Michigan State University) The accomplishments of the grant were the following: o Coordinated a bi-state school IPM coalition for Michigan and Indiana o Developed an interactive training program that enhanced the self-study manual currently utilized by the Michigan Department of Agriculture and Rural Development as the certification of IPM in Schools training. o Focus on underserved communities in large urban school districts to be used as demonstration schools, implementing IPM and offering technician training in three schools. o Trained 24 Michigan technicians on school IPM, impacting nearly 18,500 students. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Parts 29,32; 2 CFR 200 and 1500

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460. For program information contact: Office of Pesticide Programs - Venese Williams(703)306-0035 , williams.venese@EPA.gov; Office of Pollution Prevention and Toxics - Edna Kapust(202)564-8818 , kapust.edna@epa.gov, fax – 202-564-8813; and Office of Science Coordination Policy - Steven Knott(202) 564-0103, knott.steven@epa.gov, fax – 202-564-8452.

Headquarters Office:

Sharon Clark, USEPA Headquarters
Ariel Rios Building
1200 Pennsylvania Avenue, N. W.
Mail Code: 7401M

For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460. For program information contact: Office of Pesticide Programs - Venese Williams(703)306-0035 , williams.venese@EPA.gov; Office of Pollution Prevention and Toxics - Edna Kapust(202)564-8818 , kapust.edna@epa.gov, fax 202-564-8813; and Office of Science Coordination Policy - Steven Knott(202) 564-0103, knott.steven@epa.gov, fax 202-564-8452.

, Washington, District of Columbia 20460 Email: clark.sharon@epa.gov Phone: (202) 564- 3810.

Website Address:

<https://www.epa.gov/aboutepa/about-office-chemical-safety-and-pollution-prevention-ocspp>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development; 66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Projects funded in FY2016: National Pesticide Information Center (NPIC) National Farmworker Training Program (AFOP) Pesticide Regulatory Education Program (PREP) Tribal Pesticide Program Council (TPPC) Pesticide Education Resources Collaborative. Fiscal Year 2017: Projects funded in FY2017: Pesticide Regulatory Education Program (PREP) Pesticide Education Resources Collaborative(PREC) National Farmworker Training Program (AFOP) National Pesticide Information Center (NPIC) National Toxics Tribal Council (NTTC) OECD Environment, Health and Safety Program. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.717 SOURCE REDUCTION ASSISTANCE

FEDERAL AGENCY:

Office of Chemical Safety and Pollution Prevention, Environmental Protection Agency

AUTHORIZATION:

Toxic Substances Control Act, Section 10; Clean Air Act, Section 103(b); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Clean Water Act, Section 104(b)(3); Safe Drinking Water Act, Section 1442(a)(1)&(c); Solid Waste Disposal Act, Section 8001(a).

OBJECTIVES:

Under this CFDA announcement, EPA administers the Source Reduction Assistance (SRA) grant program. The goal of the SRA program is to provide grants to support pollution prevention (P2), source reduction and/or resource conservation activities. For purposes of this grant program, P2 is defined as any practice which reduces or eliminates the creation of pollutants through: increased efficiency in the use of raw materials, energy, water, or other resources, or protection of natural resources by conservation activities. EPA is interested in funding projects which assist in reducing hazardous substances, pollutants, or contaminants entering waste streams or otherwise released into the environment (including fugitive emissions) prior to recycling, treatment, or disposal. Grant activities may involve, but are not limited to the following:

equipment or technology modifications, process or procedure modifications, reformulation or redesign of products, substitution of raw materials, training, inventory control, etc. EPA is also interested in funding an organization to serve as a national coordinator of P2 technical services, information, resources, tools and training to meet the needs of businesses and state and tribal technical assistance providers (TAPs). Activities would also include strengthening collaboration among the state and tribal technical assistance providers, developing and evaluating P2 information and case studies, tools and products, amplifying P2 approaches and case studies, and marketing P2 information and services nationally.

Fiscal Year 2016: To support source reduction, pollution prevention and resource conservation activities that comply with the statutory authorities of the Clean Air Act, Section 103(b), as amended; Clean Water Act, Section 104(b)(3), as amended; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, as amended; Safe Drinking Water Act, Section 1442 (a)(1) and (c), as amended; Solid Waste Disposal Act, Section 8001 (a), as amended; and the Toxic Substances Control Act, Section 10, as amended.

Fiscal Year 2017: SRA assistance agreements must carry out activities within the terms of EPA's statutory authorities. The statutes authorize the agency to award grants or cooperative agreements using methods of: research, investigations, experiments, education, training, surveys, studies and/or demonstration of innovative techniques. SRA assistance agreement activities must relate to gathering or transferring information or advancing awareness. Proposals must emphasize this "learning" concept, as opposed to "fixing" an environmental problem using a well-established method.

For projects that will support demonstration activity, the project must involve new or experimental technologies, methods, or approaches, where the results of the project will be disseminated so that others can benefit from the knowledge gained in the demonstration project. A project that is accomplished through the performance of routine, traditional, or established practices, or a project that is simply intended to carry out a task rather than transfer information or advance the state of knowledge, however worthwhile the project might be, is not a demonstration. The applicant must clearly explain in the proposal how the pollution prevention technologies, methods, or approaches used are new or experimental and how the project otherwise meets the requirements of a demonstration as described in the applicable RFP. If your project uses technologies, methods or approaches that have been used previously in other geographic areas and/or business sectors, then your proposal must include an explanation as to how your demonstration project is different from such prior projects and is a true demonstration. The applicant must also explain what will be learned in the industry from the demonstration project. If your project is truly a demonstration and complies with other eligibility factors then it will be considered for funding.

Proposals that principally support recycling, clean-up, treatment, disposal and/or energy recovery efforts (e.g., incinerating solid waste to generate electricity) will not be considered for funding.

Funding Priority - Fiscal Year 2018: SRA assistance agreements must carry out activities within the terms of EPA's statutory authorities. The statutes authorize the agency to award grants or cooperative agreements using methods of: research, investigations, experiments, education, training, surveys, studies and/or demonstration of innovative techniques. SRA assistance agreement activities must relate to gathering or transferring information or advancing awareness. Proposals must emphasize this "learning" concept, as opposed to "fixing" an environmental problem using a well-established method. For projects that will support demonstration activity, the project must involve new or experimental technologies, methods, or approaches, where the results of the project will be disseminated so that others can benefit from the knowledge gained in the demonstration project. A project that is accomplished through the performance of routine, traditional, or established practices, or a project that is simply intended to carry out a task rather than transfer information or advance the state of knowledge, however worthwhile the project might be, is not a demonstration. The applicant must clearly explain in the proposal how the pollution prevention technologies, methods, or approaches used are new or experimental and how the project otherwise meets the requirements of a

demonstration as described in the applicable RFP. If your project uses technologies, methods or approaches that have been used previously in other geographic areas and/or business sectors, then your proposal must include an explanation as to how your demonstration project is different from such prior projects and is a true demonstration. The applicant must also explain what will be learned in the industry from the demonstration project. If your project is truly a demonstration and complies with other eligibility factors then it will be considered for funding. Proposals that principally support recycling, clean-up, treatment, disposal and/or energy recovery efforts (e.g., incinerating solid waste to generate electricity) will not be considered for funding.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

EPA is interested in supporting P2, source reduction and resource conservation projects that will provide an overall benefit to the environment by preventing pollutants at the source. Source reduction projects can include but are not limited to: 1) improving facility and institutional operations that reduce pollutant use and exposure, 2) reformulating and procuring products to reduce toxic constituents, 3) providing direct technical assistance to businesses and other organizations, 4) encouraging green product design and manufacturing, 5) conducting outreach, 6) collecting and analyzing P2 data, and 7) integrating P2 concepts into state, regional and tribal environmental multimedia programs. Grant activities must be consistent with the grant guidelines of the statutory authorities listed for this program. The statutory authorities are listed in this CFDA announcement and in the applicable grant solicitations. The statutes authorize EPA to award assistance agreements to support the following activities: "research, investigations, experiments, education/training, demonstrations of new or innovative techniques, surveys and studies." These activities relate generally to the gathering or transferring of information or advancing knowledge. Grant proposals should emphasize this "learning" concept, as opposed to "fixing" an environmental problem using a well-established method. For example, a proposal to install a more energy efficient heating system in the applicant's facility in order to conserve energy, would not, in itself fall within research, studies, demonstrations and would be viewed as a well-established solution not eligible for grant funding. All activities in the applicant's proposal must be eligible under one or more of the statutory authorities listed.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Eligible applicants for purposes of funding under these grant programs include any state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any agency or instrumentally thereof exclusive of local governments (includes public institutions of higher education and hospitals), city or township governments, independent school district governments, state controlled institutions of higher education, non-profit organizations (other than institutions of higher education), private institutions of higher education, community-based grassroots organizations, and federally-recognized tribes and intertribal consortia.

Beneficiary Eligibility:

Any state, federally-recognized tribal government, intertribal consortia, college/university, non-profit organization, local government or independent school district.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR Part 225 for State and local governments and federally recognized tribal governments, 2 CFR Part 220 for educational institutions, 2 CFR Part 230 for nonprofit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Standard grant forms are required, as provided by the EPA and must be used for both grant programs. Forms may be obtained via the Internet by visiting: <http://www.epa.gov/ogd/AppKit/application.htm>.

Please consult the SRA and RPPINC program notices, posted to EPA's Pollution Prevention Grant web site at <http://www.epa.gov/p2/pubs/grants> for more information. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. If the applicant does not know who their Single Point of Contact is, they should contact the appropriate EPA Regional Pollution Prevention Program office listed in the Information Contacts section, or call the EPA Headquarters Grant Policy Information and Training Branch at 202-564-5325. Federally-recognized Tribal governments are not required to comply with this procedure. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This CFDA program description is designed to benefit national and regional source reduction, pollution prevention, and resource conservation activities. Grant proposals must meet the requirements outlined in the national and regional Source Reduction Assistance solicitation notices. EPA will conduct the grant competition by posting a Request for Proposals (RFP) on-line. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Source Reduction Assistance grants and cooperative agreements are subject to the availability of funds. EPA will issue awards contingent upon the criteria specified in this CFDA program description and within the corresponding solicitations. EPA reserves the right to reject any or all proposals and issue no awards. Procedures for awards are outlined in the 2 CFR Parts 200 and 1500.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Proposals were due under the FY 2016 - FY 2017 Source Reduction Assistance on May 9, 2016.

The Regional Pollution Prevention Information Network Centers program did not run a competition.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: This program does not have a statutory formula. However, EPA requires a minimum 5% match of the total allowable project cost. For example, the Federal government will provide 95% of the total allowable cost of the project and the recipient will provide the remaining 5%. The match may be issued in the form of cash and/or in-kind contributions, e.g., donated services, charges for real property and equipment or the value of goods and services directly benefiting the EPA funded project. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance agreements are awarded for an initial project period of 2 years. See the following for information on how assistance is awarded/released: Grant and cooperative agreement funds issued under the SRA and RPPINC grant programs are released by letter of credit to the eligible grant recipient.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports, and expenditure/financial, equipment and inventory reports. Progress reports are generally required at least semi-annually. Specific reporting requirements are also identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Budget information should be submitted to the grant project officer. This information should be included in the semi-annual progress report and the final technical report. Uniform reporting requirements for institutions of higher education and other non-profit organizations. The recipient and the Grant Project Officer will develop a process for jointly evaluating and reporting progress and accomplishments under the work plan. The work plans and reporting must be consistent with the requirements of 2 CFR 200.328. A description of the evaluation process and a reporting schedule must be included in the work plan. The reporting schedule requires the recipient to submit, at a minimum, annual progress reports, during the life of the project, to the Grant Project Officer. Recipients should provide a discussion of accomplishments as measured against work plan commitments; a discussion of cumulative effectiveness of the work performed under all work plan components; a discussion of existing and potential problem areas; and suggestions for improvement, including where feasible, schedules for making improvements. If evaluation reveals the recipient has not made sufficient progress under the work plan, the Grant Project Officer and the recipient will negotiate a resolution. The recipient may request a review of the Grant Project Officer's decision under the dispute resolution process under (2 CFR 1500 Subpart E).

Uniform reporting requirement for state, local and tribal governments. The recipient and the Grant Project Officer will develop a process for jointly evaluating and reporting progress and accomplishments under the work plan. The work plans and reporting must be consistent with the requirements of 2 CFR 200.328. A description of the evaluation process and a reporting schedule must be included in the work plan. The reporting schedule requires the recipient to submit, at a minimum, annual progress reports, during the life of the project, to the Grant Project Officer. Recipients should provide a discussion of accomplishments as measured against work plan commitments; a discussion of cumulative effectiveness of the work performed under all work plan

components; a discussion of existing and potential problem areas; and suggestions for improvement, including where feasible, schedules for making improvements. If evaluation reveals the recipient has not made sufficient progress under the work plan, the Grant Project Officer and the recipient will negotiate a resolution that addresses the issues. If issues cannot be resolved through negotiation, the Grant Project Officer may take appropriate action. The recipient may request a review of the Grant Project Officer's decision under the dispute resolution process under 2 CFR 1500, Subpart E.

Semi-annual progress reports are required. Grantees should explain the work executed to date, provide environmental performance data, if available, describe the future direction of the project and describe staff and budget plans. Expenditure information should be included in the semi-annual progress report and the final technical report. Performance monitoring is required if the grantee produces environmental data. In the semi-annual progress report and in the final technical report the grantee should explain how environmental data was gathered, measured, tracked and documented.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, including all documents to support entries on accounting records to substantiate charges to each assistance agreement, must be kept available to personnel authorized to examine EPA assistance agreement accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304 - 068-0108 (EPM).

Obligations:

(Project Grants) FY 16 \$1,076,977; FY 17 est \$1,164,600; and FY 18 Estimate Not Available - SRA program: FY 2016 obligation \$1,076,977; FY 2017: obligation \$1,164,600; FY 2018: We do not have an estimated budget amount at this time. This information will be updated when we receive further guidance.

Range and Average of Financial Assistance:

SRA award range during FY 2016-2017: \$20,000 - \$260,000. Average award amount: \$110,000. For FY 2018, EPA does not have an estimated budget amount at this time. Information will be updated when the agency receives further information.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016-FY 2017, the SRA program awarded 13 grants totaling \$1,076,977 in FY 2016 and \$1,164,600 in FY 2017. Similar accomplishments are anticipated in FY 2018. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Parts 29,32; 2 CFR 200 and 1500

Regional or Local Office:

See Regional Agency Offices. For the SRA program, please contact the applicable EPA Region: U.S. EPA Region 1 (CT, ME, MA, NH, RI, VT), 5 Post Office Square, Suite 100, Mail Code: OES04-1 Boston, MA 02109-3912; Contact: Lee Fiske, phone: (617) 918-1847; email: fiske.lee@epa.gov. U.S. EPA Region 2 (NJ, NY, PR, U.S. Virgin Islands), 290 Broadway, 25th Floor, Mail Code: SPMMB New York, NY 10007-1866; Contact: Alex Peck, phone: (212) 637-3758; email: peck.alex@epa.gov. U.S. EPA Region 3 (DE, MD, PA, VA, WV, D.C.), 1650 Arch Street, Mail Code: 3EA40, Philadelphia, PA 19103-2029; Contact: Mindy Lemoine, phone: (215) 814-2736; email: lemoine.mindy@epa.gov. U.S. EPA Region 4 (AL, FL, GA, KY, MS, NC, SC, TN), Atlanta Federal Center, 61 Forsyth Street S.W. Atlanta, GA 30303; Contact: Daphne Wilson, phone: (404) 562-9098; email: wilson.daphne@epa.gov. U.S. EPA Region 5 (IL, IN, MI, MN, OH, WI), 77 West Jackson Blvd, Mail Code: DW-8J, Chicago, IL 60604-3590; Contact: Christine Anderson, phone: (312) 866-9749; email: andersona.christine@epa.gov. U.S. EPA Region 6 (AR, LA, NM, OK, TX), 1445 Ross Ave, Suite 1200, Mail Code: 6EN-XP, Dallas, TX 75202; Contact: Annette Smith, phone: (214) 665-2127; email: smith.annette@epa.gov. U.S. EPA Region 7 (IA, KS, MO, NE), 901 N. 5th Street, Mail Code: ARTD/TSPP, Kansas City, KS 66101; Contact: Lisa Thresher, phone: (913) 551-7964; email: thresher.lisa@epa.gov. U.S. EPA Region 8 (CO, MT, ND, SD, UT, WY), 1595 Wynkoop St, Denver, CO 80202-2466; Mail Code: 8P-P3T, Contact: Melissa Payan, phone: (303) 312-6511; email: payan.melissa@epa.gov. U.S. EPA Region 9 (AZ, CA, HI, NV, and Pacific Islands and Tribal Nations subject to U.S. law), 75 Hawthorne Street, Mail Code: WST-7, San Francisco, CA 94105; Contact: Jessica Counts-Arnold, phone: 415-972-3288; email: counts-arnold.jessica@epa.gov. U.S. EPA Region 10 (AK, ID, OR, WA), 1200 Sixth Avenue, Suite 900, Seattle, WA 98101; Mail Code: AWT-128, Contact: Robert Drake, phone: (206) 553-4803; email: drake.robert@epa.gov. For the P2 Hub Grant Program, please contact: U.S. EPA HQ 1200 Pennsylvania Ave, NW, Mail Code 7409M, Washington, DC, 20460; Contact: Scott Drewes, phone: (202) 564-8833; email: drewes.scott@epa.gov.

Headquarters Office:

Michele Amhaz, Office of Pollution Prevention and Toxics, Pollution Prevention Division, Environmental Protection Agency, 1200 Pennsylvania Ave., N.W., (7409-M), Washington, District of Columbia 20460 Email: amhaz.michele@epa.gov Phone: (202) 564-8857.

Website Address:

<http://www.epa.gov/p2/pubs/grants/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Examples of SRA grant awards issued in FY 2016: EPA funded amount: \$259,000 (over a two year funding period). The grant recipient will partner with the Western NY Sustainable Business Roundtable and others to provide technical assistance in P2 to western NY businesses. The grant recipient will focus its outreach on food manufacturing facilities. The grant recipient and its project partners will conduct assessments at two manufacturing facilities and will provide ten workshops to participating companies. Case studies and best management practices will be shared with businesses throughout western NY. EPA funded amount: \$60,000 (FY 2016). The grant recipient will provide tech assistance to small (10-20) and large (2-6) businesses to communities in Minneapolis to identify sources of air emissions (using Toxic Release Inventory data and permit reviews); develop strategies to reduce air releases into surrounding neighborhoods; and initiate efforts to implement reduction options. City of Minneapolis' resources will be used for analyzing public business records and air monitoring data as a source for financial assistance to encourage project implementation. EPA funded amount: \$129,000 (over a two year funding period). The grant recipient will provide educational and technical assistance in green engineering to Kentucky small and medium-sized manufacturers to minimize material and energy resource use, promote sustainability, prevent pollution, reduce waste and increase cost savings to manufacturers. EPA funded amount: \$84,000 (FY 2016). The grant recipient will identify six P2 internships for an Iowa Economy, Energy and Environment (E3) project; provide source reduction assistance to Toxic Release Inventory (TRI) reporters; and hold a TRI presentation as part of a P2 compliance-based workshop. Activities will be used to reduce environmental

impacts while producing significant cost savings. EPA funded amount \$54,000 (FY 2016). The grant recipient will conduct P2 pilots at Detroit metal plating facilities using research to identify new innovations; survey metal finishing facilities; conduct P2 audits for facilities based on survey results; develop a reference book containing a list of P2 options categorized by topic and a metal finishing process; and a guide for implementation and measurement. Fiscal Year 2017: Examples of SRA grant projects identified above for FY 2016 continued during the FY 2017 funding cycle. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.801 HAZARDOUS WASTE MANAGEMENT STATE PROGRAM SUPPORT

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Solid Waste Disposal Act, Section 3011(a)&(c), Public Law 94-580, 42 U.S.C 6901.

OBJECTIVES:

To assist State governments in the development and implementation of an authorized hazardous waste management program for the purpose of controlling the generation, transportation, treatment, storage and disposal of hazardous wastes.

Funding Priority - Fiscal Year 2015: Assistance to State governments in the development and implementation of an authorized hazardous waste management program for the purpose of controlling the generation, transportation, treatment, storage and disposal of hazardous waste.

Funding Priority - Fiscal Year 2016: Assistance to State governments in the development and implementation of an authorized hazardous waste management program for the purpose of controlling the generation, transportation, treatment, storage and disposal of hazardous waste.

Funding Priority - Fiscal Year 2017: Assistance to State governments in the development and implementation of an authorized hazardous waste management program for the purpose of controlling the generation, transportation, treatment, storage and disposal of hazardous waste.

Funding Priority - Fiscal Year 2018: Assistance to State governments in the development and implementation of an authorized hazardous waste management program for the purpose of controlling the generation, transportation, treatment, storage and disposal of hazardous waste.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Under Section 3011, funds may be used for development and implementation of authorized State hazardous waste programs.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State agencies responsible for hazardous waste management within the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and interstate agencies established by the appropriate states and

approved by the EPA Administrator under Section 1005 of the Solid Waste Disposal Act are eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to a particular subset of eligible applicants consistent with the Agency's competition policy.

Beneficiary Eligibility:

State agencies responsible for hazardous waste management within the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and interstate agencies established by the appropriate states and approved by the EPA Administrator under Section 1005 of the Solid Waste Disposal Act.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200, Subpart E for State and local governments. The State work plan is to be sent to the appropriate EPA Regional Office by the date 60 days before the beginning of the budget period (typically by August 1 of each year, if the budget period is the Federal fiscal year). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>. The hazardous waste management staff of the appropriate EPA Regional Office (listed in the address appendix of the Catalog) is available to assist in preparation of the application. This program is excluded from coverage under 2 CFR 200 and 1500.

Award Procedure:

EPA reviews each application. They shall be subjected to administrative evaluation to determine adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevancy of the project or program. For competitive awards, EPA will review applications, proposals or submissions in accordance with the terms, conditions, and criteria in the solicitation/announcement of the competitive funding opportunity. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For applicants under Section 3011, 60 days.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

For applicants under Section 3011, grants and cooperative agreements are renewed annually, provided all requirements are met.

Formula and Matching Requirements:

Statutory Formula: Title Solid Waste Disposal Act. This program operates under a formula. Statistical factors used for allocation include: 1) State population, as determined by the most recent Census; 2) number of hazardous waste management facilities in States, based on studies conducted by EPA, Office of Resource Conservation and Recovery; 3) number of Large Quantity Generators (LQGs), based on studies conducted by EPA, Office of Resource

Conservation and Recovery; and 4) the number of facilities requiring corrective action, based on studies conducted by EPA, Office of Solid Waste. By law, all States and trust territories are eligible for funding. The formula is based on each State's population (17 percent); (LQG) number of facilities producing amount of waste produced (16 percent); number of hazardous waste management facilities (52 percent); and the number of facilities requiring corrective action (15 percent).

Matching Requirements: By law, all States and trust territories are eligible for funding. This program operates under a formula. The formula is based on each State's population (17 percent); (LQGs) number of facilities producing waste over a defined amount (12 percent); number of hazardous waste management facilities that could require permitting or enforcement work (37 percent); and the number of facilities requiring corrective action (34 percent).

Matching Requirement: Maximum Federal share of approved costs is 75 percent; State must provide at least 25 percent of approved costs. Regional Administrators will determine each State allotment.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grants are normally funded on a 12 month basis (Federal or State fiscal year). The total project period varies according to program requirements. Assistance is awarded by advance payment method. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required on an annual basis. Cash reports are required. Interim and final progress reports are required. No expenditure reports are required. Performance monitoring is conducted.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial reports, including all documents to support entries on the accounting records and to substantiate charges to each grant or cooperative agreement must be kept available to personnel authorized to examine EPA grant accounts in an institution. All records must be maintained for 3 years from the date of the submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 16 \$98,994,000; FY 17 est \$99,503,000; and FY 18 est \$69,652,000 - FY 16 Actual \$98,994,100; FY 17 Annualized CR \$99,503,000; FY 18 Pres Bud 69,652,000.

Range and Average of Financial Assistance:

Most fiscal years range \$350,000 to \$8,500,000; average: \$2,000,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In fiscal year 2016, States continued to develop and implement programs providing cradle to grave control of hazardous wastes (under Section 3011). Fiscal Year 2017: In fiscal year 2017, States continued to develop and implement programs providing cradle to grave control of hazardous wastes (under Section 3011). Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency, 2CFR 200 and 1500 as applicable; State and Local Assistance, EPA, 40 CFR Part 35.

Regional or Local Office:

See Regional Agency Offices. State agencies are encouraged to communicate with the appropriate EPA Regional Administrator listed in Appendix IV of the Catalog.

Headquarters Office:

Wayne Roepe, USEPA Headquarters
Ariel Rios Building
1200 Pennsylvania Avenue, N. W.
Mail Code: 5303P
, Washington, District of Columbia 20460 Email: roepe.wayne@epa.gov
Phone: (703) 308-8630.

Website Address:

<http://www.epa.gov/epawaste/>

RELATED PROGRAMS:

66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: State projects to develop and implement a hazardous waste program designed to meet the substantive and procedural requirements of an "authorized" program. (Section 3006). Specific types of activities conducted by states include 1) issuance of hazardous waste management permits, 2) oversight of corrective action at hazardous waste management facilities, 3) inspections of hazardous waste management facilities, 4) inspections of hazardous waste generators, and 5) waste minimization activities. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The applicant's annual work program provides the basis for determining whether the applicant's hazardous waste program continues to be eligible for approval and is being implemented by the applicant (Section 3011).

66.802 SUPERFUND STATE, POLITICAL SUBDIVISION, AND INDIAN TRIBE SITE-SPECIFIC COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Section 104(d), 42 U.S.C 9604(d).

OBJECTIVES:

To (1) conduct site characterization activities at potential or confirmed hazardous waste sites; (2) undertake response planning and implementation actions at sites on the National Priorities List (NPL) to clean up the hazardous waste sites that are found to pose hazards to human health; and (3) effectively implement the statutory requirements of CERCLA 121(f) which mandates substantial and meaningful State involvement.

Funding Priority - Fiscal Year 2015: Funding must be used at specific hazardous waste sites to: (1) conduct non time critical removal actions; (2) perform site characterization activities such as preliminary assessments, site inspections, remedial investigations, feasibility studies, and remedial design activities at potential or confirmed hazardous waste sites; (3) conduct remedial actions (i.e., clean up) at uncontrolled hazardous waste sites as listed on the National Priorities List (40 CFR 300); (4) support CERCLA implementation activities; (5) identify Potentially Responsible Parties (PRPs); (6) conduct

settlement negotiations; (7) take enforcement actions against PRPs; and (8) oversee PRP cleanups.

Funding Priority - Fiscal Year 2016: Funding must be used at specific hazardous waste sites to: (1) conduct non time critical removal actions; (2) perform site characterization activities such as preliminary assessments, site inspections, remedial investigations, feasibility studies, and remedial design activities at potential or confirmed hazardous waste sites; (3) conduct remedial actions (i.e., clean up) at uncontrolled hazardous waste sites as listed on the National Priorities List (40 CFR 300); (4) support CERCLA implementation activities; (5) identify Potentially Responsible Parties (PRPs); (6) conduct settlement negotiations; (7) take enforcement actions against PRPs; and (8) oversee PRP cleanups.

Funding Priority - Fiscal Year 2017: Funding must be used at specific hazardous waste sites to: (1) conduct non time critical removal actions; (2) perform site characterization activities such as preliminary assessments, site inspections, remedial investigations, feasibility studies, and remedial design activities at potential or confirmed hazardous waste sites; (3) conduct remedial actions (i.e., clean up) at uncontrolled hazardous waste sites as listed on the National Priorities List (40 CFR 300); (4) support CERCLA implementation activities; (5) identify Potentially Responsible Parties (PRPs); (6) conduct settlement negotiations; (7) take enforcement actions against PRPs; and (8) oversee PRP cleanups.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding may be used to: (1) conduct non time critical removal actions; (2) perform site characterization activities such as preliminary assessments, site inspections, remedial investigations, feasibility studies, and remedial design activities at potential or confirmed hazardous waste sites; (3) conduct remedial actions (i.e., clean up) at uncontrolled hazardous waste sites listed on the National Priorities List (40 CFR 300); (4) support CERCLA implementation activities; (5) identify Potentially Responsible Parties (PRPs); (6) conduct settlement negotiations; (7) take enforcement actions against PRPs; and, (8) oversee PRP cleanups. Funding may not be used to conduct tasks or activities not authorized by CERCLA. Funds may not be used for non-site-specific Core Program activities (see 66.809). Funds made available by the Recovery Act are prohibited from uses relating to casinos and other gambling establishments, aquariums, zoos, golf courses, or swimming pools. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States (and political subdivisions thereof), Commonwealths, U.S. Territories and Possessions, and Federally Recognized Indian Tribal Governments, including intertribal consortia.

Beneficiary Eligibility:

States (and political subdivisions thereof), Commonwealths, U.S. Territories and Possessions, and Federally Recognized Indian Tribal Governments, including intertribal consortia.

Credentials/Documentation:

Costs will be determined in accordance with 40 CFR 35, Subpart O and 2 CFR 200 Subpart E and other supporting documentation provided by the Agency. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by 2 CFR 200 and 1500 must be used for this program. Consultation and preapplication conference(s) are recommended. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

EPA regional Superfund program offices will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. Final approval of application and supporting documentation and offer of award is made by the EPA Regional Administrator unless redelegated to the Regional Division Director.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately ninety (90) days.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Extensions are available but not guaranteed. The original cooperative agreement is amended by using EPA Standard Form 424 and submitting it to the Regional Administrator.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: None required for pre NPL activities or for remedial planning at any site if the site was privately owned and operated at the time of disposal of hazardous wastes. **Matching Requirements:** 10 percent State, 90 percent Federal, for remedial action if the site was privately owned and operated at the time of disposal of hazardous wastes. Minimum 50 percent State, 50 percent Federal, of all response costs if the site was State/locally operated at time of any disposal of hazardous waste (Note: percentage may vary). CERCLA section 104(c)(3). Tribal governments are not required to share in the costs of Superfund actions. This program has no statutory formula. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds awarded for duration of project, subject to time constraints imposed by EPA. Money is released on a draw down or a letter of credit basis. See the following for information on how assistance is awarded/released: In order to receive payment by the letter of credit method, the recipient must comply with the requirements regarding letter of credit described in 2 CFR 200 and 1500. The recipient must identify and charge costs to specific sites, activities, and operable units, as applicable, for drawdown purposes as specified in the Cooperative Agreement. If the recipient is unable to meet letter of credit requirements, EPA will pay the recipient by reimbursement. The recipient must comply with the requirements regarding reimbursement described in 2 CFR 200 and 1500.

Reports:

Progress reports, notification of significant developments, property inventory reports, procurement reports, financial reports, and final reports are required

pursuant to 40 CFR 35, Subpart O. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 2 CFR Part 200 and 1500. Progress reports are required. Financial status reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The record retention requirements of 2 CFR Part 200 and Part 35, Subpart O are applicable. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. The recipient must maintain all records for 10 years following submission of the final Financial Status Report unless otherwise directed by the EPA award official, and must obtain written approval from the EPA award official before destroying any records. If any litigation, claim, negotiation, audit, cost recovery, or other action involving the records has been started before the expiration of the ten year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular ten year period, whichever is later.

Account Identification:

68-8145-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 \$81,500,000; FY 17 est \$81,500,000; and FY 18 Estimate Not Available - FY 15 \$88.9 million, FY 16 \$81.5 million, FY 17 \$81.5 million (estimate).

Range and Average of Financial Assistance:

Range: \$969 to \$7.6 million; Average: \$303,942.

TAFS Codes:

68-8145.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal Year 2016, all EPA Regional Offices (except Region 2) awarded cooperative agreements to lead cleanup actions, or to support EPA-lead cleanup actions, at hazardous waste sites. Cooperative agreements were awarded to lead the evaluation of newly discovered sites, to assess and investigate sites that have been identified as needing further action, to select, in partnership with EPA, the appropriate technologies and cleanup actions for these sites, to design the selected technologies and cleanup actions, and to construct the designed remedy. Funding was used to start or continue long term remedial actions to treat ground water where remediation goals have not yet been reached. Finally, funding was provided to meaningfully and substantially participate in cleanup actions where EPA led the cleanup. To date, in Fiscal Year 2016, 38 site-specific cooperative agreements were awarded. Fiscal Year 2017: In Fiscal Year 2017, EPA Regional Offices awarded cooperative agreements to lead cleanup actions, or to support EPA-lead cleanup actions, at hazardous waste sites. Cooperative agreements were awarded to lead the evaluation of newly discovered sites, to assess and investigate sites that have been identified as needing further action, to select, in partnership with EPA, the appropriate technologies and cleanup actions for these sites, to design the selected technologies and cleanup actions, and to construct the designed remedy. Funding was used to start or continue long term remedial actions to

treat ground water where remediation goals have not yet been reached. Finally, funding was provided to meaningfully and substantially participate in cleanup actions where EPA led the cleanup, and to conduct statutorily required five-year reviews. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500, 40 CFR 35, Subpart O, 25 CFR 20, Program Guidance Documents.

Regional or Local Office:

See Regional Agency Offices. Ellyn Fine, Assessment and Remediation Division, Office of Superfund Remediation and Technology Innovation, Office of Land and Emergency Management, Mail Code 5204P, EPA, Washington, DC 20460. Telephone: (703)603-8714. E-mail: fine.ellyn@epa.gov.

Headquarters Office:

Yolanda Singer Site Assessment and Remedy Decisions Branch, Assessment and Remediation Division, Office of Superfund Remediation and Technology Innovation, Office of Solid Waste and Emergency Response, Mail Code 5204P, EPA, Washington, District of Columbia 20460 Email: singer.yolanda@epa.gov Phone: (703) 603-8837

Website Address:

<http://www.epa.gov/superfund>

RELATED PROGRAMS:

66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No content available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For fund-lead remedial action, the site must appear on the National Priorities List of the National Oil and Hazardous Substances Contingency Plan (NCP) (40 CFR 300). Removal actions require a planning period of six months or more. Each project is examined and selected on a case-by-case basis based upon site ranking, availability of matching funds from the State, availability of Trust funds, receipt of application and other criteria as determined by EPA.

66.804 UNDERGROUND STORAGE TANK PREVENTION, DETECTION AND COMPLIANCE PROGRAM

UST Prevention, Detection and Compliance Program

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Energy Policy Act of 2005, 42 U.S.C 6916(f)(2); Superfund Reauthorization Amendments of 1986, Title I, Section 2007(f); Solid Waste Disposal Act (SWDA) of 1976, Section 9011; EPA's Annual Appropriations Act; Energy Policy Act of 2005, Title XV; Ethanol And Motor Fuels, Title B, Section 1521-1533, Public Law 109-58, U.S.C 15801; Ethanol And Motor Fuels, Title B, Section 1521-1533, Public Law 105-276, 42 Stat. 15801.

OBJECTIVES:

To assist States, Territories, Tribes and/or Intertribal Consortia (LUST Prevention only for Tribes and/or Intertribal Consortia) that meet the requirements at 40 CFR 35.504 in the development and implementation of underground storage tank (UST) programs and for leak prevention, compliance and other activities authorized by the Energy Policy Act (EPAAct) of 2005, Public Law 105-276, and EPA's annual appropriations acts.

Funding Priority - Fiscal Year 2015: Assistance agreements to states under Section 2007 of the Solid Waste and Disposal Act will support core program underground storage tank (UST) activities, implement their leak prevention and detection programs, as well as the 2005 Energy Policy Act (EPAAct) leak prevention activities. High priority tasks include providing financial assistance to states for: 1) approving specific technologies to detect leaks from tank systems; 2) ensuring that tank owners and operators are complying with notification and other requirements; 3) ensuring equipment compatibility; 4) conducting UST inspections; 5) implementing operator training; 6) prohibiting

delivery for non-complying facilities; 7) seeking state program approval to operate the UST program in lieu of the Federal program; and 8) requiring secondary containment or financial responsibility for tank manufacturers and installers.

LUST Prevention assistance agreements to states and tribes, and/or intertribal consortia for activities authorized by EPAAct will support states with inspections and other release prevention and compliance assurance activities for Federally-regulated UST systems, as well as for enforcement activities related to release prevention. A main cause of releases is the lack of proper operation and maintenance of UST systems, which is why the EPA proposed revisions to the UST regulations in FY 2012 that address these and other important issues. Any changes that result from a final rule will require subsequent state adoption, applicable updates to state regulations, and updates to state program approval.

For tribes, the LUST Prevention assistance agreements will assist with all aspects of the Tribal programs, e.g., inspection capacity. High priority tasks include providing financial assistance to states and tribes for: 1) inspecting UST facilities to complete the three-year inspection requirement; 2) developing inspection capacity for Tribes; 3) assisting states in adopting measures (e.g., delivery prohibition, secondary containment, operator training, etc.), as required by EPAAct and EPA's grant guidelines; 4) enforcement activities related to release prevention; 5) development of leak prevention regulations and other program infrastructure; 6) helping tribes develop the capacity to administer UST programs, such as providing funding to support training for Tribal staff and educating owners and operators in Indian Country about UST requirements. These activities are geared toward bringing all UST systems into compliance with release detection and release prevention requirements and minimizing future releases. Priority will be given to providing funds to enable the States to meet their responsibilities under Title XV, Subtitle B of the Energy Policy Act of 2005. States that have entered into assistance agreements with EPA must have the authority to inspect and take other compliance and related enforcement actions to prevent releases from USTs.

Funding Priority - Fiscal Year 2016: Assistance agreements to states under Section 2007 of the Solid Waste and Disposal Act will support core program underground storage tank (UST) activities, implement their leak prevention and detection programs, as well as the 2005 Energy Policy Act (EPAAct) leak prevention activities. High priority tasks include providing financial assistance to states for: 1) approving specific technologies to detect leaks from tank systems; 2) ensuring that tank owners and operators are complying with notification and other requirements; 3) ensuring equipment compatibility; 4) conducting UST inspections; 5) implementing operator training; 6) prohibiting delivery for non-complying facilities; 7) seeking state program approval to operate the UST program in lieu of the Federal program; and 8) requiring secondary containment or financial responsibility for tank manufacturers and installers.

LUST Prevention assistance agreements to states and tribes, and/or intertribal consortia for activities authorized by EPAAct will support states with inspections and other release prevention and compliance assurance activities for Federally-regulated UST systems, as well as for enforcement activities related to release prevention. A main cause of releases is the lack of proper operation and maintenance of UST systems, which is why the EPA proposed revisions to the UST regulations in FY 2012 that address these and other important issues. Any changes that result from a final rule will require subsequent state adoption, applicable updates to state regulations, and updates to state program approval.

For tribes, the LUST Prevention assistance agreements will assist with all aspects of the Tribal programs, e.g., inspection capacity. High priority tasks include providing financial assistance to states and tribes for: 1) inspecting UST facilities to complete the three-year inspection requirement; 2) developing inspection capacity for Tribes; 3) assisting states in adopting measures (e.g., delivery prohibition, secondary containment, operator training, etc.), as required by EPAAct and EPA's grant guidelines; 4) enforcement activities related to release prevention; 5) development of leak prevention regulations and other program infrastructure; 6) helping tribes develop the capacity to administer UST programs, such as providing funding to support training for Tribal staff and educating owners and operators in Indian Country about UST requirements.

These activities are geared toward bringing all UST systems into compliance with release detection and release prevention requirements and minimizing future releases. Priority will be given to providing funds to enable the States to meet their responsibilities under Title XV, Subtitle B of the Energy Policy Act of 2005. States that have entered into assistance agreements with EPA must have the authority to inspect and take other compliance and related enforcement actions to prevent releases from USTs.

Funding Priority - Fiscal Year 2017: Assistance agreements to states under Section 2007 of the Solid Waste and Disposal Act will support core program underground storage tank (UST) activities, implement their leak prevention and detection programs, as well as the 2005 Energy Policy Act (EPAAct) leak prevention activities. High priority tasks include providing financial assistance to states for: 1) adopting and implementing EPA's recently-released regulatory revisions 2) approving specific technologies to detect leaks from tank systems; 3) ensuring that tank owners and operators are complying with notification and other requirements; 4) ensuring equipment compatibility; 5) conducting UST inspections; 6) implementing operator training; 7) prohibiting delivery for non-complying facilities; 8) seeking state program approval to operate the UST program in lieu of the Federal program; and 9) requiring secondary containment.

LUST Prevention assistance agreements to states and tribes, and/or intertribal consortia for activities authorized by EPAAct will support states with inspections and other release prevention and compliance assurance activities for Federally-regulated UST systems, as well as for enforcement activities related to release prevention. A main cause of releases is the lack of proper operation and maintenance of UST systems, which is why the EPA published revised UST regulations in FY 2015 that address these and other important issues. These changes will require subsequent state adoption, applicable updates to state regulations, and updates to state program approval.

For tribes, the LUST Prevention assistance agreements will assist with all aspects of the Tribal programs, e.g., inspection capacity. High priority tasks include providing financial assistance to tribes for: 1) inspecting UST facilities to complete the three-year inspection requirement; 2) developing inspection capacity for Tribes; 3) enforcement activities related to release prevention; 4) development of leak prevention regulations and other program infrastructure; 5) helping tribes develop the capacity to administer UST programs, such as providing funding to support training for Tribal staff and educating owners and operators in Indian Country about UST requirements. These activities are geared toward bringing all UST systems into compliance with release detection and release prevention requirements and minimizing future releases. Priority will be given to providing funds to enable the states to meet their responsibilities under Title XV, Subtitle B of the Energy Policy Act of 2005. States that have entered into assistance agreements with EPA must have the authority to inspect and take other compliance and related enforcement actions to prevent releases from USTs.

Funding Priority - Fiscal Year 2018: The FY 2018 President's Budget proposes to eliminate this program.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. Leaking Underground Storage Tank (LUST) Prevention assistance agreements are Continuing Environmental Program Grants.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official Government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that

was signed on October 1, 2009.

States and Tribes may use financial assistance under this program for eligible and allowable costs incurred under assistance agreements for leak prevention, detection, and compliance, as described in the Section:50 Funding Priority. Assistance agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable indirect costs, in accordance with established EPA policies and regulations.

Unlike State and Tribal Assistance Grant (STAG) funding under Section 2007(f) of the Solid Waste Disposal Act (SWDA), the LUST prevention funding under Section 9011 and other applicable provisions of Subtitle I of the SWDA is not eligible for inclusion in Performance Partnership Grants under 40 CFR 35.133. EPA may award combined STAG funded UST prevention, detection, and compliance assistance agreements authorized by Section 2007(f) with LUST prevention assistance agreements authorized by Subtitle I if the combined agreement is not included in a PPG.

Applicant Eligibility:

Prevention, detection and compliance assistance agreements are only available to States and Territories and to Federally-recognized Tribes and Intertribal Consortia that must meet the requirements, as described in the Federal Register Notice, Vol. 67, No. 213, pp. 67181-67183, "Update to EPA Policy on Certain Grants to Intertribal Consortia." These assistance agreements may also be used for EPA to help States, who request it, to obtain SEE enrollees through a SEE assistance agreement to work on the State's underground storage tanks and to support direct UST implementation programs. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Additionally, EPA may limit competition under this CFDA to Tribes and Inter-Tribal Consortia.

Beneficiary Eligibility:

States, Territories, Tribes and Intertribal Consortia.

Credentials/Documentation:

States and Territories must either submit certification indicating the State or Territory meets the applicable Energy Policy Act provisions, or submit documentation describing the State or Territory's efforts to meet the requirements, in accordance with EPA's Energy Policy Act grant guidelines (http://www.epa.gov/swerust1/fedlaws/epact_05.htm). The EPA Regional Offices maintain the credentials/documentation for State and Territorial programs and federally-recognized Tribes and Intertribal Consortia. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Tribes and Intertribal Consortia are excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>. States and Tribes apply for funds through EPA regional offices. EPA may award combined or separate assistance agreements for leak prevention. Additionally 2 CFR 1500 applies to this program.

Award Procedure:

These funds are awarded non-competitively. However, if EPA elects to hold

competitions for Tribal and/or Intertribal Consortia assistance agreements, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Applications will be subjected to administrative evaluation to determine the adequacy of the application in relation to assistance agreement regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will advise the applicant if funding is being considered. A final work plan will be negotiated with the applicant. An assistance agreement must be signed between EPA and the State or Territory or EPA and the Tribe or Intertribal Consortia. EPA awards the assistance agreements to states through a previously established allocation process, issued under national guidance rather than through competition(see 40 CFR 35.332 and EPA Order 5700.5, Section 6(c)(1)).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally, from 3 to 4 months.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Contact the appropriate Regional Office. See <http://www.epa.gov/swerust1/regions/index.htm>.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The UST prevention, detection, and compliance Solid Waste Disposal Act 2007(f) assistance agreement (STAG) program has no statutory formula or matching requirement. However, there is a 25 percent matching requirement (cost share) for States under 40 CFR 35.335. State matches (cost shares) may include in-kind contributions. Under 40 CFR 35.332, EPA regions allocate funds to states based on their programmatic needs and applicable EPA guidance. Factors include the number of active federally regulated petroleum underground storage tanks. LUST Prevention funding is awarded under Section 9011 and other applicable provisions of Subtitle I of the Solid Waste Disposal Act subject to an allocation process developed by the Agency. The Agency distributes funds based on the number of federally-regulated petroleum USTs in a State and other indicators of State needs. States will provide a 25 percent match (cost share) for assistance agreements awarded under Section 9011 and other applicable provisions of Subtitle I. If EPA awards a State a combined UST prevention, detection, and compliance (STAG) and LUST prevention assistance agreement, the 25 percent cost share will apply to total project costs for the combined assistance agreement. There is no matching requirement (cost share) for prevention, detection and compliance assistance agreements for Tribes or Intertribal Consortia awarded pursuant under Public Law 105-276 or the Agency's annual appropriation act.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the assistance agreement shall be determined at the time of award by the specific EPA Regional Office. Assistance agreements can be awarded on a single- or multiple-year basis up to seven years. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting requirements are identified at 2 CFR 200.327. EPA Regional Offices may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. Recipients submit annual reports which include a description of the progress made towards meeting environmental goals as expressed by the environmental indicators and program measures. Recipients report program performance results semi-annually that provide updates for commitments and priorities. No cash reports are required. Progress reports are required and identified at 2 CFR 200.327. States must certify that they are meeting or are making progress in

meeting the Energy Policy Act requirements. States are required to provide expenditure reports for overall funding for UST prevention, detection and compliance (STAG) assistance agreements. For LUST Prevention assistance agreements, States are required to submit Federal Financial Reports (SF-425) to the Las Vegas Finance Center. Annual reviews are conducted to determine recipients' progress in meeting overall program goals.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The record retention requirements of 2 CFR 1500.6 are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304 - STAG; 68-8153-0-7-304 - LUST.

Obligations:

(Formula Grants) FY 16 \$26,867,000; FY 17 est \$26,867,000; and FY 18 est \$0 - STAG Obligations - Grants to States (for state staff oversight of UST activities identified in Section :50): FY 16 actual: \$1,498,000, FY 17 estimate: \$1,498,000, FY 18 estimate: \$0. LUST Prevention Obligations - Assistance Agreements to State and Tribes (for state staff oversight and inspection activities related to the UST program; for Tribal staff to build capacity and provide training for prevention activities identified in Section :50): FY 16 actual: \$25,369,000; FY 17 estimate: \$25,369,000, FY 18 estimate: \$0.

Range and Average of Financial Assistance:

Range for States and Territories: The STAG and LUST prevention financial assistance is based on states' needs. STAG Funding: There is no STAG distribution for Tribes. In FY 2017, estimated STAG funding - Range for States and Territories: \$30,000 to \$294,500; Average for States and Territories = \$98,133. LUST PREVENTION Funding: In FY 2017, estimated LUST Prevention funding - Range for States and Territories: \$86,000 to \$1,342,000. Average for States and Territories = \$437,145. Range for Tribes: \$27,339 to \$290,000; Average for Tribes = \$98,250.

TAFS Codes:

68-8153; 68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, 19 states submitted applications and were awarded STAG assistance agreements. For the LUST Prevention assistance agreements, 54 states (including DC and Puerto Rico) and four (4) territories and tribes and/or tribal consortia submitted applications and were awarded LUST Prevention assistance agreements. Program accomplishments for FY 2016 resulted in achieving a significant operation compliance rate of 72.5 percent and decreasing the number of newly-confirmed releases to 5,582. Fiscal Year 2017: In FY 2017, 15 states are expected to submit applications and be awarded STAG assistance agreements. For the LUST Prevention assistance agreements, 52 states (including DC and Puerto Rico) and four (4) territories and tribes and/or tribal consortia are expected to submit applications and be

awarded LUST Prevention assistance agreements. There are no program accomplishments to report for FY 2017 at this time. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures (2 CFR 200 and 2 CFR 1500, as applicable), State and Local Assistance (40 CFR Part 35.330 et. seq. for States and 40 CFR 35.730 et. seq. for Tribes and Intertribal Consortia). Costs will be determined in accordance with 2 CFR 200 subpart E for all entity types. 2 CFR Part 225 for State and local governments and Indian Tribes and 2 CFR Part 230 for Intertribal Consortia that follow the assistance regulations for nonprofit organizations. Contact Regional UST Program Manager for documents.

Regional or Local Office:

See Regional Agency Offices. For state-specific opportunities, EPA encourages potential state applicants to communicate with the appropriate EPA Regional Underground Storage Tanks Regional Program Managers (see <http://www.epa.gov/swerust1/regions/index.htm>).

Headquarters Office:

Lynn Depont, USEPA Headquarters; 1200 Pennsylvania Avenue, N. W.; Mail Code: 5401P, Washington, District of Columbia 20460 Email: depoint.lynn@epa.gov Phone: (703) 603-7148.

Website Address:

<http://www.epa.gov/swerust1/index.htm>

RELATED PROGRAMS:

66.805 Leaking Underground Storage Tank Trust Fund Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No content available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

This program allocates funding to States and Tribes non-competitively under the allocation system referenced in Section:101. Funding provided to states for release prevention must be expended in accordance with grant guidelines EPA issued to implement the Title XV, Subtitle B of the Energy Policy Act of 2005, http://www.epa.gov/swerust1/fedlaws/epact_05.htm.

66.805 LEAKING UNDERGROUND STORAGE TANK TRUST FUND CORRECTIVE ACTION PROGRAM

Leaking UST Corrective Action Program

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Solid Waste Disposal Act of 1976 (SWDA), as amended, Section 9003(h), Public Law 105-276; EPA's Annual Appropriation Act.

OBJECTIVES:

To support State (including Territories that are included in the definition of "State" in the Solid Waste Disposal Act) and Tribal corrective action programs that address releases from underground storage tanks.

Funding Priorities - Fiscal Year 2015: Assistance agreements to states and Tribes will support activities in making progress in cleaning up petroleum leaks by initiating and completing cleanups, and reducing the backlog of sites not yet cleaned up. In FY 2015, not less than 80 percent of LUST cleanup appropriated funds will be provided to states to carry out the LUST cleanup program. High priority tasks include better site characterization efforts, remedy selection review, other technical assistance and management, oversight and enforcement activities at unaddressed LUST sites.

Funding Priorities - Fiscal Year 2016: Assistance agreements to states and Tribes will support activities in making progress in cleaning up petroleum leaks by initiating and completing cleanups, and reducing the backlog of sites not yet cleaned up. In FY 2016, not less than 80 percent of LUST cleanup appropriated funds will be provided to states to carry out the LUST cleanup program. High priority tasks include better site characterization efforts, remedy selection review, other technical assistance and management, oversight and enforcement

activities at unaddressed LUST sites.

Funding Priorities - Fiscal Year 2017: Assistance agreements to states and Tribes will support activities in making progress in cleaning up petroleum leaks by initiating and completing cleanups, and reducing the backlog of sites not yet cleaned up. In FY 2017, not less than 80 percent of LUST cleanup appropriated funds will be provided to states to carry out the LUST cleanup program. High priority tasks include better site characterization efforts, remedy selection review, other technical assistance and management, oversight and enforcement activities at unaddressed LUST sites.

Funding Priorities - Fiscal Year 2018: Assistance agreements to states and Tribes will support activities in making progress in cleaning up petroleum leaks by initiating and completing cleanups, and reducing the backlog of sites not yet cleaned up. In FY 2018, not less than 80 percent of LUST cleanup appropriated funds will be provided to states to carry out the LUST cleanup program. High priority tasks include better site characterization efforts, remedy selection review, other technical assistance and management, oversight and enforcement activities at unaddressed LUST sites.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website:
<https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official Government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

States and Tribes may use financial assistance under this program for eligible and allowable costs incurred under cooperative agreements for corrective action, as described in Section: 54 Funding Priority and Section: 180 Criteria for Selecting Proposals. This financial assistance program is not eligible for inclusion in Performance Partnership Grants under 40 CFR 35.133. EPA will award cooperative agreements for corrective action activities. The applicable provisions in OSWER Directive 9650.10A, "LUST Trust Fund Cooperative Agreement Guidelines" apply to states receiving funds under this program. See <http://www.epa.gov/sverust1/directiv/d965010a.htm>.

LUST Trust Funds for corrective action will not be used to implement any provision of the Energy Policy Act (EPA) of 2005, Title XV, Subtitle B, that is not also a leaking underground storage tank activity authorized by Section 205 of the Superfund Amendments and Reauthorization Act.

Leaking Underground Storage Tank (LUST) Trust Fund (LTF) corrective action cooperative agreements are Continuing Environmental Program Grants[1], administered by EPA, and provide money to states to address petroleum[2] releases from underground storage tanks (USTs). States must comply with the requirements in the LUST Trust Fund Corrective Action Cooperative Agreement Guidelines and the LUST Trust Fund Cost Recovery Policy as a condition of receiving LUST Trust Fund corrective action cooperative agreement funding.
<https://www.epa.gov/ust/state-grant-policy-and-guidance>.

Applicant Eligibility:

Cooperative agreements are only available to States and Territories that have UST programs. Additionally, these cooperative agreements are only available

to Federally-recognized Tribes and Intertribal Consortia that must meet the requirements, as described in the Federal Register Notice, Vol. 67, No. 213, pp. 67181-67183, "Update to EPA Policy on Certain Grants to Intertribal Consortia."

Beneficiary Eligibility:

States, Territories, Tribes and Intertribal Consortia and the communities and industries affected by leaks from underground storage tanks.

Credentials/Documentation:

Even though the LUST corrective action assistance agreements are only used for traditional cleanup activities under Section 9003(h), States and Territories must either submit certification indicating the State or Territory meets the applicable Energy Policy Act provisions, or submit documentation describing the State or Territory's efforts to meet the requirements, in accordance with EPA's Energy Policy Act grant guidelines (http://www.epa.gov/oust/fedlaws/epact_05.htm). The EPA Regional Offices maintain the credentials/documentation for State and Territorial programs and federally-recognized Tribes and Intertribal Consortia. Costs will be determined in accordance with 2 CFR 200 Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Tribes and Intertribal Consortia are not required to follow intergovernmental review procedures. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

States and Tribes apply for funds through EPA regional offices under this CFDA.

Additionally 2 CFR 1500 applies to this program.

Award Procedure:

These funds are awarded non-competitively. Applications will be subjected to administrative evaluation to determine the adequacy of the application in relation to assistance agreement regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will advise the applicant if funding is being considered. A final work plan will be negotiated with the applicant. A cooperative agreement must be signed between EPA and the State or Territory or EPA and the Tribe or Intertribal Consortia. EPA awards the cooperative agreement to states through a previously established allocation process, issued under national guidance rather than through competition. See EPA Order 5700.5, Section 6(c)(1).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally from 3 to 4 months.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Contact the appropriate Regional Office. See <http://www.epa.gov/swerust1/states/index.htm>.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: LUST corrective action funding awarded under Section 9003(h)(7) of the Solid Waste Disposal Act is subject to an allocation process developed by the Agency. By guidance, the Agency has established a process for allocating funds to states under Section 9003(h)(7) based on the cumulative numbers of confirmed UST releases, total number of existing registered petroleum UST systems, cleanups initiated, cleanups completed, the percentage of the population using groundwater for drinking water, and the number of states with approved UST programs. This program allocates funding to Tribes and Intertribal Consortia non-competitively based on their programmatic needs and national guidance. States must provide a 10 percent cost share for cooperative agreements awarded under Section 9003(h)(7). There is no matching requirement for corrective action cooperative agreements for Tribes or Intertribal Consortia awarded pursuant to Public Law 105-276. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of the grant award by the specific EPA Regional Office. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting requirements are identified at 2 CFR 200.327. EPA Regional Offices may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. Recipients submit annual reports which include a description of the progress made towards meeting environmental goals as expressed by the environmental indicators and program measures. Recipients report program performance results semi-annually that provide updates for commitments and priorities. No cash reports are required. Progress reports are required and identified at 2 CFR 200.327. States are required to submit Federal Financial Reports (SF-425) to the Las Vegas Finance Center. Performance monitoring is conducted to determine states' progress towards meeting overall program goals.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The record retention requirements of 2 CFR 1500.6 are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-8153-0-7-304.

Obligations:

(Formula Grants) FY 16 \$55,424,775; FY 17 est \$55,430,000; and FY 18 est \$39,040,000 - LUST Obligations - Assistance Agreements to States and Tribes (for state and tribal staff oversight of initiating and cleaning up contamination

from leaking underground storage tanks): For LUST State Cooperative Agreements: FY 2016 actual: \$55,049,775; FY 2017 estimate: \$55,040,000; FY 2018 estimate: \$38,840,000. For LUST Tribal Cooperative Agreements: FY 2016 actual: \$375,000; FY 2017 estimate: 390,000; FY 2018 estimate: \$200,000.

Range and Average of Financial Assistance:

For FY 2016, the Range for Territories and States: \$41,000 - \$3,226,000; Territory Average: \$35,750; State Average: \$1,088,080. It is anticipated that there will be approximately 2 Tribal cooperative agreements in FY 2016 for a total of \$375,000. For FY 2017, the Range for Territories and States: \$41,000 - \$3,226,000; Territory Average: \$35,750; State Average: \$1,088,080. It is anticipated that there will be approximately 3 Tribal cooperative agreements in FY 2017 for a total of \$390,000.

TAFS Codes:

68-8153.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, 52 States (including DC and Puerto Rico) and four territories and 2 Indian Tribes submitted assistance agreement applications and were awarded LUST corrective action assistance agreements. Program accomplishments for FY 2016 resulted in completing 8,977 cleanups and reducing to 13 percent the percent of confirmed releases as UST facilities remaining to be cleaned up. Fiscal Year 2017: (no entry). Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures (2 CFR 200 and 2 CFR 1500, as applicable), State and Local Assistance (40 CFR Part 35.330 et. seq. for States and 40 CFR 35.730 et. seq. for Tribes and Intertribal Consortia). Costs will be determined in accordance with 2 CFR Part 225 for State and local governments and Indian Tribes and 2 CFR Part 230 for Intertribal Consortia that follow the assistance regulations for nonprofit organizations. Contact Regional UST Program Manager for documents.

Guidelines: Leaking Underground Storage Tanks Trust Fund. Cooperative Agreement Guidelines.

Regional or Local Office:

See Regional Agency Offices. For state-specific opportunities, EPA encourages potential applications to communicate with the appropriate EPA Regional Underground Storage Tanks Regional Program Managers (see <http://www.epa.gov/swerust1/regions/index.htm>).

Headquarters Office:

Lynn Depont, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W. Mail Code: 5401P, Washington, District of Columbia 20460
Email: depon.lynn@epa.gov Phone: (703) 603-7148

Website Address:

<http://www.epa.gov/swerust1/index.htm>

RELATED PROGRAMS:

66.804 State and Tribal Underground Storage Tanks Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In FY 2016, states reviewed site characterization reports; reviewed corrective action plans; and conducted enforcement actions. Cooperative agreements provided assistance to Tribes to help develop and implement the LUST program; and conduct cleanups and provide oversight of responsible party cleanups. Fiscal Year 2017: In FY 2017, states will review site characterization reports; review corrective action plans; and conduct enforcement actions. Cooperative agreements provide assistance to Tribes to help develop and implement the LUST program; and conduct cleanups and provide oversight of responsible party cleanups. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

This program allocates funding to States and Tribes non-competitively under the allocation system referenced in Section :101. Guidelines for LUST

corrective action cooperative agreements are found in OSWER Directive 9650-10A, and at OUST's website <http://www.epa.gov/swerstul/directiv/d965010a.htm>.

66.806 SUPERFUND TECHNICAL ASSISTANCE GRANTS (TAG) FOR COMMUNITY GROUPS AT NATIONAL PRIORITY LIST (NPL) SITES

(TAG)

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Superfund Amendments and Reauthorization Act of 1986, Public Law 99-499, 42 U.S.C 9617; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Section 117(e), Public Law 96-510.

OBJECTIVES:

The statutory authority for this project is CERCLA 117(e) as amended by 42 USC 9617(e); it authorizes Technical Assistance Grants to be awarded to groups of individuals affected by or threatened by a release at a Superfund site so that they can obtain independent technical assistance to interpret site-related documents and share this information to the rest of the community. The objective of each TAG is to procure an independent technical advisor(s) to review and inform the community on EPA documents related to cleaning up the Superfund site that is affecting them. The goal of each TAG is that the technical advisor's information aids the community in the preparation of public comments and assists the community to more meaningfully participate in the cleanup decision-making process.

Funding Priority - Fiscal Year 2015: Only one grant is available per site, at any time. EPA does not prioritize among eligible sites. All TAG applications are given equal priority as long as the site and group are eligible. The applicant group must meet minimum administrative and management capability requirements by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to TAG management. In addition, all applications must address: plans for using a technical advisor's services, plans and ability to inform other members of the community, and the group's membership (representative of the different individuals/groups affected by the site). Funding must be used to provide resources so that community groups can obtain technical assistance to better understand technical issues related to the cleanup of the Superfund site affecting them and to provide comments on technical, cleanup-related documents. Technical issues for which groups obtain technical assistance may include: the nature of the environmental and public health hazards at the site; the various stages of health and environmental investigations; cleanup and operation and maintenance activities for a site, including exposure investigation, health study, surveillance program, health promotion activities (for example, medical monitoring and pediatric health units), remedial investigation, and feasibility study, record of decision, remedial design, selection and construction of remedial action, operation and maintenance, and removal.

Funding Priority - Fiscal Year 2016: Only one grant is available per site, at any time. EPA does not prioritize among eligible sites. All TAG applications are given equal priority as long as the site and group are eligible. The applicant group must meet minimum administrative and management capability requirements by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to TAG management. In addition, all applications must address: plans for using a technical advisor's services, plans and ability to inform other members of the community, and the group's membership (representative of the different individuals/groups affected by the site). Funding must be used to provide resources so that community groups can obtain technical assistance to better understand technical issues related to the cleanup of the Superfund site affecting them and to provide comments on technical, cleanup-related documents. Technical issues for which groups obtain technical assistance may include: the nature of the environmental and public health hazards at the site; the various stages of health and environmental investigations; cleanup and operation and maintenance activities for a site, including exposure investigation, health study, surveillance program,

health promotion activities (for example, medical monitoring and pediatric health units), remedial investigation, and feasibility study, record of decision, remedial design, selection and construction of remedial action, operation and maintenance, and removal.

Funding Priority - Fiscal Year 2017: Only one grant is available per site, at any time. EPA does not prioritize among eligible sites. All TAG applications are given equal priority as long as the site and group are eligible. The applicant group must meet minimum administrative and management capability requirements by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to TAG management. In addition, all applications must address: plans for using a technical advisor's services, plans and ability to inform other members of the community, and the group's membership (representative of the different individuals/groups affected by the site). Funding must be used to provide resources so that community groups can obtain technical assistance to better understand technical issues related to the cleanup of the Superfund site affecting them and to provide comments on technical, cleanup-related documents. Technical issues for which groups obtain technical assistance may include: the nature of the environmental and public health hazards at the site; the various stages of health and environmental investigations; cleanup and operation and maintenance activities for a site, including exposure investigation, health study, surveillance program, health promotion activities (for example, medical monitoring and pediatric health units), remedial investigation, and feasibility study, record of decision, remedial design, selection and construction of remedial action, operation and maintenance, and removal.

Funding Priority - Fiscal Year 2018: Only one grant is available per site, at any time. EPA does not prioritize among eligible sites. All TAG applications are given equal priority as long as the site and group are eligible. The applicant group must meet minimum administrative and management capability requirements by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to TAG management. In addition, all applications must address: plans for using a technical advisor's services, plans and ability to inform other members of the community, and the group's membership (representative of the different individuals/groups affected by the site). Funding must be used to provide resources so that community groups can obtain technical assistance to better understand technical issues related to the cleanup of the Superfund site affecting them and to provide comments on technical, cleanup-related documents. Technical issues for which groups obtain technical assistance may include: the nature of the environmental and public health hazards at the site; the various stages of health and environmental investigations; cleanup and operation and maintenance activities for a site, including exposure investigation, health study, surveillance program, health promotion activities (for example, medical monitoring and pediatric health units), remedial investigation, and feasibility study, record of decision, remedial design, selection and construction of remedial action, operation and maintenance, and removal.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All or most of the Federal funds must be used at sites listed on the National Priorities List (NPL) or proposed for listing under the National Contingency Plan (NCP), where a response action has begun under CERCLA to procure a technical advisor(s) to help in understanding the nature of the environmental and public health hazards at the site, or the various stages of health and environmental investigations and activities, cleanup, and operation and maintenance of a site, including exposure investigation, health study, surveillance program, health promotion activities (for example, medical monitoring and pediatric health units), remedial investigation, and feasibility study, record of decision, remedial design, selection and construction of remedial action, operation and maintenance, and removal. Limitations: (a) Federal funds cannot be used to develop new primary data, such as conducting independent testing and monitoring activities at a site. (b) Under CERCLA, as amended, grant funds cannot be used for lawsuits or other legal actions, or attorney fees for services connected to any legal action or that could result in a relationship to which attorney/client privileges applies. (c) Grant funds cannot be applied towards a technical advisor's time spent assisting an attorney in

preparing a legal action or preparing and serving as an expert witness at any legal proceedings. (d) Grant funds cannot be used for any activities or expenditures for recipient group members' travel. (e) Grant funds cannot be used for political activity and lobbying. (f) Grant funds cannot be used for generation of new health data through biomedical testing (for example, blood or urine testing), clinical evaluations, health studies, surveillance, registries, and/or public health investigations. (g) Grant funds cannot be used for activities that are unallowable under the cost principles stated in 2 CFR 200 Subpart E (h) Grant funds cannot be used for tuition or other training expenses for recipient group's members. (i) Grant funds cannot be used to reopen or challenge final EPA decisions.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally, this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

A technical assistance grant (TAG) is available to any qualified group of individuals which: may be "affected" by a release or threatened release at any facility listed on the NPL or proposed for listing under the NCP where a "response action" under CERCLA has begun; meets minimum administrative and management capability requirements found in 2 CFR 200 by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to TAG management; and incorporates as a nonprofit for the specific purpose of representing "affected" individuals at the site. "Affected" means subject to an actual or potential health, economic or environmental threat. A group is ineligible if: (a) The group is a "potentially responsible party" (PRP), receives money or services from a PRP, or represents a PRP; (b) The group is affiliated with a national organization; (c) The group is an academic institution; (d) The group is a political subdivision; (e) The group was established or is presently sustained by any of the ineligible entities listed above; or (f) The group is not incorporated as a nonprofit organization for the specific purpose of representing affected people except as provided in 40 CFR 35.4045.

Beneficiary Eligibility:

This program benefits groups of individuals affected by Superfund hazardous waste sites. Groups should be representative of the community affected by the Superfund site, which may include homeowners, land/property owners, local businesses, as well as any other individuals in the general public who live near a site.

Credentials/Documentation:

At the time of the award, a recipient must either be incorporated or demonstrate that they have taken all necessary and appropriate actions to do so. Recipients must show proof of incorporation no later than the time of the group's first request for reimbursement for costs incurred. This program is covered under 2 CFR 200 Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

1) Groups wishing to apply for a TAG must first submit to EPA a letter of intent (LOI). Groups interested in applying for a TAG are encouraged to contact their regional TAG coordinator(s), listed in Appendix IV of the Catalog. 2) If site work is already underway or scheduled to begin, EPA will publish a notice in the local newspaper to formally notify other interested parties that they may contact the first group that sent the LOI to form a coalition or they may submit a separate LOI. 3) Competing groups are encouraged to consolidate and submit a single application. 4) Prior to submitting their application to EPA (or concurrently), the applicant must submit the application package for their State's intergovernmental review process. This intergovernmental review may take up to 60 days.

This program is subject to the provisions of CFR Parts 1500.

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

For more information regarding the procedures for submitting a LOI or for application assistance, contact the TAG coordinator(s) for your region (in Appendix IV of the Catalog) or the individuals listed under "Information Contacts." Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. An applicant for a TAG must submit the following materials in accordance with 40 CFR 35.4125 of the Final Rule: 1) An original EPA Form 424, Application for Federal Assistance. The application must have the original signature of the project manager. 2) A budget showing the proposed expenditure of funds, how the funds and other resources, including the required 20 percent match, will be used to complete the project, and how the budget figures were derived. 3) A scope of work which states how the group will organize, use procured personnel, and share and disseminate information to the larger affected community. In addition, the scope of work must explain project milestones and how the group's board of directors, technical advisors(s) and "project manager" will interact with each other. 4) Assurances, certifications and other preaward paperwork as required by 2 CFR 200 and 1500, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

1) A TAG review team in the EPA Regional Office to which the application is submitted reviews the application and sends a letter containing written comments telling the applicant what, if any, changes need to be made to the application to make it complete.

2) An applicant group has 90 days from the date of the EPA letter to make the changes to their application and resubmit it to EPA. If the changes are not submitted within the 90-day period, the application will be returned unprocessed.

3) EPA determines the applicant's eligibility under 40 CFR 35.4020 and considers whether and how successfully the group meets three equally weighted criteria in 40 CFR 35.4155 (see 180, "criteria for selecting proposals," below). When multiple groups apply, EPA will rank each applicant relative to other applicants using the three equally weighted criteria.

4) If an applicant is not going to be awarded a TAG, the EPA will send a letter of rejection to the applicant.

5) If an application is approved for an award, a grant agreement document (EPA Form 5700-20A) is signed by the EPA award official. This document becomes an offer from EPA to the applicant. Award offers are mailed to the

recipient five working days after the award official signs the agreement.

6) The applicant must sign and return the agreement to EPA within three weeks of the date the agreement is postmarked or request an extension. EPA will review applications in accordance to terms, conditions and criteria in the TAG regulations and EPA's policy for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally from 5 to 9 weeks.

Appeals:

Per TAG regulations, disputes will be resolved under 2 CFR 1500 Subpart E, as applicable. Before a group obtains judicial review of the dispute, they must have requested the Regional Administrator to review the dispute decision official's determination under, and if the group still has a dispute, they must request the Assistant Administrator for the Office of Solid Waste and Emergency Response to review the Regional Administrator's decision under.

Renewals:

Grant waiver requests are made at the end of the budget period and are based on the requirements of 40 CFR 35.4065, which lists criteria which must be met.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: As directed by statute (Section 117(e) of CERCLA, as amended by 42 USC 9617(e)) there may be only one grant per site, there is a \$50,000 award limit unless waived, and recipient must contribute a 20 percent match (including in-kind contributions).

This program does not have MOE requirements. Not applicable.

Length and Time Phasing of Assistance:

A grant may be available from the time a site is proposed for listing under the NCP (with a response action underway) until the site is delisted from the NPL - this time span varies based on the complexity of the site cleanup; a group may submit an LOI to apply for a TAG at any eligible site at any point in the site cleanup. For each award, the grant period is determined by the time needed to complete the scope of work stated in the grant application and through negotiations with EPA (this is generally a period of three years or greater). Grants under this CFDA are reimbursement-based; a grantee may draw down funds to cover grant-related expenses they have incurred (such as a technical advisor's invoice for services/expenses). See the following for information on how assistance is awarded/released: Assistance is awarded as a lump sum, however, the funds are released on a reimbursement basis (recipients draw down funds as costs are incurred).

Requests for Reimbursement or Federal Cash Transactions Reports: Depending on the method of payment, each recipient shall submit periodic requests for reimbursement as funds are needed, or shall submit to EPA a federal cash transaction report semiannually, within 15 working days following the end of the semiannual period which ends June 30 and December 31 of each year. These reports shall include the amount of funds advanced to the TAG recipient or electronically transferred to the TAG recipient's bank account, and a description of how the funds were spent.

Reports:

No program reports are required. Federal Cash Transaction Reports (OMB SF272) are required of TAG grant recipients. Progress reports: Each recipient shall submit quarterly progress reports to EPA for the technical assistance grant project 45 days after the end of each calendar quarter. Progress reports shall fully describe in chart or narrative format the progress achieved in relationship to the approved schedule, budget, and the technical assistance grant project milestones. Special problems encountered must be explained.

Final report. Each recipient shall submit to EPA the final report within 90 days after the end of the project. The report shall describe project goals and

objectives, activities undertaken to achieve goals and objectives, difficulties encountered, technical advisors' work products and funds spent.

Minority-Owned Business Enterprise/Women-Owned Enterprise (MBE/WBE) Utilization Report: Each recipient shall submit to EPA a MBE/WBE Utilization report annually, even if no contracts have been signed. Each recipient is required to disclose whether they have contracted with a MBE/WBE in the past Federal fiscal year, the value of the contract, if any, and the percentage of the total project dollars on MBE/WBEs. 6) Each recipient shall comply with any reporting requirements in the terms and conditions of the "grant agreement".

Each recipient shall comply with any reporting and record keeping requirements in CFR 200 and EPA's implementing regulations at 2 CFR 1500. A Financial Status Report is due annually, within 90 days after the start of the TAG project. A Final Financial Status Report is due within 90 days after the end of the TAG funding period. The reports should include a status of the TAG funds and identify project transactions. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

1) Each recipient shall keep complete financial records accurately showing how the Federal funds were used and the match, whether it is in the form of cash or in-kind assistance. 2) Each recipient shall comply with any reporting and record keeping requirements of 2 CFR 200 and EPA's implementing regulations at 2 CFR 1500. 3) Each recipient must keep TAG financial records for ten years from the date of the final Financial Status Report, or until any audit, litigation, cost recovery, and/or disputes initiated before the end of the ten-year retention period are settled, whichever is longer. At the ten-year mark, the TAG financial records may be disposed of after first getting written approval to do so from the EPA. Alternately, a recipient may choose to submit financial records to the EPA for safekeeping when the final Financial Status Report is submitted. 4) Each recipient must send to EPA a copy of each final written product prepared by their technical advisor as part of the TAG. EPA will send the final written products to the local Superfund site information repository(ies) where all site-related documents are available to the public.

Account Identification:

68-8145-0-1-304.

Obligations:

(Project Grants) FY 16 \$305,000; FY 17 est \$300,000; and FY 18 est \$200,000 - (Includes initial awards and additional funds) In FY 2016, \$305,000 was awarded. It is estimated that \$300,000 will be awarded in FY 2017 and \$200,000 in FY 2018.

Range and Average of Financial Assistance:

Initial awards for assistance agreements awarded under this CFDA number will not exceed \$50,000. After the initial award, additional funding may be awarded based on the criteria detailed under 40 CFR 35.4065, and subject to the availability of funds. The average additional award is \$40,000 (per agreement). Since 1988, 343 TAGs have been awarded. Of these, 195 grantees were awarded \$50,000 or less; 92 grantees have received awards with a cumulative value between \$51,000 and \$150,000; 41 grantees have received awards with a cumulative value ranging between \$151,000 and \$250,000; and 15 grantees have received awards whose cumulative value totals of more than \$250,000. The cumulative award value includes the initial award and any supplemental funding awards over the life of the agreement.

TAFS Codes:

68-8145.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Since the program began on March 24, 1988, through September 30, 2016, 343 TAG awards have been issued by EPA, totaling almost \$30,800,000 (including new awards, additional funds, waivers and deviations). In most cases, EPA receives only one application for a site and in most cases an award is made. EPA did not receive any Letters of Intent (LOIs) from eligible community groups in FY 2016. Therefore, no new TAG awards were made in FY 2016. However, ten supplemental funding awards were made to existing grantees, totaling \$305,000. The grant includes an outreach component wherein the grantee helps to educate the broader community on issues related to the site cleanup. Under this grant, grantees have developed community newsletters, developed web sites, conducted community meetings to help other community members better understand technical information related to the site cleanup and to better respond to site-related technical documents during public comment periods. Technical comments provided to EPA under this grant assist EPA in meeting Superfund strategic targets of identifying and controlling unacceptable human exposures from site contamination; monitoring and controlling migration of contaminated ground water; and identifying a final remedy suitable for stated reuse (under Goal 3.2.2 of EPA's Strategic Plan). Fiscal Year 2017: Since the program began on March 24, 1988, through September 30, 2016, 343 TAG awards have been issued by EPA, totaling more than \$31,000,000 (including new awards, additional funds, waivers and deviations). In most cases, EPA receives only one application for a site and in most cases an award is made. EPA did not award any new TAGs in FY 2016. However, seven supplemental funding awards were made to existing grantees, totaling \$287,000. The grant includes an outreach component wherein the grantee helps to educate the broader community on issues related to the site cleanup. Under this grant, grantees have developed community newsletters, developed web sites, conducted community meetings to help other community members better understand technical information related to the site cleanup and to better respond to site-related technical documents during public comment periods. Technical comments provided to EPA under this grant assist EPA in meeting Superfund strategic targets of identifying and controlling unacceptable human exposures from site contamination; monitoring and controlling migration of contaminated ground water; and identifying a final remedy suitable for stated reuse (under Goal 3.2.2 of EPA's Strategic Plan). Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Parts 200 and 1500; 40 CFR Part 35,(Subpart M); and TAG Program Guidance Documents.

Regional or Local Office:

See Regional Agency Offices. Freya Margand; Community Involvement and Outreach Branch, Office of Superfund Remediation & Technology Innovation, Mail code: 5204P, U.S. Environmental Protection Agency, 1200 Pennsylvania Ave.; NW, Washington, DC 20460. Telephone: (703) 603-8889. Fax: (703) 603-9102. E-mail address: margand.freya@epa.gov

Questions may also be directed to the EPA Regional Office supporting a particular Superfund site. All TAG paperwork should be submitted directly to the EPA Regional Office.

TAGs are awarded by Regional EPA Offices. For questions related to TAGs for a specific site or for application assistance, contact the EPA TAG Coordinator for your region, as follows:

Serving: ME, NH, VT, MA, RI, CT and Tribal Nations; Robert Shewack,

EPA Region 1 (MC: OSRR01-5), 5 Post Office Square Suite 100, Boston, MA 02109-3912. Phone: (617) 918-1428. Email: shewack.robert@epa.gov

Serving: NY, NJ, Puerto Rico, Virgin Islands and Tribal Nations; Arlene Chin, EPA Region 2, 290 Broadway, 26th floor, New York, NY 10007-1866.

Phone: (212) 637-3408. Email: chin.arlene@epa.gov

Serving: PA, DE, DC, MD, VA and WV; Gina Soscia, EPA Region 3, 1650 Arch Street(3HS52),Philadelphia, PA 19103. Phone:(215) 814-5538. Email: soscia.gina@epa.gov

Serving: KY, TN, NC, SC, MS, AL, GA, FL and Tribal Nations; Jackie Dendy, EPA Region 4,61 Forsyth Street S.W., 11TH FL., Atlanta, GA 30303. Phone: (404) 562-8876. Email: dendy.jackie@epa.gov

Serving: IL, IN, MI, MN, OH, WI and Tribal Nations; Susan Pastor,EPA Region 5 (SI-7J),77 W. Jackson Blvd,Chicago, IL 60604-3507.Phone:(312) 353-1325. Email: pastor.susan@epa.gov

Serving: NM, TX, OK, AR, LA and Tribal Nations; Janetta Coats,EPA Region 6, 1445 Ross Avenue (6SF-VO),Dallas, Texas 75202.Phone:(214) 665-7308. Email: coats.janetta@epa.gov

Serving: NE, KS, IA, MO and Tribal Nations; Brendan Corazzin, EPA Region 7, 11201 Renner Blvd,Lenexa, KS 66219. Phone: (913) 551-7429. Email: corazzin.brendan@epa.gov

Serving: MT, ND, WY, SD, UT, CO and Tribal Nations;Sisay Ashenafi, EPA Region 8, 1595 Wynkoop Street, Denver, CO 80202. Phone: (303) 312-6138. Email: ashenafi.sisay@epa.gov

Serving: CA, NV, AZ, HI, U.S. Pacific Territories and Tribal Nations; Jackie Lane, EPA Region 9, 75 Hawthorne Street (SFD-6-3), San Francisco, CA 94105-3901. Phones: (415) 972-3226. Email: lane.jackie@epa.gov

Serving: WA, OR, ID, AK and Tribal Nations; Julie Congdon, EPA Region 10, 1200 6th Ave (Ste. 900), Seattle, WA 98101. Phone: (206) 553-2752. Email: congdon.julie@epa.gov

A list of the Regions and their States, plus the name(s) and telephone number(s) for the Regional TAG contact can be found by clicking the "Contact Us" tab on EPA's TAG web page.

<https://www.epa.gov/superfund/technical-assistance-grant-tag-program>.

Headquarters Office:

Freya Margand, Community Involvement and Program Initiatives Branch, Office of Superfund Remediation & Technology Innovation, Mail code: 5204P, Environmental Protection Agency, Ariel Rios Bldg., 1200 Pennsylvania Ave.; NW, Washington, District of Columbia 20460 Email: margand.freya@epa.gov Phone: (703) 603-8889.

Website Address:

<http://www.epa.gov/superfund>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current

Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description are described in the programmatic regulations (40 CFR Part 35) as follow. In selecting a proposal: 1) EPA will determine if a group meets the following eligibility criteria: (a) The community group is a group of people who may be "affected" by a release or a threatened release at any facility listed on the NPL or proposed for listing under the NCP where a response action is underway; and (b) The group meets the minimum administrative and management capability requirements found in 2 CFR 200 by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to managing the TAG. 2) EPA will also determine if there are factors which make the group ineligible. A group is ineligible if: (a) The group is a "potentially responsible party" (PRP), receives money or services from a PRP, or represents a PRP; (b) The group is "affiliated" with a national organization; (c) The group is an academic institution; (d) The group is a political subdivision; (e) The group was established or is presently sustained by any of the ineligible entities listed above; or (f) The group is not incorporated as a nonprofit organization for the specific purpose of representing affected people except as provided in 40 CFR 35.4045. 3) Once EPA determines if eligibility requirements have been met, the Agency considers whether and how successfully a group meets the following, equally weighted, criteria: (a) Representation of groups and individuals affected by the site; (b) The group's plans to use the services of a technical advisor throughout the Superfund response action; and (c) The group's ability and plan to inform others in the community of the information provided by the technical advisor. 4) Additionally, a group must incorporate as a nonprofit for the purpose of participating in decision making at the Superfund site for which the TAG was granted (for exception see 40 CFR 35.4045). Applicant groups should consult the Superfund TAG Handbooks, "Applying for a Grant" and "The Application Forms with Instructions," for detailed instructions on applying for a TAG.

**66.808 SOLID WASTE MANAGEMENT ASSISTANCE GRANTS
FEDERAL AGENCY:**

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Resource Conservation and Recovery Act of 1976, Public Law 94-580, 42 U.S.C 6901; Solid Waste Disposal Act, Section 8001.

OBJECTIVES:

To promote use of integrated solid waste management systems to solve solid waste generation and management problems at the local, regional and national levels.

Funding Priority - Fiscal Year 2015: Partnerships - Establishing and developing partnerships with states, local governments and other non profit organizations to assist them in advancing their waste management programs, including recycling and reduction of wastes, appropriate management of wastes that are generated, and developing integrated waste management plans. Education and Outreach - Developing and providing education, training, and outreach materials to educate and inform Americans about waste's impact on resource and energy use and pollution. Fostering a better understanding of the environmental ramifications of collective actions including how individual purchasing and manufacturing decisions are connected to the environment and to enable Americans to make better choices resulting in increased recycling, less waste and greater energy savings.

Funding Priority - Fiscal Year 2016: Partnerships - Establishing and developing partnerships with states, local governments and other non profit organizations to assist them in advancing their waste management programs, including recycling and reduction of wastes, appropriate management of wastes that are generated, and developing integrated waste management plans. Education and Outreach - Developing and providing education, training, and outreach materials to educate and inform Americans about waste's impact on resource and energy use and pollution. Fostering a better understanding of the environmental ramifications of collective actions including how individual purchasing and manufacturing decisions are connected to the environment and

to enable Americans to make better choices resulting in increased recycling, less waste and greater energy savings.

Funding Priority - Fiscal Year 2017: Partnerships - Establishing and developing partnerships with states, local governments and other non profit organizations to assist them in advancing their waste management programs, including recycling and reduction of wastes, appropriate management of wastes that are generated, and developing integrated waste management plans. Education and Outreach - Developing and providing education, training, and outreach materials to educate and inform Americans about waste's impact on resource and energy use and pollution. Fostering a better understanding of the environmental ramifications of collective actions including how individual purchasing and manufacturing decisions are connected to the environment and to enable Americans to make better choices resulting in increased recycling, less waste and greater energy savings.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Available for the allowable direct cost expenditures incident to program performance plus allocable portions of allowable indirect costs of the institution, in accordance with established EPA policies. Projects can include the following types of activities: training, surveys, education materials and programs, studies, and demonstrations; assistance to such projects shall not include any element of construction, or any acquisition of land or interest in land, or any subsidy for the price of recovered resources. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

State (including the District of Columbia, Puerto Rico, Virgin Islands, Guam, American Samoa, and Northern Mariana Islands), local, Tribal, interstate, and intrastate government agencies and instrumentalities, and non-profit organizations that are not 501(c)(4) organizations that lobby, including non-profit educational institutions and non-profit hospitals. Individuals and for-profit organizations are not eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Additionally, EPA may limit competition under this CFDA to Tribes and Inter-Tribal Consortia.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, the public, and interstate agencies.

Credentials/Documentation:

This program is subject to the provisions of 2 CFR 200 Subpart E. Nonprofits need to provide proof of their status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Discussion with EPA Headquarters or the appropriate EPA Regional Office is advisable. (See Appendix IV of the Catalog for list of Regional Contacts). This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Regarding pre-application/pre-proposal assistance with respect to competitive funding

opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application form as furnished by the Federal agency and required by 2 CFR 200 and 1500, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Requests for application forms and submission of completed applications should be directed to EPA Grants and Interagency Agreements Management Division (3903R), Washington, DC 20460, or the appropriate EPA Regional Office listed in Appendix IV of the Catalog. This program is subject to the provisions of 2 CFR 200 and 1500, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

EPA reviews each application. They shall be subjected to administrative evaluation to determine the adequacy of the application in relation to grant regulations and program evaluation criteria to determine the merit and relevance of the project. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 2 CFR 1500 Subpart E. Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted, which will be reviewed in the same manner as a new application.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants will normally be funded on a 12 month basis (yearly). The total approved project period is generally no longer than 3 years. See the following for information on how assistance is awarded/released: Assistance under this program can be awarded in various ways, but will usually be awarded in lump sum or annually.

Reports:

No content available. No content available. Quarterly, interim and final progress, expenditure, equipment and invention reports. Progress Reports are required under this program. Generally they are quarterly or monthly.

Expenditure Reports are required and usually accompany the progress reports. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 \$463,500; FY 17 est \$500,000; and FY 18 est \$500,000 - FY 15 \$463,500; FY 16 est \$500,000; FY 17 est \$500,000.

Range and Average of Financial Assistance:

\$10,000 to \$460,000/FY 16; \$50,000/FY 17.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: For fiscal year 16, 2 projects were awarded. Quantifiable data on the number and ratio of past applications not available. Fiscal Year 2017: For fiscal year 17, 3 projects are expected to be awarded. Quantifiable data on the number and ratio of past applications not available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency 2 CFR 200 and 1500 as applicable.

Regional or Local Office:

See Regional Agency Offices. Individuals are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Nick Vizzone, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W. Mail Code: 5305P, Washington, District of Columbia 20460
Email: vizzone.nick@epa.gov Phone: (703) 308-8460.

Website Address:

<http://www.epa.gov/epawaste/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available
Fiscal Year 2017: No content available.
Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.809 SUPERFUND STATE AND INDIAN TRIBE CORE PROGRAM COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 104(d), 42 U.S.C 9604(d).

OBJECTIVES:

To effectively implement the statutory requirements of CERCLA Section 121(f) for State involvement. To provide funds to conduct CERCLA activities which are not assignable to specific sites, but support a recipient's site-specific response program.

Funding Priority - Fiscal Year 2015: No content available

Funding Priority - Fiscal Year 2016: No content available

Funding Priority - Fiscal Year 2017: To support states and tribes to effectively meet the statutory requirements of CERCLA Section 121(f) for meaningful and substantial involvement in the Superfund remedial program.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Core Program Cooperative Agreements are offered to ensure that each State, or Federally Recognized Indian Tribe or intertribal consortia can substantially participate in CERCLA response actions. Recipients negotiate with EPA Regions to receive funding for a variety of activities that include: (1) Procedures for emergency response actions and remediation of environmental and health risks; (2) provisions for satisfying all requirements and assurances; (3) development of legal authorities and enforcement support; (4) hiring and training staff; and (5) activities that support EPA/Recipient interaction. Recipients with Regional approval may receive awards for multi year budget and project periods at the Region's discretion. Funding for the entire project may be made as a lump sum or incrementally over time. Recipients must demonstrate progress towards the goals stated in the Core Program Cooperative Agreement in order to be eligible for continued funding. Only one Core Program Cooperative Agreement will be awarded to each eligible State or Tribe or intertribal consortia through the Single Point of Contact. Funds must be used for non-site-specific activities as described above.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States (and political subdivisions thereof), Commonwealths, U.S. Territories and Possessions, and Federally Recognized Indian Tribal Governments, including intertribal consortia.

Beneficiary Eligibility:

States (and political subdivisions thereof), Commonwealths, U.S. Territories and Possessions, and Federally Recognized Indian Tribal Governments, including intertribal consortia.

Credentials/Documentation:

Costs will be determined in accordance 40 CFR Part 35 Subpart O and 2 CFR 200 Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by 2 CFR 200 and 1500 must be used for this program. Consultation and pre-application conference are recommended. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State

requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA regional Superfund program offices review the applications for cooperative agreements. Final approval of application and supporting documentation and offer of award is made by the EPA Regional Administrator unless re-delegated to the Regional Division Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately ninety (90) days.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Applications for additional funding need to include only the revised pages from EPA Form 424.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 10%. As required by 40 CFR Part 35 Subpart O, State recipients of Core Program Cooperative Agreements must provide a 10 percent cost share of the total project cost on all awards. This match may be made from either direct, non-federal funds, non-reimbursed fund, or with in kind contributions. CERCLA credits earned at other Superfund sites cannot be used to meet the 10 percent match. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is awarded as a lump sum, or may be incrementally funded. Recipients with Regional approval may receive cooperative agreement awards for multi year budget and project periods at the Region's discretion. Continued funding of tasks in subsequent years is based on an evaluation of demonstrated progress towards the goals in the Core Program Cooperative Agreement Statement of Work. See the following for information on how assistance is awarded/released: In order to receive payment by the letter of credit method, the recipient must comply with the requirements regarding letter of credit described in Part of 2CFR 200. The recipient must identify and charge costs to specific sites, activities, and operable units, as applicable, for drawdown purposes as specified in the Cooperative Agreement. If the recipient is unable to meet letter of credit requirements, EPA will pay the recipient by reimbursement. The recipient must comply with the requirements regarding reimbursement described in 2 CFR Part 200.

Reports:

No program reports are required. No cash reports are required. The recipients must submit progress reports no more frequently than quarterly, but at a minimum annually. The recipients must submit financial status reports annually, and a final report. Financial status reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are

subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 2 CFR 200 and 1500 and Part 35, Subpart O are applicable. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. The recipient must maintain all records for 10 years following submission of the final Financial Status Report unless otherwise directed by the EPA award official, and must obtain written approval from the EPA award official before destroying any records. If any litigation, claim, negotiation, audit, cost recovery, or other action involving the records has been started before the expiration of the ten year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular ten-year period, whichever is later.

Account Identification:

68-8145-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 \$4,600,000; FY 17 est \$4,600,000; and FY 18 Estimate Not Available - FY15 \$5.6 million; FY16 \$4.6 million; FY 17 \$4.6 million (estimate).

Range and Average of Financial Assistance:

\$2,500 to \$355,000; with the average award being \$127,966.

TAFS Codes:

68-8145.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: na. Fiscal Year 2017: In Fiscal Year 2017, the types of activities funded included: hiring staff, administrative salaries, clerical help, financial accounting, data management, program management, medical monitoring, health and safety training for field employees, computer systems purchases, training, legal assistance and legislative development. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200, 40 CFR Part 35, Subpart O, Program Guidance Documents.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Yolanda Singer Ellyn Fine, Assessment and Remediation Division, Office of Superfund Remediation and Technology Innovation, Office of Land and Emergency Management; Mail Code 5204P, EPA, Washington, DC 20460. Telephone: (703) 603-8714. E-mail: fine.ellyn@epa.gov, Washington, District of Columbia 20460 Email: singer.yolanda@epa.gov Phone: (703) 603-8835

Website Address:

<http://www.epa.gov/superfund>

RELATED PROGRAMS:

66.802 Superfund State, Political Subdivision, and Indian Tribe Site_Specific Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: na. Fiscal Year 2017: Administrative and program development, accounting systems development, legal authorities and enforcement support, hiring and training of State response staff, procedures for emergency response actions, identifying sites in the State affected by the release of hazardous substances, program management and planning; financial administration, reconciliation of records and financial report preparation; budget preparation/management, procurement and labor allocation accounting and reporting services; and state records and archives representation, cost recovery records maintenance, and cost recovery report preparation. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For Core Program Cooperative Agreements, recipients must demonstrate a need

for non-site specific funds to support activities not covered by other site-specific awards. Each project is examined and selected on a case-by-case basis based upon availability of funds, receipt of application, and other criteria as determined by EPA.

66.812 HAZARDOUS WASTE MANAGEMENT GRANT PROGRAM FOR TRIBES

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Department of Veterans Affairs and Housing and Urban Development and Independent Agencies Appropriation Act, Public Law 105-276, 112 Stat. 2461&2499.

OBJECTIVES:

The Hazardous Waste Management Grant Program for Tribes provides assistance for the development and implementation of hazardous waste management programs; for building capacity to improve and maintain regulatory compliance; and for developing solutions to address hazardous waste management issues in Indian country.

Funding Priority - Fiscal Year 2015: Develop and implement hazardous waste management programs that improve the applicant's ability to properly identify, manage, or dispose of hazardous waste. Build capacity to improve and maintain regulatory compliance and develop solutions to address hazardous waste mismanagement impacting Tribal lands. Development and institutionalization of hazardous waste program capacity for Tribal governments and the communities they serve.

Funding Priority - Fiscal Year 2016: Develop and implement hazardous waste management programs that improve the applicant's ability to properly identify, manage, or dispose of hazardous waste. Build capacity to improve and maintain regulatory compliance and develop solutions to address hazardous waste mismanagement impacting Tribal lands. Development and institutionalization of hazardous waste program capacity for Tribal governments and the communities they serve.

Funding Priority - Fiscal Year 2017: Develop and implement hazardous waste management programs that improve the applicant's ability to properly identify, manage, or dispose of hazardous waste. Build capacity to improve and maintain regulatory compliance and develop solutions to address hazardous waste mismanagement impacting Tribal lands. Development and institutionalization of hazardous waste program capacity for Tribal governments and the communities they serve.

Funding Priority - Fiscal Year 2018: Develop and implement hazardous waste management programs that improve the applicant's ability to properly identify, manage, or dispose of hazardous waste. Build capacity to improve and maintain regulatory compliance and develop solutions to address hazardous waste mismanagement impacting Tribal lands. Development and institutionalization of hazardous waste program capacity for Tribal governments and the communities they serve.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This grant program will support projects designed to develop and implement hazardous waste management programs. These programs must improve the applicant's ability to properly identify, manage, or dispose of hazardous waste. All hazardous waste management activities that address the Resource Conservation and Recovery Act (RCRA) Subtitle C "cradle to grave" approach are eligible. Each of the following hazardous waste management program activities are considered central to a "cradle to grave" program: Hazardous Waste Identification; Hazardous Waste Generator Siting, Monitoring, & Compliance (Large Quantity, Small Quantity, and Conditionally Exempt Small Quantity Generators); Hazardous Waste Minimization, Recycling, Used Oil, and Universal Wastes; Hazardous Waste Transportation; Treatment, Storage,

and Disposal Facility Siting, Permitting, Monitoring, Corrective Action, & Enforcement; Land Disposal Restrictions; Combustion. Assistance will be provided under this program only for activities which EPA determines are appropriate to carry out the purposes of RCRA. Assistance under this program does not include any element of municipal solid waste management, chemical emergency planning and preparedness or superfund program cleanup.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

The following are eligible to receive financial assistance: (a) an Indian tribal government, and (b) an intertribal consortium or consortia. An Indian tribal government is any tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation (as defined in or established pursuant to the Alaska Native Claims Settlement Act, 43 U.S.C. 1601, et seq.), which is recognized by the U.S. Department of the Interior as eligible for the special services provided by the United States to Indians because of their status as Indians. A consortium is a partnership between two or more Indian tribal governments authorized by the governing bodies of those tribes to apply for and receive assistance under this program. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

This program is subject to the provisions of 2 CFR 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Potential applicants should consult the designated Regional Tribal hazardous waste program coordinator. It is strongly suggested that potential applicants consult with the designated Regional point of contact. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The annual competitive announcement outlines specific procedures for submitting proposals. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in

accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

In order to receive renewals, significant progress on previously awarded grant must be demonstrated.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of the grant award. See the following for information on how assistance is awarded/released: Assistance agreements will be fully funded by a lump sum.

Reports:

Annual Regional Review. Grantees must provide financial and performance activity reports according to the terms negotiated with the Regional program. Copies of the reports should also be sent to the EPA Office of Resource Conservation and Recovery headquarters representative.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 2 CFR 200 and 1500. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 2 CFR 200 and 1500. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 2 CFR 200 and 1500. Typically expenditure reports are not required. However, grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 2 CFR 200 and 1500. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in 2 CFR 200 and 1500.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Records and accounts must be maintained to reflect the operations of the project. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is

completely resolved.

Account Identification:

68-0103-0-1-304 - STAG.

Obligations:

(Cooperative Agreements) FY 16 \$297,000; FY 17 est \$300,000; and FY 18 est \$300,000 - FY 2016 - \$297,000 Actual; FY 2017 - \$301,000 Actual; FY 2018 - \$300,000 est.

Range and Average of Financial Assistance:

Range = \$18,000 to \$100,000; Average = \$56,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, the Office of Resource Conservation and Recovery (ORCR) - formerly OSW - distributed funds to Tribes and Inter-Tribal Consortia in support of activities that facilitate the development of comprehensive management programs to properly identify, manage, or dispose of hazardous waste; build capacity to improve and maintain regulatory compliance; and identify and/or implement solutions that will address hazardous waste mismanagement. In FY 2016, ORCR received 11 proposals. Out of the 11 proposals that were received, 9 were considered eligible and were reviewed. To assist Tribes through the FY 2016 Hazardous Waste Management Grant Program for Tribes, \$297,000 in STAG funding was distributed to Regions 6, 9, and 10. A total of 4 awards were made through these Regions. Fiscal Year 2017: In FY 2017, the Office of Resource Conservation and Recovery (ORCR) - formerly OSW - distributed funds to Tribes and Inter-Tribal Consortia in support of activities that facilitate the development of comprehensive management programs to properly identify, manage, or dispose of hazardous waste; build capacity to improve and maintain regulatory compliance; and identify and/or implement solutions that will address hazardous waste mismanagement. In FY 2017, ORCR received 13 proposals. Out of the 13 proposals that were received, 11 were considered eligible and were reviewed. To assist Tribes through the FY 2017 Hazardous Waste Management Grant Program for Tribes, \$301,000 in STAG funding was distributed to Regions 5, 8, and 9. A total of 3 awards were made through these Regions. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures 2 CFR 200 and 1500 as applicable.

Contact designated Regional program coordinator for other documents, including the "Hazardous Waste Management Grant Program for Tribes: Guidance for Applicants."

Regional or Local Office:

See Regional Agency Offices. Designated Regional Tribal Hazardous Waste Management Program Contacts: Region, Address, Name, E-mail Address, Phone, Fax. Region I, U.S. EPA, 1 Congress Street, Boston, MA 02114-2023, Michael Stover, Stover.Michael@epa.gov, (617) 918-1123, (617) 918-0343. Region II, U.S. EPA, 290 Broadway, 22nd Floor, New York, NY 10007-1886, David Abrines, Abrines.David@epa.gov, (212) 637-3043, (212) 637-4437. Region IV, U.S. EPA, Atlanta Federal Center, 61 Forsyth Street, Atlanta, GA 30303, Davy Simonson, Simonson.Davy@epa.gov, (404) 562-8457, (404) 562-8439. Region V, U.S. EPA, 77 W. Jackson Blvd, Chicago, IL 60604, Dolly Tong, Tong.Dolly@epa.gov, (312) 886-1019, (312) 353-6519. Region VI, U.S. EPA, 1445 Ross Avenue, Dallas, TX 75202-2733, Nick Stone (Technical), Stone.Nick@epa.gov, Maurice Hill (Grants), Hill.Maurice@epa.gov, (214) 665-7226, (214) 665-7216, (214) 665-8523, (214) 665-2182. Region VII, U.S. EPA, 901 N. 5th Street, Kansas City, KS 66101, Elizabeth Blackburn, Blackburn.Liz@epa.gov, (913) 551-7899, (913) 551-9899. Region VIII, U.S. EPA, 1595 Wynkoop Street, Mail Code: 8P-HW, Denver, CO 80202-1129, Roger Hancock, Hancock.Roger@epa.gov, (303) 312-6135, (303) 312-6341. Region IX, U.S. EPA, 75 Hawthorne Street, Mail Code: WST-7, San Francisco, CA 94105, Deirdre Nurre, Nurre.Deirdre@epa.gov, (415) 972-4290, (415) 947-3530. Region X, U.S. EPA, 1200 6th Avenue, Mail Code: WCM-128;

Seattle, WA 98101, Gabriela Carvalho, Carvalho.Gabriela@epa.gov, (206) 553-6698, (206) 553-8509.

Headquarters Office:

Denise Roy, Office of Resource Conservation and Recovery, Environmental Protection Agency, 1200 Pennsylvania Ave., NW., (5303P), Washington, District of Columbia 20460 Email: Roy.Denise@epa.gov Phone: (703) 308 8458.

Website Address:

<http://www.epa.gov/epawaste/wyl/tribal/index.htm>

RELATED PROGRAMS:

66.473 Direct Implementation Tribal Cooperative Agreements; 66.605 Performance Partnership Grants; 66.701 Toxic Substances Compliance Monitoring Cooperative Agreements; 66.708 Pollution Prevention Grants Program; 66.801 Hazardous Waste Management State Program Support; 66.808 Solid Waste Management Assistance Grants; 66.926 Indian Environmental General Assistance Program (GAP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: na. Fiscal Year 2017: In FY 2017, the following activities are suggestive and do not limit the range of activities considered eligible under this grant program. These activities are eligible when they are performed in support of developing and implementing a tribal program to manage hazardous waste: Develop and implement codes, regulations, and ordinances, policies, and/or guidance to regulate hazardous waste management activities and facilities, such as generators, transporters, and treatment, storage and disposal facilities. Develop and implement hazardous waste program enforcement policies and procedures to ensure regulatory compliance, including monitoring and inspection schemes. Develop legal and administrative infrastructure adequate to implement and maintain regulatory and/or non-regulatory hazardous waste management programs. Includes support for Tribal Emergency Response Committees (TERC). Identify and assess hazardous waste generation and ongoing management of concern to the community, including: inventory active and inactive dump/disposal sites and assess contamination potential; characterize hazardous waste stream, including illegal dump-sites; and identify sensitive sites with potential or actual impacts on water bodies, soil, air, cultural/historic resources, threatened or endangered species, etc. Develop and implement tribal integrated hazardous waste management plans (including, but not limited to, source reduction/recycling, household hazardous waste collection programs, pesticide container collection). Implement used oil collection/re-use and other programs to reduce the mismanagement of hazardous waste in the community. Implement household hazardous waste collection events or programs that provide sustainable or long-term solutions for disposal of household hazardous waste for the community. Develop outreach/education documents and other resource materials to plan and conduct hazardous waste management seminars for tribal leaders, community members, business and civic organizations, etc. Establish qualifications for environmental management personnel relative to the tribes needs and plan for program implementation. Establish a communications plan for hazardous waste management program activities. Identify multi-jurisdictional opportunities for hazardous waste management, including feasibility for intergovernmental (Tribal, Federal, State, local) cooperative efforts. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.813 ALTERNATIVE OR INNOVATIVE TREATMENT TECHNOLOGY RESEARCH, DEMONSTRATION, TRAINING, AND HAZARDOUS SUBSTANCE RESEARCH GRANTS

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311(b)&(c), Public Law 95-510, 42 U.S.C 9660; Comprehensive Environmental Response, Compensation, and Liability Act

(CERCLA), Section 311(b)&(c), Public Law 99-499, 42 U.S.C 9660.

OBJECTIVES:

To support grants and cooperative agreements for (1) a program of research, evaluation, testing, development, and demonstration of alternative or innovative treatment technologies which may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment; (2) a technology transfer program including the development, collection, evaluation, coordination, and dissemination of information relating to the utilization of alternative or innovative treatment technologies for response actions; (3) a program of training and evaluation of training needs in the procedures for the handling and removal of hazardous substances for employees who handle hazardous substances and training in the management of facilities at which hazardous substances are located and in the evaluation of the hazards to human health presented by such facilities for State and local health and environmental agency personnel, and (4) a program of research with respect to the detection, assessment, and evaluation of the effects on and risks to human health of hazardous substances and detection of hazardous substances in the environment.

"Alternative Treatment" refers to (new) technologies and techniques for treating solid waste/sites that differ from the technologies techniques being used currently.

Funding Priority - Fiscal Year 2015: No content available.

Funding Priority - Fiscal Year 2016: The Association of State and Territorial Solid Waste Management Officials (ASTSWMO) held a CERCLA and Brownfield Research Center Subcommittee Symposium in August 2016, as well as Annual and Mid-Year meetings (October 2015 and April 2016). Focus group research covered issues related to EPA and state implementation of the federal Superfund program including site assessment, remedial action, post-construction management and contaminated sediments. FY17: On-going research from FY16 will be completed and shared at the ASTSWMO Annual Meeting (October 2016) and Mid-Year meeting (April 2017).

Funding Priority - Fiscal Year 2017: The Association of State and Territorial Solid Waste Management Officials' Focus Group research covered issues related to EPA and state implementation of the federal Superfund program including site assessment, remedial action, post-construction management and contaminated sediments. ASTSWMO presented research at its Annual and Mid-Year meetings (October 2016 and April 2017). EPA issued a Request for Proposals on December 9, 2016, entitled CERCLA Research Cooperative Agreement for competitive proposals to provide support for state participation in developing tools, procedures and guidance to promote efficient cleanup work by states under the Superfund program. EPA received one proposal in response to the announcement; the selection is under review by EPA.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable indirect costs, in accordance with established EPA policies and regulations. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Types of discrete projects may include: land use controls research; health effects in construction workers; studies of above ground storage tank inspection practices; remediation technologies and strategies; and support for State forums to share information on research on hazardous substances waste remediation. Funding awarded for research under CERCLA 311(c) does not include research within the purview of EPA's Office of Research and Development. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Assistance under this program is generally available to States, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, and individuals. In some instances, EPA will consider applications from profit makers, proposing projects with significant technical merit and relevance to EPA's Office of Solid Waste and Emergency Response. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, industry, and other public and private institutions and individuals.

Credentials/Documentation:

EPA may request that applicants document their nonprofit status. The Agency may also request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Applicants considering submitting proposals are advised to discuss proposed projects with or submit pre-applications to staff in the appropriate EPA technical program offices. When making competitive awards, EPA will specify the nature of the pre-application assistance, if any, that will be available to applicants in the Requests for Initial Proposals or Requests for Applications. For information contacts, see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms as furnished by the Federal agency and required by 2 CFR 200 and must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants, except in limited circumstances approved by the Agency, must submit all applications for funding through <http://www.grants.gov>.

Award Procedure:

For non-competitive awards, EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and a technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

For non-competitive awards: Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable. For competitive awards: Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None. EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: EPA supports two types of projects under this Section:

1. Alternative or innovative treatment demonstration projects [311(b)(3)].

When making awards under Section 311(b)(3), "Alternative or innovative treatment technology research and demonstration program, Contracts and grants," the Administrator shall, to the maximum extent possible, enter into appropriate cost sharing arrangements. EPA may waive the 311(b)(3) cost sharing requirement in appropriate cases if a justification is provided and accepted.

This program has no statutory cost sharing formula, however, there are funding restrictions. For example, the Administrator shall not provide any Federal assistance for any part of a full-scale field demonstration project unless the applicant can demonstrate that it cannot obtain appropriate private financing on reasonable terms and conditions sufficient to carry out the demonstration project. The total Federal funds for any full-scale field demonstration project shall not exceed 50 percent of the total cost of such project estimated at the time of the award. Furthermore, the Administrator shall not expend more than \$10,000,000 for assistance under the program in any fiscal year and shall not expend more than \$3,000,000 for any single project.

2. Hazardous substance research [311(c)].

Assistance awarded under Section 311(c), "Hazardous substance research" has no cost sharing requirements or funding restrictions. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA generally funds grants and cooperative agreements in a lump sum on a

12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Incremental funding may be available. EPA generally limits project periods to 5 years. The Office of Congressional Liaison is notified of the award. They in turn notify the appropriate House and Senate members that the assistance agreement has been awarded. This notification period lasts 5 days. After the 5 Day Congressional notification period, the original award document will be sent to the recipient. A copy of the award document will be sent to the Project Officer and the Las Vegas Financial Management Center or the Regions Financial Management Office. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting requirements are identified at 2 CFR 200 and 1500 as applicable. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 2 CFR 200 and 1500. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 2 CFR 200 and 1500. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 2 CFR 200 and 1500.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-8145-0-1-304.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 est \$500,000; and FY 18 Estimate Not Available - FY15 \$190,332(actual); FY 16(est.)\$200,000.00; FY 17 (est.) \$500,000.00.

Range and Average of Financial Assistance:

For each fiscal year it is \$50,000 - \$1,000,000 Average \$500,000.

TAFS Codes:

68-8145.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: na. Fiscal Year 2017: na. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Alternative or innovative treatment technology and hazardous substance research grants are subject to EPA's General Grant Regulations 2 CFR 200 and 1500 and FAR Part 31 for profit makers.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Barbara McDonough, Contracts Management Branch, Resources Management Division, Office of Superfund Remediation & Technology Innovation, USEPA (5202-P), 1200 Pennsylvania Ave., N.W., Washington, District of Columbia 20460 Email: mcdonough.barbara@epa.gov Phone: (703) 603-9042.

Website Address:

<http://www.epa.gov/superfund/index.htm>

RELATED PROGRAMS:

66.808 Solid Waste Management Assistance Grants; 66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements ; 66.815 Brownfield Job Training Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: To Check and Print Your Completion Certificate: After completing the course, please check your completion certificate to ensure it has a 2017 completion date. To do so, log into Skillport, click on the down arrow next to your photo icon (upper right corner), and the select Learning Transcript. All of your Skillport course completion certificates can be found here. You can print the certificate by selecting the Action button, and then selecting View Certificate. You will see the print option in the upper right corner of the certificate. Fiscal Year 2017: New award pending. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Competitive applications and proposals will be selected in accordance with announced criteria. Non-competitive proposals are judged for (a) technical merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project; and (2) relationship to program objectives. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.814 BROWNFIELDS TRAINING, RESEARCH, AND TECHNICAL ASSISTANCE GRANTS AND COOPERATIVE AGREEMENTS

Brownfields 104(k)(6) Grants

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act, Section 101(39)&104k(6), 42 U.S.C 9604(k)(6).

OBJECTIVES:

CERCLA 104(k)(6) provides EPA with authority for a program of training, research, and technical assistance to individuals and organizations to facilitate the inventory of brownfields properties, assessments, cleanup of brownfields properties, community involvement, or site preparation. Brownfield sites are real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant.

Funding Priority - Fiscal Year 2015: Using a competitive grants process, EPA intends to select proposals and award cooperative agreements for training, research, and technical assistance related to brownfields. EPA also intends to incrementally fund projects with satisfactory project progress selected in previous 104(k)(6) training, research, and technical assistance competitions.

The Agency gives preference to the ten statutory ranking criteria, as applicable, found at CERCLA 104(k)(5)(C) (see [180] CRITERIA FOR SELECTING PROPOSALS, below) when evaluating applications for research, training and technical assistance funding. In addition to the statutory criteria, EPA also evaluates applicants based on their ability to manage grants and other policy-based factors intended to promote effective stewardship of federal funds. Up to 25% of the funding for CERCLA 104(k) may be used for characterization, assessment, and remediation of brownfields sites contaminated by petroleum or petroleum products. No more than 15% of the funding appropriated for CERCLA 104(k) grants may be used to fund training, research, and technical assistance grants authorized by CERCLA 104(k)(6). For certain competitive funding opportunities, the Agency may limit eligibility to a particular subset of eligible applicants. The amount of financial assistance will vary.

EPA expects to select and award cooperative agreement awards for the Brownfields Area-Wide Planning (BF AWP) program. Under this funding opportunity, EPA anticipates awarding approximately 20 cooperative agreements to facilitate community involvement and conduct the necessary research, training and technical assistance activities that will help the cooperative agreement recipients develop area-wide plans and implementation strategies to facilitate brownfields assessment, cleanup and subsequent reuse. The total funding amount for the BF AWP program is anticipated to be approximately \$4 million, or up to \$200,000 per grant award.

Based on their satisfactory progress, EPA intends to incrementally fund cooperative agreements that the Agency had awarded in previous years under CFDA 66.814. In FY15, the total incremental funding to cooperative agreements awarded in previous years under CFDA 66.814 is expected to be approximately \$1 million.

Funding Priority - Fiscal Year 2016: Using a competitive grants process, EPA intends to select proposals and award cooperative agreements for training, research, and technical assistance related to brownfields. EPA also intends to incrementally fund projects with satisfactory project progress selected in previous 104(k)(6) training, research, and technical assistance competitions. The Agency gives preference to the ten statutory ranking criteria, as applicable, found at CERCLA 104(k)(5)(C) (see [180] CRITERIA FOR SELECTING PROPOSALS, below) when evaluating applications for research, training and technical assistance funding. In addition to the statutory criteria, EPA also evaluates applicants based on their ability to manage grants and other policy-based factors intended to promote effective stewardship of federal funds. Up to 25% of the funding for CERCLA 104(k) may be used for characterization, assessment, and remediation of brownfields sites contaminated by petroleum or petroleum products. No more than 15% of the funding appropriated for CERCLA 104(k) grants may be used to fund training, research, and technical assistance grants authorized by CERCLA 104(k)(6). For certain competitive funding opportunities, the Agency may limit eligibility to a particular subset of eligible applicants. The amount of financial assistance will vary. In FY16, EPA anticipates the following competitive funding opportunities: - solicit proposals and select cooperative agreement recipients for the Technical Assistance to Brownfields Communities (TAB) Program. Under this funding opportunity, cooperative agreement recipients provide technical assistance related to a variety of brownfield issues to communities within their regional service area. Total funding for this program is estimated to be approximately \$11 million. Each award will be incrementally funded over the project period (up to five years for each cooperative agreement). - solicit cooperative agreement proposals for the Brownfields Area-Wide Planning (BF AWP) program. The funds will help each grant recipient conduct community involvement and other research and technical assistance activities needed to develop area-wide plans and implementation strategies, which are used to facilitate brownfields assessment, cleanup and subsequent reuse. The total funding amount for the BF AWP program is anticipated to be approximately \$5 million. While the funding opportunity will be solicited in FY16, cooperative agreements will be awarded with FY17 funds; consequently, this funding opportunity is titled the "FY17 Brownfields Area-Wide Planning Grant." -

solicit cooperative agreement proposals for a tribal-focused technical assistance cooperative agreement. Under this funding opportunity, the recipient(s) will provide direct technical assistance to tribes to help them address local brownfield issues. Total funding is estimated to be up to \$2 million, funded incrementally over a project period up to five years. Based on their satisfactory progress, in FY16 EPA also intends to incrementally fund cooperative agreements that the Agency already awarded in previous years under CFDA 66.814. The total incremental funding to cooperative agreements awarded in previous years under CFDA 66.814 is expected to be approximately \$1.2 million.

Funding Priority - Fiscal Year 2017: Using a competitive grants process, EPA intends to select proposals and award cooperative agreements for training, research, and technical assistance related to brownfields. EPA also intends to incrementally fund projects with satisfactory project progress selected in previous 104(k)(6) training, research, and technical assistance competitions. The Agency gives preference to the ten statutory ranking criteria, as applicable, found at CERCLA 104(k)(5)(C) (see [180] CRITERIA FOR SELECTING PROPOSALS, below) when evaluating applications for research, training and technical assistance funding. In addition to the statutory criteria, EPA also evaluates applicants based on their ability to manage grants and other policy-based factors intended to promote effective stewardship of federal funds. By statute, 25% of the funding for CERCLA 104(k) must be used for characterization, assessment, and remediation of brownfields sites contaminated by petroleum or petroleum products. No more than 15% of the funding appropriated for CERCLA 104(k) grants may be used to fund training, research, and technical assistance grants authorized by CERCLA 104(k)(6). For certain competitive funding opportunities, the Agency may limit eligibility to a particular subset of eligible applicants. The amount of financial assistance will vary. In FY17, EPA anticipates the following competitive funding opportunities: - select recipients for the Brownfields Area-Wide Planning (BF AWP) Grant Program. Approximately 20 cooperative agreements will be selected from the proposals that were solicited by EPA in FY16. These funds will enable recipients to facilitate community involvement and conduct the necessary research and technical assistance activities that will help them develop area-wide plans and implementation strategies to facilitate brownfields assessment, cleanup and subsequent reuse. The total funding amount for the BF AWP program is anticipated to be up to \$4 million. Awards up to \$200,000 per grant expected. - solicit cooperative agreement proposals and select one or more recipient(s) for a tribal-focused technical assistance cooperative agreement. The recipient(s) will provide direct technical assistance to tribes to help them address local brownfield issues. Total funding is estimated to be up to \$2 million, funded incrementally over a project period up to five years. - solicit cooperative agreement proposals and select one or more recipient(s) for a FY17 State Support Grant program. Total funding for this program is anticipated to be approximately \$1 million, incrementally funded over a project period up to five years. - solicit cooperative agreement proposals and select one or more recipient(s) for a brownfields conference support community education grant. Total funding for this program is anticipated to be approximately \$1 million, incrementally funded over a project period up to five years. Based on their satisfactory progress, EPA intends to incrementally fund cooperative agreements that the Agency already awarded in previous years. The total incremental funding to cooperative agreements awarded in previous years under CFDA 66.814 is expected to be approximately \$4.7 million.

Funding Priority - Fiscal Year 2018: Using a competitive grants process, EPA intends to select proposals and award cooperative agreements for training, research, and technical assistance related to brownfields. EPA also intends to incrementally fund projects with satisfactory project progress selected in previous 104(k)(6) training, research, and technical assistance competitions. The Agency gives preference to the ten statutory ranking criteria, as applicable, found at CERCLA 104(k)(5)(C) (see [180] CRITERIA FOR SELECTING PROPOSALS, below) when evaluating applications for research, training and technical assistance funding. In addition to the statutory criteria, EPA also evaluates applicants based on their ability to manage grants and other policy-based factors intended to promote effective stewardship of federal funds. By statute, 25% of the funding for CERCLA 104(k) must be used for characterization, assessment, and remediation of brownfields sites contaminated by petroleum or petroleum products. No more than 15% of the funding

appropriated for CERCLA 104(k) grants may be used to fund training, research, and technical assistance grants authorized by CERCLA 104(k)(6). For certain competitive funding opportunities, the Agency may limit eligibility to a particular subset of eligible applicants. The amount of financial assistance will vary. In FY17, EPA anticipates the following competitive funding opportunities: - solicit cooperative agreement proposals and select recipients for a multipurpose cooperative agreement to provide site assessment and technical assistance. This funding will help states create a team that provides technical assistance to small, rural and distressed communities address local brownfield issues. The total funding amount for the technical assistance portion is anticipated to be approximately \$2 million over a three year project period. Based on their satisfactory progress, EPA intends to incrementally fund cooperative agreements that the Agency had awarded in previous years under CFDA 66.814. In FY15, the total incremental funding to cooperative agreements awarded in previous years under CFDA 66.814 is expected to be over \$2.5 million.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds awarded under Section 104(k)(6) of CERCLA must be used for training, research, and technical assistance to individuals and organizations, to facilitate the inventory of brownfields properties, site assessments, cleanup of brownfields properties, community involvement, or site preparation. Grants and cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable programmatic costs, in accordance with established EPA policies and regulations. Costs incurred under CERCLA 104(k)(6) grants or cooperative agreements may not be used for an administrative cost, penalty or fine, a Federal cost-share requirement, a response cost for which the recipient of the grant or cooperative agreement is potentially liable under CERCLA 107, or the cost of complying with a Federal law, with the exception of the costs of laws applicable to cleanup of Brownfields sites. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

CERCLA 104(k)(6) cites eligible entities as: a general purpose unit of local government; a land clearance authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of local government; a government entity created by a State legislature; a regional council or group of general purpose units of local government; a redevelopment agency that is chartered or otherwise sanctioned by a State; a State (note CERCLA 107(27) defines term "State" to include territories or possessions over which the United States has jurisdiction); an Indian Tribe other than in Alaska; an Alaska Native Regional Corporation, Alaska Native Village Corporation and the Metlakatla Indian Community. Nonprofit organizations are also eligible for training, research, and technical assistance grants. Nonprofit organizations must meet the definition of that term in Section 4(6) of the Federal Financial Assistance Management Improvement Act of 1999, Public Law 96-107, 31 U.S.C. 6101 Note: Under this definition, colleges, universities, and community colleges are eligible to apply. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are not eligible to apply. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Cooperative agreement-funded activities will benefit the community members and local stakeholders who are proximate to brownfield sites (whether in an

urban, suburban or rural setting) including local governments, non-profit organizations, quasi public nonprofits, residents, local business owners, community groups, universities and colleges, industry, other public and private institutions, individuals, states and tribes.

Credentials/Documentation:

EPA may request that applicants document their non-profit status. The Agency may also request that applicants demonstrate they have appropriate background, academic training, and experience in the field to carry out projects. EPA may ask applicants for research projects to provide curriculum vitae and relevant publications. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. See Appendix 1 for additional information regarding SPOCs. If there is no single point of contact for the state, or the state has not selected this program for review, applicants must contact directly affected state area-wide regional and local entities prior to award. (See 40 CFR 29.7(b)). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms as furnished by the Federal agency and required by 2 CFR 200 and 1500 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. This is a competitive grant program. Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

EPA is required by statute to conduct this assistance program competitively. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on

satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds. Incremental funding is subject to review and approval by the Office of Brownfields and Land Revitalization, Office of Land and Emergency Management. The funded grants and cooperative agreements are not eligible for renewal. The funded grants and cooperative agreements are not eligible for renewal.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: For training, research and technical assistance grants, EPA will not require that applicants include a match or cost share unless required by the terms of a competitive announcement. Even if EPA decides not to require matching funds, a statutory factor in ranking applications under Section 104(k)(6) is the extent to which EPA financial assistance will stimulate the availability of other funds for environmental assessment or remediation, and subsequent reuse of Brownfields sites. Applicants may be encouraged to provide information regarding resources (cash/in-kind services) that they, or a project partner, would commit to efforts receiving EPA financial assistance. This program has no statutory formula.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA generally funds grants and cooperative agreements incrementally on a 12 month basis. However, EPA may fully fund any cooperative agreement at the time of award. EPA will negotiate the project period with each applicant based on project requirements. The project period can range anywhere from two to five years. The anticipated period of performance for the Technical Assistance to Brownfields (TAB) cooperative agreements is five years. The anticipated period of performance for the Brownfields Area-Wide Planning (BF AWP) cooperative agreements is two years. The anticipated period of performance for other technical assistance, research or training grants is usually up to five years, but may be fewer than five years depending on the specific terms of the cooperative agreement. See the following for information on how assistance is awarded/released: EPA generally funds the research, training, and technical assistance grants and cooperative agreements incrementally on a 12-month basis. However, EPA can negotiate the assistance award with each applicant based on project requirements. For example, usually EPA fully funds the two-year Brownfields Area-Wide Planning grants at initial award.

Reports:

No program reports are required. No cash reports are required. Reporting requirements are identified at 2 CFR 200 and 1500. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. Quarterly progress reports are generally required as part of the terms and conditions of the grants and cooperative agreements. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result

of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 \$4,400,000; FY 17 est \$8,500,000; and FY 18 est \$5,000,000 - For FY 2016, the program obligated approximately \$4.4 million in new and incremental funding to cooperative agreements awarded under this CFDA. For FY 2017, EPA expects to award up to \$8.5 million in new and incremental funding for cooperative agreements awarded under this CFDA. This includes approximately \$3.8 million for new awards made under the FY 2017 Brownfields Area-Wide Planning (BF AWP) Grant Program, approximately \$2.9 million for new awards made under the the FY 2017 Technical Assistance to Brownfields (TAB) Program and approximately \$1.8 million in incremental funding for other Research, Training and Technical Assistance (k6) grants awarded in previous years. At this time the total funding estimated for FY 2018 is approximately \$5 million. This amount is based on the following anticipated funding opportunities: -Based on their satisfactory progress, EPA intends to incrementally fund cooperative agreements that the Agency already awarded in previous years under CFDA 66.814 with approximately \$3.5 million of those funds (\$2 million of these funds expected for incremental funding to TAB grantees; approximately \$600,000 for incremental funding to other research, training and technical assistance grantees) -EPA expects the remaining funds to support initial funding of a multi purpose grant anticipated to be approximately \$2 million over a three year project period.

For FY 2016, EPA expects to award approximately \$3.5 million in new and incremental funding for cooperative agreements awarded under this CFDA. This includes approximately \$2.2 million for new awards made under the the FY 2016 Technical Assistance to Brownfields (TAB) Program and approximately \$1.3 million in incremental funding for other Research, Training and Technical Assistance (k6) grants awarded in previous years.

At this time the total funding estimated for FY 2017 is approximately \$10.8 million. This amount is based on the following anticipated funding opportunities:

-Based on their satisfactory progress, EPA intends to incrementally fund cooperative agreements that the Agency already awarded in previous years under CFDA 66.814 with approximately \$3.2 million of those funds (\$2.2 million of these funds expected for incremental funding to TAB grantees; approximately \$1 million for incremental funding to other research, training and technical assistance grantees)

-Up to \$5 million is anticipated for a FY17 Brownfields Area-Wide Planning grant program.

-Approximately \$2 million is anticipated for a FY17 multipurpose grant technical assistance program.

-EPA expects the remaining funds to support initial funding of new state support grant recipient(s) (approximately \$200,000) and new tribal technical assistance grant recipient(s) (approximately \$400,000).

Range and Average of Financial Assistance:

Historically, the Brownfields Training, Research, and Technical Assistance cooperative agreements have ranged anywhere from \$200,000 to \$2,000,000 over the entire cooperative agreement, depending on the specific focus area of solicitation and the project period of the award (typical project period is between 2-5 years)

New awards made under the Brownfields Area-Wide Planning grant are up to \$200,000. New awards made to the TAB recipients are up to \$1 million, funded incrementally at approximately \$200,000 per year. New awards made for other research, training and technical assistance grants usually range between \$200,000 and \$1 million and usually incrementally funded over the project period if the award is above \$200,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY16, EPA awarded cooperative agreements and incrementally funded entities that will serve as regional TAB providers (one TAB provider designated for each region plus one national TAB), for a total of \$3.6 million. EPA also funded five cooperative agreements made in previous years to continue specific technical assistance support to brownfields communities on a range of topics. Total incremental funding support was anticipated at approximately \$775,000. Fiscal Year 2017: For FY 2017, EPA expects to award up to \$9.1 million in new and incremental funding for cooperative agreements awarded under this CFDA. This includes approximately \$3.8 million for new awards made under the FY 2017 Brownfields Area-Wide Planning (BF AWP) Grant Program, approximately \$2.9 million for incremental funding made under the the FY 2017 Technical Assistance to Brownfields (TAB) Program and approximately \$2.4 million in incremental funding for other Research, Training and Technical Assistance (k6) grants awarded in previous years. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Brownfields training, research, and technical assistance grants are subject to EPA's General Grant Regulations 2CFR 200 and 1500.

Costs will be determined in accordance with 2 CFR 200 Subpart E.

EPA will provide applicants with guidance on statutory prohibitions on the use of grant and cooperative agreement funds in Requests for Proposals, Requests for Applications, or by other means. EPA will provide assistance regarding clarification of the grant proposal guidelines. Potential applicants may submit written requests to OBLR for clarification. Significant clarifications to the guidelines will be posted on the brownfields website <http://www.epa.gov/brownfields>. This is the only form of pre-application assistance available. EPA will not meet with applicants to discuss draft proposals or provide informal comments on proposals.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

David Lloyd, Office of Brownfields and Land Revitalization, OSWER, EPA, 1200 Pennsylvania Ave, NW, Washington, District of Columbia 20460 Email: lloyd.davidr@epa.gov Phone: (202) 566-2777.

Website Address:

<http://www.epa.gov/brownfields>

RELATED PROGRAMS:

66.815 Brownfield Job Training Cooperative Agreements; 66.817 State and Tribal Response Program Grants; 66.818 Brownfields Assessment and Cleanup Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: EPA expects to award cooperative agreements for regional Technical Assistance to Brownfields (TAB) providers. TAB providers will be available to brownfields communities to assist them with understanding how to address brownfield sites and advance local efforts around brownfields assessment, cleanup and reuse. EPA expects to provide incremental funding to previously awarded cooperative agreement recipients if they make satisfactory progress. These EPA funds will be used for research, training and technical assistance projects related to technical assistance to environmental workforce development and job training (EWDJT) grantees; technical assistance on the integration of environmental justice and equitable development for brownfields-impacted communities; research on the benefits of brownfields redevelopment; technical assistance on brownfields financing and economic development strategies to brownfields-impacted communities; organize a

national brownfields conference in 2017; state support on brownfields issues and tribal support on brownfields issues. Fiscal Year 2017: EPA continues to incrementally fund cooperative agreements for regional Technical Assistance to Brownfields (TAB) providers. TAB providers will be available to brownfields communities to assist them with understanding how to address brownfield sites and advance local efforts around brownfields assessment, cleanup and reuse. EPA incrementally funded six other previously awarded cooperative agreement recipients making satisfactory progress. These EPA funds are used for research, training and technical assistance projects related to technical assistance to environmental workforce development and job training (EWDJT) on the integration of environmental justice and equitable development for brownfields-impacted communities; research on the benefits of brownfields redevelopment; technical assistance on brownfields financing and economic development strategies to brownfields-impacted communities; organize a national brownfields conference in 2017; state support on brownfields issues and tribal support on brownfields issues. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

This is a competitive grant program. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.815 ENVIRONMENTAL WORKFORCE DEVELOPMENT AND JOB TRAINING COOPERATIVE AGREEMENTS

Environmental Workforce Development and Job Training Program

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

The Comprehensive Environmental Response, Compensation, and Liability Act, Section 311(b)(3)(9); The Comprehensive Environmental Response, Compensation, and Liability Act, Section 104(k)(6); Solid Waste Disposal Act, Section 8001; Clean Water Act, Section 104; Toxic Substances Control Act, Section 10.

OBJECTIVES:

The objective of the Environmental Workforce Development and Job Training Program is to recruit, train, and place unemployed and under-employed, including low-income, residents of solid and hazardous waste-impacted communities with the skills needed to obtain full-time, sustainable employment in solid and hazardous waste cleanup, wastewater treatment, chemical safety, and the environmental field at large. Furthermore, this program promotes the facilitation of activities related to assessment, cleanup, or preparation of contaminated sites, including brownfields and Superfund sites, for reuse, while simultaneously building a local workforce with the skills needed to perform remediation work that are supportive of environmental protection and environmental health and safety.

Funding Priority - Fiscal Year 2015: In FY15, under the Environmental Workforce Development and Job Training Grant Application Guidelines, applicants were provided the opportunity to deliver training outside the traditional scope of brownfields hazardous waste training. As a result of this, applicants were now provided the opportunity to deliver additional training in areas such as: solid waste management and recycling; emergency management and oil spill cleanup; Superfund cleanup related training, including innovative and alternative treatment technologies; integrated pest management; wastewater treatment and storm-water management; and, chemical safety and enhanced environmental health and safety training. Other types of supplemental environmental training were also eligible as this list is not exhaustive. Applicants in FY15 were also evaluated heavily on the extent to which they had partnered with employers willing to hire graduates and the extent to which they had conducted labor market assessments that linked proposed training with these employers' forecasted hiring needs.

Funding Priority - Fiscal Year 2016: In FY16, under the Environmental Workforce Development and Job Training Grant Application Guidelines, applicants were provided the opportunity to deliver training in areas such as: brownfields hazardous waste training; solid waste management and recycling;

emergency management and oil spill cleanup; Superfund cleanup related training, including innovative and alternative treatment technologies; integrated pest management; waste water treatment and stormwater management; and chemical safety and enhanced environmental health and safety training. Applicants in FY16 were also evaluated on the extent to which they had partnered with employers willing to hire graduates and conducted labor market assessments that linked proposed training with these employers' forecasted hiring needs.

Funding Priority - Fiscal Year 2017: In FY17, under the Environmental Workforce Development and Job training Grant Application Guidelines, applicants were provided the opportunity to deliver training in areas such as: brownfields hazardous waste training; solid waste management and recycling; emergency management and oil spill cleanup; Superfund cleanup related training, including innovative and alternative treatment technologies, wastewater treatment and stormwater management; integrated pest management; and chemical safety and enhanced environmental health and safety training. Applicants in FY17 were also evaluated on the extent to which they have partnered with employers willing to hire graduates and the extent to which they have conducted labor market assessments that link proposed training with employers; forecasted hiring needs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds awarded under Section 104(k)(6) of CERCLA must be used for training, research, and technical assistance to individuals and organizations, to facilitate the inventory of brownfields properties, site assessments, cleanup of brownfields properties, community involvement, or site preparation. Funds under Section 311(b)(3)(9) of CERCLA must be used for training in innovative and alternative treatment technologies. For Environmental Workforce Development and Job Training grants however, individuals are not eligible to apply. (See eligibility requirements in Section 080) Grants and cooperative agreements are available to eligible entities throughout the United States. Eligible applicants are allowed to design their own curricula. In FY14, applicants were provided flexibility to include the following types of training in their curriculum: solid waste management or cleanup (i.e. recycling center operator training, landfill remediation and capping, demolition and debris collection and recycling, etc.); wastewater and stormwater related training; chemical safety or enhanced environmental health and safety training; and, innovative and alternative treatment technologies related training (i.e. preparation of contaminated sites for solar installation, green remediation techniques, phytoremediation, soil amendments, advanced sampling instrument operator training, or bioswale system site preparation, etc.) Note: this list is not exhaustive and other types of eligible training may be offered consistent with the statutory authorities. All applicants were required to include OSHA 29 CFR 1910.120 40-hour Hazardous Waste Operations and Emergency Response (HAZWOPER) in their curricula. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. Grants and cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable programmatic costs, in accordance with established EPA policies and regulations. Costs incurred under CERCLA 104(k)(6) grant or cooperative agreements may not be used for an administrative cost, penalty or fine, a Federal cost-share requirement, a response cost for which the recipient of the grant or cooperative agreement is potentially liable under CERCLA 107, or the cost of complying with a Federal law, with the exception of the costs of laws applicable to cleanup of sites.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards

on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Proposals will be accepted from either eligible governmental entities as defined in CERCLA Section 104(k)(1) or eligible nonprofit organizations as defined in Public Law 106-107, the Federal Financial Assistance Management Improvement Act. Eligible governmental entities include a general purpose local unit of government; a land clearance authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of government; a governmental entity created by a state legislature; a regional council or group of general purpose units of local government; a redevelopment agency that is chartered or otherwise sanctioned by a state; a state; an Indian Tribe (other than in Alaska), or an Alaskan Native Regional Corporation and an Alaska Native Village Corporation as those terms are defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601 and following); and the Metlakatla Indian Community. Intertribal consortia, except consortia comprised of ineligible Alaskan tribes, are eligible to apply as well. Eligible nonprofit organizations include any corporation, trust, association, cooperative, or other organization that is operated mainly for scientific, educational, service, charitable, or similar purpose in the public interest; is not organized primarily for profit; and uses net proceeds to maintain, improve, or expand the operation of the organization. Workforce Investment Boards that meet these criteria may be eligible nonprofit organizations. Public and nonprofit private educational institutions are eligible to apply. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For-profit or proprietary training organizations or trade schools are not eligible to apply. Evidence of nonprofit status under Federal, state or tribal law must be provided at the time the proposal is submitted. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Applicants that received an Environmental Workforce Development and Job Training grant from EPA in Fiscal Year 2016 were not eligible to apply in Fiscal Year 2017 for regular appropriation funds - unless proposing to serve a different geographic area. A list of environmental workforce development and job training grants awarded in Fiscal Year 2016 can be found here : <https://www.epa.gov/newreleases/epa-awards-18-grants-environmental-workforce-development-and-job-training>.

Applicants are also required to demonstrate that proposed environmental job training projects do not duplicate other federally funded environmental job training projects in the target community. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Environmental Workforce Development and Job Training grants will provide environmental job training unemployed and underemployed residents of solid and hazardous waste-impacted neighborhoods and help them take advantage of job opportunities created as a result of the management, assessment, and cleanup of contaminated properties, as well as employment in wastewater, alternative energy, and chemical safety related positions.

Credentials/Documentation:

EPA requires that applicants document their nonprofit status at the time of application. The Agency may also request that applicants demonstrate that they have appropriate background, academic training, experience in fields, and necessary equipment to carry out training projects. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This is a competitive grant program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact

the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is excluded from coverage under E.O. 12372. (See EPA's Federal Register Notice from November 26, 1986, to exempt hazardous waste training programs from intergovernmental review.). Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. By statute, EPA must award Environmental Workforce Development and Job Training grants competitively. EPA will specify application procedures in Requests for Initial Proposals or Request for Applications. As stipulated in the Requests for Applications, successful grant applicants must provide, in addition to the SF 424, a Dun and Bradstreet Data Universal Numbering System (DUNS) number, which is now required when applying for federal grants or cooperative agreements on or after October 1, 2003. For more information go to: <http://www.grants.gov>. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Section 7 of the application guidelines. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Final approval of applications for job training grants and supporting documentation is made by EPA. Award of grant funds are made by EPA Regional Award Officials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For job training grants, the range of approval/disapproval time will be approximately 90 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities and availability of funds. Renewals and extensions of funding are not available.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: For Environmental Workforce Development and Job Training Grants, EPA does not require that applicants include a match or cost share. However, evidence of leveraged funds is encouraged. Furthermore, a statutory factor in ranking applications under Section 104(k)(6) is the extent to which EPA financial assistance will stimulate the availability of other funds for environmental assessment or remediation, and subsequent reuse of Brownfields sites. Applicants may be encouraged to provide information regarding resources (cash/in-kind services) that they, or a project partner, would commit to efforts receiving EPA financial assistance. EPA may take these commitments into account in ranking proposals. This program has no statutory formula. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The performance period for environmental workforce development and job

training grant funds is generally three years. Grants are generally announced nationally and awarded by EPA Regional Offices. The Regional Offices work with applicants to negotiate a workplan and award the cooperative agreement. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Reporting requirements are identified under 2 CFR 200 and 1500. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. Quarterly progress and final technical reports are required as part of the terms and conditions of the cooperative agreements. Performance monitoring is in accordance with the terms and conditions of the cooperative agreement. Performance monitoring is in accordance with the terms and conditions of the cooperative agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record Retention Requirements of 2 CFR 200 and 1500 are applicable, depending on the identity of the recipient and the program funded.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - In FY14, approximately \$3.6 million was awarded under the environmental workforce development and job training grant program. In FY 2015, approximately \$3.6 million was awarded and the estimated funding available in FY16 is approximately \$3.0 million.

Range and Average of Financial Assistance:

Environmental Workforce Development and Job Training grants can be funded up to \$200,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: na. Fiscal Year 2017: In FY17, 54 proposals were received and 14 were funded. Grant awards were made at approximately \$200,000 each. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For environmental workforce development and job training grants, costs must be in accordance with 2 CFR 200 and 1500

In addition, recipients must comply with applicable provisions of EPA training grant regulations at 40 CFR Part 45. EPA will periodically publish guidance for brownfields grant proposals.

Regional or Local Office:

See Regional Agency Offices. EPA Regional Offices are listed in Section 7 in the Application Guidelines for Brownfields Job Training Grants.

Headquarters Office:

Joseph Bruss, Office of Brownfields and Land Revitalization, OSWER, EPA, Washington, District of Columbia 20460 Email: bruss.joseph@epa.gov Phone: (202) 566-2772.

Website Address:

<http://www.epa.gov/brownfields>.

RELATED PROGRAMS:

66.808 Solid Waste Management Assistance Grants; 66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants; 66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements ; 66.817 State and Tribal Response Program Grants; 66.818 Brownfields Assessment and Cleanup Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No content available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.816 HEADQUARTERS AND REGIONAL UNDERGROUND STORAGE TANKS PROGRAM

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Resource Conservation and Recovery Act of 1976; Solid Waste Disposal Act of 1976, Section 8001(a)&(b), Public Law 98-616; Superfund Amendments and Reauthorization Act of 1986 , Public Law 99-499, 42 U.S.C 6991; EPA's Annual Appropriations Act.

OBJECTIVES:

To support activities that promote the prevention, compliance, and identification of underground storage tanks, and to support activities that promote corrective action, enforcement and management of releases from underground storage tank systems.

Funding Priority - Fiscal Year 2015: High priority tasks include: (1) Training Support: Provide State and tribal underground storage tanks (UST) and leaking underground storage tanks (LUST) programs and the regulated community mechanisms by which state and federal "co-regulator" communication, assistance (which may include research and studies) and training on UST/LUST program implementation can occur on both a regional and national scale; (2) Electronic Newsletter: Provide state and tribal regulators, the general public and the regulated community with technical information on underground storage tank (UST) systems; and (3) State/Federal Partnerships: Provide technical assistance and forums for information exchange to assist States in developing their program capabilities to manage their UST/LUST programs in the most efficient and effective manner possible.

Funding Priority - Fiscal Year 2016: High priority tasks include: (1) Training Support: Provide State and tribal underground storage tanks (UST) and leaking underground storage tanks (LUST) programs and the regulated community mechanisms by which state and federal "co-regulator" communication, assistance (which may include research and studies) and training on UST/LUST program implementation can occur on both a regional and national scale; (2) Electronic Newsletter: Provide state and tribal regulators, the general public and the regulated community with technical information on underground storage tank (UST) systems; and (3) State/Federal Partnerships: Provide technical assistance and forums for information exchange to assist States in developing their program capabilities to manage their UST/LUST programs in the most efficient and effective manner possible.

Funding Priority - Fiscal Year 2017: High priority tasks include: (1) Training Support: Provide State and tribal underground storage tanks (UST) and leaking underground storage tanks (LUST) programs and the regulated community mechanisms by which state and federal "co-regulator" communication, assistance (which may include research and studies) and training on UST/LUST program implementation can occur on both a regional and national scale; (2) Electronic Newsletter: Provide state and tribal regulators, the general public and the regulated community with technical information on underground storage

tank (UST) systems; and (3) State/Federal Partnerships: Provide technical assistance and forums for information exchange to assist States in developing their program capabilities to manage their UST/LUST programs in the most efficient and effective manner possible.

Funding Priority - Fiscal Year 2018: High priority tasks include: (1) Training Support: Provide State and tribal underground storage tanks (UST) and leaking underground storage tanks (LUST) programs and the regulated community mechanisms by which state and federal "co-regulator" communication, assistance (which may include research and studies) and training on UST/LUST program implementation can occur on both a regional and national scale; (2) Electronic Newsletter: Provide state and tribal regulators, the general public and the regulated community with technical information on underground storage tank (UST) systems; and (3) State/Federal Partnerships: Provide technical assistance and forums for information exchange to assist States in developing their program capabilities to manage their UST/LUST programs in the most efficient and effective manner possible.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Grants and cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable indirect costs, in accordance with established EPA policies and regulations. Use Restrictions are that funds awarded under Section 8001(a) and (b) of RCRA must be used for projects that may include the following types of activities: research presentations, educational materials, surveys, training, studies, demonstrations, investigations, special projects and the development or use of methods to improve UST systems management and performance to reduce the actual and potential risks to human health and the environment. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

These assistance agreements are only available to public authorities (State, interstate, intrastate, agencies designated by States or Territorial Governors to receive UST notifications, federally-recognized Tribes and Intertribal Consortia, and local), public agencies and institutions; private non-profit organizations and agencies that meet the requirements of Section 8001(a) and (b) of the Solid Waste Disposal Act. Profit-making organizations and the general public are not eligible.

Beneficiary Eligibility:

State and local governments, territories and possessions, interstate agencies, Tribes, Intertribal Consortia, members of the regulated community and residents in areas impacted by federally regulated underground storage tanks.

Credentials/Documentation:

EPA may request that applicants document their nonprofit status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that

will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>

Additionally, 2 CFR 1500 applies.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None. EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The program has no statutory formula. Cost sharing is not required, unless noted in request for applications or initial proposals.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length and time phasing of the assistance agreement shall be determined prior to the award. Assistance agreements are awarded either as non-competitive or competitive, subject to EPA Order 5700.5A1. The length of the assistance agreements may be from one year to seven years. See the following for information on how assistance is awarded/released: The funding is released to the recipient according to the recipient's accounting requests, e.g., quarterly, monthly, etc.

Reports:

Reporting requirements are identified at 2 CFR 200.327. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. No cash reports are required. The recipients submit quarterly reports that describe the progress and financial expenditures to date. No expenditure reports are required. EPA conducts baseline and advanced post-award monitoring of the recipient's

performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 2 CFR 1500.6 are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304 - EPM; 68-8153-0-7-304 - LUST.

Obligations:

(Project Grants) FY 16 \$1,182,503; FY 17 Estimate Not Available(Exp: 513242); and FY 18 Not Separately Identifiable - EPM: FY 2016 actual \$610,242; FY 2017 estimated \$334,242 LUST: FY 2016 actual: \$572,261; FY 2017 estimated \$179,000 For both appropriations, the FY 2018 amounts are unknown at this time.

Range and Average of Financial Assistance:

FY 2016 Range: \$94,000 - \$446,000; Average: \$295,626. Assistance agreements are awarded on a multiple year basis, with incremental funding.

TAFS Codes:

68-8153; 68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Four applications were received and will be awarded as incremental funding on existing assistance agreements. Recipients provide states and/or tribal staff with opportunities for training and dissemination of information to help with meeting the program's UST and LUST performance measures, as identified in the FY 2015 National Program Guidance. Fiscal Year 2017: No content available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures (2 CFR 200 and 2 CFR 1500, as applicable). State and Local Assistance (40 CFR Part 35.330 et. seq. for States and 40 CFR 35.730 et. seq. for Tribes and Intertribal Consortia). Costs will be determined with 2 CFR Part 225 for State and local governments and Indian Tribes and 2 CFR Part 230 for Intertribal Consortia that follow the assistance regulations for nonprofit organizations. Contact Regional UST Program Manager for documents.

Regional or Local Office:

See Regional Agency Offices. For state-specific opportunities, EPA encourages potential applications to communicate with the appropriate EPA Regional Underground Storage Tanks Regional Program Managers, see <http://www.epa.gov/sverust1/index.htm>.

Headquarters Office:

Lynn Depont, 1200 Pennsylvania Avenue, NW, Mail Code 5401P, Washington, District of Columbia 20460 Email: depont.lynn@epa.gov Phone: (703) 603-7148.

Website Address:

<http://www.epa.gov/sverust1/index.htm>

RELATED PROGRAMS:

66.804 State and Tribal Underground Storage Tanks Program; 66.805 Leaking Underground Storage Tank Trust Fund Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: 1) Training Support: Provide underground storage tanks (UST) and leaking underground storage tanks training activities for states and tribes, e.g., Tribal Conference, training on the effective implementation strategies and the tools utilized among the states with regulatory programs underway, program initiatives, compliance with UST systems, and UST releases. 2) Electronic Newsletter (LUSTLine): Provide state and tribal regulators, the general public and the regulated community with technical information on UST systems. 3) State/Federal Partnerships: Provide technical assistance, topic-oriented meetings and forums for information exchanges to assist States in developing their program capabilities to manage their UST/LUST programs in the most efficient and effective manner possible. 4) Studies: Gather information and provide a summary on technical issues, e.g., study on the impact of using on-site measurement technologies for site assessment and contaminant conceptualization at petroleum release sites. 5) Disseminate technical information to states, tribes and Intertribal Consortia on UST/LUST program implementation. Fiscal Year 2017: No content available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

**66.817 STATE AND TRIBAL RESPONSE PROGRAM GRANTS
FEDERAL AGENCY:**

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Small Business Liability Relief and Brownfields Revitalization Act; Comprehensive Environmental Response, Compensation, and Liability Act, Section 128(a), Public Law 107-118.

OBJECTIVES:

EPA's CERCLA Section 128(a) grant program funds activities that establish or enhance state and tribal response program capacity, capitalize revolving loan funds (RLFs), and support insurance mechanisms. The goal of this funding is to provide financial support to establish and enhance the four elements of an effective state or tribal response program as specified in CERCLA Section 128 and to ensure that states and tribes maintain a public record of sites included in their programs.

Funding Priority - Fiscal Year 2015: In FY 2015, funding will be prioritized as follows: (1) Funding for program development activities to establish or enhance the four elements of a state or tribal response program and to enable states and tribes to comply with the public record requirement, including activities related to institutional controls. (States and tribes that have established one or more of the four elements will not be prejudiced in funding distributions if their work plan includes activities that enhance the four elements. States with Voluntary Cleanup Program Memorandum of Agreement will not be prejudiced in funding distributions if their work plan does not include tasks related to establishing or enhancing the four elements.) (2) Funding for program development activities to enhance the response program or the cleanup capacity of a state or tribal response program. (3) Funding for site-specific activities at eligible brownfields sites. (4) Funding for environmental insurance mechanisms. (5) Funding to capitalize brownfields cleanup RLFs.

Funding Priority - Fiscal Year 2016: In FY 2016, funding will be prioritized as follows: (1) Funding for program development activities to establish or enhance the four elements of a state or tribal response program and to enable states and tribes to comply with the public record requirement, including activities related to institutional controls. (States and tribes that have established one or more of the four elements will not be prejudiced in funding distributions if their work plan includes activities that enhance the four elements. States with Voluntary Cleanup Program Memorandum of Agreement will not be prejudiced in funding distributions if their work plan does not include tasks related to establishing or enhancing the four elements.) (2) Funding for program development activities to enhance the response program or the cleanup capacity of a state or tribal response program. (3) Funding for site-specific activities at eligible brownfields sites. (4) Funding for environmental insurance mechanisms. (5) Funding to

capitalize brownfields cleanup RLFs.

Funding Priority - Fiscal Year 2017: In FY 2017, funding will be prioritized as follows: (1) Funding for program development activities to establish or enhance the four elements of a state or tribal response program and to enable states and tribes to comply with the public record requirement, including activities related to institutional controls. (States and tribes that have established one or more of the four elements will not be prejudiced in funding distributions if their work plan includes activities that enhance the four elements. States with Voluntary Cleanup Program Memorandum of Agreement will not be prejudiced in funding distributions if their work plan does not include tasks related to establishing or enhancing the four elements.) (2) Funding for program development activities to enhance the response program or the cleanup capacity of a state or tribal response program. (3) Funding for site-specific activities at eligible brownfields sites. (4) Funding for environmental insurance mechanisms. (5) Funding to capitalize brownfields cleanup RLFs.

Funding Priority - Fiscal Year 2018: In FY 2018, funding will be prioritized as follows: (1) Funding for program development activities to establish or enhance the four elements of a state or tribal response program and to enable states and tribes to comply with the public record requirement, including activities related to institutional controls. (States and tribes that have established one or more of the four elements will not be prejudiced in funding distributions if their work plan includes activities that enhance the four elements. States with Voluntary Cleanup Program Memorandum of Agreement will not be prejudiced in funding distributions if their work plan does not include tasks related to establishing or enhancing the four elements.) (2) Funding for program development activities to enhance the response program or the cleanup capacity of a state or tribal response program. (3) Funding for site-specific activities at eligible brownfields sites. (4) Funding for environmental insurance mechanisms. (5) Funding to capitalize brownfields cleanup RLFs.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States and tribes can use Section 128 funding to (1) establish or enhance four statutory elements of a response program, as per CERCLA 128(a)(2), (2) capitalize a Revolving Loan Fund program for brownfields cleanup, pursuant to CERCLA 104(k)(3), (3) purchase environmental insurance or develop a risk sharing pool, an indemnity pool, or insurance mechanism to provide financing for response actions under their programs, (4) maintain and update, at least annually, a public record of sites, pursuant to CERCLA 128(b), that includes the name and location of sites at which response actions have been completed during the previous year and the name and location of sites at which response actions are planned to be addressed in the next year, and (5) conduct limited site-specific activities. "Establish" includes activities necessary to build the foundation for the four elements of a state or tribal response program or may also include activities that keep their program at a level that meets the four elements. "Enhance" is related to activities that add to or improve a state or tribal response program or increase the number of sites at which response actions are conducted under a state or tribal response program. States and tribes may use Section 128(a) funds for activities that establish and enhance their response programs, even if their response programs address petroleum contamination. Restrictions apply to site-specific activities, such as assessment and cleanup. Assessments and cleanups must be conducted at eligible brownfields sites, as defined in CERCLA 101(39). Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States (as defined in CERCLA Section 101(27)) and tribes (as defined in CERCLA Section 101(36)) are eligible for funding under Section 128(a). To be eligible to receive funding under CERCLA Section 128(a), a state or tribe must

demonstrate that its response program includes, or is taking reasonable steps to include, the four elements of a response program. States or tribes that are parties to voluntary response program memoranda of agreement (MOAs) are automatically eligible for Section 128(a) funding. Additionally, states and tribes, including those with MOAs, must maintain and make available to the public a record of sites at which response actions have been completed in the previous year and are planned to be addressed in the upcoming year in order to qualify for Section 128(a) funding.

Beneficiary Eligibility:

Beneficiaries include individuals living in recipient states', territories', and tribes' jurisdiction.

Credentials/Documentation:

States and tribes must provide documentation that they have met or are making reasonable progress towards meeting the four statutory elements, or have a Voluntary Cleanup Program Memorandum of Agreement with EPA, and have established and are maintaining the public record. States and tribes must define their "Section 128(a) response program", and may designate a component of the state or tribe that will be EPA's primary point of contact for negotiations on their proposed work plan. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Annually, states and tribes will work with the EPA regional offices to develop their work plans and funding requests for the upcoming year. Each cooperative agreement must have an annual budget period tied to an annual work plan. Annual funding guidance provides the requirements for submitting requests. No specific forms are required. Informal assistance is available through regional offices. States are subject to requirements under E.O. 12372, "Intergovernmental Review of Federal Programs" as implemented in 40 CFR Part 29. Tribes are exempt. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States and tribes submit requests to EPA regional offices for funding on an annual basis by submitting a proposed work plan. Annual funding guidance provides the information that should be submitted to the regional office. Once initial requests are submitted, EPA headquarters consolidates requests and provides a final allocation based on factors provided in the funding guidance. No specific form is required for submission of request.

Award Procedure:

EPA regional offices will negotiate and enter into a single cooperative agreement with interested states or tribes. States and tribes may distribute these funds among the appropriate state and tribal agencies to meet their specific needs within their state or tribal agency structures. At least annually, the regional offices must verify that a public record as described above exists for each of the state or tribal response programs that are receiving funding.

Deadlines:

Jan 31, 2010 For the FY17 funding EPA will accept requests from November 1, 2016 through December 31, 2016.

Range of Approval/Disapproval Time:

Approximately 90 days are required for the application request to be approved or disapproved.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Each state and tribal cooperative agreement will be evaluated on an annual basis to ensure that the requirements to receive funding are being met. If funding is not used, it may be carried over into the next budget period.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: States and tribes are not required to provide matching funds for grants awarded under Section 128(a). However, if the state or tribe uses Section 128(a) funds to capitalize a Brownfields RLF under CERCLA 104(k)(3), a 20% cost share is required on the amount of Section 128(a) funds used to capitalize an RLF. This program has no statutory formula, but does take into account use of prior years' funding in determining future awards.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA regions will evaluate cooperative agreements annually to ensure that requirements to receive funding are being met. EPA regional offices will determine the project period for each cooperative agreement. These may be for multiple years depending on the regional office's grants policies. Each cooperative agreement must have an annual budget period tied to an annual work plan. Funds are awarded on an annual basis and may be drawn down according to regional financial processes. See the following for information on how assistance is awarded/released: This assistance is generally awarded lump sum.

Reports:

No program reports are required. No cash reports are required. States and tribes will provide progress reports under 2 CFR 200 and 1500, in accordance with terms and conditions of the cooperative agreement negotiated with EPA regional offices. At a minimum, state or tribal progress reports must include both a narrative discussion and performance data relating to the state's or tribe's accomplishments with Section 128(a) funding. Depending upon the activities included in the state's or tribe's scope of work, an EPA regional office may request that a progress report include other elements as described in the Grant Funding Guidance for State and Tribal Response Programs. The regional offices may also request other information be added to the progress reports, as appropriate, to properly document activities described by the cooperative agreement work plan. Expenditure information should be provided in the progress reports. Performance monitoring is in accordance with the terms and conditions of the cooperative agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In addition to the statutory public record requirement, states and tribes must comply with record keeping requirements of 2 CFR 200 and 1500 and any applicable requirements under state and tribal laws. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 16 \$47,311,000; FY 17 est \$47,745,000; and FY 18 est \$33,600,000 - FY16: \$47,311,000; FY17: \$46,917,000; FY18: \$33,600,000.

Range and Average of Financial Assistance:

Most fiscal years range from \$50,000 to \$1,000,000; average approximately \$450,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: na. Fiscal Year 2017: In FY2017, 165 requests were received, all were funded. Fiscal Year 2018: na.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 applies to the program. EPA anticipates that it will annually publish national Grant Funding Guidance for State and Tribal Response Programs. Fiscal Year 2017 guidance may be found at <https://www.epa.gov/brownfields/brownfields-comprehensive-environmental-sponse-compensation-and-liability-act-cercla>

Regional or Local Office:

See Regional Agency Offices. EPA Regional Brownfields Coordinators: Region 1: Frank Gardner, Phone: 617-918-1278, Fax: 617-918-1291, gardner.frank@epa.gov; Region 2: Lya Theodoratos, Phone: 212-637-3230, Fax: 212-637-4360, theodoratos.lya@epa.gov; Region 3: Tom Stolle, Phone: 215-814-3129, Fax: 215-814-5518, stolle.tom@epa.gov; Region 4: Barbara Alfano, Phone: 404-562-8923, Fax: 404-562-8439, alfano.barbara@epa.gov; Region 5: Mike Gifford, Phone: 312-886-7257, Fax: 312-886-7190, gifford.michael@epa.gov; Region 6: Mary Kemp, Phone: 214-665-8358, Fax: 214-665-6660, kemp.mary@epa.gov; Region 7: Susan Klein, Phone: 913-551-7786, Fax: 913-551-8688, klein.susan@epa.gov; Region 8: Dan Heffernan, Phone: 303-312-7074, Fax: 303-312-6955, heffernan.daniel@epa.gov; Region 9: Noemi Emeric-Ford, Phone: 213-244-1821, Fax: 213-244-1850, emeric-ford.noemi@epa.gov; Region 10: Susan Morales, Phone: 206-553-7299, Fax: 206-553-0124, morales.susan@epa.gov.

Headquarters Office:

David R. Lloyd, Office of Brownfields and Land Revitalization, OSWER, EPA, 1200 Pennsylvania Ave, NW, Washington, District of Columbia 20460 Email: lloyd.davidr@epa.gov Phone: (202) 566-2777.

Website Address:

<http://www.epa.gov/brownfields>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: Program development activities to establish the four elements of a state or tribal response program, including conducting an inventory of brownfields sites; developing or enhancing oversight and enforcement mechanisms; establishing mechanisms to approve cleanup plans; and providing opportunities and resources for public involvement. Establishing and maintaining a public record of sites, including making information available on the Internet and maintaining and monitoring institutional controls; capitalizing an RLF for brownfields cleanup; purchasing environmental insurance; developing legislation, regulations, procedures, and guidance that would establish or enhance the administrative and legal structure of their response programs; and undertaking-site specific related activities, such as conducting assessments at selected brownfields sites and auditing completed site cleanups in states that administer a licensed site professional program.

Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

EPA will not fund this program through competitive grant solicitations. Section 128(a) funds will be allocated to eligible states and tribes in accordance with the national Grant Funding Guidance for State and Tribal Response Programs.

66.818 BROWNFIELDS ASSESSMENT AND CLEANUP

COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

The Small Business Liability Relief and Brownfields Revitalization Act of 2002, Public Law 107-118; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended, 42 U.S.C. 9601(39)&9604k; American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

Brownfield sites are real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant. The objectives of the brownfield assessment, revolving loan fund and cleanup cooperative agreements (project grants) are to provide funding: (1) to inventory, characterize, assess, and conduct planning and community involvement related to brownfield sites; (2) to capitalize a revolving loan fund (RLF) and provide subgrants to carry out cleanup activities at brownfield sites; and (3) to carry out cleanup activities at brownfield sites that are owned by the grant recipient.

Funding Priority - Fiscal Year 2015: Funding supported at 179 assessment cooperative agreements (estimated \$41.9 million) that recipients may use to inventory, assess, cleanup and plan reuse at Brownfields sites, as authorized under CERCLA 104(k)(2). Funding supported 64 direct cleanup cooperative agreements (estimated \$12.4 million) to enable eligible entities to clean up properties that the recipient owns.

Funding Priority - Fiscal Year 2016: Funding supported 145 assessment cooperative agreements (estimated \$33.1 million) that recipients may use to inventory, assess, cleanup and plan reuse at Brownfields sites, as authorized under CERCLA 104(k)(2). Funding supported 59 direct cleanup cooperative agreements (estimated \$11 million) to enable eligible entities to clean up properties that the recipient owns. Funding supported 14 competitive RLF cooperative agreements (estimated at \$11.1 million) and 33 RLF supplemental awards (estimated at \$10.7 million) to capitalize a revolving loan fund and provide subgrants to carry out cleanup activities at brownfield sites.

Funding Priority - Fiscal Year 2017: Funding supported 208 assessment cooperative agreements (estimated at \$43.1 million) that recipients may use to inventory, assess, cleanup and plan reuse at Brownfields sites, as authorized under CERCLA 104(k)(2). Funding will support approximately 71 direct cleanup cooperative agreements (estimated \$13.7 million) to enable eligible entities to clean up properties that the recipient owns. The agency awarded 11 RLF supplemental awards (estimated \$5.35 million).

Funding Priority - Fiscal Year 2018: Funding will support at least 145 assessment cooperative agreements (estimated \$33.5 million) that recipients may use to inventory, assess, cleanup and plan reuse at Brownfields sites, as authorized under CERCLA 104(k)(2). Funding will support at least 38 direct cleanup cooperative agreements (estimated \$7.5 million) to enable eligible entities to clean up properties that the recipient owns. Funding will support 15 competitive RLF cooperative agreements (estimated at \$9 million) and 12 RLF supplemental awards (estimated at \$5 million) to capitalize a revolving loan fund and provide subgrants to carry out cleanup activities at brownfield sites.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

For site specific projects, the site must meet the definition of a brownfields site found at CERCLA 101(39). As part of the application process, EPA provides guidance to assist grant applicants in determining whether sites meet this definition. (1) The brownfields grants may be used to address sites contaminated by petroleum and hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum). (2) Brownfields assessment grant funds may be used to inventory, characterize, assess, and conduct planning and community involvement related to brownfield sites. (3) An RLF project grant recipient must use at least 50 percent of the

awarded funds to capitalize and implement a revolving loan fund; an RLF project grant recipient may use no more than 50 percent of the awarded funds for cleanup subgrants and may not subgrant to itself. Revolving loan fund project grants generally are used to provide no-interest or low-interest loans for brownfields cleanups. (4) An RLF project grant recipient may use its funds to award subgrants to other eligible entities, including nonprofit organizations, for brownfields cleanups on sites owned by the subgrantee; (5) Brownfields cleanup grant funds must be used to carry out cleanup activities at brownfield sites that are owned by the grant recipient. (6) Costs incurred under CERCLA 104(k) grants or cooperative agreements may not be used for an administrative cost, penalty or fine, a Federal cost-share requirement, a response cost for which the recipient of the grant or cooperative agreement is potentially liable under CERCLA 107, or the cost of complying with a Federal law, with the exception of the costs of laws applicable to cleanup of Brownfields sites.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Eligibility for Assessment, Revolving Loan Fund, and Cleanup Grants: a general purpose unit of local government; a land clearance authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of local government; a government entity created by a State legislature; a regional council or group of general purpose units of local government; a redevelopment agency that is chartered or otherwise sanctioned by a State; a State; an Indian Tribe other than in Alaska; an Alaska Native Regional Corporation, Alaska Native Village Corporation and the Metlakatla Indian Community. Nonprofit organizations that own the property are also eligible for cleanup grants. Nonprofit organizations must meet the definition of that term in Section 4(6) of the Federal Financial Assistance Management Improvement Act of 1999, Public Law 96-107, 31 U.S.C. 6101 (Note: Under this definition, colleges, universities, and community colleges are eligible to apply.) However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For-profit organizations are not eligible to apply for direct funding from EPA. However, for profit organizations may apply for loans made by eligible entities with RLF capitalization grants. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Generally, those eligible entities identified above will benefit from the brownfields grant actions. Specifically, individuals and commercial organizations in brownfields grant communities will benefit from brownfields assessment, cleanup, and revitalization funding. New strategies for promoting environmental cleanup lessons from these grants will provide a growing base of information and knowledge for other communities across the country seeking partnerships with stakeholders to coordinate issues related to brownfields and leverage additional opportunities for redevelopment.

Credentials/Documentation:

EPA may require that nonprofit organizations or eligible entities other than states, tribes, or general purpose units of local government provide documentation of eligibility. EPA may also require that applicants provide site specific information to determine whether a site qualifies as a Brownfields site under CERCLA 101(39). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally

specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This is a competitive grant program. EPA guidelines for Requests for Proposals or Requests for Applications will specify application procedures. For EPA Regional Office contacts, see Appendix IV of the Catalog. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

This is a competitive grant program. EPA guidelines for Requests for Proposals or Requests for Applications will specify award procedures. For EPA Regional Office contacts, see Appendix IV of the Catalog. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For the Recovery Act funding, EPA will award separate cooperative agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Recipients of RLF grants may apply for additional funding on a non-competitive basis during any year after the first year the recipient receives an RLF grant. To seek additional funding, RLF grant recipients should contact their EPA Regional Office. In awarding this additional funding the Agency will consider: (I) the number of sites and number of communities that are addressed by the revolving loan fund; (II) the demand for funding by eligible entities that have not previously received an RLF grant; (III) the demonstrated ability of the eligible entity to use the revolving loan fund to enhance remediation and provide funds on a continuing basis; and (IV) other similar factors, including the availability of funds and the recipient's performance history. Recipients of assessment and cleanup grants generally do not receive additional funding on a non-competitive basis.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching requirements are not applicable for brownfield assessment grants. Under CERCLA 104(k)(9)(B)(iii) revolving loan fund and cleanup grants require a 20 percent cost share, which may be in the form of a contribution of money, labor, material, or services, and must be for

eligible and allowable costs. An RLF or cleanup grant applicant may request a waiver of the 20 percent cost share requirement based on financial hardship. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The performance period for brownfields assessment and cleanup grant funds is three years. The performance period for brownfields revolving loan fund grants is generally five years. Grants are generally announced nationally and awarded by EPA Regional Offices. The Regional Offices work with the applicants to negotiate a workplan and award the cooperative agreement. See the following for information on how assistance is awarded/released: These grants are generally awarded as a lump sum.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports, property profiles, notification of significant development, property inventory reports, procurement reports, a final report and financial reports may be required if authorized by 2 CFR Parts 200 and 1500 (specifically, 200.328 monitoring and reporting program performance). Quarterly progress reports are generally required as part of the terms and conditions of the cooperative agreement. Expenditure information should be provided in the progress reports. Performance monitoring is in accordance with the terms and conditions of the cooperative agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record Retention Requirements of 2 CFR 200 and 1500 are applicable, depending upon the identity of the recipient and the program funded.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 Not Available; FY 17 est \$0; and FY 18 est \$50,000 - An estimated \$50,000,000 will be available in FY 2018.

Range and Average of Financial Assistance:

Range and Average of Financial Assistance: (1) For assessment grants, an eligible entity may apply for up to \$200,000 to address sites contaminated by hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum) and up to \$200,000 to address sites contaminated by petroleum; most applicants receive this amount. Applicants may request a waiver of the \$200,000 limit up to \$350,000 for sites contaminated by hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum) and up to \$350,000 to address sites contaminated by petroleum. Waiver requests must be based on the anticipated level of contamination, size, or ownership status of the site. These limits are mandatory under CERCLA 104(k)(4)(A). A coalition of eligible entities may apply for up to \$1,000,000 to address sites contaminated by hazardous substances or petroleum on a community-wide basis. (2) For revolving loan fund grants, an eligible entity may apply for up to \$1,000,000 for an initial RLF grant. This limit is mandatory under CERCLA 104(k)(4)(A). In addition, coalitions of eligible entities may apply together under one recipient for up to \$1,000,000 per eligible entity. (3) For cleanup grants, an eligible entity

may apply for up to \$200,000 per site. The \$200,000 per site limit is mandatory under CERCLA 104(k)(3)(A). Approximate average financial assistance is \$200,000 for cleanup grants, \$200,000 for assessment grants and \$1 million per entity for revolving loan fund grants.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY16, 1,1384 sites had been assessed, 135 properties cleaned up, 10,351 jobs leveraged, \$1.77 billion leveraged and 7,312 acres ready for reuse. Fiscal Year 2017: To date in FY17, 1,296 sites have been assessed, 124 properties cleaned up, 5,564 jobs leveraged, \$1.1 billion leveraged and 4,827 acres ready for reuse. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For brownfields assessment, revolving loan fund, and cleanup grants, costs will be determined in accordance with 2 CFR 200 and 1500 as applicable.

The Agency will periodically publish guidance for brownfields grant proposals.

Regional or Local Office:

See Regional Agency Offices. Regional Brownfields Coordinators: EPA Region 1, New England, Frank Gardner, 5 Post Office Square Ste 100, Mail Code: OSRR7-2, Boston, MA 02109-3912, Phone (617) 918-1278, Fax (617) 918-1291, gardner.frank@epa.gov; EPA Region 2, Lya Theodoratos, 290 Broadway, 18th Floor, New York, NY 10007, Phone (212) 637-3260, Fax (212) 637-4360, theodoratos.lya@epa.gov; EPA Region 3, Tom Stolle, 1650 Arch Street, Philadelphia, Pennsylvania 19103, Phone (215) 814-3129, Fax (215) 814-5518, stolle.tom@epa.gov; EPA Region 4, Barbara Alfano, Sam Nunn Atlanta Federal Center, 61 Forsyth Street S.W., 10th Floor, Atlanta, GA 30303, Phone (404) 562-8923, Fax (404) 562-8628, alfano.barbara@epa.gov; EPA Region 5, Gary Schafer, 77 West Jackson Boulevard, Mail Code: SE-7J Chicago, Illinois 60604-3507, Phone (312) 353-8827, Fax (312) 353-8227, schaffer.gary@epa.gov; EPA Region 6, Mary Kemp, First Interstate Bank Tower at Fountain Place, 1445 Ross Avenue, Suite 1200 (6SF-VB), Dallas, Texas 75202-2733, Phone (214) 665-8358, Fax (214) 665-6660, kemp.mary@epa.gov; EPA Region 7, Susan Klein, 11201 Renner Blvd, Lenexa, Kansas 66219, Phone (913) 551-7786, Fax (913) 551-8688, klein.susan@epa.gov; EPA Region 8, Dan Heffernan, 1595 Wynkoop Street (EPR-B), Denver, Colorado 80202-1129, Phone (303) 312-7074, Fax (303) 312-6067, heffernan.daniel@epa.gov; EPA Region 9, Noemi Emeric-Ford, 600 Wilshire Blvd, Suite 1460, Los Angeles, California 90017, Phone (213) 244-1821, Fax (213) 244-1850, emeric-ford.noemi@epa.gov; EPA Region 10, Susan Morales, 1200 Sixth Avenue, Seattle, Washington 98101, Phone (206) 553-7299, Fax (206) 553-0124, morales.susan@epa.gov.

Headquarters Office:

David Lloyd, Office of Brownfields and Land Revitalization, OSWER, EPA, Washington, District of Columbia 20460 Email: Lloyd.DavidR@epa.gov Phone: (202) 566-2777.

Website Address:

<http://www.epa.gov/brownfields>.

RELATED PROGRAMS:

66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements ; 66.815 Brownfield Job Training Cooperative Agreements; 66.817 State and Tribal Response Program Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: (1) 145 assessment grants were selected to complete brownfield site inventories, Phase I & II environmental site assessments and community engagement activities. (2) 59 cleanup grants were selected to cleanup contaminated properties. End uses include neighborhood development, commercial development, arts center, business park, wellness center/clinic, community health center, theater, and office space. These period of performance for these cooperative agreements are anticipated to be from

10/01/16 to 9/30/19. (3) 14 revolving loan fund grants were selected for recipients to establish a loan program that will capitalize and provide loans to eligible subrecipients to cleanup contaminated properties. These period of performance for these cooperative agreements are anticipated to be from 10/01/16 to 9/30/21. Fiscal Year 2017: No content available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

This is a competitive grant program. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Selection criteria will be outlined in the proposal guidelines and will be based on a system that includes the following ten statutory ranking criteria:(i) The extent to which a grant will stimulate the availability of other funds for environmental assessment or remediation, and subsequent reuse, of an area in which one or more brownfield sites are located.(ii) The potential of the proposed project or the development plan for an area in which one or more brownfield sites are located to stimulate economic development of the area on completion of the cleanup.(iii) The extent to which a grant would address or facilitate the identification and reduction of threats to human health and the environment, including threats in areas in which there is a greater-than-normal incidence of diseases or conditions (including cancer, asthma, or birth defects) that may be associated with exposure to hazardous substances, pollutants, or contaminants.(iv) The extent to which a grant would facilitate the use or reuse of existing infrastructure.(v) The extent to which a grant would facilitate the creation of, preservation of, or addition to a park, a greenway, undeveloped property, recreational property, or other property used for nonprofit purposes.(vi) The extent to which a grant would meet the needs of a community that has an inability to draw on other sources of funding for environmental remediation and subsequent redevelopment of the area in which a brownfield site is located because of the small population or low income of the community.(vii) The extent to which the applicant is eligible for funding from other sources.(viii) The extent to which a grant will further the fair distribution of funding between urban and nonurban areas.(ix) The extent to which the grant provides for involvement of the local community in the process of making decisions relating to cleanup and future use of a brownfield site.(x) The extent to which a grant would address or facilitate the identification and reduction of threats to the health or welfare of children, pregnant women, minority or low-income communities, or other sensitive populations. In addition, applicants will be required to demonstrate that site specific activities are carried out at sites that meet the definition of a Brownfields site at CERCLA 101(39).

66.926 INDIAN ENVIRONMENTAL GENERAL ASSISTANCE PROGRAM (GAP)

(GAP for Tribes)

FEDERAL AGENCY:

Office of International and Tribal Affairs, Environmental Protection Agency

AUTHORIZATION:

Indian Environmental General Assistance Program Act of 1992, Section 11, Public Law 102-497, 42 U.S.C 4368b; Indian Environmental General Assistance Program Act of 1992, Public Law 103-155.

OBJECTIVES:

To provide General Assistance Program (GAP) grants to Indian tribal governments and intertribal consortia to build capacity to administer environmental regulatory programs on Indian lands, provide technical assistance from EPA to Indian tribal governments, and intertribal consortia in the development of multimedia programs to address environmental issues on Indian lands.

Funding Priority FY 2016: Funding was for the purposes of assisting federally-recognized tribal governments with the development of tribal environmental management capacity consistent with EPA standards and regulations. Funding can be used for planning, developing and establishing the administrative, technical, legal, enforcement, communications, and environmental education and outreach structure of a tribal environmental program. GAP funds were used for activities such as assessment of environmental condition of tribal lands; appropriate utilization of federal information; building an environmental program tailored to the tribes needs;

developing environmental education programs; developing Solid Waste management plans; and establishing the ability to alert EPA to serious conditions involving immediate public health and ecological health.

Funding Priority FY 2017: Funding was for the purposes of assisting federally-recognized tribal governments with the development of tribal environmental management capacity consistent with EPA standards and regulations. Funding can be used for planning, developing and establishing the administrative, technical, legal, enforcement, communications, and environmental education and outreach structure of a tribal environmental program. GAP funds were used for activities such as assessment of environmental condition of tribal lands; appropriate utilization of federal information; building an environmental program tailored to the tribes needs; developing environmental education programs; developing Solid Waste management plans; and establishing the ability to alert EPA to serious conditions involving immediate public health and ecological health.

Funding Priority FY 2018: Funding is for the purposes of assisting federally-recognized tribal governments with the development of tribal environmental management capacity consistent with EPA standards and regulations. Funding can be used for planning, developing and establishing the administrative, technical, legal, enforcement, communications, and environmental education and outreach structure of a tribal environmental program. GAP funds are to be used for activities such as assessment of environmental condition of tribal lands; appropriate utilization of federal information; building an environmental program tailored to the tribes needs; developing environmental education programs; developing Solid Waste management plans; and establishing the ability to alert EPA to serious conditions involving immediate public health and ecological health.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Activities eligible for funding under this program are those for planning, developing, and establishing capability to implement environmental protection programs including development of solid and hazardous waste programs. General assistance agreements offer the opportunity for a tribe to develop an integrated environmental program, develop the capability to manage specific programs and establish a core program for environmental protection. These assistance agreements provide the opportunity for the tribes to define and develop administrative and legal infrastructures; to conduct assessments, monitoring, planning, and other actions; and to undertake additional activities within a simplified administrative framework. The primary purpose of these assistance agreements is to support the development of elements of a core environmental program, such as: providing for tribal capacity-building to assure an environmental presence for identifying programs and projects, including developing proposals for environmental program grants and managing environmental work; fostering compliance with Federal environmental statutes by developing appropriate tribal environmental programs, ordinances, and services; and establishing a communications capability to work with Federal, State, local and other tribal environmental officials. Restrictions: The principal focus of this program is on the development of general tribal environmental capability. Assistance will be provided under this program only for activities which the agency determines are appropriate to carry out the purposes of the Act. No single grant awarded under this program may be for an amount exceeding ten percent of the total annual funds appropriated under Section (h) of the Act. As with many of EPA's grants to States and Tribes, GAP grants may be combined with one or more other EPA grants into a Performance Partnership Grant for administrative savings and, with EPA concurrence, programmatic flexibility. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website:
<https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf

of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

The following are eligible to receive financial assistance: (a) An Indian tribal government; and (b) an intertribal consortium or consortia. An Indian tribal government is any tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation (as defined in or established pursuant to the Alaska Native Claims Settlement Act, 43 U.S.C. 1601, et seq.), which is recognized by the U.S. Department of the Interior as eligible for the special services provided by the United States to Indians because of their status as Indians. An intertribal consortium is a partnership between two or more Indian tribal governments authorized by the governing bodies of those tribes to apply for and receive assistance under this program. An intertribal consortium is eligible to receive a GAP grant if the consortium demonstrates that: (1) A majority of its members meet the eligibility requirements for GAP grants; (2) all members that meet the eligibility requirements authorize the consortium to apply for and receive the grant; and (3) only members that meet the eligibility requirements will benefit directly from the grant project and the consortium agrees to a grant condition to that effect. An intertribal consortium must have adequate documentation of the existence of the partnership and the authorization of the member Tribes to apply for and receive assistance. Documentation that demonstrates the existence of the partnership of Indian Tribal governments may consist of Tribal council resolutions, intertribal consortia resolutions in conjunction with a Tribal council resolution from each member Tribe, or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that a partnership of Indian Tribal governments exists. Documentation that demonstrates that member Tribes authorize the consortium to apply for and receive assistance may consist of a Tribal council resolution from each Tribe or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that the Tribe authorizes the consortium to apply for and receive the grant on behalf of the Tribe. An intertribal consortium resolution is not adequate documentation of the member Tribes authorization of the consortium unless it includes a written certification from a duly authorized representative of each Tribal government.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and eligible Intertribal Consortia.

Credentials/Documentation:

This program is subject to the provisions of 2 CFR 200 Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must use the "Application for Federal Assistance: State and Local Non-Construction Programs" (Standard Form 424). Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

Applications are reviewed by the appropriate Regional Office and, if approved, financial assistance is awarded by the Regional Administrator or his/her delegated official.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days after deadline for application submission.

Appeals:

Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

In order to receive renewals, significant progress must be demonstrated. Renewals are subject to approval of EPA. Contact the EPA Regional contact identified in Appendix IV of the Catalog for additional information.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Award amount remains available until expended in accordance with the term of the award. The term of an award may exceed one year, but may not exceed four years. The term of the grant shall be determined at the time of the grant award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 2 CFR Part 200 and 1500 and 40 CFR Part 35 (B), "Environmental Program Grants for Tribes." Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304 - STAG.

Obligations:

(Project Grants) FY 16 \$64,880,000; FY 17 est \$96,375,000; and FY 18 est \$45,746,000 - FY 2016 \$64,880,000; FY 2017 \$64,340,000; and FY 2018 est. \$45,746,000.

Range and Average of Financial Assistance:

The minimum award for the first year of the grant is \$75,000/fiscal year, max. \$400,000/fiscal year; avg. \$110,000/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: GAP resources supported a) Developing and maintaining core environmental program capacities (administrative, financial management, information management, environmental baseline needs assessment, public education/communication, legal, and technical/ analytic); b) Engaging with the EPA to negotiate joint EPA-Tribal Environmental Plans (ETEPs) that reflect intermediate and long-term goals for developing, establishing, and implementing environmental protection programs; Linking GAP-funded assistance agreement work plans to the ETEPs; c) Developing baseline capacities for media-specific environmental protection programs that are related to the needs of the recipient and to EPA statutory programs (e.g. ambient and indoor air quality; water quality; managing wastes; managing asbestos, lead-based paint, pesticides, toxics, and pollution prevention programs); and d) Implementing waste management programs. Fiscal Year 2017: GAP resources supported a) Developing and maintaining core environmental program capacities (administrative, financial management, information management, environmental baseline needs assessment, public education/communication, legal, and technical/ analytic); b) Engaging with the EPA to negotiate joint EPA-Tribal Environmental Plans (ETEPs) that reflect intermediate and long-term goals for developing, establishing, and implementing environmental protection programs; Linking GAP-funded assistance agreement work plans to the ETEPs; c) Developing baseline capacities for media-specific environmental protection programs that are related to the needs of the recipient and to EPA statutory programs (e.g. ambient and indoor air quality; water quality; managing wastes; managing asbestos, lead-based paint, pesticides, toxics, and pollution prevention programs); and d) Implementing waste management programs. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 102-497, Section 11, 42 U.S.C.4368(b), as amended by Public Law 103-155, November 24, 1993. The program guidance titled "Indian Environmental General Assistance Program: Guidance on the Award and Management of General Assistance Agreements for Tribes and Intertribal Consortia" is available at <https://www.epa.gov/tribal/indian-environmental-general-assistance-program-ga>.

Regional or Local Office:

See Regional Agency Offices.
<https://www.epa.gov/tribal/tribal-program-managers>.

Headquarters Office:

Luke Jones American Indian Environmental Office (2690 M) U.S. Environmental Protection Agency, 1200 Pennsylvania Ave., NW, Washington, District of Columbia 20460 Email: jones.luke@epa.gov Phone: (202) 564-4013

Website Address:

<http://www.epa.gov/indian/grantsandfunding/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are approved by the individual EPA Regional offices according to applicants ability to achieve the objectives outlined in Public Law 102-497; 40 CFR Part 35 (B): Environmental Program Grants for Tribes; the May 15, 2013 Final Guidance on the Award and Management of General Assistance Agreements for Tribes and Intertribal Consortia; the availability of funding; and a review of the applicant's proposed work plan and past performance.

66.931 INTERNATIONAL FINANCIAL ASSISTANCE PROJECTS SPONSORED BY THE OFFICE OF INTERNATIONAL AND TRIBAL AFFAIRS

FEDERAL AGENCY:

Office of International and Tribal Affairs, Environmental Protection Agency

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, Public Law 92-516, 7 U.S.C 136r; Safe Drinking Water Act, Section 1442(a)&(c), 42 Stat. 300j-1(a)(1); Clean Air Act, Section 103, 42 U.S.C 7403; Marine Protection, Research and Sanctuaries Act of 1972, Section 203, 33 Stat. 1443; National Environmental Policy Act, Section 102(2)(F), 42 Stat. 4332; Solid Waste Disposal Act, Section 8001, 42 Stat. 6981; Toxic Substances Control Act, Section 10, 15 U.S.C 2609; Clean Water Act, Section 104, 33 U.S.C 1254.

OBJECTIVES:

To protect human health and the environment while advancing U.S. national interests through international environmental collaboration. This mission is supported by these strategic objectives: 1) Reducing Exposure to Toxic Chemicals; 2) Improving Air Quality; 3) Cleaning Up Electronic Waste (E-Waste); 4) Combating Climate Change by Limiting Pollutants; 5) Expanding access to Clean Water; and 6) Building Strong Environmental Institutions and Legal Structures. Funding Priority - Fiscal Year 2014: EPA Safeguards air, water, and land for all Americans. International capacity-building plays a key role in protecting human health, communities and the environment by providing technical cooperation to help countries reduce air pollution, better manage air quality global climates, reduce the global use and emission of mercury, manage drinking & surface water quality, and provide technical assistance to restore the land and mitigate sources of land pollution. OITA will work to transfer appropriate air management tools and techniques to key countries and regions as we collaborate with partners to improve air quality. In addition, we will continue efforts to reduce global sources of persistent bioaccumulative toxins. Long-range and transboundary atmospheric transport and deposition of such toxics as Mercury, Persistent Organic Pollutants (POPs) such as polychlorinated biphenyls (PCBs), pesticides, dioxins, and furans continue to threaten human health and ecosystems. OITA will continue to promote the development of activities for managing drinking and surface water quality and provide technical cooperation, expertise, and assistance to help communities and countries preserve and restore the land and to mitigate sources of land pollution. OITA plays a key role in ensuring trade-related activities sustain environmental protection. Initiatives relating to environmental trade and investment are carried out bilaterally, multilaterally or in connection with multilateral institutions such as the World Trade Organization (WTO), World Bank, European Union (EU), or Asia-Pacific Economic Cooperation Forum (APEC). Also, OITA will support protection of human health and the ecosystem for North America through the United States' participation in the North American Agreement on Environmental Cooperation and through leadership in the Commission on Environmental Cooperation (CEC), the Organization for Economic Cooperation and Development (OECD), and other international entities. EPA will provide targeted capacity building support under the environmental cooperation agreements developed parallel to U.S. free trade agreements. The U.S.-Mexico Border (to sustain and restore community health and preserve the ecological systems that support them) program is implemented in partnership with the ten Border States and the government of Mexico with its corresponding states and tribes. It addresses the Agency's major themes of clean air, clean water, and clean land. The key areas of focus for the Border 2020 Program include improving water quality in the region; improving availability of low sulfur diesel fuel on the border; the stabilization of abandoned hazardous waste sites; removal of used tire piles along the U.S.-Mexico Border; defining baseline and alternative scenarios for air emissions reductions along the border region; and binational emergency preparedness drills and exercises at border sister cities.

Funding Priority - Fiscal Year 2015/16: In FY 2015/16, OITA will continue to engage both bilaterally and through multilateral institutions with the objective of improving international cooperation to address the transboundary movement of pollution. OITA will address air pollution and air quality with international partners that contribute significant pollution to the environment and who are committed to improving their environmental performance. OITA will continue its work in the Partnership for Clean Fuels and Vehicles (PCFV), a global partnership that has worked to reduce air pollution from the global fleet of on-road vehicles. OITA will also continue its efforts to reduce transboundary pollution from ships.

The EPA expects to continue a focus on ratification and full implementation of

the Minamata Convention by less developed countries, and on continued technical and policy support for global and regional efforts to address international sources of mercury use and emissions. OITA will continue to strengthen partnerships to address environmental problems and build capacity in areas such as green growth technologies and environmental laws and legal institutions. The EPA will lead United States Government efforts to advance the new Green Growth Strategy in the OECD and through U.S. interagency processes, promoting green jobs and sustainable development worldwide.

In FY 2015/16, the OITA will continue to strengthen our activities in the Arctic. Working with International Partners, Alaska, Tribes, federal agencies, and the private sector, the EPA is building international support for U.S. environmental policy objectives through the Arctic Council on a range of topics including mercury and short-lived climate forcers such as black carbon, tropospheric ozone, and methane. Beyond the Arctic region, OITA will continue to work with the State Department, UNEP, and other international partners as part of the international Climate and Clean Air Coalition (CCAC). The goal of these efforts is to realize immediate climate, health, and other benefits of reducing short-lived climate pollutants.

Collaboration with global partners is needed to build upon awareness of water pollution issues and to promote watershed and marine environmental protection. For FY 2015/16, OITA will continue to promote clean water and drinking water programs in Africa, Asia, and Latin America, focusing on improving the quality of water sources and managing other environmental risks.

In FY 2015/16, OITA will strengthen implementation of global, regional, and country programs to address electronic waste (e-waste) and promote sound reuse and recycling of discarded used electronics. By partnering with international organizations such as the UN University Solving the E-waste Problem Initiative, better information on the e-waste problem, demonstrations of sustainable recycling programs and stronger collaboration with countries, will help reduce risks from exposure to toxic substances contained in e-waste such as lead, mercury, cadmium, and hexavalent chromium.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Discretionary funds are available for the awards under this program. Grants and cooperative agreements are available to support recipients' allowable direct cost expenditures incident to the approved project plus allocable portions of allowable indirect costs of the institution, in accordance with established EPA policy, 2 CFR parts 200 and 1500. Funding awarded for international research under these projects does not include research within the purview of EPA's Office of Research and Development. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Office of International and Tribal Affairs (OITA) may require applicants to

submit documentation of non-profit status. OITA may request applicants to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. OITA may also ask applicants or principal investigators to provide curriculum vitae and relevant publications.

Beneficiary Eligibility:

States and local governments, territories and possessions, foreign governments, international organizations, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions.

Credentials/Documentation:

Office of International and Tribal Affairs (OITA) may require applicants to submit documentation of non-profit status. OITA may request applicants to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. OITA may also ask applicants or principal investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms as furnished by the Federal agency and required by 2 CFR 200, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards" must be used for this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>. EPA requires final applications to be made on Standard Form 424. Under EPA Order No. 5700.5A1, Policy for Competition in Assistance Agreements (9/12/02), Assistance awards to Foreign Governments and to United Nations agencies and similar International Organizations, such as the Organization of American States and Organization for Economic Cooperation and Development (OECD), may be exempted from competition at the discretion of OITA. For competitive awards, Requests for Initial Proposals or Requests for Applications will specify application procedures.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For non-competitive awards, OITA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the

project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to OITA's priorities, and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Payments will be on an advance letter of credit or reimbursement basis; recipient must request the initial advance payment on SF 270, Request for Advance or Reimbursement. See the following for information on how assistance is awarded/released: Assistance is awarded by project.

Reports:

No program reports are required. No cash reports are required. Reporting requirements are identified at 2 CFR Parts 200 and 1500. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. Progress reports are required under this program. Expenditure Reports are required under this program. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 2 CFR Parts 200 and 1500 are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304 - EPM.

Obligations:

(Project Grants) FY 16 \$2,500,000; FY 17 est \$2,500,000; and FY 18 est \$1,500,000 - (Project Grants) FY 2016 \$2,500,000, FY 2017 \$2,500,000, and FY 2018 est \$1,500,000. (Grants and Cooperative Agreements) FY 2016 \$2,500,000, FY 2017 \$2,500,000, and FY 2018 est. \$1,500,000.

Range and Average of Financial Assistance:

Financial assistance for projects range: \$15,000 - \$300,000; Average: FY 2016 \$200,000, FY 2017 \$200,000 and FY 2018 est. \$150,000.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: EPA collaborated with partner countries to strengthen and enhance public participation practices and to provide partner countries with tools and resources on social inclusion and public participation. EPA trained participants representing governments, NGOs, academia, media, and international organizations in Central and South America, the Middle East and North Africa, West Africa, and Indonesia. EPA, in support of the Lead Paint Alliance, provided technical assistance to Kenya, Tanzania, and other East African countries that furthered development and promulgation of lead in paint laws in East Africa. Fiscal Year 2017: (no entry). Fiscal Year 2018: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

These grants and cooperative agreements are subject to EPA's General Grant Regulations 2 CFR Parts 200 and 1500. Costs will be determined in accordance with 2 CFR 200 and Subpart E.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Mike Weckesser Office of International Affairs, USEPA, 1200 Pennsylvania Ave., N.W. 1200 Pennsylvania Ave., N.W., Washington, D.C. 20460. For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460. For program information contact: Office of International Affairs at this web site address: <http://www.epa.gov/oia>

, Washington, District of Columbia 20460 Email: weckesser.mike@epa.gov
Phone: (202) 566-0324 Fax: (202) 564-2408

Website Address:

<http://www.epa.gov/oia/>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.469 Great Lakes Program; 66.609 Protection of Children and Older Adults (Elderly) from Environmental Health Risks; 66.808 Solid Waste Management Assistance Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Internationally funded activities included support for mercury reduction technologies in support of the Minamata convention, Lead Paint initiative, trash free waters, and food waste reduction. Fiscal Year 2017: Internationally funded activities included support for harmonizing efforts in the Lead Paint initiative and food waste reduction. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.950 NATIONAL ENVIRONMENTAL EDUCATION TRAINING PROGRAM

Teacher Training Program

FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

National Environmental Education Act, Section 5, Public Law 101-619.

OBJECTIVES:

To train educational professionals in the development and delivery of environmental education programs. As required by Public Law 101-619, funds are provided to universities, non-profit organizations, or a consortia of such institutions to deliver environmental education training and support for education professionals. ee360 is the newest initiative supporting a diverse cadre of environmental education leaders through certification, accreditation, leadership training, state-of-the-art education materials and research, networking opportunities, and an on-line hub. The goals of ee360 are to drive educator excellence, cultivate collective impact and mobilize access to high-quality resources and networks

Funding priorities for fiscal year 2016 are for recipient to perform tasks as part of their original proposal and subsequent approval of yearly work plans. Recipient has entitled this project " Expanding Capacity in EE" To that end they are doing things such as, workshops, online courses, EE accreditation, building state capacity etc.

Funding Priority - Fiscal Year 2017: Funding priorities for fiscal year 2017 are for recipient to perform tasks as part of their original proposal and subsequent approval of yearly work plans. Recipient has entitled this project ee360 and to that end they are doing things such as, workshops, EE certification and accreditation, in-service and preservice leadership development, Guidelines training, fellowships for community leaders, among others.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds shall be used to establish an education and training program which shall include, at a minimum: (1) classroom training in environmental education and studies including environmental sciences and theory, educational methods and practices, environmental career or occupational education, and topical environmental issues and problems; (2) demonstrations of the design and conduct of environmental field studies and assessments; (3) development of environmental education programs and curricula, including programs and curricula to meet the needs of diverse ethnic and cultural groups; (4) sponsorship and management of international exchanges of teachers and other educational professionals between the United States, Canada, and Mexico involved in environmental programs and issues; (5) maintenance or support of a library of environmental education materials, information, literature, and technologies, with electronic as well as hard copy accessibility; (6) evaluation and dissemination of environmental education materials, training methods, and related programs; (7) sponsorship of conferences, seminars, and related forums for the advancement and development of environmental education and training curricula and materials, including international conferences, seminars, and forums; (8) supporting effective partnerships, networks, and the use of distant learning technologies; and (9) such other activities as the Administrator determines to be consistent with the policies of the Act. No funds made available for this program shall be used for the acquisition of real property (including buildings) or the construction or substantial modification of any building. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Assistance under this program is available to universities, non-profit organizations, or a consortia of such institutions to deliver environmental education training and support for education professionals. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Education (0-8), education (9-12), education (13+), nonprofit institutions.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. The Office of Environmental Education may ask applicants or principal investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

A solicitation notice is issued for this program once every five years. The notice identifies the application process. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The solicitation notice which described the application procedure for the training program that will operate from 01/01/2017 through 12/31/2021, subject to the availability of funds, was posted on <http://www.grants.gov> in late Spring 2016. The solicitation process for this program is conducted every five years. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure:

This program is subject to the provisions of 2 CFR 200 and 1500. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

It can take up to a year from time of application submission to award of an assistance agreement. The last award was made in late summer 2016 (for the solicitation published in Spring 2016). The next date that a solicitation notice for this program will be published in the Spring of 2021.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to the Office of Environmental Education's program priorities, and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: In accordance with the National Environmental Education Act (Public Law 101-619), federal funds for any project under this section shall not exceed 75 percent of the total cost of such project. The project has a 25 percent non-federal match required by statutory formula. For the purposes of this section, the non-Federal share of project costs may be provided by cash or by in-kind contributions and other non-cash support. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The training program consists of an initial one year budget and project period. Subsequent incremental funding on an annual basis may be awarded for the next four years, for a total budget and project period of five years. See the following for information on how assistance is awarded/released: This program operates on a five year basis. Funds are initially awarded for a one year project period. Subsequent supplemental funding awards on an annual basis for the next four years.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. No cash reports are required. The grantee must submit semi-annual and final progress reports. Expenditure reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each assistance agreement, must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until the expiration of 3 years from the date of submission of the final expenditure report. If questions still remain, such as those raised as the result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 16 \$2,157,500; FY 17 est \$2,157,500; and FY 18 Estimate Not Available - FY 2015: \$2,175,500; FY 2016: \$2,157,500; FY 2017: \$2,175,500.

Range and Average of Financial Assistance:

This is a 5-year program; one recipient is selected every 5 years. The project is funded on an annual basis and the amount of the annual funding depends on Congressional appropriation. The range and average financial assistance for the full 5-year project period is approximately \$10,877,500.00.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Expanding Capacity in Environmental Education program will complete the following accomplishments in FY 2016 by

December 2016. Developing and delivering NPEEE Guidelines workshops, developing new guidelines for working in communities, Online learning, Building State Capacity including awarding 10 capacity building grants to 10 states to develop replicable model programs to diversify and strengthen environmental education, maintain eePRO launched in January 2016 and complete the implementation of the communications plan. Fiscal Year 2017: The ee360 Program will complete the following accomplishments, among others, in FY 2017: preservice and in-service training for environmental educators; leadership training for NAAEE Affiliate members from 6 states; re-tooling of the educator certification program at the state level; Community Guidelines training at the annual NAAEE conference; workshop on linking research to practice; and updating the current Guidelines series. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 and 40 CFR Parts 32, 33, 45, 47; program guidance; Federal Register (Invitation for Pre-proposal, July 2, 1991); Public Law 101-619.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Javier Araujo Ginger Potter, Sr. Environmental Education Specialist, Office of Environmental Education, (1704A), Environmental Protection Agency, 1200 Pennsylvania Ave., NW, Washington, DC 20460. Telephone: (202) 564-0453. FAX: (202) 564-2753. Email address: potter.ginger@epa.gov. J , Washington, District of Columbia 20460 Email: araujo.javier@epa.gov Phone: (202) 564-2642

Website Address:

<http://www2.epa.gov/education/national-environmental-education-training-program>

RELATED PROGRAMS:

66.951 Environmental Education Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: EE PRO was launched in January 2016. This is a resource that provides educators, and community leaders a one stop hub for finding and sharing EE resources, information and professional development opportunities. Fiscal Year 2017: ee360 continues the webinar series started under EECapacity. Topics for 2017 include: Social Movements in EE; People-Powered Impacts: Using Citizen Science to Change the World; and Introducing the NAAEE Guidelines for Excellence in Community Engagement. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Priorities for selecting proposals are listed in Section 5 of Public Law 101-619, National Environmental Education Act. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.951 ENVIRONMENTAL EDUCATION GRANTS

FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

National Environmental Education Act, Section 6, Public Law 101-619.

OBJECTIVES:

The Environmental Education Grants Program supports projects to design, demonstrate, and/or disseminate practices, methods, or techniques related to environmental education and teacher training. As required by Public Law 101-619, this grant program provides financial support for environmental education projects implemented by schools, universities, state and local government environmental and educational agencies, tribal education agencies, and nonprofit 501 (c)(3) organizations that increase public awareness and knowledge about environmental issues and provide the skills that participants in its funded projects need to make informed environmental decisions and take

responsible actions toward the environment. .

Funding Priority - Fiscal Year 2015: Funding priorities for fiscal years 2015 and 2016 are to award grants for both model, replicable projects and locally focused projects, and to award 25% of the appropriated grant funding to small grants of \$5000 or less through a sub-grant program.

Funding Priority - Fiscal Year 2016: Funding priorities for fiscal years 2016 and 2017 are to award grants for both model, replicable projects and locally focused projects, and to award 25% of the appropriated grant funding to small grants of \$5000 or less through a sub-grant program.

Funding Priority - Fiscal Year 2017: Funding priorities for fiscal year 2017 are to award grants for locally focused environmental education projects, and to award 25% of the appropriated grant funding to small grants of \$5000 or less through a sub-grant program.

Funding Priority - Fiscal Year 2018: Funding priorities for fiscal year 2018 are to award grants for locally focused environmental education projects, and to award 25% of the appropriated grant funding to small grants of \$5000 or less through a sub-grant program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds shall be used to establish an education program which shall include, at a minimum: (1) design, demonstration, or dissemination of environmental curricula and materials on specific topics for which there are no existing materials; (2) design and demonstration of field methods, practices, and techniques, including review of environmental and ecological conditions and analysis of environmental and pollution problems; (3) projects to understand and evaluate a specific environmental issue or a specific environmental problem; (4) provision of training or related education for teachers, faculty, or related personnel in a specific geographic area or region; and (5) design and demonstration of projects to foster international cooperation in addressing environmental issues and problems involving the United States and Canada or Mexico. Priority will be given to those projects which will develop: (a) a new or significantly improved environmental education practice, method, or technique; (b) an environmental education method which may have wide application; (c) an environmental education method which addresses skills or scientific fields identified as a priority in the report developed by the National Environmental Education Advisory Council; and (d) an environmental education project which addresses an environmental issue which, in the judgment of the Administrator, is of a high priority. More specific priorities are listed above under Objectives and are specified in the annual Solicitation Notice. Restrictions: No funds made available for this program shall be used for technical training of environmental management professionals; for advocacy or lobbying; for acquisition of real property (including buildings); or the construction or substantial modification of any building. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Applicant Eligibility:

Assistance under this program is generally available to local education agencies, colleges and universities, state education and environmental agencies, nonprofit organizations described in Section 501(c)(3) of the Internal Revenue

Service, and noncommercial educational broadcasting entities as defined and licensed by the Federal Communications Commission. Applicant organizations must be located in the United States or territories and the majority of the educational activities must take place in the United States, Canada, or Mexico. "Tribal education agencies" that are eligible to apply include a school or community college which is controlled by an Indian tribe, band, or nation, which is recognized as eligible for special programs and services provided by the United States to Indians because of their status as Indians and which is not administered by the Bureau of Indian Education. Tribal organizations do not qualify unless they meet that criteria or the environmental agency or non-profit criteria listed above. The terms for eligibility are defined in Section 3 of the Act and 40 CFR 47.105.

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Education (0-8), education (9-12), education (13+), nonprofit institutions.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants must demonstrate that they have appropriate background, academic training, and experience in the field, and may be asked to demonstrate the necessary equipment or facilities to carry out the project. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The annual solicitation notice for proposals and grant tutorials are available on the Agency's website listed below. This program is excluded from coverage under E.O. 12372. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are accepted for one or two solicitations on an annual grant cycle and are due at the deadline(s) stated in each solicitation. Applicants submit an application package in the format required in the annual Solicitation Notice(s). Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>. After being submitted to [grants.gov](http://www.grants.gov), some applications will be reassigned by the EE Grants Manager to the appropriate EPA Regional Offices, depending on specifications in each Solicitation Notice and where the project activities will take place.

Award Procedure:

Applications for Environmental Education assistance agreements will be evaluated by the appropriate EPA Headquarters or Regional Offices based on where the proposed project will take place and the nature of the awards as described in the solicitation notice. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. All awards are competed through the annual announcement(s) and no proposals are awarded non-competitively.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

None. Grants proposals are solicited and evaluated annually on a competitive basis. Therefore, applicants should not plan for renewals.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: In accordance with the National Environmental Education Act (Public Law 101-619), federal funds for any project under this section shall not exceed 75 percent of the total cost of such project. The project has a 25 percent non-federal match required by statutory formula. For the purposes of this section, the non-federal share of project costs may be provided by cash or by in-kind contributions and other non-cash support.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

One or two year project periods are possible in this program, depending upon the nature and complexity of the project. Activities must be completed within the time frame of the budget period agreed upon at the time of the award. Two or more concurrent grants to the same organization for the same project are not allowed in this program. Grants are usually fully (rather than incrementally) funded. This determination is made by the EPA. See the following for information on how assistance is awarded/released: At a rate needed by the grantee, downloaded by them from an account established at the start of the project period.

Reports:

No program reports are required. No cash reports are required. Recipients of grants are expected to submit progress reports on at least a semi-annual basis. Final reports and two copies of all grants products are due within 90 days of the close of the budget period. Expenditure reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each assistance agreement, must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until the expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as the result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 16 \$3,306,600; FY 17 est \$3,306,600; and FY 18 est \$3,000,000 - FY 2016: \$3,306,600; FY 2017: \$3,306,600; FY 2018 estimated \$3,000,000 FY.

Range and Average of Financial Assistance:

The dollar range stated in the FY 2016 EE Local Grants solicitation notice was no more than \$91,000 per grant. In FY16 and FY17 the average amount awarded per EE grant was approximately \$90,000. The range stated in the FY 2016 EE Local Grants solicitation notice was no more than \$91,000 per grant. In FY14 and FY15 the average amount awarded per EE Model grant was approximately \$192,000 and the average amount per EE Local grant was approximately \$90,000.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Approximately 470 applications were received for the EE Local Grants program in FY16. 33 awards were made for EE Local Grants with FY16 funds, and 3 grants were awarded at headquarters for EE Model Grants with FY16 funds (selected in June 2015 from the pool of applicants received for the 2014/2015 solicitation notice). Fiscal Year 2017: 36 grant awards were made with FY 2017 funds for applications received under the 2016 EE Local Grants solicitation (under a waiver from the Office of Grants and Debarment, due to the late receipt of FY17 funds and the large number of high quality applications received in FY16). Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Parts 200 and 1500, 40 CFR Parts 7, 12, 32 Subpart F, 33, 47; Public Law 101-619, and the Office of Environmental Education annual Grant Program Solicitation Notice(s).

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate EPA Regional Office listed in Appendix IV of the Catalog and on the website www2.epa.gov/education/grants.

Headquarters Office:

Karen Scott, Environmental Education Grant Program 1704A), Environmental Protection Agency, 1200 Pennsylvania Ave., NW, Washington, District of Columbia 20460 Email: scott.karen@epa.gov Phone: (202) 564-2194.

Website Address:

<http://www2.epa.gov/education>

RELATED PROGRAMS:

66.950 Environmental Education and Training Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Environmental Education projects funded in FY 2016 included (but were not limited to) projects 1) to train middle and high school teachers in communities along the Colorado River to introduce place-based, experiential learning activities in their classroom lessons about the river as a resource for the region; 2) to encourage families across the country to explore nature along with young adult guides from their community and with the expert assistance from local zoos and aquarium staff; and 3) to empower citizen scientists in Pennsylvania and surrounding states to monitor water quality side by side with professional scientists in their communities and learn how to reduce pollution in local waterways. Fiscal Year 2017: Environmental Education projects funded in FY 2017 included (but were not limited to) projects 1) to educate middle school students about air quality issues and sources of pollutants in the Northeast region of the U.S. where they live; 2) to expose elementary school students in California to environmental career fields through project-based learning and mentoring by STEM professionals; and 3) to provide professional development for elementary school teachers in the Pacific Northwest to be able to introduce lessons about the connections between the salmonid life cycle, healthy fish and healthy watersheds. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Basis and priorities for selecting proposals are listed in Section 6 of Public Law 101-619, National Environmental Education Act, and in the annual Solicitation Notice which can be accessed at www2.epa.gov/enviro on the internet. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

NUCLEAR REGULATORY COMMISSION

77.006 U. S. NUCLEAR REGULATORY COMMISSION NUCLEAR EDUCATION GRANT PROGRAM

Nuclear Education Grant Program - Curricula Development

FEDERAL AGENCY:

Nuclear Regulatory Commission

AUTHORIZATION:

The Energy Policy Act of 2005, Section 651(c)(1), Public Law 109-58, 119 Stat. 801, 42 U.S.C 2051(b).

OBJECTIVES:

The Curricula Development program provides assistance to accredited U.S. colleges and universities in support of courses, studies, training, curricula, and disciplines relevant to nuclear safety, nuclear security, nuclear environmental protection, or any other field that the Commission determines to be critical to its regulatory mission. The primary purpose is to support and develop the educational infrastructure necessary to allow the Nation to advance its nuclear energy initiatives.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program provides funding to accredited post-secondary schools and institutions of higher education. Financial support in whole or in part may be provided for such purposes as salaries, materials and supplies, equipment, consultants, limited travel, and other justified program related expenses required for conducting the curricula development related activities. Restrictions on use of funds are specified in the funding opportunity announcement or the award document. Funding is provided for the purpose of creating, revising, or enhancing nuclear-related curricula that does not necessarily duplicate work already in process, is not already known to NRC, or has previously unrecognized merit.

Applicant Eligibility:

Applicants must be a U.S. public or private institution of higher education, accredited by the U.S. Department of Education.

Beneficiary Eligibility:

Accredited U.S. public or private institutions of higher education will benefit.

Credentials/Documentation:

Administration and cost will be determined in accordance with guidance in 2 CFR 200 for institutions of higher education. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Apply using the electronic application package required in the Funding Opportunity Announcement which enables NRC to receive the application from Grants.gov.

Award Procedure:

Applications are processed by the assigned staff and approved by the NRC, based on a set of criteria established by the NRC, as stated in the Funding Opportunity Announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Please see the active Funding Opportunity Announcement for published response deadlines.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Matching is not required but encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance for project grants shall be a minimum of 2 years and generally does not exceed 3 years. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The recipient shall submit "Performance Progress Reports" (reported on SF-PPR, SF-PPR-B, and SF-PPR-E) and a final report as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200. The recipient shall submit "Federal Financial Reports," (reported on SF-425) as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200. The recipient shall adhere to the performance monitoring requirements as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The recipient shall adhere to the records management requirements as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200.

Account Identification:

31-0200-0-1-276.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Award amounts range from \$87,000.00 to \$200,000.00.

TAFS Codes:

31-00-0001.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Reports, brochures, guidelines, and frequently asked question are available at <http://www.nrc.gov/about-nrc/grants.html>.

Regional or Local Office:

None.

Headquarters Office:

MLita Carr Nuclear Regulatory Commission
Two White Flint North
11545 Rockville Pike, Mail Stop: TWFN- 8E06M
, Rockville, Maryland 20852 Email: MLita.Carr@nrc.gov Phone: 301-415-6869

Website Address:

<http://www.nrc.gov>

RELATED PROGRAMS:

77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria, including exclusions as stated in the funding opportunity

announcement, for support is based on the soundness of the proposed approach including objectives, methodology, plan of operation, timetable, expected products and results, evaluation, and dissemination plans. The applicant's capability to perform the project is assessed to the degree that the project will strengthen its teaching capacity; the competency of the proposed investigator or group to successfully pursue the project; the adequacy of the available and proposed facilities and resources; the necessity of the budget components requested in relation to the proposed project; and the relevance and importance to announced program objectives.

77.007 U.S. NUCLEAR REGULATORY COMMISSION MINORITY SERVING INSTITUTIONS PROGRAM (MSIP)

NRC Minority Serving Institutions Program

FEDERAL AGENCY:

Nuclear Regulatory Commission

AUTHORIZATION:

Energy Policy Act of 2005, Section 651.c.4 and 622, Public Law 109-58, 119 Stat. 801, 42 U.S.C 2015(b) 2051(b); Executive Order 13256, 13270, and 13230.

OBJECTIVES:

The Minority Serving Institutions Grants Program (MSIGP) funds minority serving programs, activities, projects, symposiums and training for the exchange and transfer of knowledge and skills relevant to nuclear safety, security, environmental protection, or any other fields the Commission deems critical to its mission. MSIGP provides assistance for minority serving programs and activities to include, but not limited to mentoring, leadership development, training, instruction, developmental learning, research and development, program evaluation, and technical assistance. MSIGP also provides assistance to include direct institutional subsidies, facilities and equipment acquisition, internships, fellowships, scholarships, and tuition and housing assistance. *The MSIGP is no longer accepting new applications. Minority Serving Institutions are encouraged to apply for funding opportunities with the NRC's Nuclear Education Program for Scholarship and Fellowships, Faculty Development, Trade School and Community College Scholarships.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The program provides funding to accredited post-secondary schools and institutions of higher education. Financial support in whole or in part may be provided for such purposes as salaries, stipends, materials and supplies, equipment, travel, publication costs, training costs, and services required for conducting research, training and related activities. Restrictions on use of funds depend on grant provisions, the nature of assistance provided, and the purpose(s) for which the programs and activities were funded to achieve.

Applicant Eligibility:

Minority Serving Institutions and organizations that provide services to Minority Serving Institutions and their students and faculty.

Beneficiary Eligibility:

Accredited U.S. public and private Minority Serving Institutions of higher education and their students and facility will benefit.

Credentials/Documentation:

Administration and cost will be determined in accordance with guidance in 2 CFR 200 for institutions of higher education. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Apply using the electronic application package required in the Funding Opportunity Announcement this enables NRC to receive the application from Grants.gov.

Award Procedure:

Applications are processed by the assigned staff and approved by the NRC, based on a set of criteria established by the NRC, as stated in the Funding Opportunity Announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Please see the active Funding Opportunity Announcement for published response deadlines.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance for project grants shall not exceed 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The recipient shall submit "Performance Progress Reports" (reported on SF-PPR, SF-PPR-B, and SF-PPR-E) and a final report as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200. The recipient shall submit "Federal Financial Reports," (reported on SF-425) as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200. The recipient shall adhere to the performance monitoring requirements as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The recipient shall adhere to the records management requirements as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200.

Account Identification:

31-0200-0-1-276.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 est \$500,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Award amounts range from \$5,000.00 to \$400,000.00.

TAFS Codes:

31-00-0001.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for The Minority Supporting Institution Program is on line at <http://www.nrc.gov/about-nrc/grants/minority-serving-institutions-program.pdf>

Regional or Local Office:

None.

Headquarters Office:

M'Lita Carr Nuclear Regulatory Commission
Two White Flint North

11545 Rockville Pike, Mail Stop: TWFN-8E06M, Rockville, Maryland 20852
Email: MLita.Carr@nrc.gov Phone: 301- 415-6869

Website Address:

<http://www.nrc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Soundness of the proposed approach including objectives, methodology, plan of operation, timetable, expected products and results, evaluation and dissemination plans. The applicant's ability to perform the project is assessed relative to the competency of the proposed investigator or group to successfully pursue the project; the adequacy of the available and proposed facilities and resources; the necessity of the budget components requested in relation to the proposed project; and the relevance and importance to the program objectives in the funding opportunity announcement.

77.008 U.S. NUCLEAR REGULATORY COMMISSION SCHOLARSHIP AND FELLOWSHIP PROGRAM

NRC Scholarship/Fellowship and Faculty Development Program

FEDERAL AGENCY:

Nuclear Regulatory Commission

AUTHORIZATION:

The Energy Policy Act of 2005, Section 651 (c)(1), Public Law 109-58, 119 Stat. 801, 42 U.S.C 2051 (b).

OBJECTIVES:

This Scholarship and Fellowship program provides funding for undergraduate scholarships, graduate fellowships, and trade school and community college scholarships. In addition, faculty development grants are funded under this authority as explained below. The program allows students to pursue an education in science, engineering, or another field of study that the Commission determines is in a critical skill area related to its regulatory mission. The trade school and community college scholarship program supports certificates or Associate degrees in crafts/disciplines necessary for developing and maintaining a nuclear workforce.

This activity is intended to benefit the nuclear industry broadly. NRC requires scholarship and fellowship students to comply with a service obligation agreement for a period of 6 months for every year or partial year of support received. However, the NRC may establish criteria for the partial or total waiver or suspension of any obligation of service or payment incurred by a student. The employment may be with the NRC, other Federal agencies, State agencies, nuclear-related industry, or academia in the students sponsored fields of study. Recipients of scholarship and fellowships must commit to the service obligation agreement.

The program also supports faculty development in the nuclear-related fields of Nuclear Engineering, Health Physics, Radiochemistry and related disciplines as determined by the NRC. The program specifically targets probationary, tenure-track faculty in these academic areas during the first 6 years of their career.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program provides funding to accredited post-secondary schools and institutions of higher education to provide scholarships, fellowships, and stipends to develop a cadre of professionals, and a workforce capable of supporting the design, construction, operation, and regulation of nuclear facilities and the safe handling of nuclear materials. Faculty development grants provide support for developing applications for research, small amounts for initiating or continuing research projects in their areas of expertise, and expenses associated with an institutions faculty development plan.

Funds are managed by the awardee institution. Primary responsibility for general supervision of all grant activities rests with the grantee institution. Funds may not be used for purposes other than those specified in the award. Fellowships, scholarship and stipends are paid to the students and fellows directly through his/her institution. Scholarship and fellowship recipients are required to comply with a service obligation agreement for a period of 6 months for every year or partial year of support received.

Applicant Eligibility:

Applicants must be a U.S. public or private institutions of higher education, accredited by the U.S. Department of Education.

Beneficiary Eligibility:

Individual Recipients must be undergraduate and graduate students of accredited U.S. public or private institutions of higher education. Candidates for the Faculty Development program are targeted for probationary, tenure-track faculty in nuclear related fields during the first 6 years of their career. All recipients must be U.S. citizens or noncitizen national of the U.S. or have been lawfully admitted to the U.S. for permanent residence (i.e., in possession of a currently valid Alien Registration Receipt Card I-551, or other legal verification of such status).

Credentials/Documentation:

Administration and cost will be determined in accordance with guidance in 2 CFR 200 for institutions of higher education. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Apply using the electronic application package required in the Funding Opportunity Announcement this enables NRC to receive the application from Grants.gov.

Award Procedure:

Applications are processed by the assigned staff and approved by the NRC, based on a set of criteria established by the NRC, as stated in the Funding Opportunity Announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Please see the active Funding Opportunity Announcement for published response deadlines.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Matching is not required for this program but is encouraged. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance for project grants shall be between 2 and 4 years (depending on the program). Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. The recipient shall submit "Performance Progress Reports" (reported on SF-PPR, SF-PPR-B, and SF-PPR-E) and a final report as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200. The recipient shall submit "Federal Financial Reports," (reported on SF-425) as

stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200. The recipient shall adhere to the performance monitoring requirements (depending on the program) as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The recipient shall adhere to records management requirements as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200.

Account Identification:

31-0200-0-1-276.

Obligations:

(Project Grants) FY 16 \$15,000,000; FY 17 est \$15,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Award amounts range from \$150,000.00 to \$450,000.00 (depending on the program).

TAFS Codes:

31-00-0001.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Reports, brochures, guidelines, and frequently asked questions are available at <http://www.nrc.gov/about-nrc/grants.html>.

Regional or Local Office:

None.

Headquarters Office:

MLita Carr Nuclear Regulatory Commission
Two White Flint North
11545 Rockville Pike, Mail Stop: TWFN- 8E06M
, Rockville, Maryland 20852 Email: MLita.Carr@nrc.gov Phone:
301-415-6869

Website Address:

<http://www.nrc.gov>

RELATED PROGRAMS:

77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Capacity and ability of the institution to effectively conduct the program. Number and quality of students that will be served by the program. Justification for number of students served and amount of stipend and scholarship support. Quality and feasibility of recruitment and marketing strategies. Quality of technical programs for scholarship or fellowship programs. Type of student support, i.e. mentoring or advisor assistance feasibility and completeness of an evaluation plan that will measure the effectiveness of the scholarship or fellowship program Institutional support for the program and the extent to which the institution is committed to making the program an organizational focus. Plans for sustainability. Additional merit review considerations apply. See the selection criteria as stated in the funding opportunity announcement.

77.009 U.S. NUCLEAR REGULATORY COMMISSION OFFICE OF RESEARCH FINANCIAL ASSISTANCE PROGRAM

NRC Office of Research Grant and Cooperative Agreement Program

FEDERAL AGENCY:

Nuclear Regulatory Commission

AUTHORIZATION:

Energy Policy Act of 2005, Section 651(c), Public Law 109-58, 119 Stat. 801, 42 U.S.C. 2051(a)(b).

OBJECTIVES:

The Office of Nuclear Regulatory Research (RES) furthers the regulatory mission of the NRC by providing technical advice, technical tools and information for identifying and resolving safety issues, making regulatory decisions, and promulgating regulations and guidance. RES conducts independent experiments and analyses, develops technical bases for supporting realistic safety decisions by the agency, and prepares the agency for the future by evaluating safety issues involving current and new designs and technologies. RES develops its program with consideration of Commission direction and input from the program offices and other stakeholders.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

This program provides funding to educational institutions, nonprofit organizations, State and local governments, and professional organizations. The award recipient bears primary responsibility for general supervision of all financial assistance activities. Funds may not be used for purposes other than those specified in the award.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Administration and cost will be determined in accordance with guidance in 2 CFR 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Apply using the electronic application package required in the Funding Opportunity Announcement this enables NRC to receive the application from Grants.gov.

Award Procedure:

Applications are processed by the assigned staff and approved by the NRC, based on a set of criteria established by the NRC, as stated in the Funding Opportunity Announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Please see the active Funding Opportunity Announcement for published response deadlines.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance for project grants and cooperative agreements shall not exceed 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The recipient shall submit "Performance Progress Reports" (reported on SF-PPR, SF-PPR-B, and SF-PPR-E) and a final report as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200. The recipient shall submit "Federal Financial Reports," (reported on SF-425) as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200. The recipient shall adhere to the performance monitoring requirements as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The recipient shall adhere to the records management requirements as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200.

Account Identification:

31-0200-0-1-276.

Obligations:

(Project Grants) FY 16 \$1,400,000; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Award amounts range from \$5,000.00 to \$225,000.00.

TAFS Codes:

31-00-0001.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Reports or brochures on regulatory decisions, results of research, results of incident investigations, and other technical and administrative information are available at <http://www.nrc.gov/reading-rm/doc-collections/nuregs/>

Regional or Local Office:

None.

Headquarters Office:

MLita Carr Nuclear Regulatory Commission
Two White Flint North
11545 Rockville Pike, Mail Stop: TWFN - 8E06M, Rockville, Maryland 20852
Email: MLita.Carr@nrc.gov Phone: 301-415-6869

Website Address:

<http://www.nrc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection for support by the Office of Research through financial assistance methods are made to basic, advanced, and developmental scientific programs where the purpose is to create new or further define existing paradigms within the nuclear industry.

Factors generally indicating support through financial assistance methods:

- the primary purpose of the proposal is to aid or support the development of knowledge or understanding of the subject or phenomena under study;
- the exact course of the work and its outcome are not defined precisely and specific points in time for achievement of significant results may not be specified;
- the nature of the proposed work is such that the recipient will bear prime

responsibility for the conduct of the research and will exercise judgment and original thought toward attaining the scientific goals within broad parameters of the research areas proposed and the related resources provided;

- meaningful technical reports can be prepared only as new findings are made; and
- simplicity and economy in execution and administration are mutually desirable.

DEPARTMENT OF ENERGY

81.003 GRANTING OF PATENT LICENSES

(DOE Patents Available for Licensing)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101 ; Public Law 96-517, 35 U.S.C. 207, 208, 209; Atomic Energy Act of 1954, Sections 156 and 161(g), as amended, Public Law 83- 703, 68 Stat. 919, 42 U.S.C. 2186 and 2201.

OBJECTIVES:

To encourage widespread utilization of inventions covered by DOE owned patents.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Nonexclusive, revocable licenses are granted by Department of Energy (DOE) to responsible applicants with plans for development and/or marketing on approximately 1,500 DOE owned United State patents. Exclusive and partially exclusive licenses may also be granted. Similar licenses on approximately 200 DOE owned foreign patents may be accorded to United State citizens and corporations, and to others under terms and conditions which depend upon particular facts. Licenses for use or sale in the United States may normally be granted only to licensees that agree to substantial manufacture in the United States. Copies of U.S. patents may be obtained from the United States Patent and Trademark Office, Department of Commerce, Washington, DC 20231, for a modest fee.

Applicant Eligibility:

Individuals, firms, or corporations with satisfactory plans and intentions to commercialize the invention may apply.

Beneficiary Eligibility:

Individuals, firms, and corporations will benefit.

Credentials/Documentation:

Satisfactory plans for development and/or marketing of the invention. In the case of corporations, the State of incorporation. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. By letter to the Assistant General Counsel for Technology Transfer and Intellectual Property, DOE, Washington, DC 20585, identifying the patent by its number, together with information as to: (1) The nature and type of the applicant's business; (2) the purpose for which the license is desired together with documentation as to the applicant's plan for development and/or marketing of the invention to achieve that purpose; (3) the geographical areas in which the applicant will practice the invention; (4) the applicant's willingness to render periodic reports on the use of the licensed subject matter; (5) the applicant's status as a small business firm, minority business, or other; and (6) remittance of a \$25 processing fee for each license requested.

Award Procedure:

Patent licenses are issued by the Office of the Assistant General Counsel for

Technology Transfer and Intellectual Property, DOE.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Normally three months for nonexclusive licenses; somewhat longer for exclusive licenses.

Appeals:

Applicants denied a license and revoked licensees have the right to appeal in accordance with 10 CFR 781 by filing notice of appeal within 30 days. Appeals board is designated upon filing of notice of appeal.

Renewals:

Licenses may be renewed upon reapplication, contingent on satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

There are no funds obligated under this program. See the following for information on how assistance is awarded/released: There are no funds obligated under this program.

Reports:

No program reports are required. If license is royalty bearing, DOE has the right to inspect the books of account as necessary to determine accuracy of statement. Annual reports are required to be submitted as to the extent of utilization and royalties due, if applicable. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. If license is royalty bearing, DOE has the right to inspect the books of account as necessary to determine accuracy of statement.

Records:

None except where the specific license provides for payment of royalty.

Account Identification:

89-0228-0-1-276.

Obligations:

(Dissemination of Technical Information) FY 16 \$1,280,904; FY 17 Not Separately Identifiable(Exp: There are no dollars obligated u); and FY 18 Not Separately Identifiable - There are no dollars obligated under this program.

Range and Average of Financial Assistance:

No dollars are obligated under this program.

TAFS Codes:

89-0228.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Licensing of government owned inventions (37 CFR 404). 10 CFR 781, DOE Patent Licensing Regulations; "DOE Invention Licensing Program" describes the program and is available at <http://www.osti.gov/gencoun/>.

Regional or Local Office:

None.

Headquarters Office:

John T. Lucas, Office of the Assistant General Counsel for Technology Transfer, 1000 Independence Ave, SW, Washington, District of Columbia 20585 Phone: (202) 586-2802

Website Address:

<http://www.doe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Nonexclusive licenses - satisfactory plan for development and/or marketing of the invention. Exclusive licenses in addition, a determination in accordance with 35 U.S.C. 209(c) that exclusivity is reasonable and necessary for commercialization.

81.036 INVENTIONS AND INNOVATIONS

(I&I)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110140 Energy Independence and Security Act of 2007; Public Law 10958 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law 95-91 Department of Energy Organization Act of 1977; Federal Nonnuclear Energy Research and Development Act of 1974, Section 14, Public Law 93-577, 88 Stat. 1894, 42 U.S.C 5913, Public Law 95-91, 42 U.S.C 7101; Federal Nonnuclear Energy Research and Development Act of 1974, Section 14, Public Law 577-93, 88 Stat. 1894, 42 U.S.C 5913.

OBJECTIVES:

To encourage the development and commercialization of energy-saving inventions by providing financial and technical assistance to projects that have a potential for significant energy savings and future commercialization markets through a competitive solicitation process.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS

USES AND USE RESTRICTIONS:

The assistance provides financial assistance for research and development of innovative, energy-saving ideas and invention. Inventions and Innovations support energy efficiency and renewable energy technology development in focus areas that align with the Office of Energy Efficiency and Renewable Energy programs.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Only proposals prepared in response to the requirements contained in the annual competitive solicitation will be accepted. The application forms must be downloaded from the specific funding opportunity announcement posted on the EERE Exchange website (<https://eere-exchange.energy.gov/>) and the grants.gov website at <http://www.grants.gov/>.

Award Procedure:

The Application is deemed meritorious by the review committee and the Recipient abides by all award terms and conditions, either explicitly stated or incorporated by reference.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Unsuccessful applicants are entitled to a debriefing by DOE program officials with a clear explanation of why the proposal was not accepted for funding.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Cost sharing is not required, however cost sharing is highly encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award project periods range from 1 to 3 years. See the following for information on how assistance is awarded/released: Funds awarded are subject to Congressional Appropriation.

Reports:

No program reports are required. No cash reports are required. For research and development (R&D) and demonstration and commercialization awards a quarterly Research Performance Progress Report (RPPR) is required. For non-R&D awards a quarterly Progress Report is required. Every quarter, the Prime Recipient is required to submit a completed SF-425 for the project i.e., the entirety of work performed by the Prime Recipient, Subrecipients, and contractors. For research and development (R&D) and demonstration and commercialization awards a quarterly Research Performance Progress Report (RPPR) is required. For non-R&D awards a quarterly Progress Report is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audit procedures in accordance with 2 CFR 200.501 and 2 CFR 910.501 are required.

Records:

Access to process implementation and efficiency data must be available for 10 years after project completion to measure performance against expectation and to support commercialization of the technology.

Account Identification:

89-0203-0-1-270.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This program has not been regularly funded since 2012, and it is unlikely that it will receive significant funding in future periods.

Range and Average of Financial Assistance:

\$500,000 to \$1,000,000.

TAFS Codes:

89-X-0321.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: Financial Assistance Regulations 2 CFR 200 as amended by 2 CFR 910.

Regional or Local Office:

None.

Headquarters Office:

James Cash 15013 Denver West Parkway, Golden, Colorado 80401 Email: james.cash@ee.doe.gov Phone: 240-562-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Uniqueness, technical feasibility, marketability, energy relationship (including energy savings).

81.041 STATE ENERGY PROGRAM (SEP)**FEDERAL AGENCY:**

Department of Energy

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110140 Energy Independence and Security Act of 2007; Public Law 10958 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law 95-91 Department of Energy Organization Act of 1977; Energy Policy and Conservation Act, Public Law 94-163, 42 U.S.C. 6321-6326.; National Energy Conservation Policy Act of 1978, Public Law 95-619 and Public Law 101-440; Balanced Budget Down Payment Act II of 1996, Public Law 104-134. ; Energy Policy and Conservation Act, Public Law 94-163, 42 U.S.C. 6321-6326; Department of Energy Organization Act of 1977, Public Law 95-91, 42 U.S.C. 7101.

OBJECTIVES:

The purpose of this program is to increase market transformation of energy efficiency and renewable energy technologies through policies, strategies, and public-private partnerships that facilitate their adoption and implementation. It also facilitates state-based activities, such as: financing mechanisms for institutional retrofit programs; loan program and management; energy savings performance contracting; comprehensive residential programs for homeowners; transportation programs that accelerate use of alternative fuels; and renewable programs that remove barriers and support supply side and distributed renewable energy.

The program provides financial and technical assistance to State governments to create and implement a variety of energy efficiency and conservation projects in order to provide leadership to maximize the benefits of energy efficiency and renewable energy through communications and outreach activities, technology deployment, and accessing new partnerships and resources across the geographic panorama of the United States and its territories. The programs objectives are:

- * To reduce fossil fuel emissions created as a result of activities within the jurisdictions of eligible entities;
- * To reduce the total energy use of the eligible entities; and
- * To improve energy efficiency in the transportation, building, and other sectors.

TYPES OF ASSISTANCE:

Dissemination of Technical Information; Formula Grants

USES AND USE RESTRICTIONS:

Formula Grants are to be used by States for the development, implementation, or modification of State plans submitted to and approved by DOE. To be eligible for Federal assistance, each State plan must contain the five program activities required by the Energy Policy and Conservation Act, Public Law 94-163; and may also include a wide range of optional activities.

- A wide variety of activities are eligible for use of the grant funds including:
- * Developing / implementing an energy efficiency and conservation strategy and retaining technical consultant services to assist in the development of such a strategy.
 - * Conducting residential and commercial building energy audits.
 - * Establishing financial incentive programs for energy efficiency improvements (e.g., loan programs, rebate programs, waive permit fees.)
 - * Providing grants to nonprofit organizations to perform energy efficiency retrofits.
 - * Developing / implementing programs to conserve energy used in

transportation (e.g., flex time by employees, satellite work centers, promotion of zoning requirements that promote energy efficient development, transportation infrastructure: bike lanes / pathways, pedestrian walkways, and synchronized traffic signals).

- * Developing and implementing building codes and inspection services to promote building energy efficiency.
- * Implementing energy distribution technologies.
- * Developing public education programs to increase participation and efficiency rates for recycling programs.
- * Purchasing/implementing technologies to reduce and capture methane and other greenhouse gases generated by landfills or similar sources.
- * Installing light emitting diodes (LEDs).
- * Developing, implementing, and installing renewable energy technologies on or in any government building.
- * Any other activity as determined by the Secretary of Energy in consultation with the Secretaries of Transportation and Housing and Urban Development and the Administrator of the Environmental Protection Agency. Funds cannot be used for the purchase of land, buildings or any interest therein; construction of buildings or structures; research, development or demonstration of non-commercially available technology; or subsidies of public transportation, fares, utility rate demonstrations or State tax credits for energy conservation.

Applicant Eligibility:

All States plus the District of Columbia, the U.S. Virgin Islands, Puerto Rico, Guam, Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

The ultimate potential beneficiaries will be the people affected by the plan that each State develops. This is anticipated to be the State's population.

Credentials/Documentation:

Compliance with Federal, State and local environmental statutes and regulations, as required under the Energy Independence and Security Act of 2007. Only one application from each State, in compliance with State and local environmental statutes and regulations. Costs will be determined in accordance with 2 CFR Part 200 subpart E for State and local governments. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

No preapplication form or conference is required by DOE. A copy of the final State plan must accompany the grant application from the State. Consultation and assistance will be available from the DOE in the preparation of the application. Applicants for sub-awards from the states should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States submit applications and plans (or amendment to plans) in response to 10 CFR 420 through the PAGE system (<https://www.page.energy.gov/login.aspx>).

Award Procedure:

Grant applications will be reviewed by DOE Office of Energy Efficiency and Renewable Energy personnel. Grant procedures are in accordance with Federal government regulations. Notification of grant awards will be issued by a DOE Procurement Office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

As required under the Energy Independence and Security Act of 2007.

Renewals:

Renewals are subject to review by the DOE program office and subject to the availability of funds. Assistance will be provided from sums appropriated for any fiscal year only upon annual application.

Formula and Matching Requirements:

Statutory Formula: Title 10 Vol 3, Chapter II, Part 420, Subpart B Section 420.11, Public Law Energy Policy and Conservation Act 42 USC 6321 et seq. Matching Requirements: Percent: 20%. There is a 20 percent cost match requirement for the SEP, however leveraging of funds on the part of the recipient is encouraged. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Authorized funding is established by ECPA, NECPA, and ESA. Funds awarded annually are subject to Congressional appropriation, with no restriction on spending period. Funds are released through the Electronic Transfer System. Annual budget periods are set by each grantee within parameters established by DOE. See the following for information on how assistance is awarded/released: See award procedures above.

Reports:

No program reports are required. No cash reports are required. Quarterly progress and financial status reports are required along with a final report at the end of the project. Quarterly financial status reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audit procedures in accordance with 2 CFR 200.501. In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures.

Records:

In accordance with 2 CFR 200.

Account Identification:

89-0321-0-1-270.

Obligations:

(Formula Grants) FY 16 \$38,999,972; FY 17 est \$26,555,345; and FY 18 Estimate Not Available - SEP grant funding is based on a formula. Future period obligations should also follow this formula.

Range and Average of Financial Assistance:

\$1-\$5,000,000.

TAFS Codes:

89-X-0321; 89-X-0331; 89-X-0215.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Advance policies, programs, and market strategies that accelerate job creation and reduce energy bills, while achieving energy and climate security for the nation on a State or Territory administered basis. Fiscal Year 2017: Advance policies, programs, and market strategies that accelerate job creation and reduce energy bills, while achieving energy and climate security for the nation on a State or Territory administered basis. Fiscal Year 2018: Advance policies, programs, and market strategies that accelerate job creation and reduce energy bills, while achieving energy and climate security for the nation on a State or Territory administered basis.

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the Energy Independence and Security Act of 2007 and 10 CFR 420.

Regional or Local Office:

None.

Headquarters Office:

James Cash 15013 Denver West Parkway, Golden, Colorado 80401 Email: james.cash@ee.doe.gov Phone: 240-562-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

81.042 Weatherization Assistance for Low-Income Persons; 81.119 State Energy Program Special Projects

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: Funding State developed and directed energy efficiency and energy conservation programs according to the submitted and approved State Plans (e.g. energy efficiency audits and retrofits for buildings). Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

As described under the Energy Independence and Security Act of 2007. In addition, each State energy conservation plan must contain the five program activities required by the Energy Policy and Conservation Act, Public Law 94-163, and may also contain a wide range of optional activities.

81.042 WEATHERIZATION ASSISTANCE FOR LOW-INCOME PERSONS

(WAP)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110140 Energy Independence and Security Act of 2007; Public Law 10958 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law 95-91 Department of Energy Organization Act of 1977; Public Law 94-385 "Energy Conservation and Production Act of 1976"; Title IV, Energy Conservation and Production Act; Energy Independence and Security Act of 2007; Energy Policy Act of 2005.

OBJECTIVES:

To improve home energy efficiency for low-income families through the most cost-effective measures possible. The programs additional objectives are:

1. To reduce fossil fuel emissions created as a result of activities within the jurisdictions of eligible entities; and
2. To reduce the total energy use of the eligible units while ensuring their health and safety.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Improvement of the thermal efficiency and cooling of dwellings by the installation of weatherization materials such as attic insulation, caulking, weather-stripping, furnace efficiency modifications, certain mechanical measures to heating and cooling systems, and replacement furnaces, boilers, and air-conditioners. Grantees may average expenditures per dwelling unit for materials, program support and labor costs not to exceed an average per unit of \$6,500 adjusted annually for inflation. Up to 10 percent of each grant may be spent by a Grantee and its subgrantees for administrative expenses (in the Formula Grants a Grantee may not use more than 5 percent of the total State grant for such purposes).

Applicant Eligibility:

States and Territories, including the District of Columbia, Puerto Rico, U.S. Virgin Islands, American Samoa, Guam, Commonwealth of the Northern Marianas, and, Native American tribal organizations (Navajo Nation, Northern Cheyenne, Intertribal Council of Arizona). In the event a State does not apply, a unit of general purpose local government, or community action agencies and/or other nonprofit agencies within that State becomes eligible to apply.

Beneficiary Eligibility:

All low-income households are eligible to receive weatherization assistance. A low-income household is one whose combined income falls at or below 200 percent of the Federal poverty level determined by the Office of Management and Budget's poverty income guidelines or the basis on which Federal, State, or local cash assistance payments have been made. A State may also elect to make all homes eligible under the HHS Low-income Home Energy Assistance Program (LIHEAP) eligible for weatherization assistance and may use either 150 percent of poverty or 60 percent of State median income.

Credentials/Documentation:

Compliance with Federal, State and local environmental statutes and regulations, as required under the Energy Independence and Security Act of 2007. The Department of Energy (DOE) requires that grantees meet certain statutory and administrative requirements which can be found in the Weatherization Program Rules available at 10 CFR Section 440 et al and from DOE offices. For Formula Grants, Governors or their designated agencies must apply for grants to the appropriate DOE office and submit State Plans and applications pursuant to State applications at 10 CFR part 440.12. Any household at or below 200% of poverty, per the modified statute, is considered low-income. About 15 million of all eligible households are good candidates for Weatherization. Priority service is given to the elderly, people with disabilities, and families with children. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

No preapplication form or conference is required by DOE. A copy of the final State plan must accompany the grant application from the State. Consultation and assistance will be available from the DOE in the preparation of the application. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. State applications for the WAP Program must be submitted through the PAGE system (<https://www.page.energy.gov/login.aspx>) to be considered for award.

Award Procedure:

Formula Grants: States and tribes will submit applications for available allocation. Grant applications will be reviewed by DOE Office of Energy Efficiency and Renewable Energy (EERE) personnel. Grant procedures will be in accordance with Federal government regulations. Notification of grant awards will be issued by the DOE Procurement Office and/or EERE personnel serving the applicant State. Project Grants: Evaluations will be conducted by an independent merit review committee and Federal employees. Final decisions to approve are made by ASEE, Program Manager and/or Designee. DOE Field Offices are then instructed to negotiate and prepare the grant award documents.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Submission deadlines will be published in the funding opportunity announcements at: <https://www.page.energy.gov/login.aspx>.

Appeals:

As required under 42 U.S.C. 6861 et. seq. and 10 CFR Section 440.

Renewals:

Renewals are subject to review by the DOE program office and subject to the availability of funds. Financial assistance will be provided from sums appropriated for any fiscal year only upon annual application.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards last between 3-5 years. Authorized funding is established by ECPA, NECPA and ESA. See the following for information on how assistance is awarded/released: No information was provided.

Reports:

No program reports are required. Cash reports are not applicable. Quarterly progress and financial status reports are required along with a final report at the end of the project. Quarterly financial reports are required. No performance

monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The administrative requirements for DOE grants and cooperative agreements are contained in 2 CFR 200 as amended by 2 CFR 910 and 10 CFR Part 440.

Account Identification:

89-0321-0-1-270.

Obligations:

(Formula Grants) FY 16 \$204,386,444; FY 17 est \$116,339,249; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

\$2,500 to \$6,500 per dwelling unit.

TAFS Codes:

89-X-0331; 89-X-0321.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Energy audits and energy efficiency retrofits and upgrades for the residences of low income individuals, administered through the various State Energy and/or Housing Offices. Fiscal Year 2017: Energy audits and energy efficiency retrofits and upgrades for the residences of low income individuals, administered through the various State Energy and/or Housing Offices. Fiscal Year 2018: Energy audits and energy efficiency retrofits and upgrades for the residences of low income individuals, administered through the various State Energy and/or Housing Offices.

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the Energy Independence and Security Act of 2007. Weatherization Assistance guidelines published June 1, 1977. Amendments to guidelines published January 2, 1979, August 29, 1979, February 27, 1980, June 1, 1981, March 1, 1982, January 27, 1984, January 4, 1985, December 5, 1985, March 4 1993, June 5, 1995, and December 8, 2000. Additionally, 2 CFR 200 and 10 CFR 440.

Regional or Local Office:

None.

Headquarters Office:

James Cash 15013 Denver West Parkway, Golden, Colorado 80401 Email: james.cash@ee.doe.gov Phone: 240-562-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

81.041 State Energy Program; 81.119 State Energy Program Special Projects

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Energy audits and energy efficiency retrofits and upgrades for the residences of low income individuals, administered through the various State Energy and/or Housing Offices. Fiscal Year 2017: Energy audits and energy efficiency retrofits and upgrades for the residences of low income individuals, administered through the various State Energy and/or Housing Offices. Fiscal Year 2018: Energy audits and energy efficiency retrofits and upgrades for the residences of low income individuals, administered through the various State Energy and/or Housing Offices.

CRITERIA FOR SELECTING PROPOSALS:

As described under the Energy Independence and Security Act of 2007. DOE requires that grantees meet certain statutory and administrative requirements which can be found in the Weatherization Program Guidelines at <http://apps1.eere.energy.gov/weatherization/>.

81.049 OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM
(Advanced Scientific Computing Research, Basic Energy Sciences, Biological

and Environmental Research, Fusion Energy Sciences, High Energy Physics, Nuclear Physics, Workforce Development for Teachers and Scientists, Small Business Innovation Research Program and Small Business Technology Transfer Program))

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Federal Nonnuclear Energy Research and Development Act of 1974, as amended, Public Law 93-577, 42 U.S.C. 5903 ; Atomic Energy Act of 1954, as amended, Section 31, Public Law 83-703, 42 U.S.C. 2051; Energy Policy Act of 2005, as amended, Public Law 109-58, 42 U.S.C. 16311; Department of Energy Organization Act, as amended, Public Law 95-91, 42 U.S.C. 7256 ; Energy Reorganization Act of 1974, as amended, Title I, Section 107, Public Law 93-438, 42 U.S.C. 5817; Small Business Act, as amended, Public Law 85-536, 15 U.S.C. 638.

OBJECTIVES:

The mission of the DOE Office of Science is to deliver the scientific discoveries and major scientific tools to transform our understanding of nature and advance the energy, economic, and national security of the United States.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

See information at <http://science.energy.gov/>. Financial assistance, in whole and in part, may be provided for such purposes as salaries, materials and supplies, equipment, travel, publication costs, services, and other direct and indirect costs required for conducting research, research-related activities, and advanced technology projects or assessments described in the selected application. Restrictions on use of funds are specified in the governing federal and agency-specific financial assistance regulations and terms and conditions incorporated in individual financial assistance awards.

Applicant Eligibility:

Except where a program solicitation establishes more restrictive eligibility criteria, individuals and organizations in the following categories may submit proposals: Institutions of higher education; National Laboratories; Nonprofit and for-profit private entities; State and local governments; and consortia of entities described above.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Applications must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain National Policy Assurances to be incorporated as award terms in effect on date of award at <https://www.nsf.gov/awards/managing/rtc.jsp>. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Office of Science utilizes an automated system, the Portfolio Analysis and Management System (PAMS), to manage the grants applications and award process. An application will automatically transfer from Grants.gov into PAMS for processing. In order to manage the application in PAMS, applicants must register at <https://pamspublic.science.energy.gov>.

Award Procedure:

After selections, DOE may negotiate a financial assistance award with the selected applicant. Only a DOE Contracting Officer is authorized to approve financial assistance agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Normally, 6 months from receipt of grant application. Every funding opportunity announcement published at <https://www.grants.gov> will detail its anticipated notification schedule.

Appeals:

None.

Renewals:

Renewal applications must be submitted no later than six months prior to the scheduled expiration of the project period and must include a separate section that describes the results of work accomplished through the date of the renewal application (see 10 CFR 605.9). Renewal applications shall be submitted electronically in response to a Funding Opportunity Announcement through Grants.gov at <https://www.grants.gov>.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Cost sharing requirements of Section 988 of the Energy Policy Act of 2005 are waived for financial assistance awards funding research of a basic and fundamental nature issued pursuant to 10 CFR 605. Financial assistance research awards that are not issued pursuant to 10 CFR 605 are subject to the cost sharing requirements of Section 988 of the Energy Policy Act of 2005 unless waived. The cost sharing requirements of Section 988 of the Energy Policy Act of 2005 do not apply to the Small Business Innovation Research/Small Business Technology Transfer research award.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, financial assistance awards are awarded as a three-year project period composed of three one-year budget periods that are either fully funded at the time of award or funded on an annual basis. Generally, funding restrictions are placed on fully-funded awards with planned annual funding releases. In both cases, funding/funding releases are authorized based on criteria established in the individual award terms and conditions including adequate progress and availability of funds, if applicable. See the following for information on how assistance is awarded/released: The Office of Science Integrated Support Center - Chicago is responsible for negotiating and awarding the financial assistance awards. The awards will be issued utilizing DOE's electronic Strategic Integrated Procurement Enterprise System (STRIPES). The recipient will receive notification of availability of an approved financial assistance through STRIPES communication portal, Fedconnect.

Reports:

Typically, recipients are required to submit a final technical report as specified in the individual award terms and conditions. Quarterly and final SF 425, Federal Financial Reports, must be submitted as specified in the individual award terms and conditions. Typically, recipients are required to submit a Research Performance Progress Report (RPPR) on an annual basis through PAMS as specified in the individual award terms and conditions. Quarterly financial SF 425, Federal Financial Reports, must be submitted as specified in the individual award terms and conditions. Typically, recipients are required to submit a Research Performance Progress Report (RPPR) on an annual basis through PAMS as specified in the individual award terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. -as stated above.

Records:

Recipient is expected to maintain auditable records to substantiate the total costs incurred under the grant.

Account Identification:

89-0222-0-1-251.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$1,152,471,037; FY 17 est

\$1,125,000,000; and FY 18 est \$1,125,000,000 - Total fiscal year (FY) obligations for the Office of Science financial assistance awards are as follows: FY 14: \$1,134,524,873, FY 15: \$1,123,478,061; and FY 16: \$1,152,471,037.

Range and Average of Financial Assistance:

\$10,000 to \$2,500,000; \$250,000.

TAFS Codes:

89-0222; 89-0224; 89-0227.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

10 CFR 605, The Office of Science Financial Assistance Program; 2 CFR 910, Department of Energy Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, and, 2 CFR 200, Office of Management and Budget Guidance for Grants and Agreements, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Agencies.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Michael S. Zarkin 19901 Germantown Road, Germantown, Maryland 20874
Email: michael.zarkin@science.doe.gov Phone: 301-903-4946

Website Address:

<http://science.energy.gov/grants/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be subject to scientific merit review (peer review) and will be evaluated against the following criteria, listed in descending order of importance: Scientific and/or Technical Merit or the Educational Benefits of the Projects; Appropriateness of the Proposed Method or Approach; Competency of Applicant's Personnel and Adequacy of Proposed Resources; and, Reasonableness and Appropriateness of the Proposed Budget; and other appropriate factors, established and set forth in the Funding Opportunity Announcement.

81.079 REGIONAL BIOMASS ENERGY PROGRAMS

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110140 Energy Independence and Security Act of 2007; Public Law 10958 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law 95-91 Department of Energy Organization Act of 1977, Public Law 91-95; Water Development Appropriations Act, Public Law 500-99.

OBJECTIVES:

This program is designed to help meet the goal of significantly increasing America's use of fuels, chemicals, materials, and power made from domestic biomass on a sustainable basis. This is a Congressionally-directed project. Funding is not available to the general public.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance may be used to develop and transfer any of several biomass energy technologies to the scientific and industrial communities. For regional programs, such technologies will be appropriate for the needs and resources of particular regions of the United States.

Applicant Eligibility:

Profit organizations; private nonprofit institutions/organizations; intrastate,

interstate, State and local government agencies, and universities may apply.

Beneficiary Eligibility:

Profit organizations; private nonprofit institutions/organizations; intrastate, interstate, State and local government agencies, universities will benefit.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200 subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This is a Congressionally-directed program. Application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement. Submission deadlines will be published in the funding opportunity announcement at [http://www.grants.gov.](http://www.grants.gov/) It is the responsibility of the applicant to verify successful transmission.

Award Procedure:

Varies.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Varies.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Renewals are subject to review by the headquarters program office and subject to the availability for funds.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Cost sharing is not required however cost sharing is strongly encouraged. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies. See the following for information on how assistance is awarded/released: Awards are executed by DOE EERE Golden Field Office.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audit procedures will follow 2 CFR 200 subpart F for all recipients other than for-profit entities, which will follow 2 CFR 910.501 audit rules.

Records:

None.

Account Identification:

89-0321-0-1-270.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This program has not been funded since at least 2010, and it is unlikely that it will be funded in future periods.

Range and Average of Financial Assistance:

Varies.

TAFS Codes:

89-X-0321; 89-X-0331.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 as amended by 2 CFR 910.

Regional or Local Office:

None.

Headquarters Office:

James Cash 15013 Denver West Parkway, Golden, Colorado 80401 Email: james.cash@ee.doe.gov Phone: 240-562-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This is a Congressionally-directed program. Merit of the proposal is determined by: (1) Technical relevance to program objectives; (2) potential contribution of the feedstock or conversion process to the energy needs of the region; (3) environmental and employment considerations; and (4) amount of cost-sharing by recipient.

81.086 CONSERVATION RESEARCH AND DEVELOPMENT

Energy Efficiency (EE)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110140 Energy Independence and Security Act of 2007; Public Law 10958 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law 95-91 Department of Energy Organization Act of 1977; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Public Law 95-911 and Public Law 97-377. ; American Recovery and Reinvestment Act of 2009; Department of Energy Organization Act of 1977, as amended; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577.

OBJECTIVES:

The goal of this program is to conduct a balanced, long-term research effort in Buildings Technologies, Industrial Technologies, Vehicle Technologies, Solid State Lighting Technologies, and Advanced Manufacturing Technologies. Grants and cooperative agreements will be offered on a competitive basis to develop and transfer various energy conservation technologies to the non-federal sector.

Submission deadlines will be published in the funding opportunity announcements on EERE Exchange at <https://eere-exchange.energy.gov/> Funding Opportunity Announcements are also posted at the grants.gov website at <http://www.grants.gov>.

TYPES OF ASSISTANCE:

Project Grants; Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance may be used in the form of cooperative agreements, or grants to nonprofit institutions and organizations to develop and transfer energy conservation technologies to the scientific and industrial communities, States, and local governments.

Applicant Eligibility:

For-profit organizations, private nonprofit institutions/organizations, State and local governments may apply.

Beneficiary Eligibility:

For-profit organizations, private nonprofit institutions/organizations, State and local governments benefit.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application forms must be downloaded from the specific funding opportunity announcement posted on the EERE Exchange (<https://eere-exchange.energy.gov/>) website or grants.gov website at <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement.

Award Procedure:

All applications which pass an initial review will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation or criteria set forth in 2 CFR Part 200 as amended by 2 CFR 910. Evaluations will be conducted by Energy Efficiency and Renewable Energy (EERE) program personnel at DOE Headquarters in Washington, DC. This responsibility may also be delegated to DOE Field Offices. Final decisions will be made by the EERE Assistant Secretary, Deputy Assistant Secretaries, and the Director of the Federal Energy Management Program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Submission deadlines will be published on the EERE Exchange (<https://eere-exchange.energy.gov/>) website and in the funding opportunity announcements at <http://www.grants.gov> From 60 to 120 days.

Appeals:

Unsuccessful applicants are entitled to a debriefing by DOE program officials with a clear explanation of why the proposal was not accepted for funding.

Renewals:

Grants may be modified or extended.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has statutorily required cost share percentages based on project type:

Education & Outreach 0%, Research & Development 20%, Demonstration and Commercialization 50%.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award project periods range from 1 to 3 years. See the following for information on how assistance is awarded/released: No additional information is provided.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports, and a final report at the conclusion of the grant time period. Quarterly expenditure reports (SF-425), and a final report at the conclusion of the grant time period are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement

procedures as well as with 2 CFR 200 subpart F for other than for-profit recipients. For-profit recipients must comply with 2 CFR 910.501 audit regulations.

Records:

Record retention per 2 CFR 200.

Account Identification:

89-0321-0-1-270.

Obligations:

(Cooperative Agreements) FY 16 \$180,673,815; FY 17 est \$32,934,500; and FY 18 Estimate Not Available - The actual obligation for FY2015 was a de-obligation (negative amount) of -\$142,414,130.

Range and Average of Financial Assistance:

Varies.

TAFS Codes:

89-X-0321; 89-X-0331.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Technological advancements in energy efficiency as it applies to Buildings Technologies, Industrial Technologies, Vehicle Technologies, Solid State Lighting Technologies, and Advanced Manufacturing Technologies. Fiscal Year 2017: Technological advancements in energy efficiency as it applies to Buildings Technologies, Industrial Technologies, Vehicle Technologies, Solid State Lighting Technologies, and Advanced Manufacturing Technologies. Fiscal Year 2018: Technological advancements in energy efficiency as it applies to Buildings Technologies, Industrial Technologies, Vehicle Technologies, Solid State Lighting Technologies, and Advanced Manufacturing Technologies.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: EERE Federal Register notices; EERE Solicitations, which may be accessed through EERE Exchange homepage at: <https://eere-exchange.energy.gov/>; DOE Financial Assistance Regulations (2 CFR 200 as amended by 2 CFR 910); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at <http://energy.gov/sites/prod/files/2016/02/f29/Merit%20Review%20Guide%20FINAL%20JULY%202013.pdf>

Regional or Local Office:

None.

Headquarters Office:

James Cash 15013 Denver West Parkway, Golden, Colorado 80401 Email: james.cash@ee.doe.gov Phone: 240-562-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Projects in energy efficiency as it applies to Buildings Technologies, Industrial Technologies, Vehicle Technologies, Solid State Lighting Technologies, and Advanced Manufacturing Technologies. Fiscal Year 2017: Projects in energy efficiency as it applies to Buildings Technologies, Industrial Technologies, Vehicle Technologies, Solid State Lighting Technologies, and Advanced Manufacturing Technologies. Fiscal Year 2018: Projects in energy efficiency as it applies to Buildings Technologies, Industrial Technologies, Vehicle Technologies, Solid State Lighting Technologies, and Advanced Manufacturing Technologies.

CRITERIA FOR SELECTING PROPOSALS:

Selection will be based on an objective merit review, program policy factors, the availability of funds, provisions of appropriation law and reports and as otherwise specified in specific solicitation document(s).

81.087 RENEWABLE ENERGY RESEARCH AND DEVELOPMENT
Renewable Energy (RE)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110-234 Food, Conservation, and Energy Act of 2008; Public Law 110140 Energy Independence and Security Act of 2007; Public Law 10958 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law 95-91 Department of Energy Organization Act of 1977; Public Law 95-238, Section 207; American Recovery and Reinvestment Act of 2009; Public Law 101-218.

OBJECTIVES:

To conduct balanced research and development efforts in the following energy technologies: solar, biomass, hydrogen fuel cells and infrastructure, wind and hydropower, and geothermal. Grants will be offered to develop and transfer to the nonfederal sector various renewable energy technologies on a competitive basis.

Submission deadlines will be published in the funding opportunity announcements at <https://eere-exchange.energy.gov/> and <http://www.grants.gov>.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance may be used to develop and transfer renewable energy technologies to the scientific and industrial communities, State, and local governments. Assistance may be used to develop and transfer renewable energy technologies to the scientific and industrial communities, State, and local governments.

Applicant Eligibility:

For-profit organizations, private nonprofit institutions/organizations, intrastate, interstate, and local agencies and universities may apply.

Beneficiary Eligibility:

For-profit organizations, private nonprofit institutions/organizations, intrastate, interstate, State and local agencies and universities will benefit.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Application due dates will be posted in the individual funding opportunity announcements posted at <https://eere-exchange.energy.gov/> and <http://www.grants.gov>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The cost principles and award regulations that apply to this program are contained in 2 CFR 200 as amended by 2 CFR 910.

The application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <https://eere-exchange.energy.gov/> and <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement.

Award Procedure:

All applications which pass an initial review will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation(s) or criteria set forth in 2 CFR Part 200 for Unsolicited Proposals. Evaluations will be conducted by Energy Efficiency and Renewable Energy (EERE) program personnel at DOE Headquarters in Washington, DC. This responsibility may also be delegated to DOE Field Offices. Final decisions will be made by the EERE Assistant Secretary, Deputy Assistant Secretaries, and the Director of the Federal Energy Management Program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Submission deadlines will be published in the funding opportunity announcements at <https://eere-exchange.energy.gov/> and <http://www.grants.gov> From 60 to 180 days.

Appeals:

Unsuccessful applicants are entitled to a debriefing by DOE program officials with a clear explanation of why the proposal was not accepted for funding.

Renewals:

Renewals are subject to review by the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

Statutory Formula: Title Energy Policy Act 2005, Chapter Section 998. This program has statutorily required cost share percentages based on project type: Education & Outreach 0%, Research & Development 20%, Demonstration and Commercialization 50%.

Matching Requirements: This program has statutorily required cost share percentages based on project type:

Education & Outreach 0%, Research & Development 20%, Demonstration and Commercialization 50%.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award project periods range from 1 to 3 years. See the following for information on how assistance is awarded/released: No further information is available.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports, and a final report at the conclusion of the grant time period. Quarterly expenditure reports (SF-425), and a final report at the conclusion of the grant time period. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audit procedures in accordance with 2 CFR 200 subpart F and the for-profit entity audit requirements in 2 CFR 910.501. In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures.

Records:

Record retention per 2 CFR 200.

Account Identification:

89-0321-0-1-270.

Obligations:

(Cooperative Agreements) FY 16 \$245,395,165; FY 17 est \$202,114,394; and FY 18 Estimate Not Available - The actual obligation for FY 2015 was a de-obligation (negative amount) of -\$109,404,355.

Range and Average of Financial Assistance:

Varies.

TAFS Codes:

89-X-0331; 89-X-0224; 89-X-0321; 89-X-0222.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Renewable Energy Projects in the following energy technologies: solar, biomass, hydrogen fuel cells and infrastructure, wind and hydropower, and geothermal. Fiscal Year 2017: Renewable Energy Projects in the following energy technologies: solar, biomass, hydrogen fuel cells and infrastructure, wind and hydropower, and geothermal. Fiscal Year 2018: Renewable Energy Projects in the following energy technologies: solar, biomass, hydrogen fuel cells and infrastructure, wind and hydropower, and geothermal.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: EERE Federal Register notices; EERE Solicitations, which may be

accessed through the EERE homepage at: <http://www.eere.energy.gov/>; DOE Financial Assistance Regulations(2 CFR 200 as amended by 2 CFR 910); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at: <http://energy.gov/sites/prod/files/2016/02/f29/Merit%20Review%20Guide%20FINAL%20JULY%202013.pdf>

Regional or Local Office:

None.

Headquarters Office:

James Cash 15013 Denver West Parkway, Golden, Colorado 80401 Email: james.cash@ee.doe.gov Phone: 240-562-1456

Website Address:

<http://www.eere.doe.gov>

RELATED PROGRAMS:

81.079 Regional Biomass Energy Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Renewable Energy Projects in the following energy technologies: solar, biomass, hydrogen fuel cells and infrastructure, wind and hydropower, and geothermal. Fiscal Year 2017: Renewable Energy Projects in the following energy technologies: solar, biomass, hydrogen fuel cells and infrastructure, wind and hydropower, and geothermal. Fiscal Year 2018: Renewable Energy Projects in the following energy technologies: solar, biomass, hydrogen fuel cells and infrastructure, wind and hydropower, and geothermal.

CRITERIA FOR SELECTING PROPOSALS:

Selection will be based on an objective merit review, program policy factors, the availability of funds, provisions of appropriation laws, reports, and as otherwise specified in specific solicitation document(s) at <https://eere-exchange.energy.gov/>.

81.104 ENVIRONMENTAL REMEDIATION AND WASTE PROCESSING AND DISPOSAL

Environmental Management

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Hazardous Materials Transportation Uniform Safety Act of 1990, Public Law 101-615; Department of Energy Organization Act of 1977, as amended, Public Law 96-573; Low-Level Radioactive Waste Policy Act, Public Law 99-240; Energy Reorganization Act of 1974, Public Law 93-438; Atomic Energy Act of 1954, Section 31, as amended, Public Law 83-703.

OBJECTIVES:

The Environmental Management Research and Development Program provides for the development of technologies to safely expedite tank waste processing and tank closure, remediation of contaminated groundwater and soil, disposition of nuclear materials and spent (used) nuclear fuel, and deactivation and decommissioning of contaminated excess facilities. The Environmental Management Research and Development program transforms science and innovation into practical solutions for environmental cleanup. The new technologies will transform the Environmental Management cleanup effort by reducing risk (technological, environmental, safety, and health), schedule, and cost. The Environmental Management Research and Development program focuses on resolving technical challenges with an overall emphasis on transformational technical solutions in response to the highest priority needs of Environmental Management sites. Applied engineering and research demonstrating the technical feasibility of high-risk, high-payoff technologies are included. The Environmental Management Research and Development program matures technologies from concept/basic science through feasibility assessment and technology development (bench scale and scale-up testing and flow-sheet evaluation), then production-level demonstration, and finally to full deployment.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Financial assistance is provided in support of the program objectives. Restrictions on the use of funds depend on the provisions of the specific project agreement. Applicants must meet the guidelines established by DOE or contained in the specific Congressional authorization for a particular program being supported.

Applicant Eligibility:

Public, quasi-public, private industry, individuals, groups, educational institutions, organizations, and nonprofit organizations may apply including State or local level governments, federally recognized Indian tribal governments, and institutions in U.S. Territories and possessions may apply. Determinations are made by DOE EM Headquarters or EM Field Offices, or both.

Beneficiary Eligibility:

States, affected Indian tribes, regional organizations, (including U.S. Territories) local governments, and the public will benefit.

Credentials/Documentation:

Cost will also be determined in accordance with OMB Circular No. A-21 for institutions of higher education or as otherwise defined in the financial assistance instrument. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. A formal proposal by the organization interested in doing the work must be submitted through an appropriate official of the corporate entity, organization, or institution. Financial assistance requests will be reviewed pursuant to the Office of Environmental Management Merit Review System (May 5, 1991) and the DOE Financial Assistance Manual, as amended.

Award Procedure:

Award decisions may be made by DOE Headquarters throughout the fiscal year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The approximate approval/disapproval time is from 2 months to 1 year.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Proposals for renewal are subject to review and acceptance by DOE Headquarters, the field, or both.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Match requirements will be determined depending upon the award.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance will vary with the program phase and activity. The maximum term of an award is 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. At a minimum annual progress reports are required. Frequency of progress reports will be determined at time of award. Expenditure reports will be required. The type and frequency will be determined at time of award. Performance monitoring will be determined at time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Costs incurred are subject to audit throughout the contract or grant period and before final close-out. The extent and frequency of audits depend on the size of the contract/grant and the specific contract/grant provisions.

Records:

A grantee is expected to maintain auditable records to substantiate the total costs incurred under the grant or cooperative agreement. In addition, if cost sharing is required, the grantee is also expected to maintain auditable records for the cost sharing portion of the award.

Account Identification:

89-0240-0-1-053; 89-0242-0-1-053.

Obligations:

(Cooperative Agreements) FY 16 \$15,924,295; FY 17 est \$20,322,210; and FY 18 est \$20,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

89-0240.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Tank Waste

Develop the technical basis to identify, evaluate, and recommend cost-effective and environmentally-acceptable strategies and technologies to characterize, mitigate, and manage Technetium-99 in tank waste, including removal of Technetium-99 processing recycle streams.

Develop predictive tools to predict and demonstrate the performance of alternate waste forms, support the Cementitious Barriers Partnership, continue long-term glass studies, and develop improved capabilities such as computational fluid dynamic tools to optimize slurry mixing and transport waste loading including investigation and development of novel mixing methods.

Pursue technical efforts to develop strategies and technologies to understand, evaluate, optimize scale, and accelerate tank waste characterization and continue development of targeted cleaning methods thus enabling waste processing and tank closure schedules to be accelerated and costs reduced. Identify, develop, evaluate, and demonstrate near-source tank separations, treatment and removal technologies for mercury and radionuclides of interest for possible development at the Hanford and/ or Savannah River Sites.

Begin efforts to demonstrate that a commercially available ion exchange technology for removing Cs-137 can be technically modified to treat the Savannah River Site highly radioactive waste tanks. Use of the technology will accelerate tank closure.

Nuclear Waste Management and Disposition

Build on previous aging management efforts to monitor safe storage of Spent Nuclear Fuel. New Technology will support requirements for NRC license. Conduct deep borehole field test.

Soil and Groundwater Remediation

Support the utilization of Advanced Simulation Capability for Environmental Management initially at the Los Alamos National Laboratory, the Savannah River Site, and the Nevada National Security Site.

Test and demonstrate the multi-agency exit strategy for pump and treat systems.

Complete pilot demonstration of a new paradigm for a long-term monitoring using master geochemical variables.

Complete the initial laboratory-scale evaluations of in-situ stabilization methods for elemental mercury in soil.

Complete the update of the conceptual model for mercury contamination at the Oak Ridge Reservation.

Deactivation and Decommissioning

Develop the prerequisite level of project plans to facilitate and initiate

development of next generation remote and robotic platforms and smart tooling systems to improve efficiency of decontamination and demolition operations.

Continue development/testing of the GrayQb 3-D Radiation Mapping Device to validate and provide real time intensity and location readouts. Develop and test automated digital non-destructive assay methods optimization resulting in near-real time defensible data.

Develop and test advanced coatings and materials to significantly reduce/eliminate radiolysis effects in radiological waste containment bags. Conduct final testing and seek commercialization of incombustible agents and fixatives with delivery systems for remote decontamination operations.

Develop, test and conduct a pilot project, installing reliable sensors and remote network systems for long-term monitoring of containment release and movement from permanently entombed D&D facilities.

Continue with further application of the ISD Sensor Network at entombed and/or facilities awaiting entombment to establish data to augment the existing compliance monitoring network. Fiscal Year 2017: Tank Waste

Develop the technical basis to identify, evaluate, and recommend cost-effective and environmentally-acceptable strategies and technologies to characterize, mitigate, and manage Technetium-99 in tank waste, including removal of Technetium-99 processing recycle streams.

Develop predictive tools to predict and demonstrate the performance of alternate waste forms, support the Cementitious Barriers Partnership, continue long-term glass studies, and develop improved capabilities such as computational fluid dynamic tools to optimize slurry mixing and transport waste loading including investigation and development of novel mixing methods.

Pursue technical efforts to develop strategies and technologies to understand, evaluate, optimize scale, and accelerate tank waste characterization and continue development of targeted cleaning methods thus enabling waste processing and tank closure schedules to be accelerated and costs reduced.

Identify, develop, evaluate, and demonstrate near-source tank separations, treatment and removal technologies for mercury and radionuclides of interest for possible development at the Hanford and/ or Savannah River Sites.

Begin efforts to demonstrate that a commercially available ion exchange technology for removing Cs-137 can be technically modified to treat the Savannah River Site highly radioactive waste tanks. Use of the technology will accelerate tank closure.

Nuclear Waste Management and Disposition

Build on previous aging management efforts to monitor safe storage of Spent Nuclear Fuel. New Technology will support requirements for NRC license. Conduct deep borehole field test.

Soil and Groundwater Remediation

Support the utilization of Advanced Simulation Capability for Environmental Management initially at the Los Alamos National Laboratory, the Savannah River Site, and the Nevada National Security Site.

Test and demonstrate the multi-agency exit strategy for pump and treat systems.

Complete pilot demonstration of a new paradigm for a long-term monitoring using master geochemical variables.

Complete the initial laboratory-scale evaluations of in-situ stabilization methods for elemental mercury in soil.

Complete the update of the conceptual model for mercury contamination at the Oak Ridge Reservation.

Deactivation and Decommissioning

Develop the prerequisite level of project plans to facilitate and initiate development of next generation remote and robotic platforms and smart tooling systems to improve efficiency of decontamination and demolition operations.

Continue development/testing of the GrayQb 3-D Radiation Mapping Device to validate and provide real time intensity and location readouts. Develop and test automated digital non-destructive assay methods optimization resulting in near-real time defensible data.

Develop and test advanced coatings and materials to significantly reduce/eliminate radiolysis effects in radiological waste containment bags.

Conduct final testing and seek commercialization of incombustible agents and fixatives with delivery systems for remote decontamination operations.

Develop, test and conduct a pilot project, installing reliable sensors and

remote network systems for long-term monitoring of containment release and movement from permanently entombed D&D facilities.

Continue with further application of the ISD Sensor Network at entombed and/or facilities awaiting entombment to establish data to augment the existing compliance monitoring network. Fiscal Year 2018: Program activities include:

Continue to fund projects in that support innovations and enhancements in the areas of tank waste and nuclear waste management, soil and groundwater remediation, and deactivation and decommissioning activities.

Provide additional funding in the areas of Technetium-99, mercury, Cesium-137 and Strontium-90, the creation and operation of test beds, and integration of advanced tooling for enhanced worker safety and productivity, as outlined below:

Technetium-99

Validate Technetium-99 mass balance for integrated disposal facility acceptance

Complete development of non-perchnetate sensor

Develop Technetium-99 biogeochemical remediation approaches

Mercury

Complete pre-demolition and demolition assessment for efficacy of debris sorting to segregate mercury-bearing waste

Complete development of caps, reactive liners, and chemical amendments for mercury disposal cells

Cesium-137 and Strontium-90

Start and complete Savannah River Site tank closure cesium removal demonstration project

Test Bed: Low Activity Tank Waste Disposition

Complete test bed for low activity waste at Hanford

Enhanced Worker Safety (Science of Safety)

Complete test bed to demonstrate robotics at Portsmouth for pipe inspection and other critical decommissioning work activities

Start robotic test bed demonstration robotics at Savannah River Site

H-Canyon air exhaust tunnel inspection.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Latriney M. Bates Office of Subsurface Closure, EM-4.1.2
1000 Independence Ave., SW, Washington, District of Columbia 20585 Email: latriney.bates@em.doe.gov Phone: 301-903-7654

Website Address:

<http://www.em.doe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Research must be beneficial to the overall accomplishment of the program objectives and consistent with the criteria of the EM Merit Review System (i.e., technically meritorious, qualified principal investigators, adequate facilities for conduct of the work, past experience and performance history).

81.105 NATIONAL INDUSTRIAL COMPETITIVENESS THROUGH ENERGY, ENVIRONMENT, AND ECONOMICS

(NICE3) or NICE Cubed

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110140 Energy Independence and Security Act of 2007; Public Law 10958 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law 95-91 Department of Energy Organization Act of 1977, Public Law 95-91; Energy Policy Act of 1992, as amended, Public Law 102-486.

OBJECTIVES:

This program is in close-out. Financial assistance will not be provided in the future.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

None.

Beneficiary Eligibility:

Both state and local governments benefited from these grants. Commercial firms with expertise in waste reduction and pollution prevention, large and small businesses, and others in the business of preventing pollution and energy conserving technologies also benefited.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. NICE3 is in close-out mode. There is no appropriation for grant project funding.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Unsuccessful applicants from the competitive solicitation were entitled to a debriefing by DOE program officials with a clear explanation of why the proposal was not accepted for funding.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects covered a period of up to 3 years with release of funding subject to work progress. Access to process implementation and efficiency data is to be available for 10 years after project completion to measure performance against expectation and to support commercialization of the technology. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Access to process implementation and efficiency data must be available for 10 years after project completion to measure performance against expectation and to support commercialization of the technology.

Account Identification:

89-0215-0-1-250.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This program has not been funded since at least 2007 and it is unlikely to be funded in future periods.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

89-X-0321.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

James Cash 15013 Denver West Parkway, Golden, Colorado 80401 Email: james.cash@ee.doe.gov Phone: 240-562-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

81.106 TRANSPORT OF TRANSURANIC WASTES TO THE WASTE ISOLATION PILOT PLANT: STATES AND TRIBAL CONCERNS, PROPOSED SOLUTIONS

Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

National Security and Military Application of Nuclear Energy Authorization Act, Public Law 96-164, Public Law 96-164; Waste Isolation Pilot Plant Land Withdrawal Act, 102-579, as amended by Waste Isolation Pilot Plant Withdrawal Act, Public Law 104-201, Public Law 104-201.

OBJECTIVES:

To enlist cooperation among the Tribal and the Southern, Western, and Midwest state governments along the Waste Isolation Pilot Plant (WIPP) shipping corridors in developing plans and procedures for the safe and uneventful transportation of transuranic waste from current temporary storage facilities to the WIPP.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The participating States, tribes, and the DOE will work to develop, coordinate, and implement activities in accident prevention, emergency preparedness, and public information programs to help ensure the safe transportation of transuranic waste to the WIPP site and safe disposal operations. Financial assistance is provided to support cooperation among the tribes, the Southern, Western, and Midwestern states along the WIPP shipping corridors, in developing plans and procedures for the safe uneventful transportation of transuranic waste from current temporary storage facilities to WIPP. Restrictions on the use of these funds depend on the specific collaborative agreement. Applicants must meet the guidelines established by DOE.

Applicant Eligibility:

Eligibility is restricted by action of the Western Governors' Association, Southern States Energy Board, the State of New Mexico, Council of State Governments (midwest region), and tribal governments along WIPP

transportation routes. DOE administers agreements with 10 southern State governments, and two Midwest state governments. The Western Governors' Association is the negotiating body for 12 western states on the WIPP transportation route. Each tribal government on the WIPP transportation route elected to represent themselves. Participation in this program is restricted to the above list of organizations and to the affected tribal governments.

Beneficiary Eligibility:

Benefits from this program will go to DOE and the State and tribal governments located on the WIPP disposal phase shipping corridor.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Environmental impact information may be required for the WIPP route road repairs only. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. After informal communication, a formal proposal by the applicant is submitted to the DOE Carlsbad Field Office. Note: All eligible parties are participating in the program and applications from others will not be accepted.

Award Procedure:

Decisions are made by DOE Carlsbad Field Office. Award documents are then prepared.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Awards to state and tribal governments may be renewed as long as WIPP shipments are still being transported through those states or tribes.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Schedule for DOE payments will be established during negotiations. See the following for information on how assistance is awarded/released: After receipt and review of the recipient's proposal, the award document is prepared and issued to the recipient.

Reports:

Quarterly financial reports are submitted using SF-425. Quarterly/Annual progress reports containing accomplishments and information on cost status. No cash reports are required. Progress reports are required quarterly and annually. A reporting schedule will be established during negotiations. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audit reports are to be provided to DOE.

Records:

The grantee is expected to maintain records to fulfill auditing needs in accordance 2 CFR 200.

Account Identification:

89-0242-0-1-053.

Obligations:

(Salaries) FY 16 \$3,392,305; FY 17 est \$3,392,305; and FY 18 est \$3,392,305

Range and Average of Financial Assistance:

\$50,000 to \$3,000,000 per year.

TAFS Codes:

89-0242.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PART 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS

Regional or Local Office:

See Regional Agency Offices. Department of Energy, Carlsbad Field Office, P.O. Box 3090, Carlsbad, NM 88221-3090. Contact: James, Mason, Institutional Affairs Manager, Telephone: (575) 234-7335 or Suzanne Hunt, Contracting Officer, Telephone (575) 234-7525.

Headquarters Office:

Alton Harris Office of Environmental Management, Office of Disposal Operations, Department of Energy, Washington, District of Columbia 20585 Phone: (301) 903-8466

Website Address:

<http://www.wipp.energy.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The awards are restricted to the entities listed in the "Applicant Eligibility" portion of this program listing. Eligibility is restricted by action of the Western Governors' Association, Southern States Energy Board, the State of New Mexico, the Council of State Governments (midwest region) and tribal governments along WIPP transportation routes. Each tribal government on the WIPP transportation route elected to represent themselves. Participation in this program is restricted to the above list of organizations and to affected tribal governments.

81.108 EPIDEMIOLOGY AND OTHER HEALTH STUDIES FINANCIAL ASSISTANCE PROGRAM

Health Studies

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 31, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051; Federal Nonnuclear Energy Research and Development Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817.

OBJECTIVES:

To provide financial support for research, education, conferences, communication, and other activities relating to the health of Department of Energy workers, as well as other populations potentially exposed to health hazards associated with energy production, transmission, and use.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for such purposes as the

salaries, materials and supplies, equipment, travel, publication costs, training costs, and services required for conducting research, training, related activities, and advanced technology projects or assessments. Restrictions on use of funds depend on award provisions. Support is provided for work in such areas as the health experience of DOE and DOE contractor workers; health experience of populations living near DOE facilities; health experience of workers exposed to toxic substances; use of biomarkers to recognize exposure to toxic substances; conduct of epidemiologic and other health studies relating to energy production, transmission, and use (including electromagnetic fields) in the United States and abroad; compilation, documentation, management, use, and analysis of data for the DOE Comprehensive Epidemiologic Data Resource; and other systems or activities enhancing these areas, as well as other program areas as may be described by notice published in the Federal Register.

Applicant Eligibility:

Colleges and universities, businesses, and nonprofit institutions may apply.

Beneficiary Eligibility:

Colleges and universities, businesses, and nonprofit institutions will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher education. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. A formal application by the principal investigator interested in doing the work is required. If submitted on behalf of an institution, the application should be sent through the appropriate administrative official. In the case of any competitive solicitations issued under this program, the application forms must be downloaded from the specific funding opportunity announcement posted on the Grants.gov web-site at <http://www.grants.gov/>.

Award Procedure:

Decisions to approve are made by the Headquarters Program Director. DOE procurement offices are then instructed to negotiate and prepare an appropriate award document.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days. Normally 6 months.

Appeals:

From 60 to 90 days.

Renewals:

From 30 to 60 days. Proposals for renewal are subject to review and acceptance by the Headquarters Office.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The schedule of DOE payments is arranged at the time of award. See the following for information on how assistance is awarded/released:
Incrementally funded unless full funding is available.

Reports:

No program reports are required. No cash reports are required. Progress/status reports detailing quarterly activities are required. Expenditure reports detailing accrued costs are required. Performance shall be monitored and details provided in the quarterly progress reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. "In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503"

Records:

Recipient is expected to maintain records required to audit or otherwise substantiate costs incurred under the grant.

Account Identification:

89-0224-0-1-271.

Obligations:

(Salaries) FY 16 \$25,361,000; FY 17 est \$26,516,000; and FY 18 est \$26,510,000

Range and Average of Financial Assistance:

\$10,000 to \$6,500,000. Average about \$2.0 Million.

TAFS Codes:

89-0224.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

10 CFR 602. Guidelines and current program announcements are included in application kits.

Regional or Local Office:

None.

Headquarters Office:

Ron W. Barnes, 1000 Independence Ave., SW, Washington, District of Columbia 20585 Email: ron.barnes@hq.doe.gov Phone: (301) 903-1244.

Website Address:

<http://energy.gov/ehss/environment-health-safety-security>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: Medical Surveillance of Former Workers, Marshall Islands Medical Program, Russian Health Studies Program. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The scientific and technical merit of the proposed research, appropriateness of the proposed method or approach, competency of research personnel and adequacy of proposed resources, reasonableness and appropriateness of the proposed budget, and other appropriate factors set forth in a Notice of Availability or in a specific solicitation.

81.112 STEWARDSHIP SCIENCE GRANT PROGRAM

Stewardship Science Academic Alliances Programs (SSAP)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 31, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title 1, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101.

OBJECTIVES:

(1) To grow the U.S. scientific community through university involvement in areas of fundamental science and technology relevant to stockpile stewardship;

(2) to promote and sustain scientific interactions between the academic community and scientists at the NNSA laboratories; (3) to train scientists in specific areas of long-term research relevant to stockpile stewardship; (4) to increase the availability of unique experimental facilities sited at NNSA's laboratories to the academic community, particularly for collaborations in areas of relevance to stockpile stewardship; and (5) to develop and maintain a long-term recruiting pipeline to NNSA's laboratories by increasing the visibility of NNSA's Defense Program's scientific activities to the U.S. faculty and student communities.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Financial support in whole or in part may be provided for such purposes as the salaries, materials and supplies, equipment, travel, publication costs, training costs, and services required for conducting research, training, related activities, and advanced technology projects or assessments. Funding is provided for basic and applied research in the field of physical science in support of the DOE stockpile stewardship program. Restrictions on use of funds depend on grant provisions. Funding is provided for the purpose of exploring an idea that does not unnecessarily duplicate work already in progress or contemplated by DOE, is not already known to DOE, or has previously unrecognized merit. Some solicitations are open to institutions of higher education only.

Applicant Eligibility:

Some solicitations are open to institutions of higher education only and others include nonprofit organizations and for profit commercial organizations. See individual funding opportunity announcements for details on eligibility.

Beneficiary Eligibility:

Depending upon the eligibility requirements of the individual solicitation, U.S. public and private institutions of higher education and/or nonprofit organizations and for profit commercial organizations will benefit. The Federal government will also benefit from the research of these grants.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals should be submitted as specified in the funding opportunity announcement posted at <http://www.grants.gov/>.

Award Procedure:

Applications will receive an administrative screening to determine if they are complete and in compliance with the terms of the solicitation. Proposals will be screened for stewardship relevance and then reviewed for scientific and technical merit by qualified individuals. The review and selection process for each funding opportunity are included in the solicitation. Decisions to approve are made by a Headquarters program official within the Program Office. Selected proposals will be forwarded to the NNSA Albuquerque Complex for grant development, negotiation, and award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 to 180 days.

Appeals:

Not Applicable.

Renewals:

Proposals for renewal are subject to review and acceptance by the Program Office.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, grants are approved for two-year or three-year project periods and funded one year at a time. Depending upon specific circumstances, grant project periods may range from one year to five years, at the discretion of the program office. Cooperative Agreements typically have five-year project periods and are funded one year at a time. See the following for information on how assistance is awarded/released: The NNSA Albuquerque Complex will make determination of method of funding release at the time of award.

Reports:

No program reports are required. SF425 quarterly. Progress Reports annually. No expenditure reports are required. Performance monitoring is required for Cooperative Agreements.

Audits:

No audits are required for this program.

Records:

Recipient is expected to maintain auditable records to substantiate the total costs incurred under the grant.

Account Identification:

89-0240-0-1-053.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$110,269,150; FY 17 est \$112,000,000; and FY 18 est \$112,000,000

Range and Average of Financial Assistance:

grants \$50,000 - \$750,000 per year
Cooperative agreements \$1,000,000-\$68,000,000 per year.

TAFS Codes:

89-0240.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The research supported helps to increase U.S. efforts in physical science relevant to stockpile stewardship and trains future scientific talent in these areas. Results and accomplishments of basic research performed under these programs are reported in open scientific literature. University research programs supported graduate students and postdoctoral researchers who assist principal investigators with research programs. Some students and postdoctoral researchers have gone on to work at the national laboratories.

Fiscal Year 2017: The research supported helps to increase U.S. efforts in physical science relevant to stockpile stewardship and trains future scientific talent in these areas. Results and accomplishments of basic research performed under these programs are reported in open scientific literature. University research programs often support graduate students who assist principal investigators with research programs, and upon graduation some go on to work at the national laboratories. Fiscal Year 2018: The research supported helps to increase U.S. efforts in physical science relevant to stockpile stewardship and trains future scientific talent in these areas. Results and accomplishments of basic research performed under these programs are reported in open scientific literature. University research programs often support graduate students who assist principal investigators with research programs, and upon graduation some go on to work at the national laboratories.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200, Federal Statutes, OMB Circulars, and other Government-wide guidance implementing 2 CFR 200, DOE Acquisition Policy and Guidance, DOE Guide to Financial Assistance.

Regional or Local Office:

None.

Headquarters Office:

Terri Lynn Stone 19901 Germantown Road, Germantown, Maryland 20874
Email: terri.stone@nnsa.doe.gov Phone: 3019037423

Website Address:

<http://www.nnsa.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Center for Pulsed-Power-Driven High-Energy-Density Plasmas; University of Texas Center for High Energy Density Science; A Center of Excellence for High Pressure Science and Technology; Center of Excellence for Radioactive Ion Beam Studies for Stewardship Science; University of Tennessee Radiochemistry Center of Excellence; High Pressure Science and Engineering Center; Carnegie/DOE Alliance Center -A Center of Excellence for High Pressure Science and Technology. Fiscal Year 2017: Center for Pulsed-Power-Driven High-Energy-Density Plasmas; University of Texas Center for High Energy Density Science; A Center of Excellence for High Pressure Science and Technology; Center of Excellence for Radioactive Ion Beam Studies for Stewardship Science; University of Tennessee Radiochemistry Center of Excellence; High Pressure Science and Engineering Center; Carnegie/DOE Alliance Center -A Center of Excellence for High Pressure Science and Technology. Fiscal Year 2018: Center of Excellence for Pulsed Power-Driven High Energy Density Science; Actinide Center of Excellence; Pulsed Laser Techniques Applied to Rare Isotopes; Elastic and Inelastic Neutron Scattering Differential Cross Sections on Iron, Silicon, and Carbon; Radiation from High Energy Density Pulsed Power Plasmas and Applications; Investigation of the Turbulent Mixing in a Twice-Shocked Interface.

CRITERIA FOR SELECTING PROPOSALS:

(1) Alignment with the areas of technical scope; (2) Scientific/technical merit of the project, including innovativeness and originality; (3) Expected impact on the area of technical scope addressed; (4) Consistency with the objective of this academic alliances program to focus on advanced experimental investigations; (5) Qualifications of the Applicant's personnel and adequacy of proposed resources; (6) Feasibility of plans for carrying out the proposed research, considering such factors as: appropriateness of the technical method and approach, facility compatibility, other commitments, competition and timing; (7) For projects currently receiving funding under this program, the scientific impact of recent results and accomplishments; (8) Level of interaction with NNSA/DP laboratory personnel and the potential to train students in scientific areas defined by the technical scope in order to build a long-term recruiting pool for the NNSA/DP laboratory complex. Generally, a higher degree of interaction both quantitatively and qualitatively is considered desirable; (9) Leverage provided by cost sharing with other funding sources.

81.113 DEFENSE NUCLEAR NONPROLIFERATION RESEARCH

Defense Nuclear Nonproliferation Research and Development

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 3157, Public Law 101-189, 103 Stat. 1684, 42 U.S.C. 2051, Section 3157, 1684 Stat. 42, 2051 U.S.C., Section 3157, 1684 Stat. 42, 2051 U.S.C 3157; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438; 88 Stat. 1240, 42 U.S.C. 5817, Title I, Section 107, 1240 Stat. 42, 5817 U.S.C.; Department of Energy (DOE) Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577.

OBJECTIVES:

To conduct basic and applied research and development that enhances U.S. national security and reduces the global danger from the proliferation of weapons of mass destruction and special nuclear materials through needs-driven research and development. The emphasis is on developing the requisite technologies to detect and deter nuclear proliferation and to meet U.S. nuclear detonation detection goals. Research focuses on advanced detection systems and concepts to support current and future USG policies. Support also-address the pressing shortfalls in trained professionals capable of supporting crucial nuclear nonproliferation missions such as nuclear forensics and international safeguards.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for salaries, materials, supplies, equipment, travel, publication costs, services required for conducting nonproliferation research and development to reduce the global danger from the proliferation of weapons of mass destruction. Construction or related costs are not allowed under these project grants. All work must comply with export control regulations.

Applicant Eligibility:

Universities (public and private), institutions of higher education, whose activities benefit the general public through results which are available to the National Nuclear Security Administration (NNSA), other U.S. government agencies, and universities and institutions of higher learning may apply.

Beneficiary Eligibility:

The NNSA, other U.S. government agencies, universities and institutions of higher learning will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher learning. For Non-government organizations, Costs will be determined in accordance with OMB Circular No. A-122 . 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The NNSA Albuquerque Complex in Albuquerque, New Mexico issues Funding Opportunity Announcements (FOAs) under which formal applications may be submitted by a scientist or principal investigator who will conduct or lead the research team. The FOAs will be posted to Grants.gov which can be accessed at: <http://www.grants.gov>.

Award Procedure:

Evaluations will be conducted by technical staff assigned to the Office of Defense Nuclear Nonproliferation Research and Development or at the DOE national laboratories. Final decisions for approval are made by the source selection authority. DOE/NNSA Procurement is then instructed to negotiate and prepare the grant award documents. Program management responsibilities may be delegated as a whole or in part to the NNSA Albuquerque Complex or NNSA Site Offices.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Deadlines will be provided in the individual FOAs posted at Grants.gov.

Appeals:

Not Applicable.

Renewals:

Grants will be modified and extended as required. Renewals are subject to an annual review by the Headquarters and/or NNSA Albuquerque Complex.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The grant period is for up to five years depending upon available appropriations. The schedule of payments is arranged at the time of award, but it is expected that proposals will be fully funded for their five year lifecycles in one lump sum. See the following for information on how assistance is awarded/released: Depending on the program some can be awarded lump sum or incrementally funded. See the following for information on how assistance is awarded/released: Depending on the program some can be awarded lump sum

or incrementally funded.

Reports:

No program reports are required. Cash reports are not applicable. Quarterly Progress reports, and final reports are required. Also progress is reported annually at the University Program Review for the life of the project. Expenditure reports are required monthly. Independent performance and merit reviews are required in the second year of the lifecycle.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All projects are subject to this audit process.

Records:

None.

Account Identification:

89-0243-0-1-053.

Obligations:

(Project Grants) FY 16 \$15,000,000; FY 17 est \$15,000,000; and FY 18 est \$15,000,000

Range and Average of Financial Assistance:

The expected range of Awards is approximately \$25,000,000; \$5,000,000/year for five years for the lifecycle of the project.

TAFS Codes:

89-0309.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: one grant awarded. Fiscal Year 2017: 0 new grants will be awarded. Fiscal Year 2018: 0 new grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

See Code of Federal Regulations (CFR); 10 CFR 600 and OMB Circulars

Regional or Local Office:

None.

Headquarters Office:

Ivy D. Martin, 1000 Independence Ave SW, Washington, District of Columbia 20585 Email: ivy.martin@nnsa.doe.gov Phone: (202) 586-2246 Fax: (202) 586-0485.

Website Address:

<http://www.nnsa.doe.gov/na-20>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In Oct. 2015, DNN R&D awarded a grant to University of California Berkeley via FOA. This proposal for the Nuclear Science and Security Consortium (NSSC) enlists eight of the nations premier educational institutions and five DOE national laboratories to grow and strengthen the human capital available for the nations nuclear security mission. Our team pools some of the leading educational and research resources in physics, chemistry, nuclear engineering, materials science, and public policy to attract highly qualified students into these fields and advance them to be leaders in the future nuclear security workforce.

The consortia has University of California Berkeley as the lead institution, joined by Michigan State University, University of California Davis, University of California Irvine, and the University of Nevada Las Vegas; and newly added partners: the George Washington University, Texas A&M University, and the University of Tennessee Knoxville. These eight universities partner with five national laboratories: Los Alamos National Laboratory, Lawrence Berkeley National Laboratory, Lawrence Livermore National Laboratory, Oak Ridge National Laboratory, and Sandia National Laboratory.

This proposal for the NSSC maintains as its two primary objectives: (i) the

further

expansion of the talent pipeline we have established to provide new nonproliferation experts for careers in the DOE laboratories and related federal service, and (ii) to provide fresh concepts, technologies and paradigms to the national laboratories for the nonproliferation mission.

The NSSC represents an end-to-end approach, from recruitment of students at all levels to transition to early career phases. At the input end of the pipeline, promising and interested undergraduate and graduate students will be identified and exposed to all aspects of the field of nuclear security.

Undergraduate research assistants, graduate student fellows, and postdoctoral scholars will engage in basic science research and technology development relevant to the nuclear security mission. Through research internships at partnering national labs, scholarships and fellowships, and workshops and summer schools, a broad and diverse student population will be trained in a wide range of technical areas essential for the nuclear security professional. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection process will be determined on the following criteria: 1) technical merit; 2) team capabilities; 3) past experience.

81.117 ENERGY EFFICIENCY AND RENEWABLE ENERGY INFORMATION DISSEMINATION, OUTREACH, TRAINING AND TECHNICAL ANALYSIS/ASSISTANCE

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110140 Energy Independence and Security Act of 2007; Public Law 10958 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law 95-91 Department of Energy Organization Act of 1977; Energy Reorganization Act of 1974, 42 U.S.C. 5813(6)(7)(8), 42 U.S.C 13231; Department of Energy Organization Act of 1977, 42 U.S.C 712; Energy Reorganization Act of 1974, 42 U.S.C. 5813(6)(7)(8); ARRA 2009, 42 U.S.C 5813 (6-8).

OBJECTIVES:

The Department of Energy (DOE) seeks to provide financial assistance for information dissemination, outreach, training and related technical analysis/assistance which will: (1) stimulate increased energy efficiency in transportation, buildings, industry and the Federal sector and encourage increased use of renewable and alternative energy; and (2) accelerate the adoption of new technologies to increase energy efficiency and the use of renewable and alternative energy through the competitive solicitation of applications.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial assistance is provided in support of the program objectives as described in a single competitive solicitation or multiple competitive solicitations. The solicitation(s) will provide funding in various program areas within the Office of Energy Efficiency and Renewable Energy: Solar, Wind and Hydropower, Geothermal, Biomass, Buildings Technologies, Advanced Manufacturing, Vehicles, Solid State Lighting, Weatherization and Intergovernmental, Federal Energy Management Program, and the Office of the Assistant Secretary for Energy Efficiency and Renewable Energy. Restrictions on use of funds depend on the provisions of the specific project agreement.

Applicant Eligibility:

Profit organizations, individuals, private nonprofit institutions/organizations, public nonprofit institutions/organizations, State and local governments, Native American organizations, Alaskan Native corporations and universities may apply. DOE Laboratories are not eligible.

Beneficiary Eligibility:

Profit organizations, individuals, private nonprofit institutions/organizations, public nonprofit institutions/organizations, State and local governments, Native American organizations, Alaskan Native corporations and universities benefit.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <https://eere-exchange.energy.gov/> and <http://www.grants.gov/>. A separate application must be submitted for each DOE Energy Efficiency and Renewable Energy (EERE) Program Area of Interest.

Award Procedure:

All applications which pass an initial review will receive an objective merit review. Objective Merit Review Committees will evaluate applications based on the evaluation criteria set forth in the competitive solicitation. After the Merit Review Committee has completed its evaluations, an EERE Office Director or other Program Official will evaluate the applications against program policy factors set forth in the competitive solicitation. Final decisions will be made by the EERE Assistant Secretary, Deputy Assistant Secretaries, and the Director of the Federal Energy Management Program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. None.

Appeals:

Unsuccessful applicants are entitled to a debriefing by DOE program officials with a clear explanation of why the proposal was not accepted for funding.

Renewals:

Renewals are subject to review by the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance will vary with the program phase and activity. The maximum term of awards varies among the Program Areas of Interest and ranges from 6 months to 5 years. See the following for information on how assistance is awarded/released: Funds are released through the Electronic Transfer System. Annual budget periods are set by each grantee within parameters established by DOE.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports, and a final report at the conclusion of the grant time period. Quarterly expenditure reports (SF-425), and a final report at the conclusion of the grant time period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Costs incurred are subject to audit throughout the grant period and before final close-out per 2 CFR 200 subpart F (for other than for-profit entities). For-profit recipients are subject to the audit requirements in 2 CFR 910.501.

Records:

A grantee is expected to maintain auditable records to substantiate the total

costs incurred under the grant per 2 CFR 200.

Account Identification:

89-0321-0-1-270.

Obligations:

(Cooperative Agreements) FY 16 \$19,527,362; FY 17 est \$31,842,546; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Vary.

TAFS Codes:

89-X-0321; 89-X-0331.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Education, Outreach, and Technology Transfer projects. Of particular note, this program funds the Solar Ready Vets. The Solar Ready Vets program connects our nations skilled veterans to the solar energy industry, preparing them for careers as solar photovoltaic (PV) system installers, sales representatives, system inspectors, and other solar-related occupations. Solar Ready Vets is enabled by the U.S. Department of Defense SkillBridge initiative, which allows exiting military personnel to pursue civilian job training, employment skills training, apprenticeships, and internships up to six months prior to their separation. Employment in the U.S. solar industry increased 123% since 2010, and veterans are strong candidates to fill solar positions because they are disciplined, motivated, and technically savvy. Solar Ready Vets trains active military personnel who are in transitioning military status within a few months of leaving military service and becoming a veteran and it prepares them to be strong candidates for positions in management, PV installation, sales, as well as technical positions. Fiscal Year 2017: Education, Outreach, and Technology Transfer projects. Of particular note, this program funds the Solar Ready Vets. The Solar Ready Vets program connects our nations skilled veterans to the solar energy industry, preparing them for careers as solar photovoltaic (PV) system installers, sales representatives, system inspectors, and other solar-related occupations. Solar Ready Vets is enabled by the U.S. Department of Defense SkillBridge initiative, which allows exiting military personnel to pursue civilian job training, employment skills training, apprenticeships, and internships up to six months prior to their separation. Employment in the U.S. solar industry increased 123% since 2010, and veterans are strong candidates to fill solar positions because they are disciplined, motivated, and technically savvy. Solar Ready Vets trains active military personnel who are in transitioning military status within a few months of leaving military service and becoming a veteran and it prepares them to be strong candidates for positions in management, PV installation, sales, as well as technical positions. Fiscal Year 2018: Education, Outreach, and Technology Transfer projects. Of particular note, this program funds the Solar Ready Vets. The Solar Ready Vets program connects our nations skilled veterans to the solar energy industry, preparing them for careers as solar photovoltaic (PV) system installers, sales representatives, system inspectors, and other solar-related occupations. Solar Ready Vets is enabled by the U.S. Department of Defense SkillBridge initiative, which allows exiting military personnel to pursue civilian job training, employment skills training, apprenticeships, and internships up to six months prior to their separation. Employment in the U.S. solar industry increased 123% since 2010, and veterans are strong candidates to fill solar positions because they are disciplined, motivated, and technically savvy. Solar Ready Vets trains active military personnel who are in transitioning military status within a few months of leaving military service and becoming a veteran and it prepares them to be strong candidates for positions in management, PV installation, sales, as well as technical positions.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: EERE Federal Register notices; EERE Solicitations, which may be accessed through the EERE homepage at: <http://www.eere.energy.gov/>; DOE Financial Assistance Regulations (2 CFR 200 as amended by 2 CFR 910); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at: <http://energy.gov/sites/prod/files/2016/02/f29/Merit%20Review%20Guide%20FINAL%20JULY%202013.pdf>

Regional or Local Office:

None.

Headquarters Office:

James Cash 15013 Denver West Parkway, Golden, Colorado 80401 Email: james.cash@ee.doe.gov Phone: 240-562-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection will be based on an objective merit review recommendation, program policy factors, the amount of funds available, provisions of appropriation law and reports and as otherwise specified in the solicitation document at <https://eere-exchange.energy.gov/>.

81.119 STATE ENERGY PROGRAM SPECIAL PROJECTS

SEP Competitive Grants

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110140 Energy Independence and Security Act of 2007; Public Law 10958 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law 95-91 Department of Energy Organization Act of 1977; "Energy Policy and Conservation Act of 1975" Public Law 94-163: 42 U.S.C. 6321-6326, Public Law 91-95, 42 U.S.C 7101; National Energy Conservation Policy Act of 1978, Public Law 95-619; ARRA 2009, Public Law 619-95; Balanced Budget Down Payment Act II of 1996; ARRA 2009, Public Law 134-104; Energy Policy and Conservation Act; ARRA 2009, Title III, Part C, Section 361-366, Public Law 163-94, 42 U.S.C 6321-6326.

OBJECTIVES:

To allow States to submit proposals to implement specific Department of Energy (DOE) Office of Energy Efficiency and Renewable Energy deployment activities and initiatives as Special Projects under the State Energy Program. States will compete for funding to implement activities relating to a number of programmatic areas such as building codes and standards, alternative fuels, industrial efficiency, building efficiency, and solar and renewable technologies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for salaries, materials, and supplies, equipment, travel, publication costs, and services required for implementing the activities described in the application at <https://eere-exchange.energy.gov/>.

Applicant Eligibility:

All States plus the District of Columbia, the U.S. Virgin Islands, Puerto Rico, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands may apply.

Beneficiary Eligibility:

States, territories, and their project partners will benefit.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200 subpart E for State and local Governments. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Audit procedures in accordance with 2 CFR 200 subpart F (for other than for-profit recipients). For-profit recipients are subject to the audit requirements in 2 CFR 910.501. In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application forms must be downloaded from the specific funding opportunity announcement posted at the EERE exchange web-site: at <https://eere-exchange.energy.gov/> or <http://www.grants.gov>. Applicants for sub-awards from the states should consult the office or official designated as the single point of contact within the State for more information on the process the State requires to be followed in applying for assistance.

Award Procedure:

Evaluations will be conducted by staff of the Office of Energy Efficiency and Renewable Energy end-use sectors participating in the annual solicitation. Final decisions to approve are made by Assistant Secretary Office of Energy Efficiency and Renewable Energy. DOE Field Offices are then instructed to negotiate and prepare the grant award documents.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines are set forth in the solicitation at <https://eere-exchange.energy.gov/>.

Appeals:

None.

Renewals:

Renewals are subject to review by the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. Competitive SEP projects have a 20% cost matching requirement. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Vary. See the following for information on how assistance is awarded/released: Funds are released through the Electronic Transfer System.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Costs will be verified and subject to audit according to 2 CFR 200 subpart F (for other than for-profit recipients. For-profit recipients are subject to the audit requirements at 2 CFR 910.501

Records:

Record retention in accordance with 2 CFR 200.

Account Identification:

89-0321-0-1-270.

Obligations:

(Project Grants) FY 16 \$4,763,079; FY 17 est \$4,564,986; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

500,000 - 1,000,000.

TAFS Codes:

89-X-0321.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

EERE SEP Program and 2 CFR 200

Regional or Local Office:

None.

Headquarters Office:

James Cash 15013 Denver West Parkway, Golden, Colorado 80401 Email: james.cash@ee.doe.gov Phone: 240-562-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

81.041 State Energy Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection process will be determined by the criteria announced in the annual solicitation.

81.121 NUCLEAR ENERGY RESEARCH, DEVELOPMENT AND DEMONSTRATION

(NE RD&D)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 88 Stat. 1240, 42 U.S.C 7101; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C 5817; Atomic Energy Act of 1954, as amended, Section 32, Public Law 83-703, 68 Stat. 919, 42 U.S.C 2051; Atomic Energy Act, Section 31, Public Law 83- 703, 42 U.S.C 2051.

OBJECTIVES:

Provide financial assistance to address key issues affecting the worldwide use of nuclear energy through the research, development, and demonstration of science and technology fields related to nuclear energy and its underlying sciences.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Financial assistance, opportunities are defined in individual solicitations available on the DOE website or Grants.gov. Notices published on Grants.gov define research, development, and demonstration areas that the Department intends to support such as: advanced reactors, fuel and reactor concepts that minimize proliferation risks, nuclear fuel and fuel cycles, advanced instrumentation, controls, simulation, modeling and analyses, nuclear waste management, construction, manufacturing and fabrication technologies, and related fundamental nuclear science areas in materials, reactor physics, chemistry, radiochemistry, health physics, and thermal hydraulics and fluid dynamics. Notices published on Grants.gov define development or demonstration projects and study areas activities that the Department intends to support such as: advanced designs, technologies or techniques, new regulatory or licensing requirements, and economic analyses. For a given solicitation, financial assistance may be available in whole or in part, for the following in support of nuclear energy research and related fields in development and demonstration activities: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical activities, market analyses, financing plans and other activities necessary to achieve the objective. Restrictions on use of funds depend on solicitation and award provisions.

Applicant Eligibility:

Federal, State, local governments, universities, consortia, nonprofit institutions, commercial corporations, and individuals may apply.

Beneficiary Eligibility:

Any individual, partnership, corporation, association, joint venture, institution of higher education, or nonprofit organization will benefit.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200, and as amended by 910. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. DOE solicitations set forth award procedures. Refer to <http://energy.gov/ne/office-nuclear-energy> for additional information.

Award Procedure:

DOE solicitations set forth award procedures. Applications will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation. Evaluations will be coordinated by a Merit Review Chair and conducted by a review board. This responsibility will be performed by the Office of Nuclear Energy or delegated to a DOE Operations Office. Final decisions will be made by the approved Program Officer or delegated Source Selection Official. For additional information, please refer to www.ne.doe.gov.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines for submissions will be posted in the individual funding opportunities posted at <http://www.grants.gov>

Per funding opportunity posted, a range of approval/disapproval time will be described.

Appeals:

Not Applicable.

Renewals:

Proposals for renewal are subject to review and acceptance by the Program Director and/or Selection Official.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. The no matching requirements apply to certain applicable areas. See funding opportunity "matching" guidance per individual funding opportunities to understand more about whether or not a matching requirement is applicable to your application. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance and schedule of DOE payments is arranged at the time of award. Funds awarded are to be spent during the period of performance of each award, unless a different arrangement is approved at the time of award. See the following for information on how assistance is awarded/released: Payment method for most awards is ASAP. Awards not permitted to receive ASAP payments will be provided the necessary instruction on submitting Invoices for payment.

Awards are released pending information provided in the funding opportunity announcement.

Reports:

As stated in each solicitation. Cash reports are not applicable. As stated in each solicitation. Quarterly financial status reports are required, as well as a final financial report at the end of the award period of performance. Performance monitoring is tracked and reported according to set procedures dictated in each funding opportunity.

Audits:

Not Applicable.

Records:

Award recipient is expected to maintain auditable records to substantiate total

costs incurred under the agreement and as required by the financial assistance award.

Account Identification:

89-0319-0-0-000.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$136,079,605; FY 17 est \$130,000,000; and FY 18 est \$105,000,000

Range and Average of Financial Assistance:

The range of awards vary per funding opportunity. Awards are typically in the range of \$400,000 to \$1,000,000, but can be more or less as described per individual opportunity.

TAFS Codes:

89-0319.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Similar to prior years. Fiscal Year 2017: Similar to prior years. Fiscal Year 2018: Similar to prior years.

REGULATIONS, GUIDELINES, AND LITERATURE:

NE Federal Register notices; NE Solicitations; DOE Financial Assistance Regulations (2 CFR 200); and the DOE Guide to Financial Assistance, which may be accessed through the DOE Office of Procurement and Assistance Management webpage at: http://www.management.energy.gov/policy_guidance.htm.

Regional or Local Office:

See Regional Agency Offices. Idaho Operations Office (DOE-ID), Fremont Ave., Idaho Falls, ID 83415
Contact: Aaron Gravelle, Telephone: (208) 526-0208.

Headquarters Office:

Ken Osborne 1000 Independence Ave, SW , Washington , District of Columbia 20585 Email: kenny.osborne@nuclear.energy.gov Phone: (301) 903-3723

Website Address:

<http://www.ne.doe.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: See the NE Web site at <http://energy.gov/ne/office-nuclear-energy>. Fiscal Year 2017: Similar to previous years. Fiscal Year 2018: Similar to previous years.

CRITERIA FOR SELECTING PROPOSALS:

Each proposal will be evaluated based on an objective merit review in accordance with the requirement of "DOE Financial Assistance Regulations" (2 CFR 200) and the evaluation criteria set forth in the competitive solicitations. These criteria will include: appropriateness of the proposal method or approach, competency of research personnel and adequacy of proposed resources, reasonableness and appropriateness of the proposed budget, and other appropriate factors.

81.122 ELECTRICITY DELIVERY AND ENERGY RELIABILITY, RESEARCH, DEVELOPMENT AND ANALYSIS

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110140 Energy Independence and Security Act of 2007; Public Law 10958 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law 95-91 Department of Energy Organization Act of 1977; "Energy Tax Act of 1978", Public Law 95-618; "Superconductivity and Competitiveness Act of 1988", Public Law 100-697; "Energy Security Act of 1980 Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C 5817; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 88 Stat. 1240, 42 U.S.C 7101; Atomic Energy Act, Section 31, Public Law 83- 703, 42 U.S.C 2051; Atomic Energy Act of 1954, as amended, Section 32, Public Law 83-703, 68 Stat. 919, 42

U.S.C 2051", Public Law 96-294.; Energy Tax Act of 1978, Public Law 95-618; Energy Security Act of 1980, Public Law 96-294; Energy Policy Act of 1992 (EPACT), Public Law 102-486; Energy Independence and Security Act of 2007 (EISA), Public Law 110-140; Energy Policy Act of 2005 (EPACT), Public Law 109-58; Department of Energy Organization Act of 1977, as amended, Public Law 95-91.

OBJECTIVES:

Through research, development, demonstration, technology transfer, and education and outreach activities, lead national efforts to modernize the electric grid; enhance security and reliability of the energy infrastructure; and mitigate the impact of, and facilitate recovery from, disruptions to the energy supply. Develop cost-effective technology that enhances the reliability, efficiency, and resiliency of the electric grid, while enabling the effective utilization of emerging and renewable generation sources and promoting the rapid deployment and integration of advanced digital "Smart Grid" technology that is needed to modernize the nations electric delivery network for enhanced operational intelligence and connectivity. Also, leverage scientific research in mathematics for application to power system models and software tools to foster strategic, power systems research capabilities.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance provided as cost-shared cooperative agreements or grants to nonprofit and profit institutions and organizations to develop, demonstrate and transfer technologies, tools, techniques or information developed to utilities, energy companies, energy regulators, state and local governments and other stakeholders.

Applicant Eligibility:

All types of domestic entities are eligible to apply, such as profit organizations, private nonprofit institutions/organizations, universities, research organizations, and state and local governments.

Beneficiary Eligibility:

Profit organizations, private nonprofit institutions/organizations, universities, research organizations, and state and local governments benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms as furnished by DOE and required by 2 CFR 200 as amended by 2 CFR 910 must be used to apply for financial assistance, as further specified in competitive solicitation(s). The application forms must be downloaded from the specific funding opportunity announcement posted on the EERE Exchange web site: <https://eere-exchange.energy.gov/> or the Grants.gov web site: <http://www.grants.gov/>

Award Procedure:

All applications which pass an initial review will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation(s) or criteria set forth in 2 CFR Part 200 for Unsolicited Proposals. Evaluations will be conducted by the Office of Electricity Delivery and Energy Reliability personnel at DOE Headquarters in Washington, DC. This responsibility may also be delegated to DOE Operations Offices and technical experts. Final decisions will be made by the Assistant Secretary, Office of Electricity Delivery and Energy Reliability.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 120 days.

Appeals:

Unsuccessful applicants are entitled to a debriefing by DOE program officials with a clear explanation of why the proposal was not accepted for funding.

Renewals:

Grants and cooperative agreements may be modified or extended.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: As Specified under Section 988 of the Energy Policy Act of 2005 and EISA, with exceptions, a minimum of 20% cost share is required for research and development. A minimum of 50% is required for demonstration. Higher cost share may be requested. For education and outreach projects, the statutory cost share is 0%.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for up to five years, as specified in the solicitation. See the following for information on how assistance is awarded/released:
Electronic funds transfer.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports required as specified in the grant or cooperative agreement. Typically these reports consist of quarterly progress and a final report at the conclusion of the grant or cooperative agreement time period. Expenditure reports (SF-425) required as specified in the grant or cooperative agreement. Typically these reports consist of quarterly expenditure reports and a final report at the conclusion of the grant or cooperative agreement time period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Other than for-profit recipients are subject to the audit requirements at 2 CFR 200 subpart F. For-profit recipients are subject to the audit requirements at 2 CFR 910.501

Records:

Records retention per 2 CFR 200.

Account Identification:

89-0318-0-1-999 - OE Annual Appropriations.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - For fiscal year 2017 (year-to-date) \$57,809 has been de-obligated (negative balance -\$57,809) from this CFDA program. 2016 \$5,000 has been de-obligated (negative balance -\$5,000) from this CFDA program. The actual obligation for fiscal year 2015 was a de-obligation (negative balance) of \$4,500, or -\$4,500.

Range and Average of Financial Assistance:

Varies.

TAFS Codes:

89-X-0321.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: Office of Electricity Delivery and Reliability homepage, at <http://www.oe.energy.gov>; DOE Financial Assistance Regulations (2 CFR 200); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at: <http://energy.gov/sites/prod/files/2016/02/f29/Merit%20Review%20Guide%20FINAL%20JULY%202013.pdf>

Regional or Local Office:

None. U.S. Department of Energy
Golden Field Office

15013 Denver West Parkway
Golden Colorado 80401
(240) 562-1800
Building Technologies, Industrial Technologies, and Fuel Cells and Infrastructure.

Headquarters Office:

James Cash 15013 Denver West Parkway, Golden, Colorado 80401 Email: james.cash@ee.doe.gov Phone: 240-562-1456

Website Address:

<http://www.oe.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection is based on an objective merit review, program policy factors, the availability of funds, provisions of appropriation law and reports and as otherwise specified in specific solicitation document(s) at <https://eere-exchange.energy.gov/>.

81.123 NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) MINORITY SERVING INSTITUTIONS (MSI) PROGRAM

National Nuclear Security Administration

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 3157, Public Law 101-189; 103 Stat. 1684, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438; 88 Stat. 1240, 42 U.S.C. 5817; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Department of Energy (DOE) Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101; National Nuclear Security Administration Act, Public Law 106-65, as amended; Consolidated Appropriations Act, 2005, Public Law 108-447; Energy and Water Development Appropriations Act, 2006, Public Law 109-103; Revised Continuing Appropriations Resolution, 2007, Public Law 110-5, as amended; Executive Order 13230, President's Advisory Commission on Educational Excellence for Hispanic Americans, October 12, 2001, as amended; Executive Order 13256, White House Initiative on Historically Black Colleges and Universities, February 12, 2002, as amended; Executive Order 13270, Tribal Colleges and Universities, July 3, 2002, as amended.

OBJECTIVES:

The program's primary focus is to build a sustainable pipeline between DOE/NNSAs sites/labs and MSIs in STEM disciplines, and bring a heightened awareness of NNSA plants and laboratories to MSIs with a common interest in STEM research fields.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Financial support, in whole or in part, may be provided for salaries, stipends, materials and supplies, equipment, travel, publication and training, and services required for conducting research, training, and related activities. Funding is provided for the purpose of exploring an idea that does not duplicate work already in progress or contemplated by DOE, is not already known to DOE, or has previously unrecognized merit. Restrictions on the use of funds depend on grant provisions.

Applicant Eligibility:

Historically Black Colleges and Universities (HBCUs), Hispanic Serving Institutions (HSIs), Tribal Colleges and Universities (TCUs) and non-profit institutions servicing HBCUs, HSIs and TCUs.

Beneficiary Eligibility:

The general public, scientists, researchers, student/trainee, graduate students, institutions of higher learning, NNSA, and other US government agencies will

benefit. Elementary, Middle and high school students will also benefit due to the institutions of higher learning's programs supported by NNSA.

Credentials/Documentation:

Documentation for indirect cost rates and any other documentation required by 2 CFR Part 200, 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application forms and instructions are available upon request from the Office of Learning and Career Management, Leadership and Workforce Development Division (NA-MB-41); National Nuclear Administration (NNSA) U.S. Department of Energy, 1000 Independence Avenue, S.W., Washington, D.C. 20585; phone: (202) 586-6019.

Award Procedure:

NNSA's technical staff conduct technical evaluations in accordance with the 2 CFR Part 200. If the proposal meets the pre-established CFR criteria, then a grant and/or cooperative agreement is awarded.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. The range is from 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Grants will be modified or extended as required. Renewals are subject to an annual review by the NNSA's Headquarters and/or Operations' program offices.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 20%. Grantees may cost share up to 20% on research grants based on 2 CFR 200.36 (b) where applicable. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant period ranges from 1 to 5 years depending upon available appropriations. Expenditure of funds is allowed during the budget period specified in the grant document. The payment type and schedule are arranged at the time of award. Funding is provided to the grantee on a reimbursable basis unless the grant provisions specify otherwise. See the following for information on how assistance is awarded/released: reimbursement.

Reports:

The DOE Federal Assistance Reporting Checklist (DOE F 4600.2) is incorporated into the grant document at the time of award. This checklist specifies the programmatic and financial reports that are required as well as the timeframe for report submission. Standard Form 425 is completed and submitted on a quarterly basis. The grantee completes the SF-425 to specify the cumulative cash receipts, disbursements and on-hand balance. Quarterly Progress reports indicative of the progress made, planned activity and estimated expenditures for the subsequent quarters are required. A quarterly expenditure report specifying expenses for the quarter, cumulative expenditures and on-hand balance. Progress reports are monitored to measure grantee's progress and expenditures are monitored to ensure that there is a correlation between work performed and expenditures.

Audits:

Not Applicable.

Records:

The grantee is required to maintain records over the life of the grant for any inquiries or audits.

Account Identification:

89-0299-0-1-050.

Obligations:

(Project Grants) FY 16 \$16,500,000; FY 17 est \$18,956,000; and FY 18 est \$18,832,000 - A competitive solicitation is anticipated in Fiscal Year 2018.

Range and Average of Financial Assistance:

Grant awards range from \$750K to \$5M annually over a either a three or five year period.

TAFS Codes:

89-0299.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Provided internships to over 100 minority students across the NNSA complex.

Students were hired upon graduation as post doc and fully time employees at national labs such as Lawrence Livermore National Laboratory and Y-12 security complex.

New STEM programs and curriculum were established at participating universities to align students learning and academic experience with national lab standards. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR part 200.

Regional or Local Office:

None.

Headquarters Office:

Jonathan Jackson 1000 Independence Ave. SW , Washington, District of Columbia 20585 Email: jonathan.jackson@nnsa.doe.gov Phone: 2025866019

Website Address:

<http://www.nnsa.doe.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In FY 2016 the following consortium grants were established:

American Indian Higher Education Consortium: The goal is establish a network of Tribal Colleges and Universities with the essential advanced manufacturing facilities, associated training and education programs, and private sector and federal agency partnerships to both prepare an American Indian advanced manufacturing workforce and create economic and employment opportunities within Tribal communities through design, manufacture and marketing of high quality products. The initial focus of the project is on additive manufacturing.

Consortium for Integrating Energy Systems in Engineering and Science Education: The goal is to effect change in the undergraduate and graduate curriculum of engineering programs to embed knowledge and practices related to various types of energy systems:

-Integrate research experiences for undergraduate and graduate students, as well as university professors, in the broad area of energy systems of interest to DOE/NNSA national laboratory partners, with STEM Education.

-Develop educational modules and practical experiences for K-12 education with energy contents that span from energy generation, storage, and distribution spanning across the energy spectrum (ecolic, fossil, fuel cells, hydro, nuclear, solar) that stimulate the continuation of studies in the field. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection process is based on the following criteria: 1) Potential impact and relevance of proposed work; 2) technical merit; 3) qualifications of the proposed investigators; 4) quality of the proposed research plan; 5) proposed

schedule, budget and available resources.

81.126 FEDERAL LOAN GUARANTEES FOR INNOVATIVE ENERGY TECHNOLOGIES

Loan Programs Office

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Energy Policy Act of 2005, PL 109-58, 119 Stat 1117-1122,

Title XVII, 42 USC 15801; August 8, 2005.

Energy Independence and Security Act of 2007, PL 110-140, Section 136.

OBJECTIVES:

For Title XVII Loan Guarantee program under Section 1703 of the Energy Policy Act of 2005 to promote, through the use of Federal loan guarantees, commercial use in the United States of America or new or significantly improved technologies in energy projects that;

- (1) Avoid, reduce, or sequester air pollutants or anthropogenic emissions of greenhouse gases; and
- (2) Employ new or significantly improved technologies as compared to commercial technologies in service in the United States at the time the guarantee is issued. (42 U.S.C. 16513(a)).

For the Advanced Technology Vehicles Manufacturing program under Sec 136 of EISA2007 issue loans to automobile and part manufacturers for cost of re-equipping, expanding, or establishing manufacturing facilities in the United States to produce advanced technology vehicles or qualified components, and for engineering integration costs.

TYPES OF ASSISTANCE:

Direct Loans; Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Title XVII Loan Guarantee Program- The Secretary may make guarantees under Section 1703 of the Energy Policy Act of 2005 only for projects that avoid, reduce, or sequester air pollutants or anthropogenic emissions of greenhouse gases; and employ new or significantly improved technologies as compared to commercial technologies in service in the United States at the time the guarantee is issued and which provide a reasonable assurance of repayment.

Projects that may be eligible for a loan guarantee include:

- (1) Renewable energy systems.
- (2) Advanced fossil energy technology.
- (3) Hydrogen fuel cell technology.
- (4) Advanced nuclear energy facilities.
- (5) Carbon capture and sequestration practices and technologies.
- (6) Pollution control equipment.
- (7) Efficient end use technologies.
- (8) Efficient electrical generation, transmission, and distribution technologies.
- (9) Production facilities for fuel efficient vehicles.
- (10) Refineries, meaning facilities at which crude oil is refined into gasoline.
- (11) Distributed Energy Projects.

The terms of an obligation shall require full repayment of principle and interest on the Guaranteed Obligations and other project debt over a period of up to the lesser of 30 years or 90 percent of the projected useful life of the project's major physical assets as calculated in accordance with generally accepted accounting principles and practices.

Advanced Technology Vehicles Manufacturing Direct Loan Program - Direct loans may be issued to automobile and part manufacturers for cost of re-equipping, expanding, or establishing manufacturing facilities in the United States to produce advanced technology vehicles or qualified components, and for engineering integration costs. See above.

The tenor of ATVM loans varies from project to project.

Further Program information may be found at

<http://www.energy.gov/lpo/loan-programs-office>. The following projects may be eligible for a loan guarantee under the Title XVII Loan Guarantee Program:

- (1) Renewable energy systems.
- (2) Advanced fossil energy technology.
- (3) Hydrogen fuel cell technology.
- (4) Advanced nuclear energy facilities.
- (5) Carbon capture and sequestration practices and technologies.
- (6) Pollution control equipment.
- (7) Efficient end use technologies.
- (8) Efficient electrical generation, transmission, and distribution technologies.
- (9) Production facilities for fuel efficient vehicles.
- (10) Refineries, meaning facilities at which crude oil is refined into gasoline.
- (11) Distributed Energy Projects.

The terms of an obligation shall require full repayment of principle and interest on the Guaranteed Obligations and other project debt over a period of up to the lesser of 30 years or 90 percent of the projected useful life of the project's major physical assets as calculated in accordance with generally accepted accounting principles and practices.

Advanced Technology Vehicles Manufacturing Direct Loan Program - Direct loans may be issued to automobile and part manufacturers for cost of re-equipping, expanding, or establishing manufacturing facilities in the United States to produce advanced technology vehicles or qualified components, and for engineering integration costs.

The tenor of ATVM loans varies from project to project. The terms of an obligation shall require full repayment of principle and interest on the Guaranteed Obligations and other project debt over a period of up to the lesser of 30 years or 90 percent of the projected useful life of the project's major physical assets as calculated in accordance with generally accepted accounting principles and practices.

Applicant Eligibility:

For innovative clean energy projects: including advanced fossil energy, nuclear energy, renewable energy, and energy efficiency. Eligible projects must utilize a new or significantly improved technology, avoid, reduce or sequester greenhouse gases, be located in the United States, and have a reasonable prospect of repayment.

Further information may be found at

<http://www.energy.gov/lpo/innovative-clean-energy-projects-title-xvii-loan-program>

For ATVM loans, automotive or component manufacturers for reequipping, expanding, or establishing manufacturing facilities in the United States that produce fuel efficient advanced technology vehicles or qualifying components, or for engineering integration performed in the U.S. for ATVMs or qualifying components.

Further information may be found at

<http://www.energy.gov/lpo/advanced-technology-vehicles-manufacturing-atvm-loan-program>

For Title XVII there is no legal restriction regarding eligible applicants.

An applicant can be a corporation, company, partnership, association, society, trust, joint venture, joint stock company, or governmental nonfederal entity, that has the authority to enter into, and is seeking, a loan guarantee for a loan or other debt obligation of an Eligible Project.

Glossary of Terms

<http://www.energy.gov/lpo/about-us/glossary-terms>.

Beneficiary Eligibility:

Small businesses, profit organizations, quasi-public nonprofits, public institutions and interstate, intrastate, State and local governments will benefit from the loan guarantee program.

For ATVM, DOE has promulgated regulations defining the eligibility requirements for automobile manufacturers.

For more information, please visit:
<http://www.energy.gov/lpo/loan-programs-office>.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under Office of Management and Budget (OMB) Circular No. A-102.

This program is excluded from coverage under Office of Management and Budget (OMB) Circular No. A-110.

Application procedures for a Department of Energy Title XVII loan guarantee are unique to each solicitation issued by the Department. Potential applicants should only apply for a loan guarantee under the specific funding opportunity announcement posted on the Federal Government website.

Additional information can be found at the following websites:
<http://www.fedconnect.net> or
<http://www.energy.gov/lpo/innovative-clean-energy-projects-title-xvii-loan-program>

Application procedures for a Department of Energy ATVM loan guarantee are unique to each solicitation issued by the Department. Potential applicants should only apply for a loan guarantee under the specific funding opportunity announcement posted on the Federal Government website.

Additional information can be found at the following websites:
<http://www.fedconnect.net> or
<http://www.energy.gov/lpo/advanced-technology-vehicles-manufacturing-atvm-loan-program>

For additional information, see final regulations, 10 CFR Part 609, Loan Guarantees for Projects That Employ Innovative Technologies; Final Rule, published on October 23, 2007.

For additional information regarding the application process, please visit:
<http://www.energy.gov/lpo/apply>

Glossary of Terms
<http://www.energy.gov/lpo/about-us/glossary-terms>

Award Procedure:

Award Procedures are identified in the individual solicitations for both LGPO and ATVM.

Except for division C of Public Law 108-324, the Secretary shall make guarantees or loans under this or any other Act for projects on such terms and conditions as the Secretary determines, after consultation with the Secretary of the Treasury.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Ranges of approval/disapproval times are identified in individual solicitations.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Statutory formulas are not applicable to this program.

Matching Requirements: For the LGPO Section 1703 loan guarantees, this is a "self-pay" program. Applicants will pay the subsidy costs as determined by the credit subsidy calculation. Also, fees will be charged to recover DOE's administrative costs in managing the Loan Guarantee Program. A fee schedule has been determined and published in each solicitation.

No guarantee shall be made unless:

- (1) An appropriation for the cost has been made; or
- (2) The Secretary has received from the borrower a payment in full for the cost of the obligation and deposited the payment into the Treasury. (42 U.S.C. 16512.) Section 1705 guarantees have appropriated funds for the credit subsidy but applicant's and awardees are subject to additional fees during the application process and after award.

See the solicitations at: <http://www.energy.gov/lpo/open-solicitations>

For ATVM Section 136 direct loans, there is no self-pay for credit subsidy. DOE requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of an obligation shall require full repayment of principle and interest on the Guaranteed Obligations and other project debt over a period of up to the lesser of 30 years or 90 percent of the projected useful life of the project's major physical assets as calculated in accordance with generally accepted accounting principles and practices.

Tenor may be less for the ATVM loans.

See the following for information on how assistance is awarded/released: Except for division C of Public Law 108-324, the Secretary shall make guarantees or loans under this or any other Act for projects on such terms and conditions as the Secretary determines, after consultation with the Secretary of the Treasury. See the following for information on how assistance is awarded/released: Except for division C of Public Law 108-324, the Secretary shall make guarantees or loans under this or any other Act for projects on such terms and conditions as the Secretary determines, after consultation with the Secretary of the Treasury.

Reports:

The specific reports are detailed in each solicitation and in the final closing documents for the loans or loan guarantees.

In addition, any recipients of ARRA funding under the LGPO's Title XVII program must meet the ARRA Section 1512 reporting requirements. The terms and conditions for each loan or guarantee will contain a schedule of all required reports. This includes any ARRA Section 1512 reporting requirements.

The terms and conditions for each loan or guarantee will contain a schedule of all required reports and performance monitoring. In general, the monitoring will be similar to commercial practices. The terms and conditions for each loan or guarantee will contain a schedule of all required reports. This includes any ARRA Section 1512 reporting requirements. The terms and conditions for each loan or guarantee will contain a schedule of all required reports. The terms and conditions for each loan or guarantee will contain a schedule of all required reports. The terms and conditions for each loan or guarantee will contain a schedule of all required reports and performance monitoring. In general, the monitoring will be similar to commercial practices.

Audits:

Not Applicable.

Records:

A recipient of a guarantee or loan shall keep such records and other pertinent documents as the Secretary shall prescribe by regulation, including such records as the Secretary may require to facilitate an effective audit. The Secretary and

the Comptroller General of the United States, or their duly authorized representatives, shall have access, for the purpose of audit, to the records and other pertinent documents.

Account Identification:

89-4577-0-0-271 - TITLE 17 INNOVATIVE TECHNOLOGY GUARANTEED LOAN FINANCING ACCOUNT; 89-4579-0-3-272 - ADVANCED TECHNOLOGY VEHICLES MANUFACTURING DIRECT LOAN FINANCING ACCOUNT; 89-4455-0-3-271 - TITLE 17 INNOVATIVE TECHNOLOGY DIRECT LOAN FINANCING ACCOUNT.

Obligations:

(Salaries) FY 16 \$16,680,000,000; FY 17 est \$13,280,000,000; and FY 18 est \$13,280,000,000 - Obligations include ATVM Direct Loan Authority ONLY. Administrative expenses to operate the program.

Recent and pending Congressional action will significantly impact FY18 numbers

FY16
Loan Authority: \$16.7B

FY17
Loan Authority: \$13.3B

FY18
Loan Authority: ~\$13.3B. (Guaranteed/Insured Loans) FY 16 \$24,942,000,000; FY 17 est \$24,942,000,000; and FY 18 est \$21,442,000,000 - Obligations include Title 17 Loan Guarantee Authority ONLY. Administrative expenses to operate the program.

Recent and pending Congressional action will significantly impact FY18 numbers

FY16
Loan Authority: \$24.9B

FY17
Loan Authority: \$24.9B

FY18
Loan Authority: ~\$21.4B.

Range and Average of Financial Assistance:

The value of the loan or guarantee will be determined on a project by project basis.

TAFS Codes:

89-4455; 89-4577; 89-4579.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: ATVM:
11 Applications were received
No Direct Loans were awarded

Title 17:
19 Applications were received
No Direct Loans were awarded. Fiscal Year 2017: ATVM:
The estimated number of applications to be received is not available.
2 Direct Loans are projected to be awarded

Title 17:
The estimated number of applications to be received is not available.
9 Loan Guarantees are projected to be awarded. Fiscal Year 2018: ATVM:
The estimated number of applications to be received is not available.
1 Direct Loans are projected to be awarded

Title 17:
The estimated number of applications to be received is not available.
4 Loan Guarantees are projected to be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Statutory and Legislative Requirements can be found at the following links:

<http://www.energy.gov/lpo/about-us/statutory-and-legislative-requirements>

ATVM

<http://www.energy.gov/lpo/loan-guarantee-program-governing-documents/atvm-loan-program-governing-documents>

LGP

<http://www.energy.gov/lpo/loan-guarantee-program-governing-documents>

Statutory Compliance

<http://www.energy.gov/lpo/statutory-compliance>

Regional or Local Office:

None.

Headquarters Office:

Richard Rogers 1000 Independence Ave, SW
, Washington DC, District of Columbia 20585 Email:
richard.rogers@hq.doe.gov Phone: (202) 586-6607

Website Address:

<http://www.energy.gov/lpo/loan-programs-office>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to solicitation and guidelines for the criteria to be utilized. Typically, significant weight is given to the credit worthiness of the project, the technical merit, and compliance with eligibility requirements.

81.127 ENERGY EFFICIENT APPLIANCE REBATE PROGRAM (EEARP)

EEARP

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110140 Energy Independence and Security Act of 2007; Public Law 10958 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law 95-91 Department of Energy Organization Act of 1977, Title 1, Part B.

OBJECTIVES:

The program provides financial and technical assistance to States to establish residential energy star rated appliance rebate programs. The programs objectives are:

- * To reduce fossil fuel emissions created as a result of activities within the jurisdictions of eligible entities;
- * To improve energy efficiency in the residential sector.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Establish or continuation of State energy efficient appliance rebate program to provide rebates to residential consumers for the purchase of residential Energy Star products to replace used appliances of the same type.

Applicant Eligibility:

Assistance available to States and US Territories and possessions.

Beneficiary Eligibility:

Individuals and families are the ultimate beneficiaries.

Credentials/Documentation:

Compliance with State and local environmental statutes and regulations. Costs will be determined in accordance with 2 CFR Part 200 for State and local governments. This program is excluded from coverage under 2 CFR 200,

Subpart E - Cost Principles.

Preapplication Coordination:

No preapplication form or conference is required by DOE. Consultation and assistance will be available from DOE in the preparation of the application. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for the Energy Efficient Appliance Rebate Program must be submitted through grants.gov

Award Procedure:

States submit application for available allocation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines are identified in the solicitation.

Appeals:

As required under the American Recovery and Reinvestment Act of 2009.

Renewals:

N/A.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant periods under this program will be twenty four months. All funds must be expended within this period. See the following for information on how assistance is awarded/released: No information is provided.

Reports:

No program reports are required. Financial status reports are required. Additional terms and conditions are invoked by ARRA 2009. A final report is required at the end of the project period. In accordance with the Energy Independence and Security Act of 2007, EPACT 1992 and 2005, ARRA 2009 and DOE procurement procedures. A 10-year tracking and follow-up reporting mechanism may be required. Quarterly progress reports are required. Additional terms and conditions are invoked by ARRA 2009. A final report is required at the end of the project period. In accordance with the Energy Independence and Security Act of 2007, EPACT 1992 and 2005, ARRA 2009 and DOE procurement procedures. A 10-year tracking and follow-up reporting mechanism may be required. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Audits for recipients are found in 10 CFR 600 and the American Recovery and Reinvestment Act of 2009.

Records:

In accordance with the Energy Independence and Security Act of 2007 and DOE procurement procedures.

Account Identification:

89-0331-2-1-272.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This program was funded by the American Recovery and Reinvestment Act (ARRA) of 2009. All ARRA funds expired on 09/30/2015. This program may, or may not receive funding in future periods.

Range and Average of Financial Assistance:

Range: 500k-6 million.

TAFS Codes:

89-X-0331.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

James Cash 15013 Denver West Parkway, Golden, Colorado 80401 Email: james.cash@ee.doe.gov Phone: 240-562-1456

Website Address:

<http://energy.gov/eere/office-energy-efficiency-renewable-energy>

RELATED PROGRAMS:

81.041 State Energy Program; 81.119 State Energy Program Special Projects

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Formula Grant allocations to supplement State Programs.

81.128 ENERGY EFFICIENCY AND CONSERVATION BLOCK GRANT PROGRAM (EECBG)

EECBG

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

The Energy Efficiency and Conservation Block Grant Program (EECBG) was authorized in Title V, Subtitle E of the Energy Independence and Security Act (EISA), signed into Public Law 110-140 on December 19, 2007. ; Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110140 Energy Independence and Security Act of 2007.

OBJECTIVES:

The program provides financial and technical assistance to assist State and local governments create and implement a variety of energy efficiency and conservation projects. The programs objectives are:

- * To reduce fossil fuel emissions created as a result of activities within the jurisdictions of eligible entities;
- * To reduce the total energy use of the eligible entities; and
- * To improve energy efficiency in the transportation, building, and other sectors.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants (Special)

USES AND USE RESTRICTIONS:

A wide variety of activities are eligible for use of the grant funds including:

- * Developing/implementing an energy efficiency and conservation strategy and retaining technical consultant services to assist in the development of such a strategy.
- * Conducting residential and commercial building energy audits.
- * Establishing financial incentive programs for energy efficiency improvements (e.g., loan programs, rebate programs, waive permit fees.)
- * Providing grants to nonprofit organizations to perform energy efficiency retrofits.
- * Developing/implementing programs to conserve energy used in transportation (e.g., flex time by employees, satellite work centers, promotion of zoning requirements that promote energy efficient development, transportation infrastructure: bike lanes/pathways, pedestrian walkways, and synchronized traffic signals).
- * Developing and implementing building codes and inspection services to promote building energy efficiency.
- * Implementing energy distribution technologies.
- * Developing public education programs to increase participation and

efficiency rates for recycling programs.

* Purchasing/implementing technologies to reduce and capture methane and other greenhouse gases generated by landfills or similar sources.

* Installing light emitting diodes (LEDs).

* Developing, implementing, and installing renewable energy technologies on or in any government building.

* Any other activity as determined by the Secretary of Energy in consultation with the Secretaries of Transportation and Housing and Urban Development and the Administrator of the Environmental Protection Agency.

Applicant Eligibility:

State and local governments including US Territories and Possessions.

Beneficiary Eligibility:

Individuals and families.

Credentials/Documentation:

Compliance with Federal, State and local environmental statutes and regulations, as required under the Energy Independence and Security Act of 2007. This program is covered by applicable Cost Principles contained in the Code of Federal Regulations, TITLE 2--Grants and Agreements. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

No preapplication form or conference is required by DOE. Consultation and assistance will be available from DOE in the preparation of the application. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement.

Applicants must register with FedConnect to submit their application. FedConnect website: www.fedconnect.net

Submission deadlines will be published in the funding opportunity announcement at <http://www.grants.gov>

It is the responsibility of the applicant to verify successful transmission.

Award Procedure:

States and eligible units of local governments and Tribes will submit applications for available allocation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines are identified in the Funding Opportunity Announcement.

Appeals:

As required under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009.

Renewals:

Renewals are subject to review by the DOE Project Management Center and the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: There is no local match requirement for the EECBG, however leveraging of funds on the part of the recipient is encouraged. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant periods under this program will be eighteen months. Funds must be under contract within eighteen months. See the following for information on how assistance is awarded/released. See the following for information on how assistance is awarded/released: No information provided.

Reports:

No program reports are required. No cash reports are required. Quarterly project and financial status reports are required. Additional terms and conditions are invoked by ARRA 2009. A final report is required at the end of the contract period. In accordance with the Energy Independence and Security Act of 2007, EPCACT 1992 and 2005, ARRA 2009 and DOE procurement procedures. A 10-year tracking and follow-up reporting mechanism may be required. Quarterly project and financial status reports are required. Quarterly financial reports required. No performance monitoring is required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Audit procedures in accordance with OMB Circular No. A-133. In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures as well as with 10 CFR 600.

Records:

In accordance with the Energy Independence and Security Act of 2007 and DOE procurement procedures.

Account Identification:

89-0331-2-1-272.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - All obligations under this program will be made with Recovery Act funds. This program has not had funds other than ARRA obligated to it. For fiscal year 2014 (actual) \$9,576,636 was de-obligated from this program. For fiscal year 2015 (actual) \$15,976,360 was de-obligated from this program. This implies a negative balance of -\$15,976,360 for FY 2015 and -\$9,576,636 for FY 2014. All funding associated with ARRA and this CFDA Program expired on 09/30/2015.

Range and Average of Financial Assistance:

Varies.

TAFS Codes:

89-X-0331.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2013, EECBG continued to deploy energy efficiency across the county through its grants to over 2,300 state and local governments through its funding by the American Reinvestment and Recovery Act of 2009 (ARRA). Milestones accomplished in FY 11 include performance of energy upgrades of over 40,000 buildings, installation of 5,000 solar energy systems, and installation of over 300,000 energy efficient traffic and streetlights since the passage of the ARRA. However, per OMB, all ARRA funds are requested to be expended by September 30, 2013, and per ARRA, all funding must be expended by September 30, 2015. Fiscal Year 2017: In FY 2013, EECBG continued to deploy energy efficiency across the county through its grants to over 2,300 state and local governments through its funding by the American Reinvestment and Recovery Act of 2009 (ARRA). Milestones accomplished in FY 11 include performance of energy upgrades of over 40,000 buildings, installation of 5,000 solar energy systems, and installation of over 300,000 energy efficient traffic and streetlights since the passage of the ARRA. However, per OMB, all ARRA funds are requested to be expended by September 30, 2013, and per ARRA, all funding must be expended by September 30, 2015. Fiscal Year 2018: In FY 2013, EECBG continued to deploy energy efficiency across the county through its grants to over 2,300 state and local governments through its funding by the American Reinvestment and Recovery Act of 2009 (ARRA). Milestones accomplished in FY 11 include performance of energy upgrades of over 40,000 buildings, installation of 5,000 solar energy systems, and installation of over 300,000 energy efficient traffic and streetlights since the passage of the ARRA. However, per OMB, all ARRA funds are requested to be expended by September 30, 2013, and per ARRA, all funding must be expended by September 30, 2015.

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009 and 10 CFR 600.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

James Cash 15013 Denver West Parkway, Golden, Colorado 80401 Email: james.cash@ee.doe.gov Phone: 240-562-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

81.041 State Energy Program; 81.042 Weatherization Assistance for Low-Income Persons

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In FY 2013, EECBG continued to deploy energy efficiency across the county through its grants to over 2,300 state and local governments through its funding by the American Reinvestment and Recovery Act of 2009 (ARRA). Milestones accomplished in FY 11 include performance of energy upgrades of over 40,000 buildings, installation of 5,000 solar energy systems, and installation of over 300,000 energy efficient traffic and streetlights since the passage of the ARRA. However, per OMB, all ARRA funds are requested to be expended by September 30, 2013, and per ARRA, all funding must be expended by September 30, 2015. Fiscal Year 2017: In FY 2013, EECBG continued to deploy energy efficiency across the county through its grants to over 2,300 state and local governments through its funding by the American Reinvestment and Recovery Act of 2009 (ARRA). Milestones accomplished in FY 11 include performance of energy upgrades of over 40,000 buildings, installation of 5,000 solar energy systems, and installation of over 300,000 energy efficient traffic and streetlights since the passage of the ARRA. However, per OMB, all ARRA funds are requested to be expended by September 30, 2013, and per ARRA, all funding must be expended by September 30, 2015. Fiscal Year 2018: In FY 2013, EECBG continued to deploy energy efficiency across the county through its grants to over 2,300 state and local governments through its funding by the American Reinvestment and Recovery Act of 2009 (ARRA). Milestones accomplished in FY 11 include performance of energy upgrades of over 40,000 buildings, installation of 5,000 solar energy systems, and installation of over 300,000 energy efficient traffic and streetlights since the passage of the ARRA. However, per OMB, all ARRA funds are requested to be expended by September 30, 2013, and per ARRA, all funding must be expended by September 30, 2015.

CRITERIA FOR SELECTING PROPOSALS:

As described under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009.

81.129 ENERGY EFFICIENCY AND RENEWABLE ENERGY TECHNOLOGY DEPLOYMENT, DEMONSTRATION AND COMMERCIALIZATION**FEDERAL AGENCY:**

Department of Energy

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110-140 Energy Independence and Security Act of 2007; Public Law 109-58 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law 95-91 Department of Energy Organization Act of 1977.

OBJECTIVES:

This program provides financial assistance for the technology deployment, demonstration, and commercialization of Energy Efficiency and Renewable Energy technologies. This includes Biomass, Building Technologies, Federal Energy Management, Geothermal Technologies, Projects involving Hydrogen, Fuel Cells and Infrastructure Technologies, Industrial Technologies, Solar Energy Technologies, Vehicle Technologies, Weatherization and Intergovernmental, and Wind and Hydropower technologies.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

No information provided.

Applicant Eligibility:

Anyone who meets the requirements specified in the funding opportunity announcement at <http://www.grants.gov> may apply.

Beneficiary Eligibility:

Beneficiaries are states and local governments and other public and private institutions.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement.

Applicants must register with FedConnect to submit their application. FedConnect website: www.fedconnect.net

Submission deadlines will be published in the funding opportunity announcement at <http://www.grants.gov>

It is the responsibility of the applicant to verify successful transmission.

Award Procedure:

Applications which pass an initial review will receive an objective merit review. An objective Merit Review Committee will evaluate applications in accordance with the evaluation criteria set forth in the competitive solicitation.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Unsuccessful applicants from the competitive solicitation are entitled to a debriefing by DOE program officials with a clear explanation of why the proposal was not accepted for funding.

Renewals:

Renewals are subject to review by the DOE Project Management Center and the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50%. Federal funds may be awarded to applicants that can match DOE Federal funds at 50 percent. Other matching requirements may be determined by specific legislation or as allowed by the Secretary of Energy.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No information provided. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Quarterly project and financial status reports are required. Additional terms and conditions are invoked by ARRA 2009. A final report is required at the end of the contract period. In accordance with the Energy Independence and Security Act of 2007, EPACT 1992 and 2005, ARRA 2009 and DOE procurement procedures. A 10-year tracking and follow-up reporting mechanism may be

required. No cash reports are required. Quarterly project status reports are required. Additional terms and conditions are invoked by ARRA 2009. A final report is required at the end of the contract period. In accordance with the Energy Independence and Security Act of 2007, EPACT 1992 and 2005, ARRA 2009 and DOE procurement procedures. A 10-year tracking and follow-up reporting mechanism may be required. Quarterly financial status reports are required. Additional terms and conditions are invoked by ARRA 2009. A final report is required at the end of the contract period. In accordance with the Energy Independence and Security Act of 2007, EPACT 1992 and 2005, ARRA 2009 and DOE procurement procedures. A 10-year tracking and follow-up reporting mechanism may be required. No performance monitoring is required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Audit procedures in accordance with OMB Circular No. A-133. In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures as well as with 10 CFR 600.

Records:

In accordance with the Energy Independence and Security Act of 2007, EPACT 1992 and 2005, ARRA 2009 and DOE procurement procedures. Access to process implementation and efficiency data may be required to be available for 10 years after project completion to measure performance against expectation and support commercialization of the technology. The grantee is expected to maintain records to fulfill auditing needs.

Account Identification:

89-0321-0-1-270.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - All obligations under this program will be made with Recovery Act funds. This program has not had funds other than ARRA obligated to it. These funds must be expended by September 30, 2015. All funding associated with ARRA and this CFDA Program expired on 09/30/2015.

Range and Average of Financial Assistance:

None.

TAFS Codes:

89-X-0321.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOE financial assistance Rules and 10 CFR 600 applies.

Regional or Local Office:

None.

Headquarters Office:

James Cash 15013 Denver West Parkway, Golden, Colorado 80401 Email: james.cash@ee.doe.gov Phone: 240-562-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: This CFDA Program was funded with ARRA money, which expired on 09/30/2015. No funding has been added to this program since.

Fiscal Year 2017: This CFDA Program was funded with ARRA money, which expired on 09/30/2015. No funding has been added to this program since.

Fiscal Year 2018: This CFDA Program was funded with ARRA money, which expired on 09/30/2015. No funding has been added to this program since.

CRITERIA FOR SELECTING PROPOSALS:

Awards are restricted to the entities listed in the Applicant Eligibility portion of this program listing. All election criteria will conform to EISA 2007, EPACT 1992 and 2005, and ARRA 2009 requirements as applicable to the

specific project authorization.

81.135 ADVANCED RESEARCH PROJECTS AGENCY - ENERGY (ARPA-E)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

This agency was chartered and authorized in 2007 in the America COMPETES Act (Public Law 110-69), and re-authorized in the America COMPETES Reauthorization Act of 2010 (Public Law 111-358).

OBJECTIVES:

To support the Presidents National Objectives for the Department of Energy, and the Objectives contained in the authorizing legislation.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

ARPA-E will fund research and development of transformational energy related technologies. As described in each Funding Opportunity Announcement.

Applicant Eligibility:

As described in the Funding Opportunity Announcement.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Compliance with Federal, State and local environmental statutes and regulations, as required under the Energy Independence and Security Act of 2007. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application required in the form of a Concept Paper (unless directed differently in the Funding Opportunity Announcement). This program is not eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications for ARPA-E must be submitted through ARPA-E eXCHANGE to be considered for award. Information on how to submit application via ARPA-E eXCHANGE can be found at: <http://arpa-e.energy.gov/>. It is the responsibility of the applicant to verify successful transmission.

Award Procedure:

All eligible applications will be considered through the Departments Merit Review Process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines are identified in each Funding Opportunity Announcement.

Appeals:

As required under the Energy Independence and Security Act of 2007.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Detailed cost share requirements are specified in each Funding Opportunity Announcement. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As required under the Energy Independence and Security Act of 2007, as

applicable. See the following for information on how assistance is awarded/released: Most often under a Cooperative Agreement as reimbursable.

Reports:

In accordance with the Energy Independence and Security Act of 2007 and DOE procurement procedures. Quarterly progress reports will be required along with a final report. Cash reports are not applicable. Progress reports are not applicable. Quarterly financial reports will be required along with a final report (and as described in the Funding Opportunity Announcement). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audit requirements laid out in the OMB Circulars apply to the ARPA-E Program. Circulars are available at the White House website.

Records:

In accordance with the Energy Independence and Security Act of 2007 and DOE procurement procedures.

Account Identification:

89-0337-0-1-270 - Energy Transformation Acceleration Fund.

Obligations:

(Cooperative Agreements) FY 16 \$266,955,201; FY 17 est \$276,750,000; and FY 18 Estimate Not Available(Exp: Pending FY18 budget) - Energy Transformation Acceleration Fund.

Range and Average of Financial Assistance:

\$250,000 to \$10,000,000 (average range is \$2 million).

TAFS Codes:

89-0337.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: As noted in ARPA-E's FY17 Congressional Budget Justification - In FY16 ARPA-E released funding opportunity announcements for seven focused programs and awarded \$201M to 77 projects that aim to: improve the energy efficiency of existing single-pane windows in commercial and residential buildings, increase energy efficiency of datacenters, develop new crop breeding approaches for improved root and soil function, use renewable energy to convert air and water into cost-competitive liquid fuels, reduce energy consumption of future vehicles, and accelerate devices built with high performance ion-conducting solids to commercial deployment.

As of February 2017, ARPA-E has invested a total of \$1.5 billion across more than 580 projects through 36 focused programs and three open funding solicitations. This initial investment has attracted more than \$1.8 billion in private sector follow-on funding. Additionally, at least 56 ARPA-E project teams have formed new companies and 68 projects have partnered with other government agencies for further development. Fiscal Year 2017: Pending. Fiscal Year 2018: Pending.

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the Energy Independence and Security Act of 2007, America COMPETES Act of 2007, and the America ReCOMPETES Act of 2010.

Regional or Local Office:

None.

Headquarters Office:

Hai Duong Department of Energy, 1000 Independence Avenue, S.W., Washington, District of Columbia 20585 Email: hai.duong@hq.doe.gov Phone: (202) 287-6583

Website Address:

<http://www.arpa-e.energy.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As described in the Funding Opportunity Announcement.

81.136 LONG-TERM SURVEILLANCE AND MAINTENANCE

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Department of Energy Organization Act of 1977, as amended Public Law 96-573; Resource Conservation and Recovery Act (RCRA), Public Law 95-480; Superfund Amendments and Reauthorization Act of 1986, Public Law 99-499; Uranium Mill Tailings Radiation Control Act of 1978, Public Law 95-604; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) as amended; Atomic Energy Act of 1954, Section 31, as amended, Public Law 83-703; Executive Order 12898, Federal Actions To Address Environmental Justice in Minority Populations and Low-Income Populations.

OBJECTIVES:

The objectives of the Office of Legacy Management are to manage the Departments post-closure responsibilities and ensure the future protection of human health and the environment. This Office has control and custody for legacy lands, structures, and facilities and is responsible for maintaining them at levels suitable for their long-term use. This Office also provides environmental assistance to enable communities around DOE sites to address environmental issues.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Financial assistance is provided in support of the program objectives. Restrictions on the use of funds depend on the provisions of the specific project agreement. Applicants must meet the guidelines established by DOE or contained in the specific Congressional authorization for a particular program being supported.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

These determinations will be made by DOE Headquarters. An environmental impact statement may be required depending on the program and project. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. After informal communication with DOE Headquarters Office, a formal proposal by the organization interested in doing the work must be submitted through an appropriate official of the corporate entity, organization or institution. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted to the project office and include: a title, objectives, time frame, and a budget breakdown.

Award Procedure:

Projects are reviewed at the Office of Legacy Management and award decisions are made throughout the fiscal year.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

90 to 180 days.

Appeals:

None.

Renewals:

Awards may be modified or extended as required.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Varies with each grant/cooperative agreement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The time period for a grant or cooperative agreement is dependent upon the individual project proposed. See the following for information on how assistance is awarded/released: Varies with each grant/cooperative agreement.

Reports:

Program reports are not applicable. Cash reports are not applicable. A final report may be due at the end of the grant or cooperative agreement. SF 425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Federal Clearinghouse Audit (A-133)

Records:

A recipient is expected to maintain auditable records to substantiate the total costs incurred under the grant or cooperative agreement.

Account Identification:

89-0243-0-1-270.

Obligations:

(Salaries) FY 16 \$3,039,278; FY 17 Not Separately Identifiable; and FY 18 Estimate Not Available - The 81.136 CFDA program belongs to the Office of Legacy Management which took over awards that transferred from a variety of program offices after the Legacy Management program was established during FY04.

Range and Average of Financial Assistance:

The range is \$4,000 to \$450,000. The average is ~\$89,736.

TAFS Codes:

89-0243.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: \$3,039,277.56. Fiscal Year 2017: Not Available. Fiscal Year 2018: Not Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOE Financial Assistance Regulations (10 CFR 600); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at

http://www.management.energy.gov/policy_guidance.htm

Regional or Local Office:

None.

Headquarters Office:

Ingrid B. Colbert 1000 Independence Aven., S.W., Washington, District of Columbia 20585 Email: Ingrid.Colbert@hq.doe.gov Phone: (202) 856-1431

Website Address:

<http://www.lm.doe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on statutory eligibility and work that is beneficial to the overall accomplishment of the Office of Legacy Management goals and objectives.

Goal 1: Protect human health and the environment

Goal 2: Preserve, protect, and share records and information.

Goal 3: Meet commitments to the contractor work force

Goal 4: Optimize the use of land and assets

Goal 5: Sustain management excellence

In most cases, the criteria for award on the specific scope are associated with the site and the local entities. All grants/cooperative agreements are awarded to entities specifically associated with a respective site and regulatory agreements (i.e., State has oversight rights). Grants/cooperative agreements are almost always sole-source. Criteria may include cost of the project, applicants qualifications, and applicability to LMs mission. To manage the Department's post-closure responsibilities and ensure the future protection of human health and the environment. Legacy Management has control and custody for legacy lands, structures, and facilities and is responsible for maintaining them at levels consistent with Departmental long-term plans.

81.137 MINORITY ECONOMIC IMPACT

Minority Education Awards and Minority Business and Economic Development Programs

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

National Energy Conservation Act, Title NECPA, Section 641, 42 U.S.C 7141; Executive Order Executive Order 13515, Asian Americans and Pacific Islanders, October 14, 2009 as amended; Executive Order Executive Order 13592, Tribal Colleges and Universities, December 8, 2011 as amended; Executive Order Executive Order 13532, President's Advisory Commission on Educational Excellence for Hispanic Americans, October 19, 2010, as amended; Executive Order Executive Order 13532, White House Initiative on Historically Black Colleges and Universities, February 26, 2010, as amended, as amended by The Office of Minority Economic Impact (OMEI) was established in Fiscal Year 1979 pursuant to Section 641 Title VI, Part 3 of the National Energy Conservation Policy Act (Public Law 95-619) dated November 9, 1978.

OBJECTIVES:

The program's primary focus is to engage minority serving institutions (MSIs) in DOE mission activities, collaborative research projects throughout the DOE, and future workforce development. Additionally, the office has the duty and responsibility to advise the Secretary on the effect of energy policies, regulations and other actions of the Department and its components on minorities and minority business enterprises and on ways to insure minorities are afforded an opportunity to participate fully in the energy programs of the Department. The office also has the duty to develop and disseminate information on energy programs to minority business enterprises, minority educational institutions and other appropriate minority organizations and to develop technical assistance programs to assist minority businesses in establishing and expanding energy-related business opportunities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for salaries, stipends, materials and supplies, equipment, travel, publication and training, and services required for conducting research, training, and related activities. Funding is provided for the purpose of exploring an idea that does not duplicate work already in progress or contemplated by DOE, is not already known to DOE, or has previously unrecognized merit. Restrictions on the use of funds depend on grant provisions.

Applicant Eligibility:

Historically Black Colleges and Universities (HBCUs), Hispanic Serving Institutions (HSIs), Tribal Colleges and Universities (TCUs), Asian American Pacific Islander (AAPI) Serving Institutions, and non-profit institutions servicing HBCUs, HSIs, TCUs, and AAPIs. Additionally, minority business enterprises, and organizations the primary purpose which is to assist in the development of those communities will be able to participate in the research, development, demonstration, and contract activities of the Department.

Beneficiary Eligibility:

The general public, scientists, researchers, student/trainee, graduate students, institutions of higher learning, DOE, minority business enterprises, and organizations the primary purpose which is to assist in the development of those communities and other US government agencies will benefit. Middle and high school will also benefit due to the institutions of higher learning's programs supported by DOE.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Various.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Two Years. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

89-0228-0-1-050 - Departmental Administration General Fund.

Obligations:

(Salaries) FY 16 \$75,000; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data.

TAFS Codes:

89-0228.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 1000000.00. Fiscal Year 2017: Plan to provide scholarships, support pre-college Science Technology Engineering and Math (STEM)

program and fund Sustainable Campus Community Initiative. Plan to provide support to minority business enterprises, programs to assist minority business enterprises energy-related businesses, provide technical assistance programs to encourage, promote, and assist minority business enterprises in establishing and expanding energy-related business opportunities which are located in minority communities and that can provide jobs to workers in such communities, provide a national information clearinghouse which will develop and disseminate information on the aspects of energy programs to minority business enterprises, and other appropriate minority organizations. Fiscal Year 2018: Plan to provide scholarships, support pre-college Science Technology Engineering and Math (STEM) program and fund Sustainable Campus Community Initiative. Plan to provide support to minority business enterprises, programs to assist minority business enterprises energy-related businesses, provide technical assistance programs to encourage, promote, and assist minority business enterprises in establishing and expanding energy-related business opportunities which are located in minority communities and that can provide jobs to workers in such communities, provide a national information clearinghouse which will develop and disseminate information on the aspects of energy programs to minority business enterprises, and other appropriate minority organizations.

REGULATIONS, GUIDELINES, AND LITERATURE:

10 CFR Part 602, 605, 733; 2 CFR 200, 910; PL95-224; PL106-107; DOE Guide to Financial Assistance.

Regional or Local Office:

None.

Headquarters Office:

Annie Whatley 1000 Independence Ave. SW., Washington, District of Columbia 20585 Email: diversity@hq.doe.gov Phone: 2025868383 Fax: 2025863075

Website Address:

<http://energy.gov/diversity>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

81.138 STATE HEATING OIL AND PROPANE PROGRAM (SHOPP)**FEDERAL AGENCY:**

Department of Energy

AUTHORIZATION:

97-258, 31 U.S.C 6304.

OBJECTIVES:

SHOPP is a joint data collection effort between heating oil and propane consuming States across the United States and the U.S. Department of Energy/U.S. Energy Information Administration (EIA).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

SHOPP provides State and Federal governments, the press, policy makers, consumers, analysts and others with up-to-date information on retail heating fuels prices during the heating season. These funds are to be used only for activities relating to the State Heating Oil and Propane Program. Expenses which qualify as eligible toward the program cost include, but are not limited to, the cost of personnel, fringe benefits, travel, equipment, supplies, and indirect charges related to data collection or the analysis and understanding of market conditions which may impact heating oil and propane prices. This program is also based on a 50-50 cost sharing agreement between the States and EIA, which means that total program costs must be split equally between State and Federal funds.

Applicant Eligibility:

SHOPP is a joint data collection effort between States that consume heating oil and propane for residential heating purposes across the United States and the U.S. Department of Energy/Energy Information Administration (EIA).

Beneficiary Eligibility:

Beneficiaries are States and their agencies that have the resources to conduct the program, and consume heating oil and/or propane for residential purposes.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

An email expressing intent to apply should be sent to the survey manager prior to application for States which are not currently participating in SHOPP. The U.S. EIA may not be able to accommodate all requests for participation. No formal preapplication forms are necessary. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

This award is a five-year cooperative agreement between EIA and the State. Every five years, applicants are required to fill out forms per OMB Circular A-102 and other forms as stated on EIAs website at: http://www.eia.gov/petroleum/heatingoilpropane/faq_stateofficials.cfm.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

NA.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 50%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The assistance is available for expenditures made during the current award year up to 2 years after the end of the current grant year. Although they may be accessed for a time period after the award year, funds for each grant year may only be spent on program activities for that specific year. Method of awarding/releasing assistance: lump sum.

Reports:

Management Reporting Progress Report; Scientific/Technical Report DOE F 241.3; Financial Reporting SF 425. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

89-0216-0-1-276.

Obligations:

(Salaries) FY 16 \$223,575; FY 17 est \$198,507; and FY 18 est \$200,347

Range and Average of Financial Assistance:

FY 2012 - \$180,780, FY 2013 - \$178,142, FY2014 - \$225,417, FY2015 - \$223,575,
\$250,000 is allocated annually for financial assistance to States for SHOPP.

TAFS Codes:

89-0216.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Marcela Rourk 1000 Independence Ave SW, Washington, District of Columbia 20585 Email: Marcela.rourk@eia.gov Phone: 2025864412

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

81.139 ENVIRONMENTAL MANAGEMENT R&D AND VALIDATION TESTING ON HIGH EFFICIENCY PARTICULATE AIR (HEPA) FILTERS

Environmental Management R&D

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

The activity conducted under this award is authorized pursuant to: The Department of Energy Act, Public Law 95-91, 42 U.S.C. 7256, in conjunction with 42 U.S.C. 7112.

OBJECTIVES:

EM R&D and validation Testing provides necessary investments in mid to long range research projects to address radioactive waste treatment and storage safety. These R&DT projects are targeted at high priority technical issues, gaps, operations, or safety concerns to clean up the Nations legacy of defense-related radioactive wastes. The technologies developed in this R&DT program will identify or improve the current waste treatment baseline, develop new or augmented cost-effective technologies or methods, provide advanced technologies/ methods for insertion into new or existing waste treatment facilities/ infrastructures, address known technology gaps, and reduce project technical risk and uncertainty. This meets the Departmental goal to accelerate waste treatment, clean-up, and provide risk reduction to the public. For example, R&DT for High Efficiency Particulate Air (HEPA) filters are needed to improve the performance and validation of currently available HEPA filters in upset conditions (e.g. fires). In addition, R&DT into HEPA filters under various normal and upset test conditions will help determine valuable operational information (i.e., develop suggested controls and operational methods, and identify failure modes) that can be applied at various commercial and Government/military facilities that treat, store, or process nuclear material or waste streams (e.g. commercial nuclear power facilities), or filter out hazardous particulates or dust for commercial microelectronic clean rooms or hospital operations. This research and testing may increase the protective nature of the American Society of Mechanical Engineers (ASME) AG-1 Code (Code on Nuclear Air and Gas Treatment), enhance the ability of professionals to design filters and air filtration systems, and reduce uncertainty in the development of standard operating procedures for facilities involved in nuclear containment ventilation activities. Improving the durability of HEPA filters used in nuclear containment is a key component in protecting the worker and general population in the event of a fire at a nuclear facility, particularly segments of the population near nuclear facilities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial assistance is provided in support of the program objectives. Applicants must meet the guidelines established by DOE.

Applicant Eligibility:

A publicly-owned agency or organization established to perform specialized functions or services for the benefit of all or part of the general public either without charge or at cost, making no profits and having no shareholders to receive dividends. Includes institutions of higher education and hospitals. Determinations are made by DOE EM Headquarters or EM Field Offices, or both.

Beneficiary Eligibility:

The following organizations will significantly benefit from improved, more reliable, and safer advanced HEPA filters: Federal/ State radioactive treatment and/or storage facilities, commercial nuclear power industry, workers in a radioactive environment, industrial, military or medical processes that require very stringent filtering and the general public, particularly landowners or homeowners in proximity to radioactive facilities. Indirect benefits include more accurate known and understood HEPA filter failure mechanisms and limits, margins, and operational constraints, especially in emergency situations such as fire or a seismic event. Indirect benefits include decreased operating costs, and potentially improvements to the American Society of Mechanical Engineering (ASME) AG-1 code, the US national consensus standard for nuclear air handling and treatment systems.

Credentials/Documentation:

Cost will also be determined in accordance with OMB Circular No. A-21 for institutions of higher education or as otherwise defined in the financial assistance instrument. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. A formal proposal by the organization interested doing the work must be submitted through an appropriate official of the corporate entity, organization, or institution. Financial assistance requests will be reviewed pursuant to the Department of Energy Financial Assistance Regulations at 10 CFR 600 and the DOE Merit Review Guide, as amended.

Award Procedure:

Award decision will be made by DOE Headquarters.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The approximate approval/disapproval time is from two months to one year.

Appeals:

N/A.

Renewals:

From 120 to 180 days. From 120 to 180 days. Proposal for renewal are subject to review and acceptance by DOE Headquarters, the field, or both.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length and time phasing of assistance will vary with the program phase and activity. Method of awards/ releasing assistance: awards will be incrementally funded. See the following for information on how assistance is

awarded/released. See the following for information on how assistance is awarded/released: Awards will be incrementally funded.

Reports:

Yes, periodic program and progress reports are required per the Reporting Requirements Checklist attached to the award. No cash reports are required. No cash reports are required. At a minimum, annual progress reports are required. Frequency of progress reports will be determined at time of award or annually thereafter. Expenditure reports will be required. The type and frequency will be determined at time of award. Performance monitoring will be required. The type and frequency will be determined at time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits will be conducted annually or as defined by the size of and the specific award provisions.

Records:

Retention of records will be required in accordance with 10 CFR 600. A grantee is expected to maintain auditable records to substantiate the total costs under the Cooperative Agreement. The grantee is also expected to keep and maintain applicable American Society of Mechanical Engineers (ASME) Nuclear Quality Assurance-1 (NQA-1) and other quality assurance testing and results records as needed.

Account Identification:

89-0251-0-1-250.

Obligations:

(Salaries) FY 16 \$219,500; FY 17 est \$3,500,000; and FY 18 est \$5,500,000 - Subject to funds availability.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

08-9X-251.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Conducted some tests for HEPA filter R&DT objectives and initiated comparison testing of filter type separator vs. separatorless R&DT. Also continued to collect data to understand the use of aged filter in process buildings. Fiscal Year 2017: Continue FY 17 HEPA filter R&DT objectives and begin filter type separator vs. separatorless R&DT. Collecting data to understand the use of aged filter in process buildings. Work on qualification, testing and research to advance the state of the art of containment ventilation systems. Fiscal Year 2018: Expect to continue qualification testing and research to advance the state of the art of containment ventilation systems. Expand testing to additional facilities in DOE complex. Will initiate acceptance testing for filters to be installed in facilities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Linda Suttora 1000 Independence Av. SW., Washington, District of Columbia 20585 Email: Linda.Suttora@em.doe.gov Phone: 301-903-8482

Website Address:

<http://www.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Research must be beneficial to the overall accomplishment of the program

objectives and consistent with the criteria of the EM Merit Review System (i.e., technically meritorious, qualified principal investigators, adequate facilities for conduct of the work, past experience and performance history).

81.140 LOS ALAMOS NATIONAL LABORATORY - FIRE PROTECTION

Los Alamos Fire Department Cooperative Agreement

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

31 USC 6305, 31 U.S.C 6304.

OBJECTIVES:

The general objective of this Cooperative Agreement is to provide financial support for staff, response vehicles, specialized tours and training, and the use of two fire station facilities to the Incorporated County of Los Alamos to allow the County to provide an enhanced level of fire department services, including advanced nuclear facility capable, industrial fire suppression, advanced emergency medical, rescue, hazardous materials response, and other services through its municipal fire department.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Cost effective and efficient management of the delivery of fire department services to LANL, including recruitment, selection, training and promotion of fire department personnel.

Cost effective and efficient management of business aspects of fire department operations, including procurement of services and supplies necessary to perform the agreement, and generating specifications for equipment purchases such as fire department apparatus.

Providing manual fire fighting response and capability.

Providing emergency medical response and capability.

Providing rescue response and capability.

Providing hazardous materials response capability.

Providing fire and safety related non-emergency services as identified in the agreement such as hydrant testing.

Providing fire department standbys at LANL for designated recurring activities, and on an as-needed basis for special hazards.

Maintaining a minimum training capability for fire fighters.

Maintaining certifications for various fire fighter and fire officer levels.

Maintaining emergency and non-emergency procedures associated with the operation of a fire department.

Maintain a fire department response capability that meets NFPA 1500, NFPA 1710 and other applicable NFPA standards to the extent practical for fire department responses to LANL.

Maintain emergency response pre-incident plans for necessary LANL facilities.

Providing agreed upon mutual aid, automatic aid, and outside assistance to the surrounding communities and cities.

Providing other miscellaneous services as defined in the agreement. Fire fighters provided by the County are required to obtain and maintain a DOE security clearance. Fire Department is to follow all LANL security requirements.

Applicant Eligibility:

EMERGENCY PREPAREDNESS: The fire department has an authorized staffing level of 139 fire fighters and officers and 11 civilian support staff. The fire department staff, in addition to being trained as conventional fire fighters responding to fires in residence and businesses, is also trained to respond to fires and other emergencies at nuclear and high hazard facilities. **PLANNING:**

The fire department is responsible for the development of Pre-Incident Plans at necessary LANL buildings and facilities. **PUBLIC WORKS:** The fire department is responsible for hydrant testing at LANL. **TRAINING:** Fire

fighters receive special training prior to engaging in fire fighting or emergency operations at nuclear and high hazard facilities at LANL, not only to ensure their safety, but to ensure that their actions contribute to control of the incident, versus making the incident worse by possibly spreading contamination or taking actions which result in unnecessary damage to mission critical or costly

equipment.

Beneficiary Eligibility:

Los Alamos Fire Department is an agency within Los Alamos County. Los Alamos County is a political subdivision of the State of New Mexico.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Funds awarded via the NNSA Contracts and Procurement Division, Albuquerque Complex, Albuquerque, New Mexico, coordinating with the NNSA Los Alamos Field Office as the Delegated Program Office. The procedural steps at the NNSA are as follows: Contract Specialist (NA-APM-12), Preparation; Contracting Officer (NA-APM-121), Coordination and Review; Patent Counsel, Coordination and Review; Legal Counsel, Coordination and Review; Independent Review (NA-APM-122.1); Head of Contracting Activity (NA-APM-12), Coordination and Review; Contracting Officer (NA-APM-121), and Final Signature.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. The Cooperative Agreement was approved and became effective on 10/01/2013.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 20%. Using a sliding scale implemented over the period of performance, October 1, 2013 through September 30, 2018, the County's cost for providing fire protection within Los Alamos County and LANL will be approximately 20 percent of the overall fire department budget. This program does not have MOE requirements. Federal contribution is under \$100M for the 5-year agreement.

Length and Time Phasing of Assistance:

This Cooperative Agreement is for a 5-year term. The period of performance is October 1, 2013 to September 30, 2018. Method of awarding/releasing assistance: lump sum.

Reports:

Special Status Report; Final Scientific/Technical Report; SF-425 Federal Financial Reports; Patent Certification; SF-428 & 428B Final Property Report; Patent Certification; SF-428 Tangible Personal Property Forms Family; Training Plan; LANL Fire Hydrant Flow Testing; LANL Emergency Response Time Report; and DOE Vehicle Mileage Report. No cash reports are required. Monthly Progress Reports Associated with meeting the expectations of the Cooperative Agreement. As required, to support cost-sharing of the Cooperative Agreement. Oversight of the performance attributes of this Cooperative Agreement is the responsibility of the Los Alamos Field Office.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Los Alamos County includes Cooperative Agreement related transactions in its annual Comprehensive Annual Financial Report, completed June 30th of each year.

Records:

Required by the Cooperative Agreement terms and conditions.

Account Identification:

89-0240-0-1-054 - .

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$17,747,975; FY 17 est \$18,888,391; and FY 18 est \$19,083,838

Range and Average of Financial Assistance:

For the 1st term of the Cooperative Agreement (FY09-FY13), Federal assistance ranged from \$13,978,318 to \$15,731,859 (estimated).

For the new term of the Cooperative Agreement (FY14-FY18), Federal assistance is estimated at \$17,478,712 to \$19,038,838.

TAFS Codes:

89-.-.-0240-.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In summer of 2017, completed training and research into pre-incident planning upgrades. Maintained an independent and fully capable LAFD Hazmat team. Fiscal Year 2017: Continued effecting planning and response to Los Alamos National Laboratory. Fiscal Year 2018: Continued effecting planning and response to Los Alamos National Laboratory.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Cooperative Agreement notes numerous regulations (both Federal, local, and Laboratory) and guidelines associated with the performance expectations of the Recipient.

Regional or Local Office:

See Regional Agency Offices. For any questions or concerns, please contact the Los Alamos Field Office Emergency Program Manager, James Rast.

Headquarters Office:

James E. Rast 3747 W James Rd., Los Alamos, New Mexico 87544 Email: james.rast@nnsa.doe.gov Phone: 5056650838

Website Address:

<http://nnsa.energy.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**81.214 ENVIRONMENTAL MONITORING/CLEANUP, CULTURAL AND RESOURCE MGMT., EMERGENCY RESPONSE RESEARCH, OUTREACH, TECHNICAL ANALYSIS
FEDERAL AGENCY:**

Department of Energy

AUTHORIZATION:

National Historic Preservation Act of 1966 (Public Law 89-665; 16 U.S.C. 470 et seq.). Subsequent amendments to the Act include Public Laws 91-243, 93-54, 94-422, 94-458, 96-199, 96-244, 96-515, 98-483, 99-514, 100-127, 102-575, 103-437, 104-333, 106-113, 106-176, 106-208, 106-355, 109-453.

Archaeological Resources Protection Act of 1979 (Public Law 96-95; 16 U.S.C. 470aa-mm)

Native American Graves Protection And Repatriation Act of 1990 (Public Law 101-601)

Comprehensive Environmental Response, Compensation, and Liability Act (Superfund) of 1980 42 U.S.C. 9601 et seq.

Solid Waste Disposal Act of 1965, (Public Law 102-386; 42 U.S.C 6961), Section 6001

Atomic Energy Act of 1954 as amended, Public law 83-703; Stat. 9191; 42 U.S.C. 2011 et seq; Department of Energy Organization Act, 42 U.S.C. 7101 Public Law 95-91

Emergency Planning and Community Right to Know Act (42 U.S.C. 11001-11050) of 1986 (Title III of Superfund Amendments and

Reauthorization Act (PL 99-499)

Energy Policy Act of 1992

Freedom of Information Act and Privacy Act, 5 U.S.C. 552 and 5 U.S.C. 552a,

Executive Order White House Initiative On Historically Black Colleges and Universities, Executive Order 13532, February 26, 2010

White House Initiative On Education Excellence for Hispanics, Executive Order 13555, October 19, 2010

Federal Actions To Address Environmental Justice In Minority Populations And Low-Income Populations, Executive Order, 12898, February 11, 1994.

OBJECTIVES:

Provides technical and financial assistance to State and local government entities for the conduct of projects/activities to support DOE missions; requirements to manage cultural resources and commitments to provide the public assurances that implemented DOE programs are protective of human health and the environment and educational training and EMs goals to reduce legacy footprint, lifecycle cost and accelerate cleanup and offer research opportunities in science technology, engineering and mathematical (STEM) fields.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Financial assistance is provided in support of the program objectives. Restrictions on the use of funds depend on the provisions of the specific project agreement. Applicants must meet the guidelines established by DOE or contained in the specific Congressional authorization for a particular program being supported.

Applicant Eligibility:

Research and Development
Environmental Restoration.

Beneficiary Eligibility:

State, Local US Territory/Possession, Minority Group, Low Income.

Credentials/Documentation:

Costs will be determined in accordance with 10 CFR 600 for State and local Governments. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular A-102 applies to this program. OMB Circular No. A-11 applies to this program. The application forms must be downloaded from the Grants.gov website at <http://www.grants.gov>. Applicants for sub-awards from the states should consult the office of the official designated as the single point of contact within the State for more information on the process the State requires to be following in applying for assistance.

Award Procedure:

Evaluations will be conducted by the staff of the Office of Acquisition Management and the applicable Program Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. None.

Appeals:

None.

Renewals:

From 90 to 120 days. Renewals are subject to review by the program office and subject to the availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program has no matching requirements. Cost sharing is not required, however, strongly encouraged. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Vary. See the following for information on how assistance is awarded/released: Funds are released through the Electronic Transfer System. Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly reports and technical progress reports containing accomplishments and information on cost status, reported per task is required. Additionally, a final technical report is due 90 days after the end of the performance period. Performance monitoring is achieved through submission of progress reports and site visits. Quarterly project Financial Cash Transaction Reports are required. Quarterly reports and technical progress reports containing accomplishments and information on cost status, reported per task is required. Quarterly Spend Plan
Quarterly project Financial Cash Transaction Reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Retention of records will be required in accordance with 10 CFR 600.

Account Identification:

89-0251-0-1-294.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$501,491,113; FY 17 est \$5,488,457; and FY 18 Estimate Not Available - Subject to funds availability. Includes Savannah River EM funding only.

Range and Average of Financial Assistance:

\$0.00 to \$8,792,019.00. Subject to the availability of funds.

TAFS Codes:

89-0251.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 5,014,911.13. Fiscal Year 2017: Savannah River plans to obligate funds to five CFDA 81.214. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Financial assistance actions will be completed in accordance with 10 CFR 600, 2CFR 200, and all applicable National Policies (Laws and Executive Orders).

Regional or Local Office:

See Regional Agency Offices. Department of Energy, Savannah River Operations Office, P. O. Box A, Aiken, SC 29802.

Headquarters Office:

Patricia E Petty Savannah River Site, Aiken, South Carolina 29802 Email: patricia.petty@srs.gov Phone: 8039526479

Website Address:

<http://www.srs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: State cultural

and resource management

Environmental justice. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection process will be determined by the criteria announced in the solicitation, if any.

81.250 ENERGY POLICY AND SYSTEMS ANALYSIS**FEDERAL AGENCY:**

Department of Energy

AUTHORIZATION:

Department of Energy Organization Act of 1977, as amended, Public Law 95-91 ; Energy Tax Act of 1978, Public Law 95-618 ; Energy Security Act of 1980, Public Law 96-294 ; Energy Independence and Security Act of 2007 (EISA), Public Law 110-140 ; Energy Policy Act of 1992 (EPACT), Public Law 102-486 ; Energy Policy Act of 2005 (EPACT), Public Law 109-58.

OBJECTIVES:

From a state, local, and tribal perspective and through national outreach and coordination, inform the analysis, development, and advancement of energy policy and related programmatic initiatives that could facilitate the transition to a low-carbon and secure energy economy. Enhance intergovernmental coordination and collaboration on key analytical and policy issues. Orchestrate technical assistance and guidance to states and local governments on energy planning and measures.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance will be provided as cooperative agreements to state, local, and/or tribal associations and organizations (non-profits) to inform policy analysis, enhance intergovernmental coordination on key policy issues, and facilitate technical assistance to states, local governments, and/or tribal governments on energy planning.

Applicant Eligibility:

State, local, and/or tribal nonprofit institutions/organizations and "instrumentalities of the states" are eligible.

Beneficiary Eligibility:

State, local, and tribal government officials benefit.

Credentials/Documentation:

2 CFR 200 as amended by 2 CFR 910. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of FAR 15.6.

Award Procedure:

Applications will be evaluated by DOE personnel assigned to the specific area. This program is excluded from coverage under E.O. 12372.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days.

Renewals:

Cooperative agreements may be modified or extended.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Five years. See the following for information on how assistance is awarded/released: Electronic funds transfer.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports required as specified in the cooperative agreement. Typically these reports consist of quarterly progress and a final report at the conclusion of cooperative agreement time period. Expenditure reports required as specified in the cooperative agreement. Typically these reports consist of quarterly expenditure reports and a final report at the conclusion of the cooperative agreement time period. Performance monitoring required as specified in the cooperative agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Other than for-profit recipients are subject to the audit requirements at 2 CFR 200 subpart F.

Records:

Records retention per 2 CFR 200.

Account Identification:

08-9169-7-0-228 - DOE Annual Appropriations.

Obligations:

(Cooperative Agreements) FY 16 Not Available; FY 17 est \$500,000; and FY 18 Estimate Not Available(Exp: EPSA Budget Pending) - FY2016

NCSL: \$137,500

NASEO: \$137,500

NARUC: \$92,500

NGA: \$97,500

FY2017:

NCSL: \$0

NASEO: \$125,000

NARUC: \$75,000

NGA: \$83,850.

Range and Average of Financial Assistance:

\$100,000 to \$1,000,000 per year per award (maximum of 6 awards).

TAFS Codes:

89-0228.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: NASEO: NASEO finalized the white paper deliverable, Technology Based Economic Development: Assessment of States Roles and Opportunities. NASEO launched a webpage dedicated to Energy Technology-based Economic Development and the Innovation Ecosystem: <http://www.naseo.org/technology-transitions>. Held NASEO-EPSA Joint Roundtable at Energy Policy Outlook Conference to discuss electricity policy. Launched Answers to State Questions (ASQ), an online forum for state officials to submit questions that arise as a result of the EPAs Clean Power Plan. 3N Meeting conducted to discuss barriers and options under the EPAs Clean Power Plan Rule. NASEO EPIC staff briefed NASEOs state energy planning lead on the outcomes of the EPIC roundtable, white paper, and continued engagement on the Quadrennial Energy Review. During this discussion, NASEO colleagues discussed potential updates to NASEOs statewide energy planning database to reflect policy items raised over the course of the EPIC project.

NGA: The NGA Center continued to conduct research and update its database on state actions (i.e., legislation, executive orders, and regulations). During this period, NGA Center staff continued to track actions across seven policy categories including energy efficiency, clean electricity and others. This included an update for 2015. NGA Center staff also developed ideas for expanding the categories included in the database.

NGA Completed the policy database with state actions from 2008 - 2015. They continue to work on the state actions for 2016. NGA has submitted their top trends briefing to DOE.

NGA hosted a webinar on State Energy Policy Trends and State Feedback.

NARUC: 3N Clean Power Plan Meeting Held

Conducted jurisdiction baseline meeting in Washington, DC.

Webcasts and conference calls held with NASEO, NCSL, NACAA, AAPCA, ECOS, and NGA.

Held a follow-up meeting in Washington, D.C. on the jurisdiction baseline.

Finalized and performed multistate outreach memo document NARUC had developed with input from seven states. Fiscal Year 2017: NASEO: Under task 3, NASEO hosted a webinar on Energy Workforce Development for NASEO members and key partners, including 18 State Energy Office registrants.

Under task 3, NASEO provided written briefing to EPSA on importance of energy workforce development to advancing State Energy Office energy policy goals and priorities. They also developed expanded workforce-focused workplan for EPSA consideration.

Under task 4, NASEO organized a small group conference call with seven states to discuss data, metrics, and impact tracking associated with comprehensive state energy planning.

NGA: NGA researched a wide array of sources and assessed the major policy and regulatory developments in all states in the target areas.

NCSL: Under task 1.1, NCSL has drafted outlines of the two Energy Water Nexus papers, one on thermoelectric cooling and one on lifecycle water responsibility in oil and gas production. NCSL has participated on a number of phone calls and researched source materials for both papers. NCSL has been working directly with EPSA to revise the draft outline and participated on multiple calls with NASEO to discuss the best approach and share resources. Under task 1.6, NCSL rewrote a comprehensive report examining the current state of nuclear power in the U.S. and what the state of recent nuclear plant closures means for the U.S. energy mix. The report highlights the role that state legislatures play and policy options for states that wish to retain their current nuclear fleet. This report was originally ready for publication in August 2016. At EPSAs request, NCSL held the report until the release of the QER. Given the topic and developing actions related to nuclear power, NCSL rewrote the report with updated information and state examples. Under task 1.7, NCSL answered a number of information requests from state legislators and legislative staff related to nuclear power. In particular, NCSL answered 3 requests asking for a summary of state legislation that had been proposed or enacted by state legislatures that would support the operation of nuclear power plants. Another request asked for information on new nuclear power projects, along with state legislation that supported new nuclear power developments. In addition, several requests asked for detailed information regarding Illinois; S.B. 2814, the Future Energy Jobs Bill, which among other things, provides support to struggling nuclear power plants. Under task 1.1, NCSL has received comments on and revised outlines of the two Energy-Water Nexus papers: Thermoelectric Cooling Water in Oil and Gas Production. NCSL is in the process of researching and drafting the papers. NCSL worked directly with EPSA during outline revisions and participated on calls with NASEO to discuss the best approach and share resources. Under task 1.6, NCSL published the report State Options to Keep Nuclear in the Energy Mix. This report provides extensive background and discussion on issues that have led to the premature closure of existing nuclear power generation and provides information on state policies which support existing nuclear power. The report was published as a PDF online and 1,000 copies were printed as well. In addition, NCSL published a blog to promote the report. Under task 1.7, NCSL answered a number of information requests from state legislators and legislative staff related to nuclear power and testified on the topic. NCSL staff testified on nuclear retention issues and state policy actions before the newly created Pennsylvania

Nuclear Energy Caucus. It is the first bipartisan, bicameral nuclear caucus in the country. Under task 1.8, NCSL continued to update the database on a biweekly basis and has entered and summarized all energy-water nexus related bills as well as nuclear generation bills in all 50 states, commonwealths and territories. They received nearly 650 web page visits per month on average.

NARUC: NARUC hosted a meeting to discuss Emerging Electricity Technologies and a Smart Grid Federal-State Jurisdictional Boundaries and Opportunities for State Action. The meeting addressed two major questions:

1. What are the flash points of federal-state jurisdiction on emerging electricity technologies?
2. How should the National Council on Electricity Policy (NCEP) representing the states address these flash points? What can and should the NCEP do?

NARUC staff initiated a research project under direction from the EPSA office regarding the aftermath of significant rate increases on state commissions. Starting from an assumption that commissioners who oversee large electric rate or bill increases may find themselves out of a job if a set of extenuating circumstances simultaneously occur, NARUC embarked on a series of interviews with current and former commissioners and a data gathering process to:

- (1) build more context around commissioner duration and turnover,
- (2) study the incidence of significant rate or bill increases, and
- (3) define extenuating circumstances such as media scrutiny, political gridlock, additional infrastructure issues, and other characteristics that may ameliorate or amplify the blowback of a rate or bill increase on commissioners. Fiscal Year 2018: Complete initiated projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.
Regional or Local Office:
None.

Headquarters Office:
Kathleen E. Marks 1000 Independence Ave, SW, Washington, District of Columbia 20585 Email: kate.marks@hq.doe.gov Phone: 2025869842

Website Address:
<http://www.energy.gov/epsa/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Determinations for non-competitive financial assistance

Selection is based on an objective merit review, program policy factors, the availability of funds, provisions of appropriation law and reports and as otherwise specified in specific solicitation document(s) at <https://eere-exchange.energy.gov/>.

DEPARTMENT OF EDUCATION

84.002 ADULT EDUCATION - BASIC GRANTS TO STATES

FEDERAL AGENCY:

Office of Career, Technical, and Adult Education, Department of Education

AUTHORIZATION:

Adult Education and Family Literacy Act, (AEFLA), (Title II of the Workforce Innovation and Opportunity Act (WIOA)), 20 U.S.C. 9201 et seq.

OBJECTIVES:

To fund local programs of adult education and literacy services, including workplace literacy services, family literacy services, and English literacy and integrated English literacy-civics education programs. Participation in these programs is limited to adults and out-of-school youths aged 16 and older who do not have a high school diploma or equivalent and who are not enrolled or required to be enrolled in a secondary school under State law. See 20 USC 9202(1).

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

WIOA lists specific performance measures on which States are assessed. These include: demonstrated improvements in literacy skill levels in reading, writing, and speaking the English language, numeracy, problem-solving, English language acquisition and other literacy skills; placement in, retention in, or completion of postsecondary education, training, unsubsidized employment, or career advancement; receipt of a high school diploma or its equivalent; other objective, quantifiable measures, as identified by the State agency. Not more than 12.5 percent of the State allotment may be used for State leadership activities, including professional development; 82.5 percent or more of the State allotment must be used to support local programs and corrections education and other institutionalized individuals. Not more than 16.5 percent of the State allotment may be used for correctional education and other institutionalized individuals; not more than 5 percent or \$85,000, whichever is greater, of the State allotment may be used for State administrative costs. AEFLA reserves a portion of the program's appropriations for English literacy and civics education services to immigrants and other limited English proficient populations. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

Formula grants are made to designated eligible State agencies that under State law are responsible for administering or supervising statewide policy for adult education and literacy, including such entities as State educational agencies (SEAs), postsecondary agencies, or workforce agencies. State agencies must provide direct and equitable access to: local educational agencies; public or private nonprofit agencies; community-based organizations of demonstrated effectiveness; institutions of higher education; volunteer literacy organizations of demonstrated effectiveness; libraries; public housing authorities; nonprofit institutions not described above that have the ability to provide literacy services to adults and families; and consortia of the entities described above.

Beneficiary Eligibility:

Adults and out-of-school youths who are 16 years of age and older, who are not enrolled or required to be enrolled in secondary school under State law, and who lack sufficient mastery of basic educational skills to enable them to function effectively in society or do not have a secondary school diploma or its recognized equivalent, and have not achieved an equivalent level of education, or are unable to speak, read, or write the English language.

Credentials/Documentation:

Certification required. The State plan must include a certification from a State official that all provisions and amendments thereto are consistent with WIOA.

OMB Circular No. A-87: Yes

OMB Circular No. A-21: Yes

OMB Circular No. A-122: Yes. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application: Yes OMB Circular No. A-102 applies to this program: Yes Program is covered under OMB Circular No. A-110: No Each State desiring to receive funds under this program shall submit and have approved a WIOA unified State plan or a WIOA combined State plan.

Award Procedure:

Electronic transfer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

States are afforded an opportunity for hearings.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Formula Requirements: Yes. The formula is established in WIOA section 211. Initial Allotment: Eligible outlying areas receive an initial allotment of \$100,000 and eligible states, including the District of Columbia and Puerto Rico, receive an initial allotment of \$250,000. Matching Requirements: Generally, the remainder is allotted to all eligible agencies on the basis of the ratio of adults and youths age 16 and older who are not enrolled or required to be enrolled in secondary school under State law and who do not hold a high school diploma or equivalent. No agency may receive less than 90 percent of its allotment from the preceding fiscal year. The data source is a special tabulation of American Community Survey (ACS) data by the Bureau of the Census. Matching Requirements: To receive a grant, States, the District of Columbia, and Puerto Rico must provide a nonfederal contribution in an amount equal to 25 percent of the total amount of funds expended for adult education and literacy activities in the State. To receive a grant, eligible outlying agencies must provide a nonfederal contribution in an amount equal to 12 percent of the total amount of funds expended for adult education and literacy activities. The program has a nonsupplanting requirement, and therefore the applicant must use a restricted indirect cost rate, which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer / Indirect Cost Group on 202-708-7770. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds must be obligated by grantees within 27 months of their date of availability. States draw funds as needed under the Electronic Transfer System. See the following for information on how assistance is awarded/released: Contact the program office for this information.

Reports:

Annual Financial and Program Performance Reports in accordance with the provisions in EDGAR, and as approved by OMB. Cash reports are not applicable. Progress reports: Annual Financial and Program Performance Reports in accordance with the provisions in EDGAR as approved by OMB. Expenditure reports: Annual Financial and Program Performance Reports in accordance with the provisions in EDGAR. Performance Monitoring: Annual Financial and Program Performance Reports in accordance with the provisions in EDGAR.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, applies to this program.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years from the date of submission of the final Financial Status Report.

Account Identification:

91-0400-0-1-501.

Obligations:

(Formula Grants) FY 16 \$581,955,000; FY 17 est \$58,955,000,581,955,000;

and FY 18 est \$485,849,000

Range and Average of Financial Assistance:

FY 16: \$100,000-\$92,242,000; \$10,392,000 average.

FY 17: \$100,000-\$93,809,000; \$10,392,000 average.

FY 18: \$100,000-\$78,306,000; \$8,676,000 average.

TAFS Codes:

91-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Parts 462 and 463 of Title 34 of the Code of Federal Regulations.

Regional or Local Office:

None.

Headquarters Office:

Cheryl Keenan 400 Maryland Ave., Washington, District of Columbia 20202

Email: cheryl.keenan@ed.gov Phone: (202) 245-6836.

Website Address:

<http://www2.ed.gov/about/offices/list/ovae/pi/AdultEd/index.html>

RELATED PROGRAMS:

84.191 Adult Education_National Leadership Activities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.004 CIVIL RIGHTS TRAINING AND ADVISORY SERVICES (ALSO KNOWN AS EQUITY ASSISTANCE CENTERS)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Civil Rights Act of 1964, Title IV, as amended.

OBJECTIVES:

To provide technical assistance and training services to school districts and other responsible governmental agencies to cope with educational problems occasioned by race, sex, religion, and national origin desegregation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds support Equity Assistance Centers to provide technical assistance and training, upon request, in the areas of race, sex, religion, and national origin to public school districts and other responsible governmental agencies to promote equitable education opportunities. The centers work in the areas of civil rights and equity. This assistance helps schools and communities ensure that equitable education opportunities are available and accessible for all children.

Applicant Eligibility:

Any private, nonprofit organization or any public agency (other than a State educational agency or school board) may apply.

Beneficiary Eligibility:

Educational personnel and elementary and secondary students in local school districts benefit.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should

consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 3474, 2 CFR 3485, 34 CFR 270, and the Education Department General Education Regulations in 34 CFR 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99 apply to this program. Applications or proposals must be prepared and submitted in accordance with applicable program announcements.

Award Procedure:

After completion of competitive peer review of applications, the Department makes the final decision on the approval and funding of applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30 to 90 Days.

Appeals:

Not Applicable.

Renewals:

Equity assistance centers receive awards for multi-year project periods if they meet the criteria for annual continuation in the regulations. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants will generally be made for a period of 60 months. Funds are appropriated on an annual basis. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Post-award reporting requirements are in accordance with ED General Administrative Regulations for Direct Project and Contract Programs, 34 CFR 75.720 and Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 2 CFR 200.327-200.329. Cash reports are not applicable. Specific progress reporting requirements are included in grant award documents. Specific expenditure reporting requirements are included in grant award documents. Specific performance reporting requirements are included in grant award documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with Section 443 of the General Education Provisions Act, as well as 75.730-732; and 2 CFR 200.333, grantees must maintain records for 3 years.

Account Identification:

91-1000-0-1-501.

Obligations:

(Project Grants) FY 16 \$6,575,000; FY 17 est \$6,575,000; and FY 18 est \$6,563,000

Range and Average of Financial Assistance:

In FY 16, \$1,632,824 average. In FY 17, \$1,636,251 average. In FY 18, \$1,633,251 average.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations applicable to this program are 2 CFR 200, 2 CFR 3474, 2 CFR 3485, 2 CFR 180, 34 CFR 270, and the Education Department General Education Regulations in 34 CFR 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

David Cantrell School Support and Rural Programs, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W. LBJ Bldg., Room 3E204, Washington, District of Columbia 20202 Email: david.cantrell@ed.gov Phone: (202) 453-5990

Website Address:

<http://www.ed.gov/programs/equitycenters/index.html>

RELATED PROGRAMS:

84.165 Magnet Schools Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Funds supported one Equity Assistance Center in each of 4 geographic regions. Fiscal Year 2017: Funds will support one Equity Assistance Center in each of 4 geographic regions. Fiscal Year 2018: Funds will support one Equity Assistance Center in each of 4 geographic regions.

CRITERIA FOR SELECTING PROPOSALS:

New equity assistance center applications are evaluated on the basis of selection criteria from 34 CFR 75.210, addressing significance, quality of project services, quality of project design, quality of project personnel, adequacy of resources, and quality of project evaluation. The highest-ranking application for each geographical service area was selected for an award. The competition was conducted in FY16.

84.007 FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANTS

(FSEOG)

FEDERAL AGENCY:

Office of Federal Student Aid, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 3, 20 U.S.C. 1070b-1070b-3.

OBJECTIVES:

To provide need-based grant aid to eligible undergraduate postsecondary students to help meet educational expenses.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Grants are for undergraduate study, and range from \$100 to \$4,000 per academic year. However, if reasonable study abroad costs exceed the cost of attendance at the home institution, the amount of the grant may exceed the \$4,000 maximum by as much as \$400. A student is eligible to receive a FSEOG for the period of time needed to complete the first undergraduate baccalaureate course of study being pursued by that student. If it awards grants to students in that year an institution is entitled to an administrative cost allowance for an award year of 5 percent of the first \$2,750,000, expended, plus 4 percent of expenditures greater than \$2,750,000 but less than \$5,500,000, plus 3 percent of expenditures in excess of \$5,500,000.

Applicant Eligibility:

Higher education institutions (public, private nonprofit, postsecondary vocational, and proprietary) meeting eligibility requirements may apply.

Beneficiary Eligibility:

Undergraduate students enrolled or accepted for enrollment as regular students;

are maintaining satisfactory academic progress in accordance with the standards and practices of the institution, have financial need, do not owe a refund on a Title IV grant, are not in default on a Title IV loan, file a statement of educational purpose, file a statement of registration compliance (Selective Service) and meet citizen/resident requirements may benefit.

Credentials/Documentation:

Institutions must document their eligibility and students must demonstrate financial need. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Representatives of the appropriate ED Regional Office are available for consultation (see listing of ED Regional Offices in Appendix IV). Students should contact the institution(s) they attend or wish to attend. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Participating institutions submit the Fiscal Operations Report/Application to Participate in Federal Student Financial Aid Programs (ED Form 646-1) which is mailed to institutions of postsecondary education each summer by the Federal Student Aid office. New institutions submit only the application form.

Award Procedure:

The Federal Student Aid office determines final awards and notifies participating institutions. Postsecondary institutions determine individual student awards.

Deadlines:

Oct 01, 2017 Deadlines are specified on the application (usually October 1).

Range of Approval/Disapproval Time:

Notices of tentative awards sent by February 1, and final award notifications sent by April 1.

Appeals:

Not Applicable.

Renewals:

There are annual renewals.

Formula and Matching Requirements:

Statutory Formula: Title IV, Part A, Subpart 3.

Matching Requirements: Funds are allocated to institutions on the basis of the institution's base guarantee and pro rata share and then on demonstrated need for funding. Institutions award funds to students on the basis of financial need as determined by the Federal Need Analysis Methodology specified in statute. This program requires institutional matching funds. The Federal share may not exceed 75 percent unless waived based on objective criteria established in regulations.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are awarded for use by participating institutions for one award year at a time following the year of application. See the following for information on how assistance is awarded/released: Specified in the award document.

Reports:

A single combined Fiscal Operations Report/Application form for Federal Perkins Loans, FSEOG, and FWS (ED 646-1) is submitted by each institution at the close of each award year (June 30). Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Institutions must have a nonfederal

audit done on at least an annual basis at its own expense. Audits are performed at Government expense by the Department of Education's Office of the Inspector General as often as its time schedule will allow or when deemed necessary by the Secretary.

Records:

All records pertaining to the activities of a given fiscal year must be retained for a period of three years following the institution's submission of its Fiscal Operations Report for an award year or until resolution of an applicable audit, whichever is later.

Account Identification:

91-0200-0-1-502.

Obligations:

(Direct Payments for Specified Use) FY 16 \$992,875,000; FY 17 est \$992,875,000; and FY 18 est \$992,875,000

Range and Average of Financial Assistance:

The average award in FY 2016 was \$649. The average award for FY 2017 and FY 2018 is estimated to be \$649.

TAFS Codes:

91-0200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Approximately 1,530,000 recipients were expected. Fiscal Year 2017: Approximately 1,530,000 recipients are expected. Fiscal Year 2018: Approximately 1,530,000 recipients are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 676, Student Guide fact sheet, no charge; Student Financial Aid Handbook, no charge; Notification to Members of Congress, list of grantees, published annually or as required, no charge; Federal Needs Analysis Methodology booklet, no charge; Verification Handbook, no charge; training materials, no charge.

Regional or Local Office:

See Regional Agency Offices. Students should contact the educational institution(s) they attend or plan to attend. Educational institutions should contact the Regional Administrator for Student Financial Assistance in the appropriate ED Regional Office (see Appendix IV of the Catalog).

Headquarters Office:

Federal Student Aid Information Center Federal Student Aid (FSA), Department of Education, P.O. Box 84, Washington, District of Columbia 20044-0084 Phone: (800) 433-3243

Website Address:

<http://ifap.ed.gov>

RELATED PROGRAMS:

64.117 Survivors and Dependents Educational Assistance; 84.031 Higher Education_Institutional Aid; 84.033 Federal Work-Study Program; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.063 Federal Pell Grant Program; 84.066 TRIO_Educational Opportunity Centers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.010 TITLE I GRANTS TO LOCAL EDUCATIONAL AGENCIES

(Title I Basic, Concentration, Targeted and Education Finance Incentive Grants)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title I, Part A, 20 U.S.C 6301 et seq.

OBJECTIVES:

To help local educational agencies (LEAs) improve teaching and learning in

high-poverty schools in particular for children failing, or most at-risk of failing, to meet challenging State academic standards.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Use of funds varies, depending on whether a school is operating a schoolwide program under Section 1114 of the ESEA or a targeted assistance program under Section 1115 of the ESEA. A school with at least a 40 percent poverty rate may choose to operate a schoolwide program under Section 1114, which allows Title I funds to be combined with other Federal, State, and local funds to upgrade the school's overall instructional program in order to raise the achievement of the lowest-achieving students; a school that does not meet the 40 percent poverty threshold may also operate a schoolwide program if it receives a waiver to do so from the State educational agency (SEA). All other participating schools must operate a targeted assistance program, which provides extra instruction to those children failing, or most at risk of failing, to meet challenging State academic standards. Title I funds must be used only to supplement the State and local funds that would, in the absence of Title I funds, be made available for the education of participating students, and not to supplant such funds. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

SEAs including for the Outlying Areas and the Secretary of the Interior. Local educational agencies (LEAs) and Indian tribal schools are subgrantees.

Beneficiary Eligibility:

In a targeted assistance program, children who are failing, or most at risk of failing, to meet challenging State academic standards. In a schoolwide program, all children in the school.

Credentials/Documentation:

2 C.F.R. Part 225 formerly. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. SEAs apply for funds as part of Title I, Part A, State plans submitted to the Department of Education in accordance with Section 1111 of the ESEA or as part of a consolidated State plan submitted under Section 8302 of the ESEA. The plan remains in effect for the duration of the SEA's participation in Title I, Part A, but must be updated to reflect substantive changes. Participating LEAs must file an approved plan with their SEAs.

Award Procedure:

The Department of Education (ED) makes grants through SEAs to LEAs using four statutory formulas based primarily on Census Bureau estimates of the number of children from low-income families in each LEA and State per pupil expenditures.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

If an LEA's plan is disapproved by the SEA, the LEA may appeal to the SEA and then to the Department of Education under Section 432 of the General Education Provisions Act (GEPA).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I, Part A. The statute includes four separate formulas for allocating Title I Grants to LEAs. Under the statute, Basic and Concentration Grants are funded approximately at the 2001 appropriation level. Basic Grants are allocated to almost all LEAs based on each State's per-pupil expenditure for education and the number of school-aged children from low-income families. Concentration Grants are allocated to LEAs having more than 6,500 children from low-income families or a poverty rate of more than 15 percent. In addition, funds appropriated in excess of the fiscal year 2001 level are to be allocated as Targeted Grants, which make higher payments to LEAs with higher numbers or percentages of poor children. The law also includes a separately authorized Education Finance Incentive Grants formula, which incorporates factors designed to measure a State's commitment to provide sufficient education funding, as well as how equitably that funding is distributed across districts. Allocations from all four formulas are combined into a single award to eligible LEAs. Within LEAs, funds are targeted to schools with the greatest percentages of poor children.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details. The maintenance-of-effort requirements for SEAs in section 1125A(e) and for LEAs in section 8521 of the ESEA apply to Title I, Part A.

Length and Time Phasing of Assistance:

Generally, awards to SEAs are made on July 1 and October 1 of the following fiscal year. In addition, funds remain available to SEAs and LEAs for an additional fiscal year for obligation and expenditure under section 421(b) of GEPA, subject to the carryover limitation of section 1127 of the ESEA. See the following for information on how assistance is awarded/released: Contact program office.

Reports:

SEAs submit annual performance reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with section 443(a) of the General Education Provisions Act, SEAs and LEAs must maintain certain program records for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Formula Grants) FY 16 \$14,905,581,800; FY 17 est \$15,458,802,000; and FY 18 est \$14,876,458,000

Range and Average of Financial Assistance:

The range of awards in FY 2016 is \$7,330,628- \$ 1,768,116,556. The average award is \$261,505,579. The estimated range of awards in FY 2017 is \$1,000,000 - \$ 1,831,349,881. The estimated average award is \$266,462,103. The estimated range of awards in FY 2018 is \$8,269,186 - \$1,769,555,590. The estimated average award is \$260,990,491.

TAFS Codes:

91-0900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 200. For Title I guidance and other information, contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Patrick Rooney Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, SW, Washington, District of Columbia
20202 Email: patrick.rooney@ed.gov Phone: (202)453-5514

Website Address:

<http://www.ed.gov/programs/titleiparta/index.html>

RELATED PROGRAMS:

84.011 Migrant Education_State Grant Program; 84.013 Title I Program for Neglected and Delinquent Children; 84.377 School Improvement Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.011 MIGRANT EDUCATION STATE GRANT PROGRAM**FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title I, Part C, 20 U.S.C 6391.

OBJECTIVES:

To assist States in ensuring that migratory children have the opportunity to meet the same challenging State content and performance standards that all children are expected to meet.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Program funds are used to support high-quality and comprehensive educational programs that address the needs of migratory children. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced in 34 CFR Sec. 76.563-76.569.

Applicant Eligibility:

State educational agencies or consortia of State educational agencies.

Beneficiary Eligibility:

Children, ages 0 through 21, of migratory agricultural workers or migratory fishers, including children (i.e. persons age 21 or under) who are migratory agricultural workers or migratory fishers or who are the spouses of migratory agricultural workers or migratory fishers, who have moved across school district lines within the past 36 months to obtain temporary or seasonal employment in agriculture or fishing work.

Credentials/Documentation:

States are responsible for ensuring that information documenting a child's eligibility is recorded on a Certificate of Eligibility. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The State educational agency works closely with local educational agencies, institutions of higher education (IHEs), and public and nonprofit private agencies that serve migratory children. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An SEA is eligible for funding if it submits either a consolidated State application that includes information the Department of Education requires, or a program application that contains descriptions of (1) how, in planning, implementing, and evaluating programs and projects, the State and its local operating agencies will ensure that the

unique educational needs of migratory children, including preschool migratory children and migratory children who have dropped out of school, are identified and addressed through (a) the full range of services that are available for migratory children from appropriate local, State, and Federal educational programs; (b) joint planning among local, State, and Federal educational programs serving migratory children, including language instruction educational programs under part A of title III; (c) the integration of services available under this part with services provided by those other programs; and (d) measurable program objectives and outcomes; (2) a description of how the State will use funds received under this part to promote interstate and intrastate coordination of services for migratory children, including how the State will provide for educational continuity through the timely transfer of pertinent school records, including information on health, when children move from one school to another, whether or not such move occurs during the regular school year, and (3) a description of the States priorities for the use of funds received under this part, and how such priorities relate to the States assessment of needs for services in the State consistent with the State application requirements in ESEA section 1304(b) and the consolidated State plan application template. The program description also must contain assurances that the MEP program statute requires. Contact the program office for more information.

Award Procedure:

The Department awards funds to any SEA whose application the Secretary has approved under Section 1304 of the ESEA (in the MEP program statute) or under section 8302 (consolidated State applications). The Department of Education notifies an approved State educational agency of its award through a Grant Award Notification (GAN).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 90 to 120 days.

Appeals:

SEAs have 45 days to revise and resubmit a disapproved plan and are entitled to a hearing within 30 days of the plans re-submission (ESEA Title VI, Part E, Section 845).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I, Part C. Sec 1303, ESEA. Starting in fiscal year 2017, funds will be allocated through a statutory formula based on each States per-pupil expenditure for education, its average number of eligible migratory children aged 3 through 21 residing within the State in the preceding 3 years, and the number of eligible migrant children aged 3 through 21 who received services in summer or intersession programs provided by the State during the previous year. Under a hold-harmless provision, States are assured at least 90 percent of their previous fiscal years allocations for fiscal years 2017 through 2019.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Awards are for a period of twelve months. Unspent funds may be carried over into the next fiscal year. See the following for information on how assistance is awarded/released: Electronic funds transfer.

Reports:

Each SEA receiving funds is required to submit an annual report that provides information on the number of children identified as eligible for the program, characteristics of children served the services provided, staff, and student performance. These reporting requirements are now contained in the Consolidated State Performance Report required by Title VIII of the ESEA, Section 8303 (b). Cash reports are not applicable. Specific progress reports will be included in the grant documents. Specific expenditure reports will be included in the grant documents. Specific performance monitoring requirements will be included in the grant documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with Part 75 of the Education Department General Administrative Regulations (34 CFR part 75), and the Uniform Administrative Requirements in 2 CFR 200.333, program records must be maintained for 3 years, or longer depending on whether an exception to this rule applies.

Account Identification:

91-0900-0-1-501.

Obligations:

(Formula Grants) FY 16 \$364,751,000; FY 17 est \$364,751,000; and FY 18 est \$364,079,000

Range and Average of Financial Assistance:

The range of awards in FY 2017 was \$0 - \$114,928,248.

TAFS Codes:

91-0900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, applies to this program.

(a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 76, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Lisa Ramirez Office of Migrant Education, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, SW, Washington, District of Columbia 20202-6135 Email: lisa.ramirez@ed.gov Phone: (202) 260-1386

Website Address:

<http://www.ed.gov/programs/mep/index.html>.

RELATED PROGRAMS:

84.141 Migrant Education_High School Equivalency Program; 84.144 Migrant Education_Coordination Program; 84.149 Migrant Education_College Assistance Migrant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.013 TITLE I STATE AGENCY PROGRAM FOR NEGLECTED AND DELINQUENT CHILDREN AND YOUTH

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), Title I, Part D.

OBJECTIVES:

To help provide educational continuity for neglected and delinquent children and youth in State-run institutions for juveniles and in adult correctional

institutions, so that these youth can make successful transitions to school or employment once they are released.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The Title I, Part D, Subpart 1, State Agency Neglected and Delinquent program provides formula grants to State educational agencies (SEAs) that then make subgrants to State agencies. State agencies use funds for supplementary education services to help provide educational continuity for children and youth in State-operated institutions for neglected or delinquent children and youth, in community day programs for neglected or delinquent children and youths, and in adult correctional institutions so that these children and youth can make successful transitions to school or employment once they are released. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

SEAs. State agencies responsible for providing free public education for children and youth (1) in institutions for neglected or delinquent children and youth; (2) attending community day programs for neglected or delinquent children and youth; or (3) in adult correctional institutions may apply to their SEA for subgrants.

Beneficiary Eligibility:

Children and youth in institutions for neglected or delinquent children and youth, in community day programs for neglected or delinquent children and youth, and adult correctional institutions.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An SEA may apply for State Agency Neglected and Delinquent funds as (1) part of its Title I, Part D, State plan submitted to the Department under Section 1414 of the ESEA or (2) as part of a consolidated State plan under Section 8302 of the ESEA. To receive funds, State agencies must apply to the SEA and provide the information and assurances required in Section 1414 of the ESEA.

Award Procedure:

The Department of Education's Office of Elementary and Secondary Education awards grants to State educational agencies on a formula basis, which award subgrants to the State agencies that have submitted applications. Local educational agencies with high numbers or percentages of children and youth residing in locally operated correctional facilities for children and youth may receive subgrants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies with each State.

Appeals:

Not Applicable.

Renewals:

Although State plans are effective for the duration of the current authorization, SEAs are required to update plans to reflect changes. State agencies must update their applications annually to receive funds.

Formula and Matching Requirements:

Statutory Formula: Title I, Part D, Subpart 1. Funds are allocated to States

through a formula based on the number of children in State-operated institutions and per-pupil education expenditures for the State. Each States N and D allocation is generated by child counts in State institutions that provide at least 20 hours of instruction a week from non-Federal funds; adult correctional institutions must provide 15 hours a week.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Generally, awards to States are made from July 1 to September 30 of the following year. Funds remain available to the State for an additional fiscal year for obligation and expenditure. See the following for information on how assistance is awarded/released: Contact the program office for information on how funding is awarded.

Reports:

Each State agency conducting a Title 1, Part D, Subpart 1 program is required to evaluate the program's impact on students served at least once every 3 years. Generally, States report annually on the number of neglected or delinquent students obtaining a secondary school diploma or its recognized equivalent while in the N and D program, the number of neglected or delinquent students earning high school course credits, and the number of long-term neglected or delinquent students who improve mathematics and reading skills as measured through State-approved assessments. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Specific performance monitoring requirements will be included in the grant documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Certain project records must be maintained for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Formula Grants) FY 16 \$47,614,000; FY 17 est \$47,614,000; and FY 18 est \$47,523,000

Range and Average of Financial Assistance:

FY 16: Range: \$55,529-\$1,704,261; Average: \$828,994.
FY 17 est: Range: \$892,254-2,173,008; Average: \$892,763.
FY 18 est: Range \$ 92,114-2,169,696; Average: \$891,012.

TAFS Codes:

91-0900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, applies to this program. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Earl Myers Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave., SW., Rm. 3E244, Washington, District of Columbia 20202 Email: earl.myers@ed.gov Phone: (202) 453-6716

Website Address:

<http://www.ed.gov/programs/titleipartd/index.html>.

RELATED PROGRAMS:

84.010 Title I Grants to Local Educational Agencies; 93.645 Child Welfare Services_State Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Under Subpart 1, State agencies are eligible to receive assistance if they are providing free public education for children and youth who are (1) in institutions for neglected or delinquent children and youth; (2) attending community day programs for neglected or delinquent children and youth; or (3) in adult correctional institutions.

84.015 NATIONAL RESOURCE CENTERS PROGRAM FOR FOREIGN LANGUAGE AND AREA STUDIES OR FOREIGN LANGUAGE AND INTERNATIONAL STUDIES PROGRAM AND FOREIGN LANGUAGE AND AREA STUDIES FELLOWSHIP PROGRAM

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VI, Part A, Section 602.

OBJECTIVES:

Centers: To promote instruction in modern foreign languages and area and international studies that are critical to national needs by supporting the establishment, strengthening and operation of such programs at colleges and universities. Centers may focus on undergraduate training only or may be comprehensive, including undergraduate, graduate, and professional training components. Fellowships: To meet the needs of the United States for experts in modern foreign languages combined with area studies and world affairs by supporting fellowships for undergraduate and graduate study at institutions of higher education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Centers: Funds may be used for instructional costs of language and area and international studies programs, administration, lectures, conferences, library resources and staff, outreach, and travel. Fellowships: Funds may be used for academic year and summer fellowships to institutions of higher education (IHEs) in order to assist undergraduate and graduate students in acquiring foreign language and either area or international studies competencies. A fellowship awarded to an undergraduate student may include an allowance for educational programs in the United States or education programs abroad that meet certain criteria.

Applicant Eligibility:

Centers: U.S. institutions of higher education or consortia of institutions of higher education are eligible to apply. Applying institutions provide evidence of existing resources and institutional commitment to language and area and international studies through a curriculum that provides instruction dealing with a particular country or world area and its languages, or with international studies and modern foreign languages, including the international aspects of professional or other fields of study. Fellowships: Accredited U.S. institutions of higher education or consortia of institutions of higher education offering area or international studies programs combined with language study are eligible to apply for allocations of fellowships.

Beneficiary Eligibility:

Centers: U.S. institutions of higher education or consortia of institutions of higher education will benefit. Fellowships: Undergraduate and graduate students enrolled in funded centers and programs will benefit. Student candidates must be U.S. citizens or nationals or permanent residents training in area or international studies and in modern foreign languages programs that have or are developing performance-based language instruction. Undergraduate students must be engaged in intermediate level study or advanced study of a less commonly taught language. Training must be undertaken through an institution that has an allocation of Foreign Language and Area Studies (FLAS) Fellowships.

Credentials/Documentation:

Contact program office. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Eligible institutions may submit proposals for the funding of centers only, fellowships only, or both when the announcement of a new competition is issued in the Federal Register. Application forms and guidelines for the submission of proposals are available from the Office of the International and Foreign Language Education at the Department of Education.

Award Procedure:

Centers: Final recommendations are made to the Secretary following evaluations from panels of experts that evaluate applications according to published selection criteria. Fellowships: The student should contact his/her sponsoring institution for information concerning application forms, deadlines, and related matters. Institutional proposals are approved or disapproved by the Secretary, following the same procedures as for Center applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. About 120 days.

Appeals:

Not Applicable.

Renewals:

Higher education institutions receiving funds for four year phased program support must submit annual performance reports for the second, third and fourth year for continuation of such support. Students seeking fellowships apply to their institutions for each summer or academic year award period. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Centers: Grants are awarded for project periods of up to 48 months. Payments are made as stipulated in the grant. Renewals are subject to the availability of funds. Fellowships: Academic year and summer fellowship awards to student recipients are made by the institutions on a competitive basis. If summer fellowships are issued, they will be for a summer session in which the equivalent of an academic year's foreign language study is completed. Payments are made by the grantee institution. See the following for information on how assistance is awarded/released: This information is included in the award document.

Reports:

Centers: Centers submit interim and annual performance reports through the International Resource Information System (IRIS). Centers submit a final performance report 90 days after the project end date. Fellowships: FLAS granting institutions report on fellowship award recipients at the beginning and end of each award period. Institutional final reports (awards lists) and budget reports are required annually. Staff monitor grants drawdown using agency financial reports. Cash reports are not applicable. Contact program office. Expenditure reports are not applicable. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit

Requirements. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grant or relating to the accountability for awarded funds must be available upon request: (1) for 3 years after close of the fiscal year in which the grant expired or (2) until the grantee is notified of completion of Federal financial audit, whichever is later. Fellowships: All records bearing on the receipt and expenditure of funds under the program must be available for inspection by the Department: (1) for 3 years after the fiscal year in which grant funds are spent, (2) until audited or for 5 years after grant, or (3) until resolution of any audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$30,343,000; FY 17 est \$30,343,000; and FY 18 est \$0 - For Fellowships. (Project Grants) FY 16 \$22,743,107; FY 17 est \$22,498,104; and FY 18 est \$0 - For Centers.

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 655, 656, and 657, and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Cheryl Gibbs, International and Foreign Language Education, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: Cheryl.Gibbs@ed.gov Phone: (202) 453-5690

Website Address:

<http://www.ed.gov/programs/iegpsnrc/>

RELATED PROGRAMS:

84.016 Undergraduate International Studies and Foreign Language Programs; 84.021 Overseas Programs - Group Projects Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.220 Centers for International Business Education; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

34 CFR 655, 656, and 657 apply to the Centers and Fellowships Programs. Selection criteria for evaluating applications and selecting centers are listed in the Title VI, International and Foreign Language Education Program Regulations in 34 CFR 655.31, 656.21 or 656.22. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register. 34 CFR 657.21 gives the criteria for selection of institutional awards for fellowship allocations. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register.

84.016 UNDERGRADUATE INTERNATIONAL STUDIES AND FOREIGN LANGUAGE PROGRAMS

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VI, Part A, Section 604.

OBJECTIVES:

The Undergraduate International Studies and Foreign Language Program supports awards to institutions of higher education and public and nonprofit private agencies and organizations. The awards assist: (1) institutions of higher education to plan, develop, and carry out a program to strengthen and improve undergraduate instruction in international studies and foreign languages; and (2) associations and organizations to develop projects that will make an especially significant contribution to strengthening and improving undergraduate instruction in international studies and foreign languages.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Each program assisted with Federal funds must primarily enhance the international academic program of the institution. Eligible activities may include but are not limited to: development of a global or international studies program that is interdisciplinary in design; development of a program that focuses on issues or topics, such as international business or international health; development of an area studies program and its corresponding foreign languages; creation of innovative curricula that combine the teaching of international studies with professional and pre-professional studies, such as engineering; research for and development of specialized teaching materials, including language instruction, i.e., business French; establishment of internship opportunities for faculty and students in domestic and overseas settings; and development of study abroad programs. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, which is referenced under 34 CFR 75.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 377-3838.

Applicant Eligibility:

Accredited colleges and universities, and public and nonprofit private agencies and organizations.

Beneficiary Eligibility:

Accredited colleges and universities, and public and nonprofit private agencies and organizations will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. When funds are available for the support of new programs, announcements are issued in the Federal Register. Application forms and guidelines for submission of proposals are available from the Office of International and Foreign Language Education at the Department of Education.

Award Procedure:

Final recommendations are made to the Secretary of Education following advice from a panel of consultants in accordance with the published criteria.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Institutions of higher education and associations and organizations currently receiving funds for two- or three-year phased program support must submit

performance reports for continuation funding according to instructions established by the Department. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The non-Federal share of the cost of the programs assisted may be provided in cash from the private sector corporations or foundations in an amount equal to one-third of the total cost of the programs or may be provided as an in-cash or in-kind contribution from institutional and noninstitutional funds, including State and private sector corporation or foundation contributions, equal to one-half of the total cost of the programs assisted herein. The Secretary may waive or reduce the matching requirement for institutions that are eligible to receive assistance under part A or B of Title III or under Title V of the Higher Education Act of 1965, as amended. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for 12-month periods, subject to renewals of one or two years. Payments are made as stipulated in the grant. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: The information is included in the grant award documents.

Reports:

All programs must provide final reports upon completion of the program. Staff monitor grants drawdown using agency financial reports. Cash reports are not applicable. Reporting on performance measures is required as part of the submittal of the required annual performance report. Expenditure reports are not applicable. Contact program office.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under a grant or relating to the accountability for awarded funds must be available upon request: (1) For 3 years after close of the fiscal year in which contract was liquidated; or (2) until the grantee is notified of completion of Federal financial audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$3,492,716; FY 17 est \$2,862,833; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 655 and 658, and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Tanyelle Richardson, International Education Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: tanyelle.richardson@ed.gov Phone: (202) 453-6391

Website Address:

<http://www.ed.gov/programs/iegpsugisf/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.018 Overseas Programs_Special Bilateral Projects; 84.021 Overseas Programs - Group Projects Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

In addition to evaluation on the basis of criteria found in the Education Division General Administrative Regulations (EDGAR) in 34 CFR 74, 75, 77, and 78 the Secretary will further evaluate applications for Federal support for international studies programs in accordance with the criteria published in 34 CFR 655, 658, and 45 CFR 86872.

84.017 INTERNATIONAL RESEARCH AND STUDIES**FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended (HEA), Title VI, Part A, Section 605.

OBJECTIVES:

To improve foreign language, area, and other international studies training through support of research, studies, development of specialized instructional materials, and the publication of specialized materials developed as a result of research conducted under this program.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

The program supports research and studies that may include but are not limited to: (1) Studies and surveys to determine needs for increased or improved instruction in modern foreign languages, area studies, or other international fields including the demand for foreign language, area, and other international specialists in government, education, and the private sector; (2) research on more effective methods of providing instruction and achieving competency in foreign languages, area studies, or other international fields; (3) research on applying performance tests and standards across all areas of foreign language instruction and classroom use; (4) developing and publishing specialized materials for use in foreign language, area studies, and other international fields or for training foreign language, area, and other international specialists; (5) studies and surveys to assess the use of graduates or programs supported under title VI of the HEA by governmental, educational, and private-sector organizations and other studies assessing the outcomes and effectiveness of supported programs; (6) comparative studies of the effectiveness of strategies to provide international capabilities at institutions of higher education; (7) evaluations of the extent to which programs assisted under title VI of the HEA that address national needs would not otherwise be offered; (8) studies and surveys of the use of technologies in foreign language, area studies, and international studies programs; (9) studies and evaluations of effective practices in the dissemination of international information, materials, research, teaching strategies, and testing techniques throughout the educational community, including elementary and secondary schools; (10) evaluations of the extent to which programs assisted under title VI of the HEA reflect diverse perspectives and a wide range of views and generate debate on world regions and international affairs, as described in the grantees application; (11) systematic collection, analysis, and dissemination of data that contribute to achieving the purposes of title VI, part A of the HEA; and (12) support for programs or activities to make data collected, analyzed, or disseminated under title VI, part A publicly available and easy to understand. Funds awarded under this program may not be used for the training of students and teachers.

Applicant Eligibility:

Public and private agencies, organizations, institutions, and individuals may apply.

Beneficiary Eligibility:

Public and private agencies, organizations, institutions, and individuals will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-122 for Non-Profit Organizations, and OMB Circular No. A-21 for Educational Institutions. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Formal applications should be prepared in response to announcements of mandatory program requirements and closing dates in the Federal Register. Application forms and guidelines for the submission of applications are available from the Office of International and Foreign Language Education at the Department of Education.

Award Procedure:

Applications are reviewed by Department of Education officials and review panels of scholars.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From six to seven months.

Appeals:

Not Applicable.

Renewals:

Projects conducted under a grant may be phased, with the phases following the first phase subject to renewal on a non-competitive basis. Renewals of grants, which were not included in the original negotiations, will be considered in competition with all other new applications and are dependent on the submission of reports that demonstrate that substantial progress has been made. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depends on project, but is generally from 12 to 36 months. Phasing of projects is determined during the negotiation process. See the following for information on how assistance is awarded/released: This information is included in the grant award document.

Reports:

A final report or subject data as established through negotiation. Interim progress reports and a financial report are required. No cash reports are required. Staff monitor grant drawdown using agency financial reports. Expenditure reports are not applicable. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grants or relating to accountability for awarded funds must be available on request: (1) For three years after close of the fiscal year of grant expiration or (2) until the grantee is notified of completion of the Federal audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$712,329; and FY 18 est \$0

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are available at 34 CFR 655, 660, and the Education Department General Administrative Regulations. Contact the program office for information.

Regional or Local Office:

None.

Headquarters Office:

Cheryl Gibbs, International and Foreign Language Education, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: Cheryl.Gibbs@ed.gov Phone: (202) 453-5690

Website Address:

<http://www.ed.gov/programs/iegpsirs/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.018 Overseas Programs_Special Bilateral Projects; 84.021 Overseas Programs - Group Projects Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals is stated in 34 CFR 655.31, 660.31, 660.32, and 660.33. The Secretary will evaluate applications in accordance with the published criteria. An applicant may receive as many as five or fifteen points or as few as zero points under each criterion. (20 U.S.C. 1121-1127, 3063-3065.).

**84.018 OVERSEAS PROGRAMS SPECIAL BILATERAL PROJECTS
FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961, as amended, Section 102(b)(6), Public Law 87-256.

OBJECTIVES:

To increase mutual understanding and knowledge between the people of the United States and those in other countries by offering qualified U.S. educators opportunities to participate in short-term study seminars abroad on topics in the social sciences and the humanities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Program funds provide round-trip economy airfare, room and board, tuition and fees for seminars. Actual locations may vary from year-to-year. No dependents are to accompany awardees participating in seminars.

Applicant Eligibility:

Applicants must (1) be U.S. citizens or have permanent resident status; (2) hold at least a bachelor's degree from an accredited college or university; (3) have at least 3 years full-time in teaching, administering or supervising in the humanities, the social sciences or social studies subjects; (4) be currently employed full-time in teaching, administering or supervising in the aforementioned areas; (5) meet any language requirements if applicable (see the application booklet for details). If selected, awardees must furnish evidence of good health and emotional maturity.

Beneficiary Eligibility:

(1) Undergraduate faculty members of 4 year colleges/universities and 2-year community colleges in the fields of the humanities and social sciences; (2) supervisors and secondary (grades 9 through 12) and elementary and junior high school (grades K through 8) teachers of social studies and humanities; and (3) curriculum development specialists, administrators of local and State education agencies who have direct responsibility for developing curriculum in the subject areas encompassed by the social sciences and the humanities.

Credentials/Documentation:

Applicants' basic data; education and professional preparation; present and previous employment; specific statement of objectives; plans to utilize the knowledge and experience gained from the seminar; involvement in educational/professional/civic groups, associations/organizations; personal traits; honors/awards/publications, etc. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

International Education Graduate Programs Service, Department of Education, publishes an annual announcement of opportunities and conducts open competition to select participants. Binational commissions or foundations or other appropriate agencies receive funding to conduct the seminars. The standard application forms as furnished by the Federal agency and as required by OMB Circular No. A-102 must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible applicants may submit applications for a position in one of the seminars when the announcement of a new competition is made through journals, newsletters, educational associations, individuals, etc.

Award Procedure:

Recommendations are made to the Secretary of Education following advice from a panel of consultants. All recommended selections are subject to review and final approval by the presidentially appointed J. William Fulbright Foreign Scholarship Board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants notified in March of selection for seminars.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

From 4 to 6 weeks. See the following for information on how assistance is awarded/released: The information is included in the award documents.

Reports:

Individual curriculum projects, outreach activities and program evaluations from participants are submitted. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Contact

program office.

Audits:

No audits are required for this program.

Records:

Retained for one year.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$532,300; FY 17 est \$552,300; and FY 18 est \$0

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations. Program announcements and related information are available from the Program Officer for International Education, Department of Education.

Regional or Local Office:

None.

Headquarters Office:

Maria Chang International Education Programs Service, Office of Postsecondary Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: maria.chang@ed.gov Phone: (202) 219-7001

Website Address:

<http://www.ed.gov/programs/iegpssap/>

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.021 Overseas Programs - Group Projects Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact the Program Office for information.

84.021 OVERSEAS PROGRAMS - GROUP PROJECTS ABROAD

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961, as amended, Section 102(b)(6), Public Law 87-256.

OBJECTIVES:

To contribute to the development and improvement of the study of modern foreign languages and area studies in the United States by providing grants to support overseas projects in training, research, and curriculum development in modern foreign languages and area studies for teachers, students, and faculty engaged in a common endeavor.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The grant does not provide funds for project-related expenses within the United States. The grant funds may be used in the country of study for the following: maintenance stipend; round-trip international travel; project-related local travel;

artifacts; books and other teaching materials; rent for instructional facilities; and clerical and professional services. Projects must focus on the humanities, social sciences and languages, and must focus on one or more of the following areas: Africa, East Asia, South Asia, Southeast Asia and the Pacific, the Western Hemisphere (Central and South America, Mexico, and the Caribbean), East Central Europe and Eurasia, and the Near East. Applications that propose projects focused on Canada or Western Europe will not be funded.

Applicant Eligibility:

Institutions of higher education, State departments of education, private nonprofit educational organizations, and a consortium of institutions, departments, and organizations.

Beneficiary Eligibility:

A participant must be: a citizen, or permanent resident of the United States, currently employed full-time in a United States school system, institution of higher education, local education agency or state education agency (not applicable to students), and at least one of the following:

- A teacher in an elementary or secondary school (please see note below);
- A faculty member who teaches modern foreign languages or area studies;
- An experienced education administrator responsible for planning, conducting, or supervising programs in modern foreign languages or area studies at the elementary, secondary, or postsecondary levels;
- A graduate student or junior or senior in an institution of higher education, who is a prospective teacher in the areas of social sciences, humanities and foreign languages. The student should meet the provisions set by his or her local and state education agencies; or
- For the Advanced Overseas Intensive Language Training project, the participating student, other than those planning a teaching career, should be planning to apply their language skills and knowledge of countries vital to the United States national security in fields outside teaching, including government, the professions, or international development.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An institution or organization interested in the program should plan and propose a group project that would significantly improve its program in foreign language and area studies. Specific guidelines for preparation of proposals as published in the Federal Register are available upon request from the International Education Programs Service (IEPS). The institution or organization must give assurance that the individuals it nominates to undertake the projects are appropriately qualified.

Award Procedure:

With the advice of a panel of academic consultants and an assessment of the feasibility and suitability of each proposal by U.S. Embassies and Binational Commissions in the proposed countries of study, the IEPS will make initial selections, and preliminary recommendations of grant recipients to the Secretary. All recommended selections are subject to review and final approval by the presidentially appointed J. William Fulbright Foreign Scholarship Board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

For short-term seminar, curriculum development, and group research or study, projects range from 5 weeks to 12 months; projects are up to 48 months for advanced intensive language training projects. See the following for information on how assistance is awarded/released: The information is included in the grant award document.

Reports:

Interim progress, terminal program, and financial reports from the grantee are required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Audit requirements as described in 34 CFR 74.26 do not pertain to this program. All fiscal records are subject to audit at any time within five years after close of the fiscal year in which expenditures are made.

Records:

Documents bearing on receipt and expenditure of grant funds must be made available for inspection by the Department: (1) For three years after the close of the fiscal year in which expenditures are made if departmental audit has occurred by that time; (2) until audit or for five years following the end of budget period in which grant expired, whichever is later; or (3) until resolution of outstanding audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$32,792,439; FY 17 est \$2,792,439; and FY 18 est \$0

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 664 and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Tanyelle Richardson, International Education Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: tanyelle.richardson@ed.gov Phone: (202) 502-7502

Website Address:

<http://www.ed.gov/programs/iepgspga/>

RELATED PROGRAMS:

19.400 Educational Exchange_Graduate Students; 19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars; 84.016

Undergraduate International Studies and Foreign Language Programs; 84.018 Overseas Programs_Special Bilateral Projects; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for this program are from 34 CFR 664.31 and are as follows: (a) Plan of operation (20 points), (b) quality of key personnel (10 points), (c) budget and cost effectiveness (10 points), (d) evaluation plan (20 points), (e) adequacy of resources (5 points), (f) potential impact of the project on the development of the study of modern foreign languages and area studies in American education (15 points), (g) relevance to the institution's educational goals and its relationship to its program development in modern foreign languages and area studies (5 points), (h) the extent to which direct experience abroad is necessary to achieve the project's objectives and the effectiveness with which relevant host country resources will be utilized (10 points), and (i) the extent to which the proposed project addresses the competitive preference priorities (10 points). Subject to change. Contact program officer for further information.

84.022 OVERSEAS PROGRAMS - DOCTORAL DISSERTATION RESEARCH ABROAD

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961, as amended, Section 102(b)(6), Public Law 87-256.

OBJECTIVES:

To provide opportunities for graduate students to engage in full-time dissertation research abroad in modern foreign language and area studies with the exception of Western Europe. This program is designed to contribute to the development and improvement of the study of modern foreign languages and area studies in the United States.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds will be available to enable doctoral candidates to complete field research for their dissertations. Generally awards will not be available for projects focusing primarily on Western Europe or countries where the United States has no diplomatic representation. Financial provisions include basic stipend computed on the cost-of-living in the country where research is to be conducted; cost of international round trip jet economy air fare for fellow only; baggage allowance, dependents allowance for accompanying dependents based on cost-of-living in host country; project allowance to be utilized for the purchase of expendable materials, services, and supplies, tuition payments to foreign institutions, and local travel expenditures; funds to secure health and accident insurance.

Applicant Eligibility:

Institutions of higher education may apply.

Beneficiary Eligibility:

A candidate for Doctoral Dissertation Research Abroad Fellowship must: (1) be a (a) citizen or national of the United States; or (b) permanent resident of the United States; (2) be a graduate student in good standing at an institution of higher education who, when the fellowship period begins, has been admitted to candidacy in a doctoral degree program in modern foreign languages and area studies at that institution; (3) plan a teaching career in the United States upon graduation; (4) possess adequate skills in the foreign language(s) necessary to carry out the dissertation research project.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

The institutional project director will be responsible for accepting, screening, and forwarding through the Department of Education's Application system to the Advanced Training and Research Team those individual applications which meet the institution's technical and academic criteria and the program's eligibility requirements. Candidates for dissertation research fellowships apply directly to the institutions at which they are enrolled in a Ph.D. program, not to the Department of Education. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Institutions should request application materials from the Advanced Training and Research Team. Students should address requests for application forms institutional project director at the graduate schools at which they are enrolled in a Ph.D. program.

Award Procedure:

The Advanced Training and Research Team will make initial selection and recommendation of fellowship recipients with the advice of a panel of specialists in foreign language and area studies and comments by U.S. embassies and binational commissions in the proposed countries of research. Selections are subject to review and final approval by the presidentially appointed J. William Fulbright Foreign Scholarship Board. The grant covering successful graduate students is made to the applicant, i.e., institutions of higher education.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made for at least 6 but not more than 12 months. See the following for information on how assistance is awarded/released: This information is included in the award document.

Reports:

Fellows: Pre-Fellowship reports through the grantee institution are due no later than 30 days prior to travel to begin research. Final reports through the grantee institution are due no later than 90 days after the termination date of the award. Institutions: fiscal reports. No cash reports are required. No progress reports are required. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

Records bearing on receipt and expenditure of funds to be made available for inspection by the Department for 3 years after close of fiscal year in which expenditures are made if departmental audit has occurred by that time, until audit or for five years following the end of the budget period in which the grant expired (whichever is later) or until resolution of any outstanding audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$3,477,313; FY 17 est \$3,397,151; and FY 18 est \$0

Range and Average of Financial Assistance:

Not available.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Final regulations published in 34 CFR 662, and in the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Dr. Pamela Maimer International Education Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: ddra@ed.gov Phone: (202) 4536891

Website Address:

<http://www.ed.gov/programs/iegpsddrap/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.018 Overseas Programs_Special Bilateral Projects; 84.021 Overseas Programs - Group Projects Abroad; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for this program are from 34 CFR 662.21 and are listed in the following paragraphs. The maximum score for all of the criteria, including the competitive preference priority, is 105 points. The maximum score for each criterion is indicated in parentheses. Quality of proposed project (60 points): In determining the quality of the research project proposed by the applicant, the Secretary considers: (1) The statement of the major hypotheses to be tested or questions to be examined, and the description and justification of the research methods to be used (10 points); (2) the relationship of the research to the literature on the topic and to major theoretical issues in the field, and the project's originality and importance in terms of the concerns of the discipline (10 points); (3) the preliminary research already completed in the United States and overseas or plans for such research prior to going overseas, and the kinds, quality and availability of data for the research in the host country or countries (10 points); (4) the justification for overseas field research and preparations to establish appropriate and sufficient research contacts and affiliations abroad (10 points); (5) the applicant's plans to share the results of the research in progress and a copy of the dissertation with scholars and officials of the host country or countries (10 points); and (6) the guidance and supervision of the dissertation advisor or committee at all stages of the project, including guidance in developing the project, understanding research conditions abroad, and acquainting the applicant with research in the field (10 points). Qualifications of the applicant (40 points): In determining the qualifications of the applicant, the Secretary considers (1) the overall strength of the applicant's graduate academic record (10 points); (2) the extent to which the applicant's academic record demonstrates strength in area studies relevant to the proposed project (10 points); (3) the applicant's proficiency in one or more of the languages (other than English and the applicant's native language) of the country or countries of research, and the specific measures to be taken to overcome any anticipated language barriers (15 points); and (4) the applicant's ability to conduct research

in a foreign cultural context, as evidenced by the applicant's references or previous overseas experience, or both (5 points). Subject to change. Contact program officer for further information.

84.027 SPECIAL EDUCATION GRANTS TO STATES

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part B, Sections 611-618, 20 U.S.C 1411-1418.

OBJECTIVES:

To provide grants to States to assist them in providing special education and related services to all children with disabilities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States must distribute all grant funds that the State does not reserve for administration of the program or other State-level activities to eligible local educational agencies. Funds are used by State and local educational agencies, in accordance with the IDEA, to help provide the special education and related services needed to make a free appropriate public education available to all eligible children and, in some cases, to provide early intervening services.

Applicant Eligibility:

State educational agencies in the 50 States, District of Columbia, Puerto Rico, American Samoa, Commonwealth of the Northern Mariana Islands, Guam and Virgin Islands, the Department of the Interior, and freely associated States. Local educational agencies apply to their State educational agency for subgrants.

Beneficiary Eligibility:

Children with disabilities will benefit.

Credentials/Documentation:

No State or jurisdiction may receive a grant unless the Secretary has approved the annual application and statement of assurances. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States are eligible to receive funds under this program if the State establishes eligibility for funds under Section 612 of Part B of the IDEA. Local education agencies establish eligibility by meeting local application eligibility requirements of Section 613 of Part B of the IDEA.

Award Procedure:

Once the State application has been approved, a grant award is forwarded to the State department of education. Notification of the award is made to Chief State School Officer. States make subgrants to eligible local educational agencies from their initial grant award after setting aside funds for administration and state-level activities.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The time for reviewing the States application is determined by EDGAR (in 34 CFR 76.703) and ranges from 45 to 90 days.

Appeals:

The Department must not take final action to disapprove an application until the State is provided reasonable notice and an opportunity for a hearing. The State must request a hearing no later than 30 days after it receives notice of the proposed final determination that the State is not eligible to receive its award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Statutory citation: IDEA Part B Sec. 611. Funds are allocated among States, including the District of Columbia and Puerto Rico, in accordance with a variety of factors. First, each State is allocated an amount equal to the amount that it received for fiscal year 1999. If the total program appropriation increases over the prior year, 85 percent of the remaining funds are allocated based on the number of children in the general population, between the ages of 3 through 21, in the age range for which the States guarantee a free appropriate public education (FAPE) to children with disabilities. Fifteen percent of the remaining funds are allocated based on the number of children living in poverty, between the ages of 3 through 21, that are in the age range for which the States guarantee FAPE to children with disabilities. The statute also includes several maximum and minimum allocation requirements when the amount available for distribution to States increases. If the amount available for allocation to States remains the same from one year to the next, States receive the same level of funding as in the prior year. If the amount available for allocation to States decreases from the prior year, any amount available for allocation to States above the 1999 level is allocated based on the relative increases in funding that the States received between 1999 and the prior year. If there is a decrease below the amount allocated for 1999, each States allocation is reduced from the 1999 level.

This program has no matching requirements. However, there are non-supplanting and excess cost requirements. MOE requirements for this program apply to both State Educational Agencies and local educational agencies. Contact the program office for further details.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grants are issued each fiscal year. The Federal obligation period is a 15-month period from July 1 through September 30. States and local education agencies have an additional 12-month period to obligate funds they receive. See the following for information on how assistance is awarded/released: Contact the program office for this information.

Reports:

State Performance Plans (SPPs) and Annual Performance Reports (APR) are submitted to the Department of Education from the State departments of education. No cash reports are required. No progress reports are required. 34 CFR 80.40. 34 CFR 80.41.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR for State administered programs (34 CFR 76.730-731). Generally, the State and its subgrantees must retain records related to grant funds and compliance for a period of 3 years from the date the grantee or subgrantee submits its final expenditure report for that funding period. 34 CFR 80.42. Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review.

Account Identification:

91-0300-0-1-501.

Obligations:

(Formula Grants) FY 16 \$11,912,848,000; FY 17 est \$12,002,848,000; and FY 18 est \$12,002,848,000

Range and Average of Financial Assistance:

In FY 2017, regular annual allotments to States, including DC and Puerto Rico, ranged from \$18.9 million to \$1.2 billion, with an average award of \$228 million. Grants to outlying areas ranged from \$4.8 million to \$14 million. The Department of Interior received \$95 million.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are at 34 CFR 300, and 34 CFR 76-77,79,80-82,84-86, and 97-99.

Regional or Local Office:

None.

Headquarters Office:

Gregg Corr Office of Special Education Programs, Department of Education, 400 Maryland Avenue, SW, Washington, District of Columbia 20202 Email: gregg.corr@ed.gov Phone: (202) 245-7309

Website Address:

<http://www.ed.gov/about/offices/list/osep/index.html>.

RELATED PROGRAMS:

84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.323 Special Education - State Personnel Development; 84.324 Research in Special Education; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.328 Special Education_Parent Information Centers; 84.329 Special Education_Studies and Evaluations; 84.373 Special Education_Technical Assistance on State Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

States and other eligible entities qualify for funding based upon applications approved under IDEA, Section 612.

84.031 HIGHER EDUCATION INSTITUTIONAL AID

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended (HEA). Title III, Part A and Part F, HEA, Title V.

OBJECTIVES:

To help eligible colleges and universities to strengthen their management and fiscal operations and to assist such institutions to plan, develop, or implement activities including endowment building to strengthen the academic quality of their institutions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible institutions may apply under Part A, Part B or Part F of Title III of the HEA or Title V of the HEA. Title III Part A includes Strengthening Institutions Program (SIP), American Indian Tribally Controlled Colleges and Universities (TCCUs), Alaska Native and Native Hawaiian serving (ANNH) institutions, Asian American and Native American Pacific Islander-serving Institutions (AANAPISD), Native American-Serving Nontribal Institutions (NASNTI) and Predominantly Black Institutions (PBIs). Title III Part B includes the Historically Black Colleges and Universities (HBCUs) program and the Historically Black Graduate Institutions (HBGIs) program. Title III Part A provides funds to eligible institutions to plan, develop, and implement activities for development of faculty, fund, and administrative management, and improvement of academic programs, acquisition of equipment for use in

strengthening academic programs and institutional management, and joint use of facilities such as libraries, laboratories, and student services. Title III Part B provides funds to undergraduate HBCUs and graduate HBGIs. Funds may be used for purchase, rental, or lease of scientific equipment; construction, maintenance, renovation, improvement in classroom, library and other instructional facilities; support of faculty exchanges and fellowships; academic instruction in disciplines in which African Americans are underrepresented, purchase of library books, periodicals, and other educational materials; tutoring, counseling, and student services; funds and administrative management; acquisition of equipment for use in funds management; endowment funds; and joint use of facilities. Title V Part A, Developing Hispanic Serving Institutions (HSIs) program provides funds to eligible HSIs for activities such as: faculty development; funds and administrative management; development and improvement of academic programs; endowment funds; curriculum development; scientific or laboratory equipment for teaching; construction or renovation of instructional facilities; joint use of facilities; academic tutoring; counseling programs; student support services, purchase of educational materials; articulation agreements; creating facilities for distance learning technologies; teacher education programs; K-12 community outreach programs; and the expansion of graduate and professional programs. Title V Part B, Promoting Postbaccalaureate Opportunities for Hispanic Americans program provides funds to eligible HSIs for activities such as: purchase or rental of scientific or laboratory equipment; construction or renovation of instructional facilities; purchase of educational materials; support for low-income postbaccalaureate students; support of academic instruction and faculty and curricular development; creating facilities for distance learning technologies; and collaboration with other institutions of higher education to expand postbaccalaureate offerings. Title III, Part F, Section 371 authorizes funds for Hispanic-serving Institutions STEM and Articulation Programs to support the kinds of activities being supported under the existing Title V program, except that priority is given for applications that propose to increase the number of Hispanic and other low-income students attaining degrees in fields of science, technology, engineering, and mathematics (STEM) and to applications that propose to develop model transfer and articulation agreements between the 2-year and 4-year HSIs in such fields. Funds provided for Title III and Title V may not be used for activities other than those in an approved application, activities that are inconsistent with any applicable State plan of higher education or State plan for desegregation, a school or department of divinity or any religious worship or sectarian activity; general operating and maintenance expenses; indirect costs; or supplanting of other funds available to the institution. These programs are subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202) 377-3838.

Applicant Eligibility:

An institution of higher education (IHE) that qualifies as eligible using criteria as specified in the regulations. Under Part A, specific and basic requirements as stated in the program regulations must be met. Eligible applicants include institutions that have: (1) A low average per full-time equivalent (FTE) educational and general expenditures; (2) a substantial percentage of students having Pell Grants or other Federal need-based financial aid. However, a waiver of the low educational and general expenditure requirement and the needy student enrollment requirement may be granted to institutions meeting the criteria specified in the existing regulations. Under Part B HBCUs, historically Black institutions that were established prior to 1964, whose principal mission was, and is, the education of African Americans are eligible. A listing of those institutions is published in program regulations; these HBCUs are also eligible under the Endowment Challenge Grant Program. Institutions eligible for PBI program grants are defined in Title III Section 318(b) of the HEA. Institutions eligible for HBGIs program grants are specified in Title III Section 326(e)(1) of the HEA. Under the Title V programs, an HSI is defined as an institution that has an enrollment of undergraduate full-time equivalent students that is at least 25 percent Hispanic as specified in Section 502 of the HEA.

Beneficiary Eligibility:

Applicant institutions of higher education, including those in the territories and possessions that meet statutory eligibility requirements will benefit.

Credentials/Documentation:

Institutions must document that they are accredited by a nationally recognized accrediting agency or that they are making satisfactory progress toward such accreditation. A 4-year institution must have authority to award a bachelor's degree. A 2-year institution must offer a program acceptable for full credit towards a bachelor's degree, or a 2-year program in engineering, mathematics, or the physical or biological sciences designed to prepare the student to work as a technician at a semiprofessional level. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications for funding are available from the Institutional Services office at the Department of Education. Application deadlines are published in the Federal Register.

Award Procedure:

Title III Part A applicants in the SIP, ANNH, AANAPISI, and NASNTI programs and Title V applications are evaluated by external reviewers who are experts in postsecondary education. The Department makes final awards based primarily on the scores assigned to the applications by the external reviewers and the recommendations submitted by the staff of the Institutional Service, Office of Postsecondary Education at the Department of Education.

Title III applicants in the TCCUs, PBIs, HBCUs, and HBGIs programs makes final awards based on a statutory formula.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately six months.

Appeals:

Not Applicable.

Renewals:

Under the SIP, priority is given to applicants who are not already receiving a grant. Institutions that received a 5-year individual development grant are not eligible to receive an additional grant until 2 years after the date on which the 5-year terminates. After fulfilling the wait-out requirement, institutions applying for another grant under the SIP must reapply for eligibility.

Formula and Matching Requirements:

Statutory Formula: Funds are allocated to TCCUs, PBIs, HBCUs, and HBGIs based on a statutory formula. Title III, Part A, Section 316(d); Title III Part A, Section 320; Title III, Part B, Sections 324 and 326(f) of the HEA.

Matching Requirements: Under the SIP, TCCUs, HBCUs, and HSIs, institutions of higher education may use no more than 20 percent of grant funds to establish or increase an institution's endowment fund. The endowment funds must be matched at a rate of one non-Federal dollar for each Federal dollar.

Under the HBGIs program, eligible institutions that have project grants over \$1,000,000 must match the entire Federal share on a dollar-for-dollar basis. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Under the SIP, TCCUs, ANNH, AANAPISI and HSIs, planning grants are for a period of up to 1 year, individual development grants are for a period of 5 years. See the following for information on how assistance is awarded/released: This information is included in the award document.

Reports:

As required by the Special Grant Terms and Conditions. Staff monitor grants drawdown using agency financial reports. No cash reports are required. Progress reports are required. Expenditure reports are not applicable. Reporting

on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Subject to a Federal audit any time during the term of the grant and within a period of 3 years after the termination of Federal support.

Records:

Must be retained for 5 years after the end of the fiscal year during which the expenditures were made or until the grantee is notified that the records are no longer needed.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$63,281,000; FY 17 est \$63,281,000; and FY 18 est \$63,161,000 - Strengthening HBGIs. (Project Grants) FY 16 \$9,671,000; FY 17 est \$9,671,000; and FY 18 est \$9,671,000 - PPOHA. (Project Grants) FY 16 \$27,782,000; FY 17 est \$27,782,000; and FY 18 est \$28,776,000 - Strengthening ANNH. (Project Grants) FY 16 \$3,348,000; FY 17 est \$3,348,000; and FY 18 est \$3,342,000 - Strengthening AANAPISI. (Project Grants) FY 16 \$55,559,000; FY 17 est \$55,529,000; and FY 18 est \$57,547,000 - Strengthening TCCUs. (Project Grants) FY 16 \$86,534,000; FY 17 est \$86,534,000; and FY 18 est \$0 - Strengthening Institutions (Part A). (Project Grants) FY 16 \$323,914,000; FY 17 est \$323,829,000; and FY 18 est \$329,229,000 - Strengthening HBCUs. (Project Grants) FY 16 \$107,795,000; FY 17 est \$107,795,000; and FY 18 est \$107,795,000 - Developing Hispanic-Serving Institutions. (Project Grants) FY 16 \$9,942,000; FY 17 est \$9,942,000; and FY 18 est \$9,923,000 - Strengthening PBIs. (Project Grants) FY 16 \$93,200,000; FY 17 est \$93,100,000; and FY 18 est \$93,100,000 - HSI STEM. (Project Grants) FY 16 \$3,348,000; FY 17 est \$3,348,000; and FY 18 est \$3,342,000 - Strengthening NASNTI.

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 607, 608, 609, 628, the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Ralph Hines Department of Education, Office of Postsecondary Education, Office of Higher Education Programs, Institutional Service, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: ralph.hines@ed.gov Phone: (202)453-7417

Website Address:

<http://www.ed.gov/about/offices/list/ope/ides>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(a) Under Title III and Title V, the Department appoints review panels to provide comments and recommend ratings for the applications. The reviewers

numerically rate each application assigned and provide the Secretary with comments on each. Criteria are published in the Federal Register along with the point value for each criterion. (b) Under TCCUs, HBCUs, HBGIs, and PBIs, funds are allocated based on a statute-driven formula. For Strengthening TCCUs, applicants are limited to tribal colleges and universities, including institutions qualifying for funding under the Tribally Controlled College or University Assistance Act of 1978 or the Navajo Community College Assistance Act of 1978, or cited in section 532 of the Equity in Educational Land Grant Status Act of 1994. To be eligible to receive a grant under the Strengthening HBCUs program, an institution must be designated by the Secretary of Education as a Historically Black College or University. HBGIs receiving discretionary grants are specified in the program statute. To be eligible to receive a grant under the Strengthening PBIs program, an institution must have an enrollment of undergraduate students that is at least 40 percent Black American students; that is at least 1,000 undergraduate students of which not less than 50 percent of the undergraduate students enrolled at the institution are low-income individuals or first-generation college students (as that term is defined in Section 402A(g)); and of which not less than 50 percent of the undergraduate students are enrolled in an educational program leading to a bachelors or associates degree that the institution is licensed to award by the State in which the institution is located.

84.033 FEDERAL WORK-STUDY PROGRAM

FEDERAL AGENCY:

Office of Federal Student Aid, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part C; 42 U.S.C. 2751-2756a.

OBJECTIVES:

To provide part-time employment to eligible postsecondary students to help meet educational expenses and encourage students receiving program assistance to participate in community service activities.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The Federal share of compensation paid to students does not exceed 75 percent for work on campus, or work in a public agency or private nonprofit organization, unless waived by the Secretary. The Federal share of compensation paid to students does not exceed 50 percent for jobs with a private-for-profit organization. No more than 25 percent of an institution's work-study allocation may be used for private-for-profit organizations. If it provides FWS employment to its students in that year, an institution is entitled to an administrative cost allowance for an award year of 5 percent of the first \$2,750,000 of its program expenditures, plus up to 4 percent for expenditures greater than \$2,750,000 but less than \$5,500,000, plus up to 3 percent of expenditures in excess of \$5,500,000. An institution is required to use at least 7 percent of its total FWS allocation to pay the Federal share of wages to students employed in community service jobs unless it receives a waiver.

Applicant Eligibility:

Higher education institutions (public, private nonprofit, postsecondary vocational, and proprietary) meeting eligibility requirements may apply.

Beneficiary Eligibility:

Undergraduate, graduate, or professional students enrolled or accepted for enrollment as regular students; are maintaining satisfactory academic progress in accordance with the standards and practices of the institution; have financial need; do not owe a refund on a Title IV grant; are not in default on a Title IV loan; file a statement of educational purpose; file a statement of registration compliance (Selective Service); and meet citizen/resident requirements may benefit.

Credentials/Documentation:

Institutions must document their eligibility and students must demonstrate financial need. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Representatives of the appropriate ED Regional Office are available for consultation. See listing of ED Regional Offices in Appendix IV of the Catalog. Students should contact the institution(s) they attend or plan to attend. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Participating institutions submit a Fiscal Operations Report/Application to Participate in Federal Student Financial Aid programs (ED Form 646-1) which is mailed to institutions of postsecondary education each summer by the Office of Federal Student Aid. New institutions submit only the application form.

Award Procedure:

The Office of Student Financial Aid determines final awards and notifies participating institutions. Postsecondary institutions determine individual student awards.

Deadlines:

Oct 01, 2017: Deadlines are specified on the application (usually October 1).

Range of Approval/Disapproval Time:

Notices of tentative awards sent by February 1 and final award notifications sent by April 1.

Appeals:

Not Applicable.

Renewals:

Annual.

Formula and Matching Requirements:

Statutory Formula: Title IV, Part C.

Matching Requirements: Under the funding formula, funds are distributed to institutions, first, on the basis of the institution's base guarantee plus the pro rata share received during the 1999-2000 award year under the FWS Program and, then, on the basis of the aggregate need of the eligible students in attendance. Employers of FWS recipients must contribute 25 percent of the funding, (except in the case of private, for-profit organizations, which must match 50 percent) and in the case of established criteria for which the matching requirement is waived.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are awarded for use by participating institutions for one award year at a time following the year of application. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

A single combined Fiscal Operations Report/Application form for Federal Perkins Loans, FSEOG, and FWS (DE 646-1) is submitted by each institution at the close of each award year (June 30). Cash reports are not applicable. Progress reports are not applicable. A single combined Fiscal Operations Report/Application form for Federal Perkins Loans, FSEOG, and FWS (DE 646-1) is submitted by each institution at the close of each award year (June 30). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Institutions must have a nonfederal audit done on at least an annual basis at its own expense. Audits may also be performed at Government expense by the Department of Education's Office of the Inspector General as often as its time schedule will allow or when deemed necessary by the Secretary.

Records:

All records pertaining to activities of a given fiscal year must be retained for a period of 3 years following the institution's submission of its Fiscal Operations

Report for an award year or until final resolution of an applicable audit, whichever is later.

Account Identification:

91-0199-0-1-502; 91-0200-0-1-502.

Obligations:

(Direct Payments for Specified Use) FY 16 \$1,096,080,000; FY 17 est \$1,096,080,000; and FY 18 est \$1,096,080,000

Range and Average of Financial Assistance:

The average award in FY 2016 was \$1,726. The average award in FY 2017 and FY 2018 is estimated at \$1,726.

TAFS Codes:

91-0200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Approximately 635,000 recipients were expected. Fiscal Year 2017: Approximately 635,000 recipients are expected. Fiscal Year 2018: Approximately 635,000 recipients are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 675. Notification to Members of Congress, list of grantees, published annually or as required, no charge; Student Guide, no charge; Federal Student Aid Handbook, no charge; Federal Needs Analysis Methodology, no charge; Verification Handbook, no charge; Training Materials, no charge.

Regional or Local Office:

See Regional Agency Offices. Students should contact the educational institution(s) they attend or plan to attend. Public and private nonprofit organizations should contact grantee educational institutions in their own State or multi-State areas. Educational institutions should contact the Regional Administrator for Student Financial Assistance in the appropriate ED Regional Office (see Appendix IV of the Catalog).

Headquarters Office:

Federal Student Aid Information Center, Federal Student Aid (FSA), Department of Education, P.O. Box 84, Washington, District of Columbia 20044-0084 Phone: (800) 433-3243.

Website Address:

<http://www.ifap.ed.gov>

RELATED PROGRAMS:

84.007 Federal Supplemental Educational Opportunity Grants; 84.031 Higher Education Institutional Aid; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.063 Federal Pell Grant Program; 84.066 TRIO_Educational Opportunity Centers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.040 IMPACT AID FACILITIES MAINTENANCE

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title VIII, Section 7008.

OBJECTIVES:

To maintain school facilities used to serve federally connected military dependent students that are owned by the Department of Education and operated by local educational agencies (LEAs), and to transfer those facilities to the LEAs, where appropriate.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To maintain federally owned school facilities and restore or improve them where appropriate to enable an LEA to accept ownership. The Department

directly oversees construction projects, unless the LEA has agreed to accept transfer of the facility, in which case funds may be provided to the LEA to complete the project after the transfer.

Applicant Eligibility:

LEAs that operate school facilities owned by the Department of Education.

Beneficiary Eligibility:

Public elementary and secondary school children benefit.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. There is no application form for Section 8008 grants. Contact the program office for information.

Award Procedure:

The Department of Education makes final decisions to fund projects.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Contact the program office for this information.

Appeals:

Not Applicable.

Renewals:

Approved construction projects may be revised during construction, subject to appropriate approvals.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length and terms of an award will be established prior to the award. See the following for information on how assistance is awarded/released: This information is included in the award document.

Reports:

In accordance with OMB Circular No. A-102 (Attachments H and I) and Education Department General Administrative Regulations (EDGAR) for direct project grant and contract programs, Part 100a, Subpart F. See 34 CFR 74, Subpart H, Standards for Grantees Financial Management Systems. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with OMB Circular No. A-102 (Attachment C) and Education Department General Administrative Regulations, for direct project grant and contract programs, Part 100a, Subpart F. See 34 CFR 74, Subpart H, Standards for Grantees Financial Management Systems.

Account Identification:

91-0102-0-1-501.

Obligations:

(Project Grants) FY 16 \$4,835,000; FY 17 est \$4,835,000; and FY 18 est \$4,826,000

Range and Average of Financial Assistance:

Not available.

TAFS Codes:

91-0102.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published at 34 CFR 222.

Regional or Local Office:

None.

Headquarters Office:

Marilyn Hall Department of Education, OESE Impact Aid Programs, 400 Maryland Ave., S.W., Room, 3C133, Washington, District of Columbia 20202
Email: marilyn.hall@ed.gov Phone: (202) 260-3858

Website Address:

<http://www.ed.gov/about/offices/list/oease/impactaid/index.html>.

RELATED PROGRAMS:

84.041 Impact Aid

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Emergency repairs are funded as needed. Priority for more extensive projects is given to LEAs that agree to accept transfer of the school facility.

84.041 IMPACT AID**FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act (ESEA), as amended, Title VII.

OBJECTIVES:

To provide financial assistance to local educational agencies (LEAs) where affected by Federal activities, i.e., where the tax base of a district is reduced through the Federal acquisition of real property (Section 7002, ESEA), and where the presence of certain children living on Federal property places a financial burden on the LEAs that educate them (Sections 7003 and 7007, ESEA).

TYPES OF ASSISTANCE:

FORMULA GRANTS; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Under formula awards, most assistance becomes part of the general operating fund of the LEAs. Districts usually deposit the Federal payment in the general operating funds account in accordance with State laws, together with all State and local funds available for current operating expenses and use this combined account to finance the school program in the district. Special additional payments made to LEAs related to enrollments of federally connected children with disabilities must be used for programs and projects designed to meet the special educational and related needs of these children. Payments for construction may be used for construction and renovation, debt service, or other capital fund activities. Under discretionary construction awards, funds must be used for the project grant described in the application and grant award notification. The discretionary construction grant program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

Local educational agencies that provide free public elementary or secondary

education may apply. Under Section 7002, generally, assistance is provided to districts where an aggregate of 10 percent or more of the assessed valuation of all real property in the school district as of the time(s) of acquisition has been acquired by the Federal Government since 1938 and the district is not being substantially compensated by revenue from activities on the eligible Federal property. Under Section 7003, assistance is provided to districts where at least three percent or 400 of the total number of eligible federally connected children in average daily attendance (ADA) live on Federal property including Indian lands and/or have a parent who works on Federal property or is on active duty in the uniformed services. Under Section 7007(b), "heavily impacted" LEAs that enroll a high proportion (at least 40 percent) of federally connected children, or have little or no funding capacity, may be eligible for a competitive construction award.

Beneficiary Eligibility:

Public elementary and secondary school children benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications are submitted to the Secretary of Education and a copy is sent to the State educational agency (SEA). Applications become available on the Department's e-Grants web site annually, typically in mid November. Applications must show the membership of federally connected children on the survey date chosen by the school district within the period prescribed by the Secretary.

Award Procedure:

Funds are generally made available when an appropriation is made.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact the Program Office for this information.

Appeals:

An administrative law hearing is available for any LEA or State adversely affected by any action of the Secretary. The hearing must be requested under the time frame included under the program regulations.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title VII, Sections 7002, 7003, and 7007(a).
Matching Requirements: Under Section 7002, payments are determined under a statutory formula that considers the assessed valuation of the property acquired by the Federal government and the LEA's local tax rate for current expenditures. Under Section 7003, payments are determined under a statutory formula that considers the average daily attendance of federally connected students for the previous year, the per-pupil expenditure average from the third preceding year, the weight in the statute attached to certain categories of federally connected students, the total current expenditures of the LEA, and the total membership of the LEA. Under Section 7007(a), payments are determined under a statutory formula that considers the average daily attendance of children living on Indian lands and dependents of the military living on and off base. Under Section 7007(b), payments are awarded on a competitive basis to certain eligible LEAs that receive Impact Aid.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Payments are made annually to eligible LEAs. See the following for

information on how assistance is awarded/released: This information is included in award documents.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The program staff conducts mail-in or on-site reviews to verify application data and collect additional required information.

Records:

In accordance with the General Education Provision Act and program regulations, an LEA generally must retain records supporting its payment amount for 3 years after the last payment for a fiscal year.

Account Identification:

91-0102-0-1-501.

Obligations:

(Formula Grants) FY 16 \$1,233,955,000; FY 17 est \$1,237,549,000; and FY 18 est \$1,214,236,000 - This is specific to Section 7003 & 7007(a). (Formula Grants) FY 16 \$66,813,000; FY 17 est \$66,813,000; and FY 18 est \$66,813,000 - This is specific to Section 7002, Federal Property Payments. (Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$17,406,000; and FY 18 est \$17,373,000 - This is specific to Section 7007(b).

Range and Average of Financial Assistance:

For FY 2017, the estimated range of awards for Basic Support payments is expected to be between \$150-\$56,000,000 with an average award of \$1,100,000; for Payments for Children With Disabilities, the estimated range of awards is expected to be between \$500-\$1,200,000 with an average award of \$57,000.

TAFS Codes:

91-0102.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are included in 34 CFR 222.

Regional or Local Office:

None.

Headquarters Office:

Alfred Lott Department of Education, OESE Impact Aid Programs, 400 Maryland Ave., SW, Rm. 3E105, Washington, District of Columbia 20202
Email: impact.aid@ed.gov Phone: (202) 260-3858

Website Address:

<http://www.ed.gov/about/offices/list/oease/impactaid/index.html>.

RELATED PROGRAMS:

84.040 Impact Aid_Facilities Maintenance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Payments are computed on the basis of the statutory formula. Payments are prorated if appropriations are insufficient.

84.042 TRIO STUDENT SUPPORT SERVICES

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1, Section 402D.

OBJECTIVES:

To provide supportive services to disadvantaged college students to enhance their potential for successfully completing the postsecondary education programs in which they are enrolled and increase their transfer rates from 2-year to 4-year institutions. To foster an institutional climate supportive of the success of disadvantaged college students.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All projects must provide academic tutoring, advice on postsecondary course selection, financial aid counseling, services to improve financial and economic literacy, assistance in applying for graduate and professional programs, and activities to help students in 2-year institutions enroll in 4-year programs. Projects may also provide personal and career counseling; exposure to cultural events; mentoring; services to secure temporary housing during academic breaks for students who are homeless; activities for students with disabilities or limited English proficiency, homeless students, and students in foster care; and grant aid (not to exceed 20 percent of a project's funds).

Applicant Eligibility:

Institutions of higher education and combinations of institutions of higher education may apply.

Beneficiary Eligibility:

Low-income, first generation college students or disabled students who are enrolled or accepted for enrollment at the institution that is the recipient of the grant and who are in need of academic support in order to successfully pursue a program of postsecondary education. At least two-thirds of the project participants must be disabled or must be low-income individuals who are first generation college students. The remaining participants must be disabled, low-income individuals, or first generation college students. One-third of the disabled participants must be low-income. Required low-income criteria for participants are stated in application materials.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Develop a proposal in accordance with regulations, applications, and timetables issued by the Secretary of Education; no State Plan is required. Requests for program applications should be made to the Student Service division at the Office of Postsecondary Education. This program is subject to the provisions of EDGAR.

Award Procedure:

Selected nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in 34 CFR 646.21 and 646.22. Grants are awarded through the Federal TRIO Programs office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 to 180 days.

Appeals:

Yes. The program statute authorizes a second review of unsuccessful applications. The Department implements the second review process in accordance with the process specified in 34 CFR 646.24. An unsuccessful

applicant requesting a second review must provide evidence that the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

The grant period is 5 years. Continuation awards are approved on an annual basis; subject to the availability of funds and evidence that the grantee is meeting the conditions of the grant and making substantial progress towards meeting the project objectives.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Any grantee that uses funds for student grant aid must match the funds, in cash, from non-Federal funds, in an amount that is not less than 33 percent of the total amount of student grant aid funds, unless the grantee is eligible for a waiver to the match.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Five year projects, with annual continuation awards. Continuation awards are subject to the availability of funds and satisfactory progress by the grantee. See the following for information on how assistance is awarded/released: Contact the program office.

Reports:

Performance reports are required on an annual basis. No cash reports are required. Progress reports are required for a project in its first year of funding. Staff monitor grant drawdown using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with Education Department General Administrative Regulations, 34 CFR 75.702 and 74.61.

Records:

In accordance with Education Department General Administrative Regulations, 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$328,291,000; FY 17 est \$284,514,000; and FY 18 est \$298,329,000

Range and Average of Financial Assistance:

Awards in 2016 ranged from \$107,624, to \$1,428,869; the average award was \$305,956.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, 34 CFR 646 and Education Department General Administrative Regulations (EDGAR).

Regional or Local Office:

None.

Headquarters Office:

Lavelle Wright Department of Education, Office of Postsecondary Education, Federal TRIO Programs, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: lavelle.wright@ed.gov Phone: (202) 502-7674

Website Address:

<http://www.ed.gov/programs/triostudsuppl>

RELATED PROGRAMS:

84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.066 TRIO_Educational Opportunity Centers; 84.103 TRIO Staff Training Program; 84.217 TRIO_McNair Post-Baccalaureate Achievement

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Continuation awards are made on the basis of 34 CFR 75.253. For new awards, applicants will be selected under this part on the basis of the criteria set forth in 34 CFR 646.21 and 646.22. Contact the program office for additional information.

84.044 TRIO TALENT SEARCH

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1, Section 402B, 20 U.S.C. 1070a-12., 20 U.S.C 1070a-12.

OBJECTIVES:

To identify qualified disadvantaged youths with potential for postsecondary education; to encourage them in completing secondary school and in enrolling in programs of postsecondary education; to publicize the availability of, and facilitate the application for, student financial aid; and to increase the number of secondary and postsecondary school dropouts who reenter and complete an educational program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are awarded to institutions of higher education, public and private agencies and organizations, combinations of such entities, and secondary schools, to identify qualified disadvantaged youths with potential for postsecondary education, encourage them to complete secondary school and undertake postsecondary educational training, and publicize existing forms of student aid. Projects must provide connections to academic tutoring services, advice on and assistance in selecting secondary and college courses, assistance in preparing for college entrance exams and in completing college applications, information on student financial aid and assistance in completing financial aid applications, connections to services designed to improve financial and economic literacy, and guidance and assistance in re-entering and completing secondary school. Projects may also provide academic tutoring personal and career counseling; information on career options, exposure to college campuses, workshops and counseling for families of students served, mentoring programs involving school teachers and counselors; and services specially designed for students with disabilities or limited English proficiency, homeless children and youth, and students in foster care. A grantee may not use the project as a part of its recruitment program.

Applicant Eligibility:

Institutions of higher education (IHEs), public and private agencies and organizations including community-based organizations with experience in serving disadvantaged youth, combinations of such institutions, agencies and organizations, and as appropriate to the purposes of the program, secondary schools.

Beneficiary Eligibility:

Individuals residing in the target area or attending a target school who have potential for education at the postsecondary level and who can benefit from one or more of the services provided by the project. Two-thirds must be low-income individuals who are also potential first generation college students. Project participants must be between 11 and 27 years old (exceptions allowed). Required low-income criteria for participants are stated in application materials.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Develop a proposal in accordance with regulations, applications, and timetables issued by the Secretary of Education; no State plan is required. Requests for program applications should be made to the Federal TRIO Programs. This program is subject to the provisions of the Education Department General Administrative Regulations (EDGAR).

Award Procedure:

Selected nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in 34 CFR 643.21 and 34 CFR 643.22. New regulations are being developed. Consult the program office for details. Grantees will be selected on the basis of satisfactory proposals and availability of funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 90 to 180 days.

Appeals:

The program statute authorizes a second review of unsuccessful applications. The Department implements the second review process in accordance with the process specified in 34 CFR 643.24. An unsuccessful applicant requesting a second review must provide evidence that the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

Awards are for five year project periods. Continuation awards are approved on an annual basis, subject to the availability of funds and the grantee meeting the conditions of the grant and making substantial progress toward meeting the project objectives.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. The statute establishes a minimum grant amount. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Five year projects, annual awards. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit an annual performance report. No cash reports are required. Progress reports (form ED 524B) are required for a project in its first year of funding. Staff monitor grantees' drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the Education Department General Administrative Regulations (EDGAR), 34 CFR (74.26, 75.702, 75.910, 74.61.)

Records:

In accordance with EDGAR 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$150,354,000; FY 17 est \$152,080,000; and FY 18 est \$148,594,000

Range and Average of Financial Assistance:

Varies by competition. In FY 16, awards ranged from approximately \$23,008 to \$891,719; the average award was \$308,102. The statutory minimum is \$200,000, unless the applicant requests a smaller amount.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 34 Code of Federal Regulations Part 643
Education Department General Administrative Regulations (EDGAR), 34 CFR Parts 74, 75, 77, 79, 82, 84, 85, and 86.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Craig Pooler Student Service Division, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: craig.pooler@ed.gov Phone: (202) 502-7640

Website Address:

<http://www.ed.gov/programs/trioalent/>

RELATED PROGRAMS:

84.042 TRIO_Student Support Services; 84.047 TRIO_Upward Bound; 84.066 TRIO_Educational Opportunity Centers; 84.103 TRIO Staff Training Program; 84.217 TRIO_McNair Post-Baccalaureate Achievement

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For new awards, the Department will select applicants under the criteria set forth in 643.21 and 643.22 of the program regulations. Contact the program office for additional information.

84.047 TRIO UPWARD BOUND**FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Subpart 2, Title IV, Part A, Section 402C, 20 U.S.C 1070a-13.

OBJECTIVES:

To generate skills and motivation necessary for success in education beyond high school among low-income and potential first-generation college students and veterans. The goal of the program is to increase the academic performance and motivational levels of eligible enrollees so that such persons may complete secondary school and successfully pursue and complete a postsecondary educational program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Projects must provide academic tutoring services, advice on and assistance in selecting secondary and college courses, assistance in preparing for college entrance exams and in completing college applications, information on student financial aid and assistance in completing financial aid applications, services designed to improve financial and economic literacy, and guidance and assistance in re-entering and completing secondary school. Projects may also provide exposure to cultural events, academic programs and other activities not usually available to disadvantaged youth; personal and career counseling;

information on career options; summer college campus residential programs; services specially designed for students with disabilities or limited English proficiency, homeless children and youth, and students in foster care; and to pay stipends to students, not to exceed \$40 a month during the academic year and \$60 a month during the summer. A grantee may not use the project as part of its recruitment program. Separate projects provide special services for veterans and for students with a special interest in math and science.

Applicant Eligibility:

Institutions of higher education, public and private agencies and organizations including community-based organizations with experience in serving disadvantaged youth, combinations of such institutions, agencies and organizations, and as appropriate to the purposes of the program, secondary schools.

Beneficiary Eligibility:

Low-income individuals and potential first generation college students who have a need for academic support in order to successfully pursue a program of postsecondary education. Two-thirds of the participants must be low-income individuals who are also potential first generation college students. The remaining one-third must be either low-income, first-generation college students, or students who have a high risk for academic failure. Required low-income criteria are stated in application materials. Except for veterans, who can be served regardless of age, project participants must be between 13 and 19 years old and have completed the eighth grade but have not entered the twelfth grade (exceptions allowed).

Credentials/Documentation:

Costs will be determined in accordance with the Education Department General Administrative Regulations (EDGAR). OMB Circular No. A-21 applies to this program. OMB Circular No. A-122 applies to this program (non-profit organization). This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Develop a proposal in accordance with regulations, application and timetables issued by the Secretary of Education. No State plan is required. Requests for program applications should be made to the Federal TRIO Programs, College and University Preparation and Support Team. This program is subject to the Education Department General Administrative Regulations (EDGAR).

Award Procedure:

Selected nonfederal field readers who are not employees of the Federal Government evaluate new proposals on the basis of the selection criteria specified in 34 CFR 645.31 and 34 CFR 645.32. Grantees will be selected on the basis of rank order of total scores and availability of funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

The program statute requires a second review of unsuccessful applications. The Department implements the second review process in accordance with the process specified in 34 CFR 645.35. An unsuccessful applicant requesting a second review must provide evidence that the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

Continuation awards for up to 5 years approved on an annual basis; subject to

the availability of funds and the grantee is meeting the conditions of the grant and making substantial progress toward meeting the project objectives.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The statute establishes a minimum grant amount.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Five year projects; annual awards. See the following for information on how assistance is awarded/released: Contact the program office for the information.

Reports:

Performance reports are required on an annual basis. No cash reports are required. Progress reports are required for a project in its first year of funding. Expenditure reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the Education Department General Administrative Regulations (EDGAR), 34 CFR 75.702, 74.61.

Records:

In accordance with (EDGAR) 34 CFR 75.730, 75.731, and 75.732 and 34 CFR 645 43(c).

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$328,628,000; FY 17 est \$384,205,000; and FY 18 est \$354,349,000

Range and Average of Financial Assistance:

Varies by competition. In FY 2016, the smallest award was \$68,598 and the largest award was \$878,547. The average award was \$321,239.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and regulations 34 CFR 645.

Regional or Local Office:

See Regional Agency Offices. Department of Education regional offices. See Appendix IV in the Catalog.

Headquarters Office:

Ken Waters Student Service Division, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: ken.waters@ed.gov Phone: (202) 502-7586.

Website Address:

<http://www.ed.gov/programs/trioupbound/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For new awards, applicants will be selected on the basis of the criteria set forth in 34 CFR 645.31 and 645.32. Contact the program office for additional information.

84.048 CAREER AND TECHNICAL EDUCATION -- BASIC GRANTS TO STATES

FEDERAL AGENCY:

Office of Career, Technical, and Adult Education, Department of Education

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV), Title I, 20 U.S.C 2321.

OBJECTIVES:

To develop more fully the academic, career, and technical skills of secondary and postsecondary students who elect to enroll in career and technical education programs.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are used by eligible agencies and their eligible recipients (local subgrantees), in accordance with Perkins IV, to provide career and technical education. Each State must distribute at least 85 percent of its award to local agencies to fund secondary and postsecondary institutions that provide career and technical education services, from which the State may choose to award an amount equivalent to 10 percent of its award to make awards to local agencies in rural areas, areas with high percentages of career and technical education students, and areas with high numbers of career and technical education students. Each State may keep up to 5 percent (or \$250,000, whichever is greater) of its award for State administration and up to 10 percent of its award to fund leadership activities (and from that amount, it must reserve an amount equivalent to 1 percent of its award to assist individuals in State institutions and between \$60,000 and \$150,000 to fund services to prepare individuals for non-traditional fields). This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, pursuant to 34 CFR 76-564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

The Department of Education makes formula grants to State boards for career and technical education or the agency responsible for overseeing career and technical education in States. Eligible recipients for subgrants include local educational agencies and postsecondary institutions.

Beneficiary Eligibility:

A wide range of individuals pursuing career and technical education will benefit.

Credentials/Documentation:

The establishment of a State Board for Career and Technical Education is required. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible agencies submit 5-year State plans for career and technical education, and annual revisions, to the Department pursuant to section 122 of Perkins IV. However, the outlying areas, except for Palau, are eligible to submit an application for Perkins IV funding under the Consolidated Grants to Insular Areas Program. See CFDA 84.403. Eligible recipients submit local plans to the eligible agency in their States pursuant to section 134 of Perkins IV.

Award Procedure:

Once the Secretary approves an eligible agency's 5-year State plan or annual

revisions, the Department forwards the grant award to the State official designated by the eligible agency and notifies the State director for CTE. States make subgrants to eligible recipients from their grant awards after setting aside funds for State administration and State leadership activities.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The submission date for the State plan or annual revisions is generally late March or early April is set in guidance issued annually by the Department.

Within 90 days.

Appeals:

The Secretary shall not finally disapprove a State plan, except after giving the eligible agency notice and opportunity for a hearing.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Sec. 111 of the Carl D. Perkins Career and Technical Education Act of 2006. The funding formula is based on State per-capita income and population in three age cohorts (15-19, 20-24, and 25-65). The formula provides for a minimum State allocation, and a "hold-harmless" provision in the formula ensures that no State's share of the appropriation is less than its share of the fiscal year 1998 appropriation. A special provision limits the increase a State with an initial allocation of the 0.5 percent minimum may receive, resulting in a number of States that receive an allocation of less than 0.5 percent of the total. If appropriations result in the amount of funds for allocation to States exceeding the amount of funds allocated to States from the FY 2006 appropriation, up to one-third of the additional funds are allotted to States with FY 2006 grants that are less than the minimum 0.5 percent grant amount and the remainder flows to the other States. In addition, the outlying areas (except the Virgin Islands) receive 0.13 percent of the total appropriated for State Grants to operate the same kinds of career and technical education programs as the States. Also, 1.25 percent of the total appropriation for State Grants is set aside for grants to federally recognized Indian tribes and tribal organizations, and 0.25 percent is set aside for competitive grants to organizations that primarily serve and represent Native Hawaiians. The Bureau of the Census supplies the population data; the Department of Labor supplies the per-capita income data.

Matching Requirements: Section 112(b) of the Perkins Acts requires 50/50 matching for State administration, which is also subject to the hold-harmless requirement imposed by section 323. An outlying area that submits an application for its Perkins IV funds under the Consolidated Grants to Insular Areas Program is not required to match. See CFDA 84.403.

Section 311(b) of the Perkins Act describes maintenance-of-effort requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Generally, annual grants to eligible agencies are made from July 1 to September 30 of the following year. In addition, funds remain available to the eligible agencies for an additional fiscal year for obligation and expenditure under section 421(b) of the General Education Provisions Act, as amended (GEPA). See the following for information on how assistance is awarded/released: States draw funds as needed under the Electronic Funds Transfer System.

Reports:

OCTAE'S Consolidated Annual Report (CAR) for Perkins IV requires annual financial status reports, performance data reports, and program narrative reports. Eligible agencies must also submit Office for Civil Rights Compliance Reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by 2CFR 200.333. Generally, the eligible agency and its subgrantees must retain records related to grant funds and compliance for a period of 3 years from the date the grantee or subgrantee submits its final expenditure report for that funding period. 2 CFR 200.333. Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review. See also section 443 of GEPA.

Account Identification:

91-0400-0-1-501.

Obligations:

(Formula Grants) FY 16 \$1,099,381,153; FY 17 est \$1,099,381,153; and FY 18 est \$934,922,165

Range and Average of Financial Assistance:

The range or awards in FY 2017 was \$567,534 to \$114,431,841 including the 50 States, the District of Columbia, Puerto Rico, and the Virgin Islands.

TAFS Codes:

91-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable regulations may be found at the Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 76, 77, 79, 81, 82, 84, 86, 97, 98, and 99. The Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485 also apply. The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474, also apply. OCR Guidelines for Vocational Education and CFR Parts 100-101, 104-106, 108, and 110. Other information may be found at the program website.

Regional or Local Office:

None.

Headquarters Office:

Sharon Lee Miller, Department of Education, OCTAE Division of High School, Postsecondary and Career Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: sharon.miller@ed.gov Phone: (202) 245-7846.

Website Address:

<http://cte.ed.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Eligible agencies qualify for funding based upon their State plans and annual revisions approved under Perkins IV, section 122.

84.051 CAREER AND TECHNICAL EDUCATION -- NATIONAL PROGRAMS

FEDERAL AGENCY:

Office of Career, Technical, and Adult Education, Department of Education

AUTHORIZATION:

Section 114 of the Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV), 20 U.S.C 2324.

OBJECTIVES:

To provide support, directly or through grants, contracts, or cooperative agreements, for research, development, demonstration, dissemination, evaluation, assessment, capacity-building, and technical assistance activities aimed at improving the quality and effectiveness of career and technical education (CTE) programs authorized under the Perkins Act IV.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards are made to support activities designed to improve career and technical education. Announcements of grant competitions are published in the Federal Register. Contract competitions are announced in the FedBizOps.

Applicant Eligibility:

Depending on the activity to be funded, eligible applicants may include institutions of higher education or consortia of institutions of higher education, public or private nonprofit organizations, or consortia thereof, and State boards designated as the sole State agencies for the administration of State career and technical education, or consortia thereof.

Beneficiary Eligibility:

CTE sole State agencies; local educational agencies; CTE providers, and CTE students.

Credentials/Documentation:

Signature by authorized designated officials on the grant application or contract proposal. For State agencies, allowability of costs is determined in accordance with OMB Circular No. A-87 "Cost Principles for State, Local and Indian Tribal Governments"; For costs incurred by non-profit organizations allowability is determined in accordance with OMB Circular A-122, "Cost Principles for Non-Profit Organizations"; and for costs incurred by institutions of higher education allowability is determined in accordance with OMB Circular A-21, "Cost Principles for Educational Institutions". 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for grants must be prepared in accordance with the regulations in 34 CFR Part 75, the Department's notices inviting applications, and any notices announcing requirements, priorities, and selection criteria that the Department may publish. Proposals for contracts must be prepared in accordance with program announcements published in the FedBizOps.

Award Procedure:

Applications are reviewed in accordance with section 114 of Perkins IV and with the requirements set forth for application notices and any notices announcing requirements, priorities, and selection criteria that the Department may publish in the Federal Register for a given competition and the regulations at 34 CFR Part 75.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 to 120 days.

Appeals:

There are no appeal rights for denial of grants funded under section 114 of Perkins IV. For contracts the Federal Procurement Regulations, 41 CFR 1, 2, 407, and 408 apply.

Renewals:

Terms for continuation awards are specified in the Federal Register notices inviting grant applications. Continuation awards are subject to the availability of appropriations and the grant recipient's performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Maximum award period varies by activity. Annual continuation awards are subject to the availability of appropriations and contingent on recipient performance. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Specific contract reporting requirements are contained in the Request for Proposals and contract documents. Reporting requirements for grants are required in the regulations at 34 CFR 74 and 75. Reports are required annually. Reports are required annually. Reports are required annually. Reports are required annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Under OMB Circular A-133, recipients that are institutions of higher education, other non-profit organizations, or State and local governments, are subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507) and revised OMB Circular A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years after completion of the activity for which the funds are used.

Account Identification:

91-0400-0-1-501.

Obligations:

(Project Grants) FY 16 \$7,421,000; FY 17 est \$7,421,000; and FY 18 est \$27,407,000

Range and Average of Financial Assistance:

Varies by program competition.

TAFS Codes:

91-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and Federal Register program notices, as applicable.

Regional or Local Office:

None.

Headquarters Office:

Robin Utz Department of Education, OCTAE Division of Academic and Technical Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: robin.utz@ed.gov Phone: (202)245-7767

Website Address:

<https://www2.ed.gov/programs/venp/index.html>

RELATED PROGRAMS:

84.048 Career and Technical Education -- Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Each request for proposal and notice inviting grant applications contains either evaluation criteria or selection criteria, which are applied in the review and selection of contract or grant applications for funding. (See also 34 CFR Part 75 for the menu of the Department's generic selection criteria.)

84.060 INDIAN EDUCATION GRANTS TO LOCAL EDUCATIONAL AGENCIES

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title VI, Part A, Subpart 1.

OBJECTIVES:

To address (1) the unique educational and culturally related academic needs of Indian students, so that these students can meet the challenging state performance standards expected of all students, (2) to ensure that Indian students gain knowledge and understanding of Native communities, languages, tribal histories, traditions, and cultures; and (3) to ensure that teachers, principals, other school leaders and other staff who serve Indian students have that ability to provide culturally appropriate and effective instruction. This program is the Department's principal vehicle for addressing the particular needs of Indian children.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants supplement the regular school program, helping Indian children sharpen their academic skills, assisting students in becoming proficient in the core content areas, and providing students an opportunity to participate in enrichment programs that would otherwise be unavailable. Funds support such activities as Native American language programs, after-school programs, tutoring, and dropout prevention. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

Local educational agencies (LEAs) that enroll at least 10 Indian children or in which Indians constitute at least 25 percent of the total enrollment. These requirements do not apply to LEAs serving Indian children in Alaska, California, and Oklahoma or located on, or in proximity to, an Indian reservation. Schools that receive funding from the Department of the Interior (DOI) Bureau of Indian Education (BIE) under Section 1130 of the Education Amendments of 1978, 25 U.S.C. 2001, are eligible to participate in this program. If an eligible LEA does not apply, an Indian tribe or an Indian organization that makes up at least half of the LEAs' Indian students may apply in lieu of the LEA. If no eligible LEA or Indian tribe or Indian organization applies for a grant, an Indian community-based organization may apply.

Beneficiary Eligibility:

Eligible Indian children enrolled in eligible local educational agencies, BIE-funded schools, Indian organizations, and Indian Community Based Organizations.

Credentials/Documentation:

The applicant must certify the number of eligible Indian children enrolled. The grant award is computed based on this information. An applicant must also assure that it has carried out a number of other required activities, including: holding a public hearing, establishing an Indian parent committee, if the applicant is an LEA, and making arrangements for an evaluation of the effectiveness of the project. No grant will be made unless the applicant has the written approval of the applicant's Indian parent committee. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed

in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The LEA annually submits to the Department of Education an application describing its proposed project. Application forms are available from the headquarters office.

Award Procedure:

Awards are issued annually directly to the applicant. Awards are made to all applicants that meet the applicable requirements of the Act and that have designed a project that meets the statutory requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 160 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title ESEA, Title VI, Part A, Subpart 1, Section 6113. This program has no matching requirements. The Department calculates the amount of a grant that an LEA receives by multiplying the number of Indian children enrolled in the LEA's schools by the average per-pupil expenditure for the State in which the applicant is located, or 80 percent of the national average per pupil expenditure, whichever is greater. In setting the actual amount of a grant, an applicant's formula amount is adjusted on the basis of available appropriations. Grantees must receive a minimum grant of \$3,000.

Maintenance-of-Effort Requirements:

In order for an LEA to receive a grant, the appropriate State educational agency (SEA) must certify that the combined fiscal effort of that LEA and the State with respect to the provision of free public education by that LEA for the preceding fiscal year was not less than 90 percent of the combined fiscal effort for that purpose for the second preceding fiscal year. If an LEA does not meet this requirement and the Secretary does not grant a waiver for unusual circumstances, the LEA's grant award is reduced in the proportion by which the LEA failed to meet the combined fiscal effort requirement. DOI/Bureau of Indian Education grantees are exempt from the combined fiscal effort requirement.

This program does not have MOE requirements. This program has supplement-not-supplant requirements.

Length and Time Phasing of Assistance:

Grants are awarded for up to 120 months and funds must be spent or obligated within the project period. Payments are made by direct deposit upon request by LEAs. See the following for information on how assistance is awarded/released: Contact the program office for this information.

Reports:

Financial and performance reports are due within 90 days of the termination of the project period. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be maintained 3 years after the completion of the activity for which grant funds were used.

Account Identification:

91-0101-0-1-501.

Obligations:

(Formula Grants) FY 16 \$100,381,000; FY 17 est \$100,381,000; and FY 18 est \$100,190,000

Range and Average of Financial Assistance:

Range of New Awards: \$4,000-\$2,823,022; Average New Award: \$78,024.

TAFS Codes:

91-0101.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 74, 75, 77, 78, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Bernard Garcia, Office of Indian Education, Department of Education, 400 Maryland Avenue, SW, Washington, District of Columbia 20202 Email: bernard.garcia@ed.gov Phone: (202) 260-1454.

Website Address:

<http://www.ed.gov/about/offices/list/oese/oie/programs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in EDGAR; contact the program office for additional information.

84.063 FEDERAL PELL GRANT PROGRAM

FEDERAL AGENCY:

Office of Federal Student Aid, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part A, Subpart 1, as amended.

OBJECTIVES:

To provide eligible undergraduate postsecondary students who have demonstrated financial need with grant assistance to help meet educational expenses.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The student must be a U.S. citizen or eligible noncitizen who has been accepted for enrollment in, and is making satisfactory academic progress at an eligible institution of higher education. Eligible schools may be public or private nonprofit institutions of higher education, (such as colleges, universities, vocational-technical schools, hospital schools of nursing), and for-profit institutions (proprietary). Eligible males who are at least 18 years or older and born after December 31, 1959, can receive aid only if they have registered with the Selective Service. Graduate students and students who have already earned a bachelor's degree are not eligible for assistance, except those enrolled in an eligible program leading to a professional certification in teaching. Applicants must demonstrate need according to Part F of the Higher Education Act of 1965, as amended.

Applicant Eligibility:

Undergraduate students and students pursuing a teaching certificate enrolled as regular students in an eligible program at an eligible institution of higher education and making satisfactory academic progress. The applicants must be U.S. citizens or eligible noncitizens and have a high school diploma (or its equivalent); or, for students enrolled prior to July 1, 2012, a demonstrated

ability to benefit from the program offered; or, have successfully completed six credits.

Beneficiary Eligibility:

Undergraduate students and students pursuing a teaching certificate that are U.S. citizens or eligible noncitizens and meet financial need criteria. Students must be: regular students in an eligible program and enrolled in institutions of higher education, making satisfactory academic progress. Incarcerated students, except those incarcerated in local penal facilities, are ineligible. Students must sign a statement of educational purpose, not owe a refund on a Title IV grant, and not be in default on a Title IV loan. Eligible males that are at least 18 years old and born after December 31, 1959, can receive aid only if they have registered with the Selective Service.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Student completes a "Free Application for Federal Student Aid" and submits it to the agency specified on the form. Students may apply using a paper application, an electronic application, or via the Internet. The Department of Education calculates the student's financial eligibility for assistance and the agency to which the student sent the application returns a notification to the student of his or her eligibility for assistance. The student submits this notification to the institution of his or her choice in order to have his or her award calculated.

Award Procedure:

Institutions act as disbursing agents for the Department of Education. The institution that the student attends calculates and disburses the Federal Pell Grant, using a payment schedule developed by the Department of Education that determines the amount of the award based on the student's expected family contribution, cost of attendance, and enrollment status.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

An institution, on the basis of supplemental documentation, may rule that an applicant is self-supporting, even though under the standard criteria, the applicant would normally be considered financially dependent on his or her parents. An institution may adjust on a case-by-case basis a student's data elements used to calculate his or her EFC and cost of attendance due to unusual circumstances documented by the institution.

Renewals:

Students who have applied in the previous award year may be eligible to complete a paper or electronic renewal application which contains preprinted student data and requires students only to update certain information. However, eligibility is still annually determined.

Formula and Matching Requirements:

Statutory Formula: Title IV, Part F.
Matching Requirements: Federal Pell Grant eligibility determinations are based on Part F of the HEA, as amended. This national need analysis formula determines financial eligibility for Federal Pell grants and other Federal student aid and is applied uniformly to all applicants. This formula determines a student's "expected family contribution" (EFC). The fundamental elements of this need analysis formula are the parents' and/or the student's income and assets (excluding home), the family's household size, and the number of family members attending postsecondary institutions. The EFC is determined as the sum of: (1) A percentage assessment of net income (remaining income after

subtracting allowances for basic living expenses) and (2) a percentage assessment of net assets other than a home (remaining assets after subtracting an asset protection allowance).

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Students are able to receive one Federal Pell Grant during any award year (July 1 through June 30). Funds for the Federal Pell Grant are usually disbursed at least twice during an award year. Beginning with the 20172018 award year, students can receive Federal Pell Grant funds for up to 150 percent of the students Federal Pell Grant scheduled award for an award year. To be eligible for the additional Federal Pell Grant funds, the student must be otherwise eligible to receive Federal Pell Grant funds for the payment period and must be enrolled at least half-time in the payment period(s) for which the student receives the additional Federal Pell Grant funds in excess of 100 percent of the students Federal Pell Grant scheduled award. Students may only receive a Federal Pell Grant until they have received a bachelor's degree. See the following for information on how assistance is awarded/released: Electronic transfer. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Institutions will be required to furnish reports periodically on the disbursement of funds, as well as to furnish any other reports the Secretary requires. No reports are required of students. Cash reports are not applicable. Progress reports are not applicable. Institutions will be required to furnish reports periodically on the disbursement of funds, as well as to furnish any other reports the Secretary requires. No reports are required of students. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records pertaining to the eligibility of each Federal Pell Grant recipient and all fiscal management records must be maintained by the institutions for a period of 3 years or until an acceptable audit has been completed, whichever is later. Selected students will have the information on their applications verified.

Account Identification:

91-0200-0-1-502; 91-0199-0-1-502.

Obligations:

(Direct Payments for Specified Use) FY 16 \$26,861,935,000; FY 17 est \$28,380,285,000; and FY 18 est \$28,806,595,000

Range and Average of Financial Assistance:

For FY 2016, grants ranged from \$582-\$5,815; the average grant was estimated at \$3,724.

For FY 2017, grants will range from \$592-\$5,920; the average grant is estimated at \$3,973.

For FY 2018, grants will range from \$592-\$5,920; the average grant is estimated at \$3,956.

TAFS Codes:

91-0200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Approximately 7,213,000 recipients were expected. Fiscal Year 2017: Approximately 7,143,000 recipients are expected. Fiscal Year 2018: Approximately 7,281,000 recipients are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Federal Pell Grant Expected Family Contribution formula is set forth in Part F of the Higher Education Act of 1965 as amended. Regulations governing administration of the Pell Grant Program are found in 34 CFR 600, 668, and 690. The Student Guide; Free Application for Federal Student Aid (no charge); "The Expected Family Contribution Formula Guide"; "The Federal Student Aid Handbook."

Regional or Local Office:

See Regional Agency Offices. Federal Student Aid Information Center.
Telephone: 1-800-433-3243. Regional Director, Federal Student Aid, the
Director of Student Financial Aid at the institution the student wishes to attend,
high school guidance counselors, or directors of State agencies.

Headquarters Office:

Federal Student Aid Information Center Federal Student Aid (FSA),
Department of Education, P.O.Box 84, , Washington, District of Columbia
20044-0084 Phone: (800) 433-3243

Website Address:

<http://www.ifap.ed.gov>

RELATED PROGRAMS:

84.007 Federal Supplemental Educational Opportunity Grants; 84.033 Federal
Work-Study Program; 84.042 TRIO_Student Support Services; 84.044
TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.066 TRIO_Educational
Opportunity Centers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Expected Family Contribution formula is set forth in Part F of the Higher
Education Act of 1965, as amended.

84.066 TRIO EDUCATIONAL OPPORTUNITY CENTERS**FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter
1, Section 402F.

OBJECTIVES:

To provide information on financial and academic assistance available for
qualified adults desiring to pursue a program of postsecondary education and to
assist them in applying for admission to institutions of postsecondary education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are awarded to institutions of higher education, public and private
agencies and organizations, and combinations of such entities for the
establishment and operation of centers to collect and disseminate information
concerning financial aid and academic programs available to participants
seeking to pursue postsecondary education and to assist participants in
preparing admission and financial aid applications. Centers may also provide,
to secondary school dropouts, guidance on secondary school reentry or entry to
an alternative education program. Centers may also provide tutoring,
mentoring, and counseling services. A grantee may not use the center as a part
of its recruitment program.

Applicant Eligibility:

Institutions of Higher Education, public and private agencies and organizations
including community-based organizations with experience in serving
disadvantaged youth, combinations of such institutions, agencies and
organizations, and as appropriate to the purposes of the program; secondary
schools.

Beneficiary Eligibility:

Persons residing in the target area who need one or more of the services
provided by the project in order to pursue a program of postsecondary
education and who desire to pursue or who are pursuing a program of
postsecondary education. Two-thirds of the participants must be low-income
individuals who are also potential first-generation college students. Project
participants must be at least nineteen years old (exceptions allowed). Required
low-income criteria for participants are stated in application materials.

Credentials/Documentation:

Costs will be determined in accordance with the Education Department General
Administrative Regulations (EDGAR). OMB Circular A-21 applies. OMB

Circular A-122 applies. 2 CFR 200, Subpart E - Cost Principles applies to this
program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is
not required for this program. This program is eligible for coverage under E.O.
12372, "Intergovernmental Review of Federal Programs." An applicant should
consult the office or official designated as the single point of contact in his or
her State for more information on the process the State requires to be followed
in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit
Requirements for Federal Awards applies to this program. Develop a proposal
in accordance with regulations, applications, and timetables issued by the
Secretary of Education. No State plan is required. Requests for program
applications should be made to the Federal TRIO Programs, College and
University Preparation and Support Team. This program is subject to the
Education Department General Administrative Regulations (EDGAR).

Award Procedure:

Selected non-Federal field readers evaluate new proposals based on the
selection criteria established in program regulations 34 CFR 644.21 and 34
CFR 644.22. Grantees will be selected on the basis of satisfactory proposals
and availability of funds. Grants are awarded through Federal TRIO Programs
office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

The program statute requires a second review of unsuccessful applications. The
Department implements the second review process in accordance with the
process specified in 34 CFR 644.24. An unsuccessful applicant requesting a
second review must provide evidence that the Department, an agent of the
Department, or a peer reviewer made a technical, administrative, or scoring
error in the processing or review of its application.

Renewals:

Awards are for five years. Continuation awards are approved on an annual
basis, subject to the availability of funds and evidence that the grantee is
meeting the conditions of the grant and making substantial progress towards
meeting the project objectives.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. The statute establishes a
minimum grant amount.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Five-year projects, annual awards. Continuation awards are subject to the
availability of funds and evidence that the grantee is making satisfactory
progress. See the following for information on how assistance is
awarded/released: Electronic transfer.

Reports:

Grantees must submit an annual performance report. No cash reports are
required. Progress reports are required for a project in its first year of funding.
Expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit
Requirements, non-Federal entities that expend financial assistance of \$750,000
or more in Federal awards will have a single or a program-specific audit
conducted for that year. Non-Federal entities that expend less than \$750,000 a
year in Federal awards are exempt from Federal audit requirements for that
year, except as noted in 2 CFR 200.503. In accordance with the Education
Department General Administrative Regulations (EDGAR), 34 CFR 74.26,
75.702, 75.910, and 74.61.

Records:

In accordance with Education Department General Administrative Regulations, 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$55,918,000; FY 17 est \$55,899,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Varies by competition. In 2016, awards ranged from \$220,547 to \$1,172,518; the average award was approximately \$334,157.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and regulation 34 CFR 644. New regulations are under development. Contact the program office for additional details.

Regional or Local Office:

None.

Headquarters Office:

Rachel Couch, Student Service Division, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: rachel.couch@ed.gov Phone: (202) 502-7655.

Website Address:

<http://www.ed.gov/programs/trioeoc/>

RELATED PROGRAMS:

84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.103 TRIO Staff Training Program; 84.217 TRIO_McNair Post-Baccalaureate Achievement

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For new awards the Selection Criteria in 34 CFR 644.21 and 644.22 are considered. Contact the program office for additional information.

84.101 CAREER AND TECHNICAL EDUCATION - GRANTS TO NATIVE AMERICANS AND ALASKA NATIVES

FEDERAL AGENCY:

Office of Career, Technical, and Adult Education, Department of Education

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006, Title I, Section 116, 20 U.S.C 2326.

OBJECTIVES:

To make grants, cooperative agreements, or enter into contracts with Indian tribes, tribal organizations, Alaska Native entities, plan, conduct, and administer programs or portions of programs authorized by and consistent with the Carl D. Perkins Career and Technical Education Act of 2006.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To provide career and technical education opportunities for Native Americans and Alaska Natives. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, in accordance with 34 CFR 75.253. For assistance with restricted indirect cost rates call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

Federally recognized Indian tribes, tribal organizations, Alaska Native entities, and consortia of any of these entities may apply. Additionally, Bureau-funded schools proposing to use their award to assist secondary schools operated and

supported by the U.S. Department of the Interior to carry out career and technical education programs may apply.

Beneficiary Eligibility:

Federally recognized Indian tribes, tribal organizations, and Alaska Natives, and Bureau-funded schools except for Bureau-funded schools proposing to use their grants to support secondary school CTE programs.

Credentials/Documentation:

Allowability of costs is determined in accordance with OMB Circular No. A-87 "Costs Principles for State, Local and Indian Tribal Governments" and OMB Circular No. A-21 "Cost Principles for Educational Institutions." 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application notices and Application Packages are published in the Federal Register. Applications must be prepared and submitted in accordance with program announcements and Application Packages are published in the Federal Register.

Award Procedure:

Applications are approved using the selection criteria established in the program's notice inviting applications for new awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 150 days after deadline for transmitting applications.

Appeals:

After receiving written notice that the Department of Education will not award a grant, the eligible applicant has 30 calendar days to request, in writing, a hearing to review the Department's decision. (20 U.S.C. 2326(b)(2); 25 U.S.C. 450(f)).

Renewals:

Continuation awards are made annually, for a maximum of 5 years, subject to the availability of appropriations and each grant recipient's performance as shown in end-of-year performance reports.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 5 years. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Specific reporting requirements are contained in the notice inviting applications and in grant award documents; program reports required semi-annually and annually. Cash reports required annually. Specific progress report requirements will be included in the grant documents. Specific expenditure report requirements will be included in the grant documents. Specific performance report requirements will be included in the grant documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years from the time that work carried out

under the project is completed.

Account Identification:

91-0400-0-1-501.

Obligations:

(Project Grants) FY 16 \$13,969,975; FY 17 est \$13,969,975; and FY 18 est \$11,868,738

Range and Average of Financial Assistance:

Range: \$300,000-\$600,000

Average award: \$450,000.

TAFS Codes:

91-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Parts 74.75,77,80,81,82,84,85,86,97,98 and 99. EDGAR, NACTEP Federal Register notice of February 26, 2013 (78 FR 13030 and Application Package).

Regional or Local Office:

None.

Headquarters Office:

Linda Mayo, Department of Education, OCTAE Division of Academic and Technical Education 400 Maryland Ave., S.W., Washington, District of Columbia 20202-7241 Email: linda.mayo@ed.gov Phone: (202) 245-7792.

Website Address:

<http://cte.ed.gov/grants/discretionary-grants>

RELATED PROGRAMS:

84.048 Career and Technical Education -- Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: Career and technical education programs for secondary, postsecondary, and adult students. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria were published in the notice inviting applications published in the Federal Register on February 26, 2013 (78 FR 13030).

84.103 TRIO STAFF TRAINING PROGRAM

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1, Section 402G.

OBJECTIVES:

To provide training for staff and leadership personnel employed in, or preparing for employment in, projects funded under the Federal TRIO Programs (program numbers 84.042, 84.044, 84.047, 84.066, and 84.217.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are awarded to eligible entities to provide training for project directors and staff of funded Federal TRIO Programs projects. The grants may provide support for conferences, seminars, internships, workshops, and the publication of manuals designed to improve the operation of Federal TRIO Programs projects.

Applicant Eligibility:

Institutions of higher education and other public and private nonprofit institutions and organizations.

Beneficiary Eligibility:

Leadership personnel, full-time and part-time staff members of projects under

the Federal TRIO Programs, and individuals preparing for employment as staff or leadership personnel in projects under the Federal TRIO Special Programs will benefit.

Credentials/Documentation:

Costs will be determined in accordance with the Education Department General Administrative Regulations (EDGAR). OMB Circular No. A-21 applies to this program. OMB Circular No. A-122 applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Develop a proposal in accordance with program regulations, applications, and timetables issued by the Department of Education. No State plan is required. Requests for program applications should be made to the Student Service Division at the Office of Postsecondary Education. This program is subject to the provisions of the Education Department General Administrative Regulations (EDGAR).

Award Procedure:

Selected nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in 34 CFR 642.21 and 34 CFR 642.22. Grants are awarded through the Student Service Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

The program statute authorizes a second review of unsuccessful applications. The Department implements the second review process for unsuccessful applicants in accordance with 34 CFR 642.25. An unsuccessful applicant requesting a second review must provide evidence that the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

The grant period is 2 years. Grantees may receive one continuation award (for the second year of the grant), subject to the availability of funds and the grantee meeting the conditions of the grant and making substantial progress toward the project objectives.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant period is two years. Grantees may receive one continuation award approved on an annual basis subject to the availability of funds and grantee meeting the conditions of the grant and making substantial progress toward meeting the project objectives. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Performance reports are submitted on an annual basis. No cash reports are required. Required for a project in the first year of funding using form ED 524B. Staff monitor grants drawdown using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual performance form ED 524B.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the Education Department General Administrative Regulations (EDGAR and 34 CFR 75.702, 74.61.)

Records:

In accordance with 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$2,737,000; FY 17 est \$2,805,000; and FY 18 est \$2,500,000

Range and Average of Financial Assistance:

FY 15, awards ranged from \$94,589 to \$334,750. The average award was approximately \$210,506.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and regulations 34 CFR 642.

Regional or Local Office:

None.

Headquarters Office:

Suzanne Ulmer, Student Service Division, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: suzanne.ulmer@ed.gov Phone: (202) 502-7789.

Website Address:

<http://www.ed.gov/programs/triotrain/>

RELATED PROGRAMS:

84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.066 TRIO_Educational Opportunity Centers; 84.217 TRIO_McNair Post-Baccalaureate Achievement

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Department will select applicants to be funded for new awards under this part on the basis of criteria set forth in 34 CFR 642.21 and 642.22. Contact the program office for additional information.

84.120 MINORITY SCIENCE AND ENGINEERING IMPROVEMENT (MSEIP)

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title III, Part E, Subpart 1.

OBJECTIVES:

To (1) effect long-range improvement in science and engineering education at predominantly minority institutions and (2) increase the participation of underrepresented ethnic minorities, particularly minority women, in scientific and technological careers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Program funds are generally used to implement institutional projects, special projects and cooperative grants. Institutional grants support the implementation

of a comprehensive science improvement plan, which may include any combination of activities for improving the preparation of minority students for careers in science. Special project grants to minority institutions support activities that improve the quality of training in science and engineering at minority institutions. Special project grants to non-minority eligible applicants support activities that provide a needed service to a group of eligible minority institutions. Cooperative grants assist groups of non-profit accredited colleges and universities in working together to conduct a science improvement program. Grant funds may be used for a broad range of activities that address specific barriers that eliminate or reduce the entry of minorities into science and engineering fields. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 377-3838.

Applicant Eligibility:

Private and public nonprofit accredited (or successfully working toward accreditation) institutions of higher education that award baccalaureate degrees; and are minority institutions; public or private accredited (or successfully working toward accreditation) nonprofit institutions of higher education that award associate degrees, and are minority institutions that have a curriculum that includes science and engineering subjects; and enters into a partnership with public or private nonprofit institutions of higher education that award baccalaureate degrees in science or engineering. Applications may also be submitted by nonprofit science-oriented organizations, professional scientific societies, and institutions of higher education that award baccalaureate degrees, that provide a needed service to a group of minority institutions; or provide in-service training for project directors, scientists, and engineers from minority institutions; or consortia of organizations, that provide needed services to one or more minority institutions, the membership of which may include: institutions of higher education which have a curriculum in science or engineering; institutions of higher education that have graduate or professional programs in science or engineering; research laboratories of, or under contract with the Department of Energy; private organizations that have science or engineering facilities; or quasi-governmental entities that have a significant scientific or engineering mission.

Beneficiary Eligibility:

Private or public accredited (or successfully working toward accreditation) 2- and 4-year institutions of higher education whose enrollments of a single minority or a combination of minorities exceed 50 percent of the total enrollment. Minorities means American Indian; Alaskan Native; Black (not of Hispanic origin); Hispanic (including persons of Mexican, Puerto Rican, Cuban, and Central or South American origin); Pacific Islander; or other ethnic group who are underrepresented in science and engineering.

Credentials/Documentation:

Institutions must provide the information necessary to establish their eligibility for participation in MSEIP. The data on enrollment furnished to the Office for Civil Rights to satisfy requirements for the "Fall Enrollment and Compliance Report of Institutions of Higher Education" are acceptable. Applications must be signed by an authorized organizational official. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An eligible institution may submit a proposal for funding of a planned project and proposed amount of the grant when announcement of a new competition appears in the Federal Register. See 34 CFR 637 and the specific program guidelines.

Application forms are available from the MSEIP.

Award Procedure:

Panels of outside experts with knowledge of the fields covered by the application review all eligible applications. Grant awards are recommended to the Secretary by the program office, in the order of merit.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Awards may be renewed for up to 2 years, renewals subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

One to three years. Funds are awarded annually and disbursed as required. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

The program requires interim and annual performance reports. A final performance report is required within 90 days upon completion of the project for all funded projects. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Compliance with standard Department of Education requirements.

Records:

Grantees are required to maintain standard programmatic and financial records. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$8,971,000; FY 17 est \$9,648,000; and FY 18 est \$9,630,000

Range and Average of Financial Assistance:

FY 2016: Average new award was \$226,000; Average continuation award was \$232,000. Awards ranged from approximately \$164,000 to \$250,000.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 637 and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Bernadette Miles Office of Postsecondary Education, Institutional Services, Office of Higher Education, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: bernadette.miles@ed.gov Phone: (202) 453-7892

Website Address:

<http://www.ed.gov/programs/idadesmsi/>.

RELATED PROGRAMS:

84.031 Higher Education_Institutional Aid

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Decisions are based primarily on the scientific and educational merits of described activities and conformance with the objectives of the program. Priority is given to applicants which have not previously received funding from the MSEIP program and to previous grantees with a proven record of success, as well as to proposals that contribute to achieving balance among projects with respect to geographic region, academic discipline, and project type.

84.126 REHABILITATION SERVICES VOCATIONAL REHABILITATION GRANTS TO STATES

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended., Title I, Part A and B, Section 100-111.

OBJECTIVES:

To assist States in operating comprehensive, coordinated, effective, efficient and accountable programs of vocational rehabilitation (VR); to assess, plan, develop, and provide VR services for individuals with disabilities, consistent with their strengths, resources, priorities, concerns, abilities, capabilities, and informed choice so they may prepare for and engage in competitive integrated employment.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Federal and State funds are used to cover the costs of providing VR services, which include: assessment, counseling, vocational and other training, job placement, reader services for the blind, interpreter services for the deaf, medical and related services and prosthetic and orthotic devices, rehabilitation technology, transportation to secure VR services, maintenance during rehabilitation, and other goods and services necessary for an individual with a disability to achieve an employment outcome. Services are provided to families of disabled individuals when such services contribute substantially to the rehabilitation of such individuals who are receiving VR services. Funds can also be used to provide VR services for the benefit of groups of individuals with disabilities including the construction and establishment of community rehabilitation programs.

Applicant Eligibility:

State agencies in all States (including territories/possessions) designated as the State agency to administer the VR program may receive funds with the submission and approval a VR services portion of the Unified or Combined State plan in accordance with section 101(a) of the Rehabilitation Act of 1973, as amended.

Beneficiary Eligibility:

In order to be eligible for VR services, an individual must have a physical and/or mental impairment, which, for such an individual, constitutes or results in a substantial impediment to employment, and requires VR services to achieve an employment outcome.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost

Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. State VR agencies are required to submit a VR services portion of the Unified or Combined State Plan describing the manner in which they will administer the VR and Supported Employment programs in their States. The State is not required to submit any policies, procedures or descriptions related to its State Plan that have been previously approved and demonstrate that the State currently meets applicable requirements of Title I of the Workforce Innovation and Opportunity Act (WIOA) and the requirements of the Rehabilitation Act, as amended by Title IV of WIOA. However, State agencies are required to update certain attachments to the VR services portion of the Unified or Combined State Plan.

Award Procedure:

Grant awards are made to State VR agencies with an approved VR services portion of the Unified or Combined State plan. States are notified of their annual allotment under the funding formula. Grant funds are withdrawn under the Electronic Transfer System.

Deadlines:

Jul 01, 2016 Jul 01, 2016 States were required to submit four-year Unified or Combined State Plans, including the VR services portion of the Unified or Combined State Plan, to the Department of Labor by March of 2016 for an effective date of July 1, 2016. States must submit a State Plan modification to their plan in March 2018 for an effective date of July 1, 2018. The next four-year plan is due in March of 2020.

Range of Approval/Disapproval Title:

Approval of the Unified or Combined State Plan, including approval of the VR services portion of that plan, is governed by sections 102 or 103 of Title I of WIOA.

Appeals:

Appeal procedures are governed by sections 102 or 103 of Title I of WIOA, as appropriate.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I, Part B, section 110. (29 USC 730). Funds are distributed based on population weighted by per capita income. The statistical factors for fund allocation are: per capita income 3-year average by State (Bureau of Economic Analysis estimates) and U.S. total and State population (Annual Population Estimates, Bureau of the Census).

Matching Requirements: The matching requirement is 78.7 percent Federal and 21.3 percent Non-Federal funds for the total of all expenditures incurred under the VR program. . This program has a maintenance of effort (MOE) requirement. The MOE level is based on the amount of the State's non-Federal expenditures under the VR program for the Federal fiscal year two years earlier; see funding agency for further details. Each State is also required to reserve and use at least 15 percent of their Federal VR State Grant award to provide or arrange for the provision of pre-employment transition services for students with disabilities provided in accordance with section 113 of the Rehabilitation Act.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds become available for obligations for the fiscal year for which they are appropriated and may remain available for an additional year if the matching requirement is met in the year of the appropriation. Grant awards are issued biannually (1st and 4th quarter). See the following for information on how

assistance is awarded/released: Electronic Transfer.

Reports:

Program report: Annual and quarterly reports. No cash reports are required. Progress report: Annual and quarterly reports. Expenditure reports: semi-annual financial reports (Federal Financial Report (SF-425). Performance monitoring: The Rehabilitation Act requires the conduct of annual reviews and periodic on-site monitoring of whether a State VR agency is WIOA for core programs.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Conducted by ED Office of Inspector General and by site visits of regional staff. Both are periodic but no set schedule.

Records:

Case service records for individual consumers. Financial and programmatic records must be kept for a prescribed period of three years (2 CFR part 200, Subpart D Post Federal Award Requirements).

Account Identification:

91-0301-0-1-506.

Obligations:

(Formula Grants) FY 16 \$3,118,129,640; FY 17 est \$3,121,054,000; and FY 18 est \$3,182,038,000

Range and Average of Financial Assistance:

In FY 2017 annual allotments to States, including D.C. and Puerto Rico ranged from \$10.4 million to \$303.3 million, with a median award of \$43.4 million. Grants to outlying areas ranged from \$0.8 million to \$2.9 million.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: State VR agencies assisted approximately 186,714 individuals with disabilities to achieve an employment outcome. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Vocational Rehabilitation Regulations (34 CFR part 361). Rehabilitation Services Policy Directives and Technical Assistance Circulars. Uniform Guidance, 2 CFR part 200.

Regional or Local Office:

None.

Headquarters Office:

Carol Dobak, Department of Education, OSERS Rehabilitation Services Administration 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: Carol.Dobak@ed.gov Phone: (202) 245-7325.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

64.116 Vocational Rehabilitation for Disabled Veterans; 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.161 Rehabilitation Services_Client Assistance Program; 84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind; 84.187 Supported Employment Services for Individuals with Significant Disabilities; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.250 Rehabilitation Services_American Indians with Disabilities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.129 REHABILITATION LONG-TERM TRAINING

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302.

OBJECTIVES:

To support projects to provide academic training in areas of personnel shortages identified by the Secretary to increase the number of personnel trained in providing vocational rehabilitation services to individuals with disabilities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Training grants are provided in fields directly related to the vocational rehabilitation and independent living of individuals with disabilities, such as rehabilitation counseling, independent living, rehabilitation medicine, physical and occupational therapy, prosthetics-orthotics, speech-language, pathology and audiology, rehabilitation of individuals who are blind and individuals who are deaf, and rehabilitation technology. A grantee must use at least 75 percent of the total award for scholarships.

Applicant Eligibility:

Institutions of Higher Education, State vocational rehabilitation agencies (including territories), and other public or nonprofit agencies and organizations.

Beneficiary Eligibility:

Individuals preparing for employment in the field of rehabilitation of individuals with disabilities.

Credentials/Documentation:

An application should show evidence of current accreditation by or seeking of accreditation from the designated accreditation agency in the respective professional field. Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for state and local governments, and OMB Circular A-122 for non-profit organizations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80 apply to this program. New applications are made to Department of Education, Application Control Center, 400 Maryland Ave., S.W., Washington, DC 20202-4725. Attn: 84.129.

Award Procedure:

New and continuation grants are awarded by the Rehabilitation Services Administration. New awards are made based upon the results of a peer review process and the review and approval of applications by staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with

an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 10%. The Federal share may not be more than 90 percent of the total cost of a project under this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are generally supported for a maximum of 5 years; with budget periods of 12 months. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Proper records must be maintained for a period of time equal to the time required to fulfill the obligations of each grantee to determine whether a scholar has met the work-or-repay provision in 34 CFR 386.34, or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 16 \$17,085,475; FY 17 est \$17,000,000; and FY 18 est \$17,000,000

Range and Average of Financial Assistance:

to be determined.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR Parts 385 and 386 and the Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW., Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.264 Rehabilitation Training_Continuing Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The relevance of the purpose of the content and the training project to the administratively established mission of the public rehabilitation program and the objectives of the Rehabilitation Services Administration. The methodology to be employed in implementing the project and its feasibility for the achievement of the established educational objectives. The existence of a working relationship with the State vocational rehabilitation agency and other agencies and rehabilitation facilities providing vocational rehabilitation services. Criteria for evaluating applications are published in program regulations 34 CFR Parts 385 and 386.

84.141 MIGRANT EDUCATION HIGH SCHOOL EQUIVALENCY PROGRAM

(HEP)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Section 418A.

OBJECTIVES:

To assist students who are engaged, or whose immediate family is engaged, in migrant and other seasonal farm-work to obtain the equivalent of a secondary school diploma and subsequently to gain employment or be placed in an institution of higher education or other postsecondary education or training.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project funds may be used to recruit and provide academic and support services (including counseling, health services, stipends, and placement) to migrant students to obtain the equivalent of a secondary school diploma and to subsequently gain employment or be placed in an institution of higher education or other postsecondary education or training.

Applicant Eligibility:

Institutions of higher education or private nonprofit agencies in cooperation with institutions of higher education may apply.

Beneficiary Eligibility:

Persons who are engaged or whose immediate family is engaged in migrant and other seasonal farmwork or who have participated or have been eligible to participate in the Title I, Migrant Education Program or the Department of Labor's National Farmworker Jobs Program. Eligible beneficiaries also must be age 16 and older or beyond the age of compulsory school attendance, and lacking a high school diploma or its equivalent.

Credentials/Documentation:

To be eligible to participate in a HEP project, the applicant or his or her immediate family member must: (1) Have worked a minimum of 75 days during the past 24 months in migrant on seasonal farmwork, or have been eligible to participate or have participated within the past 2 years in Title I, Migrant Education Program or the Department of Labor's National Farmworker Jobs Program; (2) not have earned a secondary school diploma or its equivalent; (3) not be currently enrolled in an elementary or secondary school; (4) be 16 years of age or older, or above the age of compulsory school attendance in the State where the project is located; and (5) be determined by the grantee to need the academic and supporting services and financial assistance provided by the project. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are available from the Department of Education as announced in a Notice Inviting Applications (NIA). An eligible applicant submits its application to the

Department of Education no later than the date announced by the Department in the Federal Register. An application must be prepared and submitted in accordance with the regulations, instructions, and forms included in the grant application package. Applications are reviewed and evaluated by a panel for selection and possible funding.

Award Procedure:

The Department reviews each application using selection criteria published in the Federal Register. Afterwards, the Department conducts a budget analysis of allowable activities and costs and recommendations for new grants, and sends a Grant Award Notification (GAN) to a successful applicant of its award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately four months.

Appeals:

Not Applicable.

Renewals:

Grants are awarded for up to 60 months. Continuation awards are subject to the availability of funds and recipient performance.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period is up to 60 months. Funds are awarded for 12-month budget periods. Continuations are subject to the availability of funds and recipient performance. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

In the first project year, a grantee must submit to the Department an Interim Performance Report (Interim) showing satisfactory performance in order to be eligible to receive a continuation award for the second budget period. For the following years, a grantee must submit an Annual Performance Report (APR) showing satisfactory performance in order to receive a continuation award, and must submit a final performance report 90 days after completion of the project. Special financial reports are required. No cash reports are required. Progress reports may be required for projects that do not make substantial progress toward program and project goals. The APR and its instructions include the need to provide annual summaries of expenditures. Summaries of expenditures are required as part of the APRs, but no final special financial reports are required. Performance reports are required. No cash reports are required. Progress reports are may be required for projects that do not make substantial progress toward program and project goals, the Department may require additional project reports. The APR and its instructions include the need to provide annual summaries of expenditures. The APR and its instructions include the need to provide annual summaries of expenditures, but no and final special financial reports are required. Performance reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the General Education Provisions Act, the Education Department General Administrative Regulations (34 CFR part 75), and Uniform Administrative Requirements in 2 CFR part 200, grantees must maintain certain project records for three years unless they are needed under one of the exceptions in 2 CFR 200.333 for a longer period.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 16 \$22,199,943; FY 17 est \$22,199,943; and FY 18 est \$22,158,000

Range and Average of Financial Assistance:

Range: \$180,000-\$475,000 Average: \$446,438.

TAFS Codes:

91-0900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program performance information from FY 2009 to FY 2015 is available at this website:

<http://www2.ed.gov/programs/hep/performance.html>. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The Office of Management and Budget (OMB) Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485. (c) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. (d) The regulations for this program in 34 CFR part 206. (e) The definitions of migratory agricultural worker in 34 CFR 200.81(d), migratory child in 34 CFR 200.81(e), and migratory fisher in 34 CFR 200.81(f). (f) The regulations in 20 CFR 669.110 and 669.320.

All grantees are required to comply with these regulations, as applicable.

Note: The regulations in 34 CFR part 86 apply to institutions of higher education (IHEs) only.

Regional or Local Office:

None.

Headquarters Office:

Nathan Weiss Office of Migrant Education, Office of Elementary and Secondary Education, US Department of Education, 400 Maryland Avenue, S.W., Room 3E311, Washington, District of Columbia 20202 Email: nathan.weiss@ed.gov Phone: (202) 260-7496

Website Address:

<http://www.ed.gov/program/hep/index.html>

RELATED PROGRAMS:

84.011 Migrant Education_State Grant Program; 84.047 TRIO_Upward Bound; 84.144 Migrant Education_Coordination Program; 84.149 Migrant Education_College Assistance Migrant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Examples of funded applications may be obtained through the Freedom of Information Act (FOIA) request process and may be available at the program website. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The Department publishes criteria in a notice inviting applications published in the Federal Register. For the purpose of making grants under the program, the Secretary must consider prior experience of service delivery by expiring projects that submit an application under this program and award up to a maximum of 15 additional points to an application that demonstrates the prior experience of service delivery.

84.144 MIGRANT EDUCATION COORDINATION PROGRAM**FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, Title I, Part C, Section 1308(d), 20 U.S.C 6398.

OBJECTIVES:

To provide financial incentives to State Educational Agencies (SEAs) to participate in consortia that provide high-quality project designs and services to improve the interstate or intrastate coordination of migrant education programs by addressing key needs of migratory children who have their education interrupted.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are used to implement the consortium activities to improve interstate and intrastate coordination of migrant education programs by addressing key needs of migratory children whose education is interrupted or to carry out any other activities authorized under the Migrant Education State Grant Program (MEP). This program is subject to non-supplanting requirements and SEAs must use a restricted indirect cost rate, which is referenced in 34 CFR 75.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

SEAs receiving MEP State Formula grants, in a consortium with another State or other appropriate entities.

Beneficiary Eligibility:

Migratory children of migratory agricultural workers or migratory fishers, or individuals under 21 years old who are migratory agricultural workers or migratory fishers, or spouses of such workers or fishers whose education is interrupted benefit.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be prepared and submitted in accordance with the regulations, instructions, and forms included in the grant application package. The applications are reviewed and evaluated by a panel of peer reviewers. Awards are made on a competitive basis.

Award Procedure:

The Department reviews each application using selection criteria and priorities published in a notice inviting applications published in the Federal Register and the application package. The U.S. Department of Education sends a Grant Award Notification (GAN) to successful applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately three months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: An SEA may receive only one incentive grant award regardless of the number of consortia in which it participates. Consortium incentive grant awards are calculated on the basis of a two-tiered formula: SEAs whose MEP allocations are \$1 million or less will, in general, receive a grant award that is twice the amount of the award provided to SEAs whose

MEP allocations are greater than \$1 million. Within each tier, awards will be of equal size. However, no SEA will receive an incentive grant award that exceeds the amount of its Title I, Part C, MEP formula grant or \$250,000, whichever is less.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Consortium Incentive Grants for interstate and intrastate coordination activities will be awarded for up to three years, subject to the availability of funds and performance reviews demonstrating substantial progress. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

Annual performance reports are required from SEAs participating in consortium incentive grants and from other grant recipients; interim performance reports may be requested if annual reports do not show substantial progress. Cash reports are not applicable. Specific progress report and requirements will be included in the grant award documents. Expenditure reports are not applicable. Performance monitoring is conducted through quarter phone calls with the assigned Program Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations, grantees must maintain certain project records for 3 years unless they are needed under one of the exceptions for a longer period.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 16 \$3,000,000; FY 17 est \$3,000,000; and FY 18 est \$3,000,000

Range and Average of Financial Assistance:

Range: \$60,000-\$120,000.

TAFS Codes:

91-0900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 75 and 76; 2 CFR Part 200.

Regional or Local Office:

None.

Headquarters Office:

Lindsay Booth Office of Migrant Education, Office of Elementary and Secondary Education, 400 Maryland Ave., SW, room 3E329, Washington, District of Columbia 20202 Email: lindsay.booth@ed.gov Phone: (202)453-6931

Website Address:

<http://www.ed.gov/about/offices/list/oese/ome/index.html>.

RELATED PROGRAMS:

84.011 Migrant Education_State Grant Program; 84.141 Migrant Education_High School Equivalency Program; 84.149 Migrant Education_College Assistance Migrant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Multi-state projects that help migrant students improve literacy and math skills and provide services to out-of-school migrant youth.

Fiscal Year 2017: Multi-state projects to serve out-of-school migrant youth, improve the proper and timely identification and recruitment of eligible migratory children whose education has been interrupted, and provide pre-school services to migrant children, and improve literacy of migrant students and youth. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for interstate/intrastate coordination grants are consistent with 34 CFR 75.

84.145 FEDERAL REAL PROPERTY ASSISTANCE PROGRAM

FEDERAL AGENCY:

Office of Human Resources and Administration, Department of Education

AUTHORIZATION:

Federal Property and Administrative Services Act of 1949, Section 203(k), as amended.; Department of Education Organization Act of 1979.

OBJECTIVES:

To convey surplus Federal Real Property for educational purposes at fair market value.

TYPES OF ASSISTANCE:

SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

Must be used for education purposes including but not limited to higher education, elementary and secondary education, libraries, central administrative facilities, educational television and radio, rehabilitation and training, vocational education and research, correctional education centers.

Applicant Eligibility:

Those groups, organizations, entities, or institutions providing educational programs including: States; their political subdivisions and instrumentalities; and tax supported organizations or private nonprofit institutions held exempt from taxation under Section 501(C)(3) of the Internal Revenue Code of 1954 may apply.

Beneficiary Eligibility:

Program participants receiving educational opportunities will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

All potentially eligible entities are notified of the availability of property including State and local officials or any known educational entity authorized to operate within the general geographical area. Upon receipt of a notice of intent to file an application, a preapplication conference is possible. An environmental impact assessment is required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application guides and deadlines for filing applications are provided on a case by case basis. All applicants must demonstrate the legal, financial, and technical ability to conduct the educational programs proposed.

Award Procedure:

Written notification with follow-up issuance of formal transfer of title.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Thirty to 90 days for tentative decisions, after receipt of the application, and 90 to 360 days to the formal transfer of a title.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 30 years. The fair market value (FMV) of the property is amortized over a period of time established based on the type of property acquired. Public benefit discount of the FMV is granted when public educational programs are being conducted. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

An annual written report of activities by the recipient. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. On-site audits are conducted during the period of restriction to assure operation as specified in the application and deed of transfer.

Records:

Record requirements will be included in award documents.

Account Identification:

91-0800-0-1-503.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Not available.

TAFS Codes:

91-0800.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: 34 CFR 12; Pamphlet: How to Acquire Surplus Federal Real Property for Educational Purposes; Guidelines: Application outline and preparation assistance can be obtained at the zone office level.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a list of regional offices.

Headquarters Office:

Federal Real Property Assistance Program, Office of the Administrator for Management Services, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Phone: (202) 260-4558

Website Address:

<http://www.FRPA@ed.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications must establish eligibility of the institution and its program needs. A public benefit allowance formula, uniformly applied, determines the respective benefits of each program. Basic allowance of 50 percent is allowed to institutions meeting the following: (1) Proof of current need; (2) ability to operate and maintain; (3) suitability of facilities or adaptability for conversion; (4) requirement for utilization through period of restrictions; and (5)

nondiscrimination because of race, color, sex, handicap, or national origin. Additional allowances are made for tax support, accreditation, hardship, not met needs, integrated research, public services, and training programs. For competing programs, the one showing the greatest public benefit is selected. Where property can be divided, as many compatible programs as possible are accommodated.

84.149 MIGRANT EDUCATION COLLEGE ASSISTANCE MIGRANT PROGRAM

(CAMP)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Section 418A, 20 U.S.C 1070d-2.

OBJECTIVES:

To assist students who are engaged, or whose immediate family is engaged, in migrant and other seasonal farmwork, are enrolled or are admitted for enrollment on a full-time basis, at institutions of higher education and are in the first academic year at such an institution.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project funds may be used to provide supportive and instructional services, including tutoring and counseling services and assistance in obtaining student financial aid (including stipends, tuition, and room and board) to first-year college students, assist those students in obtaining financial aid for their remaining undergraduate years, and provide follow-up services, such as monitoring and reporting students' first-year and subsequent year academic progress, and referrals to counseling services, academic assistance, or financial aid.

Applicant Eligibility:

Institutions of higher education or private nonprofit agencies in cooperation with institutions of higher education may apply.

Beneficiary Eligibility:

First-year college students who are engaged, or whose immediate family member is engaged, in migrant and other seasonal farmwork or who have participated or been eligible to participate in the Title I, Migrant Education Program, or the Department of Labor's National Farmworker Jobs Program.

Credentials/Documentation:

To be eligible to participate in a CAMP project, the applicant must: (1) be enrolled or admitted for enrollment as a full-time student at a participating institution of higher education; (2) not be beyond the first academic year of a program of study at the institution of higher education, as determined under the standards of the institution; and (3) be determined by the grantee to need the academic and supporting services and financial assistance provided by the project in order to complete an academic program of study at the institution of higher education. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application forms are available from the Department of Education (the Department), as announced in a Notice Inviting Applications (NIA). An eligible applicant submits its application to the Department no later than the date announced by the Department in the Federal Register. An application must be prepared and submitted in accordance with the regulations, instructions, and forms included

in the grant application package. Applications are reviewed and evaluated by a panel of peer reviewers.

Award Procedure:

The Department reviews each application using selection criteria published in the Federal Register. Afterwards, the Department conducts a budget analysis of allowable activities and costs and recommendations for new grants, and sends a Grant Award Notification (GAN) to a successful applicant of its award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately four months.

Appeals:

Not Applicable.

Renewals:

Grants are awarded up to 60 months. Continuation awards are subject to the availability of funds and recipient performance.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period is up to 60 months; funds are awarded for 12-month budget period. Continuances are subject to the availability of funds and recipient performance. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

In the first project year, a grantee must submit to the Department an Interim Performance Report showing satisfactory performance in order to be eligible to receive a continuation award for the second budget period. For the following years, a grantee must submit an Annual Performance Report (APR) showing satisfactory performance in order to receive a continuation award, and must submit a final performance report 90 days after completion of the project. Special financial reports are required. No cash reports are required. Progress reports are may be required for projects that do not make substantial progress toward program and project goals. Summaries of expenditures are required as part of the APR and its instructions include the need to provide annual summaries of expenditures, but no special financial cash reports are required. Specific performance monitoring requirements will be included in the grant documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the General Education Provisions Act, the Education Department General Administrative Regulations (34 CFR part 75), and Uniform Administrative Requirements in 2 CFR part 200, grantees must maintain certain project records for three years unless they are needed under one of the exceptions in 2 CFR 200.333 for a longer period.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 16 \$22,199,943; FY 17 est \$22,199,943; and FY 18 est \$22,158,000

Range and Average of Financial Assistance:

Range: \$180,000-\$425,000. Average: \$410,615.

TAFS Codes:

91-0900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: : Program performance information from FY 2009 to FY 2015 is available at this website:

<http://www2.ed.gov/programs/camp/performance.html>. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The Office of Management and Budget (OMB) Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485. (c) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. (d) The regulations for this program in 34 CFR part 206. (e) The definitions of migratory agricultural worker in 34 CFR 200.81(d), migratory child in 34 CFR 200.81(e), and migratory fisher in 34 CFR 200.81(f). (f) The regulations in 20 CFR 669.110 and 669.320.

All grantees are required to comply with these regulations, as applicable.

Note: The regulations in 34 CFR part 86 apply to institutions of higher education (IHEs) only.

Regional or Local Office:

None.

Headquarters Office:

Nathan Weiss Office of Migrant Education, Office of Elementary and Secondary Education, US Department of Education, 400 Maryland Avenue, S.W., Room 3E311, Washington, District of Columbia 20202 Email: nathan.weiss@ed.gov Phone: (202) 260-7496

Website Address:

<http://www.ed.gov/programs/camp/index.html>.

RELATED PROGRAMS:

84.011 Migrant Education_State Grant Program; 84.042 TRIO_Student Support Services; 84.047 TRIO_Upward Bound; 84.141 Migrant Education_High School Equivalency Program; 84.144 Migrant Education_Coordination Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Examples of funded applications may be obtained through the Freedom of Information Act (FOIA) request process and may be available at the program website. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Department publishes criteria in a notice inviting applications published in the Federal Register. For the purpose of making grants under this program, the Secretary must consider prior experience of service delivery by expiring projects that submit an application under this program and award up to a maximum of 15 additional points to an application that demonstrates prior experience of service delivery.

84.160 TRAINING INTERPRETERS FOR INDIVIDUALS WHO ARE DEAF AND INDIVIDUALS WHO ARE DEAF-BLIND

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302(f).

OBJECTIVES:

To support projects that increase the numbers and improve the skills of manual, oral, and cued speech interpreters providing services to individuals who are deaf and individuals who are deaf-blind.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are awarded to eligible entities to provide training for manual, tactile, oral and cued speech interpreters. This training may include classroom instruction, workshops, seminars, and field placement. Curriculum may include specialty areas such as interpreting in legal, medical, or rehabilitation settings or for deaf-blind, speech-impaired or developmentally disabled persons.

Applicant Eligibility:

Public or private nonprofit agencies and organizations, including institutions of higher education are eligible for assistance.

Beneficiary Eligibility:

Individuals preparing for employment as interpreters for individuals who are deaf and individuals who are deaf-blind who are presently serving as interpreters and wish to maintain or raise the level of their skills, and the persons who will receive the services of interpreters are beneficiaries of this program.

Credentials/Documentation:

OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-122 for non-profit organizations applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80 apply to this program. Application is made to Department of Education, Application Control Center, 400 Maryland Ave., S.W., Washington, DC 20202-4725.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Grantees are required to share in the cost of projects with a portion subject to individual negotiations.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects may be supported for a maximum of 5 years, with budget periods of 12 months. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance

monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years. Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133.

Records:

As required by EDGAR for direct grant programs (34 CFR Part 75) records related to grant funding, compliance and performance must be maintained for a period of five years after completion of the grant activity.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 16 \$2,400,000; FY 17 est \$2,400,000; and FY 18 est \$2,400,000

Range and Average of Financial Assistance:

to be determined.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Training of Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind Regulations (34 CFR Part 385); (34 CFR Part 396); the Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/students/college/aid/rehab/catinter.html>.

RELATED PROGRAMS:

84.129 Rehabilitation Long-Term Training

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The relevance of the project to the stated objectives of the interpreter training program. The number of trainees expected to be involved; demonstrated capacity or potential for providing interpreter training, the past record of existing training programs; and the geographical area expected to be served.

84.161 REHABILITATION SERVICES CLIENT ASSISTANCE PROGRAM

(CAP)

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title I, Part B, Section 112, 29 U.S.C 732.

OBJECTIVES:

(1) To provide assistance in informing and advising clients and client applicants of available benefits under the Rehabilitation Act; (2) to assist and advocate for clients and client applicants in their relationships with projects, programs and

services provided under this Act, including assistance and advocacy in pursuing legal, administrative and other appropriate remedies; (3) to provide information on available services under the Act and Title I of the Americans with Disabilities Act to any individual with disabilities in the State.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for services to help clients and applicants understand the rehabilitation services available under the Rehabilitation Act, and to provide information to individuals with disabilities in the State. The CAP is not allowed to bring class action suits.

Applicant Eligibility:

States and Territories (through the Governor) are eligible for awards. The Governor shall designate a public or private agency to conduct the State's program.

Beneficiary Eligibility:

Clients and client applicants receiving services or interested in seeking assistance under the Rehabilitation Act of 1973, as amended, will benefit from CAP services.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact the program office for application procedures.

Award Procedure:

Notice of annual allotment to States, quarterly grant award notices and funds are withdrawn under the Electronic Transfer System or by Treasury check.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

The State may appeal to the Office of Administrative Law Judges (34 CFR 81).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: In accordance with the Act Federal funds are distributed on the basis of the relative population of each State, except no State shall receive less than \$50,000, Territories \$30,000. When total funds available for this program exceed \$7,500,000, the minimum allotment is \$100,000 for States and \$45,000 for Territories. The Secretary must reserve funds, at the same amount as provided to outlying areas, for an award to the eligible system established under the Developmental Disabilities Assistance and Bill of Rights Act to serve the American Indian consortium.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are awarded for one fiscal year. Funds that are not obligated by the end of the fiscal year may be carried over for obligation in the year succeeding the year in which the funds were awarded. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Performance reports are required annually. Cash reports are required quarterly. Progress reports are not applicable. Expenditure reports are required annually. No performance monitoring is required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award, as stated in grant or contract terms and conditions.

Records:

Information on clients and client applicants served as cases should be maintained.

Account Identification:

91-0301-0-1-506.

Obligations:

(Formula Grants) FY 16 \$13,000,000; FY 17 est \$13,000,000; and FY 18 est \$13,000,000

Range and Average of Financial Assistance:

For FY 2017, the estimated range of awards is \$59,477 to \$1,328,074.00, with a median State award, excluding outlying areas of \$143,411.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Client Assistance Program Regulations (34 CFR part 370).

Regional or Local Office:

None.

Headquarters Office:

Jim Doyle, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: jim.doyle@ed.gov Phone: (202) 245-6630.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind; 84.187 Supported Employment Services for Individuals with Significant Disabilities; 84.240 Program of Protection and Advocacy of Individual Rights

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.165 MAGNET SCHOOLS ASSISTANCE

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title IV, Part D.

OBJECTIVES:

To provide grants to eligible local educational agencies (LEAs) to establish and operate magnet schools that are operated under court-ordered, agency-ordered, or federally approved voluntary desegregation plans. Magnet programs aim to eliminate, reduce, or prevent minority-group isolation in elementary and secondary schools while strengthening students' academic achievement.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used, among other things, for planning and promotional activities directly related to the development, expansion, continuation, or enhancement of academic programs offered at magnet schools; for purchasing books, materials, equipment; and for paying or subsidizing the salaries of teachers and instructional staff in magnet schools. Funds may also be used for transportation of students to and from a magnet school assuming transportation is sustainable beyond the grant period and transportation costs do not represent a significant portion of the grant funds received by the eligible LEA. Funds may not be used on activities that are not directly related to improving student academic achievement. A grantee may use not more than 50 percent of funds in the first year of the grant, and not more than 15 percent in the second and third years, for planning.

Applicant Eligibility:

LEAs that are implementing court-ordered, agency-ordered or federally approved voluntary desegregation plans that include magnet schools are eligible to apply.

Beneficiary Eligibility:

LEAs and participating students will benefit.

Credentials/Documentation:

Applicants must submit a copy of the desegregation plan they are implementing, or will implement, if assistance is made available. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application notices are published in the Federal Register and applications must be sent to the Education Department on or before the closing date.

Award Procedure:

Recommendations for the approval of applications are made by the program staff on the basis of published criteria, statutory priorities, State comments received under the E.O. 12372 process, and with the advice and assistance of a panel of independent reviewers. In addition, no application may be approved unless the Assistant Secretary for Civil Rights determines that all required assurances will be met.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range is anticipated to be 90 to 120 days from the closing date.

Appeals:

Not Applicable.

Renewals:

The project period for a Magnet School award may not exceed 60 months. To receive continuation funding, grantees must demonstrate substantial progress toward meeting their goals and objectives. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length of grants will be up to 60 months, subject to the availability of

funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As part of the continuation award funding process, grantees must submit performance reports annually. Final performance reports must be submitted within 90 days of the end of the grant award period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Under section 443 of the General Education Provisions Act as well as 75.730-732; and 2 CFR 200.333, grantees must maintain records for 3 years.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 16 \$96,647,000; FY 17 est \$97,647,000; and FY 18 est \$96,643,000

Range and Average of Financial Assistance:

Range of awards: \$350,000-\$3,000,000 (estimated).

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for information on regulations (34 CFR 280).

Regional or Local Office:

None.

Headquarters Office:

Anna Hinton Department of Education, Office of Innovation and Improvement Parental Options and Information, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: anna.hinton@ed.gov Phone: (202) 260-1816

Website Address:

<http://www.ed.gov/programs/magnet/index.html>

RELATED PROGRAMS:

84.004 Civil Rights Training and Advisory Services

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are used to evaluate the applicant's project design, project services, personnel, budget and resources, evaluation plan, and commitment and capacity. Competitive priority is given to applicants that demonstrate the greatest need for assistance; carry out new, evidence-based magnet schools significantly revised magnet schools using evidence-based methods, or replicate and existing magnet school program with a demonstrated record of success; select students to attend magnet schools through methods such as lottery, rather than academic examination, and propose to increase racial integration by taking into account socioeconomic diversity. For funds appropriated for the program in excess of \$75 million, competitive priority is given to those applicants that did not receive funds under the program in the previous fiscal year.

84.173 SPECIAL EDUCATION PRESCHOOL GRANTS

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of

Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part B, Section 619, 20 U.S.C. 1419.

OBJECTIVES:

To provide grants to States to assist them in providing special education and related services to children with disabilities ages 3 through 5 years, and at a State's discretion, to 2- year- old children with disabilities who will reach age three during the school year.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States must distribute all grant funds that the State does not reserve for administration of the program or other State-level activities to eligible local educational agencies. Funds are used by State and local educational agencies, in accordance with the IDEA, to provide special education and related services to children with disabilities ages 3 through 5, and at the State's discretion, to 2-year-old children with disabilities who will reach age 3 during the next school year; and in some cases, early intervening services.

Applicant Eligibility:

State educational agencies in the 50 States, the District of Columbia, and the Commonwealth of Puerto Rico. Local educational agencies apply to their State educational agency for sub-grants.

Beneficiary Eligibility:

Children aged 3 through 5 with disabilities, and (at the State's option) 2-year-old children with disabilities that will reach age 3 during the school year, that require special education and related services will benefit.

Credentials/Documentation:

No State or jurisdiction may receive a grant unless the Secretary has approved the annual application and statement of assurances. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States are eligible to receive funds under this program if the State establishes eligibility under Section 612 of Part B of the IDEA and if the State makes a free appropriate public education available to all children ages 3 through 5 years with disabilities residing in the State. Local educational agencies establish eligibility for Preschool Grant funds by meeting local application eligibility requirements in section 613 of Part B of the IDEA.

Award Procedure:

Once the State application has been approved, a grant award representing the total preschool grant amount for that fiscal year is forwarded to the State's department of education. Notification of the award is made to the Chief State School Officer. States make subgrants to eligible local educational agencies from their initial grant award after setting aside funds for administration and state-level activities.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The time for reviewing the States application is determined by EDGAR (in 34 CFR 76.703) and ranges from 45 to 90 days.

Appeals:

The Department must not take final action to disapprove an application until the

State is provided reasonable notice and an opportunity for a hearing. The State must request a hearing no later than 30 days after it receives notice of the proposed final determination that the State is not eligible to receive its award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Statutory citation: IDEA Part B Sec. 619(c)

In general, funds are distributed to eligible entities through a formula based on general population and poverty. Under the formula, each State is first allocated an amount equal to its fiscal year 1997 allocation. For any year in which the appropriation is greater than the prior year level, 85 percent of the funds above the fiscal year 1997 level are distributed based on each States relative percentage of the total number of children aged 3 through 5 in the general population. The other 15 percent is distributed based on the relative percentage of children aged 3 through 5 in each State who are living in poverty.

The statute also includes several maximum and minimum allocation requirements when the amount available for distribution to States increases. If the amount available for allocation to States remains the same from one year to the next, States receive the same level of funding as in the prior year. If the amount available for allocation to States decreases from the prior year, any amount available for allocation to States above the 1997 level is allocated based on the relative increases in funding that the States received between 1997 and the prior year. If there is a decrease below the amount allocated for 1997, each States allocation is ratably reduced from the 1997 level.

This program has no matching requirements. However, there are non-supplanting and excess cost requirements.

This program has MOE requirements, see funding agency for further details. MOE requirements for this program apply to both State Educational Agencies and local educational agencies.

Length and Time Phasing of Assistance:

Grants are issued each fiscal year. The Federal obligation period is a 15-month period from July 1 through September 30 of the following fiscal year. State and local educational agencies have an additional 12-month period to obligate funds they receive. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

State Performance Plans (SPPs) and Annual Performance Reports (APRs) are submitted to the Department of Education from the State Departments of Education. No cash reports are required. No progress reports are required. No expenditure reports are required. Performance monitoring is applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR for State administered programs (34 CFR 76.730-731), generally, the State and its subgrantees must retain records related to grant funds and compliance for a period of 3 years from the date the grantee or subgrantee submits its final expenditure report for that funding period. CFR 200.333. Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review.

Account Identification:

91-0300-0-1-501.

Obligations:

(Formula Grants) FY 16 \$368,238,000; FY 17 est \$368,238,000; and FY 18 est \$368,238,000

Range and Average of Financial Assistance:

For FY 2017, regular annual allotments to States, including DC and Puerto

Rico, ranged from \$229,556 to \$36,944,889, with an average award of \$7,081,500.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are at 34 CFR Part 300; 34 CFR Parts 76-77, 79, 81-82, 84-86, and 97-99; and 2 CFR Part 200.

Regional or Local Office:

None.

Headquarters Office:

Gregg Corr Office of Special Education Programs, Department of Education, 400 Maryland Avenue, SW, Washington, District of Columbia 20202 Email: gregg.corr@ed.gov Phone: (202) 245-7309

Website Address:

<http://www.ed.gov/about/offices/list/osep/osep/programs.html>.

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.181 Special Education-Grants for Infants and Families

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

States and other eligible entities qualify for funding if they submit approvable applications under IDEA, section 612 and if they make a free appropriate public education available to all children with disabilities ages three through five years, residing in the State.

84.177 REHABILITATION SERVICES INDEPENDENT LIVING SERVICES FOR OLDER INDIVIDUALS WHO ARE BLIND

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 2.

OBJECTIVES:

To provide any independent living services that are described in 34 CFR Section 367.3(b) of the program regulations to older individuals who are blind that improve or expand services for these individuals; and conduct activities to help improve public understanding of the problems of these individuals.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Secretary must first set aside not less than 1.8 percent and not more than 2 percent of the funds appropriated to the ILOIB program be used to provide training and technical assistance to Designated State Agencies or other providers of ILOIB services that receive ILOIB program funds. The designated State unit may use funds awarded under the Independent Living Services for Older Individuals Who are Blind (ILOIB) program to carry out the activities described in the program objectives section of this document. Among the independent living (IL) services that can be provided to older individuals who are blind are those services to help correct blindness, provision of eyeglasses and other visual aids, services and equipment to enhance mobility and self-sufficiency, mobility training, Braille instruction, and other services and equipment to help older persons who are blind adjust to blindness, guide services, reader services and transportation, and any other appropriate services to help an older person who is blind in coping with daily living activities, IL core services including IL skills training, information and referral, peer counseling and individual and systems advocacy; and other IL services as defined in the Rehabilitation Act and the IL program regulations.

Applicant Eligibility:

Any State agency in the 50 States and the District of Columbia and territories (Commonwealth of Puerto Rico and Virgin Islands) and outlying areas (Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands) designated by the State as the State agency and authorized to provide rehabilitation services to blind individuals may apply. In order to receive assistance under this program, a designated state agency (DSA) must submit in a timely manner and obtain approval from the Department of an application containing the agreements, assurances, and information that the Department determines to be necessary to carry out this program.

Beneficiary Eligibility:

Older individuals who are blind, as defined in 34 C.F.R. 367.5 of the ILOIB program regulations are the primary beneficiaries of assistance under this program. This term means An individual aged 55 or older whose severe visual impairment makes competitive employment extremely difficult to obtain but for whom independent living goals are feasible."

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Education Department General Administrative Regulations at 34 CFR Parts 76 and 80 apply to this program. In order to be eligible for an allotment under this program, States must submit an application for a grant under the ILOIB program in the form and manner prescribed by the Department and the application must contain the assurances listed in 367.11 of the ILOIB program regulations and section 752(i) of the Rehabilitation Act, including an assurance that the DSA will seek to incorporate any new methods and approaches relating to services for older individuals who are blind into the State Plan for Independent Living.

Award Procedure:

A notice of annual allotment to the State is issued. Initial distributions are subject to reallocation at the end of the fiscal year if the Commissioner determines that the State will not expend its allotment. States receive annual grant award notices and funds may be withdrawn under the Electronic Transfer System.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

34 CFR 76.703 governs the approval/disapproval time of State plans in relation to when a State may begin to obligate funds.

Appeals:

After reasonable effort has been made to resolve the questions involved, and the State has pursued a hearing before the Office of the Administrative Law Judge, the State may appeal to the United States Court of Appeals for the circuit in which the State is located pursuant to the 34 CFR 76.202 and 76.902.

Renewals:

Awards are made on an annual basis and may be renewed for up to two additional years, for a total of three years under an approved ILOIB application and the availability of funds.

Formula and Matching Requirements:

Statutory Formula: Section 752(i) of the Rehabilitation Act contains the formula the Department uses for the allocation of funds to each State. Formula grant funds are distributed based on the population of individuals who are 55 years of age or older and the source is the Bureau of the Census. No State shall

receive less than \$225,000 or one-third of one percent of the amount made available for formula grants for the fiscal year, whichever is greater. The minimum allotment for Territories is \$40,000. In addition, section 751A of the Rehabilitation Act requires the Commissioner to reserve not less than 1.8 percent and not more than 2 percent of the funds appropriated for the Independent Living Services for Older Individuals Who are Blind program to provide training and technical assistance to the designated State agency providing independent living services for individuals who are blind. Matching Requirements: Under section 752(f) of the Rehabilitation Act (34 CFR 367.31(b) and 367.61), the States must contribute \$1 for each \$9 of Federal grant funding received, in cash or in kind. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis under an approved 3-year ILOIB application. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by 34 C.F.R. 80.40 and 80.41 of the Education Department General Administrative Regulations (EDGAR), performance reports and financial reports are required annually. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR for State-administered programs (34 CFR 76). Generally, States and subgrantees must retain records related to grant funds and compliance for a period of 3 years.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 16 \$33,317,000; FY 17 est \$33,317,000; and FY 18 est \$33,317,000

Range and Average of Financial Assistance:

For FY 2016, the estimated range of awards is \$40,000 to \$3,143,896, with a median State award, excluding outlying areas of \$393,226.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 58,555 individuals received services under the Independent Living Services for Older Individuals who are Blind program, over half of whom were over 80 years of age. Additionally, approximately 33,641 (57 percent) of those individuals were either legally blind or totally blind; 21 percent of individuals receiving services under this program also has some degree of hearing impairment. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 76, 77, 79, 80, 81, 82, 84, 85, and 97; Program Regulations in 34 CFR Parts 367 and selected sections of 34 CFR 364 and 365, listed in 34 CFR 367.4.

Regional or Local Office:

None.

Headquarters Office:

James Billy, Department of Education, Office of Special Education and Rehabilitation Services, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: james.billy@ed.gov Phone: (202) 245-7273.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.240 Program of Protection and Advocacy of Individual Rights

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

An approved ILOIB application is a condition for receipt of funds under the OIB program under Chapter 2 of Title VII of the Rehabilitation Act. Application requirements are contained in section 752(h) of the Rehabilitation Act and 34 CFR 367.30 and 367.31.

84.181 SPECIAL EDUCATION-GRANTS FOR INFANTS AND FAMILIES

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part C, 20 U.S.C. 1431-1444.

OBJECTIVES:

To provide grants to States to assist them to implement and maintain a Statewide, comprehensive, coordinated, multidisciplinary, interagency system to make available early intervention services to infants and toddlers with disabilities and their families.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are used to assist States in implementing and maintaining their Statewide systems of early intervention services. Funding may also be used to provide direct services for eligible infants and toddlers with disabilities aged birth through 2 and their families that are not otherwise provided by other public or private sources, to expand and improve services for infants and toddlers with disabilities that are otherwise available and to provide a free appropriate public education, in accordance with Part B of IDEA, to children with disabilities from their third birthday to the beginning of the following school year. States also have the discretion to provide services to infants and toddlers who are at risk of having substantial developmental delays if they do not receive appropriate early intervention services. The Individuals with Disabilities Education Improvement Act of 2004 amended IDEA to allow states, at their discretion, to continue to serve children with disabilities under this program beyond age three until the children enter or are eligible to enter kindergarten or elementary school, if such children are otherwise eligible for services under the Preschool Grants Program (84.173), and previously received services under the Part C program.

Applicant Eligibility:

Eligible applicants are the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Secretary of the Interior and the following four outlying area jurisdictions: Guam, American Samoa, the Virgin Islands, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

Infants and toddlers with disabilities aged birth through 2 and their families will benefit.

Credentials/Documentation:

In general, each State or jurisdiction must submit an application, including certifications, policies and procedures, and statement of assurances to receive IDEA Part C funds. Each State lead agency is designated by the Governor of that State or jurisdiction. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is

not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Specific application procedures are provided in the application form for the program. The State lead agencies, the applicants for this program, are designated by the Governor.

Award Procedure:

Once the State application is approved, a grant award representing the total State allocation is forwarded to the State lead agency.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The time for reviewing the State's application is determined by EDGAR (in 34 CFR 76.703) and ranges from 45 to 90 days.

Appeals:

The Department must not take final action to disapprove an application until the State is provided reasonable notice and an opportunity for a hearing. The State must request a hearing no later than 30 days after it receives notice of the proposed final determination that the State is not eligible to receive its award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Statutory citation: IDEA Part C Sec.643.

Awards are based on each State applicant's proportionate share of children, aged birth through two years, in the general population, based on the most recent satisfactory data available from the United States Bureau of the Census. No State may receive less than 0.5 percent of the total funds available for all States. The Department of Interior receives 1.25 percent of the amount available to States. The Outlying Areas combined receive up to 1 percent of the funds appropriated. 20 U.S.C. 1443. This program has nonsupplanting requirements. 20 USC 1437(b)(5).

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grants are issued each fiscal year. The Federal obligation period is a 15-month period from July 1 through September 30 of the following fiscal year. States have an additional 12-month period to obligate their funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

State lead agencies must submit an Annual Performance Report under IDEA sections 616 and 642, and other information that the Secretary may require under Section 618 of the Act. An annual report must be submitted to the Governor and to the Secretary by the State Interagency Coordinating Council on the status of early intervention programs operated within the State as required under Section 641(e)(1)(D) of the Act. State Performance Plans and Annual Performance Reports are submitted to the Department of Education by the lead agency on an annual basis. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR for State administered programs (34 CFR 76.730-731), generally, the State lead agency and its contractors must retain records related to grant funds and compliance for a period of 3 years from the date the grantee submits its final expenditure report for that funding period. 2 CFR 200.333. Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review.

Account Identification:

91-0300-0-1-501.

Obligations:

(Formula Grants) FY 16 \$458,556,000; FY 17 est \$458,556,000; and FY 18 est \$458,556,000

Range and Average of Financial Assistance:

For 2017, regular annual allotments to States, including DC and Puerto Rico ranged from, \$2,247,675 to \$54,602,706; with an average award of \$8,644,902.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 303.

Regional or Local Office:

None.

Headquarters Office:

Gregg Corr Office of Special Education Programs, Department of Education, 400 Maryland Avenue, SW, Washington, District of Columbia 20202 Email: gregg.corr@ed.gov Phone: (202) 245-7309

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/programs.html>.

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

State lead agencies designated by the Governor are eligible applicants and must submit an approved application under Part C of the IDEA for regular IDEA Part C funds.

84.184 SCHOOL SAFETY NATIONAL ACTIVITIES (FORMERLY, SAFE AND DRUG-FREE SCHOOLS AND COMMUNITIES-NATIONAL PROGRAMS)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title IV, Part F, Subpart 3, Section 4631.

OBJECTIVES:

To improve students' safety and well-being during and after the school day.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Projects funded under this program support activities to foster a safe, secure, and drug-free learning environment, facilitate emergency management and preparedness, and prevent drug use and violence by students, including through the improvement of school climate. The Secretary may fund applications that address specific priorities and requirements published in the Federal Register.

Applicant Eligibility:

Public and private entities, and individuals.

Beneficiary Eligibility:

State educational agencies, local educational agencies, institutions of higher education, public and private organizations and institutions will benefit.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 3474, 2 CFR 3485, 34 CFR 299, and the Education Department General Education Regulations in 34 CFR 75, 77, 79, 81, 82, 84, 97, 98, and 99 apply to this program. Applications or proposals must be prepared and submitted in accordance with applicable program announcements.

Award Procedure:

After completion of competitive peer review of applications, the Department of Education makes the final decision on the approval and funding of applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The estimated amount of time needed to approve/disapprove an application is five months following the application deadline date.

Appeals:

Not Applicable.

Renewals:

Contingent upon the availability of funding and satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants will generally be made for a period of 12 to 48 months. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Specified reporting requirements are included in the grant, cooperative agreement or contract document. Cash reports are not applicable. Specific progress reporting requirements are included in grant award documents. Specific expenditure reporting requirements are included in grant award documents. Specific performance reporting requirements are included in grant award documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with Section 443 of the General Education Provisions Act, as well as 75.730-732 and 2 CFR 200.333, grantees must maintain records for 3 years.

Account Identification:

91-0203-0-1-501.

Obligations:

(Project Grants) FY 16 \$75,000,000; FY 17 est \$68,000,000; and FY 18 est \$74,857,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-0203.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 3 new and 104 continuation grant awards were made, in addition to the provision of technical assistance to State and local educational agencies and institutions of higher education. Fiscal Year 2017: 104 continuation grant awards will be made, in addition to the provision of technical assistance to State and local educational agencies and institutions of higher education. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations applicable to this program are 2 CFR 200, 2 CFR 3474, 2 CFR 3485, 34 CFR 299, and the Education Department General Education Regulations in 34 CFR 75, 77, 79, 81, 82, 84, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

David Esquith Department of Education, Office of Elementary and Secondary Education, LBJ Building, Room 3E328, Washington, District of Columbia 20202 Email: david.esquith@ed.gov Phone: (202) 453-6722

Website Address:

<http://www2.ed.gov/about/offices/list/oes/oes/index.html>

RELATED PROGRAMS:

84.424 Student Support and Academic Enrichment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: School Climate Transformation Grants, Project Prevent Grants, and Promoting Student Resilience Grants. Fiscal Year 2017: School Climate Transformation Grants and Project Prevent Grants. Fiscal Year 2018: School Climate Transformation Grants and Project Prevent Grants.

CRITERIA FOR SELECTING PROPOSALS:

Criteria may include, among others: (1) Need for the project; (2) significance; (3) quality of project design; (4) adequacy of resources; (5) quality of the management plan; and (6) quality of project evaluation.

84.187 SUPPORTED EMPLOYMENT SERVICES FOR INDIVIDUALS WITH THE MOST SIGNIFICANT DISABILITIES

(Supported Employment State Grants)

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended (Rehabilitation Act), Title VI.

OBJECTIVES:

To provide grants for time limited services leading to supported employment for individuals with the most significant disabilities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Federal grant funds are awarded to State Vocational Rehabilitation (VR) agencies to supplement services provided under Title I of the Rehabilitation Act to assist individuals with the most significant disabilities in achieving the employment outcome of supported employment. Supported employment enables individuals with the most significant disabilities who, because of the nature and severity of their disability, need ongoing support, including intensive supported employment services followed by extended services after the individuals ability to perform the job with support has stabilized, in order to

maintain competitive integrated employment. State VR agencies use funds to provide services and supports such as: (1) skilled job trainers who accompany the worker for intensive on-the-job training; (2) systematic training; (3) job development; (4) follow-up services; (5) regular observation or supervision at training sites; and (6) other services needed to support an individual in employment. The Workforce Innovation and Opportunity Act (WIOA) (Public Law 113-128), enacted on July 22, 2014, requires States to reserve and expend half of their allotment for the Supported Employment Services program to provide supported employment services for youth with the most significant disabilities. States must provide a 10% match for that half of the allotment reserved for such youth. States are also permitted to provide extended services for up to four years to eligible youth with the most significant disabilities.

Applicant Eligibility:

The State VR agency designated in the VR services portion of the Unified or Combined State plan to administer the VR program is eligible to receive Federal funds under this program..

Beneficiary Eligibility:

Individuals with the most significant disabilities who have been determined eligible for VR services under Title I of the Rehabilitation Act and who have identified supported employment as an employment outcome.

Credentials/Documentation:

OMB's Uniform Guidance for Grantees at 2 CFR part 200 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each State must submit a supplement to the VR services portion of the Unified or Combined State plan under WIOA.

Award Procedure:

Grant awards are made to States with an approved supported employment supplement to the VR services portion of the Unified or Combined State plan. States are notified of their annual allotment under the funding formula. Grant funds are withdrawn under the Electronic Transfer System.

Deadlines:

Jul 01, 2016 A State must submit the VR services portion of the 4-year Unified or Combined State Plan, which includes a Supported Employment supplement, to the Department of Labor by March of 2016 for an effective date of July 1, 2016.

Range of Approval/Disapproval Time:

Approval of the Unified or Combined State Plan, including the VR services portion of that plan, is governed by sections 102 or 103 of Title I of WIOA.

Appeals:

Appeal procedures are governed by sections 102 or 103 of Title I of WIOA, as appropriate.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Rehabilitation Act of 1973, as amended, Title VI, Part B. Title VI of the Rehabilitation Act. Supported Employment funds are distributed based on the U.S. total populations and State population and the source is the Population Estimates Annual, Bureau of the Census. No State shall receive less than \$300,000 or one-third of one percent of the sums made available for the fiscal year, whichever is greater. The minimum allotment for Territories is 1/8 of 1 percent of the amount appropriated.

Matching Requirements: Pursuant to the amendments made by WIOA, States

must provide a match of 10 percent for the half of their allotment that must be reserved to provide supported employment, including extended services, to youth with the most significant disabilities.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds become available for obligations for the fiscal year for which they are appropriated and may remain available for obligation for an additional year provided the match requirement, as applicable, was satisfied in the year of the award. Grant funds are withdrawn under the Electronic Transfer System. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

Annual and quarterly progress reports and an annual budget (Standard Form 425) are required. Cash reports are not applicable. Progress reports are not applicable. Annual and quarterly progress reports and an annual budget (Standard Form 425) are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Case service records on individual consumers, fiscal records for a prescribed period for audit purposes and retention of records for three years are required.

Account Identification:

91-0301-0-1-506.

Obligations:

(Formula Grants) FY 16 \$27,548,000; FY 17 est \$27,548,000; and FY 18 est \$0

Range and Average of Financial Assistance:

In FY 2017, the estimated range of awards is \$34,435 to \$2,770,852, with a median State award, excluding outlying, areas of \$306,614.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: State VR agencies assisted over 19,000 individuals with the most significant disabilities to obtain a supported employment outcome, including both consumers who received SE services from funds provided under the VR State Grants and under the Supported Employment State Grants programs. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Supported Employment Services for Individuals with the Most Significant Disabilities (34 CFR part 363).

Regional or Local Office:

None.

Headquarters Office:

Carol Dobak, Department of Education, OSERS Rehabilitation Services Administration 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: Carol.Dobak@ed.gov Phone: (202) 245-7325.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

64.013 Veterans Prosthetic Appliances; 64.116 Vocational Rehabilitation for Disabled Veterans; 84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.161 Rehabilitation Services_Client Assistance Program; 84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable (Catalog users should contact the State Vocational Rehabilitation agency for criteria).

**84.191 ADULT EDUCATION NATIONAL LEADERSHIP ACTIVITIES
FEDERAL AGENCY:**

Office of Career, Technical, and Adult Education, Department of Education

AUTHORIZATION:

Adult Education and Family Literacy Act, (AEFLA), Title II of the Workforce Innovation and Opportunity Act, Section 243, 20 USC 9253.

OBJECTIVES:

To support applied research, development, demonstration, dissemination, evaluation, and related activities that contribute to the improvement of adult education and literacy activities nationally.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Direct Payments for Specified Use; Project Grants; Project Grants (Contracts)

USES AND USE RESTRICTIONS:

To support activities to enhance the quality of adult education and literacy activities nationwide. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

Postsecondary education institutions, public or private agencies or organizations, or consortia of these institutions, agencies, or organizations are eligible.

Beneficiary Eligibility:

Basic education and literacy programs for adults seeking to obtain an education at the primary or secondary levels will benefit through national evaluation, research, leadership, and/or technical assistance efforts.

Credentials/Documentation:

Legal signature by a designated official on the application or proposal. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs will also be determined in accordance with OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for nonprofit institutions. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed when applying for a competitive grant. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be prepared and submitted to the Department of Education in accordance with program announcements and established deadlines which are published in the Federal Register.

Award Procedure:

Proposals or applications are reviewed in accordance with regulations for the Adult Education Act. After negotiation, a notification for award is sent to the successful recipient.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding will usually cover a 12-18 month period. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

Financial status and performance reports required by the Education Department General Administrative Regulations, 34 CFR 75.720. Specific reporting requirements are contained in the award document. Subject to the terms of the award document, cash reports, progress reports, expenditure reports and performance monitoring may or may not be applicable. No cash reports are required. No progress reports are required. No expenditure reports are required. Contact program office.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for three years.

Account Identification:

91-0400-0-1-501.

Obligations:

(Project Grants (Contracts)) FY 16 \$13,712,000; FY 17 est \$13,712,000; and FY 18 est \$13,686,000

Range and Average of Financial Assistance:

For Fiscal Year 2016: Range: \$200,000 - \$2,800,000; Average: \$950,000.

TAFS Codes:

91-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are found in 34 CFR 460 and 34 CFR 75.730-75.734. EDGAR

Regional or Local Office:

None.

Headquarters Office:

Christopher Coro, Department of Education, OVAE Division of Adult Education and Literacy 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: christopher.coro@ed.gov Phone: (202) 245-7717

Website Address:

<http://www2.ed.gov/programs/aenla/index.html>

RELATED PROGRAMS:

84.002 Adult Education - Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for additional information.

84.196 EDUCATION FOR HOMELESS CHILDREN AND YOUTH**FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act, Title VII, Subtitle B.

OBJECTIVES:

To ensure that all homeless children and youth have equal access to the same free, appropriate public education available to other children, the Education for Homeless Children and Youth program provides assistance to States to: (1) establish or designate an Office of Coordinator for Education of Homeless Children and Youths; (2) develop and carry out a State plan for the education of homeless children; and (3) make subgrants to local educational agencies (LEAs) to support the education of those children.

TYPES OF ASSISTANCE:**FORMULA GRANTS****USES AND USE RESTRICTIONS:**

State educational agencies (SEAs) may use grant funds for State-level activities (including activities of the Office of Coordinator for Education of Homeless Children and Youths) and to make subgrants to LEAs. LEAs may use subgrant funds for activities allowable under section 723(d) of the McKinney-Vento Homeless Assistance Act that facilitate the educational success of homeless children and youth. Such activities include but are not limited to: enriched supplemental instruction, transportation, health care referral services, and professional development for teachers. Services provided with these funds cannot replace the regular academic program and must expand upon or improve services provided as part of the regular academic program.

Applicant Eligibility:

State educational agencies in the 50 States, the District of Columbia, and Puerto Rico may apply. Funds are also reserved for the Outlying Areas and the Department of Interior/Bureau of Indian Education. LEAs are eligible for State subgrants.

Beneficiary Eligibility:

Homeless children and youth in elementary and secondary schools (and homeless preschool children and their parents benefit.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A State that desires to receive a grant under this program must submit a plan to the Department that meets the requirements of section 722(g) of the McKinney-Vento Homeless Assistance Act.

Award Procedure:

The Department awards grants to States by formula. States then award subgrants competitively to LEAs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Statutory Formula: McKinney-Vento Homeless Assistance Act, Title VII, Subpart B, section 722(c). Each State receives an amount that bears the same ratio to the amount appropriated for this program as the amount allocated to the State under Section 1122 of the Elementary and Secondary Education Act bears to the total allocations made under Section 1122, except that no State shall receive less than the greater of \$150,000, 0.25 percent of the total program appropriation, or the amount of the State's fiscal year 2001 award. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

States receive formula grants annually. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

State grantees must submit annual performance and financial reports as required by the Education Department General Administrative Regulations (EDGAR) at 34 CFR Part 76. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Specific performance monitoring requirements will be included in the grant documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years.

Account Identification:

91-1000-0-1-501.

Obligations:

(Formula Grants) FY 16 \$70,000,000; FY 17 est \$77,000,000; and FY 18 est \$69,867,000

Range and Average of Financial Assistance:

FY 17 range of awards: \$192,500-\$9,004,642FY 2017 average State award: \$1,439,769.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance for the Education for Homeless Children and Youth program may be obtained from the Headquarters Office contact below.

Regional or Local Office:

None.

Headquarters Office:

John McLaughlin, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: john.mclaughlin@ed.gov Phone: (202) 401-0962.

Website Address:

<http://www.ed.gov/programs/homeless/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

States receive grants noncompetitively by formula. LEA subgrants are awarded competitively by States on the basis of need and the quality of applications.

**84.200 GRADUATE ASSISTANCE IN AREAS OF NATIONAL NEED
FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VII, Part A, Subpart 2.

OBJECTIVES:

To provide fellowships through graduate academic departments, programs, and units of institutions of higher education to graduate students of superior ability who demonstrate financial need for the purpose of sustaining and enhancing the capacity for teaching and research in academic areas of national need, as designated by the Secretary.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards made to institutions under this part shall be used exclusively to provide direct fellowship aid that will include need-based stipends, based on the support level of National Science Foundation fellowships and an institutional allowance for each individual awarded a fellowship to be adjusted annually in accordance with the Department of Labor's Consumer Price Index. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at 202-708-7770.

Applicant Eligibility:

Academic departments and programs of IHEs that provide courses of study leading to a graduate degree may apply. Nondegree granting institutions may submit joint proposals with degree-granting IHEs.

Beneficiary Eligibility:

Graduate students receiving fellowships must demonstrate financial need, have excellent academic records, plan to pursue the highest degree in the field, and be a U.S. citizen or a National, or a permanent resident of the U.S., or intend to become a U.S. citizen, be a permanent resident of the Trust Territory of the Pacific Islands, or a citizen of any one of the Freely Associated States.

Credentials/Documentation:

A properly formatted application must be filed with the Secretary. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Instructions and forms concerning application procedures are available on the program website following publication of the Notice Inviting Application funding criteria in the Federal Register.

Award Procedure:

Panels of experts from outside the Government will appraise all applications. The program office will recommend funding to the Secretary, who will make the final decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range is approximately three months.

Appeals:

Not Applicable.

Renewals:

Noncompeting continuation applications must be given funding preference subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 25%. A grantee must match the award by 25 percent. Federal funds and matching funds must be used for stipends, tuition fees, and other educational costs of students.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length of the award is three years, subject to annually appropriations. However students may receive support for up to five years. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual fiscal and performance reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Audit requirements as described in 34 CFR 74.26 do not apply to this program.

Records:

None.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$29,293,000; FY 17 est \$28,047,000; and FY 18 est \$5,775,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations were published in the Federal Register on December 16, 1993. The application package including forms and regulations are available on the GAANN website. See program website for further information.

Regional or Local Office:

None.

Headquarters Office:

Rebecca Ell Teacher & Student Development Programs Service, Graduate Assistance in Areas of National Need Program, Office of Postsecondary Education, Department of Education, 1990 K St, NW, 7th Floor, Washington, District of Columbia 20006 Email: rebecca.ell@ed.gov Phone: (202) 502-7779

Website Address:

<http://www.ed.gov/programs/gaann/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

This program uses the selection criteria contained in program regulations made available in the application package. Contact the program office for additional information. See program website for further information.

84.206 JAVITS GIFTED AND TALENTED STUDENTS EDUCATION

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Section 4644.

OBJECTIVES:

To promote and initiate a coordinated program of evidence-based research, demonstration projects, innovative strategies, and similar activities designed to build and enhance the ability of elementary and secondary schools nationwide to identify gifted and talented students and meet their special educational needs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are used to make project grants and to establish and maintain a National Center for the Education of Gifted and Talented Children and Youth. Funds may be used for: (1) conducting research on methods and techniques for identifying and teaching gifted and talented students and for using gifted and talented programs and methods to serve all students; (2) establishing and operating programs and projects for identifying and serving gifted and talented students, including innovative methods and strategies for identifying and educating students who might not be served by a traditional program; and (3) technical assistance and information dissemination, including on how gifted and talented programs and methods may be adapted for use by all students. Funds may also be used for the training of personnel in the identification and education of gifted and talented students and in the use, where appropriate, of gifted and talented services, materials, and methods for all students.

Applicant Eligibility:

State and local educational agencies, the Bureaus of Indian Education, institutions of higher education, and other public and private agencies and organizations may apply for project grants.

Beneficiary Eligibility:

Students (including gifted and talented students) and their teachers benefit.

Credentials/Documentation:

OMB Circular No. A-87 for State and local governments, OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for private nonprofit organizations apply to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Procedures are described in an application notice published in the Federal Register. Applicants should contact the headquarters office as listed below for application packages containing the announcement, application, and other forms.

Award Procedure:

New project grant awards are made if funds are available and are not necessarily made each year. The selection of grantees is competitive and based on a nonfederal review of applications using selection criteria contained in 34 CFR 700, Evaluation of Applications for Grants and Cooperative Agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately two to four months after the application deadline.

Appeals:

Not Applicable.

Renewals:

Following an initial, competitively selected award of up to 12 months, grantees may receive additional 1-year non-competing continuation awards, for a total maximum term of 3-5 years, contingent on performance and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Following an initial, competitively selected award of up to 12 months, grantees may receive additional 1-year non-competing continuation awards for a total maximum term of 3 - 5 years, contingent on performance and availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit an annual performance and financial reports as required by the Education Department General Administrative Regulations (EDGAR) at 34 CFR 75 unless otherwise specified in the award document. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Generally, records related to grant funds, compliance, and performance must be maintained for a period of 3 years after completion.

Account Identification:

91-1000-0-1-501.

Obligations:

(Project Grants) FY 16 \$12,000,000; FY 17 est \$12,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of Education's General Administrative Regulations (EDGAR) 34 CFR 74, 75, 77, 78, 79, and 80.

Regional or Local Office:

None.

Headquarters Office:

Theda Zawaiza, Department of Education, OESE, Jacob K. Javits Gifted and Talented Students Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: theda.zawaiza@ed.gov Phone: (202) 205-3783.

Website Address:

<http://www.ed.gov/programs/javits/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The criteria for the approval of proposals under this program are selected from among those in 34 CFR 75.210. General Selection Criteria, and announced in

an application notice published in the Federal Register.

84.215 FUND FOR THE IMPROVEMENT OF EDUCATION
(FIE)

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title V, Part D, Subpart 1. This program was repealed by P.L. 114-95.

OBJECTIVES:

To conduct nationally significant programs to improve the quality of education, assist all students to meet challenging State content standards, and contribute to the achievement of elementary and secondary students.

TYPES OF ASSISTANCE:

Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Funds may be used to support a wide range of projects under the Fund for the Improvement of Education (FIE) general authority for programs, and additional specific program authorities. Competitions are announced in the Federal Register. Specific limitations and restrictions for each competition are provided in the Federal Register notice.

Applicant Eligibility:

State educational agencies, local educational agencies, institutions of higher education, public and private organizations and institutions may apply.

Beneficiary Eligibility:

State educational agencies, local educational agencies, institutions of higher education, public and private organizations and institutions will benefit.

Credentials/Documentation:

OMB Circular No. A-21; OMB Circular No. A-122. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedures are described in application notices published annually in the Federal Register. Contact the headquarters offices listed below for application packages containing the announcement, application, and assurance forms and for further information about the specific programs.

Award Procedure:

Awards are competitively selected following review by nonfederal experts in accordance with Subpart D of Part 75 of the Education Department General Administrative Regulations (EDGAR).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant program (see 34 CFR 75.253). Generally, for multiple-year awards, continuation awards after the first budget period are made if: sufficient funds have been appropriated; the recipient has either made substantial progress in the meeting of the goals of the project or obtained approval for changes in the project; the recipient has submitted all required reports; and continuation is in the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The Secretary may require a match.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually. Following an initial, competitively selected award, additional non-competing continuation awards may be made. The possible length of the project is announced in the application notice in the Federal Register. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Specific reporting requirements are included in grant award documents. Generally, annual performance and financial reports are required. Cash reports are not applicable. Annual performance reports are required as part of the submittal of form ED 524B. Annual expenditure reports are required as part of the submittal of form ED524B. Staff also monitors grants drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED524B.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by 2 CFR 200.333 and 34 CFR 75). Generally, records related to grant funds, compliance, and performance must be maintained for a period of 3 years after completion.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 16 \$53,815,000; FY 17 est \$0; and FY 18 est \$0 - This program was repealed by P.L. 114-95.

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: This program was repealed by P.L. 114-95. Fiscal Year 2018: This program was repealed by P.L. 114-95.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable Regulations: (a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485. (c) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474.

Regional or Local Office:

None.

Headquarters Office:

Holly Clark Office of Innovation and Improvement (OII), 400 Maryland Avenue S.W., Washington, District of Columbia 20202 Email: holly.clark@ed.gov Phone: (202)401-4942

Website Address:

<http://www2.ed.gov/programs/fie/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: This program was repealed by P.L. 114-95. Fiscal Year 2018: This program was repealed by P.L. 114-95.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for these programs are contained in Section 75.210 of the Education Department General Administrative Regulations (EDGAR). The specific criteria to be used for particular grant competitions are announced in the application package or application notice in the Federal Register.

**84.217 TRIO MCNAIR POST-BACCALAUREATE ACHIEVEMENT
FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1 Section 402E.

OBJECTIVES:

To provide grants for institutions of higher education to prepare participants for doctoral studies through involvement in research and other scholarly activities. Participants are from disadvantaged backgrounds and have demonstrated strong academic potential. Institutions work closely with participants as they complete their undergraduate requirements. Institutions encourage participants to enroll in graduate programs and then track their progress through to the successful completion of advanced degrees. The goal is to increase the attainment of Ph.D. degrees by students from underrepresented segments of society.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used by the participating institution to provide eligible participants with opportunities for research and other scholarly activities; summer internships; seminars; tutoring; academic counseling; and activities designed to help students secure admission and financial assistance for graduate study. Funds may be used for: services to improve students' financial and economic literacy, mentoring and exposure to cultural events. At least two-thirds of the project participants must be low-income individuals who are first-generation college students. The remaining participants may be from a group that is underrepresented in graduate education.

Applicant Eligibility:

Institutions of higher education or combinations of institutions of higher education may apply.

Beneficiary Eligibility:

2/3 of participants must be low-income first generation college students. The remaining participants must be students from groups underrepresented in graduate education. All participants must be enrolled in a degree program at an eligible institution of higher education.

Credentials/Documentation:

Costs will be determined in accordance with Education Department General Administrative Regulations and the program regulations, Section 647 of the Code of Federal Regulations. OMB Circular A-21 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Develop a proposal in accordance with legislation, regulations, applications, and timetables issued by the Secretary of Education. No State plan is required. Requests for applications should be made to the Federal TRIO Program. This program is

subject to the provisions of the Education Department General Administrative Regulations.

Award Procedure:

Nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in 34 CFR 647.21. Grants are awarded by the Federal TRIO Programs office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately 90 to 180 days.

Appeals:

The program statute requires a second review of unsuccessful applications. The Department implements the second review process in accordance with 34 CFR 647.24. An unsuccessful applicant requesting a second review must provide evidence for the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

Awards are for 5-year project periods. Continuation awards are made annually. Generally, continuation awards are made if sufficient funds have been appropriated and the grantee is meeting the conditions of the grant and making substantial progress toward meeting the project objectives.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Five year projects, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit an annual performance report. No cash reports are required. Progress reports (ED 524B) are required for a project in its first year of funding. Staff monitor grants drawdown using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the Education Department General Administrative Regulations, 34 CFR 75.730, 75.731, and 75.732 and 647.32 of the program regulations.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$28,563,000; FY 17 est \$43,335,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Varies by competition. In FY 2016, awards ranged from approximately \$137,878 to \$378,783; the average award was approximately \$189,159.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 647 and Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Katie Blanding Student Service Division, Office of Postsecondary Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: katie.blanding@ed.gov Phone: (202)219-7138

Website Address:

<http://www.ed.gov/programs/triomcnair/>

RELATED PROGRAMS:

84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.066 TRIO_Educational Opportunity Centers; 84.103 TRIO Staff Training Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For new awards, the Department will select applicants under the criteria set forth in 647.21 and 647.22 of the program regulations. Contact the program office for additional information.

**84.220 CENTERS FOR INTERNATIONAL BUSINESS EDUCATION
FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VI, Part B, Section 612.

OBJECTIVES:

To provide a comprehensive university approach to improve the teaching of international business by bringing together faculty from numerous disciplines and to engage in research to promote the international competitiveness of U.S. business.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The centers funded will: be national resources for the teaching of improved business techniques, strategies, and methodologies that emphasize the international context in which business is transacted; provide instruction in critical foreign languages and international fields needed to provide an understanding of the cultures and customs of U.S. trading partners; provide research and training in the international aspects of trade, commerce, and other fields of study; provide training to students enrolled in the institution or institutions in which a center is located; serve as regional resources to local businesses by offering programs and providing research designed to meet the international training needs of such businesses; and serve other faculty, students, and institutions of higher education located within their respective regions. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-377-3838.

Applicant Eligibility:

Accredited public and nonprofit private institutions of higher education, or consortia of such institutions, that establish a center advisory council before the date Federal assistance is received may apply. This council will conduct extensive planning concerning the scope of the center's activities and the design of its program prior to establishing the center.

Beneficiary Eligibility:

Students and faculty of accredited institutions of higher education will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should

consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Eligible institutions may submit proposals for funding of projects when the announcement of a new competition appears in the Federal Register. Application forms and guidelines are available from the Office of International and Foreign Language Education at the Department of Education.

Award Procedure:

Final recommendations are made to the Secretary of Education following advice from a panel of consultants developed according to procedures defined by the Education Department General Administrative Regulations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 150 days.

Appeals:

Not Applicable.

Renewals:

Project period may last up to 48 months. Applicants submit performance reports for continuation funding according to instructions published by the Department. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Federal share of the cost of centers is: (a) Not more than 90 percent for the first year in which Federal funds are furnished; (b) not more than 70 percent for the second year; and (c) not more than 50 percent for the third year and succeeding years. The nonfederal share for planning, establishing and operating the center may be provided by in-cash or in-kind assistance.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for a 48 month period, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Institutions must provide final reports upon completion of the program. Staff monitor drawdown using agency financial reports. Cash reports are not applicable. Progress reports are required. Expenditure reports are not applicable. Reporting measures is required as part of the submittal of the required annual performance report.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grants or relating to accountability for awarded funds must be available upon request for 3 years after close of the fiscal year in which the grant was closed out or until the grantee is notified of the completion of a Federal financial audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$4,571,000; FY 17 est \$4,571,000; and FY 18 est \$0

Range and Average of Financial Assistance:

To be determined.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 74, 75, 77, 82, 84, 85, 86, 97, 98, and 99 (Education Department General Administrative Regulations).

Regional or Local Office:

None.

Headquarters Office:

Timothy Duvall Office of International and Foreign Language Education, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: timothy.duvall@ed.gov Phone: (202) 453-7521

Website Address:

<http://www.ed.gov/programs/iegpscibe/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications for awards will be evaluated competitively in accordance with the selection criteria published in 34 CFR 75.209 and 75.210. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register. Applicants should address the selection criteria only in the context of the program requirements in Title VI, Part B, Section 612 of the Higher Education Act of 1965, as amended.

84.229 LANGUAGE RESOURCE CENTERS**FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act, as amended, Title VI, Part A, Section 603.

OBJECTIVES:

To provide grants for establishing, strengthening, and operating centers that serve as resources for improving the nation's capacity for teaching and learning foreign languages through teacher training, research, materials development, and dissemination projects.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Centers funded must carry out activities to improve the teaching and learning of foreign languages. These activities must include effective dissemination efforts, whenever appropriate, and may include--(a) the conduct and dissemination of research on new and improved methods for teaching foreign languages, including the use of advanced educational technology; (b) the development and dissemination of new materials for teaching foreign languages, to reflect the results of research on effective teaching strategies; (c) the development, application, and dissemination of performance testing that is appropriate for use in an educational setting to be used as a standard and comparable measurement of skill levels in foreign languages; (d) the training of teachers in the administration and interpretation of foreign language performance tests, the use of effective teaching strategies, and the use of new technologies; (e) a significant focus on the teaching and learning needs of the less commonly taught languages, including an assessment of the strategic needs of the United States, the determination of ways to meet those needs nationally, and the publication and dissemination of instructional materials in the less commonly taught languages; (f) the development and dissemination of materials designed to serve as a resource for foreign language teachers at the elementary and secondary school levels; and (g) the operation of intensive summer language institutes to train advanced foreign language students, to provide professional

development, and to improve language instruction through pre-service and in-service language training for teachers. Equipment costs may not exceed 15 percent of the grant total.

Applicant Eligibility:

An institution of higher education or a consortia of institutions of higher education is eligible to receive an award.

Beneficiary Eligibility:

Institutions of higher education or combinations of such institutions and individuals will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Eligible institutions may submit applications for funding when the announcement of a new competition is issued in the Federal Register. Application forms and guidelines for the submission of applications are available from the Advanced Training and Research Team in the Office of International and Foreign Language Education at the Department of Education.

Award Procedure:

Final recommendations are made to the Secretary following advice from a panel of consultants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Not Applicable.

Renewals:

Institutions of higher education selected to receive funds for the 4-year phased program must submit annual performance reports for the continuation of support. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for up to a 48-month period. Continuation awards are made if the grantee has made substantial progress in achieving the objectives outlined in its application and are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Funded centers must provide final reports upon completion of the 48 month project period. Interim and annual progress reports are required throughout the grant's duration. Staff monitor grants drawdown using agency financial reports. No cash reports are required. No progress reports are required. Expenditure reports are not applicable. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grants or relating to the accountability for awarded funds must be available upon request: (1) For 3 years after close of the

fiscal year in which the grant expired or (2) until the grantee is notified of the completion of the Federal financial audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$2,746,768; FY 17 est \$2,746,768; and FY 18 est \$0

Range and Average of Financial Assistance:

To be determined.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 655 and 669, and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Stephanie McKissic International Education Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Ave, SW, Washington, District of Columbia 20202 Email: stephanie.mckissic@ed.gov Phone: (202)453-6425

Website Address:

<http://www.ed.gov/programs/iegpslrc/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.018 Overseas Programs_Special Bilateral Projects; 84.021 Overseas Programs - Group Projects Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.220 Centers for International Business Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications for awards will be evaluated competitively in accordance with the selection criteria published in 34 CFR 655.31, 669.21, and 669.22. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register.

84.235 REHABILITATION SERVICES DEMONSTRATION AND TRAINING PROGRAMS

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 303(b)-(d).

OBJECTIVES:

To provide financial assistance to projects and demonstrations for expanding and improving the provision of rehabilitation and other services authorized under the Act or that further the purposes of the Act, including related research and evaluation activities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Authorized activities under this program include carrying out special demonstrations for expanding and improving the provision of rehabilitation and other services authorized under this Act and that further the purposes of the Act.

Projects may include demonstrations to increase client choice; technical assistance; systems change; special studies and evaluations; demonstrations of service delivery; model demonstrations; transition services; supportive employment; services to underserved populations and/or unserved or underserved areas; services to low-incidence disabilities; transportation; and other projects designated by the Secretary. Other authorized programs include Parent Information and Training and Braille training projects.

Applicant Eligibility:

Eligible applicants are States and public or nonprofit organizations. Grants cannot be made directly to individuals.

Beneficiary Eligibility:

Individuals with disabilities.

Credentials/Documentation:

Costs will also be determined in accordance with OMB Circular No. A-21 for educational institutions, and A-122 for nonprofit organizations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Contact the program office for application procedures.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of applications by staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range is approximately 150 to 180 days.

Appeals:

Not Applicable.

Renewals:

Projects with multi-year periods may be continued based on annual review of accomplishments and availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for up to 60 months. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (34 CFR Part 75). Annual performance reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs

incurred, revenues or other applicable credits acquired under its award, as stated in grant or contract terms and conditions.

Records:

As required by EDGAR for direct grant programs (34 CFR Part 75). Generally records related to grant funds, compliance, and performance must be maintained for a period of 5 years after completion.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 16 \$5,796,000; FY 17 est \$5,796,000; and FY 18 est \$5,796,000

Range and Average of Financial Assistance:

To be determined.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: (A) The Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 75, 77, 79, 81, 82, 84, and 86, 97, 98, and 99; and (B) the regulations for this program in 34 CFR Part 373 apply. Uniform Guidance 2 CFR part 200; and 2 CFR part 180.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/offices/OSERS/RSA/Programs/Discretionary/demotrain.htm>
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RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Funding priorities are published in the Federal Register. Applications for discretionary grants are subject to peer review procedures leading to recommendations for approval or disapproval by Federal and nonfederal experts. Criteria for evaluating applications are published in program and department regulations (34 CFR 369).

84.240 PROGRAM OF PROTECTION AND ADVOCACY OF INDIVIDUAL RIGHTS

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title V, Section 509.

OBJECTIVES:

To provide grants for States to support systems for protection and advocacy for the rights of individuals with disabilities who are ineligible for advocacy services from the other protection and advocacy programs or whose problems fall outside the scope of services available from the Client Assistance Program (CAP). This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at (202) 708-7770.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The Secretary must first set aside not less than 1.8 percent and not more than 2 percent of the funds appropriated for this program for training and technical assistance to eligible systems established under this program. Federal formula funds are used to support a system in each State to protect the legal and human rights of individuals with disabilities who need services that are beyond the scope of the Client Assistance Program (CAP) under Section 112 of the Rehabilitation Act of 1973, as amended (the Act), and are ineligible for the protection and advocacy programs under Part C of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (DDA) and the Protection and Advocacy for Individuals with Mental Illness Act (PAIMI).

Applicant Eligibility:

Only designated protection and advocacy agencies in each State and Territory may apply. The Governor designates the protection and advocacy agency.

Beneficiary Eligibility:

Individuals with disabilities will benefit.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Any application for a grant must contain assurances that the eligible protection and advocacy system will: (1) have in effect a system to protect and advocate for the rights of eligible individuals with disabilities; (2) have the same general authorities as outlined in Part C of the DDA; (3) have the authority to pursue legal, administrative, and other appropriate remedies to ensure the protection of the rights of individuals with disabilities; (4) provide information and referral services to individuals with disabilities in the State; (5) develop a priorities and objectives statement each year; (6) seek public comment about their proposed priorities and objectives statement; (7) establish a grievance procedure for clients and prospective clients of the eligible protection and advocacy system; (8) use the funds available for this program to supplement nonfederal funds that would otherwise be available for this purpose; and (9) utilize, to the maximum extent possible, mediation and other alternative dispute resolution procedures before resorting to formal administrative or legal remedies.

Award Procedure:

Once the applications have been approved, awards are made on the basis of the relative population of each State. The minimum allotment is \$100,000 for States and \$50,000 for Territories.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

The State may appeal to the Office of Administrative Law Judges.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: In accordance with the Act Federal funds are distributed on the basis of the relative population in each State, except no State shall receive less than \$100,000, Territories \$50,000. In any year in which the total appropriation exceeds \$10.5 million, the Secretary must award not less than \$50,000 to the eligible system established under the Developmental Disabilities

Assistance and Bill of Rights Act to serve the American Indian consortium. Matching requirements are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual formula grants. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Performance reports are required annually. A report describing the types of services and activities being undertaken by programs funded under the program, the total number of individuals served, the types of disabilities represented by such individuals, and the types of issues being addressed on behalf of such individuals. Cash reports are not applicable. No progress reports are required. No expenditure reports are required. Performance reports are required annually.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Final records must be maintained for 3 years after the grant ends or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Formula Grants) FY 16 \$17,650,000; FY 17 est \$17,650,000; and FY 18 est \$17,650,000

Range and Average of Financial Assistance:

In FY 2017, the estimated range of awards is \$73,600 to \$1,782,144, with a median State award, excluding outlying, areas of \$192,444.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations were published at 34 CFR Part 381.

Regional or Local Office:

None.

Headquarters Office:

Jessica Smith, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: jessica.smith@ed.gov Phone: (202) 245-6493.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

84.161 Rehabilitation Services_Client Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.245 TRIBALLY CONTROLLED POSTSECONDARY CAREER AND TECHNICAL INSTITUTIONS

FEDERAL AGENCY:

Office of Career, Technical, and Adult Education, Department of Education

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006, Section 117, 20 U.S.C 2327.

OBJECTIVES:

To make grants to eligible tribally controlled postsecondary career and technical institutions to provide career and technical education (CTE) services and basic support for the education and training of Indian students.

TYPES OF ASSISTANCE:

Project Grants; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used to train teachers, purchase equipment, provide instructional services, and provide institutional support related to CTE activities carried out under the grant. This program is subject to non-supplanting requirements. However, the statute authorizes grantee institutions not to use a restricted indirect cost rate (20 U.S.C. 2327(c)(3)). For assistance call the Office of the Chief Financial Officer/Indirect Cost Group (202) 708-7770.

Applicant Eligibility:

A tribally controlled postsecondary career and technical institution that: (1) Is formally controlled, or has been formally sanctioned or chartered, by the governing body of an Indian tribe or Indian tribes; (2) offers a technical degree or certificate-granting program; (3) is governed by a board of directors or trustees, a majority of whom are Indians; (4) demonstrates adherence to stated goals, a philosophy, or a plan of operation, that fosters individual Indian economic and self-sufficient opportunity, including programs that are appropriate to stated tribal goals of developing individual entrepreneurship and self-sustaining economic infrastructures on reservations; (5) has been in operation for at least 3 years; (6) holds accreditation with or is a candidate for accreditation by a nationally recognized accrediting authority for postsecondary vocational and technical education; (7) enrolls the full-time equivalent of not less than 100 students, of whom a majority are Indians; and (8) receives no Federal funds under the Tribally Controlled College or University Act of 1978 or the Navajo Community College Act may apply.

Beneficiary Eligibility:

Indian students and tribally controlled postsecondary career and technical institutions not receiving Federal funds under the Tribally Controlled College or University Act of 1978 or the Navajo Community College Act may benefit.

Credentials/Documentation:

Signature by authorized designated official on the application is required. Allowability of costs is determined in accordance with OMB Circular No. A-21 "Cost Principles for Educational Institutions.". This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications must be prepared and submitted to the Department of Education in accordance with program announcements and established deadlines as published in the Federal Register.

Award Procedure:

Applications are reviewed in accordance with Federal Register program notices inviting applications and the regulations in 34 CFR Part 75.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 150 days after deadline for transmitting applications.

Appeals:

The statute authorizing this program provides applicants with a statutory

complaint resolution procedure for grant determinations and calculations (20 U.S.C. 23279(g)).

Renewals:

Continuation awards are made to grantees contingent upon the availability of appropriations and subject to grantees submitting acceptable performance reports, in accordance with 34 CFR 75.253.

Formula and Matching Requirements:

Statutory Formula: Title 20 U.S.C. 2327(c)(1). This program has a statutory formula that is triggered in any year in which the sums appropriated for the program are not sufficient to pay in full the total amount that approved applicants are eligible to receive. (20 U.S.C. 2327(c)(1)).

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period is up to 5 years. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit financial status, performance, and expenditure reports, as required by the Department of Education General Administrative Regulations, 34 CFR 75.720. Specific reporting requirements are discussed in the program's Federal Register program announcements and in the award document. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. The Department monitors grantee performance under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years from the time that work carried out under the project is completed.

Account Identification:

91-0201-0-1-501.

Obligations:

(Project Grants) FY 16 \$8,286,000; FY 17 est \$8,286,000; and FY 18 est \$8,270,000

Range and Average of Financial Assistance:

Estimated range: \$2,500,000-\$5,000,000; Estimated average: \$3,000,000.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Parts 74, 75, 77, 80, 81, 82, 84, 85, 86, 97, 98 and 99 and the Tribally Controlled Postsecondary Career and Technical Institutions Program Federal Register notice inviting applications of May 15, 2007 (72 FR 27297) and Application Package.

Regional or Local Office:

None.

Headquarters Office:

Gwen Washington Department of Education, OCTAE, Office of Career, Technical, and Adult Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: gwen.washington@ed.gov Phone: (202) 245-7790

Website Address:

<http://www2.ed.gov/about/offices/list/ovae/programs.html>

RELATED PROGRAMS:

84.048 Career and Technical Education -- Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria were published in the notice inviting applications published in the Federal Register on May 15, 2007. (72 FR 27297).

84.246 REHABILITATION SHORT-TERM TRAINING

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302.

OBJECTIVES:

To support special seminars, institutes, workshops, and other short-term courses in technical matters relating to the delivery of vocational, medical, social, and psychological services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Training grants are provided in fields directly related to the vocational rehabilitation and independent living of individuals with disabilities, such as rehabilitation disciplines and program areas supported under the Rehabilitation Training program. Conferences and meetings where training is not the primary focus may not be supported under this program.

Applicant Eligibility:

State vocational rehabilitation agencies (including territories), and other public or nonprofit agencies and organizations, including institutions of higher education may apply.

Beneficiary Eligibility:

Individuals preparing for or employed in positions relating to the rehabilitation of individuals with disabilities will benefit. Projects under this program are designed to provide short-term training and technical instruction in areas of special significance to the delivery of vocational, medical, social, and psychological rehabilitation services.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-21 for educational institutions, and OMB No. A-122 for nonprofit organizations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. New applications are made to the Department of Education, Application Control Center, 400 Maryland Avenue, S.W., Washington, DC 20202-4725. Attention: 84.246.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of applications by staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range is from 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine adequate performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects are generally supported for one to five years. Funds are granted on a twelve month basis. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by the grant award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years.

Records:

As required by the Education Department General Administrative Regulations (EDGAR).

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 16 \$200,000; FY 17 est \$200,000; and FY 18 est \$200,000

Range and Average of Financial Assistance:

to be determined.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR Part 385 and 34 CFR Part 390.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW., Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.129 Rehabilitation Long-Term Training; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.264 Rehabilitation Training_Continuing Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Quality of key personnel, plan of operation, budget and cost-effectiveness, adequacy of resources, evidence of need, relevance to the State/Federal rehabilitation service program, and nature and scope of training program content are included in the selection criteria.

84.250 REHABILITATION SERVICES AMERICAN INDIANS WITH DISABILITIES**FEDERAL AGENCY:**

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, Title I, Part C, Section 121.

OBJECTIVES:

To provide vocational rehabilitation services to American Indians with disabilities that reside on or near Federal or State reservations, consistent with their individual strengths, resources, priorities, concerns, abilities, capabilities, and informed choice, so that they may prepare for and engage in gainful employment.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Projects provide financial assistance to the governing bodies (and consortia of such governing bodies) of Federal or State Recognized Tribes for the establishment and operation of vocational rehabilitation services projects for American Indians with disabilities residing on or near tribal reservations under section 121(a) of the Rehabilitation Act. Section 121(c) authorizes grants to provide training and technical assistance to governing bodies of Indian tribes that have received American Indian Vocational Rehabilitation Service (AIVRS) grants under section 121(a) of the Act.

Applicant Eligibility:

Service projects: Governing bodies of Indian tribes consortia of such governing bodies, or tribal organizations established and controlled by the governing bodies of Indian tribes, located on or near Federal and State reservations may apply. Training and technical assistance: State, local, or tribal governments, non-profit organizations, or institutions of higher education that have experience in the operation of AIVRS programs.

Beneficiary Eligibility:

American Indians with disabilities residing on or near a Federal or State reservation who meet the definition of an individual with a disability in Section 7 (8) (A) of the Rehabilitation Act.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80, apply to this program. Applications should be submitted to the Department e-Grants Website or through other methods, according to instructions specified in the Notice Inviting Applications. The standard application kit as furnished by the Department of Education must be used for this program.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of staff in various offices within the Department. In making grants, the Department gives priority consideration to applications for

the continuation of programs which have been funded under the program. The Commissioner of the Rehabilitation Services Administration approves continuation awards for existing projects.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Projects with multi-year project periods may be continued based on review of the annual performance report and in accordance with 34 CFR Part 75-253 and the availability of adequate funds.

Formula and Matching Requirements:

Statutory Formula: The amount of funds available annually for this program is reserved from the amount appropriated for the State VR program in an amount not less than 1 percent and not more than 1.5 percent under section 110(c)(1) of the Rehabilitation Act. In addition, section 121(c) of the Rehabilitation Act requires that not less than 1.8 percent and not more than 2 percent of the funds set aside from the State VR program (section 110(c) of the Act) for the AIVRS program to provide training and technical assistance to governing bodies of Indian tribes that have received AIVRS grants under section 121(a) of the Act. Matching Requirements: Percent: 10%. Projects are required to contribute ten percent of the cost of the project in cash or in-kind, unless the Commissioner of RSA waives the matching requirement under 34 CFR 371.40(b) if the applicant demonstrates that it does not have sufficient resources to contribute the non-Federal share of the cost of the program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project support is available for up to 60-months. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial reports and progress reports must be submitted as prescribed by grant award terms and conditions. Cash reports are not applicable. Progress reports: Grantees must submit an annual performance report (APR) as required under Education Department General Administrative Regulations (EDGAR) 34 CFR Part 80.40 and 80.41. Expenditure reports are not applicable. Performance monitoring: Program performance is monitored through the APR.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Case records are for individual program participants. Fiscal records must be kept for audit purposes. Retention of records is required for three years.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 16 \$43,000,000; FY 17 est \$43,000,000; and FY 18 est \$43,000,000

Range and Average of Financial Assistance:

Range of new awards in FY 2016 was \$365,000 to \$1,550,000; Median new award: \$550,000. No new awards are planned for fiscal years 2017 and 2018.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Preliminary data indicate that the 84 of the projects operating in FY 2016 assisted an estimated total of 2,134 American Indians with

disabilities to achieve an employment outcome. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR) in 34 CFR 75, 77, 80, 81, 82, 84, and Uniform Guidance, 2 CFR 200, Subpart E Cost Principles and Audit Requirements for Federal Awards. Vocational Rehabilitation Service Projects regulations 34 CFR 369 and Vocational Rehabilitation Services Projects for American Indians with Disabilities regulations 34 CFR 371.

Regional or Local Office:

None.

Headquarters Office:

August Martin Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: august.martin@ed.gov Phone: (202) 245-7410

Website Address:

<http://www.ed.gov/about/offices/list/osers/rsa/index.html>.

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The availability of funds is published in the Federal Register. Applications for discretionary grants are subject to peer review procedures by Federal and nonfederal experts that lead to recommendations for approval or disapproval. The selection criteria for this competition are from 34 CFR 75.210 of EDGAR and are listed in the application package. Selection criteria include extent of need for the project, significance, quality of the project design, quality of the project services, quality of project personnel, adequacy of resources, and quality of the management plan.

84.256 TERRITORIES AND FREELY ASSOCIATED STATES EDUCATION GRANT PROGRAM

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title I, Part A, Section 1121 (a), (b) and (c).

OBJECTIVES:

To support activities consistent with the purposes of the Elementary and Secondary Education Act, including teacher training, curriculum development, instructional materials or general school improvement and school reform, and direct educational services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for activities consistent with the purposes of the Elementary and Secondary Education Act.

Applicant Eligibility:

Local educational agencies (LEAs) in the Republic of Palau and in the outlying areas (American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, the U.S. Virgin Islands).

Beneficiary Eligibility:

LEAs in the Republic of Palau and in the outlying areas (American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, the U.S. Virgin Islands).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Provided by the Pacific Resources for Education and Learning (PREL). Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Section 1121 of Title I requires that the Department reserve up to \$5 million from Title I Grants to LEA funds each fiscal year for competitive grants to local educational agencies in the outlying areas (American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, the U.S. Virgin Islands), and the Republic of Palau. Of this amount, the Secretary may reserve not more than 5 percent to pay the administrative costs of the Pacific Resources for Education and Learning (PREL) regarding activities assisted under this program. PREL conducts the award competition and makes recommendations to the Secretary regarding the award of grants.

Award Procedure:

Applications for continuations are submitted to the Department of Education, Office of Elementary and Secondary Education, School Support and Technology Programs, Washington, DC. PREL conducts the competition for grants and submits recommendations of applications for funding to the Secretary of Education. The Secretary awards grants taking into consideration the recommendations of PREL.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Not Applicable.

Renewals:

Continuation awards of 12 months each may be made for a total of 36 months. Continuations are contingent upon evidence of satisfactory progress toward goals and objectives and subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 36 months with annual continuations. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual performance reports are required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. The Single Audit Act applies.

Records:

Records must be maintained as necessary for audit and for three years after the program ends.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Program is no longer authorized as of ESSA and was not funded in FY 16 or FY 17 and will not be funded in FY 18.

Range and Average of Financial Assistance:

To be determined.

TAFS Codes:

91-0900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No longer authorized. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Contact the PREL office.

Headquarters Office:

Britt Jung School Support and Rural Programs, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., LBJ Bldg, Room 3E201, Washington, District of Columbia 20202 Email: britt.jung@ed.gov Phone: (202) 205-4513

Website Address:

<http://www.ed.gov/programs/ftasegp/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed in accordance with criteria in the application package.

84.259 NATIVE HAWAIIAN CAREER AND TECHNICAL EDUCATION FEDERAL AGENCY:

Office of Career, Technical, and Adult Education, Department of Education

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006, Section 116(h), 20 U.S.C 2326(h).

OBJECTIVES:

To make grants to organizations primarily serving and representing Native Hawaiians for programs or portions of programs authorized by, and consistent with, the Carl D. Perkins Career and Technical Education Act of 2006.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

To provide career and technical education opportunities for Native Hawaiians. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, in accordance with 34 CFR 75.253. For assistance with restricted indirect cost rates call the Office of the Chief Financial Officer/Indirect Cost Group at (202) 708-7770.

Applicant Eligibility:

Community-based organizations primarily serving and representing Native Hawaiians may apply.

Beneficiary Eligibility:

Native Hawaiians individuals will benefit.

Credentials/Documentation:

Allowability of costs is determined in accordance with OMB Circular No. A-122 "Cost Principles for Non-Profit Organizations," which applies to nonprofit grantees. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be prepared and submitted in accordance with program announcements which are published in the Federal Register.

Award Procedure:

The Department reviews each application using the selection criteria in the program notice published in the Federal Register, in accordance with 34 CFR Part 75, and sends the Notification of Grant Award to each recipient selected for funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 150 days after deadline for transmitting applications.

Appeals:

Not Applicable.

Renewals:

Continuation awards may be made, subject to acceptable performance reports and the availability of appropriations. Maximum award period is 3 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project period is up to 3 years. Renewals are subject to the availability of funds and grantee performance. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Specific reporting requirements are contained in 34 CFR parts 74 and 75 and in the grant award documents, program reports are required semi-annually and annually. Cash reports are required annually. Specific progress report requirements will be included in the grant documents. Specific expenditure report requirements will be included in the grant documents. Specific performance report requirements will be included in the grant documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years from the time that work carried out under the project is completed.

Account Identification:

91-0400-0-1-501.

Obligations:

(Project Grants) FY 16 \$2,793,995; FY 17 est \$2,793,955; and FY 18 est \$2,373,748

Range and Average of Financial Assistance:

Estimated range: \$250,000-\$500,000; Estimated average: \$289,827; Actual range: \$258,219-\$513,638; Actual average: \$362,220.

TAFS Codes:

91-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Parts 74 and 75 and program Federal Register notices.

Regional or Local Office:

None.

Headquarters Office:

Linda Mayo, Department of Education, OCTAE Division of Academic and

Technical Education 400 Maryland Ave., S.W., Washington, District of Columbia 20202-7241 Email: linda.mayo@ed.gov Phone: (202) 245-7792.

Website Address:

<http://cte.ed.gov/grants/discretionary-grants>

RELATED PROGRAMS:

84.048 Career and Technical Education -- Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria were published in the notice inviting applications published in the Federal Register on June 14, 2013. (78 FR 35877).

84.263 REHABILITATION TRAINING EXPERIMENTAL AND INNOVATIVE TRAINING**FEDERAL AGENCY:**

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302.

OBJECTIVES:

This program is designed to: (a) Develop new types of training programs for rehabilitation personnel and to demonstrate the effectiveness of these new types of training programs for rehabilitation personnel in providing rehabilitation services to individuals with disabilities; and (b) develop new and improved methods of training rehabilitation personnel so that there may be a more effective delivery of rehabilitation services by State and other rehabilitation agencies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Training grants are provided in fields directly related to the vocational and independent living rehabilitation of individuals with disabilities.

Applicant Eligibility:

State vocational rehabilitation agencies, including Territories and other public or nonprofit agencies and organizations (including institutions of higher education) may apply.

Beneficiary Eligibility:

Individuals preparing for or employed in positions relating to the rehabilitation of individuals with disabilities will benefit.

Credentials/Documentation:

An application should show evidence of current accreditation by or seeking of accreditation from the designated accreditation agency in the respective professional field. OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit organizations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80 apply to this program. New applications are made to the Department of Education, Application Control Center, 400 Maryland Avenue, S.W., Washington, DC 20202-4725. Attention: 84.263.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of applications by staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Grantees are required to share in the costs of projects with the proportion subject to individual negotiation.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects may generally be supported for a maximum of 5 years. Funds are granted on a 12-month basis. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years.

Records:

Proper records must be maintained as required by the Education Department General Administrative Regulations (EDGAR) or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$500,000 - No additional obligations is expected for FY 2012 and 2013.

Range and Average of Financial Assistance:

To be determined.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR Parts 385 and 387.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW., Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.129 Rehabilitation Long-Term Training; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.246 Rehabilitation Short-Term Training; 84.264 Rehabilitation Training_Continuing Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The relevance of the content of the training to the mission of the public rehabilitation program and priorities for projects are in the application notice. Criteria for evaluating applications are published in program regulations 34 CFR Parts 385 and 387.

**84.264 REHABILITATION TRAINING CONTINUING EDUCATION
FEDERAL AGENCY:**

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302.

OBJECTIVES:

To support training centers that serve either a Federal region or another geographical area and provide for a broad integrated sequence of training activities that focus on meeting recurrent and common training needs of employed rehabilitation personnel throughout a multi-State geographical area.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Training grants are limited to support of continuing education programs for personnel such as rehabilitation counselors, administrators, independent living specialists, audiologists, rehabilitation teachers for individuals who are blind, rehabilitation technology specialists who provide vocational, independent living, and client assistance services to individuals with disabilities.

Applicant Eligibility:

Institutions of higher education, State vocational rehabilitation agencies (including territories), and other public or nonprofit agencies and organizations.

Beneficiary Eligibility:

Individuals employed in positions related to the rehabilitation of individuals with disabilities will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit organizations.. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80 apply to this program. New applications are made to the Department of Education, Application Control

Center, 400 Maryland Avenue, S.W., Washington, DC 20202-4725. Attn: 84.264.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Grantees are required to share in the cost of projects with the proportion subject to individual negotiation.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects may be supported for a maximum of 5 years, 12-month budget periods. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years. Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133.

Records:

Proper records must be maintained as required by the Education Department General Administrative Regulations (EDGAR) or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 16 \$9,500,000; FY 17 est \$5,500,000; and FY 18 est \$7,500,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR Parts 385 and 389.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.129 Rehabilitation Long-Term Training; 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.246 Rehabilitation Short-Term Training

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The relevance of the content of the training to the mission of the public rehabilitation program and priorities for projects in the application notice are considered. Criteria for evaluating applications are published in program regulations 34 CFR Parts 385 and 389.

84.268 FEDERAL DIRECT STUDENT LOANS

FEDERAL AGENCY:

Office of Federal Student Aid, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part D.

OBJECTIVES:

To provide loan capital directly from the Federal government to vocational, undergraduate, and graduate postsecondary school students and their parents.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

To help defray costs of education at participating institutions. Repayment on Federal Stafford loans begins six months after the student ceases to carry at least one-half the normal full-time academic workload. Repayment of principal and interest on PLUS loans generally begins within 60 days of disbursement of the last installment; repayment of a Consolidation loan begins within 60 days after the proceeds of the loan have been used to discharge the liability of the borrower on the loans selected for consolidation. Deferments and forbearance of payment may be granted for certain authorized periods.

Applicant Eligibility:

The applicant must be a U.S. citizen, national, or person in the United States for other than a temporary purpose. A student borrower must be enrolled or accepted for enrollment in a degree or certificate program on at least a half-time basis as an undergraduate, graduate, or professional student at a participating postsecondary institution. An otherwise eligible student is eligible for loans during a single twelve-month period in which the student is enrolled in a non-degree/non-certificate course of study that the institution has determined is necessary in order for the student to enroll in a program leading to a degree or certificate. Under the Direct PLUS program, parents may borrow for dependent undergraduate students. Also, graduate and professional students are eligible to borrow PLUS Loans. Direct Unsubsidized and PLUS loans are available to eligible borrowers regardless of need. However, a financial needs test based on family income is required for an undergraduate student to receive a Direct Subsidized loan. A student that is presently enrolled at a participating institution must be maintaining satisfactory academic progress in the course of study that they are pursuing. The borrower may not owe a refund on any Title IV grant or be in default on any Title IV loan received for attendance at any institution. The borrower must also file a Statement of Registration compliance (Selective Service).

Beneficiary Eligibility:

Vocational, undergraduate, and graduate postsecondary school students and

their parents.

Credentials/Documentation:

The borrower will need certification of eligibility from the institution and may be required to supply documentation to verify the accuracy of data used in the Direct Subsidized loan need analysis. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications for the Direct Subsidized and Unsubsidized loans are obtained from the institution. Direct consolidation loan applications are obtained from the Department of Education. To obtain a Direct Subsidized or Unsubsidized loan, a student shall complete and submit a Free Application for Federal Student Aid (FAFSA). All applications (with the exception of PLUS) for Direct Loans are processed by the Central Processor System (CPS) in the initial step to determine a student's Title IV eligibility. Institutions will receive official output from the CPS, and then will be able to assemble a financial aid award package. An institution participating in the Direct Loan program may award Direct Loans as part of the financial aid package. If a Direct Loan is not included in the aid package, a student may contact the institution to request loan information.

Award Procedure:

Institutions participate in the Direct Loan program either by originating loans or by using an alternative originator to originate loans. The institution must certify the borrower's eligibility to receive the funds. To obtain a Direct PLUS Loan, the parent completes an application and authorizes a credit check. If the parent does not have an adverse credit history, the loan may be disbursed. Institutions may disburse funds by crediting them directly to the student's account at the institution, issuing a check or cash, or by initiating an electronic funds transfer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Applicants may apply for a loan for any year of attendance at a postsecondary institution. Starting July 1, 2013, the interest rate on new Stafford Loans is fixed at a rate equal to the 10-year Treasury note plus 2.05 percent (capped at 8.25 percent); new Unsubsidized Stafford loans have a fixed interest rate equal to the 10-year Treasury note plus 3.6 percent (capped at 9.5 percent); and Direct PLUS loans have a fixed interest rate equal to the 10-year Treasury note plus 4.6 percent (capped at 10.5 percent). Generally, there are four types of repayment plans that are available to Direct Loan borrowers: (1) A standard repayment plan with a fixed monthly payment amount over a period of up to 10 years; (2) an extended repayment plan with a fixed monthly payment amount paid over a period of 12 to 30 years, depending on the amount owed; (3) a graduated repayment plan with a monthly payment that will increase generally every 2 years. The repayment period varies from 12 to 30 years, depending on the amount owed; and (4) except for Direct PLUS Loan borrowers, income-driven repayment plans such as the income contingent repayment (ICR) plan with a monthly payment that is adjusted annually based on the income of

the borrower and paid over an extended period of time not to exceed 25 years. Any unpaid balance remaining after 25 years in the ICR plan is forgiven by the Secretary of Education. However, under current IRS rules, the amount written off is considered taxable income to the borrower in the year it is written off. In 2007, a new income-based repayment plan was created for those borrowers meeting a standard of "partial financial hardship" based on their annual repayment amount in relation to their adjusted gross income. Generally a borrower would not have to repay on a monthly basis more than 15 percent of their discretionary income, and any outstanding balance would be forgiven after 25 years of repayment. For new borrowers on or after July 1, 2014, these limits have been lowered to 10 percent of discretionary income and forgiveness after 20 years of repayment. Additionally, two new income-driven repayment plans extended those benefits to all qualified student borrowers. First, as of December 21, 2012, the Pay As You Earn (PAYE) plan, is an option for those who meet the partial financial hardship standard, were a new borrower as of October 1, 2007, and who received a Direct Loan disbursement on or after October 1, 2011. Second, as of December 17, 2015, the Revised Pay As You Earn (REPAYE) plan became available to all qualified student borrowers regardless of when they borrowed and did not require a partial financial hardship for reduced payments. Direct Loan borrowers who work in public service are eligible for forgiveness during a 10-year period while they are repaying their loans. If these borrowers, during repayment, have an outstanding loan balance after 10 years of working in public service, the Federal Government will forgive the remaining balance on behalf of the borrower. Public service may include a variety of public disciplines such as education, health, law, military service and public safety. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

The Department will produce a variety of management information system accounting, and financial management reports. Institutions must submit all reports required by 34 CFR 685 and 34 CFR 668. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Institutions are subject to examination and program review by the Department of Education. Program reviews are performed on a fiscal year basis.

Records:

Institutions must maintain proper administrative and fiscal procedures and all necessary records as set forth in the regulations in 34 CFR 685 and 34 CFR 668. Institutions must keep all records involved in any loan, claim, or expenditure questioned by a Federal audit until resolution of any audit questions. In addition to records required by 34 CFR 668, for each Direct Loan received under 34 CFR 685 by or on behalf of this student, an institution shall maintain a copy of the loan application and data electronically submitted to the Secretary.

Account Identification:

91-0243-0-1-502.

Obligations:

(Direct Loans) FY 16 \$95,462,059,000; FY 17 est \$97,449,052,000; and FY 18 est \$104,760,678 - Figures represent Net Commitment Loan volume, without Consolidation. (Direct Loans) FY 16 \$45,633,297,000; FY 17 est \$46,927,103,000; and FY 18 est \$48,200,819,000 - (Figures represent Net Commitment Consolidation volume).

Range and Average of Financial Assistance:

N/A.

TAFS Codes:

91-0243.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Funding is expected to provide loans to approximately 9.4

million borrowers. Fiscal Year 2017: Funding is expected to provide loans to approximately 9.5 million borrowers. Fiscal Year 2018: Funding is expected to provide loans to approximately 9.8 million borrowers.

REGULATIONS, GUIDELINES, AND LITERATURE:

Student Loan Reform Act of 1993, Public Law 103-66, 34 CFR 685.

Regional or Local Office:

See Regional Agency Offices. See the list of Regional Offices listed in Appendix 5 of the FFEI Catalog.

Headquarters Office:

Federal Student Aid Information Center Federal Student Aid (FSA), Department of Education, P.O. Box 84, Washington, District of Columbia 20044-0084 Phone: 800-433-3243

Website Address:

<http://www.ifap.ed.gov/>.

RELATED PROGRAMS:

84.063 Federal Pell Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.274 AMERICAN OVERSEAS RESEARCH CENTERS

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act, as amended, Title VI, Part A, Section 609.

OBJECTIVES:

To enable American overseas research centers, that are consortia of higher education institutions, to promote postgraduate research, exchanges, and area studies.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants may be used to pay all or a portion of the cost of establishing or operating a center or program, including the cost of faculty and staff stipends and salaries; faculty, staff, and student travel; the operation and maintenance of overseas facilities; the cost of teaching and research materials; the cost of bringing visiting scholars and faculty to a center to teach or to conduct research; the cost of organizing and managing conferences; and the cost of publishing and disseminating materials for the scholarly and general public.

Applicant Eligibility:

The Secretary shall only award grants to centers that: (1) receive more than 50 percent of their funding from public or private United States sources; (2) have a permanent presence in the country in which the center is located; and (3) are organizations described in Section 501(c)(3) of the Internal Revenue Code of 1986 which are exempt from taxation under Section 501(a) of the Code.

Beneficiary Eligibility:

Consortia of institutions of higher education that (1) receive more than 50 percent of their funding from public or private United States sources; (2) have a permanent presence in the country in which the center is located; and (3) are organizations described in Section 501(c)(3) of the Internal Revenue Code of 1986 which are exempt from taxation under Section 501(a) of the Code will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or

her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Eligible centers may submit an application for funding when a new competition is announced in the Federal Register. Application forms and guidelines for the submission of applications are available from the Office of International and Foreign Language Education at the Department of Education.

Award Procedure:

Applications are evaluated by peer review panels with area studies expertise. Funding recommendations are made to the Secretary based on the outcome of the peer review process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The approval time is approximately 90 days.

Appeals:

Not Applicable.

Renewals:

Centers selected to receive funds for the 4-year project period must submit annual performance reports for continuation of support. Continuation grant awards are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for 12-month periods for a total project period of up to 48 months. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Funded centers submit annual performance reports through the International Resource Information System (IRIS). The annual performance reports provide narratives about the status of project activities, including evaluation efforts, as well as the centers progress toward achieving the objectives for the reporting period. The annual reports also provide information about budget expenditures during the reporting period. A final performance report is due 90 days after the end date of the final budget period of the project. Financial status reports are not required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grants or relating to accountability for awarded funds must be available on request: (1) for 3 years after the close of the fiscal year that the grant expired; or (2) until the grantee is notified that the fiscal audit has closed, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$756,732; FY 17 est \$543,266; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is governed by the authorizing legislation and the Education Department General Administrative Regulations, 34 CFR 74, 75, 77, 79 through 82, 85, 86, 97, 98, and 99. Contact the program office for more information.

Regional or Local Office:

None.

Headquarters Office:

Cheryl Gibbs, International and Foreign Language Education, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: Cheryl.Gibbs@ed.gov Phone: (202) 453-5690

Website Address:

<http://www.ed.gov/programs/iegpsaorc/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.220 Centers for International Business Education; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications for awards will be evaluated competitively in accordance with the selection criteria published in 34 CFR 75.209 and 75.210. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register. Applicants should address the selection criteria only in the context of the program requirements in Title VI, Part A, Section 609 of the Higher Education Act of 1965, as amended.

84.282 CHARTER SCHOOLS**FEDERAL AGENCY:**

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title V Part C.

OBJECTIVES:

The program supports startup of new charter schools and the replication and expansion of high-quality charter schools. Charter schools increase educational options for parents and students and, in exchange for stricter academic accountability, are exempt from many statutory and regulatory requirements. Funds also support State efforts to improve charter schools' access to facilities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

State entities receiving grants make subgrants to charter school developers to open new charter schools or replicate or expand high-quality charter schools; if an eligible State entity does not receive a grant, charter school developers in the State may apply directly to the Secretary for funding. Charter management organizations receiving grants use funds for replication and expansion of high-quality charter schools. Charter school developers and charter management organizations receive up to 5 years of assistance, of which they may use not more than 18 months for planning and program design, and may use funds for such activities as preparing educators, acquiring supplies and equipment, carrying out necessary facility renovations excluding construction, establishing student transportation systems, and carrying out community engagement activities.

States may also receive grants to establish and administer per-pupil facilities aid

programs for charter schools. Due to non-supplanting requirements, applicants for per-pupil facilities aid program grants must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202)708-7770.

Competitions are announced in the Federal Register. Specific limitations and restrictions for each competition are provided in the Federal Register notice.

Applicant Eligibility:

State entities, including State educational agencies, State charter school boards, Governors, and statewide charter school organizations, in States in which State law authorizes charter schools are eligible. If no State entity in a State receives a grant, charter school developers in the State that have applied to an authorized public chartering authority to operate a charter school, and provided adequate and timely notice to that authority, may apply directly to the Secretary. Charter management organizations with experience operating or managing high-quality quality schools are also separately eligible for grants. Lastly, States are eligible for grants for charter school per-pupil facilities aid programs.

Beneficiary Eligibility:

School administrators, teachers, and students and their parents are beneficiaries.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Contact the program office for application information. Application notices are published in the Federal Register.

Award Procedure:

Contact the Headquarters Office as listed below for this information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

To receive continuation funding, grantees must demonstrate substantial progress toward meeting the goals and objectives of their project. Continuation awards are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants and subgrants are awarded for a period of not more than 5 years, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As part of the continuation funding process, grantees must submit performance reports annually. Final performance reports must be submitted within 90 days of the end of the grant award period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be maintained for 3 years after the fiscal year in which the expenditure was made by the grantee, or until the grantee is notified of the completion of the Federal fiscal audit. In all cases of audit questions, records must be maintained until resolution has occurred.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 16 \$304,961,000; FY 17 est \$303,244,000; and FY 18 est \$410,000,000

Range and Average of Financial Assistance:

Range of awards: \$100,000 - \$45,000,000.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Stefan Huh Department of Education, Office of Innovation and Improvement, Parental Options and Information, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: stefan.huh@ed.gov Phone: (202) 453-6384

Website Address:

<http://www.ed.gov/programs/charter/index.html>

RELATED PROGRAMS:

84.354 Credit Enhancement for Charter School Facilities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are contained in program statute and regulations. Contact the program office for more information.

84.283 COMPREHENSIVE CENTERS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Educational Technical Assistance Act of 2002, Section 203.

OBJECTIVES:

This program supports no fewer than 20 comprehensive centers that provide training, professional development, and technical assistance primarily to State educational agencies. By statute, the Department is required to establish at least 1 center in each of the 10 geographic regions served by the Department's regional educational laboratories (RELs). Information on the REL regions is available at <http://ies.ed.gov/ncee/edlabs/>.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The comprehensive centers consist of 22 centers, including 15 regional centers and 7 content centers, funded in FY 2012 plus one additional content center funded in response to 2016 appropriations language and a new authority in the Elementary and Secondary Education Act that focuses on students at risk of not attaining full literacy skills due to a disability. Grantees are required to develop five-year plans for carrying out authorized activities that address State and

regional needs. The 15 regional centers provide services primarily to State educational agencies to enable them to assist school districts, regional education agencies, and schools, especially low-performing schools. Each regional center provides training, professional development, and technical assistance regarding the administration and implementation of programs under the Elementary and Secondary Education Act (ESEA) and the use of scientifically valid teaching methods and assessment tools in mathematics, science, reading or language arts, English language acquisition, and education technology. The eight content centers focus on specific areas, with one center in each of eight areas: standards and assessment implementation; great teachers and leaders; school turnaround; enhancing early learning outcomes; college- and career-readiness and success; building State capacity and productivity; innovations in learning, and students at risk of not attaining full literacy skills due to a disability. These centers supply much of the research-based information including products and tools in the specific area that regional centers use when working with States.

Applicant Eligibility:

Research organizations, institutions, agencies, institutions of higher education (IHEs), or partnerships among such entities, or individuals with the ability or capacity to carry out the required activities, including providing training to States, school districts, and schools, may apply.

Beneficiary Eligibility:

Agencies supporting or providing elementary and secondary education will benefit, including State and local educational agencies, the Bureau of Indian Education, Indian tribes, community-based organizations, and other recipients of funds under the ESEA.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Subpart F - Audit Requirements applies to this program.

34 CFR 75 applies to this program.

OMB Circular No. A-102 does not apply to this program.

Applications or proposals must be prepared and submitted in accordance with applicable program announcements.

Award Procedure:

After completion of competitive peer review of applications, the Department makes the final decision on the approval and funding of applications. If an applicant is successful, the Department of Education will notify the applicant's U.S. Representative and U.S. Senators and will send the successful applicant a Grant Award Notification (GAN). The Department of Education may also notify successful applicants informally.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 to 120 days.

Appeals:

Not Applicable.

Renewals:

Yes: Awards are made for up to five years, subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may be awarded for up to five years subject to the availability of funds

and performance by the grantee. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Specified reporting requirements are included in the grant or cooperative agreement document. Cash reports are not applicable. As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75), annual performance reports (ED 524B) are required of all grantees; additional report requirements will be included in grant award documents. Expenditure reports are not applicable. Performance measures are established for the program and grantee.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. As required by EDGAR, 34 CFR 74.

Records:

Records must be retained for a period of 3 years from the date of submission of the final expenditure report.

Account Identification:

91-1000-0-1-501.

Obligations:

(Project Grants) FY 16 \$51,445,000; FY 17 est \$50,000,000; and FY 18 est \$51,347,000

Range and Average of Financial Assistance:

The Department made 22 new awards in FY 2012, 15 for regional centers and 7 for content centers. The range of awards over the entire 5 year grant period was \$4.0 to \$23.5 million for the regional centers (median award of \$14.2 million) and \$6.3 to \$12.1 million for the content centers (median award of \$6.9 million). One additional award was made in FY 2016, for \$7.5 million over the entire 5 year grant period. In FY 2017, the Department made the decision to extend the FY 2012 awards for an additional 2 years, in order to provide time to better align the next competition with the implementation of the reauthorized ESEA. The Department anticipates making new awards in FY 2019; the estimated award sizes will be listed in the notice inviting applications.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: Information on an evaluation of the program, which was begun in 2013, is available at http://ies.ed.gov/ncee/projects/evaluation/other_techcenters12.asp. A report describing findings is expected in 2018. It will be announced and available on <http://ies.ed.gov/ncee>. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

(a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The OMB Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485. (c) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

David Cantrell School Support and Rural Programs, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W. LBJ Bldg., Room 3E206, Washington, District of Columbia 20202 Email: david.cantrell@ed.gov Phone: (202) 453-5990

Website Address:

<http://www.ed.gov/programs/newccp/index.html>.

RELATED PROGRAMS:

84.004 Civil Rights Training and Advisory Services; 84.326 Special Education Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Information on funded projects is available at <http://www2.ed.gov/programs/newccp/awards.html>. Fiscal Year 2017: Not applicable. Fiscal Year 2018: Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria include: (1) Meeting the purpose of the authorizing statute; (2) extent of need for the center; (3) plan of operation; (4) quality of key personnel; (5) budget and cost-effectiveness; (6) evaluation plan; and (7) adequacy of resources.

84.287 TWENTY-FIRST CENTURY COMMUNITY LEARNING CENTERS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title IV, Part B.

OBJECTIVES:

To provide opportunities for communities to establish or expand activities in community learning centers that provide opportunities for academic enrichment for children, particularly students who attend high-poverty and low-performing schools. The program is intended to help students meet state and local student academic achievement standards in core academic subjects, such as reading and math; to offer students a broad array of enrichment activities that reinforce and complement their regular academic programs; and to offer literacy and other educational services to the families of participating children.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Program funds may be used to provide activities that complement and reinforce the regular school-day program of participating students and may also fund local activities that are included as part of an expanded learning time (ELT) program that provides students at least 300 additional program hours before, during, or after the traditional school day. Funds may be used for a broad range of activities, such as those that support a well-rounded education; financial literacy and environmental literacy programs; programs that support a healthy and active lifestyle; services for individuals with disabilities; activities for students who are English learners; cultural programs; telecommunications and technology education programs; expanded library service hours; family engagement and literacy programs; programs for students who have been truant, suspended, or expelled; drug and violence prevention activities; programs that partner with in-demand fields of the local workforce; and programs that build skills in science, technology, engineering, and mathematics (STEM). This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State educational agencies (SEAs) are eligible for funds under this program. Local educational agencies, community-based organizations, and other public or private entities are eligible to apply to the SEA in state in which they are located for subgrants.

Beneficiary Eligibility:

School-aged children and their families.

Credentials/Documentation:

Contact program office. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States must apply for funds either through a program-specific application or by including the program in a consolidated State plan authorized under Title IX of the ESEA. . 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact the program office for additional information.

Award Procedure:

Contact the program office for this information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Funds are allocated to States in proportion to each State's share of funds in the previous fiscal year under Part A of Title I of the ESEA, except that no State may receive less than 0.5 percent of the amount available to all States.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Awards are made annually. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Generally, SEAs are subject to the reporting requirements contained in Title IX of the ESEA consolidated state performance report section 9303(b). Annual progress and financial reports as required by EDGAR. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Contact Program Office.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the EDGAR, Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year.

Records:

Records related to grant funds, compliance, and performance must be maintained for a period of 3 years after completion.

Account Identification:

91-1000-0-1-501.

Obligations:

(Project Grants) FY 16 \$1,143,339,000; FY 17 est \$1,179,757,000; and FY 18 est \$0

Range and Average of Financial Assistance:

For Fiscal Year 2017: Range: \$567,000 - \$136,586,000; Average: \$21,697,000.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, applies to this program. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Sylvia Lyles Department of Education, Office of Elementary and Secondary Education, 400 Maryland Ave., SW, , Washington, District of Columbia 20202 Email: sylvia.lyles@ed.gov Phone: (202) 260-2551

Website Address:

<http://www.ed.gov/programs/21stccle/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for information.

84.295 READY-TO-LEARN TELEVISION

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title IV, Part F, Subpart 4, Section 4643.

OBJECTIVES:

To facilitate student academic achievement by developing educational programming and digital content, with accompanying educational support materials, for preschool and early elementary school children and their families.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The program makes awards to support the development and distribution of educational video programming and digital content, and related outreach materials. Administrative costs are limited by the authorizing statute. Entities receiving a grant, contract, or cooperative agreement from the Secretary may not use more than five percent of the amounts received for the normal and customary expenses of administering the grant.

Applicant Eligibility:

To be eligible to receive a grant, contract, or cooperative agreement, an entity shall be a public telecommunications entity that can demonstrate a capacity to: (1) develop and disseminate educational and instructional television programming nationwide; (2) contract with the producers of children's television programming; (3) negotiate such contracts in a manner that returns an appropriate share of ancillary income from sales of program-related products; and (4) localize programming and materials to meet specific State and local needs.

Beneficiary Eligibility:

Programming is to be made widely available, with support materials as appropriate, to young children, their parents, child care workers, and Head Start and Even Start providers.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This procedure is described in the application notice published annually in the Federal Register. Contact the headquarters office listed below for application packages containing the announcement, application, and forms.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the administrative regulations governing the Evaluation of Applications for Grants and Cooperative Agreements (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant program (see 34 CFR 75.253). Generally, for multiple-year awards, continuation awards after the first budget period are made if: sufficient funds have been appropriated; the recipient has either made substantial progress in meeting the goals of the project or obtained approval for changes in the project; the recipient has submitted all required reports; and continuation is in the best interest of the government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Following an initial award of 12 months, four additional 1-year, non-competing continuation awards may be made, for a total term of 5 years. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

The recipient must submit an annual report. At a minimum, the report must describe the program activities including: (1) Programming that has been developed directly or indirectly and the target population of the programs developed; (2) supporting materials that have been developed to accompany the programming and the method by which materials are distributed to consumers and users of the programming; (3) the means by which programming developed has been distributed, including the distinct learning technologies that have been utilized to make programming available and the geographic distribution achieved through such technologies; and (4) the initiatives undertaken to develop public or private partnerships to secure nonfederal support to develop and distribute the broadcasting of educational and instructional programming. Cash reports are not applicable. Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.50. Expenditure reports are monitored through the APR. Program performance is monitored through the APR.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR for direct grant programs (34 CFR 75). The grantee must maintain records and other evidence pertaining to all costs incurred, revenues, or other applicable credits acquired under its award as stated or contract terms and conditions. (34 CFR 75.721). Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 16 \$25,741,000; FY 17 est \$25,741,000; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations applicable to this program are in the Education Department General Administrative Regulations (EDGAR) 34 CFR, 74, 75, 77, 79, 81, 82, 84,85, 97,98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Brian Lekander Department of Education, Office of Innovation and Improvement, Technology in Education Programs 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: brian.lekander@ed.gov Phone: (202) 205-5633

Website Address:

<http://www.ed.gov/programs/rlttv/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Department uses the selection criteria contained in the Education General Administrative Regulations (EDGAR) to evaluate applications to this program. The specific criteria to be used for a particular grant competition are selected from among these in 34 CFR 75, Section 75.210, and announced in the application package or the application notice in the Federal Register.

84.299 INDIAN EDUCATION -- SPECIAL PROGRAMS FOR INDIAN CHILDREN**FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title VI, Part A, Subpart 2.

OBJECTIVES:

The objective of 84.299A is to support projects (1) to develop, test, and demonstrate the effectiveness of services and programs to improve educational opportunities and achievement of Indian children. The objective of 84.299B is to increase the number of qualified Indian individuals in teaching or other education professions that serve Indian people, to provide training to qualified Indian individuals to enable such individuals to become teachers, administrators, teacher aides, social workers, and ancillary educational

personnel, and to improve the skills of qualified Indian individuals who serve in education professions.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

84.299A: Under the absolute priorities that the Department has implemented for this program, grants support Native youth community projects to support community-driven, comprehensive projects to help American Indian/Alaska Native (AI/AN) children become college- and career-ready.

84.299B: Grants support professional development projects that increase the number and skills of qualified Indian teachers and administrators who serve Indian people.

Applicant Eligibility:

For Demonstration grants (84.299A), eligible applicants include State educational agencies (SEAs); local educational agencies (LEAs), including charter schools that are considered LEAs under State law; Indian tribes; Indian organizations; federally supported elementary or secondary schools for Indian students (including Department of the Interior/Bureau of Indian Education-funded schools); Indian institutions (including Indian institutions of higher education); or a consortium of any of these entities. For Professional Development grants (84.299B), eligible applicants include institutions of higher education, including Indian institutions of higher education; SEAs or LEAs in consortium with an institution of higher education; Indian tribes or organizations in consortium with an institution of higher education; and Department of the Interior/Bureau of Indian Education-funded schools in consortium with an institution of higher education. LEAs include charter schools that are considered LEAs under State law.

Beneficiary Eligibility:

SEAs, LEAs, Indian students, and teachers and administrators will benefit.

Credentials/Documentation:

Documentation requirements are in statute and regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Details of the application process are published annually in the federal register in a notice inviting applications. Successful applicants are subject to the requirement of applicable OMB Circulars on grant award agreements.

Award Procedure:

The Department awards these grants on a competitive basis. Applications are reviewed and ranked by a panel of outside reviewers. The program office notifies successful and unsuccessful applicants of awards and awards grants. Contact the program office for more information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60-120 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

299A: Applicants may apply for a project period of not more than three years, and the Secretary may renew for an additional period of not more than 2 years if the Secretary finds that the grantee is achieving the objectives of the grant.

299B: Applicants may apply for a project period of not more than three years, and the Secretary may renew for an additional period of not more than 2 years if the Secretary finds that the grantee is achieving the objectives of the grant.

Continuation awards are subject to the availability of appropriations and substantial progress by the grantee.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made for a period of up to 4 years; continuations awards are contingent on performance and the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Each eligible entity receiving a grant under this program must submit to the Secretary an annual report of its operations and activities. Each annual report must include: (1) an executive summary; (2) project status; (3) budget information; and (4) supplemental information. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits may be conducted by the Department's Office of Inspector General staff make site visits. Audits are periodic with no set schedule.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for 3 years after the end of the grant.

Account Identification:

91-0101-0-1-501.

Obligations:

(Project Grants) FY 16 \$37,993,000; FY 17 est \$57,993,000; and FY 18 est \$37,921,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-0101.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

See 34 CFR 263, 34 CFR 74, 75, 77, 78, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Bernard Garcia, Office of Indian Education, Department of Education, 400 Maryland Avenue, SW, Washington, District of Columbia 20202 Email: bernard.garcia@ed.gov Phone: (202) 260-1454.

Website Address:

<http://www.ed.gov/programs/indiandemo/index.html>

RELATED PROGRAMS:

84.060 Indian Education_Grants to Local Educational Agencies

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current

Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in EDGAR and 34 CFR 263; contact the program office for additional information.

84.305 EDUCATION RESEARCH, DEVELOPMENT AND DISSEMINATION

FEDERAL AGENCY:

Institute of Education Sciences, Department of Education

AUTHORIZATION:

Education Sciences Reform Act of 2002, Title I, Parts A, B and D, Sections 133 and 172.

OBJECTIVES:

To support the development and distribution of scientifically valid research, evaluation, and data collection that support learning and improve academic achievement. Funding provides support for building a high-quality evidence base on what works in education, as well as dissemination to ensure that the evidence base informs practice. The central purpose of the Institute's research grant programs is to provide interested individuals and the general public with reliable and valid information about education practices that support learning and improve academic achievement and access to education opportunities for all students. These interested individuals include parents, educators, students, researchers, and policymakers. In carrying out its grant programs, the Institute provides support for programs of research in areas of demonstrated national need.

TYPES OF ASSISTANCE:

Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Grants support basic and applied research, development, dissemination, evaluations, and research training in education. Small Business Innovation Research (SBIR) contracts are awarded to qualified small businesses to conduct innovative research and development projects focused on education technology. Funds also support the What Works Clearinghouse, the Education Resources Information Center, the National Library of Education, and a variety of other dissemination and support activities that are supported by contracts.

Applicant Eligibility:

Applicants that have the ability and capacity to conduct scientifically valid research are eligible to apply. Eligible applicants include, but are not limited to, non-profit and for-profit organizations and public and private agencies and institutions, such as colleges and universities. SBIR contract awards are limited to eligible small businesses.

Beneficiary Eligibility:

Institutions and individuals involved with education will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Grant competitions are announced in the Federal Register and on the agency website (<http://ies.ed.gov/funding/>). Standard Form 424 ("Application for Federal Assistance"), an application narrative, and required certifications must be submitted by the deadline specified in the Federal Register.

The Requests for Application grant notices (available on <http://ies.ed.gov/funding/>) describe the substantive requirements for applications

and provide information on how to prepare and submit applications electronically through Grants.gov. The Application Packages provide all of the required forms and are available on <http://www.grants.gov>. Letters of Intent are encouraged but not required.

Grant applications to Institute of Education Sciences (IES) competitions must be submitted via the Grants.gov government-wide portal that allows potential applicants to find grant opportunities and apply for grants. The Grants.gov registration process can take several weeks. Interested applicants should begin the registration process well in advance of application deadlines.

Individuals planning to submit an application on behalf of their organization must ensure that (1) their institution/organization is registered with Grants.gov and (2) they register themselves as Authorized Organizational Representatives (AORs) well before the competition deadline. Grants.gov registration information can be found at <http://www.grants.gov/web/grants/applicants/apply-for-grants.html>.

The Department provides a forecast of contract opportunities on its website at <http://www2.ed.gov/fund/contract/find/forecast.html> and posts solicitations on the Federal Business Opportunity website (www.fbo.gov). Vendors may view a synopsis, download a solicitation, and register to receive notification of modifications to existing notices.

Award Procedure:

Grant applications that are responsive and compliant to the Requests for Applications are evaluated by expert reviewers for scientific and technical merit. Scientific reviews are conducted in accordance with the review criteria stated in the Request for Applications and the review procedures posted on the IES website, http://ies.ed.gov/director/sro/peer_review/application_review.asp, by a panel of scientists who have substantive and methodological expertise appropriate to the program of research and Request for Applications. Awards are competitive, with the following criteria considered in making award decisions for responsive and compliant applications: Scientific merit as determined by peer review, performance and use of funds under a previous federal award, contribution to the overall program of research described in the Request for Applications, and availability of funds.

For contracts, the Department reviews offers and makes awards to those companies that are determined to provide the value to the government.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 210 to 240 days.

Appeals:

Not Applicable.

Renewals:

Renewals are based on the review of annual performance reports and the recommendation of the project officer. All renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects generally may be supported for 1 to 5 years. Funds are granted on a 12 month basis. All grantee obligations shall be liquidated within 90 days following the end of the award period. Payments may be made according to a predetermined schedule or by way of reimbursement. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, financial reports and program reports must be submitted as required by grant award terms and conditions. Cash reports are not applicable. Annual progress and performance

reports are required as part of the submittal of form ED 524B. Annual expenditure reports are required as part of the submittal of form ED 524B. Staff also monitor drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED 624B. The Department has established performance measures for the program. The measures are: (1) the minimum percentage of projects that result in peer-reviewed publications and (2) the minimum number of IES-supported interventions with evidence of efficacy in improving student outcomes.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Each grantee will keep intact, and accessible, records relating to the receipt and expenditure of Federal funds and the expenditures of the grantee's contribution to the cost of the project, if any. Records will normally be retained for 3 years after the date of the submission of the final expenditure report, or for grants that are reviewed annually, 3 years after the date of the award.

Account Identification:

91-1100-0-1-503.

Obligations:

(Project Grants) FY 16 \$154,292,737; FY 17 est \$157,981,861; and FY 18 est \$140,215,993. (Project Grants (Contracts)) FY 16 \$27,745,518; FY 17 est \$22,057,069; and FY 18 est \$27,868,018

Range and Average of Financial Assistance:

The 2016 grant awards ranged in size from \$200,000 to \$9,000,000 over the life of the grant for awards of up to 5 years. The 2016 SBIR awards ranged from \$55,481 to \$149,911 for Phase I awards and \$412,585 to \$900,000 for Phase II awards.

The 2017 grant awards ranged in size from \$200,000 to \$10 million. The 2017 SBIR awards ranged from \$148,573 to \$150,000 for Phase I awards and \$897,953 to \$900,000 for Phase II awards.

It is anticipated that the 2018 grant awards will range in size from \$100,000 to \$5,000,000 over the life of the grant for awards of up to 5 years. The 2018 SBIR Phase I contract awards will be in amounts up to \$150,000 for awards up to 6-months in length; the SBIR Phase II contract awards will be in amounts up to \$900,000 for up to 2 years.

TAFS Codes:

91-1100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In fiscal year 2016, 82 research grants were funded and 18 SBIR projects were funded. Fiscal Year 2017: In fiscal year 2017, 119 grants were funded. In addition, the Department held a competition for Phase I SBIR contract awards; applications were due on December 7, 2016. After completion of the Phase I stage, most recipients of Phase I awards can compete for Phase II awards. There were 175 SBIR applications received and 18 were funded. Fiscal Year 2018: The National Center for Education Research (NCER) will hold five competitions: one competition for education research, one competition for education research and development centers, one competition for partnerships and collaborations focused on problems of practice or policy, and two competition for low-cost, short-duration evaluation of education interventions. The competitions were announced in the Federal Register on May 30, 2017. It is anticipated that approximately 900 applications will be received and 115 funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

For more information contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Emily Doolittle, Institute of Education Sciences, Department of Education, 550 12th Street, SW, Room 4118, Washington, District of Columbia 20202 Email: Emily.Doolittle@ed.gov Phone: (202) 245-7833

Website Address:

<http://ies.ed.gov/ncer>.

RELATED PROGRAMS:

84.324 Research in Special Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Information on funded grants and contracts is available at <http://ies.ed.gov/funding/grantsearch/?slctCenter=1>. Fiscal Year 2017: Awards will be posted on <http://ies.ed.gov/funding/grantsearch/?slctCenter=1>. Fiscal Year 2018: Awards will be posted on <http://ies.ed.gov/funding/grantsearch/?slctCenter=1>.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting applications are contained in the Request for Applications that announces the competition. Each request for Applications is posted on the Funding Opportunities home page of the Institute web site: <http://ies.ed.gov/funding/>.

84.315 CAPACITY BUILDING FOR TRADITIONALLY UNDERSERVED POPULATIONS

(Capacity Building)

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Section 21.

OBJECTIVES:

To enhance the capacity and increase the participation of historically Black colleges and universities, Hispanic serving institutions of higher education, and other institutions of higher education where minority enrollment is at least 50 percent, in competitions for grants, contracts, and cooperative agreements under the Rehabilitation Act, as amended.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are limited to: awards to minority entities and Indian tribes to carry out activities under the programs authorized under titles II, III, VI, and VII of the Rehabilitation Act; awards to minority entities and Indian tribes to conduct research, training, technical assistance, or related activities, to improve services provided under the Rehabilitation Act, especially services provided to individuals from minority backgrounds; and awards to eligible entities to provide outreach and technical assistance to minority entities and Indian tribes to promote their participation in activities funded under the Rehabilitation Act.

Applicant Eligibility:

States and public and nonprofit agencies and organizations may apply.

Beneficiary Eligibility:

Historically Black colleges and universities; (2) Hispanics serving institutions of higher education; (3) Indian tribal colleges and universities (4) other institutions of higher education whose minority student enrollment is at least 50 percent; and (5) Indian Tribes.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process that the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult

the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact the program office for application procedures.

Award Procedure:

All new applications are reviewed by a panel of at least three individuals. Each panel will generally include two or more nonfederal consultants with experience and training in the proposed area of expertise. Each panel will include a person with a disability and an individual from a minority background.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 120 to 150 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects generally may be supported for 1 to 5 years, with budget periods of 12-months. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by the grant award's terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. As required by the CFR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award, as stated in grant or contract terms and conditions.

Records:

As required by EDGAR.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 16 \$968,490; FY 17 est \$960,490; and FY 18 est \$685,010

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations. For more information contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Ellen Chesley, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W., Washington, District of Columbia 20202-2649 Email: ellen.chesley@ed.gov Phone: (202) 245-7300.

Website Address:

<http://www.ed.gov/about/offices/list/osers/rsa/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in the Education Department General Administrative Regulations are used to evaluate this program.

84.323 SPECIAL EDUCATION - STATE PERSONNEL DEVELOPMENT

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part D, Subpart 1, Section 651-655, 20 U.S.C 1451-1455.

OBJECTIVES:

To assist State educational agencies in reforming and improving their systems for personnel preparation and professional development in early intervention, educational and transition services, to improve results for children with disabilities. As used in this program, personnel means special education teachers, regular education teachers, principals, administrators, related services personnel, paraprofessionals, and early intervention personnel serving infants, toddlers, preschoolers, or children with disabilities, except where a particular category of personnel, such as related services personnel, is identified.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The uses of funds under the State Personnel Development Grants program are described in section 654 of the IDEA (20 U.S.C. 1454). Not less than 90 percent of the grant funds for any fiscal year must be used for spent on professional development activities described in section 654(a) of IDEA (20 U.S.C. 1454(a)), including improving results for children with disabilities through the delivery of high-quality instruction and the recruitment, hiring and retention of highly qualified special education teachers. Not more than 10 percent of grant funds for any fiscal year must be spent on the other activities described in section 654(b) of IDEA (20 U.S.C. 1454(b)), such as reforming special education and regular education teacher certification (including recertification) or licensing requirements and carrying out programs that establish, expand, or improve alternative routes for State certification of special education teachers.

Applicant Eligibility:

State Educational Agencies.

A State educational agency of one of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, and the outlying areas (U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands) may apply.

Beneficiary Eligibility:

Infants and toddlers with disabilities as defined in the IDEA and their families, and children with disabilities as defined in the IDEA and their parents benefit from this program.

Credentials/Documentation:

Contact program office. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The regulations in 34 C.F.R. Part 80 (Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments) implement OMB Circular A-102. The standard application forms as furnished by the Office of Special Education and Rehabilitative Services must be used for this program. Information about submitting applications for this program can be found in the Notice Inviting Applications for this program for a particular fiscal year and in the approved application package. A notice inviting applications is generally published in the Federal Register annually, depending on the availability of appropriations for a particular fiscal year. Awards are made on a competitive basis based on the availability of funds. The regulations in 34 C.F.R. Part 74 (Administration of Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations) implement OMB Circular A-110. OMB Circular A-110 is not applicable to SEAs; however, it is applicable to SEA partners, such as institutions of higher education or other non-profit organizations.

Award Procedure:

Applications are peer reviewed and grants are made on a competitive basis subject to the approval of the Assistant Secretary, OSERS.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

A continuation awards may be made annually for up to 5 years throughout the project period of an approved multi-year grant, depending upon the availability of appropriations and the OSERS Assistant Secretary's determination that the grantee has made substantial progress in meeting the objectives of the approved application.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from one to five years, subject to the availability of appropriations and the grantee's compliance with the requirements of the grant. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit an annual performance report (APR) as required under EDGAR (34 CFR Part 80.80.41 and 34 C.F.R. Part 75, 75.720 74.50). Final reports are made at the end of the project period. Cash reports are not applicable. Progress is monitored through the grantee's APR. Expenditure reports are not applicable. Program performance is monitored through APR.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The grantee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its grant, as stated in award terms and conditions.

Account Identification:

91-0300-0-1-500.

Obligations:

(Project Grants) FY 16 \$41,630,000; FY 17 est \$38,630,000; and FY 18 est \$38,630,000

Range and Average of Financial Assistance:

For 2015, the range of awards is expected to be between \$500,000 and \$1,750,000; the average award is expected to be about \$1,000,000, excluding outlying areas.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

There are no implementing regulations for the State Personnel Development Grants program. However, annual Notices published in the Federal Register set out the regulatory requirements that are applicable to this program, and the Secretary considers only applications that conform to the published notice for this program.

Regional or Local Office:

None.

Headquarters Office:

Jennifer Coffey, Department of Education, OSERS Office of Special Education Programs, 400 Maryland Ave., SW, Washington, District of Columbia 20202
Email: jennifer.coffey@ed.gov Phone: (202) 245-6673.

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>.

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.324 Research in Special Education; 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.328 Special Education_Parent Information Centers; 84.329 Special Education_Studies and Evaluations; 84.373 Special Education_Technical Assistance on State Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210.

**84.324 RESEARCH IN SPECIAL EDUCATION
FEDERAL AGENCY:**

Institute of Education Sciences, Department of Education

AUTHORIZATION:

Education Sciences Reform Act of 2002, Part E.

OBJECTIVES:

To support scientifically rigorous research contributing to the solution of specific early intervention and education problems associated with children with disabilities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for research on children with or at risk for disabilities and training in special education research.

Applicant Eligibility:

Applicants that have the ability and capacity to conduct scientifically valid research are eligible to apply. Eligible applicants include, but are not limited to, non-profit and for-profit organizations and public and private agencies and institutions, such as colleges and universities.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities or at risk for disabilities benefit from this research.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant competitions are announced in the Federal Register and on the agency website (<http://ies.ed.gov/funding/>). Standard Form 424 ("Application for Federal Assistance"), an application narrative, and required certifications must be submitted by the deadline specified in the Federal Register.

The Requests for Application grant notices (available on <http://ies.ed.gov/funding>) describe the substantive requirements for applications and provide information on how to prepare and submit applications electronically through Grants.gov. The Application Packages provide all of the required forms and are available on <http://www.grants.gov>. Letters of Intent are encouraged but not required.

Grant applications to Institute of Education Sciences (IES) competitions must be submitted via the Grants.gov government-wide portal that allows potential applicants to find grant opportunities and apply for grants. The Grants.gov registration process can take several weeks. Interested applicants should begin the registration process well in advance of application deadlines.

Individuals planning to submit an application on behalf of their organization must ensure that (1) their institution/organization is registered with Grants.gov and (2) they register themselves as Authorized Organizational Representatives (AORs) well before the competition deadline. Grants.gov registration information can be found at <http://www.grants.gov/web/grants/applicants/apply-for-grants.html>

Award Procedure:

Grant applications that are responsive and compliant to the Requests for Applications are evaluated by expert reviewers for scientific and technical merit. Scientific reviews are conducted in accordance with the review criteria stated in the Request for Applications and the review procedures posted on the IES website, http://ies.ed.gov/director/sro/peer_review/application_review.asp, by a panel of scientists who have substantive and methodological expertise

appropriate to the program of research and Request for Applications. Awards are competitive, with the following criteria considered in making award decisions for responsive and compliant applications: Scientific merit as determined by peer review, performance and use of funds under a previous federal award, contribution to the overall program of research described in the Request for Applications, and availability of funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

240 days - 330 days.

Appeals:

Not Applicable.

Renewals:

Renewals are based on the review of annual performance reports and the recommendation of the project officer. All renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects generally may be supported for 1 to 5 years. Funds are granted on a 12 month basis. All grantee obligations shall be liquidated within 90 days following the end of the award period. Payments may be made according to a predetermined schedule or by way of reimbursement. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual financial and progress reports must be submitted as required by grant award terms and conditions. Cash reports are not applicable. Annual progress and performance reports are required as part of the submittal of form ED 524B. Annual expenditure reports are required as part of the submittal of form ED 524B. Staff also monitor drawdowns using agency financial reports. The Department has established performance measures for the program. The measures are: (1) The percentage of projects that result in peer-reviewed publications. (2) The cumulative number of IES-supported interventions with evidence of efficacy in improving education outcomes for students with or at risk for disabilities. (3) The number of newly developed or modified interventions with evidence of promise for improving education outcomes for students with or at risk for disabilities.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As stated in grant or contract terms and conditions, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under this grant or contract.

Each grantee will keep intact, and accessible, records relating to the receipt and expenditure of Federal funds and the expenditures of the grantee's contribution to the cost of the project, if any. Records will normally be retained for 3 years after the date of the submission of the final expenditure report, or for grants that are reviewed annually, 3 years after the date of the award.

Account Identification:

91-1100-0-1-503.

Obligations:

(Project Grants) FY 16 \$54,000,000; FY 17 est \$54,000,000; and FY 18 est

\$54,000,000

Range and Average of Financial Assistance:

The FY 2016 awards ranged from \$250,000 to \$4,000,000 for 1 to 5 year projects. Award maximums vary depending on the type of project.

The FY 2017 awards ranged from \$250,000 to \$3,500,000 for 1 to 5 year projects. Award maximums vary depending on the type of project.

The FY 2018 grant awards are estimated to range in size from \$250,000 to \$4,000,000 for 1 to 5 years projects. Award maximums vary depending on the type of project.

TAFS Codes:

91-1100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Applications for 2016 awards were due August 6, 2015. The Department received 356 applications and made 44 awards. Fiscal Year 2017: Applications for 2017 awards were due on August 4, 2016. The Department received 187 applications and made 25 awards. Fiscal Year 2018: Applications for 2018 awards were due on August 17, 2017.

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance) codified at CFR Part 200. The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 77, 81, 82, 84, 86 (part 86 applies only to institutions of higher education), 97, 98, and 99. In addition 34 CFR part 75 is applicable, except for the provisions in 34 CFR 75.100, 75.101(b), 75.102, 75.103, 75.105, 75.109(a), 75.200, 75.201, 75.209, 75.210, 75.211, 75.217, 75.219, 75.220, 75.221, 75.222, and 75.230.

Regional or Local Office:

None.

Headquarters Office:

Joan McLaughlin Department of Education, Institute of Education Sciences, National Center for Special Education Research, Office of the Commissioner, 550 12th Street, S.W., Room 4144, Washington, District of Columbia 20202
Email: joan.mclaughlin@ed.gov Phone: (202) 245-8201

Website Address:

<http://ies.ed.gov/ncser>.

RELATED PROGRAMS:

84.305 Education Research, Development and Dissemination

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Information on funded grants is available at <http://ies.ed.gov/funding/grantsearch/?slctCenter=4>. Fiscal Year 2017: Available Information on funded grants is available at <http://ies.ed.gov/funding/grantsearch/?slctCenter=4>. Fiscal Year 2018: Awards will be posted at <http://ies.ed.gov/funding/grantsearch/?slctCenter=4>.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting applications are contained in the Request for Applications that announces the competition. Each Request for Applications is posted on the Funding Opportunities home page of the Institute web site: <http://ies.ed.gov/funding/>.

84.325 SPECIAL EDUCATION - PERSONNEL DEVELOPMENT TO IMPROVE SERVICES AND RESULTS FOR CHILDREN WITH DISABILITIES

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act, Part D, Subpart 2, Section 662, 20 U.S.C 1462.

OBJECTIVES:

The purposes of this program are to (1) help address State-identified needs for

highly qualified personnel--in special education, related services, early intervention, and regular education--to work with infants, toddlers, and children with disabilities; and (2) ensure that those personnel have the necessary skills and knowledge, derived from practices that have been determined through scientifically based research and experience, to be successful in serving those children.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to prepare personnel to serve children with both low and high-incidence disabilities, leadership personnel, and for special projects. Awards are made only to eligible applicants that meet State and professionally recognized standards for the preparation of special education and related services personnel, if the purpose of the project is to assist personnel in obtaining degrees.

Applicant Eligibility:

State educational agencies, local education agencies, public charter schools that are LEAs under State law, institutions of higher education, other public agencies, private nonprofit organizations, outlying areas, Indian tribes or tribal organizations, and, if approved by the Secretary, for-profit organizations.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities are the primary beneficiaries under this program.

Credentials/Documentation:

OMB Circulars A-21, A-122, and A-87 may apply to grantees under this program depending on the grantee. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

As required by EDGAR, awards may be renewed annually for up to five years throughout the project period of the award, if the recipient has made substantial progress in meeting the goals of the project, subject to the availability of appropriations. (See 34 CFR 75.253).

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: A recipient of a grant, contract, or cooperative agreement may be required to share in the cost of a project. (See 20 U.S.C. 1482 (a)(2)(A).)

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from one to five years. Renewals are subject to the availability of appropriations. See the following for information on how assistance is

awarded/released: Electronic transfer.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the project period. Cash reports are not applicable. Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.51-53. Expenditure reports are monitored through the APR. Performance reports are monitored through the APR.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award, as stated in grant or contract terms and conditions. Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion. (34 CFR 74.53 and 34 CFR 75.730-732).

Account Identification:

91-0300-0-1-501.

Obligations:

(Project Grants) FY 16 \$83,700,000; FY 17 est \$83,700,000; and FY 18 est \$83,700,000

Range and Average of Financial Assistance:

The range and average vary by competition.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 304, Education Department General Administrative Regulations (EDGAR), 34 CFR 74, 75, 77,79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Bonnie Jones, Department of Education, OSERS Office of Special Education Programs, Potomac Center Plaza, 550 12th Street, SW, , Washington, District of Columbia 20202 Email: bonnie.jones@ed.gov Phone: (202) 245-7395.

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>.

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.323 Special Education - State Personnel Development; 84.324 Research in Special Education; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.328 Special Education_Parent Information Centers; 84.329 Special Education_Studies and Evaluations; 84.373 Special Education_Technical Assistance on State Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210, and announced in the application package or

the application notice in the Federal Register.

84.326 SPECIAL EDUCATION TECHNICAL ASSISTANCE AND DISSEMINATION TO IMPROVE SERVICES AND RESULTS FOR CHILDREN WITH DISABILITIES

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part D, Subpart 2, Section 663.

OBJECTIVES:

The purpose of the Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities program is to promote academic achievement and to improve results for children with disabilities by providing technical assistance (TA), supporting model demonstration projects, disseminating useful information, and implementing activities that are supported by scientifically based research.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

State educational agencies (SEAs), local educational agencies (LEAs), public charter schools that are LEAs under State law, institutions of higher education (IHEs), other public agencies, private nonprofit organizations, outlying areas, freely associated States, Indian tribes or tribal organizations, and, if approved by the Secretary, for-profit organizations.

Beneficiary Eligibility:

Infants, toddlers, children, and other individuals with disabilities, their families as well as practitioners and service providers benefit from this program.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be prepared and submitted according to requirements outlined in the notice inviting applications, as published in the Federal Register.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Application deadlines are identified in the notice inviting applications, published in the Federal Register.

Appeals:

Not Applicable.

Renewals:

As required by EDGAR, awards may be renewed annually for up to five years

throughout the project period of the award if the recipient has made substantial progress in meeting the goals of the project, depending upon the availability of appropriations. (See 34 CFR 75.253).

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Department may require a recipient of a grant, contract, or cooperative agreement to share in the cost of a project. (See 20 U.S.C. 1482 (a)(2)(A).).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from one to five years; subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.50. Final reports shall be made at the end of the project period. Cash reports are not applicable. Progress reports: Report requirements will be included in grant award documents. Expenditure reports: Report requirements will be included in grant award documents. Performance monitoring: Report requirements will be included in grant award documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR, the grantee shall maintain accounts, records, and other evidence pertaining to grant funds, compliance and performance, as well as other records to facilitate an effective audit, as stated in grant or contract terms and conditions. Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion. (34 CFR 75.730-732).

Account Identification:

91-0300-0-1-501.

Obligations:

(Project Grants (Capacity Building and Complaint Processing, Training)) FY 16 \$44,345,000; FY 17 est \$44,345,000; and FY 18 est \$44,345,000

Range and Average of Financial Assistance:

The range and average vary by competition.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Fiscal Year 2018 Presidents Budget Request identifies recent accomplishments for this program. <https://www2.ed.gov/about/overview/budget/budget18/justifications/h-specialed.pdf>. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The administration of the discretionary grant program is governed by: the statute; the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99); OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and by the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR 200, as adopted in 2 CFR part 3474.

Regional or Local Office:

None.

Headquarters Office:

Tina Diamond Department of Education, Office of Special Education

Programs, Room 5136, Potomac Center Plaza, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: tina.diamond@ed.gov Phone: (202) 245-6674

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.323 Special Education - State Personnel Development; 84.324 Research in Special Education; 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.328 Special Education_Parent Information Centers; 84.329 Special Education_Studies and Evaluations; 84.373 Special Education_Technical Assistance on State Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: <https://publicdb.osepideasthatwork.org/>. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210, and announced in the application package or the application notice in the Federal Register.

84.327 SPECIAL EDUCATION EDUCATIONAL TECHNOLOGY MEDIA, AND MATERIALS FOR INDIVIDUALS WITH DISABILITIES FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part D, Subpart 3, Section 674.

OBJECTIVES:

The purposes of the Educational Technology, Media, and Materials for Individuals with Disabilities program are to: (1) improve results for children with disabilities by promoting the development, demonstration, and use of technology; (2) support educational activities designed to be of educational value in the classroom for students with disabilities; and (3) provide support for captioning and video description that is appropriate for use in the classroom; and (4) provide accessible educational materials to students with disabilities in a timely manner.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

State educational agencies (SEAs), local educational agencies (LEAs), public charter schools that are LEAs under State law, institutions of higher education (IHEs), other public agencies, private nonprofit organizations, outlying areas, freely associated States, Indian tribes or tribal organizations, and, if approved by the Secretary, for-profit organizations.

Beneficiary Eligibility:

Infants, toddlers, children, and other individuals with disabilities, their families, as well as practitioners and service providers benefit from this program.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point

of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be prepared and submitted according to requirements outlined in the notice inviting applications, as published in the Federal Register.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Application deadlines are identified in the notice inviting applications, published in the Federal Register.

Appeals:

Not Applicable.

Renewals:

Awards may be renewed annually for up to five years throughout the project period of the award, depending upon the availability of appropriations and adequacy of project progress.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: A recipient of a grant, contract, or cooperative agreement may be required to share in the cost of a project. (See 20 U.S.C. 1482 (a)(2)(A).)

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from one to five years, subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.50. Final reports shall be made at the end of the project period. Cash reports are not applicable. Progress reports: Report requirements will be included in grant award documents. Expenditure reports: Report requirements will be included in grant award documents. Performance monitoring: Report requirements will be included in grant award documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to grant funds, compliance and performance, as well as other records to facilitate an effective audit, as stated in grant or contract terms and conditions. Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion. (34 CFR 75.730-732).

Account Identification:

91-0300-0-1-501.

Obligations:

(Project Grants) FY 16 \$30,047,000; FY 17 est \$28,047,000; and FY 18 est \$28,047,000

Range and Average of Financial Assistance:

The range and average vary by competition.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Fiscal Year 2018 Presidents Budget Request identifies recent accomplishments for this program.
<https://www2.ed.gov/about/overview/budget/budget18/justifications/h-special-d.pdf>. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The administration of the discretionary grant program is governed by: the statute; the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99); OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and by the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR 200, as adopted in 2 CFR part 3474.

Regional or Local Office:

None.

Headquarters Office:

Terry Jackson, Department of Education, Office of Special Education Programs, Room 5158, Potomac Center Plaza, , Washington, District of Columbia 20202 Email: terry.jackson@ed.gov Phone: (202) 245-6039.

Website Address:

<http://www2.ed.gov/programs/oseptms/index.html>

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.323 Special Education - State Personnel Development; 84.324 Research in Special Education; 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.328 Special Education_Parent Information Centers; 84.329 Special Education_Studies and Evaluations; 84.373 Special Education_Technical Assistance on State Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: <https://publicdb.osepideaathatwork.org/>. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210, and announced in the application package or the application notice in the Federal Register.

**84.328 SPECIAL EDUCATION PARENT INFORMATION CENTERS
FEDERAL AGENCY:**

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended., Part D, Subpart 3, Section 671-673, 20 U.S.C 1471-1473.

OBJECTIVES:

The purpose of the program is to ensure that parents of children with disabilities receive training and information to help improve results for their children.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

Parent organizations, as defined in Section 671(a)(2) of the Individuals with Disabilities Education Act are eligible for parent center awards under IDEA

sections 671 and 672. For section 672 funding, these parent centers must meet additional conditions set forth in section 672. For IDEA section 673 State educational agencies (SEAs) local educational agencies (LEAs), public charter schools that are LEAs under State law, institutions of higher education (IHEs), other public agencies, private nonprofit organizations, outlying areas, freely associated States, Indian tribes or tribal organizations, and, if the Secretary approves, for-profit organizations.

Beneficiary Eligibility:

Infants, toddlers and other individuals with disabilities, and their families benefit from this program.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

As required by EDGAR, awards may be renewed annually for up to five years throughout the project period of the award, if the recipient has made substantial progress in meeting the goals of the project, depending upon the availability of appropriations (See 34 CFR 75.253).

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Department may require a recipient of a grant, contract, or cooperative agreement to share in the cost of a project. (See 20 U.S.C. 1482(a)(2)(A)).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from one to five years; subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Progress reports shall be made on annual basis. Final reports shall be made at the end of the project period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are monitored through the APR. Grantees must submit an annual performance report (APR). Performance reports are monitored through the APR.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally, records related to grant funds, compliance, and performance must be maintained for a period of three years from the date of submission of the final expenditure report (34 CFR 75.730-732 and 2 CFR 200.333).

Account Identification:

91-0300-0-1-501.

Obligations:

(Project Grants) FY 16 \$27,411,000; FY 17 est \$27,411,000; and FY 18 est \$27,411,000

Range and Average of Financial Assistance:

The range and average vary by competition.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Parts 75, 77, 79, 81, 82, 84, 86, 97, 98, 99; and 2 CFR Part 200.

Regional or Local Office:

None.

Headquarters Office:

Carmen Sanchez Department of Education, OSERS Office of Special Education Programs, 400 Maryland, Ave., SW, Room 5175 PCP, Washington, District of Columbia 20202 Email: carmen.sanchez@ed.gov Phone: (202)245-6595

Website Address:

<http://www2.ed.gov/programs/oseppic/index.html>

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.323 Special Education - State Personnel Development; 84.324 Research in Special Education; 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.329 Special Education_Studies and Evaluations; 84.373 Special Education_Technical Assistance on State Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210, and announced in the application package or the application notice in the Federal Register.

84.329 SPECIAL EDUCATION STUDIES AND EVALUATIONS

FEDERAL AGENCY:

Institute of Education Sciences, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Section 664, 20 U.S.C 1464.

OBJECTIVES:

To assess the progress in implementing the Individuals with Disabilities Education Act, including State and local efforts to provide (1) Free appropriate public education to children with disabilities; and (2) early intervention services to infants and toddlers with disabilities who would be at risk of having

substantial developmental delays if early intervention services were not provided.

TYPES OF ASSISTANCE:

Project Grants (Contracts)

USES AND USE RESTRICTIONS:

1. Funds must be used to carry out activities identified in solicitations for contract awards.
2. Not applicable.
3. Not applicable.

Applicant Eligibility:

Applicants that have the ability and capacity to conduct scientifically valid evaluations are eligible to apply. Eligible applicants include, but are not limited to, non-profit and for-profit organizations.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities, and other individuals with disabilities, and their families benefit from this program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The Department provides a forecast of contract opportunities on its website at <http://www2.ed.gov/fund/contract/find/forecast.html> and posts solicitations at FedBizOpps (FBO). Vendors may view a synopsis, download a solicitation, and register to receive notification of modifications to existing notices.

Award Procedure:

Applications will be reviewed by a panel of experts. The Department will make awards to those companies that are determined to provide the best value to the government.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Protests, disputes, and appeals related to contracts funded under this program are regulated under 48 CFR Part 33.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from one to five years. Renewals are not applicable.. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Contractors submit deliverables for review. Invoices are submitted upon approval of deliverables in the case of fixed-priced deliverables, and together with monthly progress and expenditure reports in the case of cost-reimbursement tasks. Payment is made upon review and approval of each invoice. Cash reports are required as specified in the Performance Work Statement for each contract. Progress reports are required as specified in the Performance Work Statement for each contract. Expenditure reports are

required as specified in the Performance Work Statement for each contract. Performance monitoring is required, and contractor performance information is gathered subject to 48 CFR Part 42, Subpart 42.15.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As stated in contract terms and conditions, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under the award.

Account Identification:

91-1100-0-1-500.

Obligations:

(Project Grants) FY 16 \$10,818,000; FY 17 est \$10,818,000; and FY 18 est \$10,818,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-1100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Evaluation reports produced with program funding are available at <http://ies.ed.gov/ncee/projects/evaluation/disabilities.asp>. Fiscal Year 2017: Evaluation reports produced with program funding are available at <http://ies.ed.gov/ncee/projects/evaluation/disabilities.asp>. Fiscal Year 2018: Evaluation reports produced with program funding are available at <http://ies.ed.gov/ncee/projects/evaluation/disabilities.asp>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information on the program is available on the agency website at <http://ies.ed.gov/ncee/> and in Congressional budget justifications at <http://www2.ed.gov/about/overview/budget/budget16/justifications/w-ies.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Jonathan Jacobson, Department of Education, 550 12th St., SW, Room 4158, Washington, District of Columbia 20208 Email: jonathan.jacobson@ed.gov Phone: (202) 245-7485

Website Address:

<http://ies.ed.gov/ncee>

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.324 Research in Special Education; 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.328 Special Education_Parent Information Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Funding supported a study of transition outcomes for youth with disabilities, an evaluation of multi-tiered systems of support for behavior, an evaluation of preschool special education practices, ECLS-K, a study on post-high school outcomes for youth with disabilities, and the Middle Grades Longitudinal Study. Fiscal Year 2017: Any solicitations will be announced on the Federal Business Opportunities website (www.fbo.gov). Fiscal Year 2018: Any solicitations will be announced on the Federal Business Opportunities website (www.fbo.gov).

CRITERIA FOR SELECTING PROPOSALS:

Awards are made to vendors whose proposals represent the combination of

merit and cost that is most favorable to the government.

84.330 ADVANCED PLACEMENT PROGRAM (ADVANCED PLACEMENT TEST FEE; ADVANCED PLACEMENT INCENTIVE PROGRAM GRANTS)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Authorization of this program was eliminated by the Every Student Succeeds Act of 2015., Title I, Part G.

OBJECTIVES:

To award grants to State educational agencies, local educational agencies, and national nonprofit educational organizations with expertise in advanced placement services to support State and local efforts to increase access to advanced placement classes and tests for low-income students and to award grants to State educational agencies to cover part or all of the cost of test fees for students from low-income families enrolled in advanced placement courses.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Advanced Placement (AP) Incentive program provides grants to eligible entities for activities that increase the participation of students from low-income families in both pre-advanced placement and advanced placement courses and tests, including teacher training and course development, coordination, and articulation. The AP Test Fee program provides awards to enable States to cover all or part of the cost of test fees for students from low-income families who are enrolled in advanced placement classes. The Elementary and Secondary Education Act requires the Secretary to give priority to funding the Advanced Placement Test Fee program, with remaining funds allocated to Advanced Placement Incentive Grants.

Applicant Eligibility:

State educational agencies, local educational agencies, and national nonprofit educational organizations with expertise in advanced placement services may apply for AP Incentive grants. State educational agencies may apply for grants under the AP Test Fee program.

Beneficiary Eligibility:

Students from low-income families who are enrolled in an advanced placement class and plan to take an advanced placement test and their teachers benefit.

Credentials/Documentation:

The AP Test Fee program is excluded from coverage under OMB Circular No. A-87. OMB Circular No. A-87 for State and local governments and OMB Circular No. A-122 for non-profit organizations apply to the AP Incentive program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should secure grant application forms and prepare and address submissions according to directions published in the Federal Register.

Award Procedure:

Applications for AP Test Fee are reviewed by Department of Education staff. The selection of AP Test Fee grantees is noncompetitive. Selection of AP Incentive grantees is competitive and based on a nonfederal review of applications using selection criteria published in a notice in the Federal Register. Final decisions on grants are made by the Secretary after considering statutory and other applicable requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to 120 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Awards under the AP Test Fee program are made for one year only. Awards under the AP Incentive program are made for a period of up to 3 years; after the first year, grantees may receive annual continuations contingent on project performance and availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made for one year for the AP Test Fee program, and for up to 3 years for the AP Incentive program, contingent on performance and availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Reporting requirements are included in the final grant documents. Grantees also may be subject to national evaluation performed by the Department of Education. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Additional audit requirements may be specified in the grant award documents.

Records:

Records are to be maintained as indicated in the grant award document.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$28,483,000; and FY 18 est \$0

Range and Average of Financial Assistance:

FY16 average AP Test Fee award: \$678,167.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 75, 77, 79, 80, 81, 82, 85, 86, and 99. Applicants should contact the headquarters or regional office, as appropriate for more information.

Regional or Local Office:

None.

Headquarters Office:

Madeline Baggett Department of Education, OESE Academic Improvement and Teacher Quality Programs, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: madeline.baggett@ed.gov Phone: (202) 260-2502

Website Address:

<http://www.ed.gov/programs/apfee/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection process for AP Test Fee grants is noncompetitive; no selection criteria are used and applicants that submit applications meeting applicable requirements will receive awards. Selection of AP Incentive grantees is competitive and based on a nonfederal review of applications using selection criteria published in a notice in the Federal Register.

84.334 GAINING EARLY AWARENESS AND READINESS FOR UNDERGRADUATE PROGRAMS

(GEAR-UP)

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 2.

OBJECTIVES:

Provides 6-or 7-year grants to States and Partnerships to provide support, and maintain a commitment, to eligible low-income students, including students with disabilities, to help the students obtain a secondary school diploma (or its recognized equivalent) and to prepare for, and succeed in, postsecondary education. GEAR UP has two major service components. All projects provide a comprehensive set of early intervention services including mentoring, tutoring, academic and career counseling, services to increase parental involvement, and other college preparation activities like exposure to college campuses and financial aid information and assistance. Some projects provide college scholarships to participating students.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

(1) State Grants. States receiving funds are required (unless they receive a waiver) to provide both the early intervention services and a scholarship component, targeted to low-income students in grades K-12. At least 50 percent, but not more than 75 percent, of the grant funds must be used to provide scholarships to participating students. Conversely, at least 25 percent, but not more than 50 percent, of the funds must be used for required and permissible activities. To meet the matching requirement, States must cover at least 50 percent of the project costs with cash or in-kind contributions from non-Federal sources. (2) Partnership Grants. Eligible partnerships consist of one or more local educational agencies and one or more degree granting institutions of higher education and not less than two other community organizations or entities such as businesses, professional organizations, State agencies, institutions, or other public or private agencies or organizations. Partnerships receiving funds are not required to provide a scholarship component. However, they are required to provide services to at least one cohort or grade level of students beginning no later than the 7th grade, in a school that has a 7th grade, and in which at least 50 percent of the students enrolled are eligible for free or reduced-price lunch, or to an entire grade level of students, not later than the 7th grade, who reside in public housing. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at (202) 708-7770.

Applicant Eligibility:

A State, or a partnership consisting of one or more local educational agencies one or more degree granting institutions of higher education; and at least two community organizations or entities, such as businesses, professional associations, community-based organizations, philanthropic organizations, State agencies, parent groups, and/or nonprofit organizations.

Beneficiary Eligibility:

Low-income students and students in high-poverty schools.

Credentials/Documentation:

OMB Circular No. A-21 applies. OMB Circular No. A-122 applies. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date.

Award Procedure:

Peer review panels composed of representatives from public and private higher education, and State, and local education agencies having expertise in elementary, secondary, and higher education.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification usually is made within 4 months of the deadline for submission of applications.

Appeals:

Not Applicable.

Renewals:

Applications for renewal of multi-year projects are processed and funded before those for new projects. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The statute requires a cash or in-kind match from non-Federal sources.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are made for up to 7 years, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual performance and financial reports are required. Cash reports are not applicable. Annual performance reports are required as part of the submittal of form ED 524B. Annual expenditures reports are required as part of the submittal of form ED 524B. Staff also monitor grant drawdown using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED 524B.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

EDGAR for direct grant programs (34 CFR part 75). Generally, records related to grant funds, compliance and performance must be maintained for a period of 3 years after completion of the grant.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$322,588,000; FY 17 est \$338,831,000; and FY 18 est \$218,817,000

Range and Average of Financial Assistance:

State grants: Average award in FY 16, \$3,650,024. Partnership grants: Average award in FY 16, \$1,840,065.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations, EDGAR; 34 CFR 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, and 99 and 34 CFR Part 694; OMB Circulars A-21, A-87, A-110, A-122, and A-133.

Regional or Local Office:

None.

Headquarters Office:

Karmon Simms-Coates, State Service, Office of Postsecondary Education, College Access Challenge Grant Program, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: karmon.simms-coates@ed.gov Phone: (202) 453-7197

Website Address:

<http://www.ed.gov/programs/gearup/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.335 CHILD CARE ACCESS MEANS PARENTS IN SCHOOL FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act, as amended, Title IV, Part A, Subpart 7.

OBJECTIVES:

To support the participation of low-income parents in postsecondary education through the provision of campus-based child care services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to support or establish child care programs serving low-income students enrolled in postsecondary education. Funds may be used to provide before or after school services to the extent necessary to allow students to pursue a postsecondary career. No funds from grants may be used for construction other than minor renovations to meet State or local health or safety needs.

Applicant Eligibility:

An institution of higher education is eligible to apply if the total amount of all Federal Pell Grant funds awarded to students enrolled at the institution of higher education for the preceding fiscal year equals or exceeds \$350,000.

Beneficiary Eligibility:

Low-income student parents enrolled in postsecondary programs will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O.

12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date. An application package is available from the Office of Higher Education Programs. Copies will be mailed upon request.

Award Procedure:

Peer review panels composed of representatives from public and private higher education and child care providers having expertise in child care service are designated to review application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification usually is made within 4 months of the deadline for submission of applications.

Appeals:

Not Applicable.

Renewals:

Applications for renewal of multi-year projects are processed and funded before those for new projects. Renewals are subject to the availability of funds and if the grantee has made substantial progress in achieving the objectives outlined in its application.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The grant amount awarded to an institution shall not exceed one percent of the total amount of all Federal Pell Grant funds awarded to students enrolled at the institution of higher education for the preceding fiscal year.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants shall be awarded for a period of 4 years, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Staff monitor grants drawdown using agency financial reports. No cash reports are required. Progress reports are required. Expenditure reports are not applicable. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records supporting claims under a grant must be maintained for 5 years after termination of the award.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$15,134,000; FY 17 est \$15,134,000; and FY 18 est \$0

Range and Average of Financial Assistance:

\$10,000 - \$300,000.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for information.

Regional or Local Office:

None.

Headquarters Office:

Josephine Hamilton, Department of Education, Office of Postsecondary Education, Higher Education Programs, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: josphine.hamilton@ed.gov Phone: (202) 453-5649

Website Address:

<http://www.ed.gov/programs/campisp/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will be selected from those in the Education Department General Administrative Regulations (EDGAR). Contact the Program Office for more information.

84.336 TEACHER QUALITY PARTNERSHIP GRANTS

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title II, Part A.

OBJECTIVES:

To improve student achievement; improve the quality of new and prospective teachers by improving the preparation of prospective teachers and enhancing professional development activities for new teachers; hold teacher preparation programs at institutions of higher education (IHEs) accountable for preparing highly qualified teachers; and recruit highly qualified individuals, including minorities and individuals from other occupations, into the teaching force.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards may be used to reform teacher preparation programs through either the Pre-Baccalaureate or fifth year initial licensing Program and/or the Teaching Residency Program. Additionally, funded programs have the option of implementing a School Leadership Program as well as establishing a Partnership with a Digital Education Content Developer.

Applicant Eligibility:

States and partnerships that consist of at least one institution of higher education, a school, department or program of education within the partner institution, one school of arts and sciences within the partner institution, one high-need local educational agency, and a high-need school or a consortium of high-need schools served by the high-need local educational agency. A high-need local educational agency; is an agency that serves an elementary school or secondary school located in an area containing: (1) A high percentage of individuals or families with incomes below the poverty line, (2) a high percentage of secondary teachers not teaching in the content area that they were trained to teach, or (3) a high teacher turnover rate. An accredited institution of higher education, with a teacher training program must demonstrate the following: (A) A graduate from the teacher training program exhibits strong performance on State-determined qualifying assessments for new teachers through demonstrating that 80 percent or more of the graduates of the program intending to enter the field of teaching have passed all of the applicable State qualification assessments for new teachers including an

assessment of each prospective teacher's subject matter knowledge in the content area or areas in which the teacher intends to teach; (B) be ranked among the highest-performing teacher preparation programs in the State as determined by the State; (C) the teacher training program requires all the students of the program to participate in intensive clinical experience that meets high academic standards in the case of secondary candidates to successfully complete an academic major in the subject area in which the candidate intends to teach; (D) competence through a high level of performance in relevant content area; (F) successful completion of an academic major in the arts and sciences in the case of elementary school candidates, or (G) competence through a high level of performance in core academic subjects areas. (Note: Entities that may constitute a partnership are specifically listed in the Law.)

Beneficiary Eligibility:

Students in high-need schools and school districts are the primary beneficiaries.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date.

Award Procedure:

Peer review panels composed of representatives from public and private higher education, State and local educational agencies with expertise in teacher education are designated to review applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification usually is made within four months of the deadline for submission of applicants.

Appeals:

Not Applicable.

Renewals:

Applications for renewal of multi-year projects are processed and funded before those for new projects. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: This program has statutory requirements as follows: eligible partnerships are required to match 100 percent of the grant. Eligible partnerships may submit a request to waive the 100 percent match requirement for the first two years of the grant. The Secretary has the authority to grant this waiver to eligible partnerships as authorized under section 203(c)(2) of the HEA, as amended. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a performance period of five years, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual financial and progress reports must be submitted as required by grant award terms and conditions. No cash reports are required. Annual progress reports are required

as part of the submittal of form ED 524B. Annual expenditure reports are required as part of the submittal of for ED 524B. Staff also monitor drawdowns using agency financial reports. Annual performance monitoring is required as part of the submittal of for ED 524B.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records supporting claims under a grant must be maintained for 5 years after termination of the awards.

Account Identification:
91-0201-0-1-502; 91-0204-0-1-501.

Obligations:

(Project Grants) FY 16 \$43,092,000; FY 17 est \$43,052,000; and FY 18 est \$0

Range and Average of Financial Assistance:

An average award is \$1.5 million per year.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available
Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Venita Richardson Department of Education, Office of Innovation and Improvement, Teacher Quality Programs, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: venita.richardson@ed.gov
Phone: (202)260-2614

Website Address:

<http://www2.ed.gov/programs/tqpartnership/index.html>

RELATED PROGRAMS:

84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available
Fiscal Year 2017: No Current Data Available
Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact the Program Office for this information.

84.351 ARTS IN EDUCATION

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act (ESEA), as amended, Title IV, Part F, Subpart 4., Section 4642.

OBJECTIVES:

To provide competitive grants that support the integration of the arts into the elementary and secondary school curriculum, with particular focus on improving the academic achievement of low-income students.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants are awarded on a competitive basis to support the following types of

activities: (1) development and dissemination of accessible instructional materials and arts-based educational programming, including online resources, in multiple arts disciplines; (2) professional development for arts educators, teachers, and administrators; (3) community and national outreach activities that strengthen and expand partnerships among schools, local educational agencies, communities, or centers for the arts, including national centers for the arts. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

State educational agencies; local educational agencies in which 20 percent or more of the students served are from low-income families; institutions of higher education; museums and other cultural institutions; the Bureau of Indian Education; a national nonprofit organization; and any other public or private agencies, institutions, or organizations.

Beneficiary Eligibility:

Arts educators and administrators, and their students benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The applicant must submit the following by the designated deadline: Written narrative addressing the selection criteria and application content; all required forms: SF 424; A,B,C,D of Form 525; Assurances Non-Construction programs; Certification Regarding Lobbying, Debarment and Suspension; Drug-Free Workplace Requirements; Disclosure of Lobbying Activity; Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion - Lower Tiered Covered Transactions.

Award Procedure:

Applications are reviewed by the agency for eligibility and completeness; applications are reviewed and scored by a panel of experts; and applications are ranked according to raw scores. A funding slate is prepared, and the highest ranked applications are recommended for funding. Successful and unsuccessful applicants are notified of the outcome, and grant awards are prepared and mailed to successful grantees.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Applicants may apply for a project period of up to four years. Continuation awards are subject to the availability of appropriations and substantial progress by the grantee.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants under this program may be awarded for up to 48 months. Continuation

awards are subject to the availability of funds and substantial progress by the grantee. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Performance Reports are due within six to ten months of the initial award date; these reports are used to determine whether the grantee has made substantial progress toward meeting the project objectives and should or should not receive continuation funding. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain financial records must be maintained for a period of 3 years.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 16 \$27,000,000; FY 17 est \$27,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range of new awards: \$100,000 to \$6,700,000.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of Education General Administrative Regulations (EDGAR). Contact the Program Office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Anna Hinton Arts in Education Grants, Department of Education, Office of Innovation and Improvement, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: anna.hinton@ed.gov Phone: (202) 260-1816

Website Address:

<http://www2.ed.gov/programs/artsnational/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are contained in the Education Department General Administrative Regulations (EDGAR) 34 CFR 75.210.

84.354 CREDIT ENHANCEMENT FOR CHARTER SCHOOL FACILITIES

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Section 4304.

OBJECTIVES:

To provide grants to eligible entities to leverage funds through credit enhancement initiatives in order to assist charter schools in using private-sector capital to acquire, construct, renovate, or lease academic facilities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant recipients must deposit the funds received under this program (other than funds used for administrative costs) in a reserve account established and maintained by the entity for this purpose. Amounts deposited in such an account must be used by the entity to help charter schools access private-sector capital for acquiring, renovating, or constructing facilities. Reserve accounts must be used for one or more of the following purposes: (1) Guaranteeing, insuring, and reinsuring bonds, notes, evidences of debt, loans, and interests therein; (2) Guaranteeing and insuring leases of personal and real property; (3) Facilitating financing by identifying potential lending sources and encouraging private lending and other similar activities that directly promote lending to, or are conducted for the benefit of, charter schools; and (4) Facilitating the issuance of bonds by charter schools or by public entities for the benefit of charter schools, which may be accomplished by providing technical, administrative, and other appropriate assistance, including the recruitment of bond counsel, underwriters, and potential investors and the consolidation of multiple charter school projects within a single bond issue.

Applicant Eligibility:

A public entity, such as a State or local government entity, a private nonprofit entity, or a consortium of such entities may apply.

Beneficiary Eligibility:

Public charter schools as defined in Section 5210, ESEA.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications must be prepared and submitted in accordance with a notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education a complete application that addresses the purposes of the program, the selection criteria, any priorities included in the application notice, and all required assurances and signatures.

Award Procedure:

The Department of Education awards these grants on a competitive basis. The program office notifies successful applicants of awards and negotiates and awards grants. Contact the program office for more information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

The General Education Provisions Act hearing procedures regarding recovery of funds are applicable to this program.

Renewals:

The Department has historically only made one-time awards under this program.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds under this program are available for the Department of Education to obligate by September 30 of the fiscal year in which they are appropriated. Once these funds are obligated, they must be expended from the Federal account in five years. In addition, two years after the grantee's receipt of grant funds, the Secretary may collect any or all funds in a reserve account if the grantee has not made substantial progress or is no longer using the funds for their specified purpose. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Each grantee under this program annually must submit to the Secretary a report of its operations and activities. Each such annual report must include-- (1) a copy of the most recent financial statements, and any accompanying opinion on such statements, prepared by the independent public accountant reviewing the financial records of the grantee; (2) a copy of any report made on an audit of the financial records of the grantee that was conducted during the reporting period; (3) an evaluation by the grantee of the effectiveness of its use of the Federal funds in leveraging private funds; (4) a listing and description of the charter schools served during the reporting period; (5) a description of the activities carried out by the grantee to assist charter schools; and (6) a description of the characteristics of lenders and other financial institutions participating in the activities undertaken by the grantee during the reporting period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The financial records of each eligible entity receiving a grant under this subpart shall be maintained in accordance with generally accepted accounting principles and shall be subject to an annual audit by an independent public accountant.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for 3 years after the end of the grant.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 16 \$16,000,000; FY 17 est \$16,000,000; and FY 18 est \$65,000,000

Range and Average of Financial Assistance:

Range: \$8,000,000. Average: \$8,000,000.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Stefan Huh Department of Education, Office of Innovation and Improvement, Parental Options and Information, 400 Maryland Ave., SW., Washington, District of Columbia 20202 Email: stefan.huh@ed.gov Phone: (202) 205-4352

Website Address:

<http://www.ed.gov/programs/charterfacilities/index.html>

RELATED PROGRAMS:

84.282 Charter Schools

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications. For additional information contact the program office.

84.356 ALASKA NATIVE EDUCATIONAL PROGRAMS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act (ESEA), as amended, Title VI, Part C.

OBJECTIVES:

To support projects that recognize and address the unique educational needs of Alaska Native students, to recognize the role of Alaska Native languages and cultures and to integrate Alaska Native languages and cultures into education, to support supplemental education programs that benefit Alaska Natives, and to ensure the maximum participation by Alaska Native educators and leaders in programs designed to serve Alaska Native students.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Mandatory activities include: (1) the development and implementation of plans, methods, strategies, and activities to improve the educational outcomes of Alaska Natives; and (2) the collection of data to assist in the evaluation of Alaska Native programs. Allowable activities include, but are not limited to: (1) the development of curricula and education programs that address the educational needs of Alaska Native students; (2) the development and operation of student enrichment programs in science and mathematics; (3) professional development activities for educators; (4) early childhood and parenting education activities, including family literacy services; and (5) dropout prevention programs. An eligible entity may use not more than 5 percent of the funds received under this program for the administrative costs of carrying out its responsibilities under this Subpart.

Applicant Eligibility:

Alaska Native organizations with experience operating Alaska Native education programs; Alaska Native organizations that do not have experience operating Alaska Native education programs, but that apply in partnership with: a State educational agency (SEA) or an Alaska Native organization that operates an Alaska Native education program; or an entity located in Alaska and predominantly governed by Alaska Natives that has experience operating Alaska Native programs and is granted an official charter or sanction from at least one Alaska Native tribe or Alaska Native organization to carry out such programs.

Beneficiary Eligibility:

Alaska Natives as defined in the Alaska Native Claims Settlement Act.

Credentials/Documentation:

This program is included under OMB Circular No. A-87. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be prepared and submitted in accordance with a notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education a complete application that addresses the purposes of the program, the selection criteria, and any priorities included in the application notice, and includes all required assurances and signatures.

Award Procedure:

The Department of Education awards these grants on a competitive basis. Applications are reviewed and ranked by a panel of outside reviewers. The Program Office notifies successful applicants of awards and negotiates and awards grants. Contact the Program Office for more information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

The General Education Provisions Act hearing procedures regarding recovery of funds are applicable to this program.

Renewals:

Renewals are subject to the availability of appropriations and substantial progress by the grantee.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, awards to SEAs are available for obligation at the Federal level from July 1 to September 30 of the following year. In addition, funds remain available to SEAs for an additional fiscal year for obligation and expenditure. See the following for information on how assistance is awarded/released: Electronic transfer. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Each eligible entity receiving a grant under this program annually shall submit to the Secretary a report of its operations and activities. Each annual report shall include: (1) An executive summary; (2) information on project status; (3) budget information; and (4) supplemental information. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for 3 years after the end of the grant.

Account Identification:

91-1000-0-1-501.

Obligations:

(Project Grants) FY 16 \$32,453,000; FY 17 est \$32,453,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range of new awards: \$200,000-\$1,000,000; Average new award: \$550,000.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Almita Reed Department of Education, OESE School Improvement Programs, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: almita.reed@ed.gov Phone: (202) 260-1979

Website Address:

<http://www.ed.gov/programs/alaskanative/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications. Contact the program office for additional information.

84.358 RURAL EDUCATION**FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act (ESEA), as amended, Title VI, Part B.

OBJECTIVES:

To provide financial assistance to rural districts to carry out activities to help improve the quality of teaching and learning in their schools.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The Rural Education Achievement Program (REAP) consists of the Small, Rural School Achievement program (SRSA) and the Rural and Low-Income School program (RLIS). Under SRSA, LEAs may use program funds to carry out activities authorized under: (1) Part A of Title I (Improving Basic Programs Operated by Local Educational Agencies); (2) Part A of Title II (Supporting Effective Instruction State Grants); (3) Title III (English Language Acquisition State Grants); (4) Part A of Title IV (Student Support and Academic Enrichment State Grants); and (5) Part B of Title IV (21st Century Community Learning Centers). Eligible LEAs also may (under the REAP-Flex authority) consolidate funds they receive from these sources (except for Title I, Part A) to carry out effective activities under any of the authorized programs, including Title I, Part A.

Under RLIS, LEAs may use program funds for: (1) Part A of Title I (Improving Basic Programs Operated by Local Educational Agencies); (2) Part A of Title II (Supporting Effective Instruction State Grants); (3) Title III (English Language Acquisition State Grants); (4) Part A of Title IV (Student Support and Academic Enrichment Grants); and (5) parental involvement activities.

Applicant Eligibility:

For SRSA, eligible recipients are local educational agencies (LEAs) in which (1) the total number of students in average daily attendance at all of the schools served by the LEA is less than 600 or where each school in the LEA is located in a county with a total population density of less than 10 persons per square mile; and (2) all of the schools served by the LEA are designated as rural by the U.S. Department of Education's National Center for Education Statistics (NCES) using the NCES school locale methodology in place at the time of

enactment of the Every Student Succeeds Act (ESSA) or the LEA is located in an area of the State defined as rural by a State governmental agency. For RLIS, eligible recipients are State educational agencies (SEAs). SEAs then must distribute funds to local educational agencies (LEAs) on a formula or competitive basis. An LEA is eligible to receive a grant under RLIS only if (1) at least 20 percent of the school children ages 5-17 in the LEA come from families with incomes below the poverty line; and (2) all of the schools served by the LEA are designated with a school locale code of 32, 33, 41, 42, or 43 under the NCES school locale methodology in place at the time of ESSA's enactment. If an SEA chooses not to participate in the program, the Secretary uses the State's allocation to make direct grants to eligible LEAs in the State. If an LEA is eligible for both SRSA and RLIS, the LEA may choose in which program to participate.

Beneficiary Eligibility:

Elementary and secondary schools, students, and teachers in rural schools.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For SRSA, the Department has, for several years, considered the application requirement to be met if the LEA submitted an application in any prior year. The Department plans to require a new application from each eligible LEA in FY 2017. Application forms and instructions are available from the headquarters office. For RLIS, an SEA must submit an application that includes specific, measurable goals and objectives for the activities to be carried out through the grant. If an SEA does not participate in the program, eligible LEAs in the State may submit an application directly to the Department.

Award Procedure:

For SRSA, awards are issued annually directly to eligible LEAs on a formula basis. Awards are made to all that apply and meet the applicable requirements of the Act. Contact the program office for more information. For RLIS, awards are issued annually to SEAs, which make subgrants to LEAs. Awards are made to all SEAs that have RLIS-eligible LEAs and apply and meet the applicable requirements of the Act. SEAs then award subgrants to eligible LEAs in the State either competitively or by formula.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: ESEA Title V, Part B, Sections 5212(b) and Section 5221. Funds appropriated for the program are divided equally between SRSA and RLIS.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for 1 year. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and performance reports are due within 90 days of the termination of the project period. Cash reports are not applicable. No progress reports are required. Expenditure reports are not applicable. No performance

monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be maintained 3 years after the completion of the activity for which grant funds were used.

Account Identification:

91-1000-0-1-501.

Obligations:

(Formula Grants) FY 16 \$175,840,000; FY 17 est \$175,840,000; and FY 18 est \$175,506,000

Range and Average of Financial Assistance:

For Fiscal Year 2017: Range of new awards for SRSA: \$99 - \$52,044; Average new award for SRSA: \$22,671.

Range of new subgrants for RLIS: \$90 - \$251,366; Average new subgrants for RLIS: \$31,254.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 76, 77, 79, 80, 81, 82, 85, 97, 98 and 99.

Regional or Local Office:

None.

Headquarters Office:

Eric Schulz, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: reap@ed.gov Phone: (202) 260-7349.

Website Address:

<http://www2.ed.gov/nclb/freedom/local/reap.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See Applicant Eligibility (081), Application Procedure (092), and Award Procedure (093).

84.362 NATIVE HAWAIIAN EDUCATION

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title VI, Part B.

OBJECTIVES:

To develop innovative educational programs to assist Native Hawaiians, to supplement and expand programs and authorities in the area of education, and to encourage the maximum participation of Native Hawaiians in planning and management of Native Hawaiian education programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Program funds may be used to carry a variety of authorized activities including, but not limited to: (1) early education and care programs, (2) family-based education centers, (3) beginning reading and literacy programs, (4) activities to meet the special needs of Native Hawaiian students with disabilities, (5) activities to address the needs of gifted and talented Native Hawaiian students, (6) professional development for educators, (7) the operation of community-based learning centers that address the needs of Native Hawaiians, and (8) activities to enable Native Hawaiian students to enter and complete postsecondary education programs. An eligible entity may use not more than 5 percent of the funds received under this program for the administrative costs of carrying out its responsibilities under this subpart.

Applicant Eligibility:

Eligible applicants include: (1) Native Hawaiian educational organizations and community-based organizations; (2) public and private nonprofit organizations, agencies, and institutions with experience in developing or operating Native Hawaiian programs or programs of instruction in the Native Hawaiian language; (3) charter schools; or (4) consortia of the organizations, agencies, and institutions described above.

Beneficiary Eligibility:

Native Hawaiian children and adults.

Credentials/Documentation:

This program is included under OMB Circular No. A-87, 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be prepared and submitted in accordance with a notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education a complete application that addresses the purposes of the program, the selection criteria, and any priorities included in the application notice, and includes all required assurances and signatures.

Award Procedure:

The Department of Education awards these grants on a competitive basis. Applications are reviewed and ranked by a panel of outside reviewers. The program office notifies successful applicants of awards and awards grants. Contact the program office for more information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made for a period of up to three years; continuation awards are based on the availability of appropriations and adequate progress on project implementation. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Each eligible entity receiving a grant under this program annually must submit to the Department a report of its operations and activities. Each such annual report must include: (1) an executive summary; (2) project status information; (3) budget information; and (4) supplemental information. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for three years after the end of the grant.

Account Identification:

91-1000-0-1-501.

Obligations:

(Project Grants) FY 16 \$33,397,000; FY 17 est \$33,397,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Range of New Awards: \$250,000-\$950,000; Average New Award: \$425,000.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Joanne Osborne, Department of Education, OESE, Academic Improvement and Teacher Quality Programs, 400 Maryland Ave., S.W., Rm. 3W215, Washington, District of Columbia 20202 Email: joanne.osborne@ed.gov Phone: (202) 260-1265.

Website Address:

<http://www.ed.gov/programs/nathawaiian/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications. Contact the program office for additional information.

84.363 SCHOOL LEADER RECRUITMENT AND SUPPORT (FORMERLY SCHOOL LEADERSHIP)

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title II, Part B, Subpart 4, Section 2243.

OBJECTIVES:

To improve the recruitment, preparation, placement, support, and retention of

effective principals and other school leaders in high-need schools.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grantees may use program funds to assist local educational agencies in recruiting, preparing, supporting, and retaining principals and other school leaders in high-need schools through such activities as: (1) developing or implementing leadership training programs, including through new or alternative pathways or school leader residency programs; (2) developing or implementing programs or activities for recruiting, selecting, and developing principals or other school leaders; (3) developing or implementing programs for recruiting, developing, and placing school leaders in schools implementing comprehensive support and improvement activities and targeted support and improvement activities required by section 1111(d) of Title I of the Elementary and Secondary Education Act (ESEA); (4) providing continuous professional development; (5) developing and disseminating information on best practices and strategies for effective school leadership in high-need schools; and (6) developing other evidence-based programs or activities focused on principals and other school leaders permitted by the Title II, Part A formula grants to States program .

Grantees must use these funds to carry out the activities described in their approved project applications.

Applicant Eligibility:

Eligible entities include: (1) local educational agencies (LEAs) that serve high-need schools; (2) State educational agencies (SEAs); SEAs in partnership with LEAs that serve high-need schools; (3) the Bureau of Indian Education; or (4) any of those entities in partnership with nonprofit organizations or institutions of higher education.

Beneficiary Eligibility:

Local educational agencies and school leaders in high-need schools.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program.

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR part 200) apply to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be prepared and submitted in accordance with any rules for the program and deadlines that the Department of Education publishes in an announcement published in the Federal Register.

Award Procedure:

If an applicant is successful, the Department of Education will notify the applicant's U.S. Representative and U.S. Senators, and will send the successful applicant a Grant Award Notification (GAN). Negotiation and awarding of grants will be completed by the Department of Education's Office of Innovation and Improvement staff. Eligible entities may receive only one grant during a grant competition.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Grants are awarded for up to 5 years, with the option of one additional 2-year extension. Renewals are subject to the availability of appropriations and a grantee's demonstration of substantial progress.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 25%. Matching is required: grantees must use non-Federal sources, in cash or in kind, to cover at least 25 percent of the total project costs each year. The Secretary may waive or modify the cost-sharing requirements in cases of demonstrated financial hardship. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are awarded for up to 5 years, with the possibility of one 2-year extension. Renewals are subject to the availability of appropriations and a grantee's demonstration of substantial progress. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Program reports are applicable. As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR part 75), annual performance reports (ED Form 524B) are required of all grantees; requirements for any additional reporting will be included in grant award documents. Cash reports are not applicable. Progress reports are applicable. Annual performance reports must be submitted for each budget period in order for grantees to be eligible to receive continuation awards. Final performance reports are due 90 days after expiration or termination of the award. Expenditure reports are applicable. Grantees submit updated budget information in their annual performance reports; in addition, Department staff monitor grant drawdowns. Performance monitoring is required. Performance measures are established for the program and grantee. Grantees provide performance measurement data in their annual performance reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Each grantee must maintain records that fully disclose the total cost of the activity for which the funds are used, and the share of the cost provided from other sources, and other such records as will facilitate an effective financial or programmatic audit.

Under 2 CFR 200.333, a grantee must maintain program records for 3 years from the date it submits to the Department of Education its single or last expenditure report for the period for which it received the funds. A longer retention period is required under specified conditions. (If BIE is awarded a grant, the Department will establish this same condition in its grant award.)

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 16 \$16,368,000; FY 17 est \$14,500,000; and FY 18 est \$0

Range and Average of Financial Assistance:

FY 2016: Range \$214,000 to \$2,711,000; (continuation awards).

FY 2017: Range \$216,000 to \$2,208,000; (continuation awards);

FY 2018: \$0.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Program performance measures have been developed for the program; see <https://www2.ed.gov/about/overview/budget/budget18/justifications/f-ii.pdf>.

Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 75, 77, 79 81, 82, 84, 86, 97, 98, and 99. (b) The OMB Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485. (c) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Margarita Melendez Department of Education, Office of Innovation and Improvement, Teacher Quality Programs 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: margarita.melendez@ed.gov Phone: (202) 205-3548.

Website Address:

<http://www.ed.gov/programs/leadership/index.html>.

RELATED PROGRAMS:

84.010 Title I Grants to Local Educational Agencies; 84.367 Improving Teacher Quality State Grants; 84.423 Supporting Effective Educator Development Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In FY 2016, the Department funded only continuation awards, under the School Leadership program. FY 2013 School Leadership grant applications and abstracts are available at <http://www2.ed.gov/programs/leadership/awards.html>. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are included in the full application package, and generally also contained in the notice inviting applications published in the Federal Register. Contact the program office for additional information.

**84.365 ENGLISH LANGUAGE ACQUISITION STATE GRANTS
FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title III, Part A, Sections 3101-3131.

OBJECTIVES:

To help ensure that English learners (ELs), including immigrant children and youth, attain English proficiency and meet the same challenging State academic standards that all children are expected to meet.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The Department makes awards to States and outlying areas using a formula based on their share of ELs and immigrant children and youth. State educational agencies (SEAs) must use at least 95 percent of their allocations to award subgrants to local educational agencies (LEAs) to assist ELs in learning English and meeting challenging State academic standards, and for subgrants to LEAs that have experienced a significant increase in the number of immigrant children and youth. The immigrant subgrants cannot exceed 15 percent of the SEA's total allocation. SEAs may reserve up to 5 percent of their allocations for the establishment and implementation of statewide entrance and exit procedures for ELs, planning, evaluation, professional development activities, technical assistance and recognition. From the amount it reserves for those State activities, SEAs may reserve 50 percent of that amount or \$175,000, whichever is greater, for direct administrative costs. Subgrantees must use funds to increase the English proficiency of ELs by providing effective

language instruction educational programs that will increase ELs, English proficiency and achievement in the core academic subjects. Subgrantees must also provide effective professional development to teachers and other educational personnel that is designed to improve instruction and assessment of ELs designed to enhance teachers' ability to understand and use curricula, assessment measures, and instructional strategies for ELs, designed to increase ELs English proficiency or substantially increase teachers' subject matter content knowledge and skills; and is of sufficient intensity and duration to have a positive and lasting impact on teachers' performance in the classroom. Funds may also be used for a variety of allowable activities aimed at increasing the English proficiency of ELs and helping ELs meet the challenging State academic standards, including identifying, acquiring, and upgrading curricula, instructional materials, educational software, and assessment procedures, providing tutorials and academic or career and technical education and intensified instruction providing community participation programs, family literacy services and parent outreach and training activities improving instruction for ELs with disabilities; and offering early college high school or dual or concurrent enrollment programs. Subgrantees awarded funds based on a significant increase in the number of immigrant children and youth must use the funds to provide activities that provide enhanced instructional opportunities, which may include providing family literacy and outreach activities; recruiting staff with expertise in serving immigrant children and youth; providing tutorials, mentoring, and career counseling; and identifying, developing, and acquiring curricular materials, educational software, and technologies for the purpose of supporting immigrant children and youth. This program is subject to non-supplanting requirements (with respect to State, local, and other Federal funds) and grantees must use a restricted indirect cost rate, which is referenced under 34 CFR 76.564-76.569. For assistance, call the Office of Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

States with approved State plans and outlying areas are eligible to receive funds.

Beneficiary Eligibility:

English learners and immigrant children and youth benefit.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. SEAs apply for grants by submitting a plan to the Department that describes, among other things, the process they will use in establishing standards and objectives for raising the level of English proficiency for ELs, making sub-grants to eligible entities, and holding sub-grantees accountable for meeting the objectives described in their funded applications. Outlying area applicants may apply under the Consolidated Application Process for outlying areas through which they may combine various program funds. An application submitted under the Consolidated Application Process for outlying areas must address the purpose of the grant, priorities, goals and objectives, activities, evaluation, needs, and include a budget indicating how the funds will be used.

Award Procedure:

The Department allocates funds to any SEA with an approved plan. SEAs must expend at least 95 percent of their Title III allotments to award subgrants to LEAs and other eligible entities to meet the educational needs of ELs and immigrant children and youth.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Section 432 of the General Education Provisions Act provides local educational agencies with a right of appeal in disagreements between State and local educational agencies, including disagreements over funding decisions. Where a local educational agency alleges that a denial of funding is "a violation of State or Federal law, rules, regulations, or guidelines governing the applicable program," it may, within 30 days, request a hearing from the State educational agency. Once the hearing is held and the State educational agency issues its written ruling, the local educational agency may appeal a negative ruling to the Secretary.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: The Secretary makes grants to SEAs with an approved plan based on 80 percent of each State/outlying areas share of EL children and 20 percent of each State/outlying areas share of immigrant children and youth. No SEA receives less than \$500,000. The total amount allotted to Puerto Rico shall not exceed 0.5 percent of the total amount allotted to all States in any given fiscal year.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Generally, awards to SEAs are made on July 1. In addition, funds remain available to SEAs and LEAs for an additional fiscal year for obligation and expenditure under section 421(b) of GEPA. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees are subject to the reporting requirements contained in Parts 76 and 80 of the Education Department General Administrative Regulations (EDGAR) and 2CFR 200. In addition, there are program-specific requirements for these formula grants: States prepare and submit reports every year on the programs and activities carried out by the SEA and the effectiveness of such programs and activities in improving the education of ELs. LEAs that receive sub-grants from SEAs must provide States with a report every second year. The report must include: a description of the programs and activities conducted; the number and percentage of ELs who are making progress toward English proficiency, disaggregated, at a minimum, by ELs with disabilities; the number and percentage of ELs attaining English proficiency; the number and percentage of ELs who exit language instruction educational programs based on attaining English proficiency; the number and percentage of ELs meeting challenging State academic standards for each of the 4 years after such children are no longer receiving services disaggregated, at a minimum, by ELs with disabilities and the number and percentage of ELs who have not attained English proficiency within 5 years of initial classification as an EL... Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Contact program office.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All grantees are subject to the provisions of the Single Audit Act.

Records:

All grantees must maintain and complete records as provided in EDGAR and 2 CFR 200.

Account Identification:

91-1300-0-1-501.

Obligations:

(Formula Grants) FY 16 \$684,469,000; FY 17 est \$684,469,000; and FY 18 est \$684,469,000

Range and Average of Financial Assistance:

FY 17 \$91,617 - \$149,996,375; \$12,222,661 average.

TAFS Codes:

91-1300.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR; 34 CFR parts 76 and 2 CFR 200.

Regional or Local Office:

None.

Headquarters Office:

Katherine Cox Office of Elementary and Secondary Education, 400 Maryland Avenue, SW, Washington, District of Columbia 20202 Email: katherine.cox@ed.gov Phone: (202) 453-6886

Website Address:

<http://www2.ed.gov/programs/sfgp/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.366 MATHEMATICS AND SCIENCE PARTNERSHIPS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title II, Part B. (Struck by P.L. 114-95). Note: This program is no longer authorized and has not been funded since fiscal year 2016.

OBJECTIVES:

To improve the academic achievement of students in mathematics and science by encouraging States, institutions of higher education (IHEs), local educational agencies (LEAs), and elementary and secondary schools to participate in programs that: improve and upgrade the status and stature of mathematics and science teaching by encouraging IHEs to improve mathematics and science teacher education; focus on the education of mathematics and science teachers as a career-long process; bring mathematics and science teachers together with scientists, mathematicians, and engineers to improve those teachers' teaching skills; develop more rigorous mathematics and science curricula that are aligned with challenging state and local academic achievement standards and with the standards expected for postsecondary study in engineering, mathematics, and science; and improve and expand training of mathematics and science teachers, including by training such teachers in the effective integration of technology into curricula and instruction.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds could be used for authorized teacher education and professional development activities, including summer workshops or institutes that train teachers to use curricula based on scientific research and aligned with challenging State academic content standards; innovative distance-learning programs; and programs that bring teachers together with working scientists, mathematicians, and engineers to expand teachers subject-matter knowledge and research. Grantees could also use program funds to develop more rigorous mathematics and science curricula that were aligned with challenging State and local academic content standards and recruit individuals with mathematics, science, and engineering majors into the teaching profession through the use of signing and performance incentives, stipends, and scholarships. Funds received under this program had to be used to supplement, and not supplant, funds that would otherwise be used for authorized activities.

Applicant Eligibility:

If the program appropriation reaches or exceeds \$100 million, as was the case

starting fiscal year 2003, the Department provided grants to States by formula; States then awarded subgrants competitively to eligible partnerships. Eligible partnerships had to include an engineering, mathematics, or science department of an IHE; and a high-need LEA. Partnerships could also include another engineering, mathematics, science, or teacher training department of an IHE; additional LEAs, public charter schools, public or private elementary or secondary schools; a business; or a nonprofit or for-profit organization of demonstrated effectiveness in improving the quality of mathematics and science teachers.

Beneficiary Eligibility:

University staff, school administrators, teachers, students, and parents benefited.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Partnerships submit a subgrant application to the State if the appropriation is \$100 million or greater, was the case starting in 2003.

Award Procedure:

The Department awarded grants to States by formula. States then awarded subgrants competitively to eligible partnerships.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Elementary and Secondary Education Act of 1965, section 2202(a). (Struck by P.L. 114-95).

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

States received formula grants annually. States award subgrants to eligible partnerships for a period of not more than three years. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. The Department established performance measures for the program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be maintained for three years. In all cases of audit questions, records must be maintained until resolution has occurred.

Account Identification:

91-1000-0-1-501.

Obligations:

(Formula Grants) FY 16 \$152,717,000; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

FY16 Range: \$759,767-\$18,451,555.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: Not applicable. Fiscal Year 2018: Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200.

EDGAR 34 CFR Parts 74, 76, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Bryan Keohane Office of Academic Improvement, Office of Elementary and Secondary Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: bryan.keohane@ed.gov Phone: (202) 260-9738

Website Address:

<http://www.ed.gov/programs/mathsci/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: Not applicable. Fiscal Year 2018: Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

States received grants noncompetitively by formula. States established guidelines for selecting partnerships for subgrants.

84.367 SUPPORTING EFFECTIVE INSTRUCTION STATE GRANTS (FORMERLY IMPROVING TEACHER QUALITY STATE GRANTS)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title II, Part A.

OBJECTIVES:

To provide grants to State educational agencies (SEAs), and, through the SEAs, to local educational agencies (LEAs) in order to increase student academic achievement consistent with challenging State academic standards; improve the quality and effectiveness of teachers, principals, and other school leaders; increase the number of teachers, principals, and other school leaders who are effective in improving student academic achievement in schools; and provide low-income and minority students greater access to effective teachers, principals, and other school leaders. (Under the antecedent program, State agencies for higher education also were eligible grantees. State agencies for higher education are no longer eligible grantees.)

NOTE: The program was reauthorized by P.L. 114-95. The provisions listed here reflect changes made to the program and are applicable for awards made with FY 2017 and subsequent year funds.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

SEAs and LEAs have flexibility to carry out a wide variety of activities, consistent with their specific needs. The activities listed below are examples of SEA and LEA allowable uses of funds under sections 2101(c) and 2103(b). For a complete list of allowable activities under the ESEA, please see sections 2101(c) and 2103(b) of the statute (<https://www2.ed.gov/programs/teacherqual/legislation.html>). SEAs must use at least 95 percent of awards for subgrants to LEAs; LEAs may use the funds to develop, implement, and evaluate comprehensive programs and activities to

improve teacher and school leader quality, including rigorous and fair evaluation and support systems; implement initiatives to assist in recruiting, hiring, and retaining effective teachers, especially in low-income schools that particularly need assistance; promote teacher leadership; recruit qualified individuals from other fields; reduce class size; provide high-quality, personalized professional development; and develop feedback mechanisms to improve school working conditions. Beginning with use of the FY 2017 funds, States may reserve up to 5 percent of the total SEA award for allowable State activities, which include, among others, the reform of certification and licensing, helping LEAs design and implement teacher and school leader evaluation and support systems, improving equitable access to effective teachers, and technical assistance to LEAs. (SEAs may reserve up to 2% of total State funding for teacher, principal, or other school leader preparation academies) States may use no more than 1 percent of the total SEA award for administration. In addition, SEAs are permitted to reserve up to 3 percent of the funds available for LEA subgrants for State activities for principals and other school leaders.

This program is subject to non-supplanting requirements and grantees must use a restricted indirect cost rate which is described in 34 CFR 76.563-76.569. For assistance on indirect costs call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

SEAs.

Beneficiary Eligibility:

Elementary and secondary schools, teachers, paraprofessionals, principals and other school leaders; and students with benefit.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An SEA is eligible for funding if it submits either a consolidated State application that includes information the Department of Education requires, or a program application that contains descriptions of how funds will be used, the States certification and licensing of teachers and school leaders, and how activities will be aligned with challenging State academic standards. The program application also must contain assurances that the SEA will (1) assure compliance by LEAs; (2) work in consultation with the entity responsible for teacher and leader professional standards, certification, and licensing; and (3) comply with requirements for equitable participation of private school children and teachers. The SEA makes subgrants to LEAs on a formula basis. Contact the program office for additional information.

Award Procedure:

The Department awards funds to any SEA whose application the Secretary has approved under Section 2101(d) of the ESEA (in the Title II, Part A program statute) or under section 8302 (consolidated State applications).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

SEAs have 45 days to revise and resubmit a disapproved plan and are entitled to a hearing within 30 days of the plans re-submission (ESEA Title II, Part D, Section 8452).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: In FY 2017, each SEA receives 85.71% of the amount of funds that it received under the antecedent Eisenhower Professional Development State Grants and Class Size Reduction programs in fiscal year 2001, totaling around \$2.1 billion. Remaining funds are then allocated to SEAs by formula, with 35 percent of remaining allocations based on States relative shares of the population age 5 to 17, and 65 percent based on States relative shares of children from low-income families age 5 to 17. Each SEA must receive at least one-half of 1 percent of these remaining funds. The Bureau of Indian Education in the Department of the Interior and the Outlying Areas each receive one-half of 1 percent of the appropriation. . In subsequent years, the percentage of funding distributed based on the antecedent programs is reduced; in addition, the proportion distributed on the States relative share of children ages 5 through 17 below the poverty line increases, and the proportion allocated on the basis of the States relative number of children ages 5 through 17 decreases.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Generally, awards to SEAs are available for obligation at the Federal level from July 1 to September 30 of the following year. In addition, funds remain available to SEAs for an additional fiscal year for obligation and expenditure. See the following for information on how assistance is awarded/released: See the following for how funds are transferred: Other. Funds are transferred through the Department of Education grant system, with notification of the transfer sent to the grantees.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for State-administered programs (34 CFR part 76). Generally, SEAs are subject to the reporting requirements contained in the Consolidated State Performance Report required by Title VIII of the ESEA, Section 8303 (b). Cash reports are not applicable. Progress reports are required: Title II, Part A, Section 2104 requires SEAs to submit annual reports that include information on the uses of grant funds. Expenditure reports are not applicable. The Department has performance measures for the program; contact the program office for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Nonfederal entities that expend financial assistance less than \$500,000 in a fiscal year must keep records available for review or audit by appropriate officials of the Federal government.

Records:

SEAs and LEAs must maintain program records for 3 years from the date those agencies submit to the awarding agency its single or last expenditure report for the period for which it received the funds. A longer retention period is required under specified conditions.

Account Identification:

91-1000-0-1-501.

Obligations:

(Formula Grants) FY 16 \$2,255,837,000; FY 17 est \$2,055,830; and FY 18 est \$0 - The funding does not include the amounts for Supporting Effective Educator Development grants, which starting in FY 2017 are authorized under the ESEA and which are reported under CFDA 84.423.

Range and Average of Financial Assistance:

FY 16: Range: \$10,633,734 - \$249,340,060; Average: \$42,705,901.
FY 17: Range: \$59,722,812 - \$227,942,395; Average: \$38,944,141.
FY 18: Not applicable.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current

Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, applies to this program.

(a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 76, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Roberta Miceli Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave., SW., Washington, District of Columbia 20202
Email: roberta.miceli@ed.gov Phone: (202) 453-7019

Website Address:

<http://www.ed.gov/programs/teacherqual/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Information provided by SEAs and LEAs on the uses of funds is available at <http://www2.ed.gov/programs/teacherqual/resources.html>.
Fiscal Year 2017: No Current Data Available
Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**84.368 COMPETITIVE GRANTS FOR STATE ASSESSMENTS
(FORMERLY GRANTS FOR ENHANCED ASSESSMENT
INSTRUMENTS)**

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title I, Part B, Sec. 1203.

OBJECTIVES:

Starting with fiscal year 2017, the purpose is to support efforts to: (1) improve the quality, validity, and reliability of State academic assessments; (2) measure student academic achievement through the use of multiple measures from multiple sources; (3) develop or improve models to measure and assess student progress or growth; (4) develop or improve assessments for English learners, including assessments of English language proficiency or assessments of academic content in languages other than English; (5) develop or improve assessments for children with disabilities, including alternate assessments aligned to alternate academic achievement standards; and (6) develop and use comprehensive assessment instruments, such as performance- and technology-based assessments, computer adaptive assessments, or extended performance task assessments that improve assessment ability to measure critical thinking, writing and problem solving skills.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Successful applicants must use funds to carry out the activities described in their approved applications and in accordance with regulations.

Applicant Eligibility:

State educational agencies (SEAs) and/or consortia of SEAs are eligible to receive grants.

Beneficiary Eligibility:

State educational agencies (SEAs) and/or consortia of SEAs, local educational agencies and local schools will benefit.

Credentials/Documentation:

Applicants must prepare and submit applications in accordance with the application package, which includes instructions and guidance for completing and submitting an application. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The applicant may work in collaboration with institutions of higher education, other research institutions or other organizations. An application from a consortium of SEAs must designate one SEA as the fiscal agent. The designated SEA submits the application. The application package includes instructions and guidance on submitting an applications as well as a list of required documents and forms.

Award Procedure:

Funds are awarded on a competitive basis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

No-cost extensions are available.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project period is up to 48 months. See the following for information on how assistance is awarded/released: Assistance is awarded through electronic transfer.

Reports:

Reports must be submitted in accordance with the terms of the award document. Cash reports are not applicable. Progress reports must be submitted in accordance with the terms of the award document. Expenditure reports must be submitted in accordance with the terms of the award document. Performance monitoring will be in accordance with the terms of the award document.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Any additional audit requirements will be included in grant award documents.

Records:

Records must be maintained in accordance with EDGAR; requirements will be included in grant award documents.

Account Identification:

91-1000-0-1-501.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$8,948,000

Range and Average of Financial Assistance:

The average award is \$7,500,000.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable regulations may be found at the Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 74, 75, 77, 80, 81, 82, 84, 85, 86, 97, 98, and 99. Other information may be found at the program website. The Debarment and Suspension regulations in 2 CFR part 3485 also apply.

Regional or Local Office:

None.

Headquarters Office:

James Butler, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave. SW, Washington, District of Columbia 20202
Email: James.Butler@ed.gov Phone: (202) 260-9737.

Website Address:

<http://www.2.ed.gov/programs/eag/index.html>

RELATED PROGRAMS:

84.369 Grants for State Assessments and Related Activities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are included in the Notice Inviting Application, which is posted on the program website.

84.369 GRANTS FOR STATE ASSESSMENTS AND RELATED ACTIVITIES**FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title I, Part B, Section 1201.

OBJECTIVES:

The purpose of this program is: to pay the costs of developing the standards and high-quality assessments required by Title I of the ESEA. Once a State has put in place those standards and assessments, it may use program funds to pay for the administration of the assessments and for other activities related to ensuring that the States schools and LEAs are held accountable for results and helping students attain challenging academic standards linked to college- and career-readiness. Such activities may include, among other things, developing standards and assessments in subjects other than those required by Title I, refining State assessments to ensure continued alignment with standards, expanding the range of testing accommodations for students with disabilities and for English Learner students, developing multiple measures to ensure the validity and reliability of State assessments, and using academic assessment instruments such as performance and technology-based assessments or computer adaptive assessments to better reflect the kind of complex work students do in an effective classroom and the real world.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

State educational agencies must use these funds to carry out the responsibilities described in Section 1201 of the ESEA. Funds may be used to support the development of the State assessments and standards required by Section 1111(b) of the ESEA or, if a State has developed the assessments and standards required by Section 1111(b), then to support the administration of those assessments or to carry out other activities related to improving the quality of

those assessments.

Applicant Eligibility:

State educational agencies.

Beneficiary Eligibility:

States and local educational agencies.

Credentials/Documentation:

This program is included for coverage under OMB Circular No. A-87. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Department will allocate funds to any State whose State application submission demonstrates that funds will be used for covered activities and that costs associated with those activities are reasonable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30-90 days.

Appeals:

If the Department disapproves a State's application for funds authorized by Section 1201, the State has the right to a hearing in accordance with Section 76.202 of the Education Department General Administrative Regulations (EDGAR).

Renewals:

States with approved applications will automatically receive grant awards for any year for which there is an appropriation for the program.

Formula and Matching Requirements:

Statutory Formula: Title VI, Part A, Subpart 1. Under Title I, Part B, Sec. 1203(a)(4). Funds appropriated for State Assessment Grants are distributed to the States through a formula under which each State receives \$3 million and any amounts remaining are distributed based on each State's relative share of the total population of children aged 5 through 17. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards of funds are available for obligation for a maximum of 27 months. They become available to the Department on July 1, and are awarded to States on or about that date. They remain available for obligation under the initial period of availability for 15 months (until September 30, of the following fiscal year). Under section 421(b) of the General Education Provisions Act, any funds that remain unobligated may be carried over for obligation for an additional 12 months. Funds for succeeding fiscal years, if appropriated, will follow a similar timeline. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees are subject to the reporting requirements contained in Parts 76 and 80 of the Education Department General Administrative Regulations (EDGAR). States receive awards under this program are required to respond to any questions that the Department asks in its annual Consolidated State Performance Report survey. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All grantees must maintain and complete records in accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR).

Account Identification:

91-1000-0-1-501.

Obligations:

(Formula Grants) FY 16 \$369,051,480; FY 17 est \$369,100,000; and FY 18 est \$369,100,000

Range and Average of Financial Assistance:

FY 2017 range of awards to States: \$ 3,298,718-\$28,497,867average \$7,027,096.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 76, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99. 34 CFR Parts 200 and 300. Nonregulatory guidance concerning Title I, Part A assessment requirements is available at <http://www.ed.gov/admins/lead/account/saa.html>.

Regional or Local Office:

None.

Headquarters Office:

Patrick Rooney Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, SW, Washington, District of Columbia 20202 Email: patrick.rooney@ed.gov Phone: (202)453-5514

Website Address:

<http://www2.ed.gov/admins/lead/account/saa.html>

RELATED PROGRAMS:

84.010 Title I Grants to Local Educational Agencies; 84.368 Grants for Enhances Assessment Instruments

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.370 DC SCHOOL CHOICE INCENTIVE PROGRAM

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Scholarships for Opportunity and Results (SOAR) Act, (Division C of Public Law 112-10, as amended by P.L. 115-31/.

OBJECTIVES:

To provide low-income parents residing in the District of Columbia (District) with expanded options for the education of their children. This program is part of a broader school improvement effort in the District.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

These scholarships of up to approximately \$8,500 per student per school year for grades K-8 and approximately \$12,750 per student per school year for grades 9-12 enable students to attend a participating nonpublic District elementary and secondary school of their choice and may be used to pay tuition and fees and transportation.

Applicant Eligibility:

An educational entity of the District of Columbia Government; a nonprofit organization; or a consortium of nonprofit organizations.

Beneficiary Eligibility:

To receive an award under this program, an applicant must ensure that a majority of the members of its voting board or governing organization are residents of the District of Columbia. The Secretary gives priority to applications from eligible entities that will most effectively provide students and families with the widest range of educational options; to applications from eligible entities that will most effectively target resources to students and families who lack the financial resources to take advantage of available educational options; and to applications from eligible entities that will most effectively give priority to (A) eligible students who, in the school year preceding the school year in which the student would use the scholarship, attend an elementary or secondary school identified for improvement, corrective action, or restructuring under section 1116 of the Elementary and Secondary Education Act of 1965, as amended (ESEA) (20 U.S.C. 6316); (B) students who have been awarded a scholarship in a preceding year under the current authorizing legislation or the DC School Choice Incentive Act of 2003, as such Act was in effect on the day before the date of the enactment of the current authorizing legislation, but who have not used the scholarship, including eligible students who were provided notification of selection for a scholarship for school year 2009-2010, which was later rescinded in accordance with direction from the Secretary of Education; and (C) students whose household includes a sibling or other child who is already participating in the program of the eligible entity under this division, regardless of whether such students have, in the past, been assigned as members of a control study group for the purposes of an evaluation.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

This program is subject to the regulations in 34 CFR Part 79. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must submit the following by the designated deadline: (1) The applicant must provide a written narrative, which addresses the selection criteria (and the required application content) that reviewers use in evaluating their applications. (2) Required forms to be submitted with the application include SF424; Sections A, B, and C of ED Form 524; Assurance - Non Construction Programs; Certifications Regarding Lobbying, Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; Disclosure of Lobbying Activity; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions. (3) Applicants for grants under this program are required to submit applications electronically using the Electronic Grant Application System (e-Application) available through the Department's e-GRANTS system. Specific instructions for submitting applications electronically are included in the application notice.

Award Procedure:

The Department of Education awards this grant on a competitive basis. The program office notifies the successful applicant of the award, negotiates the final budget, and awards the grant. Contact the program office for more information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Not Applicable.

Renewals:

Applicants may apply for a project period of up to 12 months. Continuation awards are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applicants may apply for a project period of up to 12 months. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual performance reports are required for each budget period. Specific due dates are determined by the Department and forwarded to applicants accordingly. Final performance reports are due 90 days after expiration or termination of the award. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain financial records must be maintained for a period of 3 years.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 16 \$13,000,000; FY 17 est \$13,000,000; and FY 18 est \$13,000,000

Range and Average of Financial Assistance:

Average new awards: \$13,000,000.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department will publish the Notice Inviting Applications (NIA) in the Federal Register. Contact the Program Office for more information.

Regional or Local Office:

None.

Headquarters Office:

Jeanne Gilroy Department of Education, Office of Innovation and Improvement, Parental Options and Information, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: jeanne.gilroy@ed.gov Phone: (202) 205-5482

Website Address:

<http://www.ed.gov/programs/dcchoice/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current

Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are contained in the NIA.

84.371 STRIVING READERS/COMPREHENSIVE LITERACY DEVELOPMENT

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title I, Part E, Section 1502; FY 2016 Consolidated Appropriations Act, Public Law 114-113.

OBJECTIVES:

The objective of the Striving Readers/Comprehensive Literacy Development program is to advance literacy skills, including pre-literacy skills, reading and writing for students from birth through grade 12, including English learner and students with disabilities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Striving Readers/Comprehensive Literacy Development discretionary grantees must use these funds to carry out the activities described in their approved applications. Striving Readers/Comprehensive Literacy Development grantees must use these funds to create a comprehensive literacy program to advance literacy skills, including pre-literacy skills, reading, and writing. These funds must be used for services and activities that have characteristics of effective literacy instruction through professional development, screening and assessment, targeted interventions for students reading below grade level and other research-based methods of improving classroom instruction and practices for all students. Grantees may reserve up to 5 percent of their awards for State leadership activities, including technical assistance and training, data collection, reporting, and administration.

Applicant Eligibility:

State educational agencies are eligible applicants.

Beneficiary Eligibility:

The Striving Readers/Comprehensive Literacy Development program benefits children and youth from birth through 12th grade.

Credentials/Documentation:

This program is covered. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must prepare and submit an application in accordance with the Application and Instructions published on the Striving Readers Comprehensive Literacy website at <http://www.ed.gov/programs/strivingreaders-literacy/index.html>. OMB required assurances and certifications include: Standard Forms 424B (Assurances for Non-Construction Programs), including the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; merit systems; nondiscrimination; Hatch Act provisions; labor standards; flood hazards; historic preservation; protection of human subjects; animal welfare; lead-based paint; Single Audit Act; and the general agreement to comply with all applicable Federal laws, executive orders and regulations; lobbying in Department Form 80-0013, Standard Form-LLL, Disclosure Form to Report Lobbying.

Award Procedure:

The Department of Education notifies successful applicants of awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the full application deadline.

Appeals:

Not Applicable.

Renewals:

Applicants may apply for a project period of up to 5 years. Renewals are subject to the availability of appropriations and satisfactory progress.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applicants may apply for a project period of up to 5 years. Renewals are subject to the availability of appropriations and satisfactory progress. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual progress reports must be submitted at the end of the budget period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. No expenditure reports are required. Performance monitoring is included in the annual progress report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 16 \$190,000,000; FY 17 est \$190,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

No Current Data Available.

TAFS Codes:

91-0900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), 34 CFR Parts 74, 75, 76, 77,79,80,81,82,85,86,97,98, and 99. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Sylvia Lyles Department of Education, Office of Elementary and Secondary Education, 400 Maryland Ave., SW., Washington, District of Columbia 20202 Email: sylvia.lyles@ed.gov Phone: (202) 260-2551

Website Address:

<http://www.ed.gov/programs/strivingreaders/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria will be included in the application package. For additional information please visit the website <http://www.ed.gov/programs/strivingreaders-literacy/index.html>.

84.372 STATEWIDE LONGITUDINAL DATA SYSTEMS

FEDERAL AGENCY:

Institute of Education Sciences, Department of Education

AUTHORIZATION:

Educational Technical Assistance Act of 2002, Title II, Section 208, Public Law 107-279.

OBJECTIVES:

These grants are intended to enable State educational agencies to design, develop, and implement statewide, longitudinal data systems to efficiently and accurately manage, analyze, disaggregate, and use individual student data, consistent with the Elementary and Secondary Education Act of 1965 and to facilitate analyses and research to improve student academic achievement and close achievement gaps. In 2015, grants were awarded to carry out projects to address up to two of the following data use priorities: 1) Financial Equity and Return on Investment; 2) Educator Talent Management; 3) Early Learning; 4) College and Career; 5) Evaluation and Research; 6) Instructional Support. In addition, funds are used to support contracts to improve data quality, coordination, and use. The eligible entities for contract awards are public or private organizations or entities.

TYPES OF ASSISTANCE:

Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Grants may support salaries, travel, equipment, and supplies as required to carry out design and implementation efforts. Grant funds shall be used to supplement and not supplant other State or local funds used for developing State data systems.

Applicant Eligibility:

State educational agencies.

Beneficiary Eligibility:

State educational agencies, local educational agencies, non-profit and for-profit organizations, and individuals involved with education will benefit.

Credentials/Documentation:

Applicants for these data systems projects must present a plan and furnish evidence that the scientific competence, facilities, equipment, and supplies are appropriate to carry out the project. Applicants must ensure technical quality; provide for the generation of accurate data, and provide for the timely use of such data needed by State and local educational agencies both to comply with the Elementary and Secondary Education Act of 1965 and to facilitate research to improve student academic achievement and close achievement gaps; and, meet voluntary standards and guidelines for linking individual student data. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application notices (available on <http://ies.ed.gov/funding>) describe the substantive requirements for applications and provide information on how to prepare and submit

applications electronically through Grants.gov. The Application Packages provide of the required forms and are available on <http://www.grants.gov>.

Grant applications to Institute of Education Sciences (IES) competitions must be submitted via the Grants.gov government-wide portal that allows potential applicants to find grant opportunities and apply for grants. The Grants.gov registration process can take several weeks. Interested applicants should begin the registration process well in advance of application deadlines.

Award Procedure:

Grant applications that are responsive and compliant to the Requests for Applications are evaluated by expert reviewers for scientific and technical merit. Scientific reviews are conducted in accordance with the review criteria stated in the Request for Applications and the review procedures posted on the IES website, http://ies.ed.gov/director/sro/peer_review/application_review.asp, by a panel of scientists who have substantive and methodological expertise appropriate to the program of research and Request for Applications. Awards are competitive, with the following criteria considered in making award decisions for responsive and compliant applications: Scientific merit as determined by peer review, performance and use of funds under a previous federal award, contribution to the overall program of research described in the Request for Applications, and availability of funds. Official notice of an approved application is made through issuance of a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 120 to 180 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Recipients of multi-year grants must submit an annual performance report for each year funding has been approved. A review of the annual performance report is used to determine whether substantial progress has been made toward meeting the project objectives. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects usually are supported for four years. All grantee obligations shall be liquidated within 90 days following the end of the project period. Payments may be made according to a predetermined schedule or by way of reimbursement. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, financial reports and program reports must be submitted as required by grant terms and conditions. Cash reports are not applicable. Progress reports are required. Annual performance reports are required as part of the submittal of form ED 524B. Expenditure reports are required. Annual expenditure reports are required as part of the submittal of form ED 524B. Staff also monitor drawdowns using agency financial reports. Performance monitoring is required. Reporting on performance measures is required as part of the submittal of form ED 524B. The Department has established performance measures for the program that include: 1) the number of States linking K-12 with early childhood data; 2) the number of States linking K-12 with postsecondary data, and 3) the number of States linking K-12 and postsecondary data with workforce data.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All expenditures by state governments will be audited by the grantee or at the grantee's direction with reasonable frequency, usually once a year but not less than once every two years. The Secretary of Education, the Director of the Institute, and the Comptroller General of the United States, or any of their duly authorized representatives, shall have access, for the purpose of audit and examinations, to all applicable records and to any other pertinent books, documents, papers, and records of the grantee.

Records:

Each grantee will keep intact, and accessible, records relating to the receipt and expenditure of Federal funds and the expenditures of the grantee's contribution to the cost of the project, if any. Records will normally be retained for 3 years after the date of the submission of the final expenditure report, or for grants that are reviewed annually, 3 years after the date of the award.

Account Identification:

91-1100-0-1-503.

Obligations:

(Project Grants) FY 16 \$30,042,803; FY 17 est \$27,287,085; and FY 18 est \$22,757,781. (Project Grants (Contracts)) FY 16 \$6,000,000; FY 17 est \$4,852,801; and FY 18 est \$6,000,000

Range and Average of Financial Assistance:

The 2015 grant awards ranged in size from approximately \$3.5 million to \$7 million for 4-year projects.

TAFS Codes:

91-1100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No new competition was administered in 2016. Funds supported continuation costs of FY 2015 awards, as well as activities to improve data quality, coordination, and use. Fiscal Year 2017: No new competition will be administered in 2017. Funds will support continuation costs of FY 2015 awards, as well as activities to improve data quality, coordination, and use. Fiscal Year 2018: No new competition will be administered in 2018. Pending availability of funds, funds will support continuation costs of FY 2015 awards, as well as activities to improve data quality, coordination, and use.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of the Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 77, 80, 81, 82, 84, 85, 97, 98, and 99. In addition 34 CFR part 75 is applicable, except for the provisions in 34 CFR 75.100, 75.101(b), 75.102, 75.103, 75.105, 75.109(a), 75.200, 75.201, 75.209, 75.210, 75.211, 75.217, 75.219, 75.220, 75.221, 75.222, and 75.230. For more information, see specific Request for Applications available on Institute website <http://ies.ed.gov/funding>.

Regional or Local Office:

None.

Headquarters Office:

Nancy Sharkey Department of Education, IES, National Center for Education Statistics, 550 12th St., SW, Room 9101, Washington, District of Columbia 20006 Email: nancy.sharkey@ed.gov Phone: (202) 245-7689

Website Address:

<http://nces.ed.gov/programs/slids>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Information on projects funded in FY 2015 and previous fiscal years is available at <http://nces.ed.gov/programs/SLDS/stateinfo.asp>. Fiscal Year 2017: No new awards will be made in FY 2017. Fiscal Year 2018: No Current Data is Available. Awards will be posted on <http://ies.ed.gov/funding/grantsearch/>.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting applications are contained in the Request for Applications that announces the competition. The Request for Applications is posted on the Funding Opportunities home page of the Institute web site: <http://ies.ed.gov/funding/>.

84.373 SPECIAL EDUCATION TECHNICAL ASSISTANCE ON STATE DATA COLLECTION

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part B, Sections 611(c) and 616(i), 20 U.S.C 1411.

OBJECTIVES:

To provide technical assistance, where needed, to improve the capacity of States to meet the data collection requirements of the IDEA.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

Public and private agencies and organizations, including for profit and non-profit agencies and organizations.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities benefit from this program.

Credentials/Documentation:

OMB Circulars A-21, A-122, and A-87 may apply to grantees under this program depending on the grantee. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

As required by EDGAR, awards may be renewed annually for up to five years throughout the project period of the award if the recipient has made substantial progress in meeting the goals of the project, depending upon the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from 1 to 5 years. Renewals subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the grant award period. Cash reports are not applicable. Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.50. Expenditure reports are monitored through the APR. Program performance is monitored through the APR.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR, the grantee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally records related to grant funds, compliance, and performance must be maintained for a period of 3 years from the date the grantee submits its final expenditure report for the funding period. 34 CFR 80.42.

Account Identification:

91-0300-0-1-501.

Obligations:

(Project Grants) FY 16 \$20,000,000; FY 17 est \$21,400,000; and FY 18 est \$21,400,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 300; 34 CFR Parts 74, 75, 76, 77, 79, 80, 81, 82, 85, and 86. (EDGAR).

Regional or Local Office:

None.

Headquarters Office:

David Egnor, Department of Education, OSERS Office of Special Education Programs, 400 Maryland Ave., SW, Washington, District of Columbia 20202
Email: david.egnor@ed.gov Phone: (202) 245-7334.

Website Address:

<http://http://www.ed.gov/about/offices/list/osers/osep/index.html>.

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.323 Special Education - State Personnel Development; 84.324 Research in Special Education; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.328 Special Education_Parent Information Centers; 84.329 Special Education_Studies and Evaluations

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: IDEA Data Management Center, Center for IDEA Fiscal Reporting, IDEA Data Management Center. Fiscal Year 2017: IDEA Data Management Center, Center for IDEA Fiscal Reporting, IDEA Data Management Center. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210.

84.374 TEACHER AND SCHOOL LEADER INCENTIVE GRANTS (FORMERLY THE TEACHER INCENTIVE FUND)

(TSL)

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended (ESEA), Title II, Part B, Subpart 1, Section 2212.

OBJECTIVES:

To help eligible entities develop, implement, improve, or expand human capital management systems or performance-based compensation systems for teachers, principal, or other school leaders in schools (and especially those in high-need schools) served by the grantees.

NOTE: The program was authorized by P.L. 114-95, the ESEA, as reauthorized by the Every Student Succeeds Act. The provisions listed here reflect the requirements in that legislation, and are applicable to awards made with FY 2017 and subsequent year funds. The prior program, the Teacher Incentive Fund, was authorized through annual appropriations language.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grantees may use funds for a wide variety of activities designed to develop, implement, improve, or expand human capital management systems or performance-based compensation systems, including (1) developing or improving evaluation and support systems that are based in part on demonstrated improvement in student achievement; (2) conducting outreach to gain information on how to construct evaluation and support systems; (3) providing principals or other school leaders with the tools needed to make effective school-level decisions, including budgetary, scheduling, and staffing decisions; (4) implementing, as part of a performance-based compensation system, a differentiated salary structure for teachers or leaders in high need schools or high-need subjects who raise student academic achievement or take on additional leadership responsibilities; (5) improving LEA processes for recruiting, selecting, placing, supporting, and retaining effective teachers, principals, and other school leaders in high-need schools; and (6) instituting career advancement opportunities that reward effective teachers, principals, or other school leaders in high-need schools and enable them to expand their leadership results.

Grantees must use these funds to carry out the activities described in their approved project applications.

Applicant Eligibility:

Eligible applicants are local educational agencies (LEAs), including charter schools that are LEAs in their State; State educational agencies (SEAs) or other State agencies; the Bureau of Indian Education (BIE); and partnerships of (1) one or more LEAs, State agencies, or the BIE and, (2) and at least one nonprofit or for-profit entity.

An LEA may receive a grant, whether individually or as part of a partnership, only twice.

Beneficiary Eligibility:

SEAs, LEAs, the BIE, non-profit and for profit organizations, and students, teachers, principals, and other school leaders in an LEA's high-need schools.

Credentials/Documentation:

2 CFR part 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O.

12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must prepare and submit applications in accordance with the notice inviting applications published in the Federal Register.

By the due date, eligible applicants must submit to the U.S. Department of Education a complete application that (1) addresses statutorily required information, absolute and competitive priorities (as applicable), and the selection criteria, and that and (2) includes all required assurances and signatures. Contact the program office for additional information.

Award Procedure:

If an applicant is successful, the Department of Education will notify the applicant's U.S. Representative and U.S. Senators and will send the successful applicant a Grant Award Notification (GAN).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Applicants may apply for a project period of up to 3 years; the grant may be renewed for up to an additional 2 years if the grantee demonstrates that it is using funds effectively. Renewals are subject to the availability of funds and a grantee's satisfactory progress.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50%. Beginning with FY 2017 awards, each grantee must provide, from non-Federal sources, an amount equal to 50 percent of the amount of the grant (in cash or kind).

Federal grant funds must be used to supplement, and not supplant, other Federal or State funds that would otherwise be used for authorized program activities. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applicants may apply for a project period of up to 3 years; the grant may be renewed for up to an additional 2 years if the grantee demonstrates that it is using funds effectively. Renewals are subject to the availability of funds and a grantee's satisfactory progress. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR part 75), annual performance reports (ED Form 524B) are required of all grantees; requirements for any additional reporting will be included in grant award documents.

Final performance reports and the final evaluation report must be submitted within 90 days after expiration or termination of the award. Cash reports are not applicable. Grantees are required to submit Annual Performance Reports. Expenditure reports are not applicable. Grantees report progress on established performance measures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Each grantee must maintain records that fully disclose the total cost of the activity for which the funds are used, and the share of the cost provided from other sources, and other such records as will facilitate an effective financial or programmatic audit. Under 2 CFR 200.333, a grantee must maintain program records for 3 years from the date it submits to the Department of Education its last expenditure report for the period for which it received the funds. A longer retention period is required under specified conditions.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 16 \$230,000,000; FY 17 est \$200,000,000; and FY 18 est \$199,563,000

Range and Average of Financial Assistance:

FY 16 range \$829,000 to \$14,407,000 (continuation awards) and \$ 887,000 to \$13,171,000 (new awards).

FY 17 est range \$500,000 to \$14,871,000 (continuation awards) and est \$500,000 to \$12,000,000 (new awards).

FY 18 est \$500,000 to \$14,576,000 (continuation awards).

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Department collects annual program performance data from grantees; this information is available at <https://www2.ed.gov/about/overview/budget/budget18/justifications/f-ii.pdf>.

In addition, the Department is conducting an evaluation of the program; additional information is available at http://ies.ed.gov/ncee/projects/evaluation/tq_incentive.asp. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, applies to this program.

(a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The OMB Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485. (c) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474.

Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Orman Feres Office of Innovation and Improvement, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: orman.feres@ed.gov Phone: (202) 453-6921

Website Address:

<http://www2.ed.gov/programs/teacherincentive/index.html>

RELATED PROGRAMS:

84.367 Improving Teacher Quality State Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Department awarded 13 new grants in FY 2016. Descriptions of projects are available at <https://www2.ed.gov/programs/teacherincentive/awards.html>. Fiscal Year 2017: Information on FY 2017 awards will be available at <https://www2.ed.gov/programs/teacherincentive/awards.html>. Fiscal Year 2018:

No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are contained in the program application package and also generally published in the notice inviting applications published in the Federal Register. Contact the program office for more information.

84.377 SCHOOL IMPROVEMENT GRANTS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Authorization of this program was eliminated by the Every Student Succeeds Act of 2015.

OBJECTIVES:

To support competitive subgrants to local educational agencies (LEAs) that demonstrate the greatest need for the funds and the strongest commitment to use the funds to provide adequate resources to raise substantially the achievement of students in their lowest-performing schools. In general, State educational agencies (SEAs) must give priority for subgrants to LEAs with Title I-eligible schools ranked in the bottom five percent of such schools, based on student achievement and lack of progress in improving student achievement, as well as secondary schools with a graduation rate below 60 percent over a number of years. LEAs seeking funding to serve such schools must implement one of several school intervention models.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

An SEA must allocate at least 95 percent of the amount of the funds it receives directly to LEAs, primarily to implement specified school intervention models in Title I-eligible schools ranked in the bottom five percent of such schools, based on student achievement and lack of progress in improving student achievement, as well as secondary schools with a graduation rate below 60 percent over a number of years. An SEA may retain up to 5 percent of the grant amount received for administration, evaluation, and technical assistance expenses. At the LEA level, these funds may be used for any reasonable costs associated with implementing required school intervention models, or with carrying out school improvement, corrective action, or restructuring activities described in Section 1116(b) of the ESEA.

Applicant Eligibility:

SEAs with approved State plan amendments are eligible to receive funds.

Beneficiary Eligibility:

To be eligible to receive Title I School Improvement Grant funds, an LEA must have one or more schools identified for school improvement, corrective action, or restructuring under Section 1116(b) of Title I of the ESEA.

Credentials/Documentation:

OMB Circular A-87. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. ED has issued guidance and an electronic application for this program, which may be found at <http://www2.ed.gov/programs/sif/index.html>.

Award Procedure:

The Department will allocate funds to any State that submits an application meeting the Department's application requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies with each State.

Appeals:

In programs administered by ED, Section 432 of the General Education Provisions Act provides LEAs with a right of appeal when there are disagreements between State and local educational agencies, including disagreements over funding decisions. Where an LEA alleges that the denial of funding is a violation of State or Federal law, rules, regulations, or guidelines governing the applicable program, it may, within 30 days, request a hearing from the SEA. Once the hearing is held and the SEA issues its written ruling, the LEA may appeal a negative ruling to the Secretary.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Under ESEA, ED allocates section 1003(g) School Improvement funds to States through a formula that is based on each State's proportionate share of funds received under ESEA Title I, Part A (Grants to LEAs), Part C (Migrant Education program), and Part D, Subpart 1 (State Agency Neglected and Delinquent program). The Secretary is authorized through appropriations acts to reserve up to 5 percent of available funds for national activities.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Title I School Improvement funds are available for obligation by State and local educational agencies for 27 months after funds become available on July 1. Funds become available to the Department on July 1, and will be allocated to States on or after that date. They remain available for obligation by LEAs under the initial period of availability for 15 months. Under section 421(b) of the General Education Provisions Act, any funds that remain unobligated may be carried over for obligation for an additional 12 months. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees are subject to the reporting requirements contained in Parts 76 and 80 of the Education Department General Administrative Regulations (EDGAR). Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Grantees must submit program performance information through their annual Consolidated State Performance Reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with EDGAR in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$500,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

All grantees must maintain and complete records as provided in EDGAR.

Account Identification:

91-0900-0-1-501.

Obligations:

(Formula Grants) FY 16 \$442,555,000; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Fiscal Year 2015 (est): Range: \$1,111,223 - \$59,907,202. Average: \$9,518,386.

TAFS Codes:

91-0900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants should contact the headquarters office for more information.

Regional or Local Office:

None.

Headquarters Office:

James Butler Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave. SW, Room 3W246, Washington, District of Columbia 20202 Email: James.Butler@ed.gov Phone: (202) 401-9737.

Website Address:

<http://www.ed.gov/oese>

RELATED PROGRAMS:

84.010 Title I Grants to Local Educational Agencies

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.379 TEACHER EDUCATION ASSISTANCE FOR COLLEGE AND HIGHER EDUCATION GRANTS (TEACH GRANTS)

FEDERAL AGENCY:

Office of Federal Student Aid, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Subpart 9, Title IV, Part A.

OBJECTIVES:

To provide annual grants of up to \$4,000 to eligible undergraduate and graduate students who agree to teach specified high-need subjects at schools serving primarily disadvantaged populations for four years within eight years of graduation. For students who do not fulfill their service requirements, grants convert to Direct Unsubsidized Stafford Loans with interest accrued from the date of award.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Undergraduate and graduate students completing coursework or other requirements necessary to begin a career in teaching. Students must attend an institution of higher education that provides high-quality teacher preparation and professional development services; is financially sound; provides, or assists in the provision, of pedagogical coursework; and provides, or assists in the provision, of supervision and support services to teachers.

Applicant Eligibility:

Undergraduate and graduate students completing coursework or other requirements necessary to begin a career in teaching. Students must attend an institution of higher education that provides high-quality teacher preparation and professional development services; is financially sound; provides, or assists in the provision, of pedagogical coursework; and provides, or assists in the provision, of supervision and support services to teachers.

Beneficiary Eligibility:

Students must have a grade-point-average (GPA) comparable to a 3.25 on a scale of zero to 4.0, except that, if the student is in the first year of undergraduate education, the grade point average is determined based on the student's cumulative secondary school grade point average. A student applying for a grant to obtain a graduate degree must be a teacher or a retiree from another occupation with expertise in a field in which there is a shortage of teachers; grants for graduate education may also be obtained by a student who is or was a teacher who is pursuing high-quality alternative certification. In addition, students must sign an agreement to serve as a full-time teacher for not less than four years within eight years of completing the course of study for which they received a TEACH Grant. Service must be performed at a school serving a primarily disadvantaged population and must be in one of the following fields: mathematics, science, a foreign language, bilingual education, special education, as a reading specialist, or in another field documented as high-need. Students must also agree to provide evidence of qualifying

employment upon completion of each year of service. Failure to complete the service requirements results in the student's TEACH Grants being treated as Federal Direct Unsubsidized Stafford Loans, with interest accrued from the date of award. Students must also sign a statement of educational purpose, not owe a refund on a Title IV grant, and not be in default on a Title IV loan. Eligible males that are at least 18 years old and born after December 31, 1959, can receive aid only if they have registered with the Selective Service.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Students must complete a FAFSA, and complete an agreement to serve and submit it to the Department of Education.

Award Procedure:

Institutions act as disbursing agents for the Department of Education. The institution that the student attends disburses the TEACH Grants based on the enrollment status of the student.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 2 to 4 weeks.

Appeals:

Not Applicable.

Renewals:

Students who have applied in the previous award year must continue to meet all eligibility requirements and reaffirm their intention to fulfill the service requirements before obtaining subsequent grants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Students are limited to one TEACH Grant during an academic year. Funds for these grants will likely be disbursed at least twice during an academic year. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Institutions will be required to furnish reports periodically on the disbursement of funds, as well as furnish any other reports the Secretary requires. Students must affirm each year that they intend to fulfill their service requirements and submit a certification for each year of required service. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Annual audits will be made.

Records:

All records pertaining to the eligibility of each TEACH Grant recipient and all fiscal management records must be maintained by the institution for a period of three years or until an acceptable audit has been completed, whichever is later. Selected students will have the information on their applications verified.

Account Identification:

91-0206-0-1-502.

Obligations:

(Direct Payments for Specified Use) FY 16 \$91,000,000; FY 17 est \$92,804,000; and FY 18 est \$101,173,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-0206.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Funding supported awards to approximately 32,000 students. Fiscal Year 2017: Funding will support awards to approximately 32,000 students. Fiscal Year 2018: Funding will support awards to approximately 33,000 students.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Federal Student Aid Information Center, Federal Student Aid, Department of Education, P.O. Box 84, Washington, District of Columbia 20044-0084 Phone: (800) 433-3243.

Website Address:

<http://www.ifap.ed.gov>

RELATED PROGRAMS:

84.007 Federal Supplemental Educational Opportunity Grants; 84.033 Federal Work-Study Program; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.063 Federal Pell Grant Program; 84.066 TRIO_Educational Opportunity Centers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**84.380 SPECIAL EDUCATION – OLYMPIC EDUCATION PROGRAMS
FEDERAL AGENCY:**

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Special Olympics Sport and Empowerment Act, Section 3(a), 42 U.S.C 15001.

OBJECTIVES:

This program promotes the expansion of Special Olympics and the design and implementation of Special Olympics education programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for: (1) Activities to promote the expansion of Special Olympics, including activities to increase the participation of individuals with intellectual disabilities within the United States and (2) The design and implementation of Special Olympics education programs, including character education and volunteer programs that support the purposes of this Act, that can be integrated into classroom instruction and are consistent with academic content standards.

Applicant Eligibility:

Special Olympics is the only eligible recipient of funds.

Beneficiary Eligibility:

Individuals with and without intellectual disabilities.

Credentials/Documentation:

2 CFR 200, Subpart E Cost Principles applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Special Olympics shall submit an application at such time, in such manner, and containing such information as the Department may require.

Award Procedure:

Applications will be reviewed by the Department.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

One 12-month grant is issued each year subject to availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the grant award period. Cash reports are not applicable. Performance monitoring is applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally records related to grant funds, compliance, and performance must be maintained in accordance with the requirements at 2 CFR 200.333.

Account Identification:

91-0300-0-1-501.

Obligations:

(Project Grants) FY 16 \$10,083,000; FY 17 est \$12,583,000; and FY 18 est \$12,583,000

Range and Average of Financial Assistance:

One award for \$10,083,000 in FY 16 and one award for \$12,583,000 in FY 17.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 300; 34 CFR Parts 75, 77, 79, 81, 82, and 86; and 2 CFR Part 200.

Regional or Local Office:

None.

Headquarters Office:

Terry Jackson, Department of Education, OSERS Office of Special Education Programs 400 Maryland Ave., S.W., Washington, District of Columbia 20202
Email: terry.jackson@ed.gov Phone: (202) 245-6039.

Website Address:

<http://www2.ed.gov/programs/osepoly/index.html>.

RELATED PROGRAMS:

84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available
Fiscal Year 2017: No Current Data Available
Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.382 STRENGTHENING MINORITY-SERVING INSTITUTIONS

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended; Title III, Part F, Section 371(b)(2)(c)(ii), 371(b)(2)(D)(iii), and 371(b)(2)(D)(iv); Title VIII, Part AA, Section 897.

OBJECTIVES:

To strengthen Predominantly Black Institutions (PBI); Asian American and Native American Pacific Islander-Serving Institutions (AANAPISI); and Native American-Serving Nontribal Institutions (NASNTI) that propose to carry out activities to improve and expand such institution's capacity to serve low-income and minority students. For Masters Degree Programs at Historically Black Colleges and Universities (Section 723) and Masters Degree Programs at Predominantly Black Institutions (Section 724) to specified eligible institutions to further advance educational opportunities for African-Americans.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Section 371: Applicants under the PBI program (Section 371(b)(2)(C)) may use funds to carry out programs in science, technology, engineering, or mathematics (STEM); health education; internationalization or globalization; teacher preparation; or improving educational outcomes of African American males. Applicants under the AANAPISI (Section 371(b)(2)(D)(iii)) and NASNTI (Section 371(b)(2)(D)(iv)) programs may use funds to assist such institutions with planning, developing, undertaking and carrying out activities to improve and expand the institution's capacity to serve Asian American and Native American Pacific Islanders and Native Americans and low-income individuals. More specifically, AANAPISI grantees may use funds to carry out activities described in section 311(c). Grantees may use their funds for the purchase, rental, or lease of scientific or laboratory equipment for educational purposes; renovation and improvement in classrooms, libraries, laboratories, and other instructional facilities; support of faculty exchanges, faculty development, and faculty fellowships to assist in attaining advanced degrees in the field of instruction of the faculty; curriculum development and academic instruction; purchase of library books, periodicals, and other educational materials; funds and administrative management, and acquisition of equipment for use in strengthening funds management; joint use of facilities, such as laboratories and libraries; academic tutoring and counseling programs and student support services. Uses of funds are restricted to the allowable activities described in Section 311(c) of the HEA. NASNTI grantees may use funds for the purchase, rental, or lease of scientific laboratory equipment for educational purposes, including instructional and research purposes; renovation and improvement in classroom, library, laboratory, and other instructional facilities; support of faculty exchanges, faculty development, and faculty fellowships to assist faculty in attaining advanced degrees in the faculty's field of instruction; curriculum development and academic instruction; the purchase of library books, periodicals, microfilm, and other educational materials, funds and administrative management, and acquisition of equipment for use in strengthening funds management; the joint use of facilities such as laboratories

and libraries; and academic tutoring and counseling programs and student support services. Uses of funds under each program are restricted to the allowable activities described in Section 371 of the HEA. Section 897: Institutions in each program may use their funds to plan, develop, and implement activities that support: purchase, rental, or lease of scientific or laboratory equipment for educational purposes; construction, maintenance, renovation, and improvement in classroom, library, laboratory, and other instructional facilities; purchase of library books, periodicals, technical and other scientific journals, microfilm, microfiche, and other educational materials; scholarships, fellowships, and other financial assistance for needy graduate students to permit the enrollment of the students in, and completion of, a masters degree in mathematics, engineering, the physical or natural sciences, computer science, information technology, nursing, allied health, or other scientific disciplines in which African Americans are underrepresented; establishment or maintenance of an institutional endowment; funds and administrative management; acquisition of real property that is adjacent to the campus in connection with the construction, renovation, or improvement of, or an addition to, campus facilities; education or financial information designed to improve the financial literacy and economic literacy of students or the students' families; tutoring, counseling, and student service programs; and faculty professional development, faculty exchanges, and faculty participation in professional conferences and meetings.

Applicant Eligibility:

At the time of application, PBIs must have an enrollment of undergraduate students that is at least 40 percent African American students. AANAPISI and NASNTI applicants must have, at the time of application, an enrollment of undergraduate students not less than 10 percent Asian American and Native American Pacific Islanders and Native Americans, respectively. Institutions eligible for Masters Degree Programs at HBCUs and PBIs are specified in Title VII Sections 723 and 724 of the HEA. An institution that is eligible for and receives an award under HEAs Title III Historically Black Graduate Institutions (HBGIs) or Title V Promoting Postbaccalaureate Opportunities for Hispanic Americans (Section 512) for a fiscal year is not eligible to apply for a grant or receive grant funding under Section 897 Masters Degree Programs for HBCUs and PBIs for the same fiscal year.

Beneficiary Eligibility:

The authorized beneficiaries are underrepresented; low-income; first-generation; minority; undergraduate students.

Credentials/Documentation:

Institutions of Higher Education (IHEs) must submit an application responding to the established selection criteria. Applicants must describe in detail, the need for the project and how the proposed activities will address the goals and objectives of the program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

This program is subject to the requirements of the regulations in 34 CFR Part 79. Information about Intergovernmental Review of Federal Programs under Executive Order 12372 is in the application package for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Each applicant must submit an application covering a period not to exceed 24-months to the Department of Education.

Award Procedure:

Applications are reviewed for quality and compliance with statutory and regulatory requirements. A discretionary grant is awarded to institutions of higher education if they score within funding range and contingent upon funds being available.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

An applicant is notified of award status within 30 days of the end of the competition.

Appeals:

Not Applicable.

Renewals:

Mandatory appropriations for PBIs, AANAPISIs, and NASNTIs are available for each fiscal year until 2019. Mandatory appropriations for Masters Degree Programs at HBCUs and PBIs are available every fiscal year until 2014. Awards are generally made around June 30 of each year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants under Section 371 are made for up to 60 months. Grants under Section 897 are made for 72 months. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Institutions of higher education administering these grants must submit an annual performance report at the completion of each 12-month performance period. IHEs are also required to submit final reports ninety (90) days after the end of the grant. Staff monitor grants drawdown using agency financial reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees must maintain appropriate records pertaining to grant funds, compliance and project performance, for 3 years after the completion of activities for which the grant or subgrant funds were used. This documentation should be sufficient to establish fiscal accountability and facilitate an effective audit.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$4,660,000; FY 17 est \$4,655,000; and FY 18 est \$4,670,000 - NASNTI. (Project Grants) FY 16 \$0; FY 17 est \$7,500,000; and FY 18 est \$0 - Strengthening Master's Degree Program at HBCUs. (Project Grants) FY 16 \$13,980,000; FY 17 est \$13,965,000; and FY 18 est \$14,010,000 - PBI. (Project Grants) FY 16 \$4,660,000; FY 17 est \$4,655,000; and FY 18 est \$4,670,000 - AANAPISI.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The administration of grant programs is governed by Sections 371 and 897 of the HEA and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Winston Skerrett Department of Education, Office of Postsecondary Education, Office of Higher Education Programs, Institutional Service, Washington, District of Columbia 20202 Email: winston.skerrett@ed.gov Phone: (202)453-7605

Website Address:

<http://www2.ed.gov/about/offices/list/ope/itudes/index.html>

RELATED PROGRAMS:

84.031 Higher Education_Institutional Aid

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria can be found in EDGAR 34 CFR Part 75.210.

84.403 CONSOLIDATED GRANT TO THE OUTLYING AREAS**FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Title V, Public Law 95-134, 48 U.S.C 1469a.

OBJECTIVES:

To make an annual consolidated grant to assist an Insular Area in carrying out one or more State-administered formula grant programs of the Department. (i.e., -ESEA, Title I, Part A-Improving Basic Programs Operated by Local Educational Agencies; ESEA Title I, Part B-State Assessment Grants; ; ESEA, Title II, Part A-Supporting Effective Instruction; ESEA, Title III, Part A-English Language Acquisition, Language Enhancement, and Academic Achievement Grants; ESEA Title IV, Part A- Student Support and Academic Enrichment Grants; ESEA, Title IV, Part B-21st Century Community Learning Centers Grants; Perkins Act, Title I-Career and Technical Education Basic State Grants;- McKinney-Vento Homeless Assistance Act-Title VII-B Grants; ESEA, Title V, Part B, Rural and Low-Income School Program.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

An Insular Area must use the funds it receives under a consolidated grant to carry out, in its jurisdiction, one or more of the programs included in the grant. (See above programs.). An Insular Area may only use and administer funds under the eligible programs to be consolidated described in section 76.125 (c) of Education Department General Administrative Regulations (EDGAR) during a fiscal year for which the Insular Areas is entitled to receive funds under an appropriation for that program.

Applicant Eligibility:

Virgin Islands, Guam, American Samoa and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

Contact Program Office.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. An Insular Area applies annually for a grant consolidating two or more of the programs eligible to be consolidated in accordance with the application requirements of EDGAR 76.131-76.132.

Award Procedure:

The Department awards a single consolidated grant annually to each Insular

Area that meets the requirements of EDGAR 76.125 through 76.137 and each program under which the grant funds are to be used and administered. The Department may decide that one or more programs cannot be included in the consolidated grant if it is determined that the Insular Area failed to meet the program objectives stated in its plan for the previous fiscal year in which it carried out the programs. The eligibility of a particular Insular Area to receive grant funds under a Federal education program is determined under the statute and regulations for that program (EDGAR 76.130 (a), (b), (d)).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approval will be granted upon determination that the application meets the requirements of EDGAR 76.131 (1) and (2).

Appeals:

The Department shall not disapprove a consolidated grant, before offering the Insular Area an opportunity to revise the application and a hearing. (See 34 CFR 76.202.).

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards generally run for one fiscal year. See the following for information on how assistance is awarded/released: Contact the program office for the information.

Reports:

As required by EDGAR 76.132(5), an annual report is required to be submitted to the Secretary containing information covering the program or programs for which the grant is used and administered, including the financial and program performance information as required under 34 CFR 74.51-74.52 and 34 CFR 80.40-80.41. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR 76.132(7), the grantee keeps records, including a copy of the application document under which funds are to be spent, which show how the funds received under the consolidated grant have been spent.

Account Identification:

91-0400-0-1-501; 91-1300-0-1-501; 91-1000-0-1-501; 91-0900-0-1-501.

Obligations:

(Formula Grants) FY 16 \$73,109,915; FY 17 est \$84,649,529; and FY 18 est \$0 - Information for FY 2017 is not yet available.

Range and Average of Financial Assistance:

Awards range from approximately \$14.7 million to \$29.6 million.

TAFS Codes:

91-0400; 91-1300; 91-0900; 91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 76, 77, 80, 81, 82, and 85

Regional or Local Office:

None.

Headquarters Office:

David Cantrell School Support and Rural Programs, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W. LBJ Bldg., Room 3E204, Washington, District of Columbia 20202 Email: david.cantrell@ed.gov Phone: (202) 453-5990

Website Address:

<http://www.ed.gov/about/offices/list/oese/sst/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.407 TRANSITION PROGRAMS FOR STUDENTS WITH INTELLECTUAL DISABILITIES INTO HIGHER EDUCATION FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended., Title VII, Part D, Subpart 2.

OBJECTIVES:

Funding under this program supports competitive grants to institutions of higher education (IHEs) (as defined under section 101(a) of the Higher Education Act of 1965, as amended (HEA)), or consortia of IHEs, to create or expand high-quality, inclusive model comprehensive transition and postsecondary programs for students with intellectual disabilities. Under section 767(d)(6) of the HEA all grant recipients must partner with one or more local educational agencies (LEAs) to support students with intellectual disabilities who are still eligible for special education and related services under the Individuals with Disabilities Education Act (IDEA).

Funds from this program also support a national Coordinating Center (Coordinating Center), which develops evaluation standards for TPSID grantees and provides technical assistance, information, and opportunities for communication among institutions with postsecondary programs for students with intellectual disabilities. The Center assists in supporting continuous improvement activities for the model transition programs. The Coordinating Center will:

- (A) provide a research and evaluation protocol for TPSID programs;
- (B) administer a mentoring program matching current and new TPSID grantees based on areas of expertise;
- (C) coordinate longitudinal follow-up data collection and technical assistance to TPSID grantees on programmatic components and evidence-based practices;
- (D) make recommendations related to the development of standards for such programs;
- (E) provide technical assistance and evaluations for such programs.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

An institution of higher education (IHE) shall use grant funds to establish a model comprehensive transition and postsecondary program for students with intellectual disabilities that 1) serves students with intellectual disabilities, 2) provides individual supports and services for the academic and social inclusion of students with intellectual disabilities in academic courses, extracurricular activities, and other aspects of the IHEs regular postsecondary program, 3) provides a focus on academic enrichment, socialization, independent living skills, including self-advocacy, and integrated work experiences and career skills that lead to gainful employment, 4) integrates person-centered planning in the development of the course of study for each student with an intellectual disability participating in the model program, 5) participates with the coordinating center established under section 777(b) of the Higher Education Act, as amended, in the evaluation of the model program, 6) partners with one or more local education agencies to support students with intellectual

disabilities participating in the model program who are still eligible for special education and related services under the Individuals with Disabilities Education Act, 7) plans for the sustainability of the model program after the end of the grant period, and 8) creates and offers a meaningful credential for student with intellectual disabilities upon the completion of the model program. Grantees under this program must provide, from non-Federal funds, a matching contribution equal to at least 25 percent of the cost of the project.

The Coordinating Center will provide recommendations related to the development of standards, provide technical assistance and evaluations for model demonstration programs. The center will assist in the development, evaluation, funding, outreach, and continuous improvement of model transition programs. There is no matching requirement for the Coordinating Center.

Applicant Eligibility:

Institutions of higher education (IHEs) (as defined under section 101(a) of the Higher Education Act of 1965, as amended) and consortia of IHEs are eligible to receive grants under the TPSID program. All grant recipients must partner with one or more local educational agencies to support students with intellectual disabilities who are still eligible for special education and related services under the Individuals with Disabilities Education Act (IDEA).

Proprietary schools (for-profit entities) are not eligible to apply for a grant under this program.

Beneficiary Eligibility:

Grant funds establish model comprehensive transition and postsecondary programs for students with intellectual disabilities at institutions of higher education. Funds also support a Coordinating Center that: provides technical assistance for all comprehensive transition and postsecondary programs for students with intellectual disabilities; conducts and disseminates research to the public on strategies to promote academic, social, employment, and independent living outcomes for students with intellectual disabilities; and builds capacity of Kindergarten through Grade 12 transition services and supports of local and State education agencies.

Credentials/Documentation:

Each IHE or consortia of IHEs desiring a grant under this program shall submit an application to the Department at such time, in such manner, and containing or accompanied by such information as the Department may reasonably require. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications must be prepared and submitted according to requirements outlined in the notice inviting applications, as published in the Federal Register. Each IHE or consortia of IHEs must submit an application covering a 5-year period to the Department of Education.

Award Procedure:

Applications are reviewed for quality and compliance with statutory and regulatory requirements, and are scored by peer reviewers based on criteria included in the application package. The Department determines which applications will be funded based on the quality of the applications as determined through peer review and consider the following factors: 1) providing for an equitable geographic distribution of grants, 2) providing grant funds for model comprehensive transition and postsecondary programs for students with intellectual disabilities that will serve areas that are underserved by programs of this type, and 3) giving preference to applicants that form a partnership with any relevant agency serving students with intellectual disabilities such as a vocational rehabilitation agency, integrate students with intellectual disabilities into housing offered to nondisabled students, or involve

students attending the IHE who are studying special education, general education, vocational rehabilitation, assistive technology, or related fields in the model program. The Department also considers the past performance of an applicant in carrying out a previous award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Application deadlines are identified in the notice inviting applications, published in the Federal Register.

The estimated range of time need to approve/disapprove an application is usually within 3 months of the submission deadline.

Appeals:

Not Applicable.

Renewals:

Grants and cooperative agreements are awarded for a period of 5 years, subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. Grantees must provide matching funds for model transition programs, in cash or in kind, of at least 25 percent of the cost of the grant. The Coordinating Center is not required to provide matching funds.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are made for up to 5 years, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit an annual performance report at such time, in such manner, and containing or accompanied by such information as the Department may reasonably require. Cash reports are not applicable. Progress report: Report requirements will be included in grant award documents. Expenditure reports: Report requirements will be included in grant award documents. Performance monitoring: Report requirements will be included in grant award documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees must maintain appropriate records pertaining to grant funds, compliance and project performance, for 3 years after the completion of activities for which the grant or subgrant funds were used. This documentation should be sufficient to establish fiscal accountability and facilitate an effective audit.

The administration of the discretionary grant program is governed by the statute and (34 CFR 75, 77, 79, 82, 84, 86, 97, 98, and 99).

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 16 \$11,800,000; FY 17 est \$11,800,000; and FY 18 est \$11,800,000

Range and Average of Financial Assistance:

Not currently available.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Think College National Coordinating Center on the Transition Programs for Students with Intellectual Disabilities into Higher Education(TPSID) publishes reports on program accomplishments and related data (<https://thinkcollege.net/publication-types/ncc-data-report-0>). Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The administration of the discretionary grant program is governed by: the statute; the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, 77, 79, 82, 84, 86, 97, 98, and 99); OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and by the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR 200, as adopted in 2 CFR part 3474 .

Regional or Local Office:

None.

Headquarters Office:

Shedita Alston, Department of Education, Office of Postsecondary Education, Transition Programs for Students with Intellectual Disabilities Into Higher Education, 400 Maryland Ave., S.W., 4C144, Washington, District of Columbia 20202 Email: shedita.alston@ed.gov Phone: (202) 453-7090

Website Address:

<http://www2.ed.gov/programs/tpsidi/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The current grantees (awarded in fiscal year 2015) can be found online: <http://www2.ed.gov/programs/tpsidi/awards.html>. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria can be found in the notice inviting application and the accompanying application package. For questions related to selection criteria, contact the Program Office.

84.408 POSTSECONDARY EDUCATION SCHOLARSHIPS FOR VETERAN'S DEPENDENTS

Iraq and Afghanistan Service Grant (IASG)

FEDERAL AGENCY:

Office of Federal Student Aid, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, Executive Order Subpart 10, Title IV, Part A, Subpart 1.

OBJECTIVES:

To provide eligible veteran's dependent undergraduate postsecondary students with non-need based grant assistance to help meet educational expenses.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The student must be an eligible veterans dependent whose parent or guardian was a member of the Armed Forces of the United States and died as a result of performing military service in Iraq or Afghanistan after September 11, 2001. At the time of the parent or guardians death, the student was less than 24 years of age or enrolled at an institution of higher education. The student must also be a U.S. citizen or eligible noncitizen who has been accepted for enrollment in, and is making satisfactory academic progress at an eligible institution of higher education. Eligible schools may be public or private nonprofit institutions of higher education, (such as colleges, universities, vocational-technical schools, hospital schools of nursing), and for-profit institutions (proprietary). Eligible males who are at least 18 years or older and born after December 31, 1959, can receive aid only if they have registered with the Selective Service. Graduate students and students who have already earned a bachelor's degree are not eligible for assistance, except those enrolled in an eligible program leading to a

professional certification in teaching.

Applicant Eligibility:

The student must be an eligible veterans dependent whose parent or guardian was a member of the Armed Forces of the United States and died as a result of performing military service in Iraq or Afghanistan after September 11, 2001. At the time of the parent or guardians death, the student was less than 24 years of age or enrolled at an institution of higher education. The applicants must be U.S. citizens or eligible noncitizens and have a high school diploma, or a GED. Applicants must apply annually using the Free Application for Federal Student Aid (FAFSA). To be eligible, the applicant must meet all IAS Grant eligibility requirements, and must not have a Federal Pell Grant eligible Expected Family Contribution (EFC).

Beneficiary Eligibility:

Eligible veterans dependent undergraduate students, and students pursuing a teaching certificate that are U.S. citizens or eligible noncitizens. Students must be: regular students in an eligible program and enrolled in institutions of higher education, making satisfactory academic progress. Incarcerated students, except those incarcerated in local penal facilities, are ineligible. Students must sign a statement of educational purpose, not owe a refund on a Title IV grant, and not be in default on a Title IV loan. Eligible males that are at least 18 years old and born after December 31, 1959, can receive aid only if they have registered with the Selective Service. The eligible veterans dependent student cannot receive both an Iraq and Afghanistan Service Grant and Federal Pell Grant during the same award year.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Student completes a "Free Application for Federal Student Aid" and submits it to the processing agency specified on the form. Students may apply using a paper application, an electronic application, or via the Internet. The Department of Education calculates the student's financial eligibility for assistance and returns a notification to the student of his or her eligibility for assistance. The student submits this notification to the institution of his or her choice in order to have his or her award calculated.

Award Procedure:

Institutions act as disbursing agents for the Department of Education. The institution that the student attends calculates and disburses the IASG. The Secretary shall award grants under this section in the same manner, and with the same terms and conditions, including the length of the period of eligibility, as the Secretary awards Federal Pell Grants, except that the award rules and determination of need applicable to Federal Pell Grants shall not apply to grants under IASG. The amount of the IASG award is the same as the maximum Pell Grant for the award year, adjusted for the students enrollment status and cost of attendance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

An institution may adjust on a case-by-case basis a student's FAFSA data elements used to calculate his or her EFC and cost of attendance due to unusual circumstances documented by the institution.

Renewals:

Eligibility is determined annually.

Formula and Matching Requirements:

Statutory Formula: Higher Education Opportunity Act (HEOA), Public Law 110-315; Higher Education Act of 1965, Title IV, Part A, Subpart 1, as amended. The Department shall award grants under this formula section in the same manner, and with the same terms and conditions, including the length of the period of eligibility, as the Department awards Federal Pell Grants, except that the award rules and determination of need applicable to Federal Pell Grants shall not apply to grants under IASG. The amount of the IASG award is the same as the maximum Pell Grant for the award year, adjusted for the students enrollment status and cost of attendance.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Students are able to receive one IASG award during any award year (July 1 through June 30). Funds for the IASG award are usually disbursed at least twice during an award year. Beginning with the 20172018 award year, students can receive IASG award funds for up to 150 percent of the students IASG scheduled award for an award year. To be eligible for the additional IASG funds, the student must be otherwise eligible to receive IASG funds for the payment period and must be enrolled at least half-time in the payment period(s) for which the student receives the additional IASG funds in excess of 100 percent of the students IASG scheduled award. Students may only receive a IASG award until they have received a bachelor's degree. See the following for information on how assistance is awarded/released: Electronic transfer. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. IAS Grant recipient and all fiscal management records must be maintained by the institutions for a period of 3 years or until an acceptable audit has been completed, whichever is later.

Records:

No Data Available.

Account Identification:

91-0248-0-1-502.

Obligations:

(Formula Grants) FY 16 \$432,000; FY 17 est \$484,000; and FY 18 est \$559,000

Range and Average of Financial Assistance:

For FY 2016, grants ranged from \$582-\$5,420; the average grant was estimated at \$4,909. For FY 2017, grants are expected to range from \$592-\$5,512; the average grant is estimated at \$4,990. For FY 2018, grants are expected to range from \$592-\$5,920, the average grant is estimated at \$5,375.

TAFS Codes:

91-0200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Less than 1,000 recipients are expected. Fiscal Year 2017:

Less than 1,000 recipients are expected. Fiscal Year 2018: Less than 1,000 recipients are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing administration of the IAS Grant Program are expected to be similar to the Pell Grant Program found in 34 CFR 600, 668, and 690.

Funding Education Beyond High School; Free Application for Federal Student Aid; "The Federal Student Aid Handbook."

Regional or Local Office:

See Regional Agency Offices. Federal Student Aid Information Center.

Telephone: 1-800-433-3243. Regional Director, Federal Student Aid, the

Director of Student Financial Aid at the institution the student wishes to attend, high school guidance counselors, or directors of State agencies.

Headquarters Office:

Federal Student Aid Information Center, Federal Student Aid, Department of Education, P.O.Box 84, Washington, District of Columbia 20044-0084 Phone: (800) 433-3243

Website Address:

<http://www.ifap.ed.gov>

RELATED PROGRAMS:

84.007 Federal Supplemental Educational Opportunity Grants; 84.033 Federal Work-Study Program; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.063 Federal Pell Grant Program; 84.066 TRIO_Educational Opportunity Centers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grants are awarded to postsecondary education students based on the provisions of the Higher Education Opportunity Act (HEOA), Public Law 110-315; Higher Education Act of 1965, Title IV, Part A, Subpart 1, as amended.

84.411 EDUCATION INNOVATION AND RESEARCH (FORMERLY INVESTING IN INNOVATION (I3) FUND)**FEDERAL AGENCY:**

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title IV, Part F, Subpart 1.

OBJECTIVES:

To support the creation, development, implementation, replication, and scaling up of evidence-based, field-initiated innovations designed to improve student achievement and attainment for high-need students through a tiered-evidence framework that supports larger awards for projects with the strongest evidence base and supports promising earlier-stage projects that are willing to undergo rigorous evaluation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Department will award 3 types of grants: (1) early-phase grants for the development, implementation, and feasibility testing of a program which prior research suggests has promise, in order to determine whether the program can improve student achievement (similar to Development grants under i3); (2) mid-phase grants for implementation and rigorous evaluation of programs that have been successfully implemented under early-phase grants or have met similar criteria for documenting program effectiveness (similar to Validation grants under i3); and (3) expansion and replication of programs that have been found to produce a sizable, important impact under a mid-phase grant or have met similar criteria for documenting program effectiveness (similar to Scale-up grants under i3). All grantees must carry out a rigorous independent evaluation of the effectiveness of their project.

Applicant Eligibility:

(1) Local educational agencies (LEAs); (2) State educational agencies (SEAs); (3) the Bureau of Indian Education (BIE); (4) consortia of LEAs or SEAs; (5) nonprofit organizations; or (6) SEAs, LEAs, or the BIE in consortia with a nonprofit organization, a business, an educational service agency, or an institution of higher education.

Beneficiary Eligibility:

High-need students in LEAs will benefit.

Credentials/Documentation:

OMB Circulars No. A-110 and A-122 apply to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The Department of Education implements application procedures and criteria to award grants on a competitive basis. Applicants must apply for one of three types of grants: early phase, mid-phase, or expansion grants. Applications are reviewed by panels of peer reviewers.

Award Procedure:

Applications are reviewed by panels of peer reviewers. Points are awarded on the basis of the published selection criteria, are ranked on the basis of the points awarded, and are selected for funding based on the rank order.

Applicants must meet the evidence requirement applicable to the type of grant for which the applicant applied. Grantees must provide matching funds equal to 10 percent of their grant award (in cash or in-kind) from Federal, State, local, or private sources, and the Secretary may waive this requirement under certain circumstances. At least 25 percent of the funds appropriated for the program must be used for awards to serve rural areas, contingent on receipt of enough applications of sufficient quality.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 4 months from receipt of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching requirements are applicable to this program. Applicants must secure matching funds as specified in the Notice Inviting Applications published in the Federal Register. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Notice Inviting Applications will specify the length of assistance. In previous years grants were awarded for 3-5 year project periods. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Specific program report requirements will be included in the grant award documents; grantees must submit annual performance reports (Form ED 524B). Specific cash report requirements will be included in the grant award documents. Specific progress report requirements will be included in the grant award documents. Specific expenditure report requirements will be included in the grant award documents. Specific performance monitoring requirements will be included in the grant award documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All grantees are subject to the provisions of the Single Audit Act, 31 U.S.C. 75, and OMB circular A-133.

Records:

Each recipient must maintain records that fully disclose the total cost of the activity for which the funds are used, and the share of the cost provided from other sources, and other such records as will facilitate an effective financial or programmatic audit. The recipient must maintain such records for three years after the completion of the activity for which funds are used.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 16 \$120,000,000; FY 17 est \$100,000,000; and FY 18 est \$370,000,000

Range and Average of Financial Assistance:

Range: \$3,000,000-\$25,000,000; Average: \$7,727,000.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Education Department General Administrative Regulations (EDGAR) in 34CFR parts 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Margo Anderson 400 Maryland Ave. S.W., Washington, District of Columbia 20202 Email: Margo.Anderson@ed.gov Phone: 202-205-3010

Website Address:

<http://www.ed.gov/programs/innovation/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria will be contained in the program guidance.

84.414 GRADUATE RESEARCH OPPORTUNITIES FOR MINORITY STUDENTS (MINORITIES AND RETIREMENT SECURITY PROGRAM) FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Social Security Act, Part 1110(a), 42 U.S.C 1310(a).

OBJECTIVES:

To support competitive post-graduate grants to apprentice scholars at selected minority-serving graduate institutions in the area of retirement security (financial literacy, personal savings, labor force planning, spending patterns, personal debt, etc.) for low- to moderate-income individuals.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grantees will be expected to plan, initiate, and maintain a research program that will broadly cover retirement security issues; disseminate research findings; and train and provide funding support for graduate students to conduct research on retirement security. Eligible institutions must use grant funds for retirement security issues only.

Applicant Eligibility:

Eligible institutions include institutions of higher education (IHE) grantees receiving grants from the Historically Black Graduate Institutions (HBGIs) program (84.031B); the Master's Degrees Programs at Historically Black Colleges and Universities (HBCUs) (84.382G); the Promoting Postbaccalaureate Opportunities for Hispanic Americans program (84.031M); and the Master's Degree Programs at Predominantly Black Institutions (84.382D).

Beneficiary Eligibility:

Researchers and graduate students researching retirement security will benefit.

Credentials/Documentation:

Applicants must provide evidence of being grantees from the programs listed under the applicant eligibility section. This program is excluded from coverage

under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

An informal preapplication conference is recommended. All applicants must go through the Intergovernmental Review of Federal Programs. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications will be screened, reviewed, and scored by the Social Security Administration (SSA) to ensure that SSA standards are met.

Award Procedure:

The Department of Education will review the SSA screened applicants and will award grants from the slate of eligible applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for 12-month periods for a total project period of up to 48 months. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports requirements will be included in the grant award documents. Cash report requirements will be included in the grant award documents. Progress report requirements will be included in the grant award documents. Expenditure reports are not applicable. Performance monitoring reports requirement will be included in the grant award documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Compliance with standard Department of Education requirements.

Records:

Reporting requirements are found in EDGAR 74.53(b).

Account Identification:

91-0201-0-1-503.

Obligations:

(Project Grants) FY 16 \$480,000; FY 17 est \$480,000; and FY 18 est \$480,000

Range and Average of Financial Assistance:

Estimated Range of Awards :\$60,000-\$120,000. Estimated Average Size of Awards:\$90,000.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The requirements set forth in CFDA 96.007 will be used for this program. (a)

The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 74, 75, 77, 79, 80, 81, 82, 84, 86, 97, 98, and 99. (b) The Education Department debarment and suspension regulations in 2 CFR part 3485. (c) The Social Security Administration program regulations in 20 CFR parts 435 and 437. Funds are available for obligation by the Department of Education until expended. Funds not obligated in one fiscal year may be carried over into the next fiscal year until all funds are expended by the program. Contact the program office for more information.

Regional or Local Office:

None.

Headquarters Office:

Karen Epps 400 Maryland Ave., SW, Washington, District of Columbia 20202
Email: karen.epps@ed.gov Phone: (202) 453-6337

Website Address:

<http://www2.ed.gov/programs/mrs/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for program criteria.

84.415 STATE TRIBAL EDUCATION PARTNERSHIP (STEP)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

ESEA, Title VII, Part A, Subpart 3, Section 6132.

OBJECTIVES:

To (a) promote tribal self-determination in education; (b) improve the academic achievement of Indian children and youth; and (c) promote the coordination and collaboration of tribal educational agencies (TEAs) with State educational agencies (SEAs) and local educational agencies (LEAs) to meet the unique educational and culturally related academic needs of Indian students.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds will be used to (a) plan and develop a TEA if the tribe or organization has no current TEA; and (b) directly administer education programs, build capacity to administer and coordinate such programs, and receive training from and provide training to SEAs and LEAs.

Applicant Eligibility:

Eligible entities include an Indian tribe or tribal organization approved by an Indian tribe, or a tribal educational agency.

Beneficiary Eligibility:

TEAs, SEAs, LEAs, Indian students, and teachers will benefit.

Credentials/Documentation:

To be eligible for an award, an applicant must include evidence that supports the applicant's eligibility including: (A) a statement describing the activities to be conducted, and the objectives to be achieved, under the grant; (B) a description of the method to be used for evaluating the effectiveness of the activities for which assistance is sought and for determining whether such objectives are achieved; and (C) for applications for activities under subsection (c)(2), evidence of (i) a preliminary agreement with the appropriate State educational agency, 1 or more local educational agencies, or both the State educational agency and a local educational agency; and (ii) existing capacity as a tribal educational agency. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Potential applicants are strongly encouraged to notify the Department of the applicant's intent to apply for funding by sending the following information via email to STEP@ed.gov; (1) applicant name, mailing address and phone

number; (2) contact person's name and email address; (3) name of the SEA; (4) whether the applicant intends to apply as a single TEA or a consortium of TEAs.

For more information, see the STEP website:

<http://www2.ed.gov/programs/step/index.html>. Frequently Asked Questions about the program may be found at <http://www2.ed.gov/programs/step/index.html>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be prepared and submitted in accordance with applicable program announcements.

Award Procedure:

Applications will undergo peer review with the final decision on award approval and funding made by the Secretary.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The time of approval is expected to be approximately 2 months following the deadline for applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards may be made for up to 1 year to plan and develop a TEA and up to 3 years for all other allowable activities, subject to satisfactory performance and the availability of funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

Each eligible entity receiving a grant under this program annual must submit to the Secretary an annual report of its operations and activities. Each annual report must include: (1) An executive summary; (2) project status; (3) budget information; and (4) supplemental information. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Specific audit requirements are found in Part 80 of EDGAR.

Records:

As required by EDGAR, 34 CFR 75.730-734.

Account Identification:

91-0101-0-1-501.

Obligations:

(Project Grants) FY 16 \$2,000,000; FY 17 est \$2,000,000; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

The average award is expected to be \$240,000 for a single TEA or \$400,000 for a consortium of TEAs.

TAFS Codes:

91-0101.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to EDGAR 34 CFR parts 75, 77, 79-82, 84-86, 97-99, and the debarment and suspension regulations at 2 CFR Part 3485. Note: Part 79 does not apply to federally-recognized Indian tribes.

Regional or Local Office:

None.

Headquarters Office:

Bernard Garcia, Office of Indian Education, Department of Education, 400 Maryland Avenue, SW, Washington, District of Columbia 20202 Email: bernard.garcia@ed.gov Phone: (202) 260-1454.

Website Address:

<http://www2.ed.gov/about/offices/list/oese/oie/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are contained in the Education Department General Administrative Regulations (EDGAR) 34 CFR 75.210.

84.417 DIRECTED GRANTS AND AWARDS

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Act to Promote the Education of the Blind, 20 U.S.C 101; Education for the Deaf Act; Higher Education Act.

OBJECTIVES:

Objectives of the programs vary based on the directed grant award. Awards are made to specified institutions for purposes specified in the appropriations or authorization bills.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Awards are made to specified institutions for purposes specified in the appropriations or authorization bills. Restrictions are included in the award documents for grant.

Applicant Eligibility:

The use of the grants varies based on the appropriation and authorization language.

Beneficiary Eligibility:

The National Technical Institute for the Deaf (NTID), American Printing House for the Blind (APHB), Gallaudet University, Howard University, and other institutions and organization will benefit.

Credentials/Documentation:

Credentials and documentation requirements vary and are included in award documents. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Award procedures vary by grant and are included in the award documents.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are available for one year unless otherwise specified in grant award documents. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Reporting requirements are specified in grant award documents. Reporting requirements are specified in grant award documents. Reporting requirements are specified in grant award documents. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Audit requirements are specified in grant award documents.

Records:

Records requirements are specified in grant award documents.

Account Identification:

91-0600-0-1-502; 91-0603-0-1-502; 91-0601-0-1-502; 91-0602-0-1-502.

Obligations:

(Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-0602; 91-0601; 91-0603; 91-0600.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nancy Martin Rm 5W327
400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: NANCY.MARTIN@ED.GOV Phone: 202-401-0292

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.418 PROMOTING READINESS OF MINORS IN SUPPLEMENTAL SECURITY INCOME

PROMISE

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Authorized under the Fiscal Year 2012 Consolidated Appropriations Act (P.L. 112-74), the Department of Defense Military Construction and Veterans Affairs and Full-Year Continuing Appropriations Act 2013 (P.L. 113-6), and the Consolidated and Further Continuing Appropriations Act, 2015 (P.L.113-235).

OBJECTIVES:

To foster improved education, and post-secondary outcomes for children ages 14-16 (at the time they begin to receive services) who receive Supplemental Security Income (SSI), as well as their families, by supporting improved coordination of various services, such as those available through the Individuals with Disabilities Education Act, the Vocational Rehabilitation State Grants program, Medicaid health and home and community-based services, Job Corps, Temporary Assistance for Needy Families (TANF), and Workforce Opportunities and Innovation Act programs. PROMISE also seeks to facilitate the increased use of such services, ensuring that families are tied into programs for which they might be eligible, but are not yet participating.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

Eligible applicants are the 50 States and the District of Columbia. A consortium of States may also apply.

Beneficiary Eligibility:

Youth with disabilities receiving SSI benefits who are between the ages of 14-16 (at the time they begin to receive services), and their families.

Credentials/Documentation:

Contact program office. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The regulations in 34 C.F.R. Part 80 (Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments) implement OMB Circular A-102. Contact the program office for more information.

Award Procedure:

The Department screens applications submitted in accordance with the requirements in the Notice Inviting Applications for the program, and determines which applications have met eligibility requirements and other requirements in the Notice. Additional information about the review process was published on the programs Web site.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

A continuation awards may be made annually for up to 5 years throughout the project period of an approved multi-year grant, depending upon the availability of appropriations and the Department's determination that the grantee has made substantial progress in meeting the objectives of the approved application.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

PROMISE is a three-year project with a two-year extension option. Subject to the availability of appropriations. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting requirements will be included in the grant documents. No cash reports are required. Reporting requirements will be included in the grant documents. Reporting requirements will be included in the grant documents. Reporting requirements will be included in the grant documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award, as stated in grant or contract terms and conditions.

Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion. (34 CFR 74.53 and 34 CFR 75.730-732).

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 16 \$950,779; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Estimated Available Funds: In fiscal year 2013, 5-year awards were made to 5 States and a consortium of States. Awards ranged from \$31 million to \$50 million over 5 years for these one-time projects.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: All six PROMISE projects met the recruitment goal of 2,000 participants per project by the April 30, 2016 target date, with a total of 13,444 participants enrolled across the six projects. Project participants are randomly assigned into the treatment or non-treatment group. For example, since the summer of 2015, approximately 561 youth in the Arkansas PROMISE project have been engaged in 1 or more paid summer employment opportunities resulting in 900 paid employment experiences across more than 227 locations, and over 780 youth have completed individualized employment plans. As of August 2017, 681 Maryland PROMISE participants have secured paid employment experiences and 253 participants have engaged in financial education. In addition, over 570 family members and 448 youth from Wisconsin PROMISE have participated in paid community employment experiences. Additional information can be found on the PROMISE technical assistance centers website[1]. [1] <http://www.promisecenter.org/>. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This initiative is authorized under the Fiscal Year 2012 Consolidated Appropriations Act (P.L. 112-74). More information is available in the Notice Inviting Applications published on May 21, 2013. Additional information on PROMISE can be found online at www.ed.gov/promise.

Regional or Local Office:

None.

Headquarters Office:

Corinne Weidenthal Office of Special Education and Rehabilitative Services,
U.S. Department of Education, 400 Maryland Ave., SW

, Washington, District of Columbia 20202 Email: corinne.weidenthal@ed.gov
Phone: 202-245-6529

Website Address:

<http://www.ed.gov/promise>

RELATED PROGRAMS:

96.006 Supplemental Security Income

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210. Contact the program office for additional information.

84.419 PRESCHOOL DEVELOPMENT GRANTS

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Every Student Succeeds Act of 2015, Section 9212.

OBJECTIVES:

To support efforts to build, develop, and expand voluntary, high-quality preschool programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Under the Planning and Transition provisions (section 9212(j)), grant recipients of either development or expansion preschool development grants under the program as it existed prior to the passage of ESSA may continue to receive funds in accordance with the terms of their existing awards. As a result, funds for that group of existing grantees will be used for improving preschool education. Awards may be limited to activities that develop or enhance State infrastructure and deliver high-quality preschool programs, including quality improvement activities for 4-year-olds from families at or below 200 percent of the Federal poverty line. States may subgrant a portion of the grant funds to local educational agencies and other early learning providers (including but not limited to Head Start programs and licensed child care providers) for implementation of high-quality preschool programs.

Applicant Eligibility:

Eligible applicants are States.

Beneficiary Eligibility:

Children age 4 from families at or below 200 percent of the Federal poverty line.

Credentials/Documentation:

Contact the program office for more information. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A panel reviews each application and evaluates the application on the basis of selection criteria published in the Federal Register. The program office reviews financial information to ensure that costs reflect required program elements. The awards are made based on applicant scores. Contact the program office for additional information.

Award Procedure:

Peer reviewers review and score eligible applications. Awards are made from a rank order list of highly scored applications based on quality according to the selection criteria and using other information deemed appropriate.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals are subject to the availability of appropriations and substantial progress by the grantee.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for award for 15 months. Continuation awards are subject to the availability of appropriations and adequate progress on project implementation. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Details are included in grant award documents. Details are included in grant award documents. Details are included in grant award documents. Details are included in grant award documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR for State-administered programs (34 CFR 76.730-731). Generally, the State and its subgrantees must retain records related to grant funds and compliance for a period of 3 years from the date the grantee or subgrantee submits its final expenditure report for that funding period. 2 CFR 200.333. Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 16 \$250,000,000; FY 17 est \$250,000,000; and FY 18 est \$0 - The Every Student Succeeds Act authorizes the Department of Health and Human Services (HHS) to award Preschool Development grants to States jointly with the Department of Education.

Range and Average of Financial Assistance:

Range of awards \$5-\$35 million; average award est. \$20 million.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the program office for information.

Regional or Local Office:

None.

Headquarters Office:

Tammy Proctor Office of Early Learning
Office of Elementary and Secondary Education
400 Maryland Ave., SW, Washington, DC, District of Columbia 20202 Phone:
202-260-7803

Website Address:

<http://www.ed.gov/earlylearning>

RELATED PROGRAMS:

84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 93.575 Child Care and Development Block Grant; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for information. Criteria will be published in the Federal Register and in the application materials.

84.420 PERFORMANCE PARTNERSHIP PILOTS FOR DISCONNECTED YOUTH

Performance Partnership Pilots (P3)

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Consolidated Appropriations Act, 2014, Division H, Section 526, Public Law 113-76, as amended by Consolidated Appropriations Act 2016, Division H, Section 525, Public Law 114-113, as amended by Consolidated Appropriations Act, 2017, Division H, Section 525, Public Law 115-31, as amended by Consolidated and Further Continuing Appropriations Act, 2015, Division G, Section 524, Public Law 113-235.

OBJECTIVES:

To test innovative, cost-effective, and outcome-focused strategies to achieve significant improvements in educational, employment, and other key outcomes for disconnected youth. The Departments of Education, Labor, and Health and Human Services, as well as the Corporation for National and Community Service and the Institute of Museum and Library Services enter into Performance Partnership agreements with States, localities, or tribal governments. These agreements enable these entities to blend funding they already receive from Federal discretionary programs focused on serving disconnected youth or are designed to prevent youth from disconnecting from school or work, and that provide education, training, employment, and other related services.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to build capacity to implement Performance Partnerships, including such activities as planning, governance, data collection and analysis, coordination and evaluation. Federal participants may waive statutory, regulatory, or administrative requirements associated with the individual programs contributing funds to the pilots as long as the waivers are in keeping with important safeguards. Specifically, waivers must be consistent with the statutory purposes of the respective Federal programs and necessary to achieve the pilot's outcomes. Federal participants may not waive requirements related to nondiscrimination, wage and labor standards, and allocation of funds to State and sub-State levels.

Applicant Eligibility:

Applicants are States, DC, PR, and the Virgin Islands as well as the trust territories, Indian tribes and local governments.

Beneficiary Eligibility:

Individuals between the ages of 14-24 who are low-income and are homeless, in foster care, involved in the juvenile justice system, unemployed, or not enrolled in or are at risk of dropping out of an educational institution.

Credentials/Documentation:

Contact the program office for this information. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Specific application procedures are included in the application package.

Award Procedure:

A panel of peer reviewers review and evaluate each application on the basis of selection criteria published in the Federal Register. Awards are made from a rank order list of highly scored applications based on quality according to the selection criteria and other information deemed appropriate.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Pilot sites may be granted up to an additional 5 years to operate under P3 authorities. The Department will notify pilot sites of the procedures for extending their pilot projects.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Award period will be included in the award documents. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Specific program report requirements will be included in the grant documents. Specific cash report requirements will be included in the grant documents. Specific progress report requirements will be included in the grant documents. Specific expenditure report requirements will be included in the grant documents. Specific performance report requirements will be included in the grant documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR for direct grant programs (34 CFR 75.730-732), the grantee must keep records of funds received under the grant, total cost of the project, and other records to facilitate an effective audit. Additionally, the grantee must keep records of compliance with program requirements, significant project experiences and results, accomplishment of project objectives and, as necessary, revisions to project objectives. EDGAR (34 CFR 80.42) also requires that all records be retained from the starting date of the grant to 3 years from the date the grantee submits its final reports.

Account Identification:

91-0400-0-1-501.

Obligations:

(Project Grants) FY 16 \$1,498,172; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Range of awards is \$248,799-\$250,000; the average award is \$249,695 (FY 17).

TAFS Codes:

91-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program requirements are included in the Consolidated Appropriations Act, 2014, (P.L. 113-76) Division H, Section 526, the Consolidated and Further Continuing Appropriations Act, 2015, (P.L. 113-235), Division G, Section 524, and the Consolidated Appropriations Act, 2016 (P.L. 114-113), Division H, Section 525 and the Consolidated Appropriations Act, 2017, Division H, Section 525, Public Law 115-31.

Additional requirements are included in the application notice and grant award documents. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Braden Goetz Office of Career, Technical, and Adult Education
550 12th Street, SW
Room 11-089, Washington, District of Columbia 20202 Email:
braden.goetz@ed.gov Phone: (202)245-7405

Website Address:

<http://youth.gov/youth-topics/reconnecting-youth/performance-partnership-pilots>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are included in the application package. Contact the program office for more information.

84.421 DISABILITY INNOVATION FUND (DIF)**FEDERAL AGENCY:**

Department of Education

AUTHORIZATION:

Department of Education Appropriations Act, 2015, Public Law 113-235;
Department of Education Appropriations Act, 2014, Public Law 113-76.

OBJECTIVES:

To support innovative activities aimed at improving the outcomes of individuals with disabilities as defined by section 7(20)(B) of the Rehabilitation Act.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

In FY 2016, funds will support grants for innovative model demonstration projects designed to identify, develop, implement, and evaluate work-based learning models that are supported by evidence and will help students with disabilities prepare for postsecondary education and competitive integrated employment.

Applicant Eligibility:

States and public or nonprofit agencies and organizations, including Indian tribes and institutions of higher education may apply.

Beneficiary Eligibility:

Individuals with disabilities as defined in 7(20)(B) of the Rehabilitation Act will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms as furnished by the Office of Special Education and Rehabilitative Services must be used for this program. Information about submitting applications may be found in the Notice Inviting Applications. Contact the program office for additional information.

Award Procedure:

The Department will screen applications submitted in accordance with the requirements in the Notice Inviting Applications. Additional tiers of reviews of eligible applications may be used.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

A continuation award may be made annually for up to 5 years depending on the availability of funds and the Department's determination that the grantee has made substantial progress in meeting the objectives of the approved application.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Subject to the availability of funds, grants may be awarded for up to 5 years.
Method of awarding/releasing assistance: lump sum.

Reports:

Reporting requirements will be included in the grant award documents. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees must maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award, as stated in grant terms and conditions. Generally, records related to grant funds, compliance and performance must be maintained for a period of 3 years after grant completion. (2 CFR 200.333 and 34 CFR 75.730-732).

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 16 \$39,045,221; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Estimated Range of Awards: \$800,000-\$1,800,000

The Department estimates a wide range of awards given the potentially large differences in the scope of funded projects, including the number of students served and the intensity of services provided, the number of local sites where the proposed model will be implemented, and the scope and rigor of the proposed evaluation, particularly for those projects implementing proposals that met the requirements of Competitive Preference Priority 2. The maximum

award for applications that meet Competitive Preference Priority 2 is \$1,800,000 for a single budget period of 12 months and \$1,000,000 for all other applications for a single budget period of 12 months.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This initiative is authorized under the Consolidated Appropriations Act, 2016 (Pub. L. 114-113). More information is available in the Notice Inviting Applications and the Notice of Final Priorities published on July 29, 2016.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch Office of Special Education and Rehabilitative Services
U.S. Department of Education
400 Maryland Ave, SW, Washington, District of Columbia 20202 Email:
Tom.Finch@ed.gov Phone: 202.245.7343

Website Address:

No Data Available

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will be normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210. Contact the program office for additional information.

84.422 AMERICAN HISTORY AND CIVICS EDUCATION

American History and Civics Academies: National Activities Grants

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title II, Part B, Subpart 3, 20 U.S.C 6713.

OBJECTIVES:

The program supports two types of grants: American History and Civics Academies grants and National Activities grants. American History and Civics Academies grants support the establishment of: (1) Presidential Academies for the Teaching of American History and Civics that offer workshops for both veteran and new teachers to strengthen their knowledge of American history and civics (Presidential Academies); and (2) Congressional Academies for Students of American History and Civics that provide high school students with opportunities to develop a broader and deeper understanding of these subjects (Congressional Academies). National Activities grants promote new and existing evidence-based strategies to encourage innovative American history, civics and government, and geography instruction, learning strategies, and professional development activities and programs for teachers, principals, and other school leaders, particularly for low-income students and underserved populations.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

American History and Civics Academies funds are to be used to provide intensive workshops for teachers and students. Funds must be used to provide stipends to participants and may be used for a range of other activities including providing salaries for project staff including project directors, evaluators, core teachers and support staff, as well as rental expenses at the academy sites. Funds may not be used for construction. Competitions are announced in the Federal Register. Specific limitations and restrictions for each competition are

provided in the Federal Register notice.

National Activities funds must be used to develop, implement, expand, evaluate, and disseminate for voluntary use, innovative, evidence-based approaches or professional development programs in American history, civics and government, and geography that: (i) show potential to improve the quality of student achievement in, and teaching of, American history, civics and government, or geography, in elementary schools and secondary schools; and (ii) demonstrate innovation, scalability, accountability, and a focus on underserved populations. Funds may be used for: (i) hands-on civic engagement activities for teachers and students; and (ii) programs that educate students about the history and principles of the Constitution of the United States, including the Bill of Rights.

Funds may not be used for construction. Funds are subject to supplement, not supplant provisions. Competitions are announced in the Federal Register. Specific limitations and restrictions for each competition are provided in the Federal Register notice.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

OMB Circular No. A21; OMB Circular No. A-122. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Awards are competitively selected following review by nonfederal experts in accordance with Subpart D of Part 75 of the Education Department General Administrative Regulations (EDGAR).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant program (see 34 CFR 75.253). Projects may be awarded for up to 5 years. Generally, for multiple-year awards, continuation awards after the first budget period are made if: sufficient funds have been appropriated; the recipient has either made substantial progress in the meeting of the goals of the project or obtained approval for changes in the project; the recipient has submitted all required reports; and continuation is in the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 100%. A grantee must provide for project activities an amount from non-Federal sources equal to 100 percent of the grant amount.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made annually. Following an initial, competitively selected award,

additional non-competing continuation awards may be made. The possible length of the project is announced in the application notice in the Federal Register. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Annual expenditure reports are required as part of the submittal of form ED524B. Annual performance reports are required as part of the submittal of form ED 524B. Staff also monitors grants drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED524B.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR for direct grant programs (34 CFR part 75). Generally, records related to grant funds, compliance, and performance must be maintained for a period of 5 years after completion.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants (Discretionary)) FY 16 \$1,815,000; FY 17 est \$3,515,000; and FY 18 est \$0

Range and Average of Financial Assistance:

American History and Civics Academies: Anticipated range of awards is \$300,000-\$700,000 per year.

National Activities: Anticipated range of awards is \$200,000-\$700,000 per year.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Education Department General Administrative Regulations, 34 CFR 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, and 99. OMB Circulars A-21, A-87, A-110, A-122, and A-133.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Christine Miller Department of Education, Office of Innovation and Improvement, 400 Maryland Avenue, SW, LBJ Building, Room 4W205., Washington, District of Columbia 20202-5960 Email: christine.miller@ed.gov Phone: (202) 260-7350

Website Address:

<https://innovation.ed.gov/what-we-do/american-history-and-civics-academies/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for these programs are contained in Section 75.210 of the Education Department General Administrative Regulations (EDGAR). The specific criteria to be used for particular grant competitions are announced in

the application package or application notice in the Federal Register. Contact the agency for more information.

84.423 SUPPORTING EFFECTIVE EDUCATOR DEVELOPMENT PROGRAM

SEED

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title II, Part B, Subpart 4, Section 2242.

OBJECTIVES:

The purpose of the SEED program is to (1) Support pathways that allow teachers, principals, or other school leaders with nontraditional preparation and certification to obtain employment in traditionally underserved local educational agencies (LEAs); (2) Provide evidence-based professional development activities that address literacy, numeracy, remedial, or other needs of LEAs and the students they serve; (3) Provide professional development that enhances or enables student opportunities in dual enrollment programs or early college high school settings; (4) Make services and learning opportunities freely available to LEAs, including through publicly accessible electronic means; or (5) Provide teachers, principals, or other school leaders with evidence-based professional enhancement activities, which may include activities that lead to an advanced credential.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grantees may use the funds to recruit and train prospective teachers, principals, or other school leaders. They may also use the funds to provide professional development activities to address the needs of LEAs. Grantees may also provide professional enhancement activities that lead to an advanced credential.

Applicant Eligibility:

Educators and prospective educators benefit from this program.

Beneficiary Eligibility:

Educators and prospective educators benefit from this program.

Credentials/Documentation:

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR 200) apply to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Award Procedure:

The Department of Education will notify the applicant's U.S. Representative and U.S. Senators and will send the successful applicant a Grant Award Notification (GAN).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Applicants may apply for a project period of 3 years with the possibility of one additional 2-year period.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25%. Grantees must match not less than 25 percent of the total cost for each year of activities, in cash or in kind. The Secretary may waive the matching requirement in cases of demonstrated financial hardship.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Applicants may request a project period of up to 3 years, additionally they may request a renewal for an additional 2 years. Subject to availability of appropriations. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantee reporting requirements are included in the grant or cooperative agreement document. Cash reports are not applicable. Grantees submit annual performance reports. Grantees submit updated budget information in their annual performance reports; in addition, Department staff monitor grant drawdowns. Grantees report against performance measures that are announced in the application packages.

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75), annual performance reports (ED 524B) are required of all grantees; additional report requirements will be included in grant award documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Each recipient must maintain records that fully disclose the total cost of the activity for which the funds are used, and the share of the cost provided from other sources, and other such records as will facilitate an effective financial or programmatic audit. Under 2 CFR 200.333, a grantee must retain such records for 3 years from the date of submission of the final expenditure report.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 16 \$93,993,000; FY 17 est \$65,000,000; and FY 18 est \$42,000,000

Range and Average of Financial Assistance:

The Department made 13 new awards from the 2015 grant competition; the awards ranged from \$5.9 million to \$19.4 million for the entire 3 year project period. The Department held two competitions for new awards in FY 2017 and estimates that it will make 10 awards in 2017; the estimated range of funds for the 3 year project period is to be determined.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No data available. Fiscal Year 2017: No data available. Fiscal Year 2018: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

(a) The Education Department General Administrative Regulations (EDGAR)

in 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The OMB Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485. (c) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Rich Wilson Office of Innovation and Improvement, U.S. Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202
Email: richard.wilson@ed.gov Phone: 202-453-6709

Website Address:

<https://innovation.ed.gov/what-we-do/teacher-quality/supporting-effective-educator-development-grant-program/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are contained in the application package and published in the Federal Register notice inviting applications. Contact the program office for more information.

84.424 STUDENT SUPPORT AND ACADEMIC ENRICHMENT PROGRAM

SSAE

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Elementary and Secondary Education, et seq.): Department of Education Appropriations Act, 2017. , Title IV, Part A, Subpart I, Section (20.U.S. C. 710.

OBJECTIVES:

To improve students academic achievement by increasing the capacity of States, local educational agencies (LEAs), schools and local communities to: (1) provide all students with access to a well-rounded education; (2) improve school conditions for student learning; and (3) improve the use of technology in order to improve the academic achievement and digital literacy for all students.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

A State educational agency (SEA) must reserve at least 95 percent of its SSAE program allocation for subgrants to LEAs and not more than 1 percent of its SSAE program allocation for administrative costs. Under the Department of Education Appropriations Act, 2017, an SEA may make subgrants to LEAs on a formula or competitive basis.

An SEA may use any remaining funds to support State-level activities and programs designed to meet the purposes of the program, which may include monitoring and providing technical assistance to LEAs.

LEAs receiving formula allocations of \$30,000 or more must conduct a comprehensive needs assessment and, based on the results of that assessment, use not less than 20 percent of their allocations for activities to support well-rounded educational opportunities, not less than 20 percent for activities to support safe and healthy students, and a portion to support the effective use of technology. LEAs receiving formula allocations less than \$30,000 must use funds to carry out activities in at least one of these three areas. LEAs may reserve up to 2 percent of their allocations for administrative costs. Each subgrant awarded must be for a term of 1 year and in an amount of not less than \$10,000. LEAs may form consortia and combine subgrant allocations

to carry out activities jointly.

SEAs and LEAs must use funds to supplement, and not supplant, non-Federal funds that would otherwise be used for authorized activities.

Applicant Eligibility:

SEAs must submit a program or consolidated state plan to the Secretary for review and approval.

Beneficiary Eligibility:

LEAs, schools, and their community stakeholders will benefit directly by improving students academic achievement by increasing the capacity to: (1) provide all students with access to a well-rounded education; (2) improve school conditions for student learning; and (3) improve the use of technology in order to improve the academic achievement and digital literacy for all students.

Credentials/Documentation:

OMB Circular No. A-133, 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Department of Education makes formula grants through state plan review process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: The Department allocates program funds to SEAs by formula based on each States share of funds under Title I, Part A of the Elementary and Secondary Education Act (ESEA) for the preceding fiscal year. No State may receive less than 0.5 percent of the total program appropriation except for Puerto Rico, which may not receive more than this amount. SEAs making formula SSAE subgrants to LEAs must do so on the same basis, except that no LEA may receive less than \$10,000. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance available for a period up to 1 year. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular no. a-133 (revised, June 27, 2003; OMB A-133 compliance supplement 2015), "audits of states, local governments, and non-profit organizations," nonfederal entities that expend financial assistance of \$750,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a

year in federal awards are exempt from federal audit requirements for that year, except as noted in circular no. a-133. In accordance with the Education Department General Administrative Regulations (EDGAR) in the appendix to 34 CFR, Part 80, requires state and local governments that receive financial assistance of \$750,000 or more within the state's fiscal year have an audit made for that year. State and local governments that receive between \$25,000 and \$750,000 within the state's fiscal year shall have an audit made in accordance with the appendix to Part 80, or in accordance with federal laws and regulations governing the programs in which they participate.

Records:

In accordance with section 443(a) of the General Education Provisions Act, States and LEAs must maintain certain program records for 3 years.

The Uniform Guidance (2 CFR 200.333) requires financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient.

Account Identification:

91-1000-0-1-501.

Obligations:

(Formula Grants) FY 16 \$0; FY 17 est \$388,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

FY 17 est range: \$1,940,000-\$46,418,059; FY 17 est average: \$7,461,538.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 200. For Title IV guidance and other information, contact the program office, listed below.

Regional or Local Office:

None.

Headquarters Office:

CHRISTINE F. PINCKNEY OFFICE OF SAFE AND HEALTHY STUDENTS, DEPARTMENT OF EDUCATION, 400 MARYLAND AVENUE, SW., Washington, District of Columbia 20202 Email: christine.pinckney@ed.gov Phone: 202-453-6714

Website Address:

<https://www2.ed.gov/programs/ssae/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for this information.

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

85.200 BARRY M. GOLDWATER SCHOLARSHIP PROGRAM

Barry Goldwater Scholarship Foundation

FEDERAL AGENCY:

Barry Goldwater Scholarship and Excellence In Education Foundation

AUTHORIZATION:

NATIONAL DEFENSE AUTHORIZATION ACT FOR FISCAL YEAR 1987, PL 99-661, Title XIV, U.S.C 4701-4711.

OBJECTIVES:

To honor former Senator Barry Goldwater through the operation of an

education scholarship program, financed by a permanent trust fund endowment, designed to encourage outstanding students to pursue research careers in mathematics, the natural sciences, and engineering.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Assistance is intended solely for the use of scholars.

Applicant Eligibility:

Undergraduate sophomore and junior level students, at two and four year colleges and universities accredited by the Department of Education, must be nominated by the institution's Goldwater Campus Representative.

Beneficiary Eligibility:

U.S. citizens or nationals, resident aliens (must provide additional documentation), college sophomores and juniors will benefit.

Credentials/Documentation:

Applicants must be enrolled in a degree program at an accredited U.S. college, pursuing a Bachelor's degree as a full-time student during the forthcoming academic year, maintaining a "B" average, be a U.S. citizen, national or resident alien (resident aliens must include a letter of the nominee's intent to become a U.S. citizen and a photocopy of the Alien Registration Card) and have selected a field of study that will permit admission to a graduate or professional program in preparation for a research career in mathematics, the natural sciences, or engineering. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The campus representative of an institution is the key person in the Goldwater selection process and serves as liaison between his or her institution and the Foundation. The campus representative is responsible for publicizing the Goldwater Scholarship on campus, soliciting recommendations on outstanding students with proven capability and research potential in the fields of mathematics, science and engineering from members of the faculty, and conducting a competition on campus in order to determine the best qualified nominees from the institution. The campus representative assists the nominees in the preparation of the nomination package and ensures that they are accurate, complete, and forwarded to the Goldwater Scholarship Review Panel by the stated deadline for the competition. The following information must be included on the official nomination materials with each scholarship nomination: 1. An institution nomination form signed by the campus representative stating that the nominee meets the qualifications for the scholarship. 2. Biographical data and educational background information regarding past and future academic plans and career goals must be submitted. 3. An essay by the nominee discussing a significant issue or problem in his or her field of study of interest must be submitted. 4. Three independent letters of evaluation from faculty members or others qualified to assess the nominee's performance and potential for a career in the nominee's chosen field of study must be submitted. 5. All transcripts from colleges attended must be submitted.

Award Procedure:

Each nominee will be evaluated by an independent review panel of academicians and college administrators from colleges and universities throughout the United States. In awarding scholarships, the Foundation Board of Trustees will consider the nominee's field of study and career objectives and the extent to which that individual has the commitment and potential to make a significant contribution to his or her chosen field of study. It is expected that students selected as scholars will pursue advanced degrees. Final selection of candidates is recommended by the independent review panel. The Board of Trustees will name up to 300 Goldwater Scholars.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

In February of each year scholarship nominees are evaluated by Independent Review Panel and ranked by relative merit. In March of each year the Review Panel's results are presented to Board of Trustees. On last Friday of March of each year, Goldwater Board of Trustees announces the winners of the Goldwater Scholarships for the forthcoming academic year.

Appeals:

Not Applicable.

Renewals:

It is the intent of the Foundation to support junior- level scholarships for a maximum of 2 academic years (4 semesters) and senior-level scholarships for a maximum of 1 academic year (2 semesters). Renewal for junior- level awards will be in accordance with the regulations established by the Foundation Board of Trustees and will be subject to an annual review by an authorized official of the institution. The scholar must be a full- time student and taking a course of study, training, or other educational activities to prepare for a research career in mathematics, the natural sciences, or engineering. The scholar must not be engaged in gainful employment that interferes with the scholar's studies. The scholar must be in good academic standing. The scholar may seek postponement of his or her award because of ill health or other mitigating circumstances, upon application to the President.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

To be eligible to receive payments, a Goldwater Scholar must submit in writing: (1) An acceptance of the scholarship award, (2) an EFT form for payment by direct deposit and (3) at the beginning of each academic year one payment request form outlining the costs of tuition, fees, books, room and board, additional expenses and any other scholarships the student is receiving for that academic year. This form must be certified by a financial aid official and an academic official certifying that the student is in good academic standing. Payments via treasury EFT will be made to the scholar's account in the amount for which the scholar qualifies on the payment request, not to exceed \$7,500 per academic year. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Each Goldwater Scholar is required to send a report each June for four years following graduation outlining his or her progress toward his or her career goals. They must also provide a current address when changes occur. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Not required.

Account Identification:

95-8281-0-7-502.

Obligations:

(Direct Payments for Specified Use) FY 16 \$2,000,000; FY 17 est \$2,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

\$0-\$7,500.

TAFS Codes:

95-8281-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 2600.

Regional or Local Office:

None.

Headquarters Office:

Lucy Decher 6225 Brandon Ave
Suite 315, Springfield, Virginia 22150 Email: goldh2o@vacoxmail.com Phone:
703 756 6012 Fax: 703 756 6015

Website Address:

<https://goldwater.scholarsapply.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

INTER-AMERICAN FOUNDATION**85.750 IAF ASSISTANCE FOR OVERSEAS PROGRAMS****FEDERAL AGENCY:**

Inter-American Foundation

AUTHORIZATION:

Foreign Assistance Act of 1961, Public Law 87-195, 75 Stat. 424, 22 U.S.C
2151-2431.

OBJECTIVES:

The primary objectives of the IAF Assistance for Programs Overseas in Latin America and the Caribbean program is to:

- (1) Strengthen the bonds of friendship and understanding among the peoples in the Western Hemisphere.
- (2) Support self-help efforts designed to enlarge the opportunities for individual development.
- (3) Stimulate and assist effective and ever wider participation of the people in the development process.
- (4) Encourage the establishment and growth of democratic institutions, private and governmental, appropriate to the requirements of the individual sovereign nations of the Western Hemisphere.

TYPES OF ASSISTANCE:

Project Grants; Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Assistance can be used to fund the self-help efforts of grassroots groups in Latin America and the Caribbean to improve living conditions of the disadvantaged and the excluded, enhance their capacity for decision-making and self-governance, and develop partnerships with the public sector, business and civil society. Specific restrictions include the following:

- (1) The assistance targets grassroots projects in Latin America and the Caribbean.
- (2) The grants must not be presented or directed by government entities or by entities outside the country in which the project is located.

Applicant Eligibility:

See "Uses and Use Restrictions" above.

Beneficiary Eligibility:

See "Uses and Use Restrictions" above.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. IAF accepts applications on a rolling basis throughout the year. All applications must

include a cover sheet, narrative, and budget explaining the proposal in detail. IAF's complete application procedure, including application, can be found at: <http://www.iaf.gov/apply-for-grants/call-for-proposals>

Award Procedure:

The basic procedural steps for awarding assistance includes an initial review of all grant application -- which generally takes up to 5 months -- yielding a small number of projects to be analyzed further through site visits. After the initial review, all grantees still under consideration receive a site visit, after which the IAF makes a determination on whether to award funding.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

Not Applicable.

Renewals:

Additional funds may be awarded, as a renewal, upon successful completion of grant objectives.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The IAF requires each grantee to contribute in-kind resources and financials. There is no fixed rate of matching required for application or award and each grantee is evaluated on a case-by-case basis. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

One to five years. See the following for information on how assistance is awarded/released: The assistance is generally released bi-annually based on the demonstrated needs of the grantee.

Reports:

Grantees are required to report out the following every six months:

- (1) Activities carried out during the reporting period compared to activities scheduled in accordance with the agreement.
- (2) Any problems in meeting the project objectives described in the grant agreement and how project activities, planned or actual, were modified as a result of these problems.
- (3) Any other relevant information related to the administration and implementation of the project (e.g. changes in the external work environment, changes internal to the organization). No cash reports are required. See program reports above. Grantees are required to report out on a semi-annual basis the amount expended and matching funds raised or applied during the time period reported. The IAF's Grassroots Development Framework (GDF) for performance monitoring requires grantees to indicate changes in cumulative project results which have occurred at the time of the report, in addition to any other information specific to results that cannot be described using existing GDF categories and indicators.

The complete GDF is described on the IAF's website:

<http://www.iaf.gov/our-work/results/grassroots-development-framework>.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Federal laws and regulations do not mandate financial audits on foreign nonprofit organizations expending less than \$750,000 of federal funding per the organizations fiscal year. The IAF is, however, responsible for ensuring financial accountability of its grant expenditures. It is therefore the policy of the IAF to require compliance audits on all grant agreements with an approved funding amount greater than \$50,000.

Accordingly, for all grants \$50,000 and above, the IAF conducts at least one mandatory Orientation/Assessment Meeting, one Mandatory Compliance Audit and it exercises its discretion to determine whether to conduct additional audits based on the relevant facts and circumstances of each individual Grant.

Records:

IAF follows OMB Circular A-110 requirements regarding retention and access

to records.

Account Identification:

11-3100-0-1-151.

Obligations:

(Project Grants) FY 16 \$11,930,945; FY 17 est \$16,657,463; and FY 18 est \$12,149,235 - The obligational actuals for Past FY and estimates for Current and Budget FYs are strictly for grants.

Range and Average of Financial Assistance:

The range of financial assistance is up to \$400,000 per grant award.

TAFS Codes:

11-2016-2017-3100; 11-3100; 11-8243; 11-2017-2018-3100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: See agency Annual Performance Report (APR) here: <http://www.iaf.gov/home/showdocument?id=735>. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Chris Wood 1331 Pennsylvania Ave, NW, Suite 1200N, Washington, District of Columbia 20004 Email: cwood@iaf.gov Phone: 202.803.6098

Website Address:

<http://www.iaf.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The IAF looks for the following criteria for selecting proposals to fund. Specifically they look for applicants that offer or demonstrate:

- (1) Innovative solutions to development problems.
- (2) Creative use of the community's resources.
- (3) Diverse array of community voices in project development and execution.
- (4) Substantial beneficiary engagement in:
 - (a) The identification of the problem addressed,
 - (b) The approach chosen to solve it,
 - (c) The design of the project
 - (d) Management and evaluation of activities;
- (5) Partnerships with local government, the business community and other civil society organizations.
- (6) Potential for strengthening all participating organizations and their partnerships
- (7) Feasibility.
- (8) Evidence of sustainability.
- (9) Counterpart contributions from the proponent, the beneficiaries and other sources.
- (10) The potential to generate learning.
- (11) Measurable results.
- (12) Evidence of beneficiaries' enhanced capacity for self-governance.

GULF COAST ECOSYSTEM RESTORATION COUNCIL

**87.051 GULF COAST ECOSYSTEM RESTORATION COUNCIL
COMPREHENSIVE PLAN COMPONENT PROGRAM**

RESTORE Council-Selected Restoration Component

FEDERAL AGENCY:

Gulf Coast Ecosystem Restoration Council

AUTHORIZATION:

Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (RESTORE) Act of 2012, Sec. 1603(2); 33

U.S.C. 1321(t)(2), Section 1603, Public Law 112-112-141, 126 Stat. 588, 33 U.S.C 1321(t)(2).

OBJECTIVES:

The primary objective of this program is to disburse funds to eligible entities for the purpose of restoring and protecting the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, coastal wetlands, and economy of the Gulf Coast region using the best available science. Projects and programs must achieve one or more of the seven objectives listed in the Gulf Coast Ecosystem Restoration Council Initial Comprehensive Plan and Update 2016: (1) Restore, Enhance and Protect Habitats, (2) Restore, Improve, and Protect Water Resources, (3) Protect and Restore Living Coastal and Marine Resources, (4) Restore and Enhance Natural Processes and Shorelines, (5) Promote Community Resilience, (6) Promote Natural Resource Stewardship and Environmental Education, and (7) Improve Science-Based Decision-Making Processes.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The primary objective of this program is to disburse funds to eligible entities for the purpose of restoring and protecting the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, coastal wetlands, and economy of the Gulf Coast region using the best available science. All projects, programs, and activities must be eligible activities pursuant to clauses (i) and (ii) of 33 U.S.C. 1321(t)(1)(B) and must be listed on the Council's approved Funded Priorities List. Proposals must identify which Comprehensive Plan goals the proposal will address. A proposal sponsor must select a single primary goal. Multiple secondary goals are encouraged to be identified. The Comprehensive Plan goals are as follows. 1. Restore and Conserve Habitat Restore and conserve the health, diversity and resilience of key coastal, estuarine and marine habitats. 2. Restore Water Quality and Quantity Restore and protect the water quality and quantity of the Gulf Coast regions fresh, estuarine and marine waters. 3. Replenish and Protect Living Coastal and Marine Resources Restore and protect healthy, diverse and sustainable living coastal and marine resources. 4. Enhance Community Resilience Build upon and sustain communities with capacity to adapt to short- and long-term changes. 5. Restore and Revitalize the Gulf Economy Enhance the sustainability and resiliency of the Gulf economy. Proposals also must clearly identify which Comprehensive Plan objectives the proposal will address. A proposal sponsor must select a single primary objective. Multiple secondary objectives are encouraged to be identified. The Comprehensive Plan objectives are as follows: 1. Restore, Enhance, and Protect Habitats; 2. Restore, Improve, and Protect Water Resources, 3. Protect and Restore Living Coastal and Marine Resources, 4. Restore and Enhance Natural Processes and Shorelines, 5. Promote Community Resilience, 6. Promote Natural Resource Stewardship and Environmental Education, and 7. Improve Science-Based Decision-Making Processes. Additional information may be found in the Initial Comprehensive Plan and Update 2016. Projects must be listed in the Funded Priorities List Addendum to the Comprehensive Plan in order to be eligible for funding. Not more than 3 percent of the amounts received by a Gulf Coast State may be used for administrative costs, as defined in the Department of the Treasury Regulations for the Gulf Coast Restoration Trust Fund, 31 CFR Part 34, publication of Final Rule December 14, 2015 (80 FR 77239).

Applicant Eligibility:

The Council will periodically request proposals from its eleven state and federal members. The Council members are the only entities eligible to submit proposals. The RESTORE Council does not award Council-Selected Restoration Component grants directly to other entities or individuals. Under the RESTORE Act, Council members are the Governors of Alabama, Florida, Louisiana, Mississippi, and Texas, the Administrator of the U.S. Environmental Protection Agency, and the Secretaries of the U.S. Departments of the Interior, Commerce, Agriculture, Homeland Security, and Army. When selecting projects and programs to be prioritized for funding under the Comprehensive Plan, the Council assigns primary authority and responsibility for overseeing and implementing projects and programs to a Gulf Coast state or federal agency represented on the Council. Council members may select subrecipients to carryout approved projects or programs. Interested third parties may contact the Council members listed above to learn more about how the eligible entities

select proposed activities.

Beneficiary Eligibility:

Beneficiaries are the people, wildlife, and natural resources of the Gulf Coast region.

Credentials/Documentation:

The Gulf Coast Ecosystem Restoration Council Initial Comprehensive Plan, August 2013, and Comprehensive Plan Update 2016, December 2016, apply to this program. Department of the Treasury Regulations for the Gulf Coast Restoration Trust Fund, 31 CFR Part 34, publication of Final Rule December 14, 2015 (80 FR 77239), applies to this program.

All proposals must demonstrate that best available science has been taken into consideration and must clearly articulate how it meets each part of the definition of best available science provided by the RESTORE Act. Best available science is defined in the RESTORE Act as science that (A) maximizes the quality, objectivity, and integrity of information, including statistical information; (B) uses peer-reviewed and publicly available data; and (C) clearly documents and communicates risks and uncertainties in the scientific basis for such projects. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

To receive funding under the Council-Selected Restoration Component, Council members must take part in a two-phase submission and application process. Both phases of the submission and application process must be completed before a member will receive an official award from the Council and be able to receive funding. This process was outlined in the Notice of Application Process, published May 4, 2015 (80 FR 25294), and further explained in the Request for Applications for Funding for the 12/09/2015 Funded Priorities List, published December 31, 2015 (80 FR 81819). An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Councils automated grants management system, the Restoration Assistance and Award Management System (RAAMS), will be utilized for submission of all proposals, applications and supporting information. Eligible entities submitted project and program proposals to the Council, and selected projects and programs were included on the Funded Priorities List (FPL) Addendum to the Comprehensive Plan. Following the publication of the first FPL Addendum on December 12, 2015, eligible entities must submit a complete application package in RAAMS to request funding for individual approved projects. Required application materials are specified in the Request for Applications (RFA) for Funding, published December 31, 2015 (80 FR 81819). The full text of the RFA is available at www.restorethegulf.gov.

Award Procedure:

Applications are approved by the Gulf Coast Ecosystem Restoration Council.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

No formal procedure. If an application is unacceptable, the applicant is fully informed and the applicant may submit a revised application.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are specified in the grant award and interagency agreement documents. Funds are disbursed on a reimbursement basis, although advances

may be authorized as specified in 2 CFR Part 200. Method of awarding/releasing assistance: lump sum.

Reports:

Individual awards and agreements will specify if and when program reports are required. Individual awards and agreements will specify if and when cash reports are required. Performance reports are required annually, unless the risk assessment of the recipient and/or subrecipient indicates that more frequent reports are required. Financial status reports, including expenditures, are required semiannually, unless the risk assessment of the recipient and/or subrecipient indicates that more frequent reports are required. Individual awards and agreements will specify performance monitoring requirements. Recipients are responsible for oversight of the operations of award supported activities, including monitoring of subrecipients, to assure that compliance with applicable Federal requirements and performance expectations are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or subrecipients are required to be maintained by the terms of the award. The recipient must retain records for three years from the date when the final expenditure report is submitted.

Account Identification:

95-1770-0-1-452.

Obligations:

(Project Grants) FY 16 \$7,759,216; FY 17 est \$83,250,000; and FY 18 est \$102,500,000 - Financial assistance is provided by the RESTORE Council as project grants to eligible State entities and as Interagency agreements with eligible Federal Servicing Agencies.

Range and Average of Financial Assistance:

No data available.

TAFS Codes:

95-X-1770-00.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of the Treasury Regulations for the Gulf Coast Restoration Trust Fund Final Rule, 31 CFR Part 23, December 14, 2015 (80 FR 77239).
The Gulf Coast Ecosystem Restoration Council Initial Comprehensive Plan, August 2013.
The Gulf Coast Ecosystem Restoration Council Comprehensive Plan Update 2016, December 2016.
The RESTORE Act Initial Funded Priorities List, December 15, 2015 (80 FR 77585), available at www.restorethegulf.gov.
Council Member Proposal Submission Guidelines for Comprehensive Plan Funded Priorities List of Projects and Programs, July 2014, available at www.restorethegulf.gov.
2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Regional or Local Office:

None.

Headquarters Office:

Kristin Smith 500 Poydras St, Suite 1117, New Orleans, Louisiana 70130
Email: kristin.smith@restorethegulf.gov Phone: 504-444-3558

Website Address:

<http://www.restorethegulf.gov>

RELATED PROGRAMS:

11,478 Center for Sponsored Coastal Ocean Research_Coastal Ocean Program; 21,015 Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Council-Selected Restoration Component Funded Priorities List and information regarding grants and interagency agreements awarded is available at www.restorethegulf.gov. Fiscal Year 2017: The Council-Selected Restoration Component Funded Priorities List and information regarding grants and interagency agreements awarded is available at www.restorethegulf.gov. Fiscal Year 2018: The Council-Selected Restoration Component Funded Priorities List is available at www.restorethegulf.gov.

CRITERIA FOR SELECTING PROPOSALS:

Successful proposals must address the elements described in the Comprehensive Plan of the RESTORE Council (August 2013; available at www.restorethegulf.gov) and Update 2016 (December 2016; available at www.restorethegulf.gov). All projects submitted for funding must be included on the approved Council-Selected Restoration Component Funded Priorities List.

87.052 GULF COAST ECOSYSTEM RESTORATION COUNCIL OIL SPILL IMPACT PROGRAM

RESTORE Council Oil Spill Impact Program

FEDERAL AGENCY:

Gulf Coast Ecosystem Restoration Council

AUTHORIZATION:

Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (RESTORE) Act of 2012, Title 33, Part 1321(t)(3), Section 1603(3), Public Law 112-141, 126 Stat. 588, 33 U.S.C. 1321(t)(2).

OBJECTIVES:

The primary objective of this program is to disburse funds to eligible entities for the purpose of restoring and protecting the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, coastal wetlands, and economy of the Gulf Coast region using the best available science in accordance with an approved State Expenditure Plan.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The intent of this program is to disburse funds to eligible entities for the purpose of restoring and protecting the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, coastal wetlands, and economy of the Gulf Coast region using the best available science in accordance with an approved State Expenditure Plan. All projects, programs, and activities must be eligible activities pursuant to clauses (i) and (ii) of 33 U.S.C. 1321(t)(1)(B) and must be included in an approved State Expenditure Plan (SEP). The projects, programs and activities must contribute to the overall economic and ecological recovery of the Gulf Coast; the State Expenditure Plan must take into consideration the Gulf Coast Ecosystem Restoration Council Comprehensive Plan (Comprehensive Plan), August 2013 with update December 2016, and be consistent with the goals and objectives of the Comprehensive Plan. States may select subrecipients to carryout approved projects in the State Expenditure Plan. No more than 25 percent of the funding made available may be used for infrastructure projects eligible under subclauses (VI) and (VII) of 33 U.S.C. 1321(t)(1)(B)(i) unless the exception in 33 U.S.C. 1321(t)(3)(B)(ii)(II) applies. Not more than 3 percent of the amounts received by a Gulf Coast State may be used for administrative costs, as defined in the Department of the Treasury Regulations for the Gulf Coast Restoration Trust Fund, 31 CFR Part 34, publication of Final Rule December 14, 2015 (80 FR 77239).

Applicant Eligibility:

Eligible applicants are specified by the RESTORE Act and regulations at 31 C.F.R. Part 34 as the five Gulf Coast States or their administrative agents, as defined in 33 U.S.C. 1321(a)(34), Alabama, Florida, Louisiana, Mississippi, and Texas. For the development of the State Expenditure Plan, the eligible entities for each Gulf Coast State are as follows: in Alabama, the Alabama Gulf Coast Recovery Council; in Florida, a consortia of local political

subdivisions that includes at a minimum 1 representative of each affected county; in Louisiana, the Coastal Protection and Restoration Authority of Louisiana; in Mississippi, the Office of the Governor or an appointee of the Office of the Governor; and in Texas, the Office of the Governor or an appointee of the Office of the Governor [33 U.S.C. 1321(t)(3)(B)(iii)]. Only the above-named entities are eligible applicants who may apply for funding. The RESTORE Council does not make Spill Impact Program grants directly to other entities or individuals. States may select subrecipients to carryout approved projects in the State Expenditure Plan. Interested third parties may contact their jurisdiction listed above to learn more about how the eligible entities select proposed activities.

Beneficiary Eligibility:

The principal beneficiaries are the people, wildlife, economy/businesses, and natural resources of the Gulf Coast region.

Credentials/Documentation:

The Gulf Coast Ecosystem Restoration Council Comprehensive Plan, August 2013, and Comprehensive Plan Update 2016, December 2016, apply to this program. Department of the Treasury Regulations for the Gulf Coast Restoration Trust Fund, 31 CFR Part 34, publication of the Final Rule December 14, 2015 (80 FR 77239), applies to this program. RESTORE Act Spill Impact Component Planning Allocation, 40 CFR Part 1800, publication date of the Final Rule January 13, 2015 (80 FR 1584), and RESTORE Act Spill Impact Component Allocation, 40 CFR 1800, publication date of Final Rule December 15, 2015 (80 FR 77580) and effective date of April 12, 2016 (81 FR 21478), also apply to this program.

All State Expenditure Plans must (1) describe the best available science, as applicable, that informed the State's selection of each project or program, and (2) include a certification that projects and programs are based on the best available science as defined in the RESTORE Act. Best available science is defined in the RESTORE Act as science that (A) maximizes the quality, objectivity, and integrity of information, including statistical information; (B) uses peer-reviewed and publicly available data; and (C) clearly documents and communicates risks and uncertainties in the scientific basis for such projects. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Eligible entities may apply to the Council through a two-phase application process. The first part of the application process is the submission of a State Expenditure Plan (SEP), which must be approved by the RESTORE Council Chairperson. The second part of the application process is the submission of grant application materials by the eligible entities for individual projects or programs contained within the approved SEP. State Expenditure Plan Guidelines have been published by the Council and are available at www.restorethegulf.gov. A Request for Applications (RFA) for Planning Assistance was published December 31, 2014 (79 FR 78779); and Grants.gov grant opportunity number GCC-GRANT-SEP-15-001). A subsequent Notice of Funding Availability (NOFA) for the Spill Impact Component was published June 3, 2016 (81 FR 35769) and Grants.gov grant opportunity number GCC-SEP-16-001). Eligible applicants must develop and submit a State Expenditure Plan in accordance with the requirements of 33 U.S.C. 1321(t)(3) for approval by the Gulf Coast Ecosystem Restoration Council Chairperson. Projects and programs implemented under an SEP will be required to comply with all environmental and permitting requirements, as applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Councils automated grants management system, the Restoration Assistance and Award Management System (RAAMS), will be utilized for submission of all State Expenditure Plans, grant applications and supporting information. Following the submission and approval of an optional Planning State Expenditure Plan (PSEP), eligible entities may request planning assistance to develop a full State Expenditure Plan (SEP) by submitting documentation in RAAMS as specified in the RFA for Planning Assistance, publication December 31, 2014 (79 FR 78779). After submission and approval of an SEP, eligible entities must submit complete application packages in RAAMS to request funding for individual

approved projects and programs. Required application materials are specified in the NOFA published June 3, 2016 (81 FR 35769). The full text of the NOFA and the Councils Guidelines for Development of State Expenditure Plans are available at www.restorethegulf.gov.

Award Procedure:

Applications are approved by the Gulf Coast Ecosystem Restoration Council.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

No formal procedure. If an application is unacceptable, the applicant is fully informed and the applicant may revise the application.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 40, Chapter VIII, Part 1800. The statutory formula for this program applies to the allocation of the funds available under the Spill Impact Component (40 CFR Part 1800), set forth in the RESTORE Act Spill Impact Component Allocation Final Rule, publication date December 15, 2015 (80 FR 77580) and effective date April 12, 2016 (81 FR 21478), and articulated in the Notice of Funding Availability (NOFA) published June 3, 2016 (81 FR 35769).

This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of performance is specified in the grant award documents. Funds are disbursed on a reimbursement basis. Method of awarding/releasing assistance: lump sum.

Reports:

Individual awards will specify if and when program reports are required.
Individual awards will specify if and when cash reports are required.
Performance reports are required annually, unless the risk assessment of the recipient and/or subrecipient indicates that more frequent reports are required.
Financial status reports, including expenditures, are required semi-annually, unless the risk assessment of the recipient and/or subrecipient indicates that more frequent reports are required. Individual awards and risk assessments will specify performance monitoring requirements. Recipients are responsible for oversight of the operations of award supported-activities, including monitoring of subrecipients, to assure that compliance with applicable Federal requirements and performance expectations are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or subrecipients are required to be maintained by the terms of the award. The recipient must retain records for three years from the date when the final expenditure report is submitted.

Account Identification:

95-1770-0-1-452.

Obligations:

(Project Grants (for specified projects)) FY 16 \$6,015,287; FY 17 est \$19,760,358; and FY 18 est \$150,000,000 - Financial assistance is provided as grants to eligible State entities to carry out projects and programs contained within an approved State Expenditure Plan.

Range and Average of Financial Assistance:

No data available.

TAFS Codes:

95-X-1770-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of the Treasury Regulations for the Gulf Coast Restoration Trust Fund, 31 CFR Part 23, publication date of the Final Rule December 14, 2015 (80 FR 77239).

The Gulf Coast Ecosystem Restoration Council Initial Comprehensive Plan, August 2013.

The Gulf Coast Ecosystem Restoration Council Comprehensive Plan Update 2016, December 2016.

2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Gulf Coast Ecosystem Restoration Council RESTORE Act Oil Spill Component Planning Allocation Final Rule, 40 CFR Part 1800, January 13, 2015 (80 FR 1584); RESTORE Act Spill Impact Component Allocation Final Rule, 40 CFR 1800, publication date December 15, 2015 (80 FR 77580) and effective date April 12, 2016 (81 FR 21478).

Regional or Local Office:

None.

Headquarters Office:

Kristin Smith 500 Poydras St, Suite 1117, New Orleans, Louisiana 70130
Email: kristin.smith@restorethegulf.gov Phone: 504-444-3558

Website Address:

<http://www.restorethegulf.gov>

RELATED PROGRAMS:

11.478 Center for Sponsored Coastal Ocean Research_Coastal Ocean Program; 21.015 Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Information on approved State Expenditure Plans and associated projects is available at www.restorethegulf.gov. Fiscal Year 2017: Information on approved State Expenditure Plans and associated projects is available at www.restorethegulf.gov. Fiscal Year 2018: Information on approved State Expenditure Plans and associated projects is available at www.restorethegulf.gov.

CRITERIA FOR SELECTING PROPOSALS:

All projects, programs, and activities must be included in an approved State Expenditure Plan in order to be funded.

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

89.001 NATIONAL ARCHIVES REFERENCE SERVICES HISTORICAL RESEARCH

FEDERAL AGENCY:

National Archives and Records Administration

AUTHORIZATION:

National Archives and Records Administration Act of 1984, Public Law 98-497, 98 Stat. 2280.

OBJECTIVES:

Objectives: To provide professional reference service to members of the general public and employees of the Federal government who are conducting research in Federal records or in historical materials in Presidential Libraries; provide advice and information to the public through conferences, workshops and other outreach activities on the availability and use of Federal records and publications in NARA's custody.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

The assistance provided by NARA is designed to assist the public in obtaining access to information contained in records which are in the custody of the National Archives. Restrictions on the use of accessioned records in the National Archives of the United States are divided into two categories: general restrictions and specific restrictions. General restrictions apply to all records regardless of origin and apply to types of information or classes of records exempt from disclosure under the Freedom of Information Act, for example, national security information. Specific restrictions are specified by the transferring agency or donor and apply only to records in a particular record group or a portion of that record group. Access to records in the records center operations within NARA's regional records services facilities; in the National Personnel Records Center; and in the Washington National Records Center is controlled by the agency which created the records. General restrictions apply to all records regardless of origin, and apply to types of information or classes of records exempt from disclosure under the Freedom of Information Act. Specific restrictions are specified by the transferring agency or donor, and apply only to records in a particular record group or a portion of that group. All procedures are included in 36 CFR 1256.

Applicant Eligibility:

Anyone (general public).

Beneficiary Eligibility:

Anyone (general public).

Credentials/Documentation:

Photographic identification. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. To see records in person, NARA Form 14003, Researcher Application, is required. To obtain information or copies by mail, contact the depository; if a form is required, applicant will be notified. The use of publications, such as microfilm publications of the U.S. Census, does not require the completion of a Researcher Application. Microfilm publications are available in regional facilities in self-service reference rooms. Request for civilian and military personnel records should be addressed to the National Personnel Records Center. Requests for court records should be addressed to the appropriate regional records services facility. Requests for records held in records center operations of the NARA regional records services facility and in the Washington National Records Center should be addressed directly to the agency having legal custody.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

88-0300-0-1-804.

Obligations:

(Salaries) FY 16 \$62,196,222; FY 17 est \$66,084,080; and FY 18 est \$67,405,762

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

88-0300.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, the National Archives provided 1,293,241 total reference responses. Fiscal Year 2017: In FY 2017, the National Archives is projected to provide 1,235,056 total reference responses. Fiscal Year 2018: In FY 2018, the National Archives is projected to provide 1,187,144 total reference responses.

REGULATIONS, GUIDELINES, AND LITERATURE:

36 CFR Chapter XII, Subchapter C. Over 200 printed publications are available as listed in General Information Leaflet No. 3, "Select List of Publications of the National Archives and Records Administration," available without charge from the Publication Services (NEPS), National Archives and Records Administration, Washington, DC 20408.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a list of addresses.

Headquarters Office:

Benjamin J. Davis 8601 Adelphi Road, College Park, Maryland 20740 Email: benjamin.davis@nara.gov Phone: 3018371910

Website Address:

<http://www.archives.gov>

RELATED PROGRAMS:

89.003 National Historical Publications and Records Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

89.003 NATIONAL HISTORICAL PUBLICATIONS AND RECORDS GRANTS

FEDERAL AGENCY:

National Archives and Records Administration

AUTHORIZATION:

National Archives and Administration Act of 1984, Public Law 108-383, 118 Stat. 2218.

OBJECTIVES:

To undertake a wide-range of activities related to the preservation, publication, and use of documentary sources relating to the history of the United States.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for projects to strengthen the nation's archival infrastructure through collaboration with the States; to provide leadership in preserving and making accessible important documentary sources in electronic form; to improve the knowledge, performance, and professional skills of those who work with historical records; for the preservation, arrangement and description

of records of historical interest; and for the publication in book, or electronic editions of papers and documents of national historical significance, especially in completing editions of papers on America's founding era.

Applicant Eligibility:

State and local governments, U.S. territorial agencies, federally and State recognized Indian tribes (see 44 U.S.C. 2504), and educational and other nonprofit institutions (e.g., universities, colleges, libraries, historical societies, museums, university presses, archives, etc.).

Beneficiary Eligibility:

State and local governments, U.S. territorial agencies, federally and State recognized Indian tribes, and educational and other nonprofit institutions.

Credentials/Documentation:

A Data Universal Number System (DUNS) must be obtained. It has been adopted by the Federal Government to help track how Federal grant money is distributed. To obtain this number, call Dun & Bradstreet hotline at 1-866-705-5711. Registration with the System for Award Management (SAM) is required. Visit the SAM website at <http://www.sam.gov>. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications to the NHPRC must be submitted via www.grants.gov. Guidelines and application instructions are available online at www.archives.gov/nhprc.

Award Procedure:

As indicated in each grant opportunity announcement, applications are reviewed by state historical records advisory boards, subject area specialists and other appropriate individuals. Grant awards are recommended by the National Historical Publications and Records Commission at biannual meetings. Grants are formally made by the Archivist of the United States to the applicant institution.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 6 to 8 months.

Appeals:

Applications may be revised and resubmitted in accordance with established procedures.

Renewals:

Procedures for the renewal of applications are the same as the original application procedure process.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies depending on scope of project. See the following for information on how assistance is awarded/released: Based upon the submitted material.

Reports:

Program reports are not applicable. Cash reports are not applicable. Narrative progress reports are required for all grants. These reports are due 30 days after the end of each 6-month period, with the final report due within 90 days after the expiration of the grant period. Grants with a duration of 6 months or less require a final report only. Financial status reports are required for all grants. SF-425, Federal Financial Report, shall be used for all financial reports, which

are due 30 days after the end of each 12-month period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

Records:

The grantee institution maintains records that fully disclose the amount and disposition of granted funds, the total cost of the undertaking, the portion of the cost supplied by other sources, and such other records as will facilitate an effective audit. Documentation must be retained for three years following the submission of the final expenditure report.

Account Identification:

88-0301-0-1-804.

Obligations:

(Project Grants) FY 16 \$4,582,000; FY 17 est \$5,456,000; and FY 18 est \$5,400,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

88-X-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016 58 grants were approved totaling \$4,852,000. Fiscal Year 2017: In FY 2017 it is estimated that 62 grants will be approved totaling \$5,456,000. Fiscal Year 2018: In FY 2018 it is estimated that 60 grants will be approved totaling \$5,400,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable regulations and guidelines as well as grant opportunity announcements are available at www.archives.gov/nhprc. Significant changes in regulations take effect on December 26, 2014 with the implementation of 2 CFR 200.

Regional or Local Office:

None.

Headquarters Office:

Benjamin J. Davis 8601 Adelphi Road, College Park, Maryland 20740 Email: benjamin.davis@nara.gov Phone: 3018371910

Website Address:

<http://www.archives.gov/nhprc>

RELATED PROGRAMS:

45.149 Promotion of the Humanities_Division of Preservation and Access;
45.161 Promotion of the Humanities_Research

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See program guidelines at www.archives.gov/nhprc.

DELTA REGIONAL AUTHORITY

90.203 DELTA CREATIVE PLACE-MAKING PILOT INITIATIVE

Delta Creative Place-making Pilot Initiative

FEDERAL AGENCY:

Delta Regional Authority

AUTHORIZATION:

Executive Order 12372.

OBJECTIVES:

The Delta Regional Authority is an independent federal agency created by

Congress in the "Delta Regional Authority Act of 2000" that serves 252 counties and parishes in an eight-state region: Alabama, Arkansas, Illinois, Kentucky, Louisiana, Mississippi, Missouri, and Tennessee. DRA operates as a federal-state partnership and works with its local development districts by utilizing market-proven processes to improve economic outcomes and enhance the quality of life for the people in the region. DRA makes strategic investments of federal appropriations into projects supporting basic public infrastructure, transportation improvements, business development with an emphasis on entrepreneurship, and workforce development.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The National Endowment of the Arts suggests that, "Creative place-making is when artists, arts organizations, and community development practitioners deliberately integrate arts and culture into community revitalization work - placing arts at the table with land use, transportation, economic development, education, housing, infrastructure, and public safety strategies." The DRA is poised to support the region's cultural and creative economies by providing a platform for the arts and cultural sectors to align their efforts with the public sector to assure the rural way of life will continue, as we build more creative, entrepreneurial and inclusive communities.

Applicant Eligibility:

The Delta Creative Place-making Initiative is designed to help communities enhance the quality of place and quality of life by investing in the distinctive local character of Delta places, for positive economic and community outcomes.

Beneficiary Eligibility:

For more information, please visit the Delta Regional Authority website at www.dra.gov.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

As a component of the DCPI application, all applicants are required to upload the following documents in to the DRA Funding Portal (funding.dra.gov)

- Map/Photos
- Letters of Support
- Corporation Annual Report
- IRS 990/Audit
- Bylaws.

Deadlines:

Jul 31, 2017 to Sep 01, 2017 Notices of Intent to Apply are due Friday, July 31, 2017 @ 5:00PM. Central Standard Time. To submit a Notice of Intent, please see the DRA Website: www.dra.gov/creativeplacemaking

Applications are due Monday, July 31, 2017 @ 5:00PM. Partial application submissions will not be accepted

Applications will undergo an internal and external four week review process. Awards will be made in September 2017.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: All Delta Creative Place-making Initiative investments require a non-federal match of at least 1:1, but these matching funds must be cash, or a combination of cash and in-kind contributions. In-kind contributions can include staff time from the primary applicant or partnering organization's leadership.

Budget proposals may include matching funds not yet committed at the time of application, but all costs included in the project budget shall be expended within the period of performance outlined in the submission.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

DRA funding and technical assistance provided for DCPI projects will commence on or after September 1, 2017, for a period of up to 24 months. Applicants should allow sufficient time for asset mapping, partnership dialogue and collaboration building, planning, execution, internal evaluation, and project completion/close-out. See the following for information on how assistance is awarded/released: For more information, please visit the DRA website at www.dra.gov.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All non-profit organizations with 501(c)3 status must upload the organization's most recent IRS 990 or independent financial audit.

Records:

No Data Available.

Account Identification:

12-1600-0-1-352.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 est \$30,900; and FY 18 Estimate Not Available - The DCPI Program has \$309,000 of DRA funding. DRA anticipates awarding up to \$30,000 to successful applicants and up to 50 hours of intensive technical assistance from select DCPI partners and DRA staff to be expended withing the project period.

Range and Average of Financial Assistance:

DRA funding and technical assistance provided for DCPI projects will commence on September 1, 2017, for a period of up to 24 months. Applicants should allow sufficient time for asset mapping, partnership dialogue and collaboration building, planning, execution, internal evaluation, and project completion/close-out.

TAFS Codes:

95-0750.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Staresha Hoskins 236 Sharkey Street
Suite 400, Clarksdale, Mississippi 38614 Email: shoskins@dra.gov Phone: 6624838212

Website Address:

<http://www.dra.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Does the application address the specific stated goals of the DCPI program?
2. Do the submitted materials clearly indicate the commitment of the primary applicant and other primary partner to this proposal?
3. Do these organizations, and other partners identified in the application, have the capacity, technical expertise, community support and artistic/cultural competencies necessary to design, develop and execute the proposed project?
4. Is there sufficient competence within the cultural/art/design organizations to achieve artistic excellence and project completion?
5. Does the vision for this project, and the stated outcomes, enhance the quality of life, social and economic diversity, community inclusiveness and overall cohesion?
6. Does the proposal reflect creative approaches which address future community plans, priorities, and challenges?
7. Where appropriate, does the proposal address the needs of the under-served populations, including those who experience of the arts have been limited by geography, ethnicity, disability, class or poverty?
8. Is the scale and scope of the proposed project feasible, appropriately funded, and capable of on-time completion?
9. Will the community at large be adequately engaged, to assure the longer term sustainability of the enterprise?
10. Were appropriate evaluations metrics, documentation, and dissemination processes identified, and can they be executed by the partner organizations.

90.204 STATES' ECONOMIC DEVELOPMENT ASSISTANCE PROGRAM

SEDAP

FEDERAL AGENCY:

Delta Regional Authority

AUTHORIZATION:

12372.

OBJECTIVES:

The Delta Regional Authority works to improve regional economic opportunity by helping to create jobs, build communities, and improve the lives of the 10 million people who reside in the 252 counties and parishes of the eight state Delta region. Led by the Delta Regional Authority Board- comprised of the Federal Co-Chairman, appointed by the President and confirmed by the U.S. Senate, and the governors of the eight states- the Delta Regional Authority fosters local and regional partnerships that address economic and social challenges to ultimately strengthen the Delta economy and the quality of life for Delta residents.

Established in 2000 by Congress, the DRA makes strategic investments of federal appropriations into the physical and human infrastructure of Delta communities. Through the States' Economic Development Assistance Program, referred to as SEDAP, these investments help to improve transportation and basic public infrastructure and to strengthen our workforce development system and local business environments. The DRA supports job creation and economic development through innovative approaches to growing local and regional leadership, increasing access to quality healthcare, and boosting opportunities for entrepreneurs to obtain affordable capital.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Under federal law, at least 75 percent of DRA funds must be invested in economically distressed counties and parishes. Half of these funds are targeted to transportation and basic infrastructure improvements. The remaining funds are directed to DRAs other funding priorities of workforce development and business development with a focus on entrepreneurship.

The DRA works closely with the 44 Local Development Districts in the DRA footprint that serve as front-line project managers to ensure community-relevant project development and investment. SEDAP investments emphasize job creation, regional collaboration, and health and safety for communities.

Through 14 SEDAP funding cycles, the DRA has leveraged its \$138.4 million of federal-state investments into nearly \$3 billion in public and private investment into community-based and regional projects--investments that have helped to create and retain 26,000 jobs, train more than 7,200 Delta workers for 21st century jobs, and connect nearly 65,000 families to clean water and/or sewer service.

Applicant Eligibility:

The 252 counties and parishes served by the Delta Regional Authority make up the most distressed area of the country. According to the USDA, the most severe rates of poverty are historically found in the South, especially in the Mississippi River delta region. In fact, 4 of the 10 most impoverished counties and parishes in the country are located within the DRA footprint.

Beneficiary Eligibility:

For more information, please visit the DRA website at www.dra.gov.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

1. Innovation and Small Business Projects that include business and industry prospects committed to creating, retaining or maintaining jobs, through the execution of a DRA Participation Agreement. The Participation Agreement is a common claw-back instrument used in economic development to guarantee outcomes. As stewards of public resources, it is advantageous to provide outcome metrics which are validated through the protection this document provides.
2. Regional Impact Projects that provide benefit to constituents in multiple counties or parishes, or multiple municipalities within a single county or parish. Applications for regional water and/or wastewater systems will be considered more competitive than stand-alone municipal systems.
3. Multiple Funding Partners Applications for projects which include multiple funding sources (both public and/or private) and significantly leverage DRA dollars to other federal or non-federal dollars will be considered more competitive than applications which only include DRA funding or minimal investment leverage.
4. Emergency Funding Need Projects that can clearly demonstrate, but for DRA funding, the negative implications of inaction would result in immediate and detrimental health and/or safety issues for the constituents served. Projects posing an immediate health or safety risk which have already met at least one of the four funding categories (listed above) will be considered for funding ahead of all other applications. Sufficient documentation must be provided from the appropriate state or federal agency (DEQ, EPA, etc.), specifically stating the cause or reason for the issue and the agency concurrence that an immediate health or safety concern exists.
5. Funding Partner Initiatives As DRA establishes federal partnerships, through program initiatives, designed to provide supplemental funding support, DRA will give additional consideration to applicants, such as communities receiving the Investing in Manufacturers Community Partnership (IMCP) designation, a Promise Zone designation, or other awards and program designations as identified by the Federal Co-Chairman.
6. Small Business Framework Must clearly demonstrate how the project aligns with the policies set forth in DRAs Small Business Framework document, found on dra.gov.

7. Incorporated Resiliency Planning Projects located in communities or service areas which have incorporated disaster and resiliency planning into the local planning efforts.

Deadlines:

Feb 09, 2017 to May 31, 2017 FEBRUARY 9TH
-SEDAP funding opportunity announcement

FEBRUARY 9TH THROUGH MAY 31ST

-Applications are submitted as they are developed:
DRA staff will review for eligibility in real-time (within three weeks of receipt) and work with each LDD to provide appropriate appeal documentation for those projects deemed ineligible or Tier 2;

JUNE 16TH

-DRA staff work complete

JUNE 23RD

-Chairman determines eligibility

JULY 7TH

-Board members have met with Governors

JULY 14TH

Project determination calls held

JULY 28TH

-Certification letters received and project votes closed

-Announcements, award documents, notices to proceed follow immediately upon receipt of certification letters and are coordinated through DRA communications staff.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Applications for projects which include multiple funding sources (both public and/or private) and significantly leverage DRA dollars to other federal or non-federal dollars will be considered more competitive than applications which only include DRA funding or minimal investment leverage.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects typically ranged from 12-18 months. Projects that extended beyond this time period must request a Budget/Timeline Extension. See the following for information on how assistance is awarded/released: SF-270 forms Request for Advance or Reimbursements are submitted to the Authority for the release of project funding.

Reports:

Quarterly reports will also commence on the first calendar quarter after the date of the Notice to Proceed. Reports are due each calendar quarter thereafter whether project funds have been expended or not. Quarterly reports consist of a financial status report (SF-425) and a comprehensive report narrative (SF-PPR). This report can be completed and submitted online through the funding portal. SF-245 (Federal Financial Report) are due on a quarterly basis along with Performance Progress Report. SF-PPR are required on a quarterly basis. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

12-1600-0-1-352.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

95-0750.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Staresha Hoskins 236 Sharkey Street
Suite 400, Clarksdale, Mississippi 38614 Email: shoskins@dra.gov Phone: 6624838212

Website Address:

<http://www.dra.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

DENALI COMMISSION

90.100 DENALI COMMISSION PROGRAM

Denali Commission

FEDERAL AGENCY:

Denali Commission

AUTHORIZATION:

Denali Commission Act of 1998, Public Law 150-277, 42 U.S.C 3121.

OBJECTIVES:

The Denali Commission is a Federal agency designed to provide funding and partner coordination for critical utilities and infrastructure projects throughout Alaska, particularly in distressed communities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Construction and maintenance of any infrastructure necessary for rural development and job training.

Applicant Eligibility:

Awards are available to State and local governments, private, public, profit, nonprofit organizations and institutions.

Beneficiary Eligibility:

General public, particularly distressed communities.

Credentials/Documentation:

Documented need. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Annual Work Plan.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Negotiated on a case-by-case basis.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: 20% match for Distressed Communities for construction activities.

50% match for Non-Distressed Communities for construction activities.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Determined during project evaluation. See the following for information on how assistance is awarded/released: Determined during project evaluation.

Reports:

No program reports are required. Requests for Advance/Reimbursement (SF270). Project progress reports due quarterly. Recipient audit confirmations request expenditure reports. Level of Performance Monitoring will be determined based on project and recipient evaluation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Determined at the time of award, consistent with Federal and, where applicable, State of Alaska guidelines.

Account Identification:

95-1200-0-1-452 - Base Funds; 95-8056-0-7-452 - TAPL Funds;

95-6501-1-2-452 - State Funds.

Obligations:

(Project Grants (Discretionary)) FY 16 Not Separately Identifiable; FY 17 est \$15,000,000; and FY 18 est \$15,000,000

Range and Average of Financial Assistance:

Varies.

TAFS Codes:

95-1200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Programs include Government Coordination; Energy (Renewable, Bulk Fuel, and Rural Power System Upgrades); Health Facilities (Primary Care: clinic construction; clinic assessments; business planning and technical assistance); Transportation (roads; barge landing/mooring points; waterfront projects); Training. Fiscal Year 2017: Government Coordination; Energy (Renewable, Bulk Fuel, and Rural Power System Upgrades); Infrastructure Protection; Transportation; Training. Fiscal Year 2018: Government Coordination; Energy (Renewable, Bulk Fuel, and Rural Power System Upgrades); Infrastructure Protection; Transportation; Training.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information is available on the website of <http://www.denali.gov>.

Regional or Local Office:

None.

Headquarters Office:

Corrine Eilo 510 L Street, Suite 410, Anchorage, Alaska 99501 Email: ceilo@denali.gov Phone: (907) 271-1414 Fax: (907) -271-1415.

Website Address:

<http://www.denali.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: All funded projects can be found in the Project Database at www.denali.gov. Fiscal Year 2017: All funded projects can be found in the Project Database at www.denali.gov. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Projects are judged based on documented need.

90.199 SHARED SERVICES

Shared Services

FEDERAL AGENCY:

Denali Commission

AUTHORIZATION:

Denali Commission Act of 1998, Public Law 150-277, 42 U.S.C 3121, as amended by MAP-21, Public Law 112-141, XX U.S.C XX.

OBJECTIVES:

Delivery of services of the federal government in the most cost-effective manner by reducing administrative and overhead costs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Varies.

Applicant Eligibility:

Varies per federal agency requirements.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Application for Federal Assistance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Determined by each federal agency.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: 20% match for distressed communities for construction activities.
50% match for non-distressed communities for construction activities.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Determined by each federal agency. See the following for information on how assistance is awarded/released: Determined by each Federal agency.

Reports:

Progress reports. Cash reports are not applicable. Project progress reports. SF-270 Requests for Advance or Reimbursement. Performance monitoring determined by pre-award assessment.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Retention and maintenance of records shall be as specified in 2 CFR 200.

Account Identification:

95-1200-0-1-452.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$100,000; and FY 18 est \$100,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

95-1200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Corrine Eilo 510 L Street
Suite 410
, Anchorage, Alaska 99501 Email: ceilo@denali.gov Phone: 907-271-1414

Website Address:

<http://www.denali.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

NATIONAL COUNCIL ON DISABILITY

92.002 NATIONAL COUNCIL ON DISABILITY

NCD

FEDERAL AGENCY:

National Council on Disability

AUTHORIZATION:

The Rehabilitation Act of 1973 , Public Law 93-112, 29 U.S.C 780, as amended by Workforce Innovation and Opportunity Act, Public Law 113-128.

OBJECTIVES:

NCD is an independent federal agency charged with advising the President, Congress, and other federal agencies regarding policies, programs, practices,

and procedures that affect people with disabilities. NCD is comprised of a team of Presidential and Congressional appointees, an Executive Director and a full-time professional staff. NCD fulfills its advisory roles regarding disability policies, programs, procedures, and practices that enhance equal opportunity by: Convening stakeholders to acquire timely and relevant input for recommendations and action steps; Gathering and analyzing data and other information; Engaging and influencing current debates and agendas; Identifying and formulating solutions to emerging and long-standing challenges; and providing tools to facilitate effective implementation.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

To research and produce reports on national disability policy. For cooperative agreements to research and produce reports on national disability policy. Funds are available dependent on budget approval.

Applicant Eligibility:

NCD enters into cooperative agreements to research and produce reports on disability policy to advise the White House, Congress, Federal agencies, and to inform the general public.

Beneficiary Eligibility:

The White House, Congress, Federal agencies, and the general public will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applicants must submit a proposal that meets the requirements set out in solicitations posted on Grants.gov and ncd.gov. Proposals are reviewed and scored by NCD and by external experts in the topic area of the solicitation.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, cooperative agreements are for a one-year period, but may be shorter depending on the complexity of the project. See the following for information on how assistance is awarded/released: Payments are based on receipt of deliverables.

Reports:

Framing paper, progressive drafts, and final report. No cash reports are required. Monthly progress/activities. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

95-3500-0-0-000.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 16 \$605,000; FY 17 est \$200,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Usually for one-year cooperative agreements.

TAFS Codes:

95-3500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: January 19, 2016

Implementing the Affordable Care Act (ACA): A Roadmap for People with Disabilities

January 26, 2016

The Impact of the Affordable Care Act on People with Disabilities: A 2015 Status Report

February 2, 2016

Monitoring and Enforcing the Affordable Care Act for People with Disabilities

February 23, 2016

NCD Letter to Vice President Biden about the Marrakesh Treaty

March 7, 2016

Medicaid Managed Care Community Forums: Final Report

April 28, 2016

NCD Letter to Treasury Secretary about Including Accessibility in New Dollar Bills

May 17, 2016

NCD Letter To CMS Regarding Proposed Part B Drug Payment Model

June 21, 2016

NCD Letter to Senate Majority Leader Mitch McConnell Regarding Senate Mental Health Legislation

July 11, 2016

NCD Letter to SSA Regarding Proposed Rule Titled "Implementation of the NICS Improvements Act of 2007 (NIAA)

July 11, 2016

NCD Letter to House Judiciary Committee on the ADA Education and Reform Act of 2015

August 30, 2016

NCD Statement Regarding the Zika Virus

August 31, 2016

NCD Letter to Solicitor General Regarding Pending Motion on Accessible Currency before U.S. District Court

September 13, 2016

Letter from Current and Past NCD Chairs on ADA Notification Legislation (H.R. 3765)

October 7, 2016

National Disability Policy: A Progress Report - October 2016

October 7, 2016

NCD Letter to DOJ Regarding Web Accessibility NPRM

December 27, 2016

NCD Letter to Treasury Regarding Tax Debt of Discharged Loans of

Borrowers with Disabilities. Fiscal Year 2017: No Current Data Available
Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ana Torres-Davis 1331 F Street, N.W. Suite 850, Washington, District of Columbia 20004 Email: atorresdavis@ncd.gov Phone: (202) 272-2019

Website Address:

<http://www.ncd.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Mental Health on Services on College Campuses; Individuals with Disabilities Act Report series; Sexual Assault of Students with Disabilities on College Campuses; Inclusion of Persons with Disabilities in U.S. Foreign Programs; Annual report: Technology and Persons with Disabilities. Fiscal Year 2017: Annual Report: Poverty and Disability. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are chosen after a review by NCD staff and external experts in the topic area. Proposals are scored on criteria including: understanding of the issue, approach and procedural plan, organizational experience, and budget.

DEPARTMENT OF HEALTH AND HUMAN SERVICES

93.001 CIVIL RIGHTS AND PRIVACY RULE COMPLIANCE ACTIVITIES

Office for Civil Rights

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Title VI of the Civil Rights Act of 1964, as amended; Sections 504 and 508 of the Rehabilitation Act of 1973, as amended; Title IX of the Education Amendments of 1972, as amended; Age Discrimination Act of 1975, as amended; Hill-Burton Community Service Assurance, Titles VI and XVI of the Public Health Service Act, as amended; Drug Abuse Offense and Treatment Act of 1972, Section 407; Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970, Section 321, as amended; Nondiscrimination Provisions of the Low-Income Home Energy Assistance Act of 1981, 42 U.S.C. 8625; Nondiscrimination Provisions of the Community Services Block Grant Program, 42 U.S.C. 9918; Family Violence Prevention and Services Act, as amended, Section 306(c)(2), Public Law 111-320; Americans with Disabilities Act of 1990, Title II, Subtitle A, Public Law 101-336; Small Business Job Protection Act of 1996, Section 1808, Public Law 104-188; Section 1557 of the Patient Protection and Affordable Care Act; Section 1553 of the Affordable Care Act; Federal Conscience Rights Statutes, which include the Church Amendments, (42 U.S.C. 300a-7), the Coats-Snow Amendment (42 U.S.C. 238n), and the Weldon Amendment (Public Law 115-31, section 507(d)); Maternal and Child Health Services Block Grant Nondiscrimination Provision (42 U.S.C. 708); Preventive Health and Health Services Nondiscrimination Provision (42 U.S.C. 300w-7); Substance Abuse Prevention and Treatment Block Grant and Community Mental Health Services Block Grant Nondiscrimination Provision (42 U.S.C. 300x-57); Projects in Assistance to Transition from Homelessness Project Grants Nondiscrimination Provision (42 U.S.C. 290c-33); Equal Employment Opportunity Provision in the Public Telecommunications Financing Act of 1978, section 309, (47 U.S.C. 398(b)) as amended; Health Insurance Portability and Accountability Act of 1996 (HIPAA), 42 U.S.C. 1320d-8, 45 CFR Part 160 and Subparts A, C and E of Part 164--Standards for Privacy of Individually Identifiable Health Information (the "Privacy Rule") and Standards for the Protection of Electronic Protected Health Information (the "Security Rule"); Public Health Service Act, Title IX, 42 U.S.C. 299b-22, as amended by the Patient Safety and Quality Improvement Act of 2005, Public Law 109-41; Part C of title XI of the Social

Security Act, 42 U.S.C. 1320d-d-8, as amended by Section 105 of the Genetic Information Nondiscrimination Act of 2008 (GINA), Public Law 110233; Subtitle D of the Health Information Technology for Economic and Clinical Health (HITECH) Act, Public Law 111-5, 123 Stat. 115 (2009), and 45 CFR Subpart D of Part 164 - Breach Notification for Unsecured Protected Health Information (the "Breach Notification Rule"); Title IV of the 21st Century Cures Act, Public Law 114-255, 130 Stat. 1033 (2016).

OBJECTIVES:

As the Department's civil rights, conscience and religious freedom, and health privacy rights law enforcement agency, OCR investigates complaints, enforces rights, and promulgates regulations, develops policy and provides technical assistance and public education to ensure understanding of and compliance with non-discrimination and health information privacy laws.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

The Office for Civil Rights (OCR) in the Department of Health and Human Services (HHS) is responsible for promoting and ensuring that people have equal access to and the opportunity to participate in and receive services from all HHS-funded programs without facing unlawful discrimination, that the privacy of their health information is protected, and that electronic protected health information is safeguarded against unauthorized use or disclosure. These HHS funded programs are provided through state agencies, nursing homes, skilled nursing facilities, medical laboratories, hospitals, day care centers, social service agencies and other providers. Any person who believes that an individual has been discriminated against in the provision of these services because of race, color, national origin, disability, age, sex or religion, may file a complaint with OCR. An individual who believes that discrimination on the basis of disability has occurred in a health or social services program of a state or local government entity may file a complaint with OCR under Title II of the Americans with Disabilities Act of 1990, regardless of whether the entity receives Federal funds. Individuals may also file complaints of denials of health care for reasons other than the need and availability of services, by recipients of Hill-Burton grants and loans. In addition, a person may file a complaint under the Multiethnic Placement Act of 1994, as amended by Section 1808 of the Small Business Job Protection Act of 1996, alleging that an adoption or foster care agency or entity funded by HHS discriminatorily relied on a parent's or child's race, color, or national origin in making a placement decision. OCR will investigate complaints and take steps to ensure compliance with applicable civil rights laws.

OCR also enforces the Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule and Security Rule as well as the HITECH Breach Notification Rule and the confidentiality provisions of the Patient Safety and Quality Improvement Act (PSQIA) of 2005. OCR's activities under the Privacy, Security and Breach Notification Rules include enforcement actions, including conducting investigations, taking compliance actions, and making penalty determinations, and conducting public education, technical assistance and other outreach activities: to health care providers, health care clearinghouses, health plans, and business associates of covered entities to ensure that they understand their responsibilities under the Rules; to other entities that may be impacted by the Rules, including Federal, State and local government agencies, and researchers; and to consumers, to inform them of their rights under the Privacy Rule. Anyone who believes that a person, agency or organization covered under the HIPAA Privacy and Security Rules ("a covered entity") has violated the Privacy and/or Security Rules may file a complaint with OCR. In addition, the Breach Notification Rule requires HIPAA covered entities to promptly notify affected individuals of a breach, as well as the HHS Secretary and the media in cases where a breach affects more than 500 individuals; OCR is required to publish a list of entities that incur large breaches. Where a breach of unsecured protected health information occurs at or by a business associate, the business associate must notify the covered entity following the discovery of the breach. Additionally, the HITECH Act now makes business associates accountable for protecting the privacy and security of protected health information and directly liable for criminal and civil penalties for violations of HIPAA and Security Rules.

OCR's activities under PSQIA include administering an enforcement program regarding the confidentiality protections, including conducting investigations, taking compliance actions, making penalty determinations, and interpreting standards for confidentiality protections and the enforcement program for guidance and technical assistance to Patient Safety Organizations (PSOs), providers and public information.

Applicant Eligibility:

Anyone who believes he or she has been discriminated against in the manner outlined, seeks information concerning civil rights, and recipients of Federal financial assistance who desire technical assistance and information for the purpose of assuring their compliance with nondiscrimination laws. Regarding the HIPAA Privacy and Security Rules, anyone who believes that the Privacy and/or Security Rules have been violated in the manner outlined or who seeks information about these Rules; consumers that need to know their rights under the Privacy Rule; and entities that need technical assistance and information for the purpose of assuring compliance with the Privacy and Security Rules.

Beneficiary Eligibility:

Individuals subject to discrimination and recipients who require technical assistance and information. Regarding the HIPAA Privacy Rule, individuals subject to violation of their health information privacy, covered entities, and others needing or seeking technical assistance and information concerning the Rule.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Complaints of discrimination and alleged violations of the HIPAA Privacy and Security Rules may be filed, and technical assistance information and public education may be requested, at the Headquarters and Regional Office locations of the Office for Civil Rights.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

A complaint must be filed not later than 180 days of the alleged discrimination, unless the time for filing is waived for good cause. Complaints under the Privacy and/or Security Rules must be filed within 180 days of the date when the complainant knew, or should have known, that the act or omission complained of occurred, unless the time limit is waived for good cause. Complaint processing time varies considerably based on the type of complaint, the complexity of the issues, and current OCR caseload.

Appeals:

Individuals can appeal a civil rights discrimination complaint determination in some instances by submitting, in writing, a request for reconsideration to the Director, Office for Civil Rights. OCR imposes civil money penalties (CMPs) on covered entities and their business associates found to be in violation of the HIPAA Privacy, Security and/or Breach Notification Rules. If CMPs are imposed, the covered entity, or any applicable business associates, may request a hearing in which an HHS administrative law judge (ALJ) decides if the CMPs are supported by the evidence in the case. OCR is required to present the evidence to support the imposition of CMPs to the ALJ. The covered entity and/or business associate(s) may also then appeal the ALJ's decision to the HHS Departmental Appeals Board (DAB) and ultimately to the U.S. Courts of Appeal.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
 This program has no matching requirements.
 This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

75-0135-0-1-751 - Office for Civil Rights.

Obligations:

(Investigation of Complaints) FY 16 \$38,798,000; FY 17 est \$38,798,000; and FY 18 est \$32,530,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

75-0135-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: OCR resolved over 28,000 complaints alleging discrimination or a health information privacy or security violation. Fiscal Year 2017: OCR projects to resolve approximately 29,000 total complaints alleging discrimination or a health information privacy or security violation. Fiscal Year 2018: OCR projects to resolve approximately 31,000 total complaints alleging discrimination or a health information privacy or security violation.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title VI of the Civil Rights Act of 1964—the implementing regulation (45 CFR 80), "Your Rights Under Title VI of the Civil Rights Act of 1964 fact sheet, "Know Your Rights" brochure regarding national origin discrimination and limited English proficiency, a video in VHS and DVD formats entitled "Title VI Limited English Proficiency"; Section 504 of the Rehabilitation Act of 1973—the implementing regulation for HHS funded programs and activities (45 CFR 84), and fact sheets "Your Rights Under Section 504," "Your Rights as a Person with HIV Infection, AIDS, or Related Conditions," "Your Rights Under Section 504 and the Americans with Disabilities Act," and the HHS Section 504 federally conducted regulation (45 CFR 85); Age Discrimination Act of 1975—implementing regulations, HHS (45 CFR 91) and government-wide (45 CFR 90), and fact sheet "Your Rights Under the Age Discrimination Act"; Federal Health Care Provider Conscience Protection Statutes (including the Church Amendments, Section 245 of the Public Health Service Act, and the Weldon Amendment) implementing regulation (45 CFR 88), and, "Your Rights Under the Federal Health Care Provider Conscience Protection Laws" fact sheet, Community Service Assurance of the Hill-Burton regulation (42 CFR 124) and fact sheet "Community Service Assurance Under the Hill-Burton Act"; Sex Discrimination in Health-Related Training Programs regulation (45 CFR 83); fact sheets on: Section 1808/Multiethnic Placement Act (MEPA); the Americans with Disabilities Act (ADA); "How to File a Discrimination Complaint with OCR"; "Know Your Civil Rights"; Civil Rights Frequently Asked Questions; a video presentation: OCR guidance on Temporary Assistance for Needy Families (TANF) and Disability; OCR guidance documents and questions and answers on MEPA; various technical assistance materials for Medicare providers and applicants, including a sample nondiscrimination policy, LEP policy, auxiliary aids policy, accessibility policy, and grievance procedure, and various other civil rights publications.

HIPAA regulations and standards: The Privacy Rule and the Security Rule, 45 CFR Part 160 and Subparts A, C and E of Part 164; the Breach Notification Rule, 45 CFR Subpart D of Part 164; "Your Health Information Privacy Rights"; "Privacy, Security, and the Electronic Health Record"; "Understanding the HIPAA Notice, and Sharing Health Information with Family and Friends."

These consumer-facing brochures are also available in eight languages: Korean, Vietnamese, Simplified Chinese, Traditional Chinese, Polish, Russian, Tagalog, and Spanish. OCR also has sight videos available on various aspects of the HIPAA Privacy Rule for consumers and two designed for health care providers, as well as posters and a brochure entitled "Information is Powerful Medicine." Materials designed for covered entities designed for covered entities and their business associates include "Guidance on Risk Analysis Requirements Under the Security Rule" fact sheets and guidance on specific aspects of the Privacy and Security Rules; an entire webpage dedicated to educating covered entities on cybersecurity risks and how to address them via compliance with the HIPAA Security and Breach Notification Rules; and additional compliance tools such as "Am I a Covered Entity", "the Security Rule Educational Paper Series", and Frequently Asked Questions (FAQs). A complete list of HIPAA-related publications is available.

There is also a brochure titled "Safeguarding Civil Rights Health Information Privacy," which provides general information about the Office for Civil Rights and its services. All literature is available at no charge; however, because of budgetary constraints and availability of stock, quantities may be limited. Most publications can be downloaded from OCR's website and are available in languages other than English. All publications are available in alternative formats for individuals with disabilities.

Regional or Local Office:

See Regional Agency Offices. New England Region

Boston, MA
 (services Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)

Susan Pezzullo-Rhodes, Regional Manager
 Office for Civil Rights
 U.S. Department of Health and Human Services
 Government Center
 J.F. Kennedy Federal Building - Room 1875
 Boston, MA 02203
 Voice phone 800-368-1019
 FAX (617) 565-3809
 TDD (617) 565-1343

Eastern & Caribbean Region
 New York, NY

(services New Jersey, New York, Puerto Rico, Virgin Islands)
 Linda C. Colon, Regional Manager
 Office for Civil Rights
 U.S. Department of Health and Human Services
 Jacob Javits Federal Building
 26 Federal Plaza - Suite 3312
 New York, NY 10278
 Voice Phone 800-368-1019
 FAX (212) 264-3039
 TDD (212) 264-2355

Mid-Atlantic Region

Philadelphia, PA
 (services Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)

Barbara Holland, Regional Manager
 Office for Civil Rights
 U.S. Department of Health and Human Services
 150 S. Independence Mall West
 Suite 372, Public Ledger Building
 Philadelphia, PA 19106-9111
 Main Line 800-368-1019
 FAX (215) 861-4431
 TDD (215) 861-4440

Southeast Region

Atlanta, GA
 (services Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)

Timothy Noonan, Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
Atlanta Federal Center, Suite 3B70
61 Forsyth Street, S.W.
Atlanta, GA 30303-8909
Voice Phone 800-368-1019
FAX (404) 562-7881
TDD (404) 331-2867

Midwest Region
Chicago, IL
(services Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin, Iowa,
Kansas, Missouri, Nebraska)
Steven Mitchell, Acting Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
233 N. Michigan Ave., Suite 240
Chicago, IL 60601
Voice Phone 800-368-1019
FAX (312) 886-1807
TDD (312) 353-5693

Southwest Region
Dallas, TX
(services Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
Marisa Smith, Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
1301 Young Street, Suite 1169
Dallas, TX 75202
Voice Phone 800-368-1019
FAX (214) 767-0432
TDD (214) 767-8940

Rocky Mountain Region
Denver, CO
(services Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)
Andrea Oliver, Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
1961 Stout Street, Rm. 08-148
Denver, CO 80294
Voice Phone 800-368-1019
FAX (303) 844-2025
TDD (303) 844-3439

Pacific Region
San Francisco, CA
(services American Samoa, Arizona, California, Guam, Hawaii, Nevada,
Alaska, Idaho, Oregon, Washington)
Michael Leoz, Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
90 7th Street, Suite 4-100
San Francisco, CA 94103
Voice Phone 800-368-1019
FAX (415) 437-8329
TDD (415) 437-8311.

Headquarters Office:

Director, Office for Civil Rights
Hubert H. Humphrey Building
Mail Stop Room 509-F6
200 Independence Avenue, SW
, Washington, District of Columbia 20201 Email: OCRMail@hhs.gov Phone:
800-368-1019

Website Address:

<http://www.hhs.gov/ocr>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.004 COOPERATIVE AGREEMENTS TO IMPROVE THE HEALTH STATUS OF MINORITY POPULATIONS

FEDERAL AGENCY:

Office of Minority Health, Department of Health and Human Services

AUTHORIZATION:

OMH is authorized to conduct this program under 42 U.S.C. Section 300 u-6, Section 1707 of the Public Health Service Act, as amended.

OBJECTIVES:

To provide support for activities which have the potential to improve the health status and/or quality of life of racial/ethnic minorities, with the objective of reducing the excessive burden and death borne by minority and disadvantaged populations in the United States.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used for support of projects or activities consistent with the mission of the Office of Minority Health. Funds are not to be used for the provision of health care services, construction, augmentation of on-going Office of Minority Health (OMH) supported demonstrations, or supplementation of on-going project activities.

Applicant Eligibility:

Public and private nonprofit entities may apply. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

Members of the minority and disadvantaged groups: Blacks; Hispanics; American Indians; Alaska Natives; Asian/American Pacific Islanders; or subgroups of these.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All open grant opportunities are posted on www.grants.gov. Additional information may be requested by calling 240-453-8822 or writing to: Office of Assistant Secretary of Health (OASH) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health, will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Varies, but a project period is generally limited to 5 years or less. Within a project period, continuation applications must be submitted annually on a noncompeting basis for each year of support.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The cooperative agreements will have a project period not to exceed 5 years, with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Necessary instructions regarding payment procedure will be provided at the time the award is issued. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Quarterly SF425 Federal Financial Reports must be submitted to Payment Management Services 30 days after the end of each calendar quarter. Progress reports are required quarterly for each budget period. Quarterly SF425 Federal Financial Reports must be submitted to the Office of Grants Management 30 days after the end of each calendar quarter and an annual FFR 90 days after the end of the budget period/project period end date. Reports are to be submitted electronically through GrantSolutions.. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 75.361 grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 Not Available(Exp: grant program ended 8/31/2015); FY 17 Estimate Not Available(Exp: grant program ended 8/31/2015); and FY 18 Estimate Not Available(Exp: grant program ended 8/31/2015) - No grants were awarded under this program in FY '15, FY '16 or FY '17.

Range and Average of Financial Assistance:

Grant program ended in 2015.

TAFS Codes:

75-10-10-11-0117.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No grants funded. Fiscal Year 2017: It is not anticipated that grants will be awarded. Fiscal Year 2018: It is not anticipated that grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact:
Office of Minority Health, Division of Program Operations
Tower Building, Suite 600, 1101 Wootton Parkway
Rockville, MD 20852. Telephone at (240) 453-8444

Grants Management Contact:

Office of Grants Management, 1101 Wootton Parkway, Suite 550,
Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville,
Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<https://minorityhealth.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: (1) consistency of project's goals and objectives with the Office of Minority Health's mission; (2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; (3) strength of proposed grant organization's management capability; (4) adequacy of qualifications, experience and cultural competence of proposed personnel; and (5) strength of analysis of potential impact or innovation the project proposes to generate.

93.007 PUBLIC AWARENESS CAMPAIGNS ON EMBRYO ADOPTION

Embryo Donation/Adoption

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

This program is authorized by Section 1704 of the Public Health Service Act, 42 U.S.C. Section 300u-3. , 42 U.S.C 300u-3.

OBJECTIVES:

To increase public awareness of embryo adoption as a method of family building and to provide services to infertile individuals so as to facilitate their use of embryo donation/adoption as a method of family building.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This assistance can be used in two ways: 1) to create programs which increase the public awareness of, and knowledge about, embryo donation/adoption as a method of family building and, 2) to provide services, such as counseling, which facilitate the use of embryo donation/adoption as a method of attaining the desired number and spacing of children. This assistance is available to organizations only.

Applicant Eligibility:

Eligible applicants include public agencies, nonprofit organizations, and for-profit organizations. Eligibility to compete for this announcement is limited to particular applicant organizations. Only agencies and organizations, not individuals, are eligible to apply. One agency must be identified as the applicant organization and will have legal responsibility for the project. Additional agencies and organizations can be included as co-participants, subgrantees, subcontractors, or collaborators if they will assist in providing expertise and in helping to meet the needs of the recipients. Faith-based and community-based organizations meeting the eligibility requirements may apply, or they may be included as co-participants, subgrantees, subcontractors, or collaborators if they will assist in providing expertise and in helping to meet the needs of recipients. Eligibility is limited to organizations that can

demonstration previous experience with embryo adoption and are knowledgeable in all elements of the process of embryo adoption.

Beneficiary Eligibility:

The beneficiaries for this program are potential donors and/or recipients of frozen embryos.

Credentials/Documentation:

Proof of nonprofit status is required of nonprofit organizations and institutions. OMB Circular No. A-87 applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. To apply, access the announcement through www.grants.gov. Enter the CFDA# and follow the website instructions. Applications submitted after the deadlines will not be accepted for review. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via www.grants.gov. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be ineligible will be returned to the applicant. Accepted applications will be evaluated by a technical review panel composed of independent experts. The final funding decision will be determined by the Agency Director, who will take into consideration the recommendations of the review panel; programmatic needs, stated needs; geographic location; and recommendations of DHHS personnel. All applicants will be notified in writing of actions taken on their applications. Applications that are approved and funded will be issued A Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. New projects are approved for a 2 year project period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant Project Periods are for 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Federal Financial Reports (SF425) are due quarterly. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

HHS and the Comptroller General of the United States or any of their designated authorized officials shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Project Grants) FY 16 \$680,000; FY 17 est \$688,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Fiscal Year 2016 \$150,000 - \$300,000

Fiscal Year 2017 \$150,000 - \$300,000.

TAFS Codes:

75-10-10-11-0117.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the application instructions, the announcement, and the HHS Grants Policy Statement: <http://www.hhs.gov/asrt/og/aboutog/grantsnet.html>

Regional or Local Office:

See Regional Agency Offices. Office of Population Affairs, Department of Health and Human Services, 1101 Wootton Parkway, Suite 700, Rockville MD, 20852. Telephone: (240) 453-2888. Project Officer Mr. Robert Scholle.

DHHS, Office of Assistant Secretary for Health Office of Grants Management 1101 Wootton Parkway, Suite 550, Rockville MD, 20852. Telephone: (240) 453-8822. Grants Specialist Ms. Deborah Hayes.

Headquarters Office:

Eric C. West Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.opa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criterion 1: Project Summary (5 Points). Applicants are expected to summarize the proposed project in a narrative not to exceed one page. The summary must include the projects objectives, its approach and the results or benefits that are expected from the project.

Criterion 2: Need for Assistance (25 Points) Specific considerations for this section include: (1) The extent to which the application reflects an understanding of: (a) the goals of the embryo donation / adoption program, and how implementation will contribute to achieving these goals; or (b) The extent to which the application reflects a knowledge and understanding of the challenges involved with offering services to facilitate embryo donation and/or

adoption; (2) The extent to which the application clearly describes and documents the need for assistance to enhance efforts to: (a) increase public awareness of embryo donation and/or adoption; or, (b) make services, including counseling, available to people that are currently involved (or may soon be involved in) the process of embryo donation or adoption; (3) The extent to which the application reflects an understanding of the medical and legal framework of embryo donation and/or adoption, and the services and resources in the geographic area in which the proposed project will be conducted; (4) The extent to which the application explains how the proposed project will contribute to increased knowledge of the problems, issues, and effective strategies and best practices in the field of embryo donation and/or adoption; and (5) The extent to which the application presents a vision of how the project will advance: (a) public awareness of embryo donation and/or adoption, or, (b) the ability of couples to use embryo donation/adoption services; and, (6) The extent to which the applicant discusses the broad contextual factors that will facilitate or impede the project. Applicants must demonstrate a clear understanding of the legislative goals and demonstrate how their approach to the design of a public awareness campaign will contribute to achieve the legislative goals.

Criterion 3: Approach and Objectives (30 Points) (A) Design of the Project:

(1) The extent to which the application reflects an understanding of professionally recognized standards and/or other relevant Federal or State requirements pertaining to embryo donation and/or adoption.

(2) The extent to which the proposed project goals, objectives, and outcomes are clearly specified and measurable, and reflect an understanding of the context of embryo donation/adoption, and

(3) The extent to which the application presents an approach that: (a) is competency based; and (b) can be evaluated.

(B) Implementation of the Project:

(1) The extent to which the application clearly describes and provides a justification for the selection of the geographic region that will be served by the project;

(2) The extent to which the application presents an appropriate, feasible, and realistic plan for conducting the project;

(3) The extent to which the application presents an appropriate, feasible and realistic plan for recruiting, selecting, and training individuals to conduct the project;

(4) The extent to which the application provides an appropriate, feasible and realistic plan for documenting project activities and results, that can be used to describe and evaluate the project, and participant satisfaction; and,

(5) The extent to which the proposed project will establish and coordinate linkages with other appropriate agencies and organizations.

(C) Dissemination of the Project:

(1) The extent to which the application provides an appropriate, feasible and realistic plan for dissemination of information and related educational materials;

(2) The extent to which the intended audience is clearly identified and defined and is appropriate to the goals of the proposed program;

(3) The extent to which the program's products will be useful to the target audiences;

(4) The extent to which the applicant presents a realistic schedule for developing these products, and provides a dissemination plan that is appropriate in scope and budget to each of the audiences; and,

(5) The extent to which the products being developed during the program are described clearly and will address the goal of dissemination of information and are designed to support evidence-based improvements of practices in the field.

Criterion 4: Evaluation (20 Points). (1) The extent to which the methods of evaluation are feasible, comprehensive, and appropriate to the goals, objectives, and context of the project;

(2) The extent to which the applicant offers an appropriate, feasible, and realistic plan for evaluating the project, including performance feedback and assessment of program progress that can be used as a basis for program adjustments;

(3) The extent to which the methods of evaluation include process and outcome analyses, as appropriate, for assessing the effectiveness of the program strategies and implementation process; and,

(4) The extent to which the methods of evaluation include the use of objective performance measures that are clearly related to the intended outcomes of the

program and which will produce quantitative and qualitative results.

Criterion 5: Organizational Profile (10 Points) Applicants need to demonstrate that they have the capacity to implement the proposed program. This criterion consists of three broad topics: (A) Management plan, (B) staff qualifications, and (C) organizational capacity and resources. Criterion 6: Budget and Budget Justification (10 Points) Applicants are expected to present a budget with reasonable project costs, appropriately allocated across component areas and sufficient to accomplish the objectives.

93.008 MEDICAL RESERVE CORPS SMALL GRANT PROGRAM (MRC)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Section 1703 of the Public Health Service (PHS) Act.

OBJECTIVES:

To support the development of Medical Reserve Corps (MRC) units in communities throughout the United States to: (1) increase capacity at the community level to respond during emergencies which have medical consequences, and (2) improve public health in communities through volunteerism on an ongoing basis.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used for establishment of community-based, citizen volunteer Medical Reserve Corps. Funds may be used for organizing, volunteer recruitment, assessment of risks and vulnerabilities, strategy development, planning, training, drills/practice, supplies and equipment.

Applicant Eligibility:

Eligible applicants for this funding opportunity are national-level nonprofit organizations with significant local, state and national networking connections.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission. Costs will be determined in accordance with 45 CFR 75/ 2 CFR 200. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Final award decisions will be made by the Director of the Office of the Civilian Volunteer Medical Reserve Corps. In making this decision, the Director will fund one cooperative agreement and will consider:

- (1) recommendations of the review panel;
- (2) reviews for programmatic and grants management compliance;
- (3) the reasonableness of the estimated cost to the government considering the available funding and anticipated results; and
- (4) the likelihood that the proposed project will result in the expected benefits.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

This Cooperative Agreement will have a project period not to exceed 5 years, funds will be allotted on 12 month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Necessary instructions regarding payment procedures will be provided at the time of the award. Method of awarding/releasing assistance: quarterly.

Reports:

Progress reports are required monthly, quarterly and annually. A final performance report is due 90 days following the end of the project period. Cash reports are required for each cash withdrawal or transfer. Progress reports are required for each budget period. As of February 1, 2011, the Department of Health and Human Services (DHHS) will begin the transition from use of the SF-269, Financial Status Report (Short Form or Long Form) to the use of the SF-425 Federal Financial Report for expenditure reporting.

1. Grantees must submit quarterly Federal Financial Reports due on one of the standard due dates by which cash reporting is required to be submitted to PMS or at the end of a calendar quarter. The FFR is due 30 days after the end of each calendar quarter.
2. Also, grantees must submit an annual Federal Financial Report due 90 days after the end of the budget period/project period end date.
3. Reports are to be submitted electronically through GrantSolutions. In addition to the required quarterly progress reports, a final performance report is due 90 days following the end of the project period. In addition to the required progress reports, a final performance report is due 90 days following the end of the project period.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of 2 CFR 200 PART F Audit of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt as noted in 2 CFR 200 PART F. In addition, grants and cooperative agreements are subject to inspection and audits by HHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit finding(s).

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 Not Available; FY 17 est \$4,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Only one Cooperative Agreement awarded at the amount of \$3,000,000.

TAFS Codes:

75-09-6.77-7.00-42.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Capt. Patrick Denis
Deputy Director
Division of Civilian Volunteer MRC
Office of the U.S. Surgeon General
1101 Wootton Pkwy. Suite 184 Rockville, MD 20852
Phone 240-453-2828
Patrick.Denis@hhs.gov.

Headquarters Office:

Virginia Simmons 200 C. St. O'Neill Bldg., Washington, District of Columbia
20024 Email: virginia.simmons@hhs.gov Phone: 202-260-0400

Website Address:

<https://www.medicalreservecorps.gov/HomePage>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: 1) Implementation plan which describing linkages to existing community resources, the role the Medical Reserve Corps will most likely have, and the time frame for planning and implementation; 2) Management plan describing the organization's capabilities; 3) Evaluation plan describing program goals and how those goals will be assessed; 4) Supporting documentation detailing how the Medical Reserve Corps is connected to a Citizen Corps Council; and 5) Background on the adequacy of demonstrated knowledge of emergency medical response/care systems and utilization of volunteers.

93.011 NATIONAL ORGANIZATIONS OF STATE AND LOCAL OFFICIALS**NOSLO****FEDERAL AGENCY:**

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Sections 311(a) of the Public Health Service (PHS) Act, as amended.

OBJECTIVES:

The purpose of the HRSA NOSLO cooperative is to support technical assistance, promotion of best practices and innovative solutions to emerging and ongoing national health priorities such as communicable disease prevention and suppression, childhood obesity, substance use disorders and/or mental health. Award recipients preserve and improve public health by:

- (1) strengthening the organizational capacities of state and local health departments, state Primary Care Offices (PCOs), state Offices of Rural Health (SORHs), small rural hospitals and clinics, state and local Ryan White HIV/AIDS Program (RWHAP) entities, state Medicaid agencies, and state legislatures through information exchanges and learning communities that support quality improvement and innovative public and private sector initiatives;
- (2) developing technical assistance materials and training activities that can be used by states, local governments, and their political subdivisions to benefit HRSA award recipients such as health centers, state maternal and child health programs, health professions training programs, state and local RWHAP entities, and state and local public health agencies/entities providing services to underserved communities; and
- (3) engaging national organizations representing state and local officials to provide education, training and technical assistance to promote integration of primary care, behavioral health, and public health initiatives for their constituencies.

By promoting collaboration and partnership among major stakeholder organizations representing state and local public health officials and other HRSA award recipients, this cooperative agreement helps develop and promote public and private sector strategies to improve access to quality services and help to build a skilled health workforce, key tenets of HRSA's mission and in

support of the Secretary's key principles of service and sustainability.

Program Expectations: The NOSLO award recipients will carry out initiatives which:

advise states and their political subdivisions in the prevention and suppression of communicable diseases to carry out public health activities, including establishing and maintaining adequate public health services to protect and improve population health;

focus on health promotion and prevention of disease, illness, and psychosocial problems through quality improvement activities at the state, local, and practice levels using mechanisms such as resource sharing, data collection and analysis of outcome measures and documentation of benefits across sites;

support the organizational capacity for state primary care offices/associations, health centers, and other HRSA award recipients to address the education and training needs of the core safety net provider workforce, including coordination efforts associated with emergency preparedness and response;

help states and local government entities to address the needs of uninsured, underserved and special needs populations in states and local areas, including improving access to quality care;

create useful, effective forms of collaboration with partners, within and beyond the health sector, to facilitate opportunities for program development and implementation of initiatives that support vulnerable and underserved populations;

promote workforce development in state and local public health agencies; and increase collaborative efforts with federal/state supported resources with a shared goal to improve the capacity and efficiency of the public health and health care systems by leveraging resources to avoid duplication of efforts.

Core Functions: The NOSLO program will address three core functions related to the program purpose and expectations: (1) training and technical assistance (TTA) in program management and operational support; (2) policy, program development/analysis and information exchange; and (3) collaboration, coordination and promoting partnerships. Applicants must outline specific activities under each required core function targeted to strengthening the organizational capacities of state and local health departments, their political subdivisions, and HRSA award recipients. The projects will also address program priorities for the U.S. Department of Health and Human Services, such as the implementation of the Healthy People 2030, and the evolving health care landscape at the national, state and local levels. This includes projects that can assist states and local governments to achieve improved health outcomes for underserved populations and better services and value for state programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This program will support national organizations that represent the following groups: State/Territorial Health Department Officials, Local County and City Health Department Officials, State Medicaid Directors, and State Legislatures to address cross-cutting, publicly-funded health program integration and health access issues identified by the states and local governments and entities.

Specifically, under the NOSLO program, each award recipient will be responsible for carrying out activities tailored to its own constituency's needs (i.e., its target audience). The NOSLO cooperative agreements are multi-faceted and include opportunities for policy information exchange and collaboration, as well as for providing training and technical assistance to state and local governments and their political subdivisions, health centers, other HRSA grantees, and other health care entities to address the needs of the underserved and targeted populations.

Applicant Eligibility:

Eligible applicants include nonprofit service and/or membership organizations that can provide training and technical assistance on a national level to strengthen the infrastructure capacities of states and local government entities.

Beneficiary Eligibility:

Eligible beneficiaries include the following entities: Federal; Interstate; Intrastate; State; Local; Public nonprofit institution/organization; Other public institution/organization; Non-Governmental General; Minority group; Specialized group (e.g., health professionals, students, veterans); Small

business (less than 500 employees); Private nonprofit institution/organization; Quasi-public nonprofit institution/organization; Anyone/general public; Native American Organizations; Health professional; Black; American Indian; Spanish origin; Asian; Other non-white; Migrant; U.S. citizen; Refugee/Alien; Veteran/Service; Person/Reservist (including dependents); Women; Disabled (i.e., Deaf, Blind, Physically Disabled).

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

A Notice of Award, signed by the Grants Management Officer, is sent via e-mail to the applicant's Authorized Organization Representative.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Consult the Notice of Funding Opportunity for the period of support. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Refer to the Notice of Funding Opportunity for additional information. Cash reports are not applicable. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The award recipient must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffr-schedule.pdf>. A final report is due within 90 days after the project period ends.

If applicable, the award recipient submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends.

New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by

section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation award recipient, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Refer to the Notice of Funding Opportunity for information.

Records:

Grantees are required to maintain accounting records 3 years after the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$2,604,216; FY 17 est \$3,500,000; and FY 18 est \$3,128,575

Range and Average of Financial Assistance:

In FY 2017, approximately \$3,500,000 is expected to fund four (4) recipients. Applicants can request funding for a ceiling amount of up to \$875,000 total costs (includes both direct and indirect/facilities and administrative costs).

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Lynnette S. Araki, 5600 Fishers Lane, Parklawn Room 10C-03, Rockville, Maryland 20857 Email: LAraki@hrsa.gov Phone: (301) 443-6204 Fax: (301) 443-2286.

Website Address:

No Data Available

RELATED PROGRAMS:

93.129 Technical and Non-Financial Assistance to Health Centers; 93.528 National Forum for State and Territorial Chief Executives

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Review Criteria are outlined in the Notice of Funding Opportunity.

93.015 HIV PREVENTION PROGRAMS FOR WOMEN

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Executive Order 42 U.S.C. 300u-2(a)(1), 300u-3, and 300u-6(e), 42 U.S.C 300u-2(a)(1).

OBJECTIVES:

HIV Prevention for Women Living in the Rural South: (1) Increase knowledge of accurate HIV prevention information among women living in rural communities in the south; (2) improve and increase access to quality HIV prevention services to women living with or at high risk for HIV infection in rural communities in the south; (3) improve receptivity to and awareness of HIV prevention education, necessary to reduce the stigma among women in rural southern communities; and (4) increase the number of women living in the rural south, who voluntarily receiving HIV testing.

HIV Prevention for Young Women Attending Minority Institutions: (1) Increase on campus activities targeting women at risk for HIV infection; (2) improve HIV prevention education efforts involving women on campus; (3) increase knowledge base of accurate HIV/STD prevention information among women attending minority institutions; and (4) improve access to HIV health related services for women attending minority institutions.

HIV Prevention for Women Living in the Rural and Frontier Indian Country: (1) Increase knowledge of accurate HIV prevention information among women living in Indian Country; (2) improve and increase access to quality HIV prevention services to women living with or at high risk for HIV infection in rural and frontier Indian Country; (3) improve receptivity to and awareness of HIV prevention education necessary to reduce the stigma among women in rural and frontier Indian Country; and (4) increase the number of women living in rural and frontier Indian Country, who voluntarily receiving HIV testing.

HIV Prevention for Women Incarcerated and Newly Released: (1) Increase the number of incarcerated women receiving pre-release discharge planning, particularly those who are living with HIV or at high risk for HIV infection; (2) increase the number of HIV infected incarcerated women who are connected to drug assistance programs, medical care, and case management services prior to release or at time of release; (3) increase the number of community linkages and networks for ensuring continuum of care for incarcerated and newly released women living with or at high risk for HIV in locations with high rates of HIV infections and incarcerated populations; and (4) increase the number of newly released women receiving support services and HIV care six months post release.

HIV Prevention for Women Living in the U.S. Virgin Islands & Puerto Rico: (1) Increase access to quality care and treatment for women living with HIV infection; (2) improve and increase access to secondary HIV prevention services to women living with HIV infection and primary prevention for those women at risk for infection; (3) increase understanding of living with HIV, disease management, and treatment adherence; and (4) increase community support activities for women living with HIV and their families.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to cover costs of: personnel; consultants; grant related office supplies and software; grant related travel (domestic only); educational, promotional and evaluation materials; and other grant related costs. Funds shall not be used to fund direct health care services or equipment for patients (e.g. diagnostic tests, screening equipment, treatment, etc.). Also, funds may not be used for: building alterations or renovations, construction, screening supplies or equipment, incentives and prizes, food, fund raising activities, political education and lobbying, and other activities that are not grant related.

Applicant Eligibility:

The applicant must be a Public College or University; a Historically Black College or University; a Hispanic Serving Institution; a Tribal College or University; a Private Non-Profit Community-Based Organization; a Native American Tribal Organization; and/or a Faith-Based Community Organization serving underserved women. Small businesses or organizations not in an official partnership with a qualified institution are not eligible for funding under this announcement.

Beneficiary Eligibility:

Underserved women living in the Rural South; Young Women Attending Minority Institutions; Native/American Indian and Alaskan Native Women Living in Rural and Frontier Indian Country; Women Incarcerated and Newly Released Living with or at Risk for HIV/AIDS/STDs; and Women Living in Puerto Rico and the U.S. Virgin Islands, will benefit from this program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant program ended 8/2015

Award Procedure:

Grant program ended 2015.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grant program ended 8/2015.

Appeals:

Not Applicable.

Renewals:

Grant program ended 8/2015.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant Program ended 8/2015. Method of awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings. HHS and the Comptroller General of the United States or any of their designated authorized officials shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 Not Available(Exp: no grants funded); FY 17 Estimate Not Available(Exp: no grants funded); and FY 18 Estimate Not Available - Current grants ended 8/31/2015; no grants funded

in FY '15, '16 or '17.

Range and Average of Financial Assistance:

Grant program ended 8/2015.

TAFS Codes:

75-09-3.5-3.8-42.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Nicole Greene
OASH/Office on Women's Health
200 Independence Ave., SW
Room 7-712E
Washington, DC
Phone: 202-690-7650.

Headquarters Office:

Brenda C. Donaldson Tower Building Suite 550, 1101 Wootton Parkway,
Rockville, Maryland 20852 Email: Brenda.Donaldson@hhs.gov Phone: (240)
453-8822.

Website Address:

<http://www.womenshealth.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.018 STRENGTHENING PUBLIC HEALTH SERVICES AT THE OUTREACH OFFICES OF THE U.S.-MEXICO BORDER HEALTH COMMISSION**FEDERAL AGENCY:**

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act As Amended, Executive Order 22 U.S.C 290n,
Title XVII, Section 1701(c) (1), 22 U.S.C 290n.

OBJECTIVES:

This funding is intended to support projects aligned with the goals of the United States-Mexico Border Health Commission (BHC), and its mission to provide leadership to optimize health and quality of life for residents in the border region. Funding priorities include programs or initiatives related to the BHC Healthy Border 2020 Strategy (Chronic and Degenerative diseases; Infectious diseases; Maternal and Child Health; Mental Health and Addiction; and Injury prevention) and BHC transversal issues (access to care, research, strategic planning); other border health needs identified during relevant bi-national Commission meetings.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds may only be used to support activities outlined in the approved project plan. The successful applicant will be responsible for the overall management of activities within the scope of the approved project plan. Please consult the HHS GPS Section II and 45 CFR 75.308 for aspects of your grant award that will require prior approval for any changes from the Grants Management Officer. The allowability, allocability, reasonableness, and necessity of direct and indirect costs that may be charged to HHS/OASH grants must be in accordance with Department regulations and policy effective at the time of the award. Current requirements are outlined at 45 CFR part 75 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards. Indirect costs may be included per 45 CFR

75.414. Applicants should indicate which method and/or rate is used for this application. Pre-award costs are not allowed. The Consolidated Appropriations Act, 2016 (P.L. 114-113) limits the salary amount that you may be awarded and charge to HHS grants and cooperative agreements. Grant funds may only be used to support activities outlined in the approved project plan. The successful applicant will be responsible for the overall management of activities within the scope of the approved project plan. Please consult the HHS GPS Section II and 45 CFR 75.308 for aspects of your grant award that will require prior approval for any changes from the Grants Management Officer. This award may also be subject to the HHS Policy on Promoting Efficient Spending: Use of Appropriated Funds for Conferences and Meetings, Food, Promotional Items, and Printing and Publications available at <http://www.hhs.gov/grants/contracts/contract-policies-regulations/efficient-spending/>.

Applicant Eligibility:

This is a limited eligibility cooperative agreement offered to the Arizona Department of Health Services/Office of Border Health; the California Department of Public Health/California Office of Bi-national Border Health; the New Mexico Department of Health/Office of Border Health; and the Texas Department of State Health Services/Office of Border Health. The BHCs establishing legislation (22 U.S.C. 290n) calls for close coordination and integration with each of the four state offices of border health, and enabled the Commission to locate its border health operations within those existing state offices to avoid duplicative efforts. The four U.S. offices of border health have extensive experience supporting the BHCs bi-national goals, objectives, and initiatives, and maintain important working relationships and shared ongoing initiatives with Mexico through the appropriate BHC outreach office(s) on the Mexican side of the border. Continuity and consistency in this bi-national effort in the border region is essential to the productivity and success of the BHC.

Beneficiary Eligibility:

This funding is intended to support projects aligned with the goals of the United States-Mexico Border Health Commission, and its mission to provide leadership to optimize health and quality of life for residents in the border region. Special target populations and organizations can include specific sub-groups of interest, such as disadvantaged or medically underserved areas, limited-English speaking groups, migrant populations, native communities, and community-based organizations. The cooperative agreement mechanism encourages the formation and development of collaborative partnerships to facilitate sustainability of efforts and to maximize impact in the border region.

Credentials/Documentation:

Applicants should provide documentation of the availability and qualifications (including expertise, relevant experience, education, and competence) of its proposed programmatic and administrative staff, as well as other personnel (including partner institutions, subcontractors, and consultants) who would perform required work activities. Acceptable documentation could include resumes/CVs, letters of endorsement, and narrative descriptions of previous work.

Applicants should also provide documentation that demonstrates relevant depth of previous experiences communicating, coordinating, and collaborating with key stakeholders on multi-year border health initiatives involving relevant multi-sectoral partners (including those focusing on clinical medicine, public health, community outreach, academic research, government programs, policy making, etc.). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be

incomplete or arrive after the deadline will be returned without comment. Each HHS/OGA Program's office is responsible for facilitating the process of evaluating applications and setting funding levels according to the criteria set forth in the Funding Opportunity Announcement. An independent review panel will evaluate applications that pass the screening and meet the responsiveness criteria if applicable. These reviewers are experts in their fields, and are drawn from academic institutions, non-profit organizations, state and local government, and Federal government agencies. Based on the Application Review Criteria (as outlined under Section E.1 of the Funding Opportunity Announcement), the reviewers will comment on and score the applications, focusing their comments and scoring decisions on the identified criteria. In addition to the independent review panel, Federal staff will review each application for programmatic, budgetary, and grants management compliance. Final award decisions will be made by the HHS Assistant Secretary for Global Affairs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. HHS/OASH seeks to award funds as much in advance of the anticipated project start date shown in Section B Federal Award Information, as practicable, with a goal of 10-15 days.

If you are unsuccessful, you will be notified by the program office by email and/or letter and will receive summary comments pertaining to the application resulting from the review process. On occasion, some applicants may receive a letter indicating that an application was approved but unfunded. These applications are kept active for one year and may be considered for award without re-competing should funds become available during the hold period.

Appeals:

Not Applicable.

Renewals:

Project period is for 3 years. Each year of the approved project period, you will be required to submit a noncompeting application which includes a progress report for the current budget year, and work plan, budget and budget justification for the upcoming year. Specific guidance will be provided via Grant Solutions well in advance of the application due date. HHS/OASH will award continuation funding based on availability of funds, satisfactory progress of the project, and grants management compliance, including timely reporting. Additionally, failure to provide final progress or financial reports on other grants with HHS may affect continuation funding.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Office of Global Affairs intends to make available approximately \$1,100,000 for cooperative agreements during the second year of this cooperative agreement (July 1, 2017-June 30, 2018), and anticipates making four awards. OGA will fund grants in annual increments (budget periods) and for an anticipated project period of up to five years. Cost-sharing is not required, but development of sustainable partnerships and collaborative initiatives is highly encouraged. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. Quarterly cash reporting to the HHS Payment Management System on the Federal Financial Reports (FFR) (SF-425) is required. Please note, at this time, these FFR reports are separate submissions via the Payment Management System. You must submit performance reports on a semi-annual basis. Your performance reports must address content required by 45 CFR 75.342(b)(2). You must submit your performance reports by upload to our grants management system (GrantSolutions.gov), in the Grant Notes module. You will also be required to submit a final progress report covering the entire project period 90 days after the end of the project period. You must submit the final report by upload to our grants management system

(GrantSolutions.gov), in the Grant Notes module. You will be required to submit quarterly and annual Federal Financial Reports (FFR) (SF-425). Your specific reporting schedule will be issued as a condition of grant award. You will also be required to submit a final FFR covering the entire project period 90 days after the end of the project period. You must submit FFRs via our grants management system (GrantSolutions.gov) FFR module. In accordance with 45 CFR 75.301, reporting requirements must be clearly articulated such that, where appropriate, performance during the execution of the Federal award can be measured.

Over the five-year cooperative agreement period, the grantee should remain in close communication with the BHC U.S. Section Office and HHS/OGA to collaboratively develop a detailed evaluation plan for each annual budget period (i.e. Program Years 1 through 5).

At the end of each funding year of this initiative, the grantee should be able to:

- * Describe the process for partnership development with key organizations, and share feedback on the progress of more complex binational, cross-border, or border-wide partnerships.
- * Describe collaborations to address priority border health issues, and progress towards sustainability of border health initiatives and activities.
- * Describe outcomes achieved as a result of the influence of the grantee, the BHC, or other implementing partners.
- * Describe border community involvement for relevant border health activities.
- * Describe accomplishments and progress toward achieving BHC goals and addressing Healthy Border 2020 priority issues.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings. HHS and the Comptroller General of the United States or any of their designated authorized officials shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. Grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$1,300,000; FY 17 est \$1,100,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Fiscal Year 2014: \$1,300,000
Fiscal Year 2015: \$1,350,000
Fiscal Year 2016: \$1,300,000
Fiscal Year 2017: \$1,100,000

Anticipated future budget period amounts are dependent on the availability of funding.

TAFS Codes:

75-09-2.60-2.60-42.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are described in the application instructions. If you are successful and receive a Notice of Award, in accepting the grant award, you stipulate that the award and any activities thereunder are subject to all provisions of 45 CFR part 75, currently in effect or implemented during the period of the grant or other Department regulations and policies effective at the time of the award. In addition, your organization must comply with all terms and conditions outlined in the Notice of Award, the U.S. Department of Health and Human Services (HHS) Grants Policy Statement (GPS), requirements imposed by program statutes and regulations and HHS grant administration regulations, as applicable, as well as any requirements or limitations in any applicable appropriations acts. The current HHS GPS is available at <http://www.hhs.gov/asfr/ogapa/aboutog/hhsgps107.pdf>. Please note HHS plans to revise the HHS GPS to reflect changes to the regulations; 45 CFR parts 74 and 92 have been superseded by 45 CFR part 75.

Regional or Local Office:

See Regional Agency Offices. U.S. Section of the United States-Mexico Border Health Commission
Office of Global Affairs, HHS
211 N. Florence, Suite 101- El Paso, TX 79901
Phone: 915-532-1006 or 1-866-785-9867
Email: bhc@borderhealth.org

For information on program requirements, contact the program office.

Office of Global Affairs (OGA)
330 C Street SW, Room 2300 Washington, DC 20201
Phone: (202) 690-6174, (202)-260-0399
Email: globalhealth@hhs.gov.

Headquarters Office:

Eric C. West For information related to administrative and budgetary requirements, contact the HHS/OASH Office of Grants Management Specialist listed below.
DeWayne Wynn
1101 Wootton Parkway, Suite 550
Rockville, MD 20852
Phone: 240-453-8822
Email: Dewayne.Wynn@hhs.gov
, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822

Website Address:

<http://www.hhs.gov/about/agencies/oga/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Technical Approach: 30 points; Organizational Approach: 20 points; Personnel Qualifications: 20 points; Border Coordination Experience: 30 points.

93.019 TECHNICAL ASSISTANCE AND PROVISION FOR FOREIGN HOSPITALS AND HEALTH ORGANIZATIONS

(Technical Assistance and Provision for Foreign Hospitals and Health Organizations)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

The Omnibus Appropriations Act, 2009, appropriated funds to HHS for carrying out Title III of the Public Health Service Act (PHS Act). Public Law 111-8, 123 Stat. 524, 777 (March 11, 2009).
b. Pursuant to Section 307(a)(2) of the PHS Act, 42 U.S.C. Section 242l(a)(2), HHS is authorized to participate with other countries in cooperative endeavors in biomedical research, health care services, health care research, or other related activities in furtherance of the activities, objectives or goals authorized under the Tom Lantos and Henry J. Hyde United States Global Leadership

Against HIV/AIDS, Tuberculosis, and Malaria Reauthorization Act of 2008 (PEPFAR Reauthorization Act). The purpose of the PEPFAR Reauthorization Act, 22 U.S.C. Section 7603, is to strengthen and enhance United States leadership and the effectiveness of the United States response to the HIV/AIDS, tuberculosis, and malaria pandemics and other related and preventable infectious diseases as part of the overall United States health and development agenda. , 42 U.S.C 2421(a)(2).

OBJECTIVES:

To provide support for a quality of care improvement project based in a partner healthcare institution around the world, to provide continuing education and refresher training to physicians and other staff at hospitals and other Health Organizations around the world, and strengthen support and relations with Ministers of Public Health (MOPH).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to cover costs of: personnel; consultants; grant related office supplies and software; grant related travel (domestic only); educational, promotional and evaluation materials; and other grant related costs. Funds shall not be used to fund direct health care services or equipment for patients (e.g., diagnostic tests, screening equipment, treatment, etc.). Also, funds may not be used for: building alterations or renovations, construction, screening supplies or equipment, incentives and prizes, food, fund raising activities, political education and lobbying, and other activities that are not grant related.

Applicant Eligibility:

none.

Beneficiary Eligibility:

Citizens of the world.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All grant announcements are posted on www.grants.gov.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with HHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be determined by the Director, Office of Global Health Affairs and will take into consideration the recommendations and ratings of the review panel; program needs, and stated preferences.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 60 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Support is recommended for a specified project period (5 years), and is not generally considered for renewal beyond that period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies, but a project period is generally limited to 5 years or less. Within a project period, continuation applications must be submitted annually on a noncompeting basis for each year of support. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 75, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

\$650,000.00 to \$7,900,000.

TAFS Codes:

75-10-10-11-0117.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact:
Biomedical Advanced Research and Development Authority (BARDA)
Office of the Assistant Secretary for Preparedness and Response
U.S. Department of Health and Human Services.

Headquarters Office:

Julie Schafer 200 Independence Ave., SW., Washington, District of Columbia 20201 Email: Julie.Schafer@hhs.gov Phone: 202-205-1435

Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be screened by OGHA staff for completeness and for responsiveness to the program guidance. Applicants should pay strict attention addressing these criteria, as they are the basis upon which applications will be

judged. Those applications judged to be non-responsive or incomplete will be returned to the applicant without review. Applications that are complete and responsive to the guidance will be evaluated for scientific and technical merit by an appropriate peer review group specifically convened for this solicitation and in accordance with HHS policies and procedures. As part of the initial merit review, all applications will receive a written critique. All applications recommended for approval will be discussed fully by the ad hoc peer review group and assigned a priority score for funding. Eligible applications will be assessed according to the following criteria: Technical Approach 45 points; Experience and Capabilities of the Organization 45 points; and Facilities and Resources 10 points.

93.041 SPECIAL PROGRAMS FOR THE AGING, TITLE VII, CHAPTER 3, PROGRAMS FOR PREVENTION OF ELDER ABUSE, NEGLECT, AND EXPLOITATION

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act, Title 7, Section 721.

OBJECTIVES:

To support activities to develop, strengthen, and carry out programs for the prevention, detection, assessment, and treatment of, intervention in, investigation of, and response to elder abuse, neglect, and exploitation (including financial exploitation), including: (1) providing for public education and outreach to identify and prevent elder abuse, neglect, and exploitation; (2) providing for public education and outreach to promote financial literacy and prevent identity theft and financial exploitation of older individuals; (3) ensuring the coordination of services provided by area agencies on aging with services instituted under the State adult protection service program, State and local law enforcement systems, and courts of competent jurisdiction; (4) promoting the development of information and data systems, including elder abuse reporting systems, to quantify the extent of elder abuse, neglect, and exploitation in the State; (5) conducting analysis of State information concerning elder abuse, neglect, and exploitation and identifying unmet service, enforcement, or intervention needs; (6) conducting training for individuals, professionals, and paraprofessionals, in relevant fields on the identification, prevention, and treatment of elder abuse, neglect, and exploitation, with particular focus on prevention and enhancement of self determination and autonomy; (7) providing technical assistance to programs that provide or have the potential to provide services for victims of elder abuse, neglect, and exploitation and for family members of the victims; (8) conducting special and on going training for individuals involved in serving victims of elder abuse, neglect, and exploitation, on the topics of self determination, individual rights, State and Federal requirements concerning confidentiality, and other topics determined by a State agency to be appropriate; (9) promoting the development of an elder abuse, neglect, and exploitation system; (10) examining various types of shelters serving older individuals (in this paragraph referred to as safe havens), and testing various safe haven models for establishing safe havens (at home or elsewhere), that recognize autonomy and self-determination, and fully protect the due process rights of older individuals; and (11) supporting multidisciplinary elder justice activities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are awarded to State Agencies on Aging to develop and enhance comprehensive and coordinated programs for the prevention and treatment of elder abuse, neglect, and exploitation, consistent with relevant State law and coordinated with State adult protective service activities. A State plan covering 2, 3, or 4 years, with annual revisions as necessary, must be submitted for approval to the Assistant Secretary on Aging. Funds are used to develop, strengthen, and carry out activities for prevention and treatment of elder abuse, neglect and exploitation.

Applicant Eligibility:

All States and U.S. Territories which have State Agencies on Aging designated by the governors.

Beneficiary Eligibility:

Individuals 60 years of age and older, targeting those older individuals with the greatest social needs and those with the greatest economic needs.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with 45 CFR Part 75. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Consult the appropriate Regional Office for State application instructions. (See Appendix IV of the Catalog).

Award Procedure:

The Administration for Community Living awards funds through a statutory formula to State Agencies on Aging after review and evaluation of submitted state plans.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. States are entitled to these grants by statute. However, the grants can be terminated by the Assistant Secretary for Aging if the required State Plan is not approved, or if the required intrastate funding formula is not approved.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 1321 and Section 2112 of Title 28, U.S.C.

Renewals:

From 60 to 90 days. Applications are submitted for a 2-, 3-, or 4-year period and are revised as necessary.

Formula and Matching Requirements:

Statutory Formula:
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

One year. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

Program reports are required. Submitted through the payment management system. No progress reports are required. SF-425. Through the appropriate Regional Office.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 16 \$4,751,881; FY 17 est \$4,742,357; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Average: \$84,500
FY16 Range \$2,958-\$471,073.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75 and 45 CFR 1321.
To assist state efforts in developing comprehensive State Plans, the U.S. Administration on Aging provided technical support funding to the National Association of State Units on Aging to develop the TASC planning zone, which includes information on elder abuse prevention programming:
<http://nasua.org/tasc/>

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration for Community Living, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog.).

Headquarters Office:

Stephanie Eliason 330 C Street, SW, Washington, District of Columbia 20201
Email: stephanie.whittiereliason@acl.hhs.gov Phone: 202-795-7467

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.042 Special Programs for the Aging Title VII, Chapter 2 Long Term Care Ombudsman Services for Older Individuals

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.042 SPECIAL PROGRAMS FOR THE AGING, TITLE VII, CHAPTER 2, LONG TERM CARE OMBUDSMAN SERVICES FOR OLDER INDIVIDUALS

(State Grants for Long Term Care Ombudsman Services)

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, as amended, Section 702 (a).

OBJECTIVES:

The principal role of this Ombudsman Program is to investigate and resolve complaints made by or on behalf of residents of nursing homes or other long-term care facilities. Ombudsmen also promote policies and practices needed to improve the quality of care and life in long-term care facilities and educate both consumers and providers about residents' rights and good care practices.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are awarded to States to develop or strengthen service systems through designated State Agencies on Aging, Area Agencies on Aging and other entities. Funds under this Title and Chapter are included in State aging plans

covering 2, 3, or 4 years, with annual revisions as necessary, submitted for approval to the Assistant Secretary for Aging. Funds must be used to design and implement programs for the provision of long-term care ombudsman services for individuals living in long-term care facilities.

Applicant Eligibility:

All States and U.S. Territories which have State Agencies on Aging designated by the governors.

Beneficiary Eligibility:

Individuals residing in long-term care facilities or requiring assistance in entering or transferring from such facilities.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 75 of Title 45 of the Code of Federal Regulations. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Consult the appropriate Regional Office for State application instructions. (See Appendix IV of the Catalog for listing).

Award Procedure:

The Administration for Community Living awards funds through a statutory formula to State Agencies on Aging.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

States and territories are entitled to these grants by statute.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 16.

Renewals:

Applications are submitted for a 2-, 3-, or 4-year period and revised as necessary.

Formula and Matching Requirements:

Statutory Formula: This program has minimum funding requirements, see funding agency for further details.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

One year. Method of awarding/releasing assistance: lump sum.

Reports:

Federal Financial Report, SF-425. Federal Financial Report, SF-425. as noted in the Program Announcement. SF-425. as noted in the Program Announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part

75.500.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 16 \$15,837,665; FY 17 est \$15,814,316; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

FY15 Range: \$9,829 - \$1,618,546; Average: \$280,824.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 1324 (renumbered effective July 1, 2016)

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration for Community Living, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog.).

Headquarters Office:

Louise Ryan Dept of Health and Human Services, Administration for Community Living
330 C Street, SW, Washington, District of Columbia 20201 Email:
Louise.Ryan@acl.hhs.gov Phone: 206-615-2514

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.041 Special Programs for the Aging Title VII, Chapter 3 Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.043 Special Programs for the Aging Title III, Part D Disease Prevention and Health Promotion Services; 93.044 Special Programs for the Aging Title III, Part B Grants for Supportive Services and Senior Centers; 93.045 Special Programs for the Aging Title III, Part C Nutrition Services; 93.048 Special Programs for the Aging Title IV and Title II Discretionary Projects; 93.052 National Family Caregiver Support, Title III, Part E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made on a non-competitive basis.

93.043 SPECIAL PROGRAMS FOR THE AGING, TITLE III, PART D, DISEASE PREVENTION AND HEALTH PROMOTION SERVICES

Special Programs for the Aging, Title III, Part D, Disease Prevention and Health Promotion Services

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, Title III, Parts A and F, Public Law 89-73, as amended; Public Law 90-42, 81 Stat. 106; Public Law 91-69, 83 Stat. 108; Public Law 93-29, 87 Stat. 30; Public Law 93-351, 88 Stat. 357; Public Law 94-135, 89 Stat. 713; Public Law 95-65, 91 Stat. 269; Public Law 95-478, 92 Stat. 1513; Public Law 97- 115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Public Law 100- 175, 101 Stat. 926; Section 705, Public Laws 100-628, 102-375, and 106-501, 42 U.S.C. 3022-3030(M).

OBJECTIVES:

To develop or strengthen preventive health service and health promotion systems through designated State Agencies on Aging and Area Agencies on Aging. Funds are provided for evidence-based disease prevention and health

promotion services including programs related to: physical fitness; medication management; chronic disease self-management education; psychosocial behavioral health intervention; HIV; arthritis; brain health; diabetes; falls prevention; depression; and chronic pain.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are awarded to States to develop or strengthen preventive health service and health promotion systems through designated State Agencies on Aging and Area Agencies on Aging. A State plan covering 2, 3, or 4 years, with annual revisions as necessary, must be submitted to the Administration for Community Living regional office for approval. Funds are to be used only for disease prevention and health promotion programs which have been demonstrated through rigorous evaluation to be evidence-based and effective. Funds are used to implement evidence-based programs for preventive health services to be provided at senior centers or alternative sites as appropriate. The preventive health services under this part may not include services eligible for reimbursement under Medicare.

Applicant Eligibility:

Only States and U.S. Territories which have State Agencies on Aging designated by the governors are eligible to receive these grants.

Beneficiary Eligibility:

Older individuals, age sixty and older, especially those living in areas of States which are medically underserved and in which there are a large number of older individuals who have the greatest economic need for the services.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Consult the appropriate Regional Office for State application instructions.

Award Procedure:

The Administration on Aging awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award funds to substate level organizations, which they have designated.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. States are entitled to these grants by statute.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 1321 and Section 2112 of Title 28, U.S.C.

Renewals:

Applications are submitted for a 2,3 or 4-year period with annual revisions.

Formula and Matching Requirements:

Statutory Formula: Statutory Formula: The State agency shall give priority to areas of the State

(1) which are medically underserved; and

(2) in which there are a large number of older individuals who have the greatest economic need for such services.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.
See funding agency for further details.

Length and Time Phasing of Assistance:

One year. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 16 \$19,692,964; FY 17 est \$19,664,255; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

FY15 \$12,281 - \$1,992,449; \$350,884
FY16 \$12,281 - \$1,992,449; \$350,884
FY17 est \$12,281 - \$1,992,449; \$350,884.

TAFS Codes:

75-01-4201-506.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration for Community Living, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog.).

Headquarters Office:

Casey DiCocco 330 C St SW, Washington, District of Columbia 20201 Email: Casey.DiCocco@acl.hhs.gov Phone: 617-565-1170

Website Address:

<https://www.acl.gov/programs/health-wellness/disease-prevention>

RELATED PROGRAMS:

93.044 Special Programs for the Aging Title III, Part B_Grants for Supportive Services and Senior Centers; 93.045 Special Programs for the Aging Title III, Part C_Nutrition Services; 93.052 National Family Caregiver Support, Title III, Part E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made on a non-competitive basis. State Grants are awarded upon

approval of a State Plan.

93.044 SPECIAL PROGRAMS FOR THE AGING, TITLE III, PART B, GRANTS FOR SUPPORTIVE SERVICES AND SENIOR CENTERS FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, Title III, Parts A and B, Public Law 89-73, as amended; Public Law 90-42, 81 Stat. 106; Public Law 91-69, 83 Stat. 108; Public Law 93-29, 89 Stat. 301; Public Law 93-351, 88 Stat. 357; Public Law 94-135, 89 Stat 713; Public Law 95- 65, 91 Stat. 269; Public Law 95-478, 92 Stat. 1513; Public Law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Public Law 100-175; Section 705, Public Law 100-628; 42 U.S.C. 3022-3030d; Public Law 106-501.

OBJECTIVES:

To encourage State Agencies on Aging and Area Agencies on Aging to concentrate resources to develop and implement comprehensive and coordinated community-based systems of service for older individuals via Statewide planning, and area planning and provision of supportive services, including multipurpose senior centers. The objective of these services and centers is to maximize the informal support provided to older Americans to enable them to remain in their homes and communities. Providing transportation services, in-home services, and other support services, this program insures that elders receive the services they need to remain independent.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are awarded to States to develop and strengthen comprehensive and coordinated service delivery systems through designated State Agencies on Aging and Area Agencies on Aging. A State plan covering 2, 3, or 4 years, with annual amendments as necessary, must be submitted for approval to the Assistant Secretary for Aging. Area Plans also cover 2, 3, or 4 years, and are to be submitted to State agencies for approval. These funds are distributed by intrastate funding formulas to area agencies in States with planning and service areas and directly to service providers in the 13 States designated as single-planning-and-service-area States. In addition to supportive nutrition services, these funds may be used to support other services in relation to multipurpose senior centers.

Applicant Eligibility:

Only State and U.S. Territories which have State Agencies on Aging designated by the Governors are eligible to receive these grants.

Beneficiary Eligibility:

Individuals age 60 and over, targeting those older individuals with the greatest economic needs, the greatest social needs, and those residing in rural areas.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 75 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program is subject only to the State Plan Consolidation Section of E.O. 12372. State plans are covered under this Section, but intergovernmental consultation review is excluded. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information

on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Consult the appropriate Regional Office for State application instructions. Also, see 45 CFR 1321.

Award Procedure:

The Administration on Aging awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award funds to sub-state level organizations, which they have designated.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. States are entitled to these grants by statute.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 1321 and Section 2112 of Title 28, U.S.C.

Renewals:

State Plans are submitted for a 2-, 3-, or 4-year period with annual revisions as necessary.

Formula and Matching Requirements:

Statutory Formula: Formula Grants are 85 percent Federal and 15 percent nonfederal funds. See funding agency for further details.

Matching Requirements: Percent: 15%.

This program has MOE requirements, see funding agency for further details. See funding agency for further details.

Length and Time Phasing of Assistance:

One year. The following for information on how assistance is awarded/released: Payment Management System. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

SF 425 Financial Status Report (semi-annually) and the Annual Program Performance Report. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable. Cash reports are not applicable. No progress reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 16 \$345,166,956; FY 17 est \$347,222,912; and FY 18 est \$0

Range and Average of Financial Assistance:

Average \$6,511,854.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75 and 45 CFR 1321.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Greg Link 330 C Street, SW., Washington, District of Columbia 20201 Email: Greg.Link@acl.hhs.gov Phone: 202-795-7386

Website Address:

<http://acl.gov/>

RELATED PROGRAMS:

93.041 Special Programs for the Aging Title VII, Chapter 3_Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.042 Special Programs for the Aging Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals; 93.043 Special Programs for the Aging Title III, Part D_Disease Prevention and Health Promotion Services; 93.045 Special Programs for the Aging Title III, Part C_Nutrition Services; 93.048 Special Programs for the Aging Title IV_and Title II_Discretionary Projects; 93.052 National Family Caregiver Support, Title III, Part E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Noncompetitive. State grants awarded based on approval of State Plan, and intrastate funding formula for States with area agencies.

93.045 SPECIAL PROGRAMS FOR THE AGING, TITLE III, PART C, NUTRITION SERVICES

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, Parts A and C, Public law 89-73, as amended; Public law 90-42, 81 Stat. 106; Public Law 91-69, 85 Stat. 108; Public Law 92-258, 86 Stat. 88; Public Law 93-87 Stat. 301; Public law 93-351, 88 Stat. 357; Public law 94-135, 89; Stat. 713; Public Law 95-65, 91 Stat. 269; Public law 95-478, 92 Stat. 513; Public law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Title III, Part C, Public Law 100-175; public Law 100-628; 42 U.S.C. 3030e-3030g; Public Law 102-375; Public 106-501.Public Law 114-144.

OBJECTIVES:

To provide grants to States and U.S. Territories to support nutrition services including nutritious meals, nutrition education and other appropriate nutrition services for older adults in order to maintain health, independence and quality of life. Meals and nutrition services are to be served in a congregate setting or delivered to the home, if the older adult is homebound.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are made available to State Units on Aging and U.S. Territories for use by local nutrition projects. Local projects must provide an appropriate meal, which complies with the current Dietary Guidelines for Americans and provides

one-third of the Dietary Reference Intakes (DRI). Meals must be provided at least once per day, 5 or more days per week, except in rural areas where such frequency is not feasible, to eligible people aged 60 and over and their spouses. Local projects may also use funds to provide nutrition education, nutrition counseling and other nutrition services, as appropriate, based on the needs of meal participants.

Applicant Eligibility:

Only States and U.S. Territories which have State Units on Aging designated by the governors are eligible to receive these grants.

Beneficiary Eligibility:

For Title III congregate meals, persons who are older adults (age 60 years and above) or a spouse of an older adult, regardless of age; disabled adults who live in housing facilities primarily occupied by older adults where a congregate site is located; disabled adults under age 60, who reside at home with older adults; and volunteers, regardless of age, who assist in meal service during meal hours. For Title III home-delivered meals, an older individual must be assessed to be homebound. The spouse of a homebound individual regardless of age or condition may receive a meal if receipt of the meal is assessed to be in the best interest of the homebound older adult.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 75 of Title 45 of the Code of Federal Regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Consult the appropriate Regional Office or HQ for State Plan instructions.

Award Procedure:

The Administration for Community Living awards funds through a statutory formula.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. States are entitled to these grants by statute.

Appeals:

Appeals are processed in accordance with the HHS regulations in 45 CFR Part 1321, and Section 2112 of Title 28, U.S.C.

Renewals:

State Plans are submitted for a 2, 3 or 4-year period, with annual revisions as necessary.

Formula and Matching Requirements:

Statutory Formula: See statute for formula description.
Matching Requirements: Percent: 15%. The nonfederal contribution may be in the form of cash or in kind contributions, including equipment or services. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

3 years. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

Report requirements are identified in the Notice of Award. Recipients will be required to complete cash report information in the Payment Management System when requesting funds. (Semi-annual) and the annual Program Performance Report are required. SF 425 Financial Status Report are required along with the completion of the supplemental form. Site visits, meeting

performance measures etc.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the current OMB Circular A-133 Compliance Supplement Part 4 and provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 16 \$669,721,620; FY 17 est \$670,871,519; and FY 18 Estimate Not Available - (Formula Grants) FY 16 est \$694,488,000 - (Formula Grants):FY 16 est.\$694,488,000

Congregate Nutrition Services:

FY 16 \$448,332,000, and FY 17 est \$454,091,000

Home-Delivered Nutrition Services:

FY 16 \$ 226,342,000, and FY 17 est 234,397,000.

Range and Average of Financial Assistance:

Congregate Nutrition Services:

FY 16 Range: \$283,444 to \$46,294,011 FY 16 Average: \$8,098,394

Home-Delivered Nutrition Services:

FY16 Range: \$146,271 to \$24,229,113 FY 16 Average: \$4,179,161.

TAFS Codes:

75-01-4201-506.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75 and 45 CFR 1321.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Regional Administrator, Administration for Community Living, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog.)

Headquarters Office:

Holly Greuling Office of Nutrition and Health Promotion Programs, Administration on Aging, Administration for Community Living, DHHS, 330 C Street SW, Washington, District of Columbia 20201 Email: Holly.Greuling@acl.hhs.gov Phone: 202-795-7355

Website Address:

http://www.aoa.acl.gov/AoA_Programs/HPW/Nutrition_Services/index.aspx

RELATED PROGRAMS:

93.041 Special Programs for the Aging_Title VII, Chapter 3_Programs for

Prevention of Elder Abuse, Neglect, and Exploitation; 93.042 Special Programs for the Aging Title VII, Chapter 2 Long Term Care Ombudsman Services for Older Individuals; 93.043 Special Programs for the Aging Title III, Part D Disease Prevention and Health Promotion Services; 93.044 Special Programs for the Aging Title III, Part B Grants for Supportive Services and Senior Centers; 93.048 Special Programs for the Aging Title IV and Title II Discretionary Projects; 93.052 National Family Caregiver Support, Title III, Part E; 93.053 Nutrition Services Incentive Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Mandatory formula awards. State grants are awarded based on approval of a State plan.

93.047 SPECIAL PROGRAMS FOR THE AGING, TITLE VI, PART A, GRANTS TO INDIAN TRIBES, PART B, GRANTS TO NATIVE HAWAIIANS

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, Title VI, Public Law 89-73, 92 Stat. 1513.

OBJECTIVES:

To promote the delivery of supportive services, including nutrition services, to American Indians, Alaskan natives, and Native Hawaiians that are comparable to services provided under Title III.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are available through grants to eligible Indian Tribal Organizations for services comparable to those provided under Title III. Services must include nutrition services and information and referral, and may include transportation and other services authorized under Title III. Funds may be used for staffing senior centers. Indian Tribes receive funds based on a formula that considers the number of eligible elder Indians sixty years of age or older represented by the tribal organizations with population ranges from 50-100, 101-200, 201-300, 301-400, 401-500, 501 to 1,500, and 1,501+. Grants also are available to private or nonprofit organizations having the capacity to provide services to older Native Hawaiians.

Applicant Eligibility:

Tribal organizations of Indian tribes eligible for assistance under Section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b), and public or nonprofit private organizations which serve Native Hawaiian Elders, which represent at least 50 Indians or Hawaiians 60 years of age or older. Applicants must demonstrate that they have the ability to deliver supportive and nutrition services.

Beneficiary Eligibility:

Indians who are 60 years of age and older, and in the case of nutrition services, their spouses. Tribes also have the authority to define Indians under 60 years of age as "older Indian" making them eligible for services.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Parts 75 and 92 of Title 45 of the Code of Federal Regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In order to receive a grant, the eligible tribal organization, or for Native Hawaiians, the nonprofit

private organization serving Native Hawaiians, must submit an application in accordance with instructions published in the Grants.gov by the Administration on Aging.

Award Procedure:

Notification of award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Usually within 60 to 120 days from closing date or receipt of application.

Appeals:

Appeals are processed in accordance with Administration on Aging regulations in 45 CFR 1326 and 1328.

Renewals:

Renewals within the grant period are based on satisfactory performance during the prior year, and the availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details. See funding agency and program statute for further details.

Length and Time Phasing of Assistance:

Funds for grants are awarded for no less than a 12-month period. . All funds must be expended 90 days after the end of the project year. See the following for information on how assistance is awarded/released: Grantees receive a notice of award via grants solutions and funds are released via Payment Management System.

Reports:

A program performance and SF-425 financial report are due annually to the funding agency. No performance monitoring is required. Submitted through the Payment Management System. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

All records for this program including financial reports/program reports, payment management records must be maintained for 3 years after submission of the final expenditure reports.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 16 \$29,773,242; FY 17 est \$30,272,931; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

FY 16 Ranges for Part A - \$75,540-\$186,042; Part B - \$1,505,000
FY 17 Ranges for Part A - \$73,990-\$181,831; Part B - \$1,505,000.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1328. Guidelines are issued by the Assistant Secretary for Aging.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration for Community Living, Department of Health and Human Services Regional Offices (See Appendix IV of the Catalog).

Headquarters Office:

Cynthia LaCounte 330 C Street, SW, Washington, District of Columbia 20201
Email: Cynthia.LaCounte@acl.hhs.gov Phone: 202-357-0148

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.041 Special Programs for the Aging Title VII, Chapter 3 Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.042 Special Programs for the Aging Title VII, Chapter 2 Long Term Care Ombudsman Services for Older Individuals; 93.043 Special Programs for the Aging Title III, Part D Disease Prevention and Health Promotion Services; 93.044 Special Programs for the Aging Title III, Part B Grants for Supportive Services and Senior Centers; 93.045 Special Programs for the Aging Title III, Part C Nutrition Services; 93.052 National Family Caregiver Support, Title III, Part E

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Awards are made based upon the extent to which the project meets the requirements of the law and regulations.

93.048 SPECIAL PROGRAMS FOR THE AGING, TITLE IV, AND TITLE II, DISCRETIONARY PROJECTS

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, Title IV, Public Law 89-73, 79 Stat. 218, as amended; Public Law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Public Law 100-175; Public Law 100-628, 42 U.S.C. 3031-3037b; Public Law 102-375; Public Law 106-501.

OBJECTIVES:

To support the development and testing of innovative programs, services and systems of support that respond to the needs of the nations growing elderly population and those individuals in need of long term services and supports (LTSS), to improve knowledge of the problems and needs of the elderly, to help ensure adequately trained personnel in the field of aging, and to demonstrate better ways of improving the quality of life for the elderly, their caregivers and people with disabilities.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Each year, AoA/ACL issues multiple Funding Opportunity Announcements. The specific use of funds will vary across these multiple announcements. Depending on the specific program goals and objectives cited in an individual announcement, funds may be used for any one or more, but not necessarily all, of the following: (a) to demonstrate and/or replicate new or proven methods and practices to improve the quality and effectiveness of programs and services; (b) to ensure proven programs and approaches are replicated with fidelity to the original research (c) to evaluate new or existing programs and services; (d) to conduct applied research and analysis to improve access to and delivery of services; (e) to provide training and technical assistance to professionals in the field. Funds may not be used for: (a) construction and/or major rehabilitation of buildings; (b) basic research (e.g., bio-medical experiments); (c) to substitute, supplant or expand funding for Older American Act formula funded programs,

including supportive and nutritional services funded under Title III of the Older Americans Act; (d) medical care, institutional care or income maintenance; (e) projects which do not involve innovative approaches, and whose outcomes do not have the potential for national dissemination and replication; (f) equipment purchases unless the equipment is necessary to carry out a project that is otherwise fundable under Title IV.

Applicant Eligibility:

Grants may be made to any public or nonprofit private agency, organization, or institution. Contracts may be awarded to any agency, organization or institution. Grants and contracts are not available to individuals.

Beneficiary Eligibility:

Older individuals aged 60 and older, family caregivers and grandparents, and older individuals who are relative caregivers, individuals at high-risk of institutional placement, and individuals in need of assistance with or planning ahead for their long-term care needs.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 75 and 92 of Title 45 of the Code of Federal Regulations. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The availability of competitive grant funds under Title IV is announced from time to time via program announcements that are simultaneously published on www.grants.gov and on the AoA/ACL website at <http://www.aoa.gov/AoARoot/Grants/Funding/index.aspx>. In addition, announcements intended for a wide audience of applicants may be published in the Federal Register. All required forms and instructions for specific program announcements can be found on the AoA/ACL website. Contract information is published in the "Commerce Business Daily."

Award Procedure:

Notification of award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Grant extensions may be requested from the grantee's Program Officer, but approvals are granted by the Grants Management office.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. This program has no statutory formula. Matching requirements may be required of any grantee to the extent deemed appropriate by the Assistant Secretary for Aging/Administrator for Community Living and are generally set at a minimum of 25 percent of the total cost of the project.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, projects are approved for a period of 12 to 36 months. Support beyond the first year is contingent upon the availability of funds and evidence of satisfactory progress. Method of awarding/releasing assistance: by letter of

credit.

Reports:

Program reports are not applicable. SF-425. Semi-annual progress reports and final reports are required of grantees. SF-425. Performance monitoring accomplished through site visits, review of progress reports, and general interaction between the Federal Program Officer and grantee.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be maintained for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$36,323,928; FY 17 est \$36,323,928; and FY 18 est \$36,323,928 - none at this time.

Range and Average of Financial Assistance:

na.

TAFS Codes:

75-01-1-0142-506.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See Chapter XIII, Title 45 of the Code of Federal Regulations on AoA supported projects. Annual guidelines, program information, and application instructions are available from the Administration on Aging, Washington, DC 20201.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration on Aging/Administration for Community Living, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for addresses).

Headquarters Office:

Greg Link Department of Health and Human Services, Administration for Community Living, 330 C St. SW., Room 1144, Washington, District of Columbia 20201 Email: Greg.link@acl.hhs.gov Phone: 202 795-7386

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.041 Special Programs for the Aging Title VII, Chapter 3_Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.042 Special Programs for the Aging Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals; 93.043 Special Programs for the Aging Title III, Part D_Disease Prevention and Health Promotion Services; 93.044 Special Programs for the Aging Title III, Part B_Grants for Supportive Services and Senior Centers; 93.051 Alzheimer's Disease Demonstration Grants to States;

93.052 National Family Caregiver Support, Title III, Part E; 93.990 National Health Promotion

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Details for evaluating applications are published as part of each Title IV program announcement. Major emphases are: (1) documented need; (2) expected outcomes and benefits; (3) innovative approach; (4) level of effort; (5) involvement of community-based organizations in planning and implementation activities; and, (6) projects that benefit disadvantaged groups, including low-income, minority and limited English speaking populations.

93.051 ALZHEIMER'S DISEASE DEMONSTRATION GRANTS TO STATES

Alzheimer's Disease Supportive Services Program

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Sec 398 of the Public Health Service Act (P.L. 78-410; 42 U.S.C. 280c-3.

OBJECTIVES:

To expand the availability of diagnostic and support services for persons with Alzheimer's Disease and Related Dementias (ADRD), their families, and their caregivers, as well as to improve the responsiveness of the home and community-based care system to persons with dementia.

ADSSPS grantees continue to implement two types of ADSSP projects, dementia capability and translation projects. Dementia capability grants require states to help ensure that people with dementia and their family caregivers have access to a home and community-based services system. Such a system identifies those with dementia, ensures that program staff have appropriate dementia care training, and assures delivery of quality home and community-based services. Evidence-based grants require states to demonstrate how existing evidence-based interventions designed to help people with ADRD and their family caregivers can be translated into effective supportive service programs at the community level.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

(1) Not less than 50 percent of the federal grant must be spent on direct services (home health care, personal care, day care, companion services, short-term care in health facilities, and other respite care to individuals with Alzheimer's disease and related disorders). (2) Grant funds may not be used to replace existing resources: State compensation program, Federal or state health benefits program, or private insurance funds. (3) Matching funds are required. (4) Not more than 10 percent of the grant will be expended for administrative expenses.

Applicant Eligibility:

State government agencies are eligible for grant awards; the applicant agency is encouraged to have the support and active involvement of the Single State Agency on Aging. Only one application per State will be funded, however, multiple state and local agencies are encouraged to collaborate in planning and carrying out the project.

Beneficiary Eligibility:

(1) Individuals with Alzheimer's disease and related disorders; (2) families of those individuals; and (3) care providers of those individuals.

Credentials/Documentation:

Applicants must submit: (1) A description of intended use of the grant; (2) information describing programs and activities to be supported; (3) the number of individuals receiving services and the cost of services; and (4) a plan describing coordination of such services. Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Grant Application for Federal Assistance (Nonconstruction Programs) are in accordance with funding opportunity announcements developed by the Administration on Aging (AoA)/Administration for Community Living and will be published in Grants.gov when funding is available.

Award Procedure:

After review and approval, notification of award will be issued to the grantee, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As specified in guidelines published in the Funding Opportunity Announcement.

Appeals:

Not Applicable.

Renewals:

As outlined in the Funding Opportunity Announcement.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 25%. Match Requirements: 25% match (cash and/or in-kind) during the first year, 35% during the second year, and 45% during the third and subsequent years of the grant period. Waivers to these match requirements are not allowed.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are typically 3 years in duration. Length of future awards will be published in the Program Announcement and on Grants.gov. Support beyond the first year is contingent upon the availability of funds and evidence of satisfactory progress. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

As outlined in the Funding Opportunity Announcement. Semi-annual progress reports and final reports are required of grantees. Financial SF-425, Performance monitoring accomplished through site visits, review of progress reports and general interaction between the Federal Program Officer and grantee. Payment Management System reporting. See Program Funding Opportunity Announcement, SF425. Through site visits and reports. Payment Management System reporting. See Program Announcement, SF425. Through site visits and reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Cooperative Agreements) FY 16 \$4,800,000; FY 17 est \$4,791,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

5 grants were awarded for a total of \$2,294,892 in FY15. The average was \$458,978 per award.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Home Health Care and Alzheimer's Disease Amendments of 1990 (Public Law 101-557); Health Professions Education Partnerships Act of 1998 (Public Law 105-392).

Regional or Local Office:

None. Administration for Community Living, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for listings.).

Headquarters Office:

Erin Long 330 C Street SW , Washington, District of Columbia 20201 Email: Erin.Long@ACL.HHS.gov Phone: (202) 795-7389

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.052 National Family Caregiver Support, Title III, Part E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As specified in the funding opportunity announcement.

93.052 NATIONAL FAMILY CAREGIVER SUPPORT, TITLE III, PART E

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act, as amended, Public Law 106- 501, Title III, Part E.

OBJECTIVES:

To assist States, Territories in providing multifaceted systems of support services for: (1) Family caregivers; and (2) older relative caregivers. Services to be provided include: information to caregivers about available services; assistance to caregivers in gaining access to the services; individual counseling, and caregiver training to caregivers to assist the caregivers in making decisions and solving problems relating to their caregiving roles; respite care to enable caregivers to be temporarily relieved from their caregiving responsibilities; and supplemental services, on a limited basis, to complement the care provided by caregivers.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

This authority is for making grants to States under Title III-E to enable the provision of multifaceted systems of support services for family caregivers; and for grandparents or older individuals who are relative caregivers.

Applicant Eligibility:

Formula grants: State governments and U.S. Territories, with distribution to

designated area agencies on aging through an approved State plan and intrastate funding formula.

Beneficiary Eligibility:

Family caregivers, grandparents and older individuals who are relative caregivers will benefit.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Formula Grants - This program is subject only to the State Plan Consolidation Section of E.O. 12372. State plans are covered under this Section, but Intergovernmental Consultation Review is excluded. Applicants are encouraged to consult with the Administration for Community Living in the development of the application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Formula Grants - Application Procedures: Consult with the appropriate Regional Office for State application instructions.

Award Procedure:

Formula Grants - The Administration for Community Living awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award funds to designated sub-state level organizations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Formula Grants - States are entitled to these grants by statute.

Appeals:

Formula Grants - Appeals are processed in accordance with the HHS regulations in 45 CFR, Part 1321, and Section 2112 of Title 28, U.S.C.

Renewals:

Formula Grants - State plans are submitted for a 2,3,or 4-year period, with annual revisions as necessary.

Formula and Matching Requirements:

Statutory Formula: Title 45 CFR 1321.49. Formula grants (Title III-E) -- are 75 percent Federal and 25 percent nonfederal. The nonfederal contribution may be in the form of cash or in-kind contributions, including equipment or services. Matching Requirements: Percent: 25%. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

One year. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

Program progress reports are required semi-annually for formula and project grants. Information submitted through the Payment Management System. Annual Reports. A SF-425 Financial report is due at the end of each grant year. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that

expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Financial records must be kept available for 3 years after submission of expenditure reports and 5 years after final disposition of non-expendable property in accordance with the regulations at 45 CFR 74, Subpart D.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 16 \$150,586,000; FY 17 est \$150,299,736; and FY 18 est \$150,300,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Formula and Project Grants: 45 CFR 75,

Regional or Local Office:

See Regional Agency Offices. Administration for Community Living Regional Administrator, Administration for Community Living, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for listings.).

Headquarters Office:

Greg Link 330 C Street, SW, Washington, District of Columbia 20201 Email: greg.link@acl.hhs.gov Phone: 202-795-7386

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**93.053 NUTRITION SERVICES INCENTIVE PROGRAM
NSIP**

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, Parts A and C, Public law 89-73, as amended; Public law 90-42, 81 Stat. 106; Public Law 91-69, 85 Stat. 108; Public Law 92-258, 86 Stat. 88; Public Law 93-87 Stat. 301; Public law 93-351, 88 Stat. 357; Public law 94-135, 89; Stat. 713; Public Law 95-65, 91 Stat. 269; Public law 95-478, 92 Stat. 513; Public law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Title III, Part C, Public Law 100-175; public Law 100-628; 42 U.S.C. 3030e-3030g; Public Law 102-375; Public 106-501. Public Law 114-144.

OBJECTIVES:

To reward effective performance by States and Tribes in the efficient delivery of nutritious meals to older adults through the use of cash or USDA Foods.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are made available to State Units on Aging (SUA) and Indian Tribal Organizations (ITO) to purchase domestically produced foods or to access USDA Foods from the United States Department of Agriculture (USDA) Food and Nutrition Service (FNS). The funds or USDA Foods are to be used in the preparation of congregate and home-delivered meals by nutrition services programs. USDA Foods may not be sold, exchanged, or otherwise disposed of (authorized distribution excepted) without prior, specific approval of USDA. Each SUA and ITO shall promptly and equitably disburse funds received to recipients.

Applicant Eligibility:

State Units on Aging (SUA) and Indian Tribal Organizations (ITO) that receive funding through Titles III and VI of the OAA may receive grants of cash from the Administration for Community Living, and/or USDA Foods.

Beneficiary Eligibility:

For Title III congregate meals, persons who are older adults (age 60 years and above) or a spouse of an older adult, regardless of age; disabled adults who live in housing facilities primarily occupied by older adults where a congregate site is located; disabled adults under age 60, who reside at home with older adults; and volunteers, regardless of age, who assist in meal service during meal hours. For Title III home-delivered meals, an older individual (age 60 years and above) must be assessed to be homebound. The spouse of a homebound individual regardless of age or condition may receive a meal if receipt of the meal is assessed to be in the best interest of the homebound older adult. For Title VI, all of these criteria apply. In addition, an ITO may select the age that defines who is an older adult.

Credentials/Documentation:

State Units on Aging (SUA) and Indian Tribal Organizations (ITO) must complete agreements with the Administration for Community Living. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All States and Indian Tribal Organizations are eligible.

Award Procedure:

Issuance of Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. None.

Appeals:

Appeals are processed in accordance with the HHS regulations in 45 CFR Part 1321, and Section 2112 of Title 28, U.S.C.

Renewals:

Title III and Title VI program agreements are indefinite, unless withdrawal is requested or required because of program irregularities.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details. See program statute.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:

Payment Management System.

Reports:

No program reports are required. Submitted through the Payment Management System. SUAs annually complete a State Program Report, which is submitted through the National Aging Program Information System (NAPIS). ITOs complete an annual report which is submitted through the Title VI Program Performance Review Form. 425 Financial Status reports are due at the end of each grant year. No performance monitoring is required. 425 Financial Status reports are due at the end of each grant year. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the current OMB Circular A-133 Compliance Supplement Part 4 and the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Adequate to account for all receipts and dispositions; to be retained for 3 years following the close of the fiscal year.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 16 \$160,069,000; FY 17 est \$159,795,000; and FY 18 est \$159,795,000

Range and Average of Financial Assistance:

FY16 \$779 to \$16,113,431, FY17 \$155 to \$16,520,198 and FY18 est. \$155 to \$16,520,198

FY16 Average: \$496,766, FY17 Average: \$478,741, FY 18 est Average: \$478,741.

TAFS Codes:

75-01-4201-506.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Regional Administrators, Administration for Community Living, Department of Health and Human Services, Region Offices. (See Appendix IV of the Catalog).

Headquarters Office:

Holly Greuling Office of Nutrition and Health Promotion Programs
330 C Street, SW, Washington, District of Columbia 20201 Email:
Holly.Greuling@acl.hhs.gov Phone: 202-795-7355

Website Address:

<https://www.acl.gov>

RELATED PROGRAMS:

93.045 Special Programs for the Aging Title III, Part C Nutrition Services;
93.047 Special Programs for the Aging Title VI, Part A, Grants to Indian Tribes Part B, Grants to Native Hawaiians

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.054 NATIONAL FAMILY CAREGIVER SUPPORT, TITLE VI, PART C, GRANTS TO INDIAN TRIBES AND NATIVE HAWAIIANS

Native American Caregiver Support Program, Title VI, Part C

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act, Public Law 106-501.

OBJECTIVES:

To assist Indian Tribal and Native Hawaiian Organizations in providing multifaceted systems of support services for: (1) Family caregivers; and (2) grandparents or older individuals who are relative caregivers. Services to be provided include: information to caregivers about available services; assistance to caregivers in gaining access to the services; individual counseling and caregiver training to assist the caregivers in making decisions and solving problems relating to their caregiving roles; respite care to enable caregivers to be temporarily relieved from their caregiving responsibilities; and supplemental services, on a limited basis, to complement the care provided by caregivers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This authority is for making grants to Indian Tribal and Native Hawaiian Organizations under Title VI-C to enable the provision of multifaceted systems of support services for family caregivers; and for grandparents or older individuals who are relative caregivers.

Applicant Eligibility:

Indian Tribal and Native Hawaiian Organizations with approved applications under Title VI, Parts A and B.

Beneficiary Eligibility:

Family caregivers, grandparents, and older individuals who are relative caregivers will benefit.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Parts 75 and 92 of Title 45 of the Code of Federal Regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications are submitted in accordance with program announcements developed by the Administration on Aging (AoA) and will be published in Grants.gov.

Award Procedure:

After review and approval, notification of award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved approximately 60 to 120 days from

closing date or receipt of application.

Appeals:

Appeals are processed in accordance with the HHS regulations in 45 CFR Part 1326 and 1328.

Renewals:

Renewals within the grant period are based on satisfactory performance during the prior year and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds for grants are awarded for no less than a 12-month period. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

A program performance and SF425 financial report is due annually to the funding agency. No performance monitoring is required. Submitted through the Payment Management System. No progress reports are required. SF-425 Financial status reports are required annually. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 16 \$7,468,657; FY 17 est \$7,478,530; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

FY 16 Ranges for Part C - \$14,270-\$58,547

FY 17 Ranges for Part C - \$13,820-\$56,560.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Older American Act of 1965 Amendments of 2000
109.631(a)(b)

45 CFR Part 74, 45 CFR Part 92.

Regional or Local Office:

See Regional Agency Offices. Administration on Aging Regional Administrator, Administration for Community Living, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for listings.).

Headquarters Office:

Cynthia LaCounte 330 C Street, SW , Washington, District of Columbia 20201

Email: Cynthia.lacounte@acl.hhs.gov Phone: 202-795-7380

Website Address:

http://www.acl.gov

RELATED PROGRAMS:

93.047 Special Programs for the Aging Title VI, Part A, Grants to Indian Tribes Part B, Grants to Native Hawaiians

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.057 NATIONAL RESOURCE CENTER FOR HIV PREVENTION AMONG ADOLESCENTS

National Resource Center for HIV Prevention Among Adolescents

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

The statutory authority for awards under this Funding Opportunity Announcement is contained in Section 1708 of the Public Health Service Act (42 U.S.C. 300u-7).

OBJECTIVES:

To provide online access to practical tools and resources for service providers, community-based organizations, and professionals who serve adolescents who reside in communities with high HIV prevalence and are at-risk based on a variety of issues. Specifically, the web-based resource center will support and promote interventions and strategies to better integrate HIV prevention services focused on adolescents within racial and ethnic minorities through the promotion of evidenced-based programs and best practices, linkages to training and technical assistance opportunities, research, and consultation.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding will allow for development and expansion of a resource center website to promote practical strategies for community-based service providers, which include interactive multimedia and additional resources to move youth-serving professionals toward implementation of evidence-based and evidence-informed interventions and best practices targeted to adolescents. Grant funds may not be used for: Building alterations or renovations; Construction; Fund-raising activities; Job training; Political education and lobbying; Vocational rehabilitation.

Applicant Eligibility:

Public organizations (including city, county, regional and State government) and private nonprofit entities.

Beneficiary Eligibility:

Service providers, community-based organizations, and professionals who serve adolescents who reside in communities with high HIV prevalence and are at-risk. Public organizations and private nonprofit entities and institutes of higher education.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the following Cost Principles: OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit organizations, OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. To apply, applicants must access the announcement thru www.grants.gov. Enter the CFDA# and follow the website instructions. Applications submitted after the deadlines will not be accepted for review. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will be deemed ineligible and not reviewed. Applications may only be submitted electronically via www.grants.gov. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review.

Award Procedure:

Application will be screened upon receipt. Those that are deemed to be ineligible will be returned to the applicant. Accepted applications will be reviewed and evaluated by a panel of independent experts who make recommendations for action to the Office of Adolescent Health. After considering the recommendation of this panel, the Director of the Office of Adolescent Health makes the final decision on the funding of applications. All applicants are notified in writing of actions taken on their applications. A Notice of Award is issued for those applications that are approved and funded.

Deadlines:

Jul 01, 2015 to Jun 30, 2018 The one grant funded through this program was awarded on July 1, 2015 and is funded through June 30, 2018. No additional awards are expected until 2018. Continued annual funding for the current grantee beyond the first year of the grant is generally level with initial award amount and is contingent upon the availability of funds, satisfactory progress of the project, and adequate stewardship of Federal funds.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may not exceed 3 years (subject to the availability of funds). Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantee must submit mid-year progress reports and yearly program reports on progress to the project officer. Grantees must submit quarterly SF425 Federal Financial Reports to Payment Management Services due on one of the standard due dates on which cash reporting is required or at the end of a calendar quarter. The FFR is due 30 days after the end of each calendar quarter. Grantees must submit semi-annual progress reports to the Office of Adolescent Health and the Office of Grants Management. Grantees must submit quarterly SF425 Federal Financial Reports to the Office of Grants Management 30 days after the end of each calendar quarter and an annual FFR 90 days after the end of the budget period/project period end date. Reports are to be submitted electronically through GrantSolutions. Project officer to conduct technical reviews of mid-year continuation applications and annual progress reports and provide a report to the grantee.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits,

examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$350,000; FY 17 est \$350,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

OAH awarded one (1) cooperative agreement up to \$350,000 per year in FY15 for up to three (3) years contingent upon the availability of funds.

TAFS Codes:

75-10-14-16-0117.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Ms. Evelyn Kappeler, Acting Director, Office of Adolescent Health, Office of Public Health and Science, Office of the Secretary, Tower Building, 1101 Wootton Pkwy, Rockville, MD 20852; 240-453-2846.

Headquarters Office:

Eric C. West Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822

Website Address:

<http://www.hhs.gov/ash/oah>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants must demonstrate: (1) experience in the design, development, implementation, successful completion and evaluation of resource center activities, and experience with HIV/AIDS prevention among adolescents, (2) extensive experience in technical assistance and capacity building with a proven record of success, (3) a history of engaging in partnerships and networks with other organizations with a similar mission and focus. Proposed projects must include the following: (1) Develop and deploy a plan to specifically identify and highlight the resource needs of young men who have sex with men (MSM), especially young MSM of color, (2) Seek partnerships with HRSA/HAB and OMH, (3) Enhance partnership with HIV.gov (formerly known as AIDS.gov) to increase the profile of the National Resource Center, (4) Develop practical tools and resources that promote the retention of adolescents along the HIV Care Continuum.

93.059 TRAINING IN GENERAL, PEDIATRIC, AND PUBLIC HEALTH DENTISTRY

General, Pediatric, and Public Health Dentistry and Dental Hygiene

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 748, 42 U.S.C. 293k-2.

OBJECTIVES:

The purpose of the Training in General, Pediatric, and Public Health Dentistry and Dental Hygiene programs are to improve access to and the delivery of oral

health care services for all individuals, particularly low income, underserved, uninsured, minority, health disparity, and rural populations by increasing the supply of a qualified dental workforce and enhancing dental workforce education and training.

This is addressed through the implementation of the following programs:

1) Predoctoral Training in General, Pediatric or Public Health Dentistry and Dental Hygiene; 2) Post-doctoral Training in General, Pediatric and Public Health Dentistry; 3) Faculty Development Training in General, Pediatric and Public Health Dentistry and Dental Hygiene; the 4) Dental Faculty Development and Loan Repayment Program; and the 5) Dental Faculty Loan Repayment Program.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

1) Predoctoral Training in General, Pediatric or Public Health Dentistry and Dental Hygiene:

Grant funds may be used to support professional training programs: 1) to plan, develop, and operate or participate in approved professional training programs in the fields of general dentistry, pediatric dentistry, or public health dentistry for dental students, dental hygienists, and/or dental hygiene trainees that emphasize training for general, pediatric, or public health dentistry; 2) to meet the costs of projects to establish, maintain, or improve predoctoral training in primary care programs; and 3) to provide technical assistance to primary care training programs in developing and implementing instruction regarding the oral health status, dental care needs, and risk-based clinical disease management of all primary care populations with an emphasis on underserved children.

2) Postdoctoral Training in General, Pediatric and Public Health Dentistry:

Grant funds may be used to support professional training programs: 1) to plan, develop, and operate, or participate in, an approved professional training program in the fields of general, pediatric, or public health dentistry for dental residents, or practicing dentists or other approved primary care dental trainees that emphasizes training for general, pediatric and public health dentistry; 2) to provide financial assistance to dental residents or practicing dentists who are in need thereof, and who are participants in any such training program, and who plan to work in the practice of general, pediatric or public health dentistry; 3) to support an accredited masters degree in public health program for dental residents in need of such support, support is limited to residents only.

3) Faculty Development Training in General, Pediatric and Public Health Dentistry and Dental Hygiene:

Grant funds may be used to support professional training programs: 1) to plan, develop, and operate a program for the training of oral health care providers who plan to teach in general, pediatric, public health dentistry or dental hygiene; 2) to provide financial assistance in the form of traineeships and fellowships to dentists who plan to teach, or are teaching, in general, pediatric, or public health dentistry; and 3) to meet the costs of projects to establish, maintain, or improve dental faculty development programs.

4) Dental Faculty Development and Loan Repayment Program:

Grant funds may be used to support professional training programs: 1) to plan, develop, and operate a dental faculty loan repayment program for faculty engaged in primary care dentistry to include general, pediatric, and public health dentistry and dental hygiene; 2) individual participants must agree to serve as full-time faculty members and perform at least 50 percent of their full-time faculty duties in a community-based primary care setting; 3) upon completion by an individual of each of the first, second, third, fourth, and fifth years of service, the program will pay an amount equal to 10, 15, 20, 25, and 30 percent, respectively, of the individuals student loan balance owed at the initiation of the agreement. Grant funds may also be used to support professional training program: to establish, maintain or improve a dental faculty development program in primary care: 1) to prepare and support faculty for successful academic careers focused on enhancing training for students and/or residents in general dentistry, pediatric dentistry, dental public health, or dental hygiene within community-based, interprofessional primary care settings; 2)

faculty participating in the loan repayment program must be included as participants in the programs faculty development program; and no more than \$100,000 per year may be used for these Faculty Development activities.

5. Dental Faculty Loan Repayment Program:

Grant funds may be used to support professional training programs: 1) to plan, develop, and operate a dental faculty loan repayment program for faculty engaged in primary care dentistry to include general, pediatric, and public health dentistry and dental hygiene with preference given to pediatric dentistry faculty supervising residents at dental training program providing clinical services in dental clinics located in dental schools, hospitals, or community-based affiliated sites; 2) individual participants must agree to serve as full-time faculty members; and 3) upon completion by an individual of each of the first, second, third, fourth, and fifth years of service, the program will pay an amount equal to 10, 15, 20, 25, and 30 percent, respectively, of the individuals student loan balance owed at the initiation of the agreement. Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

Eligible applicants are accredited dental or dental hygiene schools, public or private not-for-profit hospitals, or other public or not for profit entities, which the Secretary has determined is capable of carrying out such a grant. Eligible entities include entities that have programs in dental or dental hygiene schools, or approved residency or advanced education programs in the practice of general, pediatric, or public health dentistry. Federally Recognized Indian Tribal Government and Native American Organizations may apply if otherwise eligible.

Eligible entities may partner with schools of public health for the education of dental students, residents or dental hygiene students for a masters in public health in an accredited program.

Training activities must be conducted by an accredited entity; therefore, either the applicant or a partner organization responsible for the dental or dental hygiene training must be accredited.

Beneficiary Eligibility:

Beneficiaries include a full range of trainees: dental or dental hygiene students, dental hygienists, dental residents, practicing dentists, other approved primary care dental trainees and dental faculty.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunities (NOFO) issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing (electronic) by a Notice of Award.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 5-year project period. See the following for information on how assistance is awarded/released: Recipients drawdown funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. Cash reports are not applicable. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$800,000; and FY 18 est \$0 - Dental Faculty Loan Repayment Program. (Project Grants) FY 16 \$10,719,553; FY 17 est \$11,406,102; and FY 18 est \$0 - Postdoctoral. (Project Grants) FY 16 \$4,129,166; FY 17 est \$7,811,707; and FY 18 est \$0 - Predoctoral. (Project Grants) FY 16 \$2,744,513; FY 17 est \$0; and FY 18 est \$0 - Faculty Development. (Project Grants) FY 16 \$1,628,634; FY 17 est \$2,125,583; and

FY 18 est \$0 - Dental Faculty Development and Loan Repayment Program.

Range and Average of Financial Assistance:

Predoctoral Training in General, Pediatric or Public Health Dentistry and Dental Hygiene:

FY 15: Range: \$93,958 to \$493,956; Average award: \$323,990
FY 16.: Range: \$315,311 to \$350,000; Average award: \$344,097
FY 17 est.: Range: \$315,000 to \$350,000; Average award: \$344,000

Postdoctoral Training in General, Pediatric and Public Health Dentistry:

FY 15: Range: \$99,070 to \$748,062; Average award: \$516,485
FY 16: Range: \$242,937 to \$749,055; Average award: \$549,392
FY 17 est.: Range: \$242,000 to \$749,000; Average award: \$549,000

Faculty Development Training in General, Pediatric and Public Health Dentistry and Dental Hygiene:

FY 15: Range: \$412,806 to \$500,000; Average award: \$483,162
FY 16: Range: \$343,887 to \$500,000; Average award: \$457,418
FY 17 est.: Program has ended.

Dental Faculty Development and Loan Repayment Program:

FY 15: Range: program did not exist
FY 16: Range: \$138,862 to \$200,000; Average award: \$180,959.
FY 17 est.: Range: \$208,293 to \$300,000; Average award: \$236,175

Dental Faculty Loan Repayment Program:

FY 15: Range: program did not exist
FY 16: Range: program did not exist.
FY 17 est.: Range: competition still pending.

TAFS Codes:

03-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2016:

1) Predoctoral Training in General, Pediatric or Public Health Dentistry and Dental Hygiene:

Grantees trained 3,835 dental and dental hygiene students, 28 percent were either from a disadvantaged background or from underrepresented minorities.

Nearly 24 percent of individuals intended to practice in a primary care setting upon completion of training, while nearly 20 percent intended to practice in a medically underserved area.

During the academic year, grantees partnered with 139 clinical training sites, of which 63 percent were located in medically underserved communities, 55 percent in a primary care setting, and 17 percent in a rural setting.

2) Postdoctoral Training in General, Pediatric and Public Health Dentistry:

This program produced 279 newly specialized dentists who completed their dental residencies and entered the health care workforce. Of these new dentists, over 50 percent reported Pediatric Dentistry as their primary discipline, while 46 percent and 4 percent (respectively) reported General Dentistry and Public Health Dentistry as their primary discipline.

Nearly 2,232 health care students and trainees participated in interprofessional team-based care alongside PDD residents.

During the academic year, grantees partnered with 149 clinical training sites, 60 percent were located in a medically underserved community, 50 percent in a primary care setting, and seven percent in a rural setting.

3) Faculty Development Training in General, Pediatric and Public Health Dentistry and Dental Hygiene:

Grantee supported 80 distinct faculty development activities, which trained 946 faculty members.

Faculty who received direct grant support as instructors trained 929 dental students, general dentistry residents, and pediatric dentistry residents.

4) Dental Faculty Development and Loan Repayment Program: as this was a new program for FY2016, there is currently no data available.

5) Dental Faculty Loan Repayment Program: as this is a new program for FY2017, there are no accomplishments to note. Fiscal Year 2017: Currently no data available. Fiscal Year 2018: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Shane Rogers, Chief, Oral Health Training Branch, Division of Medicine and Dentistry, Bureau of Health Workforce 5600 Fishers Lane Room 15N-120, Rockville, MD 20857, Rockville, Maryland 20857 Email: rogers@hrsa.gov Phone: 301-443-5260 Fax: 301-443-0162

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Fiscal Year 2016: Some examples of funded projects through this program include: 1) developing a delivery system that improves access to care of patients with special healthcare needs and provides lifetime care through inter-professional education and practice; 2) increasing the oral health workforce treating medically complex and developmentally disabled individuals in Dental HPSAs; 3) increasing training and competency through the use of telehealth technology; 4) expanding oral health services for at-risk school children; 5) developing a pediatric dental workforce that practices inter-professionally, especially with school nurses and public health personnel; 6) enhancing medical and dental home integration; 7) increasing the number of dentists practicing in urban, underserved areas; 8) developing curricula to support team-based practice; 9) developing partnerships with primary care pediatrics to assemble, train and deploy interprofessional teams; 10) improving access for dental care in rural communities; 11) recruiting and retaining qualified students from underrepresented minorities; and 12) providing support for dental and dental hygiene trainees to pursue an MPH degree or from dentists to pursue a DPH postdoctoral program. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.060 SEXUAL RISK AVOIDANCE EDUCATION

SRAE

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human

Services

AUTHORIZATION:

Social Security Act, Section 1110, 42 U.S.C 1310; General Departmental Management for the Office of the Secretary under Division H, Title II of The Consolidated Appropriations Act, 2017, Public Law 115-31. , Section 1110, Public Law 115-31, 42 U.S.C 1310; General Departmental Management for the Office of the Secretary under Division H, Title II of The Consolidated Appropriations Act, 2016, Public Law 114-113.

OBJECTIVES:

To promote sexual risk avoidance education, as defined by section 1110 of the Social Security Act, for adolescents. The focus of the program is to teach participants how to voluntarily refrain from non-marital sexual activity, empower participants to make healthy decisions, provide tools and resources to prevent pregnancy and STI, and prevent youth engagement in other risky behaviors.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are for making competitive grants which exclusively implement education in sexual risk avoidance (defined as voluntarily refraining from non-marital sexual activity) and for Federal costs of administering the grant. Provided further, under the authority of section 1110 of the Social Security Act, 42 U.S.C. 1310 grants shall be made only to public and private entities that agree to use medically accurate information referenced to peer-reviewed publications by educational, scientific, governmental, or health organizations; implement an evidence-based approach integrating research findings with practical implementation that aligns with the needs and desired outcomes for the intended audience; and teach the benefits associated with self-regulation, success sequencing for poverty prevention, healthy relationships, goal setting, and resisting sexual coercion, dating violence, and other youth risk behaviors such as underage drinking or illicit drug use without normalizing teen sexual activity. The funds are restricted for the purpose of implementing sexual risk avoidance education to prevent teen pregnancy.

Applicant Eligibility:

Grants made under the authority of section 1110 of the Social Security Act, 42 U.S.C. 1310 grants shall be made only to public and private entities that agree to use medically accurate information referenced to peer-reviewed publications by educational, scientific, governmental, or health organizations; implement an evidence-based approach integrating research findings with practical implementation that aligns with the needs and desired outcomes for the intended audience; and teach the benefits associated with self-regulation, success sequencing for poverty prevention, healthy relationships, goal setting, and resisting sexual coercion, dating violence, and other youth risk behaviors such as underage drinking or illicit drug use without normalizing teen sexual activity.

Beneficiary Eligibility:

Vulnerable populations of youth with a focus on those that are most likely to bear children out-of-wedlock or who live in areas with high teen birth rates.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Specific instructions are published in a

program announcement made available through www.grants.gov.

Award Procedure:

All applications are reviewed by a panel of nonfederal expert peer reviewers that assigns scores according to the published criteria in the funding opportunity announcement. The panel's scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau. Recommendations are made to the ACYF Commissioner, who makes the final funding decisions after approval is granted by the Office of Grants Management.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

12 months, by the end of the FY 2016. See the following for information on how assistance is awarded/released: Periodic draw downs based on need and project progress.

Reports:

Semi-annually Performance Progress Reports (PPR) and Semi-annually Federal Financial Reports (FFR). Semi-annually Federal Financial Reports (FFR). Semi-annually Performance Progress Reports (PPR). Semi-annually Federal Financial Reports (FFR). Semi-annually Performance Progress Reports (PPR).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Single Audit is conducted by a certified independent auditor. The Data Collection Forms (Form SF-SAC) must be completed and signed by an independent auditor and along with other required documents will be submitted to the Federal Audit Clearinghouse within the earlier of 30 calendar days after receipt of the auditors reports, or nine months after the end of the audit period.

Audit procedures also include: Data Collection Forms (Form SF-SAC); Financial statements; Schedule of Expenditures of Federal Awards (SEFA); Auditor's opinions on the fair presentation of the financial statements and the SEFA; Auditors report on internal control and compliance pertaining to financial reporting; Auditors report on internal control and opinion on compliance pertaining to major programs; Auditors Schedule of Findings and Questioned Costs; Grantees response to the findings and corrective actions plans; and Summary Schedule of Prior Audit Findings, which includes the current status of previous audit findings not resolved as of the end of the prior audit.

Records:

All financial records are to be maintained 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-0120-0-1-506 - General Departmental Management account.

Obligations:

(Project Grants (Discretionary)) FY 16 \$8,981,973; FY 17 est \$13,447,039; and FY 18 est \$10,000,000

Range and Average of Financial Assistance:

\$350,000 to \$450,000. The average estimate award amount is \$450,000.

TAFS Codes:

75-0120.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Twenty one (21) Sexual Risk Avoidance Education grants were awarded. Fiscal Year 2017: Twenty seven (27) Sexual Risk Avoidance Education grants. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Family and Youth Services Bureau central office for available literature. You may also get information on the Family and Youth Services Bureau website at the following address:

<http://www.acf.hhs.gov/programs/fysb>.

Regional or Local Office:

None.

Headquarters Office:

LeBretia White Mary E. Switzer Building, 330 C Street, SW, Room #3614, Washington, District of Columbia 20024 Email: lebretria.white@acf.hhs.gov Phone: (202) 205-9605 Fax: (202) 260-9345

Website Address:

<http://www.acf.hhs.gov/programs/fysb>

RELATED PROGRAMS:

93.092 Personal Responsibility Education Program; 93.235 Abstinence Education Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the criteria published in the Funding Opportunity Announcement located on the following website address: <http://www.grants.gov/>.

93.061 INNOVATIONS IN APPLIED PUBLIC HEALTH RESEARCH

Applied Public Health Research

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Part 247b, Section 317(k)(2).

OBJECTIVES:

To foster the new knowledge necessary to develop, enhance, and disseminate effective public health services, programs, and policies that improve health and quality of life for the population.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Applied public health research provides the critical new knowledge to address the CDC priority research needs. Research is focused on improving public health practices to maximize positive health outcomes and impacts.

Applicant Eligibility:

Applications may be submitted by public and private nonprofit and for profit organizations and by governments and their agencies, such as: Public nonprofit organizations Private nonprofit organizations, For profit organizations, Small, minority, women-owned businesses, Universities, Colleges, Research institutions, Hospitals, Community-based organizations, Faith-based organizations, Federally Recognized Indian Tribal Governments, Indian tribes, Indian tribal organizations, State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau), Political subdivisions of States (in consultation with States).

Beneficiary Eligibility:

Beneficiary will be to public and private nonprofit and for profit organizations and by governments and their agencies, such as: Public nonprofit organizations Private nonprofit organizations, For profit organizations, Small, minority, women-owned businesses, Universities, Colleges, Research institutions, Hospitals, Community-based organizations, Faith-based organizations, Federally Recognized Indian Tribal Governments, Indian tribes, Indian tribal organizations, State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau), Political subdivisions of States (in consultation with States).

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, present their approach to meeting the objectives, and outline the methods of operation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download the SF424 (R&R) application forms and SF424 (R&R) Application Guide located at: http://www.grants.gov/applicants/apply_for_grants.jsp. Applicants are required to have a Dun and Bradstreet Data Universal Numbering System (DUNS) number to apply for a grant from the Federal Government. The DUNS number is a nine-digit identification number, which uniquely identifies business entities. Obtaining a DUNS number is easy and there is no charge. To obtain a DUNS number, access www.dunandbradstreet.com or call 1(866) 705-5711.

Award Procedure:

Successful applicants will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 150 days.

Appeals:

Not Applicable.

Renewals:

Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to 3 years subject to the availability of funds and satisfactory progress of the grantee. Method of awarding/releasing assistance: lump sum.

Reports:

Annual progress report, (PHS 2590, OMB Number 0925-0001, <http://grants.nih.gov/grants/funding/2590/2590.htm>) is due no less than 90 days before the end of the budget period. The progress report will serve as the

non-competing continuation application. A final performance report is due no more than 90 days after the end of the project period. Cash reports are not applicable. Annual progress report, (PHS 2590, OMB Number 0925-0001, <http://grants.nih.gov/grants/funding/2590/2590.htm>) is due no less than 90 days before the end of the budget period. The progress report will serve as the non-competing continuation application. A final performance report is due no more than 90 days after the end of the project period. Financial status report is due no more than 90 days after the end of the budget period. A final financial report is due no more than 90 days after the end of the project period. Annual progress report, (PHS 2590, OMB Number 0925-0001, <http://grants.nih.gov/grants/funding/2590/2590.htm>) is due no less than 90 days before the end of the budget period. The progress report will serve as the non-competing continuation application. A final performance report is due no more than 90 days after the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, these grants are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$9,300

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

79-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 74 and Part 92 For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address:
<http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>.

Regional or Local Office:

None.

Headquarters Office:

Dr. Juliana Cyril, 1600 Clifton Rd., Atlanta, Georgia 30333 Email: jcyril@cdc.gov Phone: (404) 639-4639.

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications that are complete and responsive to the FOA will be evaluated for scientific and technical merit by an appropriate peer review group in accordance with the review criteria. As part of the initial merit review, all

applications may: Undergo a process in which only those applications deemed to have the highest scientific merit, generally the top half of the applications under review, will be discussed and assigned a priority score and receive a written critique.

93.062 BIOMONITORING PROGRAMS FOR STATE PUBLIC HEALTH LABORATORIES

NA

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Section 317 of the Public Health Service Act, (42 U.S.C. Section 247 b), as amended.

OBJECTIVES:

To support the development or expansion of state-based biomonitoring programs, including necessary infrastructure, that will increase the capability and capacity of state public health laboratories (1) to assess human exposure to environmental chemicals within their jurisdictions; (2) to determine which chemicals people are exposed to and the levels of those chemicals in peoples bodies; (3) to determine the prevalence of people with levels of chemicals that are above a known toxicity threshold; (4) to determine whether levels of selected chemicals are higher among minorities, children, women of childbearing age, or other potentially vulnerable groups; (5) to assess the effectiveness of state public health actions to reduce the exposure of their residents to specific chemicals of concern; (6) to compare state-generated biomonitoring data with CDC national biomonitoring data; and (7) to track trends in levels of chemicals in a states population or subgroups of that population over time.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative agreements may be made to eligible entities for the support of projects to develop or expand state-based biomonitoring programs. Funding may be used for personnel salaries (for full-time, part-time, or contractor positions), laboratory instruments and supplies, training, travel, and indirect costs. Funds may not be used for research, clinical care, furniture or office equipment, pre-award costs, or the construction of new laboratory space. In addition, the direct and primary recipient in the cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

No additional information.

Beneficiary Eligibility:

No additional information.

Credentials/Documentation:

Applicants should review the individual CDC Guidance documents issued under this CFDA program for any required proof or certifications that must be submitted with the application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications and required forms for this program can be obtained from Grants.gov or from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Please visit the

Grants.gov Web site at www.grants.gov to find and apply for all Federal grant/cooperative agreement opportunities.

Award Procedure:

After review and approval of an application, a notice of award is made in writing and issued by the Grants Management Officer, Procurement and Grants Office, CDC. Appropriate notification is also made to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Support is recommended for a specified project period, not to exceed 5 years, with each project period having a 12-month budget period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Support is recommended for a specified project period, not to exceed 5 years. Awards are made annually and assistance is available for a twelve month budget period. There are five budget periods within the 5-year project period. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period. Annual progress reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Parts 74 and 92.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This activity can now be found under CFDA 93.070.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations. HHS Grants Policy Statement at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>.

Regional or Local Office:

None.

Headquarters Office:

Robert Reynolds 4770 Buford Highway, F45, Atlanta, Georgia 30341 Email: VIO9@cdc.gov Phone: 770-488-0563

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria can be found in the program guidance.

93.064 LABORATORY TRAINING, EVALUATION, AND QUALITY ASSURANCE PROGRAMS

(Quality Assurance in Pathology and Laboratory Medicine)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Executive Order 13272, Section 317(k)(2), 42 U.S.C 247b(k)(2).

OBJECTIVES:

To improve the quality of laboratory testing practices relevant to clinical and public health settings and to determine standardized approaches to quality assurance in pathology and laboratory medicine that can be applied in multiple, diverse settings (e.g. community hospitals, academic medical centers, and independent laboratories) that demonstrate measurable and sustainable improvements over time.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding opportunities are made available to evaluate quality assurance methods for addressing the pre-analytic, analytic, and post-analytic components of laboratory testing in clinical and public health laboratory settings; and to provide technical assistance and training to public health laboratory scientists and leaders on laboratory standards and quality improvement models applicable to laboratory management services.

Applicant Eligibility:

Applications may be submitted by public and private nonprofit organizations and by governments and their agencies, such as: Public nonprofit organizations, private nonprofit organizations, universities, colleges, research institutions, hospitals, community and faith based organizations, State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau).

Beneficiary Eligibility:

Applicants must have experience in the administration and evaluation of standardized quality assurance programs in multiple, diverse laboratory sites (including community hospitals and academic medical centers). This experience is required for an applicant to be able to assess the effectiveness of these quality assurance programs and to determine best practices.

Credentials/Documentation:

Title 2 of the United States Code section 1611 states that an organization

described in section 501(c)(4) of the Internal Revenue Code that engages in lobbying activities is not eligible to receive Federal funds constituting an award, grant, or loan. For all other nonprofit grantees, costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application forms and instructions are available on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at: Telephone: (770) 488-2700. Applications must be submitted through www.grants.gov.

Award Procedure:

Applications will be evaluated for completeness and responsiveness by the agency program and CDC Procurement and Grants Office (PGO). CDC will not review incomplete and non-responsive applications. After review and approval, a notice of grant award (NoA) will be prepared and processed, along with appropriate notification to the public. Initial award provides funds for the first budget period (usually 12 months) and the NoA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Cooperative agreement project periods are 1 to 5 years. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon satisfactory progress by the recipient (as documented in required reports) and the determination that continued funding is in the best interest of the Federal government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: lump sum.

Reports:

Annual or semiannual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Annual or semiannual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative

agreements are subject to inspection and audits by DHHS and other Federal governments officials.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine HHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0949-0-1-550; 75-0943-0-1-550.

Obligations:

(Salaries) FY 16 \$1,816,175; FY 17 est \$1,617,208; and FY 18 est \$1,816,175

Range and Average of Financial Assistance:

\$100,000 to \$500,000; \$250,000.

TAFS Codes:

75-0949; 75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; basic grant administration policies of DHHS and PHS are also applicable, 45 CFR 74 and 45 CFR 92; PHS Grants Policy Statement, DHHS publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

None. For general questions about this announcement, contact: Technical Information Management Section, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: 770-488-2700. For program technical assistance, contact: Charlene Beach, Centers for Disease Control and Prevention, OPHSS, CSELS, DLS, 1600 Clifton Road, MS G25.; Atlanta, GA 30329-4027; Telephone: (404) 498-0233, Fax: (404) 498-2707, E-mail: cbeach@cdc.gov. For financial, grants management, or budget assistance, contact: Terrian Dixon, Grants Management Specialist, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (770) 488-2774, E-mail: tdixon@cdc.gov.

Headquarters Office:

Teneva Williams 2400 Century Pkwy NE, Atlanta, Georgia 30345 Email: tuh0@cdc.gov Phone: 4044980899

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the review criteria described in the Funding Opportunity Announcements (FOAs). In general, the review and selection process of complete and responsive applications to the FOA consists of determination of the scientific and technical merit by objective or peer review, availability of funds, and relevance of program priorities and the priorities of DHHS. Refer to the FOA for additional review criteria.

93.065 LABORATORY LEADERSHIP, WORKFORCE TRAINING AND MANAGEMENT DEVELOPMENT, IMPROVING PUBLIC HEALTH LABORATORY INFRASTRUCTURE

APHL-CDC Partnership for Quality Lab Practice

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Section 317(k)(3) of the Public Health Service Act, [42 U.S.C. 247b (k)(3), as amended.

Sections 307 and 317(k)(2) of the Public Health Service Act, [42 U.S.C. 2421 and 247b (k)(2), as amended, Executive Order N/A, Title 42, Part 247b(k)(3), Section 317(k)(3); Funding is appropriated under Affordable Care Act (PL 111-148), Title IV, Section 4002 (Prevention and Public Health Fund) in Fiscal Year 2011 only. In addition, Under Section 5314, Fellowship Training in Public Health (Part E of title VII of the Public Health Service Act (42 U.S.C.294n et seq., as amended by as amended by section 5206, is further amended by Sec. 778), CDC is authorized to expand existing fellowship training programs in the critical areas of applied public health epidemiology, public health laboratory science and public health informatics.

OBJECTIVES:

This program addresses the Healthy People 2010 focus areas of: #8 Environmental Health, #10 Food Safety, #11 Health Communication, #13 HIV, #14 Immunization and Infectious Diseases, #17 - Medical Product Safety, #20 - Occupational Safety and Health, #23 Public Health Infrastructure, #24 - Respiratory Diseases and #25 Sexually Transmitted Diseases.

Its major objective is to improve public health laboratory infrastructure, maintain a competent and trained laboratory workforce, promote laboratory leadership activities to ensure future laboratory leaders, ensure laboratory preparedness for emerging infectious diseases or other biologic and chemical public health threats, promote technology transfer to ensure up-to-date technologies for the testing laboratory, and to enhance communication linkages between state and local public health laboratories, and the clinical laboratory testing community.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The purpose of the program is to enhance the work of public health laboratories in the U.S. and abroad. The program aims to promote quality public health laboratory practice, improve public health laboratory infrastructure, strengthen the public health laboratory system, and to develop a well-trained public health laboratory workforce in the U.S. and globally. It also aims to ensure laboratory preparedness for emerging infectious diseases or other biologic and chemical public health threats, promote technology transfer to ensure up-to-date technologies for the testing laboratory, and enhance communication linkages between state and local public health laboratories and the clinical laboratory testing community. Improvement of public health laboratory infrastructure, state-of-the-art training for the nation's laboratorians to be prepared in dealing with public health threats, improving laboratory leadership capabilities, enhancing inter-laboratory communications.

There are no restrictions.

Additionally, Affordable Care Act (ACA) funding will be used to expand the existing Emerging Infectious Diseases (EID) Laboratory Fellowship Program by supporting additional fellowships through the cooperative agreement between CDC and APHL in Fiscal Year 2011. This project will receive ACA funding for future Fiscal Years-Subject to availability of funds.

Applicant Eligibility:

Assistance will be provided only to APHL. CDC approved single eligibility of this award. This group is the appropriate and only qualified organization to address the activities described under this program announcement. Application may be submitted by the APHL consistent with the single eligibility justification that follows. State governments, specifically State public health laboratories, particularly any organization representing state public health laboratories and having an established training network. In regards to Eligibility for Affordable Care Act (ACA) funding will be limited solely to the Association of Public Health Laboratories (APHL), the current partner in the cooperative agreement with CDC.

Beneficiary Eligibility:

This project represents the front line defense against health threats to the nation's public. The nation's public is the ultimate recipient of benefits from this program.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For all other nonprofit grantees, cost will be determined in accordance with HHS Regulations 45 CFR 74 Subpart Q. For-profit organizations' costs are determined in accordance with the Federal Acquisition Regulations, 48 CFR 31.2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply for this funding opportunity use application form PHS 5161. Application forms and instructions are available on www.grants.gov and/or the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at: 770-488-2700. Application forms can be mailed to you.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application. Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From two to three months.

Appeals:

Not Applicable.

Renewals:

Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to five years subject to the availability of funds and satisfactory progress of the grantee. Method of awarding/releasing assistance: lump sum.

Reports:

Each funded applicant must provide CDC with an interim progress reports via www.grants.gov: 1. The first interim progress report is due at mid year and will serve as the non-competing continuation application, and must contain the following elements: a. Standard Form (SF) 424S Form. b. SF-424A Budget Information-Non-Construction Programs. c. Budget Narrative. d. Indirect Cost Rate Agreement. e. Project Narrative. 2. The second report is due no later than 90 days after the end of the budget period. This report should include a detailed description of specific projects and activities conducted, measurable outcomes achieved and public health impact resulting from projects conducted during the reporting period. 3. Annual progress report, due 90 days after the end of the budget period. These reports must be submitted to the attention of the Grants Management Specialist. Cash reports are not applicable. Interim progress report, no less than 90 days before the end of the budget period. The progress report will serve as your non-competing continuation application, and must contain the following elements: current budget period activities objectives,

current budget period financial progress, new budget period program proposed activity Objectives, budget, additional requested information, and measures of effectiveness; Financial status report and annual progress report, no more than 90 days after the end of the budget period; and Final financial and performance reports, no more than 90 days after the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. A-133 audits. Financial status report and annual progress report, no more than 90 days after the end of the budget period; and Final financial and performance reports, no more than 90 days after the end of the project period

Records:

Financial and personnel records including financial awards, financial status reports, interim reports, and final reports must be maintained for a period of seven years.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$1,711,259; FY 17 est \$1,810,677; and FY 18 est \$1,810,677

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-11-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Robert Reynolds 4770 Buford Highway, F45, Atlanta, Georgia 30341 Email: VIO9@cdc.gov Phone: 770-488-0563

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.066 STATE VITAL STATISTICS IMPROVEMENT PROGRAM

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 304 and 306, 42 U.S.C 242b, 242k.

OBJECTIVES:

To improve the timeliness, quality and sustainability of the de-centralized vital statistics system by adopting nationally-developed, consensus standards and guidelines; by actively working with states to re-engineer their state-owned and operated systems using these standards/guidelines; and by improving the efficiency of business operations of state vital statistics programs. Working collaboratively between State and Federal agencies, the goal is to facilitate the

development and implementation of re-engineered systems in all 57 registration areas. These will be systems that can: (1) provide quality and timely data for public health surveillance and medical research; (2) meet citizen needs for legal copies of their birth and death records, including providing paper copies of records to citizens for their immediate legal or personal use, and at citizens' request, transferring records electronically to local, State or Federal agencies to satisfy an agency's need for a record; meet Federal agencies' needs for record verification and authentication; (3) result in comparable vital registration/statistics systems in each state; (4) use national standards and guidelines, including the 2003 revision of the U.S. Standard Certificates of Birth and Death and the Report of Fetal Death, the ICD-10 classification system, the FIPS geographic coding scheme, and the standard HL-7 vital events messaging; (5) support national security and privacy requirements; (6) integrate or harmonize easily with other public health systems such as Public Health Information Network (PHIN), immunization registries, and newborn screening, and (7) use Internet technology.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Restrictions, which must be taken into account while writing your budget, are as follows: Approved project plan required prior to expenditure of funds; all travel will need to be identified in the approved project plan; and purchase of computer hardware and software will require prior approval before expenditure.

Applicant Eligibility:

An application may be submitted by public or private nonprofit organization whose primary mission is the support of state vital statistics and vital records programs.

Beneficiary Eligibility:

An application may be submitted by public or private nonprofit organization whose primary mission is the support of state vital statistics and vital records programs.

Credentials/Documentation:

Applicants are required to provide measures of effectiveness that will demonstrate the accomplishment of the various identified objectives of the cooperative agreement. Measures must be objective and quantitative, and must measure the intended outcome. These measures of effectiveness must be submitted with the application and will be an element of evaluation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. To apply for this funding opportunity use application and instructions available on grants.gov.

Award Procedure:

Successful applicant will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Jun 21, 2012: The program has been established for 5 years, with each option depending on the availability of funding and performance of the awardee. 5:00 p.m. Eastern Time on the deadline date.

Range of Approval/Disapproval Time:

From 90 to 120 days. About 120 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Throughout the project period, CDC's commitment to continuation of this

award will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient as documented in required reports, and the determination that continued funding is in the best interest of the Federal Government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Reports about the objectives accomplished. Written reports are required at mid-term of the project year and at the end of the project year. At least quarterly meetings are required of the awardee. Financial status reports are required at the end of the project year. Updates on financial status is provided at mid-term of the project year. At mid-term of the project year, reports are required on the objectives achieved and the objectives to be achieved in the remainder of the project year. At the end of the project year, a progress report is required on the objectives achieved. Reports are provided on the amount spent within the approved budget categories. Performance monitoring is handled in four ways: 1) required quarterly meetings; 2) regular conference calls on specific issues; 3) joint projects with DVS staff; and 4) emails/telephone conversations with the staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, support documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of three years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$457,902; FY 17 est \$458,000; and FY 18 est \$694,500

Range and Average of Financial Assistance:

\$694,500 per year (5-year Cooperative Agreement).

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Progress was made on activities continued from Fiscal year 2015, including maintaining an updated web site of current jurisdictional practices and compliance with national standards, promoting training to improve data quality, coordinating efforts on accreditation and conducting surveys and webinars. Fiscal Year 2017: Progress is anticipated in building on accomplishments from Fiscal year 2016, including provision of technical assistance to jurisdictions, promotion of data quality training, coordinating efforts on accreditation and basic Registrar training. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Technical Information Management Section, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (770) 488-2700.

Headquarters Office:

Karen Knight 3210 East Highway 54, RTP, North Carolina 27709 Email: ylp2@cdc.gov Phone: 9195414414

Website Address:

http://www.cdc.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.067 GLOBAL AIDS

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Sections 307 and 317(k)(2), Public Health Service Act, 42 U.S.C. 242I and 247b(k)(2) as amended; Public Law 108-25, the United States Leadership Against HIV/AIDS, Tuberculosis and Malaria Act of 2003 Public Law 110-293, the Tom Lantos and Henry J. Hyde United States Global Leadership Against HIV/AIDS, Tuberculosis, and Malaria Reauthorization Act of 2008, 22 U.S.C 7601; and Public Law 113-56 PEPFAR Stewardship and Oversight Act of 2013 (P.L. 113-56), Public Law 108-25, 22 U.S.C 7601.

OBJECTIVES:

The U.S. President's Emergency Plan for AIDS Relief (PEPFAR) is the U.S. Government initiative to help save the lives of those suffering from HIV/AIDS around the world. This historic commitment is the largest by any nation to combat a single disease internationally, and PEPFAR investments also help alleviate suffering from other diseases across the global health spectrum. PEPFAR is the cornerstone and largest component of the U.S. Presidents Global Health Initiative. With a special focus on improving the health of women, newborns and children, the Global Health Initiatives goal is to save the greatest number of lives by increasing and building upon what works and, then, supporting countries as they work to improve the health of their own people.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Uses and Restrictions are specified in individual Funding Opportunity Announcements. Funds may be spent for reasonable program purposes, including personnel, travel, operating costs including supplies, fuel for transportation, utilities, staff training costs including registration fees and purchase and rental of training related equipment, renovation of clinical or lab facilities; and purchase of HIV testing reagents, test kits and laboratory equipment for HIV testing and services. Equipment may be purchased if deemed necessary to accomplish program objectives; however, prior approval by CDC officials must be requested in writing. All requests for funds contained in the budget shall be stated in U.S. dollars. Once an award is made, CDC will not compensate foreign grantees for currency exchange fluctuations through the issuance of supplemental awards. The costs that are generally allowable in grants to domestic organizations are allowable to foreign institutions and international organizations, with the following exception: With the exception of the American University, Beirut, and the World Health Organization (WHO), Indirect Costs will not be paid (either directly or through sub-award) to organizations located outside the territorial limits of the United States or to international organizations regardless of their location. The applicant may contract with other organizations under this program; however the applicant must perform a substantial portion of the activities (including program management and operations, and delivery of prevention services for which funds are required). You must obtain an annual audit of these CDC funds

(program-specific audit) by a U.S. - based audit firm with international branches and current licensure/authority in-country, and in accordance with International Accounting Standards or equivalent standard(s) approved in writing by CDC. A fiscal Recipient Capability Assessment may be required, prior to or post award, in order to review the applicant's business management and fiscal capabilities regarding the handling of U.S. Federal funds. Please see the specific Funding Opportunity Announcement.

Applicant Eligibility:

Competition is open, limited, or single eligibility by authorizing legislation.

Beneficiary Eligibility:

This is only for non-research and research activities supported by CDC/ATSDR. For the definition of research, please see the CDC website at the following Internet address: <http://www.cdc.gov/od/science/quality/resources>.

Credentials/Documentation:

Requirements for application are stated in each Funding Opportunity Announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. As specified in the Funding Opportunity Announcement

Award Procedure:

As specified in the Funding Opportunity Announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As specified in the Funding Opportunity Announcement.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Renewals are made by competitive applications and Reviews.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

From one to five years. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government. Method of awarding/releasing assistance: lump sum.

Reports:

As specified in the Funding Opportunity Announcement. No cash reports are required. As specified in the Funding Opportunity Announcement. Recipients of PEPFAR funds are required to report annually on program expenditures. Specifically, annual completion of PEPFAR Program Expenditures (Form DS-4213, approved by OMB 1405-0208, or the relevant OMB-approved format) will be required in conjunction with the PEPFAR Annual Progress Report at the completion of the USG fiscal year. As specified in the Funding Opportunity Announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Foreign recipients are subject to audit

requirements specified in 45 CFR 74.26(d). A non-Federal audit is required, if during the recipients fiscal year, the recipient expended a total of \$300,000.00 or more under one or more HHS awards (as a direct recipient and/or as a subrecipient) . The recipient either may have (1) A financial related audit (as defined in the Government Auditing Standards, GPO stock #020-000-00-265-4) of a particular award in accordance with Government Auditing Standards, in those case where the recipient receives awards under only one HHS program; or, if awards are received under multiple HHS programs, a financial related audit of all HHS awards in accordance with Government Auditing Standards; or (2) An audit that meets the requirements contained in OMB Circular A-133. Please forward a copy of the completed audit to the following address: Procurement and Grants Office, Centers for Disease Control and Prevention, Attn: Grants Management Officer, 2920 Brandywine Road, MS-K75, Atlanta, GA 30341. If your audit was completed in accordance with number two (2) above, in addition to the address listed above, you are required to send a copy of the audit report to: Department of Health & Human Services, National External Audit Review Center Lucas Place, Room 514, 323 West 8th Street, Kansas City, MO 64105.

Records:

The Recipient Agreement Books and Records. The recipient must maintain accounting books, records, documents and other evidence relating to the Agreement, adequate to show, without limitation, all costs incurred by the recipient, the receipt and use of goods and services acquired by the recipient, agreed-upon cost sharing requirements, the nature and extent of solicitations of prospective suppliers of goods and services acquired by the recipient, the basis of award of recipient contracts and orders, and the overall progress of the Agreement toward completion ("Agreement books and records"). The recipient must maintain Agreement books and records in accordance with generally accepted accounting principles prevailing in the United States, or at the recipients option, with approval by HHS/CDC, other accounting principles, such as those (1) prescribed by the International Accounting Standards Committee (an affiliate of the International Federation of Accountants), or (2) prevailing in the country of the recipient. Agreement books and records must be maintained for at least three years after the date of last disbursement by HHS/CDC or for such longer period, if any, required to resolve any litigation, claims or audit findings.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$2,354,719,081; FY 17 est \$2,354,719,081; and FY 18 est \$2,354,719,081

Range and Average of Financial Assistance:

\$25,000 to \$48,000,000. Average \$2,700,000.

TAFS Codes:

21-9.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 74 and Part 92 For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address: <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>.

Regional or Local Office:

See Regional Agency Offices. Jamie Legier, Branch Chief, International Branch, (770)488-2613, 2920 Brandywine Road, Atlanta, GA 30341.

Headquarters Office:

Caroline Gardner 1600 Clifton Road, NE, Mailstop E-29, Atlanta, Georgia 30333 Email: ctg8@cdc.gov Phone: 404-639-4276

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be initially reviewed for completeness by CDC Office of Grants Services (OGS) staff. Complete applications will be jointly reviewed for responsiveness by HHS/CDC Division of Global HIV and Tuberculosis and OGS. Non-responsive applications will not advance to Phase II review. Applicants will be notified the application did not meet eligibility and/or published submission requirements.

A review panel will evaluate complete, eligible applications in accordance with the criteria published in the Funding Opportunity Announcement.

Applications will be funded in order by score and rank determined by the review panel unless funding preferences or other considerations stated in this FOA apply. Final selection and approval of activities will be prioritized in collaboration with CDC.

93.068 CHRONIC DISEASES: RESEARCH, CONTROL, AND PREVENTION

(Research, Control, and Prevention)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Part 247b(k)(2), Section 301(a), Public Law USC-2421(a) and (b).

OBJECTIVES:

To assist State and local health agencies, health related organizations, and other public and private organizations in their efforts to prevent and control chronic diseases and disorders through research, development, capacity building, and intervention. To utilize research data to improve detection, diagnosis, treatment, and care of chronic diseases and their complications. To generate new knowledge related to chronic diseases that will improve health and quality of life and eliminate health disparities among segments of the population.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for costs associated with conducting research and surveillance of chronic diseases and their complications. Funds may also be used for program planning and development, to support capacity building, and for evaluation of chronic disease prevention and control programs. CDC will have substantial involvement with the award recipient during performance of the funded activity.

Applicant Eligibility:

Applications may be submitted by State or local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau). Eligible applicants also include public and private nonprofit organizations, for profit organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith-based organizations, Federally recognized Indian tribal governments, Indian tribes, and Indian tribal organizations. Foreign institutions may be eligible for some specific programs.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. The standard application forms must be used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-110 for nongovernmental applicants.

Award Procedure:

After review and approval of an application, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for 1 to 5 years with 12-month budget periods. Method of awarding/releasing assistance: lump sum.

Reports:

Annual or semiannual progress reports are required. Cash reports are not applicable. Annual or semiannual progress reports are required. Final financial status and performance reports are required 90 days after the end of a project period. Annual or semiannual progress reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 Not Available; FY 17 est \$0; and FY 18

Estimate Not Available - Project is closed.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-H008.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Brenda Colley Gilbert, 1600 Clifton Rd, Atlanta, Georgia 30333 Email: bjc4@cdc.gov Phone: (770) 488-8390.

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) Agreements between all agencies providing professional and/or supportive care, either voluntarily or for fees; (2) a description of the approach that will be used to provide prevention case management services; and (3) a description of how services will complement/expand existing agency primary and secondary services and specific criteria published in program guidance. All applications will be peer reviewed.

93.069 PUBLIC HEALTH EMERGENCY PREPAREDNESS

PERLC: PREPAREDNESS AND EMERGENCY RESPONSE LEARNING CENTER (PERLC) (Supporting PHEP; awards other than PHEP Cooperative agreement not recorded under 93.074

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 319C-1, Public Law 112-10; Public Health Services Act, Section 317(a); Public Health Services Act, Section 317(d).

OBJECTIVES:

The PHEP program is a critical source of funding, guidance, and technical assistance for state, territorial, and local public health departments. Preparedness activities funded by the PHEP program are targeted specifically for the development of emergency-ready public health departments that are flexible and adaptable. These efforts support the National Response Framework (NRF), which guides how the nation responds to all types of hazards including infectious disease outbreaks; natural disasters; biological, chemical, and radiological incidents; and explosions.

To help public health departments with their strategic planning, CDC identified 15 public health preparedness capabilities to serve as national public health preparedness standards. State and local jurisdictions can use CDC's Public Health Preparedness Capabilities: National Standards for State and Local Planning to better organize their work and identify the capabilities they have the resources to build or sustain. These standards help ensure that federal preparedness funds are directed to priority areas within individual jurisdictions.

The 2012 PHEP program is currently funded via a joint cooperative agreement award and aligned with the Hospital Preparedness Program (HPP). PHEP program guidance assists the 62 PHEP awardees in demonstrating measurable and sustainable progress toward achieving the 15 public health preparedness capabilities and other activities that promote safer and more resilient

communities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The purpose of the Public Health Emergency Preparedness (PHEP) program is to assist state, local, and territorial/freely associated state health departments in demonstrating measurable and sustainable progress toward achieving the 15 public health preparedness capabilities and other activities that promote safer and more resilient communities. Funds can only be used for non-research activities.

Applicant Eligibility:

State health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the U.S. Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Beneficiary Eligibility:

All State, County, and Local Health Departments.

Credentials/Documentation:

Applicants should review the individual CDC Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. CDC provides an Internet-based system for submitting applications, including narrative and budget, electronically. This system will also enable applicants to complete most required forms electronically, which can then be signed and uploaded into the system. Applicants are required to use this system in lieu of paper-based applications. Under separate cover, CDC will provide detailed instructions on obtaining a digital certificate to access the CDC web portal <https://sdn.cdc.gov> and use the electronic application system. This program is subject to the provisions of 45 CFR Parts 74 and 92, as appropriate.

Award Procedure:

After review and approval of an application, a notice of award is made in writing and issued by the Grants Management Officer, Procurement and Grants Office, CDC. Also, appropriate notification is made to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Project periods are for 5 years with 12-month budget periods. Applications must be submitted in the same manner as a new application.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 10%. This program has a statutory formula. This program has MOE requirements, see funding agency for further details. Maintenance of Funding (MOF) PHEP awardees must maintain expenditures for healthcare preparedness and

public health security at a level that is not less than the average level of such expenditures maintained by the awardee for the preceding two-year period. This represents an awardee's historical level of contributions or expenditures (money spent) related to federal programmatic activities that have been made prior to the receipt of federal funds. The MOF is used as an indicator of nonfederal support for public health security and healthcare preparedness before the infusion of federal funds. These expenditures are calculated by the awardee without reference to any federal funding that also may have contributed to such programmatic activities in the past. The definition of eligible state expenditures for public health security and healthcare preparedness includes: Appropriations specifically designed to support healthcare or public health emergency preparedness as expended by the entity receiving the award; and

Funds not specifically appropriated for healthcare or public health emergency preparedness activities but which support healthcare or public health emergency preparedness activities, such as personnel assigned to healthcare or public health emergency preparedness responsibilities or supplies or equipment purchased for healthcare or public health emergency preparedness from general funds or other lines within the operating budget of the entity receiving the award.

Please see Agency Funding Opportunity Number CDC-RFA-TP12-1201 for further details on the maintenance of funding requirements.

Length and Time Phasing of Assistance:

Awards are made annually and assistance is available for a 12-month budget period. There are five separate budget periods within the 5-year project period. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Semiannual and year end progress reports are required. No cash reports are required. Semiannual and year end progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period. Performance monitoring is maintained by oversight of Project Officers.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Grantees are required to maintain grant accounting records for a minimum of three years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Parts 74 and 92.

Account Identification:

75-0958-1-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$25,100,000; FY 17 est \$24,577,907; and FY 18 est \$0 - FY 2017 estimate includes \$25M of Zika response funds.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

None.

Headquarters Office:

Van A. King, OPHPR
1600 Clifton Road, MS-D29, Atlanta, Georgia 30329-4018 Email: vbk5@cdc.gov Phone: (404) 639-5276.

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The applicants must submit their application in accordance with appropriate program guidance. Applications will be reviewed for completeness by the Procurement and Grants Office staff and for technical acceptability by the Office of Public Health Preparedness and Response and CDC subject matter experts. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified that their application did not meet submission requirements.

93.070 ENVIRONMENTAL PUBLIC HEALTH AND EMERGENCY RESPONSE

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act Sections 301, 307, 311, 317, and 319C (42 U.S.C. Sections 241, 2421, 243, 247b and 247d-3), as amended, Public Law 109-417, Public Law 109-147, U.S.C 241-2421-243.

OBJECTIVES:

To bring public health and epidemiologic principles together to identify, clarify, and reduce the impact of complex environmental threats, including terrorist threats and natural disasters, on populations, domestic and foreign. These programs and activities focus on safeguarding the health of people from environmental threats; providing leadership in the use of environmental health sciences-including environmental epidemiology, environmental sanitation, and laboratory sciences-to protect public health; and responding to issues and sharing solutions to environmental health problems worldwide. To accomplish the above, the CDC: (1) conducts surveillance and investigations that increase the knowledge about the relation between human health and environmental threats; (2) uses this knowledge to develop national public health programs and policies to prevent or control public health problems; (3) plans, prepares, and responds to emergencies, including terrorist threats, technologic accidents, and natural disasters; (4) provides direct service delivery to partners engaged in environmental health services such as food, safety, rodent control, water quality, and sanitation; (5) develops and applies laboratory science to prevent disease and death caused by exposure to environmental chemicals and to improve the diagnosis, treatment, and prevention of selected chronic diseases; (6) conducts Biomonitoring to assess individual human exposure to environmental chemicals by measuring them in human specimens (e.g. blood and urine); and, (7) conducts activities on emerging environmental threats such as climate change and the built environment.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

NONE.

Applicant Eligibility:

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Beneficiary Eligibility:

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Credentials/Documentation:

Applicants should review the individual CDC Funding Opportunity Announcement document issued under this CFDA program for any required proof or certifications that must be submitted prior to, or simultaneous with, submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply for this funding opportunity use application form HHS-5161-1. Application forms and instructions are available on www.grants.gov and/or the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff at: (770) 488-2700. Application forms can be mailed to you.

Award Procedure:

Award Procedure: Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application. Unsuccessful applicants will receive notification of the results of the application review by mail. Appropriate notification is also made to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Project periods are for 1 to 5 years with 12-month renewals. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government. Applications must be obtained from Grants Management Officer, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2800.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Length and Time Phasing of Assistance

Support is recommended for a specified project period, not to exceed 5 years. Awards are made annually and assistance is available for a twelve month budget period. There are five budget periods within the 5-year project period. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance

Support is recommended for a specified project period, not to exceed 5 years.

Awards are made annually and assistance is available for a 12-month budget period. There are five budget periods within the 5-year project period. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual progress reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Parts 74 and 92.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$54,079,771; FY 17 est \$500,695,987; and FY 18 est \$50,695,987

Range and Average of Financial Assistance:

\$10,000 to \$1,500,000.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations. In addition, grants will be available under the authority of and administered in accordance with the HHS Grants Policy Statement available at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>

Regional or Local Office:

None.

Headquarters Office:

Robert L.D. Reynolds 4770 Buford Hwy.
MS-F45, Atlanta, Georgia 30341 Email: Vio9@cdc.gov Phone: 770-488-0563

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure; 93.197 Childhood Lead Poisoning Prevention Projects_State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria can be found in the program guidance.

93.071 MEDICARE ENROLLMENT ASSISTANCE PROGRAM

MIPPA

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

The Medicare Improvements for Patients and Providers Act of 2008 Section 119, Public Law (PL) 110275 as amended by the Patient Protection and Affordable Care Act of 2010 (Affordable Care Act), reauthorized by the American Taxpayer Relief Act of 2012 (ATRA), reauthorized by section 110 of the Protecting Access to Medicare Act of 2014 and reauthorized by the Medicare Access and CHIP Reauthorization Act of 2015.

OBJECTIVES:

To provide outreach to eligible Medicare beneficiaries regarding the benefits available under title XVIII of the Social Security Act, including the Medicare prescription drug benefit under Part D of title XVIII of the Social Security Act and under the Medicare Savings Program, and to coordinate efforts to inform older Americans about benefits available under Federal and state programs.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may not be used for: (a) construction and/or major rehabilitation of buildings; (b) basic research (e.g., bio-medical experiments); (c) continuation and/or expansion of existing services, and (d) medical care, institutional care or income maintenance. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, OMB Circular A-21 for Educational Institutions, and OMB Circular A-122 for Non-Profit Organizations.

Applicant Eligibility:

Formula grants: State governments and U.S. Territories, with distribution to designated area agencies on aging and Indian Tribal Organizations through an approved State plan. Project grants: Grants may be made to any public or nonprofit private agency, organization, or institution.

Beneficiary Eligibility:

Individuals eligible for Medicare benefits, including Part D drug benefits, and older persons eligible for benefits and services provided under Federal and state programs.

Credentials/Documentation:

Formula Grants - AoA will utilize appropriate census and Medicare coverage data to identify statutory target populations. Project Grants -- Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Formula Grants - Grant applications are in accordance with program announcements developed by the Administration for Community Living (ACL) and will be posted on Grants.gov.

Project Grants - The availability of competitive grant funds is announced via program announcements that are simultaneously published on Grants.gov and the ACL.gov website.

Award Procedure:

Formula Grants - The Administration for Community Living awards funds through a statutory formula to State Agencies on Aging. State agencies approve

and award funds to Indian Tribal Organizations, Area Agencies on Aging and Aging and Disability Resource Centers. Project Grants - Notification of award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Formula Grants - State plans are submitted for one-year period, with annual revisions. Project Grants - Grant extensions are available and may be requested.

Formula and Matching Requirements:

Statutory Formula: Public Law 100-275. Medicare Improvements for Patients and Providers Act of 2008 Section 119, Public Law (PL) 110275.

This program has no matching requirements. Percentage dependent on Statute. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Formula Grants - One year. Project Grants - One to Five Years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures, must be maintained for 3 years from the submission date of the final FFR document. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0142-0-1-506.

Obligations:

(Project Grants) FY 16 \$37,500,000; FY 17 est \$37,500,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

average \$61,464.

TAFS Codes:

75-01-4201-506.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration for Community Living, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog of Federal Domestic Assistance for addresses.).

Headquarters Office:

Isaac Long 330 C Street SW, Washington, District of Columbia 20201 Email: Isaac.Long@acl.hhs.gov Phone: 808-795-7315

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Mandatory/State Grant awards are made on a non-competitive basis. State Grants are awarded upon approval of a State Plan.

The review process for grants/cooperative agreements consists of initially screening applications for completeness and relevancy to ACL priority areas. If the application is not relevant to ACL priority areas, it will be returned to the applicant. If accepted as submitted it will be reviewed and evaluated. The review will be conducted by a panel of not less than three experts. ACL Project officer or designee will coordinate the panels review, but will not vote. This individual or supervisor will also prepare the panels recommendation to the ACL Administrator. The panels recommendations will contain numerical ratings, rankings of applications, and a written assessment of each application. The recommendations will be based on published criteria as stated in the program announcement. The review process is also stated in the program announcement.

93.072 LIFESPAN RESPITE CARE PROGRAM

Lifespan Respite

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

The Lifespan Respite Care Act of 2006, Public Law 109-442, 42 U.S.C 201, Public Law 109-442, 42 U.S.C 201.

OBJECTIVES:

To expand and enhance respite care services to family caregivers; improve the statewide dissemination and coordination of respite care; and to provide, supplement, or improve access and quality of respite care services to family caregivers, thereby reducing family caregiver strain. The Act also creates a National Lifespan Respite Resource Center designed to maintain a national database on lifespan respite care; provide training and technical assistance to State, community and nonprofit respite care programs; and provide information, referral, and educational programs to the public on lifespan respite care.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds shall be used for the development and enhancement of lifespan respite care at the State and local levels; the provision of respite care services for family caregivers caring for children or adults with special needs; training and recruiting of respite care workers and volunteers; the provision of information to caregivers about available respite and support services; and assistance to caregivers in gaining access to such services. Funds may also be used for training programs for family caregivers to assist family caregivers in making informed decisions about respite care services; other services essential to the provision of respite care as may be specified by ACL; or training and education for new caregivers. Funds may also be used to establish a National Resource Center on Lifespan Respite Care. Matching funds are required. Funds may not be used to supplant other Federal, State, or local funds available for respite care services.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Each governor desiring the eligible State agency of his or her State to receive a grant or cooperative agreement under this section shall submit an application on behalf of such agency. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications for federal assistance (Non-construction Programs) are in accordance with program announcements developed by the Administration on Aging /Administration for Community Living (ACL) and will be simultaneously published on Grants.gov (www.grants.gov) and on the ACL website at <http://www.acl.gov> when funding is available.

Award Procedure:

Notification of Award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. As specified in each program announcement posted on www.grants.gov and <http://www.acl.gov>.

Appeals:

Not Applicable.

Renewals:

As outlined in the program announcement.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25%. Eligible State agencies must provide a non-Federal cash or in-kind contribution of not less than 25% of the total award.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants may be made for a period not to exceed 5 years. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

Program progress reports are required semi-annually for project grants. SF-425 Financial Reports are due annually and are submitted through www.grantsolutions.gov. Evaluation of performance made through review of reports and oversight by Project Officer. Grantees are required to complete SF-425 Reports quarterly for reporting Federal cash receipts through the Payment Management System. No progress reports are required. 425 Financial Status reports are due annually. Evaluation of performance is made through review of reports and oversight by the Project Officer and submit through www.grantsolutions.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific

audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Cooperative Agreements) FY 16 \$3,360,000; FY 17 est \$3,360,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Lifespan Respite Care Program \$220,456 average.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92; Lifespan Respite Care Act of 2006 (Public Law 109-442); HHS Grants Policy Statement (<http://www.acl.gov>).

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Victoria Wright Office of Consumer Access and Self-Determination, Administration for Community Living, DHHS 330 C Street, SW, Washington, District of Columbia 20201 Email: victoria.wright@acl.hhs.gov Phone: (202) 795-7473

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.051 Alzheimer's Disease Demonstration Grants to States; 93.052 National Family Caregiver Support, Title III, Part E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competitive. Details for evaluating applications are published as part of the program announcement. Major emphases are: (1) Systems Advancement; (2) Partnership Development/Stakeholder Engagement; (3) Service Provision; (4) level of effort; (5) involvement of community-based organizations in planning and implementation activities; and (6) projects that benefit disadvantaged groups, including low-income, minority and limited English speaking populations.

93.073 BIRTH DEFECTS AND DEVELOPMENTAL DISABILITIES - PREVENTION AND SURVEILLANCE

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Executive Order 317(k)(2), Title 42, Part 241(a), Section 301(a), 42 U.S.C 247b(k)(2).

OBJECTIVES:

To work with State health agencies, universities, and public and private nonprofit organizations in planning, implementing coordinating or evaluating programs, research or surveillance activities related to improved birth outcomes, prevention of birth defects, and the improvement of infant and child health and developmental outcomes. To provide a national, State or local focus for the prevention of conditions of persons with birth defects; to employ epidemiological methods to set priorities, build capacity and direct public health promotion interventions for persons with birth defects; to expand public health training opportunities through fellowships to introduce geneticists,

epidemiologists, and clinicians to public health practice through education, training and career-enhancing experiences. To enhance surveillance and research for birth defects; to prevent congenital heart defects and other major birth defects associated with medication use during pregnancy; to advance neural tube defect prevention; and enhance the quality and usefulness of newborn screening data and programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to strengthen, to expand, to build upon or to enhance research, surveillance, health promotion, education, prevention/intervention activities that contribute to the mission and activities of the Division of Congenital and Developmental Disorders. Funds may be used to improve and strengthen State and local public health infrastructure by providing technical assistance to other appropriate health agencies, organizations, special groups or coalitions. Funds may be used to support capacity building, program planning, development, implementation, evaluation, and surveillance for birth defects, infant and child health and developmental outcomes, including related health promotion, education and training, prevention/intervention. Funds may also be used to coordinate the dissemination of prevention information to the general public, target populations and among state and local agencies and public and private sector organizations in the United States. Funds may not be used for direct curative or rehabilitative services.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

State; Consumer; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family; Private nonprofit institution/organizations and others.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q, OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Office of Grant Services, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 488-2700. Funding announcements will be posted on www.grants.gov. Forms and instructions are available in an interactive format on the www.grants.gov web site, at the following Internet address: www.grants.gov. Submit the final application using the www.grants.gov website.

Award Procedure:

After review and approval, a Notice of Award from the CDC Office of Grant Services is prepared and signed by an authorized Grants Management Officer and mailed to the recipient officer identified in the application, along with appropriate notification to the public. The initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Cooperative agreement awards and grants, competitive and single eligibility, are determined by an internal objective committee review process at CDC, including peer reviews for research activities. Awards will be based on evaluation criteria set forth in the respective Notice of Funding Opportunity, the availability of funds, and such other significant factors as deemed necessary and

appropriate by CDC.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Office of Grant Services, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Throughout project periods, CDC's commitment to continuation of awards will be conditioned on the availability of funds and evidence of satisfactory progress by recipient as documented in the required reports. If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 4 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for 12-month budget periods within project periods ranging from 1 to 5 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

Semiannual or annual progress reports are required. Annual Progress reports and Federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial report and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Notice of Funding Opportunity. Final federal financial report and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Cash reports are not applicable. Semiannual or annual progress reports are required. Annual Progress reports and Federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial report and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Notice of Funding Opportunity. Final federal financial report and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Semiannual or annual progress reports are required. Annual Progress reports and Federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial report and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Notice of Funding Opportunity. Expenditure reports are not applicable. Performance monitoring is not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with the HHS Grants Policy Statement requirements.

Account Identification:

75-0958-1-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$21,294,038; FY 17 est \$28,508,860; and FY 18 est \$23,000,000

Range and Average of Financial Assistance:

FY17/18 range is dependent upon funding availability. Award Amounts range from \$5,000 to \$1,500,000.

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit. The HHS Grants Policy Statement can be found online at: <http://www.hhs.gov/asrt/og/grantinformation/hhsgps107.pdf>

Regional or Local Office:

None.

Headquarters Office:

Nicole Fehrenbach 1600 Clifton Road, NE MS E-86, Atlanta, Georgia 30333
Email: ekk5@cdc.gov Phone: 404-498-2416

Website Address:

<http://www.cdc.gov/ncbddd>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In general, applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and merit of the overall application relative to the types of projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, and other appropriate national, regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

93.074 HOSPITAL PREPAREDNESS PROGRAM (HPP) AND PUBLIC HEALTH EMERGENCY PREPAREDNESS (PHEP) ALIGNED COOPERATIVE AGREEMENTS

HPP/PHEP

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

HPP: 319C-2 of the Public Health Service (PHS) Act, as amended by the Pandemic and All-Hazards Preparedness Act (PAHPA) (P.L. 109-417) (2006),

PHEP: 319C-1 of the Public Health Service (PHS) Act, as amended by the Pandemic and All-Hazards Preparedness Act (PAHPA) (P.L. 109-417) (2006), and 317(a) and 317(d) of the PHS Act, subject to available funding and other requirements and limitations.

OBJECTIVES:

The purpose of the 2012-2017 HPP-PHEP aligned programs cooperative agreement is to provide resources that support state, local, territorial, and tribal public health departments and healthcare systems/organizations in demonstrating measurable and sustainable progress toward achieving public health and healthcare emergency preparedness capabilities that promote prepared and resilient communities. [NOTE: For additional detailed information on the HPP program, please see CFDA 93.889. For additional detailed information on the PHEP program, please see CFDA 93.069].

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The distribution of funds will be to the health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the United States Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands. The statute specifies appropriate use of funds.

Applicant Eligibility:

NA.

Beneficiary Eligibility:

NA.

Credentials/Documentation:

Applicants should review the individual Funding Opportunity Announcement documents issued under this CFDA for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NA

Award Procedure:

Notification is made in writing through a Notice of Grant Award issued from the program's Grants Management Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. NA.

Appeals:

NA.

Renewals:

Project periods are for 5 years with 12-month budget periods. Applications must be submitted in the same manner as a new application.

Formula and Matching Requirements:

Statutory Formula: Statutory Formula for PHEP - 319C-1 of the Public Health Service (PHS) Act, as amended by the Pandemic and All-Hazards Preparedness Act (PAHPA) of 2006, Public Law 109-417. For HPP - Federal Register Notice of May 16, 2008 (Vol. 73, No 96) requires cost sharing (non-federal share) of not less than 10% of federal funds awarded For HPP and PHEP Matching Requirements: Percent: 10.%. These programs have an MOE requirement, see funding agencies for further details.

Matching Requirements: Percent: 10%. NA.

This program has MOE requirements, see funding agency for further details. This program has MOE requirements. Awardees must maintain expenditures for healthcare preparedness and public health security at a level that is not less than the average level of such expenditures maintained by the awardee for the preceding two-year period.

Length and Time Phasing of Assistance:

Project periods are limited up to 5 years. Budget periods are limited up to 12 months. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released. See the following for information on how assistance is awarded/released: Awardee is issued a Notice of Award, a legal document that informs the awardee that a grant award has been made. Awardee may draw down funds in advance of the incurrence of an expenditure (up to three days) from the Payment Management System.

Reports:

No program reports are required. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. Recipients will utilize the Transactions section of SF425 in lieu of the SF272. The FFR SF425 cash Transaction Report is due 30 days after the end of each calendar quarter. Semiannual and year end progress reports are required. Recipients are required to submit the FFR SF425 Federal Financial Report (FFR) semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements are provided in Title 45, CFR Part 92.

Account Identification:

75-0943-0-1-550 - PHEP; 75-0140-0-1-551 - HPP.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 16 \$840,250,000; FY 17 est \$840,250,000; and FY 18 est \$840,250,000 - Obligation is combined funding for the Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) component of the aligned HPP/PHEP cooperative agreement.

Components combined per instructions email 8/15/2014 zpr0@cdc.gov.

Range and Average of Financial Assistance:

FY2016:

Range: \$579,781 to \$65,956,156

Average: \$13,552,419.

TAFS Codes:

75-0140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

See Regional Agency Offices. NA.

Headquarters Office:

L. Sharon Sharpe CDC OPHPR
1600 Clifton Rd
, Atlanta, Georgia 30333 Email: LSS1@cdc.gov Phone: 404-639-0817

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This is a formula grant program. The applicants must submit their application in accordance with fiscal year Funding Opportunity Announcement. The applications are reviewed and approved applications are processed immediately. Applications approved with conditions require the applicant to resubmit supporting information within a prescribed time frame. Upon review and approval, the revised application can then be submitted to Grants Management for processing and issuing a notice of grant award.

93.077 FAMILY SMOKING PREVENTION AND TOBACCO CONTROL ACT REGULATORY RESEARCH

NIH-FDA Tobacco Control Regulatory Research

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

The Public Health Service Act and the Family Smoking Prevention and Tobacco Control Act, Public Law 111-31, 42 U.S.C 300u-3.

OBJECTIVES:

The Family Smoking Prevention and Tobacco Control Act (TCA) provides the authority to regulate tobacco product manufacturing, distribution and marketing. Regulatory research will provide the scientific evidence to guide the implementation of this authority. Research areas include: 1) approaches that test the toxicity of tobacco smoke, aerosol, or specific constituents, 2) the effect of tobacco product characteristics on addiction and abuse liability, 3) the short and long term health effects of tobacco products, 4) understanding the knowledge, attitudes and behaviors related to tobacco product use, 5) understanding how to effectively communicate the health effects of tobacco products, 6) the influences of tobacco marketing, and 7) understanding the impact of potential FDA regulatory actions. The strategic priorities for these research areas can be found at <http://www.fda.gov/TobaccoProducts/PublicHealthScienceResearch/Research/cm311860.htm>.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants; Project Grants (Contracts); Training

USES AND USE RESTRICTIONS:

(1) Research project grants provide support for clearly defined projects or a small group of related research activities, and when appropriate, support of research conferences; (2) program project and center grants support large-scale, broad-based programs of research, usually interdisciplinary, consisting of several projects with a common focus; (3) exploratory/development and clinical exploratory/developmental grants encourage the development of new research activities in categorical program areas; (4) cooperative agreements support single or a group of related research activities with significant involvement of federal staff; (5) small grants support newer, less experienced investigators; investigators at institutions without a well developed research tradition and resources; the testing of new methods or techniques; small-scale exploratory and pilot studies, or exploration of an unusual research opportunity; small grants provide research support of up to \$50,000 direct costs per year for a period of up to 2 years; (6) research training grants including fellowships and institutional training grants, career development grants and loan repayment contracts; and (7) SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of proposed research or research and development efforts to determine the quality of performance of the

small business grantees. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercially viable products or processes. Only Phase I awardees are eligible to apply for Phase II support; STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application and the quality of performance of the small business concerns. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application. Research must directly support the Family Smoking Prevention and Tobacco Control Act and the Center for Tobacco Products, Food and Drug Administration Research Priorities found at <https://www.fda.gov/tobaccoproducts/publichealthscienceresearch/research/cm311860.htm>.

Applicant Eligibility:

Applicants should review the eligibility information in the individual funding opportunity announcements issued under this CFDA.

Beneficiary Eligibility:

This Tobacco Control Regulatory Research Program will support research aimed at providing guidance and evidence to develop regulation for tobacco product manufacturing, distribution and marketing. The general public will ultimately benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is generally not applicable, though applicants requesting direct costs of \$500,000 or more for any one year must contact the appropriate NIH Institute or Center no later than 6 weeks before the anticipated submission date. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Most applications must be prepared and submitted electronically. Most applicants use the SF 424 (Research & Related) for electronic submission. Information about the SF 424 form may be found on <http://grants.nih.gov/grants/funding/424/index.htm>, but application packages should be accessed through the appropriate funding opportunity announcement, found either on the NIH Guide to Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>) or through the Grants.gov website (<http://www.grants.gov/>). Some institutions may submit the grant data directly (using what is called a system-to-system transfer). For further details, see grants.gov or eRA Commons. Application forms for SBIR and STTR grants may be obtained through the SBIR/STTR funding announcements posted on the NIH Guide to Grants and Contracts and the Grants.gov sites (see URLs listed above). All SBIR and STTR applications must be submitted electronically. The timeline for transition to electronic applications and the new forms may be found at: <http://era.nih.gov/ElectronicReceipt/>. For further assistance contact GrantsInfo, Telephone (301) 435-0714, Email: GrantsInfo@nih.gov. Consultation on a proposed project may be obtained from the Office of Disease Prevention in the Division of Program Coordination, Planning, and Strategic Initiatives at NIH. Applications are reviewed by principally nonfederal consultants recruited nationwide. The amounts of the award and period of support are determined on the basis of merit of the project and the nature of the grant mechanism. Electronic applications are submitted through the Grants.gov website.

Award Procedure:

All applications for research grants, cooperative agreements, training, and SBIR and STTR grants are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by the National Advisory Council of the NIH Institute or Center that will manage the award. All competitive applications compete for available funds on the basis of scientific and technical merit, program relevance, and program balance. FDA Center for Tobacco Control will make final decisions on the relevance and program balance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Review the individual Funding Opportunity Announcement under this CFDA for any application-specific deadlines.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH homepage
<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

Renewal grants may only be submitted in response to a specific funding opportunity announcement under this CFDA.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds, with project periods ranging from 1 to 5 years. Funding commitments are made annually. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

Annual progress and financial status reports for continuing projects and final reports on all projects upon conclusion are required. Cash are applicable and will be submitted on the SF425. Annual progress reports for continuing projects are required to assess progress. Interim reports may also be required or requested. Expenditure reports are applicable and will be submitted monthly on the SF425. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period.

Scientific data must be maintained to comply with Circular A-110 Shelby Amendment.

Account Identification:

75-2016-0-6-000 - 204B FDA IDDA REIMBURSABLE CATEGORY B.

Obligations:

(Project Grants) FY 16 \$95,272,556; FY 17 est \$91,854,000; and FY 18 est \$83,249,000 - Funds provided by the FDA CTP through an IDDA to NIH for the support of Family Smoking Prevention and Tobacco Control Act.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0846.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74; 42 CFR 52; Guidelines are included in Funding Opportunity Announcements. Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal

regulations at 42 CFR Part 52 and 42 CFR Part 52a; Administrative requirements at 45 CFR Part 74.

Regional or Local Office:

None.

Headquarters Office:

Helen I. Meissner, ScM, PhD National Institutes of Health
6100 Executive Blvd.
Room 3B01, MSC 7530
Bethesda, Maryland 20892-7530 Email: TRSP@mail.nih.gov Phone: 301-451-8681 Fax: 301-480-7660

Website Address:

<https://prevention.nih.gov/tobacco-regulatory-science-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding decisions are based on scientific merit (42 CFR 52h) and program relevance. Scientific merit review encompasses: the peer review criteria detailed in the specific funding announcement, as well as the significance, approach, and innovation of the proposed research; the competency of the principal investigator; the adequacy of the resources and environment; the proposed budget and requested period of support; and, where applicable, the adequacy of the measures for protecting against adverse effects upon humans, animals, or the environment. Relevance to Tobacco Control Regulatory Research program priorities will also be taken into consideration.

93.078 STRENGTHENING EMERGENCY CARE DELIVERY IN THE UNITED STATES HEALTHCARE SYSTEM THROUGH HEALTH INFORMATION AND PROMOTION

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 1703 (a), 42 U.S.C. Section 300u-2.

OBJECTIVES:

To assist in planning or developing emergency care health information and promotion activities, documents or materials that focus on strengthening emergency care and service delivery and the development of regionalized, coordinated and accountable systems of emergency care in the United States that can address both daily demands and those resulting from a public health emergency or disaster.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grant funds may only be used for planning health information and promotion activities and materials that are related to strengthening emergency care delivery and the development of regionalized, coordinated and accountable systems of emergency care. Grants funds may not be used for basic research (e.g. scientific or medical experiments.). When appropriated and apportioned, all funds available will be used for discretionary grant awards.

Applicant Eligibility:

Domestic public or private non-profit entities including state and local governments, Indian tribal governments and organizations (American Indian/Alaskan Native/Native American), faith-based organizations, community-based organizations, hospitals, and institutions of higher education.

Beneficiary Eligibility:

Domestic public or private non-profit entities including state and local governments, Indian tribal governments and organizations (American Indian/Alaskan Native/Native American), faith-based organizations, community-based organizations, hospitals, and institutions of higher education.

Credentials/Documentation:

Applicants should review the individual Assistant Secretary for Preparedness

and Response (ASPR) funding opportunity announcement (FOA) issued under this CFDA program for any proof of certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular A-122 applies to this program. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should review the individual Assistant Secretary for Preparedness and Response (ASPR) funding opportunity announcement (FOA) issued under this CFDA program for any proof of certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular A-122 applies to this program. OMB Circular No. A-87 applies to this program.

Award Procedure:

Applications that successfully proceed through initial screening (as outlined in the FOA) will be objectively reviewed based upon the review criteria specified in the FOA. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Grant Award from the Office of the Assistant Secretary for Preparedness and Response.

Deadlines:

Aug 30, 2012 Applicable deadlines and due dates are specified in the funding opportunity announcement.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are from 6 months and up to 5 years. Budget periods are from 6 months and up to 12 months. See the following for information on how assistance is awarded/released: Awardee is issued a Notice of Grant Award, a legal document that informs the awardee that a grant award has been made. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Awardee may draw down funds in advance of the incursion of expenditure (up to three days) from the Payment Management System.

Reports:

Grantees are required to submit program reports, also known as Program Progress Reports. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. The FFR SF425 cash transaction report is due 30 days after the end of each calendar quarter. Grantees are required to submit Program Progress Reports at the completion of each milestone as well as a final Program Progress Report. ASPR requires financial reporting through the Federal Financial Report (FFR), Standard Form 425. Reports are due semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Performance monitoring of awardees is completed by ASPR program staff. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. The FFR SF425 cash transaction report is due 30 days after the end of each calendar quarter. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Grantees are required to

submit program reports, also known as Program Progress Reports. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. ASPR requires financial reporting through the Federal Financial Report (FFR), Standard Form 425. Reports are due semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Performance monitoring of awardees is completed by ASPR program staff. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0140-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 Not Available; FY 17 est \$400,000; and FY 18 est \$400,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-1013-014.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Kristen Finne Office of Policy and Planning, Division of Health Systems Policy, Office of the Assistant Secretary for Preparedness and Response, Department of Health and Human Services, O'Neill Federal Building, 200 C Street SW, 1st Floor, Cube C1A04, Washington, District of Columbia , 20024, Washington, District of Columbia 20024 Email: kristen.finne@hhs.gov Phone: 202-631-2013

Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Discretionary awards are subject to objective review. Reviewers will individually evaluate and score applications based upon the review criteria specified in the funding opportunity announcement. Scored applications will be ordinarily ranked. The highest ranked applications will receive priority consideration for award within available funding.

93.079 COOPERATIVE AGREEMENTS TO PROMOTE ADOLESCENT HEALTH THROUGH SCHOOL-BASED HIV/STD PREVENTION AND SCHOOL-BASED SURVEILLANCE

NA

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III, Part B, Section 317(b)(k)(2), 42 U.S.C 247(b)(k)(2).

OBJECTIVES:

Funding will build the capacity of state, territorial, and local (STL) agencies and support the efforts of national, non-governmental organizations (NGO) to help school districts and schools develop and implement sustainable program activities to: 1) Reduce HIV infection and other STD among adolescents; and 2) Reduce disparities in HIV infection and other STD experienced by specific adolescent sub-populations. It is also expected that applicants activities will reinforce efforts to reduce teen pregnancy rates.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Throughout the 5-year cooperative agreement, awardees will conduct the Youth Risk Behavior Survey (YRBS) and the School Health Profiles (Profiles) and will aim to improve the sexual health of high school students within their jurisdiction by delaying the onset of sexual activity; reducing the number of sexual partners; promoting the dual use of condoms and a highly effective contraceptive method among adolescents who are sexually active; increasing STD and HIV testing, counseling, and treatment; and addressing key social determinants of health to ensure we are reaching youth at most disproportionate risk for HIV infection and other STD. Separate funding pools are available for State and Territorial Education Agencies (SEA/TEA); Local Education Agencies (LEA); and National Non-Governmental Organizations. In addition, state, territorial, and local health agencies may be eligible for School-Based Surveillance funding if the education agency in their jurisdiction does not apply for it. Funding will be for agencies and organizations to implement four key strategies:

- Strategy 1: School-Based Surveillance
- Strategy 2: School-Based HIV/STD Prevention
- Strategy 3: Capacity Building Assistance for School-Based HIV/STD Prevention
- Strategy 4: School-Centered HIV/STD Prevention for Young Men Who Have Sex with Men.

Cooperative agreement funds may be used to support personnel, purchase equipment, supplies, services, and travel directly related to program activities and consistent with the scope of this program. Cooperative agreement funds are used to help schools prevent sexual risk behaviors that result in HIV and STD infection, especially among youth who are at highest risk. CDC believes that the specific scope and content of HIV education programs in schools should be locally determined and should be consistent with parental and community values. CDC supports local decision making by providing sound scientific information to grantees who work directly with schools, communities, and parents. Funds may be expended for written materials, pictorials, audiovisuals, questionnaires, or survey instruments, and educational group sessions related to HIV education youth, school, and college populations if approved in accordance with the document Content of AIDS-Related Written Materials, Pictorials, Audiovisuals, Questionnaires, Survey Instruments, and Educational Sessions in Centers for Disease Control and Prevention (CDC) Assistance Programs. Cooperative agreement funds are also used to build state education and state health agency partnership and capacity to implement and coordinate school health programs across agencies and within schools. For example, cooperative agreement recipients implement and integrate effective policies, environmental changes, and educational strategies to reduce risky behaviors; leverage resources to avoid duplication at the state and local levels; and evaluate school health capacity-building efforts while implementing effective strategies to reduce priority health risks. Funds may not be used to conduct research projects, provide direct delivery of patient care or treatment services, or purchase or disseminate condoms. Although public health may have an assurance role in clinical testing and screening, funds are not to be used to provide clinical testing or screening services. Funds may not be used for purchasing computer equipment, office equipment, or furnishing, renting, or leasing office space, or to support constructions or renovation unless specifically approved.

Applicant Eligibility:

All 50 state education agencies, plus the District of Columbia, and the territorial education agencies in Puerto Rico, the U.S. Virgin Islands, American Samoa, Northern Mariana Islands, Federated States of Micronesia, Guam, Republic of the Marshall Islands, and Republic of Palau are eligible to apply for Strategies 1 and 2. SEA and TEA are not eligible for Strategy 3. If a state or territorial education agency declines to apply for Strategy 1, the health agency in the same jurisdiction or the health agency's Bona Fide Agent may apply on their behalf. Either the education agency or the health agency in a state or territory must be awarded for Strategy 1 and include at least four of the seven sexual behavior questions on the 2015 YRBS questionnaire in order for the education agency in that state or territory to be awarded for Strategy 2. SHA/THA are not eligible for Strategy 2, 3 or 4. Thirty-one local education agencies are eligible to compete for Strategies 1 and 2, and twelve of the 31 LEA are eligible to compete for Strategy 4. LEA are not eligible for Strategy 3. If a local education agency declines to apply for Strategy 1 funding, the health agency in the same jurisdiction or the health agency's Bona Fide Agent may apply on its behalf. Either the local education agency or the health agency in the same jurisdiction must be awarded for Strategy 1 and include at least four of the seven sexual behavior questions on the 2015 YRBS questionnaire in order for the education agency in that jurisdiction to be eligible for funding for Strategies 2 and 4. LHA are not eligible for Strategy 2, 3 or 4.

NGO eligibility to compete for Strategy 3 funding is limited to:

- Public and private non-profit organizations that serve education organizations;
- National non-profit organizations with 501 C (3) IRS status (other than institutions of higher education); and
- National non-profit organizations without 501 C (3) IRS status (other than institutions of higher education).

NGO includes those that represent constituencies (e.g., members, networks, affiliates and/or chapters within ten (10) or more states) with the greatest potential to affect national initiatives facilitated by CDC/DASH PS13-1308 awarded STL education agencies. An NGO must have a specific charge from its Articles of Incorporation, bylaws, or a resolution from its executive board or governing body to operate nationally within the United States or its territories. NGO are not eligible for Strategy 1 and 2.

Beneficiary Eligibility:

Official state education agencies in states and territories in the United States (including the District of Columbia, the Commonwealth of Puerto Rico, American Samoa, Commonwealth of the Northern Mariana Islands, Federated States of Micronesia, Guam, the Republic of the Marshall Islands, the Republic of Palau, and the U.S. Virgin Islands); local education agencies; public and private non-profit organizations that serve education organizations; school-aged youth; and school personnel including, but not limited to, teachers, school nurses, paraprofessionals, and school administrators.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Phase I Review: all eligible applications will be initially reviewed for completeness by the CDC's Office of Grants Services (OGS) staff. In addition, eligible applications will be jointly reviewed for responsiveness by the CDC and OGS. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance to Phase II review. Applicants will be notified that the application did not meet eligibility and/or published submission requirements. Phase II Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the

criteria section of the notice of funding opportunity (NOFO) for all strategies. This review will consist of a programmatic and budget assessment to ensure that the proposed project is technically and scientifically sound and the awarded entity is capable of performing the project. Applicants will be provided a copy of the technical, scientific, and budget assessment of their application. Phase III Review: All applications deemed eligible and technically acceptable by the review panel will be awarded in order of score and rank within their funding tier. In addition, the following factors may affect the funding decision: availability of funds and geographic diversity. Strategy 2 will be awarded after awards have been determined for the LEA awarded in Strategy 1. Strategy 4 will be awarded after awards have been determined for Strategy 2. It is anticipated that a variety of NGO will be awarded in Strategy 3. CDC will provide justification for any decision to fund out-of-rank order; factors such as geographical distribution and organizational diversity will be taken into consideration when making final funding decisions. Successful awardees will receive an electronic copy of the Notice of Award (NoA) from OGS. The NoA shall be the only binding, authorizing document between the awardee and CDC. The NoA will be signed by an authorized grants management official (GMO) and emailed to the awardee program director. Any application awarded in response to the NOFO will be subject to the DUNS, SAM Registration and Federal Funding Accountability And Transparency Act Of 2006 (FFATA) requirements.

Unsuccessful applicants will receive notification of the results of the application review by email with delivery receipt or by mail.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Renewals will be based upon the conditions in the funding opportunity announcement and are subject to the availability of funds under Section 317 of the Public Health Service Act.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period can be up to 5 years. Budget periods are for 12 months.

Payment under this program is made available through HHS Payment Management System (PMS) Method of awarding/releasing assistance. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The awardee must submit the Annual Performance Report (APR) via GrantSolutions 120 days before the end of the budget period.

Performance Measures (including outcomes) Awardees must report on performance measures for each budget period and update measures, if needed.

Evaluation Results Awardees must report evaluation results for the work completed to date (including any impact data).

Work Plan Awardees should update work plan each budget period.

Administrative Reporting

SF-424A Budget Information-Non-Construction Programs

Budget Narrative

Indirect Cost Rate Agreement. The annual Federal Financial Report (FFR)

SF 425 is required and must be submitted through GrantSolutions within 90 days after the end of each budget period. The FFR should only include those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to submit a

letter explaining the reason and date by which the Grants Management Officer will receive the information. CDC programs require awardees to submit performance measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection of data. CDC/DASH will require reporting at least semi-annually, due 120 days before the end of the budget period as part of the Annual Performance Report and 90 days after the end of each budget period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions.

Account Identification:

79-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$18,443,751; FY 17 est \$18,537,517; and FY 18 est \$18,000,000 - Future year funding is subject to the availability of funds.

Range and Average of Financial Assistance:

Awards will range from approximately \$7,000 to \$650,000 (subject to the availability of funds), with an average of approximately \$375,000, depending on the specific components funded.

TAFS Codes:

75-15-16-0950.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: DASH funded partners have worked to build the capacity of secondary schools to:

- implement exemplary sexual health education programs;
- increase student access to sexual health services including HIV and STD testing;
- create safe and supportive environments for all students, particularly those at greatest risk for HIV infection and other STDs; and
- assess existing school policies related to HIV/STD prevention and educate key decision makers on policy issues.

Fiscal Year 2017: DASH funded partners have worked to build the capacity of secondary schools to:

- implement exemplary sexual health education programs;
- increase student access to sexual health services including HIV and STD testing;
- create safe and supportive environments for all students, particularly those at greatest risk for HIV infection and other STDs; and
- assess existing school policies related to HIV/STD prevention and educate key decision makers on policy issues.

Fiscal Year 2018: DASH funded partners will work to build the capacity of secondary schools to:

- implement health education programs;
- increase student access to health services including HIV and STD testing;
- create safe and supportive environments for all students, particularly those at greatest risk for HIV infection and other STDs; and
- assess existing school policies related to HIV/STD prevention and educate key decision makers on policy issues.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 45 CFR Part 74 and 45 CFR Part 92. Program guidelines are available from the Grants Management Contact.

Regional or Local Office:

None.

Headquarters Office:

John Canfield, CDC
NCHHSTP
DASH
1600 Clifton Road NE, MS E-75, Atlanta, Georgia 30333 Email:
qzc6@cdc.gov Phone: 404-718-8333 Fax: 404-718-8045

Website Address:

<http://www.cdc.gov/healthyyouth>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Texas Department of State Health Services
New Hampshire Department of Education
Montana Office of Public Instruction
North Dakota Department of Public Instruction
Ohio Department of Health
South Dakota Department of Health
New York City Board of Education (NY)
West Virginia Department of Education
New York State Education Department
American Psychological Association (APA)
North Carolina Department of Public Instruction
District of Columbia Public Schools (DC)
Oakland Unified School District (CA)
DeKalb County Board of Health (GA)
Kansas State Department of Education
Gay Straight Alliance Network (GSAN)
Duval County Public Schools (FL)
Houston Independent School District (TX)
Iowa Department of Education
Massachusetts Department of Elementary & Secondary Education
Oklahoma State Department of Health
School Board of Orange County (FL)
Michigan Department of Education
New Jersey Department of Education
Oregon Health Authority
Pennsylvania Department of Education
School District of Philadelphia (PA)
Advocates for Youth (AFY)
Alaska Department of Health and Social Services
Arizona Department of Education
Arkansas Department of Education
Chicago Public Schools (IL)
California Department of Education
Cicatelli Associates Inc.
Cleveland Municipal School District (OH)
Colorado Board of Education
Connecticut State Department of Education
District of Columbia Office of the Superintendent of Education
Delaware Department of Education
School District of the City of Detroit (MI)
Ft. Worth Independent School District (TX)
Georgia Department of Public Health
Healthy Teen Network (HTN)
Idaho State Department of Education
Indiana State Department of Health
Illinois State Board of Education
Rhode Island Department of Elementary & Secondary Education
Kentucky Department of Education
Los Angeles Unified School District (CA)
Louisiana Department of Education
Maine Department of Education
Maryland State Department of Education
San Diego Unified School District (CA)
School Board of Palm Beach County (FL)
School Board of Miami-Dade (FL)

Minnesota Department of Education
Missouri Department of Elementary & Secondary Education
Nebraska Department of Education
Nevada Department of Health & Human Services
New Mexico Public Education Department
San Francisco Unified School District (CA)
Shelby County Board of Education (TN)
South Carolina Department of Education
School Board of Broward County (FL)
Delaware Department of Health & Social Services
Utah Department of Health
Vermont Department of Health
Vermont Department of Education
Virginia Department of Health
Washington State Office of Superintendent
Wisconsin Department of Public Instruction
Wyoming Department of Education
National Coalition of STD Directors (NCSD)
Mississippi Department of Education
Boston Public Schools (MA)
Republic of Palau Ministry of Education
Hawaii State Department of Education
Guam Department of Education
Florida Department of Education
Tennessee Department of Education
Alabama Department of Health. Fiscal Year 2017: Texas Department of State Health Services
New Hampshire Department of Education
Montana Office of Public Instruction
North Dakota Department of Public Instruction
Ohio Department of Health
South Dakota Department of Health
New York City Board of Education (NY)
West Virginia Department of Education
New York State Education Department
American Psychological Association (APA)
North Carolina Department of Public Instruction
District of Columbia Public Schools (DC)
Oakland Unified School District (CA)
DeKalb County Board of Health (GA)
Kansas State Department of Education
Gender & Sexualities Alliance Network (GSAN)
Duval County Public Schools (FL)
Houston Independent School District (TX)
Iowa Department of Education
Massachusetts Department of Elementary & Secondary Education
Oklahoma State Department of Health
School Board of Orange County (FL)
Michigan Department of Education
New Jersey Department of Education
Oregon Health Authority
Pennsylvania Department of Education
School District of Philadelphia (PA)
Advocates for Youth (AFY)
Alaska Department of Health and Social Services
Arizona Department of Education
Arkansas Department of Education
Chicago Public Schools (IL)
California Department of Education
Cicatelli Associates Inc.
Cleveland Municipal School District (OH)
Colorado Board of Education
Connecticut State Department of Education
District of Columbia Office of the Superintendent of Education
Delaware Department of Education
Ft. Worth Independent School District (TX)
Georgia Department of Public Health
Healthy Teen Network (HTN)
Idaho State Department of Education

Indiana State Department of Health
 Illinois State Board of Education
 Rhode Island Department of Elementary & Secondary Education
 Kentucky Department of Education
 Los Angeles Unified School District (CA)
 Louisiana Department of Education
 Maine Department of Education
 Maryland State Department of Education
 San Diego Unified School District (CA)
 School Board of Palm Beach County (FL)
 School Board of Miami-Dade (FL)
 Minnesota Department of Education
 Missouri Department of Elementary & Secondary Education
 Nebraska Department of Education
 Nevada Department of Health & Human Services
 New Mexico Public Education Department
 San Francisco Unified School District (CA)
 Shelby County Board of Education (TN)
 South Carolina Department of Education
 School Board of Broward County (FL)
 Delaware Department of Health & Social Services
 Utah Department of Health
 Vermont Department of Health
 Vermont Department of Education
 Virginia Department of Health
 Washington State Office of Superintendent
 Wisconsin Department of Public Instruction
 National Coalition of STD Directors (NCSD)
 Mississippi Department of Education
 Boston Public Schools (MA)
 Hawaii State Department of Education
 Guam Department of Education
 Florida Department of Education
 Tennessee Department of Education
 Alabama Department of Health
 Detroit Public Schools Community District (MI). Fiscal Year 2018: To be determined.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Office of Grant Services (OGS) staff and for responsiveness jointly by the National Center for HIV/AIDS, Viral Hepatitis, STD and TB Prevention and OGS. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements. CDC will conduct an objective review to evaluate complete and responsive applications according to the criteria listed in Part II, Section E. Application Review Information within the individual funding opportunity announcement. Applicants will be notified if their application did not meet program requirements.

93.080 BLOOD DISORDER PROGRAM: PREVENTION, SURVEILLANCE, AND RESEARCH

Division of Blood Disorders - Prevention, Surveillance and Research

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act Sections 301, 301(a), 311, and 317C (42 U.S.C. Sections 241, 241(a), 237b-4, 243, 247-4, and 247b-4, as amended.

OBJECTIVES:

To work with State health agencies and other public and private and nonprofit organizations in planning, development, implementation, coordination, or evaluation of programs or other activities related to improved blood disorders. To provide a national, State or local focus for the prevention of conditions in persons with blood disorders; to employ epidemiological methods to set priorities, build capacity and direct health promotion interventions for persons with blood disorders; to expand public health training opportunities through fellowships to introduce geneticists, epidemiologists, and clinicians to public

health practice through education, training and career-enhancing experiences.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to strengthen, to expand, to build upon or to enhance surveillance, health promotion, education and training, prevention/intervention activities that contribute to the mission and activities of the Division of Blood Disorders. Funds may be used to improve and strengthen State and local public health infrastructure by providing technical assistance to other appropriate health agencies, organizations, special groups or coalitions. Funds may be used to support capacity building, program planning, development, implementation, evaluation, and surveillance for blood disorders outcomes, including related health promotion, education and training, and prevention/intervention. Funds may also be used to coordinate the dissemination of prevention information to the general public, target populations and among state and local agencies and public and private sector organizations in the United States. Funds may not be used for direct curative or rehabilitative services.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe evaluation procedures, and provide a budget with justification for funds requested. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Information on the submission of applications may be obtained from the Grants Management Officer, Office of Grants Services, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 488-2700.

Funding announcements will be posted on www.grants.gov. Forms and instructions are available in an interactive format on the Grants.gov web site, at the following Internet address: www.grants.gov. Submit the final application using the www.grants.gov website.

Award Procedure:

After review and approval, a Notice of Award from the CDC Office of Grant Services is prepared and signed by an authorized Grants Management Officer and mailed to the recipient officer identified in the application, along with appropriate notification to the public. The initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Cooperative agreement awards and grants, competitive and single eligibility, are determined by an internal objective committee review process at CDC, including peer reviews for research activities. Awards will be based on evaluation criteria set forth in the respective Notice of Funding Opportunity, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 90 to 120 days. After review and approval, a Notice

of Award from the CDC Office of Grants Services is prepared and signed by an authorized Grants Management Officer and mailed to the recipient officer identified in the application, along with appropriate notification to the public. The initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Cooperative agreement awards and grants, competitive and single eligibility, are determined by an internal objective committee review process at CDC, including peer reviews for research activities. Awards will be based on evaluation criteria set forth in the respective Notice of Funding Opportunity, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC.

Appeals:

Not Applicable.

Renewals:

Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Office of Grants Services, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. Throughout project periods, CDC's commitment to continuation of awards will be conditioned on the availability of funds and evidence of satisfactory progress by recipient as documented in the required reports. If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 4 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for 12-month budget periods within project periods ranging from 1 to 5 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports are required annually. A plan of action is required annually. Cash reports are not applicable. Progress reports are required annually. A plan of action is required annually. Financial status reports are required no later than 90 days after the end of each specified funding period. Final financial status and progress reports are required 90 days after the end of a project. Progress reports are required annually. A plan of action is required annually. No cash reports are required. Progress reports are required annually. A plan of action is required annually. Cash reports are not applicable. Progress reports are required annually. A plan of action is required annually. Financial status reports are required no later than 90 days after the end of each specified funding period. Final financial status and progress reports are required 90 days after the end of a project. Progress reports are required annually. A plan of action is required annually. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreement program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0958-1-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$5,958,045; FY 17 est \$6,960,136; and FY 18 est \$6,960,136

Range and Average of Financial Assistance:

FY18 funding is dependent upon funding availability. Award amounts range from \$5,000 to \$4,300,000.

TAFS Codes:

75-0953.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit.

Regional or Local Office:

None.

Headquarters Office:

Kendall Anderson 1600 Clifton Road, NE MS-E64, Atlanta, Georgia 30333
Email: kra0@cdc.gov Phone: 404-498-3950

Website Address:

<http://www.cdc.gov/NCBDDD>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In general, applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and merit of the overall application relative to the types of projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

**93.081 ASPR SCIENCE PREPAREDNESS AND RESPONSE GRANTS
FEDERAL AGENCY:**

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act section 301 and 1702 of the PHS Act.

OBJECTIVES:

To conduct preparedness and response research that will inform the ongoing response to, and recovery from disasters.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Research on environmental exposure: such as health risk from mold, toxic exposure, flooding of hospitals. Research on resilience factors Surveys regarding decision making during response and recovery. How can social media be or is being used for response and recovery activities for preparedness and response activities?. Funding under this CFDA can only be used for

preparedness and response research that will inform the ongoing response to, and recovery from, disasters.

Applicant Eligibility:

Research on environmental exposure: such as health risk from mold, toxic exposure, flooding of hospitals. Research on resilience factors Surveys regarding decision making during response and recovery. How can social media be or is being used for response and recovery activities for preparedness and response activities?.

Beneficiary Eligibility:

Domestic, foreign and international public or private non-profit entities including state and local governments, Indian tribal governments and organizations (American Indian/Alaskan Native/Native American), faith-based organizations, community-based organizations, hospitals, and institutions of higher education.

Credentials/Documentation:

Applicants should review the individual Assistant Secretary for Preparedness and Response (ASPR) funding opportunity announcement (FOA) issued under this CFDA program for any proof of certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular A-122 applies to this program. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should review the individual Assistant Secretary for Preparedness and Response (ASPR) funding opportunity announcement (FOA) issued under this CFDA program for any proof of certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular A-122 applies to this program. OMB Circular No. A-87 applies to this program.

Award Procedure:

Applications that successfully proceed through initial screening (as outlined in the FOA) will be objectively reviewed based upon the review criteria specified in the FOA. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Grant Award from the Office of the Assistant Secretary for Preparedness and Response.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applicable deadlines and due dates are specified in the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are from 6 months and up to 5 years. Budget periods are from 6 months and up to 12 months. See the following for information on how assistance is awarded/released: Awardee is issued a Notice of Grant Award, a legal document that informs the awardee that a grant award has been made. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Awardee may draw down funds in advance of the incursion of expenditure (up to three days) from the Payment Management System. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are required to submit program reports, also known as Program Progress Reports. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Grantees are required to submit program reports, also known as Program Progress Reports. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. . Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. The FFR SF-425 cash transaction report is due 30 days after the end of each calendar quarter. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Grantees are required to submit Program Progress Reports at the completion of each milestone as well as a final Program Progress Report. Performance monitoring of awardees is completed by ASPR program staff. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. ASPR requires financial reporting through the Federal Financial Report (FFR), Standard Form 425. Reports are due semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Performance monitoring of awardees is completed by ASPR program staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0140-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 Not Available; FY 17 est \$105,000; and FY 18 est \$105,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-551.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Office of the Assistant Secretary for Preparedness and Response, ASPR, HHS.

Headquarters Office:

Virginia Simmons HHS Headquarters,
200 C Street, SW, Washington, District of Columbia 20024 Email:
virginia.simmons@hhs.gov Phone: 202.260.0400

Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.082 SODIUM REDUCTION IN COMMUNITIES

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Section 317(k)(2) of the Public Health Services Act (PHS Act), 42 U.S.C. 247b(k)(2) as amended.

OBJECTIVES:

The purpose of this program is to reduce Americans sodium intake to limits recommended by the Dietary Guidelines. Because the majority of sodium that people consume is already present in foods before purchase or preparation, reducing sodium intake may require increased availability and accessibility of lower sodium items. Efforts to reduce sodium in the food supply are occurring at a national level; however movement is also needed at the community level to affect change. Because community-wide efforts to reduce sodium intake are relatively new, more practice-based evidence would support identification and refinement of effective strategies. Therefore, this program will aim to implement and evaluate population-based strategies to increase access to lower sodium food in communities, and decrease sodium intake.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting sodium reduction strategies at the community and/or state level. Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses. CDC will have substantial involvement with the award recipient during performance of the funded activity. Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses and activities.

Applicant Eligibility:

a. An official state health department (or its bona fide agent), or its equivalent, as designated by the Governor, is to serve as the lead/fiduciary agency for Small City and Rural Community applications. For this announcement, the term State includes the 50 states and the District of Columbia. The term small city is defined as a local health department that serves a jurisdiction with a population between 50,000 250,000 people. The term rural area is defined as a local health department that serves a jurisdiction with a population of 50,000 people and below. b. An official local health department (or its bona fide agent), or its equivalent, as designated by the mayor, county executive, or other equivalent governmental official, will serve as the lead/fiduciary agent for a Large City application. For this announcement, the term large city is defined as a local health department that serves a jurisdiction with a population of more than 500,000 people. c. Federally recognized Tribal Governments, Regional Area Indian Health Boards, Urban Indian organizations, and Inter-Tribal Councils will serve as the lead/fiduciary agency for Tribal Community applications.

Beneficiary Eligibility:

Any U.S. state, political subdivision and U.S. territories (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Awardees will receive an electronic copy of the Notice of Award (NoA) from the CDC PGO. The NoA shall be the only binding, authorizing document between the awardee and CDC. The NoA will be signed by an authorized GMO and emailed to the awardee program director. Any application awarded in response to this FOA will be subject to the DUNS, CCR Registration and Federal Funding Accountability And Transparency Act Of 2006 (FFATA) requirements. Unsuccessful applicants will receive notification of the results of the application review by email with delivery receipt.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are for 1 to 5 years with 12-month budget periods. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Annual progress reports are required. No cash reports are required. Annual progress reports are required. Federal Financial Reports are required 90 days after the end of the calendar quarter. Annual Progress reports are required.

Audits:

No audits are required for this program.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0094-3-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$2,999,949; FY 17 est \$2,999,949; and FY 18 est \$2,999,949 - FY15 supports the last budget year for FOA 13-1301 FY16 supports estimate funding for new FOA DP16-1607.

Range and Average of Financial Assistance:

250,000 for Large City Applicants; \$350,000 for state coordinated applicants (this amount is subject to the availability of funds).

TAFS Codes:

75-0094.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Kristy Mugavero 4770 Buford Highway, MS F72
, Atlanta, Georgia 30341 Email: KMugavero@cdc.gov Phone: 770-488-2047

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Phase I Review: All eligible applications will be initially reviewed for completeness by the CDC's Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by the CDC NCCDPHP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance to Phase II review. Applicants will be notified that the application did not meet eligibility and/or published submission requirements.

Phase II Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the criteria section of the FOA. Applicants will be notified electronically if the application did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review .

Applications will be funded in order by score and rank determined by the review panel.

93.083 PREVENTION OF DISEASE, DISABILITY, AND DEATH THROUGH IMMUNIZATION AND CONTROL OF RESPIRATORY AND RELATED DISEASES**FEDERAL AGENCY:**

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Section 301(a)[42U.S.C.241(a)]
Public Health Service Act, Title 42, Section 307[42 U.S.C. 242I]
Public Health Service Act, Title 42, Section 317[42U.S.C. 247b(k)(1)]
Public Health Service Act, Title 42, Section 317[42 U.S.C. 247b(k)(2)].

OBJECTIVES:

The purpose of this program is to strengthen capacity to prevent disease, disability, and death through immunization and control of respiratory and related diseases. The specific objectives may include but are not limited to: 1. Increase the population of children and adults who are free from respiratory infectious disease complications. 2. Strengthen local, state, and national capacity for the early detection, investigation, response and control of respiratory infections in order to accelerate early recognition of respiratory threats and to mitigate the impact of their spread. 3. Control the rise in antimicrobial resistant respiratory infections in the community. 4. Strengthen prevention and control of respiratory infections in institutional settings, such as health care facilities and workplaces. 5. Reduce mortality from pneumonia and other severe respiratory infections. 6. Strengthen capacity for early detection, investigation, response, and control of respiratory infectious threats.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funds may be used towards prevention of disease, disability, and death through immunization and control of respiratory and related diseases. Restrictions to funding are available in the individual Notice of Funding Opportunity (NOFO) and for those awarded, the Notice of Award (NoA).

Applicant Eligibility:

Dependent on the NOFO, eligibility may range from open competition, limited competition, single-source, domestic and/or international in accordance with the authorizing language.

Beneficiary Eligibility:

The public is the ultimate recipient of benefits from these programs.

Credentials/Documentation:

Applications should be submitted in compliance with instructions provided with

each individual NOFO. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination may be required. Environmental impact information is not required for this program. Depending on the requirements of the individual NOFOs this program may be eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Refer to the individual NOFO for the administrative requirements and application procedures. In general, OMB Circular No. A-102 and OMB Circular No. A-110 may also apply to these programs.

Award Procedure:

Procedures are specified in the individual NOFOs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Awards under this Program are typically issued for 1- to 5-year project periods. Renewals at the end of each project period are expected to be made available via publishing of a new/continuation NOFO with a complete application and review/award process as described above. Annual renewals within an awarded multi-year project period are made via a non-competitive process and are subject to the availability of funding.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from 1 to 5 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Upon completion of the project, final financial status and performance reports are required. Interim reporting requirements may be delineated in the NOFO. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$6,082,761; FY 17 est \$11,000,000; and FY 18 est \$11,000,000

Range and Average of Financial Assistance:

500,000-1,000,000.

TAFS Codes:

75-1309.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Barbara Stewart 1600 Clifton Road
MS: E-60, Atlanta, Georgia 30333 Email: bsg2@cdc.gov Phone: 4047188832

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and scientific merit of the overall application relative to the types of research and demonstration projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, boards of education and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed. Specific selection criteria are provided in the individual NOFOs.

93.084 PREVENTION OF DISEASE, DISABILITY, AND DEATH BY INFECTIOUS DISEASES

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Sections 301(a)[42U.S.C.241(a)]
Public Health Service Act, Title 42, Section 307[42 U.S.C. 242I]
Public Health Service Act, Title 42, Section 317[42 U.S.C. 247b(k)(1)]
Public Health Service Act, Title 42, Section 317[42 U.S.C.247b(k)(2)].

OBJECTIVES:

The purpose of this program is to prevent disease, disability and death by infectious diseases. The specific objectives may include but are not limited to: strengthening public health fundamentals; increasing capacity to prevent

disease, disability and death; infectious disease surveillance; laboratory detection; epidemiologic investigation and public health response; expansion of public health and clinical laboratories in disease control and prevention; workforce development and training to sustain and strengthen public health practice; increase community and individual engagement in disease prevention efforts; strengthen global capacity to detect and respond to outbreaks with the potential to cross borders.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used in the prevention of disease, disability and death by infectious diseases. Restrictions to funding are available in the individual Notice of Funding Opportunity (NOFO) and for those awarded, the Notice of Award (NoA).

Applicant Eligibility:

Dependent upon the individual NOFO, eligibility may range from open competition, limited competition, single-source, domestic and/or international in accordance with the authorizing legislation.

Beneficiary Eligibility:

The public is the ultimate recipient of benefits from these programs.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each individual NOFO. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination may be required as described in the individual NOFOs Environmental impact information is not required for this program. Depending on the requirements in the individual NOFOs this program may be eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must follow the application instructions in the individual NOFOs. In general, OMB Circular No. A-102 and OMB Circular No. A-110 may also apply to these programs.

Award Procedure:

Procedures are specified in the individual NOFOs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As specified in the individual NOFOs.

Appeals:

Not Applicable.

Renewals:

Awards under this Program are typically issued for 1- to 5-year project periods. Renewals at the end of each project period are expected to be made available via publishing of a new/continuation NOFO with a complete application and review/award process as described above. Annual renewals within an awarded multi-year project period are made via a non-competitive process and are subject to the availability of funding.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within a 1- to 5-year project period. Method of awarding/releasing assistance: lump sum.

Reports:

Financial status and progress reports are required annually. Upon completion of the project, final financial status and performance reports are required. Interim reporting requirements may be delineated in the NOFO. Cash reports are not applicable. Progress reports are required annually. Interim reporting requirements may be delineated in the NOFO. Cash reports are not applicable. Required annually as described in each individual NOFO. Required as described in the individual NOFO.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$60,836,631; FY 17 est \$65,000,000; and FY 18 est \$65,000,000

Range and Average of Financial Assistance:

\$13 million per year (\$65 million total over 5 year project period).

TAFS Codes:

75-0949.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Barbara Stewart 1600 Clifton Road
MS: E-60, Atlanta, Georgia 30333 Email: bsg2@cdc.gov Phone: 4047188832

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics,

such as depth, breadth, and scientific merit of the overall application relative to the types of research and demonstration projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, boards of education and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed. Criteria for selection are available in the individual NOFOs.

93.085 RESEARCH ON RESEARCH INTEGRITY

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health and Welfare Act, 42 CFR 93.

OBJECTIVES:

The primary purpose of this grant program is to foster innovative approaches to promoting integrity in research and preventing research misconduct. Integrity is defined as the use of honest and verifiable methods in proposing, performing, and evaluating research and reporting research results with particular attention to adherence to rules, regulations, guidelines, and commonly accepted professional codes or norms. The Office of Research Integrity (ORI) seeks to support research and conferences designed explicitly to: (a) identify risk factors that make misconduct more likely; (b) create an evidence base for proactive interventions; and (c) build on lessons learned through previous research and the experiences of those who have been involved in guiding research misconduct proceedings. Secondly, this grant program seeks to support research to develop and test methods to better identify falsification, fabrication, and plagiarism. The tools necessary for detecting misconduct need to be constantly upgraded, tested, verified, and made available to the research community.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Research project grants provide support for clearly defined projects by a named investigator in an area representing his/her specific interests and competencies. Applicants are asked either to perform basic or applied research on research integrity, or deliver a conference or workshop on a relevant aspect of research integrity or preventing research misconduct. Funds may also be used for the creation and testing of tools that can be used to identify research misconduct. Funds may not be used for construction or renovation of buildings. This program is subject to the provisions of 45 CFR 75 and 45 CFR 92 as well as OMB Circular No. A-102 (Administrative Guidelines for States and Local Governments), and OMB Circular A-110 (Administrative Guidelines for Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations).

Applicant Eligibility:

Public, private or nonprofit agencies (including State and local government agencies) eligible Federal agencies, universities, colleges, hospitals and academic or research institutions may apply for research grants.

Beneficiary Eligibility:

Individuals and public, private, profit or nonprofit organizations.

Credentials/Documentation:

Nonprofit organizations must provide evidence of tax-exempt status. When projects involve the collaborative efforts of more than one organization or require the use of services or facilities not under the direct control of the applicant, written assurances of specific support or agreements must be submitted by the affected parties. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications are submitted in response to specific announcements posted on Grants.gov. All applications must be submitted using the Department of Health and Human Services Standard Form 424. Each announcement provides specific additional instructions regarding the contents of the narrative description of the project, budget justification, and other required information.

Application packages should be accessed through the appropriate funding opportunity announcement, found on Grants.gov website (<http://www.grants.gov/>). Consultation on a proposed project may be obtained from the Division of Education and Integrity staff responsible for the research. Applications are reviewed by principally nonfederal consultants recruited nationwide from the research and research integrity fields. The amounts of the award and period of support are determined on the basis of merit of the project and the nature of the grant mechanism. Electronic applications are submitted through the Grants.gov website.

All applications are evaluated for scientific and technical merit by a panel of independent experts who make recommendations for action to the Office of Research Integrity. After considering the recommendations of the panel, the Director of the Office of Research Integrity makes the final decision on the funding of applications. All applicants are notified in writing of actions taken on their applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Contact the headquarters or regional office, as appropriate, for application deadlines.

Appeals:

Not Applicable.

Renewals:

Support is recommended for a specified project period, not in excess of five years. Prior to termination of a project period, the grantee may apply for renewal of support for a new project period. An application for renewal is processed as a new competing request.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are generally funded on a 12-month basis. Support beyond the first year is contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. See the following for information on how assistance is awarded/released: Bank transfer. A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

Program reports are required, quarterly and annual programmatic reports describing progress, delays and next steps. Performance monitoring is not applicable. Quarterly SF425 Federal Financial Reports must be submitted to Payment Management Services 30 days after the end of each calendar quarter. 1) Interim progress reports annually as part of a non-competing application for previously recommended support; (2) terminal progress report within 90 days after end of project support. Quarterly SF425 Federal Financial Reports must be submitted to the Office of Grants Management 30 days after the end of each calendar quarter. A final FFR must be submitted 90 days after the end of the project period. Reports are to be submitted electronically through GrantSolutions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 2 CFR 200.333, grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Project Grants (Discretionary)) FY 16 \$1,113,008; FY 17 est \$1,300,000; and FY 18 Estimate Not Available - Past years and current year: \$50,000 - \$262,500.

Range and Average of Financial Assistance:

\$50,000 to \$250,000 per year (direct and indirect costs).

TAFS Codes:

75-00-13-16-2001-551.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Program:
Kathryn Partin, Ph.D.
Director
1101 Wootton Parkway, Suite 750
Rockville, Maryland 20852
240-453-8400

Office of Grants Management:

Roscoe Brunson
1101 Wootton Pkwy, #550
Rockville, MD 20852
240-453-8822
Roscoe.Brunson@hhs.gov.

Headquarters Office:

Eric C. West Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://Ori.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: See the NIH RePorter tool to examine funded research grants <http://projectreporter.nih.gov/reporter.cfm> and/or <http://report.nih.gov/rcdc/categories/>. Fiscal Year 2017: 2017 Estimate:\$ 1,550,000

Conference: 200,000 (n=4)

Phase I: 350,000 (n=3)

Phase II: 1,000,000 (n=4). Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The following consideration will be used in determining projects to be funded:

(1) Technical merit of the proposed project as determined by peer review; (2) Availability of funds; and (3) Relevance to program priorities.

93.086 HEALTHY MARRIAGE PROMOTION AND RESPONSIBLE FATHERHOOD GRANTS

(HMRF)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part A, Section 403; The Claims Resolution Act of 2010 (CRA), Public Law 111-291.

OBJECTIVES:

Included three objectives: (1) To fund Healthy Marriage Promotion activities that will help couples, who have chosen marriage for themselves, gain greater access to marriage education services on a voluntary basis. These services will help couples acquire the skills and knowledge necessary to form and sustain a healthy marriage; (2) To fund Responsible Fatherhood promotion activities specifically designed to promote responsible fatherhood to reverse the rise in father absence and its subsequent impact on our nation's children. ACF will award these funds on a competitive basis to States, territories, Indian tribes and tribal organizations, and public and nonprofit community entities, including faith-based organizations; and (3) Award competitive based demonstration projects designed to test the effectiveness of tribal governments or tribal consortia in coordinating the provision to tribal families at risk of child abuse or neglect of child welfare services and services under tribal programs funded under this part.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Secretary may not award funds on a noncompetitive basis, and may not provide any such funds to an entity for the purpose of carrying out healthy marriage promotion activities or for the purpose of carrying out activities promoting responsible fatherhood unless the entity has submitted to the Secretary an application which describes how the programs or activities proposed in the application will address, as appropriate, issues of domestic violence; and what the applicant will do, to the extent relevant, to ensure that participation in the programs or activities is voluntary, and to inform potential participants that their participation is voluntary; and contains a commitment by the entity to not use the funds for any other purpose; and to consult with experts in domestic violence or relevant community domestic violence coalitions in developing the programs and activities.

Applicant Eligibility:

The Healthy Marriage and Relationship Education Grant Program (HMRE) is part of the U.S. Department of Health and Human Services (HHS), Administration for Children and Families (ACF) efforts to promote HMRE at the community level. The Healthy Marriage program funds organizations that combine marriage and relationship education efforts with a robust effort to address participation barriers and the economic stability needs of their participants. The programs directly, or through the affiliates or partners with which they are collaborating, have a physical presence in a community, city, or county where services are provided.

The New Pathways for Fathers and Families Grant Program (New Pathways) is part of the U.S. Department of Health and Human Services (HHS), Administration for Children and Families (ACF) efforts to support responsible fatherhood. The New Pathways program funds projects that integrate robust economic stability services, healthy marriage activities, and activities designed to foster responsible parenting.

The Responsible Fatherhood Opportunities for Reentry and Mobility Project (ReFORM) is a part of the U.S. Department of Health and Human Services (HHS), Administration for Children and Families (ACF) efforts to support responsible fatherhood and ex-prisoner initiatives.

Beneficiary Eligibility:

Families, couples, individuals in need of assistance with Healthy Marriage services, including marriage enhancement and relationship education and

Responsible Fatherhood activities, including parenting education, economic stability services. In general these funds assist in the efforts to enhance and expand the ability of States, Native American governments, local governments, for-profit organizations, non-profit community organizations and other public entities to provide family formation and responsible fatherhood services to those in need.

Credentials/Documentation:

Any nonprofit agency was required to provide proof of its nonprofit status through one of the following: (a) A reference to the applicant organization's listing in the Internal Revenue Service's (IRS) most recent list of tax-exempt organizations described in the IRS code. (b) A copy of a currently valid IRS tax exemption certificate (c) A statement from a State taxing body, State Attorney General, or other appropriate State official certifying that the applicant organization has a nonprofit status and that none of the net earnings accrue to any private shareholders or individuals. (d) A certified copy of the organization's certificate of incorporation or similar document that clearly establishes nonprofit status. (e) Any of the items in the subparagraphs immediately above for a State or national parent organization and a statement signed by the parent organization that the applicant organization if it's local nonprofit affiliate. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants needed to complete all the standard forms required for making application for awards under this announcement. Applicants that requested financial assistance submitted Standard Forms 424, 424A, 424B and the associated certifications and assurances. Specific instructions were published in the official program announcement.

Award Procedure:

Applications received by the due date were reviewed and scored competitively. Peer reviewers, generally persons from outside the Federal government, used the evaluation criteria listed in Section V of the Funding Opportunity Announcement to review and score the applications. The results of the review were a primary factor in making funding decisions. ACF also had the option to solicit comments from other Federal agencies. ACF had the option to consider a variety of factors in addition to the review criteria identified above, including geographic diversity/coverage and types of applicant organizations, in order to ensure that the interests of the Federal Government are met in making the final selections. The successful applicants were notified through issuance of a Financial Assistance Award document which sets forth the amount of funds granted, the terms and conditions of the grant, the budget period for which initial support will be given, and the total project period for which support is contemplated. In accordance with the authorizing legislation, preference was given to those applicants that were awarded any prior Responsible Fatherhood, Healthy Marriage, or Tribal Child Welfare funds between 2005 and 2010 and that have demonstrated the ability to have successfully carried out their program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Range of Approval/Disapproval Time: All grants were approved/disapproved by September 2015.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grants awarded in September 2015 were awarded for a five year project period, with annual appropriations. Method of awarding/releasing assistance: lump sum.

Reports:

Semi -Annual progress reports. No cash reports are required. Semi -Annual progress reports. Semi-annual progress reports (SF-425). Semi -Annual progress reports, site visits and annual meetings.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are conducted in accordance with the requirements in 45 CFR 75 Subpart F.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1552-1-0-506.

Obligations:

(Project Grants (Discretionary)) FY 16 \$113,460,060; FY 17 est \$1,131,153,700; and FY 18 est \$111,615,370

Range and Average of Financial Assistance:

Healthy Marriage and Responsible Fatherhood Grants range from \$350,000 to \$2,000,000.

TAFS Codes:

75-1552.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, 100 continuations grants were awarded in FY 2016. Fiscal Year 2017: It is projected that 100 continuation awards will be awarded in FY 2017. Fiscal Year 2018: It is projected that 100 continuation awards will be awarded in FY 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Robin McDonald, Office of Family Assistance (OFA), 330 C Street SW, Washington DC 20201, Washington, District of Columbia 20447 Email: Robin.McDonald@acf.hhs.gov Phone: (202) 401-5587.

Website Address:

<http://hmr.acf.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.087 ENHANCE SAFETY OF CHILDREN AFFECTED BY SUBSTANCE ABUSE

Targeted Grants to Increase the Well-Being of, to Improve the Permanency Outcomes for, and Enhance the Safety of Children Affected by Parental Substance Abuse; also known as "Regional Partnership Grants or RPGs."

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, as amended, Title IV, Part B, Section 437(f), 42 U.S.C 629g.

OBJECTIVES:

To provide, through interagency collaboration and integration of program activities and services that are designed to increase well-being, improve permanency outcomes, and enhance the safety of children who are in an out-of-home placement or are at risk of being placed in out-of-home care as a result of a parent's or caretaker's substance abuse.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are provided for services or activities that are consistent with the purpose of section 437(f) of Title IV-B of the Social Security Act and may include the following: (1) family-based comprehensive long-term substance abuse treatment services; (2) early intervention and preventative services; (3) children and family counseling; (4) mental health services; (5) parenting skills training; and (6) replication of successful models for providing family-based comprehensive long-term substance abuse treatment services. Other suggested activities and services are listed in the program announcement. One hundred percent of funds are set-aside for discretionary activities.

Applicant Eligibility:

State governments, county governments, local governments, city or township governments, regional organizations, U.S. territory or possession, independent school districts, public and state-controlled institutions of higher education, Indian/Native American tribal governments (federally recognized), Indian/Native American tribal organizations (other than federally recognized), Indian/Native American tribally designated organizations, public/Indian housing authorities, non-profits with 501(c)(3) IRS status (other than institutions of higher education), non-profits without 501(c)(3) IRS status (other than institutions of higher education), private institutions of higher education, for-profit organizations (other than small businesses), small businesses, Hispanic-serving institutions, historically Black colleges and universities (HBCUs), tribally controlled colleges and universities (TCCUs), Alaska Native and Native Hawaiian serving institutions, and special district governments. Foreign entities are not eligible under this announcement. Applications must represent regional partnerships formed by a collaborative agreement. Applications must identify a primary applicant responsible for administering the grant. The primary applicant must be one of the regional partnership organizations listed above. The primary applicant cannot be an individual. The regional partnership must include either the state child welfare agency that is responsible for the administration of the state plan under title IV-B or title IV-E of the Social Security Act or an Indian tribe or tribal consortium but the regional partnership may not consist of only state entities.

Beneficiary Eligibility:

Agencies or organizations serving children and families who have experienced or are at risk of experiencing an out of home placement as a result of a parent's or caregiver's substance abuse.

Credentials/Documentation:

Non-profit agencies must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with 45 CFR Part 75. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Standard application forms as furnished by DHHS must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Announcement of availability of funds is posted to grants.gov. Eligible applicants submit applications by specified deadlines.

Award Procedure:

Grant applications are reviewed by a panel of non-federal professionals with expertise in the fields of child welfare and substance abuse treatment. Final decisions are made by the Commissioner, Administration on Children, Youth and Families (ACYF).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Three to six months.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Projects are awarded with a provision for non-competitive renewal during the selected award period (5 years).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The required match must increase over the course of the grant period, such that grantees must contribute 15 percent of the costs of grant-funded services and activities in year 1 and year 2, 20 percent of such costs in year 3 and year 4, and 25 percent of such costs in year 5.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants were available for a 60-month period. Renewal funding for multiple-year grants is dependent upon grantee performance and availability of funds. See the following for information on how assistance is awarded/released:

Grant awards are managed by a payment management system that manages grant payment requests, drawdowns, and disbursements.

Reports:

Grantees must select and track performance indicators that measure the extent to which grant-funded activities and services enhance the safety, permanency, and well-being of children and their families. Financial reporting is conducted via the PSC 272. Program progress reports are required semiannually with a final report at completion of the project. Financial expenditure reports are required semiannually with a final report at completion of the project. Grantees must select, track and semi-annually report on performance indicators that measure the extent to which grant-funded activities and services enhance the safety, permanency, and well-being of children and their families. In addition, grantees are required to have a local evaluation that is of sufficient rigor to assess impacts on service delivery and outcomes, as well as participate in the national cross-site evaluation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are conducted in accordance with requirements in 45 CFR 75 Subpart F.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever comes first.

Account Identification:

75-1512-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 16 \$14,148,747; FY 17 est \$14,100,000; and FY 18 est \$14,100,000

Range and Average of Financial Assistance:

For FY 2016, the range of grants were from \$500,000 to \$1,000,000 with an average grant of \$672,124.

TAFS Codes:

75-1512.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 21 non-competing continuation grants were awarded. Fiscal Year 2017: It is anticipated that 4 non-competing continuation grants will be awarded and 17 new grants will be awarded. Fiscal Year 2018: It is anticipated that 21 non-competing continuation grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1340.

Regional or Local Office:

None.

Headquarters Office:

Jean Blankenship Office on Child Abuse and Neglect, Children's Bureau
330 C Street SW, Room 3418C, Washington, District of Columbia 20201
Email: jean.blankenship@acf.hhs.gov Phone: (202) 401-2887

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.600 Head Start; 93.643 Children's Justice Grants to States; 93.645 Child Welfare Services_State Grants; 93.648 Child Welfare Services Training Grants; 93.669 Child Abuse and Neglect State Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated on the basis of the degree to which proposals meet specific objectives defined in the program announcement including, but not limited to: (1) demonstration of regional impact of methamphetamine or other substance abuse; (2) organizational capacity to collaborate with other service providers; and (3) relevance of proposed services and activities in enhancing the well-being of children in foster care or at risk of foster care due to a parent's or caretaker's substance abuse.

93.088 ADVANCING SYSTEM IMPROVEMENTS FOR KEY ISSUES IN WOMEN'S HEALTH

Improving Health of Women and Girls

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

42 U.S.C. 300u-2(a), 42 U.S.C 300u-2(a).

OBJECTIVES:

To promote program and systems innovation, policy and performance management and strategic communications that will advance improvement for key issues in women's health. Programs will use evidence-based methodologies to support model programs, inform and advance policies and educate the public and professionals. Evaluation and outcomes reporting will be integral activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to implement evidence-based strategies to achieve the HHS Office on Women's Health (OWH) program objectives. Applicants should identify, test, evaluate and promote effective, sustainable programs, policies and strategic communications for women and girls. Funds are to support reasonable program purposes including staffing, supplies, and services. Funds may not be used for construction, building alterations or renovations; fund-raising activities; job training; political education and lobbying; vocational rehabilitation; or to supplant ongoing project activities. Funds may not be used for equipment, printing, food, and/or medical treatment outside of the funding

announcement requirements.

Applicant Eligibility:

Nonprofit organizations must provide evidence of tax-exempt status. When projects involve the collaborative efforts of more than one organization or require the use of services or facilities not under the direct control of the applicant, written assurances of specific support or agreements must be submitted by the affected parties.

Beneficiary Eligibility:

All women and girls, adults and children, including those underserved and minority populations, usually residing in the U.S., including Bone Fide territories, cities and islands.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application kits may be obtained by accessing Grants.gov at <http://www.grants.gov>. Applications are submitted in response to specific announcements published on Grants.gov. All applications must be submitted using the Department of Health and Human Services forms as specified in the announcement. Each announcement provides specific additional instructions regarding the contents of the narrative description of the project, budget justification, evaluation criteria and other required information.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Applications that fail to meet the screening criteria will not be reviewed and will receive no further consideration. Accepted applications will be reviewed for technical merit in accordance with HHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be made by the OWH and will take into consideration the recommendations and ratings of the review panel; program objectives and needs; stated preferences in the announcement; geographic location; and recommendations based on a pre-award site visit, if conducted. Official notice of approved application is made through the issuance of a Notice of Grant Award or a Notice of Cooperative Agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Refer to FOA. Approximately 30 - 90 days from the application deadline due date.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Project period ranges from 3 to 5 years. Extensions to the project period may be made if deemed appropriate by the Assistant Secretary for Planning and Evaluation and approved by the grants manager.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are generally funded on a 12-month basis, with an overall period of performance generally 3-5 years. Support beyond the first year is contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and the availability of funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are expected to report all data by sex, race and age. Routine Program Reports are required twice a year, although the Program Officer reserves the right to require a different schedule to align reporting periods or to request more/less frequent reporting based on performance or program scheduling issues. The final program report will be summative. An edited version will accompany the final Financial Status Report. Reports must be submitted electronically through GrantSolutions (or other system if required by extenuating circumstances). Federal Cash Transaction Reports SF425 are due quarterly and must be submitted electronically through the Payment Management Services system. Progress reports are required quarterly to meet requirements of the Government Performance and Results Act. The Program Officer reserves the right to require a different schedule to align reporting periods. Grantees are expected to report all data by sex, race and age. Quarterly SF425 Federal Financial Reports must be submitted to the Office of Grants Management 30 days after the end of each calendar quarter and an annual FFR 90 days after the end of the budget period/project period end date. Reports are to be submitted electronically through GrantSolutions. Quarterly Progress reports, yearly progress reports, and site visit reports are requirements of OWH grants and cooperative agreements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$5,926,431; FY 17 est \$9,534,078; and FY 18 est \$5,859,960

Range and Average of Financial Assistance:

\$500,000 - \$2,200,000 for 1 - 3 grants; \$200,000 - \$300,000 for up to 5 grants.

TAFS Codes:

75-10-15-18-0117.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

See Regional Agency Offices. Program Management Contact:
Office on Women's Health, DHHS
200 Independence Avenue, SW Room 712E Washington, DC 2020
Telephone 202-690-7650
Grants Management Contact:
Office of Grants Management,
1101 Wootton Parkway, Suite 550,

Rockville, MD 20852.
Telephone (240) 453-8822.

Headquarters Office:

Eric C. West Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.womenshealth.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The rating criteria and the weight attached to each criterion is included in the Federal Register announcement that requests project proposals. The criteria typically include such concerns as the significance and usefulness of the project; the appropriateness of the intervention/measurement methodology and design; the qualifications of personnel and the sponsoring organization; and the likelihood that the work plan and budget will produce the intended results.

93.089 EMERGENCY SYSTEM FOR ADVANCE REGISTRATION OF VOLUNTEER HEALTH PROFESSIONALS

(ESAR-VHP)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Title III, Section 319I, Public Health Service Act (42 U.S.C. 247d-7b), as amended by the Pandemic and All-Hazards Preparedness Reauthorization Act (PAHPRA) of 2013, Public Law 113-5.

OBJECTIVES:

To establish and maintain a national interoperable network of state systems. Each system is maintained by a State or group of States for the purpose of verifying the credentials, certifications, licenses, relevant training, and hospital privileges of health care professionals who volunteer to provide health services during a public health emergency.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grant funds may be used for ESAR-VHP related activities only. Grants funds may not be used for construction and/or major rehabilitation of buildings. Grants funds may not be used for basic research (e.g. scientific or medical experiments.). When appropriated and apportioned; all funds available will be used for discretionary grant awards.

Applicant Eligibility:

State health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the United States Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Beneficiary Eligibility:

All State health departments listed above, hospitals and supporting health care systems.

Credentials/Documentation:

Applicants should review the individual ASPR funding opportunity announcement issued under this CFDA program for any proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular A-122 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained through Grants.gov - FIND. Applicants should visit Grants.gov - FIND for the funding opportunity announcement and Grants.gov - APPLY to download application materials to complete and submit their applications in accordance with the funding opportunity announcement (FOA).

Award Procedure:

Applications that successfully proceed through initial screening (as outlined in the FOA) will be objectively reviewed based upon the review criteria specified in the FOA. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Award from the Office of the Assistant Secretary for Preparedness and Response.

Deadlines:

Sep 30, 2010: Applicable deadlines and due dates are specified in the funding opportunity announcement.

Range of Approval/Disapproval Time:

From 90 to 120 days. None.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are limited up to 36 months. Budget periods are limited up to 12 months. See the following for information on how assistance is awarded/released: Awardee is issued a Notice of Award, a legal document that informs the awardee that a grant award has been made. Awardee may draw down funds in advance of the incursion of an expenditure (up to three days) from the Payment Management System.

Reports:

Grantees are required to submit program reports, also known as Program Progress Reports. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. Recipients will utilize the Transactions section of SF425 in lieu of the SF272. The FFR SF425 cash Transaction Report is due 30 days after the end of each calendar quarter. Grantees are required to submit semi-annual Program Progress Reports as well as final Program Progress Reports. ASPR requires cumulative financial reporting through consecutive funding periods on the SF269 FSR long form. The FFR SF425 was designed to replace the Financial Status Report SF269 and the Federal Cash Transactions Report SF272 with one comprehensive financial reporting form. Until HHS fully migrates to the SF425 FFR, recipients are still required to submit the SF269 Financial Status Report (FSR) semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Grantees are required to submit final Financial Status Reports (SF-269). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0140-0-1-551.

Obligations:

(Project Grants (Discretionary)) FY 16 Not Available; FY 17 est \$200,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

\$58,478 to \$200,000 per award.

TAFS Codes:

75-01.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Jennifer Hannah, Division of National Healthcare Preparedness Programs, Office of Emergency Management, Office of the Assistant Secretary for Preparedness and Response, Department of Health and Human Services, 200 C Street, SW, Washington, District of Columbia 20024, Washington, District of Columbia 20024 Email: jennifer.hannah@hhs.gov Phone: (202) 245-0722.

Website Address:

<http://www.phe.gov/esarvhp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be evaluated by an Objective Review Committee to determine the extent to which the applicant documents the following criteria: (1) Purpose and Need; (2) Approach, Work Plan and Activities; (3) Project Outcomes, Evaluation/Dissemination; and (4) Level of Effort.

93.090 GUARDIANSHIP ASSISTANCE**FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part E, Section 473.

OBJECTIVES:

To provide Federal financial participation (FFP) to states, Indian tribes, tribal organizations and tribal consortia (tribes) who opt to provide guardianship assistance payments for the care of children by relatives who have assumed legal guardianship of eligible children for whom they previously cared as foster parents. This assistance is intended to prevent inappropriately long stays in foster care and to promote the healthy development of children through increased safety, permanency, and well-being.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Federal assistance may be used only in support of the care of children who meet the eligibility requirements and their siblings under certain situations as specified in the statute. All relatives assuming guardianship of such children are eligible for the nonrecurring expenses associated with obtaining legal guardianship up to \$2,000. A state or tribe may receive FFP only if its title IV-E plan has been approved by the Secretary.

Applicant Eligibility:

Funds are available to states (including the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam and American Samoa) and to tribes with approved title IV-E plans.

Beneficiary Eligibility:

Beneficiaries are children who meet the following requirements: (1) the child has been eligible for title IV-E foster care maintenance payments while residing for at least six consecutive months in the home of the prospective relative guardian; (2) the state or tribe has determined that the permanency options of being returned home or adoption are not appropriate for the child; (3) the child demonstrates a strong attachment to the prospective relative guardian and the prospective guardian is committed to caring permanently for the child; and (4) for children who have attained the age of 14, the child has been consulted regarding the kinship guardianship arrangement. Beneficiaries may also be siblings of eligible children placed in the same kinship guardianship arrangement. FFP is available to states and tribes for payments made to a relative guardian in accordance with a kinship guardianship agreement that is in writing, negotiated, and binding.

The program was amended (through Public Law 13-183) effective September 29, 2014 to allow continuation of title IV-E kinship guardianship assistance payments if the relative guardian dies or is incapacitated and a successor legal guardian is named in the agreement (or in any amendments to the agreement.).

Credentials/Documentation:

Costs are to be determined in accordance with 45 CFR Part 75. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 091-Consultation is available to states and tribes from ACF Regional Offices.

092-Applications are made in the form of a title IV-E Plan, prepared in the format provided by the ACF Children's Bureau. Title IV-E plans and amendments must be submitted to the ACF Regional Child Welfare Program Manager for approval.

Award Procedure:

Quarterly awards are made on the basis of estimated expenditures, which are later revised to include only actual allowable claimed expenditures. Next quarter estimates are due on July 30, October 30, January 30 and April 30 each year. Final decisions and awards are made by the Headquarters Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Health and Human Services (HHS) will approve/disapprove applications (title IV-E plans or amendments to them) within 45 days of receipt by HHS.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Awards remain effective without plan renewal but title IV-E plans may be amended as necessary.

Formula and Matching Requirements:

Statutory Formula: Funding is provided on an open-ended basis in accordance with sec. 474(a) of the Social Security Act.

Formula Grant Funding Level: FFP is equal to the applicable Federal Medical Assistance Percentage (FMAP) rate (as defined by sec.1905(b) (sec. 479B(d) for tribes) of the Social Security Act (i.e. from 50% to 83%, depending on the state or tribe and the fiscal year) for the total expended for kinship guardianship assistance payments; 75% of staff training costs; and 50% of other administrative costs. Short term training provided to kinship guardians was subject to a special FFP rate that varied by fiscal year from FY 2009 through FY 2012, but which was set at 75% for FY 2013 and thereafter.

Matching Requirements: The non-Federal share of program costs (difference

between total cost and FFP) in each funding category constitutes the required match to be met by the title IV-E agency. Matching funds from state agencies must be appropriated monies raised from non-Federal revenue sources. Matching funds from tribal agencies must also be appropriated monies, but may at the option of the tribe include Federal funds specifically authorized by Federal statutes to be used as match for other Federal programs. Additionally, in accordance with 45 CFR 1356.68, for administration and training cost only, tribal matching funds may include in-kind expenditures (or contributions) from allowable third party sources.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

FFP is available to a state or tribe operating under an approved title IV-E plan. The title IV-E agency may implement and claim allowable guardianship assistance program costs beginning on the first day of the quarter in which an approvable title IV-E plan amendment is submitted to the Administration for Children and Families (ACF) to implement the guardianship assistance program. See the following for information on how assistance is awarded/released: Assistance is awarded/released quarterly, through a letter of credit.

Reports:

Title IV-E agencies must report on the children assisted, as required by the Secretary. No progress reports are required. A report of estimated expenditures, actual expenditures, and number of children assisted (Form CB-496) is required 30 days after the end of each quarter. Other reports may be necessary as required by the Secretary. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are conducted in accordance with the requirements in 45 CFR 75 Subpart F.

Records:

States and tribes must maintain all financial and programmatic records necessary for the proper and efficient operation of the program, including records of applications, determinations of eligibility, utilization of awarded grants and other funds for the provision of financial assistance and allocated indirect and direct administrative and training costs in accordance with requirements in Health and Human Services regulations at 45 CFR 75, and written guidance from ACF.

Account Identification:

75-1545-0-1-609 - ARRA grants: 75-1545-0-1-609.

Obligations:

(Formula Grants) FY 16 \$122,631,572; FY 17 est \$151,000,000; and FY 18 est \$181,000,000

Range and Average of Financial Assistance:

FY 2016: Grants to states and tribes ranged from \$17,178 to \$45,805,380 with an average of \$3,888,407.

The program became available as an option for states as of October 7, 2008, and for tribes as of October 1, 2009. Title IV-E agencies began separate financial reporting of program costs for quarters commencing in FY 2011. A number of states and tribes may still be in the process of submitting FY 2016 title IV-E claims for these costs. Therefore, complete data on the range and average of financial assistance is not yet available.

TAFS Codes:

75-1545.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 31 states (including the District of Columbia) and 2 tribes received grant awards in FY 2016. Fiscal Year 2017: It is anticipated that 31 states (including the District of Columbia) and 6 tribes will receive grant awards in FY 2017. Fiscal Year 2018: It is anticipated that 31 states (including the District of Columbia) and 6 tribes will receive grant awards in FY 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

See program guidance in ACYF-CB-PI-10-01 which can be found at http://www.acf.hhs.gov/programs/cb/laws_policies/policy/pi/2010pi1001.htm. Additional program guidance can be found in ACYF-PI-10-11 at http://www.acf.hhs.gov/programs/cb/laws_policies/policy/pi/2010/pi1011.htm. Also see the Health and Human Services (HHS) Grants Policy Statement found online at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Child Welfare Program Manager, Administration for Children and Families, HHS, in the appropriate Regional Office. (See Appendix IV of the Catalog for a list of addresses of the Regional Offices.)

Headquarters Office:

Liliana Hernandez 330 C Street SW, Room 3507A, Washington, District of Columbia 20201 Email: liliana.hernandez@acf.hhs.gov Phone: (202) 205-8086

Website Address:

<http://www.acf.hhs.gov/programs/cb>

RELATED PROGRAMS:

93.599 Chafee Education and Training Vouchers Program (ETV); 93.645 Child Welfare Services_State Grants; 93.658 Foster Care_Title IV-E; 93.659 Adoption Assistance; 93.674 Chafee Foster Care Independence Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.092 AFFORDABLE CARE ACT (ACA) PERSONAL RESPONSIBILITY EDUCATION PROGRAM (PREP)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

The Patient Protection and Affordable Care Act, Title II Role Of Public Programs, Subtitle L, Maternal and Child Health Services , Title V, Section 2953, Public Law 113-93, 42 U.S.C 713(c)(1); The Medicare Access and CHIP Reauthorization Act of 2015 , Section 215, Public Law 114-10.

OBJECTIVES:

The purpose of this program is to educate adolescents and young adults on both abstinence and contraception for the prevention of pregnancy and sexually transmitted infections, including HIV/AIDS. The Affordable Care Act was established and provided funding for this program through FY 2014. The program was extended through September 30, 2017 in P.L. 114-110.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

To carry out personal responsibility education programs designed to educate adolescents on both abstinence and contraception for the prevention of pregnancy and sexually transmitted infections, including HIV/AIDS. The State program component must fund teen pregnancy prevention and personal responsibility education programs that have been proven on the basis of rigorous scientific research, to change behavior, which means delaying sexual activity, increasing condom or contraceptive use for sexually active youth, or reducing pregnancy among youth. State programs must teach adulthood preparation subjects including healthy relationships, adolescent development, financial literacy, parent-child communication, educational and career success, and healthy life skills. Applicants for innovative program funding should describe the research base for proposed projects, such as the rationale for substantial modifications to evidence-based models and/or developmental research that suggests an innovative model is likely to be effective with a vulnerable or underserved population. Additionally, the innovative practices component must implement programs to target high-risk, vulnerable, and culturally under-represented youth populations. Such programs must be either

significant modifications of the above list of evidence-based models or are based upon other promising approaches with a strong research basis. All programs must agree to participate in a rigorous Federal evaluation of their project. Innovative programs must conduct their own rigorous, independent evaluations unless they are selected for the Federal evaluation. The list of evidence-based models was developed and is updated by the HHS Office of the Assistant Secretary for Planning and Evaluation (ASPE). From the \$75,000,000 appropriation, \$10,000,000 (13.3%) is designated for PREIS, which are demonstration grants for innovative teen pregnancy prevention and personal responsibility education programs (PREIS); \$3,250,000 (5% of the appropriation after the \$10,000,000 is removed) is set aside for Tribes and Tribal organizations; \$6,500,000 (10% of the appropriation after the \$10,000,000 is removed) is designated for program support and evaluation. The remaining \$55,250,000 (73.7% of the appropriation) is for States to implement evidence-based demonstration projects and is allotted by formula. With the appropriations available to continue the PREP funding in FY2016, a new cohort of PREP grants awards were funded. In FY2016 and FY2017, States applied for funding and unawarded funds allocated to States after this application period will be available for distribution on a discretionary basis to community-based organizations within those States. These Competitive PREP projects will have a three year project period.

Applicant Eligibility:

There is \$55,250,000 appropriated annually to fund 59 States and Territories to enable them to carry out Personal Responsibility Education Programs (PREP). Eligible applicants include the 50 United States, the District of Columbia, Puerto Rico, Virgin Islands, Guam, American Samoa, Northern Mariana Islands, Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

States or Territories that did not apply for funding in FY 2016 or 2017, are no longer eligible to apply for this program for the five year project period and their allotments will be made available as Competitive PREP grants to community-based organizations for the same State or territory. The annual award for the first cohort of Competitive PREP was \$18,856,405. The award for the second cohort of Competitive PREP grants awarded in FY2015 was \$11,049,699. The estimated funding for the third cohort of Competitive PREP awards in FY2018 is \$10,000,000.

Funds (\$10,000,000) shall be reserved to award PREP Innovative Strategies (PREIS) grants to entities to implement innovative youth pregnancy prevention strategies and target services to high-risk, vulnerable, and culturally under-represented youth populations.

Funds (\$3,250,000) shall be reserved for Tribal PREP grants to Indian tribes and tribal organizations.

Funds (\$6,500,000) shall be reserved for program support that may be provided directly or through a competitive award process. Program support includes research, training and technical assistance, providing consultation and resources on a broad array of teen pregnancy prevention strategies, and developing materials to support grant activities. Funds shall also be reserved to evaluate PREP programs and activities.

Beneficiary Eligibility:

PREP shall provide services to adolescents and young adults. Applicants are encouraged to serve youth populations that are the most high-risk or vulnerable for pregnancies or otherwise have special circumstances, including youth in and aging out of foster care, homeless youth, youth with HIV/AIDS, pregnant youth who are under 21 years of age, mothers who are under 21 years of age, and youth residing in areas with high birth rates for youth. Applicants must include the most recent pregnancy data for the State for youth ages 10 to 14 and youth ages 15-19, the most recent birth rates among the same age groups, and trends in those rates for the most recently preceding 5-year period for which data are available.

Credentials/Documentation:

A signed letter from the authorized representative must accompany each application; it should include documentation establishing the authorized representatives authority to apply for and administer the PREP grant program funds on behalf of the State or Territory.

For other funding available under this CFDA number, non-profit organizations applying for funding are required to submit proof of their non-profit status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The PREP program includes formula and competitive grant awards to a range of entities. The OMB circulars that are relevant vary according to the funding opportunity and the kind of organization that will be implementing the grant award.

Award Procedure:

For FY 2016 and FY 2017 funds allotted to States and Territories (States), the Administration for Children and Families (ACF) advised States and Territories of the funding opportunity through a Federal Register notice and/or by a Funding Opportunity Announcement (FOA). States that submitted applications for FY2016 were reviewed by project officers and the approval to award all submitted applications was forwarded to the Office of Grants Management to make awards. States and territories that were awarded FY 2016 and/or FY 2017 funds are to submit a letter of intent in order to receive awards in FY 2018, FY 2019 and FY 2020. There are 51 State PREP grantees.

A FOA was published to award funds to community based organizations in the States and territories that did not receive FY 2010 and FY 2011 PREP funding. Applications were reviewed by an Objective Review Committee which applied the criteria given in the FOA in order to derive priority scores. The review panels consisted of expert peer reviewers who reviewed each application that met the responsiveness and screening criteria. Federal staff provided oversight to the review process. Thirty-seven applicants were awarded Competitive PREP funding in FY2012 for a three year project period that ended in September 2015 and a second cohort of 21 awards were funded in FY2015 with a three year project period and one supplement award to a first cohort grantee to support the completion of evaluation activities.

For funds reserved for PREIS grants in FY2010, applications were reviewed on a nationwide basis and in competition with other submitted applications. Eligible applications were reviewed by an Objective Review Committee which applied the criteria given in the Funding Opportunity Announcement (FOA) in order to derive priority scores. The applications were reviewed by expert peer reviewers who reviewed each application that met the responsiveness and screening criteria. Federal staff provided administrative oversight to the grant review process. The review results formed the basis for development of the programmatic terms and conditions of the cooperative agreement. Funding decision recommendations were provided to the Director of the Office of Adolescent Health and the Commissioner for the Administration on Children, Youth and Families (ACYF) in order by score and rank determined by the review panel. Final award decisions were made collaboratively by the Director of OAH and the Commissioner of ACYF. In making the award decision, the Director and the Commissioner took into account the score and rank order given by the Objective Review Committee, and other considerations given in the FOA. Thirteen applicants were awarded cooperative agreements in FY2010 and two grantees relinquished their funding. There are 11 funded PREIS projects. In FY2016, a FOA was published to award funds to a second cohort of PREIS grantees. Applications will be reviewed by an Objective Review Committee that will applied the criteria given in the FOA in order to derive priority scores. The review panels consisted of expert peer reviewers who reviewed each application for responsiveness to the screening criteria. Federal staff provided oversight to the review process. Twenty-one awards were made in FY2016 for the five year project period.

Funds reserved for grants to Indian tribes and tribal organizations were made available in a manner determined by the Secretary, in consultation with Indian tribes and tribal organizations, to be appropriate. In FY 2011, a FOA was issued for the award of the grants. Sixteen applicants were awarded Tribal PREP

funding through the discretionary grant review process. An FY2016 FOA was published and 8 awards were made with a five year project period.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. Consult the FOA or contact the headquarters office, as appropriate, for application deadlines.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days.

Formula and Matching Requirements:

Statutory Formula: Title V, Public Law 113-93.

This program has no matching requirements. Cost sharing or matching of non-Federal funds is not required. However, funded programs should build on but not duplicate or replace current Federal programs as well as state, local or community programs, and programs should coordinate with existing programs and resources in the community.

This program has MOE requirements, see funding agency for further details. This program has Maintenance of Effort (MOE) requirements, see funding agency for further details. This program has an MOE requirement for states and local entities to require that expenditure of non-federal funds for activities, programs or initiatives allowed in this program be no less than such expenditures in FY2015. The intent is to supplement and not supplant the funds expended in FY2015 or the year prior to new awards.

Length and Time Phasing of Assistance:

Funds allotted to States and Territories for a fiscal year shall remain available for expenditure by the State through the end of the second succeeding fiscal year. If a State or territory did not submit an application for FY2016 or FY2017, they are no longer be eligible to submit an application to receive funds from the amounts allotted to the State for each of fiscal years 2016 through 2020. State PREP grantees awarded in FY2016 and FY2017 will submit a letter of intent to receive FY2018 funding.

State PREP allocated funding that was not requested by States will continue to be used to award three year discretionary grants to local organizations and entities for the same purpose and in the same geographic regions in FY2018. The Competitive PREP grant funding is available over a 36 month project period from the date of award. Annual funding for this program is to be expended within 12 months of award. A FOA will be drafted and published to award FY2018 appropriated Competitive PREP funding for an estimated 20 awards.

The PREIS grantees were awarded funding through cooperative agreements over a five year project period. The annual funding is to be expended at the end of each 12 month budget period. A FY2016 funding opportunity for a second cohort of PREIS grants with a five year project period was published and 8 grants were awarded.

Tribal PREP grants are discretionary awards with a four year project period. Annual awards are to be expended at the end of each 12 month budget period. Funding available through the FY2015 extension of the program was awarded through non-competing continuations to 14 current Tribal PREP grantees. An FY2016 funding opportunity for a second cohort of Tribal PREP grants with a five year project period was published and 16 awards are anticipated. See the following for information on how assistance is awarded/released: Periodic draw downs are based upon need and project progress.

Reports:

Each award recipient will submit semi-annual performance progress, fiscal and performance measure data reports. Grantees must submit all required reports in a timely manner and in the recommended format provided. The grantees shall submit an annual Financial Status Report (FSR). An FSR is due no later than 90 days after the end of the applicable 12 month period and failure to submit these in a timely manner could affect future funding. The report is an accounting of expenditures for each budget year of the project. There is a

requirement for performance measurement data collection and performance progress reporting (e.g., monitoring requirements) for the State PREP, PREIS, Tribal PREP and Competitive PREP grantees. Tribal consults were conducted prior to the finalization of the Tribal PREP funding opportunity announcement, to include discussion on the reporting requirements. The recipient shall submit an annual Financial Status Report (SF-425) due no later than 90 days after the end of the applicable 12 month period. The report is an accounting of expenditures for each year of the project. More specific information on this reporting requirement was included in the Notice of Grant Award. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grantees must comply with the relevant sections of the Code of Federal Regulations and OMB circulars to perform required audits.

Records:

All financial records are to be maintained for three years after termination of the project or until the audit is completed, whichever occurs first.

Account Identification:

75-1512-0-1-506.

Obligations:

(Formula Grants) FY 16 \$43,398,751; FY 17 est \$40,793,510; and FY 18 est \$0 - Program expires on 9/30/2017, therefore, no obligations estimated for FY 2018. (Project Grants (Discretionary)) FY 16 \$25,404,896; FY 17 est \$23,200,127; and FY 18 est \$0 - Program expires on 9/30/2017, therefore, no obligations estimated for FY 2018.

Range and Average of Financial Assistance:

Each State or Territory shall be allotted at least \$250,000 or an amount determined by a formula, using the number of individuals who have attained age 10 but not attained age 19 in the State or Territory to the total number of such individuals in the entire U.S. based on the most recent Census data, whichever is greater. Applicants for which Census data are not available will be eligible for the minimum allocation of \$250,000. The range for State PREP awards to 51 states and territories is \$250,000 to \$5,860,140. The range for the awards to 13 PREP Innovative Strategies grantees is \$478,919 to \$852,022. The range for the awards to 8 Tribal PREP grantees is \$316,782 to \$598,227. The range for the awards to the 21 Competitive PREP grantees is \$250,000 to \$794,240.

TAFS Codes:

75-1512.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Ninety three (93) grants were awarded. Fiscal Year 2017: It is anticipated that 93 grants will be awarded. Fiscal Year 2018: It is anticipated that 93 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

No regulations have been developed at this time. Governing authorities shall be the legislative language and the terms and conditions of the cooperative agreement awards as described in the Request for Application.

Regional or Local Office:

None.

Headquarters Office:

LeBretia White, 330 C Street., SW , Washington, District of Columbia 20021
Email: lebretia.white@acf.hhs.gov Phone: (202) 205-9605 Fax: (202) 205-9535.

Website Address:

<http://www.acf.hhs.gov/programs/fysb/content/programs/top.htm>

RELATED PROGRAMS:

93.060 Competitive Abstinence Education (CAE); 93.235 Abstinence Education Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funded State programs must replicate evidence-based, effective programs or strategies that substantially incorporate elements of effective programs that have been proven on the basis of rigorous scientific research to change behavior, which means delaying sexual activity, increasing condom or contraceptive use for sexually active youth, or reducing pregnancy among youth. The programs must include activities to educate youth who are sexually active regarding responsible sexual behavior with respect to both abstinence and the use of contraception. Such activities should teach adulthood preparation subjects including healthy relationships, adolescent development, financial literacy, parent-child communication, educational and career success, and healthy life skills. The programs must: be medically-accurate and complete; include activities to educate youth who are sexually active regarding responsible sexual behavior with respect to both abstinence and the use of contraception; place substantial emphasis on both abstinence and contraception for the prevention of pregnancy among youth and sexually transmitted infections; and must provide age-appropriate information and activities. The information and activities carried out under the program must be provided in the cultural context that is most appropriate for individuals in the particular population group to which they are directed.

REQUIREMENTS OF INNOVATIVE STRATEGIES PROJECTS: Proposals were funded based on how effectively they can develop, replicate, refine, and test innovative strategies for preventing teenage pregnancy. Programs must be medically accurate, age appropriate, and target services to identified vulnerable and at-risk populations. The programs must include activities to educate youth who are sexually active regarding responsible sexual behavior with respect to both abstinence and the use of contraception. Such activities should teach adulthood preparation subjects including healthy relationships, adolescent development, financial literacy, parent-child communication, educational and career success, and healthy life skills. Funded projects are expected to generate lessons learned so others can benefit from these strategies and innovative approaches and increase the capacity of communities to develop, implement, and evaluate interventions for dissemination and replication by others. Funded projects must show that the proposed intervention is: (a) based on some preliminary evidence of effectiveness; (b) a significant adaptation of an evidence-based program; or (c) is a new and innovative approach to teenage pregnancy prevention with a sound basis in research.

REQUIREMENTS OF TRIBAL PROJECTS: Criteria for Tribal projects were developed by the Secretary, in consultation with Indian Tribes and Tribal Organizations that were deemed appropriate. Tribal PREP funding is directed toward the development of culturally appropriate comprehensive teen pregnancy prevention programs that incorporate medically accurate approaches replicating effective programs or elements of programs that have been demonstrated to change behavior. Tribal PREP focuses on the same fundamental requirements as the State PREP projects—the education of youth on both abstinence and contraception and on other adulthood preparation subjects with the goal of preventing teen pregnancy and sexually transmitted infections (STIs) including HIV/AIDS. Funds support PREP efforts that are dedicated to the development of comprehensive, high quality interventions and services that rely on the best available research evidence to inform and guide practice. Since there are few studies of teen pregnancy prevention programs targeting youth in Tribal communities, adaptation and innovation are important. Further, programs that currently serve Native youth have not been rigorously evaluated and may not offer strong models for replication. Tribes and tribal organizations are encouraged, therefore, to adapt evidence-based (EB) models (or elements of EB models) that address the unique cultural needs of their communities. Adaptation must retain core elements of proven effective programs.

REQUIREMENTS OF COMPETITIVE PREP PROJECTS: Competitive PREP funds support projects that educate youth between the ages of 10 and 19, and pregnant and parenting youth, under age 21, on abstinence and contraception for teen prevention of pregnancy and sexually transmitted infections (STIs), including HIV/AIDS. Applicants must also provide programming on at least three of six adulthood preparation subjects that are

discussed in the published FOA. Further PREP funding is directed toward the development of comprehensive, teen pregnancy prevention programs that incorporate medically accurate approaches, while replicating evidence-based programs or elements of programs that have been demonstrated to change behavior. FYSB's Competitive PREP funding supports efforts that are dedicated to the development of comprehensive, culturally appropriate interventions and services that rely on the best available research to inform and guide practice.

93.093 AFFORDABLE CARE ACT (ACA) HEALTH PROFESSION OPPORTUNITY GRANTS

HPOG

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title XX, Section 2008, Public Law 111-148, 42 U.S.C 1397.

OBJECTIVES:

To provide education and training to Temporary Assistance for Needy Families (TANF) recipients and other low-income individuals for occupations in the health care field that pay well and are expected to either experience labor shortages or be in high demand.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The funds may be used to provide education and training to TANF recipients and other low-income individuals for occupations in the health care field that pay well and are expected to either experience labor shortages or be in high demand. Funds may be used for participant supportive services, including financial aid, child care, and case management. Section 2005(a) (other than paragraph (6)) of the Social Security Act (42 U.S.C 1397d(a)) applies to grants awarded in this program to the same extent and in the same manner as such section applies to payments to States under this Title XX of the Social Security Act (the Social Services Block Grant). All funds are set aside for discretionary activities.

Applicant Eligibility:

An eligible applicant is a State, an Indian tribe or tribal organization, an institution of higher education, a local workforce investment board established under section 117 of the Workforce Investment Act of 1998, a sponsor of an apprenticeship program registered under the National Apprenticeship Act or a community-based organization.

Beneficiary Eligibility:

Eligible individual beneficiaries are individuals receiving assistance under the State TANF program; or other low-income individuals described by the eligible entity in its application for a grant under this section.

Credentials/Documentation:

Non-profit organizations applying for funding are required to submit proof of their non-profit status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Applicants must demonstrate that they have consulted with the State agency responsible for administering the State TANF program, the local workforce investment board in the area in which the project is to be conducted (unless the applicant is such board), the State workforce investment board established under section 111 of the Workforce Investment Act of 1998, and the State Apprenticeship Agency recognized under the Act of August 16, 1937 (commonly known as the National Apprenticeship Act) (or if no agency has been recognized in the State, the Office of Apprenticeship of the Department of Labor) and that the project will be carried out in coordination with such entities. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the

State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Complete application instructions were given in the Funding Opportunity Announcements (Nos. HHS-2015-ACF-OFA-FY-0952 and HHS-2015-ACF-OFA-FX-0951).

Award Procedure:

Grant applications are reviewed and evaluated by objective review panels using the criteria given in the Funding Opportunity Announcement. Each panel is made up of experts with knowledge and experience in the area under review.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Each year following the initial competitive funding, non-competitive continuation applications will be received and processed within 60-90 days.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Health Profession Opportunity Grant (HPOG) program uses the project period system of funding. Projects are programmatically approved for support in their entirety, but are funded in annual increments called budget periods. Through a competitive process HPOG projects are approved for five year project periods. Each new budget period begins September 30. In general, funding for each budget period must be obligated within the budget period. Recipients are required to submit a non-competing continuation application and semi-annual performance progress reports as a prerequisite for approval and funding of each subsequent budget period within the approved project period. Funding for each budget period is contingent on satisfactory progress, the availability of funds, and the continued best interests of the Federal government. See the following for information on how assistance is awarded/released: Funds are drawn down by grantees on a periodic basis, typically each month.

Reports:

Grantees must submit semi-annual performance progress reports. Each semi-annual progress report is due no later than 30 days after the end of the reporting period. Grantees must submit an annual Financial Status Report (FSR). An FSR is due no later than 90 days after the end of the applicable 12 month period and failure to submit these timely could affect future funding. The SF 425 FFR will be used to report Financial Status and Cash Transactions. The report is an accounting of expenditures under the project that year. Grantees must submit semi-annual performance progress reports. Each semi-annual progress report is due no later than 30 days after the end of the reporting period. The grantees shall submit an annual Financial Status Report (FSR). An FSR is due no later than 90 days after the end of the applicable 12 month period and failure to submit these timely could affect future funding. The SF 425 FFR will be used to report Financial Status and Cash Transactions. The report is an accounting of expenditures under the project that year. Federal staff primarily use the semi-annual performance progress reports for the purpose of performance monitoring. Actual progress is compared to projected outcomes.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever comes first.

Account Identification:

75-1534-0-1-506.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$71,920,000; FY 17 est \$64,555,000; and FY 18 est \$71,920,000

Range and Average of Financial Assistance:

The range is \$889,896 - \$3,000,000. The average is 2,247,500.

TAFS Codes:

75-1534.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, 32 grants were awarded. Fiscal Year 2017: It is anticipated that 32 grants will be awarded in FY 2017. Fiscal Year 2018: It is anticipated that 32 grants will be awarded in FY 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the Funding Opportunity Announcement and the HHS Grants Policy Statement. The HHS Grants Policy Statement can be found at: <http://www.hhs.gov/asrt/og/aboutog/grantsnet.html>

Regional or Local Office:

None.

Headquarters Office:

Stanley Koutstaal 330 C ST SW, Suite 3026
Washington DC 20201
, Washington, District of Columbia 20201 Email:
stanley.koutstaal@acf.hhs.gov Phone: 202-401-5457 Fax: 202-205-5887

Website Address:

<http://www.acf.hhs.gov/programs/ofa/programs/hpog>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: The grants to be funded in FY 2017 are non-competing continuation grants for those grants that were competitively awarded in FY 2015. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.094 WELL-INTEGRATED SCREENING AND EVALUATION FOR WOMEN ACROSS THE NATION

WISEWOMAN

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under sections 1501-1510 [42 U.S.C. 300k, 42 U.S.C. 300l, 42 U.S.C. 300m, 42 U.S.C. 300n, 42 U.S.C. 300 n-1, 42 U.S.C. 300 n-2, 42 U.S.C. 300 n-3, 42 U.S.C. 300 n-4, 42 U.S.C. 300 n-4a, 42 U.S.C. 300 n-5] of the Public Health Service Act, as amended. WISEWOMAN must follow the legislative requirements detailed in these sections.

OBJECTIVES:

WISEWOMAN is intended to improve the cardiovascular health of low-income, uninsured and under-insured women, ages 40-64, who are participants in the National Breast and Cervical Cancer Early Detection Program (NBCCEDP). Supplements to Public Law 101-354 expand the preventive health services provided through NBCCEDP to: (1) include screenings regarding blood pressure and cholesterol, and health education; (2) provide appropriate referrals for medical treatment of women receiving services pursuant to screenings; (3) ensure, to the extent practicable, the provision of appropriate followup services; and (4) evaluate activities conducted under

paragraphs (1) and (2) through appropriate surveillance or program monitoring activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds can be used for salaries for personnel to plan, implement, monitor and evaluate the program activities; for contracts or agreements with clinical entities to provide the cardiovascular screenings; risk reduction counseling; diagnostic office visits for abnormal screening values; contracts or agreements with community based organizations to provide health education and lifestyle support programs, or to improve the health of the physical environment (for example to improve access to healthy food, physical activity, or decrease tobacco exposure); or other activities that promote or support healthy behaviors and improved cardiovascular health in women. Funds can be used to pay for activities or expendable equipment necessary to provide the services outlined here, including self-blood pressure monitoring equipment and counseling to enhance medication adherence. Funds can be used to develop and maintain data collection systems to collect and report bio-measures and behavior information and for monitoring systems to augment control of hypertension. Funds cannot be used for research or construction or for ongoing medical treatment of participants. In accordance with Public Law 101-354 and its amendments, at least 60 percent of cooperative agreement funds awarded must be used to provide direct client services for eligible women. Direct client services include screening; health education/lifestyle intervention sessions; diagnostic tests; laboratory fees; tracking; follow-up; and support services to maximize participation in screening and lifestyle intervention sessions. No more than 40 percent of cooperative agreement funds can be used to support the following: program management and planning; data management, which includes using data to track due dates or attendance at appointments; quality assurance/quality improvement; public education initiatives; professional development; partnerships; community engagement; evaluation; and administration. Administrative costs are limited to no more than 10% of the total amount awarded.

Applicant Eligibility:

Applicants must be recipients of the National Breast and Cervical Cancer Early Detection Program (NBCCEDP).

Beneficiary Eligibility:

Low Income, uninsured or under-insured women.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download supplemental application forms from www.Grants.gov. Supplemental applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office, Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone: 770-488-2700, email: PGOTIM@cdc.gov. HHS/CDC Telecommunications for the hearing impaired: TTY 770-488-2783

Award Procedure:

All applications submitted by the deadline that are complete and responsive to competitive supplemental grant and cooperative agreement announcements will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The

NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Renewals will be based upon the conditions in the funding announcement, program performance including meeting program requirements and performance measures, submission of an acceptable request for continuation funding and are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 40%. Recipient financial participation is required for this program in accordance with the authorizing legislation. Section 1502(a) and (b)(1), (2), and (3) of the PHS Act, as amended, requires matching funds from non-Federal sources in an amount not less than one dollar for every three dollars of Federal funds awarded under this program. However, Title 48 of the US Code 1469a (d) requires DHHS to waive matching fund requirements for Guam, U.S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands up to \$200,000.

The match requirement may include third-party in-kind contributions, as well as expenditures from the grantee. The matching funds may be cash, in-kind or donated services or equipment. Public Law 93-638 authorizes tribal organizations contracting under the authority of Title I to use funds received under the Indian Self-Determination Act as matching funds. Matching funds may not include: 1) payment for treatment services or the donation of treatment services; 2) services assisted or subsidized by the Federal government; or 3) the indirect or overhead costs of an organization. All costs designated as meeting the match requirement must be documented by the applicant and will be subject to audit. Documentation of appropriate matching is to be provided in the detailed budget and narrative justification.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period and 48-month project period. Method of awarding/releasing assistance: lump sum.

Reports:

The reporting schedule will be based on the requirements listed in the funding opportunity announcement. An Annual Progress Report is due 90 days after the end of the budget period. Federal Financial Reports (SF 425) and Final Progress Report are due 90 days after the end of the budget period. In addition, minimum data elements will be submitted not more than twice a year. Cash reports are not applicable. An Annual Progress Report is due 90 days after the end of the budget period. The Annual Progress Report will describe successes, challenges and progress toward meeting program goals and objectives and program measures. An annual Federal Financial Report is due 90 days after the end of the budget period. A final FFR is due 90 days following the end of the project period. Through the annual progress report, programs will describe progress in meeting program goals, objectives and performance measures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution.

In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0094-3-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$13,778,401; FY 17 est \$14,548,574; and FY 18 est \$0 - FY16 is the last year of the Project Period. FY17 provided an extension to the budget/project period for this program. this program will end 6/29/2018.

Range and Average of Financial Assistance:

Awards will range from approximately \$500,000 to \$2,000,000 with an average of approximately \$780,000.

TAFS Codes:

75-0094.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Deborah Borbely 4770 Buford Hwy, MS F-72, Atlanta, Georgia 30341 Email: dvc2@cdc.gov Phone: 770-488-8404

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Phase I Review: All eligible applications will be initially reviewed for completeness by the CDC's Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by the CDC NCCDPHP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance to Phase II review. Applicants will be notified that the application did not meet eligibility and/or published submission requirements. Phase II Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the criteria section of the FOA. Applicants will be notified electronically if the application did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review. Applications will be funded in order by score and rank determined by the review panel.

93.095 HHS PROGRAMS FOR DISASTER RELIEF APPROPRIATIONS ACT - NON CONSTRUCTION

FEDERAL AGENCY:

Department of Health and Human Services

AUTHORIZATION:

Relief Appropriations Act of 2013, Public Law 113-2.

OBJECTIVES:

As written in the Relief Appropriations Act of 2013, this program will fund disaster response and recovery, and other expenses directly related to Hurricane Sandy, which are in the FEMA-declared major disaster states, relevant to the following:

The Head Start program, but not including the base grant and not subject to allocation requirements of section 640(a) of the Head Start Act; Social Services Block Grant, in addition to the entitlement grants; Health services (including mental health services); Costs of renovating, repairing, or rebuilding health care facilities, child care

facilities, or other social services facilities;

Supporting the recovery of losses due to the hurricane at non-Federal biomedical or behavioral research facilities.

TYPES OF ASSISTANCE:

Cooperative Agreements; Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

These funds can be used for research, renovation and repair, constructions, training, and counseling to address the objectives identified in section 050. Funds appropriated in this paragraph shall not be available for costs that are reimbursed by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance;

Amounts are designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985; and

In accordance with OMB guidance and the disaster appropriation, benefits of this project must be restricted to all or part of the FEMA declared major disaster states, which are: Connecticut, Delaware, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Virginia, West Virginia and the District of Columbia. All funds except those going to Social Services Block Grants or Head Start grantees, both through Administration for Children and Families.

Applicant Eligibility:

For Head Start and Social Services Block Grant, applicants must be current grantees. Applicants will be required to attest (at time of application) that funds requested will not be used for costs that are reimbursed by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. Terms and Conditions of the award will stipulate that the grantee must reimburse HHS for any costs that are subsequently covered by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. Definition: Self-Insurance is a formal plan, pursuant to law or regulation, in which amounts are set aside in a fund to cover losses of specified types and amounts, typically by a commercial insurance company. Appropriated funds are precluded from expenses that are or can be reimbursed by the formal self-insurance plan.

Beneficiary Eligibility:

Beneficiaries must be those impacted by Hurricane Sandy in 2012.

Credentials/Documentation:

Applicants will be required to attest (at time of application) that funds requested will not be used for costs that are reimbursed by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. Terms and Conditions of the award will stipulate that the grantee must reimburse HHS for any costs that are subsequently covered by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

See individual Funding Opportunity Announcements (FOAs) for specific information. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See individual Funding Opportunity Announcements (FOAs) for specific information.

Award Procedure:

See individual Funding Opportunity Announcements (FOAs) for specific information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

See individual Funding Opportunity Announcements (FOAs) for specific information.

Appeals:

See individual Funding Opportunity Announcements (FOAs) for specific information.

Renewals:

Renewals and extensions are not allowed, unless a waiver is received.

Formula and Matching Requirements:

Statutory Formula: See individual agencies for specific information.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

See individual Funding Opportunity Announcements (FOAs) for specific information.

Length and Time Phasing of Assistance:

All funds awarded must be obligated and outlaid within a 24-month period after the award date. Automatic No Costs Extensions are prohibited. Procedures for requesting a waiver will be identified in the terms and conditions of the award. See the following for information on how assistance is awarded/released: The project period and budget period will be up to 24 months. See individual Funding Opportunity Announcements (FOAs) for additional information.

Reports:

See individual FOAs for specific information on program reports. See individual FOAs for specific information on cash reports. See individual FOAs for specific information on progress reports. See individual FOAs for specific information on expenditure reports. See individual FOAs for specific information on performance monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records must be maintained for at least three years after the end of the project period or until all audit or compliance issues are resolved, whichever is latest.

Account Identification:

75-0862-0-1-552 - National Institute of Environmental Health Service;

75-1536-0-1-506 - Head Start; 75-0140-0-1-551 - Emergency Fund;

75-0846-0-1-552 - National Institutes of Health - OD; 75-1534-0-1-506 - Social Services Block Grant.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Agencies receiving funds are: Administration for Children and Families (ACF), Office of the Assistant Secretary for Preparedness and Response (ASPR), Centers for Disease Control and Prevention (CDC), National Institutes of Health (NIH), and Substance Abuse and Mental Health Services Administration (SAMHSA).

Range and Average of Financial Assistance:

See individual Funding Opportunity Announcements (FOAs) for specific information.

TAFS Codes:

75-1315.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Gregg Ukaegbu 200 Independence Ave, SW, Washington, District of Columbia 20201 Email: gregg.ukaegbu@hhs.gov Phone: 202-690-6574

Website Address:

<http://www.hhs.gov>

RELATED PROGRAMS:

93.600 Head Start; 93.667 Social Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See individual Funding Opportunity Announcements (FOAs) for specific information.

93.096 HHS PROGRAMS FOR DISASTER RELIEF APPROPRIATIONS ACT - CONSTRUCTION

FEDERAL AGENCY:

Department of Health and Human Services

AUTHORIZATION:

Relief Appropriations Act of 2013, Public Law 113-2.

OBJECTIVES:

As written in the Relief Appropriations Act of 2013, this program will fund disaster response and recovery, and other expenses directly related to Hurricane Sandy, which are in the FEMA-declared major disaster states, relevant to the following:

Costs of renovating, repairing, or rebuilding health care facilities, child care facilities, or other social services facilities; and

Supporting the repair or rebuilding of non-Federal biomedical or behavioral research facilities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

These funds can be used for research, renovation and repair, constructions, and training to address the objectives identified in section 050. Funds appropriated in this paragraph shall not be available for costs that are reimbursed by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance;

Amounts are designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985; and

In accordance with OMB guidance and the disaster appropriation, benefits of this project must be restricted to all or part of the FEMA declared major disaster states, which are: Connecticut, Delaware, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Virginia, West Virginia and the District of Columbia. See individual Funding Opportunity Announcements for additional information.

Applicant Eligibility:

Applicants will be required to attest (at time of application) that funds requested will not be used for costs that are reimbursed by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. Terms and Conditions of the award will stipulate that the grantee must reimburse HHS for any costs that are subsequently covered by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance.

Beneficiary Eligibility:

Beneficiaries must be those impacted by Hurricane Sandy in 2012.

Credentials/Documentation:

Applicants will be required to attest (at time of application) that funds requested will not be used for costs that are reimbursed by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. Terms and Conditions of the award will stipulate that the grantee must reimburse HHS for any costs that are subsequently covered by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

See individual Funding Opportunity Announcements for specific information on environmental impact information, intergovernmental review, and other

preapplication coordination. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See individual Funding Opportunity Announcements for specific information on OMB circulars and application procedures.

Award Procedure:

See individual Funding Opportunity Announcements for specific information on awards procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

See individual Funding Opportunity Announcements for specific information.

Appeals:

See individual Funding Opportunity Announcements for specific information.

Renewals:

Renewals and extensions are not allowed, unless a waiver is received.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details. See individual Funding Opportunity Announcements for specific information.

Length and Time Phasing of Assistance:

Unless noted otherwise in the Funding Opportunity Announcement, all funds awarded must be obligated and outlaid within a 24-month period after the award date. Automatic No Costs Extensions are prohibited. Procedures for requesting a waiver will be identified in the terms and conditions of the award. See the following for information on how assistance is awarded/released: The project period and budget period will be up to 24 months. See individual Funding Opportunity Announcements for additional information.

Reports:

See individual Funding Opportunity Announcements (FOAs) for additional information on program reports. See individual FOAs for additional information on cash reports. See individual FOAs for additional information on progress reports. See individual FOAs for additional information on expenditure reports. See individual FOAs for additional information on performance monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See individual Funding Opportunity Announcements for additional information on audits.

Records:

All records must be maintained for at least three years after the end of the project period or until all audit or compliance issues are resolved, whichever is latest.

Account Identification:

75-0846-0-1-552.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Construction grants through National Institutes of Health.

Range and Average of Financial Assistance:

See individual Funding Opportunity Announcements for specific information.

TAFS Codes:

75-1315.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Kathy Killian 200 Independence Ave., SW, Washington, District of Columbia 20201 Email: Kathy.killian@hhs.gov Phone: 202-205-1226

Website Address:

<http://www.hhs.gov>

RELATED PROGRAMS:

93.095 HHS Programs for Disaster Relief Appropriations Act - Non Construction

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See individual Funding Opportunity Announcements for specific information.

93.097 STRENGTHENING THE NATION'S PUBLIC HEALTH SYSTEM THROUGH A NATIONAL VOLUNTARY ACCREDITATION PROGRAM FOR STATE, TRIBAL, LOCAL AND TERRITORIAL HEALTH DEPARTMENTS

A National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 317(k) (2) of the Public Health Service Act [42 U.S.C. 247(b)(k)(2)].

OBJECTIVES:

This project will support the operations and continuous improvement of a national accreditation program for state, tribal, local and territorial public health departments. This program will result in increased visibility for accreditation, a stronger public health accreditation program, increased demonstration of accountability of public health programs to decision makers and to the public, increased adoption of evidence based practices, increased use of quality improvement, improved capacity for state, tribal, local and territorial health departments to meet nationally established accreditation standards, and increased recognition of public health roles and value by leaders and the public.

Specific objectives may/will include but are not limited to:

1. Support communications and education regarding the accreditation program.
2. Establish a process of continuous improvement of the accreditation standards, tools, and processes to ensure a relevant, current and smoothly functioning program (all elements of the current program can be found at www.phaboard.org).
3. Strengthen strategic partnerships to support accreditation.
4. Strengthen the evidence base for accreditation.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting the National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments, and for the implementation of the Public Health Accreditation Board (PHAB) program elements as described in Section 050 above and through CDC allowable and unallowable expenses. Per CDC-RFA-OT13-1301, Part II Section D.15 Funding Restrictions, page 27. Detailed budget restrictions will be specified in corresponding most recent Notice of Award. Public Health assigns these funds for this Budget Activity.

Applicant Eligibility:

1. Eligible Applicants: Public Health Accreditation Board (PHAB), a nonprofit with 501(c)3 IRS status.
2. Special Eligibility Requirements: The only eligible applicant for this award is the Public Health Accreditation Board (PHAB), a 501(c)3 independent accrediting body that administers the national voluntary public health department accreditation program.
3. Justification for Less than Maximum Competition: The nature of the activities under this award are best employed by focusing on the entity to which this cooperative agreement is limited, per memorandum for approval for single-eligibility competition, which was approved and dated December 20, 2012.

PHAB was found to be uniquely qualified, as it is the organization that has been established as the national accrediting body for state, tribal, local and territorial health departments. PHAB was determined to have the experience and program infrastructure, which includes field-driven and tested standards, measures and accreditation assessment process. PHAB is also widely accepted as the national accrediting body for state, local tribal and territorial health departments by the constituency organizations for these agencies and as evidenced by the visible mention of PHAB within national policy documents (e.g., Institute of Medicine reports, Healthy People 2020).

Beneficiary Eligibility:

State, Tribal, Local and Territorial Public Health Departments via a National Voluntary Accreditation Program administered by the Public Health Accreditation Board (PHAB), a 501(c)3 independent accrediting entity.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Phase I Review: Eligible application will be initially reviewed for completeness by the CDC's Procurement and Grants Office (PGO) staff. In addition, eligible application will be jointly reviewed for responsiveness by CDC OSTLTS and PGO. Incomplete and/or non-responsive application to the eligibility criteria will not advance to Phase II review. Applicant will be notified that the application did not meet eligibility and/or published submission requirements. Phase II Review: An objective review panel will evaluate complete and responsive application according to the criteria listed in the criteria section of the FOA. Applicant will be notified electronically if the application did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review. Successful applicant will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Jul 01, 2013 to Jul 30, 2018: Five year project period.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals will require a yearly continuation application based upon availability of funds and programmatic compliance.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period, within the five-year project period. As specified in Notice of Award: Lump sum upon completing program activities. Method of awarding/releasing assistance: lump sum.

Reports:

Per Funding Opportunity Announcement CDC-RFA-OT13-1301, Part II Section F.3 Reporting, page 33, and as additionally specified/detailed/listed in Notice of Award. No cash reports are required. Awardees must submit one report per year; ongoing performance measures data, administrative reports, and a final performance and financial report. The Annual Performance Report (due 120 days before the end of the budget period and serves as a continuation application). Preapproved budget tracking and reconciliation as part of Progress Report. Performance Measures (including outcomes) Awardees must report on performance measures for each budget period and update measures, if needed. Performance Measure Reporting: Awardees is required to submit performance measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$900,000; FY 17 est \$900,000; and FY 18 est \$900,000

Range and Average of Financial Assistance:

1 award at an approximate average award of: \$900,000.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per Funding Opportunity Announcement CDC-RFA-OT13-1301, and as additionally specified/detailed/listed in most current Notice of Award, and program elements/requirements found in PHAB National Voluntary Public Health Departments Accreditation Program (www.phaboard.org)

Regional or Local Office:

None.

Headquarters Office:

Samuel Taveras 1600 Clifton Road, MS-E70, Atlanta, Georgia 30333 Email: syt2@cdc.gov Phone: 770-488-1523

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.507 Strengthening Public Health Infrastructure for Improved Health Outcomes; 93.524 The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As specified in Funding Opportunity Announcement CDC-RFA-OT13-1301 Application Review and Selection Process listed in Section (093) Award Procedure above.

93.098 TRIBAL PUBLIC HEALTH CAPACITY BUILDING AND QUALITY IMPROVEMENT

Tribal Public Health Capacity Building and Quality Improvement (CBQI)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 317(k)(2) of the Public Health Service Act [42 USC 247(b)(k)(2), as amended]. In addition, this program is authorized under Executive Order 13175 [http://www.gsa.gov/portal/content/101569].

AR-15: Proof of Non-profit Status applies. , Executive Order EO13175.

OBJECTIVES:

This program will provide funding to improve tribal health systems quality, effectiveness, and efficiency in the delivery of public health services to American Indians/Alaska Natives (AI/AN). The intent is to provide support to optimize the quality and performance of tribal public health systems, tribal public health practice and services, tribal public health partnerships, and tribal public health resources.

Specifically, assistance will be provided in the following two Priority Areas: (1) tribal public health capacity building, and (2) performance evaluation and monitoring of programs. Through this FOA, CDC seeks to fund eligible federally recognized tribes and/or tribally designated organizations, whose mission is to improve the health status of Native people to provide capacity building in strengthening tribal health systems, and evaluating and monitoring awarded tribal public health capacity building projects in the AI/AN communities.

Specific objectives and outcomes may/will include but are not limited to:

- 1) Decrease burden of disease over a five year period among AI/AN ;
- 2) Increase efficiencies and effectiveness of tribal public health systems;
- 3) Increase quantity and quality of tribal public health services and cost effectiveness;
- 4) Increase dissemination of proven interventions for tribal public health services to AI/AN persons;
- 5) Increase adoption of effective strategies among Tribal public health systems implementation, cultural adaption, and fidelity factors with evidence based models.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for allowable costs associated with planning, organizing, conducting, and supporting the implementation of The Tribal Public Health Capacity Building and Quality Improvement (CBQI) program elements described in Section (050) above in compliance with HHS/CDC allowable expenses. Per CDC-RFA-OT13-1303, Part II Section D.15 Funding Restrictions, page 30. Detailed budget restrictions will be detailed and specified in corresponding most recent Notice of Award. This award is funded from OSTLTS base appropriated funds.

Applicant Eligibility:

This program will provide funding to improve tribal health systems quality, effectiveness, and efficiency in the delivery of public health services to American Indians/Alaska Natives (AI/AN). The intent is to provide support to optimize the quality and performance of tribal public health systems, tribal public health practice and services, tribal public health partnerships, and tribal public health resources.

Beneficiary Eligibility:

Tribal Public Health Capacity Building and Quality Improvement Infrastructure

and Tribal Entities and Organizations as described/listed in Section (080) Eligibility Requirements, above.

Credentials/Documentation:

All eligible applicants must be able to demonstrate the following:

- 1) Have experience effectively working with AI/AN populations, and
- 2) Have the ability to methodically and efficiently reach tribal members in AI/AN communities.

All eligible applicants must describe their experience in effectively delivering AI/AN culturally-specific programs and have a proven record of demonstrated success in monitoring and evaluation of the same AI/AN culturally-specific programs. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Phase I Review: Eligible applications will be initially reviewed for completeness by the CDC's Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by CDC OSTLTS and PGO. Incomplete and/or non-responsive applications to the eligibility criteria will not advance to Phase II review. Applicants will be notified that the application did not meet eligibility and/or published submission requirements. Phase II Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the criteria section of the FOA. Applicants will be notified electronically if the application did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office (PGO). The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Sep 01, 2013 to Aug 31, 2018: Renewals for FY2014-2018. Contact the headquarters or regional office, as appropriate for application deadlines or refer to most recent CDC-RFA-OT13-1303 FOA Announcement.

Range of Approval/Disapproval Time:

From 60 to 90 days. Within 60 to 90 days after publication on Grants.gov.

Appeals:

Not Applicable.

Renewals:

Renewals for FY2014-2018 will require a yearly continuation application based upon availability of funds and programmatic compliance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is available for 12-months (In Five 12-month budget periods), within the five-year project period. Funds Released: As specified in most recent Notice of Award: Lump sum upon completing program activities. Method of awarding/releasing assistance: lump sum.

Reports:

Per Funding Opportunity Announcement CDC-RFA-OT13-1303, Part II Section F.3 Reporting, page 36, and as additionally specified/detailed/listed in most recent corresponding Notice of Award. No cash reports are required. Awardees must submit one report per year; ongoing performance measures data, administrative reports, and a final performance and financial report. The Annual Performance Report (due 120 days before the end of the budget period

and serves as a continuation application). Preapproved budget tracking and reconciliation as part of Progress Report. Performance Measures (including outcomes) Awardees must report on performance measures for each budget period and update measures, if needed. Performance Measure Reporting: Awardees is required to submit performance measures at least annually. CDC may require more frequent reporting of performance measures, as needed. Performance measure reporting should be limited to the collection and reporting of data.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit info.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 Not Separately Identifiable; FY 17 est \$550,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Subject to the availability of Funds; Fiscal Year: 2013-2018, Approximate Total Fiscal Year Funding: \$587274, Approximate Average Award: \$97,500, Approximate Total Project Period Funding: \$2,925,000.

TAFS Codes:

75-0943-551.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per Funding Opportunity Announcement CDC-RFA-OT13-1303, additional applicable HHS/CDC regulations, and requirements specified, detailed, listed in most recent corresponding Notice of Award.

Regional or Local Office:

None.

Headquarters Office:

Carmen (Skip) Clelland 1825 Century Blvd. NE, Atlanta, Georgia 30345
Email: yur3@cdc.gov Phone: 404-498-2208 Fax: 404-498-6882

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.507 Strengthening Public Health Infrastructure for Improved Health Outcomes; 93.524 The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As specified in Funding Opportunity Announcement CDC-RFA-OT13-1303, Section Part II.E, Pages 32-34, Application Review and Selection Process listed and summarized in Award Procedure Section (093) above.

93.099 COLLABORATION WITH THE WORLD HEALTH ORGANIZATION AND ITS REGIONAL OFFICES FOR GLOBAL HEALTH SECURITY AND THE INTERNATIONAL HEALTH REGULATIONS (IHR 2005)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 307 as amended [42 U.S.C. 241 and 2421].

OBJECTIVES:

On September 19, 2011, the Government of the United States of America and the World Health Organization (WHO) signed a memorandum of understanding (MOU) to help WHO Member States strengthen their capabilities to support the International Health Regulations (IHR), an international agreement that requires Member States to prevent and respond to acute public health risks that have the potential to cross borders. The MOU includes specific statements that authorize WHO and its regional offices to pursue activities that improve the ability of all nations to detect, report, and respond to infectious diseases quickly and accurately. The purpose is to assist WHO in implementing the MOU regarding the International Health Regulations, including the development and implementation of coordinated plans and networks that enable national governments and regional authorities globally to better address infectious diseases, particularly emerging infections. Specific activities will focus on improving infectious disease surveillance and response; enhancing knowledge generation, innovation, and tools for improved management that build public health infrastructure and strengthen regional health leadership and collaboration; sharing knowledge, tools and other resources in support of applied epidemiology; and developing improved infectious disease surveillance, prevention and control strategies.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Support implementation of the IHR by analyzing regional, national, and local resources devoted to infectious diseases and identifying areas for implementation of infectious disease activities consistent with the purpose of this cooperative agreement. Develop, implement, and conduct projects to enhance infectious disease surveillance, response, prevention, and control. Strengthen regional and national public health networks and inter-sectorial co-operation and collaborate with other health organizations, academic institutions, and community groups as appropriate to accomplish program activities. Strengthen regional and national public health leadership and collaboration. Monitor and evaluate overall program performance and the progress of individual projects or activities. Publish and disseminate findings, program evaluations, and lessons learned. Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Awardees may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Reimbursement of pre-award costs is not allowed.

Applicant Eligibility:

Only the World Health Organization and its regional offices are eligible to apply. For purposes of this document, the term WHO includes its regional offices (e.g., PAHO, EMRO, AFRO, SEARO, etc). WHO is the only international and intergovernmental agency qualified to conduct activities under this cooperative agreement because:

WHO is an international public health agency that works to improve health and living standards globally. WHO is part of the United Nations system. As such, WHO has a unique position among the world's health agencies as the lead technical agency for health globally. For additional information, please see

<http://www.who.org>

WHO and its Regional Offices are at the apex of global public health organizations and thus have an exclusive status as the United States governments key partners for global public health.

On September 19, 2011, the Government of the United States of America and the World Health Organization signed a memorandum of understanding (MOU) to help developing nations strengthen their capabilities to support the International Health Regulations (IHR). The IHR (2005) is an international agreement that requires WHO Member States to prevent and respond to acute public health risks that have the potential to cross borders and threaten people worldwide. The U.S. Government and WHO share a commitment to strengthen cooperation in the area of global health security to ensure that the international community effectively manages public health risks. Improving the ability of all nations to detect, report, and respond to infectious diseases quickly and accurately lies at the heart of the global community's ability to address all infectious disease threats, as reflected in the IHR. The MOU establishes a framework for collaboration in line with the provisions set forth in the IHR, which provides a construct for coordinating the management of actions in the event of a public health emergency of international concern. The MOU was signed by Secretary Kathleen Sebelius, Department of Health and Human Services, and Director-General Margaret Chan, World Health Organization, and subsequently by Ambassador Betty E. King, United States Permanent Representative to the United Nations in Geneva. The full text of the MOU can be accessed at:

<http://www.globalhealth.gov/global-health-topics/health-diplomacy/agreements-and-regulations/20110922-mem.html>.

The MOU includes the following specific statements that support sole-source consideration of WHO and its regional offices (including PAHO) for funding activities that fall under the scope of the MOU: Section 8.a.: The United States Department of Health and Human Services (DHHS) continues to serve as the primary substantive interface with WHO on global health and health security. DHHS plans to ensure coordination with other U.S. Government departments, agencies, and entities that collaborate on health matters within the scope of this Memorandum to enhance the effectiveness of such activities. The U.S. Government agencies intend to develop programs and provide funds through mechanisms that may be determined jointly by the U.S. Government agency and WHO. Section 8.b.: Specific funding mechanisms are intended to be developed and U.S. Government agencies intend to collaborate with WHO to define specific work to be undertaken by the Participants and the division of responsibilities..

Beneficiary Eligibility:

The World Health Organization and its regional offices work will benefit individuals worldwide, including in the U.S., through collaboration with National Ministries of Health and other organizations/institutions.

The purpose is to support WHO to reduce the global disease burden through improved international capacity to detect and respond to emerging infectious diseases and other threats.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must download and submit the SF424 application package associated with specific Funding Opportunity Announcements (FOAs) issued by CDC via Grants.gov. Specific application instructions will be included in the FOAs. Applications are not solicited nor will they be accepted outside of a published FOA process.

Award Procedure:

Eligible applications submitted in response to published FOAs will be initially reviewed for completeness by the Procurement and Grants Office (PGO) staff.

In addition, eligible applications will be jointly reviewed for responsiveness by CDC program staff and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet eligibility and/or published submission requirements. A review will be conducted to evaluate the eligible, complete, and responsive applications according to the criteria listed in the applicable FOA. As part of the review process, the applicant will receive a written Technical Review that summarizes the review findings regarding strengths, weaknesses, and recommendations. The eligible application will be selected and funded based on the findings of the review process. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. Unsuccessful applicants will receive notification of the results of the application review by mail. here to enter text.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Awards under this Program are typically issued for 1- to 5-year project periods. Renewals at the end of each project period are expected to be made available via publishing of a new/continuation FOA with a complete application and review/award process as described above. Annual renewals within an awarded multi-year project period are made via a non-competitive process.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within a 1- to 5- year project period. After awards are issued, funds are released in a manner and timeline in accordance with the payment procedure established by the grantee institution with DHHS (typically the Payment Management System). See the following for information on how assistance is awarded/released: Funding is awarded in accordance with established procedures for HHS/CDC-funded cooperative agreements.

Reports:

Federal Funding Accountability And Transparency Act Of 2006 (FFATA): Public Law 109-282, the Federal Funding Accountability and Transparency Act of 2006 as amended (FFATA), requires full disclosure of all entities and organizations receiving Federal funds including grants, contracts, loans and other assistance and payments through a single publicly accessible Web site, www.USASpending.gov. The Web site includes information on each Federal financial assistance award and contract over \$25,000, including such information as:

1. The name of the entity receiving the award
2. The amount of the award
3. Information on the award including transaction type, funding agency, etc.
4. The location of the entity receiving the award
5. A unique identifier of the entity receiving the award; and
6. Names and compensation of highly-compensated officers (as applicable)

Compliance with this law is primarily the responsibility of the Federal agency. However, two elements of the law require information to be collected and reported by recipients: 1) information on executive compensation when not already reported through the Central Contractor Registry; and 2) similar information on all sub-awards/subcontracts/consortiums over \$25,000.

For the full text of the requirements under the Federal Funding Accountability and Transparency Act of 2006, please review the following website: http://frwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=109_cong_bills&d

ocid=f:s2590enr.txt.pdf .

Each funded applicant must provide CDC with an annual Interim Progress Report submitted via www.grants.gov:

1. The interim progress report is due no less than 90 days before the end of the budget period. The Interim Progress Report will serve as the non-competing continuation application, and must contain the following elements:
 - a. Standard Form (SF) 424S Form.
 - b. SF-424A Budget Information-Non-Construction Programs.
 - c. Budget Narrative.
 - d. Indirect Cost Rate Agreement.
 - e. Project Narrative.

Additionally, funded applicants must provide CDC with an original, plus two hard copies of the following reports:

2. Financial Status Report* (SF 269) no more than 90 days after the end of the budget period.
3. Final performance and Financial Status Reports*, no more than 90 days after the end of the project period.

*Disclaimer: As of February 1, 2011, current Financial Status Report (FSR) requirements will be obsolete. Existing practices will be updated to reflect changes for implementation of the new Federal Financial Reporting (FFR) requirements.

These reports must be submitted to the attention of the Grants Management Specialist listed in the Section VII below entitled Agency Contacts. Cash reports are not applicable. Described above. Described above. Described above.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. A-122 and/or international grant language should be cited... not A-133

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550 - CDC annual appropriations.

Obligations:

(Cooperative Agreements) FY 16 \$4,288,312; FY 17 est \$615,260; and FY 18 est \$615,260 - This program includes awards made under two cooperative agreements (PAHO & WHO). In 2013, awards made to WHO fell under CFDA 93.283, so the actual award for FY13 under this CFDA is substantially less than initially estimated because it only includes the award to PAHO. WHO was moved to CFDA 93.099 in 2014 and so the FY14 includes awards made for both coags.

Range and Average of Financial Assistance:

Awards ranged and averaged between \$600K (PAHO) and \$18M (WHO).

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Two applications were received and funded. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published in the specific Funding Opportunity Announcements and identified on the notices of award.

Regional or Local Office:

None.

Headquarters Office:

Caroline Gardner, 1600 Clifton Rd, MS E29, Atlanta, Georgia 30329 Email: ctg8@cdc.gov Phone: 404-639-4276

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.818 Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed against specific Evaluation Criteria published in the Funding Opportunity Announcements under this program. Evaluation Criteria typically evaluates how well the application demonstrates or illustrates quality, experience, etc., in the areas of background/understanding of the public health problem(s), operational plan, technical approach, plan for evaluation and monitoring, staffing, appropriateness of requested budget, etc.

93.103 FOOD AND DRUG ADMINISTRATION RESEARCH

General Grant Funding

FEDERAL AGENCY:

Food and Drug Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act as amended, Title III, Section 301(c), Public Law 78-410, 42 U.S.C 241 and 263; 2012 Defense Authorization Act (P.L.112-81), Public Law 112-81; Radiation Control for Health Safety Act of 1968, Title XVII, Public Law 90-602, 42 U.S.C 300u; Pediatric Medical Device Safety and Improvement Act of 2007, Section 305.

OBJECTIVES:

To assist institutions and organizations, to establish, expand, and improve research, demonstration, education and information dissemination activities; acquired immunodeficiency syndrome (AIDS), biologics, blood and blood products, therapeutics, vaccines and allergenic projects; drug hazards, human and veterinary drugs, clinical trials on drugs and devices for orphan products development; nutrition, sanitation and microbiological hazards; medical devices and diagnostic products, radiation emitting devices and materials; food safety and food additives. These programs are supported directly or indirectly by the following Centers and Offices: Center for Biologics Evaluation and Research (CBER); Center for Drug Evaluation and Research (CDER); Center for Devices and Radiological Health (CDRH); Center for Veterinary Medicine (CVM), Center for Food Safety and Applied Nutrition (CFSAN), National Center for Toxicological Research (NCTR), the Office of Orphan Products Development (OPD), the Center for Tobacco Products (CTP), and Office of Regulatory Affairs (ORA), and the Office of the Commissioner (OC). Small Business Innovation Research (SBIR) Programs: to stimulate technological innovation; to encourage the role of small business to meet Federal research and development needs; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation by minority and disadvantaged persons in technological innovation. Funding support for scientific conferences that are relevant to the FDA scientific mission and public health are also available.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Research grants are awarded to eligible institutions in the name of a principal investigator for applications that are responsive to programmatic requirements as stated in the Funding Opportunity Announcement (FOA). Funds are to be used for costs as identified in the FOA and as directly allocable, necessary and reasonable to carry out the proposed research aims and objectives. Small Business Innovation Research (SBIR) Phase I grants are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants for this program are for the competitive continuation of the research initiated in Phase I, and are likely

to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. Conference grants provide partial support for domestic scientific conferences related to the FDA mission. Funding restrictions are noted in the Funding Opportunity Announcement and the Terms and Conditions of award.

Applicant Eligibility:

Applicants should review the individual funding opportunity announcement issued under this CFDA program to see which applicant organizations are eligible to apply.

Beneficiary Eligibility:

Applicants should review the individual funding opportunity announcement issued under this CFDA program to see which applicant organizations are eligible to apply.

Credentials/Documentation:

Applicants should review the individual funding opportunity announcement issued under this CFDA program for any required proof of certification which must be submitted prior to or simultaneous with submission of an application. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Accepted research and non-research grant applications are subject to an objective review for scientific and technical merit. SBIR applications to be funded are subject to a second level review by a National Advisory Council or Board. All approved applications compete for available funds on the basis of scientific merit and programmatic relevance. Applicants should review the individual funding opportunity announcement issued under this CFDA program to see the specific award procedures that apply.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Research applications: Approximately 4 to 6 months. SBIR applications: Approximately 90 days. Conference applications: Approximately 6 to 9 months.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Renewals (competing continuation applications) are permitted as indicated in the published Funding Opportunity Announcements.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of support will depend on the nature of the projects, program relevance and the availability of funds. For those projects with an expected duration of more than 1 year, future recommended support will depend on (1) performance during the preceding year and (2) availability of Federal funds. Small Business Innovation Research Phase I awards are generally for 6 months; Phase II awards are generally for 2 years.

Additional program time frames are stated in the Funding Opportunity Announcements. See the following for information on how assistance is awarded/released: Domestic and foreign payments are made through the DHHS Payment Management System (PMS).

Reports:

Program reports are required as stated in the Funding Opportunity

Announcement and the Terms and Conditions of award. Cash reports are not applicable. Progress reports are required as stated in the Funding Opportunity Announcement and the Terms and Conditions of award. Expenditure reports are required as stated in the Funding Opportunity Announcement and the Terms and Conditions of award. Performance monitoring is required as stated in the Funding Opportunity Announcement and the Terms and Conditions of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Progress reports, financial and programmatic records, supporting documents, statistical records and all other records that are required by the terms and conditions of the grant or may be considered pertinent to grant expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the required final financial reports for the project period.

Account Identification:

75-0600-0-1-554.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$170,482,435; FY 17 est \$208,900,832; and FY 18 est \$208,900,832

Range and Average of Financial Assistance:

Varies by grant mechanism and program specific requirements.

TAFS Codes:

13-0550.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants should review the individual Funding Opportunity Announcement (FOA) issued under this CFDA program to see the specific regulations, guidelines and/or literature that applies.

Regional or Local Office:

None.

Headquarters Office:

Kimberly M. Pendleton 5630 Fishers Lane, Rockville, Maryland 20852 Email: kimberly.pendleton@fda.hhs.gov Phone: 240-402-7610

Website Address:

<http://www.fda.gov>

RELATED PROGRAMS:

93.448 Food Safety and Security Monitoring Project; 93.876 Antimicrobial Resistance Surveillance in Retail Food Specimens

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants should review the individual Funding Opportunity Announcement (FOA) issued under this CFDA program to see the specific evaluation criteria that applies.

93.104 COMPREHENSIVE COMMUNITY MENTAL HEALTH SERVICES FOR CHILDREN WITH SERIOUS EMOTIONAL DISTURBANCES (SED)

(CMHS Child Mental Health Service Initiative)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Services Act, Title V, Part E, Section 561, Public Law 102-321, 42 U.S.C.

OBJECTIVES:

To provide community-based systems of care for children and adolescents with a serious emotional disturbance and their families. The program will ensure that services are provided collaboratively across child-serving systems; that each child or adolescent served through the program receives an individualized service plan developed with the participation of the family (and, where appropriate, the child); that each individualized plan designates a case manager to assist the child and family; and that funding is provided for mental health services required to meet the needs of youngsters in these systems.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grant funds may be used for salaries, wages and fringe benefits of professional and support staff; travel directly related to carrying out service activities; supplies, communications, and rental of equipment and space; training specifically aimed at one of the following: the development of individualized service plans; the provision of therapeutic foster care, group home care; intensive home-based services, or intensive day treatment services; administration of the system; and appropriate continuing education of two days or less; contracts for performance of activities under the approved project; and other such items necessary to support project activities. Grant funds and required matching funds may not be used for: other required services; to purchase or improve real estate property; provide residential care and/or services in residential treatment centers serving more than 10 children in inpatient hospital settings; any training activities with the exception of those mentioned above; and non-mental health services including mental health medical services, educational services, vocational counseling and rehabilitation, and protection and advocacy. 100% of funds are set aside for discretionary activities.

Applicant Eligibility:

States, political subdivisions of a State, such as county or local governments, and Federally Recognized Indian Tribal governments.

Beneficiary Eligibility:

Children under age 22 with a diagnosed serious emotional disturbance, serious behavioral disorder, or serious mental disorder.

Credentials/Documentation:

In order for an entity to be eligible it must provide the following credentials or documentation along with an application for assistance: (1) Documentation that the State mental health agency of the applicant receives funds from the Center for Mental Health Services Community Mental Health Services Block Grant; (2) documentation that the applicant entity is qualified to deliver services under the State Medicaid Plan and has an agreement to do so, either directly or through a service provider organization; (3) documentation that the State has an approved plan for the development of a system of care for community-based services for children with a serious emotional disturbance approved by the Secretary of the U.S. Department of Health and Human Services. For the purpose of this program, an approved State Mental Health Plan for children and adolescents with serious emotional disturbance submitted in accordance with the requirements of Section 1912 of the Public Health Service Act, will be accepted as such a plan; and (4) matching requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Standard application forms, as Application for Federal Assistance SF-424 and required by 45 CFR Part 92 for State and local governments.

Award Procedure:

Applications submitted are reviewed in a two-tired peer review process. Grants

in support of projects recommended for approval by the initial review group and the Center for Mental Health Services Advisory Council are awarded directly to the applicant organization.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 100%.

This program has MOE requirements, see funding agency for further details. A requirement contained in certain legislation, regulations, or administrative policies that a recipient must maintain a specified level of financial effort in the health area for which Federal funds will be provided in order to receive Federal grant funds. This requirement is usually given in terms of a previous base-year dollar amount.

Length and Time Phasing of Assistance:

Project will be funded for up to 6 years. Payments will be made under an Electronic Transfer System. See the following for information on how assistance is awarded/released: SAMHSA, awards grants projects for the twelve months budget period release and release at the time award is issued.

Reports:

CMHI and CH requires quarterly program reports. No cash reports are required. CMHI and CH requires quarterly program reports. The (SF-425) Federal Financial Report is required by SAMHSA, Division of Grants Management 90 days after the end of each 12 month period and 90 days after the final 12 month period. SAMHSA, monitors the grants programs through monthly conference calls, site visits, continuation application and quarterly progress reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

There is a 3-year record retention requirement: records shall be retained beyond the 3-year period if the final audit has not been done or findings resolved.

Account Identification:

75-1363-0-1-551.

Obligations:

(Project Grants (Discretionary)) FY 16 \$86,224,702; FY 17 est \$84,031,273; and FY 18 est \$60,396,149

Range and Average of Financial Assistance:

\$376,096 to \$2,000,000; \$1,329,454.

TAFS Codes:

75-1362.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 64 awards were made. Fiscal Year 2017: It is estimated 60 awards will be made. Fiscal Year 2018: It is estimated 41 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

CFR 200/45 CFR Part 75 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS awards; also the HHS Grants Policy Statement.

Regional or Local Office:

None.

Headquarters Office:

Roger George 5600 Fisher Lane, Rockville, Maryland 20857 Email: roger.george@samhsa.hhs.gov Phone: 2402761418

Website Address:

http://www.samhsa.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following review criteria will be used in evaluating proposals: (1) Potential significance of the proposed project; (2) appropriateness of goals and objectives; (3) adequacy and appropriateness of the proposed approach and activities; (4) evidence of the State's readiness and commitment to the proposed project; (5) commitment to family participation in system development and care of their children and adolescents; (6) degree of cultural competence demonstrated; (7) capability and experience of project director, consultants, and other key staff; (8) adequacy of available resources; (9) reasonableness of the proposed budget; (10) cooperation in the evaluation activities; and (11) evidence of activities directed at developing continues funding support. Award criteria include: (1) quality of proposed project as determined by the review process; (2) geographical distribution; and (3) availability of funds.

93.107 AREA HEALTH EDUCATION CENTERS

AHEC

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VII, Sec. 751 of the Public Health Service Act (42 U.S.C. 294a).

OBJECTIVES:

The Area Health Education Center (AHEC) Program enhances access to high quality, culturally competent health care through academic-community partnerships. The goal of the AHEC Program is three fold: (1) to prepare a diverse, culturally-competent primary care workforce representative of the communities served; (2) to improve health workforce distribution through the nation, particularly among rural and underserved areas and population; and (3) to develop and maintain a healthcare workforce that is prepared to deliver high quality care in a transforming health care delivery system with emphasis on rural and underserved areas and communities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds shall be used to recruit individuals from underrepresented, disadvantaged, or rural backgrounds into the health professions; establish and maintain community-based placements or preceptorships with an emphasis on primary care; conduct interdisciplinary/interprofessional training involving an array of health professionals; deliver or facilitate continuing education; propose and implement outcomes measurement and evaluation strategies. Not less than 75 percent of the total amount provided to an Area Health Education Centers (AHEC) program under subsection 751(a) (2) shall be allocated to the AHEC centers participating in the program. The aggregate amount of awards to schools in the state for the fiscal year under Point of Service Maintenance and Enhancement AHEC authority shall be not less than \$103,000 annually per AHEC center, including program office funds. If amounts appropriated to carry out Section 751 are not sufficient to comply with the amount stated in the preceding sentence, the Secretary may reduce the per center amount as necessary. Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment. Student support through stipends, tuition, and fees is not eligible for support.

Applicant Eligibility:

Entities eligible to apply for AHEC Infrastructure Development awards under 751(a)(1) are public or nonprofit private accredited schools of allopathic medicine and osteopathic medicine and incorporated consortia made up of such schools, or the parent institutions of such schools. In states and territories in which no AHEC program is in operation, an accredited school of nursing is an eligible applicant.

An entity eligible to apply for AHEC Point of Service Maintenance and Enhancement awards under section 751 (a) (2) means an entity has received funds under this section (751), is operating an area health education center program, including an area health education center or centers, and has a center or centers that are no longer eligible to receive financial assistance under subsection (a)(1). Eligible entities are accredited public or nonprofit schools of allopathic medicine or osteopathic medicine, the parent institution on behalf of such schools, and accredited schools of nursing, which have received funds under section 751 to operate an AHEC program.

Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Beneficiaries include a full range of trainees: high school students from underrepresented minority populations or from disadvantaged or rural backgrounds, health professions students, faculty, and practitioners.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award.

When making final funding decisions regarding Section 751 awards, consideration will be given to the Sense of the Congress that there be an area health education center program in each state..

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50%. Matching Requirements: Percent: 50%. With respect to the costs of operating a program through a grant under section 751, to be eligible for assistance under section 751, an entity shall make available (directly or through contributions from state, county, municipal governments or the private sector) recurring nonfederal contributions in cash or in kind toward such costs in an amount that is equal to not less than 50 percent of such costs. Thus, the matching ratio for AHEC awards is 1:1 (federal funds to non-federal contributions). At least 25 percent of the total required non-Federal contributions shall be in cash.

This program has MOE requirements, see funding agency for further details. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a five year project period. See the following for information on how assistance is awarded/released: Recipients drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. Cash reports are not applicable. A Federal Financial Report (SF-425) is required according to the schedule in HRSAs Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$28,338,943; FY 17 est \$28,400,000; and FY 18 est \$0

Range and Average of Financial Assistance:

FY 2015 actual \$103,550-\$1,868,176; Average Award: \$544,641

FY 2016 actual \$105,438-\$1,265,256 ; Average Award: \$542,928.

FY 2017 Est. \$206,000-\$2,437,500; Average Award: \$579,590.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Aleisha Langhorne, Project Officer, Health Careers Pipeline Branch, Division of Health Careers and Financial Support, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Parklawn Building, Rockville, Maryland 20857
Email: ALanghorne@hrsa.gov Phone: (301) 443-6950

Website Address:

<https://bhwh.hrsa.gov/grants/healthcareers>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed review criteria.

93.110 MATERNAL AND CHILD HEALTH FEDERAL CONSOLIDATED PROGRAMS

Special Projects of Regional and National Significance (SPRANS), including the Community Integrated Service Systems (CISS); and the Heritable Disorders Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title V, Section 502(a)(1) and (b)(1), as amended; 42 U.S.C. 702.; Section 1109, 1111 and 1112 of the Public Health Service Act and Section 399T of the Public Health Service Act.

OBJECTIVES:

To carry out special maternal and child health (MCH) projects of regional and national significance; to conduct training and research; to conduct genetic disease testing, counseling, and information development and dissemination programs; for the screening of newborns for sickle cell anemia, and other genetic disorders; and to support comprehensive hemophilia diagnostic and treatment centers. These grants are funded with a set-aside from the MCH Block grant program. SPRANS grants are funded with 15 percent of the Block Grant appropriation of up to \$600 million. When the appropriation exceeds \$600 million, 12.75 percent of the amount over \$600 million is set aside for the

Community Integrated Service Systems grants. 15 percent of the balance remaining over \$600 million is also for SPRANS. The CISS program is to develop and expand the following: (1) Home visitation; (2) increased participation of obstetricians and pediatricians; (3) integrated service delivery systems; (4) maternal and child health centers for women and infants, under the direction of a not-for-profit hospital; (5) services for rural populations; and (6) integrated state and community service systems for children and youth with special health care needs. First funded in 2004, the Heritable Disorders Program is established to improve the ability of States to provide newborn and child screening for heritable disorders and affect the lives of all of the nations infants and children. Newborn and child screening occur at intervals across the life span of every child. Newborn screening universally provides early identification and follow-up for treatment of infants affected by certain genetic, metabolic, hormonal and/or functional conditions. It is expected that newborn and child screening will expand as the capacity to screen for genetic and congenital conditions expands.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Training grants are made to institutions of higher learning for training personnel for health care and related services for mothers and children. Research grants are for the purpose of research activities which show promise of a substantial contribution to the advancement of maternal and child health services. Genetic grants are for genetic disease testing, counseling and information development and dissemination. Hemophilia grants are for the support of centers which provide hemophilia diagnostic and treatment services. Sickle cell disease grants are made to support follow up for infants with sickle cell identified through newborn screening. Heritable Disorders grants are made to improve the ability of States to provide newborn and child screening for heritable disorders, coordinate services and long-term follow-up for newborns and children identified with a condition through newborn screening, and to provide newborn screening education resources to patients, parents, and families. Environmental health grants are made to decrease maternal and child morbidity and mortality associated with pre- and post-natal environmental exposures. Other special project grants are designed to support activities of a demonstration nature which are designed to improve services for mothers and children.

Restricted Uses: Indirect costs that are allowed for administrative costs incurred as a result of the training grants project, are limited to 8 percent of direct costs.

Applicant Eligibility:

Training grants may be made to public or private nonprofit institutions of higher learning. Research grants may be made to public or private nonprofit institutions of higher learning and public or private nonprofit private agencies and organizations engaged in research or in Maternal and Child Health (MCH) or Children with Special Health Care Needs (CSHCN) programs. Any public or private entity is eligible for hemophilia, genetics, and environmental health grants and other special project grants, including CISS. Eligible entities for the Heritable Disorders Program include a State or a political subdivision of a State; a consortium of 2 or more States of political subdivisions of States; a territory; a health facility or program operated by or pursuant to a contract with or grant from the Indian Health Service; or any other entity with appropriate expertise in newborn screening, as determined by the Secretary.

Beneficiary Eligibility:

For training grants: (1) Trainees in the health professions related to MCH; and (2) mothers and children who receive services through training programs. For research grants: public or private nonprofit agencies and organizations engaged in research in MCH or CSHCN programs. For hemophilia, sickle cell, thalassemia genetics, or environmental health, and other special projects: (1) Public or private agencies, organizations and institutions; and (2) mothers and children, and persons with genetic conditions including hemophilia (any age), who receive services through the programs.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application

package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory continuation application.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Opportunities may include matching requirements. Please refer to the notice of funding opportunity.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made on an annual basis for the duration of the grant period, and payments are made through an Electronic Transfer System or Cash Demand System. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Please refer to "progress reports" information below. Cash reports are not applicable. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Refer to the notice of

funding opportunity for further information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

(Project Grants) FY 16 \$133,938,299; FY 17 est \$157,449,942; and FY 18 est \$81,146,575 - FY2017 includes \$20M for Zika response.

Range and Average of Financial Assistance:

\$3,419 to \$3,996,711; \$267,663.

TAFS Codes:

75-0354.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 75 State, local and tribal governments, institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. 42 CFR Part 51a Project Grants for Maternal and Child and Health.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Associate Administrator for Maternal and Child Health, Health Resources and Services Administration, Department of Health and Human Services, Room 18W37, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2170.

Headquarters Office:

Associate Administrator for Maternal and Child Health, 5600 Fishers Lane, Room 18W37, Rockville, Maryland 20857 Phone: (301) 443-2170.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.251 Universal Newborn Hearing Screening; 93.365 Sickle Cell Treatment Demonstration Program; 93.504 Affordable Care Act (ACA) Family to Family Health Information Centers; 93.870 Maternal, Infant and Early Childhood Home Visiting Grant Program; 93.877 Autism Collaboration, Accountability, Research, Education, and Support; 93.926 Healthy Start Initiative; 93.994 Maternal and Child Health Services Block Grant to the States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

General criteria are described in Program Regulations 45 CFR 51, and specific criteria are included in the program guidance materials provided with application kits. Contact Central Office for details.

93.113 ENVIRONMENTAL HEALTH

National Institute of Environmental Health Sciences

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 401, 437, 463 and 487, Public Laws 78-410 and 99-158, as amended, 42 U.S.C. 241, and 42 U.S.C. 288, as amended, SBIR and STTR Reauthorization Act of 2011, Public Law 113-6.

OBJECTIVES:

To foster understanding of human health effects of exposure to environmental agents in the hope that these studies will lead to: the identification of agents that pose a hazard and threat of disease, disorders and defects in humans; the development of effective public health or disease prevention strategies; the overall improvement of human health effects due to environmental agents; the development of products and technologies designed to better study or ameliorate the effects of environmental agents; and the successful training of research scientists in all areas of environmental health research. Supported grant programs focus on the following areas: (1) Understanding biological responses to environmental agents by determining how chemical and physical agents cause pathological changes in molecules, cells, tissues, and organs, and become manifested as respiratory disease, neurological, behavioral and developmental abnormalities, cancer, and other disorders; (2) Determining the mechanisms of toxicity of ubiquitous agents like metals, natural and synthetic chemicals, pesticides, and materials such as nanoparticles, and natural toxic substances, and their effects of on various human organ systems, on metabolism, on the endocrine and immune systems, and on other biological functions; (3) Developing and integrating scientific knowledge about potentially toxic and hazardous chemicals by concentrating on toxicological research, testing, test development, validation and risk estimation; (4) Identifying interactions between environmental stressors and genetic susceptibility and understanding biologic mechanisms underlying these interactions, including the study of environmental influences on epigenomics and transcriptional regulation; (5) Conducting environmental public health research, including in areas of environmental justice and health disparities, that requires communities as active participants in all stages of research, dissemination, and evaluation to advance both the science and the development of practical materials for use in communities, with a focus on translating research findings into tools, materials, and resources that can be used to prevent, reduce, or eliminate adverse health outcomes caused by environmental exposures; (6) Expanding and improving the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation; (7) Expanding and improving the STTR program to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation; (8) Providing support for broadly based multi-disciplinary research and training programs in environmental health. These programs include the Environmental Health Sciences Core Centers, which serve as national focal points and resources for research and manpower development. The Centers for Neurodegenerative Science addresses the need for integrated research efforts involving basic and clinical scientists in a quest to discover the causes of and possible treatments for neurodegenerative diseases. The Breast Cancer and the Environment Research Program (co-funded with NCI) studies the impact of prenatal-to-adult environmental exposures that may predispose a woman to breast cancer. A special emphasis is on the impact of environmental factors on a girls pubertal development, a known risk factor for breast cancer. Through these programs, NIEHS expects to achieve the long range goal of developing new clinical and public health applications to improve disease prevention, diagnosis, and therapy. Additional Centers programs developed in recent years, include the Centers for Oceans and Human Health (co-funded with NSF), Children's Environmental Health Centers (co-funded with US EPA) and the Autism Centers of Excellence (co-funded with other NIH Institutes) and the ; (9) Supporting research training programs which serve to increase the pool of

trained research manpower with needed expertise in the Environmental Health Sciences through support of Individual and Institutional National Research Service Awards (NRSAs); (10) The Outstanding New Environmental Scientist Program which provides first time research grant funding to outstanding junior scientists in the formative stages of their career who are proposing to make a long term commitment to environmental health sciences research and to address the adverse effects on environmental exposures on human biology, human pathophysiology and human disease.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Research Grants and Cooperative Agreements are intended to support the direct costs of a project, in accordance with an approved budget, plus an appropriate amount for indirect costs. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I, scientific and technical merit, and commercial potential of the Phase II application. A number of Career Development awards are supported. The Independent Scientist Award provides up to five years of salary support for newly independent scientists who have recently obtained independent research funding, generally an R01 research grant from NIEHS. The award is intended to allow the candidate protected time to focus on the further development of the independent research career and does not include additional research support. The Mentored Clinical Research Career Development Award provides three to five years of salary support for investigators with clinical degrees (e.g., M.D., D.V.M.). The Transition to Independent Environmental Health Research (TIEHR) Career Development Award provides three years of support for candidates who are within three years of their first independent faculty appointment and who have not yet obtained significant research grant funding (an R01 or equivalent). The award includes salary support and pilot funding. The Mentored Patient-Oriented Research Career Development Award provides up to five years of support for clinically trained investigators who make a commitment to focus on patient-oriented research. The Mid Career Investigator Award in Patient-Oriented Research provides up to five years of support to outstanding clinical scientists who are actively engaged in patient-oriented research and who are within 15 years of their specialty training. The Mentored Quantitative Research Development Award provides up to five years of support for junior faculty with quantitative scientific and engineering backgrounds outside of biology or medicine that are transitioning to behavioral or biomedical research. The NIH Pathway to Independence Award is divided into two phases. The initial award (K99) provides up to two years of mentored, postdoctoral support. The second phase (R00) provides up to three years of independent research support, when the awardee accepts a full-time tenure track, or equivalent, faculty position. All these Career Development awards provide salary consistent with the level of effort devoted to the research career development activities, plus fringe benefits, an allowance for career development activities and 8 percent fiscal and administrative costs. All Career Development Awards, except for the NIH Pathway to Independence Award, have a US citizenship requirement. Details of specific restrictions for the Career Development Awards can be found at <http://www.niehs.nih.gov/careers/research/trainingfrom/career/index.cfm>. NIEHS Core Center grants (P30) are primarily intended to provide infrastructure support and the support of core research facilities. In addition, an appropriate facilities and administrative cost is provided as determined by negotiated agreement with the grantee's cognizant government organization. National Research Service Awards (NRSAs): Individual predoctoral and postdoctoral training awards are made for the support of fellows who engage in research training in environmental toxicology, environmental pathology, environmental mutagenesis, or environmental epidemiology/biostatistics. In addition to individual training awards, institutional training grants (T32) are made to institutions to enable institutions to make awards to individuals selected by them, for both predoctoral and postdoctoral research training in the

forementioned areas. Each individual who receives a postdoctoral NRSA, either through an institutional or individual training award mechanism, is obligated upon termination of the award to comply with certain service and payback provisions.

Applicant Eligibility:

Research Grants, Cooperative Agreements, Science Education Grants, SBIR Grants, Independent Scientist Awards, Mentored Research Scientist Development Award, Mentored Clinical Scientist Development Award, and the Academic Career Awards: A university, college, hospital, State, local or tribal governments, nonprofit research institution, or for-profit organization may submit an application and receive a grant for support of research by a named principal investigator. Candidates for Academic Career Awards and Midcareer Investigator Awards in Patient Oriented Research must have a doctoral degree and peer-reviewed, independent, research support at the time the award is made. Candidates for Mentored Clinical Scientist Development Awards and Mentored Patient Oriented Research Career Development Awards must have a clinical degree or its equivalent and must have initiated post graduate clinical training. Candidates holding a Ph.D. degree are ineligible. Candidates who have served as principal investigators on PHS-supported research projects are ineligible. A candidate for Academic Career Awards must have a clinical or research doctorate degree. Those eligible for the Development Award must be able to devote at least 75 percent effort. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. Centers: A university-based, nonprofit research institution, or for-profit organization proposing an integrated research program established to accomplish a stated mission, covering activities ranging from very basic research to the actual application of research results in the prevention and control of environmental health problems, may submit an application under the direction of a named Center Director. National Research Service Awards: (1) Nonprofit domestic organizations may apply for the Institutional NRSA; (2) Individual NRSA awardees must be nominated and sponsored by a public for-profit or nonprofit private institution having staff and facilities appropriate to the proposed research training program; (3) all awardees must be citizens or have been admitted to the United States for permanent residence; (4) to be eligible, predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree).

Beneficiary Eligibility:

For Research Grants: Any nonprofit or for-profit organization, company, or institution engaged in biomedical research. For Centers and Training Grants: University-based nonprofit institutions; for-profit organizations conducting research; and individuals nominated by a private institution conducting research.

Credentials/Documentation:

Applications must be signed by appropriate officials of the submitting institution. The cost principles for awards under this program are set forth in HHS regulations at 45 CFR 75, Subpart E and Appendix IX (hospitals) to Part 75. Commercial organizations are subject to the cost principles located at 48 CFR 31.2 Federal Acquisition Regulation. See the NIH Grants Policy Statement (NIH GPS) for further guidance on the applicability of cost principals (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Awards made under this program are subject to 2 CFR 200, as implemented by 45 CFR 75 Public Welfare, Uniform Administrative Requirements, Cost Principles and Audit Requirements for HHS Awards. The policies and procedures generally applicable to NIH grants are set forth in the NIH GPS (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). Application forms and instructions for their submission are available at <http://grants.nih.gov/grants/forms.htm>. Applicants are encouraged and in some cases required to consult with NIEHS Program Officials prior to submission of an application. Detailed information about NIEHS grant programs and staff contacts can be found at <http://www.niehs.nih.gov/research/supported/index.cfm>.

Award Procedure:

Made on the basis of dual review by peer groups of all applications. The first level of reviews is by a study section for scientific merit. In addition, a national advisory council provides a secondary level of review for all applications. As required by P.L. 109-482, the NIH Health Reform Act of 2006, all research grant and cooperative agreements must undergo Advisory Council/Board review and approval prior to funding. Review of Individual NRSA applications by an Advisory Council/Board is not required. Final approval of these recommendations and decisions concerning funding are made by the Director, NIEHS.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Receipt, review and approval processes range in length from six to nine months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

Research Grants, Cooperative Agreements, Center Grants, and Institutional Training Grants: Renewal applications are subject to same criteria as new applications. Independent Scientist Awards, Mentored Research Scientist Development Awards, Mentored Clinical Scientist Development Award, Academic Career Awards, and Individual Training grants are non-renewable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Research Grants, Cooperative Agreements, Center Grants, and NRSA Institutional grants may be awarded for up to 5 years, generally in 12-month budget periods and may be extended through a competitive renewal. Science Education Grants may be awarded for up to 5 years, in 12-month budget periods, and are not renewable. Independent Scientist Awards are awarded for 5 years in 12-month budget periods, and are non-renewable. Mentored Research Scientist Awards are for up to 5 years, 12-month budget periods, and are non-renewable. Mentored Clinical Scientist Development Awards and Academic Career Awards are for up to 5 years and are renewable. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. National Research Service Awards: Individual awards are non-renewable and may be for 1, 2, or 3 years, but no individual may receive NRSA support at the predoctoral level for more than 5 years and at the

postdoctoral level for more than 3 years. See the following for information on how assistance is awarded/released: Funds are released via an Electronic Transfer System.

Reports:

Annual and final progress reports are required for all Grant Awards. Annual financial reports are due for a subset of grant awards. Final financial reports are due for all grant awards. Additional reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. Cash reports are not applicable. Annual and final progress reports are required for all Grant Awards. Annual financial reports are due for a subset of grant awards. Final financial reports are due for all grant awards. Additional reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. Expenditure reports are not applicable. Review of annual performance reports is conducted by appropriate agency staff prior to issuance of additional funding.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Awards made under this program are subject to the audit requirements of OMB 2 CFR 200, as implemented by 45 CFR 75, Subpart F, and in the NIH GPS (<http://grants.nih.gov/grants/policy/nihgps/index.htm>).

Records:

In accordance with the provisions of 45 CFR 75, Subpart D Post Federal Award Requirements, Record Retention and Access, 75.361, expenditures and other financial records must be retained for 3 years from the day on which the grantee submit the last expenditure report for the report period.

Account Identification:

75-0862-0-1-552.

Obligations:

(Project Grants) FY 16 \$324,460,107; FY 17 est \$316,349,000; and FY 18 est \$242,315,000

Range and Average of Financial Assistance:

Range: \$2,000 to \$1,749,000 Average: \$339,863.

TAFS Codes:

75-0862.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016 NIEHS issued 641 Research Project Grant awards (including SBIR and STTR Awards), 29 Research Center awards, 35 Individual and 43 Institutional training awards. Information about NIEHS present and past Funding Opportunity Announcements (FOA) can be found at <https://www.niehs.nih.gov/funding/grants/index.cfm>. Fiscal Year 2017: Fiscal Year 2017: In FY 2017 NIEHS anticipates issuing 578 RPG awards (including SBIR and STTR Awards), 28 Research Center awards, 35 Individual and 44 Institutional training awards. Information about NIEHS present and past FOAs can be found at <https://www.niehs.nih.gov/funding/grants/index.cfm>. Fiscal Year 2018: In FY 2018 NIEHS anticipates issuing 480 RPG awards (including SBIR and STTR Awards), 25 Research Center awards, 29 Individual and 34 Institutional training awards. Information about NIEHS present and past FOAs can be found at <https://www.niehs.nih.gov/funding/grants/index.cfm>.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 75; 45 CFR 92; NIH Guide to Grants and Contracts; various other publications and application kits, the Division of Extramural Outreach and Information Resources, Office of Extramural Research, NIH, Room 6207, 6701 Rockledge Drive, Bethesda, MD 20892. Grants will be available under the authority of and administered in accordance with the NIH GPS and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology

Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Program Contacts: Research Grants: Dr. William A Suk, Chief, Hazardous Substances Research Branch, DERT, NIEHS, E-mail: suk@niehs.nih.gov. Telephone: (919) 541-0797; or Dr. Cindy Lawler, Chief, Genes, Environment, and Health Branch, E-mail lawler@niehs.nih.gov. Telephone: (919)316-4671; or Dr. Claudia Thompson, Chief, Population Health Branch, E-mail: thomps14@niehs.nih.gov; Telephone: (919) 541-4638; or Dr. David Balshaw, Chief, Exposure, Response, and Technology Branch, Email: balshaw@niehs.nih.gov. Telephone: (919) 541-2448. NRSA Institutional Training Grants, Independent Scientist Awards, Mentored Research Scientist Development Awards, Mentored Clinical Research Scientist Development Awards, Academic Career Awards: Dr. Carol Shreffler, Program Administrator, Exposure, Response, and Technology Branch, E-mail: shreffl1@niehs.nih.gov. Telephone:(919)541-1445. SBIR and STTR Grant Programs: Dr. Daniel Shaughnessy, Program Administrator, Exposure, Response, and Technology Branch, E-mail: shaughn1@niehs.nih.gov. Telephone: (919)541-2506. P30 Core Centers Program Contact: Dr. Claudia Thompson, Chief, Population Health Branch, E-mail: thomps14@niehs.nih.gov; Telephone: (919) 541-4638; AREA grants: Dr. Lisa Chadwick, Email: lisa.chadwick@niehs.nih.gov, Telephone: (919) 491-4702; and NRSA Individual Fellowships: Dr. Michael Humble, Program Administrator, Genes, Environment, and Health Branch, E-mail: humble@niehs.nih.gov. Telephone: (919) 316-4621. Grants Management Contact: Mr. George Tucker, Chief, Grants Management Officer, Grants Management Branch, E-mail: george.tucker@niehs.nih.gov. Telephone: (919) 541-2749. For each program contact, the rest of the mailing address is: Division of Extramural Research and Training, National Institute of Environmental Health Sciences, National Institutes of Health, P.O. Box 12233, Research Triangle Park, NC 27709.

Headquarters Office:

Benny Encarnacion 111 TW Alexander Drive, Research Triangle Park, North Carolina 27709 Email: encarna1@niehs.nih.gov Phone: (919) 541-5147.

Website Address:

<http://www.niehs.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: A detailed listing and description of NIEHS funded projects can be found at

<http://www.niehs.nih.gov/research/supported/whowefund/index.cfm>. Fiscal

Year 2017: A detailed listing and description of NIEHS funded projects can be found at <http://www.niehs.nih.gov/research/supported/whowefund/index.cfm>.

Fiscal Year 2018: A detailed listing and description of NIEHS funded projects can be found at

<http://www.niehs.nih.gov/research/supported/whowefund/index.cfm>.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to stated program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the

Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.116 PROJECT GRANTS AND COOPERATIVE AGREEMENTS FOR TUBERCULOSIS CONTROL PROGRAMS

Tuberculosis Prevention and Control and Laboratory Program

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 317(a)317E, 42 U.S.C. 247b-b(a), as amended; Health Services and Centers Amendments of 1978, Public Law 95-626; Omnibus Budget Reconciliation Act of 1981, as amended, Public Law 97-35; Preventive Health Amendments of 1984, Public Law 98-555; Public Health Service Act of 1987, as amended, Public Law 100-177; TB Prevention Amendments Act of 1990, as amended, Public Law 101-368.

OBJECTIVES:

To assist State and local health agencies in carrying out tuberculosis (TB) control activities designed to prevent transmission of infection and disease. Financial assistance is provided to TB programs to ensure that the program needs for the core TB prevention and control activities are met: finding all cases of active tuberculosis and ensuring completion of therapy; finding and screening persons who have had contact with TB patients, evaluating them for TB infection and disease, and ensuring completion of appropriate treatment, and conducting TB surveillance and TB public health laboratory activities that are essential to addressing these priorities. Each of these core activities (completion of therapy, contact investigation, TB surveillance, and TB laboratory activities) is essential to effective TB prevention and control, and they are mutually reinforcing. Thus, they constitute a "package" of core activities. These are the highest priority TB prevention and control activities and should be carried out by all State and local TB prevention and control programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used to support both local personnel and individuals in direct assistance (i.e., "in lieu of cash") positions under Section 317 of the Public Health Service Act and to purchase equipment, supplies and services directly related to project activities, particularly directly observed therapy, patient outreach, morbidity surveillance, outreach and program assessment. Project funds may not be used to supplant State or local funds available for tuberculosis control, or to support construction costs or inpatient care.

Applicant Eligibility:

Under Section 317 of the Public Health Service Act, official public health agencies or their bona fide agents of State and local governments, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa.

Beneficiary Eligibility:

Official public health agencies of State and local governments, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operations, describe the evaluation procedures, and provide a budget with justification of funds requested. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Office Grants Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700. Email: OGSTIMS@cdc.gov. This program is subject to the requirements described in 45 CFR 92.

Award Procedure:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The standard application forms as furnished by PHS and required by 45 CFR, Parts 92, must be used for this program. Forms and instructions are available on www.grants.gov.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 120 to 180 days.

Renewals:

From 60 to 90 days. Information on the submission of applications may be obtained from the Grants Management Officer, Office of Grants Services, Centers for Disease Control and Prevention. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for this program. This program is subject to the requirements described in 45 CFR 92.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Although there are no matching requirements, applicants must assume part of the project costs and fiscal information must be provided in the narrative portion of the application pursuant to provisions of Section 317(b)(2)317E. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Period: From one to five years. Budget Period: One year. Method of awarding/releasing assistance: lump sum.

Reports:

See notice of funding opportunity (NOFO) for details. CDC programs must require awardees to submit performance measure annually as a minimum, and may require reporting more frequently. Performance measure reporting must be limited to data collection. When funding is awarded initially, CDC programs must specify required frequency, data fields, and format. No cash reports are required. The Annual Performance Report is due no later than 120 days prior to the end of the budget period. The Annual Performance Report serves as the continuing application. This report should include the information specified in the notice of funding opportunity (NOFO). The Annual Federal Financial Report is required 90 days after the end of each budget period. Final Financial Reports are required 90 days after the end of a project period. Annual financial status reports are also required of the recipient no later than 90 days after the end of each budget period. Final financial status and are required 90 days after

the end of a project period. See NOFO for details. Awardees will meet the annual requirement to report on performance measures with the submission of an Annual Performance Report. However, CDC may request an additional report, the Performance Measure Report, in certain instances such as a jurisdiction's response to a large TB outbreak.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$80,863,811; FY 17 est \$79,830,700; and FY 18 est \$79,830,700 - It should be noted that for PS13-1304, RTMCCs, the funding in TAGGS is being reported under 93.116 when the NOFO itself shows 93.947. OGS corrected the NOAs by doing deobligation/reobligation for FY13 and FY14; however for FY15 funding is showing under 93.116.

Range and Average of Financial Assistance:

\$86,938 to \$9,931,764 with an average of \$1,454,714.

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2016: objectives have been met. Support continued for the 61 tuberculosis (TB) and laboratory cooperative agreements with continued special emphasis on the completion of treatment, contact investigation, and targeted testing of high-risk populations. In addition, funding continued for six awards to support cross-cutting and disease-specific activities, including the prevention and control of HIV, viral hepatitis, STDs, and TB through prevention programs, capacity building for laboratory services, surveillance, human resources and organizational development, and monitoring and evaluation in the US Pacific Islands. Funding was also awarded to expand latent TB investigation testing and treatment to persons within a defined high-risk community in partnership with State and local health departments, community medical providers, and community leader and organizations. Fiscal Year 2017: It is estimated that support will continue as provided in fiscal year 2016. Fiscal Year 2018: It is estimated that support will continue as provided in fiscal year 2017.

REGULATIONS, GUIDELINES, AND LITERATURE:

Subpart A of 42 CFR 51b is applicable, including all regulations incorporated by reference under Section 51b.105. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, is available.

Regional or Local Office:

None.

Headquarters Office:

Terry Chorba 1600 Clifton Road, MS E-10, Atlanta, Georgia 30333 Email: tlc2@cdc.gov Phone: 404-639-0909 Fax: 404-639-8958

Website Address:

<http://www.cdc.gov/DTBE/>

RELATED PROGRAMS:

93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity; 93.283 Centers for Disease Control and Prevention_Investigations and Technical

Assistance; 93.991 Preventive Health and Health Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC. Incomplete applications that do not meet the eligibility criteria will not advance. Applicants will be notified that their applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the Criteria section of the notice of funding opportunity (NOFO).

93.117 PREVENTIVE MEDICINE AND PUBLIC HEALTH RESIDENCY TRAINING PROGRAM, INTEGRATIVE MEDICINE PROGRAM, AND NATIONAL CENTER FOR INTEGRATIVE PRIMARY HEALTHCARE

Preventive Medicine and Public Health Residency Training Program (PMR); Preventive Medicine Residency with Integrative Health Care Training Program; and the Center for Integrative Medicine in Primary Care (CIMPC) now named the National Center for Integrative Primary Healthcare.

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Sections 765 and 758 of the Public Health Service Act (42 U.S.C. 295 and 42 U.S.C. 295c).

OBJECTIVES:

Grants are intended to promote postgraduate medical education in the specialty of preventive medicine and to enhance preventive medicine education through incorporation of evidence-based integrative medicine curricula into such programs. A cooperative agreement established a National Center for Integrative Primary Healthcare (NCIPH) to incorporate evidence-based Integrative Medicine (IM) curricula into existing primary care residency and other health professions training programs; provide faculty development to improve clinical teaching in IM; deliver continuing education to current primary care and other health professionals to increase competency in IM; and provide technical assistance to primary care residency programs and other accredited and licensed health professions education programs on the integration of IM into the established primary care curricula and training.

The Preventive Medicine Residency with Integrative Health Care Training Program combined the purposes of the preventive medicine residency and integrative medicine programs into one to build on the previous achievements of the integrative medicine grantees. The broad goal of the program is to improve the health of communities by increasing the number and quality of preventive medicine physicians who can address public health needs, advance preventive medicine practices, increase access to integrative health care, and increase the integration of these two fields into preventive medicine training and practice.

Preventive Medicine is the specialty of medical practice which focuses on the health of individuals and defined populations in order to protect, promote, and maintain health and well-being and prevent disease, disability and premature death. It is one of the 145 medical sub-specialties recognized by the American Board of Medical Specialties. Preventive Medicine encompasses three areas of specialization: (1) General Preventive Medicine and Public Health, (2) Occupational Medicine, and (3) Aerospace Medicine. As articulated by the Institute of Medicine, integrative medicine can be described as orienting the health care process to create a seamless engagement by patients and caregivers of the full range of physical, psychological, social, preventive, and therapeutic factors known to be effective and necessary for the achievement of optimal health throughout the life span.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards are intended to assist in meeting the costs of planning and developing new preventive medicine programs; maintaining or improving existing

residency training programs in preventive medicine; incorporating competency-based integrative medicine curricula in graduate medical education including preventive medicine residency and primary care programs; and providing financial assistance to medical residents enrolled in such programs. The NCIPH cooperative agreement is expected to contribute to the evidence-base for IM, and identify and disseminate promising practices related to the integration of IM into primary care and interprofessional practice, including the activities listed under Objectives above. The Preventive Medicine Residency with Integrative Health Care requires recipients to increase the number of preventive medicine residents and/or improve training for these residents. The applicants are required to 1) propose a plan for evaluating any improvements in the educational program, such as the effect of the interventions on the knowledge, skills, and practices of the residents; 2) incorporate evidence-based integrative health care curricula into accredited preventive medicine residency programs; and 3) provide interprofessional training of their preventive medicine residents including training in preventive medicine and integrative health care for other primary care or community-based health care trainees or providers in addition to preventive medicine residents.

Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment. Grants may not be used for construction or for the provision of direct patient services.

Applicant Eligibility:

Eligible Preventive Medicine and Public Health Residency program grants, and those enhancing their programs with integrative health care applicants are: (1) an accredited school of public health or school of medicine or osteopathic medicine; (2) an accredited public or private nonprofit hospital; (3) a State, local, or tribal health department; or (4) a consortium of 2 or more entities described in (1) through (3).

Eligible applicants for the NCIPH are (1) a health professions school, including an accredited school or program of public health, health administration, preventive medicine, or dental public health or a school providing health management programs; (2) an academic health center; (3) a State or local government; (4) any other appropriate public or private nonprofit entity.

Refer to the relevant Notice of Funding Opportunity more specific information regarding eligibility and specialized experience. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

For Preventive Medicine and Public Health Residency program grants, and for those enhancing their programs with integrative health care, each trainee receiving stipend support must: (a) be a citizen of the United States, a non-citizen U.S. national, or a foreign national having in his or her possession a visa permitting permanent residence in the United States; (b) be a physician who has graduated from an accredited school of medicine or osteopathic medicine in the United States; or if a graduate from a foreign school, meet the criteria of the Educational Commission for Foreign Medical Graduates, for entry into the program supported by this grant; and (c) plan to complete the grant-supported program and engage in the practice and/or teaching of preventive medicine, especially in positions which meet the needs of medically underserved populations.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. The preventive medicine residency must provide documentation of current accreditation from ACGME or AOA or documentation from ACGME related to submission of an application for accreditation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

The funding opportunity provides support for a 5-year project period. Receipts draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based system for HHS awards. The National Center for Integrative Primary Healthcare was fully funded for a three-year project period in FY2014. The project period for the Preventive Medicine Residency with Integrative Health Care program is for 3 years. See the following for information on how assistance is awarded/released: Recipients drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. Cash reports are not applicable. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0353-0-1-552.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - National Center for Integrative Primary Health Care. (Project Grants) FY 16 \$5,928,992; FY 17 est \$2,000,654; and FY 18 est \$0 - Preventive Medicine Residency with Integrative Healthcare. (Project Grants) FY 16 \$4,623,648; FY 17 est \$4,485,666; and FY 18 est \$0 - Preventive Medicine Residency Grants.

Range and Average of Financial Assistance:

Preventive Medicine Residency

FY 2015 Range: \$340,000 to \$610,161; Average award: \$446,655

FY 2016 Range: \$340,000 to \$618,445; Average award: \$462,364

FY 2017 Range: \$340,000 to \$500,847; Average award: \$448,566

Preventive Medicine Residency with Integrative Healthcare

FY 2015 Range: \$149,605 to \$400,000; Average award: \$340,756

FY 2016 Range: \$353,724 to \$400,000; Average award: \$395,266

FY 2017 Range: \$120,484 to \$135,253; Average Award: \$133,376

National Center for Integrative Primary HealthCare

FY 2015 Range: \$329,413; Average award: \$329,413 (fully funded for 3 years)

FY 2016 Range: \$0; Average award: \$0

FY 2017 Range: \$0; Average award: \$0.

TAFS Codes:

75-0353.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Academic Year 2015-2016, the Preventive Medicine Residency program supported 115 residents, most of whom were completing residencies in either Preventive Medicine/Occupational Medicine or Preventive Medicine/Public Health. Grantees partnered with 214 sites (e.g., local and state health departments, community-based organizations) to provide clinical training experiences for residents of which over 41.6% were in medically underserved communities. Of the 37 residents who completed their residency training programs in the past academic year, 91.9% received clinical training in a primary care setting, 70.3% received training in medically underserved communities and 10.8% received training in a rural setting. Sixty-nine percent of those who completed their residency intend to pursue employment or further training in primary care. Follow-up employment status collected from residents who completed training programs in AY 2014-15, of the 17 residents who graduated the prior year, 41.2% entered practice in either medically-underserved communities and/or in primary care settings. Funds supported a variety of infrastructure activities including curriculum development and faculty development. A total of 128 unique courses and training activities were developed, enhanced, and implemented during the academic year, providing training on emerging topics in preventive medicine for 1,426 students and advanced trainees; and supported 67 different faculty development programs and activities reaching 648 faculty members during the academic year. Fiscal Year 2017: Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose

of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Irene Sandvold, Project Officer, Medical Training and Geriatrics Branch, Division of Medicine and Dentistry, Bureau of Health Workforce Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 15N-152, Rockville, Maryland 20857
Email: isandvold@hrsa.gov Phone: 301-443-2295

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.118 ACQUIRED IMMUNODEFICIENCY SYNDROME (AIDS) ACTIVITY

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301(i).

OBJECTIVES:

To develop and implement HIV prevention programs of public information and education.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Support for cooperative agreements for HIV activities.

Applicant Eligibility:

Public and private organizations, both nonprofit and for-profit (universities, colleges, research institutions and other public and private organizations); State and local governments, U.S. Territories and possessions; including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, small and minority businesses, and businesses owned by women.

Beneficiary Eligibility:

Official health and education agencies, as well as individuals subject to AIDS.

Credentials/Documentation:

Nonprofit institutions: Costs will be determined by HHS Regulations 45 CFR Part 74, for nonprofit organizations. For-profit institutions, costs will be determined by Federal Acquisition Regulations 48 CFR 31. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must download application forms from www.grants.gov. Applications must be submitted electronically at www.grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Office of Grants Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700, Email: OGSTIMS@cdc.gov. This program is subject to the provisions of 45 CFR, Parts 74 or 92, as appropriate.

Award Procedure:

After review and approval, a notice of award (NoA) is prepared and processed, along with appropriate notification to the public. Initial award provides funds for first budget period (12 months) and NoA indicates support provided in the initial award and the recommended funding amounts for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

See NOFO for details.

Appeals:

Applicants may resubmit application for next announcement or review.

Renewals:

As stated in the Terms and Conditions of NoA.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

From 1 to 5 years (renewable). Method of awarding/releasing assistance: by letter of credit.

Reports:

See notice of funding opportunity (NOFO) for details. No cash reports are required. See NOFO for details. No expenditure reports are required. See NOFO for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records and supporting documents must be maintained for 3 years after the end of each budget period. If questioned, records must be kept until matters are settled.

Account Identification:

75-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$2,911,638; FY 17 est \$2,650,000; and FY 18 est \$2,650,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994; Administration of Grants, DHHS; 45 CFR, Part 74; Guidelines established by CDC for AIDS cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Wole 1600 Clifton Road, Mail Stop E-07
, Atlanta, Georgia 30333 Email: eow1@cdc.gov Phone: 404-639-8531

Website Address:

<http://www.cdc.gov/hiv/>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on the evaluation criteria as stated in the notice of funding opportunity (NOFO)..

93.121 ORAL DISEASES AND DISORDERS RESEARCH

Dental and Craniofacial Research.

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Section 301.

OBJECTIVES:

To improve oral, dental and craniofacial health through research, research training, and the dissemination of health information. The research portfolio supports basic, clinical and translational research from basic biology to patient-oriented and community-based clinical investigations. 1. The Developmental Biology and Genetics Program supports basic and translational research to understand the development of tooth and bone and to identify the genetic and environmental contributions to craniofacial disorders. The objective of this scientific program is to elucidate the underlying causes of craniofacial disorders, thereby advancing the fields of diagnosis, treatment, and prevention. 2. The Translational Genetics and Genomics program supports research designed to identify the genetic and molecular mechanisms underlying oral health problems and craniofacial disorders including cleft lip and cleft palate, ectodermal dysplasias, craniosynostosis, and amelogenesis and dentinogenesis imperfecta. Oral health problems, such as dental caries, oral cancer, periodontal diseases, Sjrens syndrome, and temporomandibular joint disorder, often result from the complex interplay of genetic and environmental factors as well. Research focusing on genetics or on the interplay of genetic and environmental factors to improve understanding of genetic and molecular mechanisms underlying oral health problems and craniofacial disorders is supported by this program. 3. The Microbiology Program supports basic and translational research on the role of oral microbes in health and disease including the etiology, pathogenesis, diagnosis, treatment, and prevention of oral infectious diseases. Areas of interest include biofilms and microbial ecology, microbial genomics and metagenomics, microbial virulence and disease pathogenesis, prevention and treatment. 4. The AIDS and Immunosuppression Program supports basic, translational and clinical research on HIV infection and AIDS to advance understanding of the underlying molecular, cellular, and genetic mechanisms that enable or prevent HIV infection and development of oral complications associated with AIDS and AIDS malignancies. 5. The Salivary Biology and Immunology Program supports basic and translational research on saliva and salivary gland biology and immune aspects of oral diseases such as

caries and periodontal diseases. 6. The Oral and Salivary Cancer Program supports basic and translational research on the molecular mechanisms of oral epithelial cell regulation as they relate to the development and progression of diseases of the oral mucosa, including head and neck cancer. The program also supports and encourages the application of genomic, proteomic, and imaging technologies through research on the early diagnosis, prevention, and treatment of head and neck cancers. 7. The Mineralized Tissue Physiology Program supports basic and translational research on craniofacial skeletal biology and pathobiology, and pharmacogenetics. The goal of the program is to promote interdisciplinary and multidisciplinary approaches to advance the understanding of normal and abnormal processes underlying oral, dental and craniofacial diseases and disorders. 8. The Neuroscience of Orofacial Pain and Temporomandibular Disorders Program supports basic and translational research on orofacial pain and neuropathies, temporomandibular joint and muscle disorders, development of biomarkers for diagnostics and prognostics and development of therapeutics. 9. The Tissue Engineering and Regenerative Medicine Program supports basic and translational research on the reconstruction, remodeling and repair of the oral and craniofacial tissues damaged as a result of disease or injury. The goal is to promote interdisciplinary and multidisciplinary approaches to engineering of biocompatible oral and craniofacial tissue constructs and their functional integration into the host tissue microenvironment. The program also supports efforts that employ bioengineering approaches for restoration, remodeling and regeneration of diseased and injured native host tissues. 10. The Dental and Biomaterials Program supports basic and translational extramural research on dental materials and devices, dental implants, biocompatibility of dental restorative materials, and biomaterials for craniofacial reconstruction. 11. The Behavioral and Social Sciences Research Program supports basic and applied research to promote oral health, to prevent oral diseases and related disabilities, and to improve management of craniofacial conditions, disorders and injury. 12. The Center for Clinical Research supports patient-oriented and population-based research, data analysis, and related activities aimed at improving the dental, oral, and craniofacial health of the nation. The individual programs within the Center support many types of clinical research including clinical trials, research studies conducted in practice-based networks, epidemiology studies, research on clinical technologies, and health disparities research. 13. The Research Training and Career Development Program insures the future of dental and craniofacial research by developing an outstanding and diverse scientific work force through training, fellowship and career development programs designed primarily for graduate, and post-doctoral stages of education, including dual degree (DDS, PhD) programs, as well as for continued career development of scientists and retraining of mid-career scientists. Diversity fellowships and career development programs serve to expand the diversity of the scientific work force by supporting the training of students from underrepresented groups. 14. The Small Business Innovation Research (SBIR) program seeks to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The Small Business Technology Transfer (STTR) program seeks to stimulate and foster scientific and technological innovation and foster technology transfer through cooperative research and development carried out between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants; Project Grants (Contracts); Project Grants (Fellowships); Project Grants (Special)

USES AND USE RESTRICTIONS:

Research Grants: Research Grants and cooperative agreements provide funds for salaries, equipment, supplies, travel, and other expenses associated with scientific investigation in the dental, oral and craniofacial health sciences. They are awarded to universities, colleges, medical and dental schools, hospitals, and other nonprofit and for-profit institutions. Awards include investigator-initiated project grants, exploratory and developmental grants, small grants, center

grants, conference grants, and career development awards. Individual and institutional dual degree (DDS or DMD/PhD) awards provide support for research career development in both clinical and basic science. National Research Service Awards (NRSAs) provide support for research training in specified biomedical areas, and can be made to institutions to enable them to accept individuals for research training. Individuals who receive NRSAs may be obligated upon termination of the award to comply with service and payback provisions. SBIR Phase I grants (duration of approximately 6 months) provide support to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants support the continuation of the research initiated in Phase I to further develop the commercial products or process initiated in Phase I. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (duration of typically 1 year) support cooperative efforts between small businesses and research institutes to determine the scientific and technical merit, and commercial feasibility of a product or process with potential for commercial application. Phase II funding is based on the results of the research initiated in Phase I and the scientific and technical merit, and commercial potential of the Phase II application.

Applicant Eligibility:

Grants: Scientists at universities, medical and dental schools, hospitals, laboratories, and other public or private nonprofit and for-profit institutions. NRSA and career development awards: (1) Nonprofit domestic organizations may apply for institutional awards. (2) Individual candidates or applicants must arrange sponsorship by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. (3) All awardees must be citizens, or non-citizen nationals, of the United States or have been admitted to the United States for permanent residence, except for K99/R00 and T90/R90 grants. (4) To be eligible, postdoctoral NRSA and career development awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). Institutional applicants must be able to provide the staff and facilities suitable for the proposed research training. SBIR and STTR grants: Can be awarded only to domestic small business concerns that meet the following criteria: 1) Is organized for profit, with a place of business located in the United States, which operates primarily within the United States or which makes a significant contribution to the United States economy through payment of taxes or use of American products, materials or labor; 2) Is in the legal form of an individual proprietorship, partnership, limited liability company, corporation, joint venture, association, trust or cooperative, except where the form is a joint venture, there must be less than 50 percent participation by foreign business entities in the joint venture; 3) Be a concern which is more than 50% directly owned and controlled by one or more individuals (who are citizens or permanent resident aliens of the United States), other business concerns (each of which is more than 50% directly owned and controlled by individuals who are citizens or permanent resident aliens of the United States), or any combination of these; no single venture capital operating company, hedge fund, or private equity firm may own more than 50% of the concern, 4) Has, including its affiliates, not more than 500 employees, and 5) meets the other regulatory requirements found in 13 C.F.R. Part 121. For STTR awards, the small business must "partner" with a research institution in a cooperative research and development project. In both Phase I and Phase II for both SBIR and STTR, the research must be performed in the U.S. and its possessions. To be eligible for funding, all grant applications must be evaluated for scientific merit and program relevance by a peer scientific review group and the National Advisory Dental and Craniofacial Research Council.

Beneficiary Eligibility:

Health professionals, graduate students, health professional students, scientists, researchers, and any nonprofit or for-profit organization, company or institution engaged in biomedical research.

Credentials/Documentation:

Grants: Applications for research grants must include the objectives (specific aims), research strategy, significance, innovation, approach/methodology, and resources for the specific project, and must present the relevant background and experience of the applicant. NRSA and career development awards: (1) Individual Candidates: the applicant's academic record, research experience, citizenship, institutional sponsorship, proposed research area, and plan for

training, must be included in the application. (2) Institutional Candidates: the application must include the objectives, methodology, and resources for the research training program, the research qualifications and experience of participating faculty in training students and fellows, and the criteria to be used in selecting individuals for support. Costs will be determined in accordance with OMB Circular No. A-87 for State and Local Governments. Costs for for-profit organizations are determined in accordance with the Federal Acquisition Regulations Subpart 31.2. For other than State and Local Government grantees, costs will be determined by Health and Human Services (HHS) Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, the applicant organization (small business) must present an idea in the research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for Federal assistance must be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 Research and Related (R&R) forms and the SF424 (R&R) Application Guide (<http://grants.nih.gov/grants/forms.htm>). A registration process through Grants.gov is necessary before submission. Applicants are strongly encouraged to start the registration process at least four weeks prior to the applications submission date.

Award Procedure:

All accepted grant applications competing for research project grants, career development awards, and NSRA awards are reviewed by two advisory groups. Primary review is conducted by an initial review group composed of extramural peer scientists, and secondary review by the National Advisory Dental and Craniofacial Research Council (NADCRC). Secondary review of NRSA fellowship applications is conducted by NIDCR staff rather than by the NADCRC. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate initial review group and by the NADCRC. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Research Project Grants, SBIR/STTR, and NSRA: From 6 to 9 months.

Appeals:

From 90 to 120 days. A Principal Investigator (PI) may question the technical or procedural aspects of the review of his/her application by communicating with the program staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page: http://grants.nih.gov/grants/peer_review_process.htm#Appeals and in the Notice: <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

> 180 Days. Grants: Applications for approval beyond the approved project period must be submitted at least 6 to 9 months in advance of the termination date. NRSA: Institutional Awards may be made up to 5 years. No individual may receive NIH/NRSA support at the postdoctoral level for more than 3 years, and total support of more than 6 years, unless a specific waiver is requested and approved.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants: Approval of a project includes a determination of support for the authorized project period (generally not to exceed 5 years). Awards to support the project are made on an annual basis. At the time of initial award, the grant provides funds for the conduct of the project during the first budget period (usually 12 months) and the Notice of Grant Award (Form PHS-1533) indicates the support recommended and expected to be made available for the remainder of the project beyond the approved project period, an application for renewal must be submitted in accordance with the deadline dates and instructions attached to the form. SBIR Phase I awards are generally for 6 months; Phase II awards normally are for 2 years. STTR Phase I awards are generally for 1 year; Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Awards are made annually.

Reports:

No program reports are required. No cash reports are required. Annually. Annually. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0873-0-1-550.

Obligations:

(Project Grants) FY 16 \$292,486,346; FY 17 est \$299,337,519; and FY 18 est \$225,879,000

Range and Average of Financial Assistance:

FY2015: research project grants: range \$5000-\$9,438,513, average \$406,048; NRSA: range \$1-\$723,293, average \$98,391; SBIR/STTR: range \$7,000-\$1,039,023, average \$328,567; Career development: range \$19,710-\$416,381, average \$132,933.

FY2016: research project grants: range \$5000-\$9,388,133, average \$435,861; NRSA: range \$4,061-\$775,140, average \$101,143; SBIR/STTR: range \$148,507-\$1,375,173, average \$388,321; Career development: range \$70,779-\$290,023, average \$135,301.

TAFS Codes:

75-873.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants: 42 CFR 66; 45 CFR 74; NIDCR Grants and Funding website (<http://www.nidcr.nih.gov/GrantsAndFunding/>), NIH Grants website (<http://grants.nih.gov/grants/oer.htm>). Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Dr. Alicia Dombroski, 6701 Democracy Blvd., MSC 4878, Bethesda,

Maryland 20892 Email: adombroski@nidcr.nih.gov Phone: (301) 594-4890

Fax: (301) 480-8303

Website Address:

<http://www.nidcr.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Nanolipidoids-Conjugated MicroRNA Enhance Oral and Cranial Bone Regeneration
Chronic orofacial pain: genetics, cognitive-emotional factors, and endogenous modulatory systems
Novel Technologies for Quantitative O-glycomics and Amplification/Preparation of Cellular O-Glycans
Social Network Dynamics and Oral Health Disparities in Mexican American Immigrants
Perturbation of Craniofacial Morphogenesis, Healing, and Regeneration by E-cigarette Aerosol Mixtures
Novel dental composites based on methacrylamides and thiourethane oligomers. Fiscal Year 2017: Micro RNA biomarkers for oropharyngeal cancer
Role of osteoclast precursors in periodontal bone loss
Coupling activation of primary sensory neurons as a novel plasticity mechanism for chronic pain
Continuous time causal mediation models for social behavior in health
Novel recombinant Streptococcus mitis as an oral vaccine against HIV/AIDS
Regulatory cell therapy for Sjogren's Syndrome
The oral microbiome in type 1 diabetes and sub-clinical cardiovascular disease
Multi-functional ionic liquid coatings for dental implant surfaces
Microscale mechanobiology for musculoskeletal tissue engineering using advanced ultrasound techniques. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The primary criteria for evaluating grant applications include assessment of: (1) the scientific merit, significance and overall impact of the proposed study and its objectives; (2) the competency of the proposed investigator(s) to successfully pursue the project; (3) the innovation and novelty of the concepts, approaches, or methodologies; (4) the technical adequacy of the experimental design and approach; (5) the adequacy of the available proposed facilities and resources; (6) the necessity of the budget components requested in relation to the proposed project; and (7) the relevance and importance to the posted institute program objectives. Also evaluated, where applicable, but not scored are: (1) protections for human subjects; (2) inclusion of women, minorities, and children; (3) animal welfare; and (4) adequate protection of research personnel and/or the environment, biosafety, biocontainment and the security of select agents. In addition, the following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: the potential of the proposed research for commercial application. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the technological innovation, originality, or societal importance of the proposed research; and (4) the potential of the proposed research for commercial application.

NRSA individual fellowship applications will be evaluated for: (1) overall impact/merit; (2) suitability of the applicant; (3) qualifications of the sponsor(s)/collaborator(s); (4) scientific merit of the research training plan; (5) training potential; and (6) institutional environment and commitment to training. NRSA institutional training grants are assessed for: (1) overall impact; (2) merit of the training program and institutional environment; (3) the experience and success of the training program directors, PIs, and mentors; (4) the trainee recruitment plan and selection; and (5) the training record of the program and/or institution.

Career Development applications will be evaluated for: (1) overall impact; (2) qualifications of the candidate; (3) the career plan and career goals/objectives of the candidate; (4) scientific merit of the research plan. (5) qualifications of the mentor(s), consultant(s) and collaborator(s); and (5) institutional environment and commitment to career development.

93.123 HEALTH PROFESSIONS PRE-GRADUATE SCHOLARSHIP PROGRAM FOR INDIANS

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Improvement Act, Public Law 94-437.

OBJECTIVES:

To provide scholarships to American Indians and Alaska Natives for the purpose of completing pre-graduate education leading to a baccalaureate degree only in the following areas: (1) Pre-medicine: priority as follows: senior, junior, sophomore, freshman; (2) Pre-dentistry: priority as follows: senior, junior, sophomore, freshman; (3) Pre-optometry: priority as follows: senior, junior, sophomore, freshman; (4) Pre-podiatry: priority as follows: senior, junior, sophomore, freshman.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Scholarship awards are limited to persons of American Indian or Alaska Native descent. Support is limited to 4 years of full-time education, or part-time equivalent for a maximum of 8 years.

Applicant Eligibility:

Individuals of American Indian or Alaska Native descent, who have successfully completed high school education or high school equivalency, and have been accepted for enrollment or are enrolled in an accredited pre-graduate program in a pre-medicine, pre-dentistry, pre-optometry or pre-podiatry curriculum.

Beneficiary Eligibility:

Individuals of American Indian or Alaska Native descent.

Credentials/Documentation:

Individuals of American Indian or Alaska Native descent, who have successfully completed high school education or high school equivalency, and have been accepted for enrollment or are enrolled in an accredited pre-graduate program in a pre-medicine, pre-dentistry, pre-podiatry or pre-optometry curriculum. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application form OMB No. 0917-0006 and instructions are provided by the Indian Health Service and are usually available to interested individuals in December (www.ihs.gov/scholarship/applynow). An application form and required documentation (official transcripts, letter of acceptance by college, curriculum verification, Indian eligibility, tuition verification, faculty evaluations) are submitted to IHS Headquarters for review and approval/disapproval.

Award Procedure:

Grants are awarded on a competitive basis. Funding covers only Tuition and Fees. Tuition and fee payments are made to the recipient's college or university.

Deadlines:

Dec 15, 2016 to Mar 28, 2017: The application cycle opens in mid-December 2016 and closes on February 28, 2017 for current scholarship recipients interested in extending their scholarship for another year. The application deadline for new scholarship applicants is March 28, 2017.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved by July 1, 2017, by the Indian Health Service, Division of Grants Management.

Appeals:

Not Applicable.

Renewals:

Continuation applications must be submitted annually. Continued funding is subject to the availability of funds and satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Maximum length of funding is a total of 4 years full-time and 8 years part-time. See the following for information on how assistance is awarded/released: Tuition payments and fees are paid upon receipt of invoices from the universities. Stipend payments are paid monthly for the 10-month academic period. An amount for books and other necessary expenses is provided to the student. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Students are required to submit their official transcripts as they are received. Initial progress reports are required for each quarter. Notification of Academic Problem/Change is required at any time a problem or change occurs. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

The Indian Health Service Scholarship Program maintains records on all students. Records must be maintained for 4 years after the final payment has been made.

Account Identification:

75-0390-0-1-551.

Obligations:

(Training) FY 16 \$1,367,162; FY 17 est \$1,500,000; and FY 18 est \$1,500,000

Range and Average of Financial Assistance:

\$23,835 - \$81,091; average \$34,497.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 36.370-36.374. Final Rules and Regulations, the Indian Health Care Improvement Act, Public Law 96-537 (which amends Public Law 94-437, Section 103), published in the Federal Register on February 29, 1984.

Regional or Local Office:

None. Program Contact: Ms. Reta Brewer, Chief, Scholarship Branch, Division of Health Professions Support, Indian Health Service, 5600 Fishers Lane, Mail Stop; OHR 11E69B, Rockville, MD 20857. Email: scholarship@ihs.gov Telephone: (301) 443-2349.

Grants Management Contact: Mr. Robert Tarwater, Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204, Fax (301) 594-0899.

Headquarters Office:

Grants Policy Office 5600 Fishers Lane, Mail Stop: 09E70, Rockville, Maryland 20857 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

93.971 Health Professions Preparatory Scholarship Program for Indians; 93.972 Health Professions Scholarship Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are scored based on Academic performance, Faculty/Employer

Evaluations and Applicant Essays. Complete information on the applicant selection process is available in the IHS Scholarship Program Application Handbook at https://www.ihs.gov/scholarship/handbooks/application_handbook.pdf.

93.124 NURSE ANESTHETIST TRAINEESHIP

(NAT)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 811 of the Public Health Service (PHS) Act, (42 U.S.C. 296j).

OBJECTIVES:

Grants are awarded to accredited institutions that educate registered nurses to become nurse anesthetists; recipient institutions in turn disburse funds to students in the form of traineeship support.

As many Nurse Anesthesia programs transition from Masters to clinical Doctoral-level programs, increased demands on time and financial resources restrict the ability of students to work for the duration of the program. Thus, the goal of the Nurse Anesthetist Traineeship (NAT) Program is to provide traineeship support for licensed registered nurses enrolled as full-time students in accredited masters or doctoral nurse anesthesia programs to work towards increasing the number of nurse anesthetists, especially those serving rural and underserved populations, thereby addressing the distribution of providers to target communities in need.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for traineeship support to licensed registered nurses enrolled as full-time students in accredited graduate-level nurse anesthesia programs. Traineeships may include full or partial costs of the tuition, books/eBooks, fees, and reasonable living expenses (stipends) for the individual during the traineeship.

Restricted Uses: Funds may not be used for travel, daily commuting costs of trainees, non-trainee expenses, or indirect costs of the educational institution.

Applicant Eligibility:

Eligible applicants are collegiate schools of nursing, academic health centers, and other private or public nonprofit entities accredited by a recognized body or bodies or State agency, approved for the purpose of nursing education by the Secretary of Education, which provide registered nurses with full-time, graduate-level nurse anesthesia master's or doctoral education and have evidence of accreditation from the American Association of Nurse Anesthetists (AANA) Council on Accreditation of Nurse Anesthesia Educational Programs. The school must be located in the 50 states, the District of Columbia, Guam, the Commonwealth of Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, the U.S. Virgin Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Faith-based and community-based organizations, Federally recognized Indian Tribal Government and Native American Organizations may apply for these funds if otherwise eligible.

Beneficiary Eligibility:

NAT funds are awarded to institutions, not individuals. Traineeship recipients are selected by the participating institutions. A candidate must be a citizen of the United States, non-citizen national, or foreign national who possesses a visa permitting permanent residence in the United States, and must be enrolled full-time in an accredited graduate-level nurse anesthesia program. Individuals on temporary student visas are not eligible.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through [Grants.gov](https://www.grants.gov). The NAT Program is a formula-based grant program that does not undergo a formal Objective Review Process. All eligible, qualified applications are reviewed and assessed internally.

Award Procedure:

The funds appropriated for the NAT Program are distributed among eligible institutions based on a formula.

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately from 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Applications must be submitted annually.

Formula and Matching Requirements:

Statutory Formula: Formula-Based Program.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

The recipient must agree to maintain non-federal funding for award activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving this award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a one-year project period. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The recipient will be required to submit annual performance reports. No cash reports are required. NAT grantees are not required to submit the annual Federal Financial Report SF-425 to HRSA. For the purpose of this grant program, the quarterly Federal Cash Transaction Report submitted to the Payment Management System (PMS) will be used to fulfill the financial reporting requirement. Timely and accurate information provided to the PMS will be used in lieu of the Financial Status Report /Federal Financial Report. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3- year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Formula Grants) FY 16 \$2,250,000; FY 17 est \$2,250,000; and FY 18 est \$0

Range and Average of Financial Assistance:

FY17: Award Range \$9,925-\$90,512 - average \$27,439

FY16: Award Range \$8,353-\$97,159 - average \$55,555

FY15: Award Range \$2,451-\$67,188 average \$28,481.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>

Regional or Local Office:

None.

Headquarters Office:

Karen Breeden, MPA, Health Resources and Services Administration, Bureau of Health Workforce, Division of Nursing and Public Health, 5600 Fishers Lane, Room 11N74B, Rockville, Maryland 20857 Email: KBreeden@HRSA.gov Phone: (301) 443-5787

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The NAT Program is a formula-based grant program that does not undergo a formal Objective Review Process. Procedures for assessing the technical merit of applications have been instituted to provide for an internal review of applications. All eligible applicants are considered in a formula for determining awards. Eligible applicants must meet all applicable Federal guidelines. Trainees must be eligible to sit for the certification examination administered by the American Association of Nurse Anesthetists Council on Certification of Nurse Anesthetist to become a Certified Registered Nurse Anesthetist upon program completion, and programs must meet other national organizational guidelines, as appropriate, in the nursing field of study. The applicants nurse anesthetist program must be accredited by the Council on Accreditation of Nurse Anesthesia Educational Programs.

93.127 EMERGENCY MEDICAL SERVICES FOR CHILDREN

EMS for Children

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title XIX, Section 1910, (42 U.S.C. 300w-9); as amended.

OBJECTIVES:

To support demonstration projects for the expansion and improvement of emergency medical services for children who need treatment for trauma or critical care.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

All funds granted should be expended solely for carrying out the approved project in accordance with Section 1910 of the Public Health Service Act.

Applicant Eligibility:

State Governments and Accredited Schools of Medicine.

Beneficiary Eligibility:

All children will benefit from the project grants administered by this program, including children from minority groups.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Final decisions are made about 4 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Renewal applications will be accepted.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually, in accordance with the project period method of awarding grants. Payments are made through a Letter of Credit or Cash Demand System. Project periods are generally for up to 3 years, but may be up to 4 years with an optional 5th year. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual program reports are required. Refer to "reports" information below. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). Performance Reports include annual reporting of EMSC performance measures data, as approved by Office of Management and Budget after public review via Federal Register Notice. Data collection related to the EMSC performance measures is required periodically

to monitor grantee progress. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. Refer to "reports" information above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

(Project Grants) FY 16 \$17,016,778; FY 17 est \$17,585,767; and FY 18 est \$0

Range and Average of Financial Assistance:

\$130,000 to \$3,000,000; \$234,345.

TAFS Codes:

75-0354.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 74. Fiscal Year 2017: 74 awards. Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Rockville, MD 20857.

Headquarters Office:

Theresa Morrison-Quinata Maternal and Child Health Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 18N-54, Rockville, Maryland 20857 Phone: (301) 443-1527.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Grant applications will be reviewed and evaluated by a panel of reviewers experienced in the planning, implementation, and monitoring of emergency medical services and pediatric care. The reviewers will recommend a score for applications; recommend any modifications or conditions to the grant if awarded; and recommend any changes to the proposed budget. Recommendations of the review panel are presented to the Associate Administrator for MCH. Panel recommendations are advisory only, and the Associate Administrator for MCH will be responsible for final decisions regarding awards. Reviewers will use the criteria and questions described in the section on Categories of Grants: Program Narrative and Review Criteria to evaluate proposals. Applicants are urged to address these criteria as directly as possible in the text of the program narrative.

93.129 TECHNICAL AND NON-FINANCIAL ASSISTANCE TO HEALTH CENTERS

State and Regional Primary Care Associations and National Cooperative Agreements

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Services Act, as amended, Title III, Section 330(l), (42 U.S.C 254b).

OBJECTIVES:

To provide necessary technical and non-financial assistance to potential and existing health centers, including training and assistance to: (1) increase access to care; (2) achieve operational excellence; and (3) enhance health outcomes and health equity for individuals and communities (performance improvement and special initiatives).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients will be expected to provide certain technical and non-financial assistance to health centers and other qualified organizations at a state or national level.

Applicant Eligibility:

Eligible applicants include public, non-profit, and for-profit entities, including federally recognized Indian Tribal governments and Native American and faith-based organizations, that can provide training and technical assistance on a national or state/regional level to health centers and other community-based organizations with similar missions.

Applications may be submitted by current State and Regional Primary Care Associations, National Cooperative Agreements, or new organizations that care to provide training and technical assistance to potential and existing health centers.

Beneficiary Eligibility:

Population groups in medically underserved areas, medically underserved populations, and special populations such migratory and seasonal agricultural workers, people experiencing homelessness, and public housing residents.

Credentials/Documentation:

Applicants should review the applicable HRSA notice(s) of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is

not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Contact the headquarters or regional office, as appropriate, for application deadlines.

Appeals:

Not Applicable.

Renewals:

Awards to support projects beyond the first budget year will be contingent upon Congressional appropriation, compliance with applicable statutory and regulatory requirements, demonstrated organizational capacity to accomplish the project's goals, satisfactory performance, and a determination that continued funding would be in the best interest of the federal government.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually. After awards are issued, funds are released in accordance with HHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. See the following for information on how assistance is awarded/released: Awardees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. See the following for information on how assistance is awarded/released: Awardees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is due according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>).

Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring includes ongoing work plan collaboration, review of technical assistance materials, and review of annual progress reports; it may include periodic site visits. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is due according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring includes ongoing work plan collaboration, review of technical assistance materials, and review of annual progress reports; it may include periodic site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$67,348,597; FY 17 est \$54,000,000; and FY 18 est \$54,000,000 - State and Regional Primary Care Associations. (Cooperative Agreements) FY 16 \$20,802,695; FY 17 est \$21,000,000; and FY 18 est \$21,000,000 - National Cooperative Agreements.

Range and Average of Financial Assistance:

\$448,662 to \$6,375,000; average \$1,008,290.

TAFS Codes:

75-0360.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. For questions about National Cooperative Agreements funding notices, email BPHCNCA@hrsa.gov. For questions about Primary Care Association funding notices, email BPHCPCA@hrsa.gov.

Headquarters Office:

Bureau of Primary Health Care 5600 Fishers Lane, Room 16N16, Rockville, Maryland 20857 Phone: (301) 594-4300

Website Address:

<http://www.hrsa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No current data available. Fiscal Year 2017: No current data available. Fiscal Year 2018: No data available.

CRITERIA FOR SELECTING PROPOSALS:

Applicants seeking to provide technical and non-financial assistance to health centers will be evaluated on their ability to provide national, state, or regional-specific training and technical assistance in the following areas: (1) increase access to care; (2) achieve operational excellence; and (3) enhance health outcomes and health equity for individuals and communities (performance improvement and special initiatives). Activities under each core function must support and advance the HRSA mission. The extent and type of activities under each core function should depend on demonstrated programs needs and HRSA priorities. See specific details in each Notice of Funding Opportunity issued with this CFDA number.

93.130 COOPERATIVE AGREEMENTS TO STATES/TERRITORIES FOR THE COORDINATION AND DEVELOPMENT OF PRIMARY CARE OFFICES

State Primary Care Offices (PCO)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 330(k), 330(m) and 333(d).

OBJECTIVES:

To coordinate local, State, and Federal resources contributing to primary care service delivery and workforce issues in the State to meet the needs of medically underserved populations through health centers and other community-based providers of comprehensive primary care and the retention, recruitment, and oversight of health professions for medically underserved populations.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients will be expected to perform State-wide primary care planning and resource coordination, including maintaining and updating databases that reflects current levels of health professional shortage areas (HPSA) and medically underserved areas and populations (MUAP), Medicaid, Children's Health Insurance program, State Offices of Rural Health, Ryan White Area Health Education Centers, Maternal and Child Health, etc.; support health systems development, primary care practitioners retention and recruitment, National Health Service Corps monitoring activities, as well as activities relating to other special populations including rural populations; and conduct operational and administrative support activities.

Applicant Eligibility:

States or territories, political subdivisions of States, agencies of States, or other public entities that operate solely within one State or represent multiple territories where appropriate. These entities must provide statewide coverage

for primary health care issues and represent or have relationships with the broad range of primary health care delivery systems and programs in the State.

Beneficiary Eligibility:

Health Professional Shortage Areas and Medically Underserved Areas/Populations within States and Territories will benefit from this program. Primary Care Offices (PCO) submit applications for designation status, which are reviewed and processed by the Division of Policy and Shortage Designation.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately from 120 to 180 days after receipt of application.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 5-year project period. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Needs Assessment report is a one-time requirement for the five-year project period. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available

online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$7,000,000; FY 17 est \$10,899,000; and FY 18 est \$11,000,000

Range and Average of Financial Assistance:

For FY 2015, Awards ranged from \$152,056 to \$438,871; Average award per recipient \$201,189.

For FY 2016, awards ranged from \$152,056 to \$444,379; Average award per recipient \$203,509.

For FY 2017, awards ranged from \$152,056 to \$444,379; Average award per recipient \$203,509.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Shortage Designation Branch, Division of Policy and Shortage Designation, Bureau of Health Workforce, Health Resources and Services Administration 5600 Fishers Lane, Room 11W04, Rockville, Maryland 20852 Phone: 301 594-4454

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to

assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.134 GRANTS TO INCREASE ORGAN DONATIONS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Section 377 of the Public Health Service (PHS) Act, as amended, 42 U.S.C. Section 274f. Increasing Organ Donation Awareness: Section 377A(b) of the Public Health Service (PHS) Act, as amended, 42 U.S.C. Section 274f-1(b).

OBJECTIVES:

To support grants for the purpose of increasing public commitment to organ donation and ultimately the number of organs recovered and transplanted. To that end, the Division of Transplantation has cooperative agreements and grant programs with the following objectives:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: The purpose of this program is to operate a national system to provide reimbursement of travel and subsistence expenses, and other non-medical expenses that may be authorized by the Secretary to individuals making living donations of their organs.

Increasing Organ Donation Awareness Grant Program: This program provides support for the implementation and rigorous evaluation of highly promising strategies and approaches for: increasing public attitudes, practices and commitment to deceased organ donation as evidenced by donor registry enrollment as well as family consent at a relative time of death; the option of living donation and its risks and benefits and methods to promote large scale adaptation of successful interventions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Funds made available through this program may not be made available to donating individuals when payment for qualified reimbursement expenses has been made, or can reasonably be expected to be made: (1) under any State compensation program, under an insurance policy, or under any Federal or State health benefits program; (2) by an entity that provides health services on a prepaid basis; or (3) by the recipient of the organ.

Increasing Organ Donation Awareness Grant Program: Funds under this notice may not be used for the following purposes: to purchase or improve land, or to purchase, construct, or make permanent improvements to any building except for minor remodeling; to make payments to recipients of services, except for reimbursement of reasonable and allowable out-of-pocket expenses associated with participation in project activities; nor to support: (a) biomedical and clinical research; (b) the development and/or assessment of the efficacy of new or improved clinical methods of donor management, organ recovery, or organ preservation; (c) fundamental research focused on new or improved evaluation tools and methodologies; (d) fundamental research focused on the development of new behavioral theories relevant to health attitudes, practices, and decision-making (e) interventions inconsistent with existing Federal law; (f) to implement efforts to promote living donation; (g) to conduct interventions to increase tissue donation alone; (h) to evaluate clinical outcomes of donation after cardiac death (DCD) organs; (i) to fund staff time devoted to project activities that is being supported by other sources. All Programs: Funds may not be used for activities that are reimbursable under Medicare. Funds must be used

in a manner consistent with program policies developed by DoT and with the HHS Grants Policy Statement, January 1, 2007, available at the HRSA grants web site at <http://www.hrsa.gov/grants>.

Applicant Eligibility:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: As specified in Section 377 of the Public Health Service Act, as amended, eligible applicants include States, transplant centers, qualified organ procurement organizations under section 371, or other public or private entities. Faith-based, community organizations and Federally Recognized Indian Tribal Government and Native American Organizations are eligible to apply.

Increasing Organ Donation Awareness Grant Program: As specified in Section 377A(b) of the Public Health Service Act, as amended, the Secretary may make peer-reviewed grants to public and nonprofit private entities for the purpose of carrying out studies and demonstration projects to increase organ donation and recovery rates, including living donation. If the applicant is an OPTN member, and if the applicant is working with a consortium that includes OPTN members, the applicant and all other OPTN members are expected to comply with the final rule governing the operation of the OPTN Section 121.11(b2). Faith-based, community organizations and Federally Recognized Indian Tribal Government and Native American Organizations are eligible to apply.

Beneficiary Eligibility:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Primary beneficiaries are low/moderate income living organ donors and recipients. All other Programs: Beneficiaries of the grant efforts are ultimately organ donor registrants, organ donors, and organ transplant recipients.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to 200 days from application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation Cooperative Agreement is awarded for up to five 12-month budget periods.

Increasing Organ Donation Awareness Research grants are awarded for up to three 12-month budget periods.

See the following for information on how assistance is awarded/released: For the programs described above, grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. See the following for information on how assistance is awarded/released: For all three programs described above grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are not applicable. No cash reports are required. Program reports are not applicable. No cash reports are required. Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Two progress reports are required in addition to annual financial reports. Final reports and final financial status reports are due 90 days from the end of the project period. The grantee also submits quarterly updates to HRSA on the number and characteristics of applications received and funded by the Program.

Increasing Organ Donation Awareness Grant Program: Two semi-annual progress reports are required from all awardees in addition to annual financial reports. Final reports and final financial status reports are due 90 days from the end of the project period. Oral reports are required at project termination. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0357-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$1,795,729; FY 17 est \$2,790,204; and FY 18 est \$3,500,000 - Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation Program. (Project Grants) FY 16 \$4,857,109; FY 17 est \$3,508,854; and FY 18 est \$4,259,978 - Increasing Organ Donation Awareness.

Range and Average of Financial Assistance:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: one award up to \$3,500,000

Increasing Organ Donation Awareness Grant Program: \$184,192 to \$601,373; \$467,561.

TAFS Codes:

75-0357.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2016: The University of Arizona in collaboration with the American Society of Transplant Surgeons continue to operate the Program through the National Living Donor Assistance Center (NLDAC). Through the end of March 2017, the NLDAC has received 7,257 applications for reimbursement and has facilitated 3,831 living donor transplants. The median household income for transplant recipients whose transplant was

facilitated by NLDAC is \$26,914. The average reimbursement to donors and companions is \$2,319. The NLDAC, since its inception, has paid out \$12.58 million to approved applicants including actual donors.

Increasing Organ Donation Awareness Grant Program: A broad spectrum of interventions to increase donation has been implemented at the national, State, and local levels by a variety of public and private organizations, ranging from large-scale national media and public education programs and statewide donor registries to community-based activities to raise awareness among various population groups. These projects have contributed to the knowledge base about important factors associated with the donation decision-making process and methods for motivating people to make the commitment to become deceased organ donors and educating the public about living donation. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Mesmin Germain, MBA, MPH, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 08W50, Rockville, MD 20857. Telephone: 301-443-0053.

Increasing Organ Donation Awareness Grant Program: Venus Walker, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 08W49D53C, Rockville, MD 20857. Telephone: 301-443-7578.

Headquarters Office:

Division of Transplantation, 5600 Fishers Lane, 08W49, Rockville, Maryland 20857 Phone: (301) 443-7578.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: The grantee through partnership with other private non-profit entities established the National Living Donor Assistance Center to operate this national program to support living donors. The current 5-year grant was awarded in FY 2014 and runs through the end of FY 2018.

Increasing Organ Donation Awareness Grant Program Fiscal Year 2016: Funded projects include: 1) Deceased organ donation registration among people over 50 years old: (example: University of Pittsburgh "Patients Save Lives: Integrating Real-Time Electronic Form Generation into Primary Care Office Procedures to Test and Tailor Donor Designation Messages to People Age 50 and older); 2) Family discussion and knowledge about donation among adolescents, and actual registration among adolescents, where possible (example: University of Illinois, "A randomized controlled experiment to evaluate a multi-faceted driver education curriculum"); and 3) Knowledge about the opportunities for and risks and benefits of living organ donation (example: "Beth Israel Deaconess Medical Center, Inc. "Kidney Paired Donation: A randomized trial to increase knowledge and informed decision-making). Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Please refer to the notice(s) of funding opportunity.

93.135 CENTERS FOR RESEARCH AND DEMONSTRATION FOR HEALTH PROMOTION AND DISEASE PREVENTION

(Prevention Research Centers)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Part 330u-5, Section 1706, Public Law 98-551.

OBJECTIVES:

The funded Prevention Research Centers aims are to: 1. Establish, maintain, and operate multi-disciplinary academic-based centers that conduct high-quality applied health promotion and disease prevention research; 2. Improve public health practice through applied prevention research; 3. Apply the knowledge and expertise of academic health centers to address practical public health problems; 4. Design, implement, evaluate, and disseminate cost-effective methods and strategies for health promotion and disease prevention at the tribal, territorial, state, or local level; 5. Shorten the time lag between the development of new and proven effective disease prevention and health promotion strategies and interventions and their widespread application; and 6. Involve health departments and other community partners in the development, implementation, evaluation, and dissemination of one applied public health prevention research project.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are available for costs directly attributed to the performance of research and demonstration projects pertaining to health promotion and disease prevention plus certain indirect costs of the grantee in accordance with established policies of the Public Health Service. Grantees may not award subgrants but may enter into consortia agreements or contracts as necessary to achieve the aims of the program.

Applicant Eligibility:

Eligible applicants are accredited schools of medicine, schools of osteopathy, and schools of public health as defined in Section 701 (4) of Public Health Service Act.

Beneficiary Eligibility:

Academic health centers, scientist/researchers, operational public health programs, targeted high risk groups, selected demonstration areas, and the general public.

Credentials/Documentation:

Costs will be determined in accordance with HHS Regulations, 45 CFR 74. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. To apply for this funding opportunity, use application form SF 424. Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341.

Award Procedure:

Approved grants are funded based on priority score ranking from a scientific review, as well as availability of funds, secondary review and such other significant factors deemed necessary and appropriate by the agency. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 5152-1) indicates support recommended for

remainder of project period, allocations of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From six to nine months.

Appeals:

Not Applicable.

Renewals:

Applications for renewal will be reviewed in the same manner as new applications and will compete for available funds with other applications.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

This program does not have MOE requirements. The Prevention Research Centers Program does not receive allocations over \$100 million in the fiscal year.

Length and Time Phasing of Assistance:

From one to five years (renewable, based on competitive applications and availability of funds). See the following for information on how assistance is awarded/released: Lump sum unless there are funding restrictions due to pending issues (e.g., IRB concerns.).

Reports:

Interim progress (annually); annual report (three months after end of budget period); final progress report (three months after end of project period); and reprints and copies of resulting publications. No cash reports are required. Interim progress (annually); terminal progress report (three months after end of each budget period); and final progress report (three months after the end of the project period); and reprints and copies of resulting publications. Financial status reports (annual). Interim progress (annually).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, including documents to support entries on accounting records and substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0948-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$19,084,000; FY 17 est \$19,083,950; and FY 18 est \$19,084,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 19084000. Fiscal Year 2017: 19083950. Fiscal Year 2018: 19084000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

John Avery Francis 4770 Buford Highway, Atlanta, Georgia 30341 Email: ijh9@cdc.gov Phone: 7704886384

Website Address:

<http://www.cdc.gov/prc>.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.542 Health Promotion and Disease Prevention Research Centers: Affordable Care Act (ACA) Special Interest Project Competitive Supplements (SIPS)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Prevention Research Center applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and scientific merit of the overall application relative to the types of research and demonstration projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, boards of education and other appropriate national, regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

93.136 INJURY PREVENTION AND CONTROL RESEARCH AND STATE AND COMMUNITY BASED PROGRAMS

National Center for Injury Prevention and Control

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 317, 391, 392, 393, and 394, as amended, 42 U.S.C. 241.

OBJECTIVES:

Through its programs, the Injury Center works with national organizations, state health agencies, and other key groups to develop, implement, and promote effective injury and violence prevention and control practices. RESEARCH GRANTS: (1) To support injury control research on priority issues; (2) to integrate aspects of engineering, public health, behavioral sciences, medicine, engineering, health policy, economics and other disciplines in order to prevent and control injuries more effectively; (3) to rigorously apply and evaluate current and new interventions, methods, and strategies that focus on the prevention and control of injuries; (4) to stimulate and support Injury Control Research Centers (ICRCs) in academic institutions which will develop a comprehensive and integrated approach to injury control research and training; and (5) to bring the knowledge and expertise of ICRCs to bear on the development of effective public health programs for injury control.

NON-RESEARCH STATE AND COMMUNITY PROGRAM GRANTS/COOPERATIVE AGREEMENTS: To develop, implement, and promote effective injury and violence prevention and control practices.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are available for costs directly attributed to the performance of research and demonstrations of surveillance or interventions/evaluations programs pertaining to injury prevention and control plus certain direct costs of the grantee in accordance with established policies of the Public Health Service. Grantees may not award subgrants but may enter into contracts as necessary to achieve the aims of the program.

Applicant Eligibility:

For INJURY PREVENTION AND CONTROL RESEARCH PROGRAMS, AND INJURY CONTROL RESEARCH CENTERS: Eligible applicants may include any nonprofit or for-profit organization; for STATE AND COMMUNITY PROGRAM GRANTS/COOPERATIVE AGREEMENTS: State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau) and political subdivisions of States (in consultation with States), Federally recognized or state-recognized American Indian/Alaska Native tribal governments, American Indian/Alaska native tribally designated organizations, Alaska Native health corporations, Urban Indian health organizations, Tribal epidemiology centers; for COMMUNITY-BASED PROGRAMS: public, private, nonprofit and for-profit organizations may be eligible.

Beneficiary Eligibility:

FOR RESEARCH GRANTS: Academic health centers, scientist/researchers, operational public health programs, State and local governments, and public and private organizations involved in injury research. FOR STATE AND COMMUNITY-BASED GRANTS AND COOPERATIVE AGREEMENTS: State and local health departments, and community-based organizations.

Credentials/Documentation:

For all nonprofit grantees, costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q. For-profit organizations' costs are determined in accordance with the Federal Acquisition Regulations, 48 CFR 31.2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations, as appropriate. Funding Opportunity Announcements for this program are posted on www.Grants.gov, the official federal agency wide e-grant Web site. Application forms and instructions specific to each announcement are posted at that site. Electronic Submission: CDC strongly encourages the applicant to submit applications electronically by utilizing the forms and instructions posted on www.Grants.gov. Registering your organization through www.Grants.gov is the first step in submitting applications online. Registration information is located in the "Get Started" screen of www.Grants.gov and the one-time registration process will take three to five days to complete. While application submission through www.Grants.gov may be optional for various announcements, we strongly encourage you to use this online tool. Please visit www.Grants.gov at least 30 days prior to filing your application to familiarize yourself with the registration and submission processes. We suggest submitting electronic applications prior to the closing date so if difficulties are encountered, you can resolve them prior to the deadline. For further assistance contact GrantsInfo, Telephone: (301) 435-0714, E-mail: GrantsInfo@nih.gov. For the hearing impaired: TTY (770) 488-2783. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at: (770) 488-2700. DUNS Number: Applications must have a Dun and Bradstreet (D&B) Data Universal Numbering System number as the universal identifier when applying for Federal grants or cooperative agreements. The D&B number can be obtained by calling (866) 705-5711 or through the web site at <http://www.dnb.com/us/>.

Award Procedure:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff, and for responsiveness by the National Center for Injury Prevention and Control and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review

process. Applicants will be notified the application did not meet submission requirements. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application. FOR RESEARCH GRANTS: Applications that are complete and responsive to the announcement will be evaluated for scientific and technical merit by an appropriate peer review group convened by NCIPC in accordance with the review criteria stated below. All applications will: undergo a selection process in which only those applications deemed to have the highest scientific merit, generally the top half of applications under review, will be discussed and assigned a priority score; receive a written critique; receive a second level of review by the Board of Scientific Counselors. FOR NON-RESEARCH GRANTS/COOPERATIVE AGREEMENTS: Applicants are required to provide measures of effectiveness that will demonstrate the accomplishment of the various identified objectives of the grant or cooperative agreement. Measures of effectiveness must relate to the performance goals stated in the "Purpose" section of the announcement. Measures must be objective and quantitative and must measure the intended outcome. The measures of effectiveness must be submitted with the application and will be an element of evaluation. An objective review panel will evaluate complete and responsive applications according to the criteria listed. The objective review process will follow the policy requirements as stated in the GPD 2.04, <http://198.102.218.46/doc/gpd204.doc>.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Renewals are made by competitive applications and reviews.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

From one to five years (noncompeting renewals based on availability of funds). Method of awarding/releasing assistance: lump sum.

Reports:

Equipment inventory (three months after end of project); invention statement (annual) and reprints and copies of resulting publications. No cash reports are required. Annual progress report (annual) and final performance report (three months after end of project). For Injury Control Research Centers, an annual progress report is also required. For Applied Methods in Surveillance, and State and Community-Based Injury Control Programs, semi-annual progress reports are also required. Federal Financial Reports (annual). Final performance report (three months after end of project). For Injury Control Research Centers, an annual progress report is also required. For Applied Methods in Surveillance, and State and Community-Based Injury Control Programs, semi-annual progress reports are also required. Site visits performed as needed/required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, including documents to support entries on accounting records

and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0952-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$146,139,227; FY 17 est \$138,262,503; and FY 18 est \$126,895,573 - NCIPC FY16 data object class code 41 balanced against the financial grants module in UFMS.

Range and Average of Financial Assistance:

Injury Control Research Centers: \$802,300. Injury Control Research Projects: \$200,000 to \$300,000; \$250,000. State and Community Based Injury Control Programs: \$40,000 to \$300,000; \$170,000. Violence Prevention Programs: \$80,609 to \$1,946,399; \$1,013,504. Motor Vehicle Prevention Programs: \$247,500 to \$803,000; \$525,250. Prescription Drug Overdose Programs: \$200,000 to \$350,716; \$288,586. National Violent Death Reporting System: \$148,000 to \$352,500; \$244,985.

TAFS Codes:

75-16-0952.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; basic grant administration policies of DHHS and PHS are also applicable, 45 CFR 74 and 45 CFR 92; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

None. Injury Control Research Centers: Mildred Williams-Johnson, Program Manager, National Center for Injury Prevention and Control, Centers for Disease Control and Prevention (F63), 4770 Buford Hwy, NE Atlanta, Georgia 30341-3724 MWilliams-Johnson@cdc.gov. Telephone: (770) 488-8806 FAX: (770) 488-1665. Community- Based Grant Programs Robin Forbes, Deputy Director Division of Analysis, Research, and Practice Integration (DARPI) National Center for Injury Prevention and Control Centers for Disease Control and Prevention (F63), 4770 Buford Hwy, NE Atlanta, Georgia 30341-3724 rjf0@cdc.gov. Telephone: (770) 488-1324 Fax: (770) 488-1662.

Headquarters Office:

Daniel N. Cameron 4770 Buford Hwy NE, MS-F63, Atlanta, Georgia 30341
Email: DCameron@cdc.gov Phone: 770-488-0143 Fax: 770-488-1668

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention being given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as richness, breadth, and scientific merit of the overall application relative to the types of research, demonstrations, and special projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed activities; (3) feasibility and likelihood of producing meaningful results based on the significance of the proposed activities and relevant evaluation procedures; (4) overall match between the proposed programs and the nation's health priorities and needs; and (5) reasonableness of the proposed budget in relation to the work proposed.

93.137 COMMUNITY PROGRAMS TO IMPROVE MINORITY HEALTH GRANT PROGRAM

Community Programs to Improve Minority Health

FEDERAL AGENCY:

Office of Minority Health, Department of Health and Human Services

AUTHORIZATION:

OMH is authorized to conduct this program under 42 U.S.C. Section 300 u-6, Section 1707 of the Public Health Service Act, as amended.

OBJECTIVES:

Facilitate the improvement of minority health and eliminate health disparities through the development of partnerships with state, tribal, and local governments and nongovernmental organizations.

Conduct demonstration projects which coordinate integrated services, community outreach and systems navigation to improve access to care for minorities and disenfranchised communities.

Support interventions that address health disparities by supporting networks to establish pipeline programs to increase minority and disadvantaged students participation in STEM education programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds will be awarded to national organizations, states, tribes, minority-serving institutions, community and faith-based organizations and local agencies to support policy and service demonstrations and to enhance data availability for health disparities and health equity activities that will improve health and healthcare outcomes for racial and ethnic minorities, economically and/or environmentally disadvantaged and limited English proficient populations. However, services may not be denied to any otherwise eligible persons. Funds are not to be used for the provision of health care services, construction, augmentation of ongoing Office of Minority Health (OMH) supported demonstrations, or to supplant ongoing project activities. Grant funds may only be used to support activities outlined in the approved project plan.

Funding prohibitions include any activity to advocate or promote any proposed, pending, or future Federal, State or local tax increase, or any proposed, pending, or future requirement or restriction on any legal consumer product, including its sale or marketing, including but not limited to the advocacy or promotion of gun control.

Applicant Eligibility:

State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau)

Nonprofit with 501(c)(3) IRS status (other than institution of higher education)

Nonprofit without 501(c)(3) IRS status (other than institution of higher education)

For-profit organizations (other than small business); for profit organizations must agree to forgo any profit or management fee.

Small, minority, and women-owned business

Universities

Colleges

Research institutions

Hospitals

Community-based organizations

Faith-based organizations

Federally recognized or state-recognized American Indian/Alaska Native

Tribal governments

American Indian/Alaska Native tribally designated organizations

Alaska Native health organizations

Urban Indian health organizations

Tribal epidemiology centers

Political subdivisions of states (in consultation with states).

Beneficiary Eligibility:

Target populations: American Indian or Alaska Natives; Asians; Blacks or African Americans; Hispanics or Latinos; Native Hawaiians or other Pacific Islanders; economically and/or environmentally disadvantaged; and limited English proficient populations. However, services may not be denied to any

otherwise eligible persons..

Credentials/Documentation:

Proof of organization and/or tribal status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All open grant opportunities are posted on www.grants.gov. Additional information may be requested by calling 240-453-8822 or writing to: Office of Assistant Secretary for Health (OASH) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health, will make a final decision on funding projects and a Notice of Award will be issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 to 120 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Grant projects can have a 5-year project period with 12-month budget periods.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant projects can have a 1 to 5-year project period with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash request basis or under a letter of credit. Necessary instructions regarding payment procedure will be provided at the time the notice of grant award is issued. See the following for information on how assistance is awarded/released: Accepted applications will be reviewed by an objective review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health will make a final decision on funding projects and a notice of award will be issued. Method of awarding/releasing assistance: by letter or credit.

Reports:

Annual Program reports are required. Cash Reports are due on a quarterly basis, at minimum.. Progress reports are required quarterly. Federal Financial Reports SF425 is due quarterly and must be submitted through Grant Solutions. A final performance report is due 90 days following the end of the project period. Quarterly SF425 Federal Financial Reports must be submitted to Payment Management Services 30 days after the end of each calendar quarter. Progress reports are required quarterly for each budget period. Quarterly SF425 Federal Financial Reports must be submitted to the Office of Grants Management 30

days after the end of each calendar quarter and an annual FFR 90 days after the end of the budget period/project period end date. Reports are to be submitted electronically through GrantSolutions. Grantees are required to submit program performance reports as specified in the funding opportunity announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Project Grants) FY 16 \$19,424,704; FY 17 est \$22,552,878; and FY 18 est \$22,552,878 - American Indian/Alaska Native Health Equity Initiative \$ 1,310,449 09/2017 08/2022

Communities Addressing Childhood Trauma \$ 2,792,269 07/2016 06/2021
Empowered Communities to Achieve Health Equity Initiative \$ 5,000,000 09/2017 08/2020

National Lupus Outreach and Clinical Trial Education Program \$2,000,000 07/2017 06/2018

National Workforce Diversity Pipeline Program \$ 6,360,742 08/2015 07/2020

Partnerships to Achieve Health Equity \$ 2,327,277 07/2017 06/2022
ReEntry Community Linkages \$ 2,762,141 07/2016 06/2021.

Range and Average of Financial Assistance:

Range from \$275,000 to \$500,000.

TAFS Codes:

75-10-10-11-0117.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the application instructions found on www.grants.gov, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact: Office of Minority Health, Division of Program Operations, 1101 Wootton Parkway, Suite 600, Rockville, MD 20852. Telephone: (240) 453-8444.

Grants Management Contact: Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.minorityhealth@hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the found on www.grants.gov or can be obtained from the Program contact. Listed below are some criteria used to review applications: (1) consistency of project's goals and objectives with the Office of Minority Health's mission; (2) coherence and feasibility of methodology and activities selected to address the problem as evidenced in the proposed implementation plan; (3) strength of proposed grant organization's management capability; (4) adequacy of qualifications, experience and cultural competence of proposed personnel; and (5) strength of analysis of potential impact or innovation the project proposes to generate.

93.138 PROTECTION AND ADVOCACY FOR INDIVIDUALS WITH MENTAL ILLNESS

(PAIMI)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Protection and Advocacy for Individuals with Mental Illness Act of 1986, 42 USC 10801 et seq; Developmental Disabilities Assistance and Bill of Rights Act, as amended October 30, 2000, 42 U.S.C 6041 et seq.

OBJECTIVES:

To enable the expansion of the Protection and Advocacy system established in each State to: (1) Protect and advocate the rights of individuals with mental illness; (2) investigate incidents of abuse and neglect of individuals with mental illness if the incidents are reported to the system or if there is probable cause to believe that the incidents occurred; (3) investigate incidents of serious injury and deaths in public and private care and treatment facilities and non-medical community-based facilities for children and youth; and (4) to receive reports of all serious injuries and deaths related to incidents of seclusion and restraint in public and private care and treatment facilities in the States and Territories.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to assist in meeting the costs of planning, developing, expanding, and implementing activities to support attainment of the protection and advocacy goals. Grant funds must supplement, not supplant, nonfederal funds available in the State in which the protection and advocacy system is established. Not more than 10 percent of an allotment can be used for providing technical assistance and training for staff, and a system which is a public entity cannot be required by the State to obligate more than 5 percent of the allotment for administrative expenses.

Applicant Eligibility:

State, local, and territory government agencies, public or private organizations designated by the Governor under Part C of the Developmental Disabilities Assistance and Bill of Rights Acts as systems to protect and advocate the rights of persons with developmental disabilities in that State.

Beneficiary Eligibility:

Individuals with significant mental illness or severe emotional impairment (children) who are at risk for abuse, neglect, or civil rights violations while residing in care or treatment facilities have service priority. Persons with significant mental illness and severe emotional impairment living in the community, including their own home, may be served as determined by their state protection and advocacy systems PAIMI program funded priorities and objectives and available resources includes persons who are in the process of being admitted to a facility rendering care or treatment, persons being transported to such a facility, or persons who are involuntarily confined in a municipal detention facility, jails, or prisons.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200/45 CFR Part 75, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An annual application is required in accordance with 42 CFR Part 51.

Award Procedure:

Grants are directly awarded by the Center for Mental Health Services to the system established in the State to protect and advocate the rights of persons with developmental disabilities, as outlined in the "Developmental Disabilities Assistance and Bill of Rights Act of 2000", as amended October 30, 2000 by Public Law 106-420 (45 USC 6041 et seq.).

Deadlines:

Oct 01, 2016

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 42, Part 51, Public Law 106-420.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each allotment is available for obligation for a 24-month period; payments are made through an Electronic Transfer System. Method of awarding/releasing assistance: lump sum.

Reports:

By January 1, an annual report must be submitted in accordance with the requirements stated in the authorizing Act (Public Law 106-420) at Section 105(a) (7) and Section 114. Federal Cash Transaction Report (FCTR) is due quarterly to the Division of Payment Management. Progress reports are not applicable. Federal Financial Report (SF-425) is due 90 days after the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be retained for at least 3 years after the date of submission of required Federal Financial Reports (SF-425).

Account Identification:

75-1363-0-1-551.

Obligations:

(Formula Grants) FY 16 \$35,318,517; FY 17 est \$35,319,775; and FY 18 est \$35,319,775

Range and Average of Financial Assistance:

\$229,300 to \$3,140,635; Avg. \$619,645.

TAFS Codes:

75-1362.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 57 awards were made. Fiscal Year 2017: 57 awards will be made. Fiscal Year 2018: It is estimated 57 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1386 Subpart B (DD regulations) and 42 CFR 51 (PAIMI regulations), Notice of Proposed Rulemaking FR 59739: 64367ff, December 14, 1994, and 2

CFR 200/45 CFR Part 75 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards.

Regional or Local Office:

None.

Headquarters Office:

Odessa Crocker 5600 Fishers Lane, Rockville, Maryland 20857 Email: odessa.crocker@samhsa.hhs.gov Phone: 240-276-1078

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for allotments must fulfill statutory requirements. For information on statutory and Federal regulations requirements contact: Karen Armstrong, Division of State & Community Systems Development, Center for Mental Health Services, SAMHSA, HHS. 5600 Fisher Lane, Rockville, Maryland 20857. Tel: (240) 276-1741 or Email: karen.armstrong@samhsa.hhs.gov.

93.140 INTRAMURAL RESEARCH TRAINING AWARD (IRTA)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Health Professionals Education Partnerships Act, Title IV, Section 409, 42 U.S.C 282(b)(13).

OBJECTIVES:

The National Institutes of Health (NIH) IRTA Traineeships are established for the principal benefit of the participants and to provide opportunities for developmental training and practical research experience in a variety of disciplines related to biomedical research, medical library research, and related fields. The IRTA components are: 1) Postdoctoral IRTA - designed to provide advanced practical research experience to physicians and other doctoral researchers who are at the beginning stages of their professional research careers; 2) Predoctoral IRTA - designed to provide practical research training and experience to students, by supplementing academic course work and/or encouraging pursuit of professional careers in biomedical research to students enrolled in doctoral degree programs in biomedical sciences; 3) postbaccalaureate IRTA - designed to provide recent college graduates, particularly minorities, women, and persons with disabilities, an opportunity to postpone application to graduate or medical school and to provide a means to introduce individuals early in their careers to research, encourage their pursuit of professional careers in biomedical research, and allow additional time to pursue successful application to either graduate or medical school programs; 4) technical IRTA - designed to produce a cadre of highly trained research support professionals capable of performing the latest advanced techniques in a laboratory by developing the trainees' skills in the conduct of basic and applied research (NOTE: this program is no longer accepting new applications; and 5) student IRTA - designed to provide a developmental training experience to promising high school, undergraduate, and graduate or professional students who have expressed a strong interest in or are studying disciplines related to biomedical sciences.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Participants in the IRTA Program will be provided access to NIH facilities and equipment and assistance in their training from senior NIH researchers. Trainees may not engage in primary patient care activities, be assigned official supervisory responsibilities, or sign documents on behalf of the Government. Funding support will come from the individual National Research Institutes, Centers and Divisions (ICD) that comprise the National Institutes of Health. All funding is discretionary and subject to the availability of appropriations and

apportionment. The IRTA Program does not include financial loans.

Applicant Eligibility:

Candidates for the IRTA Program must be U.S. Citizens or Permanent Resident Aliens: 1) Postdoctoral IRTA participants must possess a Ph.D., M.D., D.D.S., D.M.D., D.V.M. or equivalent degree in biomedical, behavioral, or related sciences; or certification by a university as meeting all the requirements leading to such a doctorate; and 5 or fewer years of relevant postdoctoral experience and up to 2 additional years of experience not oriented toward research (i.e., clinical training for physicians); 2) predoctoral IRTA participants must be: a) students enrolled in Ph.D., M.D., D.D.S., D.M.D., D.V.M., or equivalent degree programs at any accredited U.S. or foreign university, which frequently involves dissertation research. The research experience is undertaken as an integral part of the student's ongoing academic preparation and is credited toward completion of degree requirements; or b) students who have been accepted into graduate or medical degree programs and who have written permission from their school to interrupt their current schooling and to return within 1 year to their degree granting programs; 3) postbaccalaureate IRTA participants are individuals who have received a bachelor's degree no more than 3 years prior to the activation date of the traineeship or a master's degree no more than 6 months prior to the activation date of the traineeship, and intend to apply to graduate or medical school in biomedical research within the next year or students who have been accepted into graduate, other doctoral, or medical degree programs and who have written permission of their school to delay entrance for up to 1 year; and 4) student IRTA participants are at least 16 years of age and are enrolled at least half-time in high school or have been accepted for or are enrolled as an undergraduate or graduate in an accredited college or university and are in good academic standing. U.S. citizens may be enrolled anywhere in the world; permanent residents must be enrolled in the U.S.

Beneficiary Eligibility:

The IRTA Program benefits the participants by combining an opportunity for study with practical work experience and valuable research training experience at the NIH.

Credentials/Documentation:

These standards are contained in the Applicant Eligibility section. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Postdoctoral applicants submit their materials directly to NIH investigators; all other applicants submit materials to the NIH Office of Intramural Training & Education via online application systems : 1) Postdoctoral IRTA applicants must submit the documents requested by the investigator, generally a CV and letters of reference; 2) predoctoral IRTA applicants must submit CV, bibliography, 3 letters of reference emphasizing research potential, statement of research goals, an official copy of undergraduate, graduate, and/or medical school transcript, and verification from the university that the applicant is in good academic standing, is enrolled in a doctoral degree program, and that the school is agreeable to the student's participation. In addition, (if applicable), they must have written permission from their institutions to interrupt their educational program including a written agreement allowing students to return to their degree program within 1 year; 3) postbaccalaureate applicants must submit CV, 3 letters of reference emphasizing potential, statement of research goals, and official copy of undergraduate school transcript; and 4) student IRTA applicants must submit a resume or CV, 2 letters of reference, official copy of school transcript, and statement of interest in biomedical research.

Award Procedure:

Awards are made by the interested Institutes or Centers based on the information provided by the applicant, the availability of NIH researchers to provide training, and the availability of financial and other resources to support the research training.

Deadlines:

Nov 15, 2017 to Mar 01, 2018: Only the Student IRTA program has a deadline. The bulk of student IRTAs are summer interns. Applications are accepted from mid-November to March 1. Applications for other IRTA positions are accepted year round.

Range of Approval/Disapproval Time:

From 60 to 90 days. Individual NIH investigators select their own trainees. There is, thus, no firm timeline for notification.

Appeals:

Not Applicable.

Renewals:

1) Postdoctoral IRTA component: Initial traineeships are for 1 or 2 years with a maximum traineeship of 5 calendar years. 2) Predoctoral IRTA component: Initial traineeships are for 1 month to 2 years with a maximum traineeship of 5 calendar years. 3) Postbaccalaureate IRTA component: Initial traineeships are for 1 year with a maximum traineeship of 3 calendar years. 4) Technical IRTA component: Initial traineeships are for 2 years with a maximum traineeship of 3 calendar years. 5) Student IRTA component: Initial traineeship is for 1 month to 1 year. The maximum traineeship period is unlimited as long as the student meets eligibility criteria.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Monthly stipend payments are made directly to awardees. See the following for information on how assistance is awarded/released: Monthly stipend payments are made directly to awardees.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not Applicable.

Account Identification:

75-0846-0-1-552.

Obligations:

(Salaries) FY 16 \$109,804,584; FY 17 est \$110,902,630; and FY 18 est \$112,011,656 - Includes awards to postdocs, graduate students, post baccalaureate, and summer students.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0846.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sharon L. Milgram, 2 Center Drive, Bethesda, Maryland 20892 Email: milgrams@od.nih.gov Phone: (301) 594-2053.

Website Address:

<https://www.training.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.142 NIEHS HAZARDOUS WASTE WORKER HEALTH AND SAFETY TRAINING

Superfund Worker Training Program (WTP)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Superfund Amendments and Reauthorization Act of 1986, as amended, Title I, Section 126, Public Law 99-499; Public Health Service Act, Section 405(b)(1)(C), as amended, Public Law 99-158.

OBJECTIVES:

To provide cooperative agreements and project grant support for the development and administration of model worker health and safety training programs consisting of classroom and practical health and safety training of workers and their supervisors, who are engaged in activities related to hazardous materials, hazardous waste generation, treatment, storage, disposal, removal, containment, transportation, or emergency response. To assist organizations in the development of institutional competency to provide appropriate training and education to hazardous waste workers.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Cooperative agreements and project grants are intended to support the direct costs of a project, in accordance with an approved budget, plus indirect costs at a pre-established rate. Both the award and use of funds are subject to applicable provisions of basic statutory authorities, appropriations acts, pertinent regulations, and operating policies of the National Institute of Environmental Health Sciences (NIEHS), the National Institutes of Health (NIH), and the Department of Health and Human Services (DHHS).

Applicant Eligibility:

A public or private nonprofit entity, including tribal governments, that provide worker health and safety education and training, may submit an application and receive a cooperative agreement or project grant for support of waste worker education and training by a named principal investigator. Recipients/grantees may use services, as appropriate, of other public or private organizations necessary to develop, administer, or evaluate proposed worker training programs, as long as the requirement for awards to nonprofit organizations is not violated. Nonprofit organizations which are incorporated under 501(c)(4) are prohibited from receiving grants.

Beneficiary Eligibility:

Any public or private entity providing worker safety and health education and training will benefit from this program.

Credentials/Documentation:

The cost principles for awards under this program are set forth in HHS regulations at 45 CFR 75, Subpart E and Appendix IX (hospitals) to Part 75. Commercial organizations are subject to the cost principles located at 48 CFR 31.2 Federal Acquisition Regulation. See the NIH Grants Policy Statement (NIH GPS) for further guidance on the applicability of cost principles (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Awards made under this program are subject to 2 CFR 200, as implemented by 45 CFR 75 Public Welfare, Uniform Administrative Requirements, Cost Principles and Audit Requirements for HHS Awards. The policies and procedures generally applicable to NIH grants are set forth in the NIH GPS (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). Application forms and instructions for their submission are available at

<http://grants.nih.gov/grants/forms.htm>. Applicants are encouraged and in some cases required to consult with NIEHS Program Officials prior to submission of an application. Detailed information about NIEHS grant programs and staff contacts can be found at

<http://www.niehs.nih.gov/research/supported/index.cfm>.

Award Procedure:

As required in HHS Regulations, 42 CFR Part 65, applications will be reviewed administratively by NIEHS staff for completeness and responsiveness to the program announcement. Applications then will be reviewed on a competitive basis for technical merit by an ad hoc review committee composed primarily of non-government members with expertise in occupational safety and health training and hazardous waste operations convened by the NIEHS. A second level of review will be conducted by the National Advisory Environmental Health Sciences Council. This second level of review will be for program relevance. The final approval of these recommendations and decisions concerning funding is made by the Director, National Institute of Environmental Health Sciences (NIEHS) or, if in conflict, by appropriate NIH staff. Formal award notices are sent to successful applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 8 to 9 months.

Appeals:

> 180 Days. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page

<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

> 180 Days. Renewal awards are subject to the same criteria as new applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Full program cooperative agreements may be awarded for up to 5 years, generally in 12-month budget periods. Funds are released primarily on the basis of an Electronic Transfer System. The Request for Application announcement is expected to be released in the summer of 2014. See the following for information on how assistance is awarded/released: Funds are released primarily on the basis of an Electronic Transfer System.

Reports:

A detailed annual cooperative agreement or project grant summary report is required with the renewal application for all program recipients/grantees. Annual and final progress reports, and financial status reports are required for all awardees. Cash reports are not applicable. A detailed annual cooperative agreement or project grant summary report is required with the renewal application for all program recipients/grantees. Annual and final progress reports, and financial status reports are required for all awardees. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 45 CFR 75, Subpart F Audit Requirements, a nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 75.503. Awards made

under this program are subject to the audit requirements of OMB 2 CFR 200, as implemented by 45 CFR 75, Subpart F, and in the NIH GPS (<http://grants.nih.gov/grants/policy/nihgps/index.htm>).

Records:

In accordance with the provisions of 45 CFR 75, Subpart D Post Federal Award Requirements, Record Retention and Access, 75.361, expenditures and other financial records must be retained for 3 years from the day on which the grantee submit the last expenditure report for the report period.

Account Identification:

75-0862-0-1-552.

Obligations:

(Cooperative Agreements) FY 16 \$35,762,223; FY 17 est \$35,878,000; and FY 18 est \$29,521,000 - Reimbursable funding from the Department of Energy has been included.

Range and Average of Financial Assistance:

Range: \$15,000 to \$2,510,288

Average: \$674,759.

TAFS Codes:

75-862.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2016: Supported the training and education of 134,000 workers engaged in activities related to hazardous materials and waste generation, removal, containment, transportation, and emergency response.

Fiscal Year 2017: WTP will continue to provide occupational safety and health education in developing and delivering high quality training to workers who are involved in handling hazardous waste or in responding to emergency releases of hazardous materials. Fiscal Year 2018: WTP will continue to provide occupational safety and health education in developing and delivering high quality training to workers who are involved in handling hazardous waste or in responding to emergency releases of hazardous materials.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 75; 45 CFR 92; NIH Guide to Grants and Contracts; NIH Grants Policy Statement October 1, 2012. Other publications, information, and applications and kits are available from the Office of Extramural Research, Outreach and Activities Resources, National Institutes of Health, Bethesda, MD 20892, and the NIEHS (MD EC-22), P.O. Box 12233, 111 Alexander Drive, Research Triangle Park, NC 27709.

Regional or Local Office:

None. Headquarters Office: Program Contact: Mr. Joseph T. Hughes, Jr., Program Director, Worker Education and Training Program, National Institute of Environmental Health Sciences, National Institutes of Health, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. Telephone: (919) 541-0217. E-mail: Hughes3@niehs.nih.gov. Grants Management Contact: Pamela B. Clark, Grants Management Specialist, Grants Management Branch, Division of Extramural Research and Training, National Institute of Environmental Health Sciences, National Institutes of Health, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. Telephone: (919) 541-7629, E-mail: evans3@niehs.nih.gov.

Headquarters Office:

Benny Encarnacion 111 TW Alexander Drive, Research Triangle Park, North Carolina 27709 Email: encarnal@niehs.nih.gov Phone: (919) 541-5147.

Website Address:

http://www.niehs.nih.gov/careers/hazmat/about_wetp/index.cfm

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Funded awardee information is available at the following website: <http://www.niehs.nih.gov/careers/hazmat/awardees/index.cfm>. Fiscal Year 2017: Funded awardee information is available at the following website: <http://www.niehs.nih.gov/careers/hazmat/awardees/index.cfm>. Fiscal Year 2018: Funded awardee information is available at the following website: <http://www.niehs.nih.gov/careers/hazmat/awardees/index.cfm>.

CRITERIA FOR SELECTING PROPOSALS:

The following factors considered for applications for full program cooperative agreements include: (1) Methods and techniques to be used for identifying, describing, and accessing target worker populations and anticipated impact of the proposed program; (2) evidence of the organization's performance and effectiveness in planning, implementing and operating appropriate worker health and safety training and education programs; (3) adequacy of the detailed program plan for curricula development, training of instructors, distribution of course materials, and direct student training; (4) technical and professional expertise of the proposed program director, present or proposed staff, and consultants; (5) methods proposed for evaluating appropriateness, quality, and effectiveness of training; (6) availability of appropriate facilities and equipment to support the described educational and training activities including hands-on instruction and demonstration; (7) evidence of the organization's past performance and effectiveness in planning, implementing, and operating training and education programs for workers; (8) the likelihood that the program will continue beyond the grant period; and (9) reasonableness of the proposed program activities.

93.143 NIEHS SUPERFUND HAZARDOUS SUBSTANCES_BASIC RESEARCH AND EDUCATION

NIEHS Superfund Research Program

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Superfund Amendments and Reauthorization Act of 1986, Title I, Section III, and Title II, Section 209, Public Law 99- 499, as amended; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended, Section 311(a), Public Law 96-510; Public Health Service Act, Section 301, Public Law 78-410, as amended; Public Law 99-500.

OBJECTIVES:

To establish a unique program linking biomedical research with engineering, geoscience and ecological research. The goals of the Program are to establish and support an innovative program of basic research and training consisting of multi-project, interdisciplinary efforts; individual investigator projects; research education program grants; and Small Business Innovative Research grants. Areas of research may include: (1) advanced techniques for the detection, assessment, and evaluation of the effect on human health of hazardous substances; (2) methods to assess the risks to human health presented by hazardous substances; (3) methods and technologies to detect hazardous substances in the environment; and (4) basic biological, chemical, and physical methods to reduce the amount and toxicity of hazardous substances. It is intended that advanced or graduate training be integrated into the multi-project research program to provide opportunities in biomedical and environmental health, environmental sciences, ecology, and geosciences. The Program encourages the integration of interdisciplinary training to ensure that its students are prepared to tackle the complex issues presented by hazardous waste sites.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research grants are intended to support the direct costs of a project, in accordance with an approved budget, plus an appropriate amount for indirect costs. Grants made under this program are for university-based programs, and the objective is to establish and maintain coordinated research efforts that link basic biomedical research with related engineering, hydrogeological and ecological studies. In addition, the Superfund Research Program supports small business research through the Small Business Innovative Research (SBIR) program. The award and use of funds are subject to applicable provisions of basic statutory authorities, appropriations acts, pertinent regulations, and operating policies of the National Institute of Environmental Health Science (NIEHS), the National Institutes of Health (NIH), and the Department of Health and Human Services (DHHS).

Applicant Eligibility:

An accredited institution of higher education, as defined in the Higher Education Act, 20 U.S.C. (annotated) 3381, may submit an application and receive a grant for support of research by a named principal investigator.

Subcontracts may be made with public and private organizations, including: generators of hazardous wastes; persons involved in the detection, assessment, evaluation, and treatment of hazardous substances; owners and operators of facilities at which hazardous substances are located; and State, local and Tribal governments. Nonprofit organizations which are incorporated under 501(c)(4) are prohibited from receiving grants. Organizations applying for a grant under the SBIR/STTR programs must qualify as a U.S.-owned Small Business Concern (SBC).

Beneficiary Eligibility:

Any accredited institution of higher education engaged in biomedical research and/or engineering and ecological research. SBIR awards are restricted to small business that meet NIH's criteria for SBC. Tribal entities that meet these requirements are eligible to apply.

Credentials/Documentation:

The cost principles for awards under this program are set forth in HHS regulations at 45 CFR 75, Subpart E and Appendix IX (hospitals) to Part 75. Commercial organizations are subject to the cost principles located at 48 CFR 31.2 Federal Acquisition Regulation. See the NIH Grants Policy Statement (NIH GPS) for further guidance on the applicability of cost principals (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is subject to the provisions of 45 CFR 75. Prospective applicants are asked to submit a letter of intent. Although it is not required, is not binding, and does not enter into the review of a subsequent application, the information that it contains allows IC staff to estimate the potential review workload and plan the review. It is due one month prior to the receipt of the applications. Applications for the Superfund Research Program are accepted in response to funding opportunity announcements (FOA) which are posted on National Institute of Environmental Health Sciences website (<http://www.niehs.nih.gov/research/supported/srp/funding/index.cfm>). Applications submitted in response to the multi-project FOA must be submitted electronically. NIHs Application Submission System & Interface for Submission Tracking (ASSIST) is available for the electronic preparation and submission of multi-project applications through <https://public.era.nih.gov/assist>. Application instructions are available at <https://grants.nih.gov/grants/how-to-apply-application-guide.html> in an interactive format. Applications submitted in response to an individual investigator grant or research education program grant FOAs must be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 Research and Related (R&R) forms and the SF424 (R&R) Application Guide. SBIR grant applications are accepted in response to the NIH Omnibus solicitation using the SF424 electronic application process (<http://www.grants.gov>). The SBIR/STTR omnibus grant solicitation is released in early spring of each year and has 3 receipt dates: April 5, September 5 and January 5.

Award Procedure:

Grants are awarded on the basis of a dual review by peer groups of all applications. The first level of review is by a Special Emphasis Panel of peers for scientific merit. The National Advisory Environmental Health Sciences Council provides a secondary level of review for all applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 9 to 12 months.

Appeals:

> 180 Days. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page
<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

> 180 Days. Renewal requests are subject to the same criteria as new applications.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Research Grants for the multi-project, individual investigator, research education program may be awarded for up to 5 years, generally in 12-month budget periods. Small Business Innovative Research grants may be awarded for up to 1 year for Phase I grants and up to 2 years for Phase II grants. See the following for information on how assistance is awarded/released: Funds are released primarily on the basis of an Electronic Transfer System.

Reports:

No program reports are required. No cash reports are required. Annual and final progress reports, a final invention statement and financial status reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Awards made under this program are subject to the audit requirements of OMB 2 CFR 200, as implemented by 45 CFR 75, Subpart F, and in the NIH GPS (<http://grants.nih.gov/grants/policy/nihgps/index.htm>).

Records:

In accordance with the provisions of 45 CFR 75, Subpart D Post Federal Award Requirements, Record Retention and Access, 75.361, expenditures and other financial records must be retained for 3 years from the day on which the grantee submit the last expenditure report for the report period.

Account Identification:

75-0862-0-1-552.

Obligations:

(Project Grants) FY 16 \$46,546,000; FY 17 est \$46,385,557; and FY 18 est \$35,592,000

Range and Average of Financial Assistance:

Range: \$6,300 to \$2,956,370
Average: \$816,596.

TAFS Codes:

75-0862.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, the Superfund Research Program continued to support research and make advances in the areas germane to its legislative mandates: advanced techniques for the detection, assessment, and evaluation of the effect on human health of hazardous substances; methods to assess the risks to human health presented by hazardous substances; methods and technologies to detect hazardous substances in the environment; and basic biological, chemical, and physical methods to reduce the amount and toxicity of hazardous substances. Fiscal Year 2017: In Fiscal Year 2017, the Superfund Research Program will continue to support large, multi-project center grants, individual investigator grants, research education program grants, and Small Business Innovative Research (SBIR) grant program in order to advance research in accordance with the mandates specified within the Superfund Amendments and Reauthorization Act (SARA) of 1986. Fiscal Year 2018: In Fiscal Year 2018,

the Superfund Research Program will continue to support large, multi-project center grants, individual investigator grants, research education program grants, and Small Business Innovative Research (SBIR) grant programs in order to advance research in accordance with the mandates specified in with the Superfund Amendments and Reauthorization Act (SARA) of 1986.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 75; 45 CFR 92; NIH Guide to Grants and Contracts; NIH GPS, <http://grants.nih.gov/grants/policy/nihgps/index.htm>. Other publications, information, and applications and kits are available from the Office of Extramural Research, Outreach and Activities Resources, National Institutes of Health, Bethesda, MD 20892, and the NIEHS, P.O. Box 12233, 111 Alexander Drive, Research Triangle Park, NC 27709

Regional or Local Office:

None. Program Contact: Dr. William Suk, Director, Superfund Hazardous Substance Basic Research and Training Program, Division of Extramural Research and Training, National Institute of Environmental Health Sciences, National Institutes of Health, Public Health Service, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. E-mail suk@niehs.nih.gov, Telephone: (919) 541-0797. Grants Management Contact: Mr. George Tucker, Chief, Grants Management Officer, Grants Management Branch, e-mail: george.tucker@nih.gov. Telephone: (919) 541-2749.

Headquarters Office:

Benny Encarnacion 111 TW Alexander Drive, Research Triangle Park, North Carolina 27709 Email: encarna1@niehs.nih.gov Phone: (919) 541-5147.

Website Address:

<http://www-apps.niehs.nih.gov/sbrp/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: A detailed listing and description of NIEHS Superfund Research Program funded projects can be found at <https://tools.niehs.nih.gov/srp/programs/index.cfm>. Fiscal Year 2017: A detailed listing and description of NIEHS Superfund Research Program funded projects can be found at <https://tools.niehs.nih.gov/srp/programs/index.cfm>. Fiscal Year 2018: A detailed listing and description of NIEHS Superfund Research Program funded projects can be found at <https://tools.niehs.nih.gov/srp/programs/index.cfm>.

CRITERIA FOR SELECTING PROPOSALS:

Major factors considered in the evaluation of responsive applications include: (1) Scientific merit of each proposed project, including the novelty, originality, and feasibility of the approach and the adequacy of the experimental design; (2) technical merit and justification of each core unit; (3) competence of the investigators to accomplish the proposed research goals, their commitments, and the amount of time they will devote to the program; (4) scope of the overall effort in relation to the objective of the program to create unique interdisciplinary programs to eventually include, not only biomedical components, but also engineering, ecological and/or hydrogenological components; (5) adequacy of the facilities to perform the proposed research; (6) integration of the various projects and core units into an effective program and plans for interactions among investigators; (7) adequacy and commitment of institutional resources to administer an integrated, collaborative program; (8) appropriateness of the budget for the proposed program; (9) relevance of proposed research to problems associated with hazardous waste substances; and (10) progress of program to date for completing renewal applications.

**93.145 HIV-RELATED TRAINING AND TECHNICAL ASSISTANCE
FEDERAL AGENCY:**

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title XXVI, Sections 2606, 2654, and 2692, of the Public Health Service Act (42 U.S.C. 300ff-16, 54, and 111), as amended by the Ryan White HIV/AIDS Treatment Extension Act of 2009 (Public Law 111-87) and Section 311(c) of the Public Health Service Act, 42 USC 243(c).

OBJECTIVES:

National Training and Technical Assistance Cooperative Agreements:
The purpose of this funding is to: (1) Assess Ryan White HIV/AIDS Program (RWHAP) recipients' technical assistance needs and/or provide technical assistance related to building capacity and increasing their ability to provide high quality HIV care and treatment services along the HIV care continuum; (2) Assess, develop materials, and disseminate strategies and tools related to the RWHAP recipients' technical assistance needs for program development and operations; (3) Provide technical assistance in the collection, storage and retrieval of data for program reporting, evaluation, and program improvement purposes; and (4) Work with HHS agencies and national organization partners to develop strategies, tools, and trainings for RWHAP recipients.

AIDS Education and Training Center:Program

The purpose of this funding is to: (1) Train health personnel, including practitioners in programs under this title and other community providers, in the diagnosis, treatment, and prevention of HIV disease, including the prevention of the perinatal transmission of the disease, including measures for the prevention and treatment of opportunistic infections, and including (as applicable to the type of health professional involved), prenatal and other gynecological care for women with HIV; (2) Train the faculty of schools of, and graduate departments or programs of, medicine, nursing, pharmacy, dentistry, public health, allied health, and mental health practice to teach health professions students to provide for the health care needs of individuals living with HIV; (3) Develop and disseminate curricula and resource materials relating to the care and treatment of individuals living with HIV and the prevention among individuals who are at risk of contracting HIV; and (4) Develop protocols for the medical care of women living with HIV, including prenatal and other gynecological care for such women.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Cooperative Agreements or grants will be awarded for the provision of training and technical assistance to build the capacity of RWHAP recipients and related providers, as per the specific program notices of funding opportunity.

Applicant Eligibility:

Entities eligible to apply include public and nonprofit entities (including faith-based and community based organizations) and school and academic health science centers involved in addressing HIV related issues at a national level. Federally Recognized Indian Tribal Government and Native American Organizations are eligible to apply.

Beneficiary Eligibility:

Persons living with HIV.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the recommendations of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately nine months.

Appeals:

Not Applicable.

Renewals:

Competitive awards are typically made for up to 3 to 5 years, subject to the availability of funds. After initial awards, annual noncompetitive awards may be made contingent upon the submission of noncompetitive applications/progress reports and availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are typically awarded for a 3 to 5 year project period with 12-month budget periods. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Please refer to the notice of funding opportunity and Notice of Award for any applicable program data and fiscal reports. Annual program progress reports are required. No cash reports are required. Recipients must submit a Federal Financial Report (FFR) or SF 425 within 90 days after the end of each budget period. A final FFR is required 90 days following the end of the project period. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain financial records for 3 years after the date they submit the final Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 est \$500,000 - Getting to Zero in RWHAP Part A Jurisdictions. (Cooperative Agreements) FY 16 Not Available; FY 17 est \$500,000; and FY 18 est \$500,000 - Planning Council/Body Support Technical Assistance. (Cooperative Agreements) FY 16 \$427,500; FY 17 est \$500,000; and FY 18 est \$500,000 - AIDS Drug Assistance Program Technical Assistance. (Cooperative Agreements) FY 16 \$1,000,000; FY 17 est \$1,000,000; and FY 18 est \$1,000,000 - RWHAP Building Care and Prevention Capacity: Addressing the HIV Care Continuum in Southern Metropolitan Areas (Secretary's Minority AIDS Initiative Fund or SMAIF). (Cooperative Agreements) FY 16 Not Available; FY 17 est \$1,350,000; and FY 18 est \$1,350,000 - National Training and Technical Assistance Programs for Resource Dissemination, Improved Data and Organizational Development. (Cooperative Agreements) FY 16 \$852,898; FY 17 est \$853,837; and FY 18 est \$854,000 - Leadership Training for People of Color Living with HIV. (Cooperative Agreements) FY 16 Not Available; FY 17 est \$1,500,000; and FY

18 est \$1,500,000 - Implementation Center for HIV CQI. (Cooperative Agreements) FY 16 \$1,771,700; FY 17 Estimate Not Available; and FY 18 est \$0 - National Training and Technical Assistance. (Cooperative Agreements) FY 16 \$852,898; FY 17 est \$853,837; and FY 18 est \$854,000 - Leadership Training for People of Color Living with HIV (SMAIF). (Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Building RWHAP Recipient Capacity to Engage PLWH in Health Care Access. (Cooperative Agreements) FY 16 \$900,000; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - BLACK MSM. (Cooperative Agreements) FY 16 \$30,798,337; FY 17 est \$31,000,000; and FY 18 est \$0 - AIDS Education and Training Centers. (Cooperative Agreements) FY 16 \$495,073; FY 17 est \$497,060; and FY 18 est \$0 - Strengthening & Supporting HIV Care Continuum, Part A Jurisdictions. (Cooperative Agreements) FY 16 Not Available; FY 17 est \$5,325,000; and FY 18 est \$5,325,000 - Using Evidence Informed Interventions to Improve Health Outcomes among PLWH Technical Assistance Center. (Cooperative Agreements) FY 16 \$0; FY 17 est \$550,000; and FY 18 est \$550,000 - Using Evidence Informed Interventions to Improve Health Outcomes among PLWH Evaluation Center. (Cooperative Agreements) FY 16 \$2,185,000; FY 17 est \$0; and FY 18 est \$0 - National Quality Improvement Center. (Cooperative Agreements) FY 16 \$500,000; FY 17 est \$500,000; and FY 18 est \$0 - Ryan White HIV/AIDS Program Integrated HIV Planning Implementation.

Range and Average of Financial Assistance:

AETC: \$142,500 to \$4,250,000; Average \$2,196,250
National Training & TA: \$1,771,700; Average \$354,500
ADAP Technical Assistance - \$427,500 to \$500,000; Average \$463,700
Black Men who Have Sex with Men (MSM)- Range and Average \$900,000
National Quality Improvement Center- Range and Average \$2,185,000
Leadership Training for People of Color Living with HIV - Range and Average \$852,898
Building RWHAP Recipient Capacity to Engage PLWH in Health Care Access Range and Average \$1,400,000
Strengthening and Supporting the HIV Care Continuum with RWHAP Part A Jurisdictions - \$441,830 to \$500,000; Average \$470,915
Planning Council/Body Support Technical Assistance: Range and Average \$500,000
Getting to Zero in RWHAP Part A Jurisdictions: Range and Average \$500,000
RWHAP Building Care and Prevention Capacity: Addressing the HIV Care Continuum in Southern Metropolitan Areas (SMAIF): Range and Average \$1,000,000
Leadership Training for People of Color Living with HIV (SMAIF): \$852,898 to \$854,000; Average \$853,578
RWHAP Integrated HIV Planning Implementation \$500,000.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All HRSA awards are subject to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements at 45 CFR part 75.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. National Technical Assistance Cooperative Agreements: Stacey Cohen 5600 Fishers Lane, Room 9N-160, Rockville, Maryland 20857, Phone: 301-443-3259
AETC: Harold Phillips 5600 Fishers Lane, Room 9N-114, Rockville, Maryland 20857, Phone: 301 443-8109.

Headquarters Office:

AETC: Harold Phillips 5600 Fishers Lane, Room 9N-114

, Rockville, Maryland 20857 Phone: (301) 443-8109

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth; 93.914 HIV Emergency Relief Project Grants; 93.917 HIV Care Formula Grants; 93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Fiscal Year 2016: AIDS Education Training Centers (AETC) Programs: Regional AETCs Cooperative Agreements; AETC National Clinicians Consultation Center Cooperative Agreement; AETC National Coordinating Resource Center Cooperative Agreement.

Technical Assistance Projects: National TA Cooperative Agreement; ADAP Technical Assistance Cooperative Agreement; National Quality Improvement Center; RWHAP Integrated HIV Planning Implementation; Building RWHAP Recipient Capacity to Engage PLWH in Health Care Access; Leadership Training for People of Color Living with HIV (SMAIF); Strengthening & Supporting HIV Care Continuum, Part A Jurisdictions (SMAIF). Fiscal Year 2017: Fiscal Year 2017: RWHAP Part A Planning Council/Body Support Technical Assistance; National Technical Assistance Cooperative Agreement Using Evidence Informed Interventions to Improve Health Outcomes among PLWH; Implementation Center for HIV Continuous Quality Improvement. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation criteria vary based on the grant/cooperative agreement program. Refer to criteria included in the notice of funding opportunity for each specific program.

93.150 PROJECTS FOR ASSISTANCE IN TRANSITION FROM HOMELESSNESS (PATH)

(PATH)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title V, Part C, Section 521, as amended, 42 U.S.C 290cc-21 et seq; Stewart B. McKinney Homeless Assistance Amendments Act of 1990, Public Law 101-645.

OBJECTIVES:

To provide financial assistance to States to support services for individuals who are suffering from serious mental illness or serious mental illness and substance abuse; and are homeless or at imminent risk of becoming homeless. Programs and activities include: (1) Outreach services; (2) screening and diagnostic treatment services; (3) habilitation and rehabilitation services; (4) community mental health services; (5) alcohol or drug treatment services; (6) staff training; (7) case management services; (8) supportive and supervisory services in residential settings; (9) referrals for primary health services, job training, educational services, and relevant housing services; and (10) prescribed set of housing services.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used at the discretion of the State to achieve the described objectives except that funds cannot be used: (1) To support emergency shelters or construction of housing facilities; (2) for inpatient psychiatric or substance abuse treatment costs; or (3) to make cash payments to intended recipients of mental health or substance abuse services. Not more than 20 percent of the payment may be expended for housing services; not more than 4 percent of the total allocation may be expended for administrative expenses. The applicants must agree that the payments will be expended solely for making grants to political subdivisions of the State, and to nonprofit private entities (including community-based veterans organizations and other community organizations) for the purpose of providing the services.

Applicant Eligibility:

States, District of Columbia, Guam, American Samoa, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the Virgin Islands.

Beneficiary Eligibility:

Individuals who have a serious mental illness or serious mental illness and substance abuse; and are homeless or are at imminent risk of becoming homeless.

Credentials/Documentation:

This program is subject to 2 CFR 200/45 CFR Part 75 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

There is no preapplication requirement. This program is not subject to the intergovernmental review requirements of E.O. 12372, as implemented through DHHS regulations at 45 CFR 100. However, individual States may require, or an applicant State mental health agency may want to implement, coordination procedures similar to those specified in E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Chief Executive Officer of a State or Territory must apply annually for an allotment.

Applications include a completed application form and a set of assurances.

Award Procedure:

Applications are reviewed for completeness and for compliance with legislative requirements. Grant awards are issued directly by the Center for Mental Health Services, Substance Abuse and Mental Health Services Administration to the designated State agency.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 8 weeks.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 45, Part 92, Public Law 101-645.

Matching Requirements: The formula is cited in Section 524 of the Public Health Service Act, as amended by Public Law 101-645. The formula allots funds on the basis of the population living in urbanized areas of the State, compared to the population living in urbanized areas of the entire United States except that no State receives less than \$300,000 (\$50,000 for Territories). States must agree to make available, directly or through donations from public or private entities, nonfederal contributions equal to not less than \$1 (in cash or in kind) for each \$3 of Federal funds provided in such grant. Territories have no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Each allotment is available for obligation for the award period (1-year); payments are made through an Electronic Transfer System. Method of awarding/releasing assistance: lump sum.

Reports:

The State involved agrees that by not later than January 31 of each fiscal year, the State will prepare and submit to the Secretary of Health and Human Services a report on its activities necessary for securing a record and a description of the purposes for which funds received under Section 521 were spent and the recipients of such funds, and for determining whether such amounts were expended in accordance with the provisions of the legislation. Federal Cash Transaction Report (FCTR) is due quarterly to the Division of Payment Management. Progress reports are not applicable. Federal Financial Report (SF-425) is due 90 days after the end of the project period. Performance

monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it.

Account Identification:

75-1363-0-1-551.

Obligations:

(Formula Grants) FY 16 \$61,626,502; FY 17 est \$61,578,634; and FY 18 est \$61,626,502

Range and Average of Financial Assistance:

\$50,000 to \$8,810,772; Avg. \$1,099,618.

TAFS Codes:

75-1362.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 56 awards were made. Fiscal Year 2017: 56 awards will be made. Fiscal Year 2018: It is estimated 56 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200/45 CFR Part 75 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards

Regional or Local Office:

None.

Headquarters Office:

Odessa Crocker 5600 Fishers Lane, Rockville, Maryland 20857 Email: odessa.crocker@samhsa.hhs.gov Phone: 240-276-1078

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must fulfill all statutory and regulatory requirements. For information on statutory and Federal regulations requirements contact: Jamie Marshall, Homeless Programs Branch, Center for Mental Health Services, SAMHSA, HHS, 1 Choke Cherry Road, Rockville, MD 20857, Tel: (240) 276 2557 or Email: jayne.marshall@samhsa.hhs.gov.

93.153 COORDINATED SERVICES AND ACCESS TO RESEARCH FOR WOMEN, INFANTS, CHILDREN, AND YOUTH

Ryan White HIV/AIDS Program (RWHP) Part D Women, Infants, Children and Youth (WICY) Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Sections 2671 and 2693 of Title XXVI of the Public Health Service Act, (42 U.S.C. 300ff-71 and 300ff-111), as amended by the Ryan White HIV/AIDS Treatment Extension Act of 2009 (Public Law 111-87).

OBJECTIVES:

The purpose of this program is to provide family-centered care in the outpatient or ambulatory care setting to low income, uninsured, underinsured, and medically underserved women (25 years and older) living with HIV, infants (up to two years of age) exposed to or living with HIV, children (ages two to 12) living with HIV, and youth (ages 13 to 24) living with HIV.

The purpose of the Part D funding is to strengthen organizational infrastructure to respond to the changing health care landscape and to increase capacity to develop, enhance, or expand access to high quality family-centered care services for low income, uninsured, underinsured, and underserved women, infants, children, and youth (WICY) living with HIV.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

All funds must be expended solely for carrying out the approved project in accordance with the notice of funding opportunity, the terms and conditions of the award, and the applicable cost principles. No more than 10 percent of total grant funds may be used for administrative costs. All indirect costs count toward this 10 percent limit. Funds may not be used for purchase, construction, or major alterations or renovations on any building or other facility; purchase or improvement of land; payments for clinical research; payments for nursing home care; cash payments to intended recipients of RWHAP services; research; foreign travel; to directly provide housing or health care services (e.g., HIV care, counseling and testing) that duplicate existing services; or for any item or service to the extent that payment has been made, or reasonably can be expected to be made, with respect to that item or service under any State compensation program, insurance policy, Federal or State health benefits program or by an entity that provides health services on a prepaid basis (except for a program administered by or providing the services of the Indian Health Services). Funds may also not be used towards PrEP or nPEP medications or medical services. As outlined in the June 22, 2016 RWHAP and PrEP program letter, the RWHAP legislation provides grant funds to be used for the care and treatment of PLWH, thus prohibiting the use of RWHAP funds for PrEP medications or related medical services, such as physician visits and laboratory costs. However, RWHAP Part D funds can be used toward psychosocial support services, a component of family-centered care, which may include counseling and testing and information on PrEP to eligible clients partners and affected family members, within the context of a comprehensive PrEP program. Additionally, the purchase of sterile needles or syringes for the purposes of hypodermic injection of any illegal drug is not allowable. Some aspects of syringe services programs are allowable with HRSA's prior approval and in compliance with HHS and HRSA policy (see: <https://www.aids.gov/federal-resources/policies/syringe-services-programs/>).

Applicant Eligibility:

Public and nonprofit private entities (including a health facility operated by or pursuant to a contract with the Indian Health Service, and faith-based and Tribes/Tribal organizations) that provide family-centered care involving outpatient or ambulatory care (directly or through contracts or memoranda of understanding (MOUs)) for WICY living with HIV/AIDS.

Beneficiary Eligibility:

Women, infants, children, and youth living with HIV and their affected family members.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the recommendations of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are typically awarded for up to a 3 year period of performance. Continued support, beyond the first year, is contingent upon satisfactory performance and the availability of Federal funds. Supplemental grants may be funded for up to 1 year. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Please refer to the notice of funding opportunity and Notice of Award for any applicable program, data, and fiscal reports. The recipient must submit the annual RWHAP Services Report due the last Monday in March each year, covering the just-ended Jan. 1 - Dec. 31 calendar year. Cash reports are not applicable. Recipients must also submit a RWHAP Allocations Report, 60 days after the start of the budget period. A RWHAP Expenditures Report is due 90 days after the end of the budget period (OMB 0915-0318). Recipients must submit a Federal Financial Report or SF 425 within 90 days after the end of each budget period. Additionally, each recipient must submit a budget period renewal progress report each year prior to the end of the project period. A final Federal Financial Report must be submitted 90 days after the end of the project period. Cash reports are not applicable. Recipients must also submit a Ryan White HIV/AIDS Program Allocations Report, 60 days after the start of the budget period. A Ryan White HIV/AIDS Program Expenditures Report is due 90 days after the end of the budget period (OMB 0915-0318). Recipients must submit a Federal Financial Report or SF 425 within 90 days after the end of each budget period. Additionally, each recipient must submit a budget period renewal progress report each year prior to the end of the project period. A final Federal Financial Report must be submitted 90 days after the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain financial records for 3 years after the date they submit the final Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before

the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$63,903,447; FY 17 est \$68,900,000; and FY 18 est \$68,900,000 - WICY. (Project Grants) FY 16 \$2,717,295; FY 17 est \$2,250,000; and FY 18 est \$2,250,000 - Supplemental.

Range and Average of Financial Assistance:

\$94,829 to \$2,143,182; Average \$555,682.
Supplemental grants are limited to \$150,000.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All HRSA awards are subject to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements at 45 CFR part 75.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Monique G. Hitch 5600 Fishers Lane, Room 9N18, Rockville, Maryland 20857 Phone: (301) 443-3944

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 93.917 HIV Care Formula Grants; 93.994 Maternal and Child Health Services Block Grant to the States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Eligible applicants are reviewed by an objective review committee based on the criteria detailed in the notice of funding opportunity.

93.155 RURAL HEALTH RESEARCH CENTERS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 123 of P.L. 110-275, the Medicare Improvements for Patients and Providers Act of 2008 (MIPPA); Section 711 (b) of the Social Security Act (42 U.S.C. 912(b)) as amended by section 432 of the Medicare Prescription Drug Improvement and Modernization Act of 2003.

Social Security Act, Title VII, 711 (42 U.S.C. 912), as amended.

OBJECTIVES:

The Rural Health Research Center and Telehealth Focused Rural Health Research Center programs are designed to increase the amount of publically available, high quality, impartial, policy-relevant research to assist decision makers at the federal, state and local levels to better understand the challenges faced by rural communities and providers. The research conducted by these research centers provides information that will improve access to health care and population health.

The Rapid Response Rural Data Analysis and Issue Specific Rural Research

Studies awardee assists rural communities with conducting rapid data analyses and short term research studies to understand the impact of current and proposed policies and regulations as well as to provide information that will improve access to health care and population health in rural America. Due to the nature of rural policy analysis and formulation, rural organizations and health care providers often require timely information that is available only through specialized analysis of databases of information compiled by the Centers for Medicare and Medicaid (CMS), and other federal and state agencies or provider organizations. Most rural groups and individuals do not have the capacity to store the data sets, the staff expertise to refine and analyze the data, nor the technology necessary to run statistical analyses. Findings from these analyses are used to help inform rural health care providers and stakeholders that set policies impacting rural communities.

The Rural Health Research Dissemination Program awardee disseminates and markets policy-oriented information for use by diverse audiences, such as rural stakeholders at national, state, and community levels and policy decision-makers, to inform and raise awareness of issues regarding policy implications, access, quality and status of health care delivery, services, and management on behalf of rural communities. The information includes the body of research funded by the Federal Office of Rural Health Policy and is designed to help decision-makers and policy analysts concerned with a variety of rural health issues at national, state, and community levels better understand the problems rural communities face in assuring access to health care and promoting good health for their members.

The Rural Policy Analysis Program, another research-related program within FORHP, supports research and analysis of key policy issues affecting rural communities to inform rural policy makers. Funded projects focus on the changing rural environment and (1) facilitate public dialogue on key rural policy issues by tracking emerging rural health and human services policy issues; (2) identify opportunities for integrating health and human services in rural policy, program, and evaluation in a local community context; (3) identify opportunities to demonstrate broad community impact of policies and programs in rural health and human services, consistent with policy goals related to rural revitalization and sustainability of rural regions; and (4) provide analysis of policies and regulations in the form of policy briefs, reports, webinars, and oral presentations.

The purpose of the Rural Health Value Program (formally called the Rural Health Systems Analysis and Technical Assistance Program) is to inform rural health care providers, and stakeholders (such as HHS, Congress, states, and for-profit and nonprofit entities that set policies impacting rural health care providers) about the impacts of changes in the health care delivery system, and to provide technical assistance to rural providers in identifying new approaches to health care delivery in their communities.

The Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement and Information Services to Rural Hospital Flexibility Program Awardees provide technical assistance, support, monitoring and evaluation to the State Rural Hospital Flexibility Program awardees and Critical Access Hospitals.

The purpose of the National Rural Health Best Practices and Community Development Program is to develop and maintain projects that will help support rural communities through a broad range of programmatic and policy activities, including: identifying national policy issues and promising practices for rural health care providers; identifying and translating key points from emerging policy issues to rural health care providers, researchers and policymakers; providing a forum for rural medical educators and students to share lessons learned to address recruitment and retention challenges, and expanding public awareness of the importance of the economic impact of the health care sector.

The State Rural Health Coordination and Development Cooperative Agreement (SRHCD-CA) enhances the rural health infrastructure in each state by providing guidance and technical assistance to state Offices of Rural Health (SORHs) as well as their partners and to identify and promote best practices. The goals of the SRHCD-CA are 1) to assist in the coordination of health care delivery through the development of state level rural health leadership; and 2)

to facilitate partnerships and collaboration at the national and state levels to improve the exchange of information and engage in collaborative activities for supporting rural health.

The Rural Quality Improvement Technical Assistance Cooperative Agreement provides technical assistance to Federal Office of Rural Health Policy (FORHP) awardees, Critical Access Hospitals (CAHs), and other rural providers, to assist them in demonstrating improved quality improvement results. Assistance will be provided in the areas of: data collection and analysis, understanding measure specifications, benchmarking and target-setting, developing and implementing efficient and effective improvement strategies, and tracking the outcomes of quality improvement efforts.

The Frontier Community Health Integration Program (FCHIP) Technical Assistance, Tracking, and Analysis program provides technical assistance, site implementation assistance, and other tracking and analytic activities to support providers participating in the FCHIP Demonstration. Activities are in support of identifying potential new approaches to health care delivery, reimbursement, and coordination in sparsely populated areas.

The Rural Health Clinic Technical Assistance Cooperative Agreement provides technical assistance to rural health clinics (RHCs) and disseminates information regarding RHC issues such as Medicare survey and certification, billing and payment, quality improvement, best practices, disease management, and applicable regulatory issues. The program provides technical assistance calls or webinars, and an electronic mailing list (listserv) for RHC staff that serves as a resource for RHCs needing assistance to understand and comply with applicable regulations.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

All funds awarded should be expended solely for carrying out approved projects in accordance with the intent of the cooperative agreement as stipulated in the notice of award.

Applicant Eligibility:

The Rural Health Research Center and Telehealth Focused Rural Health Research Center cooperative agreements are open to domestic public, for-profit, and non-profit entities. Institutions of higher education, faith-based and community based organizations, Tribes, and tribal organizations are eligible to apply.

The Frontier Community Health Integration Project Technical Assistance, Tracking and Analysis Program is open to all public, private, and nonprofit organizations, including faith-based and community organizations, as well as federally-recognized tribal governments and organizations.

The Rural Health Research Dissemination cooperative agreement is open to all domestic public and private entities, nonprofit and for-profit. Eligible entities may include, but are not limited to, public and private institutions for higher education, public and private health research organizations, foundations, tribes and tribal organizations, and faith based entities.

Rural Policy Analysis award eligibility is open to public, private, and nonprofit organizations including faith-based and community organizations, state governments and their agencies such as universities, colleges, research institutions, hospitals, and local governments or their bona fide agents. Federally recognized tribal governments, tribes, and tribal organizations are also eligible. Please see individual grant FOA at www.grants.gov for program-specific eligibility.

Eligible applicants for the National Rural Health Best Practices and Community Development Program include public, private and nonprofit organizations including faith-based and community organizations, state governments and

their agencies such as universities, colleges, research institutions, hospitals, and local governments or their bona fide agents. Federally recognized tribal governments, tribes, and tribal organizations are also eligible.

The Rapid Response Rural Data Analysis and Issue Specific Rural Research Studies cooperative agreement is open to all domestic public and private entities, nonprofit and for-profit. Eligible entities may include, but are not limited to, public and private institutions for higher education, public and private health research organizations, foundations, tribes and tribal organizations, and faith based entities.

National Rural Health Policy and Community Development Program eligibility is open to public, private and non-profit organizations, including faith-based and community-based organizations. Please see individual grant FOA at www.grants.gov for program-specific eligibility.

Rural Health Value Cooperative Agreement Program eligibility is open to public, private, and nonprofit organizations, including faith-based and community organizations, as well as federally-recognized tribal governments and organizations. Please see individual grant FOA at www.grants.gov for program-specific eligibility.

Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement: Eligible applicants include public and private nonprofit entities. Faith-based and community organizations are eligible to apply for this cooperative agreement. Federally-recognized tribal government and Native American Organizations are eligible to apply.

Information Services to Rural Hospital Flexibility Program: Any public or private entity is eligible to apply for this Federal funding opportunity. Applicant organizations that are federally-recognized Native American tribes or tribal organizations are eligible to apply. Faith-based and community-based organizations are eligible to apply for this cooperative agreement.

The State Rural Health Coordination and Development Cooperative Agreement (SRHCD-CA) applicant is an organization that is national in scope with experience providing coordination and development to enhance the rural health infrastructure in each of the 50 states. Federally-recognized tribal Government and Native American Organizations are eligible to apply.

Rural Quality Improvement Technical Assistance Cooperative Agreement: Eligible applicants include domestic public, private, and nonprofit organizations, including tribes and tribal organizations, and faith-based and community-based organizations.

The Rural Health Clinic Technical Assistance Cooperative Agreement: Eligible applicants include domestic public, private, for-profit and nonprofit organizations, as well as federally-recognized tribal governments and organizations.

Beneficiary Eligibility:

The entities that will benefit from this program are health care personnel, health research personnel, policy makers, and the general public.

Underserved populations in rural areas; facilities and services in rural areas

States with at least one hospital located in a non-metropolitan statistical area or county and provides CMS with necessary assurances.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under these CFDA programs for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point

of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Research Center awards may be made for up to 4-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

The Research Dissemination award may be made for up to 4-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

A Rural Policy Analysis award may be made for up to a 4-year project period. Subject to availability of funds, after initial awards, projects may be renewed non-competitively, contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

The Rapid Response Rural Data Analysis and Issue Specific Rural Research Studies award may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

The Frontier Community Health Integration Project Technical Assistance, Tracking and Analysis Program award may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

National Rural Health Best Practices and Community Development Program award is for up to a 5-year project period. After an initial award, renewal is non-competitive, contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

National Rural Health Policy and Community Development Program award may be made for up to 5-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated

funds, and awardee's satisfactory performance.

The Rural Health Value Cooperative Agreement Award may be made for up to a 3-year project period. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement awards may be made for up to 5-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardees satisfactory performance.

Information Services to Rural Hospital Flexibility Program Awardees- awards may be made for up to 5-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardees satisfactory performance.

The State Rural Health Coordination and Development Cooperative Agreement (SRHCD-CA) awardees may request funding beyond an initial project period by submitting a competing continuation application during a regular competing application cycle. All competitive cycles are contingent upon availability of funds for such purposes.

Rural Quality Improvement Technical Assistance Cooperative Agreement awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardees satisfactory performance.

The Rural Health Clinic Technical Assistance Cooperative Agreement award may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, the project may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually. Awards are normally made in a lump sum for the entire budget period. See the following for information on how assistance is awarded/released: Payments are made through an Electronic Transfer System or Cash Demand System. See the following for information on how assistance is awarded/released: Awardee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). Awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. Federal Financial Reports (SF-425) must be submitted according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. Final reports are due within 90 days after the project period ends.

If applicable, awardees must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation

for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170. FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>. Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Cash reports are not applicable. A final FFR must be submitted to HRSA via the Electronic HandBooks (EHB) within 90 days of the end of each budget period. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend financial assistance of \$750,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$100,000; FY 17 est \$100,000; and FY 18 Estimate Not Available - Rural Health Clinic Technical Assistance Cooperative Agreement. (Cooperative Agreements) FY 16 \$1,000,000; FY 17 est \$1,000,000; and FY 18 Estimate Not Available - Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement. (Cooperative Agreements) FY 16 \$4,898,583; FY 17 est \$4,900,000; and FY 18 Estimate Not Available - Rural Health Research Center Cooperative Agreement. (Cooperative Agreements) FY 16 \$497,734; FY 17 est \$484,097; and FY 18 Estimate Not Available - Frontier Community Health Integration Project Technical Assistance, Tracking and Analysis Program Cooperative Agreement. (Cooperative Agreements) FY 16 \$500,000; FY 17 est \$500,000; and FY 18 Estimate Not Available - Rural Quality Improvement Technical Assistance Cooperative Agreement. (Cooperative Agreements) FY 16 \$957,510; FY 17 est \$1,100,000; and FY 18 Estimate Not Available - Information Services to Rural Hospital Flexibility Program Awardees. (Cooperative Agreements) FY 16 \$450,000; FY 17 est \$450,000; and FY 18 Estimate Not Available - Rapid Response Rural Data Analysis and Issue Specific Rural Research Studies Cooperative Agreement. (Cooperative Agreements) FY 16 \$1,799,843; FY 17 est \$1,799,997; and FY 18 Estimate Not Available - National Rural Health Best Practices and Community Development Program. (Cooperative Agreements) FY 16 \$225,000; FY 17 est \$225,000; and FY 18 Estimate Not Available - Rural Policy Analysis Program. (Cooperative Agreements) FY 16 \$120,000; FY 17 est \$135,000; and FY 18 est \$135,000 - Rural Health Research Dissemination Cooperative Agreement. (Cooperative Agreements) FY 16 \$750,000; FY 17 est \$750,000; and FY 18 Estimate Not Available - Telehealth Focused Rural Health Research Center Cooperative Agreement. (Cooperative Agreements) FY 16 \$500,000; FY 17 est \$500,000; and FY 18 Estimate Not Available - Rural Health Value Program. (Cooperative Agreements) FY 16 \$750,000; FY 17 est \$750,000; and FY 18 Estimate Not Available - State Rural Health Coordination and Development Cooperative Agreement (SRHCD-CA).

Range and Average of Financial Assistance:

For Rural Health Research Centers (seven awards); Range \$659,996 - \$700,000;
\$698,583-\$700,000-\$700,000;

For Telehealth Research Center (one award);

\$750,0000

For Rural Health Research Dissemination (one award)
\$120,000- \$135,000

For Frontier Community Health Integration Project Technical Assistance, Tracking and Analysis (one award)
\$484,097-497,734

For Rural Policy Analysis (one award);:
\$225,000

For Rapid Response Rural Data Analysis and Issue Specific Rural Research Studies (one award) :
\$450,000;

For National Rural Health Best Practice and Community Development Program (one award);:
1,799,843;

For Rural Health Value (one award):
\$500,000

For the Information Services to Rural Hospital Flexibility Program Awardees:
\$957,510- \$1,100,000;

For the Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement:
\$1,500,000- \$1,000,000

For the State Rural Health Coordination and Development Cooperative Agreement (SRHCD-CA) - \$750,000

For Rural Quality Improvement Technical Assistance Cooperative Agreement:
\$490,194-\$500,000.

For Rural Health Clinic Technical Assistance Cooperative Agreement (one award):
\$100,000.

TAFS Codes:
75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

These programs are subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices.

Sarah Bryce: Telehealth Focused Rural Health Research Center

Curt Mueller, Rapid Response Rural Data Analysis and Issue Specific Rural Research Studies

Jennifer Burges, Rural Health Research Dissemination

Jennifer Burges, Rural Health Research Center

Aaron Beswick, Frontier Community Health Integration Project Technical Assistance, Tracking, and Analysis Program

Megan Meacham, National Rural Health Best Practices and Community Development Program

Amy Chanlongbutra, Rural Policy Analysis.

Kerri Cornejo, Rural Health Value

Victoria Leach, State Rural Health Coordination and Development Cooperative Agreement (SRHCD-CA)

Owmy Bouloute , Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement

Mike McNeely, Information Services to Rural Hospital Flexibility Program Awardees

Yvonne Chow, Rural Quality Improvement Technical Assistance Cooperative Agreement.

Wakina Scott, Rural Health Clinic Technical Assistance Cooperative Agreement.

Headquarters Office:

Federal Office of Rural Health Policy, 5600 Fishers Lane, Mail Stop 17W59-D, Rockville, Maryland 20857 Phone: (301) 443-0835

Website Address:

<http://www.hrsa.gov/ruralhealth>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are listed in the application guidance.

93.156 GERIATRIC TRAINING FOR PHYSICIANS, DENTISTS AND BEHAVIORAL/MENTAL HEALTH PROFESSIONALS

Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (GTPD)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VII, Sec. 753(b) of Public Health Service Act (42 U.S.C.294c), as amended by the Health Professions Education Partnerships Act of 1998 (P.L. 105-392) and the Affordable Care Act (P.L. 111-148).

OBJECTIVES:

Grants are awarded for faculty training projects in geriatric medicine, geriatric dentistry, and geriatric behavioral or mental health. The purpose of this grant program is to provide support, including fellowships, for geriatric training projects to train physicians, dentists and behavioral or mental health professionals who plan to teach geriatric medicine, geriatric dentistry or geriatric behavioral or mental health.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards will provide funds for: (1) a one year retraining program in geriatrics for: (a) physicians who are faculty in departments of internal medicine, family medicine (including osteopathic general practice), gynecology, geriatrics, and behavioral or mental health at schools of medicine and osteopathic medicine; (b) dentists who are faculty members at schools of dentistry or at hospital departments of dentistry; and (c) behavioral or mental health professionals who are faculty members in departments of behavioral or mental health; and/or (2) a two year internal medicine or family medicine fellowship program providing emphasis in geriatrics which shall be designed to provide training in clinical geriatrics and geriatrics research for: (a) physicians who have completed

graduate medical education programs in internal medicine, family medicine (including osteopathic general practice), behavioral or mental health, neurology, gynecology, or rehabilitation medicine; (b) dentists who have demonstrated a commitment to an academic career and who have completed postdoctoral dental training, including postdoctoral dental education programs or who have relevant advanced training or experience; and (c) behavioral or mental health professionals who have completed graduate medical education programs in behavioral or mental health. Each project for which a grant is made must: (1) be staffed by full-time teaching physicians who have experience or training in geriatric medicine or geriatric behavioral or mental health; (2) be staffed, or enter into an agreement with an institution staffed by full-time or part-time teaching dentists who have experience or training in geriatric dentistry; (3) be staffed, or enter into an agreement with an institution staffed by full-time or part-time teaching behavioral or mental health professionals who have experience or training in geriatric behavioral or mental health; (4) be based in a graduate medical education program in internal medicine or family medicine or in a department of geriatrics or behavioral or mental health; (5) provide training in geriatrics and expose fellows to the physical and mental disabilities of elderly individuals through a variety of service rotations, such as geriatric consultation services, acute care services, dental services, geriatric behavioral or mental health units, day and home care programs, rehabilitation services, extended care facilities, geriatric ambulatory care and comprehensive evaluation units, and community care programs for elderly individuals with intellectual disabilities, and (6) provide training in geriatrics through one or both of the two training options. See above. The Affordable Care Act, Public Law 111-148 expanded the definition of behavioral and mental health professionals to include psychiatry, psychology, psychiatric nursing, social work, substance abuse disorder prevention and treatment, marriage and family counseling, or professional counseling. The expanded definition applies to the 1-year retraining option ONLY.

Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment. Student support through stipends, tuition, and fees is not eligible for support.

Applicant Eligibility:

Grants may be made to accredited public or private nonprofit schools of medicine, schools of osteopathic medicine, teaching hospitals, or graduate medical education programs. Applicants must be located in the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the U.S. Virgin Islands, Guam, American Samoa, the Republic of Palau, the Republic of the Marshall Islands, or the Federated States of Micronesia. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Grants may be made to accredited public or private nonprofit schools of medicine, schools of osteopathic medicine, teaching hospitals, or graduate medical education programs.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA

program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 5-year project period. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSAs Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this Notice of Funding Opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

FY 16 Range: \$0

FY 17 Range: \$0

FY 18 est Range: \$0.

TAFS Codes:

03-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No data available. Fiscal Year 2017: No data available. Fiscal Year 2018: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Nancy Douglas-Kersellius, MSN, Project Officer, Medical Training and Geriatrics Branch, Division of Medicine and Dentistry, Bureau of Health Workforce, 5600 Fishers Lane, Room 15N194B, Rockville, Maryland 20857
Email: ndouglas@hrsa.gov Phone: (301) 443-0907

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.157 CENTERS OF EXCELLENCE

Centers of Excellence (COE)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 736, 42 U.S.C. 293.

OBJECTIVES:

The goal of this program is to assist eligible schools in supporting programs of excellence in health professions education for underrepresented minority (URM) individuals. The Centers of Excellence (COE) program is a catalyst for institutionalizing a commitment to under-represented minority (URM) students/faculty and to serve as a national resource and educational center for

diversity and minority health issues. Additionally, the COE program will help to strengthen the national capacity to produce a culturally competent healthcare workforce whose diversity is representative of the United States population.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Health professions schools awarded a COE grant are required to use funds to offer programming that will meet the following seven legislative purposes of the program: (1) Increase the competitive applicant pool for health professions schools; (2) Expand programs to enhance underrepresented minority student academic performance; (3) Improve recruitment, training and retention of underrepresented minority faculty; (4) Improve information resources curriculum, clinical education and cultural competency of graduates as it relates to minority health issues; (5) Facilitate faculty and student research on health issues affecting underrepresented minority groups; (6) Engage students in practice-based training in community-based settings serving significant numbers of underrepresented minority individuals; and (7) Provide stipends, as appropriate.

In addition, the four designated HBCU institutions must also propose activities to carry out the following purposes: (1) Develop a plan to achieve institutional improvements, including financial independence, to enable the school to support programs of excellence in health professions education for underrepresented minority individuals; and (2) Provide improved access to the library and informational resources of the school.

Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

Eligible applicants include designated Historically Black Colleges and Universities (HBCUs) and health professions schools that are accredited schools of allopathic medicine; osteopathic medicine; dentistry; pharmacy; or a graduate program in behavioral or mental health; or other public and nonprofit health or educational entities that meet the required conditions regarding: underrepresented minorities as described in Section 736 of the Public Health Service Act. Native American Centers of Excellence are eligible, as specified in statute.

Eligible applicants must also have:

- (1) a significant number of under represented minority individuals enrolled in the schools;
- (2) been effective in assisting under-represented minority students of the schools to complete the program of education and receive the degree involved;
- (3) been effective in recruiting under represented minority individuals to enroll in and graduate from the school, including providing scholarships and other financial assistance to such individuals and encouraging under represented minority students from all levels of the educational pipeline to pursue health professions careers; and
- (4) made significant recruitment efforts to increase the number of under represented minority individuals serving in faculty or administrative positions at the school.

Beneficiary Eligibility:

Designated HBCUs and eligible health professions schools must recruit and train a significant number of underrepresented minority students in medicine, dentistry, and pharmacy; recruit, train, and retain underrepresented minority faculty recruitment; and facilitate faculty and student research activities.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 5-year project period. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System, the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. Cash reports are not applicable. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this Notice of Funding Opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$20,552,091; FY 17 est \$20,464,265; and FY 18 est \$0

Range and Average of Financial Assistance:

HBCUs:

FY 2016 actual: \$2,339,598 to \$3,498,237; Average: \$3,000,000

FY 2017 est. \$2,502,475 to \$3,499,317; Average: \$3,000,000

Non-HBCUs:

FY 2016 actual: \$620,509 to \$700,000; Average: \$676,427

FY 2017 est.: \$ 606,099 to \$693,000; Average: \$660,250.

TAFS Codes:

03-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Denethia Sellers McCarthy, Ph.D., MSW Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 15N-62C, Rockville, Maryland 20857 Email: Dsellers-mccarthy@hrsa.gov Phone: (301) 443-0550

Website Address:

<https://bhw.hrsa.gov/grants/healthcareers>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested.

See the most recent Notice of Funding Opportunity for detailed review criteria.

**93.161 HEALTH PROGRAM FOR TOXIC SUBSTANCES AND DISEASE REGISTRY
FEDERAL AGENCY:**

Agency for Toxic Substances and Disease Registry, Department of Health and Human Services

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Public Law 99-499, as amended; 42 U.S.C. 9604(i); Resource Conservation and Recovery Act, as amended; Public Law 98-616, 42 U.S.C. 6939a., 42 U.S.C 9604(i).

OBJECTIVES:

To work closely with State, local, and other Federal agencies to reduce or eliminate illness, disability, and death resulting from exposure of the public and workers to toxic substances at spill and waste disposal sites.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To strengthen State and local environmental health programs. Services may include: (1) Health assessments; (2) health effects studies; (3) exposure and disease registries; (4) technical assistance; (5) consultation; (6) dissemination of technical information; (7) provision of specialized services and assistance, including responses to public health emergencies; (8) training State and other health professionals in broad areas related to environmental health; and (9) research of chemical toxicity.

Applicant Eligibility:

States or political subdivisions thereof, to include the District of Columbia, American Samoa, Guam, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, the Federated States of Micronesia, the Virgin Islands, the Federated States of Marshall Island, the Republic of Palau, National organizations, Federally-recognized Indian tribal governments, public and private non-profit universities and colleges.

Beneficiary Eligibility:

The general public and particularly individuals and families who reside around or near hazardous waste sites.

Credentials/Documentation:

For other grantees, costs will be determined by HHS regulations 45 CFR 74, Subpart Q. For-profit organization's costs will be determined in accordance with the Federal Acquisition Regulation, 48 CFR, Chapter I, Subpart 31.2, "Cost Principles for Contracts and Commercial Organizations." 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Information on the submission of applications may be obtained from the Grants Management Officer, Acquisition and Assistance Branch A, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for this program. This program is subject to the provisions of OMB Circular No. A-110. By formal agreement, the CDC Grants Management Branch acts on behalf of ATSDR on this matter.

Award Procedure:

The Assistant Administrator, ATSDR has final authority to approve funding of applications. When an application is approved for funding, the Grants Management Officer, Centers for Disease Control and Prevention (CDC), acting as agent for ATSDR, will prepare a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 to 90 days.

Appeals:

Other - Not Specified.

Renewals:

Awards are made for project periods of 1 to 5 years with 12-month budget period. Renewals or continuation awards, with the project period are made on the basis of satisfactory progress and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

It is anticipated that awards will be for a 12-month budget period with a proposed project period ranging from one to five years. Method of awarding/releasing assistance: lump sum.

Reports:

See Funding Opportunity for details. Cash reports are not applicable. See Funding Opportunity for details. See Funding Opportunity for details. See Funding Opportunity for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for ten years in accordance with EPA's "Superfund Financial Management and Recordkeeping Guidance for Federal Agencies." Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon the request of the Inspector General.

Account Identification:

75-8252-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$7,013,994; FY 17 est \$2,497,458; and FY 18 est \$2,497,458 - Programs under CFDA numbers 93.202 and 93.206 have been consolidated with program 93.161.

Sharon Cassell updated obligations for Lisa Burns on 8/17/2015.

Range and Average of Financial Assistance:

Average range: \$130,000 to \$300,000.

TAFS Codes:

75-0944.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Toxicological profiles, Federal regulations for interim procedures to petition for Public Health Assessments published in August 18, 1992 issue of the 42 CFR 90, Health Assessments and Health Effects Studies of Hazardous Substances Releases and Facilities; Final Rule; Published in February 13, 1990, issue of the Status of Health Research Report; Case studies in environmental medicine; and ATSDR Annual Report. PHS Policy Statement No. (OASH) 94- 50,000, (Rev.) April 1, 1994 is available. Section 106 and subsection 118(c) of the Great Lakes Critical Programs Act of 1990 33 U.S.C. 1268(e).

Regional or Local Office:

None.

Headquarters Office:

Michelle Canady 4770 Buford Highway, Atlanta, Georgia 30341 Email: bqg1@cdc.gov Phone: 770-488-0711

Website Address:

http://www.cdc.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Relevance of the proposal to the objective of this program. (2) Demonstrated experience in evaluating human health effects from exposures to hazardous substances in the environment through multimedia exposure pathways. (3) Training and experience of staff to be assigned to and/or hired for the project. (4) Suitability of facilities and equipment available or to be purchased for the project. (5) Appropriateness of the requested budget relative to the work proposed. (6) Capability of the applicant and its consultants to carry out the tasks involved in the project. (7) Soundness and innovation of the proposed approach to the range of activities presented in the project. (8) Capability of the applicant's administrative structure to foster successful scientific and administrative management of the program or study as described in the application. (9) Adequacy of the proposed time frame for completion of programs or studies.

93.162 NATIONAL HEALTH SERVICE CORPS LOAN REPAYMENT PROGRAM

NHSC Loan Repayment Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 338B of the Public Health Service (PHS) Act (42 United States Code Section 2541-1), as amended; Section 331(i) of the PHS Act (42 USC 254d(i)), as amended; Medicare Access and CHIP Reauthorization Act of 2015 (P.L. 114-10).

OBJECTIVES:

The National Health Service Corps (NHSC) Loan Repayment Programs objective is to increase the supply of primary care physicians, dentists, dental hygienists, behavioral and mental health professionals, certified nurse midwives, primary care nurse practitioners, physician assistants and, if needed by the NHSC, other health professionals in Health Professional Shortage Areas (HPSA) within the U.S.A and its territories providing financial assistance to support the repayment of qualifying educational loans in return for service to populations located in HPSAs identified by the Secretary of the Department of Health and Human Services.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Awards provide payments of up to \$50,000 towards participants' qualified educational loans in exchange for 2 years of full time practice (up to \$25,000 for 2 years of half time practice) at an approved National Health Service Corps Service Site under a written agreement with this program.

Applicant Eligibility:

Individuals are eligible to apply if they have (1) U.S. citizenship; (2) a health professions degree or are in professional practice (they must hold an unrestricted health professions license from the State in which they will be working, and be eligible for selection for a Federal civil service appointment, or hold an appointment as a commissioned officer in the Regular or Reserve Corps of the U.S. Public Health Service); (3) not had any judgment liens arising from Federal debt ; (4) not been excluded, debarred, suspended, or disqualified by a Federal agency; (5) not been in breach of a health professional service obligation to the Federal, State or local government and (6) no conflicting service obligation. Individuals must also participate or be eligible to participate as a provider in the Medicare, Medicaid, and Childrens Health Insurance

Programs, as appropriate. Refer to the NHSC Loan Repayment Program Guidance for specific requirements.

Beneficiary Eligibility:

Primary care, oral health, and mental and behavioral health professionals are eligible for the Loan Repayment Program (LRP). Specific specialties within these professions are selected for LRP awards based on community demand for health services.

Credentials/Documentation:

Applicants should review the individual HRSA Application and Program Guidance issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants may receive additional information about the application process at <http://nhsc.hrsa.gov/loanrepayment/applicationprocess/index.html>.

Award Procedure:

NHSC loan repayment awards are made based on the highest need as determined by descending health professional shortage area scores. Priority will be given to individuals who demonstrate a commitment to practicing in underserved communities and those from disadvantaged backgrounds. In addition, priority may be given to applicants whose training is in a health discipline or specialty determined by the Secretary of Health and Human Services to be needed by the NHSC and who the Secretary determines are committed to serve in health professional shortage areas. The HRSA program official with delegated authority is responsible for final selection and funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notifications to all applicants are made no later than September 30th of the application year.

Appeals:

Not Applicable.

Renewals:

Contract continuations will be considered according to the availability of appropriated funds and eligibility criteria applied to the individual and the site at which that individual is employed.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loan repayments for 1 year of continued obligated service will be made no later than the end of the fiscal year in which the individual completes such year of service. See the following for information on how assistance is awarded/released: Payments are made to individuals via electronic direct deposit through Program Support Center (PSC).

Reports:

The employing facility must complete and send a semi-annual In-Service Verification Form verifying that the participating health professional is providing service. The certification includes a signature by an appropriate official of the facility. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

75-0352; 75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see application process for NHSC LRP at <http://nhsc.hrsa.gov/>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

National Health Service Corps, Loan Repayment Branch, Division of National Health Service Corps, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 14N48, Rockville, Maryland 20857 Phone: (301) 594-4400

Website Address:

<http://nhsc.hrsa.gov/>

RELATED PROGRAMS:

93.165 Grants to States for Loan Repayment Program; 93.288 National Health Service Corps Scholarship Program; 93.342 Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students; 93.364 Nursing Student Loans; 93.908 Nursing Education Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Priority will be given to individuals who demonstrate a commitment to practicing in underserved communities and those from disadvantaged backgrounds. In addition, priority may be given to applicants whose training is in a health discipline or specialty determined by the Secretary of Health and Human Services to be needed by the NHSC and who the Secretary determines are committed to serve in health professional shortage areas.

93.164 INDIAN HEALTH SERVICE EDUCATIONAL LOAN REPAYMENT

(IHS Loan Repayment Program)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Amendments of 1988, Section 108, Public Law 100-713.

OBJECTIVES:

To ensure that the Indian Health Service (IHS) has an adequate supply of trained health professionals for Indian health program facilities by providing for the repayment of educational loans for participants who agree (by written contract) to serve an applicable period of time at a facility IHS has designated as a loan repayment priority site or in a designated specialty at a site with an appropriate position.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

This program will provide repayment of loans incurred for health professions educational expenses in exchange for service in a designated loan repayment priority site. Recipients must agree by written contract to serve an applicable

period of time in such a site; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied. The minimum period of participation is 2 years. Maximum payment to a program participant is \$20,000 per year and an additional 20 percent of the award amount for tax liability. Recipients must apply payments only to outstanding eligible health professions educational loans.

Applicant Eligibility:

Eligible individuals must be enrolled: (1) In a course of study or program in an accredited institution, as determined by the Secretary, within any State and be scheduled to complete such course of study in the same year such as individual applies to participate in such program; (2) in an approved graduate training program in a health profession; (3) have a degree in health profession and a license to practice a health profession; (4) be eligible for, or hold, an appointment as a commissioned officer in the Regular or Reserve Corps of the Public Health Service (PHS); (5) be eligible for selection for civilian service in the Regular or Reserve Corps of the PHS; (6) meet the professional standards for civil service employment in the IHS; (7) be employed with an Indian health program funded under Public Law 93-638, Indian Self-Determination, Title V of Public Law 94-437 and its amendments or the Buy Indian Act (25 U.S.C. 47); (8) submit an application to participate in the IHS Loan Repayment Program. The term "State" is defined in Section 331 (i)(4) of the PHS Act.

Beneficiary Eligibility:

Health professionals who have Government (Federal, State, local) and commercial unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) A transcript showing final year of study and full-time status; (2) a copy of a health professions degree; (3) a copy of health professions license; (4) a copy of transcript showing completion of graduate education; and (5) a copy of all loan documentation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants should apply online. Once an application is submitted it will be reviewed and the determination of awards will be made based upon merit. Awards will be made on award dates published in the Federal Register.

Award Procedure:

Upon determination of who will receive an award, that individual will be notified in writing by the program. The individual will in turn notify the program in writing of his/her acceptance. Awards will be contingent upon the score that an eligible Loan Repayment Program site receives and score is applied to the individual matched to that site. Upon arriving at his/her duty station, participant must submit proof of employment to the IHS Loan Repayment Program Office. Individuals can be matched to an IHS tribal, urban, or buy Indian site by an IHS area recruiter or the equivalent. Applicants needing to match to an IHS priority site should work with an IHS recruiter in the service area of their choice. When an award occurs, the Secretary will sign the contract and the individual will receive final notification of their award and a copy of their signed contract.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Contracts are approved or disapproved within 90 days of receipt of applications by the Indian Health Service Loan Repayment Program.

Appeals:

Not Applicable.

Renewals:

If a program recipient satisfactorily completes his/her initial service and continues to have remaining eligible debt, he/she may extend his/her contract on a single-year basis, provided that funds are available and the participant continues to meet the eligibility requirements of the program. A recipient wishing to extend his/her contract must submit a formal request for extension and sign a new 1-year contract.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Payments will be made to the individuals of the program on an annual basis. See the following for information on how assistance is awarded/released: Payments will be made to the individuals of the program on an annual basis.

Reports:

Before participants receive their 2nd payments they must submit employment verification, a payment history showing that they applied their first year's payment to their eligible student loans, and a leave record. No cash reports are required. No progress reports are required. Participants must submit a payment history from their lenders showing that they applied their funds to their eligible student loans. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

The IHS will maintain records of unsuccessful applicants for 3 years after they applied. The IHS will maintain records of participants for 3 years after they complete their service obligation.

Account Identification:

75-0390-0-1-551.

Obligations:

(Direct Payments for Specified Use) FY 16 \$29,440,583; FY 17 est \$29,440,583; and FY 18 est \$29,440,583

Range and Average of Financial Assistance:

\$3,000 to \$51,672 for a 2-year obligation; For fiscal year 2015 the average award was \$45,105.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Authorization is contained in Public Law 100-713, as amended by Public Law 102-573; Indian Health Service Educational Loan Repayment Program Information Bulletin; Notice of Availability of Funds. LRP website at www.ihs.gov/loanrepayment.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Ms. Jackie Santiago, Chief, Loan Repayment Program, Indian Health Service, 5600 Fishers Lane, Mail Stop: 11E53A, Rockville, MD 20857. Telephone: (301) 443-3396.

Grants Management Contact: Mr. Robert Tarwater, Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop: 09E70, Rockville, MD 20857. Telephone: (301) 443-5204, Fax (301) 594-0899.

Headquarters Office:

Grants Policy Office 5600 Fishers Lane, Mail Stop: 09E70, Rockville, Maryland 20857 Email: grantspolicy@ihs.gov Phone: (301) 443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The IHS defined "Health Profession" to mean family medicine, internal medicine, pediatrics, geriatric medicine, obstetrics and gynecology, podiatric medicine, nursing, public health nursing, dentistry, psychiatry, osteopathy, optometry, pharmacy, psychology, public health, social work, marriage and family therapy, chiropractic medicine, environmental health and engineering, and allied professions. Other factors that will be employed to determine which applicant is selected for awards include: (a) An applicant's length of current employment in the IHS, tribal or urban program; (b) availability for service earlier than other applicants (first come, first served); and (c) date the completed application was received.

Not all health professions receive funding. Please contact the program for more information.

93.165 GRANTS TO STATES FOR LOAN REPAYMENT PROGRAM

State Loan Repayment Program (SLRP)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III, Section 338I, 42 U.S.C. 254 q-1(h), as amended; Medicare Access and CHIP Reauthorization Act of 2015 (P.L. 114-10).

OBJECTIVES:

To increase the availability of primary health care in health professional shortage areas (HPSAs) by assisting States in operating programs for the repayment of educational loans of health professionals in return for their practice in HPSAs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds under this grant must only be used to repay the qualifying educational loans of health professionals who have entered into State Loan Repayment Program (SLRP) contract with the States. Funds cannot be used for the following purposes: costs of administering the program, State matching funds, or any other purpose.

Applicant Eligibility:

Eligible entities include the 50 States, the District of Columbia, Guam, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the U.S. Virgin Islands, American Samoa, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Applicants for State programs must have completed a course of study required to practice independently without supervision as one of the following health care professionals: Doctor of Allopathic Medicine or Osteopathic Medicine, General Practice Dentist (D.D.S. or D.M.D.), Pediatric Dentist, Primary Care Certified Nurse Practitioner, Certified Nurse-Midwife, Primary Care Registered Nurse, Pharmacist, Primary Care Physician Assistant, Registered Clinical Dental Hygienist, Health Service Psychologist (formerly Clinical or Counseling Psychologist (Ph.D. or equivalent), Licensed Clinical Social Worker (masters or doctoral degree in social work), Psychiatric Nurse Specialist, Mental Health Counselor, Licensed Professional Counselor (masters or doctoral degree with a major study in counseling), Marriage and Family Therapist (masters or doctoral degree with a major study in marriage and family therapy), Registered Nurse and Pharmacist. The primary care specialties approvable for physicians are: family medicine (and osteopathic general practice), internal medicine, including geriatricians, pediatrics, obstetrics/gynecology, and geriatrics and general psychiatry. General practitioners (physicians who have not completed residency training programs) are not eligible for funding under the SLRP. The primary care specialties approval for registered nurses, nurse practitioners and physician assistants are: adult, family, pediatrics, psychiatry/mental health, geriatrics, or womens health.

Participants must provide full-time clinical service for a minimum of two years in a public or non-profit entity located in a federally designated Health Professional Shortage Area (HPSA).

Refer to the NHSC State Loan Repayment Program Guidance for specific requirements.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

Notification is made in writing by Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 180 days after receipt of application.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: States must agree to make available (directly or through donations from public or private entities) non-Federal contributions in cash toward SLRP contracts in an amount not less than \$1 for each \$1 of Federal funds provided in the grant. A State may not use any Federal funds or in-kind contributions to satisfy the non-Federal match requirement. A State must verify that contributions from sources other than State appropriated funds are non-Federal. States may use non-Federal funds in excess of the \$1 for \$1 minimum match to supplement the repayment of qualifying loans of health professionals.

The Federal share must be used to repay the government and commercial loans of health professionals for tuition, educational expenses and living expenses described in Section 338B(g)(1) of the Public Health Service Act. States must assume administrative costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 4-year project period. States are asked to commit the full amount of funding for their loan repayment awards to practitioners in the current fiscal year to avoid out-year commitments for additional Federal funds. See the following for information on how assistance is awarded/released: Recipients drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report

(SF-425) is required according to the schedule in HRSAs Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Progress reports are not applicable. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$13,070,603; FY 17 est \$15,000,000; and FY 18 est \$15,000,000

Range and Average of Financial Assistance:

FY 16 awards ranged from \$80,000 to \$1,000,000, with an average award of \$353,259.
FY 17 awards TBD.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Division of National Health Service Corps, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services 5600 Fishers Lane, Room 14N58, Rockville, Maryland 20857
Phone: (301) 594-4400

Website Address:

<https://nhsc.hrsa.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.547 Affordable Care Act National Health Service Corps

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.172 HUMAN GENOME RESEARCH

Human Genome Project

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 461 and 487, as amended; Public Laws 78-410 and 99-158, 42 U.S.C. 241, as amended; 42 U.S.C. 285k; 42 U.S.C. 288; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

NHGRI supports the development of resources and technologies that will accelerate genome research and its application to human health. A critical part of the NHGRI mission continues to be the study of the ethical, legal and social implications (ELSI) of genome research. NHGRI also supports the training and career development of investigators and the dissemination of genome information to the public and to health professionals. The Small Business Innovation Research (SBIR) program is used to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The Small Business Technology Transfer (STTR) program is used to foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The research project grant is awarded to an eligible institution in the name of a principal investigator for a discrete project or group of related projects representing the investigator's interest and competence. Funds may be used for salaries and wages, equipment, supplies, travel and other costs required to carry out the research project. National Research Service Awards are made directly to individuals for research training in disciplines supporting the research areas. In addition, grants may be made to institutions to enable them to select individuals for National Research Service Awards. Each individual who receives a National Research Service Award is responsible for certain service and payback provisions. Small Business Innovation Research (SBIR) Program: SBIR Phase I grants (of approximately 6 months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of

research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. Responsibilities of grantees and restrictions on use of funds are set forth in the Public Health Service policy statement on grants for research projects, which is available on request from the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health (NIH), 6701 Rockledge Drive, Room 6207, MSC 7910, Bethesda, MD 20892-7910. Telephone: (301) 435-0714. Fax (301) 480-0525. E-mail: asknih.od.nih.gov.

Applicant Eligibility:

Research Projects: Awards can be made to any public or private, for-profit or nonprofit university, college, hospital, laboratory, or other institution, including State and local units of government, qualifying small businesses (through the Small Business Innovation Research/STTR Programs, and to individuals. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its territories. To be eligible for funding, an SBIR grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. Applicants to the Small Business Innovation Research/STTR Programs must meet special requirements for small businesses, as defined by the Small Business Administration. Non-federal public and private domestic organizations may apply for an Institutional National Research Service Award. Individual National Research Service awardees must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All awardees must be citizens or have been admitted to the United States for permanent residence. Predoctoral awardees must have completed the baccalaureate degree, and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.O., D.V.M., Sc.D., E.Eng., or equivalent domestic or foreign degree). To be eligible for funding for any award, an application must be reviewed for scientific merit by a scientific review group and for program relevance by a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research can apply for research support.

Credentials/Documentation:

Each applicant for a research project must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. Applications must submit an electronic grant application form SF424 which can be accessed from the funding opportunity announcement. For applicants for National Research Service Awards, the academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. The applicant institution must show the objectives, methodology, and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for the award, and a detailed budget and justification for the grant funds requested. For-profit organizations, costs are determined in accordance with 48 CFR. For other grantees, costs will be determined in accordance with HHS Regulation 45 CFR 75. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. SF424 applications are used for SBIR and STTR programs. SBIR and STTR applicant organizations must comply with the SBA's definition of a small business. In order to be eligible for a NRSA award, the individual must be a US citizen or

permanent resident of the US. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Only application submitted in response to a funding opportunity announcement will be accepted. The standard application forms, as furnished in the Funding Opportunity Announcements at www.grants.gov, must be used for this program. This program is subject to the provisions of 45 CFR 75. The SBIR and STTR Solicitations may be obtained electronically through the NIH "Small business Funding Opportunities" homepage at www.nih.gov/grants/funding/sbir.htm on the World Wide Web.

Award Procedure:

All applications must respond to a funding opportunity announcement, must be reviewed for scientific merit by an appropriate initial review group, and must be considered by the National Advisory Council for Human Genome Research (NACHGR) for program relevance. Individual NRSA applications are not reviewed by council. All scored applications compete for available funds on the basis of scientific merit and program emphasis, and availability of funds. Applications in response to a Request for Applications have council approved set aside funds, but awards are based primarily on the quality of the applications. Awards are issued throughout the year. All applications receiving a impact score ranging from the best (10) to worst (90) compete for the available funds on the basis of scientific and technical merit (SBIR/STTR applications must also demonstrate the potential for commercial application for SBIR/STTR applications), program relevance, and program balance among the areas of research. However, in reality, applications with impact scores of 30 or greater are rarely considered for funding.

Deadlines:

Oct 05, 2017: This is the first of three deadlines that occur annually for new research projects. The other two dates are February 5, and June 5. New Research Projects (R01) and Renewals: February 5, June 5, and October 5. Program Project, Center and Institutional Training Grants and R25 grants: January 25, May 25, and September 25. Other Research Grants (R03, R21, R33, R21/R33, R34, R36): February 16, June 16, and October 16. Individual NRSA: April 5, August 5, and December 10. SBIR/STTR Applications: April 8, August 8, and December 8.

Range of Approval/Disapproval Time:

From submission to award of funds: Individual, project and institutional grants about 9 months; SBIR/STTR and AIDS projects about 6 months.

Appeals:

A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html. RFA applications are not subject to appeals.

Renewals:

Renewal grants are competitively awarded.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Research and program projects are awarded for 3-5 years. Institutional training grants are awarded for 5 years. Individual fellowships and career development awards are from 2-5 years. For SBIR and STTR applications, normally Phase I awards are for 6 months and Phase II awards are for 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual progress and financial status reports for continuing projects and final reports on all projects upon conclusion are required. For more complex grants, more frequent written reports and/or onsite program reviews may be required.

Cash reports are not applicable. Annual progress and financial status reports for continuing projects and final reports on all projects upon conclusion are required. For annual progress reports, information about past progress, publications and proposed research for the next year are reported. Depending on the complexity of the grant, a budget may also be required. The expenditure reports consists of whether there is 25% or more unspent funds on the grant. If so, the principal investigator is asked to explain why there is a large unobligated balance. The explanation is subject to staff review and action. Program Directors review the reports and contact the PIs for a verbal discussion that expands upon the written report. If problems are noted, the program director will note this in the report and talk with the PI about what can be done to ensure progress is satisfactory. For more complex grants, the Program Director may request the PI to provide more frequent accounting of progress and/or teleconferences. The Grants Management Specialist/Officer reviews applications for the appropriateness of the budget requested.

Audits:

No audits are required for this program.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0891-0-1-552.

Obligations:

(Project Grants) FY 16 \$377,186,406; FY 17 est \$389,196,000; and FY 18 est \$287,953,000 - RPGs: FY16 actual \$244,685,229; FY 17 est. \$254,965,000; FY18 est. \$196,855,000

Centers: FY16 actual \$84,713,418; FY17 est. \$74,459,000; FY18 est. \$45,284,000

NRSAs: FY16 actual \$ 7,751,441; FY17 est. \$8,575,000; FY18 est. \$7,271,000
SBIR/STTR Grants: FY 16 actual \$13,009,935; FY 17 est. \$14,168,000; FY18 est. \$10,679,000

Other Grants: FY16 actual \$11,334,338; FY17 est. \$18,083,000; FY18 est. \$13,964,000

R&D FY16 actual \$15,692,045; FY17 est. \$18,946,000; FY18 est. \$13,900,000.

Range and Average of Financial Assistance:

For RPGs the range is from \$1,192 - \$19,996,553. However, the smallest RPG (5R21HG008495-02) seems to only include funding for 2 months of support. If we exclude this grant, then the range would be \$26,000 - \$19,996,553.

TAFS Codes:

75-0891.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: \$30,000,000. Fiscal Year 2017: ClinGen aims to build an authoritative central resource that defines the clinical relevance of genes and variants for use in precision medicine and research. To do so, ClinGen investigators are developing standard approaches for sharing genomic and phenotypic data provided by clinicians, researchers, and patients through centralized databases, such as ClinVar, and are working to standardize the clinical annotation and interpretation of genomic variants. Working groups are implementing evidence-based expert consensus methods to curate the clinical validity and medical actionability of genes and variants. Experts in the areas of cardiovascular disease, pharmacogenomics, hereditary (germline) cancer, somatic cancer, and inborn errors of metabolism have been brought together to assist in these curation efforts. ClinGen also aims to develop machine-learning algorithms to improve the throughput of variant interpretation and to improve understanding of variation in diverse populations as it relates to interpreting genetic test results. Lastly, ClinGen will disseminate the collective knowledge and resources for unrestricted use in the community and for use in EHR ecosystems. Fiscal Year 2018: The ELSI Research Program funds research studies, training opportunities and workshops, and develops and supports research consortia and conferences in the following broad areas.

Genomic Research - Projects in this area examine and address the issues that arise in the design and conduct of genomic research, particularly as it involves

the production, analysis and broad sharing of individual genomic data that is frequently coupled with detailed health information.

Genomic Health Care - Projects in this area explore how rapid advances in genomic technologies and the availability of increasing amounts of genomic information influence how health care is provided and how it affects the health of individuals, families and communities.

Broader Societal Issues - Projects in this area examine the normative underpinnings of beliefs, practices and policies regarding genomic information and technologies, as well as the implications of genomics for how we conceptualize and understand such concepts as health, disease, and individual responsibility.

Legal, Regulatory and Public Policy Issues - Projects in this area explore the effects of existing genomic research, health and public policies and regulations and provide data to inform the development of new policies and regulatory approaches.

A more detailed description of these areas and a list of examples of possible research questions related to each are available on the ELSI Research Priorities website: <http://www.genome.gov/27543732>.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; NIH Extramural Programs brochure and other miscellaneous program literature are available from Headquarters Office. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant applications.

Regional or Local Office:

None.

Headquarters Office:

Bettie J. Graham 5635 Fishers Lane, Suite 4076, Rockville, Maryland 20892
Email: bettie_graham@nih.gov Phone: (301) 496-7531

Website Address:

<http://www.genome.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: The Genomics of Gene Regulation (GGR) projects will use genomic data and technologies to understand how genetic regulatory systems are assembled and how genetic regulatory systems function to determine biological processes at a mechanistic level. GGR projects are designed to advance genomic science towards the long-term goal of NHGRI research in this area, which is to be able to predict, from reading DNA sequence, when and at what level a gene is expressed, in the context of a particular cell fate/state. Understanding gene regulatory networks could facilitate interpreting the phenotypic consequences (e.g. disease) of genetic variation, particularly in non-coding regions of the genome. This is a highly significant problem, as the vast majority of disease-associated variants found using GWAS lie outside of protein-coding sequences. Many of these disease associations map to ENCODE-annotated regions, thus highlighting the importance of these ENCODE annotations. While genomic annotations are important, they are not sufficient to understand dynamic biological processes. GGR is one path to bring together modeling methods with catalogs of genomic data to understand what elements are controlling what genes, in what cell types. The ability to make accurate predictions from gene regulatory networks could support genomic medicine and precision medicine, by providing us one more tool to understand the consequences of genetic variation.

As set out in the RFA, individual projects collect genomic data on gene expression, as well as surrogate markers of regulatory elements, in different cell fates or cell states. They use the gene expression and functional element data to construct gene regulatory network models, taking advantage of the dynamics of the system as the cells transition from one physiologic fate or state to another. These models will describe the biological role of the identified functional

elements and how they interact to form a genetic regulatory circuit. The predictions of the initial model would then be tested experimentally by appropriate assays, for instance by perturbing (by genetic or environmental means) identified key regulators and comparing the measured biological response to the predicted response. Analysis of the outcomes of these experiments would be used to refine and further develop the model in an iterative process of modeling, prediction, and experimentation. It is hoped that this approach will lead to a detailed understanding of the individual genetic regulatory circuits under study.

It is further hoped that by investigating several genetic regulatory circuits this way and organizing the projects in a collaborative research network, the GGR investigators will form an interactive group, accelerating discovery through the sharing of technical and biological insights gained during the course of the project. These interactions will be important in learning the features that are generalizable and the features that are unique, because the individual projects will be using different approaches and biological systems. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed project; and (5) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget request for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.173 RESEARCH RELATED TO DEAFNESS AND COMMUNICATION DISORDERS

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 464 A-F, and 487, as amended; 42 U.S.C. 241, 285m, 285m-3, and 288; Public Law 100-553; 102 Stat. 2769; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To investigate solutions to problems directly relevant to individuals with deafness or disorders of human communication in the areas of hearing, balance, smell, taste, voice, speech, and language. The National Institute on Deafness and Other Communication Disorders (NIDCD) supports research and research training, including investigation into the etiology, pathology, detection, treatment, and prevention of disorders of hearing and other communication processes, primarily through the support of basic and applied research in anatomy, audiology, biochemistry, bioengineering, epidemiology, genetics, immunology, microbiology, molecular biology, the neurosciences, otolaryngology, psychology, pharmacology, physiology, psychophysics,

speech-language pathology, and other scientific disciplines. The NIDCD supports: (1) Research into the evaluation of techniques and devices used in diagnosis, treatment, rehabilitation, and prevention of disorders of hearing and other communication processes; (2) research into prevention and early detection and diagnosis of hearing loss and speech, voice, and language disorders and research into preventing the effects of such disorders by means of appropriate referral and rehabilitation; (3) research into the detection, treatment, and prevention of disorders of hearing and other communication processes in the elderly population and its rehabilitation to ensure continued effective communication skills; and (4) research to expand knowledge of the effects of environmental agents that influence hearing or other communication processes. Small Business Innovation Research (SBIR) program: To increase private sector commercialization of innovations derived from Federal research and development; to encourage small business participation in Federal research and development; and to foster participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project Grants and Centers Grants may be used to provide salaries, equipment, supplies, travel, and other expenses for research. The grantee institution is obliged to expend grant funds prudently for the purposes as stated in the application and award document. Some National Research Service Awards (NRSAs) are issued on behalf of an individual for research training in specified biomedical and behavioral research areas. Other training grants are made to institutions to enable them to make NRSAs to individuals selected by them. Each postdoctoral individual who receives an NRSA may be obligated, upon termination of the award, to comply with certain service and payback provisions. Mentored and unmentored career development awards are made to enhance the independent research capability of highly promising individuals during the formative stages of their careers. Small Business Innovation Research (SBIR) Program: Phase I grants, typically 6 months in duration, are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants are for the continuation of the research efforts initiated in Phase I and that are likely to result in commercial products or processes. STTR Phase I grant, normally of 1-year duration, are to determine the scientific, technical, and feasibility of the proposed cooperative effort. Phase II funding is based on scientific and technical merit and commercial potential of the application. Grant funds may be expended only for the purpose stated in the application and award document.

Applicant Eligibility:

Project Grants and Centers Grants: Any public, private, nonprofit, or for-profit institution is eligible to apply. For-profit institutions are not eligible for institutional National Research Service Awards. All proposals are reviewed for scientific merit, for evaluation of the qualifications of the investigators, for adequacy of the research and/or research training environment and for significance of the problem. Approved proposals compete for available funds. Awardees of almost all Research Career Development Programs must be citizens or have been admitted to the United States for permanent residence. Candidates must be nominated for the program by a nonfederal public or private nonprofit institution located in the United States, its possessions or Territories. To be eligible, postdoctoral NRSA trainees and fellows must have a professional or scientific doctoral degree (PhD, MD, DO, DC, DDS, DVM, OD, DPM, ScD, EngD, Dr PHPhD, MD, DO, DC, DDS, DVM, OD, DPM, ScD, EngD, Dr PH, DNSc, ND {Doctor of Naturopathy}, PharmD, DSW, PsyD, AUD or equivalent doctoral degree from an accredited domestic or foreign institution). SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, and

have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the United States or its possessions. To be eligible for funding, an SBIR grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Health professionals; student/trainee; scientists/researchers; consumer.

Credentials/Documentation:

Project Grants are awarded to an institution in the name of an individual investigator. Centers Grants are also awarded to an institution in the name of an investigator designated as the Program Director. Persons qualified to carry out research related to the NIDCD grant programs described above may apply for funds through their institution to support their investigations. Mentored career development must be conducted under the direction of a competent sponsor. A candidate for a Career Award must have an earned M.D., Ph.D. or equivalent degree and have had prior research experience. National Research Service Awards: (1) Individual NRSA Fellowship Awards for predoctoral and postdoctoral training: The candidate's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. (2) Institutional Training Grants for predoctoral and postdoctoral training: The applicant institution must show the objectives, design and resources for the research training program; the qualifications and experience of directing staff and training preceptors; the criteria to be used in selecting individuals for stipend support; and a detailed, justified budget for the numbers and type of trainee positions requested. The cost principles for awards under this program are set forth in HHS regulations at 45 CFR 75, Subpart E and Appendix 1X (hospitals) to Part 75. Commercial organizations are subject to the cost principles located at 48 CFR 31.2 Federal Acquisition Regulation. See the NIH Grants Policy Statement for further guidance on the applicability of cost principles (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). For SBIR and STTR grants, the applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Awards made under this program are subject to 2 CFR 200, as implemented by 45 CFR 75 "Public Welfare, Uniform Administrative Requirements, Cost Principles and Audit Requirements for HHS Awards." The policies and procedures generally applicable to NIH grants are set forth in the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>).

Award Procedure:

Research grant, career development, and research training program applications are reviewed initially by technical panels composed of scientific authorities, and by the National Deafness and Other Communication Disorders Advisory Council composed of 18 leaders in medicine, science, education, and public affairs. Approved applications will compete on a merit basis for available funds. Formal award notices are transmitted to the grantee or awardee. All accepted SBIR/STTR applications are evaluated for scientific and technical

merit by an appropriate scientific peer review panel and by a national advisory council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Research Grants and Centers Grants: Approximately 6 to 9 months. Career Program: From 6 to 9 months. SBIR/STTR applications: About 6 to 9 months. Institutional Training Grants: From 10 to 12 months. Individual Fellowships: From 4 to 6 months.

Appeals:

A principal investigator (PI) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedure is available at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>. Final decisions on unresolved appeals are made with the advice of the National Deafness and Other Communication Disorders Advisory Council.

Renewals:

> 180 Days. By application and review in the same manner as new applications. Research career awards are not renewable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Research Grant awards are made for a 12-month period with recommendation of up to 4 years of additional support. Center Grant awards support may be for a period not to exceed 5 years. Career development awards provide support for up to 5 years. NRSA fellowship and traineeship awards are usually for a 12-month period, with recommendation of additional support of no more than 5 years for predoctoral training and no more than 3 years for postdoctoral training. SBIR Phase I awards are generally for 6 months; STTR Phase I awards are generally for 1 year; Phase II awards normally may not exceed 2 years. See the following for information on how assistance is awarded/released: After the progress report is evaluated and deemed satisfactory, a notice of grant award for each grant is issued annually.

Reports:

No program reports are required. The grantee organization submits quarterly Federal Financial Reports (SF-425). A progress report is required yearly for each grant. A financial status report is due either annually or at the end of the project period, depending upon the type of grant. Performance monitoring is covered in the annual progress report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0890-0-1-552.

Obligations:

(Project Grants) FY 16 \$346,134,641; FY 17 est \$353,024,000; and FY 18 est \$260,766,000

Range and Average of Financial Assistance:

Project Grants:

FY16 - Ranged from \$4,589 to \$2,360,934; Average was \$332,822.

TAFS Codes:

75-0890.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: ---

. A total of 1,040 awards were made.

Of these, 316 competitive awards were made from 1,091 applications, a ratio of 29%.

Of these, 724 noncompetitive continuation awards were made.

Fiscal Year 2017: ---

. It is estimated that a total of 1,039 awards will be made.

Of these, 303 competitive awards will be made from 1,115 applications, a ratio of 27%.

Of these, 736 noncompetitive continuation awards will be made.

Fiscal Year 2018: ---

. It is estimated that a total of 812 awards will be made.

Of these, 62 competitive awards will be made from 1,140 applications, a ratio of 5%.

Of these, 750 noncompetitive continuation awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Project Grants and Centers Grants: 42 CFR 52 or 52a; 42 CFR 66; 45 CFR 75; 45 CFR 92; Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Debra S. Holmes 6001 Executive Boulevard
Room 8328 - MSC 9670, Bethesda, Maryland 20892-9670 Email:
holmesd@mail.nih.gov Phone: 3014968693 Fax: 3014026250

Website Address:

<http://www.nided.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: See www.report.nih.gov/. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the environment and available resources for the proposed project; (5) the necessity of budget components requested in relation to the proposed project; (6) the relevance and importance to announced program objectives; (7) innovation; (8) risk to human subjects, including inclusion of minorities and children, and animal protection. The following additional criteria will be used in considering the scientific and technical merit of SBIR/STTR grant applications: (1) The technological innovation of the proposed research; (2) the potential of the proposed research for commercial application.

93.178 NURSING WORKFORCE DIVERSITY

NWD

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 821 of the Public Health Service Act (42 U.S.C. 296m).

OBJECTIVES:

To support projects that assist underrepresented students throughout the educational pipeline to become registered nurses, facilitate diploma or associate degree registered nurses becoming baccalaureate-prepared registered nurses, and prepare practicing registered nurses for advanced nursing education. The NWD program increases nursing education opportunities for individuals who are from disadvantaged backgrounds (including racial and ethnic minorities under-represented among registered nurses) by providing (1) student scholarships or stipends for diploma or associate degree nurses to enter a bridge or degree completion program, and (2) student scholarships or stipends for accelerated nursing degree programs, pre-entry preparation, advanced education preparation, and retention activities.

Achieving a diverse, culturally-aligned, and competent workforce that is reflective of the population served will require development of new workforce strategies. New workforce strategies must extend beyond individual-level interventions to address social and structural forces that impede efforts towards workforce diversity and health equity NWD program encourages applications that offer innovative projects that address the social determinants of health and how the social determinants impact a School of Nursing's ability to recruit, retain, and graduate underrepresented students.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential travel expenses and student stipends or scholarships; and other expenses related to the project. Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

Eligible applicants are collegiate schools of nursing, nursing centers, academic health centers, State or local governments, and other private or public entities accredited by a recognized body or bodies or state agency, approved for the purpose of nursing education by the Secretary of Education. In addition to schools in the 50 states, only those in the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, the U.S. Virgin Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau are eligible to apply. Federally Recognized Indian Tribal Government and Native American organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Accredited public and nonprofit private schools of nursing and other public or nonprofit private entities. Project participants must be in an accredited program, a citizen of the United States, a non-citizen national of the United States or a foreign national who possesses a visa permitting permanent residence in the United States. Individuals on temporary or student visas are not eligible participants and may not receive NWD grant support.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 4-year project period. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS); the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. No cash reports are required. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$13,867,000; FY 17 est \$13,661,909; and FY 18 est \$0

Range and Average of Financial Assistance:

FY 15 Range \$180,128- \$353,248; Average award: \$282,256

FY 16 Range: \$293,217-\$350,000; Average award: \$342,061

FY17 Range: \$260,469-\$500,000; Average award: \$455,396.

TAFS Codes:

03-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Tara D. Spencer 5600 Fishers Lane, Rm. 11N94B, Rockville, Maryland 20857
Email: tspencer@hrsa.gov Phone: 301-443-3192

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.184 DISABILITIES PREVENTION

(Disability and Health)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Executive Order This program is authorized under Section 317C [42 U.S.C. 247b-4] of the Public Health Service Act., Title 42, Part 241(a), Section 301(a), Public Law USC-42 U.S.C. 247(b).

OBJECTIVES:

(1) Support National Centers on Disability to develop, implement, evaluate, and disseminate non-research activities aimed at reducing health disparities and improving the health of people with mobility limitations and/or intellectual disabilities (ID) across their lifespans, and (2) Develop and strengthen the capacity of state-based disability and health programs to improve the health and quality of life among people with disabilities through adaptation and

implementation of evidence-based strategies in states, U.S. territories, and tribal governments.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

CDC issued continuation awards in fiscal year 2015 to three Resource Centers and 18 State-based disability and health cooperative agreements. These awards ended in 2016. In April, 2016 CDC issued new competitive awards to two National Centers on Health Promotion for People with Disabilities. New competitive awards were also issued to 19 State-based Disability and Health programs in July 2016. In fiscal year 2017, CDC issues continuation awards to the two National Centers on Health Promotion for People with Disabilities and the 19 State-based Disability and Health cooperative agreements. In addition, supplemental funds were given to one National Center (Special Olympics) and six Disability and Health States (Arkansas, Massachusetts, New Hampshire, New York, Oregon and South Carolina) to supplement existing programmatic activities.

National Centers on Disability: These cooperative agreements are providing financial assistance to: (1) improve knowledge and awareness about the usefulness and effectiveness of health promotion programs and policy, systems and environmental improvements for people with mobility limitations and/or intellectual disabilities, (2) develop, implement, evaluate, and disseminate multi-level strategies (e.g., programmatic, policy, systems and environmental changes) that improve the health and quality of life of people with mobility limitations and/or intellectual disabilities, (3) implement the Healthy Athletes Program for people with ID, and (4) collaborate with and support states and academic centers to improve the health of people with mobility limitations and/or intellectual disabilities.

State-based Disability and Health Programs: These cooperative agreements are providing financial assistance to develop and strengthen internal capacity and health-related disability programs to (1) improve knowledge and awareness about the usefulness and effectiveness of programmatic, policy, systems and environmental changes for people with select functional disability types (i.e., mobility limitations and/or Intellectual Disabilities, and (2) support states to plan, implement, evaluate, and disseminate non-research activities aimed at promoting inclusion and accessibility and reducing health disparities between people with and without disabilities.

In these cases, project funds may not be used to supplant State, local, or institutional funds available for these activities, or for construction costs, or to purchase facilities or space. Grantees may enter into contracts as necessary to help achieve the objectives of their respective programs.

Applicant Eligibility:

Based on available funding for fiscal year 2016, CDC issued competitive awards to two National Centers on Disability and 19 State-based Disability and Health Programs. Eligibility for the National Centers on Disability included: Public and State controlled institutions of higher education; Native American tribal organizations (other than Federally recognized tribal governments); Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education; Nonprofits without 501(c)(3) status with the IRS, other than institutions of higher education; and Private colleges and universities. Eligibility for the State-based Disability and Health Programs included: State governments; Native American tribal governments (Federally recognized); and Government Organizations (States, including the District of Columbia, Territorial governments or their bona fide agents in the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Beneficiary Eligibility:

In addition to the eligible applicants, other groups who will receive benefits from the program include persons with disabilities and their family members of persons with disabilities, persons with limb loss, minority populations, refugees, infants, children, youth, adults, senior citizens, women, all educational levels, all income levels, urban, suburban, and rural populations, health/rehabilitation professionals, scientists, educators, and researchers.

Credentials/Documentation:

State disability and health and resource center grantees documented in their

fiscal year 2015 continuing applications the need for assistance, indicated the objectives of their projects, presented their approaches to meet set objectives, and outlined the method of operation. Grantees funded in fiscal year 2016 followed the criteria set forth in the respective Funding Opportunity Announcements. In fiscal year 2017, these National Centers and State disability and health grantees documented in their continuing applications the need for assistance, indicated the objectives of their projects, and presented their approaches to meet set objectives. For nonprofit recipients, costs will be determined in accordance with HHS Regulation 45 CFR 74. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The guidelines will indicate whether or not an application is subject to Executive Order 12372. If the application is subject for review per State Executive Order 12372, please follow these instructions:

1. The SPOC should be contacted as early as possible to receive any necessary instructions on the State process. If the project serves more than one State, the department should contact the SPOC of each affected State.
2. The necessary information should be mailed to the SPOC.
3. Please provide a copy of the cover letter sent to the SPOC to Grants & Contracts along with the hard copy application. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply for this funding opportunity, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Forms and instructions are available in an interactive format on the Grants.gov web site, at the following Internet address: www.grants.gov. Submit the final application using the www.grants.gov website. This program is subject to the provisions set forth in 45 CFR 92, for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

The National Centers on Disability and the State-based Disability and Health Program competitive awards were determined by an internal objective review process at CDC. Research project grant competitive awards are determined by an external peer review. At such times, awards will be based on evaluation criteria set forth in the respective Program Announcements, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC. The awards for National Centers on Disability and the State-based Disability and Health Programs were both issued for a five year project period. The Notices of Grant Award indicate financial support for the first budget year, allocations of Federal funds by budget category, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Between 60 to 95 days from the deadline date for submission of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Two National Centers on Disability and 19 State-based Disability and Health Programs received financial assistance in fiscal year 2016 for five year project periods. Other future solicitations (when announced) will indicate the length of the project periods and program requirements for each of these programs.

Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual progress reports are required. Federal financial reports are required no later than 90 days after the end of each specified budget period. Final financial status reports and a final program report is required 90 days after the end of the project. Cash reports are not applicable. Annual progress reports are required. Federal financial reports are required no later than 90 days after the end of each specified budget period. Final financial status reports and a final program report is required 90 days after the end of the project. Cash reports are not applicable. Financial status reports are required no later than 90 days after the end of each specified budget period. Final financial status reports and a final program report is required 90 days after the end of the project. Annual progress reports are required. Financial status reports are required no later than 90 days after the end of each specified budget period. Final financial status reports and a final program report is required 90 days after the end of the project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0958-1-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$11,399,995; FY 17 est \$13,899,995; and FY 18 est \$13,899,995

Range and Average of Financial Assistance:

National Centers on Disability: FY16 funding range is \$1,500,000 to \$4,500,000; FY17 funding range is \$1,500,000 to \$6,700,000. State-based Disability and Health Programs: FY16/17 funding range is \$150,000 to \$450,000.

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

JoAnn Thierry, PhD 1600 Clifton Rd., MS-88
, Atlanta, Georgia 30333 Email: jxt4@cdc.gov Phone: 404-498-6730

Website Address:

<http://www.cdc.gov/ncbddd/disabilityandhealth/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

There are no new projects anticipated for fiscal year 2017 or 2018. However, if future funding opportunities become available, applications will be reviewed based on evaluation criteria explicit in the Program Announcements.

Applications must address demonstrated program need, the magnitude of the problem, the management work plan, collaborative associations, and the approach toward setting and meeting overall project objectives and time frames.

93.185 IMMUNIZATION RESEARCH, DEMONSTRATION, PUBLIC INFORMATION AND EDUCATION TRAINING AND CLINICAL SKILLS IMPROVEMENT PROJECTS

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Sections 317, 317(k)(2), 318 and 318B of the Public Health Service Act (42 U.S.C. Sections 247b, 247b(k)(2) and 247c), as amended.

OBJECTIVES:

To assist states, political subdivisions of states, and other public and private nonprofit entities to conduct research, demonstration projects, and provide public information on vaccine-preventable diseases and conditions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with organizing and conducting these projects, and in certain circumstances, for purchasing vaccine. Requests for direct assistance (i.e., "in lieu of cash") for personnel, vaccines, and other forms of direct assistance will be considered. Funds may not be used to supplant existing immunization program activities.

Applicant Eligibility:

Under Section 317(k) of the Public Health Service Act: States, political subdivision of states, and other public and private nonprofit entities.

Beneficiary Eligibility:

Under Section 317(k) of the Public Health Service Act: States, political subdivisions of states, and other public and private nonprofit entities.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. For nonprofit recipients, costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To apply for this funding opportunity, applicants must download

application forms and applications must be submitted electronically at www.Grants.gov. The standard application forms must be used for this program, as furnished by CDC and required by 45 CFR 92 for state and local governmental agencies, and by OMB Circular No. A-110 for nongovernmental applicants. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office, Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: telephone (770) 488-2700, email: PGOTIM@cdc.gov.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and emailed to the program director and a hard copy mailed to the recipient fiscal officer identified in the application. Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Project periods are for one to five years with 12-month renewals. Applications must be obtained from Grants Management Officer, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: 770-488-2716.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period: Variable. Budget period: 12 months unless otherwise specified. See the following for information on how assistance is awarded/released: Various avenues.

Reports:

Subject to OMB clearance, quarterly narrative progress reports (including appropriate statistical data) may be required 30 days after the end of each quarter. Narratives should address progress or lack of progress in achieving project objectives, including methods being used to resolve problems. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period. Cash reports are not applicable. Progress reports may be applicable. Cash reports are not applicable. Progress reports may be required. This will be identified in the Funding Opportunity Announcement. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or

until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$4,038,804; FY 17 est \$8,407,817; and FY 18 est \$3,782,239

Range and Average of Financial Assistance:

Varies.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ercila Harrison NCIRD
1600 Clifton Road, Mailstop A-27, Atlanta, Georgia 30333 Email:
ezs8@cdc.gov Phone: (404) 639-2110 Fax: (404) 639-9113

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.268 Immunization Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.186 NATIONAL RESEARCH SERVICE AWARD IN PRIMARY CARE MEDICINE

Ruth L. Kirschstein National Research Service Award Institutional Research Training Grant (NRSA)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 487 of the Public Health Service Act, as amended (42 USC 288) and under Federal Regulations 42 CFR 66.

OBJECTIVES:

The purpose of the Ruth L. Kirschstein National Research Service Award program is to prepare qualified individuals for careers that will have significant impact on the nations primary care research agenda and ensure that a diverse and highly trained workforce is available to assume leadership roles in the area of primary health care research. The HRSA NRSA program supports institutional training grants awarded to eligible institutions to develop or enhance postdoctoral research training opportunities for individuals who are planning to pursue careers in primary care research.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

NRSA grants are made to approved institutions to enable them to provide up to five years of fellowship support to qualified postdoctoral individuals undertaking primary care research training. Each NRSA trainee is obligated to comply with federal service and payback provisions. Trainees in the first 12 months of postdoctoral support incur one month of service payback obligation for each month of support. Postdoctoral trainees in the 13th and subsequent months of NRSA support do not incur any further service obligation. In

addition, the 13th and subsequent months of postdoctoral training serve to discharge the NRSA payback obligation. Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Stipends may only be used for cost of living expenses during the period of training.

Applicant Eligibility:

Eligible applicants are those entities that have received a grant under Title VII, sections 736, 739, or 747 of the Public Health Service (PHS) Act designed to prepare the primary health care workforce. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Individuals and public or private nonprofit organizations or institutions, including state or local governments and U.S. Territories. Participants must be U.S. Citizens, non-citizen nationals, or foreign nationals who possess visas permitting permanent residence in the United States. Individuals on temporary or student visas are not eligible. Postdoctoral trainees must have received, as of the beginning date of the NRSA appointment, a Ph.D., M.D., D.O., D.D.S., D.M.D., or comparable doctoral degree from an accredited domestic or foreign institution.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications that must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification of award is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 5-year project period. No individual trainee may receive more than three years of aggregate National Research Service Award support at the post-doctoral level, including any combination of support from institutional and individual awards. See the following for information on how assistance is awarded/released: recipients

draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. Cash reports are not applicable. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.

Awardee institution project directors are required to complete quarterly conference calls with their project officer. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3- year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$7,969,505; FY 17 Estimate Not Available(Exp: TBD pending amount from NIH); and FY 18 est \$0

Range and Average of Financial Assistance:

The range of awards in FY 2016 is \$159,362 to \$400,000. The average award is \$365,889.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Academic Year 2015-2016, 107 individuals (104 fellows and 3 faculty) received NRSA-funded training and financial support. NRSA fellows and faculty authored 233 presentations at professional conferences and 173 articles related to primary care, and the NRSA trainees received 3 education grants and 47 research grants, eight of which were greater than \$100,000. The majority of fellows intended to seek employment in research (82.2%), teaching (42.2%), and/or primary care (51.1%). Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose

of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Svetlana Cicale, MPH, Project Officer, Medical Training and Geriatrics Branch, Division of Medicine and Dentistry, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services 5600 Fishers Lane, Room 15N130D, Rockville, Maryland 20857 Email: scicale@hrsa.gov Phone: (301) 443-7271 Fax: 301-443-8890

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.187 UNDERGRADUATE SCHOLARSHIP PROGRAM FOR INDIVIDUALS FROM DISADVANTAGED BACKGROUNDS

NIH Undergraduate Scholarship Program (UGSP)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Part G, Section 487D.

OBJECTIVES:

To provide service-conditioned scholarships to individuals from disadvantaged backgrounds who agree to pursue undergraduate education at accredited institutions. Individuals must pursue a course of academic study which prepares them for professions needed by the National Institutes of Health (NIH). NIH expects to facilitate interest of these individuals in pursuing careers in the biomedical/biobehavioral sciences at the NIH.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

This program will provide scholarships to individuals who have completed their first year of studies and are enrolled or accepted for enrollment as full-time students at an accredited 4-year undergraduate institution of higher education and who are from disadvantaged backgrounds. Each recipient must agree by written contract to serve as an NIH employee full-time for not less than 10 consecutive weeks of each year during which the individual is attending the undergraduate institution and receiving a scholarship; and, not later than 60 days after obtaining the educational degree, each recipient must begin serving as a full-time NIH employee for one year for each year of NIH scholarship support (a deferment of the service obligation may be obtained if the Director, NIH, determines that such is appropriate). Applicants must submit a proposed academic program for each academic year and agree that this program will not be altered without prior approval. The applicant must be in good academic (maintain a minimum GPA of 3.3) standing with their educational institution for continuation under the scholarship program. Maximum scholarship per academic year is \$20,000. Scholarship funds may only be used for tuition

expenses and qualified educational and living expenses incurred while attending school.

Applicant Eligibility:

An eligible applicant must be (1) A U.S. citizen, national, or permanent resident; (2) enrolled or accepted for enrollment as a full-time student at an accredited undergraduate institution (4 year school) of higher education; (3) from a disadvantaged background as determined by the Secretary of Health and Human Services; (4) in good academic standing (minimum GPA of 3.3 or be in the top 5 percent) with his/her educational institution; and (5) submit an application to participate in the Undergraduate Scholarship (UGS) Program; (6) agree to serve as a full-time NIH employee for not less than 10 consecutive weeks of each year during which the individual is attending the educational institution and receiving a scholarship; (7) agree to serve as a full-time NIH employee for 12 months for each academic year during which the scholarship was provided, not later than 60 days after obtaining his or her academic degree, unless a service deferment is granted.

Beneficiary Eligibility:

Undergraduate students from disadvantaged backgrounds pursuing academic programs supporting professions needed by the NIH.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) Verification of enrollment or acceptance to a 4-year accredited institution of higher education (4 year school); (2) a proposed academic program for the school year; (3) certification from the academic institution that the applicant is in good academic standing (minimum GPA of 3.3, or be in the top 5 percent); (4) certification from the academic institution that the applicant qualifies for participation in an educational assistance program for individuals from disadvantaged backgrounds as defined by the Secretary of Health and Human Services; and (5) other documentation as may be required by law, statute, or regulation. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application, a signed contract, and other required information (as listed in the application packet) are submitted to the Program office. Once an application package is received by the administering Program Office, preliminary eligibility will be determined and highly qualified applicants will be interviewed. Final determination of awards will be made upon review by the Undergraduate Scholarship Program Committee at a regularly scheduled meeting.

Award Procedure:

Priority will be given to applicants who are prior recipients. The NIH Undergraduate Scholarship Program Committee will review, rank, and approve or disapprove applications submitted for program participation. Criteria which determine competitive ranking for awards may include: (1) career goal in biomedical/biobehavioral research; (2) academic performance; and (3) letters of recommendation. A student's financial need alone does not affect selection. Applicants approved for award will be notified by the Program Director. The Secretary, and his/her designee will sign the service contract of approved applicants, provide a copy to the participant and undergraduate institution notice of approved award amount. Scholarship payments, including room and board, books, fees and transportation are made to the college or university for dispersal.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The approximate time for approval/disapproval is 3 months.

Appeals:

Not Applicable.

Renewals:

Annual renewal applications are required to extend the award. Extensions of the scholarship awards are subject to availability of funds, acceptable academic and laboratory performance.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each award covers 1 calendar year, and may be extended for a maximum of 4 years. No awardee may receive more than 4 years of support. See the following for information on how assistance is awarded/released: Scholarships are disbursed to the student's university at the beginning of each academic term.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-6846-0-1-552.

Obligations:

(Direct Payments for Specified Use) FY 16 \$3,837,463; FY 17 est \$3,801,002; and FY 18 est \$3,837,463 - Funds are obligated from the appropriated budget to cover scholarship awards to a maximum of \$20,000 per participant per academic year. Additional funds are used to fund the salaries of participants completing their service obligation, which are performed in exchange for the award they received as an undergraduate.

Range and Average of Financial Assistance:

Scholarship awards over the past seven years have ranged from \$2,000-\$20,000, with the average award amount of \$9,000.

TAFS Codes:

75-009-.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact: Darryl Murray, 301-594-2222, murrayda@mail.nih.gov.

Headquarters Office:

Darryl Murray Building 2, Room 2E20
Two Center Drive, Bethesda, Maryland 20892 Email: murrayda@mail.nih.gov
Phone: 301-594-2222

Website Address:

<https://www.training.nih.gov/programs/ugsp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The NIH Undergraduate Scholarship Program Committee will review, rank, and approve or disapprove applications based on career goals and academic performance. Priority will be given to applicants who have demonstrated good academic performance, demonstrated a career goal to pursue biomedical/biobehavioral research, and have characteristics which support the likelihood they will complete their service obligations.

93.191 GRADUATE PSYCHOLOGY EDUCATION

Graduate Psychology Education (GPE) Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Sections 750 and 756 (a)(2) of the Public Health Service Act (USC 42 USC 294; 294e-1).

OBJECTIVES:

The Graduate Psychology Education Program supports training of doctoral-level psychologists to provide behavioral health care, including substance abuse prevention and treatment services, in a setting that provides integrated primary and behavioral health services to underserved and/or rural populations. Applicants must emphasize the integration of behavioral and primary healthcare into clinical practice.

The program is designed to foster an integrated and interprofessional approach to addressing access to behavioral healthcare for underserved and/or rural populations.

Grants will be awarded to assist eligible entities in meeting the costs to develop, operate, and maintain graduate psychology doctoral programs, internships, and post-doctoral residency programs in health psychology.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible entities are required to provide a budget, with narrative, that includes no less than sixty (60) percent of a recipients overall requested budget (direct and indirect costs) dedicated and distributed as stipends to trainees in practice, internships, and residencies.

Up to forty (40) percent of funding may be dedicated to (1) development or enhancement of didactic and experiential training activities in integrated and team-based care; (2) financial support for the supervision of students, interns, residents and other allowable trainee-related expenses; (3) program administration and management; and (4) data collection.

Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

Public or private nonprofit schools, universities, or other educational entities which provide for graduate psychology education and training or other public or private nonprofit entities capable, as determined by the Secretary, of carrying out the objectives of the project. Eligible entities are American Psychological Association (APA)-accredited doctoral-level schools and programs of health service psychology, APA-accredited doctoral internships in professional psychology, and APA-accredited post-doctoral residency programs in practice psychology.

Federally Recognized Indian Tribal Government and Native American Organizations may apply if otherwise eligible. The eligible entity must demonstrate that the training within an APA accredited psychology training school or program, internship, and post-doctoral residency program will occur in collaboration with two or more health disciplines other than psychology.

Beneficiary Eligibility:

Doctoral students, doctoral interns, and post-doctoral residents receiving a stipend in the GPE Program must be in an APA-accredited program, a citizen of the United States, a non-citizen national of the United States, or a foreign national who possesses a visa permitting permanent residence in the United States. Individuals on temporary or student visas are not eligible participants.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity

issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120-180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 3-year project period. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. Cash reports are not applicable. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$7,900,000; FY 17 est \$7,935,343; and FY 18 est \$0

Range and Average of Financial Assistance:

FY 16: Range: \$47,213 - \$350,000. Average: \$249,057
FY 17: Range: \$47,213 - \$350,000; Average \$255,507.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Cynthia Harne 5600 Fishers Lane Parklawn Building Room 11N138, Rockville, Maryland 20857 Email: charne@hrsa.gov Phone: 301-443-7661

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources, and Capabilities, and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.193 URBAN INDIAN HEALTH SERVICES

The Indian Health Service (IHS)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Improvement Act, Title V, Public Law 102-572; Indian Health Care Improvement Act, Executive Order Section 503, Title V, Section 511, Public Law 94-437.

OBJECTIVES:

Grants provide health-related services to Urban Indians including: (1) Alcohol and substance abuse prevention, treatment, rehabilitation, and education; (2) Mental health needs assessment and services; (3) Health promotion and disease prevention services; (4) Immunization services; and (5) HIV/AIDS prevention and care. Cooperative Agreement provides services and advocacy for Urban Indian Organizations including: (1) Public policy; (2) Research and data; (3) training and technical assistance; (4) Education, public relations and marketing.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

These grants are limited to established urban Indian health services. The recipient organization must be an urban Indian organization with whom the Secretary has entered into a contract or grant under Title V of the Indian Health Care Improvement Act (25 U.S.C. 1651 et seq). 100% funds under the Urban Indian Health Program are discretionary activities.

Applicant Eligibility:

Urban Indian organizations, as defined by 25 U.S.C. 1603(29), operating a Title V Urban Indian Health Program that currently has a grant or contract with the IHS under Title V of the Indian Health Care Improvement Act, (Pub. L. 93-437).

Beneficiary Eligibility:

Urban Indians residing in the urban centers in which the organization is located.

Credentials/Documentation:

The applicant must provide documentation of: (1) Nonprofit status; and (2) that it has a current contract or grant with the Indian Health Service under Title V of the Indian Health Care Improvement Act. Costs will be determined in accordance with 45 C.F.R. Part 75, Subpart E, 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 45 C.F.R. Part 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards (Uniform Guidance) apply to this program

Award Procedure:

Grants are awarded to all eligible organizations based on a competitive grant process. Applications are processed and grants are awarded by Indian Health Service Headquarters. Funding level available to an organization is based on specific criteria in the Indian Health Care Improvement Act to include size of urban Indian population, accessibility to, and utilization of, other health resources available to that population and identification of need for services.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved within 90 days of receipt of grant applications by the Indian Health Service, Division of Grants Management.

Appeals:

Not Applicable.

Renewals:

Renewal of multi-year cooperative agreements is on a year-to-year basis and requires the submission of continuation applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants will be awarded for project periods of up to 3 years. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. Depending on services provided, progress and financial reports will be required either quarterly or semi-annually with final performance and financial status reports due 90 after the end of the project period. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. IHS grants are monitored by the Division of Grants Management for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grantees are subject to site visits and audits by the Department of Health and Human Services (DHHS) and other Federal officials.

Records:

In accordance with the provisions of 45 C.F.R. Part 75, "UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR HHS AWARDS," Subpart F Audit Requirements (Applies to audits of fiscal years beginning on or after December 26, 2014) non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 75.503. In addition, grantees are subject to site visits and audits by the Department of Health and Human Services (DHHS) and other Federal officials.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$850,000; FY 17 est \$800,000; and FY 18 est \$800,000 - Obligations for Urban Indian Education and Research program. (Project Grants (Discretionary)) FY 16 \$8,326,505; FY 17 est \$7,634,772; and FY 18 est \$7,634,772 - Obligations for Urban Indian Health Programs 4-in-1 program.

Range and Average of Financial Assistance:

\$149,950 to \$1,096,176; \$280,678.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program guidelines, including applicable sections of Public Law 94-437, as amended; 45 CFR 74 and 92; and the HHS Grants Policy Statement, dated 1/07.

Regional or Local Office:

None. Program Contact: Ms. Shannon Beyale, Health Information Specialist, Office of Urban Indian Health Programs, Indian Health Service, 5600 Fishers Lane, Mail Stop: 08E65C, Rockville, MD 20857. Telephone: (301) 945-3657.

Grants Management Contact: Mr. Robert Tarwater, Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop: 09E70, Rockville, MD 20857. Telephone: (301) 443-5204, Fax (301) 594-0899.

Headquarters Office:

Grants Policy Office, 5600 Fishers Lane, Mail Stop: 09E70, Rockville,

Maryland 20857 Email: grantspolicy@ihs.gov Phone: (301) 443-5204

Website Address:

http://www.ihs.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by staff of the Indian Health Service to ensure compliance with the following: (1) Size of the urban Indian population; (2) accessibility to, and utilization of, other health resources available to such population; (3) duplication of existing Indian Health Service or other Federal grants or contracts; (4) capability of the organization to adequately perform the activities required under the grant; (5) satisfactory performance standards for the organization in meeting the goals; (6) identification of need for services; and (7) proposed methodology for accomplishing the stated goals of the program.

93.197 CHILDHOOD LEAD POISONING PREVENTION PROJECTS, STATE AND LOCAL CHILDHOOD LEAD POISONING PREVENTION AND SURVEILLANCE OF BLOOD LEAD LEVELS IN CHILDREN

(Childhood Lead Poisoning Prevention Program (CLPPP))

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Executive Order Section 317 (k)(2) and 3171 of the Public Health Service Act, 42 U.S.C. Sections 247b and 247b-10, as amended, Title 42, Part 247b, Section 247b-10.

OBJECTIVES:

To (1) Develop and/or enhance a surveillance system that monitors all blood lead levels; (2) assure that children who are potentially exposed to lead receive follow up care; (3) assure awareness and action among the general public and affected professionals in relation to preventing childhood lead poisoning in high risk areas in collaboration with other government and community based organizations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards are to be used by State and local government agencies to develop, improve, and expand their capacity to address the problem of childhood lead poisoning in communities with demonstrated high-risk populations. Recipients of awards are expected to: (a) write, implement and evaluate a jurisdiction-wide childhood lead poisoning elimination plan; (b) write, implement and evaluate screening plans to target resources to children at the highest risk for lead poisoning; (c) maintain a jurisdiction-wide childhood lead surveillance program, with an analysis plan for collected data, (d) conduct primary prevention activities for pregnant women and/or families with children at high risk for lead poisoning, (e) develop an assurance plan for timely and appropriate case management of children with elevated blood lead levels, (f) demonstrate strategic partnering with community organizations and with other state/local agencies involved in environmental and child health activities, (g) coordinate with organizations and agencies involved in lead-based paint hazard reduction activities and development of protective policy; and (h) evaluate programmatic impact on childhood lead poisoning within the applicant's jurisdiction. Awards cannot supplant existing funding for childhood lead poisoning prevention programs or activities. Grant awards may not be expended for medical care and treatment, or for environmental remediation of lead sources, however, there must be an acceptable plan to ensure that these program activities are appropriately carried out. The surveillance component of this grant is intended to assist State health departments in implementing or enhancing a complete surveillance system to track all PbB levels in children. This is essential for grant recipients to target interventions to high-risk populations and to track progress toward eliminating childhood lead poisoning.

Applicant Eligibility:

Assistance will be provided to State health departments or their bonafide agents and the health departments of the following five local jurisdictions (or their bonafide agents) that have the highest estimated number of children with elevated blood lead levels : New York, NY; Chicago, IL; Detroit, MI; Los Angeles County, CA, and Philadelphia, PA, or their bona fide agents. Also eligible are health departments or other official organizational authorities of the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and federally recognized Indian tribal governments. Competition is limited by authorizing legislation.

Beneficiary Eligibility:

In addition to the eligible applicants, others who receive benefits from the program include infants and children from six months to six years of age who are screened for lead poisoning and family members who care for lead-poisoned children. Lead poisoning potentially affects all children, but disproportionately affects minority children and children of low-income families. Since the effects of lead poisoning can be long lasting, benefits of the program can also affect youth and adults, persons at all educational and income levels, and urban, suburban, and rural populations.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, present their approach to meeting the objectives, and outline the methods of operation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application forms are both available from and submitted to the Grants Management Branch, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Standard application forms, as furnished by CDC and required by 45 CFR 92 must be used for this program. This program is subject to the provisions set forth in 45 CFR 92 for State and local governments.

Award Procedure:

Approved grants are funded based on a priority score ranking from an objective review process coordinated by the Centers for Disease Control and Prevention. CDC will give funding preference to state programs with significant estimated numbers of children with elevated blood lead levels that direct federal funds to localities with high concentrations of children at risk for childhood lead poisoning. CDC will also give funding preference to the five local jurisdictions with the highest estimated number of children with elevated blood lead levels. Awards are made based on availability of funds and other significant factors as deemed necessary and appropriate by the agency. Funds are awarded for a one-year (12 month period). A Notice of Grant Award (Form PHS 5161-1) is provided which indicates the current award as well as support recommendations for the remainder of the project period (up to three years). The Notice of Grant Award indicates allocations for Federal funds by budget categories and any special conditions, if applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. From two to three months.

Appeals:

From 60 to 90 days.

Renewals:

From 90 to 120 days. Renewal requests cannot exceed a five year project period. Priority consideration will be given to noncompeting continuation applications.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period (July 1 - June 30) with project periods of up to five years subject to the availability of funds and satisfactory progress of the grantee. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports. Cash reports are not applicable. Refer to the funding opportunity announcement for details. Refer to the funding opportunity announcement for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, these grants are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0947-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$3,222,179; and FY 18 est \$3,222,179

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0947.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for this program are published under 42 CFR 51b. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994. The basic grant administration policies of DHHS and PHS are also applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Robert Reynolds 4770 Buford Highway, F45, Atlanta, Georgia 30341 Email: VIO9@cdc.gov Phone: 770-488-0563

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the applicant's ability to identify populations and communities at high risk and the overall balance of the program's design to address the childhood lead poisoning problem. This requires the development of specific and measurable objectives and a sound operational plan that includes screening of high risk populations, medical and environmental management, lead hazard remediation, and health education and risk reduction activities to appropriately address the problem. Applications also will be evaluated on the ability of the program to carry out childhood lead poisoning prevention program activities utilizing the core public health functions as well as establishing working relations or partnerships with other agencies, organizations or groups that have interest in the prevention of childhood lead poisoning.

93.209 CONTRACEPTION AND INFERTILITY RESEARCH LOAN REPAYMENT PROGRAM

(CIR LRP)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, 42 U.S.C. 288-2, Title IV (National Research Institutes), Part G (Awards and Training), Section 487B (Loan Repayment Program for Research with Respect to Contraception and Infertility), as amended by Public Law 113-235.

OBJECTIVES:

The CIR-LRP is designed to provide an incentive for health professionals to work in areas of reproductive extramural contraception and/or infertility research, which are defined, respectively, as research that has the ultimate goal to provide new or improved methods of preventing pregnancy and research that has long-range objective to evaluate, treat, or ameliorate conditions which result in the failure of couples to either conceive or bear young by providing assistance in repaying educational loans for individuals. The CIR-LRP will pay up to \$35,000 of the principal and interest of a participant's educational loans for each year of commitment not to exceed one-half of the remaining loan balance. In addition to the loan repayments, the CIR-LRP will pay participants 39 percent of the amount paid to lenders as partial payments on the increased income tax liability caused by the Program's loan repayments. In return for these loan repayments, applicants must agree to commit to a period of obligated service of not less than one year for each year of support awarded conducting research with respect to contraception and/or infertility. The long-range objective of the CIR-LRP is to stimulate the commitment of researchers to sustaining a career focus on contraception and/or infertility research, as defined above.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The assistance provided by the CIR-LRP is entirely of a financial nature in the form of loan repayments. The monies provided by this program are restricted to the repayment of pre-existing, qualified loans procured by the participant for educational expenses incurred in the pursuit of undergraduate or graduate degrees.

Applicant Eligibility:

Applicants must be a U.S. citizen (or U.S. national or permanent resident) and a physician, Ph.D.-level scientist, nurse, physician's assistant, graduate student, or postgraduate research fellow training in the health professions. You must commit to conduct contraception and infertility research for 50% of your time (at least 20 hours weekly based on a 40 hour week) for two years and it must be funded by a domestic nonprofit or U.S. Government (Federal, state or local) entity. Also, the research must not be prohibited by Federal law or NIH policy. Complete eligibility information is available at <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-17-079.html>.

Beneficiary Eligibility:

Assistance in the form of loan repayments will be made to qualified health professionals (including graduate students) who are participants in the

CIR-LRP.

Credentials/Documentation:

In order to be considered for selection into the CIR-LRP, eligible applicants must complete and submit the electronic application materials located at www.lrp.nih.gov, which include: (1) a Loan Verification Form for each loan to be considered for repayment; (2) an institutional statement providing assurance that the applicant will be engaged in employment/training at a qualified domestic nonprofit institution (tax-exempt under 26 USC 501) or U.S federal, state or local government entity, for not less than two years from the anticipated effective date of the NIH Loan Repayment Program Contract between the individual and NICHD (this assurance is conducted electronically); and (3) a signed NIH Loan Repayment Program Contract by which the applicant agrees to serve the obligated minimum period, one year for each year of support awarded, conducting contraception and/or infertility research at a qualified domestic nonprofit institution (tax-exempt under 26 USC 501). Renewal applicants may request one-year awards. For these applicants, a one-year institutional statement providing assurance and NIH Loan Repayment Program Contract is sufficient. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Information regarding the CIR-LRP and the preparation of forms for application to the CIR-LRP can be obtained at <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-17-079.html> and by contacting the LRP Info Center at www.lrp.nih.gov, (866) 849-4047 (Mon-Fri 9AM - 5PM Eastern Time) and lrp@nih.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Qualified individuals interested in participating in the CIR-LRP should visit the NIH Loan Repayment Program Website at www.lrp.nih.gov for all application forms and requirements. The application will be evaluated by a Review Committee, convened for this purpose by the Scientific Review Branch, NICHD, NIH, HHS. In selecting applicants for participation in the CIR-LRP, the panel will consider the review criteria listed under Application Review Process at <https://www.lrp.nih.gov/faqs>. All selections are subject to final approval by the Director, NICHD. The NICHD will notify the sponsoring grantee institution and the applicant of the outcome of the review.

Award Procedure:

Once the applicant is selected to participate in the CIR-LRP, the applicant and the Director, NICHD, as a designee of the Secretary of Health and Human Services, will sign a written binding contract stating the terms and conditions for participating in the CIR-LRP. The effective date of the contract will be the date it is signed by the Director, NICHD, or the date employment/training begins at an NICHD-funded Specialized Contraception or Infertility Research Center, whichever is later.

Deadlines:

Sep 01, 2017 to Nov 15, 2017: Dates that the earliest applications will be accepted can be found at <http://www.lrp.nih.gov/index.aspx>. Applications can be received at the deadlines established and announced each year at <http://www.lrp.nih.gov/>.

Range of Approval/Disapproval Time:

Approximately 330 Days. The selection process takes approximately 11 months following the application deadline.

Appeals:

Not Applicable.

Renewals:

A CIR-LRP participant completing the initial 2-year period of obligated service conducting research with respect to contraception and/or infertility has an option to apply annually for a renewal of their contract to extend the obligatory period of service for either one or two years. NOTE: this request will go through the same competitive, peer-reviewed process initial applicants experience.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The CIR-LRP will pay equal quarterly payments to the lenders. Each payment will be made shortly after the end of each full quarter of satisfactory service. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

75-0844-0-1-552.

Obligations:

(Direct Payments for Specified Use) FY 16 \$995,110; FY 17 est \$996,000; and FY 18 est \$996,000

Range and Average of Financial Assistance:

In fiscal year 2016 the range of financial assistance was between \$11,001 and \$97,300. The average contract cost was \$62,194.

TAFS Codes:

75-0844.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In fiscal year 2016, the actual funding level for the Contraception and Infertility Research LRP was \$995,110 with a total of 16 contracts. Fiscal Year 2017: In fiscal year 2017, the projected funding level for the Contraception and Infertility Research LRP is \$1,023,550 with a projected total of 16 contracts. Fiscal Year 2018: In fiscal year 2018, the projected funding level for the Contraception and Infertility Research LRP is \$767,335 with a projected total of 12 contracts.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Proposed Rulemaking was approved by NIH on September 19, 2001, and by the Department of Health and Human Services on December 31, 2001.

Regional or Local Office:

None.

Headquarters Office:

Steven C. Kaufman, M.D., M.S. 6710B Rockledge Drive, room 2421C, Bethesda, Maryland 20817 Email: kaufmans@mail.nih.gov Phone: 301-435-6989 Fax: 301-480-3901

Website Address:

<https://www.lrp.nih.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds; 93.280 National Institutes of Health Loan Repayment Program for Clinical Researchers; 93.285 National Institutes of Health Pediatric Research Loan Repayment Program; 93.307 Minority Health and Health Disparities Research ; 93.923 Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP); 93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Review criteria for all CIR LRP applications are listed under Application Review Process at <https://www.lrp.nih.gov/faqs>

In addition, CIR LRP renewal applications are reviewed with respect to the

following criteria: (1) Assessment of the renewal applicants research accomplishments during the previous LRP award period and (2) Assessment of the renewal applicants development as an independent investigator.

93.210 TRIBAL SELF-GOVERNANCE PROGRAM: IHS COMPACTS/FUNDING AGREEMENTS

(OTSG Compacts)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act (ISDEAA), 25 U.S.C. 458aaa; Tribal Self-Governance Amendments of 2000, 42 U.S.C 137.1-450.

OBJECTIVES:

To allow Federally recognized Indian Tribes to enter into an agreement with the Indian Health Service (IHS) to assume full funding and control over programs, services, functions and activities (PSFA) (or portions thereof) that the IHS would otherwise provide for Indians because of their status as Indians. Such assumptions shall be through compact and funding agreement or construction project agreement under Title V of the ISDEAA.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds must be spent in accordance with the ISDEAA, applicable regulations and terms of the negotiated compact, funding agreement, or construction project agreement. Per 42 C.F.R. 137.167-168, a Tribe participating in the IHS Self-Governance Program (Self-Governance Tribe) must apply the cost principles of the applicable OMB Circular, except as modified by: (a) 25 U.S.C. 450j-1, (b) other provisions of law, or (c) any exemptions to applicable, subsequent OMB circulars. No other audit or accounting standards shall be required by the Secretary. Per 25 U.S.C 458aaa-7(h) and 42 C.F.R. 137.100, a Self-Governance Tribe may retain and spend interest earned on any funds paid under a compact or funding agreement. Per 42 C.F.R. 137.101, a Self-Governance Tribe must invest and manage the funds as a prudent investor would, in light of the purpose, terms, distribution requirements, and provisions in the compact or funding agreement and ISDEAA Title V. This duty requires the exercise of reasonable care, skill, and caution, and is to be applied to investments not in isolation, but in the context of the investment portfolio and as a part of an overall investment strategy that should incorporate risk and return objectives reasonably suitable to the Self-Governance Tribe. In making and implementing investment decisions, the Self-Governance Tribe must diversify the investments, unless it is imprudent under the circumstances. In addition, the Self-Governance Tribe must: (a) conform to fundamental fiduciary duties of loyalty and impartiality; (b) act with prudence in deciding whether and how to delegate authority and in the selection and supervision of agents; and (c) incur only costs that are reasonable in amount and appropriate to the investment responsibilities of the Self-Governance Tribe.

Applicant Eligibility:

Per 42 C.F.R. 137.18, to be eligible to participate in the IHS Tribal Self-Governance Program (Program), any Federally recognized Indian tribe must: (a) successfully complete the planning phase described in 42 C.F.R. 137.20; (b) request participation in the Program by resolution or other official action by the governing body of each Indian tribe to be served; and (c) demonstrate financial stability and financial management capability for three fiscal years. Per 42 C.F.R. 137.21, the Indian tribe provides evidence that, for the three years prior to participation in the Program, the Indian tribe has had no uncorrected significant and material audit exceptions in the required annual audit of the Indian tribe's self-determination contracts or self-governance funding agreements with any federal agency. Per 42 C.F.R. 137.22, if the Indian Tribe chooses to centralize its self-determination or self-governance financial and administrative functions with non-self-determination or non-self-governance financial and administrative functions, such as personnel, payroll, property management, etc., the Secretary may consider uncorrected significant and material audit exceptions related to the integrity of a cross-cutting centralized function in determining the Indian Tribe's eligibility for participation in the self-governance program. Per 42 C.F.R. 137.26, an Indian tribe does not need to receive a planning or negotiation cooperative

agreement to be eligible to participate in the IHS Tribal Self-Governance Program. An Indian tribe may use other resources to meet the planning requirement and to negotiate. Under 25 U.S.C. 458aaa-2(b)(1), each year, an additional 50 Indian tribes that meet the eligibility criteria are entitled to participate in the IHS Tribal Self-Governance Program.

Beneficiary Eligibility:

Federally-recognized Indian tribes and tribal organizations (as defined in 25 U.S.C. 458aaa-(b)) may benefit.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Per 42 C.F.R. 137.18, to be eligible to participate in the IHS Tribal Self-Governance Program, any Federally recognized Indian tribe must: (a) successfully complete the planning phase described in 42 C.F.R. 137.20; (b) request participation in the IHS Tribal Self-Governance Program by resolution or other official action by the governing body of each Indian tribe to be served; and (c) demonstrate financial stability and financial management capability for three fiscal years. Per 42 C.F.R. 137.21, the Indian tribe provides evidence that, for the three years prior to participation in the IHS Tribal Self-Governance Program, the Indian tribe has had no uncorrected significant and material audit exceptions in the required annual audit of the Indian tribe's self-determination contracts or self-governance funding agreements with any federal agency. Per 42 C.F.R. 137.22, if the Indian Tribe chooses to centralize its self-determination or self-governance financial and administrative functions with non-self-determination or non-self-governance financial and administrative functions, such as personnel, payroll, property management, etc., the Secretary may consider uncorrected significant and material audit exceptions related to the integrity of a cross-cutting centralized function in determining the Indian Tribe's eligibility for participation in the self-governance program.

Per 42 C.F.R. 137.26, an Indian tribe does not need to receive a planning or negotiation cooperative agreement to be eligible to participate in the IHS Tribal Self-Governance Program. An Indian tribe may use other resources to meet the planning requirement and to negotiate. Under 25 U.S.C. 458aaa-2(b)(1), each year, an additional 50 Indian tribes that meet the eligibility criteria shall be entitled to participate in the IHS Tribal Self-Governance Program.

Award Procedure:

Funds are transferred to Indian tribes who have successfully negotiated (1) a compact and funding agreement or (2) a compact, funding agreement, and construction project agreement entered into under ISDEAA Title V.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

See 42 C.F.R. 137.410-445 for regulations governing compacts, funding agreements, and construction project agreements entered into under ISDEAA Title V.

Renewals:

Per 42 C.F.R. 137.35, upon approval and execution of an ISDEAA Title V compact, the compact remains in effect for so long as permitted by Federal law or until terminated by mutual written agreement or retrocession or reassignment of all PSFAs. Per 42 C.F.R. 137.55, a funding agreement shall have the term mutually agreed to by the parties. Under 25 U.S.C. 458aaa-4(e), absent

notification from an Indian tribe that it is withdrawing or retroceding the operation of one or more PSFA identified in the funding agreement, the funding agreement shall remain in full force and effect until a subsequent funding agreement is executed.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

See 42 C.F.R. 137.200-207 for reporting requirements for Self-Governance Tribes under ISDEAA Title V. Per 25 U.S.C. 458aaa-6(a)(1) and 42 C.F.R. 137.200, compacts or funding agreements negotiated between the Secretary and a Self-Governance Tribe must include a provision that requires the Self-Governance Tribe to report on health status and services delivery. These reports may only impose minimal burdens on the Self-Governance Tribes; cash reports, progress reports, expenditure reports, and performance monitoring are not applicable; see 42 C.F.R. 137.351 for reporting requirements for construction project agreements. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Per 25 U.S.C. 458aaa-5(c) and 42 C.F.R. 137.165, Self-Governance Tribes must undertake annual audits pursuant to the Single Audit Act, 31 U.S.C. 7501 et seq. Per 42 C.F.R. 137.166, exceptions are described in 31 U.S.C. 7502 of the Single Audit Act.

Records:

See 42 C.F.R. 137.175-180 for regulations governing records under ISDEAA Title V.

Account Identification:

75-0390-0-1-551.

Obligations:

(Direct Payments for Specified Use) FY 16 \$1,858,200,000; FY 17 est \$1,883,200,000; and FY 18 est \$1,883,200,000

Range and Average of Financial Assistance:

Range \$79,000 to \$153,000,000; Average \$16,563,000.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Indian Self-Determination and Education Assistance Act (ISDEAA), 25 U.S.C. 458aaa; 42 C.F.R. 137.1-150. According to 25 U.S.C. 458aaa-16(e), unless expressly agreed to by the participating Indian tribe in the compact or funding agreement, the participating Indian tribe shall not be subject to any agency circular, policy, manual, guidance, or rule adopted by the Indian Health Service, except for the eligibility provisions of section 105(g) and regulations promulgated under section 517.

Regional or Local Office:

None. Program Contact: Mr. Benjamin P. Smith, Director, Office of Tribal Self-Governance, Indian Health Service, 5600 Fishers Lane, Mail Stop: 08E05, Rockville, MD 20857. Phone: (301) 443-7821 Fax: (301) 443-1050.

Grants Management Contact: Mr. Robert Tarwater, Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204, Fax (301) 594-0899.

Headquarters Office:

Grants Policy Office 5600 Fishers Lane, Mail Stop: 09E70, Rockville, Maryland 20857 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

http://www.ihs.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.211 TELEHEALTH PROGRAMS

There are eight telehealth programs as follows:

- Telehealth Network Grant Program (TNGP)
- National Telehealth Resource Center Program (NTRC)
- Regional Telehealth Resource Center Program (RTRC)
- Licensure Portability Grant Program (LPGP)
- Evidence-Based Tele-Emergency Network Grant Program (EB-TNGP)
- Rural Child Poverty Telehealth Network Grant Program (RCP-TNGP)
- Substance Abuse Treatment Telehealth Network Grant Program (SAT-TNGP)
- Telehealth Center of Excellence (COE)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

The Telehealth Network Grant Program (TNGP) is authorized by Sec. 330I(d)(1) of Public Health Service Act (42 U.S.C. 254c-14(d)(1)), as amended. The National Telehealth Resource Center Program (NTRC) is authorized by Sec. 330I(d)(2) of Public Health Service Act (42 U.S.C. 254c-14(d)(2)), as amended and P.L. 114-254. The Regional Telehealth Resource Center Program is authorized by Sec. 330I(d)(2) of Public Health Service Act (42 U.S.C. 254c-14(d)(2)), as amended and P.L. 114-254. The Licensure Portability Grant Program (LPGP) is authorized by Section 330L of Public Health Service Act as amended, (42 USC 254c-18) and the Consolidated and Further Continuing Appropriations Act, 2015 Division G, 203, (P.L. 113-235). The Evidence-Based Tele-Emergency Network Grant Program (EB-TNGP) is authorized by: Section 711(b) of the Social Security Act (42 U.S.C.912), as amended. The Rural Child Poverty Telehealth Network Grant Program (RCP-TNGP), is authorized by Section 330I(d)(1) of the Public Health Service Act (42 USC 254c-14(d)(1)), as amended. The Substance Abuse Treatment Telehealth Network Grant Program (SAT-TNGP) is authorized by Sec. 330I(d)(1) of Public Health Service Act (42 U.S.C. 254c-14(d)(1), as amended and Public Law No. 115-31. The Telehealth Center of Excellence is authorized by Sec. 711(b)(5) of the Social Security Act (42 U.S.C. 912(b)(5)), as amended and Public Law No. 115-31.

OBJECTIVES:

The purpose of the Telehealth Network Grant Program (TNGP) is to fund grants to demonstrate how telehealth networks improve healthcare services in rural communities. The current cohort is focused on telehealth services delivered through school-based health centers/clinics (SBHC), particularly those serving high-poverty populations.

The National Telehealth Resource Center Program (NTRC) and the Regional Telehealth Resource Center Program (RTRC) are designed to expand the availability of technical assistance in the development of telehealth services, leveraging the experience of mature programs with expertise in providing and implementing telehealth services.

The Licensure Portability Grant Program (LPGP) supports state professional licensing boards to carry out programs under which licensing boards of various states cooperate to develop and implement state policies that will reduce statutory and regulatory barriers to the provision of health care services through telemedicine technology.

The Evidence-Based Tele-Emergency Network Grant Program (EB-TNGP)

supports implementation and evaluation of broad telehealth networks to deliver Emergency Department consultation services via telehealth to rural and community providers without emergency care specialists.

The Rural Child Poverty Telehealth Network Grant Program (RCP-TNGP) demonstrates how telehealth networks can improve access to quality health care and social services for children living in high poverty rural and underserved areas.

The Substance Abuse Treatment Telehealth Network Grant Program (SAT-TNGP) will demonstrate how telehealth programs and networks can improve access to health care services, particularly substance abuse treatment, in rural, frontier, and underserved communities.

The Telehealth Center of Excellence (COE) will test the efficacy of telehealth services in rural areas.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Telehealth Network Grant Program (TNGP)

-Funds support the use of telehealth networks (electronic information and telecommunications technologies to support and promote long-distance health care and ancillary services) for improving access to health care services; provide a baseline of information for a systematic evaluation of telehealth systems; purchase or lease and install equipment; and to operate and evaluate the telehealth system.

Overall, not more than 40 percent of grant funds may be expended for equipment. Not more than 15 percent of grant funds may be expended for indirect costs. Grant funds may not be used for purchasing and installing telecommunications transmission equipment (e.g., microwave towers, satellite dishes, amplifiers, digital switching equipment or laying cable or telephone lines) or to acquire real property. Construction costs are allowable only for minor renovations related to the installation of equipment.

National Telehealth Resource Center Program (NTRC) and Regional Telehealth Resource Center Program (RTRC)

- Funds support the establishment and development of Telehealth Resource Centers, which serve as a focus for the provision of telehealth technical assistance across the country. One National TRC will focus on providing specialized technical assistance in telehealth technology. The other National TRC will focus on policy issues such as state and national policies and initiatives regarding telehealth. Twelve Regional Telehealth Resource Centers are located across the United States.

Grant funds are used for salaries, equipment, operating, travel expenses, or other costs for: providing technical assistance, training and support; disseminating information and research findings related to telehealth services; promoting effective collaboration among telehealth resource centers and HRSA; promoting the integration of the technologies used in clinical information systems with other telehealth technologies; fostering the use of telehealth technologies to effectively provide healthcare information and education for health care providers and consumers; and, implementing special projects that involve collaboration among TRCs to advance the field of telehealth.

Grant funds are not used for: acquiring real property; equipment costs of more than 40 percent of total grant funds; for equipment or transmission costs not directly related to the grant purposes; to purchase or install general purpose voice telephone systems; construction costs; and indirect costs exceeding 15 percent of total grant funds.

The Licensure Portability Grant Program (LPGP)

- Grant funds are used for salaries, equipment, software development, operating, or other costs associated with developing legislative, administrative, and technical projects to address licensure barriers that hinder the practice of telemedicine across state lines. Grant funds may also be used for activities involving significant expansion of existing state agreements for cross-state recognition of professional licenses to other states.

Evidence-Based Tele-Emergency Network Grant Program (EB-TNGP)

- Grant funds may be used for salaries, equipment, and operating or other costs, including the cost of:

- 1) Developing and delivering clinical Tele-Emergency services, including telestroke, that enhance access to health care services for residents in rural areas that lack specialized emergency services.
- 2) Developing and acquiring, through lease or purchase, computer hardware and software, audio and video equipment, computer network equipment, interactive equipment, data terminal equipment, and other equipment that furthers the objectives of the Tele-Emergency network grant program;
- 3) Transmitting medical data and maintenance of equipment;
- 4) Compensating emergency clinicians who provide consultative services via telehealth to the rural telehealth sites; and
- 5) Collecting and analyzing statistics and data to document the cost-effectiveness of Tele-Emergency and to participate in the broader evaluation and analysis for this program,

The Rural Child Poverty Telehealth Network Grant Program (RCP-TNGP)

- Grant funds may be used for: salaries, equipment, and operating or other costs, including the cost of:

- 1) Developing and delivering clinical and social services via telehealth to improve the health and well-being of children living in high poverty rural areas;
- 2) Developing and acquiring, through lease or purchase, computer hardware and software, audio and video equipment, computer network equipment, interactive equipment, data terminal equipment, and other equipment that furthers the objectives of the telehealth network grant program;
- 3) Transmitting medical data, and maintenance of equipment;
- 4) Compensating clinicians (including travel expenses), referring health care providers, and social service providers who are providing services to children living in high poverty rural areas through the project, if no third party payment is available; and
- 5) Developing projects that use telehealth technology to facilitate collaboration between health care and social service providers.

Overall, not more than 40 percent of grant funds may be expended for equipment. Not more than 15 percent of grant funds may be expended for indirect costs. Grant funds may not be used for purchasing and installing telecommunications transmission equipment (e.g., microwave towers, satellite dishes, amplifiers, digital switching equipment or laying cable or telephone lines) or to acquire real property. Construction costs are allowable only for minor renovations related to the installation of equipment.

Substance Abuse Treatment Telehealth Network Grant Program (SAT-TNGP)

- The primary purpose of the SAT-TNGP is to support tele-substance abuse treatment and other behavioral health care services with a secondary focus on providing services to address common chronic disease conditions (e.g. congestive heart failure, cancer, stroke, chronic respiratory disease, and/or diabetes).

Overall, not more than 40 percent of grant funds may be expended for equipment. Not more than 15 percent of grant funds may be expended for indirect costs. Grant funds may not be used for purchasing and installing telecommunications transmission equipment (e.g., microwave towers, satellite dishes, amplifiers, digital switching equipment or laying cable or telephone lines) or to acquire real property. Construction costs are allowable only for minor renovations related to the installation of equipment.

Telehealth Center of Excellence (COE)

- The primary purpose of this program is to test the efficacy of telehealth services in rural and urban areas.

Funds under this notice may not be used for the following purposes:

- 1) to acquire real property;
- 2) for expenditures to purchase or lease equipment, to the extent that the expenditures would constitute the majority of the total grant funds;
- 3) to purchase or install transmission equipment (such as laying cable or telephone lines, or purchasing or installing microwave towers, satellite dishes,

amplifiers, or digital switching equipment);

- 4) to pay for any equipment or transmission costs not directly related to the purposes for which the grant is awarded;
- 5) to purchase or install general purpose voice telephone systems;
- 6) for construction, or
- 7) for expenditures for indirect costs (as determined by the Secretary), to the extent that the expenditures would exceed 15 percent of the total grant funds.

Applicant Eligibility:

Telehealth Network Grant Program (TNGP) - Eligible applicants include public and private non-profit entities, including faith-based and community organizations, as well as federally-recognized tribal governments and organizations.

National Telehealth Resource Center Program (TNRC) and Regional Telehealth Resource Center Program (RTRC) - Eligible applicants include public and private non-profit entities. Faith-based and community-based organizations, Tribes, and tribal organizations are eligible to apply.

Licensure Portability Grant Program (LPGP) - Eligible applicants are limited by statute to state professional licensing boards. Note: American Indian and/or Alaska Native Tribal Organizations are eligible provided those organizations meet the eligibility requirements above.

Evidence-Based Tele-Emergency Network Grant Program (EB TNGP) - Eligible applicants include public, private, and non-profit organizations, including faith-based and community organizations, as well as federally-recognized tribal governments and organizations.

The Rural Child Poverty Telehealth Network Grant Program (RCP-TNGP) - Eligible applicants include public and private non-profit entities, including faith-based and community organizations, as well as federally-recognized Indian tribal governments and organizations.

The Substance Abuse Treatment Telehealth Network Grant Program (SAT-TNGP) - Eligible applicants include public and private non-profit entities, including faith-based and community organizations, as well as federally-recognized tribes and tribal governments and organizations.

The Telehealth Center of Excellence Program - Eligible applicants must be public academic medical centers located in states with high chronic disease prevalence, high poverty rates, and a larger percentage of medically underserved rural areas.

Beneficiary Eligibility:

Telehealth Network Grant Program (TNGP)

- Health care providers in rural areas, in medically underserved areas, in frontier communities, and for medically underserved populations. TNGP grantees include in the network at least two (2) of the following entities (at least one (1) of which shall be a community-based health care provider: (a) community or migrant health centers or other federally qualified health centers; (b) health care providers, including pharmacists, in private practice; (c) entities operating clinics, including rural health clinics; (d) local health departments; (e) nonprofit hospitals, including community (critical) access hospitals; (f) other publicly funded health or social service agencies; (g) long-term care providers; (h) providers of health care services in the home; (i) providers of outpatient mental health services and entities operating outpatient mental health facilities; (j) local or regional emergency health care providers; (k) institutions of higher education; or (l) entities operating dental clinics; and (m) school based health centers/clinics.

National Telehealth Resource Center Program (NTRC) and Regional Telehealth Resource Center Program (RTRC)

- Health care providers in rural areas, in medically underserved areas, in frontier communities, and medically underserved populations. The TRCs must support the activities of existing or developing telehealth networks to meet the health care needs of rural or other populations to be served, including the improvement of access to services and the quality of the services received by those populations. American Indian and/or Alaska Native Tribal Organizations are eligible beneficiaries provided those organizations meet the beneficiary

requirements above.

Licensure Portability Grant Program (LPGP)

- State professional licensing boards carry out programs under which licensing boards of various states cooperate to develop and implement state policies that will reduce statutory and regulatory barriers to telemedicine. State licensing boards, their members, and the general public are beneficiaries of the services conducted under this grant.

Evidence-Based Tele-Emergency Network Grant Program (EB TNGP)

- The Tele-Emergency Network shall include at least five members. Network members may include representation from the following categories: Hospitals, including community (critical) access hospitals; Local or regional emergency health care providers; Institutions of higher education with experience in data collection and analysis including but not limited to claims-level data; Medical research institutions; Tertiary providers with specialized experience in emergency medicine, stroke and the use of telehealth services in those clinical areas.

The Rural Child Poverty Telehealth Network Grant Program (RCP-TNGP)

- Health care providers serving rural areas and frontier communities. The RCP-TNGP Network shall include at least two (2) of the following entities (at least one of which shall be a community-based health care provider): Community or migrant health centers or other federally-qualified health centers; Health care providers, including pharmacists, in private practice; Entities operating clinics, including rural health clinics; Local health departments; Nonprofit hospitals, including community access hospitals; Other publicly funded health or human/social service agencies; Long-term care providers; Providers of health care services in the home; Providers of outpatient mental health services and entities operating outpatient mental health facilities; Local or regional emergency health care providers; Institutions of higher education; and Entities operating dental clinics. If available in their area, applicants should consider partnering or collaborating with other federally-funded programs that target or have demonstrable effects on the health of impoverished children living in rural areas, including: USDA Cooperative Extension System Offices; Healthy Start; Healthy Tomorrows Partnership for Children; WIC; Maternal, Infant and Early Childhood Home Visitation programs; Head Start and Early Head Start; Temporary Assistance to Needy Families; Community Action Agencies; and other human/social service-focused providers.

The Substance Abuse Treatment Telehealth Network Grant Program (SAT-TNGP)

- The network must include at least two (2) of the following entities (at least one of which shall be a community-based health care provider): (a) Small hospital (defined as less than 50 available beds, as reported on the hospitals most recently filed Medicare Cost Report); (b) Community or migrant health centers or other federally-qualified health centers; (c) Health care providers, including pharmacists, in private practice; (d) entities operating clinics, including rural health clinics; (e) local health departments; (f) nonprofit hospitals, including community (critical) access hospitals; (g) other publicly funded health or social service agencies; (h) long-term care providers; (i) providers of health care services in the home; (j) providers of outpatient mental health services and entities operating outpatient mental health facilities; (k) local or regional emergency health care providers; (l) institutions of higher education; or (m) entities operating dental clinics. As noted in the Consolidated Appropriations Act 2017 (Public Law No. 115-31), preference will be given to networks that include small hospitals serving communities with high rates of poverty, unemployment, and substance abuse.

The Telehealth Center of Excellence Program (COE)

- Health care providers in rural areas, in medically underserved areas, in frontier communities, and medically underserved populations. The TRCs must support the activities of existing or developing telehealth networks to meet the health care needs of rural or other populations to be served, including the improvement of access to services and the quality of the services received by those populations.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. There are two FORHP programs that require the respective State Offices of Rural Health to be notified that they are applying, which are the Regional Telehealth Resource Center Program (RTRC) and the National Telehealth Resource Center Grant Program (NTRC). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are generally solicited by a notice of funding opportunity in the HRSA Preview.

HRSA requires applicants (for new competitions) or awardees (for continuation applications) to submit applications electronically through Grants.gov.

The program guidance contains detailed application and submission instructions, including information on the non-competitive continuation application and submission process. Awardees must submit proposals according to the program guidance which specifies required forms, and contains additional general information and instructions for grant applications, including proposal narratives, and budgets. The program guidance, when available, may be obtained by: Downloading from <http://www.grants.gov>; or, Contacting the HRSA Grants Application Center: The Legin Group, Inc., 910 Clopper Road, Suite 155 South Gaithersburg, MD 20878, Telephone: 877-477-2123, HRSAAGAC@hrsa.gov. Application information may also be found by visiting <http://www.hrsa.gov/grants/default.htm>.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

For FY 2017, new competitions for the NTRC, RTRC, SUD-TNGP and COE will be conducted. All final funding decisions are made by the Associate Administrator, Federal Office of Rural Health Policy, Health Resources and Services Administration, based on recommendations made by the Director, Office for the Advancement of Telehealth. No new competitions are currently planned for FY2018.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

2 months.

Appeals:

Not Applicable.

Renewals:

Renewals have not been determined.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Programs are competed every three to four years. Projects awarded under a competition must complete a non-competing continuation application every year to release funds for the following budget period. See the following for information on how assistance is awarded/released: N/A.

Reports:

No program reports are required. No cash reports are required. Six-month Progress Reports are required. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$6,286,264; FY 17 est \$6,240,582; and FY 18 Estimate Not Available - TNGP program. (Project Grants) FY 16 \$1,299,571; FY 17 est \$1,299,552; and FY 18 Estimate Not Available - RCP-TNGP. (Project Grants) FY 16 \$4,061,098; FY 17 est \$3,900,000; and FY 18 Estimate Not Available - RTRC Program. (Project Grants) FY 16 \$2,389,641; FY 17 est \$2,092,283; and FY 18 Estimate Not Available - EB TNGP. (Project Grants) FY 16 \$0; FY 17 est \$1,250,000; and FY 18 Estimate Not Available - SAT-TNGP. (Project Grants) FY 16 \$500,000; FY 17 est \$500,000; and FY 18 Estimate Not Available - LPGP program. (Project Grants) FY 16 \$676,850; FY 17 est \$650,000; and FY 18 Estimate Not Available - NTRC program. (Project Grants) FY 16 \$0; FY 17 est \$850,000; and FY 18 est \$850,000 - Telehealth Center of Excellence.

Range and Average of Financial Assistance:

TNGP Awards range from approximately \$283,815,000 to \$300,000, with an

average award of approximately \$295,000.

EB TNGP- FY17 awards range from \$100,000 to \$400,000 with an average award of \$348,714.

LPGP FY17 awards are estimated at \$250,000 per grantee.

NTRC and RTRC FY17 awards are estimated at \$325,000 per grantee.

RCP-TNGP - FY17 awards ranged from \$324,552 to \$325,000 with an average award of \$324,888.

SAT-TNGP FY17 awards are estimated at \$250,000 per grantee.

Telehealth Center of Excellence FY17 award is estimated at \$850,000.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Telehealth programs are subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices.

Headquarters contacts:

Carlos Mena, Program Coordinator (TNGP and SAT-TNGP), Telephone: (301) 443-3198;

Anthony Oliver, Program Coordinator (LPGP), Telephone:(301) 443-2919;

Monica Cowan , Program Coordinator (RCP-TNGP), Telephone:(301) 443-0076;

Natassja Manzanero, Program Coordinator (NTRC and RTRC), Telephone: (301) 443-2077;

Sarah Bryce, Program Coordinator (EB-TNGP and COE), Telephone (301) 443-5982.

Headquarters Office:

Office for the Advancement of Telehealth, Office of Rural Health Policy 5600 Fishers Lane, Room 17W29-C, Rockville, Maryland 20857 Phone: (301) 443-0835

Website Address:

<https://www.hrsa.gov/ruralhealth/telehealth/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the associated Notice of Funding Opportunity (NOFO) for program-specific criteria.

93.213 RESEARCH AND TRAINING IN COMPLEMENTARY AND INTEGRATIVE HEALTH

National Center for Complementary and Integrative Health

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 485D , Title VI, Section 601, Public Law 105-277, 112 Stat. 2681-387, 42 U.S.C 281.

OBJECTIVES:

To evaluate complementary health approaches. The following objectives support this goal: (1) coordinate and facilitate the investigation of complementary health approaches through peer-reviewed grant solicitations; (2) interface with the Center's National Advisory Council; (3) conduct technology assessment conferences for the purpose of establishing areas of Clinical and Pre-clinical research that need to be further developed within complementary health approaches; and (4) maintain a comprehensive bibliographic data base in conjunction with the National Library of Medicine.

The National Center for Complementary and Integrative Health (NCCIH) regularly examines and redefines its research priorities. In setting research priorities, NCCIH considers its existing research portfolio, its 5-year strategic plan, the recommendations of the National Advisory Council for Complementary and Integrative Health, current scientific advances, the plans of other NIH institutes and centers, and input from expert panels and stakeholders. Research constituting a rigorous evidence base for complementary health approaches will be developed through a range of research strategies including basic and translational research, and clinical investigation. Priority setting also takes into account: 1) scientific promise, 2) amenability to rigorous scientific inquiry, 3) potential to change health practices, and 4) relationship to use and practice.

Research Approaches

Basic, translational, efficacy and effectiveness research
Complementary health approaches need to be studied across the continuum of basic, translational, efficacy, and effectiveness research. NCCIH continues to emphasize basic research that defines biological effects and mechanisms of action; NCCIH's basic research is aimed at understanding the nature of complementary health approaches such as their biology, physiology, and physical, chemical and behavioral properties. NCCIH also supports the development of tools, models, and methodologies for studying these approaches. NCCIH continues to encourage efficacy studies to determine specific clinical effects of complementary health approaches under carefully controlled conditions that minimize nonspecific and contextual effects. There is also the need to strengthen translational and preliminary clinical research required to design and implement definitive clinical research and "real world" outcomes and effectiveness research that capitalizes on the reality that many complementary health approaches are in widespread public use.

NCCIH's support of translational research addresses the need for valid, reliable and relevant research tools, outcome measures, and innovative methodology to enhance the rigor of complementary health approaches within clinical studies and to ensure that they are maximally informative. NCCIH emphasizes studies that inform the design of future trials such as those that will: develop and validate outcome measures; standardize treatment protocols or algorithms; validate treatment algorithms and/or; develop measures of quality control or treatment fidelity; assess effects of various doses or intervention durations; develop preliminary clinical evidence regarding efficacy and safety to support estimates of sample size; or establish feasibility of interventions or study designs in specific populations for future studies.

NCCIH's clinical research portfolio utilizes clinical trial, case-control, observational, cohort, qualitative, and other experimental methodologies to determine safety and estimate the efficacy of complementary health approaches. The clinical research portfolio includes effectiveness studies of the contribution to improved health and wellness made by complementary health approaches as they are practiced in "real-world" settings.

In general phase III clinical trials will be supported under the cooperative agreement mechanism after careful consideration by NCCIH Staff. Investigators interested in proposing phase III studies are strongly encouraged to contact a relevant NCCIH Program Officer (<https://nccih.nih.gov/tools/emailprogramofficers>)

Areas of Special Interest

Fundamental scientific inquiry is essential to the progress of biomedicine by enhancing the understanding of how living systems work. This understanding serves as a foundation for translational and clinical studies that can lead to improved approaches to the management, treatment, and prevention of diseases and symptoms. One key goal is to advance our understanding of basic biological mechanisms of action of natural products including prebiotics and probiotics. NCCIH will continue to sponsor research on compounds isolated

from natural products as well as complex mixtures from which they originate. Additionally, NCCIH will continue to support research to elucidate the effects of prebiotics and probiotics on the microbiota naturally present in the human body. Another key goal is to advance our understanding of the mechanisms through which mind and body approaches such as meditation, spinal manipulation, massage, yoga, tai chi, hypnosis and acupuncture affect health, resiliency, and well-being. A third key goal is to develop new and improved research methods and tools for conducting rigorous studies of complementary health approaches and their integration into health care. These new methods could catalyze advances in natural product methodology and support development of novel technology and instruments to carry out rigorous research on symptom management and functional changes primarily for mind and body approaches. To improve care for hard-to-manage symptoms such as pain, anxiety, and depression, both mind and body practices and natural products will be rigorously studied using well-established methodology. NCCIH will also support studies in "real world" clinical settings to test the safety and efficacy of complementary health approaches, including their integration into health care. Individual behavior plays a key role in health promotion and disease prevention. It is well established that adopting and maintaining healthy behaviors (diet and exercise) and modifying unhealthy behaviors reduces risks of major chronic diseases. NCCIH will investigate mechanisms of action of complementary and integrative health approaches in health resilience and practices that improve health and prevent disease. These approaches will be studied across the lifespan and in diverse populations. NCCIH will also explore research opportunities to study and access the safety and efficacy of complementary health approaches in nonclinical settings such as community- and employer-based wellness programs.

Top Scientific Priorities

The top scientific priorities include the nonpharmacologic management of pain, neurobiological effects and mechanisms, innovative approaches for establishing biological signatures of natural products, disease prevention and health promotion across the lifespan, and clinical trials utilizing innovative study designs to access complementary health approaches and their integration into health care. Pain is the condition for which adults in the United States most often use complementary and integrative health approaches. Growing evidence indicates that some complementary health approaches may help in its treatment and management. Discovering the mechanisms by which a complementary approach exerts its effects could help in the design of better treatments and predict which people are most likely to respond. Whether and how complementary health approaches directly modulate or modify the structure and/or the function of the entire or part of the nervous system remains understudied. Advances in genomics, neuroscience, stem cells, systems biology, and neuroimaging offer excellent conceptual resources and opportunities for innovative and impactful mechanistic studies of complementary health approaches. The purpose of innovative approaches for establishing biological signatures of natural products is to advance the characterization of the biologic activity of complex natural products broadly with an emphasis on determining metabolic profiles. There has been a growing interest in the use of complementary health approaches for the prevention of mental, emotional, and behavioral disorders and for the promotion of psychological and physical health, well-being, and resilience. However, the evidence for the use of complementary modalities in the context of prevention and health promotion is modest. Rigorously designed, developmentally appropriate studies are needed to determine the efficacy and effectiveness of complementary health approaches for health promotion and disease prevention across the lifespan.

Early Stage and New Investigators

NCCIH is strongly committed to assisting new and early stage investigators in establishing a research career. Early stage investigators are those within 10 years of completing their terminal research degree, or their medical residency, or its equivalent. New investigators are those who have yet to compete successfully for a substantial (e.g., R01) NIH research grant. Each Advisory Council round, based on available funds, NCCIH: 1) will consider early stage or new investigator status as one of the criteria for designating grant applications as being of high program priority and 2) may make additional R01 grant awards to new and early stage investigators with percentiles or scores out of payline order.

NCCIH continues to accept applications in areas not listed as a specific priority

area via the investigator initiated funding opportunities. All investigators are urged to discuss potential applications with the relevant NCCIH Program Officer (<https://nccih.nih.gov/tools/emailprogramofficers>).

TYPES OF ASSISTANCE:

PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

Research Grants provide funds for salaries, equipment, supplies, travel, and other expenses associated with scientific investigation relevant to program objectives. Individual National Research Service Awards (NRSA) are made to individuals for research training in specified biomedical shortage areas. In addition, grants may be made to institutions to enable them to make NRSA to individuals selected by them. Each individual who receives a NRSA is obligated upon termination of the award to comply with certain service and payback provisions. Small Business Innovation Research (SBIR) Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. Small Business Technology Transfer (STTR) Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

Universities, colleges, hospitals, laboratories, and other public or private nonprofit domestic institutions, including State and local units of government, and individuals are eligible to make application for grant support of research by a named principal investigator or a research career development candidate. For-profit organizations are also eligible, with the exception of NRSA. Individual NRSA awardees must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All NRSA awardees must be citizens or have been admitted to the United States for permanent residence. To be eligible, predoctoral candidates must have completed the baccalaureate degree, and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., ND, DC, D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is being proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which researches proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. For SBIR and STTR grants, the applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Individual NRSA applications for postdoctoral training must include the candidate's academic record, research

experience, citizenship, institutional sponsorship, and the proposed area and plan of training. Institutional Training grant applications for predoctoral and postdoctoral training must show the objectives, methodology and resources for the research training program; the qualifications and experience of directing staff; the criteria to be used in selecting individuals for stipend support; and a detailed budget and justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. Grant form PHS 398 is used to apply for SBIR and STTR Phase I Phase II and Phase I/Phase II Fast Track. OMB Circular No. A-87 applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Research grants: Single project grant applications must be prepared electronically through grants.gov (<http://www.grants.gov>) using the SF 424 (Research and Research-Related) and PHS 398 instructions. Multi-project grant applications must be submitted through the A.S.S.I.S.T (Application Submission System & Interface for Submission Tracking) system. Individual funding opportunity announcements will indicate the appropriate application format to be used. A listing of NCCIH and other funding opportunities can be found through the NCCIH web site (<http://www.nccih.nih.gov/grants/funding>), through the Grants.gov web site (<http://www.grants.gov/>), or on the NIH Guide to Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>). For applications that require the PHS 398, the most recent version of the form and instructions are available at <http://grants.nih.gov/grants/funding/phs398/phs398.html> in an interactive format. Electronic applications are submitted through the Grants.gov website. Completed PHS 398 applications should be submitted to the National Institutes of Health, Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710 or Bethesda, MD 20817 (for express/courier service). For assistance contact GrantsInfo, Telephone: (301) 435-0714, Email: GrantsInfo@nih.gov. National Research Services Awards: Completed application forms should be submitted to the Office of Research Manpower, Center for Scientific Review, Grants, National Institutes of Health, Bethesda, MD 20892. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Research Funding Opportunities" web page at <http://grants1.nih.gov/grants/funding/sbir.htm>. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Telephone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710. Application forms for SBIR and STTR grants may be obtained through the SBIR/STTR funding announcements posted on the Grants.gov sites and the NIH Guide to Grants and Contracts (see URLs listed above). All SBIR and STTR applications must be submitted electronically. Electronic applications are submitted through the Grants.gov website. This program is subject to the provisions of 45 CFR Part 92 for State and local governments, OMB Circular No. A-110 for nonprofit organizations, cost principles of A-21 for educational institutions, and 42 CFR Part 42.

Award Procedure:

Award Procedure: All accepted applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score ranging from the best (10) to worst (90) compete for available funds based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice

of Grant Award (Form PHS 1533) indicates support recommended for remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Overall from 120 to 300 days. Research Grants and Cooperative Agreements: Approximately 6-10 months; National Service Research Awards: 6-9 months; SBIR/STTR awards 6-7 months.

Appeals:

A Program Director/Principal Investigator (PD/PI) may question the substantive or procedural aspects of the review of his/her application by submitting an appeal. An appeal is a written communication from a PD/PI and/or applicant institution that meets the following four criteria: 1) is received after issuance of the summary statement and up to 30 calendar days after the second level of peer review, 2) describes a flaw or perceived flaw in the review process for a particular application, 3) is based on one or more of four allowable issues (described below), and 4) displays concurrence from the Authorized Organization Representative (AOR). The four allowable issues are (1) evidence of bias on the part of one or more peer reviewers; (2) conflict of interest, as specified in regulation at 42 CFR 52h.5. Scientific Peer Review of Research Grant Applications and Research and Development Contract Projects, on the part of one or more peer reviewers; (3) lack of appropriate expertise within the SRG; and (4) factual error(s) made by one or more reviewers that could have altered the outcome of review substantially. A description of the NIH Peer Review Policy and Procedures is available at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

Research grants: renewals by competitive application and review. Extension by request and administrative action. National Research Service Awards: individual awards may be made for 1, 2, or 3 years. No individual may receive NIH fellowship support at the postdoctoral level for more than 3 years.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Grants: Awards are usually made for a 12-month period with recommendation of up to 4 years of additional support. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: The Notice of Award (NoA) is the legal document issued to notify the grantee that an award has been made and that funds may be requested from the designated HHS payment system or office. An NoA is issued for the initial budget period. If subsequent budget periods are also approved, the NoA will include a reference to those budgetary commitments. Funding for subsequent budget periods are generally provided in annual increments following the annual assessment of progress. This funding is also contingent on the availability of funds. The NoA includes all applicable terms of award either by reference or specific statements. It provides contact information for the assigned program officer and grants management specialist. The grantee accepts an NIH award and its associated terms and conditions by drawing or requesting funds from the Payment Management System, or upon the endorsement of a check from the US Treasury for foreign awardees. Method of awarding/releasing assistance: lump sum.

Reports:

Final progress reports must be submitted within 90 days after the expiration of

the award. The progress reported in a competitive renewal application may be accepted in lieu of a final progress report. The final progress report should include (at a minimum): summary of progress toward the achievement of the originally stated aims; list of results (positive or negative) considered significant; list of publications resulting from the project as well as plans for further publications' and copies of manuscripts that have been accepted for publication, but not yet published. No cash reports are required. Project Grants: Expenditures and other financial records, including documents supporting accounting records and substantive charges to each grant, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period. The Federal Financial Report (FFR) is due 120 days after the final day of the project period. NRSAs: Documentation of expenditures and other fiscal records must be kept readily available for examination by authorized Government personnel and must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period. Reports are required after termination of NRSAs to ascertain compliance with service and payback provisions. Expenditure reports on a quarterly basis are sent to the data warehouse. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For specific audit requirements, please see 45 CFR 75.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual financial status reports (FSR) is submitted. For awards under the Streamlined Non-competing Award Process (SNAP) (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 75 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 75 for record retention and access requirements for contracts under grants. In accordance with 45 CFR 75 the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0896-0-1-552.

Obligations:

(Project Grants) FY 16 \$93,982,220; FY 17 est \$97,133,393; and FY 18 est \$71,057,000

Range and Average of Financial Assistance:

FY16 total cost range from low to high: R34 - \$157,306 to R01 - \$1,945,782; average financial assistance is: \$488,761.

TAFS Codes:

75-0896.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Project Grants: Program Analyst; Division of Extramural Research, National Center for Complementary and Integrative Health, National Institutes of Health; 6707 Democracy Blvd, Suite 401, Bethesda, MD, 20892-5475; email: NCCIHDERINQUIRIES@MAIL.NIH.GOV. Small Business Innovation Research Grants Contact: Anastasia Solis; Division of Extramural Research, National Center for Complementary and Integrative Health, National Institutes of Health; 6707 Democracy Blvd, Suite 401, Bethesda, MD, 20892-5475; Telephone: (301) 594-8018. Training Portfolios: Lanay Mudd, Ph.D.; Division of Extramural Research, National Center for Complementary and Integrative Health, National Institutes of Health; 6707 Democracy Blvd, Suite 401, Bethesda, MD, 20892-5475; Telephone: (301) 594-9346.

Headquarters Office:

Partap S. Khalsa 6707 Democracy Boulevard, Suite 401, Bethesda, Maryland 20892 Email: partap.khalsa@nih.gov Phone: 3015943462

Website Address:

<http://nccih.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) the scientific merit innovation and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; (6) the relevance and importance to the announced program objectives and when applicable, and (7) the adequacy of assurances detailing the proposed means for safeguarding human or animal subjects. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.217 FAMILY PLANNING SERVICES

Title X Family Planning Services

FEDERAL AGENCY:

Office of Population Affairs, Department of Health and Human Services

AUTHORIZATION:

Title X Statute and Regulations: Requirements regarding the provision of family

planning services under Title X can be found in the statute (Title X of the Public Health Service Act, 42 U.S.C. 300 et seq.) and in the implementing regulations which govern project grants for family planning services (42 CFR part 59, subpart A.), 42 U.S.C. 300 et seq.

OBJECTIVES:

To provide educational, counseling, comprehensive medical and social services necessary to enable individuals to freely determine the number and spacing of their children, and by so doing helping to reduce maternal and infant mortality, promote the health of mothers, families and children.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants must be used for family planning services including contraceptive services, infertility services and special services to adolescents. Family planning services include information on all medically approved methods of contraception including natural family planning methods; counseling services, physical examinations including cancer detection and laboratory tests; STD and HIV prevention education, screening and referral; contraceptive supplies, and periodic follow-up examinations. Infertility services include assessment, information, education, and arrangements for referral if necessary. Special services to adolescents include in-depth information, education including abstinence education counseling, and referral to and from other social and medical service agencies, and such ancillary services as are necessary to facilitate clinic attendance for adolescents who need contraceptive services. These family planning services must be available without coercion and with respect for the privacy, dignity, social and religious beliefs of the individuals being served. To the extent possible, entities which receive grants under this subsection shall encourage family participation in projects assisted under this subsection. Priority in the provision of services will be given to persons from low-income families. Funds may not be used in programs where abortion is a method of family planning. Funds may not be used for purchase or construction of buildings; salaries of personnel paid from other Federal grant funds; and certain other miscellaneous items as specified in the regulations.

Applicant Eligibility:

Any public (including city, county, local, regional, or State government) entity or nonprofit private entity located in a State (including the District of Columbia, Puerto Rico, Guam, the Commonwealth of the Northern Mariana Islands, American Samoa, the Virgin Islands, the Federated States of Micronesia, the Republic of Marshall Islands and the Republic of Palau) is eligible to apply for a grant. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

Persons who desire family planning services and who would not otherwise have access to them. Priority to be given to persons from low-income families. Individuals from other than low-income families will be charged a fee in accordance with an established fee schedule although inability to pay must not be a deterrent to services.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the following cost principles: OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for state and local governments, and OMB Circular No. A-122 for non-profit organizations. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this

program. Applications must be submitted through grants.gov. The standard application forms, as furnished by PHS and required by 45 CFR 74 (for institutions of higher education, hospitals, and other non-profit organizations), and 45 CFR 92 (for state and local governments) must be used for this program. This program is subject to the provisions of 45 CFR 74, 45 CFR 92, as well as OMB Circular No. A-102 (Administrative Guidelines for state and local governments) and OMB Circular No. A-110 (Administrative Guidelines for Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations).

Award Procedure:

Final award decisions will be made by the Regional Health Administrator (RHA) for the applicable Public Health Service Region, in consultation with the Deputy Assistant Secretary for Population Affairs (DASPA) and the Assistant Secretary for Health (ASH) or their designees. In making final award decisions, the RHA, DAASPA and ASH will take into considerations the following additional criteria:

- The geographic distribution of services within the identified service area as described in the application, including consideration of whether the area is best served by a single or multiple grantees and the focus on providing services to areas in need of Title X and subsidized family planning services.
- The extent to which funds requested for a project maximize access for the population in need within the entire services area as announced in Table 1 (of the FOA);
- The extent to which projects that rely on subrecipients to provide services can provide the required services and best serve the individuals in need throughout the anticipated service area; and
- The extent to which projects best promote the purposes of Section 1001 of the Public Health Service Act, within the limits of funds available for such projects.

Following approval by the Regional Health Administrator, the Office of Grants Management, OASH prepares a Notice of Award, secures necessary clearances and approval signatures, issues the Notice of Award, and provides notification of grant approval to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From 90 to 270 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Projects are generally given commitments of funding for 3 years. Projects must submit a continuation application each non-competitive year which includes, at a minimum, a progress report and evidence of plan compliance.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are generally approved for a project period of 3 years. Grants may be approved for a budget period not to exceed 12 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Quarterly SF425 Federal Financial Reports must be submitted to Payment Management Services 30 days after the end of each calendar quarter. Progress reports are required for each budget period. Quarterly SF425 Federal Financial Reports must be submitted to the Office of Grants Management 30 days after the end of each calendar quarter and an annual FFR 90 days after the end of the budget period/project period end date. Reports are to be submitted electronically through GrantSolutions. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

HHS and the Controller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR 75, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$254,667,500; FY 17 est \$253,659,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

2016 \$126,000 to \$19,117,000
2017 \$131,000 to \$20,000,000.

TAFS Codes:

75-10-10-11-0117.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200, 75 . Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

See Regional Agency Offices. Regional Health Administrator, DHHS Regional Offices (See Appendix IV of the Catalog for addresses).
OASH-Office of Population Affairs, Office of Family Planning
David M. Johnson, david.johnson@hhs.gov
Tower Building, 1101 Wootton Pkwy Suite 700
Rockville, MD 20852. Phone 240-453-2888.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822

Website Address:

<http://www.hhs.gov/opa>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated in terms of which will best promote the purposes of the authorizing legislation, taking into account: (1) the number of patients to be served, especially low-income patients; (2) local need; (3) relative need of the applicant; (4) applicant's ability to make rapid and effective use of Federal assistance; (5) adequacy of the applicant's facilities and staff; (6) availability and commitment of nonfederal resources within the community; and (7) compliance with pertinent regulations and guidelines.

93.220 CLINICAL RESEARCH LOAN REPAYMENT PROGRAM FOR INDIVIDUALS FROM DISADVANTAGED BACKGROUNDS

(NIH Clinical Research Loan Repayment Program; CR LRP)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Part G, Section 41631, Public Law 100-43, 288-5 U.S.C.

OBJECTIVES:

To recruit and retain health professionals from disadvantaged backgrounds to conduct clinical research at the National Institutes of Health (NIH) by providing for the repayment of educational loans for participants with substantial amounts of educational debt relative to income, who agree by written contract to engage in clinical research as employees of the NIH for a minimum two-year period.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged, as employees of the NIH, in clinical research. Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. One-year continuation contracts are available, dependent upon the level of debt and continued involvement in clinical research. Maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold." An amount equal to half of this "debt threshold" will not be repaid by NIH and must be paid by the Program participants.

Applicant Eligibility:

Eligible applicants must: (1) Be a citizen, national, or permanent resident of the United States; (2) possess a M.D., Ph.D., D.O., D.D.S., D.M.D., D.V.M., D.P.M., A.D.N., B.S.N., or equivalent degree; or hold the position of Physician Assistant; (3) come from a disadvantaged background as determined by the Secretary of Health and Human Services; (4) have qualified educational debt, which results from governmental or commercial loans obtained to support their undergraduate and/or graduate education, in excess of 20 percent of their annual NIH salary on the program eligibility date; (5) be appointed under any temporary or permanent employment mechanism in the Intramural Research Program of the NIH, so long as their employment has the potential to last a minimum of 2 years; (6) are not eligible to participate in the CR-LRP if they have an existing service obligation to Federal, State, or other entities, until such obligation is discharged or unless it is deferred during the period of program service; (7) submit an application to participate in the CR-LRP; and (8) sign and submit to the Secretary of Health and Human Services, at the time of agreeing to accept repayment of educational loans, a contract agreeing to engage in clinical research as an employee of the NIH for a minimum of 2 years.

Beneficiary Eligibility:

Clinical researchers from disadvantaged backgrounds who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) Copies of loan applications and agreements from governmental or commercial educational loans which are being submitted for repayment; (2) copies of the standard student budget from each school attended during period when debt was incurred; (3) copies of recommendations, special skills, certifications, or other copies of loan documentation; (4) certification from the school(s) attended that the applicant qualified for participation in an educational assistance program for individuals from disadvantaged backgrounds which are sponsored by the Secretary of Health and Human Services or self-certification describing circumstances which qualify him/her under the Secretary's definition of an individual from a disadvantaged background; and (5) other documentation as may be required by law. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must access and submit the Loan Repayment Program application electronically via the website www.lrp.nih.gov; seek qualified employment with a sponsoring Institute or Center (IC) of the NIH. Once an application has been submitted, the Loan Repayment Committee reviews it at a regularly scheduled review meeting and a determination of loan repayment recipients is made.

Award Procedure:

The NIH Loan Repayment Committee (LRC) will review, rank, and approve or disapprove applications recommended for program participation by each Institute or Center (IC). Applications approved for program participation by the LRC will be notified by the Program Director. The Secretary, or his designee, will sign the service contract of program participants, provide a copy to the participant, and notice of the amount of approved loan repayment.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. The approximate time for approval/disapproval is 3 months.

Appeals:

From 90 to 120 days. Employees of the NIH who are not approved as a result of this process may ask for reconsideration through the standard appeal procedures available to employees.

Renewals:

From 90 to 120 days. At the conclusion of the initial 2-year contract, participants may apply and be recommended for subsequent 1-year continuation contracts under the application and approval procedures specified above.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One-year, continuation contracts are available for individuals whose educational debt exceeds the maximum repayable amount for 2 years and whose renewal ranking scores within the funding range. Payments are made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services. Method of awarding/releasing assistance: quarterly.

Reports:

Program participants must be certified quarterly as to having satisfactorily performed their research assignments. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation. Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See

45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-6846-0-1-552.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$102,000 - During Fiscal Year 2016, there were no new or renewal applications submitted to the Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds. However, it is projected that there will be three submissions in 2017.

Range and Average of Financial Assistance:

For initial 2-year contracts, loan repayments range from \$4,000 to \$70,000, Tax reimbursements range from \$1,977 to \$34,598. The average contract cost which includes loan and tax reimbursement is \$68,454.

TAFS Codes:

75-009.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: There were no awards granted in 2016. Fiscal Year 2017: In 2017, it is projected that no awards will be provided. Fiscal Year 2018: In 2018, it is projected that two awards will be provided.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 103-43, Section 1631; Section 487E of Public Health Service Act (42 U.S.C. 288-5); Public Law 105-392; Federal Register, Volume 59, No. 201, October 19, 1994; 42 CFR Part 68a Federal Register, Volume 63, No. 210, October 30, 1998.

Regional or Local Office:

None.

Headquarters Office:

Patricia A. Cole, PhD Office of Intramural Training and Education
National Institutes of Health
U.S. Department of Health and Human Services
Building 2, Room 2E18,
2 Center Drive, Bethesda, Maryland 20892-0230 Email: colep@mail.nih.gov
Phone: 3014021283 Fax: 3014802942

Website Address:

<http://www.lrp.nih.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.164 Indian Health Service Educational Loan Repayment; 93.342 Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students; 93.364 Nursing Student Loans; 93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: There were no funded projects in Fiscal Year 2016. Fiscal Year 2017: In 2017, there no funded Clinical Research Loan Repayment Program projects submitted from Individuals from Disadvantaged Backgrounds that focused on qualified approved research within the clinical and translational areas that contribute to the NIH Mission. Fiscal Year 2018: In 2018, the funded

projects will focus on qualified approved research within the clinical and translational areas that contribute to the NIH Mission.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are approved for participation in the NIH Clinical Research Loan Repayment Program after the LRC determines that the applicant will conduct qualified clinical research and qualifies as being from a disadvantaged background. LRC approval, in part, is based upon the scientific merit of the research and the credentials of the applicant as they relate to performing qualified clinical research.

93.223 DEVELOPMENT AND COORDINATION OF RURAL HEALTH SERVICES

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Section 711, as amended, (42 U.S.C. 912).

OBJECTIVES:

For the development and coordination of rural health services. To establish and maintain an information center for collecting and disseminating information on rural health care issues, research findings relating to rural health care and innovative approaches to the delivery of health care in rural areas.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for carrying out the approved activities in accordance with the intent of the cooperative agreement as stipulated in the notice of award.

Applicant Eligibility:

Public or private entities with a service commitment to and experience with rural issues at a national level. Applicant must directly employ a project director with a professional record in national rural health and/or social services policy issues, and directly employ staff for the Information Center, with exceptions only through written agreement with ORHP.

Beneficiary Eligibility:

The rural health information clearinghouse is a gateway to information on rural health and social services for residents in rural areas of the United States and for all others interested in these issues.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually for a project period of up to 5 years. See the following for information on how assistance is awarded/released: Awardees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends.

If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No cash reports are required. Progress reports are not applicable. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$2,100,000; FY 17 est \$2,100,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Average award is \$2,100,000.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Steve Hirsch, Federal Office of Rural Health Policy, 5600 Fishers Lane, Room 17W41D, Rockville, Maryland 20857 Phone: (301) 443-0835.

Website Address:<http://www.hrsa.gov/ruralhealth>.**RELATED PROGRAMS:**

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed and rated on the applicant's ability to address the following areas to:

- (1) develop and maintain a rural health information clearinghouse;
- (2) track emerging and ongoing rural health issues and identify ways to solicit and distribute information for key stakeholders concerned about rural health care;
- (3) work collaboratively with ORHP to prioritize key issues and develop information resources to share with callers and web users;
- (4) gather, organize, and index information from a wide range of sources that deal with aspects of rural health care;
- (5) make referrals, avoid duplication of services, and ensure that rural residents are directed to appropriate resources for their information needs;
- (6) provide support to the Improve Rural Health Care Initiative and play a key role in broadly disseminating promising practices from rural communities; and
- (7) experience in working with the full range of key rural interest groups, along with knowledge of rural health and of the key individuals and organizations involved in ensuring availability of health care in rural areas.

93.224 HEALTH CENTER PROGRAM (COMMUNITY HEALTH CENTERS, MIGRANT HEALTH CENTERS, HEALTH CARE FOR THE HOMELESS, AND PUBLIC HOUSING PRIMARY CARE)

Health Center Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, (42 U.S.C. 254b), Section 330(e), (g), (h), and (i), as amended by sec. 5601 of P.L. 111-148.

OBJECTIVES:

To improve the health of the Nation's underserved communities and vulnerable populations by assuring access to accessible, affordable, quality primary health care services. To continue comprehensive, primary health care services in areas already supported by the Health Center Program. Individual health center grant mechanisms include: (1) Community Health Centers; (2) Migrant Health Centers; (3) Health Care for the Homeless; and (4) Public Housing Primary Care Program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Applications should be designed to improve the availability, accessibility, and provision of primary health care services. Refer to the applicable notice of opportunity under this CFDA number for additional information.

Applicant Eligibility:

Eligible applicants are public and non-profit private entities, including federally recognized Indian tribal governments and Native American, faith-based, and community-based organizations that have the capacity to effectively administer the grant.

Beneficiary Eligibility:

Population groups in medically underserved areas, medically underserved populations, and special populations such migratory and seasonal agricultural workers, people experiencing homelessness, and public housing residents.

Credentials/Documentation:

Applicants should review the applicable HRSA notice of funding opportunity (NOFO) issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Notification is made in writing by a Notice of Award (NoA).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 days to up to 6 months.

Appeals:

Not Applicable.

Renewals:

Awards to support projects beyond the first budget year of the project period will be contingent upon Congressional appropriation, compliance with applicable statutory and regulatory requirements, demonstrated organizational capacity to accomplish the project's goals, satisfactory performance, and a determination that continued funding would be in the best interest of the federal government.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Awards are made annually. After awards are issued, funds are released in accordance with HHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. See the following for information on how assistance is awarded/released: Awardees

draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual progress reports and Uniform Data System reports are required. The awardee will be required to submit federal financial reports (see the NOFO and Notice of Award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is due according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance Monitoring includes review of annual progress and data reports and routine site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$3,862,636,832; FY 17 est \$4,300,000,000; and FY 18 est \$4,300,000,000

Range and Average of Financial Assistance:

\$200,000 to \$11,000,000; average \$ 2,398,666.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Contact Bureau of Primary Health Care headquarters with questions.

Headquarters Office:

Bureau of Primary Health Care, 5600 Fishers Lane, Room 16N16, Rockville, Maryland 20857 Email: BPHCSAC@hrsa.gov Phone: (301) 594-4300.

Website Address:

<http://bphc.hrsa.gov/programopportunities/fundingopportunities/SAC/index.html>

RELATED PROGRAMS:

93.129 Technical and Non-Financial Assistance to Health Centers; 93.526 Affordable Care Act (ACA) Grants for Capital Development in Health Centers; 93.527 Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are available in the applicable notice of funding opportunity issued under this CFDA program. In addition, applicants are expected to demonstrate compliance with applicable requirements including the Health Center Program requirements and the ability to be operational within 120 days of Notice of Award.

93.225 NATIONAL RESEARCH SERVICE AWARDS HEALTH SERVICES RESEARCH TRAINING

FEDERAL AGENCY:

Agency for Healthcare Research and Quality, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Public Law 115-31.

OBJECTIVES:

To provide predoctoral and postdoctoral training opportunities in health services research. Individual fellowships will be awarded directly to applicants for postdoctoral research training. Domestic institutions will also be awarded grants to enhance predoctoral and postdoctoral training programs and provide support to trainees selected by them. The purpose of these awards is to provide fellows and trainees with both academic training and actual experience in the application of research methods to the systematic analysis and evaluation of the organization, financing, utilization, and delivery of health care services.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Fellowship support is available for full-time health services research training. An institutional allowance is provided to the sponsoring institution for each fellow. Also, research training grants are awarded to institutions to provide support to trainees selected by the training program director at the grantee institution. This support is available for predoctoral and postdoctoral trainees. Offsets for tuition and fees are allowable for trainees, and an amount for training related expenses will be provided. For fellowship and training grants issued under this program, the indirect costs will be capped at 8% MTDC. Postdoctoral fellows and trainees in the first 12 months of postdoctoral NRSA support incur one month of payback obligation for each month of support. The thirteenth and subsequent months of postdoctoral NRSA support are considered payback service for prior postdoctoral support. Predoctoral fellows and trainees do not incur a service payback obligation.

Applicant Eligibility:

Domestic public or private nonprofit organizations or institutions may apply for training grants. The applicant institutions must have or expand training programs designed to develop competent investigators in the methods and techniques of conducting health services research. State or local governments and U.S. Territories are eligible. Profit-making organizations are not eligible. Individual applicants for postdoctoral fellowships must have received a clinical or research doctoral degree. All persons supported as fellows or trainees must be citizens or noncitizen nationals of the United States, or have been lawfully admitted for permanent residence.

Beneficiary Eligibility:

Individuals and public or private nonprofit organizations or institutions.

including State or local governments and U.S. Territories, are the beneficiaries of this program.

Credentials/Documentation:

An individual applicant for a postdoctoral fellowship must document receipt of a doctoral degree and acceptance by an appropriate institutional sponsor. Institutional applicants for training grants must document their research and training experiences, staff, curriculum, facilities, and other resources available. Costs will be determined in accordance with HHS Regulations 45 CFR Part 75. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Information about health services research areas being supported is available from AHRQ. Individual fellowship applicants and institutions should submit Form SF 424 R&R. For State agencies, no State Plan is required. For State and local governments, the standard forms, as furnished by PHS and required by 45 CFR Part 75, may be used.

Award Procedure:

Applications are reviewed for scientific merit by a committee including nonfederal consultants who are selected from appropriate scientific fields. AHRQ considers the recommendations of the review committee, program interest, and the availability of funds in the final selection of grantees. When such decisions are made, applicants are notified and a Notice of Award is issued. Before receiving support, all individual fellows and institutional postdoctoral trainees must submit required forms, including a payback agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

Not Applicable.

Renewals:

If additional support is desired to continue a grant beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Generally, no individual may receive more than 3 years of aggregate National Research Service Award support at the postdoctoral level, or 5 years at the predoctoral level, including any combination of support from institutional and individual awards.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, no individual may receive more than 3 years of aggregate National Research Service Award support at the postdoctoral level, or 5 years at the predoctoral level, including any combination of support from institutional and individual awards. Institutional training grants may be awarded for project periods up to 5 years. Awards are made on an annual basis and it is expected that obligations will be made within the budget period awarded. After awards are issued, funds are released in accordance with the payment procedure established by the grantee with DHHS, which may be an Electronic Transfer System or a Monthly Cash Request system. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Grantees must submit quarterly SF425 reports to PSC. Annual and final progress reports are required. For Institutional Training Grants, a final progress report is due at the close of the project period.

Annual reports of activities for tracking payback provisions are required of all fellows and trainees upon termination of support. Annual and final Federal Financial Reports (FFR; SF425) are required for Institutional National Research Service Awards. Annual FFRs must be submitted within 90 days after the end of the calendar quarter in which the budget period ends. The final FFR must be submitted within 90 days after the end date of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants records are subject to audit and inspection by Federal auditors.

Records:

Records must be retained for at least three years; records shall be retained beyond the three year period if audit findings have not been resolved. Records relating to individual payback obligations are retained for one year after the individual has fulfilled or has been excused from fulfilling the payback obligation.

Account Identification:

75-1700-0-1-552.

Obligations:

(Training) FY 16 \$7,974,677; FY 17 est \$8,036,798; and FY 18 est \$8,036,798 - Grants for recipients to provide training to fellows or trainees.

Range and Average of Financial Assistance:

(Individual Fellowships) \$54,410 to \$68,949; \$60,714 average. (Institutions) \$170,201 to \$607,309; \$419,426 average. These figures are total costs.

TAFS Codes:

75-1700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 7 individual fellowships and 18 institutional grants were awarded. Fiscal Year 2017: It is estimated that 6 to 8 individual fellowships and 18 institutional grants will be awarded. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 42 CFR Part 66; HHS Grants Policy Statement, January 1, 2007.

Regional or Local Office:

None.

Headquarters Office:

Shelley Benjamin 5600 Fishers Lane, Rockville, Maryland 20857 Phone: 301-427-1528

Website Address:

<http://www.ahrq.gov>

RELATED PROGRAMS:

93.226 Research on Healthcare Costs, Quality and Outcomes

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: See <https://www.ahrq.gov/funding/training-grants/index.html>. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

For Individual fellowship applications, the following review criteria will be used: (1) The applicant's past academic and research accomplishments; (2) research goals; (3) research training proposed; (4) qualifications of the sponsor; (5) appropriateness of training environment; and (6) reference reports. For Institutional training grant applications, the following review criteria will be used: (1) goals of the proposed training and probability of achievement; (2) substantive content, including courses offered; (3) qualifications and responsibilities of program director and faculty; (4) ability to recruit trainees; (5) extent of institutional participation through provision of necessary space, curriculum time, financial support, and appropriate facilities; (6) documented

cooperation of related agencies in providing research experience; (7) proposed number of students; (8) methods for monitoring and evaluating trainees; and (9) reasonableness of budget. In all cases, AHRQ will consider the recommendations of the review committee, the Council program interests, and availability of funds in making the final selection of grantees.

93.226 RESEARCH ON HEALTHCARE COSTS, QUALITY AND OUTCOMES

FEDERAL AGENCY:

Agency for Healthcare Research and Quality, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IX, Public Law 106-129, 42 U.S.C 299 ff; Affordable Care Act, Public Law 111-148.

OBJECTIVES:

To support research and evaluations, demonstration projects, research networks, and multidisciplinary centers and to disseminate information on health care and on systems for the delivery of such care involving: (1) The quality, effectiveness, efficiency, appropriateness and value of health care services; (2) quality measurement and improvement; (3) the outcomes, cost, cost-effectiveness, and use of health care services and access to such services; (4) clinical practice, including primary care and practice-oriented research; (5) health care technologies, facilities and equipment; (6) health care costs, productivity, organization, and market forces; (7) health promotion and disease prevention, including clinical preventive services; (8) health statistics, surveys, database development, and epidemiology; and (9) patient safety research, including healthcare-associated infections. In support of this research, the Agency has a special interest in health care and its delivery in the inner city, in rural areas, and for priority populations (low-income groups, minority groups, women, children, the elderly, and individuals with special health care needs).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The research should contribute to the health services knowledge base from which empirically based information can be derived by policymakers, both immediately and over the coming decades. Grants include funds for direct costs (such as personnel, travel, equipment, or supplies) necessary to carry out an approved project as well as funds for the reimbursement of applicable indirect costs (also referred to as facilities and administrative costs). Unallowable costs are indicated in the HHS Grants Policy Statement.

Applicant Eligibility:

Federal, State or local government agencies, federally-recognized Indian Tribal Governments, U.S. Territories, non-government organizations, public or private institutions of higher education, and other public or nonprofit private agencies, institutions, or organizations. For-profit organizations are eligible to apply for these grants only if "cooperative agreement" is the designated funding mechanism. Organizations described in section 501(c)4 of the Internal Revenue Code that engage in lobbying are not eligible.

Beneficiary Eligibility:

Federal, State or local government agencies, federally-recognized Indian Tribal Governments, public or private nonprofit institutions, U.S. territories, Native American organizations, consumers, students, minority groups, specialized groups, health or education professionals, individuals, scientist/researchers, and the general public.

Credentials/Documentation:

Nonprofit organizations must submit proof of their nonprofit status when applying for grants. Costs will be determined in accordance with DHHS Regulations 45 CFR 75. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be submitted in response to a Funding Opportunity Announcement (FOA). All FOAs are posted on www.Grants.gov. Refer to the FOA of interest for specific requirements regarding the application procedure. For State agencies, no State Plan is required. Applications are reviewed and evaluated by a group composed primarily of nonfederal scientists. The review is conducted by a panel of experts in the specific study area proposed.

Award Procedure:

Applications are reviewed for scientific merit by a committee including nonfederal consultants who are selected from appropriate scientific fields. AHRQ considers the recommendations of the review committee, program interest, and the availability of funds in the final selection of grant applications for funding. When such decisions are made, applicants are notified and a Notice of Award is issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

Not Applicable.

Renewals:

If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may be approved for project periods of up to 5 years, depending on the grant program and based on the availability of funding. Refer to the FOA of interest for information regarding the maximum duration of the project period. Awards are made on an annual basis and it is expected that obligations will be made within the budget period awarded. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cash Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Grantees must submit quarterly SF425 reports to PSC. Progress reports are required on an annual basis. Some programs also require quarterly progress reports. A final progress report must be submitted within 90 days after the end of a project period. Inventions are to be reported immediately. A Final Invention Statement must be submitted within 90 days after the end of a project period. An annual Federal Financial Report (FFR; SF425) must be submitted within 90 days after the end of the calendar quarter in which the budget period ends. A final FFR must be submitted within 90 days after the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Records must be retained for at least three years; records shall be retained beyond the three year period if audit findings have not been resolved.

Account Identification:

75-1700-0-1-552.

Obligations:

(Project Grants) FY 16 \$111,070,851; FY 17 est \$103,942,348; and FY 18 est \$88,635,478

Range and Average of Financial Assistance:

\$21,908 to \$2,674,621; \$275,417 average. These are total cost figures (direct costs plus associated facilities and administrative costs, if appropriate).

TAFS Codes:

75-1700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: AHRQ funded 400 grants. Fiscal Year 2017: AHRQ anticipates funding 329 grants. Fiscal Year 2018: AHRQ anticipates funding 260 grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 67, Regulations for Grants for Health Services Research, Evaluation, Demonstration, and Dissemination Projects as amended by Public Law 106-129; HHS Grants Policy Statement, January 1, 2007.

Regional or Local Office:

None.

Headquarters Office:

George Gardner 5600 Fishers Lane, Rockville, Maryland 20857 Phone: 301-427-1447

Website Address:

<http://www.ahrq.gov>

RELATED PROGRAMS:

93.225 National Research Service Awards_Health Services Research Training

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: AHRQ-supported grants focus on health information technology research, patient safety research, prevention and care management, and quality, effectiveness, and efficiency research. Fiscal Year 2017: Based on availability of funding, it is anticipated that AHRQ-supported grants will continue to focus on health information technology research, patient safety research, prevention and care management, and quality, effectiveness, and efficiency research. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

The proposals must first be reviewed by review groups of peers and recommended for approval on the basis of scientific and technical merit. This includes consideration of the qualifications of the principal investigator and staff to conduct the research using appropriate methodology and budget. Those approved proposals which are most relevant to the identified program priority issues of the AHRQ are funded to the extent that funds are available.

93.228 INDIAN HEALTH SERVICE, HEALTH MANAGEMENT DEVELOPMENT PROGRAM

(Indian Health)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

25 U.S.C. ss 450h (b)(1) and 25 U.S.C. ss 450h(e) of the Indian Self Determination and Education Assistance Act, Public Law 93-638, as amended.

OBJECTIVES:

To develop and enhance management infrastructure of Federally-recognized Tribes and Tribal organizations to assume all or part of existing Indian Health Service programs, functions, services and activities.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

The assistance is used for four types of management related projects: (1) Feasibility Studies; (2) Planning; (3) Tribal Health Management Structure Development; and (4) Evaluation. This assistance is available to

Federally-recognized Indian Tribes and Tribal-sanctioned Tribal organizations. Tribal Management grants may not be used to support operational programs, or to supplant existing public and private resources. Discretionary funding is available for statutorily mandated grant program.

Applicant Eligibility:

Federally-recognized Tribes and Tribally-sanctioned Tribal organizations.

Beneficiary Eligibility:

Individuals who are members of an eligible applicant Tribe, band, or group or village and who may be regarded as within the scope of the Indian health and medical service program and who are regarded as an Indian by the community in which he lives as evidenced by such factors as Tribal membership, enrollment, residence on tax exempt land, ownership of restricted property, active participation in Tribal affairs or other relevant factors.

Credentials/Documentation:

Certification of Native Indian or Alaskan descent is required for direct services. Provisions of grants under Public Law 93-638 and its amendments require Tribal endorsement in the form of a Resolution to sanction Tribal participation. Costs will be determined in accordance with OMB Circular No. A-122 for Nonprofit Organizations as implemented through program regulations 42 CFR 36 and applicable grant administration regulations 45 CFR, Part 92, (45 CFR 74 for nonprofit organizations). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The applicant package may be found in Grants.gov. or at https://www.ihs.gov/dgm/index.cfm?module=dsp_dgm_funding. Questions regarding the electronic application process may be directed to Tammy Bagley, Acting Director, Division of Grants Management at (301) 443-5204. This program is subject to the provisions of 45 CFR 92 for Indian Tribes and OMB Circular No. A-110, if applicant is a nonprofit Tribal organization.

Award Procedure:

Grants are awarded on a competitive basis with processing and final approval by the IHS Headquarters.

Deadlines:

Sep 01, 2014: For FY 2014 Awards. For Public Law 93-638 grants applications must be submitted 90 days prior to the proposed project start date. Contact Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved/disapproved within ninety (90) days from receipt of application/proposal.

Appeals:

From 30 to 60 days. Grant appeals will follow PHS appeals procedures: 42 CFR, Part 50, Subpart D and DHHS appeals procedures: 45 CFR 16.

Renewals:

Renewal of grants is on a year-to-year basis and requires the submission of non-competing continuation applications.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. However, in accordance with Pub. L. 93-638 section 103(c), the TMG funds may be used as matching shares for any other Federal grant programs that develop tribal capabilities to contract for the administration and operation of health programs. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Individual projects are awarded annually for 12 months based on receipt of appropriations. See the following for information on how assistance is awarded/released: Annually.

Reports:

No program reports are required. Grantees are required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Payment Management Service, with copies semi-annually to the assigned Program Officer and Grants Management Specialist. Final reports are due 90 days after the end of the project period. Grantees are required to submit semi-annual progress reports to the assigned Grants Management Specialist and Program Official. Final reports are due 90 days after the end of the project period. Grantees are required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Payment Management Service, with copies semi-annually to the assigned Program Officer and Grants Management Specialist. Final reports are due 90 days after the end of the project period. All IHS grant awards are monitored for financial and grant compliance by the Division of Grants Management and for programmatic compliance by the IHS Program Staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR 92.42, grantees are required to maintain grant records 3 years after they submit their final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Project Grants) FY 16 \$1,786,683; FY 17 est \$2,412,000; and FY 18 est \$2,412,000

Range and Average of Financial Assistance:

\$50,000 - \$100,000 Range; \$100,000 Average.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 36; 45 CFR 92 and 45 CFR 74, HHS Grants Policy Statement, (Rev.) January, 2007; Program Guidelines for Competitive Projects.

Regional or Local Office:

None. Program: Mr. Ken Coriz, Office of Direct and Service Contract Tribes, Indian Health Service, 5600 Fishers Lane, Mail Stop: 09E37C, Rockville, MD 20857, Phone: (301) 443-1104;

Grants Management Contact: Mr. Robert Tarwater, Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204, Fax (301) 594-0899.

Headquarters Office:

Grants Policy Office 5600 Fishers Lane, Mail Stop: 09E70, Rockville, Maryland 20857 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.231 EPIDEMIOLOGY COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Improvement Act, Public Law 94-437, 25 U.S.C 1621m.

OBJECTIVES:

The purpose of this cooperative agreement program is to fund Tribes, Tribal and urban Indian organizations, and intertribal consortia to provide epidemiological support for the AI/AN population served by IHS. Tribal Epidemiological Center (TEC) activities should include, but are not limited to, enhancement of surveillance for disease conditions; research, prevention and control of disease, injury, or disability; assessment of the effectiveness of AI/AN public health programs; epidemiologic analysis, interpretation, and dissemination of surveillance data; investigation of disease outbreaks; development and implementation of epidemiologic studies; development and implementation of disease control and prevention programs; and coordination of activities with other public health authorities in the region. It is the intent of IHS to fund several TECs that will serve Tribes and urban Indian communities in all 12 IHS Administrative Areas.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds may be used to develop and conduct activities to achieve at least one epidemiology programs in each of the 12 Areas of Indian country. The recipient activities will coordinate and participate in projects, investigations, or studies of national scope; and share surveillance and other data collected. IHS activities will convene workshops/meetings; provide technical assistance and consultation; provide training; conduct site visits; and coordinate all epidemiological activities on a national basis. Funds will be used to support activities that are directly related to the grant project. All indirect costs will be issued based on the indirect cost rate agreement that is negotiated by the recipient, as appropriate. 100% are discretionary funds.

Applicant Eligibility:

AI/AN Tribes, Tribal organizations, and eligible intertribal consortia or urban Indian organizations as defined by 25 U.S.C. 1603(e) may be eligible for a TEC cooperative agreement. Such entities must represent or serve a population of at least 60,000 AI/AN to be eligible as demonstrated by Tribal resolutions or the equivalent documentation from urban Indian clinic directors/Chief Executive Officers (CEOs). Applicants must describe the population of AI/ANs and Tribes that will be represented. The number of AI/ANs served must be substantiated by documentation describing IHS user populations, United States Census Bureau data, clinical catchment data, or any method that is scientifically and epidemiologically valid. An intertribal consortium or urban Indian organization is eligible to receive a cooperative agreement if it is incorporated for the primary purpose of improving AI/AN health, and represents the Tribes, AN villages, or urban Indian communities in which it is located. Resolutions from each Tribe, AN village and equivalent documentation from each urban Indian community represented must be included in the application package. Collaborations with IHS Areas, Federal agencies such as the Centers for Disease Control and Prevention (CDC), State, academic institutions or other organizations are encouraged (letters of support and collaboration should be included in the application).

Beneficiary Eligibility:

Federally-recognized Indian Tribe will benefit from the Tribal Epidemiology Centers. Federally-recognized Indian Tribe means any Indian Tribe, band, nation, or other organized group or community, including any Alaska Native village or group or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act (85 Stat. 688) [43 U.S.C. 1601, et seq.], which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as

Indians. 25 U.S.C. 1603 (d).

Tribal organization means the elected governing body of any Indian Tribe or any legally established organization of Indians which is controlled by one or more such bodies or by a board of directors elected or selected by one or more such bodies or elected by the Indian population to be served by such organization and which includes the maximum participation of Indians in all phases of its activities. 25 U.S.C. 1603(e).

Urban Indian organization means a non-profit corporate body situated in an urban center governed by an urban Indian controlled board of directors, and providing for the maximum participation of all interested Indian groups and individuals, which body is capable of legally cooperating with other public and private entities for the purpose of performing the activities. 25 U.S.C. 1603(h).

Credentials/Documentation:

The applicant must provide documentation of: (1) Nonprofit status; (2) tribal resolution(s); and (3) letters of support and collaboration with regional IHS, State, or university organizations. Costs will be determined in accordance with OMB Circular No. A-122 (nonprofit organizations), and applicable grant administration regulations 45 CFR 74 and 45 CFR 92. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center is open 24 hours a day, 7 days a week (except for Federal holidays). Waivers from the electronic process must be made at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package from the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to IHS.

Award Procedure:

These awards are issued under a competitive grant process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will be awarded for project periods of up to 5 years. Within the project period, a continuation application must be submitted via GrantSolutions.gov annually on a non-competitive basis for each year of support. Additional support is subject to availability of funds. See the following for information on how assistance is awarded/released: annually.

Reports:

Program reports are not applicable. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment

Management Branch. Progress reports are required annually, semi-annually or quarterly depending on the time lines set by the IHS program. A final progress report is due 90 days after the end of each budget period and at the end of the final project period. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. IHS grants are monitored by the Division of Grants Management for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. .

Records:

DHHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the grant in order to make audits, examinations, excerpts, and transcripts. Grantees are required to maintain grant accounting records for 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$9,546,420; FY 17 est \$6,153,361; and FY 18 est \$4,433,361

Range and Average of Financial Assistance:

\$565,475 to \$1,322,125; average award \$795,535.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 94-437, Section 214(a)(1), as amended by Public Law 102-573; 45 CFR 92 and 45 CFR 74; authorizes the cooperative agreement grant awards. HHS Grants Policy Statement, January 2007.

Regional or Local Office:

None. Program Contact: Lisa Neel, MPH; Division of Epidemiology and Disease Prevention, Indian Health Service, 5600 Fishers Lane, Mail Stop: 09E10-D, Rockville, MD 20857. Telephone: (301) 443-4305.

Grants Management Contact: Mr. Robert Tarwater, Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204, Fax (301) 594-0899.

Headquarters Office:

Grants Policy Office 5600 Fishers Lane, Mail Stop: 09E70, Rockville, Maryland 20857 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are introduction, current capacity and project objectives, approach and results and benefits, project evaluation, organization capabilities and qualifications, and budget. Consideration will be given to applicants: (1)

Proposing to provide services to large regions consisting of more than a single IHS administrative area; and (2) demonstrating evidence of past and current epidemiological activities.

93.232 LOAN REPAYMENT PROGRAM FOR GENERAL RESEARCH
(NIH General Research Loan Repayment Program; GR-LRP)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Part VI, Section 487C, Public Law 100-43, 42 U.S.C 288-3.

OBJECTIVES:

To recruit and retain health professionals performing research in fields required by the NIH to carry out its mission by providing educational loan repayment for participants with substantial amounts of educational debt relative to income, who agree by written contract to engage in laboratory or clinical research as employees of the NIH for a minimum 3-year period.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged, as employees of the NIH, in laboratory or clinical research. Recipients must agree by written contract to engage in such research, initially, for a minimum of 3 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service.

Continuation contracts for 1-year terms are available, dependent upon the level of debt and continued involvement in research that supports the research and institutional needs and the mission of the NIH. Maximum program benefit is \$35,000 per year in loan repayments and \$16,275 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold."

Applicant Eligibility:

Eligible applicants must: (1) Be a citizen, national, or permanent resident of the United States; (2) possess a M.D., Ph.D., D.O., D.D.S., D.M.D., D.V.M., D.P.M., A.D.N., B.S.N., or equivalent degree, or hold the position of Physician Assistant; (3) have qualified educational debt, which results from governmental or commercial loans obtained to support their undergraduate and/or graduate education, in excess of 20 percent of their annual NIH salary on the program eligibility date; (4) be appointed to the NIH Intramural Research Program under any temporary or permanent employment mechanism, so long as the employment has the potential to last a minimum of three years; (5) not be under any existing service obligation to Federal, State, or other entities, until such obligation is discharged or unless it is deferred during the period of program service; (6) submit an application to participate in the Loan Repayment Program for General Research; and (7) sign and submit to the Secretary of Health and Human Services, at the time of application submission, a contract agreeing to engage in research as an employee of the NIH for a minimum of 3 years.

Beneficiary Eligibility:

NIH researchers who possess substantial unpaid educational debt relative to income will benefit from this program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) Copies of loan applications and agreements from government or commercial educational loans which are being submitted for repayment; (2) copies of the standard student budget from each school attended during the period in which the debt was incurred; and (3) other documentation as may be required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must access and submit the Loan Repayment Program application electronically via the website www.lrp.nih.gov; seek qualified employment with a sponsoring Institute or Center (IC) of the NIH. Once an application has been submitted, the Loan Repayment Committee reviews it at a regularly scheduled review meeting and a determination of loan repayment recipients is made.

Award Procedure:

The NIH Loan Repayment Committee (LRC) will review, rank, and approve or disapprove applications recommended for program participation by each Institute or Center (IC). Applications approved for participation by the LRC will be notified by the Program Director. The Secretary, or his designee, will sign the service contract of program participants, provide a copy to the participant, and notice of the amount of approved loan repayment.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. The approximate time for approval/disapproval is 3 months.

Appeals:

From 90 to 120 days. Employees of the NIH who are not approved as a result of this process may ask for reconsideration through the standard appeal procedures available to NIH employees.

Renewals:

From 90 to 120 days. At the conclusion of the initial 3-year contract, participants may apply and be considered for subsequent 1-year continuation contracts under the application and approval procedures specified above.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 3-year period. One-year continuation contracts are available for individuals whose educational debt exceeds the maximum repayable amount for 3 years. Payments will be made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of OMB Circular No. A-133. In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation. Grantees generally must retain financial and programmatic records, supporting

documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-6846-0-1-552.

Obligations:

(Direct Payments for Specified Use) FY 16 \$3,548,000; FY 17 est \$3,044,458; and FY 18 est \$3,815,000

Range and Average of Financial Assistance:

(Loan Repayment) For initial 3-year contracts, loan repayment awards may range from \$7,800 to \$105,000; Tax reimbursements range from \$3,679 to \$48,825. The average contract cost which includes loan and tax reimbursement is \$102,000.

TAFS Codes:

75-009.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: During budget year 2016, a total of 63 awards were provided. Fiscal Year 2017: During budget year 2017, it is projected that 62 awards will be provided. Fiscal Year 2018: The projection for budget year 2018 is 68 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 103-43, Section 1631; Section 487C of the Public Health Service Act (42 U.S.C. 288-3); Public Law 105-392; and Federal Register, Volume 78, No. 66, Friday, April 5, 2013. Notice of Final Rule.

Regional or Local Office:

None.

Headquarters Office:

Patricia A. Cole, PhD Office of Intramural Training and Education
National Institutes of Health
U.S. Department of Health and Human Services
Building 2, Room 2E18
2 Center Drive, Bethesda, Maryland 20892-0230 Email: colep@mail.nih.gov
Phone: 3014021283 Fax: 3014802942

Website Address:

<http://www.lrp.nih.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.164 Indian Health Service Educational Loan Repayment; 93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds; 93.364 Nursing Student Loans; 93.936 National Institutes of Health Acquired

Immunodeficiency Syndrome Research Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: In 2017, the funded projects will focus on qualified approved research within the basic clinical and translational areas that contribute to the NIH Mission. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants are approved for participation in the NIH General Research Loan Repayment Program after the LRC determines that the applicant will conduct qualified research and has qualifying educational debt. LRC approval, in part, is based upon the scientific merit of the research, its priority to the research institutes, and the credentials of the applicant.

**93.233 NATIONAL CENTER ON SLEEP DISORDERS RESEARCH
FEDERAL AGENCY:**

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

NIH Reauthorization Act of 1993, Public Health Service Act, Section 503: Subpart 2, Part C, Title IV, Section 424, 42 U.S.C. 285b et seq.

OBJECTIVES:

The National Center on Sleep Disorders Research (NCSDR) supports research and research training related to sleep disordered breathing, and the fundamental functions of sleep and circadian rhythms. The center also stewards several forums that facilitate the coordination of sleep research across NIH, other federal agencies and outside organizations, including the Sleep Disorders Research Advisory Board and a Trans-NIH Sleep Research Coordinating Committee. The center also participates in the translation of new sleep research findings for dissemination to health care professionals and the public. Small Business Innovation Research (SBIR) program: To stimulate technological innovation; use small business to meet Federal research and development needs; foster and encourage participation in innovation and entrepreneurship by socially and economically disadvantaged persons; and increase private-sector commercialization of innovations derived from Federal research and development funding. Small Business Technology Transfer (STTR) program: To stimulate technological innovation; foster technology transfer through cooperative R&D between small businesses and research institutions, and increase private sector commercialization of innovations derived from federal R&D.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may support stipends, research expenses, supplies, travel, and research training tuition as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. Individual Predoctoral and Postdoctoral National Research Service Awards (NRSAs) are made directly to individuals for research training in specified biomedical shortage areas. Institutional NRSA awards may be made to eligible institutions to enable them to appoint individuals selected by the institution for research training. Certain service and payback provisions may apply to postdoctoral individuals upon termination of the award or termination of the appointment. SBIR Phase I awards (of approximately 6-months' duration) are to establish the scientific and technical merit of the proposed research effort, and feasibility of ideas that appear to have commercial potential. Phase II awards are for the continuation of research initiated in Phase I that meets particular program needs and exhibits potential for commercial application. STTR Phase I awards (normally of 1-year duration) are to establish the scientific and technical merit of the proposed cooperative research effort between a small business and non-profit research institution, and feasibility of ideas that appear to have commercial potential. Phase II awards are for the continuation of research initiated in Phase I that meets particular program needs and exhibits potential for commercial application. Fast-Track is an option whereby Phase I and Phase II SBIR or STTR projects are submitted and reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II. While

the intent of the SBIR/STTR programs is commercialization (Phase III). SBIR/STTR funds are generally not allowed for commercialization activities such as patents; market and sales; market research; business development/product development/market plans; legal fees, travel and other costs including labor relating to license agreements and partnerships.

Applicant Eligibility:

Any nonprofit organization engaged in biomedical research and institutions or companies organized for profit may apply for almost any kind of grant. Only domestic, non-profit, private or public institutions may apply for NRSA Institutional Research Training Grants. An individual may apply for an NRSA or, in some cases, for a research grant if adequate facilities to perform the research are available. SBIR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit, or owned by another small business that itself is independently owned and operated for profit and have no more than 500 employees including affiliates). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. SBIR projects are generally performed at least 67% by the applicant small business in Phase I and at least 50% of the Project in Phase II. STTR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit and have no more than 500 employees) that formally collaborate with a university or other non-profit research institution in cooperative research and development. The principal investigator of an STTR award may be employed with either the small business concern or collaborating non-profit research institution as long as s/he has a formal appointment with or commitment to the applicant small business concern. At least 40% of the project is to be performed by the small business concern and at least 30% by the non-profit research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research. Only domestic for-profit small business firms may apply for SBIR and STTR programs.

Credentials/Documentation:

Individual NRSA awardees and Institutional NRSA trainees must be citizens or noncitizen nationals of the United States, or have been admitted for permanent residency. Two levels of training are available: graduate level predoctoral training and postdoctoral training. All potential trainees must possess a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organization costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with DHHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, the applicant organization (small business concern) must present a research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. SBIR and STTR applicants must use the SF424 Research and Related (R&R) application for electronic submission through grants.gov. Electronic submission of NIH Research Performance Progress Reports (RPPR) apply for non-competing continuations (e.g., second year of Phase II). OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for

Federal Awards. Grant applications are submitted electronically. Visit the following link to obtain application information and instructions (<http://grants1.nih.gov/grants/funding/424/index.htm>)

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Staff informs applicants of the results of the review. If support is contemplated, staff initiates preparation of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 7-1/2 to 9 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available in the NIH Guide at the following URL <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

> 180 Days. Renewal applications are reviewed in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements. This program has no matching requirements.

Length and Time Phasing of Assistance:

The Advisory Council may recommend funding for periods typically ranging from 1 to 5 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: The National Heart, Lung, and Blood Advisory Council may recommend funding for periods ranging from 1 to 5 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Annual reports on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. Annual reports on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of OMB Circular No. A-133. In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0872-0-1-552.

Obligations:

(Project Grants) FY 16 \$58,831,870; FY 17 est \$64,934,635; and FY 18 est \$64,934,635

Range and Average of Financial Assistance:

Grants: \$35,426 to \$2,616,876; \$544,740. SBIR Phase I - \$150,000; Phase II - up to \$1,000,000; STTR Phase I - \$150,000; Phase II - \$1,000,000.

TAFS Codes:

75-0872.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 95 research grants and 13 National Research Service Awards. Fiscal Year 2017: The estimates for fiscal year 2017 are 101 research grants and 17 National Research Service Awards. Fiscal Year 2018: The estimates for fiscal year 2018 are 101 research grants and 17 National Research Service Awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Since Sleep Disorders Research Program is now integrated into the Cardiovascular, Lung and Blood Diseases Research Programs, all funding related to sleep research have already been reported under the respective

programs.

Headquarters Office:

Dana A. Phares 6701 Rockledge Drive, Room 7176, Bethesda, Maryland 20892
Email: pharesda@nhlbi.nih.gov Phone: 301-435-0314

Website Address:

<http://www.nhlbi.nih.gov/sleep>.

RELATED PROGRAMS:

93.837 Cardiovascular Diseases Research; 93.838 Lung Diseases Research; 93.839 Blood Diseases and Resources Research

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) Significance: Does this study address an important problem? If the aims of the application are achieved, how will scientific knowledge or clinical practice be advanced? What will be the effect of these studies on the concepts, methods, technologies, treatments, services, or preventative interventions that drive this field? (2) Approach: Are the conceptual or clinical framework, design, methods, and analyses adequately developed, well integrated, well reasoned, and appropriate to the aims of the project? Does the applicant acknowledge potential problem areas and consider alternative tactics? (3) Innovation: Is the project original and innovative? For example: Does the project challenge existing paradigms or clinical practice; address an innovative hypothesis or critical barrier to progress in the field? Does the project develop or employ novel concepts, approaches, methodologies, tools, or technologies for this area? (4) Investigators: Are the investigators appropriately trained and well suited to carry out this work? Is the work proposed appropriate to the experience level of the principal investigator and other researchers? Does the investigative team bring complementary and integrated expertise to the project (if applicable)? (5) Environment: Does the scientific environment in which the work will be done contribute to the probability of success? Do the proposed studies benefit from unique features of the scientific environment, or subject populations, or employ useful collaborative arrangements? Is there evidence of institutional support? Complete review criteria can be found at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-05-002.html>. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the importance of the problem the proposed research will address; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation of the proposed research; (5) the potential of the proposed research for commercial application; (6) the appropriateness of the budget requested; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Complete SBIR review criteria can be found at http://grants1.nih.gov/grants/funding/sbirstr_ReviewCriteria.htm.

93.234 TRAUMATIC BRAIN INJURY STATE DEMONSTRATION GRANT PROGRAM

TBI State Implementation grants

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title XII, Section 1252 (42 USC 300d-52) as amended by the Childrens Health Act of 2000, sec.1304, PL 106-310, as further amended by the Traumatic Brain Injury Act of 2008, sec. 6(a), PL 110-206.

OBJECTIVES:

To improve access to rehabilitation and other services for individuals with Traumatic Brain Injury (TBI) and their families with an emphasis on early diagnosis and intervention, and access to medical home and system of care.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Project grants to States are to improve access to health and other Traumatic Brain Injury (TBI)-related services for people of all ages across the United States. Any State seeking TBI grant funds is required to agree to establish or have an advisory board within the appropriate health department of the State or within another department as designated by the Chief Executive Officer of the State. The Board's composition as specified must include representatives of the involved State agencies; public and nonprofit private health related organizations; disability advisory or planning groups; members of an organization or foundation representing individuals with TBI; State and local injury control programs if they exist, and a substantial number of individuals with TBI and/or their family members. These grants are to be used in building a statewide system to assure access to comprehensive and coordinated TBI services. Grants can address a wide range of activities and should reflect gaps or needed system change identified through the statewide TBI needs assessment. Funds under this announcement may not be used for the following purposes: construction projects or for pre-award costs (reimbursement is not given for costs incurred prior to receiving the award) or to support primary injury prevention initiatives, research initiatives, or the provision of direct services. For any available grant funds in excess of \$10,000,000, distribution of grants will be at the discretion of the Administration for Community Living (ACL), and will be made according to the criteria communicated to the States via a grant solicitation.

Applicant Eligibility:

State, Territorial governments, and Federally recognized Indian Tribal government and Native American organizations are eligible to apply for funding under the TBI grant program. The application for Implementation Partnership funds may only come from the State agency designated as the lead for TBI services. The State maternal and child health program is expected to be involved in the program. Only one application from each State may enter the review process and be considered for an award under this program.

Beneficiary Eligibility:

Individuals with TBI and their families, including those in high risk groups, such as children and youth, the elderly, Native Americans and Alaska Natives, military service members and veterans.

Credentials/Documentation:

Applicants should review the individual ACL funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E-Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. ACL requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee.

Based on the advice of the objective review committee, the ACL program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Application forms are submitted through a centralized depository and received by the awarding agency, Administration for Community Living. Applicants generally apply under a program announcement indicating all the necessary application procedures to be followed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

No renewals; grants are approved for three to five years for TBI State Implementation Grants.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Cost Sharing/Matching is required for this program per 1252(c) of the Public Health Service Act. The State or Territory must agree to make available non-Federal contributions in an amount that is not less than \$1 for each \$2 of Federal funds provided under the grant. Non-Federal funds may be cash or in-kind and fairly evaluated, including plant, equipment, or services. State, Territorial or Indian Tribal government contributions may not include any amounts provided by the Federal government. The match, as required by the TBI legislation, must come from State or local sources and may be governmental or non-governmental resources. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually in accordance with the project period method of awarding grants. Payments are made through a Letter of Credit or Cash Demand System. Project periods are for 1, 2, 3, 4 or 5 years. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program Progress Reports are due semi-annually (within 30 days following each six month period), effective with the start date of the award. This report must be submitted as a note using an authorized GrantSolutions account. Grantees are required to submit annual financial status reports (SF 425), which are due 30 days following each twelve month reporting period. You must reconcile your cash accounts with your expenditures for the reporting period and submit a cumulative report each year. A final report is due 90 days after the expiration date of the project period and must reconcile with the final cash portion reported on line 10c. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: http://www.acl.gov/Funding_Opportunities/Grantee_Info/Reporting.aspx. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative

agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at http://www.acl.gov/Funding_Opportunities/Grantee_Info/FFATA.aspx). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Grantees are required to submit annual financial status reports (SF 425), which are due 30 days following each twelve month reporting period. You must reconcile your cash accounts with your expenditures for the reporting period and submit a cumulative report each year. A final report is due 90 days after the expiration date of the project period and must reconcile with the final cash portion reported on line 10c. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0412-0-1-506.

Obligations:

(Project Grants) FY 16 \$4,984,432; FY 17 est \$4,734,432; and FY 18 Estimate Not Available - Program transferred from HRSA to ACL 6-1-16.

Range and Average of Financial Assistance:

\$100,000 to \$250,000; average \$249,252.

TAFS Codes:

75-0354.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

None. Administration for Community Living - Independent Living Administration.

Headquarters Office:

Elizabeth Leef 330 C Street SW, Washington, District of Columbia 20201
Email: elizabeth.leef@acl.hhs.gov Phone: (202) 475-2482

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed by nonfederal consultants who make recommendations to the Administrator of Community Living. The Administrator will approve projects which best promote the purposes of TBI grants. Review criteria: The following review criteria apply to State Grants: (1) The extent to which the State demonstrates a comprehensive understanding of

the problem inadequate access to rehabilitation and other services - and associated contributing factors to the problem; (2) The extent to which the State proposes a plan to address barriers to accessing rehabilitation and other services by engaging in the four identified program activities: information and referral services, professional training, screening, and resource facilitation; (3) The strength and effectiveness of the method proposed to monitor and evaluate progress towards goals and the project results as well as how data on persons served will be gathered; (4) The extent to which the State proposes a plan to continually assess the impact of grant activities on individuals with TBI and their families; (5) The extent to which project personnel are qualified by training and/or experience to implement and carry out the statewide plan objectives. (6) Reasonableness of the proposed budget, and effectiveness of the proposed plan to accomplish the goals and objectives the State has for its TBI program.

93.235 AFFORDABLE CARE ACT (ACA) ABSTINENCE EDUCATION PROGRAM

Abstinence Education Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act of 2010, Title II Role Of Public Programs, Subtitle L Maternal and Child Services

The Patient Protection and Affordable Care Act, Title II Role of Public Programs, Subtitle L, Maternal and Child Health Service, Title V, Section 2954, Public Law 111-148, 42 U.S.C. 713(c)(1)., Title V, Section 2954, Public Law 113-93, 42 U.S.C 710; The Consolidated and Further Continuing Appropriation Act of 2015, Section 229, Public Law 113-235; The Medicare Access and CHIP Reauthorization Act of 2015 , Section 214, Public Law 114-10.

OBJECTIVES:

To enable States to provide abstinence education, and at the option of the State, where appropriate, mentoring, counseling, and adult supervision to promote abstinence from sexual activity, with a focus on those groups which are most likely to bear children out-of-wedlock. The Affordable Health Care Act (ACA) appropriated funding for this program through FY 2014. This program can redistribute unclaimed funds to states currently receiving funding with the provision that the funding is used for abstinence-only education in P.L. 113-235. The program has been extended through September 30, 2017 in P.L. 114-10.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The purpose of an allotment under subsection (a) to a State is to enable the State to provide abstinence education, and at the option of the State, where appropriate, mentoring, counseling, and adult supervision to promote abstinence from sexual activity, with a focus on those groups which are most likely to bear children out-of-wedlock.

Pursuant to Section 510(b)(2) of Title V of the Social Security Act, the term "abstinence education," for purposes of this program means an educational or motivational program that:

- (A) Has as its exclusive purpose, teaching the social, psychological, and health gains to be realized by abstaining from sexual activity;
- (B) Teaches abstinence from sexual activity outside marriage as the expected standard for all school age children;
- (C) Teaches that abstinence from sexual activity is the only certain way to avoid out-of-wedlock pregnancy, sexually transmitted diseases, and other associated health problems;
- (D) Teaches that a mutually faithful monogamous relationship in the context of marriage is the expected standard of human sexual activity;
- (E) Teaches that sexual activity outside of the context of marriage is likely to have harmful psychological and physical effects;
- (F) Teaches that bearing children out-of-wedlock is likely to have harmful consequences for the child, the child's parents, and society;

- (G) Teaches young people how to reject sexual advances and how alcohol and drug use increases vulnerability to sexual advances; and
(H) Teaches the importance of attaining self-sufficiency before engaging in sexual activity.

Applicant Eligibility:

Funds are allotted to 59 States and Territories to enable them to carry out abstinence education programs. Eligible applicants include the 50 United States, the District of Columbia, Puerto Rico, Virgin Islands, Guam, American Samoa, Northern Mariana Islands, Micronesia, the republic of the Marshall Islands, and the Republic of Palau.

Beneficiary Eligibility:

School-age girls and boys, adolescents, at-risk populations subject to out-of-wedlock births, parents, youth serving professionals and families.

Credentials/Documentation:

A signed letter from the authorized representative must accompany each application; it should include documentation establishing the authorized representative's authority to apply for and administer the Abstinence Education grant program funds on behalf of the State or Territory. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedures will be given in the Funding Opportunity Announcement (FOA).

Award Procedure:

ACF recruits and trains Federal staff and contractors to review applications. Program administrators review, observe, and edit comments of reviewers to ensure they are in compliance with guidelines and Federal law. Applications are rated Approved, Approved with Conditions, or Approval Pending. States that submit applications that are Approved receive grant awards and do not need to send further information. States that submit applications that are Approved with Conditions" receive grant awards with descriptions of weaknesses and subsequent conditions that must be met in order to receive Approved status. The applicants are given a set time period to send to ACF a response that addresses the conditions. States that submit applications that are rated Approval Pending receive a list of weaknesses cited by the reviewer and directions for submitting an amended application that addresses the weaknesses. The State will not receive a grant award until the State submits a satisfactory application. The applicants are notified of a final due date for submission of a satisfactory application. The remaining unobligated balance is reallocated as supplemental funding to such States that submit a valid application consistent with the original formula for this funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days.

Formula and Matching Requirements:

Statutory Formula: Title V.

Matching Requirements: The statutory formula for this program is based upon census data. Grants awarded to each State are determined by a formula using the State's proportion of low-income children compared to the total number of low-income children in the U.S. based on the most recent Census data for children in poverty. For each fiscal year, the estimated allotment for each State or Territory will be updated based on the most current available census data and will be communicated to States by August 15 of the preceding fiscal year.

Census data are unavailable for the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau. Thus, the allocations for these three entities are based on the amounts allocated to them by HHS in prior fiscal years. There is a required match of 43 nonfederal dollars for every 100 federal dollars. For example, if a states federal award is \$100,000, the State must provide a match of \$75,250, which would represent 43% of the total project cost of \$175,000 (counting both federal grant and state match). The match can be reached by use of state dollars, local government dollars, and private dollars including foundation dollars, in-kind support, or any combination thereof.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

In accordance with Section 503 of the Social Security Act, Any amount payable to a State under this title from allotments for a fiscal year which remains unobligated at the end of such year shall remain available to such State for obligation during the next fiscal year. For example, funds appropriated and allocated to the States in FY 2010 (starting October 1, 2009) are available for obligation and expenditures through September 30, 2011. The program was extended through FY2017 and legislation was enacted to redistribute unclaimed funds to states currently receiving funding with the provision that they use the funding for abstinence-only education. See the following for information on how assistance is awarded/released: Draw downs are based upon need and project progress.

Reports:

Progress reports and financial expenditure reports are required. The Financial Status Report is required annually. The Performance Progress Report is required semi-annually. Program guidelines allow States to expend abstinence education funds over a two-year period. An interim Financial Status Report (SF-425) is due annually, and a final fiscal report is due 90 days after the end of the project period. Performance monitoring occurs by reviewing program progress reports and conducting monitoring phone calls.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. As described in Title V, Section 506(b)(1) of the Social Security Act, each state shall, not less often than once every 2 years, audit its expenditures from amounts received under this title.

Records:

Records of the recipient shall be made available to the Secretary to secure an accurate description of the activities for which funds were spent in achieving the purposes of this section. States shall make available copies of reports and audits for public inspection within the State. Within 30 days following the completion of each audit report, the State shall submit a copy of that audit report to the Secretary.

Account Identification:

75-1512-0-1-506.

Obligations:

(Formula Grants) FY 16 \$75,000,000; FY 17 est \$69,825,000; and FY 18 est \$0 - Program expires on 9/30/2017, therefore, no obligations estimated for FY 2018.

Range and Average of Financial Assistance:

Range: \$47,559 - \$7,854,345 Average: \$1,127,186,237 (\$75,000,000 divided by 59 eligible States, Territories and the District of Columbia).

TAFS Codes:

75-1512-506.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Thirty eight (38) grants were awarded. Fiscal Year 2017: Thirty nine (39) grants have been awarded. Fiscal Year 2018: It is anticipated that 59 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Family and Youth Services Bureau central office for available literature. You may also get information on the Family and Youth Services

Bureau website at the following address: <http://www.acf.hhs.gov/programs/fysb>

Regional or Local Office:

None.

Headquarters Office:

LeBretia White 330 C St., SW., Washington, District of Columbia 20021
Email: lebetria.white@acf.hhs.gov Phone: (202) 205-9605 Fax: (202) 205-9535

Website Address:

<http://www.acf.hhs.gov/programs/fysb>

RELATED PROGRAMS:

93.092 Personal Responsibility Education Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed and assessed by the evaluation criteria provided in the funding opportunity announcement.

93.236 GRANTS TO STATES TO SUPPORT ORAL HEALTH WORKFORCE ACTIVITIES

Grants to States to Support Oral Health Workforce Activities

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 340 G of the Public Health Service Act (42 U.S.C. 256g).

OBJECTIVES:

Grants to States to Support Oral Health Workforce Activities assists states to develop and implement innovative programs to address the dental workforce needs of designated Dental Health Professional Shortage Areas.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to develop and implement a workforce program(s) designed to support innovative oral health service delivery models that will increase access to high quality oral health services for underserved populations located in Dental Health Professional Shortage Areas (HPSAs) or in other areas specifically designated as having a dental health professional shortage by the State. Programs must propose innovative oral health care delivery models to address a States oral health workforce needs. State governments must define the States investment of resources to the project and any planned coordination of activities at the State level. States must demonstrate commitment to their projects by providing a legislatively-mandated, 40 percent or greater, non-Federal match.

Allowable activities include:

1. Dental recruitment and retention efforts;
2. Grants and low/no-interest loans to help dentists who participate in the Medicaid program to enhance capacity;
3. Establish or expand dental residency programs (in States without dental schools);
4. Programs to establish or expand oral health services and facilities in Dental HPSAs;
5. Placement and support of dental students/residents, and advanced dentistry trainees;
6. Continuing dental education, including distance-based education;
7. Practice support through tele-dentistry to improve access for underserved communities;
8. Community-based prevention service programs for underserved populations;
9. Coordination with local educational agencies to promote children going into oral health or science professions;
10. Establishment of faculty recruitment programs at accredited dental training institutions;
11. Development of a State dental officer position or augmentation of a State

dental office to coordinate oral health and access issues in the State;

12. Other activities as deemed appropriate by the Secretary: Programs designed to address opioid abuse or the co-morbidities of dental caries and childhood obesity.

Applicant Eligibility:

Eligible applicants include Governor appointed, state government entities. In addition to U.S. states, eligible applicants include: District of Columbia, Guam, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the U.S. Virgin Islands, American Samoa, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau. Each state is limited to only one application. Applicants must have significant experience with addressing oral health workforce issues in underserved populations. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Beneficiaries include Governor appointed, State government entities.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity (FOA) issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications are forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Award notifications are made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 40%. An entity that receives a grant under this program must contribute non-federal funds to activities carried out under this grant to an amount equal to at least 40 percent of the federal funding in support of the project. Matching funds may be a combination of in-kind contributions, fairly valued, including plant, equipment, and services and may provide the contributions from state, local, or private sources. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

This program provides support for project periods from three to four years with annual budget periods. Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based

payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. Cash reports are not applicable. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this Notice of Funding Opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$14,014,185; FY 17 est \$11,885,339; and FY 18 est \$0

Range and Average of Financial Assistance:

FY 15: Range: \$423,000 to \$500,000, average award: \$451,000.

FY 16 Range: \$287,883 to \$500,000, average award: \$457,558

FY 17 (estimate) Range: \$287,000 to \$500,000, average award: \$457,000.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Around 33 percent of SOHWP-supported students and dental residents reported coming from a rural background.

Grantees used SOHWP funding to support three tele-dentistry facilities and deliver oral health education to 66,909 people.

Grantees used SOHWP funding to provide dental sealants to 21,262 children; diagnostic or preventive dental services to 69,806 community residents.

Grantees provided topical fluoride treatments to 76,756 children, and oral screenings for 115,783 patients.

SOHWP funding supported the replacement of 14 water fluoridation systems to provide optimally fluoridated water to over 1,145,420 individuals.

Approximately 86 percent of the dentists who received SOHWP loan repayment are practicing in dental HPSAs. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS)

that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Shane Rogers, Chief, Oral Health Training Branch, Division of Medicine and Dentistry, Bureau of Health Workforce 5600 Fishers Lane Room 15N-120, Rockville, Maryland 20857 Email: srogers@hrsa.gov Phone: 301-443-5260 Fax: 301-443-1945

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Some examples of funded projects through this program include: 1) increasing the capacity of the oral health workforce to provide comprehensive oral health services in Dental HPSAs through portable school-based restorative care programs; 2) strengthening the oral health surveillance system by addressing data gaps across the lifespan; 3) developing training programs for oral health providers practicing in advanced roles; 4) establishing a unique and collaborative academic and direct service program using school-linked dental facilities to provide access to high quality oral health services; and 5) improving oral health through leadership, collaboration and action at the state and local level, with an emphasis on connecting oral health professionals to rural/or underserved areas. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.237 SPECIAL DIABETES PROGRAM FOR INDIANS DIABETES PREVENTION AND TREATMENT PROJECTS

(Indian Health)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Balanced Budget Act of 1997, Public Law 105-33, 111 Stat. 574; Medicare Improvements for Patients and Providers Act of 2008, Public Law 110-275; Medicare & Medicaid Extenders Act of 2010, Public Law 111-309; Public Health Service Act, 42 U.S.C 330C(c)(2)(c).

OBJECTIVES:

To promote improved health care among American Indians/Alaska Natives through special diabetes prevention and treatment services with objectives and priorities determined at the local level. Extension of SDPI funds for FY 2016 and FY 2017 included a new grant application process that directs Community-Directed Diabetes Programs to identify and implement at least one Indian Health Diabetes Best Practice with defined goals, objectives and key measures based on community assessment and results of diabetes care and outcomes audit. The funding mechanism is a competitive grant program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Medicare Access and CHIP Reauthorization Act of 2015 authorized SDPI

for FY 2016 and FY 2017 to fund competitive grant programs to implement primary, secondary, and tertiary diabetic prevention and treatment and related data collection. The award amount will include both direct and indirect costs. Grant funds may not be used for any other purpose. Funding may not be used as matching funds for other Federal programs.

Applicant Eligibility:

The Public Health Service Act, as amended, states that the following groups are eligible to apply for grants: Indian Health Service (IHS) entities; Indian tribes or tribal organizations who operate an Indian Health program. This includes program under a contract, grant, cooperative agreement or compact with the IHS under the Indian Self-Determination Act; and Urban Indian organizations that operate an urban Indian Health program. This includes programs under a grant or contract with the IHS under Title V of the Indian Health Care Improvement Act.

Beneficiary Eligibility:

American Indians/Alaskan Natives will be the ultimate beneficiaries of the funded projects through either prevention or direct treatment services.

Credentials/Documentation:

Costs will be determined in accordance with Circular No. A-122, for nonprofit organizations or. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center is open 24 hours a day, 7 days a week (except for Federal holidays). If you require additional assistance please contact Tammy Bagley, Grants Policy Officer at (301) 443-5204 at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us. This program is subject to the provisions of either 45 CFR 92 or 45 CFR 74, depending upon the type of applicant organization. Grant applications will be reviewed under an Objective Review process. Applications will be ranked. Grants will be awarded based on the results of the Objective Review process.

Award Procedure:

After review and approval, a notice of this grant award is prepared and processed along with the appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Funds are expected to be awarded no later than 45 days after complete applications are received. Awards could be delayed for applications not meeting initial approval.

Appeals:

From 60 to 90 days.

Renewals:

The 1997 BBA Public Law 105-33 project period is currently up to 5 years with non-competitive renewals projected annually. The HR 4577 Public Law 106-554 project period is projected to be 3 years with non-competitive renewals projected annually. The SDPI Reauthorization of 2002 Public Law 107-360 project period is up to 5 years with non-competitive grants and competitive grants renewals projected annually. The 2008 Public Law 110-275 is for 2 years extension of SDPI funds for FY 2010 and FY 2011. The 2010 Public Law 111-309, Medicare and Medicaid Extenders Act extends funds for 2 years

through FY 2013. The American Taxpayer Relief Act of 2012 (P.L. 112-240) to extend funding through FY 2014, the Protecting Access to Medicare Act of 2014 (PL 113-93; H.R. 4302) to extend funding through FY 2015. H.R. 2 The Medicare Access and CHIP Reauthorization Act of 2015 authorized SDPI for FY 2016 and FY 2017.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Public Law 105-33 project was limited to 5 years (total) with annual budget periods. The Public Law 106-554 project was limited to 3 years (total) with annual budget periods. The Public Law 107-360 project is limited to 5 years (total) with annual budget periods. The Public Law 110-275 project is limited to 2 years with annual budget periods (FY 2010 and FY 2011). The Public Law 111-309 project is limited to 2 years with annual budget periods (FY 2012 and FY 2013). The American Taxpayer Relief Act of 2012 (P.L. 112-240) to extend funding through FY 2014, the Protecting Access to Medicare Act of 2014 (PL 113-93; H.R. 4302) to extend funding through FY 2015. H.R. 2 The Medicare Access and CHIP Reauthorization Act of 2015 authorized SDPI for FY 2016 and FY 2017. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. Progress reports are required annually, semi-annually or quarterly depending on the time lines set by the IHS program. A final progress report is due 90 days after the end of each budget period and at the end of the final project period. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. IHS grants are monitored by the Division of Grants Management for financial compliance and by the IHS Program Staff for programmatic compliance. Semi-annual Progress and Annual Reports are required from grantees.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records of the grant must be retained for 3 years after submission of the final expenditure report. If questions remain, such as those resulting from an audit, pertinent records must be kept until the matter is resolved. The Secretary, the Inspector General of the Department of Health and Human Services, and the Comptroller General of the United States or any of their authorized representatives shall have the right to access all records, reports, books, documents, papers, or other records of the grantee, contractor, or subcontractor, or of any entity pertinent to the DHHS grant in order to make audits, examinations, excerpts, and transcripts. In accordance with 45 CFR 92 or 45 CFR 74, Subpart D, as applicable, grantees are required to maintain grant records for 3 years after they submit their final expenditures report. If any litigation, claim, negotiation, audit or other action involving the records have been started before the end of the 3-year period, the records must be retained until completion of the action and resolution of all issues arising from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Project Grants) FY 16 \$138,700,000; FY 17 est \$138,700,000; and FY 18 est \$138,700,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 74; HHS Grants Policy Statement (Rev.) January, 2007.

Regional or Local Office:

None. Program Contact: IHS Division of Diabetes Treatment and Prevention, 5600 Fishers Lane, Rockville, MD 20857; e-mail: diabetesprogram@ihs.gov; telephone: 1-844-447-3387.

Grants Management Contact: Mr. Robert Tarwater, Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204, Fax (301) 594-0899.

Headquarters Office:

Grants Policy Office 5600 Fishers Lane, Mail Stop: 09E70, Rockville, Maryland 20857 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.239 POLICY RESEARCH AND EVALUATION GRANTS

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Section 1110, 42 U.S.C. 1310.

OBJECTIVES:

To support research that is relevant to policy development and evaluation of current and proposed programs of interest to the Secretary, the Administration, and the Congress. Priorities include: (1) Issues of long-term care, disability, and personal assistance services, including informal care giving; (2) health care delivery issues including health care financing; (3) welfare reform outcomes and policies affecting children and youth; (4) community development; (5) science policy development; and (6) the reduction of poverty.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may not be used for construction or renovation of buildings. This program is subject to the provisions of 45 CFR 74, and 45 CFR 92 as well as OMB Circular No. A-102 (Administrative Guidelines for States and Local Governments), and OMB Circular A-110 (Administrative Guidelines for Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations).

Applicant Eligibility:

Assistance may be provided to State and local governments, institutions of higher education, nonprofit organizations and individuals. Occasionally, awards are made to for-profit organizations when deemed by the Assistant Secretary to be consistent with the legislative intent and purpose of the program.

Beneficiary Eligibility:

Federal, State and local governments will benefit. (Note: Projects do not provide any direct assistance to individuals.

Credentials/Documentation:

Nonprofit organizations must provide evidence of tax-exempt status. When projects involve the collaborative efforts of more than one organization or require the use of services or facilities not under the direct control of the applicant, written assurances of specific support or agreements must be submitted by the affected parties. 2 CFR 200, Subpart E - Cost Principles

applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are submitted in response to specific announcements published on Grants.Gov. All applications must be submitted using the Department of Health and Human Services Standard Form 424 and additional forms as specified in the announcement. Each announcement provides specific additional instructions regarding the contents of the narrative description of the project, budget justification, evaluation criteria and other required information.

Award Procedure:

All applications are reviewed by an outside expert review panel. Recommendations are made to the Assistant Secretary who makes the final determination of awards and amounts. Official notice of approved application is made through the issuance of a Notice of Grant Award or a Notice of Cooperative Agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None.

Renewals:

Extensions to the project period may be made if deemed appropriate by the Assistant Secretary for Planning and Evaluation and approved by the grants manager.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. Requires a minimum 25% non-federal match.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are generally funded on a 12-month basis. Support beyond the first year is contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and the availability of funds. See the following for information on how assistance is awarded/released: on demand.

Reports:

Program reports are not applicable. Annual FSR. Quarterly and annual reports describing progress, delays and next steps. No expenditure reports are required. Program Official reviews quarterly and annual reports to ensure adequate performance.

Audits:

No audits are required for this program.

Records:

In accordance with 45 CFR Part 74.53 and 45 CFR Part 92, grantees are to maintain accounting records for a minimum of 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 est \$2,050,000; and FY 18 est \$1,900,000

Range and Average of Financial Assistance:

for FY2016 one cooperative agreement award at estimated \$1,900,000. In FY2017 the single award was increased to \$2,050,000. For FY2018 it is estimated that the award will return to \$1,900,000.

TAFS Codes:

75-03-0150-0160-0120-00.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Donald T. Oellerich 200 Independence Ave., Room 405F, Washington, District of Columbia 20201 Email: don.oellerich@hhs.gov Phone: 2026908410 Fax: 2026906562

Website Address:

<http://aspe.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The rating criteria and the weight attached to each criterion is included in the funding opportunity announcement posted to grants.gov that requests project proposals. The criteria typically include such concerns as the significance and usefulness of the project; the appropriateness of the intervention/measurement methodology and design; the qualifications of personnel and the sponsoring organization; and the likelihood that the work plan and budget will produce the intended results.

93.240 STATE CAPACITY BUILDING

(Site Specific Activities Cooperative Agreement Program)

FEDERAL AGENCY:

Agency for Toxic Substances and Disease Registry, Department of Health and Human Services

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Sections 104(i)(1)(E), (4), (6), (7), (9), (14) and (15), as amended; Superfund Amendments and Reauthorization Act of 1986, 42 U.S.C. 9604; Resource Conservation and Recovery Act, Section 3109(b) and (c), as amended; Hazardous and Solid Waste Amendments of 1984, 42 U.S.C. 6939 (b) and (c).
, 42 U.S.C 6939 (b) and(c).

OBJECTIVES:

To fulfill the mandated objectives of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA) and the Superfund Amendments and Reauthorization Act (SARA) of 1986, as amended, in coordination with Agency for Toxic Substances and Disease Registry (ATSDR), by assisting public health agencies to build capacity to conduct (1) Health consultations, (2) public health assessments, (3) exposure investigations, (4) community involvement, (5) health education, and (6) public health studies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The uses are to strengthen State health agency environmental health programs. Services include public health assessments, consultations, exposure investigations, health education, and follow-up health investigations/studies. Funds may be expended for reasonable program purposes, such as personnel, travel, supplies and services. Funds for contractual services may be requested. However, the awardee, as the direct and primary recipient of PHS grant funds, must perform a substantive role in carrying out project activities and not merely

serve as a conduit for an award to another party or provide funds to an ineligible party. Equipment may be purchased with cooperative agreement funds; however, the equipment must meet applicable Federal requirements. These funds may not be used by the recipient to conduct activities at any Federal site where the State is a party to litigation at the site.

Applicant Eligibility:

Eligible applicants are the official public health agencies of States or their bona fide agents or instrumentalities, to include the District of Columbia, American Samoa, the Commonwealth of Puerto Rico, the Virgin Islands, the Federated States of Micronesia, Guam, the Northern Mariana Islands, the Republic of the Marshall Islands, and the Republic of Palau, and the Federally- recognized Indian tribal governments.

Beneficiary Eligibility:

Beneficiaries are individuals and/or families living in communities near or in proximity of Superfund sites.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must use application Form PHS 5161-1. Application packets are available from: Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Rd., Room 3000, Mailstop K-75, Atlanta, GA 30341. By formal agreement, the CDC Procurement and Grants Office will act for and on behalf of ATSDR on this matter.

Award Procedure:

The Assistant Administrator, ATSDR, determines applications to be approved and the priorities for funding. When an application is approved for funding, the Grants Management Officer, CDC, acting as the agent for ATSDR, will prepare a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 60 to 90 days.

Appeals:

Other - Not Specified.

Renewals:

From 90 to 120 days. Awards are made for project periods from 1 to 5 years. Renewal awards cannot be made beyond the project period without competition.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The annual awards are for a 12 month budget period within a 3 to 5 year project period. Noncompetitive continuation awards within the project period are made on the basis of satisfactory progress and availability of funds. Method of awarding/releasing assistance: lump sum.

Reports:

Refer to the Funding Opportunity for guidance. Cash reports are not applicable. Refer to the Funding Opportunity for guidance. Refer to the Funding Opportunity for guidance. Refer to the Funding Opportunity for guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for at least 10 years in accordance with EPS's "Superfund Financial Management and Recordkeeping Guidance for Federal Agencies". Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon request of the office of Inspector General.

Account Identification:

75-8252-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$10,442,338; FY 17 Estimate Not Available(Exp: Not used in FY2017); and FY 18 Estimate Not Available(Exp: Not using in FY2018) - Sharon Cassell entered the obligations per Lisa Burns on 8/17/2015.

Range and Average of Financial Assistance:

\$150,000 to \$350,000.

TAFS Codes:

75-0944.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Michelle Canady 4770 Buford Highway, Atlanta, Georgia 30341 Email: bqg1@cdc.gov Phone: 770-488-0711

Website Address:

<http://www.atsdr.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for core activities only were reviewed and evaluated based on the following criteria: (1) Proposed Program: (a) Merit scientific and technical merit of the proposed project to perform public health assessments, consultations, exposure investigations, health education and public health studies consistent with ATSDR guidance and in a timely manner. Applicant's ability to evaluate the public health impact of hazardous waste sites using health, environmental, and demographic data, and health-related concerns from the local community. (b) Requirements Applicant's understanding of the requirements, objectives, and complexities of the interactions required for a successful program. c) Collaboration Applicant's plan to collaborate with political and private subdivisions of Federal, State, and local health and environmental agencies and community groups to obtain information needed for evaluating the public health impact of hazardous waste sites, disseminate results of findings, and prevent exposure if identified. (2) Program Personnel: The principal investigator or project director and his/her ability to devote time and effort to provide effective leadership, and the qualifications of the support staff. (3) Applicant Capability: Adequacy and commitment of institutional resources, facilities, space, and equipment necessary for conducting the project are available and sufficient. (4) Program Budget: Extent to which the budget is reasonable, clearly justified, and consistent with intended use of funds. The

priority order for funding cooperative agreements was as follows: (a) Number of proposed and/or listed National Priority List (NPL) sites (Federal and nonfederal) based on the most current EPA list, (b) number of Comprehensive Environmental Response, Compensation, and Liability Information System (CERCLIS) sites (Federal and nonfederal) based on the most current EPA list; (c) applicants who applied for both Core Activities and optional Activities; and (d) geographic distribution across the United States. (5) Human Subjects: Applicant's plan for adequate protection of human subjects. Applications for conducting Core Plus Optional Activities were reviewed and evaluated based on the following criteria: Proposed Program: In addition to the criteria outlined above for Core Activities, the applicant had to indicate an understanding of and capability for conducting human health studies as contained in the proposed site-specific protocol which had to include: (a) The approach, feasibility, adequacy, and rationale for the proposed study design, (b) the technical merit of the proposed study, (c) the proposed timeline, including measurable objectives, (d) proposed method for disseminating the results of the study.

**93.241 STATE RURAL HOSPITAL FLEXIBILITY PROGRAM
FEDERAL AGENCY:**

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title XVIII, 1820(g)(1) and (2) of the Social Security Act (42 U.S.C. 1395i-4), as amended; Consolidated Appropriations Act, 2016 (P.L. 114-113).

OBJECTIVES:

The Rural Hospital Flexibility Program (Flex) engages state designated entities in activities relating to planning and implementing rural health care plans and networks; designating facilities as Critical Access Hospitals (CAHs); providing support for CAHs for quality improvement, quality reporting, performance improvements, and benchmarking; and integrating rural emergency medical services (EMS). Specifically, the Flex program provides funding for states to support technical assistance activities to improve the quality of health care provided by CAHs, the financial stability and sustainability of CAHs, the integration of emergency medical services (EMS), and the health of rural communities. State Flex programs also assist in the conversion of qualified small rural hospitals to CAH status and support the integration of value based payment and innovative health care models in rural communities. State designated Flex Programs will act as a resource and focal point for these activities, ensuring people in rural communities have access to high quality health care services.

The Rural Veterans Health Access Program provides funding to states to coordinate activities to provide rural veterans access to services for needed mental health care via the use of networks, electronic communication and telehealth networks. The program targets states with high percentages of veterans to the total population.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for the purposes outlined in the approved projects. Administrative (indirect) expenses are limited to the lesser of 15 percent of the award or the states federally negotiated indirect rate for administering the award.

Applicant Eligibility:

Flex and Rural Veterans Grants: Only states with certified Critical Access Hospitals are eligible for this Grant Program. The Governor designates the eligible applicant from each state. All other states need to submit an application to the Regional Administrator of their CMS Regional Office that expresses the state's interest in developing a Medicare Rural Hospital Flexibility Program before they can apply for grant funds.

Beneficiary Eligibility:

States with at least one hospital located in a non-metropolitan statistical area or county and provides CMS with necessary assurances.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity

announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

6 months.

Appeals:

Not Applicable.

Renewals:

The Medicare Rural Hospital Flexibility Grant Program awards may be made for up to 4-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardees satisfactory performance.

The Rural Veterans Health Access Program awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardees satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually. Funds are available to awardees on an as needed basis throughout the budget period and payments are made through the DHHS Payment Management System. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Awardee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit performance and progress reports as well as federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:
<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffschedule.pdf>. A final report is due within 90 days after the project period ends.

If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. For the Rural Veterans Health Access Program and the Medicare Rural Hospital Flexibility Grant Program, an annual Performance Information Management System data report is due within 60 days of the end of the budget period. A final program report is to be submitted within 90 days after the close of the project period. Awardees in the Medicare Rural Hospital Flexibility Grant Program are required to participate in activities related to the Flex Program Evaluation Cooperative Agreement. No cash reports are required. Refer to notice of funding opportunity . No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend financial assistance of \$750,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$23,659,822; FY 17 est \$23,659,822; and FY 18 Estimate Not Available - Medicare Rural Hospital Flexibility Grant Program. (Project Grants) FY 16 \$900,000; FY 17 est \$900,000; and FY 18 Estimate Not Available - Rural Veterans Health Access Program.

Range and Average of Financial Assistance:

Medicare Rural Hospital Flexibility Grant Program
\$298,795 to \$754,133; Average, \$525,774

Rural Veterans Health Access Program: \$300,000.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at

<https://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices.

Medicare Rural Hospital Flexibility Program Contact: Sarah Young, Federal Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane, Room 17W49D, Rockville, MD 20857. Telephone:(301) 443-5905 .

Rural Veterans Health Access Program Program Contact: Anthony Oliver, Federal Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane, Room 17W13C, Rockville, MD 20857. Telephone:(301) 443-2919 .

Headquarters Office:

Federal Office of Rural Health Policy, 5600 Fishers Lane, Room 17W59D, Rockville, Maryland 20857 Phone: (301) 443-0835.

Website Address:

<http://www.hrsa.gov/ruralhealth/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact Headquarters for selection criteria.

93.242 MENTAL HEALTH RESEARCH GRANTS

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

SBIR/STTR Reauthorization Act of 2011; Public Health Service Act, Title IV, Section 401(a), 42 U.S.C 281; Public Health Service Act, Title III, Section 301, 42 U.S.C 241.

OBJECTIVES:

The mission of the National Institute of Mental Health (NIMH) is to transform the understanding and treatment of mental illnesses through basic and clinical research, paving the way for prevention, recovery, and cure. In fiscal year (FY) 2008, NIMH released its first Strategic Plan, which guided the Institutes work toward this mission. In March 2015, NIMH released its new Strategic Plan for Research. Moving forward, the NIMH will be guided by both this new Plan and by the Strategic Research Priorities based on the Plan.

The Strategic Plan for Research comprises four high-level Strategic Objectives:

(1) Define the mechanisms of complex behaviors; (2) Chart mental illness trajectories to determine when, where, and how to intervene; (3) Strive for prevention and cure; and (4) Strengthen the public health impact of NIMH-supported research. The four Objectives of the Plan describe the continuum of mental health research, ranging from understanding basic pathophysiology, to defining the trajectories of mental illnesses, to developing innovative treatment and prevention strategies, to ensuring public health impact. The Institutes overall funding strategy is to support a broad spectrum of investigator-initiated research in fundamental science, with increasing use of Institute-solicited initiatives for applied research where public health impact is a short-term measure of success.

Cross-cutting themes relevant to all research supported by NIMH include the Research Domain Criteria Initiative; the Institutes new experimental medicine approach, which focuses on studies with defined targets and milestones; the role of the environment in the pathogenesis of mental illnesses; the new culture of open science with broad and rapid data sharing; preemptive medicine; research designed to reduce and eliminate mental health disparities both within and outside the United States specifically, research on sex, gender, age, racial, and ethnic differences related to mental illnesses; partnerships with external stakeholders; and, supporting and training future generations of mental health researchers.

Specific program objectives include:

To determine the molecular, cellular, and systems components underlying brain connectivity and dynamic patterns of brain activity using model systems, and human studies; to identify the mechanisms responsible for establishing and maintaining circuits; to identify and validate novel assays to quantify changes in the activity of molecules, cells, and circuits; and, to elucidate the basic biology linking changes in molecular-, cellular-, and circuit-based targets to alterations in complex behaviors.

To define genomic variations associated with mental illnesses and determine the biological consequences of these variations; to define the molecular mechanisms that determine how experience has enduring effects on gene expression, brain function, and behavior; to delineate environmental and biological factors altering genomic risk for mental illnesses; and, to develop analytical tools for multi-scale data integration.

To identify cells and brain networks that contribute to various aspects of mental function and dysfunction, such as cognition, emotion, and social behavior; to determine how changes in the physiological properties of molecules, cells, and circuits contribute to mental illnesses; to develop biomarkers of impaired neural function in humans at the level of molecules, cells, and circuits; and, to develop innovative technologies, as well as new pharmacological and genetic tools, to interrogate and modulate the signaling pathways and circuits altered by mental illnesses.

To characterize developmental processes across biological and behavioral domains of analysis that give rise to mental illnesses throughout the lifespan; to identify sensitive periods for typical and atypical mental health trajectories; and, to determine modifiers of maturational and illness trajectories, emphasizing periods of sensitivity to perturbation and/or potential for intervention.

To identify early biological and environmental risk and protective factors and their underlying mechanisms to serve as novel intervention targets; and, to develop biomarkers and assessment tools to predict illness onset, course, and intervention response across diverse populations.

To identify and validate new targets for treatment development that underlie disease mechanisms; to develop and validate new metrics for target engagement that are feasible for use in clinical trials; and, to develop objective surrogate measures of outcome and clinical change that extend beyond symptoms, to assess if target mechanisms underlying general health and quality of life have been modified by treatments.

To develop valid and innovative biomarkers to detect subgroups of individuals sharing common etiologies whether within or across traditional diagnostic categories as well as aspects of emotion, cognition, and social behavior that predict clinical response; to foster personalized interventions and strategies for sequencing or combining existing and novel interventions that are optimal for specific phases of disease progression (e.g., prodromal, initial-onset, chronic), different stages of development (e.g., early childhood, adolescence, adulthood, late life), and other individual characteristics; and, to develop and refine alternative research designs and analytic approaches that can be used to test precise interventions.

To develop and test bundled intervention components (each validated individually in prior research) that have the greatest impact on patients lives and functioning; together with key stakeholders, including patient, provider, payer, and other research funding groups, to conduct efficient pragmatic trials that employ new tools to rapidly identify, engage, assess, and follow participants in the context of routine care; and, to enhance the practical relevance of effectiveness research, taking into account how patient-, provider-, and organizational-level factors impact the outcomes of interventions in practice settings.

To employ existing real-world data collection systems to identify strategies for improving access, quality, and equity of mental health services in diverse

populations; to identify, validate, and scale-up innovative programs currently in use that improve mental health services for underserved populations; to optimize financing models for adults and children with serious mental illnesses to provide efficient and effective care in public and private health care systems.

In partnership with key stakeholders, to develop and validate strategies for implementing, sustaining, and continuously improving evidence-based practices; to build models to scale-up effective interventions for use in public and private primary care, specialty care, and other systems; and, to develop decision support tools that increase the effectiveness and continuous improvement of mental health interventions in public and private primary care, specialty care, and other systems.

To develop systems-level strategies in non-traditional mental health settings, using technology and other approaches, to identify, support, and monitor the effectiveness of care for individuals with mental illnesses; to develop and validate service delivery models that provide responsive and pre-emptive evidence-based supports for individuals throughout the course of illness; and, to develop and validate coordinated medical decision-making models that bridge multiple social and medical care settings to integrate the appropriate care for people with serious mental illnesses and multiple chronic conditions.

To develop assessment platforms within service systems that allow ongoing monitoring of mental illness prevalence, service access, quality, efficiency of care, and outcomes for people with mental illnesses; and, develop valid and reliable measures of treatment quality and outcomes that can be feasibly applied at the person, clinic, system, and population levels.

To expand approaches to integrate behavioral science with effective biomedical strategies for HIV prevention; to advance the development and testing of interventions delivered beyond the individual level, by incorporating appropriate context into intervention development and testing; to increase intervention potency and long-term maintenance of effects, with an emphasis on targeting high-risk vulnerable populations; to develop strategies to increase HIV-testing and improve linkage to care and timely treatment initiation; to develop and test interventions to improve HIV treatment outcomes through optimal treatment adherence and sustained engagement in care; and, to support implementation science and operations research to enhance dissemination strategies and public health impact of effective interventions.

To examine evolving pathophysiologic mechanisms of HIV-associated neurocognitive disorders (HAND) in the setting of long-term antiretroviral therapy, and development of novel therapeutic approaches to mitigate CNS complications of HIV infection; to support the use of state-of-the-art genetic approaches to identify and validate viral and host genetic factors that influence the pathophysiology of HAND; and, to define and characterize HIV persistence in the CNS in the context of suppressive highly active antiretroviral therapy, and foster translational research to enable therapeutic eradication of HIV-1 from the brain.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants; Training

USES AND USE RESTRICTIONS:

Research project grants provide support for clearly defined projects by a named investigator in an area representing his/her specific interests and competencies. Exploratory/Development and Clinical Exploratory/Developmental Grants encourage the development of new research activities in categorical program areas. Small Research Grants provide research support specifically limited in time and amount for studies in categorical program areas. Collaborative Clinical Research Grants support evaluations of various methods of therapy and/or prevention. Program Project and Center grants support large-scale, broad-based programs of research, usually interdisciplinary, consisting of several projects with a common focus. Cooperative Agreements support single or a group of related research activities with greater involvement of federal staff.

SBIR and STTR grants are awarded in two stages: Phase I grants are awarded to establish the technical merit and feasibility of a proposed research and development effort; only Phase I awardees are eligible to receive Phase II

support.

Mentored Research Scientist Development Award (K01, MRSDA), Mentored Clinical Scientist Development Award (K08, MCSDA), and Mentored Quantitative Research Career Development Award (K25) provide support for individuals with research potential who need additional mentored development and/or experience in a productive research environment. Mentored Patient-Oriented Research Career Development Award (K23) supports the career development of individuals who have made commitment to focus their research endeavors on patient-oriented research. The NIH Pathway to Independence (PI) Award (K99/R00) provides up to 2 years of mentored support (K99) for a postdoctoral individual followed by up to 3 years of support after the awardee transitions to research independence (R00). K99/R00 awards are awards for up to 5 years of support. Salary support for all mentored awards may be supplemented by the sponsoring institution from nonfederal funds. The Independent Scientist Award (K02, ISA) is for independent scientists whose careers are developing rapidly; the Mid-career Investigator Award in Patient-Oriented Research (K24) is for outstanding clinical scientists engaged in patient-oriented research. No specialized training costs are available; limited research costs for K24s and K02s in theoretical areas are available.

NRSA awards: Individual fellowships are made to students seeking predoctoral or postdoctoral support for full-time research training or who are enrolled in an formal Ph.D. or M.D./Ph.D. program. An institutional allowance will be provided to the sponsoring institution for each awardee. In addition, any domestic public or nonprofit institution may apply for an institutional research training grant in a specified area of research from which a number of stipend awards will be made to individuals selected by the training program director at the institution. Support is available for both predoctoral and postdoctoral trainees and will include an amount for institutional expenses. Indirect costs may be requested at 8 percent of total direct costs, exclusive of tuition and related fees and expenditures for equipment, or actual indirect costs, whichever is less. Postdoctoral students receiving fellowships or stipend support under either individual or institutional grants for 12 months or less are obligated to pay back this support through an equivalent period of continued postdoctoral training or a period of health related research and/or teaching activities after the completion of their training. Postdoctoral individuals in their thirteenth and subsequent months of support will incur no further obligation, and such support will be considered acceptable postdoctoral payback service. Research training support may not be used for internships or other clinical training.

Applicant Eligibility:

Public, private, -profit, or nonprofit agencies (including State and local government agencies), eligible Federal agencies, universities, colleges, hospitals, and academic or research institutions may apply for research grants. SBIR grants can be awarded only to domestic small businesses, and STTR grants can be awarded only to domestic small businesses which "partner" with a research institution in cooperative research and development.

An applicant for individual predoctoral fellowship support must be enrolled in a research doctoral degree program by the proposed activation date of the fellowship. A postdoctoral applicant must have received a Ph.D., Psy.D., M.D., D.D.S., Sc.D., D.N.S., D.O., D.S.W., or equivalent degree from an accredited institution to be eligible for an individual postdoctoral fellowship.

All research training awards are made to appropriate domestic research centers, medical schools, departments of psychiatry, non-medical academic departments, psychiatric hospitals or hospitals with psychiatric services, community mental health centers, and biomedical research institutes on behalf of individuals who need the opportunity to realize research potential. Except for the NIH Pathway to Independence (PI) Award (K99/R00), the individuals must be citizens or nationals of the United States or have been lawfully admitted for permanent residence. The NIH Pathway to Independence (PI) Award (K99/R00) is open to both U.S. citizens and non-U.S. citizens. Individuals must qualify by scholastic degree and previous training and/or experience.

Beneficiary Eligibility:

Individuals and public, private, profit, or nonprofit organizations.

Credentials/Documentation:

For-profit organizations' costs will be determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For all other grantees, costs will be determined in accordance with HHS Regulations 45 CFR 75. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Instructions for electronic submission may be found with the federal SF424 (R&R) form packages that are found in the SBIR/STTR funding opportunity announcements. Instructions are provided for SBIR Phase I and Phase II awards, respectively; as well as for STTR Phase I and Phase II awards, respectively.

The cost principles for awards under this program are set forth in HHS regulations at 45 CFR 75, Subpart E and Appendix IX (hospitals) to Part 75. Commercial organizations are subject to the cost principles located at 48 CFR 31.2 Federal Acquisition Regulation. See the NIH Grants Policy Statement for further guidance on the applicability of cost principals (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Most applications must be prepared and submitted electronically. Most applicants use the SF 424 (Research & Related) for electronic submission. Information about the SF 424 form may be found on <http://grants.nih.gov/grants/funding/424/index.htm>, but application packages should be accessed through the appropriate funding opportunity announcement, found either on the NIH Guide for Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>) or through the Grants.gov website (<http://www.grants.gov/>). Some institutions may submit the grant data directly (using what is called a system-to-system transfer). For further details, see grants.gov or eRA Commons. Application forms for SBIR and STTR grants may be obtained through the SBIR/STTR funding announcements posted on the NIH Guide for Grants and Contracts and the Grants.gov sites (see URLs listed above). All SBIR and STTR applications must be submitted electronically. The timeline for transition to electronic applications and the new forms may be found at: <http://era.nih.gov/ElectronicReceipt/>. For further assistance contact GrantsInfo, Telephone (301) 435-0714, Email: GrantsInfo@nih.gov.

Consultation on a proposed project may be obtained from the NIMH branch or office responsible for the research area of interest. Applications are reviewed by principally nonfederal consultants recruited nationwide from the mental health field. The amounts of the award and period of support are determined on the basis of merit of the project and the nature of the grant mechanism. Electronic applications are submitted through the Grants.gov website.

Awards made under this program are subject to 2 CFR 200, as implemented by 45 CFR 75 Public Welfare, Uniform Administrative Requirements, Cost Principles and Audit Requirements for HHS Awards. The policies and procedures generally applicable to NIH grants are set forth in the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>).

Award Procedure:

All applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel. Applications other than fellowships must also be reviewed by the National Advisory Mental Health Council. All competitive applications compete for available funds on the basis of scientific and technical merit, program relevance, and program balance. All SBIR and STTR applications receiving a priority score compete for set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Applications: From 180 to 270 days from submission of application.

SBIR/STTR applications: About 7- 1/2 months;

AIDS-related research is expedited and a determination is made within 180 days.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH homepage <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

Support is recommended for a specified project period, not in excess of 5 years. Prior to termination of a project period, the grantee may apply for renewal of support for a new project period. An application for renewal is processed as a new competing request. Small grants, exploratory, and dissertation grants are for 1-3 years (depending on program) and are not renewable. By law an individual may receive no more than 6 years of support in the aggregate at the predoctoral level and no more than 3 years of support in the aggregate at the postdoctoral level under the NRSA program (through an individual and/or institutional award). Any exception to these limitations requires a waiver from the Director of the awarding Institute based on review of justification from the awardee and the program director for the institutional grant. K01, K08, K23, K25 awards are made for up to 5-year periods with no renewal. K02 and K24 awards are made for a 5-year period, renewable once (unless holder has held a previous K02 or K24 award). K99 awards are made for up to 2-year periods with no renewal.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies, with a project periods ranging from 1 to 5 years. Funding commitments are made annually. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization. SBIR: Generally, Phase I awards are for 6 months, and Phase II awards are for 2 years. STTR: Generally, Phase I awards are for 1 year and Phase II awards are for 2 years. Information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization. By law an individual may receive no more than 6 years of support in the aggregate at the predoctoral level and no more than 3 years of support in the aggregate at the postdoctoral level under the NRSA program (through an individual and/or institutional award). For an M.D./Ph.D. fellowship, 6 years may be requested. In practice, recommendations of review committees are generally for 2 or 3 years. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Reports must be submitted as follows: (1) Interim progress reports annually as part of a non-competing application for previously recommended support; (2) terminal progress report within 90 days after end of project support. Annual financial status report within 90 days after termination of annual grant for some programs. In addition, immediate and full reporting of any inventions is required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. Per the regulations, a for-profit (commercial) organization is subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant. For-profit organizations have two options regarding the type of audit that will satisfy the audit requirements either: (1) a financial related audit (as defined in the Government Auditing Standards, GPO Stock #020-000-00-265-4, <http://www.gao.gov/govaud/ybk01.htm>) of the HHS awards in accordance with Government Auditing Standards, or (2) an audit that meets the requirements contained in OMB Circular No. A-133(<http://www.whitehouse.gov/OMB/circulars/a133/a133.html>).

Awards made under this program are subject to the audit requirements of OMB 2 CFR 200, as implemented by 45 CFR 75, Subpart F, and in the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>).

Records:

Records must be retained at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0892-0-1-552.

Obligations:

(Project Grants) FY 16 \$1,197,285,071; FY 17 est \$1,274,384,254; and FY 18 Estimate Not Available - These figures represent total base dollars for Research Grants, SBIR/STTR, and NRSA training grants.

Range and Average of Financial Assistance:

\$1 to \$8,926,711; \$429,174.

TAFS Codes:

75-2014-0892.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 2,788 grants were awarded. Fiscal Year 2017: An estimated 2,834 grants will be awarded. Fiscal Year 2018: Estimate Not Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52. NIH Grant Policy Statement (Revised 12/2003). Grants will be available under the authority if and administered in accordance with the PHS Grants Policy Statement and federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Applications; and Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Jean Noronha, Ph.D. 6001 Executive Blvd, Rockville, Maryland 20892-9609
Email: nimhreferral@mail.nih.gov Phone: (301) 443-3367 Fax: (301) 443-4720

Website Address:

<http://www.nimh.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The following consideration will be used in determining projects to be funded: (1) Technical merit of the proposed project as determined by peer review; (2) Availability of funds; and (3) Relevance to program priorities.

93.243 SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES PROJECTS OF REGIONAL AND NATIONAL SIGNIFICANCE (PRNS)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Children's Health Act of 2000, Section 520 A-J-581,582, Public Law 106-310; Public Health Service Act, Title V, Section 509; 516, 42 U.S.C 290bb.

OBJECTIVES:

Substance Abuse and Mental Health Service Administration (SAMHSA) was reauthorized by the Children's Health Action of 2000, Public law 106-310. Under this reauthorization, SAMHSA was given the authority to address priority substance abuse treatment, prevention and mental health needs of regional and national significance through assistance (grants and cooperative agreements) to States, political subdivisions of States, Indian tribes and tribal organizations, and other public or nonprofit private entities. Under these sections, CSAT, CMHS and CSAP seek to expand the availability of effective substance abuse treatment and recovery services available to Americans to improve the lives of those affected by alcohol and drug additions, and to reduce the impact of alcohol and drug abuse on individuals, families, communities and societies and to address priority mental health needs of regional and national significance and assist children in dealing with violence and traumatic events through by funding grant and cooperative agreement projects. Grants and cooperative agreements may be for (1) knowledge and development and application projects for treatment and rehabilitation and the conduct or support of evaluations of such projects; (2) training and technical assistance; (3) targeted capacity response programs (4) systems change grants including statewide family network grants and client-oriented and consumer run self-help activities and (5) programs to foster health and development of children; (6) coordination and integration of primary care services into publicly-funded community mental health centers and other community-based behavioral health settings funded under Affordable Care Act (ACA).

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds (including direct costs and indirect costs) may be used only for expenses clearly related and necessary to carry out approved activities that will provide immediately useful, practical knowledge that service providers need as they wrestle with the rapidly changing health care environment. Refer FY2010 SAMHSA Grants Funding Opportunities at www.samhsa.gov. 100% of grants funds under this CFDA.

Applicant Eligibility:

Public organizations, such as units of State and local governments and to domestic private nonprofit organizations such as community-based organizations, universities, colleges and hospitals.

Beneficiary Eligibility:

other non-profits.

Credentials/Documentation:

Applicants must provide proof of licensure, accreditation, certification, or chartering to provide substance abuse and/or mental health services or such other credential documentation as specific for a particular topical area. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Applicants must comply with the Executive Order (E.O.) 12372 if their state(s) participates. Review process recommendations from the state Single Point of Contact (SPOC) are due no later than 60 days after application deadline. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 45 CFR Part 75 Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for HHS Awards and HHS Grants Policy Statement. All applicants must use the Application for Federal Assistance SF-424 form.

Award Procedure:

Applications for grants and cooperative agreements recommended for approval by the initial review group and concurred in by the appropriate National Advisory Council and the Center Director(s) are awarded directly by the appropriate Center (CMHS, CSAP, CSAT) of SAMHSA to the applicant organization.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. CMHS and CSAT, STOP DFC. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applications will be accepted for a project period of 1 to 7 years with 12 month budget periods. Annual awards will be made subject to continued availability of funds and progress achieved. See the following for information on how assistance is awarded/released: SAMHSA awards grant projects for the 12 months budget period and release at the time the award is issued.

Reports:

Reports are required quarterly, semi-annually, or annually depending on individual program requirements. Quarterly reports are due to the Division of Payment Management. See above information. The (SF-425) Federal Financial Report is required by SAMHSA, Division of Grant Management 90 days after the end of each 12 month period and 90 days after the final 12 month period. Site Visits, Conference Calls, Program Progress Reports and GPRA Data Reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

There is a 3-year records retention requirement; records shall be retained beyond the 3-year period if final audit has not been completed or findings resolved.

Account Identification:

75-1364-0-1-551 - 75-1363-0-1-551; 75-1365-0-1-551.

Obligations:

(Project Grants) FY 16 \$791,858,769; FY 17 est \$618,705,548; and FY 18 est \$383,508,824

Range and Average of Financial Assistance:

\$17,692 to \$7,099,783; \$417,410.

TAFS Codes:

75-1362.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 1,579 awards were made. Fiscal Year 2017: It is estimated 1,350 awards will be made. Fiscal Year 2018: It is estimated 815 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

CFR 200/45 CFR Part 75 Uniform Administrative Requirements, Cost

Principles, and Audit Requirements for HHS awards; also the HHS Grants Policy Statement.

Regional or Local Office:

None.

Headquarters Office:

Roger George 5600 Fisher Lane, Rockville, Maryland 20857 Email: roger.george@samhsa.hhs.gov Phone: 2402761418

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Application submitted related to merit, peer review criteria scored, and generally funded in score order.

93.247 ADVANCED NURSING EDUCATION WORKFORCE GRANT PROGRAM

ANEW

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 811 of the Public Health Service Act, (42 U.S.C. 296j).

OBJECTIVES:

The ANEW Program supports innovative academic-practice partnerships to prepare advanced practice registered nursing students to practice in rural and underserved settings through academic and clinical training. The partnerships support enhanced didactic and clinical training opportunities in primary care for nursing students (including longitudinal clinical training experiences), training for clinical preceptors, traineeships, and support for post-graduate employment in primary care, with a particular focus on rural and underserved populations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to cover salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential travel expenses and other expenses related to the project.

Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Student support through stipends, tuition, and fees is not eligible for support.

Applicant Eligibility:

Eligible applicants are collegiate schools of nursing, nursing centers, academic health centers, State or local governments, and other public or private nonprofit entities accredited by a national nurse education accrediting agency recognized by the Secretary of the U.S. Department of Education. In addition to the 50 states, only the District of Columbia, Guam, the Commonwealth of Puerto Rico, the Northern Mariana Islands, American Samoa, the U.S. Virgin Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau are eligible to apply. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Accredited schools of nursing, nursing centers, academic health centers, state or local governments, and other public or private nonprofit entities determined appropriate by the Secretary.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 2-year project period (starting FY17). See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to annual performance and progress reports. Cash reports are not applicable. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this Notice of Funding Opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients, may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not

applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$29,134,346; FY 17 est \$34,494,677; and FY 18 est \$0

Range and Average of Financial Assistance:

FY 2015 Award range: \$194,365-\$700,000; average award of \$532,029.

FY 2016 Award range: \$380,354 - \$699,996; average award of \$597,895

FY 2017 Award range: \$263,079 - \$700,000; average award of \$638,790.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Janice Young, AENT Project Officer, Department of Health and Human Services, Health Resources and Services Administration, Bureau of Health Workforce Division of Nursing and Public Health 5600 Fishers Lane, Room 11N74A, Rockville, Maryland 20857 Email: jyoung@hrsa.gov Phone: (301) 443-0791

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.358 Advanced Education Nursing Traineeships

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; (5) Support Requested; and (6) Diversity and Cultural Competence. In making grant awards under this Section, preference will be given to any qualified applicant with a project that will substantially benefit rural or underserved

populations or help meet public health nursing needs in State or local health departments. This preference will only be applied to applications that rank above the 80th percentile of applications recommended for approval. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.251 UNIVERSAL NEWBORN HEARING SCREENING

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 399M of the PHS Act, (42 U.S.C. 280g-1), as amended by the Early Hearing Detection and Intervention Act of 2010.

OBJECTIVES:

This program supports state and territory programs in developing a comprehensive and coordinated system of care targeted toward ensuring that newborns and infants receive appropriate timely services including continuous screening, evaluation, diagnosis and early intervention services. Additionally, family engagement and leadership is also a focus of this program, as families have a unique perspective on how the system currently affects them personally and can provide invaluable insight on the strategies that can be implemented to improve the system. The Universal Newborn Hearing Screening (UNHS) program also provides support to two organizations that assist the grantees/territories with technical assistance.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program supports state and territory programs in developing a comprehensive and coordinated system of care targeted toward ensuring that newborns and infants receive appropriate timely services including continuous screening, evaluation, diagnosis and early intervention services. Additionally, family engagement and leadership is also a focus of this program, as families have a unique perspective on how the system currently affects them personally and can provide invaluable insight on the strategies that can be implemented to improve the system. Program initiatives include health care professional engagement; partnering and coordinating with early interventions services; and active family engagement within the Early Hearing Detection and Intervention (EHDI) system. States/territories should have relationships with pertinent partners including Title V programs, early intervention programs, home visiting programs, and family organizations.

Applicant Eligibility:

Grants to states/territories and cooperative agreements to two organizations that will provide technical assistance.

Beneficiary Eligibility:

Infants and newborns who are deaf or hard of hearing and their families/caretakers.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Final decisions are made 2 to 3 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Project periods are for 3 years. A progress report must be submitted annually. Budgetary information may be submitted annually for each project year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually, in accordance with the project period method of awarding grants. Payments are made through a Letter-of-Credit or Cash Demand System. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). Performance Reports include annual reporting of performance measures data, as approved by Office of Management and Budget after public review via Federal Register Notice. Data collection related to the program performance measures is required periodically to monitor grantee progress. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends.

If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>).

Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required.

The following review criteria apply to state/territory Grants: (1) The extent to which the state/territory demonstrates a comprehensive understanding of the problem newborns and infants that are not screened before one month of age, that do not receive an audiologic diagnosis before three months of age; and that are not enrolled into an early intervention program by the age of six months in order to facilitate age appropriate development of language and social skills. ; (2) The extent to which the state/territory proposes a plan to address possible challenges regarding newborns and infants having access to timely and appropriate services ; (3) The strength and effectiveness of the method proposed to monitor and evaluate progress towards goals and the project results; (4) The extent to which the state/territory proposes a plan to continually assess the impact of grant activities as it relates to newborns and infants who are deaf or hard of hearing and their families as well as active family engagement and leadership; (5) The extent to which project personnel are

qualified by training and/or experienced to implement and execute the statewide/territory plan objectives. (6) Reasonableness of the proposed budget, and effectiveness of the proposed plan to accomplish the goals and objectives the state/territory has for its UNHS program. Federal Financial Report Cash Transaction Report. Refer to the notice of funding opportunity. Refer to the "program reports" details above. Refer to the "program reports" details above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

(Project Grants) FY 16 \$16,294,812; FY 17 est \$17,210,532; and FY 18 est \$0

Range and Average of Financial Assistance:

Grants to states and territories:

FY 15 \$175,000-\$250,000. Average award of \$236,000.

FY 16 \$175,000-\$250,000. Average award of \$236,376

FY 17 est \$195,000-\$250,000. Average award of \$248,000

Grants to LEND Audiology Programs

FY 17 \$942, 259. \$62, 624- \$80,000. Average award of \$78,521

Cooperative Agreements:

FY 15 \$1,500,000 Average Award of \$1,500,000

FY 16 \$1,500,000 Average Award of \$1,500,000

FY 17 (two awards) est \$1,200,000/\$500,000 Average Award of \$850,000.

TAFS Codes:

75-0354.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: TBA. Fiscal Year 2017: TBA. Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Sadie Silcott, Integrated Services Branch, Division of Services for Children with Special Health Needs, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18W57, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0133.

Headquarters Office:

Sadie Silcott, 5600 Fishers Lane, Room 18W57, Rockville, Maryland 20857
Phone: (301) 443-0133.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The objective review of all applications includes thorough and consistent examinations of each submission by persons knowledgeable in the field for that support is requested and has no direct relationship with the organization or individual submitting the application and do not have personal or invested interest in the award of a grant to that organization or individual. Peer review is a system that uses reviewers that are the professional equals of the principal investigator or program director and will be responsible for directing or conducting the proposed project. That is a form of objective review. Reviewers may be Federal or nonfederal. Final review criteria are included in the application kit.

93.253 POISON CENTER SUPPORT AND ENHANCEMENT GRANT PROGRAM

Poison Control Centers (PCCs)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Legislative Authority: Section 1273 of the Public Health Service Act, (42 U.S.C. 300d 73), as amended by P. L. 113-77, the Poison Center Network Act of 2014 of 2014, Public Law 113-77., Public Law 113-77.

OBJECTIVES:

The objectives are (1) to support PCCs' efforts to prevent, and provide treatment recommendations, for poisonings; (2) to comply with operational requirements needed to sustain accreditation and or achieve accreditation; and/or (3) improve and enhance communications and response capability and capacity as stated in Section 1273 as stated in Section 1273 (b) of Public Law 113-77.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Assistance provided under this program may be used to support PCCs' efforts to prevent, and provide treatment recommendations for, poisonings; to conduct an evaluation of the strategies and activities undertaken within the project application; and support accreditation efforts of unaccredited centers in order to improve access to poison center services including poisoning prevention education.

Applicant Eligibility:

U.S. accredited Poison Control Centers. Unaccredited centers are eligible for a grant waiver if such centers can reasonably demonstrate that they will obtain such accreditation within a reasonable period of time.

Beneficiary Eligibility:

Residents of the 50 United States, Puerto Rico, the District of Columbia, the U.S. Virgin Islands, Guam, American Samoa, and the Federated States of Micronesia.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package including documentation of maintenance of effort. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Appeals:

Not Applicable.

Renewals:

Renewals will be determined by the Associate Administrator for the Healthcare Systems Bureau and extensions are requested in writing addressed to the Grants Management Specialist.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Refer to the notice of funding opportunity. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web-based payment system for HHS awards. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web-based payment system for HHS awards.

Reports:

A final report is required. No cash reports are required. No cash reports are required. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the notice of funding opportunity and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:
<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. Performance monitoring is not applicable. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$17,018,338; FY 17 est \$17,140,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Range: \$12,466 to \$2,006,384.
Average: \$323,275.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Sondra Stevenson, Acting Director, Division of Poison Control and Healthcare Facilities, Health Resources and Services Administration, Department of Health and Human Services, Room 08W-26, 5600 Fishers Lane, Rockville, Maryland 20857. Telephone: (301) 443-8177.

Headquarters Office:

Poison Control Program, 5600 Fishers Lane, Room 08W-26, Rockville, Maryland 20857 Phone: (301) 443-8177

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Completeness and clarity of the project narrative; practicality and achievability of the plan to use requested grant funds to increase access to services; clarity and appropriateness of the budget and coordinated budget narrative; strength and adequacy of professional staff and subcontractors; clarity and strength of methodology; and strength of letters of support for any collaborative efforts.

93.254 INFANT ADOPTION AWARENESS TRAINING (IAATP)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Children's Health Act of 2000, Title XII, Subtitle A and B, Section 330F and Section 330G, Public Law 106-310.

OBJECTIVES:

To develop and implement programs to train designated staff of eligible health centers in providing adoption information and referrals to pregnant women on an equal basis with all other courses of action included in non-directive

counseling to pregnant women.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used to plan and implement: (1) approved programs directly or through grants or cooperative agreements with other adoption organizations; (2) a training curriculum, consistent with best practice guidelines; (3) reimbursement to eligible health centers for all costs in obtaining the training. Project funds may not be used for construction.

Applicant Eligibility:

Nonprofit private national, regional, or local organizations among whose primary purposes are adoption and are knowledgeable in all elements of the adoption process, e.g., types of adoptions, relevant State and local laws regarding relinquishment procedures and putative father involvement and medical and financial resources for birth mothers, and on providing adoption information and referrals to pregnant women.

Beneficiary Eligibility:

Private nonprofit organizations, health professionals, health staff of Federally funded voluntary family planning projects supported under Section 1001 of the Public Health Service Act, staff of community health centers, migrant health centers, and centers providing services to homeless individuals and centers providing services to residents of public housing supported under Section 330 of the Public Health Service Act. PHS-funded school health centers, and public health clinics, or any health professional providing option counseling to pregnant women.

Credentials/Documentation:

Demonstration of 501(c)(3) status or status pending with the IRS. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Pre-application assistance may be available. Applicants may refer to the Funding Opportunity Announcement on Grants.gov for further information.

Award Procedure:

Applications for funding are reviewed and scored by panels of at least three non-federal subject matter experts. Final funding decisions will be made by Commissioner, ACYF.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Three to six months.

Appeals:

Appeals are processed in accordance with HHS regulations at 45 CFR Pat 16.

Renewals:

Multi-year projects are funded on an annual basis through submission of a non-competing continuation application. Continuation funding is subject to availability of funds, submission of program and financial reports, and grantee performance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

One to five years. See the following for information on how assistance is awarded/released: Grant awards are managed by a payment management system that manages grant payment requests, drawdowns, and disbursements.

Reports:

Grantees are required to submit semi-annual program reports. Final program reports are due 90 days after completion of the grant.

Grantees are required to submit semi-annual financial reports. Final financial reports are due 90 days after completion of the grant. Financial reporting is conducted via the SF-425. Progress reports are included in program reports. Expenditure reports are included in financial reports. Performance monitoring is described in progress reporting.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of 3 years from the date of submission of the final expenditure report.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - No funding has been provided for this program for since FY 2011.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Jan Shafer 330 C Street SW Room 3503, Washington, District of Columbia 20447 Email: jan.shafer@acf.hhs.gov Phone: (202) 205-8172

Website Address:

<http://www.acf.hhs.gov/programs/cb>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.255 CHILDREN'S HOSPITALS GRADUATE MEDICAL EDUCATION PAYMENT PROGRAM

CHGME Payment Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 340E of the Public Health Service Act (42 U.S.C. 256e), Public Law 109-307 as amended by the Children's Hospital GME Support Reauthorization Act of 2013.

OBJECTIVES:

The Children's Hospitals Graduate Medical Education (CHGME) Payment Program provides funds to freestanding children's teaching hospitals to support

the training of pediatric and other residents in graduate medical education (GME) programs. Federal funding for graduate medical education (GME) is extensively provided by the Centers for Medicare and Medicaid Services (CMS). Prior to the enactment of the CHGME Payment Program, children's teaching hospitals received a disproportionately low amount of this federal funding when compared to teaching hospitals that serve adult patients. The purpose of the CHGME Payment Program is to compensate for the disparity in the level of federal funding for pediatric teaching hospitals versus other types of teaching hospitals. The CHGME Payment Program is administered by the Bureau of Health Workforce (BHW), Health Resources and Services Administration (HRSA), Department of Health and Human Services (DHHS).

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds are restricted to eligible children's hospitals for direct expenses and the other indirect expenses associated with operating approved graduate medical residency programs.

Applicant Eligibility:

Applicants (children's teaching hospitals) must meet the following eligibility requirements in accordance with the Federal Register Notice, Vol. 66, No. 41 published on March 1, 2001: (1) Participate in an approved graduate medical education residency training program; (2) have a Medicare provider payment agreement as defined by section 340E(g)(2) of the PHS Act; (3) be excluded from the Medicare Inpatient Prospective Payment System (PPS) under Section 1886(d)(1)(B)(iii), of the Social Security Act and its accompanying regulations; and (4) be a "freestanding" children's hospital. The Children's Hospital GME Support Reauthorization Act of 2013 has expanded the eligibility to include freestanding hospitals 1) with a Medicare payment agreement and that is excluded from the Medicare inpatient hospital prospective payment system pursuant to section 1886(d)(1)(B) of the Social Security Act and its accompanying regulations; 2) whose inpatients are predominantly individuals under 18 years of age; 3) that has an approved medical residency training program as defined in section 1886(h)(5)(A) of the Social Security Act; and 4) that is not otherwise qualified to receive payments under this section or section 1886(h) of the Social Security Act. The reauthorization permits the Secretary, to make available up to 25% of the total amounts in excess of \$245,000,000, but not to exceed \$7,000,000, for the purpose of making CHGME payments to these Newly Qualified Hospitals. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Any public or private nonprofit and profit freestanding children's teaching hospital with an accredited residency training program which meets all eligibility requirements may apply.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted with an application package. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications are reviewed by HRSA Staff. Notification is made in writing by a Notice of Award.

The CHGME Payment Program statute requires a yearly assessment of the full-time (FTE) resident counts reported by children hospitals. Fiscal

intermediaries working under contract to HRSA assess those reported FTE resident counts. Final grant payment determinations are based on the final audited numbers.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award (NOA).

Section 340E(a) requires the Secretary of DHHS to make payments for direct and indirect expenses associated with operating approved graduate medical residency training programs for each fiscal year. The two statutory formulas (direct medical education and indirect medical education) outline payments to eligible children's teaching hospitals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 180 days after receipt of applications.

Appeals:

Hospitals can appeal the determination of FTE resident counts by CHGME fiscal intermediaries to the Provider Reimbursement Review Board (PRRB) under section 1878 of the Social Security Act.

Renewals:

Eligible children's hospitals must complete a CHGME Payment Program application for inclusion in the program for each fiscal year that they are applying for funds. They must also submit a reconciliation application that will reflect the Secretary determination of the Final FTE resident counts carried out by CHGME fiscal intermediaries led by a contractor. The contractor is under contract to the Bureau of Health Workforce (BHW) to conduct the assessments of residents counts reported by children's hospitals on their initial applications for funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with the Healthcare Research and Quality Act, 1999, Public Law 106-129, the Secretary of DHHS shall determine the number of resident FTE counts before the beginning of each fiscal year that payments are made for a hospital. The amounts of the payments for direct and indirect medical education are made to each hospital in 12 equal interim installments during the Federal fiscal year. See the following for information on how assistance is awarded/released: Both interim payments (prior to audits) and final payments are computed using a zero sum gain payment model. The calculations of both direct and indirect graduate medical education payments are formula based. Once payments are determined, recipients drawdown funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. Public Law 109-307 requires children's hospitals receiving CHGME payments to submit an annual report that focuses on their GME training programs. The children's hospitals comply with the Government Performance Result Act (GPRA) and submit performance data each fiscal year as part of their reconciliation applications for funds. The awardee will also be required to submit an annual performance report.

A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. Cash reports are not applicable. No progress reports are required. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. DHHS, or any other authorized federal agency, may conduct an audit to determine whether the applicant has complied with all governing laws and regulations in its application for funding. Any and all information submitted to DHHS by an applicant or participating hospital during or after the

award of funds is subject to review in an audit. Eligible hospitals are subjected to the terms of the Full-Time Equivalent Assessment Process Guidance and Assessments which is available electronically via CHGME Payment Program web site noted in Application Procedure above.

Records:

Recipients are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 16 \$279,033,446; FY 17 est \$294,439,000; and FY 18 est \$295,000,000

Range and Average of Financial Assistance:

Range: \$39,000-\$17,786,000; average \$4,798,000 in combined DME and IME payments.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Academic Year 2015-2016, the CHGME program supported the training of 5,017 pediatric residents that included general pediatrics residents, as well as residents from five types of combined pediatrics programs (e.g., internal medicine/ pediatrics). Additionally, 2,713 pediatric medical subspecialists, 285 pediatric surgical subspecialists, and 365 pediatric dentistry residents were trained. The CHGME also supported training of 3,120 non-pediatric residents and fellows in the care of children. CHGME-funded medical residents and fellows provided a total of 2,075,887 patient encounters in primary care settings and a total of 4,799,074 patient contact hours in medically underserved communities. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Healthcare Research and Quality Act, 1999 (Public Law 106-129), Section 340E of the Public Health Service Act; The Children's Health Act, 2000 (Public Law 106-310, Title XX); Public Law 108-490; Public Law 109-307; Social Security Act, Section 1886, 42 CFR 412.105; 42 CFR 413.86; FRN Vol. 65, No. 118 published on June 19, 2000; FRN Vol. 66, No. 41 published on March 1, 2001; and FRN Vol. 66, No. 140 published on July 20, 2001, and FRN Vol. 68, No. 204, published on October 22, 2003.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Marshala Lee, Division of Medicine and Dentistry, Bureau of Health Workforce 5600 Fishers Lane, Room 15N142, Rockville, Maryland 20857
Email: mlee1@hrsa.gov Phone: 301-443-8681 Fax: 301-443-8890

Website Address:

<http://www.bhpr.hrsa.gov/childrenshospitalgme>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Children's teaching hospitals must meet all of the eligibility criteria before being considered for program funds and must be training residents during the

year for which they are applying for funding. This is a formula-based grant payment program. Application review is conducted at HRSA and an independent assessment of residents count is conducted by fiscal intermediaries.

93.257 GRANTS FOR EDUCATION, PREVENTION, AND EARLY DETECTION OF RADIOGENIC CANCERS AND DISEASES

Radiation Exposure Screening and Education Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 417C of the Public Health Service Act, 42 U.S.C. 285a-9, as amended by the Radiation Exposure Compensation Act Amendments of 2000 (P. L. 106-245).

OBJECTIVES:

To award grants for the purpose of enabling awardees to carry out programs for: (1) screening individuals described under section 4 (a)(1)(A)(i) or 5(a)(1)(A) of the Radiation Exposure Compensation Act (42 U.S.C. 2210 note) for cancer as a preventative health measure; (2) providing appropriate referrals for medical treatment of individuals screened under paragraph (1) and to ensure, to the extent practicable, the provision of appropriate follow-up services; (3) developing and disseminating public information and education programs for the detection, prevention, and treatment of radiogenic cancers and diseases; and facilitating applicants in the documentation of claims as described in section 5(a) of the Radiation Exposure Compensation Act (41 U.S.C. 2210 note).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are awarded to organizations that develop new or expand existing capacity to provide screening, referrals for medical treatment, education, and eligibility assistance for eligible individuals. Restrictions on the Use of Grant Funds: (1) grant funds may not be used to pay for inpatient services; (2) grant funds may not be used to make cash payments to intended recipients of primary health care services or specialty care; (3) grant funds may not be used to supplant other provider/third party coverage payments available to the patient; and (4) grant funds may not be used to purchase or improve real property (other than minor remodeling of existing improvements to real property) or to purchase major medical equipment without the approval of the HRSA Grants Management Office.

Applicant Eligibility:

The following entities, (located within the approved states of Arizona, Colorado, Idaho, Nevada, New Mexico, North Dakota, Oregon, South Dakota, Texas, Utah, Washington or Wyoming) are eligible to apply for the funds:

- (1) National Cancer Institute-designated cancer centers;
- (2) Department of Veterans Affairs hospitals or medical centers;
- (3) Federally Qualified Health Centers (FQHC), community health centers or hospitals;
- (4) agencies of any state or local government, includes any state department of health that currently provide direct health care services;
- (5) IHS health care facilities, including programs provided through tribal contracts, compacts, grants, or cooperative agreements with the IHS and which are determined appropriate to raising the health status of Indians; including federally-recognized Tribal Government and Native American Organizations, and
- (6) nonprofit organizations.

Beneficiary Eligibility:

For purposes of the Radiation Exposure Screening and Education Program (RESEP), individuals eligible for health screening, education, medical referral, and appropriate follow-up services include an individual who either:

- (1) was employed in a uranium mine or uranium mill (including any individual who was employed in the transport of uranium ore or vanadium-uranium ore from such mine or mill) located in Colorado, New Mexico, Arizona, Wyoming, South Dakota, Washington, Utah, Idaho, North Dakota, Oregon, and Texas at

any time during the period beginning on January 1, 1942, and ending on December 31, 1971;

(2) was a miner exposed to 40 or more working level months of radiation or worked for at least 1 year during the period beginning on January 1, 1942 and ending on December 31, 1971;

(3) was a miller or ore transporter who worked for at least 1 year during the period beginning on January 1, 1942, and ending on December 31, 1971;

(4) was physically present in the nuclear arms affected area (which includes, in the state of Utah, the counties of Beaver, Garfield, Iron, Kane, Millard, Piute, San Juan, Sevier, Washington, and Wayne; in the state of Nevada, the counties of Eureka, Lander, Lincoln, Nye, White Pine, and that portion of Clark County that consists of townships 13 through 16 at ranges 63 through 71; and in the state of Arizona, the counties of Apache, Coconino, Gila, Navajo, and Yavapai) for a period of at least 2 years during the period beginning on January 21, 1951, and ending on October 31, 1958;

(5) was physically present in the nuclear arms testing area, cited in 4. above, for the period beginning on June 30, 1962, and ending on July 31, 1962, or

(6) participated onsite in a nuclear arms test involving the atmospheric detonation of a nuclear device.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372,

"Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all federal grant opportunities. All applicants are required to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Not Applicable.

Renewals:

RESEP awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually. After funds are issued, funds are released in accordance with payment procedures of DHHS, which may be an Electronic Transfer System or monthly cash request system. See the following for information on how assistance is awarded/released: Awardees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Grantees are required to submit an annual report that describes the utilization costs of services provided under the grant, and provide such other information as the Secretary determines appropriate. No cash reports are required. Awardees are required to submit an annual report that describes the utilization costs of services provided under the grant, and provide such other information as the Secretary determines appropriate. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends.

If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. Awardees will be required to submit a Performance Improvement Measurement System (PIMS) report through the EHB once a year throughout their project period. A Non-competing Continuation report is required once a year throughout their project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$1,638,372; FY 17 est \$1,834,000; and FY 18 est \$1,834,000

Range and Average of Financial Assistance:

Range = \$125,856 to \$230,936. \$204,798 (average).

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 74 for state, local and tribal governments, institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Megan Lincoln 5600 Fishers Lane, Mailstop 17W41-C, Rockville, Maryland 20857 Phone:(301) 443-2702

The Federal Office of Rural Health Policy, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Mailstop 17W29-C, Rockville, MD 20857. Telephone: (301) 443-0835.

Headquarters Office:

Megan Lincoln 5600 Fishers Lane, Mailstop 17W41-C, Rockville, Maryland 20857 Phone: (301) 443-2702

Website Address:

<http://www.hrsa.gov/ruralhealth/about/community/reseprant.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

- (1) Need and Readiness -- the extent to which the applicant can demonstrate a need for these services in their area and their readiness to provide them;
- (2) Response --the extent to which the applicant can demonstrate their strength and feasibility of the proposed approach to meeting the service area and user needs;
- (3) Evaluative Measures -- the extent to which the applicant can describes the strength of the plan to evaluate RESEP and assess whether stated objectives were met through program activities;
- (4) Impact -- The extent to which the application provides clear evidence of a positive impact on the health of the user population and the strength of the plan for how problem areas or unmet objectives will be remedied after they are identified;
- (5) Resources/Capabilities-- the extent to which project personnel are qualified by training and/or experience to implement and carry out the projects; and
- (6) Support Requested -- the extent to which the applicant's budget for the scope of the proposed activities.

93.259 RURAL ACCESS TO EMERGENCY DEVICES GRANT AND PUBLIC ACCESS TO DEFIBRILLATION DEMONSTRATION GRANT RAED & PADDP**FEDERAL AGENCY:**

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Improvement Act Title IV Cardiac Arrest Survival, Subtitle B Rural Access to Emergency Devices, Section 413, Public Law 106-505 (42 U.S.C. 254c); Section 313 of the Public Health Service Act, (42 U.S.C. 245); P.L. 107-188 and P.L. 111-8, Section 4.

OBJECTIVES:

The purpose of the Rural Access to Emergency Devices (RAED) Grant Program is to (1) purchase automated external defibrillators (AEDs) that have been approved, or cleared for marketing, by the Food and Drug Administration; (2) provide defibrillator and basic life support training in automated external defibrillator usage through the American Heart Association, the American Red Cross, or other nationally recognized training courses, and (3) place the AEDs in rural communities with local organizations.

The Public Access Defibrillation Demonstration Project (PADDP) grant program supports innovative, comprehensive, community-based public access

defibrillation demonstration projects that: (1) provide cardiopulmonary resuscitation and automated external defibrillation to cardiac arrest victims in unique settings; (2) provide training to community members in cardiopulmonary resuscitation and automated external defibrillation; and (3) maximize community access to automated external defibrillators (AED).

The purpose of the Rural Opioid Overdose Reversal (ROOR) Grant Program is to reduce the incidences of morbidity and mortality related to opioid overdoses in rural communities through the purchase and placement of emergency devices used to rapidly reverse the effects of opioid overdoses and training of licensed healthcare professionals and emergency responders on their use.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

All funds awarded are to be expended solely for the purposes outlined in the approved projects.

Applicant Eligibility:

RAED program: Awards will be made to community partnerships for purchase, placement and training for AEDs in eligible rural areas. These partnerships are defined as a consortium of first responders (e.g., EMS, law enforcement and fire departments) and local for-profit and nonprofit entities that may include but are not limited to, long-term care facilities, rural health clinics, community health centers, post offices, libraries and other civic centers, athletic facilities, senior citizen and day care facilities, faith-based organizations and schools without AEDs. An applicant must be part of a statewide, regional or multi-county consortium or rural community organization applying as a community partnership. In addition to eligible rural areas in the 50 States, applicants or members of the partnership can be located in the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, the Territories of the Virgin Islands, Guam, American Samoa, and the Compact Free Association Jurisdictions of the Republic of the Marshall Islands, the Republic of Palau and the Federated States of Micronesia. Federally-Recognized Indian Tribal Government and Native American Organizations are eligible to apply as long as they meet the eligibility requirements.

PADDP program: Awards will be made to applicants for the purchase and placement of AEDs and for training on the use of the AEDs and cardiopulmonary resuscitation. An applicant must be a political subdivision of a State, a Federally Recognized Native American Organization, or a Federally Recognized Indian Tribal Government.

Rural Opioid Overdose Reversal (ROOR) program: Awards will be made to community partnerships comprised of local emergency responders, and other local non-profit and for profit entities involved in the prevention and treatment of opioid overdoses. In addition to eligible rural areas in the 50 States, applicants or members of the partnership can be located in the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, the Territories of the Virgin Islands, Guam, American Samoa, and the Compact Free Association Jurisdictions of the Republic of the Marshall Islands, the Republic of Palau and the Federated States of Micronesia. Federally-Recognized Indian Tribal Government and Native American Organizations are eligible to apply as long as they meet the eligibility requirements.

Beneficiary Eligibility:

See above.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is

not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately six months.

Appeals:

Not Applicable.

Renewals:

Awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

A final program report will be submitted to the Secretary, DHHS via ORHP within 90 days after the close of the budget period. This report will include a retrospective review of how the AED(s) affected response times, pre-hospital mortality rates, etc. No cash reports are required. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. A Performance Improvement Measurement System Report will be due annually within 30 days of the end of each budget period. A Non-competing Continuation report is required once a year throughout their project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 est \$0; and FY 18 est \$0 - Rural Opioid Overdose Reversal. (Project Grants) FY 16 Not Available; FY 17 est \$0; and FY 18 est \$0 - Rural Access to Emergency Devices. (Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Public Access Defibrillation Demonstration.

Range and Average of Financial Assistance:

Rural Access to Emergency Devices Program: \$93,603 to \$200,000, \$152,429 (average);

Public Access Defibrillation Demonstration Project - \$85,752 to \$146,997, \$116,375 (average);

Rural Opioid Overdose Reversal Program: est. \$100,000 to \$100,000, \$100,000 (average).

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 75.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. RAED Program Contact: Michele Pray Gibson, Federal Office of Rural Health Policy, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 17W21-C, Rockville, MD 20857. Telephone: (301) 443-7320.

PADDP Program Contact: Michele Pray Gibson, Federal Office of Rural Health Policy, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 17W21-C, Rockville, MD 20857. Telephone: (301) 443-7320.

ROOR Program Contact: Michele Pray Gibson, Federal Office of Rural Health Policy, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 17W21-C, Rockville, MD 20857. Telephone: (301) 443-7320.

Headquarters Office:

Office of Rural Health Policy, 5600 Fishers Lane, Room 5A 05, Rockville, Maryland 20857 Phone: (301) 443-0835.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For additional information, refer to the specific funding opportunities announcement.

93.260 FAMILY PLANNING PERSONNEL TRAINING

Family Planning Training

FEDERAL AGENCY:

Office of Population Affairs, Department of Health and Human Services

AUTHORIZATION:

Title X Statute and Regulations: Requirements regarding the provision of family planning services under Title X can be found in the statute (Title X of the Public Health Service Act, 42 U.S.C. 300 et seq.) and in the implementing regulations which govern project grants for family planning services (42 CFR part 59, subpart A.), 42 U.S.C 300 et seq. P.L. 91-572 PHS Act, Sec 1003 as Amended, 42 CFR59, U.S.C .

OBJECTIVES:

To provide job specific training for personnel to improve the delivery of family planning services.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants are awarded to provide training to Title X project staffs; to improve utilization and career development of paraprofessional and paramedical manpower in family planning services, particularly in rural areas. Funds may not be used in programs where abortion is a method of family planning. Regulations and Program Guidelines: The regulations set out at 42 CFR part 59, subpart C, govern grants to provide training for family planning service providers. Prospective applicants should refer to the regulations in their entirety. Training provided must be consistent with the requirements for providing family planning services under Title X. These requirements can be found in the Title X statute, the implementing regulations (42 CFR part 59, subpart A), and the Program Guidelines for Project Grants for Family Planning Services (Program Guidelines) (January 2001). In addition, any training regarding sterilization of clients as part of the Title X program should be consistent with 42 CFR part 50, subpart B (Sterilization of Persons in Federally Assisted Family Planning Projects). Copies of the Title X statute, applicable regulations, and Program Guidelines can be obtained by contacting the OASH Office of Grants Management, or may be downloaded from the Office of Population Affairs (OPA) web site at <http://www.hhs.gov/opa>, and are provided in the application kit for this announcement.

Applicant Eligibility:

Any public entity (including city, county, local, regional, or State government) or nonprofit private entity located in a State (including the District of Columbia, Puerto Rico, the Commonwealth of the Northern Mariana Islands, Guam, American Samoa, the Virgin Islands, the Federated States of Micronesia, the Republic of the Marshall Islands and the Republic of Palau) is eligible to apply for a grant under this program. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

Personnel delivering family planning services in Title X projects.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the following Cost Principles: OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for state and local governments, and OMB Circular No. A-122 for non-profit organizations. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is

not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All grant opportunities are posted on www.grants.gov and all applications must be submitted through grants.gov.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be ineligible will not be reviewed. Accepted applications will be evaluated by a technical review panel composed of independent experts. The final funding decision will be determined by the Deputy Assistant Secretary for Population Affairs, DHHS, or their designee. All applicants will be notified in writing of actions taken on their applications. Applications that are approved and funded will be issued a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From 90 to 270 days/ from 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Projects are generally given commitments of funding for up to 3 years. Projects must submit a continuation application each non-competitive year, which includes at a minimum a progress report and evidence of plan compliance.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are generally approved for a project period of 3-5 years. Grants may be approved for a budget period not to exceed 12 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Grantees must submit quarterly SF425 Federal Financial Reports to Payment Management Services 30 days after the end of each calendar quarter. Progress reports are required for each budget period. Grantees must submit quarterly SF425 Federal Financial Reports to the Office of Grants Management 30 days after the end of each calendar quarter and an annual FFR 90 days after the end of the budget period/project period end date. Reports are to be submitted electronically through GrantSolutions.. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. Grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the record has been started

before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$4,750,000; FY 17 est \$4,800,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

2016 \$150,000 to \$4,000,000

2017 \$750,000 to \$4,000,000.

TAFS Codes:

75-10-10-11-0117.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 2 awards, totaling \$4,750,000. Fiscal Year 2017: Estimate of 2 awards, totaling \$4,750,000. Fiscal Year 2018: Estimate of 2 awards, totaling \$4,750,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

See Regional Agency Offices. OASH-Office of Population Affairs, Office of Family Planning
Tower Building, 1101 Wootton Pkwy Suite 700
Rockville, MD 20852. Phone 240-453-2828.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<https://www.hhs.gov/opa>

RELATED PROGRAMS:

93.217 Family Planning_Services; 93.974 Family Planning_Service Delivery Improvement Research Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated to determine which would best promote the purposes of the authorizing legislation, taking into account: (1) The increase in delivery of services to people, particularly low-income groups, with a high percentage of unmet need for family planning services; (2) the promise of the program to fulfill the family planning services needs of the area to be served; (3) capacity to use assistance rapidly and effectively; (4) the administrative and management capability and competence of the applicant; (5) competence of the project staff; and (6) compliance with pertinent regulations and guidelines.

93.261 SCALING THE NATIONAL DIABETES PREVENTION PROGRAM TO PRIORITY POPULATIONS

National Diabetes Prevention Program

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Section 317(k)(2) of the Public Health Service Act (PHS Act), 42 U.S.C. 247b (k)(2); Section 301(a) of the PHS Act, 42 U.S.C. 241(a).

OBJECTIVES:

The purpose of this funding announcement is to scale (expand) and sustain the National Diabetes Prevention Program (National DPP). Scaling and sustaining the National DPP includes: Establish an evidence-based lifestyle change program in multiple states for populations at high risk for type 2 diabetes that meet the standards outlined in the CDC Diabetes Prevention Recognition

Program Standards and Operating Procedures (DPRP Standards) available at www.cdc.gov/diabetes/prevention/recognition; Recruit and facilitate training of lifestyle coaches to deliver the evidence-based lifestyle change program; Educate employers in multiple states about the benefits and cost-savings of offering the evidence-based lifestyle change program as a covered health benefit for employees; Educate public and private health insurance companies about the benefits and cost-savings of reimbursing organizations delivering the evidence-based lifestyle change program using a pay-for-performance model; Develop and implement strategic marketing and promotional activities to increase awareness and referrals to the evidence-based lifestyle change program; Sustain the evidence-based lifestyle change program without government grant funds when this cooperative agreement ends, through securing voluntary reimbursement from public and private health insurance companies for organizations delivering the evidence-based lifestyle change program using a pay-for-performance model; Ensure that each intervention site offering the evidence-based lifestyle change program under this FOA will obtain recognition from the CDC DPRP. CDC Diabetes Prevention Recognition Program Standards and Operating Procedures (DPRP Standards) available at www.cdc.gov/diabetes/prevention/recognition; Ensure that each intervention site offering the evidence-based lifestyle change program has the capacity to collect participant information and maintain a data collection system as outlined in the CDC Diabetes Prevention Recognition Program Standards and Operating Procedures (DPRP Standards) available at www.cdc.gov/diabetes/prevention/recognition.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The award recipients will be expected to develop, implement, market, and evaluate a comprehensive plan for scaling the National DPP lifestyle change program in select communities. The awardees will identify and engage organizations and/or employers that have capacity to offer the National DPP lifestyle change program and facilitate the establishment of the National DPP lifestyle change program, in collaboration with these organizations and/or employers. Awardees will also work with insurance companies to reimburse organizations offering the National DPP lifestyle change program through this cooperative agreement and work with employers and insurers to offer the National DPP lifestyle change program using pay for performance model. Also, they may work with employers to offer the National DPP lifestyle change program as a covered benefit for employees. The awardees will be expected to develop, implement and evaluate marketing and communications strategies to promote the National DPP lifestyle change program, educate health care providers about the program and encourage provider referrals to National DPP lifestyle change programs. Funds may be used to support scaling the National DPP lifestyle change programs in select communities described in Section 050 above. The use of and use restrictions are as follows: Recipients may not use funds for research. Recipients may not use funds for the provision of health care services. Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. Recipients may not use funds for construction.

Applicant Eligibility:

Nonprofit Organizations
For-Profit Organizations
Indian/Native American Tribal Governments
Faith-based Organizations.

Beneficiary Eligibility:

Any U.S. state, political subdivision and U.S. territories (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the methods of operation, describe the evaluation procedures and provide a budget justification for funds requested. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All eligible applications will be initially reviewed for completeness by the Procurement and Grants Office (PGO) staff. Incomplete applications will not advance through the review process. Applicants will be notified the application did not meet eligibility and/or published submission requirements. An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer..

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Project period is up to 4 years with 12-month budget periods. Applications must be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application. Method of awarding/releasing assistance: lump sum.

Reports:

Funded applicants must provide CDC with an original, plus two hard copies of the following reports:

1. Annual progress report, due 90 days after the end of the budget period.

Information needed in an annual report includes:

A. Progress towards objectives.

B. Successes.

C. Barriers encountered.

D. Technical Assistance and training requested.

2. Annual and Final performance and Federal Financial Reports (SF-425)*, no more than 90 days after the end of each budget year and project period.

These reports must be submitted to the attention of the Grants Management Specialist listed in the Section VIII below entitled Agency Contacts. No cash reports are required. Funded applicants must provide CDC with an original, plus two hard copies of the following reports:

1. Annual progress report, due 90 days after the end of the budget period.

Information needed in an annual report includes:

A. Progress towards objectives.

B. Successes.

C. Barriers encountered.

D. Technical Assistance and training requested. Federal Financial Reports (SF-425 is due no 90 days after the end each calendar quarter that the budget period ends. Annual Progress reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0094-3-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$7,017,715; FY 17 est \$14,165,149; and FY 18 est \$14,165,149. The original FOA PP was to end 9/29/16, but been extended until 9/29/2017.(DP12-1212).

FY17 funding is reflective of a new NOFO which is a follow on that supports DP17-1705.

Range and Average of Financial Assistance:

\$750,000 - \$ 2 million.

TAFS Codes:

75-0094.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Susan Van Aacken 4770 Buford Hwy, MS-K75, Atlanta, Georgia 30341 Phone: 7704888330

Website Address:

<http://www.cdc.gov/diabetes/prevention>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.262 OCCUPATIONAL SAFETY AND HEALTH PROGRAM

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Federal Mine Safety and Health Act, Section 501(a), 30 U.S.C 1(NOTE) & 951(a); Occupational Safety and Health Act of 1970, Section 20(a) and 21(a), 29 U.S.C 669(a) & 670(a); Public Health Service Act, Section 301(a) and 405, 42

U.S.C 241 and 284; Executive Order Federal Regulations 42 CFR 52 and 45, Part 74 and 92.

OBJECTIVES:

To (1) recognize new hazards; (2) define the magnitude of the problem; (3) follow trends in incidence; (4) target exceptional hazardous workplaces for intervention; and (5) evaluate the effectiveness of prevention efforts. The goal of this program is to increase worker safety and health. To develop specialized professional and paraprofessional personnel in the occupational safety and health field with training in occupational medicine, occupational health nursing, industrial hygiene, occupational safety, and other priority training areas. To perform medical monitoring and treatment for World Trade Center Responders and non-Responders and to create a Registry of affected workers.

TYPES OF ASSISTANCE:

Project Grants; Training

USES AND USE RESTRICTIONS:

Research grants and cooperative agreements are intended to support the direct costs of a project, in accordance with an approved budget, plus an appropriate amount for indirect costs. Training grants: Funds may be used for long term training programs and/or education and research centers. Support is provided for the direct costs of the program, plus certain indirect costs determined by Public Health Service policy on training programs. Amounts of stipends and other details are in accordance with Public Health Service policy. SBIR Phase I grants (of approximately 6-months duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support.

Applicant Eligibility:

Eligible applicants include for-profit or non-profit organizations, public or private institutions, such as universities, colleges, hospitals, and laboratories, units of State and local governments, eligible agencies of the Federal government, domestic or foreign institutions/organizations, faith-based organizations, Indian Tribes, Tribal Government, College and/or Organizations. Racial/ethnic minority individuals, women, and persons with disabilities are encouraged to apply as Principal Investigators. Training Grants: Any public or private educational institution or agency that has demonstrated competency in occupational safety and health training at the technical, professional, or graduate level may apply. Trainees must be admissible to the grantee institution and must be enrolled in occupational safety and health training programs. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). For SBIR grants primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions.

Beneficiary Eligibility:

Research institutions and agencies as well as workers affected by occupational hazards.

Credentials/Documentation:

Applications must be signed by appropriate officials of the submitting institution. For-profit organizations' costs are determined in accordance with 48 CFR Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR Part 74, Subpart Q. For SBIR grants applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform

Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications are prepared and submitted following instructions provided in Funding Opportunity Announcements (FOAs). NIOSH publishes FOAs at <http://www.Grants.gov>, and in the NIH Guide for Grants and Contracts at <http://grants1.nih.gov/grants/guide/index.html>. Grants.gov is the portal for applications. Appropriate forms are specified in each FOA. Research and training programs utilize either the SF-424 R&R for electronic submission or the PHS 398 application form and instructions which are available on the Internet at: <http://grants1.nih.gov/grants/forms.htm>.

Award Procedure:

Awards are made on the basis of a two-step review of an investigator-prepared application. Applications are initially reviewed for scientific and technical merit by a scientific peer review group. The second level of review is performed by the NIOSH Secondary Review Committee for program relevance. Final approval of funding recommendations is made by the Director, NIOSH.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Research Grants and Awards: 7-9 months. Training Grants: 9-10 months. SBIR: 7-8 months.

Appeals:

From 120 to 180 days. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff in the NIOSH Office of Extramural Programs.

Renewals:

> 180 Days. Support is recommended for a specified project period, usually not in excess of 5 years. Prior to the end of a project period, the grantee may apply for renewal of support for a new project period. Applications for renewal (competing continuation, Type 2) will be reviewed in the same manner as a new application and will compete for available funds with other applications.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Research and Training Grants and Cooperative Agreements may be awarded for project periods ranging from one to five years depending on the recommendations of the scientific review group and the Secondary Review Committee, and on demonstration of successful performance and the availability of funds. SBIR Grants: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Notice of Grant Award.

Reports:

Terminal progress report (including citations of all resulting publications) within 90 days after end of project support. Cash reports are not applicable. Interim progress reports (including citations of all resulting publications) annually as part of a non-competing continuation application for previously recommended support. Annual financial status report within 90 days after the conclusion of each budget period. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for three years after the end of each budget period. If questions

still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

(Project Grants) FY 16 \$112,305,445; FY 17 est \$109,000,000; and FY 18 est \$89,000,000 - Occupational Safety and Health Program.

Range and Average of Financial Assistance:

General Grants and Cooperative Agreements: \$15,000 to \$4,924,000. Training Grants: \$29,000 to \$1,770,000. SBIR Grants: Phase I - up to \$150,000; Phase II - up to \$1,000,000.

TAFS Codes:

75-0953.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR Parts 86 and 87.45 CFR Part 74 or 92, as applicable. OMB Circulars A-21 and A-87. The PHS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period, and the NIH Grants Policy Statement. Funding opportunity announcements as published in the NIH Guide: (<http://grants1.nih.gov/grants/guide/index.html>), the Grants.gov website (www.grants.gov), and the NIOSH OEP web site (<http://www.cdc.gov/niosh/oep/>). Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Any additional grant program legislation and regulation cited in the Notice of Grant Award.

Regional or Local Office:

None. Program Contact: Office of Extramural Programs, National Institute for Occupational Safety and Health, Centers for Disease Control and Prevention, Public Health Service, Department of Health and Human Services, 1600 Clifton Road, NE., MS-E74, Atlanta, GA 30329-4027. Telephone: (404) 498-2530. Grants Management Contact: Office of Financial Resources (OFR), Office of Grants Services, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, Mail Stop D-03, Atlanta, GA 30329-4027. Telephone: (770) 488-2700.

Headquarters Office:

Stephanie L. Shack, 1600 Clifton Rd., NE, Cubicle 4201.23, Mailstop E-74, Atlanta, Georgia 30329 Email: Sshack@cdc.gov Phone: (404) 498-2530.

Website Address:

<http://www.cdc.gov/niosh/oep>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) the scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to stated program objectives. Training Grants: Criteria used in evaluating training proposals include: (1) Overall potential contribution of the project toward meeting program objectives; (2) the need for training in the areas outlined in the application; (3) curriculum content and design; (4) previous record of training; (5) evaluation methods; (6) experience and training of the project director and staff; (7) institutional commitment; (8) academic and physical environment; (9) past performance; and (10) appropriateness of budget. The following criteria will be used in considering the scientific and technical merit of SBIR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the

proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.264 NURSE FACULTY LOAN PROGRAM (NFLP)

NFLP

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Sections 846A (42 U.S.C. 297n-1) and Section 847(f) (42 U.S.C. 297o(f)) of the Public Health Service Act.

OBJECTIVES:

The Nurse Faculty Loan Program (NFLP) is a loan forgiveness program which aims to increase the number of qualified nursing faculty. Schools of Nursing that receive NFLP funding must provide loans to students enrolled in eligible advanced degree nursing programs (masters or doctoral) with coursework that uniquely prepares students to serve as full-time nurse faculty at accredited schools of nursing. NFLP student borrowers who complete these programs and secure post-graduate employment as nurse faculty receive forgiveness of up to 85% of the NFLP loan while serving as full-time nurse faculty at an accredited school of nursing.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

NFLP grantees must establish a distinct NFLP loan fund for the purpose of making NFLP loans to eligible students enrolled in advanced degree nursing programs who are committed to become qualified nurse faculty and for the costs associated with collection of these loans. Institutions may award a maximum loan amount of \$35,500 per student for an academic year to support the cost of tuition, fees, books, and other reasonable education expenses, not to exceed five years of NFLP loan support to a single student. Upon completion of the program and following graduation, an amount up to 85 percent of the loan (principal and interest on) may be cancelled by the institution as follows: 20 percent upon completion of the first, second, and third years of full-time faculty employment in an accredited school of nursing; and 25 percent upon completion of the fourth year of full-time employment as faculty in an accredited school of nursing.

Applicant Eligibility:

Eligible applicants are accredited public or private collegiate schools of nursing or departments within an academic institution, that offer graduate degree nursing education programs (master's or doctoral) that include educator-focused coursework within the program of study that will prepare the graduate student to serve as nurse faculty. The school must be located in the 50 States, the Commonwealth of Puerto Rico, the District of Columbia, the Commonwealth of the Northern Mariana Islands, Guam, American Samoa, the U.S. Virgin Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, or the Republic of Palau. Funding priority is given to applicants with doctoral-level advanced degree nursing programs.

Beneficiary Eligibility:

Eligible nursing students must: (1) be a citizen or national of the United States,

or a lawful permanent resident of the United States, the Commonwealth of Puerto Rico, the District of Columbia, the Commonwealth of the Northern Mariana Islands, Guam, American Samoa, the U.S. Virgin Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, or the Republic of Palau; (2) be enrolled in an advanced degree program in nursing to become qualified nursing faculty; (3) not be in default on a Federal debt; and (4) maintain good academic standing.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the

The NFLP Program is a formula-based grant program that does not undergo a formal Objective Review Process. All eligible, qualified applications are reviewed and assessed internally. Notification is made in writing by a Notice of Award

Award Procedure:

Notification of award is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 - 180 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Applications must be submitted annually.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Schools that participate in the loan program must contribute to the loan fund an amount equal to, but not less than, one-ninth of the Federal capital contributions awarded.

This program has MOE requirements, see funding agency for further details. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a one-year project period. See the following for information on how assistance is awarded/released: Recipients drawdown funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The recipient will be required to submit annual performance reports. No cash reports are required. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier sub-award of \$25,000 or more in federal funds and executive total compensation for the recipients and sub-recipients five most highly compensated executives as

outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Formula Grants) FY 16 \$24,405,295; FY 17 est \$24,715,373; and FY 18 est \$0

Range and Average of Financial Assistance:

FY 16: 89 total awards: Range is \$5,000 to \$2,017,901; Average is \$274,217. FY 17: 80 total awards: Range is \$14,842 to \$2,351,957; Average is \$294,230.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Edward Wroblewski, Health Resources and Services Administration, Bureau of Health Workforce, Division of Nursing and Public Health 5600 Fishers Lane, 11N104B, Rockville, Maryland 20857 Email: ewroblewski@hrsa.gov Phone: (301) 443-4301

Website Address:

<https://www.hrsa.gov>

RELATED PROGRAMS:

93.364 Nursing Student Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness and accuracy, and to determine if applicant schools meet minimum program requirements. All eligible applicants are considered in a formula for determining awards. Eligible applicants must meet all applicable Federal guidelines and/or graduates must be eligible for national nursing organization certification, and/or programs must meet other national organizational guidelines, as appropriate, in the nursing field of study.

93.265 COMPREHENSIVE GERIATRIC EDUCATION PROGRAM

(CGEP)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 855 of the Public Health Service Act (42 U.S.C. 298).

OBJECTIVES:

To train and educate individuals in providing geriatric care for the elderly.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are used to develop and implement, in coordination with programs under section 753, programs and initiatives to train and educate individuals in providing geriatric care for the elderly. Grants may be used to: provide training to individuals who will provide geriatric care for the elderly; develop and disseminate curricula relating to the treatment of the health problems of elderly individuals; train faculty members in geriatrics; provide continuing education to individuals who provide geriatric care; or establish traineeships for individuals who are preparing for advanced education nursing degrees in geriatric nursing, long-term care, gero-psychiatric nursing or other nursing areas that specialize in the care for the elderly population. Funds may be used for salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential personnel travel expenses and other expenses related to the project.

Restricted uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

Eligible applicants are a school of nursing, a health care facility, a program leading to certification as a certified nurse assistant, a partnership of such a school and facility, or a partnership of such a program and facility. Federally Recognized Indian Tribal Government and Native American may apply if they are otherwise eligible.

Beneficiary Eligibility:

Training is for individuals and faculty members who provide, or will provide, care for the elderly.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 3-year project period. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. Cash reports are not applicable. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

FY 16 (actual) \$0; and FY 17 (est) \$0; and FY 18 (est) \$0.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Nancy Douglas-Kersellius, MSN, Project Officer, Medical Training and Geriatrics Branch, Division of Medicine and Dentistry, Bureau of Health Workforce 5600 Fishers Lane, Room 15N124A., Rockville, Maryland 20857
Email: ndouglas@hrsa.gov Phone: (301) 443-0907

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.266 HEALTH SYSTEMS STRENGTHENING AND HIV/AIDS PREVENTION, CARE AND TREATMENT UNDER THE PRESIDENT'S EMERGENCY PLAN FOR AIDS RELIEF

Global HIV/AIDS Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Law 108-25 (the United States Leadership Against HIV/AIDS, Tuberculosis and Malaria Act of 2003) [22 U.S.C. 7601, et seq.]; and Public Law 110-293 (the Tom Lantos and Henry J. Hyde United States Global Leadership Against HIV/AIDS, Tuberculosis, and Malaria Reauthorization Act of 2008), as reauthorized and amended by Public Law 113-56 (the PEPFAR Stewardship and Oversight Act of 2013).

OBJECTIVES:

Fund human resources for health, quality improvement and other health system strengthening activities in countries hit hardest by HIV/AIDS through the President's Emergency Plan for AIDS Relief (PEPFAR). Objectives include:

- (1) Training and technical assistance to build and strengthen care programs and health systems, so that affected countries can maintain programs over time and deliver quality HIV/AIDS care; build infrastructures such as clinic monitoring, health information systems, and medical and nursing education.
- (2) Enhance models of medical, nursing and midwifery education.
- (3) Develop partnerships between experts in the U.S. and people and institutions overseas to build skills and prepare host countries so that they may better manage their own programs over the long term.

Health Systems Strengthening Programs:

- (1) International Twinning Center (CoAg)
- (2) Quality Improvement Capacity for Impact Project (CoAg)
- (3) International AIDS Education and Training Center (CoAg)
- (4) Resilient and Responsive Health Systems Initiative (CoAg)
- (5) Resilient and Responsive Health Organizations Initiative: Sustainability Communities of Practice Initiative (CoAg)
- (6) Health Workforce for HIV and Chronic Disease Service Delivery Global Initiative (CoAg)
- (7) Optimizing Momentum Toward Sustainable Epidemic Control (CoAg).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative Agreements will be awarded to organizations that execute one or more of the objectives above. See the notice of funding opportunity for restricted uses of funds.

Applicant Eligibility:

Eligibility varies depending on the specific program. Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA for specific eligibility requirements.

Beneficiary Eligibility:

Beneficiaries are foreign governments, foreign public or private institutions or organizations, or foreign individuals.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the recommendations of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

3-6 months.

Appeals:

Not Applicable.

Renewals:

Cooperative agreements are typically awarded for up to a three or five year project period. Competitive awards are made every 3 to 5 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements are typically awarded for up to a three or five year project period composed of 12-month budget periods. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual program reports (non-competing continuation progress reports NCCs) are required. Cash reports are not applicable. Quarterly or semi-annual progress reports may also be required. Reports should provide progress on achieving objectives and modifications or new objectives. The Federal Financial Report (SF-425) is to be submitted within 90 days after the close of each budget period and a final Federal Financial Report is required 90 days following the end of the project period. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain financial records 3 years after the date they submit the final Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$30,000,000; FY 17 est \$30,000,000; and FY 18 est \$60,000,000

Range and Average of Financial Assistance:

ANNUALLY:

International Twinning Center - \$500,000 to \$10,000,000; Average \$10,000,000
Quality Improvement Capacity for Impact Project - \$6,000,000 to \$20,000,000; Average \$10,000,000
International AIDS Education & Training Center \$1,000,000 to \$60,000,000; Average \$55,000,000
Resilient and Responsive Health Systems Initiative (CoAg) - \$1,500,000 to \$2,000,000; Average \$1,500,000
Resilient and Responsive Health Organizations Initiative: Sustainability Communities of Practice Initiative (CoAg) - \$750,000
Health Workforce for HIV and Chronic Disease Service Delivery Global Initiative (CoAg) - \$30,000,000
Optimizing Momentum Toward Sustainable Epidemic Control (CoAg) - \$10,000,000.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All HRSA awards are subject to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements at 45 CFR part 75..

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Harold Phillips 5600 Fishers Lane, , Rockville, Maryland 20857 Phone: (301) 443-8109

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.145 AIDS Education and Training Centers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the evaluation criteria included in the notice of funding opportunity.

93.267 STATE GRANTS FOR PROTECTION AND ADVOCACY SERVICES

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title XII, Section 1253, as amended by the Childrens Health Act of 2000, sec.1304, PL 106-310, as further amended by the Traumatic Brain Injury Act of 2008, sec. 6(b), PL 110-206.

OBJECTIVES:

To make grants to Protection and Advocacy systems as established in each State for the purpose of enabling such systems to provide services to individuals with traumatic brain injury which may include: (1) information, referrals, and advice, (2) individual and family advocacy, (3) legal representation, and (4) specific assistance in self-advocacy.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

This program was transferred from HRSA to ACL on October 1, 2015. The transfer was made in order to be responsive to Congressional intent in the most recent reauthorization of the Traumatic Brain Injury (TBI) Act in 2014 and to the needs of individuals with TBI. The transfer was a part of a consolidation of several TBI programs in ACL to promote coordination and efficiency for both the federal government and grantees.

Funds may be used to plan for, develop outreach strategies for, and carry out services for individuals with traumatic brain injury as authorized in the Section 1253 of the PHS Act. Grant funds must supplement, not supplant, nonfederal funds available in the State in which the protection and advocacy system is established.

Applicant Eligibility:

State and Territory-designated Protection and Advocacy Systems, Federally Recognized Indian Tribal Government, and Native American Organizations are eligible applicants for State PATBI funding.

Beneficiary Eligibility:

Individuals with traumatic brain injury.

Credentials/Documentation:

Applicants should review the individual notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Public Health Service Act, Chapter 1253.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period for these grants is up to 48 months. Each allotment is available for obligation over a 24-month period; payments are made through an Electronic Transfer System. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under the notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Cash reports are not applicable. Progress reports are not applicable. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records for 4 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 4-year period, the records shall be retained until

completion of the action and resolution of all issues which arise from it, or until the end of the regular 4-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

(Formula Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

\$0.

TAFS Codes:

75-0354.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. CDR Donelle McKenna, Program Director, Protection and Advocacy for Traumatic Brain Injury Program, Division of Services for Children with Special Healthcare Needs, Maternal and Child Health Bureau, Health Resources and Services Administration, Room 13-103, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: 301-443-9280; dmckenna@hrsa.gov.

Headquarters Office:

Christina M. Turgel 5600 Fishers Lane, Rockville, Maryland 20857 Email: cturgel@hrsa.gov Phone: 3014435599

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.234 Traumatic Brain Injury State Demonstration Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for allotments must fulfill statutory requirements.

93.268 IMMUNIZATION COOPERATIVE AGREEMENTS

Immunizations CoAg and Vaccines for Children Program previously published as Immunization Grants and Vaccines for Children Program

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Section 317(j) of the Public Health Service Act (42 U.S.C. 247b(j)) reauthorized in Section 4204 of the Patient Protection and Affordable Care Act. Prevention and Public Health Fund (PPHF) funding is authorized under sections 301 and 317 of the Public Health Service Act (PHS Act), 42 USC, 241 and 247b as amended and the Patient Protection and Affordable Care Act (PL 111-148). The Vaccines for Children (VFC) program is authorized under Section 1902(a)(62) of the Social Security Act, 42 U.S.C. section 1396a(a)(62). The VFC Program was established under the authority of Section 1928(a) of the Social Security Act, 42 U.S.C. 1396s(a).

OBJECTIVES:

To assist states and communities in establishing and maintaining preventive health service programs to immunize individuals against vaccine-preventable diseases (including measles, rubella, poliomyelitis, diphtheria, pertussis, tetanus, hepatitis B, hepatitis A, varicella, mumps, haemophilus influenza type

b, influenza, and pneumococcal pneumonia).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Cooperative Agreement funds may be used for costs associated with planning, organizing, and conducting immunization programs directed toward vaccine-preventable diseases and for the purchase of vaccine; and for the implementation of other program elements, such as assessment of the problem; surveillance and outbreak control; information and education; adequate notification of the risks and benefits of immunization; compliance with compulsory school immunization laws; vaccine storage, supply management, and delivery; citizen participation; and use of volunteers. Vaccine will be available "in lieu of cash" if requested by the applicants. Requests for personnel and other items "in lieu of cash" will also be considered. Vaccine purchased with Cooperative Agreement funds may be provided to private practitioners who agree not to charge for vaccine. Cooperative Agreement funds may be used to supplement (not substitute for) existing immunization services and operations provided by a state or locality.

Applicant Eligibility:

Any U.S. state, and in consultation with state health authorities, political subdivisions of states and other public entities and U.S. territories may apply; private individuals and private nonprofit agencies are not eligible for immunization grants.

Beneficiary Eligibility:

Any U.S. state, political subdivision (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds. Costs will be determined in accordance with 2 CFR 225 (OMB Circular No. A-87) for state and local governments. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2716. This program is subject to the provisions of 45 CFR 92. The standard application forms, as furnished by PHS and required by 45 CFR 92 for state and local governments, must be used for this program.

Award Procedure:

After review and approval of an application, a Notice of Award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 3 to 4 months.

Appeals:

Not Applicable.

Renewals:

Same as Application Procedure.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Period: Variable. Budget Period: About 12 months. See the following for information on how assistance is awarded/released: Periodic grant rounds.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$151,109,102; FY 17 est \$145,052,647; and FY 18 est \$146,600,000

Range and Average of Financial Assistance:

317 Grants: From \$252,725 to \$48,568,982; \$6,870,723 VFC Grants: From \$1,649,229 to \$368,026,903; \$52,334,322.

TAFS Codes:

75-0943; 75-0943; 75-0512.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ivory Reid 1600 Clifton Road MSA19, Atlanta, Georgia 30333 Email: ibr0@cdc.gov Phone: 4046397824

Website Address:

<http://www.cdc.gov/vaccines>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.269 COMPLEX HUMANITARIAN EMERGENCY AND WAR-RELATED INJURY PUBLIC HEALTH ACTIVITIES FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Executive Order Sections 307 and 317(k)(2) of the Public Health Service Act, [42 U.S.C. Section 2421 and 247b(k)(2)], as amended.

OBJECTIVES:

To bring public health and epidemiologic principles to the aid of populations affected by complex humanitarian emergencies. Work will focus on the following main program areas: (1) Providing technical assistance including rapid health and nutrition assessments, public health surveillance, epidemic investigations, disease prevention and control, program evaluation and emergency preparedness; (2) Developing, implementing and disseminating findings from operational research projects aimed at developing more effective public health interventions; (3) Designing, implementing, and evaluating training activities to strengthen the capacity of CDC, other U.S. government agencies, international and private voluntary organizations, other governments, and public health students; (4) Developing and disseminating guidelines on public health issues and technical areas; and (5) Planning and maintaining partnerships with strategic international, bilateral, and non-governmental relief organizations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of projects related to domestic and international complex humanitarian emergencies and war-related injuries. The grants and cooperative agreements may be used for personnel, salaries, consultant costs, survey costs, training, equipment, supplies, travel, patient costs, miscellaneous items, and indirect costs.

Applicant Eligibility:

The general public will benefit from the objectives of this program.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants should review the individual CDC Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities.

Award Procedure:

After review and approval of an application, a notice of award is made in writing and issued by the Grants Management Officer, Procurement and Grants Office, CDC. Appropriate notification is also made to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 2 to 3 months.

Appeals:

From 60 to 90 days.

Renewals:

From 90 to 120 days. Support is recommended for a specified project period, not to exceed 5 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Support is recommended for a specified project period, not to exceed 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

Refer to the funding opportunity announcement. Refer to the funding opportunity announcement. Refer to the funding opportunity announcement. Refer to the funding opportunity announcement. Refer to the funding opportunity announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Parts 74 and 92.

Account Identification:

75-0955-1-3-550.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$15,096,832; FY 17 est \$222,147; and FY 18 est \$222,147

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

9-7513.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Caroline Gardner, 1600 Clifton Rd, MS E-29, Atlanta, Georgia 30329 Email: ctg8@cdc.gov Phone: (404) 639-4276

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Multiple awards were made for this CFDA. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria can be found in the program guidance.

93.270 VIRAL HEPATITIS PREVENTION AND CONTROL

Viral Hepatitis Prevention, Screening, Linkage to Care, and Education

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Sections 301 and 317K(k)(2) of the Public Health Service Act (42 U.S.C. section 241 and 274b-15), as amended.

OBJECTIVES:

Program activities under this funding will allow for CDC to partner with multiple organizations to benefit individuals by substantially reducing viral hepatitis transmission, identifying those that are acutely and chronically infected, and linking infected individuals with treatment if appropriate. Specifically, the program activities may include but are not limited to:

1. Increasing the proportion of persons living with HCV and HBV who are aware of their infection and referred for prevention and clinical care services.
2. Expanding and improving efforts to develop and disseminate viral hepatitis training and educational materials targeting the public and private sector health care professionals to build capacity to assess, test and medically manage populations at risk for chronic HCV and HBV infection.
3. Supporting state and local health departments and other organizations in linking HCV infected persons to community health centers, clinics serving injection drug users, and other health organizations seeing individuals potentially infected with chronic viral hepatitis.
4. Increase the number of reported incidence cases being reported in state and local health departments.
5. Additional support will be provided for program monitoring, evaluation, capacity building, and technical assistance, along with support for acute and chronic viral hepatitis surveillance.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for costs associated with establishing and maintaining a prevention and control program directed towards prevention of viral hepatitis infections and their manifestations. Recipients may only expend funds for reasonable policies, systems and program purposes including personnel, travel, supplies and services, such as reducing transmission of HAV, HBV and HCV, preventing and delaying the progress of chronic infection, as well as educating and training of the general public and health care providers. Recipients may not use funds for clinical care. Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award in another party or provider who is eligible.

Applicant Eligibility:

Applicants include State or local governments or their Bona Fide Agents, public and private nonprofit organizations, for profit organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith based organizations, Federally recognized Indian tribal governments, Indian tribes, and Indian tribal organizations. Additional guidance may be provided in individual program announcements.

Beneficiary Eligibility:

The individual will benefit from the objectives of this program as well as the community at large, and society from the savings realized from treating those who are infected with viral hepatitis.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Office of Grant Services Technical Information Management Section (OGS-TIMS) staff. For this, or further assistance, contact OGS-TIMS: Telephone (770) 488-2700, Email: ogstims@cdc.gov.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Office of Grants Services. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and emailed to the program director, and a hard copy mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Deadline for submission of applications will be between 30-60 days from date of publication.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the notice of funding opportunity (NOFO) and are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period is for 2-5 years with 12-month budget period. See the following for information on how assistance is awarded/released: Assistance is awarded through Notice of Grant Awards (NoAs) to funded applicants. See the following for information on how assistance is awarded/released: Award through a Notice of Grant Award.

Reports:

Program reports are due annually. Also see NOFO for additional information. Programs are required to report carryover funds annually through form SF-51. Progress reports are due and must outline how the grantee is meeting the objectives as outlined in the NOFO. A form SF-51 is required annually. Yes, as part of progress report, and rapid feedback reports are part of the process for monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, supporting documents, statistical records, and all other reports pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0950-0-1-550 - Prevention Effectiveness, HIV/AIDS, Viral Hepatitis, Sexually Transmitted Diseases and Tuberculosis Prevention; 09-2950-2-0-131 -

Direct Category A funding: Viral Hepatitis;

Obligations:

(Cooperative Agreements) FY 16 \$16,815,000; FY 17 est \$16,409,000; and FY 18 est \$16,409,000

Range and Average of Financial Assistance:

Awards will range from approximately \$30,000 to \$500,000, with an average award of \$150,000.

TAFS Codes:

75-15-0950.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Funds were provided for hepatitis B and C testing and linkage to care activities, and to characterize the hepatitis C increase among injection drug users as well as continued support for viral hepatitis surveillance, prevention and education. Fiscal Year 2017: CDC's Division of Viral Hepatitis anticipates funding will continue to be provided for hepatitis B and C testing and linkage to care activities, and to characterize the hepatitis C increase among injection drug users as well as continued support for viral hepatitis surveillance, prevention and education. Fiscal Year 2018: Funding will continue to be provided for hepatitis B and C testing and linkage to care activities, and to characterize the hepatitis C increase among injection drug users as well as continued support for viral hepatitis surveillance, prevention and education.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Viral Hepatitis Program Contact: Jeffrey L. Efrid, MPA, Deputy Director, Division of Viral Hepatitis, National Center for HIV, Viral Hepatitis, STD and TB Prevention, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Rd. NE, Mailstop G-37, Atlanta, GA 30333. E-mail: jle1@cdc.gov.

Grants Management Contact: Carla Harper, Grants Management officer, Department of Health and Human Services, CDC Procurement and Grants Office, 2920 Brandywine Road, MS E-15, Atlanta, GA 30341, telephone 770-488-2439, E-mail: CHarper@cdc.gov.

Headquarters Office:

Elizabeth Wolfe 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: eow1@cdc.gov Phone: (404) 639-8531

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: CDC's Division of Viral Hepatitis supported funding 54 grantees to conduct hepatitis prevention and surveillance activities as well as a several educational projects and projects that identify and screen chronically infected hepatitis B and C patients and link them to care. Fiscal Year 2017: CDC's Division of Viral Hepatitis supports funding 50 grantees to conduct hepatitis prevention and surveillance activities as well as a several educational projects and projects that identify and screen chronically infected hepatitis B and C patients and link them to care. Fiscal Year 2018: CDC's Division of Viral Hepatitis is anticipating funding 50 grantees to conduct hepatitis prevention and surveillance activities as well as a several educational projects and projects that identify and screen chronically infected hepatitis B and C patients and link them to care.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Office of Grants Services (OGS) staff and for responsiveness jointly by Division of Viral Hepatitis (DVH), NCHHSTP. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicant will be notified that the application did not meet submission requirements.

CDC will conduct a review to evaluate complete and responsive applications

according to the criteria listed in Section V. Application and Submission information, subsection Evaluation Criteria within the individual funding opportunity announcement.

93.273 ALCOHOL RESEARCH PROGRAMS

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, as amended, Sections 301 and 464H, and 42 U.S.C. 290Gb; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564, Public Law 78-410, 42 U.S.C. 241.

OBJECTIVES:

To develop a sound fundamental knowledge base which can be applied to the development of improved methods of treatment and more effective strategies for preventing alcoholism and alcohol-related problems. The National Institute on Alcohol Abuse and Alcoholism (NIAAA) supports research in a broad range of disciplines and subject areas related to biomedical and genetic factors, psychological and environmental factors, alcohol-related problems and medical disorders, health services research, and prevention and treatment research. Small Business Innovation Research (SBIR) program: to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation and technology transfer through cooperative research and development carried out between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

(1) Research Project Grants provide support for clearly defined projects or a small group of related activities, and when appropriate, support of conferences; (2) Program Project Grants are for large-scale, broad-based programs of research, usually interdisciplinary, consisting of several projects with a common focus; (3) Small Grants are for small-scale exploratory and pilot studies or exploration of an unusual research opportunity; Small Grants are limited to \$50,000 for direct costs for a period of up to 2 years; (4) Exploratory/Developmental Grants are limited for a period of up to 2 years, and a maximum of \$275,000 for direct costs for the entire 2- year period. Funds may be used only for expenses directly related to the approved research project. SBIR Phase I grants (of approximately 6- months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or processes. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application; (5). Alcohol Research Center Grants, and Alcohol Research Resource Centers provide long-term support for a concerted and multi-disciplinary research effort into the problems of alcohol use and alcoholism by coordinating the activities of investigators from biomedical, behavioral, and social science disciplines around a basic research theme. An alcohol research center is expected to realize its greater potential for contributing to the basic store of knowledge about alcohol and alcoholism and to become a significant regional and national resource; (6) Training and Research Career Development awards - Mentored Research Scientist Development Awards (K01), Mentored Clinical Scientist Development Awards (K08), and Independent Scientist Awards (K02) provide support for individuals with research potential who need additional

development and/or experience in a productive research environment. Mentored Patient-Oriented Research Career Development Award (K23) and Mid-career Investigator Award in Patient Oriented Research (K24) and Mentored Quantitative Research Career Development Award (K25) are also available. Senior Scientist Awards (K05) are also available to enable senior investigators to devote full-time to research. Academic Career Awards (K07) are also available for clinical faculty development; and (7) Alcohol National Research Service Awards for Research Training awards to assure the maintenance of an adequate supply of well-trained alcohol researchers through the award of both individual fellowships and grants to training institutions for support of training at both the pre-doctoral and postdoctoral levels and for Senior Fellowships and M.D./Ph.D. Fellowships. Special pre-doctoral fellowships are also available for students with disabilities and for minority students.

Applicant Eligibility:

Public or private profit and nonprofit agencies, including State, local, or regional government agencies, universities, colleges, hospitals, academic or research institutions may apply for research grants. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Public, profit and nonprofit private organizations.

Credentials/Documentation:

For-profit grantees' costs will be determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulation 45 CFR Part 74, Subpart C, Section 74.27. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The standard application forms, as furnished by PHS, must be used by grant applicants. Application kits, containing the necessary forms and instructions, if not available at the applicant institution, may be obtained from the NIAAA. Consultation on proposed projects is also available. Preapplication consultation with NIAAA staff is required before submission of a Program Project grant application or any unsolicited new grant application requesting \$500,000 or more direct costs in any one year. Applications are reviewed by nonfederal consultants recruited nationwide. The amount of the award and period of support are determined on the basis of the merit of the project. This program is subject to the provisions of 45 CFR Part 92 for State and local

governments and OMB Circular No. A-110 for nonprofit organizations. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Telephone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

Research Grants in support of projects recommended for approval by the National Advisory Council on Alcohol Abuse and Alcoholism and approved for payment are awarded directly by the NIAAA to the applicant institution. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 240 to 270 days from submission of application for grant support. SBIR/STTR applications about 7-1/2 months. AIDS related research applications about 6 months.

Appeals:

A principal investigator may appeal the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeals procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

Support is recommended for a specified project period, usually not in excess of 5 years. Prior to termination of a project period, the grantee may apply for renewal of support for a new project period. An application for renewal is processed as a competing request. Small Grants and Exploratory/Developmental Grants are not renewable, but grantees may apply for research project grants on the same topics.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies, but a project period is generally limited to 5 years or less. Grantee may apply for renewal of support on a competing basis. Within the project period, continuation applications must be submitted on a non-competing basis for each year of approved support. Small Grants are limited to 2 years or less and are not renewable. Exploratory/Developmental Grants are limited to 3 years or less and are not renewable. Payments will be made either on a Monthly Cash Request System or under an Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued shortly after an award is made. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Awards will be released electronically to applicant institution. Funds are released annually.

Reports:

Research Grants: Annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Program: Awardee submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training. Reports are required after

termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued. No cash reports are required. Research Grants: Annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Program: Awardee submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued. No expenditure reports are required. No performance monitoring is required. No cash reports are required. Research Grants: Annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Program: Awardee submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued. No expenditure reports are required. No performance monitoring is required. No cash reports are required. Annual progress reports are required as a part of the continuation application. A final expenditure report is required at the conclusion of the project. The NIAAA Program officers will monitor performance by evaluating the annual progress report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. "In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133."

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0894-0-1-552.

Obligations:

(Project Grants) FY 16 \$344,251,000; FY 17 est \$358,297,000; and FY 18 est \$252,481,000 - Values reported include funds reported for PROJECT GRANTS including Project Grants and Fellowships. Values for Fellowship had been reported separately under Obligation#2 before, which has now been deleted.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0894.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52. Guidelines are included in application kits. PHS Grants Policy Statement, DHHS Publication No. (OASH) 90-50,000, (Rev.) April 1, 1994. Specific program announcements are available electronically from the NIAAA World Wide Web Home Page on the Internet at <http://www.niaaa.nih.gov> or from the NIH Home Page under Institutes and Offices. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. The Headquarters Office (National Institute on Alcohol Abuse and Alcoholism) is responsible for the administration of these programs.

Headquarters Office:

Ranga V. Srinivas, National Institute on Alcohol Abuse and Alcoholism, National Institutes of Health, 5635 Fishers Lane Room 2085, Rockville, Maryland 20852 Email: srinivar@mail.nih.gov Phone: (301) 451-2067 Fax: (301) 443-7043.

Website Address:

<http://www.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following considerations will be used in determining projects to be funded: (1) Scientific and technical merit of the proposal; (2) program balance; and (3) relevance to NIAAA priorities and public health issues. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.275 SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES-ACCESS TO RECOVERY

ATR I, ATR II, ATRIII

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

The Public Health Act, Section 501(d)(5), 509, Public Law 106-310, 42 U.S.C 290aad5-290bb-2.

OBJECTIVES:

To implement voucher programs for substance abuse clinical treatment and recovery support services pursuant to sections 501 (d)(5) and 509 of Public Health Service Act (42 U.S.C. sections 290aa(d)(5) and 290bb-2). This program, called Access to Recovery (ATR), is to provide client choice among substance abuse clinical treatment and recovery support service providers, expand access to a comprehensive array of clinical treatment and recovery support options (including faith-based programmatic options), and increase substance abuse treatment capacity. Monitoring outcomes, tracking costs, and preventing waste, fraud and abuse to ensure accountability and effectiveness in the use of Federal funds are also important elements of the ATR program. Through the ATR grants, States, Territories, the District of Columbia and Tribal Organizations (hereinafter collectively referred to as "States") will have flexibility in designing and implementing voucher programs to meet the needs of clients in the State. The key to successful implementation of the voucher programs supported by the ATR grants will be the relationship between the States and clients receiving services, to ensure that clients have a genuine, free, and independent choice among eligible providers. States are encouraged to support any mixture of clinical treatment and recovery support services that can be expected to achieve the program's goal of achieving cost-effective, successful outcomes for the largest number of people.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds (including direct costs and indirect costs) may be used only for expenses clearly related and necessary to carry out approved activities. Funds may be used for 15 percent administrative cost and 85 percent voucher. Also follow the policies and procedures that applies to the eligible entity. For ATRIII, No more than 20% of the grant award may be used for administrative purposes for all ATR grantees. The 20% administrative cap is based on a four year average. Funds may be used for 15 percent administrative costs and 85 percent voucher services.

Applicant Eligibility:

Eligibility for Access to Recovery (ATR) grants is limited to the immediate office of the Chief Executive (e.g., Governor) in the States, Territories, District of Columbia; or the head of a Tribal Organization. (A "Tribal Organization" means the recognized governing body of any Indian tribe or any legally established organization of Indians, including urban Indian health boards, inter-tribal councils, or regional Indian health boards, which is controlled, sanctioned, or chartered by such governing body or which is democratically elected by the adult members of the Indian community to be served by such an organization.) The Chief Executive of the State, Territory, or District of Columbia, or the head of the Tribal Organization must sign the application. Eligibility is limited to the immediate office of these Chief Executives because only they have the authority to leverage funding across the State, implement the necessary policy changes, manage the fiscal responsibilities, and coordinate the range of programs necessary for successful implementation of the voucher programs to be funded through these grants. No more than on application from any one Chief Executive or head of a Tribal Organization will be funded.

Beneficiary Eligibility:

Eligibility for Access to Recovery (ATR) grants is limited to the immediate office of the Chief Executive (e.g., Governor) in the States, Territories, District of Columbia; or the head of a Tribal Organization" means the recognized governing body of any Indian tribe or any legally established organization of Indians, including urban health boards, inter-tribal councils, or regional Indian health boards, which is controlled, sanctioned, or chartered by such governing

body or which is democratically elected by the adult members of the Indian community to be served by such an organization.).

Credentials/Documentation:

The Chief Executive of the State, Territory, or District of Columbia, or the head of the Tribal Organization must sign the application. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must comply with the following requirements, or they will be screened out and will not be reviewed: Use the Application for Federal Assistance SF-424 form; Application submission requirements in Section IV-3 of this document; and Formatting requirements provided in Section IV-2.4 of this document. Applicants also may download the required documents from the SAMHSA Web site at www.samhsa.gov. Includes the face page, budget forms, and checklist. Applicants must use the SF-424 application form. Applications not submitted on the SF-424 will be screened out and will not be reviewed. Request for Applications (RFA)-Includes instructions for the grant application. This document is the RFA.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applications will be accepted for a project period of 1 to 3 years with 12-month budget periods. Annual awards will be made subject to continued availability of funds and progress achieved. See the following for information on how assistance is awarded/released: SAMHSA, Grants Management Office notifies grantees through a Notice of Award (NoA).

Reports:

Monthly program reports are required by the program officials. No cash reports are required. Quarterly progress report are required by the program officials. The (SF-425) Federal Financial Report is required by SAMHSA, Division of Grants Management 90 days after the end of each 12 month period and 90 days after the final 12 month budget period. SAMHSA monitors the grant program through Government Performance and Result Act (GPRA Government Project Officer), conference calls, site visits, and monthly and quarterly reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

There is a 3-year records retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved.

Account Identification:

75-1364-0-1-551.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-1362.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 75 and the HHS Grant Policy Statement, terms and conditions of award

Regional or Local Office:

None.

Headquarters Office:

Roger George 5600 Fisher Lane, Rockville, Maryland 20857 Email: roger.george@samhsa.hhs.gov Phone: 2402761418

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.276 DRUG-FREE COMMUNITIES SUPPORT PROGRAM GRANTS

Drug Free Community Grants (DFC)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Drug-Free Communities Act of 1997, Public Law 105-20; Reauthorized by Public Law 107-82.

OBJECTIVES:

To increase the capacity of community coalitions to reduce substance abuse, and over time, to reduce substance abuse among adults through strengthening collaboration among communities, public, and private entities. To disseminate state-of-the-art information on practices and initiatives that have proven to be effective in reducing substance abuse among youth.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used by the programs that are intended to support established community-based coalitions to work with leaders within their communities to identify and address local youth substance use problems and create sustainable community-level change.

No more than 20% of funds may be used for data collection and evaluation.

Applicant Eligibility:

Community coalitions must demonstrate that the community coalition has worked together for a period of not less than 6 months on substance abuse reduction initiatives. The coalition must: meet the composition requirements; ensure that there is substantial community volunteer effort; ensure that the coalition is a nonprofit, charitable, educational organization, or unit of local government, or is affiliated with an eligible organization or entity; possess a strategy to be self-sustaining; provide a 100-150 percent cash or in-kind match; and agree to participate in an evaluation of the coalition's program.

Beneficiary Eligibility:

Community coalitions, children, youth, and adults, those at-risk of substance abuse, and private nonprofit, and public community agencies.

Credentials/Documentation:

2 CFR 200/45 CFR Part 75 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Drug Free Community (DFC) is a collaborative initiative sponsored by Office of National Drug Control Policy (ONDCP) and Substance Abuse and Mental Health Services Administration (SAMHSA). Applications will be screened initially by ONDCP and SAMHSA to determine whether the applicant meets all the eligibility requirements. Applications submitted by eligible coalitions that meet all requirements will then be evaluated, scored, and rated by a peer review panel.

Award Procedure:

Upon approval by the Office of National Drug Control Policy and Substance Abuse and Mental Health Services Administration, a Notice of Award (NoA) signed by SAMHSA's Grants Management Officer is sent to the applicant agency. The NoA is the sole obligating document that allows the grantee to receive Federal funding for work on the grant project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 1 to 4 months.

Appeals:

None.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Drug-Free Communities Support Program grants awarded under the Drug-Free Communities Act of 1997 do require a 100-150 percent cash or in-kind match depending on funding.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Under the Drug-Free Communities Act, awards will be made for one year. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Federal Cash Transaction Report (FCTR) is due quarterly by the Division of Payment Management. Under the Drug-Free Communities Support Grants biannual progress. Annual federal financial reports (SF-425) are required. Classification tool survey is due each year.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds, and records

related to the grant must be retained for 3 years.

Account Identification:

75-1365-0-1-551.

Obligations:

(Project Grants (Discretionary)) FY 16 \$85,749,165; FY 17 est \$77,196,414; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

\$75,000 to \$125,000; Avg. \$124,510.

TAFS Codes:

75-1362.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 700 awards were made. Fiscal Year 2017: 620 awards will be made. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200/45 CFR Part 75 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS awards; also the HHS Grants Policy Statement

Regional or Local Office:

None.

Headquarters Office:

Odessa Crocker 5600 Fishers Lane, Rockville, Maryland 20857 Email: odessa.crocker@samhsa.hhs.gov Phone: 240-276-1078

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged according to their consistency with the policies and program priorities established by the Drug-Free Communities Act. Specific criteria are applied that are related to the particular program areas under which projects are funded. The criteria are published in the Federal Register as part of the individual program announcements.

93.279 DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 302 405, 464L, 464N, 464O and 464P, 42 U.S.C. 241, 42 U.S.C. 284, 42 U.S.C. 285o, 42 U.S.C. 288, 42 U.S.C. 295; Small Business Act 15 U.S.C 638.

OBJECTIVES:

To support basic and clinical neuroscience, biomedical, behavioral and social science, epidemiologic, health services and health disparity research. To develop new knowledge and approaches related to the prevention, diagnosis, treatment, etiology, and consequences of drug abuse and addiction, including HIV/AIDS. To support research training and research scientist development. To support dissemination of research findings. Small Business Innovation Research (SBIR) legislation is intended to expand and improve the SBIR programs to emphasize and increase private sector commercialization of technology developed through Federal SBIR research and development; increase small business participation in Federal research and development; and foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in the SBIR program. The legislation intends that the Small Business Technology Transfer (STTR) program stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and

development; and foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

(1) Research project grants provide support for clearly defined projects or a small group of related research activities, and when appropriate, support of research conferences; (2) program project and center grants support large-scale, broad-based programs of research, usually interdisciplinary, consisting of several projects with a common focus; (3) small grants support newer, less experienced investigators; investigators at institutions without a well-developed research tradition and resources; the testing of new methods or techniques; small-scale exploratory and pilot studies, or exploration of an unusual research opportunity; (4) research training grants including fellowships and institutional training grants, career development grants and loan repayment contracts; (5) SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of proposed research or research and development efforts to determine the quality of performance of the small business grantees. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercially viable products or processes. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application and the quality of performance of the small business concerns. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

For research grants the following organizations/institutions are eligible to apply: Public/State Controlled Institutions of Higher Education; Private Institutions of Higher Education: Hispanic-serving Institutions, Historically Black Colleges and Universities (HBCUs), Tribally Controlled Colleges and Universities (TCCUs), and Alaska Native and Native Hawaiian Serving Institutions; Nonprofits with 501(c)(3) IRS Status (Other than Institutions of Higher Education); Nonprofits without 501(c)(3) IRS Status (Other than Institutions of Higher Education); Small Businesses; For-Profit Organizations (Other than Small Businesses); State Governments; Indian/Native American Tribal Governments (Federally Recognized); Indian/Native American Tribally Designated Organizations; County Governments; City or Township Governments; Special District Governments; Independent School Districts; Public Housing Authorities/Indian Housing Authorities; and Other(s): Eligible Agencies of the Federal Government, Faith-based or Community-based Organizations, U.S. Territory or Possession, Indian/Native American Tribal Governments (Other than Federally Recognized), Regional Organizations, and Non-domestic (non-U.S.) Entities (Foreign Organizations). Eligible Individuals: Any individual, or individuals (multiple PDs/Pis), with the skills, knowledge, and resources necessary to carry out the proposed research as the PD/PI is invited to work with his/her organization to develop an application for support. Individuals from underrepresented racial and ethnic groups as well as individuals with disabilities are always encouraged to apply for NIH support. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research and/or development must be performed in the U.S. and its possessions. To be eligible, an SBIR grant application must be approved for scientific merit and program relevance by a scientific review group and by a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific and

technical merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Public or private profit and nonprofit sponsored organizations and individuals, minority groups, small businesses, health professionals, students, trainees, scientists and general public.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The National Institutes of Health is using www.grants.gov to accept electronic submission of applications. Applications are also available from the NIH web site at www.nih.gov. Information concerning NIDA and the types of research supported may be found at www.drugabuse.gov or www.nida.nih.gov. Consultation on a proposed project may also be obtained from NIDA. Applications are reviewed by primarily nonfederal consultants recruited nationwide. The amount of the award and period of support are determined on the basis of scientific merit of the project as well as financial and programmatic consideration. SBIR and STTR Grant Solicitations and SBIR Contract Solicitations may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://www.nih.gov/grants/funding/sbir.htm>. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms.

Award Procedure:

Grants with which the National Advisory Council on Drug Abuse has concurred with the recommendations of the Scientific Review Groups and approved by NIDA for payment are awarded directly by NIDA to the applicant institution. SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by the National Advisory Council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 240 to 270 days from submission of grant application. For AIDS applications the range will not exceed 180 days from cited receipt dates. SBIR/STTR applications: About 225 days.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page

<https://grants.nih.gov/grants/guide/notice-files/not-od-11-064.html>,
<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-101.html> and
<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-12-003.html>.

Renewals:

Support is recommended for a specified project period, usually not in excess of 5 years. Prior to termination of a project period, the grantee may apply for additional support via competing continuation application unless otherwise restricted.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies, but a project period is generally limited to 5 years or less. Grantee may apply for renewal of support on a competing basis unless otherwise restricted. Within the project period, continuation applications must be submitted on a non-competing basis for each year of approved support. Small grant support is limited to no more than 2 years. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. No cash reports are required. Interim progress reports annually as part of a non-competing application for previously recommended support. Annual financial status report within 90 days after termination of annual grant and immediate and full reporting of any inventions. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For nongovernmental grant recipients, audits are to be carried out in accordance with the provisions set forth in OMB Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0893-0-1-552.

Obligations:

(Project Grants) FY 16 \$821,038,000; FY 17 est \$843,519,000; and FY 18 est \$670,112,000

Range and Average of Financial Assistance:

Range-\$2,000 to \$5,013,000; Average-\$519,000.

TAFS Codes:

75-0893.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 2,109. Fiscal Year 2017: 2,081. Fiscal Year 2018: 1,777.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; Guidelines are included in applications kits. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1998. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR Part 52 and 42 CFR Part 52a; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Camilla L. Holland 6001 Executive Blvd., Rm. 4241, Bethesda, Maryland 20892 Email: chollan1@nida.nih.gov Phone: 3018275705

Website Address:

<http://www.drugabuse.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The following considerations will be used in determining projects to be funded: (1) Scientific and technical merit; (2) the feasibility of the research; (3) potential contribution to the national drug abuse problem; and (4) relevance to NIDA priorities. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technology.

93.280 NATIONAL INSTITUTES OF HEALTH LOAN REPAYMENT PROGRAM FOR CLINICAL RESEARCHERS

(NIH Clinical Research Loan Repayment Program; LRP-CR)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-505, 42 U.S.C. 288-5A.

OBJECTIVES:

To attract and retain health professionals to clinical research careers by offering educational loan repayment for participants who agree by written contract to engage in clinical research in a qualifying nonprofit institution for a minimum of 2 years.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged in clinical research. Your research must be supported by a domestic nonprofit foundation, university, professional association, or other nonprofit institution, or a U.S. government agency (federal, state, or local). A domestic foundation, professional association, or institution is considered to be nonprofit if exempt from federal tax under the provisions of Section 501 of the Internal Revenue Code (26 U.S.C. 501). NIH grants and university salaries are considered eligible funding. Your primary employment must be with a nonprofit institution. Any employment or income outside of the nonprofit institution must be separate from your research and cannot represent a significant amount of your total income.

Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Participants may apply for and the Secretary may grant extension contracts for one-year or two-year periods, as determined by the Secretary, if the individual continues to engage in qualifying research. Renewal applications are competitively reviewed and the submission of a renewal application does not assure the award of benefits. The maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold."

Applicant Eligibility:

(1) A U.S. citizen, U.S. national, or permanent resident of the United States; (2) Have a Ph.D., M.D., D.O., D.D.S., D.M.D., D.P.M., Pharm.D., D.C., N.D., O.D. or equivalent doctoral degree from an accredited institution; (3) Have total qualifying educational loan debt equal to or in excess of 20 percent of their institutional base salary on the date of program eligibility (the effective date that a loan repayment contract has been executed by the Secretary of Health and

Human Services or designee); (4) Conduct qualifying research supported by a domestic nonprofit foundation, nonprofit professional association, or other nonprofit institution, or a U.S. or other government agency (State or local); (5) Engage in qualified clinical research. Clinical research is patient-oriented clinical research conducted with human subjects, or research on the causes and consequences of disease in human populations involving material of human origin (such as tissue specimens and cognitive phenomena) for which an investigator or colleague directly interacts with human subjects in an outpatient or inpatient setting to clarify a problem in human physiology, pathophysiology or disease, or epidemiologic or behavioral studies, outcomes research or health services research, or developing new technologies, therapeutic interventions, or clinical trials; (6) Engage in qualified clinical research for at least 50 percent of their time, i.e., not less than 20 hours per week based on a 40 hour week; (7) Agree to conduct research for which funding is not prohibited by Federal law, regulation, or HHS/NIH policy, and in accordance with applicable Federal, State and local law (e.g., applicable human subject protection regulations); and (8) Sign and submit to the Secretary of Health and Human Services, at the time of application submission, a contract agreeing to engage in clinical research in a qualifying institution for a minimum of 2 years. Full-time employees of Federal Government agencies are ineligible to apply for LRP benefits. Part-time Federal employees who engage in qualifying research as part of their nonfederal duties for at least 20 hours per week based on a 40 hour week, and who are not compensated as a Federal employee for their research, are eligible to apply for loan repayment if they meet all other eligibility requirements.

Beneficiary Eligibility:

Health professionals who are interested in pursuing clinical research careers and who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants electronically transmit the following documents to the NIH: Applicant Information Statement, Biosketch, Personal Statement, Description of Research Activities, 3 Recommendations, Loan Information including current account statement(s) and promissory note(s) or disclosure statement(s), Assessment of Research Activities Statement (completed by the research supervisor), and Description of the Research Environment (completed by the research supervisor), Training or Mentoring Plan (completed by the research supervisor). Institutional Contacts electronically transmit a certification that: (a) assures the applicant will be provided the necessary time and resources to engage in the research project for two years from the date a Loan Repayment Program Contract is executed; (b) assures that the applicant is or will be engaged in qualifying research for 50 percent of their time (or not less than 20 hours per week based on a 40 hour week); (c) certifies that the institution is nonprofit (exempt from tax under 26 USC 501), and (d) provides the applicant's institutional base salary. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must access and submit the Loan Repayment Program application via the website www.lrp.nih.gov. Applications submitted to the NIH Division of Loan Repayment are first examined to determine if the basic eligibility requirements are satisfied. If these requirements are met, the application is forwarded to the NIH Center for Scientific Review for a determination regarding which NIH Institute or Center the application will be assigned to for review, scoring, and ranking.

Award Procedure:

The NIH Institutes and Centers approve or disapprove applications for participation in the Loan Repayment Program for Clinical Researchers. When an Institute or Center approves an application, the NIH Division of Loan Repayment notifies individuals of their award amount, provides a repayment schedule that covers the period of participation, and a Loan Repayment Program Contract is executed by an authorized representative of the Secretary, DHHS. If the approved research assignment changes, or if the employing

research institution changes, the participant must have their continued eligibility re-certified by the Institute or Center which approved their application. Contact the NIH via e-mail at LRP@NIH.GOV for further guidance.

Deadlines:

Nov 15, 2017: At 8:00 p.m. EST. Review the Loan Repayment Program website www.lrp.nih.gov, or contact the Headquarters Office listed below for the application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. The approximate time for approval/disapproval is 6 months from the closing date of the annual application period.

Appeals:

Not Applicable.

Renewals:

> 180 Days. At the conclusion of the initial 2-year contract, participants may apply and be considered for subsequent 1 or 2-year continuation contracts under the application and approval procedures specified above. Eligible renewal applications that score within the funding range receive continuation contracts.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One or 2-year continuation contracts are available. Payments will be made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services. Method of awarding/releasing assistance: quarterly.

Reports:

Program participants must be periodically certified by their research supervisor to have been engaged in the qualifying research project for a minimum 50 percent effort (not less than 20 hours per week based on a 40 hour week) during each three-month service period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-4554-0-1-552.

Obligations:

(Direct Payments for Specified Use) FY 16 \$44,254,056; FY 17 est \$44,000,000; and FY 18 est \$44,000,000

Range and Average of Financial Assistance:

For initial two-year contract periods, loan repayment of 50 percent of education debt up to \$70,000. For renewal contracts, loan repayment of 50 percent of education debt per year up to \$35,000 per year.

TAFS Codes:

75-009.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 868 awards. Fiscal Year 2017: 870 awards. Fiscal Year 2018: 870 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-505, 42 USC 288-5a. The NIH LRP-CR was initially announced by publication of a Notice in the Federal Register (Vol. 66, No. 173), and updated in Federal Register (Vol. 68, No. 61).

Regional or Local Office:

None.

Headquarters Office:

Matthew R. Lockhart 6011 Executive Blvd, Room 206, Bethesda, Maryland 20852 Email: matthew.lockhart@nih.gov Phone: (240) 380-3062

Website Address:

<http://www.lrp.nih.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.164 Indian Health Service Educational Loan Repayment; 93.209 Contraception and Infertility Research Loan Repayment Program; 93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds; 93.285 National Institutes of Health Pediatric Research Loan Repayment Program; 93.307 Minority Health and Health Disparities Research ; 93.308 Extramural Loan Repayment for Individuals from Disadvantaged Backgrounds Conducting Clinical Research; 93.364 Nursing Student Loans; 93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The NIH Institutes and Centers approve or disapprove applications for participation in the Clinical Research Loan Repayment Program. Applications that are on time, complete and eligible are referred to the appropriate NIH Institute or Center by the NIH Center for Scientific Review. The NIH Institutes and Centers convene panels comprised of non-NIH scientists to review, score and rank applications. In evaluating the application, reviewers are directed to consider the following components as they relate to the likelihood that the applicant will continue in a research career: (1) potential of the applicant to pursue a career in research, and (2) quality of the overall environment to prepare the applicant for a research career.

93.281 MENTAL HEALTH RESEARCH CAREER/SCIENTIST DEVELOPMENT AWARDS

(Research Career/Scientist Development)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III, Section 301, 42 U.S.C 241.

OBJECTIVES:

Prior to FY2013, this programs objectives included to provide support for research career development relating to causes, risk, diagnosis, genetics, development, epidemiology, prevention, treatment, and services use for mental illness, behavioral disorders, and HIV/AIDS; and relating to basic neuroscience and basic behavioral science research addressing basic and clinical research pertinent to mental disorders; in order to increase the number of individuals who are competing to advance research supported by the National Institute of Mental Health support, including women, individuals from underrepresented racial and ethnic minority groups, individuals with disabilities and individuals from disadvantaged backgrounds.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Prior to FY2013, this programs aimed to provide Mentored Research Scientist Development Award (K01, MRSDA), Mentored Clinical Scientist Development Award (K08, MCSDA), and Mentored Quantitative Research Career Development Award (K25) support for individuals with research potential who need additional mentored development and/or experience in a

productive research environment. Awards are available for up to 5 years of salary support (up to \$90,000 per year) for the individual candidate, fringe benefits, and 8 percent F&A costs. Specialized training costs and limited research costs are allowable under justified circumstances. Mentored Patient-Oriented Research Career Development Award (K23) supports the career development of individuals who have made commitment to focus their research endeavors on patient-oriented research. Awards are available for three to five years up to \$90,000 per year to help offset the full time salary requirement of the candidate. The NIH Pathway to Independence (PI) Award (K99/R00) provides up to 2 years of mentored support (K99) for a postdoctoral individual followed by up to 3 years of support after the awardee transitions to research independence (R00). K99/R00 awards are awards for up to 5 years of support. During the K99 phase, awards typically provide up to \$50,000 per year for the salary of the individual candidate as well as fringe benefits, and 8 percent F&A costs. Specialized training costs and limited research costs are allowable under justified circumstances. Salary support for all mentored awards may be supplemented by the sponsoring institution from nonfederal funds. The Independent Scientist Award (K02, ISA) is for independent scientists whose careers are developing rapidly; the Mid-career Investigator Award in Patient-Oriented Research (K24) is for outstanding clinical scientists engaged in patient-oriented research. No specialized training costs are available; limited research costs for K24s and K02s in theoretical areas are available.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

Applicant Eligibility:

Prior to FY2013, all awards in these series are made to appropriate domestic research centers, medical schools, departments of psychiatry, non-medical academic departments, psychiatric hospitals or hospitals with psychiatric services, community mental health centers, and biomedical research institutes on behalf of individuals who need the opportunity to realize research potential. Except for the NIH Pathway to Independence (PI) Award (K99/R00), the individuals must be citizens or nationals of the United States or have been lawfully admitted for permanent residence. The NIH Pathway to Independence (PI) Award (K99/R00) is open to both U.S. citizens and non-U.S. citizens. Individuals must qualify by scholastic degree and previous training and/or experience.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

Beneficiary Eligibility:

Public and private profit or nonprofit organizations will benefit.

Credentials/Documentation:

For other grantees, costs will be determined in accordance with HHS Regulation 45 CFR Part 74, Subpart Q. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications must be prepared and submitted electronically

using the SF 424 (Research & Related). Information about the SF 424 form may be found on <http://grants.nih.gov/grants/funding/424/index.htm>, but application packages should be accessed through the appropriate funding opportunity announcement, found either on the NIH Guide to Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>) or through the Grants.gov website (<http://www.grants.gov/>). For further assistance, contact GrantsInfo, Telephone (301) 435-0714, Email: grantsInfo@nih.gov. Information and instructions may be obtained from the program officials at the National Institute of Mental Health. Applications are reviewed by nonfederal consultants and recommended for support on the basis of merit. This program is subject to the provisions of OMB Circular No. A-21 for educational organizations and 45 CFR, Part 52.

Award Procedure:

All "K" Series awards are recommended for approval by the National Advisory Mental Health Council and those approved are awarded directly by NIMH to the applicant institution.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 240 to 270 days from submission of the application. Review of AIDS-related applications is expedited.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeals procedure is available on the NIH home page at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

K01, K08, K23, K25 awards are made for up to 5-year periods with no renewal. K02 and K24 awards are made for a 5-year period, renewable once (unless holder has held a previous K02 or K24 award). K99 awards are made for up to 2-year periods with no renewal.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies, with a project periods ranging from 1 to 5 years. Funding commitments are made annually. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the application organization.

Reports:

No program reports are required. No cash reports are required. Reports must be submitted as follows: (1) Interim progress reports annually as part of a non-competing application for previously recommended support; (2) terminal progress report within 90 days after end of project support; (3) annual financial status report within 90 days after termination of annual grant for some programs. In addition, immediate and full reporting of any inventions is required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. Per the regulations, a for-profit (commercial) organization is subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant. For-profit organizations have two options regarding the type of audit that will satisfy the audit requirements either: 1. a financial related audit (as defined in the Government Auditing Standards, GPO Stock

#020-000-00-265-4, <http://www.gao.gov/govaud/ybk01.htm>) of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements contained in OMB Circular No. A-133(<http://www.whitehouse.gov/OMB/circulars/a133/a133.html>).

Records:

Records must be retained at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0892-0-1-552.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - In FY2013, no grants were awarded under this CFDA program as starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

Range and Average of Financial Assistance:

\$0.

TAFS Codes:

75-2010-0892.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 16, no new awards were made under this CFDA number. Fiscal Year 2017: In FY 17, it is estimated that no awards will be made under this CFDA number. Fiscal Year 2018: In FY 18, it is estimated that no awards will be made under this CFDA number.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52. NIH Grants Policy Statement (Revised 12/2003).

Regional or Local Office:

None.

Headquarters Office:

Jean Noronha, Ph.D. 6001 Executive Blvd, Rockville, Maryland 20892-9609
Email: nimhreferral@mail.nih.gov Phone: (301) 443-3367 Fax: (301) 443-4720

Website Address:

<http://www.nimh.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following considerations are used in determining projects to be funded: (1) priority score and recommendation of the Initial Review Committee; (2) program priorities of the Institute; and (3) the availability of funds.

93.282 MENTAL HEALTH NATIONAL RESEARCH SERVICE AWARDS FOR RESEARCH TRAINING

(NRSA Program)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Section 487, 42 U.S.C 288.

OBJECTIVES:

Prior to FY2013, this programs objectives included to ensure a continuing and adequate supply of well-trained scientists who are able to conduct research on mental health problems. The program provides training grants to institutions and fellowships to individuals at both the predoctoral and postdoctoral levels. There are awards to medical students proposing to acquire doctoral research degrees in addition to their medical degrees. Awards are made for training in

the areas of basic and clinical neuroscience, genetics, developmental, behavioral, clinical, epidemiological, prevention, treatment and services research relevant to mental illnesses, behavioral disorders, and HIV/AIDS. These programs encourage training individuals in mental health research who are from underrepresented racial and ethnic groups, individuals with disabilities, or individuals disadvantaged because of educational or other circumstances that have inhibited their ability to pursue such a career.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Prior to FY2013, this programs aimed to provide Individual fellowships to students seeking predoctoral or postdoctoral support for full-time research training or who are enrolled in an formal M.D./Ph.D. program. An institutional allowance will be provided to the sponsoring institution for each awardee. In addition, any domestic public or nonprofit institution may apply for an institutional research training grant in a specified area of research from which a number of stipend awards will be made to individuals selected by the training program director at the institution. Support is available for both predoctoral and postdoctoral trainees and will include an amount for institutional expenses. Indirect costs may be requested at 8 percent of total direct costs, exclusive of tuition and related fees and expenditures for equipment, or actual indirect costs, whichever is less. Postdoctoral students receiving fellowships or stipend support under either individual or institutional grants for 12 months or less are obligated to pay back this support through an equivalent period of continued postdoctoral training or a period of health related research and/or teaching activities after the completion of their training. Postdoctoral individuals in their thirteenth and subsequent months of support will incur no further obligation, and such support will be considered acceptable postdoctoral payback service. Research training support may not be used for internships or other clinical training.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

Applicant Eligibility:

Prior to FY2013, for a training grant, an institution must be a domestic public or nonprofit private organization. The applicant institution must have, or be able to develop, the staff and facilities to provide the proposed research training in an environment suitable for performing high-quality research. An applicant for individual predoctoral fellowship support must be enrolled in a research doctoral degree program by the proposed activation date of the fellowship. A postdoctoral applicant must have received a Ph.D., Psy.D., M.D., D.D.S., Sc.D., D.N.S., D.O., D.S.W., or equivalent degree from an accredited institution to be eligible for an individual postdoctoral fellowship. An applicant for an M.D./Ph.D. individual fellowship must be enrolled in an M.D./Ph.D. program at an approved medical school, accepted in a related scientific program, and supervised by a mentor in the related scientific field. All individuals to be supported under the NRSA program must be citizens or noncitizen nationals of the United States, or have been lawfully admitted to the United States for permanent residence.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental

Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

Beneficiary Eligibility:

Individuals and nonprofit organizations will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR Part 74, Subpart Q, and 42 CFR Part 66. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications must be prepared and submitted electronically using the SF 424 (Research & Related). Information about the SF 424 form may be found on <http://grants.nih.gov/grants/funding/424/index.htm>, but application packages should be accessed through the appropriate funding opportunity announcement, found either on the NIH Guide to Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>) or through the Grants.gov website (<http://www.grants.gov/>). For further assistance contact GrantsInfo, Telephone (301) 435-0714, Email: GrantsInfo@nih.gov. Information concerning current areas of science being supported is available from the National Institute of Mental Health (NIMH). Completed paper forms should be submitted to the Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 1040, MSC 7710, Bethesda, MD 20892-7710 or Bethesda, MD 20817 (for express/courier service). This program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Applications are reviewed for scientific merit by nonfederal consultants recruited nationwide from the mental health field. Applications other than fellowships must also be reviewed by the National Advisory Mental Health Council. If an institutional training grant is recommended for approval and a decision to make an award is made, a formal award notice will be sent to the applicant institution. If an individual fellowship is recommended for approval and a decision to make an award is made, a formal award notice will be sent to the designated sponsoring institution business official. Postdoctoral students to be supported must submit required appointment forms, including a payback agreement and a Statement of Non-Delinquency on Federal Debt Certification. Predoctoral trainees whose appointments began after June 10, 1993, no longer incur a payback obligation.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 5 to 7 months. Review of AIDS-related applications is expedited.

Appeals:

A principal investigator may question the substantive or procedural aspects of

the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

By law an individual may receive no more than 6 years of support in the aggregate at the predoctoral level and no more than 3 years of support in the aggregate at the postdoctoral level under the NRSA program (through an individual and/or institutional award). Any exception to these limitations requires a waiver from the Director of the awarding Institute based on review of justification from the awardee and the program director for the institutional grant.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

By law an individual may receive no more than 6 years of support in the aggregate at the predoctoral level and no more than 3 years of support in the aggregate at the postdoctoral level under the NRSA program (through an individual and/or institutional award). For an M.D./Ph.D. fellowship, 6 years may be requested. In practice, recommendations of review committees are generally for 2 or 3 years. Awards for institutional grants may be made for project periods of up to 5 years. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the application organization.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the application organization.

Reports:

No program reports are required. No cash reports are required. Annual progress reports are required. Annual reports of activities for tracking payback provisions are required from postdoctoral fellows and trainees upon termination of support. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For nongovernmental grant recipients, audits are to be carried out in accordance with the provisions set forth in OMB Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0892-0-1-552.

Obligations:

(Project Grants (Fellowships)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - It is estimated that zero awards will be made under this CFDA program.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

Range and Average of Financial Assistance:

\$0.

TAFS Codes:

75-0892.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 16, no new awards were made under this CFDA number. Fiscal Year 2017: In FY 17, it is estimated that no awards will be made under this CFDA number. Fiscal Year 2018: In FY 18, it is estimated that no awards will be made under this CFDA number.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 66. NIH Grants Policy Statement (Revised 12/2003).

Regional or Local Office:

None.

Headquarters Office:

Jean Noronha, Ph.D. 6001 Executive Blvd, Rockville, Maryland 20892-9609
Email: nimhreferral@mail.nih.gov Phone: (301) 443-3367 Fax: (301) 443-4720

Website Address:

<http://www.nimh.nih.gov>

RELATED PROGRAMS:

93.242 Mental Health Research Grants; 93.281 Mental Health Research Career/Scientist Development Awards

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following considerations will be used in determining projects to be funded. For Training Grants: (1) quality of the proposed training program as determined by peer review; (2) availability of funds; and (3) program priority. For Fellowships: (1) overall merit of the application; (2) relevance of the application to the research priorities and mission of the institute and programs; and (3) availability of funds.

93.283 CENTERS FOR DISEASE CONTROL AND PREVENTION INVESTIGATIONS AND TECHNICAL ASSISTANCE

CDC Investigations, Technical Assistance

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Section 243, 247b(k)(2).

OBJECTIVES:

To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts. STEPS- To enable communities to reduce the burden of chronic disease, including: preventing diabetes among populations with pre-diabetes; increasing the likelihood that

persons with undiagnosed diabetes are diagnosed; reducing complications of diabetes; preventing overweight and obesity; reducing overweight and obesity; and reducing the complications of asthma. STEPS will achieve these outcomes by improving nutrition; increasing physical activity; preventing tobacco use and exposure, targeting adults who are diabetic or who live with persons with asthma; increasing tobacco cessation, targeting adults who are diabetic or who live with persons with asthma; increasing use of appropriate health care services; improving the quality of care; and increasing effective self-management of chronic diseases and associated risk factors. REACH - REACH U.S. supports community coalitions that design, implement, evaluate, and disseminate community-driven strategies to eliminate health disparities Racial and ethnic groups targeted include: African American/Black, American Indian/Alaska Native, Asian, Native Hawaiian/Other Pacific Islander, and Hispanic/Latino. Health priority areas include: breast and cervical cancer; cardiovascular disease; diabetes mellitus; adult/older adult immunization, hepatitis B, and/or tuberculosis; asthma; and infant mortality. Vulnerable Populations: The purpose of this program is to reduce morbidity, premature mortality, and eliminate health disparities associated with diabetes. This will be done by funding organizations to mobilize community partners and assist them to effectively plan, develop, implement, and evaluate community-based interventions to reduce the risk factors that influence the disproportionate burden of diabetes in vulnerable populations borne by many communities in regions across the country.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To strengthen State and local disease prevention and control programs, such as tuberculosis, childhood immunization, and sexually-transmitted diseases. To support national and local programs to combat disability related to epilepsy through education, partnership development, and enhanced communication. Services and program support: Epidemic aid; technical assistance (field studies and investigations of ongoing disease problems; occupational safety and health); consultation; dissemination of technical information; and provision of specialized services and assistance, including responses to public health emergencies. Training: Training State and local health professionals in broad areas of epidemiology, disability, and research programs such as hospital infections, hepatitis, vector-borne diseases, food-borne diseases, epilepsy, and tuberculosis, at the State or other health related organization's request.) To support capacity building, program planning, development, implementation, evaluation, and surveillance for current and emerging chronic disease conditions related to tobacco use. To achieve four Program Goals through community interventions and mobilization; counter-marketing; policy development and implementation, and surveillance and evaluation. Goals include preventing initiation to tobacco use among young people; eliminate exposure to second hand smoke; promote cessation among adults and young people who use tobacco; and identify and eliminate tobacco-related disparities among specific population groups. Lead regional efforts to prevent and reduce the use of tobacco and exposure to secondhand smoke. Conduct evaluations and implementation of culturally relevant and community competent tobacco control and prevention strategies and continue regional capacity building efforts. To address goal four of the National Tobacco Control Program (Identify and Eliminate Tobacco-Related Disparities). To eliminate health disparities among segments of the population, including differences that occur by gender, race or ethnicity, education, income, disability, geographic location, or sexual orientation. Establish a National Network consortium among six priority populations to coordinate and evaluate tobacco control and prevention initiatives through a broad national strategy to prevent and reduce tobacco use and exposure to second hand smoke and to eliminate tobacco-related disparities among priority populations. To provide funding to establish and/or enhance state-based telephone quitlines to provide tobacco cessation telephone counseling to the public at large. To fund projects that address the promotion of cessation among adults and young people who use tobacco (quitlines.) Address at the National level the promotion of cessation among adults and young people who use tobacco (quitlines). To build state arthritis programs that exponentially expand access and use of evidence-based interventions by embedding intervention programs into existing systems, conducting surveillance and data analysis to inform decision making, and developing state-level intervention, policy, and communication focused partnerships that further program goals. To

strengthen state oral health programs and public health core capacity and to reduce inequalities in the oral health of targeted populations. To improve state public health programs, including support for program leadership and staff, to monitor oral health risk factors, and for developing and evaluating prevention programs. To support evidenced-based interventions to prevent oral disease, including community water fluoridation and school-based dental sealant programs. To identify and disseminate information on best practices for state and community oral health programs. To assess state programs and provide recommendations to address gaps and weaknesses. To collaborate in development of the National Oral Health Surveillance System and to cosponsor the annual National Oral Health Conference. To develop initiatives related to oral disease prevention and chronic disease and health promotion capacity. To improve and strengthen state oral health infrastructure by providing technical assistance to state health agencies and coalitions, developing and implementing a plan to advance the Surgeon General's National Call to Action to Promote Oral Health. To coordinate the dissemination of comprehensive oral disease prevention information and health promotion programmatic expertise among state and local agencies and public and private sector organizations in the United States. To develop and promote policies to address oral disease prevention for high-risk adults. To identify modifiable barriers to optimal care across diverse managed care settings. To promote public awareness and partnership, provide interstitial cystitis (IC) education for the general public and for health care providers, and develop and enhance communication channels to allow for improved interaction and information sharing among those with IC, advocates for persons with IC and their families, those who provide care and services for persons with IC, researchers and public health scientists. To promote public awareness and partnerships, assess the impact and burden of cognitive impairment within states and nationally, describe the characteristics of expanded data on caregivers and care from a public health perspective, provide Alzheimers disease and cognitive health education for the general public and health professionals, and develop and enhance communication channels to allow for improved interaction and information sharing about cognitive impairment and Alzheimers disease.

Applicant Eligibility:

States, political subdivisions of States, local health authorities, Federally recognized or state recognized American Indian/Alaska Native tribal governments and organizations with specialized health interests may apply. Colleges, universities, private nonprofit and public nonprofit domestic organizations, research institutions, faith-based organizations, and managed care organizations for some specific programs such as Diabetes.

Beneficiary Eligibility:

States, political subdivisions of States, local health authorities, and individuals or organizations with specialized health interests will benefit. Colleges, universities, private non-profit and public nonprofit domestic organizations, research institutions, faith-based organizations, and managed care organizations for some specific programs such as Diabetes.

Credentials/Documentation:

For other grantees, costs will be determined by HHS regulations 45 CFR 74, Subpart Q. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. To apply for research funding opportunities, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341.

State and local governments may use Form 5161. An original and two copies must be submitted. This program is subject to the provisions of 45 CFR Parts 74 or 92, as appropriate.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. About 120 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

If additional support is desired to continue a research project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 3 years.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from 1 to 5 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Financial status and progress reports are required annually. Upon completion of the project, final financial status and performance reports are required. Interim reporting requirements may be delineated in the FOA. Cash reports are not applicable. Progress reports are required annually. Interim reporting requirements may be delineated in the FOA. Financial status reports are required annually. Upon completion of the project, final financial status reports are required. Progress reports are required annually. Upon completion of the project, performance reports are required. Interim reporting requirements may be delineated in the FOA.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-1-1-550 - Biosense.

Obligations:

(Cooperative Agreements) FY 16 \$6,564,573; FY 17 est \$6,242,247; and FY 18 est \$6,564,572 - Because this is a CDC-wide CFDA# this is to identify the HHS/CDC CSELS/DHHS portion/update for: FY14 (\$6,695,957), FY15 (\$6,565,572) and FY16 (\$6564572) and FY17 (6564572) above.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0956.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published in the application kit and identified on the notices of award. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

None. DP09-901, DP09-902, DP08-811, HM08-805, DP10-1002, DP11-1105, DP11-004, DP11-004, DP11-005, HM08-805; OE12-1202, OE15-1502.

Headquarters Office:

ELYSE HILL 4770 Buford Hwy, Atlanta, Georgia 30341 Phone: 770-488-5314

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and scientific merit of the overall application relative to the types of research and demonstration projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, boards of education and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

93.284 INJURY PREVENTION PROGRAM FOR AMERICAN INDIANS AND ALASKAN NATIVES COOPERATIVE AGREEMENTS**FEDERAL AGENCY:**

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301a.

OBJECTIVES:

To improve the quality of the health of American Indians and Alaskan Natives by developing the capacity of tribes to address their injury problems.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance is used for three types of injury prevention related projects: (1) Part I Basic: Build or enhance local injury prevention capacity; and (2) Part II Intervention: Implement a proven or promising injury prevention intervention. This assistance is available to federally-recognized Indian tribes, tribal organizations, and urban Indian organizations. All IHS funds are discretionary funds.

Applicant Eligibility:

Federally-recognized tribes, tribal organizations, non-profit organizations serving primarily American Indians and Alaska Natives, and urban Indian

organizations may apply.

Beneficiary Eligibility:

Individuals who are members of an eligible applicant tribe, band, or group or village and who may be regarded as within the scope of the Indian health and medical service program and who are regarded as an Indian by the community in which he lives as evidenced by such factors as tribal membership, enrollment, residence on tax exempt land, ownership or restricted property, active participation in tribal affairs or other relevant factors in keeping with general Bureau of Indian Affairs practices in the jurisdiction.

Credentials/Documentation:

Certification of American Indian or Alaska descent is required for direct services. Provisions of cooperative agreement require tribal endorsement in the form of Resolution to sanction tribal participation. Costs will be determined in accordance with OMB Circular No. A-87 for State, local, and Indian tribal governments (and Circular No. A-122 for Nonprofit Organizations) implemented through applicable grant administration regulations 45 CFR, 92 (45 CFR, 74 for nonprofit organizations). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Standard application forms, as furnished by IHS and required by 45 CFR, 92, for State and local governments and 45 CFR, 74, for nonprofit organizations, must be used for this program. Injury Prevention Programs are processed and administered by IHS Headquarters. This program is subject to the provisions of 45 CFR 92 for Indian tribes and Part 74 for Nonprofit organizations.

Award Procedure:

Cooperative agreements are awarded on a competitive basis with processing and final approved by Indian Health Service Headquarters.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved within 90 days of receipt of grant applications by the Indian Health Service, program office.

Appeals:

Cooperative agreement appeals will follow PHS appeals procedures: 42 CFR, Part 50 Subpart D and DHHS appeals procedures: 45 CFR, Part 16.

Renewals:

Renewal of multi-year cooperative agreements is on a year-to-year basis and requires the submission of continuation applications.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

IHS Grants are usually 3 to 5 years for a project period. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. Progress reports are required annually, semi-annually or quarterly depending on the time lines set by the IHS program. A final progress report is due 90 days after the end of each budget period and at the end of the final project period. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. IHS grants are monitored by the Division of Grants Management for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, that are pertinent to the HHS grant in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, 92.42, grantees are required to maintain grant records 3 years after they submit their final expenditures report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues arising from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$880,000; FY 17 est \$1,255,000; and FY 18 est \$1,255,000

Range and Average of Financial Assistance:

Injury Prevention: Part I - Five-Year Injury Prevention Programs, up to \$100,000; Part II Effective Strategies up to \$20,000.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 74, HHS Grants Policy Statement dated 1/07, Program Guidelines for Competitive Projects.

Regional or Local Office:

None. See Appendix IV of the Catalog for Indian Health Service Area Offices.

Injury Prevention Program Contact: Ms. Nancy Bill, Injury Prevention Program Manager, Indian Health Service, 5600 Fishers Lane, Mail Stop: 10N54, Rockville, MD 20857. Telephone: (301) 443-1054.

Grants Management Contact: Mr. Robert Tarwater, Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204, Fax (301) 594-0899.

Headquarters Office:

Grants Policy Office 5600 Fishers Lane, Mail Stop: 09E70, Rockville, Maryland 20857 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) The apparent capability of the applicant to organize and manage the proposed project successfully considering the adequacy of staff, management systems, equipment and facilities; (2) the soundness of the applicant's plan for conducting the project and for assuring effective utilization of grant funds; (3) the adequacy of the budget in relation to the scope of the project and available funds; and (4) the relative effectiveness of the applicant's plan to carry out each

of the requirements as set forth in the application.

93.285 NATIONAL INSTITUTES OF HEALTH PEDIATRIC RESEARCH LOAN REPAYMENT PROGRAM

(NIH Pediatric Research Loan Repayment Program; PR-LRP)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act

, Title IV, Part G, Section 487F, Public Law 106-310, 42 U.S.C 288-6.

OBJECTIVES:

To attract and retain health professionals to pediatric research careers by offering educational loan repayment for participants who agree by written contract to engage in pediatric research in a qualifying nonprofit institution for a minimum of 2 years.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged in pediatric research in a qualified nonprofit institution or Federal or other domestic government agency (State or local), and who are engaged in research that is supported by a nonprofit foundation, nonprofit professional association, or other nonprofit entity, or a Federal or other domestic government agency (State, local). Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Participants may apply for and the Secretary may grant extension contracts for one-year or two-year periods, as determined by the Secretary, if the individual continues to engage in qualifying research. Renewal applications are competitively reviewed and the submission of a renewal application does not assure the award of benefits. Maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements, plus discretionary state and local tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold."

Applicant Eligibility:

Eligible applicants must: (1) be a U.S. citizen, U.S. national, or permanent resident of the United States; (2) have a Ph.D., M.D., D.O., D.D.S., D.M.D., D.P.M., Pharm.D., D.C., N.D., O.D. or equivalent doctoral degree from an accredited institution; (3) have total qualifying educational loan debt equal to or in excess of 20 percent of their institutional base salary on the date of program eligibility (the effective date that a loan repayment contract has been executed by the Secretary of Health and Human Services or designee); (4) conduct qualifying research supported by a domestic nonprofit foundation, nonprofit professional association, or other nonprofit institution, or a U.S. or other government agency (Federal, State, or local); (5) engage in qualified pediatric research (pediatric research is research that is directly related to diseases, disorders, and other conditions in children); (6) engage in qualified pediatric research for at least 50 percent of their time, i.e., not less than 20 hours per week based on a 40 hour week; (7) agree to conduct research for which funding is not prohibited by Federal law, regulation, or HHS/NIH policy, and in accordance with applicable Federal, State and local law (e.g., applicable human subject protection regulations); and (8) sign and submit to the Secretary of Health and Human Services, at the time of application submission, a contract agreeing to engage in pediatric research in a qualifying institution for a minimum of 2 years. Full-time employees of Federal Government agencies are ineligible to apply for LRP benefits. Part-time Federal employees who engage in qualifying research as part of their non-Federal duties for at least 20 hours per week based on a 40 hour week, and who are not compensated as Federal employees for their research, are eligible to apply for loan repayment if they meet all other eligibility requirements.

Beneficiary Eligibility:

Health professionals who are interested in pursuing pediatric research careers who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants electronically transmit the following documents to the NIH: Applicant Information Statement, Biosketch, Personal Statement, Description of Research Activities, 3 Recommendations, Loan Information including current account statement(s) and promissory note(s) or disclosure statement(s), Assessment of Research Activities Statement (completed by the research supervisor), and Description of the Research Environment (completed by the research supervisor), Training or Mentoring Plan (completed by the research supervisor). Institutional Contacts electronically transmit a certification that: (a) assures the applicant will be provided the necessary time and resources to engage in the research project for two years from the date a Loan Repayment Program Contract is executed; (b) assures that the applicant is or will be engaged in qualifying research for 50 percent of their time (or not less than 20 hours per week based on a 40 hour week); (c) certifies that the institution is non-profit (exempt from tax under 26 USC 501), and (d) provides the applicant's institutional base salary. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must access and submit the Loan Repayment Program application via the website www.lrp.nih.gov. Applications submitted to the NIH Division of Loan Repayment are first examined to determine if the basic eligibility requirements are satisfied. If these requirements are met, the application is forwarded to the NIH Center for Scientific Review for a determination regarding which NIH Institute or Center the application will be assigned to for review, scoring, and ranking.

Award Procedure:

The NIH Institutes and Centers approve or disapprove applications for participation in the Pediatric Research Loan Repayment Program. When an Institute or Center approves an application, the NIH Division of Loan Repayment notifies individuals of their award amount, provides a repayment schedule that covers the period of participation, and a Loan Repayment Program Contract is executed by an authorized representative of the Secretary, DHHS. If the approved research assignment changes, or if the employing research institution changes, the participant must have their continued eligibility re-certified by the Institute or Center which approved their application. Contact the NIH via e-mail at LRP@NIH.GOV for further guidance.

Deadlines:

Nov 15, 2017: At 8:00 p.m., EST. Review the Loan Repayment Program website www.lrp.nih.gov, or contact the Headquarters Office listed below for the application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. The approximate time for approval/disapproval is 6 months from the closing date of the annual application period.

Appeals:

Not Applicable.

Renewals:

> 180 Days. At the conclusion of the initial 2-year contract, participants may apply and be considered for subsequent 1 or 2-year continuation contracts under the application and approval procedures specified above. Eligible renewal applications that score within the funding range receive continuation contracts.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One or 2-year continuation contracts are available for eligible individuals whose renewal applications score within the funding range. Payments will be made directly to

lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services. Method of awarding/releasing assistance: quarterly.

Reports:

Program participants must be periodically certified by their research supervisor to have been engaged in the qualifying research project for a minimum 50 percent effort (not less than 20 hours per week based on a 40 hour week) during each three-month service period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-4554-0-1-552.

Obligations:

(Direct Payments for Specified Use) FY 16 \$16,175,369; FY 17 est \$17,000,000; and FY 18 est \$17,000,000

Range and Average of Financial Assistance:

For initial 2-year contract periods, loan repayment of 50 percent of education debt up to \$70,000 plus tax payments. For renewal contracts, loan repayment of 50 percent of education debt per year up to \$35,000 per year.

TAFS Codes:

75-009.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 320 awards. Fiscal Year 2017: 300 awards. Fiscal Year 2018: 300 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in the Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-310, 42 U.S.C. 288-6. The NIH PR-LRP was initially announced by publication of a Notice in the Federal Register (Vol. 66, No. 173), and updated in Federal Register (Vol. 68, No. 61).

Regional or Local Office:

None.

Headquarters Office:

Matthew R. Lockhart 6011 Executive Blvd, Room 206, Bethesda, Maryland 20852 Email: matthew.lockhart@nih.gov Phone: (240) 380-3062

Website Address:

<http://www.lrp.nih.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.164 Indian Health Service Educational Loan Repayment; 93.209 Contraception and Infertility Research Loan Repayment Program; 93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds; 93.307 Minority Health and Health Disparities Research ; 93.308 Extramural Loan Repayment for Individuals from Disadvantaged Backgrounds Conducting Clinical Research; 93.364 Nursing Student Loans; 93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The NIH Institutes and Centers approve or disapprove applications for participation in the Pediatric Research Loan Repayment Program. Applications that are on time, complete and eligible are referred to the appropriate NIH Institute or Center by the NIH Center for Scientific Review. The NIH Institutes and Centers convene panels comprised of non-NIH scientists to review, score and rank applications. In evaluating the application, reviewers are directed to consider the following components as they relate to the likelihood that the

applicant will continue in a research career: (1) potential of the applicant to pursue a career in research, and (2) quality of the overall environment to prepare the applicant for a research career.

93.286 DISCOVERY AND APPLIED RESEARCH FOR TECHNOLOGICAL INNOVATIONS TO IMPROVE HUMAN HEALTH FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Section 401(b)(1) & 464z.

OBJECTIVES:

To support hypothesis-, design-, technology-, or device-driven research related to the discovery, design, development, validation, and application of technologies for biomedical imaging and bioengineering. The program includes biomaterials (biomimetics, bioprocessing, organogenesis, rehabilitation, tissue engineering, implant science, material science, interface science, physics and stress engineering, technology assessment of materials/devices), biosensors/biotransducers (technology development, technology assessment, development of algorithms, telemetry), nanotechnology (nanoscience, biomimetics, drug delivery systems, drug bioavailability, microarray/combinatorial technology, genetic engineering, computer science, technology assessment), bioinformatics (computer science, information science, mathematics, biomechanics, computational modeling and simulation, remote diagnosis and therapy), imaging device development, biomedical imaging technology development, image exploitation, contrast agents, informatics and computer sciences related to imaging, molecular and cellular imaging, bioelectrics/biomagnetics, organ and whole body imaging, screening for diseases and disorders, and imaging technology assessment and surgery (technique development and technology development).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Research Grant funds may be used for salaries, consultation, equipment, travel and other usual costs, subject to Federal regulations applicable to the grant. Individual National Research Service Awards (NRSAs) are made directly to individuals for research training in specified areas related to the mission of NIBIB. Institutional NRSAs may be made to institutions to enable them to accept individuals for research training. Each individual who receives a NRSA postdoctoral fellowship or traineeship is obligated upon termination of the award to comply with certain service and payback provisions. Small Business Innovation Research (SBIR) Phase I grants are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Only Phase I awardees are eligible to apply for Phase II support. Small Business Technology Transfer (STTR) Phase I grants are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application. Indirect costs are paid at negotiated rates for most grant mechanisms. Exceptions are: conference grants (R13 and U13) receive no indirect costs; Training (T), Fellowship (F), and Career (K) awards receive an 8% indirect cost rate; R25 grants receive an 8% indirect cost rate; and SBIR/STTR grants are limited to a 40% indirect cost rate unless the grantee organization negotiates a higher rate.

Applicant Eligibility:

Any corporation, public or private institution or agency, or other legal entity, either nonprofit or for-profit, may apply for a research grant. An applicant for an individual NRSA must be a citizen of the United States or lawfully admitted for permanent residence. Those on temporary or student visas are not eligible. An eligible institution for an institutional NRSA must be capable of providing predoctoral and/or postdoctoral research training opportunities for engineers, bioengineers or other. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit; are not dominant in the field in which research is being proposed; and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II,

the research must be performed in the United States or its possessions. To be eligible for funding, a grant application must be reviewed for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Credentials/Documentation: Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. For an individual NRSA, the applicant's academic record, research experience, citizenship, and institutional sponsorship should be documented in the application. For an institutional NRSA, the applicant organization must show the objectives, methodology and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for awards, and detailed budget justification for the amount of grant funds requested. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Use of SF424 (R&R) Adobe Version B series or PHS 398 (Rev. 11/2007), and associated forms are required for all applications submitted to the NIH. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application Forms SF424 and PHS 398 (Rev. 11/2007) are the standard forms. Application kits are available on-line at <http://grants1.nih.gov/grants/oe.htm>. Completed applications should be submitted via Grants.gov (<http://www.grants.gov>) or to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. The standard application forms, as furnished by PHS and required by 45 CFR Part 92 for State and local governments, must be used for this program. The same application form will be used for all types of applications including training (NRSA), both individual and institutional, and SBIR/STTR. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. SBIR and STTR grant applications should be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 Research and Related (R&R) forms and the SF424 (R&R) SBIR/STTR Application Guide.

Award Procedure:

All applications for research or training are reviewed for scientific merit by an appropriate initial review group and then by a national advisory council. All applications favorably recommended compete for available funds on the basis of scientific merit and program emphasis. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Research Grants: From 6 to 9 months. NRSA: From 6 to 9 months. SBIR/STTR: About 7.5 months.

Appeals:

From 30 to 60 days. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page

<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

> 180 Days. Research Grant applications submitted for renewal are reviewed and selected for funding on a competitive basis. NRSA awards may be made for 1 to 5 years. No individual may receive more than 5 years of aggregate NRSA support beyond the predoctoral level, and no individual may receive NRSA support for more than 3 years of support beyond the postdoctoral level. Institutional awards may be renewed.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Research Grant awards are usually made annually with no project periods to exceed 5 years in length. NRSA Institutional awards may be made for up to 5 years, and Individual awards may be made for up to 5 years at the predoctoral level, 3 years at postdoctoral level, and 2 years at senior level. Normally SBIR and STTR Phase I awards are for 6-12 months and Phase II awards are for up to 2 years. See the following for information on how assistance is awarded/released: Normally, awards are released each fiscal year.

Reports:

No program reports are required. Cash reports are not applicable. Annual Progress Reports are required. Instructions are found: <http://grants1.nih.gov/grants/guide/notice-files/NOT-OD-09-139.html>. Annual financial status reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For nongovernmental grant recipients, audits are to be carried out in accordance with the provisions of 2 CFR 200. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0898-0-1-552.

Obligations:

(Project Grants (Discretionary)) FY 16 \$27,998,000; FY 17 est \$292,486,000; and FY 18 est \$225,680,000

Range and Average of Financial Assistance:

FY16 Actual Range: \$1,467 - \$2,242,720; Avg.: \$389,944
FY17 estimate Range: \$234 - \$2,241,498; Avg.: \$382,835
FY18 estimate Range: \$3,000 - \$1,899,165; Avg.: \$375,507.

TAFS Codes:

75-17-0898.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 718. Fiscal Year 2017: 764 awards are anticipated. Fiscal Year 2018: 601 awards are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 75; 45 CFR 92; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institute of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Program Contact: Christine Kelley, PhD, National Institute of Biomedical Imaging and Bioengineering, National Institutes of Health, 6707 Democracy Blvd., Bethesda, MD 20892. Telephone Number: (301) 451-4778. Facsimile: (301) 480-4973. Business Contact: Joe Mosimann, National Institute of Biomedical Imaging and Bioengineering, National Institutes of Health, 9000 Rockville Pike, Bethesda, MD 20892. Telephone Number: (301) 451-4247. Facsimile: (301) 480-4530. Use the same numbers for FTS.

Headquarters Office:

David T. George, Ph.D. 6707 Democracy Boulevard, Bethesda, Maryland 20892 Email: georged@nih.gov Phone: 3014969474 Fax: 3014800675

Website Address:

<http://http://www.nibib.nih.gov>

RELATED PROGRAMS:

93.113 Environmental Health; 93.121 Oral Diseases and Disorders Research; 93.172 Human Genome Research; 93.173 Research Related to Deafness and Communication Disorders; 93.242 Mental Health Research Grants; 93.273 Alcohol Research Programs; 93.279 Drug Abuse and Addiction Research Programs; 93.361 Nursing Research; 93.394 Cancer Detection and Diagnosis Research; 93.395 Cancer Treatment Research; 93.396 Cancer Biology Research; 93.837 Cardiovascular Diseases Research; 93.838 Lung Diseases Research; 93.839 Blood Diseases and Resources Research; 93.846 Arthritis, Musculoskeletal and Skin Diseases Research; 93.847 Diabetes, Digestive, and Kidney Diseases Extramural Research; 93.853 Extramural Research Programs in the Neurosciences and Neurological Disorders; 93.855 Allergy, Immunology and Transplantation Research; 93.856 Microbiology and Infectious Diseases Research; 93.859 Biomedical Research and Research Training; 93.865 Child Health and Human Development Extramural Research; 93.866 Aging Research; 93.867 Vision Research; 93.879 Medical Library Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) Significance: Does this study address an important problem? If the aims of the application are achieved, how will scientific knowledge be advanced? What will be the effect of these studies on the concepts or methods that drive this field? (2) Approach: Are the conceptual framework, design, methods, and analyses adequately developed, well-integrated, and appropriate to the aims of the project? Does the applicant acknowledge potential problem areas and consider alternative tactics? (3) Innovation: Does the project employ novel concepts, approaches or method? Are the aims original and innovative? Does the project challenge existing paradigms or develop new methodologies or technologies? (4) Investigator: Is the investigator appropriately trained and well suited to carry out this work? Is the work proposed appropriate to the experience level of the principal investigator and other researchers (if any)? (5) Environment: Does the scientific environment in which the work will be done contribute to the probability of success? Do the proposed experiments take advantage of unique features of the scientific environment or employ useful collaborative arrangements? Is there evidence of institutional support? (6) the appropriateness of the proposed budget and duration in relation to the proposed research; (7) the relevance and importance to announced program objectives; (8) the adequacy of plans to include both genders, minorities and their subgroups, and children as appropriate for the scientific goals of the research. Plans for the recruitment and retention of subjects will also be evaluated; (9) the

adequacy of the proposed protection for humans or the environment, to the extent they may be adversely affected by the project proposed in the application; and (10) the adequacy of the proposed plan to share data, if appropriate. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.288 NATIONAL HEALTH SERVICE CORPS SCHOLARSHIP PROGRAM

NHSC Scholarship Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 338A of the Public Health Service Act (42 USC 254I), as amended; Section 331(i) of the Public Health Service Act (42 USC 254d) as amended; and Sections 338C-H of the Public Health Service Act (42 USC 254m-q), as amended; Medicare Access and CHIP Reauthorization Act of 2015 (P.L. 114-10).

OBJECTIVES:

The National Health Service Corp (NHSC) Scholarship Programs objective is to increase the supply of primary care physicians, dentists, certified nurse midwives, primary care nurse practitioners, and physician assistants and, if needed by the National Health Service Corps, other health professionals in Health Professional Shortage Areas (HPSAs) within the United States by providing service-obligated scholarships to health professions students.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Service-obligated scholarships for full-time students of allopathic (M.D.) and osteopathic (D.O.) medicine, dentistry, primary care nurse practitioner, nurse midwifery, and primary care physician assistants. Other health disciplines may be included if needed by the National Health Service Corps. Scholarships pay tuition and required fees to the school, a monthly stipend to students for living expenses and a single annual payment to the student to assist with other reasonable educational expenses. Reasonable expenses may include books, supplies, equipment, uniforms, travel for the provision of clinical services, etc. The program requires 1 year of service for each year of scholarship support, with a minimum 2-year service commitment after graduation, post graduate training and/or licensure. A maximum of 4 years of support may be awarded. Service sites are selected by the scholarship recipient from the list of high priority sites in HPSAs identified by the NHSC.

Refer to the NHSC Scholarship Program Guidance for specific requirements. (<http://nhsc.hrsa.gov/downloads/spapplicationguide.pdf>).

Applicant Eligibility:

At the time of application, the applicant must be a U.S. citizen or national. The

applicant must be enrolled or accepted for enrollment in an accredited school in a State, the District of Columbia, or a U.S. Territory as a full-time student in a course of study leading to a health professional degree. The applicant must be eligible for Federal employment, be free of any Federal judgment liens, not be excluded, debarred, suspended or disqualified by a Federal agency, and have no conflicting service obligation. The applicant must submit an application and a signed contract to the National Health Service Corps agreeing to accept payment of scholarship and provide full-time primary health services in a Health Professional Shortage Area.

Beneficiary Eligibility:

U.S. citizens or nationals enrolled or accepted for enrollment in an accredited school in a State, the District of Columbia, or a U.S. Territory in a course of study leading to an eligible health professional degree.

Credentials/Documentation:

Applicants should review the NHSC Scholarship Application and Program Guidance on the HRSA website issued under this CFDA program for additional information such as required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants may apply online at <https://programportal.hrsa.gov>.

Award Procedure:

All qualified applications are forwarded to an objective review committee and scored numerically. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification to successful and rejected applicants is made no later than September 30th of the application year.

Appeals:

Not Applicable.

Renewals:

Renewal applications are not necessary for students in compliance; contracts may be multi-year funded through graduation (1 to 4 years, if the applicant signs the contract through the graduation date).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each award covers from 1 to 4 years of support, as requested. No awardees may receive more than 4 years of support: Tuition and fees are paid directly to the recipients school. See the following for information on how assistance is awarded/released: <http://nhsc.hrsa.gov/scholarships/index.html>. Stipends and payments for other reasonable costs are released through Direct Deposit to recipients accounts through PSC.

Reports:

Annual reports by schools indicating average student educational expenses are required. The academic institution where the student is enrolled must complete and submit a Verification of Enrollment Form each semester.

The employing facility must complete and send a semi-annual In-Service Verification form verifying that the participating health professional is

providing service. The certification includes a signature by an appropriate official of the facility. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Current Data Available.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see application process at <http://nhsc.hrsa.gov/>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

National Health Service Corps Scholarship Program, Division of National Health Service Corps, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services 5600 Fishers Lane, Room 14N56, Rockville, Maryland 20857 Phone: (301) 594-4400

Website Address:

<http://nhsc.hrsa.gov/scholarships/index.html>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.165 Grants to States for Loan Repayment Program; 93.303 Nursing Scholarship Program; 93.547 Affordable Care Act National Health Service Corps

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

By statute, first priority will be given to any applicant for such assistance, who has previously received a scholarship under this section or under section 758 of the PHS Act. Second priority will be given to students who are from a disadvantaged background, and third priority will be given to all students who have characteristics which increase the probability they will continue professional practice in a Health Professional Shortage Area when they complete their service obligation.

93.289 PRESIDENT'S COUNCIL ON FITNESS, SPORTS, AND NUTRITION

(PCFSN)

FEDERAL AGENCY:

President's Council on Fitness, Sports, and Nutrition, Department of Health and Human Services

AUTHORIZATION:

Executive Order Executive Order 13265, dated June 6, 2002, as amended by Executive Order 13545, dated June 22, 2010.

OBJECTIVES:

There are no funds associated with this announcement. The PCFSN does not have grant-making authority. The objectives of the PCFSN are to expand interest in and awareness of the importance of regular physical activity, fitness, sports participation and good nutrition for Americans of all ages by encouraging the development, improvement, or enhanced coordination of programs that promote healthy lifestyles. These objectives are accomplished by

providing consultation and technical assistance, creating partnerships with public, private and non-profit organizations, releasing publications and maintaining a web presence (via web site and social media). The PCFSN does not have authority to make federal funds available to provide assistance.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Developing programs and providing public information on physical activity, fitness, sports, and nutrition.

Applicant Eligibility:

General public.

Beneficiary Eligibility:

General public. The PCFSN provides programs and public information on physical activity, fitness, sports, and nutrition programs. The PCFSN has no grant-making authority.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The PCFSN has no funds available to offer any type of financial assistance. However, requests for technical assistance and/or advisory services can be submitted to the Council in writing or orally. This program is excluded from coverage under E.O. 12372.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable. The PCFSN has no grant making authority.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 16 \$707,000; FY 17 est \$668,000; and FY 18 est \$827,480 - Funds are appropriated to the PCFSN to support program operations, including activities of the Council. The PCFSN has no authority to provide financial assistance. The PCFSN has no grant-making authority.

Range and Average of Financial Assistance:

Not applicable. The PCFSN has no grant-making authority.

TAFS Codes:

75-0120.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, the Council proposed a partnership with the U.S. Department of Agriculture (USDA) to incorporate Presidential Active Lifestyle Award (PALA+) and Presidential Champions into the USDA SuperTracker interactive platform. Incorporation of the PALA+ and Presidential Champions programs into SuperTracker was completed in March 2017.

In FY 2016, the PCFSN exceeded its goal of implementing the I Can Do It, You Can Do It! (ICDI) program in 100 sites by 2018. ICDI also recruited and trained more than 200 stakeholders in schools and communities to help facilitate health promotion programs that serve people with disabilities.

The PCFSN continued to promote the Presidential Youth Fitness Program (PYFP), administered by the Council's congressionally-chartered foundation, the National Foundation on Fitness, Sports, and Nutrition (NFFSN). PYFP provides a model for fitness education that includes a health-related fitness assessment, educational tools and recognition items to support a quality physical education curriculum.

In FY 2016, the Council promoted the release of the Dietary Guidelines for Americans to encourage the adoption of nutritious eating habits to reduce obesity and prevent chronic diseases like Type 2 diabetes, hypertension, and heart disease

In honor of 60th Anniversary of the PCFSN, the Council launched the #0to60 initiative to inspire all Americans to lead a healthy, active lifestyle. Through the initiative, the Council engaged parents and caregivers, educators, partners and other audiences to celebrate and promote participation in physical activity programs, as well as setting and reaching their healthy eating goals. The Council worked with national and local partners to develop a strategy to cross-promote #0to60 messaging to diverse consumer audiences. Fiscal Year 2017: In FY 2017, the PCFSN continued to enhance and expand its physical activity and nutrition programs, including ICDI, PALA+, Presidential Champions, and Sports for All. In addition, the Council will focus on promoting its 60th Anniversary through the #0to60 public engagement campaign, to inspire Americans of all ages, backgrounds and abilities to be active and eat healthy every day. In addition, the Council will continue its promotion of the PYFP, administered by the NFFSN.

The Council continues to address health disparities and improve disability inclusion in physical activity and sports through the expansion and evaluation of its ICDI program. ICDI facilitates and encourages opportunities for all Americans, regardless of ability or age, to lead a healthy lifestyle that includes regular physical activity and good nutrition. The original goal of ICDI when it was established in 2013 was to facilitate access and opportunities for children and adults with disabilities in at least 100 sites across the nation by 2018, adding at least 20 sites each year.

In FY 2017, ICDI accomplishments included:

- 1) Establishing 131 ICDI sites in 36 states, impacting 400,000 individuals with disability and their families.
- 2) Securing a partnership with the HHS Office on Womens Health to leverage ICDI as a vehicle to engage more girls and women with disabilities in physical activity and sport opportunities, which will begin in FY 2018.

Also in FY 2017, PCFSN entered into a partnership with the U.S. Department of Agriculture Center for Nutrition Policy & Promotion to integrate the PALA+ and Presidential Champions programs into the SuperTracker physical activity and food tracking tool. SuperTracker impacts millions of registered users in all 50 U.S. states and territories, and provides the PCFSN with the opportunity to expand the tracking capabilities for both programs, provide a mobile-ready interface, and ensure compliance with Section 508, making each program more accessible and engaging to a greater number of Americans of all ages and abilities.

In collaboration with HHS Office of Disease Prevention and Health Promotion (ODPHP), the Centers for Disease Control and Prevention, and the National Institutes of Health, the PCFSN is co-leading the development of the second edition of the Physical Activity Guidelines for Americans (PAG), which is expected to be released at the end of CY 2018. The PAG is an essential resource for health professionals and policymakers. They serve as the primary, authoritative voice of the federal government for evidence-based strategies for the public, as well as healthcare providers, policymakers, communities, schools and other key stakeholders to promote physical activity and health lifestyles for Americans.

Additional information on the Councils programs and initiatives can be found on the PCFSN website: www.fitness.gov. Fiscal Year 2018: In FY 2018, the PCFSN will advance HHS childhood obesity prevention priority, in particular, through the enhancement and promotion of new and existing physical activity and nutrition programs, including ICDI, PALA+, and Presidential Champions. In FY 2018, PCFSN will ramp up its efforts to educate, engage, and empower all Americans, especially youth in the school and community settings, to lead an active, healthy lifestyle. In addition, PCFSN will deploy its Council members as leaders in the fields of sport, fitness and nutrition to encourage all Americans to prioritize their health and to support efforts in communities across America to improve fitness and healthy eating opportunities for all children and families.

The PCFSN will continue to work with the ODPHP to lead the development of the second edition of the PAG. In addition to the coordination of the PAG Advisory Committee, the PCFSN will also implement and expand key programs and initiatives that support the PAG, and promote the widespread adoption of the 2018 PAG when released. This effort will include a national outreach strategy to promote the recommendations of the 2018 PAG.

In FY2018, in partnership with the HHS Office on Womens Health, the PCFSN will expand opportunities for women and girls with disability through ICDI. Activities will include:

- 1) Identifying and coordinating with national organizations that serve girls and women with and without disabilities to increase opportunities for girls and women with disabilities to participate in the ICDI program
- 2) Supporting strategic outreach and engagement via a communications campaign to promote the importance of equal access of opportunities for women and girls with disabilities in physical activity, fitness, and sports; increase the visibility of girls and women athletes with disability; share resources with stakeholders to simplify program implementation; and encourage all women and girls, with an emphasis on those with disability, to lead healthy, active lives.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Shannon Feaster, President's Council on Fitness, Sports, and Nutrition; Department of Health and Human Services; Tower Building, Suite 560; 1101 Wootton Parkway; Rockville, MD 20852; Telephone: (240) 276-9567; Fax: (240) 276-9860. Email address: shannon.feaster@hhs.gov.

Headquarters Office:

Shannon Feaster Tower Building, Suite 560
1101 Wootton Parkway, Rockville, Maryland 20852 Email:
shannon.feaster@hhs.gov Phone: (240) 276-9567 Fax: (240) 276-9860

Website Address:

<http://www.fitness.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.290 NATIONAL COMMUNITY CENTERS OF EXCELLENCE IN WOMEN'S HEALTH

Coalition for a Healthier Community (CHC)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Section 1703(a) of the Public Health Service Act., 42 U.S.C 300u et seq.

OBJECTIVES:

Phase I

1. Provide 1 year of financial and technical support to an existing wellness coalition to develop a strategic action plan and implementation plan to reduce gender based health disparities in targeted communities.
2. Enhance collaborative working partnerships among health organizations, community based and faith based organizations, public and private academic institutions, hospitals, medical groups and practices.
3. Enhance consumer and provider awareness of gender-based health issues in the community;
4. Increase the capacity and strengthen infrastructure among local public health organizations to increase the coordination of services to better serve women and girls

Phase II

5. Provide up to 5 years of financial and technical support for implementation of the strategic action health plan.
6. To develop and implement a health intervention plan which will be sustained after OWH funding ends.
7. To use a public health systems approach to improve on two or more identified health issues affecting women and/or girls.
8. Improve surveillance/information systems that allow tracking of program progress on gender based morbidity/ mortality objectives at the grantee level.
9. Reduce the incidence and prevalence of adverse health outcomes among women girls in local communities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to implement evidence-based strategies to program objectives.

Phase II: to implement the approved strategic plan and evaluate the effectiveness of the program. Funds may not be used for construction, building alterations, equipment, printing, food, and medical treatment.

Applicant Eligibility:

Eligible applicants include public and private non profit organizations (public and private academic institutions and hospitals); community-based and faith-based organizations, medical groups/practices and State, city, county, and local health departments, tribes and tribal organizations with expertise/experience in the proposed objectives. The applicant must be part of an existing coalition. At least a third of the partners should have demonstrated experience addressing gender differences through appropriate interventions, programs, or research related to the selected objectives.

Phase II: Eligible applicants are limited to Phase I grantees.

Beneficiary Eligibility:

Women and girls across the life span.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. All available grant opportunities are posted on www.grants.gov.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be ineligible will be returned to the applicant. Accepted applications will be reviewed for technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of independent experts. Funding decisions will be made by the OWH and will take into consideration the recommendations of the review panel; program needs; stated preferences; and geographic location. Applicants approved and funded will be issued a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 90 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

> 180 Days.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For Phase I, project period is not to exceed 1 year.

For Phase II, project period is not to exceed 5 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Progress reports are due quarterly and the end-of-year report is due 30 days after the close of the project period. Grantees must submit quarterly SF425 Federal Financial Reports to Payment Management Services due on one of the standard due dates on which cash reporting is required or at the end of a calendar quarter. The FFR is due 30 days after the end of each calendar quarter. For Phase I and Phase II, the applicant will submit quarterly progress reports. The purpose of the progress reports is to provide accurate and timely program information to program managers and to respond to Congressional, Departmental, and public requests for information about the program. Grantees must submit quarterly SF425 Federal Financial Reports to the Office of Grants Management 30 days after the end of each calendar quarter and an annual FFR 90 days after the end of the budget period/project period end date. Reports are to be submitted electronically through GrantSolutions. A competing renewal application must be submitted.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings. HHS and the Comptroller General of the United States or any of their designated authorized officials shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. Grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and

resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 Not Available(Exp: no grants funded); FY 17 Estimate Not Available; and FY 18 Estimate Not Available - Grant program last funded in FY '15; program ended 8/31/2016.

Range and Average of Financial Assistance:

Grant program last funded FY '15; program ended 8/31/16.

TAFS Codes:

75-09-1.6-3.0-42.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Ms. Stephanie Alexander
DHHS, OWH, DPM
Hubert Humphrey Building, 733E
200 Independence Avenue, SW
Washington, DC 20201
Phone : (202) 401-9546
Fax: (202) 401-4005
Email: stephanie.alexander@hhs.gov.

Headquarters Office:

Brenda C. Donaldson Tower Building Suite 550, 1101 Wootton Parkway,
Rockville, Maryland 20852 Email: Brenda.Donaldson@hhs.gov Phone: (240)
453-8822.

Website Address:

<http://www.womenhealth.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

To be considered for review, applications must be received by the Office of The Assistant Secretary for Health, Office of Grants Management, c/o LCG by 5:00 p.m. Eastern Time on the due date published in the Dates section of the FR. Applications will be screened upon receipt. Those that are judged to be in compliance will be reviewed for technical merit by a technical panel composed of experts with experience with sex and gender programs, program management, program delivery, outreach, health education and program assessment in accordance with DHHS policy. The technical review of the applications will consider the following factors for Phase I:

- Factor 1: Background and Summary (10 points)
- Factor 2: Coalition and Capacity Plan (20 points)
- Factor 3: Management Plan (25 points)
- Factor 4: Target Population (15 points)
- Factor 5: Evaluation Plan (20 points)
- Factor 6: Sustainability Plan (10 points)

The technical review of the applications will consider the following factors for Phase II:

- Factor 1: Background and Need (20 points)
- Factor 2: Implementation Plan (25 points)
- Factor 3: Management Plan (20 points)
- Factor 4: Evaluation Plan (20 points)
- Factor 5: Sustainability Plan (15 points)

Details regarding the elements to be addressed within each Factor are available in the FR notice.

93.291 SURPLUS PROPERTY UTILIZATION

(Federal Real Property Assistance Program)

FEDERAL AGENCY:

Program Support Center, Department of Health and Human Services

AUTHORIZATION:

Federal Property and Administrative Services Act of 1949, Section 203(k), Public Law 81-152, 40 U.S.C. 550., Section 203(k), Public Law 81-152, 101 Stat. , 40 U.S.C 550; Stewart B. McKinney Homeless Assistance Act of 1987, Section 501, Public Law 100-77, 101 Stat. 509, 42 U.S.C 11301, as amended by Federal Assets Sale and Transfer Act of 2016, Public Law 114-287.

OBJECTIVES:

To convey or lease all surplus Federal real properties made available by the disposal agency which are needed and usable by eligible organizations and institutions to carry out health programs, including homeless assistance programs.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Real property must be used for eligible health purposes including research. It may consist of land with or without buildings and other improvements or buildings only. A discount of up to 100 percent based on the proposed program use is granted. This discount applied against the fair value of the property, is earned by approved use over a prescribed period of 30 years for land with or without improvements, and a lesser time for leased facilities and improvements which are transferred without land. Allowance of less than 100 percent requires payment of the difference in cash at the time of conveyance. Property must be used for the purpose for which conveyed, and may not be sold, leased, mortgaged, or encumbered without consent of the Department. Property must be used for the approved purpose for a specific period of time (i.e., 30 years); property can not be sold, encumbered, mortgaged, etc. without prior approval office; grantee required to submit annual utilization reports; grantee must not discriminate in its use of the property; etc.

Applicant Eligibility:

States, their political subdivisions and instrumentalities; tax-supported public health institutions, and nonprofit institutions which (except for institutions which lease property to assist the homeless under Title V of Public Law 100-77) have been held exempt from taxation under Section 501 (c) (3) of the 1986 Internal Revenue Code.

Beneficiary Eligibility:

Anyone attending, working with or for, or served by the eligible applicants. Examples of potentially eligible use programs are hospitals, public health clinics, water and sewer systems, institutions for the rehabilitation of mentally or physically disabled, health research institutions, homeless assistance facilities, and other institutions with basic health programs.

Credentials/Documentation:

Applicants must demonstrate current need for properties they request and the ability to carry out the proposed program. Applicants must also provide documentation of its authority to hold title to real property and evidence of tax-exempt status. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Notice of availability of surplus real property is sent by the U.S. General Services Administration or the U.S. Department of Housing and Urban Development, to all known potentially interested institutions. When applying for real property, an informal pre-application conference either in person or by telephone is recommended. At that time, consultation and assistance are available to aid in the preparation of an application; but, only to address general application questions, not questions specific to a proposed program. Additionally, it is advisable that an applicant participate in a site tour of the property provided by the disposal agency. Applicants are required to submit factors for consideration of potential environmental impact, in accordance with the Environmental Questionnaire furnished with the application instructions. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform

Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants interested in acquiring Federal real properties must contact Real Property Management Services, REL, PSC, DHHS.

Award Procedure:

Real property is awarded to the applicant whose program(s) of use are determined to be in the highest public interest. Land (with or without improvements) is conveyed by quitclaim deed or lease; buildings for off-site removal are by agreement of sale.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Normally, approval or disapproval is made within 30 days after a completed application has been submitted for real property. For properties to assist homeless individuals under Title V of the McKinney Act, approval or disapproval of an initial application is made within 10 days after a complete, initial application is received; approval or disapproval of a final application is made within 15 days after a complete, final application is received.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Deed restrictions run for a period of 30 years and Agreements of Sale are normally for 5 years, after which the transferee has clear title to the property. Restrictions on leased property run for the period of the lease which will not extend beyond a total of a 20 year period. See the following for information on how assistance is awarded/released: Via deed or lease.

Reports:

Transferee is required to submit an annual utilization report on the operation and maintenance of the property. Cash reports are not applicable. Progress reports are only required on a case-by-case basis. Expenditure reports are not applicable. HHS program office conducts periodic site visits and requires the submittal of annual utilization report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department's Audit agency makes periodic audits of the headquarters operation and may make spot checks of the utilization by real property transferees.

Records:

Transferees of real property, who expend funds to rebuild, refurbish, or to otherwise improve property under restrictions, should maintain records of these costs in the event they wish to dispose of the property prior to termination of the restriction period.

Account Identification:

75-4552-0-1-551.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 45, Subtitle A, Part 12, Disposal and Utilization of Surplus Real Property for Public Health Purposes. Literature: The following pamphlet is available from Real Property Services: "How to Acquire Federal Surplus Real Property for Public Health Purposes," at no charge.

Regional or Local Office:

None.

Headquarters Office:

Theresa M. Ritta 7700 Wisconsin Ave., Suite 8216, Bethesda, Maryland 20814
Email: theresa.ritta@psc.hhs.gov Phone: (301) 443-2265

Website Address:

<https://www.psc.gov/additional-resources/real-property-management>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications must establish eligibility of the institution, its programs, and the proposed use to meet the program needs. A public benefit allowance formula, uniformly applied, determines the respective benefits of each program. Basic allowance of 50 percent is allowed to institutions meeting the following: (1) Proof of current need; (2) ability to operate and maintain; (3) suitability of facilities or adaptability for conversion; (4) requirement for utilization through period of restrictions; and (5) nondiscrimination because of race, color, sex, age, handicap, or national origin. Additional allowances are made for tax support, accreditation, hardship, integrated research, outpatient services, public services, and training programs. For competing programs, the one showing the greatest public benefit is selected. Where property can be divided, as many compatible programs as possible are accommodated.

93.292 NATIONAL PUBLIC HEALTH IMPROVEMENT INITIATIVE

National Public Health Improvement Initiative (NPHII) - Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance; CDC-RFA-CD10-1011

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Section 301(a) of the Public Health Service Act, 42 U.S.C. Sections 241, as amended.

OBJECTIVES:

The purpose of the program is to provide support for accelerating public health accreditation readiness activities; to provide additional support for performance management and improvement practices; and, for the development, identification and dissemination of evidence-based policies and practices (i.e., best and promising practices.).

This program supports the Healthy People 2020 focus area of addressing Public Health Infrastructure (<http://www.healthypeople.gov/hp2020/>).

Cross-jurisdictional (state, local, tribal, territorial, regional, community, and border) collaborations are encouraged to increase the impact of limited resources, improve efficiency, and to leverage other related health reform efforts/projects.

Measurable outcomes of the program align with the following performance goals:

- 1) Increased efficiencies (saving time/money) of program services and/or operations,
- 2) Increased effectiveness (use of evidence-based policies and practices) Improved health outcome is a example of increased effectiveness., and,
- 3) Increased readiness for applying to and achieving accreditation by the Public Health Accreditation Board PHAB. (More information on accreditation

activities can be found on the PHAB web site at <http://www.phaboard.org/>.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Grantees may use funds provided for allowable direct and indirect costs including personnel, supplies, etc. that advance the following activities:

1. Implementation of relevant and essential activities to accelerate the agencies accreditation readiness. This includes- but is not limited to- applying for and achieving national accreditation which is entirely voluntary.
2. In addition, grantees will complete one or more of the following activities that advance their ability to meet or conform with the national Public Health Accreditation Board (PHAB) standards*. Recipients may not use funds for research.

* Recipients may not use funds for clinical care.

* Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

* Awardees may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

* The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

* Reimbursement of pre-award costs is not allowed.

* It is imperative for applicants to identify the relevant funding opportunity that most closely aligns to the nature of the proposed work in order for the application to be competitive and for post award activities to remain aligned to the original proposal. Applicants are reminded that CDC is prohibited from supporting the same project or activity from more than one funding opportunity announcement (FOA).

Applicant Eligibility:

This award will be a continuation of funds intended only for grantees previously awarded under CDC-RFA-CD10-101101PPHF12.

Beneficiary Eligibility:

State health departments, large local health departments supporting cities with populations of 1 million or more inhabitants, the District of Columbia, U.S. Territories, tribal health organizations and the general public.

Credentials/Documentation:

OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Award Procedure:

A review will be conducted to evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

This is applicable for renewal upon successful reporting.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant period is 5 years from September 30, 2010-Sept 29, 2015. Grant funds are to be obligated in the budget year specified i.e., September 30, 2013-Sept 29, 2014 for this continuation award. Method of awarding/releasing assistance: lump sum.

Reports:

Interim progress reports, project plans and annual reports are required by program. In addition reporting for annual and baseline program evaluation and reporting is required. Finally financial reporting to comply with FY13 appropriation act is required. FFR (Federal Financial Reports) are required annually. Interim and annual progress reports are required. No expenditure reports are required. This is accomplished via completion of mid and annual reporting described above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceeded \$500,000). the grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-1309-5-9-550.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Because of CFDA changes made mid-FY in FY 2013, this report includes FY13 funding from multiple sources on two FOAs (CD10-1011 and OT13-1302). In FY14, those multiple sources of funding will be allocated to individual CFDA numbers, based on funding type. In FY13, however, funding under this total CFDA was broken out as follows:

- (1) \$14,356,640 in non-PPHF funding for the NPHII Program (CD10-1011).
- (2) \$34,760,824 in non-PPHF funding for the Umbrella Partner Agreement (OT13-1302).
- (3) \$887,945 in non-PPHF funding for the Umbrella Partner Agreement (OT13-1302).

Range and Average of Financial Assistance:

Component I: This amount is based on population and will continue for each year of the cooperative agreement:

Below 1.5 million = \$100,000
1.5 million - 5 million = \$200,000
5 million - 8 million = \$300,000
Above 8 million = \$400,000

Component II: \$1 million - \$2.95 million.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at www.ahrq.gov/fund/hhspolicy

Regional or Local Office:

See Regional Agency Offices. Roberta Erlwein
rx55@cdc.gov.

Headquarters Office:

Andrea W. Wooddall 4770 Buford Hwy, MS E70
, Atlanta, Georgia 30341 Email: ayw3@cdc.gov Phone: 4044986792

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.296 STATE PARTNERSHIP GRANT PROGRAM TO IMPROVE MINORITY HEALTH

State Partnership Initiative to Improve Minority Health

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, as amended, Title XVII, Section 1707 (e)(1), 42 U.S.C. 300u et seq., Title 17, Section 1707, 42 U.S.C 300u et seq.

OBJECTIVES:

To facilitate the improvement of minority health and eliminate health disparities (adult/child immunization, asthma, cancer, diabetes, heart disease and stroke, HIV, infant mortality, and mental health) through the development of partnerships with state and territorial offices of minority health and tribal governments.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are to be used to support the development and implementation of minority-targeted strategies which will facilitate improvement in minority health and address health disparities and gaps. Funds are not to be used for the provision of health care treatment, for construction, or to supplant ongoing project activities.

Applicant Eligibility:

Any state, which includes the District of Columbia, any commonwealth possession, or other territory of the United States. If the applicant is a state, the application must include the state office of minority health/healthy equity (or other state entity with similar function) and the state health agency as partners. Any Federally-recognized or State-recognized American Indian/Alaska Native tribal government or consortium or council. If applicant is a tribe, the application must include a tribal government, consortium or council and an affiliated health agency/ office as partners.

Beneficiary Eligibility:

Targeted populations: Alaskan Natives; American Indians; Asians; Blacks/African Americans; Hispanics/Latinos; Native Hawaiians and other Pacific Islanders; or subgroups of these populations. However, services may not be denied to any individual on the basis of race or ethnicity.

Credentials/Documentation:

Documentation that verifies official status as an established state office of minority health/healthy equity (or other similar entity); and eligible tribes status as a Federally-recognized tribe will be verified through the list published by the

Bureau of Indian Affairs, which may be found at <http://www.bia.gov/cs/groups/webteam/documents/document/idc1-029026-pdf>. Tribes whose status is a State-recognized tribe must include a letter of recognition from their state. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMH Circular No. A-110. Application kits for this Program may be obtained by accessing [Grants.gov](http://www.grants.gov) at <http://www.grants.gov>. Applications are screened upon receipt for completeness, responsiveness and conformance to the program announcement. Those applications judged to be unacceptable based on this initial screening will be returned. Applications may only be submitted electronically via the electronic submission mechanisms specified in the program announcement. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted. Information about [Grants.gov](http://www.grants.gov) system is available on the [Grants.gov](http://www.grants.gov) Web Site.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health will make a final decision on funding projects and a notice of award will be issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 to 120 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. 180 Days. The grant will have a 5-year project period with 12-month budget periods.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants can have a project period not to exceed 5 years, with 12 month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash request basis or under a letter of credit. Necessary instructions regarding payment procedure will be provided at the time the Notice of Grant Award is issued. Method of awarding/releasing assistance: by letter of credit.

Reports:

Progress reports are required quarterly. Grantees are required to submit Quarterly Federal Financial Reports through Payment Management Services. Progress reports are required quarterly. Federal Financial Reports SF425 are due quarterly and a final Federal Financial Report is due 90 days following the end of the project period and must be submitted through GrantSolutions. Grantees are required to submit program performance reports as specified in the funding opportunity announcement. A final performance report is due 90 days

following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Project Grants) FY 16 \$4,150,105; FY 17 est \$4,150,105; and FY 18 est \$4,150,105 - State Partnership Initiative to Address Health Disparities.

Range and Average of Financial Assistance:

Range from \$175,000 to \$200,000.

TAFS Codes:

75-10-10-11-0117.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the application instructions found on www.grants.gov, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact: Office of Minority Health, Division of Program Operations, 1101 Wootton Parkway, Suite 600, Rockville, Maryland 20852. Telephone: (240) 453-2882.

Grants Management Contact: Office of Grants Management, Office of Public Health and Science, Office of the Secretary, Tower Building, Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852. Telephone: (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.minorityhealth.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: State Partnership Initiative \$4,150,105. Fiscal Year 2017: State Partnership Initiative \$4,150,105. Fiscal Year 2018: State Partnership Initiative \$4,150,105.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published on Grants.gov or can be obtained from the Program contact. Listed below are some criteria used to review applications: (1) consistency of projects objectives relevant to the program purpose and the federal Office of Minority Healths mission; (2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; (3) strength of proposed grantee organizations management capability; (4) adequacy of qualifications and experience of proposed personnel; and (5) strength of analysis of potential impact or innovation the project proposes to generate.

93.297 TEENAGE PREGNANCY PREVENTION PROGRAM

Teenage Pregnancy Prevention Program

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Division H, Title II of the Consolidated Appropriations Act, 2014 (Public Law No. 113-76), and the Consolidated Appropriations Act of 2016 (Public Law No. 114-113).

OBJECTIVES:

The purpose of the Office of Adolescent Health Teenage Pregnancy Prevention Program is to support competitive grants to public and private entities to (1) replicate evidence-based teen pregnancy prevention program models that have been shown to be effective through rigorous evaluation and (2) research and demonstration projects to develop and test additional models and innovative strategies to prevent teen pregnancy.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

A wide range of evidence-based programs are eligible for these funds. Funds are available to support competitive grants and contracts to replicate medically accurate and age appropriate programs that have proven effective through rigorous evaluation to reduce teen pregnancy or associated sexual risk behaviors, and to develop and test new and innovative approaches to prevent teen pregnancy. Of the funding available, not more than 10% is available for program support, and of the funds remaining, 75% is to support the replication of evidence-based programs and 25% is to support research and demonstration projects to develop and test new and innovative approaches. Current requirements are outlined in the following documents: 2 CFR 220 (OMB Circular A-21, for Institutions of Higher Education); 2 CFR 225 (OMB Circular A87, for State, Local, and Indian Tribal Governments); 2 CFR 230 (OMB Circular A122, for Nonprofit Organizations); and 45 CFR part 74, Appendix E (Hospitals)..

Applicant Eligibility:

Nonprofit with or without 501C3 IRS status ; For profit organizations; Small, minority, and women owned businesses; Universities and colleges; Research institutions; Hospitals; Community-based organizations; Faith-based organizations; Federally recognized or state-recognized American Indian/Alaska Native tribal governments; American Indian/Alaska Native tribally designated organizations; Alaska Native health corporations; Urban Indian health organizations; Tribal epidemiology centers; State and local governments or their Bona Fide Agents; Political subdivisions of States.

Beneficiary Eligibility:

Teenagers in the US.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply, access the announcement thru www.grants.gov. Enter the CFDA# and follow the website instructions. Applications submitted after the deadlines will not be accepted for review. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via www.grants.gov. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review.

Award Procedure:

An independent review panel will evaluate applications that pass the screening and meet the responsiveness criteria if applicable. These reviewers are experts in their fields, and are drawn from academic institutions, non-profit organizations, state and local government, and Federal government agencies.

Based on the Application Review Criteria as outlined under Section V.1, the reviewers will comment on and score the applications, focusing their comments and scoring decisions on the identified criteria. In addition to the independent review panel, Federal staff will review each application for programmatic, budgetary, and grants management compliance. Final award decisions will be made by the Director of the Office of Adolescent Health.

Deadlines:

Jan 01, 2015 to Apr 01, 2015 OAH funded 84 grantees through the TPP program on July 1, 2015 through June 30, 2018. No additional awards are expected. Continued annual funding for the current grantee beyond the first year of the grant is generally level with initial award amount and is contingent upon the availability of funds, satisfactory progress of the project, and adequate stewardship of Federal funds.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewal awards may be approved pending availability of funding, and evidence of satisfactory progress and compliance and whether the continued funding of the program is in the best interest of the Federal government.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. No matching requirement. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants may not exceed 5 years (subject to the availability of funds). Payments will be made either on a monthly cash request basis or under the Electronic Transfer System. See the following for information on how assistance is awarded/released: Necessary instructions for the appropriate type of payment will be issued at the time an award is made. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees will submit semi-annual progress reports 30 days after the end of each six-month period of performance. Grantees will submit semi-annual reporting of required performance measure data to OAH 30-days after the end of each six-month period of performance (0990-0390). Grantees submit a non-competing continuation application within 90 days before the start of the next project period. A final progress report covering the entire project period is due 90 days after the end of the project period. Quarterly cash reporting to the HHS Payment Management System on the FFR is also required. Please note these FFR reports are separate submissions via the Division of Payment Services. At this time, data is not transferable between the two systems and you will report twice on certain data elements. Progress reports are required for each budget period. Grantees must submit quarterly SF425 Federal Financial Reports to the Office of Grants Management 30 days after the end of each calendar quarter and an annual FFR 90 days after the end of the budget period/project period end date. Reports are to be submitted electronically through GrantSolutions. Performance monitoring is conducted by office staff (project officers) via monthly conference calls, email communication, progress reports, and site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53

and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$101,000,000; FY 17 est \$101,000,000; and FY 18 Estimate Not Available - Fiscal year amounts include total for grants and contracts to support replication of evidence-based teen pregnancy prevention programs and research and demonstration projects to develop and test new and innovative approaches to prevent teen pregnancy, as well as program support costs.

Range and Average of Financial Assistance:

Cohort 1 (FY10- FY14) \$400,000 - \$4,000,000 Average \$1,000,000

Cohort 2 (FY15 FY17) \$400,000 - \$2,000,000 Average \$1,000,000.

TAFS Codes:

75-01-10-11-2001-551.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Continued 2nd year funding for 84 grantees; Grantees served roughly 163,000 youth in 39 States and the Marshall Islands. Fiscal Year 2017: Continued 3rd year funding for 84 grantees; Grantees will continue to serve youth in 39 States and the Marshall Islands and will work on closing out their projects. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

In accepting the grant award, the grantee stipulates that the award and any activities thereunder are subject to all provisions of 45 CFR parts 74 and 92, currently in effect or implemented during the period of the grant or other Department regulations and policies effective at the time of the award. In addition, recipients must comply with all terms and conditions outlined in their grant awards, the Department of Health and Human Services (HHS) Grants Policy Statement, requirements imposed by program statutes and regulations and HHS grant administration regulations, as applicable, as well as any requirements or limitations in any applicable appropriations acts.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Ms. Evelyn Kappeler, Acting Director, Office of Adolescent Health, Office of Public Health and Science, Office of the Secretary, Tower Building, 1101 Wootton Pkwy, Rockville, MD 20852; 240-453-2846.

Headquarters Office:

Eric C. West Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.hhs.gov/ash/oah>

RELATED PROGRAMS:

93.500 Pregnancy Assistance Fund Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Visit the OAH TPP website at: <https://www.hhs.gov/ash/oah/grant-programs/teen-pregnancy-prevention-program-tpp/current-grantees/index.html> for a listing of grantees and an overview of project activities. Fiscal Year 2017: Visit the OAH TPP website at: <https://www.hhs.gov/ash/oah/grant-programs/teen-pregnancy-prevention-program-tpp/current-grantees/index.html> for a listing of grantees and an overview of project activities. Fiscal Year 2018: FY '18 - none.

CRITERIA FOR SELECTING PROPOSALS:

Detailed criteria for assessing applications received in response to Funding Opportunity Announcements for the Teenage Pregnancy Prevention program are included in Section V of the Funding Opportunity Announcement. The specific review criteria vary depending on the Funding Opportunity. An independent review panel will evaluate applications that pass the screening

and meet the responsiveness criteria if applicable. These reviewers are experts in their fields, and are drawn from academic institutions, non-profit organizations, state and local government, and Federal government agencies. Based on the Application Review Criteria as outlined under Section V.1, the reviewers will comment on and score the applications, focusing their comments and scoring decisions on the identified criteria. In addition to the independent review panel, Federal staff will review each application for programmatic, budgetary, and grants management compliance.

Final award decisions will be made by the Director of the HHS Office of Adolescent Health.

93.300 NATIONAL CENTER FOR HEALTH WORKFORCE ANALYSIS

Health Workforce Research Centers; NCHWA HWRC

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 761(c) of the Public Health Services Act (42 U.S.C. 294n), as amended by Section 5103 of the Patient Protection and Affordable Care Act, Public Law 111-148.

OBJECTIVES:

To provide for the development of information describing and analyzing the health care workforce and workforce related issues in order to provide necessary information for decision-making regarding future directions in health professions in response to societal and professional needs. Grants under this CFDA number include the following: Health Workforce Research Centers – to increase the amount of high quality, impartial, policy-relevant research on the health workforce and to assist decision-makers at the federal, state and local levels to better understand health workforce needs to ensure access to high quality, efficient health care.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds can be used to provide for the collecting, analyzing, and reporting of data related to health workforce, including targeted information collection and research on high priority workforce questions, technical assistance to local and regional entities to support the development of a nonfederal analytic and research infrastructure related to health workforce-related issues, and program evaluation and assessment efforts.

Applicant Eligibility:

Those eligible to apply are: state or local governments, a state workforce investment board, public health or health professions schools, schools of medicine, schools of nursing, universities, academic health centers, community-based health facilities, and other appropriate public or private nonprofit entities, including faith based and community based organizations. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Those who will ultimately benefit from these grants are the local, state, and federal legislators, planners, and policy makers, as well as the public, who will receive the information needed to better understand health workforce issues and trends and to make evidenced-based health workforce decisions.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Some cooperative agreements may require a matching requirement for State and local studies; refer to the Notice of Funding Opportunity for specific information.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for between 3 and 5 years. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS), centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient is required to submit 4 or 5 reports each budget year, one for each research study or technical assistance initiative undertaken in that budget year. In addition, there are 4 rapid response projects for each center per budget year that are submitted as requested by the agency. Cash reports are not applicable. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$2,989,303; FY 17 est \$3,522,130; and FY 18 est \$3,132,132 - Not included: SAMHSA IAA for \$900 to fund Behavioral Health Center.

Range and Average of Financial Assistance:

FY15 - \$357,436 to \$570,492; Average award of \$484,111
FY16 - \$433,266 to \$566,650; average award of \$498,217.
FY 17 est. - \$465,366 to \$759,471; average award of \$587,022.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Robin Streeter 5600 Fishers Lane, Room 11N78, Rockville, Maryland 20857
Email: rstreeter@hrsa.gov Phone: 301-443-1304

Website Address:

<https://bhw.hrsa.gov/health-workforce-analysis/research/research-centers>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations using the following review criteria.

(1) The degree to which an applicant specializes in health workforce research. An applicant will be considered as specializing in health workforce research through identification of its mission, health workforce research accomplishments, and dedicated staff to health workforce research; (2) The degree to which the applicant demonstrates state and national experience and accomplishments in health workforce issues and research that align with BHW's mission to improve the health of underserved and vulnerable populations by strengthening the health workforce and connecting skilled professionals to communities in need. Experience, accomplishments, and the potential impact of previous work could be demonstrated through past or currently funded research; publication of articles in peer-reviewed journals; presentations at national and international conferences and meetings; and publication of policy briefs, fact sheets, articles, blogs, etc. that are available in the public domain; (3) The

degree to which the applicant has health workforce research experience relevant to the proposed research project. In terms of workforce discipline-specific studies, the applicant should demonstrate experience in conducting research on the given discipline. For example, for a proposed research project that focuses on a behavioral health workforce issue, the applicant should demonstrate experience in conducting research on behavioral health workforce; and (4) The degree to which the applicant's proposed research includes: a well-developed background statement, clearly stated research objectives, clearly stated project activities and methodology, and a well-developed, reasonable management plan that will enable the successful completion of the project.

93.301 SMALL RURAL HOSPITAL IMPROVEMENT GRANT PROGRAM

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 1820(g)(3)(F)(ii)(I) of Social Security Act (42 U.S.C. 1395i-4 (g) (3), as amended by Section 3129 of the Patient Protection and Affordable Care Act (PPACA), P.L. 111-148.

OBJECTIVES:

To support small rural hospitals in their quality improvement efforts and with adapting to changing payment systems through investments in hardware, software, and related trainings. This includes focusing on delivering value in care as well as activities related to improving Prospective Payment Systems (PPS).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for carrying out the approved projects.

Applicant Eligibility:

The State Office of Rural Health (SORH) in each state will be the official awardee of record, as they will act as a fiscal intermediary for all hospitals within their state. Each SORH will be charged with organizing the distribution of funds to eligible hospitals. Only SORHs are eligible to apply for this grant. Federally-recognized Tribal Government and Native American Organizations are selected if they are eligible to apply.

Beneficiary Eligibility:

Small rural hospitals must meet the following eligibility requirements in order to be eligible for SHIP funds:

Small is a facility with 49 or fewer available beds, as reported on the hospitals most recent Medicare Cost Report.

Rural area is either (a) being located outside of a Metropolitan Statistical Area (MSA); (b) being located within a rural census tract of a MSA, as determined under the Goldsmith Modification or the Rural Urban Commuting Areas; or (c) being treated as if it is located in a rural area pursuant to 42 U.S.C. 1395(d)(8)(E).

Hospital is a non-federal, short-term, general acute care facility. Hospitals may be for-profit or not-for-profit. All Critical Access Hospitals are eligible for the program. In addition, hospitals with fewer than 50 beds located in a designated rural area, designated by any state law or regulation, are eligible. Tribally operated hospitals under Titles I and V of P.L. 93-638 are eligible to the extent that such hospitals meet the above criteria.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to six months.

Appeals:

Not Applicable.

Renewals:

SHIP awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually and payment is made through the DHHS Payment Management System. See the following for information on how assistance is awarded/released: Awardee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are not applicable. Cash reports are not applicable. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

An electronic quarterly Federal Financial Report (FFR) is due to the Division of Payment Management within 30 days of the end of each quarter. A final FFR must be submitted to HRSA via the Electronic HandBooks (EHB) within 90 days of the end of each budget period. Awardees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$14,757,796; FY 17 est \$15,288,642; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Range = \$320,000 to \$340,000. \$332,361 (average award amount).

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Bridget Ware, Federal Office of Rural Health Policy, Room 17W53B, 5600 Fishers Lane, Rockville, MD, 20857, 301-443-3822.

Headquarters Office:

Federal Office of Rural Health Policy 5600 Fishers Lane, Room 17W53-C, Rockville, Maryland 20857 Phone: (301) 443-0835

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated based on: (1) extent to which applicant is responsive to the requirements and purposes of this program; and (2) extent to which application describes need, strategies and investment to address those needs.

93.303 NURSE CORPS SCHOLARSHIP PROGRAM

Nurse Corps Scholarship Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 846, as amended, Nurse Reinvestment Act of 2002, Public Law 107-205.

OBJECTIVES:

The NURSE Corps Scholarship Program's objective is to increase the supply and maldistribution of registered nurses (RN) and nurse practitioners (NPs) in eligible health care facilities across the nation, with a critical shortage of nurses by providing service-obligated scholarships to nursing students.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Service-obligated scholarships are awarded to full-time and part-time students of nursing. Scholarships pay tuition and required fees to the school, a monthly stipend to students and a single annual payment to the student to assist with other reasonable educational expenses that include books, supplies, instruments, and uniforms. The program requires 1 year of service for each year of scholarship support, with a minimum 2-year service commitment post-graduation. A maximum of four years of educational support may be awarded. Service obligation must be fulfilled at an eligible health care facility with a critical shortage of nurses.

Refer to the NURSE Corps Scholarship Program Guidance for specific requirements.

Applicant Eligibility:

The applicant must be a U.S. citizen or national, enrolled or accepted for enrollment in a fully accredited academic institution with a graduate, baccalaureate, associate degree or diploma nursing program located in a State, the District of Columbia, or a U.S. Territory. The applicant must be free of any Federal judgment liens, not have breached a prior service obligation, is not excluded, debarred, suspended, or disqualified by a Federal agency, and have no conflicting service obligations. Financial need is also reviewed as part of the eligibility determination. The applicant must submit an application and a signed contract, agreeing to accept payment of scholarship funds and provide health care services in a health care facility with a critical shortage of nurses for a minimum of two years.

Beneficiary Eligibility:

United States citizens or nationals enrolled or accepted for enrollment in a fully accredited graduate, baccalaureate, associate degree or diploma nursing program.

Credentials/Documentation:

Applicants should review the individual HRSA Application and Program Guidance issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants are required to apply electronically. Applicants submit their applications through <https://programportal.hrsa.gov>.

Please see application process at <http://www.hrsa.gov/loanscholarships/scholarships/Nursing/index.html>

Award Procedure:

All qualified applications are forwarded to an objective review committee and scored numerically. Preference is given to applicants with the greatest financial need. HRSA will make awards in rank order based on funding preference and scores from the objective review committee, until all funds are exhausted. Award notifications are sent by email before October 1 of each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

Notification to all applicants is made no later than September 30th of the application year.

Appeals:

Not Applicable.

Renewals:

Renewal applications are not necessary for students in compliance. Contracts may be multi-year funded through graduation (up to one to four years) if the applicant signs the contract through the graduation date.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Each award covers up to one to four years of educational support, as requested. No awardees may receive more than four years of support. See the following for information on how assistance is awarded/released: Payments are made to individuals via electronic direct deposit.

Reports:

Annual reports by schools indicating annual tuition and fees. The academic institution where the student is enrolled must complete and submit a Verification of Enrollment Form and transcript each school term.

The employing critical shortage facility must complete and send a semi-annual Service Verification Form verifying that the participating nurse is providing full-time or part-time continuous service as a registered nurse (RN) or nurse practitioner (NP). The certification includes a signature by an appropriate official of the facility. No cash reports are required. No progress reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 16 \$24,584,049; FY 17 est \$23,864,826; and FY 18 est \$23,864,826

Range and Average of Financial Assistance:

FY16: average new award was \$105,566. (FY 16 Range \$21,106 - \$262,592).

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see application process at <http://www.hrsa.gov/loanscholarships/scholarships/Nursing/index.html>

All administrative and audit requirements that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

NURSE Corps Scholarship Program, Division of Health Careers and Financial Support, Bureau of Health Workforce (BHW), Health Resources and Services

Administration, Department of Health and Human Service 5600 Fishers Lane, Rockville, Maryland 20857 Phone: (301) 594-4400

Website Address:

<https://bhwh.hrsa.gov/loansscholarships/nursecorps/scholarship>

RELATED PROGRAMS:

93.288 National Health Service Corps Scholarship Program; 93.908 Nursing Education Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As provided in the amendment in section 846(e) of the Public Health Service (PHS) Act, a funding preference is given to eligible applicants with the greatest financial need. NURSE Corps SP will use the Expected Family Contribution (EFC) amount in the Department of Education's Student Aid Report (SAR) from the Free Application for Federal Student Aid (FAFSA) to evaluate financial need.

93.304 RACIAL AND ETHNIC APPROACHES TO COMMUNITY HEALTH

REACH National Networks

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Sections 301(a) and 317(k)(2) of the Public Health Service Act (as amended) [42 U.S.C. Sections 241(a) and 247b(k)(2)].

OBJECTIVES:

The purpose of this program is to fund National Networks to fund, manage, support, and monitor sub-recipients to address health disparities and implement evidence- and practice-based strategies that reduce health disparities for intervention population(s) experiencing high burden of disease or risk factors. The intervention population is the population within the selected geographic area that applicants will reach with proposed program activities. They are to contribute to the development and implementation of a community health action plan to address chronic disease health disparities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, implementing, managing, and coordinating all recipient requirements of the FOA. Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses and activities. CDC will have substantial involvement with the award recipient during performance of the funded activity. * Recipients may not use funds for research.

- * Recipients may not use funds for clinical care.
- * Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.
- * Recipients may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.
- * The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.
- * Reimbursement of pre-award costs is not allowed.
- * If requesting indirect costs in the budget, a copy of the indirect cost rate agreement is required. If the indirect cost rate is a provisional rate, the agreement should be less than 12 months of age. The indirect cost rate agreement should be uploaded as a PDF file with Other Attachment Forms when submitting via Grants.gov.
- * The recommended guidance for completing a detailed justified budget can be found on the CDC Web site, at the following Internet address: <http://www.cdc.gov/od/pgo/funding/budgetguide.htm>.

FY 2012 Appropriations Provision: HHS recipients must comply with all terms

and conditions outlined in their grant award, including grant policy terms and conditions contained in applicable Department of Health and Human Services (HHS) Grant Policy Statements, and requirements imposed by program statutes and regulations and HHS grant administration regulations, as applicable, as well as any requirements or limitations in any applicable appropriations acts.

* Recipients may not use funds for abortions in accordance with Executive Order 13535.

2. Are there restrictions upon the use of the assistance? If you select yes you must describe the restrictions

Description:

* Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses and activities.

* In accordance with U.S. law, no Federal funds provided by CDC are permitted to be used by community grantees for lobbying or to influence, directly or indirectly, specific pieces of pending or proposed legislation at the federal, state, or local levels. The awardee should work with project officer to ensure activities adhere to federal guidelines, and federal dollars are not used to engage in unauthorized activities. Throughout all objectives and activities, the awardee should clarify that the work plan language clearly describes the role, nature, and purpose of the funded activities. This includes providing clear language focusing on the message (e.g., addressing the health risks/effects, using evidence based strategies for increasing protections) when conducting public educational initiatives. In addition, language should be included for proper engagement of elected officials as documented in the federal guidelines. Additionally, awardees should consult appropriate legal counsel to ensure compliance with all rules, regulations, and restriction of any funding sources.

* Title 2 of the United States Code Section 1611 states that an organization described in Section 501(C)(4) of the Internal Revenue Code that engages in lobbying activities is no eligible to receive Federal funds constituting a grant, loan, or award.

* The awardee should refer to the AR-12 and CDC Guidance documents on Anti-Lobbying restrictions for more information on allowable and restricted activities.

* http://www.cdc.gov/od/pgo/funding/grants/additional_req.shtm#ar12

* <http://www.cdc.gov/obesity/downloads/Anti-Lobbying-Restrictions-for-CDC-Grantees-July2012-508.pdf>.

Applicant Eligibility:

In continuation years, eligibility is limited to the six (6) existing original awardees:

- * Asian and Pacific Islander American Health Forum
- * Hidalgo Medical Services
- * National Council of Young Mens Christian Association of the USA
- * National REACH Coalition
- * Regents of the University of California, Los Angeles
- * University of Colorado Denver.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds. OMB Circular No. A-87 applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application. Any application awarded in response to this FOA will be subject to the DUNS, CCR Registration and Transparency Act requirements. Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Project period is 5 years with 12-month budget periods. Applications must be obtained from www.Grants.Gov.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are for 1 to 5 years with 12 month budget periods. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Each funded applicant must provide CDC with an annual Interim Progress Report submitted via www.grants.gov:

1. The interim progress report is due no less than 90 days before the end of the budget period. The Interim Progress Report will serve as the non-competing continuation application, and must contain the following elements:
 - a. Standard Form (SF) 424S Form.
 - b. SF-424A Budget Information-Non-Construction Programs.
 - c. Budget Narrative.
 - d. Indirect Cost Rate Agreement.
 - e. Project Narrative.

Additionally, funded applicants must provide CDC with an original, plus two hard copies of the following reports:

1. Annual progress report, due 90 days after the end of the budget period. Information needed in an annual report includes:
 - a. Progress towards objectives.
 - b. Successes.
 - c. Barriers encountered.
 - d. Technical Assistance and training requested. No cash reports are required.
2. Annual and Final performance and Federal Financial Reports (SF-425)*, no more than 90 days after the end of each budget year and project period. Unobligated funds should be broken down and reported. The annual progress report must contain the following elements:
 - a. Annual Performance Report
 - b. Success Story

These reports must be submitted to the attention of the Grants Management Specialist listed in the Section VII below entitled Agency Contacts. Federal Financial Reports are required 90 days after the end of the calendar quarter in which the budget period ends. Performance Monitoring and Evaluation:

- * Describe performance monitoring plans and how the applicant plans to monitor their own performance and that of sub-recipients on a regular basis. Describe plans to make mid-course corrections as needed.
- * Describe the applicants ability to input performance monitoring information in the CDC performance monitoring system (CD MIS) on a quarterly basis.
- * Describe process to collect cost data (total cost and number of people reached

for each separate intervention) to calculate and report cost per person reached.

* Describe process to collect reach of implementation activities, including demographic characteristics of people reached within the intervention population(s). Describe how the implementation activities will be tracked to capture the percentage of the intervention population(s) reached, including processes and measures.

* Indicate whether additional evaluation activities will be proposed or not. If they are proposed, describe plans for evaluation, including whether process and/or outcome evaluation data will be collected and potential data sources. If any new data will be collected for the purposes of evaluation, indicate willingness to share with CDC in an electronic format suitable for analysis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0094-3-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This FOA will be ending 9/29/2017. This NOFO was given a 1 year extension with funds. funding for FY16 and FY17 was PPHF monies which has a different CFDA#. The last time this number was used was in FY15 to support BA monies for this program.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Robert Bailey 4770 Buford Hwy, MS F-81, Atlanta, Georgia 30341 Email: apu8@cdc.gov Phone: 770-488-8438

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.305 NATIONAL STATE BASED TOBACCO CONTROL PROGRAMS

National Tobacco Control Program

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Section 301(a) of the Public Health Service Act [42] U.S.C. Section 241(a).

OBJECTIVES:

This cooperative agreement program addresses tobacco use and secondhand smoke exposure in the United States and supports four National Tobacco Control Program goals to (1) Prevent initiation of tobacco use among youth and young adults; (2) Eliminate exposure to secondhand smoke; (3) Promote quitting among adults and youth; and (4) Identify and eliminate tobacco related disparities. Achievement of these goals will reduce chronic disease morbidity, mortality, and disability related to tobacco use and secondhand smoke exposure. This cooperative agreement program relates to Healthy People 2020 objectives in the topic area of Tobacco Use. In addition, this cooperative agreement program supports the Government Performance Results Modernization Act Long-term Objective 4.6: Reduce Death and Disability Due to Tobacco and the following measures: Tobacco Measure: 4.6 - Reduce death and disability due to tobacco use; 4.6.2 - Reduce per capita cigarette consumption in the U.S. per adult age 18+; 4.6.3 - Reduce the proportion of adults (age 18 and over) who are current cigarette smokers; 4.6.4 - Increase the proportion of the U.S. population covered by comprehensive state and/or local laws making workplaces, restaurants, and bars 100% smoke free (no smoking allowed, no exceptions); 4.6.5 - Reduce the proportion of adolescents (grade 9-12) who are current cigarette smokers. Furthermore, this cooperative agreement program supports national public health priorities and strategic plans including: (1) Centers for Disease Controls Winnable Battles; (2) Department of Health and Human Services Ending the Tobacco Epidemic and Strategic Plan; (3) National Prevention Councils National Prevention Strategy; (4) Institute of Medicines, Ending the Tobacco Problem; and (5) numerous Surgeon General Reports.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Awardees may not use funds for research or clinical care, to provide direct cessation services or other direct services other than those through evidence-based quitline services; to purchase nicotine replacement therapy or other products used for cessation; to pay for inspection of tobacco retail establishments. Awardees may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. Awardees may only use federal funds for evidence-based tobacco control interventions and strategies. No federal funds from this cooperative agreement may be used to purchase K-12 school curriculum. In most cases, awardees may not use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be clearly identified in the budget. Reimbursement of pre-award costs is not allowed. Awardees may not use funds for any kind of impermissible lobbying activity designed to influence proposed or pending legislation, appropriations, regulations, administrative actions, or Executive Orders (legislation and other orders). These restrictions include grass roots lobbying efforts and direct lobbying. Certain activities within the normal and recognized executive-legislative relationships within the executive branch of that government are permissible. See Additional Requirement (AR) 12 for further guidance on this prohibition. The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project outcomes and not merely serve as a conduit for an award to another party or provider who is ineligible. Awardees may not use funds for research or clinical care, to provide direct cessation services or other direct services other than those through evidence-based quitline services; to purchase nicotine replacement therapy or other products used for cessation; to pay for inspection of tobacco retail establishments. Awardees may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. Awardees may only use federal funds for evidence-based tobacco control interventions and strategies. No federal funds from this cooperative agreement may be used to purchase K-12 school curriculum. In most cases, awardees may not use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be clearly identified in the budget. Reimbursement of pre-award costs is not allowed. Awardees may not use funds for any kind of impermissible lobbying activity designed to influence proposed or pending legislation, appropriations, regulations, administrative actions, or

Executive Orders (legislation and other orders). These restrictions include grass roots lobbying efforts and direct lobbying. Certain activities within the normal and recognized executive-legislative relationships within the executive branch of that government are permissible. See Additional Requirement (AR) 12 for further guidance on this prohibition. The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project outcomes and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

State departments of health are essential for coordinating the public health response to prevent tobacco use and protect the population from second hand smoke exposure. They have experience with implementing evidence-based interventions and strategies that use environment, policy, and system approaches that have the potential to reach large numbers of people in the state. State departments of health have experience working with an array of state and local governmental and non-governmental organizations to leverage resources that can support tobacco control efforts across a number of categorical programs and sectors. State departments of health are uniquely positioned to carry out the activities outlined in this funding opportunity announcement. State departments of health serve as the lead for tobacco control in every state. State departments of health have existing personnel who are able to provide technical assistance based on their area of expertise (e.g., environment, policy, and systems approaches, program planning, implementation, and evaluation, health communication, surveillance and epidemiology, partnership development) which conserves valuable resources. All this infrastructure and capacity can be integrated and employed by state departments of health to: Leverage partnerships to support the implementation of evidence-based interventions and strategies to prevent and control tobacco at the state and local levels; implement policy and programmatic strategies that cut across multiple chronic conditions and that leverage individual categorical efforts and create synergies and efficiencies across multiple state programmatic efforts also funded by CDC, given the high level of co-morbidities among people with chronic diseases and conditions (e.g., obesity, diabetes, hypertension, heart disease and stroke); utilize the public health resources and capacity unique to state departments of health to achieve a comprehensive state-based tobacco control program; develop models that integrate with other state departments of health chronic disease programs affecting similar populations; collect state-wide surveillance data on tobacco use, secondhand smoke exposure, and the populations most affected through data sources that are uniquely available to state departments of health.

Beneficiary Eligibility:

General Public would benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download application forms from www.Grants.gov.

Applications must be submitted electronically through www.Grants.gov.

If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office, Technical Information Management Section (PGO-TIMS) staff. For this, or further technical assistance, contact PGO-TIMS: Telephone: 770-488-2700, email: PGOTIM@cdc.gov.

Award Procedure:

All applications submitted by the deadline that are complete and responsive to competitive funding opportunity announcement will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Range of Approval/Disapproval is 60-90 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports, in documented technical assistance, and in other relevant documented activities), and the determination that continued funding is in the best interest of the federal government.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching funds are required from non-federal sources in the amount of not less than \$1 for each \$4 federal funds awarded. The match must be from non-federal sources and can be all cash, in-kind, or a combination thereof. In-kind activities must support evidence-based interventions outlined in CDC's Best Practices for Comprehensive Tobacco Control Programs. The match must be for the total dollar amount requested. The amount and source of the match is required in the budget narrative and justification section of the application. Responsiveness to match requirements will be a criterion used in evaluating the application. Cost sharing is encouraged if it helps to leverage federal and state resources, is responsive to stated CDC recipient activities, is advantageous to chronic disease prevention and health programs, supports the four National Tobacco Control Program goals, focuses on evidence-based interventions outlined in Best Practices, and does not compromise the integrity or the ability of the programs to accomplish proposed activities. Examples of cost sharing may include, but are not limited to, sharing 1) the cost of an FTE that can support the work of several projects across program content areas, 2) costs associated with data collection and analysis, 3) costs associated with various communication campaigns that can benefit multiple program areas, and 4) costs associated with working with diverse populations to modify risk factors or eliminate chronic disease-related disparities.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period and 60 month project period. Method of awarding/releasing assistance: lump sum.

Reports:

The reporting schedule will be based on the requirements listed in the funding opportunity announcement. An Annual Progress Report and Federal Financial Report (SF425) are due 90 days after the end of the budget period. A final progress report and final Federal Financial Report are due 90 days after the end of the project period. Cash reports are not applicable. An Annual Progress Report is due 90 days after the end of the budget period. The Annual Progress Report will describe successes, challenges, and progress toward program goals, objectives and performance measures. A Federal Financial Report is due 90 days after the end of the quarter in which budget period ends. Awardee performance will be monitored through a technical review of the Annual Progress Report; ongoing communication between the awardee and project officer via email, conference calls, and meetings; and periodic site visits. Awardees will describe their activities and progress toward meeting goals, objectives, and performance measures in the progress reports submitted.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$52,621,579; FY 17 est \$54,997,853; and FY 18 est \$54,997,853

Range and Average of Financial Assistance:

This CFDA will be used for a FY15 FOA. There are no range or average of financial assistance.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Goals, Guidelines and Recommendations
Healthy People 2020 objectives (Tobacco Use)
<http://www.healthypeople.gov/2020/topicsobjectives2020/objectiveslist.aspx?topicId=41>
CDC's Winnable Battles (Tobacco)
<http://www.cdc.gov/winnablebattles/tobacco/index.html>
The National Prevention Councils, National Prevention Strategy Americas Plan for Better Health (Tobacco Free Living)
<http://www.surgeongeneral.gov/initiatives/prevention/strategy/report.pdf>
HHS's Strategic Plan (Promote Prevention and Wellness Reduce Cigarette Consumption Among Adults and Proportion of Adolescents who are Current Cigarette Smokers)
<http://www.hhs.gov/secretary/about/message.html>
HHS's Ending the Tobacco Epidemic: A Tobacco Control Strategic Action Plan for the Department of Health and Human Services
<http://www.hhs.gov/ash/initiatives/tobacco>
The Institute of Medicines, Ending the Tobacco Problem A Blueprint for the Nation
http://www.nap.edu/catalog.php?record_id=11795
CDC's Best Practices for Comprehensive Tobacco Control Programs
http://www.cdc.gov/tobacco/stateandcommunity/best_practices/index.htm
The Community Preventive Services Task Force, Guide to Community Preventive Services
<http://www.thecommunityguide.org/tobacco/index.html>

Regional or Local Office:

None.

Headquarters Office:

Karla Sneegas 4770 Buford Hwy., NE, Mailstop F-79, Atlanta, Georgia 30341
Email: KSneegas@cdc.gov Phone: 770-488-5218

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Phase I Review: All eligible applications will be initially reviewed for completeness by CDC's Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by the CDC NCCDPHP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance to Phase II review. Applicants will be notified that the application did not meet eligibility and/or published submission requirements.

Phase II Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the criteria section of the funding opportunity announcement. Applicants will be notified electronically if the applications did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review.

Applications will be funded in order by score and rank determined by the review panel.

93.307 MINORITY HEALTH AND HEALTH DISPARITIES RESEARCH FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III and IV, Part A and Part E, Sections 485E, 485F, 485G; Public Law 99-158 and Public Law 106-525; 42 U.S.C. 287c-31, 42 U.S.C. 287c-32, 42 U.S.C. 287c-33, 42 U.S.C. 241 and 285; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564; Title III, Part A & E, Section 485E 485F 485G, Public Law 99-158, 106 Stat. 525, 42 U.S.C 287c-31., Title IV, Part C, Section 464z-3 and 464z, Public Law 99-158, 106 Stat. 525, 42 U.S.C 287c-31.

OBJECTIVES:

To support basic, clinical, social, and behavioral research; promote research infrastructure and training; foster emerging programs; disseminate information; and reach out to minority and other health disparity communities. The National Institute on Minority Health and Health Disparities (NIMHD) has established programs to pursue these goals: (1) The Centers of Excellence Program promotes research to improve minority health and/or reduce and eliminate health disparities; builds research capacity for minority health and health disparities research in academic institutions; encourages participation of health disparity groups and communities in biomedical and behavioral research and prevention and intervention activities; and brings together investigators from relevant disciplines in a manner that will enhance and extend the effectiveness of their research; (2) NIMHD Research Endowment Program builds research capacity and infrastructure at eligible NIMHD Centers of Excellence or eligible Section 736 health professions schools (42 U.S.C. 293) to facilitate minority health and other health disparities research to close the disparity gap in the burden of illness and death experienced by racial and ethnic minority Americans and other health disparity populations; promotes a diverse and strong scientific, technological and engineering workforce; and emphasizes the recruitment and retention of underrepresented minorities and other socio-economically disadvantaged populations in the fields of biomedical and behavioral research and other areas of the scientific workforce; (3) The Centers of Excellence on Environmental Health Disparities Research to stimulate basic and applied research on environmental health disparities; (4) Minority Health and Health Disparities International Research Training Program (MHIRT) awards enable U.S. institutions to tailor short-term basic science, biomedical and behavioral mentored student international research training opportunities to address global issues related to understanding, reducing, and eliminating health disparities; (5) Small Business Innovation Research (SBIR) Program increases private sector commercialization of innovations derived from Federal research and development; encourages small business participation in Federal research and development; and fosters and encourages participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation; (6) Small Business Technology Transfer (STTR) Program stimulates and fosters scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions; fosters technology transfer between small business concerns and research institutions; increases

private sector commercialization of innovations derived from Federal research and development; and fosters and encourages participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation; (7) Health Disparities Research Project Grants (RPG) support innovative projects to enhance our understanding of biological mechanisms, social, behavioral, and health services that can directly and demonstrably contribute to the improvement in minority health and the elimination of health disparities; (8) Health Disparities Research Cooperative Agreements that support Community-Based Participatory Research (CBPR) Initiatives for the development, implementation and evaluation of intervention research using CBPR principles and methods in targeting diseases of major public health importance in health disparity communities, and research to prevent HIV in diverse adolescent men who have sex with men; (9) Research Centers in Minority Institutions (RCMI) build capacity for basic biomedical and/or behavioral research by focusing on institutional resource development, such as supporting core research facilities and staff, purchasing advanced instrumentation, and laboratory renovations/alterations; (10) RCMI Infrastructure for Clinical and Translational Research (RCTR) supports the development of infrastructure required to conduct clinical and translational research by enhancement of, but is not limited to outpatient clinical resources, biostatistical support, core laboratories, and other capacity for patient-oriented research; (11) RCMI Translational Research Network (TRN) supports a consortium of clinical and translational investigators from RCMI Centers, other academic health centers, providers, and community-based organizations to encourage sharing of resources and expertise in minority health and health disparities; (12) Clinical Research Education and Career Development (CRECD) Awards provide didactic training and mentored clinical research experiences to develop independent researchers who can lead clinical research studies, especially those addressing health disparities; (13) Resource-Related Minority Health and Health Disparities Research supports minority health and health disparities resource-related research in the priority areas of Bioethics Research, Global Health Research, Data Infrastructure and Dissemination, and Healthcare for Rural Populations Research; (14) NIH Research Conference Grant and NIH Research Conference Cooperative Agreement Programs support high-quality conferences that are relevant to the minority health and health disparities; (15) Transdisciplinary Collaborative Centers for Health Disparities Research comprise regional coalitions of academic institutions, community organizations, service providers and systems, government agencies and other stakeholders conducting coordinated research, implementation and dissemination activities that transcend customary approaches and silo organizational structures to address critical questions at multiple levels in innovative ways focused on priority research areas in minority health and health disparities; (16) Disparities Research and Education Advancing our Mission (DREAM) Career Transition Award supports mentored research experience in the NIH Intramural Research Program for early stage investigators in health disparities from extramural institutions and then facilitate the transition of their research programs to the independent stage of career development; (17) Ruth L. Kirschstein NRSA Individual Predoctoral Fellowship to Promote Diversity in Health-Related Research; (18) NIH Pathway to Independence Award (K99/R00) which facilitates a timely transition from a mentored postdoctoral research position to a stable research position with independent NIH or other research support at an early career stage; and (19) NIH BD2K Enhancing Diversity in Biomedical Data Science Award (R25) which supports educational activities that enhance the diversity of the biomedical, behavioral and clinical research workforce.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are intended to support the direct costs of a project in accordance with an approved budget, plus appropriate amount for indirect costs. Grantees must agree to administer the grant in accordance with the regulations and policies governing the research grants program of the Public Health Service. Endowment grants: Some qualifying institutions may use income earned from a research endowment corpus to support institutions in their effort to expand and add teaching programs in the biomedical and behavioral sciences and related areas, to improve facilities, to enhance student and faculty recruitment and retention, to develop instructional delivery systems and information technology in areas that will enhance minority health and other health disparities research

activities, and to establish endowed chairs and programs. Other qualifying institutions must use income earned from a research endowment corpus to increase the institutions investments in the recruitment and retention of underrepresented minority students and students from other health disparity populations that matriculate at such institutions. This includes activities such as increasing student recruitment and retention, creating merit-based and need-based scholarships, establishing or enhancing tutoring, providing counseling, and designing student service programs to improve academic success, and faculty recruitment and retention. SBIR grants: SBIR Phase I grants are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants are for the continuation of the research initiated in Phase I and are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR grants: STTR Phase I grants are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. STTR Phase II grants are based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application. See Program Announcements: PA-15-269 and PA-15-270. As specified in each of the program announcements. As specified in each of the program announcements.

Applicant Eligibility:

Individuals and public and private institutions, both non-profit and for-profit, who propose to establish, expand, and conduct research, promote or engage in research training, and outreach activities that contribute to improving minority health and/or eliminating health disparities. Endowment grants: Only NIMHD Centers of Excellence or Section 736 health professional schools (see 42 U.S.C. 293) with net endowment assets less than or equal to 50 percent of the national median of endowment funds at institutions that conduct similar biomedical research or training of health professionals are eligible for the research endowment awards. All applicants must have an NIMHD Center of Excellence Award or HRSA Centers of Excellence Award at the time of award of an NIMHD Research Endowment Grant. SBIR grants: Domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which the research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. Small business concerns must be at least 51 percent US owned by individuals and independently operated and/or at least 51 percent owned and controlled by another (one) for-profit business concern that is at least 51 percent owned and controlled by one or more individuals. The research must be performed in the U.S. or its possessions. STTR grants: Domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which the research is proposed, and have no more than 500 employees) that "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution.

Beneficiary Eligibility:

Any non-profit or for-profit organization, company, or institution engaged in biomedical and behavioral research. Endowment grants: NIMHD Centers of Excellence or Section 736 institutions.

Credentials/Documentation:

Applicants must present a research plan and furnish evidence required for research grant applications, PHS 398, (Rev. 05/01) as specified in the application form. Costs will be determined in accordance with HHS Regulation 45 CFR 75, as applicable, and the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>), which is a term and condition of all NIH grant awards. For-profit organizations costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. Endowment grants: Requirements for the endowment application are specified in the application form (SF424 R&R). SBIR and STTR grants: Applicant organizations (small business concerns) must present a research plan that includes an idea that has the potential for commercialization, and furnish evidence that the scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. To apply for SBIR Phase I and Phase II Grants, applicants must complete SF424 (R&R)

Application for Electronic Submission. For general information on SF424 (R&R) Application and Electronic Submission, see these Web sites: SF424 (R&R) Application and Electronic Submission Information: <http://grants.nih.gov/grants/funding/424/index.htm>. General information on Electronic Submission of Grant Applications: <http://grants.nih.gov/grants/ElectronicReceipt/>. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for the various programs should be submitted to the NIH office that is responsible for the receipt of applications, and in such form and manner as the application prescribes. (All information pertaining to grants hereinafter, also apply to Endowment Grants unless otherwise noted). Research Grants: Applications must be prepared either electronically through Grants.gov (<http://www.grants.gov>) using the SF 424 (Research and Research-Related) or on paper using the PHS 398 research grant application instructions and forms (Revised 9/2004, Interim Revision 4/2006). The PHS 398 is available at <http://grants.nih.gov/grants/funding/phs398/phs398.html>. The format is interactive. For NIH planned transition dates of mechanisms for electronic submission, see: http://grants.nih.gov/grants/ElectronicReceipt/files/timeline_NIH_transitions.pdf. For further assistance contact Grants Info, Telephone (301) 435-0714, Email: GrantsInfo@nih.gov. NRSAs (Individual): Individuals must submit the application form, PHS Individual National Research Service Award (PHS 416-1, rev. 10/05), and include at least three letters of reference. If the applicant has been lawfully admitted to the United States for permanent residence, the appropriate item should be checked on the Face Page of the application. Applicants who have applied for and have not yet been granted admission as a permanent resident should check the Permanent resident block on the Face Page of the PHS 416-1 application, and also write in the word "pending." A notarized statement documenting legal admission for permanent residence must be submitted prior to the issuance of an award. SBIR and STTR: The SF 424 (Research and Research-Related) application forms and the SF 424 SBIR/STTR Application Guide are used to apply for both SBIR and STTR grants, Phase I and Phase II. Application must be made electronically through grants.gov (<http://www.grants.gov>). For further assistance contact Grants Info, Telephone: (301) 435-0714, Email: GrantsInfo@nih.gov.

Award Procedure:

Each eligible application will be evaluated for scientific and technical merit by an appropriate Scientific Review Group in accordance with NIH policies and procedures. The National Advisory Council on Minority Health and Health Disparities (NACMHD) will conduct the second-level review of the applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Approximately 6 to 9 months. SBIR and STTR grants: Approximately 7 to 8 months.

Appeals:

A principal investigator or applicant may question the substantive or procedural aspects of the review of his/her application by communicating with NIMHD staff. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

Renewal of grant awards beyond the initial project period of support is contingent upon successful competition by the applicant and upon the appropriation of funds for this purpose.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

All awards have a project period of one to five years. Non-competitive grant awards in successive years (year 2 up to year 5) are awarded based on the applicant's annual performance and the availability of funds.
SBIR grants: Generally, Phase I awards are for six months, and Phase II awards are for two years. STTR grants: Generally, Phase I awards are for one year, and Phase II awards are for two years.
All funds for any award must be obligated within five years from the date of the award. See the following for information on how assistance is awarded/released. See above for information about each program. See the following for information on how assistance is awarded/released: See above for information about each program.

Reports:

Annual progress and selected financial status reports for continuing projects and final reports on all projects upon conclusion are required. Endowment Grants: The NIMHD will exercise extended monitoring of a participant's annual progress towards meeting the objectives as outlined in the participants five-year strategic plan for prioritizing the use of endowment income. These reports will extend 20 years beyond the last year of a participants project period. Cash reports are not applicable. Cash reports are not applicable. Annual progress and selected financial status reports for continuing projects and final reports on all projects upon conclusion are required. Endowment Grants: The NIMHD will exercise extended monitoring of a participant's annual progress towards meeting the objectives as outlined in the participants five-year strategic plan for prioritizing the use of endowment income. These reports will extend 20 years beyond the last year of a participants project period. Selected financial status reports for continuing projects and final reports on all projects upon conclusion are required. Endowment Grants: The NIMHD will exercise extended monitoring of a participant's annual progress towards meeting the objectives as outlined in the participants five-year strategic plan for prioritizing the use of endowment income. These reports will extend 20 years beyond the last year of a participants project period. Annual progress and selected financial status reports for continuing projects and final reports on all projects upon conclusion are required. Endowment Grants: The NIMHD will exercise extended monitoring of a participant's annual progress towards meeting the objectives as outlined in the participants five-year strategic plan for prioritizing the use of endowment income. These reports will extend 20 years beyond the last year of a participants project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For specific audit requirements, please see 45 CFR 75.

Records:

Expenditures and other financial records must be retained three years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0897-0-1-552.

Obligations:

(Project Grants) FY 16 \$235,912,124; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - (Project Grants) FY 16 \$235,912,124; FY 17 estimate not available; and FY 18 estimate not available (1) Centers of Excellence (COE) grants: FY 16 \$44,789,746; FY 17 and FY 18 estimates not reported. (2) NIMHD Research Endowment grants: FY 16 \$10,900,000; FY 17 and FY 18 estimates not reported. (3) Centers of Excellence on Environmental Health Disparities Research (P50): FY 16 \$1,400,000; FY 17 and FY 18 estimates not reported. (4) Minority Health and Health Disparities International Research Training (MHIRT) grants: FY 16 \$5,475,828; FY 17 and FY 18 estimates not reported. (5) Small Business Innovation Research (SBIR): FY 16

\$7,856,460; FY 17 and FY 18 estimates not reported. (6) Small Business Technology Transfer Grant Applications (STTR): FY 16 \$1,252,528; FY 17 and FY 18 estimates not reported. (7) Health Disparities Research Project Grants (RPG): FY 16 \$51,125,736; FY 17 and FY 18 estimates not reported. (8) Health Disparities Community-Based Participatory Research and HIV-Related Research Cooperative Agreements (RPG): FY 16 \$14,831,789; FY 17 and FY 18 estimates not reported. (9) Research Centers in Minority Institutions (RCMI): FY 16 \$36,164,598; FY 17 and FY 18 estimates not reported. (10) RCMI Infrastructure for Clinical and Translational Research (RCTR): FY 16 \$16,852,086; FY 17 and FY 18 estimates not reported. (11) RCMI Translational Research Network (RTRN): FY 16 \$4,167,917; FY 17 and FY 18 estimates not reported. (12) Clinical Research Education and Career Development (CRECD) Awards: FY 16 \$970,562; FY 17 and FY 18 estimates not reported. (13) Resource-Related and Research Capacity Building grants: FY 16 \$1,329,749 FY 17 and FY 18 estimates not reported. (14) Pathway to Independence awards: FY 16 \$361,930; FY 17 and FY 18 estimates not reported. (15) NIH Research Conference Grant and NIH Research Conference Cooperative Agreement Programs: FY 16 \$442,893; FY 17 and FY 18 estimates not reported. (16) Transdisciplinary Collaborative Centers FY 16 \$36,197,765; FY 17 and FY 18 estimates not reported. (17) Disparities Research and Education Advancing Mission (DREAM) Career Transition Awards: FY 16 \$661,346; FY 17 and FY 18 estimates not reported; (18) Ruth L. Kirschstein NRSA Individual Predoctoral Fellowships: FY 16 \$139,716; FY 17 and FY 18 estimates not reported. (19) NIH BD2K Enhancing Diversity in Biomedical Data Science: FY 16 \$991,475; FY 17 and FY 18 estimates not reported.

Range and Average of Financial Assistance:

(1) Centers of Excellence (COE) grants: total awards made by the NIMHD ranged from \$672,466 to \$1,647,082; average \$1,178,678. (2) Endowment grants: total awards made by the NIMHD ranged from \$1,900,000 to \$5,000,000; average \$2,725,000. (3) Centers of Excellence on Environmental Health Disparities Research (P50): total awards made by the NIMHD ranged from \$700,000 to \$700,000; average \$700,000. (4) Minority Health and Health Disparities Research International Research Training (MHIRT) grants: total awards made by the NIMHD ranged from \$135,969 to \$269,872; average \$248,901. (5) Small Business Innovation Research (SBIR) grants: total awards made by the NIMHD ranged from \$151,055 to \$798,184; average \$374,117. (6) Small Business Technology Transfer (STTR) grants: total awards made by the NIMHD ranged from \$224,977 to \$802,553; average \$417,509. (7) Health Disparities Research Project Grants (RPG): total awards made by the NIMHD ranged from \$146,754 to \$851,575; average \$477,811 (8) Health Disparities Community-Based Participatory Research and HIV-Related Research Cooperative Agreements (RPG) : total awards made by the NIMHD ranged from \$392,811 to \$2,003,747; average \$706,276 (9) Research Centers in Minority Institutions (RCMI): total awards made ranged from \$634,446 to \$3,844,303; average \$2,127,329. (10) RCMI Infrastructure for Clinical and Translational Research (RCTR): total awards made ranged from \$3,100,000 to \$3,580,934; average \$3,370,417. (11) RCMI Translational Research Network (RTRN): single award made was \$4,167,917. (12) Clinical Research Education and Career Development (CRECD) Awards: total awards ranged from \$438,296 to \$532,266; average \$485,281. (13) Resource-Related and Research Capacity Building grants: total awards made by the NIMHD ranged from \$199,683 to \$549,289; average \$332,437. (14) Pathway to Independence Awards: total awards made by the NIMHD ranged from \$98,194 to \$135,000; average \$120,643. (15) NIH Research Conference Grant and NIH Research Conference Cooperative Agreement Programs: total awards made ranged from \$43,500 to \$50,000; average \$49,210. (16) Transdisciplinary Collaborative Centers: total awards made ranged from \$1,682,124 to \$3,238,176; average \$2,585,555. (17) Disparities Research and Education Advancing Mission (DREAM) Career Transition Awards: total awards ranged from \$207,820 to \$232,671; average \$220,449. (18) Ruth L. Kirschstein NRSA Individual Predoctoral Fellowships: total awards ranged from \$18,588 to \$49,976; average \$34,929. (19) NIH BD2K Enhancing Diversity in Biomedical Data Science: total awards ranged from \$204,941 to \$366,247; average \$247,869.

TAFS Codes:

75-0897.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FY 16: NIMHD program accomplishments are as follows: (1) Centers of Excellence (COE) grants: total of 38 awarded grants; (2) Endowment grants: total of 4 awarded grants; (3) Centers of Excellence on Environmental Health Disparities Research (P50); total of 2 awarded grants; (4) Minority Health and Health Disparities Research International Research Training (MHIRT) grants: 22 awarded grants; (5) Small Business Innovation Research (SBIR) grants: total of 3 awarded grants; (6) Small Business Technology Transfer (STTR) grants: total of 20 awarded grants; (7) Health Disparities Research Project Grants (RPG): total of 107 awarded grants; (8) Health Disparities Community-Based Participatory Research and HIV-Related Research Cooperative Agreements- (RPG): total of 21 awarded grants; (9) Research Centers in Minority Institutions: total of 17 awarded grants; (10) RCMI Infrastructure for Clinical and Translational Research (RCTR): total of 5 awarded grants; (11) RCMI Translational Research Network (RTRN): one awarded grant; (12) Clinical Research Education and Career Development (CRECD) awards: total of 2 awarded grants; (13) Resource-Related Minority Health and Health Disparities Research: total of 4 awarded grants; (14) NIH Pathway to Independence Awards (K99/R00): total of three awarded grants; (15) NIH Research Conference Grant and NIH Research Conference Cooperative Agreement Programs: total of 9 awarded grants; (16) Transdisciplinary Collaborative Centers for Health Disparities Research: total of 14 awarded grants; (17) Disparities Research and Education Advancing our Mission (DREAM) Career Transition Award (K22): total of 3 awarded grants; (18) Ruth L. Kirschstein NRSA Individual Predoctoral Fellowship to Promote Diversity in Health-Related Research: total of 4 awarded grants; and (19) NIH BD2K Enhancing Diversity in Biomedical Data Science: total of 4 awarded grants; FY 17 and FY 18 estimates not reported. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in 42 USC 271c-31 et. Seq.; 42 CFR 52; 42 CFR 66; 45 CFR 75; 45 CFR 92; NIH Extramural Programs brochure; and miscellaneous program literature from the Headquarters Office. Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241. Additional loan repayment information can be obtained at www.lrp.nih.gov. SBIR and STTR information can also be obtained from the Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications and the Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Joan E. Wasserman 7201 Wisconsin Avenue, Suite 533, Bethesda, Maryland 20892 Email: joan.wasserman@nih.gov Phone: 3015941788

Website Address:

<http://www.nimhd.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating all new grant and renewal grant applications include assessments of the scientific merit and general significance of the proposed study and its objectives; the technical adequacy of the experimental design and approach; the competency of the proposed investigator or group to successfully pursue the project; the adequacy of the available and proposed facilities and resources; and the necessity of the budget components requested in relation to the proposed project and the relevance and importance to the announced program objectives. SBIR and STTR grants: For Phase I grant applications, the criteria used are the soundness and technical merit of the proposed approach; the qualifications of the proposed principal investigator, supporting staff, and consultants; the technological innovation of the proposed research; the potential of the proposed suitability of the facilities and research

environment; and where applicable, the adequacy of assurances detailing the proposed means for safeguarding human or animal subjects and/or protecting against or minimizing any adverse effect on the environment. For Phase II grant applications, the criteria used are the degree to which the Phase I objectives were met and feasibility demonstrated; the scientific and technical merit of the proposed approach for achieving the phase II objectives; the qualifications of the proposed principal investigator, supporting staff and consultants; the technological innovation, originality, or societal importance of the proposed research; the potential of the proposed research for commercial application; the reasonableness of the budget requested for the work proposed; the adequacy and suitability of the facilities and research environment; and, where applicable, the adequacy of assurances detailing the proposed means for safeguarding human or animal subjects and/or protecting against or minimizing any adverse effect on the environment. All persons interested in any of the DHHS/NIH/NIMHD grant and/or contract program initiatives are strongly encouraged to carefully read the Request for Applications as they are announced annually in order to understand what is required for each unique program as well as meet each deadline in a timely manner.

93.308 NATIONAL INSTITUTE ON MINORITY HEALTH AND HEALTH DISPARITIES (NIMHD) EXTRAMURAL LOAN REPAYMENT PROGRAMS

NIMHD Loan Repayment Programs

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act; Title IV, Subtitle D, Part G, Section 487E, as amended; Public Law 106-554; 42 U.S.C. 288-5., Title IV, Part G, Section 487E, Public Law 106-554, 42 U.S.C 288-5, Title IV, Part G, Section 487E, Public Law 106-554, 42 U.S.C 288-5.

OBJECTIVES:

The mission of the National Institute on Minority Health and Health Disparities (NIMHD) is to lead scientific research to improve minority health and eliminate health disparities. To accomplish this, NIMHD 1) Plans, reviews, coordinates, and evaluates all minority health and health disparities research and activities of the National Institutes of Health; 2) Conducts and supports research in minority health and health disparities; 3) Promotes and supports the training of a diverse research workforce; 4) Translates and disseminates research information; 5) Fosters innovative collaborations and partnerships.

There are two separate types of loan repayment within NIMHD's Loan Repayment Program: (1) Extramural Loan Repayment Program for Health Disparities Research (LRP-HDR): recruits and retains qualified health professionals to research careers that focus on minority health disparities research or other health disparities research and the (2) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP IDB), recruits and retains qualified health professionals from disadvantaged backgrounds to conduct clinical research.

The objective of these extramural loan repayment programs are to recruit and retain qualified health professionals including those from health disparity populations and those from disadvantaged backgrounds to conduct minority health disparities research, other health disparities research, and clinical research.

(1) Health Disparities Research may pertain to basic, clinical, or behavioral research on health disparity populations (including individual members and communities of such populations), that relate to health disparities, including the causes of such disparities and methods to prevent, diagnose, and treat such disparities.

(2) Clinical research consists of patient-oriented clinical research conducted with human subjects, or research on the causes and consequences of disease in human populations involving material of human origin (such as tissue specimens and cognitive phenomena) for which the investigator or colleague directly interacts with human subjects in an outpatient or inpatient setting to clarify a problem in human physiology, pathophysiology or disease, or

epidemiologic or behavioral studies, outcomes research or health services research, or developing new technologies, therapeutic interventions, or clinical trials.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To provide repayment of existing educational loan debt incurred by health professionals including those from health disparity populations and those from disadvantaged backgrounds to conduct minority health disparities or other health disparities research and clinical research. Recipients must execute a written contract agreeing to engage in such research for a minimum of two years. The Secretary of the Department of Health and Human Services, or the Secretary's designee, may grant extension contracts for a one-year or two-year period after the completion of the initial two year contract, provided that the participant applies for an extension, continues to engage in qualified research, and there is sufficient repayable debt remaining. The maximum program benefit is \$35,000 per year in loan repayment.

Program payments are made directly to the participants' lenders following each quarter and a verification of satisfactory research service, unless otherwise agreed upon by the participant and the Director, NIMHD.

Applicant Eligibility:

The following eligibility requirements apply to both: (1) Extramural Loan Repayment Program for Health Disparities Research (LRP-HDR); and (2) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB)

An applicant must: (1) be a United States citizen, national, or permanent resident; (2) have an M.D., Ph.D., Pharm.D., D.D.S., D.M.D., D.C., N.D., or equivalent doctoral degree from an accredited institution; (3) conduct research that is supported by a non-profit institution, foundation, professional society or U.S. government entity; (4) commit 50 percent or more of his/her time to clinical research; (5) have total qualifying educational debt greater than or equal to 20 percent of his/her institutional base salary at the time of award; (6) conduct research in accordance with applicable Federal, state and local law; (7) not be under any existing service obligations to Federal, State, or other entities, unless and until the existing service obligation is discharged or deferred during the period of program service; (8) not have Federal judgment liens against their property arising from a Federal debt from receiving Federal funds until all judgments have been paid in full or otherwise satisfied; (9) not be a full time employee of a Federal government agency. Part-time Federal employees who engage in qualifying research as part of their non-Federal duties are eligible to apply for loan repayment if they meet all other eligibility requirements; and (10) have the institution provide an assurance that the applicant will be provided research support for two years, that the applicant will engage in qualified clinical research for 50 percent of his/her time, that the funding foundation, professional society, or institution is considered to be non-profit pursuant to Section 501 of the Internal Revenue Code or is a U.S. government entity, and certify the applicant's annual institutional base salary.

There are additional requirements for the Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB). Eligible applicants must come from a disadvantaged background and adhere to the following guidelines relating to the definition of a disadvantaged background:

An Individual from disadvantaged background means an individual who:
(1) Comes from an environment that inhibited the individual from obtaining the knowledge, skill and ability required to enroll in and graduate from a health professions school; or

(2) Comes from a family with an annual income below a level based on low-income thresholds according to family size published by the U.S. Bureau of the Census adjusted annually for changes in the Consumer Price Index, and adjusted by the Secretary for use in all health professions programs. The Secretary periodically publishes these income levels in the Federal Register.

Beneficiary Eligibility:

(1) Extramural Loan Repayment Program for Health Disparities Research (LRP-HDR), and (2) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB) contracts: Qualified health professionals who conduct minority health or other health disparities research or highly qualified health professionals from disadvantaged backgrounds who will conduct clinical research and who possess substantial unpaid educational debt relative to income.

Credentials/Documentation:

An applicant to either NIMHD extramural LRP programs must submit the following documentation electronically: (1) Applicant Information Statement; (2) Biosketch; (3) Personal Statement, which includes a discussion of career goals and academic objectives; (4) Description of Research Activities; (5) Contact Information of Three Recommenders; (6) Contact Information of Institution Official able to serve as the Institutional Contact; (7) On-line Certification; (8) Loan Information; (9) Notice of Grant/Award (or PHS 2271 for T32 recipients), if applying based on NIH support; and in addition for those applying to the Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB) applicants must submit a Certification of Disadvantaged Background [see Federal Register: April 24, 2009 (Volume 74, Number 78)].

The Research supervisor or mentor of applicants to both NIMHD extramural LRP programs must electronically submit the following: (1) Recommendation; (2) Biosketch; (3) Assessment of the Research Activities Statement submitted by the applicant; (4) Description of the Research Environment; and (5) Training or Mentoring Plan. Recommenders must submit their recommendations electronically. Institutional Contacts must electronically submit a certification that: (1) assures the applicant will be provided the necessary time and resources to engage in the research project for two years from the date a Loan Repayment Program Contract is executed; (2) assures that the applicant is or will be engaged in qualifying research for 50 percent of his/her time, i.e., not less than 20 hours per week; (3) certifies that the funding foundation, professional society, or institution is considered to be non-profit as provided under Section 501 of the Internal Revenue Code (26 U.S.C. 501) or is a U.S. government entity (Federal, state or local); and (4) provides the applicant's institutional base salary. Requirements for the loan repayment application, OMB Approval No. 0925-0361, are specified in the electronic application form located at www.lrp.nih.gov. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. An application for participation in the program can be obtained from www.lrp.nih.gov and should be submitted to the NIH office, which is responsible for the receipt of applications, in such form and manner as the Secretary or the authorized designee may prescribe.

Award Procedure:

Each eligible application will be evaluated for scientific and technical merit by an appropriate Scientific Review Group in accordance with NIH policies and procedures. Awards will be made to meritorious applications, subject to the receipt of an appropriation and/or allocation of funds from the United States Congress, the NIH and/or NIMHD. All selections are subject to final approval by the Secretary or the authorized designee, the Director of NIMHD.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. The approximate time for approval/disapproval is 6-8 months.

Appeals:

Not Applicable.

Renewals:

(1) Extramural Loan Repayment Program for Health Disparities Research (LRP-HDR) and (2) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB) contracts: Prior to the expiration of a participant's current award, the participant may apply for a one- or two-year extension provided the participant continues to engage in qualified clinical research or minority health or other health disparities research and has outstanding repayable debt remaining. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts renewal applications are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

An initial contract provides assistance for a two-year period, and an extension contract provides assistance for a one-year or two-year period. Program payments will be made directly to lenders following each quarter of the participants satisfactory service, unless otherwise agreed upon by the participant and Secretary or authorized designee. Method of awarding/releasing assistance: quarterly.

Reports:

Standard annual report from the NIH Division of Loan Repayment. Cash reports are not applicable. Participants must be periodically certified to have satisfactorily performed the duties of their positions. No expenditure reports are required. Participants must be periodically certified to have satisfactorily performed the duties of their positions. Cash reports are not applicable. Participants must be periodically certified to have satisfactorily performed the duties of their positions. No expenditure reports are required. Participants must be periodically certified to have satisfactorily performed the duties of their positions.

Audits:

Not Applicable.

Records:

The NIMHD will retain applicant records for three years after rejection and participant records for six years after completion of final service obligation.

Account Identification:

75-0897-0-1-552.

Obligations:

(Direct Payments for Specified Use) FY 16 \$13,655,192; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - (Direct Payments for Specified Use) FY16: \$6,827,596; FY17 and FY18 Estimates Not Available - (1) Extramural Loan Repayment Program for Health Disparities Research (LRP-HDR) contracts: FY16: \$6,218,329; FY17 and FY18 estimates not reported. (2) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB) contracts: FY16: \$609,267; FY17 and FY18 estimates not reported.

Range and Average of Financial Assistance:

FY16: (1) Extramural Loan Repayment Program for Health Disparities Research (LRP-HDR) contracts: total awards made by the NIMHD ranged from \$87 to \$70,000; average \$23,554. (2) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB) contracts: total awards made by the NIMHD ranged from \$1,782 to \$70,000; average \$27,694. FY17 and FY18 estimates not available.

TAFS Codes:

75-0897.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FY16: (1) Extramural Loan Repayment Program for Health Disparities Research (LRP-HDR): A total of 512 applications were received and out of those, 111 were awarded; with a success rate of 22%. (2) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB): A total of 40 new applications were received and out of those, 10 were awarded; with a success rate of 25%. Fiscal Year 2017: FY17 estimates are not available. Fiscal Year 2018: FY18 estimates are not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 106-554; Section 487E of the Public Health Service Act (42 U.S.C. 288-5).

Regional or Local Office:

None.

Headquarters Office:

Joan E. Wasserman 7301 Wisconsin Avenue, Suite 533, Bethesda, Maryland 20892 Email: joan.wasserman@nih.gov Phone: 3015941788

Website Address:

<http://www.nimhd.nih.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.209 Contraception and Infertility Research Loan Repayment Program; 93.923 Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP); 93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications/proposals include the assessment of the following: (1) personal statement; (2) proposed research project and its scientific merit (priority will be given to biomedical and behavioral researchers); (3) training plan and environment (which includes the research program, the facilities/environment, the mentor/advisor's training, the applicant's training); (4) loan debt ratio and any associated impediments that may affect the applicant's decision to pursue a research career; and (5) references.

93.310 TRANS-NIH RESEARCH SUPPORT

Common Fund Research Support

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Section 402A, Public Law 109-482, 42 U.S.C 282A.

OBJECTIVES:

To provide support for new initiatives designed to address major opportunities and gaps in biomedical research that no single NIH institute could address alone, but which the agency as a whole can pursue to stimulate the progress of biomedical research and to catalyze changes that will serve to transform new scientific knowledge into tangible benefits for public health. Information on recent and current initiatives is available at <http://commonfund.nih.gov/>.

TYPES OF ASSISTANCE:

PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

To support research and other projects that will accelerate fundamental biomedical discovery and translation of that knowledge into effective prevention strategies and new treatments.

Applicant Eligibility:

Awards can be made to domestic, public or private, for-profit or nonprofit organization, university, college, hospital, laboratory, or other institution, including State and local units of government, and individuals. Some initiatives will accept applications from foreign organizations. Additional details on eligibility are specified in the Common Fund Grants and Funding Opportunities funding announcement, listed at <http://commonfund.nih.gov/>.

Beneficiary Eligibility:

Institutions as described above.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Prepare and submit applications according to instructions provided in the applicable Common Fund Grants and Funding Opportunities announcement, located at <http://commonfund.nih.gov/>.

Most awards made under this program are subject to 2 CFR 200, as implemented by 45 CFR 75 Public Welfare, Uniform Administrative Requirements, Cost Principles and Audit Requirements for HHS Awards. The policies and procedures generally applicable to NIH grants are set forth in the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>).

Some awards made under this program use "Other Transaction Authority" which are not subject to the same regulations as grants, contracts, and cooperative agreements.

Award Procedure:

Applications are reviewed for scientific and technical merit by a scientific review group and for program relevance by a national advisory council/board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The length of the review cycle is four to nine months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the NIH Scientific/Research contact, as provided on an initiative. A description of the NIH Peer Review Appeal procedures is available on the NIH Web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Renewal (competing continuation, type 2) applications to Common Fund programs are not accepted unless specifically accepted by NIH staff.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Almost all awards are made for at least one year, with additional support (up to four more years) depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. See the following for information on how assistance is awarded/released: drawn from established accounts.

Reports:

Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Cash reports are not applicable. Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Most awards made under this program are subject to the audit requirements of OMB 2 CFR 200, as implemented by 45

CFR 75, Subpart F, and in the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>).

Some awards made under this program use "Other Transaction Authority" which are not subject to the same regulations as grants, contracts, and cooperative agreements.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 75 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 75 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 4 Code of Federal Regulations, Part 75, the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0846-0-1-552.

Obligations:

(Project Grants) FY 16 \$613,925,000; FY 17 est \$623,900,000; and FY 18 est \$504,000,000 - These numbers include estimates for use of "other transactions." FY18 number is assuming PB level of funding.

Range and Average of Financial Assistance:

\$10,000 to \$15,462,500 with the average being \$737,000.

TAFS Codes:

75-08-0031-0031-7582-846.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Director of the Office of Strategic Coordination, phone 301-402-7617.

Headquarters Office:

Elizabeth Wilder NIH OD OFFICE OF THE STRATEGIC COORDINATION
6001 EXECUTIVE BLVD RM 8180D, MSC 9500
, Bethesda, Maryland 20892-9500 Email: Elizabeth.Wilder@nih.gov Phone:
(301) 402-7617 Fax: (301) 435-7268.

Website Address:

<http://commonfund.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding decisions are based on scientific merit (42 CFR 52h) and program relevance. Scientific merit review encompasses: the peer review criteria detailed in the specific funding announcement, as well as the significance, approach, and innovation of the proposed research; the competency of the principal investigator; the adequacy of the resources and environment; the proposed budget and requested period of support; and, where applicable, the adequacy of the measures for protecting against adverse effects upon humans, animals, or the environment.

93.311 MOBILIZATION FOR HEALTH: NATIONAL PREVENTION PARTNERSHIP AWARDS

(NPPA)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Department of Health and Human Services, Office of the Assistant Secretary for Health, National Vaccine Program Office, Executive Order Section 1702(a) [42 U.S.C. 300u-1(a)] and Section 1703(a) and (c) [42 U.S.C. 300u-2(a) and (c)] of the Public Health Service Act, as amended.

OBJECTIVES:

The National Vaccine Program Office (NVPO) collaborates with federal partners to provide strategic direction for the coordination of the vaccine and immunization enterprise for the National Vaccine Plan (NVP) implementation http://www.hhs.gov/nvpo/vacc_plan/2010%20Plan/nationalvaccineplan.pdf NVPO is looking to partner with an institution or organization to conduct research, monitoring or outcomes definition research related to vaccine safety. The areas of research are specifically, but not limited to, predicting the safety profile of new vaccines during the early development stage, developing or modifying existing vaccines to improve their safety, conducting applied research that will have a direct impact on the current vaccine safety monitoring system, conducting research that will improve the safety profile of currently marketed vaccines, conducting research that will achieve consensus definitions of vaccine safety outcomes that could be utilized to collect consensus data in clinical research conducted globally.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The assistance can be applied to improving vaccine safety knowledge, improving the monitoring of adverse events following immunization, or improving the way vaccine safety data are collected and analyzed in clinical trials. Examples of applicability are predicting the safety profile of new vaccines during the early development stage, developing or modifying existing vaccines to improve their safety, conducting applied research that will have a direct impact on the current vaccine safety monitoring system, conducting research that will improve the safety profile of currently marketed vaccines, conducting research that will achieve consensus definitions of vaccine safety outcomes that could be utilized to collect consensus data in clinical research conducted globally. Funds are restricted from being used for the following: alterations or renovations; construction; fund-raising activities; job training; political education and lobbying; vocational rehabilitation. Funds are restricted from being used for the following: alterations or renovations; construction; fund-raising activities; job training; political education and lobbying; vocational rehabilitation. Estimated Funds Available for Competition: \$7.7 million Anticipated Number of Awards: Category A 20 awards; Category B 15 Awards

Range of Awards: Award range A: \$50,000 to 75,000

Award range B: Between \$50,001 and \$500,000.

Applicant Eligibility:

Public (including city, county, regional, and State government) organizations and private nonprofit entities.

Beneficiary Eligibility:

All children, women (including pregnant women), and adults, including those underserved and minority populations in the US.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. 2 CFR

200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply, access the announcement thru www.grants.gov. Enter the CFDA# and follow the website instructions. Applications submitted after the deadlines will not be accepted for review. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via www.grants.gov. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be ineligible will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of experts. Final funding decisions will be determined by the OASH OWH, OMH, NVPO Office Director; taken into consideration are the recommendations of the review panel; program needs, stated preferences; geographic location; and recommendations of DHHS Regional Health Administrators. All applicants are notified in writing of actions taken on their applications. A Notice of Award is issued for those applications that are approved and funded.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewal awards may be approved pending availability of funding, and evidence of satisfactory progress and compliance.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

CATEGORY A (\$50,000 to \$75,000) Funding (1 year, including a 12 month option to extend to 24 months)

CATEGORY B (not to exceed \$500,000) Funding (3 year project period)

Annual increments by budget period for Project A and B.

Grants may not exceed 3 years (subject to the availability of funds). Payments will be made either on a monthly cash request basis or under the Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued at the time an award is made. Method of awarding/releasing assistance: by letter of credit.

Reports:

See FOA. Each grantee will submit yearly program reports on progress to the project officer. Grantees must submit quarterly SF425 Federal Financial Reports to Payment Management Services due on one of the standard due dates on which cash reporting is required or at the end of a calendar quarter. The FFR is due 30 days after the end of each calendar quarter. Quarterly, Annual Progress and Final Report. A revised work plan must be submitted each year to indicate any service and/or partnership revisions. Grantees must submit quarterly SF425 Federal Financial Reports to the Office of Grants Management 30 days after the end of each calendar quarter and an annual FFR 90 days after the end of the budget period/project period end date. Reports are to be submitted electronically through GrantSolutions. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Project Grants) FY 16 \$2,428,998; FY 17 Estimate Not Available(Exp: No funds awarded in FY 2017); and FY 18 Estimate Not Available - Program ended in 2017.

Range and Average of Financial Assistance:

Estimated Funds Available for Competition: \$7.7 million
Anticipated Number of Awards: Category A 20 awards; Category B 15 Awards
Range of Awards: Award range A: \$50,000 to 75,000
Award range B: Between \$50,001 and \$500,000.

TAFS Codes:

75-10-14-15-0117.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Regional Offices will be identified separately.

Headquarters Office:

Brenda C. Donaldson Tower Building
1101 Wootton Parkway, Suite 550, Rockville, Maryland 20852 Email:
Brenda.Donaldson@hhs.gov Phone: 2404538442

Website Address:

http://www.hhs.gov/ash/public_health/indexph.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Please see FOA.

93.312 CHILD DEVELOPMENT AND, SURVEILLANCE, RESEARCH AND PREVENTION**FEDERAL AGENCY:**

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Sections 317 (k) (2) and 317 (c) of the Public Health Service Act [42 U.S.C. Sections 247b (k) (2) and 247b-4 as amended.

OBJECTIVES:

This funding supports State health agencies, universities, and public and private nonprofit organizations in planning, implementing, coordinating, or evaluating programs related to promoting optimal child health and development outcomes of all children by the detection, prevention, and management of factors that may lead to developmental delays or disorders, or increased morbidity, and by promoting optimal developmental practices within families and other systems that interact with youth. Programs may include the following activities:

(1)conducting epidemiologic research or surveillance in the area of child development, developmental disabilities, children mental health or neurobehavioral disorders such as Attention-Deficit/Hyperactivity Disorder (ADHD) and Tourette syndrome (TS) and related comorbidities; (2)support the improvement of infant and child health and developmental outcomes by establishing resources for both professionals and the public regarding what is known the impact, epidemiology, and interventions for child development, ADHD and TS and related comorbidities;(3) providing a national, State or local platform in the area of child development, developmental disabilities, childrens mental health and neurobehavioral disorders prevention that focuses on improving early identification of and intervention for children with ADHD, TS, and other co-occurring conditions, so children and families can receive the services and support they need;(4) conducting etiologic studies of child development, childrens mental health, and neurobehavioral disorders such as ADHD and TS using population based approaches to enhance existing research or surveillance programs to better address research questions, monitor changes in prevalence and contributing factors, and inform policy and program activities;(5) employing epidemiological methods to set priorities, build capacity and direct health promotion interventions for persons with or at risk for developmental delays, developmental disabilities, mental disorders or neurobehavioral disorders; (6) Conduct population based research that describes comorbidities and associated burden of ADHD and TS disseminating data and health information; (7)employing strategies to reduce racial/ethnic disparities in child development and in the identification of ADHD and TS and related comorbid conditions; (8) Fund and support a National Public Health Practice and Resource Center for Attention-Deficit/Hyperactivity Disorder; (9) Fund and Support a National Public Health Practice and Resource Center for Tourette Syndrome;(10) Fund and support projects that promote optimal child development and health for all children.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to strengthen, expand, build upon or enhance epidemiologic research, surveillance, health promotion, education, and prevention/intervention activities that contribute to the mission and activities of the National Center on Birth Defects and Development and Disabilities, Division of Human Development and Disabilities. Funds may be used to improve and strengthen State and local public health infrastructure by providing technical assistance to other appropriate health agencies organizations, special groups or coalitions. Funds may be used to support capacity building, program planning, development, implementation, evaluation, and surveillance for optimal child health and development outcomes, developmental disabilities, childrens mental health, and neurobehavioral disorders including Attention-Deficit/Hyperactivity Disorder, Tourette syndrome, and other co-occurring conditions. Projects may include health promotion, education and training, prevention and intervention of Attention-Deficit/Hyperactivity Disorder and Tourette syndrome. Funds may also be used to coordinate and the dissemination of prevention information to the general public, target populations, state, and local agencies, and public and private sector organizations in the United States. Funds may not be used for advocacy initiatives.

Funds May not be used for construction.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

In addition to the eligible applicants, other groups who will receive benefits from the program include persons with or at-risk for developmental delays, developmental disabilities, mental disorders, or neurobehavioral disorders such as Attention-Deficit/Hyperactivity Disorder, Tourette syndrome and the

conditions that co-occur with them; family members of persons with or at-risk for developmental delays, developmental disabilities, mental disorders, or neurobehavioral disorders such as Attention-Deficit/Hyperactivity Disorder, Tourette syndrome and the conditions that co-occur with them; minority populations, including Spanish speaking populations, infants, children, youth, adults; Federally Recognized Indian Tribal Governments; individual and families; educators, health care professionals, Private nonprofit institution/organizations and others.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular A-102 applies to this program. OMB Circular No. A-110 applies to this program. To apply for this Notice of Funding Opportunity, use application form PHS389 (OMB number 0925-0001 rev. 5/2001). Forms and instructions are available in an interactive format on the Grants.gov website. This program is subject to the provisions set forth in 45 CFR 92, for State and Local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

After review and approval, a Notice of Award from the CDC Office of Grant Services (OGS) is prepared and signed by an authorized Grants Management Officer (GMO), and mailed to the recipient officer identified in the application, along with appropriate notification to the public. The initial award provides funds for the first budget period (usually 12 month) and Notice of Award indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Cooperative Agreement competitive and single eligibility awards are determined by an internal objective committee review process at CDC. Research project grant competitive awardees are determined by an external peer review. At such times, awards will be based on evaluation criteria set forth in the respective Program Announcement, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC. The notice of award will indicate financial support for the first budget year, allocations of Federal funds by budget category, and special conditions if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Project periods are from 1-5 years with 12-month budget periods. Applications must be obtained from Grants.gov. Throughout project periods, CDC's commitment to continuation of awards will be conditioned on the availability of funds and evidence of satisfactory progress by recipient as documented in the required reports. If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 4 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for 12-month budget periods within project periods ranging from 1 to 5 years. After awards are issued, funds are released in

accordance with the payment procedures established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: quarterly.

Reports:

Annual Progress Reports are submitted 90 days after the end of the budget period and covers budget period progress. Final Progress report which covers the project period is due 90 days after the end of the project period. Federal Financial Reports (FFR) is required 90 days after the end of each budget period. Semiannual or annual progress reports are required. Annual Progress reports and federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial reports and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Notice of Funding Opportunity. Final federal financial reports and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Semiannual or annual progress reports are required. Annual Progress reports and Federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial reports and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Funding Opportunity Announcements. Expenditure reports are not applicable. Performance monitoring is not applicable. Expenditure reports are not applicable. The National Center for Birth Defects and Development Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objects of collaborative activities.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

There is a 3-year record retention requirement, records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with the HHS Grants Policy Statement requirements.

Account Identification:

75-0958-1-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$1,750,000; FY 17 est \$1,750,000; and FY 18 est \$1,750,000

Range and Average of Financial Assistance:

The FY17/18 range is dependent upon funding availability. Awards amounts may range from \$300,000 to \$1,000,000.

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Jennifer Kaminski 1600 Clifton Rd, NE MS-88, Atlanta, Georgia 30033 Email: anu1@cdc.gov Phone: 404-498-4159

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In general, applications are reviewed on the basis of scientific and technical merit, with attention given to such areas as (1) the degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and merit of overall application relative to the types of projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nations health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, national regional, and local public health agencies and organizations; are reviewed based on evaluation criteria explicit in the respective Program Announcements. Applications must address demonstrated program need, the magnitude of the problem, the management work plan, collaborative associations, and the approach toward setting and meeting overall project objectives and time frames. These future competitive applications would also be evaluated on the capacity of the applicant to demonstrate effective collaborations with other agencies and data sources critical to preventing secondary conditions, identifying and addressing health promotion needs for persons with Attention-Deficit/Hyperactivity Disorder (ADHD) and Tourette Syndrome (TS), denoting the capacity of the project to address minority and low income populations, Spanish speaking populations, co-occurring conditions, and offering sound proposals that reflect the understanding of the epidemiologic and surveillance research.

**93.313 NIH OFFICE OF RESEARCH ON WOMEN'S HEALTH
(NIH/ORWH)****FEDERAL AGENCY:**

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

NIH Revitalization Act of 1993, Public Law 107-103-43, 22 Stat. , U.S.C 289 (A)(1).

OBJECTIVES:

ORWH will identify projects on women's health that should be conducted or supported by national research institutes; identify multi-disciplinary research related to research on women 's health that should be conducted or supported; and promote coordination and collaboration among entities conducting research.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To support research and other projects that will accelerate biomedical and behavioral discovery and translation of that knowledge into effective prevention strategies and new treatments. Awards are limited by availability of funds. Specific restrictions are outlined in each funding opportunity announcement.

Applicant Eligibility:

Awards can be made to domestic, public or private, non-profit or profit organization, university, hospital, laboratory, or other institution including state and local units of government and individuals. Some initiatives will accept applications from foreign organizations. Additional details on eligibility will be specified in the funding opportunity announcement.

Beneficiary Eligibility:

See information above.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. This program is excluded from coverage under OMB Circular A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Competitive peer reviewed applications are reviewed for scientific and technical merit by a scientific review group; and for program relevance by a national advisory council/board.

Administrative supplements are reviewed for merit and relevance by NIH scientific staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

A principal investigator may question the substantive or procedural aspects of his/her application by communication with the NIH scientific/research contact as provided in the initiative.

Renewals:

Administrative supplements are not renewable.

Other programs may be renewable and applicants must review the funding opportunity announcement for further guidance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Almost all awards are made for at least one year. Applicants should review to the specific funding opportunity announcement for further detail. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Applicants must submit annual progress reports and selected financial reports. Final progress reports, invention statements and expenditure reports are required at the end of the project. Cash reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees must generally retain financial and programmatic records, supporting documents, statistical reports and all other records that are required by the terms of a grant or may reasonably be considered pertinent to a grant for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR is submitted. Those grantees must maintain the records pertinent to the entire competitive segment for 3 years from the date of submission of the annual FSR to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of the annual FSR to NIH. See 45 CFR 75.361 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken). Those

sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. In accordance with 45 CFR 75.364, the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipient's personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-1208-4-6-000.

Obligations:

(Project Grants) FY 16 \$34,609,087; FY 17 est \$34,000,000; and FY 18 est \$32,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-08-0031-0031-1208-846.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Office of Research on Women's Health 301 402-1770.

Headquarters Office:

Bobby G. Gibson 6707 Democracy Blvd., Suite 400, Bethesda, Maryland 20817 Email: bobby.gibson@nih.gov Phone: 3014969472

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.314 EARLY HEARING DETECTION AND INTERVENTION INFORMATION SYSTEM (EHDI-IS) SURVEILLANCE PROGRAM

EHDI Information System

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 311, 317(k)(2), 317(C), and 399M(b).

OBJECTIVES:

The objective of this program is to assist EHDI programs in developing and maintaining a sustainable, centralized newborn hearing screening tracking and surveillance system capable of accurately identifying, matching, collecting, and reporting data on all occurrent births that is unduplicated and individually identifiable. Additionally, for those programs with fully developed EHDI information systems, program will enhance the electronic system capacity to collect data, and exchange data accurately, effectively, securely, and consistently between the EHDI-IS and Electronic Health Record Systems (HER-S).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support to assist jurisdictional programs in developing

and maintaining a sustainable, centralized EHDI Information Systems and to enhance electronic system capacity to collect data, ensure children receive recommended screening and follow-up services, and exchange data accurately, effectively, securely, and consistently between the EHDI-IS and Electronic Health Record Systems. Funds may be used to conduct applied research related to newborn and infant hearing screening, evaluation and intervention programs and systems. Funds may be used to ensure quality monitoring of newborn and infant hearing loss screening, evaluation, diagnosis, and intervention programs and systems. Funds may be used to provide technical assistance on data collection and management. Funds may be used to study the costs and effectiveness of newborn and infant hearing screening, evaluation and intervention programs and systems conducted by State-based programs. Funds may be used to identify the causes and risk factors for congenital hearing loss. Funds may be used to study the effectiveness of newborn and infant hearing screening, audiologic and medical evaluations and intervention programs and systems by assessing the health, intellectual and social developmental, cognitive, and language status of these children at school age. Funds may be used to promote the sharing of data regarding early hearing loss with other monitoring programs. Funds may not be used for clinical care, purchase screening or diagnostic equipment, supplant funds available for screening, diagnosis, early intervention, or tracking for hearing lost or other disorders detected by newborn screening.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Cost principles for state, local, and Indian tribal governments will be determined in accordance with OMB Circular A-87. Cost principles for educational institutions will be determined in accordance with OMB Circular A-21. Cost principles for nonprofit recipients will be determined in accordance with OMB Circular A-87. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

After review and approval, a Notice of Award from the CDC Office of Grant Services (OGS) is prepared and signed by an authorized Grants Management Officer and mailed to the recipient officer identified in the application, along with appropriate notification to the public. The initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. Cooperative agreement awards and grants, competitive and single eligibility, are determined by an internal objective committee review process at CDC, including peer reviews for research activities. Awards will be based on evaluation criteria set forth in the respective Notice of Funding Opportunity, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Office of Grant Services, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. Throughout project periods, CDC's commitment to continuation of awards will be conditioned on the availability of funds and evidence of satisfactory progress by recipient as documented in the required reports. If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 4 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from 1 to 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

Annual Progress Reports are submitted 90 days after the end of the budget period and covers budget period progress. Final Progress report which covers the project period is due 90 days after the end of the project period. Federal Financial Reports (FFR) is required 90 days after the end of each budget period. Semiannual or annual progress reports are required. Annual Progress reports and federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial reports and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Notice of Funding Opportunity. Final federal financial reports and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Semiannual or annual progress reports are required. Annual Progress reports and Federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial reports and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Notice of Funding Opportunity. Expenditure reports are not applicable. Performance monitoring is not applicable.. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of OMB Circular No. 1 A-133 (Revised, June 27 2003), Audits of State, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with the HHS Grants Policy Statement requirements.

Account Identification:

75-0958-1-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$7,023,971; FY 17 est \$7,204,926; and FY 18 est \$7,204,926

Range and Average of Financial Assistance:

FY 17/18 range is dependent upon funding availability. Award Amounts range is \$150,000.

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Deidra E. Green 1600 Clifton Rd, NE, MS-E87, Atlanta, Georgia 30333 Email: deg4@cdc.gov Phone: 404-498-3034

Website Address:

<http://www.cdc.gov/ncbddd>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In general, applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and merit of the overall application relative to the types of projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, and other appropriate national, regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

93.315 RARE DISORDERS: RESEARCH, SURVEILLANCE, HEALTH PROMOTION, AND EDUCATION

Rare Disorders and Health Outcomes

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act Sections 301, 301(a), 311, and 317C (42 U.S.C. Sections 241, 241(a), 237b-4, 243, 247-4, and 247b-4, as amended.

OBJECTIVES:

To (1) Promote public health capacity by conducting research to expand the knowledge base around people with complex disabling conditions, including muscular dystrophy, fragile X syndrome and spina bifida across the lifespan, with the goal of improving their health and quality of life; (2) apply lessons learned to design public health approaches for other childhood onset complex disabling conditions; (3) develop and disseminate information from surveillance and epidemiologic research, identifying intervention strategies for secondary conditions; (4) assess access to and costs associated with health care these conditions; (5) collaborate with partners in the public and private sector to support the development of standards of care and improve health care and related services for people with complex disabling conditions; (6) deliver technical assistance to public and private organizations, universities and local and state agencies on accessing factors affecting medical, functional, and psychosocial outcomes; (7) provide leadership in health education and promotion in people of all ages who have complex disabling conditions and their families; and (8) support partners in facilitating early identification of these diseases and adoption of effective interventions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to strengthen, expand, build upon or enhance research, surveillance, health promotion, education, and prevention/intervention activities that contribute to the mission and activities of the Division of Human Development and Disability. Funds may be used to improve and strengthen State and local public health infrastructure by providing technical assistance to other appropriate health agencies, organizations, special groups or coalitions. Funds may be used to support capacity building, program planning, development, implementation, evaluation, and surveillance for rare disorders and health outcomes, including related health promotion, education and training, and prevention/intervention. Funds may also be used to coordinate the dissemination of prevention information to the general public, target populations, state and local agencies, and public and private sector organizations in the United States. CDC will have substantial involvement with the award recipient during performance of the funded activity. Funds may not be used for direct curative or rehabilitative services. Seed Grants/Sub-Grants are not authorized under this program or included in Program authorizing legislation. As a result, the recipient is not permitted to fund seed grants or sub-grants. Recipient must issue proposed funding as a procurement requirement per the organizations established procedures.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Individuals/Families affected by rare disorder/conditions; Private nonprofit institution/organizations, minority populations including Spanish speaking populations.

Credentials/Documentation:

Applicants should review the individual CDC Notice of Funding Opportunity (NOFO) document issued under this program for any required proof or certification that must be submitted prior to, or simultaneous with, submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Yes All Notice of Funding Opportunity are published on www.grants.gov. Applicants must complete the www.grants.gov registration process. Please see the following www.grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download the SF424 (R&R) application forms and SF424 (R&R) Application Guide for this requirement through www.grants.gov/Apply.

Only the forms package directly attached to a specific Notice of Funding Opportunity (NOFO) can be used. Applicants will not be able to use any other SF424 (R&R) forms (e.g., sample forms, forms from another NOFO) although some of the "Attachment" files may be useable for more than one FOA.

If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Office of Grant Services, (770) 488-2700.

HHS/CDC Telecommunications for the hearing impaired: TTY 770-488-2783.

The standard application forms must be used for this program, as furnished by CDC and required by 45 CFR 92 for State and local governmental agencies, and by 45 CFR 74 for nongovernmental applicants. This program is subject to the provisions of 45 CFR 92 for State and local governments and 45 CFR 74 for nonprofit organizations.

Award Procedure:

Applications will be reviewed for completeness by the CDC Office of Grant Services (OGS) and for responsiveness by the National Center for Birth Defects and Developmental Disabilities (NCBDDD) and OGS. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application

did not meet submission requirements. Successful applicants will receive a Notice of Award (NOA) from the CDC Office of Grant Services. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application. FOR RESEARCH GRANTS: Applications that are complete and responsive to the announcement will be evaluated for scientific and technical merit by an appropriate peer review group convened by NCBDDD in accordance with the review criteria stated below. All applications will: undergo a selection process in which only those applications deemed to have the highest scientific merit, generally the top half of applications under review, will be discussed and assigned a priority score; receive a written critique; receive a second level of review by the Board of Scientific Counselors. FOR NON-RESEARCH GRANTS/COOPERATIVE AGREEMENTS: Applicants are required to provide measures of effectiveness that will demonstrate the accomplishment of the various identified objectives of the grant or cooperative agreement. Measures of effectiveness must relate to the performance goals stated in the "Purpose" section of the announcement. Measures must be objective and quantitative and must measure the intended outcome. The measures of effectiveness must be submitted with the application and will be an element of evaluation. An objective review panel will evaluate complete and responsive applications according to the criteria listed. The objective review process will follow the policy requirements as stated in the GPD 2.04, <http://198.102.218.46/doc/gpd204.doc>.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Throughout the project periods, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by recipient (as documented in the required reports), and the determination that continued funding. If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 4 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from 1 to 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

Assistance Award Closeout Requirements: Within 90 days after the last day of the final budget period the following final reports and other programmatic reports are required: 1) Final Progress Report to include a statement of progress made toward the achievement of originally stated aims, a description of results (positive or negative) considered significant, and a list of publications resulting from the project, with plans, if any, for further publication; 2) Federal Financial Report to include only those funds authorized and actually expended during the timeframe covered by the report; 3) Equipment Inventory Report, if no equipment is acquired under the award, a negative report is required; and 4) Final Invention Statement, if no inventions were conceived under this assistance award, a negative report is required. The FFR- Federal Cash Transaction Report, form SF272 is due quarterly directly to the DHHS Payment Management System. For more information on cash transaction reports, see the Division of Payment Management's Web site <http://www.dpm.psc.gov/>. Annual Performance Report: This report is due 120 days before the end of the budget period and serves as the continuation application each year. The Annual Progress Report (APR) is due 90 days after the end of the budget period. The APR must contain the Annual Performance Report and a Success

Story. Additional reporting requires for the APR will be noted in the Notice of Award (NOA). The Annual Federal Final Report (FFR) is required and must be submitted through eRA commons 90 days after the end of each budget period. The FFR should only include funds authorized and actually expended during the timeframe covered by the report. If the FFR is not finalized by the due date, and interim FFR must be submitted and marked NOT FINAL, and an amount of un-liquidated obligations should be annotated to reflect unpaid expenses. . The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with the HHS Grants Policy Statement requirements.

Account Identification:

75-0958-1-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$5,754,724; FY 17 est \$5,944,725; and FY 18 est \$5,944,725

Range and Average of Financial Assistance:

FY17/18 range is dependent upon funding availability. Award Amounts range from \$20,530 to \$500,000.

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit. The HHS Grants Policy Statement can be found online at: <http://www.hhs.gov/asrt/og/grantinformation/hhsgrps107.pdf>

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Tiffany Neal 1600 Clifton Road, NE, MS-E88, Atlanta, Georgia 30329-4027
Email: tmn0@cdc.gov Phone: 404-498-3042

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Description: In general, applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and merit of the overall application relative to the types of projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, and other appropriate national, regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed. Other criteria will be

listed in the individual funding opportunity announcement.

93.316 PUBLIC HEALTH PREPAREDNESS AND RESPONSE SCIENCE, RESEARCH, AND PRACTICE

Public Health Preparedness Science

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Part 247b, Section 317(k)(2).

OBJECTIVES:

The overall goal of this program is to conduct research and related public health preparedness and response (PHPR) program activities to build the scientific evidence base for public health preparedness, response, and recovery (PHPRR). Scientific research that addresses PHPR program priorities supports agency efforts to improve and enhance the nations health security. This program is intended to support this research, dissemination of research findings and products, translation of new science-based evidence to practice in the public health preparedness and response system, and the evaluation of effective application of science for improved practice as well as other programs, projects, or activities to advance preparedness science.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Funds will be used to support public health preparedness and response science and research that may include: 1) conduct of applied interdisciplinary public health research designed to examine and strengthen the practices, functions, structure, capabilities, and/or performance of organizations involved in preparing for and responding to any and all potential public health threats and hazards; 2) develop, implement, and evaluate innovative strategies for disseminating and translating research findings, products, and knowledge for improving PHPRR systems, practices, and functions; 3) develop and execute proposals to implement evidence-based PHPRR practice interventions in conjunction with evaluation of the implementation and effectiveness of the intervention; 4) rapid response research that will inform ongoing response to and recovery from any disaster or public health emergency.

Recipients may only expend funds for reasonable public health preparedness, response, and recovery research, evaluation, dissemination, and translation activities, and program purposes, including personnel, travel, supplies, and services, such as contractual arrangements with practice partners, research partners, or consultants. Recipients may not use funds for clinical care. Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. Funds may not be used for construction or renovation of buildings. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

Eligible applicants may include public and private nonprofit and for profit organizations and governments and their agencies, such as: public nonprofit organizations private nonprofit organizations, for-profit organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith-based organizations, Federally Recognized Indian Tribal Governments, Indian tribes, Indian tribal organizations, state and local governments or their bona fide agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Marianna Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau), political subdivisions of states (in consultation with States).

Beneficiary Eligibility:

Eligible beneficiaries may include public and private nonprofit and for profit organizations and governments and their agencies, such as: public nonprofit organizations private nonprofit organizations, for-profit organizations, small,

minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith-based organizations, Federally Recognized Indian Tribal Governments, Indian tribes, Indian tribal organizations, state and local governments or their bona fide agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau), political subdivisions of states (in consultation with states).

Credentials/Documentation:

Applicants should review the individual Centers for Disease Control and Prevention (CDC) funding opportunity announcement issued under this CFDA program for any proof of certifications which must be submitted prior to or simultaneous with submission of an application package. Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures (where applicable) and provide a budget justification of funds requested. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding Opportunity Announcements for this program are posted on www.Grants.gov, the official federal agency wide e-grant Web site. Application forms and instructions specific to each announcement are posted at that site. Organizations must submit applications via [Grants.gov](http://www.grants.gov) (<http://www.grants.gov/>), the online portal to find and apply for grants across all Federal agencies. Applications must have a Dun and Bradstreet (D&B) Data Universal Numbering System number as the universal identifier when applying for Federal grants or cooperative agreements. The D&B number can be obtained by calling (866) 705-5711 or through the web site at <http://www.dnb.com/us/>.

Award Procedure:

After review and approval of an application, as outlined in the FOA, successful applicants will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Project periods are for 1 to 5 years with 12-month budget periods, as outlined in the FOA. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), the determination that continued funding is in the best interest of the Federal Government, and any other specific considerations noted in the FOA.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is provided for 1 to 5 years with 12-month budget periods, subject to availability of funds and satisfactory progress of the grantee. Method of awarding/releasing assistance: lump sum.

Reports:

Equipment inventory (three months after end of project); invention statement (annual) and reprints and copies of resulting publications. Federal Financial Report cash transaction reports (FFR SF-425) must be filed in the Payment Management System (PMS) within 30 days of the end of each quarter. Interim progress report (annual), annual progress report, and final performance report (three months after end of project). Financial status reports (annual), no later than 90 days after the end of each specified period. Site visits performed as needed/required. Expenditure reports are not applicable. Bi-Annual and Annual.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. None.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No data available.

TAFS Codes:

75-0956.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 74 and Part 92. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50.000, (Rev.) April 1, 1994, is available.

Regional or Local Office:

None.

Headquarters Office:

Van A. King 1600 Clifton Road
MS D-29
, Atlanta, Georgia 30029-4018 Email: vbk5@cdc.gov Phone: 4046395276

Website Address:

<http://www.cdc.gov/phpr/science/research.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention being given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as the significance for and relevance to preparedness practice, innovation, generalizability, and scientific merit of the overall application relative to the types of research, evaluations, demonstrations, assessments, and special projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed activities; (3) feasibility and likelihood of producing meaningful results from the activities proposed, analytic approach, and evaluation procedures; (4) overall match between the proposed programs and the nation's health security priorities and needs; and (5) reasonableness of the proposed budget in relation to the work proposed.

93.317 EMERGING INFECTIONS PROGRAMS

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act Sections 301(a)[42 U.S.C. 241(a)], 317(k)(1)[42 U.S.C. 247b(k)(1)], and 317(k)(2)[42 U.S.C. 247b(k)(2)], as amended.

OBJECTIVES:

The purpose of the Emerging Infections Programs (EIP) is to assist in local, state, and national efforts to prevent, control, and monitor the public health impact of infectious diseases. The EIP cooperative agreement was formed in 1995 as a key component of CDC's national strategy to address and reduce emerging infectious disease (EID) threats. The EIP is a population-based network of state health agencies and their collaborators, including (but not limited to) academic institutions, local health departments, public health and clinical laboratories, infection control professionals, and healthcare providers. The network plays a critical role in conducting surveillance and applied epidemiologic and laboratory research, implementing and evaluating prevention and intervention projects, and responding quickly to new infectious disease issues. The unique strength of the EIP lies in the network's ability to quickly translate surveillance and research activities into informed policy and public health practice.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. Funding under this program will support activities that fall within the following general activities: (1) active surveillance; (2) applied public health epidemiologic and laboratory activities; (3) implementation and evaluation of pilot prevention/intervention projects; and (4) flexible response to public health emergencies. Activities: (1) address issues that the EIP network is particularly suited to investigate; (2) maintain sufficient flexibility for emergency response and new problems as they arise; (3) develop and evaluate public health interventions to inform public health policy and treatment guidelines; (4) incorporate training as a key function; and (5) prioritize projects that lead directly to the prevention of disease (<http://www.link.cdc.gov/nceid/dpei/eip>). The full list of restrictions for this program are included in the published Funding Opportunity Announcement for this program. General restrictions that apply are as follows:

Awardees may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. Funds relating to the conduct of research will be restricted until the appropriate assurances and Institutional Review Board approvals are in place.

Applicant Eligibility:

Eligibility is limited to State governments (specifically, state health departments) or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau).

The EIP infrastructure depends on a direct relationship with public health agencies that have sufficient legal authority and responsibility to perform public health surveillance and response activities. The network must also consist of definitive populations large enough to adequately determine disease burden, evaluate large scale interventions, and impact public health policy decisions.

Beneficiary Eligibility:

Beneficiaries of this program include State and local health departments, the District of Columbia, U.S. Territories, and the general public.

Credentials/Documentation:

Allowable costs will be determined in accordance with OMB Circular No. A-87

for State, Local, and Indian Tribal governments. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If access to the Internet is not available or if the applicant encounters difficulty in accessing the forms on-line, contact the HHS/CDC Procurement and Grant Office Technical Information Management Section (PGO TIMS) staff at (770) 488-2700 for further instruction. CDC Telecommunications for the hearing impaired or disabled is available at: TTY 1-888-232-6348.

Award Procedure:

Applications that are complete and responsive will be evaluated for scientific and technical merit by an appropriate Special Emphasis Panel (SEP) in accordance with CDC SEP review policies and procedures. CDC will not review incomplete and non-responsive applications. Complete and responsive applications will undergo a SEP review process, receive a written critique and be scored according to the published review criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer. Initial award provides funds for the first budget period (usually 12 months) and the NOA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Once competing applicants have been selected by the SEP review process for the first year of funding in the project period, those selected will be eligible to submit annual non-competitive continuation applications for each successive budget period of the project period.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approval/disapproval information is typically provided to applicants within 90 to 120 days of the application due date.

Appeals:

Not Applicable.

Renewals:

Awards under this Program are typically issued for 1- to 5-year project periods. Renewals at the end of each project period are expected to be made available via publishing of a new/continuation FOA with a complete application and review/award process as described above. Annual renewals within an awarded multi-year project period are made via a non-competitive process and are subject to the availability of funding.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within a 1- to 5-year project period. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. No later than 10 days after the end of each calendar quarter, the recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the

Payment Management System (PMS). The reporting schedule will be based on the requirements listed in the EIP funding opportunity announcement. Funded applicants must provide CDC with an annual Interim Progress Report. Additionally, funded applicants must provide CDC with a Federal Financial Report (SF 425) no more than 90 days after the end of each budget period, and a final Performance and Federal Financial Report no more than 90 days after the end of the project period. All reports must be submitted to the Grants Management Specialist indicated in the NoA. Additional details regarding the reporting requirements for this program are included in the published Funding Opportunity Announcements. Federal Financial Reports (SF 425) are due no more than 90 days after the end of the budget period. Federal Financial Reports and Progress Reports are required per the reporting schedule listed in the EIP funding opportunity announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be retained for a minimum of 3 years after the end of a budget period, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0949-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$65,697; FY 17 est \$20,362,615; and FY 18 est \$28,000,000 - For 2017: \$20,362,615 awarded under this CFDA program. An additional \$18,092,700 was awarded under (PPHF) CFDA 93.521.

Range and Average of Financial Assistance:

Under this CFDA: awards typically range between \$1.3M to 3M annually, with an average award of \$2.4M.

TAFS Codes:

75-0949.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Only one grantee was awarded funds under this CFDA in FY 2016. Fiscal Year 2017: 11 applications were received for 2017. 10 awards were made. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published in the specific Funding Opportunity Announcements and identified on the notices of award. Regulations are also published under 42 CFR 55b. Guidelines are available under 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhsolicy.htm>.

Regional or Local Office:

None.

Headquarters Office:

Rainy Henry 1600 Clifton Road NE, MS-C18, Atlanta, Georgia 30329 Email: roa3@cdc.gov Phone: 404-639-6146

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.521 The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: Activities for this program fall into the following general categories: (1) active surveillance; (2) applied public health epidemiologic and laboratory activities; (3) implementation and evaluation of pilot prevention/intervention projects; and (4) flexible response to public health emergencies. Activities: (1) address issues that the EIP network is particularly suited to investigate; (2) maintain sufficient flexibility for emergency response and new problems as they arise; (3) develop and evaluate public health interventions to inform public health policy and treatment guidelines; (4) incorporate training as a key function; and (5) prioritize projects that lead directly to the prevention of disease (<http://wwwlink.cdc.gov/nceizid/dpei/eip>).

Activities include conducting active, population-based surveillance and special studies for invasive bacterial diseases, foodborne pathogens, healthcare associated infections (HAIs), influenza, and many other infectious diseases.

Fiscal Year 2018: Same as 2017.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed against specific Evaluation Criteria published in the Funding Opportunity Announcement for this program. Evaluation Criteria in the most recently published FOA include: (1) capacity to perform core functions of an EIP, (2) proposed operational plan for carrying out the specific objectives of the program, and (3) measures of effectiveness.

93.318 PROTECTING AND IMPROVING HEALTH GLOBALLY: BUILDING AND STRENGTHENING PUBLIC HEALTH IMPACT, SYSTEMS, CAPACITY AND SECURITY

Global Health

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act sections 301(a) and 307, as amended, 42 U.S.C. 241 and 2421.

OBJECTIVES:

CDCs global health efforts are to assist Ministries of Health and other international partners to plan, manage effectively, and evaluate public health programs; achieve U.S. Government program and international organization goals to improve health, including disease eradication and elimination targets; expand CDCs global health programs that focus on the leading causes of worldwide mortality, morbidity and disability, including chronic disease and injuries; generate and apply new knowledge to achieve health goals; and, strengthen health systems and their impact.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Support the implementation of programs and activities focusing on protecting and improving health globally through regional, national, and local partnerships to develop, implement and strengthen public health surveillance, health information systems (including monitoring and evaluation), public health laboratory capacity, workforce development, as well as systems and capacities for the prevention, detection, response, and control of infectious and non-communicable diseases, injury prevention efforts and public health emergencies. Support must also be aimed at building upon existing public health infrastructure focusing on country priorities that are of mutual interest to CDC in order to strengthen regional and national partnerships, public health capacity, leadership, strategic collaboration and integrated health programming through national ministries of health, national public health networks and inter-sectorial co-operation and collaborations with other health organizations, academic institutions and community groups as appropriate to accomplish program activities. In addition, projects must also consider monitoring and evaluation of overall program performance and the progress of projects or activities. Recipients may not use funds for clinical care. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. Awardees may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in

the budget.

The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. Reimbursement of pre-award costs is not allowed.

Applicant Eligibility:

Dependent on the FOA, eligibility may range from open, competitive, limited, or single eligibility in accordance with authorizing legislation.

Beneficiary Eligibility:

This will benefit individuals worldwide, including in the U.S., through collaborations with national Ministries of Health and other organizations/institutions. This is only for non-research activities supported by CDC/ATSDR. For the definition of research, please see <http://www.cdc.gov/od/science/quality/resources>.

Credentials/Documentation:

Applicants should document the need for assistance, state objectives of the project, address the background, approach strategies and evaluation methods of the project/program, and provide a budget with justification of funds. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Eligible applicants will be reviewed for completeness by CDC'S Procurement and Grants Office (PGO) staff. In addition, eligible applicants will be jointly reviewed for responsiveness by CDe and PGO. Incomplete applicants will be notified that the application did not meet eligibility and/or published submission requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Renewals will require a yearly continuation application based upon availability of funds and programmatic compliance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12 month budget period, within five-year project period. As specified in the Notice of Award: Lump sum upon completing program activities. Method of awarding/releasing assistance: lump sum.

Reports:

Per Funding Opportunity Announcement Part II: Reporting - No cash reports are required. Awardees must submit one report per year; ongoing performance measures data, administrative reports, and a final performance and financial report. The Annual Performance Report (due 120 days before the end of the budget period and serves as continuation application.) Preapproved budget tracking and reconciliation as part of Progress Report. Performance Measures (including outcomes) - Awardee must report on performance measures for each budget period and update measures, if needed.

Performance Measure Reporting: Awardee is required to submit performance

measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a programs-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year om Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

No Data Available.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$147,656,297; FY 17 est \$97,771,052; and FY 18 est \$97,771,052

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Caroline Gardner, 1600 Clifton Road, MS-29, Atlanta, Georgia 30329 Email: ctg8@cdc.gov Phone: 404-639-7276

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.319 OUTREACH PROGRAMS TO REDUCE THE PREVALENCE OF OBESITY IN HIGH RISK RURAL AREAS

High Risk Obesity

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 301(a) and 317(k) Public Health Service Act, 42 U.S. Code 241(a) and 247b (k).

OBJECTIVES:

The purpose of this project is to assist Land-Grant institutions to conduct pilot programs through existing extension and outreach services to enhance and expand efforts to combat the prevalence of obesity in areas where the problem

is worst, particularly rural areas.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Increase capacity of local outreach services to include new or enhanced services related to nutrition and physical activity that promote, support, facilitate and implement strategies to improve access and opportunities to achieve optimal physical, social, and emotional well-being to reduce the prevalence of obesity and affect long term changes in the rates of obesity in rural settings. Funds may be used to support approaches to chronic disease prevention and control described in section 050 above. Recipients may expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease.

Applicant Eligibility:

(1) Must conduct activities in eligible counties (defined as having an obesity prevalence of 40%+ (based on 20062008 data from BRFSS, CDC County-Level Map at <http://www.cdc.gov/diabetes/statistics/>), and (2) Must use existing local extensions and outreach services to accomplish project.

Beneficiary Eligibility:

Individual/Family (residents) in local counties/rural areas will benefit from this assistance through increased access and opportunities to healthy, affordable foods and beverages, safe and convenient places for physical activity and breastfeeding duration and support,.

Credentials/Documentation:

Documentation must be provided as follows: (1) List of counties applicant proposes to work in and % obesity prevalence, (2) List of extensions and outreach services and year established, (3) Letters of support from local extensions. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Submit Annual Progress Reports through www.Grants.gov. If you encounter any difficulties submitting your interim progress report through www.Grants.gov, please contact CDC's Technical Information Management Section at (770) 488-2700 prior to the submission deadline. If further information is needed regarding the application process, please contact the CDC Procurement and Grants Office at (770) 488-2710 located at 2920 Brandywine Road, Atlanta, GA 30341

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. September 30, 2014 September 29, 2017.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. 180 Days. Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 3 years with 12 month budget periods.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Five Year Project Period. Method of awarding/releasing assistance: lump sum.

Reports:

Semi-annual reports from each entity awarded a grant, cooperative agreement, or contract, summarizing the activities undertaken and identifying any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the recipient), to be posted not later than 30 days after the end of each 6-month period. No cash reports are required. Reporting provides continuous program monitoring and identifies successes and challenges that awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding. Awardees must submit an Annual Performance Report via www.grants.gov 120 days before the end of each budget period. The report must not exceed 45 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed. This report must include the following: performance measures, evaluation results, work plan, successes, challenges, CDC program support needs, and administrative reporting. The annual FFR form (SF-425) is required and must be submitted through eRA Commons within 90 days after each budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final report must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. The final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data must correspond; no discrepancies between the data sets are permitted. Failure to submit the required information by the due date may affect adversely the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation and include the date by which the information will be provided. Awardees must provide a more detailed evaluation and performance measurement plan within the first six months of the project. This more detailed plan must be developed by awardees as part of first-year project activities, with support from CDC. This more detailed plan must build on the elements stated in the initial plan, and must be no more than 25 pages. Awardees are required to submit performance measures annually as a minimum, and may require reporting more frequently. Performance measure reporting is limited to data collection. When funding is awarded initially, CDC programs will specify required reporting frequency, data fields, and format.

Audits:

Not Applicable.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Project Grants) FY 16 \$1,985,806; FY 17 est \$5,774,207; and FY 18 est \$0 - This program was given an one year extension with funds which changes the budget/project period to end 9/29/2018.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Parts 215 and 220
Cost Principles for Educational Institutions (OMB Circular A21)

Regional or Local Office:

None.

Headquarters Office:

Linda Barnes 4770Buford Hwy, NE, NCCDPHP, Atlanta, Georgia 30341
Email: lbarnes@cdc.gov Phone: 404-867-9697

Website Address:

No Data Available

RELATED PROGRAMS:

93.548 The Patient Protection and Affordable Care Act of 2010
(2010)Nutrition, Physical Activity and Obesity Program ; 93.742 PPHF 2012:
Early Childcare and Education Obesity Prevention Program - Obesity
Prevention in Young Children - financed solely by 2012 Public Prevention and
Health Funds

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC NCCDPHP and PGO. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the Criteria section of the FOA.

There may or may not be an additional review which will be provided in the FOA. Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements.

93.321 DIETARY SUPPLEMENT RESEARCH PROGRAM

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

NIH Revitalization Act of 1993, 22 Stat. , U.S.C 289(A)(1).

OBJECTIVES:

The NIH Office of Dietary Supplements will identify projects that should be conducted or supported by national research institutes; identify multi-disciplinary research related to dietary supplements that should be conducted or supported; and promote coordination and collaboration among entities conducting research.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

To support research and other projects that strengthen knowledge and understanding of dietary supplements and translation of that knowledge into effective prevention strategies. Awards are limited by availability of funds. Specific restrictions are outlined in each funding opportunity announcement.

Applicant Eligibility:

Awards can be made to domestic, public or private, non-profit or profit organization, institution of higher learning, university, hospital, laboratory, or other institution including state and local units of government and tribal entities. Some initiatives will accept applications from foreign organizations. Additional details on eligibility will be specified in the funding opportunity announcement.

Beneficiary Eligibility:

Institutions as described above.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Competitive peer reviewed applications are reviewed for scientific and technical merit by a scientific review group; and for program relevance by a national advisory council/board. Administrative supplements are reviewed for merit and relevance by NIH scientific staff.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

A principal investigator may question the substantive or procedural aspects of his/her application by communication with the NIH scientific/research contact as provided in the initiative.

Renewals:

Administrative supplements are not renewable. Other programs may be renewable and applicants must review the funding opportunity announcement for further guidance.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Almost all awards are made for at least one year. Applicants should refer to the specific funding opportunity announcement for further detail. Method of awarding/releasing assistance: lump sum.

Reports:

Applicants must submit annual progress reports and a final closeout progress report. A final invention statement and Certification is also required at the end of the project. SF425 cash reports must be submitted on a quarterly basis. Applicants must submit annual progress reports and a final closeout progress report. A final SF425 expenditure report is required at the end of the project. Progress reports will be monitored annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees must generally retain financial and programmatic records, supporting documents, statistical reports and all other records that are required by the terms of a grant or may reasonably be considered pertinent to a grant for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR is submitted. Those grantees must maintain the records pertinent to the entire competitive segment for 3 years from the date of submission of the annual FSR to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of the annual FSR to NIH. Office of Dietary Supplements will adhere to the requirements specified in 45 CFR 75, specifically:

75.361 - Retention requirements for records.

75.362 - Requests for transfer or records.

75.363 - Methods for collection, transmission and storage of information.

75.364 - Access to records.

75.365 - Restrictions on public access to records.

Account Identification:

75-4084-6-0-000 - Office of Dietary Supplements.

Obligations:

(Project Grants) FY 16 \$1,871,822; FY 17 est \$2,000,000; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

The maximum funding under future program announcements is \$100,000 for any single award per year.

TAFS Codes:

75-14-0846.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Office of Dietary Supplements.

Headquarters Office:

Cindy D. Davis 6100 Executive Blvd, Suite 3B01
, Rockville, Maryland 20852 Email: davisci@mail.nih.gov Phone:
301-496-0168

Website Address:

<http://ods.od.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.322 CSELS PARTNERSHIP: STRENGTHENING PUBLIC HEALTH LABORATORIES

Laboratory Leadership, Workforce Training and Management Development

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 317(k)(2), 42 U.S.C 247b(k)(2) as amended.

OBJECTIVES:

The activities under this cooperative agreement are aligned with the following Healthy People 2020 overarching objectives: Global Health, Environmental Health, Food Safety, Immunizations and Infectious Diseases, Sexually Transmitted Diseases, HIV, Health Communication and Health Information Technology, Preparedness, Public Health Infrastructure, and Occupational Safety and Health.

The major objective is to enhance and strengthen the work and functionality of public health laboratories both domestically and abroad. The overarching goal is to improve several aspects of public health laboratories. These areas include the following: improving public health laboratory infrastructure, increasing the capacity through workforce development, promoting quality laboratory practices, expanding and improving health security, promoting and supporting informatics, and enhancing communication linkages.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting public health laboratory infrastructure programs, and for the implementation of other program elements as described in Section 050 above.

The purpose of the program is to enhance the work of public health laboratories in the U.S. and abroad. The program aims to promote quality public health

laboratory practice, improve public health laboratory infrastructure, strengthen the public health laboratory system, and to develop a well-trained public health laboratory workforce in the U.S. and globally. It also aims to ensure laboratory preparedness for emerging infectious diseases or other biologic and chemical public health threats, promote technology transfer to ensure up-to-date technologies for the testing laboratory, and enhance communication linkages between state and local public health laboratories and the clinical laboratory testing community. Improvement of public health laboratory infrastructure, state-of-the-art training for the nation's laboratorians to be prepared in dealing with public health threats, improving laboratory leadership capabilities, enhancing inter-laboratory communications and provide programmatic and technical support to other focus areas including policy, emergency preparedness, global health, informatics and professional development. Project funds may be used for costs associated with planning, organizing, conducting, and supporting public health laboratory infrastructure programs, and for the implementation of other program elements as described in Section 050 above. There are restrictions to the use of funds on construction.

The purpose of the program is to enhance the work of public health laboratories in the U.S. and abroad. The program aims to promote quality public health laboratory practice, improve public health laboratory infrastructure, strengthen the public health laboratory system, and to develop a well-trained public health laboratory workforce in the U.S. and globally. It also aims to ensure laboratory preparedness for emerging infectious diseases or other biologic and chemical public health threats, promote technology transfer to ensure up-to-date technologies for the testing laboratory, and enhance communication linkages between state and local public health laboratories and the clinical laboratory testing community. Improvement of public health laboratory infrastructure, state-of-the-art training for the nation's laboratorians to be prepared in dealing with public health threats, improving laboratory leadership capabilities, enhancing inter-laboratory communications. This award will be used for direct assistance funding.

Applicant Eligibility:

Applicants must have experience with enhancing and strengthening the work and functionality of public health laboratories both domestically and abroad. The overarching goal is to improve several aspects of public health laboratories.

Beneficiary Eligibility:

Applicants must have experience with enhancing and strengthening the work and functionality of public health laboratories both domestically and abroad. The overarching goal is to improve several aspects of public health laboratories. The nation's public is the ultimate recipient of benefits from this program.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For all other nonprofit grantees, cost will be determined in accordance with HHS Regulations 45 CFR 74 Subpart Q. For-profit organizations' costs are determined in accordance with the Federal Acquisition Regulations, 48 CFR 31. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A letter of intent is required for this funding opportunity agreement.

To apply for this funding opportunity use application form PHS 5161. Application forms and instructions are available on www.grants.gov and/or the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at: 770-488-2700. Application forms can be mailed to you.

Award Procedure:

Applications will be evaluated for completeness and responsiveness by the agency program and CDC Procurement and Grants Office (PGO). CDC will not review incomplete and non-responsive applications. After review and approval, a notice of grant award (NoA) will be prepared and processed, along with appropriate notification to the public. Initial award provides funds for the first budget period (usually 12 months) and the NoA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Cooperative agreement project periods are 1 to 5 years. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon satisfactory progress by the recipient (as documented in required reports) and the determination that continued funding is in the best interest of the Federal government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to five years subject to the availability of funds and satisfactory progress of the grantee. Method of awarding/releasing assistance: lump sum. See the following for information on how assistance is awarded/released: Automatic Drawdown from the Payment Management Service.

Reports:

Annual or semiannual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Annual or semiannual progress reports are required. Performance monitoring is applicable with substantial involvement from CDC. Quarterly expenditure projections are required, no more than 30 days after the end of each quarter. No cash reports are required. Annual or semiannual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Annual or semiannual progress reports are required. Performance monitoring is applicable with substantial involvement from CDC. Quarterly expenditure projections are required, no more than 30 days after the end of each quarter. Annual or semiannual progress reports are required. Financial status reports are required no later than 30 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Annual or semiannual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Performance monitoring is not applicable. Quarterly expenditure reports required, no more than 30 days after the end of each quarter. CDC will provide guidance on performance reporting requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine HHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a

result of audit, related records should be retained until the matter is completely resolved.

Financial and personnel records including financial awards, financial status reports, interim reports and final reports must be maintained for a period of 7 years.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine HHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550 - Cooperative Agreement.

Obligations:

(Cooperative Agreements) FY 16 \$27,856,840; FY 17 est \$32,000,000; and FY 18 est \$32,000,000

Range and Average of Financial Assistance:

Subject to avail funds.

Approximate Average Award: \$27 M

Floor Award Amount: \$7M

Ceiling Award Amount: \$30

Anticipated Award Date: July 1, 2015

Budget Period Length: 12 months

Project Period Length: 5 years.

TAFS Codes:

00-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; basic grant administration policies of DHHS and PHS are also applicable, 45 CFR 74 and 45 CFR 92; PHS Grants Policy Statement, DHHS publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

See Regional Agency Offices. For program technical assistance, contact: David Delozier, Public Health Advisor
Department of Health and Human Services
Centers for Disease Control and Prevention
MS E94, 1600 Clifton Road
Atlanta, GA 30333
Telephone: 404-498-1148
E-mail: dgd0@cdc.gov

For financial, grants management, or budget assistance, contact:

Terrian Dixon Grants Management Specialist
Department of Health and Human Services
CDC Procurement and Grants Office
2920 Brandywine Road, MS K70
Atlanta, GA 30341
Telephone: 770-488-2774
E-Mail: thd4@cdc.gov.

Headquarters Office:

Annie Harrison-Camacho 2400 Century Center Blvd, Atlanta, Georgia 30345
Email: atc4@cdc.gov Phone: 4044986451

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the review criteria described in the Funding Opportunity Announcements (FOAs). In general, the review and selection process of complete and responsive applications to the FOA consists of determination of the scientific and technical merit by objective or peer review, availability of funds, and relevance of program priorities and the priorities of DHHS. Refer to the FOA for additional review criteria. The budget is not scored.

93.323 EPIDEMIOLOGY AND LABORATORY CAPACITY FOR INFECTIOUS DISEASES (ELC) (ELC)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act Sections 301(a)[42 U.S.C. 241(a)] and 317(k)(2)[42 U.S.C. 247b(k)(2)].

OBJECTIVES:

The purpose of this program is to protect the public health and safety of the American people by enhancing the capacity of public health agencies to effectively detect, respond, prevent and control known and emerging (or re-emerging) infectious diseases. This is accomplished by providing financial and technical resources to (1) strengthen epidemiologic capacity; (2) enhance laboratory capacity; (3) improve information systems; and (4) enhance collaboration among epidemiology, laboratory, and information systems components of public health departments.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial and technical resources are intended to be utilized for non-research activities that (1) strengthen epidemiologic capacity; (2) enhance laboratory capacity; (3) improve information systems; and (4) enhance collaboration among epidemiology, laboratory, and information systems components of public health departments. Activities may include those that enable a public health organization to establish and maintain a capable and qualified workforce, achieve modern and well-equipped public health laboratories, implement up-to-date health information systems, and institute systems that foster communication and appropriate integration across epidemiology, laboratory, and health information systems.

Federal Financial Assistance (FA) and Direct Assistance (DA) are authorized. Project funds may be used for costs associated with planning, organizing, and the implementation of other program elements to build public health epidemiology, laboratory, and health information systems capacity. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services (e.g., contractual support). Funds may not be used for research or clinical care. Generally, awardees may not use funds to purchase furniture. Other than for normal and recognized executive-legislative relationships, no funds may be used for (1) publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body, or (2) the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body. (See Additional Requirement (AR) 12 for detailed guidance on this prohibition and additional guidance on lobbying for CDC awardees). Funds awarded to grantees are fully discretionary and funding levels are determined each fiscal year.

Applicant Eligibility:

Eligible applicants consist of state, local, and U.S. territory/possession governments currently funded under CDC-RFA-C110-1012 or CDC-RFA-CK12-1201. Specifically, these include: all 50 states, Washington, D.C., 5 largest local health departments (Chicago, Illinois; Houston, Texas; Los Angeles, California; New York City, New York; Philadelphia, Pennsylvania;), the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of

Micronesia, the Republic of the Marshall Islands, and the Republic of Palau or their bona fide agents.

Beneficiary Eligibility:

Direct beneficiaries include all 50 states, Washington, D.C., 5 largest local health departments (Chicago, Illinois; Houston, Texas; Los Angeles, California; New York City, New York; Philadelphia, Pennsylvania;), the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau or their bona fide agents.

Credentials/Documentation:

Allowable costs will be determined in accordance with OMB Circular No. A-87 for State, Local, and Indian Tribal governments. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications that are complete and responsive will be evaluated for scientific and technical merit and receive support. CDC will not review incomplete and non-responsive applications. Applications that are complete and responsive will undergo an objective review process, receive a written critique and be scored according to the published review criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer. Initial award provides funds for the first budget period (usually 12 months) and the NOA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Renewals of funding will be determined on availability of funding and response to continuation application.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project period up to five years subject to availability of funds and satisfactory continuation application from the grantee. Method of awarding/releasing assistance: lump sum.

Reports:

Annual or semiannual progress reports are required. Financial status reports are required no later than 30 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Annual or semiannual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Performance monitoring is not

applicable. Quarterly expenditure reports required, no more than 30 days after the end of each quarter. These reports must be submitted to the attention of the Grants Management Specialist listed in the Section VII below entitled Agency Contacts. No later than 10 days after the end of each calendar quarter, the recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). The reporting schedule will be based on the requirements listed in the ELC funding opportunity announcements. Description Funded applicants must provide an interim and annual progress report. Federal Financial Reports (SF 425) and Final Progress Report are due no more than 90 days after the end of the budget period. All reports must be submitted to the Grants Management Specialist indicated in the NoA. Other reporting requirements may be specific to the sub-component listed in the announcement-- see Agency for details. Federal Financial Reports (SF 425) are due no more than 90 days after the end of the budget period. Federal Financial Reports and Progress Reports are required, no more than 90 days after the end of the budget/project period. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$197,654,769; FY 17 est \$137,849,933; and FY 18 est \$137,849,933 - Funding provided under this CFDA # is one of two sources of funds for the ELC cooperative agreement (CK14-1401) and does not represent sole funding for ELC programs.

Range and Average of Financial Assistance:

Subject to availability of Funds.

TAFS Codes:

75-09-43.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 45, basic grant administration policies of DHHS and PHS are also applicable, 46 CFR 74 and 45 CFR 92, PHS Grants Policy Statement, DHHS publication No. (OASH) 94-50,000 (Rev.) April 1, 1994

Regional or Local Office:

See Regional Agency Offices. Alvin Shultz Scientific and Program Services Branch
Division of Preparedness and Emerging Infections
National Center for Emerging and Zoonotic Diseases
Centers for Disease Control and Prevention
Department of Health and Human Services
1600 Clifton Road, NE, Mailstop C18, Atlanta
Georgia 30333
Email: AShultz@cdc.gov
Phone: (404) 639-7028
Fax: (404) 639-7880

For financial, grants management, or budget assistance, contact:
Grants Management Specialist
Department of Health and Human Services

CDC Procurement and Grants Office
2920 Brandywine Road, MS K70
Atlanta, GA 30341.

Headquarters Office:

Angelica O'Connor 1600 Clifton Rd, NE (MS-C18), Atlanta, Georgia 30333
Email: AMOConnor@cdc.gov Phone: 4046397379

Website Address:

No Data Available

RELATED PROGRAMS:

93.521 The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) clearly provide a plan that includes quantitative process and outcome measures (2) provide adequate and clear information to enable the reviewer to gain a reasonable understanding of the applicants recent and current efforts to address the issues and (3) provide a realistic plan with activities that can be achieved in year one and subsequent years. Other criteria will be listed in the individual funding opportunity announcements.

93.324 STATE HEALTH INSURANCE ASSISTANCE PROGRAM SHIP

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Consolidated Appropriations Act of 2014 (Public Law 113-76) Section 4360 of Public Law 101-508 (42 USC 1995b-4)
42 CFR Ch. IV Subpart E Beneficiary Counseling and Assistance Grants, Public Law 113-76.

, Public Law 113-76.

OBJECTIVES:

To provide information, counseling, and assistance relating to obtaining adequate and appropriate health insurance coverage to individuals eligible to receive benefits under the Medicare program.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

A State shall submit a plan for a State-wide SHIP program. Such program shall

- A) Establish or improve upon a health insurance information, counseling, and assistance program that provides counseling and assistance to eligible individuals in need of health insurance information, including:
 - i) Information that may assist individuals in obtaining benefits and filing claims under titles XVIII and XIX of the Social Security Act;
 - ii) Policy comparison information for Medicare supplemental policies (as described in section 1882(g)(1) of the Social Security Act) and information that may assist individuals in filing claims under Medicare supplemental policies;
 - iii) Information regarding long-term care insurance; and
 - iv) Information regarding other types of health insurance benefits that the Secretary determines to be appropriate;
- B) In conjunction with the health insurance information, counseling, and assistance program described in subparagraph (A), establish a system of referral to appropriate Federal or State departments or agencies for assistance with problems related to health insurance coverage (including legal problems), as determined by the Secretary;
- C) Provide for a sufficient number of staff positions (including volunteer positions) necessary to provide the services of the health insurance information, counseling, and assistance program;

D) Provide assurances that staff members (including volunteer staff members) of the health insurance information, counseling, and assistance program have no conflict of interest in providing the counseling described in subparagraph (A);

E) Provide for the collection and dissemination of timely and accurate health care information to staff members;

F) Provide for training programs for staff members (including volunteer staff members);

G) Provide for the coordination of the exchange of health insurance information between the staff of departments and agencies of the State government and the staff of the health insurance information, counseling, and assistance program;

H) Make recommendations concerning consumer issues and complaints related to the provision of health care to agencies and departments of the State government and the Federal Government responsible for providing or regulating health insurance;

I) Establish an outreach program to provide the health insurance information and counseling described in subparagraph (A) and the referrals described in subparagraph (B) to eligible individuals; and

J) Demonstrate to the satisfaction of the Secretary, an ability to provide the counseling and assistance required under this section. Funds may not be used for construction and/or renovation of buildings, basic research (e.g. scientific or medical experiments), continuation of existing projects without expansion of existing services, and pre-award costs. For any available grant funds in excess of \$10,000,000, distribution of grants will be at the discretion of ACL, and will be made according to the criteria communicated to the States via grant solicitation.

Applicant Eligibility:

Grants or cooperative agreements may be made to States and U.S. Territories with approved State regulatory programs under section 1882 of the Social Security Act.

Beneficiary Eligibility:

Individuals eligible for Medicare benefits, including Part D drug benefits, and older persons eligible for benefits and services provided under Medicare, their families, and caregivers.

Credentials/Documentation:

Applicants must submit a signed commitment from the State to carry out the health insurance information, counseling, and assistance program as described in the funding announcement.

Applicants should present written evidence of other agencies willingness to cooperate when the project involves collaborative efforts of the utilization of non-ACL facilities or services. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Application forms are submitted through a centralized depository and received by the awarding agency Administration for Community Living. Applicants generally apply under a program announcement indicating all the necessary application procedures to be followed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

No formal appeals procedures. If an application is disapproved, the reasons for the disapproval will be fully stated. In the case of solicited proposals, extensions may be allowed to prepare revisions which clarify various aspects of projects.

Renewals:

If a grant/cooperative agreement application is recommended for approval for 2 or more years, the awarded may be requested to annually submit a formal request for continuation accompanied by progress report which will be evaluated prior to a recommendation of continuation.

Formula and Matching Requirements:

Statutory Formula: Title 42 CFR, Chapter IV, Subpart E, Public Law 403.505.

This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants/cooperative agreements are generally funded on a 12 month basis, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds.
Method of awarding/releasing funds: Payment Management System. Method of awarding/releasing assistance: by letter of credit.

Reports:

The reporting cycle will be reflected in the Notice of Award. The ACL program progress report is due semi-annually from the start date of the award and is due within 30 days of the reporting period end date. The suggested content and format for these reports will be available to the grantees during post award administration.

A State that receives a SHIP grant shall, not later than 180 days after receiving such grant, and annually thereafter during the period of the grant, issue a report to the Secretary that includes information concerning

- 1) The number of individuals served by the SHIP of such State;
- 2) An estimate of the amount of funds saved by the State, and by eligible individuals in the State, in the implementation of such program; and
- 3) The problems that eligible individuals in such State encounter in procuring adequate and appropriate health care coverage. Grantees are required to submit quarterly cash transaction reports (SF-425) to the Division of Payment Management. Semi-annual progress reports will be required. SF-425 Federal financial report will be required to report on expenditures. A final report will be due 90 days after the project period has ended. Interim reports for each budget period will be due as denoted in the award terms and conditions. Grantees will be expected to develop a quality assurance plan including performance indicators and a process for ongoing performance monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures, must be maintained for 3 years from the submission date of the final FFR document. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0142-0-1-506.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$52,115,000; FY 17 est \$52,115,000; and FY 18 Estimate Not Available - The SHIP program was formerly funded under CFDA 93.779 and migrated to the Administration for Community Living FY14.

Range and Average of Financial Assistance:

FY 16: 54 awards that range from \$50,000 to \$5,003,012 per budget period.

TAFS Codes:

75-01-0142-506.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All grantees must comply with statutory requirements and policies outlined in the Health and Human Grants Policy statement.

Regional or Local Office:

None. Rebecca Kinney
Rebecca.Kinney@acl.hhs.gov
202-795-7375.

Headquarters Office:

Rebecca Kinney 330 C Street SW
, Washington, District of Columbia 20201 Email: Rebecca.Kinney@acl.hhs.gov
Phone: 202-795-7375

Website Address:

<http://www.acl.hhs.gov>

RELATED PROGRAMS:

93.071 Medicare Enrollment Assistance Program; 93.626 Affordable Care Act State Health Insurance Assistance Program (SHIP) and Aging and Disability Resource Center (ADRC) Options Counseling for Medicare-Medicaid Individuals in States with Approved Financial Alignment Models; 93.779 Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The review process for grants/cooperative agreements consists of initially screening applications for completeness and relevancy to ACL priority areas. If the application is not relevant to ACL priority areas, it will be returned to the applicant. If accepted as submitted it will be reviewed and evaluated. The review will be conducted by a panel of not less than three experts. ACL Project officer or designee will coordinate the panels review, but will not vote. This individual or supervisor will also prepare the panels recommendation to the ACL Administrator. The panels recommendations will contain numerical ratings, rankings of applications, and a written assessment of each application. The recommendations will be based on published criteria as stated in the program announcement. The review process is also stated in the program announcement.

93.325 PARALYSIS RESOURCE CENTER

Paralysis Resource Center & National Limb Loss Resource Center (PRC)

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Part 241(a), Section 301(a), Public Law USC-42 U.S.C. 247(b).

OBJECTIVES:

The Paralysis Resource Center (PRC) grant is awarded to the Christopher & Dana Reeve Foundation. The mission of the Christopher & Dana Reeve Foundation in carrying out the PRC activities aligns well with ACLs mission and provides important new programmatic opportunities for persons with disabilities and older adults. The PRC provides comprehensive information for people living with spinal cord injury, paralysis and mobility-related disabilities and their families. Resources include information and referral by phone and email in multiple languages including English and Spanish; a peer and family support mentoring program; a military and veterans program; multicultural outreach services; free lending library; quality of life grants; and a national website.

The mission of the National Limb Loss Resource Center, operated by the Amputee Coalition is to reach out to and empower people affected by limb loss

to achieve their full potential through education, support, advocacy, and the promotion of limb loss prevention. The National Limb Loss Resource Center programs will use both traditional and innovative approaches to educate and inform people with limb loss, their family members, health care providers, policy makers, community members, and the general public about limb loss and limb difference. The Limb Loss Program will continue existing activities and programs that promote health, wellness and the adoption of healthy behaviors with the objective of preventing and/or reducing chronic conditions associated with limb loss.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Financial assistance has been awarded to operate and expand a national resource center for persons with paralysis, persons living with limb loss, their families, and their caregivers. Financial assistance has been awarded to conduct activities to improve the health and wellness of people with paralysis and limb loss intellectual disabilities. In these cases, project funds may not be used to supplant State, local, or institutional funds available for these activities, or for construction costs, or to purchase facilities or space. Grantees may enter into contracts as necessary to help achieve the objectives of their respective programs. Distribution of grants will be at the discretion of ACL, and will be made according to the criteria communicated to the public via grant solicitation.

Applicant Eligibility:

Eligible applicants will include public and private nonprofit entities, including universities, university-affiliated systems, not-for-profit medical centers, research institutions and rehabilitation hospitals, disability service groups such as advocacy and voluntary organizations, and independent living centers, and federally recognized Indian Tribal Governments.

Beneficiary Eligibility:

In addition to the eligible applicants, other groups who will receive benefits from the programs include persons individuals living with disabilities paralysis and family members of persons with disabilities, persons individuals living with limb loss, minority populations, refugees, infants, children, youth, adults, senior citizens, women, all educational levels, all income levels, urban, suburban, and rural populations, health/ rehabilitation professionals, scientists, educators, and researchers.

Credentials/Documentation:

Proof of nonprofit status. Compliance with statutes, regulations, and approval of State agency and ACL office. Costs and administrative procedures will be determined in accordance with Parts 75 of Title 45 of the Coded of Federal Regulations. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Application forms are submitted through a centralized depository and received by the awarding agency Administration for Community Living. Applicants generally apply under a program announcement indicating all the necessary application procedures to be followed.

State disability and health cooperative agreement competitive awards are determined by an objective review committee process at ACL. At such times, awards will be based on evaluation criteria set forth in the respective Program Announcements, the availability of funds, and such other significant factors as deemed necessary and appropriate by ACL. Awards for disability and health projects will be issued for expected project periods of 3-5 years. The Notices of Grant Award will indicate financial support for the first budget year, allocations of Federal funds by budget category, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Range is 60 to 90 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Notice of Award will indicate terms and conditions. Method of awarding/releasing assistance: by letter of credit.

Reports:

Progress reports are required and due dates are described in the notice of award terms and conditions. Federal financial reports are required no later than 30 days after the end of each specified budget period. Final financial status report and final program report are required 90 days after the end of the project. Grantees will be required to complete the Federal Cash transaction portion of the SF425, Federal Financial Reports as well as entering data into the payment management system. Progress reports are required and as described in the terms and conditions of the award. Expenditure reports are required for each budget period. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with HHS Grants Policy Statement requirements.

Account Identification:

75-0142-0-1-506.

Obligations:

(Project Grants) FY 16 \$7,700,000; FY 17 est \$7,700,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Elizabeth Leef
Administration for Community Living - Independent Living Administration

330 C Street SW, Washington, District of Columbia 20201

Email: Elizabeth.leef@acl.hhs.gov

(202) 475-2482.

Headquarters Office:

Ophelia McLain 330 C Street, SW, Washington, District of Columbia 20201

Email: Ophelia.McLain@acl.hhs.gov Phone: 202-795-7401

Website Address:

http://www.acl.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must address demonstrated program need, the magnitude of the problem, the management work plan, collaborative associations, and the approach toward setting and meeting overall project objectives and time frames. These future competitive applications would also be evaluated on the capacity of the applicant to demonstrate effective collaborations with other agencies and data sources critical to preventing secondary conditions, identifying and addressing health promotion needs for persons with disabilities, denoting the capability of the project to address minority and low-income populations in the prevention of secondary conditions, promoting accessibility to all program services for persons with disabilities, and offering sound proposals toward development of surveys and surveillance for useful data bases within outlined targeted disability activities.

93.326 PROTECTING AND IMPROVING HEALTH GLOBALLY: STRENGTHENING PUBLIC HEALTH THROUGH SURVEILLANCE, EPIDEMIOLOGIC RESEARCH, DISEASE DETECTION AND PREVENTION

Global Health Research

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

42 U.S.C. Sec. 241, 2421.

OBJECTIVES:

CDCs global health efforts are to assist Ministries of Health and other international partners to plan, effectively manage and conduct public health research in the intent of public health protection; achieve US Government program and international organization goals to improve health; including surveillance, intervention and prevention in global health programs that focus on leading causes of global health security, mortality, morbidity and disability including infectious diseases including bacterial, viral and parasitic diseases, non-communicable diseases, injury and violence, environmental health, generate and apply new knowledge to achieve public health goals and strengthen public health systems and capacity.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applications will be externally peer reviewed and evaluated based on the evidence submitted which specifically describes the applicant's abilities to meet the following criteria: (1) the plans to develop and implement the study describing how study participants will be identified, enrolled, tested and followed; (2) the ability to enroll and follow an adequate number of eligible study participants to assure proper conduct of the study. This includes both demonstration of the availability potential study participants and the experience of the investigator in enrolling and following such persons; (3) the applicant's current activities in research, intervention and how they will be applied to achieving the objectives of the study; letters of support from cooperating organizations that demonstrate that nature and extent of such cooperation should be included; (4) the applicant's understanding of the research study objectives and, their ability, willingness and/or need to collaborate with CDC and researchers from other study sites in study design and analysis, including

use of common forms, and sharing of specimens and data; (5) the plan to protect the rights and confidentiality of all participants and ensure adequate participation; (6) the size, qualifications and time allocation of the proposed staff and the availability of facilities to be used during the research study; (7) how the project will be administered to assure the proper management of the daily activities of the program; (8) the proposed schedule for accomplishing the activities of the research; including time frames; and (9) the quality of an evaluation plan which specifies methods and instruments to be used to evaluate the progress made in attaining research objectives.

Recipients may only expand funds for reasonable program purposes, including personnel, travel, supplies and services, such as contractual Awardees may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment

Reimbursement of pre-award costs is not allowed.

Applicant Eligibility:

Dependent on the FOA, eligibility may range from open, competitive, limited or single eligibility in accordance with authorizing legislation. May include non-profit organizations who may be domestic, international or Ministries of Health.

Domestic academic institutions, community organizations, universities.

Beneficiary Eligibility:

This will benefit individuals worldwide, including in the U.S., through collaborations with the national Ministries of Health and other organizations/institutions. This is only for research activities supported by CDC/ATSDR. For the definition of research, please see <http://www.cdc.gov/od/science/quality/resources>.

Credentials/Documentation:

Applicants should document the need for assistance, state objectives of the project, address the background, approach strategies and dissemination methods of the proposed work and provide budget with justifications for the different funds requested. Objectives should stay within the scope of the announcement. OMB Circular No. A-87 applies to the program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Eligible applicants will be reviewed for completeness and responsiveness jointly by CDC's Procurement and Grants Office (PGO) staff and the Extramural Research Program Office (ERPO). In addition, eligible applicants will be externally peer reviewed by Special Emphasis Panel that consist of Subject Matter experts. Based on this merit review and a Secondary Review committee funding decisions will be made. Incomplete applicants will be notified that the application did not meet eligibility and/or published submission requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. From 120 to 180 days. Renewals will require a yearly continuation application based upon availability of funds and programmatic compliance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

5 years with money given annually based on availability of funds. Restriction will be in place if all required documents are not submitted. See the following for information on how assistance is awarded/released: annually depending on availability of funds.

Reports:

Annual progress reports are required. Federal financial reports are due at the completion of each budget period. Per Funding Opportunity Announcement Part II: Reporting Awardees must submit an annual progress report end of every year; ongoing progress based on defined objectives for the year, a financial report is due along with this performance report. The Annual Progress Report (due 120 days before the end of the budget period and serves as a continuation application.) Preapproved budget tracking and reconciliation as per to Progress Report Performance Measures (including outcomes). A federal financial report is completed at the end of each budget period. Performance is monitored throughout the project period by the Project officer in the program. Technical assistance is provided to resolve any ongoing issues for implementation of the project. Periodic site visits are also conducted by agency for oversight and monitoring purposes.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audit is based on the OMB circular A-133

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-5-5-551.

Obligations:

(Cooperative Agreements) FY 16 \$189,100; FY 17 est \$619,457; and FY 18 est \$619,457 - This is a Research umbrella cooperative agreement with multiple funding streams.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Lata Kumar 1600, Cliton Road
, Atlanta , Georgia 30047 Email: lek7@cdc.gov Phone: 4046397618

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.327 DEMONSTRATION GRANTS FOR DOMESTIC VICTIMS OF HUMAN TRAFFICKING

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Trafficking Victims Protection Act of 2000, as amended, Section 107(f), 22 U.S.C. 7105(f), Section 213(a)(1) of the William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, Pub. L. 110-457, 22 U.S.C. 7105(f).

OBJECTIVES:

The DVHT Program has the following objectives: 1) Conduct community assessments with the goal to build capacity, create partnerships, and deliver comprehensive, quality services to domestic victims; 2) Develop, strengthen, and expand comprehensive victim-centered services and case management at the community level for domestic victims; 3) Address the immediate and long-term housing and shelter needs of domestic victims through a continuum of flexible housing supports, including emergency and transitional housing; 4) Identify, provide, or refer victims to behavioral health and substance abuse treatments services; and 5) Integrate survivor engagement in their case management and service delivery strategies for victims.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

The Domestic Victims of Human Trafficking (DVHT) program funds time-limited comprehensive case management services to domestic victims of trafficking. Eligible recipients for DVHT program services include United States citizens and lawful permanent residents in select states by leveraging existing systems, filling in service gaps, and building capacity of direct service providers. Under the DVHT Program, OTIP requires a comprehensive case management approach that uses trauma-informed and victim-centered models. Comprehensive case management services for the program include, but are not limited to referrals, emergency assistance (such as food, clothing, and shelter), and support to gain access to housing, employability services, mental health screening and therapy, medical care, and some legal services. Health screening and medical care must include treatment for sexually transmitted infections, family planning services, and the full range of legally permissible gynecological and obstetric care, including, but not limited to, exams, tests, pre-natal services, and non-directive health-related counseling. The Domestic Victims of Human Trafficking (DVHT) program funds time-limited comprehensive case management services to domestic victims of trafficking. Eligible recipients for DVHT program services include United States citizens and lawful permanent residents in select states by leveraging existing systems, filling in service gaps, and building capacity of direct service providers. Under the DVHT Program, OTIP requires a comprehensive case management approach that uses trauma-informed and victim-centered models. Comprehensive case management services for the program include, but are not limited to referrals, emergency assistance (such as food, clothing, and shelter), and support to gain access to housing, employability services, mental health screening and therapy, medical care, and some legal services. Health screening and medical care must include treatment for sexually transmitted infections, family planning services, and the full range of legally permissible gynecological and obstetric care, including, but not limited to, exams, tests, pre-natal services, and non-directive health-related counseling.

Applicant Eligibility:

Eligible organizations includes: state governments, tribes, units of local government, and non-profit, non-governmental victim service organizations. Victim service organizations include those who by nature of their current operations serve victims of sexual assault, sexual violence, domestic violence, human trafficking, and youth homelessness. Victim services organizations may also include faith-based organizations that are addressing human trafficking in their services. Individuals (including sole proprietorships) and foreign entities are not eligible.

Beneficiary Eligibility:

Eligible recipients for DVHT program services include United States citizens

and lawful permanent residents.

Credentials/Documentation:

Funding available under this catalog number is subject to requirements of 2 CFR 200 of the Uniform Guidance (effective January 1, 2016). HHS is required to review and consider information about applicants that is in the Federal Awardee Performance and Integrity Information System (FAPIS). All applicants must have a DUNS number, registered in the SAM prior to submitting an application, and an active SAM registration. Documentation delineated in the Funding Opportunity Announcement must be submitted at the time of submission of an application for funding. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Each application submitted under this program announcement will undergo a pre-review to determine if (1) the application was received by the closing date and submitted in accordance with the instructions in this announcement and (2) the applicant is eligible for funding.

Award Procedure:

Applications that pass the initial Administration for Children and Families (ACF) screening will be evaluated and rated by an independent review panel on the basis of specific criteria that are included Funding Opportunity Announcement. The evaluation criteria are designed to assess the quality of a proposed project and to determine likelihood of its success. Further, the Trafficking Victims Protection Act of 2000, the National Security Presidential Directive on Combating Trafficking in Persons (NSPD-22), and the March 2012 Statement by the President on the Meeting of the Interagency Task Force to Monitor and Combat Trafficking in Persons call for strengthened and effective coordination of U.S. government anti-trafficking efforts. To improve U.S. government coordination, the Trafficking Victims Protection Reauthorization Act of 2003 established the Senior Policy Operating Group (SPOG) and requires each department or agency represented on the SPOG to share all information with [the SPOG] regarding the department or agency's plans, before and after final agency decisions are made, on all matters relating to grants, grant policies, and other significant actions regarding the international trafficking in persons. 22 U.S.C. 7103(g)(4). Regulations implementing the Trafficking Victims Protection Act call on the SPOG to establish appropriate mechanisms to effect such information sharing. 22 C.F.R. 104.2. This program is exempt from E.O. 12372, which requires advance notification to Congress of awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Contact the Administration for Children and Families, Office of Grants Management or review the Funding Opportunity Announcement, as appropriate, for application deadlines.

Appeals:

No appeals will be considered for applications classified as late. Further, the decision not award a grant, or award a grant at a particular funding level is discretionary and is not subject to appeal to any operating division or HHS official or board. (Section I-31 of the HHS Grants Policy Statement.

Renewals:

An applicant requesting additional funding for a period subsequent to that provided by a current award must compete with all other applications and must be developed as fully as though the applicant is applying for the first time.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25%. While this program has no statutory formula, there is a 25% matching requirement. The match may be met through cash or in-kind contributions. This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Federal share of a grant awarded under this paragraph may not exceed 75 percent of the total costs of the projects described in the application submitted by the grantee. Method of awarding/releasing assistance: Reimbursement based on actual expenses. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance: Reimbursement based on actual expenses.

Reports:

Performance progress reports are required on a semi-annual basis. Final program reports summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are also required 90 days after the end of the project period. Federal Financial Reports (SF-425) are due on semi-annual basis and a financial report is due to the HHS Division of Payment Management on a quarterly basis. Final financial reports are also required 90 days after the end of the project period. Performance monitoring will be conducted by desk monitoring and on-site visits. Additionally, recipients are expected to participate in cluster meetings, and other training and technical assistance opportunities. No cash reports are required. Performance progress reports are required on a semi-annual basis. Final program reports summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are also required 90 days after the end of the project period. Federal Financial Reports (SF-425) are due on semi-annual basis and a financial report is due to the HHS Division of Payment Management on a quarterly basis. Final financial reports are also required 90 days after the end of the project period. Performance monitoring will be conducted by desk monitoring and on-site visits. Additionally, recipients are expected to participate in cluster meetings, and other training and technical assistance opportunities.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. 45 CFR 75.501 provides that a non-federal entity that expends \$750,000 or more during the non-federal entity's fiscal year must have a single or program-specific audit conducted for that year.

Records:

Grant recipients (and subrecipients) are required to keep all financial, business, and program reports necessary for program review and audit during the project period, and for 3 years thereafter to insure that funds have been expended in accordance with the regulations, grant terms, and conditions of this program.

Account Identification:

75-1503-0-1-609.

Obligations:

(Project Grants) FY 16 \$3,423,912; FY 17 est \$3,423,912; and FY 18 est \$3,423,912

Range and Average of Financial Assistance:

\$150,000 to \$300,000 per grant for the three-year project period.

TAFS Codes:

75-1503.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 13 grants were awarded. Fiscal Year 2017: 13 grants will be awarded. Fiscal Year 2018: 13 grants are anticipated to be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Katherine Chon 330 C. Street, SW 4th Floor, Washington, District of Columbia 20201 Email: Katherine.Chon@acf.hhs.gov Phone: (202) 401-9372

Website Address:

<http://www.acf.hhs.gov/programs/otip>

RELATED PROGRAMS:

93.598 Services to Victims of a Severe Form of Trafficking

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: \$3,423,912. Fiscal Year 2017: \$3,423,912. Fiscal Year 2018: \$3,423,912.

CRITERIA FOR SELECTING PROPOSALS:

Information on evaluation criteria for awarding funds can be found in the Funding Opportunity Announcement.

93.328 NATIONAL IMPLEMENTATION AND DISSEMINATION FOR CHRONIC DISEASE PREVENTION

National Organizations

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under sections 317(k)(2) of the Public Health Service Act, [42 U.S.C. Section 247b(k)(2)], as amended.

OBJECTIVES:

The purpose of this three-year cooperative agreement or Funding Opportunity Announcement (FOA) is to support national organizations and their chapters/affiliates (sub-recipients) coalitions in implementing Socio-Ecological Model informed multi-level approaches (i.e., individual, interpersonal, organizational, community, societal) to improve communities health. This project builds upon the Division of Community Health (DCH) history of working with national organizations to provide financial resources, guidance, training, tools, and other assistance to local organizations interested in creating communities where all people can achieve optimal health. This new FOA will allow awardees to implement multi-level strategies that address the greatest predictors of chronic disease (i.e. heart disease, stroke, diabetes, and obesity), namely tobacco use and exposure, poor nutrition, physical inactivity, and lack of access to prevention, risk reduction and chronic disease management opportunities. Additionally, awardees will work closely together to coordinate strategies and technical assistance to maximize their collective impact, increase national and local awareness of DCHs work, and ensure that identified communities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses.

Applicant Eligibility:

1. Eligible Applicants:

This cooperative agreement is limited to national organizations (to include public and private nonprofit organizations) that serve communities across the nation. Category A and B organizations must demonstrate evidence of having members, affiliates and/or chapters within twenty-five (25) or more U.S. states and territories). Category A applicants must also demonstrate a minimum of five (5) years of experience and evidence with implementing community level improvements. For Category B, eligible organizations must demonstrate a minimum of five (5) years of experience in providing training and technical assistance that built communities capacity to implement local-level efforts. Category B applicants must also demonstrate experience in collaborating across multiple partners to deliver training and technical assistance. Category A and B applicants must also provide supporting evidence (e.g., media reports, news/magazine articles, op-eds, community newsletters) as evidence of possessing the following desired characteristics:
 Have historical credibility and influence in their targeted communities (i.e., has developed and participated in community coalitions, events, and other activities Possess the demonstrated ability to influence a national dialogue. (i.e., the organization has the ability to garner the attention and participation of other national organizations and audiences).
 Have a demonstrated variety of existing communication platforms

(e.g., Websites, magazines, newsletters, etc.)

 Have the demonstrated ability to leverage additional resources and partnerships (e.g., used non-FOA relationships and resources to maximize the impact of organizational activities)

 Have the demonstrated ability to convene a national meeting

o In accordance with U.S. law, no Federal funds provided by CDC are permitted to be used by community grantees for lobbying or to influence, directly or indirectly, specific pieces of pending or proposed legislation at the federal, state, or local levels. The awardee should work with project officer to ensure activities adhere to federal guidelines, and federal dollars are not used to engage in unauthorized activities. Throughout all objectives and activities, the awardee should clarify that the work plan language clearly describes the role, nature, and purpose of the funded activities. This includes providing clear language focusing on the message (e.g., addressing the health risks/effects, using evidence based strategies for increasing protections) when conducting public educational initiatives. In addition, language should be included for proper engagement of elected officials as documented in the federal guidelines. Additionally, awardees should consult appropriate legal counsel to ensure compliance with all rules, regulations, and restriction of any funding sources.

o Title 2 of the United States Code Section 1611 states that an organization described in Section 501(C)(4) of the Internal Revenue Code that engages in lobbying activities is no eligible to receive Federal funds constituting a grant, loan, or award.

o The awardee should refer to the AR-12 and CDC Guidance documents on Anti-Lobbying restrictions for more information on allowable and restricted activities.

o http://www.cdc.gov/od/pgo/funding/grants/additional_req.shtm#ar12
<http://www.cdc.gov/obesity/downloads/Anti-Lobbying-Restrictions-for-CDC-Grantees-July2012-508.pdf>.

Beneficiary Eligibility:

Any U.S. state, political subdivision and U.S. territories (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state objectives of the project, address the background, approach strategies and evaluation methods of the project/program, and provide a budget with justification of funds. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application.

Any application awarded in response to this FOA will be subject to the DUNS, CCR Registration and Transparency Act requirements.

Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Project period is 3 years with 12-month budget periods. Applications must be obtained from www.Grants.Gov.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Category A must provide an evaluator at a 50% level of effort (LOE) with funds not provided by this FOA.

Category B awardees must assign one full-time (100% LOE) program manager to be paid from funds not provided by this FOA.

This program has MOE requirements, see funding agency for further details. All grantees will be responsible for developing sustainability plans by the end of Year 1 that help to ensure that programmatic efforts will be continued after FOA funding ends. This document should include a focus on the infrastructure (or planning/ operational structure) and process needed for sustaining efforts. For Category A, all sub-recipients will be required to develop plans by the end of the first year of their cohort.

Length and Time Phasing of Assistance:

September 30, 2014 September 29, 2017. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting provides continuous program monitoring and identifies successes and challenges that awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding. Reporting helps CDC and awardees because it:
Helps target support to awardees, particularly for cooperative agreements;
Provides CDC with periodic data to monitor awardee progress towards meeting the FOA outcomes and overall performance;
Allows CDC to track performance measures and evaluation findings to validate continuous program improvement throughout the project period and to determine applicability of evidence-based approaches to different populations, settings, and contexts; and
Enables CDC to assess the overall effectiveness and influence of the FOA.

As described in the following text, awardees must submit an annual performance report, ongoing performance measures data, administrative reports, and a final performance and financial report. A detailed explanation of any additional reporting requirements will be provided in the Notice of Award to successful applicants.

a. Specific reporting requirements:

i. Awardee Evaluation and Performance Measurement Plan: Awardees must provide a more detailed evaluation and performance measurement plan within the first six months of the project. This more detailed plan must be developed by awardees as part of first-year project activities, with support from CDC. This more detailed plan must build on the elements stated in the initial plan, and must be no more than 25 pages. At a minimum, and in addition to the elements of the initial plan, this plan must:

Indicate the frequency that evaluation and performance data are to be collected.

Describe how data will be reported.

Describe how evaluation findings will be used to ensure continuous quality and program improvement.

Describe how evaluation and performance measurement will yield findings that will demonstrate the value of the FOA (e.g., effect on improving public health outcomes, effectiveness of FOA as it pertains to performance measurement, cost-effectiveness, or cost-benefit).

Describe dissemination channels and audiences (including public dissemination).

Describe other information requested and as determined by the CDC program.

When developing evaluation and performance measurement plans, applicants are encouraged to use the Introduction to Program Evaluation for Public Health Programs: A Self-Study Guide, available at:
<http://www.cdc.gov/eval/guide/index.htm>

ii. Annual Performance Report: This report must not exceed 30 pages excluding administrative reporting; attachments are not allowed, but Web links are

allowed.

The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. In addition, the awardee must submit an annual Federal Financial Report within 90 days after the end of the calendar quarter in which the budget year ends.

This report must include the following:

Performance Measures (including outcomes)Awardees must report on performance measures for each budget period and update measures, if needed.

Evaluation ResultsAwardees must report evaluation results for the work completed to date (including any data about the effects of the program).

Work Plan Awardees must update work plan each budget period.

Successes

Awardees must report progress on completing activities outlined in the work plan.

Awardees must describe any additional successes (e.g., identified through evaluation results or lessons learned) achieved in the past year.

Awardees must describe success stories.

Challenges

Awardees must describe any challenges that might affect their ability to achieve annual and project-period outcomes, conduct performance measures, or complete the activities in the work plan.

Awardees must describe any additional challenges (e.g., identified through evaluation results or lessons learned) encountered in the past year.

CDC Program Support to Awardees

Awardees must describe how CDC could help them overcome challenges to achieving annual and project-period outcomes and performance measures, and completing activities outlined in the work plan.

Administrative Reporting (No page limit)

SF-424A Budget Information-Non-Construction Programs.

Budget Narrative must use the format outlined in Content and Form of

Application Submission, Budget Narrative section.

Indirect Cost-Rate Agreement.

For Year 2 and beyond of the award, awardees may request that unobligated funds be carried over to the next budget year.

The carryover request must:

Express a bona fide need for permission to use an unobligated balance; Include a signed, dated, and accurate Federal Financial Report (FFR) for the budget period from which funds will be transferred (as much as 75% of unobligated balances); and

Include a list of proposed activities, an itemized budget, and a narrative justification for those activities.]

The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period.

iii. **Performance Measure Reporting:** CDC programs must require awardees to submit performance measures annually as a minimum, and may require reporting more frequently. Performance measure reporting must be limited to data collection. When funding is awarded initially, CDC programs must specify required reporting frequency, data fields, and format.

iv. **Federal Financial Reporting (FFR):** The annual FFR form (SF-425) is required and must be submitted through eRA Commons within 90 days after each budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final report must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. The final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data must correspond; no discrepancies between the data sets are permitted. Failure to submit the required information by the due date may affect adversely the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation and include the date by which the information will be provided.

v. **Final Performance and Financial Report:** At the end of the project period, awardees must submit a final report including a final financial and performance report. This report is due 90 days after the project period ends. (Maximum of 40 pages).

At a minimum, this report must include:

Performance Measures (including outcomes)Awardees must report final performance data for all performance measures for the project period.

Evaluation ResultsAwardees must report final evaluation results for the project period.

Impact/ ResultsAwardees must describe the effects or results of the work completed over the project period, including success stories.

Additional forms as described in the Notice of Award, including Equipment Inventory Report and Final Invention Statement.

In addition, grantees must include the following information in their Final Performance Report:

Summary of successes, challenges, and lessons learned that can be shared with other central registries interested in establishing ECC methodology.

Awardees must email the report to the CDC PO and the GMS listed in the Agency

Contacts section of the FOA. No cash reports are required. Reporting provides continuous program monitoring and identifies successes and challenges that awardees encounter throughout the project period. Also,

reporting is a requirement for awardees who want to apply for yearly continuation of funding. Reporting helps CDC and awardees because it:

Helps target support to awardees, particularly for cooperative agreements;

Provides CDC with periodic data to monitor awardee progress towards meeting the FOA outcomes and overall performance;

Allows CDC to track performance measures and evaluation findings to validate continuous program improvement throughout the project period and to determine applicability of evidence-based approaches to different populations,

settings, and contexts; and

Enables CDC to assess the overall effectiveness and influence of the FOA.

As described in the following text, awardees must submit an annual performance report, ongoing performance measures data, administrative reports, and a final performance and financial report. A detailed explanation of any additional reporting requirements will be provided in the Notice of Award to successful applicants.

a. **Specific reporting requirements:**

i. **Awardee Evaluation and Performance Measurement Plan:** Awardees must provide a more detailed evaluation and performance measurement plan within the first six months of the project. This more detailed plan must be developed by awardees as part of first-year project activities, with support from CDC. This more detailed plan must build on the elements stated in the initial plan, and must be no more than 25 pages. At a minimum, and in addition to the elements of the initial plan, this plan must:

Indicate the frequency that evaluation and performance data are to be collected.

Describe how data will be reported.

Describe how evaluation findings will be used to ensure continuous quality and program improvement.

Describe how evaluation and performance measurement will yield findings that will demonstrate the value of the FOA (e.g., effect on improving public health outcomes, effectiveness of FOA as it pertains to performance measurement, cost-effectiveness, or cost-benefit).

Describe dissemination channels and audiences (including public dissemination).

Describe other information requested and as determined by the CDC program.

When developing evaluation and performance measurement plans, applicants are encouraged to use the Introduction to Program Evaluation for Public Health Programs: A Self-Study Guide, available at:

<http://www.cdc.gov/eval/guide/index.htm>

ii. **Annual Performance Report:** This report must not exceed 30 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed.

The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. In addition, the awardee must

submit an annual Federal Financial Report within 90 days after the end of the calendar quarter in which the budget year ends.

This report must include the following:

Performance Measures (including outcomes)Awardees must report on performance measures for each budget period and update measures, if needed. Evaluation ResultsAwardees must report evaluation results for the work completed to date (including any data about the effects of the program). Work Plan Awardees must update work plan each budget period.

Successes

Awardees must report progress on completing activities outlined in the work plan.

Awardees must describe any additional successes (e.g., identified through evaluation results or lessons learned) achieved in the past year.

Awardees must describe success stories.

Challenges

Awardees must describe any challenges that might affect their ability to achieve annual and project-period outcomes, conduct performance measures, or complete the activities in the work plan.

Awardees must describe any additional challenges (e.g., identified through evaluation results or lessons learned) encountered in the past year.

CDC Program Support to Awardees

Awardees must describe how CDC could help them overcome challenges to achieving annual and project-period outcomes and performance measures, and completing activities outlined in the work plan.

Administrative Reporting (No page limit)

SF-424A Budget Information-Non-Construction Programs.

Budget Narrative must use the format outlined in Content and Form of Application Submission, Budget Narrative section.

Indirect Cost-Rate Agreement.

For Year 2 and beyond of the award, awardees may request that unobligated funds be carried over to the next budget year.

The carryover request must:

- Express a bona fide need for permission to use an unobligated balance;
- Include a signed, dated, and accurate Federal Financial Report (FFR) for the budget period from which funds will be transferred (as much as 75% of unobligated balances); and
- Include a list of proposed activities, an itemized budget, and a narrative justification for those activities.]

The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period.

iii. **Performance Measure Reporting:** CDC programs must require awardees to submit performance measures annually as a minimum, and may require reporting more frequently. Performance measure reporting must be limited to data collection. When funding is awarded initially, CDC programs must specify required reporting frequency, data fields, and format.

iv. **Federal Financial Reporting (FFR):** The annual FFR form (SF-425) is required and must be submitted through eRA Commons within 90 days after each budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final report must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. The final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data must correspond; no discrepancies between the data sets are permitted. Failure to submit the required information by the due date may affect adversely the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation and include the date by which the information will be provided.

v. **Final Performance and Financial Report:** At the end of the project period, awardees must submit a final report including a final financial and performance report. This report is due 90 days after the project period ends. (Maximum of 40 pages).

At a minimum, this report must include:

Performance Measures (including outcomes)Awardees must report final performance data for all performance measures for the project period.

Evaluation ResultsAwardees must report final evaluation results for the project period.

Impact/ ResultsAwardees must describe the effects or results of the work completed over the project period, including success stories.

Additional forms as described in the Notice of Award, including Equipment Inventory Report and Final Invention Statement.

In addition, grantees must include the following information in their Final Performance Report:

Summary of successes, challenges, and lessons learned that can be shared with other central registries interested in establishing ECC methodology.

Awardees must email the report to the CDC PO and the GMS listed in the Agency

Contacts section of the FOA. Federal Financial Reports are required 90 days after the end of the fiscal year.

here to enter text. In addition to previously described reporting requirements the awardee will monitor performance as follows -

Performance Monitoring and Evaluation:

CDC may revise the existing requirements through an addendum to this notice, which could include additional recipient requirements for evaluation and performance measurement. The Awardee Evaluation and Performance Measurement Plan will be comprised of three components:

1. **Tracking progress and completion of strategies.** By using the CDC identified electronic performance monitoring and reporting system, Category A and B awardees will track overall progress on infrastructure and short-term outcome objectives, as well as, specific progress on activities on a quarterly basis. Category A awardees will also be required to track sub-recipients overall progress on infrastructure and short-term outcome objectives, as well as, specific progress on activities on a quarterly basis. This collection of this data is known as performance monitoring data. CDC will provide training and guidance on the use of the performance monitoring and reporting system.
 - a. Use ongoing performance monitoring data, along with any community health assessment data, for ongoing program improvement and midcourse corrections.
 - b. Track overall progress on outcome objectives as well as specific progress on activities designed for vulnerable populations. Use performance monitoring data and other available sources to document the steps taken to implement multi-level improvements by describing successes, barriers, and challenges.
2. **Measurement of Short-term Outcome.** Using detailed guidance from CDC (provided post-award), Category A awardees will assist sub-recipients in setting targets and then monitor their progress towards these targets on the number of people who have access to healthier environments as a result of the implementation of each awardee strategy. This is known as Awardee Reach for each strategy. These targets will be ultimately rolled up into the relevant Short-term Outcome. In addition, Category A sub-recipients will also be required to track sub-awardees Reach for each strategy and report this to CDC on a quarterly basis.
 - a. For example, a sub-awardee might be implementing two strategies that are targeting different populations in different sectors. One strategy is increasing physical activity in elementary age children by implementing recommended standards of physical activity in all 80 elementary schools in the community, which would increase physical activity for 55,000 children enrolled in these schools. The awardee will track the successful implementation of recommended standards in each school so that they will know how many children have access to an improved physical activity environment. The second strategy is increasing physical activity in 350 early care/child care centers by implementing recommended standards of physical activity in these settings, which would increase physical activity for 2000 children enrolled in these programs. The awardee will track the successful implementation of recommended standards in each early care/child care centers, so that they will know how many children have access to an improved physical activity environment. The target short-term outcome would combine the target sub-recipients Reach for these two strategies, and progress would be tracked over time. Thus, the target Short-term Outcome would be 57,000 people with increased access to physical activity opportunities.
 - b. Report sub-recipients Reach data for each strategy and update on a quarterly basis.

In addition, Awardees should adhere to the following guidance:

Sub-recipients of Category A are encouraged to use available data to measure intermediate-term outcomes, when possible. However, as mentioned above, due to lack of adequate, existing data in many communities, primary responsibility for measuring intermediate-term outcomes will rest in most cases with CDC.

o Communities with access to adequate data to measure intermediate-term outcomes might include: 1) counties with a large number of respondents to the Behavioral Risk Factor Surveillance System (BRFSS), 2) counties that conduct the Youth Risk Behavior Surveillance System (YRBSS), 3) school districts that routinely record the height and weight of students.

Category A awardees and sub-recipients should share their successes and lessons learned through the creation and dissemination of two (or more) success stories per year (one submitted every 6 months with the Interim and Annual Progress Report).

Category B awardees should prepare one (or more) unique dissemination documents created for stakeholders or the broader community based on the outcome evaluation of high impact strategies applied by Category A awardees and/or sub-recipients. Developed by the end of Year 3, this document may be briefing updates, reports, case studies, peer-reviewed manuscripts or use other formats.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$9,370,079; FY 17 est \$0; and FY 18 est \$0 - DP14-1418 - This program was closed out for FY17.

Range and Average of Financial Assistance:

Category A = \$2,000,000 - \$3,000,000 and Category B = \$200,000 - \$500,000.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Successful applicants must comply with the administrative requirements outlined in 45 Code of Federal Regulations (CFR) Part 74 or Part 92, as appropriate. The following additional requirements apply to this project: Additional information on the requirements can be found on the CDC Web site at the following Internet address:

http://www.cdc.gov/od/pgo/funding/Addtl_Reqmnts.htm.

For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address: <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>

Regional or Local Office:

None.

Headquarters Office:

Robert Bailey 4770 Buford Hwy, MS F-81, Atlanta, Georgia 30341 Email: apu8@cdc.gov Phone: 770-488-8438

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An objective review panel will be held to review applications based on criteria described in the Funding Opportunity Announcement.

93.329 SKILLS TRAINING AND HEALTH WORKFORCE DEVELOPMENT OF PARAPROFESSIONALS GRANT PROGRAM

Paraprofessionals Training Grant Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VII, Section 739 of the Public Health Service Act (42 U.S.C. 293c), (Educational Assistance in the Health Professions Regarding Individuals from Disadvantaged Backgrounds).

OBJECTIVES:

The objectives of the Skills Training and Health Workforce Development of Paraprofessionals Grant Program are to: 1) recruit, train, retain and expand the paraprofessional workforce to meet the employment needs of the community with a particular focus on primary care and team-based care; and 2) promote employment and a career ladder for those trained through career coaching and placement partnership with the community.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds shall be used to recruit, train and retain individuals from underrepresented, disadvantaged, or rural backgrounds into health-related para-professions to work in primary care and other health care services settings.

Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

Eligible applicants include accredited schools of medicine, allopathic medicine, osteopathic medicine, public health, dentistry, veterinary medicine, optometry, pharmacy, allied health, chiropractic, podiatric medicine, public and nonprofit private schools that offer graduate programs in behavioral and mental health, programs for the training of physician assistants, two-year community colleges, technical colleges, tribal colleges, and other public or private nonprofit health or educational entities. Each applicant must specifically state its eligibility information in the project abstract. Applicants that are not community colleges must partner with community colleges.

Eligible entities must be located within the fifty (50) states, the District of Columbia, Commonwealth of Puerto Rico, Commonwealth of Northern Mariana Islands, the U.S. Virgin Islands, Guam, American Samoa, the Republic of Palau, Republic of the Marshall Islands, and the Federated States of Micronesia. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Applicants are required to recruit educationally or economically disadvantaged students who express an interest in a) pursuing a health related paraprofessional certificate in an existing program, or b) individuals who are already practicing but want additional credentials to advance their employability. Eligible paraprofessional training programs are those that exist, can be successfully completed in 12 months or less, and assist in job placement.

Beneficiary Eligibility:

Beneficiaries include individuals who a) meet the definition of either

educationally or economically disadvantaged, b) express an interest in pursuing a health degree program c) have completed at a minimum a high school diploma or GED, or d) individuals who are already practicing in a health-related paraprofessional field and who want additional credentials to advance their employability.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 3-year project period. Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: quarterly.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. Cash reports are not applicable. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L.

109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$2,497,258; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

FY 16 actual range: \$136,851 - \$200,000; average award: \$192,097.

TAFS Codes:

75-0353.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Tammy Mayo-Blake, Division of Health Careers and Financial Support, Bureau of Health Workforce Health Resources and Services Administration, Department of Health and Human Services, Room 15N38D, 5600 Fishers Lane, Rockville, Maryland 20857 Email: tmayo-blake@hrsa.gov Phone: (301)443-0827

Website Address:

<https://bhwh.hrsa.gov/grants/healthcareers>

RELATED PROGRAMS:

93.822 Health Careers Opportunity Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide for an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing

applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested.

See the most recent Notice of Funding Opportunity for detailed review criteria.

93.330 LEADERSHIP IN PUBLIC HEALTH SOCIAL WORK EDUCATION GRANT PROGRAM

Public Health Social Work Education Grant Program, LPHSWE

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 765 of the Public Health Service Act (42 U.S.C. 295a).

OBJECTIVES:

The Leadership in Public Health Social Work Education (LPHSWE) Grant Program provides training and education, faculty development, and curriculum enhancement to prepare students for leadership roles in public health social work through enrollment in a dual masters degree program in social work and public health. The objectives of the LPHSWE are to: 1) Provide stipend support to masters level dual-degree students who are enrolled in both (a) an accredited school of social work and (b) an accredited school of public health, and are participating; 2) Recruit dual-degree masters-level public health social work students; 3) Support and place the students in required field placements/ internships; 4) Develop curricula for public health social work programs to prepare students for roles in leadership and management in health care and social service organizations; and 5) Develop the skills and expertise of faculty for the curricula which includes leadership in health care, interprofessional training, social determinants of health, promising practices, and serving the underserved populations.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible entities are required to provide a budget, with narrative, that describe how the funds shall be used to provide training and education, faculty development, and curriculum enhancement to prepare students for leadership roles in public health social work through enrollment in a dual masters degree program in social work and public health.

Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

Eligible applicants include accredited schools of social work/programs that 1) offer a dual masters degree in an accredited graduate program in social work with a macro-level concentration in management and administration and in an accredited graduate program in public health; and 2) previously received HRSA support for dual degree enrollment, education, and graduation in the Leadership in Public Health Social Work Education program.

Eligible applicants include accredited schools of social work/programs that 1) offer a dual masters degree in an accredited graduate program in social work with a macro-level concentration in management and administration and in an accredited graduate program in public health; and 2) previously received HRSA support for dual degree enrollment, education, and graduation in the Leadership in Public Health Social Work Education program.

Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

A student receiving a stipend in the LPHSWE Program must be a citizen of the

United States, a non-citizen national of the United States, or a foreign national who possesses a visa permitting permanent residence in the United States. Individuals on temporary or student visa are not eligible participants.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 3-year project period. Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: lump sum.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of

\$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$900,000; FY 17 est \$900,000; and FY 18 est \$0

Range and Average of Financial Assistance:

FY 15 est \$900,000; average \$300,000
FY 16 est \$900,000; average \$300,000
FY 17 est \$900,000; average \$300,000.

TAFS Codes:

75-0353.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Miryam Gerdine 5600 Fishers Lane, Room 11N90D, Rockville, Maryland 20857 Email: mgerdine@hrsa.gov Phone: 301-443-6760

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of applications have been instituted to provide for an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the

following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.331 PARTNERSHIPS TO IMPROVE COMMUNITY HEALTH

(PICH)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under sections 317(k)(2) of the Public Health Service Act, [42 U.S.C. Section 247b(k)(2)], as amended.

OBJECTIVES:

The purpose of this funding is to support implementation of evidenced- and practice-based strategies that address previously-identified community gaps and needs within a defined jurisdiction in order to reduce the prevalence of chronic disease and related risk factors.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses. Restrictions that must be considered while planning the programs and writing the budget are:

- Awardees may not use funds for research.
- Awardees may not use funds for clinical care.
- Awardees may use funds only for reasonable program purposes, including personnel, travel, supplies, and services.
- Generally, awardees may not use funds to purchase furniture or equipment. Any such proposed spending must be clearly identified in the budget.
- Reimbursement of pre-award costs is not allowed.
- Other than for normal and recognized executive-legislative relationships, no funds may be used for:
 - publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body
 - the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body
 - See Additional Requirement (AR) 12 for detailed guidance on this prohibition and additional guidance on lobbying for CDC awardees.
 - The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project outcomes and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

Eligible applicants are considered responsive if providing:

1. Evidence of being a member of or working through a functioning multi-sector community coalition of organizations and agencies that are committed to improving the health of their community (of 2 or more years);
2. Documentation of how they have helped populations with high documented burdens of chronic diseases, conditions and risk factors (for example, a documented diabetes prevalence rate greater than 8.3% among the population);
3. Results of a community health needs assessment completed no earlier than May 1, 2011;
4. Description of how the organization has helped implement strategies to address chronic disease risk factors related to tobacco use or exposure; poor nutrition; physical inactivity; or limited access to opportunities for chronic disease prevention, risk reduction, or management through clinical and community linkages.

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures,

and provide a budget with justification of funds. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application.

Any application awarded in response to this FOA will be subject to the DUNS, CCR Registration and Transparency Act requirements. Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Project period is 3 years with 12-month budget periods. Applications must be obtained from www.Grants.Gov.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Cost sharing funds are required for this project to motivate sustainability measures. Cost sharing funds are required from non-Federal sources in an amount not less than 15 percent of Federal funds awarded to Large City and Urban County and to Small City and County Coalition Awardees in Year 1, increasing by 5 percentage points every year, and ending at 25 percent by Year 3. Tribal organizations will not be required to meet cost sharing requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

12 month budget period within 3 year project period. Method of awarding/releasing assistance: lump sum.

Reports:

Annual Performance Report: This report must not exceed 30 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed.

The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. In addition, the awardee must submit an annual Federal Financial Report within 90 days after the end of the calendar quarter in which the budget year ends.

Final Performance and Financial Report: At the end of the project period, awardees must submit a final report including a final financial and performance report. This report is due 90 days after the project period ends. (Maximum of 40 pages). No cash reports are required. Annual Performance Report: This report must not exceed 30 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed.

The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. In addition, the awardee must submit an annual Federal Financial Report within 90 days after the end of the calendar quarter in which the budget year ends.

Final Performance and Financial Report: At the end of the project period, awardees must submit a final report including a final financial and performance report. This report is due 90 days after the project period ends. (Maximum of 40 pages). Federal Financial Reports are required 90 days after the end of the fiscal year. CDC may revise the existing requirements through an addendum to this notice, which could include additional recipient requirements for evaluation and performance measurement. The Awardee Evaluation and Performance Measurement Plan will be comprised of three components:

Tracking progress and completion of strategies. By using the CDC identified electronic performance monitoring and reporting system, each awardee will track overall progress on infrastructure and short-term outcome objectives, as well as, specific progress on activities on a quarterly basis. This collection of data is known as performance monitoring data. CDC will provide training and guidance on the use of the performance monitoring and reporting system.

o Use ongoing performance monitoring data, along with any community health assessment data, for ongoing program improvement and midcourse corrections.
o Track overall progress on outcome objectives as well as specific progress on activities designed for priority populations. Use performance monitoring data and other available sources to document the steps taken to implement PSE improvements by describing successes, barriers, and challenges.

Measurement of Short-term Outcomes. Using detailed guidance from CDC (provided post-award), awardees will set targets and then monitor progress towards these targets on the number of people who have access to healthier environments as a result of the implementation of each awardee strategies describe in the CAP objectives. This is known as Awardee Reach for each intervention. These targets will be ultimately rolled up into the relevant short-term outcomes.

o For example, an awardee might be implementing two strategies that are targeting different populations in different sectors. One strategy is increasing physical activity in elementary age children by implementing recommended standards of physical activity in all 80 elementary schools in the community, which would increase physical activity for 55,000 children enrolled in these schools. The awardee will track the successful implementation of recommended standards in each school so that they will know how many children have access to an improved physical activity environment. The second strategy is increasing physical activity in 350 early care/child care centers by implementing recommended standards of physical activity in these settings, which would increase physical activity for 2,000 children enrolled in these programs. The awardee will track the successful implementation of recommended standards in each early care/child care centers, so that they will know how many children have access to an improved physical activity environment. The target short-term outcome would combine the target Awardee Reach for these two strategies, and progress would be tracked over time. Thus, the target short-term outcome would be 57,000 people with increased access to physical activity opportunities.

o Report Awardee Reach data for each strategy and update on a quarterly basis.

Assessment of actual use of a healthier environment. With guidance from CDC, awardees will assess the actual use of at least one healthier environment they created by implementing an intervention. This is required for all awardees regardless of funding level and must be completed before the end of the 3-year project period. This assessment is complimentary to the estimated Awardee Reach for the selected healthier environment.

o For example, an awardee plans to implement strategies to increase access to healthier foods in corner stores. They decide, in collaboration with CDC Evaluation Technical Assistance, to assess whether healthier foods are purchased at these stores after the intervention is in place. Therefore, they develop an assessment plan. Using the same assessment method, they measure the purchases of healthier foods in a selected number of stores before the intervention, and after the intervention was completed.

o CDC Evaluation Technical Assistance will work closely with the awardee to design a simple, low cost assessment. Whenever possible, the assessment will

use methods and survey questions successfully used in other community health improvement programs.

o Report the results of this assessment to CDC.

Outcome evaluation for an innovative strategy (if applicable). In order to expand the evidence base for effective community strategies, innovative intervention(s) may be implemented, and include outcome evaluation to determine intervention effectiveness. No more than 15% of the annual award can be used for innovative strategies. The evaluation methodology should be designed with sufficient rigor to measure impact on chronic disease risk factors or other relevant outcomes. This methodology should be described in the Outcome Evaluation Plan Template (Appendix B). CDC evaluation technical assistance liaisons will provide assistance with the finalization of the Outcome Evaluation Plan and the selection of common measures and metrics.

o Within 30 days after finalizing the CAP with the Project Officer, submit to CDC an outcome evaluation plan for any innovative strategies that meets the criteria described above and is directly tied to appropriate components of the CAP (refer to Evaluation Guide on Developing an Evaluation Plan available at: http://www.cdc.gov/dhbsp/state_program/evaluation_guides/pdfs/evaluation_plan.pdf).

o The plan must include a logic model that illustrates the relationship between program activities and expected outcomes and reflects initiative priorities.

o The plan activities must be described on a timeline as they relate to proposed objectives in the CAP.

o The plan must include a methodological overview and a description of how the planned evaluation activities will:

 Target high impact goals,
 Assess impact on health disparities, and
 Ensure broad sharing of evaluation findings to the public, partners, and community leaders as appropriate. (See Communication and Dissemination with the Public, Partners, and Stakeholders, page 11).

o The plan may focus on particular geographic or physical settings, age groups, or priority populations experiencing a disproportionate burden of chronic disease and conditions.

o The plan must identify area- and program-specific data sources to assess strategies outcomes, including as appropriate changes in proper nutrition, physical activity, exposure to second hand smoke, and risk reduction or chronic disease management through clinical and community linkages.

In addition, Awardees should adhere to the following guidance:

Awardees are encouraged to use available data to measure intermediate-term outcomes, when possible. However, as mentioned above, due to lack of adequate, existing data in many communities, primary responsibility for measuring intermediate-term outcomes will rest in most cases with CDC.

o Communities with access to adequate data to measure intermediate-term outcomes might include: 1) counties with a large number of respondents to the Behavioral Risk Factor Surveillance System (BRFSS), 2) counties that conduct the Youth Risk Behavior Surveillance System (YRBSS), 3) school districts that routinely record the height and weight of students.

The awardee should share their successes and lessons learned through the creation and dissemination of two (or more) success stories per year (one submitted every 6 months with the Interim and Annual Progress Report). As stated previously, CDC recommends using its online success story application (<http://www.cdc.gov/nccdphp/dch/success-stories/>) to meet this requirement.

Use the outcome evaluation to develop a project summary for community leaders by the end of Year 3. Awardee will work with CDC to identify the best format for a given effort.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$34,498,309; FY 17 est \$0; and FY 18 est \$0 - Program ended 2017.

Range and Average of Financial Assistance:

\$100,000 - \$5,000,000.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Successful applicants must comply with the administrative requirements outlined in 45 Code of Federal Regulations (CFR) Part 74 or Part 92, as appropriate. The following additional requirements apply to this project: Additional information on the requirements can be found on the CDC Web site at the following Internet address:

http://www.cdc.gov/od/pgo/funding/Addtl_Reqmnts.htm.

For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address: <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>

Regional or Local Office:

None.

Headquarters Office:

Shannon Griffin-Blake 4770 Buford Highway, MS-F81, Atlanta, Georgia 30341 Phone: 7704885266

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An objective review panel will be held to review applications based on criteria described in the Funding Opportunity Announcement.

93.332 COOPERATIVE AGREEMENT TO SUPPORT NAVIGATORS IN FEDERALLY-FACILITATED AND STATE PARTNERSHIP MARKETPLACES

Navigator Grants

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act 1311(d)(4)(K), 1311(i), and 1321(c)(1); 45 C.F.R. 155.210 and 155.215.

OBJECTIVES:

Section 1311(i) of the Affordable Care Act authorizes Navigators in States with a Federally-facilitated Marketplace (FFM). The FFM is required to develop and implement a Navigator grant program. Navigators will serve consumers in States with a FFM, including State Partnership Marketplaces. As health reform implementation continues, consumers will benefit from understanding new programs, taking advantage of new protections, and navigating the system to find the most affordable coverage that meets their needs. Marketplace Navigators are intended to assist consumers in those areas in the following

ways:

Maintain expertise in eligibility, enrollment, and program specifications and conduct public education activities to raise awareness about the Marketplace; Provide information and services in a fair, accurate, and impartial manner, which includes providing information that assists consumers with submitting the eligibility application; clarifying the distinctions among health coverage options, including QHPs; and helping consumers make informed decisions during the health coverage selection process. Such information must acknowledge other health programs such as Medicaid and CHIP; Facilitate selection of a QHP; Provide referrals to any applicable office of health insurance consumer assistance or health insurance ombudsman established under Section 2793 of the PHS Act, or any other appropriate State agency or agencies, for any enrollee with a grievance, complaint, or question regarding their health plan, coverage, or a determination under such plan or coverage; Consistent with the requirements set forth in 45 C.F.R. 155.215, provide information in a manner that is culturally and linguistically appropriate to the needs of the population being served by the Marketplace, including individuals with limited English proficiency, and ensure accessibility and usability of Navigator tools and functions for individuals with disabilities in accordance with the Americans with Disabilities Act and Section 504 of the Rehabilitation Act; and Complying fully with the conflict-of-interest and training standards set forth in 45 C.F.R. 155.215.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Use of these funds should be to build a program that supports the following required duties:

Maintain expertise in eligibility, enrollment, and program specifications and conduct public education activities to raise awareness about the Marketplace; Provide information and services in a fair, accurate, and impartial manner, which includes providing information that assists consumers with submitting the eligibility application; clarifying the distinctions among health coverage options, including QHPs; and helping consumers make informed decisions during the health coverage selection process. Such information must acknowledge other health programs such as Medicaid and CHIP; Facilitate selection of a QHP; Provide referrals to any applicable office of health insurance consumer assistance or health insurance ombudsman established under Section 2793 of the PHS Act, or any other appropriate State agency or agencies, for any enrollee with a grievance, complaint, or question regarding their health plan, coverage, or a determination under such plan or coverage; Consistent with the requirements set forth in 45 C.F.R. 155.215, provide information in a manner that is culturally and linguistically appropriate to the needs of the population being served by the Marketplace, including individuals with limited English proficiency, and ensure accessibility and usability of Navigator tools and functions for individuals with disabilities in accordance with the Americans with Disabilities Act and Section 504 of the Rehabilitation Act; and Complying fully with the conflict-of-interest and training standards set forth in 45 C.F.R. 155.215. Funds are restricted from being used: To cover the costs to provide direct health care services to individuals. To match any other Federal funds. To provide services, equipment, or support that are the legal responsibility of another party under Federal or State law (such as vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.

To supplant funds provided under Funding Opportunity Announcement number CA-NAV-13-001, entitled PPHF 2013 Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Exchanges, under Funding Opportunity Announcement number CA-NAV-14-002, entitled Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Marketplaces, or under Funding Opportunity Announcement (FOA) numbers CA-NAV-15-001 and CA-NAV-16-001, entitled Cooperative Agreement to Support Navigators

in Federally- facilitated and State Partnership Marketplaces. To cover any pre-award costs. To provide goods or services not allocable to the approved project. To be used by local entities to satisfy state matching requirements To pay for construction. To carry out services that are the responsibility of the Marketplace, such as eligibility determinations and transferring enrollment information for consumers to a QHP.⁷ To pay for capital expenditures for improvements to land, buildings, or equipment which materially increase their value or useful life as a direct cost, except with the prior written approval of the Federal awarding agency. To pay for the cost of independent research and development, including their proportionate share of indirect costs (unallowable in accordance with 45 CFR 75.476). To use as profit to any award recipient even if the award recipient is a commercial organization, (unallowable in accordance with 45 CFR 75.216(b)), except for grants awarded under the Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) programs (15 U.S.C. 638). Profit is any amount in excess of allowable direct and indirect costs.

To carry out any functions already funded by HHS, including through federal Marketplace Establishment grants under section 1311(a) of the Affordable Care Act or through federal Consumer Assistance Program grants under section 2793 of the Public Health Service Act, including to make payments to recipients of funds provided to states under those authorities for activities that are funded under those authorities.

To assist consumers residing in a state with a State-based Marketplace that the Navigator is not funded to serve. (See Section VIII. 2, State Reference List) or in a state the Navigator does not serve. FFM/State Partnership Marketplace Navigators may provide these consumers with basic information about Marketplace, but should refer them to Navigators, the Marketplace Call Center, and other resources within the state where the consumer resides for more in-depth assistance.

To expend funds related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before the Congress or any state government, state legislature or local legislature or legislative body.

To fund staff retreats or promotional giveaways.

To purchase gifts or gift cards, or promotional items that market or promote the products or services of a third party, that would be provided to any applicant or enrollee.

To provide any assistance to consumers or to provide consumer education and outreach, prior to November 1, 2016.

To provide certain types of assistance between November 1, 2016 and December 31, 2016 that are the responsibility of SBM assisters operating in Hawaii for the remainder of calendar year 2016. These assistance activities include, but are not limited to, assisting individual market consumers enrolled in coverage for plan years 2015 and/or 2016 through the SBM with questions about IRS Form 1095-A and providing outreach to these consumers about the Exchange-related components of the premium tax credit reconciliation process; assisting individual market consumers enrolled in plan year 2016 coverage through the SBM with data matching issues and helping them with submitting documentation to HealthCare.gov and assisting them with the appeals process; assisting individual market consumers enrolled in plan year 2016 coverage through the SBM with questions about changes in circumstances; assisting individual market consumers enrolled in plan year 2016 coverage through the SBM with enrolling in coverage during a special enrollment period for coverage that would take effect before January 1, 2017; and assisting individual market consumers enrolled in plan year 2016 coverage through the SBM with questions about QHP premiums or QHP coverage.

To assist consumers in Hawaii's State-based SHOP or in any replacement for Hawaii's State-based SHOP that might in the future be approved by HHS and administered by Hawaii pursuant to a waiver under Affordable Care Act section 1332. Funds are restricted from being used:

To cover the costs to provide direct health care services to individuals.

To match any other Federal funds.

To provide services, equipment, or support that are the legal responsibility of another party under Federal or State law (such as vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.

To supplant funds provided under Funding Opportunity Announcement number CA-NAV-13-001, entitled PPHF 2013 Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Exchanges, under Funding Opportunity Announcement number CA-NAV-14-002, entitled Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Marketplaces, or under Funding Opportunity Announcement number CA-NAV-15-001, entitled Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Marketplaces.

To cover any pre-award costs.

To provide goods or services not allocable to the approved project.

To be used by local entities to satisfy state matching requirements

To pay for construction.

To carry out services that are the responsibility of the Marketplace, such as eligibility determinations and transferring enrollment information for consumers to a QHP.

To pay for capital expenditures for improvements to land, buildings, or equipment which materially increase their value or useful life as a direct cost, except with the prior written approval of the Federal awarding agency.

To pay for the cost of independent research and development, including their proportionate share of indirect costs (unallowable in accordance with 45 CFR 75.476).

To use as profit to any award recipient even if the award recipient is a commercial organization, (unallowable in accordance with 45 CFR 75.216(b)), except for grants awarded under the Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) programs (15 U.S.C. 638). Profit is any amount in excess of allowable direct and indirect costs.

To carry out any functions already funded by HHS, including through federal Marketplace Establishment grants under section 1311(a) of the Affordable Care Act or through federal Consumer Assistance Program grants under section 2793 of the Public Health Service Act, including to make payments to recipients of funds provided to states under those authorities for activities that are funded under those authorities.

To assist consumers residing in a state with a State-based Marketplace that the Navigator is not funded to serve. (See Section VIII. 2, State Reference List) or in a state the Navigator does not serve. FFM/State Partnership Marketplace Navigators may provide these consumers with basic information about Marketplace, but should refer them to Navigators, the Marketplace Call Center, and other resources within the state where the consumer resides for more in-depth assistance.

To expend funds related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before the Congress or any state government, state legislature or local legislature or legislative body.

To fund staff retreats or promotional giveaways.

To purchase gifts or gift cards, or promotional items that market or promote the products or services of a third party, that would be provided to any applicant or enrollee.

To provide any assistance to consumers or to provide consumer education and outreach, prior to November 1, 2016.

To provide certain types of assistance between November 1, 2016 and December 31, 2016 that are the responsibility of SBM assisters operating in Hawaii for the remainder of calendar year 2016. These assistance activities include, but are not limited to, assisting individual market consumers enrolled in coverage for plan years 2015 and/or 2016 through the SBM with questions about IRS Form 1095-A and providing outreach to these consumers about the Exchange-related components of the premium tax credit reconciliation process; assisting individual market consumers enrolled in plan year 2016 coverage through the SBM with data matching issues and helping them with submitting documentation to HealthCare.gov and assisting them with the appeals process; assisting individual market consumers enrolled in plan year 2016 coverage through the SBM with questions about changes in circumstances; assisting

individual market consumers enrolled in plan year 2016 coverage through the SBM with enrolling in coverage during a special enrollment period for coverage that would take effect before January 1, 2017; and assisting individual market consumers enrolled in plan year 2016 coverage through the SBM with questions about QHP premiums or QHP coverage.

To assist consumers in Hawaii's State-based SHOP or in any replacement for Hawaii's State-based SHOP that might in the future be approved by HHS and administered by Hawaii pursuant to a waiver under Affordable Care Act section 1332.

Applicant Eligibility:

Funding through the cooperative agreement is open to individuals and private and public entities including community and consumer-focused nonprofit groups; trade, industry and professional associations; commercial fishing industry organizations; ranching and farming organizations; chambers of commerce; unions; resource partners of the Small Business Administration; licensed insurance agents and brokers; and other public or private entities. Other entities may include but are not limited to Indian Tribes, tribal organizations, urban Indian organizations, and State or local human services.

Beneficiary Eligibility:

Beneficiaries must propose to serve consumers in the individual market FFM for Hawaii to be eligible to be served by applicants receiving funding through this cooperative agreement.

Credentials/Documentation:

Consistent with 45 C.F.R. 155.215(a)(1)(i), all applicants (individuals, entities and all members making up a consortium) should submit a brief statement (one or two short paragraphs) within the Project Narrative attesting that they are not ineligible entities, including an attestation that no staff members have any of the prohibited relationships with health insurance or stop loss insurance issuers that are outlined above. As is explained in 45 C.F.R. 155.215 and the preamble language discussing that provision, some conflicts of interest would not be absolute bars to service as a Navigator, provided that the conflict of interest would not ultimately prevent the entity or individual from providing information and services in a fair, accurate, and impartial manner. In addition, in accordance with 45 C.F.R. 155.215(a)(1)(ii), successful applicants will be required to provide a written plan to remain free of conflicts of interest and to disclose to CMS, as operator of the FFM or State Partnership Marketplace, certain non-disqualifying conflicts of interest as specified in 45 C.F.R. 155.215(a)(1)(iv). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 1. All applicants must submit a required Letter of Intent by the due date noted in the FOA. 2. All applicants under this announcement must have an Employer Identification Number/Taxpayer Identification Number (EIN/TIN) to apply. Individuals may choose to provide their personal Social Security Numbers (SSNs) to apply via Grants.gov. Please note, the time needed to complete the EIN/TIN registration process is substantial, and applicants should therefore begin the process of obtaining an EIN/TIN immediately upon posting of this FOA to ensure this information is received in advance of application deadlines. 3. All applicants must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number in order to apply. The DUNS number is a nine-digit identification number that uniquely identifies business entities. Please note that individuals will have to provide an EIN or other TIN to receive a DUNS number. This number should mirror the one used to submit an application in Grants.gov. To obtain a DUNS number, access the following website: www.dunandbradstreet.com or call 1-866-705-5711. 4. The applicant must also register in the System for Award Management (SAM) database in order to be able to submit the application. Applicants are encouraged to register early, and must have their DUNS and EIN/TIN numbers in order to do so. Information about SAM is available at <https://www.sam.gov/portal/public/SAM/>. The SAM registration process is a

separate process from submitting an application. You should allow a minimum of five business days to complete SAM registration; however, in some cases, the registration process can take approximately two weeks or longer to be completed. Therefore, applicants should begin the SAM registration process as soon as possible after the announcement is posted to ensure that it does not impair your ability to meet required submission deadlines.

5. **Authorized Organizational Representative:** The Authorized Organizational Representative (AOR) who will officially submit an application on behalf of the organization must register with grants.gov for a username and password. AORs must complete a profile with Grants.gov using their organizations DUNS Number to obtain their username and password at http://grants.gov/applicants/get_registered.jsp. AORs must wait one business day after successful registration in SAM before entering their profiles in Grants.gov. Applicants should complete this process as soon as possible after successful registration in SAM to ensure this step is completed in time to apply before application deadlines.

6. When an AOR registers with Grants.gov to submit applications on behalf of an organization, that organizations E-Biz POC will receive an email notification. The email address provided in the profile will be the email used to send the notification from Grants.gov to the E-Biz POC with the AOR copied on the correspondence.

7. The E-Biz POC must then login to Grants.gov (using the organizations DUNS number for the username and the special password called M-PIN) and approve the AOR, thereby providing permission to submit applications.

8. Any files uploaded or attached to the Grants.Gov application must be PDF file format and must contain a valid file format extension in the filename. Even though Grants.gov allows applicants to attach any file formats as part of their application, CMS restricts this practice and only accepts PDF file formats. Any file submitted as part of the Grants.gov application that is not in a PDF file format, or contains password protection, will not be accepted for processing and will be excluded from the application during the review process. In addition, the use of compressed file formats such as ZIP, RAR, or Adobe Portfolio will not be accepted. The application must be submitted in a file format that can easily be copied and read by reviewers. It is recommended that scanned copies not be submitted through Grants.gov unless the applicant confirms the clarity of the documents. Pages cannot be reduced in size, resulting in multiple pages on a single sheet, to avoid exceeding the page limitation. All documents that do not conform to the above specifications will be excluded from the application materials during the review process.

9. After you electronically submit your application, you will receive an acknowledgement from <http://www.grants.gov> that contains a Grants.gov tracking number. HHS will retrieve your application package from Grants.gov. Please note, applicants may incur a time delay before they receive acknowledgement that the application has been accepted by the Grants.gov system. Applicants should not wait until the application deadline to apply because notification by Grants.gov that the application is incomplete may not be received until close to or after the application deadline, eliminating the opportunity to correct errors and resubmit the application. Applications submitted after the deadline, as a result of errors on the part of the applicant, will not be accepted.

10. After HHS retrieves your application package from Grants.gov, a return receipt will be emailed to the applicant contact. This will be in addition to the validation number provided by Grants.gov.

11. All grant applications must be submitted electronically and be received through <http://www.grants.gov> by 1:00 pm Eastern Daylight Time on the applicable due date.

12. All applications will receive an automatic time stamp upon submission and applicants will receive an email reply acknowledging the applications receipt.

Award Procedure:

Applications will be screened to determine eligibility for further review using the criteria detailed in Section III, Eligibility Information, of this solicitation. An evaluation rubric will be developed by HHS, which will consist of critical elements identified in Section V, Application Review Information, of this solicitation. This evaluation rubric will be used by qualified, unbiased experts in their review of all applications. Applicants will receive a score of up to 200 points. The objective review panel may include federal reviewers and/or non-federal reviewers.

The results of the objective review of applications by qualified experts will be

used in conjunction with the other factors noted in the FOA (See Section II. 7. Factors Affecting Application Selection) to determine the technical merit of the applications and advise the approving HHS official. Final award decisions will be made by a HHS program official. In making these decisions, the HHS program official will take into consideration: the regulatory requirement that there be at least two types of Navigators in each Marketplace and that one of these Navigators be a community and consumer-focused nonprofit; populations the applicant expects to serve; ranking of the applicant based upon recommendations of the review panel; reviews for programmatic and grants management compliance, to include performance under a current Navigator award; pre-award business review; the reasonableness of the estimated cost to the government and anticipated results; and the likelihood the proposed cost will result in the benefits expected.

Successful applicants will receive one cooperative agreement award.

Unsuccessful applicants will be advised by letter or electronic mail (sent to the individual signing the application on behalf of the organization) that its application will not be held for further consideration or be funded. The decision not to award a grant, or to award a grant at a particular funding level, is discretionary and is not subject to appeal to any OPDIV or HHS official or board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

September 2, 2017.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period is 12 months from date of award. Funding will be awarded in 12 month increments based on funding availability. See the following for information on how assistance is awarded/released: Once an award is made, the funds are posted in recipient accounts established in the Department of Health and Human Services, Division of Payment Management, Payment Management System (PMS). Grantees may then access their funds by using the PMS funds request process. Upon notification of award, recipients under this announcement will be able to drawdown funds for approved start-up costs. The remaining funds will be reimbursable upon meeting required milestones. See the following for information on how assistance is awarded/released: Once an award is made, the funds are posted in recipient accounts established in the Department of Health and Human Services, Division of Payment Management, Payment Management System (PMS). Grantees may then access their funds by using the PMS funds request process. Upon notification of award, recipients under this announcement will be able to drawdown funds for approved start-up costs. The remaining funds will be reimbursable upon meeting required milestones.

Reports:

Awardees must agree to cooperate with any Federal evaluation of the program and must provide required weekly, monthly, quarterly, and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS, as well as any additional reports as required. Reports will be submitted electronically. These reports will outline how cooperative agreement funds were used, describe program progress, describe any barriers encountered including how any potential conflicts of interest were mitigated and process for handling non-compliant staff or volunteers, describe how the program ensured access to culturally and linguistically appropriate services, and detail measurable outcomes to include how many staff and volunteers completed required training and became certified as Navigators and how many consumers were served. CMS will provide the format for program reporting and the technical assistance necessary to complete program reporting requirements. At

each stage, CCHIO staff will evaluate reports and provide feedback to recipients. The awardee will not include Personally Identifiable Information (PII) in any weekly, monthly, quarterly, or final reports to HHS. In addition, the applicant must ensure compliance with the standards adopted by the FFM/State Partnership Marketplace pursuant to 45 C.F.R. 155.260 when providing Navigator services to consumers involving the use of PII. The data privacy and security standards for current Navigator awardees under opportunity CA-NAV-15-001 that also apply to opportunity CA-NAV-16-001 can be

found here:

<https://www.cms.gov/CCIIO/Programs-and-Initiatives/Health-Insurance-Markets/Downloads/2015-Privacy-and-Security-Related-Terms-and-Conditions-FINAL-6-16-16-508.pdf>.

Additional details, including the due dates for the quarterly and final reports, will be provided in the terms and conditions of award. Grantees must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF-272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. No progress reports are required. Grantees must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF-272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. Awardees must agree to cooperate with any Federal evaluation of the program and must provide required weekly, monthly, quarterly, and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS. Grantees must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF-272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. Awardees must agree to cooperate with any Federal evaluation of the program and must provide required weekly, monthly, quarterly, and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS. Grantees must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF-272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. Awardees must agree to cooperate with any Federal evaluation of the program and must provide required weekly, monthly, quarterly, and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS. Grantees must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF-272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. Awardees must agree to cooperate with any Federal evaluation of the program and must provide required weekly, monthly, quarterly, and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS. Grantees must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF-272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. Awardees must agree to cooperate with any Federal evaluation of the program and must provide required weekly, monthly, quarterly, and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

The audit requirements in OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations, will continue to apply where the current Recipient fiscal year began before December 26, 2014 [available at http://www.whitehouse.gov/sites/default/files/omb/assets/a133/a133_revised_2007.pdf]. Non-federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program specific audit conducted for that

year in accordance with OMB Circular A-133.

As explained under 75.501(h), For-profit subrecipient, since this part does not apply to for-profit subrecipients, the pass-through entity is responsible for establishing requirements, as necessary, to ensure compliance by for-profit subrecipients. The agreement with the for-profit subrecipient must describe applicable compliance requirements and the for-profit subrecipient's compliance responsibility. Methods to ensure compliance for Federal awards made to for-profit subrecipients may include preaward audits, monitoring during the agreement, and post-award audits. See also 75.352 Requirements for pass-through entities.

Commercial Organizations (including for-profit hospitals) have two options regarding audits, as outlined in 45 CFR 75.501 (see also 45 CFR 75.216)

Records:

Recipient must maintain expenditures and other financial records detailing the use of cooperative agreement funds for three years from the day on which the grantee submits the last financial status report for the grant period unless there is an open investigation in which case the records must be maintained during the duration of the investigation. Recipient should submit these reports to CMS on a quarterly basis along with their quarterly progress reports.

Account Identification:

75-0511-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$643,000,000; FY 17 est \$60,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Current Fiscal Year: 2017
Projection: up to \$60,000,000.

TAFS Codes:

75-0511.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following standard requirements apply to applications and awards under this FOA:

Specific grant administrative requirements as outlined in 2 CFR Part 200 and implemented by HHS regulation 45 CFR Part 75, apply to this cooperative agreement opportunity.

All awardees receiving awards under this cooperative agreement project must comply with all applicable Federal statutes relating to nondiscrimination, including, but not limited to:

- Title VI of the Civil Rights Act of 1964,
- Section 504 of the Rehabilitation Act of 1973,
- The Age Discrimination Act of 1975, and
- Title II, Subtitle A of the Americans with Disabilities Act of 1990.

All equipment, staff, and other budgeted resources and expenses must be used exclusively for the projects identified in the applicants original grant application or agreed upon subsequently with HHS, and may not be used for any prohibited uses.

Consumers and other stakeholders must have meaningful input into the planning, implementation, and evaluation of the project. All cooperative agreement budgets must include some funding to facilitate participation on the part of individuals who have a disability or long-term illness and their families. Cooperative Agreements are administered in accordance with the following program requirements, regulations, policies, and cost principles:

The criteria as outlined in this grant announcement and in Affordable Care Act Section 1311(i) and 45 C.F.R. 155.210 and 155.215.

Title 45, Code of Federal Regulations, Part 75,

[<http://www.ecfr.gov/cgi-bin/retrieveECFR?gp=1&SID=b0174eb503950e93d9857a260f9f3aeb&ty=HTML&h=L&r=PART&n=pt45.1.75>] which implements Title 2, Code of Federal Regulations, Part 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance) effective December 26, 2014

[http://www.ecfr.gov/cgi-bin/text-idx?tpl=ecfrbrowse/Title02/2cfr200_main_02.tpl]

Title 48, Code of Federal Regulations, subpart 31.2 Cost Principles for Contracts with Commercial Organizations

HHS Grants Policy Statement, Revised 1/07

* The recently released HHS regulation (45 CFR Part 75) supersedes information on administrative requirements, cost principles, and audit requirements for grants and cooperative agreements included in the current HHS Grants Policy Statement where differences are identified.

Regional or Local Office:

See Regional Agency Offices. Navigatorgrants@cms.hhs.gov

Administrative Questions

Grants@cms.hhs.gov.

Headquarters Office:

Christopher Clark 5600 Fishers Lane, Rockville, Maryland 20857 Email:

Grants@cms.hhs.gov Phone: 301-492-4319

Website Address:

http://Navigatorgrants@cms.hhs.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Successful applicants are required to demonstrate that they will use cooperative agreement funds to, at a minimum, carry out all statutory and regulatory duties, as found in section 1311(i) of the Affordable Care Act, and 45 C.F.R.

155.210 and 155.215, of a Navigator for the entire length of the grant period, including: maintaining expertise in eligibility, enrollment, and program specifications; conducting public education activities to raise awareness about the Marketplace; providing information and services in a fair, accurate, and impartial manner; facilitating selection of a QHP; providing referrals to any applicable office of health insurance consumer assistance or ombudsman established under Section 2793 of the Public Health Service Act, or any other appropriate State agency or agencies, to address consumer grievances, questions, or complaints about their health plan, coverage, or a determination; providing information in a manner that is culturally and linguistically appropriate and accessible to individuals with disabilities consistent with the requirements set forth at 45 C.F.R. 155.215; and complying fully with the training and conflict of interest requirements set forth at 45 C.F.R. 155.215.

93.334 THE HEALTHY BRAIN INITIATIVE: TECHNICAL ASSISTANCE TO IMPLEMENT PUBLIC HEALTH ACTIONS RELATED TO COGNITIVE HEALTH, COGNITIVE IMPAIRMENT, AND CAREGIVING AT THE STATE AND LOCAL LEVELS

Healthy Brain Initiative

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 317(k) (2) 42 U.S.C. 242 and 247b (k) (2).

OBJECTIVES:

The purpose of the FOA is to implement public health actions through engagement of national partners and public health networks at national, state and local levels to apply public health strategies to promote cognitive health; address cognitive impairment, including Alzheimers disease; and support the needs of care partners (paid and unpaid persons providing care or assistance to someone with Alzheimers disease or related dementia). This will be accomplished through the provision of technical assistance and support in the following areas: 1) Using data and information to develop, examine, and deploy effective strategies, identify and address gaps in implementation, create and assess technical assistance tools, and monitor progress in achieving program goals; 2) Engaging in environmental approaches that promote inclusion of cognitive health, cognitive impairment and caregiving into community and workplace policies and plans; 3) Supporting health system strategies to improve early detection of cognitive impairment, including Alzheimers disease, and

increasing awareness among health care providers about care partner health and role in care management; and 4) Facilitating the translation and dissemination of information and resources through public health networks.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses. Restrictions that must be considered while planning the programs and writing the budget are:

- Awardees may not use funds for research.
- Awardees may not use funds for clinical care.
- Awardees may use funds only for reasonable program purposes, including personnel, travel, supplies, and services.
- Generally, awardees may not use funds to purchase furniture or equipment.
- Any such proposed spending must be clearly identified in the budget.
- Reimbursement of pre-award costs is not allowed.
- Other than for normal and recognized executive-legislative relationships, no funds may be used for:
 - publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body
 - the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body
 - Additional Requirement (AR) 12 pertaining to prohibition of lobbying for CDC awardees also applies to this FOA.
 - The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project outcomes and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

Eligible applicants are limited to national, non-profit professional public health or cognitive health/cognitive impairment mission organizations with experience and expertise providing technical assistance and support to governmental and non-governmental components of the public health system.

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget justification of funds. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application.

Any application awarded in response to this FOA will be subject to the DUNS, CCR Registration and Transparency Act requirements.

Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period is 5 years with 12-month budget periods. Applications must be obtained from www.Grants.Gov. Method of awarding/releasing assistance: lump sum.

Reports:

Awardee Evaluation and Performance Measurement Plan:

Awardees must provide a more detailed evaluation and performance measurement plan within the first six months of the project. This more detailed plan must be developed by awardees as part of first-year project activities, with support from CDC. This more detailed plan must build on the elements stated in the initial plan, and must be no more than 25 pages.

Annual Performance Report: This report must not exceed 45 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed.

The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. In addition, the awardee must submit an annual Federal Financial Report within 90 days after the end of the calendar quarter in which the budget year ends.

Performance Measure Reporting:

As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project. As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project.

Federal Financial Reporting (FFR): The annual FFR form (SF-425) is required and must be submitted through eRA Commons within 90 days after each budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final report must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. The final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data must correspond; no discrepancies between the data sets are permitted. Failure to submit the required information by the due date may affect adversely the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation and include the date by which the information will be provided.

Final Performance and Financial Report: At the end of the project period, awardees must submit a final report including a final financial and performance report. This report is due 90 days after the project period ends. The report should not exceed 40 pages.

At a minimum, this report must include:

Performance Measures (including outcomes) Awardees must report final performance data for all performance measures for the project period.

Evaluation Results Awardees must report final evaluation results for the project period.

Impact/ Results Awardees must describe the effects or results of the work completed over the project period, including success stories.

Additional forms as described in the Notice of Award, including Equipment Inventory Report and Final Invention Statement.

Awardees must email the report to the CDC PO and the GMS listed in the Agency Contacts section of the FOA. No cash reports are required. Awardee Evaluation and Performance Measurement Plan:

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Performance Measure Reporting:

As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project. As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project.

Federal Financial Reporting (FFR): The annual FFR form (SF-425) is required and must be submitted through eRA Commons within 90 days after each budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final report must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. The final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data must correspond; no discrepancies between the data sets are permitted. Failure to submit the required information by the due date may affect adversely the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation and include the date by which the information will be provided.

Final Performance and Financial Report: At the end of the project period, awardees must submit a final report including a final financial and performance report. This report is due 90 days after the project period ends. The report should not exceed 40 pages.

At a minimum, this report must include:

Performance Measures (including outcomes) Awardees must report final performance data for all performance measures for the project period.

Evaluation Results Awardees must report final evaluation results for the project period.

Impact/ Results Awardees must describe the effects or results of the work completed over the project period, including success stories.

Additional forms as described in the Notice of Award, including Equipment Inventory Report and Final Invention Statement.

Awardees must email the report to the CDC PO and the GMS listed in the Agency Contacts section of the FOA. Federal financial reports are required

90 days after the end of the fiscal year. Awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project. As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of the reporting will vary by project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$1,391,098; FY 17 est \$1,366,098; and FY 18 est \$1,366,098

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Successful applicants must comply with the administrative requirements outlined in 45 Code of Federal Regulations (CFR) Part 74 or Part 92, as appropriate. The following additional requirements apply to this project: Additional information on the requirements can be found on the CDC Web site at the following Internet address:

http://www.cdc.gov/od/pgo/funding/Addtl_Reqmnts.htm.

For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address: <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>

Regional or Local Office:

None.

Headquarters Office:

Lynda Anderson 4770 Buford Highway, MS-F-78,
Atlanta, Georgia 30341 Email: laa0@cdc.gov Phone: 7704885998

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An objective review panel will be held to review applications based on criteria described in the Funding Opportunity Announcement.

93.336 BEHAVIORAL RISK FACTOR SURVEILLANCE SYSTEM

Department of Health and Human Services (DHHS), Centers for Disease Control and Prevention (CDC), Center for Chronic Diseases and Health Promotion, Division of Population Health (DPH), Population Health Surveillance Branch (PHSB).

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Section 301 of the Public Health Service Act (as amended).

OBJECTIVES:

The purpose of this program is to provide assistance to State and Territorial Health Departments to maintain and expand:

1) Specific health surveillance on the behaviors of the general adult population that contribute to the occurrences and prevention of chronic diseases, injuries, and other public health threats through the Behavioral Risk Factor Surveillance System (BRFSS), and

2) Collection, analysis, and dissemination of BRFSS data to State and Territorial Health Department

categorical programs for their use in assessing trends, directing program planning, evaluating program priorities, developing policy, and targeting relevant population groups.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses. Recipients may not use funds for research.

Recipients may not use funds for clinical care and pharmaceutical products.

Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Recipients may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

Reimbursement of pre-award costs is not allowed.

Applicant Eligibility:

Eligible applicants are US State Health Departments and US Territories that have been funded under SO11-1101 and SO11-1102.

Beneficiary Eligibility:

BRFSS data is published on the CDC website and all of the above entities can access it.

Credentials/Documentation:

Applicants should provide a detailed work plan for meeting the objectives of the project, outline their method of operation, describe their evaluation procedures, and provide a budget with justification of funds requested. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Eligible applications will be jointly reviewed for responsiveness by the National Center for Chronic Disease Prevention and Health Promotion and the CDC Procurement and Grants Office. Incomplete applications and applications that are non-responsive will not advance through the review process. Recipients will be notified in writing of the results. A technical review panel within the Population Health Surveillance Branch, Division of Population Health, National Center for Chronic Disease Prevention and Health Promotion, CDC will evaluate complete and responsive applications according to the published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be only binding, authorizing document between the recipient and the CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal

officer identified in the application. Unsuccessful recipients will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Information on renewal can be obtained from Tracey Sims in our PGO office at 770-488-2739.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available 5 year project period with 12 -month budget period. Method of awarding/releasing assistance: lump sum.

Reports:

Semi-annual progress reports are required. No cash reports are required. Semi-annual progress reports are required. All grantees must submit an FFR 90 days after the end of the budget period. Awardees will be required to submit annual evaluation and performance reports within the criteria specified in funding opportunity announcement DP15-1513.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$6,696,911; FY 17 est \$3,260,884; and FY 18 est \$3,260,884 - \$50,000-\$370,000 The average award for each grantee is 250,000. This the BA portion of the BRFSS awards.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 45 Code of Federal Regulations (CFR) Part 74 or Part 92, as appropriate. For competing supplements, Administrative Regulations (ARs) remain in effect as published in the original funding announcement. For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address:

<http://www.access.gpo.gov/nara/cfr-tablesearch.html>.

Regional or Local Office:

None.

Headquarters Office:

Kenneth Laliberte 1600 Clifton Road, MS-E83, Atlanta, Georgia 30333 Phone: 4044980514

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the CDC Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Chronic Disease Prevention and Health Promotion and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements. CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in the funding opportunity announcement DP15-1513. Applicants will be notified if their application did not meet program requirements.

93.338 FOREIGN PUBLIC HEALTH CONSTRUCTION

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act (PHSA), Section 307(b)(10), 42 U.S.C. 2421, as amended., Title PHS, Section 307b10.

OBJECTIVES:

PHSA Section 307(b)(10) authorizes the award of grants or cooperative agreements to public or nonprofit private institutions or agencies in foreign countries to acquire, lease, construct, alter, or renovate facilities in their country in furtherance of activities authorized under Public Health Service Act Section 307(a), which includes: (1) biomedical research, health care technology, and the health services research and statistical analysis authorized under 42 U.S.C. Section 242k and 42 U.S.C. Subchapter VII; and (2) biomedical research, health care services, health care research, or other related activities in furtherance of the activities, objectives or goals authorized under the Tom Lantos and Henry J. Hyde United States Global Leadership Against HIV/AIDS, Tuberculosis, and Malaria Reauthorization Act of 2008, 22 U.S.C. 7601. Awards are limited to real property projects, and can not include any other CDC assistance program activities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Support for Construction Projects, Modernization Projects, and Minor Alteration and Renovation Projects only. Construction Projects include construction of a new building of any dollar value, alteration and renovation of any dollar value that changes the footprint of an existing building, acquisition of an existing building of any dollar value, and permanently-installed trailers and modular units. Modernization Projects are limited to alteration and renovation that does not change the footprint of an existing building, and is limited to a single project in excess of \$500,000 or an aggregate of multiple projects for a single facility that total in excess of \$500,000. Minor Alteration and Renovation Projects are limited to alteration and renovation that does not change the footprint of an existing building, and is limited to a single project of \$500,000 or less or an aggregate of multiple projects for a single facility that total \$500,000 or less. All projects are subject to requirements for recipient responsibilities, project manager duties, property title and insurance, project signage, fixed equipment, budget, international use of funds, construction assurance statement, and public policy. Prior approval of individual project design and specifications, contracts, and phases is required. Funding is accompanied by a liability disclaimer. Technical assistance may be provided. Construction and Modernization Projects are subject to additional requirements for notice of federal interest, leased facilities, mortgages, construction insurance, allowable costs, completion, post-completion facility usage, property disposal, and real property status reporting.

Applicant Eligibility:

An eligible applicant must: (1) Be a public or nonprofit private institution or agency in a foreign country; and (2) Be located in the same foreign country as the Project. An eligible project must: (1) Be located in the same foreign country as the Recipient; (2) Be limited to approved Construction, Modernization, and Minor Alteration and Renovation activities; and (3) Result upon completion is a facility that is for use by recipient personnel only, and not for use by any U.S. Government personnel; and (4) Fulfill a purpose of Public Health Service Act Section 307(a). Sub-recipients are not authorized.

Beneficiary Eligibility:

An eligible applicant must: (1) Be a public or nonprofit private institution or agency in a foreign country; and (2) Be located in the same foreign country as the Project. An eligible project must: (1) Be located in the same foreign country as the Recipient; (2) Be limited to approved Construction, Modernization, and Minor Alteration and Renovation activities; and (3) Result upon completion is a facility that is for use by recipient personnel only, and not for use by any U.S. Government personnel; and (4) Fulfill a purpose of Public Health Service Act Section 307(a). Sub-recipients are not authorized.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. Applicants that do not have access to the Internet or have difficulty accessing the forms on-line should contact the CDC Procurement and Grants Office, Technical Information Management Section (PGO-TIMS), Telephone (770)488-2700, Email: PGOTIM@cdc.gov.

Applicants must be responsive to the specific requirements in the funding opportunity announcement. Application forms available at www.Grants.gov include the SF 424 (Application for Federal Assistance); the SF 424-C (Budget Information - Construction Programs); the SF 424-D (Assurances - Construction Programs); the GG Lobbying Form (Certification Regarding Lobbying for applications over \$100,000); the SF-LLL Disclosure of Lobbying Activities (for applications over \$100,000, if required); the Project Narrative Attachment; and the Budget Narrative Attachment. OMB Circular No. A-102 does not apply.

Award Procedure:

Applications that are complete and responsive will be evaluated for technical merit and receive support. CDC will not review incomplete or non-responsive applications. Applications that are complete and responsive will undergo an objective review process, receive a written critique, and be scored according to the published review criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. Applications are due by the date established in the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. This program has no statutory formula. This program has no matching requirement. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Individual projects will be incrementally funded in phases with lump sum awards. The initial project period will not exceed five years. Payments are made on an advance or reimbursement basis, as specified in the award. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are required as part of the review and approval process for individual project phases. For completed Construction and Modernization Projects, an annual Real Property Status Report is required for the duration of federal interest in the project. Financial Status Reports on expenditures for each budget period and for the overall project period are required. A quarterly Cash Transaction Report is required. A quarterly Cash Transaction Report is required. As noted in the Funding Opportunity Announcement.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with HHS policy, foreign recipients are subject to the same audit requirement as commercial organizations specified in 45 CFR 74.26(d).

Records:

Record retention and access requirements for records are specified in 45 CFR 74.53.

Account Identification:

75-0955-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$1 - Potential funding considered for FY18.

Range and Average of Financial Assistance:

New program with no awards to date.

TAFS Codes:

75-0955.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74. HHS Grants Policy Statement (<http://www.ahrq.gov/fund/hhsolicy.htm>).

Regional or Local Office:

None.

Headquarters Office:

Caroline Gardner, 1600 Clifton Rd, MSE29, Atlanta, Georgia 30329 Email: ctg8@cdc.gov Phone: 404-639-4276

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on the criteria cited in the funding opportunity announcements.

93.339 PUBLIC HEALTH CONFERENCE SUPPORT

Centers for Disease Control and Prevention (CDC)
National Center for HIV, Viral Hepatitis, Sexually Transmitted Disease and Tuberculosis Prevention (NCHHSTP) Public Health Conference Support

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human

Services

AUTHORIZATION:

This program is authorized under the Public Health Service Act, section 301(a), 42 U.S.C. 241(a), as amended and section 317(a), 42 U.S.C. 247b (a), as amended.

OBJECTIVES:

Program activities under this funding will allow state and local governments, their Bona Fide Agents, non-governmental organizations and the general public to request funds for partial support for public health conferences related to the health promotion, education and prevention of HIV, Viral Hepatitis, STD, and TB Prevention.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with reasonable program planning, including personnel, travel, supplies, and services such as contractual. Project funds may not be used for research, clinical care, or generally for the purchase of furniture or equipment. Reimbursement of pre-award costs is not allowed.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

Allowable costs will be determined in accordance with OMB Circular No. A-87 for State, Local, and Indian Tribal governments. OMB Circular A102 is applicable. Allowable cost will be applicable to: A-21 Educational Institutions, A-122 Non-Profit Organizations and A-110. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Office of Grants Services. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and emailed to the program director and a hard copy mailed to the recipient fiscal officer identified in the application. Unsuccessful applicants will receive notification of the results of the application review by either e-mail or U.S. mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding announcement.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period. Method of awarding/releasing assistance: lump sum.

Reports:

The reporting schedule will be based on the requirements listed in the funding announcement. In most cases, funded applicants must provide an interim and annual progress report. Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Cash reports are not applicable. Final financial status and progress reports are due no later than 90 days after the end of the project period. Financial Status Reports (SF 269) are required no more than 90 days after the end of the budget period. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0950-0-1-550.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 16 \$500,000; FY 17 est \$500,000; and FY 18 est \$500,000 - It is anticipated that 5-6 organizations may be funded in FY 2017 should HHS regulations regarding conferences be revised.

Range and Average of Financial Assistance:

Awards will range from approximately \$25,000 to \$125,000 with an average of approximately \$30,000.

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Four organizations were funded in FY 2016. Fiscal Year 2017: It is anticipated that 5-6 organizations may be funded in FY 2017 if agency funding permits. Fiscal Year 2018: It is anticipated that 5-6 organizations may be funded in FY 2018 if agency funding permits.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhsolicy.htm>

Regional or Local Office:

See Regional Agency Offices. Beth Wolfe
Public Health Advisor
Centers for Disease Control and Prevention
1600 Clifton Road NE, MS E-07
Atlanta, GA 30333
404 639-8531
Email: cow1@cdc.gov.

Headquarters Office:

Elizabeth Wolfe 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: cow1@cdc.gov Phone: (404) 639-8531

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Office of Grants Services (OGS) staff and for responsiveness jointly by NCHHSTP/OD and OGS. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet submission requirements.

CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application and Submission Information, subsection Evaluation Criteria within the individual funding announcement. Applicants will be notified if their application did not meet program requirements.

93.340 NATIVE AMERICAN COMMUNITY RESEARCH, DEMONSTRATION, AND PILOT PROJECTS

Native Language Community Coordination Program (NLCC)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Native American Programs Act of 1974, Title VIII, Section 805, Public Law 102-375, 42 U.S.C 2991d.

OBJECTIVES:

To promote economic and social self-sufficiency for American Indians, Alaska Natives, Native Hawaiians, and other Native American Pacific Islanders from American Samoa, Guam, and the Commonwealth of the Northern Mariana Islands through grants or cooperative agreements for research, demonstration, or pilot projects conducted by public or private agencies which are designed to test or assist in the development of new approaches or methods that will aid in overcoming special problems or otherwise further the purposes of the Native American Programs Act.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funding may be used for such purposes as: 1) testing and measuring the effect of collaborative community-based project in Native American communities, 2) new approaches for the use of data to document results and ensure accountability, 3) integration of plans and resources at the Native community-level to promote sustainable supports and services for Native American families, children, and communities; and 4) evaluation of collaborative processes to promote self-sufficiency and well-being for Native Americans.

This is a discretionary grant program. Funding cannot be used for the following purpose or activities: purchase of real property; costs of organized fundraising, reimbursement of pre-award costs, and activities that qualify as major renovations and alterations. Further details regarding ineligible applicants and activities can be found in the ANA regulations at 45 CFR 1336.33. In addition, activities in support of any foreseeable litigation against the United States Government are unallowable per 45 CFR 75.435.

Applicant Eligibility:

Federally-recognized Indian Tribes, as recognized by the Bureau of Indian Affairs; Incorporated non-federally recognized Tribes; Incorporated state-recognized Indian Tribes; Consortia of Indian Tribes; Incorporated nonprofit multi-purpose community-based Indian organizations; Urban Indian Centers; Alaska Native villages as defined in the Alaska Native Claims Settlement Act (ANSCA) and/or non-profit village consortia; Non-profit Alaska Native Regional Corporations/Associations in Alaska with village-specific projects; Non-profit Alaska Native community entities or tribal governing bodies (Indian Reorganization Act or Traditional Councils) as recognized by the Bureau of Indian Affairs; National or regional incorporated non-profit Native American organizations with Native American community-specific objectives; Public and nonprofit private agencies serving native peoples from Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands, Tribal Colleges and Universities, and colleges and universities located in Hawaii, Guam, American Samoa, or the Tribal Colleges and Universities, and colleges and universities located in Hawaii, Guam, American Samoa, or the

Commonwealth of the Northern Mariana Islands which serve Native American Pacific Islanders.

Beneficiary Eligibility:

American Indians, Alaska Natives, Native Hawaiians, and Native American Pacific Islanders will benefit.

Credentials/Documentation:

Non-profit organizations must submit proof of non-profit status. For applicants that are not Tribes or Native Alaska villages, organizations applying for funding must show that a majority of board members are representative of a Native American community to be served. Applicants must submit documentation that identifies each board member by name and indicates his/her affiliation or relationship to at least one of ANAs four categories of community representation, which include: (1) members of federally or state-recognized tribes; (2) persons eligible to be a participant in, or beneficiary of, the project to be funded; (3) persons who are recognized by members of the eligible Native American community to be served as having a cultural relationship with that community; or (4) persons considered to be Native American as defined in 45 CFR 1336.10 and Native American Pacific Islanders as defined in Section 815 of the Native American Programs Act. Applicants that do not include this documentation will be considered non-responsive, and the application will not be considered for competition. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. ACF requires electronic submission of applications at www.Grants.gov. Paper applications received from applicants that have not been approved for an exemption from required electronic submission will be disqualified from competitive review. Applicants that do not have an Internet connection or sufficient computer capacity to upload large documents to the Internet may contact ACF for an exemption that will allow the applicant to submit applications in paper format. See FOA for detailed information. For applicants that have received a waiver, Standard Forms, assurances, and certifications are available at the ACF Funding Opportunities Forms webpage at <http://www.acf.hhs.gov/grants-forms>.

Award Procedure:

All funds are awarded directly to the grantees.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days. All funds are awarded directly to the grantees. Applicants will receive notice of approval or disapproval approximately 120 days after receipt of application.

Appeals:

From 30 to 60 days. Appeals are only available upon a finding of ineligibility for funding and are subject to ANA regulations at 45 CFR 1336.35 and HHS regulations in 45 CFR 16.

Renewals:

From 30 to 60 days. Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. The 20% match is required unless waived in accordance with criteria published in 45 CFR 1336.50. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grantees may annually apply for non-competitive continuation support within a project period of 5 years. See the following for information on how assistance is awarded/released: Annually.

Reports:

No program reports are required. An SF-425 Cash Transaction Report is

required to be submitted quarterly. An annual SF-425 for each budget period is also required to be submitted annually, and a final SF-425 is required at the end of the project period. ANA grantees are required to report project progress using the Objective Progress Report (OPR) (OMB No. 0970-0452, expiration date 06/30/2018) and the SF-425 on a semi-annual basis which are submitted electronically. In addition, grantees will be required to submit an Annual Data Report (ADR) (OMB No. 0970-0475, expiration date 03/31/2019) to report project data once a year and at the end of the project period. The SF-425 is also required to be submitted annually and at the end of the project period. ANA reviews grantee semiannual and annual reports to determine whether the grantee is meeting its project goal and objectives and completing activities identified in the Objective Work Plan (OWP) as well as to evaluate project effectiveness. If progress concerns are identified, ANA may require quarterly reports. In addition, ANA is required to describe and measure the impact of funded projects, their effectiveness in achieving stated goals, their impact on related programs, and when feasible, to obtain the views of persons participating in and served by funded projects. ANA carries out this requirement through review of grantee submitted reports and through the use of structured on-site interviews using a data collection tool (OMB No. 0970-0379, expiration date 07/31/2019). No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The grantee should keep all program, administrative, and financial records available for 3 years after the expiration of the project period.

Account Identification:

75-1536-0-1-506.

Obligations:

(Cooperative Agreements) FY 16 \$1,854,290; FY 17 est \$1,914,210; and FY 18 est \$1,914,210

Range and Average of Financial Assistance:

Range \$100,000 - \$300,000
Average Award \$287,027.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY16 ANA issued a funding opportunity for Native Language Community Coordination and awarded 5 cooperative agreements. ANA will continue to fund those projects for a five year project period; however no new funding opportunity announcements under this program are planned for FY17 or beyond. Fiscal Year 2017: No Current Data Available
Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are published in 45 CFR 1336.

Regional or Local Office:

None.

Headquarters Office:

Carmelia A. Strickland Mary E. Switzer Building
Department of Health and Human Services
330 C Street, SW
Mail Stop 4126
, Washington, District of Columbia 20024 Email:
Carmelia.Strickland@acf.hhs.gov Phone: 877-922-9262

Website Address:

<http://www.acf.hhs.gov/programs/ana>

RELATED PROGRAMS:

93.612 Native American Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Grantee: Sun'aq Tribe of Kodiak
Location: Kodiak, AK
Project Period: 8/1/2016 - 7/31/2021
FY 2016 Award: \$357,844
Project Description: "Tamamta Liitukut" Kodiak Alutiiq Language Education Continuum Project (translated as Everyone is Learning) is designed to cultivate the Kodiak Alutiiq language education continuum by developing the speaking proficiency of preschool-aged children and their families through enhanced immersion language instruction. In addition to creating a new Alutiiq Language Nest to round out the Kodiak Alutiiq language education service continuum, this project will develop a community engagement and recruitment campaign, strengthen elementary and middle school language outreach, and provide supportive services for high school and college level Alutiiq language students to promote Alutiiq conversation among families. Fiscal Year 2017: No Current Data Available
Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each funding opportunity announcement. In general, proposals are judged on the basis of relevance to program objectives as stated in the funding opportunity announcement, project strategy, community support in project design and implementation, reasonable cost estimates, and qualifications of applicant organization and personnel.

93.341 ANALYSES, RESEARCH AND STUDIES TO ADDRESS THE IMPACT OF CMS PROGRAMS ON AMERICAN INDIAN/ALASKA NATIVE (AI/AN) BENEFICIARIES AND THE HEALTH CARE SYSTEM SERVING THESE BENEFICIARIES

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

The Social Security Act, Section 1110, codified at 42 U.S.C., Section 1310. This authority permits the obligation of funding for CMS to enter into grants and cooperative arrangements with organizations and certain other entities in order to aid in improving the administration and effectiveness of programs carried out by the agency. For purposes of this award, CMS is accepting an application from the National Indian Health Board (NIHB) under a single-source cooperative agreement. Approval to award this cooperative agreement to NIHB under a single-source award was granted by the Chief Grants Management Officer, dated April 6, 2017.

OBJECTIVES:

To further CMS mission and goals related to providing high quality health care to the American Indian/Alaska Native (AI/AN) community by providing research and analysis to increase the understanding of, access to, and impact of CMS programs in Indian Country.

Congress authorized AI/ANs to have access to Medicare and Medicaid services when provided through Indian Health Service (IHS) facilities located in tribal communities and amended titles XVIII and XIX of the Social Security Act to permit IHS facilities to bill Medicare and Medicaid for services provided to eligible AI/ANs. This authority was later extended to tribal facilities. Congress has also provided authority for Indian health care programs and beneficiaries to participate in the Childrens Health Insurance program and the Health Insurance Marketplace.

These changes have created a direct relationship between CMS and the Indian Health Service. The research conducted under this cooperative agreement is needed to help improve administration of CMS programs given that CMS and IHS programs operate under different and sometimes competing authorities for CMS programs, the Social Security Act and for IHS programs, the Indian Health Care Improvement Act and the Indian Self Determination Education and Assistance Act, P.L. 93-638. To make CMS programs work with Indian health

program authorities, a crucial first step is for CMS to understand the impact of its policies on Indian health care providers and tribal members so that the agency can provide the AI/AN community greater access to CMS programs in an effective and efficient manner.

The authority at Section 1110 of the Social Security Act permits CMS to enter into grants and cooperative arrangements with organizations and certain other entities in order to aid in improving administration and effectiveness of programs administered by the agency. To this end, the work conducted under this cooperative agreement will address the potential and actual impacts of CMS programs on AI/ANs and the health care system serving these beneficiaries. CMS understanding of the impact of its policies is vital to improving greater AI/AN access to and participation in CMS programs; improving and ensuring that appropriate health care payments and resources are provided to IHS tribal, and urban Indian Health program providers; and contributing to overall improved health outcomes for Indian people. The information and activities produced or provided under the cooperative agreement will be used to develop culturally appropriate activities and strategies to improve how CMS program operate and are administered in Indian country.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

In recent years a number of statutory changes have been made to CMS programs and regulations have been developing to implement those changes. The assistance provided under this cooperative agreement will be used to:

- a) assess the ongoing impact of CMS programs through an analysis of CMS regulations and CMS initiatives that have a potential impact or effect on IHS, Tribal and Urban Indian providers and AI/AN beneficiaries and use this information to monitor AI/AN participation in the CMS regulatory process to assess whether such participation contributes to the understanding of how CMS-related provisions in the Patient Protection and Affordable Care Act (ACA) impact the financing and delivery of health care in the Indian health care system;
 - b) refine the inventory of AI/AN demographic, enrollment, and utilization data in order to develop strategies that make CMS data systems capable of reporting AI/AN enrollments, service utilization, health status and payment data from the Medicare, Medicaid and CHIP programs to facilitate program planning and evaluation, performance measurement, health status monitoring, and target enrollment efforts, identify gaps in data collection, propose and analyze approaches necessary to change and augment data collection systems and other information needed to support all reporting requirements under ACA, CHIPRA and ARRA, and propose reporting mechanisms and protocols for such reporting;
 - c) update and revise the CMS Tribal Technical Advisory Groups 2013-2018 Strategic Plan (which is used to guide the administration of CMS programs in Indian country) to incorporate legislative and regulatory changes resulting from the ACA; and
 - d) Provide research support on the use and effectiveness of the CMS tribal consultation policy so that the agency can be assured to get appropriate tribal input when developing policies that will have a substantial direct effect on tribes.
- (e) Evaluate the effectiveness of outreach and enrollment efforts to AI/AN beneficiaries in CMS programs by conducting training on the basics of CMS programs to enrollment assisters and Indian health provider third party resource staff, learning and sharing best practices to increase AI/AN enrollment, and developing AI/AN culturally appropriate outreach materials based on feedback from training and outreach efforts. Provide quarterly reports summarizing outreach trainings and enrollment events, compilation of best practices utilized and lessons learned, and analysis of and recommendations to improve outreach efforts in Indian Country.

Indirect Costs - If grantee requests indirect costs, an approved Indirect Cost Rate Agreement will be required to support those costs. The provisions of OMB Circular A-87 govern reimbursement of indirect costs under this funding

opportunity announcement. OMB Circulars are available on line at: <http://www.whitehouse.gov/omb/circulars>

Direct Services - funds may not be used to provide individuals with services that are already funded through Medicare, Medicaid and/or CHIP. These services do not include expenses budgeted for provider and/or consumer task force member participation in conferences, provision of technical assistance, or attendance at technical assistance conferences sponsored by CMS or its national technical assistance providers for the benefit of awardees.

The applicants budget may not exceed a maximum funding level or project period of five years.

Reimbursement of Pre-Award Costs No funds awarded under this funding opportunity announcement may be used to reimburse pre-award costs.

Other Prohibited Uses of Funds

To match any other Federal funds.

To provide services, equipment, or supports that are the legal responsibility of another party under Federal or State law (e.g., vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.

To supplant existing state, local, or private funding of infrastructure or services, such as staff salaries, etc.

To be used by local entities to satisfy state matching requirements.

To pay for the use of specific components, devices, equipment, or personnel that are not integrated into the application.

To pay for construction or alteration and renovation of real property (A&R).

To pay for information technology (IT) equipment exceeding 10 percent of the total award. Any equipment, which includes IT, over \$5,000 must be approved by CMS.

To pay states for the use of any of their data made available for this initiative.

Other limitations - A current recipient cannot be awarded a new, renewal, or competing continuation grant for any of the following reasons:

The current project is not progressing in a satisfactory manner;
The current project is not in compliance with program and financial reporting requirements;
The applicant has an outstanding delinquent Federal debt;
No award shall be made until the delinquent account is paid in full; or
A negotiated repayment schedule is established and at least one payment is received.
Indirect Costs - If grantee requests indirect costs, an approved Indirect Cost Rate Agreement will be required to support those costs. The provisions of OMB Circular A-87 govern reimbursement of indirect costs under this funding opportunity announcement. OMB Circulars are available on line at: <http://www.whitehouse.gov/omb/circulars>

Direct Services - funds may not be used to provide individuals with services that are already funded through Medicare, Medicaid and/or CHIP. These services do not include expenses budgeted for provider and/or consumer task force member participation in conferences, provision of technical assistance, or attendance at technical assistance conferences sponsored by CMS or its national technical assistance providers for the benefit of awardees.

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To supplant existing state, local, or private funding of infrastructure or services, such as staff salaries, etc.

To be used by local entities to satisfy state matching requirements.

To pay for the use of specific components, devices, equipment, or personnel that are not integrated into the application.

To pay for construction or alteration and renovation of real property (A&R).

To pay for information technology (IT) equipment exceeding 10 percent of the total award. Any equipment, which includes IT, over \$5,000 must be approved by CMS.

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The current project is not progressing in a satisfactory manner;
The current project is not in compliance with program and financial reporting requirements;
The applicant has an outstanding delinquent Federal debt;
No award shall be made until the delinquent account is paid in full; or
A negotiated repayment schedule is established and at least one payment is received.

Applicant Eligibility:

Eligibility is limited to the National Indian Health Board, and this single source award was approved by the Chief Grants Management Officer. NIHB meets the definition of tribal organization under the Indian Health Care Improvement Act (IHCA) 25 USC Section 1603(26), with significant historical experience in providing outreach and education and the provision of health care information for Indian Tribes and Tribal Organizations. NIHB is a legally established organization controlled and governed by Indians and includes the maximum participation of Indians in all phases of its activities. NIHB has 100 percent appointed or elected officers that comprise the Board of Directors. NIHB acts in a supportive role to ensure the dissemination of health care education and information to tribes.

NIHB must submit a copy of the 501(c)(3) Non-profit Certification as proof of non-profit status.

Applicant must have an Employer Identification Number (EIN), otherwise known as a Taxpayer Identification Number (TIN), to apply.

Applicant must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number. The DUNS number is a nine-digit identification number that uniquely identifies business entities. To obtain a DUNS number, access the following website: <http://www.dnb.com/> or call -866-705-5711. This number should be entered in the block 8c (on the Form SF-424, Application for Federal Assistance). The organization name and address entered in block 8a and 8c should be exactly as given for the DUNS number. Applicant should obtain this DUNS number immediately to ensure all registration steps are completed in time.

Applicant must also register in the Central Contractor Registration (CCR) database in order to be able to submit the application. Applicant should begin

the CCR registration process immediately to ensure that it does not impair ability to meet required submission deadlines.

Beneficiary Eligibility:

The primary beneficiaries of this effort are American Indians and Alaska Natives who are eligible for CMS programs to get them educated about and enrolled in CMS programs, as appropriate, ensure that Indian health care providers can participate and are enrolled in CMS programs, and to reduce health disparities in tribal communities. By enrolling in CMS programs, AI/ANs benefit by having greater access to services that may not be provided by their local Indian health care providers, and tribal communities benefit through increased resources to their Indian health care programs.

The Federal government will benefit by obtaining information to help make more informed policies which will improve administration of CMS programs in tribal communities and thereby provide AI/ANs access to quality health care.

Federally Recognized Indian Tribal Governments and Native American Organizations would benefit because they can provide information and insight to help CMS understand the tribal provider and tribal beneficiary perspective so that CMS can make informed policy decisions that would permit CMS programs to be administered and operate effectively and efficiently in tribal communities and not conflict with legislative/regulatory authority under which the Indian Health Service programs operate.

Credentials/Documentation:

NIHB must submit its 501(c)(3) Nonprofit Certification as proof of nonprofit status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. A complete application should consist of the following documents organized in the following sequence:

Cover letter
SF-424 Application for Federal Assistance
SF-424A Budget Information
A budget narrative not to exceed 15 single spaced pages
Abstract of project
A research project narrative that describes each of the five separate project objectives (the entire narrative not to exceed 40 single spaced pages)
SF-424B Assurances
Health Board resolution
501(c)(3) Non-profit Certification
Resumes for all key personnel
Position descriptions
Disclosure of lobbying activities (SFLLL) (if not applicable, then annotate the form)
Copy of approved indirect cost (IDC) rate agreement (if applicable)
Documentation of current OMB A-133 required financial audit (if applicable)
Acceptable forms of documentation include: e-mail confirmation form Federal Audit Clearinghouse (FC) that audits were submitted; or Face sheets from audit reports. These can be found at: <http://harvester.census.gov/sac/> or <http://harvester.census.gov/sac/dissem/entity.html?submit=Return+tp+Entity+Search>
Project Narrative:
Separate Project Narratives are required for each of the five projects: Each narrative should be a separate Word document that does not exceed 8 pages with consecutively numbered pages. If the individual project narrative exceeds the page limits noted above, only the first 8 pages will be reviewed. The total number of pages submitted should not exceed 40 pages for each of the five projects.

There are three parts to the narrative for each of the five projects:

Part A Program Information (1 page limitation)

Section 1: Needs

Part B Program Planning and Evaluation (6 page limitation); and

Section 1: Program Plans

Section 2: Program Evaluation

Part C Program report. (1 page limitation)

Section 1: Describe major accomplishments over the last 24 months

Section 2: Describe major activities over the last 24 months.

Budget Narrative

A separate budget narrative is required for each project. Each narrative must describe the budget amount(s) requested and match the corresponding scopes of work described in the project narrative. The total page limitation for the budget is three pages for each project for a total of 15 pages.

The applicant should provide a detailed breakdown of the aggregate number for the budget recorded on standard form 424 (SF 424) application for Federal Assistance, including allocations for each major set of activities or proposed tasks. The proposed budget justification must clearly describe each cost element in the related budget category. The budget must clearly identify what funds will be administered directly by the lead agency and what will be subcontracted to other partners. The designated lead agency is solely responsible for the fiscal management of the project.

NIHB must supplement Budget Form SF424A with a Budget Narrative. The narrative must include a yearly breakdown of costs for the five-year grant period of performance. Specifically, the Budget Narrative should provide a detailed cost breakdown for each line item outlined in the SF 424A by year including a breakdown of costs for each activity/cost with the line item. The proportion of grant funding designed for each activity should be clearly outlined and justify the institutions readiness to receive funding through 2021 including complete explanations and justifications for the proposed grant activities. The budget must separate out funding that is administered directly by the awardee from any funding that will be subcontracted.

The following budget categories should be addressed (as applicable):

Personnel

(Note: none of the funds shall be used to pay the salary of an individual, through a grant or other extramural mechanism, at a rate in excess of Executive Level II (\$179,700))

Fringe benefits

Contractual costs, including subcontracts

Equipment

Supplies

Travel

Indirect charges, Indirect costs shall be supported by an approved Indirect cost rate agreement.

Other costs, including those not otherwise associated with training and education.

The Budget Narrative must outline the strategies and activities of the program, and provide cost breakdowns for any subcontracts that will be implemented to achieve anticipated outcomes.

The Budget Narrative shall also clearly distinguish the funding source for any given activity/cost, as either Federal or Non-Federal. The applicant should pinpoint those costs funded through in kind contributions. Applicant must include detailed salary and fringe benefit costs for staff dedicated to the project through an in-kind contribution, to include yearly salary costs and the percentage of time dedicated to the project (for any given year).

The total budget should include both direct and indirect costs, if applicable.

Award Procedure:

The review process will include the following:

NIHBs application will be reviewed to determine eligibility using the criteria detailed in the funding opportunity announcement. An application that is received late or that fails to meet the eligibility requirements as detailed in the FOA or does not include the required forms will not be reviewed.

An independent, objective review of the application will be conducted. The review panel will assess the application based on the review criteria to determine the merits of the application and the extent to which it furthers the purposes of the research program. The review panel comments and recommendations will be condensed into a summary statement that will assist CMS in making the award decision. CMS will use the information to judge the likelihood that the project will be successfully implemented and will have tangible, beneficial outcomes.

The applicant will receive written notification of the award decision. An award will be made to the successful applicant on or before September 29, 2017.

The successful applicant will receive an official Notice of Award (NOA) signed by the CMS Grants Management Officer, OAGM, that will set forth the amount of the award and other pertinent information, including any specific terms conditions of the award required for the fulfillment of the grant. The NOA is a legal document issued to notify the grantee that the award has been approved and that funds are now available for draw down from the HHS Payment Management System (PMS). Any communication between CMS and an awardee prior to issuance of the NOA is not an authorization to begin implementation of a project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact headquarters for approval information.

Appeals:

Not Applicable.

Renewals:

Renewals for FY 2017-2021 will be provided each of these fiscal years based on the availability of funding and grantees acceptable performance.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Section 1110 of the Social Security Act requires cost sharing by the applicant. To comply with this requirement, CMS requires that the applicant provide cost sharing equal to at least one percent (1%) of the amount of the award. This cost sharing requirement may be satisfied through in-kind contributions.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

N/A. Method of awarding/releasing assistance: quarterly.

Reports:

The grantee must submit the following required reports through the period of performance: 1) quarterly progress reports, 2) annual report, and 3) final report. CMS will provide the format for these reports in the terms and conditions.

Research and analysis of impact of CMS Regulations/Initiatives on the Indian health care system quarterly and annual reports to summarize the impacts of the CMS-related regulations and initiatives on provision of health care in the I/T/U system and AI/AN beneficiaries.

Data Research and Analysis Project-Prepare Medicare and Medicaid/CHIP annual reports that include: findings from the analysis of the Medicare, Medicaid, and CHIP data; identifies gaps in data collection; identifies shortcomings in system interactions; proposes CMS/IHS/SSA data interface protocols; and makes specific recommendations on additional data systems improvements. No cash reports are required. In order for CMS to monitor the grantees efforts toward reaching the goals of the grant program, the grantee must agree to provide CMS with information it may require to assess the functioning and effectiveness of the program and to ensure that the grant monies are expended for the purposes for which they were awarded.

The grantee must submit the following required reports through the period of performance: 1) quarterly progress reports, 2) annual report, and 3) final report.

CMS will provide the format for these reports in the terms and conditions. Federal financial reporting-- submit the SF-425 Federal Financial Report on an annual, semi-annual, or quarterly basis. More details are outlined in the Notice of award Terms and Conditions.

Transparency Act Reporting Requirements report information for each first-tier sub-award of \$25,000 or more in Federal funds and executive total compensation for the recipients and surecipients five most highly compensated executives as outlined in Appendix A and 2 CFR Part 170 (on line at www.frsr.gov). A performance report is required quarterly and annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Guidelines within OMB Circular No. A-133

Records:

The recipient must maintain expenditures and other financial records detailing the use of cooperative agreement funds and the progress reports for three years from the day on which the grantee submits the last financial status report for the grant period unless there is an open investigation in which case the records must be maintained during the duration of the investigation.

Account Identification:

05-0101-2-0-141.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$860,000; FY 17 est \$800,000; and FY 18 est \$800,000

Range and Average of Financial Assistance:

FY 2014 - \$635,000

FY 2015 - \$635,000

FY 2016 - \$860,000

Approximate average award -- \$800,000.

TAFS Codes:

75-0511.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Linda Gmeiner
Grants Management Specialist
Centers for Medicare & Medicaid Services
Office of Acquisition and Grants Management, AGG, DGM
7500 Security Boulevard, M/S B3-30-03
Baltimore, MD 21244-1850
410-786-9954
Linda.gmeiner@cms.hhs.gov.

Headquarters Office:

Linda Gmeiner 7500 Security Blvd. M/S B3-30-03, Baltimore, Maryland
21244 Email: Linda.gmeiner@cms.hhs.gov Phone: 410-786-9954

Website Address:

<http://www.nihb.org>

RELATED PROGRAMS:

93.621 Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Application will be scored with at total of 100 points available. A minimum

score of 90 points is required for funding. The following criteria will be used to evaluate the application received in response to the funding opportunity announcement.

I. Evaluation Criteria

Part A: Program Information Addresses Needs (15 points)

Part B: Program Planning and Evaluations Addresses project objectives, workplan and consultants)

Program Plans (40 points)

Program Evaluation (20 points)

Part C: Program Report -- Addresses organizational capabilities and qualifications (15 points)

Budget Narrative -- (10 points)

The instructions for preparing the application narrative also constitute the evaluation criteria for reviewing and scoring the application. Weights assigned to each section are noted in parentheses. Points will be assigned to each evaluation criteria totaling 100 points. The ten-page narrative should include only the first year of activities, and information for multi-year projects should be included as an appendix.

93.342 HEALTH PROFESSIONS STUDENT LOANS, INCLUDING PRIMARY CARE LOANS/LOANS FOR DISADVANTAGED STUDENTS

HPSL, PCL, LDS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Part C, Section 721-735, 42 U.S.C. 292q-292y; Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

To increase educational opportunities by providing long-term, low-interest loans to students in need of financial assistance and in pursuit of a course of study in an approved health discipline.

TYPES OF ASSISTANCE:

Project Grants (to capitalize loan funds)

USES AND USE RESTRICTIONS:

Funds on deposit can only be used for loans to eligible students pursuing a full-time course of study. The maximum amount a student may borrow is the cost of attendance (including tuition, other reasonable educational expenses and reasonable living expenses). Third and fourth year medical and osteopathic medicine students may be eligible for additional funding to repay earlier higher-interest educational loans. The interest rate is five percent.

To be eligible for a Primary Care Loan (PCL), students of allopathic medicine and osteopathic medicine must meet financial need criteria and agree to enter and complete a residency training program in primary health care not later than four years after the date on which the student graduates from such school. The PCL borrower must also practice primary health care for 10 years (including the years spent in residency training) or through the date in which the loan is repaid in full, whichever occurs first.

To be eligible for Loans for Disadvantaged Students (LDS) students must meet the Health Professions Student Loans (HPSL) criteria and also be from a disadvantaged background as defined by the Secretary. A school must be carrying out a program for recruiting and retaining students from disadvantaged backgrounds, including racial and ethnic minorities and carrying out a program for recruiting and retaining minority faculty to be eligible for LDS funds. In addition, the school must agree to ensure that adequate instruction regarding minority health issues is in the curricula of the school. Health clinics must provide services to a significant number of individuals who are from disadvantaged backgrounds, including members of minority groups and enter into arrangements with one or more such clinics for the purpose of providing students of the school with experience in clinical services to such individuals. Secondary educational institutions and undergraduate institutions of higher

education must enter into arrangements with one or more such institutions for the purpose of carrying out programs regarding the educational preparation of disadvantaged students, including minority students, to enter the health professions and recruit such individuals into the health professions. These institutions should also establish a mentor program for assisting disadvantaged students, including minority students, toward completion of the educational requirements for degrees from the school. .

Applicant Eligibility:

Any accredited public or other nonprofit private school of medicine, dentistry, osteopathic medicine, optometry, podiatry, pharmacy, or veterinary medicine which provides a course of study leading to a degree of Doctor of Medicine or Doctor of Osteopathic Medicine for PCL and LDS borrowers, Doctor of Dentistry (or an equivalent degree), Doctor of Optometry (or an equivalent degree), Doctor of Podiatric Medicine (or an equivalent degree), Bachelor of Science in Pharmacy (or an equivalent degree), Doctor of Pharmacy (or an equivalent degree), or Doctor of Veterinary Medicine (or an equivalent degree) for HPSL and LDS borrowers. Additionally, the school must be located in the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the U.S. Virgin Islands, Guam, American Samoa, the Republic of Palau, the Republic of the Marshall Islands, or the Federated States of Micronesia. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Student applicants must display financial need and be enrolled or accepted for enrollment in a health professions school to pursue a full-time course of study leading to a degree as specified above. Students must also be citizens, nationals or lawful permanent residents of the United States, the District of Columbia, the Commonwealths of Puerto Rico, the Northern Mariana Islands, the U.S. Virgin Islands, Guam, American Samoa, the Republic of Palau, the Republic of the Marshall Islands, or the Federated States of Micronesia.

Credentials/Documentation:

Annual operating reports serve as the renewal application for current loan recipient institutions. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Students should apply at financial aid office of school of their choice for assistance in applying for a loan. The school then tabulates requests for financial aid and awards loans based on needs of students and available funds.

Schools can obtain new applications for this program from the Division of Health Careers and Financial Support by calling (301) 443-1173. Annual operating reports serve as the renewal application for current loan recipient institutions

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award. When Federal Capital Contribution funds are available for re-distribution, a Notice of Award is sent to the School's Contact Person notifying the school of the amount of federal funds allocated for loans within program and discipline prior to the beginning of the academic year in which the award is to be utilized. Students are notified by the schools financial aid office of approval or disapproval of loans at times determined by schools.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Applications are only required for new schools. Annual Operating Reports are required for renewals.

Formula and Matching Requirements:

Statutory Formula: Allotment of funds is based on statutory formula which takes into account the ratio each school's enrollment bears to the enrollments of all schools making application for funds, but never exceeds the schools request.

Matching Requirements: 11% Matching Requirement. Under the Federal Capital Contribution method, a school must match the Federal Capital Contribution with an amount equal to one-ninth of the funds drawn down. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The time required by a student to complete the necessary course of study leading to a degree and subject to the availability of funds. See the following for information on how assistance is awarded/released: Recipient institutions drawdown funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. An Annual Operating Report (AOR) through electronic submission at <http://grants.hrsa.gov> must be submitted 45 days after the end of each budget period. Cash reports are not applicable. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. .

Records:

Financial records must be kept until such time as agreed upon with the Secretary as specified in 42 CFR 57.215.

Recipients are required to maintain grant accounting records 3 years after the date they submit Annual Operating Report (AOR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants (to capitalize loan funds)) FY 16 \$9,773,066; FY 17 est \$20,599,308; and FY 18 est \$15,186,187 - LDS Project Grants (to capitalize loan funds). (Project Grants (to capitalize loan funds)) FY 16 \$6,740,331; FY 17 est \$3,406,845; and FY 18 est \$3,573,588 - PCL Project Grants (to capitalize loan funds). (Project Grants (to capitalize loan funds)) FY 16 \$966,224; FY 17 est \$3,400,395; and FY 18 est \$2,183,310 - HPSL Project Grants (to capitalize loan funds).

Range and Average of Financial Assistance:

\$1,000 to \$2,535,121 for FY 16: Average: \$224,098 per institution for FY 16.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Jim Essel (PCL), Devonaline Horne (LDS), or Hai Le (HPSL), Division of Health Careers and Financial Support, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services 5600 Fishers Lane, Room 15N58, Rockville, Maryland 20857 Phone: (301) 443-1173

Website Address:

<http://bhw.hrsa.gov/scholarshipsloans/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness, accuracy, and to determine if applicant schools meet minimum program requirements.

93.343 PUBLIC HEALTH SERVICE EVALUATION FUNDS

PHS Evaluation Funds

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Section 241 of the Public Health Service Act.

OBJECTIVES:

To carry out evaluations (including longitudinal evaluations) of teen pregnancy prevention approaches.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

OAH is interested in research, evaluation and statistics projects that would make significant contributions to the mission of the organization and the teen pregnancy prevention field. Under this announcement, OAH could consider research and evaluation projects that further the understanding of what works, for whom and how in the field of teen pregnancy prevention and expectant and parenting youth. OAH would also consider grant applications that include research that can be carried out in a short period of time with limited resources, such as secondary data analyses using program and evaluation data OAH is in possession of or TPP related data that the grantee could obtain quickly. OAH will not provide funds under this announcement for projects that consist solely of program delivery or the provision of services. There is approximately \$500,000 available for up to 2 cooperative agreements.

Applicant Eligibility:

Nonprofit, for profit, small businesses, community based organizations, faith based organizations, universities, hospitals, state and local governments, US territories and possessions, tribal entities, native American organizations are eligible to apply.

Beneficiary Eligibility:

Researchers, Policymakers, Teens, Parents.

Credentials/Documentation:

A non-profit organization must provide evidence of its non-profit status. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This application may be found on www.grants.gov by CFDA search and must be submitted electronically through grants.gov.

Award Procedure:

All applications and proposals are read by a panel of independent experts who make recommendations for action to the Office of Adolescent Health. After considering the recommendation of this panel, the Director of the Office of Adolescent Health makes the final decision on the funding of applications. All applicants are notified in writing of actions taken on their applications. A Notice of Award is issued for those applications that are approved and funded.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days. Support may be requested for a total project period of 2 years. Extensions to the project period may be made if deemed appropriate by the Office of Adolescent Health and approved by the Office of Grants Management.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Availability of funds is limited to the project period. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual progress reports are due 90 days after the end of the budget period. Quarterly SF425 Federal Financial Reports are required to be filed with the Division of Payment Management. Progress reports are required semi-annually each year of the grant. Annual progress reports are due 90 days after the end of the budget period. SF425 Federal Financial Reports are required to be submitted to the Office of Grants Management quarterly with an annual FFR due 90 days after the end of the budget period. FFR's must be submitted electronically through GrantSolutions. Performance monitoring is conducted by office staff (project officers) via monthly conference calls, email communication, progress reports, and site visits (when funds are available for travel).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings. HHS and the Comptroller General of the United States or any of their designated authorized officials shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year

period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings. HHS and the Comptroller General of the United States or any of their designated authorized officials shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$357,345; FY 17 est \$1,500,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

\$357,345 awarded for a fully funded 2 year project period from FY16-FY18.

TAFS Codes:

75-10-15-16-0117.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Amy Feldman Farb, Evaluation Specialist, Office of Adolescent Health, 240-453-2836, Amy.Farb@hhs.gov.

Headquarters Office:

Brenda Donaldson 1101 Wootton Parkway, Suite 550
, Rockville, Maryland 20852 Email: Brenda.Donaldson@hhs.gov Phone: 240-453-8822

Website Address:

<http://www.hhs.gov/oah>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: \$357,345 awarded for a fully funded 2 year project period from FY16-FY18. Fiscal Year 2017: The current grantee is exploring new questions in the area of teen pregnancy prevention through analyses of existing data from the OAH Teen Pregnancy Prevention Program and is focusing on programs implemented with middle school youth. The purpose of this project is to enhance understanding of interventions designed to reduce teen pregnancy and existing disparities and to continue to increase available evidence. The final report is expected in June 2018. Fiscal Year 2018: The final report is expected in June 2018.

CRITERIA FOR SELECTING PROPOSALS:

An objective review committee scored applications based on 5 criteria categories: 1. Significance, 2. Research Design and Methods, 3. Capacity and Experience of the Applicant and Applicant Organization, 4. Project Management and Work Plan, 5. Budget and Budget Narrative.

93.344 RESEARCH, MONITORING AND OUTCOMES DEFINITIONS FOR VACCINE SAFETY

Vaccine Safety Research

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Section 1702(a) [42 U.S.C. 300u-1(a)] and Section 1703(a) and (c) [42 U.S.C. 300u-2(a) and (c)] of the Public Health Service Act, as amended.

OBJECTIVES:

The National Vaccine Program Office (NVPO) collaborates with federal partners to provide strategic direction for the coordination of the vaccine and immunization enterprise for the National Vaccine Plan (NVP) implementation http://www.hhs.gov/nvpo/vacc_plan/2010%20Plan/nationalvaccineplan.pdf NVPO is looking to partner with an institution or organization to conduct research or surveillance improvements related to vaccine safety. The areas of research are specifically, but not limited to, predicting the safety profile of new vaccines during the early development stage, developing or modifying existing vaccines to improve their safety, conducting applied research that will have a direct impact on the current vaccine safety monitoring system, conducting research that will improve the safety profile of currently marketed vaccines, conducting research that will improve the understanding of the safety profile of immunizations that are or will be recommended for adults over 65 years old.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be applied to improving vaccine safety knowledge, improving the monitoring of adverse events following immunization, including vaccines used by adults over 65 years old. Examples of applicability are predicting the safety profile of new vaccines during the early development stage, developing or modifying existing vaccines to improve their safety, conducting applied research that will have a direct impact on the current vaccine safety monitoring system, conducting research that will improve the safety profile of currently marketed vaccines, conducting research that will improve the understanding of the safety profile of immunizations that are or will be recommended for adults over 65 years old. There is approximately \$500,000 available. Funds are restricted from being used for the following: alterations or renovations; construction; fund-raising activities; job training; political education and lobbying; vocational rehabilitation. There is approximately \$500,000 available for up to 2 cooperative agreements.

Applicant Eligibility:

Public (including city, county, regional, and State government) organizations and private nonprofit entities.

Beneficiary Eligibility:

Improving vaccine safety for adults.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the cooperative agreement will be determined in accordance with the following Cost Principles: OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit organizations. Faith based organizations are eligible to apply. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. To apply, access the announcement through www.grants.gov. Enter the CFDA# and follow the website instructions. Applications submitted after the deadline will not be accepted for review. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review. Applications may only be submitted electronically via www.grants.gov. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be ineligible will not be reviewed. Accepted applications will be reviewed for

technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of experts. Final funding decisions will be determined by the NVPO Office Director; taken into consideration are the recommendations of the review panel; program needs, stated preferences; geographic location. All applicants are notified in writing of actions taken on their applications. A Notice of Award is issued for those applications that are approved and funded.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. from 6 to 9 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cooperative Agreements may not exceed 2 years (subject to the availability of funds). Payment will be made either on a monthly cash request basis or under Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued at the time an award is made. Method of awarding/releasing assistance: by letter of credit.

Reports:

Quarterly and Annual Progress Reports must be submitted each year. A Final Report will be due 90 days after the end of the project period. Federal Financial Reports (FFR) SF425 are required quarterly, and must be submitted to Payment Management Services 30 days after the end of each calendar quarter. A revised work plan must be submitted each year to indicate any service and/or partnership revisions. Quarterly SF425 Federal Financial Reports must be submitted to the Office of Grants Management 30 days after the end of each calendar quarter and an annual FFR 90 days after the end of the budget period/project period end date. Reports are to be submitted electronically through GrantSolutions. A final performance report is due 90 days following the end of the project period.

Audits:

No audits are required for this program.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0150-0-1-120.

Obligations:

(Cooperative Agreements) FY 16 \$250,000; FY 17 est \$750,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Estimated Funds Available for Competition \$750,000.

TAFS Codes:

75-10-15-17-0117.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Vaccine Confidence 1 award for \$250,000 for FY2016.

Fiscal Year 2017: Awardee: Kaiser Foundation Hospitals

Project Award: \$338,425

Description: This project focuses on adversomics. It aims to identify inherited, immunologic, and clinical factors that may predict the occurrence of febrile seizures after measles vaccination.

Awardee: Cincinnati Children's Hospital Medical Center

Project Award: \$250,000

Description: This project focuses on maternal immunization safety. It aims to validate the Global Alignment of Immunization Safety Assessment in pregnancy (GALA) maternal and neonatal outcome definitions to standardize the evaluation of the safety of vaccines.

Awardee: The Rockefeller University

Project Award: \$161,575

Description: This project focuses on precision medicine. It aims to analyze the genetic determinants of the immune response following yellow fever vaccination among individuals who experience serious adverse events. Fiscal Year 2018: unknown at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

HHS Grants Policy Statement is available online:

<http://www.ahrq.gov/funding/policies/hhsolicy/index.html>

HHS Grants Policies and Regulations are available online:

<http://www.hhs.gov/grants/grants/grants-policies-regulations/>

Regional or Local Office:

See Regional Agency Offices. Karin Bok, MS, PhD

202-690-1191

Karin.Bok@hhs.gov.

Headquarters Office:

Eric C. West Tower Building Suite 550, 1101 Wootton Parkway, Rockville,

Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.hhs.gov/nvpo>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Vaccine Safety Cooperative Agreements; 2 awards each for \$250,000 for FY2015

Vaccine Confidence 1 award for \$250,000 for FY2016. Fiscal Year 2017:

\$750,000. Fiscal Year 2018: 750,000.

CRITERIA FOR SELECTING PROPOSALS:

Please see the current FOA.

93.350 NATIONAL CENTER FOR ADVANCING TRANSLATIONAL SCIENCES

NCATS

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Section 479-481 487F, Public Law 99-158;

Small Business Reauthorization Act of 2000, Public Law 106-554; Public

Health Service Act, Title III, Section 301, Public Law 78-410, 341 U.S.C

287-288; The 21st Century Cures Act, Title II, Part A, Section 2037, Public

Law 114-114-255.

OBJECTIVES:

The mission of the National Center for Advancing Translational Sciences (NCATS) is to catalyze the generation of innovative methods and technologies that will enhance the development, testing, and implementation of diagnostics and therapeutics across a wide range of human diseases and conditions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

NCATS is all about getting more treatments to more patients more quickly.

Several thousand genetic diseases affect humans, of which only about 500 have any treatment. A novel drug, device or intervention can take 14 years and \$2 billion to develop, with a failure rate exceeding 95%. NCATS is directly addressing this problem by discovering new technologies and other approaches that could greatly accelerate the process of developing and deploying solutions that can be used by all translational researchers. The Clinical and Translational Science Awards Program includes several activities to support and foster innovation in clinical and translational centers, methods, and training (UL1, KL2, TL1). The Trial Innovation Centers awards (U24) are intended to be lead centers of excellence in clinical trials and will facilitate the implementation of multi-site clinical studies by the CTSA Network. The Recruitment Innovation Centers (U24) aim to improve participant recruitment into clinical trials by using innovative means to assess the availability of potential participants and to enroll them in a timely manner. The Collaborative Innovation Awards aim to stimulate innovative collaborative research in the NCATS Clinical and Translational Science Award (CTSA) Program. Pre-application is required. The Office of Rare Disease Research (ORDR) supports a network of research consortia, each targeted to several related rare diseases (U54). ORDR also supports an extensive resource of information for the public on rare diseases. The Discovering New Therapeutic Uses for Existing Molecules (UH2/UH3) program focuses on finding new uses for existing therapies. Small Business Innovation Research (SBIR) grants (R43, R44) and Small Business Technology Transfer (STTR) grants (R41, R42) support domestic small businesses engaging in research and development that has the potential for commercialization. The Tissue Chip program funds bioengineered devices to improve the process of predicting whether drugs will be safe or toxic in humans. Through Translator, NCATS will integrate existing biomedical data to help reveal new relationships within those data and also identify novel opportunities for research. The bioprinting program will generate high throughput screenable assay models of human tissues for drug discovery.

Applicant Eligibility:

None.

Beneficiary Eligibility:

Biomedical investigators at any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

The required credentials of the applicant are described in the relevant Funding Opportunity Announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Cooperative agreement applications must be submitted using the electronic SF424 form per the instructions in the NIH Guide for Grants and Contracts. Forms can be found at

<http://grants.nih.gov/grants/ElectronicReceipt/index.htm> and submitted to <http://www.grants.gov/web/grants/home.html;jsessionid=9H2ITpJpw1VLC1TBJzYsdhwG2Kt6fHGG2v2vC2S8nvjDBvqsMqmn>.

Small business grant applicants must use the SF424 for Small Business grants at:

http://grants.nih.gov/grants/funding/424/SF424_RR_Guide_SBIR_STTR_Adobe_VerB.pdf

Award Procedure:

The initial review of applications from eligible institutions is conducted by committees comprised of authorities in various fields of biomedical research and science education, as appropriate. Each application is given a peer evaluation for merit. Recommendations for award are forwarded to the NCATS Advisory Council for the second level of review and recommendation for award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

From 15 to 30 days. Principal investigators (P.I.) may question the substantive or procedural aspects of the review of their applications by communicating with the NCATS staff. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

> 180 Days. SBIR grants may be renewed.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

1 to 5 years. An Electronic Transfer System is used to transfer funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Annual progress and financial status reports are required for all grants and cooperative agreements. Final performance and financial reports are required 90 days following the end of the project period. Annual progress and financial status reports are required for all grants and cooperative agreements. Annual performance review is required. Final performance and financial reports are required 90 days following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 75 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 75 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0875-0-1-552 - National Center for Advancing Translational Sciences.

Obligations:

(Project Grants) FY 16 \$570,357,666; FY 17 est \$580,968,000; and FY 18 est

\$459,944,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-08-0875.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75. OMB Circular No. A-128, "Audits of State and Local Governments"; Reference: Public Law 98-502, the Single Audit Act of 1984. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal Regulations at 42 CFR 52 and 42 USC 241. Department Staff Manual "Grants Administration"; Indirect Cost Register, DHHS: PHS Grants Policy Statement, DHHS Publications No. (OASH) 94-50,000, (Rev.) April 1, 1994; "NIH Grants Policy Guide," Office for Protection from Research Risks, NIH; "A Guide to Grant and Award Programs of the NIH"; and miscellaneous program literature from Headquarters Office.

Regional or Local Office:

None.

Headquarters Office:

Sylvia Parsons 6701 Democracy Blvd, Room 970, Bethesda, Maryland 20892-4874 Email: parsonss@mail.nih.gov Phone: 3014350860

Website Address:

<https://www.ncats.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Clinical and Translational Science activities \$494,520,493

Rare Disease Research \$20,138,513

Tissue Chip program \$17,422,308

Translator - \$5,129,572

SBIR/STTR \$20,573,535

New Therapeutic Uses \$11,311,869

Therapeutics for Rare and Neglected Diseases \$1,261,376. Fiscal Year 2017:

Clinical and Translational Science activities \$509,572,000

Rare Disease Research \$17,924,000

Tissue Chip \$16,216,000

Translator \$5,155,000

Bio Printing \$1,500,000

SBIR/STTR \$21,215,000

New Therapeutic Uses \$9,089,000

Therapeutics for Rare and Neglected Diseases \$297,000. Fiscal Year 2018:

Clinical and Translational Science activities \$399,083,000

Rare Disease Research \$13,887,000

Tissue Chip \$15,668,000

Translator \$4,899,000

Bio Printing \$1,350,000

SBIR/STTR \$17,400,000

New Therapeutic Uses \$7,473,000

Therapeutics for Rare and Neglected Diseases \$184,000.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives.

93.351 RESEARCH INFRASTRUCTURE PROGRAMS

Comparative Medicine, Instrumentation, Research Infrastructure

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Small Business Research and Development Enhancement Act of 1992, Public Law 102-564; Public Health Service Act, Title IV, Section 487, Public Law 99-158; Public Health Service Act, Title III, Section 301, Public Law 78-410, 341 U.S.C 287-288.

OBJECTIVES:

The Office of Research Infrastructure Programs (ORIP) is a program office in the Division of Program Coordination, Planning, and Strategic Initiatives (DPCPSI) dedicated to supporting research infrastructure and related research programs. ORIP consists of the Division of Comparative Medicine (DCM); and Division of Construction and Instruments (DCI).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Division of Comparative Medicine (DCM) helps meet the needs of biomedical researchers for high-quality, disease-free animals and specialized animal research facilities. Additionally, DCM seeks to increase small business participation in federally supported research and development as well as the private-sector commercialization of technology developed with federal support and the biomedical workforce of scientist with a background in veterinary medicine. It does this through the federal government's Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) programs.

Division of Construction and Instruments: This Division supports programs to expand the

Nations capacity for the conduct of biomedical research by offering grants for the acquisition of state-of-the-art biomedical research instrumentation and integrated instrument systems, and grants to renovate, improve, or modernize existing research facilities.

Applicant Eligibility:

Comparative Medicine: Institutions of higher education, hospitals, and other institutions and organizations, both nonprofit and for-profit, seeking to establish, continue, or enlarge programs consistent with the objectives of the program. Applicants for NRSA must be citizens of the United States or be admitted to the United States for permanent residency. Applicants must be nominated and sponsored by a public or private nonprofit institution with staff and facilities suitable for the proposed research training. Nonprofit domestic organizations may apply for the institutional NRSA. Research Career Development: Applications may be submitted on behalf of candidates by domestic, nonfederal organizations, public or private institutions of higher education.

Beneficiary Eligibility:

Biomedical investigators at any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application forms may be obtained from the Division of Extramural Outreach and Information, 6701 Rockledge Drive, MSC 7910, Bethesda, MD 20892-7910. Telephone (301) 435-0714, e-mail: ASKNIH@odrockml.od.nih.gov. Completed applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, MSC 7710, Bethesda, MD 20892-7710. The standard application forms PHS 398, (Rev. November 1998), as furnished by PHS and required by 45 CFR, Part 92 must be used for all programs. For filing NRSA individual fellowship award applications, Form 416-1 (Rev. October 1991) is needed.

Award Procedure:

The initial review of applications from eligible investigators and institutions is reviewed by committees comprised of authorities in various fields of biomedical research and science education, as appropriate. Each application is given a peer evaluation for merit, and recommendations are forwarded to the Council of Councils for final review and recommendation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

From 60 to 90 days. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of their application by communicating with the NIH staff. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

> 180 Days. Renewal applications are reviewed in the same manner as new applications. Modernization and Instrumentation: None.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

All programs: 1 to 5 years. An Electronic Transfer System is used for transferring funds. Research Grants, Cooperative Agreements, Center Grants, and NRSA Institutional grants may be awarded for up to 5 years, generally in 12-month budget periods and may be extended through a competitive renewal. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. The Council of Councils may recommend funding for periods ranging from 1 to 5 years. Funding commitments are made annually. Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization. See the following for information on how assistance is awarded/released: An Electronic Transfer System is used for transferring funds.

Reports:

No program reports are required. No cash reports are required. Annual progress and financial status reports are required for all grants. Final performance and financial reports are required 90 days following the end of the project period. Annual progress and financial status reports are required for all grants. Final performance and financial reports are required 90 days following the end of the project period. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 45 CFR 75, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year. The regulation provides for profit organizations with the option for the type of audit that will satisfy the audit requirement that a financial related audit of the HHS awards in accordance with Government Auditing Standards In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting

documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0846-0-1-552.

Obligations:

(Project Grants) FY 16 \$265,638,377; FY 17 est \$265,051,400; and FY 18 est \$192,525,299 - (Project Grants) FY 15 \$264,543,192; FY 16 est \$264,187,662; and FY 17 est \$264,187,662- Amounts shown are actual/estimated amounts available for research grants including SBIR/STTR, centers, research career awards, and research project grants. Amounts for research training grants, R&D contracts, and research management support are not included. (Project Grants (Capacity Building and Complaint Processing, Training)) FY 16 \$7,659,219; FY 17 est \$7,812,403; and FY 18 est \$6,640,543 - (Project Grants (Training)) FY 15 \$6,514,392; FY 16 est \$7,787,235; and FY 17 est \$7,787,235 - Amounts shown are for individual and institutional research training awards.

Range and Average of Financial Assistance:

Awards vary in range depending on the particular activity codes. Research Centers grants have much larger ranges - from hundreds of thousands to several million dollars. All costs are shown on a single year basis. Awards may be for up to five years.

TAFS Codes:

75-0848.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Reference: 44 FR 12368, March 6, 1979; Rev. No. 1, 47 FR 33658, August 3, 1982; Rev. No. 2, 51 FR 20908, June 9, 1986. Reference: 41 FR 32016, July 30, 1976. Reference: 34 CFR 255. 45 CFR 92. Reference: 42 FR 45828. 42 CFR 52h. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal Regulations at 42 CFR 52 and 42 USC 241. Department Staff Manual "Grants Administration"; Indirect Cost Register, DHHS; PHS Grants Policy Statement, DHHS Publications No. (OASH) 94-50,000, (Rev.) April 1, 1994; "NIH Grants Policy Guide," Office for Protection from Research Risks, NIH; "A Guide to Grant and Award Programs of the NIH"; and miscellaneous program literature from Headquarters Office.

Regional or Local Office:

None.

Headquarters Office:

Patricia Newman 6701 Democracy Boulevard
Room 956-MS-C4874, Bethesda, Maryland 20892-4874 Email:

pnewman@mail.nih.gov Phone: 3014350864

Website Address:

<http://dpcpsi.nih.gov/orip/index>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives.

93.352 CONSTRUCTION SUPPORT

Extramural Construction

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 404I 404J, 42 U.S.C 288J.

OBJECTIVES:

To renovate existing research facilities and build new research facilities to meet basic and clinical space requirements, laboratory safety, biohazard containment, and animal care standards in order to support the facility demands of NIH research programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

New physical resources for research include basic research laboratories, clinical and support facilities, and those related to biohazard control that are integral parts of an overall effort. Proposed facilities must be part of an existing research effort or a developing research program. Facilities shall be used for research pertinent to the NIH mission for as long as needed. The usual period of Federal interest is 20 years. Funds must be used for purposes stated in the approved grant application.

Applicant Eligibility:

Construction.

Beneficiary Eligibility:

Public nonprofit institution/organization.

Credentials/Documentation:

Application should include a complete research program description (with staff curricula vitae), space requirements for projected program, an environmental summary of the impact of the proposed facility as detailed in Public Law 90-190, the National Environmental Policy Act, and listing of all relevant licenses, permits, or other approvals required by State and local authorities. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication assistance is available from the address noted below under Information Contacts. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point

of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Prepare and submit applications according to instructions provided in the Funding Opportunities announcement. This program is subject to the provisions of the NIH Grants Policy Statement, and the provisions in the funding announcement. NIH is transitioning to an electronic application by grant mechanism. Details, including the appropriate application form, can be found at <http://era.nih.gov/ElectronicReceipt/>. Construction applications are to be submitted on a Standard Form 424, Application for Federal Assistance.

Award Procedure:

Applications are reviewed for scientific and technical merit by a scientific review group and for program relevance by a national advisory council/board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the NIH Scientific/Research contact, as provided on an initiative. A description of the NIH Peer Review Appeal procedures is available on the NIH Web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: No information provided. See the following for information on how assistance is awarded/released: An Electronic Transfer System is used for transferring funds.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual progress and financial status reports are required for all grants. Final performance and financial reports are required 90 days following the end of the project period. Annual progress and financial status reports are required for all grants. Final performance and financial reports are required 90 days following the end of the project period. Grantee is responsible for performance of contractor. Contractor must be covered by performance and payment bonds. Grantees are required to file a Notice of Federal Interest at the time construction begins.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire

competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 92.36 for record retention and access requirements for contracts under grants.

Account Identification:

75-0846-0-1-552.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0846.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52. NIH Grants Policy Statement, (Rev.) December 1, 2003. The PHS 398 may be obtained from NIH's Extramural Research Home Page: <http://grants1.nih.gov/grants/oer.htm>.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. 6701 Democracy Blvd., Bethesda, MD 20892-4874. (301) 435-0840.

Headquarters Office:

Patricia Newman, 6701 Democracy Boulevard , Room 957-MS4874 , Bethesda, Maryland 20892-4874 Email: pnewman@mail.nih.gov Phone: (301) 435-0864.

Website Address:

<http://dpcpsi.nih.gov/orip/index>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that are complete and responsive to this program will be evaluated for scientific and technical merit by an appropriate peer review group. The major elements in evaluating proposals include: (1) significance and need of the project, (2) the description of project management and institutional commitment, (3) evaluation of the proposed design, (4) justification for requested equipment, (5) the commitment to sustainable design/environmentally friendly design principles.

93.353 21ST CENTURY CURES ACT - BEAU BIDEN CANCER MOONSHOT

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Act:
Public Health Service Act, Sections 301, 410 and 412, Public Law 78-410, as amended, Public Law 99-500, Public Law 100-607, 42 U.S.C. 241, 42 U.S.C. 285 - 285a-1; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To provide support for initiatives funded under the 21st Century Cures Act to support cancer research, such as the development of cancer vaccines, the development of more sensitive diagnostic tests for cancer, immunotherapy and the development of combination therapies, and research that has the potential to transform the scientific field, that has inherently higher risk, and that seeks to address major challenges related to cancer.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and Facilities and Administrative Costs (formerly known as indirect costs).

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that applies and receives a grant for support of research by a named principal investigator. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. The initial grant award provides funds for the first budget period (usually 12 months) and letter Notice of Award indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories and special conditions, if any.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Regular Grants: Approximately 10 months.

Appeals:

From 15 to 30 days. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/notice-files/not97-232.html.

Renewals:

From 30 to 60 days. Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and Cooperative Agreements: Average 3 to 5 years, and a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Special reports may be requested by DHHS. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Special reports may be requested by DHHS. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period.

Records:

Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period.

Account Identification:

75-0849-0-1-550.

Obligations:

(Salaries) FY 16 Not Available; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0850.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75, NIH Grants Policy Statement, SF 424

Regional or Local Office:

None. Not applicable.

Headquarters Office:

Catherine M. Battistone 9609 Medical Center Drive, Suite 7W532, Rockville, Maryland 20850 Email: battistc@mail.nih.gov Phone: 240-276-6443 Fax: 240-276-7682

Website Address:

<https://www.cancer.gov/research/key-initiatives/moonshot-cancer-initiative>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding decisions are based on scientific merit (42 CFR 52h) and program relevance. Scientific merit review encompasses: the peer review criteria detailed in the specific funding announcement, as well as the significance, approach, and innovation of the proposed research; the competency of the principal investigator; the adequacy of the resources and environment; the proposed budget and requested period of support; and, where applicable, the adequacy of the measures for protecting against adverse effects upon humans, animals, or the environment.

93.354 PUBLIC HEALTH EMERGENCY RESPONSE: COOPERATIVE AGREEMENT FOR EMERGENCY RESPONSE: PUBLIC HEALTH CRISIS RESPONSE

Public Health Crisis Response Awards

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This cooperative agreement is authorized under the following statutory authorities: Sections 317(k)(2) and 307 of the Public Health Service Act; [42 U.S.C Sections 247b and 247b-2and 247b-3] as amended; Title IV Section 4002 of the Affordable Care Act, Prevention and Public Health Fund; Sections 307 and 317(k)(2) of the Public Health Service Act [42 USC 242(l) and

247(b)(k)(2), as amended]. In addition, this program is authorized under sections 311 and 1703 of Public Health Service Act [42 USC 243 and 300 u-2, as amended] and Section 4002 of the Patient Protection and Affordable Care Act, Public Law 111-148; 317(a) and 317(d) of the PHS Act, subject to available funding and other requirements and limitations; 317(a) of the Public Health Service Act, subject to available funding and other requirements and limitations.

OBJECTIVES:

The intent of this program is to fund state, local, and territorial public health departments for HHS Secretarial declared and non-declared public health emergencies having an overwhelming impact on jurisdictional resources. These emergencies require federal support to effectively respond to, manage, and address a significant public health threat. CDC seeks to enhance the Nations ability to rapidly mobilize and respond to specific public health crises or emergencies. In addition to immediate response activities, this program provides a mechanism to accelerate readiness for an impending infectious disease threat or other public health crises identified on the event horizon.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This program is not intended to establish new public health emergency management programs, rather it is designed to support the surge needs of existing programs. In response to this FOA, applicants having an existing public health department which provides for their jurisdictions 10 essential public health services and having a pre-established and functioning public health emergency management program will describe the needs/gaps they may encounter during the initial phase of a public health emergency, and request funding for various categories of response activities. Upon occurrence of a particular public health emergency, CDC can then rapidly fund specific applicants to accelerate crisis response activities and specific categories/activities based on the particular situation. Applicants will need to be capable of activating new or surging current emergency response activities within a 2 day period. Funds may only be used for non-research activities.

Applicant Eligibility:

State government public health departments or their bona fide agents (N=50)
Local health departments or their bona fide agents (N=6) (city or county) consistent with PHEP and ELC awardees, which include: Chicago Department of Public Health, District of Columbia Department of Health, Houston Department of Health and Human Services, Los Angeles County Department of Health Services - Public Health, New York City Department of Health and Mental Hygiene, and Philadelphia Department of Public Health
Territorial governments or their bona fide agents (N=8) in the Commonwealth of Puerto Rico, the US Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau
Tribal Public Health Departments (N=5) Federally recognized tribal governments meeting the core criteria outlined for all eligible applicants and that serve, through their own PH infrastructure, at least 50,000 people and have demonstrable PH capacity.

Beneficiary Eligibility:

State government public health departments or their bona fide agents (N=50)
Local health departments or their bona fide agents (N=6) (city or county) consistent with PHEP and ELC awardees, which include: Chicago Department of Public Health, District of Columbia Department of Health, Houston Department of Health and Human Services, Los Angeles County Department of Health Services - Public Health, New York City Department of Health and Mental Hygiene, and Philadelphia Department of Public Health
Territorial governments or their bona fide agents (N=8) in the Commonwealth of Puerto Rico, the US Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau
Tribal Public Health Departments (N=5) Federally recognized tribal governments meeting the core criteria outlined for all eligible applicants and that serve, through their own PH infrastructure, at least 50,000 people and have demonstrable PH capacity.

Credentials/Documentation:

Applicants should review the individual Funding Opportunity Announcement documents issued under this CFDA for any required proof or certifications which must be submitted prior to or simultaneously with submission of an application package. 2 CFR 200, Subpart E- Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Notification is made in writing through a Notice of Grant Award issued from the programs Grants Management Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Project periods are for 5 years with 12-month budget periods. Applications must be submitted in the same manner as a new application.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually and assistance is available for a 12-month period.
Method of awarding/releasing assistance: lump sum.

Reports:

Awardee Evaluation and Performance Measurement Plan, including Data Management Plan (DMP). Periodic and Final Federal Financial Reports; Federal Cash Transaction Reports (PMS). Annual Progress Reports are required. Periodic and Final Federal Financial Reports; Federal Cash Transaction Reports (PMS). Performance Measure Reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records for a minimum of three years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Part 75.

Account Identification:

75-0943-0-1-999.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable - Due to the nature of the issues that would trigger CDC to activate this NOFO as designed, it is difficult to project the total funding amount that would be made available. While CDC will use this NOFO, it is expected to be for the time necessary to respond to the emergency and that long-term recovery needs and/or emergencies that shift from an

epidemic to an endemic nature would be addressed by other NOFOs as appropriate.

Range and Average of Financial Assistance:

Due to the nature of the issues that would trigger CDC to activate this NOFO as designed, it is difficult to project the total funding amount that would be made available. While CDC will use this NOFO, it is expected to be for the time necessary to respond to the emergency and that long-term recovery needs and/or emergencies that shift from an epidemic to an endemic nature would be addressed by other NOFOs as appropriate.

TAFS Codes:

75-09-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

L. Sharon Sharpe 1600 Clifton Road, NE
Mailstop D29, Atlanta, Georgia 30329 Email: ssharpe@cdc.gov Phone: 4046390817

Website Address:

No Data Available

RELATED PROGRAMS:

93.074 Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.355 CERTIFIED HEALTH IT SURVEILLANCE CAPACITY AND INFRASTRUCTURE IMPROVEMENT COOPERATIVE AGREEMENT PROGRAM

Surveillance Capacity Cooperative Agreement

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Consolidated Appropriations Act, 2017, Pub. L. No. 115-31, Division H, Title II.

OBJECTIVES:

The Office of the National Coordinator for Health Information Technology (ONC) is the principal federal entity charged with coordination of nationwide efforts to implement and use the most advanced health information technology (health IT) and the electronic exchange of health information. Created in 2004 through Executive Order and legislatively mandated in the Health Information Technology for Economic and Clinical Health Act (HITECH Act) of 2009, ONC is at the forefront of the federal governments health IT efforts. The ONC Health IT Certification Program, administered under section 3001(c)(5) of the Public Health Service Act, is a voluntary program that provides for the certification of health IT to standards, implementation specifications, and certification criteria adopted by the Secretary of Health and Human Services (HHS). In October 2015, ONC issued the 2015 Edition Health Information Technology (Health IT) Certification Criteria (2015 Edition), 2015 Edition Base Electronic Health Record (EHR) Definition, and ONC Health IT Certification Program Modifications Final Rule, 80 FR 62601 (October 16, 2015) (2015 Edition Final Rule), which included expanded the surveillance requirements for ONC-Authorized Certification Bodies (ONC-ACBs). The 2015 Edition Final Rule also established specific performance expectations for the surveillance of certified health IT in-the-field. Additionally, the 21st Century Cures Act (enacted in 2016) specified additional conditions of certification, including one focused on real world testing.

This Funding Opportunity Announcement (FOA) is aimed at improving the surveillance capacity and infrastructure that exists for health IT certified under the ONC Health IT Certification Program. Through this FOA, awardees are expected improve the surveillance capacity and infrastructure that exists for health IT certified under the ONC Health IT Certification Program, with a particular focus on capabilities that are directly related to interoperability.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Contract, Subcontracts. Funds under this announcement cannot be used for the following purposes:

To purchase or improve land, or to purchase, construct, or make permanent improvements to any building

Any pre award costs. FY 17 Appropriations.

Applicant Eligibility:

The purpose of these cooperative agreements is to support ONCs mission to improving the surveillance capacity and infrastructure that exists for health IT certified under the ONC Health IT Certification Program, with a particular focus on capabilities that are directly related to interoperability.

Beneficiary Eligibility:

The purpose of this cooperative agreement is to advance the markets ability to electronically exchange health information through the use of certified health IT and to ensure that the products function in the production environment in the form and manner they are certified to. While the beneficiaries are ultimately the broader public at-large, the direct beneficiaries include those who work directly with certified health IT products.

Credentials/Documentation:

In order to be considered for this cooperative agreement, applicants will be required to provide credentials or documentation to demonstrate they have the following qualifications:

Proof of International Organization for Standardization/International Electrotechnical Commission (ISO/IEC) 17065 Accreditation in good standing through the American National Standards Institute (ANSI)

Three years minimum experience with the ONC Health IT Certification Program and certified health IT certified product surveillance

An active mechanism to publicly list ONC health IT certificates and relevant surveillance results. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants are expected to provide a Funding Opportunity Announcement (FOA) application that address all of the requirements as described in the FOA, a proposed timeline, budget proposal on how they intend to use the award money, all credentials and documentation as identified in (083), and a list of key personnel, including a CV.

Award Procedure:

The award review process will include award review panels comprised of subject matter experts and at least one federal employee. The awarding agency will inform all applicants in writing the status of their application (awarded, not awarded). Applicant awardees will be provided with the terms and conditions of their award, and will commence working with the awarding agency to implement their pilot. The awarding agency will provide oversight of the awards, including but not limited to quarterly reporting. These awards will be issued as Cooperative Agreements.

Deadlines:

Jul 31, 2017

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Renewals may be available if the applicant is able to successfully show progress towards achieving specified goals.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance will be made available for the duration of the award period., and 90 days after for closeout. See the following for information on how assistance is awarded/released: Assistance will be provided upon achievement of milestones as outlined in the funding announcement.

Reports:

Awardees will be required to submit quarterly programmatic progress, annual programmatic progress, and a final report to ONC at the end of the period-of-performance. Semi Annual Federal Cash Transaction Reports are required to be submitted to the Office of Procurement and Grants, as well as quarterly cash transaction reports. Awardees will be required to submit written quarterly programmatic progress reports describing achievements and plans toward meeting specified goals. Yes, expenditure reports will be required as a part of awardees quarterly progress reports, as well as a final financial and reconciliation report of award expenditures as part of the program close-out report. Awardees will be required to submit written quarterly programmatic progress reports describing achievements and plans toward meeting specified goals, as well as a final financial and reconciliation report of award expenditures as part of the program close-out. The ONC Project Officer may conduct regular performance monitoring through phone calls and site visits as deemed necessary.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All cooperative agreement-related records, including cooperative agreement-related accreditations, are required to be maintained for no less than 3-years following the official close-out date of the program.

Account Identification:

75-0130-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$1,250,000; and FY 18 est \$0

Range and Average of Financial Assistance:

There has been \$1,250,000 allocated for FFY17-18 for this award. We estimate that we will award one (1) to three (3) awards total; the award amounts will range between \$400,000 - \$1,250,000 and may not exceed \$1,250,000 in total. The award amount will be determined upon the documentation, scope, and strength the awardees proposal.

TAFS Codes:

75-17-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR PART 75UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR HHS AWARDS

Regional or Local Office:

None.

Headquarters Office:

Carmel Halloun 330 C Street SW, Washington , District of Columbia 20201
Email: carmel.halloun@hhs.gov Phone: 2027202919

Website Address:

<http://www.healthit.gov/certification>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a review panel comprised of no less than one (1) federal employee and subject matter experts (non-federal employees). Each proposal will receive a score based on strength and merit of their proposals based on the merit review criteria of Understanding the Requirements, Innovative Business and Technical Approach for Enhanced Surveillance, Operational Experience and Knowledge of Health IT Certification and Surveillance, and Reasonableness of Budget Narrative. A detailed list of merit review criteria in these areas are detailed in the funding opportunity announcement.

93.358 ADVANCED EDUCATION NURSING TRAINEESHIPS

AENT

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 811(a)(2) of the Public Health Service Act, (42 U.S.C. 296j).

OBJECTIVES:

Grants are awarded to eligible institutions to increase the number of advanced practice nurses serving as primary care nurse practitioners or nurse midwives, with an emphasis on funding applicants who are prepared to meet the primary care needs of rural and underserved communities. This goal is accomplished by providing traineeship funds to schools of nursing that prepare graduates to practice in these communities; recipient institutions in turn disburse funds to students in the form of traineeship support.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds can be used to pay all or part of the costs of tuition, books/ebooks, and fees of the program of advanced nurse education, and reasonable living expenses in the form of stipends for the student during the period for which the traineeship is provided.

Restricted Uses: Books for library or personal use and trainee travel are not allowed. Student support through stipends, tuition, and fees is not eligible for support.

Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

Eligible applicants are education programs that provide registered nurses with nurse practitioner and nurse-midwife education. Such programs may include schools of nursing, nursing centers, academic health centers, State or local governments, and other public or private nonprofit entities authorized by the Secretary to confer degrees to registered nurses for nurse practitioner nurse-midwife education.

Federally Recognized Indian Tribal Government and Native American Organizations may apply if otherwise eligible.

Beneficiary Eligibility:

The participating institutions select traineeship recipients. A recipient (student) must be enrolled in an advanced education nursing program in the recipient institution for preparation as a primary care nurse practitioner or nurse-midwife. The student/enrollee must be pursuing a master's or doctoral degree, a combined RN to master's degree, nurse-midwifery certificate in existence on

November 12, 1998, or a post-graduate master's certificate. The student/enrollee must be a citizen of the United States, non-citizen national, or foreign national who possesses a visa permitting permanent residence in the United States. Individuals on temporary or student visas are not eligible to receive AENT support. The student/enrollee must maintain the predetermined academic standards of the institution and be prepared to sit for national nursing certification in the nursing specialty or field of study following graduation.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 -180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a one year project period. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this Notice of Funding Opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier sub-award of \$25,000 or more in federal funds and executive total

compensation for the recipients and sub-recipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0350-0-1-551.

Obligations:

(Project Grants) FY 16 \$22,860,155; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

FY 15 Range \$100,155 - \$350,000; Average \$325,138
FY 16 Range \$151,200 - \$350,000 Average \$331,304.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the applicable requirements of the HHS Grants Policy Statement (HHS GPS) based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Michael McCalla, MSHA, Health Resources and Services Administration, Bureau of Health Workforce, Division of Nursing and Public Health, 5600 Fishers Lane, Room 11N94C, Rockville, Maryland 20857 Phone: (301) 443-0856

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against

the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.359 NURSE EDUCATION, PRACTICE QUALITY AND RETENTION GRANTS

NEPQR

NEPQR - Interprofessional Collaborative Practice (IPCP)

NEPQR - Veterans Bachelor of Science in Nursing (VBSN)

NEPQR-IPCP: Behavioral Health Integration (BHI)

NEPQR BSN Practicum in Community Settings (BPCS)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 831 and 831A of the Public Health Service Act (42 U.S.C. 296p and 42 U.S.C. 296p-1).

OBJECTIVES:

NEPQR:

The NEPQR program provides grant support for academic, service, and continuing education projects designed to enhance nursing education, improve the quality of patient care, increase nurse retention, and strengthen the nursing workforce. The NEPQR program has broad statutory authority to address the development and enhancement of the nursing workforce. The three priority areas defined in statute are (1) Education, (2) Practice, and (3) Retention.

NEPQR - Veterans Baccalaureate of Science in Nursing program (VBSN):

The Veterans Bachelor of Science in Nursing (VBSN) programs aim to strengthen the quality of care and expand the nursing workforce through increased enrollment of veterans in baccalaureate nursing programs. The VBSN program supports the development and implementation of innovative practice models that enable veterans to earn baccalaureate nursing degrees. The program expands employment opportunities for veterans and prepares them to make the transition from their former military role to that of a civilian nurse.

NEPQR-IPCP: Behavioral Health Integration (BHI) program:

The NEPQR-IPCP:BHI program aims to expand the evidence-based practice of integrating behavioral health providers into nurse-led primary care teams in order to increase access to care, enhance care coordination and improve patient outcomes in vulnerable and/or underserved community-based settings.

NEPQR-BSN Practicum in Community Settings (BPCS) program:

The NEPQR-BPCS program aims to increase experiential training opportunities for senior-level BSN students in primary care community-based settings by (1) establishing and expanding upon academic-practice partnerships between schools of nursing and community-based clinical sites; and (2) providing senior-level BSN students with meaningful clinical experiences and training in medically underserved and rural communities. This experiential training will lead to increased competencies in primary care and ultimately improved distribution of the nursing workforce.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential travel expenses and other expenses related to the project.

Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of

a negotiated rate agreement, and are not subject to upward or downward adjustment.

Student support through stipends, tuition, and fees is not eligible for support.

Applicant Eligibility:

NEPQR, NEPQR-VBSN NEPQR-IPCP:BHI, NEPQR-BPCS: Eligible applicants are: accredited schools of nursing, health care facilities, a partnership of such a school and a health care facility. A health care facility may include a nurse-managed health center, Indian Health Service health center, Native Hawaiian health center, hospital, Federally-qualified health center, rural health clinic, nursing home, home health agency, hospice program, public health clinic, State or local department of public health, skilled nursing facility, ambulatory surgical center, or any other facility designated by the Secretary (see PHS Act section 801(11)). Eligible faith-based organizations, community-based organizations, Federally Recognized Indian Tribal Government and Native American Organizations may apply for these funds. In addition to the 50 States, only the District of Columbia, Guam, the Commonwealth of Puerto Rico, the Northern Mariana Islands, American Samoa, the U.S. Virgin Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau are eligible to apply under this Notice of Funding Opportunity. The eligible applicant must be capable of carrying out the legislative purpose of the NEPQR program.

For the NEPQR-BPCS program, lead applicants must apply in a partnership structure. The lead applicant, if a school of nursing, must apply in partnership with at least one health care facility. Likewise, the lead applicant, if a health care facility must apply in partnership with at least one school of nursing

Schools of nursing providing undergraduate education and formal degree programs must be accredited by a national nurse education accrediting agency or State approval agency recognized by the Secretary of the U.S. Department of Education. Newly established programs and those that are established but not yet accredited must contact a national nursing accrediting body recognized by the U.S. Department of Education before requesting a reasonable assurance letter from the U.S. Department of Education.

Beneficiary Eligibility:

Project participants, or students in the program, must be U.S. Citizens, non-citizen nationals, or foreign nationals who possess visas permitting permanent residence in the United States. Individuals on temporary student visas are not eligible.

VBSN Project Participants must be honorably or generally discharged service members or reservists, with prior medical training, who have not yet earned Bachelor of Science in Nursing (BSN) degrees.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

NEPQR-Veterans Bachelor of Science in Nursing: This funding opportunity provides support for a 3-year project period.

NEPQR-IPCP: Behavioral Health Integration (BHI) program: This funding opportunity provides support for a 2-year project period.

NEPQR-IPCP program: This funding opportunity provides support for a 3-year project period.

NEPQR-BSN Practicum in Community Settings (BPCS) program: See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. Cash reports are not applicable. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until

the end of the regular 3 year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$22,860,063; FY 17 est \$16,180,395; and FY 18 est \$0 - NEPQR-IPCP. (Cooperative Agreements) FY 16 \$4,321,275; FY 17 est \$9,642,645; and FY 18 est \$0 - NEPQR-IPCP:BHI. (Project Grants) FY 16 \$9,639,618; FY 17 est \$9,517,638; and FY 18 est \$0 - NEPQR-VBSN. (Project Grants) FY 16 \$3,115,607; FY 17 est \$3,101,223; and FY 18 est \$0 - NEPQR-BPCS.

Range and Average of Financial Assistance:

Range and Average of Financial Assistance (123):

NEPQR-VBSN: FY16 Range: \$131,830-\$350,000, Average: \$310,955; FY 17 Range: \$131,830-\$350,000, Average \$310,955; FY 18 \$0.00.

NEPQR-IPCP: FY16 Range \$133,581-\$575,381, Average: \$316,496; FY 17 Range: \$368,385-\$500,000, Average: \$457,619; FY 18 \$0.00

NEPQR-IPCP:BHI: FY16 Range: \$350,000-\$500,000, Average: \$480,142; FY 17 Range: \$350,000-\$500,000, Average \$487,935; FY 18 \$0.00

NEPQR-BPCS: FY 16 Range \$102,845-\$499,573, Average \$356,285; FY 17 Range: \$127,225-\$499,939, Average \$345,102.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

NEPQR-IPCP/NEPQR-IPCP:BHI: Kirk Koyama, Nurse Consultant, Division of Nursing and Public Health, Bureau of Health Workforce 5600 Fishers Lane, Room 11N-104A., Rockville, Maryland 20857 Phone: 301-443-4926 Fax: 301-443-0791

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.360 BIOMEDICAL ADVANCED RESEARCH AND DEVELOPMENT AUTHORITY (BARDA), BIODEFENSE MEDICAL COUNTERMEASURE DEVELOPMENT

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III, Section 319L; Consolidated Appropriations Act, 2010, Division D, Department of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2010.

OBJECTIVES:

The purpose of this program is to coordinate the acceleration of countermeasure and product advanced research and development by (A) facilitating collaboration between the Department of Health and Human Services and other Federal agencies, relevant industries, academia, and other persons, with respect to such advanced research and development; (B) promoting countermeasure and product advanced research and development; (C) facilitating contacts between interested persons and the offices or employees authorized by the Secretary to advise such persons regarding requirements under the Federal Food, Drug, and Cosmetic Act and under section 351 of the Public Health Service Act; and (D) promoting innovation to reduce the time and cost of countermeasure and product advanced research and development. The Public Health Service Act at 42 U.S.C. 247d-6a defines a qualified countermeasure as a drug (as that term is defined by section 321(g)(1) of title 21), biological product (as that term is defined by section 262(i) of this title), or device (as that term is defined by section 321(h) of title 21), that the Secretary determines to be a priority (consistent with sections 182(2) and 184(a) of title 6) to - (i) diagnose, mitigate, prevent, or treat harm from any biological agent (including organisms that cause an infectious disease) or toxin, chemical, radiological, or nuclear agent that may cause a public health emergency affecting national security; or (ii) diagnose, mitigate, prevent, or treat harm from a condition that may result in adverse health consequences or death and may be caused by administering a drug, biological product, or device that is used as described in this subparagraph.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Support international in-country and domestic advanced development and industrialization of human pandemic influenza vaccine. Support activities related to the mitigation of the global shortage of influenza vaccines through awards and sub-awards to developing countries with the potential and capacity to manufacture various influenza vaccines. Basic research (e.g. scientific or medical experiments) is not allowable. 100% of funds are for discretionary activities.

Applicant Eligibility:

All types of entities are eligible, including highly qualified foreign nationals outside the United States either alone or in collaboration with American participants when such transactions may inure to the benefit of the American people. Applicants should review the individual funding opportunity announcements issued under this CFDA program.

Beneficiary Eligibility:

All individuals including at-risk individuals such as children, pregnant women, elderly, and others at-risk who may be given special priority.

Credentials/Documentation:

Applicants should review the individual funding opportunity announcements issued under this CFDA program for any credentials or certifications that must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications

and required forms for this program can be obtained through Grants.gov FIND, <http://www.grants.gov/>. Applicants should visit Grants.gov - FIND for the funding opportunity announcement and Grants.gov - APPLY to download application materials to complete and submit their applications in accordance with the funding opportunity announcement (FOA).

Award Procedure:

Applications that successfully proceed through initial screening (as outlined in the funding opportunity announcement) will be objectively reviewed based upon the review criteria specified in the FOA. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Award from the Office of the Assistant Secretary for Preparedness and Response.

Deadlines:

Sep 30, 2010: Applicable deadlines and due dates are specified in each funding opportunity announcement.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are limited up to 60 months. Budget periods are limited up to 12 months. See the following for information on how assistance is awarded/released: Awardee is issued a Notice of Award, a legal document that informs the awardee that a grant award has been made. Awardee may draw down funds in advance of the incurrence of an expenditure (up to three days) from the Payment Management System.

Reports:

Program reports are not applicable. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. Recipients will utilize the Transactions section of SF425 in lieu of the SF272. The FFR SF425 cash Transaction Report is due 30 days after the end of each calendar quarter. Grantees are required to submit semi-annual Program Progress Reports as well as final Program Progress Reports. Report formats and submission requirements are outlined in the funding opportunity announcement. ASPR requires cumulative financial reporting through consecutive funding periods on the SF269 FSR long form. The FFR SF425 was designed to replace the Financial Status Report SF269 and the Federal Cash Transactions Report SF272 with one comprehensive financial reporting form. Until HHS fully migrates to the SF425 FFR, recipients are still required to submit the SF269 Financial Status Report (FSR) semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Grantees are required to submit final Financial Status Reports (SF-269). Performance monitoring of awardees is completed by BARDA program staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0140-0-1-551.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$30,000,000; FY 17 est \$55,000,000; and FY 18 est \$55,000,000

Range and Average of Financial Assistance:

\$30,000,000 to \$55,000,000.

TAFS Codes:

75-01.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 109-417, 45 CFR 92, 45 CFR 74, 45 CFR 46, 2 CFR, HHS Grants Policy Statement found at <http://www.hhs.gov/asrt/og/grantinformation/hhsgps107.pdf>

Regional or Local Office:

None.

Headquarters Office:

Rick A. Bright 330 Independence Ave SW, Room G-640, Washington, District of Columbia 20201, Washington, District of Columbia 20201 Email: Rick.Bright@hhs.gov Phone: 202-260-8535

Website Address:

<https://www.medicalcountermeasures.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Discretionary awards are subject to objective review. Reviewers will individually evaluate and score applications based upon the review criteria specified in the funding opportunity announcement. Scored applications will be ordinarily ranked. The highest ranked applications will receive priority consideration for award within available funding.

93.361 NURSING RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 464, 487, 42 U.S.C 285q; Public Health Service Act, Public Law 99-158; Public Health Service Act, Public Law 102-564.

OBJECTIVES:

The mission of the National Institute of Nursing Research (NINR) is to promote and improve the health of individuals, families, and communities. To achieve this mission, NINR supports and conducts clinical and basic research and research training on health and illness, research that spans and integrates the behavioral and biological sciences, and that develops the scientific basis for clinical practice. From premature infants in neonatal intensive care units, to adolescents living with diabetes, to elderly cancer survivors coping with pain, nursing research develops the science to help people strengthen the quality of their lives. Nursing science transcends the boundaries of disease and research disciplines to better understand the experiences of individuals and families living with illness and to develop personalized approaches that maximize health and well-being for individuals at all stages of life, across diverse populations and settings. NINR's scientific programs are organized around four major focus areas: symptom science, wellness, self-management of chronic conditions, and the science of end-of-life and palliative care. The Institute also explores ways in which new innovations and technologies can contribute to advancing nursing science across these four areas. In addition, NINR has a longstanding and continuing commitment to developing the next generation of nurse scientists: those individuals and team members who will carry the field of nursing science into the future. NINR's research program in symptom science seeks a better understanding of the biological and behavioral aspects of symptoms, with the goal of developing and testing new interventions to reduce

the disabling effects of symptoms and improving patient health outcomes and quality of life. Research efforts in wellness investigate the key biological, behavioral, and social factors that promote long-term health and healthy behaviors and prevent the development of illness across health conditions, settings, and the lifespan. Research in wellness is also focused on developing and testing culturally appropriate interventions to promote health and prevent illness in minority and underserved groups. NINR research in self-management seeks to engage individuals and families as active participants in maintaining and improving quality of life while living with a chronic condition or multiple chronic conditions. Self-management research encompasses health strategies that allow an individual and their health care provider to adapt treatments to individual circumstances. As the lead NIH Institute for end-of-life research, NINR supports science to assist individuals, families, and health care professionals in managing the symptoms of advanced, serious illness, and planning for end-of-life decisions. NINR also recognizes that high-quality, evidence-based palliative care is a critical component of maintaining quality of life at any stage of illness, not limited to the end of life. In focusing on innovation and technology, NINR promotes technological advancements that address a range of health care challenges and facilitate the delivery of real-time personalized information to individuals and families, health care providers, and communities. In order to prepare an innovative, diverse, and talented next generation of nurse scientists, NINR supports a variety of training opportunities for scientists and trainees at all career levels, particularly those at an early career stage who are so critical to sustaining the future of innovative research and high quality health care. NINR supports individual and institutional pre- and postdoctoral research fellowships, as well as career development awards, and sponsors numerous research intensives for nurse scientists at all career levels. NINR's Division of Intramural Research conducts basic and clinical research on the interactions among molecular mechanisms underlying symptoms and environmental influences on individual health outcomes. The program focuses on the biological and behavioral underpinnings of symptoms of chronic conditions, including digestive disorders, cancer-related fatigue, neuromuscular disorders, traumatic brain injury, and post-traumatic stress disorders, as well as clinical interventions to alleviate symptoms.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research Grants: Funds may be used for salaries, consultation, equipment, travel and other usual costs, subject to Federal regulations applicable to the grant. National Research Service Awards (NRSA) (Individual): Individual awards are made to institutions on behalf of the individual for research training in specified areas related to the mission of NINR. NRSA (Institutional): Grants may be made to institutions to enable them to accept individuals for research training. Each individual who receives a NRSA postdoctoral fellowship on an NRSA award must comply with all applicable service and payback provisions. SBIR Phase I grants: (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application.

Applicant Eligibility:

Research Grants: Any corporation, public or private institution or agency, or other legal entity, either nonprofit or for-profit, may apply. NRSA (Individual): An applicant must be a registered professional nurse with either a baccalaureate and/or a master's degree in nursing and must be a citizen of the United States or lawfully admitted for permanent residence. Those on temporary or student visas are not eligible. NRSA (Institutional): An eligible institution must be capable of providing predoctoral and/or postdoctoral research training opportunities for nurses. SBIR grants: can be awarded only to domestic small businesses (entities that are independently owned and operated for profit; are not dominant in the field in which research is being proposed; and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and

Phase II, the research must be performed in the United States or its possessions. To be eligible for funding, a grant application must be reviewed for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Individuals and public or private institutions.

Credentials/Documentation:

Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. NRSA (Individual): The applicant's academic record, research experience, citizenship, or permanent resident status, licensure as a registered nurse, and institutional sponsorship should be documented in the application. NRSA (Institutional): The applicant organization must show the objectives, methodology and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for awards, and detailed budget justification for the amount of grant funds requested. Costs will be determined in accordance with 2 CFR, Part 220, Cost Principles for Educational Institutions. For-profit organizations' costs are determined in accordance with 48 CFR Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR, Part 75. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. The SF 424 (Research and Research-Related) application forms and the SF 424 SBIR/STTR Application Guide are used to apply for both SBIR and STTR, Phase I and Phase II. Application is made electronically through Grants.gov (<http://www.grants.gov>). 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Research Grants: Applications must be prepared electronically through Grants.gov (<http://www.grants.gov>) using the SF 424 (Research and Research-Related) application form. The electronic application format is interactive. For assistance submitting an electronic application, contact GrantsInfo: Telephone (301) 435-0714, Email: GrantsInfo@nih.gov. NRSA (Individual): Individuals must submit the SF 424 (Research and Research-Related) application form, and include at least three, but no more than five, letters of reference. Letters of reference must be submitted electronically through the NIH eRA Commons Submit Reference Letter website and not through Grants.gov (<http://www.grants.gov>). Applicants who have lawfully been admitted to the United States for permanent residence must complete the appropriate section on the Face Page of the SF 424 (Research and Research-Related) application form. Applicants who have applied for and have not yet been granted admission as a permanent resident should check the Permanent resident block on the Face Page of this application form, and also write in the word "pending." A notarized statement documenting legal admission for permanent residence must be submitted prior to the issuance of an award. SBIR and STTR: The SF 424 (Research and Research-Related) application form and the SF 424 SBIR/STTR Application Guide are used to apply for both SBIR and STTR grants, Phase I and Phase II. Application forms must be submitted electronically through Grants.gov (<http://www.grants.gov>). For further assistance contact GrantsInfo:

Telephone: (301) 435-0714, E-mail: GrantsInfo@nih.gov.

Award Procedure:

Research Grants and National Research Service Awards (NRSAs) (Institutional): All accepted applications are reviewed for scientific merit by an appropriate initial review group and then by a national advisory council. (Individual NRSA applications are not reviewed by council.) All applications favorably recommended compete for available funds on the basis of scientific merit and mission/program relevance. SBIR/STTR Applications: All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, mission/program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Research Grants: From 6 to 9 months. NRSAs: From 6 to 9 months. SBIR/STTR: About 7-1/2 months. AIDS Grants: About 6 months.

Appeals:

Research Grants: A Program Director/Principal Investigator (PD/PI) and/or applicant institution may question the substantive or procedural aspects of the initial peer review of his/her application by communicating with the appropriate NINR Program Official. NINR follows the NIH Appeals policy, NOT-OD-11-064: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>. NINR works with application PD/PI within the NIH appeals policy.

Renewals:

Research Grants: Renewal applications are accepted. NRSAs: Awards may be made for 1 to 5 years. No individual may receive more than 5 years of aggregate NRSA support beyond the predoctoral level, and no individual may receive NRSA support for more than 3 years of support beyond the postdoctoral level. Institutional awards may be renewed.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Research Grants: Awards are usually made annually with no project periods to exceed 5 years in length. NRSAs: Institutional awards may be made for up to 5 years; and Individual awards may be made for up to 5 years at the predoctoral level, 3 years at postdoctoral level, and 2 years at senior level. SBIR: Normally, Phase I awards are for 6 months and normally Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year and normally Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Grant awards are made annually.

Reports:

Research Performance Progress Reports (RPPR) are required for all annual non-competing awards. Final Progress Reports, Final Federal Financial Reports, and Final Invention Statement and Certification (except for Training grants, Fellowships, and certain other programs [e.g., C06, R13, R25, S10]) for all projects are required within 120 after the end of the research performance period. Termination Notices are required for Fellowships and trainees appointed on institutional training grants. No cash reports are required. Progress reports where applicable are required annually for continuing projects. Financial status reports where applicable are required annually for continuing projects. Progress reports where applicable are required annually for continuing projects.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual Federal Financial Report (FFR) is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the Final Status Report (FSR) for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR Part 75.361 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR Part 75.361 and Part 75.364 for record retention and access requirements for contracts under grants.

In accordance with 45 CFR 75.364, the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0889-0-1-552.

Obligations:

(Project Grants) FY 16 \$106,770,328; FY 17 est \$107,695,000; and FY 18 est \$81,102,000 - Research Grants. (Training) FY 16 \$7,998,401; FY 17 est \$8,346,000; and FY 18 est \$6,490,000 - National Research Service Awards (NRSA).

Range and Average of Financial Assistance:

FY16 Research Grants: \$49,813 to \$1,926,591; Average cost \$431,880
FY16 NRSA Individual Awards: \$1,146 to \$58,818; Average cost of FTTP/Award \$37,319
FY16 NRSA Institutional Awards: \$73,968 to \$482,511; Average cost of FTTP \$49,069/Average cost of Award \$279,478.

TAFS Codes:

75-0889.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 250 Research Grants, 47 NRSA Individual Awards, 131 Institutional FTTPs/22 Institutional Awards. Fiscal Year 2017: 249 Research Grants, 47 NRSA Individual Awards, 131 Institutional FTTPs/22 Institutional Awards. Fiscal Year 2018: 188 Research Grants, 37 NRSA Individual Awards, 102 Institutional FTTPs/21 Institutional Awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Research Grants: Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Marguerite Littleton Kearney 31 Center Drive, Bethesda, Maryland 20892
Email: marguerite.kearney@nih.gov Phone: (301) 402-7932

Website Address:

<http://www.nimr.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: See NIH Research Portfolio Online Reporting Tools (RePORT) to examine funding information for projects:
https://projectreporter.nih.gov/reporter_summary.cfm and
https://report.nih.gov/categorical_spending.aspx. Fiscal Year 2017: No current data available. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Reviewers provide an overall impact/priority score to reflect their assessment of the likelihood for the project to exert a sustained, powerful influence on the research field(s) involved, in consideration of the following review criteria and additional review criteria (as applicable for the project proposed): (1) Significance: Does the project address an important problem or a critical barrier to progress in the field? Is there a strong scientific premise for the proposed project, including consideration of the strengths and weaknesses of published research or preliminary data crucial to the support of the application? If the aims of the project are achieved, how will scientific knowledge, technical capability, and/or clinical practice be improved? How will successful completion of the aims change the concepts, methods, technologies, treatments, services, or preventative interventions that drive this field? (2) Investigators: Are the PD/PIs, collaborators, and other researchers well suited to the project? If Early Stage Investigators or New Investigators, or in the early stages of independent careers, do they have appropriate experience and training? If established, have they demonstrated an ongoing record of accomplishments that have advanced their field(s)? If the project is collaborative or multi-PD/PI, do the investigators have complementary and integrated expertise; are their leadership approach, governance and organizational structure appropriate for the project? (3) Innovation: Does the application challenge and seek to shift current research or clinical practice paradigms by utilizing novel theoretical concepts, approaches or methodologies, instrumentation, or interventions? Are the concepts, approaches or methodologies, instrumentation, or interventions novel to one field of research or novel in a broad sense? Is a refinement, improvement, or new application of theoretical concepts, approaches or methodologies, instrumentation, or interventions proposed? (4) Approach: Are the overall strategy, methodology, and analyses well-reasoned and appropriate to accomplish the specific aims of the project? Have the investigators presented strategies to ensure a robust and unbiased approach, as appropriate for the work proposed? Are potential problems, alternative strategies, and benchmarks for success presented? If the project is in the early stages of development, will the strategy establish feasibility and will particularly risky aspects be managed? Have the investigators presented adequate plans to address relevant biological variables, such as sex, for studies in vertebrate animals or human subjects? If the project involves human subjects and/or NIH-defined clinical research, are the plans to address 1) the protection of human subjects from research risks, and 2) inclusion (or exclusion) of individuals on the basis of sex/gender, race, and ethnicity, as well as the inclusion or exclusion of children, justified in terms of the scientific goals and research strategy proposed? (5) Environment: Will the scientific environment in which the work will be done contribute to the probability of success? Are the institutional support, equipment and other physical resources available to the investigators adequate for the project proposed? Will the project benefit from unique features of the scientific environment, subject populations, or collaborative arrangements? In addition to the above criteria, the following items will continue to be considered in the determination of scientific merit and the impact/priority score. Protections for Human Subjects: For research that involves human subjects but does not involve one of the six categories of research that are exempt under 45 CFR Part 46, the committee will evaluate the justification for involvement of human subjects and the proposed protections from research risk relating to their participation according to the following five review criteria: 1) risk to subjects, 2) adequacy of protection against risks, 3) potential benefits to the subjects and others, 4) importance of the knowledge to be gained, and 5) data and safety monitoring for clinical trials. For research that involves human subjects and

meets the criteria for one or more of the six categories of research that are exempt under 45 CFR Part 46, the committee will evaluate: 1) the justification for the exemption, 2) human subjects involvement and characteristics, and 3) sources of materials. Inclusion of Women, Minorities, and Children: When the proposed project involves human subjects and/or NIH-defined clinical research, the committee will evaluate the proposed plans for the inclusion (or exclusion) of individuals on the basis of sex/gender, race, and ethnicity, as well as the inclusion (or exclusion) of children to determine if it is justified in terms of the scientific goals and research strategy proposed. Vertebrate Animals: The committee will evaluate the involvement of live vertebrate animals as part of the scientific assessment according to the following criteria: (1) description of proposed procedures involving animals, including species, strains, ages, sex, and total number to be used; (2) justifications for the use of animals versus alternative models and for the appropriateness of the species proposed; (3) interventions to minimize discomfort, distress, pain and injury; and (4) justification for euthanasia method if not consistent with the AVMA Guidelines for the Euthanasia of Animals. Reviewers will assess the use of chimpanzees as they would any other application proposing the use of vertebrate animals. For additional information on review of the Vertebrate Animals section, please refer to the Worksheet for Review of the Vertebrate Animal Section. Biohazards: Reviewers will assess whether materials or procedures proposed are potentially hazardous to research personnel and/or the environment, and if needed, determine whether adequate protection is proposed. Resubmission: The committee will evaluate the application as now presented, taking into consideration the responses to comments from the previous scientific review group and changes made to the project. Renewal: The committee will consider the progress made in the last funding period. Revision: The committee will consider the appropriateness of the proposed expansion of the scope of the project. If the Revision application relates to a specific line of investigation presented in the original application that was not recommended for approval by the committee, then the committee will consider whether the responses to comments from the previous scientific review group are adequate and whether substantial changes are clearly evident. Applications compete for available funds with all other recommended applications. The following will be considered in making funding decisions: Scientific merit of the proposed project as determined by peer review; Availability of funds; Relevance of program priorities.

93.363 FLEXIBLE ALTERNATIVES FOR STATE TRANSFORMATION MODEL

FAST

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, section 1115A.

OBJECTIVES:

The Flexible Alternatives for State Transformation (FAST) Model will provide states with an opportunity to develop and implement payment reforms that are driven by state needs and enable local innovation to improve healthcare value and the health of populations. Through FAST, the Innovation Center will empower states to create transformative multi-payer models in which participating payers are aligned in how they incentivize healthcare value, quality, and outcomes. Together in partnership, states and CMS will support providers in their ongoing transformation to a value-based payment system. Awardee states will have the flexibility to determine, based on state and community needs, the specific parameters of the proposed model, including the incentive structure and population and/or geographic region included in the model.

FAST provides states with the flexibility to pursue comprehensive and sustainable payment reform through one of two tracks that best meets their needs:

A. Transformation Track Support states to launch a new multi-payer model with Medicare, Medicaid, and commercial payer participation. These models will meet the criteria outlined in the Innovation Center's 2017 Guidance for Medicare Participation in a state-based multi-payer model, as well as criteria to become an Advanced Alternative Payment Model. States may request that

CMS waive certain Medicare rules (e.g., the state sets ACO benchmarks for Medicare, the state has authority to set global budgets for hospitals) using 1115A Waiver Authority as part of the model. Full implementation of models proposed under the Transformation Track would occur after the initial 2-year SLIM award, contingent upon successful negotiation of a signed agreement between CMS and the state, and OMB approval. Receipt of a FAST award in no way guarantees approval of the proposed state-designed multi-payer model.

B. Alignment Track A: Support states that are interested in either modifying existing Medicaid and/or commercial payment and delivery innovations to qualify as Other Payer Advanced APMs ; or increasing Medicare provider participation in existing Advanced APMs, particularly to build on local innovations at the practice level, and to increase small and rural and specialty provider opportunities to participate in Advanced APMs. Implementation of the proposed state-designed multi-payer model would occur after the initial 2-year FAST award, and may require CMS approval (e.g., for a Medicaid State Plan Amendment or waiver). Alignment Track states may not propose an 1115A Medicare Waiver as part of the application to the Alignment Track A.

C. Alignment Track B: Support states to expand existing, or launch new, commercial and/or Medicaid alternative payment models, with an ultimate goal of building state and provider capacity to eventually participate in Advanced APMs. Implementation of the proposed state-designed model would occur after the initial 2-year FAST award, and may require CMS approval (e.g., for a Medicaid State Plan Amendment or waiver). Alignment Track B states may not propose an 1115A Medicare Waiver as part of the application to the Alignment Track B.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds will be used to refine the details of a state-based model and build state capacity to execute on that model, including investments in Data and Health IT, Metrics and Measurement, Stakeholder Engagement, Human Capital Development, Model Operations, and support negotiations with CMS for any needed new authorities (e.g., Medicaid state plan amendment, Medicaid waiver, Medicare FFS waiver, Medicaid Implementation Advance Planning Document Update).

- Data and Health IT Awards may be used to fund technology, systems, and activities at the state, payer, and/or provider level to support SLIM health IT priorities: outcomes-focused service delivery, population health, and multi-payer models ready for Advanced APMs. Specific examples of systems and activities include:
 - Development of shared governance, financing, and policy agreements, including convening activities and other activities associated with development of signed agreements associated with such agreements.
 - Development and maintenance of multi-payer claims, clinical and non-clinical measurement reporting and analytics infrastructure, capability, and capacity. This could include a statewide multi-payer database or related data center costs.
 - Development and maintenance of multi-payer claims, clinical and non-clinical data extraction, transformation, aggregation, quality, use and dissemination capabilities, including health information exchange services and support for certified EHR technology use.
 - Development, implementation and operations of an alert notification system.
 - Identity management services, including Master Patient and/or Master Provider databases.
 - Consent management, including the building of a consent registry.
 - Analytics capabilities, including simulation and modeling of patient risk scores, predictive cost modeling, identification or prediction of gaps in care, and other functions that enhance clinical decision support.
 - Infrastructure to support patient attribution and provider directories.
 - Infrastructure to build or expand tele-health systems.
 - Security mechanisms.
 - Connections between behavioral health, public health, social services, and clinical data systems through shared health IT infrastructure.
 - Metrics and Measurement: Award funds may be used to support measure alignment, including to develop and implement multi-payer core measure set with benchmarks, data collection and reporting framework and processes, including convening and other activities associated with getting payers buy-in and commitment to measure alignment.

Stakeholder Engagement: Awards may fund stakeholder engagement activities such as:

- Funding to facilitate consumer participation in state level stakeholder efforts.
- Develop and implement governance structures for multi-payer and multi-stakeholder work, including explicit data and health IT governance structures.
- Increase provider readiness to participate in Advanced APMs (e.g., CEHR adoption and use; prepare providers to participate in a model that includes more than nominal financial risk.
- Resources and activities to facilitate alignment on payment mechanisms, quality measures, data-sharing, and health IT infrastructure across payers
- Human Capital: In our experience working with states to launch multi-payer models, we have found that standing up state entities necessary for robust unique payment strategies, such as Maryland's Health Services Cost Review Commission or Vermont's Green Mountain Care Board, is essential to the success of the multi-payer model, but takes significant time and resources. Award funds may support the following:
 - Build leadership skills, administrative capacity, and subject matter expertise, including health IT, related to the multi-payer model.
 - Develop state employee analytic and health IT capacity and capability.
 - Staff resources associated with obtaining any needed Medicaid waivers or State Plan Amendments to support state-designed multi-payer model.
 - Staff resources associated with finalizing financial, quality, access, and population health targets.
 - Build public health capacity to assume financial accountability for population health outcomes.
 - Prepare providers, payers, and Medicaid Managed care organizations to participate in Advanced APMs, including downside risk (e.g., analytics support for providers, quality improvement program development, health IT infrastructure).
 - Staff resources associated with project management, including travel to SLIM trainings and conferences.
 - Contract support for state personnel in operationalizing multi-payer model, including health IT.
 - State agency personnel committed to developing and negotiating the terms of their state-designed multi-payer model with CMS.
 - 100% FTE trained Federal grants management and administration staff to support SLIM, hired within first 3 months of award start.
 - Staff that can represent the Governors Office.
 - Staff and/or contractor support for developing and implementing alternative payment models (e.g., actuarial estimates, total cost of care benchmarking).
 - Not to pay for any community services (e.g., housing, food, violence intervention programs, and transportation)
 - To provide individuals with services that are already funded through any other source, including but not limited to Medicare, Medicaid, and CHIP.
 - To match any federal funds
 - To provide services, equipment, or supports that are the legal responsibility of another party under Federal, State, or Tribal law (e.g., vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.
 - To cover the costs to provide direct health care services to individuals.
 - To supplant existing State, local, or private funding of infrastructure or services, such as staff salaries, etc.
 - To be used by local entities to satisfy State matching requirements.
 - For specific components, devices, equipment, or personnel that are not integrated into the entire service delivery model proposal.
 - To provide goods or services not allocable to the approved project.
 - To pay for construction.
 - To pay for capital expenditures for improvements to land, buildings, or equipment which materially increase their value or useful life as a direct cost, except with the prior written approval of the Federal awarding agency.
 - To pay for the cost of independent research and development, including their proportionate share of indirect costs (which is unallowable in accordance with 45 CFR 75.476).
 - To use as profit to any award recipient even if the award recipient is a commercial organization, (unallowable in accordance with 45 CFR 75.216(b)), except for grants awarded under the Small Business Innovative Research (SBIR) and Small Business Technology Transfer Research (STTR) programs

(15 U.S.C. 638). Profit is considered to be any amount in excess of allowable direct and indirect costs.

To expend funds related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before the Congress or any state government, state legislature or local legislature or legislative body.

To lobby or advocate for changes in Federal and/or state law.

Applicant Eligibility:

State Governors Offices, United States Territories Governors Offices (American Samoa, Guam, Northern Mariana Islands, Puerto Rico, and the Virgin Islands), and the Mayors Office of the District of Columbia are eligible to apply, with the exception of states that have a current SIM award, current multi-payer agreement in place with CMS, and/or states that have a current Delivery System Reform Incentive Payment (DSRIP) waiver in place. Only one application from a Governor per state will be permitted. A state cannot receive multiple awards.

A state may propose that an outside organization focused on quality and state delivery system transformation, such as a non-profit affiliated with the states Department of Health/Medicaid single state agency or a public-private partnership supported by the Governors Office, receive and administer funds through a SLIM award. Under such an approach, the Governors Office must provide a justification for the request and an attestation that the state will actively participate in all activities described in its proposal.

Beneficiary Eligibility:

The Centers for Medicare & Medicaid Services (CMS) has worked to promote efficiency and quality of health care, particularly under value-based payment models in the Medicare program. CMS has invested in a number of initiatives to achieve these goals, including the Medicare Shared Savings Program, Comprehensive Primary Care (CPC) Initiative, Comprehensive Primary Care Plus (CPC+), Next Generation ACO Model, and Bundled Payments for Care Improvement (BPCI) Initiative. These efforts were bolstered by the 2015 Medicare Access and CHIP Reauthorization Act (MACRA). MACRA directed the Secretary to create the QPP, which creates new provider incentives to promote adoption of Advanced Alternative Payment Models (APMs), not just in Medicare but also among Medicaid and private payers as well.

CMS has also recognized the importance of state flexibility, because states can accelerate healthcare transformation. The Innovation Center has invested in partnerships with states under several models, including the State Innovation Model (SIM). The SIM initiative tests the ability of state governments to use their policy and regulatory levers to accelerate health care transformation that results in better care, smarter spending, and healthier people..

This Funding Announcement for the Flexibility and Accountability in State Transformation Model FAST builds upon important lessons from the SIM Initiative, but is substantively different, providing direct federal investment in states to support innovative payment reforms that are driven by state needs, but is structured to give states more accountability to achieve milestones than has been present in SIM. States will be held accountable in two ways. First, grant funds will be released incrementally, contingent upon the state's progress in achieving annual and quarterly milestones that demonstrate tangible progress in building the infrastructure and capacity needed to implement its proposed state-designed model at the conclusion of the FAST award period. Second, progression to Year 2 will be contingent upon a fundamental demonstration of the states commitment to the model beyond the FAST award period (e.g., evidence of future budget allocations to support the model, proposed legislation to ensure the state has necessary authorities to execute the model, submission of Medicaid SPA, etc.). We believe such investments will empower our state partners to design new approaches and to execute these strategies well, and will eventually lead to long term, sustainable improvements in quality and reductions in cost trends.

Credentials/Documentation:

Employer Identification Number: All applicants must have a valid Employer Identification Number (EIN) assigned by the Internal Revenue Service.

Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS

number): All applicants must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number in order to apply. The DUNS number is a nine-digit identification number that uniquely identifies business entities. Obtaining a DUNS number is free. To obtain a DUNS number, access the following website: www.dunandbradstreet.com or call 1-866-705-5711. See Section IV, Application and Submission Information, for more information on obtaining a DUNS number.

System for Award Management (SAM)

All applicants must register in the System for Award Management (SAM)* database (<https://www.sam.gov/portal/public/SAM/>) in order to be able to submit an application at <http://www.grants.gov>. In order to register, applicants must provide their DUNS and EIN numbers. Each year, organizations and entities, registered to apply for Federal grants through Grants.gov must renew their registration with SAM. Each year organizations and entities must renew their registration with SAM. Failure to renew SAM registration prior to application submission will prevent an applicant from successfully applying via Grants.gov. Similarly, failure to maintain an active SAM registration during the application review process can prevent CMS from issuing your agency an award under this program. Applicants should begin the SAM registration process as soon as possible after the announcement is posted to ensure that it does not impair your ability to meet required submission deadlines. Applicants must successfully register with SAM prior to submitting an application or registering in the Federal Funding Accountability and Transparency Act Sub-award Reporting System (FSRS) as a prime awardee user; awardees may make sub-awards only to entities that have DUNS numbers. Organizations must report executive compensation as part of the registration profile at <https://www.sam.gov/portal/public/SAM/> by the end of the month following the month in which this award is made, and annually thereafter (based on the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109-282), as amended by Section 6202 of Public Law 110-252 and implemented by 2 CFR Part 170). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications will be submitted electronically through GrantSolutions in response to the Funding Opportunity Announcement (FOA).

Application Materials:

Application materials will be available for download at <http://www.grants.gov>. Please note that HHS requires applications for all announcements to be submitted electronically through <http://www.grants.gov>. For assistance with <http://www.grants.gov>, contact support@grants.gov or 1-800-518-4726. At Grants.gov, applicants will be able to download a copy of the application packet, complete it off-line, and then upload and submit the application via the Grants.gov website.

Specific instructions for applications submitted via <http://www.grants.gov>:

You may access the electronic application for this project at <http://www.grants.gov>. You must search the downloadable application page by the CFDA number.

At the <http://www.grants.gov> website, you will find information about submitting an application electronically through the site, including the hours of operation. HHS strongly recommends that you do not wait until the application due date to begin the application process through <http://www.grants.gov> because of the time needed to complete the required registration steps.

The applicant must be registered in the System for Award Management (SAM) database in order to be able to submit the application. Applicants are encouraged to register early, and must have their DUNS and EIN/TIN numbers in order to do so.

Authorized Organizational Representative: The Authorized Organizational Representative (AOR) who will officially submit an application on behalf of the organization must register with grants.gov for a username and password. AORs must complete a profile with Grants.gov using their organizations DUNS Number to obtain their username and password at http://grants.gov/applicants/get_registered.jsp. AORs must wait one business day after successful registration in SAM before entering their profiles in Grants.gov. Applicants should complete this process as soon as possible after successful registration in SAM to ensure this step is completed in time to apply before application deadlines.

When an AOR registers with Grants.gov to submit applications on behalf of an organization, that organizations E-Biz POC will receive an email notification. The email address provided in the profile will be the email used to send the notification from Grants.gov to the E-Biz POC with the AOR copied on the correspondence.

The E-Biz POC must then login to Grants.gov (using the organizations DUNS number for the username and the special password called M-PIN) and approve the AOR, thereby providing permission to submit applications.

Any files uploaded or attached to the Grants.gov application must be PDF file format and must contain a valid file format extension in the filename. Even though Grants.gov allows applicants to attach any file formats as part of their application, CMS restricts this practice and only accepts PDF file formats. Any file submitted as part of the Grants.gov application that is not in a PDF file format, or contains password protection, will not be accepted for processing and will be excluded from the application during the review process. In addition, the use of compressed file formats such as ZIP, RAR, or Adobe Portfolio will not be accepted. The application must be submitted in a file format that can easily be copied and read by reviewers. It is recommended that scanned copies not be submitted through Grants.gov unless the applicant confirms the clarity of the documents. Pages cannot be reduced in size, resulting in multiple pages on a single sheet, to avoid exceeding the page limitation. All documents that do not conform to the above specifications will be excluded from the application materials during the review process.

After you electronically submit your application, you will receive an acknowledgement from Grants.gov that contains a Grants.gov tracking number. CMS will retrieve your application package from Grants.gov. Please note that applicants may incur a time delay before they receive acknowledgement that the application has been accepted by the Grants.gov system. Applicants should not wait until the application deadline to apply because notification by Grants.gov that the application is incomplete may not be received until close to or after the application deadline, eliminating the opportunity to correct errors and resubmit the application. Applications submitted after the deadline, as a result of errors on the part of the applicant, will not be accepted.

After CMS retrieves your application package from Grants.gov, a return receipt will be emailed to the applicant contact. This will be in addition to the validation number provided by Grants.gov.

Applications cannot be accepted through any email address. Full applications can only be accepted through <http://www.grants.gov>. Full applications cannot be received via paper mail, courier, or delivery service.

All grant applications must be submitted electronically and be received through <http://www.grants.gov> by 3:00 pm Eastern Daylight Time on the applicable due date. Applications not successfully submitted to Grants.gov by the due date and time will not be eligible for review. All applications will receive an automatic time stamp upon submission and applicants will receive an email reply acknowledging the applications receipt.

Please be aware of the following:

- 1) Search for the application package in Grants.gov by entering the CFDA number. This number is shown on the cover page of this announcement.
- 2) If you experience technical challenges while submitting your application

electronically, please contact Grants.gov Support directly at: www.grants.gov/customersupport or (800) 518-4726. Customer Support is available to address questions 24 hours a day, 7 days a week (except on Federal holidays).

3) Upon contacting Grants.gov, obtain a tracking number as proof of contact. The tracking number is helpful if there are technical issues that cannot be resolved.

To be considered timely, applications must be received by the published deadline date. However, a general extension of a published application deadline that affects all State applicants or only those in a defined geographical area may be authorized by circumstances that affect the public at large, such as natural disasters (e.g., floods or hurricanes) or disruptions of electronic (e.g., application receipt services) or other services, such as a prolonged blackout. This statement does not apply to an individual entity having internet service problems. In order for there to be any consideration there must be an effect on the public at large.

Grants.gov complies with Section 508 of the Rehabilitation Act of 1973. If an individual uses assistive technology and is unable to access any material on the site including forms contained within an application package, they can email the Grants.gov contact center at support@grants.gov or call 1-800-518-4726.

Form of Application Submission

The application must include all contents described below, in the order indicated, and conform to the following specifications:

- o Use 8.5 x 11 letter-size pages (one side only) with 1 margins (top, bottom, and sides). Other paper sizes will not be accepted. This is particularly important because it is often not possible to reproduce copies in a size other than 8.5 x 11.
- o All pages of the project and budget narratives must be paginated in a single sequence.
- o Font size must be at least 12-point with an average of 14 characters per inch (CPI).
- o The Project Narrative must be double-spaced.
- o The Budget Narrative may be single-spaced and should follow the justifications and table formats provided in Appendix A, Guidance for Preparing a Budget Request and Narrative in Response to SF 424A.
- o Tables included within any portion of the application should have a font size of at least 12-point with a 14 CPI and may be single spaced.
- o The project abstract is restricted to a one-page summary which may be single-spaced.
- o The application may not exceed 55 pages. Although tables are counted towards the page limitation, the additional required documentation, including Standard Forms and Project Abstract is excluded from the page limitation

The following standard forms are found in the Grants Application Package at www.grantsolutions.gov and must be completed with an electronic signature and submitted as part of the proposal:

- o Project abstract summary
- o SF-424: Official Application for Federal Assistance (see note below)
- o SF-424A: Budget Information Non-Construction
- o SF-424B: Assurances-Non-Construction Programs
- o SF-LLL: Disclosure of Lobbying Activities
- o Project Site Location Form(s)

Award Procedure:

Applications will be screened for completeness and adherence to eligibility requirements for the track states have applied for: Transformation or Alignment. Applications received late or that fail to meet the eligibility requirements detailed in this solicitation or do not include the required forms will not be reviewed.

An objective review panel will determine the merits of the proposal and the extent to which the proposed model furthers the purpose of SLIM, in accordance with the information outlined in the FOA. The objective review

panel may include federal employees and/or non-federal employees.

Applicants will be required to present their proposals to HHS leadership as part of the selection process. The purpose(s) of the presentation is(are) to:

- o Determine the extent to which the proposed model furthers the purpose of SLIM, in accordance with the information outlined in the FOA.
- o Determine the commitment of the state in implementing the proposal.
- o Determine the level of commitment and investment by stakeholders.
- o Assist CMS in its assessment of factors such as proposal feasibility, stakeholder engagement and state leadership.
- o Assist CMS in understanding the number of individuals impacted by the proposal.

The states presentation must be led by a cabinet-level health official, such as a state Secretary of Health, and include providers and commercial payers who have committed to participate in the model. In the case of public-private partnership entities applying for a State-led Innovation Model award, senior leaders from the private and public sector, including senior leaders of the applicant entity, shall be present. Specifically, CMS expects applicants to address the criteria set forth in this FOA. CMS will also consider the number and nature of participation by stakeholders, including providers and payers, in the presentation. CMS may require further discussions with states regarding their proposals.

The result of the review processes described above will be used in conjunction with the other factors noted in the FOA to determine the technical merit of the application and advise the approving HHS official. Final award decision will be made by a HHS program official. In making this decision, the HHS program official will take into consideration: reviews for programmatic and grants management compliance; pre-award business and risk assessment review (see below for information); the reasonableness of the estimated cost to the federal government and anticipated results; and the likelihood the proposed cost will result in the benefits expected.

As noted in 45 CFR Part 75, CMS will do a review of risks posed by Applicant prior to award. In evaluating risks posed by Applicant, CMS will consider the below factors as part of the risk assessment (Applicant should review the factors in their entirety at

http://www.ecfr.gov/cgi-bin/retrieveECFR?gp=1&SID=30572eb720fd047981e26dcf89370678&ty=HTML&h=L&r=PART&n=p45.1.75#se45.1.75_1205, which includes:

Financial stability;

Quality of management systems and ability to meet the management standards prescribed in 45 CFR Part 75;

- o History of performance (including, for prior recipients of Federal awards: timeliness of compliance with applicable reporting requirements, conformance to the terms and conditions of previous federal awards, extent to which previously awarded amounts will be expended prior to future awards);
- o Reports and findings from audits performed under Subpart F of 45 CFR Part 75 and findings of any other available audits; and
- o Applicants ability to effectively implement statutory, regulatory, and other requirements imposed on non-federal entities.

If successful, the Applicant will receive one cooperative agreement award. If unsuccessful, the Applicant will be advised by letter or electronic mail (sent to the AOR) that the application will not be held for further consideration or be funded. If an applicant is not selected for a SLIM Cohort 1 award, the applicant may apply for a later SLIM Cohort award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Anticipated Notice of Award date is 90 days after application submission.

Appeals:

CMS reserves the right to approve or deny any or all proposals for funding. Note that section 1115A of the Social Security Act, which creates the Center for Medicare and Medicaid Innovation (CMMI). Section 1115A(d)(2) states that there is no administrative or judicial review of the selection of organizations, sites, or participants to test models.

Renewals:

N/A.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance will be 24 months from the date of award with two 12 month budget periods. See the following for information on how assistance is awarded/released: The period of performance will be 24 months from the date of award with two 12 month budget periods.

Reports:

Awardees must agree to cooperate with any federal evaluation of the model and performance results and provide required quarterly, annual, and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS.

Reports will be submitted electronically. These reports will include how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project and model reporting and technical assistance necessary to complete required report forms.

Quarterly progress reports are due within 30 days of the end of each calendar quarter. The annual (or final as applicable) progress reports are due 90 days following the end of each performance year.

Awardees must also agree to respond to requests that are necessary for the evaluation of the Model effort and provide data on key elements of Model performance and on results from the cooperative agreement activities. CMS will continue to make requests for data related to its evaluation beyond the end of the states performance period. The period for which CMS may continue to make such requests will be further clarified in the terms and conditions of award. The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF- 269A, SF-272, and SF-272A financial reporting forms. All grantees must utilize the FFR to report cash transaction data, expenditures, and any program income generated. Recipients must report on a quarterly basis cash transaction data via two separate systems: the Payment Management System (PMS) and GrantSolutions. As detailed above, award recipient must agree to cooperate with any federal evaluation of the model and performance results and provide required quarterly, annual, and final reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will include how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project or model reporting and technical assistance necessary to complete required report forms. Successful applicant must also agree to respond to requests that are necessary for the evaluation of the Model efforts and provide data on key elements of model performance and on results from the cooperative agreement activities. As detailed above. Successful applicants must submit reports to Federal Funding Report and meet Payment Management System reporting requirements. HHS will have substantial involvement in this cooperative agreement award, as outlined below:

- o Program Evaluation HHS will work with recipients to implement lessons learned.

- o Project Officer and Monitoring A Project Officer(s) will be assigned to this cooperative agreement award to support and monitor Recipients throughout the period of performance. HHS Grants Management Officer(s), Grants Management Specialists, and Project Officer(s) will monitor, on a regular basis, progress of Recipients. This monitoring may be by phone, document review, on-site visit, meeting, and by other appropriate means, such as reviewing program progress reports and Federal Financial Reports (FFR or SF-425). This monitoring will help determine compliance with programmatic and financial requirements.

- o Communication Project Officer will conduct progress report calls to collect updates on how the Model is being implemented, including the formation of the RHRC, Model operations, global budget administration, data analytics, technical assistance, and quality assurance, and other updates as needed.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Award recipient is required to maintain proper records including financial records, supporting documents, statistical records, and all other records pertinent to the program for the duration of the award, and retain these for a minimum of three years. If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the three years, the records should be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0522-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$47,000,000; and FY 18 est \$88,000,000

Range and Average of Financial Assistance:

The anticipated total funding is up to \$240 million for up to 12 states, with an anticipated average award size of \$20 million per state over a 24-month budget period.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This solicitation is subject to the Health and Human Services Grants Policy Statement (HHS GPS) at <http://www.hhs.gov/asfr/ogapa/aboutog/hhsgps107.pdf>. The general terms and conditions in the HHS GPS will apply as indicated unless there are statutory, regulatory, or award-specific requirements to the contrary. Standard and program specific terms of award will accompany the NoA. Applicant should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the HHS review panel. The recently released HHS regulation (45 CFR Part 75) supersedes information on administrative requirements, cost principles, and audit requirements for grants and cooperative agreements included in the current HHS Grants Policy Statement where differences are identified.

Regional or Local Office:

None.

Headquarters Office:

Christina Crider 7500 Security Blvd, Baltimore, Maryland 21244 Email: Christina.Crider@cms.hhs.gov Phone: 410-786-3900

Website Address:

<http://www.innovation.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Applicant must produce a detailed and fully developed proposal exemplifying the applicants readiness to participate in the Flexibility and Accountability in State Transformation Model. Each proposal must include the following core elements:

1. Project Abstract
2. Signed Memorandums of Understanding
3. Project Narrative (addressing the following subject areas):
Core Proposal Requirements
Track-specific Proposal Requirements

Health IT Strategy
Operational Plan
Statewide Performance Targets
4. Budget and Budget Narrative.

93.364 NURSING STUDENT LOANS

NSL

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 835-842, 42 U.S.C. 297a-i, as amended; Health Professions Education Partnership Act of 1998, Public Law 105-392.

OBJECTIVES:

To increase educational opportunities by providing long-term, low-interest loans to students in need of financial assistance and in pursuit of a course of study in an approved nursing program.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

The maximum amount a student may borrow is \$3,300 for an academic year for each of the first two years, \$5,200 for each of the final two years of a baccalaureate or graduate degree program, or the amount of the student's financial need, whichever is less. The total of all Nursing Student Loans to any student is limited to \$17,000. Loans will be made to full and half-time nursing students enrolled after June 30, 2000 who are citizens, nationals, lawful permanent residents of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the U.S. Virgin Islands, Guam, American Samoa, the Republic of Palau, the Republic of the Marshall Islands, and the Federated States of Micronesia. Funds are made to schools of nursing to capitalize loans.

Applicant Eligibility:

All accredited public and nonprofit private schools of nursing that prepare students for practice as registered or graduate nurses, and that do not discriminate against students because of race, color, origin, sex, or handicapping conditions, are eligible to apply for funds to be disbursed to qualified nursing students. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

The Nursing Student Loan Program provides financial assistance to nursing students who are citizens, nationals or lawful permanent residents of the United States or the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the U.S. Virgin Islands, Guam, American Samoa, the Republic of Palau, the Republic of the Marshall Islands, and the Federated States of Micronesia.

Credentials/Documentation:

New applications for this program can be acquired from the Division of Health Careers and Financial Support by calling (301) 443-1173. Annual operating reports serve as the new year application for current NSL recipient institutions. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Students should apply at financial aid office of school of their choice for assistance in applying for a loan. The school then tabulates requests for financial aid and awards loans based on needs of students and available funds.

Schools can obtain new applications for this program can be acquired from the Division of Health Careers and Financial Support by calling (301) 443-1173.

Annual operating reports serve as the new year application for current NSL recipient institutions.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award. The Notice of Award is sent to the School's Contact Person notifying the school of the amount of federal funds allocated for loans prior to the beginning of the academic year in which the award is to be utilized. Student is notified by student aid office of approval or disapproval at times determined by schools.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Applications are only required for new schools. Annual Operating Reports are required for renewals.

Formula and Matching Requirements:

Statutory Formula: Allotment of funds is based on statutory formula which takes into account the ratio each school's enrollment bears to the enrollments of all schools making application for funds, but never exceeds the schools request.

Matching Requirements: 11%. Under the Federal Capital Contribution method, schools participating in loan programs must match the federal contribution with an amount not less than one-ninth of the funds drawn down. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time of assistance depends upon the time required by the student to complete the necessary course of study leading to a degree and is subject to availability of funds. Recipient schools draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards. See the following for information on how assistance is awarded/released: recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

An Annual Operating Report (AOR) through electronic submission at <http://grants.hrsa.gov> must be submitted 45 days after the end of each budget period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Annual Operating Report (AOR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Loans) FY 16 \$5,055,338; FY 17 est \$9,342,281; and FY 18 est \$7,198,809

Range and Average of Financial Assistance:

Range: \$2,149 to \$401,893 (FY16); Average: \$88,690 per institution for FY 16.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Christopher Grosso, Division of Health Careers and Financial Support, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building 5600 Fishers Lane, Room 15N58B, Rockville, Maryland 20857 Email: cgrosso@hrsa.gov Phone: (301) 443-1173

Website Address:

<http://bhw.hrsa.gov/scholarshipsloans/index.html>

RELATED PROGRAMS:

84.063 Federal Pell Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness and accuracy, and to determine if applicant schools meet minimum program requirements.

93.365 SICKLE CELL TREATMENT DEMONSTRATION PROGRAM SCDTDP

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 712(c) of the American Jobs Creation Act of 2004, P.L. 108-357.

OBJECTIVES:

The objectives of this project is to support the development and establishment of systemic mechanisms to improve the prevention and treatment of sickle cell disease complications, including the coordination of service delivery for individuals with sickle cell disease; genetic counseling and testing; bundling of technical services related to the prevention and treatment of sickle cell disease; training of health professionals; and identifying and establishing efforts related to the expansion and coordination of education, treatment, and continuity of care for individuals with Sickle Cell Disease as authorized in Section 712(c) of the American Jobs Creation Act of 2004, Public Law 103-357.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

All funds granted should be expended solely for carrying out the approved projects in accordance with Section 712 of the Public Law 103-357.

Applicant Eligibility:

Eligible entities include, Federally-qualified health center, as defined in section 1905(10)(2)(B) of the Social Security Act (42 U.S.C. 1396d(10)(2)(B)), nonprofit hospital or clinic, or university health center that provides primary health care, that: (1) has a collaborative agreement with a community-based Sickle Cell Disease organization or a nonprofit entity with experience in working with

individuals who have Sickle Cell Disease; and (2) demonstrates that either the Federally-qualified health center, the nonprofit hospital or clinic, the university health center, the community-based Sickle Cell Disease organization or the Sickle Cell Disease experts who serve as consultants to the project have at least 5 years of experience in working with individuals who have Sickle Cell Disease.

Beneficiary Eligibility:

Projects will benefit individuals with Sickle Cell Disease and health professionals who provide care for individuals with Sickle Cell Disease.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Final decisions are made approximately 2-3 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory continuation application.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made on an annual basis for the duration of the grant period, and payments are made through an Electronic Transfer System or Cash Demand System. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Cash reports are not applicable. Progress reports are not applicable. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$3,327,345; FY 17 est \$3,327,345; and FY 18 est \$0

Range and Average of Financial Assistance:

FY 2017
\$357,500 - \$1,072,497; \$714,998.

TAFS Codes:

75-0354.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Office: Edward Donnell Ivy, MD, MPH, Genetic Services Branch, Division of Services for Children with Special Health Needs, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18W56, 5600 Fishers Lane, Rockville, MD 20857; Telephone: (301) 443-9775.

Headquarters Office:

Edward Donnell Ivy, MD, MPH 5600 Fishers Lane, Room 18W56, Rockville, Maryland 20857 Phone: (301) 443-9775

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

General criteria are described in Program Regulations 45 CFR 51, and specific criteria are included in the notice of funding opportunity materials provided with application kits.

93.366 STATE ACTIONS TO IMPROVE ORAL HEALTH OUTCOMES AND PARTNER ACTIONS TO IMPROVE ORAL HEALTH OUTCOMES
Oral Health**FEDERAL AGENCY:**

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Sections 317 of the Public Health Service Act (as amended) [42 U.S.C. Sections 4102(d) of the Patient Protection and Affordable Care Act[42 U.S.C. Section 280k-3].

OBJECTIVES:

To establish oral health leadership and program guidance, oral health data collection and interpretation, multi-dimensional delivery system for oral and physical health, and to implement science-based programs (including dental sealants and community water fluoridation) to improve oral and physical health. To strengthen state oral health programs and public health core capacity to decrease dental caries and reduce inequalities in the oral health targeted populations.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Cooperative Agreement funds can be used for planning, implementing and evaluating oral disease prevention and control programs. To strengthen state oral health programs and public health core capacity. To decrease the prevalence of dental caries, decrease oral health disparities, and decrease other co-morbidities associated with chronic diseases found in targeted populations. To improve oral public health programs, including support for program leadership and staff, to monitor oral health risk factors, and for developing and evaluating prevention programs. To support evidenced-based interventions to prevent oral and chronic diseases, including but not limited to community water fluoridation and school-based and school-linked dental sealant programs. To collaborate in the development and support of the National Oral Health Surveillance System. To develop initiatives related to oral disease prevention and chronic disease and health promotion capacity. Limitations on use of funds for direct dental services and other health care services.

Applicant Eligibility:

States, political subdivisions of States, local health authorities, and individuals or organizations with specialized health interests will benefit.

Beneficiary Eligibility:

States, political subdivisions of States, local health authorities, and individuals or organizations with specialized health interests will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the methods of operation, describe evaluation procedures, and provide a budget with justification for funds requested. Costs will be determined in accordance with OMB Uniform Guidance: Administrative Requirements, Costs Principles, and Audit Requirements for Federal Awards (2 CFR 200), 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or

her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

This is a 5 year project period with 12-month budget periods. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports are required on an annual basis. 90 days after the end of each budget period. Cash reports are not applicable. Progress reports, including progress on performance measures, are required on an annual basis. Annual Federal Financial Report is required. FFR is required 90 days after the end of each budget period. Progress reports, including progress performance measures, are required on an annual basis. final progress reports is required 90 days after the end of the project period.

Audits:

No audits are required for this program.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions, still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$8,200,000 - This is a new FY18 NOFO no funding was obligated in FY 16 and FY17.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Marcia Parker 4770 Buford HWY, NE, MS F80, Atlanta, Georgia 30341 Email: kuv7@cdc.gov Phone: 7704886075

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection is based on the evaluation criteria responses from the applicant and outcome of the Objective Review Panel.

93.368 21ST CENTURY CURES ACT - PRECISION MEDICINE INITIATIVE

All of Us Research Program

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

The 21st Century Cures Act; Appropriation 75X5628; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To provide support for initiatives funded under the 21st Century Cures Act, the All of Us Research Program will gather data from one million or more people living in the United States to accelerate research and improve health. By taking into account individual differences in lifestyle, environment, and biology, researchers will uncover paths toward delivering precision medicine.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of the All of Us Research Program projects. The awards may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and Facilities and Administrative Costs (formerly known as indirect costs).

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that applies and receives an award for support of research by a named principal investigator. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. The initial

grant award provides funds for the first budget period (usually 12 months) and letter Notice of Award indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories and special conditions, if any.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Grants/Cooperative Agreements: Approximately 10 months.

Appeals:

For grants/cooperative agreements: A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/notice-files/not97-232.html.

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and Cooperative Agreements: Average 3 to 5 years, and a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. See the following for information on how assistance is awarded/released: Funding is provided through Monthly Demand Payment System or an Electronic Transfer System. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Special reports may be requested by DHHS. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Special reports may be requested by DHHS. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period.

Account Identification:

75-2017-0-0-028.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$0; FY 17 est \$40,000,000; and FY 18 est \$40,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-5628.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: n/a. Fiscal Year 2017: \$40,000,000. Fiscal Year 2018: \$40,000,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75, NIH Grants Policy Statement, SF 424

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

JUSTIN HENTGES National Institutes of Health
6011 Executive Blvd, Suite 214, ROCKVILLE, Maryland 20852 Email:
Justin.hentges@nih.gov Phone: 3015940651

Website Address:

<https://allofus.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For grants and cooperative agreements, funding decisions are based on scientific merit (42 CFR 52h) and program relevance. Scientific merit review encompasses: the peer review criteria detailed in the specific funding announcement, as well as the significance, approach, and innovation of the proposed research; the competency of the principal investigator; the adequacy of the resources and environment; the proposed budget and requested period of support; and, where applicable, the adequacy of the measures for protecting against adverse effects upon humans, animals, or the environment.

93.369 ACL INDEPENDENT LIVING STATE GRANTS**FEDERAL AGENCY:**

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part B., Title VII, Part B.

OBJECTIVES:

To provide financial assistance to States for expanding and improving the provision of independent living (IL) services to individuals with significant disabilities by promoting and maximizing their full integration and inclusion into the mainstream of American society.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds received under this program may be used to carry out the purposes of the Independent Living State Grants Program described in section 713 of the Rehabilitation Act as amended. These purposes include: (1) to provide independent living services to individuals with significant disabilities, particularly those in unserved areas of the State; (2) to demonstrate ways to expand and improve independent living services; (3) to support the operation of centers for independent living that are in compliance with the standards and assurances set forth in subsections (b) and (c) of section 725; (4) to support activities to increase the capacities of public or nonprofit agencies and organizations and other entities to develop comprehensive approaches or systems for providing independent living services; (5) to conduct studies and analyses, gather information, develop model policies and procedures, and present information, approaches, strategies, findings, conclusions, and recommendations to Federal, State, and local policymakers in order to enhance independent living services for individuals with disabilities; (6) to train individuals with disabilities and individuals providing services to individuals with disabilities and other persons regarding the independent living philosophy; and (7) to provide outreach to populations that are unserved or underserved by programs under this title, including minority groups and urban and rural populations.

Applicant Eligibility:

Any designated State Entity (DSE) in a State with an approved State Plan for Independent Living (SPIL) may apply for assistance under this program. The DSE is the State entity of such State as the agency that, on behalf of the State, receives, accounts for and disburses funds received under this chapter based on the SPIL. DSEs in the 50 States and the District of Columbia and the territories

(Commonwealth of Puerto Rico and Virgin Islands) and the outlying areas (Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands) are eligible to apply.

Beneficiary Eligibility:

Individuals with significant disabilities as defined in section 7(21)(B) of the Rehabilitation Act, as amended. This refers to an individual with a severe physical or mental impairment whose ability to function independently in the family or community or whose ability to obtain, maintain, or advance in employment is substantially limited and for whom the delivery of independent living services will improve the ability to function, continue functioning, or move toward functioning independently in the family or community or to continue in employment, respectively.

Credentials/Documentation:

The State agency must certify the availability of State funds for matching purposes. The match for this program may be cash or in-kind. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. In order to be eligible for an allotment under this program, States must submit a State Plan for Independent Living (SPIL) that meets requirements in section 704 of the Rehabilitation Act, as amended. The State shall submit the plan 90 days before the completion date of the preceding plan.

Award Procedure:

A notice of annual allotment to the State is issued. States receive annual grant award notices and funds are withdrawn under the Payment Management System.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

After reasonable effort has been made to resolve the questions involved, and the State has pursued a hearing before the Office of the Administrative Law Judge, the State may appeal to the United States Court of Appeals for the circuit in which the State is located pursuant to the IL program regulations at 34 CFR 364.12.

Renewals:

Awards are made on an annual basis and may be renewed for up to 2 additional years, for a total of 3 years under an approved State Plan for Independent Living.

Formula and Matching Requirements:

Statutory Formula: Section 711 of the Rehabilitation Act contains the formula used for the allocation of funds to each State. Federal funds are distributed to each State whose State plan has been approved under section 706 of an amount bearing the same ratio to such sums as the population of the State bears to the population of all States. The source is the Annual Population Estimates, Bureau

of the Census.

Matching Requirements: Matching Requirements: Under Section 712(b) of the Rehabilitation Act, as amended, provides the Federal share shall be 90 percent of the expenditures incurred by the State during such year under its State plan approved under section 706. The non-Federal share may be provided in cash or in kind, fairly evaluated, including plant, equipment, or services.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made on an annual basis. Refer to the Funding Opportunity for further details. See the following for information on how assistance is awarded/released: Refer to the Funding Opportunity for further details.

Reports:

Performance reports and financial reports are required annually. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Generally, States and subgrantees must retain records related to grant funds and compliance for a period of 3 years.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 16 \$22,878,000; FY 17 est \$24,224,728; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Corinna Stiles 330 C Street, SW, Washington, District of Columbia 20201
Email: corinna.stiles@acl.hhs.gov Phone: 202-795-7446

Website Address:

<http://www.acl.gov/Programs/AoD/ILA/Index.aspx>

RELATED PROGRAMS:

93.432 ACL Centers for Independent Living

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An approved State plan is a condition for receipt of funds under the IL State Grants program under the Rehabilitation Act. State plan requirements are contained in section 704 of the Rehabilitation Act as amended.

93.370 21ST CENTURY CURES ACT: REGENERATIVE MEDICINE INITIATIVE

Regenerative Medicine Initiative

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

21st Century Cures Act , Appropriation 75X5628, as amended by Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To provide support for initiatives funded under the 21st Century Cures Act to support the BRAIN Initiative's aim of revolutionizing our understanding of the human brain. By accelerating the development and application of innovative technologies, researchers will be able to produce a revolutionary new dynamic picture of the brain that, for the first time, shows how individual cells and complex neural circuits interact in both time and space. Long desired by researchers seeking new ways to treat, cure, and even prevent brain disorders, this picture will fill major gaps in our current knowledge and provide unprecedented opportunities for exploring exactly how the brain enables the human body to record, process, utilize..

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of the BRAIN Initiative. The grants and cooperative agreements may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and Facilities and Administrative Costs (formerly known as indirect costs).

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that applies and receives a grant for support of research by a named principal investigator. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. The initial grant award provides funds for the first budget period (usually 12 months) and letter Notice of Award indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories and special conditions, if any.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Regular Grants: Approximately 10 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/notice-files/not97-232.html.

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and Cooperative Agreements: Average 3 to 5 years, and a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Special reports may be requested by DHHS. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Special reports may be requested by DHHS. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period.

Account Identification:

75-2017-0-0-028.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$0; FY 17 est \$2,000,000; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-5628.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: N/A. Fiscal Year 2017: \$2,000,000. Fiscal Year 2018: \$2,000,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75, NIH Grants Policy Statement, SF 424

Regional or Local Office:

None. 45 CFR 75, NIH Grants Policy Statement, SF 424.

Headquarters Office:

Dana A. Phares National Institutes of Health
6701 Rockledge Drive, Room 7176, Bethesda, Maryland 20832 Email:
pharesda@nhlbi.nih.gov Phone: 301 827-7968

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.372 21ST CENTURY CURES ACT - BRAIN RESEARCH THROUGH ADVANCING INNOVATIVE NEUROTECHNOLOGIES

The BRAIN Initiative

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Small Business Research and Development Enhancement Act of 1992 , Public Law 102-564; Act:
The 21st Century Cures Act; Appropriation 75X5628.

OBJECTIVES:

To provide support for initiatives funded under the 21st Century Cures Act to support the BRAIN Initiatives aim of revolutionizing our understanding of the human brain. By accelerating the development and application of innovative technologies, researchers will be able to produce a revolutionary new dynamic picture of the brain that, for the first time, shows how individual cells and complex neural circuits interact in both time and space. Long desired by researchers seeking new ways to treat, cure, and even prevent brain disorders, this picture will fill major gaps in our current knowledge and.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of the BRAIN Initiative. The grants and cooperative agreements may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and Facilities and Administrative Costs (formerly known as indirect costs).

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that applies and receives a grant for support of research by a named principal investigator. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. The initial grant award provides funds for the first budget period (usually 12 months) and letter Notice of Award indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories and special conditions, if any.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Regular Grants: Approximately 10 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/notice-files/not97-232.html .

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and Cooperative Agreements: Average 3 to 5 years, and a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. See the following for information on how assistance is awarded/released: Funding is provided through Monthly Demand Payment System or an Electronic Transfer System. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Special reports may be requested by DHHS. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Special reports may be requested by DHHS. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period.

Account Identification:

75-2017-0-0-028.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$0; FY 17 est \$10,000,000; and FY 18 est \$10,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-5628.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: N/A. Fiscal Year 2017: \$10,000,000. Fiscal Year 2018: \$10,000,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75, NIH Grants Policy Statement, SF 424

Regional or Local Office:

None. Not Applicable.

Headquarters Office:

Walter Koroshetz National Institutes of Health
31 Center Drive, Room 8A52, Bethesda, Maryland 20892 Email:
koroshetz@nih.gov Phone: 301-496-3167

Website Address:

<https://www.braininitiative.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding decisions are based on scientific merit (42 CFR 52h) and program relevance. Scientific merit review encompasses: the peer review criteria detailed in the specific funding announcement, as well as the significance, approach, and innovation of the proposed research; the competency of the principal investigator; the adequacy of the resources and environment; the proposed budget and requested period of support; and, where applicable, the adequacy of the measures for protecting against adverse effects upon humans,

animals, or the environment.

93.389 NATIONAL CENTER FOR RESEARCH RESOURCES
(DBT, DCCR, DCM, DRI)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III, Section 301, Public Law 78-410, U.S.C. 341, 287-288; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564; Public Health Service Act, Title IV, Section 479-480 487, Public Law 99-158.

OBJECTIVES:

The National Center for Research Resources (NCRR) provides laboratory scientists and clinical researchers with the environments and tools they need to understand, detect, treat, and prevent a wide range of diseases. This support enables discoveries that begin at a molecular and cellular level, move to animal-based studies, and then are translated to patient-oriented clinical research, resulting in cures and treatments for both common and rare diseases. NCRR connects researchers with one another and with patients and communities across the nation to harness the power of shared resources and research.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Division of Biomedical Technology supports research, development and access to sophisticated technologies at resource centers nationwide which assist academic, nonprofit organizations in the development, use, and dissemination of innovative technologies useful for the advancement of biomedical science. Awards are provided under a variety of funding mechanisms composed of Biomedical Technology Resource(BTR) grants, Research Project Grants, Shared Instrumentation Grants (SIG), High End Instrumentation (HEI) Program and other mechanisms, such as Exploratory Grants to develop new technologies for biomedical research, Small Business Innovation Research (SBIR) grants, and Small Business Technology Transfer (STTR) grants. The Division for Clinical Research Resources makes it possible to apply knowledge gained through basic research to the development of lifesaving drugs, devices, and therapies that protect the health of our nation's citizens by providing research infrastructure for clinical investigators. These specialized institutional resources are equipped to be flexible and responsive to changing scientific and economic environments and to unforeseen research challenges. The Division for Clinical Research Resources helps translate scientific knowledge into effective patient care through General Clinical Research Centers (GCRC), Research Career Development (K23, K24), Clinical Research Curriculum Award (CRA, K30), Clinical Research-related activities, Small Business Innovation Research (SBIR) grants and Small Business Technology Transfer (STTR) grants; and improves pre-college science education and the public's understanding of science through the Science Education Partnership Award (SEPA) Program. Additionally, a new consortium, funded through Clinical and Translational Science Awards (CTSAs), will transform how clinical and translational research is conducted, ultimately enabling researchers to provide new treatments more efficiently and quickly to patients. The Division of Comparative Medicine supports research and resource projects, as well as centers that enable biomedical scientists to use high quality, disease-free animals effectively in research on human health problems. Support is also available for the development of a wide range of other research models, particularly marine invertebrates and lower vertebrates, and access to an array of important biological materials. These objectives are accomplished through activities of National Primate Research Centers (NPRC), Laboratory Animal Science (LAS), and Biological Models and Materials Research (BMMR). The Division of Research Infrastructure addresses the under representation of minority investigators; enhances the research environment at minority colleges and universities in the health sciences; broadens the geographic distribution of research grant funding to states with historically low NIH success rates; and improves biomedical research facilities through matching grants for construction and renovation. These objectives are accomplished through activities of the Research Centers in Minority Institutions (RCMI) program, the RCMI Clinical Research Infrastructure Initiative (RCRII),

and the Institutional Developmental Award (IDeA). The Office of Construction supports the Research Facilities Improvement Program (RFIP) and the Animal Facilities Improvement Program.

Applicant Eligibility:

BTR Grants: Nonprofit health professional schools; other academic institutions; hospitals; State and local health agencies; and research organizations with programs in biomedical technology research located in the United States and its territories. SIG and HEI awards will be made to institutions only, not to individuals. GCRC and CTSA grants: schools, research hospitals, and other institutions capable of carrying out well-designed studies in any preclinical or clinical science involving human beings as research subjects in conjunction with investigators funded through the National Institutes of Health (NIH) and other Federal agencies. Research Career Development: Applications may be submitted on behalf of candidates by domestic, nonfederal organizations, public or private institutions of higher education. Clinical Research Scholar Program Award: Applications will be accepted from domestic, nonprofit organizations, public and private institutions of higher education that have strong, well-established clinical research and training program. SEPA: Public and private for-profit and nonprofit institutions and museums. DCM: Institutions of higher education, hospitals, and other institutions and organizations, both nonprofit and for-profit, seeking to establish, continue, or enlarge programs consistent with the objectives of the program. Applicants for NRSA must be citizens of the United States or be admitted to the United States for permanent residency. Applicants must be nominated and sponsored by a public or private nonprofit institution with staff and facilities suitable for the proposed research training. Nonprofit domestic organizations may apply for the institutional NRSA. RCMI: Institutions with more than 50 percent minority enrollment that award an M.D., D.D.S., D.V.M., or other doctoral degree in the health professions and/or a Ph.D. in the health-related sciences. This program is open only to institutions within the United States and its territories. RCRII: RCMI eligible institutions affiliated with medical schools. IDeA: Domestic public and private for profit and nonprofit institutions in States in which the success rate for applications to the NIH has been historically low. RFIP and Animal Facilities Improvement: Except where otherwise prohibited by law, any nonprofit organization competent to carry out biomedical research.

Beneficiary Eligibility:

Biomedical investigators at any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

SIG, HEI: A major user group of three or more investigators should be identified. Each major user must have NIH peer-reviewed research support at the time of the award. The application must show a clear need for the instrumentation by projects supported by multiple NIH research awards and demonstrate that these projects will require at least 75 percent of the total usage of the instrument. Applications for NRSA must be citizens of the United States or be admitted to the United States for permanent residency. Applicants must be nominated and sponsored by a public or private nonprofit institution with staff and facilities suitable for the proposed research training. Nonprofit domestic organizations may apply for the institution NRSA. RCMI, RCRII, and CCRE: Applicants must certify eligibility (see Applicant Eligibility requirements). IDeA, and Animal Facilities Improvement: None. RFIP: Application should include a complete research program description (with staff curricula vitae), space requirements for projected program, an environmental summary of the impact of the proposed facility as detailed in Public Law 90-190, The National Environmental Policy Act, and listing of all relevant licenses, permits or other approvals required by State and local authorities. Costs will be determined in accordance with OMB Circular Nos. A-122, "Cost Principles for Nonprofit Organizations," and in the case of SIG & HEI No. A-87 for State and local governments or A-21 for Educational Institutions, and HHS Regulations 45 CFR, Part 74, Subpart Q. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed

in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application forms may be obtained from the Division of Extramural Outreach and Information, 6701 Rockledge Drive, MSC 7910, Bethesda, MD 20892-7910. Telephone (301) 435-0714, e-mail: ASKNIH@odrockml.od.nih.gov. Completed applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, MSC 7710, Bethesda, MD 20892-7710. The standard application forms PHS 398, (Rev. November 1998), as furnished by PHS and required by 45 CFR, Part 92, and OMB Circular No. A-110 must be used for all programs. For filing NRSA individual fellowship award applications, Form 416-1 (Rev. October 1991) is needed. CTSA: Special instructions for preparing applications should be requested from the Division for Clinical Research Resources, One Democracy Plaza, 6701 Democracy Blvd., National Center for Research Resources, National Institutes of Health, Bethesda, MD 20892-4874. RFIP: Construction applications are to be submitted on a Standard Form 424, Application for Federal Assistance.

Award Procedure:

The initial review of applications from eligible investigators and institutions is reviewed by committees comprised of authorities in various fields of biomedical research and science education, as appropriate. Each application is given a peer evaluation for merit, and recommendations are forwarded to the National Advisory Research Resources Council for final review and recommendation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of their application by communicating with the NCCR staff. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

K23, K24, SIG, HEI, Animal Facilities Improvement, and RFIP: None. All others may be renewed upon successful reapplication.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

All programs except for RFIP: 1 to 5 years. An Electronic Transfer System is used for transferring funds. RFIP: Construction must be completed within the time specified in grant award. The initial budget period is usually 2 years in length, although extensions may be requested. All funds must be obligated within 5 years from the date of award. See the following for information on how assistance is awarded/released: All programs except for RFIP: 1 to 5 years. An Electronic Transfer System is used for transferring funds. RFIP: Construction must be completed within the time specified in grant award. The initial budget period is usually 2 years in length, although extensions may be requested. All funds must be obligated within 5 years from the date of award.

Reports:

No program reports are required. No cash reports are required. Annual progress and financial status reports are required for all grants. Final performance and financial reports are required 90 days following the end of the project period. Reports are required after termination of NRSA to ascertain compliance with the service and payback provisions. RFIP: The grantee is responsible for performance of the contractor. The contractor must be covered by performance and payment bonds. Annual progress and financial status reports are required for all grants. Final performance and financial reports are required 90 days following the end of the project period. Reports are required after termination

of NRSA to ascertain compliance with the service and payback provisions. RFI: The grantee is responsible for performance of the contractor. The contractor must be covered by performance and payment bonds. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents.

This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0848-1-1-552.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Research Centers in Minority Institutions Centers. (Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Biomedical Technology Research Centers. (Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Comparative Medicine Centers. (Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Special Comprehensive Centers. No funding for this program after FY2011. (Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Other Research. (Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - General Clinical Research Centers/Clinical and Translational Science Awards Centers. (Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Research Project Grants. (Project Grants (Capacity Building and Complaint Processing, Training)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Research Training. (Project Grants (Contracts)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Research & Development Contracts.

Range and Average of Financial Assistance:

No new funding for this program since FY2011. Grants still remain active.

TAFS Codes:

75-11-0848-552.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

BT: OMB Circular No. A-21, "Cost Principles for Educational Institutions." Reference: 44 FR 12368, March 6, 1979; Rev. No. 1, 47 FR 33658, August 3,

1982; Rev. No. 2, 51 FR 20908, June 9, 1986. OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations." Reference: 41 FR 32016, July 30, 1976. OMB Circular No. A-122, "Cost Principles for Nonprofit Organizations." OMB Circular No. A-128, "Audits of State and Local Governments"; Reference: Public Law 98-502, the Single Audit Act of 1984. OMB Circular No. A-87, "Cost Principles Applicable to Grants and Contracts with State and Local Governments"; Reference: 34 CFR 255, 45 CFR 92. Reference: 42 FR 45828. OMB Circular No. A-122, "Cost Principles for Nonprofit Organizations." Reference: 45 FR 46022, July 8, 1980. 45 CFR 74; 42 CFR 52h. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal Regulations at 42 CFR 52 and 42 USC 241. Department Staff Manual "Grants Administration"; Indirect Cost Register, DHHS; PHS Grants Policy Statement, DHHS Publications No. (OASH) 94-50,000, (Rev.) April 1, 1994; "NIH Grants Policy Guide," Office for Protection from Research Risks, NIH; "A Guide to Grant and Award Programs of the NIH"; and miscellaneous program literature from Headquarters Office.

Regional or Local Office:

None.

Headquarters Office:

Patricia Newman, 6701 Democracy Boulevard, MSC4874, Bethesda, Maryland 20892-4874 Email: pnewman@mail.nih.gov Phone: (301) 435-0864.

Website Address:

<http://www.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. RFI: Factors considered in making awards include the merit of the proposal, the needs of the institution, with special consideration for institutions designated as centers of excellence, the commitment of the institution, the availability of funds, and the overall program priorities.

93.391 ACTIVITIES TO SUPPORT STATE, TRIBAL, LOCAL AND TERRITORIAL (STLT) HEALTH DEPARTMENT RESPONSE TO PUBLIC HEALTH OR HEALTHCARE CRISES

CDC Partner Crisis Response NOFO

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Part 247b, Section 317, 42 U.S.C 317; Public Health Service Act, Title 42, Part 247d, Section 319, 42 U.S.C 319; Public Health Service Act, Title 42, Part 242l, Section 307, 42 U.S.C 307; Patient Protection and Affordable Care Act, Public Law 111-148; Public Health Service Act, Title 42, Part 243, Section 311, 42 U.S.C 311; Public Health Service Act, Title 42, Part 300u, Section 1703, 42 U.S.C 1703.

OBJECTIVES:

CDC will strengthen the U.S. public health systems response to public health or healthcare crises by providing specialized support to State, Tribal, Local and Territorial health departments (STLTs) when engaged in a response. Because STLTs vary widely in capacity and expertise - as well as in the demographics and disease burdens of the populations they serve - an emergency in any location is likely to reveal areas in which they need assistance to launch and sustain effective response activities.

The purpose of this NOFO is (1) to establish a pool of organizations capable of rapidly providing essential expertise to governmental public health entities involved in a response, and (2) to fund select awardees to provide that support, when required, based on CDC's determination of need. The role of the awardee will be to function as a rapid provider of information and/or resources, as well as a coordinator of the project management components involved. Awardees will primarily support public health departments, but may be engaged to support CDC programs also involved in the response.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds will be used by non-governmental organizations (501c3s, 501c6s, public and private institutions of higher learning, and Native American tribal organizations) to provide emergency response assistance to State, Tribal, Local, and Territorial public health agencies and other components of the public health system. For the purposes of this program, other components of the public health system refers to specific professional constituencies (i.e., epidemiologists, community health nurses, health education and promotion specialists tribal health leaders, chronic disease directors, health information specialists, Medicaid/Medicare Directors, state/local public health financial officials, etc.) or key components of the public health system (i.e., community and neighborhood health centers, public health and primary care delivery systems, community and faith-based organizations, public health and accreditation boards, public health institutes, primary care residency programs, health insurance consortia, family/social services programs, maternal/child health associations, etc.). Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies (including training materials), and services, such as contractual. Applicants will be required to participate, comply, and cooperate with CDC for any reporting requirements stipulated by, or mandated for, the receipt of funding.

Applicant Eligibility:

Eligible applicants are limited to the following:

1. Nonprofits having a 501(c)(3) or 501(c)(6) status with the IRS, other than institutions of higher education.
2. Public and private institutions of higher education.
3. Native American tribal organizations (other than Federally-recognized tribal governments).

Beneficiary Eligibility:

Direct awards will be made to the non-governmental organizations described in the eligible applicant section. Beneficiaries of the support provided by those non-governmental organizations will include state health departments; tribal health organizations; local health departments; the District of Columbia; U.S. Territories; and other components of the public health system. The general public will also serve as beneficiaries.

Credentials/Documentation:

Applicants should review the individual Centers for Disease Control and Prevention (CDC) funding opportunity announcement issued under this CFDA program for any documentation, credentials or proof of certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. tbd

Award Procedure:

Notification is made in writing through a Notice of Grant Award issued from the programs Office of Grants Services (OGS).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. tbd.

Appeals:

Not Applicable.

Renewals:

Project periods are for 5 years with 12-month budget periods. Applications must be submitted in the same manner as a new application.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

This NOFO is designed to collect responsive proposals from eligible applicants and retain them as approved but unfunded (ABU) until a crisis occurs. The NOFO will only be funded upon occurrence of a particular public health or healthcare crisis. At that time, depending on the nature of the scenario, specific applicants and specific components of their applications will be selected for funding. These funding decisions will take into account various relevant factors such as geographic location, expectations of spread (e.g., with infectious disease-related emergencies), applicants capabilities, national priorities, impact of the emergency on an eligible jurisdiction, and target populations, etc. Method of awarding/releasing assistance: lump sum.

Reports:

Awardee Evaluation and Performance Measurement Plan, including Data Management Plan (DMP). Periodic and Final Federal Financial Reports; Federal Cash Transaction Reports (in PMS). Annual Progress Reports are required. Periodic and Final Federal Financial Reports; Federal Cash Transaction Reports (in PMS). Performance Measure Reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records for a minimum of three years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Part 75.

Account Identification:

75-0959-0-1-550.

Obligations:

(Salaries) FY 16 \$0; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable - Due to the nature of the issues that would trigger CDC to activate this NOFO as designed, it is difficult to project the total funding amount that would be made available. When and if CDC activates this NOFO, it is expected to be only for the time necessary to respond to the emergency at hand, and that long-term recovery needs (and/or emergencies that shift from an epidemic to an endemic nature) would be addressed by other NOFOs as appropriate.

Range and Average of Financial Assistance:

Due to the nature of the issues that would trigger CDC to activate this NOFO as designed, it is difficult to project the total funding amount that would be made available. When and if CDC activates this NOFO, it is expected to be only for the time necessary to respond to the emergency at hand, and that long-term recovery needs (and/or emergencies that shift from an epidemic to an endemic nature) would be addressed by other NOFOs as appropriate.

TAFS Codes:
75-0959.

PROGRAM ACCOMPLISHMENTS:
Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:
Not Applicable.

Regional or Local Office:
None.

Headquarters Office:
Randall H. Nielson Centers for Disease Control & Prevention (CDC)
1600 Clifton Road NE
Mailstop K90, Atlanta, Georgia 30329-1602 Email: rnielson@cdc.gov Phone: 7704881602

Website Address:
No Data Available

RELATED PROGRAMS:
93.354 Public Health Emergency Response: Cooperative Agreement for Emergency Response: Public Health Crisis Response

EXAMPLES OF FUNDED PROJECTS:
Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:
Not Applicable.

93.393 CANCER CAUSE AND PREVENTION RESEARCH Cancer Cause and Prevention Research

FEDERAL AGENCY:
National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:
Public Health Service Act, Sections 301, 410 and 411, Public Law 78-410, as amended, Public Law 100-607, as amended, 42 U.S.C. 241, 42 U.S.C. 285 - 285a-1; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:
To identify cancer risks and risk reduction strategies, to identify factors that cause cancer in man, and to discover and develop mechanisms for cancer prevention in man. Research programs include: (1) epidemiology; (2) chemical, physical and molecular carcinogenesis; (3) early detection biomarkers; (4) nutrition and bioactive food components; (5) immunology and vaccines; (6) field studies and statistics; (7) chemoprevention; (8) agent development; and (9) organ site studies. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation in socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development and economically disadvantage small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:
Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:
Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. Restrictions are imposed against the use of funds for entertainment, foreign travel (unless specifically authorized), office equipment, and other

items not normally necessary for the effective prosecution of such research. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. The SBIR Fast-Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization. Fast-Track is a parallel review option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:
The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concern (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:
Any nonprofit or for-profit organization, company, or institution engaged in biomedical research on cancer.

Credentials/Documentation:
For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 75. For SBIR and STTR grants, the applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF424(R&R) (version as specified in the FOA) is used to apply for SBIR Phase I and Phase II, and STTR Phase I and Phase II. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:
Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:
2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Depending on the grant mechanism, form PHS-398 (Rev. March 2016) or form SF424 (R&R) (version as specified in the FOA) is the standard application form. Both forms can be obtained online at <http://grants.nih.gov/grants/forms.htm>. SBIR and STTR Grant Solicitations and SBIR Contract Solicitations may be obtained electronically through the NIH's Small Business Funding Opportunities home

page at <http://www.nih.gov/grants/funding/sbir.htm>. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. All competing SBIR and STTR grant applications must be submitted electronically to the NIH via Grants.gov (<http://www.grants.gov/>).

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533-2) indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

(Grants) Approximately 10 months. SBIR/STTR: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available online through the NIH home page at <http://www.grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Applications submitted for renewal must be reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and Cooperative Agreements: Average 3 to 4 years, maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly Demand Payment System or Letter of Credit. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. Annual financial status report is required 90 days after end of budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of a project. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Cancer Causation Research.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$643,076,000; FY 17 est \$686,569,000; and FY 18 est \$572,918,000 - Cancer Causation Research Grants FY2016 RPG act. \$622,142,000, FY2016 SBIR/STTR act. \$11,986,000; Other Research: \$9,569,000
FY2017 RPG est. 656,894,000, FY2017 SBIR/STTR est. \$13,249,000 Other Research: \$16,426,000
FY2018 RPG est. \$550,299,000, FY2018 SBIR/STTR est. \$10,237,000 Other Research: \$12,382,000.

Range and Average of Financial Assistance:

Range: \$30,000 to \$3,863,910
Average: \$473,472.

TAFS Codes:

75-0850.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Competing FY2016 RPG actual 342 awards which were 13.3% of applications received.
Competing FY2016 SBIR/STTR actual 13 awards which were 11.4% of applications received. Fiscal Year 2017: FY2017 estimated total awards to be made is 1,319. Fiscal Year 2018: FY2018 estimated total awards to be made is 1,100.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 75; NIH Grants Policy Statement (10/1/16); Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Not applicable.

Headquarters Office:

Shamala K. Srinivas 9609 Medical Center Drive
Seventh Floor, West Tower, 7W530, MSC 9750, Rockville, Maryland 20850
Email: shamala@mail.nih.gov Phone: 240-276-6442 Fax: 240-276-7682

Website Address:

<http://www.cancer.gov>

RELATED PROGRAMS:

93.394 Cancer Detection and Diagnosis Research; 93.396 Cancer Biology Research; 93.399 Cancer Control

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator,

supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

**93.394 CANCER DETECTION AND DIAGNOSIS RESEARCH
FEDERAL AGENCY:**

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 410, and 411, Public Law 78-410, as amended, 42 U.S.C. 241; Public Law 100-607, 42 U.S.C. 285 and 285a; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To improve screening and early detection strategies and to develop accurate diagnostic techniques and methods for predicting the course of disease in cancer patients. Screening and Early Detection research includes development of strategies to decrease cancer mortality by finding tumors early when they are more amenable to treatment. Diagnosis research focuses on methods to determine the presence of a specific type of cancer; to predict its course and response to therapy, both a particular therapy or a class of agents; and to monitor the effect of the therapy and the appearance of disease recurrence. These methods include diagnostic imaging and direct analyses of specimens from tumor or other tissues. Support is also provided for establishing and maintaining resources of human tissue to facilitate research. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultants costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. The SBIR Fast-Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization. Fast-Track is a parallel review option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap

between Phase I and Phase II.

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution or organization, unit of tribal government, or a for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 75. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF424 is used to apply for SBIR Phase I and Phase II, respectively. Grant form SF424 is also used to apply for STTR Phase I and Phase II, respectively. See the website for more information on electronic submission of grants. <http://grants.nih.gov/grants/funding/424/index.htm>. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The NIH is in the process of a transition to fully electronic grant submission through Grants.gov (see <http://era.nih.gov/ElectronicReceipt> for details). Depending on the grant mechanism, either form PHS-398 (Rev. June 2009) or form SF424(R&R) (January 2010) is the standard form. Both can be obtained at <http://grants.nih.gov/grants/forms.htm>. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. All competing SBIR and STTR grant applications must be submitted electronically via Grants.gov The following is a website address for NIH electronic submission of grant application information: <http://era.nih.gov/ElectronicReceipt/index.htm>.

Award Procedure:

Approved grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for the remainder of the project period, allocation of Federal funds by budget

categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

(Regular Grants) Approximately 10 months. SBIR/STTR: About 7-1/2 months. See website: <http://grants1.nih.gov/grants/funding/submissionschedule.htm>.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program has no statutory formula or matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements: Average 3 to 4 years and a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly Demand Payment System or an Electronic Transfer System. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. Annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of the project. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Detection and Diagnosis Research.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$407,221,000; FY 17 est \$507,671,000; and FY 18 est \$410,553,000 - Cancer Detection and Diagnosis Research Grants
FY2016 act. RPG \$316,725,000 - SBIR/STTR \$40,525,000- Other Research \$49,124,000
FY2017 est. RPG \$334,272,000 - SBIR/STTR \$49,119,000- Other Research \$124,280,000

FY2018 est. RPG \$276,577,000- SBIR/STTR \$34,673,000 - Other Research \$99,303,000.

Range and Average of Financial Assistance:

Range: \$74,250 to 2,239,851

Average: 450,533.

TAFS Codes:

75-0850.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Competing FY16 actual: 213 awards which were 12.2% of applications received.

Competing FY16 SBIR/STTR actual: 44 awards which were 12.5% of applications received. Fiscal Year 2017: FY17 estimates: 768 total awards.

Fiscal Year 2018: FY18 estimates: 632 total awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 75; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94- 50,000, (Rev.) April 1, 1994. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institute of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Not applicable.

Headquarters Office:

Shamala K. Srinivas 9609 Medical Center Drive
Seventh Floor, West Tower, 7W530, MSC 9750, Rockville, Maryland 20850
Email: shamala@mail.nih.gov Phone: 240-276-6442 Fax: 240-276-7682

Website Address:

<http://prevention.cancer.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.395 CANCER TREATMENT RESEARCH

Cancer Treatment Research

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 410, and 411, Public Law 78-410, 42 U.S.C. 241, as amended, Public Law 100-607, 42 U.S.C 285(a); Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To develop the means to cure as many cancer patients as possible and to control the disease in those patients who are not cured. Cancer Treatment Research includes the development and evaluation of improved methods of cancer treatment through the support and performance of both fundamental and applied laboratory and clinical research. Research is supported in the discovery, development, and clinical testing of all modes of therapy including: surgery, radiotherapy, chemotherapy, and biological therapy including molecularly targeted therapies, both individually and in combination. In addition, research is carried out in areas of nutritional support, stem cell and bone marrow transplantation, image guided therapies and studies to reduce toxicity of cytotoxic therapies, and other methods of supportive care that may supplement and enhance primary treatment. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and

economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. SBIR Phase I grants (of approximately 6-months duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. The SBIR Fast-Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization. Fast-Track is a parallel option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution, or for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned, and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

For-profit organizations' cost are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 75. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant

form SF424 is used to apply for SBIR Phase I and Phase II, respectively. Grant form SF424 is also used to apply for STTR Phase I and Phase II, respectively. See the website for more information on electronic submission of grants. <http://grants.nih.gov/grants/funding/424/index.htm>. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application form PHS-398 is the standard form which may be obtained electronically from: <http://grants.nih.gov/grants/funding/phs398/phs398.html> in an interactive format. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://www.nih.gov/grants/funding/sbir.htm> on the Web. Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040-MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

Approved grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and if they are recommended for further consideration, by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 10 months. SBIR Applications: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements: Average 3 to 4 years, maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funds are provided through Monthly Demand Payment System or an Electronic Transfer System. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. An Annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by the DHHS. Terminal reports are required 6 months after the end of the project.

Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Treatment Research.

Obligations:

(Cooperative Agreements) FY 16 \$797,735,000; FY 17 est \$837,234,000; and FY 18 est \$656,609,000 - Cancer Treatment Research Grants
FY2016 act. \$517,969,000 - SBIR/STTR \$45,062,000 - Other Research \$234,867,000
FY2017 est. \$546,352,000 - SBIR/STTR \$44,872,000 - Other Research \$246,010,000
FY2018 est. \$455,325,000 - SBIR/STTR \$37,954,000 - Other Research \$163,330,000.

Range and Average of Financial Assistance:

Range: \$20,784 to \$21,619,997 Average: \$459,192.

TAFS Codes:

75-0850.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY2016, 335 RPG awards were made which were 11.5% of the applications received.
In FY2016, 68 SBIR/STTR awards were made which were 9.3% of the applications received. Fiscal Year 2017: In FY2017, the total awards estimated to be made are 1,186. Fiscal Year 2018: In FY2018, total awards estimated to be made are 991.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 75 Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Not applicable.

Headquarters Office:

Shamala K. Srinivas 9609 Medical Center Drive
Seventh Floor, West Tower, Room 7W530, MSC 9750, Rockville, Maryland 20850 Email: shamala@mail.nih.gov Phone: 240-276-6442 Fax: 240-276-7682

Website Address:

<http://www.cancer.gov/cancertopics/treatment>

RELATED PROGRAMS:

93.393 Cancer Cause and Prevention Research; 93.394 Cancer Detection and Diagnosis Research; 93.396 Cancer Biology Research; 93.399 Cancer Control

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach;

(3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.396 CANCER BIOLOGY RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 410, and 411, Public Law 78-410, 42 U.S.C. 241, as amended; Public Law 100-607, 42 U.S.C. 285 and 285a; Small Business Research and Development Enhancement, Act of 1992, as amended, Public Law 102-564.

OBJECTIVES:

To provide fundamental information on the cause and nature of cancer in people, with the expectation that this will result in better methods of prevention, detection and diagnosis, and treatment of neoplastic diseases. Cancer Biology Research includes the following research programs: cancer cell biology; cancer immunology, hematology and etiology; DNA and chromosomal aberrations; tumor biology and metastasis; and structural biology and molecular applications.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and Facilities and Administrative Costs (formerly known as indirect costs).

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that submits an application and receives a grant for support of research by a named principal investigator. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR Part 75 See the

website for more information on the electronic submission of grants. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The NIH is in the process of a transition to fully electronic grant submission through Grants.gov (see <http://era.nih.gov/ElectronicReceipt> for details). Depending on the grant mechanism, either form PHS-398 (Rev. June 2009) or form SF424(R&R) (January 2010) is the standard form. Both can be obtained at <http://grants1.nih.gov/grants/forms.htm>. The standard application forms, as furnished by PHS must be used for this program. The following is a website address for NIH electronic submission of grant application information: <http://era.nih.gov/ElectronicReceipt/index.htm>.

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. The initial grant award provides funds for the first budget period (usually 12 months) and letter Notice of Award indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories and special conditions, if any.

Deadlines:

Aug 05, 2018 All Grants including renewals and supplements: April 5, August 5, December 5. Cooperative Agreements and contracts are usually submitted in response to a Request for Applications (RFA) or a Request for Proposals (RFP). The receipt date is stipulated in the RFA or RFP. Note that for New Investigator and Early Stage Investigators applying for an R01 resubmission there are expedited review dates described in NOT-OD-11-057 (<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-057.html>).

Range of Approval/Disapproval Time:

Regular Grants: Approximately 10 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/notice-files/not97-232.html.

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants and Cooperative Agreements: Average 3 to 5 years, and a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly Demand Payment System or an Electronic Transfer System. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. Annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of a project. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Cancer Biology Research.

Obligations:

(Salaries) FY 16 \$523,277,000; FY 17 est \$569,576,000; and FY 18 est \$475,693,000 - Cancer Biology Research Grants
FY2016 act. \$510,555,000 - SBIR/STTR \$3,905,000 - Other Research \$8,839,000
FY2017 est. \$539,414,000 - SBIR/STTR \$4,260,000 - Other Research \$25,902,000
FY2018 est. \$451,368,000 - SBIR/STTR \$3,291,000 - Other Research \$21,034,000.

Range and Average of Financial Assistance:

Range: \$15,589 to \$4,445,418

Average: \$387,077.

TAFS Codes:

75-0850.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY2016, 340 competing RPG awards were made, which were 11.3% of the applications received.
In FY2016, 10 competing SBIR/STTR awards were made, which were 7.4% of the applications received. Fiscal Year 2017: In FY2017, the estimated total awards to be made are 1308. Fiscal Year 2018: In FY2018, the estimated total awards to be made are 1095.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 75; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Shamala K. Srinivas 9609 Medical Center Drive
Seventh Floor, West Tower, 7W530, MSC 9750, Rockville, Maryland 20850
Email: shamala@mail.nih.gov Phone: 240-276-6442 Fax: 240-276-7682

Website Address:

<https://dcb.nci.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.397 CANCER CENTERS SUPPORT GRANTS

Cancer Centers

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 305(a), 410, 411, and 414, Public Law 78-410, 42 U.S.C. 241, as amended; Public Law 100-607, 42 U.S.C. 285, 285a

and 285a-3; Public Law 99-500.

OBJECTIVES:

To provide an organizational focus and stimulus for the highest quality cancer research that effectively promotes interdisciplinary cancer research aimed toward the ultimate goal of reducing cancer incidence, mortality and morbidity. The Cancer Center Support Grant (CCSG) provides the resources and infrastructure to facilitate the coordination of interdisciplinary programs across a broad spectrum of research from basic laboratory research to clinical investigation to population science. The CCSG supports salaries for scientific leadership of the Center, shared resources for funded center investigators, certain administrative costs, planning and evaluation, and developmental funds for new recruitments and feasibility studies.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

In general, CCSGs do not support research projects directly but are used to enhance the ongoing cancer research and to strengthen the existing research base according to the "Policies and Guidelines Relating to the Cancer Center Support Grant."

Applicant Eligibility:

Any nonprofit institution within the United States with a peer-reviewed cancer research base of 4.0 million dollars in direct costs may apply for a Cancer Center Support Grant.

Beneficiary Eligibility:

University, college, public agency or research institution in the U.S.

Credentials/Documentation:

The application requests documentation concerning the qualifications of the principal investigators and associates, pertinent budget information and justification for the support of this proposed grant. Methods to be utilized are outlined in the application materials. Costs will be determined in accordance with HHS Regulations 45 CFR, Part 75. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Method of Application: Form PHS-398 (Rev. June 2009) can be obtained from the grant.nih.gov/grants/forms.htm website (click on PHS-398). In addition, supplemental instructions for applications are contained in the Cancer Center Support Grant Guidelines, http://cancercenters.cancer.gov/grants_funding/index.html. Generally, the potential applicant should send a letter of intent to the National Cancer Institute, Office of Cancer Centers, submitting a CCSG application to the same address for review (see Guidelines for CCSGs). Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892.

Award Procedure:

Grants are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Core Grants: Approximately 10 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the

Institute. A description of the NIH Peer Review Appeal procedures is available on <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html> and NIH Policy Manual Release date: February 7, 2012 <http://oma.od.nih.gov/manualchapters/grants/54513/index.html>.

Renewals:

Same procedure as new applications.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 5 years of support may be requested. Grants may be awarded up to 5 years based on competitive peer review. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. An annual financial status report is requested 90 days after the end of the budget period. Special reports may be requested by DHHS. Terminal reports are required 90 days after the end of a project. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Cancer Center Support Grants.

Obligations:

(Project Grants) FY 16 \$545,296,000; FY 17 est \$544,194,000; and FY 18 est \$504,000,000 - Cancer Centers Support Grants.
FY2016 act. \$545,296,000
FY2017 est. \$544,194,000
FY2018 est. \$504,000,000.

Range and Average of Financial Assistance:

Range: \$76,675 to \$12,352,942
Average: \$2,512,885.

TAFS Codes:

75-0850.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In fiscal Year 2016, 217 total Centers were funded including 69 Centers, 50 SPORes, and 98 Specialized Centers. Fiscal Year 2017: Fiscal year 2017 will fund an estimated 219 total Centers that will include 69 Centers, 49 SPORes and 101 Specialized Centers. Fiscal Year 2018: Fiscal Year 2018 will fund 202 total Centers that will include 69 Centers, 49 SPORes, and 84 Specialized Centers.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 75; PHS Grants Policy Statement, DHHS Publication No. (OASH) 90- 50,000, (Rev.) October 1, 1990.

Regional or Local Office:

None. None.

Headquarters Office:

Shamala K. Srinivas 9609 Medical Center Drive
Seventh Floor, West Tower, 7W530, MSC 9750, Rockville, Maryland 20850
Email: shamala@mail.nih.gov Phone: 240-276-6442 Fax: 240-276-7682

Website Address:

<http://cancercenters.cancer.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications include the following: (1) An adequate base of established programs of high quality in laboratory and clinical cancer research; (2) research activity in a variety of disciplines, and evidence of high degree of interdisciplinary coordination, interaction and cooperation among center members; (3) appropriate and adequate organization and facilities for the conduct and evaluation of center activities; (4) a qualified director with adequate authority; and (5) an adequate commitment of the parent institution or institutions to the cancer center. The center should be recognized as a major element within the organizational structure.

93.398 CANCER RESEARCH MANPOWER

Cancer Manpower Grants

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 413 and 487, as amended, Public Law 100-607, 42 U.S.C. 285a, 42 U.S.C. 288.

OBJECTIVES:

To make available support to nonprofit and for-profit institutions interested in providing biomedical training opportunities for individuals interested in careers in basic, clinical, and prevention research important to the National Cancer Program.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Research Manpower program provides support for research training in all scientific fields related to cancer. The Ruth L. Kirschstein National Research Service Awards (NRSA) for Individual Fellows, are made directly to individuals for research training in biomedical sciences areas. In addition, the National Institutes of Health (NIH) will award the Ruth L. Kirschstein NRSA Institutional Research Training grants to institutions, to enable them to make NRSA awards to individuals selected by them. Each individual who receives a postdoctoral NRSA is obligated, upon termination of the award, to comply with certain service and payback provisions. Cancer Education Grants are designed to promote education programs carried out by organizations engaged in health-related education, research, or training, located in the United States, its possessions, or territories. Various Career awards are designed to sponsor the development of independent scientists. Individual short-term support for students is available under the Cancer Education Program.

Applicant Eligibility:

University, college, hospital, public agency, or nonprofit research institution for institutional grants and individuals for fellowships. The applicant institution must be able to provide the staff and facilities and be responsible for the selection of trainees and overall direction of the training. Selected awardees must be citizens of the United States or be admitted to the United States for permanent residency. Career and Cancer Education awards may be given to for-profit institutions.

Beneficiary Eligibility:

University, college, hospital, public agency, nonprofit research institution or for-profit institution.

Credentials/Documentation:

(1) Individual Ruth L. Kirschstein NRSA: The applicant's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. (2) Institutional Ruth L. Kirschstein NRSA: Applicants must show the objectives, methodology, and resources for the training program, the qualifications and

experience of directing staff, the criteria to be used in selecting individuals for award and a detailed budget and justification for the amount of grant funds requested. (3) Institutional Cancer Education Grants: Applicants must describe the organization and administration of the proposed program, list and define institutional objectives, methodology, resources and techniques of evaluation, as well as a justification of proposed expenditures. (4) For Career awards, the applicant must provide documentation on the candidate, sponsor, career development plan, research proposed, and institutional commitment. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for the Individual Ruth L. Kirschstein NRSA must be prepared using the PHS 416-1 application form and instructions for Individual National Research Service Award Fellowships (rev. 10/05). The PHS 416-1 is available at <http://grants.nih.gov/grants/funding/416/p416.htm>. For further assistance, direct inquiries may be made to GrantsInfo@nih.gov or (301) 435-0714.

Applications for the Institutional Ruth L. Kirschstein NRSA must be prepared using the PHS 398 Form (Revised 9/2004). Refer to Section IV of the instructions titled Instructions For Preparing An NRSA Application. The PHS 398 Form (Revised June 2009) is available at <http://grants.nih.gov/grants/forms.html>. Applications for Cancer Education and Career grants must be prepared using the PHS 398 Form. Refer to Section III of the instructions, Preparing An Individual CDA Application. Applicants are advised to consult the "Submitting an Application" section of the Program Announcement, since each award has distinct application criteria. Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 1040, Bethesda, Maryland 20892-7710 (Bethesda, MD 20817 for express/courier service).

Award Procedure:

Applications are reviewed for scientific merit by an appropriate study section, committee, or advisory council. If recommended for approval and a decision to make award is made, a formal award notice will be sent to the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 10 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available under the Review Criteria section of the NIH Office of Extramural Research website at http://grants2.nih.gov/grants/policy/nihgps_2003/NIHGPs_Part3.htm.

Renewals:

Cancer Education Grants may be made for periods of up to 5 years and renewals may be submitted when appropriate. Research training grants may be renewed for additional project periods of 5 years. Only the following Career awards are renewable: K05 and K24 only a one-time renewal after a 5-year project period, and the K12 up to 5 years (Trainees 2-7 years).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 5 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports

are required each year. An annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by the grantor. Terminal reports are required 6 months after the end of a project. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Cancer Manpower Research.

Obligations:

(Project Grants) FY 16 \$167,877,000; FY 17 est \$175,065,000; and FY 18 est \$151,056,000 - Cancer Research Manpower Grants
FY2016 act. \$167,877,000
FY2017 est. \$175,065,000
FY2018 est. \$151,056,000.

Range and Average of Financial Assistance:

Range: \$4,048 to \$1,097,810

Average: \$144,000.

TAFS Codes:

75-0850.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY2016, 545 individual full time training position awards (FTTP/awards) were made and 228 of the 545 were competing awards totaling \$9,511,000.

In FY2016, 144 institutional awards with 947 FTTPs were made and 45 of the 144 were competing awards totaling \$13,956,000.

In FY2016, 402 Career awards were made totaling \$71,601,000.

In FY2016, 74 Cancer Education awards were made totaling \$23,260,000.

Fiscal Year 2017: FY2017 Manpower Award estimation is 1,191 awards. Fiscal

Year 2018: FY2018 Manpower Award estimation is 1,082 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 6; 42 CFR 66; 45 CFR 75 Application kit, National Institutes of Health; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

See Regional Agency Offices. None.

Headquarters Office:

Shamala K. Srinivas 9609 Medical Center Drive
Seventh Floor, West Tower, 7W530, MSC 9750, Rockville, Maryland 20850
Email: shamala@mail.nih.gov Phone: 240-276-6442 Fax: 240-276-7682

Website Address:

<http://www.cancer.gov/researchandfunding/training>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include the assessment of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and

resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives.

93.399 CANCER CONTROL

Cancer Control Grants

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 410 and 412, Public Law 78-410, as amended, Public Law 99-500, Public Law 100-607, 42 U.S.C. 241, 42 U.S.C. 285 - 285a-1; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To reduce cancer risk, incidence, morbidity, and mortality and enhance quality of life in cancer survivors through an orderly sequence from research on interventions and their impact in defined populations to the broad, systematic application of the research results through dissemination and diffusion strategies. Primary emphasis is on the inclusion of cancer prevention and control intervention(s) in any proposed study. Cancer Prevention and Cancer Control research studies are classified into one of five phases: (1) Hypothesis development; (2) methods development and testing; (3) controlled intervention trials to establish cause-and-effect relationships; (4) research in defined populations; and (5) demonstration and implementation studies. Primary interests are in research on cancer control interventions in Phases 2 through 5, and on cancer prevention research in all phases. Cancer Prevention and Control programs include those in the following areas: (1) chemoprevention; (2) cancer communications; (3) nutrition, diet, and physical activity; (4) screening and early detection, including biomarker discovery, development and validation; (5) biobehavioral mechanisms; (6) tobacco control; (7) health disparities research; (8) supportive care and survivorship; (9) health services and outcomes research; and (10) surveillance research. Cancer Control uses Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) Programs to engage domestic small business concerns in federal research and development that has potential for commercialization. The goals of the SBIR & STTR Programs are to stimulate technological innovation, increase private-sector commercialization of federal research and development, increase small business participation in federally funded research and development, and foster participation by socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The STTR Program requires the small business concern to formally collaborate with a research institution in Phase I and Phase II of the program.

TYPES OF ASSISTANCE:

Project Grants; Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. Restrictions are imposed against the use of funds for entertainment, foreign travel (unless specifically authorized), office equipment, and other items not normally necessary for the effective prosecution of such research. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit, feasibility and commercial potential of a proposed research effort and to determine the quality of performance of the awardee. Phase II grants are for the continuation of the research initiated in Phase I, and are likely to result in commercial products or processes. Only SBIR Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I, and the scientific and technical merit and commercial potential of the proposed Phase II project. Only STTR Phase I awardees are eligible to receive Phase II support. The NIH Fast-Track mechanism provides additional assistance to applicants by expediting the decision and award of funding for scientifically meritorious applications for projects that have a high potential for

commercialization. The mechanism allows small businesses to submit applications for both Phase I and Phase II together for review as one application, with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and for the duration of the project period. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit, technical merit, and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concern (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. The Principal Investigator may be primarily employed by either the small business concern or the collaborating research institution at the time of award and for the duration of the project period. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit, technical merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

University, college, hospital, public agency, nonprofit research institutions or for-profit organizations will benefit.

Credentials/Documentation:

For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 75. For SBIR and STTR grants, the applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF424(R&R) (version as specified in the FOA) is used to apply for SBIR Phase I and Phase II, and STTR Phase I and Phase II. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Depending on the grant mechanism, either form PHS-398 (Rev. March 2016) or form SF424(R&R) (Version as specified in the FOA) is the standard application form. Both forms can be obtained online at <http://grants.nih.gov/grants/forms.htm>. The standard application forms, as furnished by DHHS. SBIR and STTR Grant Solicitations and SBIR Contract Solicitations may be obtained electronically through the NIH Small Business Funding Opportunities home page at <https://sbir.nih.gov/funding>. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. All competing SBIR and STTR grant applications should be submitted electronically to the NIH via Grants.gov (<http://www.grants.gov>).

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for remainder of

project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Regular Grants: Approximately 10 months. SBIR/STTR: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available online through the NIH home page at <https://grants.nih.gov/grants/peer-review.htm>.

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements average from 3 to 4 years, up to a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly Demand Payment System or an Electronic Transfer System. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. Annual financial status report is required 90 days after the end of a budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of a project. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Cancer Control.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Cancer Control Grants.

Range and Average of Financial Assistance:

Range 0 to 0

Average: 0.

TAFS Codes:

75-0850.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: It is estimated that 0 awards were made in FY2016. Fiscal Year 2017: It is estimated that 0 awards will be made in FY2017. Fiscal Year 2018: It is estimated that 0 awards will be made in FY2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants: 42 CFR 52; 45 CFR 75 and NIH Grants Policy Statement

Regional or Local Office:

None.

Headquarters Office:

Catherine M. Battistone 9609 Medical Center Drive
Seventh Floor, West Tower, 7W532, MSC 9750
, Rockville, Maryland 20850 Email: battiste@mail.nih.gov Phone:
240-276-6443 Fax: 240-276-7682

Website Address:

<http://cancercontrol.cancer.gov/>

RELATED PROGRAMS:

93.393 Cancer Cause and Prevention Research; 93.394 Cancer Detection and Diagnosis Research; 93.395 Cancer Treatment Research; 93.396 Cancer Biology Research; 93.398 Cancer Research Manpower

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives.

93.413 THE STATE FLEXIBILITY TO STABILIZE THE MARKET GRANT PROGRAM**FEDERAL AGENCY:**

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act (PPACA), Section 1003
For all authorizations/amendments, you must supply the Act or the Executive Order in order to continue.

OBJECTIVES:

The State Flexibility to Stabilize the Market Grant Program will provide a funding source to enhance the role of states in the implementation and planning for several of the Federal market reforms and consumer protections under Part A of Title XXVII of the Public Health Service Act (PHS Act). The State Flexibility to Stabilize the Market Grants will provide states with the opportunity to ensure their laws, regulations, and procedures are in line with Federal requirements, and enhance the states ability to effectively regulate their respective health insurance markets through innovative measures that support the pre-selected market reforms and consumer protections under Part A of Title XXVII of the PHS Act.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applicants may use grant funds for a variety of planning and implementation objectives related to the pre-selected market reforms and consumer protections under Part A of title XXVII of the PHS Act, including but not limited to implementing or enhancing policy form review, hiring or contracting with a clinician to review formularies, developing actuarial and economic analyses, and performing market scans of the respective states health insurance market to assess the current healthcare and coverage landscape. The Department of Health and Human Services funds for The State Flexibility to Stabilize the Market Grant Program may not be used for any of the following: cover the

costs to provide direct services to individuals; to match any other Federal funds; provide services, equipment, or supports that are the legal responsibility of another party under Federal or State law (e.g.: vocational rehabilitation or education services) or under any civil rights laws; supplant existing State, local, Tribal, or private funding of infrastructure or services such as staff salaries, etc.; provide goods or services not allocable to the approved budget; be used by local entities to satisfy state matching requirements; pay for construction; pay for capital expenditures for improvements to land, buildings, or equipment which materially increase their value or useful life as a direct cost, except with the prior written approval of the Federal awarding agency; pay for the cost of independent research and development, including their proportionate share of indirect costs (unallowable in accordance with 45 CFR 75.476); or to use as profit to any award recipient even if the award recipient is a commercial organization, (unallowable in accordance with 45 CFR 75.215(b)), except for grants awarded under the Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) programs (15 U.S.C. 638). Profit is any amount in excess of allowable direct and indirect costs.

Applicant Eligibility:

This Funding Opportunity Announcement is open to all fifty States and the District of Columbia for planning and/or implementing one or more of the three pre-selected market reforms and consumer protections in Part A of title XXVII of the PHS Act. Only one application per state is permitted, except in a state in which there is more than one regulating entity, each with a primary responsibility over the regulation of a portion of the private health insurance market.

Beneficiary Eligibility:

Grants to States' (including the District of Columbia) for planning and/or implementing the market reforms and consumer protections in Part A of title XXVII of the PHS Act.

Credentials/Documentation:

Applicants should review the CMS funding opportunity announcement documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. CMS requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the CMS program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Grant Award.

Deadlines:

Oct 27, 2017 to Dec 07, 2017 A Letter of Intent is mandatory and must be submitted by 5:00 pm Eastern Daylight Time on October 27, 2017. All grant applications must be submitted electronically and be received through <http://www.grants.gov> by 3:00 pm Eastern Daylight Time on December 7, 2017.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Section 1003 of the ACA provides for funding to be awarded between Fiscal Year 2010 and Fiscal Year 2014. Section 2794(c)(2)(B) of the Public Health Service Act specifies that if there are any appropriated Rate Review Grant

funds that are not fully obligated by the end of Fiscal Year 2014, such amounts shall remain available to the Secretary for grants to states for planning and implementing the insurance market reforms and consumer protections under Part A of title XXVII of the PHS Act. Refer to the Funding Opportunity Announcement for additional information on subsequent grants authorized and awarded under Section 1003 of ACA.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
This program has MOE requirements, see funding agency for further details.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grant funding for this funding opportunity announcement will be available through Fiscal Year 2019. See the following for information on how assistance is awarded/released: Awards will be created and released by GrantSolutions. Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Duration of grant program: March 30, 2018 March 29, 2019. Method of awarding/releasing assistance: quarterly.

Reports:

Semi-Annual and Final programmatic reports are required in the Funding Opportunity Announcement. Federal Financial Reports (FFR) must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to https://pms.psc.gov/grant_recipients/ffrinformation.html for additional information. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. Required Semi-Annual and Final Progress Reports. Grantees must provide HHS with information such as, but not limited to, project status, implementation activities initiated, accomplishments, barriers, and lessons learned in order to ensure that funds are used for authorized purposes. Such performance includes submission of the states progress toward the milestones identified in its Work Plan. HHS reserves the right to restrict funds for activities related to unmet milestones. Recipients must submit annual expenditures information through submission of a Federal Financial Report (FFR). All recipients are required to report on expenditures at least annually. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the final Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0112-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$8,000,000

Range and Average of Financial Assistance:

Grantees will receive a minimum of \$156,000 as a baseline award amount.

TAFS Codes:

75-0112.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to 2 CFR Part 200, Uniform Administrative

Requirements, Cost Principles, and Audit Requirements for Federal Awards implemented by HHS regulation 45 CFR Part 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards.

Regional or Local Office:

None. N/A.

Headquarters Office:

Jim Taing 200 Independence Avenue, SW, Hubert H. Humphrey Building, Washington, District of Columbia 20201 Email: james.taing@cms.hhs.gov Phone: 301-492-4182

Website Address:

<http://www.cms.gov/ccio/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in Section V--Application Review in the Funding Opportunity Announcement.

93.423 1332 STATE INNOVATION WAIVERS

1332 Waiver Program

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

The Affordable Care Act, Section 1332.

OBJECTIVES:

Under Section 1332 of the PPACA, states can apply for State Innovation Waivers and pursue innovative strategies to adapt many of the law's requirements to suit the state's specific needs. To receive approval, the state must demonstrate that a proposed waiver will provide access to quality health care that is at least as comprehensive and affordable as would be provided without the waiver, will provide coverage to at least a comparable number of residents of the state as would be provided coverage without a waiver, and will not increase the federal deficit. Before submitting its Section 1332 waiver application the state must also provide a public notice and comment period, including public hearings, sufficient to ensure a meaningful level of public input, and enact a law providing for implementation of the waiver. Under a Section 1332 waiver, a state may receive pass-through funding associated with the resulting reductions in federal spending on Marketplace financial assistance consistent with the statute.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Federal passthrough funding made available through Section 1332 must be used only to implement the waiver program. Passthrough funding is equivalent to the amount of premium tax credits (PTC) that would have been provided to individuals in a state under Section 36B of the Internal Revenue Code but will not be provided under the waiver program, while considering all federal revenue. Federal passthrough funding made available through Section 1332 must be used only to implement the waiver program. The passthrough funds cannot be obligated by the state prior to the waiver effective date. The state agrees to use the full amount of passthrough funding for purposes of implementing the states plan as approved by the Departments. To the extent any passthrough funding exceeds the amount necessary to implement the waiver program, the remaining funds must be rolled over and used for purposes of implement the states plan under the waiver. If the waiver is not extended or is suspended/terminated, unused passthrough funds will be returned to the Treasury promptly, and the state will comply with all the necessary steps to do so.

Applicant Eligibility:

This funding opportunity is only open to US States, Territories and Possessions.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

Written notification from HHS/CMS that a complete 1332 Waiver Application package has been submitted for review. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

N/A. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. N/A

Award Procedure:

OMB Circular N. A-102 applies. Applicants must complete a 1332 Waiver Program application package available at <http://XXXXXXXXXXXX>. This package must be submitted via Grants.gov. HHS/CMS will review the request and coordinate accordingly with the applicant. A review team will review the package and make recommendations. The final approval authority rests with the Secretary of Health and Human Services.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

No more than 180 days from the determination of application completeness of a 1332 Waiver Program application.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details. Maintenance of Effort requirements, as applicable, will be detailed in a grantees Special Terms and Conditions document. States will only receive federal passthrough funding assistance to which it has been calculated they are entitled in accordance with statutory and regulatory requirements, and are expected to supplement any remaining costs of the waiver program with state and/or other funding sources.

Length and Time Phasing of Assistance:

The passthrough funds cannot be obligated by the state prior to the waiver effective date. The state agrees to use the full amount of passthrough funding for purposes of implementing the states plan as approved by the Departments. To the extent any passthrough funding exceeds the amount necessary to implement the waiver program, the remaining funds must be rolled over and used for purposes of implementing the states plan under the waiver. The default waiver period is five years, but waivers can be extended beyond that timeframe. If the waiver is not extended or if it is suspended/terminated, unused passthrough funds will be returned to the Treasury promptly, and the state will comply with all the necessary steps to do so.

Assistance is in the form of a grant, and will be released using the Payment Management System on a quarterly basis. Method of awarding/releasing assistance: quarterly.

Reports:

Progress Reports, SF 424 and 424A SF425 quarterly and final. The state must submit annual and periodic reports pursuant to 31 CFR 33.120 and 33.124, as well as 45 CFR 155.1320 and 155.1324.

Annual reports must indicate:

- Progress of the waiver;
- Data sufficient to show compliance with Sections 1332(b)(1)(A)-(D) of the PPACA;
- A summary of the annual post-award public forum; and

- Other information consistent with the states approved terms and conditions.

Periodic reports must indicate progress as determined through periodic state-led reviews related to the implementation of the waiver. Expenditure Reports. Yes states must conduct periodic reviews and report on progress, including relevant data metrics, as laid out in the specific terms and conditions of the states waiver. Additionally, pursuant to 31 CFR 33.120(f) and 45 CFR 155.1320(f), at the request of the Departments of Health and Human Services and Treasury (the Departments), states must fully cooperate with the Departments or an independent evaluator selected by the Departments to undertake an independent evaluation of any component of the waiver. Finally, the state must participate in all monitoring calls with the Departments that the Departments deem necessary.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The draft and final annual reports are to be published on a States public Web site within 30 days of submission to and approval by the Secretary of Health and Human Services, respectively.

Account Identification:

20-0949-0-1-551 - 20-0949-0-1-551 APTC and CSR payments.

20-0951-0-1-551 SBTC payments.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 Estimate Not Available(Exp: TBD); and FY 18 Estimate Not Available(Exp: TBD)

Range and Average of Financial Assistance:

TBD.

TAFS Codes:

20-0949; 20-0951.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: N/A. Fiscal Year 2017: It is expected that 4 requests and 2 awards will be made in FY17. Fiscal Year 2018: It is expected that 8 requests and 8 awards will be made in FY18.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing the application process for State Innovation Waivers can be found at the Federal Register at 77 Fed. Reg. 11700 (Feb. 27, 2012). Among other things, these regulations provide guidance on how to apply for a waiver and what an application from a state must contain, including actuarial and economic analyses. The regulations detail public notice and comment requirements that a state must comply with before an application is submitted, including holding public hearings, in order to facilitate a meaningful level of public involvement, input, and transparency in the state application process. They also describe the Federal public notice and comment process that will occur after a completed application has been received. The regulations also describe the requirements for post-award reporting and the standards under which post-award monitoring will take place.

In December of 2015, the Departments of Health and Human Services and Treasury (Departments) released Federal Register guidance at 80 Fed. Reg. 11700 (December 16, 2015) that provided additional information on PPACA Section 1332s statutory requirements.

On May 11, 2017, Departments posted a 1332 waiver application checklist to help states as they develop and complete the required elements of their applications. This checklist follows a March 2017 letter from U.S. Health and Human Services Secretary Price to all states encouraging the use of innovative strategies to strengthen their health insurance markets.

Regional or Local Office:

None.

Headquarters Office:

Michelle Koltov 7500 Security Blvd., Baltimore, Maryland 21244 Email:

Michelle.Koltov@cms.hhs.gov Phone: 301-492-4225

Website Address:

https://www.cms.gov/CCHIO/Programs-and-Initiatives/State-Innovation-Waivers/Section_1332_State_Innovation_Waivers-.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: N/A. Fiscal Year 2017: Alaska 1332 Waiver Program request to establish a state-re-insurance program
Hawaii 1332 Waiver Program request to waive key SHOP requirements to implement the Hawaii Prepaid Healthcare Act. Fiscal Year 2018: N/A.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.424 NON-ACA/PPHFBUILDING CAPACITY OF THE PUBLIC HEALTH SYSTEM TO IMPROVE POPULATION HEALTH THROUGH NATIONAL NONPROFIT ORGANIZATIONS

CBA to Strengthen the Public Health Infrastructure and Performance

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under sections 301 and 317 of the Public Health Service Act (PHS Act), 42 U.S.C. 241 and 247b as amended. In addition, this program is authorized under sections 311, 317 (K) (2), and 1703, of Public Health Service Act [42 USC, 243, 247 (K) (2), and 300 (U)-(2)] as amended, and the Patient Protection and Affordable Care Act (PL 111-148), Title IV, Section 4002 (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs., Title IV, Section 4002, 42 U.S.C 242, 247.

OBJECTIVES:

This program will cover NON-ACA/PPHF-funded capacity building assistance projects under CDC-RFA-OT13-1302: Building Capacity of the Public Health System to Improve Population Health through National, Nonprofit Organizations. Applicant organizations will have the opportunity to compete for ACA/PPHF supported projects as well as high priority CDC projects. This program will assist state, tribal, local and U.S. territorial health departments, and other components of the public health system by making available to them capacity building assistance (including technical consultation, skills building/training, information, and technology transfer assistance) that will result in: systems and organizational efficiencies; a capable and qualified workforce; state-of-the-art information technology systems and integrated and standardized community and population data; improved planning, implementation and evaluation of evidence-based public health policies, laws, programs and services; results driven local and national public and private partnerships; and increased availability and accessibility of public health resources, such as publications, educational materials, syndicated website material, training curricula, assessments and evaluation tools, and other products for improvement of public health agencies and other agencies in the public health system.

The overall goal of capacity building assistance is to ensure improvements in the public health infrastructure so that it is prepared for responding to both acute and chronic threats relating to the Nations health such as emerging infections, disparities in health status, and increases in chronic disease and injury rates.

Capacity building assistance will focus on the provision of technical consultation, skills building/training, and information and technology transfer assistance to ensure successful planning, implementation, coordination and evaluation of the key areas for public health infrastructure investments. CBA may also be provided for CDC-funded projects which may include limited CBA to international public health agencies where there is a benefit to US public health efforts or to the US public health system. Investing in CBA is expected to help public health agencies and other public

health components fulfill their mission of protecting and promoting health in their communities and effectively performing essential public health services. Some of the capacity building needs are in the following areas:

Improving the public health workforce (e.g., e-learning, other training, and fellowship programs); vital statistics systems (electronic birth and death registration); food and water borne disease identification and prevention, prevention of hospital acquired infections, electronic health record/IT systems, communications systems and processes (e.g. information syndication and social media capacity), and laboratory and epidemiologic capacity.

Developing information systems to support adoption, implementation, enforcement, and/or evaluation of public health legislation, codes, rules, regulations, ordinances, and other policies.

Improving public health system development/redevelopment by building or re-engineering infrastructure to improve networking, coordination, standardization, and centralization of public health services to effectively and efficiently address priority health indicators.

Implementing best health care practices and conducting impact evaluation
Improving information and data system capacity through policy/communication for standardized data collection and analysis systems, information management technology, information dissemination, and meaningful data use and translation

Improving organizational capacity to effectively and efficiently use resources such as fiscal agents, and intermediaries, and

Improving technical and program guidance and evaluation of preventive services to expand coverage for community and clinical preventive services. This includes technical consultation and promotion on the adoption and utilization of the recommendations from the Guide for Community Preventive Services.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds will be used for costs associated with providing capacity building assistance to strengthen the infrastructure of State, Tribal, Local, and Territorial public health agencies and other components of the public health system. For the purposes of this program, other components of the public health system refers to specific professional constituencies (i.e., epidemiologists, community health nurses, health education and promotion specialists tribal health leaders, chronic disease directors, health information specialists, Medicaid/Medicare Directors, state/local public health financial officials, etc.) or key components of the public health system (i.e., community and neighborhood health centers, public health and primary care delivery systems, community and faith-based organizations, public health and accreditation boards, public health institutes, primary care residency programs, health insurance consortia, family/social services programs, maternal/child health associations, etc.). Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies (including training materials), and services, such as contractual.

Applicants will be required to participate, comply, and cooperate with CDC for any reporting requirements stipulated by, or mandated for, the receipt of funding. PPHF funding is intended to be used only in support of PPHF programs and projects.

Applicant Eligibility:

1. Eligible Applicants: Organizations with nonprofit 501(c)(3) or nonprofit 501(c)(6) IRS status (other than institutions of higher education).
2. Special Eligibility Requirements: The applicant organization must provide evidence of national scope of work and of public health charge or mission.
3. Justification for Less than Maximum Competition:

The program leadership in the Office of State, Tribal, Local and Territorial Support (OSTLTS) determined that in order to achieve its strategic priorities for strengthening the public health infrastructure and advancing the quality of public health decision making, OSTLTS will need to expand its capacity building assistance (CBA) efforts through national, non-profit organizations with experience and expertise providing capacity building assistance to governmental and non-governmental components of the public health system. Eligible applicants are limited to national, non-profit professional public health mission organizations with experience and expertise providing capacity building assistance (CBA) to governmental and non-governmental components

of the public health system.

The CDC is requesting the provision of capacity building assistance (CBA) to public health agencies and other public health entities across the United States and its territories in order to strengthen public health practice to improve health for all populations. These national public health mission organizations are the only entities positioned to effectively and efficiently execute on the expected capacity building outcomes, outputs, and activities outlined in the FOA. The characteristics that position these organizations are: 1) designated mission and experience working nationally, 2) demonstrated infrastructure, experience and expertise providing CBA, and 3) relationship to the public health system workforce across the United States and Territories.

Therefore, eligibility is limited to the above specified types of applicants/organizations that are expected to demonstrate significant experience and expertise providing capacity building assistance (CBA) to the target populations described in the attached OT13-1302 FOA.

This expertise is necessary for the grantee to effectively and efficiently complete the related activities and achieve the program outcomes described in the funding opportunity announcement.

Additionally the following capacities will facilitate the completion of projects in the specified timeframe: infrastructure to organize, conduct work and disseminate key outcomes; communicate key information to organization members, stakeholders and the public health community on a regular basis; leverage a wide array of resources among organization members and the public health community to expeditiously achieve results in a cost-effective manner; interact with other public health organizations; and act as a networking hub to build the capacity of governmental and non-governmental components of the public health system.

Beneficiary Eligibility:

Beneficiaries include state health departments; tribal health organizations; local health departments; the District of Columbia; U.S. Territories; and other components of the public health system. The general public will also serve as beneficiaries.

Credentials/Documentation:

The applicant organization must include evidence of national scope of work and of public health role or mission. Articles of incorporation, board resolution or by-laws are acceptable forms of evidence. The applicant must also provide evidence of federally assigned 501(c)(3) or 501(c)(6) status designation by submitting a copy of the current, valid Internal Revenue Service (IRS) determination letter. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Allowable costs will be determined in accordance with OMB Circular No. A-87 for non-profit non-governmental organizations.

Applicants must download application forms from www.grants.gov, and completed applications must be submitted electronically at www.Grants.gov.

If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov

Award Procedure:

1. Phase I Review: All eligible applications will be initially reviewed for completeness by CDC's Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by CDC/OSTLTS and PGO. Incomplete applications and applications that are

non-responsive to the eligibility criteria will not advance to Phase II review. Applicants will be notified if the application did not meet eligibility and/or published submission requirements.

2. Phase II (Initial Base-Funding) Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the Criteria section of the FOA. The applications will be compiled and reviewed according to the category for which applicants submitted their applications. Applicants will be notified electronically if the application did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review.

3. Phase III Review: In addition, the following factors may affect the funding decision during Phase II (Initial Base-Funding Competition) Review:

- a. Preference to avoid duplication of CBA services to the same target populations.
- b. Preference may be given to the funding of applicants that propose to provide CBA services to target populations not served by higher ranking applicants.
- c. Preference will be given to ensure funding of organizations that provide CBA services to target populations not duplicated in other CDC funding mechanisms.

CDC will provide justification for any decision to fund outside of ranked order of scores.

Final funding determinations will be based on application scores from the objective review panels and consideration for CDC's funding preferences.

4. Phase IV (Secondary CIO-Funding) Review: Applicants that are successful in Phase II (Initial Base-Funding) Review and are awarded funds will be eligible to participate in the Phase IV (Secondary CIO-Funding) Review for CDC-wide public health projects. Eligible awardees will receive an application packet detailing the Phase IV (Secondary CIO-Funding) application submission process upon receipt of the Phase II (Initial-Base Funding) Notice of Award. The awardees must submit stand-alone applications in response to a range of CBA projects that represent public health disciplinary areas and cross-cutting disciplines and/or topics. CIO-funded projects may also include limited CBA to international public health constituents where there is a benefit to U.S. public health efforts or to the U.S. public health system. The applications will be compiled and reviewed according to the Category and CIO project for which applicants submitted proposals. In the event a technical review is more efficient (i.e., there is one proposal submitted for a particular CIO project), the technical review will be held in place of an objective review.

Final funding determinations will be based on application scores from the objective review (and technical review) panels.

Deadlines:

Jan 07, 2013 to Mar 08, 2013

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding opportunity announcement and are subject to the availability funding under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

a. Reporting: Reporting allows for continuous program monitoring and identifies successes and challenges that awardees encounter throughout the award. Reporting is also necessary for awardees to apply for yearly continuation of funding. In addition, reporting is helpful to CDC and awardees because it:

- Helps target support to awardees, particularly for cooperative agreements.
- Provides CDC with periodic data to monitor awardee progress towards meeting the FOA outcomes and overall performance.
- Allows CDC to track performance measures and evaluation findings for continuous program improvement throughout the project period and to determine applicability of evidence-based approaches to different populations, settings, and contexts.
- Enables the assessment of the overall effectiveness and impact of the FOA.

As described below, awardees must submit one report per year; ongoing performance measures data, administrative reports, and a final performance and financial report. Below are the specific reporting requirements:

b. Annual Performance Report (due 120 days before the end of the budget period; serves as a continuation application). This report must not exceed 35 pages, excluding work plan and administrative reporting. Attachments are not permitted when submitting this report.

This report must include the following:

- Performance Measures (including outcomes): Awardees must report on performance measures for each budget period and update measures, if needed.
- Evaluation Results: Awardees must report evaluation results for the work completed to date (including any impact data).
- Work Plan (maximum of 25 pages): Awardees should update the work plan each budget period.

Successes

b. -Awardees must report progress on completing activities outlined in the work plan.

- Awardees must describe any additional successes (e.g., identified through evaluation results or lessons learned) achieved in the past year.

- Awardees must describe success stories.

Challenges

- Awardees should describe any challenges that hinder achievement of both annual and project period outcomes, performance measures, or their ability to complete the activities in the work plan.

- Awardees must describe any additional challenges (e.g., identified through evaluation results or lessons learned) encountered in the past year.

CDC Program Support to Awardees

- Awardees should describe how CDC could assist them in overcoming any challenges to achieve both annual and project period outcomes and performance measures, and complete activities outlined in the work plan.

Administrative Reporting (not subject to page limits)

- SF-424A Budget Information-Non-construction Programs

- Budget Narrative Must use the format outlined in Section IV. Content and Form of Application Submission, Budget Narrative Section

- Indirect Cost Rate Agreement

During years 25, awardees may request up to 75% of their estimated unobligated funds to be carried forward into the next budget period.

The carryover request must:

Express a bona fide need for permission to use an unobligated balance.

Include a signed, dated, and accurate Federal Financial Report (FFR) for the budget period from which the fund will be transferred (can request up to 75% unobligated balances).

Include a list of proposed activities, an itemized budget, and a narrative justification of those activities.

The applicant must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period.

c. Performance Measure Reporting: CDC programs must require awardees to submit performance measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data. CDC programs should

specify reporting frequency, required data fields, and format for awardees at the beginning of the award.

As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project.

d. Federal Financial Reporting: The Annual Federal Financial Report (FFR) SF 425 is required and must be submitted through eRA Commons within 90 days after the end of each budget period. The FFR should only include those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds and may not reflect any un-liquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to submit a letter explaining the reason and date by which the Grants Management Officer will receive the information.

e. Final Performance and Financial Report: At the end of the five-year project period, awardees should submit a final report to include a final financial and performance report. This report is due 90 days after the end of the project period and should not exceed 40 pages.

At a minimum, this report must include the following:

Performance Measures (including outcomes): Awardees should report final performance data for all performance measures for the project period.

Evaluation results: Awardees should report final evaluation results for the project period.

Impact/Results: Awardees should describe the impact/results of the work completed over the project period, including success stories.

The completed FFR (SF-425).

The report should be emailed to the CDC Project Officer and the GMS listed in Agency Contacts section of the FOA. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0959-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$99,246,021; FY 17 est \$95,000,000; and FY 18 est \$0 - This CFDA program announcement captures the Non-PPHF portion

of funding awarded to CDC-RFA-OT13-1302. CFDA number 93.524 captures the PPHF funding to this same cooperative agreement. The total of the two CFDA amounts equals the grand total of either actual or estimated funding in any given FY for this vehicle.

Range and Average of Financial Assistance:

The range is \$4 million to up to \$20 million for Category A, \$1 million to up to \$15 million for Category B and \$100,000 to up to \$5 million for Category C. The approximate average award ranges for the 12-month budget period are up to \$15 million for Category A, up to \$5 million for Category B and up to \$2 million for Category C.

TAFS Codes:

75-0959.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available for 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhsolicy.htm>

Evaluation activities such as submission of annual reports and site visit participation will be required to ensure awardees adhere to the program guidelines and regulations. Detailed report requirements are described in Funding Opportunity Announcement CDC-RFA-OT13-1302.

Regional or Local Office:

None.

Headquarters Office:

Samuel Taveras 1600 Clifton Road, MS-E70, Atlanta, Georgia 30333 Email: syt2@cdc.gov Phone: 770-488-1523

Website Address:

<http://www.cdc.gov/stltpublichealth/funding/rfaot13.html>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention Investigations and Technical Assistance; 93.292 National Public Health Improvement Initiative; 93.507 Strengthening Public Health Infrastructure for Improved Health Outcomes; 93.524 The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.432 ACL CENTERS FOR INDEPENDENT LIVING

CILs

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part C., Title VII., Part C, Section 725.

OBJECTIVES:

To support a Statewide network of centers for independent living (centers or CILs) and provide financial assistance to centers that comply with the standards and assurances in section 725(b) and (c) of the Rehabilitation Act of 1973, as amended (Rehabilitation Act) consistent with the design included in the State Plan for Independent Living for establishing a statewide network of centers. Centers are consumer-controlled, community-based, cross-disability, nonresidential, private nonprofit agencies that are operated in local communities by individuals with disabilities and provide an array of IL services that are designed to enhance independence and productivity of individuals with significant disabilities and to promote their full inclusion and integration into the mainstream of American society.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Federal funds awarded under this program, the CIL program, are used for the establishment and operation of centers for independent living which offer a wide range of independent living (IL) services. Services must include independent living core services which are (1) information and referral services, (2) independent living skills training, (3) peer counseling, including cross-disability peer counseling, (4) individual and systems advocacy and (5) services that (i) facilitate the transition of individuals with significant disabilities from nursing homes and other institutions to home and community-based residences, with the requisite supports and services; (ii) provide assistance to individuals with significant disabilities who are at risk of entering institutions so that the individuals may remain in the community; and (iii) facilitate the transition of youth who are individuals with significant disabilities, who were eligible for individualized education programs under section 614(d) of the Individuals with Disabilities Education Act (20 U.S.C. 1414(d)), and who have completed their secondary education or otherwise left school, to post-secondary life. As appropriate, IL services may include a combination of any other independent living services specified in Section 7(18) of the Rehabilitation Act. Section 721(b) of the Rehabilitation Act specifies that not less than 1.8 percent and not more than two percent of Federal funds appropriated for the CIL program must be reserved each fiscal year to provide training and technical assistance to centers for independent living and eligible agencies under grants, contract or cooperative agreements with entities who have experience in the operation of centers for independent living.

Applicant Eligibility:

Private non-profit organizations. An eligible agency under the CIL program is a consumer-controlled, community-based, cross-disability, nonresidential, private nonprofit agency or a state agency in states in which no eligible private non-profit organization applies for a grant. A States allotment for IL Part C funds is determined according to a population-based formula. To the extent funds are available, the Rehabilitation Act requires the Administrator to make awards based on a statutory order of priorities to the extent funds are available: (1) The Administrator shall support existing centers for independent living, as described in subsection (c), that comply with the standards and assurances set forth in section 725, at the level of funding for the previous year. (2) The Administrator shall provide for a cost-of-living increase for such existing centers for independent living. (3) The Administrator shall fund new centers for independent living, as described in subsection (d), that comply with the standards and assurances set forth in section 725.

Beneficiary Eligibility:

Individuals with significant disabilities as defined in section 7(21)(B) of the Rehabilitation Act. This refers to an individual with a severe physical or mental impairment whose ability to function independently in the family or community or whose ability to obtain, maintain, or advance in employment is substantially limited and for whom the delivery of independent living services will improve the ability to function, continue functioning, or move toward functioning independently in the family or community or to continue in employment, respectively.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted according to instructions specified in the Funding Opportunity Announcement found at www.grants.gov

Award Procedure:

New awards are made based upon the results of a competitive peer review process of applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Refer to the Funding Opportunity Announcement.

Appeals:

Not Applicable.

Renewals:

Projects may be continued based on the determination that the center has met the standards and assurances under section 725(b) and (c) of the Rehabilitation Act, and the availability of funds. Amounts of continuation awards are subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Existing projects are approved on an annual basis with funding on a twelve month basis. New projects are funded for three years with funding approved on a 12-month basis. See the following for information on how assistance is awarded/released: Annually.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year.

Records:

Records related to grant funding, compliance and performance must be maintained for a period of three years after completion of the grant activity and the final financial report is submitted or until all audit questions are resolved.

Account Identification:

75-0142-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 16 \$78,125,432; FY 17 est \$75,917,886; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Corinna Stiles 330 C Street, SW, Washington, District of Columbia 20201
Email: corinna.stiles@acl.hhs.gov Phone: 202-795-7446

Website Address:

<http://www.acl.gov/Programs/AoD/ILA/Index.aspx>

RELATED PROGRAMS:

93.369 ACL Independent Living State Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria for this program may be found in the Funding Opportunity Announcement at <http://www.grants.gov>.

93.433 ACL NATIONAL INSTITUTE ON DISABILITY, INDEPENDENT LIVING, AND REHABILITATION RESEARCH

(NIDILRR)

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

The Rehabilitation Act of 1973, Title II, as amended, Title II.

OBJECTIVES:

To support and coordinate research and its utilization in order to improve the lives of people of all ages with physical and mental disabilities, especially persons with severe disabilities, through: (1) identifying and eliminating causes and consequences of disability; (2) maximizing the health, physical, and emotional status of persons with disabilities and their functional ability, self-sufficiency, self-development and personal autonomy; (3) preventing or minimizing personal and family, physical, mental, social, educational, vocational, and economic effects of disability; and (4) reducing and eliminating physical, social, educational, vocational, and environmental barriers to permit access to services and assistance and to use their abilities in daily life.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grants, cooperative agreements, and contracts are awarded for research, demonstration, dissemination/utilization projects of national significance, and career training projects. All applications must meet standards of excellence in research and evaluation design. Fellowships support individual investigators in pursuing research in rehabilitation.

Applicant Eligibility:

States, public, private, or nonprofit agencies and organizations, institutions of higher education, and Indian tribes and tribal organizations are eligible for research projects and specialized research activities related to the rehabilitation of individuals with disabilities; fellowships may be awarded to individuals.

Beneficiary Eligibility:

Individuals with disabilities may benefit directly or indirectly from research and other activities conducted by grantees, such as technical assistance and dissemination.

Credentials/Documentation:

Applicants should present written evidence of other agencies' willingness to

cooperate when the project involves their acceptance or the utilization of their facilities or services. Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for state and local governments, and OMB Circular A-122 for non-profit organizations. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-110 applies to this program. NIDRR long- range plan serves as the basis for program announcements that are published in Grants.gov or posted on the ACL website. Proposed priorities may also be published in the Federal Register and Requests for Proposals published in the Commerce Business Daily.

Award Procedure:

New awards are made based upon the results of a peer review process and subsequent review and approval of applications by staff within the Department. Official notice of approved applications is made through issuance of a Notice of Grant Award or an official letter of fellowship award. Grants and fellowships are awarded by the Secretary, Health and Human Services.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Ranges from 30 to 120 days. Generally, solicited grants, fellowships, and contracts will be acted upon within 120 days.

Appeals:

Not Applicable.

Renewals:

Grantees must submit annual progress reports in order to receive continuation funding. Awards may be renewed annual for up to five years or to a lesser project period contingent upon the award specifications. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are awarded in 12 month budget periods. Support beyond the first 12 month budget period is contingent upon evidence that the grantee has made substantial progress toward achieving the objectives and outcomes for a project, and availability of appropriations. Awards may be made for a maximum of five years. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual reports of progress and annual expenditures are required on all projects. Comprehensive final reports are due 90 days after the end of the project. No performance monitoring is required; however, NIDRR has established an extensive system of performance monitoring involving is program officers in oversight of progress toward goals proposed in grant applications. These activities are designed to monitor for performance and research outcomes. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of

Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Proper accounting records, identifiable by grant or contract number including all receipts and expenditures, must be maintained for three years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0142-0-1-506.

Obligations:

(Cooperative Agreements) FY 16 \$103,970,000; FY 17 est \$103,970,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

The range and average vary greatly according to the competition.

TAFS Codes:

75-2015-2015-0142-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grant administrative policies for programs and fellowships may be obtained from the program office. NIDRR program regulations are contained in 34 CFR Parts 350-359.

Regional or Local Office:

None.

Headquarters Office:

Ruth Brannon 330 C Street, SW, Washington, District of Columbia 20201
Phone: 202.795.7313

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Objectives of projects and programs must be in consonance with and should contribute to achievement of the goals and objectives of the Institute. Proposed research and development activities must be rigorous and of high quality. Adequate facilities must be available or planned to carry out the specific project or program. Personnel must be trained and qualified to carry out the proposed programs, and staffing patterns must be appropriate. Demonstrations of adequate plans and procedures for ensuring the relevance to current needs in rehabilitation and the potential for project results must be effectively utilized. Commitment of available resources on the part of the applicant and evidence that the applicant has knowledge of rehabilitation issues as well as knowledge of past and present related research activities. A statement showing that the estimated cost to the government is reasonable in relation to anticipated project results. Demonstrate the ability and capacity to achieve stated goals. Demonstrate, coordinate and cooperate with regional programs, State agency programs, and private rehabilitation facilities. Specific weighted selection criteria are contained in program regulations. For fellowships, criteria are specified in program regulations, 34 CFR Part 356. These criteria include the education and experience of the individual and the quality of the fellowship proposal.

93.441 INDIAN SELF-DETERMINATION

(Indian Self-Determination 638 Contracts)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Title 1, Section 102, Public Law 93-638.

OBJECTIVES:

To enable Indian tribes to assume the management and operation of programs, functions, services, and activities (PFSA) for the delivery of health care to Indian people. To enter into a self-determination contract or contracts with Indian tribes or tribal organizations (T/TO) interested in transferring the responsibility for the administration and provision of health services from the government to tribal leadership.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds are for the tribes to provide the PFSA transferred from the Indian Health Service (IHS). The funds are not available for construction unless specifically authorized by Congress. Tribes can reallocate funds within their budget from one line item to another without contract modifications. Tribes also receive administrative funds for the contracted programs.

Applicant Eligibility:

Any federally-recognized tribe that formally requests, through a governing body action, a resolution, to enter into a self-determination contract or contracts for the purpose of planning, conducting, and administering programs, or portions thereof, including construction programs, provided that the T/TO submits a contract proposal that conforms to the requirements contained in 25 CFR 900.8 may apply.

Beneficiary Eligibility:

Indian tribes will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

The Agency shall, within 30 days of receipt of a notice of intent to contract, provide the tribe pre-proposal technical assistance, if requested to do so. The Agency will also notify the tribe of the amount of funds available to contract, under 106(a) of the ISDA, and begin planning for the transfer of responsibilities and funding to the tribe. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. A tribe or tribal organization may submit a proposal for a self-determination contract or a proposal to amend or renew an existing contract. Unless there is a declination issue the Secretary shall within 90 days after receiving the proposal, approve the proposal and award a contract to the tribe. Declination issues are: (A) The service to be rendered to the Indian beneficiaries of the particular program or function to be contracted will not be satisfactory; (B) adequate protection of trust resources is not assured; (C) the proposed project or function to be contracted for cannot be properly completed or maintained by the proposed contract; (D) the amount of funds proposed under the contract is in excess of the applicable funding level for the contract as determined under Section 106(a); or (E) the program, function, service, or activity (or portion thereof) that is the subject of the proposal is beyond the scope of programs, functions, services, or activities covered under paragraph (1) because the proposal includes activities that cannot lawfully be carried out by the contractor.

Award Procedure:

The agency negotiation team and the representatives of the tribe shall develop the agenda for the contract negotiation session(s). The agency negotiation team should assure that any issues identified during the proposal review stage, together with any agency recommendations for overcoming these issues, are raised at the negotiations. Title I contracts are generally awarded at the area office level. They are awarded for a definite period of time (1 to 3 years) or may be designated as "mature" and awarded for a definite or indefinite period of time.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Section 102 of the ISDA and Subpart L of the regulations are the basic sections covering the appeal procedures. Section 900.150 of 25 CFR lists the decisions a T/TO can appeal under Subpart L (25 CFR 900.150-169). The procedures here apply to those appeals. Also, 25 CFR Section 900.151 of the regulations describes appeals that are covered under Subpart N of the regulations and other appeals. The T/TO has three options in appealing a decision under 25 CFR 900-150. The T/TO can request an informal conference and/or can file a formal appeal. The T/TO also has the option of going directly to the Federal court at any time.

Renewals:

Annual funding agreements are renegotiated and renewed annually. Title I contracts may be designated as "mature" and awarded for a definite or indefinite period of time.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: A T/TO may use funds provided under a Title I contract to meet matching or close participation requirements under other Federal and nonfederal programs.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

n/a. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Section 5(f) of the ISDA requires a T/TO to submit an annual "Single Audit Act" as required by Chapter 75 of Title 31 U.S.C. OMB Circular No. A-133, Audits of States, Local Governments and Nonprofit Organizations, revised June 24, 1997, rescinded circular No. A-128 and superseded the Prior version of A-133. Revised circular No. A-133 now applies to T/TO.

Records:

Department of Health and Human Services (DHHS) and the Comptroller General of the United States or any of their authorized representatives, shall have the right to access any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS award, in order to make audits, examinations, excerpts, and transcripts. Grantees are required to maintain accounting records 3 years after the end of the budget period of the award. If any litigation, claim, negotiation, audit or other action involving the records shall be retained until completion of the action and resolution of all issues arising from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0391-0-1-551.

Obligations:

(Direct Payments for Specified Use) FY 16 \$458,327,678; FY 17 est \$458,327,678; and FY 18 est \$458,327,678

Range and Average of Financial Assistance:

\$31,963 to \$25,950,652; \$1,722,872.

TAFS Codes:

75-0391.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 93-638, as amended, Internal Agency Procedures Manual for Contracting Under Title I of the Indian Self-Determination and Education Assistance Act; Indian Health Circular No. 2000-01, "Contract Support Costs apply."

Regional or Local Office:

None. Program Contact: Jennifer Cooper, Acting Director, Office of Direct Service and Contracting Tribes, 5600 Fishers Lane, Mail Stop: 08E02, Rockville, MD 20857. Telephone: (301) 443-1104.

Grants Management Contact: Mr. Robert Tarwater, Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204, Fax (301) 594-0899.

Headquarters Office:

Grants Policy Office, 5600 Fishers Lane, Mail Stop: 09E70, Rockville, Maryland 20857 Email: grantspolicy@ihs.gov Phone: (301) 443-5204.

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants who meet the requirements of Section 102 of Public Law 93-638, as amended, and provide a proposal in accordance with 25 CFR 900 will be funded.

93.442 SPECIAL DIABETES PROGRAM FOR INDIANS (SDPI) DIABETES PREVENTION AND HEALTHY HEART INITIATIVE FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Balanced Budget Act of 1997, Public Law 105-33; Medicare, Medicaid, and SCHIP Extension Act of 2007, Public Law 110-173; Consolidated Appropriations Act of 2001, Public Law 106-554; Reauthorization of SDPI 2002, Public Law 107-360; Medicare Improvements for Patients and Providers Act of 2008, Public Law 110-275.

OBJECTIVES:

The Indian Health Service (IHS) announces a new initiative under the Special Diabetes Program for Indians (SDPI). This funding mechanism is a competitive cooperative agreement program that will provide funding to selected SDPI grantees for a demonstration project to implement and evaluate defined activities in one of two areas (primary prevention of diabetes or prevention of cardiovascular disease in people with diabetes).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds (including direct costs and allowable indirect costs) may be used only for expenses clearly related and necessary to carry out approved activities that will support the implementation and evaluation of demonstration projects under this competitive grant program.

Applicant Eligibility:

Applicants eligible to receive an award under this announcement are SDPI grant recipients. The applicant must be one of the following entities: IHS hospital or clinic, federally recognized Tribes, Title V Urban Indian Health Programs or consortia of any of the above. A SDPI grant recipient can apply only once as a single entity or as part of consortium. An application submitted by consortium of SDPI grant recipients must be submitted by one of the SDPI grant recipients sanctioned as the principal applicant. A letter of support must be included in the application from each SDPI grantee the principle applicant is representing. The letter must specifically state that the principal applicant is officially representing that SDPI grantee in this application. Applicants for consortium who do not submit these letters of support at the time of the

application receipt date will not be reviewed and are ineligible for the award. Smaller applicants are encouraged to apply as part of consortium, especially their diabetes registry is less than 250. Applicants are strongly encouraged to establish eligibility of their proposed applications prior to submission. Inquiries about eligibility should be addressed to Gordon Quam, SDPI Demonstration Projects Grant Project Officer, IHS Division of Diabetes Treatment and Prevention at 505-248-4182; email to diabetesprogram@ihs.gov. Applicants that are not SDPI grant recipients are not eligible. Non-profit tribal organizations or national/area health boards are not eligible, consistent with recent tribal consultation on this issue. Applications that do not meet these eligibility requirements will be returned to the applicant without further review.

Beneficiary Eligibility:

American Indians and Alaska Natives will be the ultimate beneficiaries of the funded demonstration projects through either prevention or direct treatment services.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Circular No. A-122, "Cost Principles for Non-Profit Organizations." 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications can be found at <http://www.grants.gov>. Applications will also be available on request from the IHS Division of Diabetes Treatment and Prevention at the following address: 5300 Homestead Road, NE, Albuquerque, NM 87110. Telephone: (505) 248-4182, fax 505-248-4188, email address: diabetesprogram@ihs.gov. The application will be posted on the IHS Division of Diabetes Treatment and Prevention web site at www.diabetes.ihs.gov and on the HHS Grants web site at <http://www.grants.gov>. Applicants may submit one application for one or both demonstration projects. A separate application is required for each demonstration project. Applicants will be funded for only one project (primary prevention of diabetes or prevention of cardiovascular disease in people with diabetes).

Tribal Approval of Application/Letter of Support: It is the policy of the IHS that all projects involving AIAN tribes be approved by the Tribal governments with jurisdiction. Therefore, the following documentation is required as part of this application: 1) For federally recognized Indian tribe - a resolution of support from the Tribal government must be a part of the application. Applications that involve more than one Indian tribe must include resolutions of support from all participating tribes. 2) For an eligible consortium of tribes - a resolution of support from each tribe of the consortium must be included. 3) For Title V Urban Indian Health Programs - a letter of support from the program's board must be included. 4) For IHS hospitals or clinics - a letter of support from the Service Unit Director or Chief Executive Officer must be included. 5) For all applicants - letters of support from all partners and collaborating entities.

The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center is open 24 hours a day, 7 days a week (except Federal holidays). If you require additional assistance please contact Tammy Bagley, Grants Policy Officer; Telephone: (301) 443-5204 at least ten days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us. Upon receipt, IHS will administratively review applications by completeness and responsiveness. Applications that are incomplete, non-responsive to this RFA, do not meet eligibility criteria or do not follow the guidelines of the SF 424 will be returned

to the applicant without further consideration. Applications will be evaluated for technical merit by appropriate peer review groups convened by the IHS National Diabetes Program in accordance with established criteria.

Award Procedure:

Applicants will only be eligible to receive one award for funding in one area (i.e. primary prevention of diabetes or prevention of cardiovascular disease in people with diabetes. Priorities for funding will be based on the technical merit of the application, the assessed potential of the applicant and the likelihood of the applicant to successfully implement the defined interventions. Awards will be made only to organizations with financial management systems and management capabilities that are acceptable under PHS Policy. Awards will be administered under the PHS Grants Policy Statement. Awards will be subject to the availability of funds and grants will be administered in accordance with applicable Office of Management and Budget (OMB) Circulars, Department of Health and Human Services grant regulations at 45 CFR Parts 74 and 92, the Public Health Service Grants Policy Statement and other applicable IHS policies and procedures such as the regulations governing protection of human subjects at 45 CFR Part 46. Grants Management will not award a grant without an approved application in conformance with regulatory and policy requirements and which describes the purpose and scope of the project to be funded. When the application is approved for funding, the Grants Management Office will prepare a Notice of Grant Award with special terms and conditions binding upon the award and refer to all general terms applicable to the award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The anticipated date for the announcement of selectees is 60 days after the application due date.

Appeals:

Not Applicable.

Renewals:

This funding was awarded as a cooperative agreement until FY 2015. No further renewals will be granted.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available during the stated Project Periods. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. Progress reports are required annually, semi-annually or quarterly depending on the time lines set by the IHS program. A final progress report is due 90 days after the end of each budget period and at the end of the final project period. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. IHS grants are monitored by the Division of Grants Management for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

All records of the grant must be retained for 3 years after submission of the final expenditure report. If questions remain, such as those resulting from an

audit, pertinent records must be kept until the matter is resolved. The Secretary, the Inspector General of the Department of the Health and Human Services, and the Comptroller General of the United States or any of their authorized representatives shall have the right to access all records, report, books, document, papers or other records of the grantee, contractor, or subcontractor or of any entity pertinent to the DHHS grant in order to make audits, examinations, excerpts and transcripts.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Program Contact: IHS Division of Diabetes Treatment and Prevention, 5600 Fishers Lane, Rockville, MD 20857; e-mail diabetesprogram@ihs.gov; Telephone: 1-844-447-3387.

Grants Management Contact: Mr. Robert Tarwater, Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204, Fax (301) 594-0899.

Headquarters Office:

Grants Policy Office, 5600 Fishers Lane, Mail Stop: 09E70, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204.

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.444 TRIBAL SELF-GOVERNANCE PROGRAM: PLANNING AND NEGOTIATION COOPERATIVE AGREEMENT
(Tribal Self Governance)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act (ISDEAA), 25 U.S.C. 458aaa-2(e)-(f); 42 C.F.R. 137.24-26.

OBJECTIVES:

To enable Federally recognized Indian tribes to enter into agreements that would allow them to assume programs, services, functions, and activities (PSFA) (or portions thereof) that the IHS would otherwise provide for Indians because of their status as Indians. To accomplish this objective, the Indian Health Service (IHS) offers Planning and Negotiation Cooperative Agreements each year for: (1) additional tribes interested in participating in the IHS Tribal Self-Governance Program, and (2) existing Self-Governance Tribes that would like to plan for the assumption of new and/or expanded programs. The Planning Cooperative Agreements allow Tribes to gather information to determine the current types and extent of PSFA and funding levels available within its service areas and to plan for the types and extent of PSFA and funding to be made available to the tribe under a compact. The Negotiation Cooperative

Agreements provide Tribes with additional funding to defray costs involved in and preparing to negotiate Self-Governance compacts pursuant to Title V of the ISDEAA.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding transferred through a Planning or Negotiation Cooperative Agreement must be used to either: (1) establish and operate programs to provide planning and negotiation resources to Tribes interested in participating in the IHS Tribal Self-Governance Program, or (2) expand current Self-Governance activity.

Applicant Eligibility:

For Planning Cooperative Agreements, any Federally recognized tribe that (1) formally requests, through a governing body action, a Planning Cooperative Agreement for the purpose of preparing to enter the IHS Tribal Self-Governance Program and (2) has furnished organization-wide single audit reports as prescribed by Pub. L. 96-502, the Single Audit Act of 1984, as amended, for the previous three years that contain no uncorrected significant and material audit exceptions. See OMB Circular No. A-133 (June 27, 2003 and June 26, 2007). For Negotiation Cooperative Agreements, in addition to the two criteria listed above, applicants must have successfully completed the planning phase as described at 42 C.F.R. 137.20.

Beneficiary Eligibility:

Federally recognized Indian tribes and tribal organizations (as defined in 25 U.S.C. 458aaa-(b)) may benefit.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The preferred method for receipt of applications is electronic submission through <http://www.Grants.gov>. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support by telephone at (800) 518-4726 or by electronic mail at support@grants.gov. Customer Support is available 24 hours a day, 7 days a week (except for Federal Holidays). If problems persist, please contact Paul Gettys, Division of Grants Management Systems Coordinator by telephone at (301) 443-2114 or by e-mail to Paul.Gettys@ihs.gov at least ten (10) days prior to the application deadline. To submit an application electronically, please use the Internet Website located at <http://www.Grants.gov>. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. An electronic copy of a grant application submitted by electronic mail to the IHS will not be accepted.

Award Procedure:

Cooperative agreements are made directly by the IHS to the Indian tribal applicants, based on results of an Objective Review process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Within 45 days of IHS deadline.

Appeals:

From 30 to 60 days. Per 42 C.F.R. 137.416, decisions relating to the award of Cooperative Agreements may be appealed under 45 C.F.R. Part 5.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Federal cash transaction reports are due 30 days after the close of every calendar quarter to the Payment Management Service (PMS), Payment Management Branch. Please refer to the PMS website (<http://www.dpm.psc.gov/>) for additional guidance. Progress reports are required to be submitted semi-annually and within 30 days after the budget period ends. A final report must be submitted within 90 days of expiration of the budget/ project period. Financial status reports are required to be submitted quarterly within 30 days after the budget period ends. Final financial status reports are due within 90 days of expiration of the budget/project period. Grantees must submit the reports consistent with the applicable deadlines identified in the Standard Grant Conditions. Failure to submit required reports within the time allowed may result in suspension or termination of an active grant, withholding of additional awards for the project, or other enforcement actions such as withholding of payments or converting to the reimbursement method of payment.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

HHS and the Comptroller General of the United States, or any of their authorized representatives, shall have the right to access any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS award, in order to make audits, examinations, excerpts and transcripts. Grantees are required to maintain accounting records 3 years after the end of the budget period of the award. If any litigation, claim, negotiation, audit or other action involving the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$887,328; FY 17 est \$840,000; and FY 18 est \$840,000

Range and Average of Financial Assistance:

Range \$48,000 to \$120,000.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Indian Self-Determination and Education Assistance Act (ISDEAA), 25 U.S.C. 458aaa-2(e)-(f); 42 C.F.R. 137.24-26.

Regional or Local Office:

None. Program Contact: Ms. Anna Johnson, Policy Analyst, Office of Tribal Self-Governance, Indian Health Service, 5600 Fishers Lane, Mail Stop: 08E05, Rockville, Maryland 20857. Phone: (301) 443-7821 Fax: (301) 443-1050.

Grants Management Contact: Mr. Robert Tarwater, Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204, Fax (301) 594-0899.

Headquarters Office:

Grants Policy Office, 5600 Fishers Lane, Mail Stop: 09E70, Rockville, Maryland 20857 Email: grantspolicy@ihs.gov Phone: 301-443-5204.

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Introduction and Need for Assistance; Project Objective(s), Work Plan and Approach; Program Evaluation; Organizational Capabilities, Key Personnel and Qualifications; Categorical Budget and Budget Justification.

93.448 FOOD SAFETY AND SECURITY MONITORING PROJECT

FERN Grant Program

FEDERAL AGENCY:

Food and Drug Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Security and Bioterrorism Preparedness and Response Act of 2002, Public Law 107-188.

OBJECTIVES:

To complement, develop and improve State, Indian Tribal, and local food safety and security testing programs for equipment, supplies, personnel, training, and facility upgrades to FERN microbiological, chemical, and radiological laboratories to establish additional reliable laboratory sample analysis capacity and analysis of surveillance samples.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The FERN cooperative agreements are intended to target state, local, and tribal FERN laboratories to provide increased analytical capacity and expertise (including method validation) in the event of food outbreaks or other large-scale food emergency events requiring surge capacity testing of implicated food samples. These funds cannot be used to fund or conduct food inspections for food safety regulatory agencies. They may not be used for new building construction, however remodeling of existing facilities is allowed, provided that remodeling costs do not exceed 25% of the grant. Funding restrictions are noted in the Funding Opportunity Announcement and the Terms and Conditions of Award.

Applicant Eligibility:

These cooperative agreement and grants programs are only available to State, Local and Tribal government Food Emergency Response (FERN) laboratories.

Beneficiary Eligibility:

Successful programs will benefit the general population as more rapid and reliable food testing to enhance food safety efforts is developed. The FERN laboratory network will also benefit from better testing methods, equipment and training.

Credentials/Documentation:

Applications must present a project plan and provide evidence that their facilities, personnel and equipment are appropriate to carry out the plan. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The required application forms as referenced in the Funding Opportunity Announcement (FOA) and required by 45 CFR 72, and as posted in Grants.gov must be used for this program. The FOA may be published in the NIH Guide or Federal

Register. All applications submitted for new, competing continuations or competing supplemental grants must complete the required applications forms through Grants.gov at <http://www.grants.gov>.

Award Procedure:

All accepted applications receive a programmatic and objective review. All approved and recommended applications will be scored and compete for available funds on the basis of score, programmatic/scientific merit and program relevance. Geographic distribution will be a consideration in the award selection process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. 90-120 days.

Appeals:

From 15 to 30 days.

Renewals:

From 90 to 120 days. The Funding Opportunity Announcement will indicate if "Renewals" are accepted. Procedures are the same as for new applications.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of support will depend on the nature of the project, program relevance and the availability of funds. For those projects with an expected duration of more than 1 year, future recommended support, up to five years will depend on: 1) performance during the preceding year and, 2) the availability of Federal funds. See the following for information on how assistance is awarded/released: Recommended and approved projects will receive a Notice of Grant Award electronically or in hard copy.

Funds are made available through the Payment Management System (PMS).

Reports:

Program reports are required as stated in the Funding Opportunity Announcement and the Terms and Conditions of Award. Cash reports are not applicable. Progress Reports are required as stated in the Funding Opportunity Announcement and the Terms and Conditions of Award. Expenditure reports are required as stated in the Funding Opportunity Announcement and the Terms and Conditions of Award. Performance monitoring is required as stated in the Funding Opportunity Announcement and the Terms and Conditions of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Progress reports, financial and programmatic records, supporting documents, statistical records and all other records that are required in the terms and conditions of the grant, or may be considered pertinent to grant expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the required final financial reports for the project period. See 45 CFR 74.53 and 92.42 for exceptions and qualification to the 3 year retention requirement. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

Account Identification:

75-0600-0-1-554.

Obligations:

(Cooperative Agreements) FY 16 \$9,827,730; FY 17 est \$9,754,769; and FY 18 est \$9,754,769

Range and Average of Financial Assistance:

\$240,000 - \$618,000 total cost per award per year (for direct and indirect costs and supplemental funds as necessary, reasonable and allocable) for up to five years as referenced in the Funding Opportunity Announcement or available.

TAFS Codes:

13-0550.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR Part 52-Grants for Research Projects; 45 CFR Part 74 - Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospital, Other Nonprofit Organizations and Commercial Organizations; and certain grants and agreements with States, Local Governments and Indian Tribal Governments; 45 CFR Part 92-Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; the HHS Grants Policy Statement (most recent update) available at <http://dhhs.gov/asfr/ogapa/grantinformation/hhsgps107.pdf> ; Executive Order 12372, Intergovernmental Review of Federal Programs as implemented through 45 CFR Part 100-Intergovernmental Review of Department of Health and Human Services Programs and Activities.

Regional or Local Office:

See Regional Agency Offices. See Headquarters Office below.

Headquarters Office:

Matthew Avis 12420 Parklawn Drive, Room 3042
, Rockville, Maryland 20857 Email: Matthew.Avis@fda.hhs.gov Phone: 301-796-5830

Website Address:

<http://www.fda.gov/ForFederalStateandLocalOfficials/CooperativeAgreements/CRADAsGrants/default.htm>

RELATED PROGRAMS:

93.103 Food and Drug Administration_Research; 93.449 Ruminant Feed Ban Support Project

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications may be scored and ranked based on the following criteria:

- a) Ability of the project to improve scientific knowledge and technical capability including concepts, methods, and technologies that drive this field; b) Suitability of principal investigator, collaborators, and other researchers for this project, including organizational knowledge and training of the Incident Command System; c) Appropriateness of the overall strategy, methodology, and analyses to accomplish the specific aims of the project. Willingness of the organization to participate in FERN programs including but not limited to method development, food defense and food safety assignments, training, and proficiency testing. Expertise in desired techniques and technologies; d) Adequacy of the facilities, support services, quality control and quality assurance procedures and practices for food and environmental sample analysis.

93.449 RUMINANT FEED BAN SUPPORT PROJECT

BSE Grant Program

FEDERAL AGENCY:

Food and Drug Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Security and Bioterrorism Preparedness and Response Act of 2002, Public Law 107-188.

OBJECTIVES:

To assist in an increased surveillance presence throughout the commercial feed channels to prevent the introduction or amplification of Bovine Spongiform Encephalopathy (BSE) in the United States.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are used for location and visitation of firms involved in the manufacture, distribution and transportation of animal feed, and operations feeding ruminant animals in their jurisdiction, to verify compliance with the ruminant feed ban. Visitations could include annual inspections of renderers, protein blenders and feed mills that manufacture animal feeds and feed ingredients utilizing materials prohibited under the ruminant feed ban that are not covered under existing FDA contracts and other agreements. Funds can be used for inspections of salvagers of food and feed, and transporters of animal feed and feed ingredients, supplies, training, laboratory equipment for feed sample testing, and as a supplement to the annual State program appropriations. Funds may not be used to replace annual State program funds for these purposes. Specific funding restrictions are as noted in the Funding Opportunity Announcement (FOA) and the Notice of Grant Award.

Applicant Eligibility:

This cooperative agreement grant program is only available to State and Tribal Feed/BSE regulatory programs.

Beneficiary Eligibility:

The general public, State and Tribal Feed/BSE regulatory programs.

Credentials/Documentation:

Applications must present a project plan and furnish evidence that facilities, personnel and equipment are appropriate to carry out the project specific objectives as stated in the Funding Opportunity Announcement (FOA) as published in the Federal Register or NIH Guide. These awards are subject to Executive Order 12372, as it applies. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The application forms referenced in the Funding Opportunity Announcement (FOA) as required by 45 CFR 72 must be used for this program. The FOA may be published in the NIH Guide or Federal Register. All applications submitted for new, competing continuations or competing supplemental grants must complete the required applications forms through [Grants.gov](http://www.grants.gov) at <http://www.grants.gov>.

Award Procedure:

All accepted applications receive a programmatic and objective review for technical merit and responsiveness to the Funding Opportunity funding criteria. All approved, recommended and scored applications compete for available funds on the basis of score, scientific/technical merit as well as programmatic relevance. Geographic distribution will be a consideration in the award selection process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 15 to 30 days.

Renewals:

Renewals are available if allowed in the most recently published Funding Opportunity Announcement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of support will depend on the nature of the projects, program relevance and the availability of funds. For those projects with an expected duration of more than 1 year, future recommended support for up to 5 years will depend on (1) performance during the preceding year and (2) availability of Federal funds. See the following for information on how assistance is awarded/released: Notice of Award will be provided electronically or in hard copy.

Reports:

Program Reports are required as noted in the FOA and the Terms and Conditions of Award. Cash reports are not applicable. Progress Reports are required as stated in the FOA and the Terms and Conditions of Award. Expenditure Reports are required as stated in the FOA and the Terms and Conditions of Award. Performance Monitoring is required as stated in the FOA and the Terms and Conditions of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Awarded grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Progress reports, financial and programmatic records, supporting documents, statistical records and all other records that are required by the terms and conditions of the grant or may be considered pertinent to a grant expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the required final financial reports for the project period. See 45 CFR 74.53 and 92.42 for exceptions and qualification to the 3 year retention requirement. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

Account Identification:

75-0600-0-1-554.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-0550-376.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR Part 52-Grants for Research Projects; 45 CFR Part 74 - Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospital, Other Nonprofit Organizations and Commercial Organizations; and certain grants and agreements with States, Local Governments and Indian Tribal Governments; 45 CFR Part 92-Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; the HHS Grants Policy Statement (most recent revision); Executive Order 12372, Intergovernmental Review of Federal Programs as implemented through 45 CFR Part 100--Intergovernmental Review of Department of Health and Human Services Programs and Activities.

Regional or Local Office:

See Regional Agency Offices. See item 152 Headquarters Office below.

Headquarters Office:

Matthew Avis 12420 Parklawn Drive, Room 3042, Rockville, Maryland 20857
Email: Matthew.Avis@fda.hhs.gov Phone: 301-796-5830

Website Address:

<http://www.fda.gov/ForFederalStateandLocalOfficials/CooperativeAgreements/CRADAsGrants/ucm234348.htm>

RELATED PROGRAMS:

93.103 Food and Drug Administration_Research; 93.448 Food Safety and Security Monitoring Project

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed for responsiveness by the Grants Management Staff and the Office of Regulatory Affairs (ORA). To be responsive, the application must be submitted in accordance with the requirements of the FOA as published in the NIH Guide or the Federal Register. All responsive applications will be reviewed by an Objective Review committee. Each application will be scored and ranked based on the following criteria 1) availability of adequately trained staff; 2) detailed description of current feed regulatory program; 3) properly detailed budget that is intended to supplement State or Tribal appropriations; 4) accurate count of all feed facilities, etc., in the State or Tribal nation; 5) detailed description of how inspections are to be performed; 6) detailed descriptions of how current, non-inspected facilities will be identified and added to the State's inspection responsibilities; 7) ability to satisfy the reporting requirements; 8) provision of current funding level certification; 9) detailed methodology for program improvement; 10) justification for hiring new staff; and 11) detailed description of the enforcement of violative facilities; under the cooperative agreement all funding will be made by the Commissioner of the Food and Drug Administration or his designee.

93.452 HEALTH IMPROVEMENT FOR RE-ENTERING EX-OFFENDERS INITIATIVE (HIRE) HIV/AIDS

HIRE Program

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, as amended, Title XVII, Section 1707 (e)(1), 42 U.S.C. 300u et seq., 42 U.S.C 300u et seq.

OBJECTIVES:

The HIRE Program seeks to improve the HIV/AIDS health outcomes of ex-offenders re-entering the mainstream population (Re-entry Population) by supporting community-based efforts to ensure their successful transition from state or federal incarceration back to their communities. It is expected that applicants will ensure that ex-offenders returning to their communities from incarceration or detention are sufficiently prepared to: maximize their chances for successful reintegration; seek to eliminate the structural and medical barriers to reintegration that are necessary to preserve public safety; acknowledge the roles that families and communities play in the reentry process and ensure that they are sufficiently prepared to take on those responsibilities; and collect the data needed from pre-release and post-release prison medical reports to better evaluate the effectiveness of the project and assist ex-offenders affected by HIV/AIDS.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used to support projects or activities consistent with the mission of the Office of Minority Health of the U.S. Public Health Service, and that facilitate improvement in the HIV/AIDS health outcomes of ex-offenders re-entering the mainstream population. Funds are not to be used for the provision of health care treatment, for construction, or to supplant ongoing project activities. Grant funds may only be used to support activities outlined in the approved project plan. The allowability, allocability, reasonableness, and necessity of direct and indirect costs may be charged to HHS/OASH grants in accordance with Department regulations and policy effective at the time of the award. Current requirements are outlined at 45 CFR Part 75 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards, 79 Federal Register 75871 (December 19, 2014).

Applicant Eligibility:

To qualify for funding, an applicant organization must: be located within one of the five targeted states with the highest incidence of inmates known to be infected with HIV or of inmates to have confirmed AIDS in state and federal prisons at year end 2008: Florida, New York, Texas, California, or Georgia. Furthermore, the organization must have a minimum of five years experience in: a) providing services to the re-entry population; and b) implementing HIV/AIDS-related health and support services. Additionally, the eligible organization must be a:

Private nonprofit community-based, minority-serving organization which addresses health, human or correctional services; or
Public entity (local government) which addresses health, human or correctional services; or
Tribal governmental entity which addresses health, human or correctional services; or
Faith-based organization which provides comprehensive pre-release, transitional or reentry services.

Beneficiary Eligibility:

The HIRE Program places primary focus on the reentry populations in five targeted states, with special emphasis on the following reentry subpopulations: substance abusers, men who have sex with men, and individuals impacted by mental health disorders.

Credentials/Documentation:

Proof of nonprofit status, if applicable, is required as part of the application submission. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. To apply, access the announcement thru www.grants.gov. Enter the CFDA# and follow the website instructions. Applications submitted after the deadlines will not be accepted for review. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via www.grants.gov. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review.

Award Procedure:

Applications will be screened upon receipt. Those that are deemed to be ineligible will be returned to the applicant. Accepted applications will be evaluated by a technical review panel composed of independent experts. The final funding decision will be determined by the Agency Director, who will take into consideration the recommendations of the review panel; programmatic needs, stated preferences; geographic location; and recommendations of DHHS Regional Health Coordinators. All applicants will be notified in writing of actions taken on their applications. Applications that are approved and funded will be issued A Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

180 Days. The grant will have a 4-year project period with 12-month budget

periods.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants can have a project period not to exceed 4 years, with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash request basis or under a letter of credit. Necessary instructions regarding payment procedure will be provided at the time the Notice of Grant Award is issued. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program progress reports are required quarterly. Grantees must submit quarterly SF425 Federal Financial Reports to Payment Management Services due on one of the standard due dates on which cash reporting is required or at the end of a calendar quarter. The FFR is due 30 days after the end of each calendar quarter. End-of-year progress reports are required and are due 90 days after the end of each budget period. Grantees must submit quarterly SF425 Federal Financial Reports to the Office of Grants Management 30 days after the end of each calendar quarter and an annual FFR 90 days after the end of the budget period/project period end date. Reports are to be submitted electronically through GrantSolutions. A Final Performance Report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

HHS and the Comptroller General of the United States or any of their designated authorized officials shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. Grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 Not Available(Exp: Program project ended 8/31/16); FY 17 Estimate Not Available; and FY 18 Estimate Not Available - HIV/AIDS Health Improvement for the Re-entry Population Demonstration Program HIRE program project period ended 8/31/2016.

Range and Average of Financial Assistance:

\$200,000 to \$250,000.

TAFS Codes:

75-09-\$2.0-\$2.0-42.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the application instructions found on www.grants.gov, and the HHS Grants Policy Statement.

Regional or Local Office:

See Regional Agency Offices. Division of Program Operations, Office of Minority Health, Tower Building, Suite 600, 1101 Wootton Parkway, Rockville, Maryland 20852. Telephone: (240) 453-8444.

Headquarters Office:

Brenda C. Donaldson Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: Brenda.Donaldson@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.minorityhealth.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Program Announcement or can be obtained from the Program contact. Listed below are some criteria used to review applications: (1) consistency of projects objectives relevant to the Program purpose and the federal Office of Minority Healths mission; (2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; (3) strength of proposed grant organizations management capability; (4) adequacy of qualifications and experience of proposed personnel; and (5) strength of analysis of potential impact or innovation the project proposes to generate.

93.456 CDC UNDERGRADUATE PUBLIC HEALTH SCHOLARS PROGRAM (CUPS): A PUBLIC HEALTH EXPERIENCE TO EXPOSE UNDERGRADUATES INTERESTED IN MINORITY HEALTH TO PUBLIC HEALTH AND THE PUBLIC HEALTH PROFESSIONS FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Sections: 207(f), 301 and 1704 of the Public Health Service Act, [42 U.S.C. Sections 209(f), 241, and 300u-3], as amended., Executive Order No applicable , 42 U.S.C.

OBJECTIVES:

The purpose of this funding opportunity is to implement a national summer training program to introduce undergraduate and graduate students, including but not limited to those from under-represented and underserved racial and ethnic minority populations, to public health and biomedical sciences.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used for recruitment, orientation, placement, mentorship and follow-up tracking of undergraduate and graduate students. Funds are not be used for research.

Applicant Eligibility:

Funds are to be used for recruitment, orientation, placement, mentorship and follow-up tracking of undergraduate and graduate students.

Beneficiary Eligibility:

OMHHE will collaborate with educational institutions, including those serving minority populations, to increase the knowledge, diversity, and skills of students in public health through internship and fellowship programs.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Office of Minority Health and Health Equity, will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

Jun 01, 2017 The deadline for applications is 06/01/2017, 11:59 p.m. U.S. Eastern Standard Time, at <http://www.grants.gov>.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

The project period is generally limited to 5 years. Within a project period, continuation applications must be submitted annually on a noncompeting basis for each year of support.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The cooperative agreements will have a project period not to exceed 5 years, with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Necessary instructions regarding payment procedure will be provided at the time the award is issued. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. The awardee must submit an annual performance report (APR) via www.grants.gov no later than 120 days before the end of the budget period. The annual FFR form (SF-425) is required and must be submitted 90 days after the end of the calendar quarter in which the budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information by the due date may adversely affect the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation to OGS and include the date by which the Grants Officer will receive information. A final performance report is due 90 days following the end of the project period.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. 2 CFR 200, Subpart F - Audit Requirements.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 75.361 grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$2,745,067; FY 17 est \$2,745,067; and FY 18 est \$2,745,067

Range and Average of Financial Assistance:

\$2,745,067 to \$3,000,000.

TAFS Codes:

75-2160.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Glynnis Taylor 2900 Woodcock Boulevard , Atlanta, Georgia 30013 Email: gld1@cdc.gov Phone: 7704881387

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria is published in the Funding Opportunity Announcement (FOA) in www.grants.gov. Listed are the criteria used to review applications: (1) approach; (2) evaluation and performance measurement; and (3) applicant's organizational capacity to implement the approach.

93.464 ACL ASSISTIVE TECHNOLOGY

State AT Grants

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Assistive Technology Act of 1998, As Amended.

OBJECTIVES:

The 2004 amendments to the Assistive Technology Act of 1998 supports State efforts to improve the provision of assistive technology to individuals with disabilities through comprehensive statewide programs of technology-related assistance, for individuals with disabilities of all ages.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants are awarded to carry out the functions authorized under the Act. States may provide assistance to Statewide community-based organizations or directly to individuals with disabilities of all ages. Technical assistance contracts support States and other entities. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under the Act. Less than 4%.

Applicant Eligibility:

States, including the District of Columbia, Puerto Rico, and outlying areas may apply. Applicants are designated by Governors.

Beneficiary Eligibility:

Individuals with disabilities, States, and community-based organizations providing services to individuals with disabilities will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State

and local governments and OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

State plans are reviewed by ACL staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: In accordance with the Act Federal funds are distributed on the basis of a statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual formula grants. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual reports are required. Quarterly cash reports are required. Progress reports are not applicable. Annual expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Recordkeeping requirements and regulations are included in the statute.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 16 \$26,554,000; FY 17 est \$26,554,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

To Be Determined.

TAFS Codes:

75-0142-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Over 73,000 individuals participated in State AT Device Demonstrations conducted by AT programs. Over 54,000 AT devices were loaned on a short-term basis through Device Loan Programs. Close to 80,000 AT devices were re-utilized, saving consumers \$31,000,000 by obtaining a gently used or refurbished AT device rather than a new one. 88 percent of recipients of State Financing-indicated an AT device could not be obtained without the assistance of the state financing activity. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for information.

Regional or Local Office:

None.

Headquarters Office:

Robert Groenendaal 330 C Street SW Room 1317B, Washington , District of Columbia 20201 Email: Robert.Groenendaal@acl.hhs.gov Phone: 202-795-7356

Website Address:

<https://www.acl.gov/node/411>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Each state and territory receives a state grant to operate an Assistive Technology Act program. The program helps people learn about assistive technology that may support them with aspects of their life and provides device demonstration and loan programs that enable people to try assistive technology and determine if it is helpful to them prior to acquiring or purchasing the AT devices or services. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are included in the regulations. Contact the Program Office for more information.

93.465 TOBACCO PREVENTION AND CONTROL LEGAL TECHNICAL ASSISTANCE

Legal TA

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Section 307 of the Public Health Service Act, Comprehensive Smoking Education Act of 1984, and Comprehensive Smokeless Tobacco Health Education Act of 1986.

OBJECTIVES:

The awardee will provide legal technical assistance and consultation to OSH and NTCP grantees awardees on questions related to the identification, development, adoption, and implementation of evidence-based interventions and policies nationwide. This project will assure that all CDC-funded grantees awardees have access to legal technical assistance from tobacco prevention and control legal experts, as well as keep CDC informed of tobacco control-related legal issues that may affect NTCP goals and strategic planning.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Assistance can be used to pay for employee salaries, overheads, travel costs, and other expenses directly related to achievement of the strategies and activities listed in the FOA towards the purpose of fulfilling obligations related to providing legal technical assistance to CDC and its awardees. Assistance cannot be used for lobbying, as outlined in CDC guidance and agency anti-lobbying restrictions (link). Additionally, assistance cannot be used for paying subcontractors or for staff training.

Applicant Eligibility:

In addition to the above list, legal centers are eligible for this FOA. Due to the strategies, activities and approaches required by this cooperative agreement, it is essential that the potential awardee has a) deep institutional knowledge specific to current and historical U.S. tobacco control issues, b) is an experienced national organization with capacity to work at the national level in tobacco control legal TA, and c) does not have any present or historical links to the tobacco industry and should provide a statement to such effect.

Beneficiary Eligibility:

The immediate beneficiaries of the program include the federal government, state, local , territorial and Federally Recognized Indian Tribal Governments, as well as other CDC Office on Smoking and Health Awardees such as the National Networks, which include a range of non profit organizations. The ultimate beneficiary of the program is the general public, as the program seeks to support population-wide environmental changes that help to transform the nations health and provide individuals with equitable opportunities to take charge of their health. Smokers are more likely to be in lower socioeconomic groups; tobacco control work is a proven strategy to reduce health inequities.

Credentials/Documentation:

Staff resumes/ CVs including legal education and experience credentials; letters of recommendation/support. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

This FOA will undergo PGO review for completeness (Phase I review) and objective review by a review panel (Phase II) with points assigned to each of the requested criteria in the application. The proposer with the highest score will be awarded the Cooperative Agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days. Within 30 days after Phase II review is complete.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of time for this Funding Opportunity Announcement is for five years from the date of award.

The money awarded should be spent within one year of the end of the funding period. See the following for information on how assistance is awarded/released: Assistance will be awarded on an annual basis for the five years of the cooperative agreement.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Data on performance measures and on financial reporting should be maintained throughout the five year cooperative agreement.

Records:

Data on performance measures and on financial reporting should be maintained throughout the five year cooperative agreement.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$235,000; FY 17 est \$235,000; and FY 18 est \$235,000

Range and Average of Financial Assistance:

\$250,000 per year for 5 years.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sara Edwards 4770 Buford Hwy, , Atlanta, Georgia 30341 Phone: 7704886204

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are based on the relevance to the programs objectives, qualification of lead applicant and institution, and merit of the proposal.

93.466 BUILDING CAPACITY OF THE PUBLIC HEALTH SYSTEM TO ADDRESS EBOLA THROUGH NATIONAL NONPROFIT ORGANIZATIONS

Strengthening the Public Health System in US-affiliated Pacific Islands

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

12372; This program is authorized under sections 307 and 317(k)(2) of the Public Health Service Act [42 USC 242(l) and 247(b)(k)(2), as amended]. In addition, this program is authorized under sections 311 and 1703 of Public Health Service Act [42 USC 243 and 300 u-2, as amended] and Section 4002 of the Patient Protection and Affordable Care Act, Public Law 111-148.

OBJECTIVES:

The purpose of this funding initiative to ensure provision of capacity building assistance (CBA) to address Ebola-related needs. Capacity building efforts will support the formation of sound policies, strengthened organizational structures (e.g., governance, workforce recruitment/retention, and health services), effective management.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting and supporting capacity building assistance efforts related to Ebola in Section 050 above and through CDC allowable and unallowable expenses. Per CDC-RFA-OT13-1302 Funding Restrictions. Detailed budget restrictions

will be specified in most recent Notice of Award. 100.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

The applicant organization must include evidence of national scope of work and of public health role or mission. Articles of incorporation, board resolution or by-laws are acceptable forms of evidence. The applicant must also provide evidence of federally assigned 501(c)(3) or 501(c)(6) status designation by submitting a copy of the current, valid Internal Revenue Service (IRS) determination letter. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

1. Phase I Review: All eligible applications will be initially reviewed for completeness by CDC's Office of Grants Services (OGS) staff. In addition, eligible applications will be jointly reviewed for responsiveness by CDC/OSTLTS and OGS. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance to Phase II review. Applicants will be notified if the application did not meet eligibility and/or published submission requirements. 2. Phase II (Initial Base-Funding) Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the Criteria section of the FOA. The applications will be compiled and reviewed according to the category for which applicants.

Deadlines:

Jul 01, 2016 to Jun 30, 2017: July 1, 2016-June 30, 2018: Five year project period, one year budget period.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Renewals will require a yearly continuation application based upon availability of funds and programmatic compliance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period, within the five-year project period. See the following for information on how assistance is awarded/released: As specified in the Notice of Award: Lump sum upon completing program activities. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports. SF425. Annual Progress Report. Annual financial reporting is required via the Federal Financial Reporting document. Performance Measures (including outcomes)awardees must report on performance measures for each budget period and update measures as least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. GAP

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

1 award at approximate average award of \$2,000,000.

TAFS Codes:

19-sd.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per Funding Opportunity Announcement CDC-RFA-OT13-1302, and as additionally specified in most current Notice of Award.

Regional or Local Office:

None.

Headquarters Office:

Linton Charles Browning 2920 Brandywine Road, Mail Stop K-98, , Atlanta, Georgia 30341 Email: LBrowning@cdc.gov Phone: 7704882756 Fax: 770-488-8350

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

66.110 Healthy Communities Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: N/A. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

As specified in Funding Opportunity Announce CDC-RFA-OT13-1302, Application Review and Selection Process listed in Section 093 Award Procedure above.

93.475 TANF POLICY ACADEMY FOR INNOVATIVE EMPLOYMENT STRATEGIES (PAIES)

PAIES

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part A, Section 413.

OBJECTIVES:

The TANF PAIES project will work with successful state TANF applicants to design, plan and refine components and strategies to improve employment outcomes for TANF program participants. Through the development of strategic action, implementation, and evaluation feasibility plans, successful applicants will focus on developing client coaching and/or career pathway approaches to improve employment outcomes and economic stability for TANF participants.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance must be used in accordance with the following provisions of 413 (a), (c), and (h) of the Social Security Act as revised: Section 413(a) - The Secretary shall conduct research on the effect of state programs funded under this part and any other state programs funded with qualified State expenditures (as defined in section 409(a)(7)(B)(i)) on employment, self-sufficiency, child well-being, unmarried births, marriage, poverty, economic mobility, and other factors as determined by the Secretary. Additionally, Section 413(c) states that the Secretary shall in consultation with the State receiving funds provided under this part, develop methods of disseminating information on any research, evaluation, or study conducted under this section. Specifically, for this round of funding, the TANF PAIES will assist states to develop strategic action, implementation, and evaluation feasibility plans. States will create client coaching and/or career pathway approaches to improve employment outcomes and economic stability for TANF participants. Section 413 (a) of the Social Security Act state that the Secretary shall conduct research on the effect of state programs funded under this part and any other state programs funded with qualified State expenditures...

Applicant Eligibility:

State (including the District of Columbia, Guam, Puerto Rico, and the Virgin Islands) human services agencies are eligible to receive assistance.

Beneficiary Eligibility:

State (including the District of Columbia, Guam, Puerto Rico, and the Virgin Islands) human services agencies are eligible to receive assistance to participate in the PAIES to design, plan and refine components and strategies to improve employment outcomes for TANF program participants.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Grant applications will undergo a federal panel review. Approved grant applications will receive an official notice of award, detailing the amount of funds awarded; the purpose, terms and conditions of the award; and the budget period for which support is given.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project and budget period is 18 months. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Performance Progress Reports are required semi-annually. No expenditure reports are required. Monitoring is conducted by the Federal Project Officer.

Audits:

Not Applicable.

Records:

Proper accounting records, identifiable by grant and including all receipts and expenditures, must be maintained for 3 years in accordance with 45 CFR 92. Subsequent to an audit, they must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-1552-0-1-609.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$1,200,000

Range and Average of Financial Assistance:

For Fiscal Year 2018, the grants awarded will range from \$100,000 to \$150,000.

TAFS Codes:

75-1552.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Lisa Washington-Thomas 330 C Street, SW, Suite 3026, Washington, District of Columbia 20002 Email: lwashington-thomas@acf.hhs.gov Phone: 2024015141

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Objectives, (2) Approach, (3) Outcomes, and (4) Reasonableness of Project Costs.

93.500 PREGNANCY ASSISTANCE FUND PROGRAM

Pregnancy Assistance Fund: Support for Expectant and Parenting Teens, Women, Fathers, and their Families

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act, Public Law 111-148 SEC. 10211 - 10214.

OBJECTIVES:

The Pregnancy Assistance Fund (PAF) grant program provides support for States and Tribes to develop and implement programs to improve the educational, health, and social outcomes for expectant and parenting teens, women, fathers, and their families. The PAF aims to strengthen access to and completion of education (secondary and postsecondary); improve child and maternal health outcomes; improve pregnancy planning and spacing and reduce the likelihood of repeat teen pregnancies; increase parenting skills for mothers, fathers, and families; strengthen co-parenting; decrease intimate partner violence; and raise awareness of available resources.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Providing support for expectant and parenting students in Institutions of Higher Education (IHE); Providing support for expectant and parenting teens, young adults, women, fathers and their families in high schools and community service centers; Improving services for pregnant or parenting women who are

victims of domestic violence, sexual violence, sexual assault, and stalking; and/or Increasing public awareness and education of services available for expectant and parenting teens, young adults, women, fathers and their families. For purposes of this funding, the term expectant includes both women and men who are expecting a child. The term teens refers to both young men and women of high-school age and students refers to women and men enrolled in institutions of higher education. The term young adults refer to both men and women ages 20 -24. Families include, but are not limited to spouses, partners, and children.

Applicant Eligibility:

Eligible applicants are from States, which include the District of Columbia, any commonwealth, possession, or other territory of the of the United States and any Federally-recognized Indian tribe, reservation or consortium or council (referred to as States or tribes), for the development and implementation of programs for expectant and parenting teens, women, fathers and their families.

The authorized representative from the State or tribe must apply for grant funds available through this announcement to assist expectant and parenting teens, women, fathers and their families.

Beneficiary Eligibility:

1. States, which include the District of Columbia, any commonwealth, possession, or other territory of the United States, and any Federally-recognized Indian Tribe, reservation, consortium or council
2. Education, Health, Non-profits, Community-based organizations, and entities within tribal communities.

Credentials/Documentation:

The authorized representative from the State or tribe must apply for grant funds available through this announcement to assist expectant and parenting teens, women, fathers and their families. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

The Governor(s) of the State(s) in which the project is to be located is to be given 60 days in which to review and comment on applications for funding under this authority. An applicant should consult the office or official designated as the single point of contact in the State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to the Public Health Systems Reporting Requirements. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The announcement for this grant opportunity may be found on www.Grants.gov. The application forms and guidelines are available for online submission only. Submitted applications are screened upon receipt for completeness, responsiveness and conformance to the program announcement. Those applications judged to be unacceptable based on this initial screening will be returned. Applications may only be submitted electronically via the electronic submission mechanisms specified in the announcement (Grants.gov). Any application submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted.

Award Procedure:

An independent review panel will evaluate applications that pass the screening and meet the responsiveness criteria if applicable. These reviewers are experts in their fields, and are drawn from academic institutions, non-profit organizations, state and local government, and Federal government agencies. Based on the Application Review Criteria as outlined under Section V.1, the reviewers will comment on and score the applications, focusing their comments and scoring decisions on the identified criteria. In addition to the independent review panel, Federal staff will review each application for programmatic, budgetary, and grants management compliance. Final award decisions will be made by the Director of the Office of Adolescent Health. All applicants are

notified in writing of actions taken on their applications. A Notice of Award is issued for those applications that are approved and funded.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Current cohorts of grantees: start/end dates

Cohort 2:

Year 1 August 1, 2013 to July 31, 2014 Year 3 August 1, 2015 to July 31, 2016

Year 2 August 1, 2014 to July 31, 2015 Year 4 August 1, 2016 to July 31, 2017

Cohort 3:

Year 1 August 1, 2015 to July 31, 2016 Year 3 August 1, 2017 to July 31, 2018

Year 2 August 1, 2016 to July 31, 2017

Cohort 4:

Year 1 July 1, 2017 to June 30, 2018

Cohort 5:

Year 1 July 1, 2018 to June 30, 2019 Year 2 July 1, 2019 to June 30, 2020.

Appeals:

Not Applicable.

Renewals:

Each year of the approved project period, grantees are required to submit a noncompeting application, which includes a progress report for the current budget year, and work plan, budget and budget justification for the upcoming year. Specific guidance will be provided via Grant Solutions well in advance of the application due date.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. An eligible institution of higher education that receives funding shall contribute an amount from non-Federal funds equal to 25 percent of the amount of the funding provided. The non-Federal share may be in cash or in-kind, fairly evaluated, including services, facilities, supplies or equipment. The non-Federal contribution does not apply to eligible high schools and community service organizations.

Funds are made available for competitive grant awards under the authority of Sections 10211-10214 of the Patient Protection and Affordable Care Act (Public Law 111-148; Affordable Act).

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants may not exceed 5 years (subject to the availability of funds). Payments will be made either on a monthly cash request basis or under the Electronic Transfer System. See the following for information on how assistance is awarded/released: Necessary instructions for the appropriate type of payment will be issued at the time an award is made. Method of awarding/releasing assistance: quarterly.

Reports:

Please see Notice of Award for detailed requirements. Grantees must submit quarterly SF425 Federal Financial Reports to Payment Management Services due on one of the standard due dates on which cash reporting is required or at the end of a calendar quarter. The FFR is due 30 days after the end of each calendar quarter. Noncompeting applications, which includes a progress report for the current budget year, work plan, and budget and budget justification for the upcoming year. Annual reports are due within 90 days of the close of the budget period. Grantees are required to submit yearly performance measure data within 90-days of the close of the budget period via a web-based system. Grantees must submit quarterly SF425 Federal Financial Reports to the Office of Grants Management 30 days after the end of each calendar quarter and an annual FFR 90 days after the end of the budget period/project period end date. Reports are to be submitted electronically through GrantSolutions. Performance

monitoring is conducted by office staff (project officers) via monthly conference calls, email communication, progress reports, and site visits (when funds are available for travel).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings. HHS and the Comptroller General of the United States or any of their designated authorized officials shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Project Grants) FY 16 \$23,300,000; FY 17 est \$23,300,000; and FY 18 Estimate Not Available - Current grants will end in 2018.

Range and Average of Financial Assistance:

Cohort 1 (2010 to 2013) \$500,000 to \$2,000,000.

Cohort 2 (2013 to 2017) \$500,000 to \$1,500,000.

Cohort 3 (2015 to 2020) \$500,000 to \$800,000.

Cohort 4 (FY2017) \$500,000 to \$1,500,000.

TAFS Codes:

75-10-25-25-0117.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 20 continuation grants were awarded. Fiscal Year 2017: Anticipated 3 continuation grants and 16 new awards. Fiscal Year 2018: none.

REGULATIONS, GUIDELINES, AND LITERATURE:

HHS Grants Policy Statement is available online:

<http://www.hhs.gov/asrt/og/aboutog/grantsnet.html>

Sections 10211-10214 of the Patient Protection and Affordable Care Act (Public Law 111-148; Affordable Act).

In accepting the grant award, the grantee stipulates that the award and any activities thereunder are subject to all provisions of 45 CFR parts 74 and 92, currently in effect or implemented during the period of the grant or other Department regulations and policies effective at the time of the award. In addition, recipients must comply with all terms and conditions outlined in their grant awards, the Department of Health and Human Services (HHS) Grants Policy Statement, requirements imposed by program statutes and regulations and HHS grant administration regulations, as applicable, as well as any requirements or limitations in any applicable appropriations acts.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Department of Health and Human Services, Office of the Assistant Secretary for Health, Office of Adolescent Health; Ms. Evelyn Kappeler; 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-2800.

Headquarters Office:

Eric C. West Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822

Website Address:

<http://www.hhs.gov/ash/oah>

RELATED PROGRAMS:

93.297 Teenage Pregnancy Prevention Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Visit the PAF website at: <http://www.hhs.gov/ash/oah> for a listing of grantees and an overview of project activities. Fiscal Year 2017: Visit the PAF website at: <http://www.hhs.gov/ash/oah> for a listing of grantees and an overview of project activities. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals must contain prevention services requirements specified in the statute.

The Pregnancy Assistance Fund (PAF) grant program provides support for States and tribes to develop and implement programs to improve the educational, health, and social outcomes for expectant and parenting teens, women, fathers and their families. The PAF aims to strengthen access to and completion of education (secondary and postsecondary); improve child and maternal health outcomes; improve pregnancy planning and spacing and reduce the likelihood of repeat teen pregnancies; increase parenting skills for mothers, fathers, and families; strengthen co-parenting relationships and marriage where appropriate, increase positive paternal involvement; decrease intimate partner violence; and raise awareness of available resources.

For purposes of this funding, the term expectant includes both women and men who are expecting a child. The term teens refer to both young men and women of high-school age and students refers to women and men enrolled in institutions of higher education. The term young adults refer to both men and women age 20 -24. Families include, but are not limited to spouses, partners, and children.

Applicants may apply for funding in one or more of the following categories: Category 1 Providing support for expectant and parenting students in Institutions of Higher Education (IHE); Category 2 Providing support for expectant and parenting teens, young adults, women, fathers and their families in high schools and community service centers; Category 3 - Improving services for pregnant and parenting women who are victims of domestic violence, sexual violence, sexual assault, and stalking; or Category 4 Increasing public awareness and education of services available for expectant and parenting teens, young adults, women, fathers and their families. OAH has two program priorities for this FOA: 1) proposals that seek to involve expectant and parenting fathers and 2) proposals that target young adults (ages 20 -24). A signed letter from the authorized representative must accompany the application and should include documentation establishing the authorized representatives authority to apply for and administer the grant funds on behalf of the State or tribe. OAH will accept only one application per State or tribe. All qualified applications will be given equal considerations.

93.501 GRANTS FOR SCHOOL-BASED HEALTH CENTER CAPITAL EXPENDITURES

(SBHCC)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act of 2010, Title IV, Section 4101, Public Law 111-148.

OBJECTIVES:

The goal of the program is to award funds made available to expand school-based health center capacity to provide primary health care services for school-aged children. This competitive funding opportunity is available for school-based health centers to address significant and pressing capital improvement needs, including: alteration, renovation, and the purchase of equipment.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

An eligible entity shall use funds provided under an awarded grant only for expenditures for facilities, equipment, or similar expenditures. No funds provided shall be used for expenditures for personnel or to provide health services. See above and notice of funding opportunity. See above and funding opportunity announcement.

Applicant Eligibility:

To be eligible for a grant under this subsection, an entity shall be a school-based health center or a sponsoring facility of a school-based health center as defined in section 2110(c)(9) of the Social Security Act (42 USC 1397jj(c)(9)).

A school-based health center is defined as a health clinic that:

1. Is located in or near a school facility of a school district or board of an Indian tribe or tribal organization;
2. Is organized through school, community, and health provider relationships;
3. Is administered by a sponsoring facility;
4. Provides through health professionals primary health services to children in accordance with State and local law, including laws relating to licensure and certification; and
5. Satisfies such other requirements as a State may establish for the operation of such a clinic.

A sponsoring facility includes any of the following:

1. A hospital
2. A public health department
3. A community health center
4. A non-profit health care agency
5. A school or school system
6. A program administered by the Indian Health Service or the Bureau of Indian Affairs or operated by an Indian tribe or a tribal organization.

Beneficiary Eligibility:

School-based health centers or a sponsoring facility of a school-based health center.

Credentials/Documentation:

Applicants should review the applicable HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The National Environmental Policy Act of 1969 (NEPA), 42 U.S.C. 4321 (Public Law 91-190, Section 2, January 1, 1970, 83 Stat., 852), including Public Disclosure, Section 102 of NEPA, and E.O. 11514, requires Federal agencies to assess the environmental impacts of major Federal actions, including construction projects supported in whole or in part through Federal contracts, grants, subsidies, loans, or other forms of funding assistance. HRSA requires that applicants provide information on anticipated environmental impact as part of their applications. This program is excluded from coverage under E.O. 12372. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Taking into consideration the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Refer to the applicable notice of funding opportunity

under this CFDA program.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for 2 years. See the following for information on how assistance is awarded/released: Awardees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web-based payment system for HHS awards.

Reports:

The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program notice of funding opportunity and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:
<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity notice are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No cash reports are required. A progress report must be submitted quarterly. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. Performance monitoring is not applicable. No cash reports are required. A progress report must be submitted quarterly. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

07-0352-0-1-035.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

\$11,000 to \$500,000. \$350,000 average award..

TAFS Codes:

75-0352.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 75.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None.

Headquarters Office:

Bureau of Primary Health Care Health Resources and Services Administration, Room 16N20, 5600 Fishers Lane, Rockville, Maryland 20857 Phone: (301) 594-4300

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be evaluated by an Objective Review Committee to determine the extent to which the applicant addresses the Review Criteria outlined in Section V of the notice of funding opportunity.

93.504 FAMILY TO FAMILY HEALTH INFORMATION CENTERS

F2F HICs

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title V, Section 501(c)(1)(A)(iii), codified at 42 U.S.C. 701(c), as amended by the Medicare Access and CHIP Reauthorization Act of 2015, Section 216 (P.L. 114-10).

OBJECTIVES:

To develop and support Family to Family Health Information Centers. The Family-to-Family Health Information Centers (1) assist families of children with disabilities or special health care needs to make informed choices about health care in order to promote good treatment decisions, cost-effectiveness, and improved health outcomes for such children; (2) provide information regarding the health care needs of, and resources available for, such children; (3) identify successful health delivery models for such children; (4) develop with representatives of health care providers, managed care organizations, health care purchasers, and appropriate State agencies, a model for collaboration between families of such children and health professionals; (5) provide training and guidance regarding caring for such children; (6) conduct outreach activities to the families of such children, health professionals, schools, and other appropriate entities and individuals; and (7) are staffed by such families who have expertise in Federal and State public and private health care systems; and by health professionals.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

All funds granted should be expended solely for carrying out the approved project in accordance with Section 501(c)(A) of the Social Security Act.

Restricted Uses: Indirect costs that are allowed for administrative costs incurred as a result of the project are limited to 8 percent of direct costs. Student support through stipends, tuition, and fees is not eligible for support.

Applicant Eligibility:

Eligible applicants include public and private entities, including an Indian tribe

or tribal organization (as those terms are defined at 25 U.S.C. 450b), faith-based organizations, and community-based organizations. The law limits the site of eligible organizations to the 50 States and the District of Columbia. Although Tribes and tribal organizations may apply, they must meet all applicable requirements, including targeting of all children and youth with special health care needs (CYSHCN) across the State for services. Applicants must have experience related to the purpose of the program, the effort must be family-staffed/run, and the effort must have a focus on health for CYSHCN (as defined by the MCH Bureau). For example, if an applicant is a family organization with a history of funding that is condition-specific or related to education, mental health or developmental disabilities, as with any applicant, evidence of health for the broad CYSHCN population must be evident in their application. Applicants MUST demonstrate that they have EXISTING and effective fiduciary, administrative and management systems. If a different fiduciary agency is needed, such as a university, activities must still be family-staffed/run for the entire grant period.

Beneficiary Eligibility:

Projects will benefit (1) public or private agencies, organizations and institutions engaged in activities for CYSHCN; (2) family members and children who receive services through the program; and (3) professionals and trainees who provide services to CYSHCN.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory continuation application.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Competing and competing continuation grant applications are submitted for up to three (3) years of support. Funding is dependent on the availability of appropriated funds for the program in subsequent fiscal years, grantee satisfactory performance, and a decision that continued funding is in the best interest of the Federal government. See the following for information on how

assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Refer to information in "program reports" above. Refer to information in "program reports" above. Expenditure reports are not applicable. Refer to information in "program reports" above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$4,769,968; FY 17 est \$4,837,586; and FY 18 est \$4,880,700 - Program previously funded under ACA. Current funding is not ACA.

Range and Average of Financial Assistance:

Awards range from \$35,864 to \$94,800. Average award amount is \$93,177.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 51 projects funded for activities supported under this CFDA.

Fiscal Year 2017: 51 projects funded for activities supported under this CFDA.

Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Tigisty Zerislassie, MSSW, LICSW, Division of Services for Children with Special Health Needs, Maternal and Child Health Bureau, Health Resources and Services Administration, US Department of Health and Human Services, Room 18W09-A, 5600 Fishers Lane., Rockville, Maryland 20857 Email: TZerislassie@hrsa.gov Phone: (301) 443-7220

Website Address:

<http://www.mchb.hrsa.gov>

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 93.127 Emergency Medical Services for Children; 93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth; 93.251 Universal Newborn Hearing Screening; 93.365 Sickle Cell Treatment Demonstration Program; 93.926 Healthy Start Initiative; 93.994 Maternal and Child Health Services Block Grant to the States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in the Application Review Information in the notice of funding opportunity.

93.505 AFFORDABLE CARE ACT (ACA) MATERNAL, INFANT, AND EARLY CHILDHOOD HOME VISITING PROGRAM

MIECHV Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title V, Section 511 (42 U.S.C. 711).

OBJECTIVES:

The Maternal, Infant, and Early Childhood Home Visiting Program (MIECHV Program) is designed : (1) to strengthen and improve the programs and activities carried out under Title V; (2) to improve coordination of services for at risk communities; and (3) to identify and provide comprehensive services to improve outcomes for families who reside in at risk communities. The MIECHV Program was created to support voluntary, evidence-based home visiting services for at-risk pregnant women and parents with young children up to kindergarten entry. The program builds upon decades of scientific research that shows home visits conducted by a nurse, social worker, early childhood educator, or other trained professional during pregnancy and in the first years of a child's life improves the lives of children and families. Home visiting helps prevent child abuse and neglect, supports positive parenting, improves maternal and child health, and promotes child development and school readiness.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

1. The statute reserves the majority of funding for the delivery of services through use of one or more evidence-based home visiting service delivery models. In addition, it supports continued innovation by allowing up to 25 percent of total funds awarded for the fiscal year to support service delivery through promising approaches that do not yet qualify as evidence-based models. Assistance can potentially be used for enhancing awardees infrastructure for improving coordination of services for at-risk communities and identifying and providing comprehensive services to improve outcomes for families who reside in at-risk communities, as well as for implementing awardees home visiting service programs. To ensure that the required statutory distribution is maintained, all MIECHV programs must demonstrate that they are being delivered in conformity with the approved service delivery models. This fidelity is demonstrated by programs that have the requisite designation and/or approval from a model developer to provide evidence-based home visiting services. MIECHV-funded programs must maintain the requisite designation and/or approval from the model developer while receiving MIECHV funding.

2. Restrictions: No more than 10 percent of the award amount may be spent on

costs associated with administering the award, this restriction does not apply to subrecipients. Funds made available to a recipient for a fiscal year shall remain available for expenditure by the recipient through the end of the second succeeding fiscal year after award. Funds that have not been expended during the period of availability will be deobligated and no longer available for use by the recipient.

Applicant Eligibility:

Eligibility for funding is limited to a single application from each State, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, the Northern Mariana Islands, and American Samoa. The Governor has the responsibility and authority to designate which entity or group of entities will apply for and administer home visiting program funds on behalf of the State or US Territory.

Regardless of the entity or entities designated by the Governor, this application must contain the concurrence and signatures of the:

Director of the States Title V agency;
Director of the States agency for Title II of the Child Abuse Prevention and Treatment Act (CAPTA);
The States child welfare agency (Title IV-E and IV-B), if this agency is not also administering Title II of CAPTA;
Director of the States Single State Agency for Substance Abuse Services;
The States Child Care and Development Fund (CCDF) Administrator;
Director of the States Head Start State Collaboration Office, and
The States Advisory Council on Early Childhood Education and Care authorized by 642B(b)(1)(A)(i) of the Head Start Act.

For those states that have elected not to participate in MIECHV, nonprofit organizations with an established record of providing early childhood home visiting programs or initiatives in a state or several states are eligible to apply to carry out programs in those states.

Beneficiary Eligibility:

Eligible families residing in communities in need of such services, as identified in a State needs assessment
Low-income eligible families
Eligible families who are pregnant women under age 21
Eligible families with a history of child abuse or neglect or have had interactions with child welfare services
Eligible families with a history of substance abuse or need substance abuse treatment
Eligible families that have users of tobacco products in the home
Eligible families that are or have children with low student achievement
Eligible families with children with developmental delays or disabilities
Eligible families who, or that include individuals serving or formerly serving in the Armed Forces, including those with members who have had multiple deployments outside the US

Eligible family:

o A woman who is pregnant, and the father of the child if available, or
o A parent or primary caregiver of the child, including grandparents or other relatives and foster parents serving as the child's primary caregiver from birth until kindergarten entry, including a noncustodial parent with an ongoing relationship with, and at times provides physical care for the child.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications of education and/or training which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

Formula Grants: All qualified applications will be reviewed internally by grants management officials (business and financial review) and program staff (technical review) for eligibility, completeness, accuracy, and compliance with the requirements outlined in this announcement. The HRSA program official with delegated authority is responsible for final selection and funding decisions.

Competitive Grants: All qualified applications will be forwarded to an independent objective review committee. Based on the advice of the independent objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by an electronic Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Contact the Headquarters or Regional Office as appropriate for application deadlines or view the specific funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Formula funds are awarded on an annual basis.

Formula and Matching Requirements:

Statutory Formula: Formula funding is determined as follows:

i. The number of children under age five in families at or below 100 percent of the Federal poverty line in each state was obtained by using 2011 U.S. Census Bureau Small Area Income Poverty Estimate (SAIPE) data (available at <http://www.census.gov/did/www/saipe/data/statecounty/data/2011.html>).

ii. The percentage of children under age five in families at or below 100 percent of the Federal poverty line in the state, as compared to the number of such children nationally, was calculated.

iii. For each state, the percentage of children under age five in families at or below 100 percent of the Federal poverty line was multiplied by the total amount of funding available, (excluding the funding allocated for Puerto Rico, Guam, the Virgin Islands, the Northern Mariana Islands, and American Samoa. Because SAIPE data is not available for these jurisdictions, each was allocated a base amount of \$1,000,000.

iv. This initial distribution, based on the percentage of children in poverty, was reviewed and proportionally modified to ensure a floor of the allocation provided in federal FY 2012 (excluding the funding provided for the Supporting Evidence Based Home Visiting Program).

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details. Funds provided to an eligible entity receiving a grant shall supplement, and not supplant, funds from other sources for early childhood home visitation programs or initiatives (per the Social Security Act, Title V, 511(f)). The awardee must agree to maintain non-Federal funding (State General Funds) for grant activities at a level which is not less than expenditures for such activities as of the most recently completed fiscal year.

For purposes of maintenance of effort/non-supplantation, home visiting is defined as an evidence-based program, implemented in response to findings from a needs assessment, that includes home visiting as a primary service delivery strategy (excluding programs with infrequent or supplemental home visiting), and is offered on a voluntary basis to pregnant women or children birth to age five targeting the participant outcomes in the legislation which

include improved maternal and child health, prevention of child injuries, child abuse, or maltreatment, and reduction of emergency department visits, improvement in school readiness and achievement, reduction in crime or domestic violence, improvements in family economic self-sufficiency, and improvements in the coordination and referrals for other community resources and supports.

Length and Time Phasing of Assistance:

Funds awarded to an eligible entity for a fiscal year remain available for expenditure through the end of the second succeeding fiscal year after award. See the following for information on how assistance is awarded/released: Recipient drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Performance Reports (DGIS Forms 1, 2, 4, and 6, Products and Publications. The HRSA MCHB Discretionary Grant Information System (DGIS) Forms 1, 2, 4 and 6, and Products and Publications reports are due within 120 days of the Notice of Award (NoA) issue date. For additional information about these forms, please visit: <https://mchdata.hrsa.gov/dgisreports/AboutDGIS.aspx>

Demographic, Service Utilization, and Benchmark Area-related Data Reporting (DGIS-HV Forms 1 and 2). Data for DGIS-HV Forms 1 and 2 (available online at

<http://mchb.hrsa.gov/programs/homevisiting/ta/resources/enrolleeschildrenform.pdf> and

<http://mchb.hrsa.gov/programs/homevisiting/ta/resources/granteedefinedperformancemeasuresform.pdf>) must be submitted by October 30 of each fiscal year.

Recipients will provide demographic, service utilization and benchmark area-related data into DGIS. The demographic and service utilization data report will include: an unduplicated count of enrollees; selected characteristics by race and ethnicity; socioeconomic data; other demographics; numbers of enrolled from priority populations; and, service utilization across all models. The benchmark data report will include an update of data collected for all constructs within each of the six benchmark areas. The benchmark data report will also provide the following information: the name of the benchmark and construct; the performance measure; the operational definition; the measurement tool utilized; rationale for the measure; the reporting period value; and, the definition of improvement.

Recipients are required to submit progress and financial reports on the status of the project using the Electronic Handbooks System

(<https://grants.hrsa.gov/webexternal/home.asp>). Refer to the specific funding opportunity announcement for further information. Submit a quarterly electronic Federal Financial Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access award funds. Go to www.dpm.psc.gov for additional information. Successful applicants receiving funding will be required, within 90 days from the end of the project period, to electronically complete the program specific data forms. The requirement includes providing expenditure data for the final year of the project period, the project abstract and award summary data as well as final indicators/scores for the performance measures. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends.

If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170.

Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Refer to reports information above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0321-0-1-550.

Obligations:

(Formula Grants) FY 16 \$0; FY 17 est \$37,028,190; and FY 18 est \$0

Range and Average of Financial Assistance:

Formula (2013):

\$1,000,000 - \$11,234,549

Formula (2014):

\$1,000,000 - \$11,923,154

Formula (2015) actual:

\$1,000,000 - \$13,201,834

Competitive (2013):

A Expansion \$1,428,900 - \$8,949,070.

B. Nonprofit - \$589,685 - \$5,479,908.

Competitive (2014):

A Expansion - \$961,615 - \$8,751,850

B Nonprofit - \$1,000,000 - \$5,801,252

Competitive (2015) actual:

A Expansion - \$2,344,479- \$9,400,000

B Nonprofit - \$1,000,000 - \$6,402,965.

TAFS Codes:

75-0321.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Meseret Bezuneh, M.S.Ed
Implementation Branch Chief
Division of Home Visiting and Early Childhood Systems

Maternal and Child Health Bureau
Health Resources and Services Administration
5600 Fishers Lane, Room 18N-150
Rockville, Maryland 20852
Telephone: (301) 594-4149
Email: mbezuneh@hrsa.gov.

Headquarters Office:

Meseret Bezuneh, M.S.Ed 5600 Fishers Lane, Room 18N-150, Rockville, Maryland 20857 Email: mbezuneh@hrsa.gov Phone: (301) 594-4149

Website Address:

<http://mchb.hrsa.gov/programs/homevisiting/>

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 93.615 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Research Programs; 93.870 Maternal, Infant and Early Childhood Home Visiting Grant Program; 93.994 Maternal and Child Health Services Block Grant to the States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All formula applications will be reviewed internally by grants management officials (business and financial review) and program staff (technical review) for eligibility, completeness, accuracy, and compliance with the requirements outlined in this announcement.

All competitive applications will be reviewed internally by grants management officials (business and financial review) and program staff (technical review) for eligibility, completeness, accuracy, and compliance with the requirements outlined in this announcement. All qualified applications will be forwarded to an independent objective review committee. Based on the advice of the independent objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Application Review Information of the relevant funding opportunity announcement.

93.506 ACA NATIONWIDE PROGRAM FOR NATIONAL AND STATE BACKGROUND CHECKS FOR DIRECT PATIENT ACCESS EMPLOYEES OF LONG TERM CARE FACILITIES AND PROVIDERS FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

The Affordable Care Act, Title VI, Part III, Section 6201, Public Law 111-148.

OBJECTIVES:

The program is intended to establish a nationwide program to identify efficient, effective, and economical procedures for long term care facilities and providers to conduct background checks on a statewide basis on all prospective direct patient access employees.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

CMS is conducting a nationwide program that will identify efficient, effective and economical procedures for long term care facilities and providers to conduct background checks on prospective direct patient/resident access employees. In order to participate in this nationwide program, a State must guarantee that it will make available non-Federal funds to cover a portion of the cost to be incurred by the State to carry out the program in their State.

Applicant Eligibility:

CMS is inviting proposals from all States and U.S. territories to be considered for inclusion in this National Background Check Program. Federal matching funds are available to all States and U.S. territories.

Beneficiary Eligibility:

Beneficiary Eligibility: These facilities and providers include skilled nursing facilities, nursing facilities, home health agencies, hospice care providers, long-term care hospitals, personal care service providers, adult day care providers, residential care providers, assisted living facilities, intermediate care facilities for the mentally retarded (ICFs/MR) and other entities that provide long-term care services, as specified by each participating State.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Participating States must guarantee non-Federal funds to cover a portion of the cost to conduct the program in their State. CMS will provide a three-to-one match for these funds to each grantee up to a maximum of \$3 million for newly applying states and \$1.5 million for states that previously participated in the pilot program. The participating State must require fingerprint checks as part of the criminal background check for all direct patient access employees. The participating State must have a plan to implement the program (a) statewide and (b) in all long term care entities specified in Section 6201 of the ACA, although the State may phase-in the program over a multi-year period, and the phase-in may be accomplished by geographical location, provider type, or other factors determined by the State.

Award Procedure:

This is not a competitive grant. All applying States that provide an application that is scored by the Federal technical panel at 70.2 out of the possible 101 points or greater shall receive a grant. Applications that score below 70.2 points will not be included as grantees.

Funding for this program shall become effective upon CMS approval application. Grants will be awarded to every State that meets the qualifications and terms of agreement described in this solicitation. This includes providing a satisfactory application that meets all provisions of the Law and scores at least 70.2 points or greater (out of 101) from the Federal technical panel review. Grantees may expect to begin receiving funds within 30 days from the Notice of Grant Award.

Deadlines:

Jun 01, 2010 to Jun 30, 2011: Deadline to apply under this solicitation is June 30, 2011. CMS continues to provide open solicitations to states to apply for funding under the program. Applications for the grant solicitation are accepted on a flowing basis and acted on every 30 days.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Matching requirements as specified in Section 6201 (a) (5) of the Affordable Care Act:

(A) NEWLY PARTICIPATING STATES.

(i) IN GENERAL.As part of the application submitted by a State under paragraph (1)(A)(iii), the State shall guarantee, with respect to the costs to be incurred by the State in carrying out the nationwide program, that the State will make available (directly or through donations from public or private entities) a particular amount of non-Federal contributions, as a condition of receiving the Federal match under clause (ii).

(ii) FEDERAL MATCH.The payment amount to each State that the Secretary

enters into an agreement with under paragraph (1)(A) shall be 3 times the amount that the State guarantees to make available under clause (i), except that in no case may the payment amount exceed \$3,000,000.

(B) PREVIOUSLY PARTICIPATING STATES.H. R. 3590607

(i) IN GENERAL.As part of the application submitted by a State under paragraph (1)(B)(iii), the State shall guarantee, with respect to the costs to be incurred by the State in carrying out the nationwide program, that the State will make available (directly or through donations from public or private entities) a particular amount of non-Federal contributions, as a condition of receiving the Federal match under clause (ii).

(ii) FEDERAL MATCH.The payment amount to each State that the Secretary enters into an agreement with under paragraph (1)(B) shall be 3 times the amount that the State guarantees to make available under clause (i), except that in no case may the payment amount exceed \$1,500,000 .

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 36 months. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees must also agree to respond to requests that are necessary for the evaluation of the National Background Check Program grant efforts to satisfy the statutory requirements for the Federal evaluation enumerated in Section 6201 of the ACA and provide data on key elements of their Background Check Program grant activities. This will include detailed information on the number of background checks requested by various providers, information gathered in the course of background checks, employment decisions made based on this information and their rationale, whether prospective employees challenge the results of adverse decisions and the outcomes of these challenges. It will also include cost accounting information of sufficient quality to allow reliable calculation of the costs of the various components of the background checks programs. Grantees must agree to fully cooperate with any Federal evaluation of the program and provide quarterly or semi-annually any required program progress and financial reports in a form prescribed by CMS (including the SF-269a, Financial Status Report forms). These reports will be designed to outline how grant funds were used and to describe program progress, as well as barriers and measurable outcomes. CMS will provide a format for reporting. Progress reports are not applicable. Expenditure reports are not applicable. Following the awarding of the grants, grantees must submit an operational protocol. The operational protocol must describe in detail the policies and procedures that the State will follow during the program period. The operational protocol should detail the responsibilities of the providers included in the program; the State government personnel and any additional responsible parties (e.g., contractors) involved in the program. Those who receive grant awards will be provided further detail as to the expected contents of the operational protocol prior to or during the first grantee meeting.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

75-0509-0-1-550.

Obligations:

(Project Grants) FY 16 \$1,200,000; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

\$1.5 million to \$3 million.

TAFS Codes:

75-0509.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Parts 74 and 92, Cost Principles, the HHS Grant Policy Statement, OMB Cost Principles, Request for Application (RFA) and Terms and Conditions of Award.

Regional or Local Office:

See Regional Agency Offices. Debra Spears CMCS/SCG/DNH.

Headquarters Office:

Melissa Rice 7500 Security Blvd., Baltimore, Maryland 21244 Email: melissa.rice@cms.hhs.gov Phone: 410-786-3270

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria are as specified in Section 6201 (a) (1) of the Affordable Care Act:

(1) AGREEMENTS. H. R. 3590604

(A) NEWLY PARTICIPATING STATES. The Secretary shall enter into agreements with each State

- (i) that the Secretary has not entered into an agreement with under subsection (c)(1) of such section 307;
- (ii) that agrees to conduct background checks under the nationwide program on a Statewide basis; and
- (iii) that submits an application to the Secretary containing such information and at such time as the Secretary may specify.

(B) CERTAIN PREVIOUSLY PARTICIPATING STATES. The Secretary shall enter into agreements with each State

- (i) that the Secretary has entered into an agreement with under such subsection (c)(1), but only in the case where such agreement did not require the State to conduct background checks under the program established under subsection (a) of such section 307 on a Statewide basis;
- (ii) that agrees to conduct background checks under the nationwide program on a Statewide basis; and
- (iii) that submits an application to the Secretary containing such information and at such time as the Secretary may specify .

93.507 PPHF NATIONAL PUBLIC HEALTH IMPROVEMENT INITIATIVE**FEDERAL AGENCY:**

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Act and Prevention and Public Health Fund (PPHF), Section 301 and 317, 42 U.S.C 241 and 247b ; Patient Protection and Affordable Care Act and Prevention and Public Health Fund (PPHF), Title IV, Section 4002, Public Law 111-148.

OBJECTIVES:

The National Public Health Improvement Initiative is part of the Centers for Disease Control and Preventions larger effort to increase the performance management capacity of public health departments in order to ensure that public health goals are effectively and efficiently met. On March 30, 2010, President Obama signed into law the Affordable Care Act (ACA) (PL 111-148). This legislation established a Prevention and Public Health Fund (Title IV, Section 4002) to provide for expanded and sustained national investment in prevention and public sector health care costs. ACA and the Prevention and Public Health Fund make improving public health a priority with investments to improve public health services, establish meaningful and measureable health indicators, and to achieve long-term improvement in health outcomes. The 5-year Strengthening Public Health Infrastructure for Improved Health Outcomes cooperative agreement program is designed to support innovative changes in key areas that improve the quality, effectiveness and efficiency of

the public health infrastructure to better enable the delivery of public health services and programs as specified within ACA. In this continuation announcement, the CDC proposes to award approximately \$33.7 million to fund 74 grantees using a formula. Eligible applicants are limited to current Awardees.

In this continuation funding is available to ALL current awardees to provide support for:
accelerating public health accreditation readiness activities
providing additional support for performance management and improvement practices and,
developing, identifying and disseminating innovative and evidence-based policies and practices.

This program supports the Healthy People 2020 focus area of addressing Public Health Infrastructure (<http://www.healthypeople.gov/hp2020/>). Cross-jurisdictional (state, local, tribal, territorial, regional, community, and border) collaborations are encouraged to increase the impact of limited resources, improve efficiency, and to leverage other related health reform efforts/projects.

Measurable outcomes of the program align with the following performance goals:

- 1) Increased efficiencies of program operations,
- 2) Increased use of evidence-based policies and practices, and,
- 3) Increased readiness for applying to and achieving accreditation by the Public Health Accreditation Board PHAB. (More information on accreditation activities can be found on the PHAB web site at <http://www.phaboard.org/>).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, and the implementation of other program elements to strengthen State, Tribal, Local, and Territorial public health core infrastructure. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Applicant Eligibility:

Eligible applicants include all 50 states, Washington, D.C., 9 large local health departments supporting cities with populations of 1 million or more inhabitants (Chicago, Illinois; Dallas, Texas; Houston Texas; Los Angeles, California; New York City, New York; Philadelphia, Pennsylvania; Phoenix, Arizona; San Antonio, Texas; San Diego, California), 5 U.S. Territories 3 U.S. Affiliated Pacific Islands, and up to 7 federally-recognized tribes with an established public health departments structure (or their equivalent) that provide public health services to their tribal members or their bona fide agents.

Beneficiary Eligibility:

State health departments, large local health departments supporting cities with populations of 1 million or more inhabitants, the District of Columbia, U.S. Territories, tribal health organizations and the general public.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov.

If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office

Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov

Award Procedure:

Applications that are complete and responsive will be evaluated for scientific and technical merit and receive support. CDC will not review incomplete and non-responsive applications. Applications that are complete and responsive will undergo an objective review process, receive a written critique and be scored according to the published review criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer. Initial award provides funds for the first budget period (usually 12 months) and the NOA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon availability of funding under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Each funded applicant must provide an interim and annual progress report. The interim progress report is due no less than 90 days before the end of the specified budget period. The Interim Progress Report will serve as the non-competing continuation application. Semi-annual progress reports are also required. Financial Status Reports (SF 269) are required no more than 90 days after the end of the budget period. Final financial status and progress reports are required, no more than 90 days after the end of the project period. No later than 10 days after the end of each calendar quarter, starting with the quarter ending December 31, 2010 and reporting by January 10, 2010 the recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending

resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - PPHF funding for NPHII has been discontinued, effective FY 2014.

Range and Average of Financial Assistance:

Component I: This amount is based on population and will continue for each year of the cooperative agreement:

Below 1.5 million = \$100,000
1.5 million - 5 million = \$200,000
5 million - 8 million = \$300,000
Above 8 million = \$400,000.

Component II: \$1M - \$2.95M.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm>

Regional or Local Office:

See Regional Agency Offices. Local Office - Program Contact: Kristin Brusuelas, Branch Chief, Government Relations Branch, Office for State, Tribal, Local and Territorial Support, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, NE, Mailstop K86, Atlanta, GA 30333. Telephone: (770) 488-1624. Fax: (770) 488-1600. E-mail: KMB0@cdc.gov; Grants Management Contact: Annie Camacho- Harrison, Procurement and Grants Office, Center for Disease Control and Prevention, Department of Health and Human Services, 2920 Brandywine Road, Room 1126, Atlanta, GA 30341. Telephone: (770) 488-2098.

Headquarters Office:

Andrea Wooddall 2500 Century Pkwy, Atlanta, Georgia 30329 Email: ayw3@cdc.gov Phone: (404) 498-6792

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) clearly provide a plan that include quantitative process and outcome measures (2) provide adequate and clear information to enable the reviewer to gain a reasonable understanding of the applicants recent and current efforts to address the issues and (3) provide a realistic plan with activities that can be achieved in year one and subsequent years. Other criteria will be listed in the individual funding opportunity announcement.

93.508 AFFORDABLE CARE ACT (ACA) TRIBAL MATERNAL, INFANT, AND EARLY CHILDHOOD HOME VISITING PROGRAM
Tribal Home Visiting (HV) Program and Tribal Research Center for Early Childhood (TRCEC).

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Section 511(e)(8)(A) of the Social Security Act, as added by Section 2951 of the Affordable Care Act and amended by the Protecting Access to Medicare Act of 2014 and the Medicare Access and CHIP Reauthorization Act of 2015 Public Law No: 114-10, Title V, Section 511(h)(2)(A); Section 511(e)(8)(A) of the Social Security Act, as added by Section 2951 of the Affordable Care Act and amended by the Protecting Access to Medicare Act of 2014 and the Medicare Access and CHIP Reauthorization Act of 2015 Public Law No: 114-10; Head Start Act as Amended by Improving Head Start for School Readiness Act, Section 648, 42 U.S.C 9843-9844; Section 511(e)(8)(A) of the Social Security Act, as added by Section 2951 of the Affordable Care Act and amended by the Protecting Access to Medicare Act of 2014 and the Medicare Access and CHIP Reauthorization Act of 2015; Child Care and Development Block Grant Act of 1990, as amended, 42 U.S.C 9858; Consolidated Appropriations Act, 2014, Public Law 113-76, Section 648, 42 U.S.C 9843-9844; Section 511(e)(8)(A) of the Social Security Act, as added by Section 2951 of the Affordable Care Act and amended by the Protecting Access to Medicare Act of 2014 and the Medicare Access and CHIP Reauthorization Act of 2015 Public Law No: 114-10, Title V, Section 511(h)(3) & (j).

OBJECTIVES:

The Tribal Maternal, Infant, and Early Childhood Home Visiting Program (TMIECHV) is being administered by The Administration for Children and Families (ACF) Office of Early Childhood Development (ECD), Office of Child Care (OCC), in collaboration with the Health Resources and Services Administration (HRSA). Assistance is available to eligible Tribes (or consortia of Tribes), Tribal Organizations, and Urban Indian Organizations, to strengthen and improve maternal and child health programs, improve service coordination for at-risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at-risk communities.

The ACF, Office of Planning, Research and Evaluation (OPRE), in collaboration with HRSA, is administering the Tribal Research Center for Early Childhood (TRCEC), which will provide leadership and support to promote excellence in community-based participatory research and evaluation of ACF early childhood initiatives that serve Tribal communities (i.e., Home Visiting, Head Start, Early Head Start). The TRCEC is expected to engage in a variety of activities that are designed to identify and develop effective practices and systems for home visiting, Head Start, and Early Head Start programs in Tribal communities; to establish the culturally meaningful processes and outcomes of those programs; to build research capacity within Tribal communities; and to build the capacity of researchers to conduct research and evaluation in partnership with Tribal communities. TRCEC will provide leadership and support to promote excellence in community-based research and evaluation of Tribal MIECHV, Head Start, and EHS initiatives that serve AI/AN children and families. TRCEC is expected to engage in activities that support the identification and development of effective practices and systems for integrated services for Tribal MIECHV, Head Start, and EHS in tribal communities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Assistance for the Tribal HV Program will be used to: conduct a needs assessment that considers community characteristics and the quality and capacity of existing home visiting programs and other supportive services; is coordinated with other relevant needs assessments; and involves community stakeholders as appropriate; collaborative planning efforts to address identified needs by developing capacity and infrastructure; providing high-quality, evidence-based home visiting services to pregnant women and families with young children aged birth to kindergarten entry; establishing, measuring, and reporting on progress toward meeting 36 performance measures in six legislatively-mandated benchmark areas; and conducting rigorous local evaluations that may include examining effectiveness of home visiting models in serving Tribal populations, adaptations of home visiting models for Tribal communities, or questions regarding implementation of infrastructure necessary to support evidence-based home visitation models in Tribal communities.

Consistent with statutory authority, Home Visiting funds for the TRCEC will be used for: (a) activities to promote excellence in research and evaluation of

Tribal MIECHV programs in tribal communities; and (b) measurement development activities that will expand the evidence base around home visiting programs for AI/AN populations. Consistent with the relevant statutory authority, Head Start funds for the TRCEC will be used for research and evaluation activities to: (a) foster continuous improvement in the quality of the Head Start programs and in their effectiveness in enabling participating AI/AN children and their families to succeed in school and otherwise; and (b) develop, test, and disseminate new ideas based on existing scientifically valid research, for addressing the needs of AI/AN children and their families and communities. Costs of organized fundraising, including financial campaigns, endowment drives, solicitation of gifts and bequests, and similar expenses incurred solely to raise capital or obtain contributions, are unallowable. Costs of organized fundraising, including financial campaigns, endowment drives, solicitation of gifts and bequests, and similar expenses incurred solely to raise capital or obtain contributions, are unallowable.

Grant awards will not allow reimbursement of pre-award costs.

Construction and purchase of real property are not allowable activities or expenditures under this grant award.

The statute requires that grantees supplement and not supplant funds from other HV initiatives.

Awards issued under this announcement are subject to the uniform administrative requirements and cost principles of 45 CFR Part 75.418 & 75.419 (Special Consideration for Institutions of Higher Education) and Appendix IX to Part 75 (Federal Awards to Hospitals) and 45 CFR Part 75.416 & 417 (Special Consideration for States, Local Governments and Indian Tribes).

An application funded with the release of Federal funds through a grant award, does not constitute, or imply, compliance with Federal regulations. Funded organizations are responsible for ensuring that their activities comply with all applicable Federal regulations.

Grantees are subject to the limitations set forth in 45 CFR Part 75.215 (b) (Prohibition against profit), which states that, Except for awards under the Small Business Innovation Research (SBIR) and Small Business Technology Transfer Research (STTR) programs (15 U.S.C. 638), no HHS funds may be paid as profit to any recipient even if the recipient is a commercial organization. Profit is any amount in excess of allowable direct and indirect costs."

Grantees are also subject to the requirements of 45 CFR Part 87, Equal Treatment for Faith-Based Organizations: "Direct Federal grants, sub-award funds, or contracts under this ACF program shall not be used to support inherently religious activities such as religious instruction, worship, or proselytization. Therefore, organizations must take steps to separate, in time or location, their inherently religious activities from the services funded under this program. Regulations pertaining to the Equal Treatment for Faith-Based Organizations, which includes the prohibition against Federal funding of inherently religious activities, can be found at the HHS web site at: <http://www.hhs.gov/fbc/waisgate21.pdf>.

A faith-based organization receiving HHS funds retains its independence from Federal, State, and local governments, and may continue to carry out its mission, including the definition, practice, and expression of its religious beliefs. For example, a faith-based organization may use space in its facilities to provide secular programs or services funded with Federal funds without removing religious art, icons, scriptures, or other religious symbols. In addition, a faith-based organization that receives Federal funds retains its authority over its internal governance, and it may retain religious terms in its organization's name, select its board members on a religious basis, and include religious references in its organization's mission statements and other governing documents in accordance with all program requirements, statutes, and other applicable requirements governing the conduct of HHS funded activities." Additional information on "Understanding the Regulations Related to the Faith-Based and Community Initiative" can be found at: <http://www.hhs.gov/fbc/regulations/index.html>.

The Code of Federal Regulations (CFR) is available at <http://www.gpoaccess.gov/CFR/>.

Awards issued under this announcement are subject to the requirements of Section 106 (g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104). For the full text of the award term, go to http://www.acf.hhs.gov/grants/award_term.html.

TRCEC awards are subject to the availability of Federal funds. Please note that funds for MIECHV are not currently appropriated for the full length of the identified project period.

The Federal share of TRCEC project costs shall not exceed \$600,000 for any of the 12-month budget periods, inclusive of indirect costs .

Funds and their associated activities/costs supported by funds from MIECHV Tribal Home Visiting, Office of Child Care and OHS for the TRCEC must be tracked separately; and must be accounted for on an ongoing basis and expenditures attributed to either Tribal HV, Child Care or Head Start portions of the project. All funds are discretionary under these programs. Costs of organized fundraising, including financial campaigns, endowment drives, solicitation of gifts and bequests, and similar expenses incurred solely to raise capital or obtain contributions, are unallowable.

Grant awards will not allow reimbursement of pre-award costs.

Construction and purchase of real property are not allowable activities or expenditures under this grant award.

The statute requires that grantees supplement and not supplant funds from other HV initiatives. All funds are discretionary under these programs.

Applicant Eligibility:

Only Tribes (or a consortium of Indian Tribes), Tribal Organizations, or Urban Indian Organizations, as defined by Section 4 of the Indian Health Care Improvement Act, Public Law 94-437, are eligible applicants for the Tribal HV Grant Program.

For the TRCEC, only the following are eligible applicants: a) public and State controlled institutions of higher education; b) nonprofits having a 501(3)(3) status with the IRS, other than institutions of higher education; c) nonprofits without 501(c)(3) status with the IRS, other than institutions of higher education; (d) private institutions of higher education; e) for profit organizations other than small businesses; and f) small businesses.

Beneficiary Eligibility:

For the Tribal HV program:

- Eligible families residing in at-risk American Indian/Alaskan Native communities in need of such services, as identified in a needs assessment;
- Low-income eligible families;
- Eligible families who are pregnant women under age 21;
- Eligible families with a history of child abuse or neglect or have had interactions with child welfare services;
- Eligible families with a history of substance abuse or need substance abuse treatment;
- Eligible families that have users of tobacco products in the home;
- Eligible families that are or have children with low student achievement;
- Eligible families with children with developmental delays or disabilities; and
- Eligible families who, or that include individuals serving or formerly serving in the Armed Forces, including those with members who have had multiple deployments outside the US.

Eligible family:

- A woman who is pregnant, and the father of the child if available, or
- A parent or primary caregiver of the child, including grandparents or other relatives and foster parents serving as the child's primary caregiver from birth until kindergarten entry, including a noncustodial parent with an ongoing relationship with, and at times provides physical care for the child.

Credentials/Documentation:

Applicants should review each of the individual funding opportunity announcements issued under this CFDA program for any required proof or certifications, which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should review each of the individual funding opportunity announcements issued under this CFDA program for any additional application procedures, which must be followed when submitting an application package.

Award Procedure:

(a) For the Tribal HV program: each application will be screened to determine whether it was received by the closing date and time and whether the requested amount exceeds the award ceiling. Applications that are designated as late or those with requests that exceed the award ceiling will be returned to the applicant with a notation that they were deemed non-responsive and will not be reviewed.

Applications competing for financial assistance will be reviewed and evaluated by objective review panels using the criteria described in the funding opportunity announcement. Each panel is made up of experts with knowledge and experience in the area under review. Generally, review panels are composed of three reviewers and one chairperson.

Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding and are one element of the decision-making process.

ACF may elect to not fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than that requested. ACF reserves the right to consider a preference to fund organizations serving emerging, unserved, or under-served populations, including those located in pockets of poverty, and to consider the geographic distribution of Federal funds in its funding decisions.

ACF may refuse funding for projects with what it regards as unreasonably high start-up costs for facilities or equipment, or for projects with unreasonably high operating costs. In addition, federal staff will conduct an administrative review of the applications and the results of the competitive review and will make recommendations for funding to the Director, Office of Child Care (OCC) With the results of the competitive review and information from federal staff, the Director of OCC, in consultation with Deputy Assistant Secretary and Inter-Departmental Liaison for Early Childhood Development, will make the final funding decisions.

For the TRCEC, grant awards are subject to the availability of Federal funds. Note that funds for the Tribal MIECHV are not appropriated for the full length of the specified project period.

The Federal share of project costs for any of the 12-month budget periods, inclusive of indirect costs will be from the Tribal MIECHV Program, Office of Child Care or Head Start research funds). Consistent with statutory authority, Home Visiting funds will be used for: (a) activities to promote excellence in research and evaluation of Tribal MIECHV programs in tribal communities; and (b) measurement development activities that will expand the evidence base around home visiting programs for AI/AN populations. Consistent with the relevant statutory authority, Child Care funds will be used for research and evaluation activities to: (a) support research on child care policy and practice, (b) examine the implications for policies across child care and other early childhood service settings, and (c) encourage research projects that address

efforts of states, territories, tribes, and localities to coordinate early childhood programs, examine the challenges and opportunities for doing this, and investigate how coordination affects the services received by eligible families and children. Consistent with the relevant statutory authority, Head Start funds will be used for research and evaluation activities to: (a) foster continuous improvement in the quality of the Head Start programs and in their effectiveness in enabling participating AI/AN children and their families to succeed in school and otherwise; and (b) develop, test, and disseminate new ideas based on existing scientifically valid research, for addressing the needs of AI/AN children and their families and communities.

No grant award will be made under this announcement on the basis of an incomplete application. No grant award will be made to an applicant or sub-recipient that does not have active CCR registration (www.ccr.gov or 1-866-606-8220).

Each application will be screened to determine whether it was received by the closing date and time and whether the requested amount exceeds the award ceiling. Applications that are designated as late, or those with requests that exceed the award ceiling, will receive a screen-out letter noting that the application was deemed non-responsive and will not be considered for competitive review or funding. For those applications that have been deemed disqualified under the initial ACF screening, notice will be given of such determination by postal mail.

Applications competing for financial assistance will be reviewed and evaluated by objective review panels using the criteria described in the funding opportunity announcement. Each panel is made up of experts with knowledge and experience in the area under review. Generally, review panels are composed of three reviewers and one chairperson.

Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. They are one element in the decision-making process.

Applications recommended for approval that were not funded under the competition because of the lack of available funds, may be held over by ACF and re-considered in a subsequent review cycle if a future competition under the program area is planned. These applications will be held over for a period of up to one year and will be re-competed for funding with all other competing applications in the next available review cycle. For those applications that have been deemed as approved but unfunded, notice will be given of such determination by postal mail.

Announcement of awards and the disposition of applications will be provided to applicants at a later date.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. For the TRCEC, successful applicants will be notified through the issuance of a Financial Assistance Award (FAA) document that sets forth the amount of funds granted, the terms and conditions of the grant, the effective date of the grant, the budget period for which initial support will be given, the non-Federal share to be provided (if applicable), and the total project period for which support is contemplated. The FAA will be signed by the Grants Officer and transmitted via postal mail. Following the finalization of funding decisions, organizations whose applications will not be funded will be notified by letter, signed by the Program Office head.

Other correspondence announcing to a Principal Investigator or Project Director that an application was selected is not an authorization to begin performance. Costs incurred before receipt of a FAA are at the recipient's risk and may be reimbursed only to extent considered allowable as approved pre-award costs.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

For the Tribal HV, grants (cooperative agreements) are generally awarded for a 5-year project period. In the first year of the project, grants will be awarded on a competitive basis. Pending successful completion of Year 1 activities, submission of a plan that meets requirements, and approval of this plan, applications will be considered on a noncompetitive continuation basis for Years 2-5 subject to availability of funds, satisfactory progress of the grantee, and a determination that continued funding would be in the best interest of the Federal Government. Grants will be awarded for 12-month budget periods with a 5-year project period.

Funds awarded to grantees under this program will be made available for expenditure through the end of the second succeeding fiscal year after award.

For the TRCEC, grants (cooperative agreements) are generally awarded with a project period of up to five years. The initial award will be for the first 12-month budget period. Budget requests for the second through fifth year of funding within the project period should be identified in the current application (on SF-424A), but such requests will be considered in subsequent years on a noncompetitive basis, subject to the applicant's eligibility status, the availability of funds, satisfactory progress of the grantee, and a determination that continued funding would be in the best interest of the Federal Government. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees under this announcement will be required to submit performance progress and financial reports periodically throughout the project period.

The frequency of required reporting is described in each funding opportunity announcement. Final reports may be submitted in hard copy to the Grants Management Office Contact identifiable post-award. Instructions on submission of reports electronically will be provided with award documents.

ACF grantees are required to submit the SF-PPR Cover Page. ACF Programs that utilize reporting forms or formats in addition to, or instead of, the SF-PPR have listed the reporting requirements.

Grant award documents will inform grantees of the appropriate performance progress report form or format to use. Grantees should consult their award documents to determine the appropriate performance progress report format required under their award. Performance progress reports are due 30 days after the end of the reporting period.

Final program performance reports are due 90 days after the close of the project period. The SF-PPR may be found at http://www.acf.hhs.gov/grants/grants_resources.html.

Further instructions will be provided, as necessary, with award terms and conditions that will address specific reporting periods and due dates on an award-by-award basis. Additional information on frequency of reporting is available on the ACF Funding Opportunities web site at http://www.acf.hhs.gov/grants/msg_sf425.html. Federal Financial Report (FFR) - Federal Cash Transaction Report. Progress reports are not applicable. As of February 1, 2011, the Department of Health and Human Services (HHS) began the transition from use of the SF-269, Financial Status Report (Short Form or Long Form) to the use of the SF-425 Federal Financial Report for expenditure reporting. SF-269s will no longer be accepted for expenditure reports due after that date. If an SF-269 is submitted, the ACF will return it and require the recipient to complete the SF-425.

The transition strategy is allowing individual HHS Operating Divisions to

select--from a limited number of options--the approach that best fits their programs and business process. This transition does not affect completion or submission of the cash reporting to the HHS Division of Payment Management's Payment Management System (PMS). The primary features of this transition for recipients are that OPDIVs that previously required electronic submission of the SF-269 will receive the SF-425 expenditure reports electronically and, until further notice, OPDIVs that have been receiving expenditure reports in hard copy will continue to do so.

All expenditure reports will be due on one of the standard due dates by which cash reporting is required to be submitted to PMS OR at the end of a calendar quarter as determined by the Operating Division. As a result, a recipient that receives awards from more than one OPDIV may be subject to more than one approach, but will not be required to change its current means of submission or be subjected to more than eight standard due dates.

Beginning with budget periods which end from January 1 - March 31, 2011, and for all budget periods thereafter, all affected ACF grantees will be required to submit an SF-425 report as frequently as is required in the terms and conditions of their award using due dates for reports to PMS.

Fillable versions of the SF-425 form in Adobe PDF and MS-Excel formats, along with instructions, are available at http://www.whitehouse.gov/omb/grants_forms, www.forms.gov, and on the ACF Funding Opportunity website Forms page.

Further instructions will be provided, as necessary, with award terms and conditions that will address specific reporting periods and due dates on an award-by-award basis. Additional information on frequency of reporting is available on the ACF Funding Opportunities web site at http://www.acf.hhs.gov/grants/msg_sf425.html.

Awards issued as a result of this funding opportunity may be subject to the Transparency Act subaward and executive compensation reporting requirements of 2 C.F.R. Part 170. See ACF's Award Term for Federal Financial Accountability and Transparency Act (FFATA) Subaward and Executive Compensation Reporting Requirement implementing this requirement and additional award applicability information. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. None.

Records:

Awards issued under this program are subject to the uniform administrative requirements of 45 CFR Part 75 (Awards And Sub-awards To Institutions Of Higher Education, Hospitals, Other Nonprofit Organizations, And Commercial Organizations) or (Grants And Cooperative Agreements To State, Local, And Tribal Governments).

Account Identification:

75-0321-0-1-506.

Obligations:

(Project Grants) FY 16 \$3,634,000; FY 17 est \$3,130,000; and FY 18 est \$0 - Three percent of annual appropriations shall be reserved for purposes of making grants to eligible entities that are Indian Tribes (or a consortium of Indian Tribes), Tribal organizations, or Urban Indian Organizations.

Range and Average of Financial Assistance:

For the Tribal HV, the range of funding is \$265,000-\$775,000 per budget period.

For the TRCEC, the range of funding is up to \$600,000 per budget period and the average is \$300,000.

TAFS Codes:

75-0321.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The actual amount for 6 awards was \$3,634,000. Fiscal Year 2017: It is estimated that 6 awards will be made for 3,130,000 in FY 2017. Fiscal Year 2018: After FY2017, it is planned there will be no more awards associated with this CFDA number so the amount is \$0.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Anne Bergan Mary E. Switzer Building
330 C Street, SW, Suite 3014F

, Washington, District of Columbia 20201 Email: anne.bergan@acf.hhs.gov
Phone: 202-260-8515

Website Address:

<http://www.acf.hhs.gov/programs>

RELATED PROGRAMS:

93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program; 93.575 Child Care and Development Block Grant; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the criteria published in each funding opportunity announcement.

93.510 AFFORDABLE CARE ACT (ACA) PRIMARY CARE RESIDENCY EXPANSION PROGRAM

Primary Care Residency Expansion (PCRE); ACA PCRE

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 747 of the Public Health Service Act, as amended by the Affordable Care Act (Public Law 111-148); and the Public Health Prevention Act Section 4002, Conference Report, H. Rept. 111-366, Division D (referencing S. Rept. 111-66), accompanying the Consolidated Appropriations Act, 2010, Public Law 111-117.

OBJECTIVES:

The Primary Care Residency Expansion program was a five-year initiative to increase the number of physicians trained in family medicine, general internal medicine, and general pediatrics residency programs. Grantees are primary care residency programs that commit to increasing their number of training positions by one to four new post-graduate year one positions for five consecutive years. Awards facilitate this expansion by providing \$80,000 per expanded position per year.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards may only be used to fund an accredited family medicine, general internal medicine, or general pediatric residency program. Applicants may request support for only one residency program (discipline).

Approved uses of Primary Care Residency Expansion grant funds are resident salary including fringe benefits and indirect costs, training expenses, and resident physician travel. Personnel, staffing, consultant cost, equipment, supplies, subcontracts and other items are strictly prohibited under this grant award.

Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and

reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

Eligible applicants include public or nonprofit private hospitals, schools of medicine or osteopathic medicine or a public or private nonprofit entity of which the Secretary has determined is capable of carrying out such grants. Applicants may request support for only one residency program (discipline). Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Accredited primary care residency training programs in family medicine, general internal medicine, and general pediatrics.

Project participants (residents) must be U.S. Citizens, non-citizen nationals, or foreign nationals who possess visas permitting permanent residence in the United States. Individuals on temporary or student visas are not eligible.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications that must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program has MOE requirements, see funding agency for further details. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

Awards were funded for five (5) years of support. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required

to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. Cash reports are not applicable. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records 3 years from the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This program was fully funded with Public Health and Prevention Fund (PHPF) money initial budget and project periods were 9/30/2010-9/29/2015.

Range and Average of Financial Assistance:

Total award amounts ranged from \$960,000 to \$3,840,000 per grantee during the period of funding. The average total award for the five year project period was \$1,440,000.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: During Academic Years 2015-2016, a total of 457 primary care physicians received training through PCRE funding and 162 of those individuals completed their residency programs. 63 percent of residents intended to practice in primary care settings after graduation and over 34 percent intended to practice in medically underserved communities. Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Anthony Anyanwu, Project Officer, Medical Training and Geriatrics Branch,
Division of Medicine and Dentistry, Bureau of Health Workforce 5600 Fishers
Lane, Room 15N190B, Rockville, Maryland 20857 Email:
aanyanwu@hrsa.gov Phone: (301) 443-8437 Fax: (301) 443-8437

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.511 AFFORDABLE CARE ACT (ACA) GRANTS TO STATES FOR HEALTH INSURANCE PREMIUM REVIEW

Premium Review Grants

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordability Care Act, Section 1003.

OBJECTIVES:

A program to assist States in a) in reviewing and, if appropriate under State law, approving premium increases for health insurance coverage; b) in providing information and certain data requirements to the HHS Secretary on premium increase patterns, and c) establishing and enhancing data centers that will compile and publish fee schedule information. Refer to funding opportunity announcement for additional information.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds are to be used as outlined in Section 1003 of the Affordable Care Act (ACA). Grant funds that are not fully obligated under this, or subsequent grant programs authorized under Section 1003 of the ACA, by FY 2014 can remain available to the Secretary for grants to States for planning and implementing the insurance reforms and consumer protections outlined in Section 1003 of the ACA.

Applicant Eligibility:

Grants to States' (including the District of Columbia) and Territories for premium review, and Data Centers enhancing pricing transparency. Applicants should review the CMS funding opportunity announcement documents for further eligibility requirements.

Beneficiary Eligibility:

Grants to States' (including the District of Columbia) and Territories for premium review, and Data Centers.

Credentials/Documentation:

Applicants should review the CMS funding opportunity announcement documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. CMS requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the CMS program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Grant Award.

Deadlines:

Jul 17, 2013 to Aug 01, 2013 A Letter of Intent is mandatory and must be submitted by 4:00 pm Eastern Daylight Time on June 17, 2013. All grant applications must be submitted electronically and be received through <http://www.grants.gov> by 4:00 pm Eastern Daylight Time on August 1, 2013.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Section 1003 of the ACA provides for funding to be awarded between Fiscal Year 2010 and Fiscal Year 2014. Refer to funding opportunity announcement for additional information on subsequent grants awarded for premium review authorized under Section 1003 of ACA.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grant funding for this funding opportunity announcement will be available for the remainder of Fiscal Year 2013, through Fiscal Year 2015. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS) is the centralized web based payment system for HHS awards. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Quarterly, Annual and Final programmatic reports are required in the funding opportunity announcement. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to www.dpm.psc.gov for additional information. Annual financial reports and annual narrative progress reports are required. Submit a Financial Status Report (FSR or SF-269) directly to OS. The report identifies cash expenditures against the authorized funds for the grant. The Financial Status Report must be filed semi-annually or annually depending on the terms and conditions of award. Failure to submit the report may result in the inability to access grant funds. As described in the funding opportunity announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records 3 years after the date

they submit the final Federal Financial Report. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0112-0-1-551.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Grantees receive between \$1,000,000 and \$4,999,999 per grant year.

TAFS Codes:

75-X-1-0112-2.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments or 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. CMS awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://dhhs.gov/asfr/ogapa/grantinformation/hhsgps107.pdf>

Regional or Local Office:

None.

Headquarters Office:

Sarah Norman Department of Health and Human Services, 200 Independence Avenue, SW, Hubert H. Humphrey Building, Washington DC, District of Columbia 20201 Email: sarah.norman@cms.hhs.gov Phone: 301-492-4185

Website Address:

<http://cciio.cms.gov/programs/marketreforms/rates/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in Section V--Application Review in the funding opportunity announcement.

93.513 AFFORDABLE CARE ACT (ACA) ADVANCED NURSING EDUCATION EXPANSION INITIATIVE

ACA ANEE

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 811 of the Public Health Service Act (42 U.S.C. 296j), as amended by the Affordable Care Act, Public Law 111-148.

OBJECTIVES:

The purpose of the Advanced Nursing Education Expansion (ANEE) Initiative was to 1) increase the number of students enrolled full time in accredited primary care Nurse Practitioner and Nurse Midwifery programs, and 2) accelerate the graduation of part time student in such programs by encouraging full time enrollment.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The grantee is responsible for the disbursement of grant funds to eligible students. Stipend grant funds may be used as follows:

- 1) ANEE grant funds are used to support stipends awarded under the terms of the Notice of Award.
- 2) Stipends for students cannot exceed \$22,000 per year.
- 3) Full tuition and fees, reasonable living expenses and other educational costs are allowable costs.
- 4) Trainee travel (including daily commuting costs) is not an allowable expense.
- 5) Indirect Costs (Facilities and Administrative - F&A Costs) are applicable to the ANEE Program.

Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Student support through stipends, tuition, and fees is eligible for support.

Personnel, staffing, consultant cost, equipment, travel and subcontracts are prohibited under this grant award. Furthermore, funding may not be used for fringe benefits.

Applicant Eligibility:

Eligible applicants are collegiate schools of nursing, academic health centers, and other private or public entities accredited by a national nursing accrediting agency recognized by the Secretary of the U.S. Department of Education that offers and has students enrolled in primary care nurse practitioner programs and/or a nurse-midwifery program. The nurse midwifery program must be accredited by the Accreditation Commission on Midwifery Education. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Project participants (students) must be U.S. Citizens, non-citizen nationals, or foreign nationals who possess visas permitting permanent residence in the United States. Individuals on temporary student visas are not eligible. Students must be enrolled full-time in a primary care nurse practitioners and/or nurse-midwifery program during the project period.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or accreditation which must be submitted with an application package. Recipients must include an accreditation documentation letter from a national nursing accrediting agency with their application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 5-year project, with funding for the entire five year budget period awarded in the first year of support. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSAs Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - All funds were awarded in FY10 with a five year budget and project period.

Range and Average of Financial Assistance:

Up to \$22,000 per full-time student for each year of the two-year program enrollment per student.

FY 2010 range: \$704,000 to \$1,425,600; average: \$1,194,009 (award amounts are for 5 years of funding per grantee).

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Kristen Hansen 5600 Fishers Lane, Room 11N100, , Rockville, Maryland 20857 Email: khansen@hrsa.gov Phone: 301-443-2796

Website Address:

<http://bhpr.hrsa.gov/nursing/grants/aneec.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.514 AFFORDABLE CARE ACT (ACA) EXPANSION OF PHYSICIAN ASSISTANT TRAINING PROGRAM

Expansion of Physician Assistant Training (EPAT); ACA EPAT

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 747 of the Public Health Service Act; and the Public Health Prevention Act Section 4002, Conference Report, H. Rept. 111-366, Division D (referencing S. Rept. 111-66), accompanying the Consolidated Appropriations Act, 2010, Public Law 111-117.

OBJECTIVES:

The Expansion of Physician Assistant Training Program is a five-year initiative to increase the number of physician assistants in the primary care workforce. Grantees are physician assistant education programs that commit to sustaining an increased number of new first year students for five consecutive years. Awards facilitate this expansion by supporting higher enrollment by funding new student stipends, educational expenses, reasonable living expenses, and indirect costs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds were distributed, through a competitive application process, to academically affiliated accredited physician assistant education programs and may only be used to provide stipends, educational expenses, reasonable living expenses, and indirect costs to physician assistant students. Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Funds may not be used for the following purposes: Personnel, staffing, fringe benefits, consultant cost, travel, equipment, supplies, subcontracts and other items.

Applicant Eligibility:

Eligible applicants include public or nonprofit academically affiliated physician assistant education programs whose purpose is to educate individuals that will be qualified to provide primary care medical services under the supervision of a physician. Applicants must be accredited by the Accreditation Review Commission on Education for the Physician Assistant (ARC-PA). Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Newly enrolled, full time physician assistant students in an accredited physician assistant education program who intend to work or teach in a primary care discipline after graduation. Project participants (students) must be U.S. citizens, non-citizen nationals, or foreign nationals who possess visas permitting permanent residence in the United States. Individuals on temporary student visas are not eligible.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications that must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications were forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority was responsible for final selection and funding decisions. Notification was made in writing by a Notice of Award.

Award Procedure:

Notification of award was made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 - to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

This funding opportunity provided support for a 5-year project period. See the following for information on how assistance is awarded/released: Recipients drew down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide.

A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Awards were funded for a five year project/budget period in FY 2010.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Anne Patterson, Project Officer, Medical Training and Geriatrics Branch, Division of Medicine and Dentistry, Bureau of Health Workforce 5600 Fishers Lane, Rockville, Maryland 20857 Email: APatterson@hrsa.gov Phone: (301) 443-6822

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. See the most recent Funding Opportunity Announcement for detailed selection criteria.

93.516 PUBLIC HEALTH TRAINING CENTERS PROGRAM

Regional Public Health Training Center (PHTC) and the National Coordinating Center for Public Health Training (NCCPHT) Programs

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Regional PHTC: Sections 765 and 766 of the Public Health Service Act (42 U.S.C. 295 and 295a) National Coordinating Center for Public Health Training: Sections 765 and 765 (d) (9) of the Public Health Service Act (42 U.S.C. 295 and 295a).; National Coordinating Center for Public Health Training: Sections 765 and 765 (d) (9) of the Public Health Service Act (42 U.S.C. 295 and 295a).

OBJECTIVES:**REGIONAL PUBLIC HEALTH TRAINING CENTER (PHTC):**

The purpose of the Regional PHTC program is to improve the Nation's public health system by strengthening the technical, scientific, managerial and leadership competencies of the current and future public health workforce through the provision of education, training and consultation services. The Regional PHTC Programs together comprise a national program that serves all 50 states, the District of Columbia, the U.S. Virgin Islands, Puerto Rico, and the six U.S. Pacific Jurisdictions. Ten regions are funded to ensure that the U.S. and its jurisdictions all have access to public health workforce education and training. The work of the Regional PHTCs will be accomplished through the provision of education, training, and consultation to State, local, and Tribal health department staff as a primary audience, particularly frontline public health workers and middle managers. The secondary audience includes staff from other parts of the public health system such as non-profit organizations, federally qualified health centers, accountable care organizations, and hospitals, among others.

NATIONAL COORDINATING CENTER FOR PUBLIC HEALTH TRAINING (NCCPHT):

The NCCPHT provides technical assistance and oversight to the Regional Public Health Training Centers to enhance their capacity and infrastructure. Among other activities, the National Coordinating Center for Public Health Training will develop a formal network across all regional centers, coordinate activities and enhance collaboration across the network, develop and implement a communications strategy to expand the reach of the Regional centers nationally, and lead the standardization and vetting of public health core competency continuing education courses across all regional centers. The NCCPHT will work with the Regional PHTCs to achieve economies of scale and reduce duplication of all training, including the development of cross-cutting course content.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grant funds may support salaries, stipends, consultant costs, equipment, and travel as requested to develop and implement the program. Grant funds may not be used for construction, patient services, student tuition or international activities unless associated with a recognized territorial awardee. Grant funds may not be used to supplant training and education activities which should be provided as part of the mission of the awardee or sub-awardee institution. In addition, grant funds are not intended to supplant funds for educational efforts which should be supported by nonprofit private industry or other public agencies. Applicants are expected to leverage their resources to create enhanced

training opportunities through partnerships and collaboration.

Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

Accredited school of public health, or another public or nonprofit private institution accredited for the provision of graduate or specialized training in public health.

This program also includes a statutory funding preference for accredited schools of public health.

Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Each trainee receiving stipend support must be a citizen of the United States, a non-citizen U.S. national, or a foreign national having in his or her possession a visa permitting permanent residence in the United States.

Credentials/Documentation:

Applicants should review the individual Notice of Funding Opportunity documents issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 4-year project period. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS),

the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. All recipients are required to submit annual performance and non-competing progress reports. A Federal Financial Report (SF-425) is required for both programs according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FSR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0353-0-1-552.

Obligations:

(Cooperative Agreements) FY 16 \$8,250,000; FY 17 est \$8,250,000; and FY 18 est \$0 - Regional PHTCs. (Cooperative Agreements) FY 16 \$845,000; FY 17 est \$843,886; and FY 18 est \$0 - NCCPHT.

Range and Average of Financial Assistance:

FY 16 Range: Regional PHTCs: - \$705,000 to \$1,005,000 (Dependent on Region)
FY 16 Range: NCCPHT: - \$845,000.

FY 17 Range: Regional PHTCs: - \$705,000 to \$1,005,000 (Dependent on Region)

FY 17 Range: NCCPHT: - \$843,886.

TAFS Codes:

75-11-12-0353.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Michael Jenkins, Project Officer, Behavioral and Public Health Branch, Division of Nursing and Public Health, Bureau of Health Workforce Health Resources and Services Administration, Department of Health and Human Services 5600 Fishers Lane, 11N94D, Rockville, Maryland 20857 Email: mjenkins@hrsa.gov Phone: 301-443-1057

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of applications have been instituted to provide for an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Funding Opportunity Announcement for detailed selection criteria.

93.519 AFFORDABLE CARE ACT (ACA) CONSUMER ASSISTANCE PROGRAM GRANTS

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

The Public Health Service Act Section 2793, as amended by Section 1002 of the ACA.

OBJECTIVES:

To assist consumers with filing complaints and appeals, assist consumers with enrollment into health coverage, and educate consumers on their rights and responsibilities. In addition, by law they will collect data on consumer inquiries and complaints to help the Secretary identify problems in the marketplace and strengthen enforcement. Starting in 2014, programs must also help resolve problems with premium credits for Exchange coverage.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant Funds are to be used as outlined in Section 1002 of the Affordable Care Act (ACA). Section 1002 also includes specific restrictions for these grant funds. ..

Applicant Eligibility:

Consumer Assistance program grants are grants to States.

Beneficiary Eligibility:

Projects will benefit (1) Consumer Assistance Program.

Credentials/Documentation:

Applicants should review the funding opportunity announcement documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. CCIIO requires all applicants to apply electronically through Grants.gov

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the CCIIO program with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Section 1002 of the ACA states that grants shall be awarded to States for the creation or expansion of consumer assistance or ombudsman program. Awards made under this grant will be for 12 months. Method of awarding/releasing assistance: lump sum.

Reports:

As required under the Public Health Service (PHS) Act Section 2793(d), awardees are expected to submit quarterly data reports to HHS. Quarterly reports will be due 30 days after the end of each quarter. Awardees must also agree to respond to requests and provide data on consumer assistance activities as needed by the Secretary. Awardees must also submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Report must be filed within 30 days of the end of each quarter. An additional Final Financial Report is due within 90 days of the end of the grant period of performance. Go to www.dpm.psc.gov for additional information. Please also refer to the funding opportunity announcement for required reports. Performance monitoring may include training, technical assistance, conference calls, and seminars. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to www.dpm.psc.gov for additional information. Refer to funding opportunity announcement for required reports. Submit a Financial Status Report (FSR or SF-269) directly to OS. The report identifies cash expenditures against the authorized funds for the grant. The Financial Status Report must be filed annually. Failure to submit the report may result in the inability to access subsequent grant funds. Performance monitoring may include training, technical assistance, conference calls, and seminars.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records and progress reports 3 years after the date they submit the final Federal Financial Report. If any

litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0511-0-1-551.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Available funds per applicant are based on State populations and requested funding amount. The award minimum is \$200,000 unless applicant requests less than the minimum award amount.

TAFS Codes:

75-0511.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments or 45 CFR Part 74 for institutions of higher education, hospitals, other non profit organizations and commercial organizations, as applicable.

CCIIO awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://dhhs.gov/asfr/ogapa/aboutog/ogpoe.html>

Regional or Local Office:

See Regional Agency Offices. Holly Whelan, Consumer Support Group, Center for Consumer Information and Insurance Oversight, Department of Health and Human Services, HHH Building, 200 Independence Ave., S.W., Washington D.C. 20201.

Headquarters Office:

Michelle T. Feagins, 200 Independence Ave., SW, Washington, District of Columbia 20201 Email: michelle.feagins@hhs.gov Phone: (202) 260-6121.

Website Address:

<http://www.cciio.cms.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in Section V--Application Review in the funding opportunity announcement.

93.521 THE AFFORDABLE CARE ACT: BUILDING EPIDEMIOLOGY, LABORATORY, AND HEALTH INFORMATION SYSTEMS CAPACITY IN THE EPIDEMIOLOGY AND LABORATORY CAPACITY FOR INFECTIOUS DISEASE (ELC) AND EMERGING INFECTIONS PROGRAM (EIP) COOPERATIVE AGREEMENTS; PPHF

ELC/EIP - Prevention and Public Health Fund and other Capacity-Building Activities

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act (PL 11-148), Title IV, Section 4002 (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs. This program is funded in part by 2012 Prevention and Public Health Funds (PPHF-2012), Section 301 and 317, 42 U.S.C 241 and 247b; Sections 301 and 317 of the Public Health Service Act (PHS Act), 42 USC, 241 and 247b as amended; and Funding is appropriated under Affordable Care Act (PL 111-148), Title IV, Section 4002

(Prevention and Public Health Fund) , Title IV, Section 4002.

OBJECTIVES:

The Prevention and Public Health Fund (Title IV, Section 4002) was established under the Patient Protection and Affordable Care Act to provide for expanded and sustained national investment in prevention and public health programs to improve health and help restrain the rate of growth in private and public sector health care costs. The Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) and Emerging Infections Program (EIP) cooperative agreements were formed in 1995 as key components of CDC's national strategy to address and reduce emerging infectious disease (EID) threats. The programs play a critical role in strengthening national infectious disease infrastructure by serving as collaborative platforms for state and local health departments, CDC programs, and academic and various other public health partners to improve the ability to detect and respond to EIDs and other public health threats. Specifically, the programs build epidemiology, laboratory, and information systems capacity, integrate epidemiology and laboratory practice, implement active surveillance, and conduct targeted research aimed at improving methods and informing national surveillance and response activities. Overall, additional funds from multiple sources including PPHF will allow ELC and EIP partner agencies to substantially address gaps in EID epidemiology and laboratory capacity (e.g. number and training level of epi and lab staff, efficient/functional information systems, etc).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, and the implementation of other program elements to build public health epidemiology, laboratory, and health information systems capacity. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. Funds may not be used for clinical care.

Applicant Eligibility:

Eligible applicants include the current ELC and EIP grantees which consists of all U.S. states, 6 large local health departments (Los Angeles County, Philadelphia, New York City, Chicago, Houston, and the District of Columbia), U.S. territories (Puerto Rico, Guam, U.S. Virgin Islands) and other U.S. affiliates in the Pacific (American Samoa, the Republic of Palau, Federated States of Micronesia, Marshall Islands, Mariana Islands and American Samoa).

Beneficiary Eligibility:

State health departments, large local health departments, the District of Columbia, U.S. Territories, and the general public.

Credentials/Documentation:

Allowable costs will be determined in accordance with OMB Circular No. A-87 for State, Local, and Indian Tribal governments. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be submitted electronically via Grant Solutions.

Award Procedure:

Applications that are complete and responsive will be evaluated for scientific and technical merit and receive support. CDC will not review incomplete and non-responsive applications. Applications that are complete and responsive will undergo an objective review process, receive a written critique and be scored according to the published review criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the

recipient and CDC. The NOA will be signed by an authorized Grants Management Officer. Initial award provides funds for the first budget period (usually 12 months) and the NOA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. About 120 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon availability of funding under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. No later than 10 days after the end of each calendar quarter, the recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). The reporting schedule will be based on the requirements listed in the ELC and EIP funding opportunity announcements. Funded applicants must provide an interim and annual progress report. Federal Financial Reports (SF 425) and Final Progress Report are due no more than 90 days after the end of the budget period. All reports must be submitted to the Grants Management Specialist indicated in the NoA. Federal Financial Reports (SF 425) are due no more than 90 days after the end of the budget period. Federal Financial Reports and Progress Reports are required, no more than 90 days after the end of the budget/project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0949-0-1-550; 75-0951-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$61,134,448; FY 17 est \$43,137,343; and FY 18 est \$0 - FY2017 and FY2018 estimates only. FY2017 awards were not complete at the time of updating this information.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0949.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Funds provided under this CFDA provide domestic support via the Epidemiology and Laboratory Capacity (ELC) for Infectious Diseases and the Emerging Infections Program (EIP) Cooperative Agreements. Fiscal year 2016 funds, largely provided via the ELC coag, offered continued support to strengthen the ability of domestic health departments to respond to infectious disease threats. This crucial CDC investment helps local, state, and territorial health departments continue to:

- Build and maintain effective public health workforce for rapid response to infectious disease outbreaks
- Strengthen cross-cutting national surveillance systems
- Boost laboratory infrastructure with the latest diagnostic technologies
- Improve health information systems to efficiently transmit, receive, and analyze infectious disease-related data electronically

For any specific ELC or EIP success stories or accomplishments, please contact CDC INFO (800-CDC-INFO) or program via Judy Lavelle (JLavelle@cdc.gov). Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm>.

Regional or Local Office:

None.

Headquarters Office:

Greg J. Jones Scientific and Program Services Branch, Division of Preparedness and Emerging Infections, National Center for Emerging and Zoonotic Diseases, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, NE, Mailstop C18, Atlanta, Georgia 30333 Email: GJJ1@cdc.gov Phone: (404) 639-4180 Fax: (404) 639-3106

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) clearly provide a plan that includes quantitative process and outcome measures (2) provide adequate and clear information to enable the reviewer to gain a reasonable understanding of the applicants recent and current efforts to address the issues and (3) provide a realistic plan with activities that can be achieved in year one and subsequent years. Other criteria will be listed in the individual funding opportunity announcements.

93.524 BUILDING CAPACITY OF THE PUBLIC HEALTH SYSTEM TO IMPROVE POPULATION HEALTH THROUGH NATIONAL, NON-PROFIT ORGANIZATIONS- FINANCED IN PART BY PREVENTION AND PUBLIC HEALTH FUNDS (PPHF)

CBA to Strengthen Public Health Infrastructure and Performance

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under sections 301 and 317 of the Public Health Service Act (PHS Act), 42 U.S.C. 241 and 247b as amended, and the Patient Protection and Affordable Care Act (Public Law 111-148), Title IV, Section 4002 (Prevention and Public Health Fund), Title IV, Section 4002, 42 U.S.C. 242.247.

OBJECTIVES:

This program will cover projects under two funding initiatives: 1) specific capacity building activities (CBA) under PPHF; and 2) CBA activities under an umbrella cooperative agreement for unique target populations. Applicant organizations will have the opportunity to compete for PPHF supported projects as well as high priority CDC projects. This program will assist state, tribal, local and U.S. territorial health departments, and other components of the public health system by making available to them capacity building assistance (including technical consultation, skills building/training, information, and technology transfer assistance) that will result in: systems and organizational efficiencies; a capable and qualified workforce; state-of-the-art information technology systems and integrated and standardized community and population data; improved planning, implementation and evaluation of evidence-based public health policies, laws, programs and services; results driven local and national public and private partnerships; and increased availability and accessibility of public health resources, such as publications, educational materials, syndicated website material, training curricula, assessments and evaluation tools, and other products for improvement of public health agencies and other agencies in the public health system. The overall goal of capacity building assistance is to ensure improvements in the public health infrastructure so that it is prepared for responding to both acute and chronic threats relating to the Nations health such as emerging infections, disparities in health status, and increases in chronic disease and injury rates. Capacity building assistance will focus on the provision of technical consultation, skills building/training, and information and technology transfer assistance to ensure successful planning, implementation, coordination and evaluation of the key areas for public health infrastructure investments. CBA may also be provided for CDC-funded projects which may include limited CBA to international public health agencies where there is a benefit to US public health efforts or to the US public health system. Investing in CBA is expected to help public health agencies and other public health components fulfill their mission of protecting and promoting health in their communities and effectively performing essential public health services. Some of the capacity building needs are in the following areas: Improving the public health workforce (e.g., e-learning, other training, and fellowship programs); vital statistics systems (electronic birth and death registration); food and water borne disease identification and prevention, prevention of hospital acquired infections, electronic health record/IT systems, communications systems and processes (e.g. information syndication and social media capacity), and laboratory and epidemiologic capacity. Developing information systems to support adoption, implementation, enforcement, and/or evaluation of public health legislation, codes, rules, regulations, ordinances, and other policies. Improving public health system development/redevelopment by building or re-engineering infrastructure to improve networking, coordination, standardization, and centralization of public health services to effectively and efficiently address priority health indicators. Implementing best health care practices and conducting impact evaluation. Improving information and data system capacity through policy/communication for standardized data collection and analysis systems, information management technology, information dissemination, and meaningful data use and translation. Improving organizational capacity to effectively and efficiently use resources such as fiscal agents, and intermediaries, and Improving technical and program guidance and evaluation of preventive services to expand coverage for community and clinical preventive services. This includes technical consultation and promotion on the adoption and utilization of the recommendations from the Guide for Community Preventive Services.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds will be used for costs associated with providing capacity building assistance to strengthen the infrastructure of State, Tribal, Local, and Territorial public health agencies and other components of the public health system. For the purposes of this program, other components of the public health system refers to specific professional constituencies (i.e., epidemiologists, community health nurses, health education and promotion specialists tribal health leaders, chronic disease directors, health information specialists, Medicaid/Medicare Directors, state/local public health financial officials, etc.) or key components of the public health system (i.e., community and neighborhood health centers, public health and primary care delivery systems, community and faith-based organizations, public health and accreditation boards, public health institutes,

primary care residency programs, health insurance consortia, family/social services programs, maternal/child health associations, etc.). Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies (including training materials), and services, such as contractual. Applicants will be required to participate, comply, and cooperate with CDC for any reporting requirements stipulated by, or mandated for, the receipt of funding. PPHF funding is intended to be used only in support of PPHF programs and projects.

Applicant Eligibility:

1. Eligible Applicants: Organizations with nonprofit 501(c)(3) or nonprofit 501(c)(6) IRS status (other than institutions of higher education).
2. Special Eligibility Requirements: The applicant organization must provide evidence of national scope of work and of public health charge or mission.
3. Justification for Less than Maximum Competition:

The program leadership in the Office of State, Tribal, Local and Territorial Support (OSTLTS) determined that in order to achieve its strategic priorities for strengthening the public health infrastructure and advancing the quality of public health decision making, OSTLTS will need to expand its capacity building assistance (CBA) efforts through national, non-profit organizations with experience and expertise providing capacity building assistance to governmental and non-governmental components of the public health system.

Eligible applicants are limited to national, non-profit professional public health mission organizations with experience and expertise providing capacity building assistance (CBA) to governmental and non-governmental components of the public health system.

The CDC is requesting the provision of capacity building assistance (CBA) to public health agencies and other public health entities across the United States and its territories in order to strengthen public health practice to improve health for all populations. These national public health mission organizations are the only entities positioned to effectively and efficiently execute on the expected capacity building outcomes, outputs, and activities outlined in the FOA. The characteristics that position these organizations are: 1) designated mission and experience working nationally, 2) demonstrated infrastructure, experience and expertise providing CBA, and 3) relationship to the public health system workforce across the United States and Territories.

Therefore, eligibility is limited to the above specified types of applicants/organizations that are expected to demonstrate significant experience and expertise providing capacity building assistance (CBA) to the target populations described in the attached OT13-1302 FOA.

This expertise is necessary for the grantee to effectively and efficiently complete the related activities and achieve the program outcomes described in the funding opportunity announcement.

Additionally the following capacities will facilitate the completion of projects in the specified timeframe:

 Infrastructure to organize, conduct work and disseminate key outcomes.

 Communicate key information to organization members, stakeholders and the public health community on a regular basis.

 Leverage a wide array of resources among organization members and the public health community to expeditiously achieve results in a cost-effective manner.

 Interact with other public health organizations; act as a networking hub to build the capacity of governmental and non-governmental components of the public health system.

Beneficiary Eligibility:

Beneficiaries include state health departments; tribal health organizations; local health departments; the District of Columbia; U.S. Territories; and other components of the public health system. The general public will also serve as beneficiaries.

Credentials/Documentation:

The applicant organization must include evidence of national scope of work and of public health role or mission. Articles of incorporation, board resolution or by-laws are acceptable forms of evidence. The applicant must also provide evidence of federally assigned 501(c)(3) or 501(c)(6) status designation by submitting a copy of the current, valid Internal Revenue Service (IRS) determination letter. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Allowable costs will be determined in accordance with OMB Circular No. A-87 for non-profit non-governmental organizations. Applicants must download application forms from www.grants.gov, and completed applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov

Award Procedure:

1. Phase I Review: All eligible applications will be initially reviewed for completeness by CDC's Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by CDC/OSTLTS and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance to Phase II review. Applicants will be notified if the application did not meet eligibility and/or published submission requirements. 2. Phase II (Initial Base-Funding) Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the Criteria section of the FOA. The applications will be compiled and reviewed according to the category for which applicants submitted their applications. Applicants will be notified electronically if the application did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review. 3. Phase III Review: In addition, the following factors may affect the funding decision during Phase II (Initial Base-Funding Competition) Review: a. Preference to avoid duplication of CBA services to the same target populations. b. Preference may be given to the funding of applicants that propose to provide CBA services to target populations not served by higher ranking applicants. c. Preference will be given to ensure funding of organizations that provide CBA services to target populations not duplicated in other CDC funding mechanisms. CDC will provide justification for any decision to fund outside of ranked order of scores. Final funding determinations will be based on application scores from the objective review panels and consideration for CDC's funding preferences. 4. Phase IV (Secondary CIO-Funding) Review: Applicants that are successful in Phase II (Initial Base-Funding) Review and are awarded funds will be eligible to participate in the Phase IV (Secondary CIO-Funding) Review for CDC-wide public health projects. Eligible awardees will receive an application packet detailing the Phase IV (Secondary CIO-Funding) application submission process upon receipt of the Phase II (Initial-Base Funding) Notice of Award. The awardees must submit stand-alone applications in response to a range of CBA projects that represent public health disciplinary areas and cross-cutting disciplines and/or topics. CIO-funded projects may also include limited CBA to international public health constituents where there is a benefit to U.S. public health efforts or to the U.S. public health system. The applications will be compiled and reviewed according to the Category and CIO project for which applicants submitted proposals. In the event a technical review is more efficient (i.e., there is one proposal submitted for a particular CIO project), the technical review will be held in place of an objective review. Final funding determinations will be based on application scores from the objective review (and technical review) panels.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding announcement and are subject to the availability of CIO discretionary and programmatic funding, and funding under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

a. Reporting: Reporting allows for continuous program monitoring and identifies successes and challenges that awardees encounter throughout the award. Reporting is also necessary for awardees to apply for yearly continuation of funding. In addition, reporting is helpful to CDC and awardees because it:

Helps target support to awardees, particularly for cooperative agreements.

Provides CDC with periodic data to monitor awardee progress towards meeting the FOA outcomes and overall performance.

Allows CDC to track performance measures and evaluation findings for continuous program improvement throughout the project period and to determine applicability of evidence-based approaches to different populations, settings, and contexts.

Enables the assessment of the overall effectiveness and impact of the FOA.

For organizations that receive PPHF funding, additional reporting is required. These requirements will be communicated to awardees post-award.

As described below, awardees must submit one report per year; ongoing performance measures data, administrative reports, and a final performance and financial report. Below are the specific reporting requirements:

b. Annual Performance Report (due 120 days before the end of the budget period; serves as a continuation application). This report must not exceed 35 pages, excluding work plan and administrative reporting. Attachments are not permitted when submitting this report.

This report must include the following:

Performance Measures (including outcomes): Awardees must report on performance measures for each budget period and update measures, if needed.

Evaluation Results: Awardees must report evaluation results for the work completed to date (including any impact data).

Work Plan (maximum of 25 pages): Awardees should update the work plan each budget period.

Successes

 Awardees must report progress on completing activities outlined in the work plan.

 Awardees must describe any additional successes (e.g., identified through evaluation results or lessons learned) achieved in the past year.

 Awardees must describe success stories.

Challenges

 Awardees should describe any challenges that hinder achievement of both annual and project period outcomes, performance measures, or their ability to complete the activities in the work plan.

 Awardees must describe any additional challenges (e.g., identified through evaluation results or lessons learned) encountered in the past year.

CDC Program Support to Awardees

 Awardees should describe how CDC could assist them in overcoming any challenges to achieve both annual and project period outcomes and performance measures, and complete activities outlined in the work plan.

Administrative Reporting (not subject to page limits)

 SF-424A Budget Information-Non-construction Programs

 Budget Narrative Must use the format outlined in Section IV.

Content and Form of Application Submission, Budget Narrative Section

 Indirect Cost Rate Agreement

During years 25, awardees may request up to 75% of their estimated unobligated funds to be carried forward into the next budget period.

The carryover request must:

Express a bona fide need for permission to use an unobligated balance.

Include a signed, dated, and accurate Federal Financial Report (FFR) for the budget period from which the fund will be transferred (can request up to 75% unobligated balances).

Include a list of proposed activities, an itemized budget, and a narrative justification of those activities.

The applicant must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period.

c. Performance Measure Reporting: CDC programs must require awardees to submit performance measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data. CDC programs should specify reporting frequency, required data fields, and format for awardees at the beginning of the award.

As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project.

d. Federal Financial Reporting: The Annual Federal Financial Report (FFR) SF 425 is required and must be submitted through eRA Commons within 90 days after the end of each budget period. The FFR should only include those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds and may not reflect any un-liquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to submit a letter explaining the reason and date by which the Grants Management Officer will receive the information.

e. Final Performance and Financial Report: At the end of the five-year project period, awardees should submit a final report to include a final financial and performance report. This report is due 90 days after the end of the project period and should not exceed 40 pages.

At a minimum, this report must include the following:

Performance Measures (including outcomes): Awardees should report final performance data for all performance measures for the project period.

Evaluation results: Awardees should report final evaluation results for the project period.

Impact/Results: Awardees should describe the impact/results of the work completed over the project period, including success stories.

The completed FFR (SF-425).

The report should be emailed to the CDC Project Officer and the GMS listed in Agency Contacts section of the FOA.

Reporting Requirements under Section 203 of the 2012 Enacted Appropriations Bill for the Prevention and Public Health Fund, Public Law 111-5:

This award requires the recipient to complete projects or activities which are funded under the 2013 Prevention and Public Health Fund (PPHF) and to report on use of PPHF funds provided through this award. Information from these reports will be made available to the public.

Recipients awarded a grant, cooperative agreement, or contract from such funds with a value of \$25,000 or more shall produce reports on a semi-annual basis with a reporting cycle of January 1 - June 30 and July 1 - December 31; and email such reports (in 508 compliant format) to the CDC website (template and point of contact to be provided after award) no later than 20 calendar days after the end of each reporting period (i.e. July 20 and January 20, respectively).

Recipient reports shall reference the notice of award number and title of the grant or cooperative agreement, and include a summary of the activities undertaken and identify any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the sub-recipient).

Note: Recipients that were previously financed solely by PPHF funds and are now financed solely by a source other than PPHF do not need to adhere to the reporting and tracking requirements for the continuation portion of the project. Grantees that do not have FY13 PPHF funding do not need to adhere to the PPHF reporting requirements for FY13. However, grantees will still need to adhere to PPHF reporting requirements for the PPHF funding from FY12. No later than 10 days after the end of each calendar quarter, starting with the quarter ending December 31, 2012 and reporting by January 10, 2013, the recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). CDC programs must require awardees to submit performance measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data. CDC programs should specify reporting frequency, required data fields, and format for awardees at the beginning of the award. As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project. At the end of the five-year project period, awardees should submit a final report to include a final financial and performance report. This report is due 90 days after the end of the project period and should not exceed 40 pages. At a minimum, this report must include the following:

Performance Measures (including outcomes): Awardees should report final performance data for all performance measures for the project period. Evaluation results: Awardees should report final evaluation results for the project period.

Impact/Results: Awardees should describe the impact/results of the work completed over the project period, including success stories.

The completed FFR (SF-425).

The report should be emailed to the CDC Project Officer and the GMS listed in Agency Contacts section of the FOA. Grantees will be monitored by project officers to ensure accomplishment of approved objectives and activities (including development and dissemination of deliverables, and number of training and technical assistance episodes delivered), and for capacity building outcomes such as adoption of best practices by state, tribal, local and territorial health departments and increases in the implementation and evaluation of public health infrastructure investments.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$11,356,472; FY 17 est \$15,000,000; and FY 18 est \$0 - This CFDA program represents the PPHF-funded portion of cooperative agreement CDC-RFA-OT13-1302. CFDA number 93.424 reflects the Non-PPHF portion of funding awarded to this vehicle. The sum of funding

for these two CFDA equals the total actual or estimated funding for this vehicle in a given FY.

Range and Average of Financial Assistance:

The floor of individual award range is \$4 million for Category A, \$1 million for Category B and \$100,000 for Category C. The approximate average award ranges for the 12-month budget period are up to \$9 million for Category A, up to \$2.5 million for Category B and up to \$1 million for Category C.

TAFS Codes:

75-1-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available for 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm> Evaluation activities such as submission of annual reports and site visit participation will be required to ensure awardees adhere to the program guidelines and regulations. Detailed report requirements are described in Funding Opportunity Announcement CDC-RFA-OT13-1302.

Regional or Local Office:

None.

Headquarters Office:

Samuel Taveras 4770 Buford Highway NE, MS: K-90, Atlanta, Georgia 30345
Email: syt2@cdc.gov Phone: 770-488-1523 Fax: 770-488-1600

Website Address:

<http://www.cdc.gov/stltpublichealth/funding/rfaot13.html>

RELATED PROGRAMS:

93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications were evaluated based on: (1) demonstrated track record of providing technical consultation, skills building/training, information, or technology transfer assistance to components of the public health system, including state, tribal, local and territorial health departments; (2) understanding of the capacity building infrastructure development needs of state, tribal, local, and territorial health departments; (3) a clear plan of action with objectives achievable in one year and subsequent years; and (4) a clear assessment strategy (plan) to measure the effectiveness of capacity building assistance in improving the planning, implementation, coordination, evaluation (including dissemination of best practices) of public health infrastructure investments.

93.525 STATE PLANNING AND ESTABLISHMENT GRANTS FOR THE AFFORDABLE CARE ACT (ACA)S EXCHANGES FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Sections 1311 and 1323 of Patient Protection and Affordable Care Act, Public Law 111-148.

OBJECTIVES:

To provide assistance for activities related to establishing a Health Insurance Exchange that facilitates the purchase of qualified health plans, provides for the establishment of a Small Business Health Options Program (SHOP Exchange), and meets the requirements set forth by the Secretary and the Affordable Care Act.

Refer to specific Exchange program funding opportunity announcement (FOA) for additional information on objectives.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant and cooperative agreement funds are to be used for activities related to setting up a health insurance Exchange. Please see the specific funding opportunity announcement for more information on how funds can be used.

Applicant Eligibility:

Eligible applicants for the FOA "State Planning and Establishments Grants for the Affordable Care Act's Exchanges" include the 50 States and the District of Columbia.

Eligible applicants for the FOA "Limited Competition for State Planning and Establishment Grants for the Affordable Care Act's Exchanges" include States which did not receive an award under the prior FOA "State Planning and Establishment Grants for the Affordable Care Act's Exchanges" (i.e. Alaska and Minnesota).

Eligible applicants for the FOA "Territory Cooperative Agreements for the Affordable Care Act's Exchanges" include Territories, i.e. American Samoa, Guam, Northern Mariana Islands, Puerto Rico, and the United States Virgin Islands.

Eligible applicants for the FOA "Cooperative Agreements to Support Innovative Exchange Information Technology Systems" include the 50 States, the District of Columbia, and consortia of States.

Eligible applicants for the FOA "Cooperative Agreement to Support Establishment of State-Operated Health Insurance Exchanges" include States, the District of Columbia, and consortia of States, previously awarded funding under the FOA "State Planning and Establishment Grants for the Affordable Care Act's Exchanges."

Eligible applicants for the FOA Cooperative Agreement to Support Establishment of the Affordable Care Act's Health Insurance Exchange include States, the District of Columbia, and consortia of States.

Beneficiary Eligibility:

Projects will benefit States and Territories or entities authorized by the State or Territory that will set up Exchanges in States or Territories. .

Credentials/Documentation:

Applicants should review the specific funding opportunity announcement documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications and required forms for each program can be obtained from Grants.gov. The Center for Consumer Information and Insurance Oversight (CCIIO) requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the comments of the objective review committee, the CCIIO program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal Fiscal Year 2010: Grants awarded to 48 States and DC for a one year project period to conduct planning activities related to establishment of their Exchanges.

Federal Fiscal Year 2011: Grant funding for four funding opportunity announcements will be awarded in Federal Fiscal Year 2011 ranging from an up to one year project period to up to a four year project period, beginning on the date of award and up through December 31, 2014.

Federal Fiscal Year 2012: Establishment cooperative agreement funds will be awarded in 2011 and 2012 and may also extend through December 31, 2014.

Federal Fiscal Year 2013: Establishment cooperative agreement funds will be awarded in 2013 and may also extend through 2015.

Federal Fiscal Year 2014: Establishment cooperative agreement funds will be awarded in 2014 and may also extend through 2016.

Federal Fiscal Year 2015: Establishment cooperative agreement funds will be awarded in 2015 and may also extend through 2017.

Federal Fiscal Year 2016: Establishment Cooperative Agreements funds awarded in 2014 and 2015 may extend through 2017.

Federal Fiscal Year 2017: Establishment Cooperative Agreement funds awarded in 2014 and 2015 may extend through 2017.

See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. Submit a quarterly electronic Federal Financial Report (FFR) via the Payment Management System. The report identifies cash transactions against the authorized funds for the grant or cooperative agreement. The FFR must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to www.dpm.psc.gov for additional information. Quarterly Progress Reports - includes information on project status, implementation activities initiated, accomplishments, barriers, and lessons learned in order to ensure that funds are used for authorized purposes and instances of fraud, waste, error, and abuse are mitigated. Also includes changes in work plan components and progress on milestones, goals, objectives, and activities. Progress will be evaluated by the Project Officers and determinations regarding the approval of drawdowns will be based on the recipient's progress. Final project reports are also required.

Public Report - Recipients post information about the grants/cooperative agreements on their respective websites. Please see the specific FOA to determine if this is applicable.

Work Plan Updates - As necessary, recipients will provide CMS with documentation of substantive approved changes to their work plans. Please see the specific FOA to determine if this is applicable.

Periodic Establishment Reviews - as described in the applicable funding opportunity announcement.

On an annual basis, the Federal Financial Report (FFR) must also include information on expenditures. Failure to submit the report may result in the inability to access grant funds.

Performance Review as described in the applicable funding opportunity announcement. On an annual basis, the Federal Financial Report (FFR) must also include information on expenditures. Failure to submit the report may result in the inability to access grant funds. Performance Review - as described in the applicable funding opportunity announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the final Federal Financial Report. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0115-0-1-551.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Fiscal Year 2012-2015 TBD.

Range and Average of Financial Assistance:

No floor or ceiling for award. Amounts are provided based on the wide range and complexity of applications.

TAFS Codes:

75-0115.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments.

CCIO awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at

<http://dhhs.gov/asfr/ogapa/aboutog/ogpoe.html>.

Regional or Local Office:

See Regional Agency Offices. Michelle T. Feagins, Office of Acquisition and Grants Management, Centers for Medicare and Medicaid Services, Department of Health and Human Services, 200 Independence Ave, SW, Washington DC 20201.

Headquarters Office:

Michelle T. Feagins, 200 Independence Ave., SW, Washington, District of Columbia 20201 Email: michelle.feagins@hhs.gov Phone: (301) 492-4312.

Website Address:

<http://www.ccio.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in Section V - Application Review and Selection Information - of the specific funding opportunity announcement.

93.526 GRANTS FOR CAPITAL DEVELOPMENT IN HEALTH CENTERS

Capital Development Grants

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 330(e)(3) of the Public Health Service Act, 42 U.S.C. 254b(e)(3); Section 10503(b) of the Patient Protection and Affordable Care Act of 2010, P.L. 111-148; and Division G, Title II, p. 2466, Consolidated and Further Continuing Appropriations Act, 2015, P.L. 113-235.

OBJECTIVES:

To award Health Center Capital Development Grants for: (1) immediate facility improvements or (2) building capacity.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds will be used (1) to address immediate and pressing capital needs or (2) to support the costs of alteration/renovation or construction of a facility that is consistent with the Health Center Programs mission to provide affordable, quality primary health care services for medically underserved communities and vulnerable populations.

Applicant Eligibility:

Eligibility is limited to currently-funded health centers (see applicable Notice of Funding Opportunity available through Grants.gov for additional eligibility information).

Beneficiary Eligibility:

Populations in medically underserved areas.

Credentials/Documentation:

Applicants should review the applicable HRSA notice of funding opportunity issued under the relevant program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligibility is limited to currently-funded grantees under the Health Center Program.

HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Taking into consideration the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to the applicable funding notice of funding opportunity under this CFDA program for application deadlines.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant funds will be awarded for up to 2 or 3 year budget/project periods. See the following for information on how assistance is awarded/released: After Notices of Award are issued, funds are released in accordance with HHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. See the following for information on how assistance is awarded/released: After Notices of Award are issued, funds are released in accordance with HHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System.

Reports:

The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program notice of funding opportunity and notice of award for details for each required report). The

awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees are required to maintain grant accounting records 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Project Grants) FY 16 \$262,000,000; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Varies. See applicable notice of funding opportunity .

TAFS Codes:

75-0352.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 75.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. HRSA, 5600 Fishers Lane, Rockville, MD 20857. Northeast Division: (301) 594-4488; Central Southeast Division: (301) 594-4420; Southwest Division: (301) 480-1130; North Central Division: (301) 443-0111.

Headquarters Office:

Bureau of Primary Health Care, Health Resources and Services Administration, 5600 Fishers Lane, Room 16N20, Rockville, Maryland 20857 Phone: (301) 594-4300

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the applicable notice of funding opportunity issued under this CFDA program for specific information on the review criteria used in evaluating applications.

93.527 GRANTS FOR NEW AND EXPANDED SERVICES UNDER THE HEALTH CENTER PROGRAM

Grants for New and Expanded Services under the Health Center Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 330 of the Public Health Service Act as amended (42 U.S.C. 254b), as amended).

OBJECTIVES:

To provide for expanded and sustained national investment in health centers funded under section 330 of the Public Health Service Act, including expanding the current safety net on a national basis by creating new access points (i.e., new health centers and sites), expanding services at existing health centers, and expanding networks that facilitate health information technology-based quality improvements in health centers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Applications should be designed to improve the availability, accessibility, provision, and quality of primary health care services. Refer to the notice(s) of funding opportunity announcement under this CFDA number for additional information. Refer to the notice of funding opportunity under this CFDA number for additional information.

Applicant Eligibility:

Eligible applicants for funding for new access points are public and private non-profit entities, including federally recognized Indian Tribal governments and Native American, faith-based, and community-based organizations that have the capacity to effectively administer the grant.

Eligible applicants for funding for expanded services, behavioral health integration, substance abuse service expansion, oral health service expansion, and mental health and substance abuse expansion are current Health Centers Program award recipients (funded under section 330 of the Public Health Service Act, as amended).

Eligible applicants for funding for Health Center Controlled Networks are organizations providing data-driven quality improvement services as Health Center Controlled Networks as defined under Section 330 of the Public Health Services Act, as amended, including federally recognized Indian Tribal governments and Native American, faith-based, and community-based organizations that have the capacity to effectively administer the grant. Refer to the applicable notice of funding opportunity under this CFDA number for additional information.

Beneficiary Eligibility:

Population groups in medically underserved areas, medically underserved populations, and special populations such migratory and seasonal agricultural workers, people experiencing homelessness, and public housing residents.

Credentials/Documentation:

Applicants should review the applicable HRSA notice(s) of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Notification is made in writing by a Notice of Award (NoA).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 days to up to 6 months.

Appeals:

Not Applicable.

Renewals:

Awards to support projects beyond the first budget year will be contingent upon Congressional appropriation, compliance with applicable statutory and regulatory requirements, demonstrated organizational capacity to accomplish the projects goals, satisfactory performance, and a determination that continued funding would be in the best interest of the federal government.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Awards are made annually. After awards are issued, funds are released in accordance with HHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. See the following for information on how assistance is awarded/released: Awardees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. See the following for information on how assistance is awarded/released: Awardees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Refer to the applicable notice of funding opportunity under this CFDA program for additional reporting information. The awardee will be required to submit federal financial reports (see the program notice of funding opportunity and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is due according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends.

If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total

compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring occurs through review of yearly reports. Expenditure reports are not applicable. Performance monitoring occurs through review of yearly reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Expanded Services Service Expansion. (Project Grants) FY 16 \$36,304,343; FY 17 est \$36,000,000; and FY 18 est \$36,000,000 - Health Center Controlled Networks. (Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Behavioral Health Integration Service Expansion. (Project Grants) FY 16 \$0; FY 17 est \$50,000,000; and FY 18 est \$0 - New Access Points. (Project Grants) FY 16 \$155,938,492; FY 17 est \$0; and FY 18 est \$0 - Oral Health Service Expansion. (Project Grants) FY 16 \$0; FY 17 est \$100,000,000; and FY 18 est \$0 - Mental Health and Substance Abuse Service Expansion. (Project Grants) FY 16 \$93,943,992; FY 17 est \$94,000,000; and FY 18 est \$0 - Substance Abuse Service Expansion.

Range and Average of Financial Assistance:

Varies. See applicable notice of funding opportunity.

TAFS Codes:

75-0352.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is subject to the provision of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 75 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Contact headquarters with questions.

Headquarters Office:

Bureau of Primary Health Care, HRSA, 5600 Fishers Lane Room 16N16, Rockville, Maryland 20857 Phone: (301) 594-4300.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.129 Technical and Non-Financial Assistance to Health Centers; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are available in the notice(s) of opportunity under this CFDA program.

93.528 NATIONAL FORUM FOR STATE AND TERRITORIAL CHIEF EXECUTIVES

National Forum

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 311(a) of the Public Health Service Act, as amended.

OBJECTIVES:

The National Forum cooperative agreement aligns state and federal efforts by providing opportunities for state and territorial decision-makers to collaborate on the development and implementation of innovative strategies and best practices related to health workforce issues, health systems, and access to healthcare. The purpose of the National Forum is to convene high level decision makers representing state/territorial governors, their policy advisors, and state, federal, health and human services providers, as well as private sector interests around issues of importance to state and territorial governments. As such, HRSA's support of the National Forum program will assist governors and key policy staff to develop and implement innovative solutions to challenges facing the health care safety net at the state and national level. This will further HRSA's goals for enhancing service to the safety net population and supporting sustainability of programs.

The three core functions of the Cooperative Agreement are: 1) facilitate collaboration among states, territories, federal partners and other key stakeholders to achieve common goals; 2) encourage integration of public health practice, primary care delivery systems and public health infrastructures; and 3) disseminate best practices and lessons learned to other states, territories and key stakeholders through various formats and mechanisms.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This cooperative agreement will provide assistance to the National Forum to carry out initiatives that support states and territories efforts that align with the purpose of the National Forum. The cooperative agreement also leverages limited HHS and HRSA resources to support cohesiveness with regional and national health and human services initiatives.

Program expectations: The National Forum program will assist governors and key policy staff to develop and implement innovative solutions to governance and policy development in their jurisdictions. As such, the award recipient will be expected to facilitate partnerships across the state to include local government entities, stakeholders in various regions and across health care systems and health professional disciplines. The National Forum shall include initiatives where success is measurable and significant, the results are reproducible, the efforts are sustainable, and the approach cost effective. The full amount will be used to cover the activities described in the Notice of Funding Opportunity. The full amount will be used to cover the activities described in the funding opportunity announcement.

Applicant Eligibility:

Nonprofit, bi-partisan or nonpartisan organization that represents governors and their staff of a broad cross section of states, the Commonwealths of the Northern Mariana Islands and Puerto Rico, and the U.S. flag territories of American Samoa, Guam, the U.S. Virgin Islands are eligible to apply.

Beneficiary Eligibility:

See eligible beneficiaries listed above.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity

announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Funding beyond the first year is dependent on the availability of appropriated funds for the National Forum in subsequent fiscal years, awardee satisfactory performance, and a decision that continued funding is in the best interest of the Federal government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project period is for up to three years. See the following for information on how assistance is awarded/released: Awardees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Electronic Federal Financial Report (FFR) Cash Transaction Reports must be submitted electronically via the Payment Management System within 30 days of the end of each calendar quarter. Failure to submit the report may result in the inability to access award funds. Go to www.dpm.psc.gov for additional information.

Reports:

Refer to the HRSA Notice of Funding Opportunity for additional information. The award recipient will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA

details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. The award recipient must submit a progress report to HRSA on an annual/quarterly basis. For multi-year awards: Submission and HRSA approval of the Progress Report(s) triggers the budget period renewal and release of subsequent year funds. The progress report typically has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data including performance measurement data to measure the progress and impact of the project. An annual Federal Financial Report is required. The report is an accounting of expenditures under the project that year. More specific information will be included in the Notice of Award. Refer to the HRSA funding opportunity announcement for the roles and responsibilities of the Federal government and the recipient.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$563,000; FY 17 est \$600,000; and FY 18 est \$600,000

Range and Average of Financial Assistance:

Approximately \$600,000 is expected to be available annually to fund one (1) recipient. There will be a New and Competing Continuation funding opportunity in FY 18 for a 3-year project period (FY18-FY20).

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

None.

Headquarters Office:

Lynnette S. Araki, 5600 Fishers Lane, Rockville, Maryland 20857 Email: Laraki@hrsa.gov Phone: (301) 443-6204. Fax: (301) 443-2286.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.011 National Organizations of State and Local Officials; 93.129 Technical and Non-Financial Assistance to Health Centers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Review Criteria are outlined in Section V of the HRSA Notice of Funding Opportunity.

93.529 PRE-EXISTING CONDITION INSURANCE PROGRAM (PCIP)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordability Care Act, Section 1101.

OBJECTIVES:

The Patient Protection and Affordability Care Act, was enacted on March 23, 2010. The Health Care and Education Reconciliation Act Public Law 111-152, was enacted on March 30, 2010. Collectively, these laws are known as the "Affordable Care Act." Section 1101 of the Affordable Care Act requires that the Secretary of the Department of Health and Human Services (HHS) establish, either directly or through contracts with States or nonprofit private entities, a temporary high risk health insurance program to provide access to coverage for uninsured Americans with pre-existing conditions. (Hereafter, we generally refer to this program as the Pre-Existing Condition Insurance Plan program, or PCIP program, to avoid confusion with the existing State high risk pool programs, which will continue to operate separately.) The PCIP program remained in place from the time of its establishment until April 30, 2014.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Please see State PCIP Model Contract. Please see State PCIP Model Contract www.hhs.gov/cciio/Documents/state_hrp_model_contact.pdf

Under the state-run PCIP contracts, HHS shall exercise each of the option years described in paragraph A.2 according to the model contract by giving a preliminary notice of its intent at least 90 days prior to the end of each performance period and a written notice of exercise at least 60 days prior to the end of each performance period to the Contractor. The 90-day notice will state HHS's intent to exercise the option, but does not commit HHS to exercising the option.

Applicant Eligibility:

The applicants must meet the terms and conditions outlined in the model contract. See the State PCIP Model contract at www.hhs.gov/cciio/Documents/state_hrp_model_contact.pdf.

Beneficiary Eligibility:

Eligibility and enrollment rules for the Federal and state-operated PCIP programs can be found at www.healthcare.gov or below as set forth in text from our Interim Final Regulation (IFR).

B. Eligibility and Enrollment (Subpart C, Sec. 152.14 Through Sec. 152.15 of the PCIP IFR (45 CFR Part 152).

Section 1101(d) of the Affordable Care Act provides the basic eligibility criteria for the PCIP program, which are set forth under Sec. 152.14. In addition, consistent with the Secretary's general authority under section 1101(c)(2)(D) of the Act to establish requirements for a PCIP, we set forth enrollment and disenrollment requirements in Sec. 152.15.

In general, under section 1101(d) of the Affordable Care Act and subparagraphs (1), (2) and (3) of Sec. 152.14(a) of this interim final rule, an individual is eligible to enroll in a PCIP if he or she: (1) Is a citizen or national of the United States or is lawfully present in the United States as determined in accordance with section 1411 of the Affordable Care Act; (2) has not been covered under creditable coverage, as defined in section 2701(c)(1) of the Public Health Service Act as of the date of enactment, during the 6-month period prior to the date on which he or she is applying for coverage through the PCIP; and (3) has a pre-existing condition, as determined in a manner consistent with guidance issued by the Secretary. We further provide in Sec. 152.14(a)(4) that an individual must be a resident of a State that falls within the service area of a PCIP. Additional detail found in the IFR.

Credentials/Documentation:

Applicants should review the OCIO state model contract issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2

CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

In order to be awarded a state contract to run the Pre-existing Condition Program in an individual state, a state must have submitted a notice of intent to apply, a proposal and model contract criteria approved by HHS.

Deadlines:

Jul 01, 2010

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Other - Not Specified.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details. Yes, see State Solicitation at www.hhs.gov/ccio/Documents/state_hrp_model_contact.pdf.

Length and Time Phasing of Assistance:

States had option periods through 2014 For the majority of participating states, the funding is proposed to carry the program to 2014, with the last option year of the contract exercised in 2013. At the end of 2013, states were given the option to continue their respective programs on a month by month basis into 2014 and concluding on April 30, 2014. See State Model Contract for details. See the following for information on how assistance is awarded/released: Funding is distributed through the Payment Management System to pay for administrative costs and medical claims, monitored by monthly cost-reporting. Please see the State Model Contract for more detail. See the following for information on how assistance is awarded/released: Funding is distributed through the Payment Management System to pay for administrative costs and medical claims, monitored by monthly cost-reporting. Please see the State Model Contract for more detail.

Reports:

Please see State Model Contract www.hhs.gov/ccio/Documents/state_hrp_model_contact.pdf. Please see State Model Contract www.hhs.gov/ccio/Documents/state_hrp_model_contact.pdf. Please see State Model Contract www.hhs.gov/ccio/Documents/state_hrp_model_contact.pdf. Please see State Model Contract www.hhs.gov/ccio/Documents/state_hrp_model_contact.pdf. Please see State Model Contract www.hhs.gov/ccio/Documents/state_hrp_model_contact.pdf.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. .

Records:

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Account Identification:

01-2345-6-7-890.

Obligations:

(Direct Payments for Specified Use) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

01-2345.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found at: 45 CFR Part 152, Pre-Existing Condition Insurance Plan, Program; Interim Final Rule.

Regional or Local Office:

None.

Headquarters Office:

Michelle T. Feagins, 200 Independence Ave., SW , Washington, District of Columbia 20201 Email: michelle.feagins@hhs.gov Phone: 301-492-4312

Website Address:

<http://www.pcip.com>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See state model contract requirements.

93.530 TEACHING HEALTH CENTER GRADUATE MEDICAL EDUCATION PAYMENT

THCGME Payment Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 340H of the Public Health Service Act, as added by Section 5508 of the Patient Protection and Affordable Care Act of 2010 (Pub. L. 111-148); Medicare Access and CHIP Reauthorization Act of 2015 (Public Law 114-10).

OBJECTIVES:

Expansion of primary care and dental residency training programs in community based settings.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Payments are for direct and indirect expenses for expansion of existing or establishment of new residency training programs in qualified teaching health centers. Eligible residencies include family medicine, internal medicine, pediatrics, med-peds, ob/gyn, psychiatry, general and pediatric dentistry, geriatrics. THCGME (Teaching Health Center Graduate Medical Education Program) payments cannot duplicate payments from other GME funding such as Childrens Hospital GME and payments from the Centers for Medicare and Medicaid Services.

Applicant Eligibility:

Eligible entities include community-based ambulatory patient care centers that operate a primary care residency program. Specific examples of eligible entities include, but are not limited to:

- Federally qualified health centers, as defined in section 1905(l)(2)(B) of the Social Security Act
- Community mental health centers, as defined in section 1861(ff)(3)(B) of the Social Security Act
- Rural health clinics, as defined in section 1861(aa) of the Social Security Act
- Health centers operated by the Indian Health service, an Indian tribe, or tribal organization, or an urban Indian organization, as defined in section 4 of the Indian Health Care Improvement Act
- An entity receiving funds under Title X of the Public Health Service Act.

In addition, the eligible entity must be listed as the institutional sponsor by the

relevant accrediting body, including the Accreditation Council for Graduate Medical Education (ACGME), American Osteopathic Association (AOA), or the Council on Dental Accreditation (CODA)

Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

The program supports high-quality primary care residency training in community based settings.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Applications will be reviewed for eligibility including accreditation status, program approval, qualifications of the Project Director, completeness, accuracy and compliance with the requirements outlined in the Notice of Funding Opportunity. Based on this review, the HRSA program official with delegated authority is responsible for final selection and formula-based funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Until the Secretary approves the THCGME payment formula an interim payment will be paid to eligible entities.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are based on an annual assessment of residency FTE slots. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required: The awardee must submit an annual progress report, a performance data report, and complete reconciliation at the end of each budget period. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as

outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. This program is excluded from coverage under 2 CFR 200 (45 CFR 75), Subpart F - Audit Requirements as THCGME statute gives the Secretary audit authority. Therefore, this program is not subject to the single audit. However, the program may be included in a single audit for other (non-THCGME) federal grant funding that a THCGME awardee may also receive. DHHS, or any other authorized Federal agency, may conduct an audit to determine whether the applicant has complied with all governing laws and regulations in its application for funding. Any and all information submitted to DHHS by an applicant or participating THC during or after the award of funds is subject to review in an audit. The THCGME statute provides for a reconciliation process at the end of each budget period, through which overpayments may be recouped and underpayments may be adjusted. (See section 340H (f) of the Public Health Service Act.) The reconciliation process is based on the number of resident FTEs reported by a THC for the academic year. The FTE number determines the final amount payable to the THC for the budget period.

Records:

Recipients are required to maintain grant accounting records 3 years after the end of the program. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Formula Grants) FY 16 \$58,154,988; FY 17 est \$55,860,000; and FY 18 est \$60,000,000

Range and Average of Financial Assistance:

The annual FTE payment rate is determined by the availability of funds.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Academic Year 2015-2016, the THCGME program supported 758 primary care residents in training. Approximately 77 percent of residents reported training in medically underserved communities. THCGME residents provided over 590,000 hours of patient care. To date, the THCGME Program has graduated 384 new primary care physicians and dentists, the majority of which have indicated intention to practice in a primary care setting upon graduation (60 percent). Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75, with the exception of Sub-part F Audit Requirements. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Marshala Lee, Marshala Lee, Division of Medicine and Dentistry, Bureau of Health Workforce 5600 Fishers Lane, Room 15N142, Rockville, Maryland 20857 Email: Mlee1@hrsa.gov Phone: (301) 443-6190

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Payments are for direct and indirect expenses for expansion of existing or establishment of new residency training programs in qualified teaching health centers. This is a formula-based grant payment program, with funds awarded to all eligible entities. THCs must meet all of the eligibility criteria before being considered for program funds and must be training residents during the year for which they are applying for funding. Application review is conducted at HRSA and an independent assessment of residents count is conducted by fiscal intermediaries.

93.531 PPHF - COMMUNITY TRANSFORMATION GRANTS AND NATIONAL DISSEMINATION AND SUPPORT FOR COMMUNITY TRANSFORMATION GRANTS - FINANCED SOLELY BY PREVENTION AND PUBLIC HEALTH FUNDS

Community Transformation Grants and National Dissemination and Support for Community Transformation Grants

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 301(a) and 317(k) Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) and Title IV Section 4002 Prevention and Public Health Fund.

OBJECTIVES:

Community Transformation Grants - To reduce death and disability from the five leading causes of death through the prevention and control these conditions and their risk factors. Recipients will select from a menu of interventions across the health and wellness spectrum, each of which can prevent or control chronic conditions. Each recipient will choose interventions that will help to achieve health equity, eliminate disparities, and improve the health of all groups. More specific goals within these broad goal areas will be provided in funding announcements

National Dissemination and Support for Community Transformation Grants - To support the efforts of the CTG program by funding national non-governmental organizations with a network of community based organizations.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Both programs - Funds may be used to support intensive approaches to chronic disease prevention and control described in Section 0.50 above. The use of and use restrictions are as follows: Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease. Recipients may not use funds for research. Recipients may not use funds for clinical care. Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. The use of and use restrictions are as follows: Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease. Recipients may not use funds for research. Recipients may not use funds for clinical care. Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely

serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

Applications may be submitted by State or local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau). Eligible applicants also include public and private nonprofit organizations, for profit organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith-based organizations, Federally recognized Indian tribal governments, Indian tribes, and Indian tribal organizations. Additional guidance may be provided in individual program announcements.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Executive Order 12372 may apply to the Community Transformation Grants, but does not apply to the National Dissemination Support for Community Transformation Grants. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applicable to both programs - An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Both programs will be subject to satisfactory performance as documented through semi-annual progress reports, Project Officer oversight documentation and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Community Transformation Grants are for 1 to 5 years with 12-month budget periods.

National Dissemination and Support for Community Transformation Grants are for 1-4 years with 12 month budget periods. See the following for information on how assistance is awarded/released: Notice of Award.

Reports:

Applicable to both programs - Annual and semiannual progress reports are required. No cash reports are required. Applicable to both programs - Annual or semiannual progress reports are required. Applicable to both programs - Final financial status and performance reports are required 90 days after the end of a budget period. Programmatic performance monitoring is a requirement of these cooperative agreements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0094-3-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Community Transformation Grants Expected: \$50,000 - \$500,000
National Dissemination and Support for Community Transformation Grants Expected - \$350,000 - 3,500,000.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92 and 74

Regional or Local Office:

None.

Headquarters Office:

Rebecca Bunnell 4770 Buford Hwy, NE, MS K40, NCCDHP, Atlanta, Georgia 30333 Email: rrb7@cdc.gov Phone: 770-488-2524 Fax: 770-488-5964

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.533 PREVENTION AND PUBLIC HEALTH FUND (AFFORDABLE CARE ACT): ENHANCED SURVEILLANCE FOR NEW VACCINE PREVENTABLE DISEASE

(NVSN)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Sections 317, 317(k)(2), 318 and 318B of the

Public Health Service Act (42 U.S.C. Sections 247b, 247b(k)(2) and 247c), as amended; and the Patient Protection and Affordable Care Act (PL 111-148), Title IV, Sections 4002, (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs.

OBJECTIVES:

The purpose of the program is to support a network of sites that provide surveillance and data collection on new vaccine use, the impact of new and upcoming vaccines and other immunoprophylaxis, new vaccine policies / policies under consideration, through enhanced inpatient and Emergency Department(ED) surveillance, applied epidemiologic research, and investigator-initiated investigations. Measurable outcomes of the program will be in alignment with the following performance goal for NCIRD. Outcomes: 1) To evaluate the impact of new or upcoming vaccines and other immunoprophylaxis, and new vaccine policies on disease in site populations. 2) To evaluate the impact of new vaccines and new vaccine policies / policies under consideration on administration of vaccines. 3) To understand the burden of vaccine preventable diseases (VPDs) or potentially VPDs in the population.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, data collection, organizing, and the implementation of program elements. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Applicant Eligibility:

Applicant Eligibility: Higher Education Institutions: Public/State Controlled Institutions of Higher Education Private Institutions of Higher Education

The following types of Higher Education Institutions are always encouraged to apply for CDC support as Public or Private Institutions of Higher Education:

Hispanic-serving Institutions
Historically Black Colleges and Universities (HBCUs)
Tribally Controlled Colleges and Universities (TCCUs)
Alaska Native and Native Hawaiian Serving Institutions

Nonprofits Other Than Institutions of Higher Education
Nonprofits (Other than Institutions of Higher Education)

Governments
State Governments
County Governments
City or Township Governments
Special District Governments
Indian/Native American Tribal Governments (Federally Recognized)
Indian/Native American Tribal Governments (Other than Federally Recognized)
Eligible Agencies of the Federal Government
U.S. Territory or Possession

Other
Independent School Districts
Public Housing Authorities/Indian Housing Authorities
Native American tribal organizations (other than Federally recognized tribal governments)
Faith-based or Community-based Organizations
Regional Organizations
Bona Fide Agents

Entities must be States (or bona fide agents of States), political subdivision of States, or other public or non-profit private entities.

Beneficiary Eligibility:

Any U.S. state, political subdivision and U.S. territories (as described above), and other public entities will benefit.

Credentials/Documentation:

Allowable costs will be determined in accordance with OMB Circular No. A-87 for State, Local, and Indian Tribal governments. 2 CFR 200, Subpart E - Cost

Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and emailed to the program director and a hard copy mailed to the recipient fiscal officer identified in the application.

Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Contact the headquarters, as appropriate, or the funding opportunity announcement for application deadlines.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program has no statutory formula. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial Assistance is available for a 12-month budget period. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

The reporting schedule will be based on the requirements listed in the funding announcement. In most cases, funded applicants must provide an interim and annual progress report. Where applicable, the interim progress report is due no later than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Financial Status Reports (SF 425) are required no more than 90 days after the end of the budget period. Final financial status and progress reports are due no later than 90 days after the end of the project period. No cash reports are required. The reporting schedule will be based on the requirements listed in the funding announcement. In most cases, funded applicants must provide an interim and annual progress report. Where applicable, the interim progress report is due no later than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Financial Status Reports (SF 425) are required no more than 90 days after the end of the budget period. Final financial status and progress reports are due no later than 90 days after the end of the project period. The reporting schedule will be based on the requirements listed in the funding announcement. In most

cases, funded applicants must provide an interim and annual progress report. Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Financial Status Reports (SF 425) are required no more than 90 days after the end of the budget period. Final financial status and progress reports are due no later than 90 days after the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$6,699,986; FY 17 est \$0; and FY 18 est \$0 - Future year funding is subject to the availability of funds.

Range and Average of Financial Assistance:

Awards will range from approximately \$450,000 to \$550,000 with an average of approximately \$500,000. Supplemental award possible subject to all necessary procedures and approvals.

TAFS Codes:

99-9999.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhsolicy.htm>

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Thijuanie Lockhart 1600 Clifton Road , Atlanta, Georgia 30329 Email: tlockhart@cdc.gov Phone: 404-639-1305

Website Address:

No Data Available

RELATED PROGRAMS:

93.185 Immunization Research, Demonstration, Public Information and Education, Training and Clinical Skills Improvement Projects

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the Extramural Research Program Office (ERPO), NCHHSTP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet submission requirements.

CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application and Submission

Information, subsection Evaluation Criteria within the individual funding announcement. Applicants will be notified if their application did not meet program requirements.

93.534 AFFORDABLE CARE ACT PROGRAM FOR EARLY DETECTION OF CERTAIN MEDICAL CONDITIONS RELATED TO ENVIRONMENTAL HEALTH HAZARDS

Agency for Toxic Disease Registry, Division of Health Studies

FEDERAL AGENCY:

Agency for Toxic Substances and Disease Registry, Department of Health and Human Services

AUTHORIZATION:

Affordable Care Act (ACA) Section 10323(b) amends Title XX of the Social Security Act (42 U.S.C. 1397 et seq.) to establish a Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards. (Section 2009 of the Social Security Act, as amended) (42 U.S.C. 1397h).

OBJECTIVES:

The overall goals of this program are for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This program provides screening, health education, and outreach services for residents of a geographic area subject to declared public health emergencies under the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA).

Applicant Eligibility:

Eligibility as defined by the authorizing legislation.

- A hospital or community health center.
- A Federally qualified health center.
- A facility of the Indian Health Service.
- A National Cancer Institute-designated cancer center.
- An agency of any State or local government.
- A nonprofit organization.

Beneficiary Eligibility:

Eligibility as defined by the authorizing legislation.

- A hospital or community health center.
- A Federally qualified health center.
- A facility of the Indian Health Service.
- A National Cancer Institute-designated cancer center.
- An agency of any State or local government.
- A nonprofit organization.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Institutions of Higher Education, Other non-profits and Community Organizations and Hospitals also 45 CFR part 92, State, Local and Tribal Governments. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and ATSDR. The NOA will be signed by an authorized Grants Management Officer, Centers for Disease Control and Prevention (CDC), acting as agent for ATSDR.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project periods are for 1 to 5 years with 12 month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, acting as agent for ATSDR. Telephone: (770) 488-2700.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for 1 to 5 years with 12-month budget periods. Method of awarding/releasing assistance: lump sum.

Reports:

May be requested on a quarterly basis. May be requested on a quarterly basis. May be requested on a quarterly basis. May be requested on a quarterly basis. May be requested on a quarterly basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for ten years in accordance with HHS "Superfund Financial Management and Recordkeeping Guidance for Federal Agencies." Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon the request of the Inspector General. Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 10 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0944-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$2,499,995; FY 17 est \$2,499,999; and FY 18 est \$2,499,999 - Sharon Cassell entered obligations for Lisa Burns on 8/17/2015.

Range and Average of Financial Assistance:

Expected: \$2,000,000 3,000,000.

TAFS Codes:

75-0944.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 74 and 45 CFR Part 92.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Robert Renolds 4770 Buford Hwy NE
MS-F45, Atlanta, Georgia 30341 Email: vio0@cdc.gov Phone: 7704880563

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Additional criteria will be listed in individual funding opportunity announcements.

93.535 AFFORDABLE CARE ACT (ACA) CHILDHOOD OBESITY RESEARCH DEMONSTRATION

Childhood Obesity Research Demonstration

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 401(a) of the Childrens Health Insurance Program Reauthorization Act of 2009 (CHIPRA, Public Law 111-3), added new section 1139A, to the Social Security Act (the Act). Subsection 1139A(e) of the Social Security Act requires the Secretary of Health and Human Services (HHS) to conduct a Childhood Obesity Demonstration Project (http://www.ssa.gov/OP_Home/ssact/title11/1139A.htm). In 2010, the Patient Protection and Affordability Act (ACA) appropriated funding for the Project in section 4306.section 301(a) and 317(k).

OBJECTIVES:

The overall objective is to determine whether an integrated model of primary care and public health approaches in the community, such as policy, systems, and environmental supports for nutrition and physical activity, can improve underserved childrens risk factors for obesity.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds can be used to develop, implement, and evaluate multi-sectoral (i.e., childcare, school, community, health care), multi-level (i.e., child, family, organization, community, policy) intervention demonstration projects for underserved children ages 2-12 years and their families, utilizing the Obesity Chronic Care Model and other similar models, as described in subsection 1139A(e) of the Social Security Act and section 301(a) and 317(k) of the ACA above. Recipients may only expend funds to implement and evaluate evidence-based policies, systems and environmental interventions, including personnel, travel, supplies, and services, such as contractual to reduce risk factors and prevent childhood obesity. Recipients may not use funds for clinical care. Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

Initial applicants are identified above. FY14 is the final year of the 5 year agreement, as such only grantees already awarded under FOA DP11-1107 are currently eligible.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program, with a specific focus on low income children eligible for services under titles XIX and XXI of the Social Security Act; children and their families living at least 150% or higher federal poverty level; or catchment areas where 50% of students are in schools eligible for the National School Lunch Program.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is covered under OMB Circular No. A-102. This program is covered under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. The standard application forms must be used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-110 for nongovernmental applicants.

Award Procedure:

Initially a scientific merit review was conducted, followed by pre-decisional site visits, and a secondary review, to evaluate the scientific merit, responsiveness, readiness, and feasibility of applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants have received a Notice of Award (NOA) from the CDC Office of Financial Resources (OFR, Office of Grants Services (OGS)). The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Currently, only non competitive continuation applications are accepted for Year 2.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Renewals will be based upon the availability of funding and satisfactory programmatic progress.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program has no matching requirement. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Project Periods is for a 28 month period, a 12 month and 18 month period. Method of awarding/releasing assistance: lump sum.

Reports:

An interim progress report, financial status reports, and a final report are required. The final financial status and final report are due within 90 days after the end of each budget period and at the end of the Project Period. Cash reports are not applicable. An interim progress report, financial status reports, and a final report are required. The final financial status and final progress report are due within 90 days after the end of each budget period. Annual Financial Status

Reports are required 90 days after the end of each budget period. An interim progress report, financial status reports, and a final report are required. The final financial status and final report are due within 90 days after the end of a Project Period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$3,192,469; FY 17 est \$3,899,652; and FY 18 est \$0 - The total estimated amount for the 28 month period is \$8.5 Million.

Range and Average of Financial Assistance:

Awards range was \$1,750,000 for the initial 12 month period and \$2,500,000 for the 16 month period - 2 awards total.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92.

Regional or Local Office:

None.

Headquarters Office:

Brenda Colley Gilbert, 1600 Clifton Rd, Atlanta, Georgia 30333 Email: bjc4@cdc.gov Phone: (770) 488-8390.

Website Address:

No Data Available

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.536 THE AFFORDABLE CARE ACT MEDICAID INCENTIVES FOR PREVENTION OF CHRONIC DISEASE DEMONSTRATION PROJECT

MIPCD Program

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Affordable Care Act (Public Law 111-148) Section 4108.

OBJECTIVES:

Section 4108(a)(1)(A)The Secretary shall award grants to States to carry out initiatives to provide incentives to Medicaid beneficiaries who (i) successfully

participate in a program described in paragraph (3); and (ii) upon completion of such participation, demonstrate changes in health risk and outcomes, including the adoption and maintenance of healthy behaviors by meeting specific targets (as described in subsection (c)(2)). (a)(1)(B) The purpose of the initiatives under this section is to test approaches that may encourage behavior modification and determine scalable solutions. (a)(3)(A)The Secretary shall award grants to States to carry out initiatives to provide incentives to Medicaid beneficiaries who successfully participate in a comprehensive, evidence-based, widely available, and easily accessible program, proposed by the State and approved by the Secretary, that is designed and uniquely suited to address the needs of Medicaid beneficiaries and has demonstrated success in helping individuals achieve ceasing use of tobacco, controlling their weight, lowering cholesterol, lowering blood pressure, and avoiding onset of diabetes. (a)(3)(B) A program under this section may also address co-morbidities (including depression) that are related to any of the conditions described in subparagraph (A). (a)(3)(C) WAIVER AUTHORITY- The Secretary may waive the requirements of section 1902(a)(1) (relating to statewideness) of the Social Security Act for a State awarded a grant to conduct an initiative under this section and shall ensure that a State makes any program described in subparagraph (A) available and accessible to Medicaid beneficiaries. (a)(4)(D) FLEXIBILITY IN IMPLEMENTATION- A State may enter into arrangements with providers participating in Medicaid, community-based organizations, faith-based organizations, public-private partnerships, Indian tribes, or similar entities or organizations to carry out programs described in subparagraph (A). (d)(2) A State awarded a grant to conduct an initiative under this section shall develop and implement a system to(1) track Medicaid beneficiary participation in the program and validate changes in health risk and outcomes with clinical data, including the adoption and maintenance of health behaviors by such beneficiaries; (2) to the extent practicable, establish standards and health status targets for Medicaid beneficiaries participating in the program and measure the degree to which such standards and targets are met; (3) evaluate the effectiveness of the program and provide the Secretary with such evaluations; (4) report to the Secretary on processes that have been developed and lessons learned from the program; and (5) report on preventive services as part of reporting on quality measures for Medicaid managed care programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding under this program will be used according to the ACA section 4108 statute. States will be reimbursed for approved expenditures through the grant with 100 percent Federal funds. Approvable expenditures will include direct cash incentives, or alternative forms of inducement such as free goods, transportation support, reduced Medicaid program fees, provision of alternative preventive services not normally reimbursable through Medicaid, or payments to family members, friends or community agencies that provide support and facilitation of a participants preventive program attendance and meeting goals of tobacco cessation, controlling or reducing weight, lowering cholesterol, lowering blood pressure, and avoiding the onset of diabetes or improving the management of the condition. Also reimbursement of 100 percent of approved expenditures for the administrative operation of the MIPCD Program is available through the grant. Examples of eligible reimbursable items are: key personnel; MIPCD travel, training, outreach and marketing; IT infrastructure to accommodate the MIPCD reporting requirements; and completing the satisfaction survey requirements. Applicants should review the individual funding opportunity announcement issued under this CFDA program for prohibited uses of grant funding.

Applicant Eligibility:

Applicants should review the eligibility information of the individual funding opportunity announcement issued under this CFDA program.

Beneficiary Eligibility:

Applicants should review the funding opportunity description of the funding opportunity announcement issued under this CFDA program for information on participant eligibility requirements.

Sec 4108(e) No Effect on Eligibility For, or Amount of, Medicaid or Other Benefits Any incentives provided to a Medicaid beneficiary participating in a

program described in subsection (a)(3) shall not be taken into account for purposes of determining the beneficiary's eligibility for, or amount of, benefits under the Medicaid program or any program funded in whole or in part with Federal funds.

Credentials/Documentation:

Applicants should review the individual funding opportunity announcement issued under this CFDA program for any required proof of certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Sec 4108(4) APPLICATION- Following the development of program criteria by the Secretary, a State may submit an application, in such manner and containing such information as the Secretary may require, that shall include a proposal for programs described in paragraph (3)(A) and a plan to make Medicaid beneficiaries and providers participating in Medicaid who reside in the State aware and informed about such programs. The standard application form SF-424 and related forms, as furnished by CMS, must be used for this program. Applicants are encouraged to submit a non-binding Notice of Intent to Apply. Notices of Intent to Apply are not required and a states submission or failure to submit a notice has no bearing on the scoring of proposals received. Notices of Intent to Apply are due no later than February 3, 2011. Applications are due March 1, 2011. Applicants should review the application and submission information in the funding opportunity announcement for specific instructions to apply for this grant program.

Award Procedure:

Successful applicants will receive a Notice of Award. Unsuccessful applicants will be notified by letter. Applicants should review the award administration information of the funding opportunity announcement issued under this CFDA program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants should review the award information of the individual funding opportunity announcement issued under this CFDA program for applicable approval/disapproval time range.

Appeals:

Not Applicable.

Renewals:

Subject to approval, the grant funding will be renewed annually.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. This program has no matching requirements.
This program does not have MOE requirements. This program has no MOE requirements.

Length and Time Phasing of Assistance:

Section 4108(f) Funding- Out of any funds in the Treasury not otherwise appropriated, there are appropriated for the 5-year period beginning on January 1, 2011, \$100,000,000 to the Secretary to carry out this section. The funds in this section may be obligated within the 5-year period beginning 1/1/2011. Method of awarding/releasing assistance: quarterly.

Reports:

Applicants should review the individual funding opportunity announcement for information on programmatic and evaluation reporting required. Section 4108(2) STATE REPORTING- A State awarded a grant to carry out initiatives under this section shall submit reports to the Secretary, on a semi-annual basis,

regarding the programs that are supported by the grant funds. Such report shall include information, as specified by the Secretary, regarding--(A) the specific uses of the grant funds; (B) an assessment of program implementation and lessons learned from the programs; (C) an assessment of quality improvements and clinical outcomes under such programs; and (D) estimates of cost savings resulting from such programs. Applicants should review the individual funding opportunity announcement for information on financial reporting requirements. Applicants should review the individual funding opportunity announcement for information on programmatic and evaluation reporting requirements. Applicants should review the individual funding opportunity announcement for information on financial reporting requirements. Applicants should review the individual funding opportunity announcement for information on programmatic and evaluation reporting requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Proper accounting records, identifiable by project number including all receipts and expenditures must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0516-0-1-551.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Grantees receive between \$250,000 baseline - \$1.1 million.

TAFS Codes:

75-0516.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants should refer to the funding opportunity announcement for applicable regulations, guidelines and literature.

Regional or Local Office:

See Regional Agency Offices. Applicants should refer to the agency contact information of the funding opportunity announcement under this CFDA program for contact information.

Headquarters Office:

Ed Hutton, 7500 Security Boulevard, Baltimore, Maryland 21244 Email: Grant@cms.hhs.gov Phone: (410) 786-6616.

Website Address:

<http://www.grants.gov>

RELATED PROGRAMS:

93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicant should refer to the funding opportunity announcement under this CFDA program for specific criteria requirements on proposal selection.

93.537 AFFORDABLE CARE ACT MEDICAID EMERGENCY PSYCHIATRIC DEMONSTRATION FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Affordable Care Act (Public Law 111-148) Section 2707.

OBJECTIVES:

Section 2707(a). The purpose of this program is for the Secretary of Health and Human Services (in this section referred to as the Secretary) to establish a demonstration project under which an eligible State (as described in subsection (c)) shall provide payment under the State Medicaid plan under title XIX of the Social Security Act to an institution for mental diseases (IMDs) that is not publicly owned or operated and that is subject to the requirements of section 1867 of the Social Security Act (42 U.S.C. 1395dd) or the provision of medical assistance available under such plan to individuals who (1) have attained age 21, but have not attained age 65; (2) are eligible for medical assistance under such plan; and (3) require such medical assistance to stabilize a psychiatric emergency medical condition. To conduct a 3-year Medicaid demonstration project participating States would be allowed to provide payment to (IMDs) of 17 or more beds for services provided to Medicaid beneficiaries who are the ages of 21 through 64, and who are in need of medical assistance to stabilize a psychiatric emergency medical condition.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The statute permits States selected to participate in the demonstration to provide payment to private (IMDs) of 17 or more beds for services provided to Medicaid beneficiaries who are the ages of 21 through 64, and who are in need of medical assistance to stabilize a psychiatric emergency medical condition. States will receive only federal matching funds for Medicaid payments made under the demonstration. Funds shall be allocated to eligible States on the basis of criteria, including a States application and the availability of funds, as determined by the Secretary. Funding under the demonstration is intended to be used only for Medicaid payment for services specified under Section 2707. In addition, in no case may the aggregate amount of payments made by the Secretary to eligible States under this section exceed \$75,000,000; or payments be provided by the Secretary under this section after December 31, 2015.

Applicant Eligibility:

State Medicaid Agencies.

Beneficiary Eligibility:

Medicaid eligibles (who are retroactively enrolled) and enrolled Medicaid beneficiaries who are the ages of 21 through 64, and who are in need of medical assistance to stabilize a psychiatric emergency medical condition.

Credentials/Documentation:

Yes, applicants should review the solicitation mailed directly to the State Medicaid Directors for any required proof of certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. b) STABILIZATION REVIEW A State shall specify in its application described in subsection (c)(1) establish a mechanism for how it will ensure that institutions participating in the demonstration will determine whether or not such individuals have been stabilized (as defined in subsection (h)(5)). This mechanism shall commence before the third day of the inpatient stay. States participating in the demonstration project may manage the provision of services for the stabilization of medical emergency conditions through utilization review, authorization, or management practices, or the application of medical necessity and appropriateness criteria applicable to behavioral health. States will be given 60 days to respond with their application. Applications will be reviewed by a panel of experts and panel results forwarded to the Administrator for final selection.

Award Procedure:

Applications will be reviewed and the results forwarded to the CMS

Administrator for final selection. The selected States will be notified of approval status. .

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximate range of approval/disapproval is 60 days from the application deadline.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Section 2707(e)(5) of the ACA stipulates that, The Secretary shall pay to each eligible State, from its allocation under paragraph (4), an amount each quarter equal to the Federal medical assistance percentage of expenditures in the quarter for medical assistance provided under the demonstration. In accordance with this provision, CMS will make payments of the federal Medicaid matching share quarterly based on the quarterly submission of State Medicaid expenditures. However, as part of the terms and conditions for participating in the demonstration, State Medicaid Agencies will be advised that once the federal funding limit is reached, States will not receive payment of the federal share of any outstanding Medicaid expenditures. This program does not have MOE requirements. Not applicable.

Length and Time Phasing of Assistance:

\$75 million is appropriated for fiscal year 2011 and shall remain available for obligation through December 31, 2015. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

Section 2707 (5) As a condition of receiving payment, a State shall collect and report information, as determined necessary by the Secretary, for the purposes of providing Federal oversight and conducting an evaluation under subsection (f)(1). No cash reports are required. Applicants should review the solicitation notice for additional information on progress reports required. States are required to submit quarterly expenditure reports. No performance monitoring is required. No cash reports are required. Applicants should review the solicitation notice for additional information on progress reports required. States are required to submit quarterly expenditure reports. No performance monitoring is required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. .

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0516-0-1-551.

Obligations:

(Direct Payments for Specified Use) FY 16 \$486,637; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Funds shall be allocated to eligible States on the basis of criteria, including a States application and the availability of funds, as determined by the Secretary.

TAFS Codes:

75-0516.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants should refer to the SMD letter for applicable regulations, guidelines and literature. Applications will be available in the announcement and a downloadable application will be available on the specified demonstration webpage.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Debra Gillespie 7500 Security Blvd., Baltimore, Maryland 21244 Email: Debra.Gillespie@cms.hhs.gov Phone: 410-786-4631

Website Address:

<http://innovation.cms.gov/initiatives/Medicaid-Emergency-Psychiatric-Demo>

RELATED PROGRAMS:

93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Section 2707(c)(3) A State shall be determined eligible for the demonstration by the Secretary on a competitive basis among States with applications meeting the requirements of paragraph (1). In selecting State applications for the demonstration project, the Secretary shall seek to achieve an appropriate national balance in the geographic distribution of such projects. The CMS review process of the State applications will be conducted by a panel of experts. The CMS project officer will coordinate the panels review. Applicant should refer to the solicitation under this CFDA program or contact the CMS project officer for more information regarding the selection process.

93.538 AFFORDABLE CARE ACT - NATIONAL ENVIRONMENTAL PUBLIC HEALTH TRACKING PROGRAM-NETWORK IMPLEMENTATION

National Center for Environmental Health, Division of Environmental Hazards and Health Effects, Environmental Health Tracking Branch

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 317(k)(2)(42 U.S.C. 247b), Patient Protection and Affordable Care Act (ACA), section 4002(42 U.S.C. 300u-11).

OBJECTIVES:

The purpose of this program is to establish and maintain a nationwide tracking network to obtain integrated health and environmental data and use it to provide information in support of actions that improve the health of communities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This program provides funding to the states to establish their tracking network.

Applicant Eligibility:

Consistent with appropriation legislative history which began the Tracking initiative in FY 2002, states and local government health departments or their Bona Fide Agents.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not required. This program is eligible for coverage under Executive Order 12372, Intergovernmental Review of Federal Programs. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental

Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is covered under OMB Circular No. A-102. It is subject to the provisions of 45 CFR, Part 92 for State and local governments. The standard application forms must be used for this program. Applicants must register their organizations through www.Grants.gov, the official agency-wide E-grant website, at least 30 days prior to submitting their applications. Registration information is located on the Get Registered screen of www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. Electronic applications will be considered as having met the deadline if the application has been successfully made available to CDC for processing from [Grants.gov](http://www.Grants.gov) on the deadline date. The application package can be downloaded from www.Grants.gov. Applicants can complete the application package off-line, and then upload and submit the application via the [Grants.gov](http://www.Grants.gov) Web site. The applicant must submit all application attachments using a PDF file format when submitting via [Grants.gov](http://www.Grants.gov). Directions for creating PDF files can be found on the [Grants.gov](http://www.Grants.gov) Web site. Use of file formats other than PDF may result in the file being unreadable by staff.

Award Procedure:

An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer, CDC.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 3 years with 12-month budget periods.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period is for 3 years with 12-month budget periods. See the following for information on how assistance is awarded/released: Terms are with in the Notice of Award which is a binding agreement between the grantee and the funding agency and comes from the Procurement and Grants Office.

Reports:

Semi-annual and annual progress reports are required. Final Financial Status and performance reports are required 90 days after the end of a project period. Site visits to ensure program integrity may also be conducted by CDC. Cash reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. An organization that expends \$500,000

or more in a year in Federal Awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of OMB Circular A-133, Audit of States, Local Governments, and Non-Profit Organizations.

Records:

Records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Comments added: Program was funded fully by Affordable Care Act (ACA)/Preventive and Public Health Fund (PPHF) for FY2012, FY2013 and FY2014.

Range and Average of Financial Assistance:

Approximate Average Award is \$700,000. This amount is for the first 12-month budget period, and includes both direct and indirect costs. Floor of Individual Award Range is \$ 500,000. Ceiling of Individual Award Range is \$1,100,000.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92

Regional or Local Office:

None.

Headquarters Office:

Michelle Canady CDC, NCEH, 4770 Buford Hwy, Atlanta, Georgia 30341-3717 Email: BQG1@cdc.gov Phone: 770-488-0711

Website Address:

<http://www.cdc.gov/ephracking>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selection of applicants are listed in the funding opportunity announcement.

93.539 PPHF CAPACITY BUILDING ASSISTANCE TO STRENGTHEN PUBLIC HEALTH IMMUNIZATION INFRASTRUCTURE AND PERFORMANCE FINANCED IN PART BY PREVENTION AND PUBLIC HEALTH FUNDS

Prevention and Public Health Fund (Affordable Care Act) - Immunization Program

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Section 317 of the Public Health Service Act (42 U.S.C. Sections 247b), as amended; and the Patient Protection and Affordable Care Act (PL 111-148), Title IV, Sections 4002, (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs. This program is funded in part by 2012 Prevention and Public Health Funds (PPHF-2012).

OBJECTIVES:

Program activities under this funding will support efforts to transition immunization programs supported by Section 317 funding to the healthcare environment being transformed by the Affordable Care Act (ACA). Section 317 grantees manage the public health force that implements and supports

immunization practices in the public and private sectors. Additionally, the importance of monitoring the effectiveness and impact of vaccines is critical for maintaining an immunization program that is scientifically and programmatically sound. The specific objectives may include but are not limited to: 1. Enhance interoperability between electronic health records and immunization information systems and reception of Health Level 7 (HL7) standard messages into IIS. 2. Develop a vaccine ordering module in an immunization information system that interfaces with CDC's VTrckS vaccine ordering and management system. 3. Develop and/or implement strategic plans for billing for immunization services in health department clinics to enable programs to increase program revenue, reach additional populations, provide recommended vaccines that are not currently offered, and address under-vaccinated populations. 4. Plan and implement adult immunization programs to improve adult immunization rates by establishing collaborations with employers and pharmacies and other healthcare entities to expand adult vaccination activities. 5. Enhance the sustainability of school-located vaccination (SLV) to make SLV programs successful, efficient, and sustainable through new technologies and innovative systems and third-party payer billing to recover program costs. 6. Increase Human Papillomavirus (HPV) vaccination coverage rates among adolescents.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting immunization programs directed toward vaccine-preventable diseases, and for the implementation of other program elements as described in Section 050 above and through CDC allowable and unallowable expenses. See above.

Applicant Eligibility:

Eligibility is limited to existing grantees under the Immunization Program (CFDA 93.268) which includes all 50 U.S. states, the District of Columbia, local health departments (Chicago, Houston, New York City, Philadelphia, San Antonio) and U.S. territories (Commonwealth of Puerto Rico, Virgin Islands, Commonwealth of the Northern Mariana Islands, American Samoa, Guam, Federated States of Micronesia, Republic of the Marshall Islands, Republic of Palau). Private individuals, private nonprofit agencies, and Indian tribes are not eligible.

Beneficiary Eligibility:

Any U.S. state, political subdivision and U.S. territories (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds. Costs will be determined in accordance with 2 CFR 225 (OMB Circular No. A-87) for state and local governments. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Pre-application coordination is not required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372 Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review.

This program is excluded from coverage under OMB Circular No. A-110. Allowable costs will be determined in accordance with OMB Circular No. A-87 for non-profit, non-governmental organizations. Applicants must download supplemental application forms from www.Grants.gov. Supplemental

applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office, Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone: 770-488-2700, email: PGOTIM@cdc.gov. HHS/CDC Telecommunications for the hearing impaired: TTY 770-488-2783.

Award Procedure:

All applications that are complete and responsive to competitive supplemental grant and cooperative agreement announcements will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period and 24-month project period. Method of awarding/releasing assistance: lump sum.

Reports:

The reporting schedule will be based on the requirements listed in the funding opportunity announcement. No cash reports are required. Funded applicants must provide a Monthly Progress Report within 30 days after the award and monthly thereafter. An Annual Progress Report is due 90 days after the end of the budget period. Federal Financial Reports (SF-425) and Final Progress Report are due 90 days after the end of the budget period. Federal Financial Reports (SF-425) are due 90 days after the end of the budget period. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Future funding is unknown and subject to availability of funds.

Range and Average of Financial Assistance:

Award ranges and averages vary based on program areas and supplemental projects funded through these cooperative agreements.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available for 45 CFR 92 and HHS Grants Policy statement at <http://www.ahrq.gov/fund/hhspolicy.htm>.

Regional or Local Office:

None.

Headquarters Office:

Ivory Reid 1600 Clifton Road MSA19, Atlanta, Georgia 30333 Email: ibr0@cdc.gov Phone: 4046397824

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.268 Immunization Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Immunization and Respiratory Diseases and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements. CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application Review Information within the individual funding announcement. Applicants will be notified if their application did not meet program requirements.

93.540 AFFORDABLE CARE ACT STREAMLINED SURVEILLANCE FOR VENTILATOR-ASSOCIATED PNEUMONIA: REDUCING BURDEN AND DEMONSTRATING PREVENTABILITY; AND PREVENTION AND PUBLIC HEALTH FUND

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Sections 317, 317(k)(2), 318 and 318B of the Public Health Service Act (42 U.S.C. Sections 247b, 247b(k)(2) and 247c), as amended; and the Patient Protection and Affordable Care Act (PL 111-148), Title IV, Sections 4002, (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs, IV U.S.C 4002.

OBJECTIVES:

Ventilator-associated pneumonia (VAP) is one of the most common and deadly healthcare-associated infections (HAIs). Program activities under this funding will facilitate a study to demonstrate the utility and relevance of sVAP and promote acceptance of sVAP within the critical care community. Implementation of a new, reliable, valid, clinically credible and potentially automatable VAP surveillance definition will provide the foundation for national surveillance and prevention efforts and for pay-for-reporting and pay-for-performance programs, leading to improved patient safety and fewer complications for patients needing mechanical ventilation. Specifically, the objectives of this project are to: 1. Implement prospective sVAP surveillance in 9 acute-care hospitals currently conducting VAP surveillance using existing

National Healthcare Safety Network (NHSN) definitions; 2. Compare the total sVAP surveillance burden to the total burden associated with use of existing NHSN VAP definitions; and 3. Implement an evidence-based VAP prevention initiative and evaluate sVAP preventability by assessing the association between sVAP rates and prevention measure compliance rates. Nine hospitals currently engaged in NHSN VAP surveillance will be recruited.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, data collection, organizing, and the implementation of program elements to build HAI prevention and public health capacity. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Applicant Eligibility:

Eligible applicants include recipients funded under the CDC Prevention Epicenters Program (CI11-001):
a. Chicago Prevention Epicenter
b. Duke University Prevention Epicenter
c. Harvard/Irvine Prevention Epicenter
d. University of Pennsylvania Prevention Epicenter
e. Washington University Prevention Epicenter.

Beneficiary Eligibility:

State and local health departments, U.S. Territories, and the general public.

Credentials/Documentation:

Allowable costs will be determined in accordance with OMB Circular No. A-87 for State, Local, and Indian Tribal governments. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and emailed to the program director and a hard copy mailed to the recipient fiscal officer identified in the application.

Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 18-month budget period. Method of awarding/releasing assistance: lump sum.

Reports:

The reporting schedule will be based on the requirements listed in the funding announcement. In most cases, funded applicants must provide an interim and annual progress report. Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Financial Status Reports are required no more than 90 days after the end of the budget period. Final financial status and progress reports are due no later than 90 days after the end of the project period. No cash reports are required. The reporting schedule will be based on the requirements listed in the funding announcement. In most cases, funded applicants must provide an interim and annual progress report. Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Financial Status Reports are required no more than 90 days after the end of the budget period. Final financial status and progress reports are due no later than 90 days after the end of the project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Program added \$30,000 (non-ACA funds) in FY12 to reinstate a research aim from the initial proposal. The project period is the same and the total funding provided for this project was \$1,574,309. This project is complete and no additional funding will be provided.

Range and Average of Financial Assistance:

Approximately \$1,544,309 in FY 2011 was awarded fund 1 application. The award period was 24 months. An applicant may request a project period up to 24 months for a total of \$1,544,309 including direct and indirect costs.

TAFS Codes:

75-75-1-0943-551.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Not applicable.

Headquarters Office:

Tiffanee L. Woodard CDC, 1600 Clifton Rd., MS-A16
, Atlanta, Georgia 30333. Phone: 404-639-7093 Fax: 406-639-4046

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.542 HEALTH PROMOTION AND DISEASE PREVENTION RESEARCH CENTERS: PPHF - AFFORDABLE CARE ACT PROJECTS

Prevention Research Centers

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Executive Order This program is authorized under Section 4002 of the ACA, Prevention and Public Health Fund, Section 1706 of the PHS Act, 42 U.S.C. 300u-5, and Section 317(k)(2) of the PHS Act, 42 U.S.C. 247b(k)(2).

OBJECTIVES:

To: (1) To maintain, and operate academic-based centers for high-quality research and demonstration with respect to health promotion and disease prevention; (2) to establish linkages, where applicable, between ongoing, basic research in a wide array of fields and applied research in disease prevention and health promotion; (3) to bring the knowledge and expertise of academic health centers to bear on practical public health problems; (4) to field test and rigorously evaluate more cost-effective methods and strategies for preventing unnecessary illness and promoting good health; (5) to shorten the time lag between the development of new and proven effective disease prevention and health promotion techniques and their widespread application, and; 6) to involve the community in the development, conduct, and implementation of prevention research. Prevention Research Centers will: (1) focus on the major causes of death and disability, with an emphasis on underserved and vulnerable populations (2) improve public health practice through community-based participatory research, and (3) design, test, disseminate, or translate effective public health programs at the state and community level. Funding will support key elements of (1) infrastructure and administration, (2) community engagement and partnerships, (3) communication and dissemination, (4) training and education, (5) evaluation, (6) a community-based participatory core research project, and -- for some Prevention Research Centers, 7) special interest projects (SIPS).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for cost associated with planning, data collection, organizing, and the implementation of program elements related to the objectives identified in 050 above. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. Recipients may not use funds for clinical care. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible to apply for funding.

Applicant Eligibility:

Only applicants who have applied for and have been selected as Prevention Research Centers under CDC Program Announcement DP-14-001 are eligible to apply for the annual continuation funding for the core award and Special Interest Project (SIPS) awards. Funding is limited to Prevention Research

Centers under CDC Program Announcement PA DP-14-001 because they are uniquely positioned to perform, oversee, and coordinate community-based participatory research that promotes the field of prevention research due to their established relationships with community partners.

Beneficiary Eligibility:

Academic health centers, scientist/researchers, operational public health programs, targeted high risk groups, selected demonstration areas, and the general public.

Credentials/Documentation:

Applicants must have applied for and been selected as Prevention Research Centers under CDC Program Announcement DP-14-001. Applicants must document the need for assistance, state the objectives of the proposed project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application Procedure: The CDC Procurement and Grants Office (PGO) will provide a Prevention Research Center (PRC) Program Guidance document to eligibility applicants entitled How to Prepare the PRC Non-Competing Continuation Application and Progress Report. Applicants must manually submit an annual continuation application to PGO by the deadline provided in the Program Guidance document. If the applicant has difficulty, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov.

Award Procedure:

CDC will not review incomplete and non-responsive continuation applications for core awards and Special Interest Projects (SIPS) awards. Applications that are complete and responsive will be evaluated for scientific and technical merit by the CDC Scientific Collaborator for the project, the CDC Project Officer for the Prevention Research Center, and a Scientific Program Official within the CDC Extramural Research Program Office. Successful applicants will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer. The award will provide funds for the budget period of 12 months and the NGA will indicate allocation of Federal funds by budget categories and special conditions, if any. The project period for continuation funding is 12 months.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. None.

Appeals:

Not Applicable.

Renewals:

No renewals; the project period is 12 months.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. The program has no statutory formula. The program has no matching requirement. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period is 12 months with one budget period of 12 months. Method of awarding/releasing assistance: quarterly.

Reports:

An interim progress report, financial status reports, and a final progress report are required. The final financial status and final report are due within 90 days after the end of a Project Period. Cash reports are not applicable. An interim progress report, financial status reports, and a final progress report are required. The final financial status and final report are due within 90 days after the end of a Project Period. An interim progress report, financial status reports, and a final progress report are required. The final financial status and final report are due within 90 days after the end of a Project Period. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. An organization that expends \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of OMB Circular A-133, Audit of States, Local Governments, and Non-Profit Organizations.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-1209-4-3-000.

Obligations:

(Cooperative Agreements) FY 16 \$19,084,000; FY 17 est \$19,083,950; and FY 18 est \$19,084,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-1209.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92 at <http://www.hhs.gov/opa/grants/toolsdocs/45cfr92.html>.
HHS/CDC grants policies as described in the HHS Grants Policy Statement.

Regional or Local Office:

None.

Headquarters Office:

John Avery Francis 4770 Buford Highway, Atlanta, Georgia 30341 Email: ijh9@cdc.gov Phone: 7704886384

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

CDC will complete a review to evaluate responsive applications according to criteria provided in Section V of the Application Review Information of the Funding Opportunity Announcement (FOA). Applicants will be notified of the evaluation results.

93.545 CONSUMER OPERATED AND ORIENTED PLAN [CO-OP] PROGRAM

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act (PPACA), Section 1322.

OBJECTIVES:

To provide assistance to applicants for activities related to establishing and maintaining a Consumer Operated and Oriented (CO-OP), nonprofit health insurance issuer.

Please refer to the Funding Opportunity Announcement (FOA) for additional information.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

Section 1322 of The Patient Protection and Affordable Care Act (PPACA), calls for the establishment and continuation of the CO-OP Program, which will foster the creation and maintenance of qualified nonprofit health insurance issuers to offer competitive health plans in the individual and small group markets. The American Taxpayer Relief Act of 2012 (ATRA) rescinded, as of the date of enactment, ninety percent of the unobligated balance under Section 1322 of the PPACA, transferred the remaining ten percent of the unobligated balance to the Consumer Operated and Oriented Plan Program Contingency Fund, and rescinded authority to further issue CO-OP loans.

As of the date of the rescission, CO-OP loan agreements had closed with 24 CO-OP borrowers. The loan agreement with one of those borrowers was terminated in 2013 before the entity had obtained an insurance license. The other 23 borrowers became licensed licensed. One of them entered into a state-supervised liquidation proceeding in February of 2015. Although CMS no longer has the authority to make loans to new borrowers, Section 644 of ATRA authorizes CMS to continue to provide assistance and oversight to existing CO-OPs as they work to achieve program milestones and maintain viable CO-OPs in each state in which they operate. No further funding is available to borrowers under the original Funding Opportunity Announcement (FOA) published on December 9, 2011, Number OO-COO-001.

The CO-OP Program awards two types of loans:

Start-up Loans: Start-up Loans are intended to assist applicants with the start-up costs associated with establishing a new health insurance issuer, such as: renting or developing administrative technology systems; renting or developing provider networks; hiring counsel and consultants to assist with State licensure requirements; hiring actuaries and management staff; conducting community and prospective member education; developing strategic plans to build enrollment; developing plans for quality improvement, member and provider services, and grievances and appeals systems with feedback loops to improve operations; establishing and participating in a private purchasing council; and other start-up costs. Start-up Loans cannot be used to fund costs associated with construction of facilities, including clinical facilities, nor can Start-up Loans be used for clinical expenses, such as provider salaries or payments, provider clinical space or administrative staff associated with clinical functions, and clinical equipment. These items are intended to be covered by the premiums and reflected in the reimbursement to providers.

Funds drawn from Start-up Loans must be repaid within five years of disbursement.

Solvency Loans: Solvency Loans are intended to assist eligible entities with meeting the solvency and capitalization requirements of states in which they do business.

Funds drawn from Solvency Loans must be repaid within 15 of disbursement.

Loan recipients must use the loans awarded under the CO-OP program to fund

the activities proposed and approved in the loan recipients application, consistent with the terms of the CO-OPs loan agreement with CMS. Loan recipients are prohibited from using the loans awarded under the CO-OP program to conduct marketing or carry on propaganda and other activities attempting to influence legislation.

Additional information on both start-up and solvency loans can be found in the original FOA for this program, to acquire details on each type of loan and the requirements for each type of loans use and repayment. Loan recipients must use the loans awarded under the CO-OP program to fund the activities proposed and approved in the loan recipients application consistent with the terms of the organizations loan agreement with CMS. Loan recipients are prohibited from using the loans awarded under the CO-OP program to conduct marketing or carry on propaganda and other activities attempting to influence legislation. Start-up Loans: Start-up Loans are required to be repaid five years after each drawdown of funds. Solvency Loans: Solvency Loans are required to be repaid 15 years after each drawdown of funds. Please refer to the FOA for this program for more detail on each type of loan and the requirements for each type of loans use and repayment.

Applicant Eligibility:

TTTo be eligible to apply for a loan under the CO-OP program, an applicant must:

1. Intend to become a CO-OP;
2. Have formed a private nonprofit member organization (see Section IV.B of the FOA for acceptable evidence of certified nonprofit status). ;
3. Submit in its loan application an Eligibility Affidavit and Application Certification signed by the applicants Chief Executive Officer, Chief Financial Officer, or an officer of the applicants Board of Directors, certifying the accuracy, completeness, and truthfulness of all information contained in the loan application; and certifying that, if the applicant organization is awarded loan(s) under this FOA, it will repay them according to the terms laid out in this FOA, finalized in 45 CFR part 156 subpart F, and in the Loan Agreement issued when the award is announced. The signatory must be legally authorized to bind the CO-OP. For a description of the Eligibility Affidavit and Application Certification, see Section IV.B.10.
4. Commit to offering a CO-OP qualified health plan at the silver and gold benefit levels in every individual market Exchange that serves the geographic regions in which it is licensed and intends to provide health care coverage; and
5. If choosing to offer at least one plan in the small group market outside the Exchange, commit to offering a CO-OP qualified health plan at both the silver and gold benefit levels in each Small Business Health Options Program (SHOP) that serves the geographic regions in which the organization offers coverage in the small group market; and
6. Commit that at least two-thirds of the contracts issued by the CO-OP will be CO-OP qualified health plans offered in the individual market or individual and small group markets of the States in which the CO-OP is licensed.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Applicants must provide proof that they have formed a nonprofit member organization and are not prohibited from program participation. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

All qualified applications will be forwarded to objective external reviewers. The CMS program official with delegated authority is responsible for final selection and funding decisions. In making these decisions, the program

official will take into consideration: recommendations of the external reviewers; reviews for programmatic and grants management compliance; the reasonableness of the size of the loan request and anticipated results of funding the application; ability to repay the loan; and the likelihood that the proposed project will result in the benefits expected. CMS reserves the right to conduct pre-award Budget Negotiations with potential loan recipients. Notification is made in writing by a Notice of Award.

Deadlines:

Oct 17, 2011: First Round. Oct 17, 2011: First Round. Oct 17, 2011: First Round. First Round Loans

Grants.gov application due date: October 17, 2011;

First loan award date: February 17, 2012 and applications were accepted on a rolling basis until the passage of ATRA. The last loan award to fund the creation of a new CO-OP was awarded in December 2012. Subsequent loans for additional funding to existing borrowers will be awarded as funding is available.

Range of Approval/Disapproval Time:

From 60 to 90 days. Loan awards or a response to the application will be provided approximately 75 days after each applicant receives notice that its application is complete.

Appeals:

An applicant may request reconsideration of a loan application determination. Any determination made by CMS with respect to that loan application as a result of reconsideration is final and is not subject to reconsideration, appeals, or other administrative or judicial review related to that specific loan application. However, an applicant is not prohibited from submitting an entirely new loan application.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Start-up Loans must be repaid within 5 years of the date of each drawdown and Solvency Loans must be repaid within 15 years of the date of each drawdown. See the following for information on how assistance is awarded/released: The FOA will outline how assistance is awarded/released.

Reports:

Start-up and Solvency Loan Recipients. All successful applicants under this announcement must comply with the following reporting and review activities:

- a. Monthly Reporting. Loan recipients must provide the Account Manager information related to enrollment on a monthly basis.
- b. Quarterly Reporting (NAIC Report). Loan recipients must provide Account Managers with information that is reflected in regulatory filings on a quarterly basis.
- c. Semi-Annual Progress Report. Loan recipients must provide the Account Manager on a semi-annual basis, information such as, but not limited to: progress on the goals, objectives, milestones, and activities identified in its Business Plan and the Loan Agreement; accomplishments, barriers, and lessons learned; data on the loan recipients responsiveness to member grievance, maintenance of consumer control, and quality of care. More details of the semi-annual progress report are outlined in the Loan Agreement and loan recipients were provided with a reporting template.
- d. Changes/updates in the business plan.
- e. Updated financial reports and Pro forma reports.
- f. Annual Program Report; In addition to the semi-annual progress report, each loan recipient will be required to submit a program report annually, such as, but not limited to: updated financial reports and Pro forma reports; certification of compliance with all applicable state insurance regulation or an explanation of any deficiencies or adverse actions or determinations by state insurance regulators and a timeline for resolving all issues with state insurance regulators; an updated Business Plan. More details of the annual program report are outlined in the Loan Agreement and loan recipients were provided with a reporting template.
- g. Marketplace Rate Analysis, varies per state.
- h. Corrective Action Plan (CAP). If CMS concludes that a loan recipient has not complied with the

requirements set forth in Section 1322 of the Patient Protection and Affordable Care Act, 45 CFR part 156 subpart F, or in its Loan Agreement, CMS may require the loan recipient, via a notice of violation, to develop and submit a CAP and implement the CAP as approved by CMS. 1. The loan recipient must submit, for CMS approval, a CAP by the deadline indicated on the notice of violation. 2. The CAP must specify the actions that the loan recipient will take to ensure that the loan recipient, its members, its providers and suppliers, and contracted entities performing services or functions on its behalf, will correct any deficiencies and remain in compliance with program requirements. 3. CMS will monitor the loan recipients performance during the CAP process. 4. Failure to submit, obtain approval for, or implement a CAP may result in termination of the loan agreement, as may failure to demonstrate improved performance upon completion of the CAP. i. Enhanced Oversight Plan (EOP). CMS may place a loan recipient in an EOP (used in lieu of implementing a CAP, or in conjunction with a CAP), if the loan recipient consistently underperforms or repeatedly has difficulty in meeting program milestones and benchmarks, as identified in its loan agreement. Under an EOP, CMS will conduct stronger and more frequent review of the loan recipients operational and financial status. In addition, CMS may provide technical assistance if CMS determines that doing so would improve the performance of the loan recipient and increase the likelihood of loan repayment. j. Transparency Act Reporting Requirements. New awards issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (P. L. 109282), as amended by section 6202 of Public Law 110252 and implemented by 2 CFR Part 170. Recipients must report information for each first-tier sub award of \$25,000 or more in Federal funds and executive total compensation for the recipients and sub recipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (available online at www.fsr.gov). The report identifies cash transactions and expenditures against the authorized funds for the loan. Please refer to the description provided in Reports (111) (c.) of this template for information on the semi-annual progress report; loan recipient also submits quarterly regulatory filings submitted to state regulators and the NAIC. Each loan recipient must also submit a quarterly electronic SF 425, via the Payment Management System. The report identifies cash transactions and expenditures against the authorized funds for the loan. Please refer to the FOA. Each loan recipient must submit a quarterly electronic SF 425 via the Payment Management System. The report identifies cash transactions and expenditures against the authorized funds for the loan. Please refer to the description provided in Reports (111) a. of this template for information on the semi-annual progress report. Each loan recipient must submit a quarterly electronic SF 425 via the Payment Management System. The report identifies cash transactions and expenditures against the authorized funds for the loan. Please refer to the FOA.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. A loan recipient must comply with the requirements contained in the Funding Opportunity Announcement and its loan agreement, specifically, the Right to audit. The loan recipient must agree, and must require its providers, suppliers, and contracted entities performing services or functions on behalf of the loan recipient to agree, that HHS, the Comptroller General, the OIG or their designees have the right to audit, inspect, and evaluate any books, contracts, records, documents, and other evidence of the loan recipient, and its providers and suppliers, and contracted entities that pertain to(1) The loan recipients compliance with program requirements.

CMS may conduct onsite performance reviews and site visits. The timing of any performance review and any site visit is at the discretion of CMS. CMS has

to conduct these audits of all loan recipients within the first 12 months post-award. Following the first audit, regular audits will be conducted at least every three years. CMS also can perform ad-hoc or focused audits of CO-OP at their discretion and independent of the timing of the planned on-site audits. review and any site visit is at the discretion of CMS. CMS has to conducted these audits of all loan recipients within the first 12 months post-award. Following the first audit, regular audits will be conducted at least every three years. CMS also can perform ad-hoc or focused audits of CO-OP at their discretion and independent of the timing of the planned on-site audits.

Records:

A loan recipient must comply with the requirements contained in the Funding Opportunity Announcement (FOA) and its loan agreement, specifically, the Maintenance of records. A loan recipient must agree and must require its providers, suppliers, and contracted entities performing functions or services on behalf of the loan recipient to agree to the following: (1) To maintain and give HHS, the Comptroller General, OIG, or their designees access to all books, contracts, records, documents, and other evidence sufficient to enable the audit, evaluation, and inspection of the loan recipients compliance with program requirements; (2) To maintain such books, contracts, records, documents, and other evidence for a period of 10 years from the final date of the repayment period or from the date of completion of any audit, evaluation, or inspections, whichever is later, unless (i) CMS determines there is a special need to retain a particular record or group of records for a longer period and notifies the loan recipient at least 30 days before the normal disposition date; (ii) There has been a termination, dispute, or allegation of fraud or similar fault by the loan recipient, its providers, suppliers, or contracted entities that perform functions or services on its behalf, in which case the loan recipient must retain records for an additional 6 years from the date of any resulting final resolution of the termination, dispute, or allegation of fraud or similar fault; (iii) There is a reasonable possibility of fraud or similar fault by the loan recipient or its providers and suppliers, or contracted entities performing services or functions on behalf of the loan recipient, in which case CMS may inspect, evaluate, and audit the loan recipient at any time while the loan funds are in repayment; and (3) Notwithstanding any arrangements between or among a loan recipient and its members, providers and suppliers, and contracted entities performing functions or services on its behalf, the loan recipient must have ultimate responsibility for adhering to and otherwise fully complying with all terms and conditions of its loan agreement with CMS, including the requirements set forth in this section.

Account Identification:

75-0118-0-1-551.

Obligations:

(Direct Loans) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Loan Range: \$56,656,900 to \$265,133,000

Average Loan Size: \$ 106,278,338.

TAFS Codes:

75-75-0118.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: N/A. Fiscal Year 2017: N/A. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants should refer to the FOA for applicable regulations, guidance, and/or literature.

Regional or Local Office:

See Regional Agency Offices. Health and Human Services, Hubert H. Humphrey Building, Room 737F, 200 Independence Ave., SW, Washington, D.C. 20201.

Headquarters Office:

Reed Cleary 200 Independence Ave., SW, Room 739H, Washington, District of Columbia 20201 Email: Reed.Cleary@cms.hhs.gov Phone: 301-492-4127

Website Address:

<http://www.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In awarding initial start-up and solvency loans, CMS evaluated applications (based upon type of loan) using factors identified in the FOA. Please refer to the FOA for additional evaluation criteria. In awarding additional funding to existing borrowers, CMS evaluates the applications on the ability to demonstrate that the additional loan funding would assist the existing borrower applicant in establishing and maintaining a viable CO-OP, including ability to repay the loan.

93.546 EARLY RETIREE REINSURANCE PROGRAM

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordability Act, Section 1102.

OBJECTIVES:

The program provides reimbursement to sponsors of participating employment-based plans for a portion of the cost of health benefits for early retirees and their spouses, surviving spouses, and dependents. The purpose of the reimbursement is to make health benefits more affordable for plan participants and sponsors so that health benefits are accessible to more Americans than they would otherwise be without this program.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

ERRP regulation specifies the only permissible ways in which sponsors may use the proceeds under this program. They permissible ways are: (1) To reduce the sponsors health benefit premiums or health benefit costs, or (2) To reduce health benefit premium contributions, copayments, deductibles, coinsurance, or other out-of-pocket costs, or any combination of these costs, for plan participants, or (3) To reduce any combination of the costs in (1) and (2). In addition, there is an additional restriction that proceeds under this program must not be used as general revenue for the sponsor. (See the ERRP regulation at 45 C.F.R. 149.200). The restrictions include using the funds in a manner other than as follows: (1) To reduce the sponsors health benefit premiums or health benefit costs, or (2) To reduce health benefit premium contributions, copayments, deductibles, coinsurance, or other out-of-pocket costs, or any combination of these costs, for plan participants, or (3) To reduce any combination of the costs in (1) and (2) Proceeds under this program must not be used as general revenue for the sponsor. (See the ERRP regulation at 45 C.F.R. 149.200).

Applicant Eligibility:

In order to have been eligible for the ERRP, a plan sponsor must have completed and submitted an ERRP application to the ERRP Center on or before May 5, 2011. In summary, the plan sponsor must be an organization that provides health benefits to Early Retirees, have programs and procedures in place that generate cost savings with respect to participants with chronic and high-cost conditions, use reimbursements appropriately, ensure that policies and procedures are in place to protect against fraud, waste and abuse under ERRP, and agree to the Plan Sponsor Agreement included in the ERRP application. Applications were reviewed and either approved or not approved for acceptance in to the program.

Beneficiary Eligibility:

In order to be eligible to receive reimbursements under the ERRP, a plan sponsor must have completed and submitted an ERRP application to the ERRP Center on or before May 5, 2011. If approved, both the sponsor, and early retirees and their spouses, surviving spouses and their dependents are direct or indirect beneficiaries of the program. In summary, the sponsor must be an organization that provides health benefits to Early Retirees, have programs and procedures in place that generate (or have the potential to generate) cost savings with respect to participants with chronic and high-cost conditions, use

reimbursements appropriately, ensure that policies and procedures are in place to protect against fraud, waste and abuse under ERRP, and agree to the Plan Sponsor Agreement included in the ERRP application.

Credentials/Documentation:

A sponsor must have submitted an application for the program to the ERRP Center on or before May 5, 2011. After approval into the program, in order to receive program funds a sponsor must provide the ERRP Center with a list of the Early Retirees and the cost and claims data for which it is requesting in reimbursement. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Sponsors must have completed and submitted an application to the ERRP Center on or before May 5, 2011. Reimbursement requests are no longer being accepted. The program ended January 1, 2014.

Deadlines:

May 05, 2011 The ERRP ended January 1, 2014. Administrative activities to close out systems and operations are underway. On May 05, 2011, the program ceased accepting applications from sponsors.

Range of Approval/Disapproval Time:

From 1 to 15 days. Applications were generally processed within 2 weeks of receipt. Applications and reimbursement requests are no longer being accepted. The program ended January 1, 2014.

Appeals:

Sponsors may appeal an adverse reimbursement determination. .

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Patient Protection and Affordability Care Act, Part 1102, Subpart c. Statutory Formula: Title Patient Protection and Affordability Care Act, Part 1102, Subpart c. The statute at section 1102(c) of the Affordable Care Act requires the Secretary, upon receipt of a valid claim for health benefits, to make reimbursement in an amount of 80 percent of the portion of the health benefit costs (net of negotiated price concessions) attributable to the claims that exceed \$15,000, but are below \$90,000, during a plan year for a given early retiree or early retirees spouse, surviving spouse, or dependent. The payment thresholds may be adjusted based on changes in the medical care component of the Consumer Price Index.

Matching Requirements: This program has maintenance of contribution requirements, to ensure that sponsors comply with the prohibition against using ERRP funds as general revenue. A sponsor may demonstrate that it is maintaining its level of contribution in a number of ways: using aggregate spending trends, per-capita spending trends, or other methods subject to approval.

Matching Requirements: This program has maintenance of contribution requirements, to ensure that the prohibition against using ERRP funds as general revenue, is complied with. A sponsor may demonstrate that it is maintaining its level of contribution in a number of ways: using aggregate spending trends, per-capita spending trends, or other methods subject to Secretarial approval. These options are set forth in a publicly posted Common Question.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The reimbursement will be available until January 01, 2014. ERRP reimbursement may no longer be requested. See the following for information on how assistance is awarded/released: After (1) the Account Manager and

Authorized Representative successfully register via the ERRP secure website; (2) the sponsor provides banking information in the website; and (3) the sponsor provides a list of Early Retirees and the cost and claims data supporting reimbursement requests, the sponsor may submit a reimbursement request (after the authorized representative also affirms sponsor is complying with program rules). The ERRP Center will make a determination of whether the reimbursement is approved or denied. If approved, the reimbursement will be deposited in the bank account chosen by the sponsor. Sponsors may request reimbursement one time, each calendar year quarter, for each plan year.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Audits of sponsors will be conducted to ensure sponsors are in compliance with ERRP requirements.

Records:

The ERRP does not require specific progress, expenditure, cash reports, or performance monitoring at set intervals. However, the sponsors must comply with record maintenance requirements and provide records upon request in connection with audit or other ERRP integrity related activities. The Sponsors must maintain records for 6 years after the expiration of the plan year in which the costs were incurred, or longer if otherwise required by law. The records that must be retained are as follows (1) All documentation, data, and other information related to the regulations, and (2) Any other records specified by the Secretary. The Secretary may issue additional guidance addressing recordkeeping requirements, including (but not limited to) the use of electronic media. The sponsor must require its health insurance issuer or employment based plan, as applicable, to maintain and produce records upon request.

Account Identification:

75-0114-0-1-551.

Obligations:

(Direct Payments for Specified Use) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - There is appropriated to the Secretary, out of any moneys in the Treasury not otherwise appropriated, \$5,000,000,000 to carry out the program under this section. Such funds shall be available without fiscal year limitation. Program ends January 1, 2014. Administrative activities to close out systems and operations are underway.

Range and Average of Financial Assistance:

Smallest: \$50.96; Largest: \$387,187,079.81; Average: \$1,745,808.92 (All data as of June 2014).

TAFS Codes:

75-75-0114.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are available at 45 CFR Part 149. Additional information is available at <http://www.cms.gov/CCIIO/Programs-and-Initiatives/Insurance-Programs/Early-Retiree-Reinsurance-Program.html>.

Regional or Local Office:

None.

Headquarters Office:

Michelle Feagins 200 Independence Avenue, SW
, Washington, District of Columbia 20201. Email: michelle.feagins@hhs.gov
Phone: 301-492-4312.

Website Address:

<http://www.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.547 NATIONAL HEALTH SERVICE CORPS

ACA National Health Service Corps - NHSC Loan Repayment Program (LRP), NHSC Scholarship Program (SP), The Students to Service (S2S) Loan Repayment Program, and State Loan Repayment Program (SLRP).

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 331-338I (42 United States Code Section 254d-254q1), as amended by P.L. 111-148; Medicare Access and CHIP Reauthorization Act of 2015 (Public Law 114-10).

OBJECTIVES:

The National Health Service Corps (NHSC) assists Health Professional Shortage Areas (HPSAs) in every State, Territory, and Possession of the United States to meet their primary care medical, oral, and mental and behavioral health service needs by increasing the supply of clinicians through the following programs: National Health Service Corps Loan Repayment Program (LRP), National Health Service Corps Scholarship Program (SP), The Students to Service (S2S) Loan Repayment Program, and State Loan Repayment Program (SLRP). The NHSC Loan Repayment Program offers fully trained primary care clinicians the opportunity to receive financial assistance to repay their qualifying educational loans in exchange for service in a HPSA. The NHSC Scholarship Program awards scholarships to health professions students committed to a career in primary care and service in underserved communities of greatest need. The Students to Service (S2S) Loan Repayment Program provides financial assistance to repay qualifying educational loans to medical students in their last year of school in exchange for service in a HPSA. The NHSC State Loan Repayment Program provides matching funds in the form of a grant to States to operate their own loan repayment programs in HPSAs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

NHSC Loan Repayment Awards provide payments of up to \$50,000 towards a participants qualified educational loans for 2 years of full time practice (up to \$25,000 for 2 years of half time practice) at an approved NHSC Service Site under a written agreement with this program.

NHSC Scholarships pay tuition and required fees to the school, a monthly stipend to students for living expenses, and a single annual payment to the student to assist with other reasonable educational expenses.

Students to Service Loan Repayment Program provides payments of up to \$120,000 for the first 3 years of full-time service or 6 years of half-time service.

State Loan Repayment Grant Program funds can only be used to repay the qualifying educational loans of health professionals who have entered into a SLRP contract with the States. Federal grant funds cannot be used to cover the costs of administering the program or as the source of State matching funds. Refer to the individual HRSA Application and Program Guidance or Notice of Funding Opportunity issued under this CFDA for specific requirements for the NHSC Loan Repayment, NHSC Scholarship and State Loan Repayment Programs.

Restricted Uses: As applicable, indirect costs that are allowed for administrative costs incurred as a result of the project, are limited to 8 percent of direct costs. Please see the applicable program funding announcements. Please see the applicable program funding opportunity announcements.

Applicant Eligibility:

For specific program and eligibility requirements, please see: <http://nhsc.hrsa.gov/>

SLRP: Entities eligible to apply for this grant program include the 50 States, the District of Columbia, Guam, the Commonwealth of Puerto Rico, the Northern

Mariana Islands, the U.S. Virgin Islands, American Samoa, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Health professional
U.S. citizen
Education (13+).

Credentials/Documentation:

Applicants should review the individual HRSA Application and Program Guidance (NHSC LRP, NHSC SP, NHSC S2S) or Funding Opportunity Announcement (SLRP) issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires SLRP applicants to apply electronically through Grants.gov.

Other applicants apply through <http://nhsc.hrsa.gov/>

Award Procedure:

NHSC LRP awards are made based on the highest need as determined by descending health professional shortage area scores. Priority will be given to individuals who demonstrate a commitment to practicing in underserved communities and those from disadvantaged backgrounds. In addition, priority may be given to applicants whose training is in a health discipline or specialty determined by the Secretary of Health and Human Services to be needed by the NHSC and who the Secretary determines are committed to serve in health professional shortage areas.

NHSC S2S awards are prioritized based on having a disadvantaged background and characteristics likely to remain in a HPSA as identified by their essays and letters of recommendation.

NHSC SP awards are very competitive; the Program anticipates more applicants for scholarship awards than there are funds available. Qualified applications will be forwarded to an objective review committee and scored numerically. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions

For SLRP awards, all eligible, qualified applications will be forwarded to an objective review committee and scored numerically. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

NHSC LRP, NHSC S2S, and SP: Notification to all applicants are made no later than September 30th of the application year.

SLRP: approximately 120-180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

NHSC LRP and S2S: continuation contracts will be considered according to the availability of appropriated funds and eligibility criteria applied to the individual and the site at which that individual is employed.

NHSC SP: Renewal applications are not necessary for students in compliance; contracts may be multi-year funded through graduation (1 to 4 years, if the applicant signs the contract through the graduation date).

SLRP: Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching requirement does not apply to NHSC LRP, NHSC SP and NHSC S2S.

SLRP Matching Requirements: States must agree to make available (directly or through donations from public or private entities) non-Federal contributions in cash toward SLRP contracts in an amount not less than \$1 for each \$1 of Federal funds provided in the grant. A State may not use any Federal funds or in-kind contributions to satisfy the non-Federal match requirement. A State must verify that contributions from sources other than State appropriated funds are non-Federal. States may use non-Federal funds in excess of the \$1 for \$1 minimum match to supplement the repayment of qualifying loans of health professionals.

The Federal share must be used to repay the government and commercial loans of health professionals for tuition, educational expenses and living expenses described in Section 338B(g)(1) of the Public Health Service Act. States must assume administrative costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

NHSC LRP - Loan repayments for 1 year of obligated service will be made no later than the end of the fiscal year in which the individual completes such year of service.

NHSC S2S - Loan repayments for 3 years of obligated service will be made no later than the end of the fiscal year in which the individual completes such year of service.

NHSC SP - Each award covers 1 to 4 years of support, as requested. No awardees may receive more than 4 years of support.

SLRP - Applicants may propose a project period of up to 4 years. However, funds are distributed in yearly budget periods subject to the availability of funds. States are asked to commit the full amount of funding for their loan repayment awards to practitioners in the current fiscal year to avoid out-year commitments for additional Federal funds. See the following for information on how assistance is awarded/released: Recipients drawdown funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards.

Reports:

NHSC SP - Annual reports by schools indicating average student educational expenses. The academic institution where the student is enrolled must complete and submit a Verification of Enrollment Form each semester as a full-time student pursuing the program for which the scholarship was awarded.

NHSC SP, NHSC LRP, and S2S LRP - The employing facility must complete and send a semi-annual In-Service Verification form verifying that the participating health professional is providing full-time or half-time service. The certification includes a signature by an appropriate official of the facility.

SLRP Both program and financial reports are required. Recipient States must submit: (1) a Financial Report within 90 days of the end of each budget period; (2) Semi-Annual Field Strength Reports through the HRSA Electronic Handbooks System (EHBs); (3) annual performance and non-competing

continuation progress report(s) through the EHBs; (4) a Final Report due 90 days after the project period ends. Cash reports are not applicable. SLRP Both program and financial reports are required. Recipient States must submit: (1) a Financial Report within 90 days of the end of each budget period; (2) Semi-Annual Field Strength Reports through the HRSA Electronic Handbooks System (EHBs); (3) annual non-competing continuation progress report(s) through the EHBs; (4) a Final Report due 90 days after the project period ends. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Annual audits do not apply to NHSC LRP, NHSC SP and S2S LRP.

Records:

None for NHSC LRP, NHSC SP and S2S LRP.

SLRP Grantees are required to maintain grant accounting records 3 years from the date they submit the final Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 16 \$164,997,994; FY 17 est \$149,888,000; and FY 18 est \$167,000,000 - NHSC LRP. (Direct Payments for Specified Use) FY 16 \$42,877,908; FY 17 est \$33,722,000; and FY 18 est \$38,000,000 - NHSC SP. (Direct Payments for Specified Use) FY 16 \$10,672,075; FY 17 est \$20,000,000; and FY 18 est \$20,000,000 - NHSC S2S. (Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - SLRP.

Range and Average of Financial Assistance:

NHSC LRP - New awards for FY16 ranged from \$556 to \$50,000; with an average new award of \$43,281.

NHSC S2S - New awards for FY16 range from \$19,965 to \$120,000; with an average new award of \$116,000.

NHSC SP - New awards for FY16 ranged from \$33,868 to \$462,670; with an average award of \$205,580.

SLRP (Project Grants for FY16) Not applicable.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see application process for NHSC LRP, NHSC SP and S2S LRP at <http://nhsc.hrsa.gov/>

For SLRP:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose

of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices.

Headquarters Office:

Division of National Health Service Corps, Bureau of Health Workforce (BHW) Health Resources and Services Administration Room 14N56, 5600 Fishers Lane, Rockville, Maryland 20857 Phone: (301) 594-4400

Website Address:

<http://nhsc.hrsa.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.165 Grants to States for Loan Repayment Program; 93.288 National Health Service Corps Scholarship Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

NHSC LRP - Priority will be given to individuals who demonstrate a commitment to practicing in underserved communities and those from disadvantaged backgrounds. In addition, priority may be given to applicants whose training is in a health discipline or specialty determined by the Secretary of Health and Human Services to be needed by the NHSC and who the Secretary determines are committed to serve in health professional shortage areas.

NHSC S2S - Priority will be given to applicants who have a disadvantaged background and characteristics likely to remain in a HPSA as identified by their essay and letters of recommendation.

NHSC SP - By statute, first priority will be given to any applicant for such assistance, who has previously received a scholarship under this section or under section 758 of the PHS Act. Second priority will be given to students who are from a "disadvantaged background", and third priority will be given to all students who have characteristics which increase the probability they will continue professional practice in a Health Professional Shortage Area when they complete their service obligation.

SLRP - Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.548 PPHF: STATE NUTRITION, PHYSICAL ACTIVITY, AND OBESITY PROGRAMS - FINANCED IN PART BY PPHF

Nutrition, Physical Activity, and Obesity Programs - financed solely by PPHF funds

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Section 243, 247b(k)(2); and the Patient Protection and Affordable Care Act, Public Health Service Act, 42 U.S. Code 241(a) and 247b(k) 2311, section 4201 Public Health Service Act, Title 42, Section 241, 243, 247b(k)(2) and 301; and Title IV Section 4002 Public Health and Prevention Fund.

OBJECTIVES:

To provide leadership of strategic public health efforts to prevent and control obesity, chronic disease, and other health conditions through regular physical activity and good nutrition. To combat obesity and obesity-related diseases through state programs, applied research, surveillance, training and technical assistance, intervention development and evaluation, policy, system and environmental change, communication and social marketing, and program and partnership development.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

- to decrease the prevalence of obesity in the U.S.
- to prevent weight gain and maintain of healthy weight
- to affect behavioral, policy, system level and environmental changes implemented that are the most effective at preventing obesity
- to increase the body of evidence-based approaches, educational resources and opportunities for healthy food and activity choices, access and number of healthy and affordable food and beverages outlets and places to be physically active.
- to improve dietary quality most related to the population burden of chronic disease and unhealthy child development.
- to measure environmental changes in the adoption of food service, breastfeeding, nutrition, physical activity and obesity standards in schools and child care centers, schools, worksites and communities that promote and reinforce healthful behaviors and practices. Funds may be used to support intensive approaches to chronic disease prevention and control described in Section 0.50 above. The use of and use restrictions are as follows:
 - Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease.
 - Recipients may not use funds for research.
 - Recipients may not use funds for clinical care.
 - Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.
 - The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible
 - recipients are subject to PPHF reporting requirements

No part of any appropriated funds used under this cooperative agreement shall be used other than for normal and recognized executive legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, radio, television, or video presentation designed to support or defeat legislation pending or proposed before the Congress or any State or local legislature, including city councils or ballot initiatives except in presentation to the Congress or any State or local legislature, including city councils, itself.

Applicant Eligibility:

none.

Beneficiary Eligibility:

none.

Credentials/Documentation:

Some grantees will be subject to, costs will be determined by HHS regulations 45 CFR 74, Subpart Q. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must

download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. HHS/CDC grants policies as described in the HHS Grants Policy Statement will apply to the applications submitted and awards made in response to this Funding Opportunity Announcement (FOA). If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 3 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Cost sharing is recommended from non-Federal sources in an amount not less than \$1 for each \$4 of Federal funds awarded for some types of grantees.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from 1 to 5 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: lump sum. See the following for information on how assistance is awarded/released: Notice of Award.

Reports:

No program reports are required. Cash reports are not applicable. Interim reporting requirements may be delineated in the FOA. Progress reports are required annually. Interim reporting requirements may be delineated in the FOA. Financial status reports are required annually. Progress reports are required annually. Upon completion of the project, performance reports are required. Interim reporting requirements may be delineated in the FOA. Financial status and progress reports are required annually. Upon completion of the project, final financial status and performance reports are required. Upon completion of the project, final financial status reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-551 - Nutrition, Physical Activity, Obesity PPHF.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Program ended this CFDA can be archived.

Range and Average of Financial Assistance:

\$50,000 to \$16M.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published in the application kit and identified on the notices of award. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994. Code of Federal Regulations 45 CFR Part 72.

Regional or Local Office:

None.

Headquarters Office:

Linda Barnes 4770Buford Hwy, NE, NCCDPHP, Atlanta, Georgia 30341
Email: lbarnes@cdc.gov Phone: 404-867-9697

Website Address:

<http://www.Grants.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and scientific merit of the overall application relative to the types of research and demonstration projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, boards of education and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed. Additional criteria will be listed in individual funding opportunity announcements.

93.550 TRANSITIONAL LIVING FOR HOMELESS YOUTH

Transitional Living Program (TLP) and Maternity Group Homes (MGH)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Reconnecting Homeless Youth Act of 2008
Runaway and Homeless Youth Act, Title III, Part B, Section 321, Public Law 110-378, 42 U.S.C 5701-5752.

OBJECTIVES:

The primary goal of the Transitional Living Program (TLP)/Maternity Group Home (MGH) is to help runaway and homeless youth between the ages of 16 to under 22 establish sustainable living and well-being for themselves and if applicable, their dependent child(ren). Through the provision of residential stay up to 540 days and comprehensive services, TLP youth between the ages of 16 to under 22 will realize improvements in four core outcome areas: stable housing, education/employment, permanent connections, and well-being.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Transitional Living Programs are required to provide youth with safe, stable, and appropriate shelter, and comprehensive services designed to help young people who are homeless make a successful transition to sustainable living. Living accommodations may be host family homes, group homes, Maternity Group Homes, or "supervised apartments." (Supervised apartments are either agency-owned apartment buildings or "scattered site" apartments, which are single- occupancy apartments rented directly by young people with support from the agency.) Comprehensive services may include case management, transitional living plan, basic life skills resources, counseling services, educational advancement, job attainment skills, and physical and behavioral health care, and aftercare services. These services are designed to help youth who are homeless develop the skills necessary to make a successful transition to self-sufficient living.

Applicant Eligibility:

States, localities, private entities, and coordinated networks of such entities are eligible to apply for a Transitional Living Program grant unless they are part of the law enforcement structure or the juvenile justice system. Federally recognized Indian organizations are also eligible to apply for grants as private, nonprofit agencies. Faith-based organizations and small community-based organizations are eligible to apply.

Beneficiary Eligibility:

Homeless youth (ages 16 to under 22) are the beneficiaries.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administration procedures will be determined in accordance with Part 75 of Title 45, Code of Federal Regulations. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application for Federal Assistance, Standard Form 424 must be submitted. Specific instructions are published via the Internet under the Form section at the following website address: <http://www.acf.hhs.gov/grants>.

Award Procedure:

All applications are reviewed by panels of nonfederal experts, which assigns scores according to the published criteria in the funding opportunity announcement. The panels' scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau, who makes recommendations to the ACYF Commissioner, who makes the final funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Awards are made for 5-year project periods; funding after the first year is dependent upon satisfactory performance, availability of funds, and determination that continued funding would be in the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 10%. Matching Requirements: Percent: 10%. Federal share of grant is up to 90 percent. The nonfederal share may be in cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded competitively for project periods of 5 years. Funded applicants receive their full award amount each year of the five year funding cycle. See the following for information on how assistance is awarded/released: Annually.

Reports:

Program reports are not applicable. Reports are submitted quarterly using the SF-272 Federal Cash Transaction Report through the payment management system. Performance progress reports are submitted by each grantee semi-annually. A final SF-425 financial report is due at the completion of the three year funding cycle. Expenditure reports are required semi-annually using the SF-425 Financial Status Report. Federal program specialists conduct on-site monitoring visits in concert with the information from RHY-HMIS to assess performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial records are to be maintained 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 16 \$44,844,569; FY 17 est \$44,474,791; and FY 18 est \$43,905,563

Range and Average of Financial Assistance:

Range of grant is \$100,000 to \$200,000; the average grant is \$193,910.

TAFS Codes:

75-1536-506.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: ACF awarded 198 continuation awards to support existing programs. Fiscal Year 2017: ACF will award 98 continuation awards to support existing programs and approximately 102 new awards. Fiscal Year 2018: ACF will award 229 awards, to include approximately 104 new awards and 125 grant awards to support existing programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters Office listed below for available literature. You may also get information on the Family and Youth Services Bureau website at the following address <http://www.hhs.gov/programs/fysb> under the "About" section.

Information is also available through the National Clearinghouse on Families and Youth, 5515 Security Lane, Suite 800, North Bethesda, MD 20852. Telephone: (301) 608-8098. Fax: (301) 608-8721. The National Clearinghouse on Families and Youth website address is www.ncfy.com.

Regional or Local Office:

See Regional Agency Offices. Contact: Administration for Children and Families, Family and Youth Services Bureau, Division of Adolescent Development and Support (See Appendix IV of this Catalog for the addresses of the Regional Offices.).

Headquarters Office:

Christopher Holloway 330 C. Street, SW, Washington, District of Columbia 20201 Email: christopher.holloway@acf.hhs.gov Phone: (202) 205-9560

Website Address:

<http://http://www.acf.hhs.gov/programs/fysb>

RELATED PROGRAMS:

93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth; 93.623 Basic Center Grant; 93.674 Chafee Foster Care Independence Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on the criteria published in the Funding Opportunity Announcement located on the following website address: http://www.acf.hhs.gov/grants/grants_fysb.html.

93.551 ABANDONED INFANTS**FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Abandoned Infants Assistance Act of 1988, Section 101, Public Law 100-505, as amended.; Abandoned Infants Assistance Act of 1988, Section 101 of Public Law 111-320, the CAPTA Reauthorization Act of 2010., Section 101, 42 U.S.C 670.

OBJECTIVES:

To develop, implement and operate projects to demonstrate methods to: (1) prevent the abandonment of infants and young children, including the provision of services to members of the family for any condition that increases the probability of abandonment of an infant or young child; (2) identify and address the needs of abandoned infants and young children; (3) assist abandoned infants and young children to reside with their natural families or in foster care, as appropriate; (4) recruit, train and retain foster families for abandoned infants and young children; (5) carry out residential care programs for abandoned infants and young children, who are unable to reside with their natural families or to be placed in foster care; (6) carry out programs of respite care for families and foster families of infants and young children described in subsection (b); (7) recruit and train health and social services personnel to work with families, foster care families and residential care programs for abandoned infants and young children; and (8) prevent the abandonment of infants and young children, and to care for the infants and young children who have been abandoned, through model programs providing health, educational, and social services at a single site in a geographic area in which a significant number of infants and young children described in subsection (b) reside (with special consideration given to applications from entities that will provide the services of the project through community-based organizations). This program also funds technical assistance, including training, with respect to the planning, development and operation of the projects described in Section 101.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to accomplish any of the stated program objectives. Project funds may not be used for construction.

Applicant Eligibility:

State or local governments; federally- recognized Indian tribal governments; U.S. territories and possessions; and nonprofit organizations and universities.

Beneficiary Eligibility:

Infants and young children impacted by HIV/AIDS and or substance abuse,

their parents, families, and other caretakers.

Credentials/Documentation:

Requirements will be specified in pertinent Federal Register Announcements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedure can be obtained at: <http://www.acf.hhs.gov/grants/index.html> or by contacting Headquarters Office, listed below, for application information.

Award Procedure:

Applications for funding are reviewed and scored by at least three non-federal subject matter experts. Final funding decision will be made by Commissioner, ACYF.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Three to six months.

Appeals:

Appeals are processed in accordance with HHS regulations at 45 CFR Part 16.

Renewals:

Multi-year projects are funded on an annual basis through submission of a non-competing continuation application. Continuation of funding is subject to availability of funds, submission of program and financial reports, and grantee performance.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula for matching. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants will be made for a period up to 48 months. See the following for information on how assistance is awarded/released: Grant awards are managed by a payment management system that manages grant payment requests, drawdowns, and disbursements.

Reports:

Grantees are required to submit semi-annual program reports. Final reports are due 90 days after completion of the grant. Grantees are required to submit semi-annual financial reports. Final financial reports are due 90 days after the completion of the grant. Financial reporting is conducted via the SF-425. Progress reports are included in program reports. Expenditures are reported as part of the financial report conducted via the SF 425. Grantees report on performance in semi annual program reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be maintained for 3 years.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - No funding has been provided for this program after FY 2015.

Range and Average of Financial Assistance:

In FY 2016 there were no awards.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No grants were awarded. Fiscal Year 2017: It is anticipated that no grants will be awarded. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters Office listed below for available literature.

Regional or Local Office:

None.

Headquarters Office:

Jan Rothstein 330 C Street, S.W., Room 3519C, Washington, District of Columbia 20201 Email: jan.rothstein@acf.hhs.gov Phone: (202) 401-5073 Fax: (202) 401-5073

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact Headquarters Office for specific criteria.

93.556 PROMOTING SAFE AND STABLE FAMILIES

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part B, Section Subpart 2.

OBJECTIVES:

The objectives of the Promoting Safe and Stable Families program are: 1) to prevent child maltreatment among families at risk through the provision of supportive family services; 2) to assure children's safety within the home and preserve intact families in which children have been maltreated, when the family's problems can be addressed effectively; 3) to address the problems of families whose children have been placed in foster care so that reunification may occur in a safe and stable manner; 4) to support adoptive families by providing support services as necessary to that they can make a lifetime commitment to their children. This is accomplished through issuance of grants to state child welfare agencies, territories, and eligible Indian tribes to serve families at risk or in crisis, to develop or expand and operate coordinated programs of community-based family support services, family preservation services, time-limited reunification services, and adoption promotion and support services.

In addition, a portion of funds is reserved for a separate formula grant for states and territories to support monthly caseworker visits with children who are in foster care. A small proportion of appropriated funds are reserved for research, evaluation, and technical assistance, which may be awarded competitively through contracts or discretionary grants.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

For the main Promoting Safe and Stable Families Formula Grants, states must spend a significant portion of funds (approximately 20 percent) on each of the service categories of family preservation, family support services, time-limited family reunification services and adoption promotion and support services.

State grantees must limit administrative costs to 10 percent of the Federal funds.

Caseworker visit formula grants: States and territories are required to spend funds to improve the quality of monthly caseworker visits with children in foster care under the responsibility of the state, with an emphasis on improving caseworker decision making on the safety, permanency, and well-being of foster children, and on activities designed to increase retention, recruitment, and training of caseworkers.

Discretionary Grants: Grants are awarded for research, evaluation, and technical assistance activities relating to family support, family preservation, time-limited family reunification, and adoption promotion support. Project funds may not be used for construction.

Applicant Eligibility:

(1) Formula Grants: States, the District of Columbia, Puerto Rico, the Northern Marianas, the U.S. Virgin Islands, Guam, American Samoa, and Federally-recognized Indian tribes are eligible applicants. For caseworker visit funds, only states and territories are eligible applicants. (2) Discretionary Grants: States, local governments, tribes, public agencies or private agencies or organizations (or combinations of such agencies or organizations) with expertise in providing, evaluating and/or providing technical assistance related to family preservation, family support, time-limited family reunification and adoption promotion and support.

Beneficiary Eligibility:

Families and children who need services to assist them to stabilize their lives, strengthen family functioning, prevent out-of-home placement of children, enhance child development and increase competence in parenting abilities, facilitate timely reunification of the child, and promote appropriate adoptions.

Credentials/Documentation:

Formula Grants: The state agency which administers the social services program under Title XX of the Social Security Act (Social Services Block Grant) must also be the agency which administers the Title IV-B programs. Discretionary Grants: Nonprofit agencies must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with 45 CFR Part 75. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application Procedure (1) Formula Grants: A 5-year agency plan must be submitted. A full plan (every five years) or a plan update is due for submission by June 30th of each year. The plan must be jointly developed by the Secretary and the state or Indian tribe and written after consultation by the agency with appropriate public and non-profit private agencies and community-based organizations. The plan must coordinate the provision of services under Title IV-B with services under other Federal or federally-assisted programs serving the same populations. (2) Discretionary Grants: Announcement of availability of funds is posted on the www.grants.gov website and on the ACF website at: <http://www.acf.hhs.gov/grants/open/foa>. Eligible applicants submit applications by specified deadlines.

Award Procedure:

Formula Grants: Funds will be approved after the agency plan, or annual update is submitted and approved.

Discretionary Grants: Applications for funding are reviewed and scored by panels of at least three non-federal subject matter experts. Final funding decisions will be made by Commissioner, ACYF.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Formula Grants: The agency plan or its annual update is due June 30 of each year. Approval/disapproval is due September 30 of each year.

Discretionary Grants: From three to six months.

Appeals:

Appeals are processed in accordance with Departmental regulations at 45 CFR 16.

Renewals:

Discretionary Grants: Grants are generally available for a 12-, 24-, or 36- month period. Some may be renewed for up to a total of 5 years. Multiple-year projects are funded on an annual basis through submission of a non-competing continuation application. Continuation funding is subject to availability of funds, submission of program and financial reports, and grantee performance.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Percent: 25%. (1) Formula Grants: State allotments are computed based on the number of children receiving food stamps over a three year rolling average. Allotments to Indian tribes, including tribal consortia are based on a percent set aside of the total appropriation in each fiscal year and computed based on the number of children in the tribe compared to the total number of children in eligible Indian tribes. However, grants will not be made to Indian tribes whose allotment is less than \$10,000. Allotments to territories are based on the formula in subpart 1 of Title IV-B. Matching Requirements: FFP is available up to the full allotment at the rate of 75 percent for allowable program expenditures made by the grantee. Grantees must provide a 25 percent match for these expenditures.

(2) Discretionary Grants: The funding formula and matching requirements are described in each program announcement.

This program has MOE requirements, see funding agency for further details. A MOE requirement is applicable to the formula grants to states. Specifically, states may not use the Federal funds under title IV-B, subpart 2, to supplant Federal or non-Federal funds for existing family preservation and family support services as of 1992 (base year). The discretionary grants component of this program does not have MOE requirements.

Length and Time Phasing of Assistance:

(1) Formula Grants: Grants are available for obligation and liquidation in the FY granted and in the subsequent FY. Grant awards will be made quarterly on a fiscal year basis through a letter of credit. An electronic fund transfer system will be used for monthly cash draws from Federal Reserve Banks.

(2) Discretionary Grants: Grants are generally available for a 12, 24, or 36-month period. Some may be renewed for up to a total of 5 years. Renewal funding for multiple-year grants is dependent upon grantee performance and availability of funds. See the following for information on how assistance is awarded/released: Discretionary grant awards are managed by a payment management system that manages grant payment requests, drawdowns, and disbursements.

Reports:

(1) Formula Grants: After the end of each of the first four fiscal years, the states, territories, and Indian tribes must submit an interim review of progress toward accomplishment of the goals in the state or tribal plan. After the end of the fifth fiscal year, the states, territories, and Indian tribes must perform a final review of progress toward accomplishment of the goals. An SF-425 financial status report must be submitted for the funds awarded in each Federal fiscal year (FFY) within three months after the close of the FFY in which the funds were granted (interim report) and again within three months after the close of the subsequent FFY (final report). Performance monitoring of state agencies is conducted through the Child and Family Services Review (CFSR) process in accordance with 45 CFR 1355.31 - 1355.37.

(2) Discretionary Grants: Grantees are required to submit semi-annual program reports. Final reports are due 90 days after completion of the grant. Grantees

are required to submit semi-annual financial reports. Final financial reports are due 90 days after completion of the grant. Financial reporting is conducted via the PSC-272 and SF-425. Discretionary Grants: Progress reports are included in program reports. Expenditure reports are included in financial reports. Discretionary grant performance monitoring is described in program reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are conducted in accordance with the requirement of 45 CFR Part 75 Subpart F.

Records:

(1) Formula Grants: Grantees must develop and maintain records which permit review of expenditures in accordance with the provisions of 45 CFR Part 75. Discretionary Grants: All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever comes first.

Account Identification:

75-1512-0-1-506.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$59,692,755; FY 17 est \$60,000,000; and FY 18 est \$60,000,000. (Formula Grants) FY 16 \$269,891,000; FY 17 est \$270,000,000; and FY 18 est \$270,000,000

Range and Average of Financial Assistance:

In FY 2016, formula grants for main grant program: states, territories, and tribes ranged from \$8,449 to 26,293,182 with an average of \$1,347,892.

TAFS Codes:

75-1512.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 245 formula grants were awarded to states, territories and tribes. 239 discretionary grants were awarded. Fiscal Year 2017: It is anticipated that 245 formula grants will be awarded to states, territories, and tribes and 239 discretionary grants will be awarded. Fiscal Year 2018: It is anticipated that 245 formula grants will be awarded to states, territories, and tribes and 239 discretionary grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1355 and 45 CFR 1357.

Regional or Local Office:

See Regional Agency Offices. Consult Children's Bureau (CB) Regional Child Welfare Program Manager. (See Appendix IV of the Catalog for listing.)

Headquarters Office:

Eileen West, 330 C Street SW, Room 3509B, Washington, District of Columbia 20201 Email: eileen.west@acf.hhs.gov Phone: (202) 205-8438.

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.087 Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse; 93.586 State Court Improvement Program; 93.645 Child Welfare Services State Grants; 93.648 Child Welfare Services Training Grants; 93.659 Adoption Assistance; 93.667 Social Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.557 EDUCATION AND PREVENTION GRANTS TO REDUCE SEXUAL ABUSE OF RUNAWAY, HOMELESS AND STREET YOUTH
Street Outreach Program (SOP)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human

Services

AUTHORIZATION:

Reconnecting Homeless Youth Act of 2008
Runaway and Homeless Youth Act, Title III, Part E, Section 351, Public Law 110-378 Part E, 42 U.S.C. 5714-41.

OBJECTIVES:

The Service Connection for Youth on the Streets, also referred to as the Street Outreach Program (SOP) makes grants available to nonprofit agencies for the purpose of providing street-based services to runaway, homeless and street youth who have been subjected to, or are at risk of being subjected to sexual abuse, prostitution, human trafficking, sexual exploitation, or other forms of victimization.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The SOP provides outreach to runaway and homeless youth on the streets or in areas that increase the risk of sexual exploitation, the goal being to help young people get off the streets and into safe settings. The program also provides education and prevention services, directly or through collaboration with other agencies, to runaway, homeless and street youth that have been subjected to or are at risk of sexual exploitation or abuse.

Applicant Eligibility:

Private, nonprofit agencies are eligible to apply for Street Outreach Program grants. Federally recognized Indian organizations are also eligible to apply for grants as private, non-profit agencies.

Beneficiary Eligibility:

Runaway and homeless street youth are the beneficiaries of SOPs.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with 45 CFR 75, Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Consultation or assistance is available from the Family and Youth Services Bureau (FYSB), Administration for Children and Families, Department of Health and Human Services. The standard application forms furnished by DHHS and required by OMB Circular No. A-102 must be used for this program. An applicant should consult the office or official designated as the Single Point of Contact (SPOC) in his or her State for more information on the process. (NOTE: State/territory participation in the intergovernmental review process does not signify applicant eligibility for financial assistance under a program. A potential applicant must meet the eligibility requirements of the program for which it is applying prior to submitting an application to its SPOC). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application for Federal Assistance, Standard Form 424 must be submitted. Specific instructions are published via the Internet under the Form section at the following website address: <http://www.acf.hhs.gov/grants>.

Award Procedure:

All applications are reviewed by panels of nonfederal experts which assigns

scores according to the published evaluation criteria in the funding opportunity announcement. The panels' scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau. Recommendations are made to the ACYF Commissioner, who makes the final funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Awards are generally made for 3-year project periods; funding for the second and third year is dependent upon satisfactory performance and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula.

Matching Requirements: Grantee must provide a nonfederal share or match of at least 10 percent of the Federal funds awarded. The nonfederal share may be met by cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions.

MOE requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will be awarded for a period of 36 months. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance: annually.

Reports:

No program reports are required. Reports are submitted quarterly using the SF-272 Federal Cash Transaction Report through the payment management system. A final SF- 425 financial report is due at the completion of the three year funding cycle. Performance progress reports are submitted by each grantee semi-annually. Expenditure reports are required semi-annually using the SF-425 Financial Status Report. Federal program specialists conduct on-site monitoring visits in concert with the information from RHY-HMIS to assess performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are conducted in accordance with the requirements in 45 CFR 75 Subpart F.

Records:

All financial records are to be maintained 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 16 \$15,960,830; FY 17 est \$15,377,308; and FY 18 est \$15,397,574

Range and Average of Financial Assistance:

\$100,000 to \$200,000 per budget period; average award is \$174,427.

TAFS Codes:

75-1536-506.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Street Outreach Program awarded a total of 96 grants.

Fiscal Year 2017: It is anticipated that 94 grant awards will be made to include

17 new grant awards and 77 grant awards to support existing programs. Fiscal Year 2018: It is anticipated that 94 grant awards will be made to include 32 new grant awards and 62 grant awards to support existing programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters Office listed below for available literature. You may also get information on the Family and Youth Services Bureau's website at the following address <http://www.acf.hhs.gov/programs/fysb> under the "About" section.

Information is also available through the National Clearinghouse on Families and Youth, 5515 Security Lane, Suite 800, North Bethesda, MD 20852. Telephone: (301) 608-8098. Fax: (301) 608- 8721. The National Clearinghouse on Families and Youth website address is <http://www.http://ncfy.acf.hhs.gov> and general email address is info@ncfy.com.

Regional or Local Office:

See Regional Agency Offices. Contact: Administration for Children and Family, Family and Youth Services Bureau, Division of Adolescent Development and Support. (See Appendix IV of this Catalog for the addresses of the Regional Offices.).

Headquarters Office:

Christopher Holloway 330 C. Street, SW, Washington, District of Columbia 20024 Email: christopher.holloway@acf.hhs.gov Phone: (202) 205-9560

Website Address:

<http://www.acf.hhs.gov/programs/fysb>

RELATED PROGRAMS:

93.550 Transitional Living for Homeless Youth; 93.623 Basic Center Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated based upon the criteria published in the Funding Opportunity Announcement located on the following website address: http://www.acf.hhs.gov/grants/grants_fysb.html. Organizations with experience in providing services to homeless youth are given priority.

93.558 TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

(TANF)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part A, 42 U.S.C 601 et seq.

OBJECTIVES:

To provide grants to States, Territories, the District of Columbia, and Federally-recognized Indian Tribes operating their own Tribal TANF programs to assist needy families with children so that children can be cared for in their own homes; to reduce dependency by promoting job preparation, work, and marriage; to reduce and prevent out-of-wedlock pregnancies; and to encourage the formation and maintenance of two-parent families.

TYPES OF ASSISTANCE:

Dissemination of Technical Information; Formula Grants

USES AND USE RESTRICTIONS:

TANF funds monthly cash assistance payments to low-income families with children, as well as a wide range of services that are reasonably calculated to address the programs four broad purposes. States, Territories, the District of Columbia, and Federally-recognized Indian Tribes operating their own TANF programs have flexibility to use the grant funds in any manner that meets the purposes of the program and in ways that States and Territories were authorized to use funds received under the predecessor Aid to Families with Dependent Children (AFDC), Job Opportunities and Basic Skills Training (JOBS), and Emergency Assistance (EA) programs. States and Territories may also transfer a limited portion of their assistance grant funds to the Child Care and Development Block Grant (CCDBG) and Social Services Block Grant (SSBG) Programs. Not more than 15 percent of any State grant may be spent on

administrative costs, exclusive of certain computerization and information technology expenses. Cash grants, work opportunities, and other services are made directly to needy families with children. For Tribal programs, ACF will negotiate a limitation on administrative costs for the first year of the program's operation not to exceed 35 percent, for the second year of the program's operation not to exceed 30 percent, and then for the third and subsequent years of the program's operation not to exceed 25 percent. For the TANF program, there are certain prohibitions, restrictions, and limitations on the provision of assistance. For example, families may only receive Federally-funded assistance for five years. In addition, Federal funds may not be used to provide medical services, unless they are pre-pregnancy family planning services (Tribes, however, may use Federal funds for medical services if they are job-related).

Applicant Eligibility:

In general, all States, Territories, the District of Columbia, and all Federally-recognized Tribes in the lower 48 States and 13 specified entities in Alaska are eligible. State and local agencies and Tribes that operate TANF programs must do so under plans determined to be complete (or for Tribes approved) by the Department of Health and Human Services (HHS). For Contingency Funds, all States and the District of Columbia are eligible if they are determined to be a "needy State" by satisfying criteria related to the state's unemployment rate or the average number of participants in the Supplemental Nutrition Assistance Program (or SNAP, formerly known as food stamps). Territories and Tribes are not eligible for Contingency Funds.

Beneficiary Eligibility:

Needy families with children, as determined eligible by the State, Territory, or Tribe in accordance with the State or Tribal plan submitted to HHS.

Credentials/Documentation:

Federal funds go to the State, Territory, or Tribal agency certified by the Chief Executive Officer. Needy families must meet State or Tribal eligibility requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each State, Territory, and the District of Columbia must develop a State plan and include the certifications signed by the Executive Officer (Governor). Applicants must consult with local governments and private organizations and provide them 45 days to comment on the plan. The State plan and certifications must be submitted to the Secretary of HHS. Tribes may apply to the Secretary to receive funds and to administer the TANF block grant. Tribes would submit a 3-year family assistance plan. The Secretary, in consultation with the Tribe, would set program requirements and time-limits for receipt of welfare-related services, consistent with the purposes of the program and economic conditions/resources of each Tribe. Tribes should contact the OFA Regional TANF Program Manager for further Tribal plan submittal procedures. For Contingency Funds, each State must request these funds monthly for each month they meet either the unemployment or SNAP triggers.

Award Procedure:

Once a plan is determined complete, or in the case of a Tribe the plan is approved, by HHS, Family Assistance Grants are awarded in quarterly payments. Supplemental Grants are also awarded in quarterly payments. Contingency Fund awards will be made monthly.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

States, Territories, the District of Columbia, and Tribes implement their assistance programs according to their State and Tribal plans. The Secretary does not have authority to approve or disapprove a State plan, only to determine its completeness. Tribal plans are subject to approval by the Secretary.

Appeals:

Not Applicable.

Renewals:

States, Territories, and the District of Columbia must periodically (every 2 or 3 years) renew their funding status by submitting their TANF plan. Tribes must renew their funding status every 3 years. For Contingency Funds, requests for additional funding must be made monthly.

Formula and Matching Requirements:

Statutory Formula: Title 45 CFR, Chapter 2, Part 260; 286.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

States, Territories, the District of Columbia, and Tribes are awarded their assistance grants in quarterly payments. They may reserve grant moneys, without fiscal year limitation, to provide any allowable benefits and services that are consistent with the purposes of the TANF program. With certain exceptions, most families are limited to no more than 5 years of assistance under the Federal grant. Tribes have the flexibility to establish time limits on receipt of assistance. For Contingency Funds, grant awards are issued monthly to States meeting the eligibility criteria stipulated under Section 101. Method of awarding/releasing assistance: quarterly.

Reports:

States, Territories, the District of Columbia, and Tribes operating their own Tribal TANF programs are required to collect and report to the Secretary on a quarterly basis case record information on the families receiving assistance. They are also required to report financial data and work participation rate data. Public Law 112-96 added new reporting requirements, as states must report on the implementation of policies and practices related to restricting recipients from using their TANF assistance in electronic benefit transfer transactions at specified locations and must include in their state plans information on how the state will ensure that recipients have adequate access to their cash assistance. No cash reports are required. No progress reports are required. Quarterly financial reports. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits shall be conducted by the Inspector General under Chapter 75 of Title 31, United States Code. If a State or Territory is found to have used funds from the State Family Assistance Grant in violation of the statute, the Secretary shall reduce the grant payable to them for the immediately succeeding fiscal year by that same amount. For Tribes, the Secretary will have the ability to maintain program funding accountability consistent with generally accepted accounting principles and the requirements of the Single Audit Act of 1984 and 2 CFR 200 (Chapter II Part 200).

Records:

States, Territories, the District of Columbia, and Tribes must maintain records containing information the Secretary may require by regulation.

Account Identification:

75-1552-0-1-609.

Obligations:

(Formula Grants) FY 16 \$583,000,000; FY 17 est \$608,000,000; and FY 18 est \$0 - TANF Contingency Fund. (Formula Grants) FY 16 \$77,875,000; FY 17 est \$77,618,000; and FY 18 est \$70,205,000 - (Territory Assistance Grants). (Formula Grants) FY 16 \$16,488,667,000; FY 17 est \$16,434,255,000; and FY 18 est \$14,864,683 - (State and Tribal Family Assistance Grants).

Range and Average of Financial Assistance:

State and Tribal Family Assistance grants are estimated from \$77,195 to \$3,653,771,968 with an average of \$134,687,331.21.

TAFS Codes:

75-1522; 75-1552.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, grants were made to 50 States, the District of Columbia, three Territories, and 73 Tribes. Fiscal Year 2017: In FY 2017, it is projected that grants will be made to 50 States, the District of Columbia, three Territories, and 73 Tribes. Fiscal Year 2018: In FY 2018, it is projected that grants will be made to 50 States, the District of Columbia, three Territories, and 73 Tribes.

REGULATIONS, GUIDELINES, AND LITERATURE:

Temporary Assistance for Needy Families (TANF) Final Rule was originally published in the Federal Register on April 12, 1999 (Vol. 64, No. 69). Publication of the Deficit Reduction Act of 2005 was published in the Federal Register on February 5, 2008 (Vol. 73, No. 24) to incorporate changes resulting from reauthorization of the TANF program. Program rules for State programs can be found at 45 CFR Parts 260 through 265. The Tribal Temporary Assistance for Needy Families (TANF) Final Rule was published in the Federal Register on February 18, 2000 (Vol. 65, No. 34). Tribal rules can be found at 45 CFR Part 286.

Regional or Local Office:

See Regional Agency Offices. Region I (CT, MA, ME, NH, RI, VT): Carol Monteiro, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Boston Regional Office, JFK Building, Rm. 2000, Boston, MA 02203. Telephone: (617) 565-2462.

Region II (NJ, NY, PR, VI):

Frank Ceruto, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 133.

Region III (DC, DE, MD, PA, VA, WV):

Eileen Friedman, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4058.

Region IV (AL, FL, GA, KY, MS, NC, SC, TN):

LaMonica Shelton, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Atlanta Regional Office, 61 Forsyth Street, Suite 4M60, Atlanta, Georgia 30303. Telephone: (404) 562-2938.

Region V (IL, IN, MI, MN, OH, WI):

Thomas Schindler, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (312) 886-9540.

Region VI (AR, LA, NM, OK, TX):

Larry Brendel, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Dallas Regional Office, 1301 Young Street, Room 945, Dallas, TX 75202. Telephone: (214) 767-6236.

Region VII (IA, KS, MO, NE):

Gary Allen, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Kansas City Regional Office, Rm. 349, 601 E 12 St., Kansas City, MO 64106. Telephone: (816) 426-2236.

Region VIII (CO, MT, ND, SD, UT, WY):

Kisha Russell, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Denver Regional Office, Byron G. Rogers Federal Office Building, 1961 Stout Street, Ninth Floor, Denver, CO 80294. Telephone: 303-844-1483.

Region IX (AZ, CA, GU, HI, NV):

Julie Fong, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-7579.

Region X (AK, ID, OR, WA):

Frank Shields, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Seattle Regional Office, 701 Fifth

Avenue, Suite 1500, M/S 71, Seattle, WA 98104. Telephone (206) 615-2569.

Headquarters Office:

Susan Golonka Office of the Director, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 3rd Floor, 330 C Street, SW, Washington, DC 20201, Washington, District of Columbia 20447 Email: Susan.Golonka@acf.hhs.gov Phone: 202-401-4731 Fax: 202-205-5887

Website Address:

<http://www.acf.hhs.gov/programs/ofa/>

RELATED PROGRAMS:

93.594 Tribal Work Grants; 93.595 Welfare Reform Research, Evaluations and National Studies; 93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund; 93.667 Social Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.560 FAMILY SUPPORT PAYMENTS TO STATES ASSISTANCE PAYMENTS

(Adult Programs in the Territories)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title XVI, 42 U.S.C 1351.

OBJECTIVES:

To provide aid to the aged, blind, and the permanently and totally disabled in Guam, Puerto Rico, and the Virgin Islands.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Cash payments are made to eligible needy aged, blind, or disabled persons in Guam, Puerto Rico, and the Virgin Islands. These payments are to cover costs for food, shelter, clothing, and other daily living needs recognized as necessary by each Territory's program. In addition, an individual must be at least age 65 to receive assistance for the aged; must be determined blind to receive aid to the blind; or must be at least 18 years of age and totally disabled to receive aid to the permanently and totally disabled.

Applicant Eligibility:

Agencies must operate under Department of Health and Human Services (HHS) approved plans and agreements, which must comply with all Federal statutory and regulatory requirements governing these programs. The applicant must be needy -- i.e., meet specific income and resource requirements. In addition, the individual must be at least age 65 to receive assistance for the aged; must be determined blind to receive aid to the blind; or must be at least 18 years of age and totally disabled to receive aid to the permanently and totally disabled.

Beneficiary Eligibility:

The beneficiary must be needy -- i.e., meet specific income and resource requirements. In addition, the individual must be at least age 65 to receive assistance for the aged; must be determined blind to receive aid to the blind; or must be at least 18 years of age and totally disabled to receive aid to the permanently and totally disabled. These programs also have specific unearned and earned income disregards that are applied in determining the amount of assistance payable.

Credentials/Documentation:

Federal funds must go to a certified Territorial welfare agency. Individuals must meet all eligibility requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under

E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible individuals should apply directly to their Territorial or local welfare agency. The Territories should contact the Regional Temporary Assistance for Needy Families (TANF) program managers for application forms. (See Appendix IV of the Catalog for addresses.) Territories contact the Regional TANF program manager for applications for administrative funds. OFA/Regional TANF program managers have authority to approve applications and amendments; disapproval authority is held by the Director of the Office of Family Assistance, in the Administration for Children and Families.

Award Procedure:

Once an application is approved, Territories are awarded funds quarterly based on ACF-approved estimates of maintenance assistance and administrative costs. Notification of awards must be made to the designated Territorial agency. Eligible individuals receive monthly subsistence checks from Territorial welfare agencies.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Welfare agencies must have a time standard for notifying applicants of approval/disapproval; not over 45 days after application, except 60 days for aid to the disabled.

Appeals:

From 90 to 120 days. Individuals denied assistance must be given a fair hearing on appeal (45 CFR, Chapter 2, Section 205.10). Territorial agencies may request a hearing before the Assistant Secretary for Family Support regarding adverse decisions (45 CFR, Chapter 2, Section 201.14; 45 CFR, Part 16).

Renewals:

> 180 Days. The agency must conduct periodic reviews to determine whether conditions justifying eligibility still exist.

Formula and Matching Requirements:

Statutory Formula: Title XVI.
Matching Requirements: Program funds are provided based on a formula linked to the number of recipients receiving benefits each month. Administrative costs are matched at 50%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Individuals receive support from the Territorial or local welfare agency until they no longer meet the eligibility requirements. See the following for information on how assistance is awarded/released: Payments to eligible individuals are paid monthly.

Reports:

No program reports are required. Cash reports are not applicable. No progress reports are required. Territorial claims must be submitted quarterly to receive Federal matching funds. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Territories must maintain records which substantiate eligibility determination and grants that are made.

Account Identification:

75-1501-0-1-609.

Obligations:

(Formula Grants) FY 16 \$32,969,628; FY 17 est \$33,000,000; and FY 18 est \$33,000,000

Range and Average of Financial Assistance:

The range for these three Territories is \$707,436 and \$30,560,246 with an average of \$10,829,401.

TAFS Codes:

75-1501.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Three grants were awarded in FY 2016. Fiscal Year 2017: It is anticipated that three grants will be awarded in FY 2017. Fiscal Year 2018: It is anticipated that three grants will be awarded in FY 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACF regulations are published in the Federal Register, 45 CFR 200 et seq.

Regional or Local Office:

See Regional Agency Offices. Region II (NJ, NY, PR, VI):

Frank Ceruto, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 133.

Region IX (AZ, CA, GU, HI, NV):

Julie Fong, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-7579.

Headquarters Office:

Susan Golonka Office of the Director, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 330 C Street SW, Washington, District of Columbia 20201 Email: Susan.Golonka@acf.hhs.gov Phone: 202-401-4731 Fax: 202-205-5887

Website Address:

<http://www.acf.hhs.gov/programs/ofa/>

RELATED PROGRAMS:

93.778 Medical Assistance Program; 96.001 Social Security_Disability Insurance; 96.006 Supplemental Security Income

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.563 CHILD SUPPORT ENFORCEMENT

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security, Title IV, Part D, 42 U.S.C 1673-6103-6.

OBJECTIVES:

To enforce the support obligations owed by absent parents to their children, locate absent parents, establish paternity, and obtain child, spousal and medical support.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States and some Tribes provide support enforcement services directly to individuals who are receiving federally-funded Foster Care Maintenance Payments, Medicaid, Temporary Assistance to Needy Families (TANF) (or those who cease to receive TANF), and to individuals not otherwise eligible for such services. TANF, Medicaid, and certain federally-funded Foster Care applicants or recipients must have assigned support rights to the State. Non-TANF individuals other than those who cease to receive TANF and/or who provide authorization to the IV-D agency to continue support enforcement services, must have signed a written application for support enforcement

services. The State must provide services to locate absent parents, establish paternity and enforce support obligations.

Applicant Eligibility:

All States, the District of Columbia, Puerto Rico, Virgin Islands, and Guam. Each of these jurisdictions is required to establish or designate a single and separate State Child Support Enforcement Agency. Tribes are eligible and we currently have fifty-nine tribal child support programs and four in the start-up phase.

Beneficiary Eligibility:

The State must provide support enforcement services to: (1) All applicants for, or recipients of TANF, Foster Care Maintenance Payments, and Medicaid, for whom an assignment to the State of support rights has been made and who are in need of such services; (2) all individuals who cease to receive TANF; (3) individuals who provide authorization to the IV-D agency to continue support enforcement services; and (4) any other individual who is in need of such services and who has applied for them.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

State officials prepare and review state plans and amendments. Regional Office staff are available for consultation or assistance in preparation of State plans and amendments. The standard application forms, as furnished by Department of Health and Human Services (DHHS) and required by Chapter II Part 200, must be used for this program. High level State officials review State Plans and amendments before they are submitted. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are made in the form of a State Plan, prepared in the format provided by the Office of Child Support Enforcement.

Award Procedure:

States are awarded funds quarterly based on their estimates of funds needed to provide support enforcement services to individuals eligible under an approved Title IV-D State plan. These awards are made up of matching funds (Federal Financial Participation FFP), and incentive funds that states earn based on good or improved performance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. State Plans and amendments submitted as new State Plans, and Plan amendments may require up to 90 days for approval.

Appeals:

From 30 to 60 days. The State may request reconsideration of plan disapproval or plan amendment disapproval within 60 days after that disapproval.

Renewals:

Once approved, State IV-D plans remain in effect until amended or revised.

Formula and Matching Requirements:

Statutory Formula: Title IV-D, Public Law 94-46,94-88,94,365,95-30,95-59,95-171,95-598,96-178,96-265,96-272,96-611, 97-35,97-248,98-369,98-378,100-485,101-508.

Matching Requirements: States receive a 66 percent Federal Financial Participation (FFP). Federally recognized Indian tribes and Alaska Native organizations are eligible to apply for direct funding for child support programs. Those with approved applications receive 90 percent FFP of their program needs during the first three years and 80 percent FFP thereafter. Tribes also may apply for two-year start-up grants. There are currently fifty-nine tribal

child support program grantees and four tribes receiving two-year start-up funding.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal funds are awarded quarterly on a fiscal year basis. The Electronic Transfer System is used for monthly cash draw from Federal Reserve Banks based on quarterly awards. Method of awarding/releasing assistance: by letter of credit.

Reports:

Periodic audits are made as part of recipient's systems of financial management and internal control in accordance with Public Law 98-502, the single Act of 1984. Cash reports are done on Form SF-425. Periodic reports are required on the progress of the programs. Quarterly financial reports of administrative expenditures and child support collections and annual program reports including caseload data are required by OCSE. Periodic audits are conducted.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS Inspector general and State Audit (private groups) and other Federal government officials. OCSE conducts data reliability of variables used to compute performance measures. These audits were mandated by The Child Support Performance and Incentive Act of 1998 (CSPIA).

Records:

The IV-D agency must maintain records necessary for the proper and efficient administration of the State IV-D plan.

Account Identification:

75-1501-0-1-609.

Obligations:

(Formula Grants) FY 16 \$3,399,123,428; FY 17 est \$3,457,375,452; and FY 18 est \$3,705,819,349

Range and Average of Financial Assistance:

FY 2016, \$3,932,304 to \$467,926,802; \$62,071,781, and FY 2017, from \$3,999,694 to \$475,945,835; \$63,135,528, and FY 2018, from \$4,287,108 to \$510,146,876; and 67,672,390.

TAFS Codes:

75-1501.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 54 grants were awarded to States and Territories. Fiscal Year 2017: 54 grants are expected to be awarded to States and Territories. Fiscal Year 2018: 54 grants are expected to be awarded to States and Territories.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR, Chapter III, 45 CFR 16, 45 CFR Part 75, 45 CFR 95, 45 CFR 213, 45 CFR 232.

Regional or Local Office:

See Regional Agency Offices. Regional Representative, Department of Health and Human Services. (See Appendix IV of the Catalog for addresses and telephone numbers.)

Headquarters Office:

Renee R. Jackson-Hebron 330 C. St. SW, 5th floor, Washington, District of Columbia 20201 Email: rjackson@acf.hhs.gov Phone: (202) 401-5101

Website Address:

<http://www.acf.dhhs.gov/programs/cse>.

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.597 Grants to States for Access and Visitation Programs; 93.658 Foster Care Title IV-E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.564 CHILD SUPPORT ENFORCEMENT RESEARCH

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security, Title IV, Part D, Section 1115, 42 U.S.C 1315.

OBJECTIVES:

To provide federal funds for experimental, pilot, or demonstration projects that are likely to assist in promoting the objectives of Part D of Title IV. Section 1115 provides that "the project - 1) must be designed to improve the financial well-being of children or otherwise improve the operation of the child support program; 2) may not permit modifications in the child support program which would have the effect of disadvantaging children in need of support; and 3) must not result in increased cost to the federal government under Part A of such title." The principal purpose is to carry out the public purpose of implementing a demonstration project that is likely to "improve the financial well-being of children or otherwise improve the operation of the child support program" as stated in the statutory authority. Any responsibility to the federal government is a condition for receiving the grant, but not a principal purpose.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds can be only used for purposes described in the funding opportunity announcements issued for this CFDA number each fiscal year.

Applicant Eligibility:

Section 1115 grants may be made only to State or Tribal Child Support Enforcement agencies or their umbrella agencies.

Beneficiary Eligibility:

Only Title IV-D Child Support Agencies or Tribal Child Support Program operating a comprehensive program.

Credentials/Documentation:

When appropriate, applicants should present written evidence of other agencies' willingness to cooperate when the project involves their cooperation or the utilization of their facilities or service. Costs will be determined in accordance with 2 CFR 225 for State governments. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms, as furnished by DHHS and must be used for this program. Application kits which contain the prescribed application forms and supplemental descriptive information on the priority projects are available from: Administration for Children and Families, Office of Grants Management, Director, Division of Discretionary Grants, 5th Floor, 330 C. Street SW., Washington, DC 20201. Telephone: (202) 401-4577. Contact: Daphne Weeden, Director, Division of Discretionary Grants. Eligible interested parties are encouraged to submit applications on grants.gov. To be considered for a grant award, all applications must be submitted on the prescribed application forms and submitted to the Division of Grants Management. The application shall be executed by an individual authorized to act for the applicant agency or organization and to assume for the agency or organization the obligations imposed by the terms and conditions of the grant. As part of the project title (page 1 of the application form, Form SF-424) the applicant must clearly indicate whether the application submitted is in response to a priority project identified in a program announcement and must reference the unique project

identifier (e.g., HHS-2010 - ACF - OCSE-FD-0113) for which the application is to compete. Applications are initially screened for relevance to program interests of the Office of Child Support Enforcement (OCSE). Ineligible applications will be returned to the applicant. Eligible applications are reviewed and evaluated by a review panel of not fewer than three knowledgeable people. A limited number of projects may be approved pending available funds and will compete with other nonpriority projects. Written assessment of each application is made.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Notice of Grant Award. This provides the amount of funds awarded, the purpose of the award, the term and conditions of the award, the budget period for which support is given, the total project period for which support is contemplated, and the total grantee participation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 120 days. Generally, solicited grants will be acted upon within 90 days.

Appeals:

There are no formal appeals procedures. If an application for a grant is disapproved, the reasons for disapproval will be fully stated, applicants may request the reasons for disapproval. In the case of unsolicited proposals, applicants are free to resubmit applications with attention to the changes suggested by the reasons for disapproval in the future.

Renewals:

Extensions and continuations of grants with 1-year project periods are generally not available except under extreme circumstances. If an application is recommended for approval for 2 or more years, the grantee must submit a formal request for continuation each year accompanied by a progress report which will be evaluated prior to a recommendation of continuation.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. But may require an administratively imposed non-federal match.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Section 1115 grants are typically five years in length. For multi-year projects, support beyond the first year is contingent upon acceptable evidence of satisfactory progress and availability of funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program narrative reports and quarterly expenditure reports are required. Periodic monitoring is conducted by the federal project office when progress reports are reviewed. Quarterly expenditure reports are required. Progress reports are required. Quarterly expenditure reports are required. Periodic monitoring is conducted by the Federal Project Officer when progress reports are reviewed.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All fiscal transactions identifiable to federal financial assistance are subject to audit by the HHS audit agency, and other federal officials.

Records:

Proper accounting records, identifiable by grant number, act number, and including all receipts and expenditures must be maintained for 3 years in accordance with 45 CFR Part 75. Subsequent to audit, they must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-1501-0-1-609.

Obligations:

(Project Grants) FY 16 \$4,000,000; FY 17 est \$4,000,000; and FY 18 est \$4,000,000 - OCSE and the Centers for Medicare and Medicaid Services (CMS) are both eligible to obligate no more than the full annual allocation.

Range and Average of Financial Assistance:

\$200,000 to \$800,000. Average changes each fiscal year.

TAFS Codes:

75-1501.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: OCSE awarded 7 new grants and 18 continuation grants.
Fiscal Year 2017: It is anticipated that 24 continuation grants will be awarded.
Fiscal Year 2018: OCSE anticipates 16 continuation grants and up to 10 new grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

The pamphlets "Research Grants in Social Security," "Grants Administration Policies," and application kits may be obtained from the ACF, Office of Grants Management, Division of Discretionary Grants, 3th Floor, 330 C. Street SW., Washington, DC 20201.

Regional or Local Office:

See Regional Agency Offices. Regional Administrators. (See Appendix IV of the Catalog for Regional Offices).

Headquarters Office:

Michelle Jadcak 330 C. Street S.W., Washington, District of Columbia 20201
Email: michelle.jadcak@acf.hhs.gov Phone: 202 401-4578

Website Address:

<http://www.acf.hhs.gov/programs/cse>.

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.563 Child Support Enforcement; 93.597 Grants to States for Access and Visitation Programs; 93.601 Child Support Enforcement Demonstrations and Special Projects; 93.647 Social Services Research and Demonstration; 93.779 Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Program Design; (2) Program Management; (3) Evaluation Design; and (4) Budget and Budget Justification.

93.566 REFUGEE AND ENTRANT ASSISTANCE STATE/REPLACEMENT DESIGNEE ADMINISTERED PROGRAMS

Refugee Cash and Medical Assistance Program and Refugee Social Services Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Refugee Education Assistance Act of 1980, Title V, Section 501(a), Public Law 96-422, 94 Stat. 1799, 8 U.S.C 1522 note; Refugee Act of 1980, Section 412, Public Law 96-212, 94 Stat. 111, 8 U.S.C 1522; William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, Section 212-235, Public Law 110-457; Victims of Trafficking and Violence Protection Act of 2000, Public Law 106-386.

OBJECTIVES:

The Refugee Cash and Medical Assistance program reimburses states and state replacement designees for the cost of cash and medical assistance provided to refugees, certain Amerasians from Viet Nam, Cuban and Haitian entrants, asylees, victims of a severe form of trafficking, and Iraqi and Afghan Special Immigrants during the first eight months after their arrival in this country or grant of asylum. Reimbursement is also provided for care of unaccompanied

refugee minors and grantee administrative costs. Social Services formula funding may be used for employment and other social services for same population for five years after their date of arrival or grant of asylum.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Assistance is limited to refugees, certain Amerasians from Viet Nam, Cuban and Haitian entrants, asylees, victims of a severe form of trafficking, and Iraqi and Afghan Special Immigrants.

Applicant Eligibility:

State agencies, State Replacement Designees, and Wilson/Fish Alternative projects are eligible to apply for these funds.

Beneficiary Eligibility:

Refugees, certain Amerasians from Viet Nam, Cuban and Haitian entrants, asylees, victims of a severe form of trafficking, and Iraqi and Afghan Special Immigrants are eligible for benefits and services.

Credentials/Documentation:

Assistance is authorized for refugees, certain Amerasians from Viet Nam, asylees, Cuban and Haitian entrants, asylees, victims of a severe form of trafficking, and Iraqi and Afghan Special Immigrants with documentation on their immigration status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submittal, and approval of, State plans, annual service plans, and annual State Cash and Medical Assistance estimates.

Award Procedure:

Cash and Medical Assistance grants are made to State agencies and State Replacement designees following submittal of approved State plans and annual State expenditure estimates (ORR-1). For Social Services grants, State agencies, State Replacement designees, and Wilson/Fish Alternative projects must submit annual service plans.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Annual submission of Cash and Medical Assistance program expenditure estimates and, for Social Services grants, submission of annual service plans.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Statutory formulas are not applicable to the Cash and Medical Assistance Program. Social Services funds are allocated in accordance with a statutory formula.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are awarded on a quarterly basis. Funds for Cash and Medical Assistance and administrative costs must be obligated within the fiscal year of appropriation and liquidated within the next fiscal year. Funds for services for unaccompanied refugee minors may be obligated and expended in the year of award and in the subsequent year. Funds for social services must be obligated by end of the fiscal year after the fiscal year of appropriation and liquidated within the following fiscal year. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

State agencies submit program performance reports (ORR-6) three times a year. Cash reports are not applicable. No progress reports are required. Cash reports are not applicable. No progress reports are required. Grantees submit Federal Financial Reports for refugee Social Services and ORR-2 for CMA four times a year. ORR regularly monitors State-administered programs, including cash and medical assistance, social services, and the unaccompanied refugee minors program. The ORR monitoring team reviews with State staff the eligibility and documentation requirements of beneficiaries and verifies the maintenance of accurate and up-to-date client files. ORR also monitors State financial and aggregate and case-level assistance reports and reviews State Plans and State Plan amendments.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The grantee must maintain financial and program records for three years after the grantee submits the last expenditure report for the funding period.

Account Identification:

75-1503-0-1-609.

Obligations:

(Formula Grants) FY 16 \$121,870,000; FY 17 est \$121,283,259; and FY 18 est \$125,869,750 - Social Services Formula Grants. (Formula Grants) FY 16 \$409,600,000; FY 17 est \$369,783,059; and FY 18 est \$234,500,000 - Refugee Cash and Medical Assistance Grants.

Range and Average of Financial Assistance:

In FY 2016, grants for the Refugee Cash and Medical Assistance program ranged from \$16,490 to \$133,591,873; the average was \$8,193,150. Grants for the Refugee Social Services program ranged from \$75,000 to \$45,988,374; the average was \$2,437,400. It is anticipated that this pattern will continue in FY 2017 and FY 2018.

TAFS Codes:

75-1503.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, 53 CMA grants were awarded to states and state replacement designees. There were 50 Social Services grants to states and Wilson Fish. Fiscal Year 2017: In FY 2017, 62 CMA grants were issued to states, state replacement designees, and Wilson Fish. During FY 2017 four states have withdrawn from providing refugee resettlement services: Kansas, New Jersey, Texas and Maine. Funding is issued to state replacement designee agencies providing services in these states. There will be 50 Social Services grants to states, state replacement designees and Wilson/Fish agencies. Fiscal Year 2018: In FY 2018, it is projected that 58 CMA grants will be issued to states, state replacement designees and Wilson Fish. It is also projected that 50 Social Services grants will be issued to states, state replacement designees and Wilson Fish.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 400, Subparts A-I.

Regional or Local Office:

None.

Headquarters Office:

Carl Rubenstein Mary E. Switzer Building
330 C Street SW, 8th Floor West, Washington, District of Columbia 20201
Email: carl.rubenstein@acf.hhs.gov Phone: (202) 205-5933 Fax: (202) 205-5888

Website Address:

<http://www.acf.hhs.gov/programs/orr>

RELATED PROGRAMS:

93.567 Refugee and Entrant Assistance_Voluntary Agency Programs; 93.576

Refugee and Entrant Assistance_Discretionary Grants; 93.583 Refugee and Entrant Assistance_Wilson/Fish Program; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants; 93.598 Services to Victims of a Severe Form of Trafficking

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.567 REFUGEE AND ENTRANT ASSISTANCE VOLUNTARY AGENCY PROGRAMS

Matching Grant Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Refugee Act of 1980, Section 412, Public Law 96-212, 94 Stat. 111, 8 U.S.C 1522, as amended by Consolidated Appropriations Act 2008, Public Law 110-161, as amended by as amended by Refugee Assistance Extension Act of 1986, Public Law 99-605, 100 Stat. 349; Defense Authorization Act of 2008, Public Law 110-181; Victims of Trafficking and Violence Protection Act of 2000, Public Law 106-386; FY 2009 Omnibus Appropriations Bill, Public Law 111-08.

OBJECTIVES:

The Matching Grant Program assists refugees in becoming self-supporting and independent members of American society by providing grant funds to private nonprofit organizations to support case management, transitional assistance, and social services for new arrivals.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Federal funds of up to \$2,200 per refugee (with an expected increase to \$2,500 per refugee in FY 2018) are provided on a matching basis of \$2 of Federal funds matched by \$1 of private funds and in-kind contributions. Required services include case management, employment services, housing and utilities, food, transportation, cash allowance, health and medical, English language training, social adjustment, and other support services for four to six months.

Applicant Eligibility:

Grant awards are limited to private nonprofit organizations that have a Reception and Placement Cooperative Agreement with the Department of State or Department of Homeland Security.

Beneficiary Eligibility:

Enrollment must occur within 31 days of the individuals date of eligibility. The date of eligibility for Matching Grant Services is counted from the date of arrival into the country for refugees and Amerasians; the date a Cuban/Haitian becomes an entrant; the date of the final grant of asylum for asylees; the date of the certification or eligibility letter for Victims of Severe Forms of Trafficking; and the date an SIV arrives in the U.S. or the date of adjustment of status if applying for Special Immigrant Status within the U.S.

At least one member of the case unit must be deemed 'employable' for the case to be enrolled in the Matching Grant Program, and all other members must be otherwise Program eligible.

Credentials/Documentation:

Newly arriving refugees, certain Amerasians, Cuban or Haitian Entrants, asylees, and Iraqi or Afghan Special Immigrants, as evidenced in the documentation from the United States Citizenship and Immigration Services (USCIS) and victims of severe forms of trafficking with certification documents issued by HHS. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Eligible grantees are invited to submit a yearly project plan to HHS. This document includes a program proposal and budget. Grant amounts are formula based dependent on the number of clients each grantee is likely to resettle, consideration of past performance and availability of funds. The Director of the Office of Refugee Resettlement makes final funding decisions and grant awards are issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines are established in the invitation to submit a project plan.

Appeals:

Not Applicable.

Renewals:

The yearly grant is contingent on funds availability, grantee performance, and the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: **Actual Percentage is 33.33% (one-third of total program cost).

Grants are awarded on a basis of \$2 in Federal funds for each \$1 of private funds up to a maximum of \$2,200 per refugee (with an expected increase to \$2,500 in FY 2018). The nonfederal share may be through in-kind donations or cash.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds are awarded on a yearly basis.. Method of awarding/releasing assistance: quarterly.

Reports:

Through FY 2017 program progress reports and Federal Financial Reports are required semi-annually. An interim final financial status report is due 90 days after the program period has ended; and a final financial status report is due 210 days after the project period. Beginning in FY 2018, program progress reports are required and semi-annually and Federal Financial Reports are required quarterly. Cash reports are not applicable. Semi-annual and annual progress reports are required. SF-425 Federal Financial Reports are required quarterly. Performance monitoring activities include on site and desk monitoring of projects. During on site monitoring visits to local service providers, the team selects a representative caseload for review and evaluates eligibility for the program, self-sufficiency plan, case notes, employment outcomes, client services rendered, and documentation related to the required program match. The team also conducts interviews with staff to assess their knowledge of the program requirements. The team will also interview selected clients and visit local employers of refugee clients to assess local agency-employer-client relations. Also, Matching Grant Program guidelines include the requirement that national grantees must monitor their affiliates and report back to ORR as part of their semi-annual reporting requirements. Cash reports are not applicable. Semi-annual and annual progress reports are required. SF-425 Federal Financial Reports are required semi-annually. Performance monitoring activities include on site and desk monitoring of projects. During on site monitoring visits to local service providers, the team selects a representative caseload for review and evaluates eligibility for the program, self-sufficiency plan, case notes, employment outcomes, and client services rendered. The team also conducts interviews with staff to assess their knowledge of the program requirements. The team will also interview selected clients and visit local employers of refugee clients to assess local agency-employer-client relations. Also, Matching Grant Program guidelines include the requirement that national grantees must monitor their affiliates and report back to ORR as part of their reporting requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The grantee shall maintain financial and program records for three years following the end of the project period.

Account Identification:

75-1503-0-1-609.

Obligations:

(Cooperative Agreements) FY 16 \$76,309,200; FY 17 est \$68,200,000; and FY 18 est \$55,000,000

Range and Average of Financial Assistance:

In FY 2016, the grants ranged from \$1,977,600 to \$21,714,000 with an average award of \$8,474,800.

TAFS Codes:

75-1503.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, 84% of the 34,686 clients enrolled were economically self-sufficient at the end of the 180 day program period. Total program cost of \$114.45 million includes \$76.3 million federal and \$38.15 million match from nine participating voluntary agency grantees. These grantees are providing Matching Grant Program services at 226 locations in 42 states. The program served 34,686 individuals with FY 2016 funding. Fiscal Year 2017: In FY 2017, 31,000 individuals are expected to receive services through this program. Fiscal Year 2018: In FY 2018, the nine voluntary agency grantees are expected to receive grants through this program and serve 22,000 individuals.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Anastasia Brown Mary E. Switzer Building, 330 C Street SW, Washington, District of Columbia 20201 Email: anastasia.brown@acf.hhs.gov Phone: (202) 401-4559 Fax: (202) 401-0981

Website Address:

<http://www.acf.hhs.gov/programs/orr/programs>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.583 Refugee and Entrant Assistance_Wilson/Fish Program; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants; 93.598 Services to Victims of a Severe Form of Trafficking

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Matching grant awards are made on the basis of the evaluation criteria in the notice of funding availability.

93.568 LOW-INCOME HOME ENERGY ASSISTANCE**FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Low Income Home Energy Assistance Act of 1981, as amended; The Omnibus Budget Reconciliation Act of 1981; Energy Policy Act of 2005, Public Law 109-58.

OBJECTIVES:

To make Low Income Home Energy Assistance Program (LIHEAP) grants available to States and other jurisdictions to assist eligible households to meet the costs of home energy. Supplemental Leveraging Incentive funds may be awarded to reward States and other jurisdictions that provide additional benefits and services to LIHEAP-eligible households beyond what could be provided with Federal funds. The leveraging incentive funds may be set aside for LIHEAP grantees that provide services through community-based nonprofit organizations to help LIHEAP-eligible households reduce their energy vulnerability under the Residential Energy Assistance Challenge Program (REACH). Training and Technical Assistance funds are authorized to provide training and technical assistance to States and other jurisdictions administering the LIHEAP block grant program.

TYPES OF ASSISTANCE:**FORMULA GRANTS****USES AND USE RESTRICTIONS:**

Energy Assistance Block Grants: Funds are awarded to States, eligible Tribes and Tribal Organizations, and Territories, which then make payments directly to an eligible low-income household or, on behalf of such household, to an energy supplier to assist in meeting the cost of home energy. Up to 10 percent of these funds may be used for State and local planning and administration. Up to 15 percent may be used for low-cost residential weatherization. Grantees may request that HHS grant a waiver for the fiscal year that increases above funds that can be allotted for residential weatherization. Depending upon specific appropriations, HHS may allocate supplemental LIHEAP leveraging incentive funds to grantees that have acquired nonfederal leveraged resources in order to provide additional benefits and services to LIHEAP-eligible households to help them meet their home heating and cooling needs. Leveraging Incentive Funds may be allocated by HHS to LIHEAP grantees that provide services through community-based nonprofit organizations to LIHEAP-eligible households to reduce their energy vulnerability, under the Residential Energy Assistance Challenge Program (REACH). Additional funds may be authorized and distributed as emergency contingency funds to be used to meet needs arising from a natural disaster or other emergency. Such funds will be made available only after submission to Congress of a formal budget request for all or part of the funds by the President that designates the amount of the request as an emergency under the Balanced Budget and Emergency Deficit Control Act of 1985. Training and Technical Assistance: the Secretary has authority to set aside up to \$300,000 from each year's appropriation for training and technical assistance relating to the Low-Income Home Energy Assistance Program. These training and technical assistance activities are accomplished through: grants, contracts, or jointly financed cooperative or interagency agreements with States, Indian tribes, tribal organizations, public agencies, private companies, and private nonprofit organizations; through interagency agreements, including with Federal agencies, or through on-site compliance reviews of LIHEAP grantees. The nature of and amount awarded for training and technical assistance vary from year to year.

Applicant Eligibility:

Energy Assistance Block Grants: All States, the District of Columbia, federally- and State-recognized Indian Tribal governments which request direct funding, and specified Territories may receive direct grants. The prospective grantee must submit an annual application. Grantees desiring Leveraging Incentive Funds and REACH funds must submit special applications each year, if funding is available. Instructions will be issued if emergency contingency funds are released. Training and Technical Assistance: States, Indian tribes or tribal organizations, Territories, public agencies, and private nonprofit organizations may apply. Nothing in the statute precludes a business concern that applies jointly with a private nonprofit organization from receiving a training and technical assistance grant.

Beneficiary Eligibility:

Energy Assistance Block Grants: All States, the District of Columbia, federally- and State-recognized Indian Tribal governments that request direct funding, and specified Territories may provide assistance to households with incomes up to the greater of 150 percent of the poverty level or 60 percent of the State median income. Grantees may establish lower income eligibility levels, but they may not set the limit below 110 percent of the poverty level. Training and Technical Assistance: States, Indian tribes or tribal organizations,

Territories, public agencies, and private nonprofit organizations may apply. Nothing in the Statute precludes a business concern that applies separately or jointly with a private nonprofit organization from receiving a training and technical assistance grant.

Credentials/Documentation:

Energy Assistance Block Grants: The chief executive officer of the State, Tribe or Territory or his designee must certify to 16 assurances required by law. Households must meet beneficiary eligibility requirements. Grantees desiring Leveraging Incentive Funds or REACH funds must submit annual applications. Instructions will be issued if emergency contingency funds are released. Training and Technical Assistance: Requirements vary and are at www.grants.gov or www.fedbizopps.gov. This program is excluded from coverage under 2 CFR 200, Subpart E-Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirement, Cost Principles, and Audit Requirements for Federal Awards..

Award Procedure:

Energy Assistance Block Grants: Grants are made upon receipt of a complete application by the Administration for Children and Families, Office of Community Services Headquarters Office. Leveraging Incentive Funds or REACH funds can be awarded based on applications submitted by grantees. Instructions will be issued if emergency contingency funds are released. Training and Technical Assistance: Procedures that apply to each request for proposals vary and are at www.grants.gov or www.fedbizopps.gov.

Deadlines:

Sep 01, 2017 Energy Assistance Block Grants: States and Territories must submit their applications by September 1, prior to the fiscal year for which funds are sought, unless the Department agrees to a later date in form of approved extension. All applications must be completed by December 15, of the fiscal year for which funds are sought, unless the Department (in the case of States and Territories) or the State (in the case of tribal grantees) agrees to a later date on form of approved extension. Leveraging incentive fund reports are due by November 30 of each year, if funding is available. REACH applications are due by March 30 of each year, if funding is available.

Range of Approval/Disapproval Time:

Energy Assistance Block Grants: The Department reviews plans for completeness and will act on the plans submitted as quickly as possible. Leveraging Incentive and REACH applications will be reviewed and acted upon within deadlines. Training and Technical Assistance: Range of time varies, depending upon the nature and complexity of a request for proposals and as established by the Department.

Appeals:

Energy Assistance Block Grants: Grantees may request a hearing regarding repayment of funds or withholding of funds under Section 2608 of the authorization. Training and Technical Assistance: Not applicable for unsuccessful applicants for grants or cooperative agreements. For contracts, prospective applicants may object to a contract award by filing a protest with the contracting officer or higher authority. The notification issued by the contracting office to unsuccessful bidders contains the procedures and time frame for filing a protest.

Renewals:

Energy Assistance Block Grants: Annual applications are required. Separate annual applications are also required for Leveraging Incentive Funds and REACH funds. Instructions will be issued if emergency contingency funds are released. Training and Technical Assistance: Award periods may vary and are at www.fedbizopps.gov.

Formula and Matching Requirements:

Statutory Formula: Section 2604 of the LIHEAP statute addresses this issue. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Energy Assistance Block Grants: Grant awards are made to grantees with complete applications for carrying out the program within the fiscal year. Up to 10 percent of a fiscal year's grant may be held available for obligation in the subsequent fiscal year. For Leveraging Incentive Funds or REACH funds, obligation must occur by the end of the fiscal year following the year in which the funds are appropriated, without regard to the 10 percent carryover limit. See the following for information on how assistance is awarded/released. See the following for information on how assistance is awarded/released: Section 2607 of the Low Income Home Energy Assistance Program, as amended.

Reports:

Energy Assistance Block Grants: Grantees must submit an annual report (SF-425) within 90 days of the end of the fiscal year on the amount of funds obligated during the fiscal year and the last date of obligations of funds. Grantees are required to submit an annual LIHEAP Model Plan in applying for regular LIHEAP block grants. The annual plan must include 16 statutory assurances signed by the Chief Executive Officer. A LIHEAP Household Report is required annually on the number and income levels of households served during the previous year, on those households that are served that have members who are elderly, disabled, or young children, and on the number and income levels of all households that apply for assistance whether or not they are served. This report must be included as part of the annual plan before funds are released. A report on the amount of funds that the grantee desires to carry over for obligations in the succeeding fiscal year, the reasons any such funds will not be used during the first fiscal year, the types of assistance to be provided with funds carried over and the amount of funds, if any, to be subject to reallocation must be submitted by August 1 annually. Grant awards for the following fiscal year will not be released until this report is received. State grantees are required to complete the annual LIHEAP Data Form which consists of two modules. The first module includes grantee information on sources and uses of LIHEAP funds, average LIHEAP household benefits, and the maximum income cutoff for 4-person households for each type of LIHEAP assistance provided by each state. LIHEAP grantee for the fiscal year. The second module includes state grantee developmental data on home energy burden targeting, restoration of home energy services, and prevention of loss of home. No cash reports are required. Training and Technical Assistance: Grantees are required to submit quarterly financial reports and a final progress report. For contracts, contractors are required to submit quarterly financial reports form HHS-646, Financial Report of Individual Project (Contact). A report is required from those grantees expending up to 5 percent of funds under section 2605(b)(16) for services that encourage and enable households to reduce their home energy needs. A report is due by November 30 of each year for those grantees that which to receive Leveraging Incentive Funds, reporting the amount value of leveraged resources they added to the program in the previous fiscal year. For REACH, a financial status report is due 90 days after the end of the program year and a final evaluation is due six months after the end of the federal fiscal year. Performance monitoring is required for Leveraging Incentive Funds.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F-Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. However, according to 45 CFR 96.31(a), LIHEAP grantees and sub-grantees are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507) An independent auditor in accordance with generally accepted Government auditing standards covering financial audits shall make the audits. State cost principals requirements apply

to LIHEAP.

Records:

Grant accounting records must be maintained in accordance with section 2605(b)(10).

Account Identification:

75-1502-0-1-609.

Obligations:

(Formula Grants) FY 16 \$3,369,018,056; FY 17 est \$3,390,598,085; and FY 18 est \$0 - (Competitive Grants) FY 2016 act. 0; FY 2017 est. 0; FY 2018 est. 0; In both FY 2016 and FY 2017 there were 211 formula grants. (Training) FY 16 \$2,418,568; FY 17 est \$2,391,345; and FY 18 est \$0

Range and Average of Financial Assistance:

Average is \$16,053,630.

TAFS Codes:

75-1502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 211 grants awarded in FY 2016. Fiscal Year 2017: It is anticipated that 211 grants will be awarded. Fiscal Year 2018: It is anticipated that no grants will be issued in FY 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 96

Regional or Local Office:

None.

Headquarters Office:

Lauren Christopher 330 C Street, SW
5th Floor West
Mail Stop 5425, Washington, District of Columbia 20201 Email:
Lauren.Christopher@acf.hhs.gov Phone: 202-401-4870 Fax: 202-401-5661

Website Address:

<http://www.acf.hhs.gov/programs/ocs/programs/liheap>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria pertinent to each request for training and technical assistance proposals are published in the Federal Register or Federal Business Opportunities (www.febbizopps.gov).

93.569 COMMUNITY SERVICES BLOCK GRANT (CSBG)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Community Services Block Grant (CSBG) Act.

OBJECTIVES:

To provide assistance to States and local communities, working through a network of community action agencies and other neighborhood-based organizations, for the reduction of poverty, the revitalization of low-income communities, and the empowerment of low-income families and individuals in rural and urban areas to become fully self-sufficient (particularly families who are attempting to transition off a State program carried out under part A of title IV of the Social Security Act) and (1) To provide services and activities having a measurable and potential major impact on causes of poverty in the community or those areas of the community where poverty is a particularly acute problem; (2) to provide activities designed to assist low-income participants, including the elderly poor, to: (a) secure and retain meaningful employment; (b) attain an adequate education; (c) make better use of available income; (d) obtain and maintain adequate housing and a suitable living environment; (e) obtain emergency assistance through loans or grants to meet immediate and urgent

individual and family needs, including health services, nutritious food, housing, and employment-related assistance; (f) remove obstacles and solve problems which block the achievement of self-sufficiency; (g) achieve greater participation in the affairs of the community; and (h) make more effective use of other related programs; (3) to provide on an emergency basis for the provision of such supplies and services, nutritious foodstuffs, and related services, as may be necessary to counteract conditions of starvation and malnutrition among the poor; and (4) to coordinate and establish linkages between governmental and other social services programs to assure the effective delivery of such services to low-income individuals.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

(1) States receive block grants to ameliorate the causes of poverty in communities. The block grant approach gives the States flexibility to tailor their programs to the particular services needs in their communities. (2) States are required to use at least 90 percent of their allocations for grants to "eligible entities" as defined in the Community Services Block Grant (CSBG) Act, as amended; this includes primarily locally-based community action agencies and/or organizations that serve seasonal or migrant farm workers. (2) No more than the greater of \$55,000 or 5 percent of each State's allocation may be used for administrative expenses at the State level.

Applicant Eligibility:

The Secretary is authorized to make grants to States. This includes each of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, the Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Secretary also provides assistance directly to the governing body of an Indian Tribe or Tribal organization upon application by the tribe. Only State-recognized tribes, as evidenced by a statement to that effect by the Governor, or tribes formally recognized by the Secretary of the Interior, under the procedure for such recognition in 25 CFR 54, are eligible to receive direct grants.

Beneficiary Eligibility:

States make grants to qualified locally-based nonprofit community antipoverty agencies and other eligible entities which provide services to low-income individuals and families. The official poverty line, as established by the Secretary of Health and Human Services, is used as a criterion of eligibility in the Community Services Block Grant program. When a State determines that it serves the objectives of the block grant, it may revise the income limit, not to exceed 125 percent of the official poverty line.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Each State desiring to receive an allotment for a fiscal year is required to submit an application to the Secretary of Health and Human Services (HHS). Each application must contain assurances by the appropriate State designee that the State will comply with Section 676 of the Community Services Block Grant Act and also meet conditions enumerated in Sections 678(B-D). The State is required to hold at least one legislative hearing every three years in conjunction with the development of the State Plan (Section 676(a)(3)). States are also required to conduct public hearings on the proposed use and distribution of funds to be provided under the Act. The latter sets forth the general purpose for which funds will be used, restrictions on administrative expenses, eligible recipients, board requirements for community action agencies and other nonprofit organizations, fiscal control, monitoring, and Federal investigation provisions, coordination between antipoverty programs in each community and certain prohibitions on political activities. The State Director of CSBG Programs of each State is also required to designate a lead agency to

prepare and submit a plan to the Secretary of HHS describing how the State will carry out the assurances in Section 676. This program is excluded from coverage under 45 CFR, Part 1050.

Award Procedure:

HHS determines the amount of funds to be allocated as block grants to each State in accordance with the formula set forth in the Community Services Block Grant Act. The Office of Management and Budget (OMB) has the authority to apportion to the HHS through the course of a year the Congressional appropriation for block grants. Consistent with OMB's apportionment of funds, HHS will assign allotments to the States through individual awards or a series of awards, normally on a quarterly basis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Funds may be withheld from any State which does not utilize its allotment substantially in accordance with the provisions of the Community Services Block Grant Act and the assurances provided in its application. This may be done only after adequate notice and an opportunity for a hearing is conducted within the affected State.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 45, Chapter 96.71, Part 96, Subpart G, Public Law 97-35. Section 674 of the CSBG Act authorizes the Secretary to use one and one-half of one percent of the amount appropriated to be reserved for corrective action activities, training, technical assistance, planning, evaluation, and data collection activities related to programs or projects carried under this subtitle. Such activities may be carried out through grants, contracts, or cooperative agreements with eligible entities or with organizations or associations whose membership is composed of eligible entities or agencies that administer programs for eligible entities. One-half of one percent of the amount appropriated is apportioned on the basis of need among Guam, American Samoa, the Virgin Islands, and the Northern Mariana Islands. Of the remaining amount, each state, (excluding the above, but including the District of Columbia and the Commonwealth of Puerto Rico) is allotted an amount which bears the same ratio as the amount received by the state for fiscal year 1981 under Section 221 of the Economic Opportunity Act of 1964 bore to the total amount received by all states for fiscal year 1981 under Section 221. However, if the appropriation exceeds \$345,000,000 after the amount necessary for purposes of discretionary funding under Section 680 and the one-half of one percent set-aside for territories and insular areas under Section 675(A) are determined, no state receives less than one-half of one percent of the amount appropriated. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Entitlement amounts are for a specific fiscal year and the grant funds allotted to the State will be awarded in accordance with apportionment of funds from the Office of Management and Budget. Amounts unobligated by the State at the end of a fiscal year remain available for obligation during the succeeding fiscal year. See the following for information on how assistance is awarded/released: Each fiscal year.

Reports:

All grantees shall participate in a performance measurement system in accordance with Section 678E of the CSBG statute, which includes state-established performance standards and participation in a Result Oriented Management and Accountability-(ROMA) process or a comparable process approved by HHS. Grantees shall also prepare and submit an annual report on the measured performance of the State and the eligible entities within the State. The annual report submitted to the Office of Community Services contains accomplishments and results resulting from the implementation of the plan submitted at the beginning of the fiscal year. Expenditure and program reports may be requested by the Office of Community Services as part of a monitoring

review of eligible entities. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

States are required to keep records sufficient to permit preparation of the required reports and to permit tracing of funds to a level of expenditure adequate to insure that funds have not been spent unlawfully.

Account Identification:

75-1536-0-1-506.

Obligations:

(Salaries) FY 16 \$1,512,182; FY 17 est \$1,544,000; and FY 18 est \$0. (Formula Grants) FY 16 \$703,729,255; FY 17 est \$696,825,612; and FY 18 est \$0. (Project Grants (Discretionary)) FY 16 \$6,700,000; FY 17 est \$6,780,000; and FY 18 est \$0. (Training) FY 16 \$1,105,612; FY 17 est \$985,438; and FY 18 est \$0

Range and Average of Financial Assistance:

\$1,019 to \$55,942,793; average \$5,242,585.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 114 grants issued in FY 2016. Fiscal Year 2017: It is anticipated that 114 grants will be awarded in FY 2017. Fiscal Year 2018: No funding requested in FY 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 16, 45 CFR 75 and 45 CFR 96.

Regional or Local Office:

None.

Headquarters Office:

Seth Hassett 330 C Street, S.W., 5th Floor West
Mail Stop 5425
, Washington, District of Columbia 20201 Email: seth.hassett@acf.hhs.gov
Phone: 202-401-4666

Website Address:

<http://www.acf.hhs.gov/programs/ocs/csbg>.

RELATED PROGRAMS:

93.045 Special Programs for the Aging Title III, Part C Nutrition Services; 93.568 Low-Income Home Energy Assistance; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.570 COMMUNITY SERVICES BLOCK GRANT DISCRETIONARY AWARDS

Community Economic Development & Rural Community Facilities Programs

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Community Services Block Grant (CSBG) Act.

OBJECTIVES:

To support program activities to alleviate the causes of poverty in distressed communities which: (1) assist businesses in creating jobs for low-income

individuals, i.e., develop employment and business development opportunities for low-income individuals; (2) a better standard of living for rural low-income individuals in terms of water and waste water treatment.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Federal funds awarded under the Secretary's Discretionary Authority may be used for activities that: improve the quality of the economic and social environment of low-income residents by providing resources to eligible applicants, by arresting tendencies toward dependency, chronic unemployment and community deterioration in urban and rural areas; and by addressing needs of water and wastewater treatment in rural communities. Community Economic Development (CED) grants are awarded to cover project costs for (1) startup or expansion of business, physical improvements, or commercial activities. (2) capital expenditures such as the purchase of equipment or real property; (3) allowable operating expenses; and (4) loans or equity investments. Expenditures must result in the creation of employment or business opportunities for low-income individuals. Generally, the project must be completed within 36 months for non-construction projects and 60 months for construction projects. Projects must document public/private partnership mobilizing cash and/or in-kind contributions; and be located in areas characterized by poverty and other indicators of socioeconomic distress, such as high levels of unemployment. Grant funds may cover project costs for business start-up or expansion and the development of new products and services. Rural Community Development (RCD), also referred as Rural Community Facilities (RCF) grants are awarded to provide training and technical assistance to small, rural communities concerning their water and waste water treatment needs. RCD grants focus on the development and upgrading of small water and wastewater systems in low-income rural areas. Types of services provided included training of persons responsible for community water and wastewater facilities; assisting communities with problem-solving and planning for facilities; producing and disseminating publications, newsletters, videos, and training manuals about rural waste and wastewater systems; and assisting rural communities in leveraging Federal, State, local, and private sector financing for facilities.

Applicant Eligibility:

For Community Economic Development, eligibility is restricted to private, locally initiated, nonprofit community development corporations (or affiliates of such corporations) governed by a board consisting of residents of the community and business and civic leaders. This includes faith based community development corporations. For Rural Community Development Activity projects, eligibility is restricted to multi-state, regional private, non-profit organizations that can provide training and technical assistance to small, rural communities interested in safe water and waste water treatment needs.

Beneficiary Eligibility:

A project must be targeted to address the needs of a specific segment of low-income individuals or families. The official poverty line established by the Director of the Office of Management and Budget, published annually by the Department of Health and Human Services is used as a criterion of eligibility in the Community Economic Development Discretionary Grant program.

Credentials/Documentation:

Costs will be determined in accordance with the provisions of Title 45 CFR 75 Subpart E. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Awards are granted

on a competitive basis. Announcements concerning the availability of funds, requests for applications, along with application form and assurance documents, are on www.grants.gov website. Applications to the Office of Community Services for grants under the announcement should be submitted through the grants.gov website. Applicants are urged to inform and coordinate application submissions with State and local governments where such information and coordination is appropriate or necessary for the success of the program. This program is subject to the provisions of Title 45 CFR Part 75 (non-governmental entities). All applications for assistance under this program must contain: (1) SF-424, Application for Federal Assistance; (2) SF-424A, Budget Information; (3) SF-424B, Assurances-Non- Construction Programs; (4) Certificate Regarding Drug Free Workplace Requirements; (5) Certification regarding environmental tobacco smoke; (6) Certification Regarding Debarment, Suspension, and Other Responsibility Matters; and (7) Certification Regarding Lobbying. These must be accompanied by a detailed budget, and a program narrative submitted in accordance with the format detailed in the Funding Opportunity Announcement (FOA).

Award Procedure:

The Director, Office of Community Services, Administration for Children and Families (ACF), will make the final decision on all grant awards. Selection of applicants will be determined based on the review criteria outlined in the Funding Opportunity Announcement.

Deadlines:

Apr 27, 2018 Deadlines for Community Economic Development awards are posted annually in the Funding Opportunity Announcement, founded on www.grants.gov.

Range of Approval/Disapproval Time:

Generally, solicited grants will be acted upon within 60 to 120 days.

Appeals:

Not Applicable.

Renewals:

Rural Community Development Activity projects (also referred to as Rural Community Facilities Projects), are awarded for a five year project period with 12 month budget periods.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, Community Economic Development financial assistance awards are made available for periods of 36 to 60 months; Rural Community Development financial assistance awards are awarded for 12-month budget periods with a five year project period. Method of awarding/releasing assistance: quarterly.

Reports:

Semi-annual performance progress reports (PPR), semi-annual financial reports and a final report summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are required by OCS. No cash reports are required. No cash reports are required. Semi-annual performance progress reports (PPR), semi-annual financial reports and a final report summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are required by OCS. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant recipients are required to keep all financial, business and program reports necessary for program review and audit to insure that funds have been expended in accordance with the regulations, grant terms and conditions of this program. Audits and supporting records must be kept on file for a period of five years from the date of submission of the final expenditure report with the exceptions listed under section 75.361.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 16 \$27,410,948; FY 17 est \$17,490,867; and FY 18 est \$0 - This funding is for the CED program. (Project Grants (Discretionary)) FY 16 \$6,391,349; FY 17 est \$7,236,906; and FY 18 est \$0 - This funding is for the RCD program. (Training) FY 16 \$35,000; FY 17 est \$101,650; and FY 18 est \$0 - This funding is for the RCD program. (Training) FY 16 \$298,830; FY 17 est \$196,842; and FY 18 est \$0 - This funding is for the CED program.

Range and Average of Financial Assistance:

Up to \$800,000 for Community Economic Development and \$798,000 Rural Community Development Activities.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 39 discretionary grants awarded for CED and 8 discretionary grants awarded for RCD. Fiscal Year 2017: It is anticipated that 22 discretionary grants will be awarded for CED and 8 discretionary grants awarded for RCD programs in FY 2017. Fiscal Year 2018: Contingent with Congressional approval, it is anticipated that 22 grants will be awarded for CED and 8 grant award for RCD programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program announcements are posted on the web, www.acf.hhs.gov.

Regional or Local Office:

None. OCS Liaisons, stationed in - ACF.

Headquarters Office:

Gerald Shanklin OCS Grants Operation Center
1401 Mercantile Lane, Suite 401, Largo, Maryland 20774 Email:
Gerald.Shanklin@acf.hhs.gov Phone: 855-792-6551

Website Address:

<http://www.acf.hhs.gov/programs/ocs/csbg>.

RELATED PROGRAMS:

21.020 Community Development Financial Institutions Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each Funding Opportunity Announcement.

93.575 CHILD CARE AND DEVELOPMENT BLOCK GRANT

Child Care and Development Fund (CCDF)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Child Care and Development Block Grant Act of 1990, as amended, 42 U.S.C 9857; Appropriations Act of 2017, Public Law 115-31.

OBJECTIVES:

The Child Care and Development Fund (CCDF) is the primary federal funding source dedicated to providing child care assistance to low-income families. As a block grant, CCDF gives funding to states, territories, and tribes to provide child care subsidies through grants and contracts with providers, as well as vouchers or certificates to low-income families. CCDF provides access to child

care services for low-income families so they can work, attend school, or enroll in training. At the same time, it also promotes the healthy development of children by improving the quality of early learning and afterschool experiences. The Child Care and Development Block Grant (CCDBG) is the discretionary portion of the CCDF program. CCDBG was created under the Omnibus Budget Reconciliation Act of 1990, and is subject to annual appropriations.

In 2014, Congress acted on a bipartisan basis to pass the Child Care and Development Block Grant Act of 2014 (P.L. 113-186) into law and reauthorize the CCDF program for the first time since 1996. The CCDBG Act of 2014 renews authority for the CCDF program through FY 2020. The law makes significant changes by defining health and safety requirements for child care providers, outlining family-friendly eligibility policies, and ensuring parents and the general public have transparent information about the child care choices available to them. The Child Care Mandatory and Matching Funds (see CFDA 93.596) is the entitlement portion of the CCDF program, which consists of matching funds, that require a state match and maintenance of effort, and mandatory funds. Entitlement funds are made available under section 418 of the Social Security Act. The Personal Responsibility and Work Opportunity Reconciliation Act (PRWORA) of 1996 (P.L. 104-193) consolidated funding for child care under section 418 of the Social Security Act and made such funding subject to the requirements of the CCDBG Act, as amended. The U.S. Department of Health and Human Services (HHS) designated the combined entitlement and discretionary funding as the Child Care and Development Fund (CCDF) program.

The purposes of the CCDF program are to: (1) allow each State maximum flexibility in developing child care programs and policies that best suit the needs of children and parents within that State; (2) promote parental choice to empower working parents to make their own decisions regarding the child care services that best suits their families needs; (3) encourage States to provide consumer education information to help parents make informed choices about child care services and to promote involvement by parents and family members in the development of their children in child care settings; (4) assist States in delivering high-quality, coordinated early childhood care and education services to maximize parents options and support parents trying to achieve independence from public assistance; (5) assist States in improving the overall quality of child care services and programs by implementing the health, safety, licensing, training, and oversight standards; (6) improve child care and development of participating children; and (7) increase the number and percentage of low-income children in high-quality child care settings.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

In the Consolidated Appropriations Act of 2017, Congress directs that funds appropriated for the Child Care and Development Block Grant (CCDBG or Discretionary Funds) must be used to supplement, not supplant, State general revenue funds for child care assistance for low-income families. Lead Agencies must use Discretionary Funds for child care services on a sliding fee scale basis and for quality improvement activities. A portion of the Discretionary Funds is also designated for the U.S. Department of Health & Human Services to carry-out research, demonstration, and evaluation projects, provide technical assistance, and operate a national toll-free hotline and website. Not more than five percent of the aggregate amount of CCDF Funds expended by the State or Territory (15 percent for Tribes or tribal organizations) may be expended for administrative costs incurred by the State or Territory to carry-out all of its functions and duties. The term "administrative costs" does not include the costs of providing direct services. In FY 2017, a State or Territory shall use not less than seven percent of the CCDF Funds to improve child care quality and availability including comprehensive consumer education, activities to increase parental choice, and other activities such as resource and referral services, provider grants and loans, monitoring and enforcement of requirements, training and technical assistance, and improved compensation for child care staff. In FY 2018, the amount a State or Territory must spend on quality activities increases to eight percent, in accordance with the CCDBG law. The law requires an increase in the minimum quality spending requirement to nine percent of a State or Territory's CCDF Funds phased-in over 5 years. In addition, starting in FY 2017, States and Territories are required to spend no

less than three percent of their CCDF award on activities to improve the quality of infant and toddler care.) Except for approved construction or renovation of child care facilities by tribal grantees, no Discretionary Funds may be used for the purchase or improvement of land, or for the purchase, construction, or permanent improvement of any building or facility (other than for minor remodeling and for upgrading facilities to meet State and local child care standards). No Discretionary Funds provided directly to child care providers through grants or contracts may be expended for any sectarian purpose or activity, including sectarian worship or instruction. However, Grantees must give parents the option of receiving vouchers or certificates to allow parents the choice of child care provider, including faith-based or community providers. No Discretionary Funds may be provided for any services provided to students enrolled in grades 1 through 12 during the regular school day; for any services for which such students receive academic credit toward graduation; or for any instructional services which supplant or duplicate the academic program of any public or private school. Lead Agencies shall assure that a substantial portion of the Discretionary Funds will be used to provide assistance to low-income working families.

Applicant Eligibility:

Eligibility: All 50 States, the District of Columbia, the Virgin Islands, Puerto Rico, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and Federally recognized Tribal Governments and consortia.

Beneficiary Eligibility:

Children under age 13 (or, at the option of the grantee, up to age 19, if physically or mentally incapable of self-care or under court supervision), who (1) reside with a family whose income does not exceed 85 percent of the State median income for a family of the same size, and (2) who reside with a parent (or parents) who is working or attending job training or educational program, or who are in need of, or are receiving, protective services. A Lead Agency shall re-determine a child's eligibility for child care services no sooner than 12 months following the initial determination or most recent re-determination. Once determined eligible, children are expected to receive a minimum of 12 months of child care services, unless family income rises above 85% SMI or, at Lead Agency option, the family experiences a non-temporary cessation of work, education, or training.

Credentials/Documentation:

Lead Agencies must operate under a CCDF plan approved by the Administration for Children and Families, and must provide assurances that the Grantee will comply with the requirements of the CCDBG Act and all applicable Federal law. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Each Grantee must designate a Lead Agency to which grants are awarded and that is accountable for the use of the Discretionary Funds provided, the duties of which shall include developing a CCDF plan. In conjunction with the development of the CCDF plan, the Lead Agency must hold at least one public hearing no earlier than nine months before the CCDF plan becomes effective and after at least 20 days of statewide public notice, to provide the public an opportunity to comment on the provision of child care services under the plan. In advance of the hearing, the Lead Agency must make the content of the plan available to the public. The Lead Agency must also coordinate the provision of services under the program with other Federal, State, and local child care and early childhood development programs. Also, the Lead Agency must consult with appropriate representatives of local governments. Tribal Lead Agencies submitting applications for construction must submit an environmental impact assessment. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Sections 2 CFR 200.101 and 45 CFR 75.101 on Applicability indicates that only the following requirements apply to

CCDF:

- * 2 CFR 200.111 and 45 CFR 75.111, English language
- * 2 CFR 200.112 and 45 CFR 75.112, Conflict of interest
- * 2 CFR 200.113 and 45 CFR 75.113, Mandatory disclosures
- * 2 CFR 200.202 and 45 CFR 75.202, Requirement to provide public notice of Federal financial assistance programs (Note: this requirement applies to Federal agencies rather than grantees)
- * 2 CFR 200.330 and 45 CFR 75.351, Subrecipient and contractor determinations
- * 2 CFR 200.331 and 45 CFR 75.352, Requirements for pass-through entities
- * 2 CFR 200.332 and 45 CFR 75.353, Fixed amount subawards
- * 2 CFR Part 200 Subpart F and 45 CFR Part 75 Subpart F, Audit Requirements.

A Lead Agency desiring to receive an allotment for a fiscal year is required to submit a three-year CCDF plan to the Administration for Children and Families, as well as, financial and other information necessary for the grants process. Each CCDF plan must contain certifications and assurances by the Lead Agency that it will comply with the requirements of the Child Care and Development Block Grant Act (CCDBG). The plan must also include: the designation of a Lead Agency; the provision of assurances regarding policies and procedures as stated in Section 658E(c)(2) of the CCDBG; an outline of the intended use of block grant funds in compliance with Section 658E(c)(3) of the CCDBG; the provision of certification regarding payment rates as stated in Section 658E(c)(4) of the CCDBG; and the establishment of a sliding fee scale. Additional requirements are specified by 45 CFR Parts 98 and 99.

Award Procedure:

Grants are awarded after the receipt and approval of the CCDF plan by the Administration for Children and Families.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Administration for Children and Families will review the CCDF plans for approval and will act on the plans within 90 days.

Appeals:

Guidelines for appealing the disapproval of CCDF plans are specified in regulations (45 CFR Part 99).

Renewals:

CCDF plans must be submitted every three years.

Formula and Matching Requirements:

Statutory Formula: Title 45 CFR, Part 98.61.

This program has no matching requirements. Statutory/Regulatory Formula: Section 658O of the CCDBG Act; Title 45 CFR, Part 98.61.

This program has no matching requirements.

This program does not have MOE requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are made to Lead Agencies with approved CCDF plans. Grantees must obligate all Discretionary Funds in the fiscal year in which they are granted or in the succeeding fiscal year. Those funds must be liquidated no later than the end of the third fiscal year. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

As specified in 45 CFR Part 98, States must report to ACF annually aggregate data on families, children in care, providers, payment methods, and consumer education. Quarterly case-level reports are required to provide data on families, children, and providers, and payment information. Tribes must also provide annual aggregate data on children and families served through CCDF. No cash reports are required. No progress reports are required. States must also submit quarterly expenditure reports. Territories are required to report estimates and expenditures for the Discretionary Fund only on a quarterly basis. States, DC, and Puerto Rico are required to measure, calculate, and report improper payments as well as identify strategies for reducing future improper payments.

One-third of the 50 States, DC, and Puerto Rico must report annually on a three-year cycle. Tribes report expenditures for the Tribal Mandatory, Discretionary, and Construction/Renovation funds. Monitoring is in accordance with 45 CFR 98.90. No cash reports are required. No progress reports are required. States must also submit quarterly expenditure reports. Territories are required to report estimates and expenditures for the Discretionary Fund only on a quarterly basis. States, DC, and Puerto Rico are required to measure, calculate, and report improper payments as well as identify strategies for reducing future improper payments. One-third of the 50 States, DC, and Puerto Rico must report annually on a three-year cycle. Tribes report expenditures for the Tribal Mandatory, Discretionary, and Construction/Renovation funds. Monitoring is in accordance with 45 CFR 98.90.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.501. Additional auditing may be necessary.

Records:

Proper grant accounting records must be maintained.

Account Identification:

75-1515-0-1-609.

Obligations:

(Formula Grants) FY 16 \$2,761,000,000; FY 17 est \$2,856,000,000; and FY 18 est \$2,761,000,000 - These figures do not include CCDF Mandatory Funds and Matching Funds under CFDA 93.596.

Range and Average of Financial Assistance:

For States, including DC and Puerto Rico, the range of grants in FY 2015 is: \$ 3,115,679 to \$266,922,348 ; the average grant is \$44,698,904 . For 260 Tribal grantees, the range of grants in FY 2015 is: \$23,888 to \$5,796,311; the average grant is \$462,644. For the four Territories, the range of grants in FY 2015 is \$1,992,335 to \$4,589,112 ; the average grant is \$3,043,750. These figures are not inclusive of funds received through CFDA 93.596.

TAFS Codes:

75-1515.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2016: 358 Discretionary grants were awarded to 50 States , District of Columbia, five Territories , 260 Tribes and other grantees (research, TA, and hotline). Fiscal Year 2017: In FY 2017, it is anticipated that 348 Discretionary grants will be awarded to 50 States , District of Columbia, five Territories, 260 Tribes and other grantees (research, TA, and hotline). Fiscal Year 2018: Fiscal Year 2018: it is anticipated that 360 Discretionary grants will be awarded to 50 States , District of Columbia, five Territories, 260 Tribes and other grantees (research, TA, and hotline).

REGULATIONS, GUIDELINES, AND LITERATURE:

These funds are subject to the Child Care and Development Fund regulations at 45 CFR Parts 98 and 99.

Regional or Local Office:

See Regional Agency Offices. Region I (CT, MA, ME, NH, RI, VT): Shireen Riley, Regional Program Manager, Administration for Children and Families, Office of Child Care, Boston Regional Office, JFK Federal Building, 15 New Sudbury Street, Rm. 2000, Boston, MA 02203. Telephone: (617) 565-1152. E-mail: shireen.riley@acf.hhs.gov

Region II (NJ, NY):

Magdamari Marciano, Regional Program Manager, Administration for Children

and Families, Office of Child Care , New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264- 2890.
E-mail: Magdamari.Marcano@acf.hhs.gov

Region III (DC, DE, MD, PA, VA, WV):
Beverly Wellons, Regional Program Manager, Administration for Children and Families, Office of Child Care, Philadelphia Regional Office, Public Ledger Building ,150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861- 4020.
E-mail: beverly.wellons@acf.hhs.gov

Region IV (AL, FL, GA, KY, MS, NC, SC, TN):
Eric R. Blanchette, Regional Program Manager, Administration for Children and Families, Office of Child Care , Atlanta Regional Office, 61 Forsyth Street, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (404) 562- 2782.
E-mail: eric.blanchette@acf.hhs.gov

Region V (IL, IN, MI, MN, OH, WI):
Kathleen Penak, Regional Program Manager, Administration for Children and Families, Office of Child Care, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (312) 886- 3270.
E-mail: kathleen.Penak@acf.hhs.gov

Region VI (AR, LA, NM, OK, TX):
Gwendolyn Jones, Regional Program Manager, Administration for Children and Families, Office of Child Care, Dallas Regional Office, 1301 Young Street, Room 945, Dallas, TX 75202. Telephone: (214) 767- 3849.
E-mail: gwendolyn.jones@acf.hhs.gov

Region VII (IA, KS, MO, NE):
Doris Hallford, Regional Program Manager, Administration for Children and Families, Office of Child Care, Kansas City Regional Office, Rm. 349, 601 E 12 St., Kansas City, MO 64106. Telephone: (816) 426-2236.
E-mail: doris.hallford2@acf.hhs.gov

Region VIII (CO, MT, ND, SD, UT, WY):
Karen Knoll-Moran, Regional Program Manager, Administration for Children and Families, Office of child Care , Denver Regional Office, South Terrace 999 18th Street, Suite 499, Ninth Floor, Denver, CO 80294. Telephone: 303-844- 1164.
E-mail: karen.knollmoran@acf.hhs.gov

Region IX (AZ, CA, HI, NV):
Abby Cohen, Regional Program Manager, Administration for Children and Families, Office of Child Care, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-7579.
E-mail: abby.cohen@acf.hhs.gov

Region X (AK, ID, OR, WA):
Paul Noski, Regional Program Manager, Administration for Children and Families, Office of Child Care, Seattle Regional Office, 701 Fifth Avenue, Suite 1510, M/S 74, Seattle, WA 98104. Telephone (206) 615-2609.
E-mail: paul.noski@acf.hhs.gov

Headquarters Office:

Abdihakim Abdi Administration for Children and Families, U.S. Department of Health and Human Services
330 C Street SW
, Washington , District of Columbia 20201 Email:
Abdihakim.Abdi@acf.hhs.gov Phone: 202-401-9235

Website Address:

<http://www.acf.hhs.gov/programs/occ>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.576 REFUGEE AND ENTRANT ASSISTANCE DISCRETIONARY GRANTS

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Refugee Education Assistance Act of 1980, Title V, Public Law 96-422, 94 Stat. 1809, 8 U.S.C 1522; Refugee Act of 1980, Section 412, Public Law 96-212, 94 Stat. 111, 8 U.S.C 1522.

OBJECTIVES:

The objectives of the discretionary grant programs include: (1) decreasing the numbers of refugees on public assistance and the length of time refugees require such assistance; (2) encouraging the placement of refugees in locations with good job opportunities and specialized case management for vulnerable cases; (3) assisting low-income refugees with matching funds for individual development accounts and with financial literacy classes; (4) providing micro-credit to refugees interested in starting new businesses but unable to access commercial sources of capital; (5) providing services to refugees in rural areas; (6) promoting integration.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used only for the purposes set forth in the notice of funds availability and in the grant award.

Applicant Eligibility:

Public and private nonprofit agencies may apply for these grants.

Beneficiary Eligibility:

Refugees, certain Amerasians Cuban and Haitian entrants, asylees, certified victims of a severe form of trafficking, and special immigrants from Iraq and Afghanistan.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Agencies submit applications which are reviewed and evaluated in accordance with criteria specified in the notice of funds availability. Notices of funds availability may be accessed at Grants.gov or at the ACF website at www.acf.hhs.gov/grants. Application kits can also be accessed at the ORR website.

Award Procedure:

Eligible applicants submit an application to HHS. This document includes a detailed program proposal and budget. After review by an independent panel, the Director of the Office of Refugee Resettlement makes final funding decisions and grant awards are issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Contingent upon funding availability, grantee performance, and the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds made available under this program are for project periods ranging from 1 to 5 years. Funds are awarded annually during the project period. Method of awarding/releasing assistance: lump sum.

Reports:

Program Performance Reports are required, usually on a quarterly or semi-annual basis. Final program reports summarizing the activities and accomplishments of the project in relation to the original approved goals and objectives are required 90 days after the end of the project period. No cash reports are required. No progress reports are required. Federal Financial Reports (SF-425) are required, usually on a quarterly or semi-annual basis. Final Federal Financial Reports are required 90 days after the end of the project period. Performance monitoring activities include on-site and desk monitoring of projects. On site, the ORR team interviews agency staff and clients and reviews case files and financial documents. In addition to program monitoring, program performance reports and Federal Financial Reports (SF-425) are reviewed to ensure that agencies are spending funds appropriately and are performing in a manner consistent with the approved application and grant.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Nonprofit organizations receiving less than \$25,000 a year in Federal awards are exempt from Federal audit requirements, but records must be available for review by appropriate officials of the Federal grantor agency or subcontracting entity.

Records:

Grant recipients are required to keep all financial, business, and program reports necessary for program review and audit for the project period and for three years after the end of the project period.

Account Identification:

75-1503-0-1-609.

Obligations:

(Project Grants) FY 16 \$4,600,000; FY 17 est \$4,600,000; and FY 18 est \$0 - Refugee Health Promotion. (Project Grants (Discretionary)) FY 16 \$45,036,000; FY 17 est \$33,716,741; and FY 18 est \$33,451,250 - SS Discretionary. (Project Grants (Discretionary)) FY 16 \$4,727,000; FY 17 est \$4,760,100; and FY 18 est \$0 - Tag Discretionary.

Range and Average of Financial Assistance:

Refugee Health Promotion grants range from a minimum of \$75,000 to a maximum of \$200,000.

TAG and SS Discretionary grants range from a minimum of \$80,000 to a maximum of \$17,000,000.

TAFS Codes:

75-1503.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 297 discretionary and 37 refugee health promotion grants were awarded in FY 2016. Fiscal Year 2017: It is projected that there will be 256 discretionary and 41 refugee health grants awarded in FY 2017. Fiscal Year 2018: It is projected that there will be 220 discretionary and 0 refugee health promotion grants awarded in FY 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notices of funds availability are posted on the ACF and ORR website and can

also be accessed through Grants.gov. Inquiries may be directed to the Information Contact listed below.

Regional or Local Office:

None.

Headquarters Office:

Anastasia Brown Mary E. Switzer Building, 330 C Street SW, Washington, DC 20201, Washington, District of Columbia 20447 Email: anastasia.brown@acf.hhs.gov Phone: (202) 401-4559 Fax: (202) 401-0981

Website Address:

<http://www.acf.hhs.gov/programs/orr/programs>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.567 Refugee and Entrant Assistance_Voluntary Agency Programs; 93.583 Refugee and Entrant Assistance_Wilson/Fish Program; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for awarding funds are published in the notice of funds availability.

93.579 U.S. REPATRIATION

Repatriation Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

42 U.S.C. 1313 and 24 U.S.C. 321-329, 24 U.S.C 9.

OBJECTIVES:

The United States (U.S.) Repatriation Program was established by Title XI, Section 1113 of the Social Security Act (Assistance for U.S. Citizens Returned from Foreign Countries) to provide temporary assistance to U.S. citizens and their dependents who have been identified by the Department of State (DOS) as having returned, or been brought from a foreign country to the U.S. because of destitution, illness, war, threat of war, or a similar crisis, and are without available resources immediately accessible to meet their needs. The Program was later expanded in response to legislation enacted by Congress to address the particular needs of persons with mental illness (24 U.S.C. Sections 321 through 329). In addition, through Executive Order (E.O.) 12656 (53 CFR 47491) as amended, HHS was given the lead to develop plans and procedures, in coordination with the heads of Federal departments and agencies, for assistance to United States citizens or others evacuated from overseas areas.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Repatriation Program is to provide temporary assistance which is defined by legislation as money payments, medical care, temporary billeting (e.g. shelter), transportation, and other goods and services necessary for the health or welfare of individuals (including guidance, counseling, and other welfare services) furnished within the U.S. to repatriates who are referred or brought back to the U.S. by the U.S. Department of State, and who are determined eligible under Program regulations. This temporary assistance is given in the form of a loan and must be repaid to the U.S. Government. Repatriates sign repayment agreements in which they agree to repay all repatriation costs to the federal government.

Applicant Eligibility:

Social service organizations with expertise in mental health, child welfare, the criminal justice system, and emergency assistance.

Beneficiary Eligibility:

ORR authorized staff determines eligibility for citizens and their dependents who have been identified by the Department of State (DOS) as having returned, or been brought from a foreign country to the U.S. because of destitution, illness, war, threat of war, or a similar crisis, and are without resources

immediately accessible to meet their needs. In addition, U.S. nationals who are determined eligible by an authorized ORR staff in accordance to 211.3.

Credentials/Documentation:

Written statement from the Department of State containing information and documents required to establish eligibility under applicable HHS Repatriation Program regulations (e.g. proof of U.S. Citizenship, certificate of mental condition). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Grants are awarded through the Administration for Children and Families discretionary grants procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Project periods are for five years with one year budget periods.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for a five-year project period with annual budget periods. See the following for information on how assistance is awarded/released: Grantees draw down funds, as required, for reimbursement of expenditures from the HHS Payment Management System.

Reports:

Program reports are required quarterly; a final report is required 90 days after the end of the project period. No cash reports are required. Progress reports are required quarterly and ad-hoc. The progress reports include updates on individual cases and the status of payments and collections. The Federal Financial Report (SF-425) is required. There is ongoing desk monitoring of the grantee and yearly on-site monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The grantee must maintain records for three years after the conclusion of the project period. Before destroying cases, notice must be provided to designated Program Manager for applicable guidance.

Account Identification:

75-1501-0-1-609.

Obligations:

(Cooperative Agreements) FY 16 \$932,000; FY 17 est \$931,000; and FY 18 est \$1,000,000

Range and Average of Financial Assistance:

One Cooperative Agreement is awarded each year of the project period.

TAFS Codes:

75-1501.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: One Cooperative Agreement of \$932,000 was awarded.
Fiscal Year 2017: One Cooperative Agreement of \$931,000 will be awarded.
Fiscal Year 2018: One Cooperative Agreement of \$1,000,000 is anticipated to be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 U.S.C. 1313 - Assistance for United States Citizens Returned from Foreign Countries,
24 U.S.C. 321-329 - Hospitalization of Mentally Ill Nationals Returned from Foreign Countries.

Regulations found under 45 C.F.R. Part 212 and 45 C.F.R. Part 211

A fact sheet and other information is available from the Headquarters Office and at the website <http://www.acf.hhs.gov/programs/orr/programs/repatriation>

Regional or Local Office:

None.

Headquarters Office:

Elizabeth B. Russell Coordinator, HHS Repatriation Program and Refugee Emergency Programs
U.S. Department of Health and Human Services
Administration for Children & Families
Office of Refugee Resettlement
Mary E. Switzer Building, 330 C Street, SW
, Washington, District of Columbia 20201 Email:
Elizabeth.Russell@acf.hhs.gov Phone: (202) 401-4845 Fax: (202) 401-0981

Website Address:

<http://www.acf.hhs.gov/programs/orr>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria are included in the grant announcement.

93.581 IMPROVING THE CAPABILITY OF INDIAN TRIBAL GOVERNMENTS TO REGULATE ENVIRONMENTAL QUALITY
Environmental Regulatory Enhancement (ERE)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

The Native American Programs Act of 1974 (NAPA), as amended, Section 803(d), Public Law 93-644, 42 U.S.C 2991b(d).

OBJECTIVES:

The purpose of the Environmental Regulatory Enhancement (ERE) program is to provide funding for the costs of planning, developing, and implementing programs designed to improve the capability of tribal governing bodies to regulate environmental quality pursuant to federal and tribal environmental laws. The ERE program supports the principle that projects must follow tribal cultural preservation and natural resource management priorities in order to achieve environmentally healthy, sustainable Native American and Alaska Native communities. The Administration for Native Americans (ANA) is therefore interested in supporting locally designed projects that strengthen tribal environmental regulatory programs in a manner consistent with the goals of native communities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for: providing training and education to employees responsible for enforcing or monitoring compliance with environmental quality

laws; developing tribal laws, regulations, and ordinances to protect the environment; enforcing and monitoring environmental quality laws, regulations, and ordinances; establishing baseline condition for regulatory purposes; informing the community about regulations and environmental stewardship; building the technical and program capability of the tribe or organization to perform essential environmental program functions to meet tribal and federal regulatory requirements; and establishing demonstration projects to exhibit technologies, which can lead to compliance with environmental regulations. This is a discretionary grant program. Funding cannot be used for the following purpose or activities: purchase of real property; costs of organized fundraising, reimbursement of pre-award costs, and activities that qualify as major renovations and alterations. Further details regarding ineligible applicants and activities can be found in the ANA regulations at 45 CFR 1336.33. In addition, activities in support of any foreseeable litigation against the United States Government are unallowable per 45 CFR 75.435.

Applicant Eligibility:

Eligible applicants include, federally recognized Indian tribes; consortia of Indian tribes; incorporated non-federally recognized tribes; incorporated state-recognized tribes; Alaska Native villages, as defined in the Alaska Native Claims Settlement Act (ANCSA) and/or non-profit village consortia; non-profit Alaska Native regional corporation/associations in Alaska with village specific projects; other tribal or village organizations or consortia of Indian tribes; and Tribal governing bodies (IRA or traditional councils) as recognized by the Bureau of Indian Affairs.

Beneficiary Eligibility:

Federally recognized Indian tribes; Consortia of Indian tribes; Incorporated non-federally recognized tribes; Incorporated state-recognized tribes; Alaska Native villages, as defined in the Alaska Native Claims Settlement Act (ANCSA) and/or non-profit village consortia; Non-profit Alaska Native Regional Corporation/Associations in Alaska with village specific projects; Other tribal or village organizations or consortia of Indian tribes; and Tribal governing bodies (IRA or traditional councils) as recognized by the Bureau of Indian Affairs.

Credentials/Documentation:

Non-profit organizations must submit proof of non-profit status. For applicants that are not Tribes or Native Alaska villages, organizations applying for funding must show that a majority of board members are representative of a Native American community to be served. Applicants must submit documentation that identifies each board member by name and indicates his/her affiliation or relationship to at least one of ANAs four categories of community representation, which include: (1) members of federally or state-recognized tribes; (2) persons eligible to be a participant in, or beneficiary of, the project to be funded; (3) persons who are recognized by members of the eligible Native American community to be served as having a cultural relationship with that community; or (4) persons considered to be Native American as defined in 45 CFR 1336.10 and Native American Pacific Islanders as defined in Section 815 of the Native American Programs Act. Applicants that do not include this documentation will be considered non-responsive, and the application will not be considered for competition. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pursuant to 45 CFR 1336.35, all applicants must submit documentation demonstrating that the governing body of the organization on whose behalf the application is submitted approves the applications submission to ANA for the current grant competition period. Please see the Funding Opportunity Announcements for more information. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. ACF requires electronic submission of applications at www.Grants.gov. Paper applications received from applicants that have not been approved for an exemption from required electronic submission will be disqualified from competitive review. Applicants that do not have an Internet connection or sufficient computing

capacity to upload large documents to the Internet may contact ACF for an exemption that will allow the applicant to submit applications in paper format. See FOA for detailed information. For applicants that have received a waiver to submit applications in paper format, Standard Forms, assurances, and certifications are available at the ACF Funding Opportunities Forms webpage at <http://www.acf.hhs.gov/grants-forms>.

All applicants must submit documentation demonstrating that the governing body of the organization on whose behalf the application is submitted approves the applications submission to ANA for the current grant competition period. Please see the funding opportunity announcement for more information. Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Award Procedure:

All funds are awarded directly to the grantees.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applicants will receive notice of approval/disapproval approximately 120 days after receipt of application.

Appeals:

Appeals are only available upon a finding of ineligibility for funding and are subject to ANA regulations at 45 CFR 1336.35 and HHS regulations in 45 CFR 16.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. The 20% match (which may include cash or property from a Federal agency other than ANA) is required unless waived in accordance with criteria published in 45 CFR 1336.50. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grantees may apply for non-competitive continuation support within a project period. See the following for information on how assistance is awarded/released: Annually.

Reports:

Program reports are not applicable. Cash reports are not applicable. ANA grantees are required to report project progress using the Objective Progress Report (OPR) (OMB No. 0970-0452, expiration date 06/30/2018) and the SF-425 on a semi-annual basis which are submitted electronically. In addition, grantees will be required to submit an Annual Data Report (ADR) (OMB No. 0970-0475, expiration date 03/31/2019) to report project data once a year and at the end of the project period. The SF-425 is also required to be submitted annually and at the end of the project period. ANA reviews grantee semiannual and annual reports to determine whether the grantee is meeting its project goal and objectives and completing activities identified in the Objective Work Plan (OWP) as well as to evaluate project effectiveness. If progress concerns are identified, ANA may require quarterly reports. In addition, ANA is required to describe and measure the impact of funded projects, their effectiveness in achieving stated goals, their impact on related programs, and when feasible, to obtain the views of persons participating in and served by funded projects. ANA carries out this requirement through review of grantee submitted reports and through the use of structured on-site interviews using a data collection tool (OMB No. 0970-0379, expiration date 07/31/2019). The Federal Financial Report (FFR), also known as SF-425, is required to be submitted on a semi-annual basis. ANA reviews grantee semiannual and annual reports to determine whether the grantee is meeting its goal and objectives and completing activities identified in the OWP. If progress concerns are identified, ANA may require quarterly reports. In addition, ANA is required to describe and measure the impact of funded projects, their effectiveness in achieving stated goals, their impact on related programs, and when feasible, to obtain the views of persons participating in and served by funded projects. ANA carries

out this requirement through review of grantee-submitted reports and through the use of structured on-site interviews using a data collection tool (OMB No. 0970-0379, expiration date 07/31/2019).

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents and all other related records pertinent to ANA grants must be maintained for a period of 3 years. If an audit is not completed by the end of 3-year period, or if audit findings have not been resolved, records shall be retained until resolution of the audit findings.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 16 \$1,478,270; FY 17 est \$1,585,530; and FY 18 est \$1,585,530

Range and Average of Financial Assistance:

\$100,000 - \$300,000
Average = \$182,000 per budget period.

TAFS Codes:

75-7-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: ANA awarded 4 ERE projects, with 5 ERE continuations.
Fiscal Year 2017: In FY 2017 it is projected that 8 continuations and 4 new awards for a total of 12 ERE grants will be made. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

All ANA regulations are published in 45 CFR 1336.

Regional or Local Office:

None.

Headquarters Office:

Carmelia A. Strickland Administration for Native Americans
Administration for Children and Families
Department of Health and Human Services
Mary E. Switzer Building
330 C Street SW, Washington, District of Columbia 20024 Email:
carmelia.strickland@acf.hhs.gov Phone: (877) 922-9262

Website Address:

<http://www.acf.hhs.gov/programs/ana/>.

RELATED PROGRAMS:

66.926 Indian Environmental General Assistance Program (GAP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Grantee: Confederated Tribes of Grand Ronde
Project Description: Creating an inventory of Coho salmon, Steelhead and Pacific Lamprey, and Black-tailed deer in order to manage resources through Tribal hunting and fishing regulations.
Location: Grand Ronde, OR
Project Period: 9/30/2015 - 9/29/2018
FY 2016 Award: \$103,828. Fiscal Year 2017: ANA intends to fund similar projects. Fiscal Year 2018: ANA intends to fund similar projects.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each Funding Opportunity Announcement. In general, proposals are judged on the basis of relevance to program objectives as stated in the Funding Opportunity Announcement, project viability, community support, reasonable cost estimates, and qualifications of applicant organization and personnel.

93.583 REFUGEE AND ENTRANT ASSISTANCE WILSON/FISH PROGRAM

Wilson-Fish Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Refugee Act of 1980, Section 412, Public Law 96-212, 94 Stat. 111, 8 U.S.C 1522; Refugee Education Assistance Act of 1982, Title V, Public Law 96-422, 94 Stat. 1809, 8 U.S.C 1522; Consolidated Appropriations Act, 2008, Public Law 110-161, 121 Stat. 2212; Victims of Trafficking and Violence Protection Act of 2000, Public Law 106-386; Defense Authorization Act, 2008, Public Law 110-181, 122 Stat. 398.

OBJECTIVES:

To develop alternative projects which promote early employment of refugees, certain Amerasians from Viet Nam, Cuban and Haitian entrants, asylees, certified victims of a severe form of trafficking, and special immigrants from Iraq and Afghanistan. Wilson-Fish grantees may develop innovative approaches for the provision of refugee cash and medical assistance, otherwise available through the State-administered program. The purpose of the Wilson-Fish program is to provide integrated assistance (cash and medical) and services (employment, case management, English as a Second Language/ESL and other social services) in order to increase refugees' prospects for early employment and self-sufficiency, reduce their level of welfare dependence, and promote coordination among services providers. Additionally, the Wilson-Fish program enables refugee assistance programs to exist in every State where refugees are resettled.

Wilson-Fish projects comprise two types of projects. (1) Nine projects currently establish or maintain a refugee program in a State where the State is not participating in the refugee program or has withdrawn from the refugee program or a portion of the program. (Alabama, Alaska, Idaho, Kentucky, Louisiana, Nevada, North Dakota, South Dakota, and Tennessee). (2) Four projects currently provide an alternative to the existing system of assistance and services to refugees (Colorado, Massachusetts, Vermont and San Diego).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To be eligible for Wilson-Fish funded programs and services, grantees must ensure refugees meet all requirements of 45 C.F.R. 400.43, "Requirements for documentation of refugee status". Eligibility for refugee program services and assistance also includes: Asylees, Cuban Haitian Entrants; Certain Amerasians from Vietnam; Victims of Severe Forms of Trafficking; Special Immigrant Visa Holders.

The scope of services available to refugees from Wilson-Fish grantees is similar to that of the State-Administered Refugee Resettlement Programs, which in turn is similar to regular domestic public assistance programs.

Applicant Eligibility:

Beginning in FY2015/2016, funding under this program is open only to those agencies that currently administer a Wilson-Fish program. ORR requires that appropriate proposals and applications are submitted and that a determination is made that the grantees are the ones that can best perform the services in accordance with 8 U.S.C. 1522(a)(4)(A).

Wilson-Fish grantees include States, voluntary resettlement agencies (local and national), and a private non-profit agency that oversees a local voluntary resettlement agency administer 12 state-wide Wilson-Fish programs in the following States: Alabama, Alaska, Colorado, Idaho, Kentucky, Louisiana, Massachusetts, Nevada, North Dakota, South Dakota, Tennessee and Vermont, plus one county-wide program in San Diego County, California.

No separate funding is appropriated for Wilson-Fish demonstration projects. Funds are drawn instead from funds earmarked for refugee cash and medical assistance grants and social services allocations for the State-administered program (93.566) according to ORR projections.

Beneficiary Eligibility:

Refugees, certain Amerasian immigrants, Cuban/Haitian entrants, asylees,

victims of a severe of trafficking, and Iraqi and Afghan Special Immigrants are eligible for services and assistance through funded projects in a community. Cash assistance is transitional for up to 8 months; services may be provided for up to five years.

Credentials/Documentation:

Proof of immigration status or a certification or eligibility letter issued by HHS/ORR for victims of a severe form of trafficking. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Awards are granted through a sole source process, as specified in the program announcements. Each agency desiring to respond to a program announcement is required to submit an application. Applications are reviewed and evaluated pursuant to criteria specified in the program announcement. It is expected that ORR will continue to provide awards to the same grantees for a 4-year project period with 12-month budget periods. The grantees will be required to submit applications for noncompetitive awards in the subsequent years during the project period. Future awards will be based on the grantees performance, the availability of funds, and the best interest of the Federal Government.

Award Procedure:

Eligible applicants submit an application to HHS. Subsequent to review by ORR, the Director of ORR makes the funding decision on all grant awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Contingent upon funding availability, grantee performance, and the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are made available for this program for one-year budget period. The project period is up to four years. Funds are awarded incrementally within each budget period. If refugee arrivals and refugee cash and medical assistance recipients exceed those that were estimated at the time of application, a grantee can submit a request for supplemental funding to meet the unanticipated need for refugee cash and medical assistance. See the following for information on how assistance is awarded/released: Incremental funding.

Reports:

Program Performance reports summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are required every four months. Cash reports are not applicable. No progress reports are required. Financial reports are required on a quarterly basis. During the monitoring visits, a representative collection of case files are reviewed to ensure clients are receiving appropriate services. Specifically, the ORR WF team reviews client, legal, financial, categorical and time eligibility, the Family self-sufficiency plan, receipt of cash and if applicable medical assistance, case management and employment service and outcomes. Also the ORR WF team interviews agency staff and clients to ensure that information gleaned from the case-file, agency staff and clients corroborates with one another. In addition to program monitoring quarterly performance reports, Federal Financial Reports (ORR-2) and Annual goal plans are reviewed to ensure W-F agencies are spending funds

appropriately and are performing in a manner consistent with the approved application and cooperative agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant recipients are required to keep all financial, business and program reports necessary for program review and audit for the project period and for 3 years following the end of the project period to ensure that funds have been expended in accordance with the regulations, grant terms, and conditions of the program.

Account Identification:

75-1503-0-1-609.

Obligations:

(Cooperative Agreements) FY 16 \$32,000,000; FY 17 est \$42,500,000; and FY 18 est \$20,000,000

Range and Average of Financial Assistance:

Awards range from \$414,037 to \$8,299,523.

TAFS Codes:

75-1503.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, 13 Awards were issued. Fiscal Year 2017: In FY 2017, it is expected that 13 Awards will be issued. Fiscal Year 2018: In FY 2018, it is expected that 13 Awards will be issued.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Wilson-Fish Program guidelines can be found on the ORR website. All inquiries should be directed to the Information Contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Colleen Mahar-Piersma Mary E. Switzer Building, 330 C Street SW., Washington, District of Columbia 20201 Email: colleen.mahar-piersma@acf.hhs.gov Phone: 202-205-5266 Fax: 202-205-5888

Website Address:

<http://www.acf.hhs.gov/programs/orr>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.567 Refugee and Entrant Assistance_Voluntary Agency Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.584 REFUGEE AND ENTRANT ASSISTANCE TARGETED ASSISTANCE GRANTS

Targeted Assistance Grants Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Refugee Act of 1980, Section 412, Public Law 96-212, 94 Stat. 111, 8 U.S.C 1522; Refugee Education Assistance Act of 1980, Public Law 96-422, 8 U.S.C 1522 note; Victims of Trafficking Violence and Protection Act of 2000, Public Law 106-386; Consolidated Appropriations Act of 2008, Public Law 110-161; Defense Authorization Act of 2008, Public Law 110-181; Department of Defense Appropriations Act of 2010, 123 Stat. 3409.

OBJECTIVES:

The Targeted Assistance Grant program provides funding for employment-related and other social services for refugees, certain Amerasians from Vietnam, Cuban and Haitian Entrants, asylees, victims of a severe form of trafficking, and Iraqi and Afghan Special Immigrants in areas with large refugee populations. An arrival must be within five years of arriving in this country or grant of asylum to be eligible for services under these grants.

TYPES OF ASSISTANCE:**FORMULA GRANTS****USES AND USE RESTRICTIONS:**

Assistance may be used for employment-related and other social services for refugees, certain Amerasians, Cuban and Haitian entrants, asylees, victims of a severe form of trafficking, and Afghan and Iraqi Special Immigrants.

Applicant Eligibility:

State agencies, State Replacement Designees, and Wilson/Fish Alternative projects are eligible to receive funding for assistance to counties and Targeted Assistance Areas where, because of factors such as large refugee population, there exists a need for supplementation of available resources for services to refugees.

Beneficiary Eligibility:

Refugees, certain Amerasians, Cuban and Haitian Entrants, asylees, victims of a severe form of trafficking, and Iraqi and Afghan Special Immigrants. Potential beneficiaries must be within five years of arrival in this country or grant of asylum to be eligible for services.

Credentials/Documentation:

Documentation on immigration status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Grants are made to State agencies on behalf of qualified counties. Counties are requalified for Targeted Assistance grants every three years based on the number of arrivals in a county. Current program will end with FY 2017 due to Presidents FY 2018 budget request.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Grants were issued for a three-year project period with annual budget periods. A one-year extension project period began in FY 2017. Counties and Targeted Assistance Areas that qualified in FY 2014 to FY2016 will continue to qualify for Targeted Assistance grants through FY 2017.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are awarded on a yearly basis and must be obligated by the end of the fiscal year following the year of award. Method of awarding/releasing assistance: lump sum.

Reports:

Trimester program performance reports (ORR-6). Cash reports are not

applicable. No progress reports are required. Quarterly expenditure reports (SF-425) are required. ORR on-site monitoring teams review with grantee staff the eligibility and documentation requirements for this program and verify the maintenance of accurate and up-to-date client files. ORR staff also monitors State financial, aggregate services, and case level reports. Cash reports are not applicable. No progress reports are required. Quarterly expenditure reports (SF-425) are required. ORR on-site monitoring teams review with grantee staff the eligibility and documentation requirements for this program and verify the maintenance of accurate and up-to-date client files. ORR staff also monitor State financial, aggregate services, and case level reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The grantee must maintain financial and program records for three years after the grantee submits the last expenditure report for the funding period.

Account Identification:

75-1503-0-1-609.

Obligations:

(Formula Grants) FY 16 \$47,872,000; FY 17 est \$42,840,000; and FY 18 est \$0

Range and Average of Financial Assistance:

In FY 2016, Awards ranged from \$96,559 to \$16,056,829. The average award was \$1,292,997.

TAFS Codes:

75-1503.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2016: 37 TAG Grants were awarded in FY 2016. Fiscal Year 2017: Fiscal Year 2017: It is estimated that there will be 42 TAG Grants awarded in FY 2017. Fiscal Year 2018: Fiscal Year 2018: It is estimated that there will be 0 TAG Grants awarded in FY 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Subpart L - 400.310 to 400.319.

Regional or Local Office:

None.

Headquarters Office:

Carl Rubenstein Mary E. Switzer Building
330 C Street SW, Washington, District of Columbia 20201 Email:
carl.rubenstein@acf.hhs.gov Phone: (202) 205-5933 Fax: (202) 205-5888

Website Address:

<http://www.acf.hhs.gov/programs/orr>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.567 Refugee and Entrant Assistance_Voluntary Agency Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.583 Refugee and Entrant Assistance_Wilson/Fish Program; 93.598 Services to Victims of a Severe Form of Trafficking

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.586 STATE COURT IMPROVEMENT PROGRAM

State and Tribal Court Improvement Programs

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV-B, Part 2, Section 438.

OBJECTIVES:

The program provides three grant opportunities to state courts to improve court efficiency and the quality of legal representation; a basic grant for assessment work; a grant for data collection and analysis; and a grant to increase training of court personnel, including cross training with agency staff. The program provides state courts flexibility to design assessments which identify barriers to timely and effective decision-making, highlight practices which are not fully successful, examine areas they find to be in need of correction or added attention, and then implement reforms which address the state courts specific needs. State courts are required to collaborate with the state child welfare agency and tribes in this work. The program also provides \$1,000,000 annually for a Tribal Court Improvement Program.

TYPES OF ASSISTANCE:

FORMULA GRANTS; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants under the State Court Improvement Program may be used for assessment and improvement activities, broadly defined, of the child welfare functions of a court system to promote continuous quality improvement with respect to: due process; timeliness and quality of court hearings; quality legal representation; engagement of the entire family in court processes; improved case tracking and analysis of child welfare cases; data collection, analysis and sharing; and training of legal and judicial personnel in child welfare cases, including cross-training with child welfare agency staff and contractors.

Grants under the Tribal Court Improvement Program may be used to conduct assessments of how tribal courts handle child welfare proceedings and to make improvements to court processes to provide for the safety, permanency, and well-being of children, enhance engagement of the entire family in court processes, and provide for training of judges, attorneys, and legal personnel in child welfare cases.

Applicant Eligibility:

The highest state courts in each of the 50 states, the District of Columbia, Puerto Rico and the U.S. Virgin Islands are eligible to apply for funding. The term "highest state court" means the judicial tribunal which is the ultimate court of appeals in the state.

Tribal courts or tribal governments of federally recognized Tribes may apply for grants. To be eligible, a tribe must be operating an approved title IV-E program in accordance with section 479B of the Social Security Act (the Act); or plan to operate a title IV-E program and have received a title IV-E plan development grant, as authorized by section 476 of the Act; or have a court responsible for proceedings related to foster care or adoption (section 438(c)(3)(A)(iv) of the Act).

Beneficiary Eligibility:

Families and children who are served by state and tribal courts in proceedings related to foster care, guardianship and adoption.

Credentials/Documentation:

All state courts which submit applications as per instructions released by the Children's Bureau, Administration on Children, Youth, and Families (ACYF) are eligible to receive Court Improvement Program grant funds.

Tribal Court Improvement Program grants are discretionary and will be awarded on a competitive basis to federally recognized tribes. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Program instructions can be obtained from the Children's Bureau, ACYF and the appropriate Health and Human Services (HHS) Regional Offices. Funding opportunity announcements for the tribal program are published on www.grants.gov

website as available.

Award Procedure:

Awards to approved applicants will be made from the Administration for Children and Families, Office of Administration, Office of Grants Management.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Federal approval time will be between 30 and 120 days.

Appeals:

Appeals are processed in accordance with HHS Departmental regulations at 45 CFR Part 16.

Renewals:

Renewal applications will be accepted every four years for the State Court Improvement Program with the option to apply annually for any grant not previously received. The Tribal Court Improvement Program will be recompeted after 36 months, by the Children's Bureau.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Percent: 25%. Each state court with an approved application is allotted \$85,000. In addition to this base amount, the remainder of the amount appropriated for all state courts will be divided among those courts with approved applications according to each state's proportionate share of children under the age of 21. If any state courts do not apply for their share of these funds, the unclaimed amount will be reallocated each year to all other state courts with approved applications. Non-federal share (or match) is required at the rate of 25 percent of the total budget. The same formula and match requirements apply to each of the three CIP grants.

This program does not have MOE requirements. There is no match requirement for the tribal Court Improvement Program.

Length and Time Phasing of Assistance:

The Treasury will provide funds for this program. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual self-assessment reports are due on June 30th. No cash reports are required. No progress reports are required. Fiscal reports are required annually and are due 90 days after the close of the grant year. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be retained at least 3 years. Records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-1512-0-1-506.

Obligations:

(Formula Grants) FY 16 \$27,960,000; FY 17 est \$30,000,000; and FY 18 est \$30,000,000. (Project Grants (Discretionary)) FY 16 Not Available; FY 17 est \$930,000; and FY 18 est \$1,000,000

Range and Average of Financial Assistance:

FY 2016: State grant awards ranged from \$91,753 to \$687,973 with an average of \$173,256.

FY 2016: Tribal grant awards ranged from \$32,282 to \$140,000 with an average of \$103,555.

TAFS Codes:

75-1512.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 165 grants were awarded. Fiscal Year 2017: It is anticipated that between formula and discretionary grants, a total of 163 grants will be awarded. Fiscal Year 2018: It is anticipated that between formula and discretionary grants, a total of 163 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACYF-CB-PI-16-05, issued October 27, 2016. The Court Improvement Program is not regulated.

Regional or Local Office:

See Regional Agency Offices. Please contact the ACF branch of the appropriate Regional Office. (See Appendix IV of the Catalog.)

Headquarters Office:

David Kelly 330 C Street, SW, Room 3508A, Washington, District of Columbia 20201 Email: david.kelly@acf.hhs.gov Phone: (202) 205-8709.

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.643 Children's Justice Grants to States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.587 PROMOTE THE SURVIVAL AND CONTINUING VITALITY OF NATIVE AMERICAN LANGUAGES

Native American Language Preservation and Maintenance (P&M) and the Esther Martinez Immersion (EMI)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

The Native American Programs Act of 1974, as amended by the Esther Martinez Native American Languages Preservation Act of 2006, as amended, Section 803(a) and (c) , Public Law 93-644, 42 U.S.C 2991b-3.

OBJECTIVES:

To provide financial assistance to eligible applicants for the purpose of promoting the survival and continued vitality of native languages. This program area is divided into two categories: (1) Native Language Preservation and Maintenance and (2) Esther Martinez Immersion. The Language Preservation and Maintenance Grants are 12, 24 or 36-month projects that enable communities to conduct a broad range of projects including teacher credentialing, language instruction, language resource developments, language assessments, and other projects that support the communities long-term language preservation goals. The Esther Martinez Initiative Grants are 36-month projects that will be awarded to applicants that meet the statutory requirements for immersion projects with language nests or language survival schools in accordance with P.L. 109-394.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant awards under this program are for projects that contribute to the social development and self-sufficiency of native communities through the preservation and maintenance of Native American languages. ANA recognizes that applicants have varying levels of capacity and knowledge for language preservation and maintenance techniques and programs. Funds may be used for activities related to the preservation of Native American languages including: collecting and organizing data to assess a community's Native American language status; planning and implementing an immersion, master/apprentice, or distance learning model; training and certifying teachers, interpreters, or translators in a Native American language; developing, printing, and disseminating materials to be used for the teaching and enhancement of a Native American language. This is a discretionary grant program. Funding cannot be used for the following purpose or activities: purchase of real

property, costs of organized fund raising, including financial campaigns, endowment drives, solicitation of gifts and bequests, similar expenses incurred solely to raise capital or obtain contributions, reimbursement of pre-award costs, and activities that qualify as major renovations and alterations. Further details regarding ineligible applicants and activities can be found in the ANA regulations at 45 CFR 1336.33. In addition, activities in support of any foreseeable litigation against the United States Government are unallowable per 45 CFR 75.435.

Applicant Eligibility:

Federally-recognized Indian Tribes, as recognized by the Bureau of Indian Affairs,
Incorporated non-federally recognized Tribes
Incorporated state-recognized Indian Tribes
Consortia of Indian Tribes
Incorporated nonprofit multi-purpose community-based Indian organizations
Urban Indian Centers
Alaska Native villages as defined in the Alaska Native Claims Settlement Act (ANSCA) and/or nonprofit village consortia
Nonprofit native organizations in Alaska with village specific projects
Incorporated non-profit Alaska Native multi-purpose, community-based organizations
Non-profit Alaska Native Regional Corporations/Associations in Alaska with village-specific projects
Non-profit Alaska Native community entities or tribal governing bodies (Indian Reorganization Act or Traditional Councils) as recognized by the Bureau of Indian Affairs,
Public and nonprofit private agencies serving Native Hawaiians,
National or regional incorporated nonprofit Native American organizations with Native American community-specific objectives,
Public and nonprofit private agencies serving native peoples from Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands, Tribal Colleges and Universities, and colleges and universities located in Hawaii, Guam, American Samoa, or the Tribal Colleges and Universities, and colleges and universities located in Hawaii, Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands which serve Native American Pacific Islanders.

Beneficiary Eligibility:

American Indians, Alaska Natives, Native Hawaiians, and Native American Pacific Islanders will benefit.

Credentials/Documentation:

Non-profit organizations must submit proof of non-profit status. For applicants that are not Tribes or Native Alaska villages, organizations applying for funding must show that a majority of board members are representative of a Native American community to be served. Applicants must submit documentation that identifies each board member by name and indicates his/her affiliation or relationship to at least one of ANAs four categories of community representation, which include: (1) members of federally or state-recognized tribes; (2) persons eligible to be a participant in, or beneficiary of, the project to be funded; (3) persons who are recognized by members of the eligible Native American community to be served as having a cultural relationship with that community; or (4) persons considered to be Native American as defined in 45 CFR 1336.10 and Native American Pacific Islanders as defined in Section 815 of the Native American Programs Act. Applicants that do not include this documentation will be considered non-responsive, and the application will not be considered for competition. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All applicants must submit documentation demonstrating that the governing body of the organization on whose behalf the application is submitted approves the applications submission to ANA for the current grant competition period. Please see the funding opportunity announcement for more information. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. ACF requires

electronic submission of applications at www.Grants.gov. Paper applications received from applicants that have not been approved for an exemption from required electronic submission will be disqualified from competitive review. Applicants that do not have an Internet connection or sufficient computing capacity to upload large documents to the Internet may contact ACF for an exemption that will allow the applicant to submit applications in paper format. See FOA for detailed information. For applicants that have received a waiver, Standard Forms, assurances, and certifications are available at the ACF Funding Opportunities Forms webpage at <http://www.acf.hhs.gov/grants-forms>.

Award Procedure:

All funds are awarded directly to the grantees.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applicants will receive notice of approval/disapproval approximately 120 days after receipt of application.

Appeals:

Appeals are only available upon a finding of ineligibility for funding and are subject to ANA regulations at 45 CFR 1336.35 and HHS regulations in 45 CFR 16.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. The 20% match is required unless waived in accordance with criteria published in 45 CFR 1336.50. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grantees may apply for non-competitive continuation support within a project period. Preservation and Maintenance projects can be 12, 24 or 36 months and Esther Martinez Immersion projects are 36-month grants. See the following for information on how assistance is awarded/released: Annually.

Reports:

No program reports are required. Cash reports are not applicable. ANA grantees are required to report project progress semi-annual using the Objective Progress Report (OPR) in the GrantSolutions system and report financial information using the SF-425 form. Financial Status reports (SF-425) are required semi-annual. ANA grantees are required to report project progress using the Objective Progress Report (OPR) (OMB No. 0970-0452, expiration date 06/30/2018) and the SF-425 on a semi-annual basis which are submitted electronically. In addition, grantees will be required to submit an Annual Data Report (ADR) (OMB No. 0970-0475, expiration date 03/31/2019) to report project data once a year and at the end of the project period. The SF-425 is also required to be submitted annually and at the end of the project period. ANA reviews grantee semiannual and annual reports to determine whether the grantee is meeting its project goal and objectives and completing activities identified in the Objective Work Plan (OWP) as well as to evaluate project effectiveness. If progress concerns are identified, ANA may require quarterly reports. In addition, ANA is required to describe and measure the impact of funded projects, their effectiveness in achieving stated goals, their impact on related programs, and when feasible, to obtain the views of persons participating in and served by funded projects. ANA carries out this requirement through review of grantee submitted reports and through the use of structured on-site interviews using a data collection tool (OMB No. 0970-0379, expiration date 07/31/2019).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents and all other related records pertinent to ANA grants must be maintained for a period of 3 years. If an audit is not completed by the end of 3-year period, or if audit findings have not been resolved, records shall be retained until resolution of the audit findings.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 16 \$12,377,590; FY 17 est \$10,923,730; and FY 18 est \$10,923,730

Range and Average of Financial Assistance:

\$100,000-\$300,000. Average per Budget Period: \$278,000.

TAFS Codes:

75-17-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 52 grants were awarded. In FY 2016 ANA funded 15 new awards (10 Preservation & Maintenance and 5 Esther Martinez Immersion), with 37 continuations. Fiscal Year 2017: 28 grants are estimated to be awarded. In FY 2017, ANA plans to fund 10 new awards (Seven Preservation & Maintenance and three Esther Martinez Immersion), and 18 continuations awards. Fiscal Year 2018: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

ANA regulations are published in 45 CFR 1336.

Regional or Local Office:

None.

Headquarters Office:

Carmelia Strickland Administration for Native Americans
Administration for Children and Families
Department of Health and Human Services
330 C. St, SW
Switzer Building
Mailstop 4126, Washington, District of Columbia 20201 Email:
carmelia.strickland@acf.hhs.gov Phone: (877) 922-9262

Website Address:

<http://www.acf.hhs.gov/programs/ana>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Preservation and Maintenance
Grantee: Sealaska Heritage Institute
Project: Haa Shuka: Voices of our Ancestors through Our Elders, Current & Future Speakers
Location: Juneau, Alaska
Description:
The goal of Haa Shukis is to increase Ling, Xaad K, and Sm'algyax apprentice proficiency by one sub-level. The larger community goal is to increase the overall strength and vitality of their Heritage languages. The organization is increasing the proficiency of language apprentices written and verbal language skills through Community Language Learner teams, community language sessions, listening to audio/visual recordings, and intensive immersion.

Esther Martinez Immersion (EMI)
Grantee: Wopanaak Language and Cultural Weetyoo, Inc.
Project: Mukayuhsak Weekuw: The Children's House, a Wopanaak-Montessori
Location: Mashpee, Massachusetts
Description:
The project goal is to provide full-time language immersion through a Montessori-based language immersion school for students ages 7 and younger. The project will train and certify four individuals in the Montessori Method to become full-time Montessori teachers, and also pilot and grow the immersion school in accepting up to at least 35 students in Grades Pre-K through 1. Fiscal Year 2017: ANA intends to fund similar projects in FY 2017. Fiscal Year 2018: ANA intends to fund similar projects in FY 2018.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each funding opportunity announcement. In general, proposals are evaluated on the basis of relevance to program objectives as stated in the funding opportunity announcement, project viability, community support, reasonable cost estimates, and qualifications of applicant organization and personnel.

**93.590 COMMUNITY-BASED CHILD ABUSE PREVENTION GRANTS
FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Child Abuse Prevention and Treatment Act (CAPTA), as amended by P.L.111-320, enacted on December 20, 2010., 42 U.S.C 5116 et.seq.

OBJECTIVES:

(1) To support community-based efforts to develop, operate, expand, and enhance, and coordinate initiatives, programs, and activities to prevent child abuse and neglect and to support the coordination of resources and activities to better strengthen and support families to reduce the likelihood of child abuse and neglect; and (2) to foster understanding, appreciation and knowledge of diverse populations in order to effectively prevent and treat child abuse and neglect.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are used to develop, operate, expand, and enhance community-based, prevention focused programs and activities designed to strengthen and support families to prevent child abuse and neglect. One percent is set aside for discretionary grants to migrant and tribal populations and an additional amount to fund a national resource center to provide training and technical assistance to state lead agencies. To receive funds, states must meet eligibility requirements stated in the authorizing legislation.

Applicant Eligibility:

States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands are eligible. Before a state can apply, the Governor must designate a lead entity to administer the funds for the implementation of community-based child abuse and neglect prevention programs and activities. Tribes may participate through application for grants made available by a 1% (of the entire CBCAP apportionment, of both mandatory and discretionary funds) annual funds set aside, legislated for tribes, tribal organizations and migrant programs. These are discretionary competitive grants, awarded every five years. Complete information regarding these grants may be found on the Federal website, www.grants.gov. Should you wish to speak to someone about this set-aside, see the contact information provided here in section 152.

Beneficiary Eligibility:

There are no eligibility requirements. Beneficiaries, which include children and their families, are organizations dealing with community-based, prevention focused programs and activities designed to prevent child abuse and neglect.

Credentials/Documentation:

Applications require certification and/or documentation that the state meets the eligibility requirements described in the Act, requiring that: (1) the Governor of the State has designated a lead entity to administer funds under this title for the purposes identified under the authority of this title; (2) the Governor of the State has provided assurances that the lead entity will provide, or be responsible for providing, community-based, prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect; composed of local, collaborative, public-private partnerships directed by interdisciplinary structures with balanced representation from public and private sector members, parents, and public and private nonprofit service providers, individuals and organizations experienced in working in partnership with families with children with disabilities; and (3) the Governor of the State has provided additional assurances that the lead entity has demonstrated certain capacities that ensure the state's ability to meet the purposes of this authority. Applicable costs and administrative procedures will be determined in accordance with 45 CFR 74 and 92. 2 CFR 200, Subpart E - Cost Principles

applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States and territories respond to an annual Program Instruction (PI) which announces availability of funds. Applications must include assurance and information required in the PI, as well as completed forms from the PI.

Award Procedure:

Applications are reviewed against all eligibility requirements at the headquarters level. Approval/disapproval is decided by the Commissioner of the Administration on Children, Youth and Families (ACYF).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines will be provided in the annual Program Instruction (PI). Contact the headquarters office listed below for future deadlines.

Appeals:

Appeals are processed in accordance with Health and Human Services (HHS) regulations in 45 CFR, part 16.

Renewals:

Grants are made annually. A new application is required each year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: States that meet all of the eligibility requirements will be awarded funds based on the following statutory formula: (a) 70 percent of the total amount appropriated is allotted among the states based on the number of children under the age of 18 in each such state, except that each state shall receive not less than \$175,000; and (b) the remaining 30 percent of the total amount appropriated is allotted in an amount that bears the same proportion to such amount appropriated as the amount leveraged by the state from private, state, or other non-federal sources, and directed through the state lead agency in the preceding fiscal year bears to the aggregate of the amount leveraged by all states from private, state, or other non-federal sources, and directed through the lead agency of such states in the preceding fiscal year. There is also a required 20 percent state match.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded by the Director of the Office of Mandatory Grants to the state on an annual basis, as described by the formula information Section.
Method of awarding/releasing assistance: lump sum.

Reports:

Financial and program progress reporting requirements are described in Program Instructions. A state receiving a grant under this title, through reports provided to the Commissioner of the Administration on Children, Youth and Families, should include the following:

- 1) Community-based child abuse and neglect prevention programs and activities designed to strengthen and support families to prevent child abuse and neglect (through networks where appropriate) composed of local, collaborative, public-private partnerships directed by interdisciplinary structures with balanced representation from private and public sector members, parents, adult former victims of child abuse and neglect, and public and private nonprofit service providers and individuals and organizations experienced in working in partnership with families with children with disabilities (section 202(2)(A));
- 2) Direction to an interdisciplinary, collaborative, public-private structure with balanced representation from private and public sector members, parents, adult former victims of child abuse and neglect, public sector and private nonprofit

sector service providers, and parents with disabilities (section 202(2)(B));
3) Direction and oversight through identified goals and objectives, clear lines of communication and accountability, the provision of leveraged or combined funding from Federal, state and private sources, centralized assessment and planning activities, the provision of training, technical assistance, evaluation assistance and reporting and evaluation functions (section 202(2)(C));

4) A demonstrated commitment to parental participation in the development, operation and oversight of the community-based child abuse and neglect prevention programs and activities designed to strengthen and support families to prevent child abuse and neglect (section 202(3)(A));

5) A comprehensive strategy to provide a continuum of preventive, family-centered, comprehensive services for children and families, especially to young parents, to parents with young children and to parents who are adult former victims of domestic violence or child abuse and neglect, through public and private partnerships (section 202(3)(B));

6) Operational support (both financial and programmatic) and training, technical assistance and evaluation assistance to community-based child abuse and neglect prevention programs and activities designed to strengthen and support families to prevent child abuse and neglect, through innovative, interagency funding and inter-disciplinary service delivery mechanisms (section 202(3)(C)); and,

7) Integration of its efforts with individuals and organizations experienced in working in partnership with families with children with disabilities, parents with disabilities and with the child abuse and neglect prevention activities of the state, and a financial commitment to those activities (section 202(3)(D)). PSC-272. Program progress reporting requirements are described in Program Instructions (PI). Financial reporting requirements are described in the PI. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records are to be kept in accordance with 45 CFR Parts 74 and 92.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 16 \$39,467,605; FY 17 est \$39,000,000; and FY 18 est \$39,000,000

Range and Average of Financial Assistance:

In FY 2016 for states the range of financial assistance was from \$200,000 to \$3,272,234 with an average of \$672,756.

In FY 2016 for tribes the range of financial assistance was from \$128,394 to \$1,400,000 with an average of \$448,311.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 60 grants were awarded in FY 2016. Fiscal Year 2017: It is anticipated that 60 grants will be awarded in FY 2017. Fiscal Year 2018: It is anticipated that 60 grants will be awarded in FY 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

All pertinent instructions are contained in the annual Program Instruction (PI). The current PI is ACYF-CB-PI-17-06.

Regional or Local Office:

None.

Headquarters Office:

Julie Fliss Office on Child Abuse and Neglect
330 C Street SW, Room 3403, Washington, District of Columbia 20201 Email: julie.fliss@acf.hhs.gov Phone: (202) 205-8879

Website Address:

<http://www.friendsnrc.org>

RELATED PROGRAMS:

93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program; 93.645 Child Welfare Services_State Grants; 93.648 Child Welfare Services Training Grants; 93.667 Social Services Block Grant; 93.669 Child Abuse and Neglect State Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

States which meet all eligibility requirements will receive funds under this program.

93.591 FAMILY VIOLENCE PREVENTION AND SERVICES/STATE DOMESTIC VIOLENCE COALITIONS

Family Violence Prevention and Services Act Grants to State Domestic Violence Coalitions

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Family Violence Prevention and Services Act, 42 U.S.C. 10401 et seq., as amended by Section 201 of the CAPTA Reauthorization Act of 2010, Pub. L. 111-320.

OBJECTIVES:

To provide funding for State* Domestic Violence Coalitions (Coalitions) to collaborate with States, tribes, localities, cities, and the private sector in connection with State and local planning to create and sustain an integrated service delivery approach that meets the needs of all victims, including adults and youth representing underserved communities, and racial and ethnic minority populations; to provide training and technical assistance to domestic violence programs and other direct service providers; to increase public awareness about the prevention of family violence, domestic violence, and dating violence; and to increase the quality and availability of immediate shelter and supportive services for victims of family violence, domestic violence, and dating violence, and their dependents.

* Includes each of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of the Northern Mariana Islands.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Coalitions shall use funds for administration and operations to support a range of activities, including but not limited to (1) working with local family violence, domestic violence, and dating violence service programs and providers of direct services to encourage appropriate and comprehensive responses to family violence, domestic violence, and dating violence against adults or youth within the State involved, including providing training and technical assistance and conducting State needs assessments; (2) participating in planning and monitoring the distribution of subgrants and subgrant funds within the State under Section 10408(a); (3) working in collaboration with service providers and community-based organizations to address the needs of family violence, domestic violence, and dating violence victims, and their dependents, who are members of racial and ethnic minority populations and underserved populations; (4) collaborating with and providing information to entities in such fields as housing, health care, mental health, social welfare, or business to support the development and implementation of effective policies, protocols, and programs that address the safety and support needs of adult and youth victims of family violence, domestic violence, or dating violence; (5)

encouraging appropriate responses to cases of family violence, domestic violence, or dating violence against adults or youth, including by working with judicial and law enforcement agencies; (6) working with family law judges, criminal court judges, child protective service agencies, and children's advocates to develop appropriate responses to child custody and visitation issues in cases of child exposure to family violence, domestic violence, or dating violence; (7) providing information to the public about prevention of family violence, domestic violence, and dating violence, including information targeted to underserved populations; and (8) collaborating with Indian tribes and tribal organizations (and corresponding Native Hawaiian groups or communities) to address the needs of Indian (including Alaska Native) and Native Hawaiian victims of family violence, domestic violence, or dating violence, as applicable in the State.

Applicant Eligibility:

To be eligible for a grant under Section 10411, an entity shall be a statewide nongovernmental nonprofit private domestic violence organization that has a membership that includes a majority of the primary-purpose domestic violence service providers in the State; has board membership that is representative of primary-purpose domestic violence service providers, and which may include representatives of the communities in which the services are being provided in the State; has as its purpose to provide education, support, and technical assistance to such service providers to enable the providers to establish and maintain shelter and supportive services for victims of domestic violence and their dependents; and serves as an information clearinghouse, primary point of contact, and resource center on domestic violence for the State and supports the development of policies, protocols, and procedures to enhance domestic violence intervention and prevention in the State.

Beneficiary Eligibility:

This program will benefit youth and adult victims of family violence, domestic violence, dating violence, their children and other dependents, their families, other persons affected by such violence including friends, relatives, and the general public. The program will also benefit communities, including the State and business and nonprofit sectors.

Credentials/Documentation:

Applicants must provide proof of 501(c)(3) determination. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be submitted to the Administration for Children and Families/Administration on Children, Youth and Families/Family and Youth Services Bureau/Division of Family Violence Prevention and Services. Required Standard Forms and certifications are available at Grants.gov Forms Repository.

Award Procedure:

Funds are awarded directly to designated State Domestic Violence Coalitions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: No less than 10 percent of the amount appropriated in Section 10403(a) in each fiscal year will be made available to the designated State Domestic Violence Coalition in each of the 50 States, Puerto Rico, the District of Columbia, Guam, the Northern Mariana Islands, American Samoa,

and the U.S. Virgin Islands. Each shall receive not less than 1/56 of appropriated funds in each fiscal year.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds may be used for expenditures on and after October 1 of each fiscal year for which they are granted, and will be available for expenditure through September 30 of the following fiscal year. Funds must be liquidated within 90 days of the end of the 24 month expenditure period. Method of awarding/releasing assistance: lump sum.

Reports:

Awards may be subject to the Transparency Act subaward and executive compensation reporting requirements of 2 CFR Part 170. The SF-428 Tangible Property Report and the SF-429 Real Property Status Report are required. The forms are available at www.whitehouse.gov/omb/grants_forms/. Quarterly cash transaction reports (SF-425) are required to be filed with the HHS Division of Payment Management through the Payment Management System. Recipients must submit an annual Performance Progress Report (SF-PPR) by December 29 of each year to the Program Office. Recipients must submit an annual Federal Financial Report (SF-425) by December 29 of each year to the Division of Mandatory Grants through the Online Data Collection system (OLDC). Performance progress reports are reviewed and selected grantees may be identified for site visits or desk review by federal program officers.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

State Coalitions are required to maintain financial and program records necessary for program review and audit to ensure that funds have been expended in accordance with the statute, regulations, grant conditions, and administrative terms of the project funded.

Requirements are found in 2 CFR 200, Subpart D - Post Federal Award Requirements applies to this program. (Related HHS regulations pending).

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 16 \$14,500,000; FY 17 est \$14,538,828; and FY 18 est \$14,575,000

Range and Average of Financial Assistance:

In FY 2016, each State and Territorial Coalition was awarded \$258,929

In FY 2017, each State and Territorial Coalition was awarded \$259,622

TAFS Codes:

75-1536-506.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 56 State Domestic Violence Coalitions received grants (including Territories). Fiscal Year 2017: 56 State Domestic Violence Coalitions will receive grants (including Territories). Fiscal Year 2018: 56 State Domestic Violence Coalitions will receive grants (including Territories).

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1370 applies to this program.

Subpart A - General Provisions (1370.1 - 1370.6)

Subpart C - State Domestic Violence Coalition Grants (1370.20)

Regional or Local Office:

None.

Headquarters Office:

Angela H Yannelli 330 C Street, SW, Suite 3621B, Washington, District of

Columbia 20201 Email: angela.yannelli@acf.hhs.gov Phone: 2024015524

Website Address:

<http://www.acf.hhs.gov/programs/fysb/programs/family-violence-prevention-services>

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.667 Social Services Block Grant; 93.669 Child Abuse and Neglect State Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each State Domestic Violence Coalition will receive its respective share of funds if the application submitted meets the necessary requirements.

93.592 FAMILY VIOLENCE PREVENTION AND SERVICES/DISCRETIONARY

Family Violence Prevention and Services Act Discretionary Grants

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Family Violence Prevention and Services Act, 42 U.S.C. 10401 et seq., as amended by Section 201 of the CAPTA Reauthorization Act of 2010, Pub. L. 111-320.

OBJECTIVES:

To fund a wide range of discretionary activities for the purpose of: (1) providing resource information, training and technical assistance to improve the capacity of individuals, organizations, government entities, and communities to prevent family violence, domestic violence, and dating violence and to provide effective intervention services; (2) improving the design, delivery, and coordination of services to address family violence, domestic violence and dating violence; (3) gathering information on the incidence and prevalence of family violence, domestic violence and dating violence; and (4) increasing knowledge and understanding of the issues through research, demonstration, and evaluation projects. Specific sections in the Act authorize funding for such discretionary projects as a National Resource Center on Domestic Violence; a National Indian Resource Center Addressing Domestic Violence and Safety for Indian Women; Special Issue Resource Centers including Culturally-Specific Issue Resource Centers; State Resource Centers to Reduce Tribal Disparities; Specialized Services for Abused Parents and Their Children; and a National Domestic Violence Hotline.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Discretionary financial assistance may be made to public and private entities and may take the form of a competitive grant or cooperative agreement. Applicants must meet the program and administrative requirements specified in the Funding Opportunity Announcement. Of the amount appropriated under Section 10403(a), not less than 6% shall be used for making grants under Section 10410, National Resource Centers and Training and Technical Assistance Centers.

Of the amounts appropriated under Section 10403(a) that exceed \$130,000,000, not less than 25% of the excess funds shall be made available to carry out Section 10412, Specialized Services for Abused Parents and Their Children.

Applicant Eligibility:

Depending on the purpose of the project and the statutory requirements, an applicant may be a nonprofit private organization, tribal organization, federally-recognized Indian tribe, Native Hawaiian organization, local public agency, institution of higher education, private organization, Alaska Native Village, or nonprofit Alaska Native Regional Corporation.

Beneficiary Eligibility:

These discretionary grants and contracts will benefit victims of family violence, domestic violence, dating violence, and their dependents, families, other

interested persons, the general public, and communities and government entities.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grants are awarded on a competitive basis. All applications must contain standard forms 424 and 424A and all required certifications, assurances and disclosures. Required Standard Forms and certifications are available at Grants.gov Forms Repository.

Award Procedure:

The Commissioner, Administration on Children, Youth and Families, will make the final decision on all awards. Selection of applicants will be determined based on the review criteria published in the Funding Opportunity Announcement. Funds are awarded directly to grantees.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewals (continuations), if authorized under the terms of the award, are awarded based on satisfactory performance and availability of funding.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects may vary from a 12-month project period to a 5-year project period depending on statutory and programmatic requirements. Method of awarding/releasing assistance: lump sum.

Reports:

Specific reports for each type of Discretionary grant are published in the funding announcement. Awards may be subject to the Transparency Act subaward and executive compensation reporting requirements of 2 CFR Part 170. The SF-428 Tangible Property Report and the SF-429 Real Property Report are required. The forms are available at www.whitehouse.gov/omb/grants_forms/. Quarterly cash transaction reports (SF-425) are required to be filed with HHS Division of Payment Management through the Payment Management System. Recipients of financial assistance must submit semi-annual Performance Reports (SF-PPR) within 30 days of the end of the reporting period to the Program Office. Recipients of financial assistance must submit semi-annual Federal Financial Reports (SF-425) within 30 days of the end of the 6 month reporting period. Performance progress reports are required and selected grantees may be identified for site visits or desk review by federal program officers.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to keep financial and program records necessary for program review and audit to ensure that funds have been expended in accordance with the statute, regulations, grant conditions, and administrative terms of the project funded. Requirements are found in 2 CFR 200, Subpart D - Post Federal Award Requirements applies to this program. (Related HHS regulations pending).

Account Identification:

75-1536-0-1-506.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$8,250,000; FY 17 est \$8,223,479; and FY 18 est \$8,250,000 - National Domestic Violence Hotline ONLY. (Cooperative Agreements (Discretionary Grants)) FY 16 \$10,885,000; FY 17 est \$11,865,000; and FY 18 est \$11,270,000 - National Resource Centers and Training and Technical Assistance Centers ONLY. (Cooperative Agreements (Discretionary Grants)) FY 16 \$5,300,000; FY 17 est \$4,629,426; and FY 18 est \$4,750,000 - Discretionary Grants: Service and Demonstration; Specialized Services for Abused Parents and Their Children ONLY.

Range and Average of Financial Assistance:

FY 2016

National Hotline: \$8,250,000

Discretionary National Resource Centers and Training and Technical Assistance Centers:

\$450,000 - 1,400,000

Discretionary Service and Demonstration Grants: \$133,333 - \$133,334

Specialized Services for Abused Parents and Their Children: \$375,000

FY 2017

National Hotline: \$8,223,479

Discretionary National Resource Centers and Training and Technical Assistance Centers: \$450,000 - \$1,400,000

Specialized Services for Abused Parents and Their Children: \$385,785 - \$385,786.

TAFS Codes:

75-1536-506.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 32 discretionary grants were awarded, including the National Domestic Violence Hotline. Fiscal Year 2017: It is estimated that 27 discretionary grants will be awarded, including the National Domestic Violence Hotline. Fiscal Year 2018: It is estimated that 25 discretionary grants will be awarded, including the National Domestic Violence Hotline.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1370 applies to this program.

Subpart A - General Provisions (1370.1 - 1370.6)

Subpart D - Discretionary Grants and Contracts (1370.30 - 1370.32)

Regional or Local Office:

None.

Headquarters Office:

Kenya Fairley 330 C Street, SW., Suite 3622C, Washington, District of Columbia 20024 Email: kenya.fairley@acf.hhs.gov Phone: 202-690-6898

Website Address:

<http://www.acf.hhs.gov/programs/fysb/programs/family-violence-prevention-services>

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.612 Native American Programs; 93.667 Social Services Block Grant; 93.669 Child Abuse and Neglect State Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In FY 16: one grant to a National Resource Center on Domestic Violence; one grant to a National Indian Resource Center Addressing Domestic Violence and Safety for Indian Women; four Special Issue Resource Center grants; three Culturally Specific Special Issue Resource Center grants

servicing racial and ethnic minority communities; one grant to the National Domestic Violence Hotline; twelve demonstration grants for Specialized Services for Abused Parents and their Children; six grants for Trauma-Informed Practice in Culturally-Specific Community-Based Programs and Domestic Violence services; one grant for a National LBGTQ Institute on Intimate Partner Violence; one grant for a National Capacity Building Center on Safe and Supportive Housing; one grant for a Capacity Building and Technical Assistance Center for Family Violence and Services Act Formula Grantees; and one grant for a National Capacity Building Center for Expanded Services for Children, Youth and Abuse Adults Impacted by Domestic Violence. Fiscal Year 2017: In FY 17: one grant to a National Resource Center on Domestic Violence; one grant to a National Indian Resource Center Addressing Domestic Violence and Safety for Indian Women; four Special Issue Resource Center grants; three Culturally Specific Special Issue Resource Center grants serving racial and ethnic minority communities; one grant to the National Domestic Violence Hotline; twelve demonstration grants for Specialized Services for Abused Parents and their Children; one grant for a National LBGTQ Institute on Intimate Partner Violence; one grant for an Alaska Native Resource Center; one grant for a National Capacity Building Center on Safe and Supportive Housing; one grant for a Capacity Building and Technical Assistance Center for Family Violence and Services Act Formula Grantees; and one grant for a National Capacity Building Center for Expanded Services for Children, Youth and Abuse Adults Impacted by Domestic Violence. Fiscal Year 2018: In FY 18: one grant to a National Resource Center on Domestic Violence; one grant to a National Indian Resource Center Addressing Domestic Violence and Safety for Indian Women; four Special Issue Resource Center grants; three Culturally Specific Special Issue Resource Center grants serving racial and ethnic minority communities; one grant to the National Domestic Violence Hotline; ten demonstration grants for Specialized Services for Abused Parents and their Children; one grant for a National LBGTQ Institute on Intimate Partner Violence; one grant for an Alaska Native Resource Center; one grant for a National Capacity Building Center on Safe and Supportive Housing; one grant for a Capacity Building and Technical Assistance Center for Family Violence and Services Act Formula Grantees; and one grant for a National Capacity Building Center for Expanded Services for Children, Youth and Abuse Adults Impacted by Domestic Violence.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on the criteria published in the Funding Opportunity Announcement.

93.594 TRIBAL WORK GRANTS

(Native Employment Works; NEW)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part A, Section 412.

OBJECTIVES:

To allow eligible Indian Tribes and Alaska Native organizations to operate a program to make work activities available.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Tribes have broad flexibility to use the grant for the purpose of making work activities available.

Applicant Eligibility:

An Indian Tribe or Alaska Native organization that conducted a Tribal JOBS (Job Opportunities and Basic Skills Training) Program in fiscal year 1995.

Beneficiary Eligibility:

Service areas and populations as designated by the eligible Indian Tribe or Alaska Native organization.

Credentials/Documentation:

The grantee's chief executive officer must sign the application for assistance (the grantee's Native Employment Works plan), documenting the Tribe's

official support for, and approval of, the application/plan. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each eligible Indian Tribe or Alaska Native organization must submit a NEW plan to the Secretary for approval.

Award Procedure:

The Administration for Children and Families (ACF) will issue grant awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 45 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are issued annually. Effective for NEW funds awarded on or after July 1, 1999, grantees may carry forward (carry over) unobligated NEW fund balances for use in subsequent fiscal years, without fiscal year limitation. Method of awarding/releasing assistance: by letter of credit.

Reports:

The annual program report includes a narrative report in which grantees summarize their activities, achievements, and barriers during the report period, and a statistical report in which grantees reports on clients they served during the report period. Cash reports are not applicable. (Please see the description above of the program report. These are annual program progress reports.) Grantees must submit SF 425 (Federal Financial Report) annually. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Secretary will have the ability to maintain program funding accountability consistent with generally accepted accounting principles and the requirements of the Single Audit Act of 1984 and OMB Circular A-133.

Records:

Indian Tribes and Alaska Native organizations must maintain records containing information the Secretary may require.

Account Identification:

75-1552-0-1-609.

Obligations:

(Formula Grants) FY 16 \$7,535,110; FY 17 est \$7,633,287; and FY 18 est \$7,633,287

Range and Average of Financial Assistance:

From \$5,187 to \$1,752,666; \$96,000.

TAFS Codes:

75-1552.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, 78 grants were awarded. Fiscal Year 2017: In FY 2017, it is anticipated that 78 grants will be awarded. Fiscal Year 2018: In FY 2018, it is anticipated that 78 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for the Native Employment Works (NEW) program were published on February 18, 2000, and became effective June 19, 2000.

Regional or Local Office:

None.

Headquarters Office:

Stanley Koutstaal 330 C Street SW, Washington, District of Columbia 20201
Email: stanley.koutstaal@acf.hhs.gov Phone: 202-401-5457

Website Address:

<http://www.acf.hhs.gov/programs/ofa/programs/tribal/new>

RELATED PROGRAMS:

17.265 Native American Employment and Training; 93.558 Temporary Assistance for Needy Families

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available
Fiscal Year 2017: No Current Data Available
Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.595 WELFARE REFORM RESEARCH, EVALUATIONS AND NATIONAL STUDIES

Welfare Research

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Consolidated Appropriations Act of 2017, Public Law 115-31.

OBJECTIVES:

To support research on the benefits, effects, and costs of operating different State welfare programs, including studies on the effects of different programs and the operation of such programs on welfare dependency, employment rates, child well-being, family formation and healthy marriage, illegitimacy, teen pregnancy, and others; to assist States in developing and evaluating innovative approaches for reducing welfare dependency and increasing the well-being of minor children living at home.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants, cooperative agreements, and contracts are awarded for innovative research, demonstrations, and evaluations that are responsive to the Administration for Children and Families (ACF) program priorities. All applications must meet standards of excellence in research, demonstration, or evaluation design. Construction or the purchase of real estate are not allowable under these grants. Further, these funds may not be used to supplement the cost of program operations otherwise capped under the law. Organizations may not use funds under these grants to engage in inherently religious activities, such as worship, religious instruction, or proselytizing. In addition, an organization receiving ACF funds shall not, in providing ACF-funded services, discriminate against a program beneficiary or prospective program beneficiary on the basis of religion or religious belief. Regulations pertaining to the Equal Treatment for Faith-Based Organizations, which include the prohibition against Federal funding of inherently religious activities, as well as provisions related to nondiscrimination against religious organizations and the ability of religious organizations to maintain their religious character, can be found at either 45 CFR 87.1 or the HHS web site at <http://www.hhs.gov/partnerships/about/regulations>. Funds may be used for research on the benefits, effects, and costs of operating different State programs funded under the Temporary Assistance for Needy Families Program and evaluations of innovative approaches to reducing welfare dependency and

increasing child well-being.

Applicant Eligibility:

Grants and cooperative agreements may be made to or with governmental entities, colleges, universities, nonprofit and for-profit organizations (if fee is waived), and faith- and community-based organizations. Grants or cooperative agreements cannot be made directly to individuals.

Beneficiary Eligibility:

Children, youth, and families, especially low-income families, will benefit.

Credentials/Documentation:

Applicants must present written evidence of other agencies' willingness to cooperate when the project involves the use of other agencies, facilities, or services. Nonprofit organizations which have not previously received ACF program support must submit proof of nonprofit status. For State and local governments, costs will be determined in accordance with Chapter II Part 200 and Chapter III Part 300 45 CFR 75 and other grantees, applicable costs and administrative procedures will be determined in accordance with 45 CFR Part 75.

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants will be able to find a synopsis of grant or cooperative agreement opportunities and apply electronically for opportunities via: www.grants.gov. Applicants will also be able to find the complete text of grant announcements on the ACF web site located at: <http://www.acf.hhs.gov/grants/index.html>.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Notice of Award (NOA). This provides the amount of funds awarded, the purpose of the award, the terms and conditions of the award, the budget period for which support is given, the total project period for which support is contemplated, and the total grantee participation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 365 days. Generally, solicited grants and cooperative agreements will be acted upon within 120 days.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Grant extensions and continuations are available if formally applied for and approved. If an application is recommended for approval for 2 or more years, the grantee must submit a formal request for a non-competitive continuation application each year accompanied by a progress report which will be evaluated prior to a recommendation of continuation.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Grantees are often required to share in the cost of projects. Cost sharing may range up to 25 percent of the total approved project cost for grants or cooperative agreements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are granted on a 1- to 5-year basis, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing

program relevance, and availability of funds. See the following for information on how assistance is awarded/released: Post award, our Division of Payment Management will establish an account from which a grantee may draw down awards. For additional information on this process see:

http://www.dpm.psc.gov/grant_recipient/new_grantee_information/hhs_grantee_info.aspx?explorer.event=true.

Reports:

No program reports are required. No cash reports are required. Grantees are required to submit performance progress reports (ACF-OGM-SF-PPR) throughout the project period. Performance progress reports are due 30 days after the reporting period. Final performance progress reports are due 90 days after the close of the project period. Reporting forms are available at http://www.acf.hhs.gov/grants/grants_resources.html. Grantees are required to submit program financial expenditure reports throughout the project period. Financial reports are due 30 days after the reporting period. Final financial reports are due 90 days after the close of the project period. Grantees will use the Financial Status Report (FSR) SF-425 for required financial reporting. Reporting forms are available at http://www.acf.hhs.gov/grants/grants_resources.html. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All fiscal transactions identifiable to Federal financial assistance are subject to audit by the HHS audit agency, and other Federal officials.

Records:

Proper accounting records, identifiable by grant number and including all receipts and expenditures, must be maintained for 3 years in accordance with 45 CFR 75.361. Subsequent to audit, they must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-1553-0-1-609.

Obligations:

(Project Grants) FY 16 \$1,553,995; FY 17 est \$949,961; and FY 18 est \$500,000

Range and Average of Financial Assistance:

Range of \$99,979 to \$599,936, with an Average of \$375,495.

TAFS Codes:

75-1552-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016 4 grants were awarded, 3 non-competing continuations, and 1 new award. Fiscal Year 2017: It is anticipated that 2 grants will be awarded in FY 2017, all non-competing continuations. Fiscal Year 2018: It is anticipated that one 1 grants will be awarded in FY 2018, a non-competing continuation.

REGULATIONS, GUIDELINES, AND LITERATURE:

A variety of guidance on grants can be found at www.grants.gov.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate ACF Regional Administrator. (See Appendix IV of the Catalog for ACF Regional Offices.).

Headquarters Office:

Emily Ball Jabbour 26 Federal Plaza, 41st Floor
Room 4114, New York, New York 10278 Email: Emily.Ball@acf.hhs.gov
Phone: 212-264-2890 x273

Website Address:

<http://www.acf.hhs.gov/programs/opre>.

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.647 Social Services

Research and Demonstration

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In FY 2016, we funded the Center for Research on Hispanic Children and Families, the Family Self-Sufficiency Research Consortium Data Center, a Research Initiative on Insular Areas, in the Virgin Islands, and the Self Sufficiency Clearinghouse. Fiscal Year 2017: We anticipate funding similar types of projects in fiscal year 2017. More information describing research and evaluation projects supported by these funds can be found at <http://www.acf.hhs.gov/programs/opre/>. Fiscal Year 2018: We anticipate funding similar projects in fiscal year 2018. More information describing research and evaluation projects supported by these funds can be found at <http://www.acf.hhs.gov/programs/opre/>.

CRITERIA FOR SELECTING PROPOSALS:

Applications may be funded in whole or in part depending on: (1) the ranked order of applicants resulting from competitive review; (2) staff review and consultations; (3) the combination of projects that best meet the information needs of the program; (4) the funds available; and (5) other relevant considerations. Typical criteria include project cost and budget justification, the qualification of the principal investigator and organization, the quality of approach and methodologies employed, and results and the programs objectives and results and benefits expected. Specific criteria for selecting recipients under individual funding announcements under this program are published in www.Grants.gov for grant announcement.

93.596 CHILD CARE MANDATORY AND MATCHING FUNDS OF THE CHILD CARE AND DEVELOPMENT FUND

Child Care and Development Fund (CCDF)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, as amended, Title IV, Section 418, 42 U.S.C 618; Child Care and Development Block Grant Act of 1990, as amended (42 USC 9858, et seq).

OBJECTIVES:

The Child Care and Development Fund (CCDF) is the primary federal funding source dedicated to providing child care assistance to low-income families. As a block grant, CCDF gives funding to states, territories, and tribes to provide child care subsidies through grants and contracts with providers, as well as vouchers or certificates to low-income families. CCDF provides access to child care services for low-income families so they can work, attend school, or enroll in training. At the same time, it also promotes the healthy development and school success children by improving the quality of early learning and afterschool experiences.

The Child Care Mandatory and Matching Funds is the mandatory portion of the CCDF program, which consists of matching funds, that require a state match and maintenance of effort, and mandatory funds. These funds are made available under section 418 of the Social Security Act. The Personal Responsibility and Work Opportunity Reconciliation Act (PRWORA) of 1996 (P.L. 104-193) consolidated funding for child care under section 418 of the Social Security Act and made such funding subject to the requirements of the CCDBG Act, as amended. The Child Care and Development Block Grant (CCDBG) is the discretionary portion of the CCDF program (see CFDA 93.575). CCDBG was created under the Omnibus Budget Reconciliation Act of 1990, and is subject to annual appropriations. The Department of Health and Human Services (HHS) designated the combined entitlement and discretionary funding as the Child Care and Development Fund (CCDF) program.

In 2014, Congress acted on a bipartisan basis to pass the Child Care and Development Block Grant Act of 2014 (P.L. 113-186) into law and reauthorize the CCDF program for the first time since 1996. The CCDBG Act of 2014 renews authority for CCDF program through FY 2020. The law makes significant changes by defining health and safety requirements for child care providers, outlining family-friendly eligibility policies, and ensuring parents and the general public have transparent information about the child care choices available to them.

The purposes of the CCDF program are to: 1) allow each State maximum flexibility in developing child care programs and policies that best suit the needs of children and parents within that State; (2) promote parental choice to empower working parents to make their own decisions regarding the child care services that best suits their families needs; (3) encourage States to provide consumer education information to help parents make informed choices about child care services and to promote involvement by parents and family members in the development of their children in child care settings; (4) assist States in delivering high-quality, coordinated early childhood care and education services to maximize parents options and support parents trying to achieve independence from public assistance; (5) assist States in improving the overall quality of child care services and programs by implementing the health, safety, licensing, training, and oversight standards; (6) improve child care and development of participating children; and (7) increase the number and percentage of low-income children in high-quality child care settings.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Title IV of the Social Security Act appropriates funds (Mandatory and Matching Funds) for the purpose of providing child care assistance. Lead Agencies must use Mandatory and Matching Funds for child care services on a sliding fee scale basis, activities that improve the quality or availability of such services, and other activities that realize the goals of the CCDBG Act. Lead Agencies must ensure that not less than 70 percent of the total amount of Mandatory and Matching Funds are used to provide child care assistance to families who are receiving assistance under the Temporary Assistance for Needy Families program, families who are attempting through work activities to transition off of temporary assistance programs, and families who are at risk of becoming dependent on temporary assistance programs. Not more than five percent of the aggregate amount of CCDF Funds expended by the State (15 percent for Tribes or tribal organizations) may be expended for administrative costs incurred by the State to carry-out all of its functions and duties. The term "administrative costs" does not include the costs of providing direct services. In FY 2017, a State or Territory shall use not less than seven percent of the CCDF Funds to improve child care quality and availability including comprehensive consumer education, activities to increase parental choice, and other activities such as resource and referral services, provider grants and loans, monitoring and enforcement of requirements, training and technical assistance, and improved compensation for child care staff. In FY 2018, the amount a State or Territory must spend on quality activities increases to eight percent, in accordance with the CCDBG law. The law requires an increase in the minimum quality spending requirement to nine percent of a State or Territory's CCDF Funds phased-in over 5 years. In addition, starting in FY 2017, States and Territories are required to spend no less than three percent of their CCDF award on activities to improve the quality of infant and toddler care. Except for approved construction or renovation of child care facilities by tribal grantees, no Mandatory or Matching Funds may be used for the purchase or improvement of land, or for the purchase, construction, or permanent improvement of any building or facility (other than for minor remodeling and for upgrading of facilities to meet State and local child care standards.) No Mandatory or Matching Funds provided directly to child care providers through grants or contracts may be expended for any sectarian purpose or activity, including sectarian worship or instruction. However, Grantees must give parents the option of receiving vouchers or certificates to allow parents the choice of child care providers, including faith-based or community providers. No Mandatory or Matching Funds may be provided for any services provided to students enrolled in grades 1 through 12 during the regular school day; for any services for which such students receive academic credit toward graduation; or for any instructional services which supplant or duplicate the academic program of any public or private school.

Applicant Eligibility:

Eligibility: All 50 States, the District of Columbia, and Federally recognized Tribal Governments, including Alaskan Native Corporations.

Beneficiary Eligibility:

Children under age 13 (or, at the option of the grantee, up to age 19, if physically or mentally incapable of self-care or under court supervision), who (1) reside with a family whose income does not exceed 85 percent of the State

median income for a family of the same size, and (2) who reside with a parent (or parents) who is working or attending job training or educational program, who or are in need of, or are receiving protective services. A Lead Agency shall re-determine a child's eligibility for child care services no sooner than 12 months following the initial determination or most recent re-determination. Once determined eligible, children are expected to receive a minimum of 12 months of child care services, unless family income rises above 85% SMI or, at Lead Agency option, the family experiences a non-temporary cessation of work, education, or training.

Credentials/Documentation:

Lead Agencies must operate under a CCDF plan approved by the Administration for Children and Families, and must provide assurances that the grantee will comply with the requirements of the CCDBG Act and all applicable Federal law. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Each Grantee must designate a Lead Agency to which grants are awarded and that is accountable for the use of the Mandatory and Matching Funds provided, the duties of which shall include developing a CCDF plan. In conjunction with the development of the CCDF plan, the Lead Agency must hold at least one public hearing no earlier than nine months before the CCDF plan becomes effective and after at least 20 days of statewide public notice, to provide the public an opportunity to comment on the provision of child care services under the plan. In advance of the hearing, the Lead Agency must make the content of the plan available to the public. The Lead Agency must also coordinate the provision of services under the program with other Federal, State, and local child care and early childhood development programs. Also, the Lead Agency must consult with appropriate representatives of local governments. Tribal Lead Agencies submitting applications for construction must submit an environmental impact assessment. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Sections 2 CFR 200.101 and 45 CFR 75.101 on Applicability indicates that only the following requirements apply to CCDF:

- * 2 CFR 200.111 and 45 CFR 75.111, English language
- * 2 CFR 200.112 and 45 CFR 75.112, Conflict of interest
- * 2 CFR 200.113 and 45 CFR 75.113, Mandatory disclosures
- * 2 CFR 200.202 and 45 CFR 75.202, Requirement to provide public notice of Federal financial assistance programs (Note: this requirement applies to Federal agencies rather than grantees)
- * 2 CFR 200.330 and 45 CFR 75.351, Subrecipient and contractor determinations
- * 2 CFR 200.331 and 45 CFR 75.352, Requirements for pass-through entities
- * 2 CFR 200.332 and 45 CFR 75.353, Fixed amount subawards
- * 2 CFR Part 200 Subpart F and 45 CFR Part 75 Subpart F, Audit Requirements.

A Lead Agency desiring to receive an allotment for a fiscal year is required to submit a three-year CCDF plan to the Administration for Children and Families, as well as financial and other information necessary for the grants process. Each plan must contain certifications and assurances by the Lead Agency that it will comply with the requirements of the Child Care and Development Block Grant Act (CCDBG). The plan must also include: the designation of a Lead Agency; the provision of assurances regarding policies and procedures as stated in Section 658E(c)(2) of the amended CCDBG; an outline of the proposed use of block grant funds in compliance with Section 658E(c)(3) of the CCDBG; the provision of certification regarding payment rates as stated in Section 658E(c)(4) of the CCDBG; and the establishment of a sliding fee scale. Additional requirements are specified by 45 CFR Parts 98 and 99.

Award Procedure:

Grants are awarded after the receipt and approval of an application and plan by the Administration for Children and Families.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Administration for Children and Families will review the CCDF plans for approval and will act on the plans within 90 days.

Appeals:

Guidelines for appealing disapprovals of CCDF plans are specified in regulations at 45 CFR Part 99.

Renewals:

CCDF plans must be submitted every three years.

Formula and Matching Requirements:

Statutory Formula: Title 45 CFR, Part 98.53.

Matching Requirements: Statutory/Regulatory Formula: Section 6580 of the CCDBG Act; Title 45 CFR, Part 98.53.

Matching Requirements: Allocations of the Mandatory Funds are based on a State's Federal share of the expenditures for the now-repealed AFDC-linked child care programs (AFDC/JOB Child Care, Transitional Child Care, and At-Risk Child Care) in 1994 or 1995, or the average of 1992 through 1994, whichever was greater. A State is not required to expend any State funds in order to receive its share of the Mandatory Funds. The remaining funds are Matching Funds and are distributed based on the number of children under age 13 in a State compared with the national total of children under age 13. To access Matching Funds, a State must obligate all of its Mandatory Funds allotted in a fiscal year and maintain 100 percent of the State's share of expenditures for the former programs in fiscal year 1994 or fiscal year 1995, whichever is greater. Matching Funds must be matched at the applicable FMAP rate, which is the Medicaid Program matching rate. Not less than one percent, but not more than two percent of the total Mandatory and Matching Funds are reserved for Tribes and tribal organizations based on the number of children living on or near tribal reservations or other appropriate area served by the tribal grantee. Tribes and tribal organizations are not required to provide matching funds. The District of Columbia is treated as a State for purposes of receiving its share of Mandatory and Matching Funds. Territories are not eligible to receive Mandatory or Matching Funds. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grant awards are made to Lead Agencies with approved CCDF plans. If Matching Funds are requested, Mandatory Funds must be obligated by the end of the first fiscal year. There is no time limit on liquidation of Mandatory Funds and no time limit on obligation of Mandatory Funds if no Matching Funds are requested. Matching Funds must be obligated by the end of the first fiscal year and liquidated by the second fiscal year. State funds expended toward the Maintenance of Effort requirement must be both obligated and expended by the end of the first fiscal year. Mandatory and Matching Funds awarded to Tribes and tribal organizations must be obligated by the end of the second fiscal year and liquidated by the end of the third fiscal year. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

As specified in 45 CFR Part 98, States must report to the Administration on Children and Families on an annual basis aggregate data on families, children in care, providers, payment methods, and consumer education. Quarterly case-level reports are required to provide data on families, children, and providers, and payment information. Tribes must also provide annual aggregate data on children and families served through CCDF. No cash reports are required. No progress reports are required. No cash reports are required. No progress reports are required. States must also submit quarterly expenditure reports. Territories are required to report estimates and expenditures for the Discretionary Fund only on a quarterly basis. States, DC, and Puerto Rico are required to measure, calculate, and report improper authorizations for payments as well as identify strategies for reducing future improper authorizations for payments. One-third of the 50 States, DC, and Puerto Rico must report annually on a three-year cycle. Tribes report expenditures for the Tribal Mandatory,

Discretionary, and Construction/Renovation funds. Monitoring is in accordance with 45 CFR 98.90. Monitoring is in accordance with 45 CFR 98.90.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Additional auditing may be necessary.

Records:

Proper grant accounting records must be maintained.

Account Identification:

75-1550-0-1-609.

Obligations:

(Formula Grants) FY 16 \$2,916,771,935; FY 17 est \$2,917,000,000; and FY 18 est \$2,917,000,000 - These figures do not include CCDF Discretionary Funds under CFDA 93.575.

Range and Average of Financial Assistance:

For States, including DC, the range of grants in FY 2016 is: \$1,710,801 to \$101,983,998; the average grant is \$23,088,721. For 242 Tribal grantees, the range of grants in FY 2016 is: \$4,429 to \$6,665,596; the average grant is \$23,088,721. The Territories do not receive Mandatory funding. These figures are not inclusive of funds received through CFDA 93.575.

TAFS Codes:

75-1550.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2016: 354 grants were awarded to 50 States, District of Columbia, and 242 Tribes and other grantees (research,TA and hotline). Fiscal Year 2017: Fiscal Year 2017: 358 grants will be awarded to 50 States, District of Columbia, 242 Tribes, and other grantees (research, TA, and hotline). Fiscal Year 2018: Fiscal Year 2018: it is anticipated that 360 grants will be awarded to 50 States, District of Columbia, and 242 Tribes and other grantees (research,TA, and hotline).

REGULATIONS, GUIDELINES, AND LITERATURE:

These funds are subject to the Child Care and Development Fund regulations at 45 CFR Parts 98 and 99.

Regional or Local Office:

See Regional Agency Offices. Shireen Riley, Regional Program Manager, Administration for Children and Families, Office of Child Care, Boston Regional Office, JFK Federal Building, 15 New Sudbury Street, Rm. 2000, Boston, MA 02203.
Telephone: (617) 565-1152.
Email: shireen.riley@acf.hhs.gov

Region II (NJ, NY, PR, VI):

Magdamari Marciano, Regional Program Manager, Administration for Children and Families, Office of Child Care, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278.
Telephone: (212) 264-2890.
Email: Magdamari.Marciano@acf.hhs.gov

Region III (DC, DE, MD, PA, VA, WV):

Beverly Wellons, Regional Program Manager, Administration for Children and Families, Office of Child Care, Philadelphia Regional Office, Public Ledger Building, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499.
Telephone: (215) 861-4020.
Email: beverly.wellons@acf.hhs.gov

Region IV (AL, FL, GA, KY, MS, NC, SC, TN):

Eric R. Blanchette, Regional Program Manager, Administration for Children and Families, Office of Child Care, Atlanta Regional Office, 61 Forsyth Street, Suite 4M60, Atlanta, Georgia 30303-8909.
Telephone: (404) 562-2782.

Email: eric.blanchette@acf.hhs.gov

Region V (IL, IN, MI, MN, OH, WI):

Kathleen Penak, Regional Program Manager, Administration for Children and Families, Office of Child Care, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601.
Telephone: (312) 886-3270.
Email: kathleen.Penak@acf.hhs.gov

Region VI (AR, LA, NM, OK, TX):

Gwendolyn Jones, Regional Program Manager, Administration for Children and Families, Office of Child Care, Dallas Regional Office, 1301 Young Street, Room 945, Dallas, TX 75202.
Telephone: (214) 767-3849.
Email: gwendolyn.jones@acf.hhs.gov

Region VII (IA, KS, MO, NE):

Doris Hallford, Regional Program Manager, Administration for Children and Families, Office of Child Care, Kansas City Regional Office, Rm. 349, 601 E 12 St., Kansas City, MO 64106.
Telephone: (816) 426-2236.
Email: doris.hallford2@acf.hhs.gov

Region VIII (CO, MT, ND, SD, UT, WY):

Karen Knoll-Moran, Regional Program Manager, Administration for Children and Families, Office of Child Care, Denver Regional Office, South Terrace, 999 18th Street, Suite 499, Ninth Floor, Denver, CO 80294. Telephone: 303-844-1164.
Email: karen.knollmoran@acf.hhs.gov

Region IX (AS, AZ, CA, GU, HI, MP, NV):

Abby Cohen, Regional Program Manager, Administration for Children and Families, Office of Child Care, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103.
Telephone: (415) 437-7579.
Email: abby.cohen@acf.hhs.gov

Region X (AK, ID, OR, WA):

Paul Noski, Regional Program Manager, Administration for Children and Families, Office of Child Care, Seattle Regional Office, 701 Fifth Avenue, Suite 1510, M/S 74, Seattle, WA 98104.
Telephone: (206) 615-2609.
Email: paul.noski@acf.hhs.gov

Headquarters Office:

Abdihakin Abdi 330 C Street SW, Washington DC, District of Columbia 20201 Email: Abdihakin.Abdi@acf.hhs.gov Phone: 202-401-2113

Website Address:

<http://www.acf.hhs.gov/programs/occ>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.575 Child Care and Development Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.597 GRANTS TO STATES FOR ACCESS AND VISITATION PROGRAMS

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part D, Section 469B, Public Law 104-193.

OBJECTIVES:

To enable States to create programs which support and facilitate access and

visitation by non-custodial parents with their children. Activities may include mediation, counseling, education, development of parenting plans, visitation enforcement and development of guidelines for visitation and alternative custody arrangements.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

A State to which a grant is made under this section may not use the grant to supplant expenditures by the State for allowable activities, but shall use the grant to supplement such expenditures at a level at least equal to the level of such expenditures for fiscal year 1995.

Applicant Eligibility:

All States, the District of Columbia, Puerto Rico, Virgin Islands and Guam.

Beneficiary Eligibility:

Custodial and non-custodial parents.

Credentials/Documentation:

The Governors have designated a single State agency to represent the State in carrying out this responsibility. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are submitted every three years using the standard application form, as furnished by the Department of Health and Human Services. Application kits which contain the prescribed application forms and supplemental descriptive information on the access and visitation grant program are available from: The Office of Child Support Enforcement, Administration for Children and Families, 5th Floor, 330 C. Street, S.W., Washington DC 20201.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Notice of Grant Award. This provides the amount of funds awarded, the purpose of the award, the terms and conditions of the award, the budget period for which support is given, the total project period, and the total grantee participation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 120 days.

Appeals:

Governed by 45 CFR Part 16 - "Procedures of the Department Grant Appeals Board".

Renewals:

Extensions and continuations of grants are not available.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 10%. 10 percent of the total award. The award amount is based on the number of children in a State living with one biological parent as determined by the Census Bureau.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are awarded for a two-year project period. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual reports are required. Reports are required. Annual progress reports are required. Annual expenditure reports are required by Section 303.109.

Monitoring is done when the progress reports are reviewed for accuracy and completeness.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Proper accounting records, identifiable by grant number and including all receipts and expenditures, must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-1501-0-1-609.

Obligations:

(Formula Grants) FY 16 \$10,000,000; FY 17 est \$10,000,000; and FY 18 est \$10,000,000

Range and Average of Financial Assistance:

The amount of funding provided to each grantee is based on an allocation formula with a designated minimum amount provided in statute.

TAFS Codes:

75-1501.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: OCSE awarded 54 grants in FY 2016. Fiscal Year 2017: OCSE awarded 54 grants in 2017. Fiscal Year 2018: OCSE anticipates 54 grants in FY 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration Policies and Application kits may be obtained from: Office of Child Support Enforcement, Administration for Children and Families, 5th Floor, 330 C. Street S.W., Washington DC 20201.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for ACF Regional Offices.

Headquarters Office:

Michelle Jadczyk 330 C. Street S.W., Washington, District of Columbia 20201
Email: michelle.jadczyk@acf.hhs.gov Phone: 202 401-4578

Website Address:

<http://www.acf.dhhs.gov/programs/cse>.

RELATED PROGRAMS:

93.563 Child Support Enforcement

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.598 SERVICES TO VICTIMS OF A SEVERE FORM OF TRAFFICKING

Anti-Trafficking in Persons Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Trafficking Victims Protection Act of 2000 (TVPA), Public Law 106-386, 114 Stat. 1464, 22 U.S.C 7104(b) and 7105(b)(1). Sections 105,106,107a(b) 112a, and 113 of TVPA of 2000 as amended; section 202 and 203 of TVPRA of 2005, as amended; and section 603 of the Justice for Victims of trafficking Act of 2015.

OBJECTIVES:

To provide outreach to, identification of, and service referrals to individuals

who may be victims of a severe form of trafficking. To provide comprehensive case management services to alien victims of a severe form of trafficking. To enhance anti-trafficking efforts in the U.S. by building regional capacity, developing regional coalitions, conducting public awareness, and providing training and technical assistance on human trafficking issues.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Administration for Children and Families (ACF) leads the Department of Health and Human Services (HHS) efforts to combat human trafficking and modern forms of slavery by administering anti-trafficking programs through grants and contracts and collaborating with federal, state, tribal, and local government and non-government organizations. The Office on Trafficking in Persons (OTIP) is responsible for the overall leadership of anti-trafficking programs and services under the purview of ACF, including, but not limited to implementing provisions of relevant federal legislation and advising the Assistant Secretary in the development of anti-trafficking strategies, policies, and programs.

The Trafficking Victims Protection Act of 2000 (TVPA) and subsequent reauthorizations authorize HHS to assist foreign national and domestic (United States citizen and lawful permanent resident) victims of human trafficking through a number of efforts including screening and identifying victims; providing victims benefits and services; conducting research; training for the identification of trafficking survivors; and raising awareness and preventing human trafficking. Funds may be used only for the purposes set forth in the funding opportunity announcements.

Applicant Eligibility:

State and local governments and private organizations.

Beneficiary Eligibility:

Under the TVPA, as amended, alien victims of a severe form of trafficking in persons are eligible for benefits and services to the same extent as refugees. Beneficiaries are adult alien victims of a severe form of trafficking who have been certified by HHS/ORR. Alien children under 18 years of age subjected to a severe form of trafficking who have received letters of eligibility from HHS/ORR are considered eligible for services. Certain family members of victims of a severe form of trafficking are eligible for federally funded or administered benefits and services to the same extent as refugees if they hold "derivative T visas" (T-2, T-3, T-4, or T-5 visa). Potential victims of trafficking are also eligible for assistance through discretionary grant programs.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each application submitted under this program announcement will undergo a pre-review to determine if (1) the application was received by the closing date and submitted in accordance with the instructions in this announcement and (2) the applicant is eligible for funding.

Award Procedure:

Applications that pass the initial Administration for Children and Families (ACF) screening will be evaluated and rated by an independent review panel on the basis of specific criteria that are included Funding Opportunity Announcement. The evaluation criteria are designed to assess the quality of a proposed project and to determine the likelihood of its success.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are generally made available for 3-year project periods with funding on an annual basis. Funds are available to reimburse costs, up to the amount of the award, incurred during the year for which the funds are awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Performance progress reports are required, usually on a quarterly basis. Final program reports summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are also required. Cash reports are not applicable. SF-425 Federal Financial Reports are due on a quarterly or semi-annual basis. Final financial reports are also required 90 days after the end of the project period. On-site and desk monitoring is done on an ongoing basis. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. .

Records:

Grant recipients are required to keep all financial, business, and program reports necessary for program review and audit during the project period and for 3 years thereafter to insure that funds have been expended in accordance with the regulations, grant terms, and conditions of this program.

Account Identification:

75-1503-0-1-609.

Obligations:

(Salaries) FY 16 \$440,000; FY 17 est \$406,000; and FY 18 est \$547,000. (Direct Payments for Specified Use) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable. (Project Grants) FY 16 \$10,727,355; FY 17 est \$10,126,252; and FY 18 est \$10,126,252

Range and Average of Financial Assistance:

In FY 2016, grants ranged from \$90,000 to \$3,491,385. The average grant amount was \$490,605.

TAFS Codes:

75-1503.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: OTIP awarded 23 continuation grants. Fiscal Year 2017: OTIP is projecting to award 10 new grants and 3 continuation grants. Fiscal Year 2018: OTIP is projecting to award 3 new grants and 10 continuation grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Katherine Chon 330 C. Street, SW 4th Floor, Washington, District of Columbia 20201 Email: Katherine.Chon@acf.hhs.gov Phone: (202) 401-9372

Website Address:

<http://www.acf.hhs.gov/programs/endtrafficking>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.567 Refugee and Entrant Assistance_Voluntary Agency Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.583 Refugee and Entrant Assistance_Wilson/Fish Program; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants; 93.676 Unaccompanied Alien Children Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Information on evaluation criteria for awarding funds can be found in the Funding Opportunity Announcement.

93.599 CHAFEE EDUCATION AND TRAINING VOUCHERS PROGRAM (ETV)**FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part E, Section 477(h); as amended.

OBJECTIVES:

To provide resources to states and eligible Indian tribes to make available vouchers for postsecondary training and education, to youths who have aged out of foster care or who have been adopted or left for kinship guardianship from the public foster care system after age 16.

TYPES OF ASSISTANCE:**FORMULA GRANTS****USES AND USE RESTRICTIONS:**

Funds may be used to provide vouchers for postsecondary education and training to youth otherwise eligible for services under the state's or tribe's Chafee Foster Care Independence Program. Vouchers may also be provided to youth who leave foster care for adoption or kinship guardianship after age 16. Vouchers can be provided to youth up to the age of 23, as long as they are participating in the program at age 21 and are making satisfactory progress toward completing their course of study or training. Vouchers provided to individuals may be available for the cost of attending an institution of higher education (as defined in section 472 of the Higher Education Act) and shall not exceed the lesser of \$5,000 per grant year or the total cost of attendance as defined in section 472 of the Higher Education Act.

Applicant Eligibility:

State governments, including the 50 states, the District of Columbia and Puerto Rico (hereafter "states"), U.S. Virgin Islands, Guam and American Samoa, and eligible Indian tribes, Indian tribal organizations, or Indian tribal consortia (hereafter "tribes") with an approved plan.

Beneficiary Eligibility:

Youth otherwise eligible for services under a state's or tribe's Chafee Foster Care Independence Program. (This includes youth who have left foster care because they attained 18 years of age, and have not yet attained 21 years of age; youth likely to remain in foster care until 18 years of age, commensurate with the state's or tribe's criteria used to determine eligibility for the program; and former foster care recipients, age 21 and younger.) Vouchers may also be provided to youth who are adopted from foster care or exit foster care to kinship guardianship after attaining age 16 and to youth up to the age of 23, as long as they are participating in the voucher program at age 21 and are making satisfactory progress toward completing their course of study or training.

Credentials/Documentation:

Costs will be determined in accordance with 45 CFR Part 75. 2 CFR 200,

Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Applications must include the information and assurances required from the state or tribe. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A 5-year state or tribal plan must be submitted with annual updates. The plan must coordinate the provision of services under the state's or tribe's Education and Training Voucher Program with services under other Federal or Federally-assisted programs serving the same population. Plans are submitted to the appropriate Regional Child Welfare Program Manager in the appropriate ACF Regional Office.

Award Procedure:

Quarterly awards are made up to the amount of one-fourth of the state's or tribe's annual allotment.

Deadlines:

Jun 30, 2017 The plan or its annual update is due June 30 of each year.

Range of Approval/Disapproval Time:

Approval/disapproval is due September 30th of each year.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR, Part 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: States: Each state is allocated an amount of funds which bears the same ratio as the number of children in foster care in that state bears to the total number of children in foster care in all states in the most recent fiscal year for which such information is available. Date submitted by states to the Adoption and Foster Care Analysis and Reporting System (AFCARS) national database will be used to calculate state allotments.

Tribes: Tribes with an approved title IV-E plan to directly operate a title IV-E program or tribes that have a title IV-E tribal/state cooperative agreement or contract have the option to apply to receive Chafee Foster Care Independence Funds directly from the Secretary. Each tribe with an approved plan is allocated an amount equal to the number of children in foster care under the responsibility of the tribe (either directly or under supervision of the state) in the most recent fiscal year for which such information is available to the sum of the total number of children in foster care under the responsibility of the state within which the tribe is located and the total number of children in foster care under the responsibility of all Indian tribes in the state that have an approved plan.

Matching Requirements: Percent: 20%. The Federal government will pay 80 percent of the total amount of funds expended by the grantee up to the amount of Chafee Education and Training Voucher Program funds allocated. The grantee must provide matching contributions to cover the additional 20 percent of the costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are made quarterly on a fiscal year basis. Method of awarding/releasing assistance: quarterly.

Reports:

Annual updates to the state's or tribe's plan. An SF-425 financial status report must be submitted for the funds awarded in each Federal fiscal year (FFY) within three months after the close of the FFY in which the funds were granted (interim report) and again within three months after the close of the subsequent FFY (final report). Progress reports are not applicable. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are conducted in accordance with the requirements in 45 CFR 75 Subpart F.

Records:

Grantees must develop and maintain records which permit review of expenditures in accordance with the provisions of 45 CFR Part 75.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 16 \$42,608,145; FY 17 est \$42,000,000; and FY 18 est \$42,000,000

Range and Average of Financial Assistance:

FY 2016 states: 65,8123 to \$5,826,882 with an average of \$818,910 per state
FY 2016 tribes: \$4,542 to \$12,513 with an average of \$6,196 per tribe.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 56 grants were awarded. Fiscal Year 2017: It is anticipated that 56 grants will be awarded. Fiscal Year 2018: It is anticipated that 56 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACYF-CB-PI-03-06, issued July 8, 2003; ACYF-CB-PI-14-03, issued March 5, 2014; ACYF-CB-PI-14-04, issued March 5, 2014; ACYF-CB-PI-16-03, issued April 13, 2016; and ACYF-CB-PI-16-04, issued April 14, 2016.

Regional or Local Office:

See Regional Agency Offices. Contact Regional Administrator for Children and Families.

Headquarters Office:

Catherine Heath 330 C Street SW, Room 3509A, Washington, District of Columbia 20201 Email: Catherine.Heath@acf.hhs.gov Phone: 202-690-7888

Website Address:

<http://www.acf.hhs.gov/programs/cb>

RELATED PROGRAMS:

93.550 Transitional Living for Homeless Youth; 93.556 Promoting Safe and Stable Families; 93.645 Child Welfare Services, State Grants; 93.658 Foster Care Title IV-E; 93.674 Chafee Foster Care Independence Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.600 HEAD START

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Head Start Act, as amended by the Improving Head Start for School Readiness Act of 2007
, Public Law 110-134, 42 U.S.C 9801.

OBJECTIVES:

To promote school readiness by enhancing the social and cognitive development of low-income children, including children on federally recognized reservations and children of migratory farm workers, through the provision of comprehensive health, educational, nutritional, social and other services; and to involve parents in their children's learning and to help parents make progress toward their educational, literacy and employment goals. Head Start also emphasizes the significant involvement of parents in the administration of their local Head Start programs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; PROJECT GRANTS

USES AND USE RESTRICTIONS:

At least 90 percent of the enrollees in a program must be income eligible; i.e. from families whose income is below the poverty line, from families receiving public assistance, from homeless families or children in foster care. Programs may serve an additional 35 percent of participants with incomes up to 130 percent of poverty if they can demonstrate that they already are meeting the needs of children below the poverty line in the area served. American Indian and Alaska Native programs may enroll additional over-income children if they enroll all children in their service area that are income eligible and predominantly serve children meeting the low income criteria. Training and technical assistance grants are available to Head Start programs.

Applicant Eligibility:

Any government, federally-recognized Indian tribe, or public or private nonprofit or for profit agency which meets the requirements may apply for a grant. However, applications will be considered only when submitted in response to a specific announcement, published via the Internet at the following website address: <http://www.grants.gov>, which solicits proposals to expand Head Start/Early Head Start programs or establish new ones. Grantee agencies may subcontract with other child-serving agencies to provide services to Head Start children.

Beneficiary Eligibility:

Head Start/Early Head Start programs are for children from birth up to the age when the child enters the school system; however, Head Start programs only serve pre-school age children while Early Head Start programs serve children from birth through age three as well as pregnant women. The Early Head Start-Child Care Partnership programs are expanding access to high quality early learning and development opportunities for infants and toddlers from birth through age four. No less than 10 percent of each Head Start program's enrollment shall be for children with disabilities.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Part 75 as applicable of the Code of Federal Regulations, 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Office of Head Start will provide each applicant agency with a completed check list form showing exactly which items must be completed by each applicant and delegate agency.

Award Procedure:

All funds are awarded directly to grantees. Funds for local Head Start/Early Head Start programs, including a portion of training and technical assistance funds, are awarded by the Office of Head Start's Regional Offices. Funds for the following are awarded by the Central Office of Head Start: American Indian and Alaskan Native programs; Migrant programs; research, demonstration and evaluation efforts and some training and technical assistance efforts.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. This, depending on the nature of the proposal, can vary considerably from 90 days to as much as several months.

Appeals:

Grantees who are denied refunding may appeal adverse decisions to the HHS Departmental Appeals Board. There is no appeal for new grants denials.

Renewals:

From 90 to 120 days. OHS Headquarters or Regional Offices will inform grantees of the application procedures for renewal.

Formula and Matching Requirements:

Statutory Formula: Title Head Start Act, as amended by the Improving Head Start for School Readiness Act of 2007, Public Law 110-134. Section 640 of the Head Start Act is the statutory formula for how funds must be allocated. This same section also specifies the non-federal share match requirement. Matching Requirements: Percent: 20%. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for a 12-month budget period. Funds are released by monthly allowance on an Electronic Transfer System or by monthly check. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual information on the types of children served and the services provided. No cash reports are required. No progress reports are required. Semi-annual spending reports. Every agency is monitored on different aspects of their program throughout their five-year grant. New grantees are monitored after their first year in operation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Uniform Guidance, applicable to Head Start, requires grantees that expend \$750,000 or more during their fiscal year to have an annual audit. Additionally, the Office of Head Start provides the annual Head Start audit supplement, which includes Head Start-specific guidance and procedures. Lastly, there are also audit-type requirements associated with Head Start fiscal monitoring events.

Records:

Grantee must maintain an accounting system adequate to meet the purposes of the grant. Requirements for records are found in 45 CFR Part 75 as applicable.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 16 \$8,934,669,231; FY 17 est \$9,370,695,880; and FY 18 est \$9,004,301,298

Range and Average of Financial Assistance:

156,000-165,235,000; 4,478,000.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Head Start program funded 915,603 early childhood slots in FY 2016. Fiscal Year 2017: We estimate that the Head Start program will fund 918,519 early childhood slots in FY 2017 that will provide comprehensive services to children and families. Fiscal Year 2018: We estimate that the Head Start program will fund 886,319 early childhood slots in FY 2018 that will provide comprehensive services to children and families.

REGULATIONS, GUIDELINES, AND LITERATURE:

Chapter XIII of Title 45 Code of Federal Regulations, including 45 CFR 1301 - 1305. These are available on request at no charge.

Regional or Local Office:

See Regional Agency Offices. Region I (CT, MA, ME, NH, RI, VT):

Marina Winkler, Regional Program Manager, Administration for Children and Families, Office of Head Start, Boston Regional Office, JFK Building, Rm. 2000, 15 New Sudbury Street, Boston, MA 02203. Telephone: (617) 565-1150.

Region II (NJ, NY, PI, VT):

Carolyn Baker-Goode, Regional Program Manager, Administration for Children and Families, Office of Head Start, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 173.

Region III (DC, DE, MD, PA, VA, WV):

Brenda Hewitt, Regional Program Manager, Administration for Children and Families, Office of Head Start, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4048.

Region IV (AL, FL, GA, KY, MS, NC, SC, TN):

Captain Robert Bailas, Regional Program Manager, Administration for Children and Families, Office of Head Start, Atlanta Regional Office, Atlanta Federal Center, 61- Forsyth Street, SW, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (404) 562-2841.

Region V (IL, IN, MI, MN, OH, WI):

Fran Majestic, Acting Regional Program Manager, Administration for Children and Families, Office of Head Start, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (312) 353-7562.

Region VI (AR, LA, NM, OK, TX):

Kimberly Chalk, Regional Program Manager, Administration for Children and Families, Office of Head Start, Dallas Regional Office, 1301 Young Street, Room 914, Dallas, TX 75202. Telephone: (214) 767-8844.

Region VII (IA, KS, MO, NE):

Clarence Small, Regional Program Manager, Administration for Children and Families, Office of Head Start, Kansas City Regional Office, 601 East 12th Street, Rm. 276, Kansas City, MO 64106. Telephone: (816) 426-2275.

Region VIII (CO, MT, ND, SD, UT, WY)

Deb Hedin, Regional Program Manager, Administration for Children and Families, Office of Head Start, Denver Regional Office, 1961 Stout Street, Suite 924, Denver, CO 80294. Telephone: (303) 844-1106.

Region IX (AZ, CA, HI, NV):

Jan Len, Regional Program Manager, Administration for Children and Families, Office of Head Start, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-8447.

Region X (AK, ID, OR, WA):

Julianne Crevatin, Regional Program Manager, Administration for Children and Families, Office of Head Start, Seattle Regional Office, 2201 Sixth Avenue, room 610 - M/S RX-70, Seattle, WA 98121. Telephone: (206) 615-3661.

Headquarters Office:

Colleen Rathgeb 330 C Street SW, Washington, DC 20201, Washington, District of Columbia 20024 Email: colleen.rathgeb@acf.hhs.gov Phone: 202-205-7378

Website Address:

<https://www.acf.hhs.gov/programs/ohs>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.601 CHILD SUPPORT ENFORCEMENT DEMONSTRATIONS AND SPECIAL PROJECTS

Special Improvement Projects

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security, Title IV, Part D, Section 452(j), Public Law 104-193, 42 U.S.C 652j.

OBJECTIVES:

To provide federal funds for information dissemination and technical assistance to states, training of federal and state staff to improve state child support programs, and research, demonstration, and special projects of regional or national significance relating to the operation of child support programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All grants must follow the program requirements and restrictions outlined in the funding opportunity announcement and follow all of the standard terms and conditions for ACF grant awards, not limited to what is described in the HHS Grants Policy Statement.

Applicant Eligibility:

This funding is available to all organizations that meet the program eligibility requirement.

Beneficiary Eligibility:

This funding is available to all organizations that meet the program eligibility requirement.

Credentials/Documentation:

When appropriate, applicants should present written evidence of other agencies' willingness to cooperate when the project involves their cooperation or the utilization of their facilities or service. For other grantees, costs will be determined in accordance with 2 CFR 220 for educational institutions, 2 CFR 230 for nonprofit organizations, and any amendments to the aforementioned. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application forms and supplemental descriptive information on the priority projects are available from: Administration for Children and Families, Child Support Enforcement Program, 5th floor, 330 C. Street SW., Washington, DC 20201. Applications may be submitted to this address or via grants.gov. See the actual program announcement for details. The application shall be executed by an individual authorized to act for the applicant agency or organization and to assume for the agency or organization the obligations imposed by the terms and conditions of the grant. The application must clearly indicate whether the application submitted is in response to a program announcement (e.g. CFDA 93.601) and must reference the announcement number for which the application is to compete. Applications are reviewed and evaluated by a review panel of not less than three knowledgeable people. Written assessment of each application is made.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Notice of Grant Award. This provides the amount of funds awarded, the purpose of the award, the term and conditions of the award, the budget period for which support is given, the total project period for which support is

contemplated, and the total grantee participation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 120 days. Generally, solicited grants will be acted upon within 90 days.

Appeals:

There are no formal appeals procedures. If an application for a grant is disapproved, the reasons for disapproval will be fully stated, applicants may request the reasons for disapproval. In the case of unsolicited proposals, applicants are free to resubmit applications with attention to the changes suggested by the reasons for disapproval in the future. If an applicant is not awarded a grant, the applicant can request a written response that will address: (1) Weak and deficient areas of the proposal; (2) impact of weaknesses or deficiencies in not being selected; and (3) factors that were the basis of the selection of the successful grantee.

Renewals:

Generally, project and budget periods vary according to priority area (up to 3 years). Projects of a longer duration will be considered if unique circumstances warrant. If projects are approved for a period longer than 12 months, funding will be provided in discrete 12-month increments, or "budget periods." Funding beyond the first 12-month budget period is not guaranteed. Future funding will depend on the grantee's satisfactory performance and the availability of future appropriations.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, project and budget periods vary according to the priority area (up to 3 years). For multi-year projects, support beyond the first year is contingent upon acceptable evidence of satisfactory progress and availability of funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

Semi-annual program narrative reports and semi-annual expenditure reports are required. Periodic monitoring is conducted by the federal project office when progress reports are reviewed. Semi-annual expenditure reports are required. Quarterly narrative progress reports are required. Semi-annual reports of expenditures are required. Periodic monitoring is conducted by the Federal Project Officer when progress reports are reviewed.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All fiscal transactions identifiable to Federal financial assistance are subject to audit by the HHS agency, and other Federal officials.

Records:

Proper accounting records, identifiable by grant and including all receipts and expenditures, must be maintained for 3 years in accordance with 45 CFR Part 75. Subsequent to an audit they must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-1553-0-1-609.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - In FY 2016 and 2017, there were no new awards.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-1553.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Zero awards in 2016. Fiscal Year 2017: OCSE does not anticipate any new awards in FY 2018. Fiscal Year 2018: OCSE does not anticipate any new awards in 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Grants Administration Policies," and application kits may be obtained from the ACF, Office of Child Support Enforcement, 5th Floor, 330 C. Street SW., Washington, DC 20201.

Regional or Local Office:

See Regional Agency Offices. Contact Regional Administrators. (See Appendix IV of the Catalog for Regional Offices).

Headquarters Office:

Michelle Jadczyk 330 C. Street S.W., Washington, District of Columbia 20201
Email: michelle.jadczyk@acf.hhs.gov Phone: 202 401-4578

Website Address:

<http://www.acf.dhhs.gov/programs/cse/grants>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.563 Child Support Enforcement; 93.564 Child Support Enforcement Research; 93.597 Grants to States for Access and Visitation Programs; 93.647 Social Services Research and Demonstration

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1) Program Design; 2) Program Management; 3) Evaluation Design; and 4) Budget and Budget Justification.

93.602 ASSETS FOR INDEPENDENCE DEMONSTRATION PROGRAM

AFI Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Assets for Independence Act.

OBJECTIVES:

The purpose of the Assets for Independence (AFI) program is to demonstrate and evaluate the effectiveness of asset-building projects that assist low-income people in becoming economically self-sufficient by teaching them about economic and consumer issues and enabling them to establish matched savings accounts called individual development accounts (IDAs). The program is demonstrating and evaluating the effects of AFI projects and IDAs in terms of increasing the economic self-sufficiency of low-income families; promoting savings for home ownership, post-secondary education, and small business or micro-enterprise development; and stabilizing families and communities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The AFI Act imposes the following limitations on uses of AFI grant funds: Entities that receive AFI grants must reserve at least 85% of the Federal AFI grant amount for matching participants' IDA savings. The grantee entities may use no more than 15% of the Federal AFI grant amount for all other costs such as administering the project, reporting, and recruiting and enrolling participants; training participants in such areas as financial education, economic literacy, budgeting, and credit; providing supports that assist participants to achieve economic self-sufficiency; and providing information to organizations evaluating the AFI demonstration.

Applicant Eligibility:

This program supports innovative projects administered by national, State-wide, regional and community-based organizations. Eligible applicants are: [(1) Private nonprofit organizations that are tax exempt under Section 501(c)(3) of

the Internal Revenue Code;] (2) State or local governments or agencies or Tribal governments submitting applications jointly with; (3) credit unions designated as low-income credit unions by the National Credit Union Administration; or an organization designated as a Community Development Financial Institution by the Secretary of the Treasury.

Beneficiary Eligibility:

The Assets for Independence Act limits eligibility for participation in AFI-funded projects to individuals and families with the following characteristics: 1) Individuals who are members of households that are eligible to receive support under the Federal Temporary Assistance for Needy Families program; 2) Individuals whose adjusted gross household income is less than twice the Federal poverty line, taking into consideration the number of household members, and whose household net worth as of the end of the prior calendar year was less than \$10,000; and 3) Individuals whose adjusted gross household income enables them to qualify for the Federal Earned Income Tax Credit, taking into consideration the number of household members, and whose household net worth as of the end of the prior calendar year was less than \$10,000. When determining the net worth of the household, a household's assets shall not be considered to include the primary dwelling unit and one motor vehicle owned by a member of the household.

Credentials/Documentation:

Low Income Credit Unions must submit official documentation that the National Credit Union Administration has designated the organization as such. Community Development Financial Institutions must submit documentation that the Department of the Treasury has designated the organization as such. Private nonprofit organizations that are tax exempt under Section 510(3) of the Internal Revenue Code must provide documentation of nonprofit status. Applications submitted by credit unions and community development financial institutions must demonstrate a strong collaborative relationship with one or more community-based organization(s) that seek to address poverty and the economic needs of community residents. Title 45 CFR Part 75 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Awards are granted on a competitive basis. Announcements concerning the availability of funds, requests for applications, along with application form and assurance documents are on www.grants.gov website. Applications to the Office of Community Services for grants under the announcement should submit through the grants.gov website. Applicants are urged to inform and coordinate application submissions with State and local governments where such information and coordination is appropriate or necessary for the success of the program. This program is subject to the provisions of Title 45 CFR Part 75 (non-governmental entities). All applications for assistance under this program must contain: (1) SF-424, Application for Federal Assistance; (2) SF-424A, Budget Information; (3) SF-424B, Assurances, Non-Construction Programs; (4) Certificate Regarding Drug Free Workplace Requirements; (5) Certification regarding environmental tobacco smoke; (6) Certification Regarding Debarment, Suspension, and Other Responsibility Matters; and (7) Certification Regarding Lobbying. These must be accompanied by a detailed budget, and a program narrative submitted in accordance with the format detailed in the Funding Opportunity Announcement (FOA).

Award Procedure:

The Director, Office of Community Services makes funding decisions based on recommendations of a panel of experts who review funding proposals. The panel review is based on criteria set forth in the program announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applications will normally be approved/disapproved and grant awards made within 90 days of application receipt.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The matching requirement is for a non-federal cash contribution.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

These grants have 5-year budget and project periods. Grantees may access grant funds throughout the period to the extent that they have the required non federal cash support on hand. See the following for information on how assistance is awarded/released: Grantees may access federal grant funds to the extent that they have the required non-federal cash contribution. See the following for information on how assistance is awarded/released: Grantees may access federal grant funds to the extent that they provide proof of the required non-federal cash contribution.

Reports:

Standard Form Performance Progress Report (SF-PPR). Standard Form - Federal Financial Report (SF-425). Standard Form Performance Progress Report (SF-PPR). Standard Form - Federal Financial Report (SF-425). Data Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Program records, financial records, and all other records pertinent to the award shall be retained for a period of three years from the date of submission of the final expenditure report.

Account Identification:

75-1536-0-1-506.

Obligations:

(Salaries) FY 16 \$980,475; FY 17 est \$0; and FY 18 est \$0. (Project Grants (Discretionary)) FY 16 \$13,655,768; FY 17 est \$0; and FY 18 est \$0. (Training) FY 16 \$2,717,457; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

The Office of Community Services (OCS) awards grants for this program ranging up to \$1,000,000. The average grant is approximately \$350,000. Eligible entities may apply for new grants up to the statutory limit of \$1,000,000 for 5-year project periods.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 42 grants awarded. Fiscal Year 2017: No funding in FY 2017. Fiscal Year 2018: No funding in FY 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following regulations: Title 45 CFR Part 75 Uniform Administrative requirement, Cost Principles, and Audit requirements for HHS awards, Part 16 - DHHS Grant Appeals Process, Part 76 - Debarment and Suspension from

Eligibility For Financial Assistance, Sub part F - Drug Free Workplace Requirements, Part 80 - Non-discrimination Under Programs Receiving Federal Assistance through Department of Health and Human Services Effectuation of Title VI of the Civil Rights Act of 1964, Part 81 - Practice and Procedures for Hearings Under Part 80 of this Title, Part 83 - Regulation for the Administration and Enforcement of Sections 799A and 845 of the Public Health Service Act, Part 84 - Non-discrimination on the Basis of Handicap in Programs and Activities Receiving Federal Financial Assistance, Part 85 - Enforcement of Non- discrimination on the Basis of Handicap in Programs or Activities Conducted by Department of Health and Human Services, Part 86 - Non- discrimination on the Basis of Sex in Education Programs and Activities Receiving or Benefiting from Federal Financial Assistance, Part 91 - Non-discrimination on the Basis of Age in Health and Human Services Programs or Activities Receiving Federal Financial Assistance, Part 93 - New Restrictions on Lobbying, Part 1000 - Individual Development Accounts.

Regional or Local Office:

None.

Headquarters Office:

Vernae Martin 330 C Street, SW 5th Floor West
Mail Stop 5425, Washington, District of Columbia 20201 Email:
vernae.martin@acf.hhs.gov Phone: 202-401-5692

Website Address:

<http://www.acf.hhs.gov/programs/ocs/afi>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Organization experience and administrative capability; (2) Sufficiency of the project, design and plan: The degree to which the project described in the application appears likely to aid project participants in achieving economic self-sufficiency through activities requiring one or more of the qualified expenses (home purchase, post secondary education or training, or business capitalization); (3) Adequacy of plan for providing information for evaluation; (4) Commitment of non-federal funds and additional resources; (5) Results or benefits expected; and (6) Significant and beneficial impacts.

93.603 ADOPTION AND LEGAL GUARDIANSHIP INCENTIVE PAYMENTS

Adoption and Legal Guardianship Incentive Payments.

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part E, Section 473A; as amended.

OBJECTIVES:

To provide incentives to States and eligible Tribes to increase annually the number of children in foster care who find permanent homes through adoption or legal guardianship.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

State and tribal agencies receiving incentive payments must spend the funds for services (including post-adoption services) and activities allowable under title IV-B and IV-E of the Social Security Act (the Act). Incentive funds may not be used as non-Federal (i.e., state, local or tribal) matching funds for Federal financial participation. Payments must be used to supplement and not supplant Federal or non-Federal funds for services under title IV-B or IV-E of the Act (see section 473A(f) of the Act). Amounts expended by a state or tribe in accordance with the preceding sentence shall be disregarded in determining state or tribal expenditures for purposes of Federal matching payments under Sections 424, 434, and 474 of the Social Security Act.

Applicant Eligibility:

Applications are not required. States (including the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam and American Samoa) and tribes that have an approved title IV-E plan and that submit data to the Adoption and Foster Care Analysis and Reporting System (AFCARS) are eligible to receive payments. The Children's Bureau (CB) shall determine an agency's eligibility for funding based on foster care, adoption and guardianship data reported to AFCARS. AFCARS data must be reported and accepted by CB no later than May 15 of the fiscal year subsequent to the fiscal year in which the adoptions or guardianships were finalized (i.e., the "earning year").

Beneficiary Eligibility:

Beneficiaries are those children and families eligible under Title IV-B and Title IV-E of the Social Security Act, as amended.

Credentials/Documentation:

The Children's Bureau (CB) shall determine the number of foster child adoptions, foster child guardianships, pre-adolescent (age 9 - 13) adoptions and guardianships, and older child (age 14 and over) adoptions and guardianships eligible to earn incentive payments, based on data reported by each agency to the Adoption and Foster Care Analysis and Reporting System (AFCARS) data and accepted by CB no later than May 15 of the fiscal year subsequent to the fiscal year in which the adoptions were finalized (i.e., the "earning year"). In addition, if funds remain after making other awards, incentive payments will be made to agencies in which the average number of months from removal of children from their home to finalized adoption is less than 24 months. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States, tribes and territories meeting eligibility requirements are automatic applicants.

Award Procedure:

Incentive payments will be issued annually, in the fiscal year subsequent to the earning year. Payment will be based on AFCARS data submitted no later than May 15 of the fiscal year following the earning year. AFCARS data must be accepted and approved by the Children's Bureau.

Deadlines:

May 15, 2017 AFCARS data submissions must be received no later than May 15, for subsequent fiscal years in order to be considered for incentive payments.

Range of Approval/Disapproval Time:

Adoption and Legal Guardianship Incentive awards are generally made in August or September each year.

Appeals:

Not Applicable.

Renewals:

Each "earning year" will be considered on its own merit.

Formula and Matching Requirements:

Statutory Formula: The adoption and legal guardianship incentive payment payable to an agency for a fiscal year shall be equal to the sum of (a) \$5,000, multiplied by the number of foster child adoptions that exceed the product of a base rate of foster child adoptions and the number of children in foster care under the supervision of the title IV-E agency on the last day of the preceding fiscal year; (b) \$4,000 multiplied by the number of foster child guardianships that exceed the product of a base rate of foster child guardianships and the number of children in foster care under the supervision of the title IV-E agency on the last day of the preceding fiscal year; (c) \$7,500 multiplied by the number of pre-adolescent (ages 9 - 13) child adoptions and pre-adolescent foster child guardianships that exceeds the product of a base rate of pre-adolescent child adoptions and pre-adolescent foster guardianships and the number of pre-adolescent children (ages 9 - 13) in foster care under the supervision of the title IV-E agency on the last day of the preceding fiscal year; and (d) \$10,000 multiplied by the number of older child (age 14 and older) adoptions and older foster child guardianships that exceeds the product of a base rate of older child

adoptions and older foster child guardianships and the number of older children (ages 14 and older) in foster care under the supervision of the title IV-E agency on the last day of the preceding fiscal year. In the event that the total amount of incentive payments earned by title IV-E agencies exceeds the amount of funds appropriated for this purpose, payments to title IV-E agencies will be prorated accordingly.

If the total amount of incentives payable under the above categories are less than the amount of funding appropriated for a fiscal year, then the balance remaining will be used to make awards to agencies in which the average number of months from removal of children from their home to finalized adoption is less than 24 months.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Payments to a title IV-E agency in a fiscal year shall remain available for use by the title IV-E agency for 36 months. Method of awarding/releasing assistance: lump sum.

Reports:

Agencies must identify in the Child and Family Services Plan (CFSP) or Annual Progress and Services Report (APSR) as applicable (due June 30 of each year), the services they have provided to children and families as a result of the expenditure of adoption and legal guardianship incentive payments. Should more than one fiscal year's incentive funds be expended during a given reporting period, the report should reflect the services provided and identify the fiscal year's funds expended. Actual expenditures of incentive funds must be reported annually on SF-425, Financial Status Report. No progress reports are required. Standard form (SF) 425, Financial Status Report (FSR), must be completed and submitted utilizing the HHS Payment Management System (PMS). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

None.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 16 \$37,943,000; FY 17 est \$37,943,000; and FY 18 est \$37,943,000

Range and Average of Financial Assistance:

FY 2016 earning year, 47 States earned incentive payments that ranged from \$3,072 to \$1,961,606, with an average award amount of \$807,297 .

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 47 states and jurisdictions received adoption and legal guardianship incentive payments. Incentives are earned in one year and paid out in the following two years. Fiscal Year 2017: It is anticipated that 47 states and jurisdictions will receive incentive payments. Fiscal Year 2018: It is anticipated that 47 states and jurisdictions will receive incentive payments.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACYF-CB-PI-15-08, issued July 8, 2015.

Regional or Local Office:

See Regional Agency Offices. ACF Children's Bureau Regional Child Welfare Program Managers.

Headquarters Office:

Gail Collins 330 C Street, SW, Room 3512, Washington, District of Columbia 20201 Email: gail.collins@acf.hhs.gov Phone: 202-205-8552

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.645 Child Welfare Services_State Grants; 93.658 Foster Care_Title IV-E; 93.659 Adoption Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.604 ASSISTANCE FOR TORTURE VICTIMS

Services for Survivors of Torture

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Torture Victims Relief Act of 1998, Public Law 105-320.

OBJECTIVES:

The Office of Refugee Resettlement (ORR) provides funding and technical support to domestic survivors of torture programs. The Services for Survivors of Torture (SOT) program assists persons who have suffered torture in a foreign country to regain their health and independence and build productive lives in the U.S.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Torture Victims Relief Act (TVRA) of 1998 restricts funding for domestic programs to direct services, research and training. The authorizing legislation uses the definition of torture given in 18 U.S.C. 2340(1). Service providers are restricted to serving individuals who meet the eligibility criteria based on this definition.

Applicant Eligibility:

Eligible applicants are public or private non-profit agencies.

Beneficiary Eligibility:

Individuals and families, regardless of immigration status, who have suffered torture in a foreign country and are currently residing in the U.S.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Eligible applicants submit an application to the Administration for Children and Families in accordance with the requirements described in the notice of funding availability.

Award Procedure:

Applications are reviewed by a panel of independent experts in accordance with the evaluation criteria included in the notice of funding availability. Funding decisions are made by the Director of ORR based on the review.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for a three-year project period with one-year budget periods. Method of awarding/releasing assistance: lump sum.

Reports:

Program Performance Reports are required on a semi-annual basis. Final Program Performance Reports summarizing the activities and accomplishments of the project over the entire project period are required 90 days after the end of the project period. No cash reports are required. No progress reports are required. SF-425 Federal Financial Reports are required semi-annually. A final SF-425 Federal Financial Report is required 90 days after the end of the project period. Staff of the Office of Refugee Resettlement make site visits to grantees to review the financial and programmatic aspects of the project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Periodic audits are made as part of the system of financial management and internal control to meet terms and conditions of grants and other agreements.

Records:

Grant recipients are required to keep all financial and program reports necessary for program review and audit for the project period and for 3 years after the end of the project period to insure that funds have been expended in accordance with the regulations, grant terms, and conditions of this program.

Account Identification:

75-1503-0-1-609.

Obligations:

(Salaries) FY 16 \$312,000; FY 17 est \$312,000; and FY 18 est \$312,000 - Salaries and Administrative Costs. (Project Grants) FY 16 \$10,423,000; FY 17 est \$10,423,000; and FY 18 est \$10,423,000

Range and Average of Financial Assistance:

Grant awards range from \$172,900 to \$444,600. The average award was \$297,800.

TAFS Codes:

75-1503.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Approximately 7,500 survivors of torture received services from the program in FY 2016. Fiscal Year 2017: Grants were awarded to 35 grantees, including one technical assistance provider. It is projected that 8,000 survivors of torture will receive services from the program in FY 2017. Fiscal Year 2018: It is projected that 8,500 survivors of torture will receive services from the program in FY 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Dr. Curi Kim Mary E. Switzer Building
330 C Street, SW, Washington, District of Columbia 20201 Email:
curi.kim@acf.hhs.gov Phone: (202) 401-5585 Fax: (202) 401-0981

Website Address:

<http://www.acf.hhs.gov/programs/orr>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals are included in the notice of funds availability.

93.605 FAMILY CONNECTION GRANTS**FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part B, subpart 1, Section 427.

OBJECTIVES:

To provide matching grants to state, local or tribal child welfare agencies, institutions of higher education, and private nonprofit organizations that have experience in working with foster children or children in kinship care arrangements for the purpose of helping children who are in, or at risk of entering, foster care to reconnect with family members.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Grants are provided for services or activities that are consistent with the purpose of section 102, Public Law 110-351, and may include the following: (1) a kinship navigator program to assist kinship caregivers in learning about, finding and using programs and services to meet the needs of the children they are raising and their own needs, and to promote effective partnerships among public and private agencies to ensure kinship caregiver families are served; (2) intensive family-finding efforts that utilize search technology to find biological family members for children in the child welfare system; (3) family group decision-making meetings for children in the child welfare system that enable families to make decisions and develop plans that nurture children and protect them from abuse and neglect; and (4) residential family treatment program that enable parent and their children to live in a safe environment for a period of not less than 6 months.

Applicant Eligibility:

State, local or tribal child welfare agencies, institutions of higher education, and private nonprofit organizations that have experience in working with foster children or children in kinship care arrangements.

Beneficiary Eligibility:

Public or private nonprofit agencies or organizations or tribal child welfare agencies, or institutions of higher education working with foster children, children in kinship care arrangements or children at risk of entering foster care, that help the children reconnect with family members.

Credentials/Documentation:

Nonprofit agencies must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Part 75 of Title 45 of the Code of Federal Regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Standard application forms as furnished by HHS must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Announcement of availability of funds is posted to www.Grants.gov. Eligible applicants submit

applications by specified deadlines.

Award Procedure:

Applications for funding are reviewed and scored by panels of at least three non-federal subject matter experts. Final funding decisions will be made by Commissioner, ACYF.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Multi-year projects are funded on an annual basis through submission of a non-competing continuation application. Continuation funding is subject to availability of funds, submission of program and financial reports, and grantee performance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The required match must increase over the course of the grant period, such that grantees must contribute 25 percent of the program costs for the first and second year of the grant and 50 percent of the program costs for the third year of the three year grant. (Section 427(d) of the Social Security Act). No more than 50 percent of the non-federal share may be in kind, as required by Section 427(e) of the Act.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are available for 36-month project period with three 12-month budget periods. See the following for information on how assistance is awarded/released: Grants awarded are managed by a payment management system that manages grant payment requests, drawdowns, and disbursements.

Reports:

Grantees are required to submit semi-annual program reports. Final program reports are due 90 days after completion of the grant.

Grantees are required to submit semi-annual financial reports. Final financial reports are due 90 days after completion of the grant. Financial reporting is conducted via the SF-425. Progress reports are included in program reports. Expenditure reports are included in financial reports. Performance monitoring is described in progress reporting.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever comes first.

Account Identification:

75-1512-0-1-609.

Obligations:

(Project Grants (Discretionary)) FY 16 \$2,751,449; FY 17 est \$1,600,000; and FY 18 est \$1,600,000 - Program authorization expired in FY 2014 but grants continue to be funded from previous years appropriation. Last appropriation was received in FY 2014 at which time funds were designated as "no year".

Range and Average of Financial Assistance:

For FY 2016, the range of grants were from \$137,134 to \$670,000 with an average of \$458,574.

TAFS Codes:

75-1512.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 6 non-competing continuation grants were awarded. Fiscal Year 2017: 6 non-competing continuation grants will be awarded. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Jan Shafer 330 C Street SW, Room 3504, Washington, District of Columbia 20201 Email: jan.shafer@acf.hhs.gov Phone: 202-205-8172 Fax: 202-260-9345

Website Address:

<http://www.acf.hhs.gov/programs/cb>

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.645 Child Welfare Services_State Grants; 93.648 Child Welfare Services Training Grants; 93.652 Adoption Opportunities; 93.669 Child Abuse and Neglect State Grants; 93.670 Child Abuse and Neglect Discretionary Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.606 AFFORDABLE CARE ACT - PREPAREDNESS AND EMERGENCY RESPONSE LEARNING CENTERS

Preparedness and Emergency Response Learning Centers (PERLC)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act, Title IV, Section 4002, Public Law 111-148; Public Health Service Act , Section 319F(d) , 42 U.S.C 247d-6(d).

OBJECTIVES:

The purpose of this program is to address legislative requirements, as stated in section 319F(d) of the Public Health Service (PHS) Act (42 USC 247d-6(d)), as part of a plan to improve the nations public health and medical preparedness and response capabilities for emergencies, whether deliberate, accidental, or natural. Accredited schools of public health will collaborate, develop and deliver core competency-based training and education that respond to the public health preparedness and response needs of state, local and tribal public health authorities, and emphasize essential public health security capabilities. The intent of this program is to meet the preparedness and response training and educational needs of the U.S. public health workforce by providing assistance to U.S. states, localities and tribal public health authorities within defined service areas.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support public health approaches to public health preparedness and response described in Section 0.50 above. The use of and use restrictions are as follows:

- * Recipients may only expend funds for reasonable public health preparedness and response activities, and program purposes, including personnel, travel, supplies, and services, such as contractual arrangements with educational partners or consultants.
- * Recipients may not use funds for research
- * Recipients may not use funds for clinical care.
- * Recipients may not generally use funding for construction or the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.
- * Reimbursement of pre-award costs is not allowed.
- * The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or

provider who is ineligible.

Applicant Eligibility:

Eligible applicants for this project are accredited Schools of Public Health, as required by section 319F-2(d) of the Public Health Service Act. Only schools accredited by the Council on Education for Public Health are eligible.

Beneficiary Eligibility:

The general public, and federal state, local, and tribal public health preparedness programs that protect the public from all-hazards will benefit from the objectives of this program.

Credentials/Documentation:

Applicants must provide documentation of current accreditation by the Council on Education for Public Health to confirm eligibility. Applicants must document how the proposed research would address stated objectives of the program, outline the research methods to be followed, and provide a budget justification for funds. OMB Circular A-21 applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Information on the submission of applications was obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. The standard application forms were used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-21 for educational institutions.

Award Procedure:

All applications that are complete and responsive to the FOA will undergo an objective review process in accordance with the review criteria stated in the FOA. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer. Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 5 years with 12 month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period is for 5 years with 12-month budget periods. See the following for information on how assistance is awarded/released: Notice of Award. Method of awarding/releasing assistance: lump sum.

Reports:

Final financial status and performance reports are required 90 days after the end of a project period. No cash reports are required. Annual and semiannual

progress reports are required. Financial status reports are required 90 days after the end of each budget period. Performance monitoring is maintained by oversight of Project Officers.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

FY 2011 Actual: \$714,286 to \$714,286 Avg - \$714,286.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 74 for higher education, hospitals, or other nonprofit organizations and commercial organizations.

Regional or Local Office:

None.

Headquarters Office:

Van A. King OPHPR
N1600 Clifton Road
MS D-29
, Atlanta , Georgia 30029-4018 Email: vbk5@cdc.gov Phone: 4046395276

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by OPHPR and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet submission requirements. CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section VI. Application Review Information, subsection Evaluation Criteria within the individual funding opportunity announcement. Applicants will be notified if their application did not meet program requirements.

93.609 THE AFFORDABLE CARE ACT MEDICAID ADULT QUALITY GRANTS

Measuring and Improving the Quality of Maternity Care in Medicaid

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

The Patient Protection and Affordable Care Act (Pub. L. 111-148) Section 2701 Adult Health Quality Measures.

OBJECTIVES:

To support State Medicaid agencies in testing, collecting, and reporting the Initial Core Set of Health Care Quality Measures for Adults Enrolled in Medicaid to CMS. Additionally, the grant funding will also support States efforts to use this data for improving the quality of care for adults covered by Medicaid.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Each participating State Medicaid grantee will be required to implement a grant project with the following three aims:

1. Testing and evaluating methods for collection and reporting of the Initial Core Set Measures in varying delivery care settings (e.g. managed care, fee-for-service, long term care settings such as nursing homes and intermediate care facilities). States are encouraged to demonstrate alignment with existing methods and infrastructures for collection and reporting [e.g., Health Information Technology for Economic and Clinical Health (HITECH), Medicaid Management Information Systems (MMIS)];
2. Developing staff capacity to report the data, analyze, and use the data for monitoring and improving access and the quality of care in Medicaid; and
3. Conducting at least two Medicaid quality improvement projects related to the Initial Core Set Measures. States are encouraged to consider alignment with CMS or other Federal quality improvement activities such as the National Quality Strategy, Strong Start Initiative, Partnership for Patients, Million Hearts Initiative, etc. States may not use funds as the State's share of the Medicaid program costs or as supplemental Disproportionate Share Hospital (DSH) payments.

Applicant Eligibility:

Grant applicants are limited to the 51 State Medicaid Agencies and the Medicaid Agencies in the US Territories.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application form SF-424 and related forms must be used for this program. Applicants are encouraged to submit a non-binding Letter of Intent to Apply. Letters of Intent to Apply are not required and a states submission or failure to submit a letter has no bearing on the scoring of proposals received. Applicants should review the application and submission information in the funding opportunity announcement for specific instructions on applying for this grant program.

Award Procedure:

CMS will make a decision for each application received. Each applicant will receive written notification of CMS decision. Applicants approved for a grant award must submit a letter of acceptance to CMS within 30 days of the date of award, agreeing to the terms and conditions of the award letter.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Grantee can receive a second year of funding based on first year performance and progress towards meeting the grant project goals outlined in the application as well as in the terms and conditions.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants agreements are funded on a 12-month basis, with support for the second year (final year) contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. The maximum time period for funding is 24 months. See the following for information on how assistance is awarded/released: Successful applicants will receive a Notice of Award. Unsuccessful applicants will be notified by letter. Applicants should review the award administration information of the funding opportunity announcement issued under this CFDA program for more information.

Reports:

Reports due are: two Semi-annual progress reports; one Annual progress report; performance measurement data submitted to CMS on least 15 Initial Core Measures; and one Final report addressing the entire two year grant. Applicants should review the individual funding opportunity announcement for information on programmatic and evaluation reporting requirements. Submit a quarterly electronic Federal Financial Report (FFR) via the Payment Management System. The quarterly report identifies cash transactions against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to - www.dpm.psc.gov for additional information. The final FFR, including both cash transactions and expenditures, must be submitted and received within 90 days of the end of the project period. Applicants should review the individual funding opportunity announcement for information on programmatic reporting requirements. Grantees must include expenditures on the annual FFR (submitted at the end of the budget period). The final FFR must be submitted within 90 days after the end of the project period end date and include both cash transactions and expenditures data. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years after the date the grant is officially closed. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0511-0-1-550.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Grant awards up to \$1 million for each 12-month budget period, with an estimated total of up to \$2 million per Grantee over the two-year project period.

TAFS Codes:

75-0509.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to the Funding Opportunity Announcement for more information.

Regional or Local Office:

None. Michelle Feagins
Grants Management Officer
Centers for Medicare and Medicaid Services
Office of Acquisition and Grants Management
200 Independence Ave., S.W.
Room 733H-02
Washington, DC 20201

Health Insurance Specialist, Mark D. Smith
Centers for Medicare & Medicaid Services
7500 Security Boulevard
Baltimore, MD 21244-1850
Phone: 410-786-8015
E-mail: Mark.Smith@cms.hhs.gov.

Headquarters Office:

Michelle T. Feagins 200 Independence Ave., SW
Room 733H-02, Washington, District of Columbia 20201 Email:
michelle.feagins@cms.hhs.gov Phone: 301-492-4312

Website Address:

<http://www.medicaid.gov/Medicaid-CHIP-Program-Information/By-Topics/Quality-of-Care/Adult-Medicaid-Quality-Grants.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the Funding Opportunity Announcement for more information.

93.610 HEALTH CARE INNOVATION AWARDS (HCIA)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Section 1115A of the Social Security Act (added by Section 3021 of the Affordable Care Act).

OBJECTIVES:

The first round of the Health Innovation Awards (HCIA), announced on November 14, 2011, was a broad solicitation in which CMS welcomed a wide variety of proposals. In HCIA, Round One, CMS funded 107 Awardees who proposed compelling new models of service delivery/ payment improvements that showed substantial promise of delivering the Three-Part Aim of better health, better health care, and lower costs through improved quality for Medicare, Medicaid, and Childrens Health Insurance Program (CHIP) beneficiaries. Successful models included plans to rapidly develop and/or deploy the requisite workforce to support the proposed model. Awards recognized interventions that showed capability to improve care within the first 6 months of the award, while creating a sustainable pathway to net Medicare/Medicaid/CHIP savings within two to three years. These models of service delivery and payment improvement are now entering Year Two of the three-year period of performance for Round One of the HCIA.

A second round of the Health Care Innovation Awards (HCIA) was announced on May 15, 2013. In HCIA Round Two, the Center for Medicare & Medicaid Services (CMS) will fund applicants who propose new service delivery models and design corresponding new payment models that show promise of providing better health, better health care, and lower costs through improved quality for Medicare, Medicaid, and Childrens Health Insurance Program (CHIP) enrollees.

In Round Two, CMS is specifically seeking new payment and service delivery models in four broad Innovation Categories, as follows:

Models that are designed to rapidly reduce Medicare, Medicaid, and/or CHIP

costs in outpatient and/or post-acute settings. Priority areas are diagnostic services, outpatient radiology, high-cost physician-administered drugs, home based services, therapeutic services, and post-acute services. While preference will be given to submissions within these priority areas, CMS will consider submissions in other outpatient and/or post-acute areas within this Category.

Models that improve care for populations with specialized needs. Priority areas are high-cost pediatric populations, children in foster care, children at high risk for dental disease, adolescents in crisis, persons with Alzheimers disease, persons living with HIV/AIDS (in particular, efforts to link and retain patients in care and improve medication adherence that lead to viral suppression), persons requiring long-term support and services, and persons with serious behavioral health needs. While preference will be given to submissions within these areas, CMS will consider submissions that improve care for other populations with specialized needs.

Models that test approaches for specific types of providers to transform their financial and clinical models. Priority areas are models designed for physician specialties and subspecialties (for example, oncology and cardiology), and for pediatric providers who provide services to children with complex medical issues (including but not limited to care for children with multiple medical conditions, behavioral health issues, congenital disease, chronic respiratory disease, and complex social issues); and that include, as appropriate, shared decision-making mechanisms to engage beneficiaries and their families and/or caregivers in treatment choices. While preference will be given to submissions within these areas, CMS will consider submissions in other areas within this Category and from other specific types of non-physician providers.

Models that improve the health of populations defined geographically (health of a community), clinically (health of those with specific diseases), or by socioeconomic class through activities focused on engaging beneficiaries, prevention (for example, a diabetes prevention program or a hypertension prevention program), wellness, and comprehensive care that extend beyond the clinical service delivery setting. These models may include community based organizations or coalitions and may leverage community health improvement efforts. These models must have a direct link to improving the quality and reducing the costs of care for Medicare, Medicaid, and/or CHIP beneficiaries. Priority areas are: models that lead to better prevention and control of cardiovascular disease, hypertension, diabetes, chronic obstructive pulmonary disease, asthma, and HIV/AIDS; models that promote behaviors that reduce risk for chronic disease, including increased physical activity and improved nutrition; models that promote medication adherence and self-management skills; models that prevent falls among older adults; and broader models that link clinical care with community-based interventions. While preference will be given to submissions within these areas, CMS will consider submissions in other areas within this Category.

These categories were identified as gaps in the current Innovation Center portfolio and as areas that could result in potentially usable models for changes in Medicare, Medicaid, and CHIP payment methods. This round of Innovation Awards encourages a strong focus on Medicaid and CHIP populations. In addition, models that primarily focus on acute hospital inpatient care are excluded from this round and will not be reviewed. (Hospitals are eligible to apply for awards if they propose a model within one of the four Innovation Categories described below.)

In Round Two in contrast to the first round CMS specifically seeks new payment models to support the service delivery models funded by this initiative. Awardees will be required to implement the service delivery models at the start of the three-year cooperative agreement period and submit a fully developed new Medicare, Medicaid, or CHIP payment model by the end of the cooperative agreement period. Successful applicants will demonstrate that they can implement a model that improves quality of care and reduces cost within the first six months of the award and delivers net savings to CMS within three years. At its discretion and consistent with the requirements of Section 1115A of the Social Security Act, CMS may further develop one or more of these payment and service delivery models and open them to participation through a subsequent solicitation.

Do not rely on this Catalog of Federal Domestic Assistance announcement for complete and precise answers about the Health Care Innovation Awards. The final authority on all matters, including but not limited to application procedures, format of proposals, deadlines, criteria, eligibility, model test requirements, and the nature of the Funding Opportunity, is the appropriate Funding Opportunity Announcement itself, either for Round One or Round

Two of the Health Care Innovation Awards.

For specific details about Round Two of the initiative, see the Funding Opportunity Announcement at <https://www.grantsolutions.gov/gs/preaward/previewPublicAnnouncement.do?id=17996>.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The funds shall be used to implement models that support system transformation toward higher quality care at lower costs, and to plan and develop complementary payment models. Award dollars may be used for specific components, devices, equipment, software, analytical tools, or personnel provided that are integrated into the service delivery and payment model. Award dollars cannot be used to make permanent improvements to property not owned by the federal government; minor alterations and renovations are permissible under certain circumstances that will be described in the Financial Plan template (to be provided on or about June 14, 2013 on the Innovation Center website at <http://innovation.cms.gov>). CMS will not fund proposals that duplicate models that CMS is currently testing in other initiatives (see Section I.4) or other proposals being investigated elsewhere in HHS. CMS will not fund the provision of services to non-CMS beneficiaries. CMS will not fund applicants that cannot monitor, self-evaluate, and report on the progress and impact of their model in a timely manner. In Round Two, CMS will not fund models that focus primarily on acute hospital inpatient care.

All equipment, staff, other budgeted resources, and expenses must be used exclusively for the project identified in the awardees original cooperative agreement application or agreed upon subsequently with HHS, and may not be used for any prohibited uses.

Award dollars cannot be used

For specific components, devices, equipment, software, or personnel that are not integrated into the entire service delivery and payment model proposal. To match any other Federal funds.

To provide services, equipment, or supports that are the legal responsibility of another party under Federal or State law (e.g., vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.

To supplant existing State, local, or private funding of infrastructure or services, such as staff salaries, etc.

To be used by local entities to satisfy state matching requirements.

To pay for the use of specific components, devices, equipment, or personnel that are not integrated into the entire service delivery and payment model proposal. Award dollars cannot be used for specific components, devices, equipment, or personnel that are not integrated into the entire service delivery and payment model proposal. CMS will not fund proposals that replicate models that CMS is currently testing in other initiatives. Finally, given the breadth of models that could be submitted, CMS will not fund proposals that cannot monitor, evaluate, and report on the progress and impact of their program in a timely manner.

Funding Restrictions Indirect Costs

Indirect costs will be capped at 20% or the applicants Federally negotiated indirect cost rate or the applicants provisional rate, whichever of these is lowest. Applicants may elect to waive their Federally negotiated indirect cost rate. If requesting indirect costs, a Federally negotiated Indirect Cost Rate Agreement will be required.

Funding Restrictions Direct Services

Cooperative Agreement funds may not be used to provide individuals with services that are already funded through Medicare, Medicaid and/or CHIP. In compliance with the OMB Circulars, which define allowed cost, funding from the Innovation Center may not supplant funding for services that are currently authorized through the Medicaid State Plan. This also applies to funding provided through waivers or other grants, including federal grants. Travel or participation in conferences may require CMS approval.

Funding Restrictions Reimbursement of Pre-Award Costs

No cooperative agreement funds awarded under this solicitation may be used

to reimburse pre-award costs.

The following standard requirements apply to applications and awards under this FOA:

Specific administrative requirements, as outlined in 2 CFR Part 225 and 45 CFR Part 92, apply to cooperative agreement awarded under this announcement.

All awardees under this project must comply with all applicable Federal statutes relating to nondiscrimination including, but not limited to:

- o Title VI of the Civil Rights Act of 1964,
- o Section 504 of the Rehabilitation Act of 1973,
- o The Age Discrimination Act of 1975,
- o Hill-Burton Community Service nondiscrimination provisions, and
- o Title II Subtitle A of the Americans with Disabilities Act of 1990. The funds shall be used to implement and evaluate models that support system transformation toward higher quality of care at lower costs. Award dollars cannot be used for specific components, devices, equipment, or personnel that are not integrated into the entire service delivery and payment model proposal. CMS will not fund proposals that replicate models that CMS is currently testing in other initiatives. Finally, given the breadth of models that could be submitted, CMS will not fund proposals that cannot monitor, evaluate, and report on the progress and impact of their program in a timely manner. The following standard requirements apply to applications and awards under this FOA:

Specific administrative requirements, as outlined in 2 CFR Part 225 and 45 CFR Part 92, apply to cooperative agreement awarded under this announcement.

All awardees under this project must comply with all applicable Federal statutes relating to nondiscrimination including, but not limited to:

- o Title VI of the Civil Rights Act of 1964,
- o Section 504 of the Rehabilitation Act of 1973,
- o The Age Discrimination Act of 1975,
- o Hill-Burton Community Service nondiscrimination provisions, and
- o Title II Subtitle A of the Americans with Disabilities Act of 1990,

All equipment, staff, other budgeted resources, and expenses must be used exclusively for the project identified in the awardees original cooperative agreement application or agreed upon subsequently with HHS, and may not be used for any prohibited uses.

Prohibited Uses of Cooperative Agreement Funds

To match any other Federal funds.

To provide services, equipment, or supports that are the legal responsibility of another party under Federal or State law (e.g., vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.

To supplant existing State, local, or private funding of infrastructure or services, such as staff salaries, etc.

To be used by local entities to satisfy State matching requirements.

To pay for the use of specific components, devices, equipment, or personnel that are not integrated into the entire service delivery and payment model proposal.

Applicant Eligibility:

Round One of HCIA sought to attract a wide variety of health care innovators and organizations, including: provider groups, health systems, payers and other private sector organizations, faith-based organizations, local governments, and public-private partnerships. In addition, certain organizations (such as professional associations) were eligible to apply as conveners assembling and coordinating the efforts of a group of participants. Conveners could serve as facilitators or could be direct award recipients. States were not eligible to apply to HCIA Round One.

HCIA Round Two seeks to engage with a wide variety of innovators. Welcome to apply are interested parties that meet the eligibility requirements specified in the Funding Opportunity Announcement, have developed innovations that will drive significant improvement in population health, quality of care, and total cost of care, and can create a clear pathway to an alternate payment model based on their innovation. Examples of the types of organizations expected to apply are: provider groups, health systems, payers and other private sector organizations, faith-based organizations, state and/or local governments,

territories or possessions, academic institutions, research organizations, public-private partnerships, and for-profit organizations. By state, we refer to the definition provided under 45 CFR 74.2 as "any of the several States of the U.S., the District of Columbia, the Commonwealth of Puerto Rico, (or) any territory or possession of the U.S." By territory or possession, we mean Guam, the U.S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands. In addition, certain organizations may apply as conveners that assemble and coordinate the efforts of a group of participants. Unsuccessful applicants from prior CMS funding competitions are eligible to apply. Technology-based models need to reflect the actual use, not the development, of a product in a broader service delivery or payment model. For specific details on eligibility, see the Funding Opportunity Announcement at <https://www.grantsolutions.gov/gs/preaward/previewPublicAnnouncement.do?id=17996>.

Beneficiary Eligibility:

The Health Care Innovation Awards initiative will fund applicants who propose the most compelling new service delivery and payment models that will drive system transformation and deliver better outcomes for Medicare, Medicaid, and CHIP beneficiaries. Proposals should be focused on innovative approaches to improving health and lowering costs for high risk/high opportunity populations, including Medicare, Medicaid, and CHIP beneficiaries. In Round Two, proposals should focus, in particular, on beneficiary care and payment in the four Innovation Categories described under the heading, Objectives (050), above.

Round Two of the Innovation Awards encourages a strong focus on Medicaid and CHIP populations. However, CMS recognizes that in order for providers to have meaningful incentives to change their service delivery models they must engage multiple payers. Therefore, applications must include a feasible approach for securing participation of multiple payers for their proposed models. This could include demonstrable commitments from current payer partners, current contracts, letters of support or commitment from private insurers, state governments, or local governments. Preference will be given to applications that include participation by non-CMS payers at the outset of the models implementation. Funding from CMS can only be used to provide care for beneficiaries of Medicare, Medicaid, and CHIP.

For specific details, see the Funding Opportunity Announcement at <https://www.grantsolutions.gov/gs/preaward/previewPublicAnnouncement.do?id=17996>.

Credentials/Documentation:

Applicants should review the solicitation criteria to determine the required documentation. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For HCIA Round Two, Letters of Intent to Apply must be received by 3:00 pm Eastern Daylight Time on June 28, 2013. Failure to submit a Letter of Intent to Apply will disqualify the application from that organization from being reviewed. The information specified for the Letter of Intent to Apply must be provided through an online form. In addition to required Standard Forms, as described in the Funding Opportunity Announcement, applications for HCIA Round Two should include a Cover Letter (to be enclosed with the project narrative), a Project Abstract, and a Project Narrative that includes the following sections: Model Design.

Organizational Capacity.

Return on Investment, which should include a Financial Plan signed by the chief financial officer of the applicant organization, a Model Sustainability Plan, and if \$10 million or more is requested an Actuarial Review. Applicants requesting less than \$10 million are encouraged but not required to submit an external actuarial review.

Monitoring, Reporting, and Evaluation.

Funding and Sustainability, including a Budget Narrative that gives a yearly

breakdown of costs for the 3-year model period.

Supplementary Materials, including an Operational Plan, an Executive Overview, and documentation related to financial projections, profiles of participating organizations, relevant letters of endorsement, etc.

For more detail about application requirements, including required registrations and the format, size, structure, and content of the application package, consult the Funding Opportunity Announcement, available online at <https://www.grantsolutions.gov/gsp/award/previewPublicAnnouncement.do?id=17996>.

Award Procedure:

There are a number of differences between the criteria and review processes for Round One and Round Two of the HCIA. In Round One, the Innovation Center made 107 awards ranging from approximately \$1 million to \$26.5 million for a three-year period. Cooperative agreements were awarded with consideration to: (1) available funding; (2) geographic diversity; and (3) the quality of each application and the ability to meet the goals of the project. In the first round, less than 5% percent of applications were funded. Profiles of Awardees are available on the CMS website at <http://innovation.cms.gov/>. The CMS Innovation Center announced cooperative agreements for Round One of HCIA in two batches, with the first batch of awardees for Round One of HCIA announced on May 8, 2012 and the second (final) batch for Round One announced on June 15, 2012. Applications in Round One were scored with a total of 100 points available. The following criteria were used to evaluate Round One applications received in response to this solicitation.

Round One Criteria

Design of Project (30 points)
Organizational Capacity and Management Plan (25 points)
Workforce Goals (15 points)
Budget, Budget Narrative, Financial Plan and Sustainability (20 points)
Evaluation and Reporting (10 points)

In Round Two, applications will be scored with a total of 100 points available. The following criteria will be used to evaluate applications received in response to this solicitation.

Round Two Criteria

Design of Proposed Model (25 points)
Organizational Capacity and Management Plan (25 points)
Return on Investment (20 points)
Budget, Budget Narrative, and Model Sustainability (20 points)
Monitoring and Reporting (10 points)

For specific details about criteria for evaluation being used for Round Two of the initiative, see the Funding Opportunity Announcement at <https://www.grantsolutions.gov/gsp/award/previewPublicAnnouncement.do?id=17996>.

Review Process: A team consisting of HHS staff from outside CMMI and other outside experts will review all eligible applications. The review process will include the following steps:

Prior to submission of the application to the review panel, a preliminary eligibility screen will be conducted by CMS staff or CMS contractors to ensure that the technical requirements of the application are met.

Applications will be screened again to determine eligibility for further review using criteria detailed in this solicitation and in applicable law, including 2 CFR Parts 180 and 376.

The review panel will assess each application to determine the merits of the proposal and the extent to which the proposed model furthers the purposes of Health Care Innovation Awards Round Two. Reviewers will award points in each area to determine scores. CMS reserves the right to request that applicants revise or otherwise modify their proposals and budget based on the recommendations of the panel.

Concurrently, the CMS Office of the Actuary will assist the GMO in review of the reasonableness of the estimated cost to the government, and will review the potential for federal savings. This review will be one of the criteria for the

CMS Approving Official to consider during the application review process. The CMS Approving Official may utilize information provided by the CMS Actuaries assessment of applicants potential for savings in determining award recipients.

The results of the objective review of the applications by qualified experts will be used to advise the CMS Approving Official. Final award decisions will be made by the CMS Approving Official, guided by recommendations of the review panel and by programmatic concerns.

CMS intends to fund models in communities with a wide variety of geographic and socio-economic characteristics, including underserved urban and rural areas. CMS reserves the right to approve or deny any or all proposals for funding. Note that section 1115A of the Social Security Act states that there is no administrative or judicial review under sections 1869 or 1878 of the Act for the selection of organizations, sites, or participants to test models under section 1115A of the Act.

Interviews may be conducted with applicants prior to selection in order to clarify Application and Submission Information as needed. CMS reserves the right to request that applicants revise or otherwise modify their proposals and budget based on the recommendations of the panel and the review of the CMS Approving Official. Successful applicants will receive one cooperative agreement award issued under this announcement. Unsuccessful applicants may request reviewers comments.

For specific details about the Review Process for Round Two of the initiative, see the Funding Opportunity Announcement at <https://www.grantsolutions.gov/gsp/award/previewPublicAnnouncement.do?id=17996>.

The Announcement and Award dates for Round Two are:

Funding Opportunity Announcement: May 15, 2013
Anticipated Awardee Announcements: Phase 1 January 15, 2014; Phase 2 January 31, 2014
Anticipated Notice of Cooperative Agreement Award: Phase 1 and Phase 2 February 28, 2014

Award Notices for Round Two of the HCIA: The Authorized Official of successful applicants will receive an electronic Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that will set forth the amount of the award and other pertinent information. The award will also include standard Terms and Conditions, and may also include additional specific cooperative agreement terms and conditions. Potential applicants should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the review panel. The NoA is the legal document issued to notify the awardee that an award has been made and that funds may be requested from the HHS payment system. Any communication between CMS and awardees prior to issuance of the NoA is not an authorization to begin performance of a model.

Unsuccessful applicants will be notified by letter, sent through the U.S. Postal Service to the applicant organization as listed on its SF 424, within 30 days of announcement of Notices of Award.

The award procedures for Round Two are revised versions of those stipulated for Round One. For more details, see the Funding Opportunity Announcement at <https://www.grantsolutions.gov/gsp/award/previewPublicAnnouncement.do?id=17996>.

Deadlines:

Jun 28, 2013 to Aug 15, 2013 The deadlines for Round One were: Required Letter of Intent was due: December 19, 2011. Proposals for Round One were due: January 27, 2012.

The deadlines for Round Two are: Required Letter of Intent Due Date: June 28, 2013, by 3:00 p.m. Eastern Daylight Time Application Due Date: August 15, 2013, by 3:00 p.m. Eastern Daylight Time.

Range of Approval/Disapproval Time:

For Round One:

The CMS Innovation Center announced cooperative agreements for Round One of HCIA in two batches, with the first batch of awardees for Round One of HCIA announced on May 8, 2012 and the second (final) batch for Round One announced on June 15, 2012.

For Round Two:

Anticipated Notice of Cooperative Agreement Award: Phase 1 and Phase 2 February 28, 2014.

Appeals:

CMS reserves the right to approve or deny any or all proposals for funding. Note that section 3021 of the Affordable Care Act establishes title XI, section 1115A of the Social Security Act, which creates the Center for Medicare and Medicaid Innovations (CMMI). Section 1115A(d)(2) states that there is no administrative or judicial review of the selection of organizations, sites, or participants to test models.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. N/A.

This program does not have MOE requirements. No MOE Requirements.

Length and Time Phasing of Assistance:

For Round One, the anticipated period of performance for the 3-year project period is July 1, 2012 through June 30, 2015.

For Round Two, the anticipated period of performance for the 3-year model period is April 1, 2014 to March 31, 2017. The budget period is 12 months. See the following for information on how assistance is awarded/released: Awards will be made through Cooperative Agreements.

Reports:

The reporting requirements for Round Two differ in some respects from Round Ones reporting requirements. Round One applicants were expected to include in their proposals a description of their plans for collecting and producing the data and analysis of the model that would be provided to CMS and its evaluation and monitoring contractors. Careful monitoring and reporting of the effect of the model on the quality of care received as well as health care outcomes and costs are expected. Awardees agreed to cooperate with any Federal evaluation of the program and provide quarterly, semi-annual (every 6 months), annual, and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS, and submitted electronically. These reports outline how cooperative agreement funds are used, describe program progress, and describe any barriers encountered and measurable outcomes. CMS provides the format for program reporting and the technical assistance necessary to complete required report forms. Awardees also agreed to respond to requests for information necessary for the evaluation of national efforts and for data on key elements of their own cooperative agreement activities.

For Round Two, the application must include a description of the applicants plan for collecting and producing the data and analysis of the model that will be provided to CMS and its evaluation and monitoring contractors. The application must include detailed information on the self-monitoring plan. Careful monitoring and reporting of the effect of the model on the quality of care received as well as health care outcomes and costs are expected. Awardees also will be required to monitor patient satisfaction with their care experience by using validated tools that measure the patient experience of care. Monitoring reports must be provided to CMS quarterly and they must include information on the use of cooperative agreement funding and an assessment of model implementation, lessons learned, patient experience, quality improvements, clinical outcomes, and estimates of cost savings. Note that awardees will also be required to cooperate fully with the monitoring and evaluation contractors in reporting data that they require for the model evaluations.

CMS plans to conduct rigorous evaluation of each of these models through a separate evaluation contract. This work will entail establishing treatment and control or comparison groups and measuring the model effects on costs and

outcomes. Applicants will be expected to facilitate evaluation contractor work in these areas by providing all necessary information and access required for the evaluation. Awardees may be required to report information in standard format and measure and report outcomes in a standardized way, if requested by the evaluation contractor.

Awardees will be measured and monitored on their ability to execute their proposed operational work plan submitted as part of their application. Key components of this monitoring will include, but are not limited to:

- Meeting proposed milestones and deliverables;
- Producing timely and accurate reports with clear progress on quality and cost performance as described above; and
- Building and/or enhancing required infrastructure.

Award recipients will be required to report their actual performance on cost and quality outcomes and operational performance, and CMS will regularly monitor the results. Awardees will be required to provide, or cooperate in providing, as applicable, the necessary data elements to CMS.

In addition to this self-monitoring and self-evaluation, CMS will also collect from awardees a standard minimum set of performance indicators through CMS monitoring and evaluation contractors. CMS will contract with independent entities to assist in monitoring the models and to conduct an independent evaluation.

For specific details on monitoring, reporting, and evaluation requirements for Round Two of the HCIA, see the Funding Opportunity Announcement at <https://www.grantsolutions.gov/gs/preaward/previewPublicAnnouncement.do?id=17996>. No cash reports are required. CMS plans to collect data elements to be part of monitoring for all of the different models, and these monitoring and surveillance elements will feed into the evaluation. All awardees will be required to cooperate in providing the necessary data elements to CMS or a CMS contractor.

Data for monitoring will include process, safety, and performance measures. It will include, but will not be limited to, data on the background characteristics of the target population and target area, data characterizing the activities of the model, and a battery of follow-up data describing relevant characteristics of the target population or target area and metrics at selected intervals after commencement of the model. This will include detailed information on model participant (i.e., beneficiaries participating in the model) characteristics and outcomes reported in a standard format. Data for monitoring will be collected from awardees and/or CMS claims data sources. The monitoring aspect of this initiative will balance the examination of the extent to which awardees demonstrate fidelity to their proposed models of care and the potential need to make mid-course corrections that improve the models of care based on feedback from the monitoring and evaluation findings. Moreover, the evaluation will assess whether there are unintended consequences as a result of the model. Each proposed model in this portfolio is expected to submit a Financial Plan and supplemental narrative and schedules that provide an explanation of how it expects to meaningfully reduce medical cost trend for their identified population. The Financial Plan requires awardees to provide the following:

- Uses of Funds: Awardees should enter proposed use of funds in the Financial Plan template. These funds must match Form SF 424A. Applicants should provide yearly line-item projections on how awarded funds will be allocated. The total use of funds will sum to the requested award.

Savings:

- o Data under Current Census should describe services currently provided to program participants. Applicants are requested to provide data on the number of participants in applicant programs, the current baseline Per Beneficiary Per Year (PBPY) Total Cost of Care for Participants touched by programs, and the proposed percent cost reduction the award funding will facilitate in those costs.

- o Data under Proposed Expansion should describe the impact on the proposed expansion population that award funding will facilitate. Applicants are requested to provide data on the number of participants targeted, the baseline PBPY Total Cost of Care for participants in targeted expansion area, and the proposed percent cost reduction the award funding will facilitate.

Applicants are required to provide supplemental narrative and supporting

schedules with detailed information on the specific intervention expected to reduce cost and the estimated reduction in expenditures by funding source resulting from said intervention by service type and by funding source, including estimates for the number of affected individuals. Applicants should provide backup documentation, e.g., research studies, evidence of reduced cost from existing intervention, et cetera, as available. Applicants are encouraged to provide clear, detailed reports to facilitate objective, data-driven reviews. CMS plans to collect data elements to be part of monitoring for all of the different models, and these monitoring and surveillance elements will feed into the evaluation. All awardees will be required to cooperate in providing the necessary data elements to CMS or a CMS contractor. Data for monitoring will include process, safety and performance measures. It will include, but will not be limited to, data on the background characteristics of the target population and target area, data characterizing the activities of the program, and a battery of follow-up data describing relevant characteristics of the target population or target area and metrics at selected intervals after commencement of the program model. Data for monitoring will be collected both from awardees and or CMS claims data sources. The program monitoring aspect of this initiative will balance the examination of the extent to which awardees demonstrate fidelity to their proposed models of care and the potential need to make mid-course corrections that improve the models of care based on feedback from the monitoring and evaluation findings. Moreover, the evaluation will assess whether there is evidence of harm or unintended consequences as a result of the intervention.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

See Reports (111) above.

Account Identification:

75-0522-0-1-551.

Obligations:

(Salaries) FY 16 \$119,108,914; FY 17 est \$120,182,200; and FY 18 est \$0

Range and Average of Financial Assistance:

In Round Two of the Health Care Innovation Awards, the Innovation Center expects to make up to \$900 million in funding available to support a diverse portfolio of new and innovative payment and service delivery models that will reduce the cost of health care and improve its quality in Medicare, Medicaid, and/or CHIP. CMS intends to fund the best qualified applications within the scope of available funds. CMS estimates that there will be approximately 100 awards, with a range of approximately \$1 million to \$30 million per award; however CMS is not obligated to fund a minimum number of applicants, or to distribute a minimum amount of funds available for the second round of Health Care Innovation Awards.

Cooperative agreements will be awarded with consideration to the criteria listed above under Award Procedure (093). Awardees might not receive the award amount requested and might be asked to adjust the service delivery model, payment model, work plan, budget, or other application deliverable. For specific details, see the Funding Opportunity Announcement at <https://www.grantsolutions.gov/gs/preaward/previewPublicAnnouncement.do?id=17996>.

In Round One, the Innovation Center made 107 awards ranging from approximately \$1 million to \$26.5 million for a three-year period. Cooperative agreements were awarded with consideration to: (1) available funding; (2) geographic diversity; and (3) the quality of each application and the ability to meet the goals of the project. In the first round, less than 5% percent of applications were funded. Profiles of Awardees are available on the CMS website at <http://innovation.cms.gov/>.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. All programmatic questions about the Health Care Innovation Awards Round Two must be directed to the initiative email address: InnovationAwards@cms.hhs.gov. Responses to Frequently Asked Questions will be posted on <http://innovation.cms.gov>.

Headquarters Office:

Sheila Hanley 7500 Security Blvd., Baltimore, Maryland 21207 Email: InnovationAwards@cms.hhs.gov Phone: 410-786-7724

Website Address:

<http://innovation.cms.gov>

RELATED PROGRAMS:

93.536 The Affordable Care Act Medicaid Incentives for Prevention of Chronic Disease Demonstration Project; 93.537 Affordable Care Act Medicaid Emergency Psychiatric Demonstration ; 93.624 ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Award Procedures (093) Above.

93.611 STRONG START FOR MOTHERS AND NEWBORNS

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

The Patient Protection and Affordable Care Act, Public Law 111-148, Section 3021, Innovation Center Authority.

OBJECTIVES:

The Centers for Medicare and Medicaid Services (CMS), Center for Medicare and Medicaid Innovation (Innovation Center), is interested in testing new care and payment models that have the potential to improve perinatal outcomes for women enrolled in Medicaid or CHIP who are at high-risk for adverse pregnancy outcomes.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative agreement awards made to successful applicants will be provided to augment and coordinate with pre-existing State-provided prenatal care services and benefits to test whether more comprehensive prenatal care services, as are to be enabled in these awards, lead to better birth outcomes for high risk women and infants, per inclusion in a rigorous evaluation study framework and program improvement initiative. States may not use funds as the State's share of the Medicaid program costs or as supplemental Disproportionate Share Hospital (DSH) payments. This funding may not be duplicative of other Federal funding opportunities for information technology capacity building such as assistance provided through Medicaid, ARRA, HITECH, ONC or other CMS initiatives. The intent of this proposal is to complement, not supplant any of these or other Federal programs. States may not use funds as the State's share of the Medicaid program costs or as supplemental Disproportionate Share Hospital (DSH) payments. This funding may not be duplicative of other Federal funding opportunities for information technology capacity building such as assistance provided through Medicaid, ARRA, HITECH, ONC or other CMS initiatives. The intent of this proposal is to complement, not supplant any of these or other Federal programs.

Applicant Eligibility:

The target applicants for this solicitation are providers (e.g., specific service providers, clinician groups and/or hospitals); States applying in partnership with providers; managed care organizations (MCOs) applying in partnership with providers; and conveners applying in partnership with providers. All applicants

not directly providing prenatal care services (i.e. States, MCOs, and conveners) are expected to partner with providers of obstetrical care services and proposed enhanced prenatal care services. Included within the definition of State, for the purposes of this FOA are the District of Columbia and the 5 U.S. Territories: American Samoa, Guam, Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands. Faith-based organizations, Community-based organizations, and Tribal organizations are also eligible to apply.

States, managed care organizations, and conveners must include letters of agreement from their provider partners who will be carrying out the intervention as described in the proposal. Partnerships with public health organizations are encouraged, as are multi-state collaborations.

Beneficiary Eligibility:

Women enrolled in Medicaid and/or CHIP.

Credentials/Documentation:

Not Applicable. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application form SF-424 and related forms must be used for this program. Applicants should review the application and submission information in the Funding Opportunity Announcement (FOA) for specific instructions on applying for this cooperative agreement program.

Award Procedure:

CMS will make a decision for each application received. Each applicant will receive written notification of CMS' decision. Applicants approved for an award must submit a letter of acceptance to CMS within 30 days of the date of the award, agreeing to the terms and conditions of the award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Cooperative agreements include three years of service delivery and an additional year for data reporting requirements on births of intervention infants. The awardee must annually submit a formal request for continuation accompanied by a progress report which will be evaluated prior to a recommendation of continuation. Upon notification of the award, awardees will receive initial funding for the first year or 12-months of their program, to be used for implementation of the proposed intervention. Non-competing continuation awards will be awarded for each additional year of the award, contingent upon availability of funding, awardee performance, and demonstrated progress toward the goal of reducing premature births.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements are generally funded on a 12-month basis, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. See the following for information on how assistance is awarded/released: Successful applicants will receive a Notice of Award. Unsuccessful applicants will be notified by letter. Applicants should review the award administration information in the funding opportunity announcement issued under this CFDA

program for more information. See the following for information on how assistance is awarded/released: Successful applicants will receive a Notice of Award. Unsuccessful applicants will be notified by letter. Applicants should review the award administration information in the funding opportunity announcement issued under this CFDA program for more information.

Reports:

Applicants should review the individual funding opportunity announcement for information on programmatic and evaluation reporting requirements. Submit a quarterly electronic Federal Financial Report (FFR) via the Payment Management System. The quarterly report identifies cash transactions against the authorized funds for the cooperative agreement. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access cooperative agreement funds. Go to - www.dpm.psc.gov for additional information. The final FFR, including both cash transactions and expenditures, must be submitted and received within 90 days of the end of the project period. Applicants should review the individual funding opportunity announcement for information on programmatic and evaluation reporting requirements. Grantees must include expenditures on the annual FFR (submitted at the end of each budget period). The final FFR must be submitted within 90 days after the end of the project period end date and include both cash transactions and expenditures data. No performance monitoring is required. Submit a quarterly electronic Federal Financial Report (FFR) via the Payment Management System. The quarterly report identifies cash transactions against the authorized funds for the cooperative agreement. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access cooperative agreement funds. Go to - www.dpm.psc.gov for additional information. The final FFR, including both cash transactions and expenditures, must be submitted and received within 90 days of the end of the project period. Applicants should review the individual funding opportunity announcement for information on programmatic and evaluation reporting requirements. Grantees must include expenditures on the annual FFR (submitted at the end of each budget period). The final FFR must be submitted within 90 days after the end of the project period end date and include both cash transactions and expenditures data. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Proper accounting records, identifiable by project number including all receipts and expenditures must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0522-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$2,066,898; FY 17 est \$1,310,993; and FY 18 est \$0 - N/A.

Range and Average of Financial Assistance:

Approximately \$40.2 million may be awarded over the four-year plus period of performance (overlapping FFY 2013 into FFY 2017) to implement the approved models. This includes a minimum three year period for service delivery and up to an additional year for data collection and submission. There were 27 awards in FY 2013, ranging from \$190,000 to \$1,600,000 with an average award of \$436,000.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants should refer to the funding opportunity announcement for applicable regulations, guidelines and literature.

Regional or Local Office:

See Regional Agency Offices. Michelle T. Feagins, Centers for Medicare and Medicaid Services, Office of Acquisition and Grants Management (OAGM), 200 Independence Ave, SW, Room 733H-02, Washington DC 20201.

Headquarters Office:

Michelle T. Feagins Centers for Medicare and Medicaid Services
Office of Acquisition and Grants Management
200 Independence Ave., SW
Room 733H-02, Washington, District of Columbia 20201 Email:
michelle.feagins@cms.hhs.gov Phone: 301-492-4312

Website Address:

<http://innovations.cms.gov/initiatives/Strong-Start/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicant should refer to the funding opportunity announcement under this CFDA program for specific criteria requirements on proposal selection.

93.612 NATIVE AMERICAN PROGRAMS

Social and Economic Development Strategies (SEDS)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Native American Programs Act of 1974 (NAPA), as amended, Title VIII, Section 803(a), Public Law 102-375, 42 U.S.C 2991b and b-3.

OBJECTIVES:

The purpose of the Social and Economic Development Strategies (SEDS) program is to promote economic and social self-sufficiency for American Indians, Alaska Natives, Native Hawaiians, and Native American Pacific Islanders from American Samoa, Guam, and the Commonwealth of the Northern Mariana Islands. The SEDS program supports the principle that social and economic development are interrelated and essential for the development of thriving Native communities. The Administration for Native Americans (ANA) is interested in supporting community-driven projects designed to grow local economies, increase the capacity of tribal governments, strengthen families, preserve Native cultures, and increase self-sufficiency and community well-being. ANA may use the SEDS program for special initiatives to meet emerging needs in Native communities. ANA funding is meant for projects that identify direct, measurable outcomes which will be achieved within the proposed project period.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funding may be used for such purposes as: (1) Social Development-Projects that develop and implement culturally appropriate strategies to meet the social service needs of Native Americans, foster the well-being of Native youth, promote family preservation and responsible parenting, and reconnecting with traditional healing; (2) Economic Development-Projects that promote the creation of a sustainable local economy; (3) Governance- which is defined as increasing the ability of tribal and Alaska Native village governments to exercise local control and decision-making, and to develop and enforce laws, regulations, codes, and policies that reflect and promote the interests of community members. Costs of organized fund raising, including financial campaigns, endowment drives, solicitation of gifts and bequests, and similar expenses incurred solely to raise capital or obtain contributions, are considered unallowable costs. Funding cannot be used for the following purpose or activities: purchase of real property; costs of organized fundraising, reimbursement of pre-award costs, and activities that qualify as

major renovations and alterations. Further details regarding ineligible applicants and activities can be found in the ANA regulations at 45 CFR 1336.33. In addition, activities in support of any foreseeable litigation against the United States Government are unallowable per 45 CFR Part 75.

Applicant Eligibility:

Federally-recognized Indian Tribes, as recognized by the Bureau of Indian Affairs;
Incorporated non-federally recognized Tribes;
Incorporated state-recognized Indian Tribes;
Consortia of Indian Tribes;
Incorporated nonprofit multi-purpose community-based Indian organizations;
Urban Indian Centers;
Alaska Native villages as defined in the Alaska Native Claims Settlement Act (ANSCA) and/or nonprofit village consortia;
Nonprofit native organizations in Alaska with village specific projects;
Incorporated non-profit Alaska Native multi-purpose, community-based organizations;
Non-profit Alaska Native Regional Corporations/Associations in Alaska with village-specific projects;
Non-profit Alaska Native community entities or tribal governing bodies (Indian Reorganization Act or Traditional Councils) as recognized by the Bureau of Indian Affairs;
Public and nonprofit private agencies serving Native Hawaiians;
National or regional incorporated nonprofit Native American organizations with Native American community-specific objectives;
Public and nonprofit private agencies serving native peoples from Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands;
Tribal Colleges and Universities, and colleges and universities located in Hawaii, Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands which serve Native American Pacific Islanders.

Beneficiary Eligibility:

American Indians, Alaska Natives, Native Hawaiians, and Native American Pacific Islanders will benefit.

Credentials/Documentation:

Non-profit organizations must submit proof of non-profit status. For applicants that are not Tribes or Native Alaska villages, organizations applying for funding must show that a majority of board members are representative of a Native American community to be served. Applicants must submit documentation that identifies each board member by name and indicates his/her affiliation or relationship to at least one of ANAs four categories of community representation, which include: (1) members of federally or state-recognized tribes; (2) persons eligible to be a participant in, or beneficiary of, the project to be funded; (3) persons who are recognized by members of the eligible Native American community to be served as having a cultural relationship with that community; or (4) persons considered to be Native American as defined in 45 CFR 1336.10 and Native American Pacific Islanders as defined in Section 815 of the Native American Programs Act. Applicants that do not include this documentation will be considered non-responsive, and the application will not be considered for competition. 45 CFR Part 75, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 45 CFR Part 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. ACF requires electronic submission of applications at www.Grants.gov. Paper applications received from applicants that have not been approved for an exemption from required electronic submission will be disqualified from competitive review. Applicants that do not have an Internet connection or sufficient computing capacity to upload large documents to the Internet may contact ACF for an exemption that will allow the applicant to submit applications in paper format.

See FOA for detailed information. For applicants that have received a waiver, Standard Forms, assurances, and certifications are available at the ACF Funding Opportunities Forms webpage at <http://www.acf.hhs.gov/grants-forms>.

Award Procedure:

All funds are awarded directly to the grantees.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applicants will receive notice of approval/disapproval approximately 120 days after receipt of application.

Appeals:

Appeals are only available on upon a finding of ineligibility for funding and are subject to ANA regulations at 45 CFR 1336.35 and HHS regulations in 45 CFR Part 75.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 20%. The 20% match is required unless waived in accordance with criteria published in 45 CFR 1336.50.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grantees may apply for non-competitive continuation support within a project period of 1 to 3 years. See the following for information on how assistance is awarded/released: Notice of Grant Award (NGA) document-Annually.

Reports:

Program reports are not applicable. Cash reports are not applicable. ANA grantees are required to report project progress semi-annually using the Objective Progress Report (OPR) (OMB No. 0970-0452, expiration date 06/30/2018) and the SF-425 on a semi-annual basis which are submitted electronically. The SF-425 is also required to be submitted annually and at the end of the project period. Grantees will be required to submit an Annual Data Report (ADR) (OMB No. 0970-0475, expiration date 03/31/2019) to report project data once a year and at the end of the project period. ANA reviews grantee semiannual and annual reports to determine whether the grantee is meeting its project goal and objectives and completing activities identified in the Objective Work Plan (OWP) as well as to evaluate project effectiveness. If progress concerns are identified, ANA may require quarterly reports. In addition, ANA is required to describe and measure the impact of funded projects, their effectiveness in achieving stated goals, their impact on related programs, and when feasible, to obtain the views of persons participating in and served by funded projects. ANA carries out this requirement through review of grantee submitted reports and through the use of structured on-site interviews using a data collection tool (OMB No. 0970-0379, expiration date 07/31/2019).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are conducted in accordance with the requirements in 45 CFR Part 75 Subpart F.

Records:

Financial records, supporting documents and all other related records pertinent to ANA grants must be maintained for a period of 3 years. If an audit is not completed by the end of the 3-year period, or if audit findings have not been resolved, records shall be retained until resolution of the audit findings.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 16 \$27,689,780; FY 17 est \$30,114,920; and FY 18 est \$30,114,920

Range and Average of Financial Assistance:

\$100,000 to \$400,000

Average = \$300,000 per budget period.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 115 new and continuing grants were awarded in FY 16 for the program areas including Social and Economic Development Strategies (SEDS), Social and Economic Development Strategies Alaska (SEDS-AK), Sustainable Employment and Economic Development Strategies (SEEDS), Native Asset Building Initiative, and Native Youth Initiative for Leadership, Empowerment and Development (I-LEAD). Fiscal Year 2017: It is anticipated that 110 new and continuing grants will be awarded in FY 17 for the program areas including Social and Economic Development Strategies (SEDS), Social and Economic Development Strategies Alaska (SEDS-AK), Sustainable Employment and Economic Development Strategies (SEEDS), the Native Youth Initiative for Leadership, Empowerment and Development (I-LEAD) program, and Native Asset-Building Initiative (NABI). Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

SEDS program regulations are published in 45 CFR 1336.

Regional or Local Office:

None.

Headquarters Office:

Carmelia A. Strickland Administration for Native Americans
Administration for Children and Families
Department of Health and Human Services
330 C Street SW
Switzer Building, Mail Stop 4126, Washington , District of Columbia 20447
Email: carmelia.strickland@acf.hhs.gov Phone: (877) 922-9262

Website Address:

<http://www.acf.hhs.gov/programs/ana>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Grantee: Cook Inlet Tribal Council, Inc.
Project: The Schoolyard Enhancement
Description: Cook Inlet Tribal Council, Inc. (CITC) proposes enhancing The Schoolyard, an after school program, that has demonstrated participant high school graduation rates of 84.8%. The Schoolyard Enhancement will provide an academic engagement program for middle school youth and an intervention program for high school youth comprised of three core components: 1) Engagement: The program will engage students by teaching digital game design, music production, and digital fabrication (including 3-D printing) by providing supported work experience opportunities to youth. 2) Academic: The program will provide academic counseling, tutoring, and credit recovery to facilitate on-time high school graduation or obtaining a GED. 3) Supportive Services: The program will screen for non-academic needs requiring case management and referral to CITC, and other programs. The Schoolyard Enhancement engage middle school youth through an after school program and a series of camps and after school programs.

Grantee: Hiilei Aloha, LLC

Project: Native Hawaiian Construction Business Accelerator to Create Jobs
Description: The Hi'ilei Aloha, LLC will decrease the unemployment and under-employment of Native Hawaiians by developing the capacity of 20 Native Hawaiian individuals to establish their own construction businesses which will create 40 permanent full-time jobs in this industry. Training workshops on business administration and state license requirements will be provided over a two-year period.

Grantee: Cahuilla Indian Reservation.

Project: Cahuilla Emergency Preparedness Project
Description: Increasing the capacity of the Tribe to effectively prepare for and respond to acts of nature and other catastrophic events on and near the

reservation. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each funding opportunity announcement. In general, proposals are judged on the basis of relevance to program objectives as stated in the funding opportunity announcement, project strategy, community support in project design and implementation, reasonable cost estimates, and qualifications of applicant organization and personnel.

93.613 PRESIDENT'S COMMITTEE FOR PEOPLE WITH INTELLECTUAL DISABILITIES (PCPID)

(PCPID)

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Executive Order Executive Order 12994, as amended by Executive Orders 13309 and 13446, provides the authority for PCPID. The most recent PCPID Executive Order, 13708, was issued by the President on September 30, 2015 and is effective through September 30, 2017. http://www.acl.gov/programs/aid/Program_Resource_Search/Results_PCPIID.aspx.

OBJECTIVES:

The Presidents Committee for People with Intellectual Disabilities (PCPID), provides advice to the President and to the Secretary of Health and Human Services through the Commissioner of the Administration on Disabilities (AoD), concerning a broad range of topics relating to people with intellectual disabilities. The PCPID is solely an advisory committee.

TYPES OF ASSISTANCE:

Information

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

General public.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. None.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

NOT APPLICABLE.

Appeals:

NOT APPLICABLE.

Renewals:

NOT APPLICABLE.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

NOT APPLICABLE. See the following for information on how assistance is awarded/released: NOT APPLICABLE.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

75-0142-0-1-506.

Obligations:

(Salaries) FY 16 Not Available; FY 17 est \$0; and FY 18 Estimate Not Available - the Presidents Committee for People with Intellectual Disabilities (PCPID) is an advisory committee, within the Administration for Community Living (ACL), whose members are designated and appointed by the President of the United States. The Committee consists of 13 ex officio members designated from various Federal agencies, and a maximum of 21 citizen members appointed by the President. The role of the members of the Committee is to advise the President of the United States and the Secretary of Health and Human Services on matters pertaining to people with intellectual disabilities, and the field of intellectual disabilities. The Committee does not administer any grants.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-11-12-1536.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Advisory Committee Act of 11972. The Presidents Committee for People with Intellectual Disabilities is governed by Public Law 92-463, the Federal Advisory Committee Act, which sets forth standards for the formation and use of advisory committees (5 U.S.C., Appendix). Executive Order 12994, as amended by Executive Orders 13309 and 13708, provides the authority for PCPID. PCPID has been continued every two years by Executive Order and most recently by Executive Order 13652, issued on September 30, 2015, and effective through September 30, 2017.

The HHS Charter was amended on May 10, 2016.

Regional or Local Office:

None.

Headquarters Office:

Melissa Ortiz 330 C Street, SW
, Washington, District of Columbia 20201 Email: melissa.ortiz@acl.hhs.gov
Phone: (202) 795-7309

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.615 MATERNAL, INFANT, AND EARLY CHILDHOOD HOME VISITING RESEARCH PROGRAMS

Home Visiting Research Programs; MIECHV TA

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title V, 511(c)(4), (d)(1)(B)(iii), and (h)(3), (42 U.S.C. 711(c)(4), (d)(1)(B)(iii), and (h)(3)).

OBJECTIVES:

The Maternal, Infant, and Early Childhood Home Visiting (MIECHV) Research Programs are designed to: increase knowledge about the implementation and effectiveness of voluntary home visiting programs, using random assignment designs to the maximum extent feasible. Research relating to maternal, infant, and early childhood home visiting services will be conducted to advancing knowledge about the implementation and effectiveness of home visiting programs designed to improve life outcomes among mothers, infants, young children, and families who reside in at risk communities. Research and evaluation activities include consultation with independent researchers, State officials, and developers and providers of home visiting programs on topics such as research design and administrative data matching. The Home Visiting Research Programs include the Home Visiting Research Network.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Home Visiting Research (Project Grants): Assistance can potentially be used to conduct applied research relating to maternal, infant, and early childhood home visiting services which show promise of advancing knowledge about the implementation and effectiveness of home visiting programs designed to improve life outcomes among mothers, infants, young children, and families who reside in at risk communities. Research and evaluation activities include consultation with independent researchers, State officials, and developers and providers of home visiting programs on topics including research design and administrative data matching. Funds are to be used for research and evaluation activities.

Home Visiting Research Network (Cooperative Agreement): Assistance can be used to:

Support a network of home visiting researchers, practitioners, and other key stakeholder to lead collaborative efforts designed to improve the implementation and effectiveness of maternal, infant, and early childhood home visiting programs through: (a) research on the components of effective interventions, and (b) research targeted to improving the delivery of home visiting services; these partners will utilize the national research agenda to actively guide the Network's implementation of research studies through both MCHB and external funding sources;

Advance the methods of studying the implementation and effectiveness of home visiting programs;

Develop approaches to data sharing that will expedite the translation of research results into knowledge, products, and procedures;

Disseminate critical information and foster continued education activities on its research findings to inform researchers, professionals, policy makers, other stakeholders in the home visiting field, and the public;

Provide a research environment that is supportive of advancing home visiting research through: facilitating mentoring relationships between faculty members and emerging researchers; and encouraging active communication, networking, and collaboration among researchers. 2. Restrictions: Not more than 10 percent may be used for administering the award.

Applicant Eligibility:

As cited in 42 CFR Part 51a.3(b), only public or nonprofit institutions of higher learning and public or private nonprofit agencies engaged in research or in programs relating to maternal and child health and/or services for children with special health care needs may apply for grants, contracts or cooperative agreements for research in maternal and child health services or in services for children with special health care needs. Entities directly involved in the operation of home visiting programs are not eligible to apply.

Beneficiary Eligibility:

Funded research should benefit MIECHV awardees by increasing knowledge about the implementation and effectiveness of home visiting programs.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by an electronic Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Contact the Headquarters or Regional Office as appropriate for application deadlines or view the specific notice of funding opportunity.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details. Maintenance of Effort:

Per Social Security Act, Title V, 511(f), Funds provided to an eligible entity shall supplement, and not supplant, funds from other sources for early childhood home visitation programs or initiatives.

Length and Time Phasing of Assistance:

Approved projects are awarded a project period of up to three years pending satisfactory awardee performance, the availability of appropriated funds, and a decision that continued funding is in the best interest of the Federal Government. See the following for information on how assistance is awarded/released: Recipient drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are not applicable. Refer to "progress reports" information below. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:
<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation

for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Refer to "progress reports" information above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0321-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$299,000; FY 17 est \$0; and FY 18 est \$0 - Home Visiting Research Network (Cooperative Agreement. (Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Home Visiting Research (Project Grants).

Range and Average of Financial Assistance:

Home Visiting Research: Awards of up to \$300,000 per year.

TAFS Codes:

75-0321.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Secure additional external funding to support emerging home visiting researchers; convene the second annual home visiting research network national meeting; support an additional 5 research collaborative studies to further the evidence-base of home visiting programs. Fiscal Year 2017: HRSA is not currently funding awards under this CFDA; however, there are some awards that are still active (as of June 2017). Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Kyle Peplinski, MA
Maternal and Child Health Bureau, HRSA
5600 Fishers Lane, Room 18N160
Rockville, MD 20857
Email: kpeplinski@hrsa.gov
Phone: (301) 443-7758.

Headquarters Office:

Kyle Peplinski, MA 5600 Fishers Lane, Room 18N160, Rockville, Maryland
20857 Email: kpeplinski@hrsa.gov Phone: (301) 443-7758

Website Address:

<http://mchb.hrsa.gov/programs/homevisiting/>

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program; 93.870 Maternal, Infant and Early Childhood Home Visiting Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All competitive applications will be reviewed internally by grants management officials (business and financial review) and program staff (technical review) for eligibility, completeness, accuracy, and compliance with the requirements outlined in the notice of funding opportunity. All qualified applications will be forwarded to an independent objective review committee for review and rating based on the program elements and review criteria presented in the notice of funding opportunity. Based on the advice of the independent objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

93.618 VOTING ACCESS FOR INDIVIDUALS WITH DISABILITIES-GRANTS FOR PROTECTION AND ADVOCACY SYSTEMS

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Help America Vote Act, Title II, Part 5, Section 291, Public Law 107-252. , Title II, Part 5, Section 291, Public Law 107-252.

OBJECTIVES:

Section 291 of HAVA provides that funds be made available to Protection and Advocacy Systems to: (1) ensure full participation in the electoral process for individuals with disabilities; (2) provide education, training and assistance to individuals with disabilities that will promote their participation in the electoral process; (3) participate in advocacy and education efforts revolving around HAVA implementation efforts in their State or Territory; (4) training and education of election officials, poll workers, and election volunteers regarding the rights of the voters with disabilities and best practices in working with individuals with disabilities; (5) assist individuals with disabilities in filing complaints under the State-based administrative grievance procedure required by HAVA and represent individuals with disabilities in any hearing that may be held regarding the complaint; (6) provide assistance to States and other governmental entities regarding the physical accessibility of polling places; and (7) obtain training and technical assistance on voting issues, including education regarding accessible voting equipment and systems. Once educated, the information may be used to inform others of the availability of accessible voting equipment and its use.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

To assist Protection and Advocacy Systems to ensure full participation in the electoral process of individuals with a full-range of disabilities. Grant funds are only available for five years after the appropriation year, as required by 31 U.S.C. Section 1552.

Applicant Eligibility:

States and Territories who have a Protection and Advocacy System in place in accordance with the Developmental Disabilities Assistance and Bill of Rights Act of 2000 with the exception of the Commonwealth of the Northern Mariana Islands and Native Americans.

Beneficiary Eligibility:

Individuals with a full-range of disabilities.

Credentials/Documentation:

Cost will be determined in accordance with 45 CFR Part 75. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in

applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards applies to this program. The Protection and Advocacy Systems (P&As) for each territory and state must submit an application by the requested deadline as indicated in the Program Instruction. The application may be in the format of its choice addressing the seven areas in emphasis which the Help America Vote Act (HAVA) funds should be used. Funds requested by the P&As are used to provide education, training and assistance to individuals with disabilities that will promote their participation in the electoral process in seven different areas. The application is faxed, emailed and mailed to the Administration on Intellectual and Developmental Disabilities (AIDD) for furthering review and processing. The application is reviewed thoroughly in accordance with the eligibility criteria as outlined in the program instruction and processed approved by the program office.

Award Procedure:

Funds will be awarded annually.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

None. Awards are generally made for a 12-month project period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded annually. The Protection and Advocacy System in each State including the District of Columbia or the Commonwealth of Puerto Rico shall receive less than \$70,000 and no Territory will receive less than \$35,000. Method of awarding/releasing assistance: quarterly.

Reports:

Annual narrative report that describes how any funds authorized are due no later than December 31st of each year. No cash reports are required. Annual program and annual financial status reports required. Expenditures are to be reported using a Financial Status Report Short Form (SF-425) submission of annual financial reports are due 90 days after the end of each 12-month period (October 1 - September 30). Monitoring is performed through the review of annual program and financial status reports required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if all findings have not been resolved.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 16 \$4,963,000; FY 17 est \$4,963,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

The awards range from \$35,000 to \$348,000. The average award amount is approximately \$144,000.

TAFS Codes:

75-11-12-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2015: 4,963,000. Fiscal Year 2016: No current data available. Fiscal Year 2017: No Current Data Available. Fiscal Year 2017: No current data available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 75, Grant Administrative Requirements.

Regional or Local Office:

None. Administration on Intellectual and Developmental Disabilities, Administration for Community Living, Department of Health and Human Services, 330 C Street, SW, Washington, DC 20201. Contact: Melvenia Wright. Telephone: (202) 795-7472.

Headquarters Office:

Melvenia Wright 330 C Street, SW, Washington, District of Columbia 20201
Email: melvenia.wright@acl.hhs.gov Phone: (202) 795-7472

Website Address:

<http://www.acl.gov/Programs/AIDD/Index.aspx>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.621 AFFORDABLE CARE ACT INITIATIVE TO REDUCE AVOIDABLE HOSPITALIZATIONS AMONG NURSING FACILITY RESIDENTS

Nursing Facility Initiative

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Affordable Care Act, Public Law 111-148, section 3021.

OBJECTIVES:

Under this Initiative, the Centers for Medicare & Medicaid Services (CMS) selected eligible organizations to test a series of evidence-based clinical interventions. Eligible organizations will partner with long-term care (LTC) facilities and practitioners to implement and test a new payment model with the goal of improving the health and health care among LTC facility residents and ultimately reducing avoidable hospital admissions. The goal of these interventions is to improve the health and health care among long-stay nursing facility residents and ultimately reduce avoidable inpatient hospital admissions. Successful applicants will implement the payment model along with interventions that will have the following objectives (consistent with Phase I):
Reduce the frequency of avoidable hospital admissions and readmissions;
Improve resident health outcomes;
Improve the process of transitioning between inpatient hospitals and nursing facilities; and
Reduce overall health care spending without restricting access to care or choice of providers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Under the Cooperative Agreements, CMS funded enhanced care & coordination providers to implement an intervention that meets the objectives of the Initiative. All interventions must include the following activities:

Hire staff who shall maintain a physical presence at nursing facilities and who shall partner with nursing facility staff to implement preventive services and improve recognition, assessment, and management of conditions such as pneumonia, congestive heart failure, chronic obstructive pulmonary disease and asthma, urinary tract infections, dehydration, skin ulcers, falls, and other common causes of avoidable hospitalizations;

Work in cooperation with existing providers, including residents primary care providers, nursing facility staff, and families to implement best practices and improve the overall quality of nursing facility care, focusing on quality improvement activities that most directly relate to avoidable hospitalizations;

Facilitate residents transitions to and from inpatient hospitals and nursing facilities, including facilitating timely and complete exchange of health information among providers and providing support for residents and nursing facility staff to support successful discharge to the community as appropriate;

Provide support for improved communication and coordination among hospital staff (including attending physicians), nursing facility staff, residents primary care providers and other specialists, and pharmacies; and

Coordinate and improve management and monitoring of prescription drugs to reduce risk of polypharmacy and adverse drug events for residents, including inappropriate prescribing of psychotropic drugs.

All interventions must also:

Demonstrate a strong evidence base;

Demonstrate strong potential for replication and sustainability in other communities and institutions;

Supplement (rather than replace) existing care provided by nursing facility staff;

Coordinate closely with State Medicaid and State survey and certification agencies and State public health and health reform efforts, including other CMS demonstrations and waivers; and

Allow for participation by nursing facility residents without any need for residents or their families to change providers or enroll in a health plan. (Residents will be able to opt-out from participating, if they choose.)

The enhanced care & coordination providers must collaborate with State Medicaid and State survey and certification agencies and participating nursing facilities, with each enhanced care & coordination provider implementing its intervention in at least 15 Medicare- and Medicaid-certified nursing facilities in the same State. In addition to implementing the interventions and executing other activities outlined in the Initiative funding opportunity announcement, enhanced care & coordination providers must also participate in ongoing learning and diffusion activities and cooperate with operations support and evaluation efforts, including adapting models based on needed mid-course corrections. The following standard requirements apply to applications and awards under the Initiative funding opportunity announcement:

Specific administrative requirements, as outlined in 2 CFR Part 225 and 45 CFR Part 92 and OMB Circulars A-87, A-102, A-110, and A-133 apply to cooperative agreement awarded under this announcement.

All awardees under this project must comply with all applicable Federal statutes relating to nondiscrimination including, but not limited to:

- o Title VI of the Civil Rights Act of 1964,
- o Section 504 of the Rehabilitation Act of 1973,
- o The Age Discrimination Act of 1975,
- o Hill-Burton Community Service nondiscrimination provisions, and
- o Title II Subtitle A of the Americans with Disabilities Act of 1990.

All equipment, staff, other budgeted resources, and expenses must be used exclusively for the project identified in the awardees original cooperative agreement application or agreed upon subsequently with HHS, and may not be used for any prohibited uses.

Prohibited Uses of Cooperative Agreement Funds

To match any other Federal funds.

To provide services, equipment, or supports that are the legal responsibility

of another party under Federal or State law (e.g., vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.

To supplant existing State, local, or private funding of infrastructure or services, such as staff salaries, etc.

To be used by local entities to satisfy State matching requirements.

To pay for the use of specific components, devices, equipment, or personnel that are not integrated into the application.

To pay for construction or alteration and renovation of real property (A&R).

To pay for information technology (IT) equipment exceeding 10 percent of the total award. Any equipment, which includes IT, over \$5,000 must be approved by CMS.

To pay States for the use of any of their data made available for this Initiative.

Applicant Eligibility:

Applicants eligible to be enhanced care & coordination providers included, but were not limited to:

Organizations that provide care coordination, case management, or related services;

Medical care providers, such as physician practices;

Health plans (although this initiative will not be capitated managed care);

Public or not-for-profit organizations, such as Aging and Disability Resource Centers, Area Agencies on Aging, Behavioral Health Organizations, Centers for Independent Living, universities, or others;

Integrated delivery networks, if they will extend their networks to include unaffiliated nursing facilities.

Nursing facilities, entities controlled by nursing facilities, or entities for which the primary line of business is the delivery of nursing facility/skilled nursing facility services were excluded from serving as enhanced care & coordination providers under this cooperative agreement.

Legal Status: To be eligible, an organization must have been recognized as a single legal entity by the State where it is incorporated, and must have had a unique Tax Identification Number (TIN) designated to receive payment. The organization must have had a governing body capable of entering into a cooperative agreement with CMS on behalf of its members.

Beneficiary Eligibility:

The primary target population for the clinical interventions is fee-for-service Medicare-Medicaid enrollees in nursing facilities, but fee-for-service long-stay residents who are not yet Medicare-Medicaid enrollees will also benefit (i.e., Medicare beneficiaries not yet eligible for Medicaid, or Medicaid beneficiaries not yet eligible for Medicare but who represent similar opportunities for inpatient reductions).

Credentials/Documentation:

Six (6) organizations, which fulfilled these requirements (below), have already been selected for this Initiative. LTC facilities must execute a participation agreement with the ECCP prior to participating in the payment model. This agreement must also attest or state the LTC facilities commitment to meeting and maintaining the above criteria through the end of the Initiative (we note that a facilities ability to meet the demographic criteria of an average daily census of greater than 80 residents with greater than 40% of the total LTC facility census as long-stay Medicare enrollees in traditional FFS Medicare, may be outside of the facilities control and may fluctuate throughout the period of performance. CMS will address these fluctuations on a case by case basis). Executed agreements between LTC facilities and ECCPs for this phase of the Initiative may be submitted in lieu of a Letter of Intent (executed agreements preferred). For LTC facilities partnering with ECCPs for phase one of the Initiative, agreements for phase two should not supersede the existing agreements for phase one. Rather, these new agreements should supplement what has already been agreed upon. In addition to this documentation, the application is expected to address how the applicant will implement the cooperative agreement program, including how it will meet the clinical intervention requirements, and ultimately, meet the objectives of this Initiative. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Not Applicable

Award Procedure:

Seven (6) organizations, which completed the review process as outlined below, have already been selected for this Initiative.

The review process included the following:

- (1) An independent, objective review of applications will be conducted. The CMS review panel will assess the application based on the review criteria outlined in section V.1 above to determine the merits of the application and the extent to which it furthers the purposes of the Initiative. The review panel comments and recommendations will be condensed into a summary statement that will assist CMS in making the final award decision. CMS will use the information to judge the likelihood that the project will be successfully implemented and will have tangible, beneficial outcomes.
- (2) A program integrity screening of the applicant, its affiliates, or any other relevant individuals or entities to determine if prior investigations, CMS administrative actions, or claims analysis indicate these entities present a high risk for fraud and abuse under the Initiative.
- (3) Applications determined to be ineligible, incomplete, and/or non-responsive based on the initial screening may be eliminated from further review. However, the CMS/OAGM/GMO, in his or her sole discretion, may continue the review process for an ineligible application if it is in the best interest of the government to meet the objectives of the program.

Deadlines:

Mar 21, 2016 to Oct 23, 2020: The period of performance is September 24, 2012 through September 23, 2016. Six (6) organizations, which fulfilled these requirements, have already been selected for this Initiative.

Potential applicants were required to submit a non-binding Notice of Intent to Apply by September 9, 2015. Eastern Standard Time in order to be eligible for a funding award. Applications were due by October 29, 2015, 5:00 p.m. Eastern Standard Time.

Range of Approval/Disapproval Time:

Cooperative agreement awards were made on September 24, 2012.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. No. This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project period of performance is 48-months and is expected to last from September 2012 to September 2016. No restriction is placed on the time permitted to spend the money awarded. See the following for information on how assistance is awarded/released: Awards were made through cooperative agreements.

Reports:

The six (6) award recipients must comply with the report requirements as outlined below:

Enhanced care & coordination providers will be funded based on their ability to execute their proposed work plan. The components of the work plan include, but are not limited to:

There will be a separate work plan for Group A and Group B. Each work plan

will identify key milestones, tasks, interdependencies, and the responsible parties for each, as well as a related timeline. Each work plan should contain, at a minimum, target dates for the following:

In consultation with CMS, finalizing a list of LTC facilities to target for recruitment for Group A (this list should be large enough to form an adequate pool of prospective LTC facilities from which to screen and propose a final list of Group A LTC facilities to CMS). Confirming a primary and secondary contact at each prospective participating LTC facility.

Educating the targeted list of LTC facilities on all aspects of the Initiative
Educating and finalizing the list of respective practitioners at each LTC facility for both groups (can be different target dates for each group).

Completion of the recruitment of prospective LTC facilities for Group A.
Completion of screening of practitioners to confirm eligibility to participate and for final submission to CMS (for both groups).

Completion of the screening and prioritization of the list of LTC facilities to propose and submit to CMS for Group A.

For both groups, assessing LTC facilities current status as compared to the readiness review requirements (can be different target dates for each group). This will be tracked using a Readiness Review Tracker (see Appendix D for sample template).

For both groups, completion of requirements in order to pass the readiness review.

For Group B, a plan to implement any changes to the clinical intervention necessary to address any challenges identified to date, improve performance and to better coordinate the clinical intervention with the introduction of facility and practitioner payments.

Obtaining executed agreements for participation from each partnering LTC facility.

Target dates proposed should align with the deliverables described in this FOA. However, ECCPs may choose to propose earlier dates (e.g., obtain primary and secondary contacts for LTC facilities prior to the submission of an application for this FOA). The work plan will be reviewed regularly, as frequently as bi-weekly, and updated and provided to CMS, as needed. CMS may also share it with the State Medicaid agency and State survey and certification agency. CMS will regularly monitor operations. Awardees will be required to cooperate in providing the necessary data elements to CMS. CMS will contract with independent entities to assist in monitoring the programs and to conduct an independent evaluation. The six (6) award recipients must comply with the report requirements as outlined below:

The enhanced care & coordination provider must submit a semi-annual electronic SF 425 via the Payment Management System. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds. The seven (7) six (6) award recipients must comply with the report requirements as outlined below:

Enhanced care & coordination providers will be measured and funded based on their ability to execute their proposed work plan. The components of the work plan include, but are not limited to:

Meeting proposed milestones and deliverables as outlined in the work plan and communications plan;

Satisfying all Enhanced Care & Coordination Provider Activities, including a) submitting quarterly progress reports as scheduled and providing complete and accurate information for all required data fields in those reports and b) submitting timely, complete, and accurate semi-annual funding reports that show efficient use of cooperative agreement funds;

Participating in ongoing learning and diffusion activities, including those offered through the CMS Learning Community; and

Cooperating with operations support and evaluation efforts, including adapting models based on needed midcourse corrections.

CMS will regularly monitor operations. Awardees will be required to cooperate in providing the necessary data elements to CMS. CMS will contract with independent entities to assist in monitoring the programs and to conduct an independent evaluation. The six (6) award recipients must comply with the report requirements as outlined below:

The enhanced care & coordination provider shall detail how cooperative

agreement funds were used for each six-month period. This information shall be provided to CMS using the SF 424A form and the Monthly Financial Plan template (Appendix D of the funding opportunity announcement). CMS will use this information, in addition to quarterly progress reports, to monitor operations. Within 30 calendar days of the end of each six-month period, the enhanced care & coordination provider shall provide the completed SF 424A and relevant table from the Monthly Financial Plan. The six (6) award recipients must comply with the report requirements as outlined below:

Enhanced care & coordination providers will be measured and funded based on their ability to execute their proposed work plan. The components of the work plan include, but are not limited to:

Meeting proposed milestones and deliverables as outlined in the work plan and communications plan;
Satisfying all Enhanced Care & Coordination Provider Activities, including a) submitting quarterly progress reports as scheduled and providing complete and accurate information for all required data fields in those reports and b) submitting timely, complete, and accurate semi-annual funding reports that show efficient use of cooperative agreement funds;
Participating in ongoing learning and diffusion activities, including those offered through the CMS Learning Community; and
Cooperating with operations support and evaluation efforts, including adapting models based on needed midcourse corrections.
CMS will regularly monitor operations. Awardees will be required to cooperate in providing the necessary data elements to CMS. CMS will contract with independent entities to assist in monitoring the programs and to conduct an independent evaluation. The seven (7) award recipients must comply with the report requirements as outlined below:

The enhanced care & coordination provider must submit a semi-annual electronic SF 425 via the Payment Management System. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds. The seven (7) award recipients must comply with the report requirements as outlined below:

Enhanced care & coordination providers will be measured and funded based on their ability to execute their proposed work plan. The components of the work plan include, but are not limited to:

Meeting proposed milestones and deliverables as outlined in the work plan and communications plan;
Satisfying all Enhanced Care & Coordination Provider Activities, including a) submitting quarterly progress reports as scheduled and providing complete and accurate information for all required data fields in those reports and b) submitting timely, complete, and accurate semi-annual funding reports that show efficient use of cooperative agreement funds;
Participating in ongoing learning and diffusion activities, including those offered through the CMS Learning Community; and
Cooperating with operations support and evaluation efforts, including adapting models based on needed midcourse corrections.
CMS will regularly monitor operations. Awardees will be required to cooperate in providing the necessary data elements to CMS. CMS will contract with independent entities to assist in monitoring the programs and to conduct an independent evaluation. The seven (7) award recipients must comply with the report requirements as outlined below:

The enhanced care & coordination provider shall detail how cooperative agreement funds were used for each six-month period. This information shall be provided to CMS using the SF 424A form and the Monthly Financial Plan template (Appendix D of the funding opportunity announcement). CMS will use this information, in addition to quarterly progress reports, to monitor operations. Within 30 calendar days of the end of each six-month period, the enhanced care & coordination provider shall provide the completed SF 424A and relevant table from the Monthly Financial Plan. The seven (7) award recipients must comply with the report requirements as outlined below:

Enhanced care & coordination providers will be measured and funded based on their ability to execute their proposed work plan. The components of the work plan include, but are not limited to:

Meeting proposed milestones and deliverables as outlined in the work plan and communications plan;

Satisfying all Enhanced Care & Coordination Provider Activities, including a) submitting quarterly progress reports as scheduled and providing complete and accurate information for all required data fields in those reports and b) submitting timely, complete, and accurate semi-annual funding reports that show efficient use of cooperative agreement funds;
Participating in ongoing learning and diffusion activities, including those offered through the CMS Learning Community; and
Cooperating with operations support and evaluation efforts, including adapting models based on needed midcourse corrections.
CMS will regularly monitor operations. Awardees will be required to cooperate in providing the necessary data elements to CMS. CMS will contract with independent entities to assist in monitoring the programs and to conduct an independent evaluation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Not applicable.

Records:

The seven (6) award recipients must comply with the report requirements as outlined below:

Enhanced care & coordination providers must track data required for quarterly progress reports and semi-annual funding reports.

Account Identification:

75-0522-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$5,799,630; FY 17 est \$28,152,584; and FY 18 est \$28,367,101

Range and Average of Financial Assistance:

The seven (6) organizations which received funding are:

Alabama Quality Assurance Foundation Alabama,
HealthInsight of Nevada Nevada,
Indiana University Indiana,
The Curators of the University of Missouri Missouri,
The Greater New York Hospital Foundation, Inc. New York City, and
UPMC Community Provider Services - Pennsylvania.

The awards ranged from: \$5 million to \$25 million to cover a four-year period of performance.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The background provided in the funding opportunity announcement describes relevant literature. A list of references is also included.

Regional or Local Office:

None. Not Applicable.

Headquarters Office:

Nicole Perry 7500 Security Blvd., Baltimore, Maryland 21214 Email: Nicole.Perry@cms.hhs.gov Phone: 410-786-8786

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Award Procedures (093).

93.622 COORDINATING CENTER FOR INTERPROFESSIONAL EDUCATION AND COLLABORATIVE PRACTICE

Coordinating Center for Interprofessional Education and Collaborative Practice (CC-IPECP)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 747(a) and Section 831 of the Public Health Service Act.

OBJECTIVES:

The purpose of the coordinating center for interprofessional education and collaborative practice (CC-IPECP) is to provide an infrastructure for leadership, expertise, and support to enhance the coordination and capacity building of IPECP among health professions across the U.S. particularly in medically underserved areas. Through innovative program coordination, scholarly activities, and analytic data collection, the coordinating center raises the visibility of high-quality, coordinated, team-based care that is informed by best practice models for interprofessional education. The CC-IPECP is a focal point in a growing national effort to foster IPECP among health professions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for personnel costs, consultant costs, fringe benefits, travel, equipment, supplies, contractual, data collection activities, indirect costs and other expenses.

Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment. Funds under this announcement may not be used for the following purposes:

Student support including tuition, stipends, scholarships, fees, bonuses, student salaries and travel;

Subsidies or paid release time for project trainees/participants;

Payment of temporary personnel replacement costs for the time trainees/participants are away from usual worksite during involvement in project activities; and

Accreditation, credentialing, licensing, continuing education, and franchise fees and expenses; preadmission costs, student books and fees; promotional items and memorabilia; food and drinks; and animals laboratories.

Applicant Eligibility:

Eligible applicants for this cooperative agreement represent a consortia or a partnership of entities such as a health professions school and a healthcare facility (e.g., between multiple health professions education programs and at least one team-based practice setting as a central partner) identified in the Public Health Service Act, Sec. 747(a) and Sec. 831. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible. Please refer to the Notice of Funding Opportunity for additional information.

Beneficiary Eligibility:

Please refer to the Notice of Funding Opportunity for additional information on beneficiary eligibility.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. Applicants must provide non-Federal matching funds of not less than twenty-five percent of the federal award to ensure an institutional commitment to the project funded under the grant. Such non-Federal funds may be provided directly by the applicant or through donations from public or private entities and may be in cash or in-kind, fairly evaluated, including plant, equipment, or services.

This program has MOE requirements, see funding agency for further details.

The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 5-year project period. See the following for information on how assistance is awarded/released: Recipients drawdown funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0353-0-3-553.

Obligations:

(Cooperative Agreements) FY 16 \$787,691; FY 17 est \$90,000; and FY 18 est \$0

Range and Average of Financial Assistance:

One award each year.

TAFS Codes:

03-0353.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Kasey Farrell, MS, HRSA, Bureau of Health Workforce, Division of Nursing and Public Health 5600 Fishers Lane Room 11N-110., Rockville, Maryland 20857 Email: kfarrell@hrsa.gov Phone: 301-443-0188

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide for an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.623 BASIC CENTER GRANT

Basic Center Program (BCP)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Reconnecting Homeless Youth Act of 2008

Runaway and Homeless Youth Act, Title III, Part A, Section 311, Public Law 110-378, 42 U.S.C 5711(a)(1).

OBJECTIVES:

The purpose of Part A of the RHY Act (Runaway and Homeless Youth Grant Program) is to establish or strengthen locally controlled community-based programs that address the immediate needs of runaway and homeless youth and their families. Services must be delivered outside of the law enforcement, child welfare, mental health, and juvenile justice systems. The goals and objectives of the Basic Center Program are to: 1) alleviate problems of runaway and homeless youth; 2) reunite youth with their families, if deemed safe, and encourage the resolution of intra-family problems through counseling and other services; 3) strengthen family relationships and encourage stable living conditions for youth; and 4) help youth decide on constructive courses of action.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Each Basic Center Program is required to provide outreach to runaway and homeless youth; street-based services to youth victims of trafficking; temporary shelter for up to twenty one (21) days; food; clothing; individual, group and family counseling; aftercare and referrals, as appropriate. Basic Center Programs are required to provide their services in residential settings for no more than twenty (20) youth unless a state or local law or regulation requires a higher maximum to comply with licensure requirements for facilities serving child and youth. Some programs also provide part or all of their shelter services through host homes (usually private homes under contract to the centers), with counseling and referrals being provided from a central location. Basic Center Programs offer shelter to youth who are less than 18 years of age and who are at risk of separation from their family.

Applicant Eligibility:

States, localities, private entities, and coordinated networks of such entities are eligible to apply for a Basic Center Program grant unless they are part of the law enforcement structure or the juvenile justice system. Federally recognized Indian organizations are also eligible to apply for grants as private, non-profit agencies.

Beneficiary Eligibility:

Runaway and homeless youth and their families are the beneficiaries. Services can be provided to youth up to the age of 18.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Part 75 of Title 45 of the Code of Federal Regulations. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application for Federal Assistance, Standard Form 424 must be submitted. Specific instructions are published via the Internet under the Form section at the following website address: <http://www.acf.hhs.gov/grants>.

Award Procedure:

All applications are reviewed by panels of nonfederal experts which assigns scores according to the published evaluation criteria. The panels' scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau, who makes recommendations to the ACYF Commissioner, who makes the final funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Awards are generally made for 3-year project periods; funding for the second and third year is dependent upon satisfactory performance and availability of funds.

Formula and Matching Requirements:

Statutory Formula: Public Law Reconnecting Homeless Youth Act. The statute contains a formula for allocation of funds by State. It is based on the population of each State under age 18 as a proportion of the current national population under age 18.

Matching Requirements: Percent: 10%. Federal share of grant is up to 90 percent. The nonfederal share may be in cash or in-kind contributions. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded competitively for project periods of up to 3 years. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance: annually.

Reports:

No program reports are required. Reports are submitted quarterly using the SF-272 Federal Cash Transaction Report through the payment management system. Funded applicants are required to submit semi-annual program narrative reports, a final program narrative at the completion of the three-year funding cycle as well as Runaway and Homeless Youth Homeless Management Information System (RHY-HMIS) client service data reports. Funded applicants are required to submit semi-annual SF-425 financial reports as well as a final SF-425 financial report 90 days after the completion of the three-year funding cycle. Federal program specialists conduct on-site monitoring visits in concert with the information from RHY-HMIS to assess performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial records are to be maintained 3 years after termination of the project or until an audit is completed, whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 16 \$51,310,582; FY 17 est \$50,912,164; and FY 18 est \$51,401,960

Range and Average of Financial Assistance:

\$100,000 to \$200,000 per budget period. Average \$168,535.

TAFS Codes:

75-1536-506.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: A total of 296 grants were awarded, to include 98 for new programs and 198 grant awards to support existing programs. Fiscal Year 2017: It is anticipated that a total of 289 grants will be awarded, to include 107 for new start programs and 182 grant awards to support existing programs. Fiscal Year 2018: It is anticipated a total of 296 grants will be awarded with 97 being new awards and 199 awards to support existing programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters Office listed below for available literature. You may also

get information on the Family and Youth Services Bureau website at the following address <http://www.acf.hhs.gov/programs/fysb> under the "About" section.

Information is also available through the National Clearinghouse on Families and Youth, 5515 Security Lane, Suite 800, North Bethesda, MD 20852. Telephone: (301) 608-8098. Fax: (301) 608-8721. The National Clearinghouse on Families and Youth website address is www.ncfy.com.

Regional or Local Office:

See Regional Agency Offices. Contact: Administration for Children and Families, Regional Offices, Family and Youth Services Bureau, Division of Adolescent Development and Support (See Appendix IV of this Catalog for the addresses of the Regional Offices.).

Headquarters Office:

Christopher Holloway 330 C Street, SW, Washington, District of Columbia 20201 Email: christopher.holloway@acf.hhs.gov Phone: (202) 205-9560

Website Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.550 Transitional Living for Homeless Youth; 93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An assessment is made on the basis of the degree to which: (1) the likelihood that the proposal will provide necessary services including emergency shelter, counseling, and aftercare to runaway and other homeless youth and their families; (2) reasonableness of cost of these services; and (3) qualifications of staff. Applications will be evaluated based on the criteria published in the Funding Opportunity Announcement located on the following website address: <http://www.acf.hhs.gov/grants>.

93.624 ACA - STATE INNOVATION MODELS: FUNDING FOR MODEL DESIGN AND MODEL TESTING ASSISTANCE

State Innovation Models (SIM)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Section 1115A of the Social Security Act (Added by Section 3021 of the Affordable Care Act).

OBJECTIVES:

The Center for Medicare and Medicaid Innovation (Innovation Center) announces the second round of funding for the State Innovation Models (SIM) program. SIM is based on the premise that state innovation with broad stakeholder input and engagement, including multi-payer models, will accelerate delivery system transformation to provide better care at lower costs. SIM is focused on public and private sector collaboration to transform the states delivery system. SIM provides financial and technical support to states to test the ability of state governments to use their regulatory and policy levers to accelerate health transformation. In Round 1, CMS partnered with 6 Model Test states to implement state-wide health transformation strategies and 19 Model Design states to develop and refine State Healthcare Innovation Plans to guide future implementation efforts.

Drawing on lessons from the funding opportunity released in Round 1, State Innovation Models: Funding for Model Design and Testing Assistance (CMS-1G1-12-001) (Round 1 FOA), Round 2 of SIM specifies additional parameters that CMS believes correlate with successful state-wide health transformation. These parameters are described in this Funding Announcement in the form of requirements for Round 2 applications. CMS will fund up to 12 Model Test states with approximately \$20-100 million grants per state, with funding based in part on the size of the state population and the scope of the

transformation proposal. Additionally, CMS will provide up to \$3 million per state for up to 15 Model Design cooperative agreements to design new State Health System Innovation Plans or enhance existing plans developed in Round 1. All Round 1 Model Design states must apply for Round 2 of SIM. The Round 1 FOA indicated that states receiving Round 1 Model Design awards must submit a Model Testing proposal for the Round 2 FOA. CMS is amending that requirement to submit a testing proposal in Round 2, so that a Model Design state may either apply for a Model Test award or may apply for a second Model Design award in order to enhance their State Health System Innovation Plan for future testing.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Illustrative List of Allowable Model Test Costs

Allowable costs associated with state Model Test work could include:

Technical resources necessary to implement new models
 Model performance data collection, analysis, reporting cost
 Data center costs, and system information processing associated with the Model Test
 Provider costs for data collection
 Coordination with Innovation Center rapid cycle evaluation, and costs for collecting and preparing data for Innovation Center evaluator and/or state evaluator
 Staff resources associated with model management and project management, including travel to SIM workshops and conferences
 Simulation and modeling cost
 Provider and beneficiary data management system cost
 Costs of certified EHR technology/applications to support the states health transformation plan for providers ineligible for the Medicare/Medicaid EHR Incentive Programs.
 Health information exchange costs associated with the model
 Infrastructure costs to build or expand telemedicine system
 Model beneficiary assignment or reconciliation cost
 Web and internet collaborative learning and communication cost
 Project management and reporting cost
 Business operation associated with the model
 Model contract management and administration
 Building a statewide allpayer database
 Impact model evaluation data collection, reporting, beneficiary and provider survey data, and other costs associated with final model evaluation

Other activities necessary to implement the overall State Health System Innovation Plan that will further the testing of payment and service delivery models and improve outcomes for Medicare, Medicaid and CHIP beneficiaries.

Allowable costs associated with state Model Design work could include:

State staff costs to engage in model design
 Staff participation and travel to relevant learning collaboratives and workshops and other relevant learning and diffusion opportunities
 Investments in State data collection and analysis capacity and cost and utilization pattern analysis
 Consumer and provider engagement and focus group costs
 Actuarial modeling
 Performance measure development and evidence-based improvement research
 Business process analysis and requirement system analysis
 Policy, legal, and regulatory research to address legislative and legal frameworks for models
 Planning and convening for creating a statewide allpayer data-base
 Planning work relating to public health programs including the states Healthy People 2020 plan, and meeting goals for the National Quality Strategy and/or National Prevention Strategy
 Model Design costs, including:
 Model scope development
 Theory of action development

Target population research
 Setting performance targets
 Financial analysis and analysis of health care trend impacts
 Budget planning
 Travel to SIM workshop and conferences

The following are areas that are out of scope and were not be considered under the state Innovation Models initiative:

- a. Medicare eligibility changes;
- b. Coverage or benefits reductions in Medicare or Medicaid or any changes that would have the effect of rationing care;
- c. Increases in premiums or cost sharing;
- d. Increases in net federal spending under the Medicare, Medicaid or CHIP programs;
- e. Medicaid FMAP formula changes;
- f. Changes to the EHR incentive program for eligible professionals and eligible hospitals;
- g. Changes in State Financial Alignment Models;
- h. Reductions in Medicare beneficiary choice of provider or health plan, or Medicaid choice of provider or health plan beyond those allowed today, or changes to maintenance of effort requirements;
- i. Changes to CMS sanctions, penalties, or official denial of participation currently in effect.

CMS will not fund proposals that duplicate models for populations that are already being funded and tested as part of any other CMS and/or HHS initiatives. For example, if the state receives a Strong Start for Mothers and Newborns cooperative agreement, SIM funding will only be used in a coordinated manner to complement and not to duplicate or supplant funding for Strong Start for Mothers and Newborns. SIM funding may not supplant existing federal or state funding. States may propose the use of SIM test funds to support additional costs associated with or created by testing a SIM model. States may not use SIM funds as state match under the Medicaid or CHIP programs nor use funds to substitute for currently funded Medicaid or CHIP services or administrative activities.

Indirect Costs

If requesting indirect costs, an Indirect Cost Rate Agreement will be required. For this Cooperative Agreement funding opportunity indirect costs are limited to 10%.

The provisions of 2 CFR Part 225 (previously OMB Circular A-87) govern reimbursement of indirect costs under this solicitation. A copy of these cost principles is available online at:
http://www.ecfr.gov/cgi-bin/text-idx?c=ecfr&tpl=/ecfrbrowse/Title02/2cfr225_main_02.tpl.

Direct Services

Cooperative Agreement funds may not be used to provide individuals with services that are already funded through Medicare, Medicaid, and/or CHIP Reimbursement of Pre-Award Costs

No cooperative agreement funds awarded under this solicitation may be used to reimburse pre-award costs.

Prohibited Uses of Cooperative Agreement Funds

To match any other Federal funds.

To provide services, equipment, or support that are the legal responsibility of another party under Federal or state law (e.g., vocational rehabilitation, criminal justice, or foster care) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.

To supplant existing Federal state, local, or private funding of infrastructure or services.

To be used by local entities to satisfy state matching requirements.

To pay for the use of specific components, devices, equipment, or personnel that are not integrated into the entire service delivery and payment model proposal.

To lobby or advocate for changes in Federal and/or state law. CMS will not fund proposals that duplicate models for populations that are already being funded and tested as part of CMS or HHS initiatives. For example, if the state receives a Strong Start for Mothers and Newborns cooperative agreement, SIM funding will only be used in a coordinated manner and not to supplant funding for Strong Start for Mothers and Newborns. States may propose the use of SIM

testing funds to support additional costs associated with or created by testing a SIM model.

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To be used by local entities to satisfy state matching requirements.

To pay for the use of specific components, devices, equipment, or personnel that are not integrated into the entire service delivery and payment model proposal.

To lobby or advocate for changes in Federal and/or state law.

Applicant Eligibility:

CMS invites the 50 state Governors Offices, United States Territories Governors Offices (American Samoa, Guam, Northern Mariana Islands, Puerto Rico, and the Virgin Islands), and the Mayors Office of the District of Columbia to apply.

Only one application from a Governor per state is permitted for either a Model Design or a Model Test award (assuming the state applied and was not selected for funding under the first round of Model Test awards).

A state cannot receive multiple Round 2 Model Design or Model Test awards.

A state cannot receive both a Round 2 Model Design award and a Round 2 Model Test award.

Each application must include a letter from the Governor (or the Mayor, if from the District of Columbia) officially endorsing the application for a Model Design award or for a Model Test award.

States currently engaged in a Model Test award with CMS are NOT eligible to apply for funding under Round 2.

A state may propose that an outside organization focused on quality and state delivery system transformation, such as a non-profit affiliated with the State Department of Health or a public-private partnership supported by the Governors Office, receive and administer funds through a Model Design or Model Test award. The Governors Office must submit such requests in writing to CMS with its Letter of Intent and include a justification for the request and an attestation that the state will actively participate in all activities described in its proposal. Approval of such requests will be at the sole discretion of CMS. Only one such request supported by the Governor will be allowed per state. A state pursuing this approach will still be expected to address all of the required areas described in this FOA.

Eligibility Threshold Criteria:

All applicants must have submitted a required letter of intent to the programmatic point of contact in Section VI, Agency Contacts by June 6, 2014. If a letter of intent has not been submitted by the required due date, any subsequent application submitted by the entity will be ineligible. See Section IV.2.A, Letter of Intent to Apply, for more information.

Application deadline: Applications not received by the application deadline (TBD) through www.grants.gov will not be reviewed.

Application requirements: Applications will be considered for funding only if the application meets the requirements outlined in Section III, Eligibility Information and Section IV, Application and Submission Information.

Page limits: Model Test applications shall not be more than 55 pages in length. Model Design applications shall not be more than 27 pages in length. Both types of applications must be limited to the page maximums, sequence of sections, and section content specified in Section IV.2 Content and Form of Application Submission, parts C & D.

In addition, applications should include attestations of support from key stakeholders. The letters of support will not be included in the page limits for applications. The letters should attest to stakeholders active engagement in the model and must contain specific information about how the stakeholders will contribute to the SIM process.

The standard forms, project abstract, Governors endorsement, and curriculum vitae are also not included in these page limits.

States are strongly encouraged to review the criteria information provided in Section V of the FOA, Application Review Information, to help ensure that the proposal adequately addresses all the criteria that will be used in evaluating applications and determining appropriate funding levels for each award.

Beneficiary Eligibility (082):

The emphasis is on targeting Medicare, Medicaid, and CHIP populations.

Proposals will describe the target populations, geographic areas, or communities that will be the focus of service delivery and payment model testing, the current quality and beneficiary experience outcomes including current health population status, and the specific improvement targets expected

from the model.

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Credentials/Documentation:

Employer Identification Number: All applicants must have a valid Employer Identification Number (EIN) assigned by the Internal Revenue Service.

Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS number): All applicants must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number in order to apply. The DUNS number is a nine-digit identification number that uniquely identifies business entities. Obtaining a DUNS number is free. To obtain a DUNS number, access the following website: www.dunandbradstreet.com or call 1-866-705-5711. See Section IV, Application and Submission Information, for more information on obtaining a DUNS number.

All applicants must register in the System for Award Management (SAM) database (formerly CCR) (<https://www.sam.gov/portal/public/SAM>) in order to be able to submit an application at <http://www.grants.gov>. The SAM process is a separate process from submitting an application. Applicants should begin the SAM registration process as soon as possible after the announcement is posted to ensure that it does not impair your ability to meet required submission deadlines. In order to register, applicants must provide their DUNS and EIN numbers. Additional information about SAM is available at <https://www.sam.gov/portal/public/SAM/>.

Applicants must successfully register with SAM prior to submitting an application or registering in the Federal Funding Accountability and Transparency Act Subaward Reporting System (FSRS) as a prime awardee user. Organizations must report executive compensation as part of the registration profile at <https://www.sam.gov/portal/public/SAM> by the end of the month following the month in which this award is made, and annually thereafter (based on the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109-282), as amended by Section 6202 of Public Law 110-252 and implemented by 2 CFR Part 170)). Primary awardees must maintain a current registration with the SAM database, and may make subawards only to entities that have DUNS numbers. See Section VI, Award Administration Information, for more information on FFATA.

The Grants Management Specialist assigned to monitor the subaward and executive compensation reporting requirements is Iris Grady, who can be reached at divisionofgrantsmanagement@cms.hhs.gov. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This Funding Announcement offers two separate funding opportunities: (1) Model Test awards and (2) Model Design awards.

Model Test: Proposal Requirements

The Innovation Center will award up to 12 Model Test awards to states through this Funding Announcement. Model Test awards will provide financial and technical support to implement fully developed proposals for successful state-wide transformation. Awards will range from \$20-100 million per state totaling \$700 million over a four-year period, based on the size of the states

population and the scope of the proposal. If a Model Test applicant is not selected for a Model Test award, CMS may select the state/entity for a Model Design award if (1) after all possible states/entities which applied for Model Design awards are selected and funding is still available to issue additional Model Design awards (not to exceed overall maximum of 15 Model Design awards); and (2) CMS determines the state/entity is not ready for a Model Test award and would benefit from Model Design funding. States currently engaged in a Model Test award with CMS will not be eligible to apply for funding in Round 2.

The selection criteria for the Round 2 Model Test application will be based on a states ability to successfully apply policy and regulatory levers over the award performance period to address three focus areas: (1) improving population health; (2) transforming health care delivery systems; and (3) decreasing per capita total health care spending. During the selection process, CMS will require additional discussions with applicants regarding their proposals. Selection for a Round 2 Model Test will not constitute nor guarantee approval of a request for a Medicaid State Plan Amendment (SPA) or a Medicaid 1115 Waiver. States seeking a Medicaid SPA or Medicaid 1115 waiver may do so under established processes.

In addition to an expert panel review, selected applicants for Model Test cooperative agreements will be required to present their Round 2 proposals. Selected applicants will be invited and strongly encouraged to present their proposal in person, or conversely can present virtually to a panel comprised of external experts as well as HHS leadership. The states presentation must be led by a cabinet-level health official, such as the Secretary of Health, and include providers and commercial payers who have committed to actively participate in the model. In the case of public-private partnership entities applying for a state innovation model test, senior leaders from the private and public sector, including senior leaders of the applicant entity, shall be present. All travel expenses incurred by applicants will not be reimbursed by CMS nor can they be reimbursed by any funding awarded under this FOA. In addition, if a state does not participate in the required presentation, it will not be eligible for funding.

Model Test applications must address the following required areas:

A. Model Test Project Narrative. The state must produce a detailed and fully developed proposal capable of creating state-wide health transformation for the preponderance of care within the state. For each individual element and/or program in the test proposal, the state must highlight how the element or program will (1) improve population health; (2) transform the health care delivery system; and/or (3) decrease per capita health care spending, drawing on a supporting evidence base.

B. While Round 2 provides states with significant flexibility to design contextually-specific plans for state-wide health transformation, each proposal must also include the following core elements:

(1) Plan for improving Population Health. The state must develop a state-wide plan to improve population health during the project period. The state will be offered the opportunity to obtain technical support from the Centers for Disease Control in developing the plans. The plans should include integration of population health strategies with public health officials and health care delivery systems for all populations. At a minimum, plans should address the core measures identified in the population health metrics document, namely: tobacco use and the incidence of obesity, and diabetes. (See Appendix 1.) In addition, states should consider integrating state strategies to address child wellness and prevention priorities, as applicable, including such factors as reducing childhood obesity, preventing early childhood dental caries, and addressing maternal depression to foster healthy child development.

(2) Health Care Delivery System Transformation Plan. CMS has identified the following characteristics to be closely associated with transformed health care delivery systems:

- a. Providers across the state and across the care continuum participate in integrated or virtually integrated delivery models;
- b. Over 80% of payments to providers from all payers are in fee-for-service

alternatives that link payment to value;

- c. Every resident of the state has a primary care provider who is accountable both for the quality and for the total cost of their health care;
- d. Care is coordinated across all providers and settings;
- e. There is a high-level of patient engagement and quantifiable results on patient experience;
- f. Providers leverage the use of health information technology to improve quality;
- g. There is an adequate health care workforce to meet state residents needs;
- h. Providers perform at the top of their license and board certification;
- i. Performance in quality and cost measures is consistently high;
- j. Population health measures are integrated into the delivery system; and
- k. Data is used to drive health system processes.

The state must describe in detail how it will engage providers in health care delivery system transformation across the state, working towards the goals described above.

CMS recognizes that individual state proposals will vary considerably. However, in reviewing the Health Care Delivery Transformation Plan, and the proposed cost and quality targets, CMS will consider state, regional, and national demographics, proposal parameters, alignment/overlap of existing CMS programs, and other factors that impact health.

One or more specific payment and/or service delivery models that include, but are not limited to, the states Medicaid population, state employee population, and/or commercial payers populations. The payment and/or service delivery models must identify the targeted populations, the number of beneficiaries served, the number of participating providers, and the services to be delivered. CMS encourages applicants to propose payment models that directly align with one or more existing Medicare programs, demonstrations, and/or models, such as accountable care organizations (ACOs), primary care medical homes, and bundled payment programs. Medicare participation is not guaranteed and will be assessed on a case-by-case basis after thorough review of the proposed model.

As SIM aims to reach a preponderance of a states population and Medicaid can serve as an important lever for driving health care delivery system transformation, the state should describe any Medicaid expansion activities and the percentage of the states population that is covered by Medicaid.

The state must commit to using multiple regulatory authorities to influence the structure and performance of the states health care system. Regulatory authorities whose uses are envisioned under SIM include, but are not limited to the following:

- a. Aligning certificate of need processes and criteria (if applicable) to reinforce accountable care and delivery system transformation or developing alternative approaches to certificate of need programs, such as community-based approaches that could include voluntary participation by all providers and payers;
- b. Developing regulatory approaches to improve the effectiveness, efficiency and appropriate mix of the health care work force, such as through professional licensure/accreditation of providers and/or expanding scope of practice statutes;
- c. Creating opportunities to align state regulations and requirements for health insurers with the broader goals of multi-payer delivery system and payment reform;
- d. Integrating value-based principles into health insurance exchange Qualified Health Plan (QHP) certification processes, state employee plans, or Medicaid managed care plans including through selective contracting with carriers to provide health care coverage plans that provide the most competitive combination of value, quality, and choice; and
- e. Requiring academic medical centers and professional schools to integrate transformation-based teachings into medical education programs.

f. This list is not intended to be exhaustive. States may propose alternative regulatory authorities that support delivery system transformation to satisfy this requirement in consultation with CMS.

CMS recognizes that health information technology and data analytics will be important to achieving optimal efficiency and improved outcomes in state-wide health care delivery. States may propose to use SIM funds for the implementation of specific technology, software, applications, or other analytical tools as part of state infrastructure development to support the Model Test as long as the state provides a clear strategy for how, if applicable, the technological approach will be financed in addition to SIM, how it will not supplant other funding sources, and how it will be sustained after the cooperative agreement period has ended. Proposals must document the current state of health information technology adoption and utilization in the state, including current EHR adoption levels, percentage of providers meeting Meaningful Use requirements in the EHR Incentive Programs, and use of technology to support HIE activities. The Model Test proposals must also provide detailed descriptions for health information technology plans in the following domains:

- a. Governance: Describe how state leadership will direct the planning and oversight of implementation; supply a comprehensive plan to implement infrastructure to support the Model Test that leverages existing assets and aligns with federally-funded programs and state enterprise IT systems; and explain how the governance structure will incorporate and expand existing public/private health information exchanges, including those operated by ACOs.
- b. Policy: Describe policy and regulatory levers that will be used to accelerate standards based health information technology adoption to improve care in the state Model Test; describe methods to improve transparency and encourage innovative uses of data; offer a plan for promotion of patient engagement and shared-decision making; and propose multi-payer strategies to enable and expand the use of health information technology.
- c. Infrastructure: Describe how the state will implement analytical tools and use data driven evidence based approach to coordinate and improve care across the state Model Test; offer plans to utilize telehealth and perform remote patient monitoring to increase access to care and the timeliness of care; articulate plans to use standards based health IT to enable electronic quality reporting; explain how public health IT systems (such as clinical registry systems) will be integrated; and describe how support of electronic data will drive quality improvement at the point of care.
- d. Technical Assistance: Define how the state will provide technical assistance to providers; identify targeted provider groups that will receive assistance and what services will be delivered; and identify how the state intends to extend resources to providers ineligible for Meaningful Use incentive payments, if applicable.

This list is not intended to be exhaustive. States may propose alternative approaches to data analytics and health information technology that support delivery system transformation. States will be offered the opportunity to obtain technical support from the Office of the National Coordinator for Health IT in developing the plans.

In addition to explaining the individual components of the test, the State must address its rationale for how the specified elements and/or programs, in combination, will achieve state-wide health transformation.

States may elect to focus on select areas of the state and/or to sequence elements or programs in the test geographically or temporally. The state should identify the tests geographic scope in this section and address any sequencing of individual elements and/or programs in its response to Section I.4.A.iv., Operational Plan, below.

The state must demonstrate how it will use its unique role as a stakeholder convener to accelerate state-wide health transformation. The state must (1) demonstrate that there are a significant number of key stakeholders representative of the entire state population engaged and actively committed to the implementation of the states Model Test proposal and (2) present a clear and pragmatic strategy for maintaining stakeholder commitment throughout implementation of the proposed test. Stakeholders must include health care providers/systems, commercial payers/purchasers, state hospital and medical associations, community-based and long term support providers, consumer

advocacy organizations, and, as applicable, tribal communities.

The state must submit attestations of support from each identified stakeholder as part of its application (template provided in Appendix 3). Notwithstanding the above, representatives from stakeholder organizations must be prepared to travel to CMS or participate in a virtual teleconference during the selection process to discuss their commitment to the states proposal.

The state must provide plans to develop a state-wide plan to align quality measures across all payers in the state. If the state and key stakeholders have not yet reached consensus on such a plan at the time of submission, the proposal must describe in detail any progress to date on quality measure alignment, including the successes and challenges faced, and must articulate a path for developing a realizable plan by the conclusion of the up to 12 month pre-implementation period. The plan should also demonstrate the payers commitment to reducing the administrative and/or non-clinical burden to providers in the state.

The state must provide quantifiable measures for regularly monitoring the impact of its proposed model, including the effectiveness of the policy and regulatory levers applied under the Model Test, on the three key outcomes of (1) strengthening population health; (2) transforming the health care delivery system; and (3) decreasing per capita health care spending. Measures should be selected with a focus on the particularized state health demographics and health needs the Model Test proposal aims to address. All quality and cost measures must use the states entire population in the denominator. Examples of measure domains that may apply include:

Population health: percentage of state residents using tobacco
Health care delivery system transformation: percentage of state residents attributed to a primary care doctor
Per capita cost spending: per capita Medicare inpatient costs

Final measures will be refined in conjunction with CMS during the up to 12 month pre-implementation period.

The state also will be responsible for monitoring and reporting to CMS on the progress and impact of its Model Test at regular intervals. In addition, CMS will conduct an independent evaluation of funded proposals in accordance with the requirements set forth in Section 1115A of the Social Security Act (added by Section 3021 of the Affordable Care Act).

The state must identify all existing health care innovation initiatives occurring within the state, including CMS, HHS, federal, and external initiatives (e.g., the Robert Wood Johnson Foundation Aligning Forces for Quality program), and demonstrate how the proposal aligns with these health care innovation efforts. The state must describe how the proposal will (1) coordinate with and build upon existing initiatives and (2) ensure that federal funding will not be used for duplicative activities, or to supplant current federal or state funding. For example, if a state is participating in the State Financial Alignment Model, the state should describe how the State Financial Alignment Model complements the states proposed SIM model.

As part of its application, the state must submit a SF-424A and a budget narrative. The budget narrative must be consistent with the SF-424A and Model Test requirements as well as limit overhead and administrative costs to no more than 10% of direct costs. States should indicate other resources that will aid in implementing the Model Test plan. See Section IV. 2. Content and Form of Application Submission for more information.

As part of its application, the state must submit a Financial Analysis. The Financial Analysis must estimate the proposals return on investment for the Model, and specifically for Medicare, Medicaid, and/or CHIP populations, over the performance period of the award as well as on a projected annualized basis after the term of the award is finished. The state must explain how its interventions will reduce total cost of care for the beneficiaries its model serves. The Financial Analysis also must provide financial models explaining the logic driving their forecasted cost of care savings.

The state must obtain and submit an external actuarial certification of their Financial Analysis with their application. A qualified actuary who is a member of the American Academy of Actuaries must complete the external certification. The CMS Office of the Actuary will assist in reviewing the reasonableness of the estimated cost to the government, and will review the potential for federal savings. The external actuarial certification, as well as the review of the CMS Actuary, will be considered in final selection of Model Test awards.

The state must submit a detailed Operational Plan that describes the activities and budgets for each year of the model and provide a detailed timeline for implementation and major milestones for successfully executing the Plan. The Operational Plan must show how the applicant plans to scale implementation activities to ramp up to an operational start within twelve months of receiving funding. The applicant must also establish accountability targets for the project, including specific quarterly milestones and metrics associated with each investment or activity that would be financed in whole or in part by this award. Projected quarterly targets for the test period should indicate the number and/or proportion of health care providers, hospitals, and beneficiaries that will be engaged by each Model Test component. The Operational Plan must also address any assumptions made and risks to the operational timeline, probability and impact of identified risks actually occurring, and projected strategies for mitigating identified risks.

In addition, the application should show that the applicant has the resources and track record needed to operate the model and report on the progress it is making during the operation. Applicants shall include a list of key personnel; and for each person on this list, applicants should describe their relevant background, their roles, and overall responsibility. Applicants should address the Governors existing and future involvement in the models design and implementation, and the state agencies and/or departments that will be actively involved in executing the model.

Applicants may also propose an operational plan that implements their State Health System Innovation Plan through a public-private partnership. Under such an approach, the state must demonstrate active engagement and participation in the public-private partnership.

Model Design: Proposal Requirements

The Innovation Center will award up to 15 Model Design states through this Funding Announcement. Model Design awards will provide financial and technical support to design proposals for successful state-wide transformation. Awards will range from \$1-3 million over a one-year project period.

Eligible states include both those that did not participate in Round 1, as well as Round 1 Model Design states that met the terms and condition of the Round 1 project but require additional design work in order to implement the plan (i.e. increased stakeholder engagement, measurable public health value, cost and quality targets). Round 1 Model Design state proposals shall include the extent to which the state will develop a design that enhances the existing state plan for delivery transformation. To the extent feasible, Round 2 Model Design awardees will be required to implement and test the plans they develop.

Model Design applications must address the following required areas:

The state must demonstrate a clear process for designing or refining a plan with the engagement of multiple components of state government. The state should address the elements detailed in Section I.4.A.i, Model Test Project Narrative, and listed by name below, including specifically identifying the levers the state will aim to incorporate into a comprehensive state plan, such as the states Medicaid program, state employee health plans, and stated-owned academic medical centers. In their design plans, states must explain the unique features of their design efforts and their strategies for designing a plan that aligns with existing CMS efforts. Further, state plans must include multiple payers. This narrative must include a modified stakeholder engagement strategy, as outlined in Section I.4.B.i.6 below.

o Plan for Improving Population Health

- o Health Care Delivery System Transformation Plan
- o Payment and/or Service Delivery Model
- o Leveraging Regulatory Authority
- o Health Information Technology
- o Stakeholder Engagement. The application must identify the proposed stakeholders that will actively participate in the Model Design process and present a clear and pragmatic strategy for engaging and maintaining their commitment to developing a State Health System Innovation Plan. States are expected to work with a broad group of stakeholders representative of the entire state population in their Model Design process, including, but not limited to, a significant number of health care providers/systems, long term service and supports providers, commercial payers, state hospital and medical associations, tribal communities and consumer advocacy organizations. The state must describe the strategy for designing a state health plan that includes multi-payer payment innovation and measure alignment.
- o Quality Measure Alignment
- o Monitoring and Evaluation Plan
- o Alignment with State and Federal Innovation

As part of its application, the state must submit a SF-424A and a budget narrative. The budget narrative must be consistent with the SF-424A and Model Design requirements as well as limit overhead and administrative costs to no more than 10% of direct costs. States should indicate other resources that will aid in designing the State Health System Innovation Plan. See Section IV. 2. Content and Form of Application Submission for more information.

As part of its application, the state must submit a Financial Analysis. The Financial Analysis must, at minimum, describe the populations being addressed and their respective total medical and other services costs as per member per month and population total. If known, the Financial Analysis should also describe (1) anticipated cost savings resulting from specified interventions, including the types of costs that will be affected by the model and the anticipated level of improvement by target population and (2) expected total cost savings and return on investment for the overall state model and basis for expected savings (previous studies, experience, etc.).

The state must submit a detailed Operational Plan that describes the activities and budgets for the performance period of the award and a detailed timeline for the design process with major milestones. The plan should also include roles and responsibilities of key partners and payer participants (if applicable) and major milestones and dates for successfully executing the Operational Plan. Applicants also should include a list of key personnel; for each person on this list, applicants should describe their relevant background, their roles, and overall responsibility. Applicants should address the Governors existing and future involvement in the models design and implementation, and the state agencies and/or departments that will be actively involved in designing the model.

Applicants may also propose an operational plan that seeks to design the State Health System Innovation Plan through a public-private partnership. Under such an approach, the state must demonstrate active engagement and participation in the public-private partnership.

The operational plan must also address any assumptions made and risks to the operational timeline, and projected strategies for mitigating identified risks.

The Funding Opportunity Announcement serves as the application package for this cooperative agreement and contains all the instructions to enable a potential applicant to apply. The application should be written primarily as a narrative with the standard forms required by the Federal government for all cooperative agreements. A separate and complete application must be submitted for each type of submission and for each round of submission.

A non-binding letter of intent to apply must be submitted to the CMS programmatic contact listed in Section VI. Agency Contacts by June 6, 2014. Entities which do not submit a letter of intent by this deadline will be ineligible to apply. As explained in Section III. Eligibility Information, a Governor must submit an official request, along with its letter of intent, if it plans to propose that an outside organization focused on quality and state delivery transformation, such as a non-profit affiliated with the State Department of

Health or a public-private partnership supported by the Governors Office, receives and administers funds through a Model Design or Model Test award. A justification must be included with the request as well as an attestation that the state will actively participate in all activities described in the proposal. Approval of such requests will be at the sole discretion of CMS. Only one application supported by the Governor will be allowed per state. Application materials will be available for download at <http://www.grants.gov>. Please note that HHS requires applications for all announcements to be submitted electronically through <http://www.grants.gov>. For assistance with Grants.gov, contact support@grants.gov or call 1-800-518-4726. The Funding Opportunity Announcement can also be viewed on the Innovation Center website at <http://innovations.cms.gov>.

Specific instructions for applications submitted via <http://www.grants.gov>: You can access the electronic application for this project at <http://www.grants.gov>. You must search the downloadable application page by the CFDA number shown on the cover page of this announcement.

At the <http://www.grants.gov> website, you will find information about submitting an application electronically through the site, including the hours of operation. HHS strongly recommends that you do not wait until the application due date to begin the application process through <http://www.grants.gov>, because of the time needed to complete the required registration steps. All applicants under this announcement must have an Employer Identification Number (EIN) to apply. Please note, the time needed to complete the EIN registration process can be substantial, and applicants should therefore begin the process of obtaining an EIN immediately upon posting of this FOA to ensure the EIN is received in advance of application deadlines.

All applicants, as well as sub-recipients, must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number at the time of application in order to be considered for a grant or cooperative agreement. A DUNS number is required for using the Government-wide electronic portal, www.grants.gov. The DUNS number is a nine-digit identification number that uniquely identifies business entities. To obtain a DUNS number, access the following website: www.dunandbradstreet.com or call 1-866-705-5711. This number should be entered in the block with the applicant's name and address on the cover page of the application (Item 8c on the Form SF 424, Application for Federal Assistance). The name and address in the application should be exactly as given for the DUNS number. Applicants should obtain this DUNS number as soon as possible after the announcement is posted to ensure all registration steps are completed in time.

Authorized Organizational Representative: The Authorized Organizational Representative (AOR) who will officially submit an application on behalf of the organization must register with Grants.gov for a username and password. AORs must complete a profile with Grants.gov using their organizations DUNS Number to obtain their username and password, at http://grants.gov/applicants/get_registered.jsp. AORs must wait one business day after registration in SAM before entering their profiles in Grants.gov. Applicants should complete this process as soon as possible after successful registration in SAM to ensure this step is completed in time to apply before application deadlines.

When an AOR registers with Grants.gov to submit applications on behalf of an organization, that organizations E-Biz point-of-contact will receive an e-mail notification. The e-mail address provided in the profile will be the e-mail used to send the notification from Grants.gov to the E-Biz POC with the AOR copied on the correspondence.

The E-Biz POC must then login to Grants.gov (using the organizations DUNS number for the username and the special password called M-PIN) and approve the AOR, thereby providing permission to submit applications. Any files uploaded or attached to the Grants.gov application must be PDF file format and must contain a valid file format extension in the filename. Even though Grants.gov allows applicants to attach any file format as part of their application, CMS restricts this practice and only accepts PDF file formats. Any file submitted as part of the Grants.gov application that is not in a PDF file format, or contains password protection, will not be accepted for processing and will be excluded from the application during the review process. In addition, the use of compressed file formats such as ZIP, RAR, or Adobe Portfolio will not be accepted. The application must be submitted in a file format that can easily be copied and read by reviewers. It is recommended that scanned copies not be submitted through Grants.gov unless the applicant confirms the clarity of the documents. Pages cannot be reduced in size, resulting in multiple pages on a

single sheet, to avoid exceeding the page limitation. All documents that do not conform to the above constraints will be excluded from the application materials during the review process.

After you electronically submit your application, you will receive an automatic email from <http://www.grants.gov> that contains a Grants.gov tracking number. Please be aware that this notice does not guarantee that the application will be accepted by Grants.gov. Rather, this email is only an acknowledgement of receipt of the application by Grants.gov. All applications must be validated by Grants.gov before they will be accepted. Please note, applicants may incur a time delay before they receive acknowledgement that the application has been validated and accepted by the Grants.gov system. In some cases, the validation process could take up to 48 hours. If for some reason the application is not accepted, then the applicant will receive a subsequent notice from Grants.gov indicating that the application submission has been rejected. Applicants should not wait until the application deadline to apply because notification by Grants.gov that the application is incomplete may not be received until close to or after the application deadline, eliminating the opportunity to correct errors and resubmit the application. Applications submitted after the deadline because the original submission failed validation and is therefore rejected by Grants.gov, as a result of errors on the part of the applicant, will not be accepted by CMS and/or granted a waiver. For this reason, CMS recommends that applicants apply in advance of the application due date and time.

After HHS retrieves your application package from Grants.gov, a return receipt will be e-mailed to the applicant contact. This will be in addition to the validation number provided by <http://www.grants.gov>.

Each year organizations and entities registered to apply for Federal grants and cooperative agreements through <http://www.grants.gov> will need to renew their registration with the System for Award Management (SAM). You can register with SAM online; registration will take about 30 minutes to complete (<https://www.sam.gov/portal/public/SAM/>). Failure to renew SAM registration prior to application submission will prevent an applicant from successfully applying via Grants.gov. Similarly, failure to maintain an active SAM registration during the application review process can prevent HHS from issuing your agency an award under this program.

Applications cannot be accepted through any email address. Full applications can only be accepted through <http://www.grants.gov>. Full applications cannot be received via paper mail, courier, or delivery service. All applications for the awards must be submitted electronically and be received through <http://www.grants.gov> by the deadlines listed below. All applications will receive an automatic time stamp upon submission and state applicants will receive an e-mail reply acknowledging the applications receipt.

Please be aware of the following:

- 1) Search for the application package in Grants.gov by entering the CFDA number. This number is shown on the cover page of this announcement.
- 2) If you experience technical challenges while submitting your application electronically, please contact Grants.gov Support directly at: www.grants.gov/customersupport or (800) 518-4726. Customer Support is available to address questions 24 hours a day, 7 days a week (except on Federal holidays).
- 3) Upon contacting Grants.gov, obtain a tracking number as proof of contact. The tracking number is helpful if there are technical issues that cannot be resolved.

To be considered timely, applications must be received by the published deadline date. However, a general extension of a published application deadline that affects all state applicants or only those in a defined geographical area may be authorized by circumstances that affect the public at large, such as natural disasters (e.g., floods or hurricanes) or disruptions of electronic (e.g., application receipt services) or other services, such as a prolonged blackout. Grants.gov complies with Section 508 of the Rehabilitation Act of 1973. If an individual uses assistive technology and is unable to access any material on the site, including forms contained with an application package, he or she can e-mail the Grants.gov contact center at support@grants.gov for help, or call 1-800-518-4726.

Each application must include all contents described below, in the order indicated, and in conformance with the following specifications:

Use 8.5 x 11 letter-size pages with 1 margins (top, bottom, and sides).

Other paper sizes will not be accepted. This is particularly important because it is often not possible to reproduce copies in a size other than 8.5 x 11.

All pages of the project narrative must be paginated in a single sequence.

Font size must be 12-point with an average character density no greater than 14 characters per inch.

The budget and project narrative portions of the application must be double-spaced.

The project abstract is restricted to a one-page summary, which can be single-spaced.

Applications and attached proposals must not be more than 55 pages in length for Model Test awards, and no more than 27 pages for Model Design awards. For Model Test applications this total includes the project narrative, budget narrative, financial analysis, and operational plan. For Model Design, this total includes the project narrative, budget narrative, financial analysis, and operational plan. The maximum page limit includes all supporting materials, including documentation related to financial projections, profiles of participating organizations, etc. In addition, states should submit letters of support from other payers and stakeholders. The standard forms, project abstract, Governors letter of endorsement, and attestations of support from other payers and stakeholders are NOT included in the page limits. The state must ensure that its submission meets the technical requirements outlined above. Failure to adhere to these technical requirements may exclude an application from consideration for a cooperative award.

The following standard forms must be completed with an electronic signature and enclosed as part of the proposal. Failure to submit these forms will result in the application not being reviewed:

- a. SF 424: Official Application for Federal Assistance (see note below)
- b. SF 424A: Budget Information Non-Construction
- c. SF 424B: Assurances-Non-Construction Programs
- d. SF LLL: Disclosure of Lobbying Activities All applicants must submit this document. If your agency does not engage in lobbying, please insert Non-Applicable on the document and include the required Authorized Organizational Representative (AOR) name, contact information, and signature
- e. Project Site Location Forms(s)
- f. Project Abstract Summary (see description below)

Note: On SF 424 Application for Federal Assistance:

- a. On Item 11 Descriptive Title of Applicants Project, state the specific cooperative agreement opportunity for which you are applying: State Innovation Models.
- b. For Item 15 please provide a succinct descriptive title of the applicants project. Please do not add attachments in Item 15.
- c. Check No to item 16b, as Review by State Executive Order 12372 does not apply to these cooperative agreements.

A letter from the Governor (or Mayor, if from the District of Columbia) endorsing the project and identifying the title of the project, the principal contact person and the major partners, departments, and organizations collaborating on the project. The letter (addressed as below) must be uploaded in the application. The original signed letter must be sent to the following address:

Gabriel Nah
Grants Management Specialist
Office of Acquisition and Grants Management
Centers for Medicare and Medicaid Services
U.S. Department of Health and Human Services
Mailstop # 7700 Bethesda
5600 Fishers Lane
Rockville, MD 20857

The one-page abstract (single-spaced) must succinctly describe the proposal and should include the goals of the proposal; the total budget; the number of included beneficiaries, providers, and payer participants; the projected total cost of care savings; and a description of how the funds will be used. The abstract is often distributed to provide information to the public and Congress, so it must be written in a manner that it is clear, accurate, concise, and without reference to other parts of the application. Personal identifying information should be excluded from the abstract.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer. The NoA is the document authorizing the cooperative agreement award and will be sent through electronic mail to the applicant organization as listed on the SF424. Any communication between

CMS and applicants prior to issuance of the NoA is not an authorization to begin performance of a project. Unsuccessful applicants are notified within 30 days of the final funding decision for each cooperative agreement and will receive a disapproval letter via the U.S. Postal Service and/or electronic mail.

Deadlines:

Oct 31, 2014 to Dec 31, 2018: Please see "Other Deadline Information". Oct 31, 2014 to Dec 31, 2018: Please see "Other Deadline Information". Anticipated Notice of Cooperative Agreement Announcement Dates:
Round Two Model Design: October 31, 2014
Round Two Model Test: October 31, 2014

Anticipated Cooperative Agreement Period of Performance:
Round Two Model Design: January 1, 2015 to December 31, 2015
Round Two Model Test: January 1, 2015 to December 31, 2018 (Inclusive of a pre-implementation period of up to 12 months).

Range of Approval/Disapproval Time:

Anticipated Notice of Cooperative Agreement Award Dates:

Model Design Phase: Award Date: November 15, 2012

Round One Model Testing: Award Date: November 15, 2012.

Appeals:

CMS reserves the right to approve or deny any or all proposals for funding. Note that section 1115A of the Social Security Act states that there is no administrative or judicial review of the selection of organizations, sites, or participants to test models.

Renewals:

CMS reserves the right to approve or deny any or all proposals for funding. Note that section 1115A of the Social Security Act states that there is no administrative or judicial review of the selection of organizations, sites, or participants to test models.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Initial funding of Model Test and Model Design awards is contingent upon the states acceptance of the awards terms and conditions through the initial drawdown of funds and, in the case of Model Test awards, explicit CMS approval of an operational plan submitted by the state.

States that received Model Design awards had twelve months from the award start date to complete their State Health System Innovation Plans and Model Designs. The project period and budget period for Model Design was one year, anticipated to be until December 31, 2015. See the following for information on how assistance is awarded/released: The 48-month project period for Round 2 Model Test is divided into four budget periods, with an initial budget period of twelve months for pre-implementation work followed by three budget periods of 12 months each. Following the initial twelve-month budget period, non-competing continuation awards have been/will be granted for each additional year of the cooperative agreement contingent upon availability of funding, state performance, and demonstrated progress towards the goals and objectives of this FOA. The anticipated test completion date for states receiving Model Test Round 2 awards is January 31, 2019. The specific period of performance for each state model will be included in the cooperative agreement and be executed upon the initial drawdown of funds by the recipient. See the following for information on how assistance is awarded/released: The 48-month project period for Model Test will be divided into four budget periods, with an initial budget period of twelve months for pre-implementation work followed by three budget periods of 12 months each. Following the initial twelve-month budget period, non-competing continuation awards will be granted for each additional year of the cooperative agreement contingent upon availability of funding, state performance, and demonstrated progress towards the goals and objectives of this FOA. The anticipated test completion date for states receiving Model Test awards is December 31, 2018. The specific period of performance for each state model will be included in the cooperative

agreement and be executed upon the initial drawdown of funds by the recipient.

Reports:

The Innovation Center takes an active and substantial role in the evaluation and monitoring of the SIM Model Test awards. The activities funded under the cooperative agreement and their resulting State responsibilities are part of performance tracking, measuring, and evaluation responsibilities of CMS and the Innovation Center. For Model Test states CMMI will examine the extent to which states plans are being implemented, whether health care spending in those states changed over time, and the impact on health care quality.

Performance assessment, monitoring, and evaluation for Model Test awards focus on:

- o impact on quality of care, patient experience, and health status
- o Impact on health care costs
- o Implementation and test performance, including:
 - o Meeting proposed design and planning or implementation and test milestones.
 - o Demonstrating readiness to carry out design and planning work or implementation activities required to test the proposed model.
 - o Producing timely and accurate reports showing clear progress on design and planning activities or providing the required data, and/or reports on health care cost, quality, and population health performance, as delineated in the cooperative agreement.
 - o Community integration of health care

Awardees must agree to cooperate with any federal evaluation of the model and performance results and provide required quarterly, semi-annual (every six months), annual and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS. Reports are submitted electronically and include information on how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS provides the format for project and model reporting and technical assistance necessary to complete required report forms.

States must also agree to respond to requests that are necessary for the evaluation of their Model Test efforts and provide data on key elements of model performance and on results from the cooperative agreement activities. CMS will continue to make requests for data related to its evaluation beyond the end of the states performance period. The period for which CMS may continue to make such requests is further clarified in the terms and conditions of award.

CMS has enlisted a third party entity to assist in evaluating the model implementation and testing performance results and outcomes. All awardees are required to cooperate in providing the necessary data elements to CMS or CMS contractor. The contractor assists CMS in developing cost, quality, beneficiary experience, and population health monitoring and review model performance to ensure model requirements are met; tracking performance across awardees and providing for monitoring and early detection of model performance issues; developing a system to collect, store, and analyze data to assess health care cost and utilization, quality performance, beneficiary experience, and population health improvements and assisting with state implementation, including coordination between states and CMS and its other contractors.

Data for monitoring includes process, safety, and performance measures including beneficiary experience. It includes, but is not limited to, data on the background characteristics of the target population and target area, data characterizing the activities of the Model Test and a battery of follow-up data describing relevant characteristics of the target population or target area and metrics at selected intervals after commencement of the delivery system and/or payment model. This will include detailed information on participant characteristics and outcomes reported in a standard format. Data for monitoring is collected from awardees and/or CMS claims data, electronic health record, public health or other sources. The model monitoring aspect of this initiative balances the examination of the extent to which awardees demonstrate fidelity to their proposed delivery system and payment models and the potential need to make mid-course corrections that improve or optimize performance of the delivery system or payment models based on feedback from the monitoring and rapid cycle evaluation findings. The evaluation also assess whether there is

evidence of harm or unintended consequences as a result of the models or testing methods.

The evaluation strategy for this initiative includes an overall design and data collection phase and an impact evaluation.

Broadly, CMS evaluates each state model and then compares all models to identify themes related to improved care and health outcomes and reduced costs. CMS has ultimate responsibility for the evaluation process and reports. An external evaluation contractor supports the Innovation Center during the Implementation and Test process. This Innovation Center evaluator works with each state to develop standards for data collection and use and for data reporting, as well as requirements for those data elements that will be collected by the states and reported to CMS. The Innovation Center evaluator also defines the measures to be used and evaluation methods to be employed. Data collection is central to the success of the evaluation. Adhering to the data collection requirements is a condition of participating in this initiative.

States are expected to cooperate in the evaluation process and provide the necessary data to evaluate state models. This data is shared with the state evaluator team and with Innovation Center evaluation contractors. The evaluation relies on multi-pronged data collection in order to understand the context of the model and to capture the nuances occurring at the model sites. Data for the analyses is collected collaboratively between the Innovation Center evaluation contractor and the states themselves, and comes from sources including, but not limited to: provider surveys; Medicare administrative claims; state Medicaid and CHIP programs; beneficiary experience surveys; site visits with practices; and focus groups with beneficiaries and their families and caregivers, practice staff, direct support workers and others (e.g., payers). Additional data requirements may include states providing Medicaid encounter data (baseline and during the model test period) if relevant to program evaluation.

The Innovation Center evaluation will assess the impact of the models on the quality of care, health outcomes, community health, and net saving in total costs. Towards the end of the Model Test, the Innovation Center evaluation contractor will conduct impact evaluations of the effectiveness of each state model on key outcomes for target Medicare, Medicaid, and CHIP beneficiaries. This Innovation Centers impact evaluation should provide key messages about what types of state strategies are associated with success. Ultimately, the evaluation results will identify and characterize the most effective models to inform future policy making around improving beneficiary care, improving beneficiary health, and reducing costs.

The State self-evaluation contractor creates State-specific evaluations relevant to all populations and payers involved in their SIM initiative; data collection, storage, cleaning and creation of analytic datasets; continuous quality improvement and analysis of evaluation metrics on a quarterly basis; and working with the Innovation Center evaluator to supply necessary data. The State self-evaluation contractor needs to be an independent entity. The goal is for states to continue these evaluations once the SIM initiative is complete. The States agreement with their evaluation contractor will be reviewed by CMS to ensure the evaluators capabilities.

This State self- evaluation contractor will provide data to both CMS evaluators and the Innovation Center external evaluation contractor(s).

The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. All grantees must utilize the FFR to report cash transaction data, expenditures, and any program income generated.

States must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. The quarterly reporting due dates are as follows: 4/30, 7/30, 10/30, 1/30. A Quick Reference Guide for completing the FFR in PMS is at: www.dpm.psc.gov/grant_recipient/guides_forms/ffr_quick_reference.aspx.

In addition to submitting the quarterly FFR to PMS, states must also provide, on an annual basis, a FFR to CMS which includes their expenditures and any program income generated in lieu of completing a Financial Status Report (FSR) (SF269/269A). Expenditures and any program income generated should only be included on the annually submitted FFR, as well as the final FFR. Annual FFRs must be submitted within 90 calendar days of the applicable year end date. The final FFR must be submitted within 90 calendar days of the project period end date.

More details are outlined in the Notice of Award.

Awards issued under this FOA are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252 and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier sub-award of \$25,000 or more in Federal funds and executive total compensation for the recipients and sub-recipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (available online at www.frs.gov). Non-Competing Continuation awardees may be subject to this requirement and will be so notified in the Notice of Award. States must submit a quarterly electronic SF-425 via the Payment Management System. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds.. The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. All grantees must utilize the FFR to report cash transaction data, expenditures, and any program income generated. States must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter.

In addition to submitting the quarterly FFR to PMS, states must also provide, on an annual basis, a hard copy FFR to CMS which includes their expenditures and any program income generated in lieu of completing a Financial Status Report (FSR) (SF269/269A). Expenditures and any program income generated should only be included on the annually submitted FFR, as well as the final FFR. Annual hard-copy FFRs should be mailed and received within 30 calendar days of the applicable year end date. The final FFR should be mailed and received within 90 calendar days of the project period end date. More details are outlined in the Notice of Award. States must submit a quarterly electronic SF-425 via the Payment Management System. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds. Awardees must agree to cooperate with any federal evaluation of the model and performance results and provide required quarterly, semi-annual (every six months), annual and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will include how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project and model reporting and technical assistance necessary to complete required report forms. States must also agree to respond to requests that are necessary for the evaluation of the Model Design, pre-testing assistance, or Model Testing efforts and provide data on key elements of model performance and on results from the

cooperative agreement activities. The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. All grantees must utilize the FFR to report cash transaction data, expenditures, and any program income generated. States must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter.

In addition to submitting the quarterly FFR to PMS, states must also provide, on an annual basis, a hard copy FFR to CMS which includes their expenditures and any program income generated in lieu of completing a Financial Status Report (FSR) (SF269/269A). Expenditures and any program income generated should only be included on the annually submitted FFR, as well as the final FFR. Annual hard-copy FFRs should be mailed and received within 30 calendar days of the applicable year end date. The final FFR should be mailed and received within 90 calendar days of the project period end date. More details will be outlined in the Notice of Award. CMS will enlist a third party entity to assist us in monitoring the model implementation and testing performance results and outcomes. CMS plans to collect data elements to be part of monitoring for all of the different state models, and these monitoring and surveillance elements will feed into the evaluation. All awardees will be required to cooperate in providing the necessary data elements to CMS or a CMS contractor. The contractor would assist CMS in developing a cost, quality, beneficiary experience, and population health monitoring and review model performance to ensure model design requirements are met; tracking performance across awardees and providing for rapid cycle evaluation and early detection of model performance issues; developing a system to collect, store, and analyze data to assess health care cost and utilization, quality performance, beneficiary experience, and population health improvements and assisting with state implementation, including coordination between states and CMS and its other contractors.

Data for monitoring will include process, safety, and performance measures including beneficiary experience. It will include, but will not be limited to, data on the background characteristics of the target population and target area, data characterizing the activities of the model testing and a battery of follow-up data describing relevant characteristics of the target population or target area and metrics at selected intervals after commencement of the delivery system and/or payment model. This will include detailed information on participant characteristics and outcomes reported in a standard format. Data for monitoring will be collected from awardees and/or CMS claims data, electronic health record, public health or other sources. The model monitoring aspect of this initiative will balance the examination of the extent to which awardees demonstrate fidelity to their proposed delivery system and payment models and the potential need to make mid-course corrections that improve or optimize performance of the delivery system or payment models based on feedback from the monitoring and rapid cycle evaluation findings. The evaluation will also assess whether there is evidence of harm or unintended consequences as a result of the models or testing methods.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as

noted in Circular No. A-133.

Records:

States must comply with the audit requirements of Office of Management and Budget (OMB) Circular A-133. Information on the scope, frequency, and other aspects of the audits can be found on the Internet at www.whitehouse.gov/omb/circulars.

States must submit a quarterly electronic SF-425 via the Payment Management System. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds. The SF-425 Certification page should be faxed to the PMS contact at the fax number listed on the SF-425, or it may be submitted to:

Division of Payment Management
HHS/ASAM/PSC/FMS/DPM
PO Box 6021
Rockville, MD 20852
Telephone: (877) 614-553.

Account Identification:

75-0522-0-1-551 - N/A.

Obligations:

(Salaries) FY 16 \$173,078,366; FY 17 est \$157,368,867; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Model Test: 11 Model Test cooperative agreements were awarded under this State Innovation Models initiative. Awards for Model Test states range from \$20-100 million per state, based on the size of the state population and the scope of the proposal. The amount awarded will include any state cost of testing the model and meeting state and federal evaluation requirements as specified in Section V.3 below. While the Innovation Center is responsible for the evaluation of each Model Test, states must also develop their own model evaluation process, under the guidance of the Innovation Center. The state evaluations should include an examination of the models impact on the entire state population. In general, CMS expects that Model Test awards will cover only costs that are not normally part of a states operational cost, data collection cost, or administrative cost.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cooperative agreements issued under this FOA are subject to the Health and Human Services Grants Policy Statement (HHS GPS) at <http://www.hhs.gov/asfr/ogapa/aboutog/hhsgps107.pdf>. Standard terms and special terms of award will accompany the Notice of Award. Potential awardees should be aware that special requirements could apply to awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the HHS review panel. The General Terms and Conditions that are outlined in Section II of the HHS GPS will apply as indicated unless there are statutory, regulatory, or award-specific requirements to the contrary (as specified in the Notice of Award).

The following categories of special terms of award are in addition to, and not in lieu of, otherwise applicable OMB administrative guidelines, OMB cost principles at 2 CFR Part 225 (previously OMB Circular A-87), HHS grant administration regulations at 45 CFR Part 92 (Part 92 is applicable when state and local Governments are eligible to apply), and other HHS and PHS grant administration policies. CMS reserves the right to include any of the terms outlined below in the cooperative agreement with an appropriate level of specific details:

Reporting (financial, quality, operational and accountability targets progress)
Learning and Diffusion (training)
Stakeholders (public notice, tribal consultation)

Beneficiaries (access, enrollment, change in rights)
 Providers (approval of training)
 Payers (rate setting, marketing)
 Project Monitoring (contract review, audits)
 Data Collection (data integrity, use of data)
 Evaluation (rapid cycle and impact)
 Termination
 Funding
 Financial Arrangements
 Operations (information technology, claims, personal health information)
 Program Integrity

The administrative and funding instrument used for this program will be a cooperative agreement, an assistance mechanism in which substantial CMS programmatic involvement with the State is anticipated during the performance of the activities. Under each cooperative agreement, CMS purpose is to support and stimulate the state's activities by involvement in and otherwise working jointly with the award state in a partnership role. To facilitate appropriate involvement during the period of this cooperative agreement, CMS and the state will be in contact monthly and more frequently when appropriate.

Cooperative Agreement Roles and Responsibilities are as follows:

CMS will have substantial involvement in program awards, as outlined below:

Technical Assistance: CMS will provide technical assistance throughout the period of the cooperative agreement.

Collaboration: To facilitate compliance with the terms of the cooperative agreement and to more effectively support states, CMS will actively coordinate with certain critical stakeholders, such as: state-designated entities and other relevant federal agencies including but not limited to the Centers for Disease Control, the Administration for Community Living, the Substance Abuse and Mental Health Services Administration, the Health Resources and Services Administration, the U.S. Office of Personnel Management, the Indian Health Service, the Internal Revenue Service, the Department of Homeland Security, the Administration for Children and Families, the Department of Veterans Affairs, and the Social Security Administration.

Program Evaluation: CMS will work with states to implement lessons learned to enable other states to undertake health transformation plans.

Progress against the Model Test and Model Design Work Plans: CMS will evaluate grant performance and progress against the states operational plan and will allow access to funding in alignment with state progress.

Project Officers and Monitoring: CMS will assign specific Project Officers to each Cooperative Agreement award to support and monitor States throughout the period of performance. HHS Grants Management Officers and Project Officers will monitor, on a regular basis, progress of each State. This monitoring may be by phone, document review, on-site visit, other meeting and by other appropriate means, such as reviewing program progress reports and Federal Financial Reports (SF425). This monitoring will be to determine compliance with programmatic and financial requirements.

Conference and Training Opportunities: CMS will host opportunities for training and/or networking, including conference calls and other vehicles.

Regional or Local Office:

None.

Headquarters Office:

Karen Murphy 7205 Windsor Blvd., Baltimore, Maryland 21244 Email: Karen.murphy@cms.hhs.gov Phone: 410-786-9726

Website Address:

<http://www.innovations.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Model Test applications will be reviewed by an expert review panel and scored based on the quality of the proposals. The SIM Round 2 Model Test criteria for

selection are as follows:

Model Test Plan (50 points)

a. Model Test applicant must demonstrate the ability to test innovative payment reforms that have the potential to accelerate transformation. The elements of the Model Test plans will be evaluated on the following criteria:

 Well developed, detailed and clear annual cost and quality targets, which the state commits to review and report at least annually;

 Use of policy and regulatory state levers to support successful health care transformation in the state;

 Alignment with existing CMS programs and other state programs;

 Number of residents directly affected by the Model Test;

 Number of providers and payers participating in the Model Test

 Likelihood of accelerating delivery system transformation;

 Development and use of health IT infrastructure (See Appendix 2: Health Information Technology Plan).

b. As this initiative is intended to reach a preponderance of a states population, a states decision to expand Medicaid will be an important factor in assessing the states readiness to implement a state-wide plan for improving population health. Additionally, because Medicaid can serve as an important lever for driving delivery transformation, states should describe Medicaid expansion activities and the percentage of a states population covered by Medicaid.

c. The Model Test must offer and clearly demonstrate a pathway to a high potential for success in producing better health, better care and lower costs through improvement for Medicare, Medicaid/CHIP, and Medicare-Medicaid enrollee populations as well as other health care consumers within the state.

d. The model must describe in detail the target populations, geographic areas, or communities that will be the focus of Model Testing, the current quality and beneficiary experience outcomes including current health population status, and the specific improvement targets expected from the Model.

e. The state must identify specific implementable plans to collaborate with the CDC to develop a state-wide plan for improving population health. The plans will include developing collaborative approaches to improving population health that engage public health officials and provider organizations. (See Appendix 1: Plan for Improving Population Health).

f. The state must demonstrate engagement in HHS initiatives to improve health and health care delivery.

g. Integrated data is used not only to directly support the implementation of health care interventions but also to inform and improve the model throughout the period of the award. The state must include a clear feedback loop and strategies for continuous monitoring and improvement of the model through collection and analysis of data across payers and partners.

h. The state must identify strategies they will employ to leverage State Marketplace Exchanges to further advance value-based payment methodologies.

Provider Engagement Strategy (10 Points)

The state must demonstrate a clear, sustained commitment to participation and implementation of the health transformation model of major stakeholders including but not limited to advocacy groups, local governments, social service providers, and providers of acute health care, behavioral/mental health care, long term care (including home and community services as well as long term care facility services) in the state, including but not limited to state-owned entities, providers of acute health care, behavioral/mental health care, long term care (including home and community services as well as long-term care facility services).

Payer and Other Stakeholder Strategy (10 Points)

The state must also demonstrate participation on the part of commercial payers with respect to both financial and quality measurement alignment. The state should identify a broad group of stakeholders involved in the execution of the Model Test, including but not limited to advocacy groups, local governments and social service providers.

Operational Plan (20 points)

States must demonstrate the organizational and operational capacity, organizational structure, leadership and expertise to successfully implement Model Test processes. The detailed project plan and timeline should be well described and clearly demonstrate how the state will successfully lead health transformation in the state with resources provided. The project leadership must clearly demonstrate the required knowledge, skills, abilities and experience to

ensure efficient, smooth and effective implementation. States must also include a sustainability plan for the next 4 years beyond the period of the award that includes changes in personnel or administration as well as a clearly detailed plan for continued financing to support sustained health reform/transformation after CMMI award funding is exhausted.

Model Test Budget Narrative and Financial Analysis (10 points)

The proposed budget is carefully developed, is consistent with the Model Test requirements, and is clearly linked to support of a successful implementation plan. Overhead and administrative costs are limited to 10% of direct costs with funding focused on direct support of the Model Test. States must indicate other specific resources that will aid in implementing the Model Test plan, including descriptions of how these resources directly support health transformation in the state. The proposal must document how the overall Financial Analysis, including population and intervention specific savings, will be developed, how return on investment will be calculated, and how the state will incorporate non-CMMI funding (particularly commitments from the multi-payer collaborators, including but not limited to other state and local government resources) into the overall health transformation plan.

Based on scores from the Expert Panel Review, selected applicants will be invited to present in person (in the Baltimore/Washington Metropolitan area) to an HHS Leadership Panel (see Section I.4.A. Model Test: Proposal Requirements for more information). The HHS Leadership Panel members are individuals who possess knowledge or expertise in innovative health care payment and service delivery models. They will review the applications prior to the presentations, consult during the presentations, and subsequently provide advice to the approving official. The presentations will help to ensure that only those applications that offer the greatest potential for furthering program purposes are selected for funding. The presentations will include the information from the FOA but will also be expected to highlight the following: State and Stakeholder Commitment

- o States must demonstrate a commitment by a broad coalition of stakeholders, including state leadership, during the in-person presentation. The role and contribution of each stakeholder will be considered.

Likelihood of Success

- o States must demonstrate that their specific approach, through the joint efforts of stakeholders, will be likely to result in achieve success by reducing costs, improving quality and promoting delivery system transformation.

Novelty of Payment Model

- o State should demonstrate how their payment model presents a unique approach to delivery reform that would accelerate delivery transformation in a manner that has not been test on a state-wide scale.

Ability to Align with Medicare Programs

- o States should articulate how their proposal would align with existing CMS programs. For example, a multi-payer ACO approach could complement and align with the Medicare Share Savings program. State could also demonstrate how this alignment will further delivery transformation and reduces costs and improve care for all-payers, including Medicare.

Model Design applications will be reviewed and scored based on the quality of the proposals. In-person presentations are not required for the Model Design applicants. The criteria for Round 2 Model Design selection as follows:

Model Design Strategy (30 points)

States must demonstrate a clear process for designing a plan with the engagement of multiple components of state government and with key stakeholders. The design strategy should specifically identify the levers the state would seek to develop and incorporate into a comprehensive state plan, such as the states Medicaid program, state employee health plans, state-owned academic medical centers, etc. States must explain the unique features of their design efforts and their strategy for designing a plan that aligns with existing CMS efforts and can be implemented on a multi-payer basis. States should demonstrate efforts to improve access to care to vulnerable populations. States should also include strategies that leverage State Marketplace Exchanges in expanding value-based payment methodologies. As this initiative is intended to reach a preponderance of a states population, a states decision to expand Medicaid will be an important factor in assessing its potential impact. Continuing Round 1 Model Design states must demonstrate progress in developing their design plans and clearly articulate how proposed strategies will enhance their Round 1 efforts.

Provider Engagement Strategy (15 Points)

States must demonstrate the commitment of major providers of health care in the state, including behavioral/mental health care, long term care providers and state-owned entities, behavioral/mental health care, long-term care, and long-term services and supports, to participate in the design of the State Health System Innovation Plan. Continuing Round 1 Model Design states must demonstrate appreciable progress to date in engaging providers.

Payer and other Stakeholder Engagement Strategy (15 points)

The state must describe its strategy for designing a State Health System Innovation Plan that includes multi-payer payment innovation and measure alignment. The design of these aspects of the plan must include the participation of commercial payers and purchasers as well as various stakeholders, including state health associations and advocacy groups. States are expected to identify a broad group of stakeholders and create a mechanism for their effective participation in planning of the State Health System Innovation Plan and document the development of a multi-payer Model Design with stakeholder input. Round 1 Model Design states must demonstrate results in engaging payers and other stakeholders.

Operational Plan (10 points)

The state must demonstrate the organizational capacity, organizational structure, leadership, and expertise to successfully complete the Model Design process. The project plan and timeline should be detailed and well described. The staff or consultants proposed to lead the planning effort should have the skills and experience needed to ensure smooth and effective implementation.

Model Design Budget Narrative and Financial Analysis (30 points)

The proposed budget is carefully developed and consistent with the Model Design requirements. Overhead and administrative costs are reasonable (limited to 10% of direct costs) with funding focused on supporting the Model Design effort. States should indicate other resources that will aid in designing the State Health System Innovation Plan. The proposal should document how the overall Financial Analysis, including population and intervention specific savings, will be developed.

Part of the review process will include an analysis of the readiness of the state to complete the design process within one year after approval of a cooperative agreement award.

93.626 AFFORDABLE CARE ACT STATE HEALTH INSURANCE ASSISTANCE PROGRAM (SHIP) AND AGING AND DISABILITY RESOURCE CENTER (ADRC) OPTIONS COUNSELING FOR MEDICARE-MEDICAID INDIVIDUALS IN STATES WITH APPROVED FINANCIAL ALIGNMENT MODELS

SHIP and ADRC Options Counseling for Medicare-Medicaid Individuals in States with Approved Financial Alignment Models

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

This solicitation is being issued under section 1115A of the Social Security Act (added by section 3021 of the Patient Protection and Affordable Care Act (P.L. 111-148)), which authorizes the Innovation Center to test innovative payment and service delivery models to reduce program expenditures under Medicare, Medicaid, and the Childrens Health Insurance Program while preserving or enhancing the quality of care.

OBJECTIVES:

The goal of this Funding Opportunity is to provide funding over a three year period to states that have signed a Memorandum of Understanding (MOU) with CMS to implement a CMS-approved State Financial Alignment Initiative. In April 2011, the Medicare-Medicaid Coordination Office awarded design contracts to 15 states to implement State Demonstrations to Integrate Care for Medicare-Medicaid Individuals. Subsequently, CMS released a letter to State Medicaid Directors which discussed two models for integrating care and aligning financial incentives for Medicare and Medicaid as part of CMS Financial Alignment Initiative, a joint initiative of the Innovation Center and the Medicare Medicaid Coordination Office, under which States and CMS will collaborate to integrate care and financing for Medicare-Medicaid individuals. The Financial Alignment Initiative is a unique Federal-state partnership to test

aligning the service delivery and financing of the Medicare and Medicaid programs to better serve Medicare-Medicaid individuals. As part of this effort, there is a need for strong beneficiary support education and outreach in each State that is implementing a Financial Alignment model, including options counseling for beneficiaries.

Awardees will develop and implement a coordinated SHIP/ADRC strategy and action plan to provide beneficiaries who are eligible for both Medicare and Medicaid during both the Phase 1 Activities and Ongoing Activities of the program, as described in the funding opportunity announcement - with objective information and one-on-one counseling on the nature of the states Financial Alignment model. Such activities will include, but are not limited to, providing information and counseling as to how and when the project will be implemented, the options these dual eligible beneficiaries will have for receiving their Medicare and Medicaid services in their state, the appeal rights they will have under the model, and what they need to do to participate in the program. The SHIP/ADRC staff assigned to this project must be able to provide this information and counseling in a way that is easy for beneficiaries to understand and is culturally and linguistically appropriate. These activities must be carried out in a SHIP/ADRC. Also, the SHIP/ADRC should possess a basic understanding of all CMS approved State Financial Alignment Initiatives.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This Funding Opportunity will provide financial assistance to State Health Insurance Assistance Programs (SHIPs) and/or Aging and Disability Resource Centers (ADRCs) to provide options counseling to Medicare-Medicaid Individuals (dual eligibles) to ensure that these individuals have access to an unbiased and consumer friendly source of information and counseling distinct from the Demonstration Plans and Enrollment Brokers to help them make informed decisions about options they have for receiving their Medicare and Medicaid benefits.

Applicant Eligibility:

Eligible applicants from the CMS-approved Financial Alignment States include any one of the State agencies that administers the ADRC and/or SHIP programs(s) within the State (e.g. State Unit on Aging, State Department of Insurance, a State Disability Agency, State Medicaid Agency or other State entity).

Beneficiary Eligibility:

State Medicaid Agencies and the Medicaid Agencies in the Federal Territories This cooperative agreement only serves beneficiaries that are dually eligible for Medicare and Medicaid coverage.

Credentials/Documentation:

Yes. In order to apply, applicants must include a copy of the signed MOU with CMS to implement an approved Financial Alignment model. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application form SF-424 and related forms must be used for this program. In addition to the standard forms, applicants must provide a copy of the signed MOU with CMS to implement an approved Financial Alignment model. Applicants should review the application and submission information in the funding opportunity announcement for specific instructions on applying for this cooperative agreement program.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) signed and dated by the Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

This Cooperative Agreement is being funded for a period of performance of three (3) years, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, and continuing program relevance. See the following for information on how assistance is awarded/released: Successful applicants will receive a Notice of Award. Unsuccessful applicants will be notified by letter. Applicants should review the award administration information of the funding opportunity announcement issued under this CFDA program for more information.

Reports:

Yes. Applicants should review the individual funding opportunity announcement for information on programmatic and evaluation reporting requirements. Yes. Submit a quarterly electronic Federal Financial Report (FFR) via the Payment Management System. The quarterly report identifies cash transactions against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to - www.dpm.psc.gov for additional information. The final FFR, including both cash transactions and expenditures, must be submitted and received within 90 days of the end of the project period. Yes. Applicants should review the individual funding opportunity announcement for information on programmatic and evaluation reporting requirements. Yes. Grantees must include expenditures on the annual FFR (submitted at the end of each annual year). The final FFR must be submitted within 90 days after the end of the project period end date and include both cash transactions and expenditures data. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Proper accounting records, identifiable by project number including all receipts and expenditures must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0522-0-1-551.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

The total estimated amount of Federal funds available is \$5,000,000. Individual awards will range from \$250,000 to \$1,000,000. States with a signed Memorandum of Understanding (MOU) that receive awards under this funding opportunity will, per that MOU, be required to support options counseling. Following the initial deadline, based on available funding, applications will be accepted for review and approval throughout fiscal year 2013 according to the due dates outlined in the funding opportunity announcement.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants should refer to the funding opportunity announcement for applicable regulations, guidelines and literature.

Regional or Local Office:

See Regional Agency Offices. Christopher Clark, Office of Acquisition and Grants Management (OAGM), Centers for Medicare and Medicaid Services, 200 Independence Ave, SW, Room 733H-02, Washington DC 20201.

Headquarters Office:

Christopher Clark 200 Independence Ave., SW, Room 733H-02, Washington, District of Columbia 20201 Email: Christopher.Clark@cms.hhs.gov Phone: 301-492-4319

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicant should refer to the funding opportunity announcement under this CFDA program for specific criteria requirements on proposal selection.

93.627 AFFORDABLE CARE ACT: TESTING EXPERIENCE AND FUNCTIONAL ASSESSMENT TOOLS

TEFT

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Section 2701 of the Affordable Health Care Act.

OBJECTIVES:

To support State Medicaid agencies in testing, collecting, and reporting the Initial Core Set of Health Care Quality Measures for Adults Enrolled in Medicaid to CMS. Additionally, the grant funding will also support States efforts to use this data for improving the quality of care for adults covered by Medicaid.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Each participating State Medicaid grantee will be required to implement a grant project with the following three aims:

1. Testing and evaluating methods for collection and reporting of the Initial Core Set Measures in varying delivery care settings (e.g. managed care, fee-for-service, long term care settings such as nursing homes and intermediate care facilities). States are encouraged to demonstrate alignment with existing methods and infrastructures for collection and reporting [e.g., Health Information Technology for Economic and Clinical Health (HITECH), Medicaid Management Information Systems (MMIS)];
2. Developing staff capacity to report the data, analyze, and use the data for monitoring and improving access and the quality of care in Medicaid; and
3. Conducting at least two Medicaid quality improvement projects related to the Initial Core Set Measures. States are encouraged to consider alignment with CMS or other Federal quality improvement activities such as the National Quality Strategy, Strong Start Initiative, Partnership for Patients, Million Hearts Initiative, etc. States may not use funds as the State's share of the Medicaid program costs or as supplemental Disproportionate Share Hospital (DSH) payments.

Applicant Eligibility:

Grant applicants are limited to the 51 State Medicaid Agencies and the

Medicaid Agencies in the US Territories. .

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must register on www.grants.gov in order to apply for this grant. Applicants are encouraged to register early as the registration process can take as long as four weeks if all steps are not completed in a timely manner. The standard application form SF-424 and related forms must be used for this program. Applicants must submit their applications electronically through <http://www.grants.gov>. Applicants are encouraged to submit a non-binding Letter of Intent to Apply. Letters of Intent to Apply are not required and a states submission or failure to submit a letter has no bearing on the scoring of proposals received. Applicants should review the application and submission information in the funding opportunity announcement for specific instructions on applying for this grant program.

Award Procedure:

CMS will make a decision for each application received. Each applicant will receive written notification of CMS decision. Applicants approved for a grant award must submit a letter of acceptance to CMS within 30 days of the date of award, agreeing to the terms and conditions of the award letter.

Deadlines:

Aug 15, 2013 to Aug 14, 2017 Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Grantee can receive a second year of funding based on first year performance and progress towards meeting the grant project goals outlined in the application as well as in the terms and conditions.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants agreements are funded on a 12-month basis, with support for the second year (final year) contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. The maximum time period for funding is 24 months. See the following for information on how assistance is awarded/released: Successful applicants will receive a Notice of Award. Unsuccessful applicants will be notified by letter. Applicants should review the award administration information of the funding opportunity announcement issued under this CFDA program for more information. . See the following for information on how assistance is awarded/released: Successful applicants will receive a Notice of Award. Unsuccessful applicants will be notified by letter. Applicants should review the award administration information of the funding opportunity announcement issued under this CFDA program for more information.

Reports:

Reports due are: two Semi-annual progress reports; one Annual progress report; performance measurement data submitted to CMS on least 15 Initial Core Measures; and one Final report addressing the entire two year grant. Applicants should review the individual funding opportunity announcement for

information on programmatic and evaluation reporting requirements. Submit a quarterly electronic Federal Financial Report (FFR) via the Payment Management System. The quarterly report identifies cash transactions against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to - www.dpm.psc.gov for additional information. The final FFR, including both cash transactions and expenditures, must be submitted and received within 90 days of the end of the project period. Applicants should review the individual funding opportunity announcement for information on programmatic reporting requirements. Grantees must include expenditures on the annual FFR (submitted at the end of the budget period). The final FFR must be submitted within 90 days after the end of the project period end date and include both cash transactions and expenditures data. No performance monitoring is required. States must submit a quarterly electronic Federal Financial Report (FFR) via the Payment Management System. The quarterly report identifies cash transactions against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to - www.dpm.psc.gov for additional information. The final FFR, including both cash transactions and expenditures, must be submitted and received within 90 days of the end of the project period. The Grantees must participate in monthly TA conference calls (or other periods as defined by CMS), creating the opportunity for States to share lessons learned, develop solutions to address challenges, and provide hands on technical assistance and guidance to Grantees. Grantees must include expenditures on the annual FFR (submitted at the end of the budget period). The final FFR must be submitted within 90 days after the end of the project period end date and include both cash transactions and expenditures data. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years after the date the grant is officially closed. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0511-0-1-550.

Obligations:

(Salaries) FY 16 \$827,670; FY 17 est \$954,087; and FY 18 est \$1,118,201

Range and Average of Financial Assistance:

Grant awards up to \$1 million for each 12-month budget period, with an estimated total of up to \$2 million per Grantee over the two-year project period.

TAFS Codes:

75-0511.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to the Funding Opportunity Announcement for more information

Regional or Local Office:

See Regional Agency Offices. Michelle Feagins
Grants Management Officer
Centers for Medicare and Medicaid Services
Office of Acquisition and Grants Management
200 Independence Ave., S.W.
Room 733H-02
Washington, DC 20201

Health Insurance Specialist, Mark D. Smith
Centers for Medicare & Medicaid Services
7500 Security Boulevard
Baltimore, MD 21244-1850
Phone: 410-786-8015
E-mail: Mark.Smith@cms.hhs.gov.

Headquarters Office:

Michelle Feagins 200 Independence Ave., SW
Room 733H-02
, Washington, District of Columbia 21201 Email:
michelle.feagins@cms.hhs.gov Phone: 301-492-4312

Website Address:

<http://www.medicaid.gov/Medicaid-CHIP-Program-Information/By-Topics/Quality-of-Care/Adult-Medicaid-Quality-Grants.html>

RELATED PROGRAMS:

93.791 Money Follows the Person Rebalancing Demonstration

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the Funding Opportunity Announcement for more information.

93.628 AFFORDABLE CARE ACT IMPLEMENTATION SUPPORT FOR STATE DEMONSTRATIONS TO INTEGRATE CARE FOR MEDICARE-MEDICAID ENROLLEES

ACA Implementation Support for State Demonstrations (ISSD)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act, P.L. 111-148, section 3021.

OBJECTIVES:

Through this Funding Opportunity, Centers for Medicare & Medicaid Services (CMS) seeks to fund activities necessary to implement the demonstrations to integrate care for Medicare-Medicaid enrollees, especially those that promote beneficiary engagement and protection of beneficiary rights. The purpose of the cooperative agreement is to provide funding over a two-year period to states that previously had design contracts and also have a signed Memorandum of Understanding (MOU) with CMS to implement a CMS-approved demonstration.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Awardees will implement the Cooperative Agreement following CMS direction. CMS seeks to fund activities necessary to implement the demonstrations to integrate care for Medicare-Medicaid enrollees, especially those that promote beneficiary engagement and protection of beneficiary rights. Implementation tasks will vary, but could include:
Beneficiary and provider outreach and education;
Participant ombudsmen activities to support individual advocacy and independent systematic oversight with a focus on compliance with principles of community integration, independent living, and person-centered care in the home and community based care context;
Independent enrollment broker and information and assistance for beneficiaries;
Stakeholder engagement;
Provider training;
Ongoing monitoring of health plans, care coordination entities, and providers, including network adequacy;
Improvement to and execution of grievances and appeals;
Ongoing processes to confirm network adequacy;
Tracking claims and quality data;
Actuarial analysis and rate-setting;
State-level IT systems modifications to support beneficiary enrollment and

data collection and reporting; and

Evaluation activities that do not duplicate those performed by the CMS independent evaluator for the demonstration.

Awardees will develop and submit implementation plans that describe how the awardee will manage the project. Awardees must submit regular progress reports to summarize progress against milestones identified in the implementation plans. The following standard requirements apply to applications and awards under the ISSD funding opportunity announcement: Specific administrative and policy requirements of Grantees as outlined in 45 CFR 92.2 CFR Part 225 (previously OMB Circular A-87) and OMB Circulars A-102 and A-133 apply to this cooperative agreement opportunity.

All awardees under this project must comply with all applicable Federal statutes relating to nondiscrimination including, but not limited to:

Title VI of the Civil Rights Act of 1964,

Section 504 of the Rehabilitation Act of 1973,

The Age Discrimination Act of 1975,

Hill-Burton Community Service nondiscrimination provisions, and

Title II Subtitle A of the Americans with Disabilities Act of 1990,

All equipment, staff, other budgeted resources, and expenses must be used exclusively for the project identified in the awardees original cooperative agreement application or agreed upon subsequently with HHS, and may not be used for any prohibited uses.

Prohibited Uses of Cooperative Agreement Funds

To match any other federal funds.

To provide services, equipment, or supports that are the legal responsibility of another party under federal or state law (e.g., vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.

To supplant existing state, local, or private funding of infrastructure or services, such as staff salaries, etc.

To be used by local entities to satisfy state matching requirements.

To provide infrastructure for which federal Medicaid matching funds are available at the 90 / 10 matching rate, such as certain information systems projects.

To pay for the use of specific components, devices, equipment, or personnel that are not integrated into the application.

Construction or alteration and renovation of real property (A&R).

Any equipment, (including information technology equipment), over \$5,000 must be approved by CMS.

Applicant Eligibility:

Funding under this opportunity is only available to states that were previously awarded a State Demonstration to Integrate Care for Dual Eligible Individuals - Design Contract in 2011 and associated state designated entities (i.e., those entities designated by those state Medicaid agencies) that are authorized by law to administer cooperative agreements, grants, and contracts in support of state programs. Funding is only available once the state has signed a Memorandum of Understanding with CMS to implement their demonstration design.

Beneficiary Eligibility:

Under this funding opportunity, interventions shall primarily target individuals enrolled in both Medicare and Medicaid.

Credentials/Documentation:

Applicants must be states that were previously awarded a State Demonstration to Integrate Care for Dual Eligible Individuals Design Contract in 2011 and associated state designated entities that are authorized by law to administer cooperative agreements, grants, and contracts in support of state programs. These States may submit applications, but funding is only available once the state has signed a Memorandum of Understanding with CMS to implement their demonstration design.

Applications must include a cover letter expressing support from the state Medicaid director. If applicable, this letter shall express support for the state designated entity to apply and administer the cooperative agreement.

In addition to this documentation, the application is expected to address how the applicant will implement the cooperative agreement program, including how the implementation activities are necessary to implement the

demonstration. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. N/A

Award Procedure:

The review process will include the following:

Applications will be screened to determine eligibility for further review using the criteria detailed in the solicitation. Applications received late or that fail to meet the eligibility requirements or do not include the required forms will not be reviewed.

Applications must include a cover letter of support from the state Medicaid director. If not, the applicants submission will not receive further consideration and will not be eligible for award.

A team consisting of staff from HHS and potentially other outside experts will review all applications. The review panel will assess each application to determine the merits of the application and the extent to which the proposed program furthers the purposes of the program. CMS reserves the option to request that applicants revise or otherwise modify their applications and budget based on the recommendations of the panel.

Applications will be scored with a total of 100 points available. The following criteria will be used to evaluate applications received in response to this solicitation. More information about each criteria can be found in the funding opportunity announcement.

o Proposed approach (40 points)

o Organizational capacity and management plan (25 points)

o Evaluation and reporting (10 points)

o Budget and budget narrative (25 points)

The results of the objective review of the applications by qualified experts will be used to advise the approving HHS official. Final award decisions will be made by an HHS program official. In making these decisions, the HHS program official will take into consideration: recommendations of the review panel; the extent to which the requested resources directly support demonstration implementation efforts; any overlap with existing resources that support implementation; the extent to which the state is committing state resources to implementation efforts; the reasonableness of the estimated cost to the government and anticipated results; likelihood that the proposed project will result in the benefits expected; and availability of funding.

Successful applicants will receive one cooperative agreement per application issued under this announcement, pending the signing of an MOU with CMS to implement the demonstration design.

Deadlines:

Jan 28, 2012 to May 29, 2013: Applications are due January 28, 2012. A second round of applications is due April 15, 2013. A third round of applications is due May 29, 2013. Applications are due January 28, 2012. A second round of applications is due April 15, 2013. A third round of applications is due May 29, 2013. The anticipated period of performance is two years from award. The anticipated funding period for the January 28, 2013 applicants is March 15, 2013 March 14, 2015. The anticipated funding period for the April 15, 2013 applicants is May 29, 2013 to May 28, 2015. The anticipated funding period for the May 29, 2013 applicants is July 15, 2013 to July 14, 2015.

Range of Approval/Disapproval Time:

The anticipated notice of cooperative agreement dates are approximately March 15, 2013, May 29, 2013, and July 15, 2013.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25%. 25% in year two only.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period of performance is two years. For the first 12-month period of performance, cooperative agreement funding may cover 100% of approved implementation activities during this period.

In the second 12-month period of performance, cooperative agreement funding is available for 75% of the costs of approved implementation activities. The applicant must fund the remaining 25%. See the following for information on how assistance is awarded/released: Award will be made through cooperative agreements.

Reports:

Awardees must submit semi-annual Progress Reports that describe their activities, outcomes related to their goals, challenges and lessons learned with final copies of formal funding agreements developed during the grant period attached. The semi-annual Progress Reports shall also detail how cooperative agreements funds were used for each six-month period.

In the second budget period, the report shall report expenditure of the non-federal share. This information shall be provided to CMS using the SF-424A form. CMS will use this information to monitor operations.

Awardees must agree to cooperate with any federal evaluation of the program and provide required quarterly, semi-annual, and final reports in a form prescribed by CMS. Awardees must submit a semi-annual electronic SF-425 via the Payment Management System and to the CMS Office of Acquisition and Grants Management/Grants Specialist. The report identifies cash expenditures against the authorized funds for the cooperative agreement.

Failure to submit the report may result in the inability to access funds.

Awardees must submit semi-annual Progress Reports that describe their activities, outcomes related to their goals, challenges and lessons learned with final copies of formal funding agreements developed during the grant period attached. The semi-annual Progress Reports shall also detail how cooperative agreements funds were used for each six-month period.

In the second budget period, the report shall report expenditure of the non-federal share. This information shall be provided to CMS using the SF-424A form. CMS will use this information to monitor operations.

Within 90 days of the end of the cooperative agreement, the awardee shall submit a final report to CMS that summarizes federal and state expenditures for implementation activities, review lessons learned, and inform CMS about implementation needs to replicate successful demonstrations in other states or make potential future Medicare and Medicaid policy changes. Awardees shall detail how cooperative agreement funds were used for each six-month period. This information shall be provided to CMS using the SF 424A. Awardees will be monitored based on their progress to execute the implementation plan and achieve the outcomes developed collaboratively between CMS and the awardee during the first 25 calendar days of the cooperative agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees must track data required for tracking progress in the implementation plan and for semi-annual progress reports and the final report.

Account Identification:

75-0522-0-1-551.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 16 \$2,100,000; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

CMS expects to make awards ranging from \$1,000,000 to \$15,000,000 to each grantee to cover a two-year cooperative agreement period of performance, and CMS reserves the right to award less or more depending on the scope and nature of the individual applications received. Awardees may not receive the

total award amount requested but may be asked to revise the work plan and reflect the funding that CMS will award.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The background provided in the funding opportunity announcement describes relevant literature.

Regional or Local Office:

See Regional Agency Offices. All programmatic questions about the Implementation Support for State Demonstrations to Integrate Care for Medicare-Medicaid Enrollees (ISSD) may be directed to the program email address: FCHCOStateDemosProgram@cms.hhs.gov. Interested parties may also contact:

Yelife Saca

Centers for Medicare & Medicaid Services

Medicare-Medicaid Coordination Office

Phone: 410-786-4954.

Headquarters Office:

Penny Williams 7500 Security Blvd. , Baltimore, Maryland 21244 Email:

Penny.Williams@cms.hhs.gov Phone: 410-786-2237

Website Address:

<http://cms.gov/Medicare-Medicaid-Coordination/Medicare-and-Medicaid-Coordination/Medicare-Medicaid-Coordination-Office/FinancialAlignmentInitiative/ImplementationSupportforStateDemonstrations.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Award procedures (093).

93.630 DEVELOPMENTAL DISABILITIES BASIC SUPPORT AND ADVOCACY GRANTS

(State Councils on Developmental Disabilities and Protection and Advocacy Systems)

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Developmental Disabilities Assistance and Bill of Rights Act of 2000, Title I, Section 121, Public Law 106-402, 42 U.S.C 15021-15045. , Title I, Section 121, Public Law 106-402, 42 U.S.C 15021-15045., Title I, Section 121, Public Law 106-402, 42 U.S.C 15021-15045.

OBJECTIVES:

Developmental Disabilities Basic Support and Advocacy Grants: To enable individuals with developmental disabilities to become independent, productive, integrated and included into their communities. Funding under these programs is to assist States in the development of a plan for a comprehensive and coordinated system of services and other activities to enhance the lives of individuals with developmental disabilities and their families to their maximum potential, and to support a system which protects the legal and human rights of individuals with developmental disabilities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Developmental Disabilities Basic Support and Advocacy Grants: (1) Allotments under the basic developmental disabilities formula grant program may be used by States for priority area and other activities, including administrative costs, to build capacity, to refocus existing services, and to

advocate to better meet the needs of individuals with developmental disabilities. The designated State agency in each State receives, accounts for and disburses funds, and provides for required assurances and other administrative support services on behalf of the State Developmental Disabilities Council, which carries out the priority area activity and other activities under an approved five year State Plan. This plan and corresponding budget is developed and administered by the State Developmental Disabilities Council. Federal funds may be expended for up to half the cost of the functions of the designated State agency under this program, but may not exceed five percent of a State's allotment or \$50,000, whichever is less. (2) Allotments under the protection and advocacy program may be used to assist States in supporting a system which will have authority to pursue legal and other remedies to protect the rights of individuals with developmental disabilities within the State.

Applicant Eligibility:

State grant agencies are the designated State agencies of the respective States, the District of Columbia, Puerto Rico, Virgin Islands, Guam, Northern Mariana Islands, American Samoa. Under the basic developmental disabilities program, the designated State agency must not provide or pay for services to individuals with developmental disabilities, unless it has held such designation on the date of the enactment of the Developmental Disabilities Assistance and Bill of Rights Act Amendments of 1994, and the Governor of the State (or the legislature, where appropriate and in accordance with State law) determines prior to June 30, 1994, not to change the designation of such agency. The State can only receive funding under the basic developmental disabilities program if it is also participating in the protection and advocacy program. The agency designated to implement the State system under the protection and advocacy program cannot provide or pay for services to individuals with developmental disabilities, and that agency must have authority to obtain access to records of individuals with developmental disabilities.

Beneficiary Eligibility:

To be eligible for a grant, an agency must be designated to administer the program on behalf of the State. The Basic Program benefits individuals with developmental disabilities through systems change. The Protection and Advocacy system benefits individuals with developmental disabilities. Developmental disability is defined here as a severe chronic disability of an individual that is attributable to mental, physical, or a combination of impairments, is manifested before age 22, that is likely to continue indefinitely, that results in substantial functional limitations in three or more of the following major life activities (self-care, receptive and expressive language, learning, mobility, self-direction, capacity for independent living, and economic self-sufficiency), and that reflects an individual's lifelong need for services. Infants and children from birth to age 9, inclusive, are included if they have a developmental delay or condition with a high probability of resulting in developmental disabilities if services are not provided.

Credentials/Documentation:

Costs will be determined in accordance with 45 CFR 75. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Basic Support: Requests for allotments are submitted in the form of a State plan, which must be submitted not less than every 5 years, and must address all of the requirements of the Statute. Protection and Advocacy: Application is made by the State's submission of a description of the Protection and Advocacy system in the State and appropriate assurances as required by law, and by annual submission statement on the goals and priorities.

Award Procedure:

Formula awards are processed through a payment management system to the State.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

None.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR, Part 16.

Renewals:

Automatic, under approved application.

Formula and Matching Requirements:

Statutory Formula: Title I Programs for Individuals with Developmental Disabilities, Public Law 106-402.

Matching Requirements: Percent: 25%. Match is only for the Councils. No match is required for Protection and Advocacy allotments.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds provided under allotments must be obligated by states by the end of the fiscal year following the fiscal year for which appropriations were received. Such obligation must be liquidated by the end of the following fiscal year. Payments are made through Electronic Transfer System or, when such is not practicable, on basis of payment requests from the State to meet current needs. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if all findings have not been resolved.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 16 \$110,916,393; FY 17 est \$1,782,093; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Basic Support: \$450,000; Protection and Advocacy: \$200,000.

TAFS Codes:

75-01-4201-506.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available. Fiscal Year 2017: It is estimated that 113 grants will be awarded. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92, Grant Administrative Requirements; and Program Regulations in Chapter XIII of Title 45 of the Code of Regulations, Parts 1385 and 1386.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Contact the Regional Administrator, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog for list of addresses.)

Headquarters Office:

Ophelia McLain 330 C Street, SW, Suite 1122, Washington, District of Columbia 20201 Email: Ophelia.mclain@acl.hhs.gov Phone: (202) 795-7401

Website Address:

<http://acl.gov/Programs/AIDD/Index.aspx>

RELATED PROGRAMS:

93.631 Developmental Disabilities Projects of National Significance; 93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.631 DEVELOPMENTAL DISABILITIES PROJECTS OF NATIONAL SIGNIFICANCE

Developmental Disabilities Projects of National Significance

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Developmental Disabilities Assistance and Bill of Rights Act of 2000, Title I, Section 161, Public Law 106-402, 42 USC 15081-15083
U.S.C 15081-15083.
, Title I, Section 161, Public Law 106-402, 42 U.S.C 15081-15083.

OBJECTIVES:

To provide for grants, contracts and cooperative agreements for projects of national significance that create opportunities for individuals with intellectual and developmental disabilities to directly and fully contribute to, and participate in, all facets of community life; and support the development of national and State policies that reinforce, promote the self-determination, independence, productivity, and integration and inclusion of individuals with intellectual and developmental disabilities in all facets of community life.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

PNS Uses and Restrictions: Project grants, contracts, and cooperative agreements are approved for personnel, equipment, travel, supplies, etc. Duplicative Federal assistance is precluded. Uses include: (1) Family support activities; (2) Projects that educate policy makers; (3) projects that conduct data collection and analysis; (4) projects that provide technical assistance for developing information and referral systems; (5) Federal interagency initiatives; (6) technical assistance to self-advocacy organizations of individuals with intellectual and developmental disabilities; (7) projects that enhance opportunities for individuals with intellectual and developmental disabilities who are from racial and ethnic minority backgrounds; (8) projects that address the needs of aging individuals with intellectual and developmental disabilities and caregivers of adults with developmental disabilities; (9) projects concerning the transition of youth with intellectual and developmental disabilities from school to work and to adult life; and (10) other projects of national significance. Funds are not awarded solely for direct service delivery, construction, or for the continuation or expansion of existing projects, but rather for projects which are considered innovative and likely to have significant national impact.

Applicant Eligibility:

In general, any State, local, public or private nonprofit organization or agency may apply.

Beneficiary Eligibility:

In general, any State, local, public or private nonprofit organization or agency may apply.

Credentials/Documentation:

Proof of community need and consistency with developmental disabilities State Plans. Proof of nonprofit status. Compliance with statutes, regulations, and approval of State agency and DHHS/ACF Regional Offices. Costs and administrative procedures will be determined in accordance with Part 75 of Title 45 of the Code of Federal Regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Forms and instructions for project grants are available from HHS Central Office and the appropriate DHHS-ACF Regional Office. Forms and instructions for projects of national significance grants are available at www.grants.gov. One signed original and two copies of the grant application are required, including all attachments, and must be submitted to the address specified in the application kit. Three additional copies would be useful to facilitate processing. In addition, concurrently, one (1) copy of the application should be submitted to the applicant's DD State Council and two (2) copies to the appropriate State Single Point of Contact (SPOC) for review and comment as per Executive Order 12372, "Intergovernmental Review of Federal Programs." (Addresses for State Developmental Disabilities Councils and SPOCs are included in the application kit.) The application must be signed by an individual authorized to act for the applicant agency and to assume for the agency the obligations imposed by the terms and conditions of the grant award including the regulations for the Projects of National Significance Grants Program.

Award Procedure:

Applications are reviewed and recommendations made by the Central Office, Administration on Intellectual and Developmental Disabilities. Successful applicants are notified through the issuance of a Financial Assistance Award which sets forth in writing the amount of funds granted, the purpose of the grant, the terms and conditions of the grant award, the effective date of the award, the budget period for which support is given and the total grantee participation. The initial award, when applicable, also specifies the project period for which support is contemplated.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies, from 60 to 180 days according to the type of program being requested.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching requirements are specified in each published funding opportunity announcement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods for grants are specified in each published funding opportunity announcement. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Progress reports are required for each budget period. A Final progress report is required 90 days after the end of project period. Expenditure reports are required for each budget period. Performance monitoring includes review of reports and site visits as required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be maintained for 3 years.

Account Identification:

75-0142-0-1-506.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$10,000,000; FY 17 est \$9,900,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

The range is \$50,000 to \$500,000.

TAFS Codes:

75-11-12-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published in Chapter XIII of Title 45 of the Code of Federal Regulations, Part 1387.

Regional or Local Office:

See Regional Agency Offices. Administration on Intellectual and Developmental Disabilities, Administration for Community Living, Department of Health and Human Services; 330 C Street, SW, Washington, District of Columbia 20201.

Headquarters Office:

Allison Cruz 330 C St, SW., Washington, District of Columbia 20201 Email: Allison.Cruz@acl.hhs.gov Phone: (202) 795-7334

Website Address:

<http://www.acl.gov/Programs/AIDD/Index.aspx>

RELATED PROGRAMS:

93.630 Developmental Disabilities Basic Support and Advocacy Grants; 93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

No application can be approved unless it shows/provides evidence of its non-profit status. Project's proposed design is complete and feasible, and includes measurable objectives and an evaluation component. Project does not supplant activities that are funded under other Federal programs. Competing grant applications will be reviewed and evaluated against criteria that are specified in each published funding opportunity announcement.

93.632 UNIVERSITY CENTERS FOR EXCELLENCE IN DEVELOPMENTAL DISABILITIES EDUCATION, RESEARCH, AND SERVICE

(University Centers (UCEDD))

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Developmental Disabilities Assistance and Bill of Rights Act of 2000 Title 1, Section 151, Public Law 106-402, 42 U.S.C 15061-15066., Title 1, Section 151, Public Law 106-402, 42 U.S.C 15061-15066.

OBJECTIVES:

To pay the Federal share of the cost of administration and operation of interdisciplinary centers that: (1) Provide interdisciplinary training for personnel concerned with developmental disabilities; (2) provide community service activities that include training and technical assistance and may include direct services, e.g., family support, individual support, educational, vocational, clinical, health and prevention; (3) conduct research (basic and applied), evaluation and analysis of public policy in areas affecting individuals with developmental disabilities; and (4) disseminate information as a national and international resource.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Salaries for administrators, coordinators, and others needed to operate the Center and expenses required to start up new programs, and faculty for training programs who will meet critical manpower shortages and are not eligible for support from other sources. Salaries for administrators, coordinators, and others needed to operate a training facility, maintenance and housekeeping personnel, overhead expenses, and expenses required to start up new programs, and faculty for training programs who will meet critical manpower shortages and are not eligible for support from other sources.

Applicant Eligibility:

Existing Centers; A public or nonprofit entity which is associated with, or is an integral part of a college or university and which provides at least: interdisciplinary training; demonstration of exemplary services, technical assistance, research and dissemination of findings.

Beneficiary Eligibility:

Individuals of all ages with developmental disabilities attributable to a mental and/or physical impairment, their families, and personnel and trainees providing services to them.

Credentials/Documentation:

Assurance of maintenance of effort; statement of financial resources, especially other federally-assisted programs; identification of personnel needs and resources as found in developmental disabilities State Plans for the applicant's service area; evaluation of present training programs and utilization of space in the facility; and capability of informing researchers and others in development of services related research. Eligible candidate designated in the previous fiscal year as a Center. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application forms are available from the DHHS Central Office. Completed application forms and narrative should be sent to the State Developmental Disabilities Council, Designated State Agency and Protection and Advocacy agency for review and comment. The standard application forms, as furnished by DHHS must be used for this program.

Award Procedure:

Applications are evaluated by outside peer reviews and grants are awarded at the DHHS AIDD Central Office. Recommendations for funding are made by the Headquarters Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 45 to 90 days.

Appeals:

Appeals are processed in accordance with regulations in 45 CFR, Part 16.

Renewals:

Same as Application Procedure.

Formula and Matching Requirements:

Statutory Formula: Title I, Subpart D, Public Law 106-402.

Matching Requirements: Percent: 75%. If project activities or products target individuals with developmental disabilities who live in an urban or rural poverty area, the Federal share may not exceed 90 percent of the project's necessary costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

University Centers awards are made for 5 years with a 12-month budget period.

Method of awarding/releasing assistance: lump sum.

Reports:

An annual progress report is required. Final progress report is required upon project completion. Fiscal reports are required as prescribed by grant applications. An annual progress report is required. A Final progress report is required upon project completion. Fiscal reports are required as prescribed by grant specifications. Grantees receive technical assistance through on-site compliance reviews and other supports. Fiscal reports are required as prescribed by grant applications. An annual progress report is required. A Final progress report is required upon project completion. Fiscal reports are required as prescribed by grant specifications. Grantees receive technical assistance through on-site compliance reviews and other supports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be maintained for 3 years.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 16 \$38,619,000; FY 17 est \$38,619,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

All Centers receive the same award amount. FY 2016 award amount was \$547,000 per Center.

TAFS Codes:

75-01-1536-506.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2016: \$38,618. Fiscal Year 2017: Fiscal Year 2017: \$38,619. Fiscal Year 2018: No Current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published in Chapter XIII of Title 45 of the Code of Federal Regulations, Part 1388.

Regional or Local Office:

None. Administration on Intellectual and Developmental Disabilities, Administration for Community Living, Department of Health and Human Services.

Headquarters Office:

Pam O'Brien 330 C Street SW, Washington, District of Columbia 20201
Email: Pamela.O'Brien@acl.hhs.gov Phone: (202) 795-7417

Website Address:

<http://www.acl.gov/Programs/AIDD/Programs/UCEDD/index.aspx>

RELATED PROGRAMS:

93.630 Developmental Disabilities Basic Support and Advocacy Grants; 93.631 Developmental Disabilities Projects of National Significance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

University Centers must address the provisions of the Act and regulations. Geographic dispersion. University Affiliation.

93.634 ACA SUPPORT FOR DEMONSTRATION OMBUDSMAN PROGRAMS SERVING BENEFICIARIES OF STATE DEMONSTRATIONS TO INTEGRATE CARE FOR MEDICARE-MEDICAID

Support for Demonstration Ombudsman Programs Serving Beneficiaries of State Demonstrations to Integrate Care for Medicare-Medicaid

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Statutory Authority: section 1115A of the Social Security Act (added by section 3021 of the Patient Protection and Affordable Care Act (P.L. 111-148).

OBJECTIVES:

CMS is presenting this Funding Opportunity Announcement (FOA) to ensure that the beneficiaries of the Financial Alignment Demonstration models as well as their caregivers and authorized representatives -- have access to person-centered assistance in resolving problems related to the Plans and providers.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Through this cooperative agreement, CMS seeks to fund activities necessary to plan for, develop and implement Demonstration Ombudsman Program services to beneficiaries and their families, as appropriate. Restrictions: Direct services already provided by Medicare or Medicaid.

Applicant Eligibility:

States which have signed an MOU with CMS to implement one of the financial alignment demonstration models.

Beneficiary Eligibility:

States which have signed an MOU with CMS to implement one of the financial alignment demonstration models.

Enrollees and caregivers in the Financial Alignment Demonstration..

Credentials/Documentation:

A state must be pursuing a financial alignment model. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Awards will be made to state agencies to implement ombudsman and counseling programs to support the Financial Alignment Demonstration.

Deadlines:

Nov 01, 2016 to Sep 30, 2016: Applications will be due 45 days after posting.
Applications are due on the following dates:
1st Round: November 1, 2016, 3:00 PM EST
2nd Round: January 9, 2017
3rd Round: June 1, 2017
Fourth Round: September 5, 2017
Fifth Round: May 1, 2018.

Range of Approval/Disapproval Time:

45 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Yes, 2 years. Method of awarding/releasing assistance: quarterly.

Reports:

Semi-Annual and data reports. Quarterly reporting will be required.
Semi-Annual progress reports will be required. Quarterly expenditure reports will be required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Accounting and Programmatic records must be maintained for three years.

Account Identification:

75-0522-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$3,132,846; FY 17 est \$5,838,270; and FY 18 est \$6,870,205

Range and Average of Financial Assistance:

Awards range from \$149,000-\$1,300,000 per year.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Kemuel Johnson 7500 Security Boulevard
Baltimore, MD 21207, Baltimore, Maryland 21207 Email:
kemuel.johnson@cms.hhs.gov Phone: 4107868200

Website Address:

<http://www.cms.gov/Medicare-Medicaid-Coordination/Medicare-and-Medicaid-Coordination/Medicare-Medicaid-Coordination-Office/FinancialModelstoSupportStatesEffortsinCareCoordination.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be scored with a total of 100 points available. The following criteria will be used to evaluate applications received in response to this solicitation. More information about each criteria can be found in the funding opportunity announcement.

Proposed Approach (30 Points)
Organizational Capacity and Management Plan (25 Points)
Evaluation and Reporting (20 Points)
Budget and Budget Narrative (25 Points).

93.636 ACA - REINVESTMENT OF CIVIL MONEY PENALTIES TO BENEFIT NURSING HOME RESIDENTS

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act (Public Law 111-148); Health Care and Education Reconciliation Act (Public Law 111-152).

OBJECTIVES:

The purpose of this funding opportunity is to solicit applications to participate in a national federal grant program using Civil Money Penalty funds from nursing homes to support and otherwise benefit nursing home residents.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Requested funds must be used entirely for activities that protect or improve the quality of care for nursing home residents. These activities must be approved by CMS and may include, but are not limited to:

1. Support and protection of residents of a facility that closes (voluntarily or involuntarily).
2. Time-limited expenses incurred in the process of relocating residents to home and community-based settings or another facility when a facility is closed (voluntarily or involuntarily) or downsized pursuant to an agreement with the State Medicaid agency.
3. Projects that support resident and family councils and other consumer involvement in assuring quality care in facilities.
4. Facility improvement initiatives approved by CMS, such as joint training of facility staff and surveyors or technical assistance for facilities implementing quality assurance and performance improvement program, when such facilities have been cited by CMS for deficiencies in the applicable requirements.
5. Development and maintenance of temporary management or receivership capability such as but not limited to, recruitment, training, retention or other system infrastructure expenses. However, as specified in 488.415(c), a temporary managers salary must be paid by the facility. Conflict of Interest Prohibitions: CMS will not approve projects for which a conflict of interest exists or the appearance of a conflict of interest exists. Similarly, we will generally not approve uses of CMP funding for very long term projects (greater than 3 years).

Duplication: CMP funds may not be used to pay entities to perform functions which they are already paid by state or federal sources. In addition, CMP funds may not be used to fund state legislative directives for which no or inadequate state funds have been appointed.

Capitol Improvement: CMP funds may not be used to pay for capital improvement to a nursing home, or to build a nursing home, as the value of such capital improvement accrues to a private party (the owner).

Nursing Home Services or Supplies: CMP funds may not be used to pay for nursing home services or supplies that are already the responsibility of the nursing home, such as laundry, linen, food, heat, staffing costs.

Temporary Manager Salaries: CMP funds may not be used to pay the salaries of temporary managers who are actively managing a nursing home.

Supplementary Funding of Federally Required Services: For example, CMP funds may not be used to recruit or provide Long Term Care Ombudsman certification training for staff or volunteers or investigate and work to resolve complaints as these are among the responsibilities of the Long Term Care Ombudsman programs under the federal Old Americans Act, regardless of whether funding is adequate to the purpose.

Applicant Eligibility:

CMS seeks to fund activities that protect or improve the quality of care for residents. Funding under this opportunity is available to organizations/associations that are authorized by law to administer grants and contracts in support of national and regional programs.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

The review process will include the following:

Applications will be screened to determine eligibility for further review using the criteria detailed in this solicitation. Applications received late or from organizations that are not eligible will not be reviewed and will not be eligible for award.

A team consisting of staff from HHS and potentially other outside experts will review all applications. The review panel will assess each application to determine the merits of the application and the extent to which the proposed program furthers the purposes of the program. CMS reserves the option to request that applicants revise or otherwise modify their applications and budget based on the recommendations of the panel.

The results of the objective review of the applications by qualified experts will be used to advise the approving HHS official. Final award decisions will be made by an HHS approving official. In making these decisions, the HHS approving official will take into consideration: recommendations of the review panel; the extent to which the requested resources directly support implementation efforts; any overlap with existing resources that support implementation; the extent to which the state is committing state resources to implementation efforts; the reasonableness of the estimated cost to the government and anticipated results; likelihood that the proposed project will result in the benefits expected; and availability of funding.

Successful applicants will receive one grant award per application issued under this announcement. CMS reserves the right to approve or deny any or all applications for funding. Section 1115A(d)(2) of the Social Security Act states that there is no administrative or judicial review of the selection of organizations, sites, or participants to test models under section 1115A.

Deadlines:

May 09, 2014 to Aug 31, 2017 Funding Opportunity Announcement Released: May 9, 2014

Required Notice of Intent to Apply Due: June 9, 2014
(Email Notice of Intent to apply to: Fed_CMPGrant@cms.hhs.gov)

Electronic Grant Application Due Date: June 26, 2014

Anticipated Notice of Grant Award: September 4, 2014

Anticipated Grant Period of Performance: September 4, 2014-August 31, 2017.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Period of performance is up to three years. See the following for information on how assistance is awarded/released: Funding will be award in 12-month budget periods.

Reports:

Progress reports quarterly and annual

Federal Financial report

Transparency Act Reporting

Audit Requirement

Payment Management Requirements. Awardees are required to report their cash transactions on a quarterly basis directly through the Payment Management System using the SF-425 (top portion of the form). The report identifies cash expenditures against the authorized funds for the grant. Failure to submit the report may result in the inability to access funds. Awardees are also required to submit overall financial status reports semi-annually, also using the SF-425. Awardees must agree to cooperate with any Federal evaluation of the program and provide required quarterly and final reports in a form prescribed by CMS. Quarterly reports shall be submitted electronically (via GrantSolutions).

Awardees must also agree to respond to requests that are necessary for the evaluation of the national efforts and provide data on key elements of their own cooperative agreement activities. Grant funds will be distributed to awardees via the Payment Management System. Awardees are required to report their cash transactions on a quarterly basis directly through the Payment Management System using the SF-425 (top portion of the form). The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds. Awardees are also required to submit overall financial status reports semi-annually using the SF-425. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

N/A.

Account Identification:

75-0511-0-1-551.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

N/A.

TAFS Codes:

75-8005.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Sandra Phelps 7500 Security Blvd., Baltimore, Maryland 21244 Email: Sandra.phelps@cms.hhs.gov Phone: 410-786-1968

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**93.638 ACA-TRANSFORMING CLINICAL PRACTICE INITIATIVE:
PRACTICE TRANSFORMATION NETWORKS (PTNS)**

TCPI

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Section 1115A of the Social Security Act (the Act), as added by 3021 of the Affordable Care Act, which authorizes the Center for Medicare & Medicaid Innovation (CMMI or the Innovation Center) to test innovative payment and service delivery models to reduce spending under Medicare, Medicaid, or CHIP, while preserving or enhancing the quality of care furnished to beneficiaries under those programs. The TCPI is a service delivery model that tests whether providing technical assistance in a specific complex adaptive manner will enable clinicians and their practices to rapidly transform the way they deliver care to patients, resulting in improved health outcomes and reduced costs.

The authority for the TCPI model is section 1115A of the Social Security Act (the Act). Under section 1115A(d)(1) of the Act, the Secretary of Health and Human Services may waive such requirements of Titles XI and XVIII and of sections 1902(a)(1), 1902(a)(13), and 1903(m)(2)(A)(iii) as may be necessary solely for purposes of carrying out section 1115A with respect to testing models described in section 1115A(b). For this model and consistent with this standard, the Secretary may consider issuing waivers of certain fraud and abuse provisions in sections 1128A, 1128B, and 1877 of the Act. Waivers are not being issued in this document; waivers, if any, would be set forth in separately issued documentation. Thus, notwithstanding any other provision of this Funding Opportunity Announcement, awardees and subawardees must comply with all applicable laws and regulations, except as explicitly provided in any such separately documented waiver issued pursuant to section 1115A(d)(1) specifically for the TCPI model. Any such waiver would apply solely to the TCPI model and could differ in scope or design from waivers granted for other programs or models.

OBJECTIVES:

The Transforming Clinical Practice Initiative (TCPI) model will test whether a three-pronged approach to national technical assistance will enable large scale transformation of thousands of clinician practices to deliver better care and result in better health outcomes at lower costs.

Practice Transformation Networks (PTN) formed by group practices, health care systems, and others that join together to serve as trusted partners to provide clinician practices with quality improvement (QI) expertise, best practices, coaching and help as they prepare and begin clinical and operational practice transformation. These organizations will collaboratively lead clinicians and practices through the TCPI phases of transformation, achieve the TCPI goals, and through adaptive redesign position these clinicians and their practices to be sustainable components of the changing care delivery system.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The funds shall be used to implement, manage toward results, and evaluate models that support system transformation toward higher quality care at lower costs. Award dollars cannot be used for specific components, devices, equipment, or personnel that are not integrated into the entire service delivery model proposal. Funds shall not be used to build or purchase health information technology or other information technology that exceed more than 10% of total costs of the applicants proposed budget. CMS will not fund proposals that

replicate models that CMS is currently testing in other initiatives.

Applicant Eligibility:

Interstate
Intrastate
Local
Sponsored Organizations
Federally Recognized Indian Tribal Government
Private Nonprofit Institution/Organization
Quasi-public Nonprofit Institution/Organization
Other private Institution/Organization
Native American Organization
Specialty group
Small Business
Profit Organization
Other public Institution/Organization
Public Nonprofit Institution/Organization
Other public Institution/Organization
*Clinicians receiving other CMMI support (e.g. CPCI) and those already participating in alternate payment programs (e.g. Medicare Shared Savings Program) are not eligible for participation in TCPI.

Beneficiary Eligibility:

The Beneficiary eligibility includes the list as noted above with the exception of:

Federal, Interstate
Intrastate
Student/Trainee and Graduate Students
Artist/Humanist
Engineer/Architect, Builder/Contractor/Developer
Farmer/Rancher/Agriculture Producer
Industrialist/Business Person
Small Business Person
Homeowner
Property Owner
Anyone/General Public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that will set forth the amount of the award and other pertinent information. The award will also include standard Terms and Conditions, and may also include additional specific cooperative agreement terms and conditions. Potential applicants should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the review panel.

The NoA is the legal document issued to notify the awardee that an award has been made and that funds may be requested from the HHS payment system. The NoA will be sent electronically to the awardee organization as listed on its SF 424. Any communication between CMS and awardees prior to issuance of the NoA is not an authorization to begin performance of a project.

Unsuccessful applicants will be notified by letter, sent through electronically to the applicant organization as listed on its SF 424.

Deadlines:

Oct 23, 2014 to Jan 06, 2015 Applications are due in grants.gov for this FOA

by January 6, 2015. Applicants are highly encouraged to submit a Letter of Intent by November 20, 2014.

Range of Approval/Disapproval Time:

The anticipated date of awards for Transforming Clinical Practice Initiative is April 2015.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Matching funds are not required.

This program has MOE requirements, see funding agency for further details.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

N/A. Method of awarding/releasing assistance: quarterly.

Reports:

CMS plans to continuously monitor PTN awardees for this model test through monthly, quarterly and annual reporting requirements in order to ensure that milestones that they are responsible for achieving are met, and to ensure that technical assistance and recruitment activities are being conducted in a manner that is effective, as well as equitable toward and inclusive of CMS beneficiaries and the medically underserved. This will include quarterly monitoring trends in a PTNs recruited clinicians and their practices characteristics, as well as utilization patterns for populations served by PTN participants. We will be requiring regular aggregate reporting of practice population characteristics, attrition and accretion, and utilization stratified by the payer categories: Medicare, Medicaid, CHIP, commercial, and other, in order to monitor equity in both composition and impact of services. Doing so will also allow us to estimate cost savings by payer category. These data would be reported by the PTN on a monthly basis, and will also be used to assess savings impacts for the model over time. In addition, we will use existing CMS data (Medicare fee for service claims data) and reporting systems (physician quality reporting and meaningful use program data) as part of our monitoring efforts, in order to minimize the reporting burden on practices participating in PTNs. The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. All grantees must utilize the FFR to report cash transaction data, expenditures, and any program income generated.

Grantees must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. The quarterly reporting due dates are as follows: 4/30, 7/30, 10/30, 1/30. A Quick Reference Guide for completing the FFR in PMS is at: www.dpm.psc.gov/grant_recipient/guides_forms/ffr_quick_reference.aspx.

In addition to submitting the quarterly FFR to PMS, Grantees must also provide, on an annual basis, a report to be uploaded into GrantSolutions which includes their expenditures and any program income generated in lieu of completing a Financial Status Report (FSR) (SF269/269A). Expenditures and any program income generated should only be included on the annually submitted FFR, as well as the final FFR. Annual hard-copy FFRs should be mailed and received within 30 calendar days of the applicable year end date. The final FFR should be uploaded in GrantSolutions within 90 calendar days of the project period end date. More details will be outlined in the Notice of Award. Awardees must agree to cooperate with any Federal evaluation of the model and performance results and provide required quarterly, semi-annual (every six months), annual and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS. In the implementation of TCPI, applicants will receive qualitative and quantitative data from their recruited practices. Applicants selected as PTNs will submit reports electronically. These reports will include how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project and model reporting and

technical assistance necessary to complete required report forms. Awardees must also agree to respond to requests that are necessary for the evaluation of the TCPI model and provide data on key elements of model performance and on results from the cooperative agreement activities. No expenditure reports are required. CMS will enlist a third party entity to assist CMS in monitoring the model implementation and testing performance results and outcomes. CMS plans to collect data elements to be part of monitoring for all of the different networks, and these monitoring and surveillance elements will feed into the evaluation. All awardees will be required to cooperate in providing the necessary data elements to CMS or a CMS contractor. The contractor would assist CMS in developing a cost, quality, and population health monitoring and review network performance to ensure requirements are met; tracking performance across awardees and providing for rapid cycle evaluation and early detection of performance problems; developing a system to collect, store, and analyze data to assess health care cost and utilization, quality performance, and population health improvements and assisting with awardee implementation, including coordination between awardees and CMS and its other contractors.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

TCPI participants are required to keep records for a minimum of 30 days after the conclusion of the TCPI program.

Account Identification:

75-0522-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$136,555,218; FY 17 est \$178,000,000; and FY 18 est \$110,530,000

Range and Average of Financial Assistance:

This will be a new service delivery model. Therefore, no funds (\$0) have been requested for past or current fiscal years.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Grants Management Officer
Centers for Medicare & Medicaid Services
Office of Acquisitions and Grants Management
Phone: 410-786-5239.

Headquarters Office:

Fred Butler, Jr. 7500 Security Boulevard, Baltimore, Maryland 21244 Email: fred.butler@cms.hhs.gov Phone: 4107865239

Website Address:

<http://www.innovation.cms.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Section V of the FOA.

93.639 ACA-TRANSFORMING CLINICAL PRACTICE INITIATIVE: SUPPORT AND ALIGNMENT NETWORKS (SANS)

TCPI

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Section 1115A of the Social Security Act (the Act), as added by 3021 of the Affordable Care Act, which authorizes the Center for Medicare & Medicaid Innovation (CMMI or the Innovation Center) to test innovative payment and service delivery models to reduce spending under Medicare, Medicaid, or CHIP, while preserving or enhancing the quality of care furnished to beneficiaries under those programs. The TCPI is a service delivery model that tests whether providing technical assistance in a specific complex adaptive manner will enable clinicians and their practices to rapidly transform the way they deliver care to patients, resulting in improved health outcomes and reduced costs.

The authority for the TCPI model is section 1115A of the Social Security Act (the Act). Under section 1115A(d)(1) of the Act, the Secretary of Health and Human Services may waive such requirements of Titles XI and XVIII and of sections 1902(a)(1), 1902(a)(13), and 1903(m)(2)(A)(iii) as may be necessary solely for purposes of carrying out section 1115A with respect to testing models described in section 1115A(b). For this model and consistent with this standard, the Secretary may consider issuing waivers of certain fraud and abuse provisions in sections 1128A, 1128B, and 1877 of the Act. Waivers are not being issued in this document; waivers, if any, would be set forth in separately issued documentation. Thus, notwithstanding any other provision of this Funding Opportunity Announcement, awardees and subawardees must comply with all applicable laws and regulations, except as explicitly provided in any such separately documented waiver issued pursuant to section 1115A(d)(1) specifically for the TCPI model. Any such waiver would apply solely to the TCPI model and could differ in scope or design from waivers granted for other programs or models.

OBJECTIVES:

The Transforming Clinical Practice Initiative (TCPI) model will test whether a three-pronged approach to national technical assistance will enable large scale transformation of thousands of clinician practices to deliver better care and result in better health outcomes at lower costs.

Support Alignment Networks (SANs) formed by group practices, health care systems, and others that join together to serve as trusted partners to provide clinician practices with quality improvement (QI) expertise, best practices, coaching and help as they prepare and begin clinical and operational practice transformation. These organizations will collaboratively lead clinicians and practices through the TCPI phases of transformation, achieve the TCPI goals, and through adaptive redesign position these clinicians and their practices to be sustainable components of the changing care delivery system.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The funds shall be used to implement, manage toward results, and evaluate models that support system transformation toward higher quality care at lower costs. Award dollars cannot be used for specific components, devices, equipment, or personnel that are not integrated into the entire service delivery model proposal. Funds shall not be used to build or purchase health information technology or other information technology that exceed more than 10% of total costs of the applicants proposed budget. CMS will not fund proposals that replicate models that CMS is currently testing in other initiatives.

Applicant Eligibility:

CMS anticipates that SANs will include but not be limited to organizations like:
Public Nonprofit Institution/Organization
Specialized group
Profit Organization
Private Nonprofit Institution/Organization
Quasi-public Nonprofit Institution/Organization
Other private institution/organization

*Clinicians receiving other CMMI support (e.g. CPCI) and those already participating in alternate payment programs (e.g. Medicare Shared Savings Program) are not eligible for participation in TCPI.

Beneficiary Eligibility:

The Beneficiary eligibility includes the list as noted above with the exception of:

- Federal, Interstate
- Intrastate
- Student/Trainee and Graduate Students
- Artist/Humanist
- Engineer/Architect, Builder/Contractor/Developer
- Farmer/Rancher/Agriculture Producer
- Industrialist/Business Person
- Small Business Person
- Homeowner
- Property Owner
- Anyone/General Public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that will set forth the amount of the award and other pertinent information. The award will also include standard Terms and Conditions, and may also include additional specific cooperative agreement terms and conditions. Potential applicants should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the review panel.

The NoA is the legal document issued to notify the awardee that an award has been made and that funds may be requested from the HHS payment system. The NoA will be sent electronically to the awardee organization as listed on its SF 424. Any communication between CMS and awardees prior to issuance of the NoA is not an authorization to begin performance of a project.

Unsuccessful applicants will be notified by letter, sent through electronically to the applicant organization as listed on its SF 424.

Deadlines:

Oct 23, 2014 to Jan 06, 2015 The application for this FOA is due on grants.gov by January 6, 2015. Applicants are highly encouraged to submit a Letter of Intent by November 20, 2014.

Range of Approval/Disapproval Time:

The anticipated date of awards for Transforming Clinical Practice Initiative is April 2015.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

N/A. Method of awarding/releasing assistance: quarterly.

Reports:

CMS plans to continuously monitor SANs awardees for this model test through monthly, quarterly and annual reporting requirements in order to ensure that

milestones that they are responsible for achieving are met, and to ensure that technical assistance and recruitment activities are being conducted in a manner that is effective, as well as equitable toward and inclusive of CMS beneficiaries and the medically underserved. This will include quarterly monitoring trends in a SANs recruited clinicians and their practices characteristics, as well as utilization patterns for populations served by SANs participants. We will be requiring regular aggregate reporting of practice population characteristics, attrition and accretion, and utilization stratified by the payer categories: Medicare, Medicaid, CHIP, commercial, and other, in order to monitor equity in both composition and impact of services. Doing so will also allow us to estimate cost savings by payer category. These data would be reported by the SANs on a monthly basis, and will also be used to assess savings impacts for the model over time. In addition, we will use existing CMS data (Medicare fee for service claims data) and reporting systems (physician quality reporting and meaningful use program data) as part of our monitoring efforts, in order to minimize the reporting burden on practices participating in SANs. The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. All grantees must utilize the FFR to report cash transaction data, expenditures, and any program income generated.

Grantees must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. The quarterly reporting due dates are as follows: 4/30, 7/30, 10/30, 1/30. A Quick Reference Guide for completing the FFR in PMS is at: www.dpm.psc.gov/grant_recipient/guides_forms/ffr_quick_reference.aspx.

In addition to submitting the quarterly FFR to PMS, Grantees must also provide, on an annual basis, a report to be uploaded into GrantSolutions which includes their expenditures and any program income generated in lieu of completing a Financial Status Report (FSR) (SF269/269A). Expenditures and any program income generated should only be included on the annually submitted FFR, as well as the final FFR. Annual hard-copy FFRs should be mailed and received within 30 calendar days of the applicable year end date. The final FFR should be uploaded in GrantSolutions within 90 calendar days of the project period end date. More details will be outlined in the Notice of Award. Awardees must agree to cooperate with any Federal evaluation of the model and performance results and provide required quarterly, semi-annual (every six months), annual and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS. In the implementation of TCPI, applicants will receive qualitative and quantitative data from their recruited practices. Applicants selected as SANs will submit reports electronically. These reports will include how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project and model reporting and technical assistance necessary to complete required report forms. Awardees must also agree to respond to requests that are necessary for the evaluation of the TCPI model and provide data on key elements of model performance and on results from the cooperative agreement activities. No expenditure reports are required. CMS will enlist a third party entity to assist CMS in monitoring the model implementation and testing performance results and outcomes. CMS plans to collect data elements to be part of monitoring for all of the different networks, and these monitoring and surveillance elements will feed into the evaluation. All awardees will be required to cooperate in providing the necessary data elements to CMS or a CMS contractor. The contractor would assist CMS in developing a cost, quality, and population health monitoring and review network performance to ensure requirements are met; tracking performance across awardees and providing for rapid cycle evaluation and early detection of performance problems; developing a system to collect, store, and analyze data to assess health care cost and utilization, quality performance, and population health improvements and assisting with awardee implementation, including coordination between awardees and CMS and its other contractors.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

TCPI participants are required to keep records for a minimum of 30 days after the conclusion of the TCPI program.

Account Identification:

75-0522-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$8,702,926; FY 17 est \$9,130,000; and FY 18 est \$9,080,000

Range and Average of Financial Assistance:

This will be a new service delivery model. Therefore, no funds (\$0) have been requested for past or current fiscal years.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Grants Management Officer
Centers for Medicare & Medicaid Services
Office of Acquisitions and Grants Management
Phone: 410-786-5239.

Headquarters Office:

Fred Butler, Jr. 7500 Security Boulevard, Baltimore, Maryland 21244 Email: fred.butler@cms.hhs.gov Phone: 4107865239

Website Address:

<http://www.innovation.cms.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Section V of the FOA.

93.640 BASIC HEALTH PROGRAM (AFFORDABLE CARE ACT) (BHP)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Section 1331 of the Patient Protection and Affordable Care Act, (Pub. L. 111-148), and the Health Care and Education Reconciliation Act of 2010 (Pub. L. 111.152, enacted March 30, 2010) which are collectively referred to as the Affordable Care Act.

OBJECTIVES:

Section 1331 of the Affordable Care Act gives states the option of creating a Basic Health Program (BHP), a health benefits coverage program for low-income residents who would otherwise be eligible to purchase coverage through the Health Insurance Marketplace. The program is for specified individuals who do not qualify for Medicaid but whose income does not exceed 200 percent of the federal poverty level (FPL).

TYPES OF ASSISTANCE:

Insurance

USES AND USE RESTRICTIONS:

The federal BHP payment is made to a state trust fund for BHP. Funds in the state trust fund may not be used for any purposes other than paying BHP

benefits and reducing premiums and cost-sharing for eligible individuals enrolled in BHP standard health plans. BHP Trust funds may not be expended for any purpose other than those specified above. In addition, BHP trust funds may not be used for purposes including but not limited to: (1) determining the amount of non-federal funds for the purposes of meeting matching or expenditure for federal funding; (2) program administration of BHP or any other program; (3) payment to providers not associated with BHP services or requirements; or (4) coverage for individuals not eligible for BHP. BHP Trust funds may not be expended for any purpose other than those specified in section 070 above. In addition, BHP trust funds may not be used for other purposes including but not limited to: (1) Determining the amount of non-Federal funds for the purposes of meeting matching or expenditure requirements for Federal funding; (2) Program administration of BHP or any other program; (3) Payment to providers not associated with BHP services or requirements; or (4) Coverage for individuals not eligible for BHP.

Applicant Eligibility:

Any State that submits a BHP Blueprint may be considered for certification by the Secretary of HHS.

Beneficiary Eligibility:

The program is for specified individuals who do not qualify for Medicaid but whose income does not exceed 200 percent of the federal poverty level (FPL).

Credentials/Documentation:

The BHP Blueprint is a comprehensive written document submitted by the state to the Secretary for certification of a BHP in the form and manner specified by HHS. The Blueprint will establish compliance with applicable requirements by including a description, or if applicable, an assurance of: (1) minimum benefits offered including essential health benefits; (2) the competitive process that the state will undertake to contract for the provision of standard health plans; (3) the standard contract requirements that the State will incorporate into its standard health plan contracts; (4) the methods by which the State will enhance the availability of standard health plan coverage; (5) the methods by which the state will ensure and promote coordination with other insurance affordability programs; (6) the premium standards; (7) the cost sharing imposed under the BHP; (8) the disenrollment procedures and consequences of nonpayment of premiums; (9) the standards used to determine eligibility for the program; (10) the states policies regarding enrollment, disenrollment and verification, along with a plan to ensure coordination with and eliminate gaps in coverage for individuals transitioning to other insurance affordability programs; (11) the fiscal policies and accountability procedures; (12) the process by which BHP trust fund trustees shall be appointed, the qualifications and responsibilities of such trustees, and any arrangements to insure or indemnify such trustees against claims or breaches of their fiduciary responsibilities; (13) a description of how the state will ensure program integrity; (14) an operational assessment establishing operating agency readiness; (15) a transition plan if a state participating in 2015 plans to propose an alternative enrollment strategy for initial implementation. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

The Secretary will certify a BHP Blueprint provided it meets all of the following standards: (1) The Blueprint contains sufficient information for the Secretary to determine that the BHP will comply with the requirements of section 1331 of the Affordable Care Act and Part 600 of 42 CFR; (2) The BHP Blueprint demonstrates adequate planning for the integration of BHP with other insurance affordability programs in a manner that will permit a seamless, coordinated experience for a potentially eligible individual; (3) The Blueprint is a complete and comprehensive description of the BHP and its operations, demonstrating thorough planning and a concrete program design, without

reserved decisions on operational features.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The Blueprint should be submitted with enrollment data and premium information at least 6 weeks prior to effective date requested.

Appeals:

Not Applicable.

Renewals:

In the event that a State seeks to make significant changes that alter program operations, the BHP benefit package, enrollment, disenrollment and verification policies described in the certified BHP Blueprint, the state must submit a revised Blueprint to the Secretary for review and certification. The State is responsible for continuing to operate under the terms of the existing certified Blueprint until and unless a revised Blueprint is certified.

Formula and Matching Requirements:

Statutory Formula: 42 CFR Part 600 [CMS-2380-FM] RIN 0938-ZB12 -- Annual Federal Funding Methodology published in Federal Register.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

N/A. Method of awarding/releasing assistance: quarterly.

Reports:

The state must submit an annual report that includes any evidence of fraud, waste, or abuse on the part of participating providers, plans, or the state BHP agency known to the state, and a detailed data-driven review of compliance with the following: (1) eligibility verification requirements; (2) limitations on the use of Federal funds; (3) requirements to collect quality and performance measures from all participating standard health plans focusing on quality of care and improved health outcomes; (4) requirements specified by the Secretary at least 120 days prior to the date of the annual report as requiring further study to assess continued state compliance with federal law, regulations and the terms of the states certified Blueprint. No cash reports are required. No progress reports are required. No expenditure reports are required. To determine whether the state is complying with the Federal requirements and the provisions of its BHP Blueprint, HHS may review, as needed, but no less frequently than annually, the compliance of the state with applicable laws, regulations and interpretive guidance. No cash reports are required. No progress reports are required. No expenditure reports are required. To determine whether the State is complying with the Federal requirements and the provisions of its BHP Blueprint, HHS may review, as needed, but no less frequently than annually, the compliance of the State with applicable laws, regulations and interpretive guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

a) Maintain an accounting system and supporting fiscal records to assure that the BHP trust funds are maintained and expended in accord with the applicable federal requirements such as OMB Circulars A-87 and A-133. (b) Obtain an annual certification from the BHP trustees, the states chief financial officer, or designee, certifying all of the following: (1) the states BHP trust fund financial statement for the fiscal year; (2) The BHP trust funds are not being used as non-federal share for purposes of meeting any matching of expenditure requirement of any federally-funded program; (3) the use of BHP trust funds is in accordance with federal requirements consistent with those specified for the administration and provision of the program. (c) Conduct an independent audit of BHP trust fund expenditures over a 3 year period to determine that expenditures made in 3 year period were allowable; (d) Publish annual reports on the use of funds, including a separate line item that tracks the use of funds to

further reduce premiums and cost sharing or for the provision of additional benefits within 10 days of approval by the trustees and findings of audit conducted under (c) above if applicable.

Account Identification:

20-0949-0-1-551.

Obligations:

(Formula Grants) FY 16 \$2,823,560,855; FY 17 est \$4,370,000,000; and FY 18 est \$4,490,000,000

Range and Average of Financial Assistance:

N/A based on formula of state-specific data.

TAFS Codes:

20-0949.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Contact the Associate Regional Administrator, Division of Medicaid, Center for Medicaid, CHIP and Survey & Certification. (See Appendix IV of the Catalog for addresses and telephone numbers.).

Headquarters Office:

Kelly Whitener 7500 Security Blvd., Baltimore, Maryland 21244 Email: Kelly.Whitener@cms.hhs.gov Phone: 410-786-0719

Website Address:

<http://www.medicaid.gov/basic-health-program/basic-health-program.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.643 CHILDREN'S JUSTICE GRANTS TO STATES

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Child Abuse and Treatment Act (CAPTA), Section 107, 42 U.S.C 5106 et. seq.

OBJECTIVES:

To encourage states to enact reforms which are designed to improve (1) the assessment and investigation of suspected child abuse and neglect cases, including cases of suspected child sexual abuse and exploitation, in a manner that limits additional trauma to the child and the child's family; (2) the assessment and investigation of cases of suspected child abuse-related fatalities and suspected child neglect-related fatalities; (3) the investigation and prosecution of cases of child abuse and neglect, including child sexual abuse and exploitation; and (4) the assessment and investigation of cases involving children with disabilities or serious health-related problems who are suspected victims of child abuse or neglect.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are to be used for (a) investigative, administrative, and judicial handling of cases of child abuse and neglect, including child sexual abuse and exploitation, as well as cases involving suspected child maltreatment related fatalities and cases involving a potential combination of jurisdictions, such as interstate, federal-state, and state-tribal, in a manner which reduces the additional trauma to the child victim and the victim's family and which also ensures procedural fairness to the accused; (b) experimental, model, and

demonstration programs for testing innovative approaches and techniques which may improve the prompt and successful resolution of civil and criminal court proceedings or enhance the effectiveness of judicial and administrative action in child abuse and neglect cases, particularly child sexual abuse and exploitation cases, including the enhancement of performance of court-appointed attorneys and guardians ad litem for children, and which also ensure procedural fairness to the accused; and (c) reform of state laws, ordinances, regulations, protocols and procedures to provide comprehensive protection for children from abuse, including sexual abuse and exploitation, while ensuring fairness to all affected persons. To receive funds, states must meet eligibility requirements stated in the authorizing statute.

Applicant Eligibility:

States (including Puerto Rico and the District of Columbia), Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Marianas.

Beneficiary Eligibility:

Beneficiaries include state governments and victims of child abuse and neglect, particularly child sexual abuse and exploitation.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with 45 CFR Part 75. Applications require certification and/or documentation that the state meets eligibility requirements described in the Social Security Act (the Act). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

No preapplication is required. Advice and technical assistance to state applicants are available from the Children's Bureau, Office on Child Abuse and Neglect within the Administration on Children, Youth and Families. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No Federal forms are required, but certain assurances and information described in the Annual Program Instruction must be included.

Award Procedure:

Applications will be reviewed against all eligibility requirements contained in the authorizing legislation. All eligible state and territorial governments will receive funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines will be contained in the Program Instruction sent to each state and other eligible entities announcing the availability of funds under this program.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR, Part 16.

Renewals:

Grants are made annually. A new application is required each year.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: There is no matching requirement. Each state receives a base amount of \$50,000 with an additional amount based on the population of children under age 18 in each state. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant funds may be expended for a period of 2 years after the end of the fiscal year in which the funds are awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Financial reporting is conducted through the PSC-272. Program progress reporting requirements are described in the Program Instructions. Financial reporting requirements are described in the Program Instructions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are conducted in accordance with the requirements in 45 CFR Part 75.

Records:

Records must be kept in accordance with 45 CFR Part 75.

Account Identification:

15-5041-0-2-754.

Obligations:

(Formula Grants) FY 16 \$17,000,000; FY 17 est \$17,000,000; and FY 18 est \$17,000,000

Range and Average of Financial Assistance:

FY 2016: \$52,263 to \$980,980 with an average grant of \$185,082.

TAFS Codes:

15-5041.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 56 grants were made to 50 states, the District of Columbia, and territorial governments. Fiscal Year 2017: 56 grants are expected to be made to states, the District of Columbia, and territorial governments. Fiscal Year 2018: 56 grants are expected to be made to 50 states, the District of Columbia, and territorial governments.

REGULATIONS, GUIDELINES, AND LITERATURE:

All pertinent instructions are contained in the annual Program Instruction.

Regional or Local Office:

None.

Headquarters Office:

Lauren Fischman Office on Child Abuse and Neglect
330 C Street SW, Room 3403, Washington, District of Columbia 20201 Email: lauren.fischman@acf.hhs.gov Phone: (202) 205-4539

Website Address:

<http://www.acf.dhhs.gov/programs/cb>.

RELATED PROGRAMS:

93.645 Child Welfare Services_State Grants; 93.667 Social Services Block Grant; 93.669 Child Abuse and Neglect State Grants; 93.670 Child Abuse and Neglect Discretionary Activities; 93.671 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

States which meet all eligibility requirements and propose projects which meet the required uses of these funds will receive grants.

93.644 ADULT MEDICAID QUALITY: IMPROVING MATERNAL AND INFANT HEALTH OUTCOMES IN MEDICAID AND CHIP**FEDERAL AGENCY:**

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

The Patient Protection and Affordable Care Act (Pub. L. 111-148) Section 2701 Adult Health Quality Measures.

OBJECTIVES:

To support State Medicaid agencies in testing, collecting, and reporting to CMS a new developmental quality measure as part of the Center for Medicaid and CHIP Services (CMCS) Maternal and Infant Health Initiative. Additionally, the grant funding will support States efforts to use these data to increase the rate of pregnancies that are intended through increased use of effective contraception.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

1. States may use grant funds to improve data validation methods/initiatives, evaluate data sources and measures, and train and educate providers in collecting and reporting the measure.
2. States may use funds for staff training in the use of tools for collecting and analysis of data from claims, surveys, medical records, or encounter records.
3. States may use the grant funding to develop a plan to sustain collection beyond the grant period.
4. States may use the grant funds to participate in CMCS sponsored technical assistance activities related to the Initiative measure.

Applicant Eligibility:

Grant applicants are limited to the 51 State Medicaid Agencies and the Medicaid Agencies in the US Territories.

Beneficiary Eligibility:

State Medicaid Agencies and the Medicaid Agencies in the US Territories.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. N/A

Award Procedure:

N/A.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. N/A.

Appeals:

N/A.

Renewals:

Grant awards are available for the initial one year period of performance. Awards may be continued for up to three non-compete option years, assuming satisfactory performance and award terms and conditions.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. N/A.

This program does not have MOE requirements. N/A.

Length and Time Phasing of Assistance:

N/A. Method of awarding/releasing assistance: quarterly.

Reports:

States are required to submit performance measurement data annually via the measure reporting system, as well as provide a final, written progress report. Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. All grantees must utilize the FFR to report cash transaction data, expenditures, and any program income generated.

Grantees must report on a quarterly basis cash transaction data via the Payment

Management System (PMS) using the FFR in lieu of completing a SF-272/SF-272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. The semi-annual reporting due dates are as follows: 1/30, 4/30, 7/30, 10/30. States are required to submit performance measurement data annually via the measure reporting system, as well as provide a final, written progress report. Grantees must provide, on an annual basis, a Federal Financial Report (FFR) to CMS which includes their expenditures and any program income generated in lieu of completing a Financial Status Report (FSR) (SF-269/269A). States are required to submit performance measurement data annually via the measure reporting system, as well as provide a final, written progress report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. N/A

Records:

Recipients are required to maintain grant accounting records 3 years after the date the grant is officially closed. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0511-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$1,248,605; FY 17 est \$1,219,500; and FY 18 est \$1,225,499 - N/A.

Range and Average of Financial Assistance:

A total of up to 25 grants could be awarded to States, the District of Columbia, and the US Territories. Grant awardees may receive up to \$400,000 over the performance period assuming the grantee is found eligible for all payments.

TAFS Codes:

75-0511.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Kevin Hornbeak, Grants Management Specialist
Center for Medicare and Medicaid Services
Office of Acquisition and Grants Management
Mailstop #7700 Bethesda
5600 Fishers Lane
Rockville, MD 20857
Phone: 301-492-4879 or Email: Kevin.Hornbeak@cms.hhs.gov.

Headquarters Office:

Kevin Hornbeak Mailstop #7700 Bethesda
5600 Fishers Lane, Rockville, Maryland 20857 Email:
Kevin.Hornbeak@cms.hhs.gov Phone: 301-492-4879

Website Address:

<http://www.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicant should refer to the funding opportunity announcement under this CFDA program for specific criteria requirements on proposal selection.

93.645 STEPHANIE TUBBS JONES CHILD WELFARE SERVICES PROGRAM

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV-B, Part Subpart 1; as amended.

OBJECTIVES:

The purpose of the Stephanie Tubbs Jones Child Welfare Services program is to promote state and tribal flexibility in the development and expansion of a coordinated child and family services program that utilizes community-based agencies and ensures all children are raised in safe, loving families.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for the following purposes: (a) protecting and promoting the welfare of all children; (b) preventing the abuse, neglect, or exploitation of children; (c) supporting at-risk families through services that allow children to remain with their families or return to their families in a timely manner; (d) promoting the safety, permanence, and well-being of children in foster care and adoptive families; and (e) providing training, professional development, and support to ensure a well-qualified workforce. State and Tribal grantees may spend no more than 10 percent of the Federal allocation under title IV-B, subpart 1 for administrative costs. States may also not spend (or use as required matching funds) more title IV-B, subpart 1, funds for child care, foster care maintenance and adoption assistance payments than the State expended for those purposes in FY 2005. In addition, the amount of state expenditures of non-Federal funds for foster care maintenance payments that may be used as match for the title IV-B, subpart 1 award may not exceed the amount of such non-Federal expenditures applied as state match for title IV-B, subpart 1 for the FY 2005 grant.

Applicant Eligibility:

States, the District of Columbia, Indian tribes, Puerto Rico, the Northern Marianas, the U.S. Virgin Islands, Guam, and American Samoa.

Beneficiary Eligibility:

Families and children in need of child welfare services will benefit.

Credentials/Documentation:

For states, the department which administers the services program under Title XX must be the designated single state agency to administer child welfare services. Costs will be determined in accordance with 45 CFR Part 75.

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A 5-year plan must be submitted. A full plan (every five years) or a plan update is due for submission by June 30th of each year. The plan must be jointly developed by the Secretary and the state or Indian tribe and written after consultation with appropriate public and nonprofit private agencies and community-based organizations. The plan must coordinate the provision of services under Title IV-B with services under other Federal or federally-assisted programs serving the same populations.

Award Procedure:

Funds will be awarded after the plan, or annual update, is submitted and approved.

Deadlines:

Jun 30, 2017 and June 30th of each year.

Range of Approval/Disapproval Time:

The Plan or update is due by June 30 of each year. Approval/disapproval is due by September 30 of each year.

Appeals:

Appeals are processed in accordance with Health and Human Services (HHS) regulations in 45 CFR 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Percent: 25%. Each state (including territories) receives a base allotment amount of \$70,000. The Stephanie Tubbs Jones Federal Child Welfare Services appropriation is further allotted on a variable formula which takes into account the child population under 21 and the complement of the state per capita income compared to the U.S. per capita income. The statistical factors used to fund allocations are: (1) the population of children under 21 years of age by State and the source is "Current Population Reports", P-25 Bureau of the Census; and (2) 3-year average per capita income by State and the source is the Bureau of Economic Analysis, Department of Commerce.

Each eligible tribes allotment is derived from the allotment for the state in which the tribe is located and is based on the state population under 21, the number of Indian children in the tribal population, and the multiplication factor determined by the Secretary in accordance with 45 CFR 1357.40(d)(6). Matching Requirements: Federal financial participation is available up to the full allotment at the rate of 75 percent for allowable program expenditures made by the grantee. Grantees must provide a 25 percent match for these expenditures. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are available for obligation and liquidation in the FY granted and in the subsequent FY. Grant awards are made quarterly on a fiscal year basis through a letter of credit. An electronic fund transfer system will be used for monthly cash draw-downs from Federal Reserve Banks. Method of awarding/releasing assistance: quarterly.

Reports:

At the end of the fifth fiscal year, a final review of progress toward accomplishment of goals is due. Interim reviews of progress toward accomplishment of the goals of the plan are due at the end of each of the first four fiscal years. An SF-425 financial status report must be submitted for the funds awarded in each Federal fiscal year (FFY) within three months after the close of the FFY in which the funds were granted (interim report) and again within three months after the close of the subsequent FFY (final report). Performance monitoring of state agencies is conducted through the Child and Family Services Review (CFSR) process in accordance with 45 CFR 1355.31 - 1355.37. Required financial reporting is described above. Required progress reporting is described above. Required expenditure reporting is described above. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are conducted in accordance with the requirements in 45 CFR Part 75 Subpart F.

Records:

Grantees must develop and maintain records which permit review of expenditures in accordance with the provisions of 45 CFR 75.361.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants (Apportionments)) FY 16 \$268,735,000; FY 17 est \$268,725,000; and FY 18 est \$268,725,000

Range and Average of Financial Assistance:

FY 2016: Awards for states and territories ranged from \$148,577 to \$30,006,648 with an average of 4,533,809

FY 2016: Awards for Tribes ranged from \$651 to \$146,882 with an average of \$82,914.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 235 grants were awarded in FY 2016. Fiscal Year 2017: It is anticipated that 245 grants will be awarded to states, territories and Indian tribes. Fiscal Year 2018: It is anticipated that 245 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1355 and 45 CFR 1357.

Regional or Local Office:

See Regional Agency Offices. Contact Regional Child Welfare Program Managers, Administration for Children and Families. (See Appendix IV of the Catalog for a list of addresses of the Regional offices.).

Headquarters Office:

Eileen West 330 C Street SW, Room 3509B, Washington, District of Columbia 20201 Email: eileen.west@acf.hhs.gov Phone: 202-205-8438

Website Address:

<http://www.acf.hhs.gov/programs/cb>

RELATED PROGRAMS:

93.090 Guardianship Assistance; 93.556 Promoting Safe and Stable Families; 93.600 Head Start; 93.648 Child Welfare Services Training Grants; 93.658 Foster Care Title IV-E; 93.659 Adoption Assistance; 93.667 Social Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.646 ACA TESTING A MODEL OF DATA AGGREGATION UNDER THE COMPREHENSIVE PRIMARY CARE INITIATIVE FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Section 1115a of the Social Security Act, as added by Section 3021 of the Patient Protection and Affordable Care Act (ACA), authorizes the Centers for Medicare and Medicaid Innovation (CMMI or the Innovation Center) to test innovative payment and service delivery models to reduce spending under Medicare, Medicaid, or CHIP, while preserving or enhancing the quality of care furnished to beneficiaries under those programs.

OBJECTIVES:

The Comprehensive Primary Care (CPC) initiative is a multi-payer initiative fostering collaboration between public and private health insurance companies (payers) to strengthen primary care. Recognizing that the impact of any one payer alone is limited, the payers in CPC have committed to establishing an approach that is coordinated with that of the Innovation Center to transform the way in which primary care is practiced and financially supported.

In May-July 2012, the Innovation Center executed a Memorandum of Understanding (MOU) with each participating payer. One of the stated goals in the MOU is improving the flow of cost and utilization data to CPC primary care practices to support practices in their efforts to improve outcomes through care coordination and quality improvement. The MOU described a collaboration by which each regional group of payers with CMS input would produce a written plan that outlines how participating payers will transition to a common

approach for sharing data with participating primary care practices.

The MOU defined this endeavor in the following way:

... If an appropriately structured and protected multi-payer claims data system exists or can be created in [Market] to support a common approach for sharing data with participating primary care practices, the Innovation Center and [payer] will submit its data to the system.

In order to improve care coordination and support practices to provide better patient care, payers have agreed to work together to improve data-sharing to practices. The goal of data aggregation is to combine patient-level cost and utilization data from all payers in a uniform and actionable format so that physicians can better coordinate care across their entire population. This data will help CPC primary care practices deliver better care to their patients by providing information to support their efforts to improve care outcomes through care coordination and quality improvement. CPC practices have been asking for this data to be aggregated for their practice rather than to receive it individually from each payer, which has been cumbersome and less useful for them in managing the health of their patients. Payers in four CPC regions have been delivering data in this format, but Medicare data has not to date been included.

The purpose of this program is to support vendors in four CPC regions to combine Medicare claims data with claims data from other commercial payers in each respective region and create actionable feedback reports for practices to improve care coordination and population health, and decrease costs. The end-users of this aggregated data are the CPC practices; including Medicare data in this demonstration is central to the stated goal of improving care coordination by providing actionable data to primary care practices. We are proposing to add Medicare data in CPC regions with existing multi-payer databases to test a model of data aggregation within the CPC initiative to better understand how Medicare data may be incorporated and used by practices to improve care coordination and quality improvement. The activities funded by these cooperative agreements are projected to run for the duration of the CPC initiative, currently scheduled to end December 31, 2016.

Specifically, these cooperative agreements are intended for vendors in four CPC regions:

Taconic Health Information Network Community (THINC) in the Capital-District Hudson Valley region of New York;

The Health Collaborative in the Cincinnati-Dayton region of southwestern Ohio and northern Kentucky;

MyHealth in the greater Tulsa region of Oklahoma; and
Rise Health in Colorado

The CPC payers in each region have selected the vendors listed above as their data aggregation vendors and have asked CMS to provide Medicare data to these vendors to be included in these existing multi-payer databases. Each group of regional payers is structuring their data aggregation system independently (i.e. these efforts are not connected across regions). Each group of regional payers has already entered into contractual relationships with their respective vendors. The data aggregation vendors, the intended awardees for these cooperative agreements, are establishing multi-payer claims databases specific to the payer data for the CPC practices in their geographic region. CMS participation in the data aggregation initiative will pay for Medicare data to be incorporated into the multi-payer databases serving CPC practices in each respective region (New York, Ohio/Kentucky, Oklahoma, and Colorado).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

All funds awarded should be expended only for carrying out approved projects in accordance with the intent of the cooperative agreement as stipulated in the notice of the award. Specifically, funds should be used toward establishing or expanding an existing data aggregation system that supports the following duties:

* Process and manipulate Medicare FFS administrative data, including claims files;

* Aggregate Medicare data with data from regional payers;

* Prepare reports, charts, and graphs that display healthcare data at the patient-level, with ability to group patients by payer, health care system, practice, care team, and provider. Healthcare data may include but is not limited to utilization, cost, diagnoses, and prescription medications. These reports should be available to all participating practices in the specified region, as well as to CMS and its contractor. These reports should include:

* Patient de-identified reports that can be shared with all participants;

* Patient-identifiable reports for practices aggregated with that of regional payers, as consistent with HIPPA privacy rules;

* Patient-identifiable reports on Medicare beneficiaries for Medicare consumption based on data aggregated with that of regional payers, as consistent with HIPPA privacy rules.

Applicant Eligibility:

This project will ultimately benefit the primary care health professionals who participate in CPC and their patients. The aggregated data will be used by the primary care health professionals to improve care coordination and population health management, and to decrease costs. This project will also benefit payers and healthcare researchers, as well as the general public.

Beneficiary Eligibility:

This project will ultimately benefit the primary care health professionals who participate in CPC and their patients. The aggregated data will be used by the primary care health professionals to improve care coordination and population health management, and to decrease costs. This project will also benefit payers and healthcare researchers, as well as the general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Applications will be forwarded to a review panel. The review panel will evaluate the proposals based on how well they address the evaluation criteria outlined in the FOA. Based on the advice of the review panel, the CMS selection official will approve the selected application and issue a Notice of Award. Successful applicants will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that will set forth the amount of the award and other pertinent information. The award will also include standard Terms and Conditions. Applicants should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified by the review panel.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 15 to 30 days. We will allow 30 day rework time for applications not approved.

Renewals:

The budget and project period for each Cooperative Agreement will be 12 months from the date of award. The estimated budget and project period for the first round of awards is 12 months from the date of award with one non-competing continuation period.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Applicants must have secured commitments for matching funds from other sources.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

N/A. Method of awarding/releasing assistance: quarterly.

Reports:

As part of the activities funded by these awards, the awardee must make reports available to all participating CPC practices in the specified region, as well as to CMS and its contractors. These reports should include:

- * Patient de-identified reports that can be shared with all participants;
- * Patient identifiable reports for practices based on data aggregated with that of regional payers, as consistent with HIPPA privacy rules;
- * Patient-identifiable reports on Medicare beneficiaries for Medicare consumption based on data aggregated with that of regional payers, as consistent with HIPPA privacy rules. No cash reports are required. CMS plans to continuously monitor awardees through quarterly reporting requirements in order to ensure that the data aggregation services are being performed in line with the stated goals. Awardees must agree to cooperate with any Federal evaluation and monitoring of the activities funded by these cooperative agreements and provide quarterly and final (at the end of the cooperative agreement period) reports, as well as any additional reports required.

The awardee will be responsible for providing ongoing ad hoc status updates at the request of CMS program staff, as well as formal reports at regular intervals as described below:

- * Quarterly Progress reports: Quarterly reports should be brief, summarize progress toward the goals outlined in the implementation plan, and should be submitted within 30 calendar days of the end of each quarter. The quarterly progress reports will include an overview of progress, analysis of challenges, discuss key lessons, and provide mitigation strategies for addressing barriers during implementation. The report should also detail how cooperative agreement funds were used for the past three-month period. This information shall be provided to CMS using the SF-424A form. CMS will use this information to monitor operations.
- * Final Report: No later than 90 calendar days after the end of the cooperative agreement, the awardee shall submit a final report to CMS. The final report will summarize outcomes of the cooperative agreement, expenditures for all related activities, review key challenges and lessons learned, and discuss the future and sustainability of the data aggregation services built. The program requires expenditure reports monthly from the start date of the award. The expenditure report should include a narrative summary of all expenditures made using Federal funding. Performance will be monitored through Quarterly Progress Reports as described in Question 4 above.

Audits:

No audits are required for this program.

Records:

Awardees are required to maintain proper records including financial records, supporting documents, statistical records, and all other records pertinent to the program for the duration of the award, and retain these for a minimum of three years. If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the three years, the records should be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0522-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

The anticipated total funding per award, per budget period is \$200,000 - \$450,000.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Leah Hendrick 7205 Windsor Blvd, Windsor Mill, Maryland 21244 Email: leah.hendrick@cms.hhs.gov Phone: 410-786-7397

Website Address:

<http://innovation.cms.gov/initiatives/comprehensive-primary-care-initiative/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must adhere to the format described in the FOA. The application must include:

- Project Abstract (not exceeding 1 page)
- Project Narrative (not exceeding 20 pages)
- Proposed Approach
- Organizational Capacity and Management
- Plan for Evaluation and Reporting
- Appendices

The applications will be evaluated based on how well they have addressed the above components, with emphasis on the applicants capacity for conducting data aggregation duties required by the program. This includes: evidence of prior relationships with payers and practices in the region, a demonstrated understanding of the data aggregation needs of health insurers and primary care practices in the region, and a clear plan of action on achievable objectives within one year and subsequent award years.

93.647 SOCIAL SERVICES RESEARCH AND DEMONSTRATION SSRD

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title XI, Section 1110, 42 U.S.C 1310.

OBJECTIVES:

To promote research and demonstrations related to the prevention and reduction of dependency or the administration and effectiveness of programs related to that purpose.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are awarded for innovative research, demonstrations, and evaluations that are responsive to the Administration for Children and Families (ACF) program priorities. All applications must meet standards of excellence in research, demonstration, or evaluation design. Construction or the purchase of real estate are not allowable under these grants or contracts. Further, these funds may not be used to supplement the cost of program operations otherwise capped under the law. Organizations may not use funds under these grants or contracts to engage in inherently religious activities, such as worship, religious instructions, or proselytizing. In addition, an organization receiving ACF funds shall not, in providing ACF-funded services, discriminate against a program beneficiary or prospective program beneficiary on the basis of religion or religious belief. Regulations pertaining to the Equal Treatment for Faith-Based Organizations, which include the prohibition against Federal funding of inherently religious activities, as well as provisions related to nondiscrimination against religious organizations and the ability of religious organizations to maintain their religious character, can be found at either 45

CFR 87.1 or the HHS Web site at <http://www.hhs.gov/partnerships/about/regulations/>. Discretionary funding may be used for contracts, including cooperative agreements, for the cost of research or demonstration projects, or which will aid in effecting coordination and planning between private and public welfare agencies or which will improve the effectiveness of programs carried on or assisted under the Social Security Act or related programs.

Applicant Eligibility:

Grants and cooperative agreements may be made to or with governmental entities, colleges, universities, nonprofit and for-profit organizations (if fee is waived), and faith-based and community organizations. Grants and cooperative agreements cannot be made directly to individuals.

Beneficiary Eligibility:

Children, youth, and families, especially low-income families, will benefit.

Credentials/Documentation:

Applicants must present written evidence of other agencies' willingness to cooperate when the project involves the use of other agencies, facilities, or services. Nonprofit organizations which have not previously received ACF program support must submit proof of nonprofit status. For State and local governments, costs will be determined in accordance with Chapter III Part 300 45 CFR Part 75 and Chapter II Part 200. For other grantees, applicable costs and administrative procedures will be determined in accordance with 45 CFR Part 75. 2 CFR 200, Subpart E - Cost Principles applies to this program . 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants will be able to find a synopsis of grant or cooperative agreement opportunities and apply electronically for opportunities via: www.Grants.gov. Applicants will also be able to find the complete text of grant announcements on the ACF web site located at: <http://www.acf.hhs.gov/grants/index.html>.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Notice of Award (NOA). This provides the amount awarded, the purpose of the award, the terms and conditions of the award, the budget period for which support is given, the total project period for which support is contemplated, and the total grantee participation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 365 days. Generally, solicited grants and cooperative agreements will be acted upon within 120 days.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Grant extensions and continuations are available if formally applied for and approved. If an application is recommended for approval for 2 or more years, the grantee must submit a formal request for a non-competitive continuation application each year accompanied by a progress report which will be evaluated prior to a recommendation of continuation.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are granted on a 1- to 5-year basis, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. See the following for information

on how assistance is awarded/released: Post award, our Division of Payment Management will establish an account from which a grantee may draw down awards. For additional information on this process see: http://www.dpm.psc.gov/grant_recipient/new_grantee_information/hhs_grantee_info.aspx?explorer.event=true.

Reports:

No program reports are required. No cash reports are required. Grantees are required to submit performance progress reports (ACF-OGM-SF-PPR) throughout the project period. Performance progress reports are due 30 days after the reporting period. Final performance reporting reports are due 90 days after the close of the project. Reporting forms are available at http://www.acf.hhs.gov/grants/grants_resources.html. Grantees will be required to submit financial expenditure reports throughout the project period. Financial reports are due 30 days after the reporting period. Final financial reports are due 90 days after the close of the project period. Grantees use the Financial Status Report (FSR) SF-425 for required financial reporting. Reporting forms are available at http://www.acf.hhs.gov/grants/grants_resources.html. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All fiscal transactions identifiable to Federal financial assistance are subject to audit by the HHS audit agency, and other Federal officials.

Records:

Proper accounting records, identifiable by grant number and including all receipts and expenditures, must be maintained for 3 years in accordance with 45 CFR 75.361. Subsequent to audit, they must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 16 \$842,073; FY 17 est \$849,355; and FY 18 est \$250,000

Range and Average of Financial Assistance:

Range from \$17,474 to \$100,000; Average being \$63,980.

TAFS Codes:

75-1536-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: We awarded a total of 12 grants in FY 2016, 1 new grants, and 11 non-competing continuations. Fiscal Year 2017: It is anticipated that 6 grants will be rewarded in FY 2017, 4 new grants and 2 non-competing continuations. Fiscal Year 2018: It is anticipated that 10 grants will be rewarded in FY 2018, 3 new, and 7 non-competing continuations.

REGULATIONS, GUIDELINES, AND LITERATURE:

A variety of guidance on grants can be found at www.Grants.gov.

Regional or Local Office:

See Regional Agency Offices. Appropriate ACF Regional Administrator. (See Appendix IV of the Catalog for ACF Regional Offices.)

Headquarters Office:

Emily Ball Jabbour 26 Federal Plaza, 41st Floor
Room 4114, New York, New York 10278 Email: Emily.Ball@acf.hhs.gov
Phone: 212-264-2890 x 273

Website Address:

<http://www.acf.hhs.gov/programs/opre>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.595 Welfare Reform Research, Evaluations and National Studies; 93.648 Child Welfare Services Training Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In fiscal year 2016, funds were used to support work of the Center for Research on Hispanic Children and Families, the Family Self-Sufficiency Research Consortium including the Family Strengthening Research Scholars, as well as Family Strengthening scholars, both a continuing and a new cohort. Fiscal Year 2017: We anticipate funding similar types of projects in fiscal year 2017, but adding scholar grants for students working on dissertations in Behavioral Economics. Fiscal Year 2018: We anticipate funding similar types of projects in fiscal year 2018.

CRITERIA FOR SELECTING PROPOSALS:

Applications may be funded in whole or in part depending on: (1) the ranked order of applicants resulting from competitive review; (2) staff review and consultations; (3) the combination of projects that best meet the information needs of the program; (4) the funds available; and (5) other relevant considerations. Typical criteria include project cost and budget justification, the qualification of the principal investigator and organization, the quality of approach and methodologies employed, and results and the programs objectives and results and benefits expected. Specific criteria for selecting recipients under individual funding announcements under this program are published in www.Grants.gov.

93.648 CHILD WELFARE RESEARCH TRAINING OR DEMONSTRATION

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Section 426, 42 U.S.C. 626, as amended including, Section 429, 42 U.S.C 628b.

OBJECTIVES:

To support research and demonstration projects which are of national or regional significance and special projects for the demonstration of new methods which show promise of substantial contribution to the advancement of child welfare. To demonstrate the utilization of research in the field of child welfare to encourage experimental and special types of child welfare services. To provide professional education opportunities to prospective and current child welfare agency staff and to develop competency-based training curricula and special projects for training child welfare personnel in specific areas.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are made to accredited public or other nonprofit institutions of higher learning, public or other nonprofit agencies and/or organizations and to state or local child welfare agencies for specific projects for training prospective and current personnel for work in the field of child welfare, including trainee-ships with stipends; child welfare demonstration projects of national or regional significance; and for utilization of child welfare research to encourage experimental and special types of welfare services.

Applicant Eligibility:

Eligible applicants include public or other nonprofit institutions of higher learning, public or other nonprofit agencies or organizations engaged in research on child welfare activities; and state or local public agencies responsible for administering, or supervising the administration of the title IV-B plan.

Beneficiary Eligibility:

Grants are made to accredited public or other nonprofit institutions of higher learning, public or other nonprofit agencies and/or organizations and to state or local child welfare agencies for specific projects for training prospective and current personnel for work in the field of child welfare, including trainee-ships with stipends; child welfare demonstration projects of national or regional significance; and for utilization of child welfare research to encourage experimental and special types of welfare services.

Credentials/Documentation:

Grants to educational institutions are made only to accredited institutions. Costs are determined in accordance with Part 75 of Title 45 of the Code of Federal

Regulations. Nonprofit organizations must provide proof of nonprofit status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Standard application forms, as furnished by HHS must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications made in the format provided by and at the time specified in the annual Funding Opportunity Announcements of the availability of funds.

Award Procedure:

Applications for funding are reviewed and scored by at least three non-federal subject matter experts. Final funding decision will be made by Commissioner, ACYF.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Three to six months.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR, 16.

Renewals:

Multi-year projects are funded on an annual basis through submission of a non-completing continuation application. Continuation of funding is subject to availability of funds, submission of program and financial reports, and grantee performance.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Duration is specified in announcement. See the following for information on how assistance is awarded/released: Grant awards are managed by a payment management system that manages grant payment requests, drawdowns, and disbursements.

Reports:

Program reports are not applicable. Grantees are required to biannually submit the PSC - 272 form. Grantees are required to biannually submit the SF - PPR. Grantees are required to submit the SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are required in accordance with the requirement in 45 CFR 75 Subpart F.

Records:

Financial and other records of the grant are to be kept 3 years after the termination of the grant or until audited, whichever comes first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 16 \$8,539,355; FY 17 est \$7,700,000; and FY 18 est \$9,200,000 - A Congressionally mandated budget rescission in fiscal year (FY) 2011 lowered funds available for grant awards in 2011 and the following fiscal years.

Range and Average of Financial Assistance:

FY 2016 grants ranged from \$300,000 to \$4,240,690 with the average of \$1,067,419.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 8 grants were awarded in FY 2016. Fiscal Year 2017: It is anticipated that 8 non-competing continuation grants will be awarded. Fiscal Year 2018: It is anticipated that 8 non-competing continuation grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrator, ACF.

Headquarters Office:

Jane Morgan 330 C Street SW, Room 3504, Washington, District of Columbia 20201 Email: jane.morgan@acf.hhs.gov Phone: 202 205 8807

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.645 Child Welfare Services_State Grants; 93.670 Child Abuse and Neglect Discretionary Activities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

An assessment is made of: (1) The degree to which the proposal shows promise of meeting specific objectives defined in the annual program announcement; (2) reasonableness of cost; and (3) qualifications of the applicant organization and staff, and (4) quality of the methodology.

93.649 NUTRITION AND PHYSICAL ACTIVITY PROGRAMS

Micronutrient

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Section 243, 247b(k)(2).

OBJECTIVES:

The purpose of this program is to achieve three goals related to risk factors for illness, disability, and premature death as follows:

Improve dietary quality to support healthy child development and reduce chronic disease

Decrease prevalence of obesity through prevention of weight gain and maintenance of healthy weight.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

More specific goals will be provided in funding opportunities. In general, assistance requested under this program is to:

Implement and affect behavioral, policy, system level and environmental changes through approaches that are the most effective

Increase the body of evidence-based approaches, educational resources and opportunities for healthy food and activity choices, access and number of healthy and affordable food and beverages outlets and places to be physically active.

Improved dietary quality most related to the population burden of chronic disease and unhealthy child development, including micronutrients.

Measured environmental changes in the adoption of food service,

breastfeeding, nutrition, physical activity and obesity standards in schools and child care centers, schools, worksites and communities that promote and reinforce healthful behaviors and practices.

Funds may be used for costs associated with planning, data collection, organizing, and the implementation of program elements related to the objectives identified in 050 above. Recipients may expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease. Recipients may not use funds for research.

Recipients may not use funds for clinical care. Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

Additional eligibility requirements may apply for funding opportunities that address high needs/risks and disparate populations.

Beneficiary Eligibility:

Additional eligibility requirements may apply for funding opportunities that address high needs/risks and disparate populations.

Credentials/Documentation:

Additional eligibility requirements may apply for funding opportunities that address high needs/risks and disparate populations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. No applicants other than non-competing will be eligible for the current five year period.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Renewals will be based upon the availability of funding and satisfactory programmatic progress.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are for 1 to 5 years with 12-month budget periods based on individual funding opportunities. Method of awarding/releasing assistance: lump sum.

Reports:

Semi-annual reports from each entity awarded a grant, cooperative agreement, or contract, summarizing the activities undertaken and identifying any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the recipient), to be posted not later than 30 days after the end of each 6-month period. No cash reports are required. Reporting provides continuous program monitoring and identifies successes and challenges that awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding. Awardees must submit an Annual Performance Report via www.grants.gov 120 days before the end of each budget period. The report must not exceed 45 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed. This report must include the following: performance measures, evaluation results, work plan, successes, challenges, CDC program support needs, and administrative reporting. The annual FFR form (SF-425) is required and must be submitted through eRA Commons within 90 days after each budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final report must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. The final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data must correspond; no discrepancies between the data sets are permitted. Failure to submit the required information by the due date may affect adversely the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation and include the date by which the information will be provided. Awardees must provide a more detailed evaluation and performance measurement plan within the first six months of the project. This more detailed plan must be developed by awardees as part of first-year project activities, with support from CDC. This more detailed plan must build on the elements stated in the initial plan, and must be no more than 25 pages. Awardees are required to submit performance measures annually as a minimum, and may require reporting more frequently. Performance measure reporting is limited to data collection. When funding is awarded initially, CDC programs will specify required reporting frequency, data fields, and format.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$750,000; FY 17 est \$750,000; and FY 18 est \$750,000 - This CFDA was created to support a FY16 FOA DP16-1603. This FOA is currently funded and only eligible grantees are existing for this five year period 2016-2020.

Range and Average of Financial Assistance:

Average award depends on funding opportunity announcement.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Linda Barnes 4770Buford Hwy, NE, NCCDPHP, Atlanta, Georgia 30341

Email: lbarnes@cdc.gov Phone: 404-867-9697

Website Address:

No Data Available

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC NCCDPHP and PGO. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the Criteria section of the FOA.

There may or may not be an additional review which will be provided in the FOA. Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements.

Contact Information

Website: <http://www.cdc.gov>

Headquarters Office:

Linda Barnes 4770Buford Hwy, NE, NCCDPHP, Atlanta, Georgia 30341

Email: lbarnes@cdc.gov Phone: 404-867-9697.

93.650 ACCOUNTABLE HEALTH COMMUNITIES

AHC

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Section 1115A of the Social Security Act (the Act), as amended by section 3021 of the Affordable Care Act (ACA), authorizes the Innovation Center to test innovative payment and service delivery models to reduce Medicare, Medicaid, or CHIP expenditures, while preserving or enhancing the quality of beneficiaries care. The AHC is a service delivery model under section 1115A that tests whether systematic screening for health-related social needs in clinical settings, along with referral to community resources and navigation services, impacts overall health care cost and utilization.

OBJECTIVES:

The Centers for Medicare & Medicaid Services (CMS), Center for Medicare and Medicaid Innovation (the Innovation Center), will assess whether systematically identifying the health-related social needs of community-dwelling Medicare and Medicaid beneficiaries, including those who are dually eligible, and addressing their identified needs impacts those beneficiaries total health care costs and their inpatient and outpatient utilization. Section 3021 of the ACA authorizes the Innovation Center to design, implement and evaluate innovative payment and service delivery models to improve quality and reduce costs. Rigorous evaluation requirements of the models impact influence model design parameters.

The Accountable Health Communities (AHC) Model is based on emerging evidence that addressing health-related social needs through enhanced clinical-community linkages can improve health outcomes and reduce costs. This model will support community collaboration to systematically: (1) screen beneficiaries to identify unmet health-related social needs; (2) refer beneficiaries to increase awareness of community services; (3) provide person-centered navigation services to assist beneficiaries with accessing community services; and (4) align clinical and community services to ensure that

community services are available and responsive to the needs of beneficiaries. The expectation is that these efforts will lead to a reduction in health care utilization and costs.

The funding opportunity announcement (FOA) offered three interventions of varying intensity (each referred to as a track) to better link beneficiaries to community services: the Assistance Track Intervention, the Alignment Track Intervention or a third opportunity called the Awareness Track. The Awareness Track opportunity has subsequently been withdrawn.

The Assistance Track Intervention will test whether assisting beneficiaries with accessing services through community service navigation impacts total health care cost and inpatient and outpatient health care utilization. This intervention offers universal screening of health-related social needs, use of a community resource inventory to connect beneficiaries to available community services, identification of high-risk beneficiaries as part of a smarter spending strategy to target additional resources where they are needed the most, and community service navigation to assist high risk beneficiaries with resolving health-related social needs. The Alignment Track Intervention builds on the intervention of the Assistance Track and tests whether a combination of navigation services at the individual beneficiary level and partner alignment at the community level impacts ED visits and inpatient hospital admissions. This approach recognizes that there are significant barriers to effective integration of the health care delivery systems, governmental public health systems, and the community-based service system due to different cultures, funding streams and data systems. Effective and responsive infrastructures are needed to ensure that community services are available and address the health-related social needs of beneficiaries. This intervention incorporates innovations included in the Assistance Track and adds structural and financial support designed to foster community-wide realignment of resources to more effectively address beneficiaries health-related social needs.

Successful applicants were selected to participate in a single track for a 5-year period. Although tracks share common design elements, each tracks intervention pathway and underlying hypothesis is distinct.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The FOA provided three different funding opportunities; eligible entities applied for awards in the Assistance Track, Alignment Track, or a third opportunity called the Awareness Track. The Awareness Track opportunity has subsequently been withdrawn.

The Assistance Track Intervention, through a randomized design, will test whether assisting beneficiaries with accessing community services through community service navigation impacts total health care costs and inpatient and outpatient health care utilization. These cooperative agreements will support systematic screening and referral and community service navigation. Funding for the Assistance Track will support the required work to implement this intervention. CMMI expects successful applicants to: (1) offer to systematically screen all community-dwelling Medicare and Medicaid beneficiaries for health-related social needs; (2) prepare a community referral summary for each beneficiary with a health-related social need and a minimum number of ED visits that addresses the health-related social needs identified through the screening tool; (3) review the community referral summary with each beneficiary with an identified health-related social need; (4) distribute a copy of the community referral summary to each beneficiary with an identified health-related social need; (5) identify high-risk beneficiaries with health-related social needs based on ED utilization history; and (6) provide community service navigation services to high-risk beneficiaries in the intervention group, including an in-depth personal interview, development of a person-centered action plan and follow-up to address health-related social needs.

The Alignment Track Intervention determines whether a combination of referrals to community service providers and community service navigation at the individual beneficiary level and partner alignment at the community level impacts total health care costs and inpatient and outpatient health care utilization. These cooperative agreements will support: systematic screening and referral; community service navigation; and partner alignment. Funding for

the Alignment Track will support the required work to implement this intervention. CMMI expects successful applicants to: (1) offer to systematically screen all community-dwelling Medicare and Medicaid beneficiaries for health-related social needs; (2) prepare a community referral summary for each beneficiary that addresses the health-related social needs identified through the screening tool; (3) review the community referral summary with each beneficiary with an identified health-related social need and a minimum number of ED visits; (4) distribute a copy of the community referral summary to each beneficiary with an identified health-related social need; (5) identify high-risk beneficiaries with health-related social needs based on ED utilization history; (6) provide community service navigation services to high-risk beneficiaries in the intervention group, including an in-depth personal interview, development of a person-centered action plan and follow-up to address health-related social needs; and (7) implement a community-level quality improvement approach whereby the award recipient delineates a geographic target area and assumes certain integrator functions, including convening and working with an Advisory Board, collecting data and using those data to conduct gap analyses, and developing quality improvement plans to inform community decision-making and coordination between clinical delivery sites, community service providers, local government and other partners such as MA plans and MCOs that cover community-dwelling beneficiaries in the geographic target area.

Allowable costs associated with the AHC intervention include:

- Data collection (including at the clinical delivery sites), analysis, and reporting cost associated with program performance and continuous quality improvement;
- Coordination with CMS rapid cycle evaluation, and costs for collecting and preparing data for CMS evaluator;
- Staff resources associated with model management and project management, including travel to AHC workshops and conferences;
- Intervention service delivery costs;
- Tracking system for collecting and reporting metrics required by CMS;
- Infrastructure costs related to providing: systematic screening and referrals, community service navigation, and partner alignment (Alignment);
- Model beneficiary assignment or reconciliation costs;
- Web and internet collaborative learning and communication costs;
- Project management and reporting costs;
- Business operations associated with the model; and
- Model management and administration for consortia and clinical delivery site relationships.

CMS will not fund proposals that duplicate models for populations that are already being funded and tested as part of other CMS or federal government initiatives. CMS will not pay directly for community service provision (e.g., housing, food, violence intervention programs, and transportation) through the AHC Model. Rather, award money will fund systems change interventions to connect beneficiaries with available community services. Costs that are not over and above financing provided for existing Medicaid State plans or waivers series will not be considered. No cooperative agreement funds awarded under this solicitation may be used to reimburse pre-award costs.

Prohibited Uses of Cooperative Agreement funds also include the use of funds to:

- Match any other federal funds;
- Provide services, equipment, or support that are the legal responsibility of another party under Federal or state law or under any civil rights laws;
- Supplant existing federal, state, local, or private funding of infrastructure or services;
- Pay for the use of specific components, devices, equipment, or personnel that are not integrated into the proposed AHC intervention; and
- Lobby or advocate for changes in federal and/or state law. Terms and Conditions:

Cooperative agreements issued under this FOA are subject to the Department of Health and Human Services Grants Policy Statement (HHS GPS) at <http://www.hhs.gov/asfr/ogapa/aboutog/hhsgps107.pdf>. The standard terms and conditions in the HHS GPS will apply as indicated unless there are statutory, regulatory, or award- specific requirements to the contrary. Standard and program specific terms of award will accompany the Notice of Award. Potential applicants should be aware that special requirements could apply to cooperative

agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the CMS review panel. The recently released Uniform Guidance (2 CFR Part 200) and HHS regulations (45 CFR Part 75) supersedes information on administrative requirements, cost principles, and audit requirements for grants and cooperative agreements included in the current HHS Grants Policy Statement where differences are identified. Awardees must also agree to respond to requests, from CMS that are necessary for the evaluation of national efforts and provide data on core elements of their own cooperative agreement activities.

Cooperative Agreement (Special) Terms and Conditions of Award:

The following categories of special terms of award are in addition to, and not in lieu of, otherwise applicable HHS grant administration policies. CMS reserves the right to include any of these in the cooperative agreement with an appropriate level of specific details, some of which are provided below:

- Reporting (financial, quality, progress)
- Learning and Diffusion (training)
- Stakeholders (public notice, tribal consultation)
- Beneficiaries (related to AHC services)
- Providers (training related to AHC)
- Project monitoring
- Data collection
- Evaluation
- Termination
- Funding
- Financial arrangements
- Operations
- Program Integrity. CMS will not fund proposals that duplicate models for populations that are already being funded and/or tested as part of other CMS or federal government initiatives. CMS will not pay directly for community service provision (e.g., housing, food, violence intervention programs, and transportation) through the AHC Model. Rather, award money will fund systems change interventions to connect beneficiaries with community services. Costs that are not over and above financing provided for existing Medicaid State plans or waivers series will not be considered. No cooperative agreement funds awarded under this solicitation may be used to reimburse pre-award costs.

Prohibited Uses of Cooperative Agreement funds also include the use of funds to:

- Match any other federal funds;
- Provide services, equipment, or support that are the legal responsibility of another party under Federal or state law or under any civil rights laws;
- Supplant existing federal, state, local, or private funding of infrastructure or services;
- Pay for the use of specific components, devices, equipment, or personnel that are not integrated into the proposed AHC intervention; and
- Lobby or advocate for changes in federal and/or state law. CMS will not fund proposals that duplicate models for populations that are already being funded and/or tested as part of other CMS or federal government initiatives. CMS will not pay directly for community service provision (e.g., housing, food, violence intervention programs, and transportation) in any of the three tracks. Rather, award money will fund systems change interventions to connect beneficiaries with community services. Costs that are not over and above financing provided for existing Medicaid State plans or waivers series will not be considered. No cooperative agreement funds awarded under this solicitation may be used to reimburse pre-award costs.

Prohibited Uses of Cooperative Agreement funds also include the use of funds to:

- Match any other federal funds;
- Provide services, equipment, or support that are the legal responsibility of another party under Federal or state law or under any civil rights laws;
- Supplant existing federal, state, local, or private funding of infrastructure or services;
- Pay for the use of specific components, devices, equipment, or personnel that are not integrated into the proposed AHC intervention; and
- Lobby or advocate for changes in federal and/or state law.

Applicant Eligibility:

- Community based organizations
- Individual and group provider practices
- Hospitals and health systems
- Institutions of Higher Education
- Local government entities and tribal organization from all 50 states, United States territories, and the District of Columbia.

Beneficiary Eligibility:

Potential applicants are limited to the eligible entities described in the sections above. Successful applicants will provide intervention services to community-dwelling Medicare and Medicaid beneficiaries, including dually eligible beneficiaries. Community-dwelling beneficiaries include beneficiaries not residing in a correctional facility or long-term care institution (e.g., long stay nursing homes) when accessing clinical care at a participating clinical delivery site. The application will describe the target populations, geographic areas, and communities that will be the focus of the AHC intervention, the current quality and beneficiary experience outcomes, including current needs assessments of health-related social needs, and specific targets to be impacted by the AHC intervention.

Credentials/Documentation:

Employer Identification Number: All applicants must have a valid Employer Identification Number (EIN) assigned by the Internal Revenue Service.

Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS number): All applicants must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number in order to apply. The DUNS number is a nine-digit identification number that uniquely identifies business entities. Obtaining a DUNS number is free. To obtain a DUNS number, access the following website: www.dunandbradstreet.com or call 1-866-705-5711. See Section IV, Application and Submission Information, for more information on obtaining a DUNS number.

System for Award Management (SAM)

All applicants must register in the System for Award Management (SAM)* database (<https://www.sam.gov/portal/public/SAM/>) in order to be able to submit an application at <http://www.grants.gov>. In order to register, applicants must provide their DUNS and EIN numbers. Each year, organizations and entities, registered to apply for Federal grants through Grants.gov must renew their registration with SAM. Each year organizations and entities must renew their registration with SAM. Failure to renew SAM registration prior to application submission will prevent an applicant from successfully applying via Grants.gov. Similarly, failure to maintain an active SAM registration during the application review process can prevent CMS from issuing your agency an award under this program. Applicants should begin the SAM registration process as soon as possible after the announcement is posted to ensure that it does not impair your ability to meet required submission deadlines. Applicants must successfully register with SAM prior to submitting an application or registering in the Federal Funding Accountability and Transparency Act Sub-award Reporting System (FSRS) as a prime awardee user; awardees may make sub-awards only to entities that have DUNS numbers.

Organizations must report executive compensation as part of the registration profile at <https://www.sam.gov/portal/public/SAM/> by the end of the month following the month in which this award is made, and annually thereafter (based on the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109-282), as amended by Section 6202 of Public Law 110-252 and implemented by 2 CFR Part 170). The Grants Management Specialist assigned to monitor the sub-award and executive compensation reporting requirements is Iris Grady, who can be reached at divisionofgrantsmanagement@cms.hhs.gov.

*Applicants were previously required to register with the Central Contractor Registration (CCR). The CCR was a government-wide registry for organizations that sought to do business with the federal government. CCR collected, validated, stored, and disseminated data to support a variety of federal initiatives. This function is now fulfilled by SAM. SAM has integrated the CCR and will also incorporate seven other Federal procurement systems into a new, streamlined system. If an applicant had an active record in CCR prior to the rollout of SAM, an active record would be available in SAM. However, more than a year has passed since the rollout of SAM, so entities must ensure its registration with CCR (through SAM) is still active prior to

applying under this funding opportunity. Please consult the SAM website listed above for additional information. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Letter of Intent to Apply: Required

Application Materials:

Application materials will be available for download at <http://www.grants.gov>. Please note that HHS requires applications for all announcements to be submitted electronically through <http://www.grants.gov>. For assistance with <http://www.grants.gov>, contact support@grants.gov or 1-800-518-4726. At Grants.gov, applicants will be able to download a copy of the application packet, complete it off-line, and then upload and submit the application via the Grants.gov website.

Specific instructions for applications submitted via <http://www.grants.gov>:

You may access the electronic application for this project at <http://www.grants.gov>. You must search the downloadable application page by the CFDA number.

At the <http://www.grants.gov> website, you will find information about submitting an application electronically through the site, including the hours of operation. HHS strongly recommends that you do not wait until the application due date to begin the application process through <http://www.grants.gov> because of the time needed to complete the required registration steps.

The applicant must be registered in the System for Award Management (SAM) database in order to be able to submit the application. Applicants are encouraged to register early, and must have their DUNS and EIN/TIN numbers in order to do so.

Authorized Organizational Representative: The Authorized Organizational Representative (AOR) who will officially submit an application on behalf of the organization must register with grants.gov for a username and password. AORs must complete a profile with Grants.gov using their organizations DUNS Number to obtain their username and password at http://grants.gov/applicants/get_registered.jsp. AORs must wait one business day after successful registration in SAM before entering their profiles in Grants.gov. Applicants should complete this process as soon as possible after successful registration in SAM to ensure this step is completed in time to apply before application deadlines.

When an AOR registers with Grants.gov to submit applications on behalf of an organization, that organizations E-Biz POC will receive an email notification. The email address provided in the profile will be the email used to send the notification from Grants.gov to the E-Biz POC with the AOR copied on the correspondence.

The E-Biz POC must then login to Grants.gov (using the organizations DUNS number for the username and the special password called M-PIN) and approve the AOR, thereby providing permission to submit applications.

Any files uploaded or attached to the Grants.Gov application must be PDF file format and must contain a valid file format extension in the filename. Even though Grants.gov allows applicants to attach any file formats as part of their application, CMS restricts this practice and only accepts PDF file formats. Any file submitted as part of the Grants.gov application that is not in a PDF file format, or contains password protection, will not be accepted for processing and will be excluded from the application during the review process. In addition, the use of compressed file formats such as ZIP, RAR, or Adobe Portfolio will not be accepted. The application must be submitted in a file format that can easily

be copied and read by reviewers. It is recommended that scanned copies not be submitted through Grants.gov unless the applicant confirms the clarity of the documents. Pages cannot be reduced in size, resulting in multiple pages on a single sheet, to avoid exceeding the page limitation. All documents that do not conform to the above specifications will be excluded from the application materials during the review process.

After you electronically submit your application, you will receive an acknowledgement from Grants.gov that contains a Grants.gov tracking number. CMS will retrieve your application package from Grants.gov. Please note that applicants may incur a time delay before they receive acknowledgement that the application has been accepted by the Grants.gov system. Applicants should not wait until the application deadline to apply because notification by Grants.gov that the application is incomplete may not be received until close to or after the application deadline, eliminating the opportunity to correct errors and resubmit the application. Applications submitted after the deadline, as a result of errors on the part of the applicant, will not be accepted.

After CMS retrieves your application package from Grants.gov, a return receipt will be emailed to the applicant contact. This will be in addition to the validation number provided by Grants.gov.

Applications cannot be accepted through any email address. Full applications can only be accepted through <http://www.grants.gov>. Full applications cannot be received via paper mail, courier, or delivery service.

All grant applications must be submitted electronically and be received through <http://www.grants.gov> by 1:00 pm Eastern Daylight Time on the applicable due date. Applications not successfully submitted to Grants.gov by the due date and time will not be eligible for review. All applications will receive an automatic time stamp upon submission and applicants will receive an email reply acknowledging the applications receipt.

Please be aware of the following:

- 1) Search for the application package in Grants.gov by entering the CFDA number. This number is shown on the cover page of this announcement.
- 2) If you experience technical challenges while submitting your application electronically, please contact Grants.gov Support directly at: www.grants.gov/customersupport or (800) 518-4726. Customer Support is available to address questions 24 hours a day, 7 days a week (except on Federal holidays).
- 3) Upon contacting Grants.gov, obtain a tracking number as proof of contact. The tracking number is helpful if there are technical issues that cannot be resolved.

To be considered timely, applications must be received by the published deadline date. However, a general extension of a published application deadline that affects all State applicants or only those in a defined geographical area may be authorized by circumstances that affect the public at large, such as natural disasters (e.g., floods or hurricanes) or disruptions of electronic (e.g., application receipt services) or other services, such as a prolonged blackout. This statement does not apply to an individual entity having internet service problems. In order for there to be any consideration there must be an effect on the public at large.

Grants.gov complies with Section 508 of the Rehabilitation Act of 1973. If an individual uses assistive technology and is unable to access any material on the site including forms contained with an application package, they can email the Grants.gov contact center at support@grants.gov or call 1-800-518-4726.

Format Requirements for Applications:

Each application must include all contents described below, in the order indicated, and conform to the following specifications:

Use 8.5 x 11 letter-size pages (one side only) with 1 margins (top, bottom, and sides). Other paper sizes will not be accepted. This is particularly important because it is often not possible to reproduce copies in a size other than 8.5 x

11.

All pages of the project and budget narratives must be paginated in a single sequence.

Font size must be at least 12-point with an average of 14 characters per inch (CPI).

The Project Narrative must be double-spaced.

The Budget Narrative must be single-spaced and should follow the justifications and table formats provided in the FOA appendix. Sample Budget and Narrative Justifications.

Tables included within any portion of the application must have a font size of at least 12-point with a 14 CPI and may be single spaced. Tables are counted towards the applicable page limits included in the FOA is restricted to a one-page summary which may be single-spaced.

The following additional required documentation is excluded from the page limitations described in the FOA: Standard Forms, applicants copy of previously submitted Letter of Intent, Cover Letter, Project Abstract, Assessment of Program duplication and Implementation plan.

The application is expected to address how the applicant will carry out the implementation and planning work required to meet AHC goals. The application must address application components for the intervention track the applicant is proposing to implement.

Application Package:

The application components listed below are required and must be submitted with the application. Failure to submit these forms will result in an ineligible application that will not be reviewed.

- SF424: Official Application for Federal Assistance
- SF424A: Budget Information Non-Construction
- SF424B: Assurances- Non-Construction Programs
- SF LLL: Disclosure of Lobbying Activities
- Project Site Location Form
- Project Abstract
- Project Narrative
- Implementation Plan
- MOU with state Medicaid agency
- MOU with clinical delivery sites
- MOU with community service providers
- Assessment of Program Duplication
- Health Resource Equity Statement
- Budget Narrative

Award Procedure:

Applications were forwarded to a review panel. The review panel evaluated the proposals based on how well they addressed the evaluation criteria outlined in the FOA. Based on the advice of the review panel, the CMS selection official approved the selected application and issued a Notice of Award. Successful applicants received a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that set forth the amount of the award and other pertinent information. The award also included standard Terms and Conditions. Applicants should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified by the review panel.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notice of Award date: April 1, 2017.

Appeals:

CMS reserves the right to approve or deny any or all proposals for funding. Note that section 1115A of the Social Security Act, which creates the Center for Medicare and Medicaid Innovation (CMMI). Section 1115A(d)(2) states that there is no administrative or judicial review of the selection of organizations, sites, or participants to test models.

Renewals:

The budget and project period for each Cooperative Agreement awarded will be

5 years from the date of award. The project period for the first round of awards is May 1, 2017 April 30, 2022. The grant period consists of five one-year budget periods. Please refer to 1. Executive Summary for periods of performance and budget.

Year one 12-month project and budget period: May 01, 2017 April 30, 2018
Year two 12-month project and budget period: May 01, 2018 April 30, 2019
Year three 12-month project and budget period: May 01, 2019 April 30, 2020
Year four 12-month project and budget period: May 01, 2020 April 30, 2021
Year five 12-month project and budget period: May 01, 2021 April 30, 2022.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The budget and project period for each Cooperative Agreement awarded will be 5 years from the date of award. The project period for the first round of awards is May 1, 2017 April 30, 2022. The grant period consists of five one-year budget periods. Please refer to 1. Executive Summary for periods of performance and budget.

Year one 12-month project and budget period: May 1, 2017 April 30, 2018
Year two 12-month project and budget period: May 1, 2018 April 30, 2019
Year three 12-month project and budget period: May 1, 2019 April 30, 2020
Year four 12-month project and budget period: May 1, 2020 April 30, 2021
Year five 12-month project and budget period: May 1, 2021 April 30, 2022.
See the following for information on how assistance is awarded/released: The grant period consists of five one-year budget periods. Please refer to 1. Executive Summary for periods of performance and budget. See the following for information on how assistance is awarded/released: The grant period consists of five one-year budget periods. Please refer to 1. Executive Summary for periods of performance and budget.

Reports:

All successful applicants under this announcement must comply with the following reporting and review activities: Progress Reports

Award recipients must agree to cooperate with any Federal evaluation of Accountable Health Communities and at a minimum provide quarterly and final (at the end of the performance period) reports, as required, in the format prescribed by CMS. To facilitate programmatic involvement of CMS, additional information on program progress may be requested in written or verbal formats during monthly progress meetings. Programs not meeting programmatic milestones may be required to provide progress updates as frequently as weekly. Reports will be submitted electronically. Programs should be prepared to report quarterly on each intervention element and their programs progress towards goals. The first quarterly report is estimated to be due August 31, 2017. The program progress narrative report elements include but are not limited to:

- i. Program Name;
- ii. Program Leader Name;
- iii. Reporting Period;
- iv. Budget Status - include amounts for planned expenditure, actual expenditure, and deficit/surplus;
- v. Work Plan Chart/Timeline status;
- vi. Project description - short summary;
- vii. Milestones - Milestones are high-level goals that often define the phases of a project. Record here milestones that have been reached at this point in the project;
- viii. Accomplishments - Tasks that were accomplished during this reporting period;
- ix. Projected Goals - Goals projected to be completed during the next reporting period; and
- x. Issues - Issues that must be addressed for the project to be successful.

The anticipated due date for the first year-end narrative reports is July 31, 2018. Annual project reports for future budget periods will be due within 90 days of

each 12-month budget period with the submission of the non- compete continuation award application.

The anticipated due date for the final progress report is July 31, 2022, 90 days after the end of the project period.

Payment Management Requirements

States must submit a quarterly electronic SF-425 via the payment management system. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds.

Federal Financial Report (FFR)

Additional information on financial reporting will be provided in the terms and conditions of award.

Federal Funding Accountability and Transparency Act Reporting Requirements
New awards issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252 and implemented by 2 CFR Part 170. Grant and Cooperative Agreement recipients must report information for each first-tier sub-award of \$25,000 or more in Federal funds and executive total compensation for the recipients and sub-recipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (available online at <https://www.fhrs.gov/>). As detailed above, award recipients must agree to cooperate with any federal evaluation of the model and performance results and provide required quarterly, semi-annual (every 6 months), annual and final (at the end of the 5 year performance period) reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will include how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project or model reporting and technical assistance necessary to complete required report forms. Successful applicants must also agree to respond to requests that are necessary for the evaluation of the Model Design, pre-testing assistance, or Model Testing efforts and provide data on key elements of model performance and on results from the cooperative agreement activities. As detailed above, Successful applicants must submit reports to Federal Funding Report and meet Payment Management System reporting requirements. CMS will enlist a third party entity to assist us in monitoring the model implementation and testing performance results and outcomes. CMS plans to collect data elements to be part of monitoring for all of the AHC cooperative agreement recipients, and these monitoring and surveillance elements will feed into the evaluation. All award recipients will be required to cooperate in providing the necessary data elements to CMS or a CMS contractor. The contractor would assist CMS in developing a cost, quality, beneficiary experience, population health monitoring, and review model performance to ensure model design requirements are met; tracking performance across awardees and providing for rapid cycle evaluation and early detection of model performance issues; developing a system to collect, store, and analyze data to assess health care cost and utilization, quality performance, beneficiary experience, and population health improvements and assisting with state implementation, including coordination between states and CMS and its other contractors.

Data for monitoring will include process, safety, and performance measures. It will include, but will not be limited to, data on the background characteristics of the target population and target area, data characterizing the activities of the model testing and a battery of follow-up data describing relevant characteristics of the target population or target area and metrics at selected intervals after commencement of the delivery system and/or payment model. This will include detailed information on participant characteristics and outcomes reported in a standard format. Data for monitoring will be collected from awardees and/or CMS claims data, electronic health records, public health data or other sources. The model monitoring aspect of this initiative will balance the examination of the extent to which awardees demonstrate fidelity to their proposed delivery system and payment models and the potential need to make mid-course

corrections that improve or optimize performance of the delivery system or payment models based on feedback from the monitoring and rapid cycle evaluation findings. The evaluation will also assess whether there is evidence of harm or unintended consequences as a result of the models or testing methods. Payment Management Requirements

States must submit a quarterly electronic SF-425 via the payment management system. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds.

Federal Financial Report (FFR)

Additional information on financial reporting will be provided in the terms and conditions of award.

Federal Funding Accountability and Transparency Act Reporting Requirements

New awards issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252 and implemented by 2 CFR Part 170. Grant and Cooperative Agreement recipients must report information for each first-tier sub-award of \$25,000 or more in Federal funds and executive total compensation for the recipients and sub-recipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (available online at <https://www.fhrs.gov/>). As detailed above, award recipients must agree to cooperate with any federal evaluation of the model and performance results and provide required quarterly, semi-annual (every 6 months), annual and final (at the end of the 5 year performance period) reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will include how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project or model reporting and technical assistance necessary to complete required report forms. Successful applicants must also agree to respond to requests that are necessary for the evaluation of the Model Design, pre-testing assistance, or Model Testing efforts and provide data on key elements of model performance and on results from the cooperative agreement activities. As detailed above, Successful applicants must submit reports to Federal Funding Report and meet Payment Management System reporting requirements. CMS will enlist a third party entity to assist us in monitoring the model implementation and testing performance results and outcomes. CMS plans to collect data elements to be part of monitoring for all of the AHC cooperative agreement recipients, and these monitoring and surveillance elements will feed into the evaluation. All award recipients will be required to cooperate in providing the necessary data elements to CMS or a CMS contractor. The contractor would assist CMS in developing a cost, quality, beneficiary experience, population health monitoring, and review model performance to ensure model design requirements are met; tracking performance across awardees and providing for rapid cycle evaluation and early detection of model performance issues; developing a system to collect, store, and analyze data to assess health care cost and utilization, quality performance, beneficiary experience, and population health improvements and assisting with state implementation, including coordination between states and CMS and its other contractors.

Data for monitoring will include process, safety, and performance measures. It will include, but will not be limited to, data on the background characteristics of the target population and target area, data characterizing the activities of the model testing and a battery of follow-up data describing relevant characteristics of the target population or target area and metrics at selected intervals after commencement of the delivery system and/or payment model. This will include detailed information on participant characteristics and outcomes reported in a standard format. Data for monitoring will be collected from awardees and/or CMS claims data, electronic health records, public health data or other sources. The model monitoring aspect of this initiative will balance the examination of the extent to which awardees demonstrate fidelity to their proposed delivery system and payment models and the potential need to make mid-course corrections that improve or optimize performance of the delivery system or

payment models based on feedback from the monitoring and rapid cycle evaluation findings. The evaluation will also assess whether there is evidence of harm or unintended consequences as a result of the models or testing methods.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees are required to maintain proper records including financial records, supporting documents, statistical records, and all other records pertinent to the program for the duration of the award, and retain these for a minimum of three years. If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the three years, the records should be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0522-0-1-551.

Obligations:

(Formula Grants) FY 16 \$0; FY 17 est \$23,926,784; and FY 18 est \$41,516,592

Range and Average of Financial Assistance:

The anticipated total funding per award, per budget period is \$500,000 - \$900,000.

The total amount of federal funds available is up to \$30.84 million to 12 award recipients to implement the Assistance Track Intervention and \$90.20 million to 20 award recipients to implement the Alignment Track Intervention.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cooperative agreements issued under this FOA are subject to the Health and Human Services Grants Policy Statement (HHS GPS) at <http://www.hhs.gov/asfr/ogapa/aboutog/hhsgps107.pdf>. The general terms and conditions in the HHS GPS will apply as indicated unless there are statutory, regulatory, or award-specific requirements to the contrary. Standard and program specific terms of award will accompany the NoA. Potential applicants should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the CMS review panel. The recently released Uniform Guidance (2 CFR Part 200) and HHS regulations (45 CFR Part 75) supersedes information on administrative requirements, cost principles, and audit requirements for grants and cooperative agreements included in the current HHS Grants Policy Statement where differences are identified. Awardees must also agree to respond to requests from CMS that are necessary for the evaluation of national efforts and provide data on core elements of their own cooperative agreement activities.

Regional or Local Office:

None. Alexander Billioux (Alexander.Billioux@cms.hhs.gov) Director, Division of Population Health Incentives and Infrastructure, Prevention and Population Health Group, CMMI.

Headquarters Office:

Alexander Billioux 7500 Security Blvd., Baltimore, Maryland 21244 Email: Alexander.Billioux@cms.hhs.gov Phone: 410-786-8033

Website Address:

<http://www.innovation.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Assistance Track: Eligible applicants that submitted an application to the Assistance Track were reviewed and scored based on the quality of their proposals. The Assistance Track applicants that received the highest scores, and met other criteria specified under the Review and Selection Process, were offered cooperative agreements to test the Assistance Track intervention. Part of the review process included an analysis of the readiness of the applicant to implement a model within one year of the cooperative agreement award. Applications were scored with a total of 175 points allocated across the following areas:

- Project Narrative 110 points
- Implementation Plan 08 points
- MOU with state Medicaid agency 25 points
- MOUs with clinical delivery sites 15 points
- Health Resource Equity Statement 02 points
- Budget Narrative 15 points

Alignment Track: Eligible applicants that submitted an application to the Alignment Track were reviewed and scored based on the quality of their proposals. The Alignment Track applicants that received the highest scores, and met other criteria specified under the Review and Selection Process, were offered cooperative agreements to test the Alignment Track intervention. Part of the review process included an analysis of the readiness of the applicant to implement a model within one year of the cooperative agreement award. Applications were scored with a total of 220 points allocated across the following areas:

- Project Narrative 145 points
- Implementation Plan 08 points
- MOU with state Medicaid agency 25 points
- MOUs with clinical delivery sites 15 points
- MOUs with community partners 10 points
- Health Resource Equity Statement 02 points
- Budget Narrative 15 points.

93.651 TRANSFORMING CLINICAL PRACTICE INITIATIVE (TCPI) SUPPORT AND ALIGNMENT NETWORK (SAN) 2.0

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Section 1115A of the Social Security Act (the Act), as added by 3021 of the Affordable Care Act.

OBJECTIVES:

Applicants that are continuing as SAN 2.0 cooperative agreement awardees will work to leverage primary and specialist care transformation work and learning in the field. SAN 2.0 awardees add a valuable technical assistance asset to TCPI that will catalyze the accelerated adoption of Alternative Payment Models (APMs), prior to 2019, at very large scale, and with very low cost. By implementing accelerated practice transformation strategies, the SAN 2.0 awardees provide expertise needed to spread transformation knowledge to the entire TCPI community and support the 6 goals of TCPI. SAN 2.0 represents a significant enhancement to the TCPI network expertise and capability to align with delivery system reform programs recently authorized under the Medicare Access and CHIP Reauthorization Act of 2015 (MACRA).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds shall be used to implement and evaluate models that support system transformation toward higher quality care at lower costs. Additionally, the funds shall further healthcare design improvements to support both primary and specialty care. This includes innovations that have been tested and shown to

reduce unnecessary testing and eliminate other inefficiencies in specialty care that are of primary interest in the SANs work. Award dollars cannot be used for specific components, devices, equipment, or personnel that are not integrated into the entire service delivery model proposal. Funds shall not be used to build or purchase health information technology or other information technology that exceed more than 10% of total costs of the applicants proposed budget. Award dollars cannot be used to make permanent improvements to property not owned by the federal government; minor alterations and renovations are permissible under certain circumstances that are described in the financial plan template.

Applicant Eligibility:

Applications will be screened for completeness and adherence to eligibility. Applications received late or that fail to meet the eligibility requirements or do not include the required forms will not be reviewed.

Beneficiary Eligibility:

The Beneficiary eligibility includes the list as noted above with the exception of:

- Federal, Interstate
- Intrastate
- Student/Trainee and Graduate Students
- Artist/Humanist
- Engineer/Architect, Builder/Contractor/Developer
- Farmer/Rancher/Agriculture Producer
- Industrialist/Business Person
- Small Business Person
- Homeowner
- Property Owner
- Anyone/General Public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that will set forth the amount of the award and other pertinent information. The award will also include standard Terms and Conditions, and may also include additional specific cooperative agreement terms and conditions. Potential applicants should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the review panel.

The NoA is the legal document issued to notify the awardee that an award has been made and that funds may be requested from the HHS payment system. The NoA will be sent electronically to the Authorized Official and awardee organization as listed on its SF 424. Any communication between CMS and awardees prior to issuance of the NoA is not an authorization to begin performance of a project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Awards: Anticipated date of awards for Transforming Clinical Practice Initiative - Support and Alignment Network (SAN) 2.0 is September 2017.

Appeals:

N/A.

Renewals:

N/A.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

N/A. Method of awarding/releasing assistance: quarterly.

Reports:

The CMS Center for Clinical Standards and Quality and the CMS Innovation Center will take an active and substantial role in the management, implementation, evaluation, and monitoring of SAN awards. The activities funded under the cooperative agreement and their resulting recipient responsibilities will be part of performance tracking, measuring, and evaluation responsibilities of CMS. CMS will examine how the organizations that receive SAN funds used the money. Quality data will be collected from the SAN and reported to a CMS contractor on a quarterly basis, but should be maintained by the SAN with monthly results. The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. All grantees must utilize the FFR to report cash transaction data, expenditures, and any program income generated.

Grantees must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. The quarterly reporting due dates are as follows: 7/30, 10/30, 1/30, 4/30. A Quick Reference Guide for completing the FFR in PMS is at:

www.dpm.psc.gov/grant_recipient/guides_forms/ffr_quick_reference.aspx.

In addition to submitting the quarterly FFR to PMS, Grantees must also provide, on an annual basis, a report to be uploaded into Grant Solutions which includes their expenditures and any program income generated in lieu of completing a Financial Status Report (FSR) (SF269/269A). Expenditures and any program income generated should only be included on the annually submitted FFR, as well as the final FFR. Annual hard-copy FFRs should be mailed and received within 30 calendar days of the applicable year end date. The final FFR should be uploaded in Grants Solutions within 90 calendar days of the project period end date. Awardees must agree to cooperate with any Federal evaluation of the model and performance results and provide required monthly, quarterly, semi-annual (every six months), annual and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will include how cooperative agreement funds were used, describe project or model progress in transitioning clinicians to APMs, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project and model reporting and technical assistance necessary to complete required report forms. Awardees must also agree to respond to requests that are necessary for the evaluation of the Model Design and/or Model Testing efforts and provide data on key elements of model performance and on results from the cooperative agreement activities. Awardees must submit a quarterly electronic SF 425 via the Payment Management System. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds. CMS will enlist a third party entity to assist CMS in monitoring the SAN 2.0 performance results and outcomes. CMS plans to collect data elements to be part of monitoring for all of the different SANs, and these monitoring and surveillance elements will feed into the evaluation. All awardees will be required to cooperate in providing the necessary data elements to CMS or a CMS contractor. The contractor would assist CMS in developing appropriate monitoring protocols and tracking performance across awardees and providing for rapid cycle evaluation and early detection of model performance problems, including coordination between awardees and CMS and its other contractors.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Awardees must comply with the audit requirements of Office of Management and Budget (OMB) Circular A-133. Information on the scope, frequency, and other aspects of the audits can be found on the Internet at www.whitehouse.gov/omb/circulars.

Records:

Awardees must comply with the audit requirements of Office of Management and Budget (OMB) Circular A-133. Information on the scope, frequency, and other aspects of the audits can be found on the Internet at www.whitehouse.gov/omb/circulars.

Account Identification:

75-0522-0-1-551.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 16 \$1,394,273; FY 17 est \$1,710,440; and FY 18 est \$1,872,668

Range and Average of Financial Assistance:

Budget Fiscal Year 2017: \$700,000 - \$110,000.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2016-SAN 2.0s have developed infrastructure within their networks, hired key personnel; and continue to enroll and assess practices/clinicians nationally; and provide technical assistance to enrolled clinicians in practice transformation. Fiscal Year 2017: N/A. Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see Appendix (Review and Research) of the ICIP

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Fred Butler, Jr. 7500 Security Blvd, Baltimore, Maryland 21207 Email: fred.butler@cms.hhs.gov Phone: 410-786-8834

Website Address:

<http://www.cms.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See section VI. of FOA.

93.652 ADOPTION OPPORTUNITIES**FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Child Abuse Prevention and Treatment and Adoption Reform Act of 1978, Title II, Section 205; Child Abuse Prevention and Treatment and Adoption Reform Act of 1978, as amended, Section II, Public Law 111-320, The CAPTA Reauthorization Act of 2010., Public Law 111-8, 42 U.S.C 5111 et. seq.

OBJECTIVES:

To eliminate of barriers, including geographic barriers, to adoption and to provide permanent, loving home environments for children who would benefit from adoption, particularly children with special needs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To award grants and contracts to: (1) conduct an education and training program on adoption and prepare, publish and disseminate information and education and training materials regarding adoption, adoption assistance and post-legal adoption services; (2) conduct extensive recruitment efforts on a national level to promote the adoption of children with special needs and develop a national public awareness effort to unite children in need of adoption with appropriate adoptive parents; (3) to operate a national adoption information exchange system; (4) provide technical assistance to programs

related to the adoption of special needs children and promote professional leadership training of minorities in the adoption field; (5) encourage corporations and small businesses to establish adoption benefit programs for employees who adopt children; (6) support placement of children in kinship care arrangements, preadoptive or adoptive homes; (7) increase the effective use of public or private agencies for the recruitment of potential adoptive and foster families and to provide assistance in the placement of children for adoption, especially special needs children; (8) consult with other appropriate Federal departments and agencies to promote the maximum coordination of services relating to adoption; (9) maintain a National Resource Center for Special Needs Adoption; (10) provide programs to increase the numbers of minority children placed in adoptive families with a special emphasis on recruitment of minority families; and (11) provide programs to increase the number of older children placed in adoptive families. Project funds may not be used for construction.

Applicant Eligibility:

Grants or Contracts: State, local government entities, public or private licensed child welfare or adoption agencies or community based organizations.

Beneficiary Eligibility:

Children who are in foster care with the goal of adoption, especially children with special needs, that is, children who are older, minority children and infants and toddlers with disabilities who have a life-threatening condition.

Credentials/Documentation:

Nonprofit organizations which have not previously had Office of Management Services or ACF support must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined by 45 CFR Part 75. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application assistance may be available. Applicants may refer to the Funding Opportunity Announcement on Grants.gov for further information. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications for funding are reviewed and scored by a panel of at least three non-federal subject matter experts. Funding decisions will be made by Commissioner, ACYF.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Three to six months.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Multi-year projects are funded on an annual basis through submission of a non-competing continuation application. Continuation funding is subject to availability of funds, submission of program and financial reports, and grantee performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may extend from 3 to 5 years. Average duration is expected to be 5 years. See the following for information on how assistance is awarded/released:
Grant awards are managed by a payment management system that manages grant payment requests, drawdowns, and disbursements.

Reports:

Grantees are required to submit semi-annual program reports. Final program

reports are due 90 days after completion of the grant.

Grantees are required to submit semi-annual financial reports. Final financial reports are due 90 days after completion of the grant. Financial reporting is conducted via the SF-425. Progress reports are included in program reports. Expenditure reports are included in financial reports. Performance monitoring is described in program reporting.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to keep financial and other grant records for 3 years after the completion of the grant or completion of audit whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 16 \$28,479,778; FY 17 est \$22,000,000; and FY 18 est \$16,300,000

Range and Average of Financial Assistance:

FY 2016: \$300,000 to \$5,000,000 with an average of \$918,702.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 31 grants were awarded. Fiscal Year 2017: It is anticipated that 33 grants will be awarded. Fiscal Year 2018: It is anticipated that 33 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Priority statements, application information and submission deadline available at no charge.

Regional or Local Office:

None.

Headquarters Office:

Jan Shafer 330 C Street SW, Room 3503, Washington, District of Columbia 20201 Email: jan.shafer@acf.hhs.gov Phone: 202-205-8172 Fax: 202-260-9345

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.645 Child Welfare Services_State Grants; 93.648 Child Welfare Services Training Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An assessment is made of: (1) The degree to which proposal shows promise of meeting specific objectives defined in the Funding Opportunity Announcement; (2) advancement of the field, (3) reasonableness of cost; and (4) qualification of staff.

93.658 FOSTER CARE TITLE IV-E

Title IV-E Foster Care

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV-E, Section 470, et seq.; as amended.

OBJECTIVES:

The Title IV-E Foster Care program helps states, Indian tribes, tribal

organizations and tribal consortia (tribes) to provide safe and stable out-of-home care for children under the jurisdiction of the state or tribal child welfare agency until the children are returned home safely, placed with adoptive families, or placed in other planned arrangements for permanency. The program provides funds to assist with the costs of foster care maintenance for eligible children; administrative costs to manage the program; and training for public agency staff, foster parents and eligible professional partner agency staff. In addition, \$3 million is reserved for technical assistance and plan development/implementation grants to eligible tribes.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

Formula grant funds may be used by the state or local (under state supervision) child welfare agency or by the tribal child welfare agency for Federal Financial Participation (FFP) in foster care maintenance payments on behalf of eligible children; for administrative and training costs; and for costs related to design, implement and operate a statewide data collection system. Funds may not be used for costs of social services such as those that provide counseling or treatment to ameliorate or remedy personal problems, behaviors, or home conditions for a child, the child's family, or the child's foster family. A state or tribe may receive FFP only if its title IV-E plan has been approved by the HHS Secretary. The HHS Secretary has also approved waivers under section 1130 of the Social Security Act to allow implementation of demonstration projects in a number of states and a tribe which involve cost neutral use of title IV-E foster care program funds for additional purposes as specified in approved terms and conditions for each project.

Public Law 113-183 amended the program to require that, within one year of enactment (by September 29, 2015), title IV-E agencies develop policies and procedures to identify, document, and determine appropriate services for any child or youth in the placement, care or supervision of the title IV-E agency who is at-risk of becoming a sex trafficking victim or who is a sex trafficking victim.

Plan development/implementation grants to tribes are one-time grants for projects lasting up to 24 months that are to be used to develop a plan to operate a title IV-E program for foster care, adoption assistance and kinship guardianship as specified under section 479B of title IV-E. The Tribe must submit a plan for the title IV-E program within 24 months of receiving the plan development grant or must repay the grant funds, unless the HHS Secretary waives the requirements under section 471 to carry out a program under section 479B. There is \$3 million reserved for technical assistance and plan development/implementation grants to eligible Tribes.

Applicant Eligibility:

Funds are available to states (including the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, and American Samoa) and to tribes with approved title IV-E plans.

Beneficiary Eligibility:

Children meeting eligibility criteria for the former Aid to Families with Dependent Children program whose removal and placement in foster care are in accordance with a voluntary placement agreement or judicial determinations to the effect that continuation in the home would be contrary to the child's welfare and that reasonable efforts were made to prevent the removal (or that such efforts were not necessary), and whose placement and care are the responsibility of the state or tribal agency administering the title IV-E program. See section 472 of the Social Security Act and the Code of Federal Regulations at 45 CFR Part 1356.71 for additional details on program eligibility requirements.

Credentials/Documentation:

Formula Grants: Costs are to be determined in accordance with 45 CFR Part 75. Plan Development/Implementation Grants: Non-profit agencies must submit proof of non-profit status. Applicable costs and administrative procedures will be determined in accordance with 45 CFR Part 75. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Formula Grants: Consultation is available to States and Tribes from Regional

Administration for Children and Families (ACF) offices. Plan Development/Implementation Grants: Standard application forms as furnished by Health and Human Services must be used. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Formula Grants: Applications are made in the form of a title IV-E Plan, prepared in the format provided by the ACF Children's Bureau. Title IV-E plans and amendments must be submitted to the ACF Regional Child Welfare Program Manager for approval.

Plan Development/Implementation Grants: Announcement of availability of funds for these grants is published on grants.gov and the ACF website, www.acf.hhs.gov/grants/open. Eligible applicants must submit applications by specified deadlines.

Award Procedure:

Formula Grants: Quarterly awards are made on the basis of estimated expenditures that are later revised accordingly to include only actual allowable claimed expenditures. Next quarter estimates are due on July 30, October 30, January 30, and April 30 each year. Final decisions and awards are made by the headquarters office. Letter-of-credit is issued by the United States Department of Health and Human Services' (HHS) Payment Management System.

Plan Development/Implementation Grants: Applications are reviewed by professional Federal staff with expertise in child and family services, evaluation and related fields. Final decisions are made by the Commissioner of the Administration on Children, Youth and Families.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Formula Grants: HHS approves or disapproves title IV-E plans within 45 days of receipt by HHS.

Plan Development/Implementation Grants: HHS approves or disapproves within 3 to 6 months.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Awards remain effective without plan renewal, but title IV-E plans may be amended as necessary.

Formula and Matching Requirements:

Statutory Formula: Funding is provided on an open-ended basis. Federal financial participation (FFP) is equal to the applicable Federal Medical Assistance Percentage (FMAP) rate (as defined by sec. 1905(b), and sec. 479B(d) for tribes) of the Social Security Act (i.e., from 50 percent to 83 percent, depending on the state or tribe and the fiscal year) for the total expended for foster care maintenance payments; 75% of staff or provider training costs; and 50% of other administrative costs. Short term training provided to specified professional partners was subject to a special FFP rate that varied by fiscal year from FY 2009 through FY 2012, but which was set at 75% for FY 2013 and thereafter.

Plan Development/Implementation grants are available up to a maximum of \$300,000.

Matching Requirements: The non-Federal share of program costs (difference between total cost and FFP) in each funding category constitutes the required match to be met by the title IV-E agency. Matching funds from State agencies must be appropriated monies raised from non-Federal revenue sources. Matching funds from Tribal agencies must also be appropriated monies, but may at the option of the tribe include Federal funds specifically authorized by Federal statutes to be used as match for other Federal programs. Additionally,

in accordance with 45 CFR 1356.68 for administration and training costs only, tribal matching funds may include in-kind expenditures (or contributions) from allowable third party sources.

Plan Development/Implementation Grants: There is no matching requirement. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Formula Grants: Federal financial participation is available to a state or tribe operating under an approved title IV-E plan. Method of awarding/releasing assistance: Quarterly, through a letter of credit.

Plan Development/Implementation Grants: Provided on a one-time basis generally for a 24 month project period. Method of awarding/releasing assistance: by letter of credit.

Reports:

Plan Development/Implementation Grants: Program reports are required in accordance with the program announcement. Plan Development/Implementation Grants: Financial reports are required in accordance with the program announcement. No progress reports are required. Formula Grants: A report of estimated expenditures, actual expenditures, and number of children assisted (Form CB-496) is required 30 days after the end of each quarter and other reports as required by the Secretary. Performance monitoring of state agencies is conducted through the Child and Family Services Review process in accordance with 45 CFR 1355.31 - 1355.37.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are conducted in accordance with the requirements in 45 CFR 75

Records:

Formula Grants: States and tribes must maintain all financial and programmatic records necessary for the proper and efficient operation of the program, including records of applications, determinations of eligibility, utilization of awarded grants and other funds for the provision of financial assistance and allocated indirect and direct administrative and training costs in accordance with requirements in Health and Human Services regulations at 45 CFR 75, and written guidance from the Administration for Children and Families.

Plan Development/Implementation Grants: All financial records are to be maintained for 3 years after termination of the project or until a required audit is completed, whichever comes first.

Account Identification:

75-1545-0-1-609 - ARRA grants: 75-1545-0-1-609.

Obligations:

(Formula Grants) FY 16 \$4,797,115,524; FY 17 est \$5,270,000,000; and FY 18 est \$5,507,000,000. (Project Grants (Discretionary)) FY 16 \$2,438,381; FY 17 est \$2,500,000; and FY 18 est \$2,500,000

Range and Average of Financial Assistance:

FY 2016 Formula Grants: \$58,993 to \$2,496,685 with an average of \$77,434,135.

FY 2016 Plan Development/Implementation Grants: \$67,891 to \$1,470,700 with an average of \$487,676.

TAFS Codes:

75-1545.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 52 states (including the District of Columbia and Puerto Rico) and six tribes will receive grant awards to provide assistance to an average of 166,500 in-placement children per month. Training and technical assistance grants were provided to five tribes for program developmental

activities. Fiscal Year 2017: It is anticipated that a total of 52 states (including the District of Columbia and Puerto Rico) and six tribes will receive grant awards. Training and technical assistance grants are also expected to be provided to seven tribes for program developmental activities. Fiscal Year 2018: It is anticipated that a total of 52 states (including the District of Columbia and Puerto Rico) and six tribes will receive grant awards. Training and technical assistance grants are also expected to be provided to seven tribes for program developmental activities.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1355-1356.

Regional or Local Office:

See Regional Agency Offices. Consult the Children's Bureau Regional Child Welfare Program Manager. (See Appendix IV of the Catalog for a list of addresses of the Regional Offices.)

Headquarters Office:

Jennifer Butler-Hembree 330 C Street SW, Room 3512, Washington, District of Columbia 20201 Email: jennifer.butler-hembree@acf.hhs.gov Phone: 225-654-2527

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.560 Family Support Payments to States_Assistance Payments; 93.599 Chafee Education and Training Vouchers Program (ETV); 93.645 Child Welfare Services_State Grants; 93.659 Adoption Assistance; 93.674 Chafee Foster Care Independence Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.659 ADOPTION ASSISTANCE

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV-E, Section 470 et seq; as amended.

OBJECTIVES:

To provide Federal Financial Participation (FFP) to states, Indian tribes, tribal organizations and tribal consortia (tribes) in adoption subsidy costs for the adoption of children with special needs who cannot be reunited with their families and who meet certain eligibility tests. This assistance is intended to prevent inappropriately long stays in foster care and to promote the healthy development of children through increased safety, permanency and well-being.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

All parents adopting special needs children are eligible for the nonrecurring cost of adoption up to \$2,000. Adoption assistance payments may be used only in support of the adoption of children who meet the definition of special needs and satisfy categorical eligibility criteria as specified in the statute. A state or tribe may receive Federal Financial Participation (FFP) only if its title IV-E plan has been approved by the Secretary. Funding is also available for administrative and training costs necessary to administer the program.

The program was amended through Public Law 113-183 effective October 1, 2014 to require title IV-E agencies to calculate and report annually the savings from the use of revised categorical eligibility requirements. These savings must be calculated in accordance with the Department's specified method or an alternative method and then represent additional non-federal funding to be expended on title IV-B and title IV-E programs as specified in section 473(a)(8) of the Social Security Act (the Act).

Applicant Eligibility:

Funds are available to states (including the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam and American Samoa) and to tribes with approved title IV-E plans.

Beneficiary Eligibility:

Eligible beneficiaries include certain children who are legally freed for adoption where an adoption assistance agreement has been entered into prior to the finalization of an adoption. These children must: (1) have been determined by the state or tribe to be special needs, e.g., a special factor or condition which makes it reasonable to conclude that they cannot be adopted without adoption assistance; a state or tribe determination that the child cannot or should not be returned home; and a reasonable effort has been made to place the child without providing financial or medical assistance and (2) meet one of the relevant statutory categorical eligibility criteria. These criteria differ during the phase-in period (federal fiscal years (FFYs) 2010 through 2017) based on the FFY in which the adoption assistance agreement was entered into and the child's circumstances at that time. See section 473 of the Act for additional details on program eligibility requirements. FFP is available from the time of placement for adoption in accordance with state, local or tribal law or final adoption decree to age 18 (21 if the state/tribe finds that a disability means aid should continue or where provided for in an adoption assistance agreement that was entered for a child that had attained at least 16 years of age before the agreement became effective) as long as the parent supports the child. No child adopted prior to the approval of the state or tribal title IV-E plan is eligible for FFP. No means test applies to adopting parents, but the amount of subsidy is agreed to by agency and parents and may be readjusted by joint agreement.

Credentials/Documentation:

The state or tribe must submit an application for approval by the Secretary of Health and Human Services. Costs will be determined in accordance with 45 CFR Part 75. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Consultation to states and tribes is available from the Administration for Children and Families (ACF) Regional Offices. The standard application forms, as furnished by HHS, must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are made in the form of a title IV-E Plan, prepared in the format provided by the ACF Children's Bureau (CB). Title IV-E plans and amendments are submitted to the ACF Regional Child Welfare Program Manager for approval.

Award Procedure:

Quarterly awards are made on the basis of estimated expenditures, which are later revised to include only actual allowable claimed expenditures. Next quarter estimates are due on July 30, October 30, January 30, and April 30 each year. Final decisions and awards are made by the Headquarters Office. Letter-of-credit is issued by the HHS Payment Management System (PMS).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

HHS will approve/disapprove applications (title IV-E plans or amendments to them) within 45 days of receipt by HHS.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Awards remain effective without plan renewal but title IV-E plan may be amended as necessary.

Formula and Matching Requirements:

Statutory Formula: Funding is provided on an open-ended basis in accordance with sec.474(a) of the Social Security Act.

Formula Grant Funding Level:

FFP for adoption assistance is equal to the applicable Federal Medical

Assistance Percentage (FMAP) rate as defined in section 1905(b) (sec. 479B(d) for tribes) of the Social Security Act (i.e., from 50% to 83%, depending on the state or tribe and the fiscal year) for adoption assistance payments, 75% for training costs, and 50% for other administrative costs.

Matching Requirements: The non-Federal Share of program costs (difference between total cost and FFP) in each funding category constitutes the required match to be met by the title IV-E agency. Matching funds from state agencies must be appropriated monies raised from non-Federal revenue sources.

Matching funds from tribal agencies must also be appropriated monies, but may at the option of the tribe include Federal funds specifically authorized by Federal statutes to be used as match for other Federal programs. Additionally, in accordance with 45 CFR 1356.68 for administration and training costs only, tribal matching funds may be included in-kind expenditures (or contributions) from allowable third party sources.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal financial participation is available to a State or Tribe operating under an approved title IV-E plan. See the following for information on how assistance is awarded/released: Quarterly, through a letter of credit.

Reports:

Reports as required by the Secretary: Cash reports are not applicable. Progress reports are not applicable. A quarterly report of estimated expenditures, actual expenditures, and number of children assisted (Form CB-496) is required 30 days after the end of the quarter. An annual adoption saving calculation and accounting report (Form CB-496 Part 4) is also required 30 days after the end of the fourth quarter of each FY. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are conducted in accordance with the requirements in 45 CFR 75.

Records:

States and tribes must maintain all financial and programmatic records necessary for the proper and efficient operation of the program, including records of applications, determinations of eligibility, the utilization of awarded grants and other funds for the provision of financial assistance and allocated indirect and direct administrative and training costs in accordance with requirements in HHS regulations at 45 CFR 75 and written guidance from ACF.

Account Identification:

75-1545-0-1-609 - ARRA grants: 75-1546-0-1-609.

Obligations:

(Formula Grants) FY 16 \$2,539,323,468; FY 17 est \$2,658,000,000; and FY 18 est \$2,867,000,000

Range and Average of Financial Assistance:

FY 2016: \$362 to \$477,744,896 with an average of \$43,778,942.

TAFS Codes:

75-1545.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 52 states (including the District of Columbia and Puerto Rico) and 6 tribes received grant awards. Fiscal Year 2017: It is anticipated that a total of 52 states (including the District of Columbia and Puerto Rico) and 6 tribes will receive grant awards. Fiscal Year 2018: It is anticipated that a total of 52 states (including the District of Columbia and Puerto Rico) and 6 tribes will receive grant awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1355-1356.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrator, ACF, HHS in the appropriate Regional Office. (See Appendix IV of the Catalog for a list of addresses of the Regional Offices.)

Headquarters Office:

Eileen West 330 C Street SW, Room 3509B, Washington, District of Columbia 20201 Email: eileen.west@acf.hhs.gov Phone: 202-205-8438

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.645 Child Welfare Services_State Grants; 93.652 Adoption Opportunities; 93.658 Foster Care_Title IV-E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.667 SOCIAL SERVICES BLOCK GRANT

SSBG Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title XX, as amended; Omnibus Budget Reconciliation Act of 1981, as amended, Public Law 97-35; Jobs Training Bill, Public Law 98-8 and 473; Medicaid and Medicare Patient and Program Act of 1987; Omnibus Budget Reconciliation Act of 1987, Public Law 100-203; Family Support Act of 1998, Public Law 100-485; Omnibus Budget Reconciliation Act of 1993, Public Law 106-66, 42 U.S.C 1397 ET seq.

OBJECTIVES:

To enable each State to furnish social services best suited to the needs of the individuals residing in the State. Federal block grant funds may be used to provide services directed toward one of the following five goals specified in the law: (1) To prevent, reduce, or eliminate dependency; (2) to achieve or maintain self-sufficiency; (3) to prevent neglect, abuse, or exploitation of children and adults; (4) to prevent or reduce inappropriate institutional care; and (5) to secure admission or referral for institutional care when other forms of care are not appropriate.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Federal funds may be used by States for the proper and efficient operation of social service programs. Except for items (1) and (4) below, for which a waiver from the Secretary may be requested, Federal funds cannot be used for the following: (1) The purchase or improvement of land, or the purchase, construction, or permanent improvement of any building or other facility; (2) the provision of cash payments for costs of subsistence or the provision of room and board (other than costs of subsistence during rehabilitation, room and board provided for a short term as an integral but subordinate part of a social service, or temporary shelter provided as a protective service); (3) the payment of wages to any individual as a social service (other than payment of wages to welfare recipients employed in the provision of child day care services); (4) the provision of medical care (other than family planning services, rehabilitation services or initial detoxification of an alcoholic or drug dependent individual) unless it is an integral but subordinate part of a social service for which grants may be used; (5) social services (except services to an alcoholic or drug dependent individual or rehabilitation services) provided in and by employees of any hospital, skilled nursing facility, intermediate care facility, or prison, to any individual living in such institution; (6) the provision of any educational service which the State makes generally available to its residents without cost and without regard to their income; (7) any child day care service unless such service meets applicable standards of State and local law; (8) the provision of cash payments as a service; or (9) for payment for any item or service (other than an emergency item or service) furnished by an individual or entity during the period when such individual or entity is excluded pursuant to Section 1128 or Section 1128(A) of the Social Security Act from participation in this program; or at the medical direction or on the prescription of a physician during

the period when the physician is excluded based on Section 1128 or 1128(A) from participation in the program and when the person furnishing such item or service knew or had reason to know of the exclusion (after a reasonable time period after reasonable notice has been furnished to the person). A State may transfer up to 10 percent of its allotment for any fiscal year to the preventive health and health services, alcohol and drug abuse, mental health services, maternal and child health services, and low-income home energy assistance block grants.

Applicant Eligibility:

The 50 States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, and American Samoa.

Beneficiary Eligibility:

Under Title XX, each eligible jurisdiction determines the services that will be provided and the individuals that will be eligible to receive services.

Credentials/Documentation:

Prior to expenditure of funds, the State must report on the intended use of the payments the State is to receive, including information on the types of activities to be supported and the categories or characteristics of individuals to be served. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Submission of a pre-expenditure report application is required.

Award Procedure:

States are awarded funds quarterly.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

See 45 CFR, Part 16, Procedures of the Departmental Appeals Board.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 45, Chapter 96.71, Part 96, Subpart G, Public Law 97-35. Provides the requirements for the statutory formula and administrative rule.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded quarterly on a fiscal year basis. The Electronic Transfer System will be used based on quarterly grant awards for monthly cash draws from Federal Reserve Banks. Method of awarding/releasing assistance: quarterly.

Reports:

An annual report of intended use of funds is required. No cash reports are required. No progress reports are required. A post-expenditure report is required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

States are required to maintain records documenting the purposes for which expenditures were made.

Account Identification:

75-1534-0-1-506.

Obligations:

(Formula Grants) FY 16 \$1,584,400,000; FY 17 est \$1,582,700,000; and FY 18 est \$0

Range and Average of Financial Assistance:

\$36,087 to \$194,063,454 ; \$28,303,508.

TAFS Codes:

75-1534.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 56 grants awarded. Fiscal Year 2017: It is anticipated that 56 grants will be awarded in FY17. Fiscal Year 2018: 0 funding not requested.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 96.

Regional or Local Office:

See Regional Agency Offices. Local Office: Not applicable. (See Appendix IV of the Catalog for Regional Offices.).

Headquarters Office:

Yolanda J. Butler, Ph.D 330 C Street SW, 5th Floor West, Mail Stop 5425, Washington, District of Columbia 20201 Email: Yolanda.Butler@acf.hhs.gov Phone: 202-401-5591

Website Address:

<http://www.acf.hhs.gov/programs/ocs/ssbg>.

RELATED PROGRAMS:

93.044 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers; 93.045 Special Programs for the Aging_Title III, Part C_Nutrition Services; 93.600 Head Start; 93.630 Developmental Disabilities Basic Support and Advocacy Grants; 93.645 Child Welfare Services_State Grants; 93.647 Social Services Research and Demonstration; 93.658 Foster Care_Title IV-E; 93.669 Child Abuse and Neglect State Grants; 93.671 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All States, the District of Columbia, and the five other jurisdictions will receive their share of funds if they submit a pre-expenditure report that meets the requirements.

93.669 CHILD ABUSE AND NEGLECT STATE GRANTS

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Child Abuse Prevention and Treatment Act (CAPTA), as amended, Section 106, 42 U.S.C 5101 et seq.

OBJECTIVES:

To assist States in the support and improvement of their child protective services systems.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The CAPTA Basic State Grant is a formula grant to States only, to be used for improving child protective services systems.

States can choose from a wide variety of activities as outlined in the legislation. Each state was required to submit a new state plan for the fiscal year (FY) 2011 grant funds specifying which of the 14 program areas described in section

106(a) of the CAPTA they planned to address. Examples include the intake, assessment, screening, and investigation of reports of abuse and neglect; creating and improving the use of multidisciplinary teams and interagency protocols to enhance investigations; improving legal preparation and representation; case management; developing, improving, and implementing risk and safety assessment tools and protocols; developing and updating systems of technology; training regarding research-based strategies to promote collaboration with families, legal duties of such individuals and personal safety training for case workers; improving the skills, qualifications, and availability of individuals providing services to children and families, and the supervisors of such individuals; developing and delivering information to improve public education relating to the role and responsibilities of the child protection system and the nature and basis for reporting suspected incidents of child abuse and neglect; supporting and enhancing interagency collaboration between the child protection system and the juvenile justice system for improved delivery of services and treatment; supporting and enhancing collaboration among public health agencies, the child protection system, and private community-based programs to provide child abuse and neglect prevention and treatment services (including linkages with education systems) and to address the health needs, including mental health needs, of children identified as abused or neglected, including supporting prompt, comprehensive health and developmental evaluations for children who are the subject of substantiated child maltreatment reports, or developing and implementing procedures for collaboration among child protective services, domestic violence services, and other agencies in investigations, interventions, and the delivery of services and treatment provided to children and families, including the use of differential response, where appropriate, and the provision of services that assist children exposed to domestic violence, and that also support the caregiving role of their non-abusing parents.

Applicant Eligibility:

States, the District of Columbia, Puerto Rico, Guam, the U.S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

There are no eligibility requirements attached to the beneficiaries of these funds (abused and neglected children and their families).

Credentials/Documentation:

State plans require certification of the state's eligibility under Section 106(b) of the authorizing legislation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Technical assistance is available at the regional level to assist states in meeting conditions specified in the Act. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. State plans and annual updates must be submitted to the Regional Offices. Complete information is furnished annually to the appropriate State office regarding format and timing of state plan applications and updates.

Award Procedure:

Funds will be awarded after the plan or annual update is submitted and approved.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The state agency annual update is due by June 30 of each year. Approval/disapproval is due by September 30 of each year.

Appeals:

Other - Not Specified.

Renewals:

Grants are made annually. States submit a plan and annual updates are required each year.

Formula and Matching Requirements:

Statutory Formula:

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded annually. Method of awarding/releasing assistance: quarterly.

Reports:

An annual report on the use of CAPTA funds is required. Financial data and annual reporting requirements are described in the annual Program Instructions. Funds under CAPTA must be expended within five years of the end of the FY in which granted. States are required to submit the SF-425 fiscal report for CAPTA at the end of each 12 months (October 1 through September 30) of the five-year expenditure period. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are conducted in accordance with the requirements in 45 CFR 75 Subpart F.

Records:

Records must be kept in accordance with 45 CFR Part 75.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 16 \$25,310,000; FY 17 est \$25,310,000; and FY 18 est \$253,100,000

Range and Average of Financial Assistance:

In FY 2016 grants ranged from \$56,732 to \$2,820,309, with an average award of \$451,964.

TAFS Codes:

75-1536-506.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 56 grants were awarded in FY 2016. Fiscal Year 2017: It is anticipated that 56 grants will be awarded in FY 2017. Fiscal Year 2018: It is anticipated that 56 grants will be awarded in FY 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

Annual Program Instructions. See especially Program Instructions ACYF-CB-11-06 and ACYF-CB-PI-16-03 at <http://www.acf.hhs.gov/programs/cb/laws-policies/policy-program-issuances>.

Regional or Local Office:

See Regional Agency Offices. Children's Bureau Regional Program Managers.

Headquarters Office:

Gail Collins 330 C Street SW, Room 3512, Washington, District of Columbia 20201 Email: gail.collins@acf.hhs.gov Phone: 202-205-8552

Website Address:

<http://www.acf.dhhs.gov/programs/cb>.

RELATED PROGRAMS:

93.600 Head Start; 93.643 Children's Justice Grants to States; 93.645 Child Welfare Services_State Grants; 93.667 Social Services Block Grant; 93.670 Child Abuse and Neglect Discretionary Activities; 93.671 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.670 CHILD ABUSE AND NEGLECT DISCRETIONARY ACTIVITIES**FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Child Abuse Prevention and Treatment Act of 1978, Title I, as amended, Public Law 111-320, The CAPTA Reauthorization Act of 2010., 42 U.S.C 5101 et seq.

OBJECTIVES:

To improve the national, state, and community activities for the prevention, assessment, identification, and treatment of child abuse and neglect through research, demonstration, service improvement, evaluation of best practices, dissemination of information, and technical assistance.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To award grants and contracts to : (1) Provide technical assistance to public and private nonprofit agencies; (2) conduct research and service demonstration projects to identify, assess, prevent and treat child abuse and neglect, which may include the nature and scope of effective practices relating to differential response, child abuse and neglect issues facing Native American, Alaskan Native and Native Hawaiians, the impact of child abuse and neglect on the incidence and the progression of disabilities; effective approaches to inter-agency collaboration between child protection, juvenile justice and domestic violence providers; (3) research on the incidence, consequences and prevalence of child abuse and neglect, and (4) disseminate information on the incidence, causes, prevention and treatment of child abuse and neglect which may include the dissemination of best practices to meet the needs of special populations. Project funds may not be used for construction.

Applicant Eligibility:

Grants: States, local governments, tribes, public agencies or private agencies or organizations (or combinations of such agencies or organizations) engaged in activities related to the prevention, identification, and treatment of child abuse and neglect. Contracts: Public and private agencies.

Beneficiary Eligibility:

Children that have been abused or neglected, or that are at-risk for abuse or neglect, and their families.

Credentials/Documentation:

Nonprofit agencies must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Part 75 of Title 45 of the Code of Federal Regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application assistance may be available. Applicants may refer to the Funding Opportunity Announcement on www.Grants.gov for further information. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Announcement of availability of funds is published on grants.gov and the ACF web-site, www.acf.hhs.gov/grants/open. Eligible applicants must submit applications by specified deadlines.

Award Procedure:

Applications for funding are reviewed and scored by panels of at least three non-federal subject matter experts. Final funding decisions will be made by Commissioner, ACYF.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Three to six months.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Multi-year projects are funded on an annual basis through submission of a non-competing continuation application. Continuation funding is subject to availability of funds, submission of program and financial reports, and grantee performance.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching requirements are described in each program announcement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are generally available for a 12, 24, 36 or 60-month period. See the following for information on how assistance is awarded/released: Grant awards are managed by a payment management system that manages grant payment requests, drawdowns, and disbursements.

Reports:

Grantees are required to submit semi-annual program reports. Final program reports are due 90 days after completion of the grant.

Grantees are required to submit semi-annual financial reports. Final financial reports are due 90 days after completion of the grant. Financial reporting is conducted via the SF-425. Progress reports are included in program reports. Expenditure reports are included in financial reports. Performance monitoring is described in program reporting.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever comes first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 16 \$19,188,802; FY 17 est \$14,000,000; and FY 18 est \$145,000,000

Range and Average of Financial Assistance:

FY 2016 grants ranged between \$225,027 to \$3,000,000 with an average award of \$661,682.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 29 grants were awarded. Fiscal Year 2017: It is anticipated that 34 grants will be awarded. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1340.

Regional or Local Office:

None.

Headquarters Office:

Jan Shafer 330 C Street SW, Room 3503, Washington, District of Columbia 20201 Email: jan.shafer@acf.hhs.gov Phone: (202) 205-8172.

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.590 Community-Based Child Abuse Prevention Grants; 93.600 Head Start; 93.643 Children's Justice Grants to States; 93.645 Child Welfare Services_State Grants; 93.648 Child Welfare Services Training Grants; 93.669 Child Abuse and Neglect State Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Quality Improvement Center on domestic violence and child welfare. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated on the basis of the degree to which proposals meet specific objectives defined in the annual program announcement including, but not limited to: (1) Reasonableness of cost; (2) qualifications of staff; and (3) relevance to stated areas of interest.

93.671 FAMILY VIOLENCE PREVENTION AND SERVICES/DOMESTIC VIOLENCE SHELTER AND SUPPORTIVE SERVICES

Family Violence Prevention and Services Act Formula Grants for States and Native American Tribes (including Alaska Native Villages) and Tribal Organizations

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Family Violence Prevention and Services Act, 42 U.S.C. 10401 et seq., as amended by Section 201 of the CAPTA Reauthorization Act of 2010, Pub. L. 111-320.

OBJECTIVES:

To assist States* and Native American Tribes (including Alaska Native Villages) and Tribal Organizations [Tribes] in efforts to increase public awareness about, and primary and secondary prevention of family violence, domestic violence, and dating violence; and assist States and Tribes in efforts to provide immediate shelter and supportive services for victims of family violence, domestic violence, or dating violence, and their dependents.

* The term "State" means each of the several States, the District of Columbia, the Commonwealth of Puerto Rico and the territories of Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of the Northern Mariana Islands.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Federal funds are used by States and Tribes to support the establishment, maintenance, and expansion of programs and projects: (1) to prevent incidents of family violence, domestic violence, and dating violence; (2) to provide immediate shelter, supportive services, and access to community-based programs for victims of family violence, domestic violence, or dating violence, and their dependents; and (3) to provide specialized services for children exposed to family violence, domestic violence, or dating violence, underserved populations, and victims who are members of racial and ethnic minority populations. Federal funds are awarded by the States through subgrants to local public agencies and nonprofit private organizations. States and their subgrantees, and Tribes may not impose an income eligibility standard on individuals receiving services supported by funds appropriated under the Family Violence Prevention and Services Act and Federal funds may not be used as direct payment to any victim of family violence, domestic violence, or dating violence. The receipt of supportive services shall be voluntary and no condition may be applied for the receipt of emergency shelter. Each State may not use more than 5% of the amounts allotted under Section 10406(a) for State administrative costs. Not less than 70 percent of the funds distributed by a State must be used for immediate shelter and supportive services to adult and youth victims of family violence, domestic violence, or dating violence and

their dependents, and not less than 25 percent distributed by a State shall be for supportive services and prevention services.

Applicant Eligibility:

Eligible applicants for the State Grants are the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, and the U.S. territories of Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of the Northern Mariana Islands. Eligible applicants for the Tribal Grants are the federally recognized Indian Tribes (including Alaska Native Villages), or a tribal organization or nonprofit private organization authorized by an Indian Tribe.

Beneficiary Eligibility:

This program will benefit victims of family violence, domestic violence, and dating violence, and their dependents.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be submitted to the Administration for Children and Families/Administration on Children, Youth and Families/Family and Youth Services Bureau/Division of Family Violence Prevention and Services. Required Standard Forms and certifications are available at Grants.gov Forms Repository.

Award Procedure:

Formula grants are awarded directly to States, U.S. Territories, and eligible Native American Tribes (including Alaska Native Villages) and Tribal Organizations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: From sums appropriated under Section 10403(a) of the Family Violence Prevention and Services Act:
 (1) each State shall be allotted \$600,000 for a grant under Section 10406(a) with the remaining funds to be allotted to each State in an amount that bears the same ratio to such remaining funds as the population of such State bears to the population of all States;
 (2) Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of the Northern Mariana Islands shall be allotted not less than one eighth of 1 percent of the amounts available for grants under Section 10406(a) for the fiscal year for which the allotment is made.
 (3) not less than 10 percent shall be used to make grants under Section 10409 to Native American Tribes (including Alaska Native Villages), tribal organizations and nonprofit private organizations approved by an Indian Tribe.
 Matching Requirements: No grant may be made to any entity other than a State or an Indian Tribe unless the entity agrees that, with respect to costs to be incurred by the entity in carrying out the program or project for which the grant is awarded, the entity will make available (directly or through donations from public or private entities) non-Federal contributions in an amount that is not less than \$1 for every \$5 of Federal funds provided under the grant. The

non-Federal contributions required may be in cash or in-kind. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds may be used for expenditures on and after October 1 of each fiscal year for which they are granted, and will be available for expenditure through September 30 of the following fiscal year. Funds must be liquidated within 90 days of the end of the 24 month expenditure period. Method of awarding/releasing assistance: lump sum.

Reports:

Awards may be subject to the Transparency Act subaward and executive compensation reporting requirements of 2 CFR Part 170. The SF-428 Tangible Property Report and the SF-429 Real Property Status Report are required. The forms are available at www.whitehouse.gov/omb/grants_forms/. Quarterly cash transaction reports (SF-425) are required to be filed with the HHS Division of Payment Management through the Payment Management System. Recipients are required to submit an annual performance progress report (SF-PPR) describing the activities carried out and an assessment of the effectiveness of those activities in achieving the purposes of the grant (Section 10406(d)). A section of this performance report must be completed by each grantee or subgrantee that provided program services and activities. State grantees should compile subgrantee performance reports into a comprehensive report for submission to the program office by December 29th of each year. A copy of the required PPR can be found at www.acf.hhs.gov/programs/fysb/resource/ppr-state-fvpsa.

Guam, American Samoa, the U.S. Virgin Islands and the Commonwealth of the Northern Mariana Islands need not submit an application under this program announcement if they choose to have their allotment included as part of a consolidated grant application. If funds are designated under their consolidated grant for activities and purposes covered under the Family Violence Prevention and Services Act, then territories are required to submit an annual performance progress report (PPR) using the standardized format. Recipients must submit an annual Federal Financial Report (SF-425) by December 29 of each year to the Division of Mandatory Grants through the Online Data Collection system (OLDC). Performance progress reports are required and selected grantees may be identified for site visits or desk review by federal program officers.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain records documenting the purposes for which expenditures were made. 2 CFR 200, Subpart D -- Post Federal Award Requirements applies to this program. (Related HHS regulations pending.)

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 16 \$101,500,000; FY 17 est \$101,771,794; and FY 18 est \$102,025,000 - Represents ONLY grants to States and U.S. Territories. (Formula Grants) FY 16 \$14,500,000; FY 17 est \$14,538,828; and FY 18 est \$14,575,000 - Represents ONLY grants to Native American Tribes (including Alaska Native Villages) and Tribal Organizations.

Range and Average of Financial Assistance:

FY 2016

States: \$727,182 - \$8,967,078

Territories: \$126,875

Indian Tribes: \$17,691 - \$1,592,236

FY2017

States: \$727,674 - \$8,999,499

Territories: \$127,215

Indian Tribes: \$17,600 - \$1,590,000.

TAFS Codes:

75-1536-506.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, 56 grants were awarded to States and Territories; and 134 grants were awarded to Tribes (including grants to a consortium).

Fiscal Year 2017: In FY 2017, it is estimated that 56 grants will be awarded to the States and Territories, and 140 grants will be awarded to Tribes (including grants to a consortium). Fiscal Year 2018: In FY 2018, it is estimated that 56 grants will be awarded to the States and Territories, and 140 grants will be awarded to Tribes (including grants to a consortium).

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 1370 applies to this program.

Subpart A - General Provisions (1370.1 - 1370.6)

Subpart B - State and Indian Tribal Grants (1370.10)

Regional or Local Office:

None.

Headquarters Office:

Rebecca Odor 330 C Street, SW, Suite 3620C, Washington, District of Columbia 20201 Email: rebecca.odor@acf.hhs.gov Phone: 202-205-7746

Website Address:

<http://www.acf.hhs.gov/programs/fysb/programs/family-violence-prevention-services>

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.612 Native American Programs; 93.667 Social Services Block Grant; 93.669 Child Abuse and Neglect State Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each State, eligible Territory, and eligible Tribe, will receive its respective share of funds if the application submitted meets the necessary requirements.

93.674 CHAFEE FOSTER CARE INDEPENDENCE PROGRAM

CFCIP; Independent Living Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part E, Section 477.

OBJECTIVES:

To assist states and eligible Indian tribes in establishing and carrying out programs designed to assist foster youth likely to remain in foster care until 18 years of age, youth who leave foster care for adoption or kinship guardianship after attaining age 16, and youth who have left foster care because they attained 18 years of age and have not yet attained 21 years of age, to make the transition from foster care to self-sufficiency.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants may be used to assist youth: to make the transition to self-sufficiency; to receive education, training and related services; to prepare for and obtain employment; to prepare for and enter postsecondary training and educational institutions; to provide personal and emotional support to youth through mentors and the promotion of interactions with dedicated adults; and to provide financial, housing, counseling, employment, education, other appropriate support and services to current and former foster care recipients up to the age of 21; to provide services to youth who, after attaining 16 years of age, have left foster care for kinship guardianship or adoption; and to ensure that children who are likely to remain in foster care until 18 years of age have regular, on-going opportunities to engage in age or developmentally appropriate activities.

Applicant Eligibility:

State governments, including the 50 states, the District of Columbia, Puerto Rico, and the U.S. Virgin Islands (hereafter "states"), and eligible Indian tribes, Indian tribal organizations or Indian tribal consortia (hereafter "tribes").

Beneficiary Eligibility:

Children and youth who are likely to remain in foster care until age 18, youth who left foster care to adoption or kinship guardianship after attaining age 16, and current and former foster care recipients after age 18 and up to age 21.

Credentials/Documentation:

Costs will be determined in accordance with 45 CFR Part 75. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A five-year state or tribal plan must be submitted with annual updates. The plan must coordinate the provision of services under the state's or tribe's Chafee Foster Care Independence Program with services under other Federal or Federally-assisted programs serving the same population. Plans are submitted to the appropriate Regional Child Welfare Program Manager in the appropriate ACF Regional Office.

Award Procedure:

Quarterly awards are made up to the amount of one-fourth of the state's annual allotment.

Deadlines:

Jun 30, 2017 The plan or its annual update is due June 30 of each year.

Range of Approval/Disapproval Time:

From 60 to 90 days. The plan or its annual update is due June 30 of each year. Approval/Disapproval is due September 30 of each year.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: States: Each state is allotted an amount of funds which bears the same ratio as the number of children in foster care in that state bears to the total number of children in foster care in all states in the most recent fiscal year for which such information is available. Data submitted by states into the Adoption and Foster Care Analysis and Reporting System's (AFCARS) national database will be used to calculate state allotments. The minimum payable amount to a state is \$500,000. See Section 477(c) of the Social Security Act.

Tribes: Tribes with an approved title IV-E plan to directly operate a title IV-E program or tribes that have a title IV-E tribal/state cooperative agreement or contract have the option to apply to receive Chafee Foster Care Independence Funds Program funds directly from the Secretary. Each tribe with an approved plan is allocated an amount equal to the number of children in foster care under the responsibility of the tribe (either directly or under supervision of the state) in the most recent fiscal year for which such information is available to the sum of the total number of children in foster care under the responsibility of the state within which the tribe is located and the total number of children in foster care under the responsibility of all Indian tribes in the state, that have an approved plan.

Matching Requirements: Percent: 20%. The Federal government will pay 80 percent of the total amount of funds expended by the grantee up to the amount of Chafee Foster Care Independence Program funds allocated. The grantee must provide matching contributions to cover the additional 20 percent of the costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are made quarterly on a fiscal year basis. Method of awarding/releasing assistance: quarterly.

Reports:

Annual updates to the state's or tribe's plan. Cash reports are not applicable. Progress reports are not applicable. An SF-425 financial status report must be submitted for the funds awarded in each Federal fiscal year (FFY) within three months after the close of the FFY in which the funds were granted (interim report) and again within three months after the close of the subsequent FFY (final report). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are conducted in accordance with the requirements in 45 CFR 75 Subpart F.

Records:

Grantees must develop and maintain records which permit review of expenditures in accordance with the provisions of 45 CFR Part 75.

Account Identification:

75-1545-0-1-609.

Obligations:

(Formula Grants) FY 16 \$137,900,000; FY 17 est \$137,900,000; and FY 18 est \$137,900,000

Range and Average of Financial Assistance:

FY 2016 Grants for states range from \$500,000 to \$17,956,353 with an average of \$2,650,457.

FY 2016 Grants for tribes range from \$12,859 to \$30,608 with an average of \$19,049.

TAFS Codes:

75-1545.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 56 grants were awarded to states (including the District of Columbia and Puerto Rico) and 4 Indian tribes. Fiscal Year 2017: It is anticipated that 56 grants will be awarded to states (including the District of Columbia and Puerto Rico) and 4 Indian tribes. Fiscal Year 2018: It is anticipated that 56 grants will be awarded to states (including the District of Columbia and Puerto Rico) and 4 Indian tribes.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Instruction (PI): ACYF-CB-PI-05-06, issued October 12, 2005; ACYF-CB-PI-14-03, issued March 5, 2014; ACYF-CB-PI-14-04, issued March 5, 2014; ACYF-CB-PI-16-03, issued April 13, 2016; and ACYF-CB-PI-16-04, issued April 14, 2106.

Regional or Local Office:

See Regional Agency Offices. Contact Regional Administration for Children and Families. (See Appendix IV of the Catalog for addresses.)

Headquarters Office:

Catherine Heath 330 C Street SW, Room 3509A, Washington, District of Columbia 20201 Email: catherine.heath@acf.hhs.gov Phone: 202-690-7888

Website Address:

<http://www.acf.dhhs.gov/programs/cb>.

RELATED PROGRAMS:

93.550 Transitional Living for Homeless Youth; 93.556 Promoting Safe and Stable Families; 93.599 Chafee Education and Training Vouchers Program (ETV); 93.645 Child Welfare Services_State Grants; 93.658 Foster Care_Title IV-E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

States and tribes meeting requirements for entitlement funds will receive grants.

93.676 UNACCOMPANIED ALIEN CHILDREN PROGRAM

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Homeland Security Act of 2002, Section 462, Public Law 107-296, 116 Stat. 2202-2205, 6 U.S.C 279; William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, Section 235, Public Law 110-457, 122 Stat. 5074-5082, 8 U.S.C 1232.

OBJECTIVES:

The Unaccompanied Alien Children's program is designed to provide for the care and placement of unaccompanied alien minors who are apprehended in the U.S. by Homeland Security agents, Border patrol agents, or other federal law enforcement agencies and are taken into care pending resolution of their claims for relief under U.S. immigration law or release to parent, adult family members or another responsible adult. Resolution of their claims may result in release, granting of an immigration status (such as special immigrant juvenile or asylum), voluntary departure, or removal.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Funds may be used only for the purposes set forth in the grant and/or contract. Services may be provided only to unaccompanied alien children who are referred by the Department of Homeland Security or referred by other federal agencies or departments.

Applicant Eligibility:

State and local governments, private non-profit organizations. Faith-based and private for-profit organizations who can provide state licensed residential capacity or other requisite services.

Beneficiary Eligibility:

Beneficiaries are unaccompanied alien children who are in Federal custody by reason of their immigration status.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications submitted in response to program announcements under this program will undergo a pre-review to determine if applicant is eligible for funding.

Award Procedure:

Applications found to be eligible for funding will undergo an evaluation by an independent review panel. In the case of emergency, staff will review on the basis of specific evaluation criteria to determine applicant qualification based upon published review criteria, quality of services to be rendered, and the likelihood of the program success.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. The ORR Director may invite applications, if necessary, due to unusual and compelling urgency of unaccompanied alien children apprehended by law enforcement agents at the Department of Homeland Security or referred by other federal agencies or departments. Deadlines for applications are noted in notices of funds of availability. It is anticipated that the approval/disapproval time will not exceed 90 days in urgent situations and

will not exceed 120 days in normal application situations.

Appeals:

Not Applicable.

Renewals:

Contingent upon appropriations, grantee performance, and whether continuation of funding is in the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant and contract awards are made on one to three year cycles and budgeted on an annual basis. Method of awarding/releasing assistance: lump sum.

Reports:

Final program reports summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are required. No cash reports are required. Monthly progress reports of placements are required. Additional progress reports are required, usually on a quarterly or semi-annual basis, but sometimes more frequently. Federal Financial Reports (SF-425) are required, usually on a quarterly or semi-annual basis. Final financial status reports are also required at the end of the project period. Reporting requirements for contracts are on a monthly basis and as specified in each contract. ORR conducts programmatic and financial on-site monitoring of grantees for the purpose of ensuring that the grantee's service delivery program and financial management system meet the requirements and standards of the program. All grantees are monitored at least once every two years by a program monitors review the care provider grantees on site for compliance with ORR policies and procedures, statutory requirements and licensing standards. Other program staff conduct regular site visits and make recommendations for non-compliance or improvements. ORR regularly requires reports on a daily, weekly, monthly, semi-annual, and annual basis for a variety of functions. In the event deficiencies or needs for improvement are indicated, the items are documented through monitoring reports and corrective action plans are required from the grantee.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Periodic audits are made as part of the system of financial management and internal control to meet terms and conditions of grants, contracts, and other agreements. Nonprofit organizations receiving less than \$25,000 a year in Federal awards are exempt from federal audit requirements, but records must be available for review by appropriate officials of the Federal grantor agency or sub-granting entity.

Records:

Grant and contract recipients are required to keep all financial and program reports necessary for program review and audit during the project period and for three years after the end of the project period to ensure that funds have been expended in accordance with the regulations, grant terms, and conditions of this program.

Account Identification:

75-1503-0-1-506.

Obligations:

(Salaries) FY 16 \$160,033,000; FY 17 est \$522,804,000; and FY 18 est \$338,000,000. (Project Grants (Cooperative Agreements)) FY 16 \$642,000,000; FY 17 est \$425,196,000; and FY 18 est \$610,000,000

Range and Average of Financial Assistance:

In FY 2016, award amounts ranged from \$274,600 to \$80,745,590.

Average of Awards: \$14,619,115.

TAFS Codes:

75-1503.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY16 the program placed over 59,000 unaccompanied children, a record number of UAC served in the history of the program. The program awarded new contracts for legal services which included funding for paid as well as pro-bono representation of UC in and formerly in ORR custody. The program has published six (6) Funding Opportunity Announcements (FOA) for Residential shelter capacity and Post Release Services for award in 2017. The program will increase monitoring of programs to ensure compliance with regulations and policy and procedures. Fiscal Year 2017: The fluctuations underscore the unpredictable nature of referrals, which creates serious operational challenges if there is not a mechanism to provide additional resources, if needed. To accommodate the record number of referrals, ORR awarded 5 emergency shelter contracts to support the operations of temporary emergency shelters on federal land parcels to accommodate periods of influx of arrivals. Fiscal Year 2017: In the first and part of the second quarter of FY2017, referrals of UAC continued to be elevated necessitating use of two temporary emergency shelters operated by contractors. Referrals then significantly decreased in the latter half of the second quarter of 2017. There has been a steady increase in referral numbers starting in the third quarter. To maintain a standard level of bed capacity, program awarded over 50 grants for residential services to ensure that capacity is available to accommodate any referrals and services required. Program also intends to award contracts for the emergency influx shelter operations as part of the plan to be able to accommodate any dramatic increase in capacity. The program expects to award contracts for supportive services to UAC and sponsors such as case coordination, national call center and a sex abuse hotline. Fiscal Year 2018: In fiscal year 2018, the program expects referral levels similar to 2017. A set of grant awards for residential bed capacity is expected to expire in 2017 and the program expects to make awards to replace these beds to maintain the standard level of bed capacity currently maintained by the program. Also in 2018, the program will continue to assess the services it provides to UAC to ensure, to maintain and improve its service delivery model.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program announcements can be found at <http://www.acf.hhs.gov/programs/orr>. All inquiries should be directed to the information contact listed below.

Regional or Local Office:

None.

Headquarters Office:

Jallyn Sualog Mary E. Switzer Building, 330 C Street SW, Washington, District of Columbia 20201 Email: Jallyn.Sualog@acf.hhs.gov Phone: (202) 401-4997 Fax: (202) 401-1022.

Website Address:

<http://www.acf.hhs.gov/programs/orr>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants; 93.598 Services to Victims of a Severe Form of Trafficking

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: In FY 2016, the program continued to provide shelter, staff secure, secure, residential treatment centers, transitional foster care and home study and post release services. The number of referrals for FY16 has exceeded the FY15 level. The program issued seven (7) new grants and funded a total of 47 cooperative agreements and awarded 4 emergency shelter contracts. Fiscal Year 2017: In FY 2017, the program issued over 50 cooperative agreements for shelter, staff secure, secure, residential treatment centers, transitional foster care and home study and post release services. The program is also expected to issue new contract awards for emergency influx shelter operations, case coordination, national call center and sex abuse hotline. Fiscal Year 2018: In Fiscal Year 2018, the program is expected to award approximately 10 cooperative agreements for residential services, to replace grants expiring in FY2017 in order to maintain a standard number of bed capacity.

CRITERIA FOR SELECTING PROPOSALS:

Notice of evaluation criteria for program funds can be found at <http://www.acf.hhs.gov/programs/orr>.

Please refer to the Contact section for additional information.

93.701 TRANS-NIH RECOVERY ACT RESEARCH SUPPORT**FEDERAL AGENCY:**

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Section 301, 42 U.S.C 241.

OBJECTIVES:

To provide support for initiatives funded under The American Recovery and Reinvestment Act of 2009 (ARRA). These projects will provide economic stimulus to the nation while furthering the NIH mission to uncover new knowledge that will lead to better health for everyone.

TYPES OF ASSISTANCE:

Project Grants (Capacity Building and Complaint Processing, Training)

USES AND USE RESTRICTIONS:

To support research and other projects that will support fundamental biomedical discovery and translation of that knowledge into effective prevention strategies and new treatments while also providing economic stimulus to the nation. See above.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals).

Beneficiary Eligibility:

Federal, State, Local, Sponsored organization, Public nonprofit U.S. Territories institution/organization, Other public institution/organization, Federally Recognized Indian Tribal Governments, Specialized group (e.g. health professionals, students, veterans), Private nonprofit institution/organization Small business, Profit organization, - Quasi-public nonprofit organization, Other private institution/organization, Native American Organizations.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Prepare and submit applications according to instructions provided in the applicable ARRA Funding Opportunities announcement. ARRA grant funding announcements for NIH can be found at <http://grants.nih.gov/recovery/>. This program is subject to the provisions of 45 CFR parts 74 and 92, and 42 CFR Part 52 as well as applicable federal cost principles as referenced, the NIH Grants Policy Statement, and the provisions in the funding announcement. NIH is transitioning to an electronic application by grant mechanism. Details, including the appropriate application form, can be found at <http://era.nih.gov/ElectronicReceipt/>.

Award Procedure:

Applications are reviewed for scientific and technical merit by a scientific review group and for program relevance by a national advisory council/board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the NIH Scientific/Research contact, as provided on an initiative. A description of the

NIH Peer Review Appeal procedures is available on the NIH Web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Renewal (competing continuation, type 2) applications to ARRA programs are not accepted unless specifically announced by the NIH Institute/Center.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

ARRA funds are available for FY2009 & FY2010 only. Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. In addition, all projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. In addition, all projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period.

Account Identification:

75-0846-0-1-552 - TAFS Numbers: 75-0850, 75-0871, 75-0874, 75-0883, 75-0901, 75-0900, 75-0852, 75-0840, 75-0902, 75-0863, 75-0842, 75-0903, 75-0905, 75-0907, 75-0908, 75-0909, 75-0904, 75-0906, 75-0899, 75-0847, 75-0910, 75-0911, 75-0818, 75-0808, 75-0845.

Obligations:

(Project Grants (Capacity Building and Complaint Processing, Training)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - the full amount ARRA funding was available for obligation during FY 2009 & FY 2010 and was not assigned to a fiscal year, no funds for competing awards will be available after FY 2011.

Range and Average of Financial Assistance:

\$2,300 to \$3,800,000; \$620,000.

TAFS Codes:

75-0910-0845-75.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74; 42 CFR 52. NIH Grants Policy Statement, (Rev.) December 1, 2003. The PHS 398 may be obtained from NIH's Extramural Research Home Page: <http://grants1.nih.gov/grants/oer.htm>.

Regional or Local Office:

See Regional Agency Offices. Financial or Grants Management contact as provided on the initiative, or Director, Office of Policy for Extramural Research

Administration (OPERA), OER, NIH for award policy or application issues: 301-435-0938.

Headquarters Office:

OER ARRA, see NIH ARRA Grants Page at Grants Contacts page at <http://grants.nih.gov/recovery/> and the NIH General Grants Contacts page at <http://grants.nih.gov/grants/contacts.htm>, Bethesda, Maryland 20892 Email: OER-ARRA@mail.nih.gov Phone: (301) 435-0714.

Website Address:

<http://www.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding decisions are based on scientific merit (42 CFR 52h) and program relevance. Scientific merit review encompasses: the peer review criteria detailed in the specific funding announcement, as well as the significance, approach, and innovation of the proposed research; the competency of the principal investigator; the adequacy of the resources and environment; the proposed budget and requested period of support; and, where applicable, the adequacy of the measures for protecting against adverse effects upon humans, animals, or the environment.

93.702 NATIONAL CENTER FOR RESEARCH RESOURCES, RECOVERY ACT CONSTRUCTION SUPPORT

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 481A, 42 U.S.C 287.

OBJECTIVES:

To renovate existing research facilities and build new research facilities to meet basic and clinical space requirements, laboratory safety, biohazard containment, and animal care standards in order to support the facility demands of NIH research programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

New physical resources for research include basic research laboratories, clinical and support facilities, and those related to biohazard control that are integral parts of an overall effort. Proposed facilities must be part of an existing research effort or a developing research program. Facilities shall be used for research pertinent to the NIH mission for as long as needed. The Recovery Act has modified the usual period of Federal interest from 20 years to 10 years. Funds must be used for purposes stated in the approved grant application. See above.

Applicant Eligibility:

Construction/Renewal/Rehabilitation.

Beneficiary Eligibility:

Public nonprofit institution/organization.

Credentials/Documentation:

Application should include a complete research program description (with staff curricula vitae), space requirements for projected program, an environmental summary of the impact of the proposed facility as detailed in Public Law 90-190, the National Environmental Policy Act, and listing of all relevant licenses, permits, or other approvals required by State and local authorities. Costs will be determined in accordance with OMB Circular No. A-21 for Educational Institutions, OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-122 for nonprofit institutions. For grants issued to hospitals, costs will be determined in accordance with HHS Regulations 45 CFR Part 74, Appendixes E, "Principles for Determining Costs Applicable to Research and Development Under Grants and Contracts with Hospitals". 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication assistance is available from the address noted below under Information Contacts. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Prepare and submit applications according to instructions provided in the applicable ARRA Funding Opportunities announcement. This program is subject to the provisions of 45 CFR 74 including applicable federal cost principles as referenced, the NIH Grants Policy Statement, and the provisions in the funding announcement. NIH is transitioning to an electronic application by grant mechanism. Details, including the appropriate application form, can be found at <http://era.nih.gov/ElectronicReceipt/>.

Award Procedure:

Applications are reviewed for scientific and technical merit by a scientific review group and for program relevance by a national advisory council/board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the NIH Scientific/Research contact, as provided on an initiative. A description of the NIH Peer Review Appeal procedures is available on the NIH Web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Grantee is responsible for performance of contractor. Contractor must be covered by performance and payment bonds. Grantees are required to file a Notice of Federal Interest at the time construction begins. In addition, all projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period.

Account Identification:

75-0848-0-1-552.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - There will be no available funds for competing awards after 2010.

Range and Average of Financial Assistance:

Awards will range from \$2M to \$20M total costs. The average award is expected to be \$10M.

TAFS Codes:

75-0848.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74; 42 CFR 52. NIH Grants Policy Statement, (Rev.) December 1, 2003. The PHS 398 may be obtained from NIH's Extramural Research Home Page: <http://grants1.nih.gov/grants/oer.htm>.

Regional or Local Office:

See Regional Agency Offices. Ms. Quadira Huff, Division Coordinator, Office of Grants Management, NCRR, 6701 Democracy Blvd., Room 1040, Bethesda, MD 20892-4874. (301) 435-0852; huffq@mail.nih.gov.

Headquarters Office:

Gregory K. Farber, 6701 Democracy Blvd., Room 960, Bethesda, Maryland 20892-4874 Email: farberg@mail.nih.gov Phone: (301) 435-08778.

Website Address:

<http://www.ncrr.nih.gov>

RELATED PROGRAMS:

93.310 Trans-NIH Research Support

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that are complete and responsive to this program will be evaluated for scientific and technical merit by an appropriate peer review group. The major elements in evaluating proposals include: (1) significance and need of the project, (2) the description of project management and institutional commitment, (3) evaluation of the proposed design, (4) justification for requested equipment, (5) the commitment to sustainable design/environmentally friendly design principles.

93.704 TRANS-NIH RECOVERY ACT LOAN REPAYMENT SUPPORT FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-505, 42 U.S.C 288-5a.

OBJECTIVES:

To provide support for NIH loan repayment initiatives funded under The American Recovery and Reinvestment Act of 2009 (ARRA). Initiatives will attract and retain health professionals performing research in fields required by the NIH to carry out its mission by providing educational loan repayment for participants with substantial amounts of educational debt relative to income, who agree by written contract to engage in biomedical, behavioral or clinical research in a qualifying nonprofit institution or as an employee of the NIH for 2 years for new intramural and extramural contracts.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To provide support for NIH loan repayment initiatives funded under The American Recovery and Reinvestment Act of 2009 (ARRA). Initiatives will attract and retain health professionals performing research in fields required by the NIH to carry out its mission by providing educational loan repayment for participants with substantial amounts of educational debt relative to income, who agree by written contract to engage in biomedical, behavioral or clinical research in a qualifying nonprofit institution or as an employee of the NIH for 2

years for new intramural and extramural contracts. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold." An amount equal to half of this "debt threshold" will not be repaid by NIH and must be paid by the program participants. Participants may apply for and the Secretary may grant extension contracts for one-year or two-year periods, as determined by the Secretary, if the individual continues to engage in qualifying research beyond their initial contracts. Renewal applications are competitively reviewed and the submission of a renewal application does not assure the award of benefits. Eligible renewal applications that score within the funding range will receive continuation contracts from non-ARRA funding sources. The maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements, plus discretionary State and local tax reimbursements.

Applicant Eligibility:

Small business (less than 500 employees).

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans).

Credentials/Documentation:

Applicants electronically transmit the following documents to the NIH: Applicant Information Statement, Biosketch, Personal Statement, Description of Research Activities, 3 Recommendations, Loan Information including current account statement(s) and promissory note(s) or disclosure statement(s), Assessment of Research Activities Statement (completed by the research supervisor), and Description of the Research Environment (completed by the research supervisor), Training or Mentoring Plan (completed by the research supervisor). Institutional Contacts electronically transmit a certification that: (a) assures the applicant will be provided the necessary time and resources to engage in the research project for two years from the date a Loan Repayment Program Contract is executed; (b) assures that the applicant is or will be engaged in qualifying research for 50 percent of their time (or not less than 20 hours per week based on a 40 hour week); (c) certifies that the institution is nonprofit (exempt from tax under 26 USC 501), and (d) provides the applicant's institutional base salary. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must access and submit the Loan Repayment Program application via the website www.lrp.nih.gov. Applications submitted to the NIH Division of Loan Repayment are first examined to determine if the basic eligibility requirements are satisfied. If these requirements are met, the application is forwarded to the NIH Center for Scientific Review for a determination regarding which NIH Institute or Center the application will be assigned to for review, scoring, and ranking.

Award Procedure:

The NIH Institutes and Centers approve or disapprove applications for participation in the Loan Repayment Program. When an Institute or Center approves an application, the NIH Office of Loan Repayment notifies individuals of their award amount, provides a repayment schedule that covers the period of participation, and a Loan Repayment Program Contract is executed by an authorized representative of the Secretary, DHHS. If the approved research assignment changes, or if the employing research institution changes, the participant must have their continued eligibility recertified by the Institute or Center which approved their application. Contact the NIH via E-mail at LRP@NIH.GOV for further guidance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

At the conclusion of the ARRA 2-year contract, participants may apply and be considered for subsequent 1 or 2-year continuation contracts under the application and approval procedures specified above. Renewal applications are competitively reviewed and the submission of a renewal application does not assure the award of benefits. Eligible renewal applications that score within the funding range will receive continuation contracts from non-ARRA funding sources.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For initial two-year contract periods, loan repayments awards may range from \$2,298 to \$70,000; Tax reimbursements range from \$1,040 to \$31,679. The average contract cost which includes loan and tax reimbursement is \$48,718. See the following for information on how assistance is awarded/released: Not available.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

74-4554-0-1-552.

Obligations:

(Direct Payments for Specified Use) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - the full amount ARRA funding was available for obligation during FY 2009 & FY 2010 and was not assigned to a fiscal year. No funds will be available for obligation after FY 2011.

Range and Average of Financial Assistance:

For initial two-year contract periods, loan repayments awards may range from \$2,298 to \$70,000; Tax reimbursements range from \$1,040 to \$31,679. The average contract cost which includes loan and tax reimbursement is \$48,718.

TAFS Codes:

75-0910-0845-75.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-505, 42 U.S.C. 288-5a. The NIH LRP-CR was initially announced by publication of a Notice in the Federal Register (Vol. 66, No. 173), and updated in Federal Register (Vol. 68, No. 61).

Regional or Local Office:

None.

Headquarters Office:

Ericka Boone 6011 Executive Blvd., Room 206, MSC 7650, Bethesda, Maryland 20892-7650 Email: lrp@nih.gov Phone: (866) 849-4047 Fax: (866) 849-4046

Website Address:

<http://www.lrp.nih.gov/>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The NIH Institutes and Centers approve or disapprove applications for participation in the Loan Repayment Programs. Applications that are on time,

complete and eligible are referred to the appropriate NIH Institute or Center by the NIH Center for Scientific Review. The NIH Institutes and Centers convene panels comprised of non-NIH scientists to review, score and rank applications. In evaluating the application, reviewers are directed to consider the following components as they relate to the likelihood that the applicant will continue in a research career: (1) potential of the applicant to pursue a career in research, and (2) quality of the overall environment to prepare the applicant for a research career.

93.714 ARRA EMERGENCY CONTINGENCY FUND FOR TEMPORARY ASSISTANCE FOR NEEDY FAMILIES (TANF) STATE PROGRAM

TANF Emergency Fund, Recovery Act

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

TANF Emergency Fund, Recovery Act, Title IV, Part A, Section 2101, Public Law 111-5, 42 U.S.C 603(c).

OBJECTIVES:

This funding was to provide economic stimulus to the nation while furthering the ACF mission to promote the economic and social well being of children, youth, families, and communities. The objective of the program was to provide up to \$5 billion for jurisdictions (States, Territories, and Tribes) in fiscal year (FY) 2009 and FY 2010 that had an increase in assistance caseloads and/or certain types of expenditures. This Emergency Fund was in addition to the TANF Contingency Fund in section 403(b) of the Act that gives money to qualifying States (but not Territories or Tribes) during an economic downturn.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Emergency Fund grants are Federal TANF funds. Jurisdictions must use these funds in accordance with section 404 of the Act. This does not include authority to transfer emergency funds to either the Social Services Block Grant (SSBG) or the Child Care and Development Block Grant (CCDBG) because that transfer authority is limited to grants made under section 403(a) of the Act. Emergency funds are available until expended.

Jurisdictions may carry over emergency funds for use in a succeeding fiscal year as authorized under section 404(e) of the Act, i.e., the funds are available until expended. Section 403(c)(5) of the Act imposes a cumulative cap on the amount of emergency funding that a jurisdiction can receive for the two-year period. The capped amount consists of the cumulative combined grants from the existing Contingency Fund (section 403(b)) and the Emergency Fund (section 403(c)) and the total of the two cannot exceed 50 percent of the jurisdictions annual Federal TANF family assistance grant.

The same financial and programmatic rules pertaining to the appropriate use of the jurisdictions Federal TANF block grant funds also apply when using the emergency funds. This also means that all TANF requirements, including work participation requirements and time limits, apply to families receiving assistance with emergency funds exactly as they do to families receiving other Federal TANF-funded assistance. See above.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Federal funds go to the State, Territory, or Tribal TANF agencies. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Funding was available in fiscal years 2009 and 2010 for jurisdictions that were eligible by having an increase in assistance caseloads or certain types of expenditures. Environmental impact information is not required for this

program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Jurisdictions had to submit applications by September 1, 2010.

Award Procedure:

Requests for emergency funds approved by HHS were distributed quarterly.

Deadlines:

Sep 01, 2010 Initial requests were submitted by September 1, 2010, for qualifying expenditures made in fiscal years 2009 and 2010, with updates to data allowed until September 30, 2011.

Range of Approval/Disapproval Time:

Review and evaluation of applications has been a priority and we acted upon them within an as soon as possible timeframe.

Appeals:

Jurisdictions facing an adverse action by the Secretary of HHS may appeal under both administrative and judicial procedures.

Renewals:

Funding for the program ended September 30, 2010.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Jurisdictions were awarded their emergency fund grants as applications were approved. They may reserve grant moneys, without fiscal year limitation. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Jurisdictions have to submit information on caseloads and expenditures. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits shall be conducted by the Inspector General and the jurisdictions under Chapter 75 of Title 31, United States Code.

Records:

Jurisdictions must maintain records containing information the Secretary may require by regulation. Information submitted in support of a request for Emergency Funds will be tested for reliability and accuracy. Accordingly, jurisdictions are expected, as required by the federal regulations at 45 CFR 75.361, to maintain pertinent documentation related to caseload and expenditure data used to support the request for funds, be able to link the information to the relevant reporting and accounting system, and make such information available in a clear and understandable form that can be validated by an auditor.

Account Identification:

75-1523-0-1-506 - TAFS: 75-0910-1523.

Obligations:

(Formula Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Up to \$5 billion was available in FY 2009 and 2010. Funding is no longer provided for this program.

Range and Average of Financial Assistance:

The range for ARRA actual allocations for FY 2014 was \$608,842 to \$3,328,006 with an average of \$1,857,757.

TAFS Codes:

75-75-2009-2010-1523-XX.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program rules for State programs can be found at 45 CFR Parts 260 through 265. Tribal rules can be found at 45 CFR Part 286. Guidance for the submittal of applications for the Emergency Fund, was accomplished through issuance of a Policy Announcement and Program Instruction, including reporting forms approved under the Paperwork Reduction Act.

Regional or Local Office:

None. Region I (CT, MA, ME, NH, RI, VT):

Carol Monteiro, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Boston Regional Office, JFK Building, Rm. 2000, Boston, MA 02203. Telephone: (617) 565-2462.

Region II (NJ, NY):

Frank Ceruto, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 133.

Region III (DC, DE, MD, PA, VA, WV):

Eileen Friedman, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4058.

Region IV (AL, FL, GA, KY, MS, NC, SC, TN):

LaMonica Shelton, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Atlanta Regional Office, 61 Forsyth Street, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (404) 562-2938.

Region V (IL, IN, MI, MN, OH, WI):

Thomas Schindler, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (312) 886-9540.

Region VI (AR, LA, NM, OK, TX):

Larry Brendel, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Dallas Regional Office, 1301 Young Street, Room 945, Dallas, TX 75202. Telephone: (214) 767-6236.

Region VII (IA, KS, MO, NE):

Gary Allen, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Kansas City Regional Office, Rm. 349, 601 E 12 St., Kansas City, MO 64106. Telephone: (816) 426-2236.

Region VIII (CO, MT, ND, SD, UT, WY)

Kisha Russell, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Denver Regional Office, Byron G. Rogers Federal Office Building, 1961 Stout Street, Ninth Floor, Denver, CO 80294. Telephone: (303) 844-1483.

Region IX (AZ, CA, HI, NV):

Julie Fong, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-7579.

Region X (AK, ID, OR, WA):

Frank Shields, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Seattle Regional Office, 701 Fifth Avenue, Suite 1500, M/S 71, Seattle, WA 98104. Telephone (206) 615-2569.

Headquarters Office:

Susan Golonka Office of the Director, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 330 C Street SW, Washington, District of Columbia 20201 Email: Susan.Golonka@acf.hhs.gov Phone: 202-401-4731 Fax: 202-205-5887

Website Address:

<http://www.acf.hhs.gov/programs/ofa/>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.594 Tribal Work Grants; 93.595 Welfare Reform Research, Evaluations and National Studies; 93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund; 93.667 Social Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.718 HEALTH INFORMATION TECHNOLOGY REGIONAL EXTENSION CENTERS PROGRAM**FEDERAL AGENCY:**

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Section 3012(c) of the Public Health Service Act (PHSA), as added by Section 13301 within Title XIII in Division A of the American Recovery and Reinvestment Act of 2009, Public Law 111-5 (ARRA).

OBJECTIVES:

Establish Health Information Technology Regional Extension Centers to identify and disseminate best practices and provide technical assistance supporting the adoption and meaningful use of health IT to improve care quality while protecting patient privacy. The statutory objective of the regional centers is to enhance and promote the adoption of health information technology through means specified in PHSA 3012(c)(3).

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds may be used for the initial and operating expenses incurred in establishing and operating a regional center that provides technical assistance services to providers seeking to adopt, implement, and/or meaningfully use certified electronic health records (EHR) technology. The assistance supported under this health information technology extension program, and carried out by regional centers, is technical assistance and consulting service to providers. None of the funds appropriated or otherwise made available in ARRA may be used by a state or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. (ARRA Sec. 1604).

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Applications are solicited by a program announcement. The availability of this announcement will be broadly published, including by posting on www.grants.gov.

Award Procedure:

Following merit review of applications, those applicants whose applications have been selected by the Secretary of HHS (or designee) for funding will receive a Notice of Award signed by an HHS Grants Management Officer. The Notice of Award, which is sent to the applicants Authorized Organizational Representative, is the only official notification of award. Unsuccessful applicants will be advised by letter.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: PHS 3012(c)(5) states that the Secretary may not provide more than 50 percent of the capital and annual operating and maintenance funds required to create and maintain such a center, except in an instance of national economic conditions which would render this cost-share requirement detrimental to the program and upon notification to Congress as to the justification to waive the cost-share requirements. The Secretary has determined that there are national economic conditions which would render the 50 percent cost share requirement to be detrimental to the program and has provided such notification to Congress. Instead, the Secretary has structured a funding partnership between HHS and recipients, as indicated in the Program Announcement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

PHSA 3012(c)(5), as added by ARRA, specifies that the Secretary may provide financial support to any regional center created under PHS 3012(c) for a period not to exceed 4 years. Awards are expected to be for one, 4-year budget period and a four year project period, with a 90/10 matching requirement across all 4 years. See the following for information on how assistance is awarded/released. Subject to meeting performance requirements. See the following for information on how assistance is awarded/released: Subject to meeting performance requirements.

Reports:

Program report requirements, if applicable, will be identified in the Program Announcement. The Federal Cash Transaction Report is due after the end of each quarter (January 30, April 30, July 30, and October 30). Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. ARRA reports will be required quarterly. For purposes of reporting, ARRA recipients must also report on ARRA sub-recipient (sub-grantees and sub-contractors under the cooperative agreement) activities. Reporting requirements will be detailed in the program announcement and included in award notices. The frequency of interim progress reports and financial status reports will be identified in the program announcement and will be submitted no later than 30 days following the end of the reporting period to which they pertain. The Federal Financial Report (FFR-425) is due within 90 days after the end of the applicable 12-month period (January 30). ARRA reporting and agency programmatic reporting provisions will require awardees to evaluate performance in view of stated objectives.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant records for a minimum of 3 years from the submission date of the final financial report. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until the completion of the action and resolution of all issues which arise from it or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0130-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 Not Separately Identifiable; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Awards for regional centers are expected to average \$8.5M.

TAFS Codes:

75-X-1-3-1.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 2 CFR 200, 45 CFR Part 75 and the HHS Grants Policy Statement located at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>. There are no program regulations.

Regional or Local Office:

None.

Headquarters Office:

Office of the National Coordinator for Health Information Technology 330 C Street, SW Suite 1100, Washington, District of Columbia 20201 Email: regional-center-applications@hhs.gov Phone: 202-690-7151

Website Address:

<http://healthit.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific, detailed criteria for determining merit of applications will be made available in the program announcement(s) for this program. In general, all applicants are required to demonstrate capability to achieve the goals, purposes, and objectives of the program consistent with applicable statutory provisions.

93.719 ADVANCE INTEROPERABLE HEALTH INFORMATION TECHNOLOGY SERVICES TO SUPPORT HEALTH INFORMATION EXCHANGE

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act (ARRA) of 2009, Division A, Title XIII, HITECH Act, Section 3013.

OBJECTIVES:

The Advance Interoperable Health IT Services to Support Health Information Exchange Cooperative Agreement Program will leverage investments and lessons learned from the previous State Health Information Exchange Cooperative Agreement Program (State HIE Program) to rapidly build capacity for the interoperable exchange of health information across the entire care continuum both within and across states while moving toward nationwide

interoperability. Grantees will seek to improve care coordination and enhance communication among both clinical and non-clinical care providers across the entire care continuum by: 1) Expanding the adoption of health information exchange technology tools, services, and policies that enable interoperable exchange; 2) Facilitating and enabling send, receive, find, and use capabilities to access health information from external sources and incorporate into care provider workflows; 3) Increase integration of health information in interoperable health IT to support care processes and decision making.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

This Funding Opportunity Announcement (FOA) uses funds remaining from the State HIE Program to increase the adoption and use of interoperable health IT tools and services to support the interoperable exchange of health information and enable send, receive, find, and use capabilities in a manner that is appropriate, secure, timely, and reliable for both senders and receivers. This FOA was a full and open competition with twelve (12) awards being made in the form of cooperative agreements to states and/or state designated entities (SDE) to continue under the same intent as the original State HIE Program of enabling nationwide health information exchange across the care continuum and improving care coordination and transitions of care. This FOA will allow grantees to leverage the existing operational HIE infrastructure to expand the use of interoperable exchange tools and services to improve transitions of care and care coordination, thus furthering federal, state, and/or community health reform efforts. None of the funds appropriated or otherwise made available in ARRA may be used by a state or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. (ARRA Sec. 1604).

Applicant Eligibility:

Either a State, Territory, or State Designated Entity was eligible to apply.

Beneficiary Eligibility:

None.

Credentials/Documentation:

State governments and Qualified State-Designated Entities were eligible to apply. The program required a letter from the Governor establishing the Qualified State Designated Entity, where applicable. Documentation was to be provided in accordance with the requirements of 2 CFR 200.2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications were solicited by a program announcement. The availability of this announcement was broadly published, including by posting on www.grants.gov.

Award Procedure:

Following objective review of applications, those applicants whose applications have been selected by the Secretary of HHS (or designee) for funding are to receive a Notice of Award signed by an HHS Grants Management Officer. The Notice of Award, which is sent to the applicants Authorized Organizational Representative, is the only official notification of award. Unsuccessful applicants will be advised by letter.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The applicants match requirement is \$1 for every \$3 federal dollars. In other words, for every three dollars received in federal funding, the applicant must contribute at least one dollar in non-federal resources toward the programs total cost. For example, if \$100,000 in federal funds is requested for the period of performance, then the minimum match requirement is \$100,000/3 or \$33,333. In this example the programs total cost would be \$133,333.

If the required non-federal share is not met by the grantee, ONC will disallow any unmatched federal dollars. Demonstration of this match will be shown in annual federal financial reports. In preparing the application budget, applicants should consider these cost-sharing requirements and account for a match on their best estimate of expenditures.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are expected to be for a two (2) year period of performance. See the following for information on how assistance is awarded/released: Subject to meeting performance requirements.

Reports:

ONC Program Progress Reports (PPR) are due quarterly and must include the reporting elements referenced in 2 CFR 200.328 as applicable. ONC will provide guidance on format and further instructions on reporting before the reports are due. The grantee must include in the PPR how the specific activities tie back to the intrastate and interstate funding streams. Recipients are required to cooperate with the ONC-directed evaluation activities, including, but not limited to reporting standardized performance measures and milestones on a regular basis to ensure that the project is meeting program objectives (milestones vs. projections); and, participating in ONC-led formal assessments, such as site visits and/or teleconferences with the assigned Project Officer. Federal Financial Report is due 30 days after the end of each quarter (January 30, April 30, July 30, and October 30). ONC Program Progress Reports (PPR) are due quarterly and must include the reporting elements referenced in 45 CFR 75.342 as applicable. ONC will provide guidance on format and further instructions on reporting before the reports are due. The awardee must include in the PPR how the specific activities tie back to the intrastate and interstate funding streams. Recipients are required to cooperate with the ONC-directed evaluation activities, including, but not limited to reporting standardized performance measures and milestones on a regular basis to ensure that the project is meeting program objectives (milestones vs. projections); and, participating in ONC-led formal assessments, such as site visits and/or teleconferences. Additional information on the specific performance evaluation criteria and milestones will be provided at a later date. Federal Financial Report (FFR 425) is due within 90 days after the end of the applicable 12-month period (January 30). Reports will be developed by ONC staff prior to award of funds. Federal Financial Cash Transaction Report. Reports will be developed by ONC Project Officer prior to award of the funds. Federal Financial Cash Transaction Report. Reports will be developed by ONC Project Officer prior to award of the funds.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant records for a minimum of 3 years from the submission date of the final financial report. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until the completion of the action and resolution of all issues which arise from it or until

the end of the regular 3-year period, whichever is later.

Account Identification:

75-0130-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 Not Separately Identifiable; FY 17 est \$0; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Average of each award is estimated at \$2.47M.

TAFS Codes:

75-X-1-3-1.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 2 CFR 200 and 45 CFR Part 75.

Regional or Local Office:

None.

Headquarters Office:

Larry Jessup, MHA 330 C Street, SW
Suite 7033A, Washington, District of Columbia 20201 Email:
larry.jessup@hhs.gov Phone: 202-720-2861

Website Address:

<http://www.healthit.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific, detailed criteria for determining merit of applications was made available in the funding opportunity guidance document(s) for this program. In general, all applicants were required to demonstrate capability to achieve the goals, purposes, and objectives of the program consistent with applicable statutory provisions.

93.721 ARRA - HEALTH INFORMATION TECHNOLOGY PROFESSIONALS IN HEALTH CARE

Health Information Technology Education (HITE)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Section 3016 of the Public Health Service Act (PHSA), as added by Section 13301 within Title XIII in Division A of the American Recovery and Reinvestment Act (ARRA) of 2009, Public Law 111-5.

OBJECTIVES:

PHSA 3016, as added by the Recovery Act, directs the Secretary to provide assistance to institutions of higher education (or consortia thereof) to establish or expand health informatics education programs, including certification, undergraduate, and masters degree programs, for both health care and information technology students and incumbent health care workers to ensure the rapid and effective utilization and development of health information technologies (in the United States health care infrastructure).

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Activities for which assistance may be provided include the following: update health information technology (health IT) instructional training material previously developed under the Curriculum Development Centers cooperative agreement program. Updates will address five areas: population health, care coordination, new care delivery and value based payment models, patient centered care and health care data analytics. After the updates are complete, grantees will train approximately 6,000 incumbent health care workers in

team-based care environments.

Applicant Eligibility:

Financial assistance to institutions of higher education, or consortia thereof, to rapidly develop and sustain a health information technology workforce.

Beneficiary Eligibility:

Financial assistance to institutions of higher education, or consortia thereof, to rapidly develop and sustain a health information technology workforce.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedures will be specified in individual funding opportunity announcements. Applicants may consult with the office or official designated as the contact in the funding opportunity announcement for additional information on the application procedures.

Award Procedure:

Following merit review of applications, those applicants whose applications have been selected for funding will receive a Notice of Award signed by an HHS Grants Management Officer. The Notice of Award, which is sent to the applicants Authorized Organizational Representative, is the only official notification of award. Unsuccessful applicants will be advised by letter.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards were made for a program period of 2 years. Individual programs' funding opportunity announcements should be consulted for specific information. See the following for information on how assistance is awarded/released: Via Payment Management System (PMS).

Reports:

Program reports, if required, will be identified in individual funding opportunity announcements. A Federal Cash Transaction Report is due 30 days after the end of each quarter (January 30, April 30, July 30, and October 30). Progress and financial reporting requirements will be identified in individual funding opportunity announcements. In addition, recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. ARRA reports will be required quarterly. The Federal Financial Report (FFR-425) is due within 90 days after the end of the applicable 12-month period (January 30). Performance monitoring, if required, will be identified in individual funding opportunity announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant records for a minimum of 3 years from the submission date of the final financial report. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until the completion of the action and resolution of all issues which arise from it or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0130-0-1-551.

Obligations:

(Project Grants (Discretionary)) FY 16 Not Separately Identifiable; FY 17 est \$0; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Awards made to 7 organizations in the amount of \$966,436 each.

TAFS Codes:

75-X-1-3-1.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR part 74 and the HHS Grants Policy Statement located at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm> and ARRA terms and conditions: <http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>

Regional or Local Office:

None.

Headquarters Office:

Office of the National Coordinator for Health Information Technology 330 C Street, SW, Washington, District of Columbia 20201 Phone: 202-690-7151

Website Address:

<http://healthit.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific, detailed criteria for determining the merit of applications are available in the program-specific funding opportunity announcements for this program. In general, all applicants were required to demonstrate capability to achieve the goals, purposes, and objectives of the program consistent with applicable statutory provisions.

93.727 BEACON COMMUNITIES - COMMUNITY HEALTH PEER LEARNING PROGRAM

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act (ARRA) of 2009, Division A, Title XIII, Part HITECH Act, Section 3011, Public Law 111-5.

OBJECTIVES:

The Community Health Peer Learning Program will provide funding to a single awardee to: 1) Leverage and build upon health care delivery and practice transformation programs introduced through the Beacon Community Program; 2) Engage 15 communities addressing health challenges at the population level through a community-based collaborative approach; and 3) Identify data

solutions, accelerate community progress and disseminate local learning to communities through the development of shared learning resources around population health challenges.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Awarded communities must use the funding provided under this program to support health IT and information exchange infrastructure and implement performance measurement, quality improvement, and care coordination programs to achieve improvements in health care quality, safety, efficiency, and population health. None of the funds appropriated or otherwise made available in ARRA may be used by any state or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. (ARRA Sec. 1604).

Applicant Eligibility:

The lead applicant must be a US-based non-profit organization or state, local, tribal or territorial government entity. Private providers and insurers will be encouraged to participate in the consortia.

Beneficiary Eligibility:

The identification and dissemination of best practices and lessons learned will directly benefit organizations and communities advancing health information technology and exchange. The knowledge attained by these awarded communities will, in turn, benefit the nations communities as a whole.

Credentials/Documentation:

If an applicant is a US-based non-profit entity it must provide documentation of its 501C (3) status or IRS determination letter, IRS tax exemption certificate, or letter from state taxing body verifying tax-exempt status. If the proposal is on behalf of a consortium, there must be letters of commitment from all members of the consortium which include their tax status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from Executive Order 12372.

Applications are solicited by a program announcement. The availability of this announcement will be broadly published, including by posting on www.grants.gov.

All timely applications from eligible entities that conform to the requirements of the program announcement will be forwarded to a merit review committee which will make funding recommendations, based on the merits of the applications. The selection of awardees will be made by the Secretary of HHS, or designee.

Award Procedure:

Following objective review of applications, those applicants whose applications have been selected by the Secretary of HHS (or designee) for funding will receive a Notice of Award signed by an HHS Grants Management Officer. The Notice of Award, which is sent to the applicants Authorized Organizational Representative, is the only official notification of award. Unsuccessful applicants will be advised by letter.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. There is no match required for this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards will be made for a 24 month project period. See the following for information on how assistance is awarded/released: Via Payment Management System (PMS).

Reports:

Program report requirements, if applicable, will be identified in the Program Announcement. The Federal Cash Transaction Report is due 30 days after the end of each quarter (January 30, April 30, July 30, and October 30). Progress report requirements, if applicable, will be identified in the Program Announcement. Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. ARRA reports will be required quarterly. Reporting requirements for ARRA programs can be found at: <http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>. The Federal Financial Report (FFR-425) is also due within 90 days after the end of the applicable 12-month period (January 30). Applicants will submit annual progress reports as outlined in the Program Announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain records for a minimum of 3 years from the submission date of the final financial report. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until the completion of the action and resolution of all issues which arise from it or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0130-0-1-551.

Obligations:

(Salaries) FY 16 Not Available; FY 17 est \$0; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Award in the amount of \$2,226,818 to a single Community Health Peer Learning Program awardee granted in Fiscal Year 2015.

TAFS Codes:

75-X-1-3-1.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 2 CFR Part 200, 45 CFR Part 75, and the HHS Grants Policy Statement located at: <http://www.hhs.gov/asrt/og/grantinformation/hhsgps107.pdf>. There are no program regulations.

Regional or Local Office:

None. Attn: Community Health Peer Learning Program Manager.

Headquarters Office:

Office of the National Coordinator for Health Information Technology 330 C Street, SW, Washington, District of Columbia 20201 Phone: 202-690-7151

Website Address:

<http://healthit.gov>

RELATED PROGRAMS:

93.718 Health Information Technology Regional Extension Centers Program;
93.719 ARRA - State Grants to Promote Health Information Technology

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific, detailed criteria for determining the merit of applications will be made available in the program announcements for this program. In general, all applicants are required to demonstrate capability to achieve specific and measurable health outcomes and health care efficiency objectives consistent with health IT enabled performance monitoring, feedback, practice redesign, and care coordination.

93.728 ARRA - STRATEGIC HEALTH IT ADVANCED RESEARCH PROJECTS (SHARP)

(SHARP)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Public Law 111-5) (Recovery Act), Title XIII, Part A, Section 3011.

OBJECTIVES:

To competitively-awarded cooperative agreements to establish Strategic Health IT Advanced Research Projects (SHARP). The awardees will conduct research focusing on where breakthrough advances are needed to address well-documented problems that have impeded adoption of health IT and to accelerate progress towards achieving nationwide meaningful use of health IT in support of a high-performing, learning health care system.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

ONC expects to award four cooperative agreements to support establishment of Strategic Health IT Advanced Research Projects (SHARP) sites for a project period of four years. Each such research site will have a two-part mission:

- (1) to implement a collaborative, interdisciplinary program of research addressing a specific focus area from the list provided below, addressing short-term as well as long-term challenges; and
- (2) to develop and implement a cooperative program between researchers, patient groups, health care providers, and other health IT sector stakeholders to transition the results of research into practice.

Each site will focus on areas where breakthrough improvements are needed to address well-documented problems that have impeded adoption of health IT and thereby accelerate progress on the pathway towards achieving the goals outlined in the strategic plan for health IT developed by the National Coordinator pursuant to PHSA Section 3001(c)(3) as added by the Recovery Act, specifically including the nationwide achievement of meaningful use of health IT to achieve transformational improvement of the health care system.

Each site will implement a collaborative, inter-disciplinary research program addressing one of the specific focus area(s) listed below. An eligible entity may, apply for funding in separate applications to establish more than one site, and thus it is possible a single eligible entity (institution) may receive more than one award under this program. Regardless of the total number of institutions funded to establish sites under this program, it is expected that the all of the awardees will collaborate with each other, as there will be numerous points of intersection among the sites' agendas.

Within its focus (listed below) area, each site will carry out at least the following set of activities:

Initially define and subsequently update or redefine on a yearly basis, the key issues and research challenges within their respective area(s) of focus. The research areas identified will be those that significantly address barriers and solutions to achieving widespread adoption and meaningful use of health IT.

Projects to address the identified issues will be mapped to either a short-term (< 2 years) or long-term (4 years) timeframe. Because this is a cooperative agreement, recipients shall plan and allow for collaboration with ONC and other federal staff with relevant expertise as identified or approved by ONC in establishing and updating the definition of key issues and research challenges in the sites focus area;

Conduct ambitious research addressing these key issues and challenges. This work will draw on the scientific methods and expertise of researchers and practitioners in diverse fields;

Collect specific, uniform data about the research activities and track progress toward milestones;

Facilitate practical and efficient processes that enable translation of research into health care and public health innovations, facilitating the transition of multidisciplinary research outcomes into new healthcare products and services both the short- and long-term;

Partner with industry to rapidly transfer short term results of this research into health IT products;

Publish and otherwise disseminate these research findings, preferably in open source journals that maximize the accessibility of this knowledge to the entire health IT community

Participate in an external evaluation; and

Select desired, measurable outcomes specific to the chosen research issue and methods for attaining results.

Research focus areas

In no particular order, the four foci for research identified below will be addressed by this program. The areas have been assigned numbers for ease of reference. Appendix B provides further detail about each of these areas.

1. **Security of Health Information Technology** This research area addresses the challenges of developing security and risk mitigation policies and the technologies necessary to build and preserve the public trust as health IT systems become ubiquitous.

This research area is responsive to paragraphs (1), (4), (5), and (6) of the PHSA 3011(a), as added by the Recovery Act, through the following activities:

Promoting the development and implementation of health information technology solutions that will support the electronic exchange and use of health information in a secure, private manner;

Promoting the development and implementation of technologies and best practices that enhance the protection of health information by all holders of individually identifiable health information;

Enhancing the capabilities and functionalities of tools to support privacy and security of individually identifiable health information as it is exchanged and used; and,

Supporting and enhancing the assurance of privacy needed providers and patients widespread acceptance of telemedicine and robust participation in clinical data repositories and registries.

2. **Patient-Centered Cognitive Support** This research area addresses the challenge of harnessing the power of health IT so that it integrates with, enhances and supports clinicians reasoning and decision making, rather than forcing them into a mode of thinking that is natural to machines but not to people.

PHSA 3011(a), as added by the Recovery Act, directs the support of health IT architecture that will support the use of health information in an accurate manner. Additionally, paragraphs (3) and (5) of the same subsection of the statute authorize use of funding appropriated under PHSA Section 3018, as added by the Recovery Act, to disseminate training and information on best practices to integrate health IT into a providers delivery of care, and to promote the use of clinical data repositories and registries. All of these paragraphs ((1), (3), and (5) of PHSA 301 I(a) as added by the Recovery Act) point to longstanding challenges that require new and creative research related to the accurate and effective use of electronic health information to enhance the safety, quality, efficacy, and thus the overall value of care.

3. **Healthcare Application and Network Platform Architectures** This research area focuses on the development of architectures that are necessary to achieve electronic exchange and use of health information in a secure, private and accurate manner.

PHSA 3011(a)(1), as added by the Recovery Act, authorizes support of health IT architecture that will support the nationwide electronic exchange and use of health information in a secure, private, and accurate manner. This research focus specifically supports the development of new and improved architectures and technology infrastructure needed to achieve the goals outlined in the plan for health IT by the National Coordinator in support of PHSA 3001(c)(3), as added by the Recovery Act, and to support measures for determination of meaningful use of health IT by providers over time (required by Social Security Act (SSA) Sections 1848(o) and 1866(n), as added by Title IV in Division B of the Recovery Act).

4. **Secondary Use of EHR Data** This research area focuses on strategies to enhance the use of health IT in improving the overall quality of health care.

PHSA 3011(a), as added by the Recovery Act, states that the Secretary shall invest in the infrastructure necessary to allow for and promote the electronic exchange and use of health information for each individual in the United States consistent with the goals outlined in the strategic plan developed by the National Coordinator. PHSA 3001(c)(3), as added by the Recovery Act, directs that the National Coordinator shall, in consultation with other appropriate Federal agencies (including the National Institute of Standards and Technology), update the Federal Health IT Strategic Plan (developed as of June 3, 2008) to include specific objectives, milestones, and metrics with respect to a specific set of topic areas. The current Federal Health IT Strategic Plan (developed as of June 3, 2008), as referenced in the statute, advances secondary use as a key mode of information technology use to transform health care. This is consistent with, and will be updated and expanded upon pursuant to the direction of PHSA 3001(c)(3)(i), (vii) and (viii), as added by the Recovery Act, to add to the updated strategic plan such objectives, milestones, and metrics with respect to:

(Reference: PHSA 3001(c)(3)(i)) the electronic exchange and use of health information and the enterprise integration of such information.

(Reference: PHSA 3001(c)(3)(vii)) strategies to enhance the use of health IT in improving the quality of health care, reducing medical errors, reducing health disparities improving public health, increasing prevention and coordination with community resources, and improving the continuity of care among health care settings.

(Reference: PHSA 3001(c)(3)(viii)) the specific plans for ensuring that populations with unique needs, such as children, are appropriately addressed in the technology design, as appropriate.

Applicant Eligibility:

Any entity submitting an application for this award must be a U.S.-based: public or private institution of higher education; or other public or private institution or organization with a research mission.

Beneficiary Eligibility:

This Funding Opportunity Announcement (FOA) will result in new competitively-awarded cooperative agreements to establish Strategic Health IT Advanced Research Projects (SHARP). The awardees will conduct research focusing on where breakthrough advances are needed to address well-documented problems that have impeded adoption of health IT and to accelerate progress towards achieving nationwide meaningful use of health IT in support of a high-performing, learning health care system.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedures will be specified in individual program announcements. Applicants should consult with the office or official designated as the contact for additional information on the application procedures.

Award Procedure:

Following objective review of applications, those applicants whose applications have been selected by the Secretary of HHS (or designee) for funding will receive a Notice of Award signed by an HHS Grants Management Officer. The Notice of Award, which is sent to the applicants Authorized Organizational Representative, is the only official notification of award. Unsuccessful applicants will be advised by letter.

Deadlines:

Jan 25, 2010

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

ONC expects to award four cooperative agreements to support establishment of Strategic Health IT Advance Research Projects (SHARP) for a project period of four years. See the following for information on how assistance is awarded/released: PHS 3012(c)(5), as added by ARRA, specifies that the Secretary may provide financial support to any regional center created under PHS 3012(c) for a period not to exceed 4 years. Awards are expected to be for two, 2-year budget periods and a four year project period. The Funding Opportunity Announcement provides additional details on how assistance is awarded/released.

Reports:

Reporting and Registration Requirements under Section 1512 of the Recovery Act:

(a) This award requires the recipient to complete projects or activities which are funded under the Recovery Act and to report on use of Recovery Act funds provided through this award. Information from these reports will be made available to the public.

(b) The reports are due no later than ten calendar days after each calendar quarter in which the recipient receives the assistance award funded in whole or in part by the Recovery Act.

(c) Recipients and their first-tier recipients must maintain current registrations in the Central Contractor Registration (www.ccr.gov) at all times during which they have active federal awards funded with Recovery Act funds. A Dun and Bradstreet Data Universal Numbering System (DUNS) Number (www.dnb.com) is one of the requirements for registration in the Central Contractor Registration.

(d) The recipient shall report the information described in Section 1512(c) using the reporting instructions and data elements that will be provided online at www.FederalReporting.gov and ensure that any information that is pre-filled is corrected or updated as needed.

The frequency of interim progress reports and financial status reports will be identified in the program announcement and will be submitted no later than 30 days following the end of the reporting period to which they pertain. The final progress report and final financial status report are due no later than 90 days after the end of the project period. ARRA reporting and agency programmatic reporting provisions will require awardees to evaluate performance in view of stated objectives. No cash reports are required. The awardee shall submit annual progress reports related to their projects and overall site performance. A specific Performance Report format will be finalized between the awardee and ONC following the award date.

In accepting an ONC award, the recipient assumes legal, financial, administrative, and programmatic responsibility for administering the award in accordance with the terms and conditions of the award, as well as applicable laws, rules, regulations, and Executive Orders governing HHS assistance awards, all of which are incorporated into this award by reference. Failing to comply with these requirements may result in suspension or termination of the award and/or ONC's recovery of award funds.

Quarterly Financial and Programmatic Reporting: Consistent with the Recovery Acts emphasis on accountability and transparency, reporting requirements under Recovery Act programs will differ from and expand upon HHS standard reporting requirements for grants and cooperative agreements. In particular, Section 1512(c) of the Recovery Act sets out detailed requirements for quarterly reports that must be submitted within 10 days of the end of each calendar quarter.

Recovery Act-Required Performance Measures - To assist in fulfilling the accountability objectives of the Recovery Act, as well as the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, applicants who receive funding under this program must provide data that measure the results of their work. Expenditure reports are not applicable. The awardee shall submit annual progress reports related to their projects and overall Center performance. A specific Performance Report format will be finalized between the awardee and ONC following the award date.

In accepting an ONC award, the recipient assumes legal, financial, administrative, and programmatic responsibility for administering the award in accordance with the terms and conditions of the award, as well as applicable laws, rules, regulations, and Executive Orders governing HHS assistance awards, all of which are incorporated into this award by reference. Failing to comply with these requirements may result in suspension or termination of the award and/or ONC's recovery of award funds.

Quarterly Financial and Programmatic Reporting: Consistent with the Recovery Acts emphasis on accountability and transparency, reporting requirements under Recovery Act programs will differ from and expand upon HHS standard reporting requirements for grants and cooperative agreements. In particular, Section 1512(c) of the Recovery Act sets out detailed requirements for quarterly reports that must be submitted within 10 days of the end of each calendar quarter.

Recovery Act-Required Performance Measures - To assist in fulfilling the accountability objectives of the Recovery Act, as well as the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, applicants who receive funding under this program must provide data that measure the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The recipient shall comply with audit requirements of OMB Circular A-133. Information on the scope, frequency, and other aspects of the audits can be found on the Internet at www.whitehouse.gov/omb/circulars;

Records:

Grantees are required to maintain grant records for a minimum of 3 years from the submission date of the final financial report. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until the completion of the action and resolution of all issues which arise from it or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0130-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Awards will be in the form of a cooperative agreement with a 4-year project period. Under this type of award, ONC will work collaboratively with each site to accomplish the goals of the award. Each cooperative agreement will anticipate a total budget of between \$10 million and \$18 million over the full 4-year project period.

Range and Average of Financial Assistance:

Awards may range from \$10,000,000 - \$18,000,000.
Four awards each \$15,000,000 were awarded in FY 2010.

TAFS Codes:

75-X-1-3-1.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 74 and the HHS Grants Policy Statement located at:
<http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>. There are no program regulations.

Regional or Local Office:

None.

Headquarters Office:

Avinash Shanbhag (SHARPN & SMART); Alicia Morton (SHARPC) & Will Phelps (SHARPS) 200 Independence, SW, Washington, District of Columbia 22209 Email:
avinash.shanbhag@hhs.gov; alici.morton@hhs.gov; will.phelps@hhs.gov Phone: (202) 690-7151

Website Address:

<http://healthit.gov/policy-researchers-implementers/strategic-health-it-advanced-research-projects-sharp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific, detailed criteria for determining the merit of applications will be made available in the program announcements for this program. In general, all applicants are required to demonstrate capability to achieve the goals, purposes, and objectives of the program consistent with applicable statutory provisions.

93.732 MENTAL AND BEHAVIORAL HEALTH EDUCATION AND TRAINING GRANTS

Behavioral Health Workforce Education and Training (BHWET)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Sections 750 and 756 of the Public Health Service Act (42 U.S.C. 294e-1).

OBJECTIVES:

The purpose of the BHWET Program is to develop and expand the behavioral health workforce serving populations across the lifespan, including in rural and medically underserved areas. The BHWET Program places special emphasis on establishing or expanding internships or field placement programs in behavioral health that include interdisciplinary training for: 1) students/interns, 2) faculty, and 3) field supervisors to provide quality behavioral health services to communities in need. There are two tracks for this Notice of Funding Opportunity (NOFO): 1) BHWET Professional Track and 2) BHWET Paraprofessional Certificate Track.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Professional Track

Stipend Support Activity. At least 60 percent of a grant recipients overall requested budget (direct and indirect costs) must be used for stipends to students in field placement or internships, according to guidelines specified in the NOFO.

Administration and Management of the Program. No more than 40 percent of funding may be dedicated to grant recipient activities for (1) development of interprofessional training for students/interns, faculty, and field supervisors; (2) development of field placements/internships in integrated behavioral health into primary care; (3) financial support for the supervision of students and interns in field placement/internship; (4) Student/intern support costs including health insurance, travel, attendance at professional conferences, preparation for licensing exams, licensees, and other allowable trainee-related expenses; (5) program administration and management; and (6) data collection

Paraprofessional Certificate Track

Student Support Activity. At least 70 percent of a grant recipients overall requested budget (direct and indirect costs) must be used for student support activities (i.e., tuition, fees, and supplies), as specified in the NOFO.

Administration and Management of the Program. Up to 30 percent of the overall requested budget may be dedicated to grant recipient activities for (1) development of experiential field placement; (2) creation of additional slots beyond current program capacity in a paraprofessional certificate program(s) to expand the number of students trained with a focus on working with persons in rural, vulnerable, and/or underserved communities; (3) career development in behavioral health for paraprofessionals to encourage career progression; (4) financial support for the supervision of students in experiential field placement; (5) administration and management; and (6) data collection. Both Tracks - Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

An eligible institution may submit up to two applications one under the Professional Track and one under the Paraprofessional. Eligible institutions may not submit two applications for either the Professional Track or Paraprofessional Track.

Applicant Eligibility:

Professional Track

Accredited institutions of higher education or accredited behavioral health professional training programs in behavioral pediatrics, social work, school social work, substance use disorder prevention and treatment, marriage and family therapy, occupational therapy, school counseling, or professional counseling. Programs must require a pre-degree clinical field placement in behavioral health as part of the training and a prerequisite for graduation.

Accredited schools of masters or doctoral-level training in psychiatry, psychiatric- nursing programs.

APA-accredited doctoral level schools and programs in health service psychology or school psychology programs with a practicum of ten or more hours per week for two semesters, and APA-accredited doctoral internship programs in professional psychology.

Paraprofessional Certificate Track

Behavioral paraprofessional certificate training programs and peer paraprofessional certificate training programs offered by states, political subdivisions of states, Indian tribes and tribal organizations, public or nonprofit private health professions schools, academic health centers, state or local governments, or other appropriate public or private nonprofit entities as determined appropriate by the Secretary.

Entities must offer a certificate to the trainees upon completion of a program in a paraprofessional behavioral health related field (i.e., peer support counselor, community health worker, outreach worker, social services aide, mental health worker, substance abuse/addictions worker, youth worker, promotora), and must include an experiential field placement component.

The certificate should prepare students to apply for state licensure or certification. Students may be new to the field or may be paraprofessionals who

are already practicing and want additional credentials to advance their employability.

Eligible applicant institutions/organizations must be located in the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, the U.S. Virgin Islands, Guam, American Samoa, the Republic of Palau, the Republic of Marshall Islands or the Federated States of Micronesia. Faith-based and community-based organizations, Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

In both the Professional and Paraprofessional Certificate Tracks, students must be enrolled in the school or program receiving the grant award in order to receive stipend and tuition support in the BHWET Program. In addition, students/interns must be U.S. citizens, U.S. nationals, or foreign nationals who possess a visa permitting permanent residence in the United States. Individuals on temporary or student visas are not eligible to participate.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. Applicant institutions are required to include documentation of accreditation in their application. Social work training programs must be accredited by the Council on Social Work Education (CSWE). Doctoral training schools, programs, and internships in psychology must be accredited by the American Psychological Association. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov .

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details. Statutory formulas are not applicable to this program.

If you are applying for funding for doctoral-level psychiatry, psychology or school psychology internships you must document your intent to leverage other resources and related ongoing efforts to align with the Association of Psychology Postdoctoral and Internship Centers (APPIC) stipend level requirements, if appropriate. Refer to latest NOFO for further information.

This program has Maintenance of Effort (MOE) requirements. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a four-year project period. See the following for information on how assistance is awarded/released:

Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0353-0-1-552.

Obligations:

(Project Grants) FY 16 \$43,078,630; FY 17 est \$44,000,000; and FY 18 est \$0 - FY 16 \$43,078,630 (via SAMHSA).

Range and Average of Financial Assistance:

FY 16 Range \$57,142-\$480,000; Average \$307,637.

TAFS Codes:

03-0353.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Nicole Wilkerson, Project Officer, Division of Nursing and Public Health, Bureau of Health Workforce, Health Resources and Services Administration; 5600 Fishers Lane, Room 11N94A, Rockville, Maryland 20857 Email: BHWET@hrsa.gov Phone: 301-443-7759

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.243 Substance Abuse and Mental Health Services_Projects of Regional and National Significance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.733 CAPACITY BUILDING ASSISTANCE TO STRENGTHEN PUBLIC HEALTH IMMUNIZATION INFRASTRUCTURE AND PERFORMANCE FINANCED IN PART BY THE PREVENTION AND PUBLIC HEALTH FUND (PPHF)

Immunization Program 2012 Prevention and Public Health Fund and Other Capacity-Building Activities

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Section 317 of the Public Health Service Act (42 U.S.C. Sections 247b), as amended; and the Patient Protection and Affordable Care Act (PL 111-148), Title IV, Sections 4002, (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs. This program is funded in part by 2012 Prevention and Public Health Funds (PPHF-2012).

OBJECTIVES:

This program will improve the efficiency, effectiveness, and/or quality of immunization practices by strengthening the immunization information technology infrastructure, building capacity for public health department insurance billing, and expanding immunization delivery partnerships so that more children, adolescents, and adults are protected against vaccine-preventable diseases. The specific objectives may include but are not limited to:

1. Develop strategic plans for billing for immunization services in health department clinics;
2. Enhance interoperability between electronic health records (EHRs) and

Immunization Information Systems (IIS) and reception of HL7 standard messages in IIS;

3. Develop a vaccine ordering module in an immunization information system that interfaces with CDC's VTrackS vaccine ordering and management system;
4. Vaccine barcode improvement to improve the system functions to capture data from 2-D barcodes on vaccine vials and syringes as well as improve data capture from the Vaccine Information Statements;
5. Improve vaccine management, storage and handling at provider and grantee level;
6. Use immunization information systems to improve adolescent vaccination coverage;
7. Conduct hepatitis B vaccination pilot to reduce the incidence of acute hepatitis B infection among adults through targeted hepatitis B vaccination of adults who present for medical care in high risk settings or who have behaviors that increase their risk of hepatitis B virus (HBV) infection;
8. Conduct school vaccination assessment evaluation to implement substantial improvements in the quality of kindergarten coverage and exemption data collected at state and local levels while building state and local area capacity;
9. Use immunization information systems to conduct small area analysis of vaccination coverage.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting immunization programs directed toward vaccine-preventable diseases, and for the implementation of other program elements as described in Section 050 above and through CDC allowable and unallowable expenses.

Applicant Eligibility:

Eligibility is limited to the current 64 CDC Immunization Program grantees and some program areas have more specific criteria. Private individuals; private, nonprofit agencies; and Indian tribes are not eligible.

Beneficiary Eligibility:

Any U.S. state, political subdivision and U.S. territories (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds. Costs will be determined in accordance with 2 CFR 225 (OMB Circular No. A-87) for state and local governments. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-110. Allowable costs will be determined in accordance with OMB Circular No. A-87 for non-profit, non-governmental organizations. Applicants must download supplemental application forms from www.Grants.gov. Supplemental applications must be submitted electronically at www.Grants.gov.

If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office, Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone: 770-488-2700, email: PGOTIM@cdc.gov.

HHS/CDC Telecommunications for the hearing impaired: TTY 770-488-2783.

Award Procedure:

All applications that are complete and responsive to competitive supplemental

grant and cooperative agreement announcements will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds under Section 317 of the Public Health Service Act or under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period and 24-month project period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The reporting schedule will be based on the requirements listed in the funding opportunity announcement. Funded applicants must provide a Monthly Progress Report within 30 days after the award and monthly thereafter. An Annual Progress Report is due 90 days after the end of the budget period. Federal Financial Reports (SF 425) and Final Progress Report are due 90 days after the end of the budget period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in the Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audits requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$197,663,255; FY 17 est \$238,718,050; and FY 18 est \$179,878,978 - Future year funding is unknown and subject to the

availability of funds.

Range and Average of Financial Assistance:

Awards will range from approximately \$25,000 to \$900,000 with an average of approximately \$300,000.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available for 45 CFR 92 and HHS Grants Policy statement at <http://www.ahrq.gov/fund/hhsolicy.htm>.

Regional or Local Office:

None.

Headquarters Office:

Ivory Reid 1600 Clifton Road MSA19, Atlanta, Georgia 30333 Email: ibr0@cdc.gov Phone: 4046397824

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.268 Immunization Grants; 93.539 Prevention and Public Health Fund (Affordable Care Act) - Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Immunization and Respiratory Diseases and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements.

CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application Review Information within the individual funding announcement. Applicants will be notified if their application did not meet program requirements.

93.734 EMPOWERING OLDER ADULTS AND ADULTS WITH DISABILITIES THROUGH CHRONIC DISEASE SELF-MANAGEMENT EDUCATION PROGRAMS FINANCED BY PREVENTION AND PUBLIC HEALTH FUNDS (PPHF)

Chronic Disease Self-Management Education Programs

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Title IV, Section 4002 of the Affordable Care Act (Prevention and Public Health Fund).

OBJECTIVES:

The overall purpose of this funding opportunity is to help ensure that evidence-based self-management education programs are embedded into the nations health and long-term services and supports systems.

There are two components of this funding, including:

- 1) Cooperative agreements to states, tribes, and/or other community organizations to provide and sustain evidence-based self-management education programs; and
- 2) Cooperative agreement for a National Chronic Disease Self-Management Education Resource Center.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grant funding could potentially be used for agreed-upon activities associated with the following goals:

- 1) To significantly increase the number of older adults and adults with disabilities in underserved areas and populations who participate in evidence-based self-management education and support programs to empower them to better manage their chronic conditions; and
- 2) To implement innovative funding arrangements, including contracts and collaborations with one or more sustainability partners, to support evidence-based self-management education and support programs during and beyond the grant period. Additionally, embed programs into an integrated, sustainable evidence-based prevention program network via centralized, coordinated processes. The following activities are not fundable: Construction and/or major rehabilitation of buildings, Basic research (e.g. scientific or medical experiments), Continuation of existing projects without expansion, and Pre-award costs.

Applicant Eligibility:

Eligible applicants are domestic public or private non-profit entities including state and local governments, Indian tribal governments and organizations (American Indian/Alaskan Native/Native American), faith-based organizations, community-based organizations, hospitals, and institutions of higher education.

Beneficiary Eligibility:

The ultimate beneficiaries of this funding opportunity are older and disabled adults with chronic conditions residing in States, Tribes and Territories. .

Credentials/Documentation:

Applicants should include letters of commitment from organizations and agencies identified as key collaborators in the proposal. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications for Federal assistance (Non-construction programs) are in accordance with program announcements developed by the Administration for Community Living. Application materials will be published on the Grants.gov website (<http://www.grants.gov>) or the ACL website (www.acl.gov). ACL requires applications for all announcements to be submitted electronically through www.grants.gov.

Award Procedure:

Notification of Award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As specified in guidelines published in the Funding Opportunity Announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants may be made for up to a 3 year project period. Annual or fully funded budget periods maybe awarded subject to the availability of funds.

Method of awarding/releasing assistance: Payment of grant expenses will be through the Payment Management System. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

The ACL program progress report is due semi-annually from the start date of the award and is due within 30 days of the reporting period end date. The final progress report and SF-425 reports are due 90 days after the end of the project period. The suggested content and format for these reports will be available to grantees post award administration. Grantees may also be required to submit OMB approved CDSME data.

Grantees will also be expected to comply with reporting requirements related to the Prevention and Public Health Fund, which will be made available to the public. Grantees are required to complete the federal cash transactions portion of the SF-425 within the Payment Managements System. Semi-annual progress reports will be required 30 days of the reporting period end date, and final progress report will be required 90 days after the end of the project period. Grantees required reports should reflect their progress in meeting program goals, as applicable. Grantees are required to complete the federal cash transactions portion of the SF-425 within the Payment Managements System. Semi-annual progress reports will be required. The PPHF requires quarterly reporting on cash expenditures. Grantees will be expected to develop a quality assurance plan including performance indicators and a process for ongoing performance monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be maintained for 3 years after submission of the final financial report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Salaries) FY 16 \$8,000,000; FY 17 est \$8,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

\$677,590-\$850,000 for program grants (FY 2017)
\$1M for Resource Center (FY 2017).

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All grantees must comply with all requirements specified for grants funded through the Prevention and Public Health fund. Grantees also must comply

with polices outlined in HHS Grants Policy Statement located at <http://www.hhs.gov/asfr/ogapa/grantinformation/hhsgps107.pdf>

Regional or Local Office:

None. Kristie Kulinski, U.S. Department of Health and Human Services, Administration for Community Living, Administration on Aging, 330 C Street, SW, Washington, District of Columbia 20201
Email: kristie.a@acl.hhs.gov
Phone: (202) 795-7379.

Headquarters Office:

Kristie Kulinski, U.S. Department of Health and Human Services Administration for Community Living 330 C Street, SW, Washington, District of Columbia 20201 Email: kristie.kulinski@acl.hhs.gov Phone: 202-795-7379

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.048 Special Programs for the Aging Title IV and Title II Discretionary Projects

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements will be awarded on a competitive basis. Specific details for evaluating applications are published as part of the Funding Opportunity Announcement.

93.735 STATE PUBLIC HEALTH APPROACHES FOR ENSURING QUITLINE CAPACITY FUNDED IN PART BY PREVENTION AND PUBLIC HEALTH FUNDS (PPHF)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Consolidated Appropriations Act, Fiscal Year 2012, Public Law 112-74, for the Departments of Labor, Health and Human Services, and Education and the Department of Interior and Related Agencies; and the Consolidated and Further Continuing Appropriations Act, Fiscal Year 2012, Public Law 112-55 for the United States Department of Agriculture, and Related Agencies. Title IV Section 4002 Prevention and Public Health Fund.

OBJECTIVES:

As part of the overall effort to reduce the burden of chronic diseases and chronic disease risk factors, the Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion (NCCDPHP), Office on Smoking and Health (OSH) announces the opportunity to apply for funds to ensure and support state quitline capacity, in order to respond to upcoming federal initiatives such as the National Tobacco Education Campaign. <http://www.cdc.gov/tobacco>. This program addresses the Healthy People 2020 focus area of tobacco use and the goal of reducing illness, disability, and death related to tobacco use and secondhand smoke exposure. Preventing tobacco use and helping tobacco users quit can improve the health and quality of life for Americans of all ages. People who stop smoking greatly reduce their risk of disease and premature death. Benefits are greater for people who stop at earlier ages, but quitting tobacco use is beneficial at any age. This FOA will be a new, 2-year cooperative agreement for all states and territories that currently have a quitline. Funds will be used for the following:

- Address the anticipated increase in calls
- Expand capacity and eligibility to ensure all callers receive some form of assistance
- Increase efficiencies of quitline operations, such as demonstrating how they will connect to or incorporate an interactive voice recording system (IVR) at the state or federal level
- Incorporate technological enhancements to provide additional forms of assistance to callers who want to quit
- Expand paid and earned media to promote the quitline and increase quit attempts

Develop and/or implement private/public partnerships or other strategies to sustain quitline capacity.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Of the \$22 million dollars will be used to support intensive community approaches to chronic disease prevention and control described in Section 5.50 above. The use of and use restrictions are as follows:
Recipients may not use funds for research.
Recipients may not use funds for clinical care.
Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.
Awardees may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.
The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.
Reimbursement of pre-award costs is not allowed.
Funds will not be used to supplant existing state funding for quitlines
A maximum of 10% of funds may be spent on Nicotine Replacement Therapy (NRT), and NRT cannot be provided to any individual for greater than two weeks using these funds.

Applicant Eligibility:

Eligible applicants that can apply for this funding opportunity are listed below: Eligibility is limited to currently funded recipients under RFA-DP09-901 and RFA-DP09-902. These include state, District of Columbia, and the U.S. territorial health departments of Guam and Puerto Rico because they are the only entities with the authority to prevent and control tobacco use and which provide quitline services within the states and territories. State and territorial health departments are also uniquely qualified to address the anticipated increase in calls to quitlines due to federal media education campaigns, while expanding capacity and eligibility to ensure all callers receive some form of assistance. These agencies are also uniquely qualified to promote the quitline, increase quit attempts, and increase public and private partnerships to ensure quitline sustainability.

Beneficiary Eligibility:

Any State and territorial health department, and other public entities will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is covered under OMB Circular No. A-102. Applicants must download the SF424 application forms through Grants.gov/Apply. Only the forms package directly attached to a specific Funding Opportunity Announcement (FOA) can be used. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office Technical Information Management Section (PGOTIMS) staff. For this, or further assistance, contact PGO TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov. HHS/CDC Telecommunications for the hearing impaired: TTY 770-488-2783.

Award Procedure:

Eligible applicants are required to provide measures of effectiveness that will demonstrate the accomplishment of the various identified objectives of the DP12-1214PPHF12.. Measures of effectiveness must relate to the performance goals stated in the Purpose section of this announcement. Measures of effectiveness must be objective, quantitative and measure the intended outcome of the proposed program. The measures of effectiveness must be included in

the application and will be an element of the evaluation of the submitted application. All applications that are complete and responsive to this competitive funding opportunity announcement will be evaluated for programmatic and technical merit. Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Chronic Disease Prevention and Health Promotion and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants were notified the application did not meet submission requirements. Technical reviews will be conducted on complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement DP12-1214PPHF12. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the availability of funding under the Public Health Prevention Fund.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

until June 24, 2014. See the following for information on how assistance is awarded/released: Notice of Award.

Reports:

Each funded applicant must provide CDC with an annual Interim Progress Report submitted via www.grants.gov:

1. The interim progress report is due no less than 120 days before the end of the budget period. The Interim Progress Report will serve as the non-competing continuation application, and must contain the following elements:

- a. Standard Form (SF) 424S Form.
- b. SF-424A Budget Information-Non-Construction Programs.
- c. Budget Narrative.
- d. Indirect Cost Rate Agreement.
- e. Project Narrative.

Additionally, funded applicants must provide CDC with an original, plus two hard copies of the following reports: Annual progress report, due 90 days after the end of the budget period. Information needed in an annual report includes:

- 1 Progress towards objectives.
- 2 Successes.
- 3 Barriers encountered.
- 4 Technical Assistance and training requested.

Final performance and Federal Financial Reports (SF 425)*, no more than 120 days after the end of each budget year. Cash reports are not applicable. Each funded applicant must provide CDC with an annual Interim Progress Report submitted via www.grants.gov:

1. The interim progress report is due no less than 120 days before the end of the budget period. The Interim Progress Report will serve as the non-competing continuation application, and must contain the following elements:

- a. Standard Form (SF) 424S Form.
- b. SF-424A Budget Information-Non-Construction Programs.
- c. Budget Narrative.
- d. Indirect Cost Rate Agreement.
- e. Project Narrative.

Additionally, funded applicants must provide CDC with an original, plus two hard copies of the following reports: Annual progress report, due 90 days after the end of the budget period. Information needed in an annual report includes:

1 Progress towards objectives.

2 Successes.

3 Barriers encountered.

4 Technical Assistance and training requested.

Final performance and Federal Financial Reports (SF 425)*, no more than 120 days after the end of each budget year. Federal Financial Reports (SF 425)*, no more than 120 days after the end of each budget year. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$15,395,970; FY 17 est \$15,395,971; and FY 18 est \$0

Range and Average of Financial Assistance:

\$50,000-\$2,771,803.

TAFS Codes:

75-00-2012-2013-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

www.cdc.gov/tobacco

Regional or Local Office:

None.

Headquarters Office:

Karla Sneegas 4770 Buford Hwy. NE, Mailstop K-50

, Atlanta, Georgia 30341 Email: KSneegas@cdc.gov Phone: 770-488-1221

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be reviewed on the following criteria.

- I. Executive Summary
- II. Background and Need
- III. Program Infrastructure
- IV. Program Plan : Quitline Capacity, Media, and/or Public and Private Partnerships Recipient Activities
- V. Monitoring and Evaluation
- VI. Budget- Should be included in application appendices

Other criteria will be listed in individual funding opportunity announcements.

93.738 PPHF: RACIAL AND ETHNIC APPROACHES TO

COMMUNITY HEALTH PROGRAM FINANCED SOLELY BY PUBLIC PREVENTION AND HEALTH FUNDS

Racial and Ethnic Approaches to Community Health (REACH)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 301(a) and 317(k)(2) Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) and Title IV Section 4002 of the Affordable Care Act (PPHF).

OBJECTIVES:

The purpose of the proposed FY2012 REACH program is to support the implementation of projects to reduce racial and ethnic health disparities. Program activities will focus on prevention of chronic diseases and conditions across multiple identified health areas, including: cardiovascular disease, diabetes, breast and cervical cancer, infant mortality, asthma, and child and adult immunizations. The program will build upon the body of knowledge from previous REACH programs. The program is designed to fund national organizations and community-based organizations to implement sustainable evidence- and practice-based strategies in targeted populations. Plans for FY2012 advance REACHs past and successes, provide rigorous evaluation and ensure that the practice-based evidence expands. More specific goals within these broad goal areas will be provided in funding announcements.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support intensive approaches to chronic disease prevention and control described in Section 0.50 above. The use of and use restrictions are as follows:

Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease.

Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Recipients may not use funds for construction.

Applicant Eligibility:

Applications may be submitted by State or local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau). Eligible applicants also include public and private nonprofit organizations, for profit organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith-based organizations, Federally recognized Indian tribal governments, Indian tribes, and Indian tribal organizations. Additional guidance may be provided in individual program announcements.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program. Additionally, colleges, universities, private non-profit and public nonprofit domestic organizations, research institutions, and faith-based organization, states, political subdivisions of states, local health authorities, and individuals or organizations with specialized health interests will benefit.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74,

Subpart Q. This program is covered under OMB Circular No. A-87. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Pre-application coordination is not required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Award Procedure:

An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 5 years with a 12 month budget period. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period is for five years with a 12-month budget period. See the following for information on how assistance is awarded/released: Notice of Award.

Reports:

performance reports are required 90 days after the end of a project period. Cash reports are not applicable. Annual or semiannual progress reports are required. and. Final financial status required 90 days after the end of a project period. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review

by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$34,298,752; FY 17 est \$23,243,874; and FY 18 est \$0 - This program was extended with funds budget/project period will end 2018.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 74.

Regional or Local Office:

None.

Headquarters Office:

Sandee Coulberson 4770 Buford Highway, NE., Mailstop K30, Atlanta, Georgia 30333 Email: scoulberson@cdc.gov Phone: 404-498-3058

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.739 PPHF: CHRONIC DISEASE INNOVATION GRANTS - FINANCED SOLELY BY PUBLIC PREVENTION HEALTH FUNDS

National Diabetes Prevention Program Evidence-Based Lifestyle Intervention to Prevent Type 2 Diabetes in Underserved Communities

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under the Patient Protection and Affordable Care Act of 2010, 4201 (P.L. 111-148) and title IV Section 4002 of the Affordable Care Act (PPHF).

OBJECTIVES:

National Diabetes Prevention Program Evidence-Based Lifestyle Intervention to Prevent Type 2 Diabetes in Underserved Communities - The purpose of the program is to expand the National Diabetes Prevention Program, an evidence-based lifestyle change program in populations at high-risk for developing type 2 diabetes (African American; American Indian/Alaska Native; Hispanic/Latino, Low Social Economic Status; Women with a history of Gestational Diabetes). The CDC-led National Diabetes Prevention Program (National DPP) is a public-private partnership of community organizations, private insurers, employers, health care organizations, and government agencies working to deliver the type 2 diabetes prevention lifestyle change program. It is an innovative example of linking the community and clinical sectors to cost-effectively improve health outcomes. The National DPP is focused on aligning incentives since third-party payers reimburse for health outcomes. Economic studies indicate that lifestyle change programs (costing about \$400

per person) are cost effective and even cost saving by reducing the cost of treating diabetes and its complications prevented by the program. These favorable costs are the result of employing a lower cost workforce, delivering the program in groups, and consistency in program content. The award recipients will be expected to develop, implement, market, and evaluate a comprehensive plan for scaling the National DPP lifestyle change program in select communities. The awardees will identify and engage organizations and/or employers that have capacity to offer the National DPP lifestyle change program and facilitate the establishment of the National DPP lifestyle change program, in collaboration with these organizations and/or employers. Awardees will also work with insurance companies to reimburse organizations offering the National DPP lifestyle change program through this cooperative agreement and work with employers and insurers to offer the National DPP lifestyle change program using pay for performance model. Also, they may work with employers to offer the National DPP lifestyle change program as a covered benefit for employees. The awardees will be expected to develop, implement and evaluate marketing and communications strategies to promote the National DPP lifestyle change program, educate health care providers about the program and encourage provider referrals to National DPP lifestyle change programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support scaling the National DPP lifestyle change programs in select communities described in Section 050 above. The use of and use restrictions are as follows:

Recipients may not use funds for research.

Recipients may not use funds for the provision of health care services.

Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Recipients may not use funds for construction.

Applicant Eligibility:

Eligible Applicants: Nonprofits with 501(c)(3) IRS Status (Other than Institutions of Higher Education); For-Profit Organizations; Small, minority, and women-owned businesses; Indian/Native American Tribal Governments (Federally Recognized); Hospitals; Regional Organizations; Faith-based or Community-based Organizations.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program. More specifically, populations at high-risk for developing type 2 diabetes (African American; American Indian/Alaska Native; Hispanic/Latino, Low Social Economic Status; Women with a history of Gestational Diabetes) will benefit from the objectives of this program.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the methods of operation, describe the evaluation procedures and provide a budget justification for funds requested. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No.

A-102 applies to this program; OMB Circular No. A-110 applies to this program; 45 CFR Part 74 applies to this program for hospitals, other nonprofit organizations, and commercial organizations; 45 CFR Part 92 applies to this program for awards for tribal governments.

Award Procedure:

All eligible applications will be initially reviewed for completeness by the Procurement and Grants Office (PGO) staff. Incomplete applications will not advance through the review process. Applicants will be notified if the application did not meet eligibility and/or published submission requirements. An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Subject to satisfactory performance as documented through semi-annual progress reports, Project Officer oversight documentation and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods is for 4 years with 12-month budget periods. See the following for information on how assistance is awarded/released: Assistance is awarded/released as Notice of Award.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0094-3-1-550.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - The specific amount of funding per award will be determined by proposed activities, reach, burden of disease, quality of application, and likelihood of success.

Successful applicants serving populations within the same geographic area may

be expected to communicate with other successful applicants, to prevent duplication of effort. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal government.

FY13-funding for this project was completed with Basic Appropriation and another CFDA was assigned to support FY13.

Range and Average of Financial Assistance:

This CFDA was used for a FOA that was funded with PPHF.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92 and 74

Regional or Local Office:

None.

Headquarters Office:

Debra Toress 4770 Buford Hwy, NE, MS K10, NCCDPHP, Atlanta, Georgia 30333 Email: djt4@cdc.gov Phone: 770-488-1097 Fax: 770-488-8550

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be funded in order by score and rank determined by the review panel. Other criteria will be listed in the funding opportunity announcement.

93.742 PPHF: EARLY CHILDCARE AND EDUCATION OBESITY PREVENTION PROGRAM - OBESITY PREVENTION IN YOUNG CHILDREN - FINANCED SOLELY BY PUBLIC PREVENTION AND HEALTH FUNDS

Early Care and Education Statewide Collaboratives to Improve Nutrition, Breastfeeding Support, Physical Activity and Screen Time Practices for Obesity Prevention in Young Children

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Title IV Section 4002 Prevention and Public Health Fund Section.

OBJECTIVES:

This program is an obesity prevention effort targeting the early care and education setting (ECE) to reach most children in the U.S. to reverse obesity trends through helping ECE providers across the nation to adopt healthier policies and practices around physical activity and nutrition, including limiting screen time and supporting breastfeeding.

​The ECE setting includes child care centers, day care homes (also known as family child care), Head Start and pre-kindergarten programs, including both formal and informal arrangements.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support approaches to chronic disease prevention and

control described in Section 050 above. The use and use restrictions are as follows:

Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease. Uses and Use Restrictions: Funds may be used to support approaches to chronic disease prevention and control described in Section 050 above. The use and use restrictions are as follows:

Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease.

Recipients may not use funds for research

Recipients may not use funds for clinical care.

Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Recipients may not use for any kind of impermissible lobbying activity designed to influence proposed or pending legislative matters. These restrictions include grass roots lobbying efforts and direct lobbying. Certain activities within the normal and recognized executive-legislative relationships within the executive branch of that government are permissible.

- Recipients are subject to PPHF reporting requirements.

Applicant Eligibility:

Selected applicant under initial solicitations are eligible applicants for future years.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q, 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 5 years with 12 month budget periods. Applications must be obtained from Grants Management Officer,

Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770 488-2700).

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period is for 5 years with 12 month budget periods. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Annual or semiannual progress reports are required. Interim reporting requirements may be delineated in the FOA. Final financial status and performance reports are required 90 days after the end of a project period. Interim reporting requirements may be delineated in the FOA. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0094-3-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$3,796,359; FY 17 est \$3,294,900; and FY 18 est \$0 - Project Period ends 9/29/2017. Program was extended for one year with funds project/budget period will end 9/2018.

Range and Average of Financial Assistance:

est \$4,000,000.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 Code of Federal Regulations (CFR) Part 74 and PHS Grants Policy Statement No. 94-50.000 (Revised) April 1, 1994. Any other regulations governing this program are published in the application kit and identified on the notices of award.

Regional or Local Office:

None. Meredith Reynolds.

Headquarters Office:

Linda Barnes 4770 Buford Hwy NE, MS F77, Atlanta, Georgia 30341 Phone: 770-488-6042

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants must be able to demonstrate the health impact of the program on the intervention population. Monitoring of the impact of the programs is mandated under Section 4201(c) (4) of the ACA, which requires that eligible entities must use funding to conduct activities to measure changes in the prevalence of chronic disease. This may take the form of an assessment of one or more of the eight outcome measures in the intervention population at the beginning, in the interim and at the end of the project period. Any additional criteria will be listed in individual funding opportunity announcements.

93.743 RACIAL AND ETHNIC APPROACHES TO COMMUNITY HEALTH: OBESITY AND HYPERTENSION DEMONSTRATION PROJECTS FINANCED SOLELY BY PREVENTION AND PUBLIC HEALTH FUNDS

Racial and Ethnic Approaches to Community Health (REACH)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 301(a) and 317(k)(2) Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) and Title IV Section 4002 Prevention and Public Health Fund.

OBJECTIVES:

The purpose of the proposed FY2012 REACH program is to support the implementation of projects to reduce racial and ethnic health disparities. Recipients funded through this FOA will develop and implement replicable and scalable strategies to assure that population-wide policy, systems, and environmental (PSE) changes to reduce obesity and hypertension also decrease health disparities in these morbid outcomes in their jurisdiction. The program will build upon the body of knowledge from previous REACH programs. The program is designed to fund organizations that have a partnership with a local community-based organization, a local public health department (or tribal organization), and a university/academic institution. Plans for FY2012 advance and expand REACHs past program successes and ensure that the practice-based evidence expands.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support intensive approaches to chronic disease prevention and control described in Section 0.50 above. The use of and use restrictions are as follows:

Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease.

Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Recipients may not use funds for construction.

Applicant Eligibility:

Applications may be submitted by State or local governments or their Bona

Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau). Eligible applicants also include public and private nonprofit organizations, for profit organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith-based organizations, Federally recognized Indian tribal governments, Indian tribes, and Indian tribal organizations. Additional guidance may be provided in individual program announcements.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program. Additionally, colleges, universities, private non-profit and public nonprofit domestic organizations, research institutions, and faith-based organization, states, political subdivisions of states, local health authorities, and individuals or organizations with specialized health interests will benefit.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. This program is covered under OMB Circular No. A-87. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is covered under OMB Circular No. A-102. This program is covered under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. The standard application forms must be used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-110 for nongovernmental applicants.

Award Procedure:

An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 5 years with a 12 month budget period. Applications must be obtained from Grants Management Officer,

Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period is for five years with a 12-month budget period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Annual or semiannual progress reports are required. Final financial status and performance reports are required 90 days after the end of a project period. Final financial status reports are required 90 days after the end of a project period. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records: Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This is a 36-month budget/project period all funding received in FY12

This program ended in 9/29/2015.

Range and Average of Financial Assistance:

Range and Average of Financial Assistance: Expected: 1,500,000 4,000,000.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 74.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Rebecca Bunnell 4770 Buford Hwy, NE, MS K40, NCCDPHP, Atlanta, Georgia 30333 Email: rrb7@cdc.gov Phone: 770-488-2524 Fax: 770-488-5964

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be funded to ensure the inclusion of a range of geographic

area, types of strategies and outcome measures, communities of varying sizes, and inclusion of populations and areas with a high burden of chronic diseases. Applicants are required to demonstrate a partnership with a local community-based organization, local public health department (or tribal organization), and a university/academic partner. Applications may be selected to ensure that populations and geographic areas with the highest burden of disease and/or health disparities are reached. Additional criteria will be listed in individual funding opportunity announcements.

93.744 PPHF: BREAST AND CERVICAL CANCER SCREENING OPPORTUNITIES FOR STATES, TRIBES AND TERRITORIES SOLELY FINANCED BY PREVENTION AND PUBLIC HEALTH FUNDS

Breast and Cervical Cancer Screening Opportunities through the National Breast and Cervical Cancer Early Detection Program (NBCCEDP) - PPHF Funds (DP12-1218)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Consolidated Appropriations Act, Fiscal Year 2012, Public Law 112-74, for the Departments of Labor, Health and Human Services, and Education and the Department of Interior and Related Agencies; and the Consolidated and Further Continuing Appropriations Act, Fiscal Year 2012, Public Law 112-55 for the United States Department of Agriculture, and Related Agencies. Title IV Section 4002 Prevention and Public Health Fund.

OBJECTIVES:

The purpose of this FOA is to enhance and leverage existing organized systems for breast and cervical cancer screening to provide high quality screening with tracking and follow-up including patient navigation to low income, uninsured and under-insured women. This FOA will leverage the existing infrastructure and organized delivery system of the NBCCEDP.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support breast and cervical cancer screening, detection and follow-up as described in Section 0.50 above.

Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease. The use of and use restrictions are as follows:

Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Recipients may not use funds for construction.

Applicant Eligibility:

Eligibility is limited to the currently funded states or their bona fide agents, tribal and territorial recipients under CDC-RFA-DP12-1205 . Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program. Additionally states, tribes and territories funded under DP12-1205 will benefit from this program.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. This program is covered under OMB Circular No. A-87. 2 CFR 200,

Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is covered under OMB Circular No. A-102. This program is covered under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. The standard application forms must be used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-110 for nongovernmental applicants.

Award Procedure:

A technical review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 1 year with a 12 month budget period. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period is for 1 year with a 12-month budget period. Assistance is awarded through Payment Management System (PMS). Method of awarding/releasing assistance: lump sum.

Reports:

Annual or semiannual progress reports are required. Final financial status and performance reports are required 90 days after the end of a project period. Final financial status reports are required 90 days after the end of a project period. Annual or semiannual progress reports are required. Final performance reports are required 90 days after the end of a project period. Final financial status reports are required 90 days after the end of a project period. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This component will not be funding with PPHF.

Range and Average of Financial Assistance:

Expected Approximately: \$15,000 - \$700,000 (estimated based on availability of funds).

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: \$0 - Funds not available. Fiscal Year 2017: N/A. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92.

Regional or Local Office:

None.

Headquarters Office:

Faye L Wong, 4770 Buford Highway, Mailstop F76, Atlanta, Georgia 30341
Email: FWong@cdc.gov Phone: (770) 488-4880 Fax: (770) 488-3230.

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be funded to ensure that women are screened for breast and cervical cancer with priority on low-income, under-served women in priority populations and will ensure that high quality breast and cervical cancer screening services are assured consistent with current clinical guidelines/protocols for screening, follow-up and referral to treatment. Applicants are required to demonstrate their ability to achieve screening targets utilizing the existing breast and cervical cancer screening programs in their jurisdictions. Additional criteria will be listed in individual funding opportunity announcements.

93.745 PPHF: HEALTH CARE SURVEILLANCE/HEALTH STATISTICS SURVEILLANCE PROGRAM ANNOUNCEMENT: BEHAVIORAL RISK FACTOR SURVEILLANCE SYSTEM FINANCED IN PART BY PREVENTION AND PUBLIC HEALTH FUND

Behavioral Risk Factor Surveillance System (BRFSS)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Section 301 of the Public Health Service Act (as amended)..

OBJECTIVES:

The purpose of this program is to provide assistance to State and Territorial Health Departments to maintain and expand:

1) Specific health surveillance using telephone and multi-mode survey methodology for the behaviors of the general population that contribute to the occurrences and prevention of chronic diseases, injuries, and other public health threats;

2) The collection, analysis, and dissemination of BRFSS data to State and Territorial Health Department Categorical Programs for their use in assessing trends, directing program planning, evaluating program priorities, developing policy, and targeting relevant population groups.

Specifically, this program will:

A. Add questions specifically on health care access and use to the 2013 and 2014 Behavioral Risk Factor Surveillance System (BRFSS) questionnaires to measure the effect of ACA on the population;

B. Increase the BRFSS landline sample size to restore the number of completed interviews achieved to 2011 levels. BRFSS programs should develop plans for increasing their sample size of their 2013 surveys which will increase the number of completed interviews achieved which will increase the precision of estimates in small areas and sub-populations;

C. Increase the proportion of cell phone interviews completed on the 2013 BRFSS survey to maintain coverage and validity achieving at least a 25% completed interview rate by cell phone mode.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, implementing and data collecting surveillance information through the BRFSS for adding health care access and use questions to the 2013 BRFSS survey, and for implementing other program elements as described in Section 050 above and through CDC allowable and unallowable expenses.

(Are there restrictions upon the use of the assistance? Yes □ Not Applicable. (If you select yes, you must describe the restrictions)

Restrictions on Funding:

Section 503(a) No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, electronic communication, radio, television, or video presentation designed to support or defeat the enactment of legislation before the Congress or any State or local legislature itself, or designed to support or defeat any proposed or pending regulation, administrative action, or order issued by the executive branch of any State or local government itself.

No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive Order proposed or pending before the Congress or any State Government, State Legislature, or Local Legislative body, other than normal and recognized executive-legislative relationships or participation by an agency or officer of a State, local or tribal government in policymaking and administrative processes within the executive branch of that government.

The prohibitions in subsections (1) and (b) shall include any activity to advocate or promote any proposed, pending, or future Federal, State, or local tax increase, or any proposed, pending, or future requirement or restriction on any legal consumer product, including its sale and marketing, including but not limited to the advocacy or promotion of gun control.

Sec. 218. None of the funds made available in this title may be used, in whole or in part, to advocate or promote gun control.

Sec. 253. Notwithstanding any other provision of this Act, no funds appropriated in this Act shall be used to carry out any program of distributing sterile needles or syringes for the hypodermic injection of illegal drugs.

Sec. 738. None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to any corporation that was convicted (or had an officer or agent of such corporation acting on behalf of the

corporation convicted) of a felony criminal violation under any Federal or State law within the preceding 24 months, where the awarding agency is aware of the conviction, unless the agency has considered suspension or debarment of the corporation, or such officer or agent, and made a determination that this further action is not necessary to protect the interests of the Government.

Sec. 739. None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that any unpaid Federal tax liability that had been assessed, for which judicial and administrative remedies have been exhausted or have parsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, where the awarding agency is aware of the unpaid tax liability, unless the agency has considered suspension or debarment of the corporation and made a determination that this further action is not necessary to protect the interests of the Government.

Section 433. None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, made a grant to, or provide a loan or loan guarantee to, any corporation that was convicted (or had an officer or agent of such corporation acting on behalf of the corporation convicted) of a felony criminal violation under any Federal law within the preceding 24 months, where the awarding agency is aware of the conviction, unless the agency has considered suspension or debarment of the corporation, or such officer or agent and made a determination that this further action is not necessary to protect the interests of the Government.

Section 434. None of the funds made available by this Act may be used to enter into a grant to, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation with respect to which any unpaid Federal tax liability that has been assessed for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, unless the agency has considered suspension or debarment of the corporation and made a determination that the suspension or debarment is not necessary to protect the interests of the Government.

Recipients may not use funds for research.

Recipients may not use funds for clinical care and pharmaceutical products.

Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Recipients may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

Reimbursement of pre-award costs is not allowed. Section 503(a) No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, electronic communication, radio, television, or video presentation designed to support or defeat the enactment of legislation before the Congress or any State or local legislature itself, or designed to support or defeat any proposed or pending regulation, administrative action, or order issued by the executive branch of any State or local government itself.

No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive Order proposed or pending before the Congress or any State Government, State Legislature, or Local Legislative body, other than normal and recognized executive-legislative relationships or participation by an agency or officer of a State, local or tribal government in policymaking and administrative processes within the executive branch of that government.

The prohibitions in subsections (1) and (b) shall include any activity to advocate or promote any proposed, pending, or future Federal, State, or local tax increase, or any proposed, pending, or future requirement or restriction on any legal consumer product, including its sale and marketing, including but not limited to the advocacy or promotion of gun control.

Sec. 218. None of the funds made available in this title may be used, in

whole or in part, to advocate or promote gun control.

Sec. 253. Notwithstanding any other provision of this Act, no funds appropriated in this Act shall be used to carry out any program of distributing sterile needles or syringes for the hypodermic injection of illegal drugs.

Sec. 738. None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to any corporation that was convicted (or had an officer or agent of such corporation acting on behalf of the corporation convicted) of a felony criminal violation under any Federal or State law within the preceding 24 months, where the awarding agency is aware of the conviction, unless the agency has considered suspension or debarment of the corporation, or such officer or agent, and made a determination that this further action is not necessary to protect the interests of the Government.

Sec. 739. None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that has an unpaid Federal tax liability that had been assessed, for which judicial and administrative remedies have been exhausted or have passed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, where the awarding agency is aware of the unpaid tax liability, unless the agency has considered suspension or debarment of the corporation and made a determination that this further action is not necessary to protect the interests of the Government.

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Recipients may not use funds for research.

Recipients may not use funds for clinical care and pharmaceutical products.

Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Recipients may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

Reimbursement of pre-award costs is not allowed.

Applicant Eligibility:

Eligibility includes all 50 states, Washington D.C., Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, Palau, and the Federated States of Micronesia, who are currently funded through the Behavioral Risk Factor Surveillance System (BRFSS) Funding Opportunity Announcements (CDC-RFA-SO11-1101 and CDC-RFA-SO11-1102).

Beneficiary Eligibility:

Any U.S. State, political subdivision, and U.S. Territories as described above.

Credentials/Documentation:

Applicants should document a detailed work plan for meeting the objectives of the project, outline their method of operation, describe their evaluation procedures, and provide a budget with justification of funds requested.

Applicants must comply with Administrative Requirements (ARs) outlined in 45 CFR Part 74 or Part 92 as appropriate. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must download the SF424 (R&R) application package associated with this supplemental funding opportunity from www.Grants.gov. If access to the internet is not available or if the applicant encounters difficulty accessing the forms on-line, contact the HHS/CDC Procurement and grants Office Technical Information Management Section (PGOTIMS) staff at (770) 477-2700 for further instructions.

HHS/CDC Telecommunications for the hearing impaired or disabled is available at TTY 1-888-232-6348.

If an applicant encounters technical difficulties with www.Grants.gov, the applicant should contact Grants.gov Customer Service. The Grants.gov Contact Center is available 24 hours a day, 7 days a week, with the exception of all Federal Holidays. The Contact Center provides customer service to the applicant community. The extended hours will provide applicants support around the clock, ensuring the best possible customer service is received any time it is needed. Applicants can reach the Grants.gov Support Center at 1-800-518-4726 or by e-mail at support@grants.gov. Submissions sent by e-mail, fax, CDs or thumb drives of applications will not be accepted.

Award Procedure:

Eligible applications will be jointly reviewed for responsiveness by the National Center for Chronic Disease Prevention and Health Promotion and the CDC Procurement and Grants Office. Incomplete applications and applications that are non-responsive will not advance through the review process. Recipients will be notified in writing of the results.

A technical review panel within the Population Health Surveillance Branch (PHSB), Division of Population Health (DPH), National Center for Chronic Disease Prevention and Health Promotion (NCCDPHP), CDC, will evaluate complete and responsive supplemental applications according to the published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be only binding, authorizing document between the recipient and the CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application. Unsuccessful recipients will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

21-45 days after application submission deadline.

Appeals:

Not Applicable.

Renewals:

Renewals will be based on the conditions in the supplemental funding announcement and are subject to the availability of funds under Section 301 of the Public Health Service Act (as amended) and Section 4002 of the Patient Protection and Affordable Care Act, Public Law 111-148.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period and a 22-month project period. See the following for information on how assistance is awarded/released: Funds will be awarded as a lump sum through a Notice of Award (NoA) from the agency Procurement and Grants Office.

Reports:

Federal Funding Accountability and Transparency Act of 2006 (FFATA):

Public Law 109-282, the Federal Funding Accountability and Transparency Act of 2006 as amended (FFATA), requires full disclosure of all entities and organizations receiving Federal funds including grants, contracts, loans and other assistance and payments through a single publicly accessible web site, www.USASpending.gov. The Web site includes information on each Federal financial assistance award and contract over \$25,000, including such information as:

1. The name of the entity receiving the award
2. The amount of the award
3. Information on the award including transaction type, funding agency, etc.
4. The location of the entity receiving the award
5. A unique identifier of the entity receiving the award; and
6. Names and compensation of highly-compensated officers (as applicable)

Compliance with this law is primarily the responsibility of the Federal agency.

However, two elements of the law require information to be collected and reported by recipients: 1) information on executive compensation when not already reported through the Central Contractor Registry; and 2) similar information on all sub-awards/subcontracts/consortiums over \$25,000.

For the full text of the requirements under the Federal Funding Accountability and Transparency Act of 2006, please view the following web site:

http://frwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=109_cong_bills&docid=f.s2590enr.txt.pdf. Cash reports are not applicable. Federal Funding Accountability and Transparency Act of 2006 (FFATA): Public Law 109-282, the Federal Funding Accountability and Transparency Act of 2006 as amended (FFATA), requires full disclosure of all entities and organizations receiving Federal funds including grants, contracts, loans and other assistance and payments through a single publicly accessible web site, www.USASpending.gov. The Web site includes information on each Federal financial assistance award and contract over \$25,000, including such information as:

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For the full text of the requirements under the Federal Funding Accountability and Transparency Act of 2006, please view the following web site:

http://frwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=109_cong_bills&docid=f.s2590enr.txt.pdf. Federal Funding Accountability and Transparency Act of 2006 (FFATA): Public Law 109-282, the Federal Funding Accountability and Transparency Act of 2006 as amended (FFATA), requires full disclosure of all entities and organizations receiving Federal funds including grants, contracts, loans and other assistance and payments through a single publicly accessible web site, www.USASpending.gov. The Web site includes information on each Federal financial assistance award and contract over \$25,000, including such information as:

1. The name of the entity receiving the award
2. The amount of the award
3. Information on the award including transaction type, funding agency, etc.
4. The location of the entity receiving the award
5. A unique identifier of the entity receiving the award; and
6. Names and compensation of highly-compensated officers (as applicable)

Compliance with this law is primarily the responsibility of the Federal agency.

However, two elements of the law require information to be collected and reported by recipients: 1) information on executive compensation when not already reported through the Central Contractor Registry; and 2) similar information on all sub-awards/subcontracts/consortiums over \$25,000.

For the full text of the requirements under the Federal Funding Accountability and Transparency Act of 2006, please view the following web site:

http://frwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=109_cong_bills&docid=f.s2590enr.txt.pdf. Federal Funding Accountability and Transparency Act of 2006 (FFATA): Public Law 109-282, the Federal Funding Accountability and Transparency Act of 2006 as amended (FFATA), requires full disclosure of

all entities and organizations receiving Federal funds including grants, contracts, loans and other assistance and payments through a single publicly accessible web site, www.USASpending.gov. The Web site includes information on each Federal financial assistance award and contract over \$25,000, including such information as:

1. The name of the entity receiving the award
2. The amount of the award
3. Information on the award including transaction type, funding agency, etc.
4. The location of the entity receiving the award
5. A unique identifier of the entity receiving the award; and
6. Names and compensation of highly-compensated officers (as applicable)

Compliance with this law is primarily the responsibility of the Federal agency.

However, two elements of the law require information to be collected and reported by recipients: 1) information on executive compensation when not already reported through the Central Contractor Registry; and 2) similar information on all sub-awards/subcontracts/consortiums over \$25,000.

For the full text of the requirements under the Federal Funding Accountability and Transparency Act of 2006, please view the following web site:

http://frwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=109_cong_bills&docid=f.s2590enr.txt.pdf.

Audits:

No audits are required for this program.

Records:

Records must follow the Records Management protocols.

Account Identification:

75-0943-0-1-551 - Authorization is: 75-12-0943 Fund No.: 09216020121DBM BACS No.: H008131101.

Obligations:

(Cooperative Agreements) FY 16 \$4,000,000; FY 17 est \$4,107,581; and FY 18 est \$4,107,581 - Future year funding is subject to availability of funds.

Range and Average of Financial Assistance:

Awards will range from approximately \$50,000 through \$125,000 with an average award of approximately \$80,000 for FY12.

Awards will range from approximately \$50,000 through \$100,000 with an average award of approximately \$68,000 for FY13.

TAFS Codes:

75-0943-0-1.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 45 Code of Federal Regulations (CFR) Part 74 or Part 92, as appropriate. For competing supplements, Administrative Regulations (ARs) remain in effect as published in the original funding announcement. For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address:

<http://www.access.gpo.gov/nara/cfr-table-search.html>.

Regional or Local Office:

None.

Headquarters Office:

Selma R. Moore 2500 Century Parkway, Atlanta, Georgia 30345 Email: gwn5@cdc.gov Phone: 4044986558

Website Address:

<http://www.grants.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention Investigations and Technical Assistance; 93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants

Office (PGO) staff and for responsiveness jointly by the National Center for Chronic Disease Prevention and Health Promotion and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements. CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application Review Information within the supplemental funding opportunity announcement. Applicants will be notified if their application did not meet program requirements.

93.747 ELDER ABUSE PREVENTION INTERVENTIONS PROGRAM

Elder Abuse Prevention Intervention Projects

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Section 2042 of the Patient Protection and Affordable Care Act, Section 411 of the Older Americans Act, and Section 4002 of the Affordable Care Act (PPHF).

OBJECTIVES:

To develop, implement, and evaluate successful or promising interventions, practices, and programs to prevent elder abuse, neglect, and exploitation, including adult protective services programs. Through this program, ACL hopes to demonstrate how existing research can be used to craft new, useful programs to address elder abuse, neglect, and exploitation and improve and enhance adult protective services programming.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The use of these funds is to support primary, secondary, and/or tertiary elder abuse, neglect, and exploitation activities, interventions, and programs. (a) state and tribal efforts to develop successful prevention interventions using existing research in order to prevent and detect elder abuse, neglect, and exploitation; (b) building on the scientific and programmatic knowledge base by developing and evaluating new elder abuse, neglect, and exploitation interventions; (c) enhance the quality, consistency, and effectiveness of adult protective services secondary and tertiary prevention activities. The following activities are not fundable: Construction and/or major rehabilitation of buildings, Basic research (e.g. scientific or medical experiments), Continuation of existing projects without expansion, and Pre-award costs.

Applicant Eligibility:

Eligible entities for grants authorized under Section 411 of the Older Americans Act are: domestic public or private non-profit entities including state and local governments, Indian tribal governments and organizations (American Indian/Alaskan Native/Native American), faith-based organizations, community-based organizations, hospitals, and institutions of higher education, are eligible. For grants authorized under Section 2042 of the Patient Protection and Affordable Care Act, state government entities are eligible to apply.

Beneficiary Eligibility:

The ultimate beneficiaries of this funding opportunity are older adults who are at risk of experiencing some form of elder maltreatment.

Credentials/Documentation:

The application must include a letter of commitment from identified partners, with specific details on how the partner will substantively contribute to the achievement of the project's goals and objectives. Letters of intent apply are requested. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application materials will be published on the Grants.gov website (<http://www.grants.gov>) or the AoA website (<http://www.aoa.gov/AoARoot/Grants/Funding/index.aspx>)

).

ACL/AoA is requiring applications for all announcements to be submitted electronically through <http://www.grants.gov>.

Award Procedure:

Merit review of all applications and issuance of a Notice of Award to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Cooperative agreements will be made for up to a 3 year period, depending upon funding availability. Grant extensions are available and may be requested from the grantees Project Officer. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees will also be expected to comply with new reporting requirements related to the Prevention and Public Health Fund. Grantees are required to complete the federal cash transactions portion of the SF-425 within the Payment Managements System as identified in their award documents through the life of the grant. Semi-annual progress reports are required. The fully completed SF-425 is required as denoted in the Notice of Award terms and conditions. Semi-annual progress reports is required. Grantees will be expected to work through AoA project officers to report on data for the purposes of a multi-component evaluation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be maintained for 3 years after submission of the final financial report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$0; FY 17 est \$0; and FY 18 Estimate Not Available - not available.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-12-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All grantees must comply with all requirements specified for grants funded through the Prevention and Public Health fund. Grantees also must comply with polices outlined in HHS Grants Policy Statement located at <http://www.hhs.gov/asfr/ogapa/grantinformation/hhsgps107.pdf>

Regional or Local Office:

None.

Headquarters Office:

Stephanie WhittierEliason Administration on Aging
Administration for Community Living
330 C Street, SW, Washington, District of Columbia 20201 Email:
Stephanie.WhittierEliason@acl.hhs.gov Phone: 202-795-7467

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.048 Special Programs for the Aging_Title IV_and Title II_Discretionary Projects

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements will be awarded on a competitive basis. Specific details for evaluating applications are published as part of the program announcement. Major emphases are: 1) documented need, 2) expected reach , outcomes and impact , 3) organizational capacity, 4) coordination and partnerships, and 5) strong basis in evidence or theory.

93.748 PPHF COOPERATIVE AGREEMENTS FOR PRESCRIPTION DRUG MONITORING PROGRAM ELECTRONIC HEALTH RECORD (EHR) INTEGRATION AND INTEROPERABILITY EXPANSION

PPHF-2012: PDMP EHR Integration and Interoperability (TI-12-011)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

PDMP EHR Integration and Interoperability cooperative agreements are authorized under Section 509 of the Public Health Service Act, as amended, and are financed by 2012 Prevention and Public Health Funds (PPHF-2012). This announcement addresses Healthy People 2020 Substance Abuse Topic Area HP 2020-SA.

OBJECTIVES:

The purpose of this program is to: 1) improve real-time access to PDMP data by integrating PDMPs into existing technologies like EHRs, in order to improve the ability of State PDMPs to reduce the nature, scope, and extent of prescription drug abuse; and 2) strengthen State PDMPs that are currently operational by providing resources to make the changes necessary to increase interoperability of State PDMPs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applicants that have not yet established interoperability or integration of PDMP into EHR systems must propose implementation of both systems to improve the States ability to reduce prescription drug abuse. Applicants must have an existing PDMP or have an operational PDMP by September 30, 2012, in order to receive an award under this program. An operational PDMP is one that is collecting data and responding to authorized requests for reports. If you do not currently have an operational PDMP, you must submit an assurance in Attachment 2 of your application that you will have one by September 30, 2012. Applicants that do not have an operational PDMP by September 30, 2012, will not be considered for an award. Applicants that have already established interoperability with at least one State or have integrated their PDMP into an EHR system must propose expanding interoperability to multiple State PDMPs and improving real-time access to PDMP data by integrating

PDMPs into existing technologies. No more than 10% of the grant award may be used for data collection, performance measurement, and performance assessment expenses.

Applicant Eligibility:

Grant funds will enable States to integrate their PDMPs into EHR and other health information technology systems to expand utilization by increasing the production and distribution of unsolicited reports and alerts to prescribers and dispensers of prescription data. Grant funds will also be used by States to allow for modification of their systems to expand interoperability.

Beneficiary Eligibility:

Eligible applicants are the immediate office of the Chief Executive (e.g., Governor) in the 49 States and 1 U.S. territory (i.e., Guam) that have enacted legislation or regulations that permit the following: implementation of a State PDMP; imposition of appropriate penalties for the unauthorized use and disclosure of information maintained in the program; and ability to share PDMP data (de-identified or anonymized) with CDC for research purposes. Eligibility is limited to States/Territory with enacted PDMP legislation because only these States/Territory have the capability to collect the required information and make that information available to prescribers, dispensers, and under controls, other States. PDMPs that are not actively collecting information cannot link their systems to EHRs or share information with other State PDMPs. Due to State laws establishing PDMPs, privacy, confidentiality, security, and other limitations on PDMPs, PDMP EHR Integration and Interoperability grants are limited to State and applicable territorial government entities.

Credentials/Documentation:

nature of this project: An operational PDMP is one that is collecting data and responding to authorized request for reports. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download the SF-424 application forms through <http://www.samhsa.gov/grants/apply.aspx>.

Only the forms package directly attached to a specific Request for Applications (RFA) can be used.

Award Procedure:

Applications are awarded based on the outcomes of the SAMHSA peer review and CSAT National Advisory Council review, and approval by the Administrator, SAMHSA. Grants are awarded directly by SAMHSA to the applicant organization.

Deadlines:

Jun 28, 2012 to Jul 31, 2012: Application are due by July 31, 2012.

Range of Approval/Disapproval Time:

From 60 to 90 days. September 30, 2012.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

This program will be funded through one-year Prevention and Public Health Funds. Method of awarding/releasing assistance: lump sum.

Reports:

All SAMHSA grantees are required to collect and report certain data so that

SAMHSA can meet its obligations under the Government Performance and Results Modernization Act of 2010 (GPRA).

The following GPRA measures have been established for this program:

Number of providers registered with the PDMP;
Number of physicians, pharmacists, and other healthcare providers using the PDMP;

Number of providers from other states requesting PDMP reports;
Number of unsolicited reports sent to licensure boards and law enforcement (where applicable);

An increase in the number of referrals to substance abuse treatment providers as a result of using the State PDMP;

Percentage of professional partnerships with other healthcare providers that are established (i.e., with substance abuse treatment providers and primary care providers) as a result of using the State PDMP;

Number of unsolicited (proactive) reports sent to practitioners; and
Number of EHR or other health information technology systems that have incorporated PDMP data. No cash reports are required. Grantees must periodically review the performance data they report to SAMHSA (as required above) and assess their progress and use this information to improve management of their grant projects. The assessment should be designed to help you determine whether you are achieving the goals, objectives and outcomes you intend to achieve and whether adjustments need to be made to your project. You will be required to report on your progress achieved, barriers encountered, and efforts to overcome these barriers in a performance assessment report to be submitted at least annually. At a minimum, your performance assessment should include the required performance measures identified above.

Outcome Questions:

What was the effect of intervention on key outcome goals?
What program/contextual/cultural factors were associated with outcomes?
What individual factors were associated with outcomes?

How durable were the effects?

Process Questions:

How closely did implementation match the plan?
What types of changes were made to the originally proposed plan?
What led to the changes in the original plan?
What effect did the changes have on the planned intervention and performance assessment?. No expenditure reports are required. Grantees will be required to report on progress achieved, barriers encountered, and efforts to overcome these barriers in a performance assessment report to be submitted at least annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be retained for at least 3 years; SAMHSA has the right to disallow costs and recover funds on the basis of a later audit or other review; records shall be retained beyond the 3 year period for that reason.

Account Identification:

75-1364-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 -
Multi-year funding for a total of two 12 month incremental periods within a two year/24 month project period.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-1364.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. For questions about program issues contact:

Jinhee J. Lee, Pharm.D.

Project Officer

Division of Pharmacologic Therapies

Center for Substance Abuse Treatment

Substance Abuse and Mental Health Services Administration

1 Choke Cherry Road

Room 7 -1028

Rockville, Maryland 20857

(240) 276-0545

jinhee.lee@samhsa.hhs.gov

For questions on grants management and budget issues contact:

Eileen Bermudez

Office of Financial Resources, Division of Grants Management

Substance Abuse and Mental Health Services Administration

1 Choke Cherry Road

Room 7-1079

Rockville, Maryland 20857

(240) 276-1412

eileen.bermudez@samhsa.hhs.gov.

Headquarters Office:

Roger George 5600 Fisher Lane , Rockville, Maryland 20857 Email:

roger.george@samhsa.hhs.gov Phone: 2402761418

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. EVALUATION CRITERIA

The Project Narrative describes what you intend to do with your project and includes the Evaluation Criteria in Sections A-E below. Your application will be reviewed and scored according to the quality of your response to the requirements in Sections A-E.

In developing the Project Narrative section of your application, use these instructions, which have been tailored to this program.

The Project Narrative (Sections A-E) together may be no longer than 25 pages.

You must use the five sections/headings listed below in developing your Project Narrative. You must place the required information in the correct section, or it will not be considered. Your application will be scored according to how well you address the requirements for each section of the Project Narrative.

Reviewers will be looking for evidence of cultural competence in each section of the Project Narrative, and will consider how well you address the cultural competence aspects of the evaluation criteria when scoring your application. SAMHSAs guidelines for cultural competence can be found on the SAMHSA Web site at <http://www.samhsa.gov/grants/apply.aspx> at the bottom of the page under Resources for Grant Writing.

The Supporting Documentation you provide in Sections F-I and Attachments 1 and 2 will be considered by reviewers in assessing your response, along with the material in the Project Narrative.

The number of points after each heading is the maximum number of points a review committee may assign to that section of your Project Narrative.

Although scoring weights are not assigned to individual bullets, each bullet is assessed in deriving the overall Section score.

Section A: Statement of Need (10 points)

Indicate if your State has established interoperability or integration of your PDMP into EHR systems.

If it has not, discuss the need to implement either system to improve your States ability to reduce prescription drug use. Explain why interoperability is needed and how use and effectiveness will be improved by linking to EHR

systems.

Describe the service gaps, barriers, and other problems related to the need for enhanced interoperability and EHR integration.

Section B: Proposed Approach (30 points)

Describe the purpose of the proposed project, including a clear statement of its goals and objectives. These must relate to the performance measures you identify in Section E, Performance Assessment and Data.

Describe how achievement of goals will expand interoperability and enable PDMP integration into EHR.

Describe the proposed project activities, how they meet your PDMP needs, and how they relate to your goals and objectives. These should align with Section I-2, Expectations.

Provide a chart or graph depicting a realistic time line for the entire project period showing key activities, milestones, and responsible staff. [Note: The time line should be part of the Project Narrative. It should not be placed in an attachment.]

Describe any other organizations that will participate and their roles and responsibilities. Demonstrate their commitment to the project. Include letters from these community organizations in Attachment 1 of your application.

Describe the potential barriers to successful conduct of the proposed project and how you will overcome them.

Describe your plan to continue the project after the funding period ends. Also describe how program continuity will be maintained when there is a change in the operational environment (e.g., staff turnover, change in project leadership) to ensure stability over time.

Describe your plan to analyze other similar initiatives and propose how the activities under this grant may complement and not duplicate these other initiatives, including initiatives supported by the Department of Justice and the Office of the National Coordinator for Health Information Technology at HHS. Attest that activities supported by awards received through this funding opportunity will comply with the Database Requirements found in Section I-2.2 and Appendix G.

Section C: Interoperability and EHR Integration (30 points)

Describe how you will adopt the NIEM PMP specification as the common specification for exchanging PDMP reports with prescriber and dispenser organizations.

Describe how you will adopt ASAP 4.2 or higher as the electronic format for reporting, sharing, and disclosure of information.

Describe how the use of grant funds will expand interoperability to at least eight other State PDMPs, including two geographically bordering States. Include a description of the manner in which the State PDMP will achieve interoperability with other State PDMPs. If you plan on enhancing your systems to provide real-time access or other system upgrades, please specify in your proposal.

Describe how you will integrate PDMPs into emergency department EHRs, primary care EHRs and pharmacy dispensing systems with appropriate privacy protections.

Describe your plan to meet established criteria for the availability of information and limitation on access to program personnel.

Describe your processes and criteria for granting access to the PDMP, and describe procedures that will ensure information in the database is accurate.

Section D: Staff, Management, and Relevant Experience (15 points)

Discuss the capability and experience of the applicant organization and other participating organizations with similar projects and populations.

Provide a complete list of staff positions for the project, including the Project Director and other key personnel, showing the role of each and their level of effort and qualifications.

Discuss how key staff have demonstrated experience and are qualified to carry out grant activities.

Describe the resources available for the proposed project (e.g., facilities, equipment).

Section E: Performance Assessment and Data (15 points)

Document your ability to collect and report on the required performance measures as specified in Section I-2.4 of this RFA. Describe your plan for data collection, management, analysis and reporting. Specify and justify any additional measures you plan to use for your grant project.

Describe how data will be used to manage the project and assure continuous quality improvement. Describe how information related to process and outcomes will be routinely communicated to program staff.

Describe your plan for conducting the performance assessment as specified in Section I-2.5 of this RFA and document your ability to conduct the assessment. NOTE: Although the budget for the proposed project is not a scored review criterion, the Review Group will be asked to comment on the appropriateness of the budget after the merits of the application have been considered.

SUPPORTING DOCUMENTATION

Section F: Literature Citations. This section must contain complete citations, including titles and all authors, for any literature you cite in your application.

Section G: Budget Justification, Existing Resources, Other Support. You must provide a narrative justification of the items included in your proposed budget, as well as a description of existing resources and other support you expect to receive for the proposed project. Be sure to show that no more than 10% of the total grant award will be used for data collection, performance measurement, and performance assessment. Specifically identify the items associated with these costs in your budget. An illustration of a budget and narrative justification is included in Appendix E of this document.

Section H: Biographical Sketches and Job Descriptions.

Include a biographical sketch for the Project Director and other key positions. Each sketch should be 2 pages or less. If the person has not been hired, include a position description and/or a letter of commitment with a current biographical sketch from the individual.

Include job descriptions for key personnel. Job descriptions should be no longer than 1 page each.

Information on what you should include in your biographical sketches and job descriptions can be found in Appendix D of this document.

Section I: Confidentiality and SAMHSA Participant Protection/Human Subjects: You must describe procedures relating to Confidentiality, Participant Protection and the Protection of Human Subjects Regulations in Section I of your application. See Appendix F for guidelines on these requirements.

93.749 PPHF PUBLIC HEALTH LABORATORY INFRASTRUCTURE FINANCED SOLELY BY PREVENTION AND PUBLIC HEALTH FUND

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 317, 42 U.S.C 247b; Patient Protection and Affordable Care Act , Title IV, Section 4002.

OBJECTIVES:

Over the course of the cooperative agreement period, the Association of Public Health Laboratories (APHL) will engage in activities to strengthen public health infectious disease laboratory infrastructure by addressing gaps in public health laboratory practice, and assist with development, implementation and ongoing support of laboratory technologies for use in public health.

The specific objectives may include but are not limited to:

1. Assess public health infectious disease laboratory capabilities and capacities by conducting and analyzing formal and informal surveys and other methodologies.
2. Convene Committees/Task Forces/Workgroups to develop or review guidelines and recommendations on PHL laboratory capacity and quality laboratory practice.
3. Provide training and other resources to address knowledge and capacity gaps.
4. Convene forums to exchange knowledge and build communities of practice and promote the role of public health laboratories in infectious disease control, especially in emerging infectious diseases.
5. Sustain and enhance strategic partnerships with governmental and non-governmental agencies and professional organizations including CDC, Centers for Medicare & Medicaid Services (CMS), Federal Drug Administration (FDA), American Society of Microbiology (ASM), Infectious Disease Society of America (IDSA), American Society for Clinical Pathology (ASCP), ASTHO, CSTE, NACCHO, National Tuberculosis Controllers Association (NTCA), Advisory Council for the Elimination of Tuberculosis (ACET), National Alliance of State and Territorial AIDS Directors (NASTAD), National Coalition of STD Directors (NCSD), and U.S. Department of Defense

to contribute to public policy development and reinforce and expand the role of public health laboratories in infectious disease detection and control.

6. Enhance communication and professional linkages regarding infectious diseases between public health laboratories and clinical and academic laboratories.

7. Provide expert consultation regarding infectious diseases to regulatory agencies and standard setting organizations (e.g., United States Department of Agriculture [USDA], FDA, and CMS).

8. Assure and enhance technology transfer from CDC and other agencies to public health laboratories. Assuring knowledge of appropriate quality control by end users when technology is further transferred to community based organizations involved in infectious disease testing.

9. Provide programmatic and technical support to other focus areas, including policy, emergency preparedness, global health, informatics, and professional development.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting public health laboratory infrastructure programs, and for the implementation of other program elements as described in Section 050 above. CDC's rules on allowable expenses must be followed.

Applicant Eligibility:

Eligibility is limited to the Association of Public Health Laboratories (APHL), a private nonprofit organization and the current grantee. CDC approved single eligibility of this award. This group is the appropriate and only qualified organization to address the activities described under the program announcement.

Beneficiary Eligibility:

Students/trainees and any U.S. state, political subdivision and U.S. territories (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds. Costs will be determined in accordance with 2 CFR 215 (OMB Circular No. A-122). OMB Circular No. A-110 applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply for this funding opportunity use application Form PHS 5161. Application forms and instructions are available on www.grants.gov and/or the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff at 770-488-2700. Application forms can be mailed to you.

Award Procedure:

Successful applicants receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application. Unsuccessful applicants receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds under Section 317 of the Public Health Service Act or under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to five years subject to the availability of funds and satisfactory progress of the grantee. See the following for information on how assistance is awarded/released: Automatic drawdown from Payment Management Service.

Reports:

Each funded applicant must provide CDC with an interim progress reports via www.grants.gov: 1. The first interim progress report is due at mid year and will serve as the non-competing continuation application, and must contain the following elements: a. Standard Form (SF) 424S Form. b. SF-424A Budget Information-Non-Construction Programs. c. Budget Narrative. d. Indirect Cost Rate Agreement. e. Project Narrative. 2. The second report is due no later than 90 days after the end of the budget period. This report should include a detailed description of specific projects and activities conducted, measurable outcomes achieved and public health impact resulting from projects conducted during the reporting period. 3. Annual progress report, due 90 days after the end of the budget period. These reports must be submitted to the attention of the Grants Management Specialist. No cash reports are required. The reporting schedule will be based on the requirements listed in the funding opportunity announcement. Interim progress report are required no less than 90 days before the end of the budget period. The progress report will serve as your non-competing continuation application, and must contain the following elements: current budget period activities objectives, current budget period financial progress, new budget period program proposed activity objectives, budget, additional requested information, and measures of effectiveness. Financial status report and annual progress report, no more than 90 days after the end of the budget period; and Final financial and performance reports, no more than 90 days after the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial and personnel records including financial awards, financial status reports, interim reports, and final reports must be maintained for a period of seven years.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Project Period for the FOA under this CFDA expired 12/31/2015.

Range and Average of Financial Assistance:

Awards will range from approximately \$1 million to \$3 million with an average of approximately \$2 million.

TAFS Codes:

75-2012-2013-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available for 45 CFR 92 and HHS Grants Policy statement at <http://www.ahrq.gov/fund/hhsolicy.htm>

Regional or Local Office:

None.

Headquarters Office:

Annie Harrision-Camacho 1600 Clifton Road, NE
MS - E-94, Atlanta, Georgia 30333 Email: atc4@cdc.gov Phone: 4044986451

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the Office of Surveillance, Epidemiology and Laboratory Services (OSEL) and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements.

CDC conducts a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application Review Information within the individual funding announcement. Applicants will be notified if their application did not meet program requirements.

93.750 PPHF COOPERATIVE AGREEMENT TO SUPPORT NAVIGATORS IN FEDERALLY-FACILITATED AND STATE PARTNERSHIP EXCHANGES

Navigator Grants

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act 1311(i); 45 C.F.R. 155.210 and title IV Section 4002 of the Affordable Care Act establishing the Prevention and Public Health Fund (PPHF).

OBJECTIVES:

Section 1311(i) of the Affordable Care Act authorizes Exchange Navigators in States with a Federally-facilitated Exchange (FFE). Exchanges are required to develop and implement Navigator grant programs. Navigators will serve consumers in States with an FFE, including State Partnership Exchanges. As health reform implementation continues, consumers will benefit from understanding new programs, taking advantage of new protections, and navigating the system to find the most affordable coverage that meets their needs. Exchange Navigators are intended to assist consumers in those areas in the following ways:

Maintain expertise in eligibility, enrollment, and program specifications and conduct public education activities to raise awareness about the Exchange;
Provide information and services in a fair, accurate, and impartial manner. Such information must acknowledge other health programs (e.g., Medicaid, Childrens Health Insurance Program (CHIP));
Facilitate selection of a QHP;
Provide referrals to any applicable office of health insurance consumer assistance or health insurance ombudsman established under Section 2793 of the Public Health Service (PHS) Act, or any other appropriate State agency or agencies, for any enrollee with a grievance, complaint, or question regarding their health plan, coverage, or a determination under such plan or coverage; and
Provide information in a manner that is culturally and linguistically appropriate to the needs of the population being served by the Exchange, including individuals with limited English proficiency, and ensure accessibility and usability of Navigator tools, such as fact sheets, and functions for

individuals with disabilities in accordance with the Americans with Disabilities Act and Section 504 of the Rehabilitation Act.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Use of these funds should be to build a program that supports the following required duties:

Maintain expertise in eligibility, enrollment, and program specifications and conduct public education activities to raise awareness about the Exchange;
Provide information and services in a fair, accurate, and impartial manner. Such information must acknowledge other health programs (e.g., Medicaid, Childrens Health Insurance Program (CHIP));
Facilitate selection of a QHP;

Provide referrals to any applicable office of health insurance consumer assistance or health insurance ombudsman established under Section 2793 of the PHS Act, or any other appropriate State agency or agencies, for any enrollee with a grievance, complaint, or question regarding their health plan, coverage, or a determination under such plan or coverage; and

Provide information in a manner that is culturally and linguistically appropriate to the needs of the population being served by the Exchange, including individuals with limited English proficiency, and ensure accessibility and usability of Navigator tools, such as fact sheets, and functions for individuals with disabilities in accordance with the Americans with Disabilities Act and Section 504 of the Rehabilitation Act. Funds are restricted from being used:

1. To cover the costs to provide direct health care services to individuals.
2. To match any other Federal funds.
3. To provide services, equipment, or support that are the legal responsibility of another party under Federal or State law (e.g., vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.
4. To supplant existing State, local, or private funding of infrastructure or services such as staff salaries, etc.
5. To cover any pre-award costs.
6. To carry out services that are the responsibility of the Exchange, such as eligibility and enrollment determinations, or to carry out any functions already funded through federal Exchange Establishment grants under section 1311(a) of the Affordable Care Act.
7. To assist consumers residing in a State with a State-based Exchange (SBE) or in a State the Navigator does not serve. Navigators may provide these consumers with basic information about Exchanges, but should refer them to Navigators, the Exchange Call Center, and other resources within the State where the consumer resides for more in-depth assistance.

Applicant Eligibility:

Funding through the cooperative agreement is open to self-employed individuals and private and public entities including community and consumer-focused nonprofit groups; trade, industry and professional associations; commercial fishing industry organizations; ranching and farming organizations; chambers of commerce; unions; resource partners of the Small Business Administration; licensed insurance agents and brokers; and other public or private entities. Other entities may include but are not limited to Indian Tribes, tribal organizations, urban Indian organizations, and State or local human services.

Beneficiary Eligibility:

Beneficiaries must propose to serve in a State with a FFE to be eligible to be served by applicants receiving funding through this cooperative agreement.

Credentials/Documentation:

All applicants (individuals, entities, and all members making up a consortium) should submit a brief statement (one or two short paragraphs) within the Project Narrative attesting that they are not one of the following ineligible entities: Health insurance issuers, subsidiaries of health insurance issuers, associations that include members of, or lobbies on behalf of, the insurance industry, or recipients of any direct or indirect consideration from any health insurance issuer in connection with the enrollment of any individuals or employees in a QHP or non-QHP. 2 CFR 200, Subpart E - Cost Principles applies to this

program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 1. All applicants under this announcement must have an Employer Identification Number/Taxpayer Identification Number (EIN/TIN) to apply. Please note, the time needed to complete the EIN/TIN registration process is substantial, and applicants should therefore begin the process of obtaining an EIN/TIN immediately upon posting of this FOA to ensure this information is received in advance of application deadlines.

2. All applicants, as well as sub-recipients must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number at the time of application in order to be considered for a grant or cooperative agreement. A DUNS number is required whether an applicant is submitting a paper application (only applicable if a waiver is granted) or using the Government-wide electronic portal, <http://www.grants.gov>. The DUNS number is a nine-digit identification number that uniquely identifies business entities. Obtaining a DUNS number is easy and free. To obtain a DUNS number, access the following website: www.dunandbradstreet.com or call 1-866-705-5711. This number should be entered in the block with the applicant's name and address on the cover page of the application (Item 8c on the Form SF-424, Application for Federal Assistance). The name and address in the application should be exactly as given for the DUNS number. Applicants should obtain this DUNS number as soon as possible after the announcement is posted to ensure all registration steps are completed in time.

3. The applicant must also register in the System for Award Management (SAM) database in order to be able to submit the application. Applicants are encouraged to register early, and must have their DUNS and EIN/TIN numbers in order to do so. Information about SAM is available at <https://www.sam.gov/portal/public/SAM/>. The SAM registration process is a separate process from submitting an application. You should allow a minimum of five business days to complete SAM registration; however, in some cases, the registration process can take approximately two weeks or longer to be completed. Therefore, applicants should begin the SAM registration process as soon as possible after the announcement is posted to ensure that it does not impair your ability to meet required submission deadlines.

4. Authorized Organizational Representative: The Authorized Organizational Representative (AOR) who will officially submit an application on behalf of the organization must register with [grants.gov](http://www.grants.gov) for a username and password. AORs must complete a profile with [Grants.gov](http://www.grants.gov) using their organizations DUNS Number to obtain their username and password at http://grants.gov/applicants/get_registered.jsp. AORs must wait one business day after successful registration in SAM before entering their profiles in [Grants.gov](http://www.grants.gov). Applicants should complete this process as soon as possible after successful registration in SAM to ensure this step is completed in time to apply before application deadlines.

5. When an AOR registers with [Grants.gov](http://www.grants.gov) to submit applications on behalf of an organization, that organizations E-Biz POC will receive an email notification. The email address provided in the profile will be the email used to send the notification from [Grants.gov](http://www.grants.gov) to the E-Biz POC with the AOR copied on the correspondence.

6. The E-Biz POC must then login to [Grants.gov](http://www.grants.gov) (using the organizations DUNS number for the username and the special password called M-PIN) and approve the AOR, thereby providing permission to submit applications.

7. Any files uploaded or attached to the [Grants.gov](http://www.grants.gov) application must be PDF file format and must contain a valid file format extension in the filename. Even though [Grants.gov](http://www.grants.gov) allows applicants to attach any file formats as part of their application, CMS restricts this practice and only accepts PDF file formats. Any file submitted as part of the [Grants.gov](http://www.grants.gov) application that is not in a PDF file format, or contains password protection, will not be accepted for processing and will be excluded from the application during the review process. In addition, the use of compressed file formats such as ZIP, RAR, or Adobe Portfolio will not be accepted. The application must be submitted in a file format that can easily be copied and read by reviewers. It is recommended that scanned copies not be

submitted through [Grants.gov](http://www.grants.gov) unless the applicant confirms the clarity of the documents. Pages cannot be reduced in size, resulting in multiple pages on a single sheet, to avoid exceeding the page limitation. All documents that do not conform to the above specifications will be excluded from the application materials during the review process.

8. After you electronically submit your application, you will receive an acknowledgement from <http://www.grants.gov> that contains a [Grants.gov](http://www.grants.gov) tracking number. HHS will retrieve your application package from [Grants.gov](http://www.grants.gov). Please note, applicants may incur a time delay before they receive acknowledgement that the application has been accepted by the [Grants.gov](http://www.grants.gov) system. Applicants should not wait until the application deadline to apply because notification by [Grants.gov](http://www.grants.gov) that the application is incomplete may not be received until close to or after the application deadline, eliminating the opportunity to correct errors and resubmit the application. Applications submitted after the deadline, as a result of errors on the part of the applicant, will not be accepted and/or granted a waiver.

9. After HHS retrieves your application package from [Grants.gov](http://www.grants.gov), a return receipt will be emailed to the applicant contact. This will be in addition to the validation number provided by [Grants.gov](http://www.grants.gov).

10. All grant applications must be submitted electronically and be received through <http://www.grants.gov> by 1:00 pm Eastern Daylight Time on the applicable due date.

11. All applications will receive an automatic time stamp upon submission and applicants will receive an email reply acknowledging the applications receipt.

Award Procedure:

Applications will be screened to determine eligibility for further review using the criteria detailed in Section III, Eligibility Information, of this solicitation.

An evaluation rubric will be developed by HHS, which will consist of critical elements identified in Section V, Application Review Information, of this solicitation. This evaluation rubric will be used by qualified, unbiased experts in their review of all applications. An applicant may receive a score of up to 100 points.

The results of the objective review of applications by qualified experts will be used to advise the approving HHS official. Final award decisions will be made by a HHS program official. In making these decisions, the HHS program official will take into consideration: the regulatory requirement that there be at least two types of Navigators in each Exchange and that one of these Navigators be a community and consumer focused nonprofit group; span or scope of populations proposed to be served; recommendations of the review panel; reviews for programmatic and grants management compliance; the reasonableness of the estimated cost to the government and anticipated results; and the likelihood the proposed cost will result in the benefits expected. HHS reserves the right to conduct pre-award Budget Negotiations with potential awardees.

Successful applicants can receive one cooperative agreement award based on this solicitation.

Evaluations will be made available for review upon request, excluding scores.

Successful applicants will receive a Notice of Award (NoA) signed and dated by the HHS Grants Management Officer. The NoA is the document authorizing the grant award and will be sent by electronic mail to the awardee as listed on its SF-424. Any communication between HHS and applicants prior to issuance of the NoA is not an authorization to begin performance of a project.

Unsuccessful applicants will be notified by letter, sent electronically or through the U.S. Postal Service to the applicant organization as listed on its SF-424, within 30 days of the award date.

Deadlines:

Apr 05, 2013 to Aug 14, 2013

Range of Approval/Disapproval Time:

Anticipated Award Date: August 14, 2013.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant period is one year from date of award. See the following for information on how assistance is awarded/released: Once an award is made, the funds are posted in recipient accounts established in the Department of Health and Human Services, Division of Payment Management, Payment Management System (PMS). Grantees may then access their funds by using the PMS funds request process. Upon notification of award, recipients under this announcement will be able to drawdown funds for approved start-up costs. The remaining funds will be reimbursable upon meeting required milestones.

Reports:

Grantee must provide required quarterly and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will outline how cooperative agreement funds were used, describe program progress, describe any barriers encountered including how any potential conflicts of interest were mitigated and process for handling non-compliant staff or volunteers, describe how the program ensured access to culturally and linguistically appropriate services, and detail measurable outcomes to include how many staff and volunteers completed required training and became certified as Navigators. CMS will provide the format for program reporting and the technical assistance necessary to complete program reporting requirements. At each stage, CMS will evaluate reports and provide feedback to recipients.

Recipients agree to separately identify to each sub-recipient, and document at the time of sub-award and the time of disbursement of funds, the Federal award number, and CFDA number 93.750 for 2013 PPHF fund purposes, and amount of PPHF funds.

Recipient agrees to report on the following: This award requires the recipient to complete projects or activities which are funded under the 2013 PPHF and to report on use of PPHF funds provided through this award. Information from these reports will be made available to the public.

Recipients awarded a grant, cooperative agreement, or contract from such funds with a value of \$25,000 or more shall produce reports on a semi-annual basis with a reporting cycle of January 1 June 30 and July 1 December 31; and email such reports (in 508 compliant format) to the HHS grants management official assigned to the grant or cooperative agreement no later than 20 calendar days after the end of each reporting period (i.e., July 20 and January 20, respectively). Recipient reports shall reference the notice of award number and title of the grant or cooperative agreement, and include a summary of the activities undertaken and identify any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the [sub] recipient). Grantees must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF-272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. No progress reports are required. Grantees must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF-272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. Awardees must agree to cooperate with any Federal evaluation of the program and must provide required quarterly and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipient must maintain expenditures and other financial records detailing the use of cooperative agreement funds for three years from the day on which the grantee submits the last financial status report for the grant period unless there is an open investigation in which case the records must be maintained during the duration of the investigation. Recipient should submit these reports to CMS on a quarterly basis along with their quarterly progress reports.

Account Identification:

75-0116-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

N/A.

TAFS Codes:

75-0116.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The criteria as outlined in this grant announcement and in Affordable Care Act Section 1311(i) and 45 CFR 155.210.

Administrative Regulations for Grants:

45 CFR, Part 74, Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospitals, Other Nonprofit Organizations, and Commercial Organizations.

45 CFR, Part 92, Uniform Administrative Requirements for Grants and Cooperative Agreements to State, Local and Tribal Governments.

Grants Policy:

HHS Grants Policy Statement, Revised 01/07.

Cost Principles:

Title 2, Code of Federal Regulations: Grants and Agreements, Part 220 Cost Principles for Educational Institutions (previously OMB Circular A-21)

Title 2, Code of Federal Regulations: Grant and Agreements, Part 225 Cost Principles for State, Local, and Indian Tribal Governments (previously OMB Circular A-87).

Title 2, Code of Federal Regulations: Grant and Agreements, Part 230 Cost Principles for Non-Profit Organizations (previously OMB Circular A 122).

48 CFR subpart 31.2 Contracts with Commercial Organizations

Audit Requirements:

OMB Circular A 133, Audits of States, Local Governments, and Non profit Organizations.

Regional or Local Office:

See Regional Agency Offices. Programmatic Questions

Navigatorgrants@cms.hhs.gov

Administrative Questions

Michelle Feagins

Grants Management Division

Office of Acquisition and Grants Management

Centers for Medicare and Medicaid Services

Department of Health and Human Services

Michelle.Feagins@cms.hhs.gov

1-301-492-4312.

Headquarters Office:

Michelle Feagins 200 Independence Ave., S.W., Washington, District of

Columbia 20201 Email: Michelle.Feagins@cms.hhs.gov Phone:

1-301-492-4312

Website Address:

<http://www.cciio.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Successful applicants are required to demonstrate that they will use cooperative agreement funds to, at a minimum, carry out the statutory and regulatory duties of a Navigator for the entire length of the grant period, including: maintaining expertise in eligibility, enrollment, and program specifications; conducting public education activities to raise awareness about the Exchange; providing information and services in a fair, accurate, and impartial manner; facilitating selection of a QHP; providing referrals to any applicable office of health insurance consumer assistance or ombudsman established under Section 2793

of the PHS Act to address consumer grievances, questions, or complaints about their health plan, coverage, or a determination; and providing information in a manner that is culturally and linguistically appropriate and accessible to individuals with disabilities.

93.751 PPHF: CONSORTIUM FOR TOBACCO USE CESSATION TECHNICAL ASSISTANCE FINANCED BY SOLELY BY PREVENTION AND PUBLIC HEALTH FUNDS

PPHF2013: Consortium for Tobacco Use Cessation Technical Assistance
FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Consolidation Appropriations Act, Fiscal Year 2013, Public Law 112-74, for the Department of Labor, Health and Human Services, and Education and the Department of Interior and Related Agencies; and the Consolidated and Further Continuing Appropriations Act, Fiscal Year 2013, Public Law 112-55 for the United States Department of Agriculture, and Related Agencies. Title IV section 4002 Prevention and Public Health Fund.

OBJECTIVES:

The purpose of this program is to develop a consortium for tobacco use cessation technical assistance. The aim of the cessation consortium is to provide technical assistance to state tobacco control programs and other partners by translating the science of tobacco control cessation into public health action to further increase the rate of cessation among tobacco users in the United States. The funded organizations will accomplish this by providing technical assistance to state tobacco control programs and other partners to (1) promote comprehensive cessation strategies for state tobacco control programs, (2) enhance state quitline capacity, (3) promote comprehensive cessation coverage, and (4) promote health systems change. Tobacco use remains the leading preventable cause of disease and death in the United States. More than half of smokers attempt to quit each year, but only 4-7% succeed. Evidence-based cessation treatments, including individual, group, and telephone counseling and seven FDA-approved cessation medications, exist, but are underutilized. This program is intended to increase the number of quit attempts and successful quit attempts, thereby increasing cessation and reducing tobacco use.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting tobacco cessation technical assistance strategies. CDC will have substantial involvement with the award recipient during performance of the funded activity. Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses.

Applicant Eligibility:

A Bona Fide Agent is an agency/organization identified by the state as eligible to submit an application under the state eligibility in lieu of a state application. If applying as a bona fide agent of a state or local government, a legal, binding agreement from the state or local government as documentation of the status is required.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

All applications that are complete and responsive to competitive cooperative agreement announcement will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. All applications that are complete and responsive to competitive cooperative agreement announcement will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12 month budget period and 5 year project period.

Method of awarding and releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting Requirements under Section 203 of the 2012 Enacted Appropriations Bill for the Prevention and Public Health Fund, Public Law 111-5:

This award requires the recipient to complete projects or activities which are funded under the 2012 Prevention and Public Health Fund (PPHF) and to report on use of PPHF funds provided through this award. Information from these reports will be made available to the public.

Recipients awarded a grant, cooperative agreement, or contract from such funds with a value of

\$25,000 or more shall produce reports on a semi-annual basis with a reporting cycle of January 1

- June 30 and July 1 - December 31; and email such reports (in 508 compliant format) to the CDC

website (template and point of contact to be provided after award) no later than 20 calendar days

after the end of each reporting period (i.e. July 20 and January 20, respectively).

Recipient reports shall reference the notice of award number and title of the grant or cooperative agreement, and include a summary of the activities undertaken and identify any sub-grants or

sub-contracts awarded (including the purpose of the award and the identity of the subrecipient). No cash reports are required. Annual Progress reports are required. Federal Financial Reports are required 90 Days after the end of the calendar quarter in which the budget period ends. Annual Progress reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0094-3-1-550.

Obligations:

(Salaries) FY 16 \$450,000; FY 17 est \$450,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Awards will range from approximately \$75,000 to \$450,000 with an average of approximately \$112,500.

TAFS Codes:

75-0094.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

www.cdc.gov/tobacco

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Steve Babb NCCDPHP, 4770 Buford Highway NE, MSK50, Atlanta, Georgia 30341 Email: SBabb@cdc.gov Phone: 770-488-1172

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Chronic Disease Prevention and Health Promotion and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements.

CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application Review Information within the individual funding announcement. Applicants will be notified if their application did not meet program requirements.

93.752 CANCER PREVENTION AND CONTROL PROGRAMS FOR STATE, TERRITORIAL AND TRIBAL ORGANIZATIONS FINANCED IN PART BY PREVENTION AND PUBLIC HEALTH FUNDS

National Breast and Cervical Cancer Early Detection Program (NBCCEDP) - PPHF

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 4002 of the Patient Protection and Affordable Care Act, Public Law 111-148; sections 1501-1510 [42 U.S.C.

300k, 42 U.S.C. 3001, 42 U.S.C. 3001-1, 42 U.S.C. 300m, 42 U.S.C. 300n, 42 U.S.C. 300 n-1, 42 U.S.C. 300 n-2, 42 U.S.C. 300 n-3, 42 U.S.C. 300 n-4, 42 U.S.C. 300 n-5] of the Public Health Service Act, as amended and Title IV Section 4002 Prevention and Public Health Fund.

Breast and Cervical Cancer Mortality Prevention Act of 1990, Section 301(a), Section 317(k)(3), and Section 1501, Public Law 101-354; 42 U.S.C.241a, 42 U.S.C. 247b(k)(3), and 42 U.S.C. 300K; Public Law 103-183.

OBJECTIVES:

To work with official State and territorial health agencies or their designees, in developing comprehensive breast and cervical cancer early detection programs. To the extent possible, increase screening and follow-up among all groups of women in the State, tribe or territory, with special to reach those women who are of low income, uninsured, underinsured and minority, and Native Americans.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative agreements funds may be used to assure screening of women for breast and cervical cancer as an early detection preventive measure; assure appropriate referrals for follow-up services for women with abnormal screening tests and routine rescreening; develop and disseminate public education and outreach programs for the early detection and control of breast and cervical cancers; improve the education, training and skills of health professionals (including allied health professionals) in the early detection and control of breast and cervical cancers; establish mechanisms through which the States, tribes and territories can monitor the quality of breast and cervical cancer screening procedures in the State, including the interpretation of such procedures; and evaluate program activities through appropriate surveillance and monitoring. Cooperative agreement funds may not be expended for screening and follow-up services to the extent that payment has been made, or can reasonably be expected to be made, with respect to such items or services: (1) under any State compensation program, under any insurance policy or under any Federal or State health benefits program; or (2) by any entity that provides health services on a prepaid basis. Cooperative agreement funds shall not be used for treatment or treatment services. States, tribes and territories are required to make available nonfederal contributions in cash or in-kind toward such cost in an amount equal to not less than \$1 for each \$3 of Federal funds provided. Such contributions may be made directly or through donations from public or private entities. The payment for treatment services or the donation of treatment service may not be used for nonfederal contributions. States, tribes and territories may include only nonfederal contributions in excess of the average amount of nonfederal contributions made by the State, tribe or territory for the two year period preceding the first fiscal year for which the State, tribe territory is applying to receive a cooperative agreement for a comprehensive breast and cervical cancer early detection program. In making a determination of the amount of nonfederal contributions for purposes of matching fund requirements, applicants may include any nonfederal amounts expended pursuant to Title XIX of the Social Security Act for the purpose of screening and follow-up for women at-risk for breast and cervical cancers. Cannot be used for treatment.

Applicant Eligibility:

Eligible applicants are the official State health agencies of the United States.

Beneficiary Eligibility:

Eligible applicants are the official State health agencies of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, American Samoa, American Indian and Alaska Native tribes and tribal organizations as defined in Section 4 of the Indian Self-Determination and Education Assistance Act.

Credentials/Documentation:

Applicants should document the need for assistance; state the objectives of the project, outline the method of operation, describe evaluation procedures, identify and describe nonfederal contributions, and provide a budget with justification for funds requested. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

In the competitive year one and after objective review and approval, a notice of award is prepared and processed, along with appropriate notification to the public. For non-competitive continuation years, after review and approval on the Interim Progress Report (IPR), a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Information on renewals may be obtained from Annie Harrison Camacho, Grants Management Specialist, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Atlanta, GA 30341; Telephone: (770) 488-2098.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 30%. 33% - Public Law 101-354 requires States, tribes and territories to make available nonfederal contributions (cash or in-kind) toward such costs in an amount equal to not less than \$1 of matching for every \$3 of Federal dollars provided in this cooperative agreement. Such contributions may be made directly or through donations from public or private entities. Payment for treatment services or the donation of treatment services may not be used for nonfederal contributions. States, tribes and territories may include only nonfederal contribution in excess of the average amount of nonfederal contributions made by the State, tribe territory for the two year period preceding the first fiscal year for which the State, tribe or territory is applying to receive a cooperative agreement for a comprehensive Breast and cervical cancer early detection program.

This program has MOE requirements, see funding agency for further details. The average amount of non-Federal contributions toward breast and cervical cancer programs and activities for the two year period preceding the first Federal fiscal year of funding for NBCCEDP is referred to as Maintenance of Effort (MOE). Only those non-Federal contributions in excess of the MOE amount may be considered matching funds. Supplanting, or replacing, existing program efforts currently paid with Federal or non-Federal sources is not allowable.

Length and Time Phasing of Assistance:

From 1 to 5 years. Budget period is 12 months. Assistance is awarded through the Payment Management System (PMS). Method of awarding/releasing assistance: lump sum.

Reports:

Annual Federal Financial Report (FFR) SF-425 is required and must be submitted no later than 90 days after the end of the calendar quarter in which the budget period ends. The FFR for this budget period is due by September 30. Reporting timeframe is June 30 of the previous calendar year through June 29 of the current calendar year.

The Annual Performance Report is due no later than 120 days prior to the end of the budget period, February 28, and serves as the continuing application. This report should include the information specified in the Funding Opportunity Announcement.

Final FFR and Final Progress Report are due 90 days after the end of the project period. Annual Federal Financial Report (FFR) SF-425 is required and must be submitted no later than 90 days after the end of the calendar quarter in which the budget period ends. The FFR for this budget period is due by September 30. Reporting timeframe is June 30 of the previous calendar year through June 29 of the current calendar year.

The Annual Performance Report is due no later than 120 days prior to the end of the budget period, February 28, and serves as the continuing application. This report should include the information specified in the Funding Opportunity Announcement.

Final FFR and Final Progress Report are due 90 days after the end of the project period. Annual Federal Financial Report (FFR) SF-425 is required and must be submitted no later than 90 days after the end of the calendar quarter in which the budget period ends. The FFR for this budget period is due by September 30. Reporting timeframe is June 30 of the previous calendar year through June 29 of the current calendar year.

The Annual Performance Report is due no later than 120 days prior to the end of the budget period, February 28, and serves as the continuing application. This report should include the information specified in the Funding Opportunity Announcement.

Final FFR and Final Progress Report are due 90 days after the end of the project period. Annual Federal Financial Report (FFR) SF-425 is required and must be submitted no later than 90 days after the end of the calendar quarter in which the budget period ends. The FFR for this budget period is due by September 30. Reporting timeframe is June 30 of the previous calendar year through June 29 of the current calendar year.

The Annual Performance Report is due no later than 120 days prior to the end of the budget period, February 28, and serves as the continuing application. This report should include the information specified in the Funding Opportunity Announcement.

Final FFR and Final Progress Report are due 90 days after the end of the project period. Annual Federal Financial Report (FFR) SF-425 is required and must be submitted no later than 90 days after the end of the calendar quarter in which the budget period ends. The FFR for this budget period is due by September 30. Reporting timeframe is June 30 of the previous calendar year through June 29 of the current calendar year.

The Annual Performance Report is due no later than 120 days prior to the end of the budget period, February 28, and serves as the continuing application. This report should include the information specified in the Funding Opportunity Announcement.

Final FFR and Final Progress Report are due 90 days after the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreement program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - No PPHF Funds used in FY16. This co-op ended 6/29/2017.

Range and Average of Financial Assistance:

No PPHF Funds used in FY16. This co-op ended 6/29/2017.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: This co-op ended 6/29/2017 and was re-competed in FY17 under DP17-1701. Fiscal Year 2017: This landmark program, National Breast and Cervical Cancer Early Detection Program (NBCCEDP) brings critical breast and cervical cancer screening services to underserved women, including older women, women with low income, and women of racial and ethnic minority groups. CDC plans to continue to support programs in all 50 states, US territories, the District of Columbia, and Tribes/Tribal Organizations. PPHF funds were not used to fund grantees in FY16. this program will be re-competed in FY17. Fiscal Year 2018: This co-op ended 6/29/2017 and was re-competed in FY17 under DP17-1701.

REGULATIONS, GUIDELINES, AND LITERATURE:

There are no regulations, but guidelines are available. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, applies to cooperative agreements. Public Law 101-354 (August 10, 1994) places specific requirements on monies from this law which are to be used for funding State-based breast and cervical cancer early detection programs.

Regional or Local Office:

None.

Headquarters Office:

Debra Younginer 4770 Buford Hwy, NE F76
, Atlanta, Georgia 30341 Email: demo@cdc.gov Phone: 770-488-1074

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.898 Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations; 93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs; 93.945 Assistance Programs for Chronic Disease Prevention and Control

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: \$0 PPHF funds were used in FY16. Fiscal Year 2017: This co-op ended 6/29/2017 and was re-competed in FY17 under DP17-1701. Fiscal Year 2018: This co-op ended 6/29/2017 and was re-competed in FY17 under DP17-1701.

CRITERIA FOR SELECTING PROPOSALS:

(1) Extent of disease burden and need; (2) feasibility and appropriateness of operational plan to meet the purpose of the cooperative agreement; (3) the extent of collaboration and community involvement; (4) the extent to which the applicant appears likely to succeed in implementing proposed objectives; (5) the appropriateness of nonfederal contributions; and (6) the extent to which the budget is reasonable, consistent with the intended use of cooperative agreement funds, and includes evidence of the State's commitment to the program application of financial and/or in-kind contributions from nonfederal sources to activities of the proposed program.

93.753 CHILD LEAD POISONING PREVENTION SURVEILLANCE FINANCED IN PART BY PREVENTION AND PUBLIC HEALTH (PPHF) PROGRAM

Preventive and Public Health Fund (PPHF) - Childhood Lead Poisoning Prevention Surveillance

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Sections 317A and 317B 317(k)(2) of the Public Health Service Act [42 U.S.C Sections 247b and 247b-2 and 247b-3] as amended; Section 4002, Patient Protection and Affordable Care Act [42 U.S.C 300u-11].

OBJECTIVES:

To support and enhance surveillance capacity at the state and city level to prevent and eliminate childhood lead poisoning.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The program provides funding to assist in eliminating childhood lead poisoning. Grant awards may not be expended for medical care and treatment, or for environmental remediation of lead sources.

Applicant Eligibility:

Applicants should review the individual CDC Funding Opportunity Announcement document issued under this CFDA program for any required proof or certifications that must be submitted prior to, or simultaneous with, submission of an application package.

Beneficiary Eligibility:

Others who will receive benefits from the program include infants and children from six months to six years of age who are screened for lead poisoning and family members who care for lead-poisoned children. Lead poisoning potentially affects all children, but disproportionately affects minority children and children of low-income families. Since the side effects of lead poisoning can be long lasting, benefits of the program can also affect youth and adults, persons at all educational and income levels, and urban, suburban and rural populations.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms must be used for this program. Applicants must register their organizations through www.grants.gov, the official agency-wide E-grant website, at least 30 days prior to submitting their applications. Registration information is located on the get Registered screen of www.grants.gov. Applications must be submitted electronically at www.grants.gov. Electronic applications will be considered as having met the deadline if the application has been successfully made available to CDC for processing from grants.gov on the deadline date. The application package can be downloaded from www.grants.gov. Applicants can complete the application package off-line, and then upload and submit the application via the grants.gov website. The applicant must submit all application attachments using a PDF file format, as listed in the FOA, when submitting via grants.gov. Directions for creating PDF files can be found on the grants.gov website. Use of file formats other than PDF may result in the file being unreadable by staff. All application procedures listed in the FOA must be followed.

Award Procedure:

A review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement (FOA). Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office (PGO). The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by the authorized Grants Management Officer, CDC.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project will be using 12-month budget periods.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period will be no longer than 5 years with 12-month budget periods. See the following for information on how assistance is awarded/released: Terms are within the Notice of Grant Award (NOA), which is a binding agreement between the grantee and the funding agencies and comes from Procurement and Grants Office (PGO).

Reports:

Program reports are not applicable. Cash reports are not applicable. Grantees awarded a grant, cooperative agreement, or contract from such funds with a value of \$25,000 or more shall produce reports on a semi-annual basis with a reporting cycle of January 1 - June 30 and July 1 - December 31; and email such reports to the CDC website (template and point of contact to be provided after award) no later than 20 calendar days after the end of each reporting period (i.e. July 20 and January 20, respectively). Grantee reports must reference the NoA number and title of the grant, and include a summary of the activities undertaken and identify any sub-awards (including the purpose of the award and the identity of each sub-recipient). Annual Federal Financial Reporting (FFR) form (SF-425) is required. Refer to FOA for details. Performance Measurement Plan is required. Refer to FOA for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$11,341,618; FY 17 est \$9,451,814; and FY 18 est \$14,995,324 - The programs are Partly funded with PPHP dollars.

Range and Average of Financial Assistance:

The estimated annual average range of an award is \$250,000 to \$500,000.

TAFS Codes:

75-14-0947.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92

Regional or Local Office:

None.

Headquarters Office:

Robert Reynolds 4770 Buford Highway, F45, Atlanta, Georgia 30341 Email: VIO9@cdc.gov Phone: 770-488-0563

Website Address:

<http://www.cdc.gov/nceh/lead/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selection of applicants are listed in the funding opportunity announcement.

93.755 SURVEILLANCE FOR DISEASES AMONG IMMIGRANTS AND REFUGEES FINANCED IN PART BY PREVENTION AND PUBLIC HEALTH FUNDS (PPHF)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act (PL 111-148); Public Health Service Act, Sections 301 and 317(k)(1) [42 U.S.C. 242l and 247b(k)(1)], as amended.

OBJECTIVES:

The purpose of the program is to conduct surveillance to detect, prevent and control diseases and evaluate existing health programs to improve the health of refugees and/or immigrants that are newly arrived in the United States. The program will:

- 1) enhance existing surveillance networks for communicable and non-communicable diseases, including, but are not limited to: vaccine-preventable diseases, malaria, hepatitis, intestinal parasites, nutritional deficiencies and anemia;
- 2) evaluate the health status of refugees and/or immigrants for the purposes of informing and improving U.S. programs for overseas and the post-arrival health assessments and interventions, such as presumptive treatment for parasitic infections; and
- 3) improve the health of refugees and/or immigrants undergoing U.S. resettlement and protect the health of their receiving communities by controlling the spread of communicable diseases.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting surveillance, effectiveness, and health improvement programs directed toward immigrants and refugees in the United States, and for the implementation of other program elements as described in Section 050 above and through CDC allowable and unallowable expenses.

Applicant Eligibility:

Eligibility is limited to any domestic entity providing health care services to immigrants and refugees newly arrived in the United States.

Beneficiary Eligibility:

Any U.S. state, political subdivision and U.S. territories and other public entities providing healthcare services to newly arrived refugees and immigrants will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

All applications that are complete and responsive to competitive supplemental grant and cooperative agreement announcements will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only

binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is typically available for a 12-month budget period and 60-month project period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. A Semi-annual Progress Report is required per Public Health and Prevention Fund guidelines. An Interim Progress Report is required as part of a continuation application. A Federal Financial Report is due 90 days after the end of every Budget Period. A Final Progress Report and Federal Financial Report is required 90 days after the end of the Project Period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

75-0943-0-1-551; 75-0949-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$480,000; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Awards will range from approximately \$25,000 to \$150,000 with an average of approximately \$80,000.

TAFS Codes:

75-0943; 75-0949.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nekeia Gray 1600 Clifton Rd, MS E-03, Atlanta, Georgia 30333 Email: hvq6@cdc.gov Phone: 404-639-0712

Website Address:

<http://www.cdc.gov/immigrantrefugeehealth/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Emerging and Zoonotic Diseases and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements.

93.756 NUTRITION AND PHYSICAL ACTIVITY PROGRAM FUNDED SOLELY BY PREVENTION AND PUBLIC HEALTH FUNDS (PPHF)

Nutrition and Physical Activity

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Executive Order This program is authorized under Section 4002 of the ACA, Prevention and Public Health Fund; 42 U.S.C. 241(a) and 247b (k) of the PHS Act.

OBJECTIVES:

The purpose of this program is to provide leadership of strategic public health efforts to prevent and control obesity, chronic disease, and other health conditions through regular physical activity and good nutrition. Approaches used to combat obesity and obesity-related diseases include state programs, applied research, surveillance, training and technical assistance, intervention development and evaluation, policy, system and environmental change, communication and social marketing, and program and partnership development.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

More specific goals will be provided in funding opportunities, and will address one or more of the following:

Decrease the prevalence of obesity in the U.S.
Affect long term changes in the rates of obesity
Prevent weight gain and maintain a healthy weight
Implement and affect behavioral, policy, system level and environmental changes through approaches that are the most effective at preventing obesity
Increase the body of evidence-based approaches, educational resources and opportunities for healthy food and activity choices, access and number of healthy and affordable food and beverages outlets and places to be physically active.

improved dietary quality most related to the population burden of chronic disease and unhealthy child development.

measured environmental changes in the adoption of food service, breastfeeding, nutrition, physical activity and obesity standards in schools and child care centers, schools, worksites and communities that promote and reinforce healthful behaviors and practices.

Funds may be used for costs associated with planning, data collection, organizing, and the implementation of program elements related to the objectives identified in 050 above. Recipients may expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease. Recipients may not use funds for research. Recipients may not use funds for clinical care. Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. Restrictions relevant to the use of Prevention and Public Health Fund dollars established under Section 4002 of the Patient Protection and Affordable Care Act of 2010 (ACA) applies.

Applicant Eligibility:

: Food and Nutrition, Health/Medical, Environment, Higher Education, Training, Transportation, Regional Development, Youth Development.

Beneficiary Eligibility:

Eligible applicants may include: States, Interstate, Intrastate, Local, Sponsored organizations, Public/Non Profit organizations, Federally-recognized Indian Tribal Governments, U.S Territories or possessions, or Specialized groups that will be identified in individual funding opportunities. Additional eligibility requirements may apply for funding opportunities that address high needs/risks and disparate populations.

Credentials/Documentation:

Documentation may be required for opportunities that seek to reach high risk/high need and disparate populations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit Annual Progress Reports through www.Grants.gov. If you encounter any difficulties submitting your interim progress report through www.Grants.gov, please contact CDC's Technical Information Management Section at (770) 488-2700 prior to the submission deadline. If further information is needed regarding the application process, please contact the CDC Procurement and Grants Office at (770) 488-2710 located at 2920 Brandywine Road, Atlanta, GA 30341

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. 180 Days. Renewals will be based upon the availability of funding and satisfactory programmatic progress.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are for 1 to 5 years with 12-month budget periods based on individual funding opportunities. Method of awarding/releasing assistance: lump sum.

Reports:

Congress directed HHS to provide information on activities and programs supported with resources from the Prevention and Public Health Fund (PPHF) to a public website

<http://www.hhs.gov/open/recordsandreports/prevention/index.html>

Semi-annual reports from each entity awarded a grant, cooperative agreement, or contract, summarizing the activities undertaken and identifying any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the recipient), to be posted not later than 30 days after the end of each 6-month period. No cash reports are required. Reporting provides

continuous program monitoring and identifies successes and challenges that awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding.

Awardees must submit an Annual Performance Report via www.grants.gov 120 days before the end of each budget period. The report must not exceed 45 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed. This report must include the following: performance measures, evaluation results, work plan, successes, challenges, CDC program support needs, and administrative reporting. The annual FFR form (SF-425) is required and must be submitted through eRA Commons within 90 days after each budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final report must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. The final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data must correspond; no discrepancies between the data sets are permitted. Failure to submit the required information by the due date may affect adversely the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation and include the date by which the information will be provided. Awardees must provide a more detailed evaluation and performance measurement plan within the first six months of the project. This more detailed plan must be developed by awardees as part of first-year project activities, with support from CDC. This more detailed plan must build on the elements stated in the initial plan, and must be no more than 25 pages. Awardees are required to submit performance measures annually as a minimum, and may require reporting more frequently. Performance measure reporting is limited to data collection. When funding is awarded initially, CDC programs will specify required reporting frequency, data fields, and format.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in progress or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Expected \$150,000 - \$1,000,000. Average award depends on funding opportunity announcement. Currently in FY2016 and FY2017 this is not planned for funding.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Congress directed HHS guidance/requirements regarding terms and conditions and reporting requirements for recipients of programs supported with resources from the Prevention and Public Health Fund

Regional or Local Office:

None.

Headquarters Office:

Linda Barnes 4770Buford Hwy, NE, NCCDPHP, Atlanta, Georgia 30341

Email: lbarnes@cdc.gov Phone: 404-867-9697

Website Address:

http://www.cdc.gov

RELATED PROGRAMS:

93.548 The Patient Protection and Affordable Care Act of 2010 Nutrition, Physical Activity and Obesity Program ; 93.945 Assistance Programs for Chronic Disease Prevention and Control

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC NCCDPHP and PGO. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the Criteria section of the FOA.

There may or may not be an additional review which will be provided in the FOA. Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements.

93.757 STATE AND LOCAL PUBLIC HEALTH ACTIONS TO PREVENT OBESITY, DIABETES, HEART DISEASE AND STROKE (PPHF)

State and Local Public Health Actions to Prevent Obesity, Diabetes, Heart Disease and Stroke

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 301(a) and 317(k) Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) and Title IV Section 4002 Prevention and Public Health Fund. Public Health Service Act.

OBJECTIVES:

The purpose of this program is to support statewide implementation of cross-cutting, evidence-based approaches to promote health and prevent and control chronic diseases and their risk factors. The focus of this effort involves primary prevention efforts aimed at diabetes and heart disease/stroke prevention efforts. Grantees are required to assure that a percentage of their resources are redirected to local entities to accomplish their objectives. Logic models will be developed for targeted components building on existing evidence-based strategies.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Continue to build on core (basic component) public health activities such as partnership engagement, workforce development, guidance and support for programmatic efforts, strategic communication, surveillance and epidemiology, and evaluation. Continue to extend the activities supported with basic funding under DP13-05 under enhanced component to achieve even greater reach and impact through implementation of evidence-based strategies that are more extensive and wider-reaching than those implemented in the basic component. Supplemental funding may be provided that is specific to a chronic disease such as diabetes and/or heart disease and/or risk factor(s). Funds may be used for costs associated with planning, implementing, and evaluating chronic disease prevention and control programs. Funds may be used to support approaches to chronic disease prevention and control described in section 050 and 070 above. Recipients may expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease. Restrictions relevant to receiving Prevention and Public Health Fund dollars established under Section 4002 of the Patient Protection and Affordable Care Act of 2010 (ACA) will apply to any grantees funded with PPHF dollars.

There are regular Budget Authority dollars available in addition to PPHF dollars to fund this FOA.

Applicant Eligibility:

(1.) Eligible Applicants: State Departments of Health or their Bona Fide Agents funded under CDC-RFA-DP13-1305 (2.) Special Eligibility Requirements: Letters of Support (LOS) for key collaborations involved with promoting and/or implementing activities. In addition there may be requirements for demonstrated capacity in key (or specific) areas, and/or to reach highest need areas or disparate populations.

Beneficiary Eligibility:

States and communities will benefit from this assistance in many ways including through improved clinical and other preventive services for self management of hypertension, diabetes, overweight and obesity.

Credentials/Documentation:

Applicants should document the need for assistance, State the objectives of the project, outline the method of operation, describe evaluation procedures, and provide a budget with justification for funds requested. Costs will be determined in accordance with OMB Circular No. A-21 for Educational Institutions, and OMB Circular No. A-122 for nonprofit organizations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit Annual Progress Reports through www.Grants.gov. If you encounter any difficulties submitting your interim progress report through www.Grants.gov, please contact CDC's Technical Information Management Section at (770) 488-2700 prior to the submission deadline. If further information is needed regarding the application process, please contact the CDC Procurement and Grants Office at (770) 488-2710 located at 2920 Brandywine Road, Atlanta, GA 30341

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 4 years with 12 month budget periods.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

12 - month budget period within a 5 year project period. Method of awarding/releasing assistance: lump sum.

Reports:

Semi-annual reports from each entity awarded a grant, cooperative agreement, or contract, summarizing the activities undertaken and identifying any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the recipient), to be posted not later than 30 days after the end of each 6-month period. Congress directed HHS to provide information on activities and programs supported with resources from the Prevention and Public Health Fund (PPHF) to a public website <http://www.hhs.gov/open/recordsandreports/prevention/index.html>. No cash reports are required. Reporting provides continuous program monitoring and identifies successes and challenges that awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding. Awardees must submit an Annual Performance Report via www.grants.gov 120 days before the end of each budget period. The report must not exceed 45 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed. This report must include the following: performance measures, evaluation results, work plan, successes, challenges, CDC program support needs, and administrative reporting. The annual FFR form (SF-425) is required and must be submitted through eRA Commons within 90 days after each budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final report must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. The final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data must correspond; no discrepancies between the data sets are permitted. Failure to submit the required information by the due date may affect adversely the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation and include the date by which the information will be provided. Awardees should have already provided (unless supplemental funding is awarded in other years that would require this as new) a more detailed evaluation and performance measurement plan within the first six months of this project. This more detailed plan must be developed by awardees as part of first-year project activities, with support from CDC, must build on the elements stated in the initial plan, and must be no more than 25 pages. Awardees are required to submit performance measures annually as a minimum, and may require reporting more frequently. Performance measure reporting is limited to data collection. When funding was awarded initially, CDC program specified required reporting frequency, data fields, and format.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$49,700,889; FY 17 est \$51,239,531; and FY 18 est \$0 - This CFDA supports Public Prevention and Health Funding related to FOA DP13-1305 which was partially funding with this money.

Range and Average of Financial Assistance:

The awards may range from \$550,000 for basic component and \$1,000,000 to \$1,700,000 per applicant.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Parts 215 and 220 Cost Principles for Educational Institutions (OMB Circular A21) and OMB Circular No. A-122 for nonprofit organizations and Congress directed HHS guidance/requirements regarding terms and conditions and reporting requirements for recipients of programs supported with resources from the Prevention and Public Health Fund

Regional or Local Office:

None.

Headquarters Office:

Rosanne Farris 4770 Buford Hwy, NE, MS K-10, Atlanta, Georgia 30341
Email: rif6@cdc.gov Phone: 770-488-5007

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.945 Assistance Programs for Chronic Disease Prevention and Control; 93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Continuation applications will undergo a technical review prior to award. A summary statement will be provided which may or may not require a grantee response. For any supplemental funding all applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC NCCDPHP and PGO. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the Criteria section of the FOA.

There may or may not be an additional review which will be provided in the FOA. Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements.

93.758 PREVENTIVE HEALTH AND HEALTH SERVICES BLOCK GRANT FUNDED SOLELY WITH PREVENTION AND PUBLIC HEALTH FUNDS (PPHF)

PHHS Block Grant

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 4002 of the Patient Protection and Affordable Care Act, Public Law 111-148. Public Health Service Act, as amended; Omnibus Budget Reconciliation Act of 1981, Title XIX, Section 1905, Public Law 97-35, as amended; Preventive Health Amendments of 1984, Public Law 98-555; Health Omnibus Programs Extension Act of 1988, Public Law 100-607; Preventive Health Amendments of 1992, Public Law 102-531.

OBJECTIVES:

To provide States with the resources to improve the health status of the population of each grantee by: (A) conducting activities leading to the accomplishment of the most current Healthy People objectives for the nation; (B) rapidly responding to emerging health threats; (C) providing emergency medical services, excluding most equipment purchases; (D) providing services for sex offense victims including prevention activities; and (E) coordinating related administration, education, monitoring and evaluation activities.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Except as described below, Block Grant funds may be used for preventive health service programs for: (A) Activities to achieve improvements in the health status of populations through achievement of the most current Healthy People health objectives for the nation; (B) preventive health service programs for the control of rodents and for community and school-based fluoridation programs; (C) feasibility studies and planning for emergency medical services systems and the establishment, expansion, and improvement of such systems; (D) providing services to victims of sex offenses and prevention of sex offenses; (E) the establishment, operation, and coordination of effective and cost-efficient systems to reduce the prevalence of asthma and asthma related illnesses, especially among children; (F) related planning, administration, and educational activities; and (G) monitoring and evaluation of proposed activities in the application. A State may not use amounts paid to it to (1) provide inpatient services, (2) make cash payments to intended recipients of health services, (3) purchase or improve land, purchase, construct, or permanently improve (other than minor remodeling) any building or other facility, or purchase major medical equipment, (4) operate emergency medical services systems or to purchase more than 50 percent of the cost of communications equipment for such systems (5) satisfy any requirement for the expenditure of non-Federal funds as a condition for the receipt of Federal funds, or (6) provide financial assistance to any entity other than a public or nonprofit private entity.

Applicant Eligibility:

All fifty States, the District of Columbia, eight US Pacific Territorial governments, and two Native American tribes - the Kickapoo in Kansas and the Santee Sioux in Nebraska are eligible for Preventive Health and Health Services Block Grants.

Beneficiary Eligibility:

Preventive Health and Health Services Block Grant grantees utilize this assistance to address the public health priorities in their state, aligning their Programs to the latest Healthy People objectives.

As a critical public health resource, the Preventive Health and Health Services Block Grant supports activities that benefits states by (1) Addressing basic health concerns such as tooth decay among children, food sanitation, and injuries to older adults from falling, (2) Allowing states to respond rapidly to emerging health threats they experience, (3) Funding critical prevention efforts to address health concerns such as skin cancer, child safety, and untreated dental decay that lack specific state funding, (4) Protecting investments in and enhancing the effectiveness of funded programs that address specific health problems and (5) Leveraging other resources to increase the benefit of preventive health measures.

Credentials/Documentation:

Applications shall contain certification by the CEO of the State that the State has complied with provisions of and will meet the requirements of PHS Act, Title XIX, Section 1905, and Title XVII, Chapter 2 of the Omnibus Reconciliation Act of 1981. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications are reviewed for completeness and for compliance with legislative requirements. Award is made to the applicant by the Centers for Disease Control and Prevention (CDC).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

CDC accepts applications starting October 1st to July 1st of each federal fiscal year.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Each allotment is available for obligations over a 24-month period and must be utilized within that timeframe. See the following for information on how assistance is awarded/released: Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system. Payments are awarded on a quarterly basis. Each allotment is available for obligations over a 24-month period.

Reports:

Each Grantee is required to submit, an Annual Report that captures progress towards reaching objectives and completing activities that were identified in the prior years work plan. This is due on February 1st of each year for the preceding years application. Cash reports are not applicable. Description: This award requires the recipient to complete projects or activities which are funded under the Prevention and Public Health Fund (PPHF) and to report on use of PPHF funds provided through this award. Recipients awarded a grant from such funds with a value of \$25,000 or more shall produce reports on a semi-annual basis with a reporting cycle of January 1-June 30 and July December 31 and email reports to the CDC website no later than 20 calendar days after the end of each reporting period. CDC will receive monthly draw reports that reflect grantee spending for the specified fiscal year. The Annual Federal Financial Report, SF-245A, is due to PGO at CDC within 90 days after the end of the first 12 months of funding. The end date of the federal fiscal year is September 30th of each year. The Final Federal Financial Report is due to PGO 90 days after the budget/project period ends. between September 30th - December 30th of each year. A SF-269A is also due following the 2nd 12 months of funding. CDC staff will do Performance Reporting semi-annually. The report will include short/long term performance measures, milestones, and highlights of successes.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Each State must maintain records which are consistent with their State laws and requirements. Each State shall establish fiscal control and fund accounting procedures as may be necessary to assure the proper disbursement of and accounting for Federal funds paid to the State. Each State shall annually audit its expenditures from payments received. Such State audits shall be conducted by an entity independent of any agency administering a program funded.

Account Identification:

75-0943-0-1-551.

Obligations:

(Formula Grants) FY 16 \$146,339,574; FY 17 est \$151,121,365; and FY 18 est \$150,000,000 - FY2017 estimate includes a \$1M estimate of carryover from FY16.

Range and Average of Financial Assistance:

\$17,161 to \$4,477,242 (amounts for FY13)non-PPHF funding; estimated amounts for FY14 are \$38,812 to \$10,126,018. (Note: A formula based on fiscal year 1981 allocations to States is used for the annual basic grant allocation).

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Description: 45 Code of Federal Regulations, Part 96 (Published in the Federal Register on July 6, 1982 and revised in the Federal Register, October 13, 1987).

Regional or Local Office:

None.

Headquarters Office:

Harald Pietz 4770 Buford Hwy, MSE19, Atlanta, Georgia 30341 Phone: 4044980406

Website Address:

<http://cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**93.759 CONSORTIUM FOR TOBACCO USE CESSATION
TECHNICAL ASSISTANCE FINANCED SOLELY BY PREVENTION
AND PUBLIC HEALTH FUNDS**

Consortium for Tobacco Use Cessation Technical Assistance

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

For all authorizations/amendments, you must supply the Act or the Executive Order in order to continue Consolidation Appropriations Act, Fiscal Year 2013, Public Law 112-74, for the Department of Labor, Health and Human Services, and Education and the Department of Interior and Related Agencies; and the Consolidated and Further Continuing Appropriations Act, Fiscal Year 2013, Public Law 112-55 for the United States Department of Agriculture, and Related Agencies. Title IV section 4002 Prevention and Public Health Fund.

OBJECTIVES:

To provide technical assistance to state tobacco control programs and national and state partners. The technical assistance will focus on translating the science of tobacco control cessation into public health action in order to increase the number of tobacco users in the United States who quit.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting tobacco cessation technical assistance strategies. CDC will have substantial involvement with the award recipient during performance of the funded activity. Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses.

Applicant Eligibility:

A Bona Fide Agent is an agency/organization identified by the state as eligible to submit an application under the state eligibility in lieu of a state application. If applying as a bona fide agent of a state or local government, a legal, binding agreement from the state or local government as documentation of the status is required.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is

not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

All applications that are complete and responsive to competitive cooperative agreement announcement will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds under Section 317 of the Public Health Service Act or under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

5-year project period w/12-month budget period. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting Requirements under Section 203 of the 2012 Enacted Appropriations Bill for the Prevention and Public Health Fund, Public Law 111-5:

This award requires the recipient to complete projects or activities which are funded under the Prevention and Public Health Fund (PPHF) and to report on use of PPHF funds provided through this award. Information from these reports will be made available to the public.

Recipients awarded a grant, cooperative agreement, or program reports (for example Annual Progress Reports, etc.) from such funds with a value of \$25,000 or more shall produce reports on a semi-annual basis with a reporting cycle of January 1 - June 30 and July 1 - December 31; and email such reports (in 508 compliant format) to the CDC website (template and point of contact to be provided after award) no later than 20 calendar days after the end of each reporting period (i.e. July 20 and January 20, respectively). Recipient reports shall reference the notice of award number and title of the grant or cooperative agreement, and include a summary of the activities undertaken and identify any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the subrecipient). No cash reports are required. Annual Progress reports are required. Federal Financial Reports are required 90 Days after the end of the calendar quarter. Annual Progress reports are required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$450,000; FY 17 est \$450,000; and FY 18 est \$450,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Effective tobacco cessation treatments are available, including group, individual, and telephone counseling and FDA-approved cessation medications.

However, less than one third of cigarette smokers use these treatments when trying to quit. Physician cessation interventions increase patient quit rates, but many physicians do not routinely intervene with their patients who use tobacco products. Population-based strategies that increase cessation include, but are not limited to, reducing out-of-pocket costs for evidence-based tobacco cessation treatments (i.e., ensuring that health insurance plans cover these treatments), provider reminder systems in health care settings (including reminders embedded in electronic health records) that prompt health care providers to screen for tobacco use and provide cessation advice, telephone quitlines, and mobile-phone based cessation texting interventions.

CDC recommends that state tobacco control programs implement these effective population-based strategies for increasing cessation and put in place a comprehensive plan to address cessation. The Office on Smoking and Health has worked with several national organizations in providing information to state tobacco control programs and national and state partners on OSH's three major cessation priorities: enhancing state quitline capacity and sustainability, promoting comprehensive coverage of evidence-based tobacco cessation treatments in health insurance plans, and promoting health systems changes that improve the rates of tobacco use screening and cessation intervention in health care settings.

CDC recommendations regarding cessation activities to be implemented by state tobacco control programs are described in greater depth in CDC's Best Practices for Comprehensive Tobacco Control Programs 2014 (see http://www.cdc.gov/tobacco/stateandcommunity/best_practices/pdfs/2014/sectonA-III.pdf).

Regional or Local Office:

None.

Headquarters Office:

Steve Babb NCCDPHP, 4770 Buford Highway NE, MSK50, Atlanta, Georgia 30341 Email: SBabb@cdc.gov Phone: 770-488-1172

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Chronic Disease Prevention and Health Promotion and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements.

CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application Review Information within the individual funding announcement. Applicants will be notified if their application did not meet program requirements.

93.761 EVIDENCE-BASED FALLS PREVENTION PROGRAMS FINANCED SOLELY BY PREVENTION AND PUBLIC HEALTH FUNDS (PPHF)

Falls Prevention

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

The statutory authority for cooperative agreements under this Funding Opportunity Announcement is contained in the Consolidated Appropriations Act, 2014, Pub. L. 113-76, Div. H., Title II, 219(a); Public Health Service Act, 42 U.S.C. 300u-2 and 300u-3; and the Patient Protection and Affordable Care Act, 42 U.S.C. 300u-11 (Prevention and Public Health Fund).

OBJECTIVES:

- 1) To significantly increase the number of older adults and adults with disabilities at risk of falls who participate in evidence-based community programs to reduce falls and falls risks; and
- 2) To implement innovative funding arrangements, including contracts, partnerships, and collaborations with one or more sustainability partners (e.g., Accountable Care Organizations, Patient-Centered Medical Homes, large employer groups, health insurance plans, integrated health care entities, etc.) to support these evidence-based falls prevention programs in the aging network during and beyond the grant period, while embedding the programs into an integrated, sustainable evidence-based prevention program network.

There are two components of this funding, including:

- 1) Cooperative agreements to states, tribes, and/or other community organizations to provide and sustain evidence-based falls prevention programs; and
- 2) A cooperative agreement for a National Falls Prevention Resource Center.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Grant funding could potentially be used for agreed-upon activities associated with the following goals:

- 1) To significantly increase the number of older adults and adults with disabilities at risk of falls who participate in evidence-based community programs to reduce falls and falls risks; and
- 2) To build and leverage reimbursement from the health care sector and other innovative financing arrangements to pay for these evidence-based falls prevention programs, while embedding the programs into an integrated, sustainable evidence-based prevention program network. The following activities are not eligible for funding: Construction and/or major rehabilitation of buildings, basic research (e.g. scientific or medical experiments), continuation of existing projects without expansion, and pre-award costs.

Applicant Eligibility:

Eligible applicants for grants are domestic public or private non-profit entities including state and local governments, Indian tribal governments and organizations (American Indian/Alaskan Native/Native American), faith-based organizations, community-based organizations, hospitals, and institutions of higher education. Any additional restrictions/guidance will be provided in the funding opportunity announcement(s).

Beneficiary Eligibility:

The ultimate beneficiaries of this funding opportunity are older and disabled adults at risk for falls residing in states, tribes, and territories.

Credentials/Documentation:

Applicants should include letters of commitment from organizations and agencies identified as key collaborators in the proposal. OMB Circular No. A-87 applies to this program. . 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application materials will be published on the Grants.gov website (<http://www.grants.gov>) and the ACL website (http://acl.gov/Funding_Opportunities/Announcements/Index.aspx)

Award Procedure:

Notification of award will be issued to the grantee. Applications will be objectively evaluated and processed through an electronic system known as GrantSolutions.

Deadlines:

Sep 01, 2014 to Aug 31, 2016: Estimated start date for the project period will be 9/1/2014 with an end date of 8/31/2016. Annual or fully funded budget periods may be awarded subject to the availability of funds. Annual or fully funded budget periods may be awarded subject to the availability of funds. Contact the headquarters, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As specified in guidelines published in the Funding Opportunity Announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants may be made for up to a 3 year project period. Annual or fully funded budget periods may be awarded subject to the availability of funds.

Method of awarding/releasing assistance: Payment of grant expenses will be through the Payment Management System. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

The ACL program progress report is due semi-annually from the start date of the award and is due within 30 days of the reporting period end date. The final progress report and SF-425 reports are due 90 days after the end of the project period. The suggested content and format for these reports will be available to grantees post award administration. Grantees may also be required to submit OMB approved falls prevention data.

Grantees will also be expected to comply with reporting requirements related to the Prevention and Public Health Fund, which will be made available to the public. Grantees are required to complete the federal cash transactions portion of the SF-425 within the Payment Managements System. Semi-annual progress reports will be required 30 days of the reporting period end date, and final progress report will be required 90 days after the end of the project period. Grantees required reports should reflect their progress in meeting program goals, as applicable. Grantees are required to complete the federal cash transactions portion of the SF-425 within the Payment Managements System. Semi-annual progress reports will be required 30 days of the reporting period end date, and final progress report will be required 90 days after the end of the project period.

Grantees will also be expected to comply with any progress reporting requirements related to the Prevention and Public Health Fund. The SF-425 Federal Financial Report will be required. The Prevention and Public Health

Fund requires semi-annual reporting on cash expenditures. Grantees required reports should reflect their progress in meeting program goals, as applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Final Financial Report (SF-425). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0142-0-1-506.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$5,000,000; FY 17 est \$5,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

FY15 Range: \$509,000 to \$695,040, FY16 \$509,000 to \$695,000, FY17 \$468,018 to \$600,000

FY15 Average \$581,359, FY16 \$581,356, FY17 \$574,578.

TAFS Codes:

75-01-4201-506.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All grantees must comply with all requirements specified for grants funded through the Prevention and Public Health Fund and appropriate Departmental regulations regarding grants. All grantees must also comply with policies outlined in HHS Grants Policy Statement.

Regional or Local Office:

See Regional Agency Offices. Shannon Skowronski, Administration on Aging, U.S. Department of Health and Human Services Administration for Community Living, Washington, District of Columbia 20201 Email: Shannon.Skowronski@acl.gov Phone: 202-795-7438.

Headquarters Office:

Shannon Skowronski U.S. Department of Health and Human Services Administration for Community Living, 330 C Street SW, Washington, District of Columbia 20201 Email: Shannon.Skowronski@acl.gov Phone: 202-795-7438

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.048 Special Programs for the Aging Title IV and Title II Discretionary Projects; 93.734 Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs financed by 2012 Prevention and Public Health Funds (PPHF-2012)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements will be awarded on a competitive basis. Specific details for evaluating applications are published as part of the Funding Opportunity Announcement.

93.762 A COMPREHENSIVE APPROACH TO GOOD HEALTH AND WELLNESS IN INDIAN COUNTY FINANCED SOLELY BY PREVENTION AND PUBLIC HEALTH

Tribal Wellness

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Sections 301(a) and 317 (k) of the Public Health Service Act, {42 U.S.C. section 241(a) and 247 (k) and Title IV Section 4002 Prevention and Public Health Fund. Public Service Act.

OBJECTIVES:

This five-year funding opportunity offers support to prevent heart disease, diabetes and associated risk factors in American Indian and Alaska Native (AI/AN) communities through a holistic approach to population health and wellness. There will be two components to this funding opportunity announcement.

Component One will fund 12 AI/AN tribes, one within each of the 12 Indian Health Service (IHS) areas, who will use a combination of community chosen and culturally adapted policies, systems, and environmental changes to increase access to fresh, healthy traditional foods, and offer alternatives to less healthy packaged and processed foods high in sodium and fat. Component One will also strive to promote programs to increase physical activity, health education, and team based strategies to strengthen community-clinical linkages promoting lifelong health and preventing chronic diseases such as diabetes, heart disease, and stroke. Awardees will customize environmental approaches for their communities to foster sustainability, broaden community and cross-sector partnerships, and demonstrate population change to promote health and reduce risk factors that lead to chronic disease.

Component Two awardees will be Tribal organizations, as set forth in 25 U.S.C., Section 1603, and other Tribal-Serving Organizations. Twelve Tribal Organizations will receive funding (no more than one in each Area) to provide Technical Assistance, Training, and Resources to AI/AN tribes and villages within their IHS areas to promote community chosen and culturally adapted policies, systems, and environmental changes. These changes will lead to sustainable increased access to fresh, healthy traditional foods, decreased access to less healthy packaged and processed foods, increased physical activity, health education, as well as strengthened community-clinical linkages to promote lifelong health and prevent chronic diseases such as diabetes, heart disease, and stroke.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Component One awardees can potentially use assistance to apply a combination of community chosen and culturally adapted policies, systems, and environmental changes to increase access to fresh, healthy traditional foods and healthy beverages, such as water, and offer alternatives to less healthy packaged, processed foods high in sodium and fat, and less healthy beverages. Component One will also implement policy, systems, and environmental changes that increase physical activity, health education, and team-based strategies to strengthen community-clinical linkages promoting lifelong health and preventing chronic diseases such as diabetes, heart disease, and stroke. Awardees will customize environmental approaches for their communities to foster sustainability, broaden community and cross-sector partnerships, and demonstrate target/intended population change to promote health and reduce risk factors that lead to chronic disease.

Component Two awardees can potentially use assistance to provide Technical

Assistance, Training, and Resources (including funding for contracts with designated area tribes or villages) to AI/AN tribes and villages within their IHS areas to promote community chosen and culturally adapted policies, systems, and environmental changes. These changes will lead to sustainable increased access to fresh, healthy traditional foods and beverages, decreased access to less healthy processed foods and beverages, increased physical activity, reduced commercial tobacco use, health education, as well as strengthened community-clinical linkages to promote lifelong health and prevent chronic diseases such as diabetes, heart disease, and stroke.

Applicants must submit an itemized budget narrative, which may be scored as part of the Organizational Capacity of Awardees to Execute the Approach.

When developing the budget narrative, applicants must consider whether the proposed budget is reasonable and consistent with the purpose, outcomes, and program strategy outlined in the project narrative. The budget must include: Salaries and wages

Fringe benefits

Consultant costs

Equipment

Supplies

Travel

Other categories

Total Direct costs

Total Indirect costs

Contractual costs. Restrictions that must be considered while planning the programs and writing the budget are:

Awardees may not use funds for research.

Awardees may not use funds for clinical care.

Awardees may use funds only for reasonable program purposes, including personnel, travel, supplies, and services.

Generally, awardees may not use funds to purchase furniture or equipment. Any such proposed spending must be clearly identified in the budget.

Reimbursement of pre-award costs is not allowed.

Other than for normal and recognized executive-legislative relationships, no funds may be used for:

o publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body

o the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body

The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project outcomes and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

Eligibility:

Federally recognized American Indian Tribes and Alaska Native Villages and Corporations which meet the definition set forth in 25 U.S.C. Section 1603.

Tribal organizations, as set forth in 25 U.S.C. Section 1603.

Urban Indian Organizations that meet the definition set forth in 25 U.S.C., section 1603.

Tribal college or university as set forth in section 1059c (b) of title 20.

Tribal epidemiology Centers as set forth in 25 U.S.C. Section 1621m.

Beneficiary Eligibility:

Federally recognized Indian Tribal Government, Individual/Family, Native American Organization, Pre-school, infant, Child, Youth, Senior Citizen, unemployed, welfare recipient, pension recipient, moderate income, low income, rural.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operations, describe evaluation procedures, and provide a budget with justification of funds requested. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under

E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. a. Data Universal Numbering System: All applicant organizations must obtain a Data Universal Numbering System (DUNS) number. A DUNS number is a unique nine-digit identification number provided by Dun & Bradstreet (D&B). It will be used as the Universal Identifier when applying for federal awards or cooperative agreements.

The applicant organization may request a DUNS number by telephone at 1-866-705-5711 (toll free) or internet at <http://fedgov.dnb.com/webform/displayHomePage.do>. The DUNS number will be provided at no charge.

If funds are awarded to an applicant organization that includes sub-awardees, those sub-awardees must provide their DUNS numbers before accepting any funds.

b. System for Award Management (SAM): The SAM is the primary registrant database for the federal government and the repository into which an entity must submit information required to conduct business as an awardee. All applicant organizations must register with SAM, and will be assigned a SAM number. All information relevant to the SAM number must be current at all times during which the applicant has an application under consideration for funding by CDC. If an award is made, the SAM information must be maintained until a final financial report is submitted or the final payment is received, whichever is later. The SAM registration process usually requires not more than five business days, and registration must be renewed annually. Additional information about registration procedures may be found at www.SAM.gov.

c. Grants.gov: The first step in submitting an application online is registering your organization at www.grants.gov, the official HHS E-grant Web site. Registration information is located at the Get Registered option at www.grants.gov.

All applicant organizations must register at www.grants.gov. The one-time registration process usually takes not more than five days to complete. Applicants must start the registration process as early as possible.

2. Request Application Package: Applicants may access the application package at www.grants.gov.

3. Application Package: Applicants must download the SF-424, Application for Federal Assistance, package associated with this funding opportunity at www.grants.gov. If Internet access is not available, or if the online forms cannot be accessed, applicants may call the CDC PGO staff at 770-488-2700 or e-mail PGO PGOTIM@cdc.gov for assistance. Persons with hearing loss may access CDC telecommunications at TTY 1-888-232-6348.

Award Procedure:

Awardees will receive an electronic copy of the Notice of Award (NoA) from CDC PGO. The NoA shall be the only binding, authorizing document between the awardee and CDC. The NoA will be signed by an authorized GMO and e-mailed to the awardee program director.

Any applicant awarded funds in response to this FOA will be subject to the DUNS, SAM Registration, and Federal Funding Accountability And Transparency Act Of 2006 (FFATA) requirements.

Unsuccessful applicants will receive notification of these results by e-mail with delivery receipt or by U.S. mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Renewals will be based upon availability of funding and satisfactory programmatic progress. Project period is for 5 years with 12 month budget periods.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Five year project period with 12 month budget periods. Method of awarding/releasing assistance: lump sum.

Reports:

Semi-annual reports from each entity awarded a cooperative agreement or contract, summarizing the activities undertaken and identifying any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the recipient), to be posted not later than 30 days after the end of each 6-month period. Congress directed HHS to provide information on activities and programs supported with resources from the Prevention and Public Health Fund (PPHF) to a public website.

<http://www.hhs.gov/open/records-and-reports/prevention/index.html>. No cash reports are required. Reporting provided continuous program monitoring and identifies success and challenges that

awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding.

Awardees must submit an Annual Performance Report via www.grants.gov 120 days before the end of the budget period. The report must not exceed 45 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed. This report must include the following: performance measures, evaluation results, work

plan, successes, challenges, DC program support needs, and administrative reporting. The annual FFR form (SF-425) is required and must be submitted through eRA Commons within 90 days after each budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final report must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. The final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data must correspond; no discrepancies between the data sets are permitted. Failure to submit the required information by the due date may affect adversely the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation and include the date by which the information will be provided.

Awardees must provide a more detailed evaluation and performance measurement plan within the first six months of the project. This more detailed plan must be developed by awardees as part of first-year project activities, with support from CDC. This more detailed plan must build on the elements stated in the initial plan, and must be no more than 25 pages. At a minimum, and in addition to the elements of the initial plan, this plan must: Indicate the frequency that evaluation and performance data are to be collected. Describe how data will be reported. Describe how evaluation findings will be used to ensure continuous quality and program improvement. Describe how evaluation and performance measurement will yield findings that will demonstrate the value of the FOA (e.g., effect on improving public health outcomes, effectiveness of FOA as it pertains to performance measurement, cost-effectiveness, or cost-benefit). Describe dissemination channels and audiences (including public dissemination). Describe other information requested and as determined by the CDC program.

Describe how data will be reported.

Describe how evaluation findings will be used to ensure continuous quality and program improvement.

Describe how evaluation and performance measurement will yield findings that will demonstrate the value of the FOA (e.g., effect on improving public health outcomes, effectiveness of FOA as it pertains to performance measurement, cost-effectiveness, or cost-benefit).

Describe dissemination channels and audiences (including public dissemination).

Describe other information requested and as determined by the CDC program.

When developing evaluation and performance measurement plans, applicants are encouraged to use the Introduction to Program Evaluation for Public Health Programs: A Self-Study Guide, available at: <http://www.cdc.gov/eval/guide/index.htm>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of the budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PGS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$13,245,445; FY 17 est \$13,245,445; and FY 18 est \$13,245,445

Range and Average of Financial Assistance:

Anticipated amounts are: FY2014: \$13-15 million; \$14-15m per year with \$65075 million over the five years; average annual award \$500,000, range \$300,000-\$800,000.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

92 CFR Parts 215 and 220 Cost Principles for Educational Institutions (OMB Circular A-21) and OMB Circular No. A-122 for nonprofit organizations and Congress directed HHS guidance/requirements regarding terms and conditions and reporting requirements for recipients of programs supported with resources from the Prevention and Public Health Fund

Regional or Local Office:

None.

Headquarters Office:

Beth Patterson 4770 Buford Hwy, MS F80, Atlanta, Georgia 30341 Email: erp2@cdc.gov Phone: 770-488-6045

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC/NCCDPHP and PGO. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications for Component 1 in accordance with the Criteria section of the FOA.

A review panel will evaluate complete, eligible applications for Component 2 in accordance with the Criteria section of the FOA.

Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements.

93.763 ALZHEIMERS DISEASE INITIATIVE: SPECIALIZED SUPPORTIVE SERVICES PROJECT (ADI-SSS) THRU PREVENTION

AND PUBLIC HEALTH FUNDS (PPHF)

Alzheimers Initiative

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Funding Authority: PPTA 42USC 300u-11 Prevention and Public Health Fund Grant Authority: Older Americans Act Title IV.

OBJECTIVES:

The purpose of the Alzheimers Disease Initiative: Specialized Supportive Services (ADI-SSS) project is to fill gaps in long term services and supports (LTSS) for persons living with Alzheimers disease and related dementias (ADRD) and their caregivers by expanding the availability of specialized services and supports.

The grants are intended to fill existing service gaps in the following areas:

- 1) Provision of effective supportive services to persons living alone with ADRD in the community.
- 2) Development of dementia capable systems designed to improve the quality and effectiveness of programs and services dedicated to individuals aging with intellectual and developmental disabilities and at high risk of developing ADRD.
- 3) Provision of effective care/supportive services for persons living with moderate to severe impairment from ADRD and their caregivers.
- 4) Behavioral symptom management training and expert consultation for family caregivers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The grants are intended to fill existing service gaps in the following areas:

- 1) Provision of effective supportive services to persons living alone with ADRD in the community.
- 2) Development of dementia capable systems designed to improve the quality and effectiveness of programs and services dedicated to individuals aging with intellectual and developmental disabilities and at high risk of developing ADRD.
- 3) Provision of effective care/supportive services for persons living with moderate to severe impairment from ADRD and their caregivers.
- 4) Behavioral symptom management training and expert consultation for family caregivers.

Program funds must be used in the implementation of projects designed to address three of the aforementioned gaps areas, including direct services in the areas noted in the funding opportunity announcement.

The following activities are not fundable: Construction and/or major rehabilitation of buildings, basic research (e.g. scientific or medical experiments), continuation of existing projects without expansion, pre-award costs, publicity and propaganda, use of funds to advocate or promote gun control, and needle exchanges. Program funds must be used in the implementation of projects designed to address three of the aforementioned gaps areas, including direct services in the areas noted in the funding opportunity announcement.

The following activities are not fundable: Construction and/or major rehabilitation of buildings, basic research (e.g. scientific or medical experiments), continuation of existing projects without expansion and pre-award costs, publicity and propaganda, use of funds to advocate or promote gun control, and needle exchanges.

Applicant Eligibility:

Funding eligibility is limited to public and/or private entities that are able to 1) demonstrate the existence of a dementia capable system dedicated to the population that they serve, and 2) articulate opportunities and additional services that would enhance and strengthen the existing system. Organizations that have not received previous awards through this program, individuals,

foreign entities and sole proprietorship organizations are not eligible to compete for, or receive awards under this announcement.

Beneficiary Eligibility:

Public and/or private entities that are able to 1) demonstrate the existence of a dementia capable system dedicated to the population that they serve, and 2) articulate opportunities and additional services that would enhance and strengthen the existing system. Eligible consumers of eligible applicants are the beneficiaries of this program.

Credentials/Documentation:

Qualified applicants would be those eligible entities that are operating within in an existing dementia-capable home and community-based service system and are able to demonstrate that through their partnerships the existing system will be enhanced and strengthened. Applicants should include letters of commitment from organizations and agencies identified as key collaborators in the proposal. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is open to both public and private entities, so both circulars 102 and 110 could be applicable dependent on who the applicant is.

Award Procedure:

Application forms are submitted through a centralized depository and received by the awarding agency Administration for Community Living. Applicants generally apply under a program announcement indicating all the necessary application procedures to be followed.

Deadlines:

Sep 01, 2014 to Aug 31, 2018: Dependent on the posting date, the anticipated application due date is approximately 60 days from that date.

Range of Approval/Disapproval Time:

From 60 to 90 days. Range is 60 to 90 days from receipt of application, as noted in the Funding Opportunity Announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. The required match for this program is 25%, with applicants having the opportunity to apply for waivers of a portion of the match. Match will not be lower than 15%. The ADI-SSS funding opportunity announcement will include a detailed section describing the ways in which grantees can provide in-kind match to meet these requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are typically 3 years in duration. Length of future awards will be published in the Funding Opportunity Announcement and on Grants.gov. Support beyond the first year is contingent upon the availability of funds and evidence of satisfactory progress. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

The reporting cycle format will be reflected in the Notice of Award. Grantees are also expected to comply with the semi-annual reporting requirements related to the Prevention and Public Health Fund. Grantees are required to complete the federal cash transactions portion of the SF-425 within the Payment Managements System as identified in their award documents for the calendar quarters ending 3/31, 6/30, 9/30, and 12/31 through the life of their

award. The AoA program progress reports are due semi-annually from the start date of the award. The final progress report reports are due 90 days after the end of the project period. Grantees will be required to submit program reports and Federal financial reports as described in the Notice of Award. Grantees will be required to submit program reports and Federal financial reports as described in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be maintained for 3 years after submission of the final financial report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Project Grants) FY 16 \$10,500,000; FY 17 est \$10,500,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

As many as 10 grants forward funded for a period of 36 months with an award ceiling of \$1,000,000 and a floor of \$800,000.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All grantees must comply with all requirements specified for grants funded through the Prevention and Public Health Fund and appropriate Departmental regulations regarding grants.

All grantees must also comply with policies outlined in HHS Grants Policy Statement.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of Health and Human Services Administration for Community Living Washington, DC 20201 Attn: Erin Long Email: erin.long@acl.hhs.gov.

Headquarters Office:

Erin Long U.S. Department of Health and Human Services Administration for Community Living 330 C Street SW, Washington, District of Columbia 20201 Email: erin.long@acl.hhs.gov Phone: 202-795-7389

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grant applications will be evaluated by experts in the field and awards made on a competitive basis. Specific details for evaluating applications included in the Funding Opportunity Announcement.

93.764 PPHF- COOPERATIVE AGREEMENTS TO IMPLEMENT THE NATIONAL STRATEGY FOR SUICIDE PREVENTION (SHORT TITLE: NATIONAL STRATEGY GRANTS)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 520 of the Public Health Service Act.

OBJECTIVES:

The purpose of this program is to support states in implementing the 2012 National Strategy for Suicide Prevention (NSSP) goals and objectives focused on preventing suicide and suicide attempts among working-age adults 25-64 years old in order to reduce the overall suicide rate and number of suicides in the U.S. nationally.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Develop and implement a plan for rapid follow-up of adults who have attempted suicide or experienced a suicidal crisis after discharge from emergency departments and inpatient units. This should include care transition and care coordination services.

Establish follow-up and care transition protocols to help ensure patient safety, especially among high risk adults in health or behavioral health care settings who have attempted suicide or experienced a suicidal crisis, including those with serious mental illnesses. The plan should establish follow-up and care transition protocols to help ensure patient safety, especially among high risk adults including those with serious mental illness. The plan should include how you will work with medical professionals including, but not limited to, primary care and emergency department personnel and ensure the transfer of relevant demographic and treatment information between the medical provider and the behavioral health entity.

Provide, or assure provision of, suicide prevention training to community and clinical service providers and systems serving adults at risk.

Incorporate efforts to reduce access to lethal means among individuals with identified suicide risk. This effort will be done within all appropriate federal, state, and local laws.

Work across state departments and systems in order to implement comprehensive suicide prevention. Relevant state agencies should include, but are not limited to, agencies responsible for Medicaid; health, mental health, and substance abuse; justice; corrections; labor; veterans affairs; and the National Guard.

Collaborate with mental health and substance abuse service settings, primary care and specialty behavioral health settings, integrated primary/behavioral health care delivery settings, emergency departments, and Lifeline crisis centers. In addition to the health care sector, include other relevant sectors such as workplace or justice settings. Develop a plan to ensure coordination across sectors.

Incorporate the input of suicide attempt and suicide loss survivors, including family members and friends.

Demonstrate the impact of grant activities on adult suicide deaths and attempts within key sectors, including health and behavioral health, by utilizing timely surveillance data at the beginning, during, and at the end of their grant. Modify your efforts as needed during the grant cycle based on this surveillance data.

Grantees must screen and assess clients for the presence of co-occurring mental and substance use disorders and use the information obtained from the screening and assessment to develop appropriate treatment approaches for the persons identified as having such co-occurring disorders, including identifying, assessing, and treating their suicide risk.

Develop partnerships in order to implement collaboration activities described above in section 2.1, Required Activities.

Adopting and/or enhancing your computer system, management information system (MIS), electronic health records (EHRs), etc., to document and manage client needs, care process, integration with related support services, and outcomes. Training/workforce development to help your staff or other providers in the community identify mental health or substance abuse issues or provide effective services consistent with the purpose of the grant program. Please note that grantees will be asked to demonstrate the impact of grant activities by measuring the competence/confidence of health and behavioral health clinical staff at the beginning, during, and end of their grant. Impact of grant activities on skills in the following areas must be measured: assessment of suicide risk and protective factors, formulation of a risk summary to inform the choice of intervention, use of best-practice interventions to ensure safety including lethal means safety, treatment of suicide risk, and follow-up to ensure continuity of care. Develop a plan for assuring that suicide prevention activities supported by this grant program will be sustained post-grant cycle. Cost principles describing allowable and unallowable expenditures for federal grantees, including SAMHSA grantees, are provided in the following documents, which are available at <http://www.samhsa.gov/grants/management.aspx>: Educational Institutions: 2 CFR Part 220 and OMB Circular A-21 State, Local and Indian Tribal Governments: 2 CFR Part 225 (OMB Circular A-87)

Nonprofit Organizations: 2 CFR Part 230 (OMB Circular A-122)

Hospitals: 45 CFR Part 74, Appendix E

In addition, SAMHSAs National Strategy grant recipients must comply with the following funding restrictions:

No more than 30 percent of the total grant award may be used for developing the infrastructure necessary for expansion of service.

No more than 20 percent of the total grant award may be used for data collection, performance measurement and performance assessment, including incentives for participating in the required data collection.

Applicant Eligibility:

Eligibility is limited to the Mental Health Authority in states, territories, and the District of Columbia.

The purpose of this program is to support states in implementing the 2012 National Strategy for Suicide Prevention (NSSP) goals and objectives focused on preventing suicide and suicide attempts among working-age adults 25-64 years old in order to reduce the overall suicide rate and number of suicides in the U.S. nationally.

The Mental Health Authority within states and territories are uniquely positioned and have the necessary statewide infrastructure in place to promote suicide prevention as a core component of health care services and to coordinate the required activities, including convening all other relevant state agencies to advise and/or participate in the initiative.

Beneficiary Eligibility:

Consumers that are working-age adults between 25-64 years old.

Credentials/Documentation:

Official documentation that all participating mental health/substance abuse treatment provider organizations: 1) comply with all applicable local (city, county) and state requirements for licensing, accreditation, and certification; OR 2) official documentation from the appropriate agency of the applicable state, county, or other governmental unit that licensing, accreditation, and certification requirements do not exist. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download the SF-424 application forms through

<http://www.samhsa.gov/grants/apply.aspx>.

Award Procedure:

Applications are awarded based on the outcomes of the SAMHSA peer review and CSAT National Advisory Council review, and approval by the Administrator, SAMHSA. Grants are awarded directly by SAMHSA to the applicant organization.

Deadlines:

Sep 30, 2014

Range of Approval/Disapproval Time:

From 30 to 60 days. To be determined by agency or office administering the funds.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

To be determined by agency or office administering the funds. Method of awarding/releasing assistance: lump sum.

Reports:

GPRA and data collection reports.
The (SF-425) Federal Financial Report is required by SAMHSA, Division of Grants Management 90 days after the end of each 12 month period and 90 days after the final 12 month budget period. No cash reports are required. Local assessment reports which analyze the performance of the program. No expenditure reports are required. Grantees will be required to report on progress achieved, barriers encountered, and efforts to overcome these barriers in a performance assessment report to be submitted at least annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be retained for at least 3 years; SAMHSA has the right to disallow costs and recover funds on the basis of a later audit or other review; records shall be retained beyond the 3 year period for that reason.

Account Identification:

75-1364-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$2,350,097; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

up to \$587,524 per year.

TAFS Codes:

75-1364.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 4 awards were made. Fiscal Year 2017: No award will be made. Fiscal Year 2018: No award will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Roger George 5600 Fisher Lane, Rockville, Maryland 20857 Email:

roger.george@samhsa.hhs.gov Phone: 2402761418

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

SAMHSA applications are peer-reviewed according to the evaluation criteria listed above.

Decisions to fund a grant are based on:

the strengths and weaknesses of the application as identified by peer reviewers; when the individual award is over \$150,000, approval by the Center for Mental Health Services National Advisory Council; availability of funds; equitable distribution of awards in terms of geography (including urban, rural and remote settings) and balance among populations of focus and program size; and equitable distribution of awards in terms of the highest rates of suicide and highest number of deaths by suicide or suicide attempts within the 25-64 age range (see Appendices K, L, and M).

93.765 PPHF-CDC PARTNERSHIP: STRENGTHENING PUBLIC HEALTH LABORATORIES

NA

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act (PL 111-148), Title IV, Section 4002 (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs. This program is funded in part by 2015 Prevention and Public Health Funds (PPHF-2015), Section 301 and 317, 42 U.S.C 241 and 247b; Sections 301 and 317 of the Public Health Service Act (PHS Act), 42 USC, 241 and 247b as amended; and Funding is appropriated under Affordable Care Act (PL 111-148), Title IV, Section 4002 (Prevention and Public Health Fund), Title IV, Section 4002.

OBJECTIVES:

The major objective is to enhance and strengthen the work and functionality of public health laboratories both domestically and abroad. The overarching goal is to improve several aspects of public health laboratories. These areas include the following: improving public health laboratory infrastructure, increasing the capacity through workforce development, promoting quality laboratory practices, expanding and improving health security, promoting and supporting informatics, and enhancing communication linkages. The specific objective may include but are not limited to:

1. Assess public health infectious disease laboratory capabilities and capacities by conducting and analyzing formal and informal surveys and other methodologies.
2. Convene Committees/Task Forces/Workgroups to develop or review guidelines and recommendations on PHL laboratory capacity and quality laboratory practice.
3. Provide training and other resources to address knowledge and capacity gaps.
4. Convene forums to exchange knowledge and build communities of practice and promote the role of public health laboratories in infectious disease control, especially in emerging infectious diseases.
5. Provide programmatic and technical support to other focus areas, including policy, emergency preparedness, global health, informatics, and professional development.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting public health laboratory infrastructure programs,

and for the implementation of other program elements as described in Section 050 above. CDC's rules on allowable expenses must be followed. The purpose of the program is to enhance the work of public health laboratories in the U.S. and abroad. The program aims to promote quality public health laboratory practice, improve public health laboratory infrastructure, strengthen the public health laboratory system, and to develop a well-trained public health laboratory workforce in the U.S. and globally. It also aims to ensure laboratory preparedness for emerging infectious diseases or other biologic and chemical public health threats, promote technology transfer to ensure up-to-date technologies for the testing laboratory, and enhance communication linkages between state and local public health laboratories and the clinical laboratory testing community improvement of public health laboratory infrastructure, state-of-the-art training for the nation laboratories to be prepared in dealing with public health threats, improving laboratory leadership capabilities, enhancing inter-laboratory communications. This award will be used for direct assistance funding.

Applicant Eligibility:

Eligible applicants that can apply for this funding opportunity are listed below:

- Nonprofit organizations
- Small, minority, and women-owned businesses
- Universities
- Colleges
- Research institutions
- Hospitals
- Community-based organizations
- Faith-based organizations
- Federally recognized or state-recognized American Indian/Alaska Native tribal governments
- American Indian/Alaska native tribally designated organizations
- Alaska Native health corporations
- Urban Indian health organizations
- Tribal epidemiology centers
- State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau)
- Political subdivisions of States (in consultation with States)

A Bona Fide Agent is an agency/organization identified by the state as eligible to submit an application under the state eligibility in lieu of a state application. If applying as a bona fide agent of a state or local government, a legal, binding agreement from the state or local government as documentation of the status is required. Attach with Other Attachment Forms when submitting via www.grants.gov.

Beneficiary Eligibility:

Applicants must have experience with enhancing and strengthening the work and functionality of public health laboratories both domestically and abroad. The overarching goal is to improve several aspects of public health laboratories. The nations public is the ultimate recipient of benefits from this program.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For all other nonprofit grantees, cost will be determined in accordance with HHS Regulations 45 CFR 74 Subpart Q. For-profit organizations costs are determined in accordance with the Federal Acquisition Regulations, 48 CFR 31. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A letter of intent is required for this funding opportunity agreement.

To apply for this funding opportunity use application form PHS 5161. Application forms and instructions are available on www.grants.gov and/or the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at: 770-488-2700.

Award Procedure:

Applications will be evaluated for completeness and responsiveness by the agency program and CDC Procurement and Grants Office (PGO). CDC will not review incomplete and non-responsive applications. After review and approval, a notice of grant award (NoA) will be prepared and processed, along with appropriate notification to the public. Initial award provides funds for the first budget period (usually 12 months) and the NoA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Jul 01, 2015 to Jun 30, 2020

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Cooperative agreement project periods are 1 to 5 years. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon satisfactory progress by the recipient (as documented in required reports) and the determination that continued funding is in the best interest of the Federal government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to five years subject to the availability of funds and satisfactory progress of the grantee. See the following for information on how assistance is awarded/released: Grantee should consult with PGO for additional information.

Reports:

Semi-annual reports from each entity awarded a grant, cooperative agreement, or contract, summarizing the activities undertaken and identifying any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the recipient), to be posted not later than 30 days after the end of each 6-month period. Congress directed HHS to provide information on activities and programs supported with resources from the Prevention and Public Health Fund (PPHF) to a public website. No cash reports are required. Semi-Annual and Annual progress reports are required. Federal Financial Reports are required 90 days after the end of the calendar quarter in which the budget period ends. Consult with CDC about performance monitoring activities.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine HHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 16 \$3,216,132; FY 17 est \$0; and FY 18 est \$0 - FY2015 actual PPHF amount awarded: \$3,046,800. FY2016 actual PPHF was awarded: \$3,216,132. FY2017 actual PPHF awarded: \$0.

Range and Average of Financial Assistance:

FY 2015 PPHF actual awarded amount \$3,046,800. FY2016 anticipated PPHF awarded amount: \$4,000,000; FY 2017 estimated PPHF award: \$4,000,000 FY 2018 estimated PPHF award \$4,000,000; FY 2019 estimated PPHF award \$4,000,000 ; FY2020 estimated PPHF award amount \$4,000,000. Total anticipated PPHF award from FY2015 -FY2020 : 19,046,800.

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 45, basic grant administration policies of DHHS and PHS are also applicable, 46 CFR 74 and 45 CFR 92, PHS Grants Policy Statement, DHHS publication No. (OASH) 94-50,000 (Rev.) April 1, 1994

Regional or Local Office:

See Regional Agency Offices. For program technical assistance, contact:
Annie Harrison-Camacho, Project Officer
Department of Health and Human Services
Centers for Disease Control and Prevention
MS E56, 1600 Clifton Road
Atlanta, GA 30333
Telephone: 404-498-6451
E-mail: atc4@cdc.gov

For financial, grants management, or budget assistance, contact:
Terrian Dixon Grants Management Specialist
Department of Health and Human Services
CDC Procurement and Grants Office
2920 Brandywine Road, MS K70
Atlanta, GA 30341
Telephone: 770-488-2774
E-Mail: thd4@cdc.gov

CDC Telecommunication for the hearing impaired or disabled is available:
TTY (770) 488-2783.

Headquarters Office:

Annie Harrison-Camacho Centers for Disease Control and Prevention
Center for Surveillance, Epidemiology and Laboratory Services (CSELS)
1600 Clifton Road, NE, MS E92, Atlanta, Georgia 30333 Email: atc4@cdc.gov
Phone: 404) 498-6451

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated on the review criteria described in the Funding Opportunity Announcements (FOAs). In general, the review and selection process of complete and responsive applications to the FOA consists of determination of the scientific and technical merit by objective or peer review, availability of funds, and relevance of program priorities and the priorities of DHHS. Refer to the FOA for additional review criteria. The budget is not scored.

93.767 CHILDREN'S HEALTH INSURANCE PROGRAM

(CHIP)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Title XXI of the Social Security Act as amended by the Children's Health Insurance Program Reauthorization Act of 2009 (CHIPRA; Public Law 111-003), the Affordable Care Act (ACA; Public Law 111-148 taken together with Public Law 111-152) and the Medicare Access and CHIP Reauthorization Act (MACRA) (Public Law 114-10).

OBJECTIVES:

To provide funds to States to enable them to maintain and expand child health assistance to uninsured, low income children, and at a state option, low-income pregnant women and legal immigrants, primarily by three methods: (1) obtain health insurance coverage that meets the requirements in Section 2103 relating to the amount, duration, and scope of benefits; (2) expand eligibility for children under the State's Medicaid program; and (3) reduce the number of children eligible for Medicaid, CHIP and insurance affordability programs under the ACA, who are not enrolled and improve retention of those who are already enrolled..

This solicitation addresses the third objective and seeks applications for the Connecting Kids to Coverage Outreach and Enrollment Grants Focused on Increasing Enrollment of eligible children, as provided under the Section 2113 of the Social Security Act, amended by section 303of the MACRA. A total of \$29,800,000 million is available for grants to eligible entities, including states, local governments, schools, health care providers, community-based, non-profit organizations and Indian tribes or tribal consortiums, tribal organizations, urban Indian organizations receiving funds under title V of the Indian Health Care Improvement Act (25 U.S.C. 1651 et seq.), Indian Health Service providers; to the extent that a cooperative agreement awarded to such an entity is consistent with the requirements of Section 1955 of the Public Health Service Act (42 U.S.C. 300x-65) relating to a grant award to nongovernmental entities; and/or elementary or secondary schools. These grants will support outreach strategies aimed at increasing enrollment of eligible children in Medicaid and the Childrens Health Insurance Program (CHIP), emphasizing activities tailored to communities where eligible children and families reside and enlisting community leaders and programs that serve eligible children and families. They also will fund activities designed to help families understand new application procedures and health coverage opportunities, including Medicaid, CHIP and insurance affordability programs under the ACA. Refer to the funding opportunity announcement (Agency Funding Opportunity Number: CMS-XXX-XX-XXX, Competition ID Number: CMS-XXX-XX-XXX-XXXXXX) for additional information. In addition the Centers for Medicare & Medicaid Services (CMS) will also be announcing a separate FOA exclusively for Indian health care providers and tribal entities under which \$3.7 million will be made available for outreach and enrollment cooperative agreements. Indian health care providers and tribal entities are permitted to apply for either or both funding opportunities as long as the work described is different in each proposal.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

No State is eligible for payments for child health assistance for coverage provided prior to October 1, 1997. Standards used to determine eligibility may include those related to geographic areas to be served by the plan. Age, income and resources, residency, disability status (as long as the standard does not restrict eligibility), access to or coverage under other health coverage, and duration of eligibility are factors. Standards may not discriminate on the basis of diagnosis. Eligibility standards must not cover higher-income children without covering lower-income children, and must not deny eligibility based on a child having a pre-existing medical condition. The State must ensure that only targeted low-income children are furnished child health assistance under the plan. Children found through screening to be eligible for Medicaid are to be enrolled in Medicaid. The insurance provided using Federal funds under the

State plan does may not substitute for coverage under group health plans. Coordination with other public and private programs providing creditable coverage for low-income children should occur. Child Health Assistance (other than Medicaid), must consist of any of the following: Benchmark coverage; benchmark equivalent coverage (which can be FEHBP-equivalent coverage); State employee coverage or coverage offered through the HMO with the largest insured commercial non-Medicaid enrollment in the State; existing comprehensive State-based coverage; or Secretary-approved coverage. A State child health plan must include a description of the amount (if any) of cost-sharing and must be in accordance with a public schedule. Cost-sharing may be varied in a way that does not favor higher-income children over lower-income children. No cost-sharing is permitted for well-baby and well-child care, including age-appropriate immunizations. Cost-sharing for children at 150 percent of poverty must be consistent with Medicaid; Cost-sharing for children at 150 percent of poverty and above must be based on an income-related sliding scale. The aggregate cost sharing for all children in a family cannot exceed 5 percent of the family's income. The State child health plan may not impose pre-existing condition exclusions for covered benefits. Funds provided to a State under this Title may only be used to carry out the purposes of this Title. Health insurance coverage provided may include coverage of abortion only if necessary to save the life of the mother or if the pregnancy is the result of an act of rape or incest. States may spend up to 10 percent of their total CHIP expenditures (Federal and State) on non-benefit activities, including: outreach conducted to identify and enroll eligible children in CHIP; administration costs; health services initiatives; and other child health assistance. These expenditures are matched at the enhanced CHIP matching rate and counted against both the 10 percent limit and the allotment. Monetary amounts provided by the Federal government or services assisted or subsidized to any extent by the Federal government, may not be included in determining the amount of nonfederal contributions required for State matching purposes.

All Connecting Kids to Coverage- awardees must adhere to all HHS terms and conditions regarding uses and exclusions of funds. All awardees will receive this information in their award packages.

Applicant Eligibility:

States with an approved child health plan under this title [42 U.S.C. Section 1397aa et seq.]; local governments; Indian tribes or tribal consortium, tribal organizations, urban Indian organizations receiving funds under title V of the Indian Health Care Improvement Act (25 U.S.C. 1651 et seq.), or Indian Health Service providers; federal health safety net organizations; national, state, local, or community-based public or nonprofit private organizations, including organizations that use community health workers or community-based doula programs; faith-based organizations or consortia, to the extent that a cooperative agreement awarded to such an entity is consistent with the requirements of Section 1955 of the Public Health Service Act (42 U.S.C. 300x-65) relating to a grant award to nongovernmental entities; and/or elementary or secondary schools may apply.

For eligibility requirements the Connecting Kids to Coverage project grants, refer to the funding opportunity announcement (Agency Funding Opportunity Number: CMS-XXX-XX-XXX, Competition ID Number: CMS-XXX-XX-XXX-XXXXXX) for additional information.

Beneficiary Eligibility:

Targeted low-income children will benefit. These children are defined (for the purposes of Title XXI) as children who have been determined eligible by the State for child health assistance under their State plan; are low-income children as defined by each state and are not found to be covered under a group health plan or under other health insurance coverage.

Credentials/Documentation:

States and Territories must submit and have approved by the Secretary of DHHS, a State Child Health Plan. Individuals must meet State requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

For the Connecting Kids to Coverage- project grants' application and award process, refer to the funding opportunity announcement (Agency Funding Opportunity Number: CMS-XXX-XX-XXX, Competition ID Number:

CMS-XXX-XX-XXX-XXXXXX) for additional information. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Title XXI plans and amendments are submitted by the State Governor, or designee, to the CMS Center for Medicaid and CHIP Services; Children and Adults Health Programs Group (CMCS/CAHPG). The Title XXI plan should be a stand alone document that fully addresses each relevant Section of the statutory requirements.

Award Procedure:

The CMS Administrator exercises delegated authority to approve Title XXI plans and amendments. Letters of approval will be signed by the CMS Administrator.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section 2106 of the Law, specifies that a State plan is considered approved unless the Secretary notifies the State in writing, within 90 days after receipt of the plan, that the plan is disapproved (and the reasons for disapproval) or that specific additional information is needed. Informal clarification and discussion between the State and the DHHS review team is permitted and encouraged during the review period. This does not stop the "90-day clock." The 90-day review period may be stopped by formal written requests for additional information and clarification. The 90-day review period may be stopped as many times as necessary to obtain completed information necessary to disapprove or approve the plan. The 90-day period will resume when the finalized additional information is received by CMS.

Appeals:

If a State wishes to appeal a disapproval, it may petition for a reconsideration of this decision within 60 days after the date of receipt of the disapproval letter, by submitting a written request for reconsideration to the project officer and the regional office. States also have the option to submit a new application following the disapproval, starting a new 90-day review clock.

Renewals:

An approved State child health plan shall continue in effect unless the State amends that plan or the Secretary finds substantial noncompliance of the plan in accordance with the requirements of Title XXI.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Statutory Formula: The Connecting Kids to Coverage project grant program has no statutory formula.

Matching Requirements: The Connecting Kids to Coverage project grant program has no matching requirements.

This program has MOE requirements, see funding agency for further details. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Federal funds are obligated to the Connecting Kids to Coverage grantees by issuing grant awards. To ensure that all of the appropriated funds are available to States, CMS will issue cooperative grant awards for one year from the award date to all grantees selected. Awardees must meet all reporting deadlines and demonstrate strong performance to be eligible for a non-competing continuation award for subsequent budget periods. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. For the Connecting Kids to Coverage Outreach and Enrollment Grants, the following reports are required:

Semi- Annual and a Final Progress Report with templates for grantee performance monitoring are provided by the evaluation contractor. Federal Financial Reports (FFR) report cash transaction data, expenditures, and any program income generated on a quarterly basis. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees must include an assurance that they will collect and verify application and enrollment data as an important measure of program performance, in order to enable the Secretary to monitor grant program administration and compliance and to evaluate and compare the effectiveness of awardees.

Account Identification:

75-0515-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 16 \$13,958,271,478; FY 17 est \$15,952,148,232; and FY 18 est \$13,196,032,376

Range and Average of Financial Assistance:

For the Connecting Kids to Coverage Cooperative Agreements, the projected awards will range from (\$250,000 up to \$1,000,000). FY 2017, the range is from \$2,901,936 (American Samoa) to \$2,668,626,138 (California).

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TAFS Codes:

75-551.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations and guidance issued related to the Children's Health Insurance Program may be accessed through the World Wide Web at: www.medicaid.gov.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrator, Centers for Medicare and Medicaid Services. (See Appendix IV of the Catalog for addresses and telephone numbers).

Headquarters Office:

Center for Medicaid and CHIP Services 7500 Security Boulevard, Baltimore, Maryland 21244 Phone: (410) 786-3870.

Website Address:

<http://www.cms.gov>

RELATED PROGRAMS:

93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.770 MEDICARE PRESCRIPTION DRUG COVERAGE

(Medicare Part D)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Authorized under Part D of Title XVIII of the Social Security Act.

OBJECTIVES:

To provide prescription drugs to Medicare beneficiaries through their voluntary participation in prescription drug plans, with an additional subsidy provided to lower-income beneficiaries.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Payments will be made to participating prescription drug plans.

Applicant Eligibility:

An entity organized and licensed under State law as a risk-bearing entity eligible to offer health insurance in each State in which it is to offer a plan, meeting the requirements in 42 CFR 423.504 and 42 CFR 423.505. The entity may offer prescription drug coverage in conjunction with a Medicare Advantage plan or as a separate standalone benefit.

Beneficiary Eligibility:

Eligible beneficiaries include individuals who are entitled to Medicare benefits under Part A or enrolled in Part B and who reside in the plan's service area. Individuals in a Medicare Advantage Plan with Part D coverage may not be separately enrolled in a stand alone prescription drug plan.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Potential sponsors apply to CMS to become an approved prescription drug plan. Generally, individuals enroll directly with the prescription drug program sponsor. The sponsor forwards the enrollment and eligibility information to CMS, which verifies eligibility for the drug benefit. Some individuals who are entitled to both Medicare and Medicaid have been enrolled automatically. Low income beneficiaries may complete a subsidy application at any Social Security office or through their State Medicaid office.

Award Procedure:

Payment will be made by CMS to the prescription drug plan sponsors.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to six months for plan sponsors.

Appeals:

Sponsors whose applications to become a prescription drug plan sponsor are rejected have the right to a reconsideration and appeal process. Beneficiaries have the right to a reconsideration and appeal process for adverse coverage determinations.

Renewals:

Contracts with sponsors may be renewed annually. Beneficiaries may enroll and disenroll from plans according to the timeframes established in 42 CFR 423.30-423.46.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Indefinite. See the following for information on how assistance is awarded/released: Monthly payments to Prescription Drug Plan sponsors combined with an annual reconciliation adjustment.

Reports:

Plans must provide periodic reports to CMS, enrollees and the general public on cost; utilization; availability, accessibility and acceptability of services; fiscal soundness and other information required by CMS. Plans must provide an annual report of business transactions and combined financial statements. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Periodic audits of plans by HHS, the Comptroller General or their designees. Periodic audits by CMS and the HHS Office of Inspector General (OIG) of plan cost reporting. Audit by CMS of financial records of at least one-third of participating plans every year.

Records:

None.

Account Identification:

75-8308-0-7-571.

Obligations:

(Insurance) FY 16 \$89,102,000,000; FY 17 est \$95,108,000,000; and FY 18 est \$85,996,000,000

Range and Average of Financial Assistance:

Determined by plan offerings, number of enrollees, and utilization.

TAFS Codes:

75-8308.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are authorized under Part D of Title XVIII of the Social Security Act and are available at 42 CFR 423.

Regional or Local Office:

None.

Headquarters Office:

Lori Levine 7500 Security Blvd, Baltimore, Maryland 21244 Email: Lori.Levine@cms.hhs.gov Phone: 410-786-7625

Website Address:

<http://www.cms.hhs.gov>

RELATED PROGRAMS:

93.773 Medicare_Hospital Insurance; 93.774 Medicare_Supplementary Medical Insurance; 93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.772 TRIBAL PUBLIC HEALTH CAPACITY BUILDING AND QUALITY IMPROVEMENT UMBRELLA COOPERATIVE AGREEMENT Tribal Umbrella

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Executive Order 13175, 42 U.S.C 247(b)(k)(2).

OBJECTIVES:

Strengthen and improve the infrastructure and performance of tribal public health systems through the optimization of quality, performance, infrastructure, workforce, data surveillance, partnerships and resources.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Implementation of business practice improvements leading to efficiencies and cost savings; education/training of workforce; integration of technology in data collection and information systems, implementation of culturally adapted and traditional evidence-based public health programs, policies and services; development of capacity to meet national standards; development of strategic partnerships to enhance capacity to implement evidenced-based public health intervention strategies; improvement responsiveness to disease trends through culturally relevant public health communication tools; and improvement in quality, availability and accessibility of public health tools and resources.

Applicant Eligibility:

The following organizations are eligible to apply:

Government Organizations: American Indian/Alaska Native federally recognized tribal governments or other bona fide agents

Non-Government Organizations: American Indian/Alaska Native tribally designated organizations, including but not limited to the following: Tribally owned and operated health systems; Tribal epidemiology centers; Inter-Tribal consortia; Native community-based organizations; Tribal colleges and universities.

Beneficiary Eligibility:

Eligible applicants should be able to demonstrate tribal affiliation including (1) a record of effectively working with American Indian and Alaska Native populations (2) an ability to methodically and efficiently reach tribal members in American Indian and Alaska Native communities and (3), if recipient is a tribally owned and operated organization, it should provide a letter of approval from Tribal council for the proposed program.

Credentials/Documentation:

For non-profits, proof of non-profit IRS status should be submitted with application documents. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may apply for funding through either Priority Area 1 or Priority Area 2, but may not apply for funding through both components. Additionally, eligible applicants must provide support in the form of an official letter from a tribe or a tribal resolution. For tribes that are applying directly; the application will serve as official recognition of tribal support for this effort.

Award Procedure:

An objective review panel with experience working with tribes or tribal organizations will evaluate complete and responsive applications. Reviewers will be primarily selected from a pool of subject matter experts who are federal employees and can demonstrate experience working in tribal settings and cultural competence. The specific objective reviewers scores will be used to produce a rank order of the proposals. Proposals may be funded out of rank order based on the following criteria: health conditions and geographical diversity of the populations that the applicants will directly serve. In an effort to ensure that the review and selection process is fair and objective, the identity of the individual reviewers will remain confidential to the extent permitted by law.

Deadlines:

Apr 04, 2018 to Jun 06, 2018

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

Not Applicable.

Renewals:

Annual Performance Report (due 120 days before the end of the budget period; serves as a continuation application) for FY2019-FY2022.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

All funds awarded must be spent by the end of the 12 month budget year. There are 5 budget years in the project period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Annual Performance Report (due 120 days before the end of the budget period; serves as a continuation application).

This report must include the following:

Performance Measures (including outcomes): Awardees must report on performance

measures for each budget period and update measures, if needed.

Evaluation Results: Awardees must report evaluation results for the work completed to

date (including any impact data).

Work Plan (maximum of 10 pages): Awardees should update the work plan each budget period.

Successes

Awardees must report progress on completing activities outlined in the work plan.

Awardees must describe any additional successes (e.g., identified through evaluation

results or lessons learned) achieved in the past year.

Awardees must describe success stories.

Challenges

Awardees should describe any challenges that hinder achievement of both annual and project period outcomes, performance measures, or their ability to complete the activities

in the work plan.

Awardees must describe any additional challenges (e.g., identified through evaluation

results or lessons learned) encountered in the past year.

CDC Program Support to Awardees - Awardees should describe how CDC could assist them in overcoming any challenges to achieve both annual and project period outcomes and performance measures, and complete activities outlined in the work plan.

Administrative Reporting

SF-424A Budget Information-Non-construction Programs
Budget Narrative Must use the format outlined in Section IV. Content and Form of

Application Submission, Budget Narrative Section
Indirect Cost Rate Agreement

Awardees may request up to 75% of their estimated unobligated funds to be carried forward into the next budget period. The carryover request must: Express a bona fide need for permission to use an unobligated balance. Include a signed, dated, and accurate Federal Financial Report (FFR) for the budget period from which the fund will be transferred (can request up to 75% unobligated balances).

Include a list of proposed activities, an itemized budget, and a narrative justification of those activities. The Annual Federal Financial Report (FFR) SF 425 is required and must be submitted through eRA Commons within 90 days

after the end of each budget period. The FFR should only include those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds and may not reflect any un-liquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. As indicated, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Fiscal & Programmatic records pertaining to the program shall be maintained for 3 years, after all closeout actions are completed, or until the completion and resolution of any audit in process or pending.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 est \$500,000

Range and Average of Financial Assistance:

Subject to the availability of funds; Fiscal year 2018-2022; Approximate total fiscal year funding is \$500,000; Approximate Total Project Period Funding: \$2,500,000 over five years; Approximate number of awards is 26; Approximate average award is \$19,230 with ceiling of individual award at \$50,000.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per the Funding Opportunity Announcement OT18-1803, additional applicable HHS/CDC regulations and requirements specified, detailed and listed in corresponding Notice of Award apply.

Regional or Local Office:

None.

Headquarters Office:

Carmen (Skip) Clelland 1825 Century Blvd. NE, Atlanta, Georgia 30345
Email: yur3@cdc.gov Phone: 404-498-2208 Fax: 404-498-6882

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.098 Tribal Public Health Capacity Building and Quality Improvement;
93.331 Partnerships to Improve Community Health

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As specified in the funding opportunity announcement OT18-1803, Section II E, application review and Selection Process. An objective review panel with experience working with tribes or tribal organizations will evaluate complete applications. Reviewers will be primarily selected from a pool of CDC subject matter experts with demonstrated experience working with tribes or in tribal settings to establish cultural competence. The specific objective reviewer scores will be used to produce a rank order of proposals. However, proposals may be funded out of rank order based upon the following criteria: health conditions and geographical diversity of populations that the applicants will directly serve.

93.773 MEDICARE HOSPITAL INSURANCE

(Medicare Part A)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5, Title XVIII of the Social Security Act, Health Care and Education Reconciliation Act (Public Law 111-152); Affordable Care Act (Public Law 111-148).

OBJECTIVES:

To provide hospital insurance protection for covered services to persons age 65 or above, to certain disabled persons and to individuals with chronic renal disease.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Hospital insurance benefits are paid to participating hospitals, inpatient rehabilitation facilities, skilled nursing facilities, home health agencies, and hospice agencies. Payments are made in order to cover the prospective payment amount or reasonable cost of medically necessary services furnished to individuals who are entitled under this program. In limited circumstances, Medicare will also pay for certain aspects of receiving care in an inpatient religious non-medical health care setting.

Applicant Eligibility:

Persons age 65 or over and certain disabled persons are eligible to receive hospital insurance benefits. Nearly all individuals who had reached the age of 65 before 1968 are eligible for Part A, including people not eligible for cash Social Security benefits. A person reaching the age of 65 from 1968 to the present, and who is not eligible for social security benefits, needs to have accumulated work credits (amount dependent on age) to qualify for hospital insurance benefits.

Hospital insurance (Medicare Part A) is also available to persons aged 65 and over through payment of a monthly premium, which is currently \$413 per month, effective January 1, 2017. A reduced Part A premium of \$227 per month is applied to persons with 30 to 39 quarters in which they have paid into the social security system. This reduced Part A premium applies to their spouse, surviving spouse or divorced spouse as well.

Federal employees began contributing toward Medicare hospital insurance coverage in 1983. Employees who have worked in the federal government prior to this year are still eligible to receive credit for prior non-contributory quarters of Federal employment. State and local government employees not already in Social Security-covered positions and who were hired on or after April 1, 1986 also contribute toward Medicare hospital insurance coverage. Although states may request agreements to cover individuals employed prior to April 1, 1986, no credit is given toward establishing Medicare entitlement for prior employment. Persons under the age of 65 who have been entitled to Social Security or Railroad Retirement disability benefits for at least 29 months are also eligible to receive hospital insurance benefits, as are any individuals who have been diagnosed with End Stage Renal Disease (ESRD).

Beneficiary Eligibility:

Persons age 65 or over and qualified disabled persons.

Credentials/Documentation:

Proof of age or disability. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Telephone or visit the local Social Security Office. Individuals entitled to Social Security or railroad retirement are enrolled without application.

Award Procedure:

The individual will be notified by mail of enrollment, whether automatic or applied for.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

None.

Appeals:

Telephone or visit the local Social Security Office or Medicare payment organization responsible for the initial determination. The appeal process ranges from reviews of the initial determinations to formal hearings and, in cases meeting certain criteria, reviews by Federal Courts.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: Claims-based payment to providers or monthly capitation payments to Medicare Advantage plans.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

75-8005-0-7-571.

Obligations:

(Insurance) FY 16 \$291,248,000,000; FY 17 est \$293,284,000,000; and FY 18 est \$298,434,000,000 - These figures represent benefit outlays, not including QIO or Health IT payments to medical providers.

Range and Average of Financial Assistance:

Benefits may be paid based on the prospective payment amount or the reasonable costs of covered inpatient hospital services and based on the reasonable costs of covered post-hospital extended care services, which are incurred during a benefit period. For benefit periods beginning in calendar year 2017 the beneficiary is responsible for \$1,316 inpatient hospital deductible, a \$329 per day coinsurance amount for 61 through 90 days of inpatient hospital care, a \$658 per day coinsurance amount for inpatient hospital care during the 60 lifetime reserve days, and a \$164.50 per day coinsurance amount for days 21 through 100 of care in a skilled nursing facility. Home health services are paid in full.

TAFS Codes:

75-8005.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 401, 405 and 422; Title 42, Parts 400, 401, 405, 406, 409, 412, 417, and 418. " Medicare and You," SSA-79-10050, and other publications are available from any Social Security Office without charge.

Regional or Local Office:

See Regional Agency Offices. Consult Appendix IV of the Catalog for a listing of Regional Offices.

Headquarters Office:

Rob Ludwig 7500 Security Boulevard, Baltimore, Maryland 21244 Email: Robert.Ludwig@cms.hhs.gov Phone: (410) 786-5407

Website Address:

<http://www.cms.hhs.gov>

RELATED PROGRAMS:

57.001 Social Insurance for Railroad Workers; 93.770 Medicare_Prescription Drug Coverage; 93.774 Medicare_Supplementary Medical Insurance; 96.001 Social Security_Disability Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.774 MEDICARE SUPPLEMENTARY MEDICAL INSURANCE

(Medicare Part B)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act Title XVIII, Section 1831.

OBJECTIVES:

To provide medical insurance protection for covered services to persons age 65 or over, to certain disabled persons and to individuals with end-stage renal disease. Enrollment in Part B is voluntary.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Managed care benefits are paid on the basis of Medicare capitation rates. Fee-for-service benefits are paid on the basis of fee schedules or other approved amounts for services furnished by physicians and other suppliers of medical services to aged or disabled enrollees. Benefits are paid on the basis of prospective payment systems for certain covered services furnished by participating providers such as hospitals and home health agencies.

Applicant Eligibility:

All persons who are eligible for premium-free hospital insurance benefits (see 93.773), and persons age 65 and older who reside in the United States and are either citizens or aliens lawfully admitted for permanent residence who have resided in the United States continuously during the five years immediately preceding the month in which the application for enrollment is filed, may voluntarily enroll for Part B supplementary medical insurance (SMI). The beneficiary pays a monthly premium and an annual deductible. Beginning in calendar year 2008, the Part B premiums have been set based upon beneficiary income. The calendar year 2016 premiums range from \$134.00 to \$428.60 per month. The annual deductible is \$183.00. Some States and other third parties may pay the SMI PART B premium on behalf of qualifying individuals.

Beneficiary Eligibility:

All persons who qualify for hospital insurance, and those who do not qualify for hospital insurance but meet eligibility requirements and choose to purchase Part "B".

Credentials/Documentation:

Proof of age, disability or lawful admission status. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Telephone or visit the local Social Security Office. Most persons entitled to hospital insurance and already receiving benefits from Social Security or the Railroad Retirement Board are enrolled automatically for supplementary medical insurance. Since the program is voluntary, you may decline coverage. Persons not entitled to hospital insurance must file an

application.

Award Procedure:

After review of the application is completed, the applicant will be notified by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Telephone or visit the local Social Security Office or the Medicare payment organization responsible for the initial determination. The appeal process ranges from reviews, of the initial determinations to formal hearings and, in cases meeting certain criteria, reviews by Federal courts.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: Claims-based payments to providers and suppliers or monthly capitation payments to MA plans.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Providers, suppliers, and Medicare Advantage plans are subject to audit requirements.

Records:

None.

Account Identification:

75-8004-0-7-571.

Obligations:

(Insurance) FY 16 \$301,506,000,000; FY 17 est \$309,423,000,000; and FY 18 est \$325,058,000,000

Range and Average of Financial Assistance:

Generally, with exceptions of certain services, the beneficiary is responsible for meeting the annual \$166 deductible before you may begin. Thereafter, Medicare pays a percent of the approved amount of the covered service. This percentage is 80 percent for most services.

TAFS Codes:

75-8004.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In fiscal year 2016, the number of enrollees is estimated to be 56,482,000. The source for the number of Medicare beneficiaries is the FY 2018 MSR. Fiscal Year 2017: In fiscal year 2017, the number of enrollees is estimated to be 58,165,000. The source for the number of Medicare beneficiaries is the FY 2018 MSR. Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 401, 405, and 422; Title 42, Parts 401, 405, 407, 408, 410, 413, 416, and 417. "Your Medicare Handbook," and other publications are available from any Social Security Office without charge.

Regional or Local Office:

See Regional Agency Offices. Consult Appendix IV of the Catalog for listing of Regional Offices.

Headquarters Office:

Inga Feldmanayte, 7500 Security Boulevard, Baltimore, Maryland 21207
Email: Inga.Feldmanayte@cms.hhs.gov Phone: (410) 786-5995.

Website Address:

<http://www.cms.hhs.gov>

RELATED PROGRAMS:

57.001 Social Insurance for Railroad Workers; 64.012 Veterans Prescription Service; 64.013 Veterans Prosthetic Appliances; 93.770 Medicare_Prescription Drug Coverage; 93.773 Medicare_Hospital Insurance; 93.778 Medical Assistance Program; 96.001 Social Security_Disability Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.775 STATE MEDICAID FRAUD CONTROL UNITS

(SMFCU's)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act (The Act), as amended, Title XIX, Section 1902(a)(61).

OBJECTIVES:

To eliminate fraud and patient abuse in the State Medicaid Programs.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The statute authorizes 90 percent matching funds for the first 12 quarters, and 75 percent matching funds thereafter for investigation and prosecution of fraud and patient abuse in the State Medicaid Programs. A unit must be separate and distinct from the single State Medicaid agency. A unit must also employ sufficient professional, administrative and support staff to carry out its duties and responsibilities in an effective and efficient manner.

Applicant Eligibility:

An established State Medicaid Fraud Control Unit must be a single identifiable entity of the State government which the Secretary certifies (and the Office of Inspector General annually re-certifies) as complying with the requirements of 1903(q) of the Social Security Act (42 CFR 1007) regarding location, function and procedure. Applicants must also comply with section 1902 (a)(61) of the Act as amended by the Omnibus Budget Reconciliation Act of 1993. Tribes (American Indian/Alaskan Native/Native American) are not eligible to apply.

Beneficiary Eligibility:

Grantees are State entities.

Credentials/Documentation:

Units must submit applications containing proposed organization, administration, agreements, detailed budgets and procedures for certification of eligibility (see 42 CFR 1007). Costs will be determined in accordance with 2 CFR 200 and 45 CFR 75. Governors in States applying for Federal assistance must approve the application pursuant to 42 CFR 1007.15(a). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms (SF 424, SF 424A, and SF 424B) must be used for the application. The Inspector General's staff is available to assist with technical development of programs, plans, and budget amendments and revisions.

Award Procedure:

States are awarded funds based on a detailed description of estimated

expenditures needed to support the program. Award funds are distributed quarterly on a fiscal year basis as follows: October 1, January 1, April 1, and July 1.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The time required to process an application may take up to 90 days.

Appeals:

From 60 to 90 days. If the application is not approved, the State may submit an amended application at any time.

Renewals:

The application for the annual re-certification must be submitted 60 days prior to the end of each certification period.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: States are awarded, through the issuance of a grant award, for 90 percent of their costs for the first 12 quarters, and 75 percent thereafter, computed against a quarterly maximum allowable of the higher of \$125,000, or one-fourth of 1 percent of the sums expended by the Federal, State, or local government in carrying out the State plan under Title XIX of the Social Security Act.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant periods are 1 year in length and funds are awarded quarterly based on an approved annual budget and limitations on entitlement. Method of awarding/releasing assistance: quarterly.

Reports:

Annual Reports are required and must be submitted 60 days prior to the expiration of the MFCU's certification period. Federal Financial Reports (SF-425) to report cash transactions are required on a quarterly basis to PMS. An Annual Report to report the MFCU's statistics is required. Expenditure reports on the SF-425 are required to be submitted to OIG and are due 30 days following each quarter and a final expenditure report is required and is due 90 days after the grant period end date. Performance monitoring is required through the submission of annual reports by the MFCUs to HHS/OIG and through site visits to the MFCUs that are conducted by the HHS/OIG.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. OIG performs periodic onsite reviews of the State program, as appropriate, as well as an annual re-certification review of each SMFCU.

Records:

All records are to be retained for 3 years, in accordance with 45 CFR 75.361.

Account Identification:

75-0512-0-1-551.

Obligations:

(Formula Grants) FY 16 \$243,715,000; FY 17 est \$255,000,000; and FY 18 est \$270,000,000

Range and Average of Financial Assistance:

FY 2016: Range \$339,272 to \$39,986,336; Average \$4,670,272.

TAFS Codes:

75-0512.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 1007, 45 CFR 75, and Performance Standards originally issued

September 1994 and revised in June 2012.

Regional or Local Office:

None.

Headquarters Office:

Richard B. Stern 330 Independence Ave., S.W., Cohen Building, Washington, District of Columbia 20201 Email: richard.stern@oig.hhs.gov Phone: (202) 619-0480

Website Address:

<http://www.oig.hhs.gov>

RELATED PROGRAMS:

93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.777 STATE SURVEY AND CERTIFICATION OF HEALTH CARE PROVIDERS AND SUPPLIERS (TITLE XVIII) MEDICARE FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Sections 1863, 1864, 1865, 1902, 1903, and 1919, Title XVIII, and the IMPACT Act P.L. 113-185.

OBJECTIVES:

To provide financial assistance to any State which is able and willing to determine through its State health agency or other appropriate State agency that providers and suppliers of health care services are in compliance with Federal regulatory health and safety standards and conditions of participation.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are made available to States for the purpose of inspecting providers and suppliers of health care services, to ensure mandatory adherence to Medicare/Medicaid health and safety standards and conditions. Funds made available under these programs are used to support or reimburse State staff for performing survey activities and for State administration of the program.

Applicant Eligibility:

Under Title XVIII, States enter into Section 1864 agreements with the Secretary of Health and Human Services whereby the designated agency of the State will be supported or reimbursed for on-site inspection of health care providers and suppliers. The designated State agency is usually that unit performing licensure activities within the State health department.

Beneficiary Eligibility:

NA.

Credentials/Documentation:

A signed 1864 Agreement for Title XVIII in order to carry out the survey function, 2 CFR 200 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Sign an 1864 Agreement for support of Medicare/Medicaid survey and certification activities.

Award Procedure:

States are provided a quarterly award based on an approved annual budget

under Title XVIII, IMPACT Act, and quarterly formula grants under Title XIX. States are reimbursed for Title XVIII and Title XIX survey activities under Electronic Funds Transfer System procedures. States draw funds through the Department's Payment Management System (PMS).

Deadlines:

Aug 10, 2010: By the end of the 4th quarter of the previous Fiscal Year, Annual budget proposals for Title XVIII for the upcoming Fiscal Year are requested from the appropriate State agency as negotiated with Regional Office. By the end of the 4th quarter of the previous Fiscal Year, Annual budget proposals for Title XVIII and IMPACT Act for the upcoming Fiscal Year are requested from the appropriate State agency as negotiated with Regional Office. By the end of the 4th quarter of the previous Fiscal Year, Annual budget proposals for Title XVIII and IMPACT Act for the upcoming Fiscal Year are requested from the appropriate State agency as negotiated with Regional Office.

Range of Approval/Disapproval Time:

From 30 to 60 days. This timeline is for initial approval or disapproval.

Appeals:

Title XVIII appeals are reviewed by the Armed Services Contracts Appeal Board.

Renewals:

Ongoing renewals are awarded to States that are willing and able to conduct required survey activities in compliance with statutory mandate.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants/cooperative agreements are generally funded on a 12-month basis, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required. Cash reports are required. Progress reports are required. Expenditure reports are required. States operate under 1864 Agreement (Title XVIII). Quarterly expenditure reports are required 30 days after the close of each quarter and monitoring is carried out by the Department of Health and Human Services. Performance monitoring is required. Comprehensive final reports are due no later than 90 days after termination projects.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. DHHS Office of Inspector General staff or designated representatives make periodic audits of State survey agencies. In addition, under the Single State Audit Act, States may elect to conduct their own nonpartisan audits and certify the results to the Secretary of Health and Human Services.

Records:

States must maintain surveyor time records, line item and expenditure documentation, which substantiate the costs relating to survey activities.

Account Identification:

75-0511-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 16 \$363,816,174; FY 17 est \$361,497,858; and FY 18 est \$364,288,848

Range and Average of Financial Assistance:

FY 15 range is from \$607,409 to \$45,882,611 and an average of \$6,526,368 (includes IMPACT Act funding).
FY16 range is from \$542,819 to \$46,234,033 and an average of \$6,522,660 (includes IMPACT Act funding).

FY17 range is from \$558,610 to \$46,266,103 and an average of \$6,523,464 (includes IMPACT Act funding).

TAFS Codes:

75-0511.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title XVIII, Social Security Act as amended Sections 1863, 1864 and 1865. IMPACT Act P.L. 113-185

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrator for Centers for Medicare and Medicaid Services. (See Appendix IV of the Catalog for listing of Regional Offices).

Headquarters Office:

David Wright 7500 Security Blvd, Baltimore, Maryland 21244 Email: David.Wright@cms.hhs.gov Phone: 410786-9493

Website Address:

<http://www.cms.hhs.gov/contracts/>.

RELATED PROGRAMS:

93.773 Medicare_Hospital Insurance; 93.774 Medicare_Supplementary Medical Insurance; 93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selecting criteria for Federal funding of State certification proposals are available to State survey agencies upon request.

93.778 MEDICAL ASSISTANCE PROGRAM

(Medicaid; Title XIX)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title XIX, as amended; Public Laws 89-97, 90-248, and 91-56; 42 U.S.C. 1396 et seq., as amended; Public Law 92-223; Public Law 92-603; Public Law 93-66; Public Law 93-233; Public Law 96-499; Public Law 97-35; Public Law 97-248; Public Law 98-369; Public Law 99-272; Public Law 99-509; Public Law 100-93; Public Law 100-202; Public Law 100-203; Public Law 100-360; Public Law 100-436; Public Law 100-485; Public Law 100-647; Public Law 101-166; Public Law 101-234; Public Law 101-239; Public Law 101-508; Public Law 101-517; Public Law 102-234; Public Law 102-170; Public Law 102-394; Public Law 103-66; Public Law 103-112; Public Law 103-333; Public Law 104-91; Public Law 104-191; Public Law 104-193; Public Law 104-208,104-134; Balanced Budget Act of 1997, Public Law 105-33; Public Law 106-113; Public Law 106-554; Public Law 108-27; Public Law 108-173; Public Law 109-91; Public Law 109-171; Public Law 109-432; Public Law 110-28; Public Law 110-161; Public Law 111-3; Public Law 111-5; Public Law 111-8; Public Law 111-31; Public Law 111-68; Public Law 111-88; Public Law 111-117; Public Law 111-118; Public Law 111-148; Public Law 111-150; Public Law 111-150; Public Law 111-152; Public Law 111-309, Public Law 112-10, Public Law 112-33, Public Law 112-36, Public Law 112-55, Public Law 112-74, Public Law 112-78, Public Law 112-96, Public Law 112-175, P.L. 113-6, Public Law 113-46, Public Law 113-73, Public Law 113-76, Public Law 113-235, Public Law 114-10, Public Law 114-113, Public Law 115-31.

OBJECTIVES:

To provide financial assistance to States for payments of medical assistance on behalf of cash assistance recipients, children, pregnant women, and the aged who meet income and resource requirements, and other categorically-eligible groups. In certain States that elect to provide such coverage, medically-needy persons, who, except for income and resources, would be eligible for cash assistance, may be eligible for medical assistance payments under this program. Financial assistance is provided to States to pay for Medicare premiums, copayments and deductibles of qualified Medicare beneficiaries meeting certain

income requirements. More limited financial assistance is available for certain Medicare beneficiaries with higher incomes.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

For the categorically needy, States must provide in and out-patient hospital services; rural health clinic services; federally-qualified health center services; other laboratory and x-ray services; nursing facility services; home health services for persons over age 21; family planning services; physicians' services; early and periodic screening, diagnosis, and treatment for individuals under age 21; pediatric or family nurse practitioner services; and services furnished by a nurse-midwife as licensed by the States. For the medically needy, States are required to provide a minimum mix of services for which Federal financial participation is available (see section 1902(a)(10)(C)(iv) of the Social Security Act).

Applicant Eligibility:

State and local welfare agencies must operate under an HHS-approved Medicaid State Plan and comply with all Federal regulations governing aid and medical assistance to the needy.

Beneficiary Eligibility:

Low-income persons who are over age 65, blind or disabled, members of families with dependent children, low-income children and pregnant women, certain Medicare beneficiaries and, in many States, medically-needy individuals may apply to a State or local welfare agency for medical assistance. At the State's option, eligibility to non-elderly individuals with family incomes up to 133 percent of the federal poverty level will start in calendar year 2014. Eligibility is determined by the State in accordance with Federal regulations.

Credentials/Documentation:

Federal funds must go to a designated State Medicaid Agency. Individuals must meet State requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Individuals needing medical assistance should apply directly to the State or local welfare agency. States should contact the Regional Administrator, CMS for application forms. (See Appendix IV of the Catalog for agency Regional Office addresses.)

Award Procedure:

States are awarded funds quarterly based on their estimates of funds needed to provide medical assistance to the needy. Awards are made quarterly on a fiscal year basis as follows: October 1, January 1, April 1, and July 1. Individuals receive medical care from providers of medical care who are participating in the Medicaid program.

Deadlines:

Aug 08, 2013 An individual needing medical assistance may apply to the State at any time. States must submit quarterly estimates of funds needed no later than August 8, November 15, February 15, and May 15, in order to receive a timely quarterly grant award for the following quarter.

Range of Approval/Disapproval Time:

Up to 60 days. The States usually provide needy individuals with immediate medical assistance.

Appeals:

Individuals denied medical assistance by the State or local welfare agency must be given a fair hearing on appeal (see 42 CFR, Subchapter C, Part 431, Subpart E). States have 60 days to resubmit revised applications.

Renewals:

Recipients receive assistance as long as they are qualified under State requirements.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Federal funds are available to match State expenditures for medical care. Under the Act, the Federal share for medical services may range from 50 percent to 83 percent. The statistical factors used for fund allocation are: (1) Medical assistance expenditures by State; and (2) per capita income by State based on a 3-year average (source, "Personal Income," Department of Commerce, Bureau of Economic Analysis). Statistical factors for eligibility do not apply to this program. This program has maintenance of effort (MOE) requirements, see funding agency for further details.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The needy receive medical assistance as necessary. States receive funds quarterly. The Electronic Transfer System will be used by States for monthly cash draws on the Federal Reserve Bank. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. States must submit fiscal and statistical reports, as required, to the Centers for Medicare and Medicaid Services, Department of Health and Human Services. A Treasury Report TUS-5401 is required monthly. States must submit certified expenditure reports within 30 days after the end of each quarter. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

States must maintain records which substantiate direct and indirect costs charged to the grant award activity.

Account Identification:

75-0512-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 16 \$393,054,311,000; FY 17 est \$394,791,338,000; and FY 18 est \$398,014,686,000

Range and Average of Financial Assistance:

\$16,828,000 TO \$60,223,130,000. Average assistance is \$7,012,811,340.

TAFS Codes:

75-0512.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR, Subchapter C.

Regional or Local Office:

See Regional Agency Offices. Contact the Associate Regional Administrator, Division of Medicaid, Center for Medicaid, CHIP and Survey & Certification. (See Appendix IV of the Catalog for addresses and telephone numbers.)

Headquarters Office:

Division of Medicaid, 7500 Security Boulevard, Baltimore, Maryland 21244
Phone: (410) 786-3870.

Website Address:

<http://www.cms.hhs.gov/contracts/>.

RELATED PROGRAMS:

64.012 Veterans Prescription Service; 64.013 Veterans Prosthetic Appliances; 93.110 Maternal and Child Health Federal Consolidated Programs; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers); 93.560 Family Support Payments to States_Assistance Payments; 93.767 State Children's Insurance Program;

93.773 Medicare_Hospital Insurance; 93.774 Medicare_Supplementary Medical Insurance; 93.775 State Medicaid Fraud Control Units; 93.777 State Survey and Certification of Health Care Providers and Suppliers; 96.006 Supplemental Security Income

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.779 CENTERS FOR MEDICARE AND MEDICAID SERVICES (CMS) RESEARCH, DEMONSTRATIONS AND EVALUATIONS (CMS Research)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title XI, Sections 1110 and 1115; 42 U.S.C. 1310 and 1315(a); Title XVIII, Section 1875; 42 U.S.C. 1395 and 42 U.S.C. 1881 (f); Section 402, Public Law 90-248, as amended; Section 222, Public Law 92-603.

OBJECTIVES:

The Centers for Medicare & Medicaid Services (CMS) conducts research, demonstrations, and evaluations in support of CMS' key role as a beneficiary-centered purchaser of high-quality health care at a reasonable cost. These grants are awarded in the form of research grants and cooperative agreements; Hispanic health services grants; historically black colleges and university grants. For fiscal years 2010 and 2011, CMS research, demonstrations and evaluations will focus on expanding agency efforts to improve the efficiency of payment, delivery, access and quality of our health care programs that serve millions of beneficiaries.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Under all authorizations, all applications must meet standards of excellence in research or evaluation design. Funds may not be used for construction or renovation of buildings. Funds authorized by Section 1115 of the Social Security Act are limited to State agencies administering the Medicaid program.

The research issues and/or hypotheses identified by a project should be clearly stated and realistic and should reflect the issues of interest to CMS and, where appropriate, HHS, Federal Government, and the broader health services research community.

The research design should clearly identify the measures that will be collected and analyzed to address the issues and/or hypotheses. These proposed measures should reflect concepts and variables that are consistent with the stated issues and hypotheses.

The research design should also address the reliability of measures i.e., a measurement should not change when the concept being measured remains constant in value. A common reliability concern is the level of inter-rater reliability of an instrument, determined by the extent to which two or more persons measuring the same characteristic would assign the same score.

The research design should address the validity of proposed measures i.e., the extent to which differences in scores on variable or the measuring instrument represent true differences in the characteristic we are trying to measure, rather than constant or random errors or the influence of other factors.

Applicant Eligibility:

Grants or cooperative agreements may be made to private, or public agencies or organizations, including State agencies that administer the Medicaid program. Private profit organizations may apply.

Beneficiary Eligibility:

All Medicare and Medicaid beneficiaries are eligible.

Credentials/Documentation:

Applicants should present written evidence of other agencies' willingness to cooperate when the project involves collaborative efforts or the utilization of non-CMS facilities or services. Costs will be determined in accordance with OMB Circular No. A-102 for State and local governments. The standard forms, as furnished by DHHS and required by OMB Circular No. A-102, must be used. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

CMS research and demonstration projects are solicited by publication in grants.gov as well. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application forms are submitted to the Acquisition and Grants Group, CMS, 2-21-15 Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. A letter of intent to file an application is necessary 30 days prior to the closing date of each solicitation cycle.

Award Procedure:

Official notice of approved applications is made through issuance of a Notice of Cooperative Agreement or Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Range from 150 to 180 days.

Appeals:

No formal appeals procedures. If an application is disapproved, the reasons for disapproval will be fully stated. Applicants are free to resubmit applications with attention to the changes suggested by the reasons for disapproval. In the case of solicited proposals, extensions may be allowed to prepare revisions which clarify various aspects of projects.

Renewals:

Extensions and continuations of projects are available if formally applied for and approved. If a grant/cooperative agreement application is recommended for approval for 2 or more years, the awardee must annually submit a formal request for continuation accompanied by a progress report which will be evaluated prior to a recommendation of continuation.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Awardees are required to share in the cost of projects. Normally, the minimum cost-sharing requirement is 5 percent of total project costs. This program has no statutory formula, except in Section 1115 projects, where the statutory formula is the same as that established for the Medicaid Program, both administrative and operational.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants/cooperative agreements are generally funded on a 12-month basis, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. Method of awarding/releasing assistance: lump sum.

Reports:

Reports of progress and expenditures are required on all projects. Comprehensive final reports are due no later than 90 days after termination of projects. Cash reports are not applicable. Reports of progress are required on all projects. Reports on expenditures are required on all projects. Reports on performance is required on all projects.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All fiscal transactions identifiable to Federal financial assistance are subject to audit by DHHS audit agency.

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures, must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0511-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$650,000; FY 17 est \$650,000; and FY 18 est \$500,000

Range and Average of Financial Assistance:

Not determined at this time.

TAFS Codes:

75-0511.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration policies (45 CFR 74 and 92) and application kits may be obtained from the Office of Acquisition and Grants Management, Centers for Medicare and Medicaid Services, Room C2-21-15, Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate CMS Regional Administrator. (See Appendix IV for Regional Offices.).

Headquarters Office:

Office of Research, 7500 Security Boulevard, Baltimore, Maryland 21244
Email: research@cms.hhs.gov Phone: (1-800) 633-4277.

Website Address:

<http://www.cms.hhs.gov/contracts/>.

RELATED PROGRAMS:

93.773 Medicare_Hospital Insurance; 93.774 Medicare_Supplementary Medical Insurance; 93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The review process for grants/cooperative agreements consists of initially screening applications for completeness and relevancy to CMS priority areas. If the application is not relevant to CMS priority areas, it will be returned to the applicant. If accepted as submitted it will be reviewed and evaluated. The review will be conducted by a panel of not less than three experts. CMS Project Officer will coordinate the panel's review, but will not vote. This individual will also prepare the panel's recommendation to the Director, Office of Research, Development, and Information (ORDI). The panel's recommendations will contain numerical ratings, rankings of applications, and a written assessment of each application. The recommendations will be based on published criteria as stated in the Federal Register. The review process for applications is also stated in the Federal Register Announcement.

93.780 GRANTS TO STATES FOR OPERATION OF QUALIFIED HIGH-RISK POOLS

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Trade Act of 2002; (Public Law 107-210); Deficit Reduction Act of 2005; (Public Law 109-171); Public Law (109-172), Executive Order State High Risk Pool Funding Extension Act of 2006, Public Law 109-171.

OBJECTIVES:

To assist States in the operation of a qualified high-risk health insurance pool by providing Federal funding (for up to appropriate funding levels) of losses incurred by the pool for a given State fiscal year.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

A State must have a qualified high-risk pool (as defined in section 2744(c)(2) of the Public Health Service Act) that has incurred a loss in order to be eligible for a grant.

Applicant Eligibility:

A State must meet all of the following requirements to be eligible for a grant: 1) the State is operating a qualified high-risk pool as defined in section 2744(c)(2) of the Public Health Service Act; 2) the pool restricts premium charged under the pool to no more than 200 percent for applicable standard risk rates for the State; 3) the pool offers a choice of two or more coverage options through the pool; 4) the pool has in effect a mechanism reasonably designed to ensure continued funding of losses incurred by the State; and 5) Grant Awards: FY 2012 - The Consolidated Appropriations Act, 2012. (Public Law 112-74), provided \$43.197 million to 31 States; FY 2013 - The Consolidated and Continuing Appropriations Act, 2013 (Public Law 113-6), which provided \$41.756 million to 31 States; and in FY 2014 - The Consolidated Appropriations Act, 2014 (Public Law 113-76), which provided \$20.419 million to only 26 States. It must be noted, the State High Risk Pool Grant Program officially ends on 09/30/2015. All States which received an award between FY 2012 and FY 2014 were federally qualified States currently receiving a HRP Grant award as a supplemental (extension) award to their 2008 Operational Losses.

Beneficiary Eligibility:

State Agency.

Credentials/Documentation:

Federal funds must go to a designated State Agency or its partner agencies. Individuals must meet State requirements. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application form SF-424 and related forms, as furnished by CMS, must be used for this program. These forms may be downloaded from the following Web site, www.cms.hhs.gov/researchers/priorities/grants.asp. In addition to the standard forms some additional information regarding the history and description of the qualified high-risk pool, accounting of risk pool losses, and contact person information is also required. Please refer to the following Web site for additional information: www.cms.hhs.gov/HighriskPools. Applicants are required to submit an original and two copies of the application to the Gabriel Nah, Grants Management Specialist, (Gabriel.Nah@cms.hhs.gov), Centers for Medicare and Medicaid Services (CMS, Office of Acquisition and Grants Management (OAGM) 200 Independence Ave., S.W., Room 739H, Washington, DC 20201

Award Procedure:

The Centers for Medicare and Medicaid Services (CMS) will make a decision for each application received. Each applicant will receive written notification of CMS's decision. Applicants approved for a grant award must submit a letter of acceptance to CMS within 30 days of the date of the award, agreeing to the terms and conditions of the award letter.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

60 to 120 days.

Appeals:

If an application is disapproved, the reasons for disapproval will be fully stated.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Under the original legislation, a total of \$80,000,000 has been made available for the qualified high-risk pool operation grant program. \$40,000,000 was made available for obligation from FY 2003-FY 2004 and another \$40,000,000 was made available for obligation from FY 2004-FY 2005. Under the provisions of section 6202 of the Deficit reduction Act, \$75,000,000 was made available in FY 2006 for this activity. The Consolidated Appropriations Act of 2008 made available \$49,126,500. The Omnibus Appropriations Act of 2009 made available \$75,000,000. The Consolidated Appropriations Act of 2010 made available \$55,000,000. Sections 1119 and 1818 of the Full-Year Continuing Appropriations Act, 2011 authorized \$54,890,000. The Consolidated Appropriations Act of 2012 made available \$44,000,000. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. Grant awardees may be required to submit quarterly progress and financial reports to CMS. At a maximum, a grantee would have to complete 8 reports per year if requested. It is anticipated that grantees may only need to file semi-annually, thus 4 reports per year. Refer to 45 CFR part 92. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All fiscal transactions identifiable to Federal financial assistance are subject to audit by DHHS audit agency.

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures, must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0511-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - FY 2014 was the last year for CMS to request State HRP funding from the Program Management account, because of the initiation of the Marketplaces.

Range and Average of Financial Assistance:

None.

TAFS Codes:

75-551.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration policies (45 CFR 74 and 92) application kits may be obtained from the Acquisition and Grants Group, CMS, Mailstop C2-21-15, 7500 Security Boulevard, Baltimore, MD 21244-1850. The grant application kit may be downloaded from the following web site, www.cms.hhs.gov/researchers/priorities/grants.asp.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate CMS Regional Administrator. (See appendix IV for Regional Offices).

Headquarters Office:

Gabriel Nah 200 Independence Ave., S.W., Room 739H, Washington, District of Columbia 20201 Email: Gabriel.Nah@cms.hhs.gov Phone: (301) 492-4482

Website Address:

<http://www.cms.hhs.gov/HighRiskPools/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each application will be reviewed to ensure it meets the eligibility criteria (as stated above). If eligible, the State will be awarded the lesser 50 percent of losses incurred by its qualified risk pool for the fiscal year in question or its allotment under the formula for the grants.

93.784 FEDERAL REIMBURSEMENT OF EMERGENCY HEALTH SERVICES FURNISHED TO UNDOCUMENTED ALIENS

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Medicare Prescription Drug, Improvement, and Modernization Act of 2003, Public Law 108-73, Section 1011; Medicare Modernization Act (MMA).

OBJECTIVES:

To reimburse eligible providers for their otherwise un-reimbursed costs associated with furnishing emergency health services to undocumented and certain other aliens.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Sections 1866(a)(1)(I), 1866 (a)(1)(N), and 1867 of the Social Security Act (the Act) impose specific obligations on Medicare-participating hospitals that offer emergency services. These obligations concern individuals who come to a hospital emergency department and request examination or treatment for medical conditions, and apply to all of these individuals, regardless of whether or not they are beneficiaries of any program under the Act. Section 1867 of the Act sets forth requirements for medical screening examinations of medical conditions, as well as necessary stabilizing treatment or appropriate transfer. In addition, section 1867(h) of the Act specifically prohibits a delay in providing required screening or stabilization services in order to inquire about the individual's payment method or insurance status.

Applicant Eligibility:

From the allotments made for a State, the Secretary of Health and Human Services shall pay an amount (subject to the total amount available from such allotments) directly to eligible providers located in the State where emergency services were incurred to the extent that the eligible provider was not otherwise reimbursed. An eligible provider defined under the statute is a hospital, physician, or provider of ambulance services including an Indian Health Service (IHS) facility whether operated by the IHS or by an Indian tribal or tribal organization).

Beneficiary Eligibility:

The amounts of money set aside for each State will be paid directly to hospitals, certain physicians, and ambulance providers for the costs of providing emergency health care required under EMTALA and related hospital inpatient, outpatient, and ambulance services (including those operated by the Indian Health Service and Indian tribes and Tribal organizations) furnished to undocumented aliens, aliens paroled into the United States at a United States port of entry for the purposes of receiving such services, and Mexican citizens permitted temporary entry to the United States with a laser visa.

Credentials/Documentation:

Final policy guidance was released on May 9, 2005 regarding the implementation of section 1011 of the MMA. This notice establishes the general framework and procedural rules for submitting an enrollment application and payment requests, establishes general statements of policy, and

provides CMS' interpretation of section 1011. It is posted in the "downloads" section of the following web address:

http://www.cms.hhs.gov/UndocAliens/02_policy.asp#TopOfPage. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Not applicable

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

This project is authorized beginning in FY 2005 until all appropriated funds have been exhausted. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The Centers for Medicare & Medicaid Services (CMS) has designated Novitas Solutions (formerly Highmark Medicare Services) as the national contractor for Section 1011 and Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens.

Novitas Medicare Services will enroll eligible providers, assist providers with enrollment and billing questions, calculate provider payment amounts, and serve as the compliance contractor.

Novitas Medicare Services will send CMS periodic reports on the payments and they can also found be on their website at www.novitas-solutions.com/section1011/index.html. Periodic reports are due to CMS in accordance with the timeframes established by CMS. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for a period consistent with the information contained in the final payment methodology.

Account Identification:

75-0516-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

None.

TAFS Codes:

75-0516.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This information can be found in the Final Policy Notice at the following link:

www.cms.gov/UndocAliens.02policy.asp

Regional or Local Office:

None.

Headquarters Office:

Lorraine Zicha, 7500 Security Boulevard, Baltimore, Maryland 21244 Email: Lorraine.Zicha@cms.hhs.gov Phone: (410) 786-0048

Website Address:

<http://www.cms.gov/UndocAliens.02policy.asp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.788 OPIOID STR

State Targeted Response to the Opioid Crisis Grants

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

21st Century Cures Act, Section 1003, Public Law 114-TBD.

OBJECTIVES:

Addressing the opioid abuse crisis within such States, used for carrying out activities that supplement activities pertaining to opioids undertaken by the State agency responsible for administering the substance abuse prevention and treatment block grant under subpart II of part B of title XIX of the Public Health Service Act (42 U.S.C. 300x21 et seq.).

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Uses:

- (A) Improving State prescription drug monitoring programs.
- (B) Implementing prevention activities, and evaluating such activities to identify effective strategies to prevent opioid abuse.
- (C) Training for health care practitioners, such as best practices for prescribing opioids, pain management, recognizing potential cases of substance abuse, referral of patients to treatment programs, and overdose prevention.
- (D) Supporting access to health care services, including those services provided by Federally certified opioid treatment programs or other appropriate health care providers to treat substance use disorders.
- (E) Other public health-related activities, as the State determines appropriate, related to addressing the opioid abuse crisis within the State.

Limitations:

- (1) notwithstanding any transfer authority in any appropriations Act, shall not be used for any purpose other than the grant program in subsection (c); and
- (2) shall be subject to the same requirements as substance abuse prevention and treatment programs under titles V and XIX of the Public Health Service Act (42 U.S.C. 290aa et seq., 300w et seq.).

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

A Notice of Award (NoA) signed by SAMHSA's Grants Management Officer is sent to the applicant agency. The NoA is the sole obligating document that allows the grantee to receive Federal funding for work on the grant project.

Deadlines:

Feb 07, 2017

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Each allotment is available for obligation and expenditure during the fiscal year it was allotted, through the end of the subsequent fiscal year for which the State is receiving the award. See the following for information on how assistance is awarded/released: Annual continuation awards will depend on the availability of funds.

Reports:

Biannual Program report

Annual FFR. Cash reports are not applicable. Biannual Progress Report. Annual federal financial reports (SF-425) are required. A State receiving a grant under subsection shall include in a report related to substance abuse submitted to the Secretary pursuant to section 1942 of the Public Health Service Act (42 U.S.C. 300x52), a description of

- (1) the purposes for which the grant funds received by the State under such subsection for the preceding fiscal year were expended and a description of the activities of the State under the program; and
- (2) the ultimate recipients of amounts provided to the State in the grant.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

75-1363-0-1-551.

Obligations:

(Formula Grants) FY 16 \$0; FY 17 est \$484,491,947; and FY 18 est \$474,479,556

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-1362.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: N/A. Fiscal Year 2017: It is estimated 57 awards will be made. Fiscal Year 2018: It is estimated 55 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

CFR 200/45 CFR Part 75 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS awards; also the HHS Grants Policy Statement.

Regional or Local Office:

None.

Headquarters Office:

Roger George 5600 Fisher Lane, Rockville, Maryland 20857 Email: roger.george@samhsa.hhs.gov Phone: 2402761418

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

93.959 Block Grants for Prevention and Treatment of Substance Abuse

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.791 MONEY FOLLOWS THE PERSON REBALANCING DEMONSTRATION

(Money Follows the Person Demonstration)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Section 6071, Deficit Reduction Act of 2005, Public Law 109-171; Section 2403, Affordable Care Act, Public Law 111-148.

OBJECTIVES:

The Money Follows the Person (MFP) Rebalancing Demonstration, authorized by section 6071 of the Deficit Reduction Act of 2005 (P.L. 109-171), was designed to assist States to balance their long-term care systems and help Medicaid enrollees transition from institutions to the community. Congress initially authorized up to \$1.75 billion in Federal funds through Fiscal Year (FFY) 2011. With the subsequent passage of the Patient Protection and Affordable Care Act (P.L. 111-148) in 2010, Section 2403 extended the program through September 30, 2016. An additional \$2.25 billion in Federal funds was appropriated through FFY 2016. Any funds remaining at the end of each fiscal year carry over to the next fiscal year, and can be used to make grant awards to current grantees until FY 2016. Any unused grant funds in 2016 can be used until 2020. No additional funding will be available after the final 2016-2020 awards are made; however, grantees will submit documentation to identify projected costs and justify expenditures on an annual basis. Grantees can request to continue transitioning MFP participants until December 31, 2018 with services being provided and eligible for enhanced match through December 31, 2019. All claiming of services must be finalized by September 30, 2020. The MFP Demonstration supports State efforts to rebalance their long-term support system so that individuals have a choice of where they live and receive services. MFP program goals are (1) increase the use of home and community-based services (HCBS) and reduce the use of institutionally-based services; (2) eliminate barriers in State law, State Medicaid plans, and State budgets that restrict the use of Medicaid funds to let people get long-term care in the settings of their choice (3) strengthen the ability of Medicaid programs to provide HCBS to people who choose to transition out of institutions, and (4) put procedures in place to provide quality assurance and improvement of HCBS. The demonstration provides for enhanced Federal Medical Assistance Percentage (FMAP) for 12 months for qualified home and community-based services for each person transitioned from an institution to the community during the demonstration period. Eligibility for transition is dependent upon

residence in a qualified institution for more than 90 consecutive days. However, days that an individual was residing in the institution for the sole purpose of receiving short-term rehabilitation services that are reimbursed under Medicare are excluded and will not be counted toward the 90-day requirement. The State must continue to provide community-based services after the 12-month period for as long as the person needs community services and is Medicaid eligible. Under the demonstration, the State must propose a system of Medicaid home and community-based care that will be sustained after the demonstration period and is deemed qualified by the Secretary. Specifically, the program must be conducted in conjunction with a "qualified HCBS program" which is a program that is in operation (or approved) in the State for such individuals in a manner that assures continuity of Medicaid coverage of services in the qualified HCBS program for eligible individuals. States may also propose to enhance the services they will provide during the demonstration period to achieve greater success with transition. States will be required to participate in a national qualitative and quantitative evaluation conducted by CMS. Data collected on a national level will help evaluate the core objectives of the statute.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Indirect Costs: The provisions of the 2 CFR 225 (formerly OMB Circular A-87) govern reimbursement of indirect costs under this solicitation. A copy of OMB Cost Principles for State, Local, and Indian Tribal Governments is available online at http://www.whitehouse.gov/sites/default/files/omb/assets/omb/fedreg/2005/083105_a87.pdf.

Applicant Eligibility:

Applicants for this Demonstration Grant must be any single State Medicaid Agency, State Mental Health Agency, or instrumentality of the State. Only one application can be submitted for a given State. By "State" we refer to the definition provided under 45 CFR 74.2 as "any of the several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments." By "territory or possession", we mean Guam, the U. S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

As defined in Section 6071(b)(2) of the DRA and amended by Section 2403 of the Affordable Care Act, the term eligible individual means an individual in the State who, immediately before beginning participation in the MFP demonstration project: (i) resides (and has resided, for a period of not less than 90 consecutive days in an inpatient facility; (ii) is receiving Medicaid benefits for inpatient services furnished by such inpatient facility; and (iii) with respect to whom a determination has been made that, but for the provision of home and community-based long-term care services, the individual would continue to require the level of care provided in an inpatient facility and, in any case in which the State applies a more stringent level of care standard as a result of implementing the State plan option permitted under section 1915 (i) of the Social Security Act, the individual must continue to require at least the level of care which had resulted in admission to the institution.

Credentials/Documentation:

Applications can only be submitted by the single State Medicaid agency. A cover letter must accompany the application. The cover letter must be signed by the State Medicaid Director, and must indicate the title of the project, the principal contact person, the amount of funding requested, and the names of the major partners actively collaborating in the project. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for

Federal Awards. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

An independent review of all applications will be conducted by a panel of experts including members of the disability community, advocates, providers and staff from State and Federal agencies including CMS. The review panel will assess each application to determine the merits of the proposal and the extent to which it furthers the purposes of the demonstration program. The panel will evaluate each application for further review by CMS. CMS reserves the right to request that States revise or otherwise modify certain sections of their proposals based on the recommendations of the panel and the budget. A low score in one or two areas, even if offset by high scores in other areas, may result in a rejection of the proposal. Final approval of demonstration projects will be made by the Administrator of CMS after consideration of the comments and recommendations of the review panelists, program office recommendations, and the availability of funds. CMS reserves the right to approve or deny any or all proposals for funding. CMS seeks to achieve reasonable balance among the grants to be awarded in a particular category in terms of key factors such as geographic distribution and broad target group representation. CMS may redistribute grant funds (as detailed in the "Award Information" section of this solicitation) based upon the number and quality of applications received. (e.g., to adjust the minimum or maximum awards permitted or adjust the aggregate amount of Federal funds allotted to a particular category of grants).

Deadlines:

Nov 01, 2009 January 7, 2011 The deadline for applications is January 7, 2011, (11:59pm Eastern Standard Time).

Range of Approval/Disapproval Time:

Successful applicants will receive a Financial Assistance Award (FAA) signed and dated by the CMS Grants Management Officer. The FAA is the document authorizing the grant award and will be sent through the U.S. Postal Service to the applicant organization as listed on its SF 424. Any communication between CMS and applicants prior to issuance of the FAA is not an authorization to begin performance of a project. Unsuccessful applicants will be notified by letter, sent through the U.S. Postal Service to the applicant organization as listed on its SF 424.

Appeals:

Appeals are governed by the Code of Federal Regulations, Title 45--Public Welfare, Subtitle A Department of Health and Human Services, Part 16 Procedures of the Departmental Grant Appeals Board.

Renewals:

Any funding allocated for the Federal match for home and community-based services provided under the demonstration for the first year of this grant that remain unspent will be carried over to the next year of the Grant.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: A State receiving an award under this solicitation will receive reimbursement for home and community-based services provided under the demonstration on a quarterly basis at the following Federal Medical Assistance Percentage (FMAP) rates: The FMAP rate will be adjusted to reflect the increased FMAP available to States through the American Recovery and Reinvestment Act of 2009 each quarter from October 1, 2008 and extended by passage of Education, Jobs and Medicaid Assistance Act (P.L. 111-226) of 2010 through June 30, 2011 (increased rate). The funding for the increased FMAP will be provided from Money Follows the Person grant demonstration appropriations. The enhanced FMAP provided by the DRA of 2005 (Enhanced Rate increased up to 50% of the State Match capped at 90%) will be applied to the Recovery Act increased quarterly FMAP. Service Category Match rate for a 12-month demonstration period for "Qualified HCB program" services and HCB Demonstration services are at the Increased and Enhanced Match Rate. Supplemental Demonstration services will be provided at the Increased Rate only for the Recovery Act period. Administrative costs will be reimbursed according to the requirements of CFR 42, 433.15. At the end of the Recovery Act period, the yearly-published FMAP Rate in the Federal Register will be

used to determine the Enhanced Rate and the State match requirements for the prior quarters to the Recovery Act period and subsequent quarters until the end of the demonstration. This program has MOE requirements, see funding agency for further details. Total expenditures under the State Medicaid program for home and community-based long-term care services will not be less for any fiscal year during the MFP demonstration project than for the greater of such expenditures for fiscal year 2005 or any succeeding fiscal year before the first of the year of the MFP demonstration project.

This program has MOE requirements, see funding agency for further details. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The demonstration period is ten years. The project period is from January 1, 2007 through March 31, 2016. The budget period is from January 1, 2007 through March 31, 2016. Method of awarding / releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. Financial Status Report, form (SF-425), will be required to be submitted semi-annually. This financial status report will account for all uses of grant monies during each reporting period. During the pre-implementation period, CMS will work with the grantees to determine if additional reporting requirements are required. At the end of each demonstration grant year, States will be required to produce documentation that they have not exceeded the determined budget ceiling and meet all CMS financial requirements. The format of this financial report will be determined during the program development phase of the Demonstration Semi-Annual Progress Reports. The submission of the finalized Operational Protocol (OP) will be due no later than 9 months after receipt of the Notice of Financial Assistance Award. The OP will be considered the First report due under this demonstration. No performance monitoring is required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to follow 45 CFR 74.53: Code of Federal Regulations, Title 45--Public Welfare, Subtitle A--Department of Health and Human Services, Section--74.53 Retention and access requirements for records.

Account Identification:

75-0516-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

There is not a prescribed or predetermined maximum floor or ceiling grant award. Each State is unique in the number of individuals that will be projected for transition under the demonstration grant. In addition, the costs of individuals transitioning to community settings may vary, by targeted population. Applicants are advised to request a grant award that is sufficient in the amount needed to transition the projected individuals into community settings. CMS reserves the right to reduce the requested grant award, based on the number and size of additional grant awards given under this demonstration, as well as because of concerns contained within a State's application (i.e., concerns with the number of costs of individuals projected for transition by the individual State).

TAFS Codes:

75-0516.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

To promote effective outcomes from the demonstration, the statute provides waiver authority for four provisions of title XIX of the Social Security Act, to the extent necessary to enable a State initiative to meet the requirements and accomplish the purposes of the demonstration. These provisions are: (1) Statewide (Section 1902(a)(1) of the Social Security Act) - in order to permit implementation of a State initiative in a selected area or areas of the State; (2) Comparability (Section 1902(a)(10)(B) - in order to permit a State initiative to assist a selected category or categories of individuals enrolled in the demonstration; (3) Income and Resource Eligibility (Section 1902(a)(10)(C)(i)(III) - in order to permit a State to apply institutional eligibility rules to individuals transitioning to community-based care; and (4) Provider agreement (Section 1902(a)(27)) - in order to permit a State to implement self-direction services in a cost-effective manner for purposes of this demonstration program. By "State" we refer to the definition provided under 45 CFR 74.2 as any of the several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments. By "territory or possession", we mean Guam, the U. S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands. CMS will reimburse States for home and community-based services provided under the demonstration on a quarterly basis at a rate equal to the State's Federal Medical Assistance Percentage (FMAP) or the State's Enhanced FMAP, as specified in the solicitation. Administrative costs will be reimbursed according to the requirements of 42 CFR 433.15. A complete electronic application package, including all required forms, for this demonstration grant is available at https://apply.grants.gov/forms_apps_idx.html Standard application forms and related instructions are available online at <http://gsa.gov/forms>. Standard forms are available as detailed in, Section V.A, Address to Request Application Package. The following standard forms must be completed with an original signature and enclosed as part of the proposal: SF 424: Official Application for Federal Assistance (see Note below*) SF 424A: Budget Information SF 424B: Assurances - Non-Construction Programs SF LLL: Disclosure of Lobbying Activities PHS-5161-1 (7/00) Additional Certifications - can be found at the following website: http://apply.grants.gov/forms/sample/SSA_additionalassurances_VI.0.pdf Usual Requirements that must be adhered to by law and regulation: Specific administrative and policy requirements of grantees as outlined in 45 CFR 74 and 45 CFR 92, apply to this grant opportunity. All grantees receiving awards under these grant programs must meet the requirements of: Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, The Age Discrimination Act of 1975, Hill-Burton Community Service nondiscrimination provisions, and Title II Subtitle A of the Americans with Disabilities Act of 1990.

Regional or Local Office:

None.

Headquarters Office:

Cathy M. Cope, 7500 Security Boulevard, Baltimore, Maryland 21244 Email: cathy.cope@cms.hhs.gov Phone: (410) 786-8287

Website Address:

https://www.cms.gov/CommunityServices/20_MFP.asp

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.796 STATE SURVEY CERTIFICATION OF HEALTH CARE PROVIDERS AND SUPPLIERS (TITLE XIX) MEDICAID**FEDERAL AGENCY:**

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Sections 1863, 1864, 1865, 1902, 1903 and 1919, Title XIX.

OBJECTIVES:

To provide (Medicaid) financial assistance to any State which is able and willing to determine through its State health agency or other appropriate State agency that providers and suppliers of health care services are in compliance with Federal regulatory health and safety standards and conditions of participation.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are made available to States for the purpose of inspecting providers and suppliers of health care services, to ensure mandatory adherence to Medicaid health and safety standards and conditions. Funds made available under this program are used to support or reimburse State Staff for performing survey activities and for State administration of the program.

Applicant Eligibility:

The Federal government reimburses States for the Federal Financial Participation share for costs of inspection. Such participation is dependent on an approved State activity plan.

Beneficiary Eligibility:

NA.

Credentials/Documentation:

State must have an approved State Plan for Title XIX. A signed 1864 Agreement for Title XIX in order to carry out the survey function. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. States submit an acceptable State Plan for support of Medicaid survey and certification activities. Budget proposals and estimated expenditures must comply with OMB Circular No. A-87 cost principles and regulatory guidelines.

Award Procedure:

States are provided a quarterly award based on an approved annual budget. States are reimbursed for survey activities under Electronic Funds Transfer System procedures. States draw funds through Department's Payment Management System.

Deadlines:

Oct 01, 2012 to Aug 15, 2013 Annual budget proposals are requested from the appropriate State agency as negotiated with Regional Office.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Appeals are reviewed by the Department Grants Appeal Board.

Renewals:

Ongoing renewals are awarded to State agencies that are willing and able to conduct required survey activities in compliance with statutory mandate.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. Formula Grants. This program does have a matching requirement (75% Fed/25% State). This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funding authority is provided for a full year to State agencies performing survey and certification activities pertaining to Medicaid survey activities. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

State must maintain surveyor time records, line item and expenditure documentation, which substantiate the costs relating to survey activities.

Account Identification:

75-0512-0-1-550.

Obligations:

(Formula Grants) FY 16 \$291,000,000; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

FY 12 range is from \$411,833 to \$25,578,617 and an average of \$3,941,426.
FY 13 range is from \$458,382 to \$28,355,355 and an average of \$3,888,836.
FY 14 range is from \$411,961 to \$37,611,130 with an average of \$4,245,492.

TAFS Codes:

75-0512.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title XIX, Social Security Act as amended Sections 1863, 1864 and 1865.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

David Wright 7500 Security Blvd, Baltimore, Maryland 21244 Email: David.Wright@cms.hhs.gov Phone: 410-786-9493

Website Address:

<http://www.cms.hhs.gov/contracts>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.799 CARA ACT COMPREHENSIVE ADDICTION AND RECOVERY ACT OF 2016

CARA - Comprehensive Addiction and Recovery Act Enhancement Grant

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

CARA Act - Comprehensive Addiction and Recovery Act of 2016, Title 1, Section 103, Public Law 114-198, 42 U.S.C 201.

OBJECTIVES:

The purpose of this program is to prevent and reduce the abuse of opioids or methamphetamines and the abuse of prescription medications among youth ages 12-18 in communities throughout the United States

Grants awarded through the CARA Act are intended as an enhancement to

current or formerly funded Drug-Free Communities (DFC) Support Program grant award recipients as established community-based youth substance use prevention coalitions capable of effecting community-level change. For the purposes of this FOIA and the DFC Support Program, a coalition is defined as a community-based formal arrangement for cooperation and collaboration among groups or sectors of a community in which each group retains its identity, but all agree to work together toward a common goal of building a safe, healthy, and drug-free community. CARA grant award recipients, also referred to as recipients, are expected to conduct the day-to-day operations of the grant program. CARA recipients are not permitted to serve as a conduit for CARA funds passing through them or to another agency.

Coalitions receiving CARA Act funds, as current or formerly funded DFC recipients, are expected to work with leaders in their communities to identify and address local youth opioid, methamphetamine, and/or prescription medication abuse and create sustainable community-level change. Additionally, recipients are expected to implement comprehensive community-wide strategies.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:**Allowable Activities**

Grants awarded through this program are intended to:

Enhance the ability of established community organizations to create community-level change regarding opioids or methamphetamines and the abuse of prescription medications.

Strengthen collaboration among communities, the federal government, state, local, and tribal governments to reduce the use of opioids or methamphetamines and the abuse of prescription medications among youth within the area served by the coalition.

Enhance intergovernmental cooperation and coordination on the issue of opioids or methamphetamines and the abuse of prescription medications among youth.

Develop a comprehensive community-wide action plan to address the issue of opioids or methamphetamines and the abuse of prescription medications among youth.

The types of activities that are expected and allowable include, but are not limited to:

data collection to better understand the current local youth opioid, methamphetamine, and/or prescription medication abuse issues in the community;
community education on the dangers of opioid, methamphetamine, and/or prescription medication abuse;
prescriber education and training;
awareness and availability of naloxone/narcan policies;
increase awareness and availability of safe storage and prescription drug disposal options;
promotion of proper usage of Prescription Drug Monitoring Programs (PDMP);
and/or

seek specialized training and technical assistance to help increase the effectiveness of community anti-drug coalitions. Unallowable Activities CARA grant funds may not be used to supplant current funding of existing activities. Supplant is defined as replacing funding of a grant recipients existing program with funds from a federal grant.

CARA grant funds may not be used to fund the following (not a fully exhaustive list):

Youth Sports Programs
Purchase of Naloxone/Narcan
Treatment services/programs/facilities
Construction
Landscaping/neighborhood revitalization projects

Food costs are not allowable for general coalition or subcommittee meetings. CARA grant funds may not be used to provide funding to community organizations through mini-grants, including one coalition funding another coalition.

CARA grant funds may not be utilized for the following: law enforcement

equipment, drug search detection canines or related training, drug courts, lighting, or community gardening efforts.
CARA grant funds may not be used for stipends, as defined in the HHS Grants Policy Statement (GPS).
No more than 10 percent of the grant award may be used for data collection, performance measurement, and performance assessment expenses.
No more than 8 percent of the grant may be used for administrative expenses. The administrative expenses are referring to indirect costs. 100% of funds are discretionary.

Applicant Eligibility:

The statutory authority for this program (stated in the Legislative Authority, 42 USC 1536 of the Comprehensive Addiction and Recovery Act) limits eligibility to domestic public and private nonprofit entities that are current or former Drug-Free Communities (DFC) Support Program recipients. Eligible applicants are community-based coalitions addressing local youth opioid, methamphetamine, and/or prescription medication abuse.

The purpose of this program is to prevent and reduce the abuse of opioids or methamphetamines and the abuse of prescription medications among youth ages 12-18 in communities throughout the United States.

Beneficiary Eligibility:

The purpose of this program is to prevent and reduce the abuse of opioids or methamphetamines and the abuse of prescription medications among youth ages 12-18 in communities throughout the United States

*For the purposes of this FOA, youth is defined as individuals 18 years of age and younger.

Credentials/Documentation:

- Signed Assurance of Legal Eligibility
- Disclosure of Drug-Free Communities (DFC) Support Program funding
- Meeting Minutes Coalition meeting minutes from a meeting that took place between September 2016 and the deadline for this application.
- Applicant must demonstrate ability to comply with the CARA Program Evaluation requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

This grant program is covered under Executive Order (EO) 12372, as implemented through Department of Health and Human Services (DHHS) regulation at 45 CFR Part 100. Under this Order, states may design their own processes for reviewing and commenting on proposed federal assistance under covered programs. Certain jurisdictions have elected to participate in the EO process and have established State Single Points of Contact (SPOCs). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

1. Applications received, statutory eligibility screening conducting
2. Peer Review is conducted, applications scored
3. Budget Reviews and Negotiations Conducted
4. Notice of Award (NoA) will then be sent electronically to the individual listed as the Business Official on the Application for federal Assistance.
5. list of awardees will be posted at <http://www.whitehouse.gov/ondcp/Drug-Free-Communities-Support-Program>.
6. 2017 all applicants whose applications were sent to peer review will receive a letter from SAMHSA through postal mail and sent to the individual listed as the Business Official on the Application for Federal Assistance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Non Competing Continuation is required for Renewal each year. Funding is subject to the availability of federal funds, and that matching funds, (if applicable), are verifiable, and progress of the award is documented and acceptable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Cost sharing/match is not required in this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Budget Period is 12 months, Project Period 4 years.

Recipients are eligible for up to 12 Months of No Cost Extension, after the Project Period end date. Method of awarding/releasing assistance: lump sum.

Reports:

Program Performance Reports (PPR) are due semi-annually to SAMHSA. Federal Financial Report (FFR) (SF-425) is required quarterly to the Payment Management System. Program Performance Reports (PPR) are due semi-annually to SAMHSA. Federal Financial Report (FFR) (SF-425) is required annually, 90 days after the end of each budget period. Program Performance Reports (PPR) are due semi-annually to SAMHSA.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

07-5201-7-1-365 - 075-2017-2017-1365.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$2,750,000; and FY 18 est \$2,750,000

Range and Average of Financial Assistance:

Anticipated Total Available Funding:\$2,750,000

Estimated Award Amount:Up to \$50,000.

TAFS Codes:

75-2017-2018-1365.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Okay.

Headquarters Office:

Odessa Crocker 5600 Fishers Lane, Rockville, Maryland 20857 Email: odessa.crocker@samhsa.hhs.gov Phone: 240-276-1078

Website Address:

<https://www.samhsa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.800 ORGANIZED APPROACHES TO INCREASE COLORECTAL CANCER SCREENING

Organized Approaches to Increase Colorectal Cancer Screening Program - DP15-1502

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Authorized under section 301(a) of the Public Health Service Act, [42 U.S.C. section 241(a)], as amended.

OBJECTIVES:

The purpose of the program is to increase CRC screening rates among an applicant-defined target population of persons 50-75 years of age within partner health system(s), defined geographical areas, or disparate populations. This program will fund implementation of EBIs and other strategies in partnership with health systems with the goal of instituting organized screening programs. In addition, this program will fund a small number of awardees to pay for direct screening and follow-up services for a limited number of individuals that belong to the Program Priority Population.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This program will fund implementation of EBIs and other strategies in partnership with health systems with the goal of instituting organized screening programs. In addition, this program will fund a small number of awardees to pay for direct screening and follow-up services for a limited number of individuals that belong to the Program Priority Population.

Component 1: Health Systems Change to Increase and Improve Colorectal Cancer (CRC) Screening.

To increase capacity and support organized CRC screening, awardees must partner with health systems, such as federally qualified health centers (FQHCs), health plan clinic networks, large physician practices, Medicaid, Medicare, and insurance plans that serve the applicant-defined target population to: a) implement EBIs identified in The Community Guide to increase CRC screening and b) measure outcomes for evaluation.

To increase CRC screening and follow-up rates, awardee activities that may be funded include, but are not limited to: formally partnering with an FQHC to implement a provider-oriented intervention such as provider reminders, formally partnering with a state primary care association to create or modify FQHC operational models, or formally partnering with an insurer to implement client-oriented EBIs such as client reminders.

Component 2 - CRC Screening Delivery to Improve Access to the Underserved (Optional): Awardees must use an active, existing organized screening provision program to provide CRC screening and diagnostic follow-up services for the programs priority population as described above.

Applicants must demonstrate an existing clinical and programmatic infrastructure and currently provide colorectal screening and follow-up services to the programs priority population. Restrictions that must be considered while planning the programs and writing the budget are:

Awardees may not use funds for research.

Awardees may not use funds for clinical care.

Awardees may use funds only for reasonable program purposes, including personnel, travel, supplies, and services.

Generally, awardees may not use funds to purchase furniture or equipment.

Any such proposed spending must be clearly identified in the budget.

Reimbursement of pre-award costs is not allowed.

Funds cannot be used for treatment

Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses.

Applicant Eligibility:

Eligible applicants are the official State/Territorial health agencies of the United States or their bona fide agents; Tribes/Tribal Organizations and Private/Public Colleges and Universities.

Beneficiary Eligibility:

Eligible applicants are the official State/Territorial health agencies of the United States or their bona fide agents; Tribes/Tribal Organizations and Private/Public Colleges and Universities.

Credentials/Documentation:

Applicants should document the need for assistance; state the objectives of the project, outline the method of operation, describe evaluation procedures, identify and describe nonfederal contributions, and provide a budget with justification for funds requested. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Information on the submission of applications may be obtained from Ms. Veronica Davis, Grants Management Specialist, in the Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Atlanta, Georgia 30341. Telephone: (770) 488-2743. This program is subject to the provisions of 45 CFR 92 for State and local governments. The standard application forms, as furnished by PHS and required by 45 CFR 92 must be used for this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. In the competitive year one and after objective review and approval, a notice of award is prepared and processed, along with appropriate notification to the public. For non-competitive continuation years, after review and approval on the Interim Progress Report (IPR), a notice of award is prepared and processed, along with appropriate notification to the public.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Information on renewals may be obtained from Wayne Woods, Grants Management Specialist, Office of Grants Services, Office of Financial Resources, Centers for Disease Control and Prevention, Atlanta, GA 30341; Telephone: (770)488-2948.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

12-month budget period with a 5 year project period

June 29, 2015 to June 30, 2016 Budget Period

June 29, 2015 to June 30, 2020 Project Period. Method of awarding/releasing assistance: lump sum.

Reports:

Awardee Evaluation and Performance Measurement Plan - 6 months into award Annual Performance Report (APR) - 120 days before end of budget period. No cash reports are required. Serves as yearly continuation application. Data on Performance Measures CDC program determines. Only if program wants more

frequent performance measure reporting than annually in APR. Federal Financial Reporting Forms - 90 days after end of calendar quarter in which budget period ends
Final Performance and Financial Report - 90 days after end of project period.
Awardee Evaluation and Performance Measurement Plan - 6 months into award
Annual Performance Report (APR) - 120 days before end of budget period.
Serves as yearly continuation application.
Data on Performance Measures CDC program determines. Only if program wants more frequent performance measure reporting than annually in APR.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Per OMB circular A-133

Records:

No Data Available.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$19,510,684; FY 17 est \$23,251,826; and FY 18 est \$23,251,826

Range and Average of Financial Assistance:

Funding for Component 1 annual awards will range from \$350,000 to \$800,000.

Funding for Component 2 annual awards will range from \$500,000 to \$1,000,000.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program supports strategies to increase and improve the quality of colorectal cancer screening, community-clinical linkages, and preventive services in the following national plans and guidelines:

The Guide to Community Preventive Services:

<http://www.thecommunityguide.org>

The National Partnership for Action to End Health Disparities:

<http://minorityhealth.hhs.gov/npa/>

The National Prevention Strategy:

<http://www.healthcare.gov/prevention/nphpphc/strategy/report.pdf>

The National Quality Strategy:

<http://www.ahrq.gov/workingforquality/>

Regional or Local Office:

None.

Headquarters Office:

Debra Younginer 4770 Buford Highway, NE MS F76, Atlanta, Georgia 30341

Phone: 7704881074

Website Address:

No Data Available

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the CDC Procurement and Grants Office (PGO), staff and for responsiveness.

93.801 EBOLA HEALTHCARE PREPAREDNESS AND RESPONSE FOR SELECT CITIES WITH ENHANCED AIRPORT ENTRANCE

SCREENINGS FROM AFFECTED COUNTRIES IN WEST AFRICA

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 311 (42 U.S.C. 243).

OBJECTIVES:

To enable select public health departments serving regions where enhanced airport screenings are occurring to procure personal protective equipment and supplies, train staff, retrofit facilities, and carry out other necessary Ebola-specific preparedness, response, and recovery activities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The primary purpose of the funding is to improve the applicants abilities to prepare for, respond, to, and recover from an Ebola event by undertaking activities in any or all of the following priority areas:

- Personal Protective Equipment (PPE) Procurement and Training
- Purchase Point of Care (POC) laboratory equipment and associated supplies.

Applicant Eligibility:

Eligible applicants are state or city departments of public health serving the five major airports wherein the Department of Homeland Security (DHS) is conducting enhanced airport entrance screenings for Ebola.

Beneficiary Eligibility:

State or city departments of public health listed above, hospitals and supporting health care systems.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications from eligible applicants will be reviewed and final funding award recommendations forwarded to ASPR.

Award Procedure:

Notification is made in writing through a Notice of Grant Award issued from the programs Grants Management Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period is limited to up to 6 months. Budget period is limited to up to 6 month. See the following for information on how assistance is awarded/released: Awardee is issued a Notice of Award, a legal document that

informs the awardee that a grant award has been made. Awardee may draw down funds in advance of the incurrence of an expenditure (up to three days: from the Payment Management System.

Reports:

No program reports are required. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. Recipients will utilize the Transactions section of SF425 in lieu of the SF272. The FFR SF425 cash Transaction Report is due 30 days after the end of each calendar quarter. Semiannual and year end progress reports are required. Recipients are required to submit the FFR SF425 Federal Financial Report (FFR) semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Performance monitoring is not applicable. Year-end progress reports are required. Final FFR is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of the grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 6 month period, whichever is later. More detailed information regarding retention requirements are provided in Title 45 CFR Part 92.

Account Identification:

75-0140-0-1-551.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Est. Average Amount: \$142,857.

TAFS Codes:

75-0140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Steve Tise 200 C Street, SW, RM C4K12, Washington, District of Columbia 20020 Email: Stephen.Tise@hhs.gov Phone: (202) 245-0740

Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The applicants must submit their application in accordance with the fiscal year Funding Opportunity Announcement. The applications are reviewed and approved applications are processed immediately. Applications approved with conditions, require the applicant to resubmit supporting information within a prescribed timeframe. Upon review and approval, the revised application can then be submitted to the Office of Grants Management for processing and

issuing a notice of grant award.

93.808 INCREASING THE IMPLEMENTATION OF EVIDENCE-BASED CANCER SURVIVORSHIP INTERVENTIONS TO INCREASE QUALITY AND DURATION OF LIFE AMONG CANCER PATIENTS

DP15-1501-

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under sections 201(a), 317(k)(2) of the Public Health Service Act, [42 U.S.C. 241(a) and 247b(k)(2), as amended.

OBJECTIVES:

The purpose of this funding opportunity announcement is to fund up to six National Comprehensive Cancer Control Programs (NCCCP) grantees to implement core surveillance activities to improve cancer survivor health in their populations. Evidence-based community clinical linkage strategies are to be included to enhance their cancer survivor activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses. Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses.

Applicant Eligibility:

No additional eligibility requirements. Eligible applicants should be current recipients of funds under DP12-1205 Component 2 The National Comprehensive Cancer Control Program.

Beneficiary Eligibility:

No additional eligibility requirements. Eligible applicants should be current recipients of funds under DP12-1205 Component 2 The National Comprehensive Cancer Control Program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. N/A

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipients fiscal officers identified in the applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Project period is 5 years with 12-month budget periods. Applications must be obtained from www.Grants.gov.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

12-month budget period within a five year project period. Method of awarding/releasing assistance: lump sum.

Reports:

Annual Performance Reports: This report must not exceed 30 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed. The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. In addition, the awardee must submit a Federal Financial Report (FFR) within 90 days after the end of the calendar quarter in which the budget year ends. No cash reports are required. See #2 above. Federal Financial Reports (FFRs) are due 90 days after the end of the fiscal year. To be provided from draft FOA.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

There is a 5-year records retention requirement; records shall be retained beyond the 5-year period if final audit has not been done, or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$2,049,055; FY 17 est \$2,049,055; and FY 18 est \$0

Range and Average of Financial Assistance:

\$341,509 for each award.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Successful applicants must comply with the administrative requirements outlined in 45 Code of Federal Regulations (CFR) Part 74 or 92, as appropriate. The following additional requirements apply to this project.

Additional information on the requirements can be found on the CDC Web site at the following Internet address:
http://www.cdc.gov/od/pgo/funding/Addtl_Reqmnts.htm

For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address:
<http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>

Regional or Local Office:

None.

Headquarters Office:

Nikki Hayes 4770 Buford Hwy, MS F-76, Atlanta, Georgia 30341 Email: nnh1@cdc.gov Phone: 7704884879

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An objective review panel will be held to review applications based on criteria described in the Funding Opportunity Announcement.

93.809 NATIONAL ORGANIZATIONS FOR CHRONIC DISEASE PREVENTION AND HEALTH PROMOTION

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Section 301(a) and 317(k)(2) of the Public Health Service Act [42 U.S.C. Section 241(a) and 247b (k)(2)] as amended.

OBJECTIVES:

The purpose of the funding is to develop effective state chronic disease prevention and health promotion programs through cross-cutting activities supportive of all chronic disease programs, focusing on Training and Technical Assistance, Performance Monitoring and Evaluation, Innovation and Leadership and Development.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses. Awardees may not use funds for research.

Awardees may not use funds for clinical care.

Awardees may not use funds for construction.

Awardees may use funds only for reasonable program purposes, including personnel, travel, supplies, and services.

Generally, awardees may not use funds to purchase furniture or equipment.

Any such proposed spending must be clearly identified in the budget.

Reimbursement of pre-award costs is not allowed.

Other than for normal and recognized executive-legislative relationships, no funds may be used for:

o publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body

o the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body

See Additional Requirement (AR) 12 for detailed guidance on this prohibition and additional guidance on lobbying for CDC awardees.

Applicant Eligibility:

Eligible applicants that can apply for this funding opportunity are national organizations whose primary focus is on health education, chronic disease prevention and health promotion, and related public health training and who are of the type listed below:

National organizations that work specifically with state and territorial health departments and that have organizational units focusing on state-based public health issues and reducing chronic diseases and their associated risk factors, are uniquely qualified to successfully implement the activities of this FOA because they have the knowledge, experience, and skills in working on reducing the burden of chronic diseases and on implementing policy, systems, and environmental changes that address chronic disease risk factors in states and community settings that no other national organization entities have. Focusing on these types of national organizations provides CDC the greatest potential to successfully implement the activities of this FOA. National organizations with health departments as their members are uniquely qualified to respond to the burden of chronic diseases in their communities through their vast experience of continuous implementation of evidence and practice-based interventions, implementation of self-management educational activities, and having the local, state, and territorial public health infrastructure in place to provide the proper

foundation and support for programs that focus on reducing the chronic disease burden across the nation.

Beneficiary Eligibility:

This cooperative agreement will support the development of effective state chronic disease prevention and health promotion programs through cross-cutting activities supportive of all chronic disease programs. This FOA will serve to improve the effectiveness of chronic disease prevention and health promotion programs by focusing on cross-cutting activities that are supportive of all Chronic Disease Programs; better identify major components/priority areas based on the needs of the NCCDPHP that will serve as the core functions; increase the effectiveness of CDCs work with state chronic disease programs, so that work with state programs is more effective; and to experience the collective benefit of having strong state chronic disease programs by allowing for better coordination of efforts and greater utilization of resources, increase efficiency and minimize duplication of efforts.

Credentials/Documentation:

Applicants should document the need for assistance, state objectives of the project, address the background, approach strategies and evaluation methods of the project/program, and provide a budget with justification of funds. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC NCCDPHP and PGO. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements.

b. Phase II Review

A review panel will evaluate complete, eligible applications in accordance with the criteria below.

i. Approach

ii. Evaluation and Performance Management

iii. Applicants Organizational Capacity to Implement the Approach

c. Phase III Review

The Selecting Official shall rely on the rank order established by the objective review as the primary factor in making awards. However, in order to maximize the reach and impact of federal funding and an effort to strive for greater health equity, the Selecting Official may depart from the rank order to achieve a more balance and equitable approach award that demonstrates health equity throughout the approach, performance, evaluations and organizational capacity, in order to meet a more valued, equitable and comprehensive decision.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

12 month - budget period within a five year project period. Method of awarding/releasing assistance: lump sum.

Reports:

Annual Progress Reports. No cash reports are required. Various reports are due at various time periods during the project period. Final Financial Report (FFR) is due 90 days after the end of each budget period. CDC will monitor the cooperative agreement in partnership with the awarded applicant. Monitoring milestones and performance measures ensures the mutual success of CDC and the awardees in achieving the FOA outcomes. The awarded applicant and CDC staff will work together to assess key capacity areas aligned with strategies to establish a baseline for monitoring program improvement over time.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$2,500,000; FY 17 est \$2,500,000; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Guide to Community Preventive Services:

<http://www.thecommunityguide.org/index.html>

The National Prevention Strategy:

<http://www.healthcare.gov/prevention/npphpc/strategy/report.pdf>

The Surgeon Generals Call to Action to Support Breastfeeding:

<http://www.surgeongeneral.gov/library/calls/breastfeeding/index.html>

National Physical Activity Plan: <http://www.physicalactivityplan.org>

Dietary Guidelines for Americans, 2010:

<http://www.cnpp.usda.gov/DGAs2010-PolicyDocument.htm>

2008 Physical Activity Guidelines for Americans:

<http://www.health.gov/PAGuidelines/>

Accelerating Progress in Obesity Prevention: Solving the Weight of the Nation:

<http://www.iom.edu/Reports/2012/Accelerating-Progress-in-Obesity-Prevention.aspx>

Million Hearts: www.millionhearts.hhs.gov

CDC-led National Diabetes Prevention Program:

<http://www.cdc.gov/diabetes/prevention/index.htm>

School Health Guidelines to Promote Healthy Eating and Physical Activity:

<http://www.cdc.gov/mmwr/pdf/rr/rr6005.pdf>

Regional or Local Office:

None.

Headquarters Office:

Trevia L Brooks 4770 Buford Hwy, MS F78, Atlanta, Georgia 30341 Email:

tnb9@cdc.gov Phone: 7704885659

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC PGO staff

and will be reviewed jointly for eligibility by the CDC NCCDPHP and PGO. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements.

a. Phase I Review All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC NCCDPHP and PGO. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements.

b. Phase II Review
A review panel will evaluate complete, eligible applications in accordance with the criteria below.

- i. Approach
- ii. Evaluation and Performance Management
- iii. Applicants Organizational Capacity to Implement the Approach

c. Phase III Review
The Selecting Official shall rely on the rank order established by the objective review as the primary factor in making awards. However, in order to maximize the reach and impact of federal funding and an effort to strive for greater health equity, the Selecting Official may depart from the rank order to achieve a more balance and equitable approach award that demonstrates health equity throughout the approach, performance, evaluations and organizational capacity, in order to meet a more valued, equitable and comprehensive decision.

93.810 PAUL COVERDELL NATIONAL ACUTE STROKE PROGRAM NATIONAL CENTER FOR CHRONIC DISEASE PREVENTION AND HEALTH PROMOTION

Coverdell

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Section 317 of the Public Health Services Act (PHS Act), 42 U.S.C. 247b(k)(2) as amended.

OBJECTIVES:

To improve the quality of acute stroke care and health outcomes for acute stroke patients. Program activities will address the continuum of care from onset of stroke through rehabilitation and recovery, focusing on health systems change and community and clinical linkages.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to: Develop and maintain partnerships; implement and support the operation of a hospital based stroke registry; and Develop and maintain a quality improvement program to increase the quality of care in all applicable components. Grantees may not use funds for research.

Grantees may not use funds for clinical care.

Grantees may only expend funds for reasonable program purposes, including personnel, travel for employees working on the cooperative agreement-supported project or program, supplies, and services, such as contractual.

The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. Reimbursement of pre-award costs is not allowed.

Applicant Eligibility:

State governments (this includes the District of Columbia) are eligible to apply.

Beneficiary Eligibility:

Eligibility is limited to state health departments (to include the District of Columbia) with heart disease and stroke prevention programs for this

cooperative agreement.

State health departments are the only agencies who are uniquely positioned to develop strong state level task forces to develop these stroke systems of care that can be used to focus on an comprehensive approach to improving quality of care at all points along the continuum of care that will have the largest reach and impact on decreasing morbidity and mortality from stroke, reducing disparities in the delivery of care, and improving outcomes.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications will be reviewed for responsiveness. CDC will evaluate complete and responsive applications according to published criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be only binding, authorizing document between the recipient and the CDC. The NOA will be signed by an authorized Grants management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application. Unsuccessful recipients will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements. this program has no MOE requirements.

Length and Time Phasing of Assistance:

12-budget within a 5 year project period. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports are required twice a year. Cash reports are not applicable.

Interim and annual progress reports towards meeting the goals and activities of the FOA are required. FFR due 90 days after the end of the budget period.

Bi-monthly one-on-one grantee calls are held with each grantee to monitor their performance in addition to the interim and annual progress reports.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$6,740,000; FY 17 est \$6,740,000; and FY 18 est \$674,000

Range and Average of Financial Assistance:

700,000 -800,000.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sheila Weagle 4770 Buford Hwy, NE, MS. F72, Atlanta, Georgia 30341 Phone: 7704886093

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.813 HEART DISEASE & STROKE PREVENTION PROGRAM AND DIABETES PREVENTION STATE AND LOCAL PUBLIC HEALTH ACTIONS TO PREVENT OBESITY, DIABETES, AND HEART DISEASE AND STROKE

State and Local Public Health Actions to Prevent Obesity, Diabetes, Heart Disease and Stroke

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 301(a) and 317(k) of the Public Health Service Act, 42 U.S.C. section 241 and 247b(k)(2), and Title IV Section 4002 of the Affordable Care Act, Prevention and Public Health Fund. And, additional authorities apply to certain diabetes-related activities concerning behavioral interventions, Sections 399V-3 and 1703(a) of the Public Health Service Act, 42 U.S.C 280g-14 and 300u-2.

OBJECTIVES:

The purpose of this program is to support implementation of population-wide and priority population approaches to prevent obesity, diabetes, and heart disease and stroke and reduce health disparities in these areas among adults.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Continue to build on public health activities such as partnership engagement, workforce development, guidance and support for programmatic efforts, strategic communication, surveillance and epidemiology, and evaluation. Continue to extend the activities supported with basic funding under DP13-1305 under enhanced component to achieve even greater reach and impact through implementation of evidence-based strategies that are more extensive and wider-reaching than those implemented in the basic component. Supplemental funding may be provided that is specific to a chronic disease such as diabetes and/or heart disease and/or risk factor(s). Funds may be used for costs associated with planning, implementing, and evaluating chronic disease prevention and control programs. Applicants must adhere to all CDC Guidelines Regarding allowable and unallowable expenses. There are regular Budget Authority dollars available in addition to PPHF dollars to fund this FOA.

Applicant Eligibility:

Eligible Applicants: State Departments of Health or their Bona Fide Agents (includes the District of Columbia) 2. Large city health departments or their bona fide agents, with populations of at least 900,000 (using July 2012 U.S. census Estimates xviii) 3.Special Eligibility Requirements: Large city applicants must work in partnership with and provide a letter of support from the state department of health or its bona fide agent documenting activities proposed.

Beneficiary Eligibility:

States and communities will benefit from this assistance in many ways including through improved clinical and other preventive services for self-management of hypertension, diabetes, overweight and obesity.

Credentials/Documentation:

Applicants should document the need for assistance, State the objectives of the project, outline the method of operation, describe evaluation procedures, and provide a budget with justification for funds requested. Costs will be determined in accordance with 2 CFR Part 225, 45 CFR Part 92, OMB Circular No. A-102, and OMB Circular A-133. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Submit Annual Progress Reports through www.Grants.gov. If you encounter any difficulties submitting your interim progress report through www.Grants.gov, please contact CDC's Technical Information Management Section at (770) 488-2700 prior to the submission deadline. If further information is needed regarding the application process, please contact the CDC Procurement and Grants Office at (770) 488-2710 located at 2920 Brandywine Road, Atlanta, GA 30341

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 4 years with 12 month budget periods.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

12-month budget period within a 5-year project period. Method of awarding/releasing assistance: lump sum.

Reports:

Semi-annual reports from each entity awarded a grant, cooperative agreement, or contract, summarizing the activities undertaken and identifying any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the recipient), to be posted not later than 30 days after the end of each 6-month period. Congress directed HHS to provide information on activities and programs supported with resources from the Prevention and Public Health Fund (PPHF) to a public website <http://www.hhs.gov/open/recordsandreports/prevention/index.html>. No cash reports are required. Reporting provides continuous program monitoring and identifies successes and challenges that awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding. Awardees must submit an Annual Performance Report via www.grants.gov 120 days before the end of each budget period. The report must not exceed 45 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed. This report must include the following: performance measures, evaluation results, work plan, successes, challenges, CDC program support needs, and administrative reporting. The annual FFR form (SF-425) is required and must be submitted through eRA Commons within 90 days after each budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final report must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. The final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data must correspond; no discrepancies between the data sets are permitted. Failure to submit the required information by the due date may affect adversely the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation and include the date by which the information will be provided. Awardees should have already provided (unless supplemental funding is awarded in other years that would require this as new) a more detailed evaluation and performance measurement plan within the first six months of this project. This more detailed plan must be developed by awardees as part of first-year project activities, with support from CDC, must build on the elements stated in the initial plan, and must be no more than 25 pages. Awardees are required to submit performance measures annually as a minimum, and may require reporting more frequently. Performance measure reporting is limited to data collection. When funding was awarded initially, CDC program specified required reporting frequency, data fields, and format.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0948-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$69,500,000; FY 17 est \$69,500,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Actual amounts: FY2014: \$69,530,534; Anticipated amounts - FY2015: \$69,500,000, FY 2016 \$69,500,000. The awards may range from \$1,300,000 to \$1,760,000 for component 1 and \$1,300,000 to \$1,760,000 for component 2, and \$2,600,000 to \$3,520,000 per applicant.

TAFS Codes:

75-0948.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

92 CFR Part 225 and 45 CFR Part 92

Cost Principles for Grants and Cooperative Agreements with State and Local Governments (OMB Circular A102) and Audits of State, Local Governments and Non-Profit Organizations (OMB Circular No. A-133) and Congress directed HHS guidance/requirements regarding terms and conditions and reporting requirements for recipients of programs supported with resources from the Prevention and Public Health Fund

Regional or Local Office:

None.

Headquarters Office:

Robert Hancock 4770 Buford Highway, MS F75, Atlanta, Georgia 30341
Email: mh2@cdc.gov Phone: 7704881431

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance; 93.945 Assistance Programs for Chronic Disease Prevention and Control; 93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Continuation applications will undergo a technical review prior to award. A summary statement will be provided which may or may not require a grantee response. For any supplemental funding all applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC NCCDPHP and PGO. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the Criteria section of the FOA.

There may or may not be an additional review which will be provided in the FOA. Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements.

93.814 PARTNER SUPPORT FOR HEART DISEASE AND STROKE PREVENTION

Partner Support

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, section 301(a) and 317 (k) (2) [42 U.S.C. Section 241 (a) and 247b(k)(2)].

OBJECTIVES:

To provide partner support around cardiovascular disease prevention activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To provide partnership support around cardiovascular disease prevention efforts, including dissemination of evidence-based practices, tools and strategies, creating opportunities for peer learning and sharing of best practices, facilitating community and clinical linkages at the state and local level, and packaging and promoting the use of cardiovascular tools and products. Applicant will adhere to all CDC guidelines regarding allowable and unallowable expenses

Restrictions that must be considered while planning the programs and writing the budget are:

Awardees may not use funds for research.

Awardees may not use funds for clinical care.

Awardees may use funds only for reasonable program purposes, including personnel, travel, supplies, and services.

Generally, awardees may not use funds to purchase furniture or equipment. Any such proposed spending must be clearly identified in the budget.

Reimbursement of pre-award costs is not allowed.

Other than for normal and recognized executive-legislative relationships, no funds may be used for:

- o publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body

- o the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body

See Additional Requirement (AR) 12 for detailed guidance on this prohibition and additional guidance on lobbying for CDC awardees.

The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project outcomes and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

Government and non-governmental organizations, including state, local, tribal and territorial governments or their bona fide agents.

Beneficiary Eligibility:

non-governmental organizations, including state, local, tribal and territorial governments or their bona fide agents.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be submitted electronically at www.Grants.gov. Electronic applications will be considered as having met the deadline if the application has been successfully made available to CDC for processing from www.Grants.gov on the deadline date. The application package can be downloaded from www.Grants.gov. Applicants can complete the application package off-line, and then upload and submit the application via the [Grants.gov](http://www.Grants.gov) Web site. The applicant must submit all application attachments using a PDF file format when submitting via [Grants.gov](http://www.Grants.gov). Directions for creating PDF files can be found on the [Grants.gov](http://www.Grants.gov) Web site. Use of file formats other than PDF may result in the file being unreadable by staff.

Applications submitted through [Grants.gov](http://www.grants.gov) (<http://www.grants.gov>), are electronically time/date stamped and assigned a tracking number. The tracking number serves to document submission and initiate the electronic validation process before the application is made available to CDC for processing.

Award Procedure:

All eligible applications will be initially reviewed for completeness by the Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by NCCDPHP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified that the application did not meet eligibility and/or published submission requirements.

An objective review panel will evaluate complete and responsive applications according to the criteria listed in Section V. Application Review Information, subsection entitled Criteria.

Applications will be funded in order by score and rank determined by the review panel.

CDC will provide justification for any decision to fund out of rank order.

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. For year 2 and beyond of the award awardees may request that as much as 75% of their estimated unobligated funds be carried over into the next budget period.

The carryover request must:

- Express a bona fide need for permission to use an unobligated balance;
- Include a signed, dated, and accurate Federal Financial Report (FFR) for the budget period from which funds will be transferred (as much as 75% of unobligated balances); and
- Include a list of proposed activities, an itemized budget, and a narrative justification for those activities.

Formula and Matching Requirements:

Statutory Formula: Title Pilot Program for Enhancement of Employee Whistleblower Protections. Pilot Program for Enhancement of Employee Whistleblower Protections: All applicants will be subject to a term and condition that applies the terms of 48 CFR section 3.908 to the award and requires that grantees inform their employees in writing (in the predominant native language of the workforce) of employee whistleblower rights and protections under 41 U.S.C. 4712.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

There is a 12 month budget period in which assistance is available. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period.

Annual Performance Report (APR) (required): The awardee must submit the APR via www.grants.gov 120 days before the end of the budget period. This report must not exceed 45 pages excluding administrative reporting. Attachments are not allowed, but Web links are allowed.

This report is due 90 days after the end of the project period. This report covers the entire project period and can include information previously reported in APRs. The annual FFR form (SF-425) is required and must be submitted through eRA Commons 90 days after the end of the calendar quarter in which the budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash

transaction data. Failure to submit the required information by the due date may adversely affect the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation to PGO and include the date by which the Grants Officer will receive information. CDC programs may require more frequent reporting of performance measures than annually in the APR. If this is the case, CDC programs must specify reporting frequency, data fields, and format for awardees at the beginning of the award period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$500,000; FY 17 est \$500,000; and FY 18 est \$500,000

Range and Average of Financial Assistance:

The award range is \$250,000-\$500,000, with an average of \$400,000 per budget year.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Stephanie Bernard 4770 Buford Highway NE, MS F-72, Atlanta, Georgia 30341 Email: sij9@cdc.gov Phone: 7704885108

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding through DP12-1213; Partner Support for Heart Disease and Stroke Prevention, in which a collaboration of organizations aimed at addressing the goals of CDC's Million Hearts initiative, provided support around partner engagement efforts in cardiovascular disease prevention.

93.815 DOMESTIC EBOLA SUPPLEMENT TO THE EPIDEMIOLOGY AND LABORATORY CAPACITY FOR INFECTIOUS DISEASES (ELC). (ELC)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act Sections 301(a)[42 U.S.C. 241(a)] and 317(k)(2)[42 U.S.C. 247b(k)(2)].

OBJECTIVES:

This ELC Competing Supplement addresses priority domestic capacity building around Ebola and other emerging and highly-infectious diseases. The

Competing Supplement (1) provides additional resources to accelerate ELC activities around infection control assessment and response, laboratory safety, and global migration, border interventions, and migrant health; and (2) aligns with ELC's existing purpose which is to protect the public health and safety of the American people by enhancing the capacity of public health agencies to effectively detect, respond, prevent and control known and emerging (or re-emerging) infectious diseases. This is accomplished by providing financial and technical resources to (1) strengthen epidemiologic capacity; (2) enhance laboratory capacity; (3) improve information systems; and (4) enhance collaboration among epidemiology, laboratory, and information systems components of public health departments.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial and technical resources are intended to be utilized for non-research activities that (1) strengthen epidemiologic capacity; (2) enhance laboratory capacity; (3) improve information systems; and (4) enhance collaboration among epidemiology, laboratory, and information systems components of public health departments. Activities may include those that enable a public health organization to establish and maintain a capable and qualified workforce, achieve modern and well-equipped public health laboratories, implement up-to-date health information systems, and institute systems that foster communication and appropriate integration across epidemiology, laboratory, and health information systems.

Federal Financial Assistance (FA) and Direct Assistance (DA) are authorized. Project funds may be used for costs associated with planning, organizing, and the implementation of other program elements to build public health epidemiology, laboratory, and health information systems capacity. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services (e.g., contractual support). Funds may not be used for research or clinical care. Generally, awardees may not use funds to purchase furniture. Other than for normal and recognized executive-legislative relationships, no funds may be used for (1) publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body, or (2) the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body. (See Additional Requirement (AR) 12 for detailed guidance on this prohibition and additional guidance on lobbying for CDC awardees). Funds awarded to grantees are fully discretionary.

Applicant Eligibility:

Eligible applicants consist of state, local, and U.S. territory/possession governments currently funded under CK14-1401. Specifically, these include: all 50 states, Washington, D.C., 5 largest local health departments (Chicago, Illinois; Houston, Texas; Los Angeles, California; New York City, New York; Philadelphia, Pennsylvania.); the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau or their bona fide agents.

Beneficiary Eligibility:

Direct beneficiaries include all 50 states, Washington, D.C., 5 largest local health departments (Chicago, Illinois; Houston, Texas; Los Angeles, California; New York City, New York; Philadelphia, Pennsylvania.); the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau or their bona fide agents.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should

consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications that are complete and responsive will be evaluated for scientific and technical merit and receive support. CDC will not review incomplete and non-responsive applications. Applications that are complete and responsive will undergo an objective review process, receive a written critique and be scored according to the published review criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer. Initial award provides funds for the first budget period (usually 12 months) and the NOA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Time is approximate; please contact the headquarters for additional information.

Appeals:

Not Applicable.

Renewals:

Funding provided is one-time.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance will be provided via one lump sum award with funds being viable for 36 months. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No later than 10 days after the end of each calendar quarter, the recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). The reporting schedule will be based on the requirements listed in the ELC funding opportunity announcements. Funded applicants must provide an interim and annual progress report. Federal Financial Reports (SF 425) and Final Progress Report are due no more than 90 days after the end of the budget period. All reports must be submitted to the Grants Management Specialist indicated in the NoA. Federal Financial Reports (SF 425) are due no more than 90 days after the end of the budget period. Federal Financial Reports and Progress Reports are required, no more than 90 days after the end of the budget/project period. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit info.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-1-0-155 - ELC Ebola related supplemental support.

Obligations:

(Cooperative Agreements) FY 16 \$3,933,196; FY 17 est \$554,500; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhsolicy.htm>

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Angelica O'Connor 1600 Clifton Rd, NE (MS-C18), Atlanta, Georgia 30333
Email: AMOConnor@cdc.gov Phone: 4046397379

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) clearly provide a plan that includes quantitative process and outcome measures (2) provide adequate and clear information to enable the reviewer to gain a reasonable understanding of the applicants recent and current efforts to address the issues and (3) provide a realistic plan with activities that can be achieved in year one and subsequent years. Other criteria will be listed in the individual funding opportunity announcements.

93.816 PREVENTING HEART ATTACKS AND STROKES IN HIGH NEED AREAS

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Section 317 of the Public Health Services Act (PHS Act), 42 U.S.C. 247b(k)(2) as amended.

OBJECTIVES:

The purpose of program is to support implementation of population-wide and priority population approaches to prevent and control high blood pressure, and reduce health disparities associated with high blood pressure, among adults in Mississippi's 18-county Delta Region, which is a high burden, underserved, rural area.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to implement two components Component 1 and Component 2 across MS's 18-county Delta Region:

Component 1 is to implement or strengthen policy, system, and environmental (PSE) strategies to: increase environments that promote, support, or reinforce physical activity and healthy foods and beverages, particularly those with low or no sodium; and to increase tobacco- and smoke-free environments.

Component 2 is to implement or strengthen health systems strategies and community-clinical linkage strategies

-- In their proposed budget, Mississippi Department of Health / Mississippi Delta Health Collaborative must allocate more funding (i.e., at least 60% of their proposed budget) towards Component 2 (health systems strategies and community-clinical linkage strategies) than Component 1 (policy, system, and environmental strategies).

-- Mississippi Department of Health / Mississippi Delta Health Collaborative must sub-award 50% of award funds to local entities to contribute to the work, must provide leadership and technical assistance to selected MS Delta communities, and must ensure overall coordination.

-- Mississippi Department of Health / Mississippi Delta Health Collaborative should allocate between 5% - 10% of their total funding award to evaluation and performance monitoring.

-- In order to maximize the reach of federal funding, Mississippi Department of Health / Mississippi Delta Health Collaborative must ensure that efforts are complementary and not duplicative of other efforts in the 18-county MS Delta Region, such as State Public Health Actions (DP13-1305), Partnerships to Improve Community Health (PICH) (DP14-1417), and Racial and Ethnic Approaches to Community Health (REACH) (DP14-1419). -- Awardee may not use funds for research.

-- Awardee may not use funds for clinical care.

-- Awardee may not use funds for construction.

-- Awardee may use funds only for reasonable program purposes, including personnel, travel, supplies, and services.

-- Generally, awardee may not use funds to purchase furniture or equipment. Any such proposed spending must be clearly identified in the budget.

-- Reimbursement of pre-award costs is not allowed.

-- Other than for normal and recognized executive-legislative relationships, no funds may be used for:

o publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body

o the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body.

-- The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project outcomes and not merely serve as a conduit for an award to another party or provider who is ineligible.

Awardee may not use funds for construction.

Applicant Eligibility:

The Mississippi Department of Health / Mississippi Delta Health Collaborative is uniquely qualified positioned to carry out the activities outlined in this FOA because:

-- To meet Congressional intent detailed in the FY 2015 Appropriations language, CDC needs to continue supporting the Mississippi Department of Health / Mississippi Delta Health Collaborative's work related to policy, systems, and environmental approaches, as well as community-clinical linkages for the prevention and control of chronic diseases, such as heart disease and stroke.

-- The Mississippi Department of Health's mission is to promote and protect the health of the citizens of Mississippi. In addition, The Mississippi Department of Health is designated as the sole and official agency to administer and supervise all health planning responsibilities for the state, as established by Section 41-7-171 et seq., of the Mississippi Code 1972. Therefore they are uniquely qualified to identify those areas of greatest need in the state; develop strategies to reduce barriers and deficiencies in the state's public health care system; and establish policies to encourage the provision of appropriate and quality care in the public and private health care system. Through its program, the Mississippi Delta Health Collaborative, reach of the Mississippi Department of Health is further extended to address health issues of rural areas of the state (i.e., the 18-county MS Delta Region). The MS Delta Region bears a disproportionate

burden of heart disease and stroke, and related conditions as compared to the rest of Mississippi and to the nation.

-- Mississippi Delta Health Collaborative has provided leadership and coordination of heart disease and stroke prevention and control efforts in communities across the MS Delta Region. Also, by directly engaging, and providing funding and technical assistance to community organizations to promote coordination of public health efforts, the Mississippi Delta Health Collaborative is poised to expeditiously and successfully implement strategies related to policy, systems, and environmental approaches; health systems; and community-clinical linkages to prevent and control heart disease and stroke in the MS Delta Region.

Beneficiary Eligibility:

The ultimate benefits of this program will be received by the general and priority adult populations in a local, rural area of Mississippi (i.e., the MS Delta Region) who have high blood pressure, and experience health disparities associated with high blood pressure. Priority adult populations are those adult population subgroups in the MS Delta Region who experience racial/ethnic and socioeconomic disparities, including inadequate access to care and poor quality of care. Strategies related to the prevention and control of heart disease and stroke will be implemented and tailored to meet the unique local needs of the MS Delta Region and to achieve the greatest impact.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The awardee will be subject to a term and condition that applies the terms of 48 CFR section 3.908 to the award and requires that grantees inform their employees in writing (in the predominant native language of the workforce) of employee whistleblower rights and protections under 41 U.S.C. 4712

-- The awardee is subject to the DUNS, SAM Registration, and Federal Funding Accountability And Transparency Act Of 2006 (FFATA) requirements.

Award Procedure:

-- Financial assistance from this FOA will be provided only to the Mississippi Department of Health / Mississippi Delta Health Collaborative. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipients fiscal officers identified in the applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. September 30, 2015 to September 29, 2018
Throughout the project period, CDC will continue the award based on the availability of funds, the evidence of satisfactory progress by the awardee (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government. The total number of years for which federal support has been programmatically approved (project period) will be shown in the Notice of Award. This information does not constitute a commitment by the Federal Government to fund the entire period. The total project period comprises the initial competitive segment and any subsequent non-competitive continuation award(s).

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. September 30, 2015 to September 29, 2018
Throughout the project period, CDC will continue the award based on the availability of funds, award(s).

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

FY 15 Budget Year: 12 months (September 30, 2015 to September 29, 2016)
Total Project Period Length: 3 years (September 30, 2015 to September 29, 2018)

-- Throughout the project period, CDC will continue the award based on the availability of funds, the evidence of satisfactory progress by the awardee (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government. The total number of years for which federal support has been programmatically approved (project period) will be shown in the Notice of Award. This information does not constitute a commitment by the Federal Government to fund the entire period. The total project period comprises the initial competitive segment and any subsequent non-competitive continuation award(s). Method of awarding/releasing assistance: lump sum.

Reports:

- 4 program/progress reports are required:
1. Awardee Evaluation and Performance Measurement Plan; due 6 months into award.
 2. Annual Performance Report (APR); due 120 days before end of budget period, and serves as yearly continuation application.
 3. Federal Financial Reporting Forms; due 90 days after end of calendar quarter in which budget period ends.
 4. Final Performance and Financial Report; due 90 days after end of project period. Cash reports are not applicable. Four program/progress reports are required:
 1. Awardee Evaluation and Performance Measurement Plan; due 6 months into award.
 2. Annual Performance Report (APR); due 120 days before end of budget period, and serves as yearly continuation application.
 3. Federal Financial Reporting Forms; due 90 days after end of calendar quarter in which budget period ends. . Final Performance and Financial Report; due 90 days after end of project period. -- Having routine and ongoing communication between CDC and awardee.
 - Conducting site visits by CDC to awardee.
 - Reviewing and providing feedback on awardee reporting (including work plans, performance, and financial reporting).
 - Tracking awardees progress in achieving the desired outcomes.
 - Ensuring the adequacy of awardees systems that underlie and generate data reports.
 - Creating an environment that fosters integrity in program performance and results.
 - Ensuring that awardees work plans are feasible based on the budget and consistent with the intent of the award.
 - Ensuring that awardee is performing at a sufficient level to achieve outcomes within stated timeframes.
 - Working with awardee on adjusting the work plan based on achievement of outcomes, evaluation results, and changing budgets.
 - Working with awardee on performance measures (both programmatic and financial) to assure satisfactory performance levels.
 - Monitoring and reporting activities that assist grants management staff (e.g., grants management officers and specialists, and project officers) in the identification, notification, and management of high-risk grantees.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of three years from the date of submission of the final expenditure report or, for awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$3,150,000; FY 17 est \$3,000,000; and FY 18 est \$3,150,000

Range and Average of Financial Assistance:

Funding for the Mississippi Department of Health / Mississippi Delta Health Collaborative has ranged from \$1,058,464 to \$4,000,000.

FY16 and 17 supports new FOA DP16-1612.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Refilwe Moeti 4770 Buford Highway, NE, Atlanta, Georgia 30341 Email: RMoeti@cdc.gov Phone: 7704885519

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.817 HOSPITAL PREPAREDNESS PROGRAM (HPP) EBOLA PREPAREDNESS AND RESPONSE ACTIVITIES

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Title VI of Division G of the Consolidated and Continuing Appropriations Act, 2015 and Public Health Service Act, Section 311 (42 U.S.C. 243).

OBJECTIVES:

This program covers two separate, but related projects: Part A Health Care System Preparedness for Ebola and Part B Development of a Regional Network for Ebola Patient Care. The purpose of this program is to (1) improve healthcare system preparedness for Ebola and (2) develop a regional hospital network for Ebola patient care.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Part A - Health Care System Preparedness for Ebola
Through Part A, HHS will provide funding to all 62 Hospital Preparedness Program (HPP) awardees to support state- or jurisdiction-designated Ebola treatment centers, assessment hospitals, and health care coalitions for overall

health care system preparedness. Jurisdictions may use a portion of the funding to: compensate health care facilities for Ebola preparedness activities undertaken since July 2014, build additional capabilities to ensure the nations health care system and health care workers are ready to safely and successfully identify, isolate, assess, transport, and treat patients under investigation with Ebola or confirmed to have Ebola, and be well prepared for a future Ebola-like event.

Applicants should review the individual funding opportunity announcement document for specific information about the use of funds for this program.

Part B - Development of a Regional Network for Ebola Patient Care
HHS will award funding through Part B of the FOA to up to 10 Hospital Preparedness Program (HPP) awardees (although the decision has been made for Region 4 and Region 7 facilities) to develop a regional network for Ebola patient care, including the establishment of approximately ten regional Ebola and other special pathogen treatment centers with significantly enhanced Ebola and other infectious disease capabilities that will accept a confirmed Ebola patient from their region, across the U.S., or medically evacuated from West Africa, as necessary.

All HPP jurisdictions are eligible to apply for Part B funding. HPP will award a maximum of 10 awards through Part B (two sole source awards for [1] the Georgia Department of Public Health to support the Emory University Hospital, Atlanta, GA to serve as the Region 4 regional Ebola and other special pathogen treatment center and [2] the Nebraska State Department of Health and Human Services to support the Nebraska Medicine - Nebraska Medical Center, Omaha, NE to serve as the Region 7 regional Ebola and other special pathogen treatment center) and up to eight additional competitive awards for the remaining HHS regions. Applicants should review the individual funding opportunity announcement document for specific funding restrictions for this program. HHS will award funding through Part B of the FOA to up to 10 Hospital Preparedness Program (HPP) awardees to develop a regional network for Ebola patient care, including the establishment of approximately ten regional Ebola and other special pathogen treatment centers with significantly enhanced Ebola and other infectious disease capabilities that will accept a confirmed Ebola patient from their region, across the U.S., or medically evacuated from West Africa, as necessary.

All HPP jurisdictions are eligible to apply for Part B funding. However, HPP envisions Part B as a cooperative process. Ideally, only one applicant will apply from each region because all the jurisdictions will agree on, and support, one applicant. HPP will award a maximum of 10 awards through Part B (two sole source awards for [1] the Georgia Department of Public Health to support the Emory University Hospital, Atlanta, GA to serve as the Region 4 regional Ebola and other special pathogen treatment center and [2] the Nebraska State Department of Health and Human Services to support the Nebraska Medicine - Nebraska Medical Center, Omaha, NE to serve as the Region 7 regional Ebola and other special pathogen treatment center) and up to eight additional competitive awards for the remaining HHS regions.

Applicants should review the individual funding opportunity announcement document for specific information.

Applicant Eligibility:

Eligible applicants are the 62 Hospital Preparedness Program (HPP) awardees, which include health departments in all 50 States, the District of Columbia, the nations three largest municipalities (Chicago, Los Angeles County, and New York City, the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam, and the United States Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Beneficiary Eligibility:

Health departments listed above, hospitals and supporting health care systems.

Credentials/Documentation:

Applicants should review the individual funding opportunity announcement documents issued under this CFDA for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities

Award Procedure:

Notification is made in writing through a Notice of Award issued from the Office of the Assistant Secretary for Preparedness and Response.

Deadlines:

Feb 23, 2015 Application deadlines and due dates are specified in the funding opportunity announcement.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period is 5 years with 12-month budget periods. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. Quarterly SF 425. Applicants funded under this announcement will be required to electronically submit an annual program progress report, semi-annual and annual financial reporting requirement. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. A-133

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of the grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements are provided in Title 45 CFR Part 92.

Account Identification:

75-0140-0-1-551.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 16 Not Available; FY 17 est \$21,670,730; and FY 18 Estimate Not Available - Part A - Health Care System Preparedness for Ebola: \$162,000,000

Part B - Development of a Regional Network for Ebola Patient Care: \$20,374,691

Part B - Development of a Regional Network for Ebola Patient Care: \$4,738,688 (FY 16)

Part A - Health Care System Preparedness for Ebola: \$19,170,730 (FY17)

Part B - Development of a Regional Network for Ebola Patient Care:

\$2,500,000 (FY 17).

Range and Average of Financial Assistance:

Part A - Health Care System Preparedness for Ebola:

Range: \$202,989 - \$15,229,780

Est. Average Amount: \$2,612,903

Part B - Development of a Regional Network for Ebola Patient Care:

Range: \$3,250,000 - \$4,600,000

Est. Average Amount: \$3,250,000.

TAFS Codes:

75-0140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organization; 2 CFR 200 (subparts A through D)

Regional or Local Office:

None.

Headquarters Office:

Robert Scott Dugas Hospital Preparedness Program, Division of National Healthcare Preparedness Programs, Office of Emergency Management, Office of the Assistant Secretary for Preparedness and Response, U.S. Department of Health and Human Services, 200 C Street, SW, RM C4K12, Washington, DC 20024, E-mail: Robert.Dugas@hhs.gov , Telephone: (202) 245-0732, Washington, District of Columbia 20024 Email: Robert.Dugas@hhs.gov Phone: (202) 245-0732

Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

93.074 Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The applicants must submit their application in accordance with the fiscal year Funding Opportunity Announcement. The applications are reviewed and approved applications are processed immediately. Applications approved with conditions, require the applicant to resubmit supporting information within a prescribed timeframe. Upon review and approval, the revised application can then be submitted to the Office of Grants Management for processing and issuing a notice of grant award.

Part B - Development of a Regional Network for Ebola Patient Care:

Applications that successfully proceed through the initial screening (as outlined in the funding opportunity announcement) will be objectively reviewed based upon the review criteria specified in the funding opportunity. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Award from the Office of the Assistant Secretary for Preparedness and Response.

93.818 SUPPORT TO THE WORLD HEALTH ORGANIZATION (WHO) FOR RESPONSE TO THE EBOLA VIRUS DISEASE OUTBREAK IN WESTERN AFRICA

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act Sections 317A and 317B 317(k)(2) of the Public Health Service Act [42 U.S.C Sections 247b and 247b-2and 247b-3] as amended.

OBJECTIVES:

The objective of the project is to contribute to on-going efforts to reduce the morbidity, mortality and to break the chain of transmission of EVD by strengthening capacities at the district level of affected countries in western Africa to actively find, investigate and refer cases, register all potential contacts and monitor them for symptom development, strengthen data collection, reporting and analysis, and promote appropriate messaging for behavior change. This project also aims to address other public health issues in the affected countries that are exacerbated by the EVD outbreak.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. Financial and technical resources are intended to be used for non-research activities necessary to complete the objectives stated above. The full list of restrictions for this program are included in the published Funding Opportunity Announcement for CK14-1402. General restrictions that apply are as follows:

-Recipients may not use funds for research or clinical care

-Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

-Awardees may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

-The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. Funds awarded to grantees are fully discretionary.

Applicant Eligibility:

World Health Organization (WHO). For purposes of this document, the term WHO includes its regional offices (e.g., PAHO, EMRO, AFRO, SEARO, etc.).

Beneficiary Eligibility:

Individuals worldwide, including the U.S.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Funds for this program will be provided via a supplemental award under the existing CDC-WHO cooperative agreement (FOA CK14-1402).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Funds will be awarded as a supplement to the existing CDC-WHO cooperative agreement. Award is expected to be made within 30 days.

Appeals:

Not Applicable.

Renewals:

Annual renewals within an awarded multi-year project period are subject to the availability of funds and are made via a non-competitive process. Additional renewal info can be found in the published FOA CK14-1402.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 36-month budget period within project periods ranging from one to three years. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No later than 10 days after the end of each calendar quarter, recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). Annual Progress Reports (APR) are due at 15 months and 27 months during the budget/project period. Additional instructions regarding progress reporting requirements will be described in the official Notice of Award (NoA) from the CDC Procurement and Grant Office and can also be found in the published FOA CK14-1402. Federal Financial reports (SF-425) are due at 15 months, and 27 months during the budget/project period. The final progress report is due 90 days after the end of the project period. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years after the end of a period of performance. If questions still remain, such as those raised as result of an audit, records must be retained until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$16,466,287; FY 17 est \$3,899,997; and FY 18 est \$3,899,997 - Additional funds for this program are expected to be awarded in FY2017.

Range and Average of Financial Assistance:

Range and Average Award for FY2015: Approx \$50M.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program can be found in the published Funding Opportunity Announcement and official Notice of Award (NoA). Regulations are also published under 42 CFR 55b. Guidelines are available under 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm>

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Caroline Gardner, 1600 Clifton Rd, MSE29, Atlanta, Georgia 30329 Email: ctg8@cdc.gov Phone: 404-639-4276

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.099 Collaboration with the World Health Organization and its regional offices for global health security and the International Health Regulations (IHR 2005)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.822 HEALTH CAREERS OPPORTUNITY PROGRAM

HCOP

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 739 and Section 740(c) of the Public Health Service Act (42 USC 293c and 293 d), (Educational Assistance in the Health Professions Regarding Individuals from Disadvantaged Backgrounds) as amended by Section 5402 of the Affordable Care Act (P.L. 111-148).

OBJECTIVES:

The Health Careers Opportunity Program (HCOP) program strives to develop a more competitive applicant pool to build diversity in the health professions. The program's goal is to provide students from economically and educationally disadvantaged backgrounds who are interested in pursuing a health profession to develop the needed skills to compete for, enter, and graduate from a health or allied health professions program, graduate program in behavioral and mental health, and/or programs for the training of physician assistants.

IHCOP strives to improve retention, matriculation and graduation rates by implementing tailored enrichment programs designed to address the academic and social needs of trainees from disadvantaged backgrounds. It also provides opportunities for community-based health professions training, emphasizing experiences in underserved communities. HCOP focuses on three key milestones of education: (1) graduation from high school; (2) retention and graduation from college; and (3) acceptance, retention, and completion of a health careers degree program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Section 739 provides for assisting individuals from disadvantaged backgrounds to undertake education to enter a health or allied health profession. Grant funds may be used for: (1) recruitment; (2) facilitating entry; (3) counseling, mentoring and other services; (4) preliminary education and health research training; (5) financial aid information dissemination; (6) primary care exposure activities; (7) development of a more competitive applicant pool; and (8) stipends.

Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

Eligible applicants include accredited schools of medicine, osteopathic medicine, public health, dentistry, veterinary medicine, optometry, pharmacy, allied health, chiropractic, podiatric medicine, public and nonprofit private schools that offer graduate programs in behavioral and mental health, programs for the training of physician assistants, and other public or private nonprofit health or educational entities including community, technical and tribal colleges. HCOP grant programs may only operate in the fifty (50) states, the District of Columbia, Commonwealth of Puerto Rico, Commonwealth of Northern Mariana Islands, the U.S. Virgin Islands, Guam, American Samoa, the Republic of Palau, Republic of the Marshall Islands, and the Federated States of Micronesia.

Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Eligible participants of the HCOP grant program must a) meet the definition of economically disadvantaged; b) be from an educationally disadvantaged background; and c) express an interest in pursuing a health degree program. Individuals must be U.S. citizens, non-citizen nationals, or foreign nationals who possess a visa permitting permanent residence in the United States. An individual will be determined to be disadvantaged if he or she comes from a background that has inhibited the individual from obtaining the knowledge,

skills, and abilities required to enroll in and graduate from a health professions school or program providing education or training in an allied health profession; or comes from a family with an annual income below a level based on low income thresholds according to family size published by the Bureau of the Census, adjusted annually for changes in the Consumer Price Index, and adjusted by the Secretary for use in health professions programs.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 3-year project period. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation

recipients may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$10,776,373; FY 17 est \$10,989,782; and FY 18 est \$0

Range and Average of Financial Assistance:

FY 2016 range: \$617,513 to \$637,213; average award: \$633,743
FY 2017 est. range: \$629,989 to \$650,000; average award est.: \$646,457.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75
HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Tammy Mayo-Blake, Division of Health Careers and Financial Support, Bureau of Health Workforce Health Resources and Services Administration, Department of Health and Human Services, Room 15N38D, 5600 Fishers Lane, Rockville, Maryland 20857 Email: tmayo-blake@hrsa.gov Phone: (301)443-0827

Website Address:

<https://bhwh.hrsa.gov/grants/healthcareers>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations.

Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4)

Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.823 EBOLA SUPPORT: TRANSMISSION AND PREVENTION CONTROL, PUBLIC HEALTH PREPAREDNESS, VACCINE DEVELOPMENT

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301(a)[42U.S.C.241(a)]

Public Health Service Act 307; 42 U.S.C. 2421

Public Health Service Act 42 U.S.C. 247b(k)(1)

Public Health Service Act 317, 317(k)(2) (42 U.S.C. Sections 247b, 247b(k)(2) and 247c.

OBJECTIVES:

Support infection control programs throughout hospitals and other health care facilities to control transmission of Ebola, pathogens similar to Ebola, and those transmitted similarly to Ebola. Identify and implement health care worker requirements, policies and programs for training and competency of infection control practices. Identify and implement prevention activities to reduce transmission of Ebola and other pathogens similar to Ebola.

Support research aimed at development of an Ebola vaccine.

Support efforts to improve public health preparedness for Ebola and other pathogens similar to Ebola. Activities may include enhanced screenings, improvement of rapid notification and response capabilities, training and oversight; development and dissemination of health information, education, and rapid and accurate data exchange.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting programs directed towards prevention of disease, disability, and death by control of infectious diseases, and for the implementation of other program elements as described above in Section 50 and through CDC allowable and unallowable expenses. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. Awardees may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. Reimbursement of pre-award costs is not allowed. Recipients may not use funds for construction or building projects. The funding opportunity announcement will describe eligibility and use restrictions. Discretionary funds may be used to fund projects under this CFDA. The notice of funding opportunity (NOFO) will describe funding sources.

Applicant Eligibility:

Eligibility requirements will be delineated in the funding opportunity announcement.

Beneficiary Eligibility:

All eligibility requirements will be delineated in the NOFO.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is

not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply for research funding opportunities, use application form SF424 (R&R). Submit applications via www.grants.gov

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public. Initial awards provides funds for first budget period and Notice of Award indicates support recommended for the remainder of the period of performance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

If additional support is desired to continue a research project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 3 years.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Normally 1-5 years. All awards are contingent upon availability of funds. See the following for information on how assistance is awarded/released: Specific details will be delineated in the notice of award.

Reports:

Financial status and progress reports are required annually. Upon completion of the project, final financial status and performance reports are required. Interim reporting requirements may be required and will be delineated in the NOFO. No cash reports are required. Progress reports are required annually and at the end of the period of performance. Requirements will be delineated in the NOFO. Expenditure reports are required and will be described in the NOFO. Specific requirements will be laid out in the notice of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the period of performance. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements

Records:

No Data Available.

Account Identification:

75-0943-0-1-550 - Infectious Disease Ebola Related Support.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No data available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Barbara Stewart 1600 Clifton Road NE , Atlanta, Georgia 30052 Email: bsg2@cdc.gov Phone: 4047188832

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and scientific merit of the overall application relative to the types of research and demonstration projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, boards of education and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

93.824 AREA HEALTH EDUCATION CENTERS INFRASTRUCTURE DEVELOPMENT AWARDS

(AHEC-ID)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act (42 U.S.C. 294a), Title VII, Section 751(a) (1), as amended by the Patient Protection and Affordable Care Act of 2010, Public Law 111-148, Section 5403.

OBJECTIVES:

The Patient Protection and Affordable Care act, Public Law 111-148, amended the PHS Act, Section 751, Area Health Education Centers. The required program activities in the amended legislation embrace the following goals to: (1) educate and train students to become culturally competent primary care health professionals who will provide healthcare in underserved areas and to health disparity populations; (2) increase the number and variety of primary care health professionals who provide care to underserved populations in Health Professional Shortage Areas (HPSAs) and other medically underserved areas; and (3) recruit into health careers individuals from underrepresented minority populations or from disadvantaged or rural backgrounds. The AHEC Infrastructure Development (AHEC-ID) awards consist of cooperative agreements with accredited schools of medicine and nursing, to assist the schools to improve the distribution, diversity, supply, and quality of health personnel in the health services delivery system, and to attract and retain health personnel in underserved areas. The AHEC awardees link the academic resources of medical schools and participating health profession schools with local educational and clinical sites, and thereby establish a network of primary care oriented community-based training sites to provide educational services to health profession students, faculty-preceptors and health care providers in underserved areas, and ultimately to improve the delivery of health care in an underserved region or in an entire state. Grantees shall allocate not less than 75

percent of the award to AHEC centers to coordinate community-based training and interdisciplinary/interprofessional training in underserved areas and for health disparity populations. AHEC health careers recruitment activities shall be coordinated, where feasible, with Department of Labor workforce investment boards, and shall include an emphasis on careers in public health. Interdisciplinary/interprofessional training shall involve physicians, physician assistants, nurse practitioners, nurse midwives, dentists, psychologists, pharmacists, optometrists, community health workers, public health and allied health professionals, as practicable.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Funds shall be used to recruit individuals from underrepresented, disadvantaged, or rural backgrounds into the health professions; establish and maintain community based placements or preceptorships with an emphasis on primary care; conduct interdisciplinary/interprofessional training involving an array of health professionals; deliver or facilitate continuing education; propose and implement outcomes measurement and evaluation strategies. Not less than 75 percent of the total amount provided to an AHEC program under subsection 751(a) (1) shall be allocated to the AHEC centers participating in the program.

The aggregate amount of awards to schools in the state for the fiscal year under Infrastructure Development AHEC authority shall not be less than \$250,000 per AHEC center, including program office funds. If amounts appropriated to carry out Section 751 are not sufficient to comply with the amount stated in the preceding sentence, the Secretary may reduce the per center amount as necessary.

Applicant Eligibility:

Eligible applicants for Area Health Education Centers (AHEC) Program cooperative agreements under Section 751(a)(1) include public or private nonprofit accredited schools of medicine and osteopathic medicine and incorporated consortia made up of such schools, or the parent institutions of such schools. Also, in States in which no AHEC program is in operation, an accredited school of nursing is an eligible applicant.

Beneficiary Eligibility:

Beneficiaries include a full range of trainees: high school students from underrepresented minority populations or disadvantaged or rural backgrounds, health professions students, faculty and practitioners.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and

funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

When making final funding decisions regarding Section 751 awards, consideration will be given to the Sense of the Congress that there be an area health education center program in each state.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Percent: 50%. With respect to the costs of operating a program through a grant under section 751, to be eligible for assistance under section 751, an entity shall make available (directly or through contributions from state, county or municipal governments or the private sector) recurring nonfederal contributions in cash or in kind toward such costs in an amount that is equal to not less than 50 percent of such costs. Thus, the matching ratio for AHEC awards is 1:1 (federal funds to non-federal contributions). At least 25 percent of the total required non-federal contributions shall be in cash. The Secretary may grant a waiver for up to 75 percent of the amount required in the first three years in which an awardee receives funds under this section: Section 751 (a) (1) of the PHS Act, as amended.

This program has Maintenance of Effort (MOE) requirements. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a five year project period. See the following for information on how assistance is awarded. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The recipient will be required to submit semi-annual performance and annual progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSAs Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients, etc. may be subject to this requirement and will be so notified in the Notice of Award. Cash reports are not applicable. Progress reports are not applicable. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>. This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations, and commercial organizations.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Jamie Weng, Project Officer, Health Careers Pipeline Branch, Division of Health Careers and Financial Support, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Parklawn Building, Room 9C-15, Rockville, MD 20857, Email: JWeng@hrsa.gov, Phone: (301) 443-6950.

Aleisha Langhorne, Project Officer, Health Careers Pipeline Branch, Division of Health Careers and Financial Support, Bureau of Health Workforce Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Parklawn Building, Room 9C-15, Rockville, MD 20857, Email: ALanghorne@hrsa.gov, Phone: (301) 443-6950.

Headquarters Office:

Meseret Bezuneh 5600 Fishers Lane, Room 9C-15, Rockville, Maryland 20857
Email: mbezuneh@hrsa.gov Phone: (301) 443-6950

Website Address:

<http://bhwh.hrsa.gov/grants/areahealtheducationcenters/index.html>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the

applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.825 NATIONAL EBOLA TRAINING AND EDUCATION CENTER (NETEC)

(NETEC)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Title VI of Division G of the Consolidated and Continuing Appropriations Act, 2015 and Public Health Service Act, Section 311 (42 U.S.C. 243).

OBJECTIVES:

CDC, will increase the competency of health care and public health workers and the capability of health care facilities to deliver efficient and effective Ebola patient care through the nationwide, regional network for Ebola and other infectious diseases. Comprised of staff from hospitals that have successfully evaluated and treated Ebola patients in the U.S., and in collaboration with staff from CDC and ASPR, the NETEC will offer expertise, education, training, technical assistance, peer review assessments, recognition reporting, and, if feasible, certification to regional Ebola and other special pathogen centers, state- and jurisdiction-based Ebola treatment centers, and assessment hospitals expertise, education regional Ebola and other special pathogen treatment centers, state- and jurisdiction-based Ebola treatment centers, and assessment hospitals.

This cooperative agreement will support a single consortium agreement, under which the awardee collaborates with one or more other organizations in carrying out the cooperative agreement-supported activities of the NETEC.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

HHS will provide funding to health care facilities that have safely and successfully evaluated and treated patients with Ebola in the U.S. to support a consortium agreement to establish the National Ebola Training and Education Center (NETEC). The NETEC will increase the competency of health care and public health workers and the capability of health care facilities to deliver efficient and effective Ebola patient care through the nationwide, regional network for Ebola and other infectious diseases. Comprised of staff from hospitals that have successfully evaluated and treated Ebola patients in the U.S., and in collaboration with staff from CDC and ASPR, the NETEC will offer expertise, education, training, technical assistance, peer review assessments, recognition reporting, and, if feasible, certification to regional Ebola and other special pathogen centers, state- and jurisdiction-based Ebola treatment centers, and assessment hospitals expertise, education regional Ebola and other special pathogen treatment centers, state- and jurisdiction-based Ebola treatment centers, and assessment hospitals.

Applicants should review the individual funding opportunity announcement document for specific information about the use of funds for this program. HHS will provide funding to health care facilities that have safely and successfully evaluated and treated patients with Ebola in the U.S. to support a consortium agreement to establish the National Ebola Training and Education Center (NETEC). The NETEC will increase the competency of health care and public health workers and the capability of health care facilities to deliver efficient and effective Ebola patient care through the nationwide, regional network for Ebola and other infectious diseases. Comprised of staff from hospitals that have successfully evaluated and treated Ebola patients in the U.S., and in collaboration with staff from CDC and ASPR, the NETEC will offer expertise, education, training, technical assistance, peer review assessments, recognition

reporting, and, if feasible, certification to regional Ebola and other special pathogen centers, state- and jurisdiction-based Ebola treatment centers, and assessment hospitals expertise, education regional Ebola and other special pathogen treatment centers, state- and jurisdiction-based Ebola treatment centers, and assessment hospitals.

Applicants should review the individual funding opportunity announcement document for specific information about the use of funds for this program. Applicants should review the individual funding opportunity announcement document for specific funding restrictions for this program.

Applicant Eligibility:

Eligible applicants are limited to health care facilities that have safely and successfully evaluated and treated patients with Ebola in the U.S. The lead applicant will collaborate, coordinate, plan, and work directly with the other facilities on appropriate activities described in the individual funding opportunity announcement, as well as distribute the funds from the funding opportunity announcement to support those activities.

Beneficiary Eligibility:

Public health departments, hospitals and supporting health care systems.

Credentials/Documentation:

Applicants should review the individual funding opportunity announcement documents issued under this CFDA for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities

Award Procedure:

Notification is made in writing through a Notice of Award issued from the Office of the Assistant Secretary for Preparedness and Response.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period is 5 years with 12-month budget periods. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. Recipients will utilize the Transactions section of SF425 in lieu of the SF272. The FFR SF425 cash Transaction Report is due 30 days after the end of each calendar quarter. Semi-annual and year-end annual progress reports are required. Recipients are required to submit the FFR SF425 Federal Financial

Report (FFR) semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of the grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements are provided in Title 45 CFR Part 75.

Account Identification:

75-0140-0-1-551.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$3,506,523; FY 17 est \$5,431,430; and FY 18 est \$5,431,430

Range and Average of Financial Assistance:

12,000,000.

TAFS Codes:

75-0140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of OMB 2 CFR Part 200 (subparts A through F), HHS Administrative Requirements, which can be found in 45 CFR Part 75

Regional or Local Office:

None.

Headquarters Office:

Melissa Harvey Division of National Healthcare Preparedness Programs, Office of Emergency Management, Office of the Assistant Secretary for Preparedness and Response, U.S. Department of Health and Human Services, 200 C Street, SW, RM C4K12, Washington, DC 20024, Washington, District of Columbia 20024 Email: Melissa.Harvey@hhs.gov Phone: (202) 692-4673

Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

93.074 Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements; 93.817 Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that successfully proceed through the initial screening (as outlined in the funding opportunity announcement) will be objectively reviewed based upon the review criteria specified in the funding opportunity. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Award from the Office of the Assistant Secretary for Preparedness and Response.

93.826 CLOSING THE GAP BETWEEN STANDARDS DEVELOPMENT AND IMPLEMENTATION FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Consolidated and Further Continuing Appropriations Act, 2015, Pub. L. No. 113-235, Division G, Title II, and Title XXX, 3001 (c) (2) and 3011 of the Public Health Service Act.

OBJECTIVES:

To establish a mechanism for ongoing long-term collaborative engagement with Health Level 7 International (HL7) in order to support advancements in the technical standards necessary to achieve interoperability among health IT systems, and to reach the milestones identified in the Nationwide Interoperability Roadmap.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds for this cooperative agreement can be used to provide a sub-recipient funds through a contract or subgrant. Funds under this announcement cannot be used for the following purposes: to supplant or replace current public or private funding; to supplant on-going or usual activities of any organization involved in the project; to purchase or improve land, or to purchase, construct, or make permanent improvements to any building; and to reimburse pre-award costs.

Applicant Eligibility:

Sole source award to Health Level 7 International.

Beneficiary Eligibility:

The beneficiaries will include all health care organizations and patients using electronic health records.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application must be entered into Grants.gov.

Award Procedure:

The Notice of Grant Award (NGA) is signed by the ONC Grants Management Officer. The successful applicants Authorized Representatives will receive the NGA electronically from ONC. The NGA is considered the official authorizing award document.

The NGA will include amount of funds awarded, the terms and conditions of the cooperative agreement, the effective date of the award, the budget period for which support will be given, the total project period time frame, and the total approved budget.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be available if the applicant is able to successfully show progress towards achieving specified goals.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The assistance is available during the period of performance. See the following

for information on how assistance is awarded/released: Funds are released through the Payment Management System.

Reports:

Reports will be developed by ONC staff prior to award of the funds. Federal Cash Transaction Report. Reports will be developed by ONC Project Officer prior to award of funds. Federal Cash Financial Report. Reports will be developed by the ONC Project Officer prior to award of funds.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

75-0130-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 Not Available; FY 17 est \$0; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 Subparts B through D and F

Regional or Local Office:

None.

Headquarters Office:

Matthew Rahn Office of the National Coordinator for Health IT
330 C Street, SW
Suite 726-G, Washington, District of Columbia 20201 Phone: 202-691-2132

Website Address:

<http://www.healthit.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.827 EDUCATING STATE-LEVEL STAKEHOLDERS ON STRATEGIES TO ADDRESS WINNABLE BATTLES IN PUBLIC HEALTH

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Sections 311 and 317(k)(2) of the Public Health Service Act, [42 U.S.C. Sections 243 and 247b(k)(2)].

OBJECTIVES:

The purpose of this project is to reduce the burden of leading causes of death and disability by providing capacity building assistance to states to address priority health issues. Using CDC's Winnable Battle Initiative as a framework, the project is intended to help increase the uptake of interventions known to successfully address high burden health issues by helping decision makers prioritize issues to address, and educating them on the interventions with the

best evidence to support them. The activities to be implemented through this project will contribute to the ultimate reduction of health, financial and social costs of these health challenges across the nation.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

In 2010, CDC launched the Winnable Battles (WB) Initiative to focus attention both on a set of public health challenges with large scale impact on death and disability, and on an approach to prioritizing and addressing high-impact health problems. The focus areas of the Winnable Battles were determined based on the magnitude of the health problems, and that each has evidence-based interventions or best practices which, if implemented, could result in measurable impact in a relatively short time. By identifying priority strategies and clear targets and working closely with states and other partners, CDC has focused on making significant progress in these health areas.

The Winnable Battles identified by CDC are:

Tobacco- Tobacco use is the leading preventable cause of disease, disability, and death in the U.S.

Nutrition, Physical Activity, and Obesity- More than 72 million adults and 12 million youth in the U.S. are obese

Food Safety- Foodborne diseases sicken 1 out of 6 Americans each year

Healthcare- Associated Infections (HAIs)- 1 out of 20 hospitalized patients contracts an HAI

Motor Vehicle Safety- Motor vehicle crashes are a leading cause of death among Americans ages 1 to 54

Teen Pregnancy- The U.S. has one of the highest rates of teen pregnancy of any developed nation in the world

HIV- More than 1 million people in the U.S. are living with HIV

Progress in reducing the burden of these health challenges is dependent upon implementation of strategies that are known to positively impact each Winnable Battle focus area, yet many of these known interventions are underutilized.

Oftentimes, those in the position to adopt and implement policies and practices that can make a difference are unfamiliar with the interventions, and are unclear about their potential effectiveness and the value they may yield in terms of return on investment.

This project is intended to help increase the uptake of interventions known to successfully address health issues with high burdens, by helping policy makers prioritize the issues to address, and educating them on the interventions with the best evidence to support them. The activities to be implemented through this project will contribute to the ultimate reduction of health, financial and social costs of these health challenges across the nation.

Applicant Eligibility:

Applicants should be sufficiently connected to state executive branch and/or legislative branch members across the United States in order to accomplish the goal of working with a geographically diverse set of states from all regions of the U.S. Further, the applicant must be well versed both in public health issues such as CDC Winnable Battles and in how states approach and implement policies and practices that impact public health.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. N/A

Award Procedure:

Phase I Review

All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC OD and PGO.

Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements.

Phase II Review

A review panel will evaluate complete, eligible applications in accordance with the criteria below.

- i. Approach
- ii. Evaluation and Performance Measurement
- iii. Applicants Organizational Capacity to Implement the Approach

Phase III Review

Applications will be funded in order by score and rank determined by the review panel.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

N/A.

Appeals:

N/A.

Renewals:

N/A.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. N/A.

This program does not have MOE requirements. N/A.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12 month budget period and 5 year project period. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Reporting (FFR) (required)
The annual FFR form (SF-425) is required and must be submitted through eRA Commons 90 days after the end of the calendar quarter in which the budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information by the due date may adversely affect the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation to PGO and include the date by which the Grants Officer will receive information

Final Performance and Financial Report (required)

This report is due 90 days after the end of the project period. CDC programs must indicate that this report should not exceed 40 pages. This report covers the entire project period and can include information previously reported in APRs. At a minimum, this report must include the following:

Performance Measures Awardees must report final performance data for all process and outcome performance measures.

Evaluation Results Awardees must report final evaluation results for the project period for any evaluations conducted.

Impact/Results/Success Stories Awardees must use their performance measure results and their evaluation findings to describe the effects or results of the work completed over the project period, and can include some success stories.

Additional forms as described in the Notice of Award (e.g., Equipment Inventory Report, Final Invention Statement). Awardee Evaluation and Performance Measurement Plan (required)

With support from CDC, awardees must elaborate on their initial applicant evaluation and performance measurement plan. This plan must be no more than 20 pages; awardees must submit the plan 6 months into the award.

This plan should provide additional detail on the following:

The frequency that evaluation and performance data are to be collected.

How data will be reported.

How evaluation findings will be used for continuous quality and program improvement.

How evaluation and performance measurement will yield findings to demonstrate the value of the FOA (e.g., improved public health outcomes, effectiveness of FOA, cost-effectiveness or cost benefit).

Dissemination channels and audiences.

Other information requested as determined by the CDC program.

Annual Performance Report (APR) (required)

The awardee must submit the APR via www.grants.gov 120 days before the end of the budget period. This report must not exceed 45 pages excluding administrative reporting. Attachments are not allowed, but weblinks are allowed.

This report must include the following:

Performance Measures: Awardees must report on performance measures for each budget period and update measures, if needed.

Evaluation Results: Awardees must report evaluation results for the work completed to date (including findings from process or outcome evaluations).

Work Plan: Awardees must update work plan each budget period to reflect any changes in project period outcomes, activities, timeline, etc.

Successes

Awardees must report progress on completing activities and progress towards achieving the project period outcomes described in the logic model and work plan.

Awardees must describe any additional successes (e.g. identified through evaluation results or lessons learned) achieved in the past year.

Awardees must describe success stories.

Challenges

Awardees must describe any challenges that hindered or might hinder their ability to complete the work plan activities and achieve the project period outcomes.

Awardees must describe any additional challenges (e.g., identified through evaluation results or lessons learned) encountered in the past year.

CDC Program Support to Awardees

Awardees must describe how CDC could help them overcome challenges to complete activities in the work plan and achieving project period outcomes.

Administrative Reporting (No page limit)

SF-424A Budget Information-Non-Construction Programs.

Budget Narrative Must use the format outlined in "Content and Form of Application Submission, Budget Narrative" section.

Indirect Cost Rate Agreement.

The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period.

Performance Measure Reporting (optional)

CDC programs may require more frequent reporting of performance measures than annually in the APR. If this is the case, CDC programs must specify reporting frequency, data fields, and format for awardees at the beginning of the award period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. N/A

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of three years from the date of submission of the final expenditure report or, for awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$150,000; FY 17 est \$15,000; and FY 18 est

\$150,000 - N/A.

Range and Average of Financial Assistance:

Fiscal Year: 2015
Estimated Total Funding: \$1,000,000
Approximate Total Fiscal Year Funding: \$200,000
Approximate Project Period Funding: \$1,000,000
Total Project Period Length: 5 year(s)
Expected Number of Awards: 1

Approximate Average Award: \$200,000 Per Budget Period.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Mattie Gilliam CLFT Bldg 21 Rm 10005
MS D37, Atlanta, Georgia 30331 Email: pzg6@cdc.gov Phone: 4046397413

Website Address:

<http://pgotim@cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC OD and PGO. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements.

A review panel will evaluate complete, eligible applications in accordance with the criteria below.

Approach Maximum Points: 25

- * Describes an overall strategy to achieve the outcomes, as set forth in CDC's approach in Part II above, with specific and appropriate time lines.
- * Describes activities that are evidence-based, achievable, and appropriate to achieve the outcomes of the project, and that will build on the prior work described in Section II A.1.e., Relevant Work. Includes how it will
 - * Identify target states and assemble multi-sector teams
 - * Create or assemble needs assessments for target states
 - * Plan and execute effective learning opportunities for state teams
 - * Facilitate teams' work to develop actionable plans
 - * Provide technical assistance and ongoing learning opportunities to support implementation of states' action plans for current and previous years' states
- * Describes how it will collaborate with partners to expand opportunities to involve and educate state decision makers, and to ensure that subject matter experts are accessible to project participants as needed.
- * Provides examples of past work involving the above activities, including collaboration with partners.
- * Describes clear monitoring and evaluation procedures and how evaluation and performance measurement will be incorporated into planning, implementation, and reporting of project activities.
- * Feasibility of the applicants plan to meet the outcomes, the extent to which the specific methods described are likely to achieve the outcomes, and whether the proposed use of funds is efficient.

Evaluation and Performance Measurement Maximum Points: 25

- * Describes an evaluation plan that clearly identifies key evaluation questions,

type(s) of evaluations to be conducted, how and by whom evaluation will be conducted, data collection and analysis plans, how data will be reported, and how evaluation and performance measurement findings will be used to demonstrate the outcomes of the FOA and for continuous program quality improvement.

- * Provides an evaluation plan that is feasible, ethical, methodologically sound, and engages key stakeholders.
- * Describes measures of effectiveness that are consistent with components of the work plan and are likely to measure the intended performance outcomes.

Applicants Organizational Capacity to Implement the Approach Maximum Points: 50

- * Demonstrates relevant experience, infrastructure and capacity to achieve the goals of the project, as set forth above in the Organizational Capacity of Awardees section; describes past experiences with similar projects, including the outcomes and impacts of such projects. (20 points) For example:
 - * Describes the ability, directly and/or through existing organizational relationships and partnerships, to bring together and effectively facilitate teams of diverse individuals from the executive and legislative branches from throughout the United States; provides evidence of past success in doing such activities.
 - * Demonstrates expertise or the ability to access through existing partnerships subject matter expertise in all CDC Winnable Battle focus areas;
 - * Describes the ability to and prior experience in planning effective virtual and in-person meetings, learning opportunities and technical assistance; provides examples.
 - * Describes infrastructure and experience in widely disseminating educational information to constituency.
 - * Provides a staffing plan and project management structure that will be sufficient to meet the goals of the proposed project and which clearly defines staff roles. Provides an organizational chart and provides resumes of key staff; describes how it would replace staff in a timely way should key staff leave during the project period. (20 points)
 - * Demonstrates existing partnerships as described above in the external organization collaboration section.
 - * Demonstrates experience and capacity to implement the evaluation plan. (10 points)

Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements.

Applications will be funded in order by score and rank determined by the review panel.

93.829 SECTION 223 DEMONSTRATION PROGRAMS TO IMPROVE COMMUNITY MENTAL HEALTH SERVICES

Section 223 Behavioral Health Demonstration

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

(For all authorizations/amendments, you must supply the Act or the Executive Order in order to continue) P.L. 113-90 Protecting Access to Medicare Act of 2014, 42 USC 1396a note, section 223 subsections (a), (b), (d)(7).

OBJECTIVES:

Funding to support development of proposals to participate in time-limited demonstration programs described in subsection (d) of section 223 of P.L. 113-93 Protecting Access to Medicare Act of 2014, 42 USC 1396(a) note.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Section 223 (c)(2) Use of funds-A State awarded planning grant under this subsection shall (A) solicit input with respect to the development of such a demonstration program from patients, providers, and other stakeholders; (B)

certify clinics as certified community behavioral health clinics for purpose of participating in a demonstration program conducted under subsection (d). Cost Principles describing allowable and unallowable expenditures for federal grantees, including SAMHSA grantees, are provided in the following documents, which are available at <http://www.samhsa.gov/grants/management.aspx>:

Educational Institutions: 2CFR Part220 and OMB Circular A-21
State, Local and Indian Tribal Governments: 2 CFR Part 225 (OMB Circular A-87)

Nonprofit Organizations: 2CFR Part 230 (OMB Circular A-122).

Applicant Eligibility:

The statutory authority limits eligibility to states including the District of Columbia. Eligible applicants are either the State Mental Health Authority (SMHSA) or the Single State Agency for Substance Abuse Services (SSA) or the State Medicaid Agency (SMAs).

Beneficiary Eligibility:

Individual/Family; Non-profit organization; Consumer; Mentally Disabled; Drug Addict; Alcoholic; Child; Youth; Senior Citizen.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download the SF_424 application forms through <http://www.samhsa.gov/grants/apply.aspx>.

Award Procedure:

Applications are awarded based on the outcomes of the SAMHSA Peer Review, CMHS National Advisory Council review; and approval of the SAMHSA Administrator. Grants are awarded directly by SAMHSA to the Applicant Organization.

Deadlines:

Oct 23, 2016 to Oct 22, 2017 10/23/16 10/22/17.

Range of Approval/Disapproval Time:

From 30 to 60 days. 30-60 days. To be determined by agency or office administering the funds.

Appeals:

N/A.

Renewals:

N/A.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. N/A.
This program does not have MOE requirements. N/A.

Length and Time Phasing of Assistance:

N/A. Method of awarding/releasing assistance: lump sum.

Reports:

GPRAs and data collection reports; quarterly progress reports; reporting capacity and provide information in support of meeting Prospective Payment Systems requirements, quality reporting requirements, and demonstration evaluation reporting requirements listed under Quality measures and Other reporting Requirements in Appendix II of the RFA; cost reports that conform to CMS Guidance; reporting of encounter data, clinical outcomes data, quality data; and assessment of the quality. No cash reports are required. Quarterly progress reports. No expenditure reports are required. GPRAs and data collection reports; quarterly progress reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements, "nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be retained for at least 3 years; SAMHSA has the right to disallow costs and recover funds on the basis of a later audit or other review; records shall be retained beyond the 3 year period for that reason.

Account Identification:

75-0516-0-1-551.

Obligations:

(Project Grants) FY 16 \$22,959,820; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

Up to \$3 Million.

TAFS Codes:

75-0516.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: N/A. Fiscal Year 2017: N/A. Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

P.L. 113-93 Protecting Access to Medicare Act of 2014; 42 USC 1396(a), note section 223 subsections (a),(b),(d)(7). Criteria for the Demonstration Program to Improve Community Mental Health Centers and to Establish Certified Community Behavioral Health Clinics found in Appendix II of the Request for Application (RFA). Section 223 Demonstration Programs to Improve Community Mental Health Services Prospective Payment System (PPS) Guidance.

Regional or Local Office:

See Regional Agency Offices. Cynthia Kemp MA, LPC, CMHS, SAMHSA, 1 Choke Cherry Road, Room 6-1019, Rockville, MD 20850, Phone: 240-276-1906, Email: Cynthia.kemp@SAMHSA.HHS.gov; David Morrisette, Ph.D., LCSW, CAPT, US PHS, CMHS, SAMHSA, 1 Choke Cherry Road, Room 6-1011, Rockville, MD 20850, Phone: 240-276-1912, Email: David.morrisette@SAMHSA.HHS.gov.

Headquarters Office:

Roger George 1 Choke Cherry Road, Room 7-1097, Rockville, Maryland 20850 Email: Roger.george@SAMHSA.HHS.gov Phone: 240-276-1418

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

SAMHSA applications are peer-reviewed according to the evaluation criteria listed in the RFA. Decisions to fund a grant are based on:

- The strengths and weaknesses of the application as identified by peer reviewers;
- When the individual award is over \$150,000, approval by the Center for Mental Health Services National Advisory Council;
- availability of funds;
- equitable distribution of awards in terms of geography (including urban, rural, and remote settings) and balance among populations of focus and program size.

93.830 INTEROPERABILITY ROADMAP: PUBLIC/PRIVATE

PARTNERSHIP**FEDERAL AGENCY:**

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Health Information and Technology for Clinical Health Act of 2009.

OBJECTIVES:

To help states identify best practices in privacy law to improve interoperable exchange of health information consistent with ONCs Interoperability Roadmap.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To convene and coordinate a collaborative federal/state partnership to meet a core objective of the ONC Interoperability Roadmap to harmonize state health privacy law in support of nationwide health information interoperability. The following uses of assistance are restricted: supplanting or replacing current public or private funding; supplanting on-going or usual activities of any organization involved in the project; purchasing or improving land, or purchasing, constructing, or making permanent improvements to any building; reimbursing pre-award costs; using assistance to cover costs that are directly prohibited by the Recovery Act. Total funding \$406,250 (\$325,000 plus additional \$81,250 supplemental funding).

Applicant Eligibility:

This is a non-competitive funding opportunity and is restricted to the National Governors Association (NGA), a private non-profit organization that is uniquely qualified because of its unique relationship with senior health policy leaders in executive branches of state government; its long prior collaborations with parallel organizations that support state legislator; expanded support of gubernatorial initiatives to improve health outcomes and reduce health costs in states; and first-hand experience with the complex health privacy rules environment that exists today for patients, providers and state health policy makers. Eligible applicant is uniquely situated to lead a collaboration among high-level state health policy-making officials and ONC for the purpose of harmonizing state health privacy law to support nationwide interoperable exchange of health information for patient care.

Beneficiary Eligibility:

Beneficiaries will belong to the following stakeholder groups: Federal; State; Local; Health care covered entities that conduct interstate health transactions; Health Care Consumers; Health Professionals; Scientists/Researchers; and EHR Developers.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This is a non-competitive funding opportunity and is restricted to the organization designated, The National Governors Association (NGA). Organizations not designated are not eligible to apply for this opportunity, and therefore should not submit an application. Applications submitted by organizations not designated below will not be considered.

Award Procedure:

ONC will conduct a merit review of applications based on identified criteria. ONC will consider the merit of the proposal; compliance with programmatic and grants management requirements; reasonableness of costs; and geographic area. ONC anticipates announcing an award in August 2015.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are normally available during the period of performance. See the following for information on how assistance is awarded/released: Funds are released through the Payment Management System.

Reports:

Program reports are not applicable. Cash reports are not applicable. ONC shall partner with the awardee to establish quarterly reporting mechanisms in the CRM to track and monitor progress toward project milestones. Semi-Annual expenditures must be submitted for each fiscal year during the period of performance (October 1 through September 30) using the SF-425, Federal Financial Report (FFR). Reports are due to HHS no later than April 30 of each year the award is active for funds expended between October and March, and no later than October 31 for funds expended between April and September. The semi-annual FFR will be submitted using the Online Data Collection (OLDC) system. ONC will not accept reports sent directly to the ONC Grants mailbox. ONC shall partner with the awardee to establish quarterly reporting mechanisms in the CRM to track and monitor progress toward project milestones.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The Awardee must retain the records pertinent to the entire competitive segment for three years from the date the final FSR is submitted. See 2 CFR 200.333 for exceptions and qualifications to the three-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken).

Account Identification:

75-0130-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 Not Available; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Total funding \$406,250.00 (\$325,000 plus \$81,250 supplemental funding).

TAFS Codes:

75-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Peyton Isaac, Senior Privacy Analyst, Office of the National Coordinator for Health Information Technology, HHS, 200 Independence Avenue, S.E., Suite 729, Washington, DC 20201; 202-690-3910.

Headquarters Office:

Carmel Halloun 330 C Street SW, Washington , District of Columbia 20201
Email: carmel.halloun@hhs.gov Phone: 2027202919

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed by staff from the ONC Office of the Chief Privacy Officer and other ONC staff with appropriate expertise. The final award decision will be made by ONC. In making this award, ONC will take into consideration: the merit of the proposed project as determined by merit review; compliance with programmatic and grants management requirements; the reasonableness of the estimated costs; geographic area; and the likelihood that the proposed program will result in the benefits expected.

93.831 STANDARDS DEVELOPMENT ORGANIZATION COLLABORATION TO ENHANCE STANDARDS ALIGNMENT, TESTING, AND MEASUREMENT

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act and P.L. 109-149 Department of Labor, Health and Human Services and Education and related agencies Appropriations Act.

OBJECTIVES:

To establish a mechanism for ongoing collaboration among ONC and various SDOs. It aims to provide support to these organizations for standards and interoperability work within these organizations portfolios that is of mutual interest to ONC. Additionally, it is anticipated that these ongoing cooperative relationships could include specific work aimed at the range of different technical standards developed and maintained by these SDOs, how those standards align with other SDOs work, and how to measure the use of published standards.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds for this cooperative agreement can be used to provide a sub-recipient funds through a contract or subgrant. Funds under this announcement cannot be used for the following purposes:

To supplant or replace current public or private funding.
To supplant on-going or usual activities of any organization involved in the project.

To purchase or improve land, or to purchase, construct, or make permanent improvements to any building.

To reimburse pre-award costs.

Indirect costs should not exceed 8% of direct costs. FY15 ONC appropriations.

Applicant Eligibility:

Applicants must be a United States-based non-profit institution or organization, state or local government, agency or group.

Beneficiary Eligibility:

The beneficiaries will include all health care organizations and patients using electronic health records.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Notice of Grant Award (NGA) is signed by the ONC Grants Management Officer. The successful applicants Authorized Representatives will receive the NGA electronically from ONC. The NGA is considered the official authorizing award document.

The NGA will include amount of funds awarded, the terms and conditions of the cooperative agreement, the effective date of the award, the budget period for which support will be given, the total project period timeframe, and the total approved budget.

Deadlines:

Sep 30, 2015

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be available if the applicant is able to successfully show progress towards achieving specified goals.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available during the performance period. See the following for information on how assistance is awarded/released: Funds are released through the Payment Management System.

Reports:

Reports will be developed by ONC staff prior to award of funds. Federal Financial Cash Transaction Report. Reports will be developed by ONC Project Officer prior to award of the funds. Federal Financial Cash Transaction Report. Reports will be developed by ONC Project Officer prior to award of the funds.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

75-0130-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

Average of \$100,000.

TAFS Codes:

75-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Office of the National Coordinator for Health IT Attn: Mera Choi and Carmen Smiley
200 Independence Ave, SW Suite 729-D

Washington, DC 20201.

Headquarters Office:

Carmel Halloun 330 C Street SW, Washington , District of Columbia 20201
Email: carmel.halloun@hhs.gov Phone: 2027202919

Website Address:

<http://www.healthit.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A panel that may include both expert peer reviewers and Federal staff will review the applications to ensure that it meets the responsiveness and screening criteria outlined in this section. The purpose of this review is to determine if the approach and strategy are aligned with grant requirements. The detailed results of this review will be shared with the applicants upon request. The applications will be scored according to the following point system:

1. Discussion and Understanding of the Project
2. Technical Approach
3. Personnel Qualification and Past Performance
4. Management Plan
5. Budget Plan.

93.832 PROMOTING THE CANCER SURVEILLANCE WORKFORCE, EDUCATION AND DATA USE

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Authorized under section 301(a) of the Public Health Service Act, [42 U.S.C. section 241(a)], as amended.

OBJECTIVES:

The purpose of this program is to expand the capacity of CDC-funded National Program of Cancer Registries (NPCR) through external partners, to pursue activities that impact the national cancer surveillance workforce.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

APPLICANT WILL ADHERE TO ALL CDC GUIDELINES REGARDING ALLOWABLE AND UNALLOWABLE EXPENSES. RESTRICTIONS THAT MUST BE CONSIDERED WHILE PLANNING THE PROGRAMS AND WRITING THE BUDGET ARE: AWARDEES MAY NOT USE FUNDS FOR RESEARCH. AWARDEES MAY USE FUNDS FOR REASONABLE PROGRAM PURPOSES, INCLUDING PERSONNEL, TRAVEL, SUPPLIES, AND SERVICES. As stated above.

Applicant Eligibility:

Nonprofit with 501C3 IRS status (other than institution of higher education)
Nonprofit without 501C3 IRS status (other than institution of higher education)
Private colleges and universities.

Beneficiary Eligibility:

Nonprofit with 501C3 IRS status (other than institution of higher education)
Nonprofit without 501C3 IRS status (other than institution of higher education)
Private colleges and universities.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications must be submitted electronically at www.Grants.gov. Electronic applications will be considered as having met the deadline if the application has been successfully made available to CDC for processing from [Grants.gov](http://www.Grants.gov) on the deadline date. The application package can be downloaded from www.Grants.gov. Applicants can complete the application package off-line, and then upload and submit the application via the [Grants.gov](http://www.Grants.gov) Web site. The applicant must submit all application attachments using a PDF file format when submitting via [Grants.gov](http://www.Grants.gov). Directions for creating PDF files can be found on the [Grants.gov](http://www.Grants.gov) Web site. Use of file formats other than PDF may result in the file being

Award Procedure:

All eligible applications will be initially reviewed for completeness by the Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by NCCDPHP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified that the application did not meet eligibility and/or published submission requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

There is a 12 month budget period in which assistance is available. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. Annual Performance Report (APR) (required): The awardee must submit the APR via www.grants.gov 120 days before the end of the budget period. This report must not exceed 45 pages excluding administrative reporting. Attachments are not allowed, but Web links are allowed. : Federal Financial Reporting (FFR): The annual FFR form (SF-425) is required and must be submitted through eRA Commons 90 days after the end of the calendar quarter in which the budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information by the due date may adversely affect the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation to PGO and include the date by which the Grants Officer will receive information. CDC programs may require more frequent reporting of performance measures than annually in the APR. If this is the case, CDC programs must specify reporting frequency, data fields, and format for awardees at the beginning of the award period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

75-0904-2-1-150.

Obligations:

(Cooperative Agreements) FY 16 \$200,000; FY 17 est \$200,000; and FY 18 est \$200,000 - The award range is \$200,000 per budget year for five years.

Range and Average of Financial Assistance:

The award range is \$200,000 per budget year for five years.

TAFS Codes:

75-0904.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Renita Blake 4770 Buford Hwy, MS F-76, Atlanta, Georgia 30341 Email: eoi9@cdc.gov Phone: 7704888430

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC's National Center for Chronic Disease Prevention and Health Promotion/ Division of Heart Disease and Stroke Prevention and PGO. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the criteria below. Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements.

93.833 SUPPORTING AND MAINTAINING A SURVEILLANCE SYSTEM FOR CHRONIC KIDNEY DISEASE (CKD) IN THE UNITED STATES

Chronic Kidney Disease (CKD)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Section 317(k)(2) of the Public Health Service Act (PHS Act), 42 U.S.C. 247b(k)(2), Section 301(a) of the PHS Act, 42 U.S.C. 241(a).

OBJECTIVES:

This award will build upon previous work to continue developing, supporting and enhancing the CKD Surveillance System in the United States, to monitor the burden and trends of CKD and its risk factors over time, and monitor and evaluate trends in achieving Healthy People 2020 objectives.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses.

Maintain and enhance a viable and functional CKD Surveillance System website. www.cdc.gov/ckd/surveillance

Increase the visibility of the CKD Surveillance System and disseminate the CKD surveillance findings by the number of publications and related citations in peer-reviewed journals and presentations at national and international meetings and conferences.

Improve the breadth and scope of CKD surveillance data in the United States by the number of established and current data sharing and access agreements with appropriate federal, public and private partners for access to data relevant to CKD surveillance.

Expand and grow the CKD Surveillance System by the number of CKD topics and indicators focusing on CKD burden and health consequences, risk factors, at-risk or vulnerable populations, and geographic indicators with up-to-date data and trends.

Assess and evaluate the CKD Surveillance System content, data sources to keep or include, gaps in surveillance data, and future data and research needs.

Provide data analysis reports for HP2020 progress review in achieving CKD objectives. Restrictions, which must be taken into account while writing the budget, are as follows:

Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Awardees may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. Reimbursement of pre-award costs is not allowed.

Applicant Eligibility:

Public nonprofit organizations

Private nonprofit organizations

For profit organizations

Small, minority, and women-owned businesses

Universities

Colleges

Research institutions

Hospitals

Community-based organizations

Faith-based organizations

Indian/Native American Tribal Governments (Federally Recognized)

Indian/Native American Tribal Governments (other than Federally recognized)

Indian/Native American Tribally Designated Organizations

State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau). Note: A Bona Fide Agent is an agency/organization identified by the state as eligible to submit an application under the state eligibility in lieu of a state application.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program. Kidney diseases are the ninth leading cause of death in the United States and more than 1 of 10 US adults may have CKD. The CKD Surveillance System is intended to raise awareness about CKD and its risk factors, promote early diagnosis, and improve outcomes for those living with CKD.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

After review and approval of an application, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Project periods are for 1 to 5 years with 12-month budget periods contingent on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal government. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

12 budget period within a 5 year project period. Method of awarding/releasing assistance: lump sum.

Reports:

APR are due 120 days before the end of the budget period. No cash reports are required. APR are due 120 days before the end of the budget period. Federal Financial Reports (FFRs) are due 90 days after the end of the budget period. Provided in the Funding Opportunity Announcement.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$1,198,444; FY 17 est \$1,198,444; and FY 18 est \$1,198,444 - This CFDA supports a new FOA beginning in FY16.

Range and Average of Financial Assistance:

Current FOA DP11-1109 funds three awardee ranging from 200000 400000; new FY16 will fund two range is contingent upon funding request.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Successful applicants must comply with the administrative requirements outlined in 45 Code of Federal Regulations (CFR) Part 74 or 92 as appropriate.

Regional or Local Office:

None.

Headquarters Office:

Nilka Rios-Burrows 4770 Buford Hwy, MS F-75, Atlanta, Georgia 30341
Phone: 7704881057

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Eligible applicants must be able to demonstrate:
Previous experience with the development of large-scale CKD surveillance systems
Established and current data sharing and access agreements with appropriate federal, public and private partners for access to data relevant to the CKD surveillance system
A proven track record of publications related to the establishment of a national CKD surveillance system and dissemination of surveillance findings. Previous experience with national, regional, and hospital based data pertaining to CKD and its risk factors
Previous experience with working in a collaborative multi-center project for the development and maintenance of a national surveillance system.

93.835 PLANNING GRANT FOR HEALTHCARE AND PUBLIC HEALTH SECTOR CYBERSECURITY INFORMATION SHARING FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Act, 2015, P.L. 113-235, Executive Order Executive Order 13691.

OBJECTIVES:

The first objective of this award is to gain an understanding of the cybersecurity threat information needs of the Sector and the gaps within both the Sector as a whole and the awardees organization specifically in meeting these needs. The second objective of this award is to increase organizational capacity and develop a strategy to expand cybersecurity threat information sharing in the Sector.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Objective 1: Cybersecurity Threat Information Gap Analysis
Objective 2: Capacity Building and Strategy Development. This Funding Opportunity Announcement (FOA) is for a planning grant related to an expected FY 2016 cooperative agreement from HHS on cybersecurity information sharing. While the details of that cooperative agreement are not yet available and such award will be subject to the availability of funds and appropriate authority, it is expected to focus on activities related to the sharing of cybersecurity information with the Sector.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

Beneficiary Eligibility: Select as many that apply (see pages 11 through 14 of the Reference Manual)
Nonprofit with 501(c)3 IRS status (other than institution of higher education)
Nonprofit without 501(c)3 IRS status (other than institution of higher education)
Universities
Colleges
Research institutions
Hospitals
Community-based organizations
Faith-based organizations.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See FOA for details

Award Procedure:

See FOA for details.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 15 days. See FOA for details.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

12 month budget period See FOA for details. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Quarterly. See FOA for details. Semi-annual. See FOA for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of the grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0140-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$100,000; FY 17 est \$200,000; and FY 18 est \$200,000

Range and Average of Financial Assistance:

\$150,000.

TAFS Codes:

75-0140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Virginia Simmons Assistant Secretary Preparedness & Response
US Department of Health and Human Services
200 C Street SW, Washington, District of Columbia 20024 Email:
virginia.simmons@hhs.gov Phone: 2022600400

Website Address:

http://PHE.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

see FOA for details.

93.837 CARDIOVASCULAR DISEASES RESEARCH**FEDERAL AGENCY:**

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 422 and 487, as amended, Public Laws 78-410 and 99-158, 42 U.S.C. 241, 42 U.S.C. 285, and 42 U.S.C. 288, as amended; Small Business Reauthorization Act of 2000, Public Law 106-554.

OBJECTIVES:

To foster heart and vascular research in the basic, translational, clinical and population sciences, and to foster training to build talented young investigators in these areas. Small Business Innovation Research (SBIR) program: To stimulate technological innovation; use small business to meet Federal research and development needs; foster and encourage participation in innovation and entrepreneurship by socially and economically disadvantaged persons; and increase private-sector commercialization of innovations derived from Federal research and development funding. Small Business Technology Transfer (STTR) program: To stimulate technological innovation; foster technology transfer through cooperative R&D between small businesses and research institutions, and increase private sector commercialization of innovations derived from federal R&D.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may support stipends, research expenses, supplies, travel, and research training tuition as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. Individual predoctoral and postdoctoral national research service awards (NRSAs) are made directly to individuals through their universities for research training in heart and vascular diseases. NRSA awards may be made to eligible institutions to enable them to appoint individuals selected by the institution selected by the institution for research training. Certain service and payback provisions may apply to postdoctoral individuals upon termination of the award or termination of the appointment. SBIR Phase I awards (of approximately 6-months' duration) are to establish the scientific and technical merit of the proposed research effort, and feasibility of ideas that appear to have commercial potential. Phase II awards are for the continuation of research initiated in Phase I that meets particular program needs and exhibits potential for commercial application. STTR Phase I awards (normally of 1-year duration) are to establish the scientific and technical merit of the proposed cooperative research effort between a small business and non-profit research institution, and feasibility of ideas that appear to have commercial potential. Phase II awards are for the continuation of research initiated in Phase I that meets particular program needs and exhibits potential for commercial application. Fast-Track is an option whereby Phase I and Phase II SBIR or STTR projects are submitted and reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II. While the intent of the SBIR/STTR programs is commercialization (Phase III), no SBIR/STTR funds are allowed for commercialization activities such as

patents; market and sales; market research; business development/product development/market plans; legal fees, travel and other costs including labor relating to license agreements and partnerships.

Applicant Eligibility:

Any nonprofit organization engaged in biomedical research and institutions or companies organized for profit may apply for almost any kind of grant. Only domestic, non-profit, private or public institutions may apply for NRSA Institutional Research Training Grants. An individual may apply for an NRSA or, in some cases, for a research grant if adequate facilities to perform the research are available. SBIR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit, or owned by another small business that itself is independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees including affiliates). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. SBIR projects must be performed at least 67% by the applicant small business in Phase I and at least 50% of the Project in Phase II. STTR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit and have no more than 500 employees) that formally collaborate with a university or other non-profit research institution in cooperative research and development. The principal investigator of an STTR award may be employed with either the small business concern or collaborating non-profit research institution as long as s/he has a formal appointment with or commitment to the applicant small business concern. At least 40% of the project is to be performed by the small business concern and at least 30% by the non-profit research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research. Only domestic for-profit small business firms may apply for SBIR and STTR programs.

Credentials/Documentation:

Individual NRSA awardees and Institutional NRSA trainees must be citizens or noncitizen nationals of the United States, or have been admitted for permanent residency. Two levels of training are available: graduate level predoctoral training and postdoctoral training. All potential trainees must possess a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organization costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with DHHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, the applicant organization (small business concern) must present a research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. SBIR and STTR applicants must use the SF424 Research and Related (R&R) application for electronic submission through grants.gov. Electronic submission of NIH Research Performance Progress Reports (RPPR) apply for non-competing continuations (e.g., second year of Phase II). OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular

No. A-102. OMB Circular No. A-110 applies to this program. Grant applications are submitted electronically. Visit the following link to obtain application information and instructions (<http://grants1.nih.gov/grants/funding/424/index.htm>)

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Staff inform applicants of the results of the review. If support is contemplated, staff initiate preparation of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 7-1/2 to 9 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available in the NIH Guide at the following URL <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

> 180 Days. Renewal applications are reviewed in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements. This program has no matching requirements.

Length and Time Phasing of Assistance:

The National Heart, Lung, and Blood Advisory Council may recommend funding for periods ranging from 1 to 5 years. Funding commitments are made annually. The National Heart, Lung, and Blood Advisory Council may recommend funding for periods ranging from 1 to 5 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization. See the following for information on how assistance is awarded/released: Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Annual reports on progress and expenditures are required. Final reports are required within 120 days of termination. Reports are required after termination of NRSAAs to ascertain compliance with the service and payback provisions. Annual reports on progress and expenditures are required. Final reports are required within 120 days of termination. Reports are required after termination of NRSAAs to ascertain compliance with the service and payback provisions. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0872-0-1-552.

Obligations:

(Project Grants) FY 16 \$1,281,256,932; FY 17 est \$1,407,514,223; and FY 18 est \$1,407,514,223

Range and Average of Financial Assistance:

Grants: \$4,677 to \$11,048,538; \$466,760. SBIR Phase I - \$150,000; Phase II - up to \$1,000,000; STTR Phase I - \$100,000, Phase II - \$750,000.

TAFS Codes:

75-0872.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 2,745 research grants and 316 National Research Service Awards. Fiscal Year 2017: The estimates for fiscal year 2017 are 2,604 research grants and 357 National Research Service Awards. Fiscal Year 2018: The estimates for fiscal year 2018 are 2,604 research grants and 357 National Research Service Awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; "NIH Guide for Grants and Contracts, and Supplements"; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications; Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Dana A. Phares 6701 Rockledge Drive, Room 7176, Bethesda, Maryland 20892
Email: pharesda@nhlbi.nih.gov Phone: 301-435-0314

Website Address:

<http://www.nhlbi.nih.gov/about/dcvsl/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the importance of the problem the proposed research will address; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation of the proposed research; (5) the potential of the proposed research for commercial application; (6) the appropriateness of the budget requested; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.838 LUNG DISEASES RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 422 and 487, as amended, Public Laws 78-410 and 99-158, 42 U.S.C. 241, 42 U.S.C. 285, and 42 U.S.C. 288, as amended; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

The Division of Lung Diseases supports research and research training on the causes, diagnosis, prevention, and treatment of lung diseases and sleep disorders. Research is funded through investigator-initiated and Institute-initiated grant programs and through contract programs in areas including asthma, bronchopulmonary dysplasia, chronic obstructive pulmonary disease, cystic fibrosis, respiratory neurobiology, sleep-disordered breathing, critical care and acute lung injury, developmental biology and pediatric pulmonary diseases, immunologic and fibrotic pulmonary disease, rare lung disorders, pulmonary vascular disease, and pulmonary complications of AIDS and tuberculosis. The Division is responsible for monitoring the latest research developments in the extramural scientific community as well as identifying research gaps and needs, obtaining advice from experts in the field, and implementing programs to address new opportunities. Small Business Innovation Research (SBIR) program: To stimulate technological innovation; use small business to meet Federal research and development needs; foster and encourage participation in innovation and entrepreneurship by socially and economically disadvantaged persons; and increase private-sector commercialization of innovations derived from Federal research and development funding. Small Business Technology Transfer (STTR) program: To stimulate technological innovation; foster technology transfer through

cooperative R&D between small businesses and research institutions, and increase private sector commercialization of innovations derived from federal R&D.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may support stipends, research expenses, supplies, travel, and research training tuition as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. Individual Predoctoral and Postdoctoral National Research Service Awards (NRSA) are made directly to individuals for research training in specified biomedical shortage areas. Institutional NRSA awards may be made to eligible institutions to enable them to appoint individuals selected by the institution for research training. Certain service and payback provisions may apply to postdoctoral individuals upon termination of the award or termination of the appointment. SBIR Phase I awards (of approximately 6-months' duration) are to establish the scientific and technical merit of the proposed research effort, and feasibility of ideas that appear to have commercial potential. Phase II awards are for the continuation of research initiated in Phase I that meets particular program needs and exhibits potential for commercial application. STTR Phase I awards (normally of 1-year duration) are to establish the scientific and technical merit of the proposed cooperative research effort between a small business and non-profit research institution, and feasibility of ideas that appear to have commercial potential. Phase II awards are for the continuation of research initiated in Phase I that meets particular program needs and exhibits potential for commercial application. Fast-Track is an option whereby Phase I and Phase II SBIR or STTR projects are submitted and reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II. While the intent of the SBIR/STTR programs is commercialization (Phase III), SBIR/STTR funds are generally not allowed for commercialization activities such as patent fees; market and sales; market research; business development/product development/market plans; legal fees, travel and other costs including labor relating to license agreements and partnerships.

Applicant Eligibility:

Any nonprofit organization engaged in biomedical research and institutions or companies organized for profit may apply for almost any kind of grant. Only domestic, non-profit, private or public institutions may apply for NRSA Institutional Research Training Grants. An individual may apply for an NRSA or, in some cases, for a research grant if adequate facilities to perform the research are available. SBIR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit, or owned by another small business that itself is independently owned and operated for profit and have no more than 500 employees including affiliates). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. SBIR projects are generally performed at least 67% by the applicant small business in Phase I and at least 50% of the Project in Phase II. STTR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit and have no more than 500 employees) that formally collaborate with a university or other non-profit research institution in cooperative research and development. The principal investigator of an STTR award may be employed with either the small business concern or collaborating non-profit research institution as long as s/he has a formal appointment with or commitment to the applicant small business concern. At least 40% of the project is to be performed by the small business concern and at least 30% by the non-profit research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research. Only domestic for-profit small business firms may apply for SBIR and STTR programs.

Credentials/Documentation:

Individual NRSA awardees and Institutional NRSA trainees must be citizens or noncitizen nationals of the United States, or have been admitted for permanent residency. Two levels of training are available: graduate level predoctoral training and postdoctoral training. All potential trainees must possess a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organization costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with DHHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, the applicant organization (small business concern) must present a research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. SBIR and STTR applicants must use the SF424 Research and Related (R&R) application for electronic submission through grants.gov. Electronic submission of NIH Research Performance Progress Reports (RPPR) apply for non-competing continuations (e.g., second year of Phase II). OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Grant applications are submitted electronically. Visit the following link to obtain application information and instructions (<http://grants1.nih.gov/grants/funding/424/index.htm>)

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Staff informs applicants of the results of the review. If support is contemplated, staff initiates preparation of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 7-1/2 to 9 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available in the NIH Guide at the following URL <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

> 180 Days. Renewal applications are reviewed in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements. This program has no matching requirements.

Length and Time Phasing of Assistance:

The National Heart, Lung, and Blood Advisory Council may recommend funding for periods typically ranging from 1 to 5 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Annual reports on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. Annual reports on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel records for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0872-0-1-552.

Obligations:

(Project Grants) FY 16 \$588,672,351; FY 17 est \$635,087,130; and FY 18 est \$635,087,130

Range and Average of Financial Assistance:

Grants: \$12,086 to \$9,208,835; \$488,931. SBIR Phase I - \$150,000; Phase II - up to \$1,000,000; STTR Phase I - \$150,000; Phase II \$1,000,000.

TAFS Codes:

75-0872.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 1,061 research grants and 143 National Research Service Awards. Fiscal Year 2017: The estimates for fiscal year 2017 are 1,136 research grants and 146 National Research Service Awards. Fiscal Year 2018: The estimates for fiscal year 2018 are 1,136 research grants and 146 National Research Service Awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Dana A. Phares 6701 Rockledge Drive, Room 7176, Bethesda, Maryland 20892
Email: pharesda@nhlbi.nih.gov Phone: 301-435-0314

Website Address:

<http://www.nhlbi.nih.gov/about/dld/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the importance of the problem the proposed research will address; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the scientific, technical, or technological innovation of the proposed research; (5) the potential of the proposed research for commercial application; (6) the appropriateness of the budget requested; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; (8) The adequacy of plans to include both genders and minorities and their subgroups as appropriate for the scientific goals of the research. Plans for the recruitment and retention of subjects will be evaluated; and (9) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.839 BLOOD DISEASES AND RESOURCES RESEARCH

Division of Blood Diseases and Resources (DBDR)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 422, and 487, as amended, Public Laws 78-410 and 99-158, 42 U.S.C. 241, 42 U.S.C. 285 and 42 U.S.C. 288, as amended; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To foster research and research training on the pathophysiology, diagnosis, treatment, and prevention of non-malignant blood diseases, including anemias, sickle cell disease, thalassemia; leukocyte biology, pre-malignant processes such as myelodysplasia and myeloproliferative disorders; hemophilia and other abnormalities of hemostasis and thrombosis; and immune dysfunction. Funding encompasses a broad spectrum of hematologic inquiry, ranging from stem cell biology to medical management of blood diseases and to assuring the adequacy and safety of the nation's blood supply. Programs also support the development of novel cell-based therapies to bring the expertise of transfusion medicine and stem cell technology to the repair and regeneration of human tissues and organs. Small Business Innovation Research (SBIR) program: To stimulate technological innovation; use small business to meet Federal research and development needs; foster and encourage participation in innovation and entrepreneurship by socially and economically disadvantaged persons; and increase private-sector commercialization of innovations derived from Federal research and development funding. Small Business Technology Transfer (STTR) program: To stimulate technological innovation; foster technology transfer through cooperative R&D between small businesses and research institutions, and increase private sector commercialization of innovations derived from federal R&D.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Grants may support stipends, research expenses, supplies, travel, and research training tuition as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. Individual Predoctoral and Postdoctoral National Research Service Awards (NRSA) are made directly to individuals for research training in specified biomedical shortage areas. Institutional NRSA awards may be made to eligible institutions to enable them to appoint individuals selected by the institution for research training. Certain service and payback provisions may apply to postdoctoral individuals upon termination of the award or termination of the appointment. SBIR Phase I awards (of approximately 6-months' duration) are to establish the scientific and technical merit of the proposed research effort, and feasibility of ideas that appear to have commercial potential. Phase II awards are for the continuation of research initiated in Phase I that meets particular program needs and exhibits potential for commercial application. STTR Phase I awards (normally of 1-year duration) are to establish the scientific and technical merit of the proposed cooperative research effort between a small business and non-profit research institution, and feasibility of ideas that appear to have commercial potential. Phase II awards are for the continuation of research initiated in Phase I that meets particular program needs and exhibits potential for commercial application. Fast-Track is an option whereby Phase I and Phase II SBIR or STTR projects are submitted and reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II. While the intent of the SBIR/STTR programs is commercialization (Phase III), SBIR/STTR funds are generally not allowed for commercialization activities such as patent fees; market and sales; market research; business development/product development/market plans; legal fees, travel and other costs including labor relating to license agreements and partnerships.

Applicant Eligibility:

Any nonprofit organization engaged in biomedical research and institutions or companies organized for profit may apply for almost any kind of grant. Only domestic, non-profit, private or public institutions may apply for NRSA Institutional Research Training Grants. An individual may apply for an NRSA or, in some cases, for a research grant if adequate facilities to perform the research are available. SBIR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit, or owned by another small business that itself is independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees including affiliates). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed

in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. SBIR projects are generally performed at least 67% by the applicant small business in Phase I and at least 50% of the Project in Phase II. STTR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit and have no more than 500 employees) that formally collaborate with a university or other non-profit research institution in cooperative research and development. The principal investigator of an STTR award may be employed with either the small business concern or collaborating non-profit research institution as long as s/he has a formal appointment with or commitment to the applicant small business concern. At least 40% of the project is to be performed by the small business concern and at least 30% by the non-profit research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research. Only domestic for-profit small business firms may apply for SBIR and STTR programs.

Credentials/Documentation:

Individual NRSA awardees and Institutional NRSA trainees must be citizens or noncitizen nationals of the United States, or have been admitted for permanent residency. Two levels of training are available: graduate level predoctoral training and postdoctoral training. All potential trainees must possess a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organization costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with DHHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, the applicant organization (small business concern) must present a research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. SBIR and STTR applicants must use the SF424 Research and Related (R&R) application for electronic submission through grants.gov. Electronic submission of NIH Research Performance Progress Reports (RPPR) apply for non-competing continuations (e.g., second year of Phase II). OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Grant applications are submitted electronically. Visit the following link to obtain application information and instructions (<http://grants1.nih.gov/grants/funding/424/index.htm>).

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Staff informs applicants of the results of the review. If support is contemplated, staff initiates preparations of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 7-1/2 to 9 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available in the NIH Guide at the following URL
<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

> 180 Days. Renewals require application and review in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements. This program has no matching requirements.

Length and Time Phasing of Assistance:

The National Heart, Lung, and Blood Advisory Council may recommend funding for periods ranging from 1 to 5 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Annual reports from grantees are required on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. Annual reports from grantees are required on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record

retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel record for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0872-0-1-552.

Obligations:

(Project Grants) FY 16 \$366,232,967; FY 17 est \$377,914,513; and FY 18 est \$377,914,513

Range and Average of Financial Assistance:

Grants: \$742 to \$13,462,035; \$496,251. SBIR Phase I-- \$150,000, Phase II- \$1,000,000; STTR Phase I- \$150,000, Phase II- \$1,000,000.

TAFS Codes:

72-0872.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 649 research grants and 87 National Research Service Awards. Fiscal Year 2017: The estimates for fiscal year 2017 are 698 research grants and 92 National Research Service Awards. Fiscal Year 2018: The estimates for fiscal year 2018 are 698 research grants and 92 National Research Service Awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Dana A. Phares 6701 Rockledge Drive, Room 7176, Bethesda, Maryland 20892
Email: pharesda@nhlbi.nih.gov Phone: 301-827-7968

Website Address:

<http://www.nhlbi.nih.gov/about/dbdr/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the importance of the problem the proposed research will address; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation of the proposed research; (5) the potential of the proposed research for commercial application; (6) the appropriateness of the budget requested; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality,

or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.840 TRANSLATION AND IMPLEMENTATION SCIENCE RESEARCH FOR HEART, LUNG, BLOOD DISEASES, AND SLEEP DISORDERS

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 422 and 487, as amended, Public Laws 78-410 and 99-158, 42 U.S.C. 241, 42 U.S.C. 285, and 42 U.S.C. 288, as amended; Small Business Reauthorization Act of 2000, Public Law 106-554.

OBJECTIVES:

To foster late stage translation phase 4 (T4) research and facilitate the understanding of multi-level processes and factors associated with successful and sustainable integration of evidence-based interventions within specific clinical and public health settings related to heart, lung, and blood diseases and sleep disorders and disorders for diverse populations across the lifespan, including those that reduce health inequities within the U.S. and globally. Programs support the biomedical research workforce and career development and research dissemination including training of early-stage scientists and established investigators to conduct late-stage translation phase (T4) and implementation science research in heart, lung, and blood diseases and sleep disorders and research education. Small Business Innovation Research (SBIR) program: To stimulate technological innovation; use small business to meet Federal research and development needs; foster and encourage participation in innovation and entrepreneurship by socially and economically disadvantaged persons; and increase private-sector commercialization of innovations derived from Federal research and development funding related to late-stage translation phase (T4), including implementation science, research education, and research dissemination products, for both domestic and global health. Small Business Technology Transfer (STTR) program: To stimulate technological innovation; foster technology transfer through cooperative R&D between small businesses and research institutions, and increase private sector commercialization of innovations derived from federal R&D to late-stage translation phase (T4) research including implementation science, research education, and research dissemination products for both domestic and global health.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants may support stipends, research expenses, supplies, travel, and research training tuition as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. Individual Predoctoral and Postdoctoral National Research Service Awards (NRSAs) are made directly to individuals for research training in specified biomedical shortage areas. Institutional NRSA awards may be made to eligible institutions to enable them to appoint individuals selected by the institution for research training. Certain service and payback provisions may apply to postdoctoral individuals upon termination of the award or termination of the appointment. SBIR Phase I awards (of approximately 6-months' duration) are to establish the scientific and technical merit of the proposed research effort, and feasibility of ideas that appear to have commercial potential. Phase II awards are for the continuation of research initiated in Phase I that meets particular program needs and exhibits potential for commercial application. STTR Phase I awards (normally of 1-year duration) are to establish the scientific and technical merit of the proposed cooperative research effort between a small business and non-profit research institution, and feasibility of ideas that appear to have commercial potential. Phase II awards are for the continuation of research initiated in Phase I that meets particular program needs and exhibits potential for commercial

application. Fast-Track is an option whereby Phase I and Phase II SBIR or STTR projects are submitted and reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II. While the intent of the SBIR/STTR programs is commercialization (Phase III), SBIR/STTR funds are generally not allowed for commercialization activities such as patent fees; market and sales; market research; business development/product development/market plans; legal fees, travel and other costs including labor relating to license agreements and partnerships.

Applicant Eligibility:

Any nonprofit organization engaged in biomedical research and institutions or companies organized for profit may apply for almost any kind of grant. Only domestic, non-profit, private or public institutions may apply for NRSA Institutional Research Training Grants. An individual may apply for an NRSA or, in some cases, for a research grant if adequate facilities to perform the research are available. SBIR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit, or owned by another small business that itself is independently owned and operated for profit and have no more than 500 employees including affiliates). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. SBIR projects are generally performed at least 67% by the applicant small business in Phase I and at least 50% of the Project in Phase II. STTR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit and have no more than 500 employees) that formally collaborate with a university or other non-profit research institution in cooperative research and development. The principal investigator of an STTR award may be employed by either the small business concern or collaborating non-profit research institution as long as s/he has a formal appointment with or commitment to the applicant small business concern. At least 40% of the project is to be performed by the small business concern and at least 30% by the non-profit research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research. Only domestic for-profit small business firms may apply for SBIR and STTR programs.

Credentials/Documentation:

Individual NRSA awardees and Institutional NRSA trainees must be citizens or noncitizen nationals of the United States, or have been admitted for permanent residency. Two levels of training are available: graduate level predoctoral training and postdoctoral training. All potential trainees must possess a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. For-profit organization costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For SBIR and STTR grants, the applicant organization (small business concern) must present a research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. SBIR and STTR applicants must use the SF424 Research and Related (R&R) application for electronic submission through grants.gov. Electronic submission of NIH Research Performance Progress Reports (RPPR) apply for non-competing continuations (e.g., second year of Phase II). OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for

Federal Awards. Grant applications are submitted electronically. Visit the following link to obtain application information and instructions (<http://grants1.nih.gov/grants/funding/424/index.htm>)

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Staff inform applicants of the results of the review. If support is contemplated, staff will initiate preparation of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. > 180 Days.

Regular Grants: From 7 to 9 months.

SBIR/STTR Grants: About 7-1/2 to 9 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available in the NIH Guide at the following URL <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

> 180 Days. > 180 Days. Renewal applications are reviewed in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The National Heart, Lung, and Blood Advisory Council may recommend funding for periods ranging from 1 to 5 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization. See the following for information on how assistance is awarded/released: Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Annual reports on progress and expenditures are required. Final reports are required within 120 days of termination. Reports are required after termination of NRSA's to ascertain compliance with the service and payback provisions. Annual reports on progress and expenditures are required. Final reports are required within 120 days of termination. Reports are required after termination of NRSA's to ascertain compliance with the service and payback provisions. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 75.361 and 45 CFR 75.364 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. In accordance with 45 CFR 75.364, the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel records for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0872-0-1-552.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$4,794,969; and FY 18 est \$4,794,969 - The obligations will begin in FY 2017; and has no current expiration date.

Range and Average of Financial Assistance:

Range of Dollar Amount: \$0 - \$5,000,000. Average Dollar Amount: \$0 - \$500,000.

TAFS Codes:

75-0872.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No grants issued. Fiscal Year 2017: The estimates for fiscal year 2017 are 20 research grants. Fiscal Year 2018: The estimates for fiscal year 2018 are 20 research grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 75; "NIH Guide for Grants and Contracts, and Supplements"; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications; Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Dana A. Phares 6701 Rockledge Drive, Room 7176, Bethesda, Maryland 20892
Email: pharesda@nhlbi.nih.gov Phone: 3014350314

Website Address:

<http://www.nhlbi.nih.gov/about/org/ctris>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The

scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the importance of the problem the proposed research will address; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation of the proposed research; (5) the potential of the proposed research for commercial application; (6) the appropriateness of the budget requested; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.843 ACL ASSISTIVE TECHNOLOGY STATE GRANTS FOR PROTECTION AND ADVOCACY

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Section 5 of the Assistive Technology Act of 1998, as amended.

OBJECTIVES:

To support protection and advocacy services through the systems established to provide protection and advocacy services under the Developmental Disabilities Assistance and Bill of Rights Act for the purpose of assisting in the acquisition, utilization, or maintenance of assistive technology or assistive technology services for individuals with disabilities.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds may be used to support protection and advocacy services related to assistive technology services and devices. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate that is referenced under 34 CFR 76-564-76.569.

Applicant Eligibility:

Designated protection and advocacy agencies in States and outlying areas only.

Beneficiary Eligibility:

Persons with disabilities who may benefit from assistive technology services and devices.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed

in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms are furnished by the Office of Special Education and Rehabilitative Services, Rehabilitation Services Administration. Contact the Program Office for more information.

Award Procedure:

Applications are reviewed by Department of Health and Human Services staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

The grantee may appeal to the Office of Administrative Law/Judges (34 CFR part 81).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Funds are distributed on a State population basis, with a minimum annual grant of \$50,000. Territories receive a minimum of \$30,000.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual Formula Grants. See the following for information on how assistance is awarded/released: Electronic Transfer. See the following for information on how assistance is awarded/released: Electronic Transfer.

Reports:

Annual progress reports are required. Quarterly cash reports are required. Progress reports are not applicable. Annual expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

As stated in grant or contract terms and conditions, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, review other applicable credits acquired under this grant.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 16 \$4,450,000; FY 17 est \$4,450,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

no data available.

TAFS Codes:

75-01-0142-506.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for this information.

Regional or Local Office:

See Regional Agency Offices. Clare Barnett, Program Specialist, Administration on Community Living, Administration on Intellectual and Developmental Disabilities, Protection and Advocacy for Traumatic Brain Injury, Office of Program Support, 330 C Street, SW, Suite 1130-C, Washington, DC 20201. Telephone: (202) 795-7301; Email: clare.barnett@acl.hhs.gov.

Headquarters Office:

LaDeva T. Harris 330 C Street, SW, Suite 1139-A, Washington, District of Columbia 20201 Email: ladeva.harris@acl.hhs.gov Phone: 202-795-7360

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact the Program Office for information.

93.844 ACL CENTERS FOR INDEPENDENT LIVING, RECOVERY ACT

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part C; American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5.

OBJECTIVES:

To provide independent living services to individuals with significant disabilities to assist them to function more independently in family and community settings, by developing and supporting a statewide network of centers for independent living.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Federal funds are used for the establishment and operation of centers for independent living which offer a combination of services. Services must include independent living core services, which include information and referral services, training in independent living skills, peer counseling, individual and systems advocacy, and as appropriate, a combination of any other independent living services specified in Title II of the Rehabilitation Act. Each center must have a governing board composed of a majority of persons with significant disabilities. The majority of the staff and individuals in decision making positions must be individuals with disabilities. Not less than 1.8 percent and not more than 2 percent of Federal funds appropriated for the program must be reserved to provide training and technical assistance through entities experienced in the operation of centers for independent living.

Applicant Eligibility:

none.

Beneficiary Eligibility:

Beneficiary eligibility should reflect none.

Credentials/Documentation:

Costs for States that administer these grants through the authorization in section

723 will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs for all other grantees will be determined in accordance with OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Awards were made under approval of the Commissioner of Rehabilitation Services Administration, and the Assistant Secretary of OSERS, based on the findings of Federal and nonfederal reviews of applications to ensure the applicants satisfactorily demonstrate that they will meet the standards and assurances described in Section 725 (b) and (c) the Rehabilitation Act.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

These projects may be continued under CFDA 84.132 based on a self-evaluation report, and accomplishments as described in the Center's self-evaluation and annual report requirements under Section 725 (c)(8) of the Act, submittal of a continuation application, and the availability of funds. Renewals are available through the regular continuation application process and are subject to the availability of funds under the regular program appropriation (see CFDA program 84.132).

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Section 721 of the Rehabilitation Act provides a population-based formula for the allocation of program funds among States. These funds are then awarded through competitive grants to non-profit organizations in the State.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for obligation at the Federal level until September 30, 2010. Grantees have an additional 12-month period to obligate their funds. New projects are typically funded for five years with funding approved on a 12-month basis. Continuation awards will be subject to the availability of funds under the regular program (see CFDA program 84.132). See the following for information on how assistance is awarded/released: Electronic Transfer.

Reports:

Grantees receiving funding under the Recovery Act will be required to report at the end of each quarter on the amount of grant funds obligated and expended; all activities for which those funds were obligated and expended; the completion status of those activities; the estimated number of jobs created or retained by the project and those activities; and detailed information on any contracts awarded with grant funds. Specific reporting requirements will be included in grant award documents. Contact the program office for more information. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Fiscal records must be maintained for 3 years after the grant ends and the final financial report is submitted or until all audit questions are resolved.

Account Identification:

75-0142-0-1-506.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0142-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Regulations at 34 CFR 364 and 366.

Regional or Local Office:

None.

Headquarters Office:

Roslyn Thompson 330 C Street, SW, Washington, District of Columbia 20201
Email: roslyn.thompson@acl.hhs.gov Phone: 202-495-7453

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria for this program include extent of need for the project, past performance, satisfaction of the standards and assurances set forth in Section 725, quality of key personnel, budget and cost-effectiveness, evaluation plan, plan of operation, and involvement of individuals with significant disabilities. Criteria for evaluating applications are published in program regulations at 34 CFR 366.

93.845 PROMOTING POPULATION HEALTH THROUGH INCREASED CAPACITY IN ALCOHOL EPIDEMIOLOGY

Alcohol Epidemiology

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

42 U.S.C. 301(a) of the Public Health Service Act.

OBJECTIVES:

The purpose of this FOA is to support the building of capacity in alcohol epidemiology in state and large city Health Departments and help provide the tools needed to perform core public health functions, such as public health surveillance. This increased epidemiologic capacity will help build the public health infrastructure that is needed to address excessive alcohol use − the fourth leading preventable cause of death in the U.S.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

These funds will be used to support state and local public health capacity in alcohol epidemiology, including staffing, travel, training, supplies, surveys, and/or required analytic software. Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses.

Applicant Eligibility:

Eligibility will be limited to State and District of Columbia Health Departments, large city health departments (900,00 residents or more), or their Bona Fide Agents.

Beneficiary Eligibility:

State and local health departments' work may benefit others outside the institution including health professionals, non-profit organizations, and the general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director and fiscal officers identified in the applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Project period is 5 years with 12-month budget periods.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period is 5 years with 12-month budget periods. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Annual Progress Reports due 90 days from the end of the budget period. Federal Financial Reports (FFRs) are due 90 days after the end of the fiscal year. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

There is a 5-year records retention requirement; records shall be retained beyond the 5-year period if final audit has not been done, or findings resolved. Property records must be retained in accordance with HHS Policy.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$731,260; FY 17 est \$731,260; and FY 18 est \$731,260 - This CFDA supports a new FY16 FOA DP16-1608. No funding in FY15 under this CFDA.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 92 is the regulations that governs this program

Regional or Local Office:

None. Successful applicants must comply with the administrative requirements outlined in 45 CFR Part 74 or 92 as appropriate.

Headquarters Office:

Jessica Mesnick 4770 Buford Hwy, MS F78, Atlanta, Georgia 30341 Phone: 7704888063

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be reviewed based on their responses to the Funding Opportunity Announcement.

93.846 ARTHRITIS, MUSCULOSKELETAL AND SKIN DISEASES RESEARCH**FEDERAL AGENCY:**

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 437, 487, Public Law 78-410, 42 U.S.C 241.

OBJECTIVES:

To support research relevant to arthritis, musculoskeletal and skin diseases, the National Institute of Arthritis and Musculoskeletal and Skin Diseases (NIAMS) supports research training and basic and clinical investigations including epidemiology and clinical trials in the areas of skin and rheumatic diseases and musculoskeletal diseases. The Division of Skin and Rheumatic Diseases promotes and supports basic, epidemiological, and clinical studies of skin and rheumatic and related diseases. Studies range from determining the underlying basis and mechanisms of disease (including large genetic studies), to translational and clinical research aimed at the diagnosis, treatment, prediction, and/or prevention of disease. The skin program supports research in normal and diseased skin including keratinocyte biology and wound healing, and disorders such as psoriasis, atopic dermatitis and other chronic inflammatory skin disorders; the vesiculobullous diseases such as pemphigus, bullous pemphigoid, and epidermolysis bullosa; acne, alopecia areata, vitiligo, and skin neoplasia. The rheumatic disease program supports research in the systemic autoimmune diseases and arthritides, including systemic lupus erythematosus (SLE), systemic sclerosis, autoimmune myositis, rheumatoid arthritis, juvenile idiopathic arthritis, spondyloarthropathies, vasculitis, gout, Sjogren's syndrome, and fibromyalgia syndrome. In addition, the Division supports studies on the extracellular matrix, including research on Marfan syndrome, keloid formation, and pseudoxanthoma elasticum. The Division also supports biopsychosocial research related to rheumatic, musculoskeletal, or skin diseases. Topics include behavioral interventions, pain mechanisms, neuroendocrine and neuroimmune mechanisms, behavioral and social research,

and epidemiology. The Division of Musculoskeletal Diseases supports studies of the skeleton and associated connective tissues. Broad areas of interest include skeletal development, metabolism, mechanical properties, and responses to injury. Among these diseases and skeletal disorders are osteoporosis; osteogenesis imperfecta; Paget's disease of bone; vitamin D refractory diseases; and rickets and osteochondrodysplasias. Other areas of interest include treatment of acute and chronic injuries, including carpal tunnel syndrome, repetitive stress injury, low back pain and clinical and epidemiological studies of osteoarthritis. The Division supports development of new technologies with the potential to improve treatment of skeletal disorders and facilitate the repair of trauma in the normal skeleton. These include drugs and nutritional interventions, joint replacement, bone and cartilage transplantation, biomarkers and gene therapy. In addition, bioengineering, sports medicine and musculoskeletal fitness are areas of special research emphasis. This Division also encourages and supports research on skeletal muscle, its diseases and disorders, and its central role in human physiology and exercise. Topics include the molecular structure of muscle and the molecular mechanisms that produce force and motion. Muscle biophysics, cell biology, muscle biology, muscle disorders and therapies, musculoskeletal development, tissue reengineering, and regenerative medicine are encompassed in this area as well. The Division also supports research into the biology of cartilage, tendons, ligaments, menisci, and intervertebral discs, including pre-clinical studies on injury and disease conditions affecting these tissues. NIAMS participates in the Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) programs. The SBIR program is intended to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The STTR program is intended to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Research Grants provide funds for salaries, equipment, supplies, travel, and other expenses associated with scientific investigation relevant to program objectives. Individual National Research Service Awards (NRSAs) are made to individuals for research training in specified biomedical shortage areas. In addition, grants may be made to institutions to enable them to make NRSAs to individuals selected by them. Each individual who receives a NRSA is obligated upon termination of the award to comply with certain service and payback provisions. Small Business Innovation Research Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

Research Grants: Individuals and public and private institutions, both nonprofit and for-profit, who propose to establish, expand, and improve research activities in health sciences and related fields. National Research Service Awards: Individuals must be nominated and sponsored by a public or private, for-profit or nonprofit institution having staff and facilities appropriate to the proposed research training program. All awardees must be citizens or have been admitted to the United States for permanent residence. To be eligible,

predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D. Eng., or equivalent domestic or foreign degree). Nonprofit domestic organizations may apply for the Institutional National Research Service grant. Small Business Innovation Research grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which partner with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Research Grants: Although no degree of education is either specified or required, nearly all successful applicants have doctoral degrees in one of the sciences or professions. National Research Service Awards: Predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree.

Credentials/Documentation:

The cost principles for awards under this program are set forth in HHS regulations at 45 CFR 75, Subpart E and Appendix IX (hospitals) to Part 75. Commercial organizations are subject to the cost principles located at 48 CFR 31.2 Federal Acquisition Regulation. See the NIH Grants Policy Statement for further guidance on the applicability of cost principals (<http://grants.nih.gov/grants/policy/nihgps/index.htm>).

Requirements are specified in the application form. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, part 75, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Research grants: Applications must be prepared electronically through grants.gov (<http://www.grants.gov>) using the SF 424 (Research and Research-Related) or, for multi-project applications, submitted using ASSIST. FAQs to guide applicants can be found at http://grants.nih.gov/grants/electronicreceipt/faq_full.htm. Individual funding opportunity announcements will indicate the appropriate application options available. A listing of NIAMS and other funding opportunities can be found through the NIAMS web site (http://www.niams.nih.gov/Funding/Funding_Opportunities/filter.asp), through the Grants.gov web site (<http://www.grants.gov/>), or on the NIH Guide to Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>).

Electronic applications are submitted through the Grants.gov website or ASSIST as directed in the Funding Opportunity Announcement. For assistance contact GrantsInfo, Telephone: (301) 435-0714, Email: GrantsInfo@nih.gov.

SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Research Funding Opportunities" web page at <http://grants1.nih.gov/grants/funding/sbir.htm>. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Telephone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710. Application forms for SBIR and STTR grants may be obtained through the SBIR/STTR funding announcements posted on the Grants.gov sites and the NIH Guide to Grants and Contracts (see URLs listed above). All SBIR and STTR applications must be submitted electronically. Electronic applications are submitted through the Grants.gov website. The SBIR/STTR programs are subject to the provisions of 45 CFR, Part 75.

Awards made under this program are subject to 2 CFR 200, as implemented by 45 CFR 75 Public Welfare, Uniform Administrative Requirements, Cost Principles and Audit Requirements for HHS Awards. The policies and procedures generally applicable to NIH grants are set forth in the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>).

Award Procedure:

Following review by the appropriate study section and council, the successful applicant is notified by the National Institute of Arthritis and Musculoskeletal and Skin Diseases through a Notice of Grant Award. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Research grants: From 6 to 9 months. National Research Service Awards: From 6 to 9 months. SBIR/STTR applications: About 7 to 12 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html> and at <http://grants.nih.gov/grants/guide/notice-files/not-od-11-064.html>.

Renewals:

Research grants: renewals by competitive application and review. Extension by request and administrative action. National Research Service Awards: individual awards may be made for 1, 2, or 3 years. No individual may receive NIH fellowship support at the postdoctoral level for more than 3 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Research grants: Awards may be recommended for up to 5 years. Awards usually are made for 12-month budget periods. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: A formal

notification in the form of a Notice of Grant Award (NoGA) will be provided to the applicant organization.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). For specific audit procedure guidance, please see 45 CFR 75. Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FFR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FFR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FFR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FFR to NIH. See 45 CFR 75 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 75 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 75, the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel records for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0888-0-1-552.

Obligations:

(Project Grants) FY 16 \$439,614,513; FY 17 est \$451,420,063; and FY 18 est \$328,920,642 - This is the total for NIAMS Project Grants, including NRSA.

Range and Average of Financial Assistance:

Research Grants: \$1,000 to \$2,700,000; \$357,005. National Research Service Awards: \$6,889 to \$473,239; \$53,911. SBIR: Phase I awards -- approximately \$216,150; Phase II awards --approximately \$670,446. STTR: Phase I awards -- approximately \$201,560; Phase II awards --approximately \$784,280.

TAFS Codes:

75-0888.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In fiscal year 2016, a total of 1,187 noncompeting and competing research grants were funded, including 34 SBIR and STTR awards. National Research Service Awards (NRSA): In fiscal year 2016, 294 trainees were funded. Fiscal Year 2017: The fiscal year 2017 estimate is 1,191 research grant awards, including 37 SBIR and STTR awards. The estimate for fiscal year 2017 is 299 NRSA trainees. Fiscal Year 2018: The fiscal year 2018 estimate is 956 research grants, including 26 SBIR and STTR awards. The estimate for fiscal year 2018 is 265 NRSA trainees.

REGULATIONS, GUIDELINES, AND LITERATURE:

Research Grants: 2 CFR 200 and 45 CFR 75; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 45 CFR 75 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Melinda B. Nelson 6701 Democracy Boulevard, Ste 800, Bethesda, Maryland 20892 Email: melinda.nelson@nih.gov Phone: (301) 435-5278

Website Address:

<http://www.niams.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects and/or (b) protecting against or minimizing any adverse effect on the environment.

93.847 DIABETES, DIGESTIVE, AND KIDNEY DISEASES EXTRAMURAL RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 405, 428, 431, 487, 491, 493, 495, and 498, as amended; Public Laws 78-410, 99-158, 100-607, 106-554, and 107-360; 42 U.S.C. 241, as amended; 42 U.S.C. 285c-2, 42 U.S.C. 285c-5, 42 U.S.C. 288; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

(1) To promote extramural basic and clinical biomedical research that improves the understanding of the mechanisms underlying disease and leads to improved preventions, diagnosis, and treatment of diabetes, digestive, and kidney diseases. Programmatic areas within the National Institute of Diabetes and

Digestive and Kidney Diseases include diabetes, digestive, endocrine, hematologic, liver, metabolic, nephrologic, nutrition, obesity, and urologic diseases. Specific program areas of interest include the following: (a) For diabetes, endocrine, and metabolic diseases areas: Fundamental and clinical studies including the etiology, pathogenesis, prevention, diagnosis, treatment and cure of diabetes mellitus and its complications; Normal and abnormal function of the pituitary, thyroid, parathyroid, adrenal, and other hormone secreting glands; Hormonal regulation of bone, adipose tissue, and liver; on fundamental aspects of signal transduction, including the action of hormones, coregulators, and chromatin remodeling proteins; Hormone biosynthesis, secretion, metabolism, and binding; and on hormonal regulation of gene expression and the role(s) of selective receptor modulators as partial agonists or antagonists of hormone action; and Fundamental studies relevant to metabolic disorders including membrane structure, function, and transport phenomena and enzyme biosynthesis; and basic and clinical studies on the etiology, pathogenesis, prevention, and treatment of inherited metabolic disorders (such as cystic fibrosis). (b) For digestive disease and nutrition areas: Genetics and genomics of the GI tract and its diseases; Genetics and genomics of liver/pancreas and diseases; Genetics and genomics of nutrition; genetics and genomics of obesity; Bariatric surgery; Clinical nutrition research; Clinical obesity research; Complications of chronic liver disease; Fatty liver disease; Genetic liver disease; HIV and liver; Cell injury, repair, fibrosis and inflammation in the liver; Liver cancer; Liver transplantation; Pediatric liver disease; Viral hepatitis and infectious diseases; Gastrointestinal and nutrition effects of AIDS; Gastrointestinal mucosal and immunology; Gastrointestinal motility; Basic neurogastroenterology; Gastrointestinal development; Gastrointestinal epithelial biology; Gastrointestinal inflammation; Digestive diseases epidemiology and data systems; Nutritional epidemiology and data systems; Autoimmune liver disease; Bile, Bilirubin and cholestasis; Bioengineering and biotechnology related to digestive diseases, liver, nutrition and obesity; Cell and molecular biology of the liver; Developmental biology and regeneration; Drug-induced liver disease; Gallbladder disease and biliary diseases; Exocrine pancreas biology and diseases; Gastrointestinal neuroendocrinology; Gastrointestinal transport and absorption; Nutrient metabolism; Pediatric clinical obesity; Clinical trials in digestive diseases; Liver clinical trials; Obesity prevention and treatment; and Obesity and eating disorders. (c) For kidney, urologic and hematologic diseases areas: Studies of the development, physiology, and cell biology of the kidney; Pathophysiology of the kidney; Genetics of kidney disorders; Immune mechanisms of kidney disease; Kidney disease as a complication of diabetes; Effects of drugs, nephrotoxins and environmental toxins on the kidney; Mechanisms of kidney injury repair; Improved diagnosis, prevention and treatment of chronic kidney disease and end-stage renal disease; Improved approaches to maintenance dialysis therapies; Basic studies of lower urinary tract cell biology, development, physiology, and pathophysiology; Clinical studies of bladder dysfunction, incontinence, pyelonephritis, interstitial cystitis, benign prostatic hyperplasia, urolithiasis, and vesicoureteral reflux; Development of novel diagnostic tools and improved therapies, including tissue engineering strategies, for urologic disorders; Research on hematopoietic cell differentiation; metabolism of iron overload and deficiency; Structure, biosynthesis and genetic regulation of hemoglobin; as well as Research on the etiology, pathogenesis, and therapeutic modalities for the anemia of inflammation and chronic diseases.

(2) To encourage basic and clinical research training and career development of scientists during the early stages of their careers. The Ruth L. Kirschstein National Research Service Award (NRSA) funds basic and clinical research training, support for career development, and the transition from postdoctoral biomedical research training to independent research related to diabetes, digestive, endocrine, hematologic, liver, metabolic, nephrologic, nutrition, obesity, and urologic diseases. (3) To expand and improve the Small Business Innovation Research (SBIR) program. The SBIR Program aims to increase and facilitate private sector commercialization of innovations derived from Federal research and development; to enhance small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. (4) To utilize the Small Business Technology Transfer (STTR) program. The STTR Program intends to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and

research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project Grants provide funds for salaries, equipment, supplies, travel, and other expenses associated with scientific investigation relevant to program objectives. NRSA's are made directly to individuals for research training in specified biomedical shortage areas, or, to institutions to enable them to make NRSA's to individuals selected by them. Each individual who receives a NRSA is obligated upon termination of the award to comply with certain service and payback provisions. SBIR Phase I grants (of approximately 6-months duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

Project Grants: Universities, colleges, medical, dental and nursing schools, schools of public health, laboratories, hospitals, State and local health departments, other public or private institutions, both non-profit and for-profit, and individuals who propose to establish, expand, and improve research activities in health sciences and related fields. NRSA's: Support is provided for academic and research training only, in health and health-related areas that are periodically specified by the National Institutes of Health. To be eligible, predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). Individuals must be nominated and sponsored by a public or non-profit private institution having staff and facilities appropriate to the proposed research training program. All awardees must be citizens or have been admitted to the United States for permanent residence. Nonprofit domestic organizations may apply for the Institutional NRSA. SBIR and STTR grants can be awarded only to domestic small businesses that meet the following criteria: 1) Is independently owned and operated, is not dominant in the field of operation in which it is proposing, has a place of business in the United States and operates primarily within the United States or makes a significant contribution to the US economy, and is organized for profit; 2) Is (a) at least 51% owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in, the United States, or (b) for SBIR only, it must be a for-profit business concern that is at least 51% owned and controlled by another for-profit business concern that is at least 51% owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in, the United States. 3) Has, including its affiliates, an average number of employees for the preceding 12 months not exceeding 500, and meets the other regulatory requirements found in 13 C.F.R. Part 121. Business concerns are generally considered to be affiliates of one another when either directly or indirectly, (a) one concern controls or has the power to control the other; or (b) a third-party/parties controls or has the power to control both. STTR grants which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Health professionals, graduate students, health professional students, scientists, and researchers, any nonprofit or for-profit organization, company, or

institution engaged in biomedical research. Project Grants: Although no degree of education is either specified or required, nearly all successful applicants have doctoral degrees in one of the sciences or professions. NRSAs: Predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree.

Credentials/Documentation:

Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Individual NRSA applications for postdoctoral training must show the candidate's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training. Institutional Training grant applications for predoctoral and postdoctoral training must show the objectives, methodology and resources for the research training program; the qualifications and experience of directing staff; the criteria to be used in selecting individuals for stipend support; and a detailed budget and justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 75, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form PHS 398 is used to apply for SBIR and STTR Phase I Phase II and Phase I/Phase II Fast Track. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Project Grants: Applications for Federal assistance must be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 Research and Related (R&R) forms and the SF424 (R&R) Application Guide. Applications may not be submitted in paper format. A registration process through Grants.gov is necessary before submission and applicants are highly encouraged to start the process at least four weeks prior to the grant submission date. Two steps are required for on time submission: (1) The application must be successfully received by Grants.gov no later than 5:00 p.m. local time (of the applicant institution/organization) on the submission/receipt date. (2) Applicants must complete a verification step in the eRA Commons within two business days of notification from NIH. Note: Since email can be unreliable, it is the responsibility of the applicant to periodically check on their application status in the Commons. The standard application forms, as furnished by PHS and required by 45 CFR Part 92, must be used for this program by those applicants that are State or local units of government. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms.

Award Procedure:

Research Grant and Training Program applications are reviewed initially for scientific merit by an appropriate review panel, composed of scientific authorities, and by the National Diabetes and Digestive and Kidney Diseases Advisory Council composed of leaders in medical science, education, and public affairs. Approved applications will compete on a merit basis for available funds. The successful applicant is sent a Notice of Grant Award. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for

available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Project Grants: From 6 to 9 months. National Research Service Awards: From 6 to 9 months. SBIR/STTR applications: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Project Grants: Renewals are determined by competitive application and review. Extensions considered upon request. Individual NRSA: Awards may be made for 1, 2, or 3 years. No individual may receive NIH fellowship support at the postdoctoral level for more than 3 years.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Grants: Awards are usually made for a 12-month period with recommendation of up to 4 years of additional support. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: The Notice of Award (NoA) is the legal document issued to notify the grantee that an award has been made and that funds may be requested from the designated HHS payment system or office. An NoA is issued for the initial budget period. If subsequent budget periods are also approved, the NoA will include a reference to those budgetary commitments. Funding for subsequent budget periods are generally provided in annual increments following the annual assessment of progress. This funding is also contingent on the availability of funds. The NoA includes all applicable terms of award either by reference or specific statements. It provides contact information for the assigned program officer and grants management specialist. The grantee accepts a NIH award and its associated terms and conditions by drawing or requesting funds from the Payment Management System, or upon the endorsement of a check from the US Treasury for foreign awardees.

Reports:

Project Grants: Expenditures and other financial records, including documents supporting accounting records and substantive charges to each grant, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period. NRSAs: Documentation of expenditures and other fiscal records must be kept readily available for examination by authorized Government personnel and must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period. Reports are required after termination of NRSAs to ascertain compliance with service and payback provisions. Cash reports are not applicable. Annual and terminal progress reports, annual reports of inventions, and annual certification with respect to research involving human subjects are required. Reports of expenditures are required. NRSAs: Reports are required after termination of NRSAs to ascertain compliance with service and payback provisions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review

or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 75.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 75.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 75.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel records for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0884-0-1-552.

Obligations:

(Project Grants) FY 16 \$1,606,588,000; FY 17 est \$1,599,890,000; and FY 18 est \$1,311,776,000 - The amounts above are the total Project Grants, NRSA and SBIR/STTR awards. The Project Grants and NRSA awards include Type 1 Diabetes funds and exclude TAPS. The SBIR/STTR awards include Type 1 Diabetes funds.

Range and Average of Financial Assistance:

Project Grants: Range of \$2,560 to \$29,000,000; \$469,000 average
NRSA: Range of \$9,000 to \$700,000; \$120,000 average
SBIR: Range of \$2,000 to \$1,900,000; \$510,000 average.

TAFS Codes:

75-0884.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2016 Actual:
Project Grants: \$1,489,668,000 with 3,176 awards;
NRSA: \$58,286,000 with 486 awards and 1,118 FTFPs/trainees
SBIR/STTR: \$58,634,000 with 115 awards. Fiscal Year 2017: FY 2017 Annualized CR Estimate:
Project Grants: \$1,479,263,000 with 3,205 awards are estimated
NRSA: \$58,694,000 with 489 awards and 897 FTFPs/trainees are estimated
SBIR: \$61,933,000 with 120 awards are estimated. Fiscal Year 2018: FY 2018 President Budget:
Project Grants: \$1,214,613,000 with 2,727 awards are estimated
NRSA: \$46,956,000 with 391 awards and 920 FTFPs/trainees are estimated
SBIR: \$50,207,000 with 103 awards are estimated.

REGULATIONS, GUIDELINES, AND LITERATURE:

Project Grants: 42 CFR 52; 42 CFR 66; 42 CFR 74; 45 CFR 75; 45 CFR 92. Administration Policy Directive No. 65 01 (47 Fed. Reg. 52966 et seq. (1982), as amended by Policy Directive No. 65 01.1 (48 Fed. Reg. 38794 et seq. (1983)). Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement, http://grants.nih.gov/grants/policy/nihgps_2003/; Omnibus Solicitation of the

Public Health Service for SBIR Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for STTR Grant Applications.

Regional or Local Office:

None. Project Grants: Dr. Judith Fradkin, Director, Division of Diabetes, Endocrinology, and Metabolic Diseases, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 6037, 6707 Democracy Blvd., Bethesda, MD 20892-2560. Telephone: (301) 496-7349; Dr. Stephen James, Director, Division of Digestive Diseases and Nutrition, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 6029, 6707 Democracy Blvd., Telephone: (301) 594-7680; Dr. Robert Star, Director, Division of Kidney, Urologic and Hematologic Diseases, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 6119, 6707 Democracy Blvd., Bethesda, MD 20892-2560. Telephone: (301) 496-6325. Grants Management Contact: Mr. Robert Pike, Chief Grants Management Officer, Grants Management Branch, Division of Extramural Activities, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 7333, 6707 Democracy Blvd., Bethesda, MD 20892. Telephone: (301) 594-8854. Use the same numbers for FTS.

Headquarters Office:

Michelle Shorter 31 Center Drive, Room 9A34, Bethesda, Maryland 20892
Email: Shorterm@mail.nih.gov Phone: 3015948842

Website Address:

<http://www2.niddk.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Division of Diabetes, Endocrinology, and Metabolic Diseases Projects:

Diabetes Control and Complications Trial (DCCT)/Epidemiology of Diabetes Interventions and Complications (EDIC) Study Research Group. Intensive Diabetes Treatment and Cardiovascular Outcomes in Type 1 Diabetes: The DCCT/EDIC Study 30-Year Follow-up. Diabetes Care 39: 686-693, 2016.

AND
Diabetes Control and Complications Trial (DCCT)/Epidemiology of Diabetes Interventions and Complications (EDIC) Study Research Group. Mortality in Type 1 Diabetes in the DCCT/EDIC Versus the General Population. Diabetes Care 39: 1378-1383, 2016.

Intensive Blood Glucose Management for Those with Type 1 Diabetes Preserves Heart Health and Reduces Risk of Early Mortality: A long-term NIDDK study reports that keeping blood glucose (sugar) as close to normal as possible for an average of 6.5 years early in the course of type 1 diabetes reduces cardiovascular (heart and blood vessel) disease and can reduce mortality to rates close to those seen in people of similar age in the general population. The landmark Diabetes Control and Complications Trial (DCCT) began in 1983. The DCCT randomly assigned half its participants to an intensive blood glucose management regimen designed to keep blood glucose levels as close to normal as safely possible, and half to the less intensive conventional treatment at the time. When DCCT ended in 1993, it was clear that intensive management had significantly reduced eye, nerve, and kidney complications, but at that time the participants were too young to determine their rates of cardiovascular disease. All DCCT participants were taught the intensive management regimen and invited to join the Epidemiology of Diabetes Interventions and Complications (EDIC) study. EDIC continued to monitor participants health, and overall blood glucose management has since been similar in both DCCT treatment groups. To study the long-term effects of the different treatments tested in the DCCT, researchers examined differences in cardiovascular problems, which can take many years to develop, between the former intensive and conventional treatment groups. After an impressive average 30-year follow-up, DCCT/EDIC researchers found that those who practiced intensive blood glucose management during the DCCT still had significantly reduced cardiovascular disease compared to those who did not, despite having similar blood glucose management for 20 years after the DCCT

ended. Compared to the former conventional treatment group, the former intensive management group had a 30-percent reduced incidence of cardiovascular disease and 32 percent fewer major cardiovascular events (such as non-fatal heart attack, stroke, or death from cardiovascular disease) after 30 years of follow-up. These results were similar for both men and women who participated in the studies. However, the beneficial effects of intensively managing blood glucose during the DCCT appeared to be wearing off over time. For example, after 20 years of follow-up, DCCT/EDIC researchers reported that the former intensive treatment group had a 42-percent reduced risk of cardiovascular disease compared to the former conventional treatment group. After 30 years of follow-up, that number had fallen to 30 percent. Even with this reduction in protection, these new data show that a finite period of near-normal blood glucose management early in the course of type 1 diabetes can have beneficial effects on cardiovascular health for up to 30 years. Historically, those with type 1 diabetes have had a higher mortality rate than the general population. Previous DCCT/EDIC analyses compared intensive versus conventional blood glucose management and showed that those in the former intensive treatment group had reduced mortality compared with that of the former conventional treatment group. Now, mortality in the DCCT/EDIC study from its inception through 2014 was compared to 2013 national mortality data. Researchers found that overall mortality when both DCCT/EDIC treatment groups were combined was no greater than what would be expected in the general U.S. population. However, they found that the mortality rate in the former conventional treatment group was 31 percent higher than that seen in the general population. While the former intensive treatment groups mortality rate was below that in the general population, the difference was not statistically significant. Researchers also found participants long-term blood glucose control affected mortality rates, and those who had worse control had correspondingly worse mortality rates. This effect of blood glucose control on lifespan was more pronounced among women than among men. In general, these results suggest that the increased mortality historically seen in those with type 1 diabetes can be reduced or eliminated through careful management of blood glucose. Overall, these findings add to DCCT/EDICs decades of evidence demonstrating how people with type 1 diabetes can dramatically increase their chances of living long, healthy lives by practicing early, intensive blood glucose management.

Zhou K, Yee SW, Seiser EL, Pearson ER. Variation in the glucose transporter gene SLC2A2 is associated with glycemic response to metformin. *Nat Genet* 48: 1055-1059, 2016.

Variation in a Glucose Transporter Affects Response to the Type 2 Diabetes Drug, Metformin: New research indicates that a common variation in the gene encoding a protein that allows glucose (sugar) to move in and out of cells has a surprising impact on the effectiveness of the first-line anti-diabetes medication metformin. Metformin is a very widely used, safe, and helpful treatment for type 2 diabetes, but it is more effective in some people than in others, and scientists are trying to understand why. An international consortium of investigators looked at genomic variation in over 13,000 volunteers of varying ancestry who were taking metformin. They found that a common variation in the gene for a glucose transporter protein, GLUT2, had a significant impact on metformin effectiveness. (The gene encoding GLUT2 is known as SLC2A2.) Before treatment, people with two copies of a version of the gene (designated C) typically had somewhat worse blood glucose control, as detected by higher levels of HbA1c, a marker for glucose levels. Yet, these individuals had slightly better (lower) HbA1c when taking a standard dose of metformin than did people with two copies of the other version (T) of the GLUT2-encoding gene. This effect was most pronounced in people who were obese, but was also seen in those who were not. People with one copy of each version had an intermediate response to metformin. GLUT2 allows glucose to move passively in and out of cells in the liver, an organ with a critical role in regulating blood glucose levels. The GLUT2 that is produced by the C and T versions of the gene is the same, equally capable of allowing glucose movement. However, the researchers found that liver cells with the C version make less GLUT2 than liver cells with the T version. This suggests that in the absence of metformin, individuals with type 2 diabetes and the C version are at a disadvantage compared to those with the T version when it comes to regulating blood glucose levels, but that metformin treatment overcomes and even slightly reverses this effect. Metformin still works in people with two copies of the T version of the gene, but more of the drug or an additional medication would be needed to

achieve the same degree of HbA1c reduction. This discovery has broad applicability, because the C and T versions of the gene are both common in a wide variety of racial/ethnic groups, albeit to differing degrees. For example, about 70 percent of African Americans have at least one copy of C, while 24 percent of Latinos do. With further research, tests to reveal a patient's GLUT2 gene version could one day help further precision medicine by allowing health care providers to tailor metformin dosage for that individual, so that he or she takes neither more nor less of the medication than needed.

Division of Digestive Diseases and Nutrition Projects:

Inge TH, Courcoulas AP, Jenkins TM, Buncher CR; for the Teen-LABS Consortium. Weight Loss and Health Status 3 Years after Bariatric Surgery in Adolescents. *N Engl J Med* 374:113-123, 2016.

Weight Loss and Health Benefits from Bariatric Surgery in Teens with Severe Obesity: In a study of teens with severe obesity, bariatric surgery resulted in substantial weight loss and improvements in health and quality of life 3 years after the surgeries were performed; the study also identified risks associated with the surgeries. These findings are from the Teen Longitudinal Assessment of Bariatric Surgery, or Teen-LABS, study. Obesity increases risk for type 2 diabetes, cardiovascular disease, and many other serious conditions. Previous research has shown that adults with severe obesity (also known as extreme obesity) can experience dramatic health benefits from bariatric surgery. However, very little has been known about the effects of this surgery in adolescents, particularly over the long-term even though it is used in clinical practice for this age group. Thus, researchers designed Teen-LABS, an observational study that enrolled adolescents who were already planning to have bariatric surgery. Their goal was to collect outcome data on health risks and benefits that could help with treatment decisions. Conducted at five U.S. clinical centers, Teen-LABS enrolled 242 people ages 13-19. Prior to surgery, all were obese, and nearly all had severe obesity, based on body mass index (BMI), a measure of weight relative to height. The majority of the participants in the study were Caucasian females, a demographic representative of patients who seek bariatric surgery at these clinical centers. The study focused on those who underwent either of two bariatric surgical procedures: gastric bypass (used for a majority of the teens), or sleeve gastrectomy. Before surgery, the participants average weight was 328 pounds. Three years after surgery, their weight decreased by an average of 90 pounds, or 27 percent. Some of the participants had type 2 diabetes, some had kidney disease, and many had high blood pressure or abnormal levels of blood lipids (cholesterol or triglycerides) prior to surgery. The study found that 95 percent of the teens who had type 2 diabetes had reversal of their disease, 86 percent of those with kidney damage experienced improvements in kidney function, and most of the teens with high blood pressure or lipid abnormalities saw improvements in these conditions 3 years after surgery. Additionally, 26 percent of the teens were no longer obese 3 years after surgery. Although a majority still had some level of obesity, not as many had severe obesity. The study also identified risks. During the study period, 13 percent of participants needed additional abdominal surgery, most commonly gallbladder removal. The study also found that although fewer than 5 percent of the teens were iron-deficient before surgery, more than half had low iron stores 3 years later. These results contribute important knowledge about the benefits and risks of bariatric surgery in adolescents. However, further research will be critical to determine the longer-term effects of bariatric surgery on health and well-being, including whether health improvements are sustained and whether additional risks emerge. This information will help teens, their parents, and their health care providers make more informed treatment decisions, so that young people with obesity can have improved health during adolescence and as they become adults.

Chu H, Khosravi A, Kusumawardhani IP, Mazmanian SK. Gene-microbiota interactions contribute to the pathogenesis of inflammatory bowel disease. *Science*. 352: 1116-1120, 2016.

AND

Lassen KG, McKenzie CI, Mari M, Xavier RJ. Genetic Coding Variant in GPR65 Alters Lysosomal pH and Links Lysosomal Dysfunction with Colitis Risk. *Immunity*. 44: 1392-1405, 2016.

Exploring the Genes That Keep the Guts Immune System in Check: Recent

research into the genetics of inflammatory bowel disease (IBD) has pointed to abnormal interactions between the gut and the bacteria that inhabit it, implicating genetic defects in a process that cells use to break down microbial material. IBD is a painful and debilitating collection of diseases, including Crohns disease and ulcerative colitis, that are marked by inflammation and damage in the gut. The causes of IBD are unclear; however, the inflammation is believed to be caused by complicated interactions between genetic and environmental factors. In particular, research has pointed to an improper immune response to bacteria in the gut reaction that can be affected by human genetics. Variations in many areas of the genome have been associated with IBD, including some involved in immunity, but it has been difficult to determine how these variants might be contributing to the disease. Recently, two groups of researchers have identified how certain IBD genetic risk variants may affect the way gut cells respond to bacteria. Both groups focused on a process called autophagy, whereby damaged or unnecessary materials in cells including bacteria and bacterial components are packaged and broken down. One of the research groups concentrated on the genes ATG16L1 and NOD2, both of which code for proteins that are known to play important roles in autophagy and have variants that are implicated in IBD. The scientists found that immune cells from mice lacking the ATG16L1 protein were unable to suppress inflammation when exposed to a friendly type of bacteria called *Bacteroides fragilis* (*B. fragilis*) that normally resides in the human gut. *B. fragilis* helps keep the gut's immune system in check by delivering certain bacterial molecules to intestinal immune cells. They deliver the molecules in small spheres, called outer membrane vesicles, that bud from the bacterial cells outer coating. These vesicles are engulfed, packaged, and broken down by immune cells in the gut, where their components suppress an immune reaction. However, the researchers found that mouse immune cells lacking functional ATG16L1 protein were unable to respond to these vesicles, thus potentially failing to prevent an improper inflammatory reaction to *B. fragilis* and other friendly gut bacteria. Testing this idea in a mouse model of colitis, the scientists found that mice lacking functional ATG16L1 were not protected from colitis when they were given outer membrane vesicles from *B. fragilis*, but mice with ATG16L1 were. Mice and cells lacking functional NOD2 also had defective responses to these *B. fragilis* vesicles, supporting the idea that NOD2 could cooperate with ATG16L1 in suppressing inflammation. Importantly, mice or cells from male and female IBD patients with a human genetic variant of ATG16L1 that is implicated in IBD also did not respond to these vesicles, suggesting that a failure of ATG16L1-mediated autophagy could be contributing to disease in some people with IBD. Another team of scientists investigated the role of autophagy as a cellular defense mechanism against potentially harmful bacteria. Some types of bacteria can invade cells, causing disease, and cells typically use autophagy to package and degrade the invading microbes. Armed with this knowledge, the researchers performed genetic screening in a human cell line to identify genes implicated in IBD that are involved in both autophagy and cellular defense against bacteria. Among the genes they identified was GPR65, which has variants associated with IBD. GPR65 encodes a protein that is important for the proper function of lysosomes, which are acid-rich globules in cells that break down material packaged for autophagy. The researchers found that male and female mice without functional GPR65 protein were more prone to a disease resembling human IBD when given a type of bacteria that causes intestinal inflammation in mice. This effect was seen when GPR65 was absent from either the cells lining the gut or the immune cells within the gut. The lysosomes of intestinal and immune cells lacking GPR65 were unable to properly degrade invading bacteria. This could be explained by the observation that the lysosomes were not positioned properly in the cell and were not as acidic as normal lysosomes. Importantly, the researchers also tested a human cell line engineered to have a genetic variant found in male and female IBD patients, and immune cells from IBD patients who have this variant, and they found that these cells were also defective in destroying invading bacteria. These results suggest that this genetic variant of GPR65 could promote IBD by crippling autophagy and cellular defense against disease-causing bacteria. By showing that certain genetic variants identified in IBD patients can cause defects in the way cells relate to, or defend themselves from, bacteria in the gut, these results provide possible links between the genetics and the biological processes of IBD. They also open the door to future treatments that could help restore proper relationships between bacteria and the gut immune system in people with IBD.

Division of Kidney, Urologic, and Hematologic Diseases Projects:

Harper JD, Cunitz BW, Dunmire B, Bailey MR. First in Human Clinical Trial of Ultrasonic Propulsion of Kidney Stones. *J Urol* 195: 956-964, 2016. Moving Stones with Sound New Ultrasound Technology Repositions Kidney Stones in People: Researchers have developed new ultrasonic propulsion technology that can reposition kidney stones and facilitate stone fragment passage in people. Kidney stones are one of the most common disorders of the urinary tract. Smaller stones may pass with little or no pain, while larger stones may get stuck along the lower urinary tract and block the flow of urine, causing severe pain and/or bleeding. Current treatments for kidney stones, such as lithotripsy, may leave behind residual stone fragments. Most fragments will pass on their own, but others may grow larger, cause pain, and lead to the need for additional treatment. Toward the goals of finding safe ways to reposition kidney stones and encouraging the passage of stone fragments, scientists developed ultrasonic propulsion technology. The technology uses a handheld device to generate a real-time ultrasound image to visualize the kidney stone, and directs controlled, short bursts of ultrasound waves toward the stone to try to make it move. In the first human clinical trial testing this technology, scientists found that it could reposition kidney stones in 14 of 15 men and women studied, and cause some degree of movement of both large and small stones. In fact, one person experienced pain relief after a large, obstructing stone was moved. These findings suggest that the procedure could successfully reposition kidney stones in some people. The scientists then examined six study participants who had residual stone fragments after previously undergoing a lithotripsy procedure to treat their kidney stones. Four of them passed more than 30 fragments within days after undergoing the ultrasonic propulsion procedure, demonstrating that the technology could facilitate the passage of stone fragments. An unexpected finding was that the technology may also be useful for diagnosis in four people, what was thought to be one large stone was actually found to be a cluster of small, passable stones after they were moved. Stone size is an important factor that doctors consider when making treatment decisions, so having this diagnostic information could aid them in making those decisions. Importantly, the technology was found to be safe and did not cause pain. It is also noninvasive and could be performed in a clinic setting while people are awake without the need for sedation. Ultrasonic propulsion technology is still being refined and tested in people, but with further research, it may eventually be possible to use this new technology after procedures that leave residual stone fragments to facilitate their passage and potentially reduce the need for future intervention. The technology may also be useful for moving large, obstructing stones; repositioning stones before surgery; and serving as a diagnostic tool.

Orandi BJ, Luo X, Massie AB, Segev DL. Survival Benefit with Kidney Transplants from HLA-Incompatible Live Donors. *N Engl J Med* 374: 940-950, 2016.

Promising Result Reported from Multi-center Kidney Transplantation Study: Researchers have reported a survival benefit for people who received kidney transplants from HLA-incompatible live donors compared with either those remaining on the kidney transplant waiting list or those who received kidney transplants from immune system-compatible deceased donors. Human leukocyte antigen (HLA) is a protein on the surfaces of human cells that identifies the cells as self or foreign, and performs essential roles in immune responses. There are multiple forms of HLAs, which vary among individuals and are analyzed in laboratory tests to determine whether one person's organs and tissues are compatible with another person's, and could be used in a transplant. The more closely the HLAs match between a donor and recipient, the less likely a transplant will be rejected by the recipient's immune system. To overcome HLA-incompatible transplants, organ transplant recipients undergo desensitization protocols to remove antibodies in the blood that can harm the donated organ. Previous research from a single center indicated a survival benefit with kidney transplants from HLA-incompatible live donors as compared with those waiting for a compatible organ. To assess whether the survival benefit seen in the single-center study is generalizable on a national scale, a 22-center study was designed and conducted. The researchers assessed the survival of people who received kidney transplants from HLA-incompatible live donors, at multiple time points up to 8 years after transplantation. They compared these outcomes with the survival of two control

groupsthose who remained on the waiting list or received a transplant from a deceased donor, and those who remained on the waiting list but did not receive a transplant. The multicenter study reported that a kidney transplant from an HLA-incompatible live donor was associated with a significant survival benefit compared to the two control groups. As a compatible live kidney donor is rarely available, these results suggest that patients now could consider the option to undergo incompatible transplantation. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.850 IMPROVING EPILEPSY PROGRAMS, SERVICES, AND OUTCOMES THROUGH NATIONAL PARTNERSHIPS

Epilepsy Programs

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under the sections 301(a) and 317(k)(2) of the Public Health Service Act, [42 U.S.C. 241(a) and 247b(k)(2), as amended].

OBJECTIVES:

The purpose of this program is to:

Reduce the treatment gap (i.e., shortening the time to diagnosis, and increasing referral to specialty care) by improving professional education about epilepsy diagnosis, treatment, and management);

Increase access to appropriate health care services, community resources, and self-management supports among people with epilepsy and their families;

Improve the public's knowledge about, and attitudes toward, epilepsy to reduce epilepsy stigma; and

Improve health, social participation, and quality of life for people with epilepsy.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Specific goals will be provided in a funding opportunity announcement. In general, assistance requested under this program will be used to:

Assure a competent health professional workforce.

Mobilize community partnerships to improve the delivery and coordination of epilepsy care.

Inform and educate people about epilepsy, and empower people with epilepsy and their caregivers.

Funds may be used for costs associated with planning, organizing, implementing, and evaluating program elements related to the objectives identified in 050 above.

Recipients may expend funds for reasonable policies, systems, and program purposes, including personnel, travel, supplies, and services (including contractual). Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

Reimbursement of pre-award costs is not allowed.

Other than for normal and recognized executive-legislative relationships, no funds may be used for:

publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body

the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses.

Applicant Eligibility:

Open and full competition. However, to fulfill the requirements of the cooperative agreement, applicants must be able to demonstrate that their organization has specialized knowledge and experience addressing the complex and challenging health and social needs of individuals with epilepsy and their families. Applicants must also be able to demonstrate that they have the ability to work at both the national level and locally in all geographical regions of the United States (Northeast, Southeast, Middle West, Southwest, and West.).

Beneficiary Eligibility:

Individual/Family
Racial/ethnic minority groups
People with disabilities
Specialty group
Children
Adults
Older adults
Schools
Health Professional
Educational Professional
Student/trainee
Low income
Moderate income
Rural
Urban
Suburban
Education (0-13+)
Nonprofit groups.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No.

A-110

OMB Circular No. A-102

Award Procedure:

After review and approval, a Notice of Award is prepared and processed, along with appropriate notification to the public. The initial award provides funds for first budget period (12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. Applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Matching is not required.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

12 budget period within a 5 year project period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Reporting on progress provides continuous program monitoring and identifies successes and challenges that awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding. Awardees must submit an Annual Performance Report via www.grants.gov 120 days before the end of each budget period. The report must not exceed 45 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed. This report must include the following: performance measures, evaluation results, work plan, successes, challenges, CDC program support needs, and administrative reporting. The annual FFR form (SF-425) is required and must be submitted through eRA Commons within 90 days after each budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final report must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. The final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data must correspond; no discrepancies between the data sets are permitted. Failure to submit the required information by the due date may affect adversely the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation and include the date by which the information will be provided. Awardees must provide a more detailed evaluation and performance measurement plan within the first six months of the project. This more detailed plan must be developed by awardees as part of first-year project activities, with support from CDC. This more detailed plan must build on the elements stated in the initial plan, and must be no more than 25 pages. Awardees are required to submit performance measures annually as a minimum, and may require reporting more frequently. Performance measure reporting is limited to data collection. When funding is awarded initially, CDC programs will specify required reporting frequency, data fields, and format.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$3,175,691; FY 17 est \$3,175,691; and FY 18 est \$3,175,391 - 1 award expected for \$3,200,000.

Range and Average of Financial Assistance:

1 award expected for \$3,200,000.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Maggie Moore 4770 Buford Hwy, NE, Mailstop F-78, Atlanta, Georgia 30341
Email: mmoore6@cdc.gov Phone: 7704885598

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC NCCDPPH and PGO. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the Criteria section of the FOA. There may or may not be an additional review which will be provided in the FOA. Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements.

**93.851 TRACKING ELECTRONIC HEALTH RECORD ADOPTION AND CAPTURING RELATED INSIGHTS IN U.S. HOSPITALS
FEDERAL AGENCY:**

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Consolidated Appropriations Act 2017, Pub L No. 115-31, Division H, Title II and Title XXX, 3001 (c)(2) and 3011 of the Public Health Service Act.

OBJECTIVES:

Significant federal investments to accelerate the adoption of electronic health records (EHRs) and exchange of clinical data are now in place. It is critical to continue to track the adoption and use of EHRs and related insights to: (1) ascertain the status of adoption and evaluate existing and proposed policy decisions; (2) evaluate the impact of ONC programs and related incentives for meaningful use; and (3) make resources available to ONC and external researchers to assess the effects of health IT adoption and exchange on key

aspects of care, such as quality and efficiency. The data generated under this funding opportunity will enable ONC and researchers to carry out these important activities for inpatient settings.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Contract, Subgrants. Funds under this announcement cannot be used for the following purposes:

To supplant or replace current public or private funding.

To supplant on-going or usual activities of any organization involved in the project.

To purchase or improve land, or to purchase, construct, or make permanent improvements to any building.

To reimburse pre-award costs.

Indirect costs should not exceed 8% of direct costs. FY15 ONC

Appropriations.

Applicant Eligibility:

This is a non-competitive funding opportunity and is restricted to a designated organization. Organizations not designated as such are not eligible to apply for this opportunity, and therefore should not submit an application. Applications submitted by organizations not designated below will not be considered. The designated organization for this opportunity is the American Hospital Association (AHA).

Beneficiary Eligibility:

The beneficiaries will include the Office of the National Coordinator for Health Information Technology, the American Hospital Association, and any researchers or members of the general public interested in survey data on the adoption and use of health IT by U.S. hospitals.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Notice of Grant Award (NGA) is signed by the ONC Grants Management Officer. The successful applicants Authorized Representatives will receive the NGA electronically from ONC. The NGA is considered the official authorizing award document.

The NGA will include amount of funds awarded, the terms and conditions of the cooperative agreement, the effective date of the award, the budget period for which support will be given, the total project period timeframe, and the total approved budget.

Deadlines:

Sep 10, 2015

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be available if the Office of the Coordinator for Health Information Technology continues to report on hospital adoption and use of health information technology using these survey methods, and continues to be satisfied with the quality of the output.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds will be available through the period of performance. Method of awarding/releasing assistance: lump sum.

Reports:

Reports will be developed by ONC Staff prior to award. Federal Financial Report-Cash Transaction Reports are required quarterly in the Payment Management System. Reports will be developed by ONC Staff prior to award. Federal Financial Reports SF-425 are due semi-annually. Reports will be developed by ONC Staff prior to award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards, Subpart F, Audit Requirements sets forth standards for obtaining consistency and uniformity among Federal agencies for the audit of non-Federal entities expending Federal awards. In general, a non-Federal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit. Subpart F provides further guidance including the manner in which expenditures are determined, the distinction between a single audit and a program-specific audit, frequency of audits, and roles and responsibilities in the conduct of audits.

Records:

No Data Available.

Account Identification:

00-9907-5-0-075.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-15-0130.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No current data available. Fiscal Year 2017: No current data available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 Subparts B through D and F

Regional or Local Office:

None.

Headquarters Office:

Carmel Halloun 330 C Street SW, Washington, District of Columbia 20201
Email: carmel.halloun@hhs.gov Phone: 202-720-2919

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The AHA has an ongoing data collection effort in place designed to capture information on the adoption and use of electronic health records, as well as users attitudes towards them in U.S. hospitals. Since 2008, ONC has supported the data collection and acquired the resulting data through a single-source cooperative agreement.

The AHA Annual Survey of Hospitals collects data from more than 6,300 hospitals through the U.S. This data is a primary resource for government

agencies and has been used by the Centers for Disease Control and Prevention, the U.S. Census Bureau, and CMS. The IT Supplement currently reaches more than 3,500 hospitals in the U.S. The IT Supplement is a separate collection effort from the AHAs general census tracking survey project that has been conducted continuously since 1946. Over this time span, the general census tracking survey has enjoyed an average response rate in excess of 75 percent. Such a high level of response is exceptional for a voluntary survey that includes both member and non-member hospitals. Building on the success of the general survey, the response rates for the IT Supplement have exceeded 60 percent each year since its inception, an exemplary response rate for a comparatively newer data collection effort.

As the leading acute care hospital membership organization (approximately 80 percent of non-federal acute care hospitals are members), the AHA is uniquely suited to build on the response rate successes of prior years. Its credibility and reputation as a hospital advocacy and research center are unmatched by any other organization. In addition, the AHA has more than 60 years of experience in survey development and design and effective data collection through mail-based, and now Internet-based, efforts.

In an effort to measure key components of interoperability, ONC has made it a priority to measure health care providers ability to electronically send, receive, find, and integrate information received from outside sources. Without funding to supplement the cost of data collection, the AHA would not conduct an IT survey of this scope. ONCs input on the survey instrument also allows the agency to track key performance measures regarding the interoperable exchange of health information by hospitals. The AHAs IT Supplement is the only known source that tracks key performance measures regarding the interoperable exchange of health information by hospitals. Our national progress on interoperability also has direct implications on the success of federal initiatives such as delivery system reform and achieving widespread interoperability as outlined in MACRA.

Tracking and reporting on progress allows us to know where we are collectively starting from, how much progress we have made over time, and whether we have met important milestones. In addition, the AHAs IT Supplement has the capability to track specific functionalities included or under consideration for future inclusion in federal programs (such as meaningful use). ONCs ability to provide input on the content of the IT Supplement to measure interoperability and EHR functionalities is critical to informing and evaluating federal health IT policies and programs.

This survey has served the public good in that it has been the foundation of important public research on EHR adoption, as well as examining the impact EHR use has on the quality and efficiency of care in inpatient settings. Examples of such studies include Holmgren et al. 2016, Lammers et al. 2016, Walker et al. 2016, and McLaughlin et al. 2015.

93.852 NATIONAL SYNDROMIC SURVEILLANCE PROGRAM COMMUNITY OF PRACTICE (NSSP COP)

N/A

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Section 243, 247b(k)(2).

OBJECTIVES:

The purpose of the FOA is to fund an organization with extensive experience developing and supporting syndromic surveillance practice to develop, implement and maintain a community of practice for CDCs National Syndromic Surveillance Program.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Syndromic surveillance emphasizes the use of near real-time pre-diagnostic data, and statistical tools to detect and characterize unusual activity for further

public health investigation or response. In 2015, CDC issued CDC-RFA-OE15-1502: The National Syndromic Surveillance Program: Enhancing Syndromic Surveillance Capacity and Practice to fund about 25 health departments to elevate and strengthen the overall science and practice of syndromic surveillance. The purpose of NSSP is to strengthen the practice of syndromic surveillance at local and state public health agencies and to support the use of syndromic surveillance data for regional and national situation awareness. The purpose of this new FOA is to fund an organization to support the development, implementation, promotion, and ongoing maintenance of the NSSP Community of Practice (NSSP CoP). The purpose of the NSSP CoP is to promote the use and practice of syndromic surveillance among a broad range of public health practitioners and stakeholders interested in sharing knowledge to advance and improve syndromic surveillance use and practices. The NSSP CoP will focus on providing a peer-to-peer collaborative environment to foster and facilitate knowledge sharing and collaboration. Working together through the NSSP CoP will allow CDC to leverage the talents of a broad range of public health practitioners and stakeholders to enhance the nations electronic surveillance capacity.

Applicant Eligibility:

Organizations with more than a decade of experience in advancing the science and practice of syndromic surveillance and managing a distributed community of practice.

Beneficiary Eligibility:

and States, political subdivisions of States, local health authorities, and individuals or organizations with specialized health interests will benefit. Colleges, universities, private non-profit and public nonprofit domestic organizations, research institutions, faith-based organizations, and managed care organizations for some specific programs such as Diabetes.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. n/a

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. This a non competitive continuation coag.

Appeals:

Not Applicable.

Renewals:

> 180 Days.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Annually. See the following for information on how assistance is awarded/released: Project periods are for 1 to 5 years with 12-month budget periods based on individual funding opportunities.

Reports:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements. No cash reports are required. Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements. Federal Financial Reporting (FFR) (required)

The annual FFR form (SF-425) is required and must be submitted through eRA Commons 90 days after the end of the calendar quarter in which the budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information by the due date may adversely affect the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation to PGO and include the date by which the Grants Officer will receive information Final Performance and Financial Report (required)

This report is due 90 days after the end of the project period. CDC programs must indicate that this report should not exceed 40 pages. This report covers the entire project period and can include information previously reported in APRs. At a minimum, this report must include the following:

Performance Measures Awardees must report final performance data for all process and outcome performance measures.

Evaluation Results Awardees must report final evaluation results for the project period for any evaluations conducted.

Impact/Results/Success Stories Awardees must use their performance measure results and their evaluation findings to describe the effects or results of the work completed over the project period, and can include some success stories.

Additional forms as described in the Notice of Award (e.g., Equipment Inventory Report, Final Invention Statement). Awardee Evaluation and Performance Measurement Plan (required)

With support from CDC, awardees must elaborate on their initial applicant evaluation and performance measurement plan. This plan must be no more than 20 pages; awardees must submit the plan 6 months into the award.

This plan should provide additional detail on the following:

The frequency that evaluation and performance data are to be collected.
How data will be reported.

How evaluation findings will be used for continuous quality and program improvement.

How evaluation and performance measurement will yield findings to demonstrate the value of the FOA (e.g., improved public health outcomes, effectiveness of FOA, cost-effectiveness or cost benefit).

Dissemination channels and audiences.

Other information requested as determined by the CDC program.

Annual Performance Report (APR) (required)

The awardee must submit the APR via www.grants.gov 120 days before the end of the budget period. This report must not exceed 45 pages excluding administrative reporting. Attachments are not allowed, but web links are allowed.

This report must include the following:

Performance Measures: Awardees must report on performance measures for each budget period and update measures, if needed.

Evaluation Results: Awardees must report evaluation results for the work completed to date (including findings from process or outcome evaluations).

Work Plan: Awardees must update work plan each budget period to reflect

any changes in project period outcomes, activities, timeline, etc.

Successes

Awardees must report progress on completing activities and progress towards achieving the project period outcomes described in the logic model and work plan.

Awardees must describe any additional successes (e.g. identified through evaluation results or lessons learned) achieved in the past year.

Awardees must describe success stories.

Challenges

Awardees must describe any challenges that hindered or might hinder their ability to complete the work plan activities and achieve the project period outcomes.

Awardees must describe any additional challenges (e.g., identified through evaluation results or lessons learned) encountered in the past year.

CDC Program Support to Awardees

Awardees must describe how CDC could help them overcome challenges to complete activities in the work plan and achieving project period outcomes.

Administrative Reporting (No page limit)

SF-424A Budget Information-Non-Construction Programs.

Budget Narrative Must use the format outlined in "Content and Form of Application Submission, Budget Narrative" section.

Indirect Cost Rate Agreement.

Audits:

No audits are required for this program.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-1-1-550 - Biosense.

Obligations:

(Cooperative Agreements) FY 16 \$400,000; FY 17 est \$400,000; and FY 18 est \$400,000

Range and Average of Financial Assistance:

Average award depends on funding opportunity announcement.

TAFS Codes:

75-0956.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. NA.

Headquarters Office:

Marilyn Reynolds 2960 Brandywine Road
, Atlanta, Georgia 30341 Email: mpr3@cdc.gov Phone: 4044982441

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC NCCDPHP and PGO. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their

applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the Criteria section of the FOA.

There may or may not be an additional review which will be provided in the FOA. Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements.

**93.853 EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS
FEDERAL AGENCY:**

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 405, 408, 457, 458, 459, and 487, Public Law 78-410, 42 U.S.C 241-285L-2; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

(1) To support extramural research funded by the National Institute of Neurological Disorders and Stroke (NINDS) including: basic research that explores the fundamental structure and function of the brain and the nervous system; research to understand the causes and origins of pathological conditions of the nervous system with the goal of preventing these disorders; research on the natural course of neurological disorders; research on mechanisms associated with stroke and other cerebrovascular disorders, effects of trauma to the nervous system, neuroplasticity and regeneration, and tumors of neural tissues; improved methods of disease prevention; new methods of diagnosis and treatment; clinical trials; drug development; development of neural prostheses for stroke and paraplegia; epidemiological research; and research training in clinical neuroscience. The Institute supports research on topics including but not limited to stroke; traumatic injury to the brain, spinal cord and peripheral nervous system; neurodegenerative disorders; brain tumors; development of artificial prosthetic devices to restore function to the damaged nervous system; convulsive disorders; infectious disorders of the brain and nervous system; immune disorders of the brain and nervous system, including multiple sclerosis; disorders related to sleep; and pain. Programmatic areas also include neurodevelopment, repair and plasticity, channels synapses and circuits, neurogenetics, neurodegeneration, neural environment, and systems and cognitive neuroscience. Extramural research is also supported by the Office of Training and Workforce Development, the Office of Programs to Enhance Neuroscience Workforce Development, the Office of International Activities, the Division of Extramural Activities, the Division of Translational Research, the Division of Clinical Research. (2) To expand and improve the Small Business Innovation Research (SBIR) program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. (3) To utilize the Small Business Technology Transfer (STTR) program; to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The NINDS also participates in the Academic Research Enhancement Award (AREA) Program, which is intended to provide research support to institutions currently having little support from the National Institutes of Health (NIH) support.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research grants may be used to provide salaries, equipment, supplies, travel and other expenses for research. The grantee institution is obliged to expend

grant funds prudently for the purposes stated in the application and award document. National Research Service Awards (NRSAs) are made directly to individuals for research training in specified biomedical shortage areas, or to institutions, to enable them to make NRSAs to individuals selected by them. Each individual who receives a NRSA may be obligated upon termination of the award to comply with service and payback provisions. Career Development Awards such as the Independent Scientist Awards (K02) provide support for newly independent scientists with health-related degrees who can demonstrate the need for a period of intensive research focus as a means of enhancing their research careers and facilitating their ability to obtain major NIH research awards. Mentored Clinical Research Scientist Development Awards (K08) provide support for clinically trained health professionals who need an additional period of sponsored research experience as a way to gain expertise in a research area new to the candidate or in an area that would demonstrably enhance the candidate's scientific career. The K01 and K22 Mentored Research Scientist Development Awards are used to promote diversity in neuroscience research and support an intensive supervised research career development experience for underrepresented, disabled or disadvantaged career neuroscientists. The Mentored Patient-Oriented Research Career Development Award (K23) provide support for a period of supervised study and research for clinically trained professionals who have the potential to develop into productive clinical investigators. SBIR and STTR Phase I grants (of approximately 6-months to 2 years in duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants (of approximately 1 to 3 years in duration) are for the continuation of the research efforts initiated in Phase I that are likely to result in commercial products or processes. Phase II funding is based on results of research initiated in Phase I, scientific and technical merit, and commercial potential of Phase II application. Grant funds may be expended only for the purpose stated in the application and award document. The NINDS participates in the NIH SBIR/STTR "fast track" and Phase IIB initiatives.

Applicant Eligibility:

Research Grants: Any public, private, nonprofit, or for-profit institution is eligible to apply. For-profit institutions are not eligible for Institutional National Research Service Awards but are eligible for Individual NRSAs. All proposals are reviewed for scientific merit, for evaluation of the qualifications of the investigators, for adequacy of the research environment, and for significance of the problem. Approved proposals compete for available funds. All Career Development Program awardees, with the exception of awardees of the Pathway to Independence Award (K99/R00), must be citizens or have been admitted to the United States for permanent residence. Candidates must be nominated for the program by a nonfederal public or private nonprofit institution located in the United States, its possessions or territories. To be eligible, postdoctoral NRSA trainees or fellows must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D. Eng., or equivalent domestic or foreign degree). SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the entire research must be performed in the United States. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Health professionals, graduate students, health professional students, scientists, and researchers.

Credentials/Documentation:

Research grants are awarded to an institution in the name of an individual investigator. Persons qualified to carry out research related to the extramural programs described above may apply for funds to support their investigations. Mentored Career Development Program training must be conducted under the direction of a competent sponsor. National Research Service Awards: individual NRSA Fellowships for postdoctoral training: the candidate's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. Institutional Training Grants for predoctoral and postdoctoral training: the applicant institution must show the objectives, methodology and resources for the research training program; the qualifications and experience of directing staff; the criteria to be used in selecting individuals for stipend support; and a detailed budget and justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR 75. For SBIR and STTR grants, the applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Research grant applications that request \$500,000 or more in direct costs in any yearly budget period will not be accepted unless the NINDS has agreed to accept the application prior to submission (see <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-02-004.html>). Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 45 CFR 75 applies to this program. NIH has transitioned to the SF424 family of forms and electronic submission through Grants.gov for most research programs and funding mechanisms. All applications must be submitted in response to a specific funding opportunity announcement (FOA). The FOA will specify which forms should be used for submission.

Award Procedure:

Research Grant and Training Program applications are reviewed initially by technical panels, composed of scientific authorities, and by the National Advisory Neurological Disorders and Stroke Council composed of 18 leaders in medical science, education, and public affairs. Approved applications will compete on a merit basis for available funds. Formal award notices are transmitted to the grantee or awardee. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Research grants: Approximately 6 to 9 months. Career program: From 7 to 8 months. SBIR/STTR applications: Approximately 6 to 9 months. Institutional Training Grants: From 6 to 12 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute, and subsequently, the P.I. and applicant institution may appeal to the NINDS appeals officer. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

By application and review in same manner as new applications. A P.I. should

consult the specific FOA to determine if a particular grant is renewable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Research grant awards are made for a 12-month period with recommendation of up to 4 years of additional support. Career Program awards provide support for 3 to 5 years. Training Program awards are usually for a 12-month period with recommendation of additional support of up to a total of 5 years for predoctoral training and no more than 3 years for postdoctoral training. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

Research Grants: annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Development Program: awardee submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued. No cash reports are required. No expenditure reports are required. No performance monitoring is required. Research Grants: annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Program: Awardee submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued. No expenditure reports are required. No performance monitoring is required. Research Grants: Annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Program: Awardee submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 45 CFR 75 nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 45 CFR 75. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 CFR 75, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$750,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of 45 CFR 75, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement:

1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or

2. an audit that meets the requirements of 45 CFR 75 .

In accordance with NIH Grants Policy Statement, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 75 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 75 for record retention and access requirements for contracts under grants. In accordance with 45 CFR 75 of Federal Regulations, the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel records for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0886-0-1-552 - Extramural Research.

Obligations:

(Project Grants) FY 16 \$1,216,538,446; FY 17 est \$1,290,920,000; and FY 18 est \$901,281,223. (Project Grants (Contracts)) FY 16 \$80,749,958; FY 17 est \$76,581,000; and FY 18 est \$74,209,419

Range and Average of Financial Assistance:

Research grants: \$9,219 to \$6,297,501; \$401,755. National Research Service Awards: Institutional \$46,245 to \$470,547; \$209,407. Individual: \$5,467 to \$66,354; \$42,241. SBIR/STTR: Phase I not to exceed \$150,000; Phase II not to exceed \$1,000,000; however with appropriate justification, budget caps for Phase I and Phase II are \$225,000 and \$1,500,000 respectively.

TAFS Codes:

07-0886.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: There were 842 competing research applications awards made. Fiscal Year 2017: There will be 699 competing research application awards made. Fiscal Year 2018: There will be 366 competing research application awards made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75 ; Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at . 45 CFR 75 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. National Institute of Neurological Disorders and Stroke (NINDS), Division of Extramural Research (DER), National Institutes of Health, Department of Health and Human Services, Neuroscience Center, 6001 Executive Boulevard, Bethesda, MD 20892. Director DER: Dr. Robert Finkelstein, Suite 3309, Telephone: (301) 496- 9248. The address above

(include suite #'s below) should be used for each Program Contact: Dr. Patrick Bellgowan, Repair and Plasticity, Suite 2205, Telephone: (301) 496-1447; Dr. Francesca Bosetti, Neural Environment, Suite 2115, Telephone: (301) 496-1431; Dr. Beth-Anne Sieber, Neurodegeneration, Suite 2223, Telephone: (301) 496-5680; Dr. Janet He, Systems & Cognitive Neuroscience, Suite 2227, telephone: (301) 496-9964; Dr. Vicky Whittemore, Channels, Synapses & Circuits, Suite 2133, Telephone: (301) 496-1917; Dr. Robert Riddle, Neurogenetics, Suite 2156, Telephone: (301) 496-5745; Dr. Claudia Moy, Office of International Activities, Suite 2214, Telephone: 301-496-9135; Dr. Elizabeth McNeil, Office of Clinical Research, Suite 2213, Telephone: (301) 496-9135; Dr. Alan Willard, Office of Translational Research, Suite 3279, Telephone: (301) 496-9746; Grants Management Branch: Ms. Tijuana DeCoster, Grants Management Officer, Suite 3258, Telephone: (301) 496-9231; Contracts Management Branch: Mr. Kirk Davis, Contracts Management Officer, Suite 3280, Telephone: (301) 496-1813; Dr. Stephen Korn, Office of Training, Career Development, and Workforce Diversity Officer, Suite 2186, Telephone: (301) 496-4188.

Headquarters Office:

Robert F. Finkelstein, 6001 Executive Boulevard, Suite 3309, Rockville, Maryland 20892 Email: finkelsR@nih.gov Phone: (301) 496-9248 Fax: (301) 402-4370.

Website Address:

<http://www.ninds.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) the scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) the soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) the degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and 8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.855 ALLERGY AND INFECTIOUS DISEASES RESEARCH

Allergy and Infectious Diseases Research

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Small Business Research and Development Enhancement Act of 1992, 42 U.S.C 241; Public Health Service Act, Title IV, Section 301.

OBJECTIVES:

To assist public and private nonprofit institutions and individuals to establish, expand and improve biomedical research and research training in infectious diseases and related areas; to conduct developmental research, to produce and test research materials. To assist public, private and commercial institutions to conduct developmental research, to produce and test research materials, to provide research services as required by the agency for programs in infectious diseases, and controlling disease caused by infectious or parasitic agents, allergic and immunologic diseases and related areas. Projects range from studies of microbial physiology and antigenic structure to collaborative trials of experimental drugs and vaccines, mechanisms of resistance to antibiotics as well as research dealing with epidemiological observations in hospitalized patients or community populations and progress in allergic and immunologic diseases. Because of this dual focus, the program encompasses both basic research and clinical research. Small Business Innovation Research (SBIR) program expands and improves private sector participation in biomedical research. The SBIR Program intends to increase and facilitate private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The Small Business Technology Transfer (STTR) program stimulates and fosters scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Research Career Development Awards support the development of scientists during the formative stages of their careers. Individual National Research Service Awards (NRSAs) are made directly to approve applicants for research training in specified biomedical shortage areas. In addition, Institutional National Research Service Awards are made to enable institutions to select and make awards to individuals to receive training under the aegis of their institutional program.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Beginning with fiscal year 1998, the direct costs awarded in the first year to a research project grant resulting from a competing renewal application will be limited to no more than a 20 percent increase over the direct costs awarded in the last non-competing year of that grant. Research grants provide funds for salaries, equipment, supplies, and travel. They also afford the collateral benefits of enriching the training experience of research workers. Grantees are expected to be judicious in using these funds. The application for a research grant sets forth specific terms and conditions and requires the signatures of the principal investigator and an official authorized to sign for the institution. Scientists and institutions are under an obligation to expend grant funds prudently for the purposes stated in the application and award document. For Research Career Development Awards (RCDAs) the scientists must demonstrate an outstanding research potential for independent research in the sciences related to transplantation, immunology, allergies, and immunological diseases. For National Research Service Awards (NRSAs), each individual who receives NRSA support is obligated upon termination of the award to comply with certain service and payback provisions. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

Universities, colleges, hospitals, laboratories, and other public or private nonprofit domestic institutions, including State and local units of government, and individuals are eligible to make application for grant support of research by a named principal investigator or a research career development candidate. For-profit organizations are also eligible, with the exception of NRSA. Individual NRSA awardees must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All NRSA awardees must be citizens or have been admitted to the United States for permanent residence. To be eligible, predoctoral candidates must have completed the baccalaureate degree, and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is being proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which researches proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Research grant applicants must define the objectives, methodology, and facilities for the program, and must present the program director's competence and scientific interest. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. Applicants for individual NRSAs must include their academic record, research experience, citizenship, institute sponsorship, and the proposed area and plan of training in their applications. The applicant for an institution must specify the objectives, methodology, and resources for the research training program, the qualifications experience of directing staff, the criteria to be used in selecting individuals for award, and a detailed budget justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations HHS has implemented 2 CFR 200s administrative requirements, cost principles, and audit requirements under 45 CFR 75. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. NIH has transitioned to the SF424 family of forms and electronic submission through Grants.gov for most research programs and funding mechanisms. All applications must be submitted in response to a specific funding opportunity announcement (FOA). The FOA will specify which forms should be used for submission. Application forms and information concerning current areas of science being supported are available from the Division of Extramural Outreach and Information Resources, Office of

Extramural Research, National Institutes of Health, 6701 Rockledge Drive, Room 6207, MSC 7910, Bethesda, MD 20892-7910. Telephone: (301) 435-0714. Fax: (301) 480-0525. E-mail: asknih@od.nih.gov. The standard application forms, as furnished by PHS and required by 45 CFR Part 92, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" homepage at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: telephone: (301) 206-9385; fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

All accepted applications are reviewed for scientific merit by an appropriate initial review group and a national advisory council. If recommended for approval and a decision to make an award is made, a formal award notice will be sent to the applicant and applicant institution. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

New Non-AIDS research applications: February 1, June 1 and October 1. For Renewal and Supplemental Non-AIDS research grant applications: March 1, July 1, and November 1. For all AIDS research grant applications: January 2, May 1, September 1. Research Career Development Award programs: February 1, June 1, October 1. Individual NRSA: April 5, August 5, and December 5. Institutional NRSA: September 10. SBIR/STTR: April 1, August 1 and December 1.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. Final decisions on unresolved appeals are made with the advice of the National Advisory Allergy and Infectious Diseases Council.

Renewals:

Renewals of research grant support are by competitive application and review. The competitive application may request support for a segmental period of up to 5 years.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. no matching requirements. This program has MOE requirements, see funding agency for further details. MOE requirements apply with allocations over \$100 million.

Length and Time Phasing of Assistance:

Research grant project periods average 4 years; they may not be extended beyond 7 years. (Project periods are generally composed of 1-year budget periods.) SBIR Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Research grant project periods average 4 years; they may not be extended beyond 7 years. (Project periods are generally composed of 1-year budget periods.) SBIR Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I

awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Program reports are not applicable. Require quarterly expenditure reports and yearly or grant period reports of expenditures. At the end of the project we expect final expenditure reports. Annual progress reports with information on inventions and expenditures are required. Require quarterly expenditure reports and yearly or grant period reports of expenditures. At the end of the project we expect final expenditure reports. Yearly and final progress reports are monitored.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. HHS has implemented 2 CFR 200s administrative requirements, cost principles, and audit requirements under 45 CFR 75.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 75.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel records for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0885-0-1-552.

Obligations:

(Project Grants) FY 16 \$2,959,397,000; FY 17 est \$3,044,938,000; and FY 18 est \$2,361,155,000

Range and Average of Financial Assistance:

from \$2,500 to \$6,395,901 and the average \$426,165.

TAFS Codes:

75-0885.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for

Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Kevin Richardson 5601 Fishers Lane Suite 5E39, Rockville, Maryland 20852
Email: kevin.richardson@nih.gov Phone: 3017617870

Website Address:

<http://www.niaid.nih.gov>

RELATED PROGRAMS:

93.856 Microbiology and Infectious Diseases Research

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.856 MICROBIOLOGY AND INFECTIOUS DISEASES RESEARCH

PLEASE SEE PROGRAM 93.855; Allergy and Infectious Diseases Research

NO FUNDING WILL BE REPORTED UNDER 93.856

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Small Business Research and Development Enhancement Act of 1992, 42 U.S.C 241; Public Health Service Act, Title IV, Section 301.

OBJECTIVES:

PLEASE SEE PROGRAM 93.855; Allergy and Infectious Diseases Research

NO FUNDING WILL BE REPORTED UNDER 93.856.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

PLEASE SEE PROGRAM 93.855; Allergy and Infectious Diseases Research

NO FUNDING WILL BE REPORTED UNDER 93.856.

Applicant Eligibility:

Universities, colleges, hospitals, laboratories and other public or private nonprofit domestic institutions, including State and local units of government. Individuals are eligible to make application for grant support of research by a named principal investigator or a research career development candidate. For-profit organizations are also eligible, with the exception of NRSA. Individual NRSA awardees must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All NRSA awardees must be citizens or have been admitted to the United States for permanent residence. To be eligible, predoctoral candidates must have completed the baccalaureate degree and postdoctoral candidates must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng.), or must have an equivalent domestic or foreign degree. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is being proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which researches proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Research grant applicants must define the objectives, methodology, and facilities for the program, and must present the program director's competence and scientific interest. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. Applicants for Individual NRSA's must include their academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training in their applications. The applicant for an Institutional NRSA must specify the objectives, methodology, and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for award, and a detailed budget and justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q, 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. PLEASE SEE PROGRAM 93.855; Allergy and Infectious Diseases Research

NO FUNDING WILL BE REPORTED UNDER 93.856

Award Procedure:

PLEASE SEE PROGRAM 93.855; Allergy and Infectious Diseases Research

NO FUNDING WILL BE REPORTED UNDER 93.856.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

PLEASE SEE PROGRAM 93.855; Allergy and Infectious Diseases Research

NO FUNDING WILL BE REPORTED UNDER 93.856.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. Final decisions on unresolved appeals are made with the advice of the National Advisory Allergy and Infectious Diseases Council.

Renewals:

Renewals of research grant support are by competitive application and review. The competitive application may request support for a segmental period of up to 5 years.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Research grant project periods average 4 years; these may not be extended beyond 7 years. (Project periods are generally composed of 1-year budget periods.) SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Research grant project periods average 4 years; these may not be extended beyond 7 years. (Project periods are generally composed of 1-year budget periods.) SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Yes annual reports requiring progress reports with information on inventions and expenditures are required. Yes we require quarterly expenditure reports and yearly and/or grant period reports of expenditures. At the end of the project we expect final expenditure reports. Yes annual reports requiring progress reports with information on inventions and expenditures are required. Yes we require quarterly expenditure reports and yearly and/or grant period reports of expenditures. At the end of the project we expect final expenditure reports. Yes all yearly and the final progress report are reviewed and monitored.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. HHS has implemented 2 CFR 200s administrative requirements, cost principles, and audit requirements under 45 CFR 75.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0885-0-1-552.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - PLEASE SEE PROGRAM 93.855; Allergy and Infectious Diseases Research

NO FUNDING WILL BE REPORTED UNDER 93.856.

Range and Average of Financial Assistance:

PLEASE SEE PROGRAM 93.855; Allergy and Infectious Diseases Research

NO FUNDING WILL BE REPORTED UNDER 93.856.

TAFS Codes:

75-0885.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. PLEASE SEE PROGRAM 93.855; Allergy and Infectious Diseases Research

NO FUNDING WILL BE REPORTED UNDER 93.856.

Headquarters Office:

Kevin Richardson 5601 Fishers Lane Suite 5E39, Rockville, Maryland 20852
Email: kevin.richardson@nih.gov Phone: 3017617870

Website Address:

<http://www.niaid.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See relevant program announcements.

93.857 MEASURING INTEROPERABILITY PROGRESS THROUGH INDIVIDUALS ACCESS AND USE OF THE ELECTRONIC HEALTH DATA**FEDERAL AGENCY:**

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Consolidated and Further Continuing Appropriations Act, 2015, Pub. L. No. 113-235, Division G, Title II, and Title XXX, 3001 (c) (2).

OBJECTIVES:

To establish a mechanism of collaboration with the National Partnership for Women & Families (NPWF) to support the development of consumer survey questions for the Health Information National Trends Survey (HINTS) and associated reports.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Contracts, Subgrants. Funds under this announcement cannot be used for the following purposes:

- To supplant or replace current public or private funding.
- To purchase or improve land, or to purchase, construct, or make permanent improvements to any building.
- To reimburse pre-award costs. FY15 ONC Appropriations. FY15 ONC Appropriations.

Applicant Eligibility:

This is a non competitive agreement being awarded to the National Partnership for Women and Families (NPWF).

Beneficiary Eligibility:

The beneficiaries will include all health care organizations and patients using electronic health records.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from

coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All applications must be submitted through Grants.gov

Award Procedure:

The Notice of Grant Award (NGA) is signed by the ONC Grants Management Officer. The successful applicants' Authorized Representative will receive the NGA electronically from ONC. The NGA is considered the official authorizing award document.

Deadlines:

Sep 15, 2015

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be available if the applicant is able to successfully show progress towards achieving specified goals.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available through the period of performance and 90 days from the close of the period of performance. Method of awarding/releasing assistance: lump sum.

Reports:

Reports will be developed by ONC Staff prior to the award of funds. Federal Financial Report-Cash Transaction Report. Reports will be developed by the ONC Project Officer prior to the award of funds. Federal Financial Report SF-425. Reports will be developed by the ONC Project Officer prior to award of funds.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

00-9907-5-0-075.

Obligations:

(Salaries) FY 16 Not Separately Identifiable; FY 17 est \$0; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-15-0130.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No current data available. Fiscal Year 2017: No current data available. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 Subparts B through D and F

Regional or Local Office:

None.

Headquarters Office:

Carmel Halloun 330 C Street SW, Washington , District of Columbia 20201

Email: carmel.halloun@hhs.gov Phone: 2027202919

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The National Partnership for Women & Families (National Partnership or NPWF) and the Office of the National Coordinator of Health IT (ONC) will work collaboratively to develop and incorporate questions into the National Cancer Institutes Health Information National Trends Survey (HINTs) and once the results of the NCI survey results are available, the National Partnership will review the results, and assist ONC with the reporting and dissemination of the results. The National Partnerships involvement will enable ONC to collect, analyze and report on information that will help us better understand individuals access to their electronic health information and the use of their health information including tools that enable them to collect, share and use their health information to monitor and manage health care needs for themselves and their loved ones.

This cooperative agreement involves supporting ONCs efforts to measure and report on individuals access and use of their electronic health information. Working with ONC, the National Partnership will identify survey items, from its and ONCs past surveys, and help develop new survey items for inclusion in the HINTS survey. NCI will then conduct the HINTS survey including the added survey items. Once the HINTS survey results are available, the National Partnership will review and help interpret the survey results and assist ONC in the development of written data products, such as data briefs. Finally, the National Partnership will help disseminate the results through its various channels, including via webinars, blog posts and social media.

93.858 NATIONAL COLLABORATION TO SUPPORT HEALTH, WELLNESS AND ACADEMIC SUCCESS OF SCHOOL-AGE CHILDREN

NA

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under the Public Health Service Act, 317(k)(1) of the PHS Act, 42 U.S.C. Section 247b(k)(1); and 317(k)(2).

OBJECTIVES:

The purpose of this announcement is to fund applicants to improve the health of youth by funding NGOs to assist CDC funded grantees and the organizations constituents (e.g., states, school districts and/or schools) to implement environmental and systems changes that support and reinforce healthful behaviors and reduce disparities. This program announcement places a strong emphasis on training and professional development, technical assistance, dissemination and communication, and program implementation and evaluation, and all activities are to be developed and delivered within the Whole School, Whole Community, Whole Child framework.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applicants must adhere to all CDC guidelines/policy/regulations regarding allowable and unallowable cost. Applicants must adhere to all CDC guidelines/policy/regulations regarding allowable and unallowable cost. Restrictions outlined in the Funding Opportunity Announcement.

Applicant Eligibility:

- Eligibility is limited to Community-Based Organizations, Faith Based Organizations, American Indian or Alaska Native Tribally-Designated organizations, Nonprofit without or with 501C3 IRS Status (other than Institution of Higher Education) are eligible.

Beneficiary Eligibility:

Nonprofit, Community-based, Faith based, American Indian/Alaska Native.

Credentials/Documentation:

Proof of 501C3 status and Applicants should document the need for assistance, state objective of the project, address the background, approach strategies and evaluation methods for the project/program, and provide a budget with justification of funds. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the Centers for Disease Control and Prevention, Procurement and Grants Office. The NoA and any additional attachments shall be the only binding, authorizing document between the applicant and CDC. The NoA will be signed by an authorized Grants Management Officer or their designee and e-mailed to the Principal Investigator/Program Director. A hard copy of the NoA will be mailed to the business official identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. The anticipated start date is June 1, 2016 - May 31, 2017. This information will be provided in the FOA DP16-1601.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. This program is a 5 year project period with 12-month budget periods.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

12-month budget period within a 5-year project period. Method of awarding/releasing assistance: lump sum.

Reports:

Annual Performance Report (APR) - This report must not exceed 30 pages excluding administrative reporting; attachments are allowed. The awardee must submit the APR via 90 days before the end of the budget period. No cash reports are required. Annual Performance Report (APR) - This report must not exceed 30 pages excluding administrative reporting; attachments are allowed. The awardee must submit the APR via 90 days before the end of the budget period. The awardee must submit a Federal Financial Report (FFR) 90 days after the budget period. Information will be provided in Funding Opportunity Announcement.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Information will be provided in the Funding Opportunity Announcement

Records:

This is a 5-year records retention requirement, records shall be retained beyond the 5-year period if final audit has not been done, or audit findings resolved. Property records must be retained in accordance with the HHS Grants Policy Statement.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$1,775,000; FY 17 est \$2,099,750; and FY 18 est \$2,099,750 - This program will be funded with FY16 funds grantees funding range \$300,000 - \$450,000 average award \$350,000.

Range and Average of Financial Assistance:

Funding range \$300,000 - \$450,000 for FY16.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Successful applicants must comply with the administrative requirements outlined in 45 Code of Federal Regulations (CFR) Part 74 or 92 as appropriate. The following additional requirements apply to this program. For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address: <http://www.access.gpo.gov/nara/cfr/cfr-tables-search.html>

Regional or Local Office:

None.

Headquarters Office:

Melissa Fahrenburch 4770 Buford Hwy, , Atlanta, Georgia 30341 Email: eya6@csc.gov Phone: 7704886167

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An objective review panel will be held to review applications based on criteria described in the Funding Opportunity Announcement (FOA) - DP16-1601.

93.859 BIOMEDICAL RESEARCH AND RESEARCH TRAINING FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Section 301 and Title IV of the Public Health Service Act, as amended.

OBJECTIVES:

The National Institute of General Medical Sciences (NIGMS) supports basic research that increases understanding of biological processes and lays the foundation for advances in disease diagnosis, treatment and prevention. NIGMS-funded scientists investigate how living systems work at a range of levels, from molecules and cells to tissues, whole organisms and populations. The Institute also supports research in certain clinical areas, primarily those that affect multiple organ systems. To assure the vitality and continued productivity of the research enterprise, NIGMS provides leadership in training the next generation of scientists, in enhancing the diversity of the scientific workforce, and in developing research capacities throughout the country.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

NIGMS is organized into divisions and a center that support research and research training in a range of scientific fields. Major areas in which these units fund research are listed below their names:

Division of Biomedical Technology, Bioinformatics, and Computational Biology

- Biomedical technology and software development
- Cell and molecular modeling and simulation
- Computational genomics and bioinformatics

Database design and enhancement
High-throughput data analysis

Division of Cell Biology and Biophysics

Analytical and separation techniques
Biophysical properties of proteins and nucleic acids
Cell organization, motility and division
Cellular imaging
Membrane structure and function
Molecular biophysics
Protein folding and dynamics
Proteomics
Single-molecule biophysics and nanoscience
Spectroscopic techniques
Structural biology

Division of Genetics and Developmental Biology

Chromosomes and epigenetics
Developmental biology and genetics
DNA replication, recombination and repair
Genetic basis of human biology
Neurogenetics and the genetics of behavior
Non-coding RNAs
Population genetics, evolution and the genetics of complex traits
Protein synthesis
Regulation of cell growth, cell cycle, cell death and differentiation
Regulation of cellular processes by signaling
Stem cell biology
Transcription and RNA processing

Division of Pharmacology, Physiology, and Biological Chemistry

Anesthesiology and peri-operative pain
Bioenergetics and mitochondrial physiology
Bioinorganic chemistry
Biotechnology
Chemical biology
Chemical synthesis
Clinical pharmacology
Drug metabolism and transporters
Enzymology and enzyme mechanisms
Glycosciences
Molecular immunobiology
Pharmacology, including signal transduction
Redox biochemistry and oxidative stress
Sepsis
Synthetic biology and natural products
Trauma, burn injury and wound healing

Division of Training, Workforce Development, and Diversity

Undergraduate student training and development
Postbaccalaureate research education
Predoctoral research training
Postdoctoral research training, career development and transition to independence
Workforce development research

Center for Research Capacity Building

Undergraduate research experiences and student development
Junior faculty research development and mentoring
Basic, clinical and translational biomedical research, including on conditions that disproportionately affect medically underserved populations
The formation of collaborative research and training networks
The enhancement of biomedical research infrastructure and of access to shared research resources
Innovative educational programs designed to improve life science literacy throughout the nation.

Applicant Eligibility:

NIGMS trainees must be U.S. citizens, non-citizen nationals or permanent

residents.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research.

Credentials/Documentation:

Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment and supplies are appropriate to carry out the plan. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. NIH announces availability of funds for grant programs by issuing funding opportunity announcements in the NIH Guide for Grants and Contracts (<https://grants.nih.gov/grants/guide>) and on Grants.gov (<https://www.grants.gov>). Application packages must be downloaded from Grants.gov and the majority must be submitted through Grants.gov. This program is subject to the provisions of 45 CFR, Part 92 for state and local governments and 45 CFR, Part 72 for other organizations, as appropriate.

Award Procedure:

All accepted applications are reviewed for scientific merit by an appropriate initial review group and by a national advisory council. National Research Service Award (NRSA) applications for the support of individual fellows and other applications requesting less than \$50,000 direct costs per year are not reviewed by the council. All approved applications compete for available funds on the basis of scientific merit and program emphasis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Project Grants: about 9 months. NRSA: (institutional) 9-12 months, (individual) 6 months. Small Business Innovation Research (SBIR)/Small Business Technology Transfer Research (STTR): about 7-1/2 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH website at <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

Renewal grants are generally made prior to the expiration of any current award.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Almost all awards are made for at least 1 year, with additional support (up to 4 more years) depending on the recommendation of the scientific review group, the national advisory council, successful annual performance and availability of funds. See the following for information on how assistance is awarded/released: varies by program, always on an annual basis.

Reports:

Varies by program, always on an annual basis. Varies by program, always on an annual basis. Varies by program, always on an annual basis. Varies by program, always on an annual basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits of profit making organizations are addressed in 45 CFR Part 72 (Subpart C, Section 74.26). In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0897-0-1-552.

Obligations:

(Project Grants) FY 16 \$2,411,311,000; FY 17 est \$2,543,507,000; and FY 18 est \$2,099,702,000

Range and Average of Financial Assistance:

\$20,000 to \$10,000,000.

TAFS Codes:

75-0851.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 16 Procedures of the Departmental Grant Appeals Board; 45 CFR 74; 45 CFR 92; NIH Extramural Programs brochure; miscellaneous program literature from headquarters office. Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications; and Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Susan J. Athey 45 Center Drive MSC6200, Bethesda, Maryland 20892 Email: athes@nigms.nih.gov Phone: (301) 496-7301 Fax: (301) 402-0224

Website Address:

<https://www.nigms.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For an overview of NIGMS grant application and review, including funding policy, see

<https://www.nigms.nih.gov/research/application/pages/grantapprev.aspx>. For more information about NIGMS, visit <https://www.nigms.nih.gov>.

93.860 EMERGING INFECTIONS SENTINEL NETWORKS

NA

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act Sections 301(a)[42 U.S.C.241(a)], 317(k)(1)[42 U.S.C.247b(k)(1)], and 317(k)(2)[42 U.S.C.247b(k)(2)], as amended.

OBJECTIVES:

In its 1994 monograph, Addressing Emerging Infectious Disease Threats A Prevention Strategy for the United States, the Centers for Disease Control and

Prevention (CDC) called for the establishment of provider-based Emerging Infections Sentinel Networks (EISNs) to monitor and evaluate conditions that are not covered by health department surveillance and that are likely to be seen by specific kinds of health providers. There are currently three provider-based networks established through CDC's EISN cooperative agreement programs: 1) Surveillance network of infectious disease specialists, 2) Global surveillance network of travel medicine clinics, and 3) Emergency department-based network of academically affiliated emergency departments for research on emerging infectious diseases. The first two networks (infectious disease specialists and travel medicine clinics) are non-research programs, and the third network (academically affiliated EDs) is a research program. These networks contribute to surveillance for emerging infectious diseases, including drug resistant, foodborne and waterborne, and vaccine-preventable or potentially vaccine-preventable diseases, and enhance information exchange leading to early identification of and response to trends and outbreaks.

Objectives for the provider-based sentinel networks include the following:

- To support linked groups of participating individuals or organizations in monitoring a variety of infectious disease problems and enhancing communication and collaboration among network members and the public health community;
- To serve as readily accessible mechanisms to address urgent public health infectious disease problems rapidly; and
- To improve understanding of specific public health issues, including, but not limited to, international travel, and enhance preparedness to meet new infectious disease threats among domestic and globally mobile populations. Additional objectives for the "research" EISN include:
 - To focus studies on the most important issues to be addressed by participating hospital EDs and CDC; and
 - To estimate the community burden of diseases.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. More detailed information regarding use of funding for specific projects/activities will be included in the published FOAs for this program. The full list of restrictions for this program are/will be included in the published Funding Opportunity Announcements for this program. General restrictions that apply are as follows:

- Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.
- Awardees may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.
- The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

Eligibility for this program is open, however, only institutions/organizations that meet and can demonstrate the necessary qualifications described in the published FOAs for this program should apply. Additional eligibility information is also described below:

There are both research and non-research funding opportunities under this program. For the research opportunity, only organizations currently operating a nationwide provider-based sentinel network that link academically affiliated hospital emergency departments in monitoring a variety of infectious disease problems are eligible. For the non-research opportunity, only organizations currently operating provider-based sentinel networks that link groups of participating individuals or organizations organized around either infectious disease clinicians or travel medicine in monitoring a variety of infectious disease problems are eligible. Applicants should refer to the published FOAs for more information regarding applicant eligibility.

Beneficiary Eligibility:

- Health Professionals
- Anyone/general public.

Credentials/Documentation:

Only organizations currently operating provider-based sentinel networks that link academically affiliated hospital emergency departments, or groups of participating individuals/organizations organized around either infectious disease clinicians or travel medicine, in monitoring a variety of infectious disease problems should submit an application. Applicants must provide documentation of the applicants qualifications, standing, and relationships in representing a group of academically affiliated hospital emergency departments or providers in a sentinel network. Include letters of support from network members. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Detailed instructions regarding application procedures and requirements will be included in the published Funding Opportunity Announcements for this program.

Award Procedure:

Applications that are complete and responsive will be evaluated for scientific and technical merit by an Objective Review Panel (for the non-research funding opportunity) or a Special Emphasis Panel (SEP) (for the research funding opportunity) in accordance with CDC review policies and procedures. CDC will not review incomplete and non-responsive applications. Complete and responsive applications will undergo the appropriate review process (Objective Review or SEP Review), receive a written critique and be scored according to the published review criteria. Any deviation from this process will be detailed in the published FOA for this program.

Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer. Initial award provides funds for the first budget period (usually 12 months) and the NOA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any. Once competing applicants have been selected for the first year of funding in the project period, those selected will be eligible to submit annual non-competitive continuation applications for each successive budget period of the project period.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Awards under this Program are typically issued for 1- to 5-year project periods. Renewals at the end of each project period are expected to be made available via publishing of a Renewal Competing Continuation FOA with a complete application and review/award process as described above. Annual renewals within an awarded multi-year project period are made via a non-competitive process and are subject to the availability of funding.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. No later than 10 days after the end of each calendar quarter, the recipients must submit a calendar quarter cash transaction

report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). The reporting schedule is/will be based on the requirements listed in the published Funding Opportunity Announcements for this Program. Funded applicants must provide CDC with an Annual Progress Report (APR). Additionally, funded applicants must provide CDC with a Federal Financial Report (SF-425) no more than 90 days after the end of each budget period, and a final Performance and Federal Financial Report no more than 90 days after the end of the project period. All reports must be submitted to the Grants Management Specialist/Officer indicated in the NoA. Additional details regarding the reporting requirements for this program are/will be included in the published Funding Opportunity Announcements. Federal Financial Reports (SF-425) are due no more than 90 days after the end of each budget period. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be retained for a minimum of 3 years after the end of the budget period, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0949-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$1,753,468; FY 17 est \$1,730,000; and FY 18 est \$1,730,000

Range and Average of Financial Assistance:

Award Range: \$400k-\$930k

Average Award: \$577k.

TAFS Codes:

75-0949.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are/will be published in the Funding Opportunity Announcements and identified on the notices of award. Regulations are also published under 42 CFR 55B. Guidelines are available under 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhsolicy.htm>.

Regional or Local Office:

None.

Headquarters Office:

Rainy Henry 1600 Clifton Road NE, MS-C18
, Atlanta, Georgia 30329 Email: roa3@cdc.gov Phone: 404-639-6146

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed against specific Evaluation Criteria published in the Funding Opportunity Announcements for this program.

93.861 STRENGTHENING THE PUBLIC HEALTH SYSTEM IN US-AFFILIATED PACIFIC ISLANDS (PPHF)

CBA to Strengthen Public Health Infrastructure and Performance in USAPIs
FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under sections 307 and 317(k)(2) of the Public Health Service Act [42 USC 242(l) and 247(b)(k)(2), as amended]. In addition, this program is authorized under sections 311 and 1703 of Public Health Service Act [42 USC 243 and 300 u-2, as amended] and Section 4002 of the Patient Protection and Affordable Care Act, Public Law 111-148., Title IV, Section 4002, 42 U.S.C 242, 247.

OBJECTIVES:

The purpose of this funding initiative to ensure provision of capacity building assistance (CBA) to the USAPIs public health officials and public health systems. Capacity building efforts will support the formation of sound policies, strengthened organizational structures (e.g., governance, workforce recruitment/retention, and health services), effective management and revenue control, build jurisdictional partnerships, and address important cross-cutting issues such as health equity programs and services, and improved accountability measures for performance effectiveness and efficiency.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting and supporting capacity building assistance efforts in the USAPI as described in Section 050 above and through CDC allowable and unallowable expenses. Per CDC-RFA-OT16-1601 Funding Restrictions. Detailed budget restrictions will be specified in most recent Notice of Award.

Applicant Eligibility:

The only eligible applicant for this award is the Pacific Island Health Officers Association (PIHOA), a 501(c)3 independent accrediting body that administers the national voluntary public health department accreditation program.

Beneficiary Eligibility:

Governmental public health departments, workforce segments across governmental public health departments, and/or nongovernmental public health professionals in the US-Affiliated Pacific Islands (USAPI). The US-Affiliated Pacific Islands (USAPI) consist of three U.S. Flag Territories of American Samoa, Guam, and the Commonwealth of the Northern Mariana Islands, as well as three sovereign states that have a Compact of Free Association with the United States (US)—Freely Associated States of the Republic of the Marshall Islands, Republic of Palau, and Federated States of Micronesia.

Credentials/Documentation:

The applicant must demonstrate 1) nonprofit 501(c)(3) or nonprofit 501(c)(6) IRS status (other than institutions of higher education); 2) a Pacific Islands regional scope of work; and 3) a public health charge or mission. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Phase I Review: The eligible application will be initially reviewed for completeness by the CDC Procurement and Grants Office (PGO) staff. The eligible application will then be jointly reviewed for responsiveness by CDC OSTLTS and PGO. Incomplete and/or non-responsive applications that do not meet eligibility criteria will not advance to Phase II review and the applicant will be notified. Phase II Review: An objective review panel will evaluate the

complete and responsive application according to the criteria listed in the FOA. The successful applicant will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Renewals will require a yearly continuation application based upon availability of funds and programmatic compliance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period, within the five-year project period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. Annual financial reporting is required via the Federal Financial Reporting document. Performance Measures (including outcomes)awardees must report on performance measures for each budget period and update measures at least annually (Annual Performance Report). CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$2,000,000; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

1 award at approximate average award of \$2,000,000.

TAFS Codes:

75-0959.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per Funding Opportunity Announcement CDC-RFA-OT16-1601, and as additionally specified in most current Notice of Award.

Regional or Local Office:

None.

Headquarters Office:

Samuel Taveras 4770 Buford Highway NE, MS: K-90, Atlanta, Georgia 30345
Email: syt2@cdc.gov Phone: 770-488-1523 Fax: 770-488-1600

Website Address:

<http://www.cdc.gov/stltpublichealth>

RELATED PROGRAMS:

93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments; 93.424 NON-ACA/PPHF Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations; 93.524 The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As specified in Funding Opportunity Announce CDC-RFA-OT16-1601, Application Review and Selection Process listed in Section 093 Award Procedure above.

**93.865 CHILD HEALTH AND HUMAN DEVELOPMENT
EXTRAMURAL RESEARCH**

Child Health and Human Development

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 448 and 487, as amended, Public Laws 78-410 and 99-158, as amended, 42 U.S.C. 241; 42 U.S.C. 285g; 42 U.S.C. 288; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564, Public Law 115-31.

OBJECTIVES:

To conduct and support laboratory research, clinical trials, and studies with people that explore health processes. NICHD researchers examine growth and development, biologic and reproductive functions, behavior patterns, and population dynamics to protect and maintain the health of all people. To examine the impact of disabilities, diseases, and defects on the lives of individuals. With this information, the NICHD hopes to restore, increase, and maximize the capabilities of people affected by disease and injury. To sponsor training programs for scientists, doctors, and researchers to ensure that NICHD research can continue. By training these professionals in the latest research methods and technologies, the NICHD will be able to conduct its research and make health research progress until all children, adults, families, and populations enjoy good health.

The mission of the NICHD is to ensure that every person is born healthy and wanted, that women suffer no harmful effects from reproductive processes, and that all children have the chance to achieve their full potential for healthy and productive lives, free from disease or disability, and to ensure the health, productivity, independence, and well-being of all people through optimal rehabilitation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grantee agrees to administer the grant in accordance with the regulations and policies governing the research grant programs of the Public Health Service as stated in the terms and conditions on the application for the grant. National Research Service Awards: Awarded to individuals for full-time research training in specified behavioral and biomedical shortage areas. Awardees may utilize some of their time in academic and clinical duties if such work is closely related to their research training. Awards may be made to institutions to enable them to make NRS awards to individuals selected by them. Each individual awardee is obligated upon termination of the award to comply with certain service and payback provisions. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and

which are likely to result in commercial products or processes. STTR Phase I grants (normally of 1- year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

Universities, colleges, medical, dental and nursing schools, schools of public health, laboratories, hospitals, State and local health departments, other public or private institutions, both nonprofit and for-profit, and individuals. National Research Service Award: Support is provided for academic and research training only, in health and health-related areas that are periodically specified by the National Institutes of Health. Individuals with a professional or scientific degree are eligible (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). Predoctoral research training grants to institutions are also supported. Proposed study must result in biomedical or behavioral research training in a specified shortage area and which may offer opportunity to research health scientists, research clinicians, etc., to broaden their scientific background or to extend their potential for research in health-related areas. Applicants must be citizens of the United States or be admitted to the United States for permanent residency; they also must be nominated and sponsored by a public or private institution having staff and facilities suitable to the proposed research training. Domestic nonprofit organizations may apply for the institutional NRS grant. SBIR: SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical or biobehavioral research.

Credentials/Documentation:

Applicants should submit electronically via Grants.gov as directed in the relevant NIH Funding Opportunity Announcement. All required forms specified in the application kit are to be completed by the applicant and submitted with the application package. National Research Service Award: Individual Award: The applicant's academic record, research experience, citizenship, and institution sponsorship should be documented in the application. Institutional Award: the applicant organization must show the objectives, methodology, and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for awards, and a detailed budget and justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations, 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. National Research Service Award: Prior to formal application, an individual must arrange for acceptance at a sponsoring institution by a sponsor who will supervise the training. Individuals must be sponsored by a domestic or foreign institution. SBIR/STTR: Same as for grants (above). Applications are submitted electronically via Grants.gov following the general guidance provided at: <http://grants.nih.gov/grants/forms.htm> and the specific instructions for the respective Funding Opportunities Announcement which may be found at:

<http://grants.nih.gov/grants/guide/index.html>

Award Procedure:

Each application receives a dual scientific review by non-NIH scientists. Awards are issued by the Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD). National Research Service Awards: Applications are reviewed for scientific merit by an appropriate study section committee or by an institute review committee. If recommended for approval and a decision is made to make an award, a formal award notice will be sent to the applicant and sponsor. Institutional Awards are issued by the Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD). All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for the available SBIR/STTR set-aside funds on the basis of scientific and technical merit and the commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Contact the headquarters or regional office, as appropriate, for application deadlines, or consult the specific Funding Opportunity Announcement listed in the NIH Guide for Grants and Contracts at: <https://grants.nih.gov/funding/searchGuide/nih-guide-to-grants-and-contracts.cfm>. General guidance about application due dates may be found at: <http://grants.nih.gov/grants/how-to-apply-application-guide/due-dates-and-submission-policies/standard-due-dates.htm>.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 6 to 9 months: National Research Service Awards: From 6 to 9 months. SBIR/STTR: approximately 6 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH web site at: <http://public.csr.nih.gov/ApplicantResources/InitialReviewResultsAppeals/Pages/default.aspx>.

Renewals:

> 180 Days. Renewal applications are accepted, as described in the relevant Funding Opportunity Announcement (FOA) found at: <http://grants.nih.gov/grants/guide/index.html?CFID=50541572&CFTOKEN=7322295&jsessionid=f630f3b44e23db8088b9e5e5224596361271>. National Research Service Awards: awards may be made for 1, 2, or 3 years. No individual may receive NIH fellowship support at the postdoctoral level for more than 3 years. Institutional Awards may be renewed.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are usually made annually with no project period to exceed 5 years in

length. National Research Service Awards: From 1 to 3 years. SBIR: Phase I awards are generally for 6 months; Phase II awards normally may not exceed 2 years. STTR Phase I awards are generally for 1 year; Phase II awards normally may not exceed 2 years. See the following for information on how assistance is awarded/released: Each year, submitted progress reports for awarded grants are reviewed, and if satisfactory progress is demonstrated, a Notice of Grant Award is issued.

Reports:

NIH requires that grantees periodically submit financial and progress reports. Other required reports may include annual invention utilization reports, lobbying disclosures, conflict of interest reports, audit reports, reports to the appropriate payment points (in accordance with instructions received from the payment office), and specialized programmatic reports. Grantees also are expected to publish the results of research in peer-reviewed journals and to provide information to the public on the objectives, methodology, and findings of their NIH-supported research activities, as specified in Administrative Requirements Availability of Research Results: Publications, Intellectual Property Rights, and Sharing Research Resources.

The GMO is the official receipt point for most required reports. However, NIH has centralized the submission of annual progress reports; details are provided below. In addition, electronic submission through the eRA Commons is required for some annual progress reports and available for all closeout documents (final grant progress reports, final invention statements and certifications, and final financial status reports). When a paper non-competing continuation progress report is submitted, only a signed original is required; no copies are required. Submission of these reports to an address other than the centralized one may result in delays in processing of the non-competing continuation award or the submission being considered delinquent. FFRs must be electronically submitted to OFM (see Financial Reports below) through the eRA Commons eFFR feature unless otherwise indicated in the awards terms and conditions.

Grantees are allowed a specified period of time to submit required financial and final progress reports (see 45 CFR parts 74.51 and 74.52, 92.40 and 92.41, and the discussion in this subsection). Failure to submit complete, accurate, and timely reports may indicate the need for closer monitoring by NIH or may result in possible award delays or enforcement actions, including withholding, removal of certain NIH Standard Terms of Award, or conversion to a reimbursement payment method (also see Administrative Requirements Enforcement Actions). The schedule for submission of the non-competing continuation progress report is discussed in the next subsection. The FFR has a dedicated section to report Federal cash receipts and disbursements. For domestic grantees this information is submitted quarterly directly to the PMS using the web-based tool. Quarterly reports are due 30 days following the end of each calendar quarter. The reporting period for this report continues to be based on the calendar quarter. Questions concerning the requirements for this quarterly financial report should be directed to the PMS.

For awards issued to foreign institutions after October 1, 2012, even though payment is now through PMS, the requirement for quarterly cash reporting does not apply. These awards are now administered in PMS using subaccounts and payments will be specific to each grant at the time the grantee draws funds. Progress reports usually are required annually as part of the non-competing continuation award process. NIH may require these reports more frequently. The Non-Competing Continuation Progress Report (PHS 2590) or equivalent documentation (e.g., Research Performance Progress Report [RPPR]) must be submitted to, and approved by, NIH to non-competitively fund each additional budget period within a previously approved project period (competitive segment). Except for awards subject to SNAP, the progress report includes an updated budget in addition to other required information.

NIH continues to transition to using the RPPR for progress reporting. The RPPR is a federal wide format for the submission of required annual or other interim performance reporting on grant and cooperative agreement awards. The transition to RPPR will be implemented in phases through a module in the eRA Commons. The RPPR is now required for all SNAP and fellowship awards. The PHS 2590 non-competing continuation progress report is currently required for

all other NIH awards; however, the RPPR will eventually replace the PHS 2590 paper progress report. Reports of expenditures are required as documentation of the financial status of grants according to the official accounting records of the grantee organization. NIH requires all financial expenditure reports to be submitted using the Federal Financial Report (FFR) system located in the eRA Commons. This includes all initial FFRs being prepared for submission and any revised FSR/FFRs being submitted or re-submitted to NIH. The eRA Commons Federal Financial Report (FFR) system allows participants to view information on currently due and late expenditure reports and to submit these reports electronically to NIH. Paper expenditure reports are not accepted. Expenditure data submitted to NIH is initially reviewed and accepted by OFM. NIH IC grants management staff also review these expenditure reports.

Except for awards under SNAP and awards that require more frequent reporting, the FFR is required on an annual basis. An annual FFR is required for awards to foreign organizations made prior to October 1, 2012 and Federal institutions made prior to October 1, 2013, whether or not they are under SNAP. When required on an annual basis, the report must be submitted for each budget period no later than 90 days after the end of the calendar quarter in which the budget period ended. The reporting period for an annual FFR will be that of the budget period for the particular grant; however, the actual submission date is based on the calendar quarter. Failure to submit timely reports may affect future funding. The report also must cover any authorized extension in time of the budget period. If more frequent reporting is required, the NoA will specify both the frequency and due date.

In lieu of the annual FFR expenditure data, NIH will monitor the financial aspects of grants under SNAP by using the information submitted directly to PMS. The GMO may review the report for patterns of cash expenditures, including accelerated or delayed drawdowns, and to assess whether performance or financial management problems exist. For these SNAP awards, FFR expenditure data is required only at the end of a competitive segment. It must be submitted within 90 days after the end of the competitive segment and must report on the cumulative support awarded for the entire segment. An FFR must be submitted at this time whether or not a competing continuation award is made. If no further award is made, this report will serve as the final FFR. The grantee institution is required to submit a progress report on an annual basis for each grant award, which includes monitoring of performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0844-0-1-552 - DHHS/NIH/ Eunice Kennedy Shriver National Institute.

Obligations:

(Project Grants) FY 16 \$945,498,160; FY 17 est \$975,664,000; and FY 18 est \$704,848,000 - For FY 2016, of the \$945,498,160 for Total Research Grants, \$36,694,352 was for SBIR/STTR activities and awards. For FY 2017, of the estimated \$975,664,000 for Total Research Grants, \$39,821,000 is planned for SBIR/STTR activities and awards. For FY 2018, of the estimated \$704,848,000 for Total Research Grants, \$27,759,000 is planned for SBIR/STTR activities and awards.

Range and Average of Financial Assistance:

For research project grants, fiscal year 2017, range is \$50,000 to \$5,000,000; average is \$474,583. Individual research fellowship awards: Basic stipend (first year beyond the doctoral degree) of approximately \$45,000. The sponsoring institution will be provided, on application, with an allowance of up to

approximately \$8,000 per year to help defray the cost of training. No dependency allowances. SBIR: Average Phase I awards are for approximately \$225,000 (grant activity R43 - for up to six months); Phase II awards may be made for amounts up to \$1,500,000 (grant activity R44 - for up to two years).

TAFS Codes:

75-0844.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2016: Fiscal year 2016 competing and noncompeting research project grants actuals were 1,551. Of this number, 87 were SBIR/ STTR awards. A total of 50 research centers were awarded. 450 other research grants were also awarded. There were institutional training grants to support 476 trainees that were awarded in fiscal year 2016. The individual training awards were 109 for fiscal year 2016. Fiscal Year 2017: Budget Estimate: Fiscal year 2017 competing and noncompeting research project grants estimates are 1,589. Of this number, 80 are expected to be SBIR/ STTR awards. Approximately 51 research centers will be awarded. A planned 417 other research grants are anticipated to be awarded. There are projected institutional training grants to support 482 trainees that are planned to be awarded in fiscal year 2017. The individual training award are projected for fiscal year 2017 to be 142. Fiscal Year 2018: Budget Estimate: Fiscal year 2018 competing and noncompeting research project grants estimates are 1,247. Of this number, 65 are expected to be SBIR/ STTR awards. Approximately 51 research centers will be awarded. A planned 281 other research grants are anticipated to be awarded. There are projected institutional training grants to support 370 trainees that are planned to be awarded in fiscal year 2018. The individual training award are projected for fiscal year 2018 to be 100.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; NIH Grants Policy Statement, (Rev.) March 1, 2001, available on the NIH website at http://grants.nih.gov/grants/policy/nihgps_2001/; NIH Guide to Grants and Contracts, available on the NIH website at <http://grants.nih.gov/grants/guide/>. Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Eugene G. Hayunga, 6710-B Rockledge Drive, Room 2216, Bethesda, Maryland 20892-7510 Email: ehayunga@mail.nih.gov Phone: (301) 435-6856.

Website Address:

<http://www.nichd.nih.gov/Pages/index.aspx>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD) supports a broad research portfolio uniquely focused on human health and development, from before birth through adulthood. In scientific domains encompassing developmental biology, reproductive health, pediatrics, population sciences, and medical rehabilitation, NICHD supports research that helps us better understand how health and disease develop over time, to prevent, reduce and treat illness and disability in current and future generations.

The lifelong process of human development is shaped by an array of complex physiological processes that interact with behavioral, social, and environmental factors. Fundamental scientific knowledge about how these processes work may not yield commercially-viable new treatments quickly or at all. Yet fundamental research is the foundation of medical progress. Basic research helps identify crucial points in the disease process for treatment or prevention; reveals new compounds for testing as candidate therapies; pinpoints previously unsuspected risk factors for multiple conditions; and generates new approaches to prevention and cure.

NICHDS support for fundamental science emphasizes technologies and tools to understand both normal development and disease, as well as creating new ways to measure progress in improving health. NICHD actively promotes data sharing and supports publicly-available databases and biobanks to help ensure that relevant materials are available for translational research in maternal, pediatric, and reproductive health. NICHDS continuing efforts to understand the crucial role of the human placenta has already begun to provide an unprecedented wealth of information on how placental function affects maternal and fetal health. NICHD also continues to prioritize continuing investment in basic and translational research related to structural birth defects, which are a major cause of infant death in the United States.

Populations of primary interest to NICHD -- children, pregnant women, and persons with physical and intellectual disabilities have too often and for too long been prescribed drugs, devices, and other treatments that were designed for and tested in groups from which they differ physiologically. Parents, pregnant women, individuals with disabilities, and their health care providers are often put in an extremely difficult position in which they have little or no information to assess whether the treatment is more dangerous than the disease. NICHD supports clinical research that specifically focuses on these populations, in the real-world settings where they receive treatment including neonatal and pediatric intensive care units; fertility, rehabilitation, and HIV clinics; day care and schools; and physicians offices. For example, the neonatal intensive care units across the United States that form NICHDS Neonatal Research Network are currently conducting clinical trials on potential treatments for neonatal sepsis, necrotizing enterocolitis, and anemia to help the smallest and most vulnerable infants. The Zika in Infants and Pregnancy (ZIP) study intends to enroll 10,000 pregnant women in areas where Zika virus is prevalent, including Puerto Rico, Brazil, and Colombia. Continuing this line of research will allow scientists to obtain data on the developmental effects of the virus on children, including understanding the relationship between timing of exposure and development of microcephaly. This will help clinicians, parents, and educators to better support children affected by prenatal exposure to Zika.

Fundamental biomedical and behavioral science is essential to prevention and health promotion. Recent scientific findings show that pregnancy is a stress test that indicates a higher risk for more serious problems as women age. For example, NICHD-supported research has shown that women with pregnancy complications such as preeclampsia and gestational diabetes have a higher risk of developing heart disease and type 2 diabetes later in life. NICHD research has also demonstrated that lifestyle factors such as nutrition and exercise can mitigate these risks.

Physical activity is a key component to maintaining physical health and decreasing secondary health conditions throughout life. However, individuals with disability experience a number of physical, social, and environmental barriers that make it more difficult for them to participate in physical activity. NICHDS National Center for Medical Rehabilitation Research (NCMRR) studies interventions that can promote, monitor, and sustain physical activity programs for people with disabilities in real-world settings (e.g., home, community, workplace, and school). This includes the use of engineering and social-science approaches to understand the effect of environmental factors, monitor individual participation, and promote overall health and independence.

NICHD continues to prioritize funding for new and early-stage investigators, to ensure that there is a pipeline of scientists and clinicians who are focused on the health problems of the communities NICHD serves, and to make certain that scientific progress continues to the next generation. Over the past two years NICHD has convened a Training Task Force that has examined outcomes for a variety of different training pathways. NICHD will use the evidence gathered from the Task Forces analysis to preferentially fund mechanisms that require individuals, rather than institutions, to apply for training opportunities.

The broad scientific opportunities at NICHD come with the recognition that thoughtful prioritization and careful stewardship are essential. NICHD has implemented data-driven approaches to portfolio analysis, program evaluation, and performance assessment. This will help the Institute make strategic funding

choices, focusing on areas that hold the most promise to improve the health of children, pregnant women, individuals with disabilities, families, and communities. Through a visioning process that has occurred over the past several years, NICHD has identified scientific research priorities that have been shared with the research community and the public. NICHD will use these priorities to identify key areas for continued support. Fiscal Year 2017: Child Health: Child health research ranges from basic scientific investigations of biological processes that control healthy and atypical development to clinical studies in pediatric pharmacology, pediatric HIV and associated infections, nutrition science, pediatric endocrinology, pediatric trauma and critical illness, and other aspects of pediatric medicine. NICHDS strong fundamental science portfolio in developmental biology has paved the way for efforts to understand and ultimately prevent structural and functional birth defects, a leading cause of death and disabilities in children. Through intramural and extramural programs, NICHD supports collaborative teams of basic and clinical scientists studying the developmental biology, epidemiology, and genetics of structural birth defects. Scientists also are utilizing and expanding the genomic sequencing data developed under the Common Funds Gabriella Miller Kids First Pediatric Research Program, with one of its two focal areas on structural birth defects.

Because children differ physiologically from adults, medications developed and tested in adults often work differently in children. In some cases, childrens bodies clear medications more quickly, which may mean that effective doses need to be higher or given more often than the doses suggested by adult health care guidelines. In other cases, a proportionally lower dose may be effective in children and reduce the potential for damaging side effects. Lacking specific information on the safety and effectiveness of drugs in children, yet needing to treat the child, physicians often prescribe medications off label that is, they prescribe medications that have been approved and labeled for use in adults but not in children. NICHD is working to expand the limited existing scientific knowledge base on the safety, efficacy, and appropriate doses of pharmaceuticals for children. For example, NICHD has launched a study to assess the risks and benefits of two drugs (risperidone and aripiprazole), which are commonly used to treat schizophrenia and bipolar disorder in children and adolescents, but are not labeled for pediatric use. Recently, researchers tested the efficacy and safety of drugs used for gastric reflux in low birth weight infants. Histamine-2 receptor blockers (brand names include Zantac and Pepcid) have been shown to be safe and effective against gastric reflux in adults and older children. Because of concerns about the adverse effects of gastric reflux, which exposes the esophagus to stomach acids, the drugs also have been commonly used in very low birth weight infants, although the drugs efficacy and safety have not been tested specifically in these most fragile babies. Many of these infants are susceptible to necrotizing enterocolitis, an infection-triggered intestinal inflammation, as well as sepsis, an overwhelming, systemic inflammatory reaction to infection. Both conditions are difficult to prevent or treat and can swiftly prove fatal. The research showed that use of the histamine-2 receptor blockers in very low birth weight infants was associated with a significantly increased risk of death, necrotizing enterocolitis, or sepsis. This finding supports efforts to minimize exposure to these drugs in low birth weight infants, thus improving their care.

Children and adolescents with HIV, or who were exposed to HIV in the womb, are surviving and living full lives in greater numbers than ever before. However, research supported by NICHD shows that both HIV and the drugs used to treat it can cause long-term health issues. For example, NICHD-supported scientists compared two antiretroviral drug regimens in South African children with HIV. They found that one of the drugs (efavirenz) was associated with less risk to bone formation than the other (ritonavir-boosted lopinavir or LPV/r). Adults with HIV are known to be at elevated risk of osteoporosis and bone fractures, apparently due to the effects of HIV, inflammatory proteins (cytokines), and antiretroviral therapy on bone cells and bone turnover. Any interference with bone formation in childhood and adolescence is of concern, because 85 to 90 percent of adult peak bone mass is achieved during this period, and shortfalls set the stage for osteoporosis and fractures in adulthood. The LPV/r regimen, recommended by the World Health Organization, is widely used for children with HIV. The results of this study suggest limiting LPV/r exposure in HIV positive children once viral suppression has been achieved, and using other medications to keep HIV in

check.

For children affected by trauma or critical illness, evidence-based emergency and critical care can be lifesaving. NICHDs Collaborative Pediatric Critical Care Research Network (CPCCRN), including seven pediatric intensive care units across the United States, aims to improve care for critically ill and injured children. For example, one of CPCCRN's current studies is assessing the drug Granulocyte Macrophage Colony Stimulating factor (GM-CSF) for use in severely injured children. Already FDA-approved for use in children with blood disorders, GM-CSF helps to improve immune function. Because injured children in the pediatric intensive care unit are especially vulnerable to infection, the researchers aim to establish the optimal dose of this medication that will help improve immune function for children with severe traumatic injury. Ultimately, scientists hope GM-CSF can reduce the risk of serious infection in these children. Another research team seeks to learn more about developing new approaches to lessen the effects of severe burns over a large proportion of a child's body. In addition to the initial skin damage, serious burns can have long-term effects of multi-organ dysfunction, degradation of muscle protein, insulin resistance (pre-diabetes), and increased risk for infection. The scientists found that even two years after a burn, mitochondrial (energy-producing structures within the muscle cells) function was altered, compared with healthy mitochondria. The researchers hope that understanding the role of mitochondrial alterations could help pave the way for interventions to address long-term effects of severe burns.

Rehabilitation: Through the National Center for Medical Rehabilitation Research (NCMRR), NICHD fosters research and research training to enhance the health, productivity, independence, and quality of life of people with disabilities. With a dedicated budget, this program supports a broad range of research, including efforts to understand the underlying biology of injury and disability, and the body's mechanisms of recovery and adaptation.

Rehabilitation research investments are guided by the comprehensive five-year NIH Research Plan on Rehabilitation, developed in 2016. The plan was developed under the leadership of NCMRR in collaboration with stakeholders across the NIH and other Federal agencies, as well as researchers and representatives of individuals with disabilities and practitioners. The plan identifies six priority areas and details research plans and opportunities in each of them:

Rehabilitation across the lifespan: Models of rehabilitation may require different approaches or considerations at different stages in life. For example, play-based rehabilitation approaches can help young children build muscle strength and learn new skills, while adaptive physical exercise programs can assist seniors in maintaining their motor functions.

Family and community: Recovery may start in a hospital, but outpatient services like speech or physical therapy and mental health services are key to successful daily and long-term functioning. Caregivers play an essential but understudied role in rehabilitation management and improving quality of life for people with disabilities.

Technology use and development: Technology has played a significant role in research and clinical applications in rehabilitation science, including assistive technology, orthotics, prosthetics, and others. Computational science has also played a significant role, especially in providing advanced algorithms for device control and increased use of modeling and simulation. Interdisciplinary collaboration will continue to be essential to harnessing technology to improve rehabilitation services.

Research design and methodology: Improved research approaches are needed to address challenges in rehabilitation research, such as generating consistent clinical data from individuals with a variety of underlying conditions.

Translational science: Rehabilitation research is poised to capitalize on advances in fundamental science. Genomic and other cell-based, process-level contributors to plasticity and healing are now better understood, potentially allowing precision medicine approaches to be used in rehabilitation research.

Building research capacity and infrastructure: To expand the potential of rehabilitation research, NIH will continue its support for training the next generation of rehabilitation scientists, and supporting interdisciplinary collaboration through courses, consultation, and collaboration.

NCMRR places a special emphasis on translational research to apply gains in

fundamental science to creating real-world interventions that can help people with disabilities where they live and work. In particular, NCMRR-supported researchers study the body's self-repair mechanisms so they can understand and potentially harness those mechanisms. In a recent study, scientists found that people with cerebral palsy have muscle weakness that is not related to the oxygen-fueled processes within mitochondria, the specialized structures within cells that produce the energy that cells and tissues need to function. This may open other avenues to understanding potential causes and treatments for cerebral palsy.

The rehabilitation programs activities include a special emphasis on research related to rehabilitation for stroke, spinal cord injury, and traumatic brain injury (TBI), frequently in collaboration with other NIH ICs and outside organizations. The long-term effects of TBIs are not well understood, since most studies are limited to irregular observations of TBI patients during a short period of time. Researchers analyzed years of annual cognitive testing on over 7,000 participants in three longitudinal studies, with a subset consenting to autopsy. The scientists found that people who had experienced TBI with loss of consciousness had a higher risk for symptoms of Parkinson's disease and related conditions.

NCMRR takes a collaborative approach to rehabilitation science, working with other NIH institutes, federal agencies, the business community, advocates, and other stakeholders. In a project supported by NCMRR and NINDS, scientists tested a new imaging technique that may one day permit diagnosis of the progressive brain disorder known as chronic traumatic encephalopathy (CTE) while a patient is still alive. CTE is most commonly associated with repetitive blows to the head (concussions, TBI), such as those that occur in football, boxing, and hockey. Currently, the only way to diagnose CTE definitively is examination of postmortem brain tissue, for distinctive deposits of the tau protein and other abnormalities that characterize the neurodegeneration of CTE. The new technique uses a type of imaging known as positron emission tomography (PET) with a novel ligand a radioactive substance that localizes to the proteins of interest, which enabled the PET scans to produce visual images of tau abnormalities in the brain. When the scientists tried the technique with a retired professional football player with early CTE symptoms and a history of 22 concussions, they found a ligand pattern that suggested extensive abnormal tau deposits. Though further development and testing of the technique is needed, it may one day inform decisions for patients still functioning at relatively high levels despite CTE symptoms about continuing or halting high risk activities. The technique could also help to evaluate therapies to interrupt CTE progression. Fiscal Year 2018: Intellectual and Developmental Disabilities: The program in intellectual and developmental disabilities (IDD) supports basic, translational, and clinical research and research training to advance knowledge of origins of common and rare disorders such as Down syndrome (DS), Fragile X syndrome, Rett syndrome, inborn errors of metabolism, and autism spectrum disorders (ASD). Evidence shows that early detection of conditions that impair a child's intellectual development, and beginning therapy as soon as possible may increase the likelihood of better outcomes. Accordingly, IDD research encompasses studies of newborn screening, as well as the earliest diagnosis, treatment, and management of IDDs and other conditions. The IDD program also supports research to understand the complex processes through which these disorders compromise cognitive, emotional, social, and physical development in infants and children and throughout the lifespan. In searching for the origins of ASD, for example, NICHD-supported researchers recently found that activation of maternal immune responses midway through a pregnancy may increase the risk of both ASD and intellectual disability (ID) in a child.

For years, many scientists believed that IDs were permanent and that it was not feasible to improve cognitive function. Recent animal research suggests otherwise, showing that it may be possible to develop medications to improve brain function and learning in people with some types of ID. With NICHD support, scientists are taking a critical step to develop ways to measure cognitive changes in people with ID over time. Using NIH-developed cognitive tests originally tested in the general population, researchers conducted a pilot study with people of varying ages with Fragile X syndrome, DS, autism, and other causes of ID. They discovered that the tests could be used to measure important functions like working memory, processing speed, and vocabulary in

individuals with IDs, and to track these changes over time. With these tools, researchers will be better able to assess both clinical and behavioral interventions to improve or maintain cognitive function in individuals with IDs.

Another core component of the IDD program focuses on understanding, describing, and managing comorbid conditions in individuals with ID. For example, now that people with DS are living far longer than they were 50 years ago, it has become apparent that middle-aged adults with DS have a much higher risk than the general population of developing Alzheimer's disease. Both conditions are characterized by neurodegeneration, the breakdown of proteins and other structures of the brain. In a recent comparison of postmortem brain tissues from individuals with DS, DS and Alzheimers, and those with healthy brains, researchers focused on a specific process (ubiquitinylation) in the protein breakdown. They found patterns in this process that varied in the atypical tissue by diagnosis and by age. The patterns suggested inefficiencies in the process that can result in a buildup of damaged proteins, which may contribute to Alzheimers symptoms. Further study of the process could lead to insights on how to track or treat neurodegenerative disorders, which in turn may also have relevance for the general population of aging adults.

Research that can assist clinicians and others in helping families keep children with ID healthy and safe is an important area of IDD research. Results from a recent analysis of national emergency department data on pediatric injury-related visits found significantly higher rates of injuries in youth with ID, especially those with ASD. The investigators stressed the need for developing prevention and monitoring programs to keep youth with ASD or ID safe in their communities. Additionally, individuals with DS are known to experience sleep problems, including obstructive sleep apnea and/or behavioral sleep problems, such as waking up for long periods at night and needing parental attention. Testing for sleep problems and follow-up treatment if needed is recommended for all young children with DS. However, NICHD-supported researchers analyzed electronic health records at a large specialized children's hospital, and found that fewer than half of young patients with DS had undergone the recommended diagnostic testing. These findings emphasize the importance of screening for sleep problems in children with DS, referring children with sleep problems to appropriate specialists, and educating families about the importance of diagnosing and treating any sleep problems.

Demography and Behavior: The program in demography and behavior supports research and research training in the characteristics and dynamics of populations and subpopulations, to increase understanding of the causes and consequences of population structure and change in such areas as fertility, family demography and functioning, urbanization, migration, and subsequent implications for behavioral and social influences on health. Researchers supported by this program analyze how demographic factors relate to health and health behavior. One team of researchers used data from a nationally representative survey of over 30,000 adolescents to assess prescription opioid misuse in adolescents aged 12-17 years. An estimated 6.8 percent of rural teens and 5.3 percent of teens in large urban areas reported misusing opioids in the previous year. Rural adolescents perceived substance use to be less risky, and were more likely to smoke and engage in binge drinking but were less likely to use marijuana. Regardless of whether they lived in a rural or urban area, teens that misused opioids most commonly reported friends or family as the source of the drug. Rural teens were more likely than urban teens to report having received opioids from a physician or dealer. These results may help public health officials improve programs to decrease opioid misuse, particularly in rural areas.

The demography and behavior program encompasses research in a wide range of developmental science areas, from trajectories of typical cognitive, affective, and social development to studies of language, attention, reasoning, problem-solving and multiple mechanisms underlying typical learning and learning disabilities. The NICHD's Learning Disabilities Research Centers support research to identify genetic and neurobiological characteristics of children, adolescents, and adults with learning disabilities; develop and validate classification systems for learning disabilities; expand knowledge about ways to improve comprehension for individuals who struggle with reading; assess the

impact of ADHD on reading; and investigate the relationship between executive function skills and learning. Complementing the Centers, the NICHD's Learning Disabilities Innovation Hubs focus on understudied research topics that address the causes, symptoms, and treatments of learning disabilities that impact reading, writing, and mathematics.

Recently, NICHD researchers showed that children who do not know how to read can still recognize that written words symbolize meanings differently than pictures do. This is an important developmental aspect in learning how to read; though this concept can appear fundamental to literate adults, it shows that children at a very early age already know more than previously thought. Researchers presented more than 100 preschool children (aged three- to five-years-old) with a drawing or a written word, said what the word or drawing portrayed, then had a puppet illustrate the same or a different word, and asked the children whether the puppet knew what the word or drawing was. For example, if the puppet indicated that the word dog was a baby or a puppy, then many children said that the puppet was wrong. However, many children were more likely to accept synonyms for the pictures, showing that the written words had more specific meanings than pictures. By using a novel, straightforward task that did not require the children to write, the researchers demonstrated that children possess some of the most basic conceptual knowledge of the symbolic nature of printed words before they can actually read.

Finally, the research interests of the demography and behavior program also include studies that identify the relationships among behavior, prevention, and risks to health. For example, NICHD supported a study to obtain objective data on the frequency of risk factors in the infant night-time sleep environment associated with Sudden Infant Death Syndrome (SIDS) and sleep-related infant deaths, such as accidental suffocation, entrapment, and strangulation in bed. The researchers recruited healthy newborns and their parents, and then videotaped the infants sleeping in their homes for one night at one month, three months, and six months of age. The researchers analyzed the videos, finding that even when parents are aware they are being recorded, they often place their infants in unsafe sleep environments, including non-recommended sleep surfaces, placing infants on their sides or stomachs to sleep, or allowing loose items on the sleep surface such as bedding, bumper pads, pillows, and stuffed animals. The results indicate that still more parent education is needed, particularly regarding safe sleep environments.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of the significance of the proposed research; approach; innovation; investigators; and environment. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.866 AGING RESEARCH

(Aging)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Small Business Research and Development Enhancement Act of 1992, Public Law 102-564; Public Health Service Act, Title IV, Part C, Section 444,445,445 A-F, Public Law 99-158, 42 U.S.C 285; Public Health Service Act, Title III, Part A, Section 301, Public Law 78-410, 42 U.S.C 241; Public Health Service Act, Title IV, Part F, Section 487, Public Law 100-607, 42 U.S.C 288; National Institutes Reform Act of 2006, Title IV, Part F, Section 487, Public Law 109-482, 120 Stat. 3675.

OBJECTIVES:

To encourage biomedical, social, and behavioral research and research training directed toward greater understanding of the aging process and the diseases, special problems, and needs of people as they age. The National Institute on Aging has established programs to pursue these goals. The Division of Aging Biology emphasizes understanding the basic biological processes of aging. The Division of Geriatrics and Clinical Gerontology supports research to improve the abilities of health care practitioners to respond to the diseases and other clinical problems of older people. The Division of Behavioral and Social Research supports research that will lead to greater understanding of the social, cultural, economic and psychological factors that affect both the process of growing old and the place of older people in society. The Division of Neuroscience fosters research concerned with the age-related changes in the nervous system as well as the related sensory, perceptual, and cognitive processes associated with aging and has a special emphasis on Alzheimer's disease. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Research grants are intended to support the direct costs of a project in accordance with an approved budget, plus an appropriate amount for facilities and administrative costs. Grantees must agree to administer the grant in accordance with the regulations and policies governing the research grants program of the Public Health Service. National Research Service Awards (NRSA) (Individual) are made directly to approved applicants for research training in specified biomedical shortage areas. In addition, National Research Service Awards (Institutional) may be made to institutions to enable them to make NRSA to individuals selected by them. Each individual who receives a postdoctoral NRSA is obligated, upon termination of the award, to comply with certain service and payback provisions. Regulations are published in the Code of Federal Regulations and 42 CFR, Part 66. SBIR Phase I grants (of approximately 6 months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1- year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

Grants: Universities, colleges, medical, dental and nursing schools, schools of public health, laboratories, hospitals, State and local health departments, other public or private institutions (both for-profit and nonprofit), and individuals.

National Research Service Award: Individual NRSA may be made for postdoctoral training to applicants who hold a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree) or for predoctoral training to applicants registered for doctoral research training. Institutional NRSA may be made for both predoctoral and postdoctoral research training. Predoctoral awardees must have a baccalaureate degree. Applicants must be citizens of the United States or admitted for permanent residency. Individual NRSA awardees must be nominated and sponsored by a public or private nonprofit institution having staff and facilities suitable to the proposed research training. Nonprofit domestic organizations may apply for the Institutional NRSA. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application other than a fellowship must be assessed for scientific merit by a scientific review group and receive approval from a national advisory council. Individual funding opportunity announcements published in the NIH Guide provide more detail on eligibility.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research. Students pursuing doctoral research training.

Credentials/Documentation:

The grants application process at NIA and NIH has now completed the transition to electronic submission. Details on registration can be found at: <http://grants.nih.gov/grants/ElectronicReceipt/index.htm>. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Consultation from institute staff is available for all grant programs. Applicants are encouraged to visit the Internet Web sites of the 27 institutes and centers at the NIH to obtain contact information for program staff. Applicants for multi-project awards are strongly encouraged to consult program staff before applying. Applicants may obtain forms and instructions for application preparation and submission at the following Internet Web site: <http://grants.nih.gov/grants/forms.htm> When completed these applications should be submitted electronically. See: <http://grants.nih.gov/grants/ElectronicReceipt/index.htm>. Applications are reviewed for scientific merit, evaluation of applicant qualifications, adequacy of the research environment, and significance of the proposed problem to be studied. The application form and instructions for the preparation and submission of applications to the National Research Service Awards Research Training Grants and Fellowships (NRSA) Program, PHS Form 416-1, can be obtained from the following URL (<http://grants.nih.gov/grants/funding/416/phs416.htm>). Prior to making formal application to the NRSA programs, individual NRSA applicants must be nominated and sponsored by a Federal, public or nonprofit institution having staff and facilities appropriate to the proposed research training program. Information concerning current areas of science being supported by those institutes participating in the NRSA Program at the NIH is available on the individual institute Web sites. A complete list of institutes at NIH is available at: <http://www.nih.gov/icd>. In addition, information about each institute's NRSA research topics and information about the NRSA Program can be

obtained from the Division of Extramural Outreach and Information Resources, (see address above). The NRSA program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. The annual NIH Small Business Innovation Research (SBIR)-Small Business Technology Transfer (STTR) Omnibus Solicitation and SBIR-STTR Contract Solicitation may be obtained electronically by accessing the following URL: <http://grants1.nih.gov/grants/funding/sbir.htm>. The solicitations include application preparation and submission guidelines and instructions, information about review considerations, and Internet hyperlinks to the SBIR-STTR application and/or contract proposal forms. Applications to NIH's SBIR-STTR Programs must be submitted electronically through the U.S. Federal Government's Internet Web site, Grants.gov: www.grants.gov. Submission of SBIR-STTR applications through Grants.gov requires pre-registration, which typically takes 2-4 weeks to complete. Information about registration to submit applications through Grants.gov can be found at: <http://era.nih.gov/ElectronicReceipt/preparing.htm>. Applicants are encouraged to read and refer to the extensive information on electronic submission of grant applications on the NIH Electronic Submission of Grants Applications Internet Web site at: <http://era.nih.gov/ElectronicReceipt>.

Award Procedure:

Grants and Institutional NRSA: Each application receives an initial scientific review by non-NIH scientists and a secondary review by the National Advisory Council on Aging. Individual NRSA do not receive a secondary review by the National Advisory Council on Aging. Awards are issued by the NIA to the grantee institution. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants: From 6 to 9 months. National Research Service Awards: From 6 to 9 months. SBIR/STTR: About 7-1/2 months.

Appeals:

From 30 to 60 days. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A formal appeal requires the signature of the appropriate institutional official.

Renewals:

> 180 Days. Grants: Renewal applications are accepted for most programs. Most individual NIH postdoctoral fellowship support is for no more than 3 years. Most individual predoctoral support is for no more than five years. Exploratory/developmental grant awards and Small Grant awards may not be renewed.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. None.
This program does not have MOE requirements. None.

Length and Time Phasing of Assistance:

Grant Awards are usually made annually and usually with project periods not to exceed 5 years in length. National Research Service Awards: Institutional awards may be made for up to 5 years, and individual awards may be made for as many as 3 years. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Grants: Annual progress reports and reports of expenditures are required. National Research Service Awards: Institutional awards require that a statement of appointment for each trainee selected by the Program Director be submitted to the NIA for each year of training. Reports are required after termination of the awards to

ascertain compliance with the service and payback provisions for each institutionally selected trainee. Individual awards require reports upon award expiration to determine compliance with the service and payback provisions. Grants: Annual progress reports and reports of expenditures are required. National Research Service Awards: Institutional awards require that a statement of appointment for each trainee selected by the Program Director be submitted to the NIA for each year of training. Reports are required after termination of the awards to ascertain compliance with the service and payback provisions for each institutionally selected trainee. Individual awards require reports upon award expiration to determine compliance with the service and payback provisions. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of OMB Circular No. A-133. In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel records for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0843-0-1-552.

Obligations:

(Project Grants) FY 16 \$1,596,005,000; FY 17 est \$1,995,000,000; and FY 18 est \$1,303,541,000 - Amounts shown are actual/estimated amounts available for research grants including SBIR/STTR, centers, research career awards, research project grants, and cooperative agreements. Amounts for research training are not included. (Project Grants (Capacity Building and Complaint

Processing, Training)) FY 16 \$27,838,000; FY 17 est \$32,000,000; and FY 18 est \$25,000,000 - Amounts shown are for individual and institutional research training awards.

Range and Average of Financial Assistance:

Awards vary in range depending on the particular activity codes. Individual fellowships range from \$23,376 to \$57,504 and average about \$46,000. Research grants have much larger ranges - from \$75,000 to several million dollars. Average costs of research grants are around \$400,000. All costs are shown on a single year basis. Awards may be for up to five years.

TAFS Codes:

75-0843.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Robin A. Barr, Division of Extramural Activities, National Institute on Aging, 7201 Wisconsin Avenue, Room 2C218, Bethesda, Maryland 20892 Email: rb42h@nih.gov Phone: (301) 402-7715 Fax: (301) 402-2945.

Website Address:

<http://www.nia.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: Examples may be selected by accessing the NIH Reporter site: <http://projectreporter.nih.gov/reporter.cfm> and choosing NIA as the Agency/Institute/Center. Fiscal Year 2018: Examples may be selected by accessing the NIH Reporter site: <http://projectreporter.nih.gov/reporter.cfm> and choosing NIA as the Agency/Institute/Center.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: the scientific merit and general significance of the proposed study and its objectives; the technical adequacy of the experimental design and approach; the competency of the proposed investigator or group to successfully pursue the project; the adequacy of the available and proposed facilities and resources; the necessity of the budget components requested in relation to the proposed project; and the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.867 VISION RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, as amended, Title III, Part A, Section 301; Health Research Extension Act of 1985, Public Law 99-158, 42 U.S.C. 241; Title IV, Part B, Section 405; 42 U.S.C. 284; Part C, Section 455; 42 U.S.C. 285i; Health Omnibus Programs Extension Act of 1988, Section 487(d); Public Law 100-607, 42 U.S.C. 288(d); Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To support eye and vision research projects that address the leading causes of blindness and impaired vision in the U.S. These include retinal diseases; corneal diseases; cataract; glaucoma and optic neuropathies; strabismus; amblyopia; and low vision and blindness rehabilitation. To increase understanding of the normal development and function of the visual system in order to better prevent, diagnose, and treat sight-threatening conditions; and, to enhance the rehabilitation, training, and quality of life of individuals who are partially-sighted or blind. To support a broad program of basic vision research through grants and cooperative agreements; to encourage high quality clinical research, including clinical trials, other epidemiological studies, and health services research; to encourage research training and career development in the sciences related to vision; and to sponsor scientific workshops in high priority research areas to encourage exchange of information among scientists. Small Business Innovation Research (SBIR) program: To increase private sector commercialization of innovations derived from Federal research and development; to encourage small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research grants and cooperative agreements provide funds for salaries, consultant costs, equipment, supplies, travel, patient costs, alterations and renovations, other expenses, and consortium/contractual costs. The scientists and institutions are under an obligation to expend grant funds prudently for the purposes as stated in the application and award document. The Conference Cooperative Agreement supports scientific meetings and workshops in high priority research areas to encourage exchange of information among scientists. The Center Core Grant is intended to enhance an institution's environment and capability to conduct vision research, to facilitate collaborative studies of the visual system and its disorders, and to attract scientists of diverse disciplines to research on the visual system. Career Development Awards include the Mentored Clinical Scientist Development Award, Mentored Patient-Oriented Research Career Development Award, Institutional Clinical Scientist Development Program, and the NIH Pathway to Independence Award. The Clinical Study Planning Grant is designed to support the development of an applied clinical research plan. NEI Research Grants for Secondary Data Analysis provide limited support for meritorious research projects that involve secondary analysis of research data generated from clinical trials, population research and other applied clinical vision research projects supported by the Institute. Bioengineering Research Grants (BRG) support basic bioengineering research whose outcomes are likely to advance health or health-related vision research. BRGs may propose to apply basic bioengineering design-directed or hypothesis-driven research to an important vision research area. Bioengineering Research Partnership grants support multidisciplinary research teams applying an integrative, systems approach to develop knowledge and/or methods to prevent, detect, diagnose, or treat diseases of the eye and visual system. NEI Translational Research Program on Therapy for Visual Disorders grants provide support to collaborative, multidisciplinary research focused on

the development of novel therapies to restore or prevent the loss of function due to visual diseases and disorders. Small Business Innovation Research Phase I grants are awarded to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for research, usually initiated in Phase I, that is likely to result in commercial products or processes. Small Business Technology Transfer Research, Phase I grants are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research usually initiated in Phase I and scientific and technical merit and commercial potential on Phase II application. National Research Service Awards (NRSA), both individual and institutional, support training in vision research. Some individuals who receive an NRSA may be obligated upon termination of the award to comply with certain service and paycheck provisions. The NIH Extramural Loan Repayment Programs provides paycheck of a portion of eligible student loan debt of qualified health professionals who agree to conduct clinical research.

Applicant Eligibility:

Public/State-controlled institutions of higher education, private institutions of higher education, Hispanic-serving institutions, Historically Black Colleges and Universities, tribally-controlled colleges and universities, Alaska Native- and Native Hawaiian-serving Institutions, nonprofits with or without 501(c)(3) IRS status, Small Businesses, for-profit organizations, federal institutions, and State and local units of government are eligible to make application for research grants, cooperative agreements, and career development awards. Foreign institutions may apply for research grants and cooperative agreements only. The grantee institution must agree to administer the grant in accordance with prevailing regulations and policies. Candidates for the Mentored Clinical Scientist Development and Mentored-Patient Oriented Research Career Awards are restricted to those holding health professional degrees in the clinical sciences (M.D., O.D., D.D.S., D.V.M., or equivalent). Individual and institutional NRSA are provided for predoctoral and postdoctoral research training. Individual postdoctoral NRSA may be made for applicants who hold a professional or scientific degree (M.D., Ph.D., O.D., D.D.S., D.V.M., Sc.D., D.Eng., or equivalent degree). Predoctoral awardees must have completed the baccalaureate degree. All awardees must be citizens of the United States, or have been admitted to the U.S. for permanent residence prior to award. Individual NRSA awardees must be nominated and sponsored by a Federal, public or private nonprofit institution having staff and facilities suitable to the proposed research training. Federal and for-profit organizations, and State and local governments may not apply for an institutional NRSA. Refer to the NIH Program Guidelines on NRSA for further information. The Small Business Innovation grants: SBIRs can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have not more than 500 employees). Primary employment (more than one-half time) of the Principal Investigator must be with the small business at the time of the award and during the conduct of the proposed project. The research during both Phase I and Phase II must be performed in the U.S. or its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. Individuals applying for the NIH Loan Repayment Programs must be engaged in patient oriented research and be trained in a medical subspecialty as defined under Section 206 of Public Law 106-505. These individuals must be U.S. citizens, U.S. citizen nationals, or lawfully admitted for permanent residence in the U.S.; have a student loan debt which equals or exceeds 20 percent of their university compensation; have no Federal judgment lien against their property arising from a Federal debt; and owe no obligation of health professional service to the Federal government, a State, or other entity unless deferrals are granted during the length of their Loan Repayment Program service obligation.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

(1) Research grants and cooperative agreements: Applications for research involving the use of human subjects must include documentation that all key personnel have received training in human subject protection. Applicants may provide additional documentation to establish the scientific and technical merit and the programmatic relevance of the application. (2) Individual NRSA applications: The applicant's academic record, research experience, institutional sponsorship, and the proposed area and plan of training must be included. (3) Institutional NRSA application: The applicant institution must discuss the objectives, methodology and resources for the research training program; the qualifications and experience of staff; the criteria to be used in selecting individuals for an appointment; and a budget and justification for the grant funds requested. Costs will be determined in accordance with the appropriate OMB Circular or with HHS Regulations 45 CFR, Part 75. (4) For SBIR and STTR grants, the small business concern must present a research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Loan Repayment Program applicants must provide certification of loan status, loan data verification, and an institutional supporting statement. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Applicants for core grants, clinical study planning grants, cooperative agreements, small research grants for data analyses, and bioengineering research partnerships should seek preapplication coordination through contact with the National Eye Institute staff. All staff may be contacted at (301) 451-2020. Applicants for planning to submit a research project grant application requesting \$500,000 or more in direct costs for any year are required to discuss their eligibility with the NEI staff, at (301) 451-2020. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Standard application forms, as required by 45 CFR 75 and 92, must be used for this program. Information regarding the application process, access to forms, and information regarding the <http://www.Grants.Gov> site are available on the NEI web site at <http://www.nei.nih.gov>. Prior to making formal application to the NRSA programs, individual NRSA applicants must be nominated and sponsored by a federal, public, or nonprofit institution having staff and facilities appropriate to the proposed research program. NEI specific instructions for preparing applications for Core Grants, Clinical Study Planning Grants, the NEI Translational Program on Therapy for Visual Disorders, and Cooperative Clinical Research Agreements are specified in the Funding Opportunity Announcements, links to which are available at <http://www.nei.nih.gov>. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://www.nih.gov/grants/funding/sbir.htm>.

Award Procedure:

Applications are reviewed initially for scientific and technical merit. A second level review of the programmatic relevance of applications is provided by the National Advisory Eye Council. Final approval is made by the Director, National Eye Institute. Formal award notices are transmitted to the appropriate institutional official.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately nine months for Research Grants, Individual Career Development Awards, Clinical Study Planning Grants, cooperative clinical studies or conferences, Core Grants, and NRSA Institutional applications; from six to seven months for NRSA individual applications; and for SBIR, about eight months.

Appeals:

A principal investigator may communicate to the staff of the National Eye

Institute any concerns regarding the substantive or procedural aspects of the review of an application.

Renewals:

Renewals of research grant or cooperative agreement support are by competitive application and review. Individual Career Development Awards, Clinical Study Planning Grants, NEI Translational Research Program on Therapy for Visual Disorders, and NEI Research Grants for Secondary Data Analysis are non-renewable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to five years for research grants and cooperative agreements, Career Development Awards, Core Grants, individual predoctoral NRSA's, and institutional NRSA's. Up to three years for Academic Research Enhancement Awards and NRSA individual postdoctoral awards. Clinical Study Planning Grants: one year. SBIR: Normally, Phase I awards are for six months; normally, Phase II awards are for two years. STTR: Normally, Phase I awards are for one year; normally, Phase II awards are for two years. Loan Repayment Program Awards are for two years with the opportunity for renewal. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Grant Award (NoGA) will be provided to the applicant organization.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Expenditures and other financial records must be retained for three years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0887-0-1-552.

Obligations:

(Project Grants) FY 16 \$554,745,851; FY 17 est \$550,018,484; and FY 18 est \$416,893,641

Range and Average of Financial Assistance:

Grants and Cooperative Agreements: \$8,446 to \$4,500,000; \$418,830. NRSA (Institutional): \$9,763 to \$492,571; \$45,357. NRSA (Individual): \$11,288 to \$63,794; \$49,665. SBIR Phase I: \$149,265 to \$310,154; \$227,898. SBIR Phase II: \$145,138 to \$1,491,280; \$659,645. STTR Phase I: \$122,403 to \$224,999; \$195,288. STTR Phase II: \$213,638 to \$583,208; \$364,397.

TAFS Codes:

75-0887.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, the program supported 1312 research grants, including 49 SBIR and STTR awards, and 250 NRSA positions. Fiscal Year 2017: In FY 2017, the program anticipates supporting 1,289 research grants, including 51 SBIR and STTR awards, and 250 NRSA positions. Fiscal Year 2018: In FY 2018, the program anticipates supporting 1,052 research grants, including 38 SBIR and STTR awards, and 224 NRSA positions.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 75; 45 CFR 92. PHS Grants Policy Statement DHHS Publication No. (OASH) 94-50,000 (Rev.) April 1, 1994, and Addendum effective February 15, 1995; "NIH Guide for Grants and Contacts and Supplements." National Eye Institute Guidelines are available on the internet at <http://www.nei.nih.gov>. Grants will be available under the authority

of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Paul Sheehy, Ph.D. National Eye Institute, National Institutes of Health, Department of Health and Human Services, Room 1300, 5635 Fishers Lane, Bethesda, MD 20892-9300. Grants Management Officer Contact: Mr. William W. Darby, Chief, Grants Management Branch, National Eye Institute, National Institutes of Health, Department of Health and Human Services, Room 1300, 5635 Fishers Lane, Bethesda, MD 20892-9300. Telephone: (301) 451-2020. Use the same numbers for FTS. The National Eye Institute encourages applicants to visit its internet site at www.nei.nih.gov/. Among the many recent additions to the site is an expanded "Research Funding" section which contains all National Eye Institute application guidelines and internet links to relevant NIH information., Bethesda, Maryland 20892-9300 Email: paul.sheehy@nih.gov Phone: (301) 451-2020 Fax: (301) 402-0528.

Website Address:

<http://www.nei.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in the initial scientific and technical review of most applications include an assessment of significance, approach, innovation, investigator training and experience, and the scientific environment. In addition to these criteria, in accordance with NIH policy, all applications will also be reviewed with respect to the following: the adequacy of plans to include both genders, minorities, and their subgroups as appropriate for the scientific goals of the research; the reasonableness of the proposed budget and duration in relation to the proposed research; and, the adequacy of the proposed protection for humans, animals or the environment, to the extent they may be adversely affected by the project proposed in the application. A second level review of the programmatic relevance of most applications is provided by the National Advisory Eye Council. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.870 MATERNAL, INFANT AND EARLY CHILDHOOD HOME VISITING GRANT PROGRAM

MIECHV Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title V, Section 511 (42 U.S.C. 711).

OBJECTIVES:

The goals of the Maternal, Infant, and Early Childhood Home Visiting Program (MIECHV Program) are to: (1) strengthen and improve the programs and activities carried out under Title V of the Social Security Act; (2) improve coordination of services for at-risk communities; and (3) identify and provide comprehensive services to improve outcomes for eligible families who reside in at-risk communities.

The MIECHV Program was created to support voluntary, evidence-based home visiting services for at-risk pregnant women and parents with young children up to kindergarten entry. The MIECHV Program builds upon decades of scientific research that shows home visits conducted by a nurse, social worker, early childhood educator, or other trained professional during pregnancy and in the first years of a child's life improves the lives of children and families. Home visiting helps prevent child abuse and neglect, supports positive parenting, improves maternal and child health, and promotes child development and school readiness.

The investment provides coordinated and comprehensive high-quality voluntary, evidence-based home visiting services with a national reach. The MIECHV Program plays a crucial role in building high-quality, comprehensive statewide early childhood systems to support pregnant women, parents and caregivers, and children from birth to kindergarten entry and ultimately, to improve health and development outcomes.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Authorizing legislation reserves the majority of funding for the delivery of services through implementation of one or more evidence-based home visiting service delivery models that meet the HHS criteria of evidence of effectiveness with fidelity to the model selected. Per legislation, awardees (recipients) may expend no more than 25% of the grant awarded for a fiscal year for conducting and evaluating a program using a service delivery model that qualifies as a promising approach. Awardees must not submit an application with a budget request exceeding the awardee's specified total grant award ceiling. Awards to support projects will be contingent upon Congressional appropriation, satisfactory progress in meeting the projects objectives, and a determination that continued funding would be in the best interest of the Federal Government. No more than 10% of the award amount may be spent on expenditures related to administering the grant. Section 511(i)(2)(C) of the Social Security Act requires that section 504(d) (relating to a limitation on administrative expenditures) shall apply to a grant made under this section to the same extent and in the same manner as such provisions apply to allotments made under section 502(c). No more than 25% of the MIECHV grant award may be expended to support recipient-level infrastructure expenditures.

Funds made available to awardees under this guidance must be used by recipients to support the delivery of home visiting services under the MIECHV program. Recipients may coordinate with and refer to direct medical, dental, mental health or legal services and providers covered by other sources of funding, for which non-MIECHV sources of funding may provide reimbursement. The MIECHV program generally does not fund the delivery or costs of direct medical, dental, mental health, or legal services; however, some limited direct services may be provided (typically by the home visitor) to the extent required in fidelity to an evidence-based model approved for use under the MIECHV Program.

Section 511(j)(2)(B) also provides a 3 percent set-aside in funding for research and evaluation activities to be conducted under Section 511 (h)(3). In FY 2016, two cooperative agreement programs are funded under this authority, including the Home Visiting Research and Development Platform (HV R&D Platform) and the Home Visiting Collaborative Improvement and Innovation Network (HV CoIIN). The HV R&D Platform supports a transdisciplinary research

network for scientific collaboration and infrastructure building for innovative home visiting research. The HV CoIIN facilitates the delivery and accelerates the improvement of home visiting and early childhood services provided by MIECHV the Federal Home Visiting Program recipients.

Additionally, cooperative agreements are funded for innovation through the MIECHV Program. The purpose of this limited competition is to fund the development, implementation, and evaluation of innovations by awardees that strengthen and improve the delivery of MIECHV-funded coordinated and comprehensive high-quality voluntary early childhood home visiting services to eligible families.

Applicant Eligibility:

Eligible awardees include the following entities currently funded under the MIECHV Program: 47 states, 3 nonprofit organizations serving Florida, North Dakota, and Wyoming, and 6 territories and jurisdictions serving District of Columbia, Puerto Rico, Guam, the U.S. Virgin Islands, the Commonwealth of the Northern Mariana Islands, and American Samoa.

For those states that have elected not to participate in MIECHV, nonprofit organizations with an established record of providing early childhood home visiting programs or initiatives in a state or several states are eligible to apply to carry out programs in those states.

Beneficiary Eligibility:

As directed in statute, awardees must give priority in providing services under the MIECHV program to the following:

- Eligible families who reside in communities in need of such services, as identified in the statewide needs assessment required under subsection 511(b)(1)(A);
- Low-income eligible families;
- Eligible families with pregnant women who have not attained age 21;
- Eligible families that have a history of child abuse or neglect or have had interactions with child welfare services;
- Eligible families that have a history of substance abuse or need substance abuse treatment;
- Eligible families that have users of tobacco products in the home;
- Eligible families that are or have children with low student achievement;
- Eligible families with children with developmental delays or disabilities; and
- Eligible families that include individuals who are serving or formerly served in the Armed Forces, including such families that have members of the Armed Forces who have had multiple deployments outside of the United States.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity guidance issued under this CFDA program for any required proof or certifications of education and/or training which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov

Award Procedure:

All applications for this funding will be reviewed internally by grants management officials (business and financial review) and program staff (technical review) for eligibility, completeness, accuracy, and compliance with the requirements outlined in the funding opportunity guidance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Contact the Headquarters or Regional Office as appropriate for application deadlines or view the specific notice of funding opportunity.

Appeals:

Not Applicable.

Renewals:

Formula funds are awarded on an annual basis.

Formula and Matching Requirements:

Statutory Formula: In FY 2017, approximately \$343 million was available to support the 56 eligible recipients that currently receive MIECHV funding. Eligible entities may apply for up to their FY 2016 formula grant award ceiling amount minus 0.8 percent.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

This program has maintenance of effort (MOE) requirements. Per Social Security Act, Title V, 511(f), awardees must supplement, and not supplant, funds from other sources for early childhood home visitation programs or initiatives. Awardees may demonstrate compliance by maintaining non-federal funding (state general funds) for evidence-based home visiting and home visiting initiatives, expended for activities proposed in application submissions, at a level which is not less than expenditures for such activities as of the most recently completed state fiscal year. Nonprofit awardees must agree to take all steps reasonably available for this purpose and should provide appropriate documentation from the state supporting its accomplishment of the maintenance of effort/non-supplantation requirement. The baseline for maintenance of effort is the state fiscal year prior to the fiscal year during which an application is submitted. For purposes of maintenance of effort/non-supplantation in the MIECHV Program, home visiting is defined as an evidence-based program implemented in response to findings from the most current statewide needs assessment that includes home visiting as a primary service delivery strategy, and is offered on a voluntary basis to pregnant women or caregivers of children birth to kindergarten entry. Awardees may NOT consider any Title V funding used for evidence-based home visiting as part of the maintenance of effort demonstration.

Length and Time Phasing of Assistance:

Funds awarded to a recipient for a federal fiscal year shall remain available for expenditure by the recipient through the end of the second succeeding federal fiscal year after award. Grant funds that have not been obligated for expenditure by the recipient during the period of availability for use by the recipient will be de-obligated. FY 2017 funds must be obligated prior to September 30, 2019, and liquidated by December 31, 2019. See the following for information on how assistance is awarded/released: Applicant organizations must obtain a valid DUNS number and provide that number in their application. Each applicant must also register with the System for Award Management (SAM) and continue to maintain active SAM registration with current information at all times during which it has an active federal award or an application or plan under consideration by an agency (unless the applicant is an individual or federal agency that is exempted from those requirements under 2 CFR 25.110(b) or (c), or has an exception approved by the agency under 2 CFR 25.110(d)).

HRSA may not make an award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time HRSA is ready to make an award, HRSA may determine that the applicant is not qualified to receive an award and use that determination as the basis for making an award to another applicant.

The Grants.gov registration process requires information in three separate systems:

Dun and Bradstreet (<http://fedgov.dnb.com/webform/pages/CCRSearch.jsp>)

System for Award Management (SAM) (<https://www.sam.gov>)

Grants.gov (<http://www.grants.gov/>).

Reports:

HRSA MCHB Discretionary Grant Information System (DGIS) Forms 1, 2, 4, 6, 7, and Products and Publications reports are due within 120 days of the Notice of Award issue date.

The MIECHV Program recipients must also provide demographic, service utilization, and select clinical indicators, and performance indicators and systems outcomes measures into the Home Visiting Information System (HVIS) accessed through the Electronic Handbooks (EHBs). Quarterly reports are due no later than 60 days after the end of each reporting period. The demographic, service utilization, utilization and select clinical indicators performance report will include: an unduplicated count of enrollees; participant race and ethnicity; socioeconomic data; other demographics; number of households from priority populations; service utilization across all models; among other measures. The performance indicators and systems outcomes performance report will include data collected for the 19 constructs defined by HRSA within each of the six benchmark areas. These constructs include: preterm birth, breastfeeding, depression screening, well child visits, postpartum care, tobacco cessation referrals, safe sleep, child injury, child maltreatment, parent-child interaction, early language and literacy activities, developmental screening, behavioral concerns, intimate partner violence screening, primary caregiver education, continuity of insurance coverage, completed depression referrals, completed developmental referrals, and intimate partner violence referrals.

Final performance reports are due within 90 days of the end of the project period. The reports include financial, performance measure, program, and abstract data, as well as products and publications.

The Federal Financial Report (SF-425) will be required on an annual basis and timely FFATA reporting is required by the recipient of federal grant funds to the FFATA Sub-award Reporting System. The Federal Financial Report (SF-425) will be required on an annual basis and timely FFATA reporting is required by the recipient of federal grant funds to the FFATA Sub-award Reporting System. Refer to the progress reports section above. Refer to the progress reports section above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0321-0-1-550.

Obligations:

(Formula Grants) FY 16 \$344,717,896; FY 17 est \$344,717,896; and FY 18 est \$365,500,000 - (Cooperative Agreements HV CoIN) FY 17 est \$1,200,000

(Cooperative Agreements R&D Platform) FY 17 est \$1,300,000.

Range and Average of Financial Assistance:

Formula (2016): \$1,000,000 - \$22,201,618

Formula (2017): Ceiling amount ranges: \$992,000 -- \$22,024,005

Competitive (2017): \$949,664 --- \$3,957,620.

TAFS Codes:

75-0321.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Meseret Bezuneh, M.S.Ed
Implementation Branch Chief
Division of Home Visiting and Early Childhood Systems
Maternal and Child Health Bureau
Health Resources and Services Administration
5600 Fishers Lane, Room 18N-150
Rockville, Maryland 20852
Telephone: (301) 594-4149
E-mail: mbezuneh@hrsa.gov.

Headquarters Office:

Meseret Bezuneh, M.S.Ed 5600 Fishers Lane, Room 18N-150, Rockville, Maryland 20857 Email: mbezuneh@hrsa.gov Phone: (301) 594-4149

Website Address:

<http://mchb.hrsa.gov/programs/homevisiting>

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program; 93.615 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Research Programs; 93.994 Maternal and Child Health Services Block Grant to the States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications for this funding will be reviewed internally by grants management officials (business and financial review) and program staff (technical review) for eligibility, completeness, accuracy, and compliance with the requirements outlined in the notice of funding opportunity (NOFO).

93.872 TRIBAL MATERNAL, INFANT, AND EARLY CHILDHOOD HOME VISITING

Tribal MIECHV, Tribal Home Visiting
Tribal Research Center for Early Childhood (TRCEC)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Section 511(h)(2)(A) of Title V of the Social Security Act, as added by Section 2951 of the Patient Protection and Affordable Care Act (Affordable Care Act) (Pub. L. No. 111-148), and extended by the Protecting Access to Medicare Act of 2014 (Pub. L. No. 113-93), as amended by the Medicare Access and CHIP Reauthorization Act of 2015 (Pub.L. 114-10).

The authorities for the Tribal Research Center for Early Childhood (TRCEC) are: (1) Maternal, Infant, and Early Childhood Home Visiting (MIECHV) as authorized by section 511(h)(3) of Title V of the Social Security Act, as amended by the Medicare Access and CHIP Reauthorization Act of 2015 (Pub. L. 114-10); (2) section 648 of the Head Start Act, as amended by the Improving Head Start for School Readiness Act of 2007 (42 U.S.C. 9843), and section 649 (42 U.S.C. 9844); and (3) Child Care and Development Block Grant (CCDBG) Implementation Research and Evaluation Planning Grant program as authorized by section 658O(a)(5) of the Child Care and Development Block Grant Act, as amended by Pub. L. No. 113-186, codified at 42 U.S.C. 9858m(a)(5).

OBJECTIVES:

The Tribal Maternal, Infant, and Early Childhood Home Visiting Program (Tribal MIECHV) is administered by The Administration for Children and Families (ACF) Office of Early Childhood Development (ECD) and Office of Child Care (OCC), in collaboration with the Health Resources and Services Administration (HRSA). Assistance is available to eligible Tribes (or consortia

of Tribes), Tribal Organizations, and Urban Indian Organizations, to strengthen and improve maternal and child health programs, improve service coordination for at-risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at-risk communities.

The goals of the Tribal MIECHV program are to: support the development of happy, healthy, and successful American Indian and Alaska Native (AIAN) children and families through a coordinated home visiting strategy that addresses critical maternal and child health, development, early learning, family support, and child abuse and neglect prevention needs; implement high-quality, culturally-relevant, evidence-based home visiting programs in AIAN communities; expand the evidence base around home visiting interventions with Native populations; and support and strengthen cooperation and coordination and promote linkages among various early childhood programs, resulting in coordinated, comprehensive early childhood systems.

The ACF, Office of Planning, Research and Evaluation (OPRE), is administering the TRCEC, which will provide leadership and support to promote excellence in community-based participatory research and evaluation of ACF early childhood initiatives that serve Tribal communities. Settings to be considered include home visiting programs, early care and education center-based programs, home-based and family child care providers, and Head Start and Early Head Start programs. The TRCEC is expected to: (1) conduct research to identify needs and/or develop effective practices and integrated systems for ACF early childhood initiatives in tribal communities; (2) identify, validate, and/or develop measures of culturally meaningful inputs, implementation processes, and proximal and distal outcomes of those programs; (3) establish peer-learning communities for tribal research on areas of shared priority; (4) provide training and professional development to facilitate interest and competencies in research relevant to early childhood initiatives in tribal communities; and (5) provide forums to increase cultural competence and sensitivity to tribal voices in research and evaluation. It is expected that the TRCEC will coordinate with any other entities receiving Maternal, Infant and Early Childhood Home Visiting (MIECHV), Office of Head Start (OHS), and Office of Child Care (OCC) funding for related research and evaluation activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:**Uses:**

Assistance for the Tribal MIECHV Program will be used to: conduct a needs assessment that considers community characteristics and the quality and capacity of existing home visiting programs and other supportive services; is coordinated with other relevant needs assessments; and involves community stakeholders as appropriate; collaborative planning efforts to address identified needs by developing capacity and infrastructure; providing high-quality, evidence-based home visiting services to pregnant women and families with young children aged birth to kindergarten entry; establishing, measuring, and reporting on progress toward meeting performance measures in six legislatively-mandated benchmark areas; and conducting or participating in rigorous evaluation activities that may include examining effectiveness of home visiting models in serving Tribal populations, adaptations of home visiting models for Tribal communities, or questions regarding implementation of infrastructure necessary to support evidence-based home visitation models in Tribal communities.

Consistent with statutory authority, Home Visiting funds for the TRCEC will be used for: (a) Identify and consult with researchers and tribal community leaders, and consult with federal/national partners (including ACF and HRSA) who have been involved in conducting research and evaluation on home visiting in tribal communities; (b) Establish a national network of partnerships that provide a peer learning environment among tribes, researchers, and practitioners (e.g., program directors, teachers, home visiting staff) on issues related to the research and evaluation of MIECHV programs in tribal communities. This includes initiation, facilitation, and sustainment of activities that link partnerships and promote peer learning; (c) Identify, validate, and/or develop measures of culturally meaningful proximal and distal outcomes of

Tribal MIECHV (e.g., measures related to native language development; measures of child well-being; parent/provider interaction and family relationships; measures of implementation in tribal contexts; measures of collaboration and systems building in tribal contexts; measures of parenting stress and trauma; measures of organizational/systems functioning in tribal contexts); (d) Provide training or career development opportunities for early career scientists with interdisciplinary expertise relevant to Tribal MIECHV, as well as for Tribal MIECHV staff who want to increase their understanding of and experience with research; and (e) Provide forums to increase cultural competence and sensitivity to tribal voices in research and evaluation of Tribal MIECHV. Costs of organized fundraising, including financial campaigns, endowment drives, solicitation of gifts and bequests, and similar expenses incurred solely to raise capital or obtain contributions, are unallowable. Grant awards will not allow reimbursement of pre-award costs. Construction and purchase of real property are not allowable activities or expenditures under this grant award. The statute requires that grantees supplement and not supplant funds from other HV initiatives.

Applicant Eligibility:

Specifically: Only Tribes (or a consortium of Indian Tribes), Tribal Organizations, or Urban Indian Organizations, as defined by Section 4 of the Indian Health Care Improvement Act, Public Law 94-437, are eligible applicants for the Tribal MIECHV Grant Program.

Only Tribes (or a consortium of Indian Tribes), Tribal Organizations, or Urban Indian Organizations, as defined by Section 4 of the Indian Health Care Improvement Act, Public Law 94-437, are eligible applicants for the Tribal MIECHV Grant Program.

For the TRCEC, eligibility is open to public and private entities that include state, city, and local governments; public, private, and state-controlled institutions of higher education; private, non-profit organizations with, and without, 501(c)(3) IRS tax status; Native American tribal governments (federally recognized) and Native American tribal organizations; and for-profit organizations that are not sole proprietorship's.

Beneficiary Eligibility:

Eligible families in at-risk AIAN communities include pregnant women, expectant fathers, parents, and primary caregivers of children aged birth through kindergarten entry, including grandparents or other relatives of the child, foster parents who are serving as the child's primary caregiver, and non-custodial parents who have an ongoing relationship with, and at times provide physical care for, the child. Specifically:

Eligible families residing in at-risk American Indian/Alaskan Native communities in need of such services, as identified in a needs assessment; Low-income eligible families;

Eligible families who are pregnant women under age 21;

Eligible families with a history of child abuse or neglect or have had interactions with child welfare services;

Eligible families with a history of substance abuse or need substance abuse treatment;

Eligible families that have users of tobacco products in the home;

Eligible families that are or have children with low student achievement;

Eligible families with children with developmental delays or disabilities; and

Eligible families who, or that include individuals serving or formerly serving in the Armed Forces, including those with members who have had multiple deployments outside the US.

Eligible family:

A woman who is pregnant, and the father of the child if available, or A parent or primary caregiver of the child, including grandparents or other relatives and foster parents serving as the child's primary caregiver from birth until kindergarten entry, including a noncustodial parent with an ongoing relationship with, and at times provides physical care for the child.

Credentials/Documentation:

Applicants should review the individual ACF funding opportunity announcement issued under this CFDA program for any required proof or certifications of education and/or training which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No.

A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each application will be screened to determine whether it was received by the closing date and time and whether the requested amount exceeds the award ceiling. Applications that are designated as late or those with requests that exceed the award ceiling will be returned to the applicant with a notation that they were deemed non-responsive and will not be reviewed.

Applications competing for financial assistance will be reviewed and evaluated by objective review panels using the criteria described in the funding opportunity announcement. Each panel is made up of experts with knowledge and experience in the area under review. Generally, review panels are composed of three reviewers and one chairperson.

Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. They are one element in the decision-making process.

Applications recommended for approval that were not funded under the competition because of the lack of available funds, may be held over by ACF and re-considered in a subsequent review cycle if a future competition under the program area is planned. These applications will be held over for a period of up to one year and will be re-competed for funding with all other competing applications in the next available review cycle. For those applications that have been deemed as approved but unfunded, notice will be given of such determination by postal mail.

Announcement of awards and the disposition of applications will be provided to applicants at a later date.

Award Procedure:

Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding and are one element of the decision-making process.

ACF may elect to not fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than that requested. ACF reserves the right to consider a preference to fund organizations serving emerging, unserved, or under-served populations, including those located in pockets of poverty, and to consider the geographic distribution of Federal funds in its funding decisions.

ACF may refuse funding for projects with what it regards as unreasonably high start-up costs for facilities or equipment, or for projects with unreasonably high operating costs. In addition, federal staff will conduct an administrative review of the applications and the results of the competitive review and will make recommendations for funding to the Director, Office of Child Care (OCC) With the results of the competitive review and information from federal staff, the Director of OCC, in consultation with Deputy Assistant Secretary and Inter-Departmental Liaison for Early Childhood Development, will make the final funding decisions.

No grant award will be made under this announcement on the basis of an incomplete application. No grant award will be made to an applicant or sub-recipient that does not have active CCR registration (www.ccr.gov) or 1-866-606-8220).

The project period for the TRCEC will be 4 years. The initial award will be for the first 12-month budget period. Budget requests for a second through fourth year of funding within the project period should be identified in the current application (on SF-424A), and such requests will be considered in subsequent years on a noncompetitive basis. Noncompetitive continuation awards are subject to the applicant's eligibility status, the availability of funds, satisfactory progress of the grantee, and a determination that continued funding would be in the best interest of the Federal Government.

The Federal share of project costs shall not exceed \$650,000 for any of the 12-month budget periods, inclusive of indirect costs. The successful grantee will be required to track the federal funds, and their associated activities, separately so that they can be accounted for, on an ongoing basis, and attributed to either the Tribal MIECHV, Head Start, and Child Care portions of the project.

Consistent with statutory authority:

Tribal MIECHV funds (\$250,000) will be devoted to (a) activities to promote excellence in research and evaluation of MIECHV programs in tribal communities and (b) measurement development activities that will expand the evidence base around home visiting programs for AIAN populations.

Head Start funds (\$250,000) will be devoted to research and evaluation activities to (a) foster continuous improvement in the quality of the Head Start programs and in their effectiveness in enabling participating AIAN children and their families to succeed in school and otherwise, and (b) develop, test, and disseminate new ideas based on existing scientifically valid research for addressing the needs of AIAN children and their families and communities.

Child Care funds (\$150,000) will be devoted to research and evaluation activities to (a) advance the provision of resources to low-income families to find quality child care for their children, and (b) enhance the quality and supply of child care for all families including those who receive no direct assistance under the CCDF.

Deadlines:

Sep 29, 2016 Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Tribal MIECHV grants (cooperative agreements) are generally awarded for a 5-year project period. In the first year of the project, grants will be awarded on a competitive basis. Pending successful completion of Year 1 activities, submission of a plan that meets requirements, and approval of this plan, applications will be considered on a noncompetitive continuation basis for Years 2-5 subject to availability of funds, satisfactory progress of the grantee, and a determination that continued funding would be in the best interest of the Federal Government. Grants will be awarded for 12-month budget periods with a 5-year project period.

The project period for the TRCEC will be 4 years.

Funds awarded to grantees under this program will be made available for expenditure through the end of the second succeeding fiscal year after award. See the following for information on how assistance is awarded/released: Tribal MIECHV grants (cooperative agreements) are generally awarded for a 5-year project period. In the first year of the project, grants will be awarded on

a competitive basis. Pending successful completion of Year 1 activities, submission of a plan that meets requirements, and approval of this plan, applications will be considered on a noncompetitive continuation basis for Years 2-5 subject to availability of funds, satisfactory progress of the grantee, and a determination that continued funding would be in the best interest of the Federal Government. Grants will be awarded for 12-month budget periods with a 5-year project period.

Funds awarded to grantees under this program will be made available for expenditure through the end of the second succeeding fiscal year after award.

Reports:

Grantees under this announcement will be required to submit performance progress and financial reports periodically throughout the project period.

The frequency of required reporting is described in each funding opportunity announcement. Final reports may be submitted in hard copy to the Grants Management Office Contact identifiable post-award. Instructions on submission of reports electronically will be provided with award documents. Federal Financial Report (FFR) - Federal Cash Transaction Report. Progress reports are not applicable. As of February 1, 2011, the Department of Health and Human Services (HHS) began the transition from use of the SF-269, Financial Status Report (Short Form or Long Form) to the use of the SF-425 Federal Financial Report for expenditure reporting. SF-269s will no longer be accepted for expenditure reports due after that date. If an SF-269 is submitted, the ACF will return it and require the recipient to complete the SF-425.

The transition strategy is allowing individual HHS Operating Divisions to select—from a limited number of options—the approach that best fits their programs and business process. This transition does not affect completion or submission of the cash reporting to the HHS Division of Payment Management's Payment Management System (PMS). The primary features of this transition for recipients are that OPDIVs that previously required electronic submission of the SF-269 will receive the SF-425 expenditure reports electronically and, until further notice, OPDIVs that have been receiving expenditure reports in hard copy will continue to do so. ACF grantees are required to submit the SF-PPR Cover Page. ACF Programs that utilize reporting forms or formats in addition to, or instead of, the SF-PPR have listed the reporting requirements.

Grant award documents will inform grantees of the appropriate performance progress report form or format to use. Grantees should consult their award documents to determine the appropriate performance progress report format required under their award. Performance progress reports are due 30 days after the end of the reporting period.

Final program performance reports are due 90 days after the close of the project period. The SF-PPR may be found at http://www.acf.hhs.gov/grants/grants_resources.html.

Further instructions will be provided, as necessary, with award terms and conditions that will address specific reporting periods and due dates on an award-by-award basis. Additional information on frequency of reporting is available on the ACF Funding Opportunities web site at http://www.acf.hhs.gov/grants/msg_sf425.html. All expenditure reports will be due on one of the standard due dates by which cash reporting is required to be submitted to PMS OR at the end of a calendar quarter as determined by the Operating Division. As a result, a recipient that receives awards from more than one OPDIV may be subject to more than one approach, but will not be required to change its current means of submission or be subjected to more than eight standard due dates.

Beginning with budget periods which end from January 1 - March 31, 2011, and for all budget periods thereafter, all affected ACF grantees will be required to submit an SF-425 report as frequently as is required in the terms and conditions of their award using due dates for reports to PMS.

Fillable versions of the SF-425 form in Adobe PDF and MS-Excel formats, along with instructions, are available at

http://www.whitehouse.gov/omb/grants_forms, www.forms.gov, and on the ACF Funding Opportunity website Forms page.

Further instructions will be provided, as necessary, with award terms and conditions that will address specific reporting periods and due dates on an award-by-award basis. Additional information on frequency of reporting is available on the ACF Funding Opportunities web site at http://www.acf.hhs.gov/grants/msg_sf425.html. Grantees under the Tribal MIECHV program must collect, analyze, use, and report data on program implementation and improvements for eligible families participating in the program in the legislatively-mandated benchmark areas of: I) improved maternal, newborn, and child health; II) prevention of child injuries, child abuse, neglect, or maltreatment, and reduction of emergency room visits; III) improvements in school readiness and child academic achievement; IV) reductions in crime or domestic violence; V) improvements in family economic self-sufficiency; and VI) improvements in the coordination and referrals for other community resources and supports. Grantees will also collect and report on demographic and service utilization and service capacity, place-based services, family engagement, and staffing data.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awards issued under this program are subject to the uniform administrative requirements of 45 CFR Part 75 (Awards And Sub-awards to Institutions Of Higher Education, Hospitals, Other Nonprofit Organizations, And Commercial Organizations) or (Grants And Cooperative Agreements To State, Local, And Tribal Governments).

Account Identification:

75-0321-0-1-506.

Obligations:

(Cooperative Agreements) FY 16 \$8,366,000; FY 17 est \$8,870,000; and FY 18 est \$12,000,000

Range and Average of Financial Assistance:

The range of funding is \$250,000-\$895,000 per budget period for Tribal MIECHV grants.

For the TRCEC, the range of funding is up to \$600,000 per budget period and the average is \$250,000.

TAFS Codes:

75-0321.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 19 grants were awarded in FY2016 under the new competition for a total of \$8,366,000 and \$250,000 of Tribal MIECHV funds were awarded for the TRCEC. Fiscal Year 2017: It is estimated that 19 grants will be awarded in FY 2017 for \$8,870,000

It is estimated 1 award will be made for the TRCEC in FY2017 of which an estimated \$250,000 will come from Tribal MIECHV. Fiscal Year 2018: It is estimated that \$12,000,000 will be awarded in FY2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Anne Bergan
Mary E. Switzer Building
330 C Street, SW, Suite 3014F
Washington, DC 20201

Email: anne.bergan@acf.hhs.gov Phone: 202-260-8515.

Headquarters Office:

Anne Bergan Mary E. Switzer Building
330 C Street, SW, Suite 3014F

, Washington , District of Columbia 20201 Email: anne.bergan@acf.hhs.gov
Phone: 202608515

Website Address:

No Data Available

RELATED PROGRAMS:

93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program; 93.508 Affordable Care Act (ACA) Tribal Maternal, Infant, and Early Childhood Home Visiting Program; 93.575 Child Care and Development Block Grant; 93.600 Head Start; 93.870 Maternal, Infant and Early Childhood Home Visiting Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the criteria published in each funding opportunity announcement.

93.873 STATE GRANTS FOR PROTECTION AND ADVOCACY SERVICES

Protection and Advocacy for Traumatic Brain Injury

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title XII, Section 1253 as amended by the Childrens Health Act of 2000, sec 1304, PL 106-310, as further amended by the Traumatic Brain Injury Act of 2008, sec 6(b), PL 110-206. Title XII, Section 1253, Title XII, Section 1253.

OBJECTIVES:

To make grants to Protection and Advocacy systems as established in each State for the purpose of enabling such systems to provide services to individuals with traumatic brain injury which may include:

(1) information, referrals, and advice; (2) individual and family advocacy; (3) legal representation; and (4) specific assistance in self-advocacy.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds may be used to plan for, develop outreach strategies for, and carry out services for individuals with traumatic brain injury as authorized in Section 1253 of the PHS Act. Grant funds must supplement, not supplant, nonfederal funds available in the State in which the protection and advocacy system is established.

Applicant Eligibility:

State Grant Agencies.

Beneficiary Eligibility:

Individuals with disabilities and family members.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Cost will be determined in accordance with 45 CFR 75. OMB Circular No. A-87 applies to this program.

Award Procedure:

Formula awards are processed through a payment management system to the State.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Contact the headquarters or regional office, as appropriate, for application deadlines.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR, Part 16.

Renewals:

Automatic, under approved application.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 25%. Title I Programs for Individuals with Developmental Disabilities, Public Law 106-402. Matching Requirements: Percent: 25%. Match is only for the Council. No match is required for Protection Advocacy Traumatic Brain Injury allotments.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period is four (4) years. Funding beyond the first year is dependent on the availability of appropriated funds for the State PATBI Program in subsequent fiscal years, grantee satisfactory performance, and a decision that continued funding is in the best interest of the Federal Government. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Yearly SF-425. Progress reports due every 6 months. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

Grantees are required to maintain grant accounting records for 4 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 4-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 4-year period, whichever is later.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 16 \$3,099,589; FY 17 est \$3,099,589; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Range is \$20,000 to \$145,583; Average is \$50,000.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR 74 and 92, Grant Administrative Requirements; and Program Regulations in Chapter XIII of Title 45 of the Code of Regulations, Parts 1385 and 1386.

Regional or Local Office:

None. Central Office: Clare Barnett, Program Specialist, Administration on Community Living, Administration on Intellectual and Developmental Disabilities, Protection and Advocacy for Traumatic Brain Injury, Office of Program Support, 330 C Street, SW, Suite 1130-C, Washington, DC 20201. Telephone: (202) 795-7301; Email: clare.barnett@acl.hhs.gov. Email: clare.barnett@acl.hhs.gov.

Headquarters Office:

Yi-Hsin Yan 330 C Street, SW 1104-B, Washington, District of Columbia 20201 Email: Yi-Hsin.Yan@acl.hhs.gov Phone: 202-795-7474

Website Address:

No Data Available

RELATED PROGRAMS:

93.631 Developmental Disabilities Projects of National Significance; 93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.874 STRENGTHENING THE PUBLIC HEALTH SYSTEM IN US-AFFILIATED PACIFIC ISLANDS (NON-PPHF)

CBA to Strengthen Public Health Infrastructure and Performance in USAPIs (Non-PPHF)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under sections 307 and 317(k)(2) of the Public Health Service Act [42 USC 242(l) and 247(b)(k)(2), as amended]. In addition, this program is authorized under sections 311 and 1703 of Public Health Service Act [42 USC 243 and 300 u-2, as amended] and Section 4002 of the Patient Protection and Affordable Care Act, Public Law 111-148, Title IV, Section 4002, 42 U.S.C 242, 247.

OBJECTIVES:

The purpose of this funding initiative to ensure provision of capacity building assistance (CBA) to the USAPIs public health officials and public health systems. Capacity building efforts will support the formation of sound policies, strengthened organizational structures (e.g., governance, workforce recruitment/retention, and health services), effective management and revenue control, build jurisdictional partnerships, and address important cross-cutting issues such as health equity programs and services, and improved accountability measures for performance effectiveness and efficiency.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting and supporting capacity building assistance efforts in the USAPI as described in Section 050 above and through CDC allowable and unallowable expenses. Per CDC-RFA-OT16-1601 Funding Restrictions. Detailed budget restrictions will be specified in most recent Notice of Award.

Applicant Eligibility:

The only eligible applicant for this award is the Pacific Island Health Officers Association (PIHOA), a 501(c)3 independent accrediting body that administers the national voluntary public health department accreditation program.

Beneficiary Eligibility:

Governmental public health departments, workforce segments across governmental public health departments, and/or nongovernmental public health professionals in the US-Affiliated Pacific Islands (USAPI). The US-Affiliated Pacific Islands (USAPI) consist of three U.S. Flag Territories of American Samoa, Guam, and the Commonwealth of the Northern Mariana Islands, as well as three sovereign states that have a Compact of Free Association with the United States (US)—Freely Associated States of the Republic of the Marshall Islands, Republic of Palau, and Federated States of Micronesia.

Credentials/Documentation:

The applicant must demonstrate 1) nonprofit 501(c)(3) or nonprofit 501(c)(6) IRS status (other than institutions of higher education); 2) a Pacific Islands regional scope of work; and 3) a public health charge or mission. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Phase I Review: The eligible application will be initially reviewed for completeness by the CDC Procurement and Grants Office (PGO) staff. The eligible application will then be jointly reviewed for responsiveness by CDC OSTLTS and PGO. Incomplete and/or non-responsive applications that do not meet eligibility criteria will not advance to Phase II review and the applicant will be notified. Phase II Review: An objective review panel will evaluate the complete and responsive application according to the criteria listed in the FOA. The successful applicant will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC.

Deadlines:

Jul 01, 2016

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Renewals will require a yearly continuation application based upon availability of funds and programmatic compliance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period, within the 5-year project period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. Annual financial reporting is required via the Federal Financial Reporting document. Performance Measures (including outcomes)awardees must report on performance measures for each budget period and update measures as least annually (Annual Performance Report). CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$4,498,540; FY 17 est \$2,000,000; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

1 award at approximate average award of 2,000,000.

TAFS Codes:

75-0959.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per Funding Opportunity Announcement CDC-RFA-OT16-1601, and as additionally specified in most current Notice of Award.

Regional or Local Office:

None.

Headquarters Office:

Samuel Taveras 4770 Buford Highway NE, MS: K-90, Atlanta, Georgia 30345
Email: syt2@cdc.gov Phone: 770-488-1523 Fax: 770-488-1600

Website Address:

<http://www.cdc.gov/stltpublichealth>

RELATED PROGRAMS:

93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments; 93.424 NON-ACA/PPHF Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations; 93.524 The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As specified in Funding Opportunity Announce CDC-RFA-OT16-1601, Application Review and Selection Process listed in Section 093 Award Procedure above.

93.875 ASSISTANCE FOR ORAL DISEASE PREVENTION AND CONTROL

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Sections 317 of the Public Health Service Act (as amended) [42 U.S.C. Section 247(b)].

OBJECTIVES:

To establish oral health leadership and program guidance, oral health data collection and interpretation, a multi-dimensional delivery system for oral and physical health, and to implement science-based programs (including dental sealants and community water fluoridation) to improve oral and physical health. To strengthen state oral health programs and public health core capacity to reduce inequalities in the oral health of targeted populations.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds may be used for costs associated with planning, implementing, and evaluating oral disease and chronic disease prevention and control programs. Cooperative agreement funds may not be used for direct dental and other health care services. Uses include: To strengthen state oral health programs and public health core capacity and to reduce inequalities in the oral health of targeted populations. To improve oral public health programs, including support for program leadership and staff, to monitor oral health risk factors, and for developing and evaluating prevention programs. To support evidenced-based interventions to prevent oral and chronic disease, including but not limited to community water fluoridation and school-based and school-linked dental sealant programs. To collaborate in development and support of the National Oral Health Surveillance System. To develop initiatives related to oral disease prevention and chronic disease and health promotion capacity. Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses. Limitations on use of funds for direct dental and other health care services.

Applicant Eligibility:

Eligible applicants are the official State and territorial health agencies of the

United States, the District of Columbia, tribal organizations, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa, or their Bona Fide Agents. For specific funding announcements, eligible applicants may be more limited, for example, State governments, including the District of Columbia, or their Bona Fide Agents.

Beneficiary Eligibility:

States, political subdivisions of States, local health authorities, and individuals or organizations with specialized health interests will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe evaluation procedures, and provide a budget with justification for funds requested. Costs will be determined in accordance with OMB Uniform Guidance: Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR 200). This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Office of Financial Resources, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from 3 to 5 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports are required on an annual basis. An annual Federal Financial Report (FFR) is required. Financial status reports are required no later than 90 days after the end of each specified funding period. Final financial status and

progress reports are required 90 days after the end of a project. Progress reports are required on an annual basis. Cash reports are not applicable. Progress reports, including progress on performance measures, are required on an annual basis. Final progress report is required 90 days after the end of a project. Annual Federal Financial Report is required. Final financial report is required 90 days after the end of a project. Progress reports, including progress on performance measures, are required on an annual basis. Final progress report is required 90 days after the end of a project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$1,499,447; FY 17 est \$1,499,447; and FY 18 est \$0

Range and Average of Financial Assistance:

This is a new program no data range or average award available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Successful applicants must comply with the administrative requirements outlined in 45 Code of Federal Regulation (CFR) Part 74 or 92, as appropriate.

Regional or Local Office:

None.

Headquarters Office:

Marcia Parker 4770 Buford Hwy, MS F80, Atlanta, Georgia 30341 Phone: 7704886075

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on the evaluation criteria as published in the funding opportunity announcement posted on.

93.876 ANTIMICROBIAL RESISTANCE SURVEILLANCE IN RETAIL FOOD SPECIMENS

NARMS Retail Food Surveillance

FEDERAL AGENCY:

Food and Drug Administration, Department of Health and Human Services

AUTHORIZATION:

Section 1702(a) [42 U.S.C. 300u-1(a)] and Section 1703(a) and (c) [42 U.S.C. 300u-2(a) and (c)] of the Public Health Service Act, as amended.

OBJECTIVES:

The National Antimicrobial Resistance Monitoring System (NARMS) is a U.S. public health surveillance system that tracks antibiotic resistance in enteric bacteria from humans, retail meats, and food animals. When antimicrobial drugs are used in food-producing animals, they can enrich for populations of resistant strains that reach humans via the food supply. The chief goal of the NARMS retail food surveillance program is to improve the detection of and surveillance for antimicrobial resistance among enteric bacteria in raw retail food commodities, particularly fresh retail meat.

The NARMS program is looking to collaborate with institutions or organizations to enhance and strengthen antibiotic resistance surveillance in retail food specimens. Partnering with the NARMS retail food surveillance program will enable the collection of critical data for FDA policy and regulatory actions including but not limited to pre-approval safety evaluation of new animal antibiotics, determining parameters for the antimicrobial use in veterinary medicine, and the ability to provide information to promote interventions to reduce resistance among foodborne bacteria. This collaboration will address NARMS programmatic needs to implement effective surveillance and response for antibiotic resistance as recommended in the National Strategy for Combating Antibiotic-Resistant Bacteria (CARB).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be applied to supplies, equipment, personnel, job training, and/or travel needed to conduct retail food surveillance activities as defined in the cooperative agreement and referenced in the NARMS retail food surveillance protocol. Assistance can be applied to improving the way antibiotic resistance surveillance samples are collected and processed, improve microbiological testing standards, improve quality assurance practices, improve collaboration and coordination of surveillance activities with the FDA, improve the exchange of relevant and complete surveillance data with the FDA, improve the use of data to inform program and policy development, improve data for education on current and emerging hazards, improve awareness of antimicrobial resistance among the public, improve the identification of foodborne illness outbreaks and coordinate outbreak investigations with the FDA, improve the way surveillance data are collected and analyzed, and for conducting ad hoc pilot studies as needed for consensus definitions. Funds are restricted from being used for the following: alterations or renovations; construction; fund-raising activities; political education and lobbying; vocational rehabilitation. There is approximately \$2,500,000 available for up to 30 cooperative agreements.

Applicant Eligibility:

Applicants should review the individual funding opportunity announcement issued under this CFDA program to see which applicant organizations are eligible to apply.

Beneficiary Eligibility:

Improving antimicrobial resistance surveillance, food safety, and antimicrobial drug approvals for animals and humans.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Applicants should review the individual funding opportunity announcement issued under this CFDA program for any required proof of certification which must be submitted prior to or simultaneous with submission of an application. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply, access the

announcement through www.grants.gov. Enter the CFDA# and follow the website instructions. Applications submitted after the deadlines will not be accepted for review. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review. Applications may only be submitted electronically via www.grants.gov. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review.

Applicants should review the individual funding opportunity announcement issued under this CFDA program for specific application procedures.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be ineligible will not be reviewed. Accepted applications will be subject to objective review for technical merit. Applications will be evaluated by an objective review committee composed of experts. Final funding decisions will be determined by the NVPO Office Director; taken into consideration are the recommendations of the review committee; program needs, stated preferences; geographic location. All applicants are notified in writing of actions taken on their applications. A Notice of Award is issued for those applications that are approved and funded.

Applicants should review the individual funding opportunity announcement issued under this CFDA program to see the specific award procedures that apply.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals are permitted as indicated in the published funding opportunity announcement issued under this CFDA program. Renewal awards may be approved pending availability of funding and evidence of satisfactory progress and compliance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length of support will depend on the nature of the projects, program relevance and the availability of funds. Awards are usually made for a 12-month period with recommendation of up to 4 years of additional support.

Applicants should review the individual funding opportunity announcement issued under this CFDA program to see the specific available length and time that apply. See the following for information on how assistance is awarded/released: The Notice of Award (NoA) is the legal document issued to notify grantees that an award has been made and that funds may be requested from the designated HHS payment system or office. A NoA is issued for the initial budget period, if subsequent budget periods are also approved, the NoA will include a reference to those budgetary commitments. Funding for subsequent budget periods are generally provided in annual increments following the annual assessment of the projects progress. This funding is also contingent on the availability of funds. Funds are made available through the DHHS Payment Management System (PMS).

Cooperative Agreements may not exceed 5 years (subject to the availability of funds). Necessary instructions for the appropriate type of payment will be issued at the time an award is made.

Reports:

Program reports are required as stated in the Funding Opportunity Announcement and the Terms and Conditions of award.

Bi-annual Progress Reports must be submitted each year. A Final Report will be due 90 days after the end of the project period. No cash reports are required. A revised work plan must be submitted each year to indicate any service and/or partnership revisions. Federal Financial Reporting (FFR) SF425 are required annually and must be submitted via eRA Commons. Final FFR will be due 90 days after the end of the project period. The FFR has a dedicated section to report Federal cash receipts and disbursements. For recipients this information must be submitted quarterly directly to the Payment Management System (PMS) using the web-based tool. Quarterly reports are due 30 days following the end of each calendar quarter. Performance monitoring is required as stated in the Funding Opportunity Announcement and the Terms and Conditions of Award. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0600-0-1-554.

Obligations:

(Cooperative Agreements) FY 16 \$2,310,556; FY 17 est \$2,500,000; and FY 18 est \$2,500,000

Range and Average of Financial Assistance:

Estimated \$2,500,000 available for anticipated 30 awards.

TAFS Codes:

75-0600.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants should review the individual Funding Opportunity Announcement issued under this CFDA program to see the specific regulations, guidelines, and/or literature that applies. HHS Grants Policy Statement is available online: <http://www.hhs.gov/asfr/ogapa/aboutog/hhsgps107.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Patrick McDermott 8401 Muirkirk Rd, Laurel, Maryland 20708 Email: patrick.mcdermott@fda.hhs.gov Phone: 240-402-0891

Website Address:

<http://www.fda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants should review the individual Funding Opportunity Announcement

issued under this CFDA program to see the specific evaluation criteria that applies.

93.877 AUTISM COLLABORATION, ACCOUNTABILITY, RESEARCH, EDUCATION, AND SUPPORT

Autism CARES

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act - Section 399BB Programs under the Combating Autism Act of 2006 as amended by the Combating Autism Reauthorization Act of 2011 (P.L. 11232) and the Autism CARES Act of 2014 (P.L. 113157).

OBJECTIVES:

This Program supports activities to:

- provide information and education on autism spectrum disorder (ASD) and other developmental disabilities (DD) to increase public awareness;
- promote research into the development and validation of reliable screening tools and interventions for autism spectrum disorder and other developmental disabilities and disseminate information;
- promote early screening of individuals at higher risk for autism spectrum disorder and other developmental disabilities as early as practicable, given evidence-based screening techniques and interventions;
- increase the number of professionals who are able to confirm or rule out a diagnosis of autism spectrum disorder and other developmental disabilities; and
- increase the number of professionals able to provide evidence-based interventions for individuals diagnosed with autism spectrum disorder or other developmental disabilities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Training grants are made to institutions of higher learning for training personnel for health care and related services for mothers and children. Research grants are for the purpose of research activities to advance the evidence base on the effectiveness of interventions and to improve the early identification and treatment for ASD and other developmental disabilities. Other project grants are designed to support activities of a demonstration nature which are designed to improve services for mothers and children. Indirect costs that are allowed for administrative costs incurred as a result of the training grants project, are limited to 8 percent of direct costs.

Applicant Eligibility:

For training grants: eligible applicants include public or nonprofit agencies, including institutions of higher education. For research grants: eligible applicants include any public or private nonprofit entity, including research centers or networks. Faith-based and community-based organizations, Tribes, and tribal organizations are eligible to apply. Any public or private entity is eligible for other project grants.

Beneficiary Eligibility:

For training grants: (1) Trainees in the health professions related to MCH; and (2) mothers and children who receive services through training programs. For research grants: public or private nonprofit entities, including research centers or networks. For other projects: (1) Public or private agencies, organizations and institutions; and (2) mothers and children, and persons who receive services through the programs.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory continuation application.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made on an annual basis for the duration of the grant period, and payments are made through an Electronic Transfer System or Cash Demand System. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual program reports, annual program service reports, and special reports as required. Cash reports are not applicable. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends.

New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. See the notice of funding opportunity and notice of award for details for required performance monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until

completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$44,217,073; FY 17 est \$43,704,553; and FY 18 est \$0 - State ASD and other developmental disabilities program.

Range and Average of Financial Assistance:

Range of grant amounts: \$100,000-\$3,000,000.

TAFS Codes:

75-0354.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Autism and Other Developmental Disabilities program improves care and outcomes for children and adolescents with autism spectrum disorder (ASD) and other developmental disabilities (DDs) through training, advancing best practices, and service. The program supports training programs, research, and state systems grants to:

Improve access to early screening, diagnosis and intervention for children with ASD or other DDs;

Increase the number of professionals able to diagnose ASD and other DDs;

Promote the use of evidence-based interventions for individuals at higher risk for ASD and other DDs as early as possible;

Increase the number of professionals able to provide evidence-based interventions for individuals diagnosed with ASD or other DDs;

Provide information and education on ASD and other DDs to increase public awareness;

Promote research and information distribution on the development and validation of reliable screening tools and interventions for ASD and other DDs; and

Promote early screening of individuals at higher risk for ASD and other DDs.

Training Programs: The program has two main training components, the Leadership Education in Neurodevelopmental and Other Related Disabilities (LEND) program and the Developmental-Behavioral Pediatrics (DBP) Training program. From 2011-2014, the LEND and DBP programs collectively:

Provided diagnostic evaluations for ASD and other DDs to more than 224,000 children;

Provided training to nearly 16,000 pediatricians, DBP specialists, and other health professionals; and

Provided more than 3,000 continuing education events on early screening, diagnosis, and intervention that reached over 214,000 pediatricians and other health professionals.

Research: To improve the health and well-being of children with ASD, HRSA supports five research networks and investigator-initiated autism intervention research projects. From 2011-2014, the research programs collectively:

Conducted 57 studies on physical and behavioral health issues related to ASD and other DDs, screening and diagnostic measures, early intervention, and transition to adulthood;

Developed 42 new measures and tools, including diagnostic and screening tools and outcome measures that are helping to guide provider practice;

Prepared 209 publications for peer reviewed journals, of which 105 were published, and the remainder were in progress. HRSA's autism research helps underserved populations overcome barriers to diagnosis and access needed services.

State Systems grants: The Autism and Other Developmental Disabilities program supports state systems grants to improve access to comprehensive, coordinated health care and related services for children and youth with ASD and other DDs. Fiscal Year 2017: See FY 2016 description. Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 75 State, local and tribal governments, institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. 42 CFR Part 51a Project Grants for Maternal Child and Health. HRSA awards are subject to the

requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Associate Administrator for Maternal and Child Health 5600 Fishers Lane , Rockville , Maryland 20857 Phone: 3014432170

Website Address:

<http://hrsa.gov>

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 93.251 Universal Newborn Hearing Screening; 93.365 Sickle Cell Treatment Demonstration Program; 93.926 Healthy Start Initiative; 93.994 Maternal and Child Health Services Block Grant to the States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: The Leadership Education in Neurodevelopmental and Other Related Disabilities (LEND) Program provides interdisciplinary training to enhance the clinical expertise and leadership skills of professionals dedicated to caring for children who have or are at risk of developing neurodevelopmental and other related disabilities including autism.

The Developmental Behavioral Pediatrics Research Network promotes and coordinates research activities in developmental, behavioral, and psychosocial aspects of pediatric care to improve clinical services and health and related outcomes for children and adolescents with ASD and other developmental disabilities.

The MCH Research Network on Promoting Healthy Weight among Children with ASD and other Developmental Disabilities (HW-RN) Program provides national leadership in research that furthers scientific understanding of obesity risk factors and facilitates the development of interventions designed to improve the health and well-being of children with ASD and other Developmental Disabilities.

The Innovation in Care Integration for Children and Youth with ASD and Other Developmental Disabilities Program supports the implementation of innovative, evidence-informed strategies to integrate care at a system-level within a state for children and youth with ASD and other developmental disabilities, with a special emphasis on medically underserved populations (based on poverty, rural geographic location, and/or populations that experience health disparities). Fiscal Year 2017: The National Interdisciplinary Training Resource Center plays a crucial role in providing technical assistance, building and sustaining partnerships with service systems already serving children with ASD and other developmental disabilities, translating research into evidence-based practice, and utilizing existing and developing new data systems to capture and report project outcomes.

The Autism Transitions Research Project (ATRP) supports the implementation and completion of programmatic research studies that examine factors associated with healthy life transitions among adolescents and young adults with ASD who are transitioning to adulthood.

The Autism Longitudinal Data Project supports the implementation and completion of research studies that examine longitudinal data on ASD and other developmental disabilities to study risk factors for these conditions, the effects of various interventions, and trajectories of child development over the life course.

The Autism Field-Initiated Innovative Research Studies (Autism-FIRST) Program supports research that advances the evidence base on interventions designed to improve the health and wellbeing of children and adolescents with ASD and other developmental disabilities, with a particular focus on addressing barriers to identification, diagnosis, and services among underserved populations.

The State Public Health Coordinating Center for Autism supports and assists states and jurisdictions in developing state-wide systems of care to improve the health of infants, children, and adolescents who have or are at risk for developing ASD or other developmental disabilities. Fiscal Year 2018: N/A.

CRITERIA FOR SELECTING PROPOSALS:

General criteria are described in Program Regulations 45 CFR 51, and specific criteria are included in the program guidance materials provided with application kits. Contact Central Office for details.

93.878 ENHANCE THE ABILITY OF EMERGENCY MEDICAL SERVICES (EMS) TO TRANSPORT PATIENTS WITH HIGHLY INFECTIOUS DISEASES (HID)

N/A

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Title VI of Division G of the Consolidated and Continuing Appropriations Act, 2015 and section 311 of the Public Health Service Act, as amended.

OBJECTIVES:

Enhance state and local level emergency medical services operational plans for the management of confirmed or suspected high consequence infection disease, such as Ebola.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

To enhance the ability of state and/or local emergency medical services to safely and effectively transfer patients with confirmed or suspected high consequence infectious diseases, such as Ebola.

Applicant Eligibility:

The applicant must be a nonprofit organization representing all of the 50 state emergency medical services officials.

Beneficiary Eligibility:

EMS officials and operators at the state and local levels.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NA

Award Procedure:

NA.

Deadlines:

Jul 13, 2016 NA.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

NA.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

18 months. See the following for information on how assistance is awarded/released: NA.

Reports:

Awardees are required to electronically submit an annual program progress report. Awardees must report cash transaction data using the Federal Financial Report (FFR), SF-425. Recipients will utilize the SF-425 lines 10.a through 10.c to report cash transaction data to the Division of Payment Management. The FFR SF-425 (lines 10.a through 10.c) is due to the Payment Management System 30 days after the end of each calendar quarter. Awardees are required to electronically submit an annual program progress report. The SF-425 will also be used for reporting of expenditure data to meet ASPRs semi-annual and annual financial reporting requirement. If the total value of your currently active grants, cooperative agreements, and procurement contracts from all Federal awarding agencies exceeds \$10,000,000 for any period of time during the period of performance of this Federal award, then you must maintain the currency of information reported to the System for Award Management (SAM) that is made available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)) about civil, criminal, or administrative proceedings described in paragraph 2 of Appendix XII to 2 CFR part 200 Award Term and Condition for Recipient Integrity and Performance Matters. This is a statutory requirement under section 872 of Public Law 110-417, as amended (41 U.S.C. 2313). As required by section 3010 of Public Law 111-212, all information posted in the designated integrity and performance system on or after April 15, 2011, except past performance reviews required for Federal procurement contracts, will be publicly available. For more information about this reporting requirement related to recipient integrity and performance matters, see Appendix XII to 2 CFR Part 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. If your organization receives \$750,000 or greater of Federal funds, it must undergo an independent audit in accordance with 2 CFR 200/45 CFR part 75, subpart F or regulations and policy effective at the time of the award.

Records:

NA.

Account Identification:

07-5151-9-0-140.

Obligations:

(Salaries) FY 16 \$349,999; FY 17 est \$350,000; and FY 18 est \$350,000

Range and Average of Financial Assistance:

\$250,000- \$350,000.

TAFS Codes:

07-1519.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. NA.

Headquarters Office:

Gregg S. Margolis 200 C. Street SW, Washington, District of Columbia 20201
Email: gregg.margolis@hhs.gov Phone: 202-690-3830

Website Address:

<http://www.ph.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that successfully proceed through the initial screening (as outlined in the funding opportunity announcement) will be objectively reviewed based upon the review criteria specified in the funding opportunity. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Award from the Office of the Assistant Secretary for Preparedness and Response.

93.879 MEDICAL LIBRARY ASSISTANCE**FEDERAL AGENCY:**

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Part D, Subpart 2, Section 472-476; Public Health Service Act, Title III, Part A, Section 301.

OBJECTIVES:

To meet a growing need for investigators trained in biomedical informatics research and data science by training qualified pre- and post-doctoral candidates; to conduct research in biomedical informatics, bioinformatics and related computer, information and data sciences; to facilitate management of electronic health records and clinical research data; to prepare scholarly works in biomedicine and health; to advance biocomputing and bioinformatics through participation in Federal initiatives relating to biomedical informatics, bioinformatics and biomedical computing; and to stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions, through Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) grants.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

RESEARCH GRANT PROGRAMS: Research Grants are available for fundamental and applied research in biomedical informatics and bioinformatics. Areas of research interest include: representation, organization and retrieval of biomedical and biological knowledge, data and images; enhancement of human intellectual capacities through virtual reality, dynamic modeling, artificial intelligence, and machine learning; medical decision-making; linguistic analyses for natural language processing and understanding; informatics topics relevant to public health, consumer information and disaster information management. Research Project Grants (R01) are available for rigorous scientific research studies and experiments. From time to time, NLM issues Requests for Applications for innovative informatics research in special priority areas. Exploratory/Developmental Grants (R21) support early, conceptual work and feasibility tests. Other research grants include Informatics Conference Grants (R13) and several NIH-wide grant programs on high priority topics such as health literacy, behavioral research, health disparity, climate change, and detection of gene environment interactions for complex human diseases. Further information on research grants is available at <http://www.nlm.nih.gov/ep/Grants.html#research>.

TRAINING GRANT PROGRAMS: NLM's University-based Biomedical Informatics Research Training Programs (T15) promote the training of talented individuals who seek research careers in biomedical informatics, translational bioinformatics, clinical research informatics, public health informatics and data science. A competition for these institution-based training grants is announced every five years. The most recent competition was held in 2016. University-based training support may be at the pre- or post-doctoral level. Training sites recruit and choose their trainees, and are expected to have well-established computing resources, strong informatics research and education programs, experienced faculty and staff committed to research in the field of biomedical informatics and/or biomedical computing, and available courses or experience in biomedical informatics, information science, and cognitive sciences. Institutional training grants provide trainee stipends, tuition and fees, travel, and certain institutional support costs. Further information on training grants is available at <http://www.nlm.nih.gov/ep/Grants.html#training>.

CAREER DEVELOPMENT GRANT PROGRAMS: NLM offers a Career Development award (K01) to help promising junior investigators launch their

research careers in biomedical informatics and data science research. NIH Pathway to Independence awards (K99/R00) are reserved for investigators who are making the transition to their first research positions. These grants can last up to five years. They include a mentored period, followed by an unmentored period, and provide both salary and a research support. Research supplement awards are also offered to promote diversity in health-related informatics and data science research, and to promote re-entry into informatics and data science careers. Diversity and re-entry awards are issued as supplements to existing research grants funded by NLM. Further information on career development grants is available at <http://www.nlm.nih.gov/ep/Grants.html#career>.

RESOURCE GRANT PROGRAMS: NLM funds cooperative agreements (UG4) to support Regional Medical Libraries (RMLs) as an integral component of the National Network of Libraries of Medicine (NN/LM). The goal of the NN/LM is to advance the progress of medicine and improve public health by providing U.S. health professionals with equal access to biomedical information, and to improve individuals access to information to enable them to make informed decisions about their health. The RMLs coordinate the operation of the network of libraries and other organizations that make up the NN/LM. NLM Information Resource Grants to Reduce Health Disparities (G08) support implementation projects that bring useful, usable health information to health disparity populations and their health care providers. NLM offers Grants for Scholarly Works (G13) to support preparation of books in the history and philosophy of biomedicine, bioethics, and public policy areas of importance to health professionals and biomedical scientists. The purpose, restrictions, funding periods and award limits vary for these grant programs and are described in the funding opportunity announcements (FOAs). Further information on resource grants is available at <http://www.nlm.nih.gov/ep/Grants.html#resource>.

SMALL BUSINESS RESEARCH & DEVELOPMENT GRANT PROGRAMS: Small Business Innovation Research (SBIR) and Small Business Technology Transfer Research (STTR) grants are made to U.S. small businesses that seek to undertake informatics and data science research and development leading to commercialization. The STTR program requires a small business applicant organization to formally collaborate with a research institution in Phase I and Phase II. SBIR Phase I grants are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. STTR Phase I grants are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I, and scientific and technical merit and commercial potential of the proposed project in Phase II application. Further information on SBIR/STTR grants is available at <http://www.nlm.nih.gov/ep/Grants.html#small>.

Applicant Eligibility:

Any individual(s) with the skills, knowledge, and resources necessary to carry out the proposed research as the project director/principal investigator (PD/PI) is invited to work with his/her organization to develop an application for support. Individuals from underrepresented racial and ethnic groups as well as individuals with disabilities are always encouraged to apply for NIH support. See Beneficiary Eligibility below for further details.

Beneficiary Eligibility:

Research Grants are available to public or private, domestic or foreign, for profit or not-for-profit institutions or organizations with research capabilities in biomedical informatics, bioinformatics, computer sciences, information sciences, data sciences and related disciplines. Training Grants may be made to nonfederal public and nonprofit private institutions. With the exception of NIH Pathway to Independence awards, trainees must be citizens or non-citizen nationals of the United States or have been lawfully admitted to the United States for permanent residence. Resource grants (Information Resource, Scholarly Works) are open to any U.S. public or private nonprofit institution or organization. SBIR and STTR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). To be eligible for funding, a grant application must be

approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Credentials/Documentation:

This program is subject to the Uniform Guidelines (2 CFR 200), Subpart E - Cost Principles. These guidelines consolidate all cost principles, audit and administrative requirements for Federal grants and cooperative agreements. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Generally, electronic applications are accepted for Research & Training Grants, SBIR and STTR grants, Resource Grants as well as Fellowship awards. Electronic grant submission of the SF424 (R&R) form is via <http://www.grants.gov>. For instructions on submitting grants electronically, see <http://era.nih.gov/ElectronicReceipt/>. These programs are subject to the provisions of 45 CFR Part 75 as applicable.

Updates on NLM application deadlines and links to available forms can also be found at <http://www.nlm.nih.gov/ep/>.

Award Procedure:

Research, training, career development and resource applications are evaluated for merit by a committee of scientific experts and for program relevance by the Board of Regents (BOR) of the National Library of Medicine (NLM). If favorably recommended, the applications are considered for funding. An award notice is prepared when it is determined that a grant is to be paid. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds based on scientific and technical merit and commercial potential of the proposed research, program relevance, and portfolio balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 9-10 months after the application deadline.

Appeals:

NIH policy allows a principal investigator (PI) to appeal the outcome of a review if procedural errors or factual errors entered into the review of the application. A description of the NIH Peer Review Appeal procedures is available at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>. However, differences of scientific opinion that often occur between investigators and reviewers may not be contested through these procedures. In addition, communications from investigators consisting of additional information that was not available to the reviewers are not considered to be appeals. The updated NIH policy on submission of grant applications, including resubmissions, can be found at: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-14-074.html>.

Renewals:

> 180 Days. Competing renewal applications follow the same review procedures as new applications; dates for submission are at <http://www.nlm.nih.gov/ep/Deadlines.html>. NIH also permits the resubmission of an application that has been revised following merit review. Deadlines for resubmissions are listed with other deadlines. Applicants should consult the funding opportunity announcement to find out if renewals are allowed for that grant program.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length of a grant award varies by the type of grant. R01 research grants generally last three or four years. Resource grants, Exploratory/Developmental grants, and Career Transition awards have defined maximum periods that are provided in the funding opportunity announcements. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award will be provided to the successful applicant organization. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Annual progress reports submitted to NLM outline scientific progress made by the grantee within the intended specific aims and scope outlined in the competing grant application. Mid-year progress reports may be requested by a NLM Program Officer. At the end of a grant, the awardee must file a Final Progress Report, Final Invention statement and final Federal Financial Report. A Federal Financial Report must be filed when requested. A final Federal Financial Report must be filed at the end of the grant. No performance monitoring is required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All audit requirements for this program are defined in 2 CFR 200, Subpart F - Audit Requirements.

Records:

Financial and programmatic grant records must be retained for three (3) years from the day on which the grantee submits the final Federal Financial Report (FFR). The beginning date for record retention purposes is the date of the FFR submission for the competitive segment of the grant.

Account Identification:

75-0807-0-2-552.

Obligations:

(Project Grants) FY 16 \$42,276,400; FY 17 est \$54,254,899; and FY 18 est \$54,254,899

Range and Average of Financial Assistance:

\$20,000 to \$750,000 (range)
\$354,360 average total cost for Basic Research Grant (R01)
\$67,701 average total cost for Information Resource Grant (G08)
\$44,443 - average total cost for Scholarly Works Grant (G13).

TAFS Codes:

75-0807.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: NLM received over 270 competing applications; of those 229 were reviewed and 47 awards were issued. NLM funded 101 noncompeting renewal applications. A total of 148 new and continuing awards were issued. The overall success rate was 13.5%. Fiscal Year 2017: 320 new and continuing applications will be received. 130 new and continuing awards will be made. Fiscal Year 2018: 320 new and continuing applications will be received. 130 new and continuing awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Library of Medicine Grant Programs are awarded under the Public

Health Service Act and the Medical Library Assistance Act. Grants and funding awards are made available under the authority of the Acts as codified under 45 CFR 74 (Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospitals, Other Nonprofit Organizations, and Commercial Organizations; and Certain Grants and Agreements with States, Local Governments, and Indian Tribal Governments and 45 CFR 92 (Uniform Administrative Requirements for Grants and Cooperative Agreements to States and Local Governments); the NIH Grants Policy Statement is available to grantees as a single document governing the NIH/DHHS policy requirements and terms and conditions of NIH/DHHS grant awards. Additional regulations and guidelines are provided in the Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR - R43, R44) Grant and Cooperative Agreement Applications including the Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR - R41, R42) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Extramural Programs Extramural Programs National Library of Medicine, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20894. Telephone: (301) 496-4621. Program Contact: Program Officer: Telephone: (301) 594-4882. Grants Management Contact: Grants Management Officer: Telephone: (301) 496-4221. Grant Review Contact: Scientific Review Officer: Telephone: (301) 496-4253. Use the same numbers for FTS. Website: <http://www.nlm.nih.gov/ep>. Bethesda, Maryland 20892 Phone: (301) 496-4621, Bethesda, Maryland 20892 Phone: (301) 496-4621

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: An ongoing list of NLM-awarded grants may be found at <http://www.nlm.nih.gov/Awards2016.html>. Fiscal Year 2017: An ongoing list of NLM-awarded grants may be found at <http://www.nlm.nih.gov/Awards2017.html>. Fiscal Year 2018: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

The standard NIH review criteria, significance, investigator, innovation, approach, environment are used as the basis of merit review. Considerations for final award decisions include level of innovation, program priority, portfolio balance, and availability of funds. For NLM resource grants, review criteria include considerations as to relevance to program objectives; impact on the management and transmission of biomedical knowledge; institutional readiness and resources available to project; expertise of project director and key personnel; scientific or technical merit of project; sustainability of what is deployed, and appropriateness of budget. For Career awards, review criteria include issues pertaining to the suitability of the candidates and their career development plan, research plan, mentors/consultants/collaborators, as well as the environment and institutional commitment to the candidates. For Training awards, review criteria include evidence of soundness in the areas of: Training Program and Environment, Training Program Principal Investigator(s), Preceptors and Mentors, Trainees, and Training Record. Comparative priorities on the above criteria are based on collective judgment of peer reviewers. The following criteria are used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the scientific, technical, or technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications are reviewed based upon similar criteria, and on the degree to which the Phase I objectives were met and feasibility demonstrated.

93.881 THE HEALTH INSURANCE ENFORCEMENT AND CONSUMER PROTECTIONS GRANT PROGRAM

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act (PPACA), Section 1003.

OBJECTIVES:

The Health Insurance Enforcement and Consumer Protections grants will provide a funding source to assist states in implementing and planning several of the federal market reforms and consumer protections under Part A of Title XXVII of the Public Health Service Act. The Health Insurance Enforcement and Consumer Protections grants will provide states with the opportunity to ensure their laws, regulations, and procedures are in line with federal requirements and that states are able to effectively oversee and enforce the PHS Acts title XXVII Part A provisions with respect to health insurance issuers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds can be used for a variety of planning and implementation objectives, including but not limited to implementing or enhancing policy form review, market conduct examinations, market analysis, financial examinations, and consumer complaint investigations with respect to the market reforms and consumer protections under Part A of title XXVII of the PHS Act. The Department of Health and Human Services Grants for Health Insurance Enforcement and Consumer Protections Cycle I funds may not be used for any of the following: cover the costs to provide direct services to individuals, to match any other Federal funds, provide services, equipment, or supports that are the legal responsibility of another party under Federal or State law (e.g.: vocational rehabilitation or education services) or under any civil rights laws, supplant existing State, local, Tribal, or private funding of infrastructure or services such as staff salaries, etc. provide goods or services not allocable to the approved budget, be used by local entities to satisfy state matching requirements, pay for construction, pay for capital.

Applicant Eligibility:

The Health Insurance Enforcement and Consumer Protections grant is open to all states that are currently enforcing the ACA market reforms and also for those states who are not currently enforcing the ACA market reforms to assist with their respective transition to an active enforcement role for all the market reforms and consumer protections under Part A of Title XXVII of the Public Health Service Act.

Beneficiary Eligibility:

Grants to States' (including the District of Columbia) for planning and/or implementing the market reforms and consumer protections in Part A of title XXVII of the PHS Act.

Credentials/Documentation:

Applicants should review the CMS funding opportunity announcement documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. CMS requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the CMS program

official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Grant Award.

Deadlines:

Jun 24, 2016 to Jul 25, 2016 A Letter of Intent is mandatory and must be submitted by 5:00 pm Eastern Daylight Time on July 6, 2016. All grant applications must be submitted electronically and be received through <http://www.grants.gov> by 3:00 pm Eastern Daylight Time on August 15, 2016.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Section 1003 of the ACA provides for funding to be awarded between Fiscal Year 2010 and Fiscal Year 2014. Section 2794(c)(2)(B) of the Public Health Service Act specifies that if there are any appropriated Rate Review Grant funds that are not fully obligated by the end of FY14, such amounts shall remain available to the Secretary for grants to states for planning and implementing the insurance market reforms and consumer protections under Part A of title XXVII of the PHS Act. Refer to funding opportunity announcement for additional information on subsequent grants awarded for premium review authorized under Section 1003 of ACA.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grant funding for this funding opportunity announcement will be available through FY 2018. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. October 31, 2016-October 30, 2018. See the following for information on how assistance is awarded/released: Awards will be created and released by GrantSolutions. See the following for information on how assistance is awarded/released: Awards will be created and released by GrantSolutions.

Reports:

Quarterly, Annual and Final programmatic reports are required in the funding opportunity announcement. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to www.dpm.psc.gov for additional information. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. Required Quarterly, Annual, and Final Progress Reports. Grantees must provide HHS with information such as, but not limited to, project status, implementation activities initiated, accomplishments, barriers, and lessons learned in order to ensure that funds are used for authorized purposes. Such performance includes submission of the states progress toward the milestones identified in its Work Plan. HHS reserves the right to restrict funds for activities related to unmet milestones. Recipients must submit annual expenditures information through submission of a Federal Financial Report (FFR). All recipients are required to report on expenditures at least annually. Since this is a multi-year project, then recipients will be required to report on expenditures every 12 months (on annual Federal Financial Report (FFR or SF-425) and final Federal Financial Report). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the final Federal Financial Report. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0112-0-1-551.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$25,547,052; and FY 18 est \$0

Range and Average of Financial Assistance:

Grantees will receive a minimum of \$476,998 as a baseline award amount.

TAFS Codes:

75-0112.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards implemented by HHS regulation 45 CFR Part 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards.

Regional or Local Office:

None.

Headquarters Office:

Jim Taing 200 Independence Avenue, Washington, District of Columbia 20201
Email: james.taing@cms.hhs.gov Phone: 301-492-4182

Website Address:

https://www.cms.gov/CCIOP/Programs-and-Initiatives/Health-Insurance-Market-Reforms/Health_Insurance_Enforcement_and_Consumer_Protections-Grants.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in Section V--Application Review in the funding opportunity announcement.

93.882 HIGH IMPACT PILOT AWARDS

HIP Awards

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Consolidated Appropriations Act, 2016, Pub. L. No. 114-113, Division H, Title II.

OBJECTIVES:

The purpose of these pilots is to advance a scalable process of interoperable exchange of electronic health data using standards that will improve the delivery of how and where health care is delivered, improve patient outcomes, and reduce cost. These pilots will be administered as Cooperative Agreements so as to foster an interactive and collaborative dialogue that stimulates innovation and focuses efforts in a harmonized manner. All awardees will be expected to measure the progress of their pilots and the level of impact towards the advancement of interoperability and produce a final evaluation report that includes lessons learned.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Contracts, Subgrant. Funds under this announcement cannot be used for the following purposes:

To supplant or replace current public or private funding.

To supplant on-going or usual activities of any organization involved in the project.

To purchase or improve land, or to purchase, construct, or make permanent improvements to any building.

To reimburse pre-award costs.

Applicant Eligibility:

The objective of this award is to advance a scalable process of interoperable exchange of electronic health data using standards that will improve the delivery of how and where health care is delivered, improve patient outcomes, and reduce cost. Collaborative groups of multiple stakeholders across different organizations will be considered, as well as encouraged, to support widespread interoperability.

Beneficiary Eligibility:

As health care delivery impacts everyone, the beneficiary eligibility includes all of the listed groups.

Credentials/Documentation:

If applicants intend to apply as a collaborative group, a list of confirmed and potential participants need to be included as part of the application; letters of commitment from confirmed participants will also be required. Applicants must also be able to provide sufficient documentation that show their ability to advance the use of interoperable standards for the electronic exchange of health data in their identified use case, including but not limited to a project plan addressing the SOW from the FOA and proposed timeline, budget proposal on how they would use the award money, existing health IT infrastructure, certification of any current health IT module(s), and list of key personnel, including a CV. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants are expected to provide a Funding Opportunity Announcement (FOA) application that address all of the requirements as described in the FOAs statement of work (SOW). For purposes of encouraging innovation and interoperable cooperation, collaborative groups of different organizations will be considered, as well as encouraged. If this is a collaborative response, applicants should designate a primary applicant who will be the primary organization responsible for all deliverables and reports, including financial reports. A complete list of project partners (both confirmed and potential) should be included in the FOA application; if a partner is confirmed, a letter of commitment should be included.

Award Procedure:

Awarding agency will issue the FOA for a 60-day window. At the end of the 60-day application period, the awarding agency will review all applications over a 30-day window. The award review process will include award review panels comprised of subject matter experts and at least one federal employee. The awarding agency will inform all applicants in writing the status of their application (awarded, not awarded). Applicant awardees will be provided with the terms and conditions of their award, and will commence working with the awarding agency to implement their pilot. If the awardee is a collaborative (primary applicant with subawardees), all awardees will be subject to the terms and conditions of the award; however, the primary awardee will be responsible for all deliverables and reports, including financial reports. The awarding agency will provide oversight of the awards, including but not limited to quarterly reporting. These awards will be issued as Cooperative Agreements and it is the expectation of the awarding agency that the awardees work together in carrying out the activities contemplated in the agreement.

Deadlines:

May 09, 2016 to Jul 08, 2016

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Renewals may be available if the applicant is able to successfully show progress towards achieving specified goals.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance will be made available for the 12 month period of performance.
Method of awarding/releasing assistance: quarterly.

Reports:

Awardees will be required to submit quarterly progress reports, as well as a program close-out report that should include lessons learned. Semi Annual Federal Cash Transaction Reports are required to be submitting to the Office of Procurement and Grants, as well as quarterly cash transaction reports. Awardees will be required to submit quarterly progress reports. Yes, expenditure reports will be required as a part of awardees quarterly progress reports, as well as a final financial and reconciliation report of award expenditures as part of the program close-out report. Awardees will be required to submit quarterly progress reports, as well as a program close-out report that should include lessons learned.

Audits:

No audits are required for this program.

Records:

All program-related records are required to be maintained for no less than 3-years following the official close-out date of the program.

Account Identification:

75-0130-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

There has been \$1,250,000 for FFY16-17 for this award. We estimate that we would award no more than four (4) awards total; the award amounts would be no less than \$250,000 and may not exceed \$500,000 per awardee. The award amount will be determined upon the scope and strength the awardees proposal.

TAFS Codes:

75-16-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 75

Regional or Local Office:

None.

Headquarters Office:

Carmel Halloun 330 C Street SW, Washington , District of Columbia 20201
Email: carmel.halloun@hhs.gov Phone: 2027202919

Website Address:

<http://www.healthit.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a review panel comprised of no less than one (1) federal employees and subject matter experts (non-federal employees). Each proposal will receive a score based on strength and merit of their proposals, their ability to meet the scope of their proposed projects and the identified outcomes and objectives, and their use case. Selection criteria will include, but

not be limited to, meeting the scope of work requirements, addressing an selected priority area, selection of no less than three (3) domains, the use of standards to advance interoperability, their evaluation and measurement plan, estimated cost of their proposal, if the applicants are leveraging partnerships, and other criteria yet to be determined.

93.883 STANDARDS EXPLORATION AWARD

Standards Exploration Awards

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Consolidated Appropriations Act, 2016, Pub. L. No. 114-113, Division H, Title II.

OBJECTIVES:

The Office of the National Coordinator for Health Information Technology (ONC) is seeking to fund up to four (4) pilot programs that will advance the use of interoperable standards in particular use cases. The purpose of these pilots is advance a scalable process of interoperable exchange of electronic health data using standards that will improve the delivery of how and where health care is delivered, improve patient outcomes, and reduce cost. These pilots will be administered as Cooperative Agreements so as to foster an interactive and collaborative dialogue that stimulates innovation and focuses efforts in a harmonized manner. All awardees will be expected to measure the progress of their pilots and the level of impact towards the advancement of interoperability and produce a final evaluation report that includes lessons learned.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Contracts, Subgrant. Funds under this announcement cannot be used for the following purposes:

To supplant or replace current public or private funding.

To supplant on-going or usual activities of any organization involved in the project.

To purchase or improve land, or to purchase, construct, or make permanent improvements to any building.

To reimburse pre-award costs. FY 16 ONC Appropriations.

Applicant Eligibility:

The objective of this award is to advance a scalable process of interoperable exchange of electronic health data using standards that will improve the delivery of how and where health care is delivered, improve patient outcomes, and reduce cost. Collaborative groups of multiple stakeholders across different organizations will be considered, as well as encouraged, to support widespread interoperability.

Beneficiary Eligibility:

As health care delivery impacts everyone, the beneficiary eligibility includes all of the listed groups as provided in the reference manual.

Credentials/Documentation:

If applicants intend to apply as a collaborative group, a list of confirmed and potential participants need to be included as part of the application; letters of commitment from confirmed participants will also be required. Applicants must also be able to provide sufficient documentation that show their ability to advance the use of interoperable standards for the electronic exchange of health data in their identified use case, including but not limited to a project plan addressing the SOW from the FOA and proposed timeline, budget proposal on how they would use the award money, existing health IT infrastructure, certification of any current health IT module(s), and list of key personnel, including a CV. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Applicants are expected to provide a Funding Opportunity Announcement (FOA) application that address all of the requirements as described in the FOAs statement of work (SOW). For purposes of encouraging innovation and interoperable cooperation, collaborative groups of different organizations will be considered, as well as encouraged. If this is a collaborative response, applicants should designate a primary applicant who will be the primary organization responsible for all deliverables and reports, including financial reports. A complete list of project partners (both confirmed and potential) should be included in the FOA application; if a partner is confirmed, a letter of commitment should be included.

Award Procedure:

Awarding agency will issue the FOA for a 60-day window. At the end of the 60-day application period, the awarding agency will review all applications over a 30-day window. The award review process will include award review panels comprised of subject matter experts and at least one federal employee. The awarding agency will inform all applicants in writing the status of their application (awarded, not awarded). Applicant awardees will be provided with the terms and conditions of their award, and will commence working with the awarding agency to implement their pilot. If the awardee is a collaborative (primary applicant with subawardees), all awardees will be subject to the terms and conditions of the award; however, the primary awardee will be responsible for all deliverables and reports, including financial reports. The awarding agency will provide oversight of the awards, including but not limited to quarterly reporting. These awards will be issued as Cooperative Agreements and it is the expectation of the awarding agency that the awardees work together in carrying out the activities contemplated in the agreement.

Deadlines:

Jul 08, 2016 The FOA will have an open application period of 60 days.
Targeted FOA Release Date: May 9, 2016
Targeted FOA Application Close Date: July 8, 2016.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance will be made available for the 12 month period of performance. See the following for information on how assistance is awarded/released: The assistance will be awarded and/or released monthly.

Reports:

Awardees will be required to submit quarterly progress reports, as well as a program close-out report that should include lessons learned. Semi Annual Federal Cash Transaction Reports are required to be submitted to the Office of Procurement and Grants, as well as quarterly cash transaction reports. Awardees will be required to submit quarterly progress reports. Yes, expenditure reports will be required as a part of the awardees quarterly progress reports, as well as a final financial and reconciliation report of award expenditures as part of the program close-out report. Awardees will be required to submit quarterly progress reports, as well as a program close-out report that should include lessons learned.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

75-0130-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

There has been \$250,000 for FFY16-17 for this award. We estimate that we would award no more than four (4) awards total; the award amounts would be no less than \$20,000 and may not exceed \$75,000 per awardee. The award amount will be determined upon the scope and strength the awardees proposal.

TAFS Codes:

75-16-0130-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 45

Regional or Local Office:

None.

Headquarters Office:

Carmel Halloun 330 C Street SW, Washington , District of Columbia 20201
Email: carmel.halloun@hhs.gov Phone: 2027202919

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a review panel comprised of no less than one (1) federal employees and subject matter experts (non-federal employees). Each proposal will receive a score based on strength and merit of their proposals, their ability to meet the scope of their proposed projects and the identified outcomes and objectives, and their use case. Selection criteria will include, but not be limited to, meeting the scope of work requirements, addressing an selected priority area, selection of no less than three (3) domains, the use of standards to advance interoperability, their evaluation and measurement plan, estimated cost of their proposal, if the applicants are leveraging partnerships, and other criteria yet to be determined.

93.884 GRANTS FOR PRIMARY CARE TRAINING AND ENHANCEMENT

Primary Care Training and Enhancement; PCTE

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 747 (a) (b) of the Public Health Service Act.

OBJECTIVES:

The overarching purpose of the PCTE program is to strengthen the primary care workforce by supporting enhanced training for future primary care. In FY 2015 and FY 2016, the PCTE program focused on training for transforming health care systems, particularly enhancing the clinical training experience of trainees. The focus of this grant is to produce primary care providers who will be well prepared to practice in and lead transforming health care systems aimed at improving access, quality of care, and cost effectiveness. Activities include: (1) plan, develop, and operate a program that provides training experiences in new competencies, such as providing training relevant to providing care through patient-centered medical homes, developing tools and curricula relevant to patient-centered medical homes, and providing continuing education to primary care providers relevant to patient-centered medical homes; (2) plan, develop and operate a program for the training of physicians who plan to teach in family medicine, general internal medicine, or general pediatrics; (3) plan, develop, and operate a program for the training of physicians or physician assistants teaching in community-based settings; (4) provide need-based financial assistance in the form of traineeships and fellowships to students, residents,

practicing physicians or other medical personnel, who are participants in any such program, who plan to work in, teach, or conduct research in family medicine, general internal medicine, general pediatrics, or physician assistant education; or (5) plan, develop and operate joint degree programs to provide interdisciplinary and interprofessional graduate training in public health and other health professions to provide training in environmental health, infectious disease, disease prevention and health promotion, epidemiological studies and injury control.

In FY 2017, PCTE grantees were given the opportunity to request supplemental funding to be used in training related to Medication Assisted Treatment (MAT). Recipients were required to meet one of the following program requirements: 1) Integration of opioid use disorder diagnosis, prevention and treatment, emphasizing MAT, into the core curriculum of the medical education program; 2) Faculty training in opioid use disorder diagnosis, prevention and treatment, emphasizing MAT (i.e., train the trainer model); or 3) Enhancement of community-based primary care training sites to provide and train in opioid use disorder diagnosis, prevention and treatment, emphasizing MAT. This may include community preceptor training or technical assistance to initiate or expand MAT services at the primary care training site. for training physician, physician assistant and faculty in Medication-Assisted Treatment in Opioid Use Disorder

In FY 2016, the PCTE program also awarded the Academic Units for PCTE program to improve clinical teaching and research in the fields of family medicine, general internal medicine, and general pediatrics. The program supports systems-level research, dissemination, and community of practice to advance primary care training.

Prior to FY 2015 grants were awarded for the following purposes: (1) Academic Administrative Units in Primary Care-- to establish, maintain, or improve academic administrative units (which may be departments, divisions, or other entities) to provide clinical instruction in family medicine, general internal medicine, general pediatrics, and/or combined internal medicine-pediatrics; (2) Predoctoral Training in Primary Care-- to plan, develop, and operate or participate in programs that teach medical students primary care skills through experiences in family medicine, general internal medicine, general pediatrics, and/or combined internal medicine-pediatrics; (3) Residency Training in Primary Care-- to plan, develop, and operate or participate in approved residency programs in family medicine, general internal medicine, general pediatrics, and/or combine internal medicine-pediatrics; (4) Physician Faculty Development in Primary Care-- to plan, develop, and operate programs (including provision of financial assistance to trainees) that prepare physicians who teach or plan to teach in family medicine, general internal medicine, general pediatrics, and/or combined internal medicine-pediatrics training programs; (5) Physician Assistant Training in Primary Care to plan, develop, and operate or maintain programs that teach physician assistant students and that train individuals who will teach physician assistant students; (6) Interdisciplinary and Interprofessional Joint Graduate Degree -- to plan, develop, and operate joint degree programs to provide interdisciplinary and interprofessional graduate training in public health and other health professions and that teach environmental health, infectious disease control, disease prevention and health promotion, epidemiological studies and/or injury control.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may not be used for new construction or patient services.

Stipends are not allowed for residents or medical students.

Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Funds may not be used for the following purposes: Stipends are not allowed for residents or medical students. Student support through stipends, tuition, and fees is not eligible for support. Grants may not be used for new construction or patient services.

Applicant Eligibility:

Eligible entities include accredited public or nonprofit private hospitals, schools of allopathic or osteopathic medicine, academically affiliated physician assistant training programs, or a public or nonprofit private entity that the Secretary has determined is capable of carrying out such grants. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Beneficiaries include physician and physician assistant training programs that train medical students, physician assistant students, medical residents, practicing physician and physician assistants, and physician and physician assistant faculty.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 5-year project period. Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and

any related forms within 90 days after the project period ends. New awards (Type 1) issued under this Notice of Funding Opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$4,422,257; FY 17 est \$4,426,038; and FY 18 est \$0 - Academic Units for Primary Care Training and Enhancement Program. (Project Grants) FY 16 \$3,482,325; FY 17 est \$0; and FY 18 est \$0 - Interdisciplinary and Interprofessional Joint Degree Program. (Project Grants) FY 16 \$2,380,079; FY 17 est \$0; and FY 18 est \$0 - Physician Assistant Training in Primary Care. (Project Grants) FY 16 \$25,281,274; FY 17 est \$29,153,876; and FY 18 est \$0 - Primary Care Training and Enhancement Program.

Range and Average of Financial Assistance:

Primary Care Training and Enhancement Program:
FY 2015 Range: \$126,390 to \$350,000; Average award: \$288,145
FY 2016 Range: \$110,000 to \$500,000; Average award: \$366,395
FY 2017 Range (est): \$194,813 to \$580,000; Average award (est): \$428,733

Academic Units for Primary Care Training and Enhancement Program:
FY 2015 Range: \$0; Average award: \$0
FY 2016 Range: \$703,396 to \$749,897; Average award: \$737,043
FY 2017 Range (est): \$703,396 to \$749,897; Average award (est): \$737,043

Physician Assistant Training in Primary Care:
FY 2015 Range: \$90,159 to \$203,454; Average award: \$145,530
FY 2016 Range: \$150,695 to \$218,694; Average award: \$158,672

Interdisciplinary and Interprofessional Joint Degree Program:
FY 2015 Range: \$155,406 to \$317,330; Average award: \$277,912
FY 2016 Range: \$184,635 to \$317,890; Average award: \$290,199.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016; Fiscal Year 2016: In Academic Year 2015-2016, the Primary Care Training and Enhancement program supported the training of 1,037 medical residents, 798 medical students and 575 physician assistant students. The PCTE grantees partnered with 437 healthcare delivery sites to provide clinical training experiences for 2,232 students and advanced trainees from a

variety of professions and disciplines incorporating interprofessional team-based approaches. Sixty-five percent of the clinical sites were located in medically underserved communities, 60.2% were in primary care settings and 42.3% were in rural areas. Funds were used to deliver 74 unique continuing education courses to 535 faculty members and practicing providers and to develop and implement 154 different courses, reaching 6,756 trainees. Faculty development was provided to 2,647 primary care faculty physicians through 132 structured and unstructured faculty-focused training programs and activities.

Fiscal Year 2016: The Academic Unit-Primary Care Training and Enhancement program funded six cooperative agreements. The awardees are completing 12 research proposals dealing with integration of primary care into behavioral health, integration of primary care into oral health, training in rural areas, training for the needs of vulnerable populations, training in the social determinants of health and diversity in health workforce. They have developed and implemented 6 individual websites and are developing communities of practice.

Faculty Development in Primary Care: no data available
Pre-doctoral Training in Primary Care: no data available
Physician Assistant Training in Primary Care: no data available
Residency Training in Primary Care: no data available
Interdisciplinary and Interprofessional Joint Graduate Degree: no data available.
Fiscal Year 2017: Fiscal Year 2017: No Current Data Available.
Fiscal Year 2018: Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Irene Sandvold, Project Officer, Medical Training and Geriatrics Branch, Division of Medicine and Dentistry, Bureau of Health Workforce 5600 Fishers Lane, Room 15N152., Rockville, Maryland 20857 Email: isandvold@hrsa.gov Phone: (301) 443-2295

Website Address:

<http://bhpr.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.889 NATIONAL BIOTERRORISM HOSPITAL PREPAREDNESS PROGRAM (HPP)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Section 319C-2 of the Public Health Service (PHS) Act, as amended by the Pandemic and All-Hazards Preparedness Reauthorization Act (PAHPRA) of 2013, Public Law 113-5.

OBJECTIVES:

To ready hospitals and other healthcare systems, in collaboration with other partners, to deliver coordinated and effective care to victims of terrorism and other public health emergencies.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The distribution of funds will be to the health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the United States Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands. The statute specifies appropriate use of funds.

Applicant Eligibility:

State health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the United States Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Beneficiary Eligibility:

All State health departments listed above, hospitals and supporting health care systems.

Credentials/Documentation:

Applicants should review the individual ASPR Hospital Preparedness Program Funding Opportunity Announcement documents issued under this CFDA for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications from eligible applicants will be reviewed and final funding award recommendations forwarded to ASPR.

Award Procedure:

Notification is made in writing through a Notice of Grant Award issued from the program's Grants Management Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Section 319C-2 of the Public Health Service (PHS) Act, as

amended by the Pandemic and All-Hazards Preparedness Act (PAHPA) of 2006, Public Law 109-417.

Matching Requirements: Percent: 10%.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Project periods are limited up to 3 years. Budget periods are limited up to 12 months. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Awardee is issued a Notice of Award, a legal document that informs the awardee that a grant award has been made. Awardee may draw down funds in advance of the incurrence of an expenditure (up to three days) from the Payment Management System.

Reports:

Program reports are not applicable. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. Recipients will utilize the Transactions section of SF425 in lieu of the SF272. The FFR SF425 cash Transaction Report is due 30 days after the end of each calendar quarter. Semi-annual and year end progress reports are required. ASPR requires cumulative financial reporting through consecutive funding periods on the SF269 FSR long form. The FFR SF425 was designed to replace the Financial Status Report SF269 and the Federal Cash Transactions Report SF272 with one comprehensive financial reporting form. Until HHS fully migrates to the SF425 FFR, recipients are still required to submit the SF269 Financial Status Report (FSR) semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Grantees are required to submit final Financial Status Reports (SF-269). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. A-133

Records:

Awardees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements are provided in Title 45, CFR Part 92.

Account Identification:

75-0140-0-1-551.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 16 \$150,000; FY 17 est \$150,000; and FY 18 est \$150,000

Range and Average of Financial Assistance:

Range in FY 13: \$270,000 - to \$27,000,000. FY 13 Average: \$5,351,000.

TAFS Codes:

75-01.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

None.

Headquarters Office:

Robert Scott Dugas, Hospital Preparedness Program, U.S. Department of Health and Human Services, Assistant Secretary for Preparedness and

Response, 200 C E Street, SW, Concourse C4K17, Washington, District of Columbia 20024 Email: Robert.Dugas@hhs.gov Phone: (202) 245-0732

Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This is a formula grant program. The applicants must submit their application in accordance with fiscal year Funding Opportunity Announcement. The applications are reviewed and approved applications are processed immediately. Applications approved with conditions, require the applicant to resubmit supporting information within a prescribed time frame. Upon review and approval, the revised application can then be submitted to Grants Management for processing and issuing a notice of grant award.

93.893 HEALTH CARE AND PUBLIC HEALTH (HPH) SECTOR INFORMATION SHARING AND ANALYSIS ORGANIZATION (ISAO) FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Consolidated Appropriations Act, 2016, Pub. L. No. 114-113, Division H, Title II.

OBJECTIVES:

The purpose of this cooperative agreement is to build the capacity of an information sharing and analysis organization (ISAO) to share information bi-directionally with the Health and Public Health (HPH) sector and HHS about cyber threats and provide outreach and education surrounding cybersecurity awareness. Under this FAO, the awardee will access Federal resources to develop the infrastructure and sector-specific expertise needed to function as a health care specific information sharing and analysis organization (ISAO).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Contracts, Subgrant, Personnel. Funds under this announcement cannot be used for the following purposes:

- To supplant or replace current public or private funding.
- To supplant on-going or usual activities of any organization involved in the project.
- To purchase or improve land, or to purchase, construct, or make permanent improvements to any building.
- To reimburse pre-award costs. FY 16 ONC Appropriations.

Applicant Eligibility:

In order to be eligible for funding, the entity needs to be performing some of the functions of an Information Sharing and Analysis Organizations (i.e., currently sharing information bi-directionally with the Health and Public Health (HPH) sector or sectors about cyber threats and providing outreach and education surrounding cybersecurity awareness) and possess the capacity to expand.

Beneficiary Eligibility:

As health care delivery impacts everyone, the beneficiary eligibility includes all of the listed groups as provided in the reference manual.

Credentials/Documentation:

Organization must be currently functioning as a cybersecurity information sharing organization. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Awarding agency will issue the FOA for a 60-day window. At the end of the 60-day application period, the awarding agency will review all applications over a 30- day window. The award review process will include award review panels comprised of subject matter experts and at least one federal employee. The awarding agency will inform all applicants in writing the status of their application (awarded, not awarded). Applicant awardees will be provided with the terms and conditions of their award, and will commence working with the awarding agency to implement. If the awardee is a collaborative (primary applicant with subawardees), all awardees will be subject to the terms and conditions of the award; however, the primary awardee will be responsible for all deliverables and reports, including financial reports. The awarding agency will provide oversight of the awards, including but not limited to quarterly reporting. These awards will be issued as Cooperative Agreements and it is the expectation of the awarding agency that the awardees work together in carrying out the activities contemplated in the agreement.

Deadlines:

Aug 08, 2016 The FOA will had an open application period of 30 days.
FOA Release Date: July 20, 2016
FOA Application Close Date: August 19, 2016.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

The awarding agency may issue a 30-day rework period should the majority of the FOA applicants fail to submit the required objectives as described in the FOA SOW. There will be no appeals process for this program.

Renewals:

Renewals may be available if the applicant is able to successfully show progress towards achieving specified goals.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance will be made available for the 5 year period of performance. See the following for information on how assistance is awarded/released: Quarterly.

Reports:

Awardees will be required to submit quarterly progress reports, as well as a program close-out report that should include lessons learned. Semi Annual Federal Cash Transaction Reports are required to be submitting to the Office of Procurement and Grants, as well as quarterly cash transaction reports. Awardees will be required to submit quarterly progress reports, as well as a program close-out report that should include lessons learned. Yes, expenditure reports will be required as a part of awardees quarterly progress reports, as well as a final financial and reconciliation report of award expenditures as part of the program close-out report. Awardees will be required to submit quarterly progress reports, as well as a program close-out report that should include lessons learned.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees will be required to maintain all award related documents, including but not limited to project plans, testing procedures and results, expenditures, and contracts and agreements (proprietary information may be redacted). All program-related records are required to be maintained for no less than 3-years following the official close-out date of the program.

Account Identification:

75-0130-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-16-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 75

Regional or Local Office:

None.

Headquarters Office:

Carmel Halloun 330 C Street SW, Washington, District of Columbia 20201
Email: carmel.halloun@hhs.gov Phone: 2027202919

Website Address:

<http://www.healthit.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a review panel comprised of no less than one (1) federal employees and subject matter experts (non-federal employees). Each proposal will receive a score based on strength and merit of their proposals, their ability to meet the scope of their proposed projects and the identified outcomes and objectives, and their use case. Selection criteria will include, but not be limited to, meeting the scope of work requirements, addressing an selected priority area, selection of no less than three (3) domains, the use of standards to advance interoperability, their evaluation and measurement plan, estimated cost of their proposal, if the applicants are leveraging partnerships, and other criteria yet to be determined.

93.898 CANCER PREVENTION AND CONTROL PROGRAMS FOR STATE, TERRITORIAL AND TRIBAL ORGANIZATIONS

Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

301 and 317(k)(2) of the Public Health Service (PHS) Act, [42 U.S.C].

OBJECTIVES:

The purpose of this FOA is to transition this highly-functional public health infrastructure for cancer prevention and control into new roles and functions to anticipate the nations needs over the next decade. The goals are to (1) seek efficiencies across the management and operations of cancer prevention and control programs, (2) focus on high-burden cancers with evidence-based, scalable interventions that already exist and can be broadly implemented, (3) develop organized screening programs that are more effective and efficient than current opportunistic approaches, and (4) maintain high-quality cancer registries and expand their application in prevention and screening.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

APPLICANT WILL ADHERE TO ALL CDC GUIDELINES REGARDING ALLOWABLE AND UNALLOWABLE EXPENSES.
RESTRICTIONS THAT MUST BE CONSIDERED WHILE IN PLANNING

THE PROGRAMS AND WRITING THE BUDGET ARE:

AWARDEESS MAY NOT USE FUNDS FOR RESEARCH.
AWARDEESS MAY USE FUNDS FOR REASONABLE PROGRAM PURPOSES, INCLUDING PERSONNEL, TRAVEL, SUPPLIES, AND SERVICES.

Applicant Eligibility:**Program 1**

State governments or their bona fide agents (includes the District of Columbia)

Territorial governments or their bona fide agents in the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

American Indian or Alaska Native tribal governments (federally recognized or state-recognized)

Native American tribal governments (Federally recognized)

Native American tribal organizations (other than Federally recognized tribal governments)

American Indian or Alaska native tribally designated organizations

Program 2 Limited Competition Justification has been submitted

Program 3

State governments or their bona fide agents (includes the District of Columbia)

Territorial governments or their bona fide agents in the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia,.

Beneficiary Eligibility:**Program 1**

State governments or their bona fide agents (includes the District of Columbia)

Territorial governments or their bona fide agents in the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

American Indian or Alaska Native tribal governments (federally recognized or state-recognized)

Native American tribal governments (Federally recognized)

Native American tribal organizations (other than Federally recognized tribal governments)

American Indian or Alaska native tribally designated organizations

Program 2 Limited Competition Justification has been submitted

Program 3

State governments or their bona fide agents (includes the District of Columbia)

Territorial governments or their bona fide agents in the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia,.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications must be submitted electronically at www.Grants.gov. Electronic applications will be considered as having met the

deadline if the application has been successfully made available to CDC for processing from Grants.gov on the deadline date. The application package can be downloaded from www.Grants.gov. Applicants can complete the application package off-line, and then upload and submit the application via the Grants.gov Web site. The applicant must submit all application attachments using a PDF file format when submitting via Grants.gov. Directions for creating PDF files can be found on the Grants.gov Web site. Use of file formats other than PDF may result in the file being unreadable by staff.

Award Procedure:

All eligible applications will be initially reviewed for completeness by the Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by NCCDPHP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified that the application did not meet eligibility and/or published submission requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. The carryover request must:

- Express a bona fide need for permission to use an unobligated balance;
- Include a signed, dated, and accurate Federal Financial Report (FFR) for the budget period from which funds will be transferred (as much as 75% of unobligated balances); and
- Include a list of proposed activities, an itemized budget, and a narrative justification for those activities.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 60%. Program 1 NBCCEDP - \$1 for every \$3 Federal Funds

Program 2 NCCCP - Cost Sharing encouraged

Program 3 NPCR - no less than 25% or \$1 for every \$3 Federal Funds.

This program has MOE requirements, see funding agency for further details.

Program 1: This program does have MOE requirements

Program 2: This program does not have MOE requirements

Program 3 This program has MOE requirements

See funding agency for further details.

Length and Time Phasing of Assistance:

There is a 12 month budget period in which assistance is available. Proposed project period is 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period.

Annual Performance Report (APR) (required): The awardee must submit the APR via www.grants.gov 120 days before the end of the budget period. This report must not exceed 45 pages excluding administrative reporting.

Attachments are not allowed, but Web links are allowed. : Federal Financial Reporting (FFR): The annual FFR form (SF-425) is required and must be submitted through eRA Commons 90 days after the end of the calendar quarter in which the budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information by the due date may adversely affect the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation to PGO and include the date by which the Grants Officer will receive. CDC programs may require more frequent reporting of performance

measures than annually in the APR. If this is the case, CDC programs must specify reporting frequency, data fields, and format for awardees at the beginning of the award period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$213,282,518; and FY 18 est \$213,282,518 - FY17 DP17-1701 Total \$213,282,518

Program 1 NBCCEDP - \$153,100,000

Program 2 NCCCP - \$21358130

Program 3 NPCR - \$39,285,256.

Range and Average of Financial Assistance:

250K - 750K.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Fran Babcock 4770 Buford Hwy, NE, MS F-76, Atlanta, Georgia 30341 Email: fhm2@cdc.gov Phone: 7704884378

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC's National Center for Chronic Disease Prevention and Health Promotion/Division of Cancer Prevention and Control and PGO. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review.

Applicants will be notified that their applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the criteria below.

Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements.

93.908 NURSE CORPS LOAN REPAYMENT PROGRAM

Nurse Corps Loan Repayment Program (Nurse Corps LRP)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 846(a) of the Public Health Service Act (42 United States Code

297(n)(a)).

OBJECTIVES:

The NURSE Corps Loan Repayment Program assists in the recruitment and retention of professional registered nurses (RN), including advanced practice RNs (APRNs), who are dedicated to working full-time in eligible health care facilities with a critical shortage of nurses or eligible schools of nursing. NURSE Corps LRP decreases the economic barriers associated with pursuing careers at Critical Shortage Facilities or in academic nursing.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The program offers RNs substantial economic assistance to repay their qualifying educational loans in exchange for full-time service at a health care facility with a critical shortage of nurses. NURSE Corps LRP participants enter into a contract agreeing to provide full-time service at an approved Critical Shortage Facility (CSF) for two years. In return, NURSE Corps LRP will pay 30 percent of the participant's total qualifying loan balance each year (total of 60 percent). A participant may be eligible to continue his/her two-year NURSE Corps LRP contract to serve for a third (optional) year at a CSF. If a participant works full-time for a third year in a CSF, the NURSE Corps LRP will pay an additional 25 percent of the participant's original total qualifying loan balance, bringing the total to 85 percent of eligible loan balances.

Applicant Eligibility:

Individuals who satisfy the following criteria are eligible to apply: (1) have received a bachelors degree, a masters degree, an associate degree, a diploma, or a doctoral degree in nursing; (2) have outstanding qualifying educational loans leading to a degree or diploma in nursing; (3) a U.S. citizen (either U.S. born or naturalized), U.S., national or a lawful permanent resident of the United States; (4) employed full-time (32 hours or more per week) at a critical shortage facility (CSF) or employed as a full-time nurse faculty member at an accredited, public or private nonprofit school of nursing; (5) have completed the nursing education program for which the loan balance applies; (6) have a current, full, permanent, unencumbered, unrestricted license in the State in which they intend to practice or be authorized to practice in the State under the Nurse Licensure Compact; and (7) submit a complete application, including a signed contract to work full-time as a registered or advanced practice nurse for 2 years at an eligible health care facility with a critical shortage of nurses or an accredited, eligible school of nursing. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Beneficiaries include registered nurses who have received a diploma, an associate degree, a baccalaureate degree or a graduate degree in nursing from an accredited school of nursing.

Credentials/Documentation:

Applicants should review the individual HRSA Application and Program Guidance issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may apply online at <https://programportal.hrsa.gov>

Award Procedure:

Funding preference is given to applicants with the greatest financial need, defined as those qualified applicants whose debt-to-salary ration is 100 percent or greater. Qualified applicants are grouped into funding preference tiers and awards are made in order of decreasing debt-to-salary ratio beginning with Tier 1, until all funds are expended. Award notifications are sent by email before

October 1 of each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification to all applicants are made no later than September 30th of the application year.

Appeals:

Not Applicable.

Renewals:

Renewals for the third year of service will be considered based on the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

RNs and APRNs must provide full-time service (i.e. working at least 32 hours per week) for two consecutive years beginning on the effective date of the NURSE Corps LRP Contract. Nurse Faculty must provide full-time service working full time (as defined by the employer) as a nurse faculty member for a minimum of nine months per service year, for a period of two consecutive years beginning on the effective date of the contract at the school of nursing. See the following for information on how assistance is awarded/released: Payments are made to individuals via electronic direct deposit. Notifications are made by e-mail.

Reports:

The employing critical shortage facility or the school or nursing must complete and send a semi-annual In-Service Verification Form verifying that the participating nurse is providing full-time continuous service as a registered nurse (RN), nurse practitioner (NP) or nurse faculty. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 16 \$49,549,932; FY 17 est \$48,452,829; and FY 18 est \$48,452,829

Range and Average of Financial Assistance:

In FY16 Range for new awards - \$18,603 to \$256,471; FY 16 Average new award - \$78,376.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2016: 518 new awards were made. Fiscal Year 2017: Fiscal Year 2017: No Current Data Available. Fiscal Year 2018: Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see application process for NURSE Corps LRP at <http://www.hrsa.gov/loanscholarships/repayment/nursing/> Refer to the NURSE Corps Loan Repayment Program Guidance.

Regional or Local Office:

None.

Headquarters Office:

NURSE Corps Loan Repayment Program, Division of Health Careers and Financial Support, Bureau of Health Workforce (BHW), Health Resources and

Services Administration, 5600 Fishers Lane, Rockville, Maryland 20857
Phone: (301) 594-4130

Website Address:

<http://www.hrsa.gov/loanscholarships/repayment/nursing/>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.303 Nursing Scholarship Program; 93.364 Nursing Student Loans; 93.547 Affordable Care Act National Health Service Corps

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding preference is defined as the funding of a specific category of group of approved applicants ahead of other categories or groups of approved applicants. As provided in section 846(e) of the PHS Act, as amended, a funding preference is given to eligible applicants with the greatest financial need. Awards are made to the highest ranked applicants until funds are expended.

93.910 FAMILY AND COMMUNITY VIOLENCE PREVENTION PROGRAM

FEDERAL AGENCY:

Office of Minority Health, Department of Health and Human Services

AUTHORIZATION:

OMH is authorized to conduct this program under 42 U.S.C. Section 300 u-6, Section 1707 of the Public Health Service Act, as amended.

OBJECTIVES:

Support interventions that employ a public health approach to provide critical life skills development, academic skills, career advisement, and mentoring. Support interventions that integrate violence prevention and crime reduction models with public health and community oriented policing approaches and require partnerships among law enforcement agencies, public health agencies and other community entities.

Support evidence-based programs that address unhealthy behaviors in at-risk minority male youth and provide them opportunities to learn skills and gain experience that contribute to more positive lifestyles and enhance their capacity to make healthier life choices.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds will be used to support a cooperative agreement for a family and community violence program to prevent minority related violence and improve health and human services to minorities, although services may not be denied to otherwise eligible individuals regardless of race or ethnicity. Funds are not to be used for the provision of health care services, construction, augmentation of ongoing Office of Minority Health (OMH) supported demonstrations, or to supplant ongoing project activities. Grant funds may only be used to support activities outlined in the approved project plan.

Funding prohibitions include any activity to advocate or promote any proposed, pending, or future Federal, State or local tax increase, or any proposed, pending, or future requirement or restriction on any legal consumer product, including its sale or marketing, including but not limited to the advocacy or promotion of gun control.

Applicant Eligibility:

- * State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau)
- * Nonprofit with 501(c)(3) IRS status (other than institution of higher education)
- * Nonprofit without 501(c)(3) IRS status (other than institution of higher education)
- * For-profit organizations (other than small business); for profit organizations

must agree to forgo any profit or management fee

- * Small, minority, and women-owned business
- * Universities
- * Colleges
- * Research institutions
- * Hospitals
- * Community-based organizations
- * Faith-based organizations
- * Federally recognized or state-recognized American Indian/Alaska Native Tribal governments
- * American Indian/Alaska Native tribally designated organizations
- * Alaska Native health organizations
- * Urban Indian health organizations
- * Tribal epidemiology centers
- * Political subdivisions of states (in consultation with states).

Beneficiary Eligibility:

Target populations: Alaskan Natives; American Indians; Asians; Blacks/African Americans; Hispanics/Latinos; Native Hawaiians and other Pacific Islanders; or subgroups of these populations; economically or environmentally disadvantaged populations; limited English populations. However, services may not be denied to otherwise eligible individuals based on race or ethnicity.

Credentials/Documentation:

Proof of nonprofit status, if applicable, is required as part of the application submission. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. To apply, access the announcement through www.grants.gov. Enter the CFDA# and follow the website instructions. Applications submitted after the deadlines will not be accepted for review. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via www.grants.gov. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel. The Deputy Assistant Secretary for Minority Health makes final decisions to approve, hold, or reject individual grants or contracts. Applicants are notified regarding the final decision on each application and are furnished with a Notice of Award for all projects approved.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 to 120 days from the application deadline date.

Appeals:

None.

Renewals:

> 180 Days. The grant will have a 5-year project period with 12-month budget periods.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The grant program will have a 5-year project period with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash request basis or under a letter of credit. Necessary instructions regarding payment procedure will be provided at the time the notice of grant award is issued. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Quarterly SF425 Federal Financial Reports must be submitted to Payment Management Services 30 days after the end of each calendar quarter. Grantees are required to submit Quarterly Reports. Quarterly SF425 Federal Financial Reports must be submitted to the Office of Grants Management 30 days after the end of each calendar quarter and an annual FFR 90 days after the end of the budget period/project period end date. Reports are to be submitted electronically through GrantSolutions. Grantees are required to submit program performance reports as specified in the funding opportunity announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 74.53 and 45 CFR Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$4,746,036; FY 17 est \$4,103,509; and FY 18 est \$4,103,509 - Youth Empowerment Program II: \$2,061,842
Minority Youth Violence Prevention II: \$4,103,509
Minority Youth Violence Prevention: Integrating Public Health and Community Policing: \$2,684,194.

Range and Average of Financial Assistance:

Range from \$370,000 to \$500,000.

TAFS Codes:

75-10-10-11-0117.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the application instructions found on www.grants.gov, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact:
Office of Minority Health, Division of Program Operations,
1101 Wootton Parkway, Suite 600, Rockville, MD 20852. Telephone: (240)

453-8444.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.minorityhealth.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Minority Youth Violence Prevention \$ 2,684,194
Youth Empowerment Program II \$ 2,061,842
TOTAL \$ 4,746,036. Fiscal Year 2017: Minority Youth Violence Prevention \$ 2,684,194
Youth Empowerment Program II \$ 2,061,842
TOTAL \$ 4,746,036. Fiscal Year 2018: Minority Youth Violence Prevention II \$ 4,103,509.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: (1) consistency of project's goals and objectives with the Office of Minority Health's mission; (2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; (3) strength of proposed grant organization's management capability; (4) adequacy of qualifications, experience and cultural competence of proposed personnel; and (5) strength of analysis of potential impact or innovation the project proposes to generate.

**93.912 RURAL HEALTH CARE SERVICES OUTREACH, RURAL HEALTH NETWORK DEVELOPMENT AND SMALL HEALTH CARE PROVIDER QUALITY IMPROVEMENT PROGRAM
FEDERAL AGENCY:**

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 330A (f) of the Public Health Service Act, 42 U.S.C. 254(c) (e) (f), as amended by section 201, P.L. 107-251 of the Health Care Safety Net Amendments of 2002.

OBJECTIVES:

To expand delivery of health care services in rural areas, for the planning and implementation of integrated health care networks in rural areas, and for planning and implementation of small health care provider quality improvement activities.

TYPES OF ASSISTANCE:

Project Grants; Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for carrying out the approved projects.

Applicant Eligibility:

Rural Health Care Services Outreach, Rural Health Network Development and Rural Health Network Development Planning Programs:
Rural public or rural nonprofit private entities such as faith-based organizations, health departments, Tribal governments whose grant-funded activities will be conducted in a federally-recognized Tribal area, organizations that serve migrant and seasonal farm-workers in rural areas etc. that include three or more health care providers that provide or support the delivery of health care services. The administrative headquarters of the organization must be located in a rural county or a rural zip code of an urban county.

Rural Network Allied Health Training Program:

This program is available to rural public or private non-profit entity and all services must be provided in a rural county or census tract. Rural faith-based and community-based organizations, Tribes, and tribal organizations are eligible. The administrative headquarters of the organization must be located in

a rural county or a rural zip code of an urban county. Lead applicant must be composed of at least three separate health care Lead applicant must partner with an accredited two-year educational institution, such as a community, technical, or vocational college.

Small Health Care Provider Quality Improvement Program:

This program is available to rural public or rural nonprofit private entities (including faith-based organizations and federally recognized Tribal governments) that deliver health care services in rural areas. The organization must be located in a non-metropolitan county or in a rural census tract of a metropolitan county and all services must be provided in a non-metropolitan county or rural census tract.

Delta States Rural Development Network Grant Program:

This program is available to rural, nonprofit or public entities that represent a consortium of three or more diverse organizations that deliver health care services in eligible rural Delta counties/parishes.

Rural Outreach Benefits Counseling Program:

This program is available to rural public or rural nonprofit private entities (including faith-based organizations and federally recognized Tribal governments) that include three or more health care providers. The administrative headquarters of the organization must be located in a rural county or a rural zip code of an urban county.

Rural Health Care Coordination Network Partnership Program:

This program is available to rural public or rural nonprofit private entities (including faith-based organizations and federally recognized Tribal governments) that include 3 or more health care providers. The administrative headquarters/lead applicant of the organization must be located in a rural county or a rural zip code of an urban county.

Rural Health Opioid Program:

This program is available to rural public or rural nonprofit private entities (including faith-based organizations and federally recognized Tribal governments) that include 3 or more health care providers. The administrative headquarters/lead applicant of the organization must be located in a rural county or a rural zip code of an urban county.

Delta Region Community Health Systems Development:

Eligible applicants include domestic public, private, and non-profit organizations, including tribes and tribal organizations, and faith-based and community-based organizations.

To ascertain rural eligibility, please refer to

<http://datawarehouse.hrsa.gov/RuralAdvisor/>. This website can be searched by eligibility by county and by address. Federally-recognized Tribal Government and Native American Organizations are eligible to apply as long as they meet the eligibility requirements.

Beneficiary Eligibility:

Medically underserved populations in rural areas will receive expanded services in rural communities where they did not previously exist.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in

applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 6-9 months.

Appeals:

Not Applicable.

Renewals:

Rural Health Care Services Outreach and Rural Health Network Development awards may be made for up to 3-year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of an application, availability of appropriated funds, and awardees satisfactory performance.

Rural Network Allied Health Training Program awards may be made up for 3-year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of their application, availability of appropriated funds and awardee satisfactory performance. This is a one-time only funding opportunity, the funding period ends FY 2017 (August, 2018).

Rural Outreach Benefits Counseling awards may be made for up to 3-year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of their application, availability of appropriated funds and awardee satisfactory performance. This is a one-time only funding opportunity, the funding period ends FY2017 (July 2018).

Rural Health Care Coordination Network Partnership Program awards may be made for up to 3-year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of their application, availability of appropriated funds and awardee satisfactory performance. This is a one-time only funding opportunity, the funding period ends FY2017 (August, 2018).

Rural Health Network Development Planning Awards are available for one year project periods.

The Small Health Care Provider Quality Improvement Program awards may be up to three year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of an application, availability of appropriated funds, and awardees satisfactory performance.

The Delta States Rural Development Network awards may be up to three year project periods. After initial awards, projects may be renewed non-competitively contingent upon availability approval of an application, availability of appropriated funds, and awardees satisfactory performance.

Rural Health Opioid Program awards may be made for up to 3-year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of an application, availability of appropriated funds, and awardees satisfactory performance.

The Delta Region Community Health Systems Development award may be up to 3-year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of an application, availability of appropriated funds, and awardees satisfactory performance.

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contacts:

Ms. Jayne Berube, Rural Health Network Development Grant Program Coordinator, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W25C 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-4281.

Ms. Marcia Colburn, Rural Allied Health Training Program Coordinator, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W13A 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-3261.

Ms. Meriam Mikre, Rural Health Care Services Outreach Program, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W45A 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 945-3110.

Ms. Katherine Lloyd, Small Health Care Provider Quality Improvement Grant Program Coordinator, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W23A, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2933.

Ms. Alexa Ofori, Delta States Rural Development Network Grant Program Coordinator, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17N172A, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 945-3986.

Ms. Cassandra Phillips, Rural Outreach Benefits Counseling Grant Program Coordinator, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W45-C, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 945-3940.

Ms. Christina Villalobos, Rural Health Care Coordination Network Partnership Program Coordinator, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W59-D, 5600 Fishers Lane, Rockville, MD 20857. Telephone: 301 443-3590.

Ms. Sara Afayee, Rural Health Network Development Planning Grant Program, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W49C, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 945-4169.

Mr. Michael Blodgett, Rural Health Opioids Program, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17N172D, 5600 Fishers Lane, Rockville, MD 20857. Telephone (301) 443-0144.

Ms. Rachel Moscato, Delta Region Community Health Systems Development Program, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W59D, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2724.

Headquarters Office:

Federal Office of Rural Health Policy 5600 Fishers Lane, Room 17W59-D, Rockville, Maryland 20857 Phone: (301) 443-0835

Website Address:

<http://www.hrsa.gov/ruralhealth/>

RELATED PROGRAMS:

93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are based on the need of the project, innovation, realistic and measurable goals and objectives, clearly defined roles of each network member, strength of applicant's management plan, community involvement, level of local commitment, costs, and program evaluation plan. Specific criteria will be included in the guidance for each program.

93.913 GRANTS TO STATES FOR OPERATION OF STATE OFFICES OF RURAL HEALTH

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 338J; 42 U.S.C. 254r, as amended by sec. 301 P.L. 105-392.

OBJECTIVES:

The purpose of the State Offices of Rural Health (SORH) grant program is to assist states in strengthening rural health care delivery systems by maintaining a focal point for rural health within each state. The program provides funding for an institutional framework that links small rural communities with state and federal resources to help develop long-term solutions to rural health problems.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This is a matching grant program with states to support the establishment and ongoing operation of Offices of Rural Health. While a wide range of activities can be conducted to address unique state needs, each State Office of Rural Health must:

- (1) Establish an information clearinghouse for collecting and disseminating information,
- (2) coordinate state and federal rural health programs throughout the state for the purpose of avoiding duplication, and
- (3) provide technical assistance that will improve participation in state and federal programs.

Applicant Eligibility:

Grants: All fifty states may apply. Each state may only submit one application. Only current SORH awardees may apply.

Beneficiary Eligibility:

Underserved populations in rural areas; facilities and services in rural areas.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all

applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

6 months.

Appeals:

Not Applicable.

Renewals:

Awardees may request funding beyond an initial project period by submitting a competing continuation application during a regular competing application cycle. All competitive cycles are contingent upon availability of funds for such purposes.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: States must match not less than \$3 for each \$1 of federal funds provided in the grant.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant applications should be submitted for up to a 5-year project period.

Awards are normally made in a lump sum for an entire 12-month budget period.

Payments are made annually either through a letter of credit or cash demand system. See the following for information on how assistance is awarded/released: Awardees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

An annual Performance Information Management System report is required within 60 days of end of budget period. No cash reports are required. An annual Performance Information Management System report is required within 60 days of end of budget period. No cash reports are required. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Awardees are required to submit performance monitoring data within 60 days after the end of the budget period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$8,500,000; FY 17 est \$8,587,983; and FY 18 Estimate Not Available

Award and Average of Financial Assistance:

\$165,521 - \$179,871.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contacts:

Suzanne Stack

Program Coordinator, State Office of Rural Health Grant Program

Federal Office of Rural Health Policy, Health Resources and Services

Administration

Public Health Service

Room 17W25-B, 5600 Fishers Lane, Rockville, MD 20857

Telephone: (301) 443-4043

E-mail: sstack@hrsa.gov.

Headquarters Office:

The Federal Office of Rural Health Policy 5600 Fishers Lane, Room 17W45C, Rockville, Maryland 20857 Phone: (301) 443-0835

Website Address:

<http://www.hrsa.gov/ruralhealth>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(Grants) Grant applications will be evaluated on the basis of the following criteria:

- (1) The extent to which the application is responsive to the requirements and purposes of the program;
- (2) the extent to which the applicant has developed measurable goals, objectives, and an evaluation plan for the required, and any discretionary, activities;
- (3) the extent to which the Office is coordinated with, and has the cooperation of, other health entities and activities within the state;
- (4) the strength of the applicant's plans for administrative and financial management of the Office;
- (5) the reasonableness of the budget proposed for the Office; and
- (6) the likelihood that the Office will be continued after federal grant support is completed.

93.914 HIV EMERGENCY RELIEF PROJECT GRANTS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Sections 2601-2610 and 2693 of Title XXVI of the Public Health Service Act, (42 USC 300ff-11 300ff-20, and 300ff-121), as amended by the Ryan White HIV/AIDS Treatment Extension Act of 2009 (Public Law 11-87).

OBJECTIVES:

To provide direct financial assistance to Eligible Metropolitan Areas (EMAs) and Transitional Grant Areas (TGAs) that have been the most severely affected by the Human Immunodeficiency Virus (HIV) epidemic to enhance access to a comprehensive, effective and cost efficient continuum of high quality, community-based care for low-income individuals and families with HIV and to strengthen strategies to reach minority populations. A comprehensive continuum of care includes the 13 core medical services specified in legislation and appropriate support services that assist people living with HIV (PLWH) in accessing treatment for HIV/AIDS that is consistent with the Department of Health and Human Service (HHS) Treatment Guidelines. Comprehensive HIV/AIDS care beyond these core medical services may include supportive services that meet the criteria of enabling individuals and families living with HIV to access and remain in primary medical care to improve their medical outcomes.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Not less than 75 percent of grant funds remaining after reserving funds for administration and clinical quality management must be used to provide core medical services, unless a waiver request related to this requirement is submitted and approved. Core medical services include: outpatient and ambulatory health services, AIDS Drug Assistance Program, AIDS pharmaceutical assistance, oral health care, early intervention services, health insurance premium and cost-sharing for low-income individuals, home health care, medical nutritional therapy, hospice services, home and community-based health services as defined under Section 2614 (c), mental health services, substance abuse outpatient care, and medical case management, including treatment adherence services. Support services are for individuals with HIV to achieve medical outcomes and may include respite care for persons caring for individuals living with HIV, outreach services, medical transportation, linguistic services and referral for health care and support services, and others. A percentage of the grant, determined by the percentage of women, infants, children and youth in the area with HIV, will be used to provide health and support services to women, infants, children, and youth with HIV disease, including treatment measures to prevent the perinatal transmission of HIV. No more than 10 percent of amounts received under a grant may be used to fund the recipients grant administration and monitoring activities, program support activities and all activities associated with recipient contract award procedures. In addition, the aggregate total of administrative expenditures for subrecipients, including all indirect costs, may not exceed ten (10) percent of the aggregate amount of all subawards. Recipients may use up to five percent of funds or \$3 million, whichever is less, for clinical quality management activities to assess the extent to which HIV health services provided to patients under the grant are consistent with the most recent Department of Health and Human Services guidelines for the treatment of HIV/AIDS. Funds may not be used to make payments for any item or service to the extent that payment has been made, or can reasonably be expected to be made, with respect to that item or service under any State compensation program, insurance policy, Federal or State health benefits program or by any entity that provides health services on a prepaid basis (except for a program administered by or providing the services to the Indian Health Service). Funds may not be used for cash payments to intended recipients of Ryan White HIV/AIDS Program (RWHAP) services, purchasing or construction of real property, or pre-exposure prophylaxis (PrEP) or non-occupational post-exposure prophylaxis (nPEP) medications or the related medical services. Additionally, the purchase of sterile needles or syringes for the purposes of hypodermic injection of any illegal drug is not allowable. Some aspects of Syringe Services Programs are allowable with

HRSA's prior approval and in compliance with HHS and HRSA policy (see <https://www.hiv.gov/federal-response/policies-issues/syringe-services-programs>).

Applicant Eligibility:

RWHAP Part A recipients that were classified as an EMA or as a TGA in fiscal year (FY) 2007 and continue to meet the statutory requirements are eligible to apply for these funds. For an EMA, this is more than 2,000 cases of AIDS reported and confirmed during the most recent five calendar years, and for a TGA, this is at least 1,000, but fewer than 2,000 cases of AIDS reported and confirmed during the most recent period of five calendar years for which such data are available. Additionally, they must not have fallen below, for three consecutive years, the required incidence levels already specified AND required prevalence levels (cumulative total of living cases of AIDS reported to and confirmed by the Director of the Centers for Disease Control and Prevention (CDC) as of December 31 of the most recent calendar year for which such data are available). For an EMA, this is 3,000 living cases of AIDS, and for a TGA, this is 1,500 living cases of AIDS, except certain areas which have a cumulative total of at least 1,400 living cases of AIDS and which have no more than 5 percent of the total from formula grants awarded unobligated as of the end of the most recent fiscal year.

Eligible metropolitan areas (EMA) with a population of 50,000 or more individuals for which the CDC has reported a cumulative total of more than 2,000 AIDS cases for the most recent period of 5 calendar years include: Atlanta, Georgia; Baltimore, Maryland; Boston, Massachusetts; Chicago, Illinois; Dallas, Texas; Detroit, Michigan, Ft. Lauderdale, Florida; Houston, Texas; Los Angeles, California; Miami, Florida; Nassau/Suffolk Counties, New York; New Haven, Connecticut; New Orleans, Louisiana; New York, New York; Newark, New Jersey; Orlando, Florida; Philadelphia, Pennsylvania; Phoenix, Arizona; San Diego, California; San Francisco, California; San Juan, Puerto Rico; Tampa-St. Petersburg, Florida; Washington, DC; and West Palm Beach, Florida. Transitional Grant areas (TGA) with a population of 50,000 or more individuals for which the CDC has reported a cumulative total of at least 1,000, but not more than 1,999 AIDS cases for the more most recent five year period include: Austin, Texas; Baton Rouge, Louisiana; Bergen-Passaic, NJ; Charlotte, North Carolina; Cleveland, Ohio; Columbus, Ohio; Denver, Colorado; Fort Worth, Texas; Hartford, Connecticut; Indianapolis, Indiana; Jacksonville, Florida; Jersey City, New Jersey; Kansas City, Missouri; Las Vegas, Nevada; Memphis, Tennessee; Middlesex, New Jersey; Minneapolis, Minnesota; Nashville, Tennessee; Norfolk, Virginia; Oakland, California; Orange County, California; Portland, Oregon; Riverside-San Bernardino, California; Sacramento, California; St. Louis, Missouri; San Antonio, Texas; San Jose, California; and Seattle, Washington.

Beneficiary Eligibility:

Individuals and families living with HIV disease.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

Two-thirds of the funds available are disbursed on a formula basis as required by the legislation, subject to a completeness review of required information specified in the HRSA notice of funding opportunity issued under this CFDA

program. The remaining one-third of available funds are disbursed as a competitive, supplemental grant, based on criteria specified by the legislation. All qualified applications will be forwarded to an objective review committee. Based on the recommendations of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. In addition, Minority AIDS Initiative (MAI) funds available under Section 2693 are disbursed on a formula basis together with the Formula and Supplemental awards as required by the legislation. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Statutory Formula: RWHAP Part A formula grants to EMAs and TGAs include Minority AIDS Initiative (MAI) funds, which supports access to services targeting minority populations.

Statutory Formula: The formula portion of the grant is determined by the number of living cases of HIV/AIDS in each eligible area as reported to and confirmed by the Centers for Disease Control and Prevention by December 31 for the most recent calendar year for which data are available.

Discretionary RWHAP Part A Supplemental grants are awarded competitively on the basis of demonstrated need and other criteria. [See Criteria for Selecting Proposals (180)].

MAI formula funds are based on the number of reported and confirmed living minority cases of HIV/AIDS for the most recent calendar year.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

This program has MOE requirements, see funding agency for further details.

The RWHAP legislation requires Part A recipients to maintain, as a Condition of Award, political subdivision expenditures within the eligible area for HIV-related core medical services and support services at a level equal to the 1-year period preceding the fiscal year for which the recipient is applying to receive a Part A grant.

Length and Time Phasing of Assistance:

Grants are awarded for a 12-month project/budget period. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual progress and annual financial reports are required. Quarterly financial cash transaction reports are required within 30 days of the end of each calendar quarter. Annual reports are to be submitted within 90 days after the end of each budget period. The final performance report and final financial status reports are due 90 days from the end of the project period. Reports documenting services and expenditures are required. Recipients must report expenditures for WICY for the previous budget year within 90 days of the end of the grant year as mandated by the RWHAP. Recipients must submit a Federal Financial Report (FFR) or SF 425 within 150 days after the end of the budget period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain financial records 3 years after the date they submit the final Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$627,786,733; FY 17 est \$618,322,901; and FY 18 est \$618,322,901

Range and Average of Financial Assistance:

\$2,747,766 to \$100,750,936; Average = \$12,072,821.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Nearly 68 percent of all clients served by the RWHAP in 2014 were served in one of the 52 metropolitan areas funded under the RWHAP Part A. Approximately 73 percent of all people living with diagnosed HIV reside in a RWHAP Part A EMA or TGA. Part A funded sites provided 3.7 million core medical service visits for health-related care utilizing a combination of Parts A, B, C, and D funding. The number of visits for health-related services demonstrates the scope of Part A in delivering primary care and related services for PLWH by increasing the availability and accessibility of care. From 2010 to 2015, HIV viral suppression among RWHAP patients has increased from 70 percent to 83 percent, and racial/ethnic, age-based, and regional disparities have decreased. These improved outcomes mean more PLWH in the U.S. will live near normal lifespans and have a reduced risk of transmitting HIV to others.

RWHAP Part A jurisdictions are experienced in data-driven, community-based needs assessment, responsive procurement of a variety of direct medical and supportive services, working with a set of providers to weave together a constellation of services, serving diverse populations and continuing to make improvements that positively affect the HIV care continuum. Thus, the RWHAP Part A has a significant public health impact on HIV incidence. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

All HRSA awards are subject to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements at 45 CFR part 75.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Steven Young, Director, 5600 Fishers Lane, Room 9W12, Rockville, Maryland 20857 Phone: (301) 443-7136

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.917 HIV Care Formula Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Refer to Applicant Eligibility (081). Fiscal Year 2017: Refer to Applicant Eligibility (081). Fiscal Year 2018: Refer to Applicant Eligibility (081).

CRITERIA FOR SELECTING PROPOSALS:

Applications for supplemental funds must meet nine criteria set forth in the enacting legislation: (1) contain a report concerning the dissemination of emergency relief funds and the plan for utilization of such funds; (2) demonstrate need in such area for supplemental financial assistance to combat

the HIV epidemic; (3) demonstrate the existing commitment of local resources of the area, both financial and in-kind, to combat the HIV epidemic; (4) demonstrate the ability of the area to utilize such supplemental financial resources in a manner that is immediately responsive and cost-effective; (5) demonstrate that resources will be allocated in accordance with the local demographic incidence of AIDS including appropriate allocations for services for infants, children, women, and families with HIV disease; (6) demonstrate the inclusiveness of affected communities and individuals with HIV disease; (7) demonstrate the manner in which proposed services are consistent with the local needs assessment and the statewide coordinated statement of need; (8) demonstrate the ability of the applicant to expend funds efficiently by not having had, for the most recent Part A formula grant year for which data is available, more than 5 percent of grant funds unobligated at the end of the year, even if a request for carryover was granted; and (9) demonstrate success in identifying individuals with HIV and AIDS, who are unaware of their HIV/AIDS status, and provides a description of the strategy, plan, and data associated with the early identification of these individuals.

93.917 HIV CARE FORMULA GRANTS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Sections 2611-23, and 2693 of Title XXVI of the Public Health Service Act, (42 U.S.C. 300ff-31a and 300ff-121) and Section 311 (c) of the Public Health Service Act, 42 U.S.C. 243 (c) as amended by the Ryan White HIV/AIDS Treatment Extension Act of 2009 (Public Law 111-87).

OBJECTIVES:

To enable States and Territories to improve the quality, availability, and organization of a comprehensive continuum of HIV/AIDS health care, treatment, and support services for eligible individuals living with Human Immunodeficiency Virus (HIV) disease.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Not less than 75 percent of grant funds remaining after reserving funds for administration and clinical quality management must be used to provide core medical services. Core medical services include: outpatient ambulatory health services, AIDS Drug Assistance Program (ADAP), local pharmaceutical assistance, oral health care, health insurance premium and cost-sharing, home health care, home and community-based health services, hospice services, early intervention services, medical nutritional therapy, substance abuse outpatient care, mental health services, and medical case management, including treatment adherence services. Support services may include: non-medical case management, child care services, emergency financial assistance, food bank/home delivered meals, health education/risk reduction, housing services, linguistic services, psychosocial support services, rehabilitation services, respite care, and transportation that support a person living with HIV to achieve better health outcomes. Funds may be used to establish and operate HIV Care Consortia that shall conduct planning, funding, monitoring, and reporting on behalf of the recipient. HIV Care Consortia are categorized under the support services category. A State/Territory shall use a percentage of the grant, determined by the percentage of women, infants, children, and youth with HIV/AIDS in the State, to provide core medical and support services to infants, children, youth, and women living with HIV. Funds may not be used to make payments for any item or service to the extent that payment has been made, or can reasonably be expected to be made, with respect to that item or service under any State compensation program, insurance policy, Federal or State health benefits program or by any entity that provides health services on a prepaid basis (except for a program administered by or providing the services to the Indian Health Service). Funds may not be used to purchase or improve land, or to purchase, construct or make permanent improvement to any building except for minor remodeling. Funds may not be used to make cash payments to intended recipients of Ryan White HIV/AIDS Program (RWHP) services. Funds may not be used for pre-exposure prophylaxis (PrEP) or non-occupational post-exposure prophylaxis (nPEP) medications or the related

medical services. Additionally, the purchase of sterile needles or syringes for the purposes of hypodermic injection of any illegal drug is not allowable. Some aspects of Syringe Services Programs are allowable with HRSA's prior approval and in compliance with HHS and HRSA policy (see: <https://www.hiv.gov/federal-response/policies-issues/syringe-services-programs>). A State/Territory may not use more than 10 percent of amounts received under this grant for administration. A State/Territory may not use more than 10 percent of amounts received under the grant for planning and evaluation activities. When combined a State/Territory may not use more than 15 percent on administration, planning and evaluation. If a State receives the minimum allotment, it may not use more than the amount required to support one full-time equivalent employee for these activities. In addition, the aggregate total of administrative expenditures for subrecipients, including all indirect costs, may not exceed ten (10) percent of the aggregate amount of all subawards. Recipients may use up to 5 percent of funds or \$3 million, whichever is less, for clinical quality management activities. Seventy-five (75) percent of grant funds must be obligated within 120 days of the budget period start date.

Applicant Eligibility:

All 50 States of the United States, and the District of Columbia and U.S. Territories including, the Commonwealth of Puerto Rico, Commonwealth of the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa, the Republic of the Marshall Islands, Federated States of Micronesia, and the Republic of Palau.

Beneficiary Eligibility:

Individuals living with HIV.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

As required by the legislation, RWHP Part B Base, ADAP Base, and Emerging Communities formula awards are based on the number of reported living cases of HIV/AIDS in the State or Territory in the most recent calendar year as confirmed by CDC and submitted to HRSA. Similarly, for recipients applying for MAI formula funds, awards are based on the number of reported living minority HIV/AIDS cases for the most recent calendar year as confirmed by CDC and submitted to HRSA. Supplemental ADAP grants are awarded by formula based on living HIV/AIDS cases to states which meet any of the criteria listed in that section of the Notice of Funding Opportunity (NOFO) for the purpose of providing medications or insurance assistance for people living with HIV (PLWH). The ADAP Emergency Relief Funds (ERF) awards, for the purpose of cost containment activities for preventing, reducing or eliminating ADAP waiting lists, are disbursed via a formula based on a competitive application process with need based criteria listed in the NOFO. In a separate, competitive application process, Part B Supplemental funds are disbursed based on criteria specified by the legislation and all qualified applications will be forwarded to an objective review committee. Based on the recommendations of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Statutory Formula: Part B formula/base, ADAP and Emerging Communities awards are based on the number of reported living cases of HIV/AIDS cases in the State or Territory in the most recent calendar year as confirmed by CDC submitted to HRSA. Similarly, for recipients applying for MAI formula funds, awards are based on the number of reported and confirmed living minority cases of HIV/AIDS for the most recent calendar year submitted to HRSA. The most recently completed calendar year ended December 31, 2015. Supplemental ADAP grants are awarded by formula based on living HIV/AIDS cases to states which meet any of the criteria listed in that section of the NOFO for the purpose of providing medications or insurance assistance for PLWH.

Matching Requirements: Varies.

This program has MOE requirements, see funding agency for further details. Recipients must maintain State expenditures for HIV-related activities at a level equal to the fiscal year preceding the application deadline for the RWHAP Part B grant. This program has matching requirements; see agency notice of funding opportunity for further details.

Length and Time Phasing of Assistance:

Grants are awarded for a -year project period composed of five 12-month project/budget periods. See the following for information on how assistance is awarded/released: Recipients draw down funds from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Please refer to the notice of funding opportunity and Notice of Award for any applicable program, data, and fiscal reports. No cash reports are required. Recipients must submit a Federal Financial Report (FFR) or SF 425 within 90 days after the end of the budget period. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain financial records 3 years after the date they submit the final Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$1,385,561,174; FY 17 est \$1,386,065,058; and FY 18 est \$1,500,000,000

Range and Average of Financial Assistance:

\$12,555 to \$143,618,421; Average \$78,815,488.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All HRSA awards are subject to the Uniform Administrative Requirements,

Cost Principles, and Audit Requirements at 45 CFR part 75.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Michael Goldrosen 5600 Fishers Lane, Room Mail Stop 09WH03, Rockville, Maryland 20857 Phone: (301) 443- 6745

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.914 HIV Emergency Relief Project Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grants will be awarded to applicants that submit: (1) An acceptable detailed description of the HIV-related services provided in the State to individuals and families with HIV disease during the year preceding the year for which the grant is requested, and the number of individuals and families receiving such services; (2) a comprehensive plan for the organization and delivery of HIV health care and support services to be funded with assistance received under this part that shall include a description of the purposes for which the State intends to use such assistance; (3) an assurance that the public health agency administering the grant for the State will periodically convene a meeting of individuals with HIV, representatives of recipients receiving both HIV Emergency Relief and HIV CARE Grants, providers, and public agency representatives to develop a statewide coordinated statement of need; and (4) an assurance by the State that: (A) the public health agency that is administering the grant for the State will conduct public hearings concerning the proposed use and distribution of the assistance to be received; and (B) the State will (a) to the maximum extent practicable, ensure that HIV-related health care and support services delivered pursuant to a program established with assistance provided under this part will be provided without regard to the ability of the individual to pay for such services and without regard to the current or past health condition of the individual with HIV disease; (b) ensure that such services will be provided in a setting that is accessible to low-income individuals with HIV disease; (c) provide outreach to low-income individuals with HIV disease to inform such individuals of the services available; (d) for continuum of health insurance coverage, submit a plan to the Secretary that demonstrates that the State has established a program that assures that (d.1) such amounts will be targeted to individuals who would not otherwise be able to afford health insurance coverage; and (2) income, asset, and medical expense criteria will be established and applied by the State to identify those individuals who qualify for assistance under such program, and information concerning such criteria shall be made available to the public; (e) provide for periodic independent peer review to assess that quality and appropriateness of health and support services provided by entities that receive funds from the State; (f) permit and cooperate with any Federal investigations undertaken regarding programs; (g) maintain HIV-related activities at a level that is equal to not less than the level of such expenditures by the State for the 1-year period preceding the fiscal year for which the State is applying to receive a grant; and (h) ensure that grant funds are not utilized to make payments for any item or service to the extent that payment has been made.

93.918 GRANTS TO PROVIDE OUTPATIENT EARLY INTERVENTION SERVICES WITH RESPECT TO HIV DISEASE

Ryan White HIV/AIDS Program (RWHAP) Part C Early Intervention Services (EIS)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Sections 2651-2667 and 2693 of the Public Health Service Act, (42 USC

300ff-51-67 and 300ff-121) as amended by the Ryan White HIV/AIDS Treatment Extension Act of 2009 (Public Law 111-87).

OBJECTIVES:

The purpose of the RWHAP Part C Early Intervention Services Program funding is to provide comprehensive HIV primary care and support services in an outpatient setting for low income, uninsured, and underinsured people living with HIV (PLWH). This includes: 1) targeted HIV counseling and testing; 2) medical evaluation and clinical and diagnostic services; 3) therapeutic measures for preventing and treating the deterioration of the immune system, and for preventing and treating conditions arising from HIV/AIDS; and 4) referrals to appropriate providers of health care and support services.

The purpose of the RWHAP Part C Capacity Development Program is to strengthen organizational infrastructure to respond to the changing health care landscape and to increase capacity to develop, enhance, or expand access to high quality HIV primary health care services for low income PLWH.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Part C recipients are expected to develop comprehensive and coordinated systems of HIV services which are available to PLWH in the local service area, especially those populations who are the hardest to reach, have the greatest unmet need, and/or the greatest gaps in HIV primary care services. These HIV service systems should ensure the progress of the target populations along the HIV care continuum with the goal of optimizing health outcomes demonstrated by retention in medical care, viral load reduction, and decreases in new HIV infections in the community.

No more than 10 percent of total grant funds may be expended for administrative expenses, including planning and evaluation. At least 50 percent of total grant funds must be expended for Early Intervention Services (except counseling). At least 75 percent of total grant funds, after reserving funds for clinical quality management, planning/evaluation, and administration must be expended for core medical services. Funds may not be used for purchase, construction, or major alterations or renovations on any building or other facility; purchase or improvement of land; payments for clinical research; payments for nursing home care; cash payments to intended recipients of RWHAP services; research; foreign travel; or for any item or service to the extent that payment has been made, or reasonably can be expected to be made, with respect to that item or service under any State compensation program, insurance policy, Federal or State health benefits program or by an entity that provides health services on a prepaid basis (except for a program administered by or providing the services of the Indian Health Services). Funds may also not be used towards pre-exposure prophylaxis (PrEP) or non-occupational post-exposure prophylaxis (nPEP) medications or medical services. As outlined in the June 22, 2016 RWHAP and PrEP program letter, the RWHAP legislation provides grant funds to be used for the care and treatment of PLWH, thus prohibiting the use of RWHAP funds for PrEP medications or related medical services, such as physician visits and laboratory costs. However, RWHAP Parts C recipients and subrecipients may provide prevention counseling and information, which should be part of a comprehensive PrEP program. Additionally, the purchase of sterile needles or syringes for the purposes of hypodermic injection of any illegal drug is not allowable. Some aspects of Syringe Services Programs are allowable with HRSA's prior approval and in compliance with HHS and HRSA policy (see: <https://www.hiv.gov/federal-response/policies-issues/syringe-services-programs>).

Applicant Eligibility:

Public and private nonprofit entities that are: federally qualified health centers under Section 1905(1)(2)(B) of the Social Security Act; recipients under Section 1001 of the PHS Act (regarding family planning) other than States; comprehensive hemophilia diagnostic and treatment centers; rural health clinics; health facilities operated by or pursuant to a contract with the Indian Health Service; community-based organizations, clinics, hospitals and other health facilities that provide early intervention services to those persons infected with HIV/AIDS through intravenous drug use; or nonprofit private entities that provide comprehensive primary care services to populations at-risk of HIV/AIDS, including faith-based and community-based organizations.

Eligible applicants for the Capacity Development Program include public and nonprofit private entities, including faith-based and community-based organizations, and Tribes and tribal organizations.

Beneficiary Eligibility:

Low income, uninsured, and underinsured PLWH.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details. As a condition of award, applicants must agree to maintain their expenditures for early intervention services at a level equal to not less than the level of such expenditures maintained by the State for the fiscal year preceding the fiscal year for which the applicant is applying to receive the grant.

Length and Time Phasing of Assistance:

Early Intervention Services grants are typically awarded for up to a 3 year period of performance. Continued support, beyond the first year, is contingent upon satisfactory performance and the availability of Federal funds. Capacity development grants may be funded for up to 1 year. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Please refer to the notice of funding opportunity and Notice of Award for any applicable program, data, and fiscal reports. The recipient must submit the annual RWHAP Services Report due the last Monday in March each year, covering the just-ended Jan. 1 - Dec. 31 calendar year. No cash reports are required. Recipients must also submit a RWHAP Allocations Report, 60 days after the start of the budget period, and a RWHAP Expenditures Report due 90 days after the end of the budget period (OMB 0915-0318). Recipients must submit a Federal Financial Report or SF 425 within 90 - 120 days after the end of each budget period. Additionally, each funded program must submit a budget

period renewal progress report each year prior to the end of the project period. A final Federal Financial Report and final performance report must be submitted 90 days after the end of the project period. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain financial records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$1,500,000; FY 17 est \$2,250,000; and FY 18 est \$2,250,000 - Capacity Development. (Project Grants) FY 16 \$186,586,879; FY 17 est \$182,586,879; and FY 18 est \$186,586,879 - Early Intervention Services.

Range and Average of Financial Assistance:

\$95,000 to \$1,578,446; Average \$539,268. Capacity Development grants are limited to \$150,000.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All HRSA awards are subject to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements at 45 CFR part 75.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Hanna Endale 5600 Fishers Lane, Room 09N16, , Rockville, Maryland 20857
Phone: (301) 443-1325

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Eligible applicants are reviewed by an objective review committee based on the criteria detailed in the notice of funding opportunity.

93.919 COOPERATIVE AGREEMENTS FOR STATE-BASED COMPREHENSIVE BREAST AND CERVICAL CANCER EARLY DETECTION PROGRAMS

National Breast and Cervical Cancer Early Detection Program (NBCCEDP)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Breast and Cervical Cancer Mortality Prevention Act of 1990, Section 301(a), Section 317(k)(3), and Section 1501, Public Law 101-354; 42 U.S.C.241a, 42 U.S.C. 247b(k)(3), and 42 U.S.C. 300K; Public Law 103-183, Public Law 101-354, 42 U.S.C 241,247,300.

OBJECTIVES:

To work with official State and territorial health agencies or their designees, and tribal health agencies in developing comprehensive breast and cervical cancer early detection programs. To the extent possible, increase screening and follow-up among all groups of women in the State, tribe or territory, with special to reach those women who are of low income, uninsured, underinsured and minority, and Native Americans.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative agreements funds may be used to assure screening of women for breast and cervical cancer as an early detection preventive measure; assure appropriate referrals for follow-up services for women with abnormal screening tests and routine rescreening; develop and disseminate public education and outreach programs for the early detection and control of breast and cervical cancers; improve the education, training and skills of health professionals (including allied health professionals) in the early detection and control of breast and cervical cancers; establish mechanisms through which the States, tribes and territories can monitor the quality of breast and cervical cancer screening procedures in the State, including the interpretation of such procedures; and evaluate program activities through appropriate surveillance and monitoring. Cooperative agreement funds may not be expended for screening and follow-up services to the extent that payment has been made, or can reasonably be expected to be made, with respect to such items or services: (1) under any State compensation program, under any insurance policy or under any Federal or State health benefits program; or (2) by any entity that provides health services on a prepaid basis. Cooperative agreement funds shall not be used for treatment or treatment services. States, tribes and territories are required to make available nonfederal contributions in cash or in-kind toward such cost in an amount equal to not less than \$1 for each \$3 of Federal funds provided. Such contributions may be made directly or through donations from public or private entities. The payment for treatment services or the donation of treatment service may not be used for nonfederal contributions. States, tribes and territories may include only nonfederal contributions in excess of the average amount of nonfederal contributions made by the State, tribe or territory for the two year period preceding the first fiscal year for which the State, tribe or territory is applying to receive a cooperative agreement for a comprehensive breast and cervical cancer early detection program. In making a determination of the amount of nonfederal contributions for purposes of matching fund requirements, applicants may include any nonfederal amounts expended pursuant to Title XIX of the Social Security Act for the purpose of screening and follow-up for women at-risk for breast and cervical cancers. Can not be used for treatment.

Applicant Eligibility:

Eligible applicants are the official State health agencies of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, American Samoa, American Indian and Alaska Native tribes and tribal organizations as defined in Section 4 of the Indian Self-Determination and Education Assistance Act.

Beneficiary Eligibility:

Official State and Territorial health agencies, women especially low-income women.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe evaluation procedures, identify and describe nonfederal contributions, and provide a budget with justification for funds requested. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Information on the submission of applications may be obtained from Ms. Shicann Phillips, Grants Management Specialist, in the Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Atlanta, Georgia 30341. Telephone: (770) 488-2615. This program is subject to the provisions of 45 CFR 92 for State and local governments. The standard application forms, as furnished by PHS and required by 45 CFR 92 must be used for this program.

Award Procedure:

In the competitive year one and after objective review and approval, a notice of award is prepared and processed, along with appropriate notification to the public. For non-competitive continuation years, after review and approval on the Interim Progress Report (IPR), a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 3 to 4 months.

Appeals:

None.

Renewals:

Information on renewals may be obtained from Pamela Render, Grants Management, Office of Grants Services, Office of Financial Resources Centers for Disease Control and Prevention, Atlanta, GA 30341; Telephone: (770) 488-2712.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 35%. Public Law 101-354 requires States, tribes and territories to make available nonfederal contributions (cash or in-kind) toward such costs in an amount equal to not less than \$1 of matching for every \$3 of Federal dollars provided in this cooperative agreement. Such contributions may be made directly or through donations from public or private entities. Payment for treatment services or the donation of treatment services may not be used for nonfederal contributions. States, tribes and territories may include only nonfederal contribution in excess of the average amount of nonfederal contributions made by the State, tribe territory for the two year period preceding the first fiscal year for which the State, tribe or territory is applying to receive a cooperative agreement for a comprehensive Breast and cervical cancer early detection program.

This program has MOE requirements, see funding agency for further details. The average amount of non-Federal contributions toward breast and cervical cancer programs and activities for the two year period preceding the first Federal fiscal year of funding for NBCCEDP is referred to as Maintenance of Effort (MOE). Only those non-Federal contributions in excess of the MOE amount may be considered matching funds. Supplanting, or replacing, existing program efforts currently paid with Federal or non-Federal sources is not allowable.

Length and Time Phasing of Assistance:

From 1 to 5 years. Budget period is 12 months. Assistance is awarded through the Payment Management System (PMS). Method of awarding/releasing assistance: lump sum.

Reports:

Annual Federal Financial Report (FFR) SF-425 is required and must be submitted no later than 90 days after the end of the calendar quarter in which the budget period ends. The FFR for this budget period is due by September 30. Reporting time frame is June 30 of the previous calendar year through June 29

of the current calendar year.

The Annual Performance Report (APR) is due no later than 120 days prior to the end of the budget period, February 28, and serves as the continuing application. This report should include the information specified in the Funding Opportunity Announcement.

Final FFR and Final Progress Report are due 90 days after the end of the project period. Grantees must address fiscal progress in the APR & Annual Reports. Financial status reports (FSRs) are due 90 days after the end of the budget period. The Annual Performance Report (APR) is due no later than 120 days prior to the end of the budget period, February 28, and serves as the continuing application. This report should include the information specified in the Funding Opportunity Announcement. Final performance and FSRs are due no more than 90 days after the end of the project period. Final FFR and Final Progress Report are due 90 days after the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreement program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$213,282,518; FY 17 est \$0; and FY 18 est \$0 - In FY17, this project was re-competed under DP17-1701. Project end date was 6/29/2017.

In FY15, NBCCEDP was partially funded by PPHF funds (\$97,834,313).

Range and Average of Financial Assistance:

96,735 to 9,692,758; \$2,234,163.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In fiscal 2017, the Centers for Disease Control and Prevention (CDC) will enter into the 27th year of the National Breast and Cervical Cancer early Detection Program (NBCCEDP). This landmark Program brings critical breast and cervical cancer screening services to underserved women, including older women, women with low income, and women of racial and ethnic minority groups. CDC plans to re-announce this program for a new 5-year project period to continue support of programs in all 50 states, US territories, the District of Columbia, and Tribes/Tribal Organizations. Fiscal Year 2017: In fiscal 2017, the Centers for Disease Control and Prevention (CDC) will enter into the 27th year of the National Breast and Cervical Cancer early Detection Program (NBCCEDP). This landmark Program brings critical breast and cervical cancer screening services to underserved women, including older women, women with low income, and women of racial and ethnic minority groups. CDC plans to re-announce this program for a new 5-year project period to continue support of programs in all 50 states, US territories, the District of Columbia, and Tribes/Tribal Organizations. Fiscal Year 2018: In fiscal 2018, the Centers for Disease Control and Prevention (CDC) will enter into the 28th year of the National Breast and Cervical Cancer early Detection Program (NBCCEDP). This landmark Program brings critical breast and cervical cancer screening services to underserved women, including older women, women with low income, and women of racial and ethnic minority

groups. CDC plans to re-announce this program for a new 5-year project period to continue support of programs in all 50 states, US territories, the District of Columbia, and Tribes/Tribal Organizations.

REGULATIONS, GUIDELINES, AND LITERATURE:

There are no regulations, but guidelines are available. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, applies to cooperative agreements. Public Law 101-354 (August 10, 1994) places specific requirements on monies from this law which are to be used for funding State-based breast and cervical cancer early detection programs.

Regional or Local Office:

None.

Headquarters Office:

Faye L Wong, 4770 Buford Highway, Mailstop F76, Atlanta, Georgia 30341
Email: FWong@cdc.gov Phone: (770) 488-4880 Fax: (770) 488-3230.

Website Address:

<http://www.cdc.gov/cancer>.

RELATED PROGRAMS:

93.752 Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations financed in part by Prevention and Public Health Funds; 93.898 Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: At this time, it is assumed that grantees will continue to provide screening and follow-up for low income women, State health agencies will incorporate into their health care system: (1) Public Education: (a) population targeted for screening and follow-up services; (b) for women (other than low income) requiring periodic screening and follow up services; (2) Professional Education: (a) practitioners providing screening and follow-up services for targeted low income women; (b) for all practitioners who will provide or refer women (other than low income) for required periodic screening and follow-up services (3) quality assurance: (a) mammography; (b) cervical cytology; (4) surveillance: (a) breast and cervical cancer incidence registry; (b) tracking and follow-up system; (5) evaluation: (a) implementation of all program components; (b) effectiveness of all program components; and (6) breast and cervical cancer control plan and coalition. Fiscal Year 2017: This project ended 6/29/2017. Fiscal Year 2018: This project ended 6/29/2017.

CRITERIA FOR SELECTING PROPOSALS:

(1) Extent of disease burden and need; (2) feasibility and appropriateness of operational plan to meet the purpose of the cooperative agreement; (3) the extent of collaboration and community involvement; (4) the extent to which the applicant appears likely to succeed in implementing proposed objectives; (5) the appropriateness of nonfederal contributions; and (6) the extent to which the budget is reasonable, consistent with the intended use of cooperative agreement funds, and includes evidence of the State's commitment to the program application of financial and/or in-kind contributions from nonfederal sources to activities of the proposed program.

93.923 DISADVANTAGED HEALTH PROFESSIONS FACULTY LOAN REPAYMENT PROGRAM (FLRP)

FLRP

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 738(b) of the Public Health Service Act (42 U.S.C. 738 [293b]), as amended by P.L. 105-392.

OBJECTIVES:

The Faculty Loan Repayment Program (FLRP) provides a financial incentive for degree-trained health professionals from disadvantaged backgrounds to serve as a faculty member in an eligible health professions school in the following disciplines: allopathic, osteopathic, podiatric or veterinary medicine; dentistry, pharmacy, respiratory therapist, optometry, nursing (RN or Advanced Practice RN), physician assistants, allied health or graduate programs in public health or behavioral and mental health for a minimum of 2 years.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Faculty Loan Repayment Program (FLRP) funds will be used to repay qualifying health professions educational loans consisting of principal and interest on loans incurred by the applicant while enrolled in a school in return for serving a minimum of least 2 years as a full-time or part-time faculty member at one of the following accredited health profession schools: allopathic, osteopathic, podiatric or veterinary medicine; dentistry, pharmacy, optometry, nursing (RN or Advanced Practice RN), physician assistants, allied health or graduate programs in public health or behavioral and mental health.

Applicant Eligibility:

Individuals who are from disadvantaged backgrounds who: (1) are U.S. citizens (either U.S. born or naturalized), U.S. nationals or lawful permanent residents; (2) have a degree or certificate in one of the following health profession disciplines: allopathic, osteopathic, podiatric or veterinary medicine; dentistry, pharmacy, optometry, nursing (RN or Advanced Practice RN), physician assistants, allied health, or graduate programs in public health or behavioral and mental health; or are enrolled in an approved graduate training program in one of the health professions listed above, or are enrolled as full-time students (in the disciplines listed above) at an accredited health professions institution and are in the final course of study or program leading to a degree from that institution; (3) have a minimum 2-year employment commitment from an eligible health professions school for a full-time or part-time faculty position; (4) have a written agreement with the eligible health professions school for equivalent loan repayment; and (5) be currently repaying qualifying educational loans.

Beneficiary Eligibility:

See above.

Credentials/Documentation:

Applicants should review the individual HRSA Application and Program Guidance issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

FLRP awards are based on a selection tiering to determine the sequential order of awards made to qualified applicants. The amount of matching funds provided by the applicant's employing institution. There are three (3) match categories: (1) full matching of the HHS level of loan repayment, (2) partial matching of the HHS level of loan repayment (i.e., <100% match); or (3) no match. Awards are made starting with full match, then to partial match (from greatest percentage match to least partial match), then no match. Full-time applicants in each match category are given preference over part-time applicants. Payments are made to individuals via electronic direct deposit.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification to all applicants are made no later than September 30th of the application year.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: (1 to 100 percent) - Institutions may submit a full match (100%), partial match (1 to 99%) or request a waiver. The employing institution is required for each year of service to make payments in an amount equal to the amount of principal and interest payments made by the Secretary. These payments must be in addition to the recipients salary the individuals otherwise would receive. However, the Secretary may waive this requirement if it is determined that it would impose an undue financial hardship on the institution involved. A consideration for waiver will be based on required documentation submitted by the institution which must include financial statements to support hardship. Applicants receive award preference based on the funding match determined by the institution. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for 2 years during the time specified in the contract. Payments are made to individuals via electronic direct deposit. See the following for information on how assistance is awarded/released: Awards are based on a percentage of the eligible applicant's total debt.

Reports:

The employing institution must complete and send a semi-annual In-Service Verification Form verifying that the participants are providing full-time or part-time continuous service at their approved health professions school. No cash reports are required. Progress reports are not applicable. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

No data available.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$1,190,054; FY 17 est \$619,000; and FY 18 est \$0

Range and Average of Financial Assistance:

FY 16 Range - \$84 to \$59,853; FY 16 Average: \$52,812.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FY16 Actual - 21 awards. Fiscal Year 2017: FY17 Projection - No Current Data Available. Fiscal Year 2018: FY18 Projection - No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Faculty Loan Repayment Program, Division of Health Careers and Financial Support, Bureau of Health Workforce (BHW), Health Resources and Services Administration, 5600 Fishers Lane, , Rockville, Maryland 20857 Phone: (301) 594-4130.

Website Address:

<http://www.hrsa.gov/loanscholarships/repayment/faculty/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

FLRP - The applicant will be rated on the extent to which the individual meets the intent of the program to expand disadvantaged representation in health professions faculty positions.

**93.924 RYAN WHITE HIV/AIDS DENTAL REIMBURSEMENT AND COMMUNITY BASED DENTAL PARTNERSHIP GRANTS
FEDERAL AGENCY:**

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 2692(b) of the Public Health Service Act, (42 U.S.C. 300ff-111(b)) as amended the Ryan White HIV/AIDS Treatment Extension Act of 2009 (Public Law 111-87).

OBJECTIVES:

Dental Reimbursement Program (DRP): To partially compensate dental schools, postdoctoral dental education programs, and dental hygiene education programs for unreimbursed costs they have incurred in providing oral health services to low income, underinsured, and underserved persons living with HIV (PLWH). Reimbursement will be made for documenting the number of low income PLWH served and the unreimbursed oral health costs incurred by each institution as compared with the total number of low income PLWH served and costs incurred by all eligible applicants. The purpose of the Dental Reimbursement program is to reduce the unmet oral health care needs of PLWH and provide clinical training for dental and dental hygiene students and dental residents.

Community Based Dental Partnership Program (CBDPP): To increase access to oral health care services for low income persons living with HIV, while at the same time providing education and clinical training for dental and dental hygiene students, residents, and other dental providers in community-based settings. This is achieved through multi-partner collaborations between dental and dental hygiene education programs and community-based dentists and dental clinics, marked by shared expertise and resources.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

DRP: Funds are limited to accredited dental schools and other accredited dental education programs, such as dental hygiene programs or those sponsored by a school of dentistry, a hospital, or a public or private institution that offers postdoctoral training in the specialties of dentistry, advanced education in general dentistry, or a dental general practice residency that submit an application documenting unreimbursed costs of oral health care provided to low income patients with HIV.

CBDPP Grants: Part F CBDPP recipients are responsible for developing or enhancing partnerships with community-based dental providers, provide allowable oral health services, and provide training to dental and dental hygiene students and dental residents to deliver oral health care to PLWH. Funds may not be used for purchase, construction, or major alterations or renovations on any building or other facility; purchase or improvement of land; cash payments to intended recipients of RWHAP services; foreign travel; or for any item or service to the extent that payment has been made, or reasonably can be expected to be made, with respect to that item or service under any State compensation program, insurance policy, Federal or State health benefits program or by an entity that provides health services on a prepaid basis (except for a program administered by or providing the services of the Indian Health Services).

Applicant Eligibility:

Applicants are limited to accredited dental schools and other accredited dental education programs such as dental hygiene programs or those sponsored by a school of dentistry, a hospital, or a public or private institution that offers postdoctoral training in the specialties of dentistry, advanced education in general dentistry, or a dental general practice residency.

Beneficiary Eligibility:

Low income, underinsured, and underserved people living with HIV.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. Applicants for Dental Reimbursement program and recipients for the Community Based Dental Partnership Program must be accredited by the Commission on Dental Accreditation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

Dental Reimbursement Program: All qualified applicants will receive funds utilizing a formula type process dividing the unreimbursed costs for each applicant by the total unreimbursed cost across all applications, then multiplying by the amount available for the Dental Reimbursement Program.

Community Based Dental Partnership Program: All qualified applications will be forwarded to an objective review committee. Based on the recommendation of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. The DRP has a statutory formula. The CBDPP has no statutory formula.
Both programs have no matching requirements.
This program has MOE requirements, see funding agency for further details.
As a condition of award, applicants must agree to maintain expenditures of State funds for DRP-related activities at a level equal to not less than the level of such expenditures for the fiscal year preceding the reimbursement period.

Length and Time Phasing of Assistance:

The DRP is a reimbursement of uncompensated costs incurred in a prior one-year period specific in the notice of funding opportunity.
Community-Based Dental Partnership grants may be made for project periods of up to five-years composed of up to five 12-month budget periods. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

A Dental Services Report (DSR) is required for both the DRP and CBDPP. Community Based Dental Partnership Program recipients must submit a Federal Financial Report or SF 425 within 90 days after the end of each budget period. Additionally, each CBDPP recipient must submit a budget period renewal progress report each year prior to the end of the project period. A final Federal Financial Report must be submitted 90 days after the end of the project period. Cash reports are not applicable. Allocation and expenditure reports are

not applicable. Performance monitoring is not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain financial 3 years after the date they submit the final Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Formula Grants) FY 16 \$9,342,411; FY 17 est \$9,342,411; and FY 18 est \$8,993,887 - Dental Reimbursement Program (Formula Distribution). (Project Grants) FY 16 \$3,189,991; FY 17 est \$3,189,991; and FY 18 est \$3,538,515 - Community Based Dental Partnership Program.

Range and Average of Financial Assistance:

Dental Reimbursement: \$2,981 to \$1,078,854; Average \$179,662.
Community-Based Dental Partnership grants: \$219,230 to \$364,172; Average \$289,999.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All HRSA awards are subject to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements at 45 CFR part 75.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Mahyar Mofidi 5600 Fishers Lane, Room 09N07, Rockville, Maryland 20857
Phone: (301) 443-2075

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All DRP applicants that meet the eligibility criteria and submit applications documenting unreimbursed costs of oral health care provided to low income PLWH will receive reimbursement for a portion of costs incurred. Applicants for the CBDPP are reviewed by an objective review committee based on the criteria detailed in the notice of funding opportunity.

93.925 SCHOLARSHIPS FOR HEALTH PROFESSIONS STUDENTS FROM DISADVANTAGED BACKGROUNDS

Scholarships for Disadvantaged Students (SDS)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 737 of the Public Health Service Act (42 U.S.C. 293a).

OBJECTIVES:

The SDS program promotes service in primary care and in medically underserved communities and diversity among health professions students and practitioners by providing grants to eligible health professions and nursing schools for use in awarding scholarships. Eligible health professions and nursing schools apply for funds to provide scholarships to students from disadvantaged backgrounds who have financial need for scholarships and are enrolled, or accepted for enrollment, as full-time students at the schools. Schools provide student scholarships and disadvantaged student recruitment and retention programs that encourage completion of school and service in primary care and in medically underserved communities. The purpose is to provide entrance into a career as a health professional to help diversify the health workforce and encourage service in primary care and in medically underserved communities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

SDS funds awarded to schools must be used to award full or partial scholarships to eligible students enrolled in health professions programs on a full-time basis. Scholarships may only be expended for tuition expenses, other reasonable educational expenses, and reasonable living expenses incurred while in attendance for the year. Student scholarship awards shall equal an amount no less than 50% of the students annual tuition costs up to a maximum amount of \$30,000 to cover the cost of attendance. No student can be awarded SDS funds greater than \$30,000 per year. The Secretary is required to make available at least 16 percent of the funds to schools that will provide scholarships only for nursing students. In addition, 25 percent of SDS funding is designated for graduate programs in behavioral and mental health practice. In making awards, geographical regions are also taken into consideration. Schools are required to agree that, in providing scholarships under this program, preference shall be given to students from disadvantaged backgrounds for whom the costs of attending the school would constitute a severe financial hardship. The Secretary may not make a grant to a school unless the school is carrying out a program for recruiting and retaining students from disadvantaged backgrounds and has met the eligibility criteria of demonstrating the success of outcome measures.

Indirect costs are unallowable under this program.

Applicant Eligibility:

Accredited public or non-profit private schools of medicine, nursing, osteopathic medicine, dentistry, pharmacy, podiatric medicine, optometry, veterinary medicine, chiropractic, allied health, public health, a school offering a graduate program in behavioral and mental health practice, or an entity providing programs for the training of physician assistants.

1) At least 20 percent of the total enrollment (full-time enrolled) of a program during the specified academic year must be students from disadvantaged backgrounds; and

Accredited public or non-profit private schools of medicine, nursing, osteopathic medicine, dentistry, pharmacy, podiatric medicine, optometry, veterinary medicine, chiropractic, allied health, public health, a school offering a graduate program in behavioral and mental health practice, or an entity providing programs for the training of physician assistants.

1) At least 20 percent of the total enrollment (full-time enrolled) of a program during the specified academic year must be students from disadvantaged backgrounds; and

2) At least 20 percent of the total graduates (who were full-time students) of a program during the specified academic year must have been from disadvantaged backgrounds. Faith-based and community-based organizations, Federally Recognized Indian Tribal Government and Native American Organizations are eligible to apply if all other eligibility requirements are met.

Beneficiary Eligibility:

Students who are citizens, nationals, or lawful permanent residents of the United States or the District of Columbia, the Commonwealths of Puerto Rico

or the Northern Mariana Islands, the U.S. Virgin Islands, Guam, the American Samoa, the Republic of Palau, the Republic of the Marshall Islands, the Federated States of Micronesia; and enrolled full-time in health professions or nursing schools.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing (electronic) by a Notice of Award.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a four-year project period.

Student scholarships are provided in one year awards. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available

online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$42,621,413; FY 17 est \$43,120,389; and FY 18 est \$0

Range and Average of Financial Assistance:

Range: FY 2015: \$42,840 to \$650,000; Average \$443,840.

Range: FY 2016: \$28,000 to \$650,000; Average \$546,428.

Range FY 2017: \$26,615 to \$617,837; Average \$545,828.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Denise Sorrell, Division of Health Careers and Financial Support, Bureau of Health Workforce, 5600 Fishers Lane, Room 15N78., Rockville, Maryland 20857 Email: dsorrell@hrsa.gov Phone: (301) 443-1173

Website Address:

<http://bhwh.hrsa.gov/loansscholarships/schoolbasedloans>

RELATED PROGRAMS:

93.288 National Health Service Corps Scholarship Program; 93.342 Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students; 93.364 Nursing Student Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. Applications will be reviewed and evaluated against the

following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See most recent Notice of Funding Opportunity for detailed review criteria.

93.926 HEALTHY START INITIATIVE

Healthy Start

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title III, Part D, Section 330H of the Public Health Service Act, (42 U.S.C.254c-8).

OBJECTIVES:

The purpose of this grant program is to improve perinatal health outcomes and reduce racial and ethnic disparities in perinatal health outcomes by using community-based approaches to service delivery, and to facilitate access to comprehensive health and social services for women, infants, and their families. HS grants are provided to communities with rates of infant mortality at least 1 times the U.S. national average and high rates for other adverse perinatal outcomes (e.g., low birthweight, preterm birth, maternal morbidity and mortality) in order to address the needs of high-risk women and their families before, during, and after pregnancy.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Healthy Start (HS) program aims to reduce disparities in infant mortality and adverse perinatal outcomes by: 1) improving womens health, 2) promoting quality services, 3) strengthening family resilience, 4) achieving collective impact, and 5) increasing accountability through quality improvement, performance monitoring, and evaluation. Healthy Start grantees continue to reduce high infant mortality rates (IMR) by assuring access to culturally competent, family-centered, and comprehensive health and social services to women, infants, and their families through a community-based participatory approach. Additionally, HS grantees foster systems integration, coordination, and collaboration to advance community change and increase collective impact. HS grantees also coordinate and align with State Title V Maternal and Child Health Block Grant programs to promote cooperation, integration, and dissemination of information with statewide systems and with other community services funded under Title V. Funds may not be used to supplant currently funded activities/services.

Applicant Eligibility:

Eligible Project Area

All applicants applying for funding under this grant notice must identify themselves as serving an urban, rural, or border community project area. A project area is defined as a geographic community in which the proposed services are to be implemented. A project area must represent a reasonable and logical catchment area, but the defined areas do not have to be contiguous. A map of the proposed project area must be included in the application.

Urban Territory, population, and housing units located within an urbanized area (UA) or an urban cluster (UC), which has:

- o a population density of at least 1,000 people per square mile; and
- o surrounding census blocks with an overall density of at least 500 people per square mile.

Rural To determine whether the Census tract or County for your proposed project area is defined as a rural area (RA), visit the webpage Rural Health Grants Eligibility

Analyzer(<http://datawarehouse.hrsa.gov/RuralAdvisor/ruralhealthadvisor.aspx?ruralByAddr=1>) and enter the project area address.

US/Mexico Border - Border communities are those communities located within 62 miles/100 kilometers of the U.S./Mexico border. In order to be considered for Border Community funding, the project area and the target population to be served both have to reside within 62 miles/100 kilometers of the U.S./Mexico

border.

Eligible Target Population

The target population is the population that the applicant will serve within their geographic project area and will determine their eligibility. The target population may range from a single racial/ethnic group to the entire project area population. The project area for which the applicant is applying and the proposed target population within that project area must be clearly identified to confirm eligibility.

Eligibility Factors Demonstrating Need

HRSA/MCHB must be able to verify submitted data with the appropriate state/local government agency responsible for vital statistics. Border community applicants that cannot provide this verifiable data may use the other indicators specified in the second section below. Project data for the eligibility factors for all applicants must be included in the applications transmittal letter and in the needs assessment section of the submitted application. The existing racial/ethnic disparities in these or other perinatal indicators should also be described in the needs assessment section of the application.

Applications that do not provide this information, in the manner described within this notice of funding opportunity (NOFO), will be considered ineligible and the application will be returned without review.

An applicant's target population within their proposed project area must meet the following verifiable criteria using the smallest statistical level of verifiable data available -- not to be any larger than a combination of counties (e.g. a city project should not report county-level data). HRSA-14-020, Urban and Rural Communities

Using verifiable three-year average data for calendar years 2007 through 2009, the proposed project area for communities which meet the urban or rural community definition must meet the following indicators from the list below.

The 2007-2009 combined three-year infant mortality rate (IMR, infant deaths per 1,000 live births over three years) must be equal to or more than 9.9 deaths per 1,000 live births (1.5 times the national average) AND there must be 20 or more infant deaths over the three years for the targeted population.

If the combined 2007-2009 number of infant deaths are less than (<)20, then to be eligible the following must be met: o The 2007-2009 three-year average low birthweight (LBW) is equal to or more than 12.3% (1.5 times the national average) AND there must be 100 or more LBW births in the targeted population for the three-year period, 2007 to 2009

OR

The 2007-2009 preterm births (PTB) is equal to or more than 18.6% (1.5 times the national average) AND there must be 100 or more PTB births in the targeted population for the period, 2007 to 2009

For Levels 2 and 3, if the combined 2007-2009 IMR is less than 9.9 deaths per 1,000 live births, then to be eligible the following must be met: o The applicant must demonstrate a 20% decrease in IMR in their target population for 2005-2009 (five-year combined average) and discuss how they were a significant contributor to this reduction

AND meet at least one of the following criteria:

The 2007-2009 three-year average low birthweight (LBW) is equal to or more than 12.3% (1.5 times the national average) AND there must be 100 or more LBW births in the targeted population for the three-year period, 2007 to 2009

OR

The 2007-2009 preterm births (PTB) is equal to or more than 18.6% (1.5 times the national average) AND there must be 100 or more PTB births in the targeted population for the period, 2007 to 2009

US/Mexico Border Communities

Using verifiable three-year average data for 2007 through 2009, the proposed target population for project areas which meet the border community definition

(i.e., within 62 miles of the U.S./Mexico border) must have at least 1,000 births for the three year period and meet at least three (3) indicators from the list below. If vital statistics are not available from state/local government agencies, border community applicants can use other verifiable data.

Percentage of women of childbearing age with diabetes is 3.1% or more;

Percentage of women of childbearing age who are obese is 31.8% or more;

Percentage of pregnant women entering prenatal care in the first trimester is less than 80%;

Percentage of births to women who had no prenatal care is greater than 2%;

Percentage of births to women who smoke is greater than 20%;

Percentage of children 0-2 years old with a completed schedule of immunization is less than 60%;

Percentage of children under 18 years of age with family incomes below the Federal Poverty Level exceeded 25% for 2010. If more recent verifiable poverty data is available, please provide this data and identify year and source; Percent of infants born large for gestational age (LGA) is 9.4 or greater.

Beneficiary Eligibility:

Service area residents, particularly women and infants in areas with significant perinatal health disparities.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Final decisions are made approximately 166 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory progress report.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods vary depending on specific grant notice with non-competing continuation awards made on an annual basis for up to 5 years depending on satisfactory progress and subject to the availability of funds. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends.

If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends.

New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No cash reports are required. The successful applicant under this notice of funding opportunity must comply with Section 6 of HRSAs SF-424 Application Guide and the following reporting and review activities:

1) Progress Report(s). The awardee must submit a progress report to HRSA on an annual basis. Further information will be provided in the award notice. No expenditure reports are required. 2) Performance Report(s).

The Health Resources and Services Administration (HRSA) has modified its reporting requirements for SPRANS projects, CISS projects, and other grant programs administered by the Maternal and Child Health Bureau (MCHB) to include national performance measures that were developed in accordance with the requirements of the Government Performance and Results Act (GPRA) of 1993 (Public Law 103-62). This Act requires the establishment of measurable goals for Federal programs that can be reported as part of the budgetary process, thus linking funding decisions with performance. Performance measures for States have also been established under the Block Grant provisions of Title V of the Social Security Act, the MCHBs authorizing legislation. Performance measures for other MCHB-funded grant programs have been approved by the Office of Management and Budget and are primarily based on existing or administrative data that projects should easily be able to access or collect.

1. Performance Measures and Program Data

To prepare successful applicants for their reporting requirements, the listing of MCHB administrative forms and performance measures for this program can be found at:

https://perf-data.hrsa.gov/mchb/DgisApp/FormAssignmentList/H49_2.HTML.

2. Performance Reporting

Successful applicants receiving grant funds will be required, within 120 days of the Notice of Award (NoA), to register in HRSAs Electronic Handbooks (EHBs) and electronically complete the program specific data forms that appear for this program at:

https://perf-data.hrsa.gov/mchb/DgisApp/FormAssignmentList/H49_2.HTML.

This requirement entails the provision of budget breakdowns in the financial forms based on the grant award amount, the project abstract and other grant summary data as well as providing objectives for the performance measures.

Performance reporting is conducted for each grant year of the project period. Grantees will be required, within 120 days of the NoA, to enter HRSAs EHBs and complete the program specific forms. This requirement includes providing expenditure data, finalizing the abstract and grant summary data as well as finalizing indicators/scores for the performance measures.

3. Project Period End Performance Reporting

Successful applicants receiving grant funding will be required, within 90 days from the end of the four years and nine months project period, to electronically

complete the program specific data forms that appear for this program at: https://perf-data.hrsa.gov/mchb/DgisApp/FormAssignmentList/H49_2.HTML.

The requirement includes providing expenditure data for the final year of the project period, the project abstract and grant summary data as well as final indicators/scores for the performance measures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

(Project Grants) FY 16 \$95,472,848; FY 17 est \$108,076,184; and FY 18 est \$124,593,493

Range and Average of Financial Assistance:

Based on FY 14 \$750,000-\$2,000,000 per year.

TAFS Codes:

75-0354.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Director, Division of Healthy Start and Perinatal Services, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 13-91, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0543.

Headquarters Office:

Director, Division of Healthy Start and Perinatal Services 5600 Fishers Lane, Room 18N29, Rockville, Maryland 20857 Phone: (301) 443-0543

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the notice of funding opportunity to obtain specific program grant guidance.

93.928 SPECIAL PROJECTS OF NATIONAL SIGNIFICANCE (SPNS)**FEDERAL AGENCY:**

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 2691 of Title XXVI of the Public Health Service Act, (42 USC 300ff-101), as amended, by the Ryan White HIV/AIDS Treatment Modernization Act of 2009 (Public Law 111-87).

OBJECTIVES:

To quickly respond to the care and treatment needs of individuals receiving assistance under the Ryan White HIV/AIDS program (RWHAP). Special Projects of National Significance (SPNS) supports the development and implementation of innovative delivery models of HIV care, services, and capacity development initiatives. Further, SPNS evaluates the effectiveness of these models, and promotes the replication of successful models. Areas of evaluation include design, implementation, utilization, cost, and health related outcomes of effective models. The SPNS Program also supports special programs to develop or enhance health information and technology data systems to enable RWHAP recipients and their sub-recipients or providers to report and utilize clinical data.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Proposals are expected to adequately define and justify the needs, innovative nature, and evaluation methodology of the proposed model of services. Funds shall be used to create and/or evaluate innovative models of HIV care, services, capacity development initiatives, and health information and technology data systems that would likely not exist nor be evaluated without SPNS Program support, or that would extend the care model to previously underserved or uninsured populations. Funds may not be used for: charges that are billable to third party payers (e.g., private health insurance, prepaid health plans, Medicaid, Medicare); purchasing or construction of new facilities or capital improvements to existing facilities; purchasing or improving land; international travel; cash payments to intended recipients of RWHAP services; or pre-exposure prophylaxis (PrEP) or non-occupational post-exposure prophylaxis (nPEP) medications or the related medical services. Funds may not be used for outreach programs which have HIV prevention education as their exclusive purpose, or broad-scope awareness activities about HIV services that target the general public. Additionally, the purchase of sterile needles or syringes for the purposes of hypodermic injection of any illegal drug is not allowable. Some aspects of syringe services programs are allowable with HRSA's prior approval and in compliance with HHS and HRSA policy (see: <https://www.hiv.gov/federal-response/policies-issues/syringe-services-programs>).

Applicant Eligibility:

Academic institutions, non-profit organizations including faith-based organizations, and those eligible for funding under Parts A-D authorized by Title XXVI of the Public Health Service (PHS) Act as amended by the Ryan White HIV/AIDS Treatment Extension Act of 2009. Additionally, federally recognized Indian Tribal Governments and tribal organizations are also eligible to apply for these funds.

Beneficiary Eligibility:

Individuals living with HIV.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the recommendations of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements will generally be awarded for up to a five year project period composed of up to five 12-month budget periods; some awards may have more limited 12, 24 or 36 month project periods. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program progress reports are required. Cash reports are not applicable. Please refer to notice of funding opportunity and Notice of Award for any applicable program and data reports. Recipients must submit a Federal Financial Report (FFR) or SF 425 within 120 days after the end of each budget period. A final performance report is due within 90 days after the end of the project period. A final Federal Financial Report must be submitted 90 days after the end of the project period. No expenditure reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain financial records 3 years after the date they submit the final Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$6,231,128; FY 17 est \$5,199,782; and FY 18 est \$4,100,000. (Project Grants) FY 16 \$17,098,752; FY 17 est \$12,337,313; and FY 18 est \$4,883,384

Range and Average of Financial Assistance:

Project Grants: \$263,206 to \$482,500; Average \$300,000;

Coop. Agreements: \$ 500,000 to \$3,049,198. Average \$550,000.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All HRSA awards are subject to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements at 45 CFR part 75.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Harold Phillips 5600 Fishers Lane, Room 9N-114, Rockville, Maryland 20857
Phone: (301) 443-8109

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.917 HIV Care Formula Grants; 93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Fiscal Year 2016: Cooperative Agreements: Dissemination of Evidence-Informed Interventions to Improve Health Outcomes along the HIV Care Continuum Initiative - This initiative adapted four linkage and retention interventions from prior SPNS and the Secretary's Minority AIDS Initiative Fund (SMAIF) initiatives to improve health outcomes along the HIV care continuum. The initiative is funding two cooperative agreements, a Dissemination and Evaluation Center (DEC) for five years, and an Implementation Technical Assistance Center (ITAC) for four years. The end goal of the initiative is to produce four evidence-informed Care And Treatment Interventions (CATIs) that are replicable, cost-effective, capable of producing optimal HIV care continuum outcomes, and easily adaptable to the changing health care environment. The multi-site evaluation of this initiative will take a rigorous Implementation Science (IS) approach, which places greater emphasis on evaluation of the implementation process and cost analyses of the interventions, while seeking to improve the HIV care continuum outcomes of linkage, retention, re-engagement and viral suppression among client participants.

Grants: Use of Social Media to Improve Engagement, Retention, and Health Outcomes along the HIV Care Continuum Demonstration Sites - This initiative is a multi-site demonstration and evaluation of innovative social media methods designed to identify, link, and retain HIV positive underserved, underinsured, hard-to-reach youth and young adults (ages 13-34) in HIV primary care and supportive services. These methods include system approaches utilizing a variety of social media, Internet, and mobile-based technologies to improve engagement and retention in care and viral suppression. Demonstration projects are expected to implement these models, evaluate their effectiveness and to disseminate findings, best practices, and lessons learned. These social media interventions focus on youth and young adults living with HIV who are aware of their HIV infection status but have never been engaged in care; are aware but have refused referral to care; have dropped out of care; are infected with HIV but are unaware of their HIV status; or have not achieved viral suppression. Fiscal Year 2017: Fiscal Year 17: Improving Health Outcomes through the Coordination of Supportive Employment and Housing Services Demonstration Sites; Improving Health Outcomes through the Coordination of Supportive Employment and Housing Services Evaluation and Technical Assistance Provider; and Curing Hepatitis C among People of Color Living with HIV (SMAIF initiatives). Fiscal Year 2018: No data available.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation criteria vary and are based on the initiative. Refer to criteria included in the notice of funding opportunity for each specific program.

93.932 NATIVE HAWAIIAN HEALTH CARE SYSTEMS

Native Hawaiian Health Care Systems

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Native Hawaiian Health Care Improvement Act (42 USC 11701 11714), as amended by P.L. 111-148, Section 10221.

OBJECTIVES:

To raise the health status of Native Hawaiians living in Hawaii to the highest possible level through the provision of comprehensive health promotion and disease prevention services, as well as primary health services, and to provide existing Native Hawaiian health care programs with all resources necessary to effectuate this policy.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Required Native Hawaiian Health Care Systems services under this program include outreach, health promotion, disease prevention, and primary health care services. These services will integrate traditional health concepts with western medicine so that existing barriers to health care can be removed. It is anticipated that the various components will be integrated into one comprehensive system of care and that the existing health resources of the community will be used to the greatest extent possible. Required Papa Ola Lokahi activities include coordination and updating of a comprehensive Native Hawaiian health care plan, providing training, technical assistance, and coordination for Native Hawaiian health care sites/practitioners statewide, serving as a clearinghouse, and conducting disease prevalence research.

Applicant Eligibility:

Eligible entities include Papa Ola Lokahi and the Native Hawaiian Health Care Systems (Systems). The Systems: (1) organized under the laws of the State of Hawaii; (2) provide or arrange for health care services through practitioners licensed by the State of Hawaii, where licensure requirements are applicable; (3) are public or nonprofit private entities; (4) involve Native Hawaiian health practitioners significantly in the planning, management, monitoring, and evaluation of health care services; (5) are recognized by Papa Ola Lokahi (a consortium of Hawaiian and Native Hawaiian organizations) for the purpose of planning, conducting, or administering programs or portions of programs, authorized by this act for the benefit of Native Hawaiians; and (6) are certified by Papa Ola Lokahi as having the qualifications and the capacity to provide the services and meet the requirements of this Act for the benefit of Native Hawaiians.

Beneficiary Eligibility:

Hawaiian natives will benefit.

Credentials/Documentation:

Applicants should review the applicable HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for Federal grant opportunities under this CFDA number. Applicants are required to apply electronically through Grants.gov.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 days to up to 6 months.

Appeals:

Not Applicable.

Renewals:

Awards to support projects beyond the first budget year within the three-year project period will be contingent upon Congressional appropriation, compliance with applicable statutory and regulatory requirements, demonstrated organizational capacity to accomplish the project's goals, satisfactory performance, and a determination that continued funding would be in the best interest of the federal government.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula.

Matching Requirements: Grants may not be awarded to a Native Hawaiian Health Care System unless the entity agrees that it will make available, directly or through donations to the entity, nonfederal matching funds in an amount not less than \$1 for every \$5 of Federal funds provided. Nonfederal contributions may be in cash or in kind, fairly evaluated, including plant, equipment, or services. Amounts provided by Federal government or services assisted or subsidized to any significant extent by the Federal Government may not be included in determining the amount of such nonfederal contributions. Federal sources may be used as matching funds if received as fees, payments, or reimbursements for the provision of specific services, such as patient care reimbursements received under Medicare or Medicaid. The match requirements may be waived if the Secretary determines, in consultation with Papa Ola Lokahi, that it is not feasible for the entity to comply with the requirement. Grant funds may not be used to pay for (1) inpatient services; (2) cash payments to intended recipients of health services; or (3) purchasing or improving real property (other than minor remodeling of existing improvements to real property) or major medical equipment. The entity may not expend more than 10 percent of amounts received under the grant for administering the grant. Other requirements and limitations are set forth in the Native Hawaiian Health Care Improvement Act (42 USC 11701 - 11714)

There is no matching requirement for Papa Ola Lokahi.

MOE requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made annually. After awards are issued, funds are released in accordance with HHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. Awardees draw down funds, as necessary, from the Payment Management System (PMS). See the following for information on how assistance is awarded/released: PMS is the centralized web based payment system for HHS awards.

Reports:

Annual progress and data reports are required. The awardee will be required to submit federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is due according to the following schedule:
<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and

will be so notified in the Notice of Award. No expenditure reports are required. Performance Monitoring includes review of annual progress and data reports and may include periodic site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$12,339,016; FY 17 est \$12,967,553; and FY 18 est \$12,967,553

Range and Average of Financial Assistance:

\$1,108,201 to \$2,674,268; average \$2,161,259.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Contact headquarters with questions.

Headquarters Office:

Bureau of Primary Health Care, Health Resources and Services Administration, 5600 Fishers Lane, Room 16N16, Rockville, Maryland 20857 Email: BPHCNH@hrsa.gov Phone: (301) 594-4300

Website Address:

<http://bphc.hrsa.gov/programopportunities/fundingopportunities/NHCS/index.html>

RELATED PROGRAMS:

93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are not subject to independent objective review procedures. They are, however, reviewed by HRSA grants management officials (business and financial review) and program staff (technical review and analysis of performance measures) to determine if the applicant: (1) performed satisfactorily; (2) is in compliance with statutory/regulatory requirements; and (3) proposed appropriate goals and activities with allowable and reasonable costs. The following review criteria will be used by federal staff to assess the merits of each application: need, project performance, project plans, resources/capabilities, evaluative measures, and support requested.

93.933 DEMONSTRATION PROJECTS FOR INDIAN HEALTH

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 103 (B)(1), Public Law 93-638; Public Health Service Act; Snyder Act, 25 U.S.C 13.

OBJECTIVES:

To promote improved health care among American Indians and Alaska Natives through research studies and demonstration projects, addressing such issues as, but not limited to Women's Health Care, Native American Research Centers for Health (NARCH), National Indian Health Outreach and Education I, II & III, Tribal Dental Clinical and Preventive Support Centers, Public Health Nursing, Methamphetamine and Suicide Prevention Program, National HIV Program and Healthy Lifestyles in Youth.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Federal assistance is to be used for the following purposes: (1) Research, analysis, and investigation of a broad range of issues affecting the health of American Indians and Alaska Natives; and (2) demonstration projects and studies that provide American Indians/Alaska Natives with impetus and involvement in their health care and that promote improved health care to Indian people. All IHS Grants Funds are for discretionary grant activities.

Applicant Eligibility:

Federally recognized Indian tribes; tribal organizations; nonprofit inter-tribal organizations; nonprofit urban Indian organizations contracting with the Indian Health Service under Title V of the Indian Health Care Improvement Act; public or private nonprofit health and education entities; and State and local government health agencies.

Beneficiary Eligibility:

American Indians/Alaska Natives will be the ultimate beneficiaries of the funded projects either directly or indirectly depending upon the nature of the project. For example, those individuals who participate in research studies and receive services will be direct beneficiaries while those impacted by policy changes resulting from analyses of Indian health care issues will be indirect beneficiaries.

Credentials/Documentation:

Costs will be determined in accordance with the applicable OMB Circular: OMB Circular No. A-87 (State, local, and Indian tribal governments); OMB Circular No. A-21 (institutions of higher education); and OMB Circular No. A-122 (nonprofit organizations). Depending upon the nature of the project, letters of support or tribal resolutions may be required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is subject to the provisions of either 45 CFR, Part 92 or OMB Circular No. A-110 depending upon the type of applicant organization. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at (1-800) 518-4726 or support@grants.gov. The Contact Center is open 24 hours a day, 7 days a week, except Federal holidays, or contact the IHS Grants Policy Office on (301) 443-5204 at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to IHS.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days. Grant appeals will follow PHS appeals procedures: 42 CFR, Part 50, Subpart D and DHHS appeals procedures: 45 CFR 16.

Renewals:

Initial project period of up to 5 years, usually 3 years, with competitive renewals for periods not to exceed a total project period of 5 years.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period is limited to 5 years or less. Within the project period, a continuation application must be submitted annually on a noncompetitive basis for each year of support. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Payment Management Services. Progress Reports are due 90 days after the end of each budget period, or more frequently if stated in the terms and conditions of the IHS grant award. Reports should be sent to the attention of the Grants Management Specialist at the Division of Grants Management. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Payment Management Services. All IHS grant awards are monitored for Financial compliance by the Division of Grants Management and for Programmatic Compliance by the IHS Program Staff.

NIHA - other reports as required and specified in the program announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

DHHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, paper, or other records of the grantee, contractor, or subcontractor, which are pertinent to the DHHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 92.42 or 45 CFR Part 74, Subpart D, as applicable, grantees are required to maintain grant records 3 years after they submit their final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the end of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$1,250,000; FY 17 est \$1,250,000; and FY 18 est \$1,250,000 - Obligations for Healthy Lifestyles in Youth. (Cooperative Agreements) FY 16 \$200,000; FY 17 est \$200,000; and FY 18 est \$200,000 - Obligations for National Indian Health Outreach and Education III. (Cooperative Agreements) FY 16 \$825,000; FY 17 est \$825,000; and FY 18 est

\$825,000 - Obligations for National Indian Health Outreach and Education I. (Cooperative Agreements) FY 16 \$400,000; FY 17 est \$400,000; and FY 18 est \$400,000 - Obligations for National Indian Health Outreach and Education II. (Project Grants) FY 16 \$1,250,000; FY 17 est \$1,250,000; and FY 18 est \$1,250,000 - Obligation for Dental Preventive and Clinical Support Centers Program. (Project Grants) FY 16 \$10,025,880; FY 17 est \$10,025,880; and FY 18 est \$10,025,880 - Obligations for Native American Research Centers for Health. (Project Grants) FY 16 \$1,537,500; FY 17 est \$1,700,000; and FY 18 est \$1,700,000 - Obligations for Public Health Nursing. (Project Grants) FY 16 \$18,833,243; FY 17 est \$25,968,339; and FY 18 est \$25,000,791 - Obligations for Methamphetamine and Suicide Prevention Initiative. (Project Grants) FY 16 \$5,972,680; FY 17 est \$9,172,680; and FY 18 est \$9,172,680 - Obligations for Domestic Violence Prevention Initiative. (Project Grants) FY 16 \$696,000; FY 17 est \$696,000; and FY 18 est \$696,000 - Obligations for OCPS-HIV program.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0390; 75-0120.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 74, Health and Human Services Grants Policy Statement, January 2007.

Regional or Local Office:

None. Program Contact: For Methamphetamine and Suicide Prevention Initiative contact: Audrey Solimon, MSPI National Program Coordinator, 5600 Fishers Lane, Mail Stop: 08N34A, Rockville, MD 20857.

For Domestic Violence Prevention Initiative contact: Selina Keryte, DVPI National Program Coordinator, 5600 Fishers Lane, Mail Stop: 08N34A, Rockville, MD 20857.

For Public Health Nursing Program contact: Ms. Tina Tah, Senior Nurse Consultant, Public Health Nursing, Office of Clinical and Preventive Services, 5600 Fishers Lane, Mail Stop 08N30A, Rockville, MD 20857. Telephone: (301) 443-0038.

For National Indian Health Outreach and Education Programs I, II and III, contact: Ken Coriz, Office of Direct Service and Contracting Tribes, 5600 Fishers Lane, Mail Stop: 09E37, Rockville, MD 20857. Telephone: (301) 443-1104.

For Office of Clinical and Preventive Services, National HIV Program, contact: Richard Haverkate; 5600 Fishers Lane, Mail Stop: 08N34A, Rockville, MD 20857. e-mail richard.haverkate@ihs.gov; telephone: (301) 945-3224.

For Clinical and Preventive Dental Support Centers contact: IHS HQ, 5600 Fishers Lane, Mail Stop: 08N34A, Rockville, MD 20857. Dr. Christopher Halliday, Telephone 301-443-4323, E-mail Christopher.Halliday@ihs.gov, or Dr. James Schaeffer, Telephone 301-443-4319, E-mail James.Schaeffer@ihs.gov.

For Native American Research Centers for Health, contact: Yvonne M. Davis, Program Evaluator, Division of Planning, Evaluation and Research, OPHS, 5600 Fishers Lane, Mail Stop: 09E05D, Rockville, MD 20857.

For Healthy Lifestyles in Youth, contact: Division of Diabetes Treatment and Prevention, 5600 Fishers Lane, Rockville, MD 20857.

Grants Management Contact: Mr. Robert Tarwater, Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204, Fax (301) 594-0899.

Headquarters Office:

Grants Policy Office, 5600 Fishers Lane, Mail Stop: 09E70, Rockville, Maryland 20857 Email: grantspolicy@ihs.gov Phone: 301-443-5204.

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are: Statement of problem(s) requiring solution; need for assistance; results or benefits expected from the project; approach or soundness of the applicant's plan for conducting the project; key personnel and their capability to carry out the project; and adequacy of management controls. Consideration will be given to the demonstrative aspects of the project and the compatibility of the project with the overall goals and objectives of the Indian Health Service.

93.936 NATIONAL INSTITUTES OF HEALTH ACQUIRED IMMUNODEFICIENCY SYNDROME RESEARCH LOAN REPAYMENT PROGRAM

(NIH AIDS Research Loan Repayment Program; AIDS LRP)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VI, Part 68, Section 487A, Public Law 100-607, 288-1 U.S.C.

OBJECTIVES:

To help assure an adequate supply of trained researchers (physicians, registered nurses and scientists) with respect to acquired immunodeficiency syndrome (AIDS) at the National Institutes of Health (NIH) by providing for the repayment of educational loans for participants who agree, by written contract, to primarily engage in AIDS research as employees of the NIH.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

This program will provide partial repayment of educational loans incurred by physicians, registered nurses and scientists engaged, as employees of the NIH, in AIDS research. Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Continuation contracts are available, dependent upon the level of debt and continued involvement in AIDS research, and are issued for one-year periods. Maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual NIH salary, which is referred to as their "debt threshold." An amount equal to half of this "debt threshold" will not be repaid by NIH and must be paid by the Program participants.

Applicant Eligibility:

Eligible applicants must: (1) Be a citizen, national, or permanent resident of the United States; (2) possess a M.D., Ph.D., D.O., D.D.S., D.M.D., D.V.M., D.P.M., A.D.N., B.S.N., or equivalent degree, or hold the position of Physician Assistant; (3) have educational debt, which results from governmental or commercial loans obtained to support their undergraduate and/or graduate education in excess of 20 percent of their annual NIH salary (exclusive of special allowances of any kind) on the program eligibility date; (4) be appointed under any temporary or permanent employment mechanism in the Intramural Research Program of the NIH, so long as their employment has the potential to last a minimum of 2 years; (5) not have an existing service obligation to Federal, State, or other entities, unless deferred during period of program service; (6) submit an application to participate in the Loan Repayment Program; and (7) submit to the Secretary for Health and Human Services, at the time of application, a signed contract agreeing to engage in AIDS research at the NIH for a minimum of 2 years.

Beneficiary Eligibility:

AIDS researchers who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: 1) Copies of loan applications and agreements from governmental or commercial educational loans that are being considered for repayment; 2) copies of the standard student budget from schools attended during period when debt was incurred; and 3) other documentation as required by law. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must access and submit the Loan Repayment Program application electronically via the website www.lrp.nih.gov; seek qualified employment with a sponsoring Institute or Center (IC) of the NIH. Once an application has been submitted, the Loan Repayment Committee reviews it at a regularly scheduled review meeting and a determination of loan repayment recipients is made.

Award Procedure:

The NIH Loan Repayment Committee (LRC) will review, rank, and approve or disapprove applications recommended for program participation by each IC. Applications approved for program participation by the LRC will be notified by the Program Director. The Secretary, or her/his designee, will sign the service contract of program participants, provide a copy to the participant, and notice of the amount of approved loan repayment.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The approximate time for approval/disapproval is three months.

Appeals:

From 90 to 120 days. Employees of the NIH who are not approved as a result of this process may ask for reconsideration through the standard appeal procedures available to NIH employees.

Renewals:

From 60 to 90 days. At the conclusion of the initial two-year contract, participants may apply and be recommended for subsequent one-year continuation contracts under the application and approval procedures specified above.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One-year, continuation contracts are available for individuals whose educational debt exceeds that maximum repayable amount for 2-years. Payments are made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services. Method of awarding/releasing assistance: quarterly.

Reports:

A year-end summary is provided for inclusion in the OITE annual report. Program participants must be certified quarterly as to having satisfactorily performed their research assignments. No cash reports are required. Program participants must be certified quarterly as to having satisfactorily performed their research assignments. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of OMB Circular No. A-133. In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation. Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel record for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-6846-0-1-552.

Obligations:

(Project Grants) FY 16 \$226,093; FY 17 est \$27,460; and FY 18 est \$205,000

Range and Average of Financial Assistance:

For initial 2-year contracts, loan repayment awards may range from \$4,000 to \$70,000; tax reimbursements range from \$1,977 to \$34,598. The average contract cost which includes loan and tax reimbursement is \$53,162. There were no new awards in 2009. There 4 renewal awards.

TAFS Codes:

75-009.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: A total of 4 awards were provided in 2016. Fiscal Year 2017: It is projected that 2 awards will be granted. Fiscal Year 2018: It is projected that 3 awards will be provided in 2018.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 100-607, Public Law 103-43, Public Law 105-392; Federal Register, Volume 54, No. 215, November 8, 1989; NIH Guide for Grants and Contracts, Volume 23, No. 24, June 24, 1994; Federal Register, Volume 56, No. 150, August 5, 1991; Section 487A of Public Health Service Act (42 U.S.C. 288-1); and the Federal Register, Volume 78, No.

66, Friday, April 5, 2013. Notice of Final Rule.

Regional or Local Office:

None.

Headquarters Office:

Patricia A. Cole, PhD Office of Intramural Training and Education, National Institutes of Health, Department of Health and Human Services Building 2, Room 2E18, 2 Center Drive, Bethesda, Maryland 20892-0230 Email: colep@mail.nih.gov Phone: (301) 402-1283 Fax: (301) 480-4942.

Website Address:

<http://www.lrp.nih.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.164 Indian Health Service Educational Loan Repayment; 93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds; 93.232 Loan Repayment Program for General Research; 93.364 Nursing Student Loans

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: The Funded projects will focus on qualified research within the basic, clinical and translational realms that contribute to the NIH mission. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants are approved for participation in the NIH Loan Repayment Program for AIDS Research after the LRC determines that the applicant will conduct qualified AIDS research. LRC approval, in part, is based upon the appropriateness of the research assignment to qualified AIDS research, the scientific merit of the research, and the credentials of the applicant as they relate to performing qualified AIDS research.

93.938 COOPERATIVE AGREEMENTS TO SUPPORT COMPREHENSIVE SCHOOL HEALTH PROGRAMS TO PREVENT THE SPREAD OF HIV AND OTHER IMPORTANT HEALTH PROBLEMS

(SHEPSA)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, 42 U.S.C 241(a) & 243(b).

OBJECTIVES:

To improve the health and well-being of youth and prepare them to be healthy adults.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Cooperative Agreement funds may be used to support personnel, purchase equipment, supplies, services, and travel directly related to the program activities and consistent with the scope of this program. Cooperative agreement funds are used to help schools prevent sexual risk behaviors that result in HIV infection, especially among youth who are at highest risk. For example, cooperative agreement recipients implement and integrate effective policies and educational strategies to reduce risk behaviors that lead to HIV infection among youth; implement strategies to reduce disparities among sub-populations of youth disproportionately affected by HIV infection and other health problems related to sexual risk behaviors; evaluate the implementation and effectiveness of strategies to reduce risks for HIV infection among youth in schools for the purposes of programmatic improvement and long-range planning. CDC believes that the specific scope and content of HIV education programs in schools should be locally determined and should be consistent with parental and community values. CDC supports local decision-making by providing sound scientific information to grantees who work directly with schools, communities, and parents. Funds may be expended for written materials, pictorials,

audiovisuals, questionnaires or survey instruments, and educational group sessions related to HIV education for youth, school, and college populations if approved in accordance with the document "Content of AIDS-Related Written Materials, Pictorials, Audiovisuals, Questionnaires, Survey Instruments, and Educational Sessions in Centers for Disease Control Assistance Programs." Cooperative agreement funds are also used to build state education and state health agency partnership and capacity to implement and coordinate school health programs across agencies and within schools. The expected outcome of this effort is to help schools reduce chronic disease risk factors, including tobacco use, poor nutrition, and physical inactivity. For example, cooperative agreement recipients implement and integrate effective policies, environmental changes, and educational strategies to reduce unhealthy behaviors; leverage resources to avoid duplication at the state and local levels; evaluate school health capacity-building efforts while implementing effective strategies to reduce priority health risks. Funds may not be used to conduct research projects, provide direct delivery of patient care or treatment services, and purchase or disseminate condoms. Although public health may have an assurance role in clinical testing and screening, funds are not to be used to provide clinical testing or screening services. Funds may not be used for purchasing computer equipment, office equipment or furnishing, renting or leasing office space, or to support construction or renovation unless specifically approved.

Applicant Eligibility:

Eligible applicants are official States (including the District of Columbia, the Commonwealth of Puerto Rico, American Samoa, Commonwealth of the Northern Mariana Islands, Federated States of Micronesia, Guam, the Republic of the Marshall Islands, the Republic of Palau, and the U.S. Virgin Islands), Tribal Governments, large urban school districts (with the highest number of reported AIDS cases, high levels of poverty, and student enrollment greater than 75,000 students), and national non-governmental organizations.

Beneficiary Eligibility:

Official State education agencies in states and territories in the United States (including the District of Columbia, the Commonwealth of Puerto Rico, American Samoa, Commonwealth of the Northern Mariana Islands, Federated States of Micronesia, Guam, the Republic of the Marshall Islands, the Republic of Palau, and the U.S. Virgin Islands); local education agencies; national private sector organizations and their constituents; universities and colleges; school-age youth, including minority youth, youth in high-risk situations, and youth with special education needs; college-age youth; and school personnel, including teachers, school nurses, paraprofessionals, and school administrators.

Credentials/Documentation:

Costs will be determined by 45 CFR Part 74, Subpart Q for nonprofit organizations and in accordance with OMB Circular A-87, "Cost Principles for State and Local Governments." 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Information on the submission of applications may be obtained from Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR Part 92 and 45 CFR Part 74. The standard application forms, as furnished by PHS and required by 45 CFR Part 92 for State and local governments and 45 CFR Part 74 for nonprofit organizations must be used for this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Information on renewals may be obtained from Tracey Sims, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 2306, Atlanta, GA 30341.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period can be up to 5 years. Budget periods are for 12 months. Payment under this program is made available through HHS Payment Management System (PMS). Method of awarding/releasing assistance: lump sum.

Reports:

An annual progress report is due within 90 days after the end of the budget period and a final performance report is due 90 days after the end of the project period. This report describes the extent to which program objectives and activities were carried out, reasons for not achieving objectives or carrying out activities as planned, and other information relevant to the program. This report also describes data generated and includes reports of evaluations of program activities conducted during the budget period. Cash reports are not applicable. An annual progress report is due within 90 days after the end of the budget period and a final performance report is due 90 days after the end of the project period. This report describes the extent to which program objectives and activities were carried out, reasons for not achieving objectives or carrying out activities as planned, and other information relevant to the program. This report also describes data generated and includes reports of evaluations of program activities conducted during the budget period. An annual financial status report is due within 90 days after the end of the budget period and a final financial status report is due within 90 days after the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreements program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 Not Available; FY 17 Not Available; and FY 18 Not Available - FY13 was the last year of funding for this program. Funding provided a cost extension only.

Range and Average of Financial Assistance:

\$1,000 to \$678,000; \$283,600.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Subject to regulations outlined in 45 CFR Part 74 and 45 CFR Part 92. Program guidelines are available from the Grants Management Contact.

Regional or Local Office:

None. Program Contact: John C. Canfield, Program Development and Services Branch, Division of Adolescent and School Health, National Center for HIV/AIDS, Viral Hepatitis, STD, and TB Prevention, Centers for Disease Control and Prevention, Public Health Service, Department of Health and Human Services, 1600 Clifton Road NE, MS E-75, Atlanta, GA 30333. Telephone: (404) 718-8333. Grants Management Contact: Tracey Sims, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (770) 488-2739.

Headquarters Office:

John Canfield, 1600 Clifton Road NE, MS E-75, Atlanta, Georgia 30333 Email: qzc6@cdc.gov Phone: (404) 718-8333

Website Address:

<http://www.cdc.gov/healthyouth>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Continuation awards are made on the basis of the following criteria: (1) the accomplishments of the current budget period show that the applicant is meeting its objectives and carrying out activities as planned; (2) the capacity of the recipient and staff responsible for managing and coordinating activities; (3) current collaboration with HIV prevention programs of State and local health agencies and with other relevant organizations; (4) the objectives for the new budget period are realistic, specific, and measurable; (5) a description of the methods of operation and activities that will be used to accomplish the stated objectives; (6) a plan of evaluation; (7) evidence of support from relevant organizations; (8) plans to share information about the program, including copies of HIV education curricula, program descriptions, progress reports, and educational materials with other agencies interested in HIV education for youth; (9) a budget request that is clearly explained, adequately justified, reasonable, and consistent with the intended use of cooperative agreement funds.

93.939 HIV PREVENTION ACTIVITIES NON-GOVERNMENTAL ORGANIZATION BASED

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301(a).

OBJECTIVES:

To provide assistance to local, regional and, national nonprofit organizations to: (a) develop and implement effective community-based Human Immunodeficiency Virus (HIV) prevention programs related to achieving national goals; (b) promote coordination for primary and secondary HIV prevention efforts among community organizations, HIV education/prevention service agencies, and public organizations including local and State health departments and substance abuse agencies; and (c) evaluate the HIV prevention programs for which support is provided.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used to promote coordination for primary and secondary HIV prevention efforts among community-based organizations, HIV education/prevention service agencies, and nonprofit national and regional organizations.

Applicant Eligibility:

Nongovernmental public and nonprofit private entities are eligible, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries..

Beneficiary Eligibility:

Public and nonprofit private entities.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Office Grant Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700, Email: OGSTIMS@cdc.gov. The standard application forms must be used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-110 for nongovernmental applicants. This program is subject to the provisions of OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

After review and approval of an application, a notice of award (NoA) is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Office of Grants Services (OGS), Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As stated in the Terms and Conditions in the Notice of Award (NoA). Method of awarding/releasing assistance: by letter of credit.

Reports:

See notice of funding opportunity (NOFO) for details. See NOFO for details. See NOFO for details. See NOFO for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$74,165,683; FY 17 est \$78,829,335; and FY 18 est \$67,545,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Wolfe 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: cow1@cdc.gov Phone: (404) 639-8531

Website Address:

<http://www.cdc.gov/hiv/>

RELATED PROGRAMS:

93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs; 93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity; 93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention; 93.947 Tuberculosis Demonstration, Research, Public and Professional Education; 93.977 Preventive Health Services_Sexually Transmitted Diseases Control Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) Agreements between all agencies providing professional and/or supportive care, either voluntarily or for fees; (2) a description of the approach that will be used to provide prevention case management services; and (3) a description of how services will complement/expand existing agency primary and secondary services and specific criteria published in program guidance.

93.940 HIV PREVENTION ACTIVITIES HEALTH DEPARTMENT BASED

HIV Prevention Program

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 317, Public Law 100-436.

OBJECTIVES:

To assist States and political subdivisions of States in meeting the cost of establishing and maintaining Human Immunodeficiency Virus (HIV)

prevention programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used to support, develop, implement and evaluate primary and secondary HIV prevention programs implemented by State and local health departments.

Applicant Eligibility:

States, and in consultation with State health authorities, political subdivisions of States including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries and U.S. territories and possessions.

Beneficiary Eligibility:

States, State health authorities, political subdivisions of States including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries and U.S. territories and possessions.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. OMB Circular No. A-87 (Revised May 10, 2011) applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov.

Award Procedure:

After review and approval, a notice of award (NoA) is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From three to six months.

Appeals:

Not Applicable.

Renewals:

Project periods are for one to five years with 12-month budget periods.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project period on these grants is one to five years and the budget period is 12 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

See notice of funding (NOFO) for details. No cash reports are required. See NOFO for details. See NOFO for details. See NOFO for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for at least 3 years or until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$355,286,556; FY 17 est \$356,607,144; and FY 18 est \$415,792,872 - Note: For FY 2018, HIV prevention activities and HIV surveillance will be combined into a new project, "Integrated HIV Surveillance and Prevention Programs for Health Departments" (CDC-RFA PS18-1802 will combine PS12-1201 and PS13-1301).

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit located at www.grants.gov. PHS Grants Policy Statement No. 94- 50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Wolfe 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: cow1@cdc.gov Phone: (404) 639-8531

Website Address:

<http://www.cdc.gov/hiv/>

RELATED PROGRAMS:

93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity; 93.283 Centers for Disease Control and Prevention Investigations and Technical Assistance; 93.991 Preventive Health and Health Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) satisfactory progress in meeting project objectives; (2) the extent to which the objectives for the new budget period are specific, measurable, time phased, realistic, and consistent with National HIV Prevention Goals; (3) the extent to which proposed changes in methods of operation are appropriate and likely to improve prevention efforts; (4) evaluation plans which enhance the success of the project; (5) the availability of funds.

93.941 HIV DEMONSTRATION, RESEARCH, PUBLIC AND PROFESSIONAL EDUCATION PROJECTS

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301(a).

OBJECTIVES:

To develop, test, and disseminate improved HIV prevention strategies.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To assist States, political subdivisions of States, and other public and nonprofit entities with research on the prevention of HIV infection at the community level. Funds may be used to develop, implement and evaluate new interventions, including those targeting people who are infected with HIV. Applicants are encouraged to have research groups participate in the program.

Applicant Eligibility:

States, political subdivisions of States, other public including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries and nonprofit private entities.

Beneficiary Eligibility:

States, political subdivisions of States, other public including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries and nonprofit private entities.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. For nonprofit recipients, costs will be determined in accordance with HHS Regulations 45 CFR 74. OMB Circular No. A-87 (revised May 10, 2004) applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Office Grants Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700, Email: OGSTIMS@cdc.gov.

Award Procedure:

After review and approval, a notice of award (NoA) is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days/three to six months.

Appeals:

As stated in the Terms and Conditions.

Renewals:

Projects are for one to five years with 12-month budget periods.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Period: From one to five years. Budget Period: For 12 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

See Notice of funding opportunity (NOFO) for details. See NOFO for details. See NOFO for details. See NOFO for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for at least 3 years or until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$5,781,877; FY 17 est \$7,642,850; and FY 18 est \$7,020,942

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit. PHS Grants Policy Statement No. 94- 50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Wolfe 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: cow1@cdc.gov Phone: (404) 639-8531

Website Address:

<http://www.cdc.gov/hiv/>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention Investigations and Technical Assistance; 93.991 Preventive Health and Health Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the extent to which proposed objectives are specific, measurable, time-phased, and consistent with the stated purpose of this program; the extent to which the applicant's proposed plan will identify and reach appropriate hard-to-reach individuals at risk for HIV, and the quality and scope of the applicant's past and current activities to provide education about HIV prevention and AIDS to high risk individuals; the quality of the applicant's proposed interventions and strategies to motivate and reinforce risk reduction behavior change among hard-to-reach individuals; the quality of the applicant's proposed plan to promote changes in community norms regarding HIV prevention and risk reduction behavior, and to generate support, cooperation, and collaboration with community based organizations serving individuals at high risk for HIV; the extent to which the applicant's proposed plan will determine, monitor, and measure changes in the prevalence of specific knowledge, attitudes, beliefs and self-reported behaviors among hard-to-reach individuals; the quality of the applicant's plan, including proposed methods and instruments of measurement to evaluate effectiveness of the strategies used to motivate and reinforce behavior change among groups targeted by the interventions, and the impact of the programmatic efforts on community norms; the extent to which the applicant's proposed plan will enable it to respond to and

redirect programmatic efforts based on new information, changes in behavior, and changes in HIV sero prevalence.

93.942 RESEARCH, PREVENTION, AND EDUCATION PROGRAMS ON LYME DISEASE IN THE UNITED STATES

(Lyme Disease)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 42 U.S.C 241(a).

OBJECTIVES:

To develop, implement and evaluate practical and effective measures for the primary and secondary prevention of Lyme disease in the United States.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funding opportunities are made available to develop disease surveillance, conduct ecological and epidemiological studies, develop prevention and control activities, develop better diagnostic tests, and develop and disseminate educational materials and programs. Cooperative Agreements include funds for direct costs, i.e., personnel, travel, equipment, supplies, necessary to carry out an approved project as well as funds for the reimbursement of applicable indirect costs. Unallowable costs, such as reimbursement of pre-award costs, as well as those for which prior written approval is required, are indicated in the Health & Human Services (HHS) Grants Policy Statement (<https://www.hhs.gov/sites/default/files/grants/grants/policies-regulations/hhsgrs107.pdf>).

Applicant Eligibility:

Public and nonprofit organizations able to provide services to geographical areas where Lyme disease is endemic or found to be newly emerging in the continental United States. Thus, universities, colleges, research institutions, State and local health departments, and private nonprofit organizations are eligible.

Beneficiary Eligibility:

States, political subdivisions of states, and other public and nonprofit private entities and the general public who may be exposed to the threat of Lyme disease in certain geographical areas.

Credentials/Documentation:

Nonprofit organizations are corporations or associations no part of whose net earnings may lawfully inure to the benefit of any private shareholder or individual. Proof of nonprofit status must be submitted by private nonprofit organizations with the application or, if previously filed with PHS, the applicant must state where and when the proof was submitted. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

This program has no preapplication requirements. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply to a published funding opportunity, applications must be submitted electronically through Grants.gov (<https://www.grants.gov>) using the SF-424 Research and Related (R&R) forms and the SF-424 (R&R) Application Guide. Detailed application instructions are included in the Notice of Funding Opportunity (NOFO) for federal assistance. Online information can be found at HHS/CDC's Office of Financial Resources, Funding, Grants internet page: <https://www.cdc.gov/grants/index.html>.

This program is subject to the provisions of 45 CFR 92 for State and local

governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Upon receipt, applications will be evaluated for completeness and responsiveness by the HHS/CDC Office of Grant Services and agency extramural program office. HHS/CDC will not review incomplete and non-responsive applications. After review and approval, a notice of grant award (NoA) is prepared and processed, along with appropriate notification to the public. Initial award provides funds for first budget period (usually 12 months) and NoA indicates support recommended for the remainder of the period of performance, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Cooperative agreement periods of performance are typically for 3 to 4 years. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon satisfactory progress by the recipient (as documented in required reports) and the determination that continued funding is in the best interest of the Federal government.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within periods of performance typically ranging from 3 to 4 years. See the following for information on how assistance is awarded/released: After awards are issued, funds are released in accordance with the payment procedure established by the recipient institution with DHHS' payment management system (PMS). PMS will forward instructions to the recipient for obtaining payments.

Reports:

Program reports are not applicable. Cash reports are not applicable. The DHHS Public Health Service Non-Competing Continuation Progress Report (PHS 2590, Revised 03/2016) is due no later than 120 days prior to the end of each budget period within the period of performance. This report also serves as the continuation application. This report should include the information specified in the Notice of Funding Opportunity (NOFO). The Annual Federal Financial Report (FFR, SF-425) is required and must be submitted through eRA Commons no later than 90 days after the end of the calendar quarter in which each budget period ends. Final financial status reports are required no more than 90 days after the end of the period of performance. Final performance reports are required no more than 90 days after the end of the project period. All reports must be submitted to the Grants Management Specialist indicated in the NoA.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants

Policy Statement requirements.

Account Identification:

75-0949-0-1-550.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$299,992; FY 17 est \$500,000; and FY 18 est \$500,000 - These obligations exclude federal assistance provided to the States through the Epidemiology and Laboratory Capacity (ELC) and Emerging Infections Program (EIP) cooperative agreements (covered under CFDA 93.283), and their supporting large contract(s) provided by program discretionary funds.

Range and Average of Financial Assistance:

\$250,000 to \$500,000; Average award depends on Notice of Funding Opportunity (NOFO).

TAFS Codes:

75-0949.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY2016, one new cooperative agreement was awarded for Integrated Tick Management studies. Funding Opportunity Announcement no. CK16-002: Spatially scalable integrated tick vector/rodent reservoir management to reduce human risk of exposure to Ixodes scapularis ticks infected with Lyme disease spirochetes - Impact of single versus multi-property implementation in relation to human landscape use patterns and tick encounter locations. Fiscal Year 2017: In FY2017, it is expected that one cooperative agreement begun in FY2016 will be continued non-competitively. Fiscal Year 2018: In FY2018, it is expected that one cooperative agreement begun in FY2016 will be continued non-competitively.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information on DHHS Grants Policies and Regulations may be found at <https://www.hhs.gov/grants/grants/grants-policies-regulations/index.html>. Pertinent information may be obtained by contacting the Headquarters Office.

Regional or Local Office:

See Regional Agency Offices. Bacterial Diseases Branch, Division of Vector-Borne Diseases, National Center for Emerging and Zoonotic Infectious Diseases, Office of Infectious Diseases, Centers for Disease Control and Prevention, 3156 Rampart Road, Fort Collins, CO 80521. Branch Extramural Activities Contacts: Ms. Diane Hamm - Telephone: (970) 221-6414 and Dr. Paul Mead - Telephone: (970) 221-6474. Division Extramural Activities Contacts: Ms. Lisa Mackey - Telephone: (970) 221-6415 or Dr. Charles (Ben) Beard - Telephone: (970) 221- 6418.

Headquarters Office:

Christine Morrison 1600 Clifton Road, E60, Atlanta, Georgia 30329-4018
Email: CMorrison@cdc.gov Phone: 404-718-8845 Fax: 404-718-8640

Website Address:

<http://www.cdc.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for public health research grants and cooperative agreements are evaluated for scientific and technical merit through the HHS/CDC peer review system. Applications are evaluated by a chartered Special Emphasis Panel in accordance with the Federal Advisory Committee Act, and in accordance with CDC peer review policy and manual. Applications will be evaluated on the review criteria described in the Notice of Funding Opportunity (NOFO). In general, the review and selection process of complete and responsive applications to the NOFO consists of determination of the scientific merit by peer review, availability of funds, and relevance of program priorities and the priorities of DHHS. Refer to the NOFO for additional review criteria.

93.943 EPIDEMIOLOGIC RESEARCH STUDIES OF ACQUIRED IMMUNODEFICIENCY SYNDROME (AIDS) AND HUMAN

IMMUNODEFICIENCY VIRUS (HIV) INFECTION IN SELECTED POPULATION GROUPS

(Epidemiologic Research Studies of AIDS and HIV)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Act, Section 301.

OBJECTIVES:

To support research of important HIV-related epidemiologic issues concerning risks of transmission, the natural history and transmission of the disease in certain populations and development and evaluation of behavioral recommendations for reducing AIDS and HIV infection. Of special interest are programs that examine these research issues as they affect minority populations (defined as one of the four federally-recognized groups: African-Americans; Asian/Pacific Islanders; Latinos/Hispanics; and Native Americans).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance is provided to support cooperative agreements that examine important epidemiologic research issues concerning AIDS and HIV infection. The research should contribute to the health services knowledge base from which empirically based information can be derived by policy makers, both immediately and over the coming decades. Grants include funds for direct costs (such as personnel, travel, equipment, or supplies) necessary to carry out an approved project as well as funds for the reimbursement of applicable indirect costs. Unallowable costs, as well as those for which prior written approval is required, are indicated in the PHS Grants Policy Statement.

Applicant Eligibility:

Eligible applicants include States, political subdivisions of States or their agents or instrumentalities, private research organizations, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, other public and private nonprofit organizations, and for-profit organizations.

Beneficiary Eligibility:

State and local health agencies; private research organizations, public and private nonprofit organizations, for profit organizations; minority groups; including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, and persons physically afflicted with AIDS/HIV infection.

Credentials/Documentation:

Costs will be determined by HHS Regulations 45 CFR Part 74, for nonprofit organizations and in accordance with OMB Circular No. A-87, "Cost Principles for State and Local Governments;". 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Office Grants Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700, Email: OGSTIMS@cdc.gov.

Award Procedure:

After review and approval, a notice of award (NoA) is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal

funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. About 3 - 6 months from receipt of application.

Appeals:

As stated in the Terms and Conditions.

Renewals:

If additional support is desired to continue a research project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from one to four years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: by letter of credit.

Reports:

See FOA for details. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. See notice of funding opportunity (NOFO) for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those cited as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$2,500,000; FY 17 est \$1,950,000; and FY 18 est \$600,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published in the application kit. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Wolfe 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: cow1@cdc.gov Phone: (404) 639-8531

Website Address:

http://www.cdc.gov

RELATED PROGRAMS:

93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed and evaluated based on the evidence submitted which specifically describes the applicant's abilities to meet the following criteria: (1) the plans to develop and implement the study describing how study participants will be identified, enrolled, tested and followed; (2) the ability to enroll and follow an adequate number of eligible study participants to assure proper conduct of the study. This includes both demonstration of the availability of HIV-infected potential study participants and the experience of the investigator in enrolling and following such persons; (3) the applicant's current activities in AIDS and HIV research and how they will be applied to achieving the objectives of the study; letters of support from cooperating organizations that demonstrate that nature and extent of such cooperation should be included; (4) the applicant's understanding of the research study objectives and, their ability, willingness and/or need to collaborate with CDC and researchers from other study sites in study design and analysis, including use of common forms, and sharing of specimens and data; (5) the plan to protect the rights and confidentiality of all participants and ensure adequate participation; (6) the size, qualifications and time allocation of the proposed staff and the availability of facilities to be used during the research study; (7) how the project will be administered to assure the proper management of the daily activities of the program; (8) the proposed schedule for accomplishing the activities of the research; including time frames; and (9) the quality of an evaluation plan which specifies methods and instruments to be used to evaluate the progress made in attaining research objectives.

93.944 HUMAN IMMUNODEFICIENCY VIRUS (HIV)/ACQUIRED IMMUNODEFICIENCY VIRUS SYNDROME (AIDS) SURVEILLANCE (HIV/AIDS Surveillance)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301(a).

OBJECTIVES:

To continue and strengthen effective human immunodeficiency virus (HIV) and acquired immunodeficiency syndrome (AIDS) surveillance programs and to affect, maintain, measure and evaluate the extent of HIV/AIDS incidence and prevalence throughout the United States and its territories, providing information for targeting and implementing HIV prevention activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds may be used for salaries of staff conducting HIV/AIDS surveillance and sero-surveillance activities, travel related to carrying out project activities and participating in national planning and implementation meetings, necessary supplies, computer software and hardware, and laboratory, data collection, and analysis costs. Grant funds are to be added to replace existing resources.

Applicant Eligibility:

The governments, or their agents or instrumentalities, of any of the States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, territories or possessions of United States, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part

within their boundaries, and local governments who are current recipients of HIV/AIDS surveillance cooperative agreements.

Beneficiary Eligibility:

Official health agencies will benefit.

Credentials/Documentation:

. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Office Grants Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700, Email: OGSTIMS@cdc.gov.

Award Procedure:

After review and approval, a notice of award (NoA) is prepared and processed, along with appropriate notification to the public. Initial award provides funds for first budget period (usually 12 months) and NoA (Form 5152-1) indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. About 120 days after application submission.

Appeals:

As stated in the Terms and Conditions.

Renewals:

Renewals may be approved for 1 to 5 years.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

From one to five years. Method of awarding/releasing assistance: by letter of credit.

Reports:

See notice of funding opportunity (NOFO) for details. No cash reports are required. See NOFO for details. No expenditure reports are required. See NOFO for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by

personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of three years after the end of each budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$78,939,621; FY 17 est \$83,833,636; and FY 18 est \$25,782,977 - CDC-RFA PS13-1302 is not included for FY 2018.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994; Administration of Grants, DHHS, 45 CFR 92; Application Instructions and Information and Program Guidance established by CDC for HIV/AIDS cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Wolfe 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: eww1@cdc.gov Phone: (404) 639-8531

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Official health agencies which are current recipients of funds for HIV/AIDS surveillance activities; (2) need and background; and (3) a willingness to comply with applicable CDC sero surveillance protocols.

93.945 ASSISTANCE PROGRAMS FOR CHRONIC DISEASE PREVENTION AND CONTROL

(State Cardiovascular Health Programs (CVH); and Racial and Ethnic Approaches to Community Health (REACH)); State Public Health Approaches to Improving Arthritis Outcomes, State Nutrition, Physical Activity and Obesity Programs.

State Public Health Actions to Prevent and Control Diabetes, Heart Disease Obesity and Associated Risk Factors and Promote School Health.

Using Traditional Foods and Sustainable Ecological Approaches for Health Promotion and Diabetes Prevention in American Indian/Alaska Native Communities.

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act., Title 42, Section 301(a) and 317.

OBJECTIVES:

To work with State health agencies and other public and private nonprofit organizations in planning, developing, integrating, coordinating, or evaluating programs to prevent and control chronic diseases; assist in monitoring the major behavioral risks associated with the 10 leading causes of premature death and disability in the United States including cardiovascular diseases; and,

establish new chronic disease prevention programs like Racial and Ethnic Approaches to Community Health (REACH), State Nutrition, Physical Activity and Obesity Programs (NPAO)

DP08-819/DP13-1317: Purpose - The purpose of the program is to 1) support community use of traditional foods and sustainable ecological approaches for diabetes prevention and health promotion in American Indian and Alaska Native communities; and 2) engage communities in identifying and sharing the stories of healthy traditional ways of eating, being active, and communicating health information and support for diabetes prevention and wellness.

CDC-RFA-DP13-1305, State Public Health Actions to Prevent and Control Diabetes, Heart Disease, Obesity and Associated Risk Factors and Promote School Health, supports statewide implementation of cross-cutting approaches to promote health and prevent and control chronic diseases and their risk factors. Four chronic disease prevention programs (Diabetes; Heart Disease and Stroke Prevention; Nutrition, Physical Activity, and Obesity; and School Health) are included in this FOA. State Health Departments are funded under this FOA to address the following goals:

Short/Intermediate Term Goals:

Improve state, community, worksite, school, and early childhood environments to promote and reinforce healthful behaviors across the lifespan related to diabetes, cardiovascular health, physical activity, healthful foods and beverages, obesity, and breastfeeding;

Improve effective delivery and use of quality clinical and other preventive services aimed at preventing and managing diabetes and hypertension; and Increase community-clinical linkages to support prevention, self-management, and control of diabetes, hypertension, and obesity.

Long Term Goals:

Improved prevention and control of hypertension

Improved prevention and control of diabetes

Improved prevention and control of overweight and obesity

The FOA has two components: 1) A basic non-competitive component to support health promotion, epidemiology, and surveillance activities and targeted strategies that will result in measurable impacts to address school health, nutrition and physical activity risk factors, obesity, diabetes, and heart disease and stroke prevention in all 50 states and the District of Columbia. These efforts will be supported by core public health activities such as partnership engagement, workforce development, guidance and support for programmatic efforts, strategic communication, surveillance and epidemiology, and evaluation; and 2) A competitive enhanced component to build on and extend the activities supported with basic funding to achieve even greater reach and impact. Thirty-two states were funded under the enhanced Component to implement evidence and practice-based interventions to improve physical activity and nutrition, reduce obesity, and prevent and control diabetes, heart disease, and stroke with a focus on high blood pressure. The enhanced component includes implementation of evidence-based strategies that are more extensive and wider-reaching than those implemented in the basic component. States funded for this enhanced component must implement interventions at scale in order to reach large segments of the population in the state (e.g., through school districts, early care and education (ECEs), worksites, and state and local governmental agencies) and in partnership with organizations that may or may not have worked with state departments of health in the past (e.g., large employers, public housing, the education sector, health insurers, and large health systems).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds may be used for costs associated with planning, implementing, and evaluating chronic disease prevention and control programs. Cooperative agreement funds may not be used for direct curative or rehabilitative services.

Applicant Eligibility:

Eligible applicants are the official State and territorial health agencies of the United States, the District of Columbia, tribal organizations, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the

Marshall Islands, the Republic of Palau, and American Samoa. Other public and private nonprofit community based organizations are also eligible (see REACH).

Beneficiary Eligibility:

State health agencies and community based organizations will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, State the objectives of the project, outline the method of operation, describe evaluation procedures, and provide a budget with justification for funds requested. Costs will be determined in accordance with OMB Circular No. A-21 for Educational Institutions, and OMB Circular No. A-122 for nonprofit organizations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92 and 74. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for these programs.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From three to four months.

Appeals:

Not Applicable.

Renewals:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Period: From 3 three to 5 five years. Budget period: Usually 12 months. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports are required on a semi-annual basis. An annual Financial Status Report (FSR) is required. Financial status reports are required no later than 90 days after the end of each specified funding period. Final financial status and progress reports are required 90 days after the end of a project. Cash reports are not applicable. Progress reports are required on a semi-annual basis. An annual Financial Status Report (FSR) is required. Financial status reports are required no later than 90 days after the end of each specified funding period. Final financial status and progress reports are required 90 days after the end of a project. Progress reports are required on a semi-annual basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreement program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0958-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$20,733,249; FY 17 est \$43,831,855; and FY 18 est \$0 - This support non-PPHF funding for DP13-1305.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

There are program regulations under 42 CFR 51b, Project Grants for Preventive Health Services. Guidelines are also available from PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, applies to grants and cooperative agreements.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV.

Headquarters Office:

Nicholas Farrell 1600 Clifton Rd, Atlanta, Georgia 30333 Phone: (770) 488-5269.

Website Address:

<http://www.cdc.gov/nccdphp>.

RELATED PROGRAMS:

93,283 Centers for Disease Control and Prevention Investigations and Technical Assistance; 93,988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on the evaluation criteria as published in the program and/or Federal Register Announcement.

93.946 COOPERATIVE AGREEMENTS TO SUPPORT STATE-BASED SAFE MOTHERHOOD AND INFANT HEALTH INITIATIVE PROGRAMS

Safe Motherhood and Infant Health (Reproductive Health)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act; Safe Motherhood, Section 317K; Prenatal and Postnatal Health, Section 317L, 42 U.S.C 247b-12 and 13.

OBJECTIVES:

To promote optimal and equitable health in women and infants through public health surveillance, research, leadership, and partnership to move science to practice. In carrying out this mission, the Division of Reproductive Health: 1) Enhances the ability of others to identify and address male and female reproductive issues and infant health issues by providing technical assistance,

consultation, and training worldwide; 2) supports national and state-based surveillance systems to monitor trends and investigate health issues; 3) conducts epidemiologic, behavioral, demographic and health services research; and 4) works with partners to translate research findings into health care practice, public health policy, and health promotion strategies.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

PRAMS:

The Pregnancy Risk Assessment Monitoring System, is a surveillance project of the Centers for Disease Control and Prevention (CDC) and state health departments. PRAMS collects state-specific, population-based data on maternal attitudes and experiences before, during, and shortly after pregnancy.

PRAMS provides data for state health officials to use to improve the health of mothers and infants; allows CDC and the states to monitor changes in maternal and child health indicators (e.g., unintended pregnancy, prenatal care, breastfeeding, smoking, drinking, infant health); and enhances information from birth certificates used to plan and review state maternal and infant health programs. The PRAMS sample is chosen from all women who had a live birth recently, so findings can be applied to the state's entire population of women who have recently delivered a live-born infant. PRAMS not only provides state-specific data but also allows comparisons among participating states because the same data collection methods are used in all states.

SID/SUID (Sudden infant Death/Sudden Unexplained Infant Death):

The SUID Case Registry aims to generate public health surveillance information about SUID at the national, state, and local levels that is more detailed than what is currently available. Instead of creating an entirely new system, the SUID Case Registry enhances the National Center for Child Death Review program and their Case Reporting System.

The SUID Case Registry generates public health surveillance information that can comprehensively describe the circumstances and events surrounding SUID cases. This will allow researchers, medicolegal investigators, and program prevention planners to better understand characteristics associated with SUID, evaluate case investigation practices, and ultimately prevent infant deaths.

Validation of Prenatal Substance Use Screening Tools:

The purpose of this research is to validate and compare the performance of the most promising substance abuse screening tools that are already available in the literature (for example, the 4Ps-plus, the 5Ps, the Substance Use Risk Profile Pregnancy Scale (SURP-P), the CRAFFT, the NIDA Quick Screen) and can be used among pregnant women seeking medical services (e.g. antenatal or delivery care) in clinical settings in the United States.

Medicaid Expansion and Reproductive Health Care for Women: The intent of this FOA is to study the use of medical services and health outcomes among low-income women of reproductive age (15-44 years), before and after expansion of Medicaid eligibility. This research will require linkage of state Medicaid data with other key maternal and child health datasets including, but not limited to, birth certificates, death certificates, and hospital discharge data.

Perinatal Quality Collaboratives:

This FOA will support states to enhance the capabilities of state-based perinatal quality collaboratives to improve the quality of perinatal care in their states, including but not limited to: reducing early elective deliveries, increasing use and documentation of use of antenatal steroids, increasing breastfeeding rates, and reducing central line-associated blood stream infections in neonates. Module B will continue to support the work of the 3 states that have successfully expanded their Perinatal Quality Collaborative through an existing FOA, CDC-RFA- DP11-1110, that ends September 29, 2014, and will support expansion of PQC projects and formal mentoring and capacity building for Module A awardees.

Maternal and Child Health Capacity Building:

The program promotes and improves the health and well-being of women, children, and families by building capacity at state, local, and tribal levels and

to use and apply sound epidemiologic research and scientific information to maternal and child health programs and policies through collaborations with partners.

Special Interest Project (SIP)/Progestin contraception and HIV risk: Clinical and laboratory follow-up of a cohort of HIV-infected and uninfected women: The purpose of this SIP is to establish a cohort of HIV-infected and HIV-uninfected women on different types of progestin-containing contraception to assess differences in women's continuation with a given method and the effects of long-term progestin use on markers of HIV risk and efficacy of ART. Also the project will support laboratory studies to analyze the effects of progestin on HIV shedding, inflammatory/immune markers in the genital tract, and the interaction of progestin with antiretroviral therapy on contraceptive and ART efficacy. Objectives in the topic area of Family Planning and HIV Prevention intend to increase the proportion of pregnancies that are intended; increase the proportion of sexually experienced persons who received reproductive health services; reduce pregnancy rates among adolescent females; and reduce the rate of HIV transmission among adolescents and adults.

Teen Pregnancy Prevention:

The program is supporting public and private entities to fund medically accurate and age appropriate evidence-based or innovative program models to reduce teen pregnancy. The purpose of the program is to reduce teen pregnancy by working directly with publicly funded clinics to increase access to and use of reproductive health services among vulnerable youth. Activities include building the capacity of staff and clinical providers, establishing partnerships with youth-serving systems, and developing communication efforts. The primary target population is 15- to 19-year-olds, the age group among whom the majority of teen births occur; however, since the Society of Adolescent Health and Medicine and the United Nations Family Planning Association define adolescents as 15-24, the target age group may be expanded.

Development and Testing of a Clinic-Based Intervention to Increase Dual Protection against Unintended Pregnancy and STDs among High Risk Female Teens: The purpose of this funding opportunity is to develop and evaluate a clinic-based intervention addressing access to, motivation for, and adherence to dual protection strategies that concurrently protect against unintended pregnancies and sexually transmitted diseases (STDs), including human immunodeficiency virus (HIV) among high risk 15-19 year old females.

Applicant Eligibility:

Official State and Territorial public health agencies. City of New York public health agency and District of Columbia. Applications may be submitted by public and private nonprofit and for-profit organizations and by governments and their agencies; that is, universities, colleges, research institutions, hospitals, other public and private nonprofit and for-profit organizations, State and local governments or their bona fide agents, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau, federally recognized Indian tribal governments, Indian tribes, or Indian tribal organizations, and small, minority, women-owned businesses.

Beneficiary Eligibility:

Official State and Territorial public health agencies. City of New York public health agency and District of Columbia. Applications may be submitted by public and private nonprofit and for-profit organizations and by governments and their agencies; that is, universities, colleges, research institutions, hospitals, other public and private nonprofit and for-profit organizations, State and local governments or their bona fide agents, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau, federally recognized Indian tribal governments, Indian tribes, or Indian tribal organizations, and small, minority, women-owned businesses.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe evaluation procedures, and provide a budget with justification for funds requested. 2 CFR 200, Subpart E -

Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Rd, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92. The standard application forms, as furnished by CDC and required by 45 CFR 92 for State and local governments, must be used for this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Information on renewals may be obtained from the Grants Management Officer, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92. The standard application forms, as furnished by CDC and required by 45 CFR 92 for State and local governments, must be used for this program.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Period: up to five years. Budget Period: All programs: For 12 months. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports are required annually. Cash reports are not applicable. Progress reports are required annually. Annual financial reports are required at the end of each budget period. A final financial status report is due 90 days after the project period ends. Progress reports are required annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreements program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0948-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$15,747,560; FY 17 est \$14,523,654; and FY 18 est \$14,523,654

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0948.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, applies to cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Carla Collazo 4770 Buford Highway, Atlanta, Georgia 30341 Email: cwc8@cdc.gov Phone: (770) 488-6245

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All programs: (1) The extent to which the application describes and presents appropriate data indicating an understanding of the problem, the program for which assistance is requested, and the purpose of the cooperative agreement; (2) the degree to which the workplan addresses the stated needs, is likely to achieve the purposes of the cooperative agreement, and describes the specific roles and responsibilities of participating personnel; (3) the degree to which the application provides a complete and achievable timetable of appropriate events; (4) the adequacy of the plan to monitor progress toward the stated objectives; (5) the extent to which the budget is reasonable, consistent with the problems identified and the scope of the program proposed to address these problems, and the intended use of cooperative agreement funds, and clearly reflects the applicant's intent to commit nonfederal resources to support the operational costs of these programs. PRAMS only: The degree to which the application describes the process for registering births in the State.

93.947 TUBERCULOSIS DEMONSTRATION, RESEARCH, PUBLIC AND PROFESSIONAL EDUCATION

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Sections 317(k)(2) and 317E of the Public Health Service Act (42 U.S.C. Sections 247b-6), as amended.

OBJECTIVES:

To assist States, political subdivisions of States, and other public and nonprofit private entities in conducting research into prevention and control of tuberculosis nationally and internationally, especially in conducting demonstration projects for the prevention and control of tuberculosis; in providing public information and education programs for prevention and control of tuberculosis; and in developing education, training, and clinical skills improvement activities in the prevention and control of tuberculosis for health professionals, including allied health personnel.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Requests for direct assistance (i.e., "in lieu of cash") for personnel, equipment,

and supplies directly related to project activities, particularly directly observed therapy, patient outreach, and program assessment will be considered. Project funds may not be used to supplant State or local funds available for tuberculosis control or to support construction costs or inpatient care.

Applicant Eligibility:

States, political subdivisions of States, and other public and nonprofit private entities.

Beneficiary Eligibility:

States, political subdivisions of States, other public and nonprofit private entities, serving persons with TB infection and disease.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. For nonprofit recipients, costs will be determined in accordance with DHHS Regulations 45 CFR Part 74 Subpart Q. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Office of Grants Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700, Email: OGSTIMS@cdc.gov. This program is subject to the requirements described in 45 CFR 92. In addition, some funding opportunities may require application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm.

Award Procedure:

After officials review and approve applications, a notice of award (NoA) is prepared and processed, and appropriate notification is made to the public. Initial award provides funds for the first budget period (12 months) and NoA indicates support provided in the initial award and the recommended funding amounts for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 120 to 180 days.

Renewals:

From 60 to 90 days. Project periods are for 3 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Office Grants Services, Centers for Disease Control and Prevention.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Although there are no statutory formula or matching requirements, applicants must assume part of the project cost and fiscal information must be provided in the narrative portion of the application

pursuant to provisions of Section 317(e).
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

From three to five years. Budget Period: For 12 months. Method of awarding/releasing assistance: lump sum.

Reports:

See notice of funding opportunity (NOFO) for details. CDC programs must require awardees to submit performance measures annually as a minimum, and may require reporting more frequently. Performance measure reporting must be limited to data collection. When funding is awarded initially, CDC programs must specify required reporting frequency, data fields, and format. No cash reports are required. The Annual Performance Report is due no later than 120 days prior to the end of the budget period. The Annual Performance Report serves as the continuing application. This report should include the information specified in the notice of funding opportunity (NOFO). The Annual Federal Financial Report are required 90 days after the end of each budget period. Final Financial Reports are required 90 days after the end of a project period. Annual financial status reports are required no later than 90 days after the end of each budget period. Final financial status reports are required 90 days after the end of a project period. CDC programs must require awardees to submit performance measures annually as a minimum, and may require reporting more frequently. Awardees will meet this annual requirement to report on performance measures with the submission of an Annual Performance Report. However, CDC may request an additional report, the Performance Measure Report, in certain instances such as a jurisdictions response to a large TB outbreak.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for at least 3 years or until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$5,713,667; FY 17 est \$5,303,518; and FY 18 est \$5,303,518

Range and Average of Financial Assistance:

\$100,000 to \$1,000,000 with an average of \$120,000.

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Objectives have been met. Funding was awarded to administer and promote programs for prevention, control, and elimination of tuberculosis (TB) to include the following: improve the quality, efficiency and effectiveness of tuberculosis prevention and control programs; provide technical assistance and conduct training programs to National TB Control Programs, non-governmental organizations, and other interested partners to strengthen TB treatment and control efforts worldwide and to assist domestic organizations in maintaining effective communication capacity among the nation's TB control officials and TB nursing professionals. Fiscal Year 2017: It is estimated that support will continue as provided in fiscal year 2016. Fiscal Year 2018: It is estimated that support will continue as provided in fiscal year 2017.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 51b. Guidelines are available in the application kit. PHS Grants Policy Statement

No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Kathryn Koski 1600 Clifton Road, MS E-10, Atlanta, Georgia 30333 Email: kak4@cdc.gov Phone: 404-639-5259 Fax: 404-639-8604

Website Address:

<http://www.cdc.gov/tb>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.991 Preventive Health and Health Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC. Incomplete applications that do not meet the eligibility criteria will not advance. Applicants will be notified that their applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the Criteria section of the notice of funding opportunity (NOFO).

93.958 BLOCK GRANTS FOR COMMUNITY MENTAL HEALTH SERVICES

Mental Health Block Grant (MHBG)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Subpart I and III, Title XIX, Part B.

OBJECTIVES:

To provide financial assistance to States and Territories to enable them to carry out the State's plan for providing comprehensive community mental health services to adults with a serious mental illness and to children with a serious emotional disturbance; monitor the progress in implementing a comprehensive community based mental health system; provide technical assistance to States and the Mental Health Planning Council that will assist the States in planning and implementing a comprehensive community based mental health system.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used at the discretion of the State to achieve the described objectives except for certain requirements. State plans must meet prescribed criteria. Services under the plan will be provided only through appropriate, qualified community programs (which may include community mental health centers, child mental-health programs, psychosocial rehabilitation programs, mental health peer-support programs and mental-health primary consumer-directed programs). Services under the plan will be provided through community mental health centers only if the centers meet prescribed criteria.

Up to 5 percent of grant funds may be used for administering the funds. Funds may not be used to provide inpatient services; to make cash payments to intended recipients of health services; to purchase or improve land, purchase, construct, or permanently improve (other than minor remodeling) any building or other facility, or purchase major medical equipment; to satisfy any requirement for the expenditure of nonfederal funds as a condition for the receipt of Federal funds; or to provide financial assistance to any entity other than a public or nonprofit private entity.

States are required to provide systems of integrated services for children with SED. Each year the State shall expend not less than the amount expended in FY 1994. States are also required to set aside 10 percent of their allocation for implementing programs showing strong evidence of effectiveness that targets

individuals with a first episode psychosis.

Other statutory requirements also apply.

Applicant Eligibility:

State and U.S. Territory Governments.

Beneficiary Eligibility:

Recipients of State and U.S. Territory Governments.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Portions of 2 CFR 200/45 CFR Part 75 applies to this program. Chief Executive Officer of the State or Territory must apply annually for an allotment. States are required to submit an application which contains a State Plan that describes comprehensive community mental health services for adults with a serious mental illness and children with a serious emotional disturbance; an Implementation Report that describes State progress in implementing the plan for the preceding year; recommendations from the State Mental Health Planning Council; a report on expenditure of the preceding fiscal year's block grant funds; a maintenance of effort report and agreements signed by the Chief Executive Officer of the State.

Award Procedure:

Grant Awards are issued directly by The Center for Mental Health Services, Substance Abuse and Mental Health Services Administration (SAMHSA) to the designated State agency.

Deadlines:

Sep 01, 2016 The application is due no later than September 1 of the fiscal year for which MHBG funding is being requested. The implementation report is due by December 1.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title XIX, Part B, Subpart I and III, Public Law 106-310. This program has no matching requirements. This program has MOE requirements, see funding agency for further details. Under 42 USC, 300x-4(b), States are required to maintain aggregate State expenditures for authorized activities at a level that is not less than the average level of such expenditures maintained by the State for the 2-year period preceding the fiscal year for which the State is applying for the grant.

Length and Time Phasing of Assistance:

Each allotment is available for obligation and expenditure during the fiscal year in which it was allotted through the end of the subsequent fiscal year. Method of awarding/releasing assistance: quarterly.

Reports:

As part of the application, each State must submit an Implementation Report that describes the State's progress in implementing the State's Comprehensive Community Mental Health Services Plan for the preceding fiscal year and a report of the purposes for which the grant received for the preceding fiscal year were expended, including a description of the activities of the State under the program and the recipients of the funds. No cash reports are required. No progress reports are required. Federal Financial Report SF-425 is due 90 days after the end of the project period. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Each State must maintain records which are consistent with their State laws and requirements.

Account Identification:

75-1363-0-1-551.

Obligations:

(Formula Grants) FY 16 \$504,664,421; FY 17 est \$533,094,735; and FY 18 est \$533,094,735

Range and Average of Financial Assistance:

\$50,000 to \$69,180,482; Avg. \$9,035,503.

TAFS Codes:

75-1362.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 59 awards were made. Fiscal Year 2017: 59 awards will be made. Fiscal Year 2018: It is estimated 59 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 96; also portions of 2 CFR Part 200/45 CFR Part 75.

Regional or Local Office:

None.

Headquarters Office:

Odessa Crocker 5600 Fishers Lane, Rockville, Maryland 20857 Email: odessa.crocker@samhsa.hhs.gov Phone: 240-276-1078

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must fulfill statutory and regulatory requirements. For information regarding statutory and regulatory requirements, contact Cyntice Bellamy, Division of State & Community Systems Development, Center for Mental Health Services, SAMHSA, HHS, 5600 Fishers Lane, Rockville, MD 20850. Telephone: (240) 276-1100.

93.959 BLOCK GRANTS FOR PREVENTION AND TREATMENT OF SUBSTANCE ABUSE

Substance Abuse Block Grant (SABG)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Subpart II and III, Title XIX, Part B.

OBJECTIVES:

To provide financial assistance to States and Territories to support projects for the development and implementation of prevention, treatment and rehabilitation activities directed to the diseases of alcohol and drug abuse.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds may be used at the discretion of the States to achieve the statutory objectives, including the fulfillment of certain requirements. Not less than 20 percent of the funds shall be spent for programs for individuals who do not require treatment for substance abuse, but to educate and counsel such

individuals and to provide for activities to reduce the risk of such abuse by the individuals by developing community-based strategies for prevention of such abuse, including the use of alcoholic beverages and tobacco products by individuals to whom it is unlawful to sell or distribute such beverages or products. States shall expend not less than 5 percent of the grant to increase (relative to fiscal year 1994) the availability of treatment services designed for pregnant women and women with dependent children (either by establishing new programs or expanding the capacity of existing programs). In addition, a State that has a rate of acquired immune deficiency syndrome in excess of the rate stipulated at 42 USC 300A-24(b) must expend the portion of its block grant specified by 300A-24(b) for HIV early intervention services as defined by the PHS Act. States must require programs of treatment for intravenous drug abuse to admit individuals into treatment within 14 days after making such a request or 120 days of such a request, if interim services are made available within 48 hours. States will provide, directly or through arrangements with other public or nonprofit entities, tuberculosis services such as counseling, testing, treatment, and early intervention services for substance abusers at risk for the human immunodeficiency virus (HIV) disease. Other statutory requirements also apply. Up to 5 percent of grant funds may be used for administering the grant.

Applicant Eligibility:

State and U.S. Territory Governments; or Tribal Organizations. NOTE: Only the Red Lake Band of Chippewa Indians is eligible for direct award of Block Grants for Prevention and Treatment of Substance Abuse Funds, per the PHS Act.

Beneficiary Eligibility:

Recipients of State and U.S. Territory Governments; or Tribal Organizations. NOTE: Only the Red Lake Band of Chippewa Indians is eligible for direct award of Block Grants for Prevention and Treatment of Substance Abuse Funds, per the PHS Act.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Portions of 2 CFR 200/45 CFR Part 75 applies to this program. The Chief Executive Officer of the State, Territory, or Tribal Organization (limited to the Red Lake Band of the Chippewa) must apply annually for an allotment.

Award Procedure:

Grant awards are issued directly by the Center for Substance Abuse Treatment, Substance Abuse and Mental Health Services Administration (SAMHSA) to the designated State Agency, Territory, or Tribal organization (limited to the Red Lake Band of the Chippewa).

Deadlines:

Oct 01, 2016 The application is due no later than October 1 of the fiscal year for which SABG funding is being requested.

Range of Approval/Disapproval Time:

From 30 to 60 days. Synar Report is due by December 31, 2016.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title XIX, Part B, Subpart II and III, Public Law 106-310. This program has no matching requirements.

This program has MOE requirements, see funding agency for further details. Under 42 USC 300x-30, States expenditure for authorized activities at a level that is not less than the average level of such expenditures maintained by the State for the 2-year period preceding the fiscal year for which the State is

applying for the grant.

Length and Time Phasing of Assistance:

Each allotment is available for obligation and expenditure during the fiscal year it was allotted through the end of the subsequent fiscal year for which the State is applying for the grant. Method of awarding/releasing assistance: quarterly.

Reports:

Synar report is due on December 31. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report SF-425 is due 90 days after the end of the budget period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Each State must maintain records which are consistent with their State laws and requirements.

Account Identification:

75-1364-0-1-551.

Obligations:

(Formula Grants) FY 16 \$1,759,749,115; FY 17 est \$1,759,816,360; and FY 18 est \$1,759,816,360

Range and Average of Financial Assistance:

\$133,476 to \$254,417,734; Avg. \$29,330,272.

TAFS Codes:

75-1362.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 60 awards were made. Fiscal Year 2017: 60 awards will be made. Fiscal Year 2018: It is estimated 60 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 96; also portions of the 2 CFR Part 200/45 CFR Part 75

Regional or Local Office:

None.

Headquarters Office:

Odessa Crocker 5600 Fishers Lane, Rockville, Maryland 20857 Email: odessa.crocker@samhsa.hhs.gov Phone: 240-276-1078

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must fulfill statutory and Federal regulations and regulatory requirements. For information on statutory and Federal regulations requirements contact John J. Campbell, Division of State and Community Assistance, Center for Substance Abuse Treatment, SAMHSA, 5600 Fisher Lane, Rockville, MD 20857. Telephone: (240-) 276-2891 or email: john.campbell@samhsa.hhs.gov.

93.961 ONE-TIME FUNDING IN SUPPORT OF THE VERMONT ALL-PAYER ACO MODEL

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, section 1115A.

OBJECTIVES:

The purpose of this single source funding opportunity for the One-Time Funding in Support of Vermonts All-Payer ACO Model (Model) cooperative agreement is to provide Vermont with the start-up costs of the Model to assist Vermont in accomplishing the health outcomes, financial, and ACO scale targets required of Vermont under the Model. A single-source award to the Agency for Human Services will enable CMS to expeditiously provide assistance to Vermont for the following specific activities: connect Medicare Fee-for-Service beneficiaries with community-based resources, coordinate transitions across care settings with appropriate involvement of the Medicare Fee-for-Service beneficiaries primary care provider, coordinate care across providers, support health promotion and self-management by Medicare Fee-for-Service beneficiaries, and support practice improvement and transformation. These activities are necessary for Vermont to achieve the goals of the Model. We note that the other components of the Model (e.g., Vermont-specific Medicare ACO initiative and statewide health outcomes, financial, and ACO scale targets) are governed by a separate State Agreement into which Vermont and CMS have entered.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

- * Connect patients with community-based resources. Examples include the following.
 - * Develop and maintain up-to-date local information about formal and informal resources beyond those covered by Medicare, including peer and community-based programs.
 - * Assist and support access to community resources based on individual patient needs and goals. Community resources include transportation services for patients with restricted mobility, nutritional counseling and support, housing subsidies, and food assistance.
 - * Coordinate transitions across care settings with appropriate involvement of the patients primary care provider. Examples include the below.
 - * Develop and maintain collaborative relationships between providers such as hospital emergency departments, hospital discharge departments, and primary care providers.
 - * Reconcile medication.
 - * Plan follow-up with primary care provider and other necessary providers.
 - * Review post-discharge care management plan with patient.
 - * Coordinate care across providers. Examples include the following.
 - * Schedule appointments and perform outreach to support attendance at scheduled treatment and human services appointments.
 - * Monitor treatment progress, implementation of the care management plan, and medication adherence.
 - * Coordinate with other providers to monitor individuals health status and participation in treatment.
 - * Support health promotion and self-management by patients. Examples include the following.
 - * Provide health education specific to a patients chronic conditions
 - * Identify health and life goals and develop of self-management plans with the patient.
 - * Provide health promoting lifestyle interventions including but not limited to nutritional counseling, obesity reduction, and increasing physical activity.
 - * Teach patients to use their durable medical equipment.
 - * Review medications.
 - * Ensure patients are following self-management plans.
 - * Support practice improvement and transformation. Examples include the below.
 - * Meet nationally recognized patient medical home standards.
 - * Respond to data to reduce variation and improve care
- Collaborate with community-based care coordinators to identify and link community-based services for high risk patients. * Not pay for any community services (e.g., housing, food, violence intervention programs, and transportation)
- * Not provide individuals with services that are already funded through any other source, including but not limited to Medicare, Medicaid, and CHIP.
 - * Not match any federal funds
 - * Not provide services, equipment, or supports that are the legal responsibility of another party under Federal, State, or Tribal law (e.g., vocational rehabilitation or education services) or under any civil rights laws. Such legal

responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.

- * To cover the costs to provide direct health care services to individuals. To match any other Federal funds.
- * To provide services, equipment, or supports that are the legal responsibility of another party under Federal or State law (e.g., vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.
- * To supplant existing State, local, or private funding of infrastructure or services, such as staff salaries, etc.
- * To be used by local entities to satisfy State matching requirements.
- * Award dollars cannot be used for specific components, devices, equipment, or personnel that are not integrated into the entire service delivery model proposal.
- * To provide goods or services not allocable to the approved project.
- * To be used by local entities to satisfy state matching requirements.
- * To pay for construction.
- * To pay for capital expenditures for improvements to land, buildings, or equipment which materially increase their value or useful life as a direct cost, except with the prior written approval of the Federal awarding agency.
- * To pay for the cost of independent research and development, including their proportionate share of indirect costs (unallowable in accordance with 45 CFR 75.476).
- * To use as profit to any award recipient even if the award recipient is a commercial organization, (unallowable in accordance with 45 CFR 75.216(b)), except for grants awarded under the Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) programs (15 U.S.C. 638). Profit is any amount in excess of allowable direct and indirect costs.
- * To expend funds related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before the Congress or any state government, state legislature or local legislature or legislative body.

Applicant Eligibility:

This single source funding opportunity provides Vermont with the necessary start-costs of the Model and is open to Vermonts Agency for Human Services (Agency). The Agency is uniquely positioned to meet the objectives of this funding opportunity based on its existing knowledge of the Model, its regulatory authority over healthcare in Vermont and its role in administering the Vermont All-payer ACO Model, its existing partnerships and collaborations with Vermont providers, and its resources and ability to deploy the funding immediately.

Knowledge of the Model: the Agency is intimately familiar with the objectives of the Model. The Agency was a key member of the discussions between Vermont and CMS during the development of the Model; the Agency's Secretary and staff dedicated significant time, energy, and resources over the past year in partnering with CMS to establish the Models financial, health outcomes, and ACO scale targets. This existing familiarity and knowledge of the Model will help the Agency to expediently deploy the upfront investment in the Model offered under this funding opportunity announcement.

Authority and role in administering the Model: The Agency is responsible for ensuring that changes in Vermonts health system improve the conditions and well-being of Vermonters. The Agency has broad Vermont healthcare authority to improve the health of Vermonters and control the rate of growth in healthcare costs. Additionally, the Agency is a signatory to the Vermont All-payer ACO Models State Agreement and will be supporting the State in achieving its obligations under the State Agreement. The Agency can effectively complement this funding opportunity with its existing authority and role in administering the Model to ensure the funding is effectively used in achieving the Models goals.

Existing partnership and collaboration: The Agency is located in Vermont and has existing relationships and a history of collaboration with Vermont providers and community-based resources that would benefit from this funding opportunity. The Agency has also been working with these stakeholders for their input through the development of the Model.

Resources and ability to implement immediately: The Agency has the staff to implement this award immediately to support providers engaging in care coordination and linking beneficiaries to community-based resources.

Beneficiary Eligibility:

CMS is committed to achieving better care for individuals, better health for populations, and reduced expenditures for Medicare, Medicaid, and CHIP. Through the Innovation Center, CMS strives towards these goals by testing innovative payment and service delivery models. CMS believes that states can be critical partners of the federal government and other health care payers to facilitate the design, implementation, and evaluation of community-centered health systems that can deliver significantly improved cost, quality, and population health performance results for all state residents, including Medicare, Medicaid, and CHIP beneficiaries. States have policy and regulatory authorities, as well as ongoing relationships with commercial healthcare payers, health plans, and providers that can accelerate delivery system reform.

CMS has previously partnered with states to accelerate delivery system reform through initiatives such as the State Innovations Model (SIM). SIM provides state-based healthcare transformation efforts with funding to test the ability of states to utilize policy and regulatory levers to accelerate multi-payer health care transformation. Selected states have been working with state-based payers, including Medicaid and commercial payers, and providers to design and implement care delivery and payment reform. States participating in SIM were selected through two rounds of public Funding Opportunity Announcements released on August 23, 2012 (Round 1) and May 22, 2014 (Round 2).

Additionally, CMS has released guidance to SIM state participants in which CMS indicated that in certain instances it will consider state proposals for Medicare alignment with state multi-payer payment and care delivery models. According to that guidance, CMS indicated that CMS would assess such proposals with consideration of the following principles: 1) patient-centered, 2) accountable for total cost of care, 3) transformative, 4) broad-based, 5) feasible to implement, and 6) feasible to evaluate. Vermont was one state that approached CMS with a desire for Medicare alignment with the states payment and care delivery model, and Vermont publicly released its proposal on January 25, 2016. CMS reviewed Vermonts proposal and determined that it met the requirements necessary to explore in detail a potential Vermont-specific model.

In October 2016, CMS and the State of Vermont entered into a State Agreement on the Vermont All-payer ACO Model. Under the Model, CMS will test whether health and care delivery for Vermont residents improve and healthcare expenditures for beneficiaries across payers (including Medicare fee-for-service, Vermont Medicaid, Vermont Commercial Plans, and Vermont Self-insured Plans) decrease if a) the aforementioned payers offer Vermont ACOs risk-based arrangements tied to health outcomes and healthcare expenditures; b) the majority of Vermont providers and/or suppliers enter into such risk-based arrangements; and c) the majority of Vermont residents across payers are aligned to an ACO bound by such arrangements. Start-up funding through a Cooperative Agreement will assist Vermont in achieving the above goals of the Model.

As part of the Model, Vermont providers will participate in a Vermont-specific Medicare ACO initiative (the Vermont Medicare ACO Initiative), and CMS will provide \$9.5M in start-up costs to assist Vermont providers with care coordination and bolster their collaboration with community-based resources. In return, Vermont commits to achieving statewide health outcomes, financial, and ACO scale (percentage of Vermont residents aligned to an ACO) targets both for Medicare and across all significant healthcare payers.

CMS believes that care coordination and collaboration with community-based resources will be necessary in order to achieve the financial, health outcomes, and ACO scale targets required under the Model. The start-up costs of the Model that CMS is providing to Vermont through a Cooperative Agreement will support such care coordination and collaboration with community-based resources, and will better enable Vermont to achieve the Models financial, health outcomes, and ACO scale targets.

Credentials/Documentation:

Employer Identification Number: All applicants must have a valid Employer

Identification Number (EIN) assigned by the Internal Revenue Service.

Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS number): All applicants must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number in order to apply. The DUNS number is a nine-digit identification number that uniquely identifies business entities. Obtaining a DUNS number is free. To obtain a DUNS number, access the following website: www.dunandbradstreet.com or call 1-866-705-5711. See Section IV, Application and Submission Information, for more information on obtaining a DUNS number.

System for Award Management (SAM)

All applicants must register in the System for Award Management (SAM)* database (<https://www.sam.gov/portal/public/SAM/>) in order to be able to submit an application at <http://www.grants.gov>. In order to register, applicants must provide their DUNS and EIN numbers. Each year, organizations and entities, registered to apply for Federal grants through Grants.gov must renew their registration with SAM. Each year organizations and entities must renew their registration with SAM. Failure to renew SAM registration prior to application submission will prevent an applicant from successfully applying via Grants.gov. Similarly, failure to maintain an active SAM registration during the application review process can prevent CMS from issuing your agency an award under this program. Applicants should begin the SAM registration process as soon as possible after the announcement is posted to ensure that it does not impair your ability to meet required submission deadlines. Applicants must successfully register with SAM prior to submitting an application or registering in the Federal Funding Accountability and Transparency Act Sub-award Reporting System (FSRS) as a prime awardee user; awardees may make sub-awards only to entities that have DUNS numbers.

Organizations must report executive compensation as part of the registration profile at <https://www.sam.gov/portal/public/SAM/> by the end of the month following the month in which this award is made, and annually thereafter (based on the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109-282), as amended by Section 6202 of Public Law 110-252 and implemented by 2 CFR Part 170). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application Materials;

Application materials will be available for download at <http://www.grants.gov>. Please note that HHS requires applications for all announcements to be submitted electronically through <http://www.grants.gov>. For assistance with <http://www.grants.gov>, contact support@grants.gov or 1-800-518-4726. At Grants.gov, applicants will be able to download a copy of the application packet, complete it off-line, and then upload and submit the application via the Grants.gov website.

Specific instructions for applications submitted via <http://www.grants.gov>:

* You may access the electronic application for this project at <http://www.grants.gov>. You must search the downloadable application page by the CFDA number.

* At the <http://www.grants.gov> website, you will find information about submitting an application electronically through the site, including the hours of operation. HHS strongly recommends that you do not wait until the application due date to begin the application process through <http://www.grants.gov> because of the time needed to complete the required registration steps.

* The applicant must be registered in the System for Award Management (SAM) database in order to be able to submit the application. Applicants are encouraged to register early, and must have their DUNS and EIN/TIN numbers in order to do so.

* Authorized Organizational Representative: The Authorized Organizational Representative (AOR) who will officially submit an application on behalf of the organization must register with grants.gov for a username and password. AORs must complete a profile with Grants.gov using their organizations DUNS Number to obtain their username and password at http://grants.gov/applicants/get_registered.jsp. AORs must wait one business day after successful registration in SAM before entering their profiles in Grants.gov. Applicants should complete this process as soon as possible after successful registration in SAM to ensure this step is completed in time to apply before application deadlines.

* When an AOR registers with Grants.gov to submit applications on behalf of an organization, that organizations E-Biz POC will receive an email notification. The email address provided in the profile will be the email used to send the notification from Grants.gov to the E-Biz POC with the AOR copied on the correspondence.

* The E-Biz POC must then login to Grants.gov (using the organizations DUNS number for the username and the special password called M-PIN) and approve the AOR, thereby providing permission to submit applications.

* Any files uploaded or attached to the Grants.gov application must be PDF file format and must contain a valid file format extension in the filename. Even though Grants.gov allows applicants to attach any file formats as part of their application, CMS restricts this practice and only accepts PDF file formats. Any file submitted as part of the Grants.gov application that is not in a PDF file format, or contains password protection, will not be accepted for processing and will be excluded from the application during the review process. In addition, the use of compressed file formats such as ZIP, RAR, or Adobe Portfolio will not be accepted. The application must be submitted in a file format that can easily be copied and read by reviewers. It is recommended that scanned copies not be submitted through Grants.gov unless the applicant confirms the clarity of the documents. Pages cannot be reduced in size, resulting in multiple pages on a single sheet, to avoid exceeding the page limitation. All documents that do not conform to the above specifications will be excluded from the application materials during the review process.

* After you electronically submit your application, you will receive an acknowledgment from Grants.gov that contains a Grants.gov tracking number. CMS will retrieve your application package from Grants.gov. Please note that applicants may incur a time delay before they receive acknowledgment that the application has been accepted by the Grants.gov system. Applicants should not wait until the application deadline to apply because notification by Grants.gov that the application is incomplete may not be received until close to or after the application deadline, eliminating the opportunity to correct errors and resubmit the application. Applications submitted after the deadline, as a result of errors on the part of the applicant, will not be accepted.

* After CMS retrieves your application package from Grants.gov, a return receipt will be emailed to the applicant contact. This will be in addition to the validation number provided by Grants.gov.

Applications cannot be accepted through any email address. Full applications can only be accepted through <http://www.grants.gov>. Full applications cannot be received via paper mail, courier, or delivery service.

All grant applications must be submitted electronically and be received through <http://www.grants.gov> by 3:00 pm Eastern Daylight Time on the applicable due date. Applications not successfully submitted to Grants.gov by the due date and time will not be eligible for review. All applications will receive an automatic time stamp upon submission and applicants will receive an email reply acknowledging the applications receipt.

Please be aware of the following:

1) Search for the application package in Grants.gov by entering the CFDA number. This number is shown on the cover page of this announcement.

2) If you experience technical challenges while submitting your application electronically, please contact Grants.gov Support directly at: www.grants.gov/customersupport or (800) 518-4726. Customer Support is available to address questions 24 hours a day, 7 days a week (except on Federal holidays).

3) Upon contacting Grants.gov, obtain a tracking number as proof of contact. The tracking number is helpful if there are technical issues that cannot be resolved.

To be considered timely, applications must be received by the published deadline date. However, a general extension of a published application deadline that affects all State applicants or only those in a defined geographical area may be authorized by circumstances that affect the public at large, such as natural disasters (e.g., floods or hurricanes) or disruptions of electronic (e.g., application receipt services) or other services, such as a prolonged blackout. This statement does not apply to an individual entity having Internet service problems. In order for there to be any consideration there must be an effect on the public at large.

Grants.gov complies with Section 508 of the Rehabilitation Act of 1973. If an individual uses assistive technology and is unable to access any material on the site including forms contained with an application package, they can email the Grants.gov contact center at support@grants.gov or call 1-800-518-4726.

Form of Application Submission

The application must include all contents described below, in the order indicated, and conform to the following specifications:

- * Use 8.5 x 11 letter-size pages (one side only) with 1 margins (top, bottom, and sides). Other paper sizes will not be accepted. This is particularly important because it is often not possible to reproduce copies in a size other than 8.5 x 11.
- * All pages of the project and budget narratives must be paginated in a single sequence.
- * Font size must be at least 12-point with an average of 14 characters per inch (CPI).
- * The Project Narrative must be double-spaced.
- * The Budget Narrative may be single-spaced and should follow the justifications and table formats provided in Appendix A, Guidance for Preparing a Budget Request and Narrative in Response to SF 424A.
- * Tables included within any portion of the application should have a font size of at least 12-point with a 14 CPI and may be single spaced.
- * The project abstract is restricted to a one-page summary which may be single-spaced.
- * The application may not exceed 45 pages. Although tables are counted towards the page limitation, the additional required documentation, including Standard Forms and Project Abstract is excluded from the page limitation

The following standard forms are found in the Grants Application Package at www.grantsolutions.gov and must be completed with an electronic signature and submitted as part of the proposal:

- * Project abstract summary
- * SF-424: Official Application for Federal Assistance (see note below)
- * SF-424A: Budget Information Non-Construction
- * SF-424B: Assurances-Non-Construction Programs
- * SF-LLL: Disclosure of Lobbying Activities
- * Project Site Location Form(s)

Award Procedure:

Application will be forwarded to a review panel. The review panel will evaluate the proposal based on how well applicant addresses the evaluation criteria outlined in the FOA. Based on the advice of the review panel, the CMS selection official will approve the selected application and issue a Notice of Award. Successful applicant will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that will set forth the amount of the award and other pertinent information. The award will also include standard

Terms and Conditions. Applicant should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified by the review panel.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Pending OMB approval of the Funding Opportunity Announcement (FOA), applications are due November 30, 2016.

Anticipated Notice of Award date: January 30, 2017.

Appeals:

CMS reserves the right to approve or deny any or all proposals for funding. Note that section 1115A of the Social Security Act, which creates the Center for Medicare and Medicaid Innovation (CMMI). Section 1115A(d)(2) states that there is no administrative or judicial review of the selection of organizations, sites, or participants to test models.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance will be 12 months from the date of award with one 12 month budget period. See the following for information on how assistance is awarded/released: The period of performance will be 12 months from the date of award with one 12 month budget period.

Reports:

The Grantee must submit quarterly progress reports as well as a final progress report. CMS will provide a reporting template for all progress reports. Each quarterly progress report must include the information outlined below. A separate reporting template will be provided by CMS for the final progress report. The purpose of the final progress report is to summarize the grantees progress throughout the entire project period to include overarching lessons learned, unanticipated challenges or successes, etc. All reports must be submitted to www.grantsolutions.gov.

The minimum program progress narrative report elements are as follows:

- i. Program Name;
- ii. Project Director Name;
- iii. Reporting Period;
- iv. Data and Reporting Requirements (refer to Section I.6.b Data and Reporting Requirements for the required data elements);
- v. Additional Metrics Proposed by Applicant (if applicable);
- vi. Budget Status - include amounts for planned expenditure, actual expenditure, and deficit/surplus;
- vii. Project description - short summary;
- viii. Progress on Goals - Status on goals that were previously projected to be completed in this reporting period, including explanation of and remediation plans for any goals not met;
- ix. Accomplishments - Specific tasks that were accomplished during this reporting period;
- x. Projected Goals - Goals projected to be completed during the next reporting period; and
- xi. Issues - Issues that must be addressed for the project to be successful.

Quarterly progress reports are due 30 days after the end of the quarterly period. The final progress report is due 90 days after the end of the project period. The Grantee must also agree to cooperate with any federal evaluation of the Model. The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. Recipient must utilize the FFR to report cash transaction data, expenditures, and any

program income generated (if applicable for the program). As detailed above, award recipient must agree to cooperate with any federal evaluation of the model and performance results and provide required quarterly and final reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will include how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project or model reporting and technical assistance necessary to complete required report forms. Successful applicant must also agree to respond to requests that are necessary for the evaluation of the Model Design, pre-testing assistance, or Model Testing efforts and provide data on key elements of model performance and on results from the cooperative agreement activities. As detailed above. Successful applicants must submit reports to Federal Funding Report and meet Payment Management System reporting requirements. Vermont will submit to CMS quarterly reports on the statewide financial targets and annual reports on the statewide health outcomes and ACO scale targets as stipulated outside of this cooperative agreement but in the Models State Agreement. CMS will continuously monitor Vermonts performance on the statewide financial, health outcomes, and ACO scale targets as stipulated under the Models State Agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Award recipient is required to maintain proper records including financial records, supporting documents, statistical records, and all other records pertinent to the program for the duration of the award, and retain these for a minimum of three years. If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the three years, the records should be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0522-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$9,500,000; and FY 18 est \$0

Range and Average of Financial Assistance:

The lowest and highest amount is \$9.5M - it is only 1 grant.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This solicitation is subject to the Health and Human Services Grants Policy Statement (HHS GPS) at <http://www.hhs.gov/asfr/ogapa/aboutog/hhsgps107.pdf>. The general terms and conditions in the HHS GPS will apply as indicated unless there are statutory, regulatory, or award-specific requirements to the contrary. Standard and program specific terms of award will accompany the NoA. Applicant should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the HHS review panel. The recently released HHS regulation (45 CFR Part 75) supersedes information on administrative requirements, cost principles, and audit requirements for grants and cooperative agreements included in the current HHS Grants Policy Statement where differences are identified.

Regional or Local Office:

None.

Headquarters Office:

Akash Shah 7500 Security Blvd, MS WB-06-05
Baltimore, MD 21244

, Baltimore, Maryland 21244 Email: Akash.Shah@cms.hhs.gov Phone: 41-786-8901

Website Address:

<http://www.innovation.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The applicant must produce a detailed and fully developed proposal exemplifying the applicants readiness to participate in the One-Time Funding in Support of the Vermont All-Payer ACO Model. Each proposal must include the following core elements:

Description of Need - 20 points
Statement of Project Goals - 10 points
Capacity to implement the project - 30 points
Implementation Plan - 20 points
Data Collection and Reporting Plan - 10 points
Budget and Budget Narrative - 10 points.

93.964 PREVENTION AND PUBLIC HEALTH FUND (PPHF) PUBLIC HEALTH TRAINEESHIPS

Public Health Traineeships

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 767 of the Public Health Service Act.

OBJECTIVES:

The purpose of this grant program is to provide grants to accredited schools of public health and to other public or nonprofit private institutions accredited for the provision of graduate or specialized training in severe workforce shortage disciplines of public health. The PHT Program will provide traineeship support to cover the costs associated with tuition, fees, stipends and allowances (including travel and subsistence expenses and dependency allowances) for students pursuing graduate training or specialized education in six core public health disciplines (epidemiology, environmental health, biostatistics, toxicology, nutrition and maternal and child health) identified in the legislative language as severe workforce shortage areas. The traineeship support is to encourage students to pursue and continue their training in the public health shortage disciplines identified. Students receiving traineeship support must also participate in public health practice-based field placements particularly in state and local health departments and/or in underserved communities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used only to support students enrolled in a grant-eligible post-bachelors degree certificate program, a masters program, or a doctoral program in public health to pursue training or specialized education in the six core public health disciplines (epidemiology, environmental health, biostatistics, toxicology, nutrition and maternal and child health). Traineeship expenditures may be used for payment of stipends, tuition and fees in accordance with the institution's established rates, and a transportation allowance on an individual trainee basis when prior approval has been obtained from the Grants Management Officer. Grantees may not spend grant funds for sectarian instruction or for any religious purpose. Funding may not be used for courses or other expenses related to the grantees non-public health degree curriculum. Funding may not be used to support residency requirements. Use of traineeship funds for indirect cost (overhead) of the trainee institution is not authorized. Up to twenty percent of funding may be dedicated to grantee activities other than traineeship support, including recruitment of students and development and implementation of practice-based field placements; this

amount includes indirect costs. No less than eighty percent of a grantees overall requested budget must be dedicated and distributed as traineeships to enrolled students in the identified public health disciplines. Student stipends should not exceed \$5,000 per student per year. A stipend can also be provided as a subsistence allowance to help trainees defray living expenses during the training experience.

Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

Eligible applicants are schools of public health accredited by the Council on Education for Public Health (CEPH), and/or other public or nonprofit private institutions accredited by a body recognized for the purpose of providing graduate or specialized training in public health. Faith-based and community-based organizations, Federally Recognized Indian Tribal Government and Native American Organizations may apply for these funds if otherwise eligible.

Beneficiary Eligibility:

(1) Trainees must be United States Citizens, non-citizen nationals, or foreign national having in his/her possession a visa permitting permanent residence in the United States; (2) trainees must be enrolled in a post-bachelors certificate, masters or doctoral public health program; (3) trainees must be pursuing one of the six shortage public health disciplines; and (4) trainees must be committed to participating in a practice-based internship or field placement, preferably in state and local health departments and /or organizations in underserved communities.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The initial project period for this program was for three years; however, project periods were reduced and programs were closed out (with project periods ending on August 31, 2015). Method of awarding/releasing assistance: quarterly.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and annual progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this Notice of Funding Opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0353-0-1-552.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0353.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Kellie Gilchrist, 5600 Fishers Lane, Room 11N94D, Rockville, Maryland 20857 Email: kgilchrist@hrsa.gov Phone: 301-443-1077

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.965 COAL MINERS RESPIRATORY IMPAIRMENT TREATMENT CLINICS AND SERVICES

Black Lung Clinics Program (BLCP) and the Black Lung Center of Excellence (BLCE)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Sec. 427(a) of the Federal Mine Safety and Health Act of 1977, as amended (30 U.S.C. 937).

OBJECTIVES:

Under the Black Lung Clinics Program, the primary objective is to reduce the morbidity and mortality associated with occupationally-related coal mine dust lung disease (CMDLD) through the provision of medical, outreach, educational, and benefits counseling services to coal miners and their families.

Under the Black Lung Center of Excellence, the primary objective is to strengthen the operations of the BLCP awardees and their ability to examine and treat respiratory and pulmonary impairments in active and inactive coal miners through improved data collection and analysis and to contribute to the body of knowledge on the health status and needs of U.S. coal miners.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

USES: Screening, diagnosis and treatment services to active, inactive, disabled, and retired coal miners, including provision of the following services: education and outreach; medical case management; compensation counseling; DOL medical exams in accordance with the authorizing legislation; patient data collection and analysis; and other treatments that may relieve symptoms.

Applicant Eligibility:

The BLCP is open to any state or public or private entity that meets the requirements of the program. This includes faith-based and community-based organizations as well as federally-recognized Tribes and Tribal organizations. The requirements may be met by a state, a single entity in a state, or a newly-formed consortium within a state. In addition to the 50 states and the District of Columbia, applicants can be located in the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, the Territories of the Virgin Islands, Guam, American Samoa, the Compact Free Association Jurisdictions of the Republic of the Marshall Islands, the Republic of Palau, and the Federated States of Micronesia, if they meet eligibility requirements. Foreign entities are not eligible for HRSA awards, unless the authorizing legislation specifically authorizes awards to foreign entities or the award is for research. This exception does not extend to research training awards or construction of research facilities.

The BLCE is open to BLCP recipients awarded funding under the HRSA-17-023/Black Lung Clinics Program notice of funding opportunity and that are involved in the operation of fixed-site and or mobile clinic facilities for the analysis, examination and treatment of respiratory and pulmonary impairments in active and inactive coal miners, assuming they meet the requirements of the BLCE.

Beneficiary Eligibility:

Per 42 CFR Part 55a, a coal miner is defined as: Any individual who works or has worked in or around a coal mine or coal preparation facility in the extraction or preparation of coal. The term also includes an individual who works or has worked in coal mine construction or transportation in and around a coal mine, to the extent that the individual was exposed to coal dust as a result of employment.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to 6 months.

Appeals:

Not Applicable.

Renewals:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance will cover operational costs and awardees will generally be expected to begin to deliver services immediately. See the following for information on how assistance is awarded/released: Awardees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary,

from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Awardees are required to submit an annual report that describes the utilization costs of services provided under the grant, and provide such other information as the Secretary determines appropriate. Awardees will be required to submit a Performance Improvement Measurement System (PIMS) report through the EHB once a year throughout their project period. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. A Non-competing Continuation report is required once a year throughout their project period. No expenditure reports are required. No performance monitoring is required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend financial assistance of \$750,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$150,000; FY 17 est \$150,000; and FY 18 est \$125,000 - BLCE. (Project Grants) FY 16 \$6,537,443; FY 17 est \$6,991,084; and FY 18 est \$6,991,084 - BLCP.

Range and Average of Financial Assistance:

BLCP (FY 2017):
Range of awards (est.): \$187,740 - 658,427.
Average award (est.): \$466,072

BLCE (FY 2017):
Range of award (est.): \$150,000.
Average award (est.): \$150,000

BLCP & BLCE combined (FY 2017):
Range of awards (est.): \$150,000 - 658,427
Average award (est.): \$446,318.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Allison Hutchings, ahutchings@hrsa.gov, 301-945-9819. Federal Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane, Mailstop 17W29-C, Rockville, MD 20857.

Headquarters Office:

Federal Office of Rural Health Policy 5600 Fishers Lane, Room 17W29-C, Rockville, Maryland 20857 Phone: (301) 443-0835

Website Address:

<http://www.hrsa.gov/ruralhealth/>

RELATED PROGRAMS:

17.307 Coal Mine Workers' Compensation; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers); 93.773 Medicare_Hospital Insurance; 93.774 Medicare_Supplementary Medical Insurance; 93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Per the 42 CFR Part 55a, funding criteria will take into account: (1) The number of miners to be served and their needs; and (2) The quality and breadth of services to be provided. Additionally, state applicants will be given preference over individual entities who apply within the same state.

93.966 THE ZIKA HEALTH CARE SERVICES PROGRAM

The Zika Health Care Services Program

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

The Public Health and Social Services Emergency Fund in the Zika Response and Preparedness Act (P.L. 114-223).

OBJECTIVES:

The purpose of the Round One and Round Two Funding Opportunities of The Zika Health Care Services Program is to support prevention activities and treatment services for men, women, including pregnant women, and children adversely or potentially impacted by the Zika virus.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funds will be available for services related to family planning, screening and diagnosis, medical care, case management and treatment, and enhancing provider capacity and capability in the territories and state with active or local Zika transmission. Funds cannot be used for costs that are covered by private health insurance.

Applicant Eligibility:

The funds appropriated by P.L. 114-223 are available for states, territories, tribes or tribal organizations with active or local transmission of the Zika virus, as confirmed by the CDC. Active transmission refers to geographic areas for intervention and issuance of travel guidance in a setting where local Zika virus transmission is occurring. Local transmission of Zika virus means that mosquitoes in the area have been infected with Zika virus and can spread it to people. As of October 12, 2016, the CDC had reported that areas with active or local transmission of the Zika virus were American Samoa, Puerto Rico, U.S. Virgin Islands, and Florida. For the single source emergency Round One Funding Opportunity, these were the only territories and state eligible to receive funding as authorized under the legislation. Within these three territories and state, this single source emergency Round One Funding Opportunity was available to the territory and state health departments. As of the single source emergency Round Two Funding Opportunity close date (both deadlines), only one additional state was eligible, Texas. Within the state of Texas, only the state health department was eligible.

Beneficiary Eligibility:

State, Intrastate, Local, Sponsored Organization, Public Nonprofit Institution/Organization, Other Public Institution/organization, Federally Recognized Indian Tribal Government, U.S. territory/possession, individual/family, Specialized group, Small business, Private organization, Profit organization, Quasi-public nonprofit organization, Other private institution/organization, Anyone/general public, Health professional, Infant, Low Income, Welfare Recipient, Unemployed.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant materials will be available for download at www.grantsolutions.gov. At www.grantsolutions.gov, the Zika Health Care Services program will be able to download a copy of the application packet, complete it off-line, and then upload and submit the application via the www.grantsolutions.gov website.

Award Procedure:

If successful, Applicant will receive a Notice of Award (NoA) signed and dated by the HHS Grants Management Officer. The NoA is the document authorizing the grant award and will be issued to the applicant as listed on the SF-424 and available to the organization through the online grants management system used by CMS and awardee organizations. Any communication between HHS and Applicant prior to issuance of the NoA is not an authorization to begin performance of a project.

If unsuccessful, Applicant will be notified by letter, sent electronically or through the U.S. Postal Service to the address as listed on its SF-424, within 30 days of the award date.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30-45 days.

Appeals:

N/A.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Thirty-six months (3 years) from the date of award with 12 month budget periods. Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly progress reports which provide an update of goals/activities to date; the annual Federal Financial Report (FFR) which is used to report cash transaction data, expenditures, any program income generated (if applicable); quarterly FFRs to both the Payment Management System and Grants Solutions; and the Federal Funding Accountability and Transparency Act of 2006. Please see response above. Please see response above. Please see response above. Quarterly progress reports will be used to monitor performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Daily attendance records, personnel records, property and equipment records.

Account Identification:

75-0140-0-1-551.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 16 \$0; FY 17 est \$75,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

\$1,100,000 - \$60,600,000.

TAFS Codes:

75-0140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Elizabeth Garbarczyk 7500 Security Boulevard Baltimore, MD 21244
 , Baltimore, Maryland 21244 Email: Elizabeth.Garbarczyk@cms.hhs.gov
 Phone: 410-786-0426

Website Address:

<http://www.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The review process will include the following:

Applicant will receive a score of up to 100 points. The objective review panel may include federal reviewers and/or non-federal reviewers.

The result of the objective review of the application will be used in conjunction with the other factors noted in the FOA to determine the technical merit of the application and advise the approving HHS official. The final award decision will be made by a HHS program official. In making this decision, the HHS program official will take into consideration: reviews for programmatic and grants management compliance; pre-award business and risk assessment review (see below for additional information); the reasonableness of the estimated cost to the government and anticipated results; and the likelihood the proposed cost will result in the benefits expected.

As noted in 45 CFR Part 75, CMS will do a review of risks posed by Applicant prior to award. In evaluating risks posed by Applicant, CMS will

consider the below factors as part of the risk assessment (applicant should review the factors in their entirety at http://www.ecfr.gov/cgi-bin/retrieveECFR?gp=1&SID=30572eb720fd047981e26dcf89370678&ty=HTML&h=L&r=PART&n=pt45.1.75#se45.1.75_1205;

- i. Financial stability;
- ii. Quality of management systems and ability to meet the management standards prescribed in 45 CFR Part 75;
- iii. History of performance (including, for prior recipients of Federal awards: timeliness of compliance with applicable reporting requirements, conformance to the terms and conditions of previous federal awards, extent to which previously awarded amounts will be expended prior to future awards);
- iv. Reports and findings from audits performed under Subpart F of 45 CFR Part 75 and findings of any other available audits; and
- v. Applicants ability to effectively implement statutory, regulatory, and other requirements imposed on non-federal entities.

If successful, Applicant will receive one cooperative agreement award. If unsuccessful, Applicant will be advised by letter or electronic mail (sent to the individual signing on behalf of the organization) that the application will not be held for further consideration or be funded.

93.967 CDC'S COLLABORATION WITH ACADEMIA TO STRENGTHEN PUBLIC HEALTH

CDC's Collaboration with Academia to Strengthen Public Health

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service, Title 42, Section 317(k)(2), 42 U.S.C 317(k)(2).

OBJECTIVES:

This Funding Opportunity Announcement (FOA) seeks to catalyze innovative efforts to provide public health professionals, students, and faculty with the competencies and knowledge to reduce the leading causes of death and illness and build workforce capacity to transform the health system and improve population health.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds will be used to support cross cutting initiatives and to provide leadership, management support and governance for the entirety of the cooperative agreement. Cross cutting management initiatives include cooperative agreement planning, monitoring and evaluation, ensuring a strong governance for the public health workforce. Cross cutting programs include PART A - Core Curricular Enhancements, PART B Workforce Improvement Projects (WIPs), and PART C - Public Health Fellowships. Also, communications (print, electronic and on social media), program evaluation and public policy. Funds can not be used for research.

Applicant Eligibility:

Open Competition

Eligible applicants are groups and organizations that are: A national voice and representative organization for their respective accredited academic constituency member schools or programs; Able to demonstrate evidence of existing and prior collaboration with all or the majority of Council on Education for Public Health (CEPH)-accredited schools or programs of public health, Liaison Committee on Medical Education (LCME)-accredited medical colleges; and, Committee on Collegiate Nursing Education (CCNE)-accredited colleges of nursing at the baccalaureate or higher level; National in scope in order to ensure the broadest coverage; Engaged in established liaison relationships with related accreditation agency for their respective professional target audience; Experienced in implementing community-based activities to improve health; Experienced in convening deans or representative faculty of respective academic constituency schools; Experienced with influencing curriculum standards or requirements of membership schools; Experienced in working across disciplines at the national, regional, state, and/or community level to improve health; and Possess the organizational and administrative

capacity, skill, and expertise to perform the stated activities contained in the funding announcement.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Eligible applicants are groups and organizations that are: A national voice and representative organization for their respective accredited academic constituency member schools or programs; Able to demonstrate evidence of existing and prior collaboration with all or the majority of Council on Education for Public Health (CEPH)-accredited schools or programs of public health, Liaison Committee on Medical Education (LCME)-accredited medical colleges; and, Committee on Collegiate Nursing Education (CCNE)-accredited colleges of nursing at the baccalaureate or higher level; National in scope in order to ensure the broadest coverage; Engaged in established liaison relationships with related accreditation agency for their respective professional target audience; Experienced in implementing community-based activities to improve health; Experienced in convening deans or representative faculty of respective academic constituency schools; Experienced with influencing curriculum standards or requirements of membership schools; Experienced in working across disciplines at the national, regional, state, and/or community level to improve health; and Possess the organizational and administrative capacity, skill, and expertise to perform the stated activities contained in the funding announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An informational conference call will be held on February 21, 2017, 2:00-3:00pm EST for applicants interested in applying for this funding opportunity. The call-in number: 1-888-455-9734 (toll free) Participants Passcode: 8632216.

Award Procedure:

Applications will be evaluated for completeness and responsiveness by the agency program and CDC Procurement and Grants Office (PGO). CDC will not review incomplete and non-responsive applications. After review and approval, a notice of grant award (NoA) will be prepared and processed, along with appropriate notification to the public. Initial award provides funds for the first budget period (usually 12 months) and the NoA will indicate support recommended for the.

Deadlines:

Mar 31, 2017 Year 1 is 10 months with an award date of 08/15/2017. The project period length for Years 2-5 is 12 months each year with an award date of 07/01.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 15 to 30 days. None.

Renewals:

From 15 to 30 days. Cooperative agreement project periods are 1 to 5 years. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon satisfactory progress by the recipient (as documented in required reports) and the determination that continued funding is

in the best interest of the Federal government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project period length for Year 1 is 10 1/2 months with an award date of 08/15/2017. The project period length for Years 2 through 5 is 12 months each year with an award date of 07/01. See the following for information on how assistance is awarded/released: None.

Reports:

Program reports are not applicable. Cash reports are not applicable. Awardee Evaluation and Performance Measurement Plan 6 months into award. Annual Performance Report (APR) no later than 120 days before end of budget period. Serves as yearly continuation application. Data on Performance Measures must be included in the Annual Performance Report. Cash reports are not applicable. Financial status reports are required no later than 90 days after the end of each budget period. Performance monitoring is not applicable. Quarterly expenditure reports required, no more than 30 days after the end of each quarter. Expenditure reports are not applicable. Monitoring activities include routine and ongoing communication between CDC and awardees, site visits, and awardee reporting (including work plans, performance, and financial reporting). Consistent with applicable grants regulations and policies, CDC expects the following to be included in post-award monitoring for grants and cooperative agreements:

Tracking awardee progress in achieving the desired outcomes.

Ensuring the adequacy of awardee systems that underlie and generate data reports. Creating an environment that fosters integrity in program performance and results.

Monitoring may also include the following activities:

Ensuring that work plans are feasible based on the budget and consistent with the intent of the award. Ensuring that awardees are performing at a sufficient level to achieve outcomes within stated timeframes.

Working with awardees on adjusting the work plan based on achievement of outcomes, evaluation results and changing budgets.

Monitoring performance measures (both programmatic and financial) to assure satisfactory performance levels.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. None

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine HHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completed resolved.

Account Identification:

75-0959-0-1-550.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 \$0; FY 17 est \$1,698,035; and FY 18 est \$1,000,000 - Future funding is subject to the availability of funds.

Range and Average of Financial Assistance:

Total Project Funding \$1,698,035,000

Average One Year Award Funding: \$250,000 per awardee.

TAFS Codes:

75-0959.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. None.

Headquarters Office:

Vernellia Johnson Centers for Disease Control and Prevention (CDC)
1600 Clifton Road, NE, Mail Stop E-94,

, Atlanta, Georgia 30333 Email: hft9@cdc.gov Phone: 4044982466 Fax:
404-498-6055

Website Address:

http://www.cdc.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated on the review criteria described in the Funding Opportunity Announcements (FOAs). In general, the review and selection process of complete and responsive applications to the FOA consists of determination of the technical merit, by objective or peer review, availability of funds, and relevance of program priorities and the priorities of DHHS. Refer to the FOA for additional review criteria. The budget is not scored.

93.968 FUNDING IN SUPPORT OF THE PENNSYLVANIA RURAL HEALTH MODEL

N/A

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, section 1115A.

OBJECTIVES:

The purpose of this single source funding opportunity for the Funding in Support of Pennsylvanias Rural Health Model (Model) cooperative agreement is to provide Pennsylvania with the start-up and initial implementation funding component of the Model to assist Pennsylvania in accomplishing the health outcomes, financial, and rural hospital scale targets required of Pennsylvania under the Model. A single-source award to the State of Pennsylvania through first, the Pennsylvania Department of Health and later, the Rural Health Redesign Center once legislatively established, will enable CMS to expeditiously provide assistance to Pennsylvania for the following specific activities: model oversight, global budget administration, data analytics, technical assistance, and quality assurance. These activities are necessary for Pennsylvania to achieve the goals of the Model. We note that the other components of the Model (e.g., health outcomes, financial, and rural hospital scale targets) are governed by a separate State Agreement into which Pennsylvania and CMS have entered.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Model Oversight: provide oversight, approve global budgets and transformation plans. Advise on and approve changes to operational and payment mechanisms

Global Budget Administration: Set the precise methodology to set the global budgets for each participating rural hospital, with contributions from all payers participating in the model.

Data Analytics: perform ongoing reporting and analysis in support of Model financial targets, population health outcomes and quality metrics. Provide stakeholders with regular reports to inform decision-making. Securely collect and store data from payers and providers. Clean data for performance reporting and budget calculation.

Technical Assistance: provide strategic and operational technical assistance to support care delivery transformation. Convene hospitals to share best practices on care management and implementation.

Quality Assurance: provide an annual assessment of compliance with transformation plan and global budget targets. Recommend corrective action plans where needed. Contract with an independent outcome evaluation group to provide board and CEO with rigorous evaluation of Models progress against population health, quality of care, and cost targets. Engage stakeholders through an advisory panel for input on program policy and outcomes. Not pay for any community services (e.g., housing, food, violence intervention programs, and transportation)

Not provide individuals with services that are already funded through any other source, including but not limited to Medicare, Medicaid, and CHIP. Not match any federal funds

Not provide services, equipment, or supports that are the legal responsibility of another party under Federal, State, or Tribal law (e.g., vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.

To cover the costs to provide direct health care services to individuals. To match any other Federal funds.

To provide services, equipment, or supports that are the legal responsibility of another party under Federal or State law (e.g., vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.

To supplant existing State, local, or private funding of infrastructure or services, such as staff salaries, etc.

To be used by local entities to satisfy State matching requirements.

Award dollars cannot be used for specific components, devices, equipment, or personnel that are not integrated into the entire service delivery model proposal.

To provide goods or services not allocable to the approved project.

To be used by local entities to satisfy state matching requirements.

To pay for construction.

To pay for capital expenditures for improvements to land, buildings, or equipment which materially increase their value or useful life as a direct cost, except with the prior written approval of the Federal awarding agency.

To pay for the cost of independent research and development, including their proportionate share of indirect costs (unallowable in accordance with 45 CFR 75.476).

To use as profit to any award recipient even if the award recipient is a commercial organization, (unallowable in accordance with 45 CFR 75.216(b)), except for grants awarded under the Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) programs (15 U.S.C. 638). Profit is any amount in excess of allowable direct and indirect costs.

To expend funds related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before the Congress or any state government, state legislature or local legislature or legislative body.

Applicant Eligibility:

This single source funding opportunity provides Pennsylvania with the necessary start-up funding for the Model and is open to Pennsylvanias Department of Health and later the Rural Health Redesign Center.

First, the Pennsylvania Department of Health is uniquely positioned as the initial applicant under this funding opportunity to meet the objectives of this funding opportunity based on its existing knowledge of the Model, its regulatory authority over healthcare in Pennsylvania, and its capacity to administer the Pennsylvania Rural Health Model including operationalizing the Rural Health Redesign Center, and its existing partnerships and collaborations with Pennsylvania providers.

Knowledge of the Model: The Pennsylvania Department of Health is intimately familiar with the objectives of the Model. Pennsylvanias Department of Health was a key member of the discussions between Pennsylvania and CMS during the development of the Model; the

Pennsylvania's Secretary of Health and staff dedicated significant time, energy, and resources over the past year in partnering with CMS to establish the Model's financial, health outcomes, and rural hospital scale targets. This existing familiarity and knowledge of the Model will help the Pennsylvania Department of Health to expediently deploy the start-up funding offered under this funding opportunity announcement and successfully operationalize the Rural Health Redesign Center.

Authority and role in administering the Model: The Pennsylvania Department of Health is responsible for ensuring that changes in Pennsylvania's health system improve the conditions and well-being of Pennsylvanians. The Pennsylvania Department of Health has broad healthcare authority to administer the payments under the model and to improve the health of Pennsylvanians and control the rate of growth in healthcare costs. Additionally, the Pennsylvania Department of Health is a signatory to the Pennsylvania Rural Health Models State Agreement and will be supporting the State in achieving its obligations under the State Agreement.

Existing partnership and collaboration: The Pennsylvania Department of Health is located in Pennsylvania and has existing relationships and a history of collaboration with Pennsylvania providers, payers, and community-based resources that would benefit from this funding opportunity. Pennsylvania's Department of Health has also been working with these stakeholders for their input and participation throughout the development of the Model.

Second, the Rural Health Redesign Center as the second applicant, will also be uniquely positioned to meet the objectives of this funding opportunity. Pennsylvania's Department of Health will legislatively create the Rural Health Redesign Center as an independent entity with authority over the implementation of the Pennsylvania Rural Health Model. The RHRC will approve hospital transformation plans, global budgets, exception adjustments and changes to operational and payment mechanisms associated with the Model as defined under the State Agreement. The RHRC will also establish and build strong relationships with Pennsylvania rural hospitals as the rural hospitals implement the Model. The RHRC will continue to strengthen these relationships as it implements the activities required under the Model. Similar to Pennsylvania's Department of Health, the RHRC will be uniquely positioned to support this Model as it will be legislatively created solely to provide implementation support for the Model.

Beneficiary Eligibility:

CMS is committed to achieving better care for individuals, better health for populations, and reduced expenditures for Medicare, Medicaid, and CHIP. Through the Innovation Center, CMS strives towards these goals by testing innovative payment and service delivery models. CMS believes that states can be critical partners of the federal government and other health care payers to facilitate the design, implementation, and evaluation of community-centered health systems that can deliver significantly improved cost, quality, and population health performance results for all state residents, including Medicare, Medicaid, and CHIP beneficiaries. States have policy and regulatory authorities, as well as ongoing relationships with commercial healthcare payers, health plans, and providers that can accelerate delivery system reform.

CMS has previously partnered with states to accelerate delivery system reform through initiatives such as the State Innovations Model (SIM) program. SIM provides state-based healthcare transformation efforts with funding to test the ability of states to utilize policy and regulatory levers to accelerate multi-payer health care transformation. Selected states have been working with state-based payers, including Medicaid and commercial payers, and providers to design and implement care delivery and payment reform. States participating in SIM were selected through two rounds of public Funding Opportunity Announcements released on August 23, 2012 (Round 1) and May 22, 2014 (Round 2).

Additionally, CMS has released guidance to SIM state participants in which CMS indicated that in certain instances it will consider state proposals for Medicare alignment with state multi-payer payment and care delivery models. According to that guidance, CMS would assess such proposals with consideration of the following principles: 1) patient-centered, 2) accountable for total cost of care, 3) transformative, 4) broad-based, 5) feasible to implement, and 6) feasible to evaluate. Pennsylvania was one state that approached CMS with a desire for Medicare alignment with the states payment and care

delivery model, and Pennsylvania submitted its proposal to CMS on May 23, 2016. CMS reviewed Pennsylvania's proposal and determined that it met the requirements necessary to explore in detail a potential Pennsylvania-specific model.

CMS, the Governor of Pennsylvania and Pennsylvania's Department of Health, will enter into a State Agreement on the Pennsylvania Rural Health Model. Under the Model, CMS will test the effect of deliberate care delivery transformation of rural acute care hospitals and critical access hospitals (CAHs) on quality and costs of care. The Model places these hospitals on all-payer, prospective global budgets for inpatient and outpatient services. As part of the State Agreement, Pennsylvania committed to legislatively creating the Rural Health Redesign Center (the RHRC) to operate the Model. This funding opportunity offers \$5M in start-up funding to the Pennsylvania Department of Health to operationalize the RHRC. The RHRC will be the central independent entity operating the Model and supporting hospitals through technical assistance, data analytics, monitoring, and evaluation. This funding opportunity also offers the RHRC (once operationalized by the Pennsylvania Department of Health) to apply for an additional \$10 million to begin implementing activities under the Model. In return, Pennsylvania commits to achieving health outcomes, financial, and Rural Hospital scale (number of Rural Hospitals participating in the Model) targets both for Medicare and across all significant healthcare payers as defined in the State Agreement.

CMS believes that model oversight, global budget administration, data analytics, technical assistance, and quality assurance will be necessary in order to implement the Model and achieve the financial, health outcomes, and rural hospital scale targets required under the Model. First, CMS will provide start-up funding to the Pennsylvania Department of Health for 12 months from the date of award. Then, CMS will provide the Rural Health Redesign Center, through a separate cooperative agreement award, with initial implementation funding for 36 months from the date of award. These cooperative agreement awards will support operationalizing the Rural Health Redesign Center and initial Model implementation efforts, and will better enable Pennsylvania to achieve the Model's financial, health outcomes, and rural hospital scale targets as defined under the State Agreement.

Credentials/Documentation:

Employer Identification Number: All applicants must have a valid Employer Identification Number (EIN) assigned by the Internal Revenue Service.

Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS number): All applicants must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number in order to apply. The DUNS number is a nine-digit identification number that uniquely identifies business entities. Obtaining a DUNS number is free. To obtain a DUNS number, access the following website: www.dunandbradstreet.com or call 1-866-705-5711. See Section IV, Application and Submission Information, for more information on obtaining a DUNS number.

System for Award Management (SAM)

All applicants must register in the System for Award Management (SAM)* database (<https://www.sam.gov/portal/public/SAM/>) in order to be able to submit an application at <http://www.grants.gov>. In order to register, applicants must provide their DUNS and EIN numbers. Each year, organizations and entities, registered to apply for Federal grants through Grants.gov must renew their registration with SAM. Each year organizations and entities must renew their registration with SAM. Failure to renew SAM registration prior to application submission will prevent an applicant from successfully applying via Grants.gov. Similarly, failure to maintain an active SAM registration during the application review process can prevent CMS from issuing your agency an award under this program. Applicants should begin the SAM registration process as soon as possible after the announcement is posted to ensure that it does not impair your ability to meet required submission deadlines. Applicants must successfully register with SAM prior to submitting an application or registering in the Federal Funding Accountability and Transparency Act Sub-award Reporting System (FSRS) as a prime awardee user; awardees may make sub-awards only to entities that have DUNS numbers. Organizations must report executive compensation as part of the registration

profile at <https://www.sam.gov/portal/public/SAM/> by the end of the month following the month in which this award is made, and annually thereafter (based on the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109-282), as amended by Section 6202 of Public Law 110-252 and implemented by 2 CFR Part 170). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications will be submitted electronically through GrantSolutions in response to the Funding Opportunity Announcement (FOA).

Applications will be submitted electronically through GrantSolutions in response to the Funding Opportunity Announcement (FOA).

Application Materials:

Application materials will be available for download at <http://www.grants.gov>. Please note that HHS requires applications for all announcements to be submitted electronically through <http://www.grants.gov>. For assistance with <http://www.grants.gov>, contact support@grants.gov or 1-800-518-4726. At Grants.gov, applicants will be able to download a copy of the application packet, complete it off-line, and then upload and submit the application via the Grants.gov website.

Specific instructions for applications submitted via <http://www.grants.gov>:

You may access the electronic application for this project at <http://www.grants.gov>. You must search the downloadable application page by the CFDA number.

At the <http://www.grants.gov> website, you will find information about submitting an application electronically through the site, including the hours of operation. HHS strongly recommends that you do not wait until the application due date to begin the application process through <http://www.grants.gov> because of the time needed to complete the required registration steps.

The applicant must be registered in the System for Award Management (SAM) database in order to be able to submit the application. Applicants are encouraged to register early, and must have their DUNS and EIN/TIN numbers in order to do so.

Authorized Organizational Representative: The Authorized Organizational Representative (AOR) who will officially submit an application on behalf of the organization must register with grants.gov for a username and password. AORs must complete a profile with Grants.gov using their organizations DUNS Number to obtain their username and password at http://grants.gov/applicants/get_registered.jsp. AORs must wait one business day after successful registration in SAM before entering their profiles in Grants.gov. Applicants should complete this process as soon as possible after successful registration in SAM to ensure this step is completed in time to apply before application deadlines.

When an AOR registers with Grants.gov to submit applications on behalf of an organization, that organizations E-Biz POC will receive an email notification. The email address provided in the profile will be the email used to send the notification from Grants.gov to the E-Biz POC with the AOR copied on the correspondence.

The E-Biz POC must then login to Grants.gov (using the organizations DUNS number for the username and the special password called M-PIN) and approve the AOR, thereby providing permission to submit applications.

Any files uploaded or attached to the Grants.Gov application must be PDF file format and must contain a valid file format extension in the filename. Even

though Grants.gov allows applicants to attach any file formats as part of their application, CMS restricts this practice and only accepts PDF file formats. Any file submitted as part of the Grants.gov application that is not in a PDF file format, or contains password protection, will not be accepted for processing and will be excluded from the application during the review process. In addition, the use of compressed file formats such as ZIP, RAR, or Adobe Portfolio will not be accepted. The application must be submitted in a file format that can easily be copied and read by reviewers. It is recommended that scanned copies not be submitted through Grants.gov unless the applicant confirms the clarity of the documents. Pages cannot be reduced in size, resulting in multiple pages on a single sheet, to avoid exceeding the page limitation. All documents that do not conform to the above specifications will be excluded from the application materials during the review process.

After you electronically submit your application, you will receive an acknowledgement from Grants.gov that contains a Grants.gov tracking number. CMS will retrieve your application package from Grants.gov. Please note that applicants may incur a time delay before they receive acknowledgement that the application has been accepted by the Grants.gov system. Applicants should not wait until the application deadline to apply because notification by Grants.gov that the application is incomplete may not be received until close to or after the application deadline, eliminating the opportunity to correct errors and resubmit the application. Applications submitted after the deadline, as a result of errors on the part of the applicant, will not be accepted.

After CMS retrieves your application package from Grants.gov, a return receipt will be emailed to the applicant contact. This will be in addition to the validation number provided by Grants.gov.

Applications cannot be accepted through any email address. Full applications can only be accepted through <http://www.grants.gov>. Full applications cannot be received via paper mail, courier, or delivery service.

All grant applications must be submitted electronically and be received through <http://www.grants.gov> by 3:00 pm Eastern Daylight Time on the applicable due date. Applications not successfully submitted to Grants.gov by the due date and time will not be eligible for review. All applications will receive an automatic time stamp upon submission and applicants will receive an email reply acknowledging the applications receipt.

Please be aware of the following:

- 1) Search for the application package in Grants.gov by entering the CFDA number. This number is shown on the cover page of this announcement.
- 2) If you experience technical challenges while submitting your application electronically, please contact Grants.gov Support directly at: www.grants.gov/customer-support or (800) 518-4726. Customer Support is available to address questions 24 hours a day, 7 days a week (except on Federal holidays).
- 3) Upon contacting Grants.gov, obtain a tracking number as proof of contact. The tracking number is helpful if there are technical issues that cannot be resolved.

To be considered timely, applications must be received by the published deadline date. However, a general extension of a published application deadline that affects all State applicants or only those in a defined geographical area may be authorized by circumstances that affect the public at large, such as natural disasters (e.g., floods or hurricanes) or disruptions of electronic (e.g., application receipt services) or other services, such as a prolonged blackout. This statement does not apply to an individual entity having internet service problems. In order for there to be any consideration there must be an effect on the public at large.

Grants.gov complies with Section 508 of the Rehabilitation Act of 1973. If an individual uses assistive technology and is unable to access any material on the site including forms contained with an application package, they can email the Grants.gov contact center at support@grants.gov or call 1-800-518-4726.

Form of Application Submission

The application must include all contents described below, in the order indicated, and conform to the following specifications:

- o Use 8.5 x 11 letter-size pages (one side only) with 1 margins (top, bottom, and sides). Other paper sizes will not be accepted. This is particularly important because it is often not possible to reproduce copies in a size other than 8.5 x 11.
- o All pages of the project and budget narratives must be paginated in a single sequence.
- o Font size must be at least 12-point with an average of 14 characters per inch (CPI).
- o The Project Narrative must be double-spaced.
- o The Budget Narrative may be single-spaced and should follow the justifications and table formats provided in Appendix A, Guidance for Preparing a Budget Request and Narrative in Response to SF 424A.
- o Tables included within any portion of the application should have a font size of at least 12-point with a 14 CPI and may be single spaced.
- o The project abstract is restricted to a one-page summary which may be single-spaced.
- o The application may not exceed 45 pages. Although tables are counted towards the page limitation, the additional required documentation, including Standard Forms and Project Abstract is excluded from the page limitation

The following standard forms are found in the Grants Application Package at www.grantsolutions.gov and must be completed with an electronic signature and submitted as part of the proposal:

- o Project abstract summary
- o SF-424: Official Application for Federal Assistance (see note below)
- o SF-424A: Budget Information Non-Construction
- o SF-424B: Assurances-Non-Construction Programs
- o SF-LLL: Disclosure of Lobbying Activities
- o Project Site Location Form(s)

Award Procedure:

Applications will be forwarded to a review panel. The review panel will evaluate the proposal based on how well applicant addresses the evaluation criteria outlined in the FOA. Based on the advice of the review panel, the CMS selection official will approve the selected application and issue a Notice of Award. Successful applicant will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that will set forth the amount of the award and other pertinent information. The award will also include standard Terms and Conditions. Applicant should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified by the review panel.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Anticipated Notice of Award dates are 60 days after application submission.

Appeals:

CMS reserves the right to approve or deny any or all proposals for funding. Note that section 1115A of the Social Security Act, which creates the Center for Medicare and Medicaid Innovation (CMMI). Section 1115A(d)(2) states that there is no administrative or judicial review of the selection of organizations, sites, or participants to test models.

Renewals:

N/A.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance will be 12 months from the date of award for Pennsylvania Department of Health and 36 months from the date of award for the Rural Health Redesign Center. Method of awarding/releasing assistance: quarterly.

Reports:

The Grantee must submit quarterly progress reports as well as a final progress report. CMS will provide a reporting template for all progress reports. Each quarterly progress report must include the information outlined below. A separate reporting template will be provided by CMS for the final progress report. The purpose of the final progress report is to summarize the grantees progress throughout the entire project period to include overarching lessons learned, unanticipated challenges or successes, etc. All reports must be submitted to www.grantsolutions.gov.

The minimum program progress narrative report elements are as follows:

- i. Program Name;
- ii. Project Director Name;
- iii. Reporting Period;
- iv. Data and Reporting Requirements (refer to Section I.6.b Data and Reporting Requirements for the required data elements);
- v. Additional Metrics Proposed by Applicant (if applicable);
- vi. Budget Status - include amounts for planned expenditure, actual expenditure, and deficit/surplus;
- vii. Project description - short summary;
- viii. Progress on Goals - Status on goals that were previously projected to be completed in this reporting period, including explanation of and remediation plans for any goals not met;
- ix. Accomplishments - Specific tasks that were accomplished during this reporting period;
- x. Projected Goals - Goals projected to be completed during the next reporting period; and
- xi. Issues - Issues that must be addressed for the project to be successful.

Quarterly progress reports are due 30 days after the end of the quarterly period. The final progress report is due 90 days after the end of the project period. The Grantee must also agree to cooperate with any federal evaluation of the Model. The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. Recipient must utilize the FFR to report cash transaction data, expenditures, and any program income generated (if applicable for the program). As detailed above, award recipient must agree to cooperate with any federal evaluation of the model and performance results and provide required quarterly and final reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will include how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project or model reporting and technical assistance necessary to complete required report forms. Successful applicant must also agree to respond to requests that are necessary for the evaluation of the Model Design, pre-testing assistance, or Model Testing efforts and provide data on key elements of model performance and on results from the cooperative agreement activities. As detailed above. Successful applicants must submit reports to Federal Funding Report and meet Payment Management System reporting requirements. Pennsylvania will submit to CMS quarterly reports on the financial targets and annual reports on the health outcomes and rural hospital participation and payer scale targets as stipulated outside of this cooperative agreement but in the Models State Agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Award recipient is required to maintain proper records including financial records, supporting documents, statistical records, and all other records

pertinent to the program for the duration of the award, and retain these for a minimum of three years. If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the three years, the records should be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0522-0-1-551 - For Section 3021 Model Programs.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$10,000,000; and FY 18 est \$7,000,000 - This will be a Cooperative Agreement for entirety of performance period. In 2017, up to \$10M will be awarded to Pennsylvania Department of Health. In 2018, up to \$7M will be awarded to the Rural Health Redesign Center. In 2019, up to \$5M will be awarded to the Rural Health Redesign Center. Finally, in 2020 up to \$3M will be awarded to the Rural Health Redesign Center.

Range and Average of Financial Assistance:

In 2017, up to \$10M will be awarded to Pennsylvania Department of Health. In 2018, up to \$7M will be awarded to the Rural Health Redesign Center. In 2019, up to \$5M will be awarded to the Rural Health Redesign Center. Finally, in 2020 up to \$3M will be awarded to the Rural Health Redesign Center.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This solicitation is subject to the Health and Human Services Grants Policy Statement (HHS GPS) at <http://www.hhs.gov/asfr/ogapa/aboutog/hhsgps107.pdf>. The general terms and conditions in the HHS GPS will apply as indicated unless there are statutory, regulatory, or award-specific requirements to the contrary. Standard and program specific terms of award will accompany the NoA. Applicant should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the HHS review panel. The recently released HHS regulation (45 CFR Part 75) supersedes information on administrative requirements, cost principles, and audit requirements for grants and cooperative agreements included in the current HHS Grants Policy Statement where differences are identified.

Regional or Local Office:

None, N/A.

Headquarters Office:

Theresa Dreyer 7500 Security Blvd., Baltimore, Maryland 21244 Email: Theresa.dreyer@cms.hhs.gov Phone: 410-786-8984

Website Address:

<http://www.innovations.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The applicant must produce a detailed and fully developed proposal exemplifying the applicants readiness to participate in the Funding in Support of the Pennsylvania Rural Health Model. Each proposal must include the following core elements:

- Description of Need 20 points
- Statement of Project Goals 10 points
- Capacity to implement the project 30 points
- Implementation Plan 20 points
- Data Collection and Reporting Plan 10 points
- Budget and Budget Narrative 10 points.

93.969 PPHF GERIATRIC EDUCATION CENTERS

Geriatric Workforce Enhancement Program (GWEP)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

GWEP: Section 750 and 753(a) of the Public Health Service Act, Health Professions Education Partnerships Act of 1998, Public Law 105-392 as amended by the Affordable Care Act of 2010, Public Law 111-148; and Section 865 of the Public Health Service Act.; GEC: Public Health Service Act, Title VII, Section 753(a), Health Professions Education Partnerships Act of 1998, Public Law 105-392 as amended by the Patient Protection and Affordable Care Act of 2010, Public Law 111-148.

OBJECTIVES:

The purpose of this cooperative agreement program is to establish and operate geriatric education centers that will implement the Geriatric Workforce Enhancement Program (GWEP) to develop a health care workforce that maximizes patient and family engagement and improves health outcomes for older adults by integrating geriatrics with primary care. A GWEP: a) improves the training of health professionals and individuals in geriatrics, including geriatric residencies, traineeships, or fellowships; b) develops and disseminates curricula relating to the treatment of the health problems of elderly individuals; c) supports the training and retraining of faculty to provide instruction in geriatrics; d) supports continuing education of health professionals who provide geriatric care; e) provides students with clinical training in geriatrics in nursing homes, chronic and acute disease hospitals, ambulatory care centers, and senior centers; or f) establishes traineeships for individuals who are preparing for advanced education nursing degrees in geriatric nursing, long-term care, gero-psychiatric nursing or other nursing areas that specialize in the care of the elderly population. Special emphasis is on providing the primary care workforce with the knowledge and skills to care for older adults and on collaborating with community partners to address gaps in health care for older adults through individual, system, community, and population level changes. Interprofessional collaboration is an essential component of all project activities, and medicine must be one of the professions included in all interprofessional activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Projects must develop collaborations with at least one non-profit community organization and one primary care facility to ensure integrated delivery systems. Grantees must use funds for the following activities:

1. Develop and implement integrated geriatrics and primary care health care delivery systems to provide clinical experiences for trainees with the goal of improving comprehensive, coordinated care for older adults;
2. Partner with, or create as appropriate, community-based outreach resource centers to address the learning and support needs of older adults, their families and their caregivers. Such programs must address local needs, taking into account available care settings, social resources, and community culture. Topics in addressing psychosocial needs, disease self-management, patient engagement, and population health are encouraged; and
3. Provide training to individuals who will provide care to older adults within the context of the above focus areas. Categories of individuals may include: patients, families, caregivers, direct care providers, primary care providers, students, residents, fellows, and faculty.
4. In addition, projects may include Alzheimers disease and related dementias education and training for patients, families, caregivers, direct care providers, and health professions students, faculty, and providers.

Restricted Uses: Student support through stipends, tuition, and fees from other funding sources is not eligible for support. The exception to this restriction is individuals who are preparing for advanced education nursing degrees in geriatric nursing, long-term care, gero-psychiatric nursing or other nursing areas that specialize in the care of the elderly population. These individuals are eligible for traineeship support. Funds may not be used for the constructions of

buildings or the acquisition of land.

indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment. Funds may not be used for trainee costs, the constructions of buildings or the acquisition of land. Funds may not be used for trainee costs, the constructions of buildings or the acquisition of land.

Applicant Eligibility:

Eligible applicants are accredited health professions schools and programs. The following entities are eligible applicants: Schools of Allopathic Medicine; Schools of Veterinary Medicine; Schools of Dentistry; Schools of Public Health; Schools of Osteopathic Medicine; Schools of Chiropractic; Schools of Pharmacy; Physician Assistant Programs; Schools of Optometry; Schools of Allied Health; Schools of Podiatric Medicine; and Schools of Nursing. The following accredited graduate programs are also eligible applicants: Health Administration; and Behavioral Health and Mental Health Practice, including: Clinical Psychology, Clinical Social Work, Professional Counseling, and Marriage and Family Therapy.

In addition these are also eligible entities under GWEP: a health care facility, a program leading to certification as a certified nurse assistant, a partnership of a school of nursing such and facility, or a partnership of such a program and facility

Faith-based and community-based organizations, Federally Recognized Indian Tribal Governments and Native American Organizations may apply if otherwise eligible.

Applicants must be located in the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, the U.S Virgin Islands, Guam, American Samoa, the Republic of Palau, the Republic of the Marshall Islands, or the Federated States of Micronesia.

Beneficiary Eligibility:

Accredited health professions schools.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

The GWEP does not anticipate a new competing cycle until FY 2018. The program will continue to support forty-four (44) noncompeting continuation cooperative agreements through FY 2017.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a three-year project period. See the following for information on how assistance is awarded/released:

Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0353-0-1-552 - PPHF; 75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$35,872,006; FY 17 est \$35,839,723; and FY 18 est \$0. (Project Grants) FY 16 \$35,872,006; FY 17 est \$35,839,723; and FY 18 est \$0

Range and Average of Financial Assistance:

FY 16 Range: \$568,708-\$842,833. Average Award: \$815,819
FY 17 Range: \$555,046-\$850,000. Average Award: \$814,540.
FY 18 Range: \$0. Average Award: \$0.

TAFS Codes:

75-0350; 75-0353.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.

HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Nina Tumosa, PhD, Medical Training and Geriatrics Branch, Division of Medicine and Dentistry, Bureau of Health Workforce 5600 Fishers Lane, Room 15N194B, Rockville, Maryland 20857 Email: ntumosa@hrsa.gov Phone: 301-443-5626

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.970 HEALTH PROFESSIONS RECRUITMENT PROGRAM FOR INDIANS

(Recruitment Program)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Improvement Act, Title I, Sections 202, 112, 114, and 122, Public Law 94-437, as amended by Public Law 102-573 and Public Law 111-148, Public Laws 96-537, 100-713, and 102-573, 25 U.S.C. 1621p(a-d).

OBJECTIVES:

(1) To identify American Indians and Alaska Natives with a potential for education or training in the health professions, and to encourage and assist them to enroll in health or allied health professional schools; (2) to increase the number of nurses, nurse midwives, nurse practitioners and nurse anesthetists who deliver health care services to American Indians and Alaska Natives; (3) to place health professional residents for short-term assignments at Indian Health Service (IHS) facilities as a recruitment aid; and (4) to remove the multiple barriers to their entrance into IHS and private practice among Indians.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Establishing and operating programs designed to recruit American Indians and Alaska Natives into health and allied health professional schools.

Applicant Eligibility:

Public or private nonprofit health or educational entities or Indian tribes or tribal organizations as specifically provided in legislative authority.

For Indians into Psychology, public or private nonprofit colleges and universities that offer a Ph.D. in clinical programs accredited by the American Psychological Association will be eligible to apply for a grant under this program. However, only one grant will be awarded and funded to a college or university per funding cycle.

For Indians into Nursing, schools of nursing providing nursing education and conferring degrees are eligible for this award:

A. Accredited Public or Private schools of nursing,

B. Accredited Tribally controlled community colleges and Tribally controlled postsecondary vocational institutions (as defined in section 390(2) of the Tribally Controlled Vocational Institutions Support Act of 1990 (20 U.S.C. 2397h(2)), or

C. Nurse midwife programs and nurse practitioner programs that are provided by any public or private institution.

Beneficiary Eligibility:

Preference is given to applicants in the following order of priority: (1) Indian tribes; (2) tribal organizations; (3) urban Indian organizations and other Indian health organizations; or (4) other public or nonprofit health or educational entities.

Credentials/Documentation:

Costs for other institutions will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at (1-800) 518-4726 or support@grants.gov. The Contact Center is open 24 hours a day, 7 days a week, closed on Federal holidays. If you require additional assistance please contact the Grants Policy Office at (301) 443-5204 at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments.

For Indians into Psychology, the grant applicant must submit official documentation indicating a Tribes cooperation with and support of the program within the schools on its reservation and its willingness to have a Tribal representative serving on the program advisory board. Documentation must be in the form prescribed by the Tribes governing body, i.e., letter of support or Tribal resolution. Documentation must be submitted from every Tribe involved in the grant program. If application budgets exceed the stated dollar amount that is outlined within this announcement it will not be considered for funding.

Award Procedure:

Grants are made directly by the Indian Health Service to applicant agency, based on results of competitive review process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Within 90 days of IHS deadline.

Appeals:

From 60 to 90 days.

Renewals:

From 30 to 60 days. Continuation applications must be submitted annually. Continued funding is subject to availability of funds and satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Payments of grant funds are made through the DHHS Payment Management System (PMS) or DHHS Electronic Transfer System. Information will be forwarded to new grantees regarding the process of obtaining funds. Awards are for a twelve month period. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to Payment Management Services. Progress reports are required annually, semi-annually or quarterly depending on the time lines set by the IHS program. A final progress report is due 90 days after the end of each budget period and at the end of the final project period. For Indians into Nursing, program progress reports are required semi-annually, within 30 days after the budget period ends. These reports must include a brief comparison of actual accomplishments to the goals established for the period, or, if applicable, provide sound justification for the lack of progress, and other pertinent information as required. A final report must be submitted within 90 days of expiration of the budget/project period. Federal Financial Report FFR (SF-425), Cash Transaction Reports are due 30 days after the close of every calendar quarter to Payment Management Services, HHS at: www.dpm.psc.gov. It is recommended that the applicant also send a copy of the FFR (SF-425) report to the Grants Management Specialist. Failure to submit timely reports may cause a disruption in timely payments to the organization. IHS grants are monitored by the Division of Grants Management for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. Grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$1,669,697; FY 17 est \$1,669,697; and FY 18 est \$1,669,697 - for American Indians into Nursing, section 112. (Cooperative Agreements (Discretionary Grants)) FY 16 \$105,000; FY 17 est \$25,000; and FY 18 est \$25,000 - for Medical Professionals Recruitment and Continuing Education Programs. (Project Grants) FY 16 \$715,078; FY 17 est \$715,078; and FY 18 est \$715,078 - for American Indians into Psychology (InPsych).

(Project Grants) FY 16 \$1,097,364; FY 17 est \$1,097,364; and FY 18 est \$1,097,364 - for American Indians into Medicine (InMed).

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 36.310 - 36.319. Final rules and regulations, the Indian Health Care Improvement Act, Public Law 94-437, amended by Public Law 100-713, enacted November 23, 1988; and Public Law 102-573, enacted October 29, 1992; HHS Grants Policy Statement revised January, 2007.

Regional or Local Office:

None. American Indians Into Psychology Contact: Christopher Eagle Hawk, Office of Human Resources, Division of Health Professions Support, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2091.

American Indians Into Medicine Contact: Ms. Jackie Santiago, Office of Public Health Support, Division of Health Professions Support, 5600 Fishers Lane, Mail Stop: 11E65B, Rockville, MD 20857. Telephone: (301) 443-3396.

American Indians into Nursing Contact: Eric Pinto, Office of Public Health Support, Division of Health Professions Support, 5600 Fishers Lane, Mail Stop: 11E26F, Rockville, MD 20857. Telephone: (301) 443-5086.

Medical Professionals Recruitment and Continuing Education Program Contact: Nannette Bellini, Health Professions Recruiter, Office of Human Resources, Indian Health Service, 5600 Fishers Lane Mail Stop 11E21B, Rockville, MD 20857 Telephone: 301-443-0049.

Grants Management Contact: Mr. Robert Tarwater, Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204, Fax (301) 594-0899.

Headquarters Office:

Grants Policy Office 5600 Fishers Lane, Mail Stop: 09E70, Rockville, Maryland 20857 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Potential effectiveness of the proposed project in carrying out such purposes, (2) the demonstrated capability of the applicants to successfully conduct this type of project, (3) accessibility of the applicant to the target population, (4) relationship of project objectives and known or anticipated Indian health manpower objectives, (5) soundness of the fiscal plan, (6) cost reasonableness, and (7) completeness of the application.

93.971 HEALTH PROFESSIONS PREPARATORY SCHOLARSHIP PROGRAM FOR INDIANS**FEDERAL AGENCY:**

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Improvement Act, Public Law 94-437.

OBJECTIVES:

To provide scholarships to American Indians and Alaska Natives for the purpose of completing compensatory pre-professional education to enable the recipient to qualify for enrollment or re-enrollment in a health professions school or curriculum.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Scholarship awards are limited to persons of American Indian or Alaska Native descent. Support is limited to 2 years of full-time education, or part-time equivalent for a maximum of 4 years.

Applicant Eligibility:

Individuals of American Indian or Alaska Native descent, who have successfully completed high school education or high school equivalency, and have been accepted for enrollment or are enrolled in a compensatory, pre-professional general education course or curriculum.

Beneficiary Eligibility:

Individuals of American Indian or Alaska Native descent.

Credentials/Documentation:

Students must submit proper documentation of Indian eligibility verification from the college advisor that the courses or curriculum to be taken are required to meet the applicant's deficiency and compensatory needs at the professional level and represent the number of credit hours which the school considers as full-time or at least 6 credit hours per semester for part-time. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application form OMB No. 0917-0006 and instructions are provided by the Indian Health Service and are usually available to interested individuals in December (www.ihs.gov/scholarship/applynow). An application form and required documentation (official transcripts, letter of acceptance by college, curriculum verification, Indian eligibility, tuition verification, faculty evaluations) are submitted to IHS Headquarters for review and approval/disapproval.

Award Procedure:

Grants are awarded on a competitive basis. Funding covers only Tuition and Fees, other reasonable costs and a monthly stipend. Tuition and fee payments are made to the recipient's college or university.

Deadlines:

Dec 15, 2016 to Feb 28, 2017 The application cycle opens in mid-December 2016 and closes on February 28, 2017 for current scholarship recipients interested in extending their scholarship for another year. The application deadline for new scholarship applicants is March 28, 2017.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved by July 1, 2017, by the Indian Health Service, Division of Grants Management.

Appeals:

Not Applicable.

Renewals:

Continuation applications must be submitted annually. Continued funding subject to availability of funds and satisfactory performance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Maximum length of funding is a total of 2 years full-time and 4 years part-time. See the following for information on how assistance is awarded/released: Tuition payments and fees are paid upon receipt of invoices from the universities. Stipend payments are paid monthly for the 10-month academic period. An amount for books and other necessary expenses is provided to the student. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Students are required to submit their official transcripts as they are received. Initial progress reports are required for each quarter. Notification of Academic Problem/Change is required at any time a problem or change occurs. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The Indian Health Service Scholarship Program maintains records on all students. Records must be maintained for 4 years after the final payment has been made.

Account Identification:

75-0390-0-1-551.

Obligations:

(Training) FY 16 \$847,518; FY 17 est \$850,000; and FY 18 est \$850,000

Range and Average of Financial Assistance:

\$16,617 - \$43,670; average \$27,596.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 36.370-36.374. Final Rules and Regulations, the Indian Health Care Improvement Act, Public Law 96-537 (which amends Public Law 94-437, Section 103), published in the Federal Register on February 29, 1984.

Regional or Local Office:

See Regional Agency Offices. See listing of Area Scholarship Coordinators at <https://www.ihs.gov/scholarship/contact/areascholarshipcoordinators/>.

Program Contact: Ms. Reta Brewer, Chief, Scholarship Branch, Division of Health Professions Support, Indian Health Service, 5600 Fishers Lane, Mail Stop; OHR 11E69B, Rockville, MD 20857. Email: scholarship@ihs.gov Telephone: (301) 443-2349.

Grants Management Contact: Mr. Robert Tarwater, Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204, Fax (301) 594-0899.

Headquarters Office:

Grants Policy Office, 5600 Fishers Lane, Mail Stop: 09E70, Rockville, Maryland 20857 Email: grantspolicy@ihs.gov Phone: (301) 443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are scored based on Academic performance, Faculty/Employer Evaluations and Applicant Essays. Complete information on the applicant selection process is available in the IHS Scholarship Program Application Handbook at https://www.ihs.gov/scholarship/handbooks/application_handbook.pdf.

**93.972 HEALTH PROFESSIONS SCHOLARSHIP PROGRAM
FEDERAL AGENCY:**

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Improvement Act, Public Law 94-437.

OBJECTIVES:

To provide scholarships to American Indians and Alaska Natives at health professions schools in order to obtain health professionals to serve Indians. Upon completion, scholarship recipients are obligated to serve in the Indian Health Service or an Indian health organization for each year of support with a minimum of 2 years.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Scholarships support students who pursue full-time or part-time courses of study in health professions needed by the Indian Health Service (IHS). Disciplines have included allopathic and osteopathic medicine, addiction counseling, clinical psychology, dentistry, environmental engineer, medical technology, nursing (baccalaureate and graduate), nurse practitioner, physical therapy, pharmacy, physician assistant, public health nutrition (graduate), optometry, social work (graduate), sanitarian, speech pathology/audiology (graduate), x-ray technology and health records. Not all disciplines participate each year. Scholarships may not be awarded to students who have conflicting service obligations after graduation or who are not enrolled members of Federally-recognized tribes. Recipients are required to perform 1 year of obligated service for each year of scholarship support, with a minimum obligation of 2 years in the Indian Health Service, or in a program conducted under a contract entered into under the Indian Self-Determination Act; or in an Indian health organization assisted under Title V of the Indian Health Care Improvement Act; or in private practice in a Health Manpower Shortage Area which addresses the health care needs of a substantial number of Indians. Deferment for internship, residency, or other advanced clinical training for medical, and osteopathy graduates is generally not to exceed 4 years, consistent with the specialty needs of the IHS. Currently, 5-year deferments may be granted for general surgery, family practice/psychiatry and internal medicine/psychiatry. For a complete list of approved residencies by discipline, please the IHS Scholarship Program Service Commitment Handbook at https://www.ihs.gov/scholarship/handbooks/service_commitment_handbook.pdf.

Applicant Eligibility:

Individuals of American Indian or Alaska Native who are enrolled members of a Federally-recognized tribe are eligible. Applicants for new awards: (1) must be accepted by an accredited U.S. educational institution for a full-time or part-time course of study leading to a degree in medicine, osteopathy, dentistry, or other participating health profession which is deemed necessary by the Indian Health Service; (2) be eligible for or hold an appointment as a Commissioned Officer in the Regular or Reserve Corps of the Public Health Service; or (3) be eligible for civil service in the Indian Health Service.

Beneficiary Eligibility:

Individuals of American Indian or Alaska Native who are enrolled members of Federally-recognized tribe; full-time students of medicine or other health professions; prospective or currently Commissioned Officers in the Regular or Reserve Corps of the Public Health Service; or eligible civilians of the Indian Health Service.

Credentials/Documentation:

Students claiming Indian priority must submit proper certification and documentation as specified in the application. All applicants must provide transcripts of their latest academic work. All incoming freshmen are to provide copies of acceptance letters for enrollment in school. Students must submit proper documentation of Indian eligibility verification from the college advisor that the courses or curriculum to be taken are required to meet the applicant's deficiency and compensatory needs at the professional level and represent the number of credit hours which the school considers as full-time or at least 6 credit hours per semester for part-time. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application form OMB No. 0917-0006 and instructions are provided by the Indian Health Service and are usually available to interested individuals in December (www.ihs.gov/scholarship/applynow). An application form and required documentation (official transcripts, letter of acceptance by college, curriculum verification, Indian eligibility, tuition verification, faculty evaluations) are submitted to IHS Headquarters for review and approval/disapproval.

Award Procedure:

Grants are awarded on a competitive basis. Priority will be given to applicants who are prior recipients. Special consideration is given to junior and senior level students whose specialty plans are in primary care fields. Criteria which determine competitive ranking awards may include: (1) work experience, preferably health-related in communities of health care need; (2) personal background from a community of health care need; (3) career goals of primary care practice in service to Indians; and, (4) academic performance. Student financial need does not affect selection. Funding covers only Tuition and Fees, other reasonable costs and a monthly stipend. Tuition and fee payments are made to the recipient's college or university.

Deadlines:

Dec 15, 2016 to Feb 28, 2017 The application cycle opens in mid-December 2016 and closes on February 28, 2017 for current scholarship recipients interested in extending their scholarship for another year. The application deadline for new scholarship applicants is March 28, 2017.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved by July 1, 2017, by the Indian Health Service, Division of Grants Management.

Appeals:

Not Applicable.

Renewals:

Continuation applications must be submitted annually. Continued funding subject to availability of funds and satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Maximum length of funding is a total of 4 years full-time and 8 years part-time. See the following for information on how assistance is awarded/released: Tuition payments and fees are paid upon receipt of invoices from the universities. Stipend payments are paid monthly for the 12-month academic period. An amount for books and other necessary expenses is provided to the student.

Reports:

No program reports are required. No cash reports are required. Students are required to submit their official transcripts as they are received. Initial progress reports are required for each quarter. Notification of Academic Problem/Change is required at any time a problem or change occurs. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The Indian Health Service Scholarship Program maintains records for 6 years following completion of obligated service or other disposition of the

scholarship obligation.

Account Identification:

75-0390-0-1-551.

Obligations:

(Training) FY 16 \$12,576,285; FY 17 est \$12,500,000; and FY 18 est \$12,500,000

Range and Average of Financial Assistance:

\$11,237 - \$126,920; average \$48,004.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 36.370-36.374. Final Rules and Regulations, the Indian Health Care Improvement Act, Public Law 96-537 (which amends Public Law 94-437, Section 104), published in the Federal Register on February 29, 1984.

Regional or Local Office:

See Regional Agency Offices. See listing of Area Scholarship Coordinators at <https://www.ihs.gov/scholarship/contact/areascholarshipcoordinators/>.

Program Contact: Ms. Reta Brewer, Chief, Scholarship Branch, Division of Health Professions Support, Indian Health Service, 5600 Fishers Lane, Mail Stop: OHR 11E69B, Rockville, MD 20857. Email: scholarship@ihs.gov, Telephone: (301) 443-2349.

Grants Management Contact: Mr. Robert Tarwater, Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204, Fax (301) 594-0899.

Headquarters Office:

Grants Policy Office, 5600 Fishers Lane, Mail Stop: 09E70, Rockville, Maryland 20857 Email: grantspolicy@ihs.gov Phone: (301) 443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

93.288 National Health Service Corps Scholarship Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are scored based on Academic performance, Faculty/Employer Evaluations and Applicant Essays. Complete information on the applicant selection process is available in the IHS Scholarship Program Application Handbook at https://www.ihs.gov/scholarship/handbooks/application_handbook.pdf.

93.974 FAMILY PLANNING SERVICE DELIVERY IMPROVEMENT RESEARCH GRANTS

Family Planning Service Delivery Improvement

FEDERAL AGENCY:

Office of Population Affairs, Department of Health and Human Services

AUTHORIZATION:

Title X of the Public Health Service Act, as amended, Section 1005, as applicable, 42 CFR 59, Public Law 91-572.

OBJECTIVES:

Grants are awarded to develop research studies for the improvement of family planning services delivery of projects funded under Title X, Section 1001 of the Public Health Service Act.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants are awarded to provide training to Title X project staffs; to improve

utilization and career development of paraprofessional and paramedical manpower in family planning services, particularly in rural areas. Funds may not be used in programs where abortion is a method of family planning. Regulations and Program Guidelines: The regulations set out at 42 CFR part 59, subpart C, govern grants to provide training for family planning service providers. Prospective applicants should refer to the regulations in their entirety. Training provided must be consistent with the requirements for providing family planning services under Title X. These requirements can be found in the Title X statute, the implementing regulations (42 CFR part 59, subpart A), as well as the following supporting documents, the Program Requirements for Title X-funded Family Planning Projects (Title X requirements) and the clinical recommendations, Providing Quality Family Planning Services Recommendations of CDC and the U.S. Office of Population Affairs, (MMWR, April 25, 2014). In addition, any training regarding sterilization of clients as part of the Title X program should be consistent with 42 CFR part 50, subpart B (Sterilization of Persons in Federally Assisted Family Planning Projects). Copies of the Title X statute, applicable regulations, and Program Guidelines can be obtained by contacting the OASH Office of Grants Management, or may be downloaded from the Office of Population Affairs (OPA) web site at <http://www.hhs.gov/opa>, and are provided in the application kit for this announcement. Funds may not be used in programs where abortion is a method of family planning.

Applicant Eligibility:

Any public entity (city, county, local, regional, or State government) or private nonprofit entity located in a State (including the District of Columbia, Puerto Rico, the Commonwealth of the Northern Marianas Islands, Guam, American Samoa, the Virgin Islands, the Federated States of Micronesia, the Republic of Marshall Islands and the Republic of Palau) is eligible to apply for a grant under this program. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

All levels of government and nonprofit entities responsible for the efficient and effective delivery of family planning services; providers and recipients of family planning services; and the general public.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the following cost principles: OMB Circular No. A-21, for educational institutions, OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-122 for nonprofit organizations. Faith based organizations are eligible to apply. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All grant opportunities are posted on www.grants.gov and all applications must be submitted through grants.gov

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be ineligible will not be reviewed. Accepted applications will be evaluated by a technical review panel composed of independent experts. The final funding decision will be determined by the Deputy Assistant Secretary for Population Affairs, DHHS, or their designee, who will take into consideration the recommendations of the review panel; programmatic needs, stated preferences; geographic location; and recommendations of DHHS Regional Health Coordinators. All applicants will be notified in writing of actions taken on their applications. Applications that are approved and funded will be issued a Notice of Award. .

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From 120 to 180 days/ From 90 to 270 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Projects are generally given commitments of funding for up to 3 years. Projects must submit a continuation application each non-competitive year, which includes at a minimum a progress report and evidence of plan compliance.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are generally approved for a project period of 3-5 years. Grants may be approved for a budget period not to exceed 12 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Quarterly SF425 Federal Financial Reports must be submitted to Payment Management Services 30 days after the end of each calendar quarter. Progress reports are required for each budget period. Quarterly SF425 Federal Financial Reports must be submitted to the Office of Grants Management 30 days after the end of each calendar quarter and an annual FFR 90 days after the end of the budget period/project period end date. Reports are to be submitted electronically through GrantSolutions. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 75.361 grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$1,461,000; FY 17 est \$1,300,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

2017 - \$1,300,000 anticipated.

TAFS Codes:

76-10-10-11-0117.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 4 awards totaling \$1,461,000. Fiscal Year 2017: 5 awards totaling \$1,300,000. Fiscal Year 2018: unknown.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. OASH-Office of Population Affairs, Office of Family Planning
Tower Building, 1101 Wootton Pkwy Suite 700
Rockville, MD 20852. Phone 240-453-2828

OASH-Office of Grants Management
Tower Building 1101 Wootton Parkway, Suite 550
Rockville, MD 20852. Phone (240) 453-8822.

Headquarters Office:

Eric C. West Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.hhs.gov/opa>

RELATED PROGRAMS:

93.217 Family Planning_Services; 93.260 Family Planning_Personnel Training

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated to determine which would best promote the purposes of the authorizing legislation, taking into account: Scientific merit and significance; competency of project staff; feasibility, reasonableness of proposed budget period relative to proposed research; adequacy of applicant's resources; amount of support required; adequacy of methodology; adequacy of the means for protecting against adverse effects upon humans, animals, or the environment (when applicable).

93.976 PRIMARY CARE MEDICINE AND DENTISTRY CLINICIAN EDUCATOR CAREER DEVELOPMENT AWARDS PROGRAM

Career Development Awards (CDA)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Sections 747(a) and 748 of the Public Health Service Act.

OBJECTIVES:

The goal of these career development awards is to develop role models and raise the visibility of primary care medicine and dentistry faculty in academic institutions in order to strengthen the primary care workforce, by supporting physicians, physician assistants, dentists, and dental hygienists who plan to teach in the primary care fields.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for trainee support costs for physicians, physician assistants, dentists, and dental hygienists who plan to teach in the primary care fields; to provide protected time for trainee's proposed project and for career development activities; support the trainees' proposed project; and/or consultant services.

All program income generated as a result of awarded funds must be used for approved project-related activities. Indirect costs under training awards to organizations other than state, local or Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Funds may not be used for salary for mentors, secretarial and administrative assistants, etc.

Grants may not be used for new construction or patient services.

Applicant Eligibility:

Applicant Eligibility (081):

Eligible applicant organizations include schools of allopathic or osteopathic medicine, academically affiliated physician assistant training programs, dental and dental hygiene schools, accredited public or nonprofit private hospitals, or a

public or nonprofit private entity that the Secretary has determined is capable of carrying out such grants. If the applicant organization is not a medical school, physician assistant training program, dental or dental hygiene school, they must be affiliated with one of the listed schools or training programs and provide a letter of agreement from the relevant organization. Faith-based and community-based organizations, Federally Recognized Indian Tribal Government and Native American Organizations may apply for these funds, if otherwise eligible.

Beneficiary Eligibility:

Eligible junior faculty candidates (Project Directors/Principal Investigators) are identified individuals who are applying to HRSA for a clinician educator faculty award through the applicant organization. Candidates must hold a non-tenured faculty appointment (i.e., be a junior faculty such as instructor or assistant professor) before the award is made. The faculty appointment must not be contingent on receipt of the award.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement (FOA) issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing (electronic) by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program has MOE requirements, see funding agency for further details. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 5-year project period. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards.

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide.

A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards ("Type 1") issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109-282), as amended by section 6202 of Public Law 110-252, and implemented by 2 CFR Part 170. Grants and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive totals compensation for the recipient's and subrecipient's five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. No cash reports are required. The recipient will be required to submit annual progress and performance reports as well as a final report, which will be due within 90 days after the five-year project period ends. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. HRSA will monitor grant performance throughout the five-year project period, make quarterly contacts with the awardee and require annual performance reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$4,000,000; and FY 18 est \$0

Range and Average of Financial Assistance:

FY17 (est): Range: \$150,000 to \$200,000; Average: (est) \$200,000

In FY 17 approximately \$2,000,000 is expected to be allocated to medicine awards (including approximately \$800,000 for physician assistants) and \$2,000,000 is expected to be allocated to dental and dental hygiene awards.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Geri Tebo, Project Officer Medical Programs; Jennifer Holtzman, DDS, Dental Programs 5600 Fishers Lane, Room 15N186A, Rockville, Maryland 20857
Email: jholtzman@hrsa.gov Phone: 301-443-3368

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.977 SEXUALLY TRANSMITTED DISEASES (STD) PREVENTION AND CONTROL GRANTS

Sexually Transmitted Diseases (STD) Prevention and Control Grants

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 318 (42 U.S. Code 247c), Public Law 100-202, Public Law 100-202.

OBJECTIVES:

Project grants under Section 318 awarded to State and local health departments, academic and public health organizations emphasize the development and implementation of nationally uniform prevention and control programs which focus on the core public health functions of assessment, assurance and policy development. This includes surveillance activities, including the reporting, screening, and follow up of persons diagnosed with STDs, and disease intervention activities to find cases, and notify and refer exposed partners to STD into care.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for: (1) STD surveillance activities, including reporting, and follow-up of diagnostic tests for, and diagnosed cases of, STD; (2) STD case finding and case follow-up activities, including notification, referral and follow up of sex partners for STD testing and treatment, as appropriate; (3) interstate epidemiological referral and follow-up activities; (4) program-specific professional (including appropriate allied health personnel) STD education and training as authorized under section 318 of the PHS Act; (5) program-specific and public STD education and information dissemination as authorized under Section 318 of the PHS Act; (6) applied research, special studies or demonstrations to evaluate or test STD prevention and control activities; and (7) other control strategies and activities as may be prescribed by the Secretary. Grant funds may not be used for: (1) Supplanting funds supporting existing STD prevention and control services provided by a State or locality; or (2) unless specifically approved or specified in funding opportunity announcements, grant funds shall not be used for performing diagnostic tests, maintaining central registries, providing diagnostic and treatment facilities and services, or purchasing automated data processing equipment.

Applicant Eligibility:

Any State, and, in consultation with the appropriate State Health Authority, any political subdivision of a State, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries; academic institutions, and national and public health organizations.

Beneficiary Eligibility:

Any State or authorized subdivision including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their

boundaries, academic institutions, and public health organizations.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Office of Grants Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov. This program is subject to the provisions of 45 CFR, 92. The standard application forms, as furnished by PHS and required by 45 CFR, 92 for State and local governments, must be used for this program.

Award Procedure:

After review and approval, a Notice of Award (NoA) is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 90 to 120 days; from 3 to 4 months.

Appeals:

Not Applicable.

Renewals:

Same as Application Procedure.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period: From one to five years; budget period: 12 months. Method of awarding/releasing assistance: letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

See notice of funding opportunity (NOFO) for details. No cash reports are required. See NOFO for details. See NOFO for details. See NOFO for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending

resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$93,895,559; FY 17 est \$93,251,342; and FY 18 est \$93,251,341 - Cooperative Agreement.

Range and Average of Financial Assistance:

Range is \$223,681 to \$6,425,623; Average = \$1,594,812.

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for this program are published under 42 CFR 51b. Guidelines are available. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, is available.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Wolfe 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: cow1@cdc.gov Phone: (404) 639-8531

Website Address:

<http://www.cdc.gov/std/>

RELATED PROGRAMS:

93.217 Family Planning Services; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers); 93.260 Family Planning Personnel Training; 93.283 Centers for Disease Control and Prevention Investigations and Technical Assistance; 93.855 Allergy, Immunology and Transplantation Research; 93.856 Microbiology and Infectious Diseases Research; 93.865 Child Health and Human Development Extramural Research

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the magnitude of the problem, the establishment of specific and measurable objectives to address the problem, and the development of a sound operational plan which will ensure the implementation of each program element. A formula may be added for the next notice of funding opportunity (NOFO).

93.978 SEXUALLY TRANSMITTED DISEASES (STD) PROVIDER EDUCATION GRANTS

Sexually Transmitted Diseases (STD) Provider Education Grants

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 318 (42 U.S. Code 247c), Public Law 100-202, Public Law 100-202.

OBJECTIVES:

To fund academic institutions and clinical and public health training organizations to develop, deliver and evaluate training, and educational and clinical skills improvement activities for health care professionals and appropriate allied health personnel for the prevention, control and clinical management of gonorrhea, chlamydia, syphilis, and other STD.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Cooperative agreement funds may be used to support academic institutions and clinical training organizations to develop, deliver and evaluate training, and educational and clinical skills improvement activities for health care professionals and allied health personnel for the prevention, control and clinical management of gonorrhea, chlamydia, syphilis, and other STD. Grant funds may be used for: (1) The costs associated with planning, organizing, and conducting professional STD education, training, and clinical skills improvement activities for health care providers and appropriate allied health personnel. Cooperative agreement funds may not be used for supplanting funds supporting existing STD control services provided by a State or locality. Proposals for applied research involving human subjects must follow current Public Health Service (PHS) and Centers for Disease Control and Prevention (CDC) guidelines on human experimentation.

Applicant Eligibility:

Academic institutions and national, state and Tribal clinical and public health training organizations.

Beneficiary Eligibility:

Any State or authorized subdivision including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, academic institutions and public health organizations.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Office of Grants Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700, Email: OGSTIMS@cdc.gov.

Award Procedure:

After review and approval, a Notice of Award (NoA) is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 3 to 4 months.

Appeals:

Not Applicable.

Renewals:

Same as in Application Procedure.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period: From one to five years; budget period: 12 months. Method of awarding/ releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

See notice of funding opportunity (NOFO) for details. No cash reports are required. See NOFO for details. See NOFO for details. See NOFO for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550; 75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$4,284,914; FY 17 est \$4,145,000; and FY 18 est \$4,145,000 - STD Prevention Grants = Acct. No. 75-0943-0-1-550
Prevention Training Centers Grants = Acct. No. 75-0950-0-1-550.

Range and Average of Financial Assistance:

Range = \$100,000 to \$870,000; Average = \$96,666.

TAFS Codes:

75-0943; 75-0950.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 51b. Guidelines are available. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, is available.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Wolfe 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: eow1@cdc.gov Phone: (404) 639-8531

Website Address:

<http://www.cdc.gov/std/>.

RELATED PROGRAMS:

93.217 Family Planning_Services; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers); 93.260 Family Planning_Personnel Training; 93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance; 93.855 Allergy, Immunology and Transplantation Research; 93.856 Microbiology and Infectious Diseases Research; 93.865 Child Health and Human Development Extramural Research; 93.977 Preventive Health Services_Sexually Transmitted Diseases Control Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the need for the proposal and its potential benefit(s) to STD control; possible effects upon local STD control programs; consistency with national program goals; specific and measurable objectives; a workable and meaningful plan for evaluation; and a sound operational plan that ensures the proposal can be carried out to reach stated objectives.

93.980 INCREASING PUBLIC AWARENESS AND PROVIDER EDUCATION ABOUT PRIMARY IMMUNODEFICIENCY DISEASE

Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, 42 USC 247 b (K)(2), Executive Order 12372.

OBJECTIVES:

The purpose of the program is to strengthen the nations capacity to carry out public health activities in the area of primary immunodeficiency diseases (PID) by increasing and improving physician education and public health awareness for/of PID. The intent is to disseminate educational information on a national level to health care providers, educators, third-party payers, impacted families, and others who may help expedite clinical recognition and improve health outcomes for Americans with primary immunodeficiency diseases.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants funds will not be used for research activities.

Funding for this grant will be used to reduce the burden of primary immunodeficiency diseases. Funding for activities should be allocated per the strategy to best meet the short, medium, and long term outcome goals. Grants funds will not be used for research activities.

Applicant Eligibility:

This FOA will be full and open competition.

The ideal applicant will have ten years of experience in: conducting effective physician education and public awareness campaigns for primary immunodeficiency diseases, collaborating effectively with health care and public health partners, and maximizing resources dedicated to campaign materials development and distribution to meet outcome goals.

Beneficiary Eligibility:

The general public will benefit from outcome of this FOA.

The ideal applicant will have ten years of experience in: conducting effective physician education and public awareness campaigns for primary immunodeficiency diseases, collaborating effectively with health care and public health partners, and maximizing resources dedicated to campaign materials development and distribution to meet outcome goals.

Credentials/Documentation:

Applicants are required to include all required documents with their application package at www.grantsolutions.gov. Costs will be determined in accordance with OMB Circular No A-87 for State and Local governments. For all non-profit grantees, costs will be determined in accordance with the Federal Acquisition Regulations, 48 CFR 31. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E-Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply for this funding opportunity, use guidance listed in the announcement. If you do not have internet, or you have difficulty accessing the forms online, you may contact CDC Office of Grants Services at 770-488-2700.

Award Procedure:

Applications will be evaluated for completeness and responsiveness by the agency program and CDC Office of Grant Services (OGS). CDC will not review incomplete and non-responsive applications. After review and approval, a notice of grant award (NoA) will be prepared and processed, along with appropriate notification.

Deadlines:

Jul 20, 2017 to Jul 19, 2022

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

The grant project period is 1 to 5 years. Subject to availability of funds after initial award. Projects may be renewed non-competitively contingent upon satisfactory progress by the recipient (as documented in required reports) and the determination that continued funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to five years subject to the availability of funds and satisfactory progress of the grantee. See FOA announcement for information on how assistance is awarded/released. Grantee should consult OGS for additional information. See the following for information on how assistance is awarded/released: Grantee should consult CDC Office of Grant Services for additional information.

Reports:

Interim reports from each entity award summarizing the activities undertaken and identifying any sub-grants or sub-contracts awarded to be posted no later than 30 days after the end of each 6-month period. Congress directed HHS to provide information on activities and programs supported by federal funds. No cash reports are required. Federal financial reports are required 90 days after the end of the project period. Consult with CDC about performance monitoring activities. Cash reports are not applicable. Interim reports from each entity award summarizing the activities undertaken and identifying any sub-grants or sub-contracts awarded to be posted no later than 30 days after the end of each 6-month period. Congress directed HHS to provide information on activities and programs supported by federal funds. No cash reports are required. Federal financial reports are required 90 days after the end of the project period. Consult with CDC about performance monitoring activities. Interim reports from each entity award summarizing the activities undertaken and identifying any sub-grants or sub-contracts awarded to be posted no later than 30 days after the end of each 6-month period. Congress directed HHS to provide information on activities and programs supported by federal funds. No cash reports are required. Federal financial reports are required 90 days after the end of the project period. Consult with CDC about performance monitoring activities.

Consult with CDC about performance monitoring requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F-Audit requirements, non-federal entities that expend financial assistance of \$750,000 or more in federal awards will have a single or program specific audited conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal funds are exempt from Federal audit requirements for that year except as noted in 2 CFR 200.503.

Records:

Consult with CDC Office of Grant Services for information.

Account Identification:

75-0959-0-1-550.

Obligations:

(Salaries) FY 16 Not Available; FY 17 est \$921,500; and FY 18 est \$921,500 -
FY 2017 Estimated at 921, 500
FY2018 Estimated at 921, 500
FY2019 Estimated at 921, 500
FY2020 Estimated at 921, 500
FY2021 Estimated at 921, 500
Total anticipated funding is \$4,607,500. Future funding is subject to availability of funds.

Range and Average of Financial Assistance:

FY 2017 Estimated at 921, 500
FY2018 Estimated at 921, 500
FY2019 Estimated at 921, 500
FY2020 Estimated at 921, 500
FY2021 Estimated at 921, 500
Total anticipated funding is \$4,607,500. Future funding is subject to availability of funds.

TAFS Codes:

75-09-2016-2017-0959.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Scott Bowen 1600 Clifton Road, Atlanta, Georgia 30329 Email: sbowen1@cdc.gov Phone: 404-498-0068 Fax: 404-498-0140

Website Address:

<http://WWW.CDC.GOV>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated on the review criteria described in the funding Opportunity Announcement (FOA). In general, the review and selection process of complete and responsive applications to the FOA consists of determination of the scientific and technical merit by objective review panel, availability of funds, and relevance of program priorities and the priorities of DHHS. Refer to the FOA for additional review criteria. The budget is not scored.

93.981 IMPROVING STUDENT HEALTH AND ACADEMIC ACHIEVEMENT THROUGH NUTRITION, PHYSICAL ACTIVITY AND THE MANAGEMENT OF CHRONIC CONDITIONS IN SCHOOLS

Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under sections 301(a) and 317(k)(2) of the Public Health Services Act.

OBJECTIVES:

This program supports evidence-based strategies and activities to reduce the risk of children and adolescents developing chronic disease in the future, manage chronic conditions prevalent in student populations (asthma, diabetes, epilepsy, food allergies, oral health) and improve academic success. Long-term outcomes include: (1) increasing the number of students who consume nutritious food and beverages, (2) increasing the number of students who participate in daily physical activity, and (3) reducing chronic health issues among students and improving health outcomes in schools.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance can be used to pay for employee salaries, overheads, travel costs, and other expenses directly related to achievement of the strategies and activities listed in the FOA towards the purpose of fulfilling obligations related to providing technical assistance to CDC and its awardees.

This assistance may be used to strengthen state education agencies and Local Education Areas infrastructure, professional development and training, and technical assistance to support program and policy Implementation related to improving the school nutrition environment, physical education and physical activity, and managing chronic health conditions among students. - Funds may not be used for research.

- Funds may not be used for clinical care.
- Funds may not be used for salaries related to the delivery of patient care or education.
- Funds may not be used for construction.
- Funds may only be expended on reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.
- In most cases, funding may not be used for the purchase of furniture or equipment.
- Reimbursement of pre-award costs is not allowed.
- Funds may not be used for any kind of impermissible lobbying activity designed to influence proposed or pending legislation, appropriations, regulations, administrative actions, or Executive Orders (legislation and other orders). These restrictions include grass roots lobbying efforts and direct lobbying. Certain activities within the normal and recognized executive-legislative relationships within the executive branch of that government are permissible. See Additional Requirement (AR) 12 for further guidance on this prohibition.
- The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project outcomes and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

The intent of this funding opportunity announcement (FOA) is to improve the health and educational outcomes of youth/adolescents through the implementation of evidence-based strategies and activities within school settings. Funding eligibility is limited to state education agencies or equivalents since these agencies have the greatest likelihood of reaching Local Education Areas, schools and the youth/adolescents they serve.

Beneficiary Eligibility:

The intent of this FOA is to improve the health and educational outcomes of youth/adolescents through the implementation of evidence-based strategies and activities within school settings. Funding eligibility is limited to state education agencies or equivalents since these agencies have the greatest likelihood of reaching Local Education Areas, schools and the youth/adolescents they serve.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications will be submitted via GrantSolutions as specified in the FOA. All applications submitted by the deadline that are complete and responsive to FOA will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award from the CDC Office of Financial Resources. The Notice of

Award shall be the only binding, authorizing document between the recipient and CDC and will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. The range of approval/disapproval is between 120 to 180 days. The anticipated start date is 06/30/2018. This information will be provided in the FOA DP18-1801.

If the application is not submitted by the deadline published in the FOA, it will not be processed. CDC OFR personnel will notify the applicant that their application did not meet the deadline. The applicant must receive pre-approval to submit a paper application. If the applicant is authorized to submit a paper application, it must be received by the deadline provided by OFR.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. The project period is 5-years with 12-month budget periods. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the grantee (as documented in required reports, in documented technical assistance, and in other relevant documented activities), and the determination that continued funding is in the best interest of the federal government.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period is 5-years with 12-month budget periods. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the grantee (as documented in required reports, in documented technical assistance, and in other relevant documented activities), and the determination that continued funding is in the best interest of the federal government. Method of awarding/releasing assistance: lump sum.

Reports:

The reporting schedule will be based on the requirements listed in the FOA. An Annual Progress Report and Federal Financial Report (SF425) are due 90 days after the end of the budget period. A final progress report and final Federal Financial Report are due 90 days after the end of the project period. Cash reports are not applicable. An Annual Progress Report is due 90 days after the end of the budget period. The Annual Progress Report will describe successes, challenges, and progress toward program goals, objectives and performance measures. Federal Financial Report (SF425) are due 90 days after the end of the budget period. A final Federal Financial Report are due 90 days after the end of the project period. Grantee performance will be monitored through: (1) technical review of the Annual Progress Report, (2) ongoing communication between the grantee, project officer, and grants management officer via email, conference calls, and meeting, and (3) periodic site visits. The grantee will describe their activities and progress toward meeting goals, objectives and performance measures in the progress reports submitted.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

No Data Available.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$7,100,000

Range and Average of Financial Assistance:

Estimated floor of award: \$300,000
Estimated average award: \$355,000
Estimated ceiling of award: \$375,000.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program supports evidence-based strategies and activities to reduce the risk of children and adolescents developing chronic disease in the future, manage chronic conditions prevalent in student populations, and improve academic success as outlined in the following national plans and guidelines:

CDC Winnable Battles: <http://www.cdc.gov/winnablebattles/>
CDC and ASCD Whole School, Whole Community, Whole Child model: <http://www.cdc.gov/healthyouth/wscc/>
The Guide to Community Preventive Services: <http://www.thecommunityguide.org/index.html>
The National Prevention Strategy: <http://www.healthcare.gov/prevention/nphpphc/strategy/report.pdf>
National Physical Activity Plan: <http://www.physicalactivityplan.org>
Accelerating Progress in Obesity Prevention: Solving the Weight of the Nation: <http://www.iom.edu/Reports/2012/Accelerating-Progress-in-Obesity-Prevention.aspx>
Lets Move! Active Schools: www.letsmoveschools.org
Presidential Youth Fitness Program: www.presidentialyouthfitnessprogram.org
National After School Alliance Healthy Eating and Physical Activity Standards: http://www.niost.org/pdf/host/Healthy_Eating_and_Physical_Activity_Standards.pdf
United States Department of Agriculture Smart Snacks: http://www.fns.usda.gov/sites/default/files/allfoods_flyer.pdf
United States Department of Agriculture School Meal Programs: <http://www.fns.usda.gov/nsnp/national-school-lunch-program-nsnp>
United States Department of Agriculture Local School Wellness Policy: <http://www.fns.usda.gov/tn/local-school-wellness-policy>
CDC School Health Guidelines to Promote Healthy Eating and Physical Activity: <http://www.cdc.gov/mmwr/pdf/rr/rr6005.pdf>
National Asthma Education and Prevention Program Expert Panel Review-3 Guidelines for the Diagnosis and Management of Asthma (NAEPP EPR-3): <http://www.nhlbi.nih.gov/health-pro/guidelines/current/asthma-guidelines/full-report>

Regional or Local Office:

None.

Headquarters Office:

Holly Hunt 4770 Buford Hwy, NE, Atlanta, Georgia 30341 Email: hcc3@cdc.gov Phone: 7704886103

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.945 Assistance Programs for Chronic Disease Prevention and Control

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.982 MENTAL HEALTH DISASTER ASSISTANCE AND

EMERGENCY MENTAL HEALTH

(Mental Health Disaster Assistance)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act., Section 416, Public Law 100-707.

OBJECTIVES:

To provide supplemental emergency mental health counseling to individuals affected by major disasters, including the training of workers to provide such counseling.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Provides funds for staff, travel, consultants and other expenses incident to the provision of mental health counseling to and referral of individuals in a disaster area. Funds may not be used for long-term treatment.

Applicant Eligibility:

Applicants may be State or local nonprofit agencies as recommended by the State Governor and accepted by the Secretary.

Beneficiary Eligibility:

Individuals who were victims of major disasters.

Credentials/Documentation:

Costs will be determined in accordance with HHS Regulations 45 CFR Part 92 for State and local governments, must be used for this program.... 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms as Application for Federal Assistance SF-424 required by 45 CFR, Part 92 for State and local governments, must be used for this program. Application form (SF-424) is submitted by the State Coordinating Officer to the Regional Director, Federal Emergency Management Agency, no later than 60 days following declaration of a disaster. This program is subject to the provisions of 45 CFR 92 for State and local governments.

Award Procedure:

Applications are reviewed by an objective review committee and recommended for approval or disapproval. Final determination for an award is made by Federal Emergency Management Agency.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. 30 to 60 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance may be for 9 months with a possible extension of up to 90 days as approved by FEMA Regional Director. See the following for information on

how assistance is awarded/released: SAMHSA Grants Management Office notifies grantees through a Notice of Award (NoA).

Reports:

A progress report is due 90 days after the end of the budget period. The (SF-425) Federal Financial Report is required by SAMHSA, Division of Grants Management 90 days after the end of each 12 month period and 90 days after the final 12 month period. No cash reports are required. A final progress report is due 90 days after the end of the budget period. The project officer requires a quarterly budget expenditure report to determine the supplemental funding. The project officers monitors grantee's progress through frequent site visits and request for budget changes, etc.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, 45 CFR 74.26 requires that for-profit recipients and subrecipients have an audit performed in accordance with Government Auditing Standards or Circular No. A-133.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3 year period if audit findings have not been resolved.

Account Identification:

75-1363-0-1-551.

Obligations:

(Project Grants (Discretionary)) FY 16 \$19,459,721; FY 17 est \$7,927,718; and FY 18 est \$0

Range and Average of Financial Assistance:

\$694,600 to \$2,134,752; \$1,305,100.

TAFS Codes:

75-1362.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 10 awards were made. Fiscal Year 2017: It is estimated 6 awards will be made. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

See 44 CFR 205. Guidelines available in application kit. Disaster Assistance and Emergency Mental Health, HHS Publication No. (ADM) 76-327. HHS Grants Policy Statement, HHS (Rev.) January 1, 2007.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Director, Federal Emergency Management Agency in the appropriate regional office. (See Appendix IV of the Catalog.).

Headquarters Office:

Roger George 5600 Fisher Lane, Rockville, Maryland 20857 Email: roger.george@samhsa.hhs.gov Phone: 2402761418

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Presidential declaration of the disaster area. Justified need for supplementary services.

93.983 MARKET TRANSPARENCY PROJECT FOR HEALTH IT INTEROPERABILITY SERVICES COOPERATIVE AGREEMENT PROGRAM

Market Transparency Project

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Consolidated Appropriations Act, 2017, Pub. L. No. 115-31, Division H, Title II.

OBJECTIVES:

The purpose of this program is to increase market awareness and transparency associated with the wide range of costs associated with health IT interoperability services.

TYPES OF ASSISTANCE:

Cooperative Agreements; Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Contracts, Subgrants. Funds under this announcement cannot be used for the following purposes:

To supplant or replace current public or private funding.

To supplant on-going or usual activities of any organization involved in the project.

To purchase or improve land, or to purchase, construct, or make permanent improvements to any building.

To reimburse pre-award costs. 100%.

Applicant Eligibility:

Must have proven knowledge of and familiarity working with either Health IT interoperability services, developing online tools for crowd sourced reporting, or a combination of the two.

Beneficiary Eligibility:

Beneficiaries include healthcare CIOs, CFOs, contracting personnel, sales teams, EHR vendors, application developers, among many others that seek to determine fair market value and costs of various health IT interoperability services.

Credentials/Documentation:

If applicants intend to apply as a collaborative group, a list of confirmed and potential participants need to be included as part of the application; letters of commitment from confirmed participants will also be required. Applicants must be able to provide sufficient documentation that show their ability to comply with each of the required deliverables, including but not limited to a project plan addressing the SOW from the FOA and proposed timeline, budget proposal on how they would use the award money, existing experience working with crowdsourcing methodologies or how they plan to obtain such expertise, and list of key personnel, including a CV. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The successful applicants Authorized Representatives will receive the NGA electronically from ONC. The NGA is considered the official authorizing award document.

The NGA will include amount of funds awarded, the terms and conditions of the cooperative agreement, the effective date of the award, the budget period for which support will be given, the total project period timeframe, and the total approved budget.

Deadlines:

Jul 31, 2018 Contact the headquarters or regional office, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available through the period of performance set for the award, and 90 days after to reconcile and close out. Method of awarding/releasing assistance: lump sum.

Reports:

Awardees will be required to submit quarterly progress reports, as well as a program close-out report. Reports will be developed by ONC staff prior to award of the funds. Semi Annual Federal Cash Transaction Reports are required to be submitting to the Office of Procurement and Grants, as well as quarterly cash transaction reports. Awardees will be required to submit quarterly progress reports. Yes, expenditure reports will be required as a part of awardees quarterly progress reports, as well as a final financial and reconciliation report of award expenditures as part of the program close-out report. Awardees will be required to submit quarterly progress reports, as well as a program close-out report that should include lessons learned.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. If the entity has a total of \$750,000 federal funds expended in one year, this program must be rolled into the single audit.

Records:

financial, programmatic, statistical, and other pertinent records, as well as supporting documents.

Account Identification:

75-0130-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$250,000; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-17-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR PART 75 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR HHS AWARDS

Regional or Local Office:

None.

Headquarters Office:

Carmel Halloun 330 C Street SW, Washington, District of Columbia 20201
Email: carmel.halloun@hhs.gov Phone: 2027202919

Website Address:

<http://www.healthit.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a review panel comprised of no less than one (1) federal employees and subject matter experts (non-federal employees). Each proposal will receive a score based on strength and merit of their proposals, their ability to meet the scope of their proposed projects and the identified outcomes and objectives, and their use case. Selection criteria will include, but not be limited to: meeting the scope of work requirements, their evaluation and measurement plan, estimated cost of their proposal, if the applicants are

leveraging partnerships, and other criteria yet to be determined.

93.986 MEDICARE ACCESS AND CHIP REAUTHORIZATION ACT (MACRA) FUNDING OPPORTUNITY: MEASURE DEVELOPMENT FOR THE QUALITY PAYMENT PROGRAM

N/A

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Section 1848(s)(6) of the Social Security Act, as added by section 102 of the Medicare Access and Childrens Health Insurance Program Reauthorization Act of 2015.

OBJECTIVES:

In accordance with section 1848(s)(6) of the Social Security Act, as added by section 102 of the Medicare Access and Childrens Health Insurance Program Reauthorization Act of 2015, this funding opportunity will be used for developing, improving, updating or expanding quality measures for use in the Quality Payment Program under the Merit-Based Incentive Payment System and/or Advanced Alternative Payment Models. Recognizing the benefits of measure development by external stakeholders with.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funds are to be used for measure development activities to provide for a fully developed measure suitable for use in the CMS Quality Payment Program. Funds may be used to secure technical measure development expertise with external (to the recipient) experts. Funds should be used in accordance with generally accepted measure development principles. Funds are not to be used to further a recipients measure development activities for measures that are not developed in accordance with the recipients development plan such that the measure is no longer applicable to the priorities or needs of the Quality Payment Program. Funds shall not be used to procure a measure developer when that procurement divests the recipient of the accountability, stewardship and functions of measure development for this funding. Funding may be used to procure measure development expertise from a measure developer so long as the primary funding recipient maintains the accountability, stewardship and functions of measure development for this funding. Funds shall not be used to build or purchase permanent improvements to technology and resources for measure development (ex. hardware, software, and infrastructure) that exceeds more than 10% of total costs of the applicants proposed budget.

Applicant Eligibility:

Clinical specialty societies, clinical professional organizations, patient advocacy organizations, educational institutions, independent research organizations, health systems, and other entities engaged in quality measure development. For this funding opportunity, the above categories are referenced collectively as entity or entities. The language, engaged in quality measure development, pertains to each of the above referenced entities.

Entities must:

- 1) demonstrate, or partner with an organization with demonstrated, quality measure development expertise
- 2) be engaged in quality measure conceptualization, development, or evaluation
- 3) be involved in developing evidence-based clinical practice guidelines

Otherwise eligible entities must not be actively receiving federal funding for quality measure development, implementation, maintenance, or public reporting activities for quality measures. However, such an entity may be a sub-recipient on one or multiple applications.

Beneficiary Eligibility:

Quality Payment Program provides new opportunities to improve care delivery by supporting and rewarding clinicians as they find new ways to engage patients, families, and caregivers and to improve care coordination and population health management. The quality measures in MIPS and APMs serve as the mechanism of measuring the improved care delivery. With this funding opportunity, CMS is engaging eligible entities to develop the quality measures

as a way of enhancing the measure portfolio with additional measures for specialties. An enhanced measure portfolio helps to ensure that a higher percentage of providers can use quality measurement to improve their practice. This results in consumers making more informed decisions regarding their healthcare and overall health care quality improvements. The ultimate beneficiaries from this work are providers, consumers, healthcare stakeholders, and anyone interested in quality as the quality measures developed better reflect the complexity and diversity of our healthcare system and improve the quality of healthcare for everyone.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. N/A

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that will set forth the amount of the award and other pertinent information. The award will also include standard Terms and Conditions, and may also include additional specific cooperative agreement terms and conditions. Potential applicants should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the review panel.

The NoA is the legal document issued to notify the awardee that an award has been made and that funds may be requested from the HHS payment system. The NoA will be sent electronically to the Authorized Official and awardee organization as listed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Anticipated dates of awards for Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program is approximately 60 days after the application due date.

Appeals:

N/A.

Renewals:

N/A.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The award is available for up to a 3-year project period comprised of 3 separate 12-month budget periods. Funding will be issued each year through a non-competing continuation award based upon a demonstration of progress. Applicants may apply for a 1, 2, or 3 year project period. See the following for information on how assistance is awarded/released: Upon receiving a Notice of Award, recipients will receive money incrementally via, up to, three separate 12-month budget periods (also called non-competing continuation awards). Each year recipients will submit a progress report where they will be evaluated on their completion of the milestones. Funding will be issued each year through a non-competing continuation award based upon a demonstration of progress.

Reports:

No program reports are required. The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. All grantees must utilize the FFR to report cash transaction data, expenditures, and any program income generated. Grantees

must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF272A. Failure to submit the report may result in the inability to access funds. CMS may enlist a third party entity to assist CMS in monitoring the results and outcomes. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. A Quick Reference Guide for completing the FFR in PMS is at:

www.dpm.psc.gov/grant_recipient/guides_forms/ffr_quick_reference.aspx. The CMS Center for Clinical Standards and Quality will take an active and substantial role in the management, implementation, evaluation, and monitoring of the Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program awards. The activities funded under the cooperative agreement and their resulting recipient responsibilities will be part of performance tracking, measuring, and evaluation responsibilities of CMS. CMS will examine how the entities that receive funds used the money.

In addition to submitting the quarterly FFR to PMS, Grantees must also provide, on an annual basis, a report to be uploaded into Grant Solutions which includes their expenditures and any program income generated in lieu of completing a Financial Status Report (FSR) (SF269/269A). Expenditures and any program income generated should only be included on the annually submitted FFR, as well as the final FFR. Annual hard-copy FFRs should be mailed and received within 30 calendar days of the applicable year end date.

The final FFR should be uploaded in Grants Solutions within 90 calendar days of the project period end date. Awardees must agree to cooperate with any Federal evaluation of the project and performance results and provide. The CMS Center for Clinical Standards and Quality will take an active and substantial role in the management, implementation, evaluation, and monitoring of the Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program awards. The activities funded under the cooperative agreement and their resulting recipient responsibilities will be part of performance tracking, measuring, and evaluation responsibilities of CMS. CMS will examine how the entities that receive funds used the money.

In addition to submitting the quarterly FFR to PMS, Grantees must also provide, on an annual basis, a report to be uploaded into Grant Solutions which includes their expenditures and any program income generated in lieu of completing a Financial Status Report (FSR) (SF269/269A). Expenditures and any program income generated should only be included on the annually submitted FFR, as well as the final FFR. Annual hard-copy FFRs should be mailed and received within 30 calendar days of the applicable year end date. The final FFR should be uploaded in Grants Solutions within 90 calendar days of the project period end date. Awardees must agree to cooperate with any Federal evaluation of the project and performance results and provide required monthly, quarterly, semi-annual (every six months), annual and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will include how cooperative agreement funds were used, describe progress in their quality measure development work, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project reporting and technical assistance necessary to complete required report forms. All awardees will be required to cooperate in providing the necessary information to CMS and/or a CMS contractor for ongoing performance monitoring. The contractor would assist CMS in developing appropriate monitoring protocols and tracking performance across awardees and providing for rapid cycle evaluation and early detection of performance problems, including coordination between awardees and CMS and its other contractors. Performance monitoring will include but is not limited to monthly calls with CMS and/or a CMS contractor.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. N/A

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained by the grantee for a period of 3

years from the date of submission of the final SF-425 (FFR) except if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records shall be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

75-0511-0-1-551.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$10,000,000; and FY 18 est \$10,000,000 - N/A.

Range and Average of Financial Assistance:

The Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program Cooperative Agreements expect to award 5-10 agreements with a range of \$0-\$2,000,000 a year for up to 3 years. The expected total funding is \$10,000,000 a years for 3 years totaling \$30,000,000. This is a new program with no financial assistance awards (dollars) that were made in the past and current fiscal years.

TAFS Codes:

75-0511.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: N/A. Fiscal Year 2017: The program is new. It is anticipated that 30 applications will be received and 5-10 awards will be granted in fiscal year 2018. Fiscal Year 2018: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 1848(s)(6) of the Social Security Act, as added by section 102 of the Medicare Access and Childrens Health Insurance Program Reauthorization Act of 2015
2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards
45 CFR 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards (HHS regulation implementing OMB regulation 2 CFR 200)

Regional or Local Office:

None.

Headquarters Office:

Wilfred Agbenyike 7500 Security Boulevard, Woodlawn, Maryland 21244
Email: Wilfred.Agbenyikey@cms.hhs.gov Phone: 410-786-4399

Website Address:

<http://www.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.988 COOPERATIVE AGREEMENTS FOR STATE-BASED DIABETES CONTROL PROGRAMS AND EVALUATION OF SURVEILLANCE SYSTEMS

(DPCPs) Behavioral Risk Factor Surveillance System (BRFSS)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Section 301(a) 317(k)(3), Public Law USC-95-626; Public Health Service Act, Title 42, Section 243, 247B(k)(2).

OBJECTIVES:

Diabetes Prevention and Control Programs (DPCPs) are funded by the CDC's Division of Diabetes Translation to address the following national level goals:

- 1) Prevent diabetes.
- 2) Prevent the complications, disabilities, and burden associated with diabetes.

3) Eliminate diabetes-related health disparities.

4) Maximize organizational capacity to achieve the National Diabetes Program goals.

DPCPs aim to achieve system and population-level change and, ultimately, to improve health outcomes by coordinating and leveraging the efforts of the statewide diabetes community. They are responsible for establishing partnerships with key organizations in support of the national goals stated above, and for implementing and evaluating evidence-based interventions in three main areas: 1. Intervention #1: Improve quality of clinical care for populations with greatest diabetes burden and risk to improve control of A1c, blood pressure, and cholesterol, and to promote tobacco cessation. 2. Intervention #2: Increase access to sustainable self-management education and support services for populations with greatest diabetes burden and risk to improve control of A1c, blood pressure, and cholesterol, and to promote tobacco cessation. 3. Intervention #3: Increase use of lifestyle change programs that have achieved CDC recognition (or pending recognition) to prevent or delay onset of type 2 diabetes among people at high risk.

Assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders and other preventable health conditions. Provide specific health surveillance using telephone and multi-mode survey methodologies for the behaviors of the general population that contribute to the occurrences and prevention of chronic diseases, injuries and other public health threats. The collection, analysis and dissemination of BRFSS data to state and territorial health department categorical programs is used for assessing trends, directing program planning, evaluating program priorities, developing policies and targeting relevant population groups.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative Agreement funds may be used for costs associated with planning, implementing, and evaluating State based diabetes control programs. Cooperative Agreement funds may not be used for direct curative or rehabilitative services.

Cooperative Agreement funds may also be used for health surveillance around BRFSS activities.

Applicant Eligibility:

Eligible applicants are the official State and territorial health agencies of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa.

Beneficiary Eligibility:

State health agencies will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe evaluation procedures, and provide a budget with justification for funds requested. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments,

must be used for this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 4 months.

Appeals:

Not Applicable.

Renewals:

Same as Application Procedure.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25%. Matching funds are required from non-federal sources in the amount of not less than \$1 for each \$4 federal funds awarded to grantees.

No matching funds are required for the Health Surveillance BRFSS program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period: 5 years. Budget period: Approximately 12 months. All funds awarded should be spent by the end of the project period.

For BRFSS project period 3 years. Budget period approximately 12 months. All funds awarded should be spent by the end of the project period. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports are required annually. A plan of action is required annually. Cash reports are not applicable. Progress reports are required annually. A plan of action is required annually. Financial status reports are required no later than 90 days after the end of each specified funding period. Final financial status and progress reports are required 90 days after the end of a project. Progress reports are required annually. A plan of action is required annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreement program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0958-0-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Barbara Park, Program Evaluation Branch, Division of Diabetes Translation, National Center for Chronic Disease Prevention and Health Promotion, Centers for Health and Human Services, 1600 Clifton Road, NE., Atlanta, GA 30333. Telephone: (770) 488-1094. Grants Management Contact: Mildred Garner, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 488- 2745.

For BRFSS:

Richard Roman, Deputy Director, Division of Behavioral Surveillance, Public Health Surveillance and Informatics Program Office, Office of Surveillance, Epidemiology and Laboratory Services, CDC
1600 Clifton Road, MS E97
Atlanta, GA 30329
Phone: 404-498-0966
E-mail: RSR1@cdc.gov.

Headquarters Office:

Barbara Z Park, 2877 Brandywine Road
Williams Building, Atlanta, Georgia 30341 Email: BPark@cdc.gov Phone: (770) 488-1094 Fax: (770) 488-5966.

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Background and Need - the extent to which the applicant justifies the need for this program, including whether sufficient information is provided to describe the diabetes burden in the state, the state's environment, anticipated barriers to reducing the burden of diabetes, and plans to overcome barriers. Project Work Plan 1) Administration, Management, and Leadership - the extent to which the applicant describes plans to address recipient activities in the following categories that are realistic and feasible given the time and resources allocated: a) Five-year Strategic Plan, b) Leadership and Collaboration/Partnership, c) Training, Technical Assistance, and Consultation, d) Coordination of Efforts among Chronic Disease Prevention and Health Promotion Programs, e) Information Exchange, f) Sustainability, and g) Program Management, including the extent to which the staffing number and qualifications will support the objectives and activities of the program. 2) Surveillance, Analyses, and Evaluation - the extent to which the applicant describes plans to address recipient activities in these three categories that are realistic and feasible given the time and resources allocated. Accomplishments - the extent to which the applicant describes the program accomplishments within the past five and a half years, including the program's contributions to improving the current national objectives. Proven Capacity - the extent to which the applicant's narrative description of its past performance demonstrates its ability to manage fiscal resources, implement an effective management plan, and maintain and develop adequate leadership and staffing capacity to carry out the program objectives/activities.

For BRFSS, Applicants ability to describe the need for behavioral surveillance activities in their state jurisdiction and how health data will be used to improve prevention and health policy.

93.989 INTERNATIONAL RESEARCH AND RESEARCH TRAINING
Global Health Research and Research Training

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301 and 405, as amended; 42 U.S.C. 241

and 284.

OBJECTIVES:

The John E. Fogarty International Center (FIC) supports research and research training to reduce disparities in global health and to foster partnerships between U.S. scientists and their counterparts abroad. FIC supports basic biological, behavioral, and social science research, as well as related research training and career development. The research portfolio is divided into several programs that support a wide variety of funding mechanisms to meet programmatic objectives.

TYPES OF ASSISTANCE:

PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

Research and Research Training Grants provide funds for salaries, equipment, supplies, travel, tuition, career development activities and other expenses associated with research training and scientific investigation concerning global health issues. These grants are awarded to universities; colleges; medical, nursing and dental schools; hospitals; and other non-profit institutions. Awards include grants, cooperative agreements, international research training grants, and career development awards.

Applicant Eligibility:

In general, universities, colleges, hospitals, laboratories, Federal institutions and other public or private non-profit and for-profit domestic and foreign institutions, and State and local units of government are eligible to submit applications for research grants, research training grants, cooperative agreements, and career development awards. The grantee institution must agree to administer the grant in accordance with prevailing regulations and policies. The eligibility requirements may differ amongst FIC programs, based on the eligibility section found in our Requests For Applications (RFAs) and Program Announcements (PAs) at: <http://www.fic.nih.gov/Funding/Pages/default.aspx>.

Beneficiary Eligibility:

Usually any non-profit or for-profit organization, company or institution engaged in health and biomedical research.

Credentials/Documentation:

Applications for research grants must present the objectives, methodology, and resources for the specific research project, and must demonstrate the applicant's competence and interest. For training grants, the applicant's academic record, research experience, and the proposed area and plan of training must be included in the application. The applicant institution must present the objectives, training program, and resources for the research-training program, the research qualifications and experience of directing staff in training students (the criteria to be used in selecting individuals for awards), a detailed budget, and justification for the amount of grant funds requested. Costs will be determined in accordance with 45 CFR 75 and 2 CFR 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Standard application forms, as required by 45 CFR, Part 75, must be used for this program. Detailed instructions for submitting applications to our programs are provided in our Requests For Applications (RFAs) and Program Announcements (PARs) at: <http://www.fic.nih.gov/Funding/Pages/default.aspx>

Award Procedure:

Applications are reviewed initially for scientific and technical merit by a review group that is assembled by the Center for Scientific Review at the National Institute of Health. This is followed by a second level review by the Fogarty International Center's Advisory Board. Decisions to fund are then made by FIC program officers and FIC Executive Leadership based on reviews by the original review group and the Advisory Board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

Grants: From six to nine months.

Appeals:

A Principal Investigator (PI) may question the substantive or procedural aspects of the initial scientific review of his or her application by communicating with FIC staff.

Renewals:

Non-competing renewal applications may be made for all multi-year awards. Applicants must submit their Research Performance Progress report (RPPR) approximately two months before the end of the last budget period. Competing renewal applications may be submitted only if allowed in the RFA or PAR.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. N/A.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Approval of a project includes determination of support for the authorized project period (not to exceed five years). Awards to support the project are usually made on an annual basis. At the time of initial award, the grant provides funds for the conduct of the project during the first budget period (usually 12 months) and the Notice of Award (Form PHS-1533) indicates the support recommended and expected to be made available for the remainder of the project beyond the approved initial project period. Funds are disbursed via the Payment Management System Electronic Transfer System for domestic and foreign grantees. Loan Repayment Program Awards are for two years with the opportunity for renewal. See the following for information on how assistance is awarded/released: The grantee institution is provided a notice of award with the dollar amount and the terms and conditions of the award.

Reports:

For most projects, Federal Financial Reports (FFR), Form SF425, must be filed 90 days after each competitive segment is completed. Reports must be provided to FIC staff in accordance with the CFR Title 42 Part 52 - Grants for Research Projects and the CFR Title 45 Part 75 - Uniform Administrative Requirements for grants and cooperative agreements. Cash reports are not applicable. The grantee is responsible for annual progress reports. These reports are required for program analysis as part of all continuation applications. In addition, a final report must be filed within 120 days of the termination of the grant. The final report includes an account of progress made toward achievement of the originally stated aims; a list of the significant results; and a list of publications and inventions resulting from the projects. All grantees should use the Research Performance Progress Reporting (RPPR) forms to submit the annual progress report. For the final projects report and the final FFR at the end of the project period, the RPPR format is highly recommended, but the older 2590 form may be used for all grants and cooperative agreements. Performance is monitored annually by the NIH/Fogarty Center program staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FFR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FFR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FFR to NIH. See 45 CFR 75 for exceptions and qualifications to the 3-year retention requirement

(e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 75 for record retention and access requirements for contracts under grants.

In accordance with 45 Code of Federal Regulations, Part 75, the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0819-0-1-552.

Obligations:

(Project Grants (including individual awards)) FY 16 \$50,563,458; FY 17 est \$51,397,157; and FY 18 est \$52,168,108

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0819.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: FY16 \$50,563,458

FY17 \$51,397,157

FY18 \$52,168,108. Fiscal Year 2017: In FY2017, FIC made six awards for the Fogarty Global Health Training Program (D43), with NIH partners at NIMH, NINDS, ORWH, and NHLBI. Built upon the successes of the historic Global Health Program for Fellows and Scholars, this new program provides opportunities for pre-doctoral/pre-professional degree students and post-doctoral/post-professional degree students from the U.S. and LMICs to spend a year of mentored research at an established research site in an LMIC. The FY17 class has 86 trainees with expertise covering a range of medical, nursing, and veterinary disciplines.

Fogarty, with partners in the National Cancer Institute (NCI) and the Office of Behavioral Social Science Research (OBSSR), also made new awards in its International Tobacco: Health Research and Capacity Building program (R01). This program supports trans-disciplinary research on the international tobacco epidemic, and focuses on reducing the burden of morbidity and mortality caused by tobacco use in LMICs. Fiscal Year 2018: FIC has published three concepts on their website of potential new programs for FY18. These include the Role of Stigma in HIV/AIDS Prevention, Treatment and Care in Low and Middle-Income Countries; The Partnership Initiative for Health Professional Education and Research in Selected PEPFAR Countries; and the African Association for Health Professional Education and Research. The latter two concepts follow from the very successful Medical Educational Partnership Initiative (MEPI) program supported by PEPFAR and administered through FIC at NIH and HRSA from 2012-2016, which supported leading African Medical Universities to improve the quality, quantity and retention of medical personnel to address the ongoing HIV epidemic in Africa.

Fogarty anticipates that it will continue to make new awards in its ongoing programs in International Research Ethics Education and Curriculum Development, Global Infectious Diseases Research Training, mHealth Research Exploratory Awards, HIV/AIDS Research Training, US and LMIC individual career development awards, Brain Disorders across the Lifespan Research, and others. Information on all our programs is available on our website: www.fic.hih.gov.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for these awards are outlined in the following: the grant program legislation, terms and conditions cited in the Notice of Grant Award; the restrictions on the expenditure of Federal funds in appropriations acts, to the extent those restrictions are pertinent to the award, 45 CFR Part 75, as

applicable; and the NIH Grants Policy Statement.

Regional or Local Office:

None.

Headquarters Office:

Flora Katz Building 31, Room B2C39
31 Center Drive, MSC 2220, Bethesda, Maryland 20892 Email:
flora.katz@nih.gov Phone: 301-496-1653

Website Address:

<http://www.fic.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessment of: (1) the scientific merit and general significance of the proposed study or research training program and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to the announced program objectives. All pertinent evaluation criteria are provided in the RFAs and PAs.

93.990 NATIONAL HEALTH PROMOTION

APTR

FEDERAL AGENCY:

Office of Disease Prevention and Health Promotion, Department of Health and Human Services

AUTHORIZATION:

Title III of the U.S. Public Health Service Act: 42 U.S.C. Section 301, also Section 1110 of the Social Security Act (42 U.S.C. 1310), along with funds appropriated under 42 U.S.C. 300U-6, Section 1707 of the Public Health Service Act, as amended., 42 U.S.C 300u-6.

OBJECTIVES:

To engage national membership organizations from various sectors as a means of expanding and coordinating health promotion efforts. To promote the development, implementation and coordination of programs that promote good health habits and programs that are designed to prevent disease and disability. These objectives are consistent with the General Authorities set forth in Sections 1701(a) and 301 of the Public Health Service Act.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance must be provided to satisfy program needs of the National Health Promotion Program, including but not limited to: (1) identifying or developing materials for health promotion programs for use by schools, medical treatment sites, worksites, and community health promotion programs; (2) adding to the scientific data base, especially to fill gaps identified in the "Healthy People 2020: National Health Promotion and Disease Prevention Objectives"; and (3) identifying the needs of special population groups, such as Blacks, Hispanics, Asian/Pacific Islanders, American Indians/Alaska Natives, those with disabilities or special health care needs, and elderly Americans. Assistance must be provided to satisfy program needs of the National Health Promotion Program, including but not limited to: (1) identifying or developing materials for health promotion programs for use by schools, medical treatment sites, worksites, and community health promotion programs; (2) adding to the scientific data base, especially to fill gaps identified in the "Healthy People 2020: National Health Promotion and Disease Prevention Objectives"; and (3) identifying the needs of special population groups, such as Blacks, Hispanics, Asian/Pacific Islanders, American Indians/Alaska Natives, those with disabilities or special health care needs, and elderly Americans. Assistance must be provided to satisfy program needs of the National Health Promotion

Program, including but not limited to: (1) identifying or developing materials for health promotion programs for use by schools, medical treatment sites, worksites, and community health promotion programs; (2) adding to the scientific data base, especially to fill gaps identified in the "Healthy People 2020: National Health Promotion and Disease Prevention Objectives"; and (3) identifying the needs of special population groups, such as Blacks, Hispanics, Asian/Pacific Islanders, American Indians/Alaska Natives, those with disabilities or special health care needs, and elderly Americans.

Applicant Eligibility:

This program is no longer funded. The grant award ended on August 31, 2017.

Beneficiary Eligibility:

This program is no longer funded. The grant award ended on August 31, 2017.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All grant announcements are posted on www.grants.gov.

Award Procedure:

N/A. The program is no longer funded.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

N/A. The program is no longer funded.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

N/A. This program is no longer funded. See the following for information on how assistance is awarded/released: N/A. This program is no longer funded.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 75.361, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other

action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 16 \$100,000; FY 17 est \$100,000; and FY 18 Estimate Not Available - Grant program ending 8/31/2017.

Range and Average of Financial Assistance:

Up to \$200,000.

TAFS Codes:

75-10-10-11-0117.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: This project provided disease prevention and health promotion educational and training opportunities for medical and public health students, preventive medicine and primary care residents, practicing physicians and public health professionals. Fiscal Year 2017: This project will continue to provide disease prevention and health promotion educational and training opportunities for medical and public health students; preventive medicine and primary care residents; and practicing physicians and public health professionals. Fiscal Year 2018: Program no longer funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact:
Tiffani Kigenyl, Public Health Analyst
Office of Disease Prevention and Health Promotion, 1101 Wootton Parkway,
Suite LL 100, Rockville, MD 20852, Telephone (240) 453-8280.

Grants Management Contact:
Office of Grants Management,
DeWayne Wynn, Grants Management Specialist
1101 Wootton Parkway, Suite 550,
Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building, Suite 550, 1101 Wootton Parkway, Rockville,
Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.healthypeople.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.991 PREVENTIVE HEALTH AND HEALTH SERVICES BLOCK GRANT

(PHHS Block Grants)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, as amended; Omnibus Budget Reconciliation Act of 1981, Title XIX, Section 905, Public Law 97-35, as amended; Preventive Health Amendments of 1984, Public Law 98-555; Health Omnibus Programs Extension Act of 1988, Public Law 100-607; Preventive Health Amendments of 1992, Public Law 102-531.

OBJECTIVES:

To provide States with the resources to improve the health status of the population of each grantee by: (A) conducting activities leading to the accomplishment of the most current Healthy People objectives for the nation; (B) rapidly responding to emerging health threats; (C) providing emergency medical services excluding most equipment purchases; (D) providing services for sex offense victims including prevention activities; and (E) coordinating related administration, education, monitoring and evaluation activities.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Except as described below, Block Grant funds may be used for preventive health service programs for: (A) Activities to achieve improvements in the health status of populations through achievement of the most current Healthy People health objectives for the nation; (B) preventive health service programs for the control of rodents and for community and school-based fluoridation programs; (C) feasibility studies and planning for emergency medical services systems and the establishment, expansion, and improvement of such systems; Funds may not be used to operate emergency medical services systems or to purchase more than 50 percent of the cost of communications equipment for such systems.(D) providing services to victims of sex offenses and prevention of sex offenses; (E) the establishment, operation, and coordination of effective and cost-efficient systems to reduce the prevalence of asthma and asthma related illnesses, especially among children; (F) related planning, administration, and educational activities; and (G) monitoring and evaluation activities related to (A) through (F).

Applicant Eligibility:

Only State and U.S. Pacific Territorial governments, the District of Columbia, the Kickapoo Tribe of Kansas and the Santee Sioux Tribe of Nebraska are eligible for Preventive Health and Health Services Block Grants.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program with special attention to disparately affected populations.

Credentials/Documentation:

Applications shall contain certification by the CEO of the State that the State has complied with provisions of and will meet the requirements of PHS Act, Title XIX, Section 1905, and Title XVII, Chapter 2 of the Omnibus Reconciliation Act of 1981. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Each State shall submit an application to the funding agency. Specific format is required, and forms are provided.

Award Procedure:

Applications are reviewed for completeness and for compliance with legislative requirements. Award is made to the applicant by the Centers for Disease Control and Prevention.

Deadlines:

Oct 01, 2016 Applications will be accepted beginning on October 1 of the eligible fiscal year.

Range of Approval/Disapproval Time:

From 15 to 30 days. About 3 weeks.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each allotment is available for obligations over a 24-month period; payments are made through the Electronic Transfer System. See the following for information on how assistance is awarded/released: Each allotment is available for obligations over a 24-month period; payments are made through the Electronic Transfer System.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Yearly audits required.

Records:

Each State must maintain records which are consistent with their State laws and requirements.

Account Identification:

75-0943-0-1-551.

Obligations:

(Formula Grants) FY 16 \$0; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

\$21,258 to \$7,026,219; \$1,572,013. (Note: A formula based on fiscal year 1981 allocations to States is used for the annual basic grant allocation.)

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No data available. Fiscal Year 2017: No data available. Fiscal Year 2018: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 Code of Federal Regulations, Part 96 (Published in the Federal Register on July 6, 1982 and revised in the Federal Register, October 13, 1987).

Regional or Local Office:

None.

Headquarters Office:

Andrea Wooddall 2500 Century Pkwy, Atlanta, Georgia 30329 Email: ayw3@cdc.gov Phone: (404) 498-6792

Website Address:

<http://www.cdc.gov/phhsblockgrant>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.994 MATERNAL AND CHILD HEALTH SERVICES BLOCK GRANT TO THE STATES

MCH Block Grants

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title V, Section 501(a) (1), as amended.

OBJECTIVES:

To enable States to improve the health and well-being of the Nation's mothers, children and families by supporting and promoting the development and coordination of systems of care for the maternal and child health population, particularly vulnerable populations who do not have access to adequate health care.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States may use funds to develop systems of care for the provision of health services and related activities, including planning, administration, education and evaluation consistent with the State's annual application. Funds may not be used for (1) Inpatient services other than those provided to children with special health care needs or to high-risk pregnant women and infants and such other inpatient services as the Secretary may approve; (2) cash payments to intended recipients for health services; (3) purchase and improvement of land, construction or permanent improvement of buildings or purchase of major medical equipment; (4) matching other Federal grants; or (5) providing funds for research or training to any entity other than a public or private nonprofit entity. Beginning in FY 1991, States must use at least 30 percent of their Federal allotment for preventive and primary care services for children, and at least 30 percent for services for children with special health care needs. In addition, each State must establish and maintain a toll-free information number for parents on maternal and child health (MCH) and Medicaid providers. No more than 10 percent of each State's allotment may be used for administration.

Applicant Eligibility:

Title V MCH Block Grants are limited to States and insular areas.

Beneficiary Eligibility:

Mothers, infants, children, including CSHCN, and their families, particularly those of low-income.

Credentials/Documentation:

Application guidance for this program is approved by the Office of Management and Budget every three years. Applicants review the current Guidance for requirements in applying annually to receive Title V Maternal and Child Health Block Grant funding. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination between a State Maternal and Child Health Agency and other State and local entities within the State is at the discretion of the State. States are required to have mechanisms in place for obtaining public input and feedback on the development of their Title V Maternal and Child Health Block Grant applications and Title V supported programs. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through its Electronic Handbooks (EHB).

As a Block Grant to States, allocations are determined by legislative formula.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Jul 15, 2017: Applications are due to HRSA by COB on July 15 of each year. (If the application due date falls on a Saturday or Sunday, the deadline will be extended to the following Monday.).

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Funds will be made available annually to any State submitting an acceptable

application.

Formula and Matching Requirements:

Statutory Formula: Title V Section 502(c), Public Law Social Security Act. Subject to 45 CFR Part 96.

Matching Requirements: For each quarter, the Secretary shall make payments of an amount equal to 4/7 of the total of the sums expended by the State during that quarter.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds are awarded each fiscal year in quarterly installments and remain available for expenditure for the current and subsequent fiscal year. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit annually an Application/Annual Report as well as federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a final electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 120 days of the end of the grant period. New awards (Type 1) issued under this funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Cash reports are not applicable. Cash reports are not applicable. Please refer to program reports above. Please refer to program reports above. Please refer to program reports above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

(Formula Grants) FY 16 \$538,256,696; FY 17 est \$539,545,601; and FY 18 est \$535,838,384

Range and Average of Financial Assistance:

(FY 2016 Awards) Range = \$145,678 - \$39,040,391; Average = \$9,144,841.

TAFS Codes:

75-0354.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 59 Awards. Fiscal Year 2017: 59 awards. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 96 as applicable and individual State Regulations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose

of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Division of State and Community Health, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18N110, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2204.

Headquarters Office:

Division of State and Community Health, 5600 Fishers Lane, Room 18N110, Rockville, Maryland 20857 Phone: (301) 443-2204.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.997 ASSISTED OUTPATIENT TREATMENT

Assisted Outpatient Treatment Grant Program for Individuals with Serious Mental Illness (Short title: Assisted Outpatient Treatment [AOT])

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Protecting Access to Medicare Act, of 2014, Section 224, Public Law 113-93, 128 Stat. 1083, 42 U.S.C USC 290aa.

OBJECTIVES:

In April of 2014, Congress passed the Protecting Access to Medicare Act of 2014 (PAMA), which authorized a four year pilot program to award grants for Assisted Outpatient Treatment (AOT) programs for individuals with serious mental illness. The goal of the pilot program is to improve the health and social outcomes for the individuals served in the program such as increasing healthcare utilization, improving behavioral health and other health outcomes, and reducing rates of homelessness and incarceration. The AOT program will prepare and execute evidence-based, recovery oriented, and person-centered treatment plans with consumer input, provide case management and evidence-based services that support the individual and the treatment plan, ensure individuals are made aware of criteria for AOT completion, and ensure appropriate referrals to medical and social services providers based on the individuals needs.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds (including direct costs and indirect costs) may be used only for expenses clearly related and necessary to carry out approved activities. Funds (including direct costs and indirect costs) may be used only for expenses clearly related and necessary to carry out approved activities.

Applicant Eligibility:

states, counties, cities mental health systems (including state mental health authorities), mental health courts, or any other entity with authority under the law of the state in which the applicant grantee is located to implement, monitor, and oversee AOT programs.

Beneficiary Eligibility:

States, counties, cities mental health systems (including state mental health authorities), mental health courts, or any other entity with authority under the law of the state in which the applicant grantee is located to implement, monitor, and oversee AOT programs.

Credentials/Documentation:

Applicants must provide proof of licensure, accreditation, certification, or chartering to provide substance abuse and/or mental health services or such

other credential documentation as specific for a particular topical area. 2. CFT 200, Subpart E Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Standard application forms, Application for Federal Assistance SF-424 as required by 45 CFR Part 92 for State.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project will be funded for up to 4 years. Payments will be made under an Electronic Transfer System. See the following for information on how assistance is awarded/released: Application will be accepted for a project period of 1 to 4 years with 12 month budget periods. Annual awards will be made subject to continued availability of funds and progress achieved.

Reports:

Semi-annual progress reports are required by the program officials. No cash reports are required. Semi-annual progress reports are required by the program officials. The (SF-425) Federal Financial Report is required by SAMHSA, Division of Grants Management 90 days after the end of each 12 month period and 90 days after the final 12 month period. SAMHSA monitors the grant program through Government Performance and Result Act (GPRA Government Project Officer), conference calls, site visits, and semi-annual reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if the final audit has not been done or findings resolved.

Account Identification:

75-1363-0-1-551.

Obligations:

(Project Grants (Discretionary)) FY 16 \$12,429,662; FY 17 est \$12,599,566; and FY 18 est \$12,590,399

Range and Average of Financial Assistance:

Up to \$1,000,000.

TAFS Codes:

75-1362.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 16 awards were made. Fiscal Year 2017: It is estimated 16 awards will be made. Fiscal Year 2018: It is estimated 16 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 75 and the HHS Grant Policy Statement, terms and conditions of award.

Regional or Local Office:

None.

Headquarters Office:

Roger George 5600 Fishers Lane, Rockville, Maryland 20857 Email: roger.george@samhsa.hhs.gov Phone: 2402761418

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications submitted related to merit, peer review criteria scored, and generally funded in score order.

93.998 AUTISM AND OTHER DEVELOPMENTAL DISABILITIES, SURVEILLANCE, RESEARCH, AND PREVENTION

Autism and Other Developmental Disabilities

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Executive Order 317(k)(2), Title 42, Part 241(a), Section 301(a), 42 U.S.C 247b(k)(2). , Title 42, Part 241(A), Section 301(a).

OBJECTIVES:

This funding supports State health agencies, universities, and public and private nonprofit organizations in planning, implementing, coordinating, or evaluating programs related to autism and other developmental disabilities and the improvement of infant and child health and developmental outcomes. Programs may include the following activities: conducting epidemiologic research or surveillance; providing a national, State or local platform in the area of autism and developmental disabilities prevention that focuses on improving early identification of children with autism and other developmental disabilities so children and families can get the services and support they need; enhancing existing research or surveillance programs to better address research questions, monitor changes in prevalence and contributing factors, and inform policy and program activities; employing epidemiological methods to set priorities, build capacity and direct health promotion interventions for persons with autism and other developmental disabilities; disseminating data and health information; employing strategies to reduce racial/ethnic disparities in the identification of autism and other developmental disabilities; and expanding public health training opportunities through fellowships to introduce geneticists, epidemiologists, and clinicians to public health practice through education, training and career-enhancing experiences.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to strengthen, expand, build upon or enhance research, surveillance, health promotion, education, and prevention/intervention activities that contribute to the mission and activities of the Division of Congenital and Developmental Disabilities. Funds may be used to improve and strengthen State and local public health infrastructure by providing technical assistance to other appropriate health agencies, organizations, special groups or coalitions. Funds may be used to support capacity building, program planning, development,

implementation, evaluation, and surveillance for autism and other developmental disabilities, and infant and child health and developmental outcomes, including related health promotion, education and training, and prevention/intervention. Funds may also be used to coordinate the dissemination of prevention information to the general public, target populations, state and local agencies, and public and private sector organizations in the United States. Funds may not be used for direct curative or rehabilitative services.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

State; Consumer; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organizations and others.

Credentials/Documentation:

Credentials/Documentation (083):

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

After review and approval, a Notice of Award from the CDC Office of Grant Services is prepared and signed by an authorized Grants Management Officer and mailed to the recipient officer identified in the application, along with appropriate notification to the public. The initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Cooperative agreement awards and grants, competitive and single eligibility, are determined by an internal objective committee review process at CDC, including peer reviews for research activities. Awards will be based on evaluation criteria set forth in the respective Notice of Funding Opportunity, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Office of Grant Services, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. Throughout project periods, CDC's commitment to continuation of awards will be conditioned on the availability of funds and evidence of satisfactory progress by recipient as documented in the required reports. If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 4 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for 12-month budget periods within project periods ranging from 1 to 5 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semiannual or annual progress reports are required. Annual Progress reports and federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial reports and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Funding Opportunity Announcements. Final federal financial reports and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with the HHS Grants Policy Statement requirements.

Account Identification:

75-0958-1-1-550.

Obligations:

(Cooperative Agreements) FY 16 \$5,624,841; FY 17 est \$5,099,939; and FY 18 est \$5,099,939

Range and Average of Financial Assistance:

FY17/18 range is depended upon funding availability. Award amount range is \$75,000-\$800,000.

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit. The HHS Grants Policy Statement can be found online at:
<http://www.hhs.gov/asrt/og/grantinformation/hhsgps107.pdf>

Regional or Local Office:

None.

Headquarters Office:

Bruce Heath 4770 Buford Hwy., MS-E86, Atlanta, Georgia 30341 Email: rbh5@cdc.gov Phone: 404-639-1938

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In general, applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and merit of the overall application relative to the types of projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

94.002 RETIRED AND SENIOR VOLUNTEER PROGRAM

RSVP

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Title 2, Part A, Section 201, Public Law 93-113, 42 U.S.C 5001.

OBJECTIVES:

The Retired and Senior Volunteer Program (RSVP) provides grants that support volunteers 55 years and older serving in a diverse range of activities that meet specific community needs and respond to the National Performance Measures.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Volunteers are not to supplant hiring, displace employed workers, or impair existing contracts for service. No agency supervising volunteers may request or receive compensation for services of the volunteers. Volunteers may not be involved in and funds may not be used for religious activities, labor or anti-labor organizations, lobbying, or partisan or non-partisan political activities. Grants may be used for staff salaries and fringe benefits, staff travel, equipment, and related expenses, and for volunteer out-of-pocket expenses, primarily for transportation. In addition, eligible agencies or organizations may, with a Notice of Grant Award from the Corporation for National and Community Service (CNCS), receive technical assistance and materials to aid in establishing and operating non-CNCS funded RSVP projects using local funds. Refer to the program's Notice of Federal Funding for additional information for uses and restrictions including the prohibited activities. Restrictions on use of funds are described in the program's Notice of Funding Availability/Opportunity. Use of funds must be consistent with the funded application, and are subject to the Corporation for National and Community Service's grants administration laws, regulations and policies. All appropriated funds awarded under this program are discretionary.

Applicant Eligibility:

See the program's Notice of Funding Availability/Opportunity.

Beneficiary Eligibility:

Persons age 55 and older who are willing to volunteer on a regular basis by serving in a diverse range of activities that meet specific community needs. See the program's Notice of Federal Funding for additional information.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. Please program's Notice of Funding Availability/Opportunity. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for

coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See program's Notice of Funding Availability/Opportunity and application instructions for information on award procedures.

Award Procedure:

See programs Notice of Funding Availability/Opportunity and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. See programs Notice of Funding Availability/Opportunity.

Appeals:

No formal appeals for denial of initial grant application, but regulations provide for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding. See programs Notice of Funding Availability/Opportunity.

Renewals:

From 120 to 180 days. See programs Invitation to Apply.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The RSVP sponsor is required to match funds in part for their projects through local, non-federal contributions. The required local contribution in Year 1 of the grant is at least 10 percent of the total project budget. The required portion is at least 20 percent in Year 2, and at least 30 percent in Year 3 and subsequent years, if the grant is renewed beyond three years.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Cash management reports are required. The Corporation for National and Community Service uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. The Corporation for National and Community Service collects Federal Financial Reports (FFR). FFRs are collected electronically by the HHS Payment Management System for the Corporation for National and Community Service. The Corporation for National and Community Service and its grant recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Corporation for National and Community Services grants are subject to audit by Corporation for National and Community Service employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records

including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by the Corporation for National and Community Service must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2728-1-2-000.

Obligations:

(Project Grants (Discretionary)) FY 16 \$47,854,586; FY 17 est \$47,135,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

The range of financial assistance ranges from \$0 to \$728,668. The average amount of financial assistance requested is \$80,239.

TAFS Codes:

95-17-18-2728-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Corporation for National and Community Service (CNCS) announced more than \$870,000 in Senior Corps RSVP funding to support senior volunteer service at 16 community nonprofits and organizations across the country. These RSVP projects will leverage the experience and skills of more than 3,000 Senior Corps RSVP volunteers who will serve in schools, disaster response initiatives, veteran's organizations, and other areas.

There were 23 funding opportunities (geographic service areas) open for the 2017 RSVP Limited Geographic Area Competition. Nineteen applications were reviewed for 17 funding opportunities. A total of 16 applications were awarded for funding.

In FY 2016, the entire RSVP portfolio had more than 208,000 Senior Corps RSVP volunteers serving over 46 million hours across 627 federally-funded RSVP projects. Fiscal Year 2017: The Corporation for National and Community Service (CNCS) anticipates approximately \$3 million for the 2017 RSVP Expansion Competition for approximately 40 grants. The award amount will vary, as determined by the scope of the RSVP project. CNCS expects to make awards of a minimum of \$75,000. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

See programs Notice of Funding Availability/Opportunity or Invitation to Apply for specific regulations and guidelines.

Regional or Local Office:

See Regional Agency Offices. CNCS maintains state offices in most States. Refer to the CNCS website for address and contact information: <https://www.nationalservice.gov/about/contact-us/state-offices>.

Headquarters Office:

Tamika Becton Corporation for National and Community Service Senior Corps - RSVP
250 E Street, SW, Washington, District of Columbia 20525 Email: tbecton@cns.gov Phone: (202) 606-5000

Website Address:

<https://www.nationalservice.gov/programs/senior-corps>

RELATED PROGRAMS:

94.011 Foster Grandparent Program; 94.016 Senior Companion Program; 94.017 Senior Demonstration Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the programs Notice of Funding

Availability/Opportunity or Invitation to Apply.

94.003 STATE COMMISSIONS

Commission Support Grants

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

National and Community Service Act of 1990, as amended; Edward M. Kennedy Serve America Act., Public Law 111-13.

OBJECTIVES:

To develop a State plan, to assist States in the application process, and to provide oversight of funded AmeriCorps programs within each State. The funds enable States to form a 15 to 25 member, independent, bipartisan commission appointed by a governor to implement service programs in their State.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are used to operate a commission to oversee service programs. Restrictions on use of funds are described in programs Application Instructions. Use of funds must be consistent with funded application, and are subject to the Corporation for National and Community Services (CNCS's) grants administration laws, regulations and policies. Applications are limited to State Service Commissions (or approved alternative administrative entities) or partnerships/consortia of State Service Commissions. All appropriated funds awarded under this program are discretionary.

Applicant Eligibility:

Eligibility is limited to State or Territory Commissions for national service. State governments may house or form non-profit organizations as commissions to receive awards. Use of assistance must be consistent with funded approved grant application.

Beneficiary Eligibility:

States will benefit. See programs Application Instructions.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For final procedures contact the Headquarters Office.

Award Procedure:

See programs Application Instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. The range is from one to two months.

Appeals:

CNCS does not offer an appeals process.

Renewals:

See programs Application Instructions.

Formula and Matching Requirements:

Statutory Formula: Funding is based on a population based formula mandated by statute.

Matching Requirements: Percent: 50%. See programs Application Instructions.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of one year; funding must be obligated during the award project period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Cash management reports are required. CNCS uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. CNCS collects Federal Financial Reports (FFR). FFRs are collected electronically by the HHS Payment Management System for CNCS. CNCS and its grant recipients are required to practice risk-based monitoring, and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. CNCS grants are subject to audit by CNCS employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by CNCS must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2720-0-1-506.

Obligations:

(Project Grants) FY 16 \$130,613,495; FY 17 est \$128,804,807; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Range may vary based on annual appropriations and population based formulas. Range has been from \$250,000 to \$755,810 over the course of the program.

TAFS Codes:

95-16-17-2728-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Application Instructions. For detailed information contact the Headquarters Office.

Regional or Local Office:

None.

Headquarters Office:

Jill Graham 250 E Street, SW, Washington, District of Columbia 20525 Email: jgraham@cns.gov Phone: 2026066905

Website Address:

<http://www.nationalservice.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

94.006 AMERICORPS

AmeriCorps State and National

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

The National and Community Service Act of 1990, as amended, 42 Stat. 12501 et seq.; Edward M. Kennedy Serve America Act, Public Law 111-13, 42 U.S.C 12501 note.

OBJECTIVES:

AmeriCorps grants are awarded to eligible organizations that identify an unmet need in their community that will be addressed by AmeriCorps members that the organization recruits, trains, and manages. An AmeriCorps member is an individual who is enrolled in an approved national service position and engages in community service. Members may receive a living allowance and other benefits while serving. Upon successful completion of their service, members receive an education award from the National Service Trust.

AmeriCorps grant funding is distributed to Governor-appointed State Commissions and multi-state grantees. State Commissions award subgrants to organizations in their states, and the multi-state grantees work through operating sites in more than one state. These organizations recruit AmeriCorps members to respond to local needs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to operate or plan national and community service programs. Included in the Notice of Federal Funding and application instructions. Use of funds must be consistent with the funded application, and are subject to the Corporation for National and Community Services (CNCS's) grants administration laws, regulations, and policies. All appropriated funds awarded under this program are discretionary.

Applicant Eligibility:

Use of assistance must be consistent with the funded approved grant application. See programs Notice of Funding.

Beneficiary Eligibility:

Beneficiaries must be identified within application for assistance.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See programs Notice of Federal Funding and application instructions for information on other application procedures.

Award Procedure:

See programs Notice of Federal Funding and application instructions for information on other award procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

CNCS does not offer an appeals process.

Renewals:

See program's Notice of Federal Funding.

Formula and Matching Requirements:

Statutory Formula: See program's Notice of Federal Funding for matching information.

Matching Requirements: Match is 24 percent for the first three-year funding period. Starting with year four, the match requirement gradually increases every year to 50 percent by year ten and beyond.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Cash management reports are required. CNCS uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. CNCS collects Federal Financial Reports (FFRs). FFRs are collected electronically by the HHS Payment Management System for CNCS. CNCS and its grant recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. CNCS grants are subject to audit by CNCS employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by CNCS must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2728-1-6-000.

Obligations:

(Project Grants) FY 16 \$229,068,630; FY 17 est \$228,723,609; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

See Notice of Federal Funding.

TAFS Codes:

95-16-17-2728.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the Notice of Federal Funding.

Regional or Local Office:

See Regional Agency Offices. A list has been included in Appendix IV of the Catalog. State Commissions should also be contacted.

Headquarters Office:

Jill Graham 250 E Street, SW, Washington, District of Columbia 20525 Email: jgraham@cns.gov Phone: 2026066905

Website Address:

<http://www.nationalservice.gov/programs/amerikorps/amerikorps-programs/amerikorps-state-and-national>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Most AmeriCorps grants are operational grants made on a standard cost reimbursement basis. Some of the CNCS grants or state subgrants are awarded for a fixed amount per full-time AmeriCorps member (with the amount of funds being contingent on how many members are enrolled and complete their terms of service). In addition, some AmeriCorps grantees or subgrantees receive Education Award Program (EAP) grants of a small fixed-amount for each member enrolled or no operational funding (CNCN provides only the Segal AmeriCorps Education Awards to AmeriCorps members who successfully complete their terms of service). Under each form of grant, organizations receive only a portion or none of the total funding needed to operate the program (which may include the members living allowance and other program costs). The organization must use other resources to cover the costs for operating the AmeriCorps program. A copy of submitted applications can be found on the website

<https://www.nationalservice.gov/about/open-government-initiative/transparency/results-grants-competition>. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the program's Notice of Federal Funding.

94.007 PROGRAM DEVELOPMENT AND INNOVATION GRANTS

Basic Innovative Grants

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

The National and Community Service Act of 1990, as amended, Executive Order Public Law 101-610, Nov 16, 1990, Title 1, 98, as added and amended by Public Law 103-82, Title 1, 104 (c), Title IV, 405 (p) (2), Sept. 21, 1993, 107 Stat. 840, 922; Public Law 103-304, 3 (a), (b) (6), Aug. 23, 1994, 108 Stat. 1566, 1568; Public Law 105-354, 2 (b), Nov. 3, 1998, 112 Stat. 3244; Public Law 111-13, Title I, 1802, Apr. 21, 2009, 123 Stat. 1552 (Serve America Act H.R. 1388), Public Law 110-610.

OBJECTIVES:

Innovation grants support innovative demonstration service programs that build the ethic of service among Americans of all ages and backgrounds. These include the September 11th and Martin Luther King, Jr., Day of Service grants, and Disability Outreach grants. Additional programs may be added based upon legislation and appropriation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

See programs Notice of Federal Funding. Restrictions on use of funds are described in the programs Notice of Federal Funding. Use of funds must be consistent with the funded application, and are subject to CNCS's grants administration laws, regulations, and policies. All appropriated funds awarded under this program are discretionary.

Applicant Eligibility:

See programs Notice of Federal Funding.

Beneficiary Eligibility:

See programs Notice of Federal Funding.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See programs Notice of Federal Funding and application instructions for information on other application procedures.

Award Procedure:

See programs Notice of Federal Funding and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. See programs Notice of Federal Funding.

Appeals:

From 60 to 90 days. CNCS does not offer an appeals process.

Renewals:

From 60 to 90 days. See programs Notice of Federal Funding.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching requirements will vary. Refer to individual programs Notice of Federal Funding and application instructions.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Cash management reports are required. CNCS uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. CNCS collects Federal Financial Reports (FFRs). FFRs are collected electronically by the HHS Payment Management System for CNCS. CNCS and its grant recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. CNCS grants are subject to audit by CNCS employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by CNCS must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2728-1-2-000.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 est \$0 - There will be no future grants for this listing.

Range and Average of Financial Assistance:

See programs Notice of Federal Funding for matching information.

TAFS Codes:

95-16-17-2728-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Patricia Stengel 250 E St SW, Washington, District of Columbia 20525 Email: pstengel@cns.gov Phone: 2026066745

Website Address:

<http://www.nationalservice.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the programs Notice of Federal Funding.

94.008 COMMISSION INVESTMENT FUND

TTA Commission Investment Funds

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

National and Community Service Act of 1990, as amended; Edward M. Kennedy Serve America Act.

OBJECTIVES:

The Corporation for National and Community Service (CNCS) is seeking to expand the capacity (knowledge, skills, and resources) of State Service Commissions and Alternative Administrative Entities in priority performance areas through the Training and Technical Assistance (TTA) Commission Investment Funds. These funds support CNCSs overall approach to help commissions implement their duties as required by the National and Community Service Act of 1990, as amended. With this grant program, CNCS is particularly interested in activities that will forge new collaborations and partnerships or develop new skills and knowledge to produce significant and demonstrable improvements within the one-year grant period. The Commission Investment Funds may be used to support: commission staffing and staff development in priority performance areas; training events; and collaborative activities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds are used to improve commission service delivery to national service programs. Restrictions on use of funds are described in programs Notice of Funding Opportunity. Use of funds must be consistent with funded application, and are subject to the CNCSs grants administration laws, regulations, and

policies. Applications are limited to State Service Commissions (or approved alternative administrative entities) or partnerships/consortia of State Service Commissions. All appropriated funds awarded under this program are discretionary.

Applicant Eligibility:

See programs Notice of Funding Opportunity.

Beneficiary Eligibility:

States will benefit.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See programs Notice of Funding Opportunity and application instructions for information on other application procedures.

Award Procedure:

See programs Notice of Funding Opportunity and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

CNCS does not offer an appeals process.

Renewals:

See program's Notice of Funding Opportunity.

Formula and Matching Requirements:

Statutory Formula: Statutory Formula: Funding is on a sliding scale based on the number of AmeriCorps State and National members enrolled in the state in a prior year.

This program has no matching requirements.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Cash management reports are required. CNCS uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. CNCS collects Federal Financial Reports (FFRs). FFRs are collected electronically by the HHS Payment Management System for CNCS. CNCS and its award recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all project/grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. CNCS grants are subject to audit by CNCS employees, the CNCS Inspector General, the Government

Accountability Office, and auditors contracted by CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by CNCS must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2728-1-2-000.

Obligations:

(Project Grants (Discretionary)) FY 16 \$5,784,993; FY 17 est \$7,301,255; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Range may vary based on annual appropriations and number of AmeriCorps members. Range has been from \$90,090 to \$159,261.

TAFS Codes:

95-17-18-2728-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ruth Lampi 250 E Street, SW, Washington, District of Columbia 20525 Email: rlampi@cns.gov Phone: 2026063915

Website Address:

<http://www.nationalservice.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

94.009 TRAINING AND TECHNICAL ASSISTANCE

Regional Training Conferences

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

The National and Community Service Act of 1990, as amended., 42 U.S.C 12501 et seq.; The Domestic Volunteer Service Act of 1973, as amended., 42 U.S.C 4950 et seq.

OBJECTIVES:

To support the planning and delivery of regional training conferences that focus on strengthening and building the capacity of AmeriCorps programs and Senior Corps projects across the country.

TYPES OF ASSISTANCE:

Dissemination of Technical Information; Training

USES AND USE RESTRICTIONS:

Training and Technical Assistance Regional Training Conferences grants are used to support the planning and delivering regional training conferences that

focus on strengthening and building the capacity of AmeriCorps programs and Senior Corps projects across the country. The content of the Regional Training Conferences will include critical programmatic and fiscal training determined by the Corporation for National and Community Service (CNCS), as well as relevant content determined through a participatory planning process. Must be consistent with approved purposes.

Applicant Eligibility:

Restrictions on use of funds are described in programs Notice of Federal Funding and Application Instructions. Use of funds must be consistent with the funded application, and are subject to CNCSs grants administration laws, regulations, and policies. Applications are limited to State Service Commissions (or approved alternative administrative entities) or partnerships/consortia of State Service Commissions.

Beneficiary Eligibility:

AmeriCorps State and National programs and Senior Corps projects, AmeriCorps Indian Tribes programs, AmeriCorps VISTA programs (especially multi-site projects), Social Innovation Fund recipients, Operation AmeriCorps grant recipients and AmeriCorps National Civilian Community Corps (NCCC) staff will benefit.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact CNCS for application procedures.

Award Procedure:

Cooperative Agreements and grants are awarded by CNCS. Pre-award negotiations are usually held.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. A time frame has not been established.

Appeals:

CNCS does not offer an appeals process.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of one year;. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Cash management reports are required. CNCS uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the Notice of Federal Funding and awarded cooperative agreement terms and conditions or provisions. CNCS collects Federal Financial Reports (FFRs). FFRs are collected electronically by the HHS Payment Management System for CNCS. CNCS utilizes a risk-based monitoring approach to identify risk and develops a monitoring plan based on the risk level of the grantee.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. CNCS grants are subject to audit by CNCS employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by CNCS must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2720-0-1-506.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 16 Not Available; FY 17 est \$578,000; and FY 18 est \$0 - No new grants will be made.

Range and Average of Financial Assistance:

Range will vary as determined by the scope of the projects. CNCS will make awards in the range of \$75,000 to \$115,000.

TAFS Codes:

95-16-17-2728-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact CNCS for guidelines. Information on the regulations and guidelines is also contained in the Notice of Federal Funding.

Regional or Local Office:

None.

Headquarters Office:

Ruth Lampi 250 E Street, SW, Washington, District of Columbia 20525 Email: rlampi@cns.gov Phone: 2026063915

Website Address:

<http://www.nationalservice.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

94.011 FOSTER GRANDPARENT PROGRAM

FGP

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended., Title 2, Part B, Section 211, Public Law 93-113, 42 U.S.C 5011.

OBJECTIVES:

The Foster Grandparent Program (FGP) provides grants to qualified agencies and organizations for the dual purpose of engaging persons 55 years of age or older, with limited incomes, in volunteer service to meet critical community

needs; and to provide a high quality volunteer experience that will enrich the lives of the volunteers. Program funds are used to support Foster Grandparents in providing supportive, person to person service to children with exceptional or special needs or in circumstances identified as limiting their academic, social, or emotional development.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The grants may be used for: low-income Foster Grandparent stipends, transportation, physical examinations and meals; staff salaries and fringe benefits, staff travel, equipment, space costs, etc. Foster Grandparents may be assigned to children and youth in residential and non-residential facilities, including schools and preschools and to children living in their own homes. Volunteers may not supplant hiring or displace employed workers, or impair existing contracts for service. No agency supervising volunteers may request or receive compensation for services of the volunteers. Volunteers may not be involved in and funds may not be used for religious activities, labor or anti-labor organization, lobbying, or partisan or non-partisan political activities. In addition, eligible agencies or organizations may, with a Notice of Grant Award from the Corporation for National and Community Service (CNCS), receive technical assistance and materials to aid in establishing and operating a non-CNCS funded FGP project using state, local, and/or private funds. Volunteers may not be involved in and funds may not be used for religious activities, labor or anti-labor organization, lobbying, or partisan or non-partisan political activities. Funds must be used as described in the approved application. All appropriated funds awarded under this program are discretionary.

Applicant Eligibility:

See the program's Notice of Funding Availability/Opportunity.

Beneficiary Eligibility:

Foster Grandparents must be: 55 years of age or older, with an income of up to 200 percent of poverty, based on the Department of Health and Human Services Poverty Guidelines, and interested in serving infants, children, and youth with special or exceptional needs. (However, individuals who are not income eligible may serve as non-stipended volunteers under certain conditions.) Foster Grandparents must be physically, mentally, and emotionally capable and willing to serve selected infants, children or youth on a person-to-person basis. See the program's Notice of Funding Availability/Opportunity for additional information.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. Please see the program's Notice of Funding Availability/Opportunity, 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be submitted following the Senior Corps Grant Application instructions (OMB Control No. 3045-0035). Applications are submitted via CNCS's eGrants system. For more information on eGrants, go to <http://www.nationalservice.gov/build-your-capacity/grants/egrants>.

Award Procedure:

See programs Notice of Funding Availability/Opportunity and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. See the programs Notice of Funding Availability/Opportunity.

Appeals:

No formal appeals for denial of initial grant application, but regulations provide for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding. See programs Notice of Funding Availability/Opportunity.

Renewals:

From 120 to 180 days. See the program's Invitation to Apply.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 10%. The FGP sponsor is required to match funds for their projects in part through local, non-federal contributions. The required local contribution is at least 10 percent of the total project budget. The local contribution portion should be reflected in the Budget Section of the application. The non-federal share can be cash or in-kind matching funds. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Cash management reports are required. The Corporation for National and Community Service uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. The Corporation for National and Community Service collects Federal Financial Reports (FFR). FFRs are collected electronically by the HHS Payment Management System for the Corporation for National and Community Service. The Corporation for National and Community Service and its grant recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Corporation for National and Community Services grants are subject to audit by Corporation for National and Community Service employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by CNCS must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2728-1-2-000.

Obligations:

(Project Grants (Discretionary)) FY 16 \$102,534,738; FY 17 est \$98,514,129; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

The range of financial assistance is from \$0 to \$1,917,775.

The average of financial assistance is \$310,711.

TAFS Codes:

95-17-18-2728-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Corporation for National and Community Service (CNCS) awarded a little over \$1.7 million in Senior Corps FGP funding to connect FGP volunteers with opportunities to provide one-on-one mentoring, nurturing, and support to children and young people with special or exceptional needs, or who are at an academic, social, or financial disadvantage.

There were 16 funding opportunities (geographic service areas) open for the 2017 FGP Replacement Sponsor Competition. Seventeen applications were reviewed. A total of 8 applications were awarded for funding.

In FY 2016, the entire FGP portfolio had more than 25,000 Senior Corps FGP volunteers serving 21 million hours across 319 federally-funded FGP projects. Fiscal Year 2017: The Corporation for National and Community Service (CNCS) anticipates that 30 applications will be received and 21 awards will be granted in the 2017 FGP Replacement Sponsor Competition. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

See programs Notice of Funding Availability/Opportunity or Invitation to Apply for specific regulations and guidelines.

Regional or Local Office:

See Regional Agency Offices. CNCS maintains state offices in most States. Refer to the CNCS website for address and contact information: <https://www.nationalservice.gov/about/contact-us/state-offices>.

Headquarters Office:

Tamika Becton Corporation for National and Community Service
Senior Corps - FGP
250 E Street, SW, Washington, District of Columbia 20525 Email: tbecton@cns.gov Phone: 202-606-5000

Website Address:

<http://www.nationalservice.gov/programs/senior-corps/foster-grandparents>

RELATED PROGRAMS:

94.002 Retired and Senior Volunteer Program; 94.016 Senior Companion Program; 94.017 Senior Demonstration Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the programs Notice of Funding Availability/Opportunity or Invitation to Apply.

94.012 SEPTEMBER 11TH NATIONAL DAY OF SERVICE AND REMEMBRANCE GRANTS

September 11th Grants

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

The National and Community Service Act of 1990, Title 1, Part 1, Subtitle H, Section 198(k), Public Law 101-610, 104 Stat. 3127, 42 U.S.C 12653(k).

OBJECTIVES:

The purpose of the September 11th National Day of Service and Remembrance grant funding is to mobilize more Americans to engage in service activities that meet vital community needs and honor the sacrifice of those who lost their lives on September 11, 2001, or who rose in service as a result of that tragedy.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

See program's Notice of Funding Opportunity. Restrictions on use of funds are described in the programs Notice of Funding Opportunity. Use of funds must

be consistent with the funded application, and are subject to CNCSS grants administration laws, regulations, and policies. All appropriated funds awarded under this program are discretionary.

Applicant Eligibility:

See program's Notice of Funding Opportunity.

Beneficiary Eligibility:

See program's Notice of Funding Opportunity.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See programs Notice of Funding Opportunity and application instructions for information on other application procedures.

Award Procedure:

See programs Notice of Funding Opportunity and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. See program's Notice of Funding Opportunity.

Appeals:

From 60 to 90 days. CNCSS does not offer an appeals process.

Renewals:

From 60 to 90 days. See program's Notice of Funding Opportunity.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. See programs Notice of Funding Opportunity for matching information. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Cash management reports are required. CNCSS uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. CNCSS electronically collects Federal Financial Reports (FFRs) in the eGrants system. Separately, quarterly cash reports are electronically collected by the HHS Payment Management System. CNCSS and its award recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all project/grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. CNCSS grants are subject to audit by CNCSS employees, the CNCSS Inspector General, the Government Accountability Office, and auditors contracted by CNCSS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by CNCSS must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2728-1-2-000.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 est \$463,934; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

See programs Notice of Funding Opportunity for matching information.

TAFS Codes:

95-17-18-2728-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In 2016, September 11th Day of Service and Remembrance grantees held 47 service events across the country engaging over 35,000 volunteers in day of service activities. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. CNCSS maintains state offices in most States. Refer to the CNCSS website for address and contact information: <http://go.usa.gov/xKzCC>.

Headquarters Office:

Patricia Stengel 250 E St SW, Washington, District of Columbia 20525 Email: pstengel@cns.gov Phone: 2026066745

Website Address:

<http://www.nationalservice.gov/911day>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Please see the transparency section of www.nationalservice.gov for examples of funded projects. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the programs Notice of Funding Opportunity.

94.013 VOLUNTEERS IN SERVICE TO AMERICA

AmeriCorps VISTA, VISTA, or Volunteers In Service To America

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Title I, Part A, Public Law 93-113, 87 Stat. 394, 42 U.S.C 4951-49600.

OBJECTIVES:

AmeriCorps VISTA is the national service program of the Corporation for National and Community Service (CNCSS) designed specifically to fight poverty. VISTA supports efforts to alleviate poverty by engaging individuals, 18 years and older, from all walks of life, in a year of full-time service with a sponsoring organization (sponsor) to create or expand programs designed to

bring individuals and communities out of poverty.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

See program's Notice of Funding Opportunity. This is not a grant-based program. Restrictions on use of funds are described in the programs Notice of Federal Funding. Use of funds must be consistent with the funded application, and are subject to CNCSS grants administration laws, regulations, and policies. All appropriated funds awarded under this program are discretionary.

Applicant Eligibility:

The proposed project must have a clear anti-poverty focus, include the involvement of the low-income community, and the activities must lead towards sustainability of the project. The activities of the VISTA members may not supplant staff or current volunteers. See program's Notice of Federal Funding for more information.

Beneficiary Eligibility:

VISTA activities must benefit low-income persons and communities by building the capacity of the sponsoring organization to find permanent solutions to poverty.

VISTAs live and serve in some of our nations poorest areas. They receive a modest living allowance and other benefits during their service. After successfully completing a term of service, VISTAs and Summer Associates may receive a Segal AmeriCorps Education Award, which can be used to pay for college or student loans, or an end-of-service cash stipend. Sponsors may contribute to the cost of a VISTA through "cost share," but are under no obligation to do so.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. Continuing sponsors may be asked to update initial documentation. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See program's Notice of Funding Opportunity and application instructions for information on other application procedures.

Award Procedure:

See program's Notice of Funding Opportunity and application instructions for information on other application procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

No formal appeals for denial of initial project application; however, regulations provide for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding.

Renewals:

From 120 to 180 days. Renewal applications are required annually at least 120 days prior to the end of the project period. Renewals are subject to the same review procedures as new projects.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The VISTA program does not provide primary assistance through cash grants, and, thus has no matching requirements. In the case of those projects that receive small grants to offset member support costs, there are no matching requirements. VISTA does operate a voluntary cost share program where sponsoring organizations can pay living allowance costs for one or more VISTA members, allowing the program to maximize its reach. Sponsors also provide equipment, work space and other in-kind support of the VISTA members assigned to ensure overall project success.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is awarded for approximately one year at a time, up to an average of 3-4 years total. Note that, with certain small exceptions, the VISTA program does not grant financial resources to organizations, but rather provides the resource through the placement of a VISTA member. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. In the case of VISTA projects that receive cash grants, cash management reports are required. CNCSS uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the Memorandum of Agreement and reporting forms. In the case of VISTA projects that receive small cash grants, Federal Financial Reports are required as specified in the grant award provisions. CNCSS and its VISTA sponsors are required to practice risk-based monitoring and routine field and desk-based oversight of all project/grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are required only for those projects receiving cash grants. CNCSS grants are subject to audit by CNCSS employees, the CNCSS Inspector General, the Government Accountability Office, and auditors contracted by CNCSS.

Records:

Sponsors are expected to maintain records pertaining to volunteer assignments and training activities for 3 years after the end of the project year. Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by CNCSS must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2728-1-2-000.

Obligations:

(Provision of Specialized Services) FY 16 Not Available; FY 17 est \$95,880,000; and FY 18 est \$0

Range and Average of Financial Assistance:

See programs Notice of Funding Opportunity for information.

TAFS Codes:

95-12-13-2728-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 8051. Fiscal Year 2017: 8680. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1201; Is VISTA Right for Your Organization?
(<http://www.nationalservice.gov/sites/default/files/page/SponsorGuide.pdf>);
VISTA Member Handbook; Publications: Overcoming Poverty, Building Capacity
(http://www.nationalservice.gov/sites/default/files/documents/08_1210_ac_vista_report.pdf); VISTA 101
(<https://www.vistacampus.gov/resources/vista-101-understanding-vista-0>)

Regional or Local Office:

See Regional Agency Offices. CNCS maintains offices in most States. Refer to the CNCS website for address and contact information:
<https://www.nationalservice.gov/about/contact-us/state-offices>.

Headquarters Office:

Kelly L. Daly, 250 E Street SW, Washington, District of Columbia 20525
Email: VISTA@americorps.gov Phone: (202) 606-6849

Website Address:

<http://www.nationalservice.gov/programs/ameriCorps/ameriCorps-vista>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All of the following elements must be found in the applicant's proposal for new or renewal projects. The project must: (1) be poverty oriented in scope and comply with the provisions of the Domestic Volunteer Service Act of 1973 applicable to VISTA and all published regulations and Corporation for National Service policies; (2) show that the project goals, objectives and Volunteer tasks are attainable within the time frame during which the Volunteers will be serving on the project and will produce a measurable result; (3) must recruit and involve low-income community residents in the planning, development and implementation of the VISTA project; (4) provide for frequent and effective supervision of the Volunteers; (5) identify resources needed and make them available for Volunteers to perform their tasks; and (6) have the management capability to carry out the project.

94.014 MARTIN LUTHER KING JR DAY OF SERVICE GRANTS

MLK Grants

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

The National and Community Service Act of 1990, Title 1, Part Subtitle H, Section 198i, Public Law 101-610, 104 Stat. 3127, 42 U.S.C 12653(i).

OBJECTIVES:

The purpose of the Martin Luther King Jr. Day of Service grant funding is to mobilize more Americans to observe the Martin Luther King Jr. federal holiday as a day of service in communities, to encourage those who serve on this holiday to make a long-term commitment to community service, and to bring people together to focus on service to others.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

See program's Notice of Funding Opportunity. Restrictions on use of funds are described in the programs Notice of Funding Opportunity. Use of funds must be consistent with the funded application, and are subject to CNCS's grants administration laws, regulations, and policies. All appropriated funds awarded under this program are discretionary.

Applicant Eligibility:

See program's Notice of Funding Opportunity.

Beneficiary Eligibility:

See program's Notice of Funding Opportunity.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy

of the applicant's last audit, may be requested. First time applicants must certify financial systems. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See programs Notice of Funding Opportunity and application instructions for information on other application procedures.

Award Procedure:

See programs Notice of Funding Opportunity and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. See program's Notice of Funding Opportunity.

Appeals:

From 60 to 90 days. CNCS does not offer an appeals process.

Renewals:

From 60 to 90 days. See program's Notice of Funding Opportunity.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 70%. See programs Notice of Funding Opportunity for matching information.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Cash management reports are required. CNCS uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. CNCS electronically collects Federal Financial Reports (FFRs) in the eGrants system. Separately, quarterly cash reports are electronically collected by the HHS Payment Management System. CNCS and its award recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all project/grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. CNCS grants are subject to audit by CNCS employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Note that all individuals receiving a salary, living allowance, stipend, National

Trust Education Award or similar compensation through a national service program funded by CNCS must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2728-1-2-000.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 est \$506,649; and FY 18 Estimate Not Available - See programs Notice of Funding Opportunity for matching information.

Range and Average of Financial Assistance:

See programs Notice of Funding Opportunity for matching information.

TAFS Codes:

95-17-18-2728-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Using fiscal year 2016 funds, Martin Luther King Jr Day of Service grantees implemented 58 day of service events across the country engaging over 185,000 volunteers in service. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. CNCS maintains state offices in most States. Refer to the CNCS website for address and contact information: <http://go.usa.gov/xKzCC>.

Headquarters Office:

Patricia Stengel 250 E St SW, Washington, District of Columbia 20525 Email: pstengel@cns.gov Phone: 2026066745

Website Address:

<http://www.nationalservice.gov/mlkday>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: See the transparency section of www.nationalservice.gov for examples of previously funded projects. Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the programs Notice of Funding Opportunity.

94.016 SENIOR COMPANION PROGRAM

SCP

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Title 2, Part C, Section 213, Public Law 93-113, 42 U.S.C 5011.

OBJECTIVES:

The Senior Companion Program (SCP) provides grants to qualified agencies and organizations for the dual purpose of engaging persons 55 and older, particularly those with limited incomes, in volunteer service to meet critical community needs; and to provide a high quality experience that will enrich the lives of the volunteers. Program funds are used to support Senior Companions in providing supportive, individualized services to help adults with special needs maintain their dignity and independence.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The grants may be used for: Senior Companion stipends, transportation, physical examinations, insurance, and meals; staff salaries and fringe benefits, staff travel, equipment, space costs, etc. Assignment of Senior Companions to

adults may occur in residential and non-residential facilities and in their own homes. Volunteers do not supplant hiring or displace employed workers, or impair existing contracts for service. No agency supervising volunteers shall request or receive compensation for services of the volunteers. Volunteers are not to be involved in and funds are not to be used for religious activities, labor or anti-labor organizations, lobbying, or partisan or non-partisan political activities. In addition, eligible agencies or organizations may, under a Notice of Grant Award from the Corporation for National and Community Service (CNCS), receive technical assistance and materials to aid in establishing and operating non-CNCS funded SCP projects using state, local and private funds. Volunteers may not be involved in and funds may not be used for religious activities, labor or anti-labor organization, lobbying, or partisan or non-partisan political activities. Funds must be used as described in the approved application. All appropriated funds awarded under this program are discretionary.

Applicant Eligibility:

See the program's Notice of Funding Availability/Opportunity.

Beneficiary Eligibility:

Senior Companions must be: 55 years of age or older, with an income of up to 200 percent of poverty, based on the Department of Health and Human Services Poverty Guidelines; interested in serving special-needs adults, especially the frail elderly, and must be physically, mentally and emotionally capable, and willing to serve on a person-to-person basis. However, non-income eligible individuals may serve as non-stipended volunteers under certain conditions.

Credentials/Documentation:

Various record and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. Please see the program's Notice of Funding Availability/Opportunity. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be submitted following the Senior Corps Grant Application instructions (OMB Control No. 3045-0035). Applications are submitted via CNCS's eGrants system. For more information on eGrants, go to <http://www.nationalservice.gov/build-your-capacity/grants/egrants>.

Award Procedure:

See programs Notice of Federal Availability/Opportunity and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. See the program's Notice of Funding Availability/Opportunity.

Appeals:

No formal appeals for denial of initial grant application, but regulations provide for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding. See program's Notice of Funding Availability/Opportunity.

Renewals:

From 120 to 180 days. See the programs Invitation to Apply.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 10%. The SCP sponsor is required to match

funds for their projects in part through local, non-federal contributions. The required local contribution is at least 10 percent of the total project budget. The local contribution portion should be reflected in the Budget Section of the application. The non-federal share can be cash or in-kind matching funds. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Cash management reports are required. The Corporation for National and Community Service uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. The Corporation for National and Community Service collects Federal Financial Reports (FFR). FFRs are collected electronically by the HHS Payment Management System for the Corporation for National and Community Service. The Corporation for National and Community Service and its grant recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Corporation for National and Community Services grants are subject to audit by Corporation for National and Community Service employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by CNCS must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2728-0-1-506.

Obligations:

(Project Grants) FY 16 \$43,710,959; FY 17 est \$43,140,735; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

The range of financial assistance is from \$0 to \$779,169.
The average of financial assistance is \$228,258.

TAFS Codes:

95-17-18-2728-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Corporation for National and Community Service (CNCS) awarded \$713,000 in Senior Corps SCP funding to engage older adults to provide companionship and support to other adults in need of extra assistance to remain at home or in the community for as long as possible.

There were 5 funding opportunities (geographic service areas) open for the 2017 SCP Replacement Sponsor Competition. Three applications were reviewed. All 3 applications were awarded for funding.

In FY 2016, the entire SCP portfolio had more than 12,190 SCP volunteers serving 7 million hours across 180 federally-funded SCP projects. Fiscal Year 2017: Describe the programs accomplishments, outputs, results achieved and services rendered. This information must be provided for the actual fiscal year. Estimates must be provided for the current fiscal year and the budget fiscal year. Quantitative data should be used as much as possible. Data on the number and ratio of applications should be provided. Indicate how many applications were received and how many awards were made for all three relevant fiscal years. When a new program is involved, anticipated accomplishments should be stated. For example, It is anticipated that 109 applications will be received and 25 awards will be granted in fiscal year 20___. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

See programs Notice of Funding Availability/Opportunity or Invitation to Apply for specific regulations and guidelines.

Regional or Local Office:

See Regional Agency Offices. CNCS maintains state offices in most States. Refer to the CNCS website for address and contact information: <https://www.nationalservice.gov/about/contact-us/state-offices>.

Headquarters Office:

Tamika Becton Corporation for National and Community Service Senior Corps - SCP
250 E Street, SW, Washington, District of Columbia 20525 Email: tbecton@cns.gov Phone: 202-606-5000

Website Address:

<http://www.nationalservice.gov/programs/senior-corps/senior-companions>

RELATED PROGRAMS:

94.002 Retired and Senior Volunteer Program; 94.011 Foster Grandparent Program; 94.017 Senior Demonstration Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the programs Notice of Funding Availability/Opportunity or Invitation to Apply.

94.017 SENIOR DEMONSTRATION PROGRAM

SDP

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended., Public Law 93-113, 42 U.S.C 5028-5028(a).

OBJECTIVES:

To provide grants to qualified agencies for the purpose of conducting innovative activities involving older Americans as volunteers.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The following are illustrative activities cited in the authorizing legislation: (1) linking youth groups and older American organizations in volunteer activities; (2) involving older volunteers in programs and activities different from existing programs and activities supported in the community; and (3) testing whether older American volunteer programs may contribute to new objectives or certain national priorities. Volunteers may not supplant hiring or displace employed workers, or impair existing contracts for service. Volunteers may not be involved in and funds may not be used to support religious activities, labor or anti-labor organization, lobbying, or partisan or non-partisan political activities.

Applicant Eligibility:

See programs Notice of Federal Funding or Invitation to Apply.

Beneficiary Eligibility:

Beneficiaries, i.e., the volunteers enrolled in the program, must be age 55 or older.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. Please see the program's Notice of Funding Availability/Opportunity, 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be submitted following the Senior Corps Grant Application instructions (OMB Control No. 3045-0035). Applications are submitted via CNCS's eGrants system. For more information on eGrants, go to <http://www.nationalservice.gov/build-your-capacity/grants/egrants>.

Award Procedure:

Applications are reviewed by the applicable CNCS State Office, which then submits a recommendation for approval or disapproval to the Director of the Senior Corps.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. See the program's Notice of Funding Availability/Opportunity.

Appeals:

CNCS does not offer an appeals process.

Renewals:

From 90 to 120 days. Grants entirely funded from non-federal sources may be renewed, subject to satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. At this time, this program is funded entirely from non-CNCS funds that are either transferred from other federal agencies to CNCS or from funds raised locally by grantees. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are generally awarded between 1 - 3 years. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Cash management reports are required. The Corporation for National and Community Service uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. No expenditure reports are required. The Corporation for National and Community Service and its grant recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Corporation for National and Community Services grants are subject to audit by Corporation for National and Community Service employees, the CNCS Inspector General, the Government

Accountability Office, and auditors contracted by CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Account Identification:

95-2728-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 16 \$0; FY 17 est \$0; and FY 18 Estimate Not Available - Obligations reported are shown as zero per year because only non-federal funds donated to CNCS are used to fund this program.

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

95-17-18-2728-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Senior Corps Grant Application (OMB Control No. 3045-0035). When federal funds are available for this program, specific guidelines will be developed.

Regional or Local Office:

See Regional Agency Offices. CNCS maintains state offices in most States. Refer to the CNCS website for address and contact information: <https://www.nationalservice.gov/about/contact-us/state-offices>.

Headquarters Office:

Tamika L. Becton Corporation for National and Community Service Senior Demonstration Program
250 E Street, SW, Washington, District of Columbia 20525 Email: tbecton@ens.gov Phone: 2026065000

Website Address:

<http://www.seniorcorps.gov>

RELATED PROGRAMS:

94.002 Retired and Senior Volunteer Program; 94.011 Foster Grandparent Program; 94.016 Senior Companion Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria for federally funded programs are developed in cooperation with the funding agency, and may vary widely.

94.019 SOCIAL INNOVATION FUND

Social Innovation Fund (SIF)

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

National and Community Service Act of 1990, as amended, Public Law 101-610, 42 U.S.C 12653k; Federal Grant and Cooperative Agreement Act, 31 U.S.C 6301-6308.

OBJECTIVES:

To grow the impact of innovative community-based solutions that have compelling evidence of improving the lives of people in low-income communities throughout the United States. Direct resources toward increasing the evidence-base-capacity and scale of the organizations SIF funds in order to improve the lives of people served by those organizations.

The Corporation for National and Community Service (CNCS) defines social

innovation as the development of a potentially transformative practice or approach to meeting critical social needs. By investing in social innovation as a driver of results and accountability, the federal government will play a central role in accelerating the spread of promising solutions to address our most pressing national and local challenges.

SIF awards are focused on improving measurable outcomes in the following priority areas:

Economic Opportunity Increasing the economic opportunities and financial stability for economically disadvantaged individuals and families

Youth Development Preparing Americas children and youth for success in school, active citizenship, productive work, and healthy and safe lives, including crime reduction initiatives focused on juvenile delinquency and victimization prevention and response

Healthy Futures Improving health outcomes, promoting healthy lifestyles and decreasing health disparities that disproportionately affect low-income communities.

SIF competitively selects experienced grant-making institutions (recipients) to do the critical work of identifying promising solutions to community problems and selecting high-performing nonprofit community organizations (subrecipients) on a competitive basis. To be awarded funding, intermediaries must demonstrate: they have advanced beyond the beginning stages, are showing signs of effectiveness and have the potential for greater scale (larger programmatic and scaling dollars are awarded to programs that show higher levels of evidence and have the potential for greater impact); also must have at least preliminary evidence of effectiveness and then undergo rigorous, independent, formal evaluations a track record of using rigorous evidence to select, invest in, and monitor the growth and progression of their grantees expertise and demonstrated impact in the proposed issue area(s) of focus depth and breadth of relationships with stakeholders in the issue area or region of focus.

The SIF will also attract and leverage private donors to match federal dollars, bringing new resources to support promising organizations. The statute requires both the SIF intermediaries and their subgrantees to match their grants dollar-for-dollar, in cash, with non-federal funding.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

SIF funds are competitively awarded to existing intermediary organizations, grant-making institutions, or grant-making partnerships (recipients) to do the critical work of identifying promising solutions to community problems. Recipients will competitively select high-performing nonprofit community organizations (subrecipients) working to address priority issues in low-income communities across the nation. See program's Notice of Funding Opportunity.

Applicant Eligibility:

See program's Notice of Funding Opportunity.

Beneficiary Eligibility:

See program's Notice of Funding Opportunity.

Credentials/Documentation:

SIF recipients are required to raise match funds equal to the grant they receive. The match must be in cash from non-federal sources. At the time of application, the SIF applicants must demonstrate the ability to meet 50 percent of their first year cash match requirement. Subrecipients also will be required to match 100 percent of the grant they receive from the recipient in cash from non-federal sources. A signed match documentation letter, either in digital or physical form, to be sent to the program on or before the application deadline. Please see the Notice of Funding Opportunity for more detailed information. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See program's Notice of Funding Opportunity for additional information on the application procedures.

Award Procedure:

See program's Notice of Funding Opportunity for additional information on the award procedure.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. See program's Notice of Funding Opportunity for additional information on the approval process.

Appeals:

CNCS does not offer an appeals process.

Renewals:

This is a grant and cooperative agreement program with up to 5 year awards.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 100%. All grants to intermediaries and subsequent subgrants to subgrantees must be matched 1:1 (100%) by the recipient of the grant or subgrant using non-federal, unrestricted cash. Please see the Notice of Funding Opportunity for additional information on match requirements.

This program does not have MOE requirements. Not Applicable.

Length and Time Phasing of Assistance:

The SIF awards are made to intermediaries for up to periods of 5 years, but funded annually. If a grantee is awarded \$5 million in an upcoming competition, then that amount will be awarded for the first year of the overall grant period. Grantees will be eligible for further annual funding contingent on the availability of appropriations, compliance with grant conditions (including having secured cash matching funds), and satisfactory performance. Subsequent years of funding for the same program are funding via continuation, rather than competitive, grants. See the following for information on how assistance is awarded/released: Annual award for a period of up to 5 years.

Reports:

Performance Progress Reports and Federal Financial Reports. Please see the Notice of Federal Funding for additional information on program reports. Cash management reports are required. CNCS uses the US HHS Payment Management System for payments and cash management reporting. CNCS electronically collects Federal Financial Reports (FFRs) in the eGrants system. Separately, quarterly cash reports are electronically collected by the HHS Payment Management System. Federal Financial Reports are required as specified in the grant award provisions. See program's Notice of Funding Opportunity.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. CNCS grants are subject to audit by CNCS employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award.

Account Identification:

95-2728-1-2-000 - Operating Funds.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 16

\$40,000,000; FY 17 est \$0; and FY 18 est \$0 - No new grants will be made.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

95-12-13-2728-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See program's Notice of Funding Opportunity for additional information related to literature.

Regional or Local Office:

None. Not Applicable.

Headquarters Office:

Patricia L Carpenter 250 E Street, SW
Room 3262C, Washington, District of Columbia 20525 Email:
pcarpenter@cns.gov Phone: 202-606-6895

Website Address:

<http://www.nationalservice.gov/programs/social-innovation-fund>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See program's Notice of Funding Opportunity for additional information related to the selection criteria.

94.020 CNCS DISASTER RESPONSE COOPERATIVE AGREEMENT

CNCS AmeriCorps Disaster Response Team

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act), Public Law 100-707, 42 U.S.C 5121-5208; National and Community Service Act of 1990, as amended., Public Law 101-610.

OBJECTIVES:

The Corporation for National and Community Services (CNCS) Disaster Response Cooperative Agreement (DRCA) is an initiative through which national service programs provide support to communities affected by disaster and are reimbursed for expenses in times of disasters. Programs that hold a DRCA are part of the CNCS AmeriCorps Disaster Response Team (A-DRT) Program, a national service disaster focused program. Only programs with an executed Disaster Response Cooperative Agreement will be eligible for deployment and reimbursement of expenses. Through the A-DRT Program, CNCS establishes cooperative agreements with eligible organizations that can provide national service participants to support disaster response. Organizations that want to be part of the CNCS A-DRT Program must be CNCS grantees and sub-grantees, to include AmeriCorps State, Senior Corps, State Service Commissions, and AmeriCorps National Direct. Only programs with an executed Disaster Response Cooperative Agreement will be eligible for deployment and reimbursement of expenses.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

These agreements will allow reimbursement of approved disaster-related expenses for existing CNCS grantees deployed by CNCS to disaster impacted areas. Allowable expenses are detailed in the agreement. Expenses must be approved prior to deployment on the CNCS Disaster Budget and Deployment Form.

Applicant Eligibility:

See application instructions.

Beneficiary Eligibility:

See application instructions.

Credentials/Documentation:

Various records and forms required for first time applicants and a copy of the applicant's last audit may be requested. Applicants will need proof of liability coverage. First time applicants must certify financial systems. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Eligible organizations include the following groups:
CNCS subgrantees: AmeriCorps State, Senior Corps programs (including youth corps, local volunteer and service programs, etc.) with the capacity to deploy and support national service participants to impacted areas to provide disaster service functions.

State Service Commissions and AmeriCorps National Direct Grantees: These organizations administer, support, represent and/or coordinate networks of national service programs. AmeriCorps National Direct grantees will deploy AmeriCorps members currently assigned to their program to provide disaster service functions, while State Service Commissions can utilize their networks to engage other national service participants in disaster response activities. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Federal Acquisition Regulations (FAR) also apply to for-profit entities.

Award Procedure:

Awards are given as a reimbursement for approved, actual disaster related costs. If an organization is not deployed on a disaster, no award is given.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Rolling application process.

Appeals:

CNCS does not offer an appeals process.

Renewals:

Agreements are renewed every three years. Agreements are dependent on base grants. If the base grant is terminated, so is the agreement.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Reimbursement is provided per deployment for actual costs incurred. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Daily reporting on progress and accomplishments are required when programs are deployed on a disaster. A deployment reimbursement form must be completed and submitted to claim reimbursement. Performance monitoring is incorporated into base grant performance monitoring requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. CNCS grants are subject to audit by CNCS employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by the CNCS.

Records:

Grantees must maintain documentation for all reimbursable expenses.

Account Identification:

95-8981-0-0-000.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Variable depending on levels of disaster activity across the country.

TAFS Codes:

95-2728.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Nearly 1,000 members from twelve A-DRT programs deployed to support volunteer/donations management and direct support to survivors in five federally declared disasters. Fiscal Year 2017: Not available at this time. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines provided in cooperative agreement language, application instructions, and CNCS guidance.

Regional or Local Office:

None.

Headquarters Office:

Kelly DeGraff 250 E Street, SW, Washington, District of Columbia 20525
Email: KDeGraff@cns.gov Phone: 202-606-6817

Website Address:

<http://www.nationalservice.gov/focus-areas/disaster-services>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria outlined in Cooperative Agreement application.

94.021 VOLUNTEER GENERATION FUND

Volunteer Generation Fund

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Edward M. Kennedy Serve America Act, Public Law 111-13.

OBJECTIVES:

The Volunteer Generation Fund will increase the number of people who serve in meaningful roles as volunteers dedicated to addressing important needs in communities across America. More specifically, the Fund will support efforts that expand the capacity of volunteer connector organizations to recruit, manage, support, and retain individuals to serve in high quality assignments, including those that are aligned with special days of service such as the Martin Luther King Jr. Day of Service and the September 11th National Day of Service and Remembrance.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This competition is open to State Service Commissions on National and Community Service only. Commissions that receive funding through the Volunteer Generation Fund may directly carry out the activities supported under the award, or may carry out the activity by making subgrants to community-based entities. Must be used for the program and activities identified in the funded grant application.

Applicant Eligibility:

Use of assistance must be consistent with the funded, approved grant application.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

See application requirements found in the Notice of Federal Funding and application instructions. Various records and forms are required for first time applicants, and a copy of the applicant's last audit may be requested. First time applicants must certify financial systems. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See programs Notice of Federal Funding and application instructions for information on other application procedures.

Award Procedure:

See programs Notice of Federal Funding and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. See programs Notice of Federal Funding.

Appeals:

CNCS does not offer an appeals process.

Renewals:

See programs Notice of Federal Funding.

Formula and Matching Requirements:

Statutory Formula: Title Edward M. Kennedy Serve America Act, Chapter Subtitle H, Part Sec. 1808 Clearinghouses, Subpart Sec. 198P Volunteer Generation Fund, Public Law 111-13. N/A.

Matching Requirements: See programs Notice of Federal Funding.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. Cash management reports are required. CNCS uses the US HHS Payment Management System for payments and cash management reporting. CNCS and its grant recipients are required to practice risk-based monitoring, and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. CNCS grants are subject to audit by CNCS employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by the CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by CNCS must first clear a National Service Criminal History Check, and those check records must be maintained within grant records.

Account Identification:

95-2728-1-6-000.

Obligations:

(Project Grants) FY 16 \$3,879,866; FY 17 est \$3,800,000; and FY 18 Estimate Not Available - N/A.

Range and Average of Financial Assistance:

See programs Notice of Federal Funding for matching information.

TAFS Codes:

95-16-17-2728-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See programs Notice of Federal Funding for specific regulations and guidelines.

Regional or Local Office:

None. Not Applicable.

Headquarters Office:

Patricia Stengel 250 E St SW, Washington, District of Columbia 20525 Email: pstengel@cns.gov Phone: 2026066745

Website Address:

<http://www.nationalservice.gov/programs/volunteer-generation-fund>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Please see the transparency section at www.nationalservice.gov for examples of funded projects. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the program's Notice of Federal Funding.

94.023 AMERICORPS VISTA TRAINING & LOGISTICS SUPPORT

VISTA (Volunteers in Service to America)

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Title 1, Part A, Public Law 93-113, 42 U.S.C 4951-4960.

OBJECTIVES:

To provide training and technical assistance to the AmeriCorps VISTA program in the professional development of its members and supervisory staff at community-based organizations and agencies.

TYPES OF ASSISTANCE:

Dissemination of Technical Information; Training

USES AND USE RESTRICTIONS:

Cooperative agreements will support the VISTA program in training VISTA members, and their supervisors, prior to service. This assistance includes travel arrangement, fingerprinting for criminal history background checks, contracting hotels for lodging and training rooms, on site logistical support, development of training-support materials, server maintenance, communication strategies, and evaluation. Assistance must be consistent with approved purposes.

Applicant Eligibility:

The use of this cooperative agreement is limited to overall support of VISTA Training.

Beneficiary Eligibility:

Any US Citizen or legal resident, 18 years of age or older, with no history of crime against a minor, is eligible to serve as a VISTA member and therefore be trained through the assistance of this cooperative agreement. Equally any organization whose mission is to eradicate poverty in the US may apply to "sponsor" a VISTA member or members, and as such is eligible to be trained through assistance of this cooperative agreement.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact CNCS for application procedures.

Award Procedure:

The cooperative agreement shall be awarded by CNCS and its program AmeriCorps VISTA. Pre-award negotiations are usually held.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 30 to 60 days. CNCS does not offer an appeals process.

Renewals:

From 30 to 60 days. Renewals are subject to performance, continuing need, and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Award is for two (2) years in one year increments. Funds must be expended during the grant period. Method of awarding/releasing assistance: lump sum.

Reports:

Reports shall be submitted in accordance with the terms of the cooperative agreement and as required by law. HHS Payment Management System collects quarterly cash transaction reports on behalf of CNCS. Progress reports shall be submitted in accordance with the terms of the cooperative agreement and as required by law. Federal Financial Reports are required as specified in the award grant provisions. AmeriCorps VISTA will monitor all training events and review financial reports per event, monitor materials development and related expenditure per product and/or quarterly.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records shall be maintained in accordance with the terms of the cooperative agreement and as required by law.

Account Identification:

95-2728-1-2-000 - AmeriCorps VISTA.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Currently not active.

Range and Average of Financial Assistance:

Currently not active.

TAFS Codes:

95-2013-2014-2728-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact CNCS for guidelines.

Regional or Local Office:

None.

Headquarters Office:

Patrick Triano 250 E Street SW, Washington, District of Columbia 20525
Email: ptriano@cns.gov Phone: 202-606-6816 Fax: 202-606-3475

Website Address:

<http://www.nationalservice.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

CNCS will assess the VISTA Training & Logistical Support proposals for quality of design/strategy, organizational capacity, and cost-effectiveness.

94.024 SOCIAL INNOVATION FUND PAY FOR SUCCESS

Pay for Success

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

National and Community Service Act of 1990, as amended., Public Law 101-610, 123 Stat. 1565-1571, 42 U.S.C 12653k; Federal Grant and Cooperative Agreement Act, 31 U.S.C 6301-6308.

OBJECTIVES:

The Pay for Success program aims to increase investments in effective social interventions by changing the way government allocates and invests its resources-focusing on results and outcomes. The Pay for Success Grant Competition seeks to encourage the implementation of Pay for Success strategies in order to enhance the reach and impact of innovative community-based solutions that have compelling evidence of improving the lives of people in low-income communities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funding can be used to advance and test emerging Pay for Success models, which are often structured to align government or private sector payments for social services with verified social outcomes. Awards should be used in areas aligned with Social Innovation Fund's priorities: Youth Development, Economic Opportunity, and Healthy Futures. Restrictions on use of funds are described in the programs Notice of Federal Funding. Use of funds must be consistent with funded application, and are subject to the Corporation for National and Community Services (CNCS's) grants administration laws, regulations, and policies.

Applicant Eligibility:

See programs Notice of Federal Funding.

Beneficiary Eligibility:

See programs Notice of Federal Funding.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. 2 CFR 200, Subpart E - Cost Principles applies to this

program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See programs Notice of Federal Funding and application instructions for information on other application procedures.

Award Procedure:

See programs Notice of Federal Funding and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. See programs Notice of Federal Funding.

Appeals:

From 60 to 90 days. CNCS does not offer an appeals process.

Renewals:

See programs Notice of Federal Funding.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 100%. See programs Notice of Federal Funding.

This program does not have MOE requirements. See programs Notice of Federal Funding.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Cash management reports are required. CNCS uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. CNCS collects Federal Financial Reports (FFRs). FFRs are collected electronically by the HHS Payment Management System for CNCS. CNCS and its grant recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. CNCS grants are subject to audit by CNCS employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by CNCS must first clear a National Service Criminal History

Check and those check records must be maintained within grant records.

Account Identification:

95-2728-1-4-000.

Obligations:

(Project Grants) FY 16 \$10,000; FY 17 est \$0; and FY 18 est \$0 - No new grants will be made.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

95-14-15-2728-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See programs Notice of Federal Funding for information on literature.

Regional or Local Office:

None.

Headquarters Office:

Patricia Carpenter Corporation for National and Community Service
Social Innovation Fund
250 E Street, NW, Washington, District of Columbia 20525 Email:
pcarpenter@cns.gov Phone: 202-606-6895

Website Address:

[http://www.nationalservice.gov/programs/social-innovation-fund/our-programs/
pay-success](http://www.nationalservice.gov/programs/social-innovation-fund/our-programs/pay-success)

RELATED PROGRAMS:

94.019 Social Innovation Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the program's Notice of Federal Funding.

94.025 OPERATION AMERICORPS

Operation AmeriCorps

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

National and Community Service Act of 1990, as amended, Public Law 101-610, 104 Stat. 3127, 42 U.S.C 12501 et seq.; Edward M. Kennedy Serve America Act, Public Law 111-13, 123 Stat. 1460, 42 U.S.C 12501 note.; Domestic Volunteer Act of 1973, as amended, Public Law 93-113, 42 U.S.C 4950 et seq.

OBJECTIVES:

The Corporation for National and Community Service (CNCS) is seeking to partner with localities to use national service as the transformative catalyst to address a pressing problem. Tribal and local leaders (a mayor or other chief executive) will identify a high priority local challenge which AmeriCorps members from all three streams of service AmeriCorps State and National, AmeriCorps NCCC, and AmeriCorps VISTA can holistically address in a relatively short period of time (no more than two years). The solution must be place-based and ambitious in order to succeed in the competition. The solution may be a new initiative, or it may use national service to scale up an existing successful effort.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

See programs Notice of Federal Funding. Restrictions on use of funds are described in the programs Notice of Federal Funding. Use of funds must be consistent with the funded application, and are subject to CNCS's grants administration laws, regulations, and policies. All appropriated funds awarded

under this program are discretionary.

Applicant Eligibility:

See programs Notice of Federal Funding.

Beneficiary Eligibility:

See programs Notice of Federal Funding.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit may be requested. First time applicants must certify financial systems. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See programs Notice of Federal Funding and application instructions for information on other application procedures.

Award Procedure:

See programs Notice of Federal Funding and application instructions for information on other application procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Contact the headquarters or regional office, as appropriate, for application deadlines. See programs Notice of Federal Funding.

Appeals:

CNCS does not offer an appeals process.

Renewals:

From 30 to 60 days. See programs Notice of Federal Funding.

Formula and Matching Requirements:

Statutory Formula: Regulation 2521.35-2521.90.

Matching Requirements: See programs Notice of Federal Funding for matching information.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of two years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Cash management reports are required. CNCS uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. CNCS collects Federal Financial Reports (FFR). FFRs are collected electronically by the HHS Payment Management System for CNCS. CNCS and its grant recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. CNCS grants are subject to audit by CNCS employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final FFR for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by CNCS must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2720-0-1-506.

Obligations:

(Project Grants) FY 16 \$4,600,019; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

See programs Notice of Federal Funding for matching information.

TAFS Codes:

95-15-16-2728-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Ten Operation AmeriCorps programs continue in Fiscal Year 2016 using AmeriCorps VISTA, AmeriCorps State and National, and AmeriCorps NCCC members to accomplish program goals. Priority 1 grantees work on a transformational project that uses a national service solution to ensure that every high school senior has a career or educational opportunity upon high school graduation. Using AmeriCorps as the catalyst to engage the whole community, the goal is for every graduating high school senior to participate in one of the following five options following high school graduation:

Further education, including at two- or four-year colleges

Military service

National service in AmeriCorps

Employment

A paid internship, a registered apprenticeship, or job training program.

Priority 2 grantees work on transformational projects that address any of CNCS's six focus areas: economic opportunity, education, disaster services, veterans and military families, healthy futures, or environmental stewardship.

Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Please contact CNCS Headquarters for Regulations, Guidelines, and Literature.

Regional or Local Office:

See Regional Agency Offices. CNCS maintains regional offices in most States. Refer to the CNCS website for address and contact information.

Headquarters Office:

Patricia Stengel 250 E St SW, Washington, District of Columbia 20525 Email: pstengel@cns.gov Phone: 2026066745

Website Address:

<http://www.nationalservice.gov/programs/ameriCorps/operation-ameriCorps>

RELATED PROGRAMS:

94.003 State Commissions; 94.006 AmeriCorps; 94.013 Volunteers in Service to America

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: Please see the transparency section of www.nationalservice.gov for examples of previously funded projects. Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the programs Notice of Federal Funding.

94.026 NATIONAL SERVICE AND CIVIC ENGAGEMENT RESEARCH COMPETITION

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

The National and Community Service Act of 1990, as amended., Public Law 101-610, 42 U.S.C 12501.

OBJECTIVES:

To further achieve its mission, CNCS has a strong belief in the importance of research in order to identify effective strategies for national service and increase the evidence-base for its programs. This means engaging the broader community of academic researchers involved in studying and evaluating national service, volunteerism, and civic infrastructure. The goal of this competition is to increase the nations understanding and knowledge about the importance and potential of volunteering, national and community service, and/or civic engagement in America. We are seeking applications that build on existing research in the field, address gaps in knowledge, and provide new ideas and methodological approaches to the study of national service.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

See program's Notice of Funding Opportunity. Restrictions on use of funds are described in programs Notice of Funding Opportunity. Use of funds must be consistent with funded application, and are subject to CNCS's grants administration laws, regulations and policies. All appropriated funds awarded under this program are discretionary.

Applicant Eligibility:

See programs Notice of Funding Opportunity.

Beneficiary Eligibility:

See programs Notice of Funding Opportunity.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Please contact CNCS Headquarters for Application and Award Information.

Award Procedure:

See programs Notice of Funding Opportunity and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. See program's Notice of Funding Opportunity.

Appeals:

From 60 to 90 days. CNCS does not offer an appeals process.

Renewals:

From 60 to 90 days. See program's Notice of Funding Opportunity.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

In 2015 the assistance was up to 3 years; in 2017 the assistance will be awarded for project periods up to two years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

See program announcement for program reporting guidance. Cash management reports are required. CNCS uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. CNCS collects Federal Financial Reports (FFRs). FFRs are collected electronically by the HHS Payment Management System for CNCS. CNCS and its award recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all project/grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. CNCS grants are subject to audit by CNCS employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by CNCS must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2728-1-5-000.

Obligations:

(Cooperative Agreements) FY 16 Not Available; FY 17 est \$1,300,000; and FY 18 Estimate Not Available - A third year of funding is being offered for 2015 cohort. A new competition was initiated in 2017 for a new cohort.

Range and Average of Financial Assistance:

See programs Notice of Funding Opportunity for matching information.

TAFS Codes:

95-2015-2016-2728-000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Grantees formulated a work plan, conducted research, and submitted reports for Year 1. They also attended conferences and the CNCS Research Summit. At the Research Summit they presented 1st year findings and created research posters. Fiscal Year 2017: Grantees continue to collect/analyze data and make presentations. Each grantee will submit a report for Year 2 and 5 of the 7 who received supplemental funding for a secondary research project will submit 2 papers. Grantees are presenting their preliminary findings in CNCS research webinars for internal staff and the public and will present at the Research Summit. A few are finalizing white papers that they are hoping to publish in journals. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. CNCS maintains regional offices in most States. Refer to the CNCS website for address and contact information: <http://www.nationalservice.gov/about/contact-us/state-service-commissions/all>.

Headquarters Office:

Andrea Robles 250 E St SW, Washington, District of Columbia 20525 Email: arobles@cns.gov Phone: 2026066687

Website Address:

<http://www.nationalservice.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the program's Notice of Funding Opportunity.

EXECUTIVE OFFICE OF THE PRESIDENT

95.001 HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM (HIDTA)

FEDERAL AGENCY:

Executive Office of the President

AUTHORIZATION:

AUTHORIZATION: Office of National Drug Control Policy Reauthorization Act of 2006, Public Law 109-469.

OBJECTIVES:

To reduce drug trafficking and drug production in the United States by-- (A) facilitating cooperation among Federal, State, local, and tribal law enforcement agencies to share information and implement coordinated enforcement activities; (B) enhancing law enforcement intelligence sharing among Federal, State, local, and tribal law enforcement agencies; (C) providing reliable law enforcement intelligence to law enforcement agencies needed to design effective enforcement strategies and operations; and (D) supporting coordinated law enforcement strategies which maximize use of available resources to reduce the supply of illegal drugs in designated areas and in the United States as a whole.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This program, established by the Anti-Drug Abuse Act of 1988, authorizes the Director of the Office of National Drug Control Policy (ONDCP) to designate areas within the United States which exhibit serious drug trafficking problems and harmfully impact other areas of the country as High Intensity Drug Trafficking Areas. Grant funds are provided to law enforcement initiatives in areas that have been designated as a HIDTA. Initiatives must include collocated Federal and State/local/tribal law enforcement officers. Initiatives must also share information with regional intelligence or information centers and deconflict their operations. The reauthorization statutes provide the restrictions for the HIDTA program. Discretionary funding availability is based upon appropriated amounts.

Applicant Eligibility:

In order to apply for and receive funds, the law enforcement initiatives must be located and operate in an area designated as a HIDTA by the Director of ONDCP. The request for funding must be supported by the Executive Board of the regional HIDTA under which they will operate. Petitions may be submitted for consideration to become a new HIDTA or to become a part of an already existing HIDTA. In both cases the petition must be submitted by a coalition of law enforcement leaders. In the case of submitting to become a new HIDTA region, a funding request will be provided by the coalition of law enforcement officers. In the case where the petition is to become a part of an already established HIDTA, the funding request will be submitted to the Executive Board for their consideration/action. Applicants must agree to operate in accordance with HIDTA Program Policy and Budget Guidance and the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Beneficiary Eligibility:

Law enforcement drug task forces; drug-related law enforcement initiatives; drug-related intelligence or information centers located in designated HIDTAs.

Credentials/Documentation:

Operate in an area designated as HIDTA. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Office of National Drug Control Policy will administer and manage the HIDTA Program. Applicants must submit a proposal to the office on Standard Form 424, Federal Assistance Applications. Additional application procedures are included in HIDTA Program Policy and Budget Guidance. The receipt, review, and analysis of applications will follow the Office of National Drug Control Policy policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office of National Drug Control Policy, a letter is sent to the applicant agency with copies of the Grant Award. The signed copy of the Grant Award must be signed by the authorized official and returned to the National HIDTA Assistance Center.

Deadlines:

Jun 15, 2017: June 15th annually.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Each grantee must resubmit annually. The Executive Board of each Regional HIDTA will prepare and submit to ONDCP, an annual request for funds that includes a specific projected budget for each initiative, a description of the initiative for which the funds are requested, and performance data for the prior years activities.

Formula and Matching Requirements:

Statutory Formula: 21 CFR 1403 and 1404; 28 CFR 69.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Under the HIDTA program, award length is mandated by appropriation law. Currently awards are made for two years. See the following for information on how assistance is awarded/released: Grants are awarded as part of the baseline and discretionary funding allocations.

Reports:

Under the HIDTA Program, quarterly progress and financial reports are required. FFR Cash reports are required to be submitted quarterly. Under the HIDTA Program, quarterly progress and financial reports are required. FFR Financial reports are required to be submitted quarterly. Under the HIDTA Program, quarterly progress and financial reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

11-1070-0-1-754.

Obligations:

(Project Grants) FY 16 \$224,200,000; FY 17 est \$228,300,000; and FY 18 est

\$223,525,000

Range and Average of Financial Assistance:

Award amounts vary by HIDTA.

TAFS Codes:

0-11-2017-2018-1070-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

HIDTA Program Policy and Budget Guidance

Regional or Local Office:

None.

Headquarters Office:

Phuong DeSear, 750 17th Street, NW, Washington, District of Columbia 20503 Phone: (202) 395-6739.

Website Address:

<https://www.whitehouse.gov/ondcp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

ONDCP uses a threat-driven process that requires each HIDTA Executive Board to assess the drug trafficking activities in its region; design a strategy to attack those activities; plan initiatives to carry out the strategy; and develop a budget that is sufficient to carry out the planned initiatives. ONDCP awards funds to HDTAs based on a review and performance based assessment of the Threat Assessment, Strategy, Initiative Description and Budget Proposals that each HIDTA submits to ONDCP.

95.004 ANTI-DOPING ACTIVITIES**FEDERAL AGENCY:**

Executive Office of the President

AUTHORIZATION:

Pub. L. No. 109-469, Pub. L. No. 115-31.

OBJECTIVES:

The Office of National Drug Control Policy (ONDCP), Executive Office of the President, is seeking applications from a grantee to provide continued support of anti-doping efforts to educate athletes on the dangers of drug use and eliminate doping in amateur athletic competitions recognized by the United States Olympic Committee. Specifically:

- 1) Provide continued support of anti-doping efforts to educate athletes on the dangers of drug use and eliminate doping in amateur athletic competitions recognized by the United States Olympic Committee;
- 2) Provide support for athlete drug testing programs, research initiatives, educational programs and efforts to inform athletes of the adopted rules governing the use of prohibited substances outlined in the World Anti-Doping Code (the Code); and
- 3) Provide support for legal efforts to enforce compliance with the Code and adjudicate athlete appeals involving doping violations.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The anti-doping efforts must be accomplished by an agency that: (1) Is an independent anti-doping organization for the amateur athletic competitions recognized by the United States Olympic Committee; (2) Ensures that athletes participating in amateur athletic activities recognized by the United States Olympic Committee are prevented from using performance-enhancing drugs, or performance-enhancing genetic modifications accomplished through gene-doping; (3) Implements anti-doping education, research, testing, and adjudication programs to prevent United States Amateur Athletes participating

in any activity recognized by the United States Olympic Committee from using performance-enhancing drugs, or performance-enhancing genetic modifications accomplished through gene-doping; (4) Serves as the United States representative responsible for coordination with other anti-doping organizations coordinating amateur athletic competitions recognized by the United States Olympic Committee to ensure the integrity of athletic competition, the health of the athletes and the prevention of use of performance-enhancing drugs, or performance-enhancing genetic modifications accomplished through gene-doping by United States amateur athletes; and (5) permanently include gene doping on any list of prohibited techniques and permanently include any genetically modified substances through gene doping on any list adopted by the agency.

Applicant Eligibility:

Anti-doping activities.

Beneficiary Eligibility:

Anti-doping activities.

Credentials/Documentation:

Applications under this program must be submitted electronically as specified via Grants.gov. The funding opportunity announcement includes eligibility requirements and required certifications that must be submitted at the time of application. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must register in, and submit applications through Grants.gov, a one-stop storefront to find Federal funding opportunities and apply for funding. Complete instructions on how to register and submit an application are at www.Grants.gov.

Award Procedure:

Upon approval by the Office of National Drug Control Policy, a grant letter and conditions are sent to the selected grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Application due dates are established in the Funding Opportunity Announcement found in Grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant will be available for 12 months, with extensions possible. Method of awarding/releasing assistance: lump sum.

Reports:

Annual program reports are required. Federal Financial Reports are required quarterly with a final report being submitted within 90 days of project end date. No progress reports are required. Federal Financial Reports are required quarterly with a final report being submitted within 90 days of project end date. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

11-1460-0-1-754.

Obligations:

(Project Grants) FY 16 \$9,500,000; FY 17 est \$9,500,000; and FY 18 est \$9,500,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

0-11-X-1460-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pub. L. No. 109-469; Pub. L. No. 115-31

Regional or Local Office:

None.

Headquarters Office:

Puong DeSear 750 17th Street, NW, Washington, District of Columbia 20503
Email: puong_desear@ondcp.eop.gov Phone: 202-395-6739

Website Address:

<https://www.whitehouse.gov/ONDCP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants must demonstrate the organizations expert knowledge and extensive experience in anti-doping requirements, techniques, and application of programs to determine compliance. Applicants must be recognized or be acceptable by the United States Olympic Committee.

**95.005 DRUG COURT TRAINING AND TECHNICAL ASSISTANCE
FEDERAL AGENCY:**

Executive Office of the President

AUTHORIZATION:

Public Law 115-31.

OBJECTIVES:

The Administration supports a combined public health and public safety approach to reducing drug use and its consequences. Three facts guide our drug policy: (1) addiction is a disease that can be treated; (2) people can recover; and, (3) we cannot arrest our way out of the drug problem. The National Drug Control Strategy recognizes that for individuals who come into contact with the justice system, substance use disorders must be identified and treated as early in the justice process as possible, and incarceration cannot be the only (or even the primary) response to people with substance use disorders. Drug courts and other evidence-based diversion programs are key to the success of this approach. The Office of National Drug Control Policy (ONDCP) will award one or more Federal grants to establish training, technical assistance, and other resources to advance criminal justice reforms, including drug courts that respond to the needs of justice-involved individuals with substance use disorders.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

ONDCP is seeking providers for the following issue areas:

1. Evidence-based Interventions and Systemic Implementation
2. Behavioral Management and Science of Addiction
3. Current and Emerging Issues Facing Drug Courts.

Applicant Eligibility:

Organizations with expert knowledge of drug courts and extensive experience in brokering and developing training and technical assistance for drug court professionals are eligible. The trainings and technical assistance will require organizations with relevant subject matter expertise and extensive experience in developing and providing training and technical assistance for a variety of disciplines (e.g. judges, prosecutors, public defenders, defense counsel, probation and parole officers, law enforcement officers, law enforcement executives, court administrators, and treatment providers). Applicants can partner with other entities to achieve required expertise.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Organizations with expert knowledge of drug courts and extensive experience in brokering and developing training and technical assistance for drug court professionals are eligible. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by the Office of National Drug Control Policy, a grant letter and conditions are sent to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant will be available for 24 months, with extensions possible. Method of awarding/releasing assistance: lump sum.

Reports:

Program report required every 6 months and final report to be submitted within 90 days. They should include information on the trainings, technical assistance and information developed, conducted and delivered during the reporting period. Including number of trainings provided, what information has been developed, what type of technical assistance was provided, how many participants received training, and how many entities received technical assistance. Program reports should also include information on performance measures outlined below. Federal Financial Report required quarterly and final report to be submitted within 90 days. No progress reports are required. Federal Financial Report required quarterly and final report to be submitted within 90 days. Successful applicants must provide data that measure the results of their work. Report will include measures of adhering to project timelines, meeting deliverables schedules, obtaining input from customers, and seeking feedback from stakeholders. Applicants must describe any baseline data that will be used, the method to store data, and any safeguards put in place to protect personally

identifiable information. Reports are due every 6 months.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

11-1460-0-1-754.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$3,400,000; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

varies by appropriated amount.

TAFS Codes:

0-11-X-1460-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Phuong DeSear 750 17th Street, NW, Washington, District of Columbia 20503
Email: phuong_desear@ondcp.eop.gov Phone: 202-395-6739

Website Address:

<https://www.whitehouse.gov/ONDCP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that meet basic minimum requirements will be evaluated, scored and rated based on the following criteria: statement of the problem, program design and implementation, capabilities and competencies, performance measure data collection plan, budget, and budget narrative.

95.006 MODEL STATE DRUG LAWS INITIATIVE

FEDERAL AGENCY:

Executive Office of the President

AUTHORIZATION:

Public Law 109-469
, Section 1105.

OBJECTIVES:

The purpose of ONDCPs Model State Drug Laws Initiative is to conduct research and analysis, provide technical assistance, and draft model state drug and alcohol laws, policies and programs as established by PL109-469, Section 1105, MODEL ACTS and in accordance with the Presidents Commission on Model State Drug Laws in 1993. Through this Initiative, ONDCP aims to assist policymakers and practitioners in developing comprehensive laws, policies and programs on current and emerging drug and alcohol issues facing states and localities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

ONDCP is seeking a provider to:

- Conduct research and analysis on state and local drug and alcohol laws, policies and programs; - Draft comprehensive model state drug and alcohol legislation, revise current model laws and draft supplementary model state laws; and
- Provide technical assistance and maintain a repository and web-based resource center on model state drug and alcohol laws, policies and programs.

Applicant Eligibility:

Applicants must have expert knowledge and extensive experience in conducting research and analysis, providing technical assistance, and drafting model state drug and alcohol laws, policies and programs as established by PL109-469, Section 1105, MODEL ACTS and in accordance with the Presidents Commission on Model State Drug Laws in 1993.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Applicants must have expert knowledge and extensive experience in conducting research and analysis, providing technical assistance, and drafting model state drug and alcohol laws, policies and programs as established by PL109-469, Section 1105. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by the Office of National Drug Control Policy, a grant letter and conditions are sent to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant will be available for 24 months, with extensions possible. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Federal Program Report required quarterly and final report to be submitted within 90 days. Federal Financial Report required quarterly and final report to be submitted within 90 days. Federal Program Report required quarterly and final report to be submitted within 90 days. Federal Financial Report required quarterly and final report to be submitted within 90 days. Federal Program Report required quarterly and final report to be submitted within 90 days.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

11-1460-0-1-754.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$2,500,000; and FY 18 est \$0

Range and Average of Financial Assistance:

range varies based upon appropriated amount.

TAFS Codes:

0-11-X-1460-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Phuong DeSear 750 17th Street, NW, Washington, District of Columbia 20503
Email: phuong_desear@ondcp.eop.gov Phone: 202-395-6739

Website Address:

<https://www.whitehouse.gov/ONDCP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will not be considered that fail to demonstrate the organizations expertise and extensive experience in drafting model state drug and alcohol laws, conducting research and analysis, and providing technical assistance on model state drug and alcohol laws. Application must include: statement of the problem, program design and implementation, capabilities and competencies, budget worksheet and narrative, and performance measures.

95.007 RESEARCH AND DATA ANALYSIS

FEDERAL AGENCY:

Executive Office of the President

AUTHORIZATION:

P.L. 109-469.

OBJECTIVES:

The purpose of research and data analysis is to inform policy formulation and assessment. The work involves research and analysis of data as it pertains to drug policy.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

ONDCP is seeking a provider to conduct research and data analysis on relevant drug topics.

Applicant Eligibility:

Applicants must have expert knowledge and extensive experience in conducting research and analysis.

Beneficiary Eligibility:

Applicants must have expert knowledge and extensive experience in conducting research and analysis.

Credentials/Documentation:

Applicants must have expert knowledge and extensive experience in conducting research and analysis. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by the Office of National Drug Control Policy, a grant letter and conditions are sent to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The cooperative agreement will be available for 12 months, with extensions possible. Method of awarding/releasing assistance: lump sum.

Reports:

Federal Program Report required quarterly and final report to be submitted within 90 days. Federal Financial Report required quarterly and final report to be submitted within 90 days. Federal Program Report required quarterly and final report to be submitted within 90 days. Federal Financial Report required quarterly and final report to be submitted within 90 days. Federal Program Report required quarterly and final report to be submitted within 90 days.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

11-1457-0-0-000.

Obligations:

(Cooperative Agreements) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

0-11-2017-2017-1457-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Phuong DeSear 750 17th Street, NW, Washington, District of Columbia 20503
Email: phuong_desear@ondcp.eop.gov Phone: 202-395-6739

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will not be considered that fail to demonstrate the organizations expertise and extensive experience in drug research and data analysis.

**95.008 DRUG-FREE COMMUNITIES SUPPORT PROGRAM - NATIONAL YOUTH LEADERSHIP INITIATIVE
FEDERAL AGENCY:**

Executive Office of the President

AUTHORIZATION:

Drug-Free Communities Act of 1997, Public Law 105-20; Reauthorized by Public Law 107-82.

OBJECTIVES:

Implementation of National Youth Leadership Initiative (NYLI) at the National Leadership Forum and the Mid-Year Training Institute. Also develop infrastructure to expand outreach by the NYLI to diverse youth populations. These functions would further assist in providing technical assistance to Drug-Free community coalitions in their substance use prevention efforts.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

NYLI equips youth and their adult advisors with the essential knowledge and skills needed to make significant community-level change. This youth-led, adult-guided approach follows the best practices in youth development, empowering young people to be vocal and visible as they work to impact a broad range of public health issues where they live, study, and play.

Applicant Eligibility:

Applicants must be a non-profit entity (501(c)3) with expert knowledge and extensive experience in community mobilizing using the Seven Strategies for Community Change. Applicants must have served as an essential partner in assisting the Drug-Free Communities (DFC) Support Program with technical assistance to community coalitions in their substance use prevention efforts.

Beneficiary Eligibility:

Supports NYLI training program, where there is strong participation by DFC-funded communities.

Credentials/Documentation:

Applicants must be a non-profit entity (501(c)3) with expert knowledge and extensive experience in community mobilizing using the Seven Strategies for Community Change. Applicants must have served as an essential partner in assisting the Drug-Free Communities (DFC) Support Program with technical assistance to community coalitions in their substance use prevention efforts. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by the Office of National Drug Control Policy, a grant and conditions are sent to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant will be available for 12 months, with extensions possible. Method of awarding/releasing assistance: lump sum.

Reports:

A written report on the NYLI Youth in Action community-based change projects will be provided to ONDCP to document the outputs of NYLI participants at the local level in support of coalition work. FFR reports are due quarterly. FFR reports are due quarterly. FFR reports are due quarterly. A report on the progress and outcomes of the NYLI outreach to diverse populations will be included in the NYLI Youth in Action report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantee must keep complete records on the disposition of funds and records related to the cooperative agreement must be retained for 3 years.

Account Identification:

11-1460-0-1-754.

Obligations:

(Cooperative Agreements) FY 16 \$200,000; FY 17 est \$0; and FY 18 est \$200,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

0-11-X-1460-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Phuong DeSear 750 17th Street, NW, Washington, District of Columbia 20503
Email: phuong_desear@ondcp.eop.gov Phone: 202-395-6739

Website Address:

<https://www.whitehouse.gov/ONDCP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will not be considered that fail to demonstrate the organization's expertise and extensive experience in supporting training programs for DFC-funded community coalitions.

96.001 SOCIAL SECURITY DISABILITY INSURANCE

Social Security Disability Insurance

FEDERAL AGENCY:

Social Security Administration

AUTHORIZATION:

Social Security Act of 1935, Title II, as amended; Public Laws 96-265; 97-123, and 97-35, 42 U.S.C. 401; 42 U.S.C. 402; 42 U.S.C. 416; 42 U.S.C. 420-425, Public Law 96-265, II U.S.C.

OBJECTIVES:

To replace part of the earnings lost because of a physical or mental impairment, or a combination of impairments, severe enough to prevent a person from working.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Monthly cash benefits are paid to entitled disabled persons and to entitled auxiliary beneficiaries throughout the period of disability generally after a 5-month waiting period. Costs of vocational rehabilitation also are paid for certain beneficiaries. There are no restrictions on the use of benefits received by beneficiaries, although the right to future benefits is not transferable or assignable. In general, State agencies make initial disability determinations for the Federal Government. The Federal Government gives the States funds, in advance or by way of reimbursement, for necessary costs in making disability determinations under 20 CFR 404.1503.

Applicant Eligibility:

A disabled worker is entitled to Social Security disability benefits if he or she has worked for a sufficient period of time under Social Security to be insured, has not attained "full-benefit retirement age" (66 years old for workers age 62 in 2005), has filed an application, and is under a disability as defined in the Social Security law. The law defines disability as the inability to do any substantial gainful activity by reason of any medically determinable physical or mental impairment which can be expected to result in death or which has lasted or can be expected to last for a continuous period of not less than 12 months. The insured status requirements depend upon the age of the applicant and the date he or she became disabled. Coverage credits under the social security systems of certain foreign countries with which the U.S. has reciprocal agreements may be taken into account to meet the requirements. Certain family members of disabled workers are also entitled to benefits: (1) Unmarried children under age 18, or under age 19 for full-time students in elementary or secondary school; (2) unmarried adult offspring at any age if continuously disabled since before age 22; (3) wife or husband at any age if child in his or her care is receiving benefits on worker's Social Security record and is under age 16 or disabled; (4) spouse age 62 or over; and (5) divorced wives or husbands age 62 or over who were married to the worker for at least 10 years. (Benefits are also payable to auxiliaries, including certain disabled widow(er)s, disabled surviving divorced spouses, children under age 19 who are full-time students in an elementary or secondary school, and disabled children of the worker, after the worker dies. See 96.004 "Social Security-Survivors' Insurance".) For workers who are first entitled after 1985 for both (a) a pension based on non-covered employment; and (b) Social Security disability (or retirement) benefits, a less generous benefit formula applies. In addition, Social Security disability benefits are reduced (offset) by the amount that the sum of all disability benefits payable under Social Security and certain Federal, State, or local public disability and workers' compensation laws or plans exceeds the higher of 80 percent of the worker's average current earnings or the total Social Security benefit that would otherwise be payable on the disabled worker's record. The Social Security benefit for a spouse of a disabled worker is subject to a pension offset if the spouse receives a governmental pension based on his or her own work in non-covered employment. However, the offset does not apply if: (i) the person received or became eligible to receive the pension before December 1, 1982, and can meet requirements for Social Security auxiliaries' benefit as they existed in January 1977; or (ii) if the person received, or was eligible to receive, the pension before July 1, 1983, and the person was dependent on his or her spouse for at least one-half support at the time the spouse died, became disabled or became entitled to Social Security benefits. The amount of the public

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pension used for purposes of the offset against Social Security spouse's benefits is equal to two-thirds of the public pension. The Social Security benefit for the spouse of a disabled worker is also offset dollar for dollar by the amount of any Social Security benefit the spouse receives based on his or her own work. All benefits to spouses and children of disabled workers are subject to an earnings test unless those beneficiaries are full-benefit retirement age or older. Beginning with the year 2000, the retirement earnings test was eliminated beginning with the month in which the beneficiary reaches full-benefit retirement age (FRA). A person at and above FRA will not have Social Security benefits reduced because of earnings. In the calendar year in which a beneficiary reaches FRA, benefits are reduced \$1 for every \$3 of earnings above the limit allowed by law, \$31,800 in 2005, but this reduction is applied only to months prior to attainment of FRA. For years before the year the beneficiary attains FRA, the reduction in benefits is \$1 for every \$2 of earnings over the annual exempt amount. Further, no benefit can be paid to an alien in the United States unless he or she is lawfully present in the United States. In addition, an alien cannot qualify for benefits if he or she never had a work-authorized Social Security Number (SSN) (effective for benefit applications based on SSNs issued after 2003).

Beneficiary Eligibility:

Qualified disabled workers under full retirement age (FRA). Under the definition of disability in the Social Security Law, disability benefits are provided to a person who is unable to engage in any substantial gainful activity by reason of a medically determinable physical or mental impairment that has lasted or is expected to last at least 12 months, or to result in death. Disabled widow(er)s' benefits are covered under survivors insurance. Felony-related impairments and confinement-related impairments cannot be considered in determining whether an individual is under a disability if the individual has been convicted of a felony which was committed after October 19, 1980. Effective for claims finally adjudicated on or after March 29, 1996, (or for claims approved before then, with benefits payable beginning January 1, 1997) eligibility can no longer be based on drug addiction or alcoholism.

Credentials/Documentation:

Proof of disability and possibly proof of age. If applying for benefits for family members, additional proofs of age, relationship to disabled worker, or full-time school attendance may be required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Telephone toll free at 1 (800) 772-1213 or telephone or visit the local Social Security Office.

Award Procedure:

After review of the application is completed, the applicant (or representative payee) will be notified by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

An individual should apply for disability benefits when he or she believes the entitlement requirements may be met. Retroactivity of benefit payments is limited to 1 year before filing.

Appeals:

Telephone or visit any Social Security Office. The appeal process ranges from a reconsideration through hearings and appeals levels to a review by the Federal courts.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Regarding reports: Any change in address or other requirement (such as improvement of disabling condition or work activity) must be reported to the local Social Security Office when it occurs.

Continuing Disability Reviews: Persons are contacted periodically to see if they continue to qualify for benefits.

Records:

Not applicable.

Account Identification:

20-8007-0-7-651.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 Not Available(Exp: Need to verify); FY 17 Estimate Not Available(Exp: Need to verify); and FY 18 Estimate Not Available(Exp: Need to verify)

Range and Average of Financial Assistance:

Monthly cash benefits for a worker disabled in 2005 range up to a maximum of \$2,099 based on the level of the worker's earnings and the age at which a worker becomes disabled. The corresponding maximum for such a worker with a family is \$3,148.60. As of December 31, 2004, the average benefit paid to a disabled worker alone was \$880 and the average amount payable to a disabled worker with eligible dependents was \$1,496. This takes into account stipulations set forth in Public Law 96-265 and Public Law 97-35. Under Public Law 97-123, the minimum amount is no longer applicable for workers who either become disabled or first met the insured status requirements after December 1981, and a lesser amount can be paid, depending on the worker's average earnings.

TAFS Codes:

28-28-8007-000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Consult Appendix IV of the Catalog.

Headquarters Office:

<http://www.socialsecurity.gov/> see website for local SSA office., Baltimore, Maryland 21235 Phone: 1-800-772-1213

Website Address:

<http://www.socialsecurity.gov>

RELATED PROGRAMS:

17.302 Longshore and Harbor Workers' Compensation; 17.307 Coal Mine Workers' Compensation; 57.001 Social Insurance for Railroad Workers; 64.103 Life Insurance for Veterans; 93.560 Family Support Payments to States_Assistance Payments; 93.773 Medicare_Hospital Insurance; 93.774 Medicare_Supplementary Medical Insurance; 96.002 Social Security_Retirement Insurance; 96.006 Supplemental Security Income

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

96.002 SOCIAL SECURITY RETIREMENT INSURANCE**FEDERAL AGENCY:**

Social Security Administration

AUTHORIZATION:

Social Security Act of 1935, Executive Order as amended, Title II, Public Law 401-208, 42 U.S.C 401-433.

OBJECTIVES:

To replace part of the earnings lost due to retirement.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Monthly cash benefits are paid to eligible retired workers and their eligible auxiliaries. There are no restrictions on use of benefits by a beneficiary, although the right to future benefits is not transferable or assignable.

Applicant Eligibility:

Retired workers age 62 and over who have worked the required number of years under Social Security are eligible for monthly benefits. Coverage credits under the social security systems of certain foreign countries with which the U.S. has reciprocal agreements may be taken into account to meet the requirements. If an eligible worker age 62 or over receives benefits before full-benefit retirement age (FRA)(age 66 for workers age 62 in 2005), the individual's retirement benefit will be permanently reduced. Also, certain family members can receive benefits including: (1) A wife or husband age 62 or over; (2) a spouse at any age, if a child who is under age 16 or is disabled is in his or her care and is entitled to benefits based on the worker's record; (3) unmarried children under age 18 (or under age 19 for students in elementary or secondary school); (4) unmarried adult offspring at any age if disabled before age 22; and (5) divorced wives or husbands age 62 or over who were married to the worker for at least 10 years. Beginning January 1985, spouses ages 62 or over who have been divorced for at least 2 years (and married to the worker for at least 10 years) may become entitled to benefits regardless of whether the former spouse who is at least age 62 and fully insured has applied for benefits. Effective January 1991, the 2-year waiting period for payment of divorced spouse's benefits without regard to the former spouse's earnings was waived if the former spouse was entitled to benefits prior to the divorce. All benefits, other than benefits to disabled beneficiaries, and beneficiaries FRA and older, are subject to an earnings test. Beginning with the year 2000, the retirement earnings test was eliminated beginning with the month in which the beneficiary reaches FRA. A person at and above FRA will not have Social Security benefits reduced because of earnings. In the calendar year in which a beneficiary reaches FRA, benefits are reduced \$1 for every \$3 of earnings above the limit allowed by law, \$31,800 in 2005, but this reduction is applied only to months prior to attainment of FRA. For years before the year the beneficiary attains FRA, the reduction in benefits is \$1 for every \$2 of earnings over the annual exempt amount, \$16,920 in 2017. For workers who are first eligible after 1985 for both (a) a pension based on non-covered employment; and (b) Social Security retirement (or disability) benefits, a different benefit formula applies which provides somewhat lower benefits. The Social Security benefit for a spouse of a retired worker is subject to a pension offset if the spouse receives a governmental pension based on his or her own work in non-covered employment. However, the offset does not apply if: (i) the person has received or became eligible to receive the pension before December 1, 1982, and met eligibility requirements for Social Security auxiliaries' benefits as they existed in January 1977; or (ii) if the person receives, or is eligible to receive, the pension before July 1, 1983, and the person was dependent on his or her spouse for at least one-half support at the time the spouse died, became disabled or became entitled to Social Security benefits. The amount of the public pension used for purposes of the offset against Social Security spouse's benefits is equal to two-thirds of the public pension. The benefit for the spouse of a retired worker is also offset dollar for dollar by the amount of any Social Security

benefit the spouse receives based on his or her own work. Effective for those who have reached age 62 since August 1981, a retired worker or spouse can receive benefits only for months in which he or she has attained at least age 62 for the entire month. No benefit can be paid to an alien in the United States unless he or she is lawfully present in the United States. In addition, an alien cannot qualify for benefits if he or she never had a work-authorized Social Security Number (SSN) effective for benefit applications based on SSNs issued after 2003).

Beneficiary Eligibility:

Benefits are paid to retired workers age 62 and over who have worked the required number of years under Social Security, and to certain family members.

Credentials/Documentation:

Proof of age is required. If applying for benefits for auxiliaries, additional proofs of age, dependency, and relationship to the retired worker may be required. To receive benefits, auxiliaries must have a SSN. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Call toll free at 1 (800) 772-1213 or, telephone or visit the local Social Security Office. Retired workers, spouses and divorced spouses may also apply via the Internet.

Award Procedure:

After review of the application is completed, the applicant (or representative payee) will be notified by mail.

Deadlines:

Jan 01, 2017 to Dec 31, 2017 It is to the worker's advantage to apply for benefits in the three months before he or she retires. Retroactivity is limited to 6 months if unreduced benefits are being awarded. No retroactivity is provided where reduced benefits are being awarded.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Call toll free at 1(800) 772-1213 or telephone or visit the local Social Security office. The appeal process can range from a case review to a review by the Federal Courts. An appeal must be requested in writing within 60 days of the date on which a written notice of SSA's decision is received by the applicant. The 60 days start the day after notice is received.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

N/A. See the following for information on how assistance is awarded/released: Contact Local Office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

Any change in address or eligibility requirements (such as work status, marital or family status, or school attendance) must be reported to the Social Security Office when it occurs.

Account Identification:

20-8006-0-7-651.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 Not Available(Exp: Program must verify); FY 17 Estimate Not Available(Exp: Program must verify); and FY 18 Estimate Not Available(Exp: Program must verify)

Range and Average of Financial Assistance:

Monthly cash benefits for a worker retiring at full retirement age (FRA) in 2017 range up to \$2,687 and to a maximum of \$4,702.60 for a family of such a worker receiving benefits. As of December 31, 2016, the average benefit paid to a retired worker alone (no family members receiving benefits) was \$1,360 and the average amount payable to a retired worker with an eligible spouse was \$1,574.

TAFS Codes:

28-28-8006.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 401, 404, and 422. "Your Social Security", "Thinking About Retiring", and many other publications are available from any Social Security office without charge.

Regional or Local Office:

See Regional Agency Offices. Consult Appendix IV of the Catalog.

Headquarters Office:

www.socialsecurity.gov Room 4100, Annex, Social Security Administration, Baltimore, Maryland 21235 Phone: 1-800-772-1213

Website Address:

http://www.socialsecurity.gov

RELATED PROGRAMS:

57.001 Social Insurance for Railroad Workers; 96.001 Social Security_Disability Insurance; 96.004 Social Security_Survivors Insurance; 96.006 Supplemental Security Income

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

96.004 SOCIAL SECURITY SURVIVORS INSURANCE

FEDERAL AGENCY:

Social Security Administration

AUTHORIZATION:

Social Security Act of 1935, Executive Order as amended, Title II, 42 U.S.C 401-433.

OBJECTIVES:

To replace part of the earnings lost to dependents because of the worker's death.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Monthly cash benefits are paid to eligible family members of deceased workers. There are no restrictions on the use of benefits, although the right to future benefits is not transferable or assignable. The Federal government gives the States funds, in advance or by way of reimbursement, for necessary costs in making disability determinations under 20 CFR part 404 subparts P and Q. Necessary costs are direct as well as indirect costs as defined in 41 CFR Part 1-15, Subpart 1-15.7 of the Federal Procurement Regulations System for costs incurred before April 1, 1984; and 48 CFR 31, Subpart 31.6 of the Federal Acquisition Regulations System and Federal Management Circular A-74-4 as amended or superseded for costs incurred after March 31, 1984.

Applicant Eligibility:

Benefits are payable only if the deceased was insured for survivors insurance

protection. Coverage credits under the social security systems of certain foreign countries with which the U.S. has reciprocal agreements may be taken into account to meet the requirements. Survivors eligible for monthly cash benefits are the following: widows or widowers age 60 or over; surviving divorced spouses age 60 or over (married to the deceased worker for at least 10 years); disabled widows, widowers or surviving divorced spouses ages 50- 59; widows, widowers, or surviving divorced spouses at any age who have in their care a child under age 16 or disabled and entitled to benefits on the deceased worker's Social Security record; unmarried children under age 18, under age 19 and a full time student in elementary or secondary school; or age 18 or older and under a disability which began before age 22; and dependent parents age 62 and over. All survivors benefits, other than for beneficiaries at full retirement age (FRA) or older, are subject to an earnings test. Beginning with the year 2000, the retirement earnings test was eliminated beginning with the month in which the beneficiary reaches FRA. A person at and above FRA will not have Social Security benefits reduced because of earnings. In the calendar year in which a beneficiary reaches FRA, benefits are reduced \$1 for every \$3 of earnings above the limit allowed by law, \$44,880 in 2017, but this reduction is applied only to months prior to attainment of FRA. For years before the year the beneficiary attains FRA, the reduction in benefits is \$1 for every \$2 of earnings over the annual exempt amount, \$16,920 in 2017. Except for benefits to children and dependent parents, all survivors benefits are subject to a pension offset if the person is also receiving a governmental pension based on his or her own work in non-covered employment. However, the offset does not apply: (1) If the person receives, or is eligible to receive, the pension before December 1, 1982, and can meet the requirements for the Social Security auxiliary's benefit as they existed in January 1977; or (2) if the person receives, or is eligible to receive, the pension before July 1, 1983, and the person was dependent on his or her spouse for at least one-half support at the time the spouse died, became disabled or became entitled to Social Security benefits; or (3) if the last 60 months of a persons government service before retirement was covered by both Social Security and the pension plan that provides the government pension. The amount of the public pension used for purposes of the offset against Social Security survivor's benefits is equal to two-thirds of the public pension. Benefits for widows/widowers and surviving divorced spouses are also offset dollar for dollar by any Social Security benefit the surviving spouse receives based on his or her own work. Under certain conditions, a lump-sum death payment of \$255 is payable to the widow or children of the deceased worker. Further, no benefit can be paid to an alien in the United States unless he is lawfully present in the United States. Also, an alien cannot qualify for benefits if he or she never had a work-authorized Social Security Number (SSN) (effective for benefit applications based on SSNs issued after 2003).

Beneficiary Eligibility:

Widows, widowers, and surviving divorced spouses age 60 or over are entitled as long as the worker met the insurance requirements. Widows, widowers, and surviving divorced spouses also qualify at any age if they have entitled children of the worker under age 16 or disabled children in their care; unmarried children: under age 18, under age 19 and a full-time student in elementary or secondary school or age 18 or older and under a disability which began before age 22; and dependent parents age 62 and over. To claim benefits as disabled widows, widowers, or surviving divorced spouses, individuals ages 50-59 must show that they have a disability that started no later than 7 years after the insured died or 7 years after certain other events. "Disability" currently has the same meaning for these entitlements as it does for workers who claim disability insurance (see 96.001). As in worker disability claims, there is also a 5-month waiting period after the disability began before benefits begin and entitlement to Medicare after 24 months of entitlement to benefits. Children of the worker claiming benefits because of disability are also subject to the definition of disability used for workers and must show that they have been disabled since before they reached age 22. There is no waiting period for these benefits.

Credentials/Documentation:

Social Security number, proof of death, age, and relationship. For certain auxiliaries, proof of support may be required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Call toll free at 1 (800) 772-1213 or telephone or visit the local Social Security Office.

Award Procedure:

After review of the application is completed, the applicant (or representative payee) will be notified by mail.

Deadlines:

Jan 01, 2017 to Dec 31, 2017 Retroactivity is limited to 6 months if unreduced benefits are being awarded. Other than a minor exception that permits one-month retroactivity if the widow(er) files for benefits in the month after the month of the worker's death, no retroactivity is provided in most cases when reduced benefits are being awarded. For disabled surviving spouses and disabled surviving divorced spouses, benefits may be retroactive for up to 12 months.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Call toll free at 1 (800) 772-1213 or telephone or visit the local Social Security office. The appeal process can range from a case review to a review by the Federal courts. An appeal must be requested in writing within 60 days of the date on which a written notice of SSA's decision is received by the applicant. The 60 days start, the day after receipt of the notice.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: See local office.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

20-8006-0-7-651.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

28-8006.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Consult Appendix IV of the Catalog.

Headquarters Office:

www.socialsecurity.gov Room 4100, Annex, Social Security Administration, Baltimore, Maryland 21235 Phone: 1-800-772-1213

Website Address:

<http://www.socialsecurity.gov>

RELATED PROGRAMS:

17.302 Longshore and Harbor Workers' Compensation; 17.307 Coal Mine Workers' Compensation; 57.001 Social Insurance for Railroad Workers; 64.103 Life Insurance for Veterans; 64.105 Pension to Veterans Surviving Spouses, and Children; 96.001 Social Security Disability Insurance; 96.002 Social Security Retirement Insurance; 96.006 Supplemental Security Income

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

96.006 SUPPLEMENTAL SECURITY INCOME

Supplemental Security Income

FEDERAL AGENCY:

Social Security Administration

AUTHORIZATION:

Social Security Act of 1935, Title XVI, as amended; 42 U.S.C. 1381-1383f., Title XVI, 42 U.S.C 1381-1383f.

OBJECTIVES:

To ensure a minimum level of income to persons who have attained age 65 or are blind or disabled, and whose income and resources are below specified levels.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Supplemental Security Income (SSI) payments are made to persons who have attained age 65 or who are blind or disabled and meet the means-tested and other requirements of the program. Generally, there are no restrictions on the use of benefits received by beneficiaries, although the right to future benefits is not transferable or assignable. The Federal government gives the States funds, in advance or by way of reimbursement, for necessary costs in making disability determinations under 20 CFR 404 subparts P and Q and part 416 subparts I and J. Necessary costs are direct as well as indirect costs as defined in 41 CFR 1-15, subpart 1-15.7 of the Federal Procurement Regulations System for costs incurred before April 1, 1984; and 48 CFR 31, Subpart 31.6 of the Federal Acquisition Regulations System and Federal Management Circular No. A-74-4 as amended or superseded for costs incurred after March 31, 1984.

Applicant Eligibility:

To be found disabled for SSI purposes: an individual age 18 or older must be unable to perform any substantial gainful activity by reason of any medically determinable physical or mental impairment which can be expected to result in death or which has lasted or can be expected to last for a continuous period of at least 12 months; an individual under age 18 must have a medically determinable physical or mental impairment or combination of impairments that causes marked and severe functional limitations, and that can be expected to cause death or that has lasted or can be expected to last for a continuous period of at least 12 months. An individual under age 18 who files a new application for benefits and is engaging in substantial gainful activity will not be considered disabled. To be found blind for SSI purposes, an individual of any age must be "statutorily blind." This means central visual acuity of 20/200 or less in the better eye with use of a correcting lens. The eligibility of an individual who has attained age 65 or who is blind or disabled is determined on the basis of an assessment of the individual's monthly income and resources, citizenship or alien status, U.S. residency, and certain other eligibility requirements. In determining a month's income, the first \$20 of Social Security or other unearned income is not counted. An additional \$65 of earned income (\$85 if the person had no unearned income) received in a month plus one-half of the remainder above \$65 (or \$85) also is not counted. If, after these (and other) exclusions, an individual's countable income, effective January 2017, is less than \$735 per month (\$1,103 for a couple, both of whom are aged, blind or disabled) and countable resources are less than \$2,000 (\$3,000 for a couple), the individual may be eligible for payments. The values of household goods,

personal effects, an automobile, life insurance, and property needed for self support are, if within limits set out in regulations, excluded in determining value of resources. Burial spaces for an individual and immediate family and burial funds, up to \$1,500 each for an individual and spouse, are excluded from resources. The value of a home which serves as the principal place of residence is also excluded in resource valuation.

Beneficiary Eligibility:

Individuals who have attained age 65 or are blind or disabled, who continue to meet the income and resources tests, citizenship/qualified alien status, U.S. residence, and certain other requirements. Eligibility may continue for beneficiaries who engage in substantial gainful activity despite disabling physical or mental impairments.

Credentials/Documentation:

Proof of age, marital status, income and resources, establishment of blindness or disability, proof of residence in the U.S. and citizenship, or alien status is required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Call toll free at 1-800-772-1213 or telephone or visit the local Social Security Office.

Award Procedure:

The individual (and representative payee, if any.) will be notified by mail of award or denial.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Call toll free at 1 (800) 772-1213 or telephone or visit the local Social Security Office. The appeal process ranges from a case review or field office conference to a review by the Federal Courts. An appeal must be requested within 60 days of the date on which a written notice of SSA's initial determination is received by the applicant. The 60 days start the day after you receive the notice.

Renewals:

A redetermination of a person's benefit amount and continuing eligibility will be made on a scheduled basis at periodic intervals. Unscheduled redeterminations are made when changes in circumstances are reported. The length of time between scheduled redetermination varies depending on the likelihood that the beneficiary's situation may change in a way that affects payment amount or eligibility.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Benefits are paid monthly. Accrued benefits are paid in a lump sum unless they exceed a specified amount in which case they are paid in up to three installments at 6 month intervals. In the case of a disabled child, accrued payments over a certain amount must be retained in a dedicated account and used only for certain approved expenditures. See the following for information on how assistance is awarded/released: contact.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Reports: Any change of address or any event affecting eligibility

or benefit amount (such as an increase in resources or income or improvement of disabling condition) must be reported to the Social Security Administration when the event occurs.

Records:

None.

Account Identification:

75-0406-0-1-609.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$54,800,000,000; FY 17 Estimate Not Available; and FY 18 Estimate Not Available - FY 2015 \$54.8 billion, FY 2016 \$54.6 billion (Note: These figures represent benefits actually paid, or expected to be paid.).

Range and Average of Financial Assistance:

Monthly Federal cash payments range from \$1 to \$735 for an aged, blind, or disabled individual who does not have an eligible spouse, and from \$1 to \$1,103 for an aged, blind, or disabled individual and an eligible spouse. These rates became effective January 2017. The average Federal monthly benefit payment for January 2017 was \$526.

TAFS Codes:

75-0406.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: None reported. Fiscal Year 2017: In fiscal year 2017, an average of 8,120,000 persons per month were Federal Supplemental Security Income recipients. During fiscal year 2017, the average number receiving payments is estimated to be 6,867,000 per month. Not included are those persons who receive only State supplementary payments, some of which are administered by the Social Security Administration for the States as part of the SSI program. Fiscal Year 2018: None reported.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 401, 416, and 422. "SSI for Aged, Blind, and Disabled People" and other publications are available from any Social Security Office without charge. The Social Security internet address is www.ssa.gov and it includes copies of all disability-related laws, regulations, rulings, and free publications, as well as other information about Social Security programs.

Regional or Local Office:

See Regional Agency Offices. Consult Appendix IV of the Catalog.

Headquarters Office:

www.socialsecurity.gov see website for local SSA office., Baltimore , Maryland 21235 Phone: 1-800-772-1213

Website Address:

<http://www.socialsecurity.gov>

RELATED PROGRAMS:

93.560 Family Support Payments to States_Assistance Payments; 93.778 Medical Assistance Program; 96.001 Social Security_Disability Insurance; 96.002 Social Security_Retirement Insurance; 96.004 Social Security_Survivors Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

96.007 SOCIAL SECURITY RESEARCH AND DEMONSTRATION

(SSA Research and Demonstration)

FEDERAL AGENCY:

Social Security Administration

AUTHORIZATION:

Social Security Act of 1935, Executive Order Title II and Title XVI, as amended; 42 U.S.C. 401-433, 902, 1310, 1381-1388c, Title II, Section 1110.

OBJECTIVES:

(1) To conduct social, economic, and demographic research on topics important

to the Social Security Old Age, Survivors and Disability Insurance and Supplemental Security Income (SSI) programs and the current and future well-being of their beneficiaries; (2) to develop and carry out experiments and research demonstration projects to determine the efficacy of: (a) alternative ways of rehabilitating beneficiaries and encouraging their return to work; and (b) modifying conditions applicable to such beneficiaries including: (i) early referral for rehabilitation services; and (ii) greater use of employers and others in the rehabilitation and placement process.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are awarded for innovative research and demonstrations which add to existing knowledge and improve methods and techniques for the management, administration, and effectiveness of Social Security Administration (SSA) programs. Grant funds may not be used for construction or major renovation of buildings.

Applicant Eligibility:

Applicants applying for grant funds may include State and local governments, educational institutions, hospitals, public and private organizations, and nonprofit and profit organizations. Private individuals are not eligible to apply. Profit organizations may apply with the understanding that no grant funds may be paid as profit to grant recipients. Profit is considered any amount in excess of the allowable costs of the grant recipient. A profit organization is a corporation or other legal entity that is organized or operated for the profit or benefit of its shareholders or other owners and must be distinguishable or legally separable from that of an individual acting on his/her own behalf.

Beneficiary Eligibility:

State agencies, local governments, educational institutions, hospitals, nonprofit organizations, and profit organizations are eligible to apply for grant funding.

Credentials/Documentation:

Costs and administrative requirements for grantees will be determined in accordance with 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. SSA prefers that applications be submitted electronically through www.grants.gov. The www.grants.gov, "Getting Started" webpage is available to help explain the registration and application submission process. If applicants experience problems with the steps related to registering to do business with the Federal government or application submission, the first point of contact is the Grants.gov support staff at www.grants.gov/Customersupport. If difficulties are not resolved, applicants may also contact the SSA Grants Management Team for assistance. Applicants without Internet access should contact the Grants Management Office for further information on applying. Please send inquiries to Grants.Team@ssa.gov. Also, SSA grant program announcements and application information can be obtained from the SSA/OAG Internet site at: <http://www.socialsecurity.gov/oag/grants/>. To be considered for a grant award, all applicants must complete the prescribed application forms and submit them to the Grants Management Team. The application shall be executed by an individual authorized to act for the applicant agency or organization and who will assume the obligations imposed by the terms and conditions of the grant. As part of the project title, the applicant must clearly indicate whether the application submitted is in response to a priority area identified in a program announcement, and must reference the applicable priority area (e.g., "001") in which it is competing. At least three independent reviewers prepare written assessments of each program-relevant grant application. Applications found irrelevant to program objectives are returned to the applicants.

Award Procedure:

OAG provides a Notice of Grant Award (or a Notice of Cooperative Agreement

Award) as official notice for approved applications. The notice indicates award amount, the purpose of the award, award terms and conditions, the budget period, the anticipated project period, and the grantee's cost-sharing requirement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally, 3 to 6 months after the closing date established in the Federal Register announcement.

Appeals:

There are no formal appeal procedures. If an application is not approved, the reasons will be stipulated in the denial notice.

Renewals:

Grants can be extended and continued via formal application, which is subject to approval. If an application is recommended for approval for 2 or more budget years, the grantee must submit a formal request for funding continuation each year accompanied by a progress report. This will be evaluated prior to a recommendation of continuation of funding.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are usually granted for a period of 12 to 17 months. Funds, however, can be granted for a minimum of 3 months, and up to a maximum of 60 months. See the following for information on how assistance is awarded/released: grant or cooperative agreement.

Reports:

Program reports may be required depending on the program. The Federal Financial Report - SF 425 is required periodically depending on the program. Reports may be annual or quarterly depending on the program. Expenditures are reported using the Federal Financial Report SF 425. Performance milestones are reported in the progress report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are required in accordance with 2 CFR 200, Subpart F.

Records:

Grantees must maintain financial records, supporting documents, statistical records and all other records pertinent to an award for 3 years. In the event of an audit, records must be maintained until all questions are resolved.

Account Identification:

28-8704-0-7-601.

Obligations:

(Salaries) FY 16 \$11,293,230; FY 17 est \$11,293,230; and FY 18 est \$11,293,230

Range and Average of Financial Assistance:

Range: \$275,000 to \$2,065,938 and Average \$1,613,318.

TAFS Codes:

28-0406.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Dionne J. Mitchell Social Security Administration
Office of Acquisition and Grants
1540 Robert M. Ball Building
6401 Security Boulevard
, Baltimore, Maryland 21235 Email: dionne.mitchell@ssa.gov Phone:
4109659534

Website Address:

<http://www.ssa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

96.008 SOCIAL SECURITY - WORK INCENTIVES PLANNING AND ASSISTANCE PROGRAM

(SSA Work Incentives Planning and Assistance (WIPA) Program) or Work Incentives Outreach Program

FEDERAL AGENCY:

Social Security Administration

AUTHORIZATION:

Social Security Act, Title 1, Section 1149, Public Law 106-170, 1860 Stat. 1887-1891.

OBJECTIVES:

To comply with the Ticket-to-Work and Work Incentives Improvement Act (TWWIIA) which was passed in December 1999, and reauthorized by the Social Security Protection Act of 2004, which requires the SSA to establish a community-based work incentives planning and assistance program. The purpose of the program is to support beneficiaries who want to return to work to make a successful and profitable transition to the workforce. Beneficiaries that continue to work improve their economic independence and financial security. WIPA projects help beneficiaries take advantage of our work incentives programs, which may delay or reduce the effect of work on monetary benefits and health insurance. Additionally, WIPA projects act as repositories for information about other work incentives, benefits counseling services, and other resources that may help beneficiaries succeed in their work attempts, or may assist beneficiaries to improve financial stability as they return to work.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Cooperative agreements are awarded to organizations to: (1) Provide statewide work incentives planning and assistance, including information on the availability of protection and advocacy services, to all Social Security Disability Insurance (SSDI) and Supplemental Security Income (SSI) beneficiaries with disabilities, and to conduct ongoing outreach to those beneficiaries with disabilities and to their families that are potentially eligible to participate in State or Federal work incentives programs. (2) Disseminate accurate information to beneficiaries with disabilities (including transition-to-work aged youth) about work incentives programs and issues related to such programs, to enable them to make informed choices about working and whether or when to assign their Ticket to Work, as well as how available work incentives can facilitate their transition into the workforce. Cooperative agreement funds may not be used for construction or major renovation of buildings.

Applicant Eligibility:

Applicants applying for cooperative agreement funds may include State or local governments (excluding any State administering the State Medicaid program), public or private organizations, or nonprofit or for-profit organizations (for-profit organizations may apply with the understanding that no cooperative agreement funds may be paid as profit to any awardee), as well as Native American tribal organizations that the Commissioner determines is qualified to provide work incentives planning and assistance to all SSDI and SSI

beneficiaries with disabilities, within the targeted geographic area. These may include Centers for Independent Living established under Title VII of the Rehabilitation Act of 1973, protection and advocacy organizations, Native American tribal entities, client assistance programs established in accordance with Section 112 of the Rehabilitation Act of 1973, State Developmental Disabilities Councils established in accordance with Section 124 of the Developmental Disabilities Assistance and Bill of Rights Act, and State agencies administering the State program funded under Part A of Title IV of the Act. The Commissioner may also award a cooperative agreement to a State or local Workforce Investment Board, a Department of Labor (DOL) One-Stop Career Center System established under the Workforce Improvement Act of 1998, or a State Vocational Rehabilitation agency. Cooperative agreements may not be awarded to any individual, the Social Security Administration Field Offices, any State agency administering the State Medicaid program under Title XIX of the Act, any entity that the Commissioner determines would have a conflict of interest if the entity were to receive a cooperative agreement under the Work Incentives Planning and Assistance (WIPA) Program or any organization described in Section 501(c)(4) of the Internal Revenue Code of 1968 that engages in lobbying (in accordance with Section 18 of the Lobbying Disclosure Act of 1995, 2 U.S.C. 1611).

Beneficiary Eligibility:

WIPA projects serve beneficiaries who are age 14 and older, and receive any of the following benefits based on their own disabilities:

Social Security Disability Insurance Benefits;
Childhood Disability Benefits;
Disabled Widow(er)s Benefits;
SSI based on blindness or disability;
Medicare under the Extended Period of Medicare Coverage (for former disability beneficiaries performing substantial work);
Medicaid under Section 1619(b) of the Social Security Act (for SSI beneficiaries ineligible for payment due to work income);
A State supplementary SSI payment (even if the beneficiary is not due a Federal SSI payment); or
Medicare coverage based on disability and Medicare qualified government employment.

Credentials/Documentation:

Costs and administrative requirements for grantees will be determined in accordance with 2 CFR 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms furnished by SSA must be used for this program. When cooperative agreement opportunities are available, SSA publishes a notice in the Federal Register soliciting cooperative agreement applications. Application packages will be provided at www.grants.gov. If extenuating circumstances prevent you from submitting an application through www.grants.gov please contact the Grants Management Team at Office of Acquisition and Grants (OAG), Social Security Administration, Attention: Dionne Mitchell, 1540 Robert M. Ball Building, 6401 Security Boulevard, Baltimore Maryland 21235. To be considered for a cooperative agreement award, all applicants must complete the prescribed application forms, through www.grants.gov unless the applicant has received prior approval from OAG to submit a paper application to the Grants Management Team. The application shall be executed by an individual authorized to act for the applicant agency or organization who will assume the obligations imposed by the terms and conditions of the cooperative agreement. At least three independent reviewers prepare written assessments of each program relevant cooperative agreement application. Applications found irrelevant or unresponsive to program objectives are returned to the applicants.

Award Procedure:

OAG provides a Notice of Cooperative Agreement Award as official notice for

approved applications. The notice indicates award amount, the purpose of the award, award terms and conditions, the budget period, the anticipated project period, and the awardee's cost sharing requirement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally, 3 to 6 months after the closing date established in the Federal Register announcement.

Appeals:

There are no formal appeal procedures. If an application is not approved, the reasons will be stipulated in the denial notice.

Renewals:

Cooperative agreements can be continued via formal continuation application, which is subject to approval. If an application is recommended for approval for 2 or more budget periods, the awardee must submit a formal request for funding continuation each year. Continuation applications are evaluated prior to a recommendation of additional funding.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are usually granted for a period of 12 months. Funds, however, can be granted for a minimum of 3 months, and up to a maximum of 60 months. See the following for information on how assistance is awarded/released: Awardees receive notification from our online grants management system.

Reports:

No program reports are required. Requests for Advance or Reimbursement (SF 270) and Federal Financial Reports (SF 425). Quarterly Progress Reports. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grantees must follow 2 CFR 200, Subpart F - Audit Requirements.

Records:

Awardees must maintain financial records, supporting documents, statistical records and all other records pertinent to an award for 3 years after the end of the project. In the event of an audit, records must be maintained until all questions are resolved.

Account Identification:

28-8704-0-7-999.

Obligations:

(Salaries) FY 16 \$19,583,013; FY 17 est \$19,583,013; and FY 18 est \$19,583,013

Range and Average of Financial Assistance:

Range \$100,000 to \$300,000, average \$235,940.

TAFS Codes:

28-8704.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Dionne J. Mitchell Social Security Administration
Office of Acquisition and Grants
1540 Robert M. Ball Building
6401 Security Boulevard
, Baltimore, Maryland 21235 Email: dionne.mitchell@ssa.gov Phone:
4109659534

Website Address:

<http://www.ssa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

96.009 SOCIAL SECURITY STATE GRANTS FOR WORK INCENTIVES ASSISTANCE TO DISABLED BENEFICIARIES

Protection and Advocacy for Beneficiaries of Social Security (PABSS)

FEDERAL AGENCY:

Social Security Administration

AUTHORIZATION:

Social Security Act, Title 1, Section 1150, Public Law 106-170, 1860 Stat. 1887-1891.

OBJECTIVES:

To comply with the Ticket-to-Work and Work Incentives Improvement Act legislation passed in December 1999, permitting the SSA to make payments to each State to the protection and advocacy system established for the purpose of providing services to disabled beneficiaries who want to work.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are awarded to organizations to: (1) Provide information and advice about obtaining vocational rehabilitation and employment services; and (2) Provide advocacy or other services that Social Security Disability Insurance (SSDI) and Supplemental Security Income (SSI) beneficiaries with disabilities may need to secure, maintain, or regain gainful employment. Grant funds may not be used for construction or major renovation of buildings.

Applicant Eligibility:

Applicants applying for grant funds are limited to State protection and advocacy systems established pursuant to Part C of Title I of the Developmental Disabilities Assistance and Bill of Rights Act.

Beneficiary Eligibility:

All individuals within the State who are entitled to SSDI or eligible for SSI benefits based on disability or blindness.

Credentials/Documentation:

Costs and administrative requirements for grantees will be determined in accordance with 2 CFR 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms furnished by SSA must be used for this program. When grant opportunities are available, SSA sends a letter to the applicable protection and advocacy agency. Application kits that contain the prescribed application forms and supplemental descriptive information on the priority areas are available from the Internet site at www.grants.gov. SSA grant program announcements and application information also can be obtained from the Grants Management Team, Office of Operations Contracts and Grants

(OOCG), Office of Acquisition and Grants (OAG), Office of the Deputy Commissioner for Budget, Finance, Quality, and Management (DCBFQM), Social Security Administration, 6401 Security Blvd., Baltimore, MD 21235. Contacts: Dionne Mitchell, 410-965-9534. To be considered for a grant, all applicants must complete the prescribed application forms and submit them to the Grants Management Team. The application shall be executed by an individual authorized to act for the applicant agency or organization who will assume the obligations imposed by the terms and conditions of the cooperative agreement.

Award Procedure:

OAG provides a Notice of Grant Award as official notice for approved applications. The notice indicates award amount, the purpose of the award, award terms and conditions, the budget period, the anticipated project period, and the awardee's cost-sharing requirement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally, 3 to 6 months after the closing date established in the Federal Register announcement.

Appeals:

There are no formal appeal procedures. If an application is not approved, the reasons will be stipulated in the denial notice.

Renewals:

Grants can be continued via formal application, which is subject to approval. If an application is recommended for approval for 2 or more budget periods, the awardee must submit a formal request for funding continuation each year. Continuation applications are evaluated prior to a recommendation of additional funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are usually granted for a period of 12 to 17 months. Funds, however, can be granted for a minimum of 3 months, and up to a maximum of 60 months. See the following for information on how assistance is awarded/released: Grantees receive notification from our online grant management system.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grantees must follow the audit procedures found in 2 CFR 200, Subpart F.

Records:

Awardees must maintain financial records, supporting documents, statistical records and all other records pertinent to an award for 3 years after the end of the project. In the event of an audit, records must be maintained until all questions are resolved.

Account Identification:

28-8704-0-7-999.

Obligations:

(Salaries) FY 16 \$6,725,001; FY 17 est \$6,725,000; and FY 18 est \$6,725,001

Range and Average of Financial Assistance:

Range, \$50,000 to \$319,000 average \$107,496.

TAFS Codes:

28-8704.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Dionne J. Mitchell Social Security Administration
Office of Acquisition and Grants
1540 Robert M. Ball Building
6401 Security Boulevard
, Baltimore, Maryland 21235 Email: dionne.mitchell@ssa.gov Phone:
4109659534

Website Address:

<http://www.ssa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Generally, applications are evaluated on the following criteria: (1) capability; (2) relevance and adequacy of project design; (3) resources and management; and (4) quality assurance.

96.020 SPECIAL BENEFITS FOR CERTAIN WORLD WAR II VETERANS

(Special Veterans Benefits; SVB)

FEDERAL AGENCY:

Social Security Administration

AUTHORIZATION:

Foster Care Independence Act of 1999, Section 251, Public Law 106-169; Social Security Act, Title VIII, Title VII, Section 251.

OBJECTIVES:

To pay a special benefit to certain World War II veterans, who are eligible for Supplemental Security Income (SSI) benefits and meet other specified criteria for each month that they reside outside of the United States.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Monthly cash benefits are paid to certain World War II veterans who meet the qualification criteria prescribed in the law for each month they reside outside the United States on the first day of that month. There are no restrictions on the use of benefits, although the right to future benefits is not transferable or assignable.

Applicant Eligibility:

In order to qualify for SVB, an applicant must: be age 65 or older on December 14, 1999, the date the Law was enacted; be a World War II veteran with length and dates of service and character of discharge as specified in the Law, (this includes Filipino veterans of World War II who served in the organized military forces of the Philippines while the forces were in the service of the U.S. armed forces, or served in organized guerrilla forces under the auspices of the U.S. military); be eligible for SSI benefits for December 1999, the month the Law was enacted; be eligible for SSI benefits for the month that the application for SVB was filed; and, have other benefit income that is less than 75 percent of the SSI Federal benefit rate. For 2017, 75 percent of the SSI Federal benefit rate is \$551.25.

Beneficiary Eligibility:

Benefits are paid to certain World War II veterans meeting the criteria specified under Applicant Eligibility. Credentials/Documentation.

Credentials/Documentation:

Proof of age, military service, the receipt and amount of benefit income, and foreign residence are required. Application and Award Process. This program is

excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. An application may be filed at any local Social Security office, the U.S. Embassy, 1201 Roxas Boulevard, Ermita 0930, Manila, and U.S. Embassies and consulates worldwide

Award Procedure:

The applicant (and representative payee, if any) will be notified by mail of award or denial.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

From 30 to 60 days. A request for appeal may be filed at any local Social Security office, the U.S. Embassy, 1201 Roxas Boulevard, Ermita 0930, Manila and U.S. Embassies and consulates worldwide. The appeal process ranges from a local office review to a review by the Federal courts. Generally, appeals must be filed within 60 days of the date on which a written notice of decision is received by the applicant.

Renewals:

The law allows for the redetermination of a person's benefit amount and continuing eligibility. The procedures for conducting such reviews are not yet determined.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: As the program officer.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

28-0401-0-1-701.

Obligations:

(Direct Payments with Unrestricted Use) FY 16 \$2,906,072; FY 17 est \$2,388,885; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

The maximum monthly SVB payable for current year 2017 is \$551.25 (Per Title VIII of the Act, the benefit amount equals 75 percent of the SSI Federal benefit rate.) This amount will change yearly. The monthly SVB amount payable to an individual is reduced by the amount of that person's other benefit income for the month. Other benefit income includes any recurring payment received as an annuity, pension, retirement or disability benefit. In January 2017, there were 549 beneficiaries receiving SVB payments totaling \$208,888. The average monthly federal payment for January 2017 is \$380.49.

TAFS Codes:

28-0401.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Social Security Administration began taking SVB applications in April 2000 and initiated benefit payments in May 2000. In July 2017, the SVB program has approximately 472 beneficiaries receiving monthly SVB payments. Fiscal Year 2017: The Social Security Administration began taking SVB applications in April 2000 and initiated benefit payments in May 2000. In July 2017, the SVB program has approximately 472 beneficiaries receiving monthly SVB payments. Fiscal Year 2018: None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Part 408, Special Benefits for Certain World War II Veterans, subparts A through L, a program fact sheet "Special Benefits to Certain World War II Veterans," and a booklet "Special Benefits for World War II Veterans" are available from any Social Security office without charge. They can also be found on the Social Security internet site.

Regional or Local Office:

See Regional Agency Offices. Consult Appendix IV of the Catalog.

Headquarters Office:

www.socialsecurity.gov Office of Public Inquiries, 1100 WHR, 6401 Security Blvd, Baltimore, Maryland 21235 Phone: 4109652738

Website Address:

http://www.socialsecurity.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

DEPARTMENT OF HOMELAND SECURITY

97.005 STATE AND LOCAL HOMELAND SECURITY NATIONAL TRAINING PROGRAM

FY 2014 Homeland Security National Training Program National Domestic Preparedness Consortium (NDPC) and Continuing Training Grants (CTG)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Section 1204 of the Implementing Recommendations of the 9/11 Commission Act of 2007, Public Law 110-53, 6 U.S.C 1102.

OBJECTIVES:

The Homeland Security National Training Program (HSNTP), consisting of the National Domestic Preparedness Consortium (NDPC) and Continuing Training Grants (CTG) program plays an important role in the implementation of the National Preparedness System (NPS) by supporting the building, sustainment, and delivery of core capabilities essential to achieving the National Preparedness Goal (NPG) of a secure and resilient Nation. (<http://www.fema.gov/national-preparedness-goal>)

The objective of the HSNTP is to support nationwide training initiatives and further the mission of FEMA. In order to achieve the intended objective of the HSNTP, all training developed with HSNTP funds must support FEMA's all-hazards mission and reflect the following key priority areas: use of existing training mechanisms; linking training to exercise activities; incorporating the core capabilities identified in the National Preparedness Goal and the National Preparedness System components; and utilizing an adult learning and national delivery approach whereby curriculum is delivered via an integrated, collaborative approach.

Where possible, efforts will be made in the development and delivery of training programs to support the implementation of risk driven, capabilities-based State Homeland Security Strategies to address capability targets set in urban area, state, and regional Threat and Hazard Identification and Risk Assessments (THIRAs). The capability levels assessed in the State Preparedness Report (SPR) should be used to inform planning, organization,

equipment, training, and exercise needs to prevent, protect against, mitigate, respond to, and recover from acts of terrorism and other catastrophic events.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Provides funding through cooperative agreements to the National Domestic Preparedness Consortium, and Continuing Training Grants program for the purpose of the development and delivery of all-hazards training for Federal, State, local, and tribal emergency responders. Refer to the NDPC and CTG Funding Opportunity Announcements for specific information on eligibility. These funds must be used to develop and deliver all hazards training to State, local, tribal, and territorial whole communities. Other special conditions will apply to the recipients of the award. A portion of these funds will be awarded in specific focus areas identified in the Funding Opportunity Announcement.

Indirect costs are allowable only if the applicant has an approved indirect cost rate with the cognizant Federal agency. A copy of the approved rate (a fully executed, agreement negotiated with the applicants cognizant federal agency) is required at the time of application.

Applicant Eligibility:

The HSNTP/NDPC is a closed solicitation, available only to eligible organizations. Non-Federal members that make up the National Domestic Preparedness Consortium (NDPC) which consist of the following institutions: Louisiana State University, Texas A&M, New Mexico Institute of Mining and Technology, and the University of Hawaii.

The Continuing Training Grants (CTG) program is an open competition to develop and deliver training in selected focus areas. Through the CTG, FEMA identifies important focus areas for applicants to use in the development of their application. Applicants may submit only one application per focus area. Applications will undergo a review to determine whether all required guidelines are followed and selection criteria are met. The full application review process will conclude with a rigorous, competitive review process used to select programs for recommendation for award.

Beneficiary Eligibility:

State and Local units of government, public non-profits, and Federally recognized tribal entities.

Credentials/Documentation:

Applicants must complete the Application for Federal Assistance SF-424. Applicants must also possess a Data Universal Numbering System (DUNS). D-U-N-S, is a system developed and regulated by Dun & Bradstreet (D&B).

System for Award Management (SAM): The application process also involves an updated and current SAM registration by the applicant at <http://www.sam.gov>. Please ensure that your organizations name, address, DUNS number and EIN are up to date in SAM and that the DUNS number used in SAM is the same one used to apply for all FEMA awards. Future payments will be contingent on the information provided in SAM; therefore it is imperative that the information is correct. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the HSNTP NDPC and CTG Notices of Funding Opportunity (NOFO).

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the

successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Refer to HSNTP NDPC and CTG NOFOs.

Renewals:

Potential continuation or extensions of some awards. Refer to HSNTP NDPC and CTG NOFOs.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to HSNTP NDPC and CTG NOFOs. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to HSNTP NDPC and CTG NOFOs.

Reports:

Semi-annual progress reports. Quarterly Financial Reports. Semi-Annual Progress Reports. Semi-Annual Progress Reports are required. Grantees are required to submit Quarterly Financial Status Reports (FSR). The FSRs are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final FSR report is due 90 days after the expiration or termination of grant award, whichever occurs first. Semi-Annual Progress Reports (SAPR) are required semi-annually and due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Final SAPR report is due 90 days after the expiration or termination of grant award, whichever occurs first.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Cooperative Agreements) FY 16 \$87,521,000; FY 17 est \$87,000,000; and FY 18 est \$87,000,000 - FY2015 HSNTP/NDPC \$76,000,000 and CTG \$11,521,000 = \$87,521,000
FY2016 HSNTP/NDPC \$76,000,000 and CTG \$11,521,000 = \$87,521,000
FY2017 HSNTP/NDPC \$79,000,000 and CTG \$8,000,000 = \$87,000,000 (estimate).

Range and Average of Financial Assistance:

Refer to FOA.

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016 DHS/FEMA awarded cooperative agreements to five NDPC members resulting in the continued development and delivery of homeland security training to address topics such as the development of pre-incident and post-disaster plans, natural disaster response, incident command, cybersecurity, chemical and biological hazard response, radiological response, planning and response to bombings, and response to crude by rail incidents. Additionally, within the CTG program DHS/FEMA awarded five cooperative agreements to develop and deliver training to address gaps in cybersecurity, hazardous materials, economic recovery, and rural preparedness. Fiscal Year 2017: DHS/FEMA will award cooperative agreements to five NDPC members resulting in the continued development and delivery of homeland security training to address topics such as the development of pre-incident and post-disaster plans, natural disaster response, incident command, cybersecurity, chemical and biological hazard response, radiological response, planning and response to bombings, and response to crude by rail incidents. Additionally, within the CTG program DHS/FEMA is planning to award cooperative agreements to develop and deliver training to address gaps in cybersecurity, hazardous materials, economic recovery, and rural preparedness. Fiscal Year 2018: DHS/FEMA is planning to award cooperative agreements to five NDPC members resulting in the continued development and delivery of homeland security training to address topics as determined following analysis of state preparedness reports and other data and information sources. Additionally, within the CTG program DHS/FEMA is planning to address topics as determined following analysis of state preparedness reports and other data and information sources.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to HSNTP NDPC and CTG NOFO.

Regional or Local Office:

None.

Headquarters Office:

National Preparedness Directorate (NPD), Grants Program Directorate (GPD), FEMA National Training and Education Division
400 C Street SW
, Washington, District of Columbia 20472 Email: askcsid@dhs.gov Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov/government/grant/index.shtm>

RELATED PROGRAMS:

97.007 Homeland Security Preparedness Technical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the FY 2013 HSNTP and CTG FOA.

97.007 HOMELAND SECURITY PREPAREDNESS TECHNICAL ASSISTANCE PROGRAM (HSPTAP)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act, 2016, Title V, Section 543, Public Law 114-113; Homeland Security Act of 2002, Section 503(b)(2)(G), Public Law 107-296, 6 U.S.C 313(b)(2)(G)).

OBJECTIVES:

The Homeland Security Technical Assistance Program (HSPTAP) is established to build State, local, tribal and territorial (SLTT) capabilities to prevent, protect against, respond to, and recover from major events, including threats or acts of terrorism. This overarching programmatic goal of building state and local capabilities will be achieved through various means and methods, each one aimed at making

achieving the National Preparedness Goal and furthering our collective efforts to remain a safe and secure nation.

Specific program activities anticipated for achieving this goal will include, but will not be limited to:

- production and dissemination of written products relating to emerging homeland security issues and established or model state homeland security efforts;
- providing educational seminars for apprising newly appointed Governors Homeland Security Advisors of federal homeland security doctrine, best-practices and established principles;
- facilitating calls, meetings, or discussions amongst representatives from key stakeholder groups on a regular basis which serve to share information, knowledge, experiences, and practices relating to current and emerging homeland security issues; and,
- convening trainings, workshops, and other meeting opportunities designed to bring together in a common forum nationwide HSAs, state, local and tribal Emergency Management Directors, as well as other professional organizations in the public and private sectors and to inform partners on the impacts of federal homeland security legislation, regulations and policies on the states.

Each recipient will conduct these specific activities to support either State Emergency Management Directors, Homeland Security Advisors to further build State, local, tribal and territorial (SLTT) capabilities. An additional key purpose for the HSPTAP is that the program also furthers the building of a national system of emergency management capabilities that can effectively and efficiently utilize the full measure of the Nation's resources to respond to catastrophic incidents, including natural disasters, acts of terrorism, and other man-made emergencies or events. HSPTAP recipients will conduct activities that bring together the collective perspectives of state and local elected officials and the private sector to provide a broad-based sounding board on homeland security issues for all national stakeholders. The program will serve to establish, provide, and maintain a forum for organizations to exchange ideas, conduct targeted discussions, as well as to provide technical assistance to governors offices, associations and organizations on all relevant homeland security policy areas.

Finally, HSPTAP will engage the recipient organizations which can work closely with FEMA to develop, transfer, and institutionalize pertinent knowledge at the State and local level for addressing current public policy challenges facing State EM Directors, HSAs and other state and local emergency management officials. HSPTAP recipients, through collaboration with FEMA and other national, regional, state and local entities, will facilitate focused and enhanced communication and coordination among all disciplines and levels of government to address the complex challenges of developing and implementing homeland security policies and practices including: intelligence sharing; State homeland security organization, structure and governance; communications interoperability; critical infrastructure protection; and all hazards disaster prevention, protection, mitigation, preparedness, response and recovery to include areas of emergency management, homeland security, fire, law enforcement, Emergency Management Services (EMS), agriculture, National Guard, emergency communications, and public works. In sum, in addition to building state and local capabilities, the activities conducted under the HSPTAP are structured to address the full spectrum of mission areas, national priorities, and core capabilities outlined in the National Preparedness System and are critical to achieving the National Preparedness Goal.

II. Funding

QHSR Linkage:

Mission 5: Ensuring Resilience to Disasters

Goal 5.2 Enhance Preparedness

Goal 5.3: Ensure Effective Emergency Response PPD-8 Linkage:

This program fully aligns with the National Preparedness Goal, National Preparedness System.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

For costs associated with developing and delivering technical assistance services to State and local homeland security personnel to address / support the full spectrum of mission areas, national priorities, and target capabilities as outlined in the National Preparedness Guidelines, National Priorities, and national strategies and doctrine related to homeland security. Refer to program guidance for complete information. There are restrictions regarding usage of funds, compliance with program requirements, copyrights, patents, and other restrictions. Refer to program guidance for complete information. Refer to program guidance.

Applicant Eligibility:

Eligible Applicants: DHS Preparedness Homeland Security Grant Program grantees and sub-grantees.

Beneficiary Eligibility:

State and local units of government.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. All state, territorial, and local jurisdiction requests for TA must be made in writing via the TA Request Form and sent through the State Administrative Agency (SAA) to NPD for action. This process consists of the following steps:

1. State, territorial, and local jurisdictions applying for TA must submit a request in writing to their SAA using the TA Request Form included in this catalog.
2. If the SAA determines the request is consistent with applicable Homeland Security Strategy goals and objectives, the SAA sends the request to NPD via email at FEMA-TArequest@fema.dhs.gov.
3. Received TA requests are sent by the TA Program Office to the applicable FEMA Region for planning consideration.
4. TA requests are sent by the TA Program Office to the appropriate TA Provider for discussion and scheduling with the requesting jurisdiction.

All Urban Areas Security Initiative (UASI) TA requests must be sent through the Urban Area Working Group (UAWG) to NPD for action. The subsequent process is identical to the above.

As with all other jurisdictions, all tribal requests for TA must be made in writing using the TA Request Form. Completed forms can be submitted via one of the following methods:

1. Requests may be sent to NPD via email at FEMA-TArequest@fema.dhs.gov.
2. Alternatively, completed request forms may be sent to the tribal liaison at the appropriate FEMA Regional Office for review and submission to the TA Program Office.

Award Procedure:

TA will be provided upon approval of the Urban Area Work Group (UAWG) or State Administrative Agency (SAA), depending, and with concurrence of the relevant FEMA Region.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Other - Not Specified.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Technical Assistance is a service provided directly to a requesting jurisdiction; length and phasing of time are dictated by the jurisdictions needs and ability. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Jurisdictions receiving TA must provide subsequent proof that pertinent plans or planning processes have benefitted and/or been completed as a result of TA. Refer to program guidance document. Refer to program guidance document. Refer to program guidance document. Refer to program guidance document.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Cooperative Agreements) FY 16 \$525,000; FY 17 est \$525,000; and FY 18 est \$525,000

Range and Average of Financial Assistance:

Refer to program guidance document.

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Production and dissemination of written products relating to emerging homeland security issues and established or model state homeland security efforts;

Providing educational seminars for apprising newly appointed Governors Homeland Security Advisors of federal homeland security doctrine, best-practices and established principles;

Facilitating calls, meetings, or discussions amongst representatives from key stakeholder groups on a regular basis which serve to share information, knowledge, experiences, and practices relating to current and emerging homeland security issues; and,

Convening trainings, workshops, and other meeting opportunities designed to bring together in a common forum nationwide HSAs, state, local and tribal Emergency Management Directors, as well as other professional organizations in the public and private sectors and to inform partners on the impacts of federal homeland security legislation, regulations and policies on the states. Fiscal Year 2017: Production and dissemination of written products relating to emerging homeland security issues and established or model state homeland security efforts;

Providing educational seminars for apprising newly appointed Governors Homeland Security Advisors of federal homeland security doctrine, best-practices and established principles;
Facilitating calls, meetings, or discussions amongst representatives from key stakeholder groups on a regular basis which serve to share information, knowledge, experiences, and practices relating to current and emerging homeland security issues; and,
Convening trainings, workshops, and other meeting opportunities designed to bring together in a common forum nationwide HSAs, state, local and tribal Emergency Management Directors, as well as other professional organizations in the public and private sectors and to inform partners on the impacts of federal homeland security legislation, regulations and policies on the states. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

FEMA Regulations at 44 CFR 206.8, 44 CFR 206.141, and DOL Regulations at 20 CFR Part 625.

Regional or Local Office:

None.
<http://www.fema.gov/national-incident-management-system/fema-technical-assistance-division>.

Headquarters Office:

John Allen DHS/FEMA
500 C Street, Seventh Floor

, Washington, District of Columbia 20472-3100 Email:
john.allen5@fema.dhs.gov Phone: 202-786-0849

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.067 Homeland Security Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.008 NON-PROFIT SECURITY PROGRAM

NSGP

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Section 2003 of the Homeland Security Act of 2002, Public Law 107-296, 6 U.S.C 604; Implementing Recommendations of the 9/11 Commission Act of 2007, Public Law 110-53.

OBJECTIVES:

Overview

The FY 2017 NSGP provides funding support for physical security enhancements to nonprofit organizations that are at high risk of a terrorist attack and located within one of the urban areas receiving funding under the Fiscal Year (FY) 2017 Urban Area Security Initiative (UASI). The program seeks to integrate the preparedness activities of nonprofit organizations that are at high risk of a terrorist attack with broader state and local preparedness efforts. The NSGP also promotes emergency preparedness coordination and collaboration activities between public and private community representatives as well as state and local government agencies. Among the five basic homeland security missions noted in the DHS Quadrennial Homeland Security Review, the NSGP supports the goal to Strengthen National Preparedness and Resilience.

Objectives

The FY 2017 NSGP objectives are to support efforts that:
Build and sustain core capabilities

Strengthen governance integration between private nonprofit entities and Federal, state, and local governments
Encourage a whole community approach to security and emergency management.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The FY 2017 NSGP provides funding support for physical security enhancements to nonprofit organizations that are at high risk of terrorist attack and located within one of the urban areas receiving funding under the Fiscal Year (FY) 2017 Urban Area Security Initiative (UASI).
The NSGP also promotes emergency preparedness coordination and collaboration activities between public and private community representatives as well as state and local government agencies. NSGP grant recipients and subrecipients may only use NSGP grant funds for the purpose set forth in the grant award, and must use funding in a way that is consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants or cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal Government or any other government entity.

Pre-award costs are allowable only with the prior written approval of DHS/FEMA and if they are included in the award agreement. To request pre-award costs a written request must be included with the application, signed by the Authorized Organizational Representative of the entity. The letter must outline what the pre-award costs are for, including a detailed budget break-out of pre-award costs from the post-award costs, and a justification for approval.

The following information outlines general allowable and unallowable NSGP costs guidance. In FY 2017, the total amount of funds distributed under this grant program will be \$ 25,000,000. Each nonprofit organization must apply through their respective State Administrative Agency (SAA) for up to a \$75,000 grant award. The FY 2017 NSGP funds will be allocated to organizations characterized as Section 501(c)(3) organizations under the Internal Revenue Code of 1986 (26 U.S.C.) and deemed at high risk by the Secretary of the Department of Homeland Security. Such nonprofit organizations must be located within one of the FY 2017 UASI- Designated Urban Areas.

Applicant Eligibility:

The SAA is the only entity eligible to apply for FY 2017 NSGP funds on behalf of eligible nonprofit organizations (as described under section 501(c)(3) of the Internal Revenue Code of 1986) determined to be at high risk of terrorist attack and are located within one of the designated FY 2017 UASI-eligible Urban Areas. Eligible nonprofit organizations must provide their applications to their respective SAA in order to be considered for FY 2017 funding. SAAs, in coordination with the Urban Area Working Groups (UAWGs), are encouraged to notify and actively inform eligible nonprofit organizations of the availability of the FY 2017 especially organizations that have not previously applied for or received NSGP funding. It is important to ensure that ALL eligible nonprofit organizations are afforded an opportunity to seek funding. Criteria for determining eligible applicants that are at high risk of terrorist attack include, but are not limited to:

Identification and substantiation of current or persistent threats or attacks (from within or outside the U.S.) by a terrorist organization, network, or cell against the applicant based on their ideology, beliefs, or mission

Symbolic value of the site(s) as a highly recognized regional and/or national or historical institution(s) that renders the site a possible target of terrorism
Role of the applicant nonprofit organization in responding to or recovering from terrorist attacks

Findings from previously conducted threat and/or vulnerability assessments
Integration of nonprofit preparedness with broader state and local preparedness efforts

Complete and feasible IJ that addresses an identified risk, including the assessed threat, vulnerability, and consequence of the risk, and proposes building or sustaining a core capability identified in the Goal

History of prior funding under NSGP. Not having received prior year funding is a positive factor when calculating the state score of the application; see

Section E. Application Review Information Review and Selection Process for additional information.

Beneficiary Eligibility:

Local governments and private nonprofit institutions/organizations.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For more information, refer to the FY 2017 NSGP Notice of Funding Opportunity (NOFO).

Award Procedure:

FY 2017 NSGP applications will be reviewed through a two-phased State and Federal review process for completeness, adherence to programmatic guidelines, feasibility, and how well the IJ (project description and justification) addresses the identified risk.

State Review: Application packages are submitted by the nonprofit organization the SAA and the UAWG based on the established criteria. Applications must be submitted by the nonprofit organization to the SAA/UAWG (if they are separate entities), no later than 5:00 p.m. EDT June 22, 2017 to ensure adequate time for a State review of nonprofit applications.

As part of the State Review, the SAAs must:

- Conduct an eligibility review, in coordination with the UAWG;
- Verify that the nonprofit is located within a FY 2017 UASI designated urban area;
- Review and score all complete application packages (including mission statements and vulnerability assessments) using the NSGP Scoring Worksheet provided by DHS/FEMA; see Appendix E NSGP Investment Justification Scoring Worksheet; Note: A bonus point will be added to the state score for all organizations that have not received NSGP funding in previous years; this will be the final state score;
- Validate the organization type listed in the IJ by assessing the central purpose of the organization described in the Mission Statement, as either 1) Ideology-based/Spiritual/Religious; 2) Educational; 3) Medical; or 4) Other; and
- Prioritize all NSGP IJs by ranking each IJ. Each IJ will receive a unique rank (#1 [one] being the highest ranked through the total number of applications the SAA scored).

The SAA will base the ranking on the SAAs subject matter expertise and discretion with consideration to the following factors:

- o Need: The relative need for the nonprofit organization compared to the other applicants; and
 - o Impact: The feasibility of the proposed project and how effectively the proposed project addresses the identified need.
- Submit the scores from the state review along with the prioritized list of all NSGP projects to DHS/FEMA using the SAA Prioritization Tracker.
- Retain the mission statements and vulnerability assessments submitted by each nonprofit organization.

Federal Review

The highest-scoring IJs from each submitting urban area (at least one from each urban area) are reviewed by a panel made up of DHS/FEMA HQ Program Analysts and Regional Program Analysts or Grants Management Specialists. Federal staff will verify that the nonprofit is located within a FY 2017 UASI

designated urban area. Two Federal reviewers will score each IJ using the NSGP Investment Justification Scoring Worksheet.

Determining the number of applications that will advance to the Federal Review:

- 1) FEMA will organize applications in rank order (based on the state scores) from highest to lowest score.
- 2) Federal reviewers will come up with the federal review pool by reviewing the highest scored projects equal to 150% of the available funding, which is \$37.5 million this year. At least one IJ from each submitting Urban Area is guaranteed to be a part of the federal review pool.

Final Score

To calculate an applications final score, the sum of the applicants state score and the average of the two Federal reviewers scores will be multiplied:

By a factor of three for nonprofit groups that are at a high risk of terrorist attacks due to their ideology, beliefs, or mission;

By a factor of two for medical and educational institutions; and

By a factor of one for all other nonprofit organizations.

All final application scores will then be sorted in descending order, regardless of urban area, and applicants will be selected from highest to lowest until available FY 2017 NSGP funding has been exhausted. In the event of a tie during the funding determination process, priority will be given to nonprofit organizations that have not received prior year funding, then those ranked highest by their SAA.

DHS/FEMA will use the final results to make funding recommendations to the Secretary of DHS. All final funding determinations will be made by the Secretary of DHS, who retains the discretion to consider other factors and information in addition to DHS/FEMAs funding recommendations.

Financial Review

DHS/FEMA HQ Grants Management Specialists will conduct a financial risk assessment using the following criteria:

Allowability, allocability, and financial reasonableness of the proposed budget and investment information.

Whether the recipient meets the financial and legal requirements listed in 2 C.F.R. Part 200.

Prior to making a Federal award with a total amount of Federal share greater than the simplified acquisition threshold, currently \$150,000, DHS/FEMA is required to review and consider any information about the applicant that is in the designated integrity and performance system accessible through SAM (currently FAPIIS).

An applicant, at its option, may review information in the designated integrity and performance systems accessible through SAM and comment on any information about itself that a Federal awarding agency previously entered and is currently in the designated integrity and performance system accessible through SAM.

DHS/FEMA will consider any comments by the applicant, in addition to the other information in the designated integrity and performance system, in making a judgment about the applicants integrity, business ethics, and record of performance under Federal awards when completing the review of risk posed by applicants as described in 2 C.F.R. 200.205 Federal awarding agency review of risk posed by applicants.

Deadlines:

Jun 02, 2017 to Jun 22, 2017 Application Start Date: June 2, 2017
Application Submission Deadline Date: June 22, 2017 at 5:00 PM PM EDT
Anticipated Funding Selection Date: September 1, 2017
Anticipated Award Date: No later than September 30, 2017

Other Key Dates

Applying for FY 2017 NSGP funds requires a two-step process. Step 1: initial submission to determine eligibility and Step 2: full application. Applicants are encouraged to initiate Step 1 immediately after the Notice of Funding

Opportunity, (NOFO) is published but no later than June 2, 2017. This involves submitting a complete Standard Form 424 to grants.gov. Successful completion of this step is necessary for FEMA to determine eligibility of the applicant. Late submissions of Step 1 to grants.gov could result in applicants missing the application deadline in Step 2. Once FEMA has determined an applicant to be eligible, applicants can proceed to Step 2 which involves submitting the full application package via the Non Disaster (ND) Grants system. The submission deadline for the full application package is June 22, 2017. For additional details see Application and Submission Section D of the Full Notice of Funding Opportunity (NOFO).

Range of Approval/Disapproval Time:

Applying for FY 2017 NSGP Program funds is a multi-step process. To ensure that an application is submitted on time, applicants are advised to start the required steps well in advance of their submission. Failure of an applicant to comply with any of the required steps before the deadline for submitting the application may disqualify the application from funding.

The steps for applicants to follow in applying for an award under this program are:

1. Applying for, updating, or verifying their Data Universal Numbering System (DUNS) Number;
2. Applying for, updating, or verifying their Employer Identification Number (EIN);
3. Updating or verifying their System for Award Management (SAM) Registration;
4. Establishing an Authorized Organizational Representative (AOR) in Grants.gov;
5. Submitting an initial application in Grants.gov; and
6. Submitting the final application in the Non Disaster Grants (ND Grants) system.

Each of the required steps associated with the application process are outlined in Section D. of the full FY 2017 Notice of Funding Opportunity (NOFO).

Appeals:

Refer to the FY 2017 NSGP Notice of Funding Opportunity.

Renewals:

Refer to the FY 2017 NSGP Notice of Funding Opportunity.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projected Award Start Date(s): September 1, 2017
 Projected Award End Date(s): August 31, 2020 Period of Performance: 36 months

The periods of performance outlined above support the effort to expedite the outlay of grant funding and provide economic stimulus. Agencies should request waivers sparingly, and they will be granted only due to compelling legal, policy, or operational challenges. For example, SAAs may request waivers from the deadlines outlined above for discretionary grant funds where adjusting the timeline for spending will constitute a verifiable legal breach of contract by the grantee with vendors or sub-recipients, or where a specific statute or regulation mandates an environmental review that cannot be completed within this timeframe or where other exceptional circumstances warrant a discrete waiver. For more information, refer to the FY 2017 NSGP Notice of Funding Opportunity (NOFO). See the following for information on how assistance is awarded/released: Based on program need. Grantees must accept their grant awards no later than 90 days from the award date. The grantee shall notify the awarding agency of its intent to accept and proceed with work under the award, or provide a written notice of intent to decline. Funds will remain on hold until the grantee accepts the award through official correspondence, e.g., written, electronic signature, signed letter or fax to GPD, and all other conditions of award have been satisfied, or the award is otherwise rescinded. Failure to accept the grant award within the 90 day timeframe may

result in a loss of funds.

Reports:

All successful applicants for all DHS grant and cooperative agreements are required to comply with DHS Standard Administrative Terms and Conditions available within Section 6.1.1 of <http://www.dhs.gov/xlibrary/assets/cfo-financial-management-policy-manual.pdf>.

Upon approval of an application, the award will be made in the form of a grant. The date the approval of award is entered in the system is the award date. Notification of award approval is made through the ND Grants system through an automatic e-mail to the grantee point of contact listed in the initial application. Once an award has been approved and recorded in the system, a notice is sent to the authorized grant official. Follow the directions in the notification to accept your award documents. The authorized grant official should carefully read the award package for instructions on administering the grant and to learn more about the terms and conditions associated with responsibilities under Federal awards.

State Preparedness Report (SPR) Submittal. Section 652(c) of the Post-Katrina Emergency Management Reform Act of 2006 (Public Law 109-295), 6 U.S.C. 752(c), requires any State that receives Federal preparedness assistance to submit an SPR to FEMA. States submitted the most recent SPR in December 2011 thus satisfying this requirement in order to receive funding under the FY 2017 NSGP.

Biannual Strategy Implementation Reports (BSIR). Grantees are responsible for completing and submitting the BSIR reports, as a complement to the programmatic performance report/SF-PPR. The BSIR is due within 30 days after the end of the reporting period (July 30 for the reporting period of January 1 through June 30; and January 30 for the reporting period of July 1 through December 31).

The Grants Reporting Tool (GRT) is the system in which NSGP recipients will submit their BSIR information. Recipients should go to the following link and follow the links to create a new account as needed: <https://www.reporting.odp.dhs.gov/>. This report is used to track the progress toward the completion of projects.

For more information, refer to the FY 2017 NSGP Notice of Funding Opportunity (NOFO). Federal Financial Report (FFR) Recipients must report obligations and expenditures ported on a quarterly basis through the FFR (SF-425) to DHS/FEMA. Recipients must file the FFR electronically using the Payment and Reporting Systems (PARS). A FFR must be submitted quarterly throughout the period of performance, including partial calendar quarters, as well as for periods where no grant award activity occurs. The final FFR is due 90 days after the end of the performance period. Future awards and fund drawdowns may be withheld if these reports are delinquent, demonstrate lack of progress, or are insufficient in detail.

The Federal Financial Reporting Form (FFR) is available online at: [https://www.grants.gov/web/grants/forms/post-award-reporting-forms.html#sortby=1, SF-425 OMB #4040-0014](https://www.grants.gov/web/grants/forms/post-award-reporting-forms.html#sortby=1,SF-425,OMB%204040-0014).

For more information, refer to the FY 2017 NSGP Notice of Funding Opportunity (NOFO). Recipients will be required to report on progress towards implementing projects described in their application on a biannual basis. The following periods and due dates apply to the PPR:

Reporting Period	Report Due date
January 1, - June 30	July 30
July, 1 - December 31	January 30

For additional details, see Federal Award Administration Section F of the FY 2017 NSGP Full Notice of Funding Opportunity, (NOFO). Grantees are obligated to submit various financial and programmatic reports as a condition of their award acceptance. Please see below for a summary of financial and/or programmatic reports as required. Future awards and funds drawdown may be withheld if these reports are delinquent. Federal Financial Report (FFR) required quarterly. Obligations and

expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund drawdowns may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS. Grant Close-Out Process. Within 90 days after the end of the period of performance, or after a Grant Adjustment Notice (GAN) has been issued to close out a grant, whichever comes first, grantees must submit a final FFR and final progress report detailing all accomplishments throughout the period of performance. After these reports have been reviewed and approved by FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR. The grantee is responsible for returning any funds that have been drawn down but remain as unliquidated on grantee financial records. Monitoring. Grant recipients will be monitored periodically by FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met. Monitoring may be accomplished through either a desk-based review or on-site monitoring visits, or both. Monitoring will involve the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions and other support may be needed.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-754.

Obligations:

(Formula Grants) FY 16 \$20,000,000; FY 17 est \$25,000,000; and FY 18 Estimate Not Available - FY2018 Funds will be appropriated and allocated to the Nonprofit Security Grant program to support funding for physical security enhancements to nonprofit organizations that are at high risk of a terrorist attack and located within one of the urban areas receiving funding under the Fiscal Year (FY) 2017 Urban Area Security Initiative (UASI).

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, DHS provided \$20,000,000 for target hardening activities to nonprofit organizations that are at high risk of a terrorist attack and located within one of the specific UASI-designated urban areas. Fiscal Year 2017: It is expected that the \$25,000,000 funding that was appropriated, will be allocated to support nonprofit organizations that are at high risk of a terrorist attack and located within one of the urban areas under the Fiscal year 2017 Urban Area Security Initiative (UASI). Fiscal Year 2018: It is projected that funds will be appropriated and allocated to the Nonprofit Security Grant

Program for target hardening activities to nonprofit organizations that are at high risk of a terrorist attack and located within one of the specific UASI-designated urban areas.

REGULATIONS, GUIDELINES, AND LITERATURE:

All successful applicants for all DHS grant and cooperative agreements are required to comply with DHS Standard Administrative Terms and Conditions available within Section 6.1.1 of <http://www.dhs.gov/xlibrary/assets/cfo-financial-management-policy-manual.pdf>.

Upon approval of an application, the award will be made in the form of a grant. The date the approval of award is entered in the system is the award date. Notification of award approval is made through the ND Grants system through an automatic e-mail to the grantee point of contact listed in the initial application. Once an award has been approved and recorded in the system, a notice is sent to the authorized grant official. Follow the directions in the notification to accept your award documents. The authorized grant official should carefully read the award package for instructions on administering the grant and to learn more about the terms and conditions associated with responsibilities under Federal awards. For more information, refer to the FY 2017 NSGP Notice of Funding Opportunity (NOFO).

Regional or Local Office:

None.

Headquarters Office:

Grant Programs Directorate DHS/FEMA
400 C Street SW
, Washington, District of Columbia 20472-3615 Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov/government/grant/index>

RELATED PROGRAMS:

97.042 Emergency Management Performance Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

National Incident Management System (NIMS) Implementation
Prior to allocation of any Federal preparedness awards in FY2017, SAAs must ensure and maintain adoption and implementation of NIMS. Emergency management and incident response activities require carefully managed resources (personnel, teams, facilities, equipment and/or supplies) to meet incident needs. Utilization of the standardized resource management concepts such as typing, credentialing, and inventorying promote a strong national mutual aid capability needed to support delivery of core capabilities. Additional information on resource management and NIMS resource typing definitions and job titles/position qualifications is available on DHS/FEMAs website under NIMS Resource Management.

DHS/FEMA developed NIMS Guideline for Credentialing of Personnel to describe national credentialing standards and to provide written guidance regarding the use of those standards. This guideline describes credentialing and typing processes, and identifies tools which Federal Emergency Response Officials (FEROs) and emergency managers at all levels of government may use both routinely and to facilitate multijurisdictional coordinated responses.

Although state, local, tribal, and private sector partners, including nongovernmental organizations are not required to credential their personnel in accordance with these guidelines, DHS/FEMA strongly encourages them to do so in order to leverage the federal investment in the Federal Information Processing Standards (FIPS) 201 infrastructure and to facilitate interoperability for personnel deployed outside their home jurisdiction. Additional information is available from the NIMS Guideline for the Credentialing of Personnel.

Nonprofit subrecipients are not required to maintain adoption and implementation of NIMS.

**97.009 CUBAN/HAITIAN ENTRANT PROGRAM
FEDERAL AGENCY:**

U.S. Citizenship and Immigration Services (USCIS), Department of Homeland Security

AUTHORIZATION:

Refugee Education Assistance Act of 1980, Executive Order Executive Order 12341, January 21, 1982, Public Law 96-422; Economy Act of 1932, 31 U.S.C. 1535.

OBJECTIVES:

(1) To provide primary resettlement services to Cubans and Haitians, paroled into the United States by the Department of Homeland Security (DHS) for humanitarian reasons and; (2) to provide secondary resettlement assistance to Cuban and Haitian entrants living in south Florida whose initial resettlement did not lead to their achievement of economic self-sufficiency.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance is limited to Cuban and Haitian entrants as defined in the authorizing Act. Federal policy governs further eligibility factors. The scope of services for which funds are available to grantees, or cooperative agreement recipients, are defined in program announcements published in the Federal Register dated March 9, 1981, January 5, 1983, July 5, 1985, April 12, 1988, June 26, 1989, and January 5, 1995. See program announcement published in Federal Register dated March 9, 1981, January 5, 1983, July 5, 1985, April 12, 1988, June 26, 1989, January 5, 1995.

Applicant Eligibility:

Public or Private, nonprofit organizations or agencies, and under certain conditions for-profit organizations, agencies, or institutions.

Beneficiary Eligibility:

Cuban and Haitian nationals who meet the definition of entrant set forth in Title V, Section 501(e) of Public Law 96-422.

Credentials/Documentation:

Persons for whom assistance is authorized through resettlement programs are limited to Cuban and Haitian nationals who possess documentation as described in Title V of the Refugee Education Assistance Act of 1980 (Public Law 96-422). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

A grant or cooperative agreement may be amended in order to extend the program and budgetary period if funds are available and the proposed amendment does not represent a substantive deviation from previous services or costs. Applications may also be renewed through the competitive process after

the end of the program period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on project need.

Reports:

Refer to program guidance document. Cash reports are not applicable. Refer to program guidance document. Refer to program guidance document. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0504-0-1-999.

Obligations:

(Cooperative Agreements) FY 16 \$18,132,120; FY 17 est \$19,343,608; and FY 18 est \$19,400,000

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0504.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 12,256 entrants served. Fiscal Year 2017: 16,000 entrants served. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Several regulations apply to the resettlement grants. Until further notice, these include but are not limited to: 28 CFR Part 42, Sub-parts C, G, H and OMB Circular Nos. A-110, A-122, A-133, and Federal Acquisition Regulation (FAR), Sub-part 32.1.

Regional or Local Office:

See Regional Agency Offices. DHS/USCIS/IO/HAB/Miami CHEP Office
99 SE 5th Street
Miami, FL 33131.

Headquarters Office:

DHS/USCIS/IO/HAB 20 Massachusetts Ave. N.W., 3rd Floor
, Washington, District of Columbia 20529 Phone: (1-800) 375-5283

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals. The applicant provides for effective program structure and accountability as demonstrated by administrative and programmatic controls, as well as program and client records and reports. (10 POINTS) F. The adequacy of the program evaluation plan, including the methodology and criteria for evaluating effectiveness of the program in resettling the clients. (10 POINTS). Programmatic control, predictability and accountability as evidenced by the structure and continuity inherent in the program design. (15 POINTS) 5. The adequacy of the plans for: a. developing and updating individual client service plans; and, b. the proposed system of case management. (10 POINTS) 6. The reasonableness of the proposed budget and budget narrative, in relation to proposed program activities. (10 POINTS) 7. The plan for program evaluation, including the methodology and criteria for evaluation of the program. (5 POINTS) 8. The degree to which the application has provided written documented evidence of community support and acceptance of the program. (5 POINTS).

97.010 CITIZENSHIP EDUCATION AND TRAINING

FEDERAL AGENCY:

U.S. Citizenship and Immigration Services (USCIS), Department of Homeland Security

AUTHORIZATION:

Consolidated Appropriations Act, 2017, Title IV, Section 404, Public Law 115-115-31.

OBJECTIVES:

The goal of the Citizenship and Integration Grant Program is to expand the availability of high quality citizenship preparation services for lawful permanent residents in communities across the nation. Additional activities that support this goal include making citizenship instruction and naturalization application services accessible to low-income and other underserved lawful permanent resident populations; developing, identifying, and sharing promising practices in citizenship preparation; supporting innovative and creative solutions to barriers faced by those seeking naturalization; increasing the use of and access to technology in citizenship preparation programs; working with local libraries, which serve as a vital resource for immigrant communities; and incorporating strategies to foster welcoming communities as part of the citizenship and civic integration process.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants (Contracts)

USES AND USE RESTRICTIONS:

DHS grant funds may only be used for the purpose set forth in the agreement, and must be consistent with the statutory authority for the award. Expenses that may be paid for with grant funds include the following: Resources to support citizenship instruction and naturalization application services, including staff salaries, textbooks/materials, standardized assessments, software, etc. Restrictions on use of funds will be identified in the announcement/program guidance and award provisions. See program guidance.

Applicant Eligibility:

Community- and faith-based organizations, public libraries, as well other nonprofit institutions/organizations that conduct citizenship education classes for permanent residents, are eligible. Restrictions on the type of organization eligible to apply for a specific funding opportunity will be identified in the announcement.

Beneficiary Eligibility:

Immigrant Service Providers; Refugee/Alien.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadline: Contact DHS at citizenshipgrantprogram@uscis.dhs.gov or CISMailbox@hq.dhs.gov for future application deadlines.

Appeals:

None.

Renewals:

Grant recipients must reapply for continued funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Monetary awards may be subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Grant recipients are required to file:

1. Quarterly performance and financial reports during the performance period; and
2. Final performance and financial reports within three months of the close of performance period. Federal Financial Reports, SF-425, are due 30 days after each calendar quarter and within three months of the close of the performance period. Grant recipients are required to file:
 1. Quarterly performance reports during the performance period, and
 2. Final performance reports within three months of the close of performance period. Federal Financial Reports, SF-425, are due 30 days after each calendar quarter and within three months of the close of the performance period. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0300-0-1-751.

Obligations:

(Project Grants (Contracts)) FY 16 \$10,000,000; FY 17 est \$10,000,000; and FY 18 est \$10,000,000

Range and Average of Financial Assistance:

Not applicable for dissemination of technical information projects.

TAFS Codes:

70-5088.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Total Permanent Residents Served 34,230
Percent of students enrolled in classes under the program that show educational gains: 75.00%. Fiscal Year 2017: Grant recipients are estimated to provide citizenship preparation services to approximately 32,000 permanent residents. Percent of students enrolled in classes that show educational gains: 80.00%. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

DHS, Office of Procurement Operations, USCIS 245 Murray Lane, S.W., Building 410; Mail Stop 0115, Washington, District of Columbia 20528
Phone: (202) 447-5589

Website Address:

<http://www.uscis.gov/grants>

RELATED PROGRAMS:

84.002 Adult Education - Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.012 BOATING SAFETY FINANCIAL ASSISTANCE**FEDERAL AGENCY:**

United States Coast Guard (USCG), Department of Homeland Security

AUTHORIZATION:

Fixing Americas Surface Transportation Act (P.L. 114-94), Public Law 114-94, 46 U.S.C 13101-13109.

OBJECTIVES:

Awards are made to States to encourage greater participation and uniformity in boating safety, permit the States to assume the greater share of boating safety education, assistance, and enforcement activities, and to assist the States in developing, carrying out and financing their recreational boating safety programs. Awards are made available to national non-profit public service organizations to support national recreational boating safety activities. Awards for a national recreational boating safety survey are designed to gather information necessary to produce valid and reliable estimates of: the numbers of different types and sizes of boats that are owned in the continental United States, Alaska, Hawaii, District of Columbia and Puerto Rico; the number of boats that are operated on the water; the number of days and hours that boats are operated and the number of persons aboard; the number and characteristics of persons comprising the general population that go out on the water in recreational boats; and other needed data regarding recreational boating safety.

TYPES OF ASSISTANCE:

FORMULA GRANTS; Project Grants

USES AND USE RESTRICTIONS:

States (includes Puerto Rico, Virgin Islands, Guam, American Samoa, Northern Marianas, and the District of Columbia) are eligible applicants. Acquisition, maintenance and operating costs of State recreational boating safety program facilities, equipment, and supplies; personnel salaries and reimbursable expenses; costs of training personnel; public boating safety education; cost of acquiring, constructing and repairing public access sites; costs of administering

the program; and other expenses which the Secretary considers appropriate. National non-profit public service organizations may apply for and receive a combined total of up to 5 percent of the funds available in any 1 year. The application process for non-profit public service organizations may be competitive. All expenditures under the national recreational boating safety survey must be for activities related to the National Recreational Boating Safety Survey Grant Program. Please refer to the FY 2016 Opportunity Announcement. National non-profit public service organizations may apply for and receive a combined total of up to 5 percent of the funds available in any 1 year. The application process for non-profit public service organizations may be competitive. There is \$1.5 million authorized per year for conducting a national recreational boating safety survey that may be used for survey methodology, development, and for conducting the survey.

Applicant Eligibility:

For the State recreational boating safety program, States must have a Coast Guard-approved boating safety program. For the non-profit organization grant program, organizations do not have to be boating-related but it must be a nongovernmental organization (City, County, or State governments or municipalities, for example, are not eligible) and it must be accorded a non-profit organization tax exempt status which is defined as having, or being capable of obtaining an IRS tax exempt classification (26 U.S.C. 501(c)(3)).

For the national recreational boating safety survey grant program, institutions of higher education or other public non-profit institution/organization, quasi-public non-profit institution/organization, or other private institution/organization that can sufficiently demonstrate through their application significant experience in their ability to design, manage, and complete a nationwide statistical survey for the Federal Government.

Beneficiary Eligibility:

See program guidance.

Credentials/Documentation:

Applicant Eligibility: States (including Puerto Rico, Virgin Islands, Guam, American Samoa, Northern Marianas, and the District of Columbia) having a Coast Guard-approved boating safety program may apply for financial assistance. States may not receive more than one-half of the total costs incurred for their boating safety program. States must have a boating safety program as described in 46 U.S.C. 13102 (c) to be eligible for a full share of the funds. National non-profit public service organizations applying under the national non-profit grant program must demonstrate being capable of obtaining an IRS tax exempt classification (26 U.S.C. 501(c)(3)) For the national recreational boating safety survey grant program, applicants must sufficiently demonstrate through their application significant experience in their ability to design, manage, and complete a nationwide statistical survey for the Federal Government. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the State application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or application guidance for further information.

Appeals:

None.

Renewals:

Renewals may be available.

Formula and Matching Requirements:

Statutory Formula: Title Fixing Americas Surface Transportation Act (P.L. 114-94), Chapter 46, Part 13101-13109.
Matching Requirements: Percent: 50%. One-third of the funds available to the States shall be divided equally among eligible States; one-third shall be divided among eligible States based on the ration of vessels numbered under approved State numbering systems; the remaining one-third shall be divided among eligible States based on the ratio of State funds spent for State boating safety programs in the previous fiscal year. The Federal share of funds expended on boating safety may not exceed 50 percent of total cost of a State Program. Funds remain available for obligation by the State for 3 years following date of allocation.

Not more than 5 percent of the appropriation may be allocated to national non-profit public service organizations. The application process for non-profit public service organizations may be competitive. There is no matching requirement for grants to non-profit organizations under the national non-profit organization grant program.

There is no matching requirement for the national recreational boating safety survey grant program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Allocation; Request and Receipt; Lump Sum.

Reports:

Refer to program guidance document. Refer to program guidance document. Refer to program guidance document. Refer to program guidance document.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years following grant closeout or final audit, whichever is later. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-8149-0-7-403.

Obligations:

(Project Grants) FY 16 \$114,325,732; FY 17 est \$113,048,728; and FY 18 est \$109,106,865

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-8149.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The Coast Guard entered into contractual obligations with 50 States, the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, Guam, Northern Mariana Islands, and national nonprofit public service organizations. Fiscal Year 2017: The Coast Guard will enter into contractual obligations with 50 States, the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, Guam, Northern Mariana Islands, and national nonprofit public service organizations. Fiscal Year 2018: The Coast Guard will enter into contractual obligations with 50 States, the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, Guam, Northern Mariana Islands, and national nonprofit public service organizations.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR Part 13 (States) and 49 CFR Part 19 (non-profit organizations); Office of Management and Budget Circular Nos. A-87; A-102; A-110; A-122; A-133; "Grant Management Manual for the National Non-profit Organization Recreational Boating Safety Grant Program (COMINST M16755.4);" and the State Recreational Boating Safety Program State Guide (COMDTPUB P16755.3).

Regional or Local Office:

None.

Headquarters Office:

Pavlo Oborski 2703 Martin Luther King Jr. Ave, SE, Washington, District of Columbia 20593-7501 Phone: (202) 372-1055

Website Address:

<http://www.uscgboating.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance document.

97.018 NATIONAL FIRE ACADEMY TRAINING ASSISTANCE

Student Stipend Reimbursement Program

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Federal Emergency Management Agency, Executive Order 12127, 44FR 19367, 3CFR, 1979 Comp P 376; Federal Fire Prevention and Control Act of 1974, Section 7, Public Law 93-498, 15 U.S.C 2206(f); Homeland Security Act of 2002, Public Law 107-296; U.S.A. Patriot Act of 2001, Public Law 107-56.

OBJECTIVES:

To provide travel stipends to first responders and emergency managers attending Academy courses. NFA curricula and programs are designed to support PPD-8 through training programs which support State and local response agencies to prevent, mitigate, prepare for, and respond, and recover from man-made and natural disasters at local, regional, and national levels.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

National Fire Academy training assists first responders and emergency managers in building and improving capabilities necessary to prepare, prevent, protect against, mitigate the effects of, respond to, and recover from man-made and natural disasters. Presently, the program covers reimbursement for the cost of a 21-day pre-purchase, nonrefundable ticket for round-trip transportation by common carrier, or privately owned vehicle mileage reimbursement not to exceed the cost of the airline ticket and when appropriate baggage cost for one bag; for National Fire Academy Sponsored on-campus program courses at the National Fire Academy. For National Fire Academy Sponsored on-campus courses, students are required to pay the cost of meals daily. Reimbursements are not made to Federal employees who are attending on behalf of their employer, employees from private industry, or foreign students. See Program

Guidance. See Program Guidance.

Applicant Eligibility:

Any student who is a member of a fire department or has significant responsibility for fire prevention and control and has been accepted into an eligible course at the National Fire Academy may apply for stipend reimbursement. Federal or private industry employees or foreign students may be accepted into National Fire Academy courses but are not eligible for stipend reimbursement.

Beneficiary Eligibility:

Student or sponsoring organization.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application is made to the National Emergency Training Center Admission's Office using the Student Stipend Agreement (FEMA Form 119-25-1) and Student Stipend Agreement (Amendment) (FEMA Form 119-25-2) after acceptance into the course. The Student Stipend Agreement must be accompanied by the required supporting documentation. The completed agreement package will be processed immediately.

Award Procedure:

The completed agreement package will be processed immediately. A U.S. Department of the Treasury payment schedule will be prepared and a reimbursement check issued directly to the beneficiary.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

May be made to the Superintendent of the National Fire Academy, or his designee.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: See Program Guidance.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

None.

Account Identification:

70-0564-0-1-800.

Obligations:

(Salaries) FY 16 \$1,640,758; FY 17 est \$1,775,000; and FY 18 est \$1,775,000

Range and Average of Financial Assistance:

Refer to Program Guidance.

TAFS Codes:

70-0564.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: NFA trained 103,257 fire and emergency services personnel through NFA-sponsored 2-, 6-, and 10-day on- and off-campus courses, State-sponsored 2-, 6-, and 10-day courses, and NFAOnline distance learning courses. Of those students, NFA reimbursed 3,651 students, who attended resident training, through the Student Stipend Reimbursement Program. Fiscal Year 2017: It is anticipated that NFA will train an estimated 100,000 fire and emergency services personnel through NFA-sponsored 2-, 6-, and 10-day on- and off-campus courses, State-sponsored 2-, 6-, 10-day courses, and NFAOnline distance learning courses. Fiscal Year 2018: It is anticipated that NFA will train an estimated 100,000 fire and emergency services personnel through NFA-sponsored 2-, 6-, and 10-day on- and off-campus courses, State-sponsored 2-, 6-, 10-day courses, and NFAOnline distance learning courses.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Fire Academy Course Catalog, course schedules.

Regional or Local Office:

None.

Headquarters Office:

U.S. Fire Administration, FEMA 500 C Street, S.W., Washington, District of Columbia 20472 Email: NETC-Admissions@dhs.gov Phone: (1-800) 238-3828

Website Address:

<http://www.usfa.fema.gov>

RELATED PROGRAMS:

97.043 State Fire Training Systems Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selection.

97.022 FLOOD INSURANCE

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

The National Flood Insurance Act of 1968, 42 U.S.C. 4001 et seq. authorized the National Flood Insurance Program (NFIP), Title 13, Public Law 90-448, 44CFR U.S.C Subchapter B.

OBJECTIVES:

To enable personal and business property owners and renters to purchase flood insurance coverage for buildings and/or contents in low-to-moderate flood risk areas, as well as in high-risk flood zones to reduce taxpayer provided federal disaster assistance and to promote wise floodplain management practices in the Nation's high-risk flood zones.

TYPES OF ASSISTANCE:

INSURANCE

USES AND USE RESTRICTIONS:

The maximum limits of flood insurance coverage for a building and/or personal property, eligible building types, and more detailed additional flood insurance information is contained in the Flood Insurance Manual available online at <https://www.fema.gov/flood-insurance-manual>. In a Regular Program Community the residential building limit is \$250,000 and non-residential building limit is \$500,000. The residential contents limit is \$100,000 and the non-residential contents limit is \$500,000. Lower limits apply to a limited number of Emergency Program buildings and buildings in Alaska, Guam, Hawaii and U.S, Virgin Islands and are listed in the Flood Insurance Manual.

The Flood Disaster Protection Act of 1973, as amended by the Flood Insurance

Reform Act of 1994, requires flood insurance purchased as a condition of any form of Federal or federally-related financial assistance, including Federal grants, disaster assistance, SBA low-interest disaster assistance loans, and mortgage loans from federally regulated lending institutions and FHA, Farm Credit Administration, VA or guaranteed mortgages secured by buildings in high-risk flood zones, which are called the Special Flood Hazard Areas (SFHAs). Communities having one or more identified SFHAs must enter into the NFIP within 1 year of the official identification of the SFHAs or be denied Federal financial assistance for acquisition or construction purposes within those areas. Federally regulated conventional sources (i.e., banks, savings and loan associations, or similar lending institutions) are permitted to make conventional loans secured by improved real estate or Manufactured Housing located or to be located in SFHAs of a nonparticipating community which has been formally identified as flood-prone for more than 1 year, but Federal disaster assistance for acquisition or construction purposes will not be available in the event of flood or flood-related property damage. The conventional lender is statutorily required to notify the borrower, before making a loan in such an area, that Federal flood insurance and disaster assistance will not be available to the property. The Coastal Barrier Improvement Act of 1990 amended the Coastal Barrier Resources Act of 1982 by greatly enlarging the acreage included in the designated coastal barrier resources system unit. The 1990 Act also added "otherwise protected areas." "Otherwise protected areas" are defined as an undeveloped coastal barrier within the boundaries of an area established under Federal, State, or local law, or held by a qualified organization, primarily for wildlife refuge, sanctuary, recreational or natural resource conservation purposes. The 1982 Act prohibits the sale of new flood insurance on or after October 1, 1983 for new construction or substantial improvements of structures located on any coastal barrier with the Coastal Barrier Resources System designated by this Act. The 1990 Act prohibits the sale of new flood insurance on or after November 16, 1990 for new construction or substantial improvements of structures located on any new coastal barrier within the Coastal Barrier Resources System designated or modified by this Act. It also prohibits the sale of new flood insurance on or after November 16, 1991 for new construction or substantial improvements of structures located in an otherwise protected area that are not used in a manner consistent with the purpose of the otherwise protected area. Subsequent pieces of legislation have added or deleted areas. Also, the Secretary of the Interior is authorized to make technical revisions and modifications to the boundaries of such units as may be necessary.

Applicant Eligibility:

Federal flood insurance can be made available in any community (a State or political subdivision thereof with authority to adopt and enforce floodplain management measures for the areas within its jurisdiction) that adopts and enforces floodplain management measures consistent with the National Flood Insurance Program regulations.

Beneficiary Eligibility:

Residential and business property owners, renters and state owned property.

Credentials/Documentation:

Same as Applicant Eligibility. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Community officials must submit an NFIP eligibility application form, which is available from the FEMA, together with: copies of adopted floodplain management measures meeting the minimum standards of 44 CFR Section 60.3(a), 60.3(b), 60.3(c), 60.3(d), and/or 60.3(e), as appropriate for the type of flood hazards identified; a list of any incorporated communities within the applicant's boundaries; and estimates of population and, by kind, of buildings situated in the known flood-prone areas of the community. Such Applications should be submitted to the Mitigation Directorate, FEMA, Washington, DC 20024.

Award Procedure:

FEMA reviews the application and if complete, designates the community as participating. The community is informed of its admission, and notice is published in the Federal Register. Residents and property owners may then purchase flood insurance through any property insurance agent or broker.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For complete applications, a maximum of 10 working days is allowed for review and notification.

Communities with one or more identified special flood hazard areas must enter the program within 1 year after the identification of those areas or else prohibitions against Federally related financial assistance for acquisition or construction purposes in identified special flood hazard areas take force. Once the community does qualify, after the prescribed date, these prohibitions are removed. Adequate floodplain management measures must be in effect within 6 months of the date that the special flood hazard area is identified and within 6 months of the date flood water surface elevations are provided.

Appeals:

Communities are allowed to appeal flood-prone identification and are also given an opportunity to appeal proposed flood elevation determinations for new construction and substantial improvement of existing structures in the special flood hazard areas. Notice of the proposed elevations is published in the Federal Register and is sent directly to the local governments. Following notification to the local government, the notice of proposed flood elevation determinations is published twice during a 10-day period in a prominent newspaper. The local community and its individual residents then have 90 days following the second newspaper notice to submit any scientific or technical data that tend to negate or contradict FEMA's findings. If a conflict in data exists and cannot be resolved at the community level, the community consolidates all individual appeals for review by FEMA. FEMA shall resolve the appeals by consultation with officials of the local government, or by submission of conflicting data to an independent scientific body, or by administrative hearings.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Every two years to FEMA on progress of the floodplain management program. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Specific elevation information on proposed new construction or substantial improvements in the area of special flood hazard. Backup data for biennial report.

Account Identification:

70-4236-0-4-453.

Obligations:

(Insurance) FY 16 \$117,363,039; FY 17 est \$180,853,000; and FY 18 Estimate Not Available - The Appropriated Discretionary number for the FY16

Estimate; and

The proposed Appropriated Discretionary number for the FY17 Estimate.

Range and Average of Financial Assistance:

Claims paid: \$1 to \$1,900,000; \$31,802.

TAFS Codes:

70-4236.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Effective November 1, 2015, FEMA revised the Non-Residential building occupancy category to identify Business properties in the existing rate structure. Section 100205 of BW-12 required FEMA to phase out Pre-FIRM subsidized rates for business properties. The premium increases will be 25 percent per year. The first 25-percent annual premium increases for policies on Pre-FIRM subsidized business properties will be applied with the next set of Program changes in 2016.

HFIAA Sections 5 and 29 Changes to the Flood Insurance Underwriting Forms.

o Revised the Application, Endorsement, and PRP Application forms to capture information required for implementation of certain provisions of BW-12 and HFIAA, including introduction of a Lender Indicator.

o Added questions to the Application forms in anticipation of future implementation of the HFIAA Section 3 provision pertaining to lapsed and reinstated coverage. In addition to requesting the date of the rated map and the current map for the property, the new questions will capture the data:

Has the applicant had prior NFIP coverage for the same property indicated on this Application?

Was the prior NFIP policy required under the mandatory purchase provision of the law at the time of coverage termination?

At the time of the policy lapse, did the applicant have an insurable interest in the property for which flood insurance coverage is sought?

Was the lapse the result of a community suspension?

Will this policy be effective within 180 days of the community reinstatement after the suspension referred to in (4) above?

HFIAA Section 8 Required insurers to report a Primary Residence indicated for all of their Mortgage Portfolio Protection Program policies to ensure correct application of the HFIAA surcharge. When the residency status is unknown, or when primary residence is asserted by the insured, but not properly documented, the insurer must treat the MPPP as a non-primary residence. The status may be corrected with appropriate documentation submitted by the insured. Fiscal Year 2017: Revised and implemented Appeals process to be more customer friendly

On track to simplify the underwriting and claims manuals

On track to improve Write-Your-Own (WYO) oversight processes and standards, to include the release of the updated 2018 WYO Financial Assistance/Subsidy Arrangement (Arrangement) in April 2017

Improved map change notifications to citizens

Working to fully transition NFIPs Direct Servicing Agent over to a new contractor and ensure the system has been fully authorized to operate.

Working on several communication initiatives, in specific regions as well as nationwide, targeting policyholders at renewal to test retention messaging.

Improving the adjuster cadre through the required workshops occurring nationwide, so the adjusting workforce is fully prepared and re-authorized prior to the 2017 hurricane season.

Improved adjuster cadre through the release of an update adjuster fee schedule to better attract and retain adjusters through competitive rates. Fiscal Year 2018: Publish the new claims and underwriting manuals and an NFIP guide for State Insurance Commissioners.

Submit the legislatively required Affordability Framework to Congress.

Develop new policy forms that align with a new rating scheme to further the goal of a transformed NFIP.

Deliver an enhanced data analytics tool set.

Deliver targeted messages to consumers to encourage flood insurance purchases.

Automate the appeals process to enhance efficiencies.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulation 44 CFR 59 et seq. Publication, "Answers to Questions About the National Flood Insurance Program," "Mandatory Purchase of Flood Insurance Guidelines," "Elevated Residential Structures," "Guide To Flood Insurance

Rate Maps," "Flood Insurance Manual" (agents manual), "National Flood Insurance Program. Application Forms," "Manufactured Home Installation in Flood Hazard Areas," "Coastal Construction Manual," "Alluvial Fans: Hazards and Management," "Floodplain Management in the United States: An Assessment Report," "Design Guidelines for Flood Damage Reduction" and "Repairing Your Flooded Home," "Technical Bulletin Series (FIA-TB)" "Answers to Questions About Substantially Damaged Buildings," "Reducing Losses in High Risk Flood Hazard Areas," "Design Manual for Retrofitting Flood-Prone Residential Structures" and "Flood Proofing Non-Residential Structures."

Regional or Local Office:

See Regional Agency Offices. NFIP Regional Offices are listed online at: http://www.fema.gov/business/nfip/nfip_regions.shtm Contact the appropriate FEMA regional office, or the State office responsible for coordinating the NFIP activities.

Headquarters Office:

Paul Huang 400 Street SW, Washington, District of Columbia 20024 Email: Paul.Huang@fema.dhs.gov Phone: (800) 621-FEMA (3363)

Website Address:

<http://www.fema.gov/business/nfip>

RELATED PROGRAMS:

10.450 Crop Insurance; 59.008 Disaster Assistance Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.023 COMMUNITY ASSISTANCE PROGRAM STATE SUPPORT SERVICES ELEMENT (CAP-SSSE)

(CAP-SSSE)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

The National Flood Insurance Act of 1968 , Public Law 90-448, 42 U.S.C 4001 et seq.

OBJECTIVES:

To provide, through a Cooperative Agreement , a means to ensure that communities participating in the National Flood Insurance Program (NFIP) are achieving flood loss reduction goals of the NFIP. CAP-SSSE funds are to be used by States to provide technical assistance to NFIP communities, to evaluate community implementation/performance of NFIP floodplain management activities, and to build State and community floodplain management expertise and capacity.

The CAP-SSSE program supports the Mitigation Mission Area Capabilities and Targets described in the National Preparedness Goal (NPG) under Planning and Risk and Disaster Resilience Assessment.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

CAP-SSSE funds are used for activities that support the NFIP. Such activities include the fostering of effective floodplain management programs in participating communities and assisting non-participating communities in enrolling in the NFIP. CAP-SSSE funds cannot be used for conducting floodplain studies developing floodplain maps or supporting web-based digital mapping platforms. Further, if a State does not have the necessary expertise and capability to conduct CAP-SSSE activities, the Region and State must develop a remedial plan. If the necessary expertise and capability does not develop in accordance with the remedial plan, the following year's CAP-SSSE funding may be reduced or withheld. For complete information on Uses and Restrictions, refer to program guidance. Applicants must be a qualified State that intends to provide technical assistance to NFIP communities. Refer to

program guidance for complete information on applicant eligibility.

Applicant Eligibility:

The Cooperative Agreement is only available to the state or territorial agency so designated by the Governor, as the state or territorial National Flood Insurance Program State Coordinating Agency. The CAP-SSSE is administered through the Mitigation Division of each FEMA Regional Office. FEMA's Regional Offices will contact eligible States to negotiate a mutually acceptable grant agreement. Funding is made available when the grant agreement is negotiated and agreed upon. Continued participation in the CAP-SSSE is dependent upon completing activities described in the grant agreement. The SSSE is designed to utilize the floodplain management capabilities of individual States in a cost effective cooperative agreement with FEMA. A State's participation in the SSSE is voluntary and is contingent upon need and skill level capability.

Beneficiary Eligibility:

The direct beneficiary of the CAP-SSSE is the individual State receiving financial assistance. In addition, participating NFIP communities and local governments which receive flood plain management and flood loss reduction assistance provided by the State are also (indirect) beneficiaries as a consequence of the services they receive.

Credentials/Documentation:

A State's participation in the CAP-SSSE is voluntary and is contingent upon need and skill level capability as determined by FEMA. In addition, applicant states are required to provide any relevant documentation contained in the grant agreement package provided by FEMA. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

FEMA's ten Regional Offices will provide each State Governor's Office with the grant application package. The Cooperative Agreement is only available to that state or territorial agency so designated by the Governor, as the state or territorial National Flood Insurance Program State Coordinating Agency. The CAP-SSSE is administered through the Mitigation Division of each FEMA Regional Office. FEMA's Regional Offices will contact eligible States to negotiate a mutually acceptable grant agreement. Funding is made available when the grant agreement is negotiated and agreed upon. Continued participation in the CAP-SSSE is dependent upon completing activities described in the grant agreement. The SSSE is designed to utilize the floodplain management capabilities of individual States in a cost effective cooperative agreement with FEMA. A State's participation in the SSSE is voluntary and is contingent upon need and skill level capability. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance. Include any other application procedures not found above.

Award Procedure:

Applications are reviewed by FEMA Regional Office program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

30-60 days. Refer to Program Guidance.

Appeals:

Appeals can be made to the appropriate FEMA Regional Office.

Renewals:

Participation in the CAP-SSSE can be renewed each year contingent upon meeting requirements.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 25%. Funding is provided on a 75 percent

maximum Federal, 25 percent minimum State cost-match basis. Both cash and in-kind resources may meet the 25 percent cost-match.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are for 12 months with no cost extensions allowed. Refer to program guidance. See the following for information on how assistance is awarded/released: Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

States must report at least semi-annually to the Regions to demonstrate progress in meeting agreed upon performance measures. Some Regions may require quarterly reporting to satisfy Regional grants management requirements. States are expected to cooperate with Regions by submitting documentation or other evidence that demonstrates completion of approved activities. Several key activities are required to be entered digitally into the NFIP Community Information System (CIS) as the tasks are completed. States must provide a final status report on meeting their performance measures to the Region by December 30th of each year in which the state was granted CAP-SSSE funds. For complete reporting requirement information, refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-4236-0-4-453.

Obligations:

(Project Grants) FY 16 \$10,400,000; FY 17 est \$10,400,000; and FY 18 est \$10,400,000

Range and Average of Financial Assistance:

\$10,400,000 Total Funding for all 50 states and US Territories.

TAFS Codes:

70-4236.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Floodplain management technical assistance provided to NFIP participating communities in these states and territories. Fiscal Year 2017: Floodplain management technical assistance will be provided to NFIP participating communities in these states and territories. Fiscal Year 2018: Floodplain management technical assistance will be provided to NFIP participating communities in these states and territories.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to Program Guidance.

Regional or Local Office:

See Regional Agency Offices. FEMA Regional office information is available on the Internet at <http://www.fema.gov/about/structure>. Information may also be obtained by contacting the State office responsible for coordinating CAP-SSSE activities.

Headquarters Office:

Julie Grauer 400 C St., SW, Washington, District of Columbia 20472 Email: Julie.Grauer@fema.dhs.gov Phone: (202) 212-3460

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.022 Flood Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.024 EMERGENCY FOOD AND SHELTER NATIONAL BOARD PROGRAM

(EFSP)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Stewart B. McKinney Homeless Assistance Act of 1987, as amended, Title 3, Section 301, Public Law 100-77, 42 U.S.C 11331-11346.

OBJECTIVES:

Program funds support the National Preparedness Goal (NPG) by complementing actions undertaken to achieve an all-of-Nation preparedness approach that optimizes the use of available resources. When a disaster strikes, it is often the poor or those with economic vulnerabilities who suffer the most. By providing emergency economic assistance that keeps people off the streets, from being evicted from their homes, or with groceries to prevent hunger, the EFSP prepares a population that could otherwise be even more adversely impacted by a disaster situation and mitigates potential burdens imposed on recovery efforts to assist such people.

For additional details on the NPG, please refer to <http://www.fema.gov/pdf/prepared/ngp.pdf>.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Food and related expenses (such as transporting food/food preparation and serving equipment); mass shelter; other shelter (such as hotels and motels); and rent/mortgage and/or utility assistance limited to one month. For a complete listing on eligible and ineligible costs under this program, refer to the current Phase (fiscal year): Responsibilities and Requirements document, issued by the Emergency Food and Shelter Program National Board. Emergency Food and Shelter National Board Program (EFSP) funds cannot be used for: security deposits of any kind, cash payments of any kind, lobbying efforts, salaries (except as administrative allowance and limited to that total allowance of 2 percent of total award where provided by Local Boards), purchases or improvements of an individual's private property, telephone costs, repairs to government-owned or profit-making facilities and any payments for services not incurred. For a complete listing on eligible and ineligible costs under this program, refer to the Responsibilities and Requirements Manual of the current Phase (fiscal year) issued by the Emergency Food and Shelter Program National Board.

Applicant Eligibility:

Since funds are initially distributed to jurisdictions based on either a National Board formula or recommendations from State Set-Aside Committees, there is no application process for jurisdictions. All jurisdictions are considered within the National Board formula and all jurisdictions in an individual State may be considered by the State Set-Aside Committee for either initial or additional (if the jurisdiction had previously been selected by the National Board) funding.

At the local level, following award notification by the National Board to the jurisdiction, a Local Board is formed. The Local Board then advertises the

availability of the funds to local nonprofit agencies and governments. The Local Board must conduct a local application process for agencies to apply for funding. The Local Board selects which local nonprofit and governmental organizations are to receive funding and submits their selections via a Local Board Plan to the National Board.

Beneficiary Eligibility:

The law directs that the Local Boards, which manage the program at the local level, shall "determine which private nonprofit organizations or public organizations of the local government in the individual locality shall receive grants to act as service providers." The range of participant groups on the Local Boards include the affiliates of the National Board membership which consists of American Red Cross, Catholic Charities USA, The Jewish Federations of North America, National Council of Churches of Christ in the USA, The Salvation Army, and United Way Worldwide, with a local government representative replacing FEMA. Also participating are thousands of independent nonprofits (such as Community Action Agencies and Food Banks and food pantries) which provide food and/or shelter services. Due to the broad category of people in need of such emergency services, the providers can include specialized groups such as domestic violence shelters, Native American organizations, and organizations providing food or shelter to: AIDS patients, handicapped individuals, the elderly, homeless veterans, non-wards of the State teenage runaways, and many other groups with emergency food and shelter needs. As noted earlier, the decisions on selections are made through the consensus of the Local Board as they have assessed their community's most urgent needs. It is important that such agencies use these funds to supplement, not replace, their current efforts. This program is intended to supplement ongoing programs and allow them to extend and expand upon their existing services.

Credentials/Documentation:

The program employs a Local Recipient Organization Certification Form. This form certifies an applicant's status (i.e., that it is a nonprofit or government agency capable of delivering eligible services) and that it will abide by the program guidelines (both on eligible spending and reporting requests), and comply with other fiscal and program rules so stipulated. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. There is no application process for local jurisdictions since the award notification to local jurisdictions is based on either a National Board formula or recommendations from State Set-Aside Committees. The local jurisdiction (i.e., Local Board) must conduct a local application process for local agencies to apply for funding.

Award Procedure:

At the local level, following award notification by the National Board, a Local Board is formed. The Local Board then advertises the availability of the funds to local nonprofit agencies and governments. The Local Board must conduct a local application process for agencies to apply for funding. The Local Board selects which agencies are to receive funding and submits their selections via a Local Board Plan to the National Board. The National Board confirms all pertinent information has been submitted and disburses funds directly to the awarded local agencies.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or application guidance for further information.

Appeals:

Refer to the Phase 30 (fiscal year 2012) Responsibilities and Requirements Manual issued by the Emergency Food and Shelter Program National Board for information on the appeal process. Those cases that cannot be handled locally

should be referred to the National Board giving details on action that has been taken up to that point. Any allegations regarding fraud or the misuse of funds should be referred directly to the DHS Office of Inspector General.

Renewals:

Each phase (fiscal year) is a new program.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need. Refer to program guidance.

Reports:

Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Local Boards are required to remain in operation until all program and audit requirements of the National Board have been satisfied. Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0707-0-1-605.

Obligations:

(Project Grants) FY 16 \$120,000,000; FY 17 est \$100,000,000; and FY 18 est \$100,000,000

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0708.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 37,392,966 Meals Provided; 4,292,743 Nights of Lodging Provided; 53,622 Rent/Mortgage Payments Made; 101,957 Utility Payments Made. Fiscal Year 2017: 31,160,805 Meals Provided; 3,577,286 Nights of Lodging Provided; 44,685 Rent/Mortgage Payments Made; 84,964 Utility Payments Made. Fiscal Year 2018: 31,160,805 Meals Provided; 3,577,286 Nights of Lodging Provided; 44,685 Rent/Mortgage Payments Made; 84,964 Utility Payments Made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Food and Shelter National Board Program, Phase 30 Responsibilities and Requirements Manual.

Regional or Local Office:

See Regional Agency Offices. See Regional FEMA Agency Offices.

Headquarters Office:

Community Services Branch/Individual Assistance Division/Recovery

Directorate 500 C Street, S.W., Room 614, Washington, District of Columbia 20472 Email: poc@dhs.gov Phone: (800) 621-FEMA (3363)

Website Address:

http://www.fema.gov

RELATED PROGRAMS:

10.568 Emergency Food Assistance Program (Administrative Costs)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.025 NATIONAL URBAN SEARCH AND RESCUE (US&R) RESPONSE SYSTEM (US&R)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296, 6 U.S.C 313(b)(2)(G).

OBJECTIVES:

The purpose of this Readiness Cooperative Agreement is to support the continued development and maintenance of a national urban search and rescue capability among the 28 task forces within the National Urban Search and Rescue Response System.

This program supports Mission Area: Response, Mass Search and Rescue Operations, with the target of conducting search and rescue operations in order to locate and rescue persons in distress, based on the requirements of the state and local authorities. This program supports Presidential Policy Directive 8 National Preparedness, designed to help guide the domestic efforts of all levels of government, the private and nonprofit sectors, and the public to build and sustain the capabilities outlined in the national preparedness goal.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Rescue Response System Sponsoring Agencies may jointly develop one or more US&R Task Force(s), as defined in the National US&R Response System Operation Manual. Use of cooperative agreement funding is to support program management/administration, training, equipment acquisition, and storage and maintenance of equipment, to meet task force position criteria, and conduct and participate in meetings determined to benefit the National US&R Response System. Equipment acquisitions are limited to those included in FEMA's approved equipment cache list, including equipment for management/administration and other items approved by the FEMA US&R Branch. Training requirements as included in the Statement of Work, Training Program Administrative Manual and current US&R Program Directives. Refer to Notice of Funding Opportunity. Use of cooperative agreement funding is restricted to program management/administration, training, equipment acquisition, and storage and maintenance of equipment, to meet task force position criteria, and conduct and participate in meetings determined to benefit the National US&R Response System. Equipment acquisitions are limited to those included in FEMA's approved equipment cache list, including equipment for management/administration and other items approved by the FEMA US&R Branch. Training requirements as included in the Statement of Work, Training Program Administrative Manual and current US&R Program Directives. Refer to Notice of Funding Opportunity.

Applicant Eligibility:

Only the 28 sponsoring agencies currently designated by FEMA as members of the National Urban Search and Rescue Response System are eligible for readiness and response cooperative agreements.

Beneficiary Eligibility:

Only the 28 sponsoring jurisdictions currently designated by FEMA as members of the National Urban Search and Rescue Response System are eligible for readiness and response cooperative agreements.

Credentials/Documentation:

Only the 28 sponsoring jurisdictions currently designated by FEMA as members of the National Urban Search and Rescue Response System are eligible for readiness and response cooperative agreements. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained within the yearly Funding Opportunity Announcement.

Award Procedure:

Applications and budget plans are reviewed by FEMA US&R Branch and the Grant Programs Directorate. Any issues or concerns noted in the application will be negotiated with the applicants prior to issuance of the award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30 - 60 days from time of submission.

Appeals:

Refer to guidance posted on Grants.gov site.

Renewals:

Not applicable - Annual applications must be submitted.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. N/A.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to Notice of Funding Opportunity. See the following for information on how assistance is awarded/released: Awards are subject to the Cash Award Improvement Act for payment and/or reimbursement of expenditures.

Assistance is released base on Program need.

Reports:

The following program reports are required: Semi-Annual Performance Reports, Annual Task Force Self-Evaluations, Annual Equipment Database CD. Refer to Notice of Funding Opportunity, Appendix A Statement of Work. Quarterly Financial Reports (SF-425). Semi-Annual Performance Reports, Annual Self-Evaluations. Refer to Notice of Funding Opportunity, Appendix A Statement of Work. Only for those recipients who are found to have a history of performance issues and noncompliance to aspects of the terms and conditions and/or scope of the Cooperative Agreement, per 2 CFR Part 200.205, 2 CFR Part 200.207 and 2 CFR Part 200.338. Refer to Notice of Funding Opportunity.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit,

or other action involving the records has been started before the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0711-0-1-999.

Obligations:

(Project Grants) FY 16 \$35,508,524; FY 17 est \$27,513,000; and FY 18 est \$27,513,000

Range and Average of Financial Assistance:

FY2016: \$1,158,582 - \$1,418,582.

TAFS Codes:

70-0700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Developed, maintained, deployed, coordinated, and supported National Urban Search and Rescue resources on-scene to locate, provide initial medical treatment, and extricate victims of incidents requiring specialized search and rescue operations while simultaneously enhancing the US&R response capabilities of State and local governments. Fiscal Year 2017: Develop, maintain, deploy, coordinate, and support National Urban Search and Rescue resources on-scene to locate, provide initial medical treatment, and extricate victims of incidents requiring specialized search and rescue operations while simultaneously enhancing the US&R response capabilities of State and local governments. Fiscal Year 2018: To develop, maintain, deploy and support the National Urban Search and Rescue resources on-scene to locate, provide initial medical treatment, and extricate victims of incidents requiring specialized search and rescue operations while simultaneously enhancing the US&R response capabilities of State and local governments.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR Part 208 National Urban Search and Rescue Response System.

Regional or Local Office:

None.

Headquarters Office:

Catherine Deel 500 C Street, SW., , Washington, District of Columbia 20472
Phone: (202) 212-3796

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the Notice of Funding Opportunity for information on criteria for selecting proposals.

97.026 EMERGENCY MANAGEMENT INSTITUTE TRAINING ASSISTANCE

(Student Stipend Reimbursement Program (SEP))

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C 5121 et seq; National Security Act of 1947, 50 U.S.C 401 et seq.; Defense Production Act of 1950, as amended, 50 U.S.C App. 2061; Earthquake Hazards Reduction Act of 1977, as amended, 42 U.S.C 7701 et seq.

OBJECTIVES:

To defray travel and per diem expenses of State, local, tribal, and Territorial

emergency management personnel who attend training courses conducted by the Emergency Management Institute, at the Emmitsburg, Maryland facility; the Center for Domestic Preparedness in Anniston, Alabama; and selected off-site locations. Its purpose is to improve emergency management practices among State, local, tribal, and Territorial government managers, in response to emergencies and disasters. Programs embody the Comprehensive Emergency Management System by unifying the elements of management common to all emergencies: planning, preparedness, mitigation, response, and recovery. EMI training is conducted in an effort to strengthen the security and resilience of the United States through systematic preparation for the threats that pose the greatest risk to the security of the Nation, including acts of terrorism, cyber attacks, pandemics, and catastrophic natural disasters.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

State (includes territories), local, and tribal emergency management officials, who have been accepted for emergency management training by the Emergency Management Institute, Emmitsburg, Maryland. Reimbursement may be made for a portion of student's travel, but attendees are required to pay for meals. Refer to program guidance document or contact administering program office for additional information.

Applicant Eligibility:

Individuals who are assigned to an emergency management position in State, local or tribal government are eligible. Some restrictions apply, refer to program guidance document or contact administering program office for additional information.

Beneficiary Eligibility:

Specialized Group.

Credentials/Documentation:

Individuals must first be accepted/approved by EMI and NETC Admissions, to attend the training course. Student Stipend Agreement (FEMA 75-3) accompanied by required supporting documentation. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Applications for admission to training course, are processed/ coordinated through county, State, tribal, and regional emergency management entities. This program is excluded from coverage under 44 CFR Part 13 and E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application is made to the Admissions Office, Management Operations and Support Services Division at the National Emergency Training Center using the Student Stipend Agreement after acceptance into the course. The Student Stipend Agreement must be accompanied by the required supporting documentation. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The completed agreement will be processed immediately. If reimbursement is to be made, a U.S. Department of Treasury payment schedule is prepared and funds are reimbursed via direct deposit into the individuals bank account within 60 days.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

May be made to the Superintendent of the Emergency Management Institute.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Refer to program guidance document or contact administering program office for additional information. Certain match requirements (payment of meals by attendees) apply to all participants. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance document. See the following for information on how assistance is awarded/released: Refer to program guidance document.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Not Applicable.

Account Identification:

70-0711-0-1-999.

Obligations:

(Direct Payments for Specified Use) FY 16 \$1,527,227; FY 17 est \$1,700,000; and FY 18 est \$2,778,684

Range and Average of Financial Assistance:

Refer to program guidance document.

TAFS Codes:

70-0700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, 31,140 students completed EMI resident and off-site courses. Of those students, 2,879 student received stipends. Fiscal Year 2017: Anticipate the number of students will be approximately the same and approximately the same number of stipends will be paid. Fiscal Year 2018: Anticipate the number of students will be approximately the same and approximately the same number of stipends will be paid.

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Management Institute Course Catalog.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for FEMA Regional Offices.

Headquarters Office:

Protection and National Preparedness, National Preparedness Directorate, National Training and Education Division, Emergency Management Institute, FEMA 16825 South Seton Avenue, Emmitsburg, Maryland 21727 Phone: (301) 447-1286

Website Address:

<http://www.training.fema.gov>

RELATED PROGRAMS:

97.027 Emergency Management Institute (EMI)_Independent Study Program;
97.028 Emergency Management Institute (EMI)_Resident Educational Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selection.

**97.027 EMERGENCY MANAGEMENT INSTITUTE (EMI)
INDEPENDENT STUDY PROGRAM**

ISP

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

National Security Act of 1947, 50 U.S.C 401 et seq; Earthquake Hazards Reduction Act of 1977, as amended, 42 U.S.C 7701 et seq; Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C 5121 et seq; Defense Production Act of 1950 as amended, 50 U.S.C 2061.

OBJECTIVES:

The program currently consists of over 195 courses available to participants, with the objective of enhancing public and selected audience knowledge of emergency management practices among officials of Federal, state, local and tribal governments and voluntary organizations to strengthen the security and resilience of the United States through systematic preparation for the threats that pose the greatest risk to the security of the Nation, including acts of terrorism, cyber attacks, pandemics, and catastrophic natural disasters.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

The ISP provides online training free of charge to the public, Federal, State local, tribal, and territorial government personnel, and non-government and voluntary organizations.

Applicant Eligibility:

Unlimited Application. Anyone/general public - Any person(s), without regard to specified eligibility criteria.

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Course electronic enrollment is processed via the internet at <http://training.fema.gov/IS>.

Award Procedure:

Independent study courses furnished directly to applicants via online access.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Training courses under the ISP are available online 365 days a year, 24 hours. Training is provided free of charge. See the following for information on how assistance is awarded/released: Training courses under the ISP are available online 365 days a year, 24 hours. Training is provided free of charge.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Not applicable.

Account Identification:

70-0711-0-1-999.

Obligations:

(Salaries) FY 16 \$753,235; FY 17 est \$546,488; and FY 18 est \$565,288

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

70-0700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: A total of 2,069,773 people completed EMIs independent study courses in FY 16. Fiscal Year 2017: 2,000,000 course completions in the ISP. Fiscal Year 2018: 2,000,000 course completions in the ISP.

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Management Institute Course Catalog or Independent Study Program Brochure found on the web at <http://www.training.fema.gov/IS/crslist.asp>.

Regional or Local Office:

See Regional Agency Offices. See EMI Catalog for listing of FEMA Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency, Protection and National Preparedness, National Preparedness Directorate, National Training and Education Division, Emergency Management Institute 16825 South Seton Avenue, Emmitsburg, Maryland 21727 Phone: (301) 447-1057

Website Address:

<http://www.training.fema.gov/IS>

RELATED PROGRAMS:

97.026 Emergency Management Institute_Training Assistance; 97.028 Emergency Management Institute (EMI)_Resident Educational Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.028 EMERGENCY MANAGEMENT INSTITUTE (EMI) RESIDENT EDUCATIONAL PROGRAM

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

National Security Act of 1947, 50 U.S.C 401 et seq.; Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C 5121 et seq; Defense Production Act of 1950, as amended., 50 U.S.C App 2061 et; Earthquake Hazards Reduction of 1977, 42 U.S.C 7701 et seq.

OBJECTIVES:

To improve emergency management practices among State, local and tribal government managers, and Federal officials as well, in response to emergencies and disasters. Programs embody the Comprehensive Emergency Management System by unifying the elements of management common to all emergencies: planning, preparedness, mitigation, response, and recovery. EMI training is conducted in an effort to strengthen the security and resilience of the United States through systematic preparation for the threats that pose the greatest risk to the security of the Nation, including acts of terrorism, cyber attacks, pandemics, and catastrophic natural disasters.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

Courses are not open to the general public. The primary audience is State, local and tribal government officials. Acceptance into specific courses is based on a need to know the information and how it will be used for the benefit of the community. Courses are not open to the general public. Acceptance into specific courses is based on a need to know the information and how it will be used for the benefit of the community.

Applicant Eligibility:

Individuals assigned to an emergency management position in State, local, tribal, or Territorial government are eligible. Restrictions apply, however; refer to program guidance document or contact administering program office for additional information.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

Applicants may be asked to verify their emergency management responsibilities. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to acceptance into the course.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

May be made to the Superintendent of the Emergency Management Institute.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Indefinite. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. There may be an evaluation following completion of most courses to determine the application of the information and the benefit derived by the community.

Records:

Not applicable.

Account Identification:

70-0711-0-1-999.

Obligations:

(Training) FY 16 \$1,770,453; FY 17 est \$1,926,000; and FY 18 est \$2,019,000

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

70-0700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, 8,000 students required housing at the NETC Campus in Emmitsburg, Maryland, or at the Center for Domestic Preparedness located in Anniston, Alabama. Fiscal Year 2017: Estimate will remain close to the number of resident students for FY 16 (7,500 9,000). EMI continues to deliver more off-site offerings, as well as using Video-teleconferencing, virtual tabletop exercises, and Adobe Connect webinars. Fiscal Year 2018: Estimate will remain close to the number of resident students for FY 17 (7,500 9,000). EMI continues to deliver more off-site offerings, as well as using Video-teleconferencing, virtual tabletop exercises, and Adobe Connect webinars.

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Management Institute Course Catalog.

Regional or Local Office:

See Regional Agency Offices. See EMI Catalog for listing of FEMA Regional Offices.

Headquarters Office:

Protection and National Preparedness, National Preparedness Directorate, National Training and Education Division, Emergency Management Institute, FEMA 16825 South Seton Avenue, Emmitsburg, Maryland 21727 Phone: (301) 447-1507

Website Address:

<http://www.training.fema>

RELATED PROGRAMS:

97.026 Emergency Management Institute_Training Assistance; 97.027 Emergency Management Institute (EMI)_Independent Study Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on selection criteria.

97.029 FLOOD MITIGATION ASSISTANCE

(FMA)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

National Flood Insurance Act of 1968, as amended (NFIA) , Public Law 108-264, 42 U.S.C 4104c.

OBJECTIVES:

To assist States, Federally - recognized Indian tribal governments, and communities with the goal of reducing or eliminating claims under the National Flood Insurance Program (NFIP). This program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards which is consistent with DHS QHSR Goal 5.1, Mitigate Hazards and links to Presidential Policy Directive (PPD-8) - National Preparedness, Security, Resilience, Prevention, Mitigation, Response, Protection, and Recovery.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Flood mitigation projects to reduce or eliminate the long-term risk of flood damage to properties insured under the National Flood Insurance Program

(NFIP) are eligible for the FMA program. Eligible project types include: acquisition-demolition and acquisition-relocation; elevation of existing structures to the Base Flood Elevation (BFE) or an ABFE Advisory Base Flood Elevation (ABFE) or higher; minor localized flood risk reduction projects; and dry-flood proofing (historic properties and non-residential structures).

FMA funds can only be used for the flood hazard component of a hazard mitigation plan that meets the planning criteria outlined in 44 CFR Part 201. Individual planning grants using FMA funds shall not exceed \$50,000 to any applicant or \$25,000 to any subapplicant. Projects must meet all eligibility criteria including technical feasibility, cost-effectiveness; compliance with environmental and historic preservation laws and regulations and other program requirements. Please see the Hazard Mitigation Assistance (HMA) program guidance on the FEMA website for detailed information: <http://www.fema.gov/media-library/assets/documents/103279>.

Applicant Eligibility:

The 50 States, the District of Columbia, American Samoa, Guam, the U.S. Virgin Islands, Puerto Rico, the Northern Mariana Islands, and Federally - recognized Indian Tribal governments shall serve as the Applicant to FEMA for FMA assistance.

Beneficiary Eligibility:

State agencies, Indian Tribal governments, and local governments and communities are eligible to apply as subapplicants for assistance under the FMA program. All interested subapplicants must apply to the Applicant. All subapplicants for FMA must currently be participating in the NFIP, and not withdrawn or suspended, to be eligible to apply for grant funds. For specific details regarding eligible subapplicants, refer to 44 CFR Section 79.6(a).

Credentials/Documentation:

Please see the HMA program guidance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Notice of Funding Opportunity is posted on www.Grants.gov.

Award Procedure:

Applications are reviewed by DHS/FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued. Applicants are responsible for distributing funds to sub-applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Please see the Notice of Funding Opportunity Announcement posted on www.Grants.gov.

Appeals:

Refer to HMA program guidance document.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: 44 CFR Part 79.
Matching Requirements: FEMA may contribute up to 100 percent Federal cost share for severe repetitive loss properties or the expected savings to the NFIP for acquisition or relocation activities (the Greatest Savings To the Fund rule for property acquisition may be offered to the property owner if the project is not cost-effective using pre-event or current market value); FEMA may

contribute up to 90 percent Federal cost share for repetitive loss properties; and FEMA may contribute up to 75 percent Federal cost share for NFIP-insured properties. The applicant or subapplicant is responsible for the remaining non-Federal share of eligible activity costs. Refer to HMA program guidance for additional information.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to the Notice of Funding Opportunity posted on www.Grants.gov for information on applicable Periods of Performance and Availability of Funds. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Recipients are required to submit quarterly financial and performance reports. Quarterly Performance Reports must include the progress of each sub-award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Recipients are required to submit quarterly financial reports. Quarterly Financial Reports must include the expenditures of each subaward. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial reports are due 90 days after the expiration or termination of grant award. Recipients are required to submit quarterly performance reports. Quarterly Performance Reports must include the progress of each sub-award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Recipients are required to submit quarterly financial reports. Quarterly Financial Reports must include the expenditures of each sub-award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial reports are due 90 days after the expiration or termination of grant award. Recipients are required to submit quarterly performance reports. Quarterly Performance Reports must include the progress of each sub-award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the date the applicants project application is closed. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0701-0-1-453.

Obligations:

(Project Grants) FY 16 \$137,809,485; FY 17 est \$75,000,000; and FY 18 est \$75,000,000

Range and Average of Financial Assistance:

Not available.

TAFS Codes:

70-4236.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: \$275 Million in losses avoided. Fiscal Year 2017: \$150 Million in losses avoided. Fiscal Year 2018: \$150 Million in losses avoided.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be governed by the FMA Program Regulations at 44 CFR Part 79; the DHS FEMA Real Property Acquisition Regulations at 44 CFR Part 80, and the HMA program guidance.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses of FEMA's Regional Offices.

Headquarters Office:

Karen Helbrecht 400 C Street, SW
, Washington, District of Columbia 20472 Email:
Karen.Helbrecht@dhs.fema.gov Phone: (202) 646-3358

Website Address:

<http://www.fema.gov/flood-mitigation-assistance-grant-program>

RELATED PROGRAMS:

97.039 Hazard Mitigation Grant; 97.047 Pre-Disaster Mitigation

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to Notice of Funding Opportunity posted on www.Grants.gov for information on criteria for selecting proposals.

97.030 COMMUNITY DISASTER LOANS**FEDERAL AGENCY:**

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 417, 42 U.S.C 5184.

OBJECTIVES:

To provide loans subject to Congressional loan authority, to any local government that has suffered substantial loss of revenues in an area in which the President designates a major disaster in order to assist communities affected by an incident to recover effectively in accordance with PPD-8. The funds can only be used to maintain existing functions of a municipal operating character and the local government must demonstrate a need for financial assistance.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

Loans are made only to local governments or other political subdivisions of the State, and are based on need. The funds cannot be used to meet the non-federal share of any Federal program, finance capital improvements, or repair or restore public facilities. Applicants must be in a designated major disaster area and must demonstrate that they meet the specific conditions of FEMA Disaster Assistance Regulations 44 CFR Part 206, Subpart K, Community Disaster Loans. To be eligible, the applicant must demonstrate: 1) a substantial loss of revenues as a result of a major disaster; 2) a need for financial assistance to perform its governmental functions. The amount of the loan is based on the demonstrated need and equal to 25% of applicants Operating Budget or \$5 million, whichever is lower. The interest rate is the U.S. Treasury rate for 5-year maturities on the date the Promissory Note is executed, adjusted to the nearest 1/8th. When applicable, if an applicant can demonstrate unusual circumstances involving financial hardship, the Assistance Administrator of the Disaster Assistance Directorate may approve a rate equal to the 5-year maturity rate plus 1 per centum, adjusted to the nearest 1/8th, and further reduced by one-half. The term of the loan is usually 5 years, but may be extended. Interest accrues on the funds as they are disbursed. When applicable, the Assistance Administrator of the Disaster Assistance Directorate may cancel repayment of all or part of the loan if the revenues of the applicant in the 3 fiscal years following the financial year of the disaster are insufficient to meet the operating budget because of disaster-related revenue losses and un-reimbursed disaster

related operating expenses.

Applicant Eligibility:

Applicants must be in a designated major disaster area and must demonstrate that they meet the specific conditions of FEMA Disaster Assistance Regulations 44 CFR Part 206, Subpart K, Community Disaster Loans. To be eligible the applicant must demonstrate: 1) a substantial loss of revenues as a result of a major disaster; 2) a need for financial assistance to perform its governmental functions.

Beneficiary Eligibility:

Local governments in a designated disaster area.

Credentials/Documentation:

The loan application is developed from financial information contained in the local government's published financial reports and current annual operating budget. Loan awards will be determined in accordance with OMB Circulars No. A-102 and No. A-87 for State and local governments. Awards made to Institutions of Higher Education, Hospitals and Other Non-Profit Organizations will be required to follow the requirements of OMB Circulars No. A-110 and No. A-21. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The State Governor makes a request for a Presidential declaration of a major disaster through the FEMA Regional Director. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Upon declaration of a major disaster, application for a Community Disaster Loan is made through the Governor's Authorized Representative to the Regional Director of FEMA in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206.364. The Assistant Administrator of the Disaster Assistance Directorate approves or disapproves the loan. The Designated Loan Officer will execute a Promissory Note with the applicant. The promissory note must be co-signed by the State, or if the State cannot legally co-sign the note, the local government must pledge collateral security.

Award Procedure:

Funds are disbursed to the local government when requested in accordance with the Schedule of Loan Increments in the Promissory Note, or submittal of Disbursement Request Form. Funds awarded to Institutions of Education, Hospitals and Other Non-Profit Organizations will follow requirements of OMB Circulars No. A-110, No. A-21 and No. A-133.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 6 months. The loan must be approved in the fiscal year of the disaster or the financial year immediately following.

Appeals:

If a loan application is disapproved, in whole or in part, a revised application may be resubmitted by the local government within 60 days of the date of the disapproval.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are transferred by FEMA Finance into the account established by Health and Human Services for each applicant in HHS Payment Management System

(PMS). Disbursements are requested by the applicant from the PMS to the established local governments bank account for the loan. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

The applicant must demonstrate a financial need prior to any incremental disbursement by submitting a copy of its most recent financial report as well as data on anticipated and actual revenues. The applicant annually must submit copies of its annual financial reports. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Annual Operating Budgets including revenue and expense and balance sheets must be retained for the duration of the loan. Additional record requirements are detailed in the Federal Disaster Assistance Regulations, 44 CFR 206, Subpart K.

Account Identification:

70-0703-0-1-453.

Obligations:

(Direct Loans) FY 16 \$707,002; FY 17 est \$44,644,874; and FY 18 est \$82,728,480 - Obligations for FY17 and FY18 will be based on major disaster declarations and applications submitted by disaster impacted communities. For the purpose of projecting budget amounts, we used available subsidy and used current subsidy rates to determine our loan issue capacity as our projections. As of today, seven major disaster declarations are open (DR-4263 & 4277-LA, DR-4273-WV, DR-4241 & 4286-SC, and DR-4281 & 4289-IA) with number of application fluctuating by deadline to obtain a loan for each declaration, per Program Regulations).

Range and Average of Financial Assistance:

None.

TAFS Codes:

70-0703.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Completed pre-population of cancellation qualification analysis for 51 active loans in the State of New Jersey (DR-4086).

Performed intakes with 51 DR-4086-NJ loan applicants where we obtained financial data for loan cancellation qualification analysis and clarified accounting lines in applicants financial files.

Activated CDL Program for major disaster declarations, per State requests received.

Issued CDL cancellation determinations for:

1 DR-4030-PA (determination date 12/2015)

Maintenance of 70 active CDLs.

Issued 2 new loans.

Activated Program for DR-4241-SC. Fiscal Year 2017: Work on loan applications for active disasters: DR-4273-WV, DR-4263-LA, DR-4277-LA, and DR-4286-SC. Performed 28 loan presentations and application interviews. Calling outreach to over 330 communities within declared disaster zones in 3 states.

Complete cancellation analysis and determinations for:

2 DR-1972-MS loans (2017.01.09 Tunica County; 2017.01.24 Wilkinson County)

1 DR-1980-MO loan

34 DR-4086-NJ loans (out of 51 active loans) applicants following FY16

17 DR-4086-NJ loans (out of 51 active loans) applicants following FY17

Closing of 9 inactive loans.

1 Delinquent Loan Collection for American Samoa

Issued 9 new loans. Fiscal Year 2018: Review the following loans for cancellation qualification:

4174AR01

4145CO01

4184IA01, 4184IA02

4175MS01, 4175MS02, 4175MS03, &

4183NE01.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR Part 206, Subpart K.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Martha M. Polanco 500 C Street, SW

Mail Stop #3163, Washington, District of Columbia 20472 Email:

Martha.Polanco.2@fema.dhs.gov Phone: (202) 646-5761

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.032 CRISIS COUNSELING

(CCP)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 416, Public Law 93-288.

OBJECTIVES:

The Crisis Counseling Assistance and Training Program (CCP) supports the Recovery core capability and mission area as defined in the National Preparedness Goal. It accomplishes this by assisting individuals and communities in recovering from the challenging effects of natural and human-caused disasters through the provision of community-based outreach and psycho-educational services.

The CCP goals are to:

Reach large numbers of people affected by disasters through face-to-face outreach to shelters, homes, and other locations.

Assess the emotional needs of survivors and make referrals to traditional behavioral health services when necessary.

Identify tangible needs and link survivors to community resources and disaster relief services.

Provide emotional support, education, basic crisis counseling, and connection to familial and community support systems.

Train and educate CCP staff and other community partners about disaster reactions, appropriate interventions, and CCP services.

Develop partnerships with local disaster and other organizations.

Work with local stakeholders to promote community resilience and recovery.

Collect and evaluate data to ensure quality services and justify program efforts.

Leave behind a permanent legacy of improved coping skills, educational and resource materials, and enhanced community linkages.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Crisis Counseling Assistance and Training Program (CCP) provides supplemental funding to States, U.S. Territories and Federally recognized tribes

for technical assistance and training as well as short-term crisis counseling services to individuals impacted by a Presidentially-declared major disaster that includes Individual Assistance. CCP services involve the counseling goals of assisting disaster survivors in understanding their current situations and reactions, mitigating additional stress, assisting survivors in reviewing their options, promoting the use or development of coping strategies, providing emotional support and encouraging linkages with other individuals and agencies who may help survivors recover to their pre-disaster level of functioning. The CCP is comprised of the Immediate Services Program (ISP) and the Regular Services Program (RSP). The Immediate Services Program grant provides 60 days of services from the declaration date and enables the State or local agency to respond to the immediate behavioral health needs of people affected by the disaster. The Regular Services Program grant provides up to nine months of crisis counseling, outreach, consultation and education services to people affected by a disaster. Funding for the ISP and RSP programs is considered separate and distinct as these are two different grants.

Applicant Eligibility:

States are eligible for grants. If the Governor determines, during an assessment of the need for crisis counseling services, that because of unusual circumstances or serious conditions within the State or local mental health network, the State cannot carry out the crisis counseling program, he/she may identify a public or private mental health agency or organization to carry out the program or request the Department of Homeland Security's Federal Emergency Management Agency (FEMA) Regional Director to identify, with assistance of the Secretary of the Department of Health and Human Services (DHHS), such an agency or organization.

Beneficiary Eligibility:

In order to be eligible for services under this program, an individual must be a resident of the designated disaster area or must have been in the designated area at the time the disaster occurred.

Credentials/Documentation:

Grant awards will be determined in accordance with OMB Circulars A-102 for State and local governments. States are eligible for grants. If the Governor determines, during an assessment of the need for crisis counseling services, that because of unusual circumstances or serious conditions within the State or local mental health network, the State cannot carry out the crisis counseling program, he/she may identify a public or private mental health agency or organization to carry out the program or request the Department of Homeland Security's Federal Emergency Management Agency (FEMA) Regional Administrator to identify, with assistance of the Secretary of the Department of Health and Human Services (DHHS), such an agency or organization. In order to be eligible for services under this program, an individual must be a resident of the designated disaster area or must have been in the designated area at the time the disaster occurred. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application for Federal assistance for the Immediate Services Program must be submitted by the State to FEMA no later than 14 days after the Presidential declaration. Application for Federal assistance for the Regular Services Program must be submitted by the State to FEMA, along with a copy to SAMHSA CMHS, no later than 60 days after the Presidential declaration.

Award Procedure:

Immediate Services Program applications are reviewed by FEMA program staff in consultation with SAMHSA CMHS. Grant award is provided by FEMA to the State Emergency Management Agency. Regular Services Program applications are reviewed by FEMA with formal recommendation from SAMHSA CMHS. Grant funds are provided by FEMA to SAMHSA CMHS for award to the State's mental health agency.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or application guidance for further information.

Appeals:

Immediate Services Program - The State may appeal the Regional Administrator's decision in writing within 60 days of the date of notification of the application decision. The State may further appeal the Regional Administrator's decision to the Assistant Administrator, Recovery, within 60 days of the date of the Regional Administrator's notification of the application decision. Regular Services Program - The State may appeal FEMA's decision in writing within 60 days of the date of notification of the decision.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Grantee is required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Final financial and program reports are due 90 days after the end of the grants period of performance. Refer to Program Guidance. SF-425 is required on a quarterly basis and 90 days after the project end date. Refer to program guidance. No progress reports are required. The Quarterly Report must include an interim budget expenditure report. A final expenditure report showing expenditure of funds by category and budget line is also required. Refer to program guidance for details. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0702-0-1-453.

Obligations:

(Project Grants) FY 16 \$22,311,270; FY 17 est \$24,542,397; and FY 18 est \$26,996,637

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

70-0702.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 16 grants 283,872 survivors. Fiscal Year 2017: 16 grants 283,872 survivors. Fiscal Year 2018: 16 grants 283,872 survivors.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR 206.171; Crisis Counseling Assistance and Training and the FEMA Crisis Counseling Assistance and Training Program Guidance.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Federal Emergency Management Agency (FEMA), Individual Assistance 500 C Street, SW, Sixth Floor, Washington, District of Columbia 20472-3100
Phone: (202) 212-1117

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.033 DISASTER LEGAL SERVICES

(DLS)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 416, Public Law 93-288, 42 U.S.C 5182.

OBJECTIVES:

The Disaster Legal Services Program (DLS) supports the NPG Mission Area of Recovery and the specific capability targets of economic recovery and health and social services. DLS accomplishes this by providing legal assistance to low-income individuals who, prior to or as a result of a Presidentially declared disaster, are unable to secure legal services adequate to meet their disaster-related needs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Disaster Legal Services program provides free legal advice for individuals impacted by a Presidentially-declared major disaster that includes Individual Assistance. Legal advice is limited to cases that do not produce a fee. This free legal advice includes assistance with insurance claims; counseling on landlord/tenant problems; assistance with home repair contracts; assistance in consumer protection matters; counseling on mortgage foreclosure problems; replacement of wills and other important legal documents; drafting powers of attorney; estate administration; preparation of guardianships and conservatorships; and referrals to local and State agencies for additional assistance.

Applicant Eligibility:

FEMA enters into a contract with the American Bar Association Young Lawyers Division to cover the administrative costs of implementing and managing the Disaster Legal Services program.

Beneficiary Eligibility:

Service provision is geared toward low-income individuals who, prior to or because of the disaster, are unable to secure legal services adequate to meet their disaster-related needs.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. FEMA makes a formal request to the National Director of the Disaster Legal Services (DLS) program indicating the intent to implement the DLS program.

Award Procedure:

Administrative funds are made available through a contractual agreement as outlined in the Memorandum of Agreement between FEMA and the American Bar Association on behalf of its Young Lawyers Division.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of the program is generally tied to the need for services and varies with each disaster. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Volunteer attorneys submit reports on services and/or advice given to clients. Cash reports are not applicable. Volunteer attorneys submit reports on services and/or advice given to clients. Refer to Program guidance. Refer to Program guidance.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

70-0702-0-1-453.

Obligations:

(Provision of Specialized Services) FY 16 \$50,000; FY 17 est \$55,000; and FY 18 est \$60,500

Range and Average of Financial Assistance:

None.

TAFS Codes:

70-0702.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 10 grants 2,100 disaster survivors. Fiscal Year 2017: 12 grants 2,100 disaster survivors. Fiscal Year 2018: 12 grants 2,100 disaster survivors.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR 206.164; and Disaster Legal Services Handbook.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Federal Emergency Management Agency (FEMA) Disaster Assistance
Directorate 500 C Street, SW, Sixth Floor
, Washington, District of Columbia 20472-3100 Phone: (202) 212-1117

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.034 DISASTER UNEMPLOYMENT ASSISTANCE

(DUA)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 410, Public Law 93-288.

OBJECTIVES:

The Disaster Unemployment Assistance (DUA) Program supports the NPG Mission Area of Recovery and the specific capability target of Economic Recovery. DUA accomplishes this by providing temporary benefits to individuals whose employment or self-employment has been lost or interrupted as a direct result of a major disaster and who are not eligible for regular unemployment insurance (UI).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Disaster Unemployment Assistance (DUA) program provides weekly benefits and re-employment assistance services to individuals unemployed as a direct result of a Presidentially-declared major disaster that includes Individual Assistance. Funds are used for benefit payments to individuals and project administrative costs to States. DUA weekly benefits and re-employment assistance require proof of employment eligibility, proof of employment or self-employment to assist in determining a Weekly Benefit Amount (WBA). Aliens must prove they are "qualified aliens" (as determined by the Immigration and Naturalization Service) in order to be eligible to receive a federal public benefit.

Applicant Eligibility:

The State Workforce Agency (SWA) applies for Disaster Unemployment Assistance funds to provide to eligible beneficiaries.

Beneficiary Eligibility:

Refer to program guidance.

Credentials/Documentation:

FEMA Disaster Assistance Regulations 44 CFR Part 206.141 and the DOL Regulations at 20 CFR Part 625. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

The State Governor makes a request for a Presidential declaration of a major disaster through the appropriate FEMA Regional Administrator having jurisdiction over the State. Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206. An applicant should consult the office or officials designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An applicant should

consult the office or officials designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Award Procedure:

FEMA provides funding to the Department of Labor, which administers the DUA program on FEMA's behalf. Individual applicants for DUA assistance must apply through their local SWA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

In general, individual applications are approved or denied within 1 to 2 weeks. Generally, applications for DUA must be filed within 30 days after the date of the SWA announcement regarding availability of DUA. When applicants have good cause, they may file claims after the 30-day deadline. However, no initial application will be considered if filed after the 26th week following the declaration date.

Appeals:

Appeals must be filed in accordance with DOL Regulation 20 CFR Part 625.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

DUA may be paid from the period beginning with the first week (as defined in State law) following the date the major disaster began, and extending up through, but no longer than, the 26th week following the Presidential major disaster declaration date. See the following for information on how assistance is awarded/released. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

The State Workforce Agency submits reports when requesting additional funding and at the close of the DUA program. Closeout reports must include the ETA 9130 and a narrative. Refer to Department of Labor DUA Handbook. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record retention in accordance with DOL Regulation 20 CFR Part 625.

Account Identification:

70-0702-0-1-453.

Obligations:

(Direct Payments for Specified Use) FY 16 \$3,013,865; FY 17 est \$3,315,251; and FY 18 est \$3,646,776

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

70-0702.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 13 grants 2,395 disaster survivors. Fiscal Year 2017: 13 grants 2,395 disaster survivors. Fiscal Year 2018: 13 grants 2,395 disaster survivors.

REGULATIONS, GUIDELINES, AND LITERATURE:

FEMA Regulations at 44 CFR 206.8, 44 CFR 206.141, and DOL Regulations at 20 CFR Part 625.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA) 500 C Street, SW, Sixth Floor, Washington, District of Columbia 20472-3100 Phone: (202) 212-1117

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.036 DISASTER GRANTS - PUBLIC ASSISTANCE (PRESIDENTIALLY DECLARED DISASTERS)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288, 42 U.S.C 5121-5206.

OBJECTIVES:

To assist State, Tribal and local governments and eligible private non-profits in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, restoration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed as the result of federally declared disaster or emergencies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Restricted to Presidential-declared disasters or emergencies, such as an earthquake, hurricane, tornado, or wildfire. Program activates only after issuance of a presidential declaration. Public Assistance is awarded in the form of cost-shared grants to States, local governments, other political subdivisions such as special districts, federally recognized Indian tribal governments and Alaska Native villages or organizations, and certain eligible Private Non-Profit organizations. A State is defined as any State of the United States, the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of Northern Mariana Islands. Following a Presidential declaration, grants may be made for: removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Applicant Eligibility:

State and local governments, other political subdivisions such as a special districts, federally recognized Indian tribal governments, Alaska Native villages or organizations, but not Alaska Native Corporations, and certain Private Non-Profit organizations in designated emergency or major disaster areas.

Beneficiary Eligibility:

Eligible work must be required as a result of the disasters; be located in a designated emergency or major disaster area; and be the legal responsibility of the applicant.

Credentials/Documentation:

Cost will be determined in accordance with FEMA Disaster Assistance Regulations, 44 CFR Part 206 and Part 13, and the FEMA Schedule of Equipment Rates. Grant awards will be determined in accordance with OMB

Circulars No. A-102 and No. A-87 for States, local governments and Indian Tribes. Awards made to Institutions of Higher Education, Hospitals and other Non-Profit Organizations will be required to follow the requirements of OMB Circulars No. A-110 and No. A-21. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The State Governor makes a request for a Presidential declaration of an emergency or a major disaster through the FEMA Regional Director. Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206.36, except as provided in Part 206.35(d) for emergency declarations involving primarily Federal responsibility. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Presidential Disaster or Emergency Declaration must be issued, which is then followed by a Request for Public Assistance through the Governor's Authorized Representative to the Regional Director of FEMA.

Award Procedure:

Funds are allocated from the President's Disaster Relief Fund for use in a designated emergency or major disaster area. The FEMA Regional Director approves grants from this allocation on the basis of project applications for eligible applicants. States are responsible for distributing funds to applicants. As a condition of receiving assistance under the Stafford Act, applicants are encouraged to take mitigation measures to reduce future losses from natural hazards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 day to 6 weeks. A Request for Assistance must be submitted by the applicant at the Applicants' Briefing or within 30 days of designation of an emergency declaration or major disaster declaration for the Public Assistance Program.

Appeals:

Any determination made under the Public Assistance Program may be appealed. All appeals must be made through the State and the appropriate FEMA Regional Office listed in Appendix IV of the Catalog. The appeal deadlines are: 60 days from receipt of notification of the action being appealed.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Robert T. Stafford Disaster Relief and Emergency Assistance Act, Chapter 42, Part 5121-5206, Public Law 93-288. Matching Requirements: Percent: 25%. The Federal share of the grant is not less than 75 percent with the State and local governments responsible for the remainder. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of the assistance period varies depending on the type of work or service. Reimbursement for small projects under \$123,100 is made based on the Federal estimate of costs. For projects over the \$123,100, reimbursement of actual eligible costs is made as the costs are incurred. The \$123,100 limit is adjusted annually to reflect the Consumer Price Index. When purchase of insurance is required, the applicant is obliged to maintain the policy for the economic and useful life of the repairs or of the insured property, whichever is the lesser. Also, applicants must comply with Floodplain Management, Hazard Mitigation, Environmental, and Historic Preservation considerations. See the following for information on how assistance is awarded/released: Payment

based on Project need. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Progress status reports are required quarterly. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Expenditure records and related documents must be retained for 3 years from the date the applicant's project application is closed.

Account Identification:

70-0702-0-1-453.

Obligations:

(Project Grants) FY 16 \$5,858,591,041; FY 17 est \$3,738,941,530; and FY 18 est \$6,000,000,000

Range and Average of Financial Assistance:

None.

TAFS Codes:

70-0702.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: \$6,374,140,477.53
Total projects = 15,440. Fiscal Year 2017: \$3,738,941,530.00 estimated projects
21,643 estimated projects. Fiscal Year 2018: \$6,000,000,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR Part 205 for disasters and emergencies declared prior to November 23, 1988; Federal Disaster Assistance Regulations, 44 CFR Part 206 for disasters declared on or after November 23, 1988; "Public Assistance Guide," FEMA 322 (formerly FEMA 286); "Public Assistance Policy Digest," FEMA 321; "Public Assistance Applicant Handbook," FEMA 323. See Public Assistance web page at <http://www.fema.gov/government/grant/pa/index.shtm>.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Christopher Logan 500 C Street, S.W.
, Washington, District of Columbia 20472 Phone: (202) 646-4136 or 1-800-621-3363

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

10.054 Emergency Conservation Program; 12.102 Emergency Rehabilitation of Flood Control Works or Federally Authorized Coastal Protection Works; 12.103 Emergency Operations Flood Response and Post Flood Response; 97.034 Disaster Unemployment Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.039 HAZARD MITIGATION GRANT

(HMGP)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 404, Public Law 93-288, 42 U.S.C 5170c.

OBJECTIVES:

The objective of the program is to provide funding support to states, Indian tribal governments, territories, communities, and other eligible applicants to reduce the risk of future damage, loss of life and property in any area affected by a major disaster. This program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards which is consistent with DHS QHSR Goal 5.1, Mitigate Hazards and links to Presidential Policy Directive (PPD-8) - National Preparedness, Security, Resilience, Prevention, Mitigation, Response, Protection, and Recovery.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Restricted to Presidential-declared disasters or emergencies, such as an earthquake, hurricane, tornado, or wildfire. Following a Presidential declaration, grants may be made for: structural hazard controls or protection projects; construction activities that will result in reduction of hazards; retrofitting of facilities; acquisition of real property, relocation, demolition of structures; elevation of residential structures; minor flood reduction projects; structural retrofitting of existing structures; safe room construction; initial implementation of vegetation management programs; elevation or dry flood-proofing of non-residential structures; initial training of building officials and other professionals to facilitate the implementation of newly adopted State or local mitigation standards and codes, and mitigation planning actions. Projects must meet all eligibility criteria including technical feasibility; cost-effectiveness; compliance with environmental and historic preservation laws and regulations and other program requirements. Please see the Hazard Mitigation Assistance (HMA) Guidance on the FEMA website for detailed information: <http://www.fema.gov/media-library/assets/documents/103279>.

Applicant Eligibility:

State and local governments, other political subdivisions such as a special districts, Federally-recognized Indian tribal governments, Alaska Native villages or organizations, but not Alaska Native Corporations, and certain Private Non-Profit organizations in designated emergency or major disaster areas shall serve as the Applicant to FEMA for HMGP assistance. A State is defined as any State of the United States, the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Northern Marianna Islands, the Marshall Islands and Micronesia.

Applicants that have a current, approved Standard State/Tribal Mitigation Plan at the time of the declaration of a major disaster are eligible to receive up to 15% of the value of all other disaster assistance grants for HMGP. Applicants that have an approved Enhanced State/Tribal Mitigation Plan in effect may receive 20 percent of the value of all other disaster assistance grants for HMGP.

Beneficiary Eligibility:

State and local governments; other political subdivisions such as a special districts, Private, non-profit organizations that own or operate a private, non-profit public facility; certain qualified conservation organizations may apply for acquisition or relocation for open space projects; Indian tribes or authorized tribal organizations and Alaska Native villages or organizations, but not Alaska native corporations with ownership vested in private individuals in designated emergency or major disaster areas are eligible to apply as subapplicants for assistance. All interested subapplicants must apply to the Applicant, who then applies to FEMA. Homeowners are not eligible to apply as subapplicants but may request their local jurisdiction to apply on their behalf.

Credentials/Documentation:

Refer to HMA program guidance document, 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Rather than require applicants to submit a separate pre-application in order for FEMA and the State to identify the need for assistance, FEMA will employ the administrative and Mitigation plans in this manner. When States submit plans

for review and indicate their intention to apply for assistance to implement one or more projects described in it, FEMA will use the plan to identify a need for funding assistance, and to discourage applications that are unlikely to be funded. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Presidential Disaster or Emergency Declaration must be issued, which is then followed by an application for the HMGP through the Governor's Authorized Representative to the Regional Administrator of FEMA.

Award Procedure:

Funds are allocated from the President's Disaster Relief Fund for use in a designated emergency or major disaster area. The FEMA Regional Administrator approves grants from this allocation on the basis of project applications from eligible applicants. Applicants are responsible for distributing funds to sub-applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to HMA program guidance document.

Appeals:

An eligible applicant or subapplicant may appeal any determination previously made related to an application for or the provision of Federal assistance according to procedures set forth in 44CFR Section 206.440.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: 44 CFR Subpart N Hazard Mitigation Grant Program Sections 206.430-206.440.

Matching Requirements: FEMA can fund up to 75 percent of the eligible costs of projects submitted under each disaster declaration. The State or project applicant is responsible for the remainder which can be a combination of cash, in-kind services, or materials. Refer to HMA program guidance for additional information.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of the assistance period varies depending on the type of work or service. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Recipients are required to submit quarterly financial and performance reports. Quarterly Performance Reports must include the progress of each subaward. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due quarterly and a final financial report is due 90 days after the expiration or termination of grant award. Recipients are required to submit quarterly financial reports. Quarterly Financial Reports must include the expenditures of each subaward. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial reports are due quarterly and a final financial report is due 90 days after the expiration or termination of grant award. Recipients are required to submit quarterly performance reports. Quarterly Performance Reports must include the progress of each sub award. Reports are due 30 days after the end of each quarter: January 30, April 30, July

30, and October 30. Final performance reports are due quarterly and a final financial report is due 90 days after the expiration or termination of grant award. Recipients are required to submit quarterly financial reports. Quarterly Reports must include the expenditures of each subaward. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final reports are due quarterly and a final financial report is due 90 days after the expiration or termination of grant award. Recipients are required to submit quarterly performance reports. Quarterly Performance Reports must include the progress of each subaward. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final performance reports are due quarterly and a final financial report is due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the date the applicants project application is closed. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0700-0-1-999.

Obligations:

(Project Grants) FY 16 \$609,957,698; FY 17 est \$500,000,000; and FY 18 est \$500,000,000

Range and Average of Financial Assistance:

Refer to HMA program guidance.

TAFS Codes:

70-0702.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 1,219,915,396 in losses avoided. Fiscal Year 2017: \$1 billion in losses avoided. Fiscal Year 2018: \$1 billion in losses avoided.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be governed by 44 CFR Subpart N Hazard Mitigation Grant Program Sections 206.430-206.440; Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288, as amended; Hazard Mitigation Assistance Unified Guidance; Hazard Mitigation Grant Program Desk Reference FEMA 345 October 1999, Hazard Mitigation Grant Program, L-169, February 1996, and Property Acquisition Handbook, FEMA-317.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of FEMA's Regional Offices.

Headquarters Office:

Karen Helbrecht 400 C Street, SW, Washington, District of Columbia 20472
Email: Karen.Helbrecht@fema.dhs.gov Phone: (202) 646-3358

Website Address:

<http://www.fema.gov/government/mitigation.shtm>

RELATED PROGRAMS:

97.029 Flood Mitigation Assistance; 97.047 Pre-Disaster Mitigation

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the HMA program guidance for information on criteria for selecting proposals.

97.040 CHEMICAL STOCKPILE EMERGENCY PREPAREDNESS PROGRAM

(CSEPP)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Department of Defense Authorization Act of 1986, Title 14, Part B, Section 1412, Public Law 99-145, 50 U.S.C 1521.

OBJECTIVES:

To enhance emergency preparedness capabilities of the States and local communities at the two U.S. Army chemical weapons stockpile storage facilities. The purpose of the program is to assist States and local communities in efforts to improve their capacity to plan for and respond to accidents associated with the storage and ultimate disposal of chemical warfare materials.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applications are accepted only from the State of Colorado and the Commonwealth of Kentucky. These eligible States house the U.S. Army stockpiles unitary chemical warfare agent as bulk chemicals and munitions. Local governments are eligible to participate as subrecipients under their State's application. In keeping with the intent of the Congressional appropriations, CSEPP funds are to be utilized for effective emergency management capabilities in the two affected States and localities surrounding the two Army stockpile locations. CSEPP funds may not be used as a substitute for other mandated, unfunded programs required for existing needs or by other laws. CSEPP funds may not be used to supplant other forms of emergency management funding. CSEPP funds may not be commingled with other, non-CSEPP funds. CSEPP funds are used for the purpose set forth in the Cooperative Agreement (CA) and must be consistent with the statutory authority for the award. CA funds may not be used for matching funds for other Federal grants/CAs, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. Refer to Notice of Funding Opportunity for additional information.

Applicant Eligibility:

Applications are accepted only from the State of Colorado and the Commonwealth of Kentucky. These eligible States house the U.S. Army stockpiles unitary chemical warfare agent as bulk chemicals and munitions. Local governments are eligible to participate as subrecipients under their State's application.

Beneficiary Eligibility:

State, local and tribal governments, and general public.

Credentials/Documentation:

The budget worksheets used for CSEPP must be included in the official application package. These worksheets will be used for budget analysis by FEMA. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

EO 12372 requires Recipients from State and local units of government or other organizations providing services with a State to submit a copy of the application to the State Single Point of Contact (SPOC). Recipients must contact their State SPOC to determine if the program has been selected for a State review. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications or plans are reviewed by DHS/FEMA program staff. Any issues or concerns noted in the application will be negotiated with the State prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact the headquarters or regional office, as appropriate, for application deadlines. Refer to the Notice of funding Opportunity for additional information.

Appeals:

Not Applicable.

Renewals:

A new application is required for each Federal fiscal year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to the Notice of Funding Opportunity for detailed information. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released. See the following for information on how assistance is awarded/released: Upon receipt of appropriated funding from the Army and approval of apportionment authority from the Office of Management and Budget (OMB), the Notice of Funding Opportunity will be posted on Grants.gov. Subsequent to application approvals, the FEMA Program Office will provide the approved funding to each Region Office. The FEMA Regional Offices will provide an award package to the Recipients. Award packages include an award letter, Standard Form 112-0-7 and Articles of Agreement. The recipient must sign Standard Form 112-0-7 and return it to FEMA before obligation of funds.

Reports:

Recipients are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to the Notice of Funding Opportunity. Recipients are required to submit performance reports for each funded CSEPP National Benchmark 30 days after the end of each quarter. Report dates are: January 30, April 30, July 30, and October 30. The final performance report is due 90 days after the close of the Cooperative Agreement. Expenditure reports are created in CSEPPWebCA. Expenditure reports will be monitored to identify any funding that may not be used and could be available to reallocate either for CSEPP requirements within the State or for return to FEMA. Refer to the Notice of Funding Opportunity for detailed information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are required to submit an organization-wide financial and compliance audit report. The audit must be performed in accordance with the General Accounting Office (GAO) Government Auditing Standards and submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient

submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0711-0-1-999 - 70-16/17-0700, 024-70-17-0700 Description.

Obligations:

(Project Grants) FY 16 \$20,300,000; FY 17 est \$34,674,089; and FY 18 est \$40,786,923

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Preparedness capabilities in the Colorado and Kentucky communities were maintained and further enhanced as measured by the twelve programmatic benchmarks. A full-scale exercise was conducted at each site to evaluate community response capabilities. Primary and backup alert and notification and emergency communication systems were enhanced. Jurisdictions reviewed and updated response and recovery plans. Colorado conducted a series of recovery workshops, culminating in a tabletop exercise. Training was provided across all emergency management disciplines, including NIMS. Fiscal Year 2017: Colorado and Kentucky communities maintained and further enhanced preparedness capabilities as measured by the twelve programmatic benchmarks. FEMA and Army will conduct a full-scale exercise at each site to evaluate community response capabilities and coordinated hazard-specific plans. Colorado revised and issued an updated CSEPP Recovery Plan based on the results of the previous years exercise. Both States enhanced alert and notification approaches through adoption of the Integrated Public Alert and Warning System. Both states initiated public outreach and education campaigns and conducted training across emergency management disciplines. Kentucky will test actions outlined in their revised recovery sampling and analysis plan addressing post-accident coordination. Fiscal Year 2018: Colorado and Kentucky communities will maintain and further enhance preparedness capabilities as measured by the twelve programmatic benchmarks, which include a full-scale exercise at each site, upgraded and expanded emergency communication and alerting systems, training across emergency management disciplines, and coordinated hazard-specific planning.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR 13 and 14; Chemical Stockpile Emergency Preparedness Program Planning Guidance and CSEPP Policy Papers. All available, relevant guidance is routinely provided to all eligible State participants. State and local organizations desiring information about this program should contact their State Emergency Management Agencies or Departments.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for FEMA Regional Offices. State and local government officials and the public are encouraged to contact their State and Local Emergency Management Agencies or Departments.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency, National Preparedness Directorate, Technological Hazards Division, Chemical Stockpile Emergency Preparedness Program 400 C St, SW, Washington, District of Columbia 20472-3025 Phone: (202) 212-7961

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.041 NATIONAL DAM SAFETY PROGRAM

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

National Dam Safety Program Act Pub. L. 92367, 8, as added Pub. L. 104303, title II, 215(c)(8), Oct. 12, 1996, 110 Stat. 3688 ; amended Pub. L. 107310, 3(a)(e)(1), (f), (g), Dec. 2, 2002, 116 Stat. 2450 , 2451; Pub. L. 109460, 1(c), Dec. 22, 2006, 120 Stat. 3401 ; Pub. L. 113121, title III, 3001(a)(1), (c), June 10, 2014, 128 Stat. 1282.), 33 U.S.C 467f.

OBJECTIVES:

The National Dam Safety Program improves the safety of dams in the United States and strives achieve the key objective of national dam safety hazard reduction. The National Dam Safety Program implements the Department of Homeland Security and PPD-8 objective of hazard mitigation, to develop and maintain those capabilities necessary to reduce the loss of lives and property by lessening the impact of the failure of dams. This is accomplished by supporting State and U.S. territory governments in the development and maintenance of dam safety programs, and enabling States and U.S. territories to take precautions that ensure the safety of the dams, such as the development of regulatory authority for the design, construction, operation, and maintenance of dams, the undertaking of dam inspections, and development of Emergency Action Plans (EAPs) for dams.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

For costs associated with the establishment and maintenance of effective State dam safety programs. Restrictions: Funds may only be used for the purposes set forth in the grant and must be consistent with the statutory authority for the award. Funds may not be used for matching funds for other Federal grants or cooperative agreements. Funds may not be used for lobbying, or intervention in federal regulatory or adjudicatory proceedings. Funds awarded under this grant may not be used to construct or repair any Federal or non-Federal dam. In addition, funds may not be used to sue the Federal government or any other government entity. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. Federal funds may not be comingled with State funds. Pre-award costs are allowable only with the written consent of DHS and such costs must be reflected in the award agreement. For complete information on Uses and Restrictions, refer to program guidance. Eligible applicants are States, as defined by the National Dam Safety Program Act. This includes the 50 States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any other territory or possession of the United States that has a legislated dam safety program. Eligible states and territories must be working toward and/or developing a State dam safety program that is 1) authorized and budgeted by State or territory legislation and 2) includes, at a minimum, the 10 specified criteria and budgeting requirements outlined in the program guidance. For complete information regarding applicant eligibility and the 10 specified criteria and budgeting requirements refer to program guidance. The grant is intended to encourage dam safety through assisting States to develop and establish effective dam safety programs. Funding provided is for approved State Dam Safety Program activities.

Applicant Eligibility:

All States and U.S. territories with a legislated and approved dam safety program are eligible for the National Dam Safety Program Assistance.

Beneficiary Eligibility:

The State Dam Safety Program Office of eligible States.

Credentials/Documentation:

Eligible applicants must be working toward and/or developing a dam safety program that 1) is authorized and budgeted by State legislation and 2) includes, at a minimum, the 10 specified criteria and budgeting requirements outlined in the program guidance. For complete information, refer to program guidance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

FEMA makes the award to the State Dam Safety Program Office. Applications or plans are reviewed by DHS/FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Not Applicable.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory Formula: Title National Dam Safety Program Act, Public Law 92-367. Pub. L. 92367, 8, as added Pub. L. 104303, title II, 215(c)(8), Oct. 12, 1996, 110 Stat. 3688; amended Pub. L. 107310, 3(a)(e)(1), (f), (g), Dec. 2, 2002, 116 Stat. 2450, 2451; Pub. L. 109460, 1(c), Dec. 22, 2006, 120 Stat. 3401; Pub. L. 113121, title III, 3001(a)(1), (c), June 10, 2014, 128 Stat. 1282.)

This program has no matching requirements. Refer to program guidance.

This program has MOE requirements, see funding agency for further details. Assistance may not be provided to a State under this subsection for a fiscal year unless the State enters into such agreement with the Director as the Director requires to ensure that the State will maintain the aggregate expenditures of the State from all other sources for programs to ensure dam safety for the protection of human life and property at or above a level equal to the average annual level of such expenditures for the two (2) fiscal years preceding the fiscal year. National Dam Safety Act, 33 U.S.C. 467f (e)(4).

Length and Time Phasing of Assistance:

Refer to National Dam Safety Program Grant Funding Opportunity Announcement. See the following for information on how assistance is awarded/released: Refer to National Dam Safety Program Grant Funding Opportunity Announcement.

Reports:

Program Specific Narrative and Work Plan

Reporting requirements must be met throughout the life of the grant (refer to the program guidance and the special conditions found in the award package for a full explanation of these requirements). Any reports or documents prepared as a result of this grant shall be in compliance with Federal plain English policies, directives, etc. Please note that PARS contains edits that will prevent access to funds if reporting requirements are not met on a timely basis.

Federal Financial Report (FFR) required quarterly. Quarterly progress reports are required to monitor progress. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425), which replaced the SF-269 and SF-272, which is due within thirty (30) days of the end of each calendar quarter (e.g., for the quarter ending March 31, the FFR is due no later than April 30). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant

activity occurs. Future awards and fund drawdowns may be withheld if these reports are delinquent. The final FFR is due ninety (90) days after the end date of the performance period.

FFRs must be filed according to the process and schedule below:

FFRs must be filed manually to the GPD or Regional Point of Contact listed in Part VII below:

Reporting periods and due dates:

October 1 December 31; Due January 30

January 1 March 31; Due April 30

April 1 June 30; Due July 30

July 1 September 30; Due October 30

Progress Reports.

The Recipient shall submit quarterly performance reports within 30 days after the end of each period. Reports are due on, January 30, April 30 and July 30, October 30.

The report shall consist of a comparison of actual accomplishments to the approved project objectives and performance measures. Copies of Performance Reports must be submitted through the ND Grants system and the Program Officer listed in Part VII of the FY2012 grant guidance language.

Final Report

The recipient shall submit two error free color laser copies of a report to the Grants Management Specialist and the Program Officer listed in section VII within thirty (30) days after the expiration of the grant. The final report is due ninety (90) days after the end of the performance period. This report shall document and summarize the results of the entire project. The report shall provide a qualitative assessment of the impact this effort had related to emergency responder roadway operational safety public education and outreach, as well as documentation of met performance measures. The final report must be submitted in both Print and Web Publishing. Refer to program guidance. The Recipient shall submit quarterly performance reports within 30 days after the end of each period. Reports are due on, January 30, April 30 and July 30, October 30.

The report shall consist of a comparison of actual accomplishments to the approved project objectives and performance measures. Copies of Performance Reports must be submitted through the ND Grants system and the Program Officer listed in Part VII of the FY2012 grant guidance language. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of three (3) years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three (3) year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0711-0-1-453.

Obligations:

(Project Grants) FY 16 \$7,500,000; FY 17 est \$6,800,000; and FY 18 est

\$7,000,000

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0711.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 2% increase in the national total of High Hazard Potential dams with emergency action plans

1% increase in the national total of High Hazard Potential dams receiving inspections. Fiscal Year 2017: Expect a 3% increase (over the FY 2016 results) in the national total of High Hazard Potential dams with emergency action plans.

Expect a 3% increase (over the FY 2016 results) in the national total of High Hazard Potential dams receiving inspections. Fiscal Year 2018: 3% increase in the national total of High Hazard Potential dams with emergency action plans

3% increase in the national total of High Hazard Potential dams receiving inspections.

REGULATIONS, GUIDELINES, AND LITERATURE:

FEMA Performance Office of Partnership Agreement and Cooperative Agreement guidance, Office of Financial Management Modular Instruction, FEMA's National Dam Safety Program Implementation Plan (September 97).

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

James E. Demby, Jr. PE 500 C St. SW, 4th Floor, Room 427, Washington, District of Columbia 20472 Email: james.demby@fema.dhs.gov Phone: 202-646-3435

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.023 Community Assistance Program State Support Services Element (CAP-SSSE)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.042 EMERGENCY MANAGEMENT PERFORMANCE GRANTS

EMPG

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

The Department of Homeland Security Appropriations Act, 2017, Public Law 115-31; Post-Katrina Emergency Management Reform Act of 2006 (PKEMRA), Public Law 109-295, 6 U.S.C 762; Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701; National Flood Insurance Act of 1968, Public Law 90-448, 42 U.S.C 4001; Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288, 42 U.S.C 5121.

OBJECTIVES:

EMPG supports a comprehensive, all-hazards emergency preparedness system by building and sustaining the core capabilities contained in the Goal. EMPG funding is used to support a whole community approach to strengthen a states or communitys emergency management program. Examples of EMPG funded activities include:

Conducting risk assessments, assessing capabilities, identifying preparedness needs, and updating emergency plans;

Designing and conducting exercises that engage the whole community of stakeholders to validate core capabilities;

Conducting emergency management training; and

Providing funding support for emergency management personnel.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

DHS grant funds may only be used for the purpose set forth in the grant, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any other government entity. Pre-award costs are allowable only with the written consent of DHS and if they are included in the award agreement. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. EMPG Program grantees may only fund projects that were included in the FY 2017 Work Plan that was submitted to and approved by a FEMA Program Analyst. For more information, please see the FY2017 EMPG Program Notice of Funding Opportunity (NOFO). DHS grant funds may only be used for the purpose set forth in the grant, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any other government entity. Pre-award costs are allowable only with the written consent of DHS and if they are included in the award agreement. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. EMPG Program grantees may only fund projects that were included in the FY 2017 Work Plan that was submitted to and approved by a FEMA Program Analyst. For more information, please see the FY2017 EMPG Program Notice of Funding Opportunity (NOFO).

Applicant Eligibility:

All 56 States and territories, as well as the Republic of the Marshall Islands and the Federated States of Micronesia, are eligible to apply for FY 2017 EMPG Program funds. Either the SAA or the States EMA are eligible to apply directly to FEMA for EMPG Program funds on behalf of State and local emergency management agencies, however only one application will be accepted from each State or territory.

Beneficiary Eligibility:

Funding under this program is ultimately used by emergency management organizations and programs of States, the District of Columbia, territories and possessions of the United States, local, and Indian Tribal governments.

Credentials/Documentation:

All EMPG Program applicants are encouraged to use the template to submit a required Work Plan that outlines the States emergency management sustainment and enhancement efforts, including new and ongoing projects, proposed for the FY 2017 EMPG Program period of performance. The Work Plan consists of a Program and Budget Narrative, Personnel Data Table, Training Data Table, Exercise Data Table, and Grant Activities Outline. FEMA regional offices will work closely with States to monitor Work Plans during the performance period. In addition, FEMA regional offices must approve final Work Plans before States may draw down EMPG Program funds. Grant funds will be released on a rolling basis upon approval of the States final Work Plan. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the FY2017 EMPG Program Notice of Funding Opportunity (NOFO). Applying for FY 2017 EMPG Program funds requires a two-step process. Step 1: initial submission to determine eligibility and Step 2: full application. Applicants are encouraged to initiate Step 1 immediately after the NOFO is published but no later than June 2017. This involves submitting a complete Standard Form 424 to grants.gov Successful completion of this step is necessary for FEMA to determine eligibility of the applicant. Late submissions of Step 1 to grants.gov could result in applicants missing the application deadline in Step 2. Once FEMA has determined an applicant to be eligible, applicants can proceed to Step 2 which involves submitting the full application package via the Non Disaster (ND) Grants system. The submission deadline for the full application package is June 22, 2017.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to award being issued.

Deadlines:

Jun 02, 2017 to Jun 22, 2017 Application Start Date: 06/02/2017
Application Submission Deadline Date: 06/22/2017 at 05:00 PM EDT
Anticipated Funding Selection Date:
On-going form 06/2/2017 to 09/01/2017
Anticipated Award Date: 09/30/2017.

Range of Approval/Disapproval Time:

Refer to FY 2017 EMPG Program Notice of Funding Opportunity (NOFO).

Appeals:

Other - Not Specified.

Renewals:

A new application is required for each Federal fiscal year.

Formula and Matching Requirements:

Statutory Formula: Title Consolidated Security, Disaster Assistance, and Continuing Appropriations Act of 2009, Public Law 110-329.

Matching Requirements: In FY 2017, the Federal share of the cost of an activity carried out using funds made available under the program shall not exceed 50 percent of the total budget. State cost match (cash or in-kind) requirement, as authorized by the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Public Law 93-288), as amended, 42 U.S.C. 5121-5207, specifically, Title VI, sections 611(j) and 613. Unless otherwise authorized by law, Federal funds cannot be matched with other Federal funds. FEMA administers cost matching requirements in accordance with 44 CFR 13.24 which is located at http://www.access.gpo.gov/nara/cfr/waisidx_07/44cfrv1_07.html 2 CFR 215.23 which is located at http://www.access.gpo.gov/nara/cfr/waisidx_07/2cfrv1_07.html. To meet matching requirements, the grantee contributions must be reasonable, allowable, allocable, and necessary under the grant program and must comply with all Federal requirements and regulations. In accordance with 48 U.S.C. 1469a, match requirements are waived for the insular areas: the U.S. territories of American Samoa, Guam, the U.S. Virgin Islands, the Trust Territory of the Pacific Island, and the Commonwealth of the Northern Mariana Islands. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Period of Performance is 24 months. For more information, refer to the FY 2017 EMPG Program Notice of Funding Opportunity (NOFO). Awards are subject to the Cash Management Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on project need.

Reports:

In addition to the Quarterly Performance Progress Reports, recipients are responsible for completing and submitting a BSIR biannually. The BSIR is due within 30 days of the end of the reporting period. The summer BSIR is due July 30 for the January 1 through June 30 reporting period, and the winter BSIR is due on January 30 for the July 1 through December 31 reporting period. Updated obligations and expenditure information must be provided within the

BSIR to show progress made toward meeting strategic goals and objectives as well as how expenditures support Planning, Organization, Equipment, Training and Exercise (POETE) activities. Accordingly, reports should include project-level details by subrecipient to explain how expenditures support gaps identified in the recipients THIRA and SPR. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS.

Grant Close-Out Process. Within 90 days after the end of the period of performance, or after a Grant Adjustment Notice (GAN) has been issued to close out a grant, whichever comes first, grantees must submit a final FFR and final progress report detailing all accomplishments throughout the period of performance. After these reports have been reviewed and approved by FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR. The grantee is responsible for returning any funds that have been drawn down but remain as unliquidated on grantee financial records. For more information, please see the FY2016 EMPG Program Notice of Funding Opportunity (NOFO). Recipients are responsible for providing updated performance reports to FEMA using a Microsoft Word (Word) document summary attached in ND Grants, and this must be done on a quarterly basis. As explained in the Standardized Programmatic Reporting section below, the Quarterly Performance Progress Reports must be based on the approved EMPG Work Plan and are due no later than 30 days after the end of the quarter (i.e., on January 30, April 30, July 30, and October 30). Recipients must complete the summary of activities and submit it as an attachment to the ND Grants system.

Recipients are required to report quarterly on progress toward completing activities and projects approved in their EMPG Work Plans, how expenditures support maintenance and sustainment of core capabilities, and progress made toward implementing the National Preparedness System. This includes reporting on the following information:

List of completed training courses and exercises and the Training and Exercise Data Table (templates are available in Appendix C FY 2017 EMPG Work Plan).

Percent completion of the Training and Exercise Plan (TEP) outlined in the FY 2017 EMPG Work Plan (templates are available in Appendix C FY 2017 EMPG Work Plan). Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS. Grant Close-Out Process. Within 90 days after the end of the period of performance, or after a Grant Adjustment Notice (GAN) has been issued to close out a grant, whichever comes first, grantees must submit a final FFR and final progress report detailing all accomplishments throughout the period of performance. After these reports have been reviewed and approved by FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR. The grantee is responsible for returning any funds that have been drawn down but remain as unliquidated on grantee financial records. For more information, please see the FY2017 EMPG Program Notice of Funding Opportunity (NOFO). EMPG recipients will be monitored programmatically and financially biannually and as needed by DHS/FEMA staff to ensure that activities, project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met.

Monitoring may be accomplished through either a desk-based review, onsite monitoring visits, or both. Monitoring will involve the review and analysis of

the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions, and other support that may be needed.

As part of its grant oversight responsibility, DHS/FEMA is conducting a series of grant effectiveness case studies jointly with grant recipients to highlight how states and urban areas have used Federal grants to improve preparedness. The purpose of the project is to better understand the factors that jurisdictions consider when determining which grant projects to fund, as well as document key findings and success stories that will help both grant recipients and DHS/FEMA more effectively communicate the importance of Federal grant programs to policymakers and the public. Although not mandatory, grantees are encouraged to participate given the mutual benefits to be gained from this collaborative effort.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0519-0-1-999.

Obligations:

(Formula Grants) FY 16 \$350,100,100; FY 17 est \$350,100,000; and FY 18 est \$350,100,000

Range and Average of Financial Assistance:

For more information, refer to the FY2017 EMPG Program Notice of Funding Opportunity (NOFO).

TAFS Codes:

70-0718.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, DHS provided \$350,100,000 to enhance the ability of state, local, tribal, and territorial governments to prevent, protect against, mitigate, respond to and recover from potential terrorist acts and other hazards. Fiscal Year 2017: The FY 2017 EMPG will provide Federal funds to assist state, local, tribal, and territorial emergency management agencies to obtain the resources required to support implementation of the National Preparedness System and the National Preparedness Goal (the Goal) of a secure and resilient Nation. Among the five basic homeland security missions noted in the DHS Quadrennial Homeland Security Review, EMPG supports the goal to Strengthen National Preparedness and Resilience. Fiscal Year 2018: It is expected that funds will be allocated and appropriated to this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

FY2017 Emergency Management Performance Grants Funding Opportunity Announcement (EMPG Program Notice of Funding Opportunity (NOFO)).

Regional or Local Office:

See Regional Agency Offices. FEMA Regions may also provide fiscal support, including pre- and post-award administration and technical assistance, to the grant programs included in this solicitation. For a list of contacts, please go to <http://www.fema.gov/about/contact/regions.shtml>.

Headquarters Office:

Grant Programs Directorate DHS/FEMA

400 C Street SW, Washington, District of Columbia 20472-3615 Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov/government/grant/index.shtml>

RELATED PROGRAMS:

97.008 Urban Areas Security Initiative ; 97.067 Homeland Security Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All Work Plans will require final approval by the corresponding FEMA Region. Grantees who have not submitted their Work Plan as part of their application will not be issued an award until such Work Plan is received, reviewed, and approved by the FEMA Region. Grantees will be notified by their FEMA Regional Program Analyst should any component of the EMPG Program application require additional information. For more information, refer to the FY2017 EMPG Program Notice of Funding Opportunity (NOFO).

97.043 STATE FIRE TRAINING SYSTEMS GRANTS

National Fire Academy State Fire Training Grants

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Section 7(f) of the Federal Fire Prevention and Control Act of 1974 (Pub. L. No. 93-498), as amended, (15 U.S.C. 2206(f)), Public Law 93-498, 15 U.S.C. 2206(f); Homeland Security Act of 2002, Public Law 107-296; U.S.A. Patriot Act of 2001, Public Law 107-56; Federal Fire Prevention and Control Act of 1974, Title 21, Public Law 93-498.

OBJECTIVES:

The NFA promotes the professional development of the fire and the emergency response community and its allied professionals. NFA supports State and local training organizations to fulfill their obligation to the career and volunteer fire and emergency services. NFA also develops, delivers, and manages educational and training programs having a national focus that are outside State and local training missions or that exceed State and local capabilities because of cost or audience. The programs are designed to support PPD-8 through training programs which support State and local response agencies to prevent, mitigate, prepare for, and respond to local, regional, and national emergencies. NFA training helps to strengthen the States abilities to meet individual training mandates and bridge the gaps in their training programs with delivery of NFA courses and programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds are to be used within each State to deliver NFA training courses/programs and for marketing, administrative costs, and electronic feedback of student data. Each State Fire Training System (State) will receive a total of \$20,000 with \$15,000 earmarked for delivery of NFA training programs and \$5,000 for marketing, administrative costs, and electronic feedback of student data.

DHS grant funds may only be used for the purpose set forth in the grant, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other federal grants / cooperative agreements, or for lobbying or intervention in federal regulatory or adjudicatory proceedings. In addition, federal funds may not be used to sue the federal government or any other government entity. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. States must use funds for the purpose of delivering NFA-developed courses. Pre-award costs are allowable only with the written consent of DHS and such costs must be reflected in the award agreement. See program guidance for information on eligibility. Applicant eligibility is restricted to the 50 State Fire

Training Systems.

Applicant Eligibility:

Representatives from the 50 State Fire Training Systems.

Beneficiary Eligibility:

Specialized Group; fire and emergency response personnel.

Credentials/Documentation:

Credentials: Applicants must provide a work plan that includes a narrative project description along with the proposed costs for the project. (Allowable costs are determined by reference to OMB Circular No. A-87). The work plan will be used as a guide by the program office to approve / disapprove payment requests, therefore, the plan must be specific and must align with the amount of funding requested / received for the project description.

Documentation: All beneficiaries / students must submit the standard FEMA Form 75-5 (long form) to the State or sponsoring host organization prior to the start of the class. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. FEMA participates in the Administrations e-government initiative. As part of that initiative, all applicants must file their application using the Federal electronic storefront portal Grants.gov. Eligible applicants must apply through this portal, accessible on the Internet at <http://www.grants.gov>. Only applications made through www.grants.gov will be accepted. The on-line application requires (among other documentation), the Standard Forms 424, Project Narrative, and Budget. For complete information on all required forms, attachments, submission instructions / requirements, deadlines, and other procedural information, refer to program guidance.

Award Procedure:

The application will be reviewed and recommended for funding by the DHS/FEMA/USFA/NFA Project Officer based on the program criteria identified in the announcement / guidance. Notification of award is made through the electronic Non Disaster Grant System (NDGrants). Once an award has been approved, a notice is sent to the authorized grantee official. Awards are subject to certain administrative, national policy, and cost principle requirements delineated in both 2 CFR and 44 CFR. For complete information on the award procedure, and CFR (and other) requirements, refer to program guidance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Quarterly progress reports. Quarterly Financial Status Reports Audits (112): In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0564-0-1-800.

Obligations:

(Project Grants) FY 16 \$960,000; FY 17 est \$1,000,000; and FY 18 Estimate Not Available(Exp: N/A)

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0564.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Delivered National Fire Academy 2/6 day off campus courses, State sponsored courses, Enfranchisement Program courses, and the Chief Officer training curriculum in State and local areas of the Nation to an 33,977 students. Fiscal Year 2017: Anticipated to deliver National Fire Academy 2/6 day off campus courses, State sponsored courses, Enfranchisement Program courses, and the Chief Officer training curriculum in State and local areas of the Nation to an estimated 35,000 students. Fiscal Year 2018: Anticipated to deliver National Fire Academy 2/6 day off campus courses, State sponsored courses, Enfranchisement Program courses, and the Chief Officer training curriculum in State and local areas of the Nation to an estimated 35,000 students.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. FEMA regional offices.

Headquarters Office:

Diane Close, Project Officer 16825 South Seton Avenue, Emmitsburg, Maryland 21727 Phone: (301) 447-1376

Website Address:

<http://www.usfa.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to program guidance.

97.044 ASSISTANCE TO FIREFIGHTERS GRANT

(Fire Grants)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

The Federal Fire Prevention and Control Act of 1974, Public Law 112-239, 15 U.S.C 2229 et seq.

OBJECTIVES:

The purpose of the AFG Program is to enhance the safety of the public and firefighters with respect to fire and fire-related hazards by providing direct financial assistance to eligible fire departments, nonaffiliated EMS organizations, and State Fire Training Academies (SFTA) for critically needed resources to equip and train emergency personnel to recognized standards, enhance operational efficiencies, foster interoperability, and support community resilience.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

AFG grants are both competitive and discretionary and are direct financial assistance awards to support firefighting and EMS operations and safety, vehicle acquisition and regional projects. Specific activities funded include professional training, wellness and fitness programs, equipment, personal protective equipment, modifications to facilities for first responder safety, other equipment and supplies that support firefighting and EMS operations and safety; firefighting vehicles, and emergency vehicles. Grants also are awarded for the Fire Prevention and Safety program are awarded to support activities to protect the public from fire and fire-Related hazards. Specific activities funded include general public education and awareness; code enforcement and awareness; fire and arson investigation; national, state, and regional programs and studies; and firefighter safety and research and development. Grants for the Staffing for Adequate Fire and Emergency Response (SAFER) grant program are awarded to help fire departments and volunteer firefighter interest organizations to increase the number of frontline firefighters in their community and to enhance the capabilities of local fire departments to comply with staffing, response, and operational standards established by the NFPA and OSHA (NFPA 1710 and/or NFPA 1720 and OSHA 1910.134). Yes. Grantees must adhere to the restrictions stated in the Funding Opportunity Announcement (FOA) and application kit for the program and fiscal year in which they receive the award. Furthermore, as a condition of receiving an award, grantees also must agree to adhere to the award articles of agreement that require grantees to use the funds for the purpose stated in the awarded grant applications, and to meet the special conditions specified in the articles of agreement.

Applicant Eligibility:

Eligible applicants for AFG are limited to fire departments, nonaffiliated EMS organizations, and State Fire Training Academies. These organizations operating in any of the 50 States plus the District of Columbia, the Commonwealth of the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa, and Puerto Rico are eligible for funding. A "fire department" is defined as an agency or organization that has a formally recognized arrangement with a State, territory, local, or tribal authority (city, county, parish, fire district, township, town, or other governing body) to provide fire suppression to a population within a fixed geographical area on a first-due basis. A "nonaffiliated EMS organization" is defined as a public or private nonprofit emergency medical services organization that provides direct emergency medical services, including medical transport, to a specific geographic area on a first-due basis but is not affiliated with a hospital and does not serve a geographic area where emergency medical services are adequately provided by a fire department. A State Fire Training Academy is defined as the primary state fire training academy, agency, or institution, for each state;

which provides entity-wide delivery of fire training (and EMS training if applicable) as specified by legislative authorization, by general statutory authorization or charter, or is ad-hoc in nature with the general acceptance of the fire service. A listing of eligible State Fire Training Academy organizations and institutions can be found at: <http://www.usfa.fema.gov/fireservice/>.

Beneficiary Eligibility:

The ultimate beneficiaries of this program are the local or tribal communities serviced by the applicants, including, but not limited to, local businesses, homeowners and property owners. Additionally, children under 16 years of age, senior citizens, and firefighters would be the beneficiaries since these groups are the targeted "risk groups" for the fire prevention program.

Credentials/Documentation:

Each applicant must certify: 1) that they are an eligible applicant, as defined above; 2) as to the characteristics of their community, i.e., urban, suburban, or rural; 3) to the population size of the community served; and, 4) to their department type, i.e., volunteer, combination, or career (where applicable). Eligible applicants for the AFG program are limited to fire departments, nonaffiliated EMS organizations, and State fire training academies operating in any of the 50 States plus the District of Columbia, the Commonwealth of the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa, and Puerto Rico. A "fire department" is defined as an agency or organization that has a formally recognized arrangement with a State, territory, local, or tribal authority (city, county, parish, fire district, township, town, or other governing body) to provide fire suppression to a population within a fixed geographical area on a first-due basis. A "nonaffiliated EMS organization" is defined as a public or private nonprofit emergency medical services organization that provides direct emergency medical services, including medical transport, to a specific geographic area on a first-due basis but is not affiliated with a hospital and does not serve a geographic area where emergency medical services are adequately provided by a fire department. A State Fire Training Academy is defined as the primary state fire training academy, agency, or institution, for each state; which provides entity-wide delivery of fire training (and EMS training if applicable) as specified by legislative authorization, by general statutory authorization or charter, or is ad-hoc in nature with the general acceptance of the fire service. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

There is no preapplication form required under this grant program. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application deadline and other relevant information are contained in the application and in the program-specific guidance and application kits.

Award Procedure:

Submitted applications are reviewed by peer review panels and then by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to the program guidance and application kit document.

Appeals:

Applicants whose grant requests are not recommended for funding after the peer review panel evaluation may request a reconsideration of that decision. Such a request may be successful if it is determined that the rating criteria were not appropriately applied, or there was a flaw in the competitive process that unfairly disadvantaged the applicant, or there was human error in the processing of the grant application. Requests for reconsideration must be submitted in writing within 30 days of the date of the notification of denial.

Renewals:

The AFG award period of performance is 1 year. Grantees may request an extension if they are unable to fulfill their grant obligations before the end of the 1-year period. Such requests will be considered and approved based on the written justification.

Formula and Matching Requirements:

Statutory Formula: 44 CFR 152.

Matching Requirements: Applicants who protect a population of over 1,000,000 are required to provide a nonfederal cost-share of not less than 15 percent of the total award. Applicants who protect a population between 20,000 and 1,000,000 are required to provide a nonfederal cost-share of not less than 10 percent of the total award. Applicants who protect a population of less than 20,000 are required to provide a nonfederal cost-share of not less than 5 percent of the total award. No waivers of this requirement will be granted except for fire departments of Insular Areas as provided for in 48 U.S.C. 1469a.

This program has MOE requirements, see funding agency for further details. This program also has a Maintenance of Effort (MOE) in that grantees are required to maintain operating expenditures during the grants period of performance in the areas funded by a grant at a level equal to or greater than the average of their operating expenditures in the 2 years preceding the year in which the grant is received. This requirement is intended to ensure that the Federal funds are used to supplement, not supplant, existing resources. In addition, this program will allocate more than \$100 million in grants during the current fiscal year.

Length and Time Phasing of Assistance:

Grants are available during the period of performance, which is 1 year. Refer to the FOA for specific details. See the following for information on how assistance is awarded/released: Electronic funds transfer.

Reports:

Grantees are required to submit semiannual financial and performance reports. The "Progress Reports" must include a narrative statement that details the progress of each award. Reports are due 30 days after the end of the sixth month of the performance period. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grantees are required to maintain and retain backup documentation such as bids, quotes, and cost/price analyses on file for Federal auditors. The required documentation for federally funded purchases should include specifications, solicitations, purchase orders, invoices, cancelled checks, copies of competitive quotes or proposals, and basis for selection.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0561-0-1-999.

Obligations:

(Project Grants) FY 16 \$345,000,000; FY 17 est \$310,500,000; and FY 18 est \$310,500,000

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0561.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: It is expected that the AFG Program will award grants directly to fire departments, nonaffiliated EMS organizations, and state fire training academies for critically needed resources to protect the public, to train emergency personnel, and to foster interoperability and support community resilience. Fiscal Year 2017: It is expected that the AFG Program will award grants directly to fire departments, nonaffiliated EMS organizations, and state fire training academies for critically needed resources to protect the public, to train emergency personnel, and to foster interoperability and support community resilience. Fiscal Year 2018: It is expected that funds will be appropriated and allocated to this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Federal Fire Prevention and Control Act of 1974, 15 U.S.C. 2229 et seq. In addition, the program guidance and application kit, the FAQs, an applicant tutorial, and other program information can be found on the program's website at www.fema.gov/firegrants.

Regional or Local Office:

See Regional Agency Offices. The Assistance to Firefighters Grants are administered by FEMA. FEMA has 10 regional offices which employ Fire Program Specialists who may be contacted for information on the program.

Headquarters Office:

DHS/FEMA/Grant Programs Directorate, Assistance to Firefighters Grant Program 400 C Street SW, 3N, Washington, District of Columbia 20472-3635
Email: firegrants@fema.dhs.gov Phone: (866) 274-0960

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

15.031 Indian Community Fire Protection; 15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance; 97.018 National Fire Academy Training Assistance; 97.043 State Fire Training Systems Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

44 CFR 152. Refer to the current fiscal year AFG guidance and application kit for specific eligibility and award criteria.

97.045 COOPERATING TECHNICAL PARTNERS

(CTP)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

National Flood Insurance Reform Act of 1994 ; Flood Disaster Protection Act of 1973 ; Flood Insurance Act of 1968 , 42 U.S.C 4101; Biggert-Waters Flood Insurance Reform Act of 2012.

OBJECTIVES:

The Cooperating Technical Partners (CTP) Program exists to strengthen and increase the effectiveness of the National Flood Insurance Program (NFIP) through fostering strong Federal, State, Tribal, regional and local partnerships to reduce flood losses and promote community resiliency. The CTP Program supports Strengthening National Preparedness and Resilience, one of five basic missions of the DHS2014 Quadrennial Homeland Security Review. Additionally, the program supports the National Mitigation Framework within the Presidential Policy Directive (PPD-8). The objectives of the CTP Program primarily support the mission and objectives of the NFIPs Flood Hazard Mapping Program through FEMA's flood hazard identification and assessment programs including the Risk MAP (Mapping, Assessment, and Planning) initiative. The vision for Risk MAP is to deliver quality data that increases public awareness of flood hazard risk and leads to action that reduces flood risk to life and property. Risk MAP develops flood hazard data and maps for communities that have never had identified risks

as well as building on effective flood hazard data and flood insurance rate maps. FEMA collaborates with a variety of stakeholders to achieve the following goals under Risk MAP:

Flood Hazard Data- Address gaps in flood hazard data to form a solid foundation for risk assessment, floodplain management, and actuarial soundness of the NFIP.

Public Awareness/Outreach- Ensure that a measurable increase of the public awareness and understanding of risk results in a measurable reduction of current and future vulnerability.

Hazard Mitigation Planning- Lead and support States, local, and Tribal communities to effectively engage in risk-based mitigation planning resulting in sustainable actions that reduce or eliminate risks to life and property from natural hazards.

Enhanced Digital Platform- Provide an enhanced digital platform that improves management of Risk MAP, steward of information produced by Risk MAP, and improves communication and sharing of risk data and related products to all levels of government and the public.

Alignment and Synergies- Align Risk Analysis programs and develop synergies to enhance decision-making capabilities through effective risk communication and management.

Information about Risk MAP (including goals, strategies, progress and success stories), can be found on FEMA's website at <http://www.fema.gov/risk-mapping-assessment-planning>.

FEMA through the CTP Program seeks to build upon and enhance the existing capabilities of CTPs to increase local involvement in, and ownership of flood hazard identification, flood map maintenance, risk assessment, and risk communication to encourage responsible floodplain management and support their jurisdictional responsibilities as participating members of the NFIP. They assist in the development and maintenance of flood risk data and Flood Insurance Rate Maps (FIRMs) and other risk related products, as well as other assistance in advancing their local and FEMA's goals and objectives of Risk MAP and the NFIP program. Partnerships developed through FEMA's CTP Program are in the best interest of State and local communities, the NFIP and the general public. FEMA is responsible for establishing and updating minimum Federal criteria and holds the final decision making responsibility for issuing the FIRMs and any revisions/updates, however, there are several mutually beneficial reasons for creating these partnerships to help produce risk identification and assessment data, and other products in support of the NFIP.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance may be used for costs associated with Community Engagement and Risk Communication, Program Management, Technical Risk Analysis and Mapping, Letter of Map Revision (LOMR) Delegation and Special Project activities as delineated in the FY 5 Notice of Funding Opportunity. Allowable costs are determined by reference to the OMB Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Use of funds must be consistent with the statutory authority for the award. Restrictions: The recipient must have in-house staff capabilities in the appropriate technical area for the activity approved in the award. If the work for any portion of a mapping activity is contracted, the recipient of the award must have in-house staff with the technical capability to monitor the contractor(s) and approve the product(s) developed by the contractor(s). Applicants must meet the eligibility criteria outlined in the FY 2015 Notice of Funding Opportunity. This criteria includes (but is not limited to) the requirement that potential partners have existing processes and/or systems in place to support mapping or data collection activities that contribute to flood hazard identification and that potential partners have the demonstrated capability and capacity to implement, or contract, the activities for which funding is being sought. Yes, all funds are discretionary.

Applicant Eligibility:

Only qualified CTPs are eligible for federal assistance awards through this program. Eligible recipients generally include entities who already perform certain functions in flood risk analysis, flood hazard identification, flood risk

communication and mitigation processes in States and local communities to reduce flood losses and protect life and property from the risk of future flood damage. Specifically, eligible CTPs include three main groups: (1) NFIP-participating communities, as defined in 44 CFR 59 (typically this includes State, Tribal and local governments), who are in good standing with the NFIP (i.e., not on probation or suspended); (2) State, local and regional governmental agencies, such as water management districts, river authorities, municipal utility districts, State Universities, etc., whose activities support floodplain management and flood mitigation actions within the NFIP communities they serve; and (3) non-profit organizations whose primary mission is to support the ability of NFIP communities to more effectively understand and manage their flood risk. In accordance with Section 1361 of the National Flood Insurance Act of 1968 (42 U.S.C. 4102), these entities and organizations must have existing facilities and services to carry out studies and investigations with respect to land management and use, flood control, flood zoning and flood damage prevention in flood-prone areas, floodways, building codes and permits, and associated laws, regulations and ordinances. For additional information, see the Eligibility Criteria in the Funding Opportunity Announcement.

Beneficiary Eligibility:

State, local, specialized group, small business, general public.

Credentials/Documentation:

Potential partners/applicants must meet the criteria outlined in the FY2015 Notice of Funding Opportunity, including (but not limited to): evidence that they have sufficient technical capability (including credentials or certifications) and capacity necessary to perform program activities, a signed Partnership Agreement with the appropriate FEMA office (Region or HQ), and meet the eligibility requirements. Applicants must be NFIP participating communities in good standing. Documentation: Allowable costs are determined by reference to OMB Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For complete information on credentials and documentation, please refer to program guidance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

All applicants should consult with the appropriate FEMA awarding office (i.e., Regional office or HQ) for application information and technical assistance. Non-profits should also consult with FEMA HQ for pre-approval, application information and/or technical assistance. Environmental impact information is not required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. FEMA participates in the Administrations e-government initiative. As part of that initiative, all applicants must file their application using the Federal electronic storefront portal [Grants.gov](http://www.grants.gov). Eligible applicants must apply through this portal, accessible on the Internet at <http://www.grants.gov>. For complete information on all required forms, attachments, submission instructions / requirements, deadlines, and other procedural information, please refer to program guidance.

Award Procedure:

Qualified FEMA programmatic staff (regional and/or Headquarters), with sufficient program knowledge and expertise in floodplain mapping, flood risk assessment, flood risk communication and floodplain management, review and select CTP projects for funding. Applications are reviewed to ensure compliance with the eligibility criteria and selected primarily on FEMA's priorities and the availability of FEMA funds (i.e., funding is not guaranteed to new or existing applicants). FEMA considers the following elements when prioritizing funding for and selecting CTPs for awards: program priorities, past performance, technical capability and capacity, and partner contribution. Applications are also reviewed by qualified FEMA grants management staff to ensure compliance with grants management principles, such as administrative requirements and cost principles. Applicants/applications that do not meet

eligibility or submission requirements are removed from consideration. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Successful applications are typically selected and awarded between 60 and 90 days from submission.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant dollars will be awarded in the current fiscal and grants are typically 3-5 years in length. See the following for information on how assistance is awarded/released: Dollars are typically available in quarterly or annual funding periods based on project needs.

Reports:

Performance Reports and Financial Status Reports (FSRs) must be submitted quarterly. These reports are due on January 30, April 30, July 30, and October 30. Performance Reports must include the progress of each sub-grant award. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Reports must be submitted to the FEMA Regional CTP Grant and Program Officers. For complete information regarding report submission requirements, refer to the FY2015 Notice of Funding Opportunity. Refer to the Notice of Funding Opportunity. Refer to the Notice of Funding Opportunity. Refer to the Notice of Funding Opportunity.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-5464-0-2-453.

Obligations:

(Project Grants) FY 16 \$73,921,944; FY 17 est \$66,000,000; and FY 18 est \$50,000,000

Range and Average of Financial Assistance:

Range: \$20,000 to \$2,480,600
Average: approximately \$400,000.

TAFS Codes:

70-5464.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Total Federal Award: approximately \$74 million
Total Applicant Match: approximately \$12 million. Fiscal Year 2017: Total Federal Award: approximately \$64 million
Total Applicant Match: approximately \$2 million. Fiscal Year 2018: Total Federal Award: approximately \$50 million
Total Applicant Match: approximately \$1 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

Annual program guidance is available to all CTP initiative participants and the general public through the funding opportunity on www.grants.gov. Additional information regarding mitigation program goals, priorities and standards is available on <http://www.fema.gov/cooperating-technical-partners-program>.

Regional or Local Office:

See Regional Agency Offices. FEMA Regional Offices will manage all Regional program activities under the CTP Program and HQ will manage all HQ activities. See the program guidance for a listing of FEMA Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA) 245 Murray Lane, Bldg 410, Washington, District of Columbia 20523
Email: askcsid@dhs.gov Phone: (1-800) 368-6498

Website address:

<http://fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

FEMA will consider the following elements when prioritizing funding for and selecting cooperative agreements: program priorities, past performance, technical capability and capacity, and partner contributions as detailed in the FY2015 Notice of Funding Opportunity.

97.046 FIRE MANAGEMENT ASSISTANCE GRANT

(FMAG)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Act, Section 420, Public Law 93-288, 42 U.S.C 5187.

OBJECTIVES:

The Fire Management Assistance Grant Program is authorized by the Stafford Act and amended by the Disaster Mitigation Act of 2000. Grants are provided to States, Indian tribal and local governments for the mitigation, management and control of any fire burning on publicly (non-federal) or privately owned forest or grassland that threatens such destruction as would constitute a major disaster. The funds are primarily used for fire suppression services (response) but also for essential assistance (emergency protective measures) as described in Section 403 (42 U.S.C 5170b) of the Stafford Act. This includes, but is not limited to evacuation and sheltering, police barricading, equipment and supplies, and other costs related to fire suppression operations and administration in support of these operations. Of the 31 core capabilities, this program fits into several including the Public Information and Warning, Infrastructure Systems, Environmental Response/Health and Safety, and Natural and Cultural Resources categories.

TYPES OF ASSISTANCE:

PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Fire Management Assistance Grants are made in the form of cost-sharing grants for the mitigation, management, and control of any fire on publicly (nonfederal) or privately owned forestland or grassland that threatens such destruction as would constitute a major disaster. The Fire Management Assistance Grant

Program, authorized by the Stafford Act and amended by the Disaster Mitigation Act of 2000, provides for the mitigation, management and control of fires that threaten such destruction as would constitute a major disaster. Yes. The restrictions are documented in the FMAG FEMA-State Agreement and 2 CFR part 200.

Applicant Eligibility:

State governments and Indian tribal governments are eligible for fire management assistance grants. The State or Indian tribal government may be the Recipient. The Recipient is the government entity that receives the award and is accountable for the use of funds provided.

Beneficiary Eligibility:

The State Government and/or Indian tribal government, acting as the Recipient is the government to which the grant is awarded and which is accountable for the use of the funds provided. Other State entities, Indian tribal governments and local governments are eligible to apply as subrecipients.

Credentials/Documentation:

A State or Indian Tribal Government must apply by completing an SF 424 (Application for Assistance) and must have applied for and received approval for a Fire Management Assistance Declaration. In addition, the Applicant the must also provide documentation that shows they have met the Fire Cost Thresholds for the calendar year in which the fire was declared, and must have prepared, submitted, and received approval for a State Administrative Plan and a Hazard Mitigation Plan. Costs are determined in accordance with FEMA Regulations and 44 CFR Part 204. Federal funds will be awarded to subrecipients in accordance with State law and procedure and in compliance with 44 CFR Part 204. Grant awards are managed in accordance with 2 CFR part 200. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

A FEMA-State Agreement and/or Indian tribal Agreement for the Fire Management Assistance Grant Program (the agreement) is signed by the Governor/Indian tribal government and the Regional Administrator at the beginning of the calendar year or after a State's first fire management assistance grant declaration in a calendar year. The agreement is amended for subsequent approvals of assistance for the remainder of the year. This agreement contains the terms and conditions for requesting and receiving assistance. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A State Governor, or Governor's Authorized Representative, may request a fire management assistance declaration from the FEMA Regional Administrator. To expedite the request, the State may submit a request via telephone to the Regional Administrator, followed by a confirming message or letter. The FEMA Regional Administrator renders a decision on the State's request and notifies the State and FEMA Headquarters of the approval or denial of the request. This decision may be relayed by telephone, to be followed by a written determination.

Award Procedure:

To receive fire management assistance grant funds a State or Indian Tribal Government must complete and submit an SF 424 (Request for Assistance), and must have applied for and received approval for a Fire Management Assistance Declaration. The Recipient must also provide documentation that shows they have met the Fire Cost Thresholds for the calendar year in which the fire was declared. The Applicant must submit its application within 9 months of the declaration (with a possible 6 month extension). The Regional Administrator has 45 days from receipt the State's grant application or an amendment to the State's grant application, including attached supporting Project Worksheet(s), to review and approve or deny the grant application or amendment; or to notify the recipient of a delay in processing funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 hour to 72 hours.

Appeals:

States may appeal the denial of a fire management assistance declaration and may also appeal any other decision related to the fire management assistance grant program.

To appeal a denied fire management assistance declaration the Governor or GAR may appeal the decision in writing within 30 days after the date of the denied declaration. The State submits the request to the FEMA Administrator through the Regional Administrator. The Administrator will reevaluate the State's request and notify the State of the final determination within 90 days of receipt of the appeal.

An eligible applicant, subrecipient, or recipient may appeal any determination FEMA makes related to an application for the provision of Federal assistance. The applicant or subrecipient makes the appeal in writing through the recipient to the Regional Administrator after reviewing and evaluating all subrecipient appeals. The appeal is submitted to the Regional Administrator and includes documented justification supporting the appellant's position, the monetary figure in dispute and the provisions in Federal law, regulation, or policy with which the appellant believes the initial action was inconsistent.

The Regional Administrator will render a determination on first appeals for fire management assistance grant-related decisions within 90 days following receipt of the appeal or requested additional information. In the event the Regional Administrator denies the appeal, the applicant may submit a second-level appeal to the Deputy Associate Administrator of the Office of Response and Recovery (formerly the Assistant Administrator for the Recovery Directorate) at FEMA Headquarter Office (for second appeals). Within 90 days following receipt of a second appeal, the Deputy Associate Administrator, will notify the grantee in writing of the final decision or of the need for additional information. If the decision is to grant the appeal, the Regional Administrator will take appropriate implementing action. The decision of the Deputy Associate Administrator at the second appeal level is the final decision of FEMA.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Fire Management Assistance Grants may be available to States on a 75 percent Federal/25 percent nonfederal cost-sharing basis when the State's application demonstrates either of the following: total eligible costs for the declared fire meet or exceed the individual fire cost threshold or total costs of all declared and non-declared fires in a given calendar year meet the cumulative fire cost threshold. The individual fire cost threshold for a State for FY 2017 is the greater of \$100,000 or five percent x \$1.43 x state population. The cumulative fire cost threshold for a State FY 2017 is the greater of \$500,000 or three times the five percent x \$1.43 x State population. Both formulas are adjusted annually for inflation using the Consumer Price Index for All Urban Consumers published annually by the Department of Labor. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are two time-related periods. The first is the incident period which is the time interval during which a declared fire occurs. Generally costs must be incurred during the incident period to be eligible for assistance. The incident period varies from a week to more than a month depending on the severity of the declared fire. The second time interval is the Period of Performance. Grant funds are normally available for three years following a fire management assistance grant declaration with time extensions possible. This Period of Performance is stated in the SF-424 Application for Assistance. See the following for information on how assistance is awarded/released: Reimbursement for State grant costs is accomplished via the U.S. Department of Health and Human Services SMARTLINK system in compliance with 2 CFR 200.305 and U.S. Treasury 31 CFR part 205, Cash Management Improvement Act.

Recipients and subrecipients prepare and submit Project Worksheets that document costs associated with fire suppression activities and costs associated. Cost eligibility information is provided in 44 CFR part 204 and the Fire Management Assistance Grant Program Guide.

Reports:

Within 90 days of the Performance Period expiration date, the State must submit a Financial Status Report (FEMA Form 425) which reports all costs incurred within the incident period and all administrative costs incurred within the performance period. The performance period, defined on the SF-424, may be 9 months from the close of the incident period and may be extended for an additional 3 months by the Regional Administrator. During the performance period the Grantee will submit the subgrants, which document eligible costs; FEMA will review the eligibility of costs, and process, obligate, and close-out the grant. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records must be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0702-0-1-453.

Obligations:

(Project Grants) FY 16 \$22,663,963; FY 17 est \$60,000,000; and FY 18 est \$60,000,000 - FY2016 actual amount will increase as additional grant funds are obligated.

Future fiscal year obligation amounts are impossible to predict as they are directly correlated to the severity of that fire season. The estimates provided are projections based on averaging past year obligations over the previous ten years.

Range and Average of Financial Assistance:

17 year average is \$68,522,000/year.

TAFS Codes:

70-0702.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: A total of 47 Fire Management Assistance Grant declarations were approved and 47 FMAG grants were provided to 19 States in FY 16. The purpose of this program is to provide 75% federal matching funding to States which is used to attack wildland fires with all available resources. This approach prevents these fires that threaten to become major disasters from reaching major disaster levels which are much more expensive. Only 1 of 47 such wildland fires became a major disaster in FY16. Fiscal Year 2017: Future fiscal year program accomplishments are impossible to estimate as they are directly correlated to the severity of that fire season and the number of declarations/grants; therefore none are provided. Fiscal Year 2018: Future fiscal year program accomplishments are impossible to estimate as they are directly correlated to the severity of that fire season and the number of declarations/grants; therefore none are provided.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance, Fire Management Assistance Grant Program Regulations, 44 CFR Part 204. See the Fire Management Assistance Grant Program page at:
<http://www.fema.gov/fire-management-assistance-grant-program>

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security / FEMA / Public Assistance Division, Control Desk 6th Floor, 500 C St. SW, Washington, District of Columbia 20472 Phone: (1-800) 621-FEMA (3363)

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.047 PRE-DISASTER MITIGATION**PDM****FEDERAL AGENCY:**

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 203, Public Law 93-288, 42 U.S.C 5133.

OBJECTIVES:

The objective of the program is to provide funding support to states, Indian tribal governments, territories, and communities for pre-disaster mitigation planning and projects primarily addressing natural hazards. This program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards which is consistent with DHS QHSR Goal 5.1, Mitigate Hazards and links to Presidential Policy Directive (PPD-8) - National Preparedness, Security, Resilience, Prevention, Mitigation, Response, Protection, and Recovery.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Mitigation Planning: Planning activities that develop state, Indian tribal, local, and university hazard mitigation plans that meet planning criteria outlined in 44 CFR Part 201 are eligible for the PDM program. Hazard mitigation planning activities must primarily focus on natural hazards but may also address hazards caused by manmade events. Either single or multi-jurisdictional hazard mitigation plans may be submitted for funding. Funding is restricted to a maximum of \$400,000 Federal share for a new mitigation plan. A maximum of \$150,000 federal share may be requested for an update to an existing local single jurisdiction plan; \$300,000 for an update to a state/territory plan, multi-jurisdictional local plan, or tribal plan.

Mitigation Projects: Proposed multi-hazard mitigation projects must primarily focus on natural hazards. Hazard mitigation is any sustained action taken to reduce or eliminate long-term risk to people and property from natural hazards and their effects. Funding is restricted to a maximum of \$4 million Federal share. Projects must meet all eligibility criteria including technical feasibility, cost-effectiveness; compliance with environmental and historic preservation laws and regulations and other program requirements. Please see the Hazard Mitigation Assistance (HMA) program guidance on the FEMA website for detailed information:

<http://www.fema.gov/media-library/assets/documents/103279>.

Applicant Eligibility:

Applications are reviewed by DHS/FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued. Applicants/recipients are responsible for distributing funds to sub-applicants/sub-recipients.

Beneficiary Eligibility:

State agencies, Indian Tribal governments, and local governments and communities are eligible to apply as subapplicants for assistance under the PDM program. All interested subapplicants must apply to the Applicant. Homeowners are not eligible to apply as subapplicants but may request their local jurisdiction to apply on their behalf.

Credentials/Documentation:

Please see the HMA program guidance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Notice of Funding Opportunity is posted on www.Grants.gov.

Award Procedure:

Applications are reviewed by DHS/FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued. Applicants/recipients are responsible for distributing funds to sub-applicants/sub-recipients.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Please see the Notice of Funding Opportunity is posted on www.Grants.gov.

Appeals:

Refer to HMA program guidance document.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Robert T. Stafford Disaster Relief and Emergency Assistance Act, Chapter 42, Part 5133, Public Law 100-707.
Matching Requirements: In general, PDM funds may be used to pay up to 75 percent of the eligible activity costs. The applicant or sub-applicant is responsible for the remaining 25 percent of eligible activity costs. PDM grants awarded to small impoverished communities may receive a federal cost share of up to 90 percent of the total amount approved under the grant award to implement eligible approved activities in accordance with the Stafford Act. Refer to HMA program guidance for additional information. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to the Notice of Funding Opportunity posted on www.Grants.gov for information on applicable Periods of Performance and Availability of Funds. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Recipients are required to submit quarterly financial and performance reports. Quarterly Performance Reports must include the progress of each subaward. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Recipients are required to submit quarterly financial reports. Quarterly Reports must include the expenditures of each subaward. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial reports are due 90 days after the expiration or termination of grant award. Recipients are required to submit quarterly performance reports. Quarterly Performance Reports must include the progress of each subaward. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30.

Final performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Recipients are required to submit quarterly financial reports. Quarterly Reports must include the expenditures of each subaward. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Recipients are required to submit quarterly performance reports. Quarterly Performance Reports must include the progress of each subaward. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the date the applicants project application is closed. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0508-0-1-402.

Obligations:

(Project Grants) FY 16 \$38,602,245; FY 17 est \$40,000,000; and FY 18 est \$40,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

70-0716.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: \$77,204,491.58 in losses avoided. Fiscal Year 2017: \$80,000,000 in losses avoided. Fiscal Year 2018: \$80,000,000 in losses avoided.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be governed by Section 203 of the Stafford Act; the DHS FEMA Real Property Acquisition Regulations at 44 CFR Part 80; and the HMA program guidance.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the list of addresses of Federal Emergency Management Agency Regional Offices.

Headquarters Office:

Karen Helbrecht 400 C Street, Washington, District of Columbia 20472 Email: Karen.Helbrecht@fema.dhs.gov Phone: (202) 646-3358 Fax: (202) 646-2880

Website Address:

<http://www.fema.gov/pre-disaster-mitigation-grant-program>

RELATED PROGRAMS:

97.029 Flood Mitigation Assistance; 97.039 Hazard Mitigation Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the HMA program guidance.

97.048 FEDERAL DISASTER ASSISTANCE TO INDIVIDUALS AND HOUSEHOLDS IN PRESIDENTIAL DECLARED DISASTER AREAS (IHP)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended, Public Law 106-390, 42 U.S.C 5174.

OBJECTIVES:

To provide financial assistance, and if necessary, direct services to individuals and households affected as a direct result of a Presidentially declared major disaster or emergency, who have uninsured or under-insured, necessary expenses and serious needs and are unable to meet such expenses or needs through other means. This program supports disaster recovery by providing the financial means or direct services to disaster survivors for Temporary Housing, Repair Assistance, Replacement Assistance, or Permanent or Semi-permanent Housing Construction in addition to financial assistance to address Other Needs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Restricted to Presidential-declared disasters or emergencies, such as an earthquake, hurricane, tornado, wildfire, or flood, etc. Program activates only after issuance of a presidential declaration. Housing Assistance, either Financial or Direct, may be provided for the following: (1) Temporary Housing, (2) Home Repair, (3) Home Replacement and (4) Permanent or Semi-permanent Housing Construction. Assistance not used for the specified purpose will be required to be returned. Other Needs Assistance may be provided for: (1) Medical, (2) Dental, (3) Child Care, (4) Funeral, (5) Personal Property, (6) Transportation, and (7) Other Miscellaneous Expenses. Assistance not used for the specified purpose will be required to be returned. If the State is providing for other needs under Section 408(f) of the Stafford Act, please refer to CFDA 97.050.

Applicant Eligibility:

Individuals and households, in areas declared an emergency or major disaster by the President, who have necessary expenses and serious needs they are unable to meet through insurance or other means, are eligible to apply for this program. All needs must be caused by the disaster. Basic conditions of eligibility include: the individual or a member of the household must be a citizen of the United States, a non-citizen national, or a qualified alien. Housing Assistance: 1) Temporary Housing Assistance - proof of primary residence, proof of occupancy, disaster-caused displacement, and/or paid receipts for Rent or Lodging Expenses; 2) Repair - primary residence, proof of occupancy and ownership, disaster caused home damage; 3) Replacement - primary residence, proof of occupancy and ownership, home destroyed by the disaster; 4) Permanent or Semi-permanent Housing Construction - primary residence, proof of occupancy and ownership, disaster-caused home damage, home is located in an insular area outside the continental United States and in other locations in which no alternative housing resources are available, and types of temporary housing assistance are unavailable, infeasible, or not cost-effective. Other Needs Assistance: 1) Medical: disaster-caused expenses, and/or paid receipts (bills) for medical treatment; 2) Dental: disaster-caused expenses, and/or paid receipts (bills) for treatment; 3) Child Care: disaster caused expenses; 4) Funeral: disaster-caused expenses, and/or paid receipts (bills) for services; 5) Personal Property: proof of ownership; disaster-caused personal property damage; 6) Transportation: proof of ownership, vehicle complies with State laws, disaster-caused vehicle damage; 7) Other Necessary Expenses and Serious Needs: the expense or need must be caused by the disaster and approved by FEMA.

Beneficiary Eligibility:

Individual/Family: Homeowner (located within an area which has been designated as a disaster area by Presidential declaration).

Credentials/Documentation:

FEMA Disaster Assistance Regulations at 44 CFR Part 206.110-120, 2 CFR

200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Based on damage assessments and an agreement to commit state funds and resources to the long-term recovery, the State Governor or Indian Tribal Chief Executive makes a request (through the FEMA regional Director) for a Presidential Declaration for an emergency or major disaster. Requests for assistance must be made in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206, except as provided in Part 206.24(d) for emergency declarations involving primarily Federal responsibility. Environmental impact information is not required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Based on damage assessments and an agreement to commit state funds and resources to the long-term recovery, the State Governor or Indian Tribal Chief Executive makes a request (through the FEMA regional Director) for a Presidential Declaration for an emergency or major disaster. Requests for assistance must be made in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206, except as provided in Part 206.24(d) for emergency declarations involving primarily Federal responsibility. Environmental impact information is not required for this program.

Award Procedure:

A Presidential Disaster or Emergency Declaration must be issued before individuals and households can register an application for assistance with FEMA via a toll-free number or FEMA's Internet Application or by visiting a Disaster Recovery Center. Assistance for real property damages will not be awarded to individuals residing outside of the area declared as a disaster. Funds are allocated from the President's Disaster Relief Fund for use in a designated emergency or major disaster. Households receive assistance directly from FEMA or through the State or Tribe for Other Needs assistance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies, in general 7-21 days. Generally, individual and household applications for disaster assistance must be filed within 60 days of the disaster declaration.

Appeals:

Appeals of program decisions must be submitted in writing within 60 days of the date of the notification letter.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 25%. Housing - not applicable. Other Needs - FEMA or the State or Tribe may administer the Other Needs Assistance Program. The State or Tribe provides 25 percent of the funds for the total number of grants disbursed for the designated disaster. The Federal Government provides the remaining 75 percent of the costs for grant awards as well as the regulations, policies, and procedures that govern the program. States or Tribes may also receive up to 5 percent of the Federal share of the program for administrative costs. The Governor or Indian Tribal Chief Executive may request a loan of the State's or Tribes 25 percent share. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, one time grant assistance with a total assistance period not exceeding 18 months. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Refer to program guidance.

Records:

Internal program audits are conducted.

Account Identification:

70-0702-0-1-453.

Obligations:

(Project Grants) FY 16 \$1,048,419,969; FY 17 est \$522,659,206; and FY 18 Estimate Not Available - FY18: Estimates are not available; costs are based on declared disasters.

Range and Average of Financial Assistance:

FY 16: Range--\$50 - \$33,000; Average--\$11,137.89
FY 17: Range--\$50 - \$33,300; Average--\$7,384.04- as of 04/01/2017.

TAFS Codes:

70-0702.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, \$459,769,579.07 in awards were approved for IHP. Fiscal Year 2017: IHP awards for 2017 as of 04/01/2017 are \$120,531,997.43. Fiscal Year 2018: Estimates are not available; cost are based on declared disasters.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Emergency Assistance Regulations, 44 CFR 206.110-120.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA) 500 C Street, SW, Sixth Floor, Washington, District of Columbia 20472-3100 Phone: (202) 212-1000

Website Address:

<http://www.FEMA.gov>

RELATED PROGRAMS:

97.050 Presidential Declared Disaster Assistance to Individuals and Households - Other Needs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.050 PRESIDENTIAL DECLARED DISASTER ASSISTANCE TO INDIVIDUALS AND HOUSEHOLDS - OTHER NEEDS

(ONA)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended, Section 408, Public Law 106-390, 42 U.S.C 5174.

OBJECTIVES:

This program supports disaster recovery by providing financial assistance to disaster survivors who, as a direct result of a major disaster or emergency, have necessary expenses and serious needs that are unmet through insurance or other means.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Restricted to Presidential-declared disasters or emergencies, such as an

earthquake, hurricane, tornado, wildfire, flood, etc. The Other Needs Assistance provision of the Individuals and Households Program activates only after issuance of a presidential declaration. Other Needs Assistance may be provided for the following: 1) Medical, 2) Dental, 3) Child Care, 4) Funeral, 5) Personal Property, 6) Transportation, and 7) Other Miscellaneous Expenses. Assistance not used for the specified purpose will be required to be returned. If FEMA is administering the Other Needs Assistance, please refer to CFDA 97.048.

Applicant Eligibility:

Individuals and households, in areas declared an emergency or major disaster by the President, who have necessary expenses and serious needs they are unable to meet through insurance or other means, are eligible for Other Needs Assistance. Basic conditions of eligibility include: the individual or a member of the household must be a citizen of the United States, a non-citizen national, or a qualified alien. 1) Medical: disaster-caused expenses, and/or paid receipts (bills) for medical treatment; 2) Dental: disaster-caused expenses, and/or paid receipts (bills) for treatment; 3) Child Care: disaster-caused expenses; 4) Funeral: disaster-caused expenses, and/or paid receipts (bills) for services; 5) Personal Property: proof of ownership, disaster-caused personal property damage; 6) Transportation: proof of ownership, vehicle complies with State laws, disaster-caused vehicle damage; and 7) Other Necessary Expenses and Serious Needs: the expense or need must be caused by the disaster and approved by FEMA.

Beneficiary Eligibility:

Individual/Family; expenses/losses must have occurred within an area which has been designated as a disaster area by Presidential declaration.

Credentials/Documentation:

FEMA Disaster Assistance Regulations at 44 CFR Part 206. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Based on damage assessments and an agreement to commit state funds and resources to the long-term recovery, the State Governor or Indian Tribal Chief Executive makes a request (through the FEMA Regional Director) for a Presidential Declaration for an emergency or major disaster. Requests for assistance must be made in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206, except as provided in Part 206.24(d) for emergency declarations involving primarily Federal responsibility. If the State or Tribe has selected the program for Review, an applicant should consult the office or official designated as the single point of contact in his or her State or Tribe for more information on the process the State or Tribe requires to be followed in applying for assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Presidential Disaster or Emergency Declaration must first be issued. To receive the grant, the State or Tribe must make an annual submission of the OMB form 010-1-11 (formerly 90-153).

Award Procedure:

Funds are allocated from the President's Disaster Relief Fund for use in a designated emergency or major disaster. Households receive assistance directly from FEMA or the State or Tribe.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

7-21 days. Generally, individual and household applications for disaster assistance must be filed within 60 days of the disaster declaration.

Appeals:

Appeals of program decisions must be submitted in writing within 60 days of the date of the notification letter.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Percent: 25%. The State or Tribe provides 25 percent of the funds for the total number of grants disbursed for the designated disaster. The Federal Government provides the remaining 75 percent of the costs for grant awards as well as the regulations, policies, and procedures that govern the provision. States or Tribes may also receive up to 5 percent of the Federal share of the provision for administrative costs. The Governor or Indian Tribal Chief Executive may request a loan of the State's or Tribes 25 percent share. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, a one time assistance payment, with a total assistance period of no longer than 18 months. See the following for information on how assistance is awarded/released: Payment based on project need.

Reports:

Quarterly and final financial reports are required. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Internal program audits are conducted.

Records:

Expenditure records (receipts) and related documents must be retained by the recipient for 3 years from the date of payment.

Account Identification:

70-0702-0-1-453.

Obligations:

(Direct Payments for Specified Use) FY 16 \$26,368,942; FY 17 est \$300,000; and FY 18 Estimate Not Available - FY18-Estimates are not available; costs are based on declared disasters.

Range and Average of Financial Assistance:

FY 16: Range--\$50 - \$33,000; Average--\$3,885.25

FY 17: Range--\$50 - \$33,300; Average--\$2,559.92- as of 04/01/2017.

TAFS Codes:

70-0702.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In FY 2016, \$31,359,671.93 in awards were approved for ONA. Fiscal Year 2017: ONA awards for 2017 as of 04/01/2017 are \$525,034.99. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Assistance Regulations, 44 CFR 206.110-120.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA) 500 C Street, SW, Sixth Floor, Washington, District of Columbia 20472-3100 Phone: (202) 212-1000

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

97.048 Disaster Housing Assistance to Individuals and Households in Presidential Declared Disaster Areas

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.052 EMERGENCY OPERATIONS CENTER

(EOC)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

The Department of Defense and Full-Year Continuing Appropriations Act, 2011, Public Law 112-10; Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 614, 42 U.S.C 5196; Implementing Recommendations of the 9/11 Commission Act of 2007, Public Law 110-53.

OBJECTIVES:

The purpose of the Emergency Operations Center (EOC) Grant Program is to improve emergency management and preparedness capabilities by supporting flexible, sustainable, secure, strategically located and fully interoperable EOCs with a focus on addressing identified deficiencies and needs. This program provides funding for construction or renovation of a State, local or Tribal government's principal EOC. Fully capable emergency operations facilities at the State and local levels are an essential element of a comprehensive national emergency management system and are necessary to ensure continuity of operations and continuity of government in major disasters cause by any hazard.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The EOC grant program supports EOC construction and renovation. Funds must be spent in compliance with rules and regulations noted in the EOC Grant Program Guidance and Application Kit.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and in the EOC Grant Program Guidance and Application Kit.

Beneficiary Eligibility:

Funding under this program is ultimately to benefit State and local governments.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to in the EOC Grant Program Guidance and Application Kit.

Appeals:

None.

Renewals:

Extensions to the period of performance will be considered only through formal requests to FEMA with specific and compelling justifications why an extension is required.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. The program will require a 75 percent Federal and 25 percent grantee cost share cash or in-kind match requirement. Unless otherwise authorized by law, Federal funds cannot be matched with other Federal funds.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to the EOC Grant Program Guidance and Application Kit. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Grantees are required to submit quarterly Federal Financial Reports (FFR) (SF-425) and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due within 30 days of the end of each calendar quarter: January 30, April 30, July 30, and October 30. Semi-Annual Assistance Progress Reports (SAPR). Following an award, the awardees will be responsible for providing updated obligation expenditure information on a semi-annual basis. The SAPR is due within 30 days after the end of the reporting period (July 30 for the reporting period of January 1 through June 30; and January 30 for the reporting period of July 1 through December 31).

Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to in the EOC Grant Program Guidance and Application Kit. Refer to in the EOC Grant Program Guidance and Application Kit. Refer to in the EOC Grant Program Guidance and Application Kit. Refer to in the EOC Grant Program Guidance and Application Kit.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-000.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Program was last funded in FY2010. This program will remain open due to open awards.

Range and Average of Financial Assistance:

Refer to in the EOC Grant Program Guidance and Application Kit.

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines, please refer to the solicitation guidance and application kit.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for FEMA regional offices.

Headquarters Office:

Grant Programs Directorate 400 C Street S.W., Washington, District of Columbia 20472-3615 Email: askesid@dhs.gov Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.042 Emergency Management Performance Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.055 INTEROPERABLE EMERGENCY COMMUNICATIONS

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Implementing Recommendations of the 9/11 Commission Act of 2007 (Public Law 110-53), Public Law 110-53.

OBJECTIVES:

To provide governance, planning, training and exercise funding to States, territories, and local and tribal governments to carry out initiatives to improve interoperable emergency communications, including communications in collective response to natural disasters, acts of terrorism, and other man-made disasters. All activities proposed under the program must be integral to interoperable emergency communications and must be aligned with the goals, objectives, and/or initiatives identified in the grantees approved Statewide Communication Interoperability Plan (SCIP). The Program provides the flexibility to purchase interoperable communications equipment.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Refer to program guidance document. Refer to program guidance document.

Applicant Eligibility:

Applicant Eligibility - All 56 states and territories.

Beneficiary Eligibility:

Beneficiary Eligibility: States, territories, and local and tribal governments.

Credentials/Documentation:

Prior to, or along with, an application for assistance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Percent: 25%. Grant awards will require a 25 percent nonfederal cost share on all equipment purchases. The source of the match funds must be identified in the grant application. The match does not need to be a cash match. In-kind contributions are permitted and must be activities in direct support of and eligible under the project. The nonfederal share may not be funded by other federal funds unless permitted by Federal statute.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Based on project need.

Reports:

Refer to program guidance document. Refer to program guidance document. Quarterly progress reports. Refer to program guidance document. Quarterly Federal Financial Reports (FFR), Semi-Annual Assistance Progress Report (SAPR), and Biannual Strategy Implementation Reports (BSIR). Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

58-0101-0-1-999.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Program was last funded in FY2010. This program will remain open due to open awards.

Range and Average of Financial Assistance:

Refer to program guidance document.

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to program guidance.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Grant Programs Directorate 400 C Street, SW, Washington, District of

Columbia 20742-3618 Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov/government/grant/index.shtml>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.056 PORT SECURITY GRANT PROGRAM

(PSGP)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Maritime Transportation Security Act of 2002, Section 102, Public Law 107-295, 46 U.S.C 70107.

OBJECTIVES:

The FY 2017 Port Security Grant Program (PSGP) plays an important role in the implementation of the National Preparedness System by supporting the building, sustainment, and delivery of core capabilities essential to achieving the National Preparedness Goal (the Goal) of a secure and resilient Nation. Delivering core capabilities requires the combined effort of the whole community, rather than the exclusive effort of any single organization or level of government. The FY 2017 PSGPs allowable costs support efforts to build and sustain core capabilities across Prevention, Protection, Mitigation, Response, and Recovery mission areas, with specific focus on addressing the security needs of our Nations maritime ports. Among the five basic homeland security missions noted in the DHS Quadrennial Homeland Security Review, the PSGP supports the goal to Strengthen National Preparedness and Resilience.

Recipients under the FY 2017 PSGP are encouraged to build and sustain core capabilities through activities such as:

- Strengthening governance integration;
- Enhancing Maritime Domain Awareness (MDA);
- Enhancing Improvised Explosive Device (IED) and Chemical, Biological, Radiological, Nuclear, Explosive (CBRNE) prevention, protection, response and supporting recovery capabilities within the maritime domain;
- Enhancing cybersecurity capabilities;
- Maritime security risk mitigation projects that support port resilience and recovery capabilities;
- Training and exercises; and
- Transportation Worker Identification Credential (TWIC) implementation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The FY 2017 PSGP is one of the Departments FY 2017 grant programs that directly support maritime transportation infrastructure security activities. PSGP is one tool in the comprehensive set of measures authorized by Congress and implemented by the Administration to strengthen the Nations critical infrastructure against risks associated with potential terrorist attacks.

The vast majority of U.S. maritime critical infrastructure is owned and/or operated by State, local, and private sector maritime industry partners. PSGP funds available to these entities are intended to improve port-wide maritime security risk management; enhance maritime domain awareness; support maritime security training and exercises; and to maintain or reestablish maritime security mitigation protocols that support port recovery and resiliency capabilities. PSGP investments must address U.S. Coast Guard identified vulnerabilities in port security and support the prevention, detection, response, and/or recovery from attacks involving improvised explosive devices (IED) and

other non-conventional weapons. PSGP grant recipients and sub-recipients may only use PSGP grant funds for the purpose set forth in the grant, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any other government entity.

Pre-award costs are allowable only with the written consent of DHS and if they are included in the award agreement.

Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. In FY2017, the total amount of funds distributed under this grant program will be \$100,000,000. The FY 2017 PSGP funds will be allocated based on the funding priorities outlined in FY 2017 PSGP Notice of Funding Opportunity (NOFO).

Applicant Eligibility:

All entities subject to an Area Maritime Transportation Security Plan (AMSP), as defined by 46 U.S.C. 70103(b), may apply for PSGP funding. Eligible applicants include, but are not limited to: port authorities, facility operators, and state and local government agencies.

Pursuant to the Maritime Transportation Security Act of 2002, as amended (MTSA), DHS established a risk-based grant program to support maritime security risk management. Funding is directed towards the implementation of AMSPs and Facility Security Plans (FSP) among port authorities, facility operators, and state and local government agencies that are required to provide port security services. In administering the grant program, national, economic, energy, and strategic defense concerns based upon the most current risk assessments available will be considered.

A port area is a location on a coast, shore, or inland waterway containing one or more harbors where vessels can dock and transfer people or cargo to or from land. For the purpose of PSGP, the presence of Maritime Transportation Security Act (MTSA) regulated facilities are the primary consideration of harbors that defines the port area.

Applications for the purpose of providing a service, product, project, or investment justifications (IJ) on behalf of another entity such as sub-recipients or a consortia are ineligible for funding. Applications will only be accepted and considered for funding from direct recipients.

Only one (1) application per eligible entity within each Port Area is permitted. Each application may contain multiple IJs. An entity is a port authority, facility operator, state or local government agency required to provide port security services. An investment justification supports the funding of a proposed project. The location where the project is primarily implemented is considered the Port Area of the application. Applicants with facilities in multiple Port Areas may submit one (1) application per Port Area. Program funding is risk based by Port Area, no single application should include investment justifications for projects intended to be implemented in multiple Port Areas. For example, state entities that operate in multiple Port Areas within the state must submit separate applications to fund projects in each of these Port Areas. Applicants may submit up to five (5) investment justifications within the single application. Eligibility does not guarantee grant funding. As a condition of eligibility, all PSGP applicants are required to be fully compliant with relevant Maritime Security Regulations (33 C.F.R. Parts 101-106). Any applicant who, as of the grant application deadline, has an open or outstanding Notice of Violation (NOV), will not be considered for PSGP funding if:

- 1) the applicant has failed to pay the NOV within 45 days of receipt; or
- 2) the applicant has failed to decline the NOV within 45 days of receipt resulting in the Coast Guard entering a finding of default in accordance with 33 C.F.R. 1.07-11[f][2]; or
- 3) the applicant has appealed the NOV pursuant to 33 C.F.R. 1.07-70 and has received a final appeal decision from the Commandant, U.S. Coast Guard, as described in 33 C.F.R. 1.07-75, and has failed to come into compliance with

the terms of the final appeal decision within the timelines noted therein.

The local U.S. Coast Guard Captain of the Port (COTP) will verify security compliance eligibility during the field review process.

Ferry systems are eligible to apply for FY 2017 PSGP funds. However, any ferry system receiving funds under the FY 2017 PSGP will not be eligible to participate under the FY 2017 Transit Security Grant Program (TSGP). Likewise, any ferry system that participates in the TSGP will not be eligible for funding under the PSGP.

Beneficiary Eligibility:

Critical national seaports and terminals.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

For more information, refer to the FY 2017 PSGP Notice of Funding Opportunity (NOFO). An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Address to Request Application Package

FEMA makes all funding opportunities available on the Internet at <http://www.grants.gov>. If you experience difficulties accessing information or have any questions please call the Grants.gov customer support hotline at (800) 518-4726.

Application forms and instructions are available at Grants.gov. To access these materials, go to <http://www.grants.gov>, select Apply for Grants, then select the CFDA number (97.056) or the Notice of Funding Opportunity (NOFO) Number noted in the Overview Information section of the (NOFO) and then select Download Application Package. Select Download Application Package, and then follow the prompts to download the application package. To download the instructions, go to Download Application Package and select Instructions.

Content and Form of Application

1. Application via Grants.gov. All applicants must file their applications using the Administrations common electronic storefront <http://www.grants.gov>. Eligible grantees must apply for funding through this portal, accessible on the Internet at <http://www.grants.gov>.

The application must be started and submitted using Grants.gov after registration in the System for Award Management (SAM) is confirmed. The on-line application includes the following required form:

Standard Form 424, Application for Federal Assistance

Applying for FY2017 PSGP funds requires a two-step process. Step One: initial submission to determine eligibility and Step Two: full application. Applicants are encouraged to initiate Step One as soon after the Notice of Funding Opportunity (NOFO) is published but no later than June 2, 2017. This involves submitting a complete Standard Form 424 to <http://www.grants.gov>. The Standard Form 424 will be retrieved by ND Grants and the system will automatically populate the relevant data fields in the application. Successful completion of this step is necessary for FEMA to determine eligibility of the applicant. Late submissions to Grants.gov to complete Step One could result in applicants missing the application deadline in Step Two. Once FEMA has determined an applicant to be eligible, applicants can proceed to Step Two which involves submitting the full application package via the ND Grants system. The submission deadline for the full application package is June, 22, 2017 at 5:00 PM EDT.

The application must be completed and final submission made through the ND

Grants system located at <https://portal.fema.gov>. If you need assistance registering for the ND Grants system, please contact ndgrants@fema.gov or (800) 865-4076. Applicants are encouraged to begin their ND Grants registration at the time of solicitation to ensure they have adequate time to start and complete their application submission. Unless otherwise referenced, the ND Grants system includes the following required forms and submissions:

Standard Form 424A, Budget Information (Non-construction)
Standard Form 424B, Standard Assurances (Non-construction)
Standard Form 424C, Budget Information (Construction)
Standard Form 424D, Standard Assurances (Construction)
Standard Form LLL, Disclosure of Lobbying Activities (if the grantee has engaged or intends to engage in lobbying activities)
Grants.gov (GG) Lobbying Form, Certification Regarding Lobbying
FEMA Form 112-0-3C, Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements
Investment Justification (FEMA Form 089-5) (see Appendix D PSGP Investment Justification Template)
Detailed Budget Worksheet

2. The program title listed in the CFDA is Port Security Grant Program. The CFDA number is 97.056. Dun and Bradstreet Data Universal Numbering System (DUNS) Number. The applicant must provide a DUNS number with their application. This number is a required field within <http://www.grants.gov> and for SAM. Organizations should verify that they have a DUNS number, or take the steps necessary to obtain one, as soon as possible. Applicants can receive a DUNS number at no cost by calling the dedicated toll-free DUNS Number request line at (866) 705-5711.

3. System for Award Management (SAM). The application process also involves an updated and current SAM registration by the applicant at <http://www.sam.gov>. Please ensure that your organizations name, address, DUNS number and EIN are up to date in SAM and that the DUNS number used in SAM is the same one used to apply for all FEMA awards. Future payments will be contingent on the information provided in SAM; therefore it is imperative that the information is correct.

Applicants will obtain FOA Overviews and Full Announcement information from the Grants.gov website where the full FOA is posted.

In addition, the following Telephone Device for the Deaf (TDD) and/or Federal Information Relay Service (FIRS) number available for this Announcement is: (800) 462-7585.

Applications will be processed through the Grants.gov portal and the ND Grants system.

Hard copies of the application will not be accepted.

Award Procedure:

Initial Screening. FEMA will conduct an initial review of all FY 2017 PSGP applications to ensure each application is complete. All complete applications will be provided to the applicable COTP for further review.

Field Review. Field-level reviews will be managed by the applicable COTP in coordination with the Gateway Directors of the U.S. Department of Transportation (DOT's) Maritime Administration (MARAD) and appropriate personnel from the AMSC, to include owner/operators of MTSAs regulated facilities and vessels, as well as Federal, State, and local agencies, as identified by the COTP. To support coordination of and regionalization of maritime security grant application projects with State and Urban Area homeland security strategies, as well as other State and local security plans, AMSC members representing State and local agencies should coordinate the results with the applicable State administrative agency or agencies and State homeland security advisor(s).

Field reviews for all Groups occur immediately following the initial screening.

Each specific project is scored for compliance with criteria enumerated in the previous section and the COTP/MARAD provides a prioritized list of eligible maritime security risk mitigation projects for funding within each port area. The COTP will use the COTP Field Review Form to review all projects.

After completing field reviews, COTPs will submit the field review project scores, any associated comments, and prioritized lists to FEMA who will begin coordination of the national review process.

Deadlines:

Jun 02, 2017 to Jun 22, 2017 Applying for FY 2017 PSGP funds requires a two-step process. Step One: initial submission to determine eligibility and Step Two: full application. Applicants are encouraged to initiate Step One immediately after the Notice of Funding Opportunity (NOFO) is published but no later than June 2, 2017. This involves submitting a complete Standard Form 424 to Grants.gov. Successful completion of this step is necessary for FEMA to determine eligibility of the applicant. Late submissions of Step One to Grants.gov could result in applicants missing the application deadline in Step Two. Once FEMA has determined an applicant to be eligible, applicants can proceed to Step Two which involves submitting the full application package via the Non Disaster (ND) Grants system. The submission deadline for the full application package is June 22, 2017 5:00 PM EDT. For additional details see Section X of the full Notice of Funding Opportunity (NOFO).

Range of Approval/Disapproval Time:

Following the field review, a National Review Panel (NRP), comprised of subject matter experts drawn from DHS and DOT, will convene and conduct a national level review. The purpose of the National Review is to identify a final, prioritized list of eligible projects for funding. The NRP will conduct an initial review of the prioritized project listings for each port area submitted by the USCGs COTP to ensure that the proposed projects will accomplish intended risk mitigation goals. The NRP will validate and normalize the COTP Field Review Project Priority List and provide a master list of prioritized projects by port area.

The NRP will have the ability to recommend partial funding for individual projects and eliminate others that are determined to be duplicative or require a sustained Federal commitment to fully realize the intended risk mitigation. The NRP will also validate proposed project costs. Decisions to reduce requested funding amounts or eliminate requested items deemed inappropriate under the scope of the FY 2017 PSGP will take into consideration the ability of the revised project to address the intended national port security priorities and achieve the intended risk mitigation goal. Historically, PSGP has placed a high priority on providing full project funding rather than partial funding.

A risk-based analysis will then be applied to the NRPs prioritized list for each port area in all groups. This analysis considers the following factors to produce a comprehensive national priority ranking of port security proposals:

- Relationship of the project to one or more of the national port security priorities
- Relationship of the project to the local port security priorities
- COTP ranking (based on each COTPs prioritized list of projects)
- Risk level of the port area in which the project would be located (based on a comprehensive risk analysis performed by DHS)
- Effectiveness and feasibility of project to be completed in support of above priorities during the period of performance

The NRP will be asked to evaluate and validate the consolidated and ranked project list and submit their recommendations to FEMA. The NRP may request additional information or clarification from applicants. The Secretary of Homeland Security will have the final approval authority on all projects.

FEMA may place minimum project effectiveness limit on all projects submitted. Projects failing to meet the minimum level of effectiveness may not be considered for funding.

Funds will not be made available for obligation, expenditure, or drawdown until the applicants detailed budget and budget narrative have been approved by FEMA.

The applicant must provide a detailed budget for the funds requested. The detailed budget must be submitted with the grant application as a file attachment within ND Grants. The budget must be complete, reasonable, and cost-effective in relation to the proposed project. The budget must provide the basis of computation of all project-related costs, any appropriate narrative, and a detailed justification of M&A costs.

Appeals:

Other - Not Specified.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The following match requirements apply for the FY 2017 PSGP (including ferry systems):

Public Sector. Public sector applicants must provide a non-Federal match (cash or in-kind) supporting at least 25 percent (25%) of the total project cost for each proposed project.

Private Sector. Private sector applicants must provide a non-Federal match (cash or in-kind) supporting at least 50 percent (50%) of the total project cost for each proposed project.

Other Matching Requirements Information:

Exceptions. The following exceptions to the cost-match requirement may apply:

There is no matching requirement for grant awards where the total award is \$25,000 or less (with the exception of national and/or regional corporations submitting 11 or more projects throughout their system[s]).

There is no matching requirement for grants to train law enforcement agency personnel in the enforcement of security zones as defined by 46 U.S.C.

70132, 70107(c)(2)(C) or in assisting in the enforcement of such security zones.

If the Secretary of Homeland Security determines that a proposed project merits support and cannot be undertaken without a higher rate of Federal support, the Secretary may approve grants with a matching requirement other than that specified above in accordance with Title 46, Section 70107 of the United States Code of Federal Regulations (46 U.S.C. 70107(c)(2)(B)). Cost match waivers under 46 U.S.C. 70107(c)(2)(B) may be granted only if the Secretary of DHS determines that (1) a proposed project merits support in light of the overall grant purpose and mission goals; and (2) the Secretary of DHS determines that the meritorious project cannot be undertaken without a higher rate of Federal support. See FEMA Grant Programs Directorate (GPD) Information Bulletin (IB) 376, dated January 4, 2012 for further information on the PSGP cost match waiver process (<http://www.fema.gov/pdf/government/grant/bulletins/info376.pdf>).

Cash and in-kind matches must consist of eligible costs (i.e., purchase price of allowable contracts, equipment). A cash match includes cash spent for project-related costs while an in-kind match includes the valuation of in-kind services. The cost-match requirement for the PSGP award may not be met by costs borne by another Federal grant or assistance program. Likewise, in-kind matches used to meet the matching requirement for the PSGP award may not be used to meet matching requirements for any other Federal grant program (e.g., FY 2017 funds are used to purchase a mobile command center from a vendor, the vendor contributes or donates communications equipment associated with the mobile command center, the value of the donated equipment may be considered as an in-kind match for the PSGP award only). Please see Title 44, Part 13, Section 24 of the Code of Federal Regulations (44 CFR 13.24) for further guidance regarding in-kind matches. (<http://ecfr.gpoaccess.gov/cgi/t/text/text-idx?type=simple;c=ecfr;cc=ecfr;sid=183d717ad04a78067db6a831421874ea;idno=44;region=DIV1;q1=13;rgn=div5;view=text;node=443A1.0.1.1.14>).

The cost match requirement must be included in the applicant's detailed budget.

The applicant must demonstrate that sufficient funds are available for the recipients share of the project at the time of the application (46 U.S.C. 70107(c)(4)(B)). Projects without a detailed budget demonstrating the required cost match will not be considered for funding.

The non-Federal share can be cash or in-kind, with the exception of construction activities, which must be a cash-match (hard). This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of performance is 36 months. For more information, refer to the FY 2017 PSGP Notice of Funding Opportunity (NOFO). Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Grantees must accept their grant awards no later than 90 days from the award date. The grantee shall notify the awarding agency of its intent to accept and proceed with work under the award, or provide a written notice of intent to decline. Funds will remain on hold until the grantee accepts the award through official correspondence (e.g., written, electronic signature, signed letter or fax to GPD) and all other conditions of award have been satisfied, or the award is otherwise rescinded. Failure to accept the grant award within the 90 day timeframe may result in a loss of funds.

Reports:

Exercise Evaluation and Improvement. Exercises implemented with grant funds should evaluate performance of the capabilities required to respond to the exercise scenario. Guidance related to exercise evaluation and the implementation of improvements is defined in the Homeland Security Exercise and Evaluation Program located at <https://hseep.dhs.gov>. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS.

Grant Close-Out Process. Within 90 days after the end of the period of performance, or after a Grant Adjustment Notice (GAN) has been issued to close out a grant, whichever comes first, grantees must submit a final FFR and final progress report detailing all accomplishments throughout the period of performance. After these reports have been reviewed and approved by FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR. The grantee is responsible for returning any funds that have been drawn down but remain as unliquidated on grantee financial records. Performance Progress Report (SF-PPR). Awardees are responsible for providing updated performance reports using the SF-PPR (OMB Approval Number 0970-0334) on a semi-annual basis. The SF-PPR is due within 30 days after the end of the reporting period (July 30 for the reporting period of January 1 through June 30; and January 30 for the reporting period of July 1 through December 31). The SF-PPR can be accessed online at http://www.na.fs.fed.us/fap/SF-PPR_Cover%20Sheet.pdf. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS.

Grant Close-Out Process. Within 90 days after the end of the period of performance, or after a Grant Adjustment Notice (GAN) has been issued to close out a grant, whichever comes first, grantees must submit a final FFR and final progress report detailing all accomplishments throughout the period of performance. After these reports have been reviewed and approved by FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR. The grantee is responsible for returning any funds that have been drawn down but remain as unliquidated on

grantee financial records. Grant recipients will be monitored on an annual and as needed basis by recipients will be monitored on an annual and as needed basis by FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met.

Monitoring may be accomplished through either a desk-based review or on-site monitoring visits, or both. Monitoring will involve the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions and other support may be needed.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-402.

Obligations:

(Cooperative Agreements) FY 16 \$100,000,000; FY 17 est \$100,000,000; and FY 18 est \$100,000,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The PSGP provided \$100,000,000 for transportation infrastructure security activities to implement Area Maritime Transportation Security Plans (AMSPs) and facility security plans among port authorities, facility operators, and state and local government agencies required to provide port security services. The purpose of the FY 2016 PSGP is to competitively award grant funding to support increased port-wide risk management; enhance domain awareness; conduct training and exercises; expand of port recovery and resiliency capabilities; further capabilities to prevent, detect, respond to, and recover from attacks involving improvised explosive devices (IEDs) and other non-conventional weapons. These activities will assist ports in the implementation of the NPS by supporting the building, sustainment, and delivery of core capabilities essential to achieving the Goal of a secure and resilient Nation. Fiscal Year 2017: The PSGP will provide \$100,000,000 for transportation infrastructure security activities to implement Area Maritime Transportation Security Plans (AMSPs) and facility security plans among port authorities, facility operators, and state and local government agencies required to provide port security services. The purpose of the FY 2017 PSGP will competitively award grant funding to support increased port-wide risk management; enhance domain awareness; conduct training and exercises; expand of port recovery and resiliency capabilities; further capabilities to prevent, detect, respond to, and recover from attacks involving improvised explosive devices (IEDs) and other non-conventional weapons. These activities will assist ports in the implementation of the NPS by supporting the building, sustainment, and delivery of core capabilities essential to achieving the Goal of a secure and resilient Nation. Fiscal Year 2018: It is expected that funds will be appropriated and allocated to the PSGP program to support increased port-wide

risk management; enhance domain awareness; conduct training and exercises; expand of port recovery and resiliency capabilities; further capabilities to prevent, detect, respond to, and recover from attacks involving improvised explosive devices (IEDs) and other non-conventional weapons. These activities will assist ports in the implementation of the NPS by supporting the building, sustainment, and delivery of core capabilities essential to achieving the Goal of a secure and resilient Nation.

REGULATIONS, GUIDELINES, AND LITERATURE:

The FY 2017 Port Security Grant Program Notice of Funding Opportunity Announcement (NOFO).

Regional or Local Office:

None.

Headquarters Office:

Grant Programs Directorate 400 C Street, Washington, District of Columbia 20472-3615 Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov/government/grant/index.shtm>

RELATED PROGRAMS:

97.075 Rail and Transit Security Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Following the field review, a National Review Panel (NRP), comprised of subject matter experts drawn from DHS and DOT, will convene and conduct a national level review. The purpose of the National Review is to identify a final, prioritized list of eligible projects for funding. The NRP will conduct an initial review of the prioritized project listings for each port area submitted by the USCGs COTP to ensure that the proposed projects will accomplish intended risk mitigation goals. The NRP will validate and normalize the COTP Field Review Project Priority List and provide a master list of prioritized projects by port area.

The NRP will have the ability to recommend partial funding for individual projects and eliminate others that are determined to be duplicative or require a sustained Federal commitment to fully realize the intended risk mitigation. The NRP will also validate proposed project costs. Decisions to reduce requested funding amounts or eliminate requested items deemed inappropriate under the scope of the FY 2017 PSGP will take into consideration the ability of the revised project to address the intended national port security priorities and achieve the intended risk mitigation goal. Historically, PSGP has placed a high priority on providing full project funding rather than partial funding.

A risk-based analysis will then be applied to the NRPs prioritized list for each port area in all groups. This analysis considers the following factors to produce a comprehensive national priority ranking of port security proposals:

- Relationship of the project to one or more of the national port security priorities
- Relationship of the project to the local port security priorities
- COTP ranking (based on each COTPs prioritized list of projects)
- Risk level of the port area in which the project would be located (based on a comprehensive risk analysis performed by DHS)
- Effectiveness and feasibility of project to be completed in support of above priorities during the period of performance

The NRP will be asked to evaluate and validate the consolidated and ranked project list and submit their recommendations to FEMA. The NRP may request additional information or clarification from applicants. The Secretary of Homeland Security will have the final approval authority on all projects.

FEMA may place minimum project effectiveness limit on all projects submitted. Projects failing to meet the minimum level of effectiveness may not be considered for funding.

Funds will not be made available for obligation, expenditure, or drawdown until

the applicants detailed budget and budget narrative have been approved by FEMA.

The applicant must provide a detailed budget for the funds requested. The detailed budget must be submitted with the grant application as a file attachment within ND Grants. The budget must be complete, reasonable, and cost-effective in relation to the proposed project. The budget must provide the basis of computation of all project-related costs, any appropriate narrative, and a detailed justification of M&A costs.

The NRP will have the ability to recommend partial funding for individual projects and eliminate others that are determined to be duplicative or require a sustained Federal commitment to fully realize the intended risk mitigation. The NRP will also validate proposed project costs. Decisions to reduce requested funding amounts or eliminate requested items deemed inappropriate under the scope of the FY 2015 PSGP will take into consideration the ability of the revised project to address the intended national port security priorities and achieve the intended risk mitigation goal. Historically, PSGP has placed a high priority on providing full project funding rather than partial funding.

A risk-based analysis will then be applied to the NRPs prioritized list for each port area in all groups. This analysis considers the following factors to produce a comprehensive national priority ranking of port security proposals:

- Relationship of the project to one or more of the national port security priorities
- Relationship of the project to the local port security priorities
- COTP ranking (based on each COTPs prioritized list of projects)
- Risk level of the port area in which the project would be located (based on a comprehensive risk analysis performed by DHS)
- Effectiveness and feasibility of project to be completed in support of above priorities during the period of performance

The NRP will be asked to evaluate and validate the consolidated and ranked project list and submit their recommendations to FEMA. The NRP may request additional information or clarification from applicants. The Secretary of Homeland Security will have the final approval authority on all projects.

FEMA may place minimum project effectiveness limit on all projects submitted. Projects failing to meet the minimum level of effectiveness may not be considered for funding.

Funds will not be made available for obligation, expenditure, or drawdown until the applicants detailed budget and budget narrative have been approved by FEMA.

The applicant must provide a detailed budget for the funds requested. The detailed budget must be submitted with the grant application as a file attachment within ND Grants. The budget must be complete, reasonable, and cost-effective in relation to the proposed project. The budget must provide the basis of computation of all project-related costs, any appropriate narrative, and a detailed justification of M&A costs.

97.057 INTERCITY BUS SECURITY GRANTS

Intercity Bus Security

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act, 2017, Public Law 110-53, 6 U.S.C 1182; 1531 of the Implementing Recommendations of the 9/11 Commission Act of 2007, Public Law 110-53, 6 U.S.C 1531.

OBJECTIVES:

The Fiscal Year (FY) 2017 Intercity Bus Security Grant Program (IBSGP) is one of DHS/FEMAs FY 2017 grant programs that directly support transportation infrastructure security activities. IBSGP is one tool in the comprehensive set of measures authorized by Congress and implemented by the

Administration to strengthen the Nations critical infrastructure against risks associated with potential terrorist attacks. IBSGP provides funding for critical infrastructure hardening and other physical security enhancements to support transit operators serving the Nations highest-risk metropolitan areas. Among the five basic homeland security missions noted in the DHS Quadrennial Homeland Security Review, The IBSGP supports the goal to Strengthen National Preparedness and Resilience.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The FY 2017 IBSGP objectives are to support efforts that:

- Build and sustain core capabilities
- Strengthen regional security cooperation
- Encourage a whole community approach to security and emergency management

For additional information on program priorities and objectives for the FY 2017 IBSGP, refer to Appendix B FY 2017 IBSGP Priorities.

Applicant Eligibility:

This program is limited to applicants meeting one or both of the following criteria: (1) own/operate a fixed-route intercity bus service using over-the-road buses and providing services to a defined Urban Area Security Initiative (UASI) jurisdiction; or (2) own/operate a charter bus service using over-the-road buses providing a minimum of 50 trips annually to a defined UASI jurisdiction.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

This program is limited to applicants meeting one or both of the following criteria: (1) own/operate a fixed-route intercity bus service using over-the-road buses and providing services to a defined Urban Area Security Initiative (UASI) jurisdiction; or (2) own/operate a charter bus service using over-the-road buses providing a minimum of 50 trips annually to a defined UASI jurisdiction. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the FY 2016 IBSGP Notice of Funding Opportunity (NOFO).

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 25%.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Categorical Assistance Progress Reports (CAPR) are required semi-annually and are due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final CAPR and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-402.

Obligations:

(Project Grants) FY 16 \$3,000,000; FY 17 est \$2,000,000; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Fiscal Year 2016: There are currently no proposed changes to program or outcome. Fiscal Year 2017: It is expected that IBSSG funds will be awarded to support efforts that build and sustain core capabilities, strengthen the Nations critical infrastructure hardening and other physical security enhancements to support transit operators serving the Nations highest-risk metropolitan areas. Fiscal Year 2018: It is expected that funds will be appropriated and allocated to this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

For information on requirements and guidance please refer to the current FY Intercity Bus Security Grants Program Guide and Application Kit.

Regional or Local Office:

None.

Headquarters Office:

Grant Programs Directorate, FEMA 400 C Street, SW, 3rd floor north, Control Desk, Washington, District of Columbia 20472-3635 Email: askesid@dhs.gov Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.056 Port Security Grant Program ; 97.075 Rail and Transit Security Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.061 CENTERS FOR HOMELAND SECURITY (COE)**FEDERAL AGENCY:**

Science and Technology (S&T), Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Section 1205, Public Law 107-296, 6 U.S.C 188(b).

OBJECTIVES:

Sponsored by the DHS Office of University Programs, the Centers of Excellence perform research and development activities to provide critical homeland security tools, technologies, training, and talent. The Centers of Excellence (COE) network is an extended consortium of hundreds of universities generating ground-breaking ideas for new technologies and critical knowledge. All Centers of Excellence work closely with academia, industry, DHS components and first-responders to develop customer-driven research solutions to on the ground challenges as well as provide essential training to the next generation of homeland security experts. The research portfolio is a mix of basic and applied research addressing both short and long-term needs. The COE extended network is also available for rapid response efforts.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Proposals must be submitted by an accredited U.S. institution of higher education that, along with its chosen partners, has the ability and capacity to conduct the required research. An accredited public and private institution of higher education in the United States must be identified as the official lead for proposal submission and subsequent negotiations. COEs may partner with other academic institutions, historically black colleges and universities (HBCUs) and/or other MSIs and institutions in states that are part of the Experimental Program to Stimulate Competitive Research (EPSCoR), public or private sector, and non-profit organizations, including any organizations that meet the definition of nonprofit in OMB Circular A-122, relocated to 2 CFR Part 230. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. Partnering entities will generally be funded through subawards from the lead institutions. National laboratories funded by Federal agencies may not apply. Refer to Program Guidance.

Applicant Eligibility:

Eligible applicants are accredited U.S. institutions of higher education.

Beneficiary Eligibility:

Public and private colleges and universities.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff for eligibility and responsiveness. Applications will undergo merit review by a panel of subject matter experts which may include federal and non-federal reviewers. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or program guidance document.

Appeals:

None.

Renewals:

Renewals of grant awards are based on performance, program plan, and availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to announcement/program guidance or the award document.

Reports:

Refer to program guidance. Required to submit a Cash Transaction Report (SF 272) to the Department of Health and Human Services Division of Payment Management with a copy of the SF 272 to the DHS Grants Officer. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

(Salaries) FY 16 \$33,592,811; FY 17 est \$19,700,000; and FY 18 est \$25,400,000

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

70-0800.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Continuation application for 10 COEs (Two centers funded at less than \$1M per institution were not included on continuation application). Competition of 2 COEs. Fiscal Year 2017: Continuation Application of 6 COEs

OUP is currently not projecting to award a new COE while operating under the FY17 Presidents Budget of \$31M

Competition of 1 COE. Fiscal Year 2018: Continuation Application for 5 COEs Award 3 new COE (two awards were originally scheduled for FY17 award, but under FY17 Presidents Budget, OUP will not award until FY18) Competition of 1 COE.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, University Programs, S&T 245 Murray Lane, Building 410, Mail Stop 0205, Washington, District of Columbia 20523
Email: universityprograms@hq.dhs.gov Phone: (202) 254-8680

Website Address:

<http://www.hsuniversityprograms.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.062 SCIENTIFIC LEADERSHIP AWARDS**FEDERAL AGENCY:**

Science and Technology (S&T), Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Section 1205, Public Law 107-296, 6 U.S.C 188(b).

OBJECTIVES:

The objectives of this program are: (1) increase the intellectual capacity, skills and talents, especially those of U.S. citizens in areas of relevance to homeland security; (2) to attract undergraduate and graduate students to obtain advanced degrees in disciplines of importance to homeland security; (3) provide advanced professional and research development opportunities for fellows, scholars and faculty in targeted areas; and (4) to increase the diversity of and highly talented cadre of new and emerging science and technology expertise working in areas of importance and need to homeland security.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; Project Grants

USES AND USE RESTRICTIONS:

Support is provided to Minority Serving Institutions (MSIs) to develop capacity in HS-STEM and provide financial support and career guidance to students. See announcement.

Applicant Eligibility:

US accredited MSIs are eligible to apply. Student recipients must be U.S. citizens studying in one of the following areas including: computer science, engineering, life sciences, math, physical sciences, psychology, social sciences. Students must have career and employment goals aligned with the mission and objectives of the U.S. Department of Homeland Security.

Beneficiary Eligibility:

Undergraduate Student, Graduate Student, and Minority Serving Institution Faculty.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications are reviewed by subject matter experts (SMEs). Awards are made based on agency priorities and available funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Refer to program guidance. Refer to program guidance. Yearly progress reports and a final report are required. Refer to program guidance. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

(Project Grants) FY 16 \$3,400,000; FY 17 est \$3,396,347; and FY 18 est \$3,500,000

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0800.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Four to six universities will receive awards. Currently in the process of finalizing awards. Fiscal Year 2017: Four to six universities will receive continuation awards. Fiscal Year 2018: Four to six universities will receive awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

DHS, Office of University Programs, S&T 245 Murray Lane, Building 410, Mail Stop 0217, Washington, District of Columbia 20523 Email: universityprograms@dhs.gov Phone: (202) 254-5695

Website Address:

<http://www.hsuniversityprograms.org>

RELATED PROGRAMS:

97.104 Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.067 HOMELAND SECURITY GRANT PROGRAM

(HSGP)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; Department of Homeland Security Appropriations Act of 2017, Public Law 114-4.

OBJECTIVES:

The purpose of the Fiscal Year (FY) 2017 HSGP is to support state, local and tribal efforts to prevent terrorism and other catastrophic events and to prepare the Nation for the threats and hazards that pose the greatest risk to the security of the United States. References to these priorities can be found throughout this document. The FY 2017 HSGP provides funding to implement investments that enhance terrorism preparedness and serve to build, sustain, and deliver the 32 core capabilities essential to achieving the National Preparedness Goal (the Goal) of a secure and resilient Nation. The building, sustainment, and delivery of these core capabilities are not exclusive to any single level of government, organization, or community, but rather, require the combined effort of the whole community, inclusive of children, individuals with disabilities and others with access and functional needs, diverse communities, and people with limited English proficiency. The FY 2017 HSGP supports the core capabilities across the five mission areas of Prevention, Protection, Mitigation, Response, and Recovery based on allowable costs. The FY 2017 HSGP will provide federal funds to assist state, local, tribal, and territorial agencies to obtain the resources required to support implementation of the National Preparedness System (NPS) and the Goal of a secure and resilient Nation.

Among the five basic homeland security missions noted in the DHS Quadrennial Homeland Security Review, HSGP supports the goal to Strengthen National Preparedness and Resilience.

HSGP is comprised of three grant programs:

State Homeland Security Program (SHSP)

Urban Area Security Initiative (UASI)

Operation Stonegarden (OPSG)

Together, these grant programs fund a range of activities, including planning, organization, equipment purchase, training, exercises, and management and administration across all core capabilities and mission areas.

Objectives

State Homeland Security Program (SHSP): The SHSP assists state, tribal, territorial, and local preparedness activities that address high-priority preparedness gaps across all core capabilities that support terrorism preparedness. All supported investments are based on capability targets and gaps identified during the Threat and Hazard Identification and Risk Assessment (THIRA) process, and assessed in the State Preparedness Report (SPR).

Urban Area Security Initiative (UASI): The UASI program assists

high-threat, high-density Urban Areas in efforts to build, sustain, and deliver the capabilities necessary to prevent, protect against, mitigate, respond to, and recover from acts of terrorism.

Operation Stonegarden (OPSG): The OPSG Program supports enhanced cooperation and coordination among Customs and Border Protection (CBP), United States Border Patrol (USBP), and Federal, state, local, tribal, and territorial law enforcement agencies. The OPSG Program provides funding to support joint efforts to secure the United States borders along routes of ingress from international borders to include travel corridors in states bordering Mexico and Canada, as well as states and territories with international water borders.

All three programs are risk-driven, capabilities-based and outline high-priority needs relating to terrorism preparedness. For these programs to be effective, government officials and elected leaders, working with the whole community, must consider how to sustain current capability levels, while also addressing potential gaps.

Priorities

The Goal defines what it means for the whole community to be prepared for all types of disasters and emergencies. The NPS is the instrument the Nation employs to build, sustain, and deliver core capabilities in order to achieve the Goal of a secure and resilient Nation. Complex and far-reaching threats and hazards require a collaborative and whole community approach to national preparedness that engages individuals, families, communities, private and nonprofit sectors, faith-based organizations, and all levels of government. The guidance, programs, processes, and systems that support each component of the NPS allows for the integration of preparedness efforts that build, sustain, and deliver core capabilities and achieve the desired outcomes identified in the Goal.

DHS/FEMA publishes the annual National Preparedness Report (NPR) to communicate progress in building, sustaining, and delivering the core capabilities outlined in the Goal. This analysis provides a National perspective on critical preparedness trends for whole community partners to use to inform program priorities, allocate resources, and communicate with stakeholders about issues of shared concern. The NPR can be found at <http://www.fema.gov/national-preparedness-report>.

In developing applications for the FY 2017 HSGP, recipients should consider funding projects that address core capability gaps within the NPR national areas for improvement that relate to terrorism preparedness, including:

- Cybersecurity;
- Infrastructure Systems;
- Economic Recovery;
- Housing; and
- Natural and Cultural Resources.

In addition, DHS/FEMA requires recipients to prioritize investments that address capability targets and gaps identified through the annual THIRA and SPR process. These assessments set capability targets and measure current ability to meet those targets.

Minimum funding amounts are not prescribed by the DHS for these capability targets and gaps; however, recipients must support state, local, tribal, regional, and national efforts in achieving the desired outcomes of these priorities. Grant funds must clearly support resources the recipients need to achieve the THIRA targets and close capability gaps. Appendix B-Program Priorities addresses additional areas where funding can be applied to strengthen preparedness efforts.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

DHS grant funds may only be used for the purpose set forth in the grant, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any

other government entity. Pre-award costs are allowable only with the written consent of DHS and if they are included in the award agreement. Although no longer funded as discrete grant programs, all activities and costs allowed under the FY 2010 Buffer Zone Protection Program (BZPP), FY 2010 Interoperable Emergency Communications Grant Program (IECGP), FY 2011 Citizen Corps Program (CCP), FY 2011 Drivers License Security Grant Program (DLSGP), and FY 2011 Metropolitan Medical Response System (MMRS) grant program are allowable and encouraged activities and costs under the FY 2017 HSGP. For additional information on allowable activities, please refer to <http://www.fema.gov/grants>.

Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. There may be limitations on the use of HSGP and THSGP funds for the following categories of costs:

- Management and Administration
- Planning
- Organization
- Equipment
- Training
- Exercises
- Maintenance and Sustainment
- Critical Emergency Supplies
- Construction and Renovation

For additional details on restrictions on the use of funds, please refer to the FY 2017 HSGP and THSGP Notice of Funding Opportunity. THSGP grantees may only fund investments that were included in the FY 2017 Investment Justifications (IJs) that were submitted to FEMA and evaluated through the peer review process. Funds Transfer Restriction: The recipient is prohibited from transferring funds between programs (includes SHSP, UASI, and OPSG). Recipients are allowed to submit an investment/project where funds come from multiple funding sources (i.e., SHSP/UASI); however, recipients are not allowed to divert funding from one program to another due to the risk-based funding allocations, which were made at the discretion of DHS/FEMA.

Awards made to the SAA for HSGP carry additional pass-through requirements. Pass through is defined as an obligation on the part of the SAA to make funds available to local units of government, combinations of local units, tribal governments, or other specific groups or organizations. The SAAs pass through requirement must be met within 45 days of the award date. Four requirements must be met to pass through grant funds:

The award must be some action to establish a firm commitment on the part of the SAA;

The action must be unconditional on the part of the awarding entity (i.e., no contingencies for availability of SAA funds);

There must be documentary evidence (i.e., award document, terms and conditions) of the commitment; and

The award terms must be communicated to the official recipient

The SAA must obligate at least 80 percent (80%) of the funds awarded under SHSP and UASI to local or Tribal units of government within 45 days of receipt of the funds. Receipt of funds occurs when the recipient accepts the award or 15 days after the recipient is notified of the award, whichever comes first. The signatory authority of the SAA must certify in writing to DHS/FEMA that pass-through requirements have been met. A letter of intent (or equivalent) to distribute funds is not considered sufficient. The pass through requirement does not apply to SHSP awards made to the District of Columbia, Guam, American Samoa, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands. The Commonwealth of Puerto Rico is required to comply with the pass-through requirement and its SAA must also obligate at least 80 percent (80%) of the funds to local units of government within 45 days of receipt of the funds. Any UASI funds retained by the SAA must be used to directly support the designated Urban Areas in the state. The SAA must propose an investment describing how such UASI funds it retains will be used to directly support the Urban Area.

Under SHSP, the SAA may retain more than 20 percent (20%) of SHSP funding for expenditure made by the state on behalf of the local unit(s) of government. This may occur only with the written consent of the local unit of government, specifying the amount of funds to be retained and the intended use of funds. If a written consent agreement is already in place from previous fiscal

years, DHS/FEMA will continue to recognize it for FY 2017. If modifications to the existing agreement are necessary, the SAA should contact their assigned Headquarters Program Analyst.

Per section 2006 of the Homeland Security Act of 2002, as amended, (6 U.S.C. 607), DHS/FEMA is required to ensure that at least 25 percent (25%) of grant funding appropriated for the Homeland Security Grant Program and Tribal Homeland Security Grant Program are used for law enforcement terrorism prevention activities. DHS/FEMA meets this requirement, in part, by requiring all SHSP and UASI recipients to ensure that at least 25 percent (25%) of the combined HSGP funds allocated under SHSP and UASI are dedicated towards law enforcement terrorism prevention activities, as defined in 6 U.S.C. 607. The LETPA allocation can be from SHSP, UASI or both. This requirement does not include award funds from OPSG. The 25% LETPA allocation is in addition to the 80% pass through requirement to local units of government and Tribes.

The recipient must pass through 100 percent (100%) of OPSG allocations to eligible jurisdictions. The recipient is prohibited from obligating or expending funds provided through this award until each unique and specific county-level or equivalent Operational Order/Fragmentary Operations Order budget has been reviewed and approved through an official electronic mail notice issued by DHS/FEMA removing this special programmatic condition. Eligible sub-recipients under the FY 2017 OPSG Program are local units of government at the county level and Federally-recognized Tribal governments in states bordering Canada, states bordering Mexico, and states and territories with international water borders. All applicants must have active ongoing USBP operations coordinated through a CBP sector office to be eligible for OPSG funding.

As part of the FY 2017 OPSG application process, each eligible local unit of government at the county or Federally-recognized Tribal government level must develop their Operations Order in coordination with state and Federal law enforcement agencies, to include, but not limited to CBP/USBP, Operations Orders that are developed at the county level should be inclusive of city, county, Tribal, and other local law enforcement agencies that are eligible to participate in OPSG operational activities. The Operations Order should address this in the Executive Summary. Operations Order details should include the names of the agencies, points of contact, and individual funding requests. All applications must be developed in collaboration with the local USBP sector office, the SAA and the local unit of government. The sector office will then forward the application to the SAA for final review before submission to DHS/FEMA.

Applications will be reviewed by the SAA and USBP Sector Headquarters for completeness and adherence to programmatic guidelines and evaluated for anticipated feasibility, need, and impact of the Operations Orders.

DHS/FEMA will verify compliance with all administrative and eligibility criteria identified in the NOFO and required submission of Operations Orders and Inventory of Operations Orders by the established due dates. DHS/FEMA and USBP will use the results of both the risk analysis and the Federal review by DHS/FEMA to make recommendations for funding to the Secretary of Homeland Security.

FY 2017 OPSG funds will be allocated competitively based on risk-based prioritization using the USBP Sector-specific border risk methodology described above. Final funding allocations are determined by the Secretary, who may consider information and input from various law enforcement offices or subject matter experts within the Department. Factors considered include, but are not limited to: threat, vulnerability, miles of border, and other border-specific law enforcement intelligence, as well as feasibility of FY 2017 Operation Orders to designated localities within the United States Border States and territories.

Applicant Eligibility:

All 56 States, which includes any state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands, are eligible to apply for SHSP funds. For those states that are eligible for UASI and OPSG funding, the State Administrative Agency (SAA) is the only entity

eligible to submit applications to DHS/FEMA on behalf of UASI and OPSG applicants.

Beneficiary Eligibility:

THSGP: Native American Tribal Government (Federally recognized)

HSGP: States, territories and local governments

THSGP: In order to be eligible to receive THSGP funding, grantees must be considered directly eligible Tribes. Per the 9/11 Act, the term directly eligible Tribe means

(A) any Indian Tribe

(i) that is located in the continental United States;

(ii) that operates a law enforcement or emergency response agency with the capacity to respond to calls for law enforcement or emergency services;

(iii) a. that is located on or near (50 miles) an international border or a coastline bordering an ocean (including the Gulf of Mexico) or international waters (excluding shoreline border around the Great Lakes);

b. that is located within 10 miles of a system or asset included on the prioritized critical infrastructure list established under section 210E(a)(2) [please refer to section 1001 of the 9/11 Act] or has such a system or asset within its territory;

c. that is located within or contiguous to one of the 50 most populous metropolitan statistical areas in the United States; or

d. the jurisdiction of which includes not less than 1,000 square miles of Indian country, as that term is defined in section 1151 of title 18, United States Code; and

(iv) that certifies to the Secretary that a State has not provided funds under section 2003 [UASI] or 2004 [SHSP] to the Indian Tribe or consortium of Indian Tribes for the purpose for which direct funding is sought; and (B) a consortium of Indian Tribes, if each Tribe satisfies the requirements of subparagraph (A).

In summary, eligible Tribes must meet the requirements set forth in (A) (i), and (A) (ii), and (A) (iv). Tribes must also meet one of the requirements set forth in (A) (iii); either (A) (iii) (a), or (A) (iii) (b), or (A) (iii) (c), or (A) (iii) (d). Finally, (B) may also be satisfied, if each Tribe satisfies the requirements of subparagraph (A).

In FY 2012, applicants must self-certify as to whether they meet the eligibility requirements. Self-certification will be provided on the THSGP Eligibility Certification Form as part of Application Investment Justification. Any questions regarding an applicant's proximity to a Critical Infrastructure and Key Structures (CI/KR) site, as described in the eligibility criteria, may be directed to the State Administrative Agency (SAA). Any additional questions regarding the overall self-certification of eligibility process may be directed to the Centralized Scheduling and Information Desk (CSID). CSID can be reached by phone at (800) 368-6498 or by e-mail at askcsid@dhs.gov, Monday through Friday, 9:00 a.m. 5:30 p.m. EST.

Credentials/Documentation:

As part of the FY 2017 HSGP application process for SHSP and UASI funds, applicants must develop a formal IJ that addresses the proposed investments. Each IJ must demonstrate how proposed investments:

Address capability gaps identified as State priorities in the most recent SPR; Align to Urban Area, state, and/or regional THIRAs and national priorities, as outlined in the NPR; and

Engage and/or impact the whole community, including children, older adults, pregnant women, individuals with limited English proficiency, individuals with disabilities and others with access and functional needs.

Furthermore, the IJ must clearly identify and explain how the project will assist the applicant to achieve capability targets related to preventing, preparing for, protecting against, or responding to acts of terrorism.

Instructions for SHSP

Applicants must propose at least one and include up to 10 projects within each investment in their IJ to describe the activities they would plan to implement with SHSP funds.

Any projects not included in the application must be included in the first BSIR.

Of the proposed 10 investments, recipients using SHSP funds are required to propose at least one investment in support of a designated fusion center that will be funded by SHSP funds. Recipients must coordinate with the fusion center when developing a fusion center investment prior to submission.

Recipients investing in emergency communications must describe how activities align to their statewide Communication Interoperable Plan (SCIP). Recipients must coordinate with their statewide Interoperability Coordinator (SWIC) and/or statewide Interoperability Governance Body (SIGB) when developing an emergency communications investment prior to submission to ensure the project supports the statewide strategy to improve emergency communications and is compatible and interoperable with surrounding systems.

Instructions for UASI

Urban Areas must propose at least one and up to 10 projects within each investment in their IJ to describe the activities they are planning to implement with UASI funds.

Any projects not included in the application must be included in the first BSIR.

If applicable, Urban Areas are required to propose at least one investment in support of a designated fusion center within the Urban Area. Recipients must coordinate with the fusion center when developing a fusion center investment prior to submission.

If UASI funds are used by the SAA in support of the Urban Area, the SAA must, as part of the up to 10 investments, propose an investment describing how UASI funds will be used by the SAA to directly support the Urban Area. Recipients investing in emergency communications must describe how activities align to the SCIP. Recipients must coordinate with the statewide Interoperability Coordinator (SWIC) and/or statewide Interoperability Governance Body (SIGB) when developing an emergency communications investment prior to submission to ensure the project supports the statewide strategy to improve emergency communications and is compatible and interoperable with surrounding systems.

Instructions for OPSG

As part of the FY 2017 OPSG application process, each eligible local unit of government at the county or Federally-recognized Tribal government level must develop their Operations Order in coordination with state and Federal law enforcement agencies, to include, but not limited to CBP/USBP. Operations Orders that are developed at the county level should be inclusive of city, county, Tribal, and other local law enforcement agencies that are eligible to participate in OPSG operational activities. The Operations Order should address this in the Executive Summary. Operations Order details should include the names of the agencies, points of contact, and individual funding requests. All applications must be developed in collaboration with the local USBP sector office, the SAA and the local unit of government. The sector office will then forward the application to the SAA for final review before submission to DHS/FEMA. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All applications must be received by the established deadline. The Non-Disaster (ND) Grants System has a date stamp that indicates when an application is submitted. Applicants will receive an electronic message confirming receipt of the full application. In general, DHS/FEMA will not review applications that are not received by the deadline or consider them for funding. DHS/FEMA may, however, extend the application deadline on request for any applicant who can demonstrate that good cause exists to justify extending the deadline. Good cause for an extension may include technical problems outside of the applicants control that prevent submission of the application by the deadline, or other exigent or emergency circumstances. If you experience technical issues, you must notify the respective Headquarters Program Analyst as soon as possible.

Application forms and instructions are available at Grants.gov. To access these materials, go to <http://www.grants.gov>, select Applicants then Apply for Grants, read the registration requirements and register if necessary (Allow up

to 7-10 business days after you submit before your registration is active in SAM, then an additional 24 hours for Grants.gov to recognize your information). In order to obtain the application package select Download a Grant Application Package, enter the CFDA and/or the funding opportunity number located on the cover of this NOFO, select Download Package, and then follow the prompts to download the application package.

Award Procedure:

In FY 2017, the total amount of funds distributed under this grant program will be \$1,037,000,000. The specific information regarding funding allocations for the three HSGP programs are detailed below:

SHSP Allocations: FY 2017 SHSP funds will be allocated based on three factors: minimum amounts as legislatively mandated, DHS/FEMAs risk methodology, and anticipated effectiveness of proposed projects. Anticipated effectiveness is assessed based on the applicants description of how proposed projects, as outlined in the investment Justifications (IJs), align with state THIRA and SPR results.

Each state and territory will receive a minimum allocation under SHSP using thresholds established in the Homeland Security Act of 2002, as amended. All 50 States, the District of Columbia, and the Commonwealth of Puerto Rico will receive 0.35 percent of the total funds allocated for grants under Section 2003 and Section 2004 of the Homeland Security Act of 2002, as amended. Four territories (American Samoa, Guam, the Northern Mariana Islands, and the U.S. Virgin Islands) will receive a minimum allocation of 0.08 percent of the total funds allocated for grants under Section 2003 and 2004 of the Homeland Security Act of 2002, as amended.

UASI Allocations: FY 2017 UASI funds will be allocated based on DHS/FEMAs risk methodology and anticipated effectiveness of proposed projects. Anticipated effectiveness is assessed based on the applicants description of how proposed projects, as outlined in the IJs, align with the Urban Area THIRA. Eligible candidates for the FY 2017 UASI program have been determined through an analysis of relative risk of terrorism faced by the 100 most populous Metropolitan Statistical Areas (MSAs) in the United States, in accordance with the Homeland Security Act of 2002, as amended. Detailed information on MSAs is publicly available from the United States Census Bureau at <http://www.census.gov/population/www/metroareas/metrodef.html>. **OPSG Allocations:** The FY 2017 OPSG Risk Assessment is designed to identify the risk to border security and to assist with the distribution of funds for the grant program. Funding under OPSG is distributed based on the risk to the security of the border. Entities eligible for funding are the state, local and Tribal law enforcement agencies that are located along the border of the United States.

For the purposes of OPSG, risk is defined as the potential for an adverse outcome assessed as a function of threats, vulnerabilities, and consequences associated with an incident, event, or occurrence.

Based upon ongoing intelligence analysis and extensive security reviews, DHS/FEMA continues to focus the bulk of OPSG funds based upon risk analyses. The risk model used to allocate OPSG funds considers the potential risk that certain threats pose to border security and estimate the relative risk faced by a given area. In evaluating risk, DHS/FEMA considers the populations in a particular area that could be at risk, the concentration of people in the area, and specific characteristics of their location that might contribute to risk, such as Intelligence Community assessments of threat and the potential impacts that the threats pose to the security of the border area. For vulnerability and consequence, DHS/FEMA considers the expected impact and consequences of successful border events occurring in specific areas.

To determine the level of risk, two primary components are considered: **Threat:** natural or man-made occurrence, individual, entity, or action that has or indicates the potential to harm life, information, operations, the environment, and/or property. OPSG uses the effect of the threat to the border to evaluate consequence.

Vulnerability: characteristic of design, location, security posture, operation, or any combination thereof, that renders an asset, system, network, or entity susceptible to disruption, destruction, or exploitation.

Threat and vulnerability are evaluated by assigning each factor a value based on specific operational data from CBP Components. Threat components present in

each of the Sectors are used to determine the overall threat score. These components are:
Terrorism Criminal Aliens
Drug Trafficking Organizations Alien Smuggling Organizations.

Deadlines:

Jun 02, 2017 to Jun 22, 2017 Application Start Date: 06/02/2017
Application Submission Deadline Date: 06/22/2017 at 5:00 PM EDT
Anticipated Funding Selection Date: 09/01/2017 09/30/2017
Anticipated Award Date: 09/30/2017.

Range of Approval/Disapproval Time:

Announcement Notice of Funding Opportunity. Anticipated Funding Selection Date is 09/01/2017.FY 2017 SHSP and UASI are non-competitive programs. Funds for FY 2017 OPSG will be allocated competitively. This includes the use of risk-based prioritization using CBP Sector-specific border risk to include, but not limited to: threat, vulnerability, miles of border, and other border-specific law enforcement intelligence. Each applicants final funding allocation will be determined by using a combination of the results of the risk analysis and feasibility of the Operations Orders. The following process will be used to make awards under FY 2017 THSGP:

Applicants will self-certify as to tribal eligibility per the 9/11 Act; FEMA will verify compliance with all administrative and eligibility criteria identified in the application kit, to include the required submission of an IJ by the established due dates;
Eligible applications will be reviewed and scored through a peer review process to analyze the anticipated effectiveness of proposed Investments; and FEMA will use the results of the peer review effectiveness scores to make recommendations for funding to the Secretary of Homeland Security.

Appeals:

Other - Not Specified.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory Formula: FY 2017 SHSP funds will be allocated based on three factors: minimum amounts as legislatively mandated, DHS risk methodology, and anticipated effectiveness based on the strength of the Investment Justification (IJ). Each State and territory will receive a minimum allocation under SHSP using the thresholds established in the 9/11 Act. All 50 States, the District of Columbia, and Puerto Rico will receive 0.35 percent of the total funds allocated for grants under Section 2003 and Section 2004 of the Homeland Security Act of 2002, as amended by the 9/11 Act, for SHSP. Four territories (American Samoa, Guam, the Northern Mariana Islands, and the U.S. Virgin Islands) will receive a minimum allocation of 0.08 percent of the total funds allocated for grants under Section 2003 and 2004 of the Homeland Security Act of 2002, as amended by the 9/11 Act, for SHSP.

This program has no matching requirements. None.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Period of Performance is 36 months. For more information, refer to the FY 2017 HSGP and THSGP Funding Opportunity Announcement Notice of Funding Opportunity. Awards are subject to the Cash Management Act for payment and/or reimbursement of expenditures.

The period of performance outlined above support the effort to expedite the outlay of grant funding and provide economic stimulus. Agencies should request waivers sparingly, and they will be granted only due to compelling legal, policy, or operational challenges. See the following for information on how assistance is awarded/released: Based on project need.

Reports:

All successful applicants for all DHS grant and cooperative agreements are required to comply with DHS Standard Administrative Terms and Conditions available within Section 6.1.1 of <http://www.dhs.gov/xlibrary/assets/cfo-financial-management-policy-manual.pdf>. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant

activity occurs. Future awards and fund drawdowns may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS.

Grant Close-Out Process. DHS/FEMA will close out the grant award when it determines that all applicable administrative actions and all required work of the HSGP award have been completed by the recipient. This section summarizes the actions that the recipient must take to complete the closeout process in accordance with 2 C.F.R. 200.343 at the end of the grants period of performance or the issuance of a Grant Amendment Notice issued to close out the grant.

Within 90 days after the end of the period of performance, or after an amendment has been issued to close out a grant, whichever comes first, recipients must submit a final FFR and final progress report detailing all accomplishments and a qualitative summary of the impact of those accomplishments throughout the period of performance, as well as the following documentation:

- 1) Final request for payment, if applicable;
- 2) SF-425 Final Federal Financial Report;
- 3) SF-PPR Final Performance Progress Report;
- 4) A qualitative narrative summary on the impact of those accomplishments throughout the entire period of performance submitted to the respective Grant Programs Directorate (GPD) HQ Program Analyst in a Word document;
- 5) SF-428 Tangible Personal Property Report Inventory of all tangible personal property acquired using funds from this award.
- 6) Other documents required by program guidance or terms and conditions of the award.

If applicable, an inventory of all construction projects that used funds from this program has to be reported using the Real Property Status Report (Standard Form SF 429) available at http://www.whitehouse.gov/sites/default/files/omb/grants/approved_forms/sf-429.pdf.

Additionally, the recipient must liquidate all obligations incurred under the HSGP award no later than 90 calendar days after the end date of the period of performance or issuance of a Grant Amendment Notice that closes out the award, whichever comes first. Recipients who do not liquidate their obligations within this time period may have the costs of their unliquidated obligations disallowed. Recipients are also responsible for promptly returning to DHS/FEMA the balance of any funds that have been drawn down, but remain unliquidated.

After these reports have been reviewed and approved by DHS/FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds the recipient has not drawn down that will be deobligated, and address requirements for record retention, and disposition and reporting requirements for any equipment or real property purchased using THSGP grant funding.

In addition, any HSGP recipient that issues subawards to any subrecipient is responsible for closing out those subawards as described in 2 C.F.R. 200.343. HSGP recipients must ensure that they complete the closeout of their subawards in time to submit all necessary documentation and information to DHS/FEMA during the closeout of their own grant award. Recipients are responsible for providing updated performance reports using the SF-PPR on a biannual basis. Recipients must submit the cover page of the SF-PPR as an attachment to the ND Grants system. The SF-PPR can be accessed online at http://www.na.fs.fed.us/fap/SF-PPR_Cover%20Sheet.pdf. As part of the SF-PPR, recipients will be required to report on progress towards implementing the following performance measures:

For fusion centers, the achievement of capabilities and compliance with measurement requirements within the Maturation and Enhancement of State and Major Urban Area Fusion Centers priority through the annual Fusion Center Assessment Program managed by the DHS Office of Intelligence and Analysis (I&A) and reported to DHS/FEMA.State Preparedness Report (SPR)

Submittal. The SPR is an annual capability assessment. The Post-Katrina Emergency Management Reform Act of 2006 (PKEMRA) requires an SPR from any state/territory receiving Federal preparedness assistance administered by DHS/FEMA. Each state submits an annual SPR to DHS/FEMA. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS.

Grant Close-Out Process. DHS/FEMA will close out the grant award when it determines that all applicable administrative actions and all required work of the HSGP award have been completed by the recipient. This section summarizes the actions that the recipient must take to complete the closeout process in accordance with 2 C.F.R. 200.343 at the end of the grants period of performance or the issuance of a Grant Amendment Notice issued to close out the grant.

Within 90 days after the end of the period of performance, or after an amendment has been issued to close out a grant, whichever comes first, recipients must submit a final FFR and final progress report detailing all accomplishments and a qualitative summary of the impact of those accomplishments throughout the period of performance, as well as the following documentation:

- 1) Final request for payment, if applicable;
- 2) SF-425 Final Federal Financial Report;
- 3) SF-PPR Final Performance Progress Report;
- 4) A qualitative narrative summary on the impact of those accomplishments throughout the entire period of performance submitted to the respective Grant Programs Directorate (GPD) HQ Program Analyst in a Word document;
- 5) SF-428 Tangible Personal Property Report Inventory of all tangible personal property acquired using funds from this award.
- 6) Other documents required by program guidance or terms and conditions of the award.

If applicable, an inventory of all construction projects that used funds from this program has to be reported using the Real Property Status Report (Standard Form SF 429) available at http://www.whitehouse.gov/sites/default/files/omb/grants/approved_forms/sf-429.pdf.

Additionally, the recipient must liquidate all obligations incurred under the HSGP award no later than 90 calendar days after the end date of the period of performance or issuance of a Grant Amendment Notice that closes out the award, whichever comes first. Recipients who do not liquidate their obligations within this time period may have the costs of their unliquidated obligations disallowed. Recipients are also responsible for promptly returning to DHS/FEMA the balance of any funds that have been drawn down, but remain unliquidated.

After these reports have been reviewed and approved by DHS/FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds the recipient has not drawn down that will be deobligated, and address requirements for record retention, and disposition and reporting requirements for any equipment or real property purchased using THSGP grant funding.

In addition, any HSGP recipient that issues subawards to any subrecipient is responsible for closing out those subawards as described in 2 C.F.R. 200.343. HSGP recipients must ensure that they complete the closeout of their subawards in time to submit all necessary documentation and information to DHS/FEMA during the closeout of their own grant award. Recipients will be monitored on an annual and as needed basis by DHS/FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met.

Monitoring may be accomplished through desk-based reviews on-site monitoring visits, or both. Monitoring will involve the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions and other support may be needed.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Formula Grants) FY 16 \$1,037,000,000; FY 17 est \$1,037,000,000; and FY 18 est \$1,037,000,000 - FY 2017 : (SHSP) \$402,000,000 (UASD) \$580,000,000 (OPSG) \$55,000,000.

Range and Average of Financial Assistance:

For more information, refer to the FY 2017 HSGP and THSGP Notice of Funding Opportunity.

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In Fiscal year 2016, DHS provides \$1,037,000,000 to enhance the ability of states and territories to prevent, protect against, respond to, and recover from potential terrorist acts and other hazards. Fiscal Year 2017: It is expected that funds will be awarded to enhance the ability of states and territories to prevent, protect against, respond to and recover from potential terrorist acts and other hazards. Fiscal Year 2018: It is expected that funds will be appropriated and allocated to this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

FY 2017 HSGP Notice of Funding Opportunity.

Regional or Local Office:

See Regional Agency Offices. Additional information (Please include additional information, if applicable)
FEMA Regions may also provide fiscal support, including pre- and post-award administration and technical assistance, to the grant programs included in this solicitation.

Headquarters Office:

Grant Programs Directorate DHS/FEMA
400 C Street, SW
, Washington, District of Columbia 20472-3615 Phone: (1-800) 368-6498

Website Address:

<http://www.FEMA.gov/government/grant/index.shtm>

RELATED PROGRAMS:

97.008 Urban Areas Security Initiative ; 97.042 Emergency Management Performance Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

FY 2017 SHSP and UASI are non-competitive programs. For additional information on FY 2017 SHSP and UASI allocations, refer to the FY 2017 HSGP Notice of Funding Opportunity. Funds for FY 2017 OPSG will be allocated competitively. This includes the use of risk-based prioritization using CBP Sector-specific border risk to include, but not limited to: threat, vulnerability, miles of border, and other border-specific law enforcement intelligence. Each applicant's final funding allocation will be determined by using a combination of the results of the risk analysis and feasibility of the Operations Orders. In order to be eligible to receive THSGP funding, grantees must be considered directly eligible Tribes. Per the 9/11 Act, the term directly eligible Tribe means

(A) any Indian Tribe

(i) that is located in the continental United States;

(ii) that operates a law enforcement or emergency response agency with the capacity to respond to calls for law enforcement or emergency services;

(iii)

a. that is located on or near (50 miles) an international border or a coastline bordering an ocean (including the Gulf of Mexico) or international waters (excluding shoreline border around the Great Lakes);

b. that is located within 10 miles of a system or asset included on the prioritized critical infrastructure list established under section 210E(a)(2) [please refer to section 1001 of the 9/11 Act] or has such a system or asset within its territory;

c. that is located within or contiguous to one of the 50 most populous metropolitan statistical areas in the United States; or

d. the jurisdiction of which includes not less than 1,000 square miles of Indian country, as that term is defined in section 1151 of title 18, United States Code; and

(iv) that certifies to the Secretary that a State has not provided funds under section 2003 [UASI] or 2004 [SHSP] to the Indian Tribe or consortium of Indian Tribes for the purpose for which direct funding is sought; and

(B) a consortium of Indian Tribes, if each Tribe satisfies the requirements of subparagraph (A).

In summary, eligible Tribes must meet the requirements set forth in (A) (i), and (A) (ii), and (A) (iv). Tribes must also meet one of the requirements set forth in (A) (iii); either (A) (iii) (a), or (A) (iii) (b), or (A) (iii) (c), or (A) (iii) (d).

Finally, (B) may also be satisfied, if each Tribe satisfies the requirements of subparagraph (A).

In FY 2017, applicants must self-certify as to whether they meet the eligibility requirements. Self-certification will be provided on the THSGP Eligibility Certification Form as part of Application Investment Justification. Any questions regarding an applicant's proximity to a Critical Infrastructure and Key Structures (CI/KR) site, as described in the eligibility criteria, may be directed to the State Administrative Agency (SAA). Any additional questions regarding the overall self-certification of eligibility process may be directed to the CSID.

97.070 MAP MODERNIZATION MANAGEMENT SUPPORT

MMMS

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

The Flood Disaster Protection Act of 1973, as amended, 42 U.S.C 5121-5202; Housing and Urban Development Act of 1968 (also known as the National Flood Insurance Act of 1968), as amended, 42 U.S.C 4101; The National Flood Insurance Reform Act of 1994, Public Law 103-325, 42 U.S.C 4001.

OBJECTIVES:

To increase local involvement in, and ownership of, management of, the development and maintenance of flood hazard maps produced for the National Flood Insurance Program (NFIP).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funds are authorized to supplement, not supplant (replace), ongoing flood hazard mapping management efforts by the local, regional, or State agency.

Applicant Eligibility:

All States and Commonwealths (including the District of Columbia and territories and possessions of the United States), regional agencies, and communities may apply. All applicants must be communities participating and in good standing in the NFIP or agencies which service participating NFIP communities.

Beneficiary Eligibility:

State; local; U.S. Territory & Possession; other public institution/organization; small business; engineer/architect; builder, contractor, developer; land/property owner; general public.

Credentials/Documentation:

Proposed Business Plans must be pre-approved by the FEMA regional office and all approved activities must ultimately contribute to the achievement of four over-arching goals of the Map Modernization Program. Refer to program guidance document for further information.

All applicants must be communities participating and in good standing in the NFIP or agencies which service participating NFIP communities. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: There are no matching requirements; however, Regional Directos shall determine individual levels of funding based upon the activities proposed and the level of commitment shown in the Business Plans, and how these activities will contribute toward the overall goals of map modernization. In addition applicants must be legislatively, administratively, and technically capable of providing proposed products or services. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April

30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-5464-0-2-453.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Program not funded in Fiscal Year 2009. This program remains open due to open awards.

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

As this program will not be funded in FY2010, annual program guidance is not available.

Regional or Local Office:

See Regional Agency Offices. FEMA Regional Offices will manage all program activities under the MMMS initiative. See Appendix IV of the Catalog.

Headquarters Office:

Federal Emergency Management Agency (FEMA) 245 Murray Lane Building #410, Washington, District of Columbia 20523 Phone: 1-800-621-3363

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

97.045 Cooperating Technical Partners

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.075 RAIL AND TRANSIT SECURITY GRANT PROGRAM

TSGP/IPR (AMTRAK)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Implementing Recommendations of the 9/11 Commission Act of 2007, Section

1406, Public Law 110-53, 6 U.S.C 1135.

OBJECTIVES:

The FY 2017 TSGP and IPR Program play an important role in the implementation of Presidential Policy Directive 8 (PPD-8) by supporting the development and sustainment of core capabilities. Core capabilities are essential for the execution of each of the five mission areas outlined in the National Preparedness Goal (NPG). The development and sustainment of these core capabilities are not exclusive to any single level of government or organization, but rather require the combined effort of the whole community. The FY 2017 TSGP and IPR Program support all core capabilities in the Prevention, Protection, Mitigation, Response, and Recovery mission areas based on allowable costs. Examples of tangible outcomes from the TSGP and IPR Program include building and sustaining emergency management capabilities through operational activities, operational packages (OPacks), Top Transit Asset List (TTAL) remediation; protection of high risk/high consequence underwater and underground rail assets; planning; use of visible, unpredictable deterrence; emergency preparedness drills and exercises; public awareness and preparedness campaigns; and protection of other high risk, high consequences areas or systems that have been identified through system wide risk assessments.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The TSGP and IPR Program are two of the Department of Homeland Security's FY 2017 grant programs which directly support transportation infrastructure security activities. These grant programs are part of a comprehensive set of measures authorized by Congress and implemented by the Department of Homeland Security to strengthen the Nations critical infrastructure against risks associated with potential terrorist attacks. TSGP provides funds to the Nations High-Threat Urban Areas for enhancement of security measures at critical transit infrastructure including bus, ferry, and rail systems. The intent of the FY 2017 TSGP is to competitively award grant funding to assist transit systems in obtaining the resources required to support the NPGs associated mission areas and core capabilities. IPR Program provides funds to Amtrak to develop security enhancements for eligible intercity passenger rail operations. The intent of the FY 2017 IPR is to grant funding to assist the Amtrak rail system in obtaining the resources required to support the NPGs associated mission areas and core capabilities. DHS grant funds may only be used for the purpose set forth in the grant, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any other government entity. Pre-award costs are allowable only with the written consent of DHS and if they are included in the award agreement. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. In accordance with Homeland Security Presidential Directive (HSPD)-5, Management of Domestic Incidents, the adoption of NIMS is a requirement to receive Federal preparedness assistance, through grants, contracts, and other activities. Federal NIMS implementation must be considered prior to allocation of any Federal preparedness awards in FY 2017. The list of objectives against which progress and achievement are assessed and reported can be found at <http://www.fema.gov/emergency/nims/ImplementationGuidanceStakeholders.shtm#item2>. The primary grantee/administrator of FY 2017 TSGP award funds is responsible for determining if sub-awardees have demonstrated sufficient progress in NIMS implementation to disburse awards. Yes, in TSGP. Refer to the FY 2017 TSGP Notice of Funding Opportunity (NOFO) for more information.

Applicant Eligibility:

Agencies eligible for FY 2017 TSGP are determined based upon daily unlinked passenger trips (ridership) and transit systems that serve historically eligible Urban Area Security Initiative (UASI) jurisdictions. Certain ferry systems are eligible to participate in the FY 2017 TSGP and receive funds. However, any ferry system electing to participate and receive funds under the FY 2017 TSGP will not be eligible to participate under the FY 2017 Port Security Grant

Program (PSGP) and will not be considered for funding under the FY 2017 PSGP. Likewise, any ferry system that participates in the PSGP will not be eligible for funding under the TSGP. The 9/11 Act requires that high risk public transportation agencies, including those that receive grant funding, must develop a security plan based on a security assessment. Additionally, the legislation directs that grant funds be used to address items in the security assessment or the security plan. In order to be eligible for the FY 2017 TSGP, transit agencies must have developed and/or updated their security plan, which must be based on a security assessment such as the Baseline Assessment and Security Enhancement (BASE) performed by Transportation Security Inspectors-Surface from the Transportation Security Administration (TSA), within the last three years. A copy of the Security Plan and security assessment must be available for DHS review upon request. Entities providing transit security (e.g., city/county police department, transit agency's own police department) for a transit agency must approve the security plan. The signature of a responsible official from the agency's transit security provider serves as this approval. If there is more than one provider in the core service area, all transit security providers must review and concur with the plan. Associated documentation of this approval must be provided to DHS upon request. In addition, the agency's transit security provider is encouraged to review the Investment Justifications (IJ) prior to submission. Each Regional Transit Security Working Group (RTSWG) is required to develop and update at least every three years a Regional Transit Security Strategy (RTSS). As the owners and/or operators of infrastructure that is vital to the well being of the States and Urban Areas they serve, it is imperative that transit systems be incorporated into regional preparedness planning efforts. A copy of the Security Plan or security assessment must be available for DHS review upon request. The National Passenger Railroad Corporation (Amtrak) is the only entity eligible to apply for funding under FY 2017 IPR Program. For more information, refer to the FY 2017 TSGP and IPR Program Notice of Funding Opportunity (NOFO) National Incident Management System (NIMS) Implementation. In accordance with Homeland Security Presidential Directive (HSPD)-5, Management of Domestic Incidents, the adoption of NIMS is a requirement to receive Federal preparedness assistance, through grants, contracts, and other activities. Prior to allocation of any Federal preparedness awards in FY 2017, grantee must ensure compliance and/or alignment with the NIMS implementation plan. The list of objectives against which progress and achievement are assessed and reported can be found at <http://www.fema.gov/emergency/nims/ImplementationGuidanceStakeholders.shtm#item2>. The primary grantee/administrator of FY 2017 TSGP and IPR Program award funds is responsible for determining if sub-awardees have demonstrated sufficient progress in NIMS implementation to disburse awards.

Beneficiary Eligibility:

Specialized group; general public.

Credentials/Documentation:

In order to be eligible for the FY 2017 TSGP, transit agencies must have developed and/or updated their security plan, which must be based on a security assessment such as the Baseline Assessment and Security Enhancement (BASE) performed by Transportation Security Inspectors-Surface from the Transportation Security Administration (TSA), within the last three years. A copy of the Security Plan and security assessment must be available for DHS review upon request. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Yes for TSGP. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Agencies eligible for FY 2017 TSGP are determined based upon daily unlinked passenger trips (ridership) and transit systems that serve historically eligible Urban Area Security Initiative (UASI) jurisdictions. Certain ferry systems are eligible to participate in the FY 2017 TSGP and receive funds. However, any ferry system

electing to participate and receive funds under the FY 2017 TSGP will not be eligible to participate under the FY 2017 Port Security Grant Program (PSGP) and will not be considered for funding under the FY 2017 PSGP. Likewise, any ferry system that participates in the PSGP will not be eligible for funding under the TSGP. The 9/11 Act requires that high risk public transportation agencies, including those that receive grant funding, must develop a security plan based on a security assessment. Additionally, the legislation directs that grant funds be used to address items in the security assessment or the security plan. In order to be eligible for the FY 2017 TSGP, transit agencies must have developed and/or updated their security plan, which must be based on a security assessment such as the Baseline Assessment and Security Enhancement (BASE) performed by Transportation Security Inspectors-Surface from the Transportation Security Administration (TSA), within the last three years. A copy of the Security Plan and security assessment must be available for DHS review upon request. Entities providing transit security (e.g., city/county police department, transit agency's own police department) for a transit agency must approve the security plan. The signature of a responsible official from the agency's transit security provider serves as this approval. If there is more than one provider in the core service area, all transit security providers must review and concur with the plan. Associated documentation of this approval must be provided to DHS upon request. In addition, the agency's transit security provider is encouraged to review the Investment Justifications (IJ) prior to submission.

Each Regional Transit Security Working Group (RTSWG) is required to develop and update at least every three years a Regional Transit Security Strategy (RTSS). As the owners and/or operators of infrastructure that is vital to the well being of the States and Urban Areas they serve, it is imperative that transit systems be incorporated into regional preparedness planning efforts. A copy of the Security Plan or security assessment must be available for DHS review upon request.

The National Passenger Railroad Corporation (Amtrak) is the only entity eligible to apply for funding under FY 2017 IPR Program.

For more information, refer to the FY 2017 TSGP and IPR Program Notice of Funding Opportunity (NOFO)

Address to Request Application Package

FEMA makes all funding opportunities available on the Internet at <http://www.grants.gov>. If you experience difficulties accessing information or have any questions please call the Grants.gov customer support hotline at (800) 518-4726.

Application forms and instructions are available at Grants.gov. To access these materials, go to <http://www.grants.gov>, select Apply for Grants, then select the CFDA number (97.075) or the Notice of Funding Opportunity (NOFO) number (DHS XX-XXX-XXX-XX-XX) and Download Application Package. Enter the CFDA and/or the funding opportunity number located on the cover of this announcement. Select Download Application Package, and then follow the prompts to download the application package. To download the instructions, go to Download Application Package and select Instructions.

Content and Form of Application

Application via Grants.gov. All applicants must file their applications using the Administrations common electronic storefront <http://www.grants.gov>. Eligible grantees must apply for funding through this portal, accessible on the Internet at <http://www.grants.gov>.

The application must be started and submitted using grants.gov after Central Contractor Registration (CCR) is confirmed. The on-line application includes the following required form:

Standard Form 424, Application for Federal Assistance

Applying for FY 2017 TSGP and IPR Program funds require a two-step process. Step 1: initial submission to determine eligibility and Step 2: full application. Applicants are encouraged to initiate Step 1 as soon after the Notice of Funding Opportunity (NOFO) is published but no later than June 2, 2017. This involves submitting a complete Standard Form 424 to

www.grants.gov. The Standard Form 424 will be retrieved by ND Grants and the system will automatically populate the relevant data fields in the application. Successful completion of this step is necessary for FEMA to determine eligibility of the applicant. Late submissions to grants.gov to complete Step 1 could result in applicants missing the application deadline in Step 2. Once FEMA has determined an applicant to be eligible, applicants can proceed to Step 2 which involves submitting the full application package via the ND Grants system. The submission deadline for the full application package no later than 5:00 p.m. EDT, June 22, 2017

The application must be completed and final submission made through the ND Grants system located at <https://portal.fema.gov>. If you need assistance registering for the ND Grants system, please contact ndgrants@fema.gov or (800) 865-4076. Applicants are encouraged to begin their ND Grants registration at the time of solicitation to ensure they have adequate time to start and complete their application submission. Unless otherwise referenced, the ND Grants system includes the following required forms and submissions:

Standard Form 424A, Budget Information (Non-construction)
Standard Form 424B, Standard Assurances (Non-construction)
Standard Form 424C, Budget Information (Construction)
Standard Form 424D, Standard Assurances (Construction)
Standard Form LLL, Disclosure of Lobbying Activities (if the grantee has engaged or intends to engage in lobbying activities)
Grants.gov (GG) Lobbying Form, Certification Regarding Lobbying
FEMA Form 20-16C, Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements (available at: <http://fema.gov/library/viewRecord.do?id=2628>).
Investment Justification (OMB 1660-0112/FEMA Form 089-4)
Budget Detail Worksheet
RTSS Outline (for TSGP)

The program title listed in the CFDA is Rail and Transit Security Grant Program. The CFDA number is 97.075.

Dun and Bradstreet Data Universal Numbering System (DUNS) Number. The applicant must provide a DUNS number with their application. This number is a required field within <http://www.grants.gov> and for CCR. Organizations should verify that they have a DUNS number, or take the steps necessary to obtain one, as soon as possible. Applicants can receive a DUNS number at no cost by calling the dedicated toll-free DUNS Number request line at (866) 705-5711.

Valid CCR. The application process also involves an updated and current registration by the applicant, which must be confirmed at <http://www.ccr.gov>.

Applicants will obtain Notice of Funding Announcement (NOFO) Overviews and Full Announcement information from the grants.gov website where the full Notice of Funding Announcement (NOFO) is posted.

Award Procedure:

All successful applicants for all DHS grant and cooperative agreements are required to comply with DHS Standard Administrative Terms and Conditions available on page 6 of <http://www.dhs.gov/xlibrary/assets/cfo-financial-management-policy-manual.pdf>.

Upon approval of an application, the award will be made in the form of a grant. The date the approval of award is entered in the system is the award date. Notification of award approval is made through the ND Grants system through an automatic e-mail to the grantee point of contact listed in the initial application. Once an award has been approved and recorded in the system, a notice is sent to the authorized grant official. Follow the directions in the notification to accept your award documents. The authorized grant official should carefully read the award package for instructions on administering the grant and to learn more about the terms and conditions associated with responsibilities under Federal awards.

Deadlines:

Jun 02, 2017 to Jun 22, 2017 Application Start Date: 06/02/2017

Application Submission Deadline Date: 06/22/2017 at 5:00 PM EDT
Anticipated Funding Selection Date: 09/01/2017
Award Date: 09/30/2017.

Range of Approval/Disapproval Time:

Applying for FY 2017 TSGP and IPR Program funds require a two-step process. Step 1: initial submission to determine eligibility and Step 2: full application. Applicants are encouraged to initiate Step 1 immediately after the Notice of Funding Opportunity (NOFO) is published but no later than June 2, 2017. This involves submitting a complete Standard Form 424 to grants.gov. Successful completion of this step is necessary for FEMA to determine eligibility of the applicant. Late submissions of Step 1 to grants.gov could result in applicants missing the application deadline in Step 2. Once FEMA has determined an applicant to be eligible, applicants can proceed to Step 2 which involves submitting the full application package via the Non Disaster (ND) Grants system. The submission deadline for the full application package June 22, 2017.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of performance for TSGP is 36 months. The period of performance for the IPR Program is 36 months. Refer to FY 2017 TSGP or IPR Program Notice of Funding Opportunity (NOFO) for more information. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Projected Award Start Date(s): 09/01/2017

Projected Award End Date(s): 09/30/2017

Period of Performance: 36 months

The periods of performance outlined above support the effort to expedite the outlay of grant funding and provide economic stimulus. The grantee should request waivers sparingly, and they will be granted only due to compelling legal, policy, or operational challenges. For example, the grantee may request waivers from the deadlines outlined above for discretionary grant funds where adjusting the timeline for spending will constitute a verifiable legal breach of contract by the grantee with vendors or sub-recipients, or where a specific statute or regulation mandates an environmental review that cannot be completed within this timeframe or where other exceptional circumstances warrant a discrete waiver. See the following for information on how assistance is awarded/released: TSGP
Notification of award approval is made through the ND Grants system through an automatic email to the awardee point of contact (the authorized official) listed in the initial application. The award date for TSGP will be the date that FEMA approves the award. The awardee should follow the directions in the notification to confirm acceptance of the award.

Recipients must accept their awards no later than 90 days from the award date. The recipient shall notify the awarding agency of its intent to accept and proceed with work under the award through the ND Grants system. Instructions on accepting or declining an award in the ND Grants system, can be found in the ND Grants Grantee Training Manual. Funds will remain on hold until the recipient accepts the award through the ND Grants system and all other conditions of award have been satisfied, or the award is otherwise rescinded. Failure to accept the grant award within the 90 day timeframe may result in a loss of funds.

IPR (Amtrak)

In accordance with the 9/11 Act, all funds awarded to Amtrak under this program are transferred to Department of Transportation Federal Railroad Administration (DOT/FRA) within five (5) business days of award for

disbursal.

Grantees must accept their grant awards no later than 90 days from the award date. The grantee shall notify the awarding agency of its intent to accept and proceed with work under the award, or provide a written notice of intent to decline. Funds will remain on hold until the grantee accepts the award through official correspondence, e.g., written, electronic signature, signed letter or fax to GPD, and all other conditions of award have been satisfied, or the award is otherwise rescinded. Failure to accept the grant award within the 90 day timeframe may result in a loss of funds.

Reports:

Performance Progress Report (SF-PPR). Awardees are responsible for providing updated performance reports using the SF-PPR (OMB Approval Number 0970-0334) on a semi-annual basis. The SF-PPR is due within 30 days after the end of the reporting period (July 30 for the reporting period of January 1 through June 30; and January 30 for the reporting period of July 1 through December 31). Grantees should submit the SF-PPR as an attachment to the Non Disaster (ND) Grants system. The SF-PPR can be accessed online at http://www.na.fs.fed.us/fap/SF-PPR_Cover%20Sheet.pdf.

Exercise Evaluation and Improvement. Exercises implemented with grant funds should evaluate performance of the capabilities required to respond to the exercise scenario. Guidance related to exercise evaluation and the implementation of improvements is defined in the Homeland Security Exercise and Evaluation Program located at <https://hseep.dhs.gov>.

Monitoring. Grant recipients will be monitored periodically by FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met.

Monitoring may be accomplished through either a desk-based review or on-site monitoring visits, or both. Monitoring will involve the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions and other support may be needed. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS.

Grant Close-Out Process. Within 90 days after the end of the period of performance, or after a Grant Adjustment Notice (GAN) has been issued to close out a grant, whichever comes first, grantees must submit a final FFR and final progress report detailing all accomplishments throughout the period of performance. After these reports have been reviewed and approved by FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR. The grantee is responsible for returning any funds that have been drawn down but remain as unliquidated on grantee financial records. TSGP and IPR Program awardees are responsible for providing updated performance reports using the SF-PPR (OMB Approval Number 0970-0334) on a semi-annual basis. The SF-PPR is due within 30 days after the end of the reporting period (July 30 for the reporting period of January 1 through June 30; and January 30 for the reporting period of July 1 through December 31). The SF-PPR can be accessed online at http://www.na.fs.fed.us/fap/SF-PPR_Cover%20Sheet.pdf. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs

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Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Project Grants) FY 16 \$97,000,000; FY 17 est \$10,000,000; and FY 18 est \$10,000,000 - FY2016 - \$87,000,000 (TSGP); \$10,000,000 (IPR).

Range and Average of Financial Assistance:

For more information, refer to the FY 2017 TSGP and IPR Program Notice of Funding Opportunity (NOFO).

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Funding was awarded for this program. Fiscal Year 2017: It is expected that funding will be awarded to fund eligible publicly owned operators of public transportation systems (which include intra-city bus, commuter bus, ferries, and all forms of passenger rail) to protect critical surface transportation infrastructure and the traveling public from acts of terrorism and to increase the resilience of transit infrastructure. Fiscal Year 2018: It is expected that funds will be appropriated and allocated to this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

The FY 2017 Transit Security Grant Program (TSGP) Notice of Funding Opportunity Announcement (NOFO) and FY 2017 Intercity Passenger Rail Program (IPR) Notice of Funding Opportunity (NOFO).

All successful applicants for all DHS grant and cooperative agreements are required to comply with DHS Standard Administrative Terms and Conditions available within Section 6.1.1 of

<http://www.dhs.gov/xlibrary/assets/cfo-financial-management-policy-manual.pdf>.

Upon approval of an application, the award will be made in the form of a grant. The date the approval of award is entered in the system is the award date. Notification of award approval is made through the ND Grants system through an automatic e-mail to the grantee point of contact listed in the initial application. Once an award has been approved and recorded in the system, a notice is sent to the authorized grant official. Follow the directions in the notification to accept your award documents. The authorized grant official should carefully read the award package for instructions on administering the grant and to learn more about the terms and conditions associated with responsibilities under Federal awards.

Upon approval of an application, the award will be made in the form of a grant. The date the approval of award is entered in the system is the award date. Notification of award approval is made through the ND Grants system through an automatic e-mail to the grantee point of contact listed in the initial application. Once an award has been approved and recorded in the system, a notice is sent to the authorized grant official. Follow the directions in the notification to accept your award documents. The authorized grant official should carefully read the award package for instructions on administering the grant and to learn more about the terms and conditions associated with responsibilities under Federal awards.

Regional or Local Office:

None.

Headquarters Office:

Grant Programs Directorate DHS/FEMA/GPD
400 C Street SW
Level 3N, Washington, District of Columbia 20472-3615 Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov/government/grant/index.shtm>

RELATED PROGRAMS:

97.056 Port Security Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

TSGP grantees must comply with all administrative requirements described herein & 8213; including the submission of IIs, budgets, and other application materials as required. Having met all administrative requirements, the application will be scored based on a methodology that will ensure consistent and accurate evaluations of projects and proposals.

The following process will be used to make awards for the program:

DHS will verify compliance with each of the administrative and eligibility criteria identified in the application kit.

The Department will calculate a total score to aid with funding selections.

The total score will consist of four individual parts: an agency-based risk score, a score associated with an applications alignment to the funding priority areas, a score based on project risk mitigation, and the degree of regional collaboration.

Eligible applications will be reviewed by a panel to determine the risk mitigation and regional collaboration scores. This panel will consist of Federal officials from multiple government agencies that will review each application and score it based on the following criteria: cost effectiveness, feasibility, timelines, and sustainability without additional Federal funds.

FEMA and TSA will make recommendations for funding to the Secretary of Homeland Security based on the funding priorities and scoring methodology.

For IPR Program, based on previously cited statutory authorities, DHS has elected to enter into a cooperative agreement with Amtrak. The nature of the Federal involvement in the execution of this program may include joint participation in a project. Other examples of prospective substantial Federal involvement include the following:

Collaboration, participation, and/or intervention in any activity covered by the cooperative agreement

Approval from DHS prior to the selection of a new project or commencement of the next phase of an approved project

Authority for DHS to halt an activity if detailed performance specifications are not met

Authority for DHS to direct or redirect the scope of work of a project based on new circumstances

Authority for DHS to create a working group of key Federal and private sector stakeholders to provide guidance and coordination for transit security activities

Funds for both programs will not be made available for obligation, expenditure, or drawdown until the applicants budget and budget narrative have been approved by FEMA. The applicant must provide a detailed budget for the funds requested. The detailed budget must be submitted with the grant application as a file attachment within <http://www.grants.gov>. The budget must be complete, reasonable, and cost-effective in relation to the proposed project. The budget should provide the basis of computation of all project-related costs, any appropriate narrative, and a detailed justification of Management and Administration (M&A) costs.

97.076 CYBERTIPLINE

FEDERAL AGENCY:

U.S. Immigration and Customs Enforcement (ICE), Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act, Title II, Section 441 (4), Public Law 114-113.

OBJECTIVES:

This programs objectives are to provide cybersecurity and protection through investigative and forensics activities related to investigations of exploited children, promote awareness of the child pornography tip line, provide training to assist and respond appropriately and, in conjunction with other Federal, State and local law enforcement agencies, support the establishment and maintenance of a national resource center and clearinghouse dedicated to missing and exploited children issues. The Cyber Crimes Center (C3) specifically liaisons with non-profit organizations, other federal agencies and various state and local law enforcement in an effort to arrest and convict criminals of sexual crimes, rescue child victims, shut down cyber websites promoting these crimes and to assist in safeguarding cyberspace, our Nations people and their way of life.

These crimes represent an alternate type of terrorism that is inflicted upon our nation as a whole daily by domestic and foreign individuals and groups. The objective supports the mission areas of the PPD-8 as well as assessing the gaps in the Internet and is not restricted to any single level of government, law enforcement or organization, but use the combined efforts in security, prevention, and protection to ensure a safer, secure Internet and Homeland.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The CyberTipline award is designated for a private, nonprofit organization (NPO) which meets Congress objectives and programmatic criteria for preventing the abduction, abuse, and sexual exploitation of children; and/or assisting law enforcement agencies in the investigation and recovery of those children. Refer to program guidance.

Applicant Eligibility:

Nonprofits having a 501(c)(3), other than institutions of higher education.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

An annual appropriation is anticipated for this program.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on project need.

Reports:

Grantees must submit annual and final reports. Cash reports are not applicable. Grantees must submit annual and final reports. Refer to program guidance. See program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of three (3) years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0400-0-1-751.

Obligations:

(Project Grants) FY 16 \$305,000; FY 17 est \$305,000; and FY 18 est \$305,000

Range and Average of Financial Assistance:

Amount may vary.

TAFS Codes:

70-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The number of reports provided by the public, ESPs and

companies such as Facebook, Twitter and Google regarding child exploitation increased during FY2016 as more people and companies became aware of this format to provide information regarding these crimes. Reports generated also increased and continue to facilitate the abilities and actions of law enforcement and prosecutors and the capability to continue to be more effective in securing the internet, the homeland, the people and their way of life. Fiscal Year 2017: It is expected that the number of reports provided by the public, ESPs and companies such as Facebook, Twitter and Google regarding child exploitation will continue to increase during FY2017 as more people and companies become aware of this format to provide information regarding these crimes. We cant predict the percent of increase in the reports generated, but expect it will continue to be a significant increase. In turn the reports continue to facilitate the abilities and actions of law enforcement and prosecutors and the capability to continue to be more effective in securing the internet, the homeland, the people and their way of life. Fiscal Year 2018: It is expected that the number of reports provided by the public, ESPs and companies such as Facebook, Twitter and Google regarding child exploitation will continue to increase during FY2018 as more people and companies become aware of this format to provide information regarding these crimes. We cant predict the percent of increase in the reports generated, but expect it will continue to be a significant increase. In turn the reports continue to facilitate the abilities and actions of law enforcement and prosecutors and the capability to continue to be more effective in securing the internet, the homeland, the people and their way of life.

REGULATIONS, GUIDELINES, AND LITERATURE:

For additional information on program requirements and guidance, please contact the headquarters office at the address listed below.

Regional or Local Office:

None.

Headquarters Office:

Margie Jones 11320 Random Hills Rd., Suite 400, Fairfax, Virginia 22030
Email: Margie.m.jones@ice.dhs.gov Phone: (703) 293-9207

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

16.543 Missing Children's Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Application is reviewed by the DHS/ICE/HSI Cyber Crimes Center and subject matter experts. Application is evaluated according to the program objectives and goals established by Congress.

97.077 HOMELAND SECURITY RESEARCH, DEVELOPMENT, TESTING, EVALUATION, AND DEMONSTRATION OF TECHNOLOGIES RELATED TO NUCLEAR THREAT DETECTION FEDERAL AGENCY:

Domestic Nuclear Detection Office (DNDO), Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Section 1906, Public Law 107-296, 6 U.S.C 596.

OBJECTIVES:

Support the PPD-8 objective of prevention related to terrorist acts involving nuclear or radiological materials, or weapons using these materials. Support basic and applied research to develop new capabilities to combat the threat of nuclear terrorism, develop intellectual capital in fields relevant to nuclear threat detection and advance fundamental knowledge for nuclear detection and related sciences. The Academic Research Initiative (ARI) is intended to support research at accredited institutions of higher learning across the country, and the Exploratory Research Program supports research within industry and academia.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial assistance under the ARI may be used for the execution of research at accredited universities and colleges. Financial assistance under the ER may be used to sponsor research within industry and academia. Supported costs include: salaries, materials and supplies, equipment, travel, publication costs, subcontractor, supporting costs and overhead required for technical and other activities necessary to achieve research objectives. Restrictions on use of funds will be identified in the announcement, program guidance and award provisions. Refer to announcement, program guidance and award provisions.

Applicant Eligibility:

Eligible Applicants: The ARI is limited to State, public or private accredited institutions of higher education. The ER Program supports State, Public nonprofit institution/organizations; Private nonprofit institution/organizations; Small businesses; Profit organizations and Other private institution/organizations.

Beneficiary Eligibility:

ARI: State, public or private accredited institutions of higher education; Scientists/Researcher; Graduate Student; Education (13+). Exploratory Research: Public Nonprofit Institution/Organization; Small Business; Profit Organization; Private Organization; Other Private Institution/Organization; Scientists/Researcher; State, public or private accredited institutions of higher education.

SETCP: 10: Federal; 14 State; 15 Local; 20 public non-profit; 21 other; 22 Federally recognized Indian Tribal Governments; 31 Individual/Family; 35 profit organization; 36 private non-profit organizations.

Credentials/Documentation:

May be required by U.S. Statute, regulation, or as described in the program announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Proposals are submitted in response to announcements. Details of proposal review and selection criteria are contained within these announcements. ARI proposals are reviewed and recommended for award by panels of independent subject matter experts. ER proposals are reviewed and recommended for award by DHS program and administrative staff. Upon source selection, awards are issued by the National Science Foundation (for ARI) or DHS (for ER). SETCP Applications are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

180 to 270 days.

Appeals:

Not Applicable.

Renewals:

Contingent upon future Congressional funding. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to announcement and program guidance. For ARI, awards are made for

annual budget periods, with a non-competing continuation application required for each budget period that comprises the awarded project duration. Funds awarded must be expended within each budget period. For ER, funds are awarded by research phase, with generally 3-5 phases and phase durations appropriate to the effort. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Awards are paid in advance using the U.S. Department of Health and Human Services/Payment Management System (SMARTLINK), provided it maintains or demonstrates the willingness and ability to maintain procedures to minimize the time elapsing between the transfer of the funds from the DHS and expenditure disbursement by the Recipient. When these requirements are not met, the Recipient will be required to be on a reimbursement for costs incurred method.

Reports:

For ARI, performance reports are required as specified in the terms and conditions of the award. For ER, reports may include, but are not limited to, the following: monthly performance reports, quarterly review reports, and end of phase reports. Refer to program guidance. For ARI, performance reports are required as specified in the terms and conditions of the award. "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each six months, with performance reports due 90 days after the expiration or termination of grant award. For ER, reports may include, but are not limited to, the following: monthly performance reports, quarterly review reports, and end of phase reports. For SETCP, progress reports are required. Refer to program guidance. For ARI, Federal Financial Reports are required as specified in the terms and conditions of the award. For SETCP, expenditure reports are required. Refer to program guidance. Yes. Performance may be evaluated through on-site reviews of the research activity.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0860-0-1-999.

Obligations:

(Cooperative Agreements) FY 16 \$11,228,990; FY 17 est \$10,665,701; and FY 18 est \$11,599,644

Range and Average of Financial Assistance:

See announcement and program guidance.

TAFS Codes:

70-0860.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Notice of Funding Opportunity was released for new ARI activities. Completed second year evaluation of 10 activities awarded two years ago and completed first year evaluation of 8 new activities awarded the previous year. Awarded eight new activities that address gaps in the GNDA and TNF. Fiscal Year 2017: Complete second year evaluation of the 8 new activities awarded two years ago and complete first year evaluation of the 8 new activities awarded the previous year. A Notice of Funding Opportunity will not be issued for new ARI activities this year. Fiscal Year 2018: Notice of Funding Opportunity will be issued for new ARI activities. Initiate approximately 10 new activities that address gaps in the GNDA and TNF. Complete second year evaluation of the 8 activities awarded two years ago.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos., A-21 Cost Principles for Educational Institutions, A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures. Additional regulations are indicated in program announcement and award terms and conditions.

Regional or Local Office:

None.

Headquarters Office:

Richard Vojtech 245 Murray Lane, SW, DNDO Stop 0550, Washington, District of Columbia 20528-0550 Phone: (202) 254-7109

Website Address:

<http://www.dhs.gov/about-domestic-nuclear-detection-office>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to announcement and program guidance. In general, ARI proposals are reviewed for intellectual merit and broader impact. ER proposals are reviewed for transformational impact, technical approach, capability and experience, and management approach.

97.078 BUFFER ZONE PROTECTION PROGRAM (BZPP)
(BZPP)**FEDERAL AGENCY:**

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act, 2010 (Public Law 111-83) and the Homeland Security Act of 2002 (6 U.S.C. Section 101 et seq.).

OBJECTIVES:

The FY 2010 Buffer Zone Protection Program (BZPP), provides funds to increase the preparedness capabilities of jurisdictions responsible for the safety and security of communities surrounding high-priority Critical Infrastructure and Key Resource (CIKR) assets through planning and equipment acquisition.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

BZPP grant awards will be administered by the respective State Administrative Agency (SAA) and may be used for planning, equipment, and management of protective actions relating to the program objectives. The SAA will allocate program funds to the jurisdiction(s) responsible for the safety and security of the communities in which CI/KR sites are located. For additional information concerning BZPP grant award uses and restrictions, please refer to the program solicitation guidance. All BZPP sites have been selected prior to the grant announcement based on the risk of the individual sites themselves. Therefore, FY 2010 BZPP funding allocated to any given state or territory is entirely a function of the number, type and character of pre-identified higher-risk sites within their respective jurisdictions; there are no discretionary sites. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Applicant Eligibility:

States, as defined in the Homeland Security Act of 2002, means "any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States." The State's SAA is the only agency eligible to apply for BZPP grant funds and is responsible for obligating BZPP grant awards to the responsible jurisdiction(s) that have authority over the identified CIKR sites. The term "responsible

jurisdiction" as used in the BZPP program guidance and application kit, refers to the primary agency (i.e. State, local, or tribal entity or unit of government), that has authority over the DHS identified CIKR facility and its buffer zone surrounding the facility.

Beneficiary Eligibility:

State and local governmental jurisdiction(s) responsible for the CI/KR sites.

Credentials/Documentation:

States, as defined in the Homeland Security Act of 2002, means "any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

none.

Renewals:

Funding is subject to reallocation each year, and may be consolidated with other programs.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. Based on project need.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Federal Financial Reports (FFR) are required quarterly, which is due within 30 days of the end of each calendar quarter and Semi-Annual Assistance Progress Reports (SAPR) is due on a semi-annual basis. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than nine months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Program was last funded in FY 2010. This program will remain open due to open awards.

Range and Average of Financial Assistance:

Depending upon the assessment by DHS of the needs of identified CIKR facility; \$200,000 to \$5,200,000.

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For additional information on program requirements and guidance, please refer to the BZPP Program Guidelines and Application Kit.

Regional or Local Office:

None.

Headquarters Office:

Grant Programs Directorate, FEMA DHS/FEMA
400 C Street, SW
, Washington, District of Columbia 20472-3625 Email: askcsid@dhs.gov
Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.008 Urban Areas Security Initiative

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.082 EARTHQUAKE CONSORTIUM

Earthquake Consortium and State Support (ECSS)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

The National Earthquake Hazards Reduction Act of 2004, Public Law 108-360, 42 U.S.C 7704(a)(2)(B).

OBJECTIVES:

The National Earthquake Hazards Reduction Program (NEHRP) is the Federal Governments coordinated approach to addressing earthquake risks.

In support of NEHRP, the Federal Emergency Management Agency (FEMA) administers the Earthquake Consortium and State Support (ECSS) program which is designed to increase and enhance the effective implementation of earthquake risk reduction activities at the state and local level, by making funding available through annual, non-competitive cooperative agreements.

NEHRP implements the Department of Homeland Security and PPD-8 objective of hazard mitigation, to develop and maintain those capabilities

necessary to reduce the loss of lives and property by lessening the impact of earthquakes.

In an effort to provide eligible States and Territories with multiple funding and project management options, as well as allow for multi-State coordination of projects, the ECSS program utilizes two separate funding opportunities; Direct State Assistance and Earthquake Consortia & Partner Support.

The Direct State Assistance funding opportunity provides funding directly to those States and Territories that have been determined to be at a moderate to very high risk of earthquakes, and who have certified that they can meet the statutory 50 percent cash match requirement. They must also ensure that they who have the staffing capacity to manage their anticipated level of involvement in FEMA-funded, NEHRP projects and activities. Funding is provided to eligible States and Territories through Cooperative Agreements that are administered by FEMA Regional earthquake program management staff. Eligible activities include: Development of seismic mitigation plans, preparing inventories and conducting seismic safety inspections of critical structures and lifelines, updating building codes, zoning codes, and ordinances to enhance seismic safety, increasing earthquake awareness and education, and encouraging the development of multi-State groups for such purposes

The Earthquake Consortia and Partner Support funding opportunity is designed to facilitate the development and management of multi-State projects and activities. Funding is provided to Earthquake Consortia & Partners through a Cooperative Agreement and applied to projects and activities submitted to FEMA by eligible States, Territories and other Federal earthquake partners. These Cooperative Agreements are administered by FEMA Headquarters earthquake program management staff. Eligible Consortia and Partners include: the Central U.S. Earthquake Consortium (CUSEC), Northeast States Emergency Consortium (NESEC), Western States Seismic Policy Council (WSSPC), Cascadia Region Earthquake Workgroup (CREW), Earthquake Engineering Research Institute (EERI), Federal Alliance for Safe Homes (FLASH), Southern California Earthquake Center (SCEC), and the Applied Technology Council, (ATC). Eligible activities for this funding opportunity are determined by capability of individual Consortia and Partner and are specified in the Notice of Funding Opportunity (NOFO).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

For costs associated with providing guidance, technology transfer, and assistance to States and local communities with earthquake and other hazard mitigation activities. This program is a cooperative agreement, non-competitive, and restricted to certain specialized/qualified organizations designated by FEMA. Funding may only be used for the purpose set forth in the cooperative agreement and must be consistent with the statutory authority for the award. Cooperative agreement funds may not be used for matching funds for other federal grants / cooperative agreements, or for lobbying or intervention in federal regulatory or adjudicatory proceedings. In addition, federal funds may not be used to sue the federal government or any other government entity. All financial and progress reports must be current at the time of application. Refer to the Notice of Funding Opportunity. This program is a cooperative agreement, non-competitive, and restricted to certain specialized/qualified organizations designated by FEMA. Funding may only be used for the purpose set forth in the cooperative agreement and must be consistent with the statutory authority for the award. Cooperative agreement funds may not be used for matching funds for other federal grants / cooperative agreements, or for lobbying or intervention in federal regulatory or adjudicatory proceedings. In addition, federal funds may not be used to sue the federal government or any other government entity. Pre-award costs are allowable only with the written consent of DHS/FEMA and such costs must be reflected in the award agreement. All financial and progress reports must be current at the time of application. Refer to the Notice of Funding Opportunity.

Applicant Eligibility:

The purpose of this funding is to support the earthquake mitigation efforts of States and Territories with Moderate to Very High seismic risk as determined by the Program Office, and the earthquake mitigation efforts of earthquake

Consortia and Partners including CUSEC, NESEC, WSSPC, CREW, EERI, FLASH, SCEC, and ATC. These efforts include 1) delivering and increasing awareness and education; 2) developing policies, tools, and products; and 3) implementing programs or projects to support risk reduction and resilience activities from earthquake and other hazards.

Beneficiary Eligibility:

Eligible States and Territories with Moderate to Very High seismic risks as determined by the Program Office, and earthquake Consortia and Partners including CUSEC, NESEC, WSSPC, CREW, EERI, FLASH, SCEC, and ATC.

Credentials/Documentation:

Eligible States and Territories with Moderate to Very High seismic risks as determined by the Program Office, and CUSEC, NESEC, WSSPC, CREW, EERI, FLASH, SCEC, and ATC. FEMA grants and cooperative agreements with educational and other non-profit organizations are governed by 2 CFR 200. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. FEMA has prepared a Record of Environmental Consideration for both funding opportunities that documents EHP compliance for the range of activities eligible for funding under this program pursuant to FEMA's Instructions on Implementation of the Environmental Planning and Historic Preservation Responsibilities and Program requirements, FEMA Instruction 108-1-1. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Refer to official Notice of Funding Opportunity on Grants.gov.

Award Procedure:

Refer to official Notices of Funding Opportunity on Grants.gov.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to official Notice of Funding Opportunity on Grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

This program has no matching requirements. 1. The Consortia and Partner Support component of the program has no matching requirement. No cost matching requirement for non-profit organizations.

2. The Direct State Assistance component of the program has a 50% Cost Match requirement. Statutory Formula: This funding opportunity must follow FEMA regulations, CFR Title 44, Section 361.4 (b)(4) Matching Contributions, which states For the fourth and subsequent fiscal years, full cost sharing will be implemented, requiring a minimum of a 50 percent non-Federal contribution to a State program, with this share required to be cash. In-kind matching will no longer be acceptable. Thus, every dollar FEMA provides to a State must be matched by one dollar from the State.

This program has no MOE requirements. See funding agency for further details. Indirect costs are allowable only if the applicant has an approved indirect cost rate with the cognizant Federal agency. A copy of the approved rate (a fully executed, agreement negotiated with the applicants cognizant federal agency) is required at the time of application. Indirect costs will be evaluated as part of the application for Federal funds to determine if allowable and reasonable. Specifically, grantees should apply the training indirect cost rate (when it is

available) or the offsite indirect cost rate (when a training rate is not available). The full organized research negotiated rate should not be applied to these training awards. Written exceptions will be made in rare cases upon request and with adequate justification. FEMA will work closely with the grantee to determine the appropriateness of indirect costs for the type of activity and location proposed in the application, in accordance with the negotiated indirect cost rates approved by the grantees cognizant federal agency. This program has MOE requirements, see funding agency for further details. Indirect costs are allowable only if the applicant has an approved indirect cost rate with the cognizant Federal agency. A copy of the approved rate (a fully executed, agreement negotiated with the applicants cognizant federal agency) is required at the time of application. Indirect costs will be evaluated as part of the application for Federal funds to determine if allowable and reasonable. Specifically, grantees should apply the training indirect cost rate (when it is available) or the offsite indirect cost rate (when a training rate is not available). The full organized research negotiated rate should not be applied to these training awards. Written exceptions will be made in rare cases upon request and with adequate justification. FEMA will work closely with the grantee to determine the appropriateness of indirect costs for the type of activity and location proposed in the application, in accordance with the negotiated indirect cost rates approved by the grantees cognizant federal agency.

Length and Time Phasing of Assistance:

Up to 18 Months from Date of Award. Extensions to the period of performance will be considered only through formal requests to FEMA and will only be granted for specific and compelling reasons. All extension requests must be submitted to FEMA at least sixty (60) days prior to the expiration of the grant period of performance. Any unobligated funds will be de-obligated at the end of the 90 day close-out period. For more information, refer to the official Funding Opportunity Announcement or Program Guidance on Grants.gov. See the following for information on how assistance is awarded/released: Refer to official Notice of Funding Opportunity on Grants.gov. See the following for information on how assistance is awarded/released: Refer to official Notice of Funding Opportunity on Grants.gov.

Reports:

Refer to official Notice of Funding Opportunity on Grants.gov. Refer to official Notice of Funding Opportunity on Grants.gov. Refer to official Notice of Funding Opportunity on Grants.gov. Refer to official Notice of Funding Opportunity on Grants.gov. Refer to official Notice of Funding Opportunity on Grants.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year. For additional information regarding audit requirements, refer to program guidance.

Records:

Cooperative Agreement records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0711-0-1-453.

Obligations:

(Project Grants (Cooperative Agreements)) FY 16 \$3,278,500; FY 17 est \$3,460,501; and FY 18 est \$3,500,000

Range and Average of Financial Assistance:

Refer to the official Notice of Funding Opportunity on Grants.gov by year for actual target allocations by program by eligible applicant.

TAFS Codes:

70-0700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Performed building inventory and rapid visual screenings for potential seismic hazards of two school districts in Missouri, including more than 31 school buildings. Coordinated screenings for two school districts in Missouri comprising 11 additional buildings; Great Central U.S. and Great SouthEast ShakeOut Earthquake Drill on October 15, 2015. ShakeOut Earthquake Drills involve earthquake safety, mitigation, and planning activities as part of a national and worldwide initiative. The drills took place in 21 states and the District of Columbia, with more than 5.5 Million people participating in the event. Activities included a coordinated outreach and media campaign including presentations, press releases, social media, newsletter, and billboard advertisement; Conducted Visual assessment of critical infrastructure in Wyoming state. The goal of the assessment is to pursue future mitigation actions to minimize the impact of an earthquake on critical infrastructure, particularly hospitals, schools, previously-identified shelter locations, and locations housing ambulance, fire and law enforcement response vehicles; Finalized plans for 2017 Arkansas Post-Disaster Building Inspection (INSPARK) regional training/workshop. Participants include building inspection teams from surrounding CUSEC states as well as observers from Oklahoma, FEMA and Mississippi; State of Nevada conducted URM verification in Clark County. The project allowed Clark County Department of Building and Fire Prevention to partner with the NEHRP funding through EERI (NEHRP was small part of the overall total cost of the project) in order to go out and do a verification of our initial rough list of URM buildings in unincorporated Clark County. The project was done by manual process. This information is available to both the State as well as Clark County in the updates of their hazard mitigation plans. Fiscal Year 2017: Performed HAZUS Modeling and Analysis Support; Developed multiple earthquake risk awareness products including publications, signage, brochures and handouts; Supported nation-wide ShakeOut earthquake drill; Conducted training at annual National Earthquake Program Managers (NEPM) meeting; Conducted seismic assessments of critical facilities and lifelines; Supported the manufacture and distribution of non-structural mitigation devices; Created and aired Public Service Announcements; Supported the Quake Cottage program; Supported the QuakeSmart Summit and Leadership Workshop; and conducted the Rapid Visual Screening (RVS) of schools in state of Missouri. Fiscal Year 2018: Development of Seismic Mitigation Plans; Inventories and seismic safety inspections of critical structures and lifelines; Updating of building codes, zoning codes, and ordinances to enhance seismic safety; Activities to increase earthquake awareness and education; Support for the National Earthquake Technical Assistance Program (NETAP); Maintenance of the Earthquake Mitigation Center; Development of multi-state groups to support the goals of NEHREP.

REGULATIONS, GUIDELINES, AND LITERATURE:

1. Authorizing Authority for the Program.
Direct State Assistance component: The authority for FEMA NEHRP to implement and operate a program of assistance to states to become more resilient to earthquake hazards comes from 42 U.S. Code 7704 (b) (2) (B) National Earthquake Hazards Reduction Program. Specific details are provided below. The specific authority to implement the Direct State Assistance funding opportunity through the use of Cooperative Agreements comes from 42 U.S. Code 7704 (b) ((2) (A) (ix). National Earthquake Hazards Reduction Program

Consortia and Partner Support component. Sections (a)(2)(B) and (b)(2)(A)(iii) of the National Earthquake Hazard Reduction Program (42 U.S.C. 7704).

2. Appropriation Authority for the Program.

The Department of Homeland Security Appropriations Act, 2017, Pub. L. No. 115-31, which authorizes under FEMA Operations & Support [f] or necessary expenses [of FEMA] including activities authorized by the Earthquake Hazards Reduction Act of 1977 (42 U.S.C. 7701 et seq.). Emphasis added

3. Other Financial Authority. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Also, refer to the official Notice of Funding Opportunity on Grants.gov for additional policies.

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Also, refer to the official Notice of Funding Opportunity on Grants.gov for additional policies.

Regional or Local Office:

None. Region 4 (Alabama, Georgia, Kentucky, Mississippi, North Carolina, Tennessee)

Noriko Boston
3003 Chamblee-Tucker Road
Atlanta, Georgia 30341
Email: Noriko.boston@fema.dhs.gov
Office: 770-220-8870

Region 6 (Oklahoma)
Bart Moore
800 North Loop 288
Denton, Texas 76209
Email: bart.moore@fema.dhs.gov
Office: 940-898-5363

Region 8 (Colorado, Utah)
Sean McGowan
Denver Federal Center, Building 710A
Denver, Colorado 80225
Email: sean.mcgowan@fema.dhs.gov
Office: 303-235-4681

Region 9 (Arizona, California, Nevada)
Michael Hornick
1111 Broadway
Oakland, California 94607
Email: michael.hornick@fema.dhs.gov
Office: 510-627-7260

Region X (Oregon)
Tamra Biasco
130 228th Street, SW
Bothell, Washington 98021
Email: tamra.biasco@fema.dhs.gov
Office: 425-487-4645.

Headquarters Office:

G. David Javier 400 C Street, SW
, Washington, District of Columbia 20009 Email:
Gabriele.Javier@fema.dhs.gov Phone: 202-646-4037

Website Address:

<https://www.fema.gov/building-science>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.083 STAFFING FOR ADEQUATE FIRE AND EMERGENCY RESPONSE (SAFER)

(SAFER ACT)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Federal Fire Prevention and Control Act of 1974, Public Law 93-498, 15 U.S.C 2229 et seq.

OBJECTIVES:

The goal of the SAFER Grant Program is to assist local fire departments with staffing and deployment capabilities in order to respond to emergencies, and assure that communities have adequate protection from fire and fire-related hazards.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Not all fire departments will be eligible to apply. Training, equipment, over-time, and administrative expenses are not eligible under the Hiring of Firefighters Grant activity. Individuals seeking personal assistance are not eligible. This program is intended to assist fire departments and recognized organizations as identified in the program guidance document and application package; and only those fire departments and organizations as specified in the Notice of Funding Opportunity are eligible. Funds may be used to recruit and hire firefighters, but cannot be used to retain or supplant (replace) the applicants funds budgeted for that purpose. Recipients must maintain pre-existing staffing levels and retain grant funded personnel throughout the period of performance. From FY 05 through FY 08, the funds were provided on a sliding scale, recipients must initially match 10 percent of the Federal share the first year. Recipient match increases by certain percentages in the second, third, and fourth years, culminating in 100 percent of personnel costs (per position), in the fifth year and beyond. In FY 09 -FY 15, there was no cap on the federal funds provided for each position and there was no matching requirement. In FY 16 and FY 17, the funds are provided on a sliding scale; recipients must match 25 percent of the Federal share in both the first and second years and 65 percent in the third year. Refer to the Notice of Funding Opportunity for further information. This program is restricted to the jurisdictions/organizations described in program guidance documents. For specific information, refer to the Notice of Funding Opportunity. In summary, for the purpose of this program, "State" is defined as the 50 states, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Alaska Village Initiative, a nonprofit organization incorporated in the State of Alaska, shall also be considered eligible for purposes of receiving assistance under this program on behalf of Alaska Native villages. A "fire department" is defined as an agency or organization that has a formally recognized arrangement with a State, territory, local, or tribal authority (city, county, parish, fire district, township, town, or other governing body) to provide fire suppression on a first-due basis to a population within a fixed geographical area. Fire departments may be comprised of members who are all volunteer, combination volunteer/career, or all career.

Applicant Eligibility:

This program is restricted to the jurisdictions/organizations described in program guidance documents. For specific information, refer to the Notice of Funding Opportunity. In summary, for the purpose of this program, "State" is defined as the fifty States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Alaska Village Initiative, a nonprofit organization incorporated in the State of Alaska, shall also be considered eligible for purposes of receiving assistance under this program on behalf of Alaska Native villages. A "fire department" is defined as an agency or organization that has a formally recognized arrangement with a State, territory, local, or tribal authority (city, county, parish, fire district, township, town, or other governing body) to provide fire suppression on a first-due basis to a population within a fixed geographical area. Fire departments may be comprised of members who are all volunteer, combination volunteer/career, or all career.

Beneficiary Eligibility:

Local or tribal communities serviced by the fire department including, local

businesses, homeowners and property owners.

Credentials/Documentation:

Applicant must certify that they are an eligible applicant, i.e., a fire department, as described in program guidance documents. Refer to Notice of Funding Opportunity document for specific information. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/ Notice of Funding Opportunity.

Award Procedure:

Applications or plans are peer reviewed and subsequently also reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to Notice of Funding Opportunity document.

Appeals:

Applicants that receive an adverse decision or denial, can request reconsideration of the decision. Request for reconsideration must be submitted in writing, to the address contained on the program's website within 30 days of the notification of denial by the administering program office.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Refer to Funding Opportunity Announcement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The hiring grants have a three year performance period for FY16 and FY 17. The recruitment and retention grants are made in one-year increments up to a possible four-year period of performance. Refer to Notice of Funding Opportunity for further details. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Based on project need.

Reports:

Refer to Notice of Funding Opportunity document. Refer to Notice of Funding Opportunity document. Quarterly progress reports. Quarterly Financial Status Reports. Refer to Notice of Funding Opportunity document.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0561-0-1-999.

Obligations:

(Project Grants) FY 16 \$345,000,000; FY 17 est \$345,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Refer to Notice of Funding Opportunity document.

TAFS Codes:

70-0561.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Provided grants funds to 379 fire departments and national, state, local, or tribal organizations that represent the interests of volunteer firefighters for the purpose of hiring new firefighters and the recruitment and retention of volunteer firefighters. The grant funds will assist local fire departments with staffing and deployment capabilities in order to respond to emergencies, and assure that communities have adequate protection from fire and fire-related hazards. Recipients should also experience a reduction in response times and an increase in the number of trained personnel assembled at the incident scene. Fiscal Year 2017: The goal of the SAFER Grant Program is to assist local fire departments with staffing and deployment capabilities in order to respond to emergencies, and assure that communities have adequate protection from fire and fire-related hazards. SAFER intends to improve local fire departments staffing and deployment capabilities so they may more effectively and safely respond to emergencies. With enhanced staffing levels, recipients should experience a reduction in response times and an increase in the number of trained personnel assembled at the incident scene. Fiscal Year 2018: The goal of the SAFER Grant Program is to assist local fire departments with staffing and deployment capabilities in order to respond to emergencies, and assure that communities have adequate protection from fire and fire-related hazards. SAFER intends to improve local fire departments staffing and deployment capabilities so they may more effectively and safely respond to emergencies. With enhanced staffing levels, recipients should experience a reduction in response times and an increase in the number of trained personnel assembled at the incident scene.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S.C. 2201 et seq.; Fire Prevention and Control Act of 1974.

Regional or Local Office:

See Regional Agency Offices. SAFER grants are directed from the FEMA Headquarters Office. There are 10 regional offices in FEMA who provide monitoring and oversight of FEMA grantees.

Headquarters Office:

Department of Homeland Security/FEMA 400 C Street, SW, 3N
, Washington, District of Columbia 20742-3635 Email:
firegrants@fema.dhs.gov Phone: (866) 274-0960

Website Address:

<http://www.fema.gov/firegrants/index.shtm>.

RELATED PROGRAMS:

15.031 Indian Community Fire Protection; 15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance; 97.018 National Fire Academy Training Assistance; 97.043 State Fire Training Systems Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the Funding Opportunity Announcement for information on criteria for

selecting proposals.

97.088 DISASTER ASSISTANCE PROJECTS

(Earmarked Projects or Limited Scope Disaster Projects.

Restricted to entities designated by DHS or congressional statute.)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Public Health Bioterrorism and Public Health Emergencies Act of 2002, Public Law 107-188; Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288; Homeland Security Act, Public Law 107-296.

OBJECTIVES:

This category is not for unsolicited proposals. Provides funding that has been designated by Congressionally appropriated authorization, generally for a specified project, or to provide unique or limited scope funding for certain disaster assistance Projects identified by congress or a DHS program office. Program funds support the National Preparedness Goal of enhancing core capabilities in the areas of disaster response and recovery.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is restricted to nonfederal entities invited to apply by DHS or specified in DHS Appropriation Statute.

Applicant Eligibility:

Funds are restricted to nonfederal entities, e.g. State, local government, private, public, profit or nonprofit organization, Indian Tribal government or individual specified by DHS or U.S. Appropriation Statute.

Beneficiary Eligibility:

State, local government, private, public, profit or nonprofit organization, Indian Tribal government or individual specified by U.S. Appropriation Statute.

Credentials/Documentation:

Credentials or other documentation may be required by the U.S. Appropriation Statute or other statute by project.

Funds are restricted to nonfederal entities, e.g. State, local government, private, public, profit or nonprofit organization, Indian Tribal government or individual specified by DHS or U.S. Appropriation Statute. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

The applicant is notified of funding availability through letter or electronic mail communication. The applicant will be advised on how to apply for the funding through this notification. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application (SF 424, budget, program or project narrative and assurances) or plan must be submitted that outlines the implementation of the project and expenditure of funds in response to the project identified by the U.S. Appropriation Statute.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff, concerns negotiated with the applicant and award processed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval time depends on the type of project to be funded.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Funding amounts and match requirements are specified in the program guidance document.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Mandated in the appropriation or identified in the application or plan submission. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Financial and progress reports must be submitted in compliance with OMB Circular A-102 or A-110. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documentation and all other records to validate the performance of the grant or cooperative agreement must be retained for three years from the date the final financial status report is submitted to the DHS.

Account Identification:

70-0702-0-1-760.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

Each "earmark" or project funding is designated by the appropriation statute or identified by the program office.

TAFS Codes:

70-0702.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars, A-21 Cost Principles for Educational Institutions, A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Department of Homeland Security/FEMA Techworld, Control Desk, 4th floor Room 427, 500 C St., SW. The Centralized Scheduling and Information Desk (CSID). CSID is open from 8:00am to 7:00pm., Eastern Standard Time, Monday through Friday., Washington, District of Columbia 20472 Email: askcsid@dhs.gov Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.089 DRIVER'S LICENSE SECURITY GRANT PROGRAM

Driver's License Security Grant Program

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

The Department of Defense and Full-Year Continuing Appropriations Act, 2011, Public Law 112-10; Implementing Recommendations of the 9/11 Commission Act of 2007, Public Law 110-53.

OBJECTIVES:

This program provides funding to prevent terrorism, reduce fraud and improve the reliability and accuracy of personal identification documents that states and territories issue. The program is intended to address a key recommendation of the 9/11 Commission to improve the integrity and security of State-issued drivers licenses (DL) and identification cards (IC).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Restricted to State Drivers License Agencies (DLA), also known as State Departments of Motor Vehicles (DMV) or Motor Vehicle Administrations (MVA) that are responsible for issuing driver's licenses. Other eligible applicants include State Public Safety Agencies or Departments, which may apply on behalf of the State DMV/MVA.

Funds may be used in accordance with uses defined in the program guidance document/application kit. Allowable funding categories include: management and administration, planning, equipment, and maintenance and sustainment.

Applicant Eligibility:

The issuing authority for motor vehicle licenses in each State or territory, as identified by the DHS, is the only agency eligible to apply for the Driver's License Security Grant Program.

Beneficiary Eligibility:

State, U.S. Territory and local governments, intrastate, interstate, small business, general public, other public institution/organizations.

Credentials/Documentation:

If required, will be identified in the program guidance/solicitation.

The issuing authority for motor vehicle licenses in each State or territory, as identified by the DHS, is the only agency eligible to apply for the Driver's License Security Grant Program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Unsolicited applications from entities other than those listed in the "Applicant Eligibility" above, will not be accepted. Eligible applicants must apply on-line using Grants.gov. The Driver's License Security Grant Program application process will require: Narrative to include a description of current resource management capabilities to develop, implement, and manage the programmatic requirements associated with the Driver's License Security Grant Program funding; a proposed work plan; a budget and budget narrative addressing the Driver's License Security Grant Program project related costs and expenses. Applications submitted online must contain information and meet the requirements outlined in the Driver's License Security Grant Program solicitation and program guidance.

Award Procedure:

Applications are reviewed by DHS policy and grant management staff, concerns negotiated with the applicant, and award processed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The program and administrative office will evaluate applications and award funds within 60 days of receipt of applications.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance for DLSGP grants is 36 months. See the following for information on how assistance is awarded/released: Payment based on project need. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Progress Reports are due semi-annually within 30 days after the end of the reporting periods. Reports are due: July 30 and January 30. The Final Progress Report is due 90 days after the expiration date of the award period. These reports should consist of a brief narrative discussing achievements to date, and progress towards annual goals, and any issues which may need to be addressed. Required Financial Status Reports (SF 269A) are due quarterly after the end of the reporting period. Reports are due: April 30, July 30, October 30, and January 30. The Final Financial Report is due 90 days after the expiration date of the award period. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency no later than 9 months after the end of the grantees fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of at least 3 years after the grant has been closed or until an audit has been conducted that does not show any questionable costs.

Account Identification:

70-0560-0-1-999.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - Program was last funded in FY2011. This program will remain open due to open awards.

Range and Average of Financial Assistance:

Will be identified in the solicitation/program guidance.

TAFS Codes:

70-0561.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos., A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, and A-133 Audits of States, Local Governments, and Nonprofit

Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

Grant Programs Directorate 400 C Street, SW, Washington, District of Columbia 20472-3615 Email: askscid@dhs.gov Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov/government/grant/index.shtml>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Will be identified in the solicitation/program guidance.

97.091 HOMELAND SECURITY BIOWATCH PROGRAM**FEDERAL AGENCY:**

Office of Health Affairs (OHA), Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296, 6 U.S.C 188(b)(1)(c).

OBJECTIVES:

The BioWatch Program is a Federally managed, locally operated early warning system that is designed to detect the intentional release of select aerosolized biological agents. The BioWatch Programs mission is to deploy, sustain, and maintain a national 24x7x365 operational ability to detect, and respond to a bioterrorist event in metropolitan areas across the country. The DHS Office of Health Affairs (OHA) administers the BioWatch program and is currently engaged in advanced development, test, evaluation and operations to improve sampling technologies, collection procedures, sample analysis, and develop and implement a next generation BioWatch system.

The Biowatch Program and PPD-8 share the following elements.

First, in accordance with the National Preparedness Goal, the Biowatch program provides a comprehensive strategy for countering biological terrorism.

Next, following the National Preparedness System and the BioWatch Program is a federally managed, locally operated early warning operational capability designed to enhance national preparedness. The program provides an early warning system that detects the release of a select biological agent that may pose a danger to the public health in select jurisdictions.

Biowatch, in accordance with the National Planning Frameworks and Federal Interagency Operational Plans, acts as an early warning system which enhances the security of jurisdictions by providing the needed time to execute their comprehensive concept of operations plans to counter biological terrorism. In addition, the BioWatch Program provides advice and guidance to jurisdictions to develop biological preparedness programs that properly addresses the needed mitigation plans that reduces the negative impacts to a biological attack.

The Biowatch Program is a critical part of an ongoing national effort to build and sustain preparedness which helps the United States maintain momentum through targeted jurisdictional planning that highlights preventative actions necessary to allow for a proper and timely response and begin the process to recovery from a biological agent release. To provide funding and/or property for the conduct of operations and other related activities intended to identify, counter, or respond to biological and chemical threats.

TYPES OF ASSISTANCE:

PROJECT GRANTS; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement, program guidance, and

award provisions. See program announcement.

Applicant Eligibility:

Generally, State and local governments or as specified by U.S. Appropriation Statute. Specific applicant eligibility will be identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

State and local governments.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application (SF-424, Budget, program or project narrative and assurances) must be submitted that outlines the implementation of the project and expenditure of funds in response to the project identified through the announcement.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff, concerns negotiated with the applicant, and award processed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval depends on the type of project to be funded.

Appeals:

Not Applicable.

Renewals:

Contingent upon future Congressional funding. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Specified in the funding opportunity announcement. See the following for information on how assistance is awarded/released: See program announcement.

Reports:

Requirements identified in the terms and conditions of the award. Financial reports submission are identified in the Terms and Conditions of the award. Program and progress reports must be submitted and are identified in the Terms and Conditions of the award. Financial reports submission are identified in the Terms and Conditions of the award. Requirements are identified in the Terms and Conditions of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documentation and all other records to validate

the performance of the grant or cooperative agreement must be retained for 3 years from the date the final financial status report is submitted to the DHS.

Account Identification:

70-0800-0-1-999.

Obligations:

(Salaries) FY 16 \$17,000,000; FY 17 est \$29,000,000; and FY 18 est \$29,000,000

Range and Average of Financial Assistance:

Specified in the announcement.

TAFS Codes:

70-0800.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The BioWatch Programs mission provided and maintained a continuous bio-terrorism air monitoring system in metropolitan areas and coordinated with state and local public health communities to prepare for and respond to a bioterrorist event. This mission was accomplished by serving as an early warning system which enhanced the security of jurisdictions by providing the needed time to execute their comprehensive concept of operations plans to counter biological terrorism. The Biowatch Program is a critical part of an ongoing national effort to build and sustain preparedness which helps the United States to maintain momentum through targeted jurisdictional planning that highlights preventative actions necessary to allow for a proper and timely response and begin the process to recovery from a biological agent release. Fiscal Year 2017: The BioWatch Programs mission is to provide and maintain a continuous bio-terrorism air monitoring system in metropolitan areas and coordinate with state and local public health communities to prepare for and respond to a bioterrorist event. This mission is accomplished by serving as an early warning system which enhances the security of jurisdictions by providing the needed time to execute their comprehensive concept of operations plans to counter biological terrorism. The Biowatch Program is a critical part of an ongoing national effort to build and sustain preparedness which helps the United States to maintain momentum through targeted jurisdictional planning that highlights preventative actions necessary to allow for a proper and timely response and begin the process to recovery from a biological agent release. Fiscal Year 2018: The BioWatch Programs mission is to provide and maintain a continuous bio-terrorism air monitoring system in metropolitan areas and coordinate with state and local public health communities to prepare for and respond to a bioterrorist event. This mission is accomplished by serving as an early warning system which enhances the security of jurisdictions by providing the needed time to execute their comprehensive concept of operations plans to counter biological terrorism. The Biowatch Program is a critical part of an ongoing national effort to build and sustain preparedness which helps the United States to maintain momentum through targeted jurisdictional planning that highlights preventative actions necessary to allow for a proper and timely response and begin the process to recovery from a biological agent release.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos., A-21 Cost Principles for Educational Institutions, A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

DHS, Office of Procurement Operations, Office of Health Affairs 245 Murray Lane, SW, Mail Stop 0115, Washington, District of Columbia 20528 Phone: (202) 447-5589

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Earmark or as identified in the funding opportunity announcement or program guidance.

97.092 REPETITIVE FLOOD CLAIMS

RFC

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

The RFC program is authorized by Section 1323 of the NFIA , 42 U.S.C 4030.

OBJECTIVES:

To assist States, Indian tribal governments, and communities to reduce or eliminate the long-term risk of flood damage to structures insured under the National Flood Insurance Program (NFIP) that have had one or more claims for flood damages through mitigation activities that are in the best interest of the National Flood Insurance Fund (NFIF). This grant program supports the Mitigate component of the Presidential Preparedness Directive 8 (PPD-8) in the following ways: by providing funding to states and communities that promotes Long-Term Vulnerability Reduction by elevating at-risk homes or removing structures from flood hazard areas. Overall, this program also supports Community Resilience by reducing the need for emergency services in flood-prone areas of the community.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

States, Indian tribal governments, and communities may apply for RFC project grants for activities that reduce or eliminate the long - term risk of flood damage to structures, insured under the National Flood Insurance Program (NFIP), that have had one or more claims for flood damages. This may include the acquisition of insured structures for the purpose of converting flood-prone land back to open space use; elevation of existing structures; dry floodproofing; and minor localized flood reduction projects. Projects must meet all eligibility criteria including cost-effectiveness; compliance with environmental and historic preservation laws and regulations and other program requirements.

Applicant Eligibility:

Entities eligible to apply for HMA grants include the emergency management agency or a similar office of the 50 States, the District of Columbia, American Samoa, Guam, the U.S. Virgin Islands, Puerto Rico, the Northern Mariana Islands, and Indian Tribal governments. Each State, Territory, Commonwealth, or Indian Tribal government shall designate one agency to serve as the Applicant for each HMA program. Please see the HMA program guidance for more detailed information.

Beneficiary Eligibility:

State agencies, Indian Tribal governments, and local governments and communities are eligible to apply as subapplicants for assistance under the RFC program. All interested subapplicants must apply to the Applicant. Please see the HMA program guidance for detailed information.

Credentials/Documentation:

Please see the HMA Program Guidance, 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact the

headquarters or regional office, as appropriate, for application deadlines

Award Procedure:

Applications are reviewed by DHS/FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to HMA program guidance document.

Appeals:

Refer to HMA program guidance document.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Refer to HMA program guidance for additional information.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to HMA program guidance.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient

submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-4236-0-3-453.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This program remains open due to open awards that have not yet closed.

Range and Average of Financial Assistance:

Refer to HMA program guidance.

TAFS Codes:

70-4236.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Hazard Mitigation Assistance Unified grant program guidance, the DHS FEMA Real Property Acquisition Regulations at 44 CFR Part 80, which is available to the public on the FEMA internet site.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses of FEMA's Regional Offices.

<http://www.fema.gov/government/mitigation.shtm>.

Headquarters Office:

Ryan Janda 400 C St., SW, Washington, District of Columbia 20472 Email: ryan.janda@fema.dhs.gov Phone: (202) 648-2659

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.029 Flood Mitigation Assistance; 97.039 Hazard Mitigation Grant; 97.047 Pre-Disaster Mitigation; 97.110 Severe Loss Repetitive Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the HMA program guidance for information on criteria for selecting proposals.

97.104 HOMELAND SECURITY-RELATED SCIENCE, TECHNOLOGY, ENGINEERING AND MATHEMATICS (HS STEM) CAREER DEVELOPMENT PROGRAM

(HS-STEM Career Development Program)

FEDERAL AGENCY:

Science and Technology (S&T), Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Section 308, Public Law 107-296, 6 U.S.C 188(b).

OBJECTIVES:

To provide funding to assist with the development of future HS-STEM professionals and practitioners.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Support is provided to colleges and universities who, in turn, provide financial support and career guidance to students interested in HS-STEM careers. Refer to the program guidance document for additional information.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

Other Public Institution/Organizations; Other Private Institution/Organizations; (Colleges and Universities).

Credentials/Documentation:

Refer to program guidance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications are reviewed by subject matter experts (SMEs). Award are based on Agency priorities and available funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Grantees are required to submit annual financial and performance reports. Annual Progress Reports must include the progress of each sub-grant award. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Financial Status Reports. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - No new awards have been made but active awards have not yet been closed out. This program remains open due to open awards.

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0800.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, A-21, Cost Principles for Educational Institutions and A-122, Cost Principles for Non-Profit Organization., A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, University Programs, S&T 245 Murray Lane, SW., Building 410, Washington, District of Columbia 20523 Phone: (202) 254-5695

Website Address:

<http://www.hsuniversityprograms.org>

RELATED PROGRAMS:

97.062 Scholars and Fellows, and Educational Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.106 SECURING THE CITIES PROGRAM

(STC)

FEDERAL AGENCY:

Domestic Nuclear Detection Office (DNDO), Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 112-10, 6 U.S.C 591-596.

OBJECTIVES:

The Securing the Cities (STC) Program seeks to reduce the risk of a successful deployment of a radiological/nuclear (R/N) terrorist weapon against a major metropolitan area in the United States by establishing sustainable capability within Global Nuclear Detection Architecture (GNDA) partner agencies to detect, analyze, and report nuclear and other radioactive materials out of regulatory control within their jurisdictions. This program supports PPD-8, the Prevent Mission and the Prevention Framework including the tasks of:

Intelligence and Information Sharing. Planning and Direction: Establish the intelligence and information requirements of the consumer.

Screening, Search and Detection. Locate persons and networks associated with imminent terrorist threats.

Interdiction and Disruption. Disrupt terrorist financing or prevent other material support from reaching its target.

TYPES OF ASSISTANCE:

Cooperative Agreements; DISSEMINATION OF TECHNICAL INFORMATION; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Financial and non-financial assistance may be provided for the following:

salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions, if any, will be identified in the announcement, program guidance and award provisions. See Program Guidance.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance, or as specified by U.S. Appropriation Statute.

Beneficiary Eligibility:

Federal, State, and local governments, Interstate or intrastate governmental organizations, and Indian tribal governments.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The deadline for submission of application is 90 days following the FOA posting.

Appeals:

None.

Renewals:

Contingent upon future Congressional funding. Extensions to the Performance Period may be awarded, but are not guaranteed and might not include increased funding. Extension approvals will be based on the availability of funds within the award and acceptable performance and at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: See Program Guidance.

Reports:

See Program Guidance. See Program Guidance. See Program Guidance. See Program Guidance. See Program Guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0411-0-1-999.

Obligations:

(Salaries) FY 16 \$19,885,000; FY 17 est \$19,600,000; and FY 18 est \$19,700,000 - Actual FY16: \$1,800,000 for Los Angeles/Long Beach; \$6,260,000 for the National Capital Region; \$8,315,000 for Houston; and \$3,510,000 for Chicago.

Range and Average of Financial Assistance:

See Program Guidance.

TAFS Codes:

70-0411.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Continued Phase III (non-STC funded) activities in New York City (NYC)/Newark.
Began Phase II activities in Los Angeles/Long Beach STC implementation site.
Continued Phase I activities in the National Capital Region STC implementation site.
Initiated Phase I activities in the Houston STC implementation site.
Awarded initial funding to the Chicago STC implementation site. Fiscal Year 2017: Continue Phase III (non-STC funded) activities in NYC/Newark.
Complete Phase II activities in Los Angeles/Long Beach STC implementation site.
Complete Phase I activities in the National Capital Region STC implementation site.
Continue Phase I activities in the Houston STC implementation site.
Initiate Phase I activities in the Chicago STC Implementation site. Fiscal Year 2018: Continue Phase III (non-STC funded) activities in NYC/Newark.
Continue Phase III (non-STC funded) activities in Los Angeles/Long beach STC implementation site.
Begin Phase II activities in the National Capital Region STC implementation site.
Complete Phase I activities in the Houston STC implementation site.
Continue Phase I activities in the Chicago STC implementation site.
Select STC implementations six and seven through a competitive process.

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, 44 CFR 13. OMB Circular A-87, Cost Principles for State, Local, and Tribal Governments, Relocated to 2 CFR Part 225; OMB Circular A-110, relocated to 2 CFR Part 215. Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations. OMB Circular A-122, relocated to 2 CFR Part 230. Cost Principles for NonProfit Organizations. OMB Circular A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

Christopher Magrino 245 Murray Lane, Mail stop 0550

, Washington, District of Columbia 20528-0550 Email: Christopher.Magrino@dhs.gov Phone: (202) 254-7443

Website Address:

http://www.dhs.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.107 NATIONAL INCIDENT MANAGEMENT SYSTEM (NIMS)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; The Post-Katrina Emergency Management Reform Act of 2006, Section 661 (a), Public Law 109-295, 6 U.S.C 761 (a).

OBJECTIVES:

To advance the Nations preparedness and implementation of the NIMS through the development and deployment of consistent systems for the request, dispatch, use, and return of resources needed to support local capabilities, and change outcomes for survivors. These systems form a national mutual aid network that can help to build, sustain, and deliver the 32 core capabilities needed to achieve the National Preparedness Goal.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding will support implementation of the National Incident Management System (NIMS) which includes incident management standards, related projects, and program support. Refer to program guidance. Refer to program guidance.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

State, local, Public Nonprofit Institution/Organization, Federal Recognized Indian Tribal Government, U.S. Territory/Possession, Private Organization, Other Public Institution/Organization.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed and approved for award by DHS program and administrative staff in accordance with program guidance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Refer to program guidance.

Renewals:

Annual.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 31, April 30, July 31, and October 31. Final financial and performance reports are due 90 days after the expiration or termination of grant award. See program guidance. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 31, April 30, July 31, and October 31. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 31, April 30, July 31, and Oct. 31. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 31, April 30, July 31, and October 31. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0700-0-1-999.

Obligations:

(Cooperative Agreements) FY 16 \$2,000,000; FY 17 est \$2,000,000; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Supported the refinement of NIMS as directed by FEMA. The specific activities that EMAC supported was determined jointly with FEMA during the development of the program management plan.

Supported for the refinement and roll-out of the National Preparedness Goal and National Planning Frameworks as directed by FEMA.

Provided education and training to states on preparing for and deploying through EMAC.

Developed, enhanced, and maintained EMACs information management systems for the availability, request, dispatch, use, tracking, and return of resources in all states during all-hazard incidents requiring mutual aid.

Implemented and maintained a formalized sub-grant program in coordination with FEMA. Fiscal Year 2017: Support for the refinement of NIMS and its national roll-out as directed by FEMA.

Provide education and training to states on preparing for and deploying through EMAC.

Development, enhancement, and maintenance of EMACs information management systems for the availability, request, dispatch, use, tracking, and return of resources in all states during all-hazard incidents requiring mutual aid. The system development will solve several barriers to interoperability that hinders expedient deployment of resources.

Maintenance and implementation of a formalized sub-grant program in coordination with FEMA. Fiscal Year 2018: Provide education and training to states on preparing for and deploying through EMAC.

Development, enhancement, and maintenance of EMACs information management systems for the availability, request, dispatch, use, tracking, and return of resources in all states during all-hazard incidents requiring mutual aid. The system development will solve several barriers to interoperability that hinders expedient deployment of resources.

Maintenance and implementation of a formalized sub-grant program in coordination with FEMA.

REGULATIONS, GUIDELINES, AND LITERATURE:

See program guidance.

Regional or Local Office:

None.

Headquarters Office:

National Integration Center, Federal Emergency Management Agency, 400 C Street S.W., 7NW-1405, Washington, District of Columbia 20742-3620 Email: FEMA-NIC@fema.dhs.gov Phone: 202-384-5008

Website Address:

<https://www.fema.gov/national-incident-management-system>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance.

97.108 HOMELAND SECURITY, RESEARCH, TESTING, EVALUATION, AND DEMONSTRATION OF TECHNOLOGIES FEDERAL AGENCY:

Science and Technology (S&T), Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296, 6 U.S.C 308(b)(1)(c); Implementing Recommendation of the 9/11 Commission Act of 2007, Section 1901, Public Law 110-53; Science and Technology Management and Administration, Public Law 113-76.

OBJECTIVES:

To provide funding and/or property for the purpose of conducting research, testing, evaluation, and demonstration of national preparedness and homeland security technologies intended to identify, counter, or respond to terrorist threats.

The DHS S&T First Responders Group (FRG) utilizes grants and cooperative

agreements to enhance safety in the first responder community by supporting the research, acquisition of knowledge, and the development of technology, materials, processes, methods, devices, or techniques to support advancement in equipment or technologies. The purpose of these grants and cooperative agreements is to garner high quality, advanced concepts research and development efforts related to important scientific problems and capability gaps identified by the first responder community that could lead to significant public benefits.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is used for labor and materials in support of basic efforts supporting the purpose of this grant. Refer to program guidance.

Applicant Eligibility:

States, local governments, private, public, profit or nonprofit organizations, Indian Tribal governments, or individuals specified by U.S. Appropriation Statute, including U. S. and international institutions of higher education and educational laboratories.

Beneficiary Eligibility:

Federal, State, and local governments, private, public, profit or nonprofit organizations, Indian tribal governments, and individuals.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Some - see program guidance document.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than nine months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of three years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999 - DUNS Number: 009095365.

Obligations:

(Salaries) FY 16 \$1,548,332; FY 17 est \$2,716,638; and FY 18 est \$2,000,000

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0800.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: 1) APCO provided support on the P25 CAP reorganization for DHS review and approval and a recommendation to describe the relationships among the new user-based Leadership Group and its committee structure, the TIA TR-8 process and organization, the P25 CAP process, and the national public safety associations and federal entities involved, as well as the operational aspects of the future process and organization. In addition, to providing support services for Regional Planning Committees (RPCs) and the National Regional Planning Council (NRPC); they restructured and updated the Computer Assisted Pre-Coordination Resource and Database (CAPRAD) System which aids in the management of the public safety radio frequency spectrum. APCO International provided a centralized forum for information sharing directly related to the first responder community and provides opportunities to analyze and correlate information among the first responder agencies. Additionally, APCO International provided:
Migrated existing CAPRAD to new platform to meet user requirements;
Added security features and improved user friendly interface at the request of users;
Developed a single central repository to address the 800 MHz regional planning committees request to have access to an adjacent regions planning process or applications;
Incorporated part of the NCCs recommendation to establish a nationwide CAPRAD database that all 700 MHz regions could utilize as a pre-planning and pre-coordination tool for 700 MHz frequency band public safety band applicants.
Completed the cost analysis and developed the best system upgrade options for the CAPRAD program and meeting objectives 1 16 from the original grant.

2) Both award recipients completed the material testing, material selection, and garment design for the prototype base ensemble. Due to unexpected delays in the National Fire Protection Association (NFPA) 1975 certification process by their industrial partners, garments were not NFPA certified or manufactured in FY 2016 for distribution to first responders for wear testing and evaluation. This is anticipated to occur in FY 2017.

3) Testing of baseline turnout gear to characterize its performance was accomplished for the Smoke and Particulate Resistant Structural Firefighter Turnout (SRT) project. The main goal for this project is to develop a prototype firefighter turnout ensemble system to enhance protection against smoke and toxic vapors during and after fire suppression missions, while maintaining functionality and not degrading comfort. Prototype designs that incorporates

features to prevent smoke and particulate infiltration through the turnout gear interfaces were developed. Initial testing of one prototype design showed superior performance for particulate infiltration compared to the baseline turnout gear. Work is continuing to refine the prototype designs.

4) A new project to provide Respiration Protection for Firefighters during Overhaul Operations was initiated. The project goal is to develop a respiratory protection system for use by firefighters during overhaul operations. After a structure fire has been suppressed firefighters conduct overhaul operations to extinguish any smoldering hot spots. Initially firefighters wear their self-contained breathing apparatus (SCBA), but commonly swap the SCBA for a particulate filter mask when the carbon monoxide (CO) concentration drops below 35 parts per million (ppm). However, particulate masks do not provide protection against chemical vapors and there is no correlation between the CO concentration and that of other toxic contaminants. The filter that will be developed will be available for use with equipment from any manufacturer through the use of a standard STANAG 40 mm connector. The goal of the new system is to be much lighter and more comfortable than an SCBA, durable, require minimum maintenance, have low operating cost, and be reasonably priced.

5) The text to 9-1-1 project developed technology solutions to initiate a screen with emergency 9-1-1 text on a translators computer along with a VoIP (voice over internet protocol) voice call to connect the translator and responding 9-1-1 operator in real time. An application program interface (API) was developed to enable the connection of language service providers (LSPs) who conduct real time translations of texts and the 9-1-1 center operators who receive the texts directly on their screens from the wireless carriers. Machine translation of emergency texts was developed concurrently, and will be benchmarked for performance against human translators.

6) National Information Sharing Consortium (NISC) accomplished:

Kick Off Meeting and Work Plan completed for grant award made at end of FY15 (9/14/15)

Conducted CHECKPOINT 16 on May 11, 2016, a multi-national information sharing exercise that tested and evaluated situational awareness and decision support tools for the emergency management and homeland security communities. Key highlights included:

- o Participation from over 60 individuals from local, state, and federal government agencies, state National Guard units, private sector companies, Canadian participants, and nonprofit organizations, demonstrating broad interest in the exercise and information sharing tools featured. Following the exercise, several participants indicated interest in adopting tools into their own organizations;
- o Development and use of the Battle Rhythm Manager, a virtual exercise facilitation tool that was made available to all NISC members for their own exercise purposes and was an important facilitation tool during the January 23, 2017 New Orleans Flood Experiment.
- o Development of a preliminary plan for implementing REBOUND 17, a multi-phase information sharing exercise to increase awareness of and evaluate information sharing tools and best practices for the emergency management and homeland security community.

Added three information sharing tools to the NISC Member Portal, including the Battle Rhythm Widget, the CHECKPOINT 16 Exercise Viewer, and the Mutual Aid Resource Planner and providing trainings on how to integrate tools into members operational environments.

Hired NISCs Executive Director, to serve in a volunteer capacity to lead fundraising efforts, NISC operations, and strategic activities to ensure long-term sustainability of the NISC.

Received a Gold Sponsorship from G&H International Services, Inc. to provide continued staff and technical support for the organization. Fiscal Year 2017: The Smoke and Particulate Resistant Structural Firefighter Turnout gear should be developed and commercialized.

A) Congress legislated P25 CAP to ensure LMR equipment complies with P25 standards for interoperability across suppliers. The P25 CAP is a partnership of DHSs Office for Interoperability and Compatibility (OIC), industry, and the first responder community. The initial program focused on the Common Air

Interface, which allows for over-the-air compatibility between radios and tower equipment. To continue improving the P25 CAP process, OIC developed a Compliance Assessment Bulletin (CAB) for testing of the Inter Sub-system Interface (ISSI) as part of the P25 CAP. However, P25 CAP recognized laboratories have been reluctant to expand their testing beyond the Common Air Interface (CAI) to include the ISSI. In part, the reluctance can be attributed to a seeming lack of financial benefit for the labs to invest in the resources needed to conduct ISSI testing. More recently, OIC has initiated the development of a CAB for testing of the Console Sub-System Interface (CSSI). It is believed that the laboratory requirements would be very similar for a participating laboratory to conduct testing for both of these interfaces. OIC has an opportunity to effect change in this area. OIC is interested in the capability of testing and evaluating ISSI and CSSI equipment from the various vendors. OIC will share its existing knowledge on the topic and refer to others with ISSI/CSSI testing expertise and work closely with the P25 CAP Advisory Panel members and other US Federal law enforcement organizations.

NFPA 1975 certification should be obtained by the industrial partners for both of the base ensemble award recipients. The garments that were developed under the awards for both recipients should be manufactured and distributed to first responders for wear testing and evaluation. In addition, in support of the First Responders Group Next Generation First Responder (NGFR) APEX program, additional base ensemble garments have been requested from one award recipient for use by first responders during the NFRGs Spiral 2 exercise that is scheduled to occur during FY 2017.

Work on the SRT project is continuing. This includes testing by firefighters to determine what prototype turnout configuration meets the requirements of the project, while minimizing any change to normal structural firefighter concept of operations. This concept garment will then be submitted by the award recipients industrial partner for NFPA 1971 certification. It is anticipated that the development of the SRT turnout and selection of the desired prototype configuration will occur in FY 2017. NFPA 1971 certification and commercialization by the award recipients industrial partner is anticipated to occur in FY 2018.

Testing and characterization of potential filter media for use in the Respiration Protection for Firefighters during Overhaul Operations project will continue during FY 2017. Both particulate filtration media and charcoal filtration (to remove hazardous vapors) will be investigated. The goal of the project is to meet or exceed High Efficiency Particulate Air (HEPA) standards for particulates and Permissible Exposure Limits (PEL) for hazardous vapors.

B) National Information Sharing Consortium (NISC)

Update the NISC Strategic Plan to cover the next 3-5 years and focus on strategic priorities to increase revenue and expand business opportunities. Increase NISC membership through outreach and engagement in exercises (e.g. CHECKPOINT 17)

Update the NISC publications and guidance for the emergency management and healthcare communities.

Continue to solicit sponsorships for financial support from other information sharing and geospatial information systems organizations.

C) National Alliance for Public Safety GIS (NAPSG)

Assess the geospatial information sharing capabilities of the GIS Inventory, and its integration, interoperability, and scalability with the DHS Geospatial Information Infrastructure (GII) and other existing capabilities

Identify common mutual aid information to be shared across organizations

Identify and develop guidance for Mutual Aid Resource management dashboards

Test interoperability and integration of existing mutual aid technologies and standards-based tools

D) Deliver a protocol for answering text-to-911 calls that includes scripts and best practices necessary for effective, accurate and efficient text-to-911 provisioning. Conduct pilot testing of the technology solution to validate and refine the preliminary text-to-9-1-1 translation operational requirements and to collect data on the number, length, and complexity of text-to-911 interactions in

Spanish. The pilot is critical to ensure that all overall project deliverables are informed by real world Public Safety Answering Point (PSAP) input and field tested to validate assumptions and thresholds. The Text-to-911 specification and standard will be finalized, and a cost model template and cost basis will be developed. Fiscal Year 2018: The overall program goal is to facilitate user input into public safety land mobile radio interoperability standards and compliance processes and support planned utilization of the public safety radio frequency spectrum.

(NISC)

Conduct an information sharing exercise to test situational awareness and decision support tools with engagement from the first responder community and homeland security enterprise.

Hire a NISCs Executive Director to lead fundraising efforts, NISC operations, and strategic activities to ensure long-term sustainability.

Solicit corporate sponsorships to reach goal of self-sustainment.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos. A-21, Cost Principles for Educational Institutions, A-87, Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements With Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

First Responders Group, S&T 245 Murray Lane, S.W., Washington, District of Columbia 20548 Phone: (202) 254-5604, (202) 254-6748

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.110 SEVERE REPETITIVE LOSS PROGRAM

(SRL)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

The SRL program is authorized by Section 1361A of the NFIA , Public Law 108-264, 42 U.S.C 4102a.

OBJECTIVES:

To assist States and local governments in supporting actions that reduce or eliminate the long-term risk of flood damage to residential properties insured under the National Flood Insurance Program (NFIP) that meet the definition of severe repetitive loss property, and to reduce losses to the National Flood Insurance Fund (NFIF) by funding projects that result in the greatest savings to the NFIF in the shortest time period. This grant program supports the Mitigate component of the Presidential Preparedness Directive 8 (PPD-8) in the following ways: by providing funding to states and communities that promotes Long-Term Vulnerability Reduction by elevating at-risk homes or removing structures from flood hazard areas. Overall, this program also supports Community Resilience by reducing the need for emergency services in flood-prone areas of the community.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

States, Territories, local and Federally - recognized Indian tribal governments may apply for SRL funding to reduce or eliminate the long-term risk of flood damage to residential properties insured under the NFIP that meet severe repetitive loss property eligibility criteria. Eligible activities under this program include: acquisition and relocation of at-risk structures and the conversion of property to open space; elevation of existing structures to the Base Flood Elevation (BFE) or an ABFE Advisory Base Flood Elevation (ABFE) or higher (for the SRL program Mitigation Reconstruction is only permitted when traditional elevation cannot be implemented); minor physical localized flood control projects; and dry-flood proofing (historic properties only). Projects must meet all eligibility criteria including cost-effectiveness; compliance with environmental and historic preservation laws and regulations and other program requirements.

Applicant Eligibility:

Entities eligible to apply for HMA grants include the emergency management agency or a similar office of the 50 States, the District of Columbia, American Samoa, Guam, the U.S. Virgin Islands, Puerto Rico, the Northern Mariana Islands, and Indian Tribal governments. Each State, Territory, Commonwealth, or Indian Tribal government shall designate one agency to serve as the Applicant for each HMA program. Please see the HMA program guidance for more detailed information.

Beneficiary Eligibility:

State agencies, Indian Tribal governments, and local governments and communities are eligible to apply as subapplicants for assistance under the SRL program. All interested subapplicants must apply to the Applicant. Please see the HMA program guidance for detailed information.

Credentials/Documentation:

Please see the HMA Program Guidance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact the headquarters or regional office, as appropriate, for application deadlines

Award Procedure:

Applications are reviewed by DHS/FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to HMA program guidance document.

Appeals:

Property owners who refuse an SRL mitigation offer may become subject to increases of their NFIP insurance premium rates, and have the right to appeal such rate increases. Refer to HMA program guidance or the administering program office for further information.

Renewals:

Refer to HMA program guidance document.

Formula and Matching Requirements:

Statutory Formula: 44 CFR Part 79.

Matching Requirements: Refer to HMA program guidance for additional information.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to HMA program guidance. Awards are subject to the Cash Management

Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Based on project need.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantee's fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-4236-0-3-453.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This program remains open due to awards that have not yet closed.

Range and Average of Financial Assistance:

Refer to HMA program guidance document.

TAFS Codes:

70-4236.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The SRL and FMA Program Regulations at 44 CFR Part 79; the DHS FEMA

Real Property Acquisition Regulations at 44 CFR Part 80, and the HMA program guidance.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for listing of addresses of the DHS FEMA Regional Offices.

Headquarters Office:

Lloyd Hake - FEMA 1800 South Bell Street , Arlington, Virginia 20595-3015
Email: lloyd.hake@dhs.gov Phone: (202) 646-3428

Website Address:

<http://www.fema.gov/government/mitigation.shtm>

RELATED PROGRAMS:

97.029 Flood Mitigation Assistance; 97.039 Hazard Mitigation Grant; 97.047 Pre-Disaster Mitigation; 97.092 Repetitive Flood Claims

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the HMA program guidance for information on criteria for selecting proposals.

97.113 RAIL AND TRANSIT SECURITY GRANT PROGRAM (ARRA)

Transit Security Grant Program (American Recovery and Reinvestment Act of 2009)

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

The American Recovery and Reinvestment Act (ARRA) of 2009 , Public Law 115-5, 6 U.S.C 1135.

OBJECTIVES:

To create sustainable programs for the protection of critical bus and infrastructure from terrorism, with special emphasis on construction projects which address the most significant risks and can also be completed in a timely fashion.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Programs included under the CFDA number are: the ARRA Transit Security Grant Program (TSGP), Consistent with sections 1406 and 1513 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (Public Law 110-53; 6 U.S.C. 1135 and 1163, funds may be used for planning, organizational activities, equipment acquisition, training, management and administrative activities, and other costs the Secretary deems appropriate to support the objectives of the program. Priority will be given to operational packages and capital projects which address the most significant risks and can also be completed in a timely fashion.

Applicant Eligibility:

Pursuant to PL 110-53, in order for a transit agency to be eligible for the ARRA TSGP, they must have either undergone a security assessment conducted by DHS (such as the Baseline Assessment for Security Enhancement (BASE) program security assessment performed by TSA Transportation Security InspectorsSurface, or developed and/or updated their own security plan within the past three years. A list of eligible transit agencies is included in the ARRA TSGP guidance.

Beneficiary Eligibility:

Refer to program guidance.

Credentials/Documentation:

P.L. 111-5 American Recovery and Reinvestment Act of 2009, Section 1511 Certifications: With respect to covered funds made available to State or local governments for infrastructure investments, the Governor, mayor, or other chief executive, as appropriate, shall certify that the infrastructure investment has received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of

taxpayer dollars. Such certification shall include a description of the investment, the estimated total cost, and the amount of covered funds to be used, and shall be posted on a website and linked to the website established by section 1526 of the American Recovery and Reinvestment Act of 2009. A State or local agency may not receive infrastructure investment funding from funds made available in this Act unless this certification is made and posted. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or application guidance for further information.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. See the following for information on how assistance is awarded/released: Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

(1) The total amount of recovery funds received from that agency; (2) The amount of recovery funds received that were obligated and expended to projects or activities. This reporting will also include unobligated Allotment balances to facilitate reconciliations. (3) A detailed list of all projects or activities for which recovery funds were obligated and expended, including-- (A) The name of the project or activity; (B) A description of the project or activity; (C) An evaluation of the completion status of the project or activity; (D) An estimate of the number of jobs created and the number of jobs retained by the project or activity; and (E) For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name of the person to contact at the agency if there are concerns with the infrastructure investment. (4) Detailed information on any subcontracts or subgrants awarded by the recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282), allowing aggregate reporting on awards below \$25,000 or to individuals, as prescribed by the Director of OMB. Financial Status Reports. Progress Reports. Financial Status Reports

Recovery Act funding reports. Subrecipient monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0563-0-1-999 - TAFS: 70 0563.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - The ARRA TSGP was only available in FY 2009. This program will remain open due to open awards.

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0563.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Memorandum M-09-10 Subject: Initial Implementing Guidance for the American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

None.

Headquarters Office:

Grant Programs Directorate, FEMA DHS/FEMA/GPD

400 C Street, SW

3N

, Washington, District of Columbia 20472 Email: askcsid@dhs.gov Phone:

(1-800) 368-6498

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.115 ASSISTANCE TO FIREFIGHTERS GRANT (ARRA)

ARRA Fire Station Construction

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To provide financial assistance directly to fire departments to enhance their capabilities with respect to fire and fire-related hazards. To provide financial assistance in the construction, upgrading or rehabilitation of fire stations.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Fire station construction, (Public Law 111-5 American Recovery and Reinvestment Act of 2009, Section 1511); Eligible Uses: new station construction, existing station upgrading or rehabilitation; up to 5 percent shall be for program administration and no grant shall exceed \$15,000,000. Restrictions: certification as cited below in 083 Credentials/Documentation; funded only under Public Law 111-5.

Applicant Eligibility:

Refer to program guidance.

Beneficiary Eligibility:

Refer to program guidance.

Credentials/Documentation:

Each applicant must certify: (1) that they are an eligible applicant, i.e., a fire department as defined above; (2) as to the characteristics of their community, i.e., Urban, Suburban, or Rural; (3) to the population size of the community served; and (4) to the type of department, i.e., volunteer/combination or career. Public Law 111-5 American Recovery and Reinvestment Act of 2009, Section 1511 Certifications: With respect to covered funds made available to State or local governments for infrastructure investments, the Governor, mayor, or other chief executive, as appropriate, shall certify that the infrastructure investment has received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Such certification shall include a description of the investment, the estimated total cost, and the amount of covered funds to be used, and shall be posted on a website and linked to the website established by section 1526 of the American Recovery and Reinvestment Act of 2009. A State or local agency may not receive infrastructure investment funding from funds made available in this Act unless this certification is made and posted. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are peer reviewed, and reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

From 15 to 30 days. Applicants that were denied grants can request a reconsideration of the decision. Such a request may be successful if it is determined that the rating criteria were not appropriately applied, or there was a flaw in the competitive process that unfairly disadvantaged the applicant, or there was human error in the processing of the grant application. Requests for reconsideration must be submitted in writing within 30 days of the date of the notification of denial.

Renewals:

> 180 Days. Grant period is 36 months for this program. In the event that the grantees are unable to fulfill their grant obligations within the 36-month grant period, they may request an extension of the performance period. Such requests will be considered and approved based on the written justification.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Grantees are required to submit Progress Reports which will include a narrative statement that details the progress of each award. Recovery funding reports will be submitted in the prescribed format within 10 days after the end of each calendar quarter, beginning July 10, 2009. These reports will include the following data elements, as prescribed by the Public Law 111-5, Section 1512 and OMB Memorandum M-09-10: (1) The total amount of recovery funds received from that agency; (2) The amount of recovery funds received that were obligated and expended to projects or activities. This reporting will also include unobligated Allotment balances to facilitate reconciliations. (3) A detailed list of all projects or activities for which recovery funds were obligated and expended, including-- (A) The name of the project or activity; (B) A description of the project or activity; (C) An evaluation of the completion status of the project or activity; (D) An estimate of the number of jobs created and the number of jobs retained by the project or activity; and (E) For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name of the person to contact at the agency if there are concerns with the infrastructure investment. (4) Detailed information on any subcontracts or subgrants awarded by the recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282), allowing aggregate reporting on awards below \$25,000 or to individuals, as prescribed by the Director of OMB. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0567-0-1-999.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - This program was funded in FY 09 only. Program remains open due to open awards.

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0561.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Federal Fire Prevention and Control Act of 1974, 15 U.S.C. 2229 et seq. In

addition, the program guidance, the FAQs, an applicant tutorial, and other program information can be found on the programs website at www.firegrantsupport.com. OMB Memorandum M-09-10 Subject: Initial Implementing Guidance for the American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

See Regional Agency Offices. The Assistance to Firefighters Grants are administered from the FEMA Headquarters Office. FEMA also has 10 regional offices which house Fire Program Specialists who may be contacted for information on the program.

Headquarters Office:

Assistance to Firefighters Grant Program TechWorld Bldg - South Tower 5th Floor
500 C Street, SW
, Washington, District of Columbia 20472-3615 Email: firegrants@dhs.gov
Phone: (1-866) 274-0960

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

15.031 Indian Community Fire Protection; 15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance; 97.018 National Fire Academy Training Assistance; 97.043 State Fire Training Systems Grants; 97.044 Assistance to Firefighters Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.116 PORT SECURITY GRANT PROGRAM (ARRA)

ARRA Port Security Grant Program

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Maritime Transportation Security Act (46 U.S.C. Section 70107); Public Law 111-5, American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

To create a sustainable program for the protection of regulated ports from terrorism, with one priority on construction projects which address the most significant risks and can also be completed in a timely fashion.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for costs associated with maritime domain awareness; IED prevention, protection, response, and recovery capabilities; and management and administration. The program guidance outlines uses and restrictions in detail. One of the priorities for the American Recovery and Reinvestment Act (ARRA) Port Security Grant Program (PSGP) is construction projects which address the most significant risks and can also be completed in a timely fashion.

Applicant Eligibility:

Refer to program guidance.

Beneficiary Eligibility:

Refer to program guidance.

Credentials/Documentation:

Public Law 111-5, American Recovery and Reinvestment Act of 2009, Section 1511 Certifications: With respect to covered funds made available to State or local governments for infrastructure investments, the Governor, mayor, or other chief executive, as appropriate, shall certify that the infrastructure investment has received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Such certification shall include a

description of the investment, the estimated total cost, and the amount of covered funds to be used, and shall be posted on a website and linked to the website established by section 1526 of the American Recovery and Reinvestment Act of 2009. A State or local agency may not receive infrastructure investment funding from funds made available in this Act unless this certification is made and posted. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not required for all participants. Applicants within designated states are required to coordinate with state designated authorities. See guidance documents for details. Environmental Historical Preservation information is required post award for projects funded through this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or application guidance for further information.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: No information provided.

Reports:

(1) The total amount of recovery funds received from that agency; (2) The amount of recovery funds received that were obligated and expended to projects or activities. This reporting will also include unobligated Allotment balances to facilitate reconciliations. (3) A detailed list of all projects or activities for which recovery funds were obligated and expended, including--(A) The name of the project or activity; (B) A description of the project or activity; (C) An evaluation of the completion status of the project or activity; (D) An estimate of the number of jobs created and the number of jobs retained by the project or activity; and (E) For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name of the person to contact at the agency if there are concerns with the infrastructure investment. Financial Status Reports. Program Progress Reports. Detailed information on any subcontracts or subgrants awarded by the recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282), allowing aggregate reporting on awards below \$25,000 or to individuals, as prescribed by the Director of OMB. Subrecipient monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit

Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0563-0-1-402 - TAFS: 70 0563.

Obligations:

(Project Grants) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - The ARRA Port Security Grant Program was only funded for FY 2009. This program remains open due to open awards.

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0563.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Memorandum M-09-10 Subject: Initial Implementing Guidance for the American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

None.

Headquarters Office:

Assistance to Firefighters Grant Program TechWorld Bldg - South Tower 5th Floor
500 C Street, SW, Washington, District of Columbia 20472-3615 Email: askcsid@dhs.gov Phone: (1-866)274-0960

Website Address:

<http://www.fema.gov/government/grant/index.shtm>

RELATED PROGRAMS:

97.018 National Fire Academy Training Assistance; 97.043 State Fire Training Systems Grants; 97.044 Assistance to Firefighters Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.120 RURAL EMERGENCY MEDICAL COMMUNICATIONS DEMONSTRATION PROJECT

FEDERAL AGENCY:

National Protection & Programs Directorate (NPPD), Department of Homeland Security

AUTHORIZATION:

Consolidated Appropriations Act, 2016, Public Law 114-113.

OBJECTIVES:

The Border Interoperability Demonstration Project program ended and all of the grants closed out in FY2015. The 97.120 CFDA will be used in FY2016 for the

newly authorized Rural Emergency Medical Communications Demonstration Project will leverage emergency communications infrastructure, improve operational effectiveness, and provide communications training and education to enable improved rural medical services.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The improvement of medical communication systems and existing infrastructures, and of rural communities ability to access medical services. Refer to program guidance for further information. Non-Competitive grant program.

Applicant Eligibility:

Please refer to the Notice of Funding Opportunity Announcement.

Beneficiary Eligibility:

Refer to program guidance for further information.

Credentials/Documentation:

Refer to program guidance for further information. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application have been negotiated with the successful applicant prior to the award being issued.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The BDP application period has closed and the FY16 REMCDP application period will be opened for a new award.

Appeals:

Refer to program guidance for further information.

Renewals:

Refer to program guidance for further information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on project need.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. States are responsible for reporting on the status of the funding distribution to the Department of Homeland Security no later than 90 days after an award is made to a State. In addition, projects selected for funding are required to report on and share lessons learned. Refer to program guidance. Refer to program guidance. Refer

to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues that arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0565-0-1-999.

Obligations:

(Salaries) FY 16 \$0; FY 17 est \$0; and FY 18 est \$0 - FY2016 appropriation for the Rural Emergency Medical Communications Demonstration Project has been authorized and one new award will be obligated.

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0565.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: The grant recipient, University of Mississippi Medical Center (UMMC), began developing the First Hands Project, a training program designed to use medical education along with communications education and exercises to empower first providers giving medical care in rural communities. Accomplishments included: Began the search to hire staff such as the program manager and field support specialists, started outreach and engagement with public safety groups throughout the state regarding the First Hands training program, designed and developed First Hands Training program content and objectives, procured six vehicles to be located at Mississippi Center for Emergency Services operational bases to support First Hands training travel across the state, and evaluated options and solutions for capturing and evaluating participant feedback on the First Hands training program, as well as baseline comparison data on current emergency communications practices. Fiscal Year 2017: The grant recipient will finalize beta testing for the First Hands training program, hire key staff necessary to oversee and conduct the training, and officially launch the training program. Fiscal Year 2018: The grant recipient will collect best practices and lessons learned to refine the First Hands training program and work with OEC to determine how this training program can be used by similar stakeholders to support rural communities.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 Code of Federal Regulations (CFR) 13 Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; OMB Circular A-87, relocated to 2 CFR Part 225. Cost Principles for State, Local, and Tribal Governments; OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Cyber Security and Communications (CS&C)/Office of Emergency Communications (OEC), NPPD 4601 North Fairfax Drive, Arlington, Virginia 22201 Email: OECGrants@hq.dhs.gov Phone: (703) 235-4025

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to program guidance.

97.122 BIO-PREPAREDNESS COLLABORATORY

FEDERAL AGENCY:

Office of Health Affairs (OHA), Department of Homeland Security

AUTHORIZATION:

Section 102(b)(2) of the Homeland Security Act of 2002, as amended; Department of Homeland Security Appropriations Act, 2010, Title III, Public Law 111-83, 2157 Stat.

OBJECTIVES:

This program supports the development of a comprehensive, statewide system to strengthen the Nations ability to identify and respond to biological threats and other health and safety hazards and to foster an environment of collaboration among organizations with a role in health protection. This program works toward a national preparedness system to guide activities that will enable the Nation to achieve the National Preparedness Goal. The system will allow the Nation to track the progress of our ability to build and improve the capabilities necessary to prevent, protect against, mitigate the effects of, respond to, and recover from those threats that pose the greatest risk to the security of the Nation.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Restricted to a demonstration project that would support the development of a comprehensive, statewide system to analyze public health trends and detect incidents that may threaten homeland security. Additional information concerning uses and restrictions is contained in the program guidance document or can be obtained from the administering program office identified in this announcement. Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. See program guidance. Please refer to the program guidance for further information. Refer to the program guidance for further information.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

Refer to the program guidance for further information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

Subject to future appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: Jan. 30, April 30, July 30, and Oct. 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0117-0-1-453.

Obligations:

(Salaries) FY 16 \$3,400,000; FY 17 est \$1,500,000; and FY 18 est \$0

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0117.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: - Enhance analytics to include machine learning classification development and natural language processing to improve accuracy of syndrome query results.

- Transition system to HTML5 to become more mobile and user friendly.
- Development of a draft business plan based on market research. Fiscal Year 2017: - Expanded geography to include data acquisition from at least two (2) additional locations with Data Use Agreements (DUAs) in place for at least five (5) additional jurisdictions.
- Transition core capabilities from the University-sponsored environment to the

legal successor entity while maintaining the ability to deliver a bio-surveillance capability to NCBP stakeholders.

- Develop advanced analytic algorithms or approaches to alerting on a specific use-case that is meaningful to the existing NCBP user community including Opioid Overdoses and Motor Vehicle Crashes.

- Transition to Amazon Web Services hosting platform to improve and maintain operations of a stable, secure, and HIPAA-compliant system as well as meet standards to protect other sensitive type of information. Fiscal Year 2018: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

(Refer to section #140 in CFDA reference manual. OMB Circulars No. A-102, Grants and Cooperative Agreements with State and Local Governments and A-87, Cost Principles for State, Local and Indian Tribal Governments, A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations, A-21, Cost Principles for Educational Institutions, A-122, Cost Principles for Non-Profit Organizations, and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Dr. Tom McGinn, Senior Health Advisor/Project Officer 1120 Vermont Ave. NW, Office 6-158, Washington, District of Columbia 20528 Phone: (202) 262-7657

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.123 MULTI-STATE INFORMATION SHARING AND ANALYSIS CENTER

(MS-ISAC)

FEDERAL AGENCY:

National Protection & Programs Directorate (NPPD), Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Title II, 6 U.S.C 121(d); Consolidated Appropriations Act, 2014, Division F, Title III, Protection, Preparedness, Response and Recovery, National Protection and Programs Directorate, Infrastructure Protection and Information Security, Title III, Public Law 113-76.

OBJECTIVES:

The MS-ISAC is a key resource for significantly enhancing State, local, tribal and territorial governments cyber security posture by providing enhanced expertise, information sharing, and operational support. This centralized approach also leverages the economies of scale thereby maximizing the utilization of scarce state resources to protect the critical systems. The MS-ISAC also manages a 24x7x365 cyber security operations center.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Restricted to supporting the activities of the Multi-State Information Sharing and Analysis Center (MS-ISAC), involving engagement with State, Tribal and local governments. Additional information concerning uses and restrictions is contained in the Funding Opportunity Announcement document or can be obtained from the administering program office identified in this announcement. Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs,

subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. See Funding Opportunity Announcement. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Applicant Eligibility:

This funding opportunity is restricted to the Multi-State Information Sharing and Analysis Center (MS-ISAC). Specific information on applicant eligibility is identified in the funding opportunity announcement.

Beneficiary Eligibility:

State Governments, local government, territorial governments, tribal governments and territories.

Credentials/Documentation:

Refer to Section 140: Regulations, Guidelines, and Literature. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to Funding Opportunity Announcement.

Appeals:

Not Applicable.

Renewals:

Subject to future appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to Funding Opportunity Announcement. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to Funding Opportunity Announcement.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: Jan. 30, April 30, July 30, and Oct. 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Grantees are required to submit a monthly report of planned, projected and disbursed funds. Grantees are required to submit quarterly performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: Jan. 30, April 30, July 30, and Oct. 30. Refer to the program guidance. Grantees are required to submit a monthly report of planned, projected and disbursed funds. Grantees are required to submit a monthly report of planned, projected and disbursed funds.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0565-0-1-999.

Obligations:

(Salaries) FY 16 \$9,500,000; FY 17 est \$9,000,000; and FY 18 est \$9,000,000

Range and Average of Financial Assistance:

Refer to Funding Opportunity Announcement.

TAFS Codes:

70-0565.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Completing monitoring expansion to all 56 States and Territories

Increased membership by 31.5%

Number of MS-ISAC CERT engagements 169 in 2014, 164 in 2015 and 171 in 2016 An Engagement is assisting an SLTT with a cyber incident. This typically may include one, or all of the following: log analysis, malware analysis and full forensics review of the suspect system (s) and remediation recommendations.

Increased local participation in the NCSR by 103%

Increased automated indicator sharing by 157% (from 33 to 85 entities) This is the number of entities that are connected to the automated indicator sharing platform (Soltra Edge) which includes DHS.

Promoting DHS Programs such as NCATS, Cyber Security Exercises, Cyber Security Advisors, distribute DHS materials, etc. to the MS-ISAC members and conference attendees across the country.

Increased products covered by VMP by 142% (from 7 products to 17)

Increased threat actor tracking by 81% (from 326 to 591 actors) The threat actor tracking enables us to identify TTPs which are available to all MS-ISAC analysts. The analysts use this information in analyzing and providing assessment of threats and responding attacks impacting SLTTs. Threat actor tracking is also used to develop signatures that are deployed to Albert devices. The information is also used in reports provided to members.

Analyzing the use of cloud services for data analysis. The size and scale of our Netflow data repository has exceeded our ability to provide timely enterprise analysis of the data. A query of all of our Netflow data can take up to a week with our current platform. We are analyzing different options to see if a cost effect solution can be found. We have meetings/discussions scheduled with US-CERT, Carnegie Melon, DARPA, cloud providers and data analysis tool providers to assess what the options are.

Expanded membership partnerships by holding 3 Open Houses (7 to 10 members on-site for a 2 day exchange program

Support State ISAO initiatives We have products and tools that would be valuable for the state ISAOs that are forming to support critical infrastructure owners and operators in their respective states. One of the first questions by any prospective ISAO member is, how can you help me? We can assist with that. For example, if a state provided us with the IPs and domains of its CI partners, we could add them to our databases and notify the state ISAO regarding vulnerable domains, compromised credentials, connections to sink holes, etc., belonging to their ISAO members. They would also re-distribute all of the DHS and FBI products that we currently send to members. This will be a tremendous value add, which should encourage CI owners and operators to see the value of joining the state ISAO. Fiscal Year 2017: Sustain monitoring of all 56 States and Territories

Increasing membership by 20%

Increasing CERT engagements by 10%
Increasing participation in the NCSR by 20%
Increasing automated indicator sharing by 20%
Promoting DHS Programs
Increasing products covered by VMP
Expand threat actor tracking
Expanding Membership partnerships to include staff exchange program
Support State ISAO initiatives. Fiscal Year 2018: Sustain monitoring of all 56 States and Territories
Increasing membership by 20%
Increasing CERT engagements by 10%
Increasing participation in the NCSR by 20%
Increasing automated indicator sharing by 20%
Promoting DHS Programs
Increasing products covered by VMP
Expand threat actor tracking
Expanding Membership partnerships
Support State ISAO initiatives.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR Part 13, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, A-87, Cost Principles for State, Local and Indian Tribal Governments (2 CFR Part 225), A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations (2 CFR Part 215), A-21, Cost Principles for Educational Institutions (2 CFR Part 220), A-122, Cost Principles for Non-Profit Organizations (iii, 2 CFR Part 230), and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

None.

Headquarters Office:

Eric Goldstein or Kirsten Heidelberg 245 Murray Lane, SW, Mail Stop 0115
Attention: 720-A, Washington, District of Columbia 20528 Email:
eric.goldstein@hq.dhs.gov;kirsten.heidelberg@hq.dhs.gov Phone: (703) 235-5324 or (703) 235-5716

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This funding opportunity is restricted to the for Security Multi-State Information Sharing and Analysis Center (MS-ISAC). Specific information on criteria for selecting proposals is identified in the funding opportunity announcement.

97.127 CYBERSECURITY EDUCATION AND TRAINING ASSISTANCE PROGRAM (CETAP)

CETAP

FEDERAL AGENCY:

National Protection & Programs Directorate (NPPD), Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act, 2017, Public Law 115-31, III U.S.C.

OBJECTIVES:

This program promotes cybersecurity education at multiple grade levels, and is intended to do so throughout the United States; supports a coordinated effort to engage students early in their academic careers with a cybersecurity research group; provides a mechanism whereby cybersecurity education is available not only in the form of the formal education of students, but in the training of their teachers; increases the number of U.S. teachers with an interest in, knowledge of, and access to cybersecurity educational materials, increases the number of

students affected by cybersecurity knowledge, and develops at an early stage students interest in the cybersecurity career field. This program aligns with Mission 4 (Safeguarding and Securing Cyberspace) and addresses Goal 4.2 (Promote Cybersecurity Knowledge and Innovation) of the Department of Homeland Security Quadrennial Homeland Security Review. This program also addresses a priority area implemented by Presidential Policy Directive/PPD-8 (<http://www.dhs.gov/presidential-policy-directive-8-national-preparedness>) through its alignment with the campaign to build and sustain national preparedness. The program provides Federal financial assistance toward community-based efforts to increase knowledge of cybersecurity topics and encourage interest in cybersecurity as an academic pursuit and as a professional career.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Restricted to supporting the development and implementation of cybersecurity-related training programs to engage teachers and students by a coordinated effort. Additional information concerning uses and restrictions is contained in the funding opportunity announcement. Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. See the funding opportunity announcement for any restrictions.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcements.

Beneficiary Eligibility:

Refer to program guidance.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the funding opportunity announcements.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in an application will be negotiated with the successful applicants prior to an award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Subject to future appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to the funding opportunity announcements. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is

awarded/released: Method of awarding/releasing assistance: annual.

Reports:

Refer to program guidance. Cash reports are not applicable. Quarterly Progress Reports must include the progress of each sub-grant award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0565-0-1-999.

Obligations:

(Salaries) FY 16 \$4,000,000; FY 17 est \$4,000,000; and FY 18 est \$4,000,000

Range and Average of Financial Assistance:

Refer to the funding opportunity announcements.

TAFS Codes:

70-11-120-565-0565.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: In the FY16 grant period, NICERC continued evaluating the curricula for relevant application, as well as made significant progress in the develop of a new curricular offerings to include cyber-infused high school mathematics. The intent is to integrate cyber concepts into early high school-level mathematics material, while ensuring a logical flow of the course topics to engage the students and support their understanding of both mathematical and cyber concepts. In addition to the mathematics course, NICERC has begun working to build Cyber Society, a liberal arts course, as a full year-long course.

By the end of FY16 grant, NICERC projects training 2,577 new teachers, with a combined total of 4,150 teachers and 676,842 students affected overall. Fiscal Year 2017: In the FY17 grant period, NICERC will continue to update the curricula to ensure curricular learning platforms are applicable to the workforce demands, as well as provide educators with the depth and breadth needed to ensure student understanding of cyber knowledge, skills, and abilities. A new learning platform will be added to the Cyber Literacy, Cyber Literacy 2, and Cyber Science courses to allow maximum versatility within the classroom.

NICERC projects training approximately 3000 new teachers in the next grant period, with a combined total of approximately 4,650 teachers and over 1,000,000 students affected overall. Fiscal Year 2018: If funding levels continue, NICERC projects training approximately a greater number of new teachers in the next grant period compounding the number of students affected overall.

REGULATIONS, GUIDELINES, AND LITERATURE:

A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations (2 CFR Part 215), A-21, Cost Principles for Educational Institutions (2 CFR Part 220), A-122, Cost Principles for Non-Profit Organizations (2 CFR Part 230),

and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Daniel Stein 245 Murray Ln., SW, Bldg 410, Stop 0640, Washington, District of Columbia 20528-0640 Email: CETAP-Info@dhs.gov Phone: 703-705-6275

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the funding opportunity announcements for information on criteria for selecting proposals.

**97.128 NATIONAL CYBER SECURITY AWARENESS
FEDERAL AGENCY:**

National Protection & Programs Directorate (NPPD), Department of Homeland Security

AUTHORIZATION:

U.S. Department of Homeland Security of 2017, U.S.C 31; Homeland Security Act, National Cybersecurity Protection Act of 2014, Executive Order (EO) 13,636, PPD-21 Executive Order 13691 Promoting Private Sector Cybersecurity Information Sharing of February 13, 2015.

OBJECTIVES:

To provide funding for outreach, education, and technical assistance in order to raise public awareness of cybersecurity awareness nationally and increase national cyber preparedness. To empower and support citizens to use the Internet securely and safely, protecting themselves and the cyber infrastructure. To educate key stakeholder communities about cybersecurity issues as well as provide tools and resources to enhance cybersecurity awareness. Outreach and technical assistance may take several forms, such as: the provision of written information; maintaining a website; development of cybersecurity awareness collateral; establishment and maintenance of metrics to measure effectiveness of awareness efforts; and development of seminars, workshops or training sessions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. DHS grant funds may only be used for the purpose set forth in the cooperative agreement, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any other government entity. Refer to funding opportunity announcement. See above. See above.

Applicant Eligibility:

See above.

Beneficiary Eligibility:

See above.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR Part 200 applies to the ISAO program.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Refer to the funding opportunity announcement.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to the funding opportunity announcement. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Annual Lump sum (Period of Performance will be up to five years comprised on 5-12 month budget periods). Applicants will be making draw downs through the HHS-Payment Management System in accordance with the Cash Management Improvement Act. See the following for information on how assistance is awarded/released: Annual Lump sum (Period of Performance will be two years comprised on 2-12 month budget periods). Applicants will be making draw downs through the HHS-Payment Management System in accordance with the Cash Management Improvement Act.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter. Final financial and performance reports are due 90 days after the expiration or termination of grant award. See above. See above. Expenditure reports are not applicable. See above. See above. See above. Expenditure reports are not applicable. See above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. 2 CFR Part 200 applies to the ISAO program.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0565-0-1-999.

Obligations:

(Salaries) FY 16 \$550,000; FY 17 est \$550,000; and FY 18 est \$550,000

Range and Average of Financial Assistance:

Refer to the funding opportunity announcement.

TAFS Codes:

70-0565.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: NCSAM 2016s media footprint increased by 71% as compared to 2015. Expanding its media footprint, NCSAM 2016 generated 4.1 billion+ or 4,174,865,419 unique views an increase of 71% compared to 2015 from digital/print stories and press release distribution that mentioned National Cyber Security Awareness Month. Social media engagement increased, with the #CyberAware hashtag being used 70,264 times in October 2016 (a 14% increase over 2015). Additionally, more than 21,200 Twitter handles tweeted the #CyberAware hashtag during the month an 18% increase over the number of people who tweeted with the NCSAM hashtag in 2015. The hashtag potentially reached more than 68 million people (a 4% increase over last year) and generated nearly 493 million potential impressions (a 7% increase over last year). Fiscal Year 2017: Increased National Cyber Security Awareness Month participation and media involvement across the country from previous year. Gain additional far-reaching consumer-focused coverage, such as placements on the Today Show (or similar news/talk shows), CNN, etc. Increased use of social media placement and mentions from 2016, utilizing social media platforms such as Facebook, Twitter, and SnapChat. Fiscal Year 2018: Increased number of industry partner participation and strengthen private-public collaboration opportunities. Create new, creative, and innovative materials/collateral that gain national coverage and partner support. Capitalize on any new social media platforms and utilize existing platforms for increased social media presence than captured in 2017. Secure senior level leadership from industry to support NCSAM efforts and participate/speak at key events where possible.

REGULATIONS, GUIDELINES, AND LITERATURE:

A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations, A-21, Cost Principles for Educational Institutions and A-122, Cost Principles for Non-Profit Organization.)

2 CFR Part 200 applies to the ISAO program.

Regional or Local Office:

None.

Headquarters Office:

Daniel Stein 245 Murray Ln., SW, Stop 0640
, Arlington, Virginia 20598-0640 Email: Daniel.Stein@hq.dhs.gov Phone:
(703) 705-6275

Website Address:

<https://www.dhs.gov/national-cyber-security-awareness-month>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the funding opportunity announcement for information on criteria for selecting proposals.

97.130 NATIONAL NUCLEAR FORENSICS EXPERTISE DEVELOPMENT PROGRAM

(NNFEDP)

FEDERAL AGENCY:

Domestic Nuclear Detection Office (DNDO), Department of Homeland Security

AUTHORIZATION:

Nuclear Forensics and Attribution Act (Public Law 111-140; Sec. 4(a)(12), Public Law 111-140, U.S.C 4(a)(12).

OBJECTIVES:

The objectives of this program are: (1) to provide a stable foundation from which to develop and maintain the nuclear forensics workforce; (2) to provide an academic pathway from undergraduate to post-doctorate study in disciplines directly relevant to nuclear forensics, including but not limited to radiochemistry, geochemistry, nuclear physics, nuclear engineering, materials science, and analytical chemistry; and (3) to increase a diverse and highly talented cadre of new and emerging forensics experts who will fill specific expertise gaps in the area of nuclear forensics, an important homeland security mission. These objectives are aligned with Presidential Policy Directive (PPD)-8, National Preparedness, in that the NNFEDP aims to supply the technical expertise critical to enabling the nuclear forensics mission. This mission involves strengthening the security and resilience of the United States through systematic preparation for the threat of nuclear terrorism. Terrorism is cited among a short list of major threats in PPD-8 as posing the greatest risk to the security of the Nation. These objectives are also aligned with the DHS Quadrennial Homeland Security Review (QHSR) Mission 1: Preventing Terrorism and Enhancing Security. The NNFEDP supports the following Goals and Objectives:

Goal 1.1: Prevent Terrorist Attacks

Objective 1.1.2: Deter and Disrupt Operations

Goal 1.2: Prevent the Unauthorized Acquisition or Use of Chemical, Biological, Radiological, and Nuclear (CBRN) Materials and Capabilities

Objective 1.2.1: Anticipate Emerging Threats

Objective 1.2.2: Control Access to CBRN

Objective 1.2.4: Protection against Hostile Use of CBRN

In order to accomplish this mandate, the following goals must be met:

Implement a Nuclear Forensics Undergraduate Scholarship Program to provide outreach to the academic community, facilitate experiential learning in nuclear forensics-related research areas, and feed students into the Nuclear Forensics Graduate Fellowship Program.

Implement a Nuclear Forensics Graduate Fellowship Program to encourage students to pursue advanced degrees in radiochemistry and other nuclear forensics-related disciplines, encourage universities to invest in these types of programs, facilitate experiential learning in nuclear forensics-related research areas, and feed students into post-doctoral/permanent staff positions in nuclear forensics at the national laboratories or federal agencies.

Implement a Nuclear Forensics Education Award Program to support universities interested in developing and maintaining academic programs in nuclear forensics-related disciplines.

Implement a Nuclear Forensics Junior Faculty Award Program to provide universities with an incentive to recruit, promote, and retain highly qualified personnel to teach within nuclear forensics-related degree programs and contribute to associated research projects at universities.

Implement a Nuclear Forensics Minority Serving Institution (MSI) Collaboration Award Program to support the enhancement of undergraduate and/or graduate Chemistry, Math, Physics, and Engineering programs located at MSIs and to establish formal linkages among the universities currently participating in the Nuclear Forensics Graduate Fellowship Program, the nations MSIs, and the national laboratories.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

These funds will be used to select an entity to administer the NNFEDP program. As the program administrator, the selected recipient will issue funds to eligible students or institutions of higher education for the following: materials, supplies, laboratory equipment/facilities upgrades, travel, publications costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the announcement, program guidance and award provisions. Refer to program guidance for further information.

Applicant Eligibility:

Refer to the program guidance.

Beneficiary Eligibility:

Refer to the program guidance.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in an application will be negotiated with the successful applicants prior to an award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Subject to future appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to the funding opportunity announcement. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: annual. See the following for information on how assistance is awarded/released: Funds are released in annual increments.

Reports:

Refer to the funding opportunity announcement. Refer to the funding opportunity announcement. Refer to the funding opportunity announcement. Refer to the funding opportunity announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0860-0-1-999.

Obligations:

(Project Grants) FY 16 \$1,895,349; FY 17 est \$256,000; and FY 18 est \$256,000

Range and Average of Financial Assistance:

Refer to the funding opportunity announcements.

TAFS Codes:

70-0860.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Facilitate collaboration and integration among academic programs, universities, and national laboratories.

Manage day-to-day administration of the Nuclear Forensics Undergraduate Scholarship Program, Nuclear Forensics Graduate Fellowship Program, Nuclear Forensics Junior Faculty Award Program, Nuclear Forensics Education Award Program, and Nuclear Forensics Minority Serving Institution Collaboration Award Program. Specifically:

- o Award five new Nuclear Forensics Undergraduate Scholarships
 - o Award 18 Nuclear Forensics Graduate Fellowships
 - o Award four Nuclear Forensics Junior Faculty Awards
 - o Award four Nuclear Forensics Education Award
 - o Award one Nuclear Forensics Minority Serving Institution Collaboration Award.
- Fiscal Year 2017: o Award 14 11 Nuclear Forensics Graduate Fellowships. Fiscal Year 2018: Facilitate collaboration and integration among academic programs, universities, and national laboratories.

Manage day-to-day administration of the Nuclear Forensics Graduate Fellowship Program and award four Nuclear Forensics Graduate Fellowships.

REGULATIONS, GUIDELINES, AND LITERATURE:

A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations (2 CFR Part 215), A-21, Cost Principles for Educational Institutions (2 CFR Part 220), A-122, Cost Principles for Non-Profit Organizations (2 CFR Part 230), and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Amalie Zeitoun DNDO Stop 0550, Department of Homeland Security, 245 Murray Ln., SW , Washington, District of Columbia 20528-0550 Email: Amalie.Zeitoun@hq.dhs.gov Phone: (202) 254-7580

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the funding opportunity announcement for information on criteria for selecting proposals.

97.131 EMERGENCY MANAGEMENT BASELINE ASSESSMENTS GRANT (EMBAG)

EMBAG

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, as amended, 6 U.S.C. 313(b)(2)(G); 6 U.S.C. 313(b)(2)(B); 314(a)(10); and 314(a)(11), Section 503(b)(2)(G) , Public Law 114-113, 6 U.S.C 313(b)(2).

OBJECTIVES:

The FY 2017 EMBAG Program will support the updating and enhancement of a set of American National Standards Institute (ANSI)-certified standards for emergency preparedness and response as well as a related assessment methodology for the evaluation of state, local and territorial emergency

management organizations. This assessment methodology should incorporate a peer review process that results in accreditation of the emergency management organization, regardless of size.

The assessment and accreditation of emergency management organizations against consensus-based and ANSI-certified national standards enables standardized benchmarking of critical functions necessary for an emergency management organization to build, sustain, and deliver the 32 core capabilities identified in the National Preparedness Goal. By advancing the National Preparedness Goal through ANSI-certified national standards, the EMBAG Program supports the Quadrennial Homeland Security Review Mission to Strengthen National Preparedness and Resilience.

The Council of State Governments (CSG)/ Emergency Management Accreditation Program (EMAP) should ensure ANSI-certified national standards are aligned with the National Preparedness System (NPS). The National Preparedness System is the instrument the Nation employs to build, sustain, and deliver core capabilities in order to achieve the Goal of a secure and resilient Nation. The guidance, programs, processes, and systems that support each component of the National Preparedness System allow for the integration of preparedness efforts that build, sustain, and deliver core capabilities and achieve the desired outcomes identified in the Goal.

Likewise, ANSI-certified national standards and National Continuity Policy should be aligned. Presidential Policy Directive (PPD) 40, National Continuity Policy, provides the comprehensive national policy on continuity programs, capabilities, and operations. Continuity is an important element of preparedness and an integral part of each core capability across the five mission areas of protection, prevention, mitigation, response, and recovery within the National Preparedness System. PPD-40, National Continuity Policy, ensures a comprehensive and integrated national continuity program that enables a more rapid and effective response to and recovery from a catastrophic emergency. It prescribes continuity requirements for the Executive Office of the President and all Federal Executive Branch departments and agencies; assessments of federal agencies must align to these national requirements, standards, terminology, and concepts. The National Continuity Policy provides guidance, training, and tools to support jurisdictions efforts to develop capabilities for continuing the essential functions of state, local, tribal, and territorial governments; non-governmental organizations; and private sector owners and operators of critical infrastructure.

Accreditation of emergency management functions such as planning, coordination, and communications based on a national standard ensures that there are benchmarks in place for comparative evaluations. The assessment and accreditation process empowers a jurisdiction to understand its emergency management organizations capacity and shortfalls as well as to develop a path for improvement. Jurisdictions can then use the results of the assessment and accreditation process to build, sustain, and improve preparedness activities, as well as inform proposed Investment Justifications under the Homeland Security Grant Program. Tracking improvements to preparedness informs and guides development of State Preparedness Reports. These capability assessments provide the states and territories with an accounting of current capability levels and gaps, which jurisdictions can use to prioritize future resource investments.

The assessment and accreditation process supported by the EMAP will include review of the following areas within an emergency management organization: Emergency Management Program Administration, to include authorities, oversight, and strategic planning; Emergency Management Finance; Threat, Hazard, and Risk Identification and Assessment; Consequence Analysis; Hazard Mitigation; Emergency Planning, to include mutual aid; Incident Management to include the National Incident Management System (NIMS); Communications and Warning; Operations and Procedures; Training and Exercises to include alignment with the Homeland Security Exercise and Evaluation Program (HSEEP);

Public Affairs.

Program Objectives

Overall Objectives - The Council of State Governments (CSG)/EMAP will accomplish the following:

Maintain ANSI- certified emergency management organization standards that are consistent with consensus-based guidance aligned with the National Preparedness System and National Continuity Policy. CSG/EMAP must include reference to the Threat, Hazard, and Risk Identification and Assessment (THIRA) in its assessments verifying content and completeness of the THIRA as required by the National Preparedness Assessment Division (NPAD). CSG/EMAP must include reference to the National Incident Management System (NIMS); the Homeland Security Exercise and Evaluation Program (HSEEP); the Continuity Guidance Circular (CGC); Federal Continuity Directives (FCDs); and other DHS/FEMA guidance and policy as appropriate in its assessments;

Maintain a web-based portal for jurisdiction self-assessments;

Provide peer-review, trend analysis, and feedback on problem areas identified in the self-assessments;

Conduct a survey and follow-up report with those jurisdictions that have completed baseline assessments and provide feedback on the process;

Provide access to best practices and model plans;

Provide webinars for training on standards;

Provide training for assessors;

Complete state/territory/local/tribal assessments;

Develop additional emergency preparedness and response standards and assessment and accreditation processes if directed.

Actions - CSG/EMAP will be responsible for the following:

Recommendations for maintaining and updating ANSI- certified emergency management organization standards that are consistent with consensus-based guidance aligned with the National Preparedness System and National Continuity Policy and must include reference to the Threat, Hazard, and Risk Identification and Assessment, the National Incident Management System, the Homeland Security Exercise and Evaluation Program, the Continuity Guidance Circular, Federal Continuity Directives, and other DHS/FEMA guidance and policy as appropriate;

Presenting the EMAP process and the emergency management standards and accreditation guidelines at National Emergency Management Association and International Association of Emergency Managers annual conferences and providing a copy of the briefing to FEMA/National Preparedness Assessment Division (NPAD);

Securing agreements to assess approximately 12 Emergency Management organizations against ANSI-approved national standards;

Maintaining a web-based portal for jurisdictional self-assessments;

Ensuring access to best practices, including model plans, risk assessments, and similar documents and including this information in EMAPs annual report to FEMA;

Executing a peer-review process for emergency management organization accreditation, and feedback on problem areas identified in self-assessments;

Providing webinars for training on the emergency management organization standards and the accreditation process;

If directed, developing additional emergency preparedness and response consensus-based standards as well as a peer-assessment and accreditation process.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

- 1) State/territory baseline assessments documentation;
- 2) Maintenance of joint consensus standards and guidelines;
- 3) Maintenance of a web-based portal for states and territories self-assessments;
- 4) Peer-review and feedback on problem areas identified in the self-assessment;
- 5) Webinars for training on standards;
- 6) Videos which assist in the preparation for an assessment; and
- 7) Access to best practices and model plans. See Funding Opportunity Announcement.

Applicant Eligibility:

Any non-profit organization that can sufficiently demonstrate through their application significant experience in providing assessments of state emergency management organizations using peer review and nationally recognized emergency management standards and that can meet the objectives of this program is eligible to apply.

Beneficiary Eligibility:

State and territory emergency management programs.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The applicant must provide a detailed budget for the funds requested. The detailed budget must be submitted as an attachment to the grant application package in the ND Grants system. The budget must be complete, reasonable, and cost-effective in relation to the proposed project. The budget should provide the basis of computation of all project-related costs, and appropriate narrative, and a detailed justification of Management and Administrative (M&A) costs.

For indirect costs, applicant is required to provide a copy of the official Negotiated Agreement in effect at the time of application.

Award Procedure:

Applications will be reviewed by DHS FEMA National Preparedness Assessment Division Program Office and Grants Program Directorate (GPD), and the award will be made by GPD Assistance Officers. Funds will not be made available for obligation, expenditure, or drawdown until the applicants budget and budget narrative have been approved by FEMA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of performance is 12 months. A request for extension may be submitted to FEMA at least 60 days prior end of the performance period with specific and compelling justifications as to why an extension is required. See the following for information on how assistance is awarded/released: Upon approval of the application, the award will be made in the form of a grant. The date of approval of award entered into the system is the award date. Notification of award approval is made through the ND Grants system through an automatic email to the grantee point of contact (POC) listed in the initial application. Once an award has been approved and recorded in the system, a notice is sent to the authorized grant official. Follow the directions in the notification to accept your award documents. The authorized grant official should carefully read the award package for instructions on administering the grant and to learn more about the terms and conditions associated with responsibilities under Federal awards. Awarded funds will be loaded into the Payment Management System (PMS) and available for drawdown by the grantee, unless otherwise instructed.

Reports:

1. Baseline Assessment Reports, which identify the state or territory assessed, due within 120 days of peer-review onsite assessment.

2. Annual Baseline Assessment Summary Report, which reflect results of baseline assessments conducted during the period, identify trends, and summarize the state of the nations preparedness as determined through the application of nationally recognized emergency management standards. No cash reports are required. Quarterly Progress Reports which reflect progress toward the accomplishment of grant objectives, due within 30 days after the end of each quarter ending December 31st, March 31st, June 30th, and September 30th. 1. The Quarterly Federal Financial Report (FFR) SF-425, due within 30 days after the end of each quarter: January 30th, April 30th, July 30th, October 30th.

2. The Final Federal Financial Report (FFR), SF-425, due within 90 days after the Period of Performance expires.

3. Final Payment/Unexpended Funds: due within 90 days after the Period of Performance expires. Reports are not required; however, Grant recipient will be monitored by FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met. Monitoring will be accomplished through a combination of office-based desk reviews and on-site monitoring visits. Monitoring will involve the review and analysis of the financial, programmatic, performance, and administrative issues relative to the program and will identify areas where technical assistance or other support may be needed.

The recipient is responsible for monitoring award activities to provide reasonable assurance that the Federal award is administered in compliance with requirements. Responsibilities include the accounting of receipts and expenditures, cash management, maintaining adequate financial records, and refunding expenditures disallowed by audits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Salaries) FY 16 \$660,000; FY 17 est \$444,379; and FY 18 est \$400,000 - The FY 2017 Emergency Management Baseline Assessment Grant (EMBAG) program NOFO will be released on grants.gov. The application will be due on June 30th and will be awarded as a 1 year program. This is a sole-source, non-competitive funding opportunity. This is a grant to the Council of State Governments (CSG)/Emergency Management Accreditation Program (EMAP) for the development and delivery of consensus-based national standards that are American National Standards (ANSI)-certified for emergency preparedness and response organizations, as well as the application of these standards in a peer reviewed assessment process. The CSG/EMAP is the only eligible applicant for the Emergency Management Baseline Assessment Grant (EMBAG) program.

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: EMAP Released the 2016 Emergency Management Standard

Completed training workshops on the EMAP standard and assessment process.

12 State and local assessments were conducted. Fiscal Year 2017: 10 EMAP assessments. Fiscal Year 2018: 10 EMAP assessments.

REGULATIONS, GUIDELINES, AND LITERATURE:

See Funding Opportunity Announcement.

Regional or Local Office:

None.

Headquarters Office:

Sharon Kushnir, Program Manager 400 C Street, NW, Washington, District of Columbia 20472-3630 Email: sharon.kushnir@fema.dhs.gov Phone: 202-786-9451

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed by the NPAD Program Office and GPD and a selection will be made based on the program criteria identified in the Funding Opportunity Announcement. The award will be made by GPD Assistance Officers.

97.132 FINANCIAL ASSISTANCE FOR COUNTERING VIOLENT EXTREMISM

CVE

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act, 2016, Title V, Part F, Section 543, Public Law 114-113.

OBJECTIVES:

To provide federal assistance which will support new and existing community-based efforts to counter violent extremism recruitment and radicalization to violence.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Federal funds made available through this award may only be used for the purpose set forth in this award and must be consistent with the statutory authority for the award. Award funds may not be used for matching funds for any other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, federal funds may not be used to sue the federal government or any other government entity. Pre Award Costs are NOT allowed under this program.

Direct Costs

Management and Administration (M&A) Costs: Costs associated with Management and Administration (M&A) are allowable only in awards made in the Building Capacity Focus Area. Recipients of an award under the Building Capacity Focus Area may use up to five percent (5%) of the award for M&A purposes.

Planning: Planning related costs are allowed under this program.

Training: Training related costs are allowed under this program.

Exercises: Exercise related costs are allowed under this program.

Equipment: Equipment costs are NOT allowed under this program.

Travel

Domestic travel costs are allowed under this program.

International travel is not an allowable cost under this program unless approved in advance by the managing Federal agency.

Construction and Renovation: Construction and renovation costs are NOT allowed under this program.

Applicant Eligibility:

Please refer to program guidance.

Beneficiary Eligibility:

The CVE Grant Program restricts Eligible Applicants to specific CVE focus areas.

Applicants representing States, Local governments, Tribal government, and non-profit organizations are invited to apply for funding to implement the following program priorities:

- Developing resilience;
- Training and engagement with community members;
- Managing intervention activities; and

Applicants representing non-profit organizations and institutions of higher education are invited to apply for funding to implement the following program priorities:

- Challenging the narrative; and
- Building capacity of community-level non-profit organizations active in CVE.

Other Eligibility Criteria

Civil Rights and Civil Liberties: Proposed programs, projects or activities shall not infringe on individual privacy, civil rights, and civil liberties. Applications shall describe any potential impacts to privacy, civil rights, and civil liberties and ways in which they will protect against or mitigate those impacts and administer their program(s) in a nondiscriminatory manner. Applications which describe programs projects or activities which do not appropriately protect privacy, civil rights or civil liberties will be deemed ineligible for funding.

Expertise: Applicants must have either an existing CVE program or demonstrable expertise to create and administer a program, project or activity which falls within one of the five advertised focus areas. Applications which do not describe an organization with appropriate expertise will be deemed ineligible for funding.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Please refer to program guidance.

Award Procedure:

Prime recipient(s) and any sub-recipient awards will be based on the following factors:

- Technical Merit
- Needs Analysis
- Community Partnerships
- Cost effectiveness and Sustainability
- Innovation

Outcomes and Data
Budget.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Please refer to the Notice of Funding Opportunity for deadline submission.

Appeals:

Please refer to the Notice of Funding Opportunity.

Renewals:

Please refer to the Notice of Funding Opportunity.

Formula and Matching Requirements:

- This program has no statutory formula.
- This program has no matching requirements.
- This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The expected period of performance will be two years from the date of award. See the following for information on how assistance is awarded/released: The assistance is awarded as grants and cooperative agreements to prime recipients and as grants to sub-recipients.

Reports:

Recipients and sub-recipients will be required to file periodic programmatic reports describing funded activities and a final programmatic report at the conclusion of the period of performance. Recipients are required to submit various financial and programmatic reports as a condition of their award acceptance. Future awards and fund drawdowns may be withheld if these reports are delinquent.

Federal Financial Reporting Requirements.

Recipients must report obligations and expenditures ported on a quarterly basis through the FFR (SF-425) to FEMA or more frequently should unusual circumstances arise. Recipients must file the FFR electronically using the Payment and Reporting System (PARS). An FFR must be submitted quarterly throughout the period of performance, including partial calendar quarters, as well as for periods where no grant award activity occurs. Future awards and fund drawdowns may be withheld if these reports are delinquent, demonstrate lack of progress, or are insufficient in detail. Recipients may review the Federal Financial Reporting Form (FFR) (SF-425) here:

https://www.whitehouse.gov/sites/default/files/omb/grants/approved_forms/SF-425.pdf, SF-425 OMB #00348-0061.

Financial and Compliance Audit Report.

For audits of fiscal years beginning on or after December 26, 2014, recipients that expend \$750,000 or more from all federal funding sources during their fiscal year are required to submit an organization-wide financial and compliance audit report. The audit must be performed in accordance with the requirements of GAOs Government Auditing Standards, located at <http://www.gao.gov/govaud/ybk01.htm>, and the requirements of Subpart F of 2 C.F.R. Part 200, located at <http://www.ecfr.gov/cgi-bin/text-idx?SID=55e12eead565605b4d529d82d276105c&node=2:1.1.2.1.1.6&rgn=div6>. Audit reports are currently due to the Federal Audit Clearinghouse no later than nine months after the end of the recipients fiscal year. Recipients are responsible for providing updated performance reports using the Performance Progress Report (SF-PPR) on a quarterly basis or more frequently should unusual circumstances arise. The SF-PPR is due within 30 days after the end of the reporting period. Recipients must complete the cover page of the SF-PPR and submit it as an attachment to the ND Grants system. The SF-PPR can be accessed online at <http://www.fema.gov/media-library/assets/documents/29485> OMB #0970-0334.

The following reporting periods and due dates apply:

- Reporting Period Report Due Date
- October 1 December 31 January 30
- January 1 March 31 April 30

April 1 June 30 July 30
July 1 September 30 October 30

Review of Training

The initial design documents for any training, awareness briefings, exercises, and other educational material produced with this grant funding or proposed to be delivered with this grant funding shall be provided to DHS for review and approval. DHS will make every effort to either give approval within 30 days or will provide a detailed summary of issues that need to be addressed to receive approval. Final products must also be submitted for review and approval 14 days prior to planned delivery. This includes information such as resumes, Curriculum Vitae or other sufficient documentation about any third party trainers or experts to be hired or paid with this grant funding to conduct these activities. The purpose of this requirement is to ensure privacy, civil rights and civil liberties are well integrated into the proposed content and design. All training supported with CVE funds must comply with FEMA-GPD Information Bulletin 373 Ensuring Training on Counter Terrorism and Countering Violent Extremism is Consistent with USG and DHS Policy (<https://www.fema.gov/pdf/government/grant/bulletins/info373.pdf>) and DHS Policy Countering Violent Extremism (CVE) Training - Guidance & Best Practices (<https://www.dhs.gov/sites/default/files/publications/cve-training-guidance-best-practices-pamphlet.pdf>).

Review of Other Materials

Recipients of funding in Focus Area 4: Challenging the Narrative shall submit for review and approval any materials deviating from the messaging strategy and media plan submitted with the application 14 days prior to planned implementation of those changes. Dynamic environments may require changes in approach to maintain performance and effectiveness of the program, project, or activity. DHS will make every effort to either give approval within that period or will provide a detailed summary of issues that need to be addressed to receive approval.

Evaluation

The Department of Homeland Security may initiate a formal evaluation of programs, projects or activities supported by this grant. By accepting grant funds, grantees agree to participate in the evaluation, which may include analysis of the impact on individuals and providing access to program operating personnel and participants, as specified by the evaluator(s) including after the period of performance.

Close Out Reporting Requirements

Within 90 days after the end of the period of performance, or after an amendment has been issued to close out a grant, whichever comes first, recipients must submit a final FFR and final progress report detailing all accomplishments and a qualitative summary of the impact of those accomplishments throughout the period of performance.

If applicable, an inventory of all construction projects that used funds from this program has to be reported using the Real Property Status Report (Standard Form SF 429) available at http://www.whitehouse.gov/sites/default/files/omb/grants/approved_forms/sf-429.pdf.

After these reports have been reviewed and approved by FEMA Grant Programs Directorate and the Program Office, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR.

The recipient is responsible for returning any funds that have been drawn down but remain as unliquidated on recipient financial records. Recipients and sub-recipients will be required to file periodic expenditure reports in compliance with all relevant regulations governing this program. As the awarding agency, FEMA will conduct performance monitoring of the prime recipient(s) related to financial aspects of the award(s). The DHS Office of Community Partnerships, as the programmatic lead, will conduct performance

monitoring of prime recipients. The Prime Recipient(s) will be responsible for conducting programmatic and financial monitoring of subrecipient(s).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-453.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 est \$10,000,000; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

TBD.

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: Enhanced community resilience to recruitment and radicalization; Development of off-ramps for individuals who are being radicalized to violence; Creation or amplification of counter messages to the recruitment or radicalization narrative; and Effective resistance to violent extremist ideology and online recruitment efforts targeting U.S.-based individuals. Enhanced capacity of local organizations to conduct CVE activities. Increased prevention and resilience planning and prioritization from state and local governments. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200.

Regional or Local Office:

None. See below.

Headquarters Office:

Christopher Logan 500 C St., SW, Washington, District of Columbia 20472
Phone: 202-786-0816

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Prime recipient(s) and any sub-recipient awards will be based on the following factors:

- Technical merit
- Needs analysis
- Community Partnerships
- Cost effectiveness and Sustainability
- Innovation

Outcomes
Budget.

97.133 PREPARING FOR EMERGING THREATS AND HAZARDS

FEDERAL AGENCY:

Federal Emergency Management Agency (FEMA), Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act, 2016, Title V, Section 543, Public Law 114-113.

OBJECTIVES:

To provide federal assistance which will support communities to prepare for new and emerging threats and hazards.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible activities shall include, but not be limited to, planning, training, and exercises related to preparing for emerging threats and hazards, as well as other activities as the Secretary determines appropriate. Federal funds made available through this award may only be used for the purpose set forth in this award and must be consistent with the statutory authority for the award. Award funds may not be used for matching funds for any other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, federal funds may not be used to sue the federal government or any other government entity.

Pre-award costs

Pre-award costs are allowable only with the prior written approval of DHS/FEMA and if they are included in the award agreement. To request pre-award costs a written request must be included with the application, signed by the Authorized Representative of the entity. The letter must outline what the pre-award costs are for, including a detailed budget break-out of pre-award costs from the post-award costs, and a justification for approval.

Direct Costs

Management and Administration (M&A) Costs: Costs associated with Management and Administration (M&A) are allowable. A maximum of up to five percent (5%) of the funds awarded may be retained by the grantee, and any funds retained are to be used solely for M&A purposes associated with the award

Planning: Planning related costs are allowed under this program.

Training: Training related costs are allowed under this program.

Exercises: Exercise related costs are allowed under this program.

Personnel: Personnel related costs are allowed under this program

Equipment: Equipment costs are allowed under this program.

Travel

Domestic

Domestic travel costs are allowed under this program.

International

International travel is not an allowable cost under this program unless approved in advance by the managing Federal agency.

Construction and Renovation: Construction and renovation costs are NOT allowed under this program.

Applicant Eligibility:

Please refer to the FY2016 Funding Opportunity Announcement.

Beneficiary Eligibility:

Preparedness is the shared responsibility of our entire nation. Each community contributes to achieving the National Preparedness Goal by assessing and

preparing for the risks that are most relevant and urgent for them individually, which in turn strengthens our collective security and resilience as a Nation. As new threats and hazards emerge, communities need to assess the risk to their community and take the necessary steps to prepare. Emerging threats and hazards include technological advancements, new environmental challenges, emerging diseases, and evolving terrorist tactics.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Prime recipient(s) and any sub-recipient awards will be based on the following factors:



Need: Demonstration of need for funding support, including an explanation of their associated risk, capability gaps, and limitations on federal assistance associated with the emerging threat/hazard.



Design and Implementation: Demonstration of an effective and sustainable project approach for achieving program objectives, including the specific project implementation, project management, and regional and whole community approaches.



Impact: Demonstration of the proposed projects impact, including how the project will increase the jurisdictions preparedness and resilience and how collaboration with identified regional partners and whole community stakeholders will enhance project effectiveness.



Budget: Demonstration of a reasonable and cost-effective budget, including explanation of reasonable project costs across the requested categories and the projects relative cost effectiveness.



Additional priority may be given to those projects that include creative or innovative approaches and/or plans for distributing project results to other jurisdictions.

Deadlines:

Oct 01, 2016 to Oct 15, 2016 Please refer to the FY2016 Notice of Funding Opportunity.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Other - Not Specified.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The expected period of performance will be three years from the date of award. Method of awarding/releasing assistance: lump sum.

Reports:

Recipients and sub-recipients will be required to file periodic programmatic reports describing funded activities and a final programmatic report at the conclusion of the period of performance. Recipients are required to submit various financial and programmatic reports as a condition of their award acceptance. Future awards and fund drawdowns may be withheld if these

reports are delinquent.

Federal Financial Reporting Requirements.

Recipients must report obligations and expenditures ported on a quarterly basis through the FFR (SF-425) to FEMA or more frequently should unusual circumstances arise. Recipients must file the FFR electronically using the Payment and Reporting System (PARS). An FFR must be submitted quarterly throughout the period of performance, including partial calendar quarters, as well as for periods where no grant award activity occurs. Future awards and fund drawdowns may be withheld if these reports are delinquent, demonstrate lack of progress, or are insufficient in detail.

Recipients may review the Federal Financial Reporting Form (FFR) (SF-425) here:

https://www.whitehouse.gov/sites/default/files/omb/grants/approved_forms/SF-425.pdf, SF-425 OMB #00348-0061.

Financial and Compliance Audit Report

For audits of fiscal years beginning on or after December 26, 2014, recipients that expend \$750,000 or more from all federal funding sources during their fiscal year are required to submit an organization-wide financial and compliance audit report. The audit must be performed in accordance with the requirements of GAOs Government Auditing Standards, located at <http://www.gao.gov/govaud/ybk01.htm>, and the requirements of Subpart F of 2 C.F.R. Part 200, located at <http://www.ecfr.gov/cgi-bin/text-idx?SID=55e12eead565605b4d529d82d27615c&node=2:1.1.2.1.1.6&rgn=div6>. Audit reports are currently due to the Federal Audit Clearinghouse no later than nine months after the end of the recipients fiscal year. Recipients and sub-recipients will be required to file periodic programmatic reports describing funded activities and a final programmatic report at the conclusion of the period of performance.

Recipients are responsible for providing updated performance reports using the Performance Progress Report (SF-PPR) on a quarterly basis or more frequently should unusual circumstances arise. The SF-PPR is due within 30 days after the end of the reporting period. Recipients must complete the cover page of the SF-PPR and submit it as an attachment to the ND Grants system. The SF-PPR can be accessed online at <http://www.fema.gov/media-library/assets/documents/29485> OMB #0970-0334.

The following reporting periods and due dates apply:

Reporting Period Report Due Date
October 1 December 31 January 30
January 1 March 31 April 30
April 1 June 30 July 30
July 1 September 30 October 30

Close Out Reporting Requirements.

Within 90 days after the end of the period of performance, or after an amendment has been issued to close out a grant, whichever comes first, recipients must submit a final FFR and final progress report detailing all accomplishments and a qualitative summary of the impact of those accomplishments throughout the period of performance.

If applicable, an inventory of all construction projects that used funds from this program has to be reported using the Real Property Status Report (Standard Form SF 429) available at http://www.whitehouse.gov/sites/default/files/omb/grants/approved_forms/sf-429.pdf.

After these reports have been reviewed and approved by FEMA Grant Programs Directorate and the Program Office, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR.

The recipient is responsible for returning any funds that have been drawn down

but remain as unliquidated on recipient financial records. Recipients and sub-recipients will be required to file periodic expenditure reports in compliance with all relevant regulations governing this program. As the awarding agency, FEMA will conduct performance monitoring of the prime recipient(s) related to financial aspects of the award(s). The Prime Recipient(s) will be responsible for conducting programmatic and financial monitoring of subrecipient(s).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-453.

Obligations:

(Project Grants) FY 16 \$35,940,000; FY 17 Estimate Not Available; and FY 18 Estimate Not Available

Range and Average of Financial Assistance:

TBD.

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2016: Develop comprehensive and sustainable approaches to enhance preparedness for complex coordinated terrorist attacks.

Develop and advance regional partnerships and whole community collaboration.

Promote creative, innovative, and replicable approaches to preparing for complex coordinated terrorist attacks.

Develop and share lessons learned and best practices associated. Fiscal Year 2017: Enhanced preparedness for emerging threats and/or hazards.

Development of comprehensive and sustainable approaches to enhance preparedness for emerging threats and/or hazards.

Development and advancement of regional partnerships and whole community collaboration.

Promotion of creative and innovative approaches that can be replicated and shared nationwide.

Production of lessons learned and best practices associated with preparing for emerging threats and/or hazards. Fiscal Year 2018: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200.

Regional or Local Office:

See Regional Agency Offices. N/A.

Headquarters Office:

Complex Coordinated Terrorist Attack Program Office, FEMA 500 C Street., SW, Washington, District of Columbia 20472 Email: FEMA-CCTA@fema.dhs.gov Phone: 1(800) 368-6498

Website Address:

<http://www.FEMA.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Need: Demonstration of need for funding support, including an explanation of their associated risk, capability gaps, and limitations on federal assistance associated with the emerging threat/hazard.

Design and Implementation: Demonstration of an effective and sustainable project approach for achieving program objectives, including the specific project implementation, project management, and regional and whole community approaches.

Impact: Demonstration of the proposed projects impact, including how the project will increase the jurisdictions preparedness and resilience and how collaboration with identified regional partners and whole community stakeholders will enhance project effectiveness.

Budget: Demonstration of a reasonable and cost-effective budget, including explanation of reasonable project costs across the requested categories and the projects relative cost effectiveness.

Additional priority may be given to those projects that include creative or innovative approaches and/or plans for distributing project results to other jurisdictions.

97.134 PRESIDENTIAL RESIDENCE PROTECTION SECURITY GRANT

PRPA Grant

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act, 2017, Public Law 115-31.

OBJECTIVES:

To Provide Federal funds to reimburse state and local law enforcement agencies for law enforcement agencies for law enforcement personnel costs incurred while protecting any non-governmental residence of the President of the United States that is designated or identified to be secured by the United States Secret Service.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Operational Overtime activities are the only costs eligible for reimbursement under this grant. Federal funds made available through this award may be used only for the purpose set forth in this award and must be consistent with the statutory authority for the award. Award funds may not be used for matching funds for any other Federal award, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal Government or any other government entity.

Costs incurred as a result of normal agency activities (e.g., salaries incurred during normal patrol hours for security operations) are not allowable for reimbursement.

Funding shall not be used for hiring new or additional personnel.

Funding shall not be used for purchasing equipment.

Applicant Eligibility:

Eligible applicants are limited to state and local law enforcement agencies, directly or through the State Administrative Agency, that conducted protection activities associated with any non-governmental residence of the President of the United States designated or identified to be secured by the United States Secret Service.

Beneficiary Eligibility:

Eligible applicants are limited to state and local law enforcement agencies that conducted protection activities associated with any non-governmental residence of the President of the United States designated or identified to be secured by the United States Secret Service.

Credentials/Documentation:

The Applicant (SAA) must include a signed letter from the head of each state or local law enforcement agency for which reimbursement is requested. The certification letter must be addressed to the FEMA Administrator and certify that the protection activities were requested by the Director of the USSS, for all overtime for which reimbursement under this grant is requested. The certifications must be included as separate attachments to the application. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Investment Justification that describes the extraordinary law enforcement activities engaged in as they relate to providing security for the designated residences of the President during the Period of Performance.

Detailed Budget Spreadsheets that identifies each covered law enforcement agency each law enforcement officer for which personnel overtime reimbursement is requested

Disclosure of Pending Applications for federally-funded grants or cooperative agreements that include requests for funding to support the same project being proposed in the application, and would cover any identical cost items outlined in the budget submitted to FEMA as part of the application under this solicitation.

The applicant must include in its application a signed letter from the head of each state or local law enforcement agency for which reimbursement is requested. The certification letter must be addressed to the FEMA Administrator and certify that the protection activities were requested by the Director of the United States Secret Service, for all overtime for which reimbursement under this grant is requested. The certifications must be included as separate attachments to the applications.

Award Procedure:

See NOFO for details. In general, the steps in determining an award to eligible applicants are described in the Application Evaluation Criteria, Review and Selection Process, and the Supplemental Financial Integrity Review.

Deadlines:

Aug 16, 2017 Select Range and provide other Approval Information in the text box if necessary.

Phase I (Covering Reimbursements for January 21, 2017 May 31, 2017)
Supporting Documentation Due: August 16, 2017 at 5:00 p.m. EDT

Due with the Final Application

Anticipated Funding Selection Date: September 20, 2017

Anticipated Award Date: No later than September 30, 2017

Phase II (Covering Reimbursements from June 1, 2017 September 30, 2017)

Supporting Documentation Due: October 31, 2017 at 5:00 p.m. EDT

Anticipated Funding Selection Date: November 29, 2017

Anticipated Amendment Date: No later than December 15, 2017.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

January 21, 2017 through September 30, 2017. See the following for information on how assistance is awarded/released: Period of Performance Start Date: January 21, 2017 12:00am

Period of Performance End Date: September 30, 2017 11:59:59pm

Funding will be awarded as a reimbursement for activities already completed.

Reports:

Recipients are required to submit one Programmatic Report. The Programmatic Report is a qualitative narrative summary in the form of a word document on the impact of the reimbursements made to each law enforcement agencies. The Programmatic Report must be submitted only once, during Closeout. No cash reports are required. No progress reports are required. Recipients must report obligations and expenditures through the FFR (SF-425) to FEMA. Recipients must file the FFR electronically using the Payment and Reporting Systems (PARS). The FFR must be submitted only once, during Closeout. Monitoring involves the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions, and other support may be needed. Recipients may be monitored through an on-site monitoring visit by DHS/FEMA staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients that expend \$750,000 or more from all Federal funding sources during their fiscal year are required to submit an organization-wide financial and compliance audit report. The audit must be performed in accordance with the requirements of the U.S. Government Accountability Offices (GAO) Generally Accepted Government Auditing Standards

The Department of Homeland Security Appropriations Act, 2017 charges the DHS OIG with auditing reimbursements made under the PRES Grant. Evidence that supports the expenses submitted for reimbursement in the Detailed Budget Spreadsheets (e.g., signed and approved time cards that contain detailed descriptions of the services performed or other supporting documentation permitted under 2 C.F.R. 200.430) must be maintained by the non-federal entity and be provided to DHS/FEMA upon request.

Records:

All records are either GRS 1.2.020 (FEMA PRC 12-1 (Grant and cooperative agreement case files for Successful Applications) or GRS 1.2.021 (FEMA PRC 12-2 (Grant and cooperative agreement case files for Unsuccessful Applications) and are temporary. PRC 12-1 records must be maintained for 10 years after the last activity; PRC 12-2 records must be maintained for 3 years after the last activity.

Account Identification:

07-0171-8-0-413.

Obligations:

(Project Grants) FY 16 Not Available; FY 17 est \$20,500,000; and FY 18 est \$20,500,000 - This is a new program in FY 2017 so there are not previous program budgets.

Range and Average of Financial Assistance:

This is a new program in FY 2017 so there are not previous program budgets.

TAFS Codes:

70-0413.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The U.S. Department of Homeland Security (DHS) Notice of Funding Opportunity (NOFO) for the Fiscal Year 2017 Presidential Residence

Extraordinary Security Grant will be published on www.grants.gov.

Regional or Local Office:

See Regional Agency Offices. Applicants and recipients should contact their FEMA Headquarters Program Analyst with any questions or concerns. Applicants or recipients that are unsure who their respective FEMA Headquarters Program Analyst is should contact the Centralized Scheduling and Information Desk (CSID). CSID can be reached by phone at (800) 368-6498 or by e-mail at askcsid@dhs.gov, Monday through Friday, 9:00 a.m. - 5:00 p.m. EST.

Headquarters Office:

Robert Kevan 400 C Street SW
. Washington, District of Columbia 20472 Email: Robert.Kevan@fema.dhs.gov
Phone: 202-733-9723

Website Address:

<http://fema.gov/grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

FEMA will review each application and make a determination as to the level of reimbursement, if any, after consideration of the information provided in response to the requirements set forth in this NOFO, and contingent upon available funding.

FEMA may request to review source documents to verify allowability of costs prior to making awards. Failure to provide adequate source documentation may result in some or all of the reimbursement requests to be denied.

The following criteria will be used to determine whether claimed costs are allowable for reimbursement:

Table 1: Application Evaluation Criteria

Evaluation Criteria Source of Verification

Were the costs incurred between January 21, 2017 and September 30, 2017?

Detailed Budget Worksheet(s)

Were the costs incurred by law enforcement personnel? Investment Narrative,

Detailed Budget Spreadsheet(s)

Were the costs incurred for operational overtime? Investment Narrative,

Detailed Budget Spreadsheet(s)

Were the costs incurred extraordinary? meaning costs over and above normal

expenditures of the law enforcement agency, which cumulatively present a

financial burden on the law enforcement agency? Investment Narrative,

Detailed Budget Spreadsheet(s),

Disclosure of Pending Applications

Were the costs incurred directly attributable to the protection of a

non-governmental residence of the President designated or identified to be

secured by the USSS? Investment Narrative,

Detailed Budget Spreadsheet(s),

USSS Validation

Were the costs incurred as the result of an official request by the Director of the

USSS pursuant to section 3 or section 4 of the Presidential Protection

Assistance Act of 1976 (Pub. L. No. 94-524)? Certifications,

USSS Validation

Does the applicant have any pending applications for Federally-funded grants

or cooperative agreements that (1) include requests for funding to support the

same Investment Narrative being proposed in the application under this NOFO,

and (2) would cover any identical cost items outlined in the budget submitted to

FEMA as part of the application under this NOFO? Disclosure of Pending

Applications

Has the Applicant been approved for overtime requests for the purposes

outlined in this NOFO through any open FEMA Grant Award? FEMA Official

Grant Files

Awards will be made using the following allocation priorities:

Priority 1: Priority for allocation of funding will be to law enforcement agencies

that incurred extraordinary law enforcement operational overtime costs while the President, First Lady, or their minor child were at the designated residence.

Priority 2: Additional funding may be allocated for operational overtime costs associated with transportation of the President, First Lady, or their minor child to and from the designated residence within the state.

Priority 3: Remaining funding may be provided on a pro-rata basis for reimbursement of extraordinary law enforcement operational overtime costs incurred by law enforcement agencies for maintaining the security of the designated residences in the absence of the President, First Lady, or their minor child.

AGENCY FOR INTERNATIONAL DEVELOPMENT

98.001 USAID FOREIGN ASSISTANCE FOR PROGRAMS OVERSEAS

FEDERAL AGENCY:

Agency for International Development

AUTHORIZATION:

The Foreign Assistance Act of 1961.

OBJECTIVES:

The Foreign Assistance Program works to support long-term and equitable economic growth and advance U.S. foreign policy objectives by supporting economic growth, agriculture and trade; global health; and democracy, conflict prevention and humanitarian assistance.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are authorized through grants and cooperative agreements, primarily with non-governmental U.S. organizations and educational institutions, to carry out activities in line with the Agency's objectives in Sub-Saharan Africa; Asia and the Near East; Latin America and the Caribbean, and Europe and Eurasia. Funds generally are limited for direct support of activities conducted outside the United States in furtherance of the Agency's strategic objectives. The majority of funds for these programs is discretionary, and is generally awarded through a competitive process. Examples of activities that may be funded may be found at http://www.fedgrants.gov/Applicants/AID/postdate_1.html.

Applicant Eligibility:

While an individual grant may include limitations on whom may apply, generally any type of applicant may apply.

Beneficiary Eligibility:

Beneficiaries are foreign governments, foreign public or private institutions or organizations, or foreign individuals.

Credentials/Documentation:

Applicants who have never received a grant from USAID may be required to provide the following with their application, where appropriate: (1) Copies of the applicant's audited financial statements for the previous three-year period, which have been audited by a certified public accountant or other auditor satisfactory to USAID; (2) Organization chart; and (3) Copies of applicable policies and procedures (e.g., accounting, purchasing, property management, personnel). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are submitted in response to specific announcements (Requests for Applications or Annual Program Statements) synopsis and published on the Grants.gov FIND Web site (http://www.fedgrants.gov/Applicants/AID/postdate_1.html). All applications must be submitted using the Standard Form 424. Each announcement provides specific additional instructions regarding the contents

of the narrative description of the activity, budget justification and other required information.

Award Procedure:

Official notice of approved application is made by the Agreement Officer through the issuance of a Grant Award or a Cooperative Agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. The range is from 60 to 90 days.

Appeals:

From 1 to 15 days.

Renewals:

Extensions to the project period may be made if deemed appropriate by the Agreement Officer.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements may be issued for up to a five-year period, and are generally funded on a 12-month basis. Support beyond the first year is contingent upon the availability of funds. See the following for information on how assistance is awarded/released: Other.

Reports:

Grantees must submit progress reports. Reporting periods vary from quarterly to annually.

Are reports required by the funding agency? Cash reports are not applicable.

Progress reports are not applicable. Expenditure reports are not applicable.

Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular A-133 (Revised June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with 22 CFR Part 226.53, grantees are to maintain accounting records for a minimum of 3 years after the end of the date of submission of the final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

72-1010-0-1-151; 72-1093-0-1-151; 72-1035-0-1-151; 72-1037-0-1-151;

72-1095-0-1-151; 72-1021-0-1-151.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Wade Warren Office of Development Planning Africa Bureau Larry Brady Office of Strategic Planning and Operations Asia Near East Bureau Susan Hill Strategy and Program Office Latin America and Caribbean Bureau Sherry Grossman Program Office Europe and Eurasia Bureau United States Agency for International Development Washington, DC 20523.

Headquarters Office:

Ricardo Willis 301 4th Street SW, Washington, District of Columbia 20547
Email: rwillis@usaid.gov Phone: 202-567-4688

Website Address:

<http://www.usaid.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.002 COOPERATIVE DEVELOPMENT PROGRAM (CDP)

FEDERAL AGENCY:

Agency for International Development

AUTHORIZATION:

The Foreign Assistance Act of 1961.

OBJECTIVES:

Deliver the quality and magnitude of support necessary for CDOs to attract the financial, human, institutional and financial resources necessary to significantly enhance their impact on cooperative development worldwide, contributing to self-reliant cooperative enterprises that meet the evolving needs of their members and contribute to the quality of member lives, their communities and nation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used, among other things, for basic feasibility studies and providing technical assistance or advisory services to cooperatives, private voluntary and other non-governmental organizations, governments and groups of individuals that wish to develop new cooperatives or improve existing cooperatives. Emphasis is given to developing, testing and implementing solutions to major issues facing international cooperative development including, but not limited to, governance, modern management, cooperative legislation and regulation, achieving scale and salience.

Applicant Eligibility:

Applicants eligible are U.S. cooperatives and recognized cooperative development organizations (CDOs) that are organically-linked to cooperatives and groups of cooperatives and whose demonstrated capabilities are broadly consistent with USAID's strategic objectives.

Beneficiary Eligibility:

Cooperatives and their members in USAID eligible countries.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, and financial responsibility relative to the activity for which assistance is requested. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. As may be specified in a published Request for Applications. This would normally include, but would not necessarily be limited to Forms SF-424 and 424A, as well as the applicant organization's name, address, contact person and information; project objectives; funding and budget; proposed partners; executive summary, organizational overview, program description, program management and structure; monitoring and impact assessment; cost proposal with budget narrative; planning matrix; staffing plans with resumes of key personnel; letters from proposed partners evidencing knowledge of the program and agreement to participate; evidence of concurrence from USAID missions in countries of proposed implementation; and a summary of USAID-financed activities conducted over the three years preceding the application.

Award Procedure:

Applications are submitted to the Cooperatives Coordinator, Office of Private and Voluntary Cooperation, Democracy, Conflict and Humanitarian Assistance Bureau. All applications are reviewed by committees that include a technical reviewer with knowledge of the specific area of cooperation concerned as well as knowledgeable USAID officials drawn from different Bureaus. Each application is scored by all participating USAID officials using criteria incorporated in the Request for Applications. Awards are given based on relative scores.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately three to four months from the receipt of applications.

Appeals:

If an application is rejected, the reasons for rejection are fully stated.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
This program does not have MOE requirements. Not applicable.

Length and Time Phasing of Assistance:

Cooperative Development Program cooperative agreements are generally for a period of three to five years and may be extended for a total period not to exceed ten years. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

72-1021-0-1-151.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ricardo Willis 301 4th Street SW, Washington, District of Columbia 20547
Email: rwillis@usaid.gov Phone: 202-567-4688

Website Address:

http://www.usaid.gov/hum_response/pvc/coop.html.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.003 OCEAN FREIGHT REIMBURSEMENT PROGRAM (OFR)

FEDERAL AGENCY:

Agency for International Development

AUTHORIZATION:

U.S. Foreign Assistance Act of 1961.

OBJECTIVES:

Reimburses registered U.S. private voluntary organizations (PVOs) to ship commodities overseas for use in privately funded development and humanitarian assistance programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used to pay transportation charges on shipments by PVOs registered with USAID to further the efficient use of U.S. voluntary contributions for development, relief, and the rehabilitation of friendly people. Participating PVOs are responsible for ancillary costs such as commodity acquisition, warehousing, insurance, local transportation and distribution. OFR awards will not exceed \$150,000 per year.

Applicant Eligibility:

Applicants must be a U.S. PVO registered with USAID's Office of Private and Voluntary Cooperation; receive at least 20 percent of its total annual financial support for its international programs from non-U.S. government sources; ship only those commodities and only to those countries approved by USAID; have staff or consignees in-country to ensure proper pick-up and distribution of commodities; and provide Duty-Free Certification with the application for each country to which commodities will be shipped.

Beneficiary Eligibility:

Registered PVOs in the United States, as well as people located in USAID eligible countries who are in need of humanitarian assistance or relief, benefit from these grants.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, and financial responsibility relative to the activity for which assistance is requested. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. As specified in a published Request for Applications. This would include the applicant organization's name, address, contact person and information; project objectives; funding and budget; proposed partners; executive summary, organizational overview, program description, program management and structure; monitoring and consignee receiving distribution system.

Award Procedure:

Awards are made on the basis of competitive Technical Evaluation Committee review of applications. Each application is scored using criteria incorporated in the Request for Applications. Awards are given based on relative scores.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately five to seven months from the receipt of applications.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. Applicants may request a debriefing of the RFA on the strengths and weaknesses of the proposal. Such requests will be routed to the OFR program officer.

Renewals:

OFR Program grant agreements are for a period of two years. Once awarded, projects will not compete for funding the second year. Funding for projects beyond the first year is contingent upon the availability of program funds, the extent to which the recipient meets program objectives and the recipients ability to utilize OFR funds in a timely manner.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program does not have MOE requirements. No MOE requirements AND total allocations over \$100 million.

Length and Time Phasing of Assistance:

OFR Program grant agreements are for a period of two years. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. OFR grant awards will not exceed \$150,000 for each year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133 (Revised June 27, 2003 in Federal Register).

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-2278-0-1-151.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ricardo Willis 301 4th Street SW, Washington, District of Columbia 20547
Email: rwillis@usaid.gov Phone: 202-567-4688

Website Address:

<http://www.usaid.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.004 NON-GOVERNMENTAL ORGANIZATION STRENGTHENING (NGO)

FEDERAL AGENCY:

Agency for International Development

AUTHORIZATION:

The Foreign Assistance Act of 1961.

OBJECTIVES:

The NGO Strengthening program aims to help local indigenous non-governmental organizations, networks, and intermediate service organizations become more efficient and effective in the delivery of development services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are authorized through cooperative agreements with U.S. private voluntary organizations to carry out activities to improve the capacities of local indigenous non-governmental organizations in developing countries.

Applicant Eligibility:

1. Applicants must meet the following eligibility requirements. Be registered as a PVO with USAID; Receive at least 20 percent of total annual financial support for its international programs from non-U.S. government sources; and
2. Current Matching Grants recipients are eligible.

Beneficiary Eligibility:

Direct beneficiaries are foreign private institutions or organizations; indirect beneficiaries are the recipients of improved service delivery in developing countries.

Credentials/Documentation:

Applicants must be registered as a PVO with USAID. In addition, they must provide a 30 percent match for the proposed program; the match may be a combination of cash and in-kind. At a minimum, half of the total required match must be in cash. The Applicant must demonstrate ability to raise the match proposed. Actual and/or expected sources and amounts of the cost-share amount must be stipulated; they must propose in an eligible country or countries; have an established track record (minimum of five years) in planning, managing, monitoring and evaluating overseas development programs and demonstrated experience in local NGO capacity buildings; and, have completed an external evaluation of its NGO capacity strengthening activities within the last three years, not to be confused with a financial audit. PVC cannot finance any of the following programs: Academic research-oriented endeavors;

Construction or commodity procurement; or Activities not focused on development, such as short-term emergency relief activities. USAID encourages applications for development activities that focus on conflict prevention, mitigation or resolution programs; Inconsistent with the Establishment Clause. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are submitted in response to a Requests for Applications synopsized in FedGrants and published on the USAID Web site (http://www.usaid.gov/procurement_bus_opp/procurement/solicitation/). All applications must be submitted using the Standard Form 424. The RFA provides specific additional instructions regarding the contents of the narrative description of the activity, budget justification and other required information.

Award Procedure:

Official notice of approved application is made by the Agreement Officer through the issuance of a Cooperative Agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. The range is from 60 to 90 days.

Appeals:

Other - Not Specified.

Renewals:

Extensions to the project period may be made if deemed appropriate by the Agreement Officer.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements may be issued for a five-year period, and are generally funded on a 12-month basis. Support beyond the first year is contingent upon the availability of funds. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular A-133 (Revised June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with 22 CFR Part 226.53, grantees are to maintain accounting records for a minimum of 3 years after the end of the date of submission of the final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and

resolution of all issues which arise from it, or until the end of the regular 3-year period, which in accordance with 22 CFR Part 226.53, grantees are to maintain accounting records for a minimum of 3 years after the end of the date of submission of the final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

72-1021-0-1-151.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ricardo Willis 301 4th Street SW, Washington, District of Columbia 20547
Email: rwillis@usaid.gov Phone: 202-567-4688

Website Address:

<http://www.usaid.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.005 INSTITUTIONAL CAPACITY BUILDING (ICB)

(Institutional Capacity Building)

FEDERAL AGENCY:

Agency for International Development

AUTHORIZATION:

The Foreign Assistance Act of 1961.

OBJECTIVES:

To strengthen the capacity of new and experienced Food for Peace Title II Partners, both to plan and implement effective food security programs which reduce vulnerability of food insecure populations, including in emergency situations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project funds can be used for establishing systems, training staff and strengthening mechanisms for implementing Title II food security programs. Ineligible activities/costs include: (1) Activities which are not in support of existing or planned Title II activities; (2) Disaster-related personnel or activities not associated with food assistance, which otherwise are the responsibility of the Office of Foreign Disaster Assistance (OFDA) or which may be funded by USAID's Regional Bureaus; (3) Procurement of Motor Vehicles.

Applicant Eligibility:

Private non-profit institutions/organizations are eligible for this program. Specifically, an organization must be a US Private Voluntary Organization (PVO) or cooperative headquartered in the US, that is registered with USAID

as of the date of its application.

Beneficiary Eligibility:

Private, non-profit institutions/organizations will benefit from this program along with vulnerable and food insecure populations in low income food deficit countries (LIFDCs) and least developed countries. (LDCs).

Credentials/Documentation:

USAID Registration; Evidence of legal capacity, economic feasibility, and financial responsibility relative to the activity for which assistance is required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submission of Technical and Cost Application (including SF 424 and 424a as required by a published Request for Applications.

Award Procedure:

Applications are submitted to the Office of Procurement. All applications are reviewed for completeness by the recipient office and then given to a technical review committee for a competitive review. All committee members using criteria outlined in the Request for Applications score each application. Awards are negotiated based on the scoring of applications technical merit and cost.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately three to four months from submission deadline.

Appeals:

Other - Not Specified.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

This funding is available for up to five years under the current program. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB circular No A-133 (Revised June 27, 2003), "Audits of States, Local Governments and Non-Profit Organizations," non-federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year except as noted in OMB Circular A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-2278-0-1-151.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ricardo Willis 301 4th Street SW, Washington, District of Columbia 20547
Email: rwillis@usaid.gov Phone: 202-567-4688

Website Address:

<http://www.usaid.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.006 FOREIGN ASSISTANCE TO AMERICAN SCHOOLS AND HOSPITALS ABROAD (ASHA)

FEDERAL AGENCY:

Agency for International Development

AUTHORIZATION:

The Foreign Assistance Act of 1961, as amended, Section 214.

OBJECTIVES:

The purpose of the American Schools and Hospitals Abroad (ASHA) program is to furnish assistance to schools and libraries outside the United States founded or sponsored by United States citizens and serving as study and demonstration centers for ideas and practices of the United States, and to hospital centers for medical education and research outside the United States, founded or sponsored by United States citizens. Within this context of the U.S. Foreign Assistance Program, the objectives of the ASHA program are to strengthen overseas schools and hospitals that best demonstrate American ideas and practices, and are likely to survive over a long term.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are authorized through grant agreements with private and non-profit U.S. organizations to carry out activities in line with the Agency's objectives. Funds are limited for direct support of activities conducted outside the United States in furtherance of the Agency's strategic objectives. ASHA grants are awarded through a competitive process.

Applicant Eligibility:

Pursuant to Section 214 of the Foreign Assistance Act of 1961, as amended, grant assistance is made available to schools and libraries outside the United States founded or sponsored by United States citizens and serving as study and demonstration centers for ideas and practices of the United States, and to hospital centers for medical education and research outside the United States, founded or sponsored by United States citizens. Grants made under this program help such institutions demonstrate to people overseas the achievements of the United States in education and medicine.

The applicant must be a non-profit U.S. organization, which either founded or sponsors the institution for which assistance is sought. The applicant, for example, can be a nonprofit university or a tax exempt organization under Section 501(c) (3) of the Internal Revenue Code of 1954 as amended.

The applicant must demonstrate a continuing supportive relationship with the overseas institution. Evidence of this would be the provision of financial and management support for the institution.

Other more detailed eligibility requirements are addressed in the published Request for Applications which appear on the USAID/ASHA web site and www.grants.gov.

Beneficiary Eligibility:

Beneficiaries must be institutions located outside the U.S. and must not be under the control or management of a government or any other of its agencies. The majority of the users of these overseas institutions, e.g., students or patients, must be citizens of countries other than the U.S.

Credentials/Documentation:

The overseas institutions must demonstrate competence in professional skills and exhibit sound management and financial practices. An applicant must present a strategy that demonstrates the ability to achieve professional competence, commitment to promote U.S. ideas and practices, and to operate in accordance with sound management and financial practices. Institutions must be open to all persons regardless of race, religion, sex, color, or national origin. All overseas institutions are expected to reflect favorably upon, and to increase the understanding as well as to enhance the image of the United States. An applicant requesting assistance must provide an estimate of the total cost (including cost share and U.S. contribution) for which assistance is requested. Such applicants must also provide information and assurances with respect to right to occupy the premises and/or the land upon which new construction is planned. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Per the United States Agency for International Development Environmental Compliance Procedures, 22 CFR 216. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are submitted in response to specific announcements (Requests for Applications) synopsisized and published on the grants.gov web site. All applications must be submitted using the Standard Form 424. Each announcement provides specific instructions regarding the contents of the description of the activity, budget documentation and other required information.

Award Procedure:

Following selection for award, a successful applicant will receive an electronic copy of the notice of award signed by the Agreement Officer which serves as the authorizing document. USAID will issue the award to the contacts specified by the applicant in its application documents and /or the Authorized Individuals submitted by the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range is from 7 to 9 months.

Appeals:

Not Applicable.

Renewals:

Extensions to the project period may be made if deemed appropriate by the Agreement Officer.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Cost sharing is an important element of the USAID-recipient relationship. Although not required, applicants

are encouraged to contribute resources from its own, private, or local sources for the implementation of this project. Contributions can be either cash or in kind (in accordance with OMB Circular A-110 and 22 CFR 226.23). MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may be issued for up to a 5 year period. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Grantees must submit quarterly progress reports and annual organizational reports. Comprehensive final Project Reports are due no later than 90 days after the completion of projects. Expenditure reports are required 30 days after the end of each progress reporting period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Foreign non-profit organizations, host governments, and sub-recipients that expend \$300,000 or more in USAID awards (i.e., organizations that receive USAID funds either directly or through a prime contractor or recipient) during their fiscal year, must have an annual audit conducted of those funds in accordance with the Guidelines for Financial Audits Contracted by Foreign Recipients as issued in USAID's official Agency regulations ADS Chapter 591.

Records:

In accordance with 22 CFR Part 226.53, grantees are to maintain accounting records for a minimum of 3 years after the end of the date of submission of the final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

72-1021-0-1-151.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable - FY 2008 \$22,318,000; FY 2009 \$22,500,000; FY 2010 \$23,500,000.

Range and Average of Financial Assistance:

Range from \$500,000 to \$2,000,000 in total USAID funding for a performance period up to a 5 year period starting in fiscal year 2012.

TAFS Codes:

72-1021.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grant administration policies are in 22 CFR 226, and may be found at <http://www.gpo.gov/nara/cfr/index.html>. Internal USAID policy directives, including standard formats, may be found in Automated Directive Systems (ADS) Chapter 303. The ADS is available on the USAID website at <http://www.usaid.gov/pubs/ads/>. Other information regarding USAID's program may be found at www.usaid.gov.

Regional or Local Office:

None.

Headquarters Office:

USAID/DCHA/ASHA 1300 Pennsylvania Ave, NW, Washington, District of Columbia 20523 Phone: 2027120510

Website Address:

http://www.usaid.gov/our_work/cross-cutting_programs/asha/

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2016: No Current Data Available Fiscal Year 2017: No Current Data Available Fiscal Year 2018: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Detailed eligibility requirements are addressed in the published Request for Applications which appear grants.gov on the USAID/ASHA web site.

98.007 FOOD FOR PEACE DEVELOPMENT ASSISTANCE PROGRAM (DAP)

FEDERAL AGENCY:

Agency for International Development

AUTHORIZATION:

The Foreign Assistance Act of 1961.

OBJECTIVES:

To improve access, availability and utilization of food in food insecure environments abroad. The priority for Title II development programs is a focus on improving household nutrition and health status, especially in children and mothers, and on increasing agricultural productivity, including field production, post-harvest handling and transformation and marketing.

TYPES OF ASSISTANCE:

PROJECT GRANTS; SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

U.S. and local Private Voluntary Organizations registered with USAID may submit proposals requesting commodities and cash to carry out food aid programs in food insecure countries. The activities outlined in new DAPs should be in conformity with USAID, host government and multilateral food security assessments and strategies. Coordination with USAID Mission development priorities for the associated countries is highly desirable; program and resources integration is encouraged. However, no proposal will be disapproved solely because it is not integrated. Proposals will be reviewed and considered eligible for approval so long as they do not violate the Bellmon Amendment or other statutory provisions, are consistent with the Food Aid Food Security policy paper, and address the host country's most serious problems in the areas of food access, availability, and utilization.

Applicant Eligibility:

Private non-profit institutions/organizations are eligible for this program. Specifically, an organization must be a Private Voluntary Organization (PVO) or cooperative. PVOs must be registered with USAID as of the date of its application. In order to be awarded a program the organization must also be registered with the Office of Food for Peace.

Beneficiary Eligibility:

Private, non-profit institutions/organizations will benefit from this program along with vulnerable and food insecure populations in low income food deficit countries (LIFDCs) and least developed countries (LDCs).

Credentials/Documentation:

USAID Registration; Evidence of legal capacity, economic feasibility, and financial responsibility relative to the activity for which assistance is required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submission of Application (including SF 424 and 424a) as required by DAP guidelines and application instructions.

Award Procedure:

Applications are submitted to the Office of Food for Peace. All applications are reviewed for completeness by the recipient office and then given to a technical

review committee for a competitive review.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Up to 120 days following submission.

Appeals:

Other - Not Specified.

Renewals:

Possible.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

This funding is available for up to five years under the current program. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB circular No A-133 (Revised June 23, 2003), Audits of States, Local Governments and Non-Profit Organizations, non-federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year except as noted in OMB Circular A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds and commodities are used for authorized purposes.

Account Identification:

12-2278-0-1-151.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ricardo Willis 301 4th Street SW, Washington, District of Columbia 20547
Email: rwillis@usaid.gov Phone: 202-567-4688

Website Address:

<http://www.usaid.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.008 FOOD FOR PEACE EMERGENCY PROGRAM (EP)

FEDERAL AGENCY:

Agency for International Development

AUTHORIZATION:

The Foreign Assistance Act of 1961.

OBJECTIVES:

To improve access, availability and utilization of food in food insecure environments abroad. The priority for Title II emergency programs is to prevent hunger and malnutrition in food insecure areas or countries.

TYPES OF ASSISTANCE:

PROJECT GRANTS; SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

Private Voluntary Organizations may submit proposals requesting commodities and cash to carry out food aid programs in food insecure areas or countries.

Applicant Eligibility:

Private non-profit institutions/organizations are eligible for this program. Specifically, an organization must be a Private Voluntary Organization (PVO) or cooperative. If a U.S. based PVO, the organization must be registered with USAID as of the date of its application. In order to be awarded a program the organization must also be registered with the Office of Food for Peace.

Beneficiary Eligibility:

Private, non-profit institutions/organizations will benefit from this program along with vulnerable and food insecure populations.

Credentials/Documentation:

USAID Registration; Evidence of legal capacity, economic feasibility, and financial responsibility relative to the activity for which assistance is required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submission of Application (including SF 424 and 424a) in line with Emergency Proposal Guidelines.

Award Procedure:

Unsolicited emergency applications are submitted to the Office of Food for Peace throughout the year to respond to natural disasters, complex emergencies and post-emergency stabilization needs. An emergency appeal or disaster declaration is the "triggering mechanism" that allows FFP to consider requests for emergency food assistance. All applications for assistance are reviewed for completeness and then given a technical review. Awards are negotiated based on a demonstrated need for food aid and the ability of the proposed assistance to respond to the indicated need(s).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. Although there is no binding timeframe, emergency situations often require a quick response. Therefore, FFP/EP works with the objective of completing the proposal review within 21 working days of receipt of a complete proposal. Delays may occur when required information is not included in the proposal.

Appeals:

Cooperating sponsors are given the opportunity to re-work their proposed

programs to effectively meet demonstrated need.

Renewals:

Possible.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Emergency Programs are approved for up to one year. The average time frame for an emergency response is six months to a year. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB circular No A-133 (Revised June 24, 1997), Audits of States, Local Governments and Non-Profit Organizations, non-federal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year except as noted in OMB Circular A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds and commodities are used for authorized purposes.

Account Identification:

12-2278-0-1-151.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ricardo Willis 301 4th Street SW, Washington, District of Columbia 20547
Email: rwillis@usaid.gov Phone: 202-567-4688

Website Address:

<http://www.usaid.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.009 JOHN OGWONOWSKI FARMER-TO-FARMER PROGRAM

(Development assistance program)

FEDERAL AGENCY:

Agency for International Development

AUTHORIZATION:

U.S. Foreign Assistance Act of 1961.

OBJECTIVES:

Improve global food production and marketing by transferring technical skills of the U.S. agricultural community to farmers in participating countries. The purpose of the program is to assist farmers in increasing food production and distribution, by improving the effectiveness of farming and marketing operations."

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used to assist developing countries, middle-income countries, emerging markets, sub-Saharan African countries, and Caribbean Basin countries to:

1. increase farm production and farmer incomes by increasing food production and distribution and improving the effectiveness of the farming and marketing operations of agricultural producers in those countries;
2. improve agricultural and agribusiness operations and agricultural systems in those countries, including improving i) animal care and health; ii) field crop cultivation; iii) fruit and vegetable growing; iv) livestock operations; v) food processing and packaging; vi) farm credit; vii) marketing; viii) inputs; and ix) agricultural extension, and strengthen cooperatives and other agricultural groups in those countries, and
3. transfer the knowledge and expertise of United States agricultural producers and businesses, on an individual basis, to those countries while enhancing the democratic process by supporting private and public agriculturally related organizations that request and support technical assistance activities through cash and in-kind services.

Applicant Eligibility:

The following types of organizations are eligible to apply for grants: agricultural producers, agriculturalists, colleges and universities (including historically black colleges and universities, land grant colleges or universities, and foundations maintained by colleges or universities), private agribusinesses, private organizations (including grassroots organizations with an established and demonstrated capacity to carry out such a bilateral exchange program), private corporations, and nonprofit farm organizations. Applicants must also be registered with USAID (if a U.S. PVO); and waive profits and/or fees under the USAID grant (if a for-profit business).

Beneficiary Eligibility:

Farms, cooperatives, farmers associations, agricultural and food processing and marketing enterprises, rural finance institutions and in some cases public entities seeking assistance in improving agricultural policy and public services to the agricultural sector in developing countries, middle-income countries, emerging markets, sub-Saharan African countries, and Caribbean Basin countries.

Credentials/Documentation:

Organizations aspiring to implement the John Ogonowski Farmer to Farmer Program, must be registered with USAID (if a U.S. PVO); and waive profits and/or fees under the USAID grant (if a for-profit business). In addition they should provide documentation that demonstrates that they have the organizational capacity to implement the program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. As may be specified in a published Request for Applications. This would normally include, but would not necessarily be limited to Forms SF-424 and 424A, as well as the applicant organization's name, address, contact person and information; project objectives; funding and budget; proposed partners; executive summary, organizational overview, program description,

program management and structure; monitoring and impact assessment; cost proposal with budget narrative; planning matrix; staffing plans with resumes of key personnel; letters from proposed partners evidencing knowledge of the program and agreement to participate; evidence of concurrence from USAID missions in countries of proposed implementation; and a summary of USAID-financed activities conducted over the three years preceding the application.

Award Procedure:

As may be specified in a published Request for Applications (RFA). Applications are submitted to the Office of Acquisitions and Assistance, Attn: Farmer-to-Farmer Program, United States Agency for International Development, 1300 Pennsylvania Avenue, Washington DC 20523.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately three to four months from the receipt of applications.

Appeals:

If an application is rejected, the reasons for rejection are fully stated.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements are generally for a period of five years and may be extended for a total period not to exceed ten years. The length of grants is also governed by the length of the governing legislation. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-2278-0-1-151.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ricardo Willis 301 4th Street SW, Washington, District of Columbia 20547
Email: rwillis@usaid.gov Phone: 202-567-4688

Website Address:

http://www.usaid.gov/our_work/agriculture/farmer_to_farmer.htm

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.010 DENTON PROGRAM

FEDERAL AGENCY:

Agency for International Development

AUTHORIZATION:

U.S. Foreign Assistance Act of 1961.

OBJECTIVES:

To put the empty space on U.S. Military transport to good use by providing humanitarian relief transportation for nongovernmental organizations or private citizens, at little or no cost to them.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

The Denton Program is a commodity transportation program that is jointly administered by the U.S. Agency for International Development (USAID), the Department of State (DOS), and the Department of Defense (DOD). The program provides the authority for DOD to use any extra space on U.S. military cargo aircraft to transport donated goods for humanitarian relief. The minimum and maximum weight allowance for Denton shipments is 2,000 lbs. and 100,000 lbs., respectively. Time and or temperature sensitive cargo, perishable food stuff, pharmaceutical samples, passengers, livestock, hazardous material, and vehicles requiring a special driver are ineligible commodities under the Denton program.

Applicant Eligibility:

Applicants must be an U.S. based private voluntary organization (PVOs), non-governmental organization (NGOs) or small organization.

Beneficiary Eligibility:

PVOs, NGOs and International Organizations (IOs) in eligible countries.

Credentials/Documentation:

Evidence that the goods are in usable condition; the consignee in country is legitimate and has the capacity to receive and distribute goods; and the goods are packaged properly and in compliance with U.S. military procedures. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. All prospective donors are encouraged to apply online for U.S. military space available transportation under the Denton program. Information on the guidelines and the application can be accessed at www.dentonfunded.ida.org. The procedures are specified in the application. These include the applicant name, address, contact information; consignee name, address, contact information; the description of beneficiaries, impact areas, distribution plan; the detailed list of items; the cargo weight, volume and

U.S. warehouse location; and the duty-free certification.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. The Denton Program requires the coordination of USAID, DOS, and DOD throughout the approval process. Applications take from 6-8 weeks to be approved and an additional three months or more (depending upon availability) to receive Department of Defense space-available transport. Neither approval nor transportation is guaranteed.

Appeals:

Other - Not Specified.

Renewals:

Donors may submit only one application per country at a time. They cannot reapply to a country until the prior transportation request has been completed.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Since the Denton program is a space available program, it is impossible to predict when transportation will be provided; therefore, no guarantees can be made regarding completion of a donated humanitarian goods shipment. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Not applicable.

Account Identification:

12-2278-0-1-151.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ricardo Willis 301 4th Street SW, Washington, District of Columbia 20547
Email: rwillis@usaid.gov Phone: 202-567-4688

Website Address:

<http://www.usaid.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.011 GLOBAL DEVELOPMENT ALLIANCE

FEDERAL AGENCY:

Agency for International Development

AUTHORIZATION:

U.S. Foreign Assistance Act of 1961.

OBJECTIVES:

The Global Development Alliance (GDA) business model provides a framework for enabling public-private alliances (PPA's) to carry-out activities that promote international development objectives. Through the leveraging, sharing the cost of resources and pooled expertise, the PPA model seeks to achieve greater impact than individual entities working alone. For further information please visit http://www.usaid.gov/our_work/global_partnerships/gda/.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are authorized through grants, cooperative agreements, and Leader-with Associate Grants, to U.S. and non-U.S. for profit and not for profit organizations to carry out activities to enhance development objectives. Potential for-profit applicants should note that USAID policy prohibits the payment of fee/profit to recipients under assistance instruments. Potential partners should note that cash and in-kind contributions, including volunteered services qualify as cost-share or leveraging, however forgone profit does not qualify as cost-sharing or leveraging. The resource contribution of the partners must be at least as great as that requested of USAID to achieve a minimum 1:1 leverage ratio.

Applicant Eligibility:

The eligibility criteria for potential alliance partners is broad, and the following list of potential partners is for illustrative purposes: U.S. and non-U.S. Non-Governmental Organizations, U.S. and non-U.S. private businesses, foundations, business and trade associations, international organizations, U.S. and non-U.S. colleges and universities, U.S. cities and states, other U.S. Government agencies, civic groups, other donor governments, host country governments, regional organizations, host country parastatals, individual and group philanthropies and funds etc. Non-Governmental Organizations (NGO) do not need to be registered as Private Voluntary Organizations to submit a proposal.

Beneficiary Eligibility:

Beneficiaries are foreign governments, foreign public or private institutions or organizations, and/or the people of the developing world.

Credentials/Documentation:

Applicants who have never received a grant from USAID may be required to provide additional documentation that will be requested by the Operating Unit issuing the grant. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants are encouraged to apply at individual USAID Missions and operating units. The GDA Secretariat staff is readily available to meet with prospective partner organizations and can assist organizations with points of contacts within USAID. The Secretariat may also provide feedback and comments on applicant concept papers. USAID encourages applicants to use the standard format that

will be in the formal solicitation to be issued at www.grants.gov.

Award Procedure:

Official notice of approved application is made by the Agreement Officer through the issuance of a Grant Award, Cooperative Agreement or a Leader with Associate Grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The range for receiving a response is from 60 to 90 days.

Appeals:

Other - Not Specified.

Renewals:

Extensions to the PPA project period may be made if deemed appropriate by the Agreement Officer. Existing awards may be modified to include alliance components.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants, cooperative agreements and leader with associate grants may be issued for up to a five-year period, and are generally funded on a 12-month basis. Support beyond the first year is contingent upon the availability of funds. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular A-133 (Revised June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with 22 CFR Part 226.53, grantees are to maintain accounting records for a minimum of 3 years after the end of the date of submission of the final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

72-1035-0-1-151; 72-1021-0-1-151; 72-1093-0-1-151; 72-1010-0-1-151; 72-1095-0-1-151; 72-1037-0-1-152.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Jim Thompson, GDA Secretariat, 202-712-4272, United States Agency for International Development, Washington, DC 20523. USAID Mission's contact information can be found at www.usaid.gov.

Headquarters Office:

Ricardo Willis 301 4th Street SW, Washington, District of Columbia 20547
Email: rwillis@usaid.gov Phone: 202-567-4688

Website Address:

http://www.usaid.gov/our_work/global_partnerships/gda/.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.012 USAID DEVELOPMENT PARTNERSHIPS FOR UNIVERSITY COOPERATION AND DEVELOPMENT

FEDERAL AGENCY:

Agency for International Development

AUTHORIZATION:

U.S. Foreign Assistance Act of 1961.

OBJECTIVES:

The purpose of the program is to support and advance the achievement of USAID's strategic objectives through the application of higher education resources, talents and expertise.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance can be used by U.S. institutions of higher education to collaborate with institutions of higher education in developing countries to conduct projects that address critical development needs. Projects must be advance USAID development objectives in the proposed country of activity. A comprehensive list of prior activities funded under this agreement can be found at www.HEDProgram.org/. Use restrictions are also specified in the terms of each solicitation issued under the cooperative agreement. Additional information regarding the cooperative agreement can be found at www.HEDProgram.org/.

Applicant Eligibility:

US institutions of higher education, including community colleges, are eligible to apply, subject to the terms of any solicitation. Solicitations and any additional eligibility requirements unique to the solicitation are published at www.HEDProgram.org/.

Beneficiary Eligibility:

US institutions of higher education, including community colleges, are eligible to apply, subject to the terms of any solicitation. Solicitations and any additional eligibility requirements unique to the solicitation are published at www.HEDProgram.org/.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for

Federal Awards. Solicitations and respective application procedures are announced via the Higher Education for Development Office. (See www.HEDProgram.org/).

Award Procedure:

Applications and proposals are peer-reviewed. Awards are made to the top proposals under the terms of the solicitation, subject to USAID approval and available funding. The awards are issued under USAID's cooperative agreement with the American Council on Education. Additional information can be found at www.HEDProgram.org/.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applicants should expect between 45-90 days for approval or disapproval.

Appeals:

Other - Not Specified.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

varies. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, non-federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

72-1021-0-1-151.

Obligations:

(Project Grants) FY 16 Not Separately Identifiable; FY 17 Not Separately Identifiable; and FY 18 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ricardo Willis 301 4th Street SW, Washington, District of Columbia 20547
Email: rwillis@usaid.gov Phone: 202-567-4688

Website Address:

<http://www.usaid.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

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Appendix I: Programs Requiring Executive Order 12372 Review

This appendix lists programs requiring Executive Order 12372 review. Executive Order 12372 "Intergovernmental Review of Federal Programs," structures the Federal government's system of consultation with State and local governments on its decisions involving grants, other forms of financial assistance, and direct development. Under E.O. 12372, States, in consultation with their local governments, design their own review processes and select those Federal financial assistance and direct development activities they wish to review.

Since October 1, 1983 most States have acted to establish a review and comment system in response to E.O. 12372. In such cases, applicants for grants will need to meet the requirements of the intergovernmental consultation process established by a particular State and its local governments before Federal agencies take action on an application.

For more information on the process a particular State requires to be followed, an applicant should contact the office or official designated as the single point of contact in his or her State. Consult the individual program description in the Catalog to determine whether the programs under which he/she plans to apply is subject to E.O.12372. Consult the Federal agency's application package for instructions on State notification.

DEPARTMENT OF AGRICULTURE

10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)
10.028 Wildlife Services (B)
10.156 Federal-State Marketing Improvement Program (B)
10.164 Wholesale Farmers and Alternative Market Development (K,M)
10.168 Farmers Market Promotion Program (B)
10.170 Specialty Crop Block Grant Program - Farm Bill (B)
10.172 Local Food Promotion Program (B)
10.253 Consumer Data and Nutrition Research (B,L)
10.291 Agricultural and Food Policy Research Centers (B)
10.351 Rural Business Development Grant (B)
10.415 Rural Rental Housing Loans (B,E)
10.420 Rural Self-Help Housing Technical Assistance (B)
10.427 Rural Rental Assistance Payments (C)
10.433 Rural Housing Preservation Grants (B)
10.438 Section 538 Rural Rental Housing Guaranteed Loans (F)
10.443 Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)
10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)
10.553 School Breakfast Program (A)
10.555 National School Lunch Program (A)
10.556 Special Milk Program for Children (A)
10.557 WIC Special Supplemental Nutrition Program for Women, Infants, and Children (A,B)
10.558 Child and Adult Care Food Program (A)
10.559 Summer Food Service Program for Children (A)
10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A)
10.565 Commodity Supplemental Food Program (A,H)
10.567 Food Distribution Program on Indian Reservations (B,H)
10.568 Emergency Food Assistance Program (Administrative Costs) (A)
10.569 Emergency Food Assistance Program (Food Commodities) (A)
10.664 Cooperative Forestry Assistance (A,B)
10.676 Forest Legacy Program (B)
10.678 Forest Stewardship Program (B)
10.680 Forest Health Protection (A,B)
10.760 Water and Waste Disposal Systems for Rural Communities (B,E,F)
10.763 Emergency Community Water Assistance Grants (B)
10.766 Community Facilities Loans and Grants (B,E,F)
10.767 Intermediary Relending Program (E)
10.768 Business and Industry Loans (F)
10.769 Rural Business Enterprise Grants (B)
10.770 Water and Waste Disposal Loans and Grants (Section 306C) (B,E)
10.771 Rural Cooperative Development Grants (B)
10.865 Biorefinery Assistance (F)

10.874 Delta Health Care Services Grant Program (B)
10.904 Watershed Protection and Flood Prevention (B,K)
10.916 Watershed Rehabilitation Program (K)

DEPARTMENT OF COMMERCE

11.012 Integrated Ocean Observing System (IOOS) (B)
11.013 Education Quality Award Ambassadorship (B)
11.014 Band 14 Incumbent Spectrum Relocation (B)
11.019 State Alternative Plan Program (B)
11.300 Investments for Public Works and Economic Development Facilities (B)
11.302 Economic Development Support for Planning Organizations (B)
11.303 Economic Development Technical Assistance (B)
11.307 Economic Adjustment Assistance (B)
11.312 Research and Evaluation Program (B)
11.400 Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)
11.407 Interjurisdictional Fisheries Act of 1986 (A)
11.408 Fishermen's Contingency Fund (D)
11.415 Fisheries Finance Program (E)
11.419 Coastal Zone Management Administration Awards (A,B)
11.420 Coastal Zone Management Estuarine Research Reserves (B)
11.426 Financial Assistance for National Centers for Coastal Ocean Science (B)
11.427 Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)
11.429 Marine Sanctuary Program (B)
11.433 Marine Fisheries Initiative (B)
11.434 Cooperative Fishery Statistics (B)
11.435 Southeast Area Monitoring and Assessment Program (B)
11.436 Columbia River Fisheries Development Program (B)
11.437 Pacific Fisheries Data Program (B)
11.438 Pacific Coast Salmon Recovery Pacific Salmon Treaty Program (B)
11.439 Marine Mammal Data Program (B)
11.452 Unallied Industry Projects (B)
11.454 Unallied Management Projects (B)
11.455 Cooperative Science and Education Program (B)
11.457 Chesapeake Bay Studies (B)
11.463 Habitat Conservation (B)
11.472 Unallied Science Program (B)
11.473 Office for Coastal Management (B)
11.474 Atlantic Coastal Fisheries Cooperative Management Act (B)
11.482 Coral Reef Conservation Program (B)
11.550 Public Telecommunications Facilities Planning and Construction (B)
11.999 Marine Debris Program (B)

DEPARTMENT OF DEFENSE

Appendix I: Programs Requiring Executive Order 12372 Review

12.002 Procurement Technical Assistance For Business Firms (B)
 12.360 Research on Chemical and Biological Defense (B)

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

14.218 Community Development Block Grants/Entitlement Grants (A)
 14.850 Public and Indian Housing (C)
 14.872 Public Housing Capital Fund (A)
 14.888 Lead-Based Paint Capital Fund Program (B)
 14.889 Choice Neighborhoods Implementation Grants (B)
 14.892 Choice Neighborhoods Planning Grants (B)
 14.895 Jobs-Plus Pilot Initiative (B)

DEPARTMENT OF THE INTERIOR

15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B,C)
 15.252 Abandoned Mine Land Reclamation (AMLR) (A,B)
 15.253 Not-for-Profit AMD Reclamation (B)
 15.423 Bureau of Ocean Energy Management (BOEM) Environmental Studies (ES) (B)
 15.424 Marine Minerals Activities - Hurricane Sandy (B)
 15.427 Federal Oil and Gas Royalty Management State and Tribal Coordination (B)
 15.443 Not For Profit (B)
 15.444 Take Pride (B)
 15.506 Water Desalination Research and Development (B)
 15.509 Title II, Colorado River Basin Salinity Control (B)
 15.512 Central Valley Improvement Act, Title XXXIV (B)
 15.514 Reclamation States Emergency Drought Relief (B)
 15.521 Lower Rio Grande Valley Water Resources Conservation and Improvement (B)
 15.524 Recreation Resources Management (B)
 15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery (B)
 15.530 Water Conservation Field Services (WCFS) (B)
 15.538 Lower Colorado River Multi-Species Conservation (B)
 15.548 Reclamation Rural Water Supply (B)
 15.605 Sport Fish Restoration (A)
 15.608 Fish and Wildlife Management Assistance (B)
 15.611 Wildlife Restoration and Basic Hunter Education (A)
 15.614 Coastal Wetlands Planning, Protection and Restoration (B)
 15.615 Cooperative Endangered Species Conservation Fund (B)
 15.616 Clean Vessel Act (B)
 15.622 Sportfishing and Boating Safety Act (B)
 15.625 Wildlife Conservation and Restoration (A)
 15.626 Enhanced Hunter Education and Safety (A)
 15.628 Multistate Conservation Grant (B)
 15.633 Landowner Incentive (B)
 15.634 State Wildlife Grants (A,B)
 15.636 Alaska Subsistence Management (B)
 15.654 National Wildlife Refuge System Enhancements (B)
 15.668 Coastal Impact Assistance (A)
 15.669 Cooperative Landscape Conservation (B)
 15.678 Cooperative Ecosystem Studies Units (B)
 15.679 Combating Wildlife Trafficking (B)
 15.680 Mexican Wolf Recovery (B)
 15.681 Cooperative Agriculture (I)
 15.904 Historic Preservation Fund Grants-In-Aid (A)
 15.916 Outdoor Recreation Acquisition, Development and Planning (A,B)
 15.923 National Center for Preservation Technology and Training (B)
 15.926 American Battlefield Protection (B)

15.928 Civil War Battlefield Land Acquisition Grants (B)
 15.930 Chesapeake Bay Gateways Network (B)
 15.940 New Bedford Whaling National Historic Park Cooperative Management (B)
 15.941 Mississippi National River and Recreation Area State and Local Assistance (B,K)
 15.942 Environmental Education and Conservation - North Cascades Bioregion (B)

DEPARTMENT OF JUSTICE

16.016 Culturally and Linguistically Specific Services Program (B)
 16.017 Sexual Assault Services Formula Program (A)
 16.019 Tribal Registry (B)
 16.021 Justice Systems Response to Families (B)
 16.023 Sexual Assault Services Culturally Specific Program (B)
 16.024 Tribal Sexual Assault Services Program (B)
 16.025 Special Domestic Violence Criminal Jurisdiction Implementation (B)
 16.026 OVW Research and Evaluation Program (B)
 16.027 National Clearinghouse on Sexual Assault of American Indian and Alaska Native Women (B)
 16.028 Resource Center on Workplace Response to Domestic Violence, Dating Violence, Sexual Assault, and Stalking (B)
 16.029 Office on Violence Against Women Special Projects (B)
 16.524 Legal Assistance for Victims (B)
 16.525 Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (B)
 16.526 OVW Technical Assistance Initiative (B)
 16.528 Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)
 16.529 Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (B)
 16.540 Juvenile Justice and Delinquency Prevention (A,B,M)
 16.544 Youth Gang Prevention (B)
 16.550 State Justice Statistics Program for Statistical Analysis Centers (B)
 16.554 National Criminal History Improvement Program (NCHIP) (B)
 16.556 State Domestic Violence and Sexual Assault Coalitions (B)
 16.557 Tribal Domestic Violence and Sexual Assault Coalitions Grant Program (B)
 16.578 Federal Surplus Property Transfer Program (H)
 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments (B)
 16.588 Violence Against Women Formula Grants (A)
 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)
 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program (B)
 16.593 Residential Substance Abuse Treatment for State Prisoners (A)
 16.606 State Criminal Alien Assistance Program (C)
 16.609 Project Safe Neighborhoods (B)
 16.610 Regional Information Sharing Systems (B)
 16.614 State and Local Anti-Terrorism Training (K,L,M)
 16.710 Public Safety Partnership and Community Policing Grants (B)
 16.726 Juvenile Mentoring Program (B)
 16.727 Enforcing Underage Drinking Laws Program (B)
 16.736 Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault (B)
 16.738 Edward Byrne Memorial Justice Assistance Grant Program (A,B)
 16.741 DNA Backlog Reduction Program (B)
 16.742 Paul Coverdell Forensic Sciences Improvement Grant Program (A,B)
 16.750 Support for Adam Walsh Act Implementation Grant Program (B)

Appendix I: Programs Requiring Executive Order 12372 Review

16.752	Economic High-Tech and Cyber Crime Prevention (B)	20.530	Public Transportation Innovation (B,C,L,M)
16.753	Congressionally Recommended Awards (B)	20.531	Technical Assistance and Workforce Development (B,C,L,M)
16.823	Emergency Planning for Juvenile Justice Facilities (B)	20.600	State and Community Highway Safety (A)
16.826	Vision 21 (B)	20.601	Alcohol Impaired Driving Countermeasures Incentive Grants I (B)
16.827	Justice Reinvestment Initiative (B)	20.602	Occupant Protection Incentive Grants (B)
16.835	Body Worn Camera Policy and Implementation (A,B,I,J,K,L,M,N)	20.608	Minimum Penalties for Repeat Offenders for Driving While Intoxicated (B)
16.836	Indigent Defense (B)	20.610	State Traffic Safety Information System Improvement Grants (B)
16.837	Building Community Trust (B)	20.611	Incentive Grant Program to Prohibit Racial Profiling (A)
16.888	Consolidated And Technical Assistance Grant Program to Address Children and Youth Experiencing Domestic and Sexual Violence and Engage Men and Boys as Allies (B)	20.612	Incentive Grant Program to Increase Motorcyclist Safety (A)
16.889	Grants for Outreach and Services to Underserved Populations (B)	20.613	Child Safety and Child Booster Seats Incentive Grants (B)
DEPARTMENT OF LABOR		20.616	National Priority Safety Programs (A)
17.207	Employment Service/Wagner-Peyser Funded Activities (A,B)	20.700	Pipeline Safety Program State Base Grant (A)
17.235	Senior Community Service Employment Program (A,B)	20.703	Interagency Hazardous Materials Public Sector Training and Planning Grants (B)
17.258	WIOA Adult Program (A)	20.721	PHMSA Pipeline Safety Program One Call Grant (B)
17.259	WIOA Youth Activities (A)	20.723	PHMSA Pipeline Safety Research and Development Other Transaction Agreements (B)
17.264	National Farmworker Jobs Program (A)	20.725	PHMSA Pipeline Safety Underground Natural Gas Storage Grant (A)
17.271	Work Opportunity Tax Credit Program (WOTC) (A)	20.816	Americas Marine Highway Grants (B)
17.278	WIOA Dislocated Worker Formula Grants (A)	20.932	Surface Transportation Discretionary Grants for Capital Investment (B)
17.281	WIOA Dislocated Worker National Reserve Technical Assistance and Training (B)	20.933	National Infrastructure Investments (B)
17.600	Mine Health and Safety Grants (B)	20.934	Nationally Significant Freight and Highway Projects (B)
17.801	Disabled Veterans' Outreach Program (DVOP) (A)	DEPARTMENT OF THE TREASURY	
17.804	Local Veterans' Employment Representative Program (A)	21.006	Tax Counseling for the Elderly (B)
DEPARTMENT OF STATE		21.009	Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)
19.016	Iraq Assistance Program (B)	NATIONAL ENDOWMENT FOR THE ARTS	
19.221	Iran Assistance Program (B)	45.025	Promotion of the Arts Partnership Agreements (B,K)
19.500	Middle East Partnership Initiative (B)	NATIONAL SCIENCE FOUNDATION	
19.600	Bureau of Near Eastern Affairs (B)	47.041	Engineering Grants (B)
19.601	Syria Assistance Program (B)	47.049	Mathematical and Physical Sciences (B)
DEPARTMENT OF TRANSPORTATION		47.050	Geosciences (B)
20.106	Airport Improvement Program (B,K)	47.070	Computer and Information Science and Engineering (B)
20.108	Aviation Research Grants (B,I)	47.074	Biological Sciences (B)
20.109	Air Transportation Centers of Excellence (B,I,J)	47.075	Social, Behavioral, and Economic Sciences (B)
20.205	Highway Planning and Construction (A,B)	47.079	Office of International Science and Engineering (B)
20.219	Recreational Trails Program (A)	47.083	Office of Integrative Activities (B)
20.224	Federal Lands Access Program (A,B)	SMALL BUSINESS ADMINISTRATION	
20.231	Performance and Registration Information Systems Management (B)	59.037	Small Business Development Centers (B,J,K,L)
20.235	Commercial Motor Vehicle Operator Safety Training Grants (B)	ENVIRONMENTAL PROTECTION AGENCY	
20.237	Motor Carrier Safety Assistance High Priority Activities Grants and Cooperative Agreements (B)	66.001	Air Pollution Control Program Support (B)
20.318	Maglev Project Selection Program - SAFETEA-LU (B)	66.032	State Indoor Radon Grants (B)
20.500	Federal Transit Capital Investment Grants (A,B)	66.033	Ozone Transport Commission (B)
20.505	Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research (A)	66.034	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)
20.507	Federal Transit Formula Grants (A)	66.039	National Clean Diesel Emissions Reduction Program (B)
20.509	Formula Grants for Rural Areas (A)	66.040	State Clean Diesel Grant Program (A)
20.514	Public Transportation Research, Technical Assistance, and Training (B,C,L,M)	66.041	Climate Showcase Communities Grant Program (B)
20.516	Job Access and Reverse Commute Program (B)	66.042	Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)
20.520	Paul S. Sarbanes Transit in the Parks (B)	66.110	Healthy Communities Grant Program (B)
20.521	New Freedom Program (A)	66.124	Coastal Wetlands Planning Protection and Restoration Act (B)
20.523	Capital Assistance Program for Reducing Energy Consumption and Greenhouse Gas Emissions (B)	66.125	Lake Pontchartrain Basin Restoration Program (PRP) (B)
20.526	Bus and Bus Facilities Formula Program (A,B)	66.128	Southeastern Multi-Media and Geographic Priority Projects (B)
20.527	Public Transportation Emergency Relief Program (B)	66.129	Southeast New England Coastal Watershed Restoration (B)
20.529	Bus Testing Facility (B)		

Appendix I: Programs Requiring Executive Order 12372 Review

66.130	Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component (B)	Training, Demonstrations, and Studies (B)
66.202	Congressionally Mandated Projects (B)	66.717 Source Reduction Assistance (B)
66.203	Environmental Finance Center Grants (B)	66.801 Hazardous Waste Management State Program Support (A)
66.305	Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)	66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)
66.306	Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)	66.804 Underground Storage Tank Prevention, Detection and Compliance Program (A)
66.309	Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (B)	66.805 Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)
66.313	International Compliance and Enforcement Projects (B)	66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)
66.419	Water Pollution Control State, Interstate, and Tribal Program Support (A)	66.808 Solid Waste Management Assistance Grants (B)
66.424	Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)	66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements (B)
66.432	State Public Water System Supervision (A)	66.812 Hazardous Waste Management Grant Program for Tribes (B)
66.433	State Underground Water Source Protection (A)	66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)
66.436	Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)	66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)
66.437	Long Island Sound Program (B)	66.816 Headquarters and Regional Underground Storage Tanks Program (B)
66.440	Urban Waters Small Grants (B)	66.817 State and Tribal Response Program Grants (A)
66.441	Healthy Watersheds Consortium Grant Program (B)	66.818 Brownfields Assessment and Cleanup Cooperative Agreements (B)
66.454	Water Quality Management Planning (A)	66.931 International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (B)
66.456	National Estuary Program (B)	
66.458	Capitalization Grants for Clean Water State Revolving Funds (A)	DEPARTMENT OF ENERGY
66.460	Nonpoint Source Implementation Grants (A)	81.041 State Energy Program (A,L)
66.461	Regional Wetland Program Development Grants (B)	81.042 Weatherization Assistance for Low-Income Persons (A)
66.462	National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)	81.106 Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (B)
66.466	Chesapeake Bay Program (B)	81.127 Energy Efficient Appliance Rebate Program (EEARP) (A)
66.468	Capitalization Grants for Drinking Water State Revolving Funds (A)	81.128 Energy Efficiency and Conservation Block Grant Program (EECBG) (A,B)
66.469	Great Lakes Program (B,I,L)	81.250 Energy Policy and Systems Analysis (B)
66.472	Beach Monitoring and Notification Program Implementation Grants (A)	DEPARTMENT OF EDUCATION
66.474	Water Protection Grants to the States (A)	84.002 Adult Education - Basic Grants to States (A)
66.475	Gulf of Mexico Program (B)	84.004 Civil Rights Training and Advisory Services (also known as Equity Assistance Centers) (B)
66.481	Lake Champlain Basin Program (B)	84.011 Migrant Education State Grant Program (A)
66.482	Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds (A)	84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)
66.483	Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds (A)	84.016 Undergraduate International Studies and Foreign Language Programs (B)
66.509	Science To Achieve Results (STAR) Research Program (B)	84.027 Special Education Grants to States (A)
66.510	Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)	84.031 Higher Education Institutional Aid (B)
66.511	Office of Research and Development Consolidated Research/Training/Fellowships (B)	84.042 TRIO Student Support Services (B)
66.516	P3 Award: National Student Design Competition for Sustainability (B)	84.044 TRIO Talent Search (B)
66.517	Regional Applied Research Efforts (RARE) (B)	84.047 TRIO Upward Bound (B)
66.604	Environmental Justice Small Grant Program (B)	84.048 Career and Technical Education -- Basic Grants to States (A)
66.605	Performance Partnership Grants (A,B)	84.060 Indian Education Grants to Local Educational Agencies (A)
66.609	Protection of Children from Environmental Health Risks (B)	84.066 TRIO Educational Opportunity Centers (B)
66.610	Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)	84.103 TRIO Staff Training Program (B)
66.611	Environmental Policy and Innovation Grants (B)	84.120 Minority Science and Engineering Improvement (B)
66.612	Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (B)	84.126 Rehabilitation Services Vocational Rehabilitation Grants to States (A)
66.708	Pollution Prevention Grants Program (B)	84.129 Rehabilitation Long-Term Training (B)
66.716	Research, Development, Monitoring, Public Education, Outreach,	84.141 Migrant Education High School Equivalency Program (B)
		84.144 Migrant Education Coordination Program (B)
		84.145 Federal Real Property Assistance Program (H)

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84.149	Migrant Education College Assistance Migrant Program (B)	84.422	American History and Civics Education (B)
84.160	Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)	84.423	Supporting Effective Educator Development Program (B)
84.161	Rehabilitation Services Client Assistance Program (A)	NATIONAL ARCHIVES AND RECORDS ADMINISTRATION	
84.165	Magnet Schools Assistance (B)	89.003	National Historical Publications and Records Grants (B)
84.173	Special Education Preschool Grants (A)	DEPARTMENT OF HEALTH AND HUMAN SERVICES	
84.177	Rehabilitation Services Independent Living Services for Older Individuals Who are Blind (B)	93.007	Public Awareness Campaigns on Embryo Adoption (B)
84.181	Special Education-Grants for Infants and Families (A)	93.011	National Organizations of State and Local Officials (B)
84.184	School Safety National Activities (formerly, Safe and Drug-Free Schools and Communities-National Programs) (B)	93.015	HIV Prevention Programs for Women (B)
84.187	Supported Employment Services for Individuals with the Most Significant Disabilities (A)	93.018	Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (B)
84.191	Adult Education National Leadership Activities (B,C)	93.042	Special Programs for the Aging, Title VII, Chapter 2, Long Term Care Ombudsman Services for Older Individuals (A)
84.196	Education for Homeless Children and Youth (A)	93.043	Special Programs for the Aging, Title III, Part D, Disease Prevention and Health Promotion Services (A)
84.200	Graduate Assistance in Areas of National Need (B)	93.044	Special Programs for the Aging, Title III, Part B, Grants for Supportive Services and Senior Centers (A)
84.206	Javits Gifted and Talented Students Education (B)	93.045	Special Programs for the Aging, Title III, Part C, Nutrition Services (A)
84.217	TRIO McNair Post-Baccalaureate Achievement (B)	93.053	Nutrition Services Incentive Program (A)
84.220	Centers for International Business Education (B)	93.060	Sexual Risk Avoidance Education (B)
84.235	Rehabilitation Services Demonstration and Training Programs (B)	93.062	Biomonitoring Programs for State Public Health Laboratories (B)
84.240	Program of Protection and Advocacy of Individual Rights (A)	93.068	Chronic Diseases: Research, Control, and Prevention (B)
84.246	Rehabilitation Short-Term Training (B)	93.069	Public Health Emergency Preparedness (B)
84.263	Rehabilitation Training Experimental and Innovative Training (B)	93.070	Environmental Public Health and Emergency Response (B)
84.264	Rehabilitation Training Continuing Education (B)	93.082	Sodium Reduction in Communities (B)
84.274	American Overseas Research Centers (B)	93.083	Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (B)
84.287	Twenty-First Century Community Learning Centers (A)	93.084	Prevention of Disease, Disability, and Death by Infectious Diseases (B)
84.295	Ready-To-Learn Television (B)	93.086	Healthy Marriage Promotion and Responsible Fatherhood Grants (B,L)
84.299	Indian Education -- Special Programs for Indian Children (B)	93.087	Enhance Safety of Children Affected by Substance Abuse (B)
84.315	Capacity Building for Traditionally Underserved Populations (B)	93.093	Affordable Care Act (ACA) Health Profession Opportunity Grants (B)
84.323	Special Education - State Personnel Development (B)	93.094	Well-Integrated Screening and Evaluation for Women Across the Nation (B)
84.324	Research in Special Education (B)	93.104	Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)
84.325	Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)	93.107	Area Health Education Centers (B)
84.326	Special Education Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)	93.116	Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)
84.327	Special Education Educational Technology Media, and Materials for Individuals with Disabilities (B)	93.118	Acquired Immunodeficiency Syndrome (AIDS) Activity (B)
84.328	Special Education Parent Information Centers (B)	93.129	Technical and Non-Financial Assistance to Health Centers (B)
84.330	Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (B)	93.134	Grants to Increase Organ Donations (B)
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs (B)	93.137	Community Programs to Improve Minority Health Grant Program (B)
84.335	Child Care Access Means Parents in School (B)	93.153	Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)
84.336	Teacher Quality Partnership Grants (B)	93.155	Rural Health Research Centers (B)
84.351	Arts in Education (B)	93.161	Health Program for Toxic Substances and Disease Registry (B)
84.354	Credit Enhancement for Charter School Facilities (B)	93.184	Disabilities Prevention (B)
84.356	Alaska Native Educational Programs (B)	93.185	Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects (B)
84.362	Native Hawaiian Education (B)	93.197	Childhood Lead Poisoning Prevention Projects, State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)
84.363	School Leader Recruitment and Support (formerly School Leadership) (B)	93.211	Telehealth Programs (B)
84.368	Competitive Grants for State Assessments (formerly Grants for Enhanced Assessment Instruments) (B)	93.217	Family Planning Services (B)
84.370	DC School Choice Incentive Program (B)	93.223	Development and Coordination of Rural Health Services (B)
84.371	Striving Readers/Comprehensive Literacy Development (B)	93.224	Health Center Program (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (B)
84.373	Special Education Technical Assistance on State Data Collection (B)		
84.374	Teacher and School Leader Incentive Grants (formerly the Teacher Incentive Fund) (B)		
84.382	Strengthening Minority-Serving Institutions (B)		
84.407	Transition Programs for Students with Intellectual Disabilities into Higher Education (B)		

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93.234	Traumatic Brain Injury State Demonstration Grant Program (B)	93.557	Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)
93.240	State Capacity Building (B)	93.563	Child Support Enforcement (A)
93.243	Substance Abuse and Mental Health Services Projects of Regional and National Significance (B)	93.570	Community Services Block Grant Discretionary Awards (B)
93.257	Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)	93.576	Refugee and Entrant Assistance Discretionary Grants (B)
93.260	Family Planning Personnel Training (B)	93.592	Family Violence Prevention and Services/Discretionary (B)
93.268	Immunization Cooperative Agreements (B)	93.595	Welfare Reform Research, Evaluations and National Studies (B)
93.269	Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)	93.598	Services to Victims of a Severe Form of Trafficking (B,C)
93.270	Viral Hepatitis Prevention and Control (B)	93.600	Head Start (B,C)
93.276	Drug-Free Communities Support Program Grants (B)	93.601	Child Support Enforcement Demonstrations and Special Projects (B)
93.283	Centers for Disease Control and Prevention Investigations and Technical Assistance (B)	93.602	Assets for Independence Demonstration Program (B)
93.290	National Community Centers of Excellence in Women's Health (B)	93.604	Assistance for Torture Victims (B,C)
93.296	State Partnership Grant Program to Improve Minority Health (B)	93.618	Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (A,B)
93.305	National State Based Tobacco Control Programs (B)	93.623	Basic Center Grant (B)
93.317	Emerging Infections Programs (B)	93.631	Developmental Disabilities Projects of National Significance (B)
93.323	Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) (B)	93.643	Children's Justice Grants to States (A)
93.329	Skills Training and Health Workforce Development of Paraprofessionals Grant Program (B)	93.645	Stephanie Tubbs Jones Child Welfare Services Program (A)
93.330	Leadership in Public Health Social Work Education Grant Program (B)	93.649	Nutrition and Physical Activity Programs (B)
93.336	Behavioral Risk Factor Surveillance System (B)	93.669	Child Abuse and Neglect State Grants (A)
93.352	Construction Support (B)	93.670	Child Abuse and Neglect Discretionary Activities (B)
93.366	State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes (B)	93.671	Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services (A)
93.369	ACL Independent Living State Grants (A)	93.702	National Center for Research Resources, Recovery Act Construction Support (B)
93.389	National Center for Research Resources (B)	93.739	PPHF: Chronic Disease Innovation Grants - financed solely by Public Prevention Health Funds (B)
93.432	ACL Centers for Independent Living (B)	93.742	PPHF: Early Childcare and Education Obesity Prevention Program - Obesity Prevention in Young Children - financed solely by Public Prevention and Health Funds (B)
93.448	Food Safety and Security Monitoring Project (B)	93.743	Racial and Ethnic Approaches to Community Health: Obesity and Hypertension Demonstration Projects financed solely by Prevention and Public Health Funds (B)
93.449	Ruminant Feed Ban Support Project (B)	93.744	PPHF: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by Prevention and Public Health Funds (B)
93.452	Health Improvement for Re-entering Ex-offenders Initiative (HIRE) HIV/AIDS (B)	93.751	PPHF: Consortium for Tobacco Use Cessation Technical Assistance financed by solely by Prevention and Public Health Funds (B)
93.464	ACL Assistive Technology (B)	93.752	Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations financed in part by Prevention and Public Health Funds (B)
93.500	Pregnancy Assistance Fund Program (B)	93.756	Nutrition and Physical Activity Program funded solely by Prevention and Public Health Funds (PPHF) (B)
93.505	Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (A)	93.757	State and Local Public Health Actions to Prevent Obesity, Diabetes, Heart Disease and Stroke (PPHF) (B)
93.507	PPHF National Public Health Improvement Initiative (B)	93.759	Consortium for Tobacco Use Cessation Technical Assistance financed solely by Prevention and Public Health Funds (B)
93.521	The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (B)	93.788	Opioid STR (A)
93.527	Grants for New and Expanded Services under the Health Center Program (B)	93.796	State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (A)
93.531	PPHF - Community Transformation Grants and National Dissemination and Support for Community Transformation Grants - financed solely by Prevention and Public Health Funds (B)	93.799	CARA Act Comprehensive Addiction and Recovery Act of 2016 (B)
93.534	Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (B)	93.800	Organized Approaches to Increase Colorectal Cancer Screening (B)
93.535	Affordable Care Act (ACA) Childhood Obesity Research Demonstration (B)	93.808	Increasing the Implementation of Evidence-Based Cancer Survivorship Interventions to Increase Quality and Duration of Life Among Cancer Patients (B)
93.538	Affordable Care Act - National Environmental Public Health Tracking Program-Network Implementation (B)	93.810	Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (B)
93.547	National Health Service Corps (B,C)	93.813	Heart Disease & Stroke Prevention Program and Diabetes Prevention State and Local Public Health Actions to Prevent Obesity, Diabetes, and Heart Disease and Stroke (B)
93.548	PPHF: State Nutrition, Physical Activity, and Obesity Programs - financed in part by PPHF (B)		
93.550	Transitional Living for Homeless Youth (B)		
93.551	Abandoned Infants (B)		
93.556	Promoting Safe and Stable Families (A,B)		

Appendix I: Programs Requiring Executive Order 12372 Review

- 93.814 Partner support for heart disease and stroke prevention (B)
- 93.815 Domestic Ebola Supplement to the Epidemiology and Laboratory Capacity for Infectious Diseases (ELC). (B)
- 93.816 Preventing Heart Attacks and Strokes in High Need Areas (B)
- 93.824 Area Health Education Centers Infrastructure Development Awards (B)
- 93.833 Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States (B)
- 93.843 ACL Assistive Technology State Grants for Protection and Advocacy (A)
- 93.844 ACL Centers for Independent Living, Recovery Act (B)
- 93.845 Promoting Population Health through Increased Capacity in Alcohol Epidemiology (B)
- 93.870 Maternal, Infant and Early Childhood Home Visiting Grant Program (A)
- 93.872 Tribal Maternal, Infant, and Early Childhood Home Visiting (B)
- 93.875 Assistance for Oral Disease Prevention and Control (B)
- 93.898 Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations (B)
- 93.910 Family and Community Violence Prevention Program (B)
- 93.912 Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (B)
- 93.913 Grants to States for Operation of State Offices of Rural Health (B)
- 93.914 HIV Emergency Relief Project Grants (B)
- 93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)
- 93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)
- 93.926 Healthy Start Initiative (B)
- 93.928 Special Projects of National Significance (B)
- 93.938 Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (B)
- 93.939 HIV Prevention Activities Non-Governmental Organization Based (B)
- 93.940 HIV Prevention Activities Health Department Based (B)
- 93.941 HIV Demonstration, Research, Public and Professional Education Projects (B)
- 93.942 Research, Prevention, and Education Programs on Lyme Disease in the United States (B)
- 93.944 Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)
- 93.945 Assistance Programs for Chronic Disease Prevention and Control (B)
- 93.946 Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)
- 93.947 Tuberculosis Demonstration, Research, Public and Professional Education (B)
- 93.965 Coal Miners Respiratory Impairment Treatment Clinics and Services (B)
- 93.977 Sexually Transmitted Diseases (STD) Prevention and Control Grants (B)
- 93.981 Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (B)
- 93.990 National Health Promotion (B)
- 93.997 Assisted Outpatient Treatment (B)

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

- 94.002 Retired and Senior Volunteer Program (B)
- 94.011 Foster Grandparent Program (B)

- 94.013 Volunteers in Service to America (J)
- 94.016 Senior Companion Program (B)
- 94.017 Senior Demonstration Program (B)

DEPARTMENT OF HOMELAND SECURITY

- 97.005 State and Local Homeland Security National Training Program (B)
- 97.007 Homeland Security Preparedness Technical Assistance Program (B)
- 97.008 Non-Profit Security Program (B)
- 97.009 Cuban/Haitian Entrant Program (B)
- 97.012 Boating Safety Financial Assistance (A,B)
- 97.029 Flood Mitigation Assistance (B)
- 97.030 Community Disaster Loans (E)
- 97.036 Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)
- 97.039 Hazard Mitigation Grant (B)
- 97.040 Chemical Stockpile Emergency Preparedness Program (B)
- 97.042 Emergency Management Performance Grants (A)
- 97.045 Cooperating Technical Partners (B)
- 97.047 Pre-Disaster Mitigation (B)
- 97.048 Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B,C)
- 97.050 Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)
- 97.052 Emergency Operations Center (B)
- 97.067 Homeland Security Grant Program (A)
- 97.070 Map Modernization Management Support (B)
- 97.075 Rail and Transit Security Grant Program (B)
- 97.078 Buffer Zone Protection Program (BZPP) (B)
- 97.083 Staffing for Adequate Fire and Emergency Response (SAFER) (B)
- 97.089 Driver's License Security Grant Program (B)
- 97.091 Homeland Security Biowatch Program (B,I)
- 97.092 Repetitive Flood Claims (B)
- 97.106 Securing the Cities Program (B,I,L)
- 97.107 National Incident Management System (NIMS) (B)
- 97.110 Severe Repetitive Loss Program (B)
- 97.113 Rail and Transit Security Grant Program (ARRA) (B)
- 97.116 Port Security Grant Program (ARRA) (B)
- 97.120 Rural Emergency Medical Communications Demonstration Project (B)

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Appendix II: Budget Functional Code Appendix

FINANCIAL INFORMATION

Account Identification - This 11-digit budget code identifies the account from which a program is funded as expressed in the Appendix to the Federal Budget. For identification code 75-0350-0-1-551, the first two digits represent the Federal agency code, and the third through sixth digits identify the appropriation (or fund) basic account symbol assigned by the Treasury Department. Where two or more accounts with different Treasury basic account symbols are included in a consolidated program, 99 will be the third and fourth digits, the fifth digit will be the fund type and the sixth digit will reflect the sequence of consolidated programs for that fund type within the agency. The seventh digit identifies the timing of transmittal of budget estimates:

- 0: Regular budget schedules
- 1: Supplemental appropriation
- 2: Legislative proposal, not subject to PAYGO
- 3: Appropriations language to be transmitted later
- 4: Legislative proposal, subject to PAYGO
- 5: Recission proposal
- 6: Adjustments to 1996 continuing resolution levels

The eighth digit identifies the type of government fund involved:

1: General fund - General Fund Appropriation Accounts - Accounts established to record amounts appropriated by law to be expended for the general support of the Government.

General Fund Receipt Accounts - Accounts credited with all receipts that are not earmarked by law for a specific purpose.

2: Special fund - Special Fund Appropriation Accounts - Accounts established to record appropriated amounts of special fund receipts to be expended for special programs in accordance with specific provisions of law. Special Fund Receipt Accounts - Accounts credited with receipts from specific sources that are earmarked by law for a specific purpose.

3: Public Enterprise Fund Accounts - Funds authorized by Congress to be credited with receipts primarily from the public, that are generated by, and earmarked to finance, a continuing cycle of business-type operations.

4: Intragovernmental Revolving or Management Fund Intragovernmental Fund Accounts - Accounts established to facilitate financing transactions within and between Federal agencies. These funds may be classified as intragovernmental revolving funds or management funds. Intragovernmental Revolving Fund Accounts - Funds authorized by law to carry out a cycle of intragovernmental business-type operations. These funds are credited with offsetting collections from other agencies and accounts.

7: Trust Fund (non-revolving) - Trust funds are established to account for the receipt and expenditure of monies by the Government for carrying out specific purposes and programs in accordance with the terms of a statute that designates the fund as a trust fund (such as the Highway Trust Fund) or for carrying out the stipulations of a trust agreement (such as any of several trust funds for gifts and donations for specific purposes). In a trust non-revolving fund, the receipts designated for the trust fund are in a separate account.

8: Trust Fund (revolving) - In a trust revolving fund, the fund is credited with collections earmarked by law to carry out a cycle of business-type operations.

9: For use when account consolidations cross fund types. The last three digits represent the functional classification (code) used in the latest Federal Budget document, unless noted otherwise by the Office of Management and Budget. In cases where a program is split between two or more subfunctions: (a) if all subfunctions are in the same major function, the code is the same as for the major function; or (b) if two or more major functions are involved the digits '999' are used. (Example: 75-1361-0-1-550)

Listed below are major functions and subfunctions as used in the budget document to show allocations of budget authority and outlays according to end purposes without regard to agency jurisdiction. The central nature of each major function is identified and defined. Catalog programs are listed following each applicable subfunction (or major function). Note that some subfunctions are not applicable to Catalog programs.

The alphabet(s) in parentheses following the program title, shows the type(s) of assistance available through that program. The alphabet codes with accompanying types of assistance are as follows:

- A: Formula Grants
- B: Project Grants
- C: Direct Payments for Specified Use
- D: Direct Payments with Unrestricted Use
- E: Direct Loans
- F: Guaranteed/Insured Loans
- G: Insurance
- H: Sale, Exchange, or Donation of Property and Goods
- I: Use of Property, Facilities, and Equipment
- J: Provision of Specialized Services
- K: Advisory Services and Counseling
- L: Dissemination of Technical Information
- M: Training
- N: Investigation of Complaints
- O: Federal Employment

Appendix II: Budget Functional Code Appendix

(050) NATIONAL DEFENSE

This function includes those programs directly related to the common defense and security of the United States. It includes the raising, equipping, and maintaining of armed forces (including civilian supporting activities), development and utilization of weapons systems (including nuclear weapons), and related programs. National defense includes direct compensation and benefits paid to active military and civilian personnel; contributions to their retirement, health, and life insurance funds; the conduct of defense research, development, testing, and evaluation; and procurement, construction, stockpiling, and other activities undertaken with the direct object of fostering our national security. Excluded from national defense are benefits or compensation to veterans and their dependents (veterans benefits are generally available to personnel who served the country in national emergencies; benefits for career military personnel are generally charged as a cost to the national defense function) and military retired pay, which is included in the income security function. Also excluded from national defense is the peaceful conduct of foreign relations; foreign military, economic, and humanitarian assistance; subsidies to business by civilian agencies (such as maritime subsidies) that may be partially justified as promoting national security; and research and operations of agencies (such as space research) whose program mission is not directly designed to promote national defense but which could result in some significant benefits to our national security.

- 81.123 National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program (B)
- 81.137 Minority Economic Impact (B)

(051) Department of Defense - Military

The entire agency is included in this subfunction. Because of the method of funding and accounting in the Defense Department no further subdivisions on a program basis are available in terms of outlays.

- 12.002 Procurement Technical Assistance For Business Firms (B)
- 12.300 Basic and Applied Scientific Research (B)
- 12.330 Science, Technology, Engineering & Mathematics (STEM) Education, Outreach and Workforce Program (B)
- 12.355 Pest Management and Vector Control Research (B)
- 12.360 Research on Chemical and Biological Defense (B)
- 12.420 Military Medical Research and Development (B)
- 12.431 Basic Scientific Research (B)
- 12.460 Fisher House Foundation (B)
- 12.550 The Language Flagship Grants to Institutions of Higher Education (B)
- 12.551 National Security Education Program David L. Boren Scholarships (B)
- 12.552 National Security Education Program David L. Boren Fellowships (B)
- 12.555 English for Heritage Language Speakers Scholarships (B)
- 12.620 Troops to Teachers Grant Program (B)
- 12.630 Basic, Applied, and Advanced Research in Science and Engineering (B)
- 12.800 Air Force Defense Research Sciences Program (B)
- 12.901 Mathematical Sciences Grants (B)
- 12.905 CyberSecurity Core Curriculum (B)
- 12.910 Research and Technology Development (B)

(053) Atomic Energy Defense Activities

Those programs of the Department of Energy devoted to national defense activities, such as naval ship reactors and nuclear weapons.

- 17.310 Energy Employees Occupational Illness Compensation (D)
- 81.104 Environmental Remediation and Waste Processing and Disposal (B)
- 81.106 Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (B)
- 81.112 Stewardship Science Grant Program (B)
- 81.113 Defense Nuclear Nonproliferation Research (B)

(054) Defense-Related Activities

Miscellaneous defense activities such as the expenses connected with selective service and civil defense activities in the Federal Emergency Management Agency.

- 12.369 Marine Corps Systems Command Federal Assistance Program (B)
- 20.813 Maritime Security Fleet Program or Ship Operations Cooperation Program (C)
- 47.050 Geosciences (B)
- 81.140 Los Alamos National Laboratory - Fire Protection (B)

(150) INTERNATIONAL AFFAIRS

This function is concerned with the maintenance of peaceful relations, commerce, and travel between the United States and the remainder of the world, and with the promotion of international security and economic development abroad. It includes the conduct of foreign affairs; cost of membership in international organizations; foreign information and exchange activities; foreign military, economic, and humanitarian assistance programs; and loan programs designed to foster U.S. exports. Excluded from international affairs are outlays from domestic programs that may tangentially affect foreign relations or citizens of other nations.

- 19.221 Iran Assistance Program (B)
- 19.300 Program for Study of Eastern Europe and the Independent States of the Former Soviet Union (B)
- 19.500 Middle East Partnership Initiative (B)
- 93.832 Promoting the Cancer Surveillance Workforce, Education and Data Use (B)

(151) International Development and Humanitarian Assistance

This includes humanitarian assistance, development assistance, security supporting assistance, grants to and investments in international financial and development institutions, and the costs associated with concessionary agricultural exports.

- 10.608 Food for Education (C,H)
- 10.612 USDA Local and Regional Food Aid Procurement Program (B)
- 19.018 Resettlement Support Centers (RSCs) for U.S. Refugee Resettlement (B)
- 19.510 U.S. Refugee Admissions Program (B)
- 19.511 Overseas Refugee Assistance Programs for East Asia (B)
- 19.515 Contributions to International Organizations for Overseas Assistance (B,C)
- 19.517 Overseas Refugee Assistance Programs for Africa (B)
- 19.518 Overseas Refugee Assistance Programs for Western Hemisphere (B)
- 19.519 Overseas Refugee Assistance Program for Near East and South Asia (B)
- 19.520 Overseas Refugee Assistance Programs for Europe (B)
- 19.522 Overseas Refugee Assistance Programs for Strategic Global Priorities (B)
- 85.750 IAF Assistance for Overseas Programs (B)
- 98.001 USAID Foreign Assistance for Programs Overseas (B)
- 98.002 Cooperative Development Program (CDP) (B)
- 98.003 Ocean Freight Reimbursement Program (OFR) (B)
- 98.004 Non-Governmental Organization Strengthening (NGO) (B)
- 98.005 Institutional Capacity Building (ICB) (B)
- 98.006 Foreign Assistance to American Schools and Hospitals Abroad (ASHA) (B)
- 98.007 Food for Peace Development Assistance Program (DAP) (B,H)
- 98.008 Food for Peace Emergency Program (EP) (B,H)
- 98.009 John Ogonowski Farmer-to-Farmer Program (B)
- 98.010 Denton Program (J)
- 98.011 Global Development Alliance (B)
- 98.012 USAID Development Partnerships for University Cooperation and

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Development (B)

(152) International Security Assistance

This includes all of international security assistance. It involves the transfer of defense articles and services to foreign governments through grants, credit sales, and training.

98.011 Global Development Alliance (B)

(153) Conduct of Foreign Affairs

This includes the diplomatic and consular operations of the Department of State and closely-related activities in other agencies (such as the Arms Control and Disarmament Agency).

(154) Foreign Information and Exchange Activities

This includes student and cultural exchange programs and foreign library, radio, or other media information activities designed to promote mutual understanding between the people of the United States and other Nations.

19.009 Academic Exchange Programs - Undergraduate Programs (B)

19.010 Academic Exchange Programs - Hubert H. Humphrey Fellowship Program (B)

19.011 Academic Exchange Programs - Special Academic Exchange Programs (B)

19.012 Professional and Cultural Exchange Programs - Special Professional and Cultural Programs (B)

19.015 Cultural, Technical and Educational Centers (B)

19.022 Educational and Cultural Exchange Programs Appropriation Overseas Grants (B)

19.400 Academic Exchange Programs - Graduate Students (B)

19.401 Academic Exchange Programs - Scholars (B)

19.402 Professional and Cultural Exchange Programs - International Visitor Leadership Program (B)

19.408 Academic Exchange Programs - Teachers (B)

19.415 Professional and Cultural Exchange Programs - Citizen Exchanges (B)

19.421 Academic Exchange Programs - English Language Programs (B)

19.432 Academic Exchange Programs - Educational Advising and Student Services (B)

19.440 IIP Individual Grants (B)

19.450 ECA Individual Grants (B)

19.451 Special International Exchange Grant Programs (B)

19.452 International Exchange Alumni Programs (B)

(155) International Financial Programs

This includes export credit, the military sales trust fund, international commodity agreements, international monetary programs, and other programs designed to improve the functioning of the international financial system.

93.815 Domestic Ebola Supplement to the Epidemiology and Laboratory Capacity for Infectious Diseases (ELC). (B)

(250) GENERAL SCIENCE, SPACE AND TECHNOLOGY

This function shows budget resources allocated to science and research activities of the Federal Government to meet the national need to advance knowledge in this area. In addition, research and technology programs that have diverse goals and cannot readily be classified into one specific function are also placed here to avoid detailed splitting of accounts. Included in this function are general scientific research and civilian space programs that are not an integral part of the programs conducted under any other function. This includes the research conducted by the National Aeronautics and Space Administration, and general science research supported by the Department of Energy. As is noted above, research programs that are an integral part of the achievement of other major functions _ e.g., health, national defense, energy _ are excluded from this function. Only if a research program cannot readily be included in other functions is it classified in this function.

81.105 National Industrial Competitiveness through Energy, Environment, and Economics (B)

81.139 Environmental Management R&D and Validation Testing on High Efficiency Particulate Air (HEPA) Filters (B)

(251) General Science and Basic Research

This includes the expenses of conducting the National Science Foundation programs and the general science activities of the Department of Energy.

47.041 Engineering Grants (B)

47.049 Mathematical and Physical Sciences (B)

47.050 Geosciences (B)

47.070 Computer and Information Science and Engineering (B)

47.074 Biological Sciences (B)

47.075 Social, Behavioral, and Economic Sciences (B)

47.079 Office of International Science and Engineering (B)

47.083 Office of Integrative Activities (B)

81.049 Office of Science Financial Assistance Program (B)

(252) Space Flight, Research, and Supporting Activities

This subfunction is devoted to the development and operation of space transportation systems, basic scientific research connected with outer space, research and demonstrations designed to provide terrestrial applications of technology developed through space research, and development of new space technologies for future missions. Also included are the costs of tracking and data relay support for NASA space science and applications for flight missions.

43.001 Science (B,C,I,M)

43.003 Exploration (B,C,I,J,L,M)

43.007 Space Operations (B)

43.008 Education (B)

43.009 Cross Agency Support (B)

43.010 Construction & Environmental Compliance & Remediation (B)

43.011 Office of Inspector General (B,C,I,J,K,L,N)

43.012 Space Technology (B,C,I,M)

(270) ENERGY

The energy function includes those programs designed to promote an adequate supply and appropriate use of energy to serve the needs of the economy. It includes most of those programs operated by the Department of Energy and its predecessor agencies except for atomic energy defense activities and for general science research not closely related to energy.

81.036 Inventions and Innovations (B,K,L)

81.041 State Energy Program (A,L)

81.042 Weatherization Assistance for Low-Income Persons (A)

81.079 Regional Biomass Energy Programs (B)

81.086 Conservation Research and Development (B)

81.087 Renewable Energy Research and Development (B)

81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (B)

81.119 State Energy Program Special Projects (B)

81.129 Energy Efficiency and Renewable Energy Technology Deployment, Demonstration and Commercialization (B)

81.135 Advanced Research Projects Agency - Energy (B)

81.136 Long-Term Surveillance and Maintenance (B)

(271) Energy Supply

This includes those programs designed to increase the supply of energy through the development of domestic resources and systems capable of using them. Included in energy supply are the costs of research and demonstration of supply systems.

10.212 Small Business Innovation Research (B)

10.219 Biotechnology Risk Assessment Research (B)

10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI) (B)

10.751 Rural Energy Savings Program (RESP) (E)

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- 10.850 Rural Electrification Loans and Loan Guarantees (E,F)
- 10.851 Rural Telephone Loans and Loan Guarantees (E,F)
- 10.854 Rural Economic Development Loans and Grants (B,E)
- 81.108 Epidemiology and Other Health Studies Financial Assistance Program (B)
- 81.126 Federal Loan Guarantees for Innovative Energy Technologies (E,F)

(272) Energy Conservation

Programs in this subfunction are designed to encourage the prudent use of energy resources.

- 81.126 Federal Loan Guarantees for Innovative Energy Technologies (E,F)
- 81.127 Energy Efficient Appliance Rebate Program (EEARP) (A)
- 81.128 Energy Efficiency and Conservation Block Grant Program (EECBG) (A,B)

(274) Emergency Energy Preparedness

This includes the programs associated with developing and maintaining a stockpile of energy resources (currently confined to petroleum) to meet emergency needs and associated contingency planning activities.

(276) Energy Information, Policy, and Regulation

This includes the unallocable overhead activities of the Department of Energy plus the costs of energy information and regulation activities.

- 77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (B)
- 77.007 U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (B)
- 77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (B)
- 77.009 U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (B)
- 81.003 Granting of Patent Licenses (L)
- 81.138 State Heating Oil and Propane Program (B)

(300) NATURAL RESOURCES AND ENVIRONMENT

This function includes those programs whose primary purpose is to develop, manage, and maintain the Nation's natural resources and environment. It encompasses development and management of water resources; protection and enhancement of environmental quality; development and maintenance of recreational resources; promotion of the prudent use of forest and mineral resources; the fostering of conservation practices on both public and private lands; marine, earth, and atmospheric -related research, and the management of the public domain. Excluded from this function are the outlays for community water supply programs, basic sewer system, and waste treatment plants, which are part of a community or regional development (rather than an environmental enhancement) program or are part of the cost of operating a Federal facility (such as a military installation).

- 12.582 Office for Reintegration Programs (B)
- 15.532 Central Valley, Trinity River Division, Trinity River Fish and Wildlife Management (B)
- 15.549 Integrated Regional Water Plan for the Central Valley of California (B)
- 15.550 Fishing Events for Disadvantaged Children (B)

(301) Water Resources

This includes water protection, conservation, irrigation, and related activities including the total costs of multipurpose water projects where it is not feasible to separate out the transportation (navigation) or energy (power) segments of these projects.

- 10.904 Watershed Protection and Flood Prevention (B,K)
- 10.916 Watershed Rehabilitation Program (K)
- 10.923 Emergency Watershed Protection Program (B)
- 10.927 Emergency Watershed Protection Program - Disaster Relief Appropriations Act (B)

- 10.928 Emergency Watershed Protection Program - Floodplain Easements Disaster Relief Appropriations Act (C)
- 15.504 Title XVI Water Reclamation and Reuse (A)
- 15.506 Water Desalination Research and Development (B)
- 15.507 Water SMART (Sustaining and Manage America's Resources for Tomorrow) (B)
- 15.508 Providing Water to At-Risk Natural Desert Terminal Lakes (B)
- 15.509 Title II, Colorado River Basin Salinity Control (B)
- 15.510 Colorado Ute Indian Water Rights Settlement Act (B,C)
- 15.511 Cultural Resources Management (B)
- 15.512 Central Valley Improvement Act, Title XXXIV (B)
- 15.514 Reclamation States Emergency Drought Relief (B)
- 15.516 Fort Peck Reservation Rural Water System (B,C)
- 15.517 Fish and Wildlife Coordination Act (B)
- 15.518 Garrison Diversion Unit (B)
- 15.519 Indian Tribal Water Resources Development, Management, and Protection (B,C)
- 15.520 Lewis and Clark Rural Water System (B)
- 15.521 Lower Rio Grande Valley Water Resources Conservation and Improvement (B)
- 15.522 Mni Wiconi Rural Water Supply Project (B)
- 15.524 Recreation Resources Management (B)
- 15.525 Rocky Boy's/North Central Montana Regional Water System (B)
- 15.526 San Gabriel Basin Restoration (B)
- 15.527 San Luis Unit, Central Valley (B)
- 15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery (B)
- 15.530 Water Conservation Field Services (WCFS) (B)
- 15.531 Yakima River Basin Water Enhancement (YRBWE) (B)
- 15.533 California Water Security and Environmental Enhancement (B)
- 15.534 Miscellaneous Public Law 93-638 Contracts, Grants, and Cooperative Agreements (B,C)
- 15.535 Upper Colorado River Basin Fish and Wildlife Mitigation (B)
- 15.537 Middle Rio Grande Endangered Species Collaborative (B)
- 15.538 Lower Colorado River Multi-Species Conservation (B)
- 15.539 Equus Beds Division Aquifer Storage Recharge (B)
- 15.540 Lake Mead/Las Vegas Wash (B)
- 15.541 Colorado River Basin Act of 1968 (B)
- 15.542 Arizona Water Settlement Act of 2004 (B,C)
- 15.543 Lake Tahoe Regional Wetlands Development (B)
- 15.544 Platte River Recovery Implementation (B)
- 15.545 Bunker Hill Groundwater Basin, Riverside-Corona Feeder (B)
- 15.546 Youth Conservation (B)
- 15.548 Reclamation Rural Water Supply (B)
- 15.551 Madera Water Supply Enhancement (B)
- 15.552 Navajo-Gallup Water Supply (B)
- 15.553 Eastern New Mexico Rural Water System (B)
- 15.554 Cooperative Watershed Management (B)
- 15.555 San Joaquin River Restoration (B)
- 15.556 Crow Tribe Water Rights Settlement (C)
- 15.557 Desert and Southern Rockies Landscape Conservation Cooperatives (B)
- 15.558 White Mountain Apache Tribe Rural Water System (C)
- 15.559 New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure (B,C)
- 15.560 SECURE Water Act Research Agreements (B)
- 15.561 Savage Rapids Pumping Plant (B)
- 15.562 Dixie Valley Water Export Study (B)
- 15.564 Central Valley Project Conservation (B)
- 15.566 Upper Klamath Basin Hydrolic Analyses (A,B,C,L)

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15.567	Colorado River Conservation System (Pilot) (B)	15.038	Minerals and Mining on Indian Lands (C,J,L)
15.648	Central Valley Improvement (CVI) Anadromous Fish Restoration (AFR) (B)	15.051	Endangered Species on Indian Lands (C,K,L)
(302) Conservation and Land Management		15.065	Safety of Dams on Indian Lands (C)
This includes the costs of operating the public domain (including national forests), payments to encourage private land conservation activities, and surface mining reclamation efforts.			
10.069	Conservation Reserve Program (C)	15.148	Tribal Energy Development Capacity Grants (C)
10.072	Wetlands Reserve Program (B)	15.158	DOI National Fire Plan (B)
10.093	Voluntary Public Access and Habitat Incentive Program (A)	15.214	Non-Sale Disposals of Mineral Material (H)
10.652	Forestry Research (B)	15.222	Cooperative Inspection Agreements with States and Tribes (B)
10.664	Cooperative Forestry Assistance (A,B)	15.224	Cultural and Paleontological Resources Management (B)
10.672	Rural Development, Forestry, and Communities (B)	15.225	Recreation Resource Management (B)
10.674	Wood Utilization Assistance (B)	15.228	BLM Wildland Urban Interface Community Fire Assistance (B,I,L,M)
10.675	Urban and Community Forestry Program (B)	15.229	Wild Horse and Burro Resource Management (B)
10.676	Forest Legacy Program (B)	15.230	Invasive and Noxious Plant Management (B,M)
10.678	Forest Stewardship Program (B)	15.231	Fish, Wildlife and Plant Conservation Resource Management (B,M)
10.679	Collaborative Forest Restoration (B)	15.232	Wildland Fire Research and Studies (B)
10.680	Forest Health Protection (A,B)	15.233	Forests and Woodlands Resource Management (B)
10.681	Wood Education and Resource Center (WERC) (B)	15.234	Secure Rural Schools and Community Self-Determination (B,M)
10.682	National Forest Foundation (C)	15.235	Southern Nevada Public Land Management (B)
10.683	National Fish and Wildlife Foundation (C)	15.236	Environmental Quality and Protection (B)
10.684	International Forestry Programs (B)	15.237	Rangeland Resource Management (B)
10.689	Community Forest and Open Space Conservation Program (CFP) (B)	15.238	Challenge Cost Share (B)
10.690	Lake Tahoe Erosion Control Grant Program (B)	15.239	Management Initiatives (B)
10.691	Good Neighbor Authority (B)	15.241	Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (B,M)
10.693	Watershed Restoration and Enhancement Agreement Authority (B)	15.242	BLM Rural Fire Assistance (B,I,J,K,L,M)
10.694	Southwest Forest Health and Wildfire Prevention (C)	15.243	Youth Conservation Opportunities on Public Lands (B)
10.699	Partnership Agreements (C)	15.250	Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B,C)
10.701	Stewardship Agreements (B)	15.408	Bureau of Ocean Energy Management Renewable Energy (B)
10.702	Alaska National Interest Lands Conservation Act (ANILCA) Agreements (C)	15.421	Alaska Coastal Marine Institute (B)
10.703	Cooperative Fire Protection Agreement (C)	15.422	Louisiana State University (LSU) Coastal Marine Institute (CMI) (B)
10.704	Law Enforcement Agreements (B)	15.423	Bureau of Ocean Energy Management (BOEM) Environmental Studies (ES) (B)
10.705	Cooperative Forest Road Agreements (B)	15.427	Federal Oil and Gas Royalty Management State and Tribal Coordination (B)
10.902	Soil and Water Conservation (K)	15.428	Marine Gas Hydrate Research Activities (B)
10.903	Soil Survey (L)	15.441	Safety and Environmental Enforcement Research and Data Collection for Offshore Energy and Mineral Activities (B)
10.904	Watershed Protection and Flood Prevention (B,K)	15.442	Alaska Native Science and Engineering (B)
10.905	Plant Materials for Conservation (J)	15.443	Not For Profit (B)
10.907	Snow Survey and Water Supply Forecasting (L)	15.444	Take Pride (B)
10.912	Environmental Quality Incentives Program (C)	15.608	Fish and Wildlife Management Assistance (B)
10.913	Farm and Ranch Lands Protection Program (C)	15.615	Cooperative Endangered Species Conservation Fund (B)
10.914	Wildlife Habitat Incentive Program (C)	15.619	Rhinoceros and Tiger Conservation Fund (B)
10.917	Agricultural Management Assistance (C)	15.620	African Elephant Conservation Fund (B)
10.920	Grassland Reserve Program (C)	15.621	Asian Elephant Conservation Fund (B)
10.921	Conservation Security Program (C)	15.623	North American Wetlands Conservation Fund (B)
10.922	Healthy Forests Reserve Program (HFRP) (C)	15.629	Great Apes Conservation Fund (B)
10.924	Conservation Stewardship Program (B)	15.630	Coastal (B)
10.925	Agricultural Water Enhancement Program (C)	15.631	Partners for Fish and Wildlife (B)
10.926	Chesapeake Bay Watershed Program (C)	15.633	Landowner Incentive (B)
10.929	Water Bank Program (C)	15.634	State Wildlife Grants (A,B)
10.931	Agricultural Conservation Easement Program (B)	15.635	Neotropical Migratory Bird Conservation (B)
10.932	Regional Conservation Partnership Program (B)	15.636	Alaska Subsistence Management (B)
10.933	Wetlands Mitigation Banking Program (B)	15.637	Migratory Bird Joint Ventures (B)
11.472	Unallied Science Program (B)	15.639	Tribal Wildlife Grants (B)
15.034	Agriculture on Indian Lands (C,J,K)	15.640	Wildlife Without Borders- Latin America and the Caribbean (B)
15.035	Forestry on Indian Lands (C,J,K)	15.641	Wildlife Without Borders-Mexico (B)
15.037	Water Resources on Indian Lands (C,J,K)	15.642	Challenge Cost Share (B)
		15.643	Alaska Migratory Bird Co-Management Council (B)

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15.644	Federal Junior Duck Stamp Conservation and Design (B)	15.916	Outdoor Recreation Acquisition, Development and Planning (A,B)
15.645	Marine Turtle Conservation Fund (B)	15.918	Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments (H)
15.647	Migratory Bird Conservation (B)	15.921	Rivers, Trails and Conservation Assistance (B,K)
15.649	Service Training and Technical Assistance (Generic Training) (B)	15.922	Native American Graves Protection and Repatriation Act (B)
15.650	Research Grants (Generic) (B)	15.923	National Center for Preservation Technology and Training (B)
15.651	Wildlife Without Borders-Africa (B)	15.925	National Maritime Heritage Grants (B)
15.652	Invasive Species (B)	15.926	American Battlefield Protection (B)
15.654	National Wildlife Refuge System Enhancements (B)	15.927	Hydropower Recreation Assistance (K)
15.655	Migratory Bird Monitoring, Assessment and Conservation (B)	15.928	Civil War Battlefield Land Acquisition Grants (B)
15.656	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement (B)	15.929	Save America's Treasures (B)
15.657	Endangered Species Conservation Recovery Implementation Funds (B)	15.930	Chesapeake Bay Gateways Network (B)
15.658	Natural Resource Damage Assessment, Restoration and Implementation (B)	15.931	Conservation Activities by Youth Service Organizations (B)
15.660	Endangered Species - Candidate Conservation Action Funds (B)	15.933	Preservation of Japanese American Confinement Sites (B)
15.661	Lower Snake River Compensation Plan (B)	15.935	National Trails System Projects (B)
15.662	Great Lakes Restoration (B)	15.937	Redwood National Park Cooperative Management with the State of California (B,H,I,K,L,M)
15.663	National Fish and Wildlife Foundation (B)	15.938	Boston African-American National Historic Site Cooperative Agreement with the Museum of African American History (B)
15.664	Fish and Wildlife Coordination and Assistance (B)	15.939	National Heritage Area Federal Financial Assistance (B)
15.665	National Wetlands Inventory (B)	15.941	Mississippi National River and Recreation Area State and Local Assistance (B,K)
15.666	Endangered Species Conservation-Wolf Livestock Loss Compensation and Prevention (B)	15.942	Environmental Education and Conservation - North Cascades Bioregion (B)
15.669	Cooperative Landscape Conservation (B)	15.943	Challenge Cost Share (B,C)
15.670	Adaptive Science (B)	15.944	Natural Resource Stewardship (B)
15.671	Yukon River Salmon Research and Management Assistance (B)	15.945	Cooperative Research and Training Programs Resources of the National Park System (B)
15.672	Wildlife Without Borders Amphibians in Decline (B)	15.946	Cultural Resources Management (B)
15.673	Wildlife Without Borders Critically Endangered Animal Conservation Fund (B)	15.947	Boston Harbor Islands Partnership (B,C)
15.674	National Fire Plan-Wildland Urban Interface Community Fire Assistance (B,I,J,K,L,M)	15.954	National Park Service Conservation, Protection, Outreach, and Education (B)
15.676	Youth Engagement, Education, and Employment (B)	15.955	Martin Luther King Junior National Historic Site and Preservation District (B)
15.677	Hurricane Sandy Disaster Relief Activities-FWS (B)	15.956	Ebeyes Landing National Historical Reserve and Ebeyes Landing National Historical Reserve Trust Board (C,J)
15.678	Cooperative Ecosystem Studies Units (B)	15.957	Historic Preservation Fund Grants to Provide Disaster Relief to Historic Properties Damaged by Hurricane Sandy (A,B)
15.679	Combating Wildlife Trafficking (B)	15.958	Route 66 Corridor Preservation (B)
15.680	Mexican Wolf Recovery (B)	15.961	Federal Historic Preservation Tax Incentive (K)
15.949	National Fire Plan - Rural Fire Assistance (B,I,J,K,L,M)	15.962	National Wild and Scenic Rivers System (B)
		15.979	Hurricane Sandy (B)
(303) Recreational Resources			
These are programs involving the acquisition, improvement, and operation of recreational lands and facilities including fish and wildlife and parks. It also includes outlays for the preservation of historic areas.			
15.406	National Park Service Centennial Challenge (B)	(304) Pollution Control and Abatement	
15.407	Keweenaw National Historical Park (NHP) and Keweenaw NHP Advisory Commission Partner Enhancement Grants (B)	This includes outlays for controlling and reducing air, water, and land pollution or that are specifically funded as an environmental enhancement program. It excludes water resources programs, water treatment plants, and similar programs that are not funded as part of an environmental enhancement activity.	
15.605	Sport Fish Restoration (A)	11.451	Gulf Coast Ecosystem Restoration Science, Observation, Monitoring, and Technology (B)
15.611	Wildlife Restoration and Basic Hunter Education (A)	66.001	Air Pollution Control Program Support (B)
15.614	Coastal Wetlands Planning, Protection and Restoration (B)	66.032	State Indoor Radon Grants (B)
15.616	Clean Vessel Act (B)	66.033	Ozone Transport Commission (B)
15.622	Sportfishing and Boating Safety Act (B)	66.034	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)
15.625	Wildlife Conservation and Restoration (A)	66.037	Internships, Training and Workshops for the Office of Air and Radiation (B)
15.626	Enhanced Hunter Education and Safety (A)	66.038	Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)
15.628	Multistate Conservation Grant (B)		
15.653	National Outreach and Communication (B)		
15.658	Natural Resource Damage Assessment, Restoration and Implementation (B)		
15.904	Historic Preservation Fund Grants-In-Aid (A)		
15.912	National Historic Landmark (K)		
15.914	National Register of Historic Places (K)		
15.915	Technical Preservation Services (K,L)		

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66.039	National Clean Diesel Emissions Reduction Program (B)	66.509	Science To Achieve Results (STAR) Research Program (B)
66.040	State Clean Diesel Grant Program (A)	66.510	Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)
66.041	Climate Showcase Communities Grant Program (B)	66.511	Office of Research and Development Consolidated Research/Training/Fellowships (B)
66.042	Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)	66.513	Greater Research Opportunities (GRO) Fellowships For Undergraduate Environmental Study (B)
66.110	Healthy Communities Grant Program (B)	66.514	Science To Achieve Results (STAR) Fellowship Program (B)
66.120	Puget Sound Watershed Management Assistance (B)	66.516	P3 Award: National Student Design Competition for Sustainability (B)
66.121	Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (B)	66.517	Regional Applied Research Efforts (RARE) (B)
66.124	Coastal Wetlands Planning Protection and Restoration Act (B)	66.518	State Senior Environmental Employment Program (B)
66.125	Lake Pontchartrain Basin Restoration Program (PRP) (B)	66.604	Environmental Justice Small Grant Program (B)
66.128	Southeastern Multi-Media and Geographic Priority Projects (B)	66.605	Performance Partnership Grants (A,B)
66.129	Southeast New England Coastal Watershed Restoration (B)	66.608	Environmental Information Exchange Network Grant Program and Related Assistance (B)
66.130	Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component (B)	66.609	Protection of Children from Environmental Health Risks (B)
66.202	Congressionally Mandated Projects (B)	66.610	Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)
66.203	Environmental Finance Center Grants (B)	66.611	Environmental Policy and Innovation Grants (B)
66.305	Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)	66.612	Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (B)
66.306	Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)	66.700	Consolidated Pesticide Enforcement Cooperative Agreements (B)
66.309	Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (B)	66.701	Toxic Substances Compliance Monitoring Cooperative Agreements (B)
66.310	Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (B)	66.707	TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (A)
66.313	International Compliance and Enforcement Projects (B)	66.708	Pollution Prevention Grants Program (B)
66.419	Water Pollution Control State, Interstate, and Tribal Program Support (A)	66.716	Research, Development, Monitoring, Public Education, Outreach, Training, Demonstrations, and Studies (B)
66.424	Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)	66.717	Source Reduction Assistance (B)
66.432	State Public Water System Supervision (A)	66.801	Hazardous Waste Management State Program Support (A)
66.433	State Underground Water Source Protection (A)	66.802	Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)
66.436	Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)	66.804	Underground Storage Tank Prevention, Detection and Compliance Program (A)
66.437	Long Island Sound Program (B)	66.805	Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)
66.440	Urban Waters Small Grants (B)	66.806	Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)
66.441	Healthy Watersheds Consortium Grant Program (B)	66.808	Solid Waste Management Assistance Grants (B)
66.454	Water Quality Management Planning (A)	66.809	Superfund State and Indian Tribe Core Program Cooperative Agreements (B)
66.456	National Estuary Program (B)	66.812	Hazardous Waste Management Grant Program for Tribes (B)
66.458	Capitalization Grants for Clean Water State Revolving Funds (A)	66.813	Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)
66.460	Nonpoint Source Implementation Grants (A)	66.814	Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)
66.461	Regional Wetland Program Development Grants (B)	66.815	Environmental Workforce Development and Job Training Cooperative Agreements (B)
66.462	National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)	66.816	Headquarters and Regional Underground Storage Tanks Program (B)
66.466	Chesapeake Bay Program (B)	66.817	State and Tribal Response Program Grants (A)
66.468	Capitalization Grants for Drinking Water State Revolving Funds (A)	66.818	Brownfields Assessment and Cleanup Cooperative Agreements (B)
66.469	Great Lakes Program (B,I,L)	66.926	Indian Environmental General Assistance Program (GAP) (B)
66.472	Beach Monitoring and Notification Program Implementation Grants (A)	66.931	International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (B)
66.473	Direct Implementation Tribal Cooperative Agreements (B)	66.950	National Environmental Education Training Program (B)
66.474	Water Protection Grants to the States (A)	66.951	Environmental Education Grants (B)
66.475	Gulf of Mexico Program (B)		
66.481	Lake Champlain Basin Program (B)		
66.482	Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds (A)		
66.483	Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds (A)		
66.508	Senior Environmental Employment Program (B)		

(306) Other Natural Resources

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This includes miscellaneous natural resources programs not classified in other subfunctions, such as marine, earth, and atmosphere-related research, and the cost of geological surveys and mapping.

- 11.008 NOAA Mission-Related Education Awards (B)
- 11.011 Ocean Exploration (B)
- 11.012 Integrated Ocean Observing System (IOOS) (B)
- 11.017 Ocean Acidification Program (OAP) (B)
- 11.400 Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)
- 11.407 Interjurisdictional Fisheries Act of 1986 (A)
- 11.413 Fishery Products Inspection and Certification (J)
- 11.417 Sea Grant Support (B)
- 11.419 Coastal Zone Management Administration Awards (A,B)
- 11.420 Coastal Zone Management Estuarine Research Reserves (B)
- 11.426 Financial Assistance for National Centers for Coastal Ocean Science (B)
- 11.429 Marine Sanctuary Program (B)
- 11.431 Climate and Atmospheric Research (B)
- 11.432 National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (B)
- 11.433 Marine Fisheries Initiative (B)
- 11.434 Cooperative Fishery Statistics (B)
- 11.435 Southeast Area Monitoring and Assessment Program (B)
- 11.436 Columbia River Fisheries Development Program (B)
- 11.437 Pacific Fisheries Data Program (B)
- 11.438 Pacific Coast Salmon Recovery Pacific Salmon Treaty Program (B)
- 11.439 Marine Mammal Data Program (B)
- 11.440 Environmental Sciences, Applications, Data, and Education (B)
- 11.441 Regional Fishery Management Councils (B)
- 11.452 Unallied Industry Projects (B)
- 11.454 Unallied Management Projects (B)
- 11.455 Cooperative Science and Education Program (B)
- 11.457 Chesapeake Bay Studies (B)
- 11.459 Weather and Air Quality Research (B)
- 11.460 Special Oceanic and Atmospheric Projects (B)
- 11.463 Habitat Conservation (B)
- 11.469 Congressionally Identified Awards and Projects (B)
- 11.472 Unallied Science Program (B)
- 11.473 Office for Coastal Management (B)
- 11.474 Atlantic Coastal Fisheries Cooperative Management Act (B)
- 11.478 Center for Sponsored Coastal Ocean Research Coastal Ocean Program (B)
- 11.482 Coral Reef Conservation Program (B)
- 11.483 NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)
- 11.999 Marine Debris Program (B)
- 15.153 Hurricane Sandy Disaster Relief Coastal Resiliency Grants. (B)
- 15.240 Helium Resource Management (B)
- 15.424 Marine Minerals Activities - Hurricane Sandy (B)
- 15.668 Coastal Impact Assistance (A)
- 15.805 Assistance to State Water Resources Research Institutes (A,B)
- 15.807 Earthquake Hazards Program Assistance (B)
- 15.808 U.S. Geological Survey Research and Data Collection (B)
- 15.809 National Spatial Data Infrastructure Cooperative Agreements (B)
- 15.810 National Cooperative Geologic Mapping (B)
- 15.811 Gap Analysis (B)
- 15.812 Cooperative Research Units (B)
- 15.814 National Geological and Geophysical Data Preservation (B)
- 15.815 National Land Remote Sensing Education Outreach and Research (B)

- 15.817 National Geospatial Program: Building The National Map (B)
- 15.818 Volcano Hazards Program Research and Monitoring (B)
- 15.819 Energy Cooperatives to Support the National Energy Resources Data System (B)
- 15.820 National Climate Change and Wildlife Science Center (B)
- 15.978 Upper Mississippi River System Long Term Resource Monitoring (B)
- 15.980 National Ground-Water Monitoring Network (B)
- 15.981 Water Use and Data Research (B)

(350) AGRICULTURE

This function includes those programs that promote the economic stability of agriculture and the Nation's capability to maintain and increase agricultural production. These objectives are accomplished through farm income stabilization programs, research and educational activities, programs that maintain agricultural markets, regulation of agricultural markets and practices, and maintenance of an adequate stockpile of agricultural commodities. This function does not include programs which, though related to rural development, are not directly related to agriculture, such as rural environmental and conservation programs that are classified in the natural resources function. In addition, it excludes the costs connected with concessionary food export sales or food donations whether overseas or for domestic income support purposes.

(351) Farm Income Stabilization

Loans, subsidies, and other payments for purposes of stabilizing agricultural prices at an equitable level. This includes the cost of acquisition and storage of agricultural commodity stockpiles but does not include the cost of foreign agricultural export losses (which are classified in the international affairs function) or domestic donations of food (which are part of an income support, rather than a farm price support, program).

- 10.051 Commodity Loans and Loan Deficiency Payments (D,E)
- 10.053 Dairy Indemnity Program (D)
- 10.056 Farm Storage Facility Loans (E)
- 10.098 Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (D)
- 10.116 The Margin Protection Program (C)
- 10.118 Cotton Ginning Cost Share Program (D)
- 10.435 State Mediation Grants (B)
- 10.449 Boll Weevil Eradication Loan Program (E)
- 10.450 Crop Insurance (G)
- 10.458 Crop Insurance Education in Targeted States (B)
- 10.460 Risk Management Education Partnerships (B)
- 10.610 Export Guarantee Program (F)
- 10.615 Pima Agriculture Cotton Trust Fund (D)
- 10.616 Agriculture Wool Apparel Manufacturers Trust Fund (D)

(352) Agricultural Research and Services

This includes all other costs of agricultural programs including expenses for programs such as agricultural research and extension services.

- 10.001 Agricultural Research Basic and Applied Research (B)
- 10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)
- 10.028 Wildlife Services (B)
- 10.030 Indemnity Program (D)
- 10.153 Market News (L)
- 10.156 Federal-State Marketing Improvement Program (B)
- 10.162 Inspection Grading and Standardization (J)
- 10.163 Market Protection and Promotion (J,K,M)
- 10.164 Wholesale Farmers and Alternative Market Development (K,M)
- 10.165 Perishable Agricultural Commodities Act (N)
- 10.167 Transportation Services (K,M)
- 10.168 Farmers Market Promotion Program (B)
- 10.170 Specialty Crop Block Grant Program - Farm Bill (B)
- 10.171 Organic Certification Cost Share Programs (C)

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10.172	Local Food Promotion Program (B)	10.443	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)
10.173	Sheep Production and Marketing Grant Program (B)	10.500	Cooperative Extension Service (A,B)
10.174	Acer Access Development Program (B)	10.511	Smith-Lever Funding (Various Programs) (A,B)
10.200	Grants for Agricultural Research, Special Research Grants (B)	10.512	Agriculture Extension at 1890 Land-grant Institutions (A)
10.202	Cooperative Forestry Research (A)	10.513	1890 Facilities Grants Program (B)
10.203	Payments to Agricultural Experiment Stations Under the Hatch Act (A)	10.514	Expanded Food and Nutrition Education Program (A,B)
10.205	Payments to 1890 Land-Grant Colleges and Tuskegee University (A)	10.515	Renewable Resources Extension Act and National Focus Fund Projects (A,B)
10.206	Grants for Agricultural Research_Compertitive Research Grants (B)	10.516	Rural Health and Safety Education Competitive Grants Program (B)
10.207	Animal Health and Disease Research (A)	10.517	Tribal Colleges Extension Programs (B)
10.210	Higher Education Graduate Fellowships Grant Program (B)	10.518	Food Animal Residue Avoidance Databank (B)
10.212	Small Business Innovation Research (B)	10.520	Agriculture Risk Management Education Partnerships Competitive Grants Program (B)
10.215	Sustainable Agriculture Research and Education (B)	10.521	Children, Youth and Families At-Risk (B)
10.216	1890 Institution Capacity Building Grants (B)	10.613	Faculty Exchange Program (B,C)
10.217	Higher Education - Institution Challenge Grants Program (B)	10.614	Scientific Cooperation Exchange Program with China (B,C)
10.219	Biotechnology Risk Assessment Research (B)	10.700	National Agricultural Library (L)
10.220	Higher Education - Multicultural Scholars Grant Program (B)	10.777	Norman E. Borlaug International Agricultural Science and Technology Fellowship (B,C)
10.221	Tribal Colleges Education Equity Grants (B)	10.950	Agricultural Statistics Reports (L)
10.222	Tribal Colleges Endowment Program (A)	10.960	Technical Agricultural Assistance (B,C)
10.223	Hispanic Serving Institutions Education Grants (B)	10.961	Scientific Cooperation and Research (B,C)
10.226	Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (B)	10.962	Cochran Fellowship Program-International Training-Foreign Participant (B,C)
10.227	1994 Institutions Research Program (B)	90.203	Delta Creative Place-Making Pilot Initiative (B)
10.228	Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (B)	90.204	States' Economic Development Assistance Program (B)
10.250	Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (B,L)		(370) COMMERCE AND HOUSING CREDIT
10.253	Consumer Data and Nutrition Research (B,L)		This function includes the promotion and regulation of commerce and the housing and thrift insurance industries. Commerce includes the collection and dissemination of social and economic data unless they are an integral part of another function (such as health). This function includes general purpose loans and subsidies to business and to the housing industry. In general, credit and insurance programs are included in this function; however, if such programs are a means of achieving the basic objectives of another function and are an integral part of the programs of that function they are classified in the other function.
10.255	Research Innovation and Development Grants in Economic (RIDGE) (L)		19.121 Conflict and Stabilization Operations (B)
10.290	Agricultural Market and Economic Research (B)		(371) Mortgage Credit
10.291	Agricultural and Food Policy Research Centers (B)		Includes homeownership and related loan and insurance programs.
10.303	Integrated Programs (B)	10.405	Farm Labor Housing Loans and Grants (B,F)
10.304	Homeland Security Agricultural (B)	10.410	Very Low to Moderate Income Housing Loans (E,F)
10.306	Biodiesel (B)	10.415	Rural Rental Housing Loans (B,E)
10.307	Organic Agriculture Research and Extension Initiative (B)	10.417	Very Low-Income Housing Repair Loans and Grants (B,E)
10.308	Resident Instruction Grants for Insular Area Activities (B)	10.446	Rural Community Development Initiative (B)
10.309	Specialty Crop Research Initiative (B)	10.447	The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (B)
10.310	Agriculture and Food Research Initiative (AFRI) (B)	14.865	Public and Indian Housing Indian Loan Guarantee Program (F)
10.311	Beginning Farmer and Rancher Development Program (B)	14.874	Loan Guarantees for Native Hawaiian Housing (F)
10.313	Veterinary Medicine Loan Repayment Program (C)	19.222	Trans-Sahara Counterterrorism Partnership (TSCTP) (B)
10.318	Women and Minorities in Science, Technology, Engineering, and Mathematics Fields (B)	19.345	International Programs to Support Democracy, Human Rights and Labor (B)
10.319	Farm Business Management and Benchmarking Competitive Grants Program (B)	19.700	General Department of State Assistance (B)
10.320	Sun Grant Program (B)		(372) Postal Service
10.322	Distance Education Grants for Institutions of Higher Education in Insular Areas (B)		Any net outlays of the postal service included in the budget (or off-budget).
10.326	Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)		(373) Deposit Insurance
10.328	National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Competitive Grants Program (B)		Includes insurance programs protecting depository thrift institutions.
10.329	Crop Protection and Pest Management Competitive Grants Program (B)		(376) Other Advancement of Commerce
10.330	Alfalfa and Forage Research Program (B)		
10.331	Food Insecurity Nutrition Incentive Grants Program (B)		
10.334	Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program (B)		
10.336	Veterinary Services Grant Program (B)		

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This includes loan programs designed to aid specialized forms of business (such as small business) that are not included elsewhere in the functional structure. It also includes the costs of collection and dissemination of economic and demographic statistics (such as census data) and of regulation of business.

- 11.013 Education Quality Award Ambassadorship (B)
- 11.014 Band 14 Incumbent Spectrum Relocation (B)
- 11.019 State Alternative Plan Program (B)
- 11.150 Export Licensing Service and Information (K)
- 11.408 Fishermen's Contingency Fund (D)
- 11.415 Fisheries Finance Program (E)
- 11.427 Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)
- 11.549 State and Local Implementation Grant Program (B)
- 11.553 Special Projects (B)
- 11.601 Calibration Program (J)
- 11.603 National Standard Reference Data System (H)
- 11.604 Standard Reference Materials (H)
- 11.606 Weights and Measures Service (J,K,L,M)
- 11.609 Measurement and Engineering Research and Standards (B)
- 11.610 Standards Information Center (L)
- 11.611 Manufacturing Extension Partnership (B,L)
- 11.612 Advanced Technology Program (B)
- 11.616 Technology Innovation Program (TIIP) (B)
- 11.620 Science, Technology, Business and/or Education Outreach (B)
- 11.802 Minority Business Resource Development (B)
- 11.804 MBDA Business Center - American Indian and Alaska Native (B)
- 11.805 MBDA Business Center (B)
- 59.006 8(a) Business Development Program (J)
- 59.007 7(j) Technical Assistance (B)
- 59.011 Small Business Investment Companies (F)
- 59.012 7(a) Loan Guarantees (F)
- 59.016 Surety Bond Guarantees (G)
- 59.026 SCORE (B)
- 59.037 Small Business Development Centers (B,J,K,L)
- 59.041 504 Certified Development Loans (F)
- 59.043 Women's Business Ownership Assistance (B)
- 59.044 Veterans Outreach Program (B)
- 59.046 Microloan Program (A,E)
- 59.050 Prime Technical Assistance (B)
- 59.052 Native American Outreach (K)
- 59.053 Ombudsman and Regulatory Fairness Boards (N)
- 59.054 7(a)Export Loan Guarantees (F)
- 59.055 HUBZone Program (J)
- 59.058 Federal and State Technology Partnership Program (B)
- 59.061 State Trade Expansion (B)
- 59.062 Intermediary Loan Program (E)
- 59.064 Entrepreneurial Development Disaster Assistance (Disaster Relief Appropriations Act) (B,J,K,L)
- 59.066 Transition Assistance Entrepreneurship Track (Boots to Business) (B)
- 59.067 Regional Innovation Clusters (C)

(400) TRANSPORTATION

This function includes all programs with the primary aim of providing for the transportation of the general public and/or their property, regardless of whether local or national and regardless of the particular mode of transportation. It includes the construction of facilities; purchase of equipment; research, testing, and evaluation; provision of communications directly related to transportation (for example, air traffic control by the FAA); and operating subsidies for transportation facilities (such as mass transit) and industries (such as railroads).

Transportation includes regulatory activities directed toward transportation. Excluded from this function are the costs of moving personnel or equipment as part of the operation of other government services; the provision of foreign economic assistance which may take the course of assisting transportation facilities or programs abroad; the construction of roads, trails, etc., as an integral part of the operation of public lands, parks, forests, or military reservations, unless they are specifically funded as a part of a broader transportation program; the construction of roads or other transportation facilities as an integral part of a broad community facility or regional development program where the clear intent of the program is regional development and the provisions of transportation facilities is only an incidental by-product or means to attain the objective of regional development. Also excluded from transportation are research and technology activities devoted to space research, except for aeronautical technology, even though this research may eventually redound to the advantage of general transportation.

(401) Ground Transportation

Outlays to aid and/or regulate the various modes of ground transportation, such as roads and highways, railroads, and urban mass transit.

- 10.705 Cooperative Forest Road Agreements (B)
- 20.200 Highway Research and Development Program (B)
- 20.205 Highway Planning and Construction (A,B)
- 20.215 Highway Training and Education (B,M)
- 20.218 Motor Carrier Safety Assistance (A)
- 20.219 Recreational Trails Program (A)
- 20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E,F)
- 20.224 Federal Lands Access Program (A,B)
- 20.231 Performance and Registration Information Systems Management (B)
- 20.232 Commercial Driver's License Program Implementation Grant (B)
- 20.233 Border Enforcement Grants (B)
- 20.234 Safety Data Improvement Program (B)
- 20.235 Commercial Motor Vehicle Operator Safety Training Grants (B)
- 20.237 Motor Carrier Safety Assistance High Priority Activities Grants and Cooperative Agreements (B)
- 20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort (B)
- 20.301 Railroad Safety (B)
- 20.313 Railroad Research and Development (B)
- 20.314 Railroad Development (B)
- 20.315 National Railroad Passenger Corporation Grants (B)
- 20.316 Railroad Rehabilitation and Improvement Financing Program (E,F)
- 20.317 Capital Assistance to States - Intercity Passenger Rail Service (B)
- 20.318 Maglev Project Selection Program - SAFETEA-LU (B)
- 20.319 High-Speed Rail Corridors and Intercity Passenger Rail Service Capital Assistance Grants (B)
- 20.320 Rail Line Relocation and Improvement (B)
- 20.321 Railroad Safety Technology Grants (B)
- 20.323 Fiscal Year 2013 Hurricane Sandy Disaster Relief Grants to the National Railroad Passenger Corporation (B)
- 20.324 Restoration and Enhancement (B)
- 20.325 Consolidated Rail Infrastructure and Safety Improvements (B)
- 20.326 Federal-State Partnership for State of Good Repair (B)
- 20.500 Federal Transit Capital Investment Grants (A,B)
- 20.505 Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research (A)
- 20.507 Federal Transit Formula Grants (A)
- 20.509 Formula Grants for Rural Areas (A)
- 20.513 Enhanced Mobility of Seniors and Individuals with Disabilities (A,B)
- 20.514 Public Transportation Research, Technical Assistance, and Training (B,C,L,M)
- 20.516 Job Access and Reverse Commute Program (B)

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20.518 Capital and Training Assistance Program for Over-the-Road Bus Accessibility (B)
20.519 Clean Fuels (B)
20.521 New Freedom Program (A)
20.522 Alternatives Analysis (B)
20.523 Capital Assistance Program for Reducing Energy Consumption and Greenhouse Gas Emissions (B)
20.524 Passenger Rail Investment and Improvement (PRIIA) Projects for Washington Metropolitan Area Transit Authority (WMATA) (B)
20.525 State of Good Repair Grants Program (A)
20.526 Bus and Bus Facilities Formula Program (A,B)
20.527 Public Transportation Emergency Relief Program (B)
20.528 Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program (A)
20.529 Bus Testing Facility (B)
20.530 Public Transportation Innovation (B,C,L,M)
20.531 Technical Assistance and Workforce Development (B,C,L,M)
20.600 State and Community Highway Safety (A)
20.601 Alcohol Impaired Driving Countermeasures Incentive Grants I (B)
20.602 Occupant Protection Incentive Grants (B)
20.607 Alcohol Open Container Requirements (B)
20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated (B)
20.609 Safety Belt Performance Grants (B)
20.610 State Traffic Safety Information System Improvement Grants (B)
20.611 Incentive Grant Program to Prohibit Racial Profiling (A)
20.612 Incentive Grant Program to Increase Motorcyclist Safety (A)
20.613 Child Safety and Child Booster Seats Incentive Grants (B)
20.614 National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants and Cooperative Agreements (B)
20.616 National Priority Safety Programs (A)
20.701 University Transportation Centers Program (B)
20.761 Biobased Transportation Research (B)
20.762 Research Grants (B)
20.934 Nationally Significant Freight and Highway Projects (B)

(402) Air Transportation

Outlays to aid and/or regulate air transportation, including aeronautical research conducted by the National Aeronautics and Space Administration.

20.106 Airport Improvement Program (B,K)
20.108 Aviation Research Grants (B,I)
20.109 Air Transportation Centers of Excellence (B,I,J)
20.901 Payments for Essential Air Services (B,C)
20.930 Payments for Small Community Air Service Development (B)
43.002 Aeronautics (B,L)
43.004 Aeronautics, Recovery Act (B)
97.047 Pre-Disaster Mitigation (B)
97.056 Port Security Grant Program (B)
97.057 Intercity Bus Security Grants (B)
97.116 Port Security Grant Program (ARRA) (B)

(403) Water Transportation

Outlays to aid and/or regulate maritime commerce. (To the extent that the data can readily be segregated, this should extend to include inland and deep draft navigation facilities of water resources projects.)

20.802 Federal Ship Financing Guarantees (F)
20.803 Maritime War Risk Insurance (G)
20.806 State Maritime Schools (B,C,I,M)
20.807 U.S. Merchant Marine Academy (M)
20.808 Capital Construction Fund (C)

20.812 Construction Reserve Fund (C)
20.816 Americas Marine Highway Grants (B)
20.817 Air Emissions and Energy Initiative (B)
20.820 Maritime Studies and Innovations (B)
20.821 Women on the Water (WOW) (B)
20.822 Port of Guam Improvement Enterprise Program (B)
97.012 Boating Safety Financial Assistance (A,B)

(407) Other Transportation

Outlays for general transportation programs and overhead not readily allocable into any of the preceding subfunctions.

20.520 Paul S. Sarbanes Transit in the Parks (B)
20.700 Pipeline Safety Program State Base Grant (A)
20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)
20.710 Technical Assistance Grants (B)
20.720 State Damage Prevention Program Grants (B)
20.721 PHMSA Pipeline Safety Program One Call Grant (B)
20.723 PHMSA Pipeline Safety Research and Development Other Transaction Agreements (B)
20.724 Pipeline Safety Research Competitive Academic Agreement Program (CAAP) (B)
20.725 PHMSA Pipeline Safety Underground Natural Gas Storage Grant (A)
20.905 Disadvantaged Business Enterprises Short Term Lending Program (F)
20.910 Assistance to Small and Disadvantaged Businesses (B)
20.931 Transportation Planning, Research and Education (B)
20.932 Surface Transportation Discretionary Grants for Capital Investment (B)
20.933 National Infrastructure Investments (B)

(450) COMMUNITY AND REGIONAL DEVELOPMENT

This function consists of broad-based community, regional, urban and rural renewal and development programs. It includes grants and loans for water supply and sewer systems (except those specifically funded as environmental protection programs), urban community and economic development programs, regional economic development programs, broad-based public facility construction programs, and relief and rehabilitation of private and public facilities in the event of natural disasters. Aids to businesses such as loans, loan guarantees, and subsidies are not normally included in this function unless such aids are a means of economic development to aid restricted (generally depressed) areas and are not designed to promote particular lines of business for their own sake. Transportation facilities developed as an integral part of a community development (rather than transportation) program are also included in this function. As a normal rule, human development and services programs are excluded from this function (and included in the education, training, employment, and social services function). This function is confined to development of physical facilities or financial infrastructures designed to promote viable community economies.

(451) Community Development

This subfunction includes grants and related programs designed to aid community (largely urban) development. It includes community development block grants and predecessor activities such as the urban renewal and model cities programs. Community development programs are generally carried out by the Department of Housing and Urban Development.

10.868 Rural Energy for America Program (F)
14.008 Transformation Initiative: Choice Neighborhoods Demonstration Small Research Grant Program (B)
14.218 Community Development Block Grants/Entitlement Grants (A)
14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas (B)
14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A)

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14.248	Community Development Block Grants Section 108 Loan Guarantees (F)	10.857	State Bulk Fuel Revolving Fund Grants (B)
14.259	Community Compass Technical Assistance and Capacity Building (B)	10.858	Denali Commission Grants and Loans (B)
14.269	Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG-DR) (A)	10.859	Assistance to High Energy Cost Rural Communities (B)
14.272	National Disaster Resilience Competition (B)	10.862	Household Water Well System Grant Program (B)
14.506	General Research and Technology Activity (J)	10.863	Community Connect Grant Program (B)
14.516	Doctoral Dissertation Research Grants (B)	10.864	Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)
14.523	Transformation Initiative Research Grants: Sustainable Community Research Grant Program (B)	10.865	Biorefinery Assistance (F)
14.524	Transformation Initiative Research Grants: Natural Experiments (B)	10.866	Repowering Assistance (C)
14.525	Transformation Initiative Research Grants: Demonstration and Related Small Grants (B)	10.867	Bioenergy Program for Advanced Biofuels (D)
14.529	Fellowship Placement Pilot Program (M)	10.870	Rural Microentrepreneur Assistance Program (B,E)
14.534	Strong Cities Strong Communities (SC2) National Resource Network (B)	10.871	Socially-Disadvantaged Groups Grant (B)
14.535	Transformation Initiative: Rental Assistance Demonstration Small Research Grant Program (B)	10.874	Delta Health Care Services Grant Program (B)
14.536	Research and Evaluations, Demonstrations, and Data Analysis and Utilization (B)	10.886	Rural Broadband Access Loans and Loan Guarantees (E,F)
14.862	Indian Community Development Block Grant Program (B)	11.300	Investments for Public Works and Economic Development Facilities (B)
14.900	Lead-Based Paint Hazard Control in Privately-Owned Housing (B)	11.302	Economic Development Support for Planning Organizations (B)
14.902	Lead Technical Studies Grants (B)	11.303	Economic Development Technical Assistance (B)
14.905	Lead Hazard Reduction Demonstration Grant Program (B)	11.307	Economic Adjustment Assistance (B)
14.906	Healthy Homes Technical Studies Grants (B)	11.312	Research and Evaluation Program (B)
21.011	Capital Magnet Fund (B)	15.020	Aid To Tribal Governments (C)
21.012	Native Initiatives (B)	15.021	Consolidated Tribal Government (C)
21.014	Community Development Financial Institutions Bond Guarantee Program (F)	15.022	Tribal Self-Governance (C)
21.020	Community Development Financial Institutions Program (B)	15.024	Indian Self-Determination Contract Support (C)
21.021	Bank Enterprise Award Program (B)	15.025	Services to Indian Children, Elderly and Families (C)
44.002	Community Development Revolving Loan Fund Program for Credit Unions (C,E)	15.029	Tribal Courts (C)
(452) Area and Regional Development		15.030	Indian Law Enforcement (C)
Grants, loans, subsidies, and related aids to economic development or depressed areas that are generally either primarily for rural areas or else are more regional in nature or purpose than the community development programs. Area and regional development programs are generally carried out by agencies other than the Department of Housing and Urban Development, such as the Departments of Agriculture, Commerce, and Interior.		15.032	Indian Economic Development (C)
10.350	Technical Assistance to Cooperatives (J,K,L,M)	15.033	Road Maintenance Indian Roads (C)
10.351	Rural Business Development Grant (B)	15.036	Indian Rights Protection (C)
10.352	Value-Added Producer Grants (B)	15.040	Real Estate Programs Indian Lands (C)
10.759	Part 1774 Special Evaluation Assistance for Rural Communities and Households Program (SEARCH) (B)	15.041	Environmental Management Indian (C)
10.760	Water and Waste Disposal Systems for Rural Communities (B,E,F)	15.052	Litigation Support for Indian Rights (C)
10.761	Technical Assistance and Training Grants (B)	15.053	Attorney Fees Indian Rights (C)
10.762	Solid Waste Management Grants (B)	15.057	Navajo-Hopi Indian Settlement (C,J)
10.763	Emergency Community Water Assistance Grants (B)	15.060	Indian Vocational Training United Tribes Technical College (D,M)
10.766	Community Facilities Loans and Grants (B,E,F)	15.061	Indian Job Placement United Sioux Tribes Development Corporation (D,K)
10.767	Intermediary Relending Program (E)	15.062	Replacement and Repair of Indian Schools (C)
10.768	Business and Industry Loans (F)	15.063	Improvement and Repair of Indian Detention Facilities (C)
10.769	Rural Business Enterprise Grants (B)	15.108	Indian Employment Assistance (C)
10.770	Water and Waste Disposal Loans and Grants (Section 306C) (B,E)	15.113	Indian Social Services Welfare Assistance (C)
10.771	Rural Cooperative Development Grants (B)	15.124	Indian Loans Economic Development (F)
10.773	Rural Business Opportunity Grants (B)	15.133	Native American Business Development Institute (A)
10.782	Appropriate Technology Transfer for Rural Areas (B)	15.141	Indian Housing Assistance (B,L)
10.854	Rural Economic Development Loans and Grants (B,E)	15.144	Indian Child Welfare Act Title II Grants (B)
10.855	Distance Learning and Telemedicine Loans and Grants (B,E)	15.146	Ironworker Training (B)
		15.147	Tribal Courts Trust Reform Initiative (B)
		15.152	Land Buy-Back For Tribal Nations (B)
		15.160	BIA Wildland Urban Interface Community Fire Assistance (B,J,L,M)
		23.001	Appalachian Regional Development (See individual Appalachian Programs) (B)
		23.002	Appalachian Area Development (B)
		23.003	Appalachian Development Highway System (B)
		23.009	Appalachian Local Development District Assistance (B)
		23.011	Appalachian Research, Technical Assistance, and Demonstration Projects (B)
		87.051	Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component Program (B)

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- 87.052 Gulf Coast Ecosystem Restoration Council Oil Spill Impact Program (B)
- 90.100 Denali Commission Program (B)
- 90.199 Shared Services (B)

(453) Disaster Relief and Insurance

This subfunction includes those Federal programs uniquely devoted to assist communities to recover from natural disasters and assist families on an emergency basis when they are adversely affected by such disasters.

- 10.054 Emergency Conservation Program (C)
- 10.102 Emergency Forest Restoration Program (D)
- 59.008 Disaster Assistance Loans (E)
- 97.022 Flood Insurance (G)
- 97.023 Community Assistance Program State Support Services Element (CAP-SSSE) (B)
- 97.029 Flood Mitigation Assistance (B)
- 97.030 Community Disaster Loans (E)
- 97.032 Crisis Counseling (B)
- 97.033 Disaster Legal Services (C)
- 97.034 Disaster Unemployment Assistance (B)
- 97.036 Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)
- 97.041 National Dam Safety Program (B)
- 97.045 Cooperating Technical Partners (B)
- 97.046 Fire Management Assistance Grant (B,J)
- 97.048 Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B,C)
- 97.050 Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)
- 97.070 Map Modernization Management Support (B)
- 97.082 Earthquake Consortium (B)
- 97.092 Repetitive Flood Claims (B)
- 97.110 Severe Repetitive Loss Program (B)
- 97.122 Bio-Preparedness Collaboratory (B)
- 97.132 Financial Assistance for Countering Violent Extremism (B)
- 97.133 Preparing for Emerging Threats and Hazards (B)

(500) EDUCATION, TRAINING, EMPLOYMENT, AND SOCIAL SERVICES

This function includes those outlays designed to promote the general extension of knowledge and skills, to provide public services to needy individuals, and/or to assist individuals to become self-supporting members of society. It includes the provision of education or training child care services, employment counselling, vocational rehabilitation, and other social services provided to individuals and families. It also includes cash payments (scholarships, loans, or stipends) to persons when such payments are provided to enable a person to participate in education or training programs; it includes programs designed to provide services to the poor (such as community services) that are not an integral part of some other function, and also includes the costs of research directly related to the programs in this function. This function excludes education or training undertaken as an integral part of the achievement of another functional end (such as training of military personnel; veterans education, training, and rehabilitation; or training of health workers in a health program) and also excludes general purpose scientific research. Nutrition or food service programs that are funded separately from social services or education shall be included as income security rather than being included in this function.

- 19.800 Weapons Removal and Abatement (B)
- 84.323 Special Education - State Personnel Development (B)
- 84.329 Special Education Studies and Evaluations (B)

(501) Elementary, Secondary, and Vocational Education

This includes pre-school, elementary, secondary and vocational education

- programs.
- 15.026 Indian Adult Education (C)
- 15.027 Assistance to Tribally Controlled Community Colleges and Universities (B)
- 15.028 Tribally Controlled Community College Endowments (B)
- 15.042 Indian School Equalization (C)
- 15.043 Indian Child and Family Education (B,M)
- 15.044 Indian Schools Student Transportation (C)
- 15.046 Administrative Cost Grants for Indian Schools (B)
- 15.047 Indian Education Facilities, Operations, and Maintenance (C)
- 15.048 Bureau of Indian Affairs Facilities Operations and Maintenance (C)
- 15.058 Indian Post Secondary Schools (M)
- 15.059 Indian Graduate Student Scholarships (B)
- 15.114 Indian Education Higher Education Grant (B)
- 15.130 Indian Education Assistance to Schools (C)
- 15.149 FOCUS on Student Achievement (B)
- 15.150 Juvenile Detention Education (B)
- 15.151 Education Enhancements (B)
- 84.002 Adult Education - Basic Grants to States (A)
- 84.004 Civil Rights Training and Advisory Services (also known as Equity Assistance Centers) (B)
- 84.010 Title I Grants to Local Educational Agencies (A)
- 84.011 Migrant Education State Grant Program (A)
- 84.013 Title I State Agency Program for Neglected and Delinquent Children and Youth (A)
- 84.027 Special Education Grants to States (A)
- 84.040 Impact Aid Facilities Maintenance (B)
- 84.041 Impact Aid (A,B)
- 84.048 Career and Technical Education -- Basic Grants to States (A)
- 84.051 Career and Technical Education -- National Programs (B)
- 84.060 Indian Education Grants to Local Educational Agencies (A)
- 84.101 Career and Technical Education - Grants to Native Americans and Alaska Natives (B)
- 84.141 Migrant Education High School Equivalency Program (B)
- 84.144 Migrant Education Coordination Program (B)
- 84.149 Migrant Education College Assistance Migrant Program (B)
- 84.165 Magnet Schools Assistance (B)
- 84.173 Special Education Preschool Grants (A)
- 84.181 Special Education-Grants for Infants and Families (A)
- 84.184 School Safety National Activities (formerly, Safe and Drug-Free Schools and Communities-National Programs) (B)
- 84.191 Adult Education National Leadership Activities (B,C)
- 84.196 Education for Homeless Children and Youth (A)
- 84.206 Javits Gifted and Talented Students Education (B)
- 84.215 Fund for the Improvement of Education (B)
- 84.245 Tribally Controlled Postsecondary Career and Technical Institutions (B)
- 84.256 Territories and Freely Associated States Education Grant Program (B)
- 84.259 Native Hawaiian Career and Technical Education (B)
- 84.282 Charter Schools (B)
- 84.283 Comprehensive Centers (B)
- 84.287 Twenty-First Century Community Learning Centers (A)
- 84.295 Ready-To-Learn Television (B)
- 84.299 Indian Education -- Special Programs for Indian Children (B)
- 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)
- 84.326 Special Education Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)
- 84.327 Special Education Educational Technology Media, and Materials for

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Individuals with Disabilities (B)	84.220 Centers for International Business Education (B)
84.328 Special Education Parent Information Centers (B)	84.229 Language Resource Centers (B)
84.330 Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (B)	84.268 Federal Direct Student Loans (E)
84.336 Teacher Quality Partnership Grants (B)	84.274 American Overseas Research Centers (B)
84.351 Arts in Education (B)	84.334 Gaining Early Awareness and Readiness for Undergraduate Programs (B)
84.354 Credit Enhancement for Charter School Facilities (B)	84.335 Child Care Access Means Parents in School (B)
84.356 Alaska Native Educational Programs (B)	84.336 Teacher Quality Partnership Grants (B)
84.358 Rural Education (A)	84.379 Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (C)
84.362 Native Hawaiian Education (B)	84.382 Strengthening Minority-Serving Institutions (B)
84.363 School Leader Recruitment and Support (formerly School Leadership) (B)	84.407 Transition Programs for Students with Intellectual Disabilities into Higher Education (B)
84.365 English Language Acquisition State Grants (A)	84.408 Postsecondary Education Scholarships for Veteran's Dependents (C)
84.366 Mathematics and Science Partnerships (A)	84.417 Directed Grants and Awards (C)
84.367 Supporting Effective Instruction State Grants (formerly Improving Teacher Quality State Grants) (A)	85.200 Barry M. Goldwater Scholarship Program (C)
84.368 Competitive Grants for State Assessments (formerly Grants for Enhanced Assessment Instruments) (B)	
84.369 Grants for State Assessments and Related Activities (A)	(503) Research and General Education Aids
84.370 DC School Choice Incentive Program (B)	This includes assistance for the arts, the humanities, educational radio and television, public libraries, and museums.
84.371 Striving Readers/Comprehensive Literacy Development (B)	11.550 Public Telecommunications Facilities Planning and Construction (B)
84.373 Special Education Technical Assistance on State Data Collection (B)	12.440 Dissertation Year Fellowship (B)
84.374 Teacher and School Leader Incentive Grants (formerly the Teacher Incentive Fund) (B)	45.024 Promotion of the Arts Grants to Organizations and Individuals (B)
84.377 School Improvement Grants (A)	45.025 Promotion of the Arts Partnership Agreements (B,K)
84.380 Special Education -- Olympic Education Programs (B)	45.129 Promotion of the Humanities Federal/State Partnership (A)
84.403 Consolidated Grant to the Outlying Areas (A)	45.130 Promotion of the Humanities Challenge Grants (B)
84.411 Education Innovation and Research (formerly Investing in Innovation (i3) Fund) (B)	45.149 Promotion of the Humanities Division of Preservation and Access (B)
84.415 State Tribal Education Partnership (STEP) (B)	45.160 Promotion of the Humanities Fellowships and Stipends (B)
84.419 Preschool Development Grants (B)	45.161 Promotion of the Humanities Research (B)
84.420 Performance Partnership Pilots for Disconnected Youth (B)	45.162 Promotion of the Humanities Teaching and Learning Resources and Curriculum Development (B)
84.422 American History and Civics Education (B)	45.164 Promotion of the Humanities Public Programs (B)
84.423 Supporting Effective Educator Development Program (B)	45.169 Promotion of the Humanities Office of Digital Humanities (B)
84.424 Student Support and Academic Enrichment Program (A)	45.201 Arts and Artifacts Indemnity (G)
	84.145 Federal Real Property Assistance Program (H)
(502) Higher Education	84.305 Education Research, Development and Dissemination (B)
This includes postsecondary, college level and graduate school education programs.	84.324 Research in Special Education (B)
84.007 Federal Supplemental Educational Opportunity Grants (C)	84.372 Statewide Longitudinal Data Systems (B)
84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)	84.414 Graduate Research Opportunities for Minority Students (Minorities and Retirement Security Program) (B)
84.016 Undergraduate International Studies and Foreign Language Programs (B)	
84.017 International Research and Studies (B)	(504) Training and Employment
84.018 Overseas Programs Special Bilateral Projects (B)	This includes programs of job or skill training, employment services and placement, and payments to employers to subsidize employment of marginal, unemployed, or low income workers.
84.021 Overseas Programs - Group Projects Abroad (B)	17.201 Registered Apprenticeship (B,K,M)
84.022 Overseas Programs - Doctoral Dissertation Research Abroad (B)	17.235 Senior Community Service Employment Program (A,B)
84.031 Higher Education Institutional Aid (B)	17.258 WIOA Adult Program (A)
84.033 Federal Work-Study Program (C)	17.259 WIOA Youth Activities (A)
84.042 TRIO Student Support Services (B)	17.261 WIOA Pilots, Demonstrations, and Research Projects (B)
84.044 TRIO Talent Search (B)	17.264 National Farmworker Jobs Program (A)
84.047 TRIO Upward Bound (B)	17.265 Native American Employment and Training (A)
84.063 Federal Pell Grant Program (C)	17.267 Incentive Grants - WIA Section 503 (B)
84.066 TRIO Educational Opportunity Centers (B)	17.268 H-1B Job Training Grants (B)
84.103 TRIO Staff Training Program (B)	17.270 Reentry Employment Opportunities (B)
84.120 Minority Science and Engineering Improvement (B)	17.274 YouthBuild (B)
84.200 Graduate Assistance in Areas of National Need (B)	17.276 Health Care Tax Credit (HCTC) National Emergency Grants (NEGs) (B)
84.217 TRIO McNair Post-Baccalaureate Achievement (B)	17.277 WIOA National Dislocated Worker Grants / WIA National Emergency Grants (B)

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- 17.278 WIOA Dislocated Worker Formula Grants (A)
- 17.280 WIOA Dislocated Worker National Reserve Demonstration Grants (B)
- 17.281 WIOA Dislocated Worker National Reserve Technical Assistance and Training (B)
- 17.282 Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants (B)
- 17.283 Workforce Innovation Fund (B)
- 17.285 Apprenticeship USA Grants (B)

(505) Other Labor Services

This includes aids to or regulation of the labor market (including gathering labor statistics and mediation and conciliation services) other than employment and training programs, and other than occupational safety and health programs.

- 17.002 Labor Force Statistics (B,L)
- 17.003 Prices and Cost of Living Data (L)
- 17.004 Productivity and Technology Data (L)
- 17.005 Compensation and Working Conditions (B,L)
- 17.309 Labor Organization Reports (K,L,N)
- 17.401 International Labor Programs (B)
- 17.700 Women's Bureau (K)
- 17.720 Disability Employment Policy Development (B)
- 17.791 Department of Labor Chief Evaluation Office (B)

(506) Social Services

This is composed of two forms of activities: (a) programs involving the provision of a broad range of services to individuals to assist them to improve their vocational capabilities (vocational rehabilitation) or family status, and (b) provision of services to the poor and elderly that are not primarily for income support and that are not an integral part of some other function (for example, community action programs).

- 84.126 Rehabilitation Services Vocational Rehabilitation Grants to States (A)
- 84.129 Rehabilitation Long-Term Training (B)
- 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)
- 84.161 Rehabilitation Services Client Assistance Program (A)
- 84.177 Rehabilitation Services Independent Living Services for Older Individuals Who are Blind (B)
- 84.187 Supported Employment Services for Individuals with the Most Significant Disabilities (A)
- 84.235 Rehabilitation Services Demonstration and Training Programs (B)
- 84.240 Program of Protection and Advocacy of Individual Rights (A)
- 84.246 Rehabilitation Short-Term Training (B)
- 84.250 Rehabilitation Services American Indians with Disabilities (B)
- 84.263 Rehabilitation Training Experimental and Innovative Training (B)
- 84.264 Rehabilitation Training Continuing Education (B)
- 84.315 Capacity Building for Traditionally Underserved Populations (B)
- 84.418 Promoting Readiness of Minors in Supplemental Security Income (B)
- 84.421 Disability Innovation Fund (DIF) (B)
- 93.041 Special Programs for the Aging, Title VII, Chapter 3, Programs for Prevention of Elder Abuse, Neglect, and Exploitation (A)
- 93.042 Special Programs for the Aging, Title VII, Chapter 2, Long Term Care Ombudsman Services for Older Individuals (A)
- 93.043 Special Programs for the Aging, Title III, Part D, Disease Prevention and Health Promotion Services (A)
- 93.044 Special Programs for the Aging, Title III, Part B, Grants for Supportive Services and Senior Centers (A)
- 93.045 Special Programs for the Aging, Title III, Part C, Nutrition Services (A)
- 93.047 Special Programs for the Aging, Title VI, Part A, Grants to Indian Tribes, Part B, Grants to Native Hawaiians (A)
- 93.048 Special Programs for the Aging, Title IV, and Title II, Discretionary

- Projects (B)
- 93.051 Alzheimer's Disease Demonstration Grants to States (B)
- 93.052 National Family Caregiver Support, Title III, Part E (A)
- 93.053 Nutrition Services Incentive Program (A)
- 93.054 National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (B)
- 93.060 Sexual Risk Avoidance Education (B)
- 93.071 Medicare Enrollment Assistance Program (A,B)
- 93.072 Lifespan Respite Care Program (B)
- 93.086 Healthy Marriage Promotion and Responsible Fatherhood Grants (B,L)
- 93.087 Enhance Safety of Children Affected by Substance Abuse (B)
- 93.092 Affordable Care Act (ACA) Personal Responsibility Education Program (A,B)
- 93.093 Affordable Care Act (ACA) Health Profession Opportunity Grants (B)
- 93.095 HHS Programs for Disaster Relief Appropriations Act - Non Construction (A,B)
- 93.234 Traumatic Brain Injury State Demonstration Grant Program (B)
- 93.235 Affordable Care Act (ACA) Abstinence Education Program (A)
- 93.254 Infant Adoption Awareness Training (B)
- 93.324 State Health Insurance Assistance Program (B)
- 93.325 Paralysis Resource Center (B)
- 93.340 Native American Community Research, Demonstration, and Pilot Projects (B)
- 93.369 ACL Independent Living State Grants (A)
- 93.432 ACL Centers for Independent Living (B)
- 93.433 ACL National Institute on Disability, Independent Living, and Rehabilitation Research (B)
- 93.464 ACL Assistive Technology (B)
- 93.508 Affordable Care Act (ACA) Tribal Maternal, Infant, and Early Childhood Home Visiting Program (B)
- 93.550 Transitional Living for Homeless Youth (B)
- 93.551 Abandoned Infants (B)
- 93.556 Promoting Safe and Stable Families (A,B)
- 93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)
- 93.569 Community Services Block Grant (A)
- 93.570 Community Services Block Grant Discretionary Awards (B)
- 93.581 Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (B)
- 93.586 State Court Improvement Program (A,B)
- 93.587 Promote the Survival and Continuing Vitality of Native American Languages (B)
- 93.590 Community-Based Child Abuse Prevention Grants (A)
- 93.591 Family Violence Prevention and Services/State Domestic Violence Coalitions (A)
- 93.592 Family Violence Prevention and Services/Discretionary (B)
- 93.599 Chafee Education and Training Vouchers Program (ETV) (A)
- 93.600 Head Start (B,C)
- 93.602 Assets for Independence Demonstration Program (B)
- 93.603 Adoption and Legal Guardianship Incentive Payments (A)
- 93.612 Native American Programs (B)
- 93.613 President's Committee for People with Intellectual Disabilities (PCPID) (L)
- 93.618 Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (A,B)
- 93.623 Basic Center Grant (B)
- 93.630 Developmental Disabilities Basic Support and Advocacy Grants (A)
- 93.631 Developmental Disabilities Projects of National Significance (B)
- 93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service (B)

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93.645	Stephanie Tubbs Jones Child Welfare Services Program (A)	93.079	Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (B)
93.647	Social Services Research and Demonstration (B)	93.080	Blood Disorder Program: Prevention, Surveillance, and Research (B)
93.648	Child Welfare Research Training or Demonstration (B)	93.082	Sodium Reduction in Communities (B)
93.652	Adoption Opportunities (B)	93.083	Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (B)
93.667	Social Services Block Grant (A)	93.084	Prevention of Disease, Disability, and Death by Infectious Diseases (B)
93.669	Child Abuse and Neglect State Grants (A)	93.088	Advancing System Improvements for Key Issues in Women's Health (B)
93.670	Child Abuse and Neglect Discretionary Activities (B)	93.094	Well-Integrated Screening and Evaluation for Women Across the Nation (B)
93.671	Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services (A)	93.099	Collaboration With the World Health Organization and its Regional Offices for Global Health Security and the International Health Regulations (IHR 2005) (B)
93.676	Unaccompanied Alien Children Program (B)	93.107	Area Health Education Centers (B)
93.714	ARRA Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program (A)	93.110	Maternal and Child Health Federal Consolidated Programs (B)
93.734	Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs financed by Prevention and Public Health Funds (PPHF) (B)	93.116	Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)
93.747	Elder Abuse Prevention Interventions Program (B)	93.118	Acquired Immunodeficiency Syndrome (AIDS) Activity (B)
93.761	Evidence-Based Falls Prevention Programs Financed Solely by Prevention and Public Health Funds (PPHF) (B)	93.121	Oral Diseases and Disorders Research (B)
93.763	Alzheimers Disease Initiative: Specialized Supportive Services Project (ADI-SSS) thru Prevention and Public Health Funds (PPHF) (B)	93.124	Nurse Anesthetist Traineeship (A)
93.843	ACL Assistive Technology State Grants for Protection and Advocacy (A)	93.127	Emergency Medical Services for Children (B)
93.844	ACL Centers for Independent Living, Recovery Act (B)	93.129	Technical and Non-Financial Assistance to Health Centers (B)
93.872	Tribal Maternal, Infant, and Early Childhood Home Visiting (B)	93.130	Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (B)
93.873	State Grants for Protection and Advocacy Services (A)	93.134	Grants to Increase Organ Donations (B)
94.003	State Commissions (B)	93.135	Centers for Research and Demonstration for Health Promotion and Disease Prevention (B)
94.009	Training and Technical Assistance (L,M)	93.145	HIV-Related Training and Technical Assistance (B)
94.016	Senior Companion Program (B)	93.153	Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)
94.017	Senior Demonstration Program (B)	93.155	Rural Health Research Centers (B)
94.025	Operation AmeriCorps (B)	93.156	Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (B)
(550) HEALTH		93.157	Centers of Excellence (B)
This function includes those programs that have as their basic purpose the promotion of the physical and mental health of the nation's population, including the prevention of illness and accidents. It includes planning, financing, and provision of medical care; research into the causes and cure of disease; the financing of specialized programs for training of health workers and researchers; and promotion of consumer and occupational health and safety. Excluded from the health function are Federal health care costs that are an integral part of another function, such as medicare and medical care for military personnel and for veterans. General scientific research that has medical applications (such as that conducted by the National Science Foundation) and health programs financed through foreign assistance programs are also excluded from the health function.			
93.011	National Organizations of State and Local Officials (B)	93.162	National Health Service Corps Loan Repayment Program (C)
93.059	Training in General, Pediatric, and Public Health Dentistry (B)	93.165	Grants to States for Loan Repayment Program (B,C)
93.061	Innovations in Applied Public Health Research (B)	93.178	Nursing Workforce Diversity (B)
93.062	Biomonitoring Programs for State Public Health Laboratories (B)	93.184	Disabilities Prevention (B)
93.064	Laboratory Training, Evaluation, and Quality Assurance Programs (B)	93.185	Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects (B)
93.065	Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (B)	93.186	National Research Service Award in Primary Care Medicine (B)
93.066	State Vital Statistics Improvement Program (B)	93.191	Graduate Psychology Education (B)
93.067	Global AIDS (B)	93.197	Childhood Lead Poisoning Prevention Projects, State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)
93.068	Chronic Diseases: Research, Control, and Prevention (B)	93.211	Telehealth Programs (B)
93.069	Public Health Emergency Preparedness (B)	93.217	Family Planning Services (B)
93.070	Environmental Public Health and Emergency Response (B)	93.223	Development and Coordination of Rural Health Services (B)
93.073	Birth Defects and Developmental Disabilities - Prevention and Surveillance (B)	93.224	Health Center Program (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (B)
93.074	Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements (A)	93.236	Grants to States to Support Oral Health Workforce Activities (B)
		93.241	State Rural Hospital Flexibility Program (B)
		93.247	Advanced Nursing Education Workforce Grant Program (B)
		93.251	Universal Newborn Hearing Screening (B)
		93.253	Poison Center Support and Enhancement Grant Program (B)
		93.255	Children's Hospitals Graduate Medical Education Payment Program

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(C)		Department Response to Public Health or Healthcare Crises (B)
93.257	Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)	93.393 Cancer Cause and Prevention Research (B)
93.259	Rural Access to Emergency Devices Grant and Public Access to Defibrillation Demonstration Grant (B)	93.394 Cancer Detection and Diagnosis Research (B)
93.260	Family Planning Personnel Training (B)	93.395 Cancer Treatment Research (B)
93.261	Scaling the National Diabetes Prevention Program to Priority Populations (B)	93.396 Cancer Biology Research (B)
93.262	Occupational Safety and Health Program (B,M)	93.397 Cancer Centers Support Grants (B)
93.264	Nurse Faculty Loan Program (NFLP) (A)	93.398 Cancer Research Manpower (B)
93.265	Comprehensive Geriatric Education Program (CGEP) (B)	93.399 Cancer Control (B)
93.266	Health Systems Strengthening and HIV/AIDS Prevention, Care and Treatment under the President's Emergency Plan for AIDS Relief (B)	93.424 NON-ACA/PPHF Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations (B)
93.267	State Grants for Protection and Advocacy Services (A)	93.456 CDC Undergraduate Public Health Scholars Program (CUPS): A Public Health Experience to Expose Undergraduates Interested in Minority Health to Public Health and the Public Health Professions (B)
93.268	Immunization Cooperative Agreements (B)	93.465 Tobacco Prevention and Control Legal Technical Assistance (B)
93.269	Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)	93.504 Family to Family Health Information Centers (B)
93.270	Viral Hepatitis Prevention and Control (B)	93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (A)
93.283	Centers for Disease Control and Prevention Investigations and Technical Assistance (B)	93.506 ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (B)
93.288	National Health Service Corps Scholarship Program (C)	93.510 Affordable Care Act (ACA) Primary Care Residency Expansion Program (B)
93.292	National Public Health Improvement Initiative (A)	93.513 Affordable Care Act (ACA) Advanced Nursing Education Expansion Initiative (B)
93.300	National Center for Health Workforce Analysis (B)	93.514 Affordable Care Act (ACA) Expansion of Physician Assistant Training Program (B)
93.301	Small Rural Hospital Improvement Grant Program (B)	93.521 The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (B)
93.303	NURSE Corps Scholarship Program (C)	93.526 Grants for Capital Development in Health Centers (B)
93.304	Racial and Ethnic Approaches to Community Health (B)	93.527 Grants for New and Expanded Services under the Health Center Program (B)
93.312	Child Development and, Surveillance, Research and Prevention (B)	93.528 National Forum for State and Territorial Chief Executives (B)
93.314	Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program (B)	93.530 Teaching Health Center Graduate Medical Education Payment (A)
93.315	Rare Disorders: Research, Surveillance, Health Promotion, and Education (B)	93.531 PPHF - Community Transformation Grants and National Dissemination and Support for Community Transformation Grants - financed solely by Prevention and Public Health Funds (B)
93.316	Public Health Preparedness and Response Science, Research, and Practice (B)	93.535 Affordable Care Act (ACA) Childhood Obesity Research Demonstration (B)
93.317	Emerging Infections Programs (B)	93.547 National Health Service Corps (B,C)
93.319	Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas (B)	93.606 Affordable Care Act - Preparedness and Emergency Response Learning Centers (B)
93.322	CSELS Partnership: Strengthening Public Health Laboratories (B)	93.609 The Affordable Care Act Medicaid Adult Quality Grants (B)
93.323	Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) (B)	93.627 Affordable Care Act: Testing Experience and Functional Assessment Tools (B)
93.328	National Implementation and Dissemination for Chronic Disease Prevention (B)	93.649 Nutrition and Physical Activity Programs (B)
93.329	Skills Training and Health Workforce Development of Paraprofessionals Grant Program (B)	93.738 PPHF: Racial and Ethnic Approaches to Community Health Program financed solely by Public Prevention and Health Funds (B)
93.330	Leadership in Public Health Social Work Education Grant Program (B)	93.739 PPHF: Chronic Disease Innovation Grants - financed solely by Public Prevention Health Funds (B)
93.331	Partnerships to Improve Community Health (B)	93.742 PPHF: Early Childcare and Education Obesity Prevention Program - Obesity Prevention in Young Children - financed solely by Public Prevention and Health Funds (B)
93.334	The Healthy Brain Initiative: Technical Assistance to Implement Public Health Actions related to Cognitive Health, Cognitive Impairment, and Caregiving at the State and Local Levels (B)	93.743 Racial and Ethnic Approaches to Community Health: Obesity and Hypertension Demonstration Projects financed solely by Prevention and Public Health Funds (B)
93.336	Behavioral Risk Factor Surveillance System (B)	93.744 PPHF: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by Prevention and Public Health Funds (B)
93.338	Foreign Public Health Construction (B)	
93.339	Public Health Conference Support (B)	
93.342	Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (B)	
93.353	21st Century Cures Act - Beau Biden Cancer Moonshot (B)	
93.359	Nurse Education, Practice Quality and Retention Grants (B)	
93.364	Nursing Student Loans (E)	
93.365	Sickle Cell Treatment Demonstration Program (B)	
93.366	State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes (B)	
93.391	Activities to Support State, Tribal, Local and Territorial (STLT) Health	

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<p>93.751 PPHF: Consortium for Tobacco Use Cessation Technical Assistance financed by solely by Prevention and Public Health Funds (B)</p> <p>93.752 Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations financed in part by Prevention and Public Health Funds (B)</p> <p>93.755 Surveillance for Diseases Among Immigrants and Refugees financed in part by Prevention and Public Health Funds (PPHF) (B)</p> <p>93.756 Nutrition and Physical Activity Program funded solely by Prevention and Public Health Funds (PPHF) (B)</p> <p>93.757 State and Local Public Health Actions to Prevent Obesity, Diabetes, Heart Disease and Stroke (PPHF) (B)</p> <p>93.759 Consortium for Tobacco Use Cessation Technical Assistance financed solely by Prevention and Public Health Funds (B)</p> <p>93.762 A Comprehensive Approach to Good Health and Wellness in Indian County financed solely by Prevention and Public Health (B)</p> <p>93.765 PPHF-CDC Partnership: Strengthening Public Health Laboratories (A)</p> <p>93.777 State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare (A)</p> <p>93.779 Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (B)</p> <p>93.796 State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (A)</p> <p>93.800 Organized Approaches to Increase Colorectal Cancer Screening (B)</p> <p>93.808 Increasing the Implementation of Evidence-Based Cancer Survivorship Interventions to Increase Quality and Duration of Life Among Cancer Patients (B)</p> <p>93.809 National Organizations for Chronic Disease Prevention and Health Promotion (B)</p> <p>93.810 Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (B)</p> <p>93.813 Heart Disease & Stroke Prevention Program and Diabetes Prevention State and Local Public Health Actions to Prevent Obesity, Diabetes, and Heart Disease and Stroke (B)</p> <p>93.814 Partner support for heart disease and stroke prevention (B)</p> <p>93.816 Preventing Heart Attacks and Strokes in High Need Areas (B)</p> <p>93.818 Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa (B)</p> <p>93.822 Health Careers Opportunity Program (B)</p> <p>93.823 Ebola Support: Transmission and Prevention Control, Public Health Preparedness, Vaccine Development (B)</p> <p>93.824 Area Health Education Centers Infrastructure Development Awards (B)</p> <p>93.827 Educating State-level Stakeholders on Strategies to Address Winnable Battles in Public Health (B)</p> <p>93.833 Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States (B)</p> <p>93.845 Promoting Population Health through Increased Capacity in Alcohol Epidemiology (B)</p> <p>93.850 Improving Epilepsy Programs, Services, and Outcomes through National Partnerships (B)</p> <p>93.852 National Syndromic Surveillance Program Community of Practice (NSSP CoP) (B)</p> <p>93.858 National Collaboration to Support Health, Wellness and Academic Success of School-Age Children (B)</p> <p>93.860 Emerging Infections Sentinel Networks (B)</p> <p>93.870 Maternal, Infant and Early Childhood Home Visiting Grant Program (A)</p> <p>93.875 Assistance for Oral Disease Prevention and Control (B)</p> <p>93.877 Autism Collaboration, Accountability, Research, Education, and Support (B)</p> <p>93.884 Grants for Primary Care Training and Enhancement (B)</p>	<p>93.898 Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations (B)</p> <p>93.908 Nurse Corps Loan Repayment Program (C)</p> <p>93.912 Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (B)</p> <p>93.913 Grants to States for Operation of State Offices of Rural Health (B)</p> <p>93.914 HIV Emergency Relief Project Grants (B)</p> <p>93.917 HIV Care Formula Grants (A)</p> <p>93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)</p> <p>93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)</p> <p>93.923 Disadvantaged Health Professions Faculty Loan Repayment Program (FLRP) (C)</p> <p>93.924 Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants (A,B)</p> <p>93.925 Scholarships for Health Professions Students from Disadvantaged Backgrounds (B)</p> <p>93.926 Healthy Start Initiative (B)</p> <p>93.928 Special Projects of National Significance (B)</p> <p>93.932 Native Hawaiian Health Care Systems (B)</p> <p>93.938 Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (B)</p> <p>93.939 HIV Prevention Activities Non-Governmental Organization Based (B)</p> <p>93.940 HIV Prevention Activities Health Department Based (B)</p> <p>93.941 HIV Demonstration, Research, Public and Professional Education Projects (B)</p> <p>93.942 Research, Prevention, and Education Programs on Lyme Disease in the United States (B)</p> <p>93.943 Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (B)</p> <p>93.944 Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)</p> <p>93.945 Assistance Programs for Chronic Disease Prevention and Control (B)</p> <p>93.946 Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)</p> <p>93.947 Tuberculosis Demonstration, Research, Public and Professional Education (B)</p> <p>93.965 Coal Miners Respiratory Impairment Treatment Clinics and Services (B)</p> <p>93.967 CDC's Collaboration with Academia to Strengthen Public Health (B)</p> <p>93.969 PPHF Geriatric Education Centers (B)</p> <p>93.974 Family Planning Service Delivery Improvement Research Grants (B)</p> <p>93.976 Primary Care Medicine and Dentistry Clinician Educator Career Development Awards Program (B)</p> <p>93.977 Sexually Transmitted Diseases (STD) Prevention and Control Grants (B)</p> <p>93.978 Sexually Transmitted Diseases (STD) Provider Education Grants (B)</p> <p>93.980 Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease (B)</p> <p>93.981 Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (B)</p> <p>93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems (B)</p> <p>93.994 Maternal and Child Health Services Block Grant to the States (A)</p> <p>93.998 Autism and Other Developmental Disabilities, Surveillance, Research, and Prevention (B)</p>
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(551) Health Care Services

This includes the costs of financing the provision of medical services to individuals and families whether such services are provided directly by the Federal Government or financed through grants, contracts, insurance, or reimbursements.

12.350	Department of Defense HIV/AIDS Prevention Program (B)	93.297	Teenage Pregnancy Prevention Program (B)
15.252	Abandoned Mine Land Reclamation (AMLR) (A,B)	93.305	National State Based Tobacco Control Programs (B)
93.004	Cooperative Agreements to Improve the Health Status of Minority Populations (B)	93.311	Mobilization For Health: National Prevention Partnership Awards (B)
93.007	Public Awareness Campaigns on Embryo Adoption (B)	93.318	Protecting and Improving Health Globally: Building and Strengthening Public Health Impact, Systems, Capacity and Security (B)
93.008	Medical Reserve Corps Small Grant Program (B)	93.326	Protecting and Improving Health Globally: Strengthening Public Health through Surveillance, Epidemiologic Research, Disease Detection and Prevention (B)
93.015	HIV Prevention Programs for Women (B)	93.332	Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Marketplaces (B)
93.018	Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (B)	93.343	Public Health Service Evaluation Funds (B)
93.019	Technical Assistance and Provision for Foreign Hospitals and Health Organizations (B)	93.355	Certified Health IT Surveillance Capacity and Infrastructure Improvement Cooperative Agreement Program (B)
93.057	National Resource Center for HIV Prevention Among Adolescents (B)	93.358	Advanced Education Nursing Traineeships (B)
93.074	Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements (A)	93.360	Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development (B)
93.078	Strengthening Emergency Care Delivery in the United States Healthcare System through Health Information and Promotion (B)	93.363	Flexible Alternatives for State Transformation Model (B)
93.081	ASPR Science Preparedness and Response Grants (B)	93.413	The State Flexibility to Stabilize the Market Grant Program (B)
93.085	Research on Research Integrity (B)	93.423	1332 State Innovation Waivers (B)
93.089	Emergency System for Advance Registration of Volunteer Health Professionals (B)	93.441	Indian Self-Determination (C)
93.095	HHS Programs for Disaster Relief Appropriations Act - Non Construction (A,B)	93.442	Special Diabetes Program for Indians (SDPI) Diabetes Prevention and Healthy Heart Initiative (B)
93.097	Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (B)	93.444	Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (B)
93.098	Tribal Public Health Capacity Building and Quality Improvement (B)	93.452	Health Improvement for Re-entering Ex-offenders Initiative (HIRE) HIV/AIDS (B)
93.104	Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)	93.466	Building Capacity of the Public Health System to Address Ebola Through National Nonprofit Organizations (B)
93.123	Health Professions Pre-graduate Scholarship Program for Indians (M)	93.500	Pregnancy Assistance Fund Program (B)
93.136	Injury Prevention and Control Research and State and Community Based Programs (B)	93.507	PPHF National Public Health Improvement Initiative (B)
93.137	Community Programs to Improve Minority Health Grant Program (B)	93.511	Affordable Care Act (ACA) Grants to States for Health Insurance Premium Review (B)
93.138	Protection and Advocacy for Individuals with Mental Illness (A)	93.519	Affordable Care Act (ACA) Consumer Assistance Program Grants (B)
93.150	Projects for Assistance in Transition from Homelessness (PATH) (A)	93.524	Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by Prevention and Public Health Funds (PPHF) (B)
93.161	Health Program for Toxic Substances and Disease Registry (B)	93.525	State Planning and Establishment Grants for the Affordable Care Act (ACA)s Exchanges (B)
93.164	Indian Health Service Educational Loan Repayment (C)	93.533	Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease (B)
93.193	Urban Indian Health Services (B)	93.534	Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (B)
93.210	Tribal Self-Governance Program: IHS Compacts/Funding Agreements (C)	93.536	The Affordable Care Act Medicaid Incentives for Prevention of Chronic Disease Demonstration Project (B)
93.228	Indian Health Service, Health Management Development Program (B)	93.537	Affordable Care Act Medicaid Emergency Psychiatric Demonstration (C)
93.231	Epidemiology Cooperative Agreements (B)	93.538	Affordable Care Act - National Environmental Public Health Tracking Program-Network Implementation (B)
93.237	Special Diabetes Program for Indians Diabetes Prevention and Treatment Projects (B)	93.539	PPHF Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by Prevention and Public Health Funds (B)
93.239	Policy Research and Evaluation Grants (B)	93.540	Affordable Care Act Streamlined surveillance for ventilator-associated pneumonia: Reducing burden and demonstrating preventability; and Prevention and Public Health Fund (B)
93.240	State Capacity Building (B)	93.545	Consumer Operated and Oriented Plan [CO-OP] Program (E)
93.243	Substance Abuse and Mental Health Services Projects of Regional and National Significance (B)	93.546	Early Retiree Reinsurance Program (C)
93.275	Substance Abuse and Mental Health Services-Access to Recovery (B)	93.548	PPHF: State Nutrition, Physical Activity, and Obesity Programs - financed in part by PPHF (B)
93.276	Drug-Free Communities Support Program Grants (B)	93.610	Health Care Innovation Awards (HCIA) (B)
93.284	Injury Prevention Program for American Indians and Alaskan Natives Cooperative Agreements (B)	93.611	Strong Start for Mothers and Newborns (B)
93.289	President's Council on Fitness, Sports, and Nutrition (K)		
93.290	National Community Centers of Excellence in Women's Health (B)		
93.291	Surplus Property Utilization (H)		
93.296	State Partnership Grant Program to Improve Minority Health (B)		

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93.615	Maternal, Infant, and Early Childhood Home Visiting Research Programs (B)	93.767	Children's Health Insurance Program (A)
93.621	Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents (B)	93.772	Tribal Public Health Capacity Building and Quality Improvement Umbrella Cooperative Agreement (B)
93.624	ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance (B)	93.775	State Medicaid Fraud Control Units (A)
93.626	Affordable Care Act State Health Insurance Assistance Program (SHIP) and Aging and Disability Resource Center (ADRC) Options Counseling for Medicare-Medicaid Individuals in States with Approved Financial Alignment Models (B)	93.778	Medical Assistance Program (A)
93.628	Affordable Care Act Implementation Support for State Demonstrations to Integrate Care for Medicare-Medicaid Enrollees (B)	93.780	Grants to States for Operation of Qualified High-Risk Pools (A)
93.634	ACA Support for Demonstration Ombudsman Programs Serving Beneficiaries of State Demonstrations to Integrate Care for Medicare-Medicaid (B)	93.784	Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens (C)
93.636	ACA - Reinvestment of Civil Money Penalties to Benefit Nursing Home Residents (B)	93.788	Opioid STR (A)
93.638	ACA-Transforming Clinical Practice Initiative: Practice Transformation Networks (PTNs) (B)	93.791	Money Follows the Person Rebalancing Demonstration (B)
93.639	ACA-Transforming Clinical Practice Initiative: Support and Alignment Networks (SANs) (B)	93.801	Ebola Healthcare Preparedness and Response for Select Cities with Enhanced Airport Entrance Screenings from Affected Countries in West Africa (B)
93.640	Basic Health Program (Affordable Care Act) (G)	93.817	Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities (A)
93.644	Adult Medicaid Quality: Improving Maternal and Infant Health Outcomes in Medicaid and CHIP (B)	93.825	National Ebola Training and Education Center (NETEC) (B)
93.646	ACA Testing a Model of Data Aggregation under the Comprehensive Primary Care initiative (B)	93.826	Closing the Gap Between Standards Development and Implementation (B)
93.650	Accountable Health Communities (A)	93.829	Section 223 Demonstration Programs to Improve Community Mental Health Services (B)
93.651	Transforming Clinical Practice Initiative (TCPI) Support and Alignment Network (SAN) 2.0 (B)	93.830	Interoperability Roadmap: Public/Private Partnership (B)
93.718	Health Information Technology Regional Extension Centers Program (B)	93.831	Standards Development Organization Collaboration to Enhance Standards Alignment, Testing, and Measurement (B)
93.719	Advance Interoperable Health Information Technology Services to Support Health Information Exchange (B)	93.835	Planning Grant for Healthcare and Public Health Sector Cybersecurity Information Sharing (B)
93.721	ARRA - Health Information Technology Professionals in Health Care (B)	93.861	Strengthening the Public Health System in US-affiliated Pacific Islands (PPHF) (B)
93.727	Beacon Communities - Community Health Peer Learning Program (B)	93.874	Strengthening the Public Health System in US-affiliated Pacific Islands (Non-PPHF) (B)
93.728	ARRA - Strategic Health IT Advanced Research Projects (SHARP) (B)	93.881	The Health Insurance Enforcement and Consumer Protections Grant Program (B)
93.733	Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by the Prevention and Public Health Fund (PPHF) (B)	93.882	High Impact Pilot Awards (B)
93.735	State Public Health Approaches for Ensuring Qitline Capacity Funded in part by Prevention and Public Health Funds (PPHF) (B)	93.883	Standards Exploration Award (B)
93.745	PPHF: Health Care Surveillance/Health Statistics Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by Prevention and Public Health Fund (B)	93.889	National Bioterrorism Hospital Preparedness Program (A)
93.748	PPHF Cooperative Agreements for Prescription Drug Monitoring Program Electronic Health Record (EHR) Integration and Interoperability Expansion (B)	93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
93.749	PPHF Public Health Laboratory Infrastructure financed solely by Prevention and Public Health Fund (B)	93.910	Family and Community Violence Prevention Program (B)
93.750	PPHF Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Exchanges (B)	93.933	Demonstration Projects for Indian Health (B)
93.753	Child Lead Poisoning Prevention Surveillance financed in part by Prevention and Public Health (PPHF) Program (B)	93.958	Block Grants for Community Mental Health Services (A)
93.755	Surveillance for Diseases Among Immigrants and Refugees financed in part by Prevention and Public Health Funds (PPHF) (B)	93.959	Block Grants for Prevention and Treatment of Substance Abuse (A)
93.758	Preventive Health and Health Services Block Grant funded solely with Prevention and Public Health Funds (PPHF) (A)	93.961	One-Time Funding in Support of the Vermont All-Payer ACO Model (B)
93.764	PPHF- Cooperative Agreements to Implement the National Strategy for Suicide Prevention (Short Title: National Strategy Grants) (B)	93.966	The Zika Health Care Services Program (A)
		93.968	Funding in Support of the Pennsylvania Rural Health Model (B)
		93.970	Health Professions Recruitment Program for Indians (B)
		93.971	Health Professions Preparatory Scholarship Program for Indians (M)
		93.972	Health Professions Scholarship Program (M)
		93.982	Mental Health Disaster Assistance and Emergency Mental Health (B)
		93.983	Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (B)
		93.986	Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program (A)
		93.990	National Health Promotion (B)
		93.991	Preventive Health and Health Services Block Grant (A)
		93.997	Assisted Outpatient Treatment (B)
		(552) Health Research and Training	
		This includes the cost of all research programs _ whether basic or applied _ that are financed specifically as health or medical research. It excludes research that is an integral part of other functions (such as biomedical research in the space	

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program). It also includes programs specifically funded as health training programs.

93.095 HHS Programs for Disaster Relief Appropriations Act - Non Construction (A,B)

93.096 HHS Programs for Disaster Relief Appropriations Act - Construction (B)

93.113 Environmental Health (B)

93.117 Preventive Medicine and Public Health Residency Training Program, Integrative Medicine Program, and National Center for Integrative Primary Healthcare (B)

93.140 Intramural Research Training Award (C)

93.142 NIEHS Hazardous Waste Worker Health and Safety Training (B)

93.143 NIEHS Superfund Hazardous Substances_Basic Research and Education (B)

93.172 Human Genome Research (B)

93.173 Research Related to Deafness and Communication Disorders (B)

93.187 Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (C)

93.209 Contraception and Infertility Research Loan Repayment Program (C)

93.213 Research and Training in Complementary and Integrative Health (B,M)

93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (C)

93.225 National Research Service Awards Health Services Research Training (M)

93.226 Research on Healthcare Costs, Quality and Outcomes (B)

93.232 Loan Repayment Program for General Research (C)

93.233 National Center on Sleep Disorders Research (B)

93.242 Mental Health Research Grants (B,M)

93.273 Alcohol Research Programs (B)

93.279 Drug Abuse and Addiction Research Programs (B,M)

93.280 National Institutes of Health Loan Repayment Program for Clinical Researchers (C)

93.281 Mental Health Research Career/Scientist Development Awards (B)

93.282 Mental Health National Research Service Awards for Research Training (M)

93.285 National Institutes of Health Pediatric Research Loan Repayment Program (C)

93.286 Discovery and Applied Research for Technological Innovations to Improve Human Health (B)

93.307 Minority Health and Health Disparities Research (B)

93.308 National Institute on Minority Health and Health Disparities (NIMHD) Extramural Loan Repayment Programs (C)

93.310 Trans-NIH Research Support (B,M)

93.350 National Center for Advancing Translational Sciences (B)

93.351 Research Infrastructure Programs (B)

93.352 Construction Support (B)

93.361 Nursing Research (B)

93.389 National Center for Research Resources (B)

93.516 Public Health Training Centers Program (B)

93.701 Trans-NIH Recovery Act Research Support (B)

93.702 National Center for Research Resources, Recovery Act Construction Support (B)

93.704 Trans-NIH Recovery Act Loan Repayment Support (C)

93.732 Mental and Behavioral Health Education and Training Grants (B)

93.837 Cardiovascular Diseases Research (B)

93.838 Lung Diseases Research (B)

93.839 Blood Diseases and Resources Research (B)

93.840 Translation and Implementation Science Research for Heart, Lung, Blood Diseases, and Sleep Disorders (B)

93.846 Arthritis, Musculoskeletal and Skin Diseases Research (B)

93.847 Diabetes, Digestive, and Kidney Diseases Extramural Research (B)

93.853 Extramural Research Programs in the Neurosciences and Neurological Disorders (B)

93.855 Allergy and Infectious Diseases Research (B)

93.856 Microbiology and Infectious Diseases Research (B)

93.859 Biomedical Research and Research Training (B)

93.865 Child Health and Human Development Extramural Research (B)

93.866 Aging Research (B)

93.867 Vision Research (B)

93.879 Medical Library Assistance (B)

93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (C)

93.964 Prevention and Public Health Fund (PPHF) Public Health Traineeships (B)

93.969 PPHF Geriatric Education Centers (B)

93.989 International Research and Research Training (B,M)

(554) Consumer and Occupational Health and Safety

This includes such programs as meat and poultry inspection, food and drug inspection, consumer product safety, and occupational safety.

10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)

10.477 Meat, Poultry, and Egg Products Inspection (J)

10.479 Food Safety Cooperative Agreements (B)

17.502 Occupational Safety and Health Susan Harwood Training Grants (B)

17.503 Occupational Safety and Health State Program (B)

17.504 Consultation Agreements (B)

17.600 Mine Health and Safety Grants (B)

17.601 Mine Health and Safety Counseling and Technical Assistance (K,L)

17.602 Mine Health and Safety Education and Training (M)

17.603 Brookwood-Sago Grant (B)

17.604 Safety and Health Grants (B)

93.103 Food and Drug Administration Research (B)

93.448 Food Safety and Security Monitoring Project (B)

93.449 Ruminant Feed Ban Support Project (B)

93.876 Antimicrobial Resistance Surveillance in Retail Food Specimens (B)

(570) MEDICARE

(571) Medicare

Medicare is composed of the Federal Hospital Insurance and Federal Supplementary Medical Insurance trust funds. This includes all off-setting collections to these two funds, except for employers share and employee retirement (subfunction_951) and net interest (interest received by on_budget trust funds_902). Also includes trust funds and general funds payments to those funds.

93.770 Medicare Prescription Drug Coverage (C)

93.773 Medicare Hospital Insurance (C)

93.774 Medicare Supplementary Medical Insurance (C)

(600) INCOME SECURITY

This function includes payments for or to persons for which no current service is rendered. It includes military and civilian retirement, disability, unemployment, and welfare payments and the associated administrative costs. Also included in income security are the food stamp, special milk, and child nutrition programs (whether the benefits are in cash or kind); both Federal and trust fund unemployment compensation and workmen's compensation; public assistance cash payments; benefits to coal miners; and low and moderate-income housing aids. Excluded from this function are social security, financial assistance for educational purposes, provision or reimbursement for child care services and

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stipends, medical care (whether in cash or kind), and subsidies to businesses (such as farm price supports) even though they may end up as income to persons. Also excluded are income security programs restricted to veterans and their dependents.

(601) General Retirement and Disability Insurance (excluding Social Security)

This includes non-needs-tested retirement and disability programs except for those specifically restricted to Federal employees and social security. Most programs in this subfunction are financed through trust fund mechanisms but it includes some Federal funds programs such as payments to coal miners. The subfunction is composed mainly of the railroad retirement fund and special benefits for coal miners.

- 17.150 Employee Benefits Security Administration (L)
- 17.302 Longshore and Harbor Workers' Compensation (D)
- 17.307 Coal Mine Workers' Compensation (D)
- 57.001 Social Insurance for Railroad Workers (D)
- 96.007 Social Security Research and Demonstration (B)

(602) Federal Employee Retirement and Disability

Includes all funded retirement and disability programs restricted to Federal employees. In those cases where there are no retirement funds (such as the Coast Guard retired pay) the case benefits are included in the function where the employees were employed (in this case transportation).

(603) Unemployment Compensation

Benefits for unemployed workers that are not conditioned by needs tests. This subfunction does not include other benefits (such as food stamps) that an unemployed person might be eligible for under any other program.

- 17.225 Unemployment Insurance (A,D)
- 57.001 Social Insurance for Railroad Workers (D)

(604) Housing Assistance

Federal income support and related expenses that are specifically for and limited to financing or providing housing for individuals and families.

- 10.405 Farm Labor Housing Loans and Grants (B,F)
- 10.417 Very Low-Income Housing Repair Loans and Grants (B,E)
- 10.420 Rural Self-Help Housing Technical Assistance (B)
- 10.427 Rural Rental Assistance Payments (C)
- 10.433 Rural Housing Preservation Grants (B)
- 10.438 Section 538 Rural Rental Housing Guaranteed Loans (F)
- 10.448 Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program (C)
- 14.231 Emergency Solutions Grant Program (A)
- 14.235 Supportive Housing Program (B,C)
- 14.238 Shelter Plus Care (B)
- 14.239 Home Investment Partnerships Program (A)
- 14.241 Housing Opportunities for Persons with AIDS (A,B)
- 14.247 Self-Help Homeownership Opportunity Program (B)
- 14.249 Section 8 Moderate Rehabilitation Single Room Occupancy (B)
- 14.250 Rural Housing and Economic Development (B)
- 14.261 Homeless Management Information Systems Technical Assistance (B)
- 14.265 Rural Capacity Building for Community Development and Affordable Housing Grants (B)
- 14.266 Border Community Capital Initiative (B)
- 14.267 Continuum of Care Program (B,C)
- 14.268 Rural Housing Stability Assistance Program (B,C)
- 14.270 Appalachia Economic Development Initiative (B)
- 14.271 Delta Community Capital Initiative (B)
- 14.273 Pay for Success Permanent Supportive Housing Demonstration (B)
- 14.275 Housing Trust Fund (A)
- 14.276 Youth Homelessness Demonstration Program (C)

- 14.278 Veterans Housing Rehabilitation and Modification Program (B)
- 14.850 Public and Indian Housing (C)
- 14.856 Lower Income Housing Assistance Program Section 8 Moderate Rehabilitation (C)
- 14.867 Indian Housing Block Grants (A)
- 14.869 Title VI Federal Guarantees for Financing Tribal Housing Activities (F)
- 14.870 Resident Opportunity and Supportive Services - Service Coordinators (B)
- 14.871 Section 8 Housing Choice Vouchers (C)
- 14.872 Public Housing Capital Fund (A)
- 14.873 Native Hawaiian Housing Block Grants (B)
- 14.879 Mainstream Vouchers (C)
- 14.881 Moving to Work Demonstration Program (A)
- 14.888 Lead-Based Paint Capital Fund Program (B)
- 14.889 Choice Neighborhoods Implementation Grants (B)
- 14.891 Public and Indian Housing Transformation Initiative (TI) Technical Assistance (TA) (J)
- 14.892 Choice Neighborhoods Planning Grants (B)
- 14.893 Office of Native American Programs Training and Technical Assistance for Indian Housing Block Grant Program (M)
- 14.894 Office of Native American Programs Training and Technical Assistance for Native Hawaiian Housing Block Grant Program (M)
- 14.895 Jobs-Plus Pilot Initiative (B)
- 14.896 Family Self-Sufficiency Program (B)
- 14.898 ROSS Supportive Services Programs (B)

(605) Food and Nutrition Assistance

Federal income support and related expenses that are specifically for and limited to financing or providing food or nutritional assistance to individuals and families.

- 10.155 Marketing Agreements and Orders (J,K)
- 10.225 Community Food Projects (B)
- 10.536 CACFP Training Grants (B)
- 10.537 Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) Data and Technical Assistance Grants (B)
- 10.538 Mobile Technology for Child Nutrition Innovation Laboratory (B)
- 10.541 Child Nutrition-Technology Innovation Grant (B)
- 10.543 Healthier US School Challenge: Smarter Lunchrooms (C)
- 10.544 Healthy Body Healthy Spirit (B)
- 10.545 Farmers Market Supplemental Nutrition Assistance Program Support Grants (B)
- 10.547 Professional Standards for School Nutrition Employees (B)
- 10.551 Supplemental Nutrition Assistance Program (C)
- 10.553 School Breakfast Program (A)
- 10.555 National School Lunch Program (A)
- 10.556 Special Milk Program for Children (A)
- 10.557 WIC Special Supplemental Nutrition Program for Women, Infants, and Children (A,B)
- 10.558 Child and Adult Care Food Program (A)
- 10.559 Summer Food Service Program for Children (A)
- 10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A)
- 10.565 Commodity Supplemental Food Program (A,H)
- 10.567 Food Distribution Program on Indian Reservations (B,H)
- 10.568 Emergency Food Assistance Program (Administrative Costs) (A)
- 10.569 Emergency Food Assistance Program (Food Commodities) (A)
- 10.572 WIC Farmers' Market Nutrition Program (FMNP) (A)
- 10.574 Team Nutrition Grants (B)
- 10.575 Farm to School Grant Program (B,L,M)

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- 10.576 Senior Farmers Market Nutrition Program (A)
- 10.578 WIC Grants To States (WGS) (B)
- 10.579 Child Nutrition Discretionary Grants Limited Availability (B)
- 10.580 Supplemental Nutrition Assistance Program, Process and Technology Improvement Grants (B)
- 10.582 Fresh Fruit and Vegetable Program (B)
- 10.586 Special Supplemental Nutrition Program for Women, Infants and Children; Nutrition Education Innovations (B)
- 10.593 Bill Emerson National Hunger Fellows and Mickey Leland International Hunger Fellows Programs (B)
- 10.594 Food Distribution Program on Indian Reservations Nutrition Education Grants (B)
- 10.595 Farm to School Training and Technical Assistance (J,M)
- 10.596 Pilot Projects to Reduce Dependency and Increase Work Requirements and Work Effort under SNAP (B)
- 97.024 Emergency Food and Shelter National Board Program (B)

(609) Other income security

Income security programs that are not included in any other subfunction. This is composed primarily of either direct payments or grants-in-aid to finance direct payments that constitute cash income to low-income individuals and families, but it also includes refugee assistance and both administrative expenses and offsetting collections in the income security function that are not part of any other subfunction.

- 93.090 Guardianship Assistance (A)
- 93.327 Demonstration Grants for Domestic Victims of Human Trafficking (B)
- 93.475 TANF Policy Academy for Innovative Employment Strategies (PAIES) (B)
- 93.558 Temporary Assistance for Needy Families (A,L)
- 93.560 Family Support Payments to States Assistance Payments (A)
- 93.563 Child Support Enforcement (A)
- 93.564 Child Support Enforcement Research (B)
- 93.566 Refugee and Entrant Assistance State/Replacement Designee Administered Programs (A)
- 93.567 Refugee and Entrant Assistance Voluntary Agency Programs (A)
- 93.568 Low-Income Home Energy Assistance (A)
- 93.575 Child Care and Development Block Grant (A)
- 93.576 Refugee and Entrant Assistance Discretionary Grants (B)
- 93.579 U.S. Repatriation (B)
- 93.583 Refugee and Entrant Assistance Wilson/Fish Program (B)
- 93.584 Refugee and Entrant Assistance Targeted Assistance Grants (A)
- 93.594 Tribal Work Grants (A)
- 93.595 Welfare Reform Research, Evaluations and National Studies (B)
- 93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund (A)
- 93.597 Grants to States for Access and Visitation Programs (A)
- 93.598 Services to Victims of a Severe Form of Trafficking (B,C)
- 93.601 Child Support Enforcement Demonstrations and Special Projects (B)
- 93.604 Assistance for Torture Victims (B,C)
- 93.605 Family Connection Grants (B)
- 93.658 Foster Care Title IV-E (A,B)
- 93.659 Adoption Assistance (A)
- 93.674 Chafee Foster Care Independence Program (A)
- 96.006 Supplemental Security Income (C,D)

(650) SOCIAL SECURITY

Social Security is composed of the Federal Old Age and Survivors Insurance and Federal Disability Insurance trust funds and general funds payments to those funds, all offsetting receipts by those trust funds, except those classified in subfunction 903 - interest received by off-budget trust funds.

(651) Social Security

This includes the Old Age and Survivors Insurance (OASI) and Disability Insurance (DI) trust funds and related intergovernmental payments and off_setting collections.

- 96.001 Social Security Disability Insurance (C,D)
- 96.002 Social Security Retirement Insurance (D)
- 96.004 Social Security Survivors Insurance (C,D)

(700) VETERANS BENEFITS AND SERVICES

This function consists of programs providing benefits and services, the eligibility for which is related to prior military service but the financing of which is not an integral part of the costs of national defense. It includes veterans service-connected compensation; veterans non-service connected pensions; and veterans readjustment benefits, housing, and medical care programs. As a normal rule, the outlays in this function are similar to those in the broader general purpose functions (such as income security or health) but are restricted to veterans, their dependents, and their survivors. Earned rights of career military personnel that are a cost of the defense budget (such as military retired pay or medical care) are excluded from this function. The subfunctions of this function are so structured that they are readily additive to analogous major functions for the general population.

(701) Income Security for Veterans

Includes veterans compensation, pensions, burial benefits and life insurance.

- 64.030 Life Insurance for Veterans Face Amount of New Life Insurance Policies Issued (G)
- 64.031 Life Insurance for Veterans - Direct Payments for Insurance (G)
- 64.101 Burial Expenses Allowance for Veterans (C)
- 64.104 Pension for Non-Service-Connected Disability for Veterans (D)
- 64.105 Pension to Veterans Surviving Spouses, and Children (D)
- 64.110 Veterans Dependency and Indemnity Compensation for Service-Connected Death (D)
- 64.128 Vocational Training and Rehabilitation for Vietnam Veterans' Children with Spina Bifida or Other Covered Birth Defects (D)
- 96.020 Special Benefits for Certain World War II Veterans (D)

(702) Veterans Education, Training, and Rehabilitation

Composed primarily of the "GI bill" readjustment benefits.

- 17.801 Disabled Veterans' Outreach Program (DVOP) (A)
- 17.804 Local Veterans' Employment Representative Program (A)
- 17.805 Homeless Veterans Reintegration Program (B)
- 17.807 Transition Assistance Program (J)
- 64.032 Montgomery GI Bill Selected Reserve; Reserve Educational Assistance Program (D)
- 64.106 Specially Adapted Housing for Disabled Veterans (C)
- 64.124 All-Volunteer Force Educational Assistance (D)
- 64.125 Vocational and Educational Counseling for Servicemembers and Veterans (K)

(703) Hospital and Medical Care for Veterans

Composed of medical care and research financed by the Veterans Administration.

- 64.054 Research and Development (B)

(704) Veterans Housing

Composed of housing loan and guarantee programs for, and restricted to, veterans and dependents.

(705) Other Veterans Benefits and Services

Mainly the administrative expenses of the Veterans Administration.

(750) ADMINISTRATION OF JUSTICE

This function includes those programs designed to provide judicial services, police protection, law enforcement (including civil rights), rehabilitation, and

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incarceration of criminals, and promotion of the general maintenance of domestic order. It includes the provision of court appointed counsel or other legal services to individuals. This function excludes the costs of the legislative branch, the narrow-purpose police or guard activities providing protection to Federal property, or activities which are an integral part of a broader function (such as postal inspectors, tax collection agents, and Park Service Rangers). The costs of National Guard personnel who are called upon occasionally to provide general public safety protection and military police costs are included under the national defense function rather than in this function.

19.701 Global Counterterrorism Programs (B)

(751) Federal Law Enforcement Activities

Includes Customs, the Immigration and Naturalization Service, the Drug Enforcement Administration, and police and crime prevention activities in other programs. This subfunction also includes the readily identifiable enforcement costs of civil rights activities.

14.400 Equal Opportunity in Housing (N)
14.401 Fair Housing Assistance Program State and Local (B)
14.408 Fair Housing Initiatives Program (B)
14.416 Education and Outreach Initiatives (B)
14.417 Fair Housing Organization Initiatives (B)
14.418 Private Enforcement Initiatives (B)
16.001 Law Enforcement Assistance Narcotics and Dangerous Drugs Laboratory Analysis (J)
16.003 Law Enforcement Assistance Narcotics and Dangerous Drugs Technical Laboratory Publications (L)
16.004 Law Enforcement Assistance Narcotics and Dangerous Drugs Training (M)
16.300 Law Enforcement Assistance FBI Advanced Police Training (M)
16.301 Law Enforcement Assistance FBI Crime Laboratory Support (J,M)
16.302 Law Enforcement Assistance FBI Field Police Training (M)
16.303 Law Enforcement Assistance FBI Fingerprint Identification (J)
16.304 Law Enforcement Assistance National Crime Information Center (J)
16.305 Law Enforcement Assistance Uniform Crime Reports (L)
16.307 Combined DNA Index System (B)
16.308 Indian Country Investigations (M)
16.309 Law Enforcement Assistance National Instant Criminal Background Check System (J)
30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964 (K,N,O)
30.005 Employment Discrimination Private Bar Program (J)
30.008 Employment Discrimination Age Discrimination in Employment (K,N,O)
30.010 Employment Discrimination Equal Pay Act (K,N,O)
30.011 Employment Discrimination Title I of The Americans with Disabilities Act (K,N,O)
30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008 (K,N,O)
93.001 Civil Rights and Privacy Rule Compliance Activities (K,N)
97.010 Citizenship Education and Training (B)
97.076 CyberTipline (B)

(752) Federal Litigative and Judicial Activities

Includes the cost of the judiciary, the cost of prosecution and Federal expenses connected with financing legal defense activities.

(753) Federal Correctional Activities

Covers the cost of incarceration, supervision, parole, and rehabilitation of Federal prisoners.

(754) Criminal Justice Assistance

Includes the cost of grants to State and local governments to assist them in operating and improving their law enforcement and justice system.

16.015 Missing Alzheimer's Disease Patient Assistance Program (B)
16.016 Culturally and Linguistically Specific Services Program (B)
16.017 Sexual Assault Services Formula Program (A)
16.019 Tribal Registry (B)
16.021 Justice Systems Response to Families (B)
16.023 Sexual Assault Services Culturally Specific Program (B)
16.024 Tribal Sexual Assault Services Program (B)
16.025 Special Domestic Violence Criminal Jurisdiction Implementation (B)
16.026 OVW Research and Evaluation Program (B)
16.027 National Clearinghouse on Sexual Assault of American Indian and Alaska Native Women (B)
16.028 Resource Center on Workplace Response to Domestic Violence, Dating Violence, Sexual Assault, and Stalking (B)
16.029 Office on Violence Against Women Special Projects (B)
16.123 Community-Based Violence Prevention Program (B)
16.203 Promoting Evidence Integration in Sex Offender Management Discretionary Grant Program (B,M)
16.320 Services for Trafficking Victims (B,C)
16.321 Antiterrorism Emergency Reserve (B)
16.523 Juvenile Accountability Block Grants (A,B)
16.524 Legal Assistance for Victims (B)
16.525 Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (B)
16.526 OVW Technical Assistance Initiative (B)
16.528 Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)
16.529 Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (B)
16.540 Juvenile Justice and Delinquency Prevention (A,B,M)
16.541 Part E - Developing, Testing and Demonstrating Promising New Programs (B,J)
16.543 Missing Children's Assistance (B)
16.544 Youth Gang Prevention (B)
16.548 Title V Delinquency Prevention Program (A)
16.550 State Justice Statistics Program for Statistical Analysis Centers (B)
16.554 National Criminal History Improvement Program (NCHIP) (B)
16.556 State Domestic Violence and Sexual Assault Coalitions (B)
16.557 Tribal Domestic Violence and Sexual Assault Coalitions Grant Program (B)
16.560 National Institute of Justice Research, Evaluation, and Development Project Grants (B,L)
16.562 Criminal Justice Research and Development Graduate Research Fellowships (B)
16.566 National Institute of Justice W.E.B. DuBois Fellowship Program (B)
16.571 Public Safety Officers' Benefits Program (D)
16.575 Crime Victim Assistance (A)
16.576 Crime Victim Compensation (A)
16.578 Federal Surplus Property Transfer Program (H)
16.582 Crime Victim Assistance/Discretionary Grants (B,C)
16.583 Children's Justice Act Partnerships for Indian Communities (B,C)
16.585 Drug Court Discretionary Grant Program (B)
16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments (B)
16.588 Violence Against Women Formula Grants (A)
16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)
16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program (B)
16.593 Residential Substance Abuse Treatment for State Prisoners (A)
16.596 Justice System Infrastructure Program for Indian Tribes (B)

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16.606 State Criminal Alien Assistance Program (C)
16.607 Bulletproof Vest Partnership Program (C)
16.608 Tribal Court Assistance Program (B)
16.609 Project Safe Neighborhoods (B)
16.610 Regional Information Sharing Systems (B)
16.614 State and Local Anti-Terrorism Training (K,L,M)
16.615 Public Safety Officers' Educational Assistance (D)
16.616 Indian Country Alcohol and Drug Prevention (B)
16.710 Public Safety Partnership and Community Policing Grants (B)
16.726 Juvenile Mentoring Program (B)
16.727 Enforcing Underage Drinking Laws Program (B)
16.730 Reduction and Prevention of Children's Exposure to Violence (B)
16.731 Tribal Youth Program (B)
16.734 Special Data Collections and Statistical Studies (B)
16.735 PREA Program: Demonstration Projects to Establish "Zero Tolerance" Cultures for Sexual Assault in Correctional Facilities (B)
16.736 Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault (B)
16.737 Gang Resistance Education and Training (B)
16.738 Edward Byrne Memorial Justice Assistance Grant Program (A,B)
16.739 National Prison Rape Statistics Program (B)
16.740 Statewide Automated Victim Information Notification (SAVIN) Program (B)
16.741 DNA Backlog Reduction Program (B)
16.742 Paul Coverdell Forensic Sciences Improvement Grant Program (A,B)
16.745 Criminal and Juvenile Justice and Mental Health Collaboration Program (B)
16.746 Capital Case Litigation Initiative (B)
16.750 Support for Adam Walsh Act Implementation Grant Program (B)
16.751 Edward Byrne Memorial Competitive Grant Program (B)
16.752 Economic High-Tech and Cyber Crime Prevention (B)
16.753 Congressionally Recommended Awards (B)
16.754 Harold Rogers Prescription Drug Monitoring Program (B)
16.756 Court Appointed Special Advocates (B)
16.757 Judicial Training on Child Maltreatment for Court Personnel Juvenile Justice Programs (B)
16.758 Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (B)
16.812 Second Chance Act Reentry Initiative (B)
16.813 NICS Act Record Improvement Program (B)
16.815 Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (B)
16.816 John R. Justice Prosecutors and Defenders Incentive Act (B)
16.817 Byrne Criminal Justice Innovation Program (B)
16.818 Children Exposed to Violence (B)
16.819 National Forum on Youth Violence Prevention (B)
16.820 Postconviction Testing of DNA Evidence (B)
16.821 Juvenile Justice Reform and Reinvestment Demonstration Program (B)
16.822 National Center for Campus Public Safety (B)
16.823 Emergency Planning for Juvenile Justice Facilities (B)
16.824 Emergency Law Enforcement Assistance Grant (B)
16.825 Smart Prosecution Initiative (B)
16.827 Justice Reinvestment Initiative (B)
16.828 Swift, Certain, and Fair (SCF) Sanctions program: Replicating the Concepts behind Project HOPE (B)
16.833 National Sexual Assault Kit Initiative (B)
16.834 Domestic Trafficking Victim Program (B)
16.835 Body Worn Camera Policy and Implementation (A,B,I,J,K,L,M,N)
16.836 Indigent Defense (B)

16.837 Building Community Trust (B)
16.838 Comprehensive Opioid Abuse Site-Based Program (B)
16.888 Consolidated And Technical Assistance Grant Program to Address Children and Youth Experiencing Domestic and Sexual Violence and Engage Men and Boys as Allies (B)
16.889 Grants for Outreach and Services to Underserved Populations (B)
93.643 Children's Justice Grants to States (A)
95.001 High Intensity Drug Trafficking Areas Program (B)
95.004 Anti-Doping Activities (B)
95.005 Drug Court Training and Technical Assistance (B)
95.006 Model State Drug Laws Initiative (B)
95.008 Drug-Free Communities Support Program - National Youth Leadership Initiative (B)
97.008 Non-Profit Security Program (B)

(800) GENERAL GOVERNMENT

This function includes the general overhead costs of the Federal Government, including legislative and executive activities; provision of central fiscal, personnel, and property activities; and the provision of services that cannot reasonably be classified in any other major function. As a normal rule, all activities reasonably or closely associated with other functions shall be included in those functions rather than listed as a part of general government.

97.018 National Fire Academy Training Assistance (C)
97.043 State Fire Training Systems Grants (B)

(801) Legislative Functions

Includes most of the costs of the legislative branch except for the Tax Court, the Library of Congress, and the Government Printing Office revolving fund.

(802) Executive Direction and Management

Includes the Executive Office of the President (unless some major grants or operating programs are included in the EOP); occasionally some closely related spending outside the EOP is also included in this subfunction.

(803) Central Fiscal Operations

Includes the general tax collection and fiscal operations of the Treasury Department.

21.006 Tax Counseling for the Elderly (B)
21.008 Low Income Taxpayer Clinics (B)
21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)

(804) General Property and Records Management

Includes most of the operations of the General Services Administration (net of reimbursements from other agencies for services rendered).

39.002 Disposal of Federal Surplus Real Property (H)
39.003 Donation of Federal Surplus Personal Property (H)
39.007 Sale of Federal Surplus Personal Property (H)
89.001 National Archives Reference Services Historical Research (I,K,L)
89.003 National Historical Publications and Records Grants (B)

(805) Central Personnel Management

Includes most of the operating costs of the Office of Personnel Management (formerly the Civil Service Commission) and related agencies (net of reimbursements from other agencies for services rendered).

(806) General Purpose Fiscal Assistance

Includes Federal aid to State, local, and territorial governments that is available for general fiscal support. It includes payments in lieu of taxes, grants from Forest Service and Interior Department rents, payments to territories and Puerto Rico, and the Federal payment to the District of Columbia.

15.226 Payments in Lieu of Taxes (C,D)
15.659 National Wildlife Refuge Fund (D)
15.667 Highlands Conservation (B)

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(808) Other General Government

Includes miscellaneous other costs such as Federal costs of territorial governments.

(999) MULTIPLE FUNCTIONS

This function code is used where two or more accounts with different Treasury basic account symbols are included in a consolidated program.

10.069	Conservation Reserve Program (C)	97.028	Emergency Management Institute (EMI) Resident Educational Program (M)
10.087	Biomass Crop Assistance Program (D)	97.039	Hazard Mitigation Grant (B)
10.108	Livestock Indemnity Program-2014 Farm Bill (D)	97.040	Chemical Stockpile Emergency Preparedness Program (B)
10.109	Livestock Forage Program-2014 Farm Bill (D)	97.042	Emergency Management Performance Grants (A)
10.110	Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program-2014 Farm Bill (C)	97.044	Assistance to Firefighters Grant (B)
10.111	Tree Assistance Program-2014 Farm Bill (D)	97.055	Interoperable Emergency Communications (B)
10.112	Price Loss Coverage (C)	97.061	Centers for Homeland Security (B)
10.113	Agriculture Risk Coverage Program (C)	97.062	Scientific Leadership Awards (B,C)
10.114	Cotton Transition Assistance Program (D)	97.067	Homeland Security Grant Program (A)
10.451	Noninsured Assistance (D)	97.075	Rail and Transit Security Grant Program (B)
10.600	Foreign Market Development Cooperator Program (C)	97.077	Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection (B)
10.601	Market Access Program (A,C)	97.078	Buffer Zone Protection Program (BZPP) (B)
10.603	Emerging Markets Program (C)	97.083	Staffing for Adequate Fire and Emergency Response (SAFER) (B)
10.604	Technical Assistance for Specialty Crops Program (C)	97.089	Driver's License Security Grant Program (B)
10.605	Quality Samples Program (C)	97.091	Homeland Security Biowatch Program (B,I)
10.606	Food for Progress (C,H)	97.104	Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program (B)
10.665	Schools and Roads - Grants to States (A)	97.106	Securing the Cities Program (B,J,L)
10.666	Schools and Roads - Grants to Counties (C)	97.107	National Incident Management System (NIMS) (B)
10.850	Rural Electrification Loans and Loan Guarantees (E,F)	97.108	Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (B)
12.432	U.S. Military Academy Athletic Programs at West Point (B)	97.113	Rail and Transit Security Grant Program (ARRA) (B)
12.598	Centers for Academic Excellence (B)	97.115	Assistance to Firefighters Grant (ARRA) (B)
15.031	Indian Community Fire Protection (C)	97.120	Rural Emergency Medical Communications Demonstration Project (B)
15.066	Tribal Great Lakes Restoration Initiative (B)	97.123	Multi-State Information Sharing and Analysis Center (B)
15.067	Strengthening Tribal Nations (B,K,L,M)	97.127	Cybersecurity Education and Training Assistance Program (CETAP) (B)
15.156	Tribal Climate Resilience (C)	97.128	National Cyber Security Awareness (B)
15.159	Cultural Resources Management (B,C)	97.130	National Nuclear Forensics Expertise Development Program (B)
15.252	Abandoned Mine Land Reclamation (AMLR) (A,B)	97.131	Emergency Management Baseline Assessments Grant (EMBAG) (C)
15.253	Not-for-Profit AMD Reclamation (B)		
15.254	OSM/VISTA AmeriCorps (C)		
15.255	Science and Technology Projects Related to Coal Mining and Reclamation (B)		
17.207	Employment Service/Wagner-Peyser Funded Activities (A,B)		
17.225	Unemployment Insurance (A,D)		
17.245	Trade Adjustment Assistance (A)		
17.271	Work Opportunity Tax Credit Program (WOTC) (A)		
17.272	Permanent Labor Certification for Foreign Workers (J)		
17.273	Temporary Labor Certification for Foreign Workers (A,J,O)		
81.122	Electricity Delivery and Energy Reliability, Research, Development and Analysis (B)		
93.354	Public Health Emergency Response: Cooperative Agreement for Emergency Response: Public Health Crisis Response (B)		
96.008	Social Security - Work Incentives Planning and Assistance Program (B)		
96.009	Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (B)		
97.005	State and Local Homeland Security National Training Program (B)		
97.007	Homeland Security Preparedness Technical Assistance Program (B)		
97.009	Cuban/Haitian Entrant Program (B)		
97.025	National Urban Search and Rescue (US&R) Response System (B)		
97.026	Emergency Management Institute Training Assistance (C)		
97.027	Emergency Management Institute (EMI) Independent Study Program (M)		

Appendix III: Sources of Additional Information

Additional information concerning Federal programs and services is available from the sources listed below. The information in this Appendix is in addition to that supplied in Appendix IV (Regional and Local Office Addresses) however; they are not the offices to which an applicant applies for an assistance program. If a potential applicant or information seeker is not certain which agency to contact, there are two types of organizations set up to help: The Federal Information Center Program and the Federal Executive Boards.

FEDERAL CITIZEN INFORMATION CENTER PROGRAM

The Federal Citizen Information Center Program (FCIC) is a focal point for information about the Federal government. The FCIC assists people who have questions about Federal agencies, services, and programs, but do not know where to turn for an answer. FCIC information specialists either answer an inquirer's question directly, or perform the necessary research to locate and refer the inquirer to the expert best able to help. Telephone users may call the FCIC toll-free on (800) 688-9889 workdays between 9 a.m. and 8 p.m., Eastern Time. TTY users may call toll-free to the FCIC from anywhere in the U.S. by dialing (800) 326-2996

FEDERAL EXECUTIVE BOARDS

Federal Executive Boards (FEBs) are composed of heads of Federal field offices in the metropolitan area. The FEBs were established to improve internal Federal management practices, provide a central focus for Federal participation in civic affairs in major metropolitan centers of Federal activity, serve as a means for disseminating information within the Federal government and for promoting discussion of Federal policies and activities of importance to all Federal executives in the field. The FEBs carry out their functions under the supervision of the Office of Personnel Management (OPM). Federal Executive Associations, and Councils, have been locally organized in over 80 other metropolitan areas to perform functions similar to the Federal Executive Boards but on a lesser scale of organization and activity. For further information, contact the Assistant for Field Operations, Office of Personnel Management, Room 5524, 1900 E Street, NW., Washington, DC 20415-0001. Telephone: (202) 6061001.

Albuquerque-Santa Fe, NM
(505) 262-6113

Atlanta, GA
(404) 331-4400

Baltimore, MD
(410) 962-4047

Boston, MA
(617) 565-6769

Buffalo, NY
(716) 551-5655

Chicago, IL
(312) 353-6790

Cincinnati, OH
(513) 684-2102

Cleveland, OH
(216) 433-9460

Dallas-Ft. Worth, TX
(214) 767-0767

Denver, CO
(303) 676-7009

Detroit, MI
(313) 226-3534

Honolulu, HI
(808) 541-2637

Houston, TX
(713) 209-4524

Kansas City, MO
(913) 551-7100

Los Angeles, CA
(562) 980-3445

Miami, FL
(305) 536-4344

New Orleans, LA
(504) 862-2066

New York, NY
(212) 264-1890

Newark, NJ
(973) 645-6217

Oklahoma City, OK
(405) 231-4167

Philadelphia, PA
(215) 597-2766

Pittsburgh, PA
(412) 395-6607

Portland, OR
(503) 326-2060

St. Louis, MO
(314) 539-6312

San Antonio, TX
(210) 229-4520

San Francisco, CA
(510) 637-1103

Seattle, WA
(206) 220-6171

Twin Cities (Minneapolis-St. Paul), MN
(612) 725-3687

CFDA Print Edition

In addition to the downloadable electronic version of the CFDA Print Edition, a printed hard copy may be purchased for a fee through the U.S. Government Bookstore at <https://bookstore.gpo.gov/>.

Appendix III: Sources of Additional Information

REFERENCE SOURCES

The Budget of the United States Government

The Budget of the United States Government sets forth the President's comprehensive financial plan and indicates the President's priorities for the Federal government

Information on programs by function, agency, and account, and detailed budget estimates is located in the Budget. Federal programs listed in the Catalog may be identified by the 11-digit budget account identification code listed in the Budget. These codes also appear in the Financial Information section of each Catalog program. A complete explanation of the identification code is provided in Appendix III of the Catalog (the Budget Functional Code Appendix). The Budget may be purchased from the Government Printing Office in the Washington, DC area and the U.S. Government bookstores listed in this Appendix.

The Code of Federal Regulations

The Code of Federal Regulations (CFR) is a codification of the general and permanent rules published in the Federal Register by executive departments and agencies of the Federal government. It includes all statutory regulations of Federal agencies, and is divided into 50 titles, which represent broad subject areas of Federal regulations (e.g., Housing and Urban Development, Public Health, Public Welfare, or Transportation). Each title is divided into chapters, which usually bear the name of the issuing agency. Each chapter is further subdivided into parts covering specific regulatory areas. The number preceding the CFR citation is the title or volume number. The CFR is kept up-to-date by the individual issues of the Federal Register; these two publications must be used together to determine the latest version of any given rule. Individual volumes of the CFR are revised at least once each calendar year and issued on a staggered quarterly basis. The CFR thus serves as a convenient reference for the citizen desiring a comprehensive source for general and permanent Federal regulations.

A General index to the entire Code of Federal Regulations, the CFR Index, is revised as of July 1 each year. The numerical Finding Aids, a cumulative list of CFR Sections affected is published monthly and is cumulated for 12 months, keyed to the revision dates of the various CFR volumes.

The Code of Federal Regulations is available in Federal depository libraries and most major libraries or Federal bookstores. Individual copies may be purchased from the Government Printing Office.

The Federal Register

The Federal Register, published daily, is the medium for making available to the public Federal agency regulations and other legal documents of the Executive Branch. These documents cover a wide range of government activities. Here, government requirements are published which involve environmental protection, consumer product safety, food and drug standards, occupational health and safety, and many more areas of concern to the public.

The Federal Register includes proposed changes in regulated areas. Each proposed change published carries an invitation for any citizen or group to participate in the consideration of the proposed regulation through the submission of written data, views, or arguments, and sometimes by oral presentations. Through the publication of proposed rules and notices of public meetings, citizens are given the opportunity to be informed about and participate in the workings of their government.

The Federal Register is also a vehicle for the announcement of newly authorized and/or funded programs, notifications of funding availability, (NOFAs), program application deadlines, and other important program information. The Federal Register Index is based on a consolidation of entries appearing in the issues of the Federal Register together with broad references. It is published monthly and is cumulative for 12 months. The Federal Register and the Federal Register Index

are available in Federal depository libraries and most major libraries or Federal bookstores. Additional Federal Register resources include, the Statistical Abstract of the United States; Weekly Compilation of Presidential Documents; The Federal Register: What It Is and How To Use It. All Federal Register publications may be purchased from: Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402.

Information Resources for Legislative Research and Inquiry

Senate Document Room: (202) 224-7701
House Document Room: (202) 225-3456
Government Printing Office Order Desk: (202) 512-1800
Federal Register Inquiry Desk: (202) 523-5215

The following World Wide Web Sites provide valuable additional information:

Senate: <http://www.senate.gov/>
House of Representatives: <http://www.house.gov/>
Library of Congress: <http://thomas.loc.gov/>
Government Printing Office: <http://www.gpo.gov/>
Office of Management and Budget: <http://www.whitehouse.gov/omb/>

Additional resources available from GPO are: House and Senate Calendars (Monday edition only), How Our Laws Are Made, Digest of Public General Bills and Resolutions, United States Government Manual, Monthly Catalog of United States Government Publications. Stock numbers and prices of these publications can be obtained by calling or writing the GPO. To order or inquire about congressional documents from GPO, call: (202) 512-2465. To order or inquire about any other government publications, call the Order Desk: (202) 512-1800.

Appendix IV: Agency Regional and Local Office Addresses

DEPARTMENT OF AGRICULTURE

AGRICULTURAL MARKETING SERVICE

COTTON DIVISION

Tennessee

(Grading, Marketing Services including Market News)

Nationwide: Alabama, Arizona, Arkansas, California, Georgia, Kansas, Louisiana, Mississippi, Missouri, North Carolina, Oklahoma, South Carolina, Tennessee, Texas, Virginia)

J. Jerome Boyd
3275 Appling Road
Memphis, TN 38133
(901) 384-3000

Standardization and Quality Assurance Branch

Don West, Chief
3275 Appling Road
Memphis, TN 38133
(901) 384-3015

DAIRY DIVISION

Illinois

National Field Director, Dairy Grading Section,
Dairy Division
800 Roosevelt Road, Building A, Suite 370
Glen Ellyn, IL 60137
(708) 790-6920

USDA, AMS, Dairy Division, Marketing Division
2811 Agricultural Drive
Madison, WI 53704-6777
(608) 224-5080

FRUIT AND VEGETABLE DIVISION

Alabama

Robert Spann, Federal Supervisor, In-Charge
1557 Reeves Street
For Mail: P.O. Box 1368
Dothan, AL 36302
(334) 792-5185

Arizona

Stephen Skuba, In-Charge
522 North Central Avenue, Room 106
Phoenix, AZ 85004
(602) 379-3066

James E. Nowlin
1688 W. Adams, Room 415
Phoenix, AZ 85007
(602) 542-0880

Jerry W. Taylor, Regional Director
Tucson Federal Building, Box FB30
300 West Congress Street, Room 7
Tucson, AZ 85701-1319
(520) 670-4793

Baltimore/Washington

Nathaniel Taylor IV, In-Charge
USDA, AMS, F&VD
Baltimore-Washington Terminal Market Office

8610 Baltimore-Washington Boulevard, Suite 212
Jessup, MD 20794
(301) 317-4387 and 4587

California

Kurt J. Kimmel, In-Charge
USDA, AMS, MFO
2202 Monterey Street, Suite 102-B
Fresno, CA 93721
(209) 487-5901

Michael V. Morrelli, Federal Supervisor,
In-Charge

1220 N Street, Room A-270
For Mail: P.O. 942871
Sacramento, CA 94271-0001
(916) 654-0810, 13,15

Frederick Teensma, In-Charge
630 Sansome Street, Room 727
San Francisco, CA 94111
(415) 705-1300

Clifton Harada, In-Charge
1320 East Olympic Avenue, Room 212
Los Angeles, CA 90021
(213) 894-2489, 6553

Dale I. Scarborough, Inspector
Inspection Point of Fresno, CA
45-116 Commerce Street, Suite 15
Indio, CA 92201-3440
(619) 347-1057

Yoshiki (Junior) Kagawa, In-Charge
2202 Monterey Street, Suite 102-A
Fresno, CA 93721-3129
(209) 487-5210

Michael Shine, In-Charge
1320 East Olympic Boulevard, Suite 212
Los Angeles, CA 90021-1907
(213) 894-3077

Kevin Morris, In-Charge
2202 Monterey Street, Suite 104-A
Fresno, CA 93721
(209) 487-5178

John Henry, In-Charge
1320 East Olympic Boulevard, Room 212
Los Angeles, CA 90021-1948
(213) 894-3173

Colorado

Tom Gutierrez, In-Charge
Greeley Producers Building
711 "O" Street
Greeley, CO 80631
(970) 351-7097, 351-8256

Ronald D. Nightengale, Federal Supervisor,
In-Charge
2331 West 31st Avenue
Denver, CO 80211
(303) 844-4570
(303) 477-0093

Connecticut

Peter Bucci, Federal Supervisor, In-Charge

Connecticut Regional Market
101 Reserve Road, Room 5
Hartford, CT 06114
(860) 240-3446

Delaware

Clifford W. Hudson, In-Charge
State of Delaware Department of Agriculture
2320 South DuPont Highway
Dover, DE 19901
(302) 736-4811

Eastern Region

Terry B. Bane, Director
800 Roosevelt Road, Building A, Suite 380
Glen Ellyn, IL 60137-5875
(630) 790-6957

Florida

James Dunn, In-Charge
Techniport Building, Room 556
5600 N.W. 36 Street
Miami, FL 33122
(305) 870-9542

Christian Nissen, In-Charge
For mail: P.O. Box 2276
301 3rd Street, N.W., Suite 206
Winterhaven, FL 33881
(941) 299-4770, 4886

Jim Cunningham, In-Charge
Brickell Plaza Building
909 S.E. 1st Avenue, Suite 424
Miami, FL 33131
(305) 373-2955

(Seasonal)

Vacant
775 Warner Lane
Orlando, FL 32803
(407) 897-5950

Ann S. Pinner, In-Charge
98 Third Street, SW
Winter Haven, FL 33880-2909
(941) 294-7416

Clyde Thornhill, In-Charge
6966 NW 36th Avenue
Miami, FL 33147-6506
(305) 835-7626

Georgia

John Kerrens, In-Charge
203 Administration Building
16 Forest Parkway
Forest Park, GA 30050
(404) 763-7297
(404) 361-1376

Larry Ivaska, In-Charge
Administration Building, Room 205
16 Forest Parkway
Forest Park, GA 30050
(404) 366-7522

John Pollard, In-Charge
1555 St. Joseph Avenue

Appendix IV: Agency Regional and Local Office Addresses

East Point, GA 30344-2591
(404) 763-7495

Richard DeMenna, In-Charge
For mail: P.O. Box 1447
Georgia State Farmers Market, Stall 39
502 Smith Avenue, U.S. Highway 84
Thomasville, GA 31799
(912) 228-1208

Hawaii

Warren Maeda, Assistant Federal Supervisor,
In-Charge
1428 South King Street
For mail: P.O. Box 22159
Honolulu, HI 96823-2159
(808) 973-9566

Walter T. Mitsui, Federal Supervisory Inspector
State of Hawaii Department of Agriculture
1428 South King Street
For mail: P.O. Box 22159
Honolulu, HI 96823-2159
(808) 973-9566

Idaho

Scott P. Brubaker, Federal Supervisor, In-Charge
Idaho State Department of Agriculture
2270 Old Penitentiary Road
Boise, ID 83712
(208) 332-8670

Thomas L. Cooper, In-Charge
1820 East 17th Street, Suite 130
Idaho Falls, ID 83404
(208) 526-0166

Illinois

Greg Braun, Regional Director
USDA, AMS, F&V PACA Branch
800 Roosevelt Road, Building A, Suite 360
Glen Ellyn, IL 60137-5832
(630) 790-6929

Steven D. Dailey, In-Charge
J.C. Kluczynski Building
230 South Dearborn Street, Room 512
Chicago, IL 60604
(312) 353-0111

Indiana

Anthony Chartrand, In-Charge
4318 Technology Drive
South Bend, IN 46628-9752
(219) 287-5407

Use the same number for FTS

Richard Barlow, Federal Supervisor, In-Charge
P.O. Box 427
Greenfield, IN 46140-0427
(317) 462-5897

Kentucky

Jesse M. Stockton, Federal Supervisor, In-Charge
No. 1 Produce Terminal
Louisville, KY 40218
(502) 595-4266, 4278

John Crose, Federal Supervisor, In-Charge

U.S. Postal Service Building
701 Loyola Avenue, Room 11036
New Orleans, LA 70113
(504) 589-6741, 6742

Louisiana

Thomas Clominger, USDA Inspector
(Inspection Point of East Point, GA)
Commerce Building, Suite 3
1942 Williams Boulevard
Kenner, LA 70062-6285
(504) 466-0343

Maine

Wallace Fengler, In-Charge
165 Lancaster Street
Portland, ME 04101-2499
(207) 772-1588

Ed Margeson, Federal Supervisor, In-Charge
For mail: P.O. Box 1058
744 Main Street, Suite 4
Presque Isle, ME 04769
(207) 764-2100

Maryland

Holly R. Mozal, In-Charge
Maryland Wholesale Produce Market
Building B, Room 101
7460 Conowingo Avenue
Jessup, MD 20794
Washington only can call (301) 621-1261
(410) 799-4840, 4841

Norman Upton, In-Charge
Hunt Valley Professional Building
9 Schilling Road
Hunt Valley, MD 21031-1106
FTS (410) 962-4946

William V. Kaier, Inspector
(Inspector Point of Hunt Valley, MD)
102 Maryland Avenue
Easton, MD 21601-3409
(410) 822-3383

Massachusetts

James Calnan, In-Charge
Boston Market Terminal
34 Market Street, Room 10
Everett, MA 02149
(617) 387-4498, 4615, 4681

Susan Taylor, Federal Supervisor, In-Charge
Boston Market Terminal Building
34 Market Street, Room 1
Everett, MA 02149
(617) 389-2480, 2481

Michigan

Charles W. Hackensmith II, In-Charge
For mail: P.O. Box 1204
Federal Building
175 Territorial Road, Room 201
Benton Harbor, MI 49023
(616) 925-3270, 3271

Gary Reij, USDA Inspector

(Inspection Point of South Bend, IN)
c/o Vroom Cold Storage
Russell Road
Hart, MI 49420-0113
(616) 873-5654

Michael W. Moore, Federal Supervisor
90 Detroit Union Produce Terminal
7201 West Fort Street
Detroit, MI 48209
(313) 226-6059, 6225

Michael Rann, In-Charge
Union Produce Terminal
7201 West Fort Street, Room 53
Detroit, MI 48209
(313) 841-1111

Minnesota

Gregory Stevens, USDA Inspector
(Inspection Point of Ripon, WI)
2126 Hoffman Road
Mankato, MN 56001-5863
(507) 387-6101

Mark Inverson, Federal Supervisor, In-Charge
90 West Plato Boulevard
St. Paul, MN 55107
(612) 296-8557, 0593

Missouri

Francis Allard, Federal Supervisor, In-Charge
Fresh Fruit & Vegetable Division
Ohio Dept. of Agriculture
Division of Food, Dairy & Drugs
8995 East Main Street, Bldg. 2
Reynoldsburg, OH 43068
(614) 728-6350

Randall T. Edwards, In-Charge
3716 Croton Avenue
Cleveland, OH 44115
(216) 522-2135

Larry Wenger, In-Charge
Unit 1 Produce Row, 1st Floor, Room 100
St. Louis, MO 63102
(314) 425-4514, 4515

Charles M. Gore, In-Charge
Unit 1, Produce Row, Room 101
St. Louis, MO 63102-1418
(314) 425-4520

Arne Stokke, In-Charge
Gumble Building, Room 502
801 Walnut Street
Kansas City, MO 64106
(816) 374-6273

New Jersey

Tom Robertson, In-Charge
Federal Building
970 Broad Street, Room 1430
Newark, NJ 07102
(201) 645-2636

Vacant
Park Plaza, Professional Building, Suite 304
622 Georges Road

Appendix IV: Agency Regional and Local Office Addresses

North Brunswick, NJ 08902-3313
(908) 545-0939

Use the same number for FTS

Michiko F. Shaw, Regional Director
PACA Branch
USDA, AMS, F&V, Division
622 Georges Road, Suite 303
North Brunswick, NJ 08902-3303
(908) 846-8222

New York

C. Michael Wells, In-Charge
465B Hunts Point Market
Bronx, NY 10474
(718) 991-7665, 7669

Bruce Copeland
(Inspection Point of North Brunswick, NJ)
Genesee Valley Regional Market
900 Jefferson Road, Room 110
Rochester, NY 14623-3289
(716) 424-2092, 2096

Philip H. Montgomery, In-Charge
5A NYC Terminal Market
Halleck Street at Edgewater Road
Bronx, NY 10474-7355
(718) 542-2225

Paul Beattie, Federal Supervisor, In-Charge
Division of Food Safety & Inspection Service
Department of Agriculture
Capital Plaza, 1 Winners Circle
Building No. 2, Second Floor
Albany, NY 12235
(518) 457-1211, 457-2090, 457-1982

Oklahoma

James W. Goodson, In-Charge
2800 North Lincoln Boulevard
Oklahoma City, OK 73105
(405) 521-3864

(Inspection Point of Weslaco, TX)
USDA Inspector
716 South 2nd Street, Suite 106
Stilwell, OK 74960-4806
(918) 696-6333

Use the same number for FTS

Oregon

Gary Olson, In-Charge
1220 S.W. 3rd Avenue, Room 369
Portland, OR 97204
(503) 326-2724, 2725

Jack Whitt, In-Charge
340 High Street, NE
Salem, OR 97301-3631
(503) 399-5761

Gary Sheltor, USDA Inspector
(Inspection Point of Yakima, WA)
111 South Main Street
Milton-Freewater, OR 97862-1342
(541) 938-3251

Dick C. Harms, Federal Supervisor, In-Charge
635 Capitol Street, NE

Salem, OR 97310-0110
(503) 986-4629

Pennsylvania

James Prady, In-Charge
Pittsburgh Produce Terminal Building, Room 206
2100 Smallman Street
Pittsburgh, PA 15222
(412) 261-6435

Thomas Yawman, Federal Supervisor, In-Charge
2301 North Cameron Street, Room 112
Harrisburg, PA 17110
(717) 787-5107, 5108

Dennis Jemmerson, In-Charge
2100 Smallman Street, Room 207
Pittsburgh, PA 15222
(412) 644-5847

Michael Cramer, In-Charge
Room 261
3301 South Galloway Street
Philadelphia, PA 19148
(215) 597-4536

Armia Lawandy, In-Charge
210 Produce Building
3301 South Galloway Street
Philadelphia, PA 19148
(215) 336-0845, 0846

Puerto Rico

Luis Aponte, In-Charge
Federal State Inspection Service
GSA Center
651 Federal Drive, Suite 103-05
Guaynabo, PR 00965-1030
(809) 783-2230, 4116

Luis Aponte, Federal Supervisor, In-Charge
Federal-State Inspection, GSA Service Center
651 Federal Drive, Suite 103-05
Guaynabo, PR 00965
(787) 783-2230, 4116

Tennessee

Jerry L. Cook, In-Charge
3211 Alcoa Highway
Knoxville, TN 37920
(423) 577-2633

Michael W. Golightly, Federal Supervisor,
In-Charge
For mail: P.O. Box 40627
Melrose Station
Nashville, TN 37204
(615) 360-0169

Texas

Belinda G. Garza, In-Charge
McAllen Marketing Field Office
Fruit & Vegetable Division
Agricultural Marketing Services, USDA
1313 East Hackberry
McAllen, TX 78501
(956) 682-2833

Desiree Shaw, In-Charge

1406 Parker Street, Room 201
Dallas, TX 75215
(214) 767-5375, 5376 and 5377

Byron E. White, Regional Director
PACA Branch
USDA, AMS, F&U
1200 E. Copeland Road, Suite 404
Arlington, TX 76011-4938
(817) 885-7805

Calvin Harvey, In-Charge
8001 E.N. Mesa, Suite 303
El Paso, TX 79932
(505) 589-3753

Ken Edwards, In-Charge
3100 Produce Row, Room 1A
Houston, TX 77023
(713) 923-2557, 2558

(Inspection Point of Weslaco, TX)
Alfonso T. Briones, USDA Inspector
319 Market Street
Laredo, TX 78040-8529
(210) 726-2258

Timothy J. Peppel, In-Charge
1406 Parker Street, Suite 203
Dallas, TX 75215
(214) 767-5337, 5338

Daniel Frey, Inspector
2320 La Branch Street
Federal Building, Room 1011
Houston, TX 77004-1036
(713) 659-3836

D.C. Benavides, Jr., In-Charge
Administration Building, Room 244
1500 South Zarzamora Street
San Antonio, TX 78207
(210) 222-2751

Dwain Parrish, In-Charge
117 So. Westgate
Weslaco, TX 78596-2701
(210) 968-2772 or 2126

Gary Verheek, Federal Supervisor, In-Charge
1301 West Expressway
PO Box 107
San Juan, TX 78589
(210) 787-4091, 6881

Utah

State Supervisor
350 North Redwood Road
Room 217
Salt Lake City, UT 84116
(801) 538-7187

Virginia

Vacant
8700 Centerville Road, Suite 206
Manassas, VA 22110
(703) 330-4455

Raymond Oliver, In-Charge
No. 1 North 14th Street, Room 332
Richmond, VA 23219-3691

Appendix IV: Agency Regional and Local Office Addresses

(804) 786-0930

Washington

Jeffrey Main, In-Charge
Agricultural Service Center
2015 South 1st Street, Room 4
Yakima, WA 98903
(509) 575-2492, 2493

Peter Echanove, In-Charge
Interwest Savings Bank
15111 8th Avenue, S.W., Suite 302
P.O. Box 48099
Seattle, WA 98148-0099
(206) 764-3804, 3753

Dale Guyant, Federal Supervisor, In-Charge
For Mail: P.O. Box 42560
National Resources Building, 2nd Floor
1111 Washington Street
Olympia, WA 98504-2560
(360) 902-1831

Use the same number for FTS

Frank V. Warren, In-Charge
32 North 3rd Street, Room 212
Yakima, WA 98901-2791
(509) 575-5869

Western Region

Romeo V. Villaluz, Regional Director
2202 Monterey Street, Suite 102-C
Fresno, CA 93721-3175
(209) 487-5891

Wisconsin

Milborn Beaty, In-Charge
742 East Fond du Lac Street
Ripon, WI 54971-9555
(414) 748-2287

LIVESTOCK DIVISION

Alabama

F. David Gonsoulin
P.O. Box 3336
1445 Federal Drive, Room 107
Montgomery, AL 36109-0336
(334) 223-7488

Arizona

Donald W. Perkins
Stockyards Building
5001 East Washington Street, Room 102
Phoenix, AZ 85034-2010
(602) 379-4376

Arkansas

Steve R. Cheney
P.O. Box 391
2301 S. University, Room 110-B
Little Rock, AR 72203-3910
(501) 671-2203

Colorado

Keith L. Padgett
711 "O" Street
Greeley, CO 80631-9540

(970) 353-9750

Dale Krows
400 Livestock Exchange Building
Denver, CO 80216-2139
(303) 294-7676

Florida

Ronald Carpenter
775 Warner Lane
Orlando, FL 32803
(407) 897-2708

Georgia

Terry Harris
Georgia State Farmers Market
P.O. Box 86
502 Smith Avenue, Stall 38
Thomasville, GA 31792-0086
(912) 226-2198

Illinois

Richard Johnson
800 Roosevelt Road
Building A, Suite 330
Glen Ellyn, IL 60137-5832
(708) 790-6905

James Epstein
Illinois Department of Agriculture
Division of Marketing
State Fairgrounds, Box 19281
Springfield, IL 62794-9281
(217) 782-4925

Iowa

Michael Sheats
210 Walnut Street, Room 767
Des Moines, IA 50309-2106
(515) 284-4460

Use the same number for FTS

C. Thomas Sandau
P.O. Box 2437
800 Cunningham Drive, Room 225
Sioux City, IA 51107-2437
(712) 252-3286

Richard Jones
210 Walnut Street, Room 575-A
Des Moines, IA 50309-2106
(515) 284-7166

Kansas

R. Gary Mills
100 Military Avenue, Suite 217
Dodge City, KS 67801-4945
(316) 227-8881

Kentucky

Jack L. Colley
1321 Story Avenue
Louisville, KY 40206-1884
(502) 582-5287

Louisiana

David H. Foster
P.O. Box 3334, Capitol Station

5825 Florida Boulevard
Baton Rouge, LA 70821-3334
(504) 922-1328

Minnesota

Robert Brommer
New Livestock Exchange Building, Suite 208
South St. Paul, MN 55075-5598
(612) 451-1565

Missouri

Phil B. McFall
601 Illinois Avenue, Room 210
St. Joseph, MO 64504-1396
(816) 238-0678

Montana

Russ Travelute
P.O. Box 1191
Public Auction Yards Building
112 South 18th & Minnesota Avenue, Room 206
Billings, MT 59103-1191
(406) 657-6285

Nebraska

Gary R. Kinder
213 Livestock Exchange Building
29th & "O" Street
Omaha, NE 68107-2603
(402) 731-4520

Evan Stachowicz
204 Livestock Exchange Building
29th & O Streets
Omaha, NE 68107-2603
(402) 733-4833

Oklahoma

Robert P. Miles
Livestock Exchange Building, Room 140
2501 Exchange Avenue
Oklahoma City, OK 73108-2477
(405) 232-5425

Oregon

Lowell C. Serfling
1220 S.W., 3rd Avenue, Room 1772
Portland, OR 97204-2899
(503) 326-2237

Pennsylvania

James L. Anderson
c/o New Holland Sales Stables
101 W. Fulton Street, P.O. Box 155
New Holland, PA 17557
(717) 354-2391

South Carolina

Daniel Schussler
P.O. Box 13405
Youngblood Building
1001 Bluff Road
Columbia, SC 29201-3405
(803) 737-4491

South Dakota

Charles E. McIntyre

Appendix IV: Agency Regional and Local Office Addresses

803 East Rice Street, Room 103
Sioux Falls, SD 57103-0193
(605) 338-4061

Tennessee

Lewis Langel
P.O. Box 40627
Melrose Station, Ellington Agriculture Center,
Hogan Road
Nashville, TN 37204-0627
(615) 781-5406

Texas

Cecil R. Rains
P.O. Box 30217
Livestock Exchange Building
101 South Manhattan Street
Amarillo, TX 79104
(806) 373-7111

Rebecca Saunder
Producer's Livestock Auction Building
P.O. Box 30160
San Angelo, TX 76903-0160
(915) 653-1778

Kenneth Gladney
Livestock Exchange Building
P.O. Box 30217
101 South Manhattan Street, 1st Floor
Amarillo, TX 79104-0217
(806) 372-6361

John Langenegger
2507 N. Telshor Boulevard, Suite 4
Las Cruces, NM 88001
(505) 521-4928

Washington

Vern Larson
988 Juniper Street
Moses Lake, WA 98837-2250
(509) 765-3611

Wyoming

Ray Leach
1834 East A Street
Torrington, WY 82240-1813
(307) 532-4146

POULTRY DIVISION

Arkansas

(East Midwest Region: Alabama, Arkansas,
Louisiana, Mississippi, Oklahoma, Tennessee,
Texas)

Larry Poldrack
1 Natural Resources Drive, Room 110
P. O. Box 8521
Little Rock, AR 72215-8521
(501) 324-5955

California

(Western Region: Alaska, Arizona, California,
Colorado, Hawaii, Idaho, Montana, New Mexico,
Nevada, Oregon, Washington, Utah, Wyoming)
Gerald Brockman
2909 Coffee Road, Suite 4

Modesto, CA 95355-3188
(209) 522-5251

James Derby
5600 Rickenbacker Road
Building 6 Section E
Bell, CA 90201-6418
(213) 269-4154
(213) 260-4676 (Provides recorded messages 24
hrs)

Connecticut

Patricia Bussy, Administrative Assistant
Connecticut Department of Agriculture
Marketing Division, 165 Capital Avenue
State Office Building, Room 263
Hartford, CT 06106-1688
(860) 566-3671

District of Columbia

AMS, PY Division
USDA National Poultry Supervisor/National Egg
Supervisor
Richard Parsons/David Bowden, Jr.
South Building, Room 3960
P.O. Box 96456
Washington, DC 20090-6456
(202)720-6911
Use the same number for FTS

Georgia

Johnny Freeman
60 Forsyth Street, S.W., Room 6M80
Atlanta, GA 30303
FTS (404) 562-5830, 5856

Iowa

Mary Adkins
210 Walnut Street, Room 951
Des Moines, IA 50309-2103
(515) 284-4545 (Provides recorded messages 24
hrs. a day)

(West-Midwest Region: Illinois, Iowa, Kansas,
Minnesota, Indiana, Kentucky, Missouri,
Nebraska, North Dakota, Michigan, Ohio, South
Dakota, Wisconsin)

Jerry Mason
Room 777, Federal Building
210 Walnut Street
Des Moines, IA 50309-2100
(515) 284-4581

Louisiana

Dave Foster, Director
Louisiana Department of Agriculture
P.O. Box 3334, H.J. Wilson Building
Baton Rouge, LA 70821-3334
(504) 922-1328

Mississippi

Gary Brown
352 East Woodrow Wilson
P. O. Box 4629
Jackson, MS 39296-4629
(601) 965-4662

North Carolina

Spurgeon Hyder, Manager
North Carolina Department of Agriculture
P.O. Box 27647
State Agriculture Building, Room 402
2 West Edenton Street
Raleigh, NC 27611-7647
(919) 733-7252

(Eastern Region: Connecticut, Delaware, District
of Columbia, Florida, Georgia, Maine, Maryland,
Massachusetts, New Hampshire, New Jersey, New
York, North Carolina, Pennsylvania, Puerto Rico,
Rhode Island, South Carolina, Vermont, Virgin
Islands, Virginia, West Virginia)
Douglas N. Lecher
635 Cox Road, Suite G
Gastonia, NC 28054-3441
(704) 867-3871

Texas

Neal Alexander, Director of Market Information
Texas Department of Agriculture
P.O. Box 12847, Capitol Station
1700 North Congress Avenue
Austin, TX 78711-2847
(512) 463-7628
1-800-252-3407 (Watts toll free within State)

Virginia

Linda M. Kelley, Reporter
Virginia Department of Agriculture and Consumer
Services, Market News
116 Reservoir Street
Harrisonburg, VA 22801-4232
(540) 434-0779

SCIENCE DIVISION

Alabama

Bobby L. Joyner, Supervisory Chemist
Aflatoxin Laboratories
3119 Wesley Way
Dothan, AL 36301-2020
(334) 794-5070

Melvin C. Ginn, Laboratory Supervisor
For mail: P.O. Box 1368
1557 Reeves Street
Dothan, AL 36302
(334) 792-5185

Florida

James Carson, Supervisory Chemist
Eastern Laboratories
98 Third Street, S.W., Suite 211
Winter Haven, FL 33880-2909
(941) 299-7958

Georgia

Thomas E. Parris, Laboratory Supervisor
1211 Schley Avenue
Albany, GA 31707
(912) 430-8490

Lorine Lewis, Laboratory Supervisor
For mail: P.O. Box 488

Appendix IV: Agency Regional and Local Office Addresses

Ashburn, GA 31714
(912) 567-3703

Walter K. Wills, Jr., Laboratory Supervisor
For mail: P.O. Box 272
Dawson, GA 31742
(912) 995-7257

Donald Johnson, Laboratory Supervisor
610 North Main Street
Blakely, GA 31723
(912) 723-4570

Illinois

Dr. Fred Pepper, Laboratory Director
Midwestern Laboratory
3570 No. Avondale Avenue
Chicago, IL 60618-5391
(312) 353-6525

North Carolina

Michael S. Lyons, Laboratory Supervisor
For mail: P.O. Box 279
301 West Pearl Street
Aulander, NC 27805
(919)345-1661, Ext 156

James G. Hess, Laboratory Director
Eastern Laboratory
2311-B Aberdeen Boulevard
Gastonia, NC 28054-0614
(704) 867-3873

Laboratory Address
645 Cox Road
Gastonia, NC 28054-0614
(704) 867-1882

Oklahoma

John Mangham, Laboratory Supervisor
107 South 4th Street
Madill, OK 73446
(405) 795-5615

Virginia

Bonnie Poli, Branch Chief
Pesticide Records Branch
8700 Centreville Road, Suite 200
Manassas, VA 22110-0031
(703) 330-7826

Virginia L. Meeks, Laboratory Supervisor
For mail: P.O. Box 1130
308 Culloden Street
Suffolk, VA 23434
(757) 925-2286

Robert L. Epstein, Acting Branch Chief
Residue Branch
8700 Centreville Road, Suite 200
Manassas, VA 22110-0031
(703) 330-2300

TOBACCO DIVISION

Kentucky

Everette B. Mace
(Lexington Region: Indiana, Kentucky, Maryland,
Missouri, North Carolina, Ohio, Tennessee,
Virginia, West Virginia (burley), and Connecticut,

Massachusetts, Pennsylvania, Wisconsin cigar
areas)
771 Corporate Drive, Suite 500
Lexington, KY 40503
(606) 224-1088

North Carolina

Ralph W. Lowery
(Raleigh Region: Alabama, Florida, Georgia,
North Carolina, South Carolina, Virginia
(Flue-cured, Tobacco and Naval Stores Inspection)
1306 Annapolis Drive, Room 205
Raleigh, NC 27608-0001
(919) 856-4584

AGRICULTURAL RESEARCH SERVICE

Beltsville Area

Procurement Assistance Officer
Building 003, Room 203, BARC-West
Beltsville, MD 20705
FTS (301) 504-7019

Midsouth Area

Area Budget and Fiscal Officer
Delta States Research Center
P.O. Box 225
Stoneville, MS 38776
FTS (601) 686-5345

Midwest Area

Area Budget and Fiscal Officer
Northern Regional Research Center
1815 North University Street
Peoria, IL 61604
FTS (700) 360-4618

North Atlantic Area

Procurement Assistance Officer
Eastern Regional Research Center
600 E. Mermaid Lane
Philadelphia, PA 19118
FTS (215) 233-6551

Northern Plains Area

Procurement Assistance Officer
1201 Oakridge Drive, Suite 150
Fort Collins, CO 80525-5526
FTS (303) 229-5513

Pacific West Area

Procurement Assistance Officer
Western Regional Research Center
800 Buchanan Street
Albany, CA 94710
FTS (510) 559-6016

South Atlantic Area

Area Administrative Officer
Richard B. Russell Research Center
College Station Road
P.O. Box 5677
Athens, GA 30604-5677
FTS (706) 546-3532

Southern Plains Area

Procurement Assistance Officer
7607 Eastmark Drive, Suite 230
College Station, TX 77840
FTS (979) 960-9444

Virginia

Headquarters, Extramural Agreements Division
Director
5601 Sunnyside Avenue, Room 3-2172C
Mail Stop 5110
Beltsville, MD 20705-5110
(301) 504-1147

ANIMAL AND PLANT HEALTH INSPECTION SERVICE

REGIONAL OFFICES

Central Region

(Texas, Oklahoma, Louisiana, Arkansas, Missouri,
Kansas, Iowa, Nebraska, North Dakota, South
Dakota)
Vacant
3505 Boca Chica Boulevard, Suite 360
Brownsville, TX 78521-4065
(956) 504-4150

(Arkansas, Iowa, Kansas, Louisiana, Missouri,
Nebraska, North Dakota, Oklahoma, South
Dakota, Texas)

Dr. R. Harrington, Jr., Regional Director
100 West Pioneer Parkway, Suite 100
Arlington, TX 76010
(817) 276-2201

Eastern Region

(Alabama, Arkansas, Connecticut, Delaware,
District of Columbia, Florida, Georgia, Illinois,
Indiana, Iowa, Louisiana, Kentucky, Maine,
Maryland, Massachusetts, Minnesota, Michigan,
Mississippi, Missouri, New Hampshire, New
Jersey, New York, North Carolina, Ohio,
Pennsylvania, Puerto Rico, Rhode Island, South
Carolina, Tennessee, Vermont, Virginia, Virgin
Islands, West Virginia, Wisconsin)
Gary E. Larson, Regional Director
3322 West End Avenue, Suite 301
Nashville, TN 37203
(615) 736-2007

(Connecticut, Maine, Massachusetts, New
Hampshire, New Jersey, Rhode Island, Vermont,
New York, Delaware, Pennsylvania, Wisconsin,
Minnesota, Illinois, Indiana, Ohio, Michigan,
District of Columbia, Maryland, Virginia, West
Virginia)

Jerry L. Fowler, Regional Director
Blason II, Second Floor
505 South Lenola Road
Moorestown, NJ 08057-1549
(609) 968-4970

Northern Region

Illinois, Indiana, Maryland, Massachusetts,
Michigan, Minnesota, New Jersey, New York,
Ohio, Pennsylvania, Virginia, West Virginia,
Wisconsin)

Appendix IV: Agency Regional and Local Office Addresses

Thomas Holt, Acting Regional Director
1 Winner's Circle, Suite 100
Albany, NY 12205
(518) 453-0103

Southeastern Region

(Florida, Georgia, Alabama, Kentucky, Mississippi, Tennessee, North Carolina, South Carolina, Puerto Rico, and Virgin Islands)
Jerry L. Fowler, Regional Director
3505 25th Avenue
Building 1
Gulfport, MS 39501
(601) 863-1813

Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee)
Harold McCoy, Assistant Regional Director
500 E. Zack Street, Suite 410
Tampa, FL 33602-3945
(813) 228-2952

Western Region

(Arizona, Alaska, California, Colorado, Hawaii, Idaho, Montana, Nevada, New Mexico, Oregon, Utah, Washington, Wyoming)
W.W. Buisch, Acting Regional Director
384 Inverness Drive South, Suite 150
Englewood, CO 80112
(303) 784-6202

(Alaska, Arizona, California, Colorado, Guam, Hawaii, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming)

Michael Worthen, Regional Director
12345 West Alameda Parkway, Suite 204
Lakewood, CO 80228
(303) 969-6560

(Hawaii, Washington, Oregon, California, Alaska, Arizona, Colorado, Guam, Idaho, Montana, Nevada, New Mexico, Utah, Wyoming)
James R. Reynolds, Regional Director
9580 Micron Avenue, Suite 1
Sacramento, CA 95827
(916) 857-6065

National Wildlife Research Center
Richard Curnow
1201 Oakridge Drive
Ft. Collins, CO 80525
(970) 223-1588

FARM SERVICE AGENCY

SERVICE CENTER

Alabama

Daniel Robinson
Alabama State FSA Office
For letter mail: P.O. Box 235013
4121 Carmichael Road, Suite 600
Montgomery, AL 36106-5013
(334) 279-3500

Alaska

Karen Olson
Alaska State FSA Office
800 West Evergreen, Suite 216
Palmer, AK 99645-6389
(907) 745-7982

Arizona

George Arrendondo
Arizona State FSA Office
77 East Thomas Road, Suite 240
Phoenix, AZ 85012-3318
(602) 640-5200

Arkansas

Mike Dunaway
Arkansas State FSA Office
Federal Building, Room 5416
700 West Capitol Avenue
Little Rock, AR 72201-3225
(501) 301-3000

California

Valente Dolcini, Acting
California State FSA Office
430 G Street, Suite 4161
Davis, CA 95616-4161
(530) 792-5538

Colorado

Robert Eisenach
Colorado State FSA Office
655 Parfet Street
Suite E 305
Lakewood, CO 80215-5517
(303) 236-2866

Connecticut

Harvey Polinsky
Connecticut State FSA Office
88 Day Hill Road
Windsor, CT 06095
(860) 285-8483

Delaware

William Donald Clifton II
Delaware State FSA Office
1201 College Park Drive, Suite 101
Dover, DE 19904-8713
(302) 678-2547

Florida

Kevin L. Kelley
Florida State FSA Office
440 N.W. 25th Place, Suite 1
Gainesville, FL 32606
(352) 379-4500

Georgia

Hanson Carter
Georgia State FSA Office
For letter mail: P.O. Box 1907
Federal Building, Room 102
355 East Hancock Avenue
Athens, GA 30603-1907
(706) 546-2266

Hawaii

Jo-Anna Nakata
Hawaii State FSA Office
300 Ala Moana Boulevard, Room 5106
For letter mail: P.O. Box 50008
Honolulu, HI 96850
(808) 541-2644

Idaho

Richard R. Rush
Idaho State FSA Office
9173 W. Barners, Suite B
Boise, ID 83705-1511
(208) 378-5650

Illinois

Stephen Scates
Illinois State FSA Office
For letter mail: P.O. Box 19273
3500 W Avenue
Springfield, IL 62794-9273
(217) 241-6600

Indiana

Robert D. Peacock
Indiana State FSA Office
5981 Lakeside Boulevard
Indianapolis, IN 46278
(317) 290-3030, Ext. 317

Iowa

Robert Soukup
Iowa State FSA Office
10500 Buena Vista Court
Des Moines, IA 50322
(515) 254-1540, Ext. 600

Kansas

Adrian J. Polansky
Kansas State FSA Office
3600 Anderson Avenue
Manhattan, KS 66502-2511
(785) 539-3531

Kentucky

Hampton (Hoppy) Henton
Kentucky State FSA Office
771 Corporate Drive, Suite 100
Lexington, KY 40503-5478
(606) 224-7601

Louisiana

Willie F. Cooper
Louisiana State FSA Office
3737 Government Street
Alexandria, LA 71302-3395
(318) 473-7721

Maine

G. Arnold Roach
Maine State FSA Office
444 Stillwater Avenue, Suite 1
P.O. Box 406
Bangor, ME 04402-0406
(207) 990-9140

Appendix IV: Agency Regional and Local Office Addresses

Maryland

James M. Voss
Maryland State FSA Office
River Center
8335 Guilford Road, Suite E
Columbia, MD 21046
(410) 381-4550

Massachusetts

Charles A. Costa
Massachusetts State FSA Office
445 West Street
Amherst, MA 01002-2957
(413) 256-0232
Use the same number for FTS

Michigan

Chris White
Michigan State FSA Office
3001 Coolidge Road, Suite 100
East Lansing, MI 48823-6321
(517) 337-6659, Ext. 1201

Minnesota

Linda Hennen, Acting
Minnesota State FSA Office
400 Farm Credit Service Building
375 Jackson Street
St. Paul, MN 55101-1852
(612) 602-7700

Mississippi

David Warrington
Mississippi State FSA Office
P.O. Box 14995
6310 I-55 North
Jackson, MS 39211
(601) 965-4300

Missouri

Brad Epperson
Missouri State FSA Office
601 Business Loop 70 West
Suite 225, Parkade Plaza
Columbia, MO 65203
(573) 876-0925

Montana

Bruce E. Nelson
Montana State FSA Office
For letter mail: P.O. Box 670
10 East Babcock Street, Room 557
Bozeman, MT 59715
(406) 587-6872

Nebraska

Mark Bowen
Nebraska State FSA Office
For letter mail: P.O. Box 57975
7131 A Street
Lincoln, NE 68510-7975
(402) 437-5581

Nevada

Wendell K. Newman

Nevada State FSA Office
1755 East Plumb Lane, Suite 202
Reno, NV 89502-3207
(775) 784-5411

New Hampshire

James McConaha
USDA-New Hampshire State FSA Office
22 Bridge Street, 4th Floor
P.O. Box 1388
Concord, NH 03302-1338
(603) 224-7941

New Jersey

Debbie Borie Holtz
New Jersey State FSA Office
Mastoris Professional Plaza
163 Route 130
Building 2, Suite E
Bordentown, NJ 08505-2249
(609) 298-3446
Use the same number for FTS

New Mexico

Larry Burnett
New Mexico State FSA Office
6200 Jefferson Street, N.E.
Albuquerque, NM 87109
(505) 761-4900

New York

Marc A. Smith
New York State FSA Office
441 South Salina Street, Suite 356, 5th Floor
Syracuse, NY 13202-2455
(315) 477-6303

North Carolina

Phillip Farland
North Carolina State FSA Office
4407 Bland Road, Suite 175
Raleigh, NC 27609-6296
(919) 875-4800

North Dakota

Scott Stofferahn
North Dakota State FSA Office
1025 28th Street, SW
For letter mail:
P. O. Box 3046
Fargo, ND 58108
(701) 239-5205

Ohio

Steve Maurer
Ohio State FSA Office
Federal Building, Room 540
200 North High Street
Columbus, OH 43215
(614) 469-6735

Oklahoma

Terry L. Peach
Oklahoma State FSA Office
100 USDA, Suite 102
Farm Road & McFarland Street

Stillwater, OK 74074-2653
(405) 742-1130

Oregon

Jack L. Sainsbury
Oregon State FSA Office
7620 SW Mohawk
For Letter Mail: P.O. Box 1300
Tualatin, OR 97062-8121
(503) 692-6830

Pennsylvania

William H. Baumgartner
Pennsylvania State FSA Office
One Credit Union Place, Suite 320
Harrisburg, PA 17110-2994
(717) 237-2113

Puerto Rico

Heriberto J. Martinez
P.O. Box 11188
Fernandez Junzos Station
Suite 309, Cobian's Plaza
1607 Ponce DeLeon Avenue
Santurce, PR 00909-0001
(809) 729-6872

Rhode Island

Paul E. Brule
Rhode Island State FSA Office
60 Quaker Lane
West Bay Office Complex, Room 40
Warwick, RI 02886-0111
(401) 828-8232

South Carolina

Laurie C. Lawson
South Carolina State FSA Office
1927 Thurmond Mall, Suite 100
Columbia, SC 29201-2375
(803) 806-3830

South Dakota

Michael O'Connor
South Dakota State FSA Office
200 Fourth Street, S.W., Room 308
Federal Building
Huron, SD 57350-2478
(605) 352-1160

Tennessee

David McDole
Tennessee State FSA Office
579 U.S. Courthouse
801 Broadway
Nashville, TN 37203-3816
(615) 736-5555

Texas

Wayland Shurley, Acting
Texas State FSA Office
Commerce National Bank Building, 2nd Floor
2405 Texas Avenue South, 77840
For letter mail: P.O. Box 2900
College Station, TX 77841-0001
(409) 260-9207

Appendix IV: Agency Regional and Local Office Addresses

Utah

James L. Humlicek
Utah State FSA Office
125 South State Street, Room 4239
For letter mail: P.O. Box 11350
Salt Lake City, UT 84147-0350
(801) 524-5013

Vermont

Ronald Allbee, Acting
Vermont State FSA Office
Executive Square Office Building
346 Shelburne Street
Burlington, VT 05401-4995
(802) 658-2803

Virginia

Donald Davis
Virginia State FSA Office
Culpeper Building, Suite 138
1606 Santa Rosa Road
Richmond, VA 23229
(804) 287-1500

Washington

Larry R. Albin
Washington State FSA Office
Rock Pointe Tower, Suite 568
316 West Boone Avenue
Spokane, WA 99201-2350
(509) 323-3000

West Virginia

Billy B. Burke
West Virginia State FSA Office
New Federal Building
75 High Street, Room 239
For letter mail: P. O. Box 1049
Morgantown, WV 26507-1049
(304) 291-4351

Wisconsin

Douglas J. Caruso
Wisconsin State FSA Office
6515 Watts Road, Room 100
Madison, WI 53719-2797
(608) 276-8732, Ext. 100

Wyoming

Carl Jensen
Wyoming State FSA Office
951 Werner Court, Suite 130
Casper, WY 82601-1307
(307) 261-5231

FOOD AND NUTRITION SERVICE

REGIONAL OFFICES

California

(Western: Alaska, American Samoa, Arizona, California, Commonwealth of the Northern Marianas, Guam, Hawaii, Idaho, Nevada, Oregon, Washington, Freely Associated States of the Pacific)
Dick Montoya

550 Kearny Street, Room 400
San Francisco, CA 94108
(415) 705-1310
Use the same number for FTS

Colorado

(Mountain Plains: Colorado, Iowa, Kansas, Missouri, Montana Nebraska, North Dakota, South Dakota, Utah, Wyoming)
Craig Forman
1244 Speer Boulevard, Suite 903
Denver, CO 80204
(303) 844-0300
Use the same number for FTS

Georgia

(Southeast: Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
Jerry Redding
First Floor, Martin Luther King, Jr. Federal Annex
77 Forsythe Street, S.W., Suite 112
Atlanta, GA 30303
(404) 730-2565
Use the same number for FTS

Illinois

(Midwest: Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
Lawrence Rudmann
77 West Jackson Boulevard, 20th Floor
Chicago, IL 60604-3507
(312) 353-6664
Use the same number for FTS

Massachusetts

(Northeast: Connecticut, Maine, Massachusetts, New Hampshire, New York, Rhode Island, Vermont)
Charles DeJuliis
10 Causeway Street, Room 501
Boston, MA 02222-1068
(617) 565-6370
Use the same number for FTS

New Jersey

(Mid-Atlantic: Delaware, District of Columbia, Maryland, New Jersey, Pennsylvania, Puerto Rico, Virgin Islands, Virginia, West Virginia)
Walt Haake
Mercer Corporate Park
Corporate Boulevard
CN 02150
Trenton, NJ 08650
(609) 259-5025
Use the same number for FTS

Texas

(Southwest: Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
Judy Snow
1100 Commerce Street, Room 5-C-30
Dallas, TX 75242
(214) 767-0222
Use the same number for FTS

FOOD SAFETY AND INSPECTION SERVICE

REGIONAL OFFICES

Arkansas

(District 35: Arkansas, Kansas, Missouri)
Mr. Paul Kiecker, District Manager
Country Club Center
4700 South Thompson, Building B, Suite 201
Springdale, AR 72764 United States
(479) 751-8412

California

(District 05: Arizona, California, Nevada)
Dr. Yudhbir Sharma, District Manager
620 Central Avenue, Building 2C
Alameda, CA 94501 United States
(510) 337-5000

Colorado

(District 15: Alaska, American Samoa, Colorado, Guam, Hawaii, Idaho, Montana, Nebraska, Northern Mariana Islands, Oregon, Utah, Washington, Wyoming)
Dr. Ron Nelson, District Manager
Denver Federal Center
P.O. Box 25387, Building 45
Denver, CO 80225 United States
(303) 236-9800

Georgia

(District 85: Florida, Georgia, Puerto Rico, South Carolina, Virgin Islands)
Dr. Phyllis Adams, District Manager
100 Alabama Street, S.W., Building 1924, Suite 3R90
Atlanta, GA 30303 United States
(404) 562-5900

Illinois

(District 50: Illinois, Indiana, Michigan, Ohio)
Mr. Paul Wolseley, District Manager
1919 South Highland Avenue, Suite 115C
Lombard, IL 60148 United States
(630) 620-7474

Iowa

(District 25: Iowa, Minnesota, North Dakota, South Dakota, Wisconsin)
Dr. Dawn Sprouts, District Manager
Room 985, Federal Building
210 Walnut Street
Des Moines, IA 50309 United States
(515) 727-8960

Mississippi

(District 90: Alabama, Kentucky, Mississippi, Tennessee)
Dr. Paul Resweber, District Manager
713 South Pear Orchard Road, Suite 402
Ridgeland, MS 39157 United States
(601) 965-4312

North Carolina

(District 80: Delaware, District of Columbia, Maryland, North Carolina, New Jersey, Virginia,

Appendix IV: Agency Regional and Local Office Addresses

West Virginia)
Dr. Steve Lalicker, Distric Manager
6020 Six Forks Road
Raleigh, NC 27609 United States
(919) 844-8400

Pennsylvania

(District 60: Connecticut, Massachusetts, Maine, New Hampshire, New York, Pennsylvania, Rhode Island, Vermont)

Mr. Jan Behney, District Manager
U.S. Department of Agriculture
Mellon Independence Center
701 Market Street, Suite 4100A
Philadelphia, PA 19106 United States
(215) 597-4219

Texas

(District 40: Texas)
Dr. Jennifer Beasley-McKean, District Manager
1100 Commerce, Room 516
Dallas, TX 75242 United States
(214) 767-9116

FOREST SERVICE

REGIONAL OFFICES

Alaska

Alaska Region
(Region 10: Alaska)
709 W. 9th Street
Juneau, AK 99801-1807 United States
(907) 586-8806

Colorado

Rocky Mountain- Region 2
(Region 2: Colorado, Kansas, Nebraska, South Dakota, except Northwestern Corner, Eastern two-thirds of Wyoming)

740 Simms Street
P.O. Box 25127
Golden, CO 80401-4720 United States
(303) 275-5741

Georgia

Southern Region- Region 8
(Region 8: Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, Oklahoma, Puerto Rico, South Carolina, Tennessee, Texas, Virginia, Virgin Islands)

1720 Peachtree Road, N.W.
Atlanta, GA 30309 United States
(404) 347-7486

Montana

Northern Region- Region 1
(Region 1: Montana, Northern Idaho, North Dakota, Northwestern corner of South Dakota)

Federal Building
200 East Broadway
P.O. Box 7669
Missoula, MT 59807-7669 United States
(406) 329-3511

New Mexico

Southwestern- Region 3
(Region 3: Arizona, New Mexico)

333 Broadway Blvd., SE
Santa Fe, NM 87102-3407 United States
(505) 842-3292

Oregon

Pacific Northwest- Region 6
(Region 6: Oregon, Washington)

333 S.W. First Street, P.O. Box 3623
Portland, OR 97208-3623 United States
(503) 808-2204

Pennsylvania

State and Private Forestry
(Northeastern Area State and Private Forestry: Connecticut, Delaware, Illinois, Indiana, Iowa, Maine, Maryland, Massachusetts, Michigan, Minnesota, Missouri, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Vermont, West Virginia, Wisconsin)

11 Campus Blvd., Suite 200
Newtown Square, PA 19073-3200 United States
(610) 557-4103

Puerto Rico

International Institute of Tropical Forestry
(International Institute of Tropical Forestry)

Jardin Botanico Sur, 1201 Calle Ceiba
San Juan, PR 00926-1119 United States
(787) 766-5335

Utah

Intermountain- Region 4
(Region 4: Southern Idaho, Nevada, Utah, western one-third of Wyoming)

Federal Office Building, 324 25th Street
Ogden, UT 84401-2300 United States
(801) 625-5239

Wisconsin

Eastern- Region 9
Region 9: (Connecticut, Delaware, Illinois, Indiana, Iowa, Maine, Maryland, Massachusetts, Michigan, Minnesota, Missouri, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Vermont, West Virginia, Wisconsin)

626 East Wisconsin Ave., Suite 800
Milwaukee, WI 53202-4616 United States
(414) 297-3600

To locate Forest Supervisor and Ranger District Offices, consult your local telephone directory under U.S. Department of Agriculture, or the regional offices listed above.

RESEARCH HEADQUARTERS

California

Pacific Southwest Research Station
(Pacific Southwest Research Station: California and Hawaii)

800 Buchanan Street, West Annex Building
Albany, CA 94701-0245 United States
(510) 559-6300

Pacific Southwest- Region 5
(Region 5: California, Hawaii)

1323 Club Drive
Vallejo, CA 95492-1110 United States
(707) 562-8737

Colorado

Rocky Mountain Research Station
(Rocky Mountain Research Station: Arizona, Colorado, New Mexico, Kansas, Nebraska, North Dakota, Panhandle, South Dakota, Western Texas, Eastern two-thirds of Wyoming)

2150 Centre Ave, Building A
Fort Collins, CO 80526-1891 United States
(303) 275-5350

North Carolina

Southern Research Station
(Southern Research Station: Florida, Georgia, North Carolina, South Carolina, Virginia, Alabama, Arkansas, Louisiana, Mississippi, Oklahoma, except Panhandle, Puerto Rico, Tennessee, eastern Texas)

200 W. T. Weaver Boulevard
Asheville, NC 28804-3454 United States
(704) 257-4301

Oregon

Pacific Northwest Research Station
(Pacific Northwest Research Station: Alaska, Oregon, Washington)

333 SW First Ave
Portland, OR 97204 United States
(503) 808-2592

Pennsylvania

Northern Research Station
(Northern Research Station: Connecticut, Kentucky, Maine, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Vermont, West Virginia)

11 Campus Blvd., Suite 200
Newtown Square, PA 19073-3200 United States
(610) 557-4023

Utah

(Intermountain: Idaho, Montana, Nevada, Utah, western one-third of Wyoming)

Denver P. Burns
324 25th Street
Ogden, UT 84401
(801) 625-5421

Appendix IV: Agency Regional and Local Office Addresses

Wisconsin

Forest Products Laboratory
Forest Products Laboratory
One Gifford Pinchot Drive
Madison, WI 53726-2398 United States
(608) 231-9200

NATIONAL AGRICULTURAL STATISTICS SERVICE

REGIONAL OFFICES

Arkansas/ Delta Region

10800 Financial Centre Parkway, Suite 110,
Little Rock, AR 72211 United States
501-228-9926, 1-800-327-2970, FAX
855-270-2705

California/ Pacific Region

650 Capitol Mall, Suite 6-100,
P.O. Box 1258 Zip: 95812
Sacramento, CA 95814 United States
916-498-5161, 1-800-851-1127, FAX
855-270-2722

Colorado/ Mountain Region

One Denver Federal Ctr, Building 67, Room 630,
P.O. Box 150969 Zip: 80215-0969
Denver, CO 80225 United States
(720) 787-3150, 1-800-392-3202, FAX
866-314-4029

Georgia/Southern Region

Ste 100,
355 E Hancock Ave,
Stephens Federal Bldg,
Athens, GA 30601 United States
706-546-2236, 1-800-253-4419, FAX
855-271-9801

Iowa/ Upper Midwest Region

Federal Building,
210 Walnut Street,
Suite 833
Des Moines, IA 50309 United States
(515) 284-4340, 1-800-772-0825, FAX
855-271-9802

Kentucky/ Eastern Mountain Region

Gene Snyder and Courthouse Building
601 W. Broadway, Room 645
For letter mail: P.O. Box 1120 Zip: 40201
Louisville, KY 40202 United States
502-582-5293, 1-800-928-5277, FAX
855-270-2708

Michigan/ Great Lakes Region

3001 Coolidge Road, Suite 400,
P.O. Box 30239 Lansing, Zip:48909-7739
East Lansing, MI 48823 United States
(517) 324-5300, 1-800-453-7501, FAX
855-270-2709

Missouri/ Heartland Region

601 Business Loop, 70 West,
Suite 213E,

Columbia, MO 65203 United States
573-876-0950, 1-800-551-1014, FAX

Nebraska/ Northern Plains Region

Rm 263 Federal Bldg, 100 Centennial Mall North,
P.O. Box 81069 Zip 68501
Lincoln, NE 68508 United States
402-437-5541, 1-800-582-6443, FAX
855-270-2720

Pennsylvania/ Northeastern Region

4050 Crums Mill Road, Suite 203,
P.O. Box 60607 Zip: 17106-0607
Harrisburg, PA 17112-2875 United States
(717) 787-3904, 1-800-498-1518, FAX
855-270-2719

Texas/Southern Plains Region

300 East 8th Street
Room 500, Federal Building
For letter mail: P.O. Box 70
Zip: 78676
Austin, TX 78701 United States
(512) 916-5581, 1-800-626-3142, FAX
855-270-2725

Washington/ Northwest Region

112 Henry St, NE, Suite 202,
P.O. Box 609 Zip: 98507
Olympia, WA 98506 United States
360-709-2400, 1-800-435-5883, FAX
855-270-2721

NATURAL RESOURCES CONSERVATION SERVICE

LONGSHORE AND HARBOR WORKERS' COMPENSATION

Phillip Jones, Head
National Soil Mechanics Center
Federal Building, Room 152
100 Centennial Mall North
Lincoln, NE 68508-3866
(402) 437-5318

Carolyn Adams, Director
Watershed Sciences Institute
101 SW Main Street, Suite 1700
Portland, OR 97204-3225
(502) 414-3001

National Employee Development Center
501 Felix Street, Building 23
Ft. Worth, TX 76115

Dean M. Thompson, Director
NRI&A Institute
Statistical Laboratory
202 Snedecor Hall
Iowa State University
Ames, IA 50011
(515) 294-8177

Frank Clearfield, Director
Social Sciences Institute
North Carolina A&T State University
Applied Survey Research Laboratory
Charles H. Moore Building

Beech & Lindsay Streets
Greensboro, NC 27411
(202) 720-1511

Billy Teels, Director
Wetlands Science Institute
Snowden Hall
11400 American Holy Drive
Laurel, MD 20708-4014
(301) 497-5911

Wildon Fontenot, Co-Director
P.O. Box 2890
Washington, DC 20013-2890
(202) 720-4909

Maurice Mausbach, Director
Soil Quality Institute
14th & Independence Avenue, N.W.
Washington, DC 20250
(202) 720-4525

Scott Peterson, Director
National Plant Data Center
P.O. Box 74490
Baton Rouge, LA 70874
(504) 775-6280

John Werner, Co-Director
National Water & Climate Center
101 SW Main Street
Portland, OR 97204-3225
(503) 414-3107

Dennis Lytle, Chair
National Soil Survey Center
Federal Building, Room 152
100 Centennial Mall North
Lincoln, NE 68508-3866
(402) 437-5499

Richard Folsche, Director
National Carto & Geospatial Database Center
501 Felix street, Building 23
Ft. Worth, TX 76115
(817) 334-5292, Ext. 3031

Rhett Johnson, Director
Grazing Lands Institute
501 Felix Street, Building 23
P.O. Box 6567
Ft. Worth, TX 76115
(817) 334-5282, Ext. 3510

REGIONAL OFFICES

Diane Gelburd
East Regional Office
1400 Wilson Boulevard, Suite 1100
Arlington, VA 22209
(703) 312-7282

Judy Johnson
South Central Regional Office
501 W. Felix Street, Building 23
P.O. Box 6459
Ft. Worth, TX 76115
(817) 334-5224, Ext. 3700

Charles Adams, Acting
Southeast Regional Office
1720 Peachtree Road, N.W., Suite 716-N

Appendix IV: Agency Regional and Local Office Addresses

Atlanta, GA 30367
(404) 347-6105

Jeffery Vonk
Northern Plains Regional Office
100 Centennial Mall North, Room 152
Lincoln, NE 68508
(402) 437-5315

Charles Whitmore
Midwest Regional Office
One Gifford Pinchot Drive, Room 204
Madison, WI 53705-3210
(608) 264-5281

Rosendo Trevino III, Acting
West Regional Office
650 Capitol Mall, Room 6072
Sacramento, CA 95814
(916) 498-5284

SERVICE CENTER

Alabama

Ronnie D. Murphy
3381 Skyway Drive
Auburn, AL 36830
(334) 887-4500

Alaska

Robert N. Jones
800 W. Evergreen Avenue
Suite 100
Palmer, AK 99645 United States
(907) 761-7760

Arizona

Michael Somerville
3003 North Central Avenue, Suite 800
Phoenix, AZ 85012-2945
(602) 280-8808

Arkansas

Kalvin L. Trice
700 W. Capitol Avenue
Federal Building, Room 5404
P.O. Box 2323
Little Rock, AR 72201-3228
(501) 324-5445

California

Henry Wyman, Acting
2121-C 2nd Street, Suite 102
Davis, CA 95616-5475
(916) 757-8215

Colorado

Leroy Stokes, Acting
655 Parfet Street, Room E200C
Lakewood, CO 80215-5517
(303) 236-2886, Ext. 202

Connecticut

Margo L. Wallace
16 Professional Park Road
Storrs, CT 06268-1299
(203) 487-4014

Delaware

Elesa K. Cottrell
1203 College Park Drive, Suite 101
Dover, DE 19904-8713
(302) 678-4160

Florida

T. Niles Glasgow
2614 Northwest 43rd Street
Box 141510
Gainesville, FL 32606-6611
(904) 338-9500

Georgia

Earl Cosby
Federal Building
P.O. Box 13
355 East Hancock Avenue
Athens, GA 30601-2769
(706) 546-2272

Hawaii

Kenneth M. Kaneshiro
300 Ala Moana Boulevard, Room 4316
P.O. Box 50004
Honolulu, HI 96850-0002
(808) 541-2601

Idaho

Luana E. Kiger
3244 Elder Street, Room 124
Boise, ID 83705-4711
(208) 378-5700

Illinois

William J. Gradle
1902 Fox Drive
Champaign, IL 61820-7335
(217) 398-5267

Indiana

Robert L. Eddleman
6013 Lakeside Boulevard
Indianapolis, IN 46278-2933
(317) 290-3200

Iowa

LeRoy Brown
210 Walnut Street, Suite 693
Federal Building
Des Moines, IA 50309-2180
(515) 284-6655

Kansas

Tomas M. Dominguez
760 South Broadway
Salina, KS 67401
(913) 823-4565

Kentucky

David G. Sawyer
771 Corporate Drive, Suite 110
Lexington, KY 40503-5479
(606) 224-7350

Louisiana

Kevin Norton

3737 Government Street
Alexandria, LA 71302-3727 United States
(318) 473-7751

Maine

Darrel Dominick
5 Godfrey Drive
Orono, ME 04473
(207) 866-7241

Maryland

David P. Doss
John Hansen Business Center, Suite 301
339 Busch's Frontage Road
Annapolis, MD 21401-5534
(410) 757-0861

Massachusetts

Chris Clarke
451 West Street
Amherst, MA 01002-2995 United States
(413) 253-4351

Michigan

Jane E. Hardisty
1405 South Harrison Road, Room 101
East Lansing, MI 48823-5243
(517) 337-6701, Ext. 1201

Minnesota

William Hunt
600 Farm Credit Building
375 Jackson Street
St. Paul, MN 55101-1854
(612) 290-3675

Mississippi

Homer L. Wilkes
Suite 1321, Federal Building
100 West Capital Street
Jackson, MS 39269-1399
(601) 965-5205

Missouri

Roger A. Hansen
Parkade Center, Suite 250
601 Business Loop, 70 West
Columbia, MO 65203-2546
(573) 876-0901

Montana

Shirley Gammon, Acting
Federal Building, Room 443
10 East Babcock Street
Bozeman, MT 59715-4704
(406) 587-6813

Nebraska

Stephen K. Chick
Federal Building, Room 152
100 Centennial Mall North
Lincoln, NE 68508-3866
(402) 437-5327

Nevada

William D. Goddard

Appendix IV: Agency Regional and Local Office Addresses

5301 Longley Lane
Building F, Suite 201
Reno, NV 89511-1805
(702) 784-5863

New Hampshire

Dawn W. Genes
Federal Building, 2 Madbury Road
Durham, NH 03824-1499
(603) 433-0505

New Jersey

Wayne Maresch
1370 Hamilton Street
Somerset, NJ 08873-3157
(908) 246-1205

New Mexico

Dennis Alexander
6200 Jefferson Northeast, Room 305
Albuquerque, NM 87109-3734 United States
(505) 761-4400

New York

Richard D. Swenson
441 South Salina Street, Suite 354, Room 520
Syracuse, NY 13202-2450
(315) 477-6504

North Carolina

Mary T. Kollstedt
4405 Bland Road, Suite 205
Raleigh, NC 27609-6293
(919) 873-2102

North Dakota

Scott Hoag, Jr.
Federal Building
P.O. Box 1458
220 East Rosser Avenue & 3rd Street, Room 270
Bismarck, ND 58502-1458
(701) 250-4421

Ohio

Terry J. Cosby
Federal Building
200 N. High St., Rm 522
Columbus, OH 43215-2478 United States
(614) 255-2472

Oklahoma

Ronnie L. Clarke
100 USDA, Suite 203
Stillwater, OK 74074-2624
(405) 742-1204

Oregon

Robert J. Graham
Federal Building, 16th Floor
101 S.W. Main Street, Suite 1300
Portland, OR 97204-3221
(503) 414-3201

Pacific Basin Area

Joan B. Perry
Suite 301, FHB Building

400 Route 9
Guam, GU 96927
(9-011-671) 472-7490

Pennsylvania

Janet L. Oertly
One Credit Union Place, Suite 340
Harrisburg, PA 17110-2993
(717) 782-2202

Puerto Rico

Juan Martinez, Director
IBM Building, Suite 604
654 Munoz Rivera Avenue
Hato Rey, PR 00918-4123

Rhode Island

Denis G. Nickel
60 Quaker Lane, Suite 46
Warwick, RI 02886-0111
(401) 828-1300

South Carolina

Mark W. Berkland
Strom Thurmond Federal Building
1835 Assembly Street, Room 950
Columbia, SC 29201-2489
(803) 765-5681

South Dakota

Dean F. Fisher
Federal Building
200 4th Street, S.W.
Huron, SD 57350-2475
(605) 352-1200

Tennessee

James W. Ford
801 Broadway
675 U.S. Courthouse
Nashville, TN 37203-3878
(615) 736-5471

Texas

John P. Burt
W. R. Poage Federal Building
101 South Main Street
Temple, TX 76501-7682
(817) 774-1214

Utah

Phillip J. Nelson, Jr.
Wallace F. Bennett Federal Building
125 South State Street, Room 4402
Salt Lake City, UT 84147
(801) 524-5050

Vermont

John C. Titchner
69 Union Street
Winooski, VT 05404-1999
(802) 951-6795

Virginia

M. Denise Doetzer
Culpeper Building

1606 Santa Rosa Road, Suite 209
Richmond, VA 23229-5014
(804) 287-1691

Washington

Lynn A. Brown
USDA, Natural Resources Conservation Service
W. 316 Boone Avenue, Suite 450
Spokane, WA 99201-2348
(509) 323-2900

West Virginia

William J. Hartman
75 High Street
Room 301
Morgantown, WV 26505
(304) 291-4153

Wisconsin

Patricia S. Leavenworth
6515 Watts Road, Suite 200
Madison, WI 53719-2726
(608) 264-5577

Wyoming

Lincoln E. Burton
Federal Office Building
100 East B Street, Room 3124
Casper, WY 82601
(307) 261-5201-1911

RISK MANAGEMENT AGENCY

REGIONAL OFFICES

Billings

(Montana, Wyoming, North Dakota, South Dakota)
Doug Hagel, Director
3490 Gabel Rd, Suite 100
Billings, MT 59102-6440
(406) 657-6447

Davis

(Arizona, California, Nevada, Utah, Hawaii)
Jeff Yasui, Director
430 G Street, Suite 4168
Davis, CA 95616-4168 United States
(530) 792-5871

Jackson

(Louisiana, Mississippi, Arkansas, Tennessee, Kentucky)
Rock Davis, Director
803 Liberty Road
Jackson, MS 39232 United States
(601) 965-4771

Oklahoma City

(New Mexico, Oklahoma, Texas)
Debra J. Bouziden, Director
205 NW 63rd Street, Suite 170
Oklahoma City, OK 73116-8209 United States
(405) 879-2710

Raleigh

(North Carolina, Virginia, West Virginia,

Appendix IV: Agency Regional and Local Office Addresses

Delaware, Maryland, New Jersey, Pennsylvania, Connecticut, Rhode Island, Massachusetts, New York, New Hampshire, Vermont, Maine)
Scott Lucas, Director
4405 Bland Road, Suite 160
Raleigh, NC 27609 United States
(919) 875-4880

Spokane

(Idaho, Oregon, Washington, Alaska)
Dave Paul, Director
11707 E. Sprague Avenue
Spokane Valley, WA 99206-6125 United States
(509) 228-6322

Springfield

(Illinois, Indiana, Michigan, Ohio)
Brain Frieden, Director
3500 Wabash Ave
Springfield, IL 62711 United States
(217) 241-6600x113

St. Paul

(Minnesota, Wisconsin, Iowa)
Duane Voy, Director
30 Seventh Street, East Suite 1890
St. Paul, MN 55101-4901 United States
(651) 290-3304x233

Topeka

(Colorado, Kansas, Nebraska, Missouri)
Rebecca Davis, Director
2651 SW Wanamaker Rd.
Suite 201
Topeka, KS 66614-4971 United States
(785) 228-5512

Valdosta

(Florida, Georgia, South Carolina, Alabama, Puerto Rico, Virgin Islands)
Diane Amers, Director
106 South Patterson Street, Suite 250
Valdosta, GA 31601-5673 United States
(912) 242-3044

Central

(Colorado, Kansas, Missouri, Nebraska)
Alvin Gilmore, Director
6501 Beacon Dr
Kansas City, MO 64131
(816) 926-7963

Eastern

(Alabama, Connecticut, Delaware, Florida, Georgia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, North Carolina, Pennsylvania, Puerto Rico, Rhode Island, South Carolina, Vermont, Virginia, and West Virginia)
Jessica Dedrick, Director
4405 Bland Road, Suite 165
Raleigh, NC 27609 United States
(919) 875-4930

Midwest

(Illinois, Indiana, Ohio, and Michigan)

Ronie Griffin, Director
6045 Lakeside Blvd
Indianapolis, IN 46278
(317) 290-3050

Northern

(Iowa, Minnesota, Montana, North Dakota, South Dakota, Wisconsin, Wyoming)
Scott Tincher, Director
3440 Federal Drive, Suite 200
Eagan, MN 55122-3500 United States
(651) 452-1688x222

Southern

(Kentucky, Louisiana, Mississippi, New Mexico, Oklahoma, Tennessee, Texas)
Billy M. Pryor, Director
1111 West Mockingbird Lane, Suite 280
Dallas, TX 75247-5016
(214) 767-7700

Western

(Alaska, Arizona, California, Hawaii, Idaho, Nevada, Oregon, Utah, and Washington)
Susan Choy, Director
430 G Street, Suite 4167
Davis, CA 95616-4167
(530) 792-5850

DEPARTMENT OF COMMERCE

ECONOMIC DEVELOPMENT ADMINISTRATION

Atlanta Regional Office

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
William J. Day, Jr., Regional Director
401 West Peachtree Street, N.W., Suite 1820
Atlanta, GA 30308-3510
(404) 730-3002 Use the same number for FTS

Austin Regional Office

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
903 San Jacinto Suite 206
Austin, TX 78701-5595 United States
(512) 381-8144 Use the same number for FTS

Chicago Regional Office

(Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
C. Robert Sawyer, Regional Director
111 North Canal Street Suite 855
Chicago, IL 60606-7204
(312) 353-8143 Use the same number for FTS

Denver Regional Office

(Colorado, Iowa, Kansas, Missouri, Montana, Nebraska, North Dakota, South Dakota, Utah, Wyoming)
Robert Olsen, Regional Director
1244 Speer Boulevard Room 670
Denver, CO 80204
(303) 844-4715 Use the same number for FTS

Philadelphia Regional Office

(Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Puerto Rico, Rhode Island, Vermont, Virgin Islands, Virginia, West Virginia)
Paul M. Raetsch, Regional Director
Curtis Center, Independence Square West Suite 140 South
Philadelphia, PA 19106
(215) 597-4603 Use the same number for FTS

Seattle Regional Office

(Alaska, American Samoa, Arizona, California, Guam, Hawaii, Idaho, Marshall Islands, Micronesia, Nevada, Northern Mariana Islands, Oregon, Washington)
A. Leonard Smith, Regional Director
915 Second Avenue
Jackson Federal Building, Suite 1856
Seattle, WA 98174
(206) 220-7660 Use the same number for FTS

INTERNATIONAL TRADE ADMINISTRATION

RESEARCH HEADQUARTERS

Alabama

George Norton, Director
Medical Forum Building, Room 707
950 22nd Street, North
Birmingham, AL 35203
(205) 731-1331
(205) 731-0076 FAX

Alaska

Charles Becker, Director
550 West 7th Avenue, Suite 1770
Anchorage, AK 99501
(907) 271-6237
(907) 271-6242 FAX

Arizona

Frank Woods, Director
2901 N. Central Avenue, Suite 970
Phoenix, AZ 85012
(602) 640-2513
(602) 640-2518 FAX

Eric Nielsen, Manager
166 West Alameda
Tucson, AZ 85701
(520) 670-5540
(520) 791-5413 FAX

Arkansas

Lon J. Hardin, Director
425 West Capitol Avenue, Suite 700
Little Rock, AR 72201
(501) 324-5794
(501) 324-7380 FAX

California

Elizabeth Krauth, Manager
330 Ignacio Boulevard, Suite 102
Novato, CA 94949

Appendix IV: Agency Regional and Local Office Addresses

(415) 883-1966
(415) 883-2711 FAX

Greg Mignano, Director
101 Park Center Plaza, Suite 1001
San Jose, CA 95113
(408) 271-7300
(408) 271-7307 FAX

Julie Anne Hennessey, Acting Manager
350 S. Figueroa Street, Suite 509
Los Angeles, CA 90071
(213) 894-4022
(213) 894-8789 FAX

Matt Andersen, Director
6363 Greenwich Drive, Suite 230
San Diego, CA 92122
(619) 557-5395
(619) 557-6176 FAX

Fred Latuperissa, Manager
Inland Empire Export Assistance Center
2940 Inland Empire Boulevard, Suite 121
Ontario, CA 91764
(909) 466-4134
(909) 466-4140 FAX

Dale Wright, Manager
917 7th Street, 2nd Floor
Sacramento, CA 95814
(916) 498-5155
(916) 498-5923 FAX

R.J. Donovan, Manager
5201 Great America Parkway, Suite 456
Santa Clara, CA 95054
(408) 970-4610
(408) 970-4618 FAX

Vacant
530 Water Street, Suite 740
Oakland, CA 94607
(510) 273-7350
(510) 251-7352 FAX

Julie Anne Hennessey, Manager
11150 Olympic Boulevard, Suite 975
Los Angeles, CA 90064
(310) 235-7104
(310) 235-7220 FAX

Paul Tambakis, Director
Orange County Export Assistance Center
3300 Irvine Avenue, Suite 305
Newport Beach, CA 92660
(949) 660-1688
(949) 660-8039 FAX

Stephen Crawford, Manager
250 Montgomery Street, 14th Floor
San Francisco, CA 94104
(415) 705-2300
(415) 705-2297 FAX

Eduardo Torres, Manager
390-B Fir Avenue
Clovis, CA 93611
(559) 325-1619
(559) 325-1647 FAX

Mary Boscia, Director
One World Trade Center, Suite 1670
Long Beach, CA 90831
(562) 980-4550
(562) 980-4561 FAX

Mark A. Weaver, Manager
c/o Monterey Institute of International Studies
411 Pacific Street, Suite 320
Monterey, CA 93940
(831) 641-9850
(831) 641-9849 FAX

Colorado

Isabella Cascarano, Acting Director
1625 Broadway, Suite 680
Denver, CO 80202
(303) 844-6623
(303) 844-5651 FAX

Connecticut

Carl Jacobsen, Director
213 Court Street, Suite 903
Middletown, CT 06457-3346
(860) 638-6950
(860) 638-6970 FAX

Delaware

(see Philadelphia Export Assistance Center)

Florida

John McCartney, Director
Miami, USEAC
777 North West 72nd Avenue
Mail Box: 3L2
Miami, FL 33126-3009
(305) 526-7425
(305) 526-7434 FAX

John McCartney, Manager
200 E. Las Olas Boulevard, Suite 1600
Ft. Lauderdale, FL 33301
(954) 356-6640
(954) 356-6644 FAX

Philip A. Ouzts, Manager
Eola Park Centre
200 East Robinson Street, Suite 1270
Orlando, FL 32801
(407) 648-6235
(407) 648-6756 FAX

Michael E. Higgins, Manager
325 John Knox Road, Suite 201
Tallahassee, FL 32303
(850) 942-9635
(850) 922-9595 FAX

George L. Martinez, Manager
1130 Cleveland Street
Clearwater, FL 33755
(727) 893-3738
(727) 449-2889 FAX

Georgia

Barbara Myrick, Manager
6001 Chatham Center Drive, Suite 100
Savannah, GA 31405

(912) 652-4204
(912) 652-4241 FAX

Samuel P. Troy, Director
285 Peachtree Center Avenue NE, Suite 200
Atlanta, GA 30303-1229
(404) 657-1900
(404) 657-1970 FAX

Hawaii

Vacant
1001 Bishop Street, Bishop Square, Pacific Tower
P.O. Box 50026, Suite 1140
Honolulu, HI 96813
(808) 522-8040
(808) 522-8045 FAX

Idaho

(Portland, Oregon District)
James Hellwig, Manager
700 West State Street, 2nd Floor
Boise, ID 83720
(208) 334-3857
(208) 334-2783 FAX

Illinois

James Mied, Manager
515 North Court Street
P.O. Box 1747
Rockford, IL 61103
(815) 987-8123
(815) 963-7943 FAX

Robin F. Mugford, Manager
610 Central Avenue, Suite 150
Highland Park, IL 60035
(847) 681-8010
(847) 681-8012 FAX

Mary N. Joyce, Director
Chicago USEAC
Xerox Center
55 West Monroe Street, Room 2440
Chicago, IL 60603
(312) 353-8045
(312) 353-8120 FAX

Indiana

Dan Swart, Manager
Indianapolis Export Assistance Center
Pennwood One, Suite 106
11405 North Pennsylvania Street
Carmel, IN 46032
(317) 582-2300
(317) 582-2301 FAX

Iowa

Allen Patch, Director
700 Locust Street, Suite 100
Des Moines, IA 50309-3739
(515) 288-8614
(515) 288-1437 FAX

Kansas (Kansas City, Missouri District)

George D. Lavid, Manager
209 East William, Suite 300
Wichita, KS 67202-4012

Appendix IV: Agency Regional and Local Office Addresses

(316) 263-4067
(316) 263-8306 FAX

Kentucky

Vacant
2292 S. Highway 27, Suite 240
Somerset, KY 42501
(606) 677-6160
(606) 677-6161 FAX
John Autin, Director
601 West Broadway, Room 634B
Louisville, KY 40202
(502) 582-5066
(502) 582-6573 FAX

Louisiana

Donald Van de Werken, Director
Delta Export Assistance Center
365 Canal Street, Suite 1170
New Orleans, LA 70130
(504) 589-6546
(504) 589-2337 FAX
Patricia Holt, Manager
7100 West Park Drive
Shreveport, LA 71129
(318) 676-3064
(318) 676-3063 FAX

Maine (Boston, Massachusetts District)

Jeffrey Porter, Manager
511 Congress Street
Portland, ME 04101
(207) 541-7400
(207) 541-7420 FAX

Maryland

Thomas Cox, Director
Baltimore USEAC
World Trade Center, Suite 2432
401 E. Pratt Street
Baltimore, MD 21202
(410) 962-4539
(410) 962-4529 FAX

Massachusetts

Frank J. O'Connor, Director
164 Northern Avenue, Suite 307
World Trade Center
Boston, MA 02210-2071
(617) 424-5990
(617) 424-5992 FAX

Michigan

Paul Litton, Manager
425 S. Main Street, Suite 103
Ann Arbor, MI 48104
(734) 741-2430
(734) 741-2432 FAX
Neil Hesse, Director
211 W. Fort Street, Suite 2220
Detroit, MI 48226
(313) 226-3650
(313) 226-3657 FAX
Thomas J. Maquire, Manager

301 West Fulton Street, Suite 718-S
Grand Rapids, MI 49504
(616) 458-3564
(616) 458-3872 FAX

Richard Corson, Manager
Oakland Pointe Office Building, Suite 1300 West
250 Elizabeth Lake Road
Pontiac, MI 48341
(248) 975-9600
(248) 975-9606 FAX

Minnesota

Ronald E. Kramer, Director
45 South 7th Street, Suite 2240
Minneapolis, MN 55402
(612) 348-1638
(612) 348-1650 FAX

Mississippi

Harrison Ford, Director
704 East Main Street
Raymond, MS 39154
(601) 857-0128
(601) 857-0026 FAX

Missouri

Randall J. LaBounty, Director
8182 Maryland Avenue, Suite 303
St. Louis, MO 63105
(314) 425-3302
(314) 425-3381 FAX
Frank Spector, Acting Director
2345 Grand, Suite 650
Kansas City, MO 64108
(816) 410-9201
(816) 410-9208 FAX

Montana

Mark Peters, Manager
c/o Montana World Trade Center
Gallagher Business Building
Suite 257
Missoula, MT 59812
(406) 243-2098
(406) 243-5259 FAX

Nebraska

Meredith Bond, Manager
11135 "O" Street
Omaha, NE 68137
(402) 221-3664
(402) 221-3668 FAX

Nevada

Jere Dabbs, Manager
1755 East Plumb Lane, Suite 152
Reno, NV 89502
(775) 784-5203
(775) 784-5343 FAX

New Hampshire

(Boston, Massachusetts District)
Susan Berry, Manager
17 New Hampshire Avenue
Portsmouth, NH 03801-2838

(603) 334-6074
(603) 334-6110 FAX

New Jersey

William Spitler, Director
One Gateway Center, 9th Floor
Newark, NJ 07102
(973) 645-4682
(973) 645-4783 FAX
Rod Stuart, Director
3131 Princeton Pike
Building #4, Suite 105
Trenton, NJ 08648
(609) 989-2100
(609) 989-2395 FAX

New Mexico

(Dallas, Texas District)
Sandy Necessary, Manager
c/o New Mexico Department of Economic
Development
1100 St. Francis Drive
Santa Fe, NM 87503
(505) 827-0350
(505) 827-0263 FAX

New York

George Soteris, Manager
Long Island Export Assistance Center
1550 Franklin Avenue, Room 207
Mineola, NY 11501
(516) 739-1765
(516) 739-3310 FAX
K.L. Fredericks, Manager
Harlem Export Assistance Center
163 West 125th Street, Suite 904
New York, NY 10027
(212) 860-6200
(212) 860-6203 FAX
James Mariano, Director
111 West Huron Street, Room 1304
Buffalo, NY 14202
(716) 551-4191
(716) 551-5290 FAX

John Lavelle, Acting Director
6 World Trade Center, Room 635
New York, NY 10048
(212) 466-5222
(212) 264-1356 FAX

Joan Kanlian, Manager
Westchester Export Assistance Center
707 Westchester Avenue, Suite 209
White Plains, NY 10604
(914) 682-6712
(914) 682-6698 FAX

North Carolina

Roger Fortner, Director
521 E. Morehead Street, Suite 435
Charlotte, NC 28202
(704) 333-4886
(704) 332-2681 FAX

Roger Fortner, Acting Manager

Appendix IV: Agency Regional and Local Office Addresses

400 West Market Street, Suite 102
Greensboro, NC 27401
(336) 333-5345
(336) 333-5158 FAX

North Dakota

(see Minneapolis, MN EAC)

Northern Virginia

Ellen Moore, Acting Manager
1911 N. Ft. Myer Drive, Suite 601
Arlington, VA 22209
(703) 524-2885
(703) 524-2649 FAX

Ohio

Michael Miller, Director
Bank One Center
600 Superior Avenue, East, Suite 700
Cleveland, OH 44114
(216) 522-4750
(216) 522-2235 FAX

Robert Abrahams, Manager
300 Madison Avenue
Toledo, OH 43604
(419) 241-0683
(419) 241-0684 FAX

Mary Beth Double, Manager
Two Nationwide Plaza, Suite 1400
Columbus, OH 43215
(614) 365-9510
(614) 365-9598 FAX

Dao Le, Director
36 E. 7th Street, Suite 2650
Cincinnati, OH 45202
(513) 684-2944
(513) 684-3227 FAX

Oklahoma

Jimmy Williams, Manager
700 N. Greenwood Avenue, Suite 1400
Tulsa, OK 74106
(918) 581-7650
(918) 581-6263 FAX

Ronald L. Wilson, Director
301 N.W. 63rd Street, Suite 330
Oklahoma City, OK 73116
(405) 608-5302
(405) 608-4211 FAX

Oregon

John O'Connell, Manager
1401 Willamette Street
Eugene, OR 97401-4003
(541) 465-6575
(541) 465-6704 FAX

Scott Goddin, Director
One World Trade Center, Suite 242
121 S.W. Salmon Street
Portland, OR 97204
(503) 326-3001
(503) 326-6351 FAX

Pennsylvania

Deborah Doherty, Manager
One Commerce Square
228 Walnut Street, Suite 850
P.O. Box 11698
Harrisburg, PA 17108-1698
(717) 221-4510
(717) 221-4505 FAX

Edward Burton, Director
The Curtis Center, Suite 580 West
Independence Square West
Philadelphia, PA 19106
(215) 597-6101
(215) 597-6123 FAX

Ted Arnn, Manager
Federal Building, Room 2002
1000 Liberty Avenue
Pittsburgh, PA 15222
(412) 395-5050
(412) 395-4875 FAX

Puerto Rico

(Hato Rey)
Vacant
525 F.D. Roosevelt Avenue, Suite 905
San Juan, PR 00918
(787) 766-5555
(787) 766-5692 FAX

Rhode Island (Hartford, Connecticut District)

Keith Yatsunami, Manager
One West Exchange Street
Providence, RI 02903
(401) 528-5104
(401) 528-5067 FAX

South Carolina

Vacant
5300 International Boulevard, Suite 201-C
North Charleston, SC 29418
(843) 760-3794
(843) 760-3798 FAX

Ann Watts, Director
Strom Thurmond Federal Building, Suite 172
1835 Assembly Street
Columbia, SC 29201
(803) 765-5345
(803) 253-3614 FAX

Denis Csizmedia, Manager
Upstate Export Assistance Center
Park Central Office Park, Building 1, Suite 109
555 N. Pleasantburg Drive
Greenville, SC 29607
(864) 271-1976
(864) 271-4171 FAX

South Dakota

Cinnamon King, Manager
Siouxland Export Assistance Center
Augustana College
2001 S. Summit Avenue, Room 122
Sioux Falls, SD 57197
(605) 330-4264
(605) 330-4266 FAX

Tennessee

George Frank, Manager
Old Historic City Hall
601 West Summit Hill Drive, Suite 300
Knoxville, TN 37902-2011
(865) 545-4637
(865) 545-4435 FAX

Michael Speck, Director
211 Commerce Street
3rd Floor, Suite 100
Nashville, TN 37201
(615) 736-5161
(615) 736-2454 FAX

Ree Russell, Manager
c/o Centre For Enterprise, Buckman Hall 3rd Floor
650 E. Parkway South, Suite 348
Memphis, TN 38104
(901) 323-1543
(901) 320-9128 FAX

Texas

Loree Silloway, Director
P.O. Box 420069, Zip: 75342-0069
2050 North Stemmons Freeway, Suite 170
Dallas, TX 75207
(214) 767-0542
(214) 767-8240 FAX

James D. Cook, Director
500 Dallas, Suite 1160
Houston, TX 77002
(713) 718-3062
(713) 718-3060 FAX

Daniel G. Rodriguez, Manager
203 South St. Mary Street, Suite 360
San Antonio, TX 78205
(210) 228-9878
(210) 228-9874 FAX

Karen Parker, Manager
1700 Congress, 2nd Floor
P.O. Box 12728, Zip 78711
Austin, TX 78701
(512) 916-5939
(512) 916-5940 FAX

Vavie Sellschopp, Manager
711 Houston Street
Fort Worth, TX 76102
(817) 212-2673
(817) 978-0178 FAX

Utah

Stanley Rees, Director
324 South State Street, Suite 221
Salt Lake City, UT 84111
(801) 524-5116
(801) 524-5886 FAX

Vermont

Susan Murray, Manager
National Life Building, Drawer 20, 6th Floor
Montpelier, VT 05620-0501
(802) 828-4508
(802) 828-3258 FAX

Appendix IV: Agency Regional and Local Office Addresses

Virginia

Helen D. Lee Hwang, Director
400 N. 8th Street, Suite 540
P.O. Box 10026
Richmond, VA 23240
(804) 771-2246
(804) 771-2390 FAX

Washington

Janet Dauble
801 West Riverside Avenue, Suite 400
Spokane, WA 99201
(509) 353-2625
(509) 353-2449 FAX

Vacant

950 Pacific Avenue, Suite 410
Tacoma, WA 98402
(253) 593-6736
(253) 383-4676 FAX

David Spann, Director
2001 6th Avenue, Suite 650
Seattle, WA 98121
(206) 553-5615
(206) 553-7253 FAX

West Virginia

Harvey Timberlake, Director
405 Capitol Street, Suite 807
Charleston, WV 25301
(304) 347-5123
(304) 347-5408 FAX

David Kotler, Manager
Wheeling Jesuit University/NTTC
316 Washington Avenue
Wheeling, WV 26003
(304) 243-5493
(304) 243-5494 FAX

Wisconsin

Paul D. Churchill, Director
517 East Wisconsin Avenue, Room 596
Milwaukee, WI 53202
(414) 297-3473
(414) 297-3470 FAX

Wyoming

California
San Jose Office
JoAllyn Scott
101 Park Center Plaza, Suite 1001
San Jose, CA 95113
(408) 998-7402

(See Denver, CO Export Assistance Center)

Michael Hoffman
3300 Irvine Avenue, Suite 345
Newport Beach, CA 92660-3198
(714) 660-0144

MINORITY BUSINESS DEVELOPMENT AGENCY

California

(Federal Regions IX and X: Alaska, American

Samoa, Arizona, California, Guam, Hawaii, Idaho, Nevada, Oregon, and Washington)

Melda Cabrera, Director
221 Main Street, Room 1280
San Francisco, CA 94105
(415) 744-3001
Use the same number for FTS

Rudy Guerra, District Officer
9660 Flair Drive, Suite 455
El Monte, CA 91731
(818) 453-8636
Use the same number for FTS

Florida

Rudy Suarez, District Officer
Federal Building, Room 1314
51 S.W. First Avenue
Box 25
Miami, FL 33130
(305) 536-5054
Use the same number for FTS

Georgia

(Federal Region IV: Alabama, Florida, Georgia, Kentucky, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, and Tennessee)
Robert M. Henderson, Director
401 West Peachtree Street, N.W., Room 1715
Atlanta, GA 30308-3516
(404) 730-3300
Use the same number for FTS

Illinois

(Federal Regions V and VII: Illinois, Indiana, Iowa, Kansas, Michigan, Minnesota, Missouri, Nebraska, Ohio, and Wisconsin)
Carlos Guzman, Director
55 East Monroe Street, Suite 1406
Chicago, IL 60603
(312) 353-0182
Use the same number for FTS

Massachusetts

R. K. Schwartz, District Officer
10 Causeway Street, Room 418
Boston, MA 02222-1041
(617) 565-6850
Use the same number for FTS

New York

(Federal Regions I, II and III: Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Puerto Rico, Rhode Island, Vermont, the Virgin Islands, and West Virginia)
Heyward Davenport, Director
26 Federal Plaza, Room 3720
New York, NY 10278
(212) 264-3262
Use the same number for FTS

Pennsylvania

Alfonso Jackson, District Officer
Federal Office Building
600 Arch Street, Room 10128

Philadelphia, PA 19106
(215) 597-9236
Use the same number for FTS

Texas

(Federal Regions VI and VIII: Arkansas, Colorado, Louisiana, Montana, New Mexico, North Dakota, Oklahoma, South Dakota, Texas, Utah and Wyoming)
John F. Iglehart, Director
1100 Commerce Street, Room 7B23
Dallas, TX 75242
(214) 767-8001
Use the same number for FTS

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION (NOAA)

Alaska Region

Steven Pennoyer, Regional Administrator
P.O. Box 21668
Juneau, AK 99802-1668
(907) 586-7221

Northeast Region

Patricia Kurkul, Acting Regional Administrator
One Blackburn Drive
Gloucester, MA 01930
(978) 281-9250

Northwest Region

William Stelle, Jr., Regional Administrator
7600 Sand Point Way N.E.
Seattle, WA 98115
(206) 526-6150

Southeast Region

William T. Hogarth, Regional Administrator
9721 Executive Center Drive, North Suite 201
St. Petersburg, FL 33702
(727) 570-5301

Southwest Fisheries Science Center

Dr. Michael F. Tillman, Science Director
P.O. Box 271
LaJolla, CA 92038-0271
(619) 546-7081

Southwest Region

Rodney R. McInnis, Acting Regional Administrator
501 West Ocean Boulevard, Suite 4200
Long Beach, CA 90802-4213
(562) 980-4001

Virginia

Atlantic Marine Center, RADM Nicholas A. Prael, Director
Pacific Marine Center, RADM Nicholas A. Prael, Director
439 West York Street
Norfolk, VA 23510-1114
(757) 441-6776

Washington

Appendix IV: Agency Regional and Local Office Addresses

Pacific Marine Center
RADM Nicholas A. Prah
1801 Fairview Avenue East
Seattle, WA 98102
(206) 553-7656

OFFICE OF THE SECRETARY

Region I - Boston

Regional Manager
J.F. Kennedy Federal Building, Room 1875
Boston, MA 02203
(617) 565-1340; (617) 565-1343 TDD

Region II - New York

Regional Manager
Jacob Javits Federal Building
26 Federal Plaza, Suite 3312
New York, NY 10278
(212) 264-3313; (212) 264-2355 TDD

Region III - Philadelphia

Regional Manager
150 S. Independence Mall, Suite 372
Philadelphia, PA 19101
(215) 861-4441; (215) 861-4440 TDD

Region IV - Atlanta

Regional Manager
61 Forsyth Street, SW. - Suite 3B70
Atlanta, GA 30323
(404) 562-7858; (404) 562-7884 TDD

Region IX - San Francisco

Regional Manager
50 United Nations Plaza, Room 322
San Francisco, CA 94103
(415) 437-8310; (415) 437-8311 TDD

Region V - Chicago

Regional Manager
233 N. Michigan Ave. - Suite 240
Chicago, IL 60601
(312) 886-2359; (312) 353-5693 TDD

Region VI - Dallas

Regional Manager
1301 Young Street, Suite 1169
Dallas, TX 75202
(214) 767-4056; (214) 767-8940 TDD

Region VII - Kansas City

Regional Manager
601 East 12th Street, Room 248
Kansas City, MO 64106
(816) 426-7278; (816) 426-7065 TDD

Region VIII - Denver

Regional Manager
1961 Stout Street, Room 1426
Denver, CO 80294
(303) 844-2024; (303) 844-3439 TDD

Region X - Seattle

Regional Manager
2201 Sixth Avenue, Suite 900

Seattle, WA 98121
(206) 615-2290; (206) 615-2296 TDD

U.S. CENSUS BUREAU

California

James T. Christy
Regional Director, Census Bureau
15350 Sherman Way, Suite 300
Van Nuys, CA 91406-4224
(818) 904-6393

Colorado

Susan A. Lavin
Regional Director, Census Bureau
6900 West Jefferson Avenue
Suite 100
Denver, CO 80235-2032
(303) 969-6750

Georgia

James F. Holmes
Regional Director, Census Bureau
101 Marietta Street, N.W., Suite 3200
Atlanta, GA 30303-2700
(404) 730-3832

Illinois

Stanley D. Moore
Regional Director, Census Bureau
2255 Enterprise Drive, Suite 5501
Westchester, IL 60154-5800
(708) 562-1376

Indiana

Jean Ann Banet
Technical Services Supervisor
Bureau of the Census
P.O. Box 1545
Jeffersonville, IN 47131
(812) 218-3046

Kansas

Henry Palacios
Regional Director, Census Bureau
1211 North 8th Street
Kansas City, KS 66101-2129
(913) 551-6728

Massachusetts

Arthur G. Dukakis
Regional Director, Census Bureau
2 Copley Place, Suite 301
P.O. Box 9108
Boston, MA 02117-9108
(617) 424-0500

Michigan

Dwight P. Dean
Regional Director, Census Bureau
1395 Brewery Park Boulevard
Detroit, MI 48207-5405
(313) 259-1158

New York

Lester A. Farthing

Regional Director, Census Bureau
395 Hudson Street, Suite 800
New York, NY 10014
(212) 264-3860

North Carolina

Susan B. Hardy
Regional Director, Census Bureau
901 Center Park Drive, Suite 106
Charlotte, NC 28217-2935
(704) 344-6142

Pennsylvania

Fernando E. Armstrong
Regional Director, Census Bureau
1601 Market Street, 21st Floor
Philadelphia, PA 19103-2395
(215) 656-7550

Texas

Alfonso E. Mirabal
Regional Director, Census Bureau
8585 North Stemmons Fwy, Suite 800S
Dallas, TX 75247-3841
(214) 640-4400

Washington

Ralph J. Lee
Regional Director, Census Bureau
Key Tower
700 5th Avenue, Suite 5100
Seattle, WA 98104-5018
(206) 553-5837

DEPARTMENT OF DEFENSE

ADVANCED RESEARCH PROJECTS AGENCY

USA Cold Regions Research and Engineering Laboratory

Mr. Peter Smallidge
72 Lynn Road
Hanover, NH 03755-1290
(603) 646-4445

USA Construction Engineering Research Laboratories

Ms. Bea Shahim
2902 Newmark Drive
Champaign, IL 61821-1075
(800) 872-2375
(800) 252-7122

USA Topographic Engineering Center

Mr. Charles McKenna
Cude Building No. 2592
Ft. Belvoir, VA 22060-5546
(703) 355-3133

USAE Hydrologic Engineering Center

Mr. Arlen Feldman
609 Second Street
Davis, CA 95616-4887
(916) 756-1104

Appendix IV: Agency Regional and Local Office Addresses

USAE Institute for Water Resources

Casey Building No. 2594
Ft. Belvoir, VA 22060-5586
(703) 355-3084

USAE Waterways Experiment Station

Mr. William McGleese
3909 Falls Ferry Road
Vicksburg, MI 39180-6199
(601) 634-2512
(800) 522-6937

DEFENSE LOGISTICS AGENCY

Commander, Defense General Supply Center

Richmond, VA 23297-5100
(804) 275-3801

DCMD Mid-Atlantic

2800 South 20th Street
P.O. Box 7479
Philadelphia, PA 19101-7478
(215) 737-4006

DCMD North Central

O'Hare International Airport
10601 West Higgins Road
P.O. Box 66926
Chicago, IL 60666-0926
(312) 825-6020

DCMD Northeast

495 Summer Street
Boston, MA 02210-2184
(617) 451-4317/8

DCMD South

805 Walker Street
Marietta, GA 30060-2789
(404) 590-6196

DCMD West

222 North Sepulveda Boulevard
El Segundo, CA 90245-4320
(310) 335-3260

Defense Logistics Agency

Small and Disadvantaged Business Utilization
(DLA-U)
Room 4B130, Cameron Station
Alexandria, VA 22304-6100
(202) 274-6471

Defense Contract Management District (DCMD)
Contact: Associate Directors for Small Business

Defense Logistics Agency
Directorate of Supply Operations
Alexandria, VA 22304-6100
(202) 274-6253

DEPARTMENT OF THE AIR FORCE, MATERIEL COMMAND

AFOSR/PK

875 N Randolph St
Arlington, VA 22203 United States

703-588-1930

AFRL/RIK

26 Electronics Parkway
Rome, NY 13441-4514 United States
(315) 330-4728

AFRL/RQK

2130 8th Street
Wright Patterson Air Force Base, OH 45433-7541
United States
937-255-6122

AFRL/RVK

3550 Aberdeen Ave SE
Kirtland Air Force Base, NM 87117-5773 United
States
(505) 846-4870

AFRL/RWK

203 West Eglin Blvd, Bldg 300
Eglin AFB, FL 32542-6810 United States
850-882-9599

DEPARTMENT OF THE AIR FORCE, MEDICAL SUPPORT AGENCY

RESEARCH HEADQUARTERS

Virginia

Operations
Financial Management
7700 Arlington Blvd
Falls Church, VA 22042 United States
703-725-8409

DEPARTMENT OF THE ARMY, AVIATION APPLIED TECHNOLOGY DIRECTORATE

RESEARCH HEADQUARTERS

Alabama

Col. Larry Bonine
Mobile District
P.O. Box 2288
Mobile, AL 36628
(205) 690-2511

Alaska

Col. William W. Kakei
Alaska District
P.O. Box 898
Anchorage, AK 99506
(907) 752-5233

Arkansas

Col. Charles McClosky
Little Rock District
P.O. Box 867
Little Rock, AR 72203
(501) 378-5531

California

BG John F. Sobke
South Pacific Division
630 Sansome Street, Room 720
San Francisco, CA 94111

(415) 556-0914

Col. Charles S. Thomas
Los Angeles District
P.O. Box 2711
Los Angeles, CA 90053
(213) 688-5300

Col. Galen Yanagihara
San Francisco District
211 Main Street
San Francisco, CA 94105
(415) 974-0358

Col. Jack A. LeCuyer
Sacramento District
650 Capitol Mall
Sacramento, CA 95814
(916) 448-2232

Florida

Col. Bruce A. Malson
Jacksonville District
P.O. Box 4970
Jacksonville, FL 32232
(904) 791-2241

Georgia

Col. Ralph V. Locurcio
Savannah District
P.O. Box 889
Savannah, GA 31402
(912) 944-5224, Ext. 224
MG Robert M. Bunker
South Atlantic Division
510 Title Building
30 Pryor Street S.W.
Atlanta, GA 30335
(404) 221-6711

Hawaii

Col. Jack Clifton
Pacific Ocean Division
Ft. Shafter, HI 96858
(808) 438-1500

Illinois

LTC Jess J. Franco
Chicago District
219 South Dearborn Street
Chicago, IL 60604
(312) 353-6400

Col. John R. Brown
Rock Island District
Clock Tower Building, P.O. Box 2004
Rock Island, IL 61204
(309) 788-6361

BG Jude Patin
North Central Division
536 South Clark Street
Chicago, IL 60605
(312) 353-6310

Hugh V. Roberts
Constitution Engineering Research Laboratory
Champaign, IL 61820-1305

Appendix IV: Agency Regional and Local Office Addresses

(217) 373-6789

Kentucky

Col. David Peixotto
Louisville District
P.O. Box 59
Louisville, KY 40201
(502) 582-5601

Louisiana

Col. Richard V. Gorski
New Orleans District
P.O. Box 60267
New Orleans, LA 70160
(504) 838-1121

Maryland

Col. Bernard B. Stalman
Baltimore District
P.O. Box 1715
Baltimore, MD 21203
(301) 962-4545

Massachusetts

Col. Daniel M. Wilson
New England Division
424 Trapelo Road
Waltham, MA 02254
(617) 647-8220

Michigan

Col. John D. Glass
Detroit District
P.O. Box 1027
Detroit, MI 48231
(313) 226-6762

Minnesota

Col. Roger L. Baldwin
St. Paul District
1135 USPO and Custom House
St. Paul, MN 55101
(612) 725-7501

Mississippi

Col. Frank Skidmore
Vicksburg District
P.O. Box 60
Vicksburg, MS 39180
(601) 634-5010
BG Arthur E. Williams
Lower Mississippi Valley Division
P.O. Box 80
Vicksburg, MS 39180
(601) 634-5750
A.J. Breithaupt
Waterways Experiment Station
Vicksburg, MS 39180-0631
(601) 634-2424

Missouri

Col. John H. Atkinson
Kansas City District
700 Federal Building
Kansas City, MO 64106

(816) 374-3201

Col. James E. Corbin
St. Louis District
210 North Tucker Boulevard
St. Louis, MO 63101
(314) 263-5660

Nebraska

BG Robert H. Ryan
Missouri River Division
P. O. Box 103, Downtown Station
Omaha, NE 68101
(402) 221-7201

Col. Donald E. Hazen
Omaha District
Room 6014, USPO and Courthouse
Omaha, NE 68102
(402) 221-3900

New Hampshire

Charles P. Zdunczyk
Cold Regions Research and Engineering
Laboratory
Hanover, NH 03755-1290
(603) 646-4390

New Mexico

LTC Steven M. Dougan
Albuquerque District
P.O. Box 1580
Albuquerque, NM 87103
(505) 766-2732

New York

Col. Hugh Boyd
Buffalo District
1776 Niagara Street
Buffalo, NY 14207
(716) 876-5454, Ext. 2200

Col. Ralph M. Danielson
New York District
26 Federal Plaza
New York, NY 10278
(212) 264-0100

BG Gerald C. Brown
North Atlantic Division
90 Church Street
New York, NY 10007
(212) 264-7101

North Carolina

Lt. Col. Thomas C. Suermann
Wilmington District
P.O. Box 1890
Wilmington, NC 28402
(919) 343-4501

Ohio

BG Paul Y. Chinen
Ohio River Division
P.O. Box 1159
Cincinnati, OH 45201
(513) 221-6000

Oklahoma

Col. Francis L. Smith
Tulsa District
P.O. Box 61
Tulsa, OK 74121
(918) 581-7311

Oregon

Col. Charles E. Cowan
Portland District
P.O. Box 2946
Portland, OR 97208
(503) 221-6000

BG Pat Stevens IV
North Pacific Division
P. O. Box 2870
Portland, OR 97208
(503) 221-3700

Pennsylvania

Col. George M. Miller, Jr.
Pittsburgh District
Federal Building
1000 Liberty Avenue
Pittsburgh, PA 15222
(412) 644-6800

LTC George W. Quinby
Philadelphia District
U.S. Custom House
2nd and Chestnut Streets
Philadelphia, PA 19106
(215) 597-4848

Robert Lubbett

CDR, USACA/CEMP-R1
20 Massachusetts Avenue, NW
Washington, DC 20314
(202) 504-4950

South Carolina

Lt. Col. James T. Scott
Charleston District
P. O. Box 919
Charleston, SC 29402
(803) 724-4229

Tennessee

Col. Paul J. King
Nashville District
P.O. Box 1070
Nashville, TN 37202
(615) 251-5626

Col. Obrene Richardson
Memphis District
B-202 Clifford Davis Federal Building
Memphis, TN 38103
(901) 521-3221

Texas

BG Robert C. Lee
Southwestern Division
1114 Commerce Street
Dallas, TX 75242
(214) 767-2500

Appendix IV: Agency Regional and Local Office Addresses

Col. Brink P. Miller
Galveston District
P.O. Box 1229
Galveston, TX 77553
(409) 766-3006

Col. William D. Brown
Fort Worth District
P.O. Box 17300
Fort Worth, TX 76102
(817) 334-2300

Virginia

John F. Carpenter
Humphreys Engineer Center Support Activity
Ft. Belvoir, VA 22060-5580
(202) 355-2153

Col. Joseph J. Thomas
Norfolk District
803 Front Street
Norfolk, VA 23510
(804) 441-3601

Mary Lu Williams
Topographic Engineering Center
Ft. Belvoir, VA 22060-5546
(202) 355-2659

Washington

Col. Milton Hunter
Seattle District
P.O. Box C-3755
Seattle, WA 98124
(206) 764-3690

LTC James A. Walter
Walla Walla District
Building 602, City-County Airport
Walla Walla, WA 99362
(509) 522-6506

West Virginia

Col. Thomas Farewell
Huntington District
502 8th Street
Huntington, WV 25721
(304) 529-5395

DEPARTMENT OF THE NAVY, OFFICE OF THE CHIEF OF NAVAL RESEARCH RESEARCH HEADQUARTERS

Virginia

875 N. Randolph Street
Arlington, VA 22203 United States
703-696-4601

NATIONAL GUARD BUREAU

ARNG Anti-Terrorism Program
ARNG-ODP, Mr. Dean Connors
ARNG Readiness Center
111 S. George Mason Drive
Arlington, VA 22204-1302 United States
(703) 607-9198

ARNG Distributive Learning Program
ARNG-ILL, MAJ Leslie Myers

ARNG Readiness Center
111 S. George Mason Drive
Arlington, VA 22204 United States
(703) 601-9869

ANG Natural & Cultural Resources Mgt
ANG/A7AN, Ms. Melissa Metz
3500 Fetchel Ave
Andrews AFB, MD 20762-5157 United States
(301) 836-8427

ANG Fire Protection
ANG/A7CXF Mr. Steve Waldelich
3500 Fetcher Avenue
Andrews AFB, MD 20331-5157 United States
(301) 278-8170

ARNG Reimbursable Maintenance Operations
ARNG-AVL, MAJ David Cooper
ARNG Readiness Center
111 S. George Mason Drive
Arlington, VA 22204 United States
(703) 607-7721

State Family Program Activities
NGB-FP, Ms Joyce Wallace
1411 Jefferson Davis Highway
Alexandria, VA 22202-3231 United States
(703) 607-0882

ANG Logistics Facilities
ANG/A4P, Mr. Robert Sinclair
3500 Fetchel Ave.
Andrews AFB, MD 20762-5157 United States
(301) 836-8338

ARNG Aviation Operations
NGB-AVS-O, CW5 Bob Fleming
ARNG Readiness Center
111 S. George Mason Drive
Arlington, VA 22204-1382 United States
(703) 607-7752

ARNG Full Time Dining Facility Operations
ARNG-ILL-E CW4 Stanley Jung
ARNG Readiness Center
111 S. George Mason Drive
Arlington, VA 22204-1382 United States
(703) 607-7344

ARNG Electronic Security System
ARNG-ILLE, Mr. Mark Brown
ARNG Readiness Center
111 S. George Mason Drive
Arlington, VA 22204-1382 United States
(703) 607-7956

ARNG Real Property
ARNG-ILL-F, MAJ Paul Crigler
ARNG Readiness Center
111 S. George Mason Drive
Arlington, VA 22204-1382 United States
(703) 607-7916

ARNG Environmental Resources Management
ARNG-ILE, MAJ Anthony Bryant
ARNG Readiness Center
111 S. George Mason Drive
Arlington, VA 22204-1382 United States
(703) 607-7340

ANG Services Resources Mgt
ANG/A7V, Maj Gerald Cullens
3500 Fetchel Ave.
Andrews AFB, MD 20762-5157 United States
(301) 836-8162

ARNG Security Guard Activities
ARNG-ODP Ms. Deidra Wallace
ARNG Readiness Center
111 S. George Mason Drive
Arlington, VA 22204-1382 United States
(703) 607-7353

ANG Environmental
ANG/A7AN, Ms. Elaine Magdivec
3500 Fetcher Avenue
Andrews AFB, MD 20331-5157 United States
(301) 836-8904

ARNG Sustainable Range Program
ARNG-TRS Mr. Nick Long
ARNG Readiness Center
111 S. George Mason Drive
Arlington, VA 22204-1382 United States
(703) 607-7884

ANG Security Guard
ANG/A7SX, Mr. Kevin Leavy
3500 Fetcher Avenue
Andrews AFB, MD 20331-5157 United States
(301) 836-7809

ANG Facilities O&M
ANG/A7RP, Mr. Tony Latuff
3500 Fetcher Avenue
Andrews AFB, MD 20331-5157 United States
(301) 836-8194

ARNG Admin Services
ARNG-ZX Ms. Cindy Kadin
ARNG Readiness Center
111 S. George Mason Drive
Arlington, VA 22204 United States
(703) 607-7056

ARNG Telecommunications
ARNG-IMS, Ms Freida Parks
ARNG Readiness Center
111 S. George Mason Drive
Arlington, VA 22204-1382 United States
(703) 607-7654

OFFICE OF THE SECRETARY OF DEFENSE

OASD (FM&P)

Office of Economic Adjustment
Western Region
1325 J Street, Suite 1500
Sacramento, CA 95814
(916) 567-7365

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

Alabama

Birmingham Field Office

Appendix IV: Agency Regional and Local Office Addresses

950 22nd Street North
Suite 900
Birmingham, AL 35203-5302
(205) 731-2617 (205) 731-2593 FAX

Alaska

3000 C Street, Suite 401
Anchorage, AK 99503
(907) 677-9800(907) 667-9807 FAX

Alaska

Anchorage Field Office
3000 C. Street
Suite 401
Anchorage, AK 99503
(907) 677-9800(907) 677-9825 FAX

Arizona

Tucson Field Office
160 North Stone Avenue
Tucson, AZ 85701-1467
(520) 670-6000(520) 670-6207 FAX

Phoenix Field Office
One N. Central Avenue
Suite 600
Phoenix, AZ 85004
(602) 379-7100(602) 379-3985 FAX

Arkansas

Little Rock Field Office
TCBY Tower
425 West Capitol Avenue, Suite 900
Little Rock, AR 72201-3488
(501) 324-5931(501) 324-6142 FAX

California

Fresno Field Office
Suite 100
2135 Fresno Street
Fresno, CA 93721-1718
(559) 487-5033, Ext. 232(559) 487-5191 FAX

San Francisco Regional Office
Phillip Burton Federal Building and U.S.
Courthouse
450 Golden Gate Avenue
San Francisco, CA 94102-3448
(415) 436-6532(415) 436-6446 FAX

Sacramento Field Office
925 L Street
Sacramento, CA 95814-2601
(916) 498-5220, Ext. 322(916) 498-5262 FAX

Santa Ana Field Office
World Trade Tower
1600 North Broadway Suite 101
Santa Ana, CA 92706-3927
(714) 796-5577(714) 796-1285 FAX

Los Angeles Field Office
Suite 800
AT&T Center
611 West 6th Street
Los Angeles, CA 90017-3127
(213) 894-8007(213) 894-8110 FAX

San Diego Field Office

Symphony Towers
750 B Street, Suite 1600
San Diego, CA 92101-8131
(619) 557-5310(619) 557-5312 FAX

Colorado

Denver Regional Office
1600 Broadway
Denver, CO 80202
(303) 672-5440(303) 672-5004 FAX

Connecticut

Hartford Field Office
One Corporate Center
20 Church Street 19th Floor
Hartford, CT 06103-3220
(860) 240-8000(860) 240-4850 FAX

Delaware

Wilmington Field Office
920 King Street Suite 404
Wilmington, DE 19801-3016
(302) 573-6300(302) 573-6259

Wilmington Field Office
One Rodney Square
920 King Street, Suite 404
Wilmington, DE 19801
(302) 573-6300(302) 573-6259 FAX

District of Columbia

Washington, D.C. Field Office
820 First Street, N.E., Suite 300
Washington, DC 20002-4205
(202) 275-9200 (202) 523-9212 FAX

Eastern/Woodlands

77 W. Jackson Boulevard, Room 2404
Chicago, IL 60604-3507
(800) 735-3239(312) 353-8936 FAX

Florida

Orlando Field Office
Langley Building
3751 Maguire Boulevard, Suite 270
Orlando, FL 32803-3032
(407) 648-6441(407) 648-6310 FAX

Jacksonville Field Office
Southern Bell Tower
301 West Bay Street, Suite 2200
Jacksonville, FL 32202-5121
(904) 232-2627(904) 232-3759 FAX

Tampa Field Office
Timberlake Federal Building Annex
500 Zack Street, Suite 402
Tampa, FL 33602-3945
(813) 228-2026(813) 228-2431 FAX

Miami Field Office
Brickell Plaza Building
909 Southeast First Avenue
Miami, FL 33131-3028
(305) 536-4456(305) 536-5765 FAX

Georgia

Atlanta Regional Office

Five Points Plaza
40 Marietta Street
Atlanta, GA 30303-2806
(404) 331-4111(404) 730-2392 FAX

Great Plains Field Offices

(Iowa, Kansas, Missouri, Nebraska)

Hawaii

Honolulu Field Office
Three Waterfront Plaza
500 Ala Moana Boulevard, Suite 3A
Honolulu, HI 96813-4918
(808) 522-8175, Ext. 256 or 259(808) 522-8194 FAX

Idaho

Boise Field Office
Plaza IV, Suite 220
800 Park Boulevard
Boise, ID 83712-7743
(208) 334-1990, Ext. 3007(208) 334-9648 FAX

Illinois

Springfield Field Office
320 West Washington 7th Floor
Springfield, IL 62701
(217) 492-4120(217) 492-4154 FAX

Chicago Regional Office
Ralph H. Metcalfe Federal Building
77 West Jackson Boulevard
Chicago, IL 60604-3507
(312) 353-5680(312) 886-2729 FAX

Indiana

Indianapolis Field Office
151 North Delaware Street, Suite 1200
Indianapolis, IN 46204-2526
(317) 226-6303(317) 226-6317 FAX

Iowa

Des Moines Field Office
Federal Building
210 Walnut Street, Room 239
Des Moines, IA 50309-2155
(515) 284-4512(515) 284-4743 FAX

Kansas

Kansas City Regional Office
Gateway Tower II
400 State Avenue, Room 200
Kansas City, KS 66101-2406
(913) 551-5462, Ext. 4(913) 551-5469 FAX

Kentucky

Louisville Field Office
601 West Broadway
Post Office Box 1044
Louisville, KY 40202
(502) 582-5251(502) 582-6074 FAX

Louisiana

New Orleans Field Office
Hale Boggs Federal Building
501 Magazine Street, 9th Floor
New Orleans, LA 70130-3099

Appendix IV: Agency Regional and Local Office Addresses

(504) 589-7201(504) 589-6619 FAX

Shreveport Field Office
401 Edwards Street, Suite 1510
Shreveport, LA 71101-5513
(318) 676-3440(318) 676-3407 FAX

Maine

Bangor Field Office
Margaret Chase Smith Federal Building
Room 101
202 Harlow Street
Bangor, ME 04402-1384
(207) 945-0467(207) 945-0533 FAX

Maryland

Baltimore Field Office
City Crescent Building
10 South Howard Street, Fifth Floor
Baltimore, MD 21201-2505
(410) 962-2520, Ext. 3474(410) 962-1849 FAX

Massachusetts

Boston Regional Office
Thomas P. O'Neill, Jr. Federal Building
10 Causeway Street, Room 301
Boston, MA 02222-1092
(617) 994-8200(617) 565-5257 FAX

Michigan

Detroit Field Office
Patrick V. McNamara Federal Building
477 Michigan Avenue
Detroit, MI 48226-2592
(313) 226-7900(313) 226-5611 FAX

Grand Rapids Field Office
Trade Center Building
50 Louis Street, N.W.
Grand Rapids, MI 49503-2633
(616) 456-2100(616) 456-2114 FAX

Flint Field Office
Municipal Center
1101 S. Saginaw Street
Flint, MI 48502-1953 United States
(810) 766-5112(810) 766-5122 FAX

Mid Atlantic Field Offices

(Delaware, District of Columbia, Maryland,
Pennsylvania, Virginia, West Virginia)

Midwest Field Offices

(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin)

Minnesota

Minneapolis Field Office
220 Second Street, South
Minneapolis, MN 55401-2195
(612) 370-3000, Ext. 2045(612) 370-3220 FAX

Mississippi

Jackson Field Office
Doctor A. H. McCoy Federal Building
100 West Capitol Street, Room 910
Jackson, MS 39269-1096
(601) 965-4757(601) 965-4773 FAX

Missouri

St. Louis Field Office
Robert A. Young Federal Building
1222 Spruce Street, Suite 3207
St. Louis, MO 63103-2836
(314) 539-6583(314) 539-6384 FAX

Montana

Helena Field Office
Power Block Building
7 West Sixth Avenue
Helena, MT 59601
(406) 449-5050(406) 449-5052 FAX

Nebraska

Omaha Field Office
Executive Tower Centre
10909 Mill Valley Road, Suite 100
Omaha, NE 68154-3955
(402) 492-3101(402) 492-3150 FAX

Nevada

Las Vegas Field Office
Atrium Building
333 North Rancho Drive, Suite 700
Las Vegas, NV 89106-3714
(702) 388-6500(702) 388-6244 FAX

Reno Field Office
3702 South Virginia Avenue
Reno, NV 89502-6581
(775) 784-5383(775) 784-5005 FAX

New England Field Offices

(Connecticut, Maine, Massachusetts, New
Hampshire, Rhode Island, Vermont)
Connecticut State Office
One Corporate Center, 19th Floor
Hartford, CT 06103-3220
(860) 240-4844
(860) 240-4850 FAX

New Hampshire

Manchester Field Office
Norris Cotton Federal Building
275 Chestnut Street
Manchester, NH 03103-2487
(603) 666-7510(603) 666-7667 FAX

New Jersey

Camden Field Office
Hudson Building
800 Hudson Square, Second Floor
Camden, NJ 08102-1156
(856) 757-5081(856) 757-5373 FAX

Newark Field Office
One Newark Center, 13th Floor
Newark, NJ 07102-5260
(973) 622-7900(973) 645-2323 FAX

New Mexico

Albuquerque Field Office
625 Silver Avenue S.W., Suite 100
Albuquerque, NM 87102-3185
(505) 346-7320, Ext. 7332(505) 346-6704 FAX

New York

Albany Field Office
52 Corporate Circle
Albany, NY 12203-5121
(518) 464-4200, Ext. 4204(518) 464-4300 FAX

New York Regional Office
26 Federal Plaza, Suite 3541
New York, NY 10278-0068
(212) 264-8000(212) 264-3068 FAX

Syracuse Field Office
128 Jefferson Street
Syracuse, NY 13202
(315) 477-0616(315) 477-0196 FAX

Buffalo Field Office
Lafayette Court
465 Main Street, Second Floor
Buffalo, NY 14203-1780 United States
(716) 551-5755, Ext. 5000(716) 551-5752 FAX

New York/New Jersey Field Offices

(New York, New Jersey)]

North Carolina

Greensboro Field Office
Asheville Building
1500 Pincroft Road
Suite 401
Greensboro, NC 27407-3838
(336) 547-4001(336) 547-4138 FAX

North Dakota

Fargo Field Office
Federal Building
657 2nd Avenue North, Room 366
Fargo, ND 58108-2483
(701) 239-5136(701) 239-5249 FAX

Northern Plains

UMB Plaza
1670 Broadway, 22nd Floor
Denver, CO 80202-4801
(303) 672-5465(303) 672-5003 FAX

Northwest

Seattle Federal Office Building
909 First Avenue, Suite 300
Seattle, WA 98104-1000
(206) 220-5270(206) 220-5234 FAX

Northwest/Alaska Field Offices

(Idaho, Oregon, Washington, Alaska)

Ohio

Cincinnati Field Office
15 East Seventh Street
Cincinnati, OH 45202-2401
(513) 684-3451(513) 684-6224 FAX

Columbus Field Office
200 North High Street
Columbus, OH 43215-2463
(614) 469-2540, Ext. 8116(614) 469-2432 FAX

Cleveland Field Office
Renaissance Building

Appendix IV: Agency Regional and Local Office Addresses

1350 Euclid Avenue, Suite 500
Cleveland, OH 44115-1815
(216) 522-4058, Ext. 7102(216) 522-4067 FAX

Oklahoma

Oklahoma City Field Office
500 West Main Street, Suite 400
Oklahoma City, OK 73102-2233
(405) 553-7509(405) 553-7588 FAX

Tulsa Field Office
1516 South Boston Street, Suite 100
Tulsa, OK 74119-4030
(918) 581-7434(918) 581-7440 FAX

Oregon

Portland Field Office
400 Southwest Sixth Avenue, Suite 700
Portland, OR 97204-1632
(503) 326-2561(503) 326-2568 FAX

Pacific/Hawaii Field Offices

(Arizona, California, Nevada, Hawaii)

Pennsylvania

Pittsburgh Field Office
Sixth Floor
339 Sixth Avenue
Pittsburgh, PA 15222-2515
(412) 644-6436(412) 644-4240 FAX

Philadelphia Regional Office
The Wanamaker Building
100 Penn Square East
Philadelphia, PA 19107-3380
(215) 656-0500(215) 656-3445 FAX

Puerto Rico

San Juan Field Office
New San Juan Office Building
171 Carlos E. Chardon Avenue
San Juan, PR 00918-0903
(787) 766-5201(787) 766-5995 FAX

Rhode Island

Providence Field Office
Sixth Floor
10 Weybosset Street
Providence, RI 02903-2818
(401) 528-5230(401) 528-5312 FAX

Rocky Mountains Field Offices

(Colorado, Montana, North Dakota, South Dakota,
Utah, Wyoming)

South Carolina

Columbia Field Office
Strom Thurmond Federal Building 13th Floor
1835 Assembly Street
Columbia, SC 29201-2480
(803) 765-5592(803) 253-3043 FAX

South Dakota

Sioux Falls Field Office
2400 West 49th Street, Suite I-201
Sioux Falls, SD 57105-6558
(605) 330-4223(605) 330-4428 FAX

Southeast/Caribbean Field Offices

(Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina, South Carolina,
Tennessee, Caribbean, Panama Canal Zone, Puerto
Rico, Virgin Islands)

Southern Plains

301 N.W. 6th Street Suite 200
Oklahoma City, OK 73102
(405) 609-8532(405) 609-8403 FAX

Southwest

One North Central Avenue Suite 600
Phoenix, AZ 85004-2361
(602) 379-7200(602) 379-3101 FAX

Southwest Field Offices

(Arkansas, Louisiana, New Mexico, Oklahoma,
Texas)

Tennessee

Nashville Field Office
235 Cumberland Bend Drive, Suite 200
Nashville, TN 37228-1803
(615) 736-5213, Ext. 7120(615) 736-7848 FAX

Memphis Field Office
One Memphis Place
200 Jefferson Avenue, Suite 1200
Memphis, TN 38103-2335
(901) 544-3367(901) 544-3697 FAX

Knoxville Field Office
John J. Duncan Federal Building
710 Locust Street, Third Floor
Knoxville, TN 37902-2526
(865) 545-4384(865) 545-4569 FAX

Texas

San Antonio Field Office
One Alamo Center
106 South St. Mary's Street
San Antonio, TX 78205
(210) 475-6806(210) 472-6804 FAX

Lubbock Field Office
George H. Mahon Federal Building and United
States Courthouse
1205 Texas Avenue, Room 511
Lubbock, TX 79401-4093
(806) 472-7265, Ext. 3030(806) 472-7275 FAX

Houston Field Office
1301 Fannin Suite 2200
Houston, TX 77002
(713) 718-3199(713) 313-2319 FAX

Fort Worth Regional Office
801 Cherry Street
P.O. Box 2905
Fort Worth, TX 76113-2905
(817) 978-5965(817) 978-5567 FAX

Dallas Field Office
525 Griffin Street, Room 860
Dallas, TX 75202-5007
(214) 767-8300(214) 767-8973 FAX

Utah

Salt Lake City Field Office
125 South State Street Suite 3001
Salt Lake City, UT 84138
(801) 524-6070(801) 524-3439 FAX

Vermont

Burlington Field Office
159 Bank Street, 2nd Floor
Burlington, VT 05401-4410
(802) 951-6290(802) 951-6298 FAX

Virginia

Richmond Field Office
The 3600 Centre
600 East Broad Street, 3rd Floor
Richmond, VA 23219-4920
(804) 771-2100(804) 771-2090 FAX

Washington

Spokane Field Office
U.S. Courthouse Building
920 West Riverside, Room 588
Spokane, WA 99201-1010
(509) 353-0674(509) 353-0682 FAX

Seattle Regional Office
Seattle Federal Office Building
909 1st Avenue, Suite 200
Seattle, WA 98104-1000
(206) 220-5101(206) 220-5108 FAX

West Virginia

Charleston Field Office
Kanawha Valley Building
405 Capitol Street, Suite 708
Charleston, WV 25301-1795
(304) 347-7000, Ext. 101(304)-347-7050 FAX

Wisconsin

Milwaukee Field Office
Henry S. Reuss Federal Plaza
310 West Wisconsin Avenue, Suite 1380
Milwaukee, WI 53203-2289
(414) 297-3214, Ext. 8001(414) 297-3947 FAX

Wyoming

Casper Field Office
Federal Office Building
100 East B Street, Room 1010
Casper, WY 82601-1969
(307) 261-6250(307) 261-6245 FAX

DEPARTMENT OF THE INTERIOR

District I

All Indian Reservations in North Dakota, South
Dakota, Nebraska, Wisconsin, Michigan and
Minnesota
Office of Law Enforcement
Bureau of Indian Affairs
115 4th Avenue, S.E., MC: 302
Aberdeen, SD 57401

District II

All Indian Tribes in Eastern Oklahoma, all Indian
Reservations in Western Oklahoma, and Kansas

Appendix IV: Agency Regional and Local Office Addresses

Office Law Enforcement
Bureau of Indian Affairs
101 N. 5th Street
Muskogee, OK 74401

District III

All Indian Reservations in Arizona, Nevada, Utah
(excluding Navajo) and Indian Reservations in
Northern California
Office of Law Enforcement
Bureau of Indian Affairs
N. 5th Street, Bldg 2
Mailing Address:
P.O. Box 10
Phoenix, AZ 85001

District IV

All Indian Reservations in Southern Colorado,
New Mexico, and all Navajo Indian Reservations
in Arizona, New Mexico and Utah
Office of Law Enforcement
Bureau of Indian Affairs
P.O. Box 26567
Albuquerque, NM 87125-6567

District V

All Indian Reservations in Montana, Wyoming,
Oregon, Washington, Idaho, and Alaska
Office of Law Enforcement
Bureau of Indian Affairs
316 North 26th Street
Billings, MT 59101

Eastern Region

All Indian Reservations in Alabama, Connecticut,
Florida, Louisiana, Maine, Mississippi, New York,
North Carolina and Rhode Island
Office of Law Enforcement
Bureau of Indian Affairs
1849 C Street, NW., MS 4550 MIB
Washington, DC 20240-0001

BUREAU OF INDIAN AFFAIRS

Deputy Commissioner of Indian Affairs

1849 C Street N.W., MS-4140 MIB
Washington, DC 20240
(202) 208-5116

101 12th Avenue, Room 16

Metlakatla Field Office
P.O. Box 450
Metlakatla, AK 99926
(907) 886-3791

Fairbanks Agency
Fairbanks, AK 99701-6270
(907) 456-0222

Alaska

Alaska Regional Office
P.O. Box 25520
Juneau, AK 99802-5520
(907) 586-7177

Bethel Field Office
1675 C Street, Suite 279

Anchorage, AK 99501-5198
(907) 271-4086

Anchorage Education Field Office
1675 C Street
Anchorage, AK 99501
(907) 271-4115

Anchorage Field Office
1675 C Street, Suite 211
Anchorage, AK 99501-5198
(907) 271-4088

Arizona

Western Regional Office
Two Arizona Center, 12th Floor
P.O. Box 10, MS-100
Phoenix, AZ 85001-0010
(602) 379-6600

Pima Agency-Education
400 North 5th Street
PO Box 10
Phoenix, AZ 85001
(602) 379-3944

Western Navajo Agency-Education
Highway 160 and Warrior Drive
Building 407
PO Box 746
Tuba City, AZ 86045
(520) 283-2218

Western Navajo Agency
P.O. Box 127
Tuba City, AZ 86045
(520) 283-2254, 2252

Hopi Agency-Education
Highway 264
PO Box 568
Keams Canyon, AZ 86034
(520) 738-2262

Colorado River Agency, Route 1, Box 9-C
Parker, AZ 85344
(520) 669-7111

Fort Apache Agency
P.O. Box 560
Whiteriver, AZ 85941
(520) 338-5353

Ft. Apache Agency-Education
Highway 73 and Elm Street
PO Box 920
White River, AZ 85941
(520) 338-5441

Fort Defiance Agency, P.O. Box 619
Fort Defiance, AZ 86504
(520) 729-7217, 7218

Chinle Agency
P.O. Box 7H
Chinle, AZ 86503
(520) 674-5100

Papago Agency
P.O. Box 578
Sells, AZ 85634
(520) 383-3286

Papago Agency-Education
South Building #49
PO Box 38
Sells, AZ 85634
(520) 383-3292

Fort Defiance Agency-Education
Building 38, Blue Canyon Highway 110
PO Box 110
Fort Defiance, AZ 86504-0110
(520) 729-7251

Pima Agency
P.O. Box 8
Sacaton, AZ 85247
(520) 562-3326

Chinle Agency-Education
Navjo Route 7
PO Box 6003
Chinle, AZ 86503
(520) 674-5130 Ext. 201

Fort Yuma Field Office
P.O. Box 11000
Yuma, AZ 85366-1000
(760) 572-0248

Truxton Canon Field Office
P.O. Box 37
Valentine, AZ 86437
(520) 769-2286

Sacramento Area Education Office
2800 Cottage Way
Sacramento, CA 95825
(916) 979-2560, Ext. 234

San Carlos Agency
P.O. Box 209
San Carlos, AZ 85550
(520) 475-2321

Hopi Agency
P.O. Box 158
Keams Canyon, AZ 86034
(520) 738-2228

Salt River Field Office
10000 E. McDowell Road
Scottsdale, AZ 85256
(602) 640-2168

California

Southern California Agency
2038 Iowa Avenue, Suite 101
Riverside, CA 92507-0001
(909) 276-6624

Central California Agency
1824 Tribute Road, Suite J
Sacramento, CA 95815
(916) 566-7121

Palm Springs Field Office
P.O. Box 2245
650 E. Tahquitz Canyon Way, Suite A
Palm Springs, CA 92262
(760) 416-2133

Northern California Field Office

Appendix IV: Agency Regional and Local Office Addresses

1900 Churn Creek Road, Suite 300
Redding, CA 96002
(530) 246-5141

(See also Arizona (Western)
Pacific Regional Office
Federal Office Building
2800 Cottage Way
Sacramento, CA 95825-1846
(916) 979-2600

Colorado

Southern Ute Agency
P.O. Box 315
Ignacio, CO 81137
(970) 563-4511

Ute Mountain Ute Field Office
P.O. Box KK
Towaoc, CO 81334
(970) 565-8473

Florida

Seminole Agency
6075 Sterling Road
Hollywood, FL 33024
(954) 356-7288

Idaho

Plummer Subagency
P.O. Box 408
850 A Street
Plummer, ID 83851
(208) 686-1887

Northern Idaho Agency
P.O. Drawer 277
Lapwai, ID 83540
(208) 843-2300

Fort Hall Agency
P.O. Box 220
Fort Hall, ID 83203
(208) 238-2301

Kansas

Haskell Indian Nations University
155 Indian Avenue #1305
Lawrence, KS 66046-4800
(785) 749-8404

Haskell Indian Nations University
155 Indian Avenue
Lawrence, KS 66046
(785) 749-8404

Michigan

Michigan Field Office
2901.5 I-75 Business Spur
Sault Ste Marie, MI 49783
(906) 632-6809

Horton Field Office
P.O. Box 31
Horton, KS 66439
(785) 486-2161

Minnesota

Minneapolis Area Education Office

331 South Second Avenue
Minneapolis, MN 55401-2241
(612) 373-1000, Ext. 1090

Midwest Regional Office
One Federal Drive, Room 550
St. Snelling, MN 55111
(612) 713-4400, Ext. 1020

Red Lake Field Office
Red Lake, MN 56671
(218) 679-3361

Minnesota Agency
Room 418, Federal Building
522 Minnesota Avenue, NW
Bemidji, MN 56601-3062
(218) 751-2011

Mississippi

Choctaw Field Office
421 Powell Street
Philadelphia, MS 39350
(601) 656-1522

Montana

Northern Cheyenne Agency
P.O. Box 40
Lame Deer, MT 59043
(406) 477-8242

Billings Area Education Office
316 North 26th Street
Billings, MT 59101-1397
(406) 247-7953

Flathead Field Office
P.O. Box 40
Pablo, MT 59855-5555
(406) 675-0242

Rocky Mountain Regional Office
316 North 26th Street
Billings, MT 59101-1397
(406) 247-7943

Crow Agency, MT 59022
(406) 638-2672

Fort Belknap Agency
RR 1, Box 980
Harlem, MT 59526
(406) 353-2901, Ext. 23

Rocky Boy's Field Office
RR 1, Box 542
Box Elder, MT 59521
(406) 395-4476

Fort Peck Agency
P.O. Box 637
Poplar, MT 59255
(406) 768-5312

Crow Agency
Box 69

Blackfeet Agency
P.O. Box 880
Browning, MT 59417
(406) 338-7544

Navajo Regional Office

P.O. Box #1060
Gallup, NM 87305
(505) 863-8314

Nebraska

Winnebago Agency
P.O. Box 18
Winnebago, NE 68071
(402) 878-2502

Nevada

Western Nevada Agency
1677 Hot Springs Road
Carson City, NV 89706
(775) 887-3500

Eastern Nevada Field Office
1555 Shoshone Circle
Elko, NV 89801
(775) 738-0569

New Mexico

Northern Pueblos Agency
P.O. Box 4269
Fairview Station
Española, NM 87533
(505) 753-1400

Shiprock Agency
P.O. Box 966
Shiprock, NM 87420
(505) 368-3300

Shiprock Agency-Education
Highway 666N
PO Box 3239
Shiprock, NM 87420-3239
(505) 368-4427, Ext. 360
FTS 8 (700) 479-4370

Zuni Agency
P.O. Box 369
Zuni, NM 87327
(505) 782-5591

Laguna Agency
P.O. Box 1448
Laguna, NH 87026
(505) 552-6001

Ramah-Navajo Agency
Route 2, Box 14
Ramah, NM 87321
(505) 775-3235

Jicarilla Agency
P.O. Box 167
Dulce, NM 87528
(505) 759-3951

Eastern Navajo Agency, P.O. Box 328
Crownpoint, NM 87313
(505) 786-6100

Southern Pueblos Agency-Education
1000 Indian School Road NW
PO Box 1667
Albuquerque, NM 87103
(505) 346-2431

Appendix IV: Agency Regional and Local Office Addresses

Northern Pueblos Agency-Education
 1 Mile North of Espanola
 Highway 68
 PO Box 4269
 Fairview Station
 Espanola, NM 87533
 (505) 753-1465

Mescalero Agency
 P.O. Box 189
 Mescalero, NM 88340
 (505) 671-4423

Eastern Navajo Agency-Education
 1 Main Street, Building 222
 PO Box 328
 Crownpoint, NM 87313
 (505) 786-6150

(See also Arizona (Navajo)
 Southwest Regional Office
 615 1st Street, N.W.
 P.O. Box 26567
 Albuquerque, NM 87125-6567
 (505) 346-7590

Southern Pueblos Agency
 P.O. Box 1667
 Albuquerque, NM 87103
 (505) 346-2424

New York
 (See Virginia, Eastern Regional Office)

New York Field Office
 P.O. Box 7366
 Syracuse, NY 13261-7366
 (315) 448-0620

North Carolina
 Cherokee Agency
 Cherokee, NC 28719
 (704) 497-9131
 (See Virginia, Eastern Regional Office)

North Dakota
 Standing Rock Agency-Education
 Main Street off Highway 106
 Agency Avenue
 PO Box E
 Fort Yates, ND 58538
 (701) 854-3497

Fort Berthold Agency
 P.O. Box 370
 New Town, ND 58763
 (701) 627-4707

Southwestern Indian Polytechnic Institute
 9169 Coors Road NW
 P.O. Box 10146-9196
 Albuquerque, NM 87184
 (505) 346-2343

Turtle Mountain Agency
 P.O. Box 60
 Belcourt, ND 58316
 (701) 477-3191
 Fort Totten Agency

P.O. Box 270
 Fort Totten, ND 58335
 (701) 766-4545

Standing Rock Agency
 P.O. Box E
 Fort Yates, ND 58538
 (701) 854-3433

Oklahoma Education Office
 4149 Highline Boulevard, Suite 380
 Oklahoma City, OK 73108
 (605) 945-6051 Ext. 301
 (405) 605-6057

Turtle Mountain Agency-Education
 School Street
 PO Box 30
 Belcourt, ND 58316
 (701) 477-6471 Ext. 211
 (See South Dakota)

Oklahoma
 Concho Field Office
 P.O. Box 68
 El Reno, OK 73036-0068
 (405) 262-7481

Siletz Field Office
 P.O. Box 569
 Siletz, OR 97380
 (541) 444-2679

Wewoka Agency
 P.O. Box 1060
 Wewoka, OK 74884
 (405) 257-6259

Southern Plains Office
 P.O. Box 368
 Anadarko, OK 73005-0368
 (405) 247-6673, Ext. 257

Pawnee Agency, P.O. Box 440,
 Pawnee, OK 74058-0440
 (918) 762-2585

Miami Field Office
 P.O. Box 391
 Miami, OK 74355
 (918) 542-3396

Chickasaw Agency
 1500 N. Country Club Road
 P.O. Box 2240
 Ada, OK 74821
 (580) 436-0784

Shawnee Field Office
 624 West Independence, Suite 114
 Shawnee, OK 74801
 (405) 273-0317

Okmulgee Field Office
 P.O. Box 370
 Okmulgee, OK 74447
 (918) 756-3950

Anadarko Agency
 P.O. Box 309
 Anadarko, OK 73005

(405) 247-6677

Talihina Office
 Drawer H
 Talihina, OK 74571
 (918) 567-2207

Eastern Oklahoma Regional Office
 Bureau of Indian Affairs
 101 North 5th Street
 Muskogee, OK 74401-6206
 (918) 687-2295

Osage Agency
 P.O. Box 1539
 Pawhuska, OK 74056
 (918) 287-1032

Oregon
 Portland Area Education Office
 911 North East 11 Avenue
 Portland, OR 97232-4169
 (503) 872-2743

Umatilla Agency
 P.O. Box 520
 Pendleton, OR 97801
 (541) 278-3786

Warm Springs Agency
 P.O. Box 1239
 Warm Springs, OR 97761
 (541) 553-2411

(See also Arizona (Western)
 Northwest Regional Office
 911 N.E. 11th Avenue
 Portland, OR 97232-4169
 (503) 231-6702

South Dakota
 Cheyenne River Agency
 P.O. Box 325
 Eagle Butte, SD 57625
 (605) 964-6611

Lower Brule Agency
 P.O. Box 190
 Lower Brule, SD 57548
 (605) 473-5512

Great Plains Regional Office
 Bureau of Indian Affairs
 115 4th Avenue, SE
 Aberdeen, SD 57401-4382
 (605) 226-7343

Crow Creek Agency
 P.O. Box 139
 Ft. Thompson, SD 57339
 (605) 245-2311

Yankton Agency
 P.O. Box 577
 Wagner, SD 57380
 (605) 384-3651

Pine Ridge Agency
 P.O. Box 1203
 Pine Ridge, SD 57770
 (605) 867-5125

Appendix IV: Agency Regional and Local Office Addresses

Crow Creek/Lower Brule Agency-Education
140 Education Avenue
PO Box 139
Fort Thompson, SD 57339
(605) 245-2398

Sisseton Agency
P.O. Box 688
Agency Village, SD 57262
(605) 698-3001

Rosebud Agency-Education
1001 Avenue D
PO Box 669
Mission, SD 57555
(605) 856-4478 Ext. 261

Rosebud Agency
P.O. Box 550
Rosebud, SD 57570
(605) 747-2224

Cheyenne River Agency-Education
100 North Main
PO Box 2020
Eagle Butte, SD 51625
(605) 964-8722

Pine Ridge Agency-Education
101 Main Street
PO Box 333
Pine Ridge, SD 57770
(605) 867-1306

Utah

Southern Paiute
Field Office
P.O. Box 720
St. George, UT 84771
(435) 674-9720

(see Arizona (Navajo and Western), and Oregon)

Uintah and Ouray Agency
P.O. Box 130
Fort Duchesne, UT 84026
(435) 722-4300

Virginia

South and Eastern States Agency-Education
3701 North Fairfax Drive, Suite 260
Arlington, VA 22203
(703) 235-3233

Eastern Regional Office
3701 North Fairfax Drive
Suite 260
Arlington, VA 22203
(703) 235-3006

Washington

Yakima Agency
P.O. Box 151 (Tribal)
P. O. Box 632 (BIA)
Toppenish, WA 98948
(509) 865-5121

(See Oregon)

Colville Agency
P.O. Box 111

Nespelem, WA 99155-0111
(509) 634-2316

Olympic Peninsula Agency
P.O. Box 48
Aberdeen, WA 98520
(360) 533-9100

Spokane Agency
P.O. Box 389
Wellpinit, WA 99040
(509) 258-4561

Puget Field Office
2707 Colby Avenue, Suite 1101
Everett, WA 98201
(425) 258-2651

Makah Field Office
P.O. Box 115
Neah Bay, WA 98357
(360) 645-3232

Wisconsin

Great Lakes Agency
615 Main Street, West
P.O. Box 273
Ashland, WI 54806-0273
(715)682-4527

(See Minnesota)

Wyoming

(See Montana)

Cross-references denote which area or offices provide services to eligible Indians within the State.

Wind River Agency
P.O. Box 158
Fort Washakie, WY 82514
(307) 332-7810

BUREAU OF LAND MANAGEMENT

REGIONAL OFFICES

Alaska

USDI, BLM, Alaska State Office
227 W. 7th Ave 13
Anchorage, AK 99513 United States
(907)267-4323

Arizona

USDI, BLM, Arizona State Office
One Central Ave, Ste 800
Phoenix, AZ 85004-4427 United States
(602) 417-9296

Branch of Procurement Management

USDI, BLM, Washington Office
20 M Street South East
Washington, DC 20003-0047 United States
(801)539-4178

California

USDI, BLM, California State Office
2800 Cottage Way, Suite W-1834
Sacramento, CA 85825-1886 United States
(916) 978-4527

Colorado

USDI, BLM, Colorado State Office
2850 Youngfield Street
Lakewood, CO 80215-7076 United States
(303) 239-3677

Nevada

USDI, BLM, Nevada State Office
1340 Financial Boulevard
Reno, NV 89520-0006 United States
(702) 861-6559

New Mexico

USDI, BLM, New Mexico State Office
100 Sun Avenue North East
Pan American Building, Suite 300
Albuquerque, NM 87109 United States
(505) 761-8917

Oregon

USDI, BLM, Oregon State Office
1515 SW 5th Avenue
Portland, OR 97208 United States
(503) 952-6220

Utah

USDI, BLM, Utah State Office
440 West 200 South, Ste 500
Salt Lake City, UT 84101 United States
(801) 539-4177

Wyoming

USDI, BLM, Wyoming State Office
5353 Yellowstone Road
P.O. Box 1828
Cheyenne, WY 82005 United States
(307) 775-6056

RESEARCH HEADQUARTERS

Idaho

1387 S. Vinnell Way
Boise, ID 83709-1657
(208) 373-3909

Montana

5001 Southgate Drive
Billings, MT 59101 United States
(406)896-5188

BUREAU OF RECLAMATION

REGIONAL OFFICES

Commissioner's Office, Denver, Colorado

USDI, BLM, National Operation Center
Denver Federal Center, Building 53, PO Box
45047
Denver, CO 80225-0047 United States
(303) 236-6309

Great Plains Regional Office

USDI, BLM, Montana State Office
5001 Southgate Drive
Billings, MT 59101 United States
(406)896-5188

Pacific Northwest Regional Office

Appendix IV: Agency Regional and Local Office Addresses

USDI, BLM, Idaho State Office
1387 S. Vinnell Way
Boise, ID 83709-1657 United States
(208) 373-3909

Lower Colorado Regional Office

1340 Financial Boulevard
Reno, NV 89520-0006 United States
(702)861-6559

Mid-Pacific Regional Office

2800 Cottage Way, Suite W-1834
Sacramento, CA 85825-1886 United States
(916)978-4527

Upper Colorado Regional Office

440 West 200 South, Ste 500
Salt Lake City, UT 84101 United States
(801)539-4177

FISH AND WILDLIFE SERVICE

(Region VI: Colorado, Kansas, Montana, Nebraska, North Dakota, South Dakota, Utah, Wyoming)

Ralph O. Morgenweck
P.O. Box 25486
Denver Federal Center
Denver, CO 80025
Phone (303) 236-7920 Fax (303) 236-8295

(Region VII: Alaska)

Rowan Gould
1011 E. Tudor Road
Anchorage, AK 99503
Phone (907) 786-3306, fax (907) 786-3542

California/Nevada

Judy Frye
2800 Cottage Way, Suite W2606
Sacramento, CA 95825
Phone (916) 414-6486, fax (916) 414-6464

Georgia

(Region IV: Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee, Virgin Islands)
Sam Hamilton
1875 Century Boulevard
Atlanta, GA 30345
Phone (404) 679-4006, fax (404) 679-4000

Massachusetts

(Region V: Connecticut, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia, Washington, District of Columbia)
Marvin Moriarty
300 Westgate Center Drive
Hadley, MA 01035
Phone (413) 253-8308, Fax (413) 253-8300

Minnesota

(Region III: Illinois, Indiana, Iowa, Michigan,

Minnesota, Missouri, Ohio, Wisconsin)
Robyn Thorson
Federal Building, 1 Federal Drive
Fort Snelling, MN 55111
Phone (612) 713-5284, fax (612) 713-5301

New Mexico

(Region II: Arizona, New Mexico, Oklahoma, Texas)
Dale Hall
P.O. Box 1306
500 Gold Avenue, S.W., Room 3018
Albuquerque, NM 87103
Phone (505) 248-6910, fax (505) 248-6282

Oregon

(Region I: California, Hawaii, Idaho, Nevada, Oregon, Washington)
Dave Allen
911 N.E. 11th Avenue
Portland, OR 97232-4181
Phone (503) 872-2716, fax (503) 231-6118

NATIONAL PARK SERVICE

REGIONAL OFFICES

Alaska Support Office

National Park Service
Robert D. Barbee, Regional Director
2525 Gambell Street
Anchorage, AK 99503-2892
(907) 257-2690

Intermountain Region

National Park Service
Karen Wade
Intermountain Region
12795 West Alameda Parkway
P.O. Box 25287
Denver, CO 80225-0287
(303) 969-2503

Midwest Region

Alan M. Hutchings, Associate Regional Director
Professional Services and Legislation
National Park Service
1709 Jackson Street
Omaha, NE 68102
(402) 221-3084

National Capital Region

National Park Service
David Linderman, Chief
Finance Management Officer
1100 Ohio Drive, SW
Washington, DC 20242
(202) 619-7160

Northeast Region

Marie Rust, Director
National Park Service
U.S. Custom House
200 Chestnut Street, 3rd Floor
Philadelphia, PA 19106
(215) 597-7013

Pacific West Region

National Park Service
Vacant
Resources, Stewardship and Partnership
111 Jackson Street, Suite 700
Oakland, CA 94607
(415) 427-1321

Southeast Region

Jerry Belson, Director
National Park Service
Atlanta Federal Center, 1924 Building
100 Alabama Street, S.W.
Atlanta, GA 30303
(404) 562-3100

SERVICE CENTER

Alabama

Dr. Lee M. Warner, State Historical Preservation
Office
468 South Perry Street
Montgomery, AL 36130-0900
(334) 242-3184

Alaska

Ms. Judith E. Bittner, Chief, History and
Archeology
Department of Natural Resources
Division of Parks and Outdoor Recreation
550 W. 7th Avenue, Suite 1310
Anchorage, AK 99501-3565
(907) 269-8908

American Samoa

Mr. John Enright, Territorial Historic Preservation
Officer
American Samoa Historic Preservation Office
American Samoa Government
Pago Pago, AS 96799
(684) 633-2384

Arizona

Mr. James Garrison, State Historic Preservation
Officer
Office of Historic Preservation
Arizona State Parks
1300 W. Washington
Phoenix, AZ 85007
(602) 542-4174

Arkansas

Vacant
Arkansas Historic Preservation Program
1500 Tower Building
323 Center Street
Little Rock, AR 72201
(501) 324-9880

California

Dr. W. Knox Mellon, Jr., State Historic
Preservation Officer
Office of Historic Preservation
Department of Parks and Recreation
P.O. Box 942896
Sacramento, CA 94296-0001

Appendix IV: Agency Regional and Local Office Addresses

(916) 653-6624

Colorado

Ms. Geogianna Contiguglia, State Historic Preservation Officer, Colorado Historical Society
Colorado History Museum
1300 Broadway
Denver, CO 80203-2137
(303) 866-3355

Commonwealth of Puerto Rico

Ms. Lilliane D. Lopez, State Historic Preservation Officer
La Fortaleza
P.O. Box 82
San Juan, PR 00901
(787) 721-3737

Commonwealth of the Northern Mariana Islands

Mr. Joseph P. Deleon Guerrero, Historic Preservation Officer
Department of Community and Cultural Affairs
Commonwealth of the Northern Mariana Islands
Saipan, MP 96950
(670) 664-2120

Connecticut

Mr. John W. Shannahan, State Historic Preservation Officer and Director, Connecticut Historical Commission
59 South Prospect Street
Hartford, CT 06106
(860) 566-3005

Delaware

Mr. Daniel R. Griffith, Director
Division of Historical and Cultural Affairs
Halls of Records
The Green
Dover, DE 19901
(302) 739-5685

Denver Service Center

Manager, National Park Service
P.O. Box 25287
Denver, CO 80225
(303) 969-2100

District of Columbia

Mr. Gregory McCarthy, State Historic Preservation Officer
Office of Policy & Program Evaluation
441 4th Street, N.W., Suite 920-S
Washington, DC 20002
(202) 727-6979

Federated States of Micronesia (FSM)

Dr. Rufino Mauricio, State Historic Preservation Officer
Department of Health, Education & Social Affairs
FSM National Government
P.O. Box PS 70
Palikir Pohnpei State, FM 96941
(691) 320-2343 Overseas Operator

Florida

Dr. Janet Snyder Matthews, State Historic Preservation Officer and Director
Division of Historical Resources
Department of State
R.A. Gray Building
500 S. Bronough Street
Tallahassee, FL 32399-0250
(850) 488-1480

Georgia

Mr. Lonice Barrett, Commissioner and State Historic Preservation Officer
Department of Natural Resources
156 Trinity Avenue S.W., Suite 101
Atlanta, GA 30333
(404) 656-3500

Guam

Ms. Lynda B. Aguon, State Historic Preservation Officer
Department of Parks and Recreation
Division of Historic Resources
P.O. Box 2950
Agana Heights, GU 96910
011-671-475-6290

Harpers Ferry Center

National Park Service
Gary Cummins, Manager
P.O. Box 50
Harpers Ferry, WV 25425-0050
(304) 535-6211

Hawaii

Mr. Gilbert Coloma-Agaran, State Preservation Officer
Department of Land and Natural Resources
601 Komokila Boulevard, Room 555
Honolulu, HI 96813
(808) 587-0401

Idaho

Mr. Steve Guerber, Interim State Historic Preservation Officer
210 Main Street
Boise, ID 83702-7264
(208) 334-3890

Illinois

Mr. William L. Wheeler, Associate Director
Illinois Historic Preservation Agency
Preservation Services Division
One Old State Capitol
Springfield, IL 62701
(217) 785-9045

Indiana

Indianapolis, IN 46204
Mr. Larry D. Macklin, State Historic Preservation Officer and Director
Department of Natural Resources
402 West Washington Street, Room W274
(317) 232-4020

Iowa

Ms. Anita Walker, Acting State Historic Preservation Officer
State Historical Society of Iowa
600 East Locust Street
Des Moines, IA 50319-0290
(515) 281-8837

Kansas

Mr. Ramon S. Powers, Executive Director
Cultural Resources Division
6425 Southwest 6th Avenue
Topeka, KS 66615-1099
(785) 272-8681, Ext. 205

Kentucky

Mr. David Morgan, State Historic Preservation Officer and Director
Kentucky Heritage Council
300 Washington Street
Frankfort, KY 40601
(502) 564-7005, Ext. 11

Louisiana

Ms. Laurel Wyckoff, Assistant Secretary
Office of Cultural Development
P.O. Box 44247
Baton Rouge, LA 70804
(225) 342-8160

Maine

Mr. Earle G. Shettleworth, Jr., Director,
Maine Historic Preservation Commission
55 Capitol Street, Station 65
Augusta, ME 04333-0065
(207) 287-2132

Maryland

Mr. J. Rodney Little, Executive Director
Historical and Cultural Programs
Department of Housing and Community Development
Peoples Resource Center
100 Community Place, 3rd Floor
Crownsville, MD 21032-2023
(410) 514-7600

Massachusetts

Ms. Brona Simon, Acting State Historic Preservation Officer
Executive Director, Massachusetts Historical Commission
220 Morrissey Boulevard
Boston, MA 02125
(617) 727-8470

Michigan

Mr. Brian D. Conway
State Historic Preservation Officer
Bureau of Michigan History
Department of State
717 W. Allegan
Lansing, MI 48918-0001
(517) 373-0511

Minnesota

Dr. Nina M. Archabal, Director

Appendix IV: Agency Regional and Local Office Addresses

Minnesota Historical Society
State Historic Preservation Office
345 Kellogg Boulevard West
St. Paul, MN 55102
(612) 296-2747

Mississippi

Mr. Elbert Hilliard, Director
Mississippi Department of Archives and History
P.O. Box 571
Jackson, MS 39205
(601) 359-6850

Missouri

Mr. Stephan Mahfood, Director
Department of Natural Resources
P.O. Box 176
Jefferson City, MO 65102
(573) 751-4732

Montana

Dr. Mark Baumler, State Historic Preservation
Officer
Montana Historical Society
1410 8th Avenue, P.O. Box 201202
Helena, MT 59620-1202
(406) 444-7715

Nebraska

Mr. Lawrence J. Sommer, Director
Nebraska State Historical Society
1500 R Street
P.O. Box 82554
Lincoln, NE 68501
(402) 471-4746

Nevada

Mr. Ronald M. James, State Historic Preservation
Officer
Department of Museums, Library and Arts
100 North Stewart Street
Capitol Complex
Carson City, NV 89710-4285
(775) 684-3440

New Hampshire

Mrs. Nancy C. Dutton, Director
Division of Historical Resources
P.O. Box 2043
Concord, NH 03302-2043
(603) 271-6435

New Jersey

Mr. Robert C. Shinn, Jr., Commissioner
Department of Environmental Protection
401 East State Street
P.O. Box 304
Trenton, NJ 08625
(609) 292-2885

New Mexico

Mr. Elmo Baca, State Historic Preservation
Division
Office of Cultural Affairs
Villa Rivera Building, 3rd Floor
228 E. Palace Avenue

Santa Fe, NM 87503
(505) 827-6320

New York

Mrs. Bernadette Castro, Commissioner
Office of Parks, Recreation and Historic
Preservation
Empire State Plaza
Agency Building 1, 20th Floor
Albany, NY 12238
(518) 474-0443

North Carolina

Dr. Jeffrey J. Crow, Director
Department of Cultural Resources
Division of Archives and History
4617 Mail Service Center
Raleigh, NC 27699-4617
(919) 733-7305

North Dakota

Mr. Merl Paaverud, Interim Superintendent
State Historical Society of North Dakota
ND Heritage Center
612 East Boulevard Avenue
Bismarck, ND 58505-0830
(701) 328-2666

Ohio

Dr. Amos J. Loveday, Jr., State Historic
Preservation Officer
Ohio Historic Preservation Office
Ohio Historical Society
567 E. Hudson Street
Columbus, OH 43211-1030
(614) 298-2000

Oklahoma

Dr. Bob L. Blackburn
State Historic Preservation Officer
2100 N. Lincoln Boulevard
Oklahoma City, OK 73105
(405) 521-2491

Oregon

Mr. Mike Carrier, Director
Oregon Parks and Recreation Department
1115 Commercial Street NE
Salem, OR 97310-1001
(503) 378-5019

Pennsylvania

Dr. Brent D. Glass, State Historic Preservation
Officer
Pennsylvania Historical and Museum Commission
400 North Street
Harrisburg, PA 17108-1026
(717) 787-2891

Republic of Palau

Ms. Victoria N. Kanai, Historic Preservation
Officer
Ministry of Social Services
Division of Cultural Affairs
P.O. Box 100, Government of Palau
Koror, PW 96940

(680) 488-2489

Republic of the Marshall Islands

Mr. Frederick deBrum, Secretary of Interior
Affairs and Historic Preservation Officer, Republic
of the Marshall Islands
P.O. Box 1454
Majuro, MH 96960
(692) 625-4642

Rhode Island

Mr. Frederick C. Williamson, State Historic
Preservation Officer
Historical Preservation and Heritage Commission
Old State House
150 Benefit Street
Providence, RI 02903
(401) 222-2678

South Carolina

Dr. Rodger E. Stroup, Director
Department of Archives and History
8301 Parkland Road
Columbia, SC 29223-4905
(803) 896-6100

South Dakota

Mr. Jay D. Vogt, State Historic Preservation
Officer
South Dakota State Historical Society
900 Governors Drive
Pierre, SD 57501-2217
(605) 773-3458

Tennessee

Mr. Milton H. Hamilton Jr., Commissioner and
State Historic Preservation Officer
Department of Environment and Conservation
2941 Lebanon Road
Nashville, TN 37243-0442
(615) 532-0109

Texas

Mr. Lawrence Oaks, Executive Director
Texas Historical Commission
P.O. Box 12276, Capitol Station
Austin, TX 78711-2276
(512) 463-6100

Utah

Mr. Max J. Evans, State Historic Preservation
Officer and Director
Utah State Historical Society
300 Rio Grande
Salt Lake City, UT 84101
(801) 533-3551

Vermont

Ms. Emily Wadhams
State Historic Preservation Officer and Director
Agency of Commerce and Community
Development
Vermont Division for Historic Preservation
National Life Building, Drawer 20
Montpelier, VT 05620-0501
(802) 828-3056

Appendix IV: Agency Regional and Local Office Addresses

Virgin Islands

Mr. Dean C. Plaskett, Esq., Commissioner
Department of Planning and Natural Resources
Preservation
Niskey Center, Suite 231
Number 45A, Estate Nisky
St. Thomas, VI 00802
(340) 776-8605

Virginia

Ms. Kathleen S. Kilpatrick, Director
Department of Historic Resources
2801 Kensington Avenue
Richmond, VA 23221
(340) 367-2323

Washington

Dr. Allyson Brooks, State Historic Preservation
Officer
Office of Archaeology and Historic Preservation,
Washington State
Department of Community, Trade, and Economic
Development
P.O. Box 48343
Olympia, WA 98504-8343
(360) 407-0765

West Virginia

Ms. Nancy Herholdt, State Historic Preservation
Officer & Commissioner
Division of Culture and History
1900 Kanawha Boulevard East
Capitol Complex
Charleston, WV 25305-0300
(304) 558-0220

Wisconsin

Dr. George L. Vogt, Director and State Historic
Preservation Officer
State Historical Society
816 State Street
Madison, WI 53706
(608) 264-6500

Wyoming

Mr. Richard Curritt, Director
Wyoming State Historic Preservation Office
2301 Central Avenue, 3rd Floor
Cheyenne, WY 82002 United States
(307) 777-7697

National Park Service

Terrel Emmons
Professional Services
1849 C Street N.W.
Washington, DC 20240
(202) 208-3264

OFFICE OF SURFACE MINING

Office of Surface Mining

U.S. Department of the Interior
Director, Knoxville Field Office
530 Gay Street, S.W., Suite 500
Knoxville, TN 37902

(423) 545-4103

U.S. Department of the Interior
Director, Big Stone Gap Field Office
Powell Valley Square Shopping Center
1941 Neeley Road, Suite 201
Compartment 116
Big Stone Gap, VA 24219
(540) 523-4303

U.S. Department of the Interior
Director, Harrisburg Field Office
415 Market Street, Suite 3C
Harrisburg Transportation Center
Harrisburg, PA 17101
(717) 782-4036

U.S. Department of the Interior
Director, Charleston Field Office
1027 Virginia Street, East
Charleston, WV 25301
(304) 347-7162

U.S. Department of the Interior
Western Regional Coordinating Center
1999 Broadway, Suite 3320
Denver, CO 80202-5733
(303) 844-1401

U.S. Department of the Interior
Director, Birmingham Field Office
135 Gemini Circle, Suite 215
Homewood, AL 35209
(205) 290-7282

U.S. Department of the Interior
Mid-Continent Regional Coordinating Center
Alton Federal Building
501 Belle Street, Room 216
Alton, IL 62002
(618) 463-6460

U.S. Department of the Interior
1951 Constitution Avenue N.W.
Washington, DC 20240
(202) 208-4006

U.S. Department of the Interior
Director, Indianapolis Field Office
575 North Pennsylvania Street, Room 301
Indianapolis, IN 46204 United States
(317) 226-6700

U.S. Department of the Interior
Director, Lexington Field Office
2675 Regency Road
Lexington, KY 40503-2922
(859) 233-2494

U.S. Department of the Interior
Director, Casper Field Office
Federal Building
100 East "B" Street, Room 2128
Casper, WY 82601-1918
(307) 261-6555

U.S. Department of the Interior
Director, Tulsa Field Office
5100 East Skelly Drive, Suite 470
Tulsa, OK 74135
(918) 581-6431

U.S. Department of the Interior
Director, Albuquerque Field Office
505 Marquette Avenue N.W., Suite 1200
Albuquerque, NM 87102
(505) 248-5070

U.S. Department of the Interior
Appalachian Regional Coordinating Center
Three Parkway Center
Pittsburgh, PA 15220
(412) 937-2828

U.S. GEOLOGICAL SURVEY

REGIONAL OFFICES

California

(Western Region)
Administrative Officer, WR
345 Middlefield Road, MS-919

(Western Mapping Center)
Alan M. Mikuni
345 Middlefield Road, MS-531
Menlo Park, CA 94025-3591
(415) 329-4254

Menlo Park, CA 94025
(415) 650-5102

(Western Region: Alaska, American Samoa,
Arizona, California, Guam, Hawaii, Idaho,
Nevada, Oregon, other Pacific Islands, Utah,
Washington)

T. John Conomos, Regional Hydrologist
345 Middlefield Road, MS-470
Menlo Park, CA 94025-3591
(415) 329-4414

Colorado

(Rocky Mountain Mapping Center)
Randle W. Olsen
Denver Federal Center, Building 810, Mail Stop
508, Box 25046
Denver, CO 80225-0046
(303) 202-4040

(Central Region-Arkansas, Colorado, Iowa,
Kansas, Louisiana, Minnesota, Missouri, Montana,
Nebraska, New Mexico, North Dakota, Oklahoma,
South Dakota, Texas, Wyoming)

Dr. J. Larry Ludke, Regional Chief Biologist
DFC Building, 020, Room A1419
P.O. Box 25046, MS 300
Denver, CO 80225
(303) 236-2739 x 238

(Central Region)
Administrative Officer, CR
Federal Center, MS 911
Denver, CO 80225
(303) 236-5435

(Central Region: Colorado, Iowa, Kansas,
Minnesota, Montana, Nebraska, New Mexico,
North Dakota, Oklahoma, South Dakota, Texas,
Wyoming)

Dave J. Lystrom, Regional Hydrologist
Mail Stop 406, Box 25046
Denver Federal Center, Building 25

Appendix IV: Agency Regional and Local Office Addresses

Lakewood, CO 80225-0046
(303) 236-5950, Ext. 0

Georgia

(Southeastern Region: Alabama, Arkansas, Florida, Georgia, Louisiana, Mississippi, Missouri, North Carolina, Puerto Rico, South Carolina, Tennessee, Virgin Islands)
Wanda C. Meeks, Regional Hydrologist
Spalding Woods Office Park, Suite 160
3850 Holcomb Bridge Road
Norcross, GA 30092-2202
(404) 409-7701

Missouri

(Midcontinent Mapping Center)
Max Ethridge
1400 Independence Road, Mail Stop 300
Rolla, MO 65401
(573) 308-3800

South Dakota

Earth Resources Observation Systems Data Center
Donald T. Lauer
Mundt Federal Building
Sioux Falls, SD 57198
(605) 594-6123

Virginia

(Eastern Region)
Administrative Officer, ER
953 National Center
Reston, VA 20192
(703) 648-6662

(Northeastern Region: Connecticut, Delaware, Illinois, Indiana, Kentucky, Maine, Maryland, Massachusetts, Michigan, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia, Wisconsin)
William Carswell, Jr., Regional Hydrologist
433 National Center, Mail Stop 433
Reston, VA 22092
(703) 648-5813

Mapping Application Center
Pat Dunham
National Center, MS-567
Reston, VA 20192
(703) 648-6002

Washington

(Western Region-Alaska, Arizona, California, Hawaii, Idaho, Nevada, Oregon, Utah, Washington)
Dr. John D. Buffington, Regional Chief Biologist
909 First Avenue, Suite 800
Seattle, WA 98104
(206) 220-4600

West Virginia

(Eastern Region-Alabama, Connecticut, Delaware, Florida, Georgia, Illinois, Indiana, Kentucky, Maine, Maryland, Massachusetts, Michigan, Mississippi, New Hampshire, New Jersey, New York, North Carolina, Ohio, Pennsylvania, Puerto Rico, Rhode Island, South Carolina, Tennessee, Vermont, Virginia, West Virginia, Wisconsin)

Rico, Rhode Island, South Carolina, Tennessee, Vermont, Virgin Islands, Virginia, West Virginia, Wisconsin)
Mr. Gregory Smith, Acting Regional Chief Biologist
National Center, Room 4A100
12201 Sunrise Valley Drive, MS 300
Reston, VA 20192
(703) 648-4060

DEPARTMENT OF JUSTICE

Arizona

Special Agent-in-Charge, Investigations, OIG
10 East Broadway, Suite 105
For letter mail: P.O. Box 471
Tucson, AZ 85702-0471
(520) 670-5243(520) 670-5246 FAX Use the same number for FTS

California

Regional Audit Manager, Audit, OIG
1200 Bayhill Drive, Suite 201
San Bruno, CA 94066
(650) 876-9220
(650) 876-0902 FAX
Use the same number for FTS

Special Agent, Investigations, OIG
321 South Waterman Avenue, Room 108
El Centro, CA 92243
(760) 335-3549(760) 335-3534 FAX

Special Agent-in-Charge, Investigations, OIG
330 North Brand Street, Suite 655
Glendale, CA 91203
(818) 543-1172
(818) 637-5082 FAX
Use the same number for FTS

Special Agent-in-Charge, Investigations, OIG
1200 Bayhill Drive, Suite 220
San Bruno, CA 94066
(650) 876-9058
(650) 876-9083 FAX
Use the same number for FTS

Special Agent-in-Charge, Investigations, OIG
701 B Street, Suite 560
San Diego, CA 92101
(619) 557-5970
(619) 557-6518 FAX
Use the same number for FTS

Colorado

Special Agent, Investigation, OIG
Plaza of the Rockies
111 South Tejon Street, Suite 312
Colorado Springs, CO 80903
(719) 635-2366
(719) 635-4769 FAX

Assistant Regional Audit Manager, Audit, OIG
The Chancery Building, 1120 Lincoln Street, Suite 1603
Denver, CO 80203
(303) 864-2000
(303) 864-2004 FAX

Use the same number for FTS

Florida

Special Agent-in-Charge, Investigations, OIG
3800 Inverrary Boulevard, Suite 312
Ft. Lauderdale, FL 33319
(954) 535-2859
(954) 535-5436 FAX
Use the same number for FTS

Georgia

Special Agent, Investigations, OIG
60 Forsyth Street, S.W., Suite 8M45
Atlanta, GA 30303
(404) 562-1980
(404) 562-1960 FAX

Regional Audit Manager, Audit, OIG
Richard B. Russell Federal Building
75 Spring Street, Suite 1130
Atlanta, GA 30303
(404) 331-5928

Use the same number for FTS
(404) 331-5046 FAX

Illinois

Regional Audit Manager, Audit, OIG
CitiCorp Center
500 West Madison Street, Suite 3510
Chicago, IL 60661
(312) 353-1203
(312) 886-0513 FAX
Use the same number for FTS

Special Agent-in-Charge, Investigations OIG
Citicorp Center
500 West Madison Street, Suite 3510B
For letter mail: P.O. Box 1802
Chicago, IL 60690-1802
(312) 886-7050
(312) 886-7065 FAX
Use the same number for FTS

Massachusetts

Special Agent Investigations, OIG
U.S. Attorneys Office
Mailing Address
P.O. Box 2134
1 Courthouse Way, Room 9200
Boston, MA 02210
(617) 748-3218
(617) 748-3965 FAX

New York

Special Agent-in-Charge, Investigations, OIG
JFK Airport, North Boundary Road, Building #77, Penthouse #2
For letter mail: JFK Airport, P.O. Box 300999
Jamaica, NY 11430-0999
FTS (718) 553-7520
(718) 553-7533 FAX
Use the same number for FT

Pennsylvania

Regional Audit Manager, Audit, OIG
701 Market Street, Suite 201
Philadelphia, PA 19106

Appendix IV: Agency Regional and Local Office Addresses

(215) 580-2111
(215) 597-1348 FAX
Use the same number for FTS

Texas

Special Agent-in-Charge, Investigations, OIG
Texas Commerce Center
1701 West Business Highway 83, Suite 510
Bentsen Tower
McAllen, TX 78501
(956) 618-8145
(956) 618-8151 FAX
Use the same number for FTS

Regional Audit Manager, Audit, OIG
207 S. Houston Street, Box 4, Room 575
Dallas, TX 75202-4724
(214) 655-5000
(214) 655-5025 FAX
Use the same number for FTS

Special Agent-in-Charge, Investigations, OIG
Bob Casey Federal Courthouse
515 Rusk Avenue, Suite 3307
Letter mail:
P.O. Box 610071
Houston, TX 77208-9998
(713) 718-4888
(713) 718-4706 FAX

Special Agent-in-Charge, Investigations, OIG
4050 Rio Bravo, Suite 200
El Paso, TX 79902
(915) 577-0102
(915) 577-9012 FAX
Use the same number for FTS

Special Agent, Investigations, OIG
207 South Houston Street, Box 5, Room 551
Dallas, TX 75202-4724
(214) 655-5076
(214) 655-5071 FAX

Washington

Special Agent, Investigations, OIG
620 Kirkland Way, Suite 104
Kirkland, WA 98033-6021
(425) 828-3998
(425) 827-2183 FAX
Use the same number for FTS

Washington, DC

Special Agent-in-Charge, Investigations, OIG
1425 New York Avenue, N.W., Suite 7100
For letter mail: P.O. Box 27718
Washington, DC 20038-7718
(202) 616-4760
(202) 616-9881 FAX
Use the same number for FTS

Regional Audit Manager (Washington), Audit, OIG
1425 New York Avenue, N.W., Suite 6100
Washington, DC 20530
(202) 616-4688
(202) 616-4581 FAX
Use the same number for FTS

Fraud Detection Unit
Special Agent, Investigations, OIG
1425 New York Avenue, N.W., Suite 7100
Washington, DC 20530
(202) 616-4760
(202) 616-9881 FAX

COMMUNITY RELATIONS SERVICE

Detroit

(Michigan)
211 West Fort Street, Room 1404
Detroit, MI 48226
(313) 226-4010

Houston

(Texas)
515 Rusk Avenue, Room 12605
Houston, TX 77002
(713) 718-4861

Miami

(Florida)
51 First Avenue, S.W., Room 624
Miami, FL 33130
(305) 536-5206

Region I

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
408 Atlantic Avenue, Suite 222
Boston, MA 02110
(617) 424-5715

Region II

(New Jersey, New York, Puerto Rico, Virgin Islands)
Room 36-118
26 Federal Plaza
New York, NY 10278
(212) 264-0700

Region III

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
2nd and Chestnut Street, Room 208
Philadelphia, PA 19106
(215) 597-2344

Region IV

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
75 Piedmont Avenue N.E., Room 900
Atlanta, GA 30303
(404) 331-6883

Region IX

(Arizona, California, Guam, Hawaii, Nevada)
888 South Figueroa Street, Suite 1880
Los Angeles, CA 90017
(213) 894-2941

Region V

(Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)

55 West Monroe Street, Room 420
Chicago, IL 60603
(312) 353-4391

Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
1420 West Mockingbird Lane, Suite 250
Dallas, TX 75247
(214) 655-8175

Region VII

(Iowa, Kansas, Missouri, Nebraska)
1100 Main Street, Suite 1320
Kansas City, MO 64105
(816) 426-7434

Region VIII

(Colorado, Montana, North Dakota, South Dakota, Wyoming, Utah)
1244 Speer Boulevard, Suite 650
Denver, CO 80204-3584
(303) 844-2973

Region X

(Alaska, Idaho, Oregon, Washington)
915 Second Avenue, Room 1808
Seattle, WA 98174
(206) 220-6700

San Francisco

(California)
120 Howard Street, Suite 790
San Francisco, CA 94105
(415) 744-6565

DRUG ENFORCEMENT ADMINISTRATION

RESEARCH HEADQUARTERS

Atlanta Field Division

75 Spring Street, S.W., Room 740, A53
Atlanta, GA 30303

Boston Field Division

JFK Federal Bldg., Room E-400
15 Sudbury Street
Boston, MA 02203-0402

Caribbean Division

Metro Office Park
Building 17
Guaynabo, PR 00968

Chicago Field Division

230 South Dearborn Street, Suite 1200
Chicago, IL 60604

Dallas Field Division

10160 Technology Boulevard
East Dallas, TX 75220-4343

DEA/EPIC

11339 SSG Sims Street
El Paso, TX 79908-8098

Denver Field Division

Appendix IV: Agency Regional and Local Office Addresses

115 Inverness Drive, East
Englewood, CO 80112-5116

Detroit Field Division

431 Howard Street
Detroit, MI 48226

El Paso Division

600 South Mesa Hills Drive
El Paso, TX 79912

Houston Field Division

1433 West Loop South, Suite 600
Houston, TX 77027-9506

Los Angeles Field Division

Edward Royal Federal Building
225 East Temple Street, 20th Floor
Los Angeles, CA 90012

Miami Field Division

8400 N.W. 53rd Street
Miami, FL 33166

Mid-Atlantic Laboratory

460 New York Avenue NW
Washington, DC 20532-0001

New Orleans Field Division

3838 North Causeway Boulevard
Three Lakeway Center, Suite 1800
Metairie, LA 70002

New York Field Division

99 10th Avenue
New York, NY 10011

Newark Field Division

80 Mulberry Street, 2nd Floor
Newark, NJ 07102-4206

North Central Laboratory

536 South Clark Street, Room 800
Chicago, IL 60605

Northeast Laboratory

99 Tenth Avenue, Room 721
New York, NY 10011

Office of Training

Drug Enforcement Administration
FBI Academy, P.O. Box 1475
Quantico, VA 22134-1475

Philadelphia Field Division

600 Arch Street, Room 10224
Philadelphia, PA 19106

Phoenix Field Division

Westmount Place
3010 North 2nd Street, Suite 301
Phoenix, AZ 85012

San Diego Field Division

4560 Viewridge Avenue
San Diego, CA 92123-1672

San Francisco Field Division

450 Golden Gate Avenue
San Francisco, CA 94102

Seattle Field Division

400 Second Avenue, West
Seattle, WA 98119-4140

South Central Laboratory

1880 Regal Row
Dallas, TX 75235

Southeast Laboratory

5205 N.W. 84th Avenue
Miami, FL 33166

Southwest Laboratory

410 West 35th Street
National City, CA 91950

Special Testing & Research Laboratory

3650 Concorde Parkway, Suite 200
Chantilly, VA 20151

St. Louis Field Division

7911 Forsythe Boulevard, Suite 500
St. Louis, MO 63105

Washington, DC Field Division

800 K Street, N.W., Suite 500
Washington, DC 20001

Western Laboratory

390 Main Street, Room 700
San Francisco, CA 94105

FEDERAL BUREAU OF INVESTIGATION

Region I

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
1 Center Plaza, Suite 600
Boston, MA 02108-1801
(617) 742-5533
(617) 223-6000

Federal Office Building
600 State Street
New Haven, CT 06510-2020
(203) 503-5000
(203) 786-7000

Region II

1 Gateway Center, 22nd Floor
Newark, NJ 07102-9889
(973) 792-3000
(973) 792-3000

(New Jersey, New York, Puerto Rico, Virgin Islands)

James T. Foley Building
200 McCarty Avenue
Albany, NY 12209-2095
(518) 465-7551
(518) 431-7200

Jacob K. Javits, Federal Office Building
26 Federal Plaza

New York, NY 10278-0004
(212) 384-1000
(212) 384-1000

111 Greencourt Road
Richmond, VA 23228-4948
(804) 261-1044
(700) 923-2000

FBI, Washington Metropolitan Field Office
601 4th Street N.W.
Washington, DC 20535-0002
(202) 278-2000
Use the same number for FTS

7142 Ambassador Road
Baltimore, MD 21244-2754
(410) 265-8080
(410) 281-0198

U.S. Federal Office Building
150 Carlos Chardon, Room 526
Hato Rey, PR 00918-1716
(787) 754-6000
(787) 754-3292

William J. Green, Jr.
Federal Office Building
600 Arch Street, 8th Floor
Philadelphia, PA 19106-1675
(215) 418-4500
(215) 418-4000

One FBI Plaza
Buffalo, NY 14202-2698
(716) 856-7800
(716) 843-4300

150 Corporate Boulevard
Norfolk, VA 23502-4999
(757) 455-0100
(757) 455-0123

United States Post Office
700 Grant Street, Suite 300
Pittsburgh, PA 15219-1906
(412) 471-2000
(412) 456-9100

Region IV

St. Louis Centre
1 St. Louis Street, 3rd Floor
Mobile, AL 36602-3930
(334) 438-3674
(334) 219-3555

16320 N.W. Second Avenue
North Miami Beach, FL 33169-6508
(305) 944-9101
(305) 787-6100

400 South Tyron, Suite 900
Wachovia Building
Charlotte, NC 28285-0001
(704) 377-9200
(704) 331-4500

John J. Duncan, FOB
710 Locust Street, Suite 600
Knoxville, TN 37902-2537
(865) 544-0751

Appendix IV: Agency Regional and Local Office Addresses

(865) 544-3500
2121 8th Avenue North, Room 1400
8th Avenue North
Birmingham, AL 35203-2396
(205) 715-0300-FTS
(205) 326-6166-FAX
EagleCrest Building
225 North Humphreys Boulevard, Suite 3000
Memphis, TN 38120-2107
(901) 747-4300
(901) 747-9739
151 West Park Boulevard
Columbia, SC 29210-3857
(803) 551-4200
(803) 551-4209
Federal Office Building
600 Martin Luther King Place, Suite 500
Louisville, KY 40202-2231
(502) 583-3941
Use the same number for FTS
7820 Arlington Expressway, Suite 200
Jacksonville, FL 32211-7499
(904) 721-1211
Federal Office Building, Suite 1553
100 West Capitol Street
Jackson, MS 39269-1601
(601) 948-5000
(601) 360-7550
(Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina, South Carolina,
Tennessee)
2635 Century Parkway, NE, Suite 400
Atlanta, GA 30345-3112
(404) 679-9000
(404) 679-6100
Federal Office Building
500 E. Zack Street, Room 610
Tampa, FL 33602-3917
(813) 273-4566
(813) 272-8000
Region IX
450 Golden Gate Avenue, 13th Floor
San Francisco, CA 94102-9523
(415) 553-7400
(415) 553-2000
Suite 400, 201 East Indianola Avenue
Midtowne Business Centre II
Phoenix, AZ 85012-2080
(602) 279-5511
(602) 650-3300
(American Samoa, Arizona, California, Guam,
Hawaii, Nevada)
Kalaniana'ole Federal Office Building
300 Ala Moana Boulevard, Room 4-230
Honolulu, HI 96850-0053
(808) 566-4300
(808) 566-4300
Federal Office Building
9797 Aero Drive
San Diego, CA 92123-1800
(619) 565-1255
(619) 514-5500
4500 Orange Grove Avenue
Sacramento, CA 95841-4205
(916) 481-9110
(916) 977-2200
John Lawrence Bailey Building
700 East Charleston Boulevard
Las Vegas, NV 89101-1545
(702) 385-1281
(700) 545-0110
Federal Office Building
11000 Wilshire Boulevard, Suite 1700
Los Angeles, CA 90024-3672
(858) 565-1255
(858) 514-5500
Region V
Federal Office Building
1240 East 9th Street, Room 3005
Cleveland, OH 44199-9912
(216) 522-1400
(216) 622-6600
575 North Pennsylvania Street, Room 679
Federal Office Building
Indianapolis, IN 46204-1585
(317) 639-3301
(317) 321-6100
Everett McKinley Dirksen Federal Office Building
219 S. Dearborn Street, Room 905
Chicago, IL 60604-1702
(312) 431-1333
(312) 786-2500
111 Washington Avenue South S-1100
Minneapolis, MN 55401-2176
(612) 376-3200
Use the same number for FTS
(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin)
FOB, 550 Main Street, Room 9000
Cincinnati, OH 45202-8501 United States
(513) 421-4310
Patrick V. McNamara Federal Office Building
477 Michigan Avenue, 26th Floor
Detroit, MI 48226-2598
(313) 965-2323
(313) 237-4355
400 West Monroe Street, Suite 400
Springfield, IL 62704-1800
(217) 522-9675
(217) 535-4400
330 East Kilbourn Avenue, Suite 600
Milwaukee, WI 53202-6627
(414) 276-4684
(414) 291-4899
Region VI
U.S. Post Office and Courthouse Building
615 East Houston Street, Suite 200
San Antonio, TX 78205-9998
(210) 225-6741
(210) 978-5400
2500 East T. C. Jester
Houston, TX 77008-1300
(713) 693-5000
(713) 693-3800
(Arkansas, Louisiana, New Mexico, Oklahoma,
Texas)
415 Silver Avenue S.W., Suite 300
Albuquerque, NM 87102
(505) 224-2000
Use the same number for FTS
2901 Leon C. Simon Boulevard
New Orleans, LA 70126-1061
(504) 816-3000
(504) 816-3000
Two Financial Centre, Suite 200
10825 Financial Centre Parkway
Little Rock, AR 72211-3552
(501) 221-9100
(501) 228-8400
1801 North Lamar, Suite 300
Dallas, TX 75202-1795
(214) 720-2200
(214) 922-7475
600 South Mesa Hills Drive, Suite 3000
El Paso, TX 79912-5533
(915) 832-5000
Use the same number for FTS
3301 W. Memorail Road
Oklahoma City, OK 73134
(405) 290-7770
(405) 290-3875
Region VII
(Iowa, Kansas, Missouri, Nebraska)
2222 Market Street, Room 2704
St. Louis, MO 63103-2516
(314) 231-4324
(314) 589-2500
Federal Office Building
10755 Burt Street
Omaha, NE 68114-2000
(402) 493-8688
(402) 492-3700
U.S. Courthouse, Room 300
1300 Summitt
Kansas City, MO 64105-1362
(816) 221-6100
(816) 512-8200
Region VIII
(Colorado, North Dakota, South Dakota, Utah,
Wyoming)
Federal Office Building
1961 Stout Street, Suite 1823, 18th Floor
Denver, CO 80294-1823
(303) 629-7171
(303) 628-3000
257 East 200 South, Suite 1200
257 Towers Building

Appendix IV: Agency Regional and Local Office Addresses

Salt Lake City, UT 84111-2048
(801) 579-1400
(801) 579-4400

Region X

Crown Plaza Building
1500 S.W. 1st Avenue, Suite 400
Portland, OR 97201-5828
(503) 224-4181
(503) 552-5200

Federal Office Building
915 Second Avenue, Room 710
Seattle, WA 98101
(206) 262-2000
(700) 391-8760

(Alaska, Idaho, Oregon, Washington)
101 East Sixth Avenue
Anchorage, AK 99501-2523
(907) 276-4441
(907) 258-5322

IMMIGRATION & NATURALIZATION SERVICE

NATURALIZATION INFORMATION

Alabama

Mobile Port of Entry
P.O. Box 1526
Mobile, AL 36601
(334) 441-5135

Mobile Border Patrol
P.O. Box 1526
Mobile, AL 36633
(334) 441-6139

Alaska

Eagle Port of Entry
C/O Postmaster Box 1
Eagle, AK 99738
(907) 547-2211

Nome Port of Entry
P.O. Box 281
Nome, AK 99762
(907) 443-2065

Anchorage International Airport P.O. Box 19068
4601 Sattelite Drive
Anchorage, AK 99519-0688
(907) 243-1400

Ketchikan Port of Entry
111 Main Street
Ketchikan, AK 99840
(907) 225-2380

Dutch Harbor Port of Entry
2315 Airport Beach Road, Room 205
P.O. Box 750
Dutch Harbor, AK 99692
(907) 581-4114

Anchorage District Office
620 East 10th Avenue, Suite 102
Anchorage, AK 99501-3701
(907) 271-3524

Gambell Port of Entry
P.O. Box 1
Gambell, AK 99742
(907) 985-5211

Skagway Port of Entry
Klondike Highway Border Station
P.O. Box 475
Skagway, AK 99840-0475
(907) 983-3144

Prince Rupert, BC Canada
Alaska Marine Highway Terminal
C/O 111 Main Street
Ketchikan, AK 99840
(604) 624-3833

Dalton's Cache Port of Entry
Mile 40 Haines Highway
P.O. Box 1509
Haines, AK 99827-1509
(907) 767-5580

Turner Port of Entry
P.O. Box 1221
Tok, AK 99780
(907) 774-2242

Fairbanks Port of Entry
6450 Old Airport Way
Fairbanks, AK 99709
(907) 474-0307

Arizona

Eloy Processing Center
4465 E. Hanna Road, Suite 343
Eloy, AZ 85231
(520) 466-2000

San Luis Port of Entry
Highway 95
P.O. Box 448
San Luis, AZ 85349
(520) 627-3591

Casa Grande Border Patrol
396 Camino Mercado
Casa Grande, AZ 85222
(520) 836-7812

Florence Processing Center
3250 North Pinal Parkway Avenue
Florence, AZ 85232
(520) 868-5862

Wellton Border Patrol
29820 Frontage Road
P.O. Box 128
Wellton, AZ 85356
(520) 785-9364

Naco Port of Entry
106 "D" Street
P.O. Box 277
Naco, AZ 85620
(520) 432-3111/2791

Lukeville Port of Entry
Highway 85
P.O. Box D
Lukeville, AZ 85341

(520) 387-6047

Naco Border Patrol
2136 Naco Highway
Bisbee, AZ 85603
(520) 432-5121

Tucson Border Patrol Sector Headquarters
1970 West Ajo Way
Tucson, AZ 85713
(520) 670-6871/6880

Douglas Border Patrol
1051 Lawrence Street
P.O. Box 1175
Douglas, AZ 85608
(520)364-3991

Phoenix Border Patrol
3006 W. Claredon Ave.
Phoenix, AZ 85017 United States
(520) 670-6865

Yuma Border Patrol
12122 S. Avenue A
P.O. Box 2708
Yuma, AZ 85366-2708
(520) 726-8731

Douglas Port of Entry
Pan American Ave. & First Street
Douglas, AZ 85607
(520) 364-5532/6479

Phoenix Sky Harbor Airport
P.O. Box 63673
Phoenix, AZ 85082-2673 United States
(602) 275-7745, 7763, 7785

Tucson Border Patrol
2010 Ajo Way
Tucson, AZ 85713
(520) 670-6865

Willcox Border Patrol
200 W. Downen St.
P.O. Box 909
Willcox, AZ 85644-0909
(520) 384-2412

Sasabe Port of Entry
Federal Inspection Building
P.O. Box 326
Sasabe, AZ 85633-0326
(520) 823-4230

Ajo Border Patrol
850 North Tucson - Ajo Hwy. 86
P.O. Drawer "J"
Ajo/Highway, AZ 85321
(520) 387-7002

Phoenix District Office
2035 North Central Avenue
Phoenix, AZ 85004-1548
(602) 514-7799

Nogales Border Patrol
1500 W. La Quinta Road
Nogales, AZ 85621
(520) 377-6000

Sonoita Border Patrol

Appendix IV: Agency Regional and Local Office Addresses

Highway 82 and 83 P.O. Box 37 Sonoita, AZ 85637-0037 (520) 455-5051	Bakersfield, CA 93380 (805) 861-4465	Customs Area San Jose, CA 95110 (408) 291-7209
Yuma Border Patrol Sector Headquarters 350 West 1st Street P.O. Box 2708 Yuma, AZ 85366-2708 (520) 782-9548	El Centro Border Patrol Sector Headquarters 1111 North Imperial Ave. El Centro, CA 92243-1795 (619) 352-3241	Long Angeles District Office 300 North Los Angeles Street Los Angeles, CA 90012 (213) 894-4627
Bahamas Freeport Bahamas Sub-Office P.O. Box F-2664 Freeport Grand Bahama, BA hamas (809) 352-5586	Oxnard Border Patrol 275 Skyway Drive P.O. Box 88 Camarillo, CA 93011 (805) 482-8997	Blythe Border Patrol 16870 W. Hobsonway P.O. Box 836 Blythe, CA 92226 (619) 922-6715
California Indio Border Patrol 45-620 Commerce Street Indio, CA 92201 (760) 347-3658	San Clemente Border Patrol I-5 Northbound Traffic Checkpoint P.O. Box 3188 San Clemente, CA 92674 (619) 557-5272	Los Angeles International Airport 380 Worldway, P.O. Box N-20 Tom Bradley International Terminal, Lower Level Los Angeles, CA 90045 (310) 215-2104, 2101 - Ext. #107, 103
Fresno Border Patrol Station 4367 North Golden State Boulevard Fresno, CA 93722 (209) 487-5506	Boulevard Border Patrol 39701 Avenue de Robles Verde P.O. Box 1320 Boulevard, CA 92005 (619) 766-4542	Imperial Beach Border Patrol 1802 Saturn Way P.O. Box 68 Imperial Beach, CA 91933-0068 (619) 662-7149
Campo Border Patrol 3 Forest Gate Road P.O. Box 68 Campo, CA 91906 (619) 557-6144	San Marcos Border Patrol 126 South Pacific P.O. Box 5099 San Marcos, CA 92069 (619) 557-5589	Andrade Port of Entry 235 Andrade Road Winterhaven, CA 92283 (619) 572-5350, 5184
Long Beach Seaport Unit 501 W. Ocean Boulevard, Suite 6300 Long Beach, CA 90802 (310) 980-3400	San Ysidro Port of Entry 720 San Ysidro Boulevard P.O. Box 439108 San Diego, CA 92143-9018 (619) 662-7314, 7240	Western Form Center 5600 Rickenbacker Road Building 701 Bay A Bell, CA 90201 (213) 526-7404
Tecate Port of Entry P.O. Box 219 Tecate, CA 92080-0219 (619) 478-5029	Calexico East Port of Entry 1699 E. Carr Road Calexico, CA 92231 (619) 768-2450	Temecula Border Patrol 43136 Rancho Way P.O. Box 38 Temecula, CA 92593 (909) 694-6871
Fresno Sub-Office 865 Fulton Mall Fresno, CA 93721-2816 (209) 487-5126	Ontario Airport 222 E. Airport Drive Ontario, CA 91761 (909) 395-8668, 8673	Livermore Border Patrol Station 6102 Ninth Street Dublin, CA 94568 (925) 828-0371
Calexico Port of Entry 200 East 1st Street P.O. Box 1780 Calexico, CA 92231 (619) 357-1189, 7968, 1143	Oakland International Airport International Terminal 1 Airport Drive Oakland, CA 94621 (510) 273-7390	San Jose Sub-Office 280 S. First Street, Rm. 1150 San Jose, CA 95113 (408) 535-5174
San Francisco International Airport P.O. Box 280551 Central Terminal, Lower Level Customs Area San Francisco, CA 94128 (415) 876-2876	Riverside Border Patrol 2060 Chicago Avenue, Suite A2 Riverside, CA 92507 (909) 686-4100	Livermore Border Patrol Sector Headquarters 6102 Ninth Street Dublin, CA 94568 (925) 828-3770
Ota Mesa Port of Entry 2500 Paseo International P.O. Box 439018 San Diego, CA 92143-9018 (619) 661-3249, 3231	Stockton Border Patrol P.O. Box 2047 Stockton, CA 95201 (209) 946-6211, 6284	Brown Field Border Patrol 7560 Britannia Street San Diego, CA 92173 (619) 661-3154
Bakersfield Processing Center 17635 Industrial Farm Road P.O. Box 81143	Sacramento Sub-Office 650 Capital Mall Sacramento, CA 95814 (916) 498-6460	Salinas Border Patrol 1636 East Alisal Street P.O. Box 218 Salinas, CA 93902 (408) 754-0633
	San Jose International Airport C/O P.O. Box 280551 Central Terminal, Lower Level	Sacramento Border Patrol Station 7000 Franklin Blvd.

Appendix IV: Agency Regional and Local Office Addresses

Bldg. 500, Suite 575
Sacramento, CA 95823
(916) 391-9087

Western Operations Region
24000 Avila Road
P.O. Box 30080
Laguna Niguel, CA 92607-0080
(714) 643-4236

San Pedro Processing Center
2001 Seaside Avenue
Terminal Island
San Pedro, CA 90731
(310) 732-0777

El Centro Border Patrol Station
1081 North Imperial Avenue
El Centro, CA 92243
(760) 353-0541

Bakersfield Satellite Investigations
800 Truxton Avenue, Room 317
Bakersfield, CA 93301
(805) 861-4478

El Centro Processing Center
1115 North Imperial Ave.
El Centro, CA 92243-1739
(619) 353-2170

San Luis Obispo Border Patrol Station
1170 Calle Joaquin
P.O. Box 1486
San Luis Obispo, CA 93406
(805) 543-9490

San Francisco District Office
630 Sansome Street
San Francisco, CA 94111-2280
(415) 705-3102

San Diego International Airport
Lindbergh Field Airport
3665 N. Harbor Drive
San Diego, CA 92101
(619) 298-2612

El Cajon Border Patrol
225 Kenney Street
El Cajon, CA 92020
(619) 557-5072

San Diego District Office
880 Front Street, Suite 1234
San Diego, CA 92101-8834
(619) 557-5645

Colorado

Pueblo Port of Entry
P.O. Box 1879
Pueblo, CO 81002
(719) 544-9369

Grand Junction Port of Entry
255 Main Street
Grand Junction, CO 81501
(303) 243-5141

Denver District Office
4730 Paris Street
Albrook Center

Denver, CO 80239-2804
(303) 371-6213

Connecticut

Hartford Sub-Office
Ribicoff Federal Building
450 Main Street
Hartford, CT 06103-3060
(203) 240-3052

Florida

Orlando Border Patrol
P.O. Box 440
Winter Park, FL 32790-0440
(407) 648-6081

Key West Sub-Office
301 Simonton Street, Room 201
Mail: P.O. Box 86
Key West, FL 33041
(305) 296-2233

Miami District Office
7880 Biscayne Boulevard
Miami, FL 33138
(305) 762-3405

West Palm Beach Sub-Office
301 N. Broadway
Riviera Beach, FL 33404
(561) 841-0498

Miami International Airport
Concourse E, 3rd Floor
P.O. Box 997895
Miami, FL 33299-7895
(305) 526-2612, 2626, 2851, 2930

Tampa Border Patrol
1821B East Sahlman Drive
Tampa, FL 33605
(813) 228-2156 or 2160

Miami Border Patrol Sector Headquarters
7201 Pembroke Road
Pembroke Pines, FL 33023
(954) 963-9807

Key West Port of Entry
Federal Building
301 Simonton Street, Room 201
Key West, FL 33040
(305) 293-9150

Nassau, Bahamas Sub-Office, U.S. Immigration
P.O. Box 599009
Miami, FL 33159-9009
(242) 377-7125

West Palm Beach Port of Entry
4 East Port Road, Room 410
Riviera Beach, FL 33419
(407) 845-6898

Krome North Service Processing Center
18201 S.W. 12th Street
P.O. Box 160327, Snapper Creek Station
Miami, FL 33194
(305) 552-1845

Jacksonville Port of Entry

Federal Building, G-18
400 W. Bay Street
Jacksonville, FL 32202
(904) 232-2164

Georgia

Atlanta District Office
77 Forsyth Street S.W.
Atlanta, GA 30303-0253
(404) 331-0253

Savannah International Airport 33 Bull Street,
Suite 505
Savannah, GA 31401
(912) 652-4460

Hartsfield International Airport Port of Entry
P.O. Box 45527
Atlanta, GA 30320
(404) 763-7816

Guam

Guam International Airport
Sirena Plaza, Suite 100
108 Hernan Cortez Avenue
Agana, GU 96910
(671) 642-7611

Hawaii

Honolulu District Office
595 Ala Moana Boulevard
Honolulu, HI 96813
(808) 532-3748/3746

Honolulu International Airport
300 Rodgers Boulevard
Terminal Box 50
Honolulu, HI 96819
(808) 861-8401, 8406, 8400, 8409

Idaho

Eastport Port of Entry
P.O. Box 8
Highway 95
Eastport, ID 83826
(208) 267-2183, 3745

4620 Overland Road
Room 108
Boise, ID 83705
(208) 334-1822

Porthill Port of Entry
P.O. Box 40
Porthill, ID 83853
(208) 267-5309

Illinois

Chicago District Office
10 West Jackson Boulevard, Suite 600
Chicago, IL 60604
(312) 385-1900

Indiana

Indianapolis Sub-Office
Gateway Plaza, Room 400
950 North Meridan Street
Indianapolis, IN 46204

Appendix IV: Agency Regional and Local Office Addresses

(317) 226-6181

Kansas

Garden City Port of Entry
Box 1239
1404 E. Fulton
Garden City, KS 67846
(316) 275-1054

Wichita Port of Entry
625 North Winterset
Wichita, KS 67212
(316) 945-9741

Kentucky

Louisville Sub-Office
Room 604
Gene Snyder Courthouse
601 West Broadway
Louisville, KY 40202
(502) 582-6526

Louisiana

New Orleans Border Patrol Sector Headquarters
3819 Patterson Drive
P.O. Box 6218
New Orleans, LA 70174
(504) 589-6107

New Orleans International Airport
900 Airline Highway
Kenner, LA 70062
(504) 589-3949

New Orleans District Office
Postal Services Building
701 Loyola Avenue, Room T-8011
New Orleans, LA 70113
(504) 589-6521

Oakdale Processing Center
207 E. 5th Avenue
P.O. Box 5095
Oakdale, LA 71463
(318) 335-0713

Lake Charles Border Patrol
P.O. Box 868
Lake Charles, LA 70601
(318) 477-9245

Baton Rouge Border Patrol
P.O. Box 338
9522 Brookline Drive
Baton Rouge, LA 70821
(504) 389-0231

Maine

Houlton Border Patrol Station
P.O. Box 706
Houlton, ME 04730
(207) 532-9061

Fort Fairfield Port of Entry
RR #1, Box 217
Fort Fairfield, ME 04742
(207) 473-7396

Van Buren Port of Entry
International Bridge

Bridge Street, P.O. Box 116
Van Buren, ME 04785
(207) 868-2202/3900

Madawaska Port of Entry
2 Bridge Street
Madawaska, ME 04756-1230
(207) 728-4565

Van Buren Border Patrol
P.O. Box 26
Main Street
Van Buren, ME 04785
(207) 868-3900

Bar Harbor Port of Entry
Marine Atlantic Ferry Terminal
Eden Street
Bar Harbor, ME 04609
(207) 288-4675

Fort Fairfield Border Patrol
205 Main Street
P.O. Box 410
Fort Fairfield, ME 04742
(207) 472-5041

Bridgewater Port of Entry
RFD Rt. 1, Box 42
Boundary Line Road
Bridgewater, ME 04735
(207) 425-4502

Calais Port of Entry
Border Inspection Station
1 Main Street
P.O. Drawer 421
Calais, ME 04619
(207) 454-2546

Hamlin Port of Entry
H.C. 62, Box 63
Van Buren, ME 04785
(207) 868-0966

Lubec Port of Entry
Federal Building
U.S. Post Office & Border Station
Washington Street & Campobello Bridge
Lubec, ME 04652
(207) 733-4960

Jackman Port of Entry
Star Route 76
Box 629
Jackman, ME 04945
(207) 668-3771

Limestone Port Of Entry
R.F.D. 1, Box 258
Limestone, ME 04750-9729
(207) 325-4760

Vanceboro Port of Entry
U.S. Immigration and Naturalization Service
P.O. Box C
Water Street
Vanceboro, ME 04491
(207) 788-3813

Fort Kent Port of Entry

98 West Main Street
Fort Kent, ME 04743-1016
(207) 834-3223

Coburn Gore Port of Entry
Star Route 73
P.O. Box 35
Eustis, ME 04936
(207) 297-2771

Calais Border Patrol
One Main Street
P.O. Box 245
Calais, ME 04619
(207) 454-3613

Bangor Port of Entry
267A Godfrey Boulevard
P.O. Box 677
Bangor, ME 04402-0677
(207) 945-0334

Jackman Border Patrol
P.O. Box 608
Nickels Road
24 hours
Jackman, ME 04945
(207) 668-3151

Houlton Port of Entry
P.O. Box 189
Interstate 95
Houlton, ME 04730
(207) 532-2906

Houlton Border Patrol Sector Headquarters
Route 1, Calais Road
P.O. Box 706
24 Hours
Houlton, ME 04730
(207) 532-6521/6522

Maryland

Baltimore District Office
Fallen Federal Building
31 Hopkins Plaza
Baltimore, MD 21201
(410) 962-2010

Massachusetts

Boston Service Processing Center
427 Commercial Street
Boston, MA 02109
(617) 223-3090/3089/3088

Boston District Office
John F. Kennedy Federal Building
Government Center, Room 1700
Boston, MA 02203
(617) 565-4214

Michigan

Port Huron Port of Entry
2321 Pinegrove Avenue
Port Huron, MI 48060
(810) 982-0493

Port Huron Border Patrol
2112 River Road
Marysville, MI 48040

Appendix IV: Agency Regional and Local Office Addresses

<p>(810) 364-6041 Sault Ste. Marie Port of Entry Immigration and Naturalization Service International Bridge Plaza Sault Ste. Marie, MI 49783 (906) 632-8822</p> <p>Algonac Port of Entry 202 Fruit Street Algonac, MI 48001 (810) 794-3321</p> <p>Detroit City Airport 11499 Conner Room 138C Detroit, MI 48213 (313) 521-8430</p> <p>Trenton Border Patrol 23100 West Road P.O. Box 206 Trenton, MI 48183 (313) 676-2972</p> <p>Grand Rapids Border Patrol P.O. Box 1678 100 W. 7th St. Holland, MI 49422-1678 (616) 392-4070</p> <p>Detroit International Bridge 3033 Porter Detroit, MI 48216 (313) 963-4408</p> <p>Detroit Metro Airport International Terminal Romulus, MI 48242 (313) 955-6293</p> <p>Sault Ste. Marie Border Patrol P.O. Box 141 International Bridge Plaza Sault Ste. Marie, MI 49783 (906) 632-3383</p> <p>District/Canada Tunnel 150 E. Jefferson Avenue Detroit, MI 48226 (313) 568-6019</p> <p>Minnesota</p> <p>Grand Portage Port of Entry Highway 61 Grand Portage, MN 55605 (218) 475-2494</p> <p>Warroad Border Patrol P.O. Box 24, Highway 11 Warroad, MN 56763 (218) 386-1802</p> <p>Grand Marais Border Patrol P.O. Box 685, Highway 61 East Grand Marais, MN 55604 (218) 387-1770</p> <p>Pine Creek Port of Entry Highway 89 Star Route 5 Roseau, MN 56751</p>	<p>(218) 463-1952 International Falls Border Patrol Immigration Naturalization Service 1412 Hwy. 11-71 West International Falls, MN 56649 (218) 283-2461</p> <p>Ely Port of Entry P.O. Box 28 Ely, MN 55731 (218) 365-3262</p> <p>St. Paul District Office 2901 Metro Drive, Suite 100 Bloomington, MN 55425 (612) 335-2211</p> <p>Noyes Port of Entry Immigration and Naturalization Service Rural Route 1, Box 110 Noyes, MN 56740 (218) 823-6291</p> <p>Crane Lake Port of Entry 7544 Gold Coast Road Crane Lake, MN 55725 (218) 993-2321</p> <p>Warroad Port Of Entry H.C. 02 P.O. Box 245 Warroad, MN 56763 (218) 386-1676</p> <p>Duluth Port of Entry U.S. Courthouse & Custom House Federal Building 515 West First Street Duluth, MN 55802 (218) 720-5207</p> <p>Roseau Port of Entry Route 1, Box 31 - Highway 310 N Roseau, MN 56751 (218) 463-2054</p> <p>International Falls 2 Second Avenue International Falls, MN 56649-2328 (218) 283-8611</p> <p>Pembina Border Patrol Rural Route 1 P.O. Box 106 Noyes, MN 56740 (218) 823-6528, 6594</p> <p>Duluth Border Patrol 114 Federal Building 515 West First Street Duluth, MN 55802 (218) 720-5465</p> <p>Lancaster Port of Entry Route 1 Box 138 - Highway 59 Lancaster, MN 56735 (218) 762-4100</p> <p>Baudette Port of Entry International Bridge P.O. Box 487 Baudette, MN 56623</p>	<p>(218) 634-2661 Mississippi Gulfport Port of Entry P.O. Box 1120 Gulfport, MS 39501 (601) 864-3029</p> <p>Gulfport Border Patrol P.O. Box 4273 Gulfport, MS 39502 (228) 863-3582</p> <p>Missouri Kansas City District Office 9747 North Conant Avenue Kansas City, MO 64153 (816) 891-0864</p> <p>St. Louis International Airport P.O. Box 10406 Lambert Field St. Louis, MO 63145 (314) 425-7179</p> <p>St. Louis Sub-Office Robert A. Young Federal Building 1222 Spruce Street, Room 100 St. Louis, MO 63103-2815 (314) 539-2516</p> <p>Montana Billings Border Patrol 2601 1st Ave. N. P.O. Box 2298 Billings, MT 59103 (406) 247-7563</p> <p>Loring Port of Entry Port of Morgan HC82, Box 9250 Loring, MT 59537-9600 (406) 674-5248</p> <p>Roosville Port of Entry Immigration and Naturalization Service 8395 Highway 93 North Eureka, MT 59917-9331 (406) 889-3737</p> <p>Sweetgrass Port of Entry Immigration and Naturalization Service P.O. Box 165 - Interstate 15 Sweetgrass, MT 59484 (406) 335-2911</p> <p>Raymond Port of Entry P.O. Box 158 Raymond, MT 59256 (406) 895-2620</p> <p>Eureka Border Patrol Highway 93 (JB Shopping Center) P.O. Box 909 Eureka, MT 59917 (406) 296-2938</p> <p>Montana Chief Mountain Port of Entry P.O. Box 376 via Babb, MT 59411</p>
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Appendix IV: Agency Regional and Local Office Addresses

(406) 732-4576 Scobey Port of Entry P.O. Box 2300 Scobey, MT 59263 (406) 783-5372	Nebraska Service Center 850 S. Street P.O. Box 82521 Lincoln, NE 68501-2521 (402) 437-5464	(505) 531-2694, 95, 96 Albuquerque Sub-Office 517 Gold Avenue, S.W. Room: 1010 P.O. Box 567 Albuquerque, NM 87103 (505) 248-7357
Havre Border Patrol Sector Headquarters 2605 5th Avenue S.E. P.O. Box 112 Havre, MT 59501 (406) 265-6781	Omaha District Office 3736 South 132nd Street Omaha, NE 68144 (402) 697-9152	Las Cruces Border Patrol 3120 North Main Las Cruces, NM 88001 (505) 527-6895
Havre Port of Entry Immigration and Naturalization Service Simpson Route Willow Creek Station Havre, MT 59501 (406) 265-6781	Nevada Las Vegas Mecarren International Airport 5757 Wayne Newton Boulevard Las Vegas, NV 89111 (702) 388-6024	Lordsburg Border Patrol 441 Duncan Highway, P.O. Box 459 Lordsburg, NM 88045 (505) 542-3221
Whitetail Port of Entry P.O. Box 38 - US Border Inspection Station Whitetail, MT 59276 (406) 779-3531	Boulder City Border Patrol P.O. Box 60928 Boulder City, NV 89006-0928 (702) 293-8507	Las Cruces Patrol Training Office 2320 Temple Street Las Cruces, NM 88001 (505) 524-4730
Wild Horse Port of Entry Immigration and Naturalization Service Havre, MT 59501 (406) 394-2371	Las Vegas Sub-Office 3373 Pepper Lane Las Vegas, NV 89120 (702) 388-6640	New York Service Processing Center 201 Varrick Street, 4th Floor New York, NY 10014 (212) 620-3441
Shelby Border Patrol P.O. Box 653 906 Oilfield Ave. Shelby, MT 59474 (406) 434-5588	Reno Sub-Office 1351 Coporate Boulevard Reno, NV 89502 (702) 784-5186	Massena Port of Entry P.O. Box 195 Roosevelt, NY 13683 (315) 764-0310 (315) 764-0677
Scobey Border Patrol P.O. Box 820 Scobey, MT 59263 (406) 487-2621	New Hampshire Pittsburg Port of Entry Route #3 P.O. Box 277 Pittsburg, NH 03592 (819) 656-2261	Mooers Port of Entry Border Inspection Station State Route 22 Mooers, NY 12958 (518) 236-7116
Opheim Port of Entry P.O. Box 317 Opheim, MT 59250 (406) 724-3212	New Jersey Newark District Office Federal Building 970 Broad Street Newark, NJ 07102 (201) 645-2298	Rouse Point Port of Entry US Route 9B Rouse Point, NY 12979 (518) 297-7521
Willow Creek Port of Entry Simpson Route Havre, MT 59501 (406) 398-5512	Newark International Airport Terminal B, SAT 3 Newark, NJ 07114 (201) 645-6589	Lewiston Port of Entry 1 Lewiston Queenston Bridge Lewiston, NY 14092-1980 (716) 285-1676
Plentywood Border Patrol P.O. Box 434 Plentywood, MT 59254 (406) 765-1852	New Mexico Truth or Consequences Border Patrol P.O. Box 3310 Truth or Consequences, NM 87901 (505) 744-5235	Fort Covington Port of Entry Border Inspection Station Water Street Fort Covington, NY 12937 (518) 358-2231
Malta Border Patrol P.O. Box 36 Malta, MT 59538 (406) 654-2711	Deming Border Patrol P.O. Box 230 Deming, NM 88031 (505) 546-9036	Chateaugay Port of Entry Border Inspection Station Star Route 374 Chateaugay, NY 12920 (518) 497-6772
Piegan Port of Entry Immigration and Naturalization Service P.O. Box 109 Babb, MT 59411 (406) 732-9297	Alamagordo Border Patrol 1997 Hwy 54 South Alamagordo, NM 88310-7377 (505) 437-6960	John F. Kennedy International Airport International Arrivals Building #50 Jamaica, NY 11430 (718) 553-1688 (718) 553-1689
Del Bonita Port of Entry Del Bonita Star Route Cut Bank, MT 59427 (406) 336-2130	Columbus Port of Entry P.O. Box 307, Pershing Road Columbus, NM 88029	New York District Office 26 Federal Plaza
Nebraska		

Appendix IV: Agency Regional and Local Office Addresses

New York, NY 10278 (212) 264-3911	Fulton, NY 13069-0070 (315) 598-8510	(701) 886-7744
Champlain Border Patrol P.O. Box 1228 Ridge Road Champlain, NY 12919 (518) 298-2531	Trout River Port of Entry Trout River State Route 30 Via Constable, NY 12926 (518) 483-5021	Pembina Port of Entry Rural Route 1, P.O. Box 110 Noyes Pembina, ND 58271 (701) 825-6722
Ogdensburg Border Patrol 127 North Water Street Ogdensburg, NY 13669 (315) 393-1150/0100	Buffalo Border Patrol Sector Headquarters U.S. Border Patrol 231 Grand Island Boulevard Tonawanda, NY 14150-6502 (716) 551-4101	Walhalla Port of Entry RR2, Box 145 Walhalla, ND 58282-9459 (701) 549-3233
Office of Inspector General JFK Airport Station P.O. Box 999 Jamaica, NY 11430 (718) 553-7520	Niagara Falls Port of Entry Rainbow Bridge Niagara Falls, NY 14303 (716) 282-3141	Noonan Port of Entry Noonan, ND 58765 (701) 926-5615
Thousand Island Bridge Port of Entry U.S. Immigration and Naturalization Service 46735 U.S. Interstate Route 81 Alexandria Bay, NY 13607-9796 (315) 482-2681/2065	North Carolina Raleigh-Durham International Airport Port of Entry P.O. Box 80216 Raleigh, NC 27623 (919) 840-5577	Ambrose Port of Entry Immigration and Naturalization Service State Highway 42 Rt. HC-1 BOX 202 Ambrose, ND 58833 (701) 982-3211
Watertown Border Patrol Post Office Building P.O. Box 280 153 Arsenal Street Watertown, NY 13601-0280 (315) 482-7556	Wilmington Port of Entry Alton Lennon Federal Building Room 105 2 Princess Street Wilmington, NC 28401 (910) 343-4876	Dunseith Port of Entry Immigration and Naturalization Service R.R. 1, Box 117 Dunseith, ND 58329 (701) 263-4513, 4460
Albany Sub-Office U.S. Post Office & Customhouse Room 220 445 Broadway Albany, NY 12207 (518) 431-0339	Charlotte Sub-Office 6 Woodlawn Green, Room 138 Charlotte, NC 28217 (704) 344-6313	Grand Forks International Airport RR #2, Box 72 2787 Airport Drive Highway 2 West Grand Forks, ND 58203 (701) 772-3301
Cape Vincent Port of Entry 46735 U.S. Int. Route 81 Alexandria Bay, NY 13607-9796 (315) 654-2781, Ext. 8	North Dakota Portal Border Patrol P.O. Box 298 Portal, ND 58772 (701) 926-4111	Hansboro Port of Entry Box 237 Hansboro, ND 58339 (701) 266-5633
Buffalo District Office 130 Delaware Avenue Buffalo, NY 14202 (716) 846-4741, Ext. 8	Carbury Port of Entry RR #1 Souris, ND Carbury, ND 58783 (701) 228-2540	Maida Port of Entry Immigration and Naturalization Service Hwy #1 Maida, ND 58255 (701) 256-5087
Champlain Port of Entry Border Inspection Station 234 West Service Road Champlain, NY 12919 (518) 298-3221, 8433, or 2526	Sarles Port of Entry Immigration and Naturalization Service P.O. Box 67, Route 1 6 miles from Village of Sarles, ND 58372 (701) 697-5177	Northgate Port of Entry Flaxton, ND 58737 (701) 596-3805
Burke Border Patrol P.O. Box 125 Burke, NY 12917-0125 (518) 483-5941	Antler Port of Entry Immigration and Naturalization Service RRI - Box 7 Antler, ND 58711 (701) 267-3321	Hannah Port of Entry Hannah, ND 58239 (701) 283-5271
Niagara Falls Border Patrol 1708 Lafayette Avenue P.O. Box 281 Niagara Falls, NY 14305-0281 (716) 285-6444	Fortuna Port of Entry Immigration and Naturalization Service Hwy 85N, P.O. Box #37 Fortuna, ND 58844 (701) 834-2493	Bottineau Border Patrol P.O. Box 6 Bottineau, ND 58318 (701) 228-3179
Fulton Border Patrol Station 215 South First Street Room 228 P.O.Box 70	Neché Port of Entry Hwy 18 Neché, ND 58265	Sherwood Port of Entry Rural Route 2, Box 1D Sherwood, ND 58782 (701) 459-2250
		St. John Port of Entry Box 15, Rt. 1 St. John, ND 58369 (701) 447-3140
		Grand Forks Border Patrol Sector Headquarters P.O. Box 12669 Zip: 58208-2669 2320 S. Washington Street

Appendix IV: Agency Regional and Local Office Addresses

Grand Forks, ND 58201 (701) 775-6259	U.S. Immigration and Naturalization Service P.O. Box 365068 San Juan, PR 00936 (787) 766-5514	Progreso Port of Entry International Bridge Route 2, Box 600 Westlaco, TX 78596 (210) 565-6304
Portal Port of Entry P.O. Box 8 Portal, ND 58772 (701) 926-4221	Deferred Inspections U.S. Federal Building Room 380 Chardon Street Hato Rey, PR 00918 (809) 766-5000	Dallas Border Patrol 2800 South Pipeline Road Eules, TX 76040 (817) 540-0150
Ohio Cleveland District Office Anthony J. Celebreeze Federal Building 1240 E. 9th Street, Room 1917 Cleveland, OH 44199 (216) 522-4766	Aquadilla Service Processing Center P.O. Box 250480 Aquadilla, PR 00604-0480 (787) 882-3565/3567/3568	Midland Border Patrol Station P.O. Box 60405 Midland, TX 79711 (915) 561-8911
Toledo Port of Entry Federal Office Building, Room 713 234 Summit Street Toledo, OH 43604-1536 United States (419) 259-6474	Mayaguez Port of Entry U.S. Immigration and Naturalization Service P.O. Box 2990, Marina Station Mayaguez, PR 00709-2990 (809) 831-3440	Comstock Border Patrol P.O. Box 700, Highway 85 East Comstock, TX 78837 (915) 292-4450, 4680
Oklahoma Oklahoma Sub-Office 4149 Highline Boulevard, Suite 300 Oklahoma City, OK 73108 (405) 231-5928	San Juan International Airport P.O. Box 37900 Isle Verde, PR 00937-0900 (809) 253-4525	Corpus Christi Border Patrol 6809 Leopard Street P.O. Box 10344 Corpus Christi, TX 78460-0344 (512) 289-0552
Oregon Portland District Office 511 N.W. Broadway Portland, OR 97209 (503) 326-3962	Ponce Port of Entry Immigration and Naturalization Service P.O. Box 173 Playa Station Ponce, PR 00734-3173 (809) 843-3220	Marfa Border Patrol Sector Headquarters P.O. Box 1 300 Madrid Street Marfa, TX 79843 (915) 729-4353
Roseburg Border Patrol 1036 S.E. Douglas P.O. Box 1728 Roseburg, OR 97470 (503) 440-4518	District Director's Office Immigration and Naturalization Service New Federal Building, 3rd Floor Carlos Chardon Street Hato Rey, PR 00918	Harlingen Border Patrol 901 Rangerville Road P.O. Box 642 Harlingen, TX 78551 (956) 427-8511
Coos Bay Port of Entry P.O. Box 209 Coos Bay, OR 97420 (503) 242-4785	Rhode Island Providence Sub-Office 200 Dyer Street Providence, RI 02903-3993 (401) 528-5528, 5323	Brackettville Border Patrol P.O. Box 216 802 West Spring Street Brackettville, TX 78832 (830) 563-2477
Astoria Port of Entry P.O. Box 236 Astoria, OR 97103 (503) 325-3054	South Carolina Charleston Port of Entry Federal Building, Room 110 334 Meeting Street Charleston, SC 29403 (803) 727-4421	Hidalgo Port of Entry International Bridge Hidalgo, TX 78557-3019 (210) 843-2201, 2202
Portland International Airport P.O. Box 55067 Portland, OR 97238-5067 (503) 326-3409	Tennessee Memphis Sub-Office 245 Wagner Place Suite 250 Memphis, TN 38103-3815 (901) 544-4108	San Antonio International Airport 9800 Airport Boulevard Terminal No. 1 San Antonio, TX 78216 (210) 826-6261
Pennsylvania Philadelphia District Office 1600 Callowhill Street Philadelphia, PA 19130 (215) 656-7150	Texas Port Isabel Port of Entry Alien Processing Center (PIC) Route 3, Box 341 Buena Vista Road Los Fresnos, TX 78566 (210) 233-4431	Port Arthur Port of Entry 4550 Jimmy Johnson Boulevard, Suite 3 Port Arthur, TX 77642 (409) 727-3375
Pittsburgh Sub-Office INS/Department of Justice 2130 Federal Building 1000 Liberty Avenue Pittsburgh, PA 15222 (412) 395-4457		Mercedes Border Patrol P.O. Box 125 623 International Boulevard Mercedes, TX 78570 (956) 968-0602
Puerto Rico San Juan District Office		Rio Grande City Port of Entry International Bridge, P.O. Box 278 Roma, TX 78584 (210) 487-2200 or (210) 849-1676

Appendix IV: Agency Regional and Local Office Addresses

Brownsville Border Patrol
P.O. Box 5076
Brownsville, TX 78520
(956) 542-3585

Gateway to the American International Bridge #1
100 Convent Street
Laredo, TX 78040
(210) 722-2484

McAllen Border Patrol
4201 W. Military Highway
McAllen, TX 78503
(956) 618-8163

Amarillo Border Patrol
P.O. Box 2287
Amarillo, TX 79105
(806) 324-2278

San Antonio District Office
8940 Four Winds Drive
San Antonio, TX 78239
(210) 967-7109

Juarez-Lincoln International Bridge #2
700 Zaragoza Street, Building #3
Laredo, TX 78042
(512) 722-5440

Fabens Border Patrol
802 East Main
P.O. Box 908
Fabens, TX 79838
(915) 764-2417

Rocksprings Border Patrol P.O. Box 576
Rocksprings, TX 78880
(830) 683-2255

Port Isabel Service Processing Center
Route 3, Box 341
Los Fresnos, TX 78566
(210) 548-2530

Galveston Port of Entry
P.O. Box 388
Galveston, TX 77550
(409) 766-3581

Dallas Forth Worth Port of Entry
P.O. Box 610365
Dallas-Fort Worth, TX 75261
(214) 574-2141

Falfurrias Border Patrol
P.O. Box 479
Falfurrias, TX 78355
(512) 325-5616

El Paso District Office
1545 Hawkins, Suite 167
El Paso, TX 79925
(915) 540-1700, 1701

Dallas District Office
8101 North Stemmons Freeway
Dallas, TX 75247
(214) 655-3011

Presidio Port of Entry
International Bridge, P.O. Box 937

Presidio, TX 79845
(915) 229-3265, 3663

El Paso Border Patrol Sector Headquarters
8901 Montana Ave., P.O. Box 9578
El Paso, TX 79986
(915) 834-8350

Sanderson Border Patrol
P.O. Box 628
Sanderson, TX 78268
(915) 345-2972

Fort Hancock Border Patrol
P.O. Box 218
Fort Hancock, TX 79839
(915) 769-3978

Carrizo Springs Border Patrol
P.O. Box 194
Highway 85 East
Carrizo Springs, TX 78834
(830) 876-3557

Houston Intercontinental Airport
P.O. Box 60457
Houston, TX 77205
(713) 233-3700

Brownsville International Airport
Brownsville, TX 78520
(210) 542-8296

Austin Sub-Office
3708 South Second Street
P.O. Box 6496
Austin, TX 78704
(512) 482-7901, 7904

El Paso Port of Entry
Paso Del Norte Bridge
El Paso, TX 79901
(915) 534-6767

Fort Hancock Port of Entry
P.O. Box 235
Fort Hancock, TX 79839
(915) 769-3810

Laredo Administrative Offices, Building #2
700 Zaragoza Street
P.O. Box 179
Laredo, TX 78042-0179
(210) 722-3283

San Angelo Border Patrol
P.O. Box 61106
San Angelo, TX 76906
(915) 949-0139

Big Bend Park Border Patrol
P.O. Box 67
Big Bend, TX 79834
(915) 477-2287

McAllen Border Patrol Sector Headquarters
Mail: P.O. Box 1179 Zip Code 78502
2301 South Main Street
McAllen, TX 78503
(210) 686-5496

Falcon Heights Port of Entry
International Dam, P.O. Box 278

Roma, TX 78584
(210) 848-5221

Los Ebanos Port of Entry
International Ferry
P.O. Box 399
Los Ebanos, TX 78565
(512) 485-2721

Pharr Port of Entry
9910 S. Cage Boulevard, Suite C
Pharr, TX 78557-9723
(956) 783-4739, 4758

San Antonio Border Patrol
5000 North Industrial Drive
San Antonio, TX 78268
(210) 521-7926

Processing Center
8915 Montana Avenue
El Paso, TX 79925
(915) 225-1941

Office of Inspector General
3 Butterfield Trail Boulevard
Suite 120
El Paso, TX 79906
(915) 534-7370

Abilene Border Patrol
P.O. Box 3076
555 Walnut Street
Abilene, TX 79604-3076
(915) 673-3010

Kingsville Border Patrol
Naval Air Station, Building 3731
Kingsville, TX 78363 United States
(512) 592-3284

Hebbronville Border Patrol
802 North Sigrid Street
Hebbronville, TX 78361
(512) 527-3256

Intelligence Center
11339 SSG Sims Street
El Paso, TX 79908-8098
(915) 760-2031

Lubbock Border Patrol
P.O. Box 1632, 1205 Texas Avenue
Lubbock, TX 79408
(806) 472-7355

Port Arthur Port of Entry
2875 75th Street, Room 106
Port Arthur, TX 77640
(409) 727-3375

Rio Grand City Border Patrol
2230 East Highway
P.O. Box 481
Rio Grand City, TX 78582
(956) 487-2700

Port Isabel Border Patrol
Route 3, Box 340
Los Fresnos, TX 78566
(956) 233-9554

Uvalde Border Patrol

Appendix IV: Agency Regional and Local Office Addresses

Industrial Park #30
Uvalde, TX 78801
(830) 278-7133

Fabens Port of Entry
P.O. Box 908
Fabens, TX 79838
(915) 764-2419

Van Horn Border Patrol
700 N.W. Access Road
P.O. Box 368
Van Horn, TX 79854
(915) 283-2795

Amistad Dam Port of Entry
P.O. Box 4060
Del Rio, TX 78841-4060
(210) 775-7213

Laredo Border Patrol Sector Headquarter
207 W. Del Mar Boulevard
Laredo, TX 78041
(956) 723-4367

Laredo South Border Patrol Station
Rural Route 3, P.O. Box USBP-SLS/L
Laredo, TX 78043
(210) 727-0644

Del Rio Border Station
P.O. Box 2020, Qualia Drive
Del Rio, TX 78841-2020
(830) 703-2100

Alpine Border Patrol
P.O. Box 958
Alpine, TX 79831
(915) 837-3550

Freer Border Patrol
P.O. Box "W"
Freer, TX 78357
(512) 394-7613

Cotulla Border Patrol
692 Main Street
Cotulla, TX 78014
(830) 879-3051

Presidio Border Patrol
P.O. Box 929
Presidio, TX 79845
(915) 229-3330

Fort Stockton Border Patrol
P.O. Box 607
Fort Stockton, TX 79735
(915) 336-2468

Del Rio Border Patrol Sector Headquarters
P.O. Box 2020
Del Rio, TX 78841-2020
(210) 703-2100

Zapata Border Patrol
Lot C-2015
U.S. Hwy 83 South
P.O. Box 685
Zapata, TX 78076-0685
(210) 765-6395

Eagle Pass Port of Entry

International Bridge, P.O. Box 4280
Eagle Pass, TX 78852
(210) 773-9205, 9206

Harlingen District Office
2102 Teege Road
Harlingen, TX 78550
(210) 427-8691

Llano Border Patrol
P.O. Box 134, 211 East Tarrant
Llano, TX 78643
(915) 247-4912

Brownsville Port of Entry
1500 East Elizabeth Street, Room 203
Brownsville, TX 78520
(210) 546-1675

Utah

5272 South College Drive, Suite 100
Salt Lake City, UT 84123
(801) 265-8807

Vermont

Norton Port of Entry
Border Inspection Station
State Route 114
P.O. Box 117
Norton, VT 05907
(802) 822-5222

West Berkshire Port of Entry
RFD #1 Box 1110
Enosburg Falls, VT 05450
(802) 933-2301

St. Albans Sub-Office
Federal Building
P.O. Box 328
St. Albans, VT 05478
(802) 527-3191

Beecher Falls Port of Entry
Border Inspection
Route 102
Beecher Falls, VT 05902
(802) 266-3320

Beebe Plain Port of Entry
Beebe Plain, VT 05823
(802) 873-3151

Highgate Springs Port of Entry
Border Inspection Station
U.S. Route 7
RFD Swanton, VT 05488
(802) 868-3349

Pinnacle Port of Entry
R.D. #1, Box 300
Richford, VT 05476
(802) 848-3319

Derby Line Port of Entry
Route 91
P.O. Box 367
Derby Line, VT 05830
(802) 873-3316

Richford Border Patrol

Main Street
P.O. Box 67
Richford, VT 05476
(802) 848-7713

Alburg Springs Port of Entry
Alburg Springs, RR#2
Alburg, VT 05440
(802) 796-3704

Eastern Region Operations
70 Kimball Avenue
South Burlington, VT 05403-6813
(802) 660-5000

Eastern Service Center
75 Lower Welden Street
St. Albans, VT 05479-0001
(802) 527-3100

Newport Border Patrol
P.O. Box 815
Newport, VT 05855
(802)334-6722

Beecher Falls Border Patrol
P.O. Box 215
24 hours
Beecher Falls, VT 05902
(802) 266-3035

Administrative Center
70 Kimball Avenue
South Burlington, VT 05403-6813
(802) 660-1111

Richford Port of Entry
Border Inspection Station
RFD #1, Box 40
Richford, VT 05476
(802) 848-7766

Morses Line Port of Entry
RFD #1
Morses Line, VT 05457
(802)285-2214

North Troy Port Of Entry
State Route 105
North Troy, VT 05859
(802) 988-2633

Swanton Border Patrol Sector Headquarters
Grand Ave
P.O. Box 705
Swanton, VT 05488
(802) 868-3361

East Richford Port of Entry
RFD #1
P.O. Box 1690
East Richford, VT 05476
(802) 848-3001

Swanton Border Patrol Station
Route 78
P.O. Box 209
24 Hours
Swanton, VT 05488
(802) 868-3229/3320

Alburg Port of Entry

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U.S. Border Station
State Route 225
Alburg, VT 05440
(802)796-3703

Canaan Port of Entry
Border Inspection Station
State Route 114
P.O. Box 129
Canaan, VT 05903
(802) 266-8994

Eastern Form Center
P.O. Box 567
Williston, VT 05495-0567
(802) 951-6225

Virgin Islands

Cruz Bay Port of Entry
P.O. Box 450
Cruz Bay
St. John, VI 00831
(340) 776-6390

Christiansted St. Croix, Port of Entry
P.O. Box 1270 Kingshill
Christiansted St. Croix, VI 00851
(809) 778-6559/6300

Charlotte Amalie, St. Thomas, VI Sub-Office
Nisky Center, First Floor, Suite 1A
Charlotte Amalie St. Thomas, VI 00801
(809) 774-1390

Virginia

Norfolk Sub-Office
5Norfolk Federal Building
200 Granby Mall, Room 439
Norfolk, VA 23510-1882
(804) 441-3095

Washington District Office
4420 North Fairfax Drive
Arlington, VA 22203
(202) 307-1504

Washington

Nighthawk Port of Entry
Star Route
Loomis, WA 98827
(509) 476-2125

Lynden Border Patrol
8334 Guide Meridian Road
P.O. Box 708
Lynden, WA 98264
(360) 354-4118

Friday Harbor Port of Entry
202 Front Street North
Staff by Customs
P.O. Box 1907
Friday Harbor, WA 98250
(206) 378-2080

Wenatchee Border Patrol
93 Eastmont, McQuaig Bldg.
P.O. Box 1825
Wenatchee, WA 98807
(509) 884-8893

Spokane Border Patrol
N. 10710 Newport Highway
Spokane, WA 99218

Seattle Sea Inspections
815 Airport Way South
Seattle, WA 98134
(206) 553-1246

Laurier Port of Entry
Immigration and Naturalization Service
Highway 395
P.O. Box 40

Laurier, WA 99146
(509) 684-2100

Seattle District Office
815 Airport Way South
Seattle, WA 98134
(206) 553-0070

Sumas Port of Entry
109 Cherry Street
P.O. Box 99
Sumas, WA 98295
(360) 988-4781

Port Townsend Port of Entry
Staffed by Customs)
1322 Washington
P.O. Box 951
Port Townsend, WA 98368-0004
(360) 385-3777

Longview Port of Entry
P.O. Box 1027
Longview, WA 98632
(206) 423-5550

Bellingham Port of Entry
104 W. Magnolia and Cornell Street, Room 207
P.O. Box 2055
Bellingham, WA 98227
(360) 676-8411 (Downtown)
(360) 734-3520 (Airport)

Vancouver-BC Canada
P.O. Box 450
Point Roberts, WA 98281-0450 United States
(604) 278-3360, 3986, 2520

Boundary Port of Entry
5338 North Port Juanita Road
Colville, WA 99114
(509) 732-4470

Oroville Border Patrol
1105 Main Street
P.O. Box 99
Oroville, WA 98844-9726
(509) 476-3622

Lynden Port of Entry
9949 Guide Meridian Road
Lynden, WA 98264
(206) 354-6661

Blaine Port of Entry
Immigration and Naturalization Service
Peace Arch POE
100 Peace Portal Drive

Blaine, WA 98230
(360) 332-8511

Blaine Border Patrol
1580 H Street
P.O. Box 3529
Blaine, WA 98231
(360) 332-4205

Oroville Port of Entry
Route 1, Box 130
Oroville, WA 98844
(509) 476-2454, 3132

Spokane Airport
691 U.S. Courthouse Building
Spokane, WA 99201
(509) 353-4699

Ferry Port of Entry
3559 Toroda Customs Bridge Road
Curlaw, WA 99118-9715
(509) 779-4655 (Customs)

Bellingham Border Patrol
2745 McLeod Rd.
P.O. Box 31428
Bellingham, WA 98228-3428
(360) 733-8420

Frontier Port of Entry
Immigration and Naturalization Service
4939 Highway 25 North
Northport, WA 99157
(509) 732-4418

Port Angeles Border Patrol
138 West First Street
Port Angeles, WA 98362
(360) 452-5970

Danville Port of Entry
19130 North Highway 21
Danville, WA 99121-9704
(509) 779-4860

Tacoma Port of Entry
3600 Port Tacoma Road
Suite 303

P.O. Box 1296
Tacoma, WA 98401
(206) 922-0848

Yakima Port of Entry
417 E. Chestnut
P.O. Box 49
Yakima, WA 98907
(509) 575-5944

Blaine Border Patrol Sector Headquarters
1590 H Street
P.O. Box 3529
Blaine, WA 98231
(360) 332-7707, 7919

Spokane Sub-Office
619 U.S. Courthouse Building
Spokane, WA 99201
(509) 353-2761

Colville Border Patrol
209 East Juniper Ave.

Appendix IV: Agency Regional and Local Office Addresses

P.O. Box 146
Colville, WA 99114
(509) 684-6272

Pacific Highway Port of Entry
9950 Pacific Highway
Blaine, WA 98230
(360) 332-6091, 7237

Seattle Tacoma International Airport
S. 178 and Pacific Highway
Seattle, WA 98158
(206) 553-0466, 2299

Metaline Falls Port of Entry
P.O. Box 631
Metaline Falls, WA 99153
(509) 446-2572

Washington, DC

Dulles International Airport
P.O. Box 17775
Washington, DC 20041
(703) 661-5100

Immigration and Naturalization Service
Headquarters
425 I Street, NW
Washington, DC 20536 United States
(202) 514-4316

Wisconsin

Milwaukee Sub-Office
Federal Building, Room 186
517 East Wisconsin Avenue
Milwaukee, WI 53202
(414) 297-3161

DEPARTMENT OF LABOR

DIVISION OF COAL MINE WORKERS'
COMPENSATION

Colorado

(Western United States)
Kevin Peterson, DD
1801 California Street, Suite 925
Denver, CO 80202-2614
(720) 264-3100

Kentucky

(Alabama, Florida, Georgia, Mississippi, North
Carolina, South Carolina, Tennessee)
Brenda Jamison, SCE
402 Campbell Way
Spring Street
Mt. Sterling, KY 40353
(1-800-366-4628)

Harry Skidmore, DD
334 Main Street, 5th Floor
Pikeville, KY 41501
(1-800-366-4599)

Ohio

(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin)
Donald Dopps, Jr., DD
1160 Dublin Road, Suite 300

Columbus, OH 43215
(1-800-347-3771)

Pennsylvania

(Western Pennsylvania and Maryland)
Colleen Smalley, DD
1225 South Main Street
Wellington Square, Suite 405
Greensburg, PA 15601
(1-800-347-3753)

(Central Pennsylvania and Virginia)
Stuart Glassman, DD
Penn Traffic Building, 2nd Floor
319 Washington Street
Johnstown, PA 15901
(1-800-347-3754)

(Connecticut, Delaware, District of Columbia,
Eastern Pennsylvania, Maine, Massachusetts, New
Hampshire, New Jersey, New York, Rhode Island,
Vermont)
Maribeth Girton, DD
S. Main Towers
105 North Main Street, Suite 100
Wilkes-Barre, PA 18701
(1-800-347-3755)

West Virginia

(Northern West Virginia)
Loretta Bird, Supervisory CE
Federal Building, Suite 3116
425 Juliana Street
Parkersburg, WV 26101
(1-800-347-3751)

(Southern West Virginia)
Robert Hardesty, DD
Charleston Federal Center
500 Quarrier Street, Suite 110
Charleston, WV 25301
(1-800-347-3749)

DIVISION OF FEDERAL EMPLOYEES'
COMPENSATION

Region I-Boston

Michael Harvill, DD
JFK Federal Building, Room E-260
Boston, MA 02203
(617) 565-2129

Region II-New York

Jonathan Lawrence, DD
201 Varick Street, Room 750
New York, NY 10014
(212) 337-2044

Region III-Philadelphia

William J. Staarman, DD
Curtis Center, Suite 715 East
170 South Independence Mall West
Philadelphia, PA 19106-3308
(215) 861-5481

Region IV-Jacksonville

William C. Franson, DD
214 North Hogan Street, Suite 1006

Jacksonville, FL 32202
(904) 357-4725

Region IX-San Francisco

Sharon Tyler, DD
71 Stevenson Street, Suite 305
San Francisco, CA 94105
(415) 975-4162

Region V-Chicago

Robert Sullivan, DD
1240 East Ninth Street, Room 851
Cleveland, OH 44199
(216) 522-2092

Johnny Dawkins, DD
230 Dearborn Street, 8th Floor
Chicago, IL 60604
(312) 596-7133

Region VI-Dallas

Frances Memmolo, DD
Federal Building
525 South Griffin Street, Room 100
Dallas, TX 75202
(214) 767-2580

Region VII-Kansas City

Charles O. Ketcham, Jr, DD
City Center Square Building
1100 Main Street, Suite 750
Kansas City, MO 64105
(816) 426-2195

Region VIII-Denver

Shirley Bridge, DD
1801 California Street, Suite 915
Denver, CO 80202
(720) 264-3007

Region X - Seattle

Doris Carender, DD
Federal Office Building
1111 Third Avenue, Suite 615
Seattle, WA 98101-3212
(206) 553-5255

Washington

LONGSHORE AND HARBOR WORKERS'
COMPENSATION

California

(District 18: Southern California)
Eric Richardson, DD
401 E. Ocean Boulevard, Suite 720
Long Beach, CA 90802
(562) 980-3578

(District 13: Arizona, Northern California,
Nevada)
Philip Williams, DD
71 Stevenson Street, Room 1705, MA:
P.O. Box 3770
San Francisco, CA 94119-3770
(415) 975-4274

Florida

Appendix IV: Agency Regional and Local Office Addresses

(District 6: Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
Chris Gleasman, DD
214 N. Hogan Street, Suite 1040
Jacksonville, FL 32202
(904) 357-4757

Hawaii

(District 15: Hawaii, Pacific Area to 60 east longitude)
Vacant
300 Ala Moana Boulevard, Room 5-135
P.O. Box 50209
Honolulu, HI 96850
(808) 541-1983

Illinois

(District 10: Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin, Iowa, Missouri, Nebraska, Kansas)
Thomas C. Hunter, DD
230 South Dearborn Street, Room 578
Chicago, IL 60604
(312) 596-7153

Louisiana

(District 7: Arkansas, Louisiana)
Michael Brewer, DD
701 Loyola Avenue, Room 13032
New Orleans, LA 70113
(504) 589-2671

Maryland

(District 4: District of Columbia, Maryland)
John McTaggart, Acting DD
31 Hopkins Plaza, Room 410-B
Baltimore, MD 21201
(410) 962-3677

Massachusetts

(District 1: Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
Marcia Finn, DD
JFK Federal Building, Room E-260
Boston, MA 02203
(617) 565-2103

New York

(District 2: New Jersey, New York, Puerto Rico, Virgin Islands)
Richard Robilotti, DD
201 Varick Street, Room 750
P.O. Box 249
New York, NY 10014-0249
(212) 337-2030

Pennsylvania

(District 3: Delaware, Pennsylvania, West Virginia)
John McTaggart, DD
Curtis Center, Suite 790 West
170 South Independence Mall West
Philadelphia, PA 19106-3313
(215) 861-5459

Texas

(District 8: Oklahoma, New Mexico, Texas)
Charles Lee
8866 Gulf Freeway, Suite 140
Houston, TX 77017
(713) 943-1605

Virginia

(District 5: Virginia)
Basil Voultssides, DD
200 Granby Mall
Room 212
Norfolk, VA 23510
(757) 441-3071

Washington

(District 14: Alaska, Colorado, Idaho, Oregon, Montana, North Dakota, South Dakota, Utah, Washington, Wyoming)
Karen Staats, DD
1111 3rd Avenue, Suite 620
Seattle, WA 98101-3212
(206) 553-4471

Alabama

Linda Henderson
Medical Forum Building
950 22nd St North, Suite 660
Birmingham, AL 35203
(205) 731-0820

Arizona

Sarah Nelson
3221 N. 16th St., Suite 303
Phoenix, AZ 85016
(602) 640-2960

Arkansas

Joel Maltbia
TCBY Tower, Suite 735
425 West Capitol Avenue
Little Rock, AR 72201
(501) 324-5436

California

Hector Sanchez
34 Civic Center Plaza, Suite 406
P.O. Box 12800
Santa Ana, CA 92712
(714) 836-2784

Vacant

Federal Building
11000 Wilshire Boulevard, Suite 8103
Los Angeles, CA 90024
(310) 235-6800

Donna Onodera, RD

U.S. Department of Labor, ESA/OWCP
71 Stevenson Street, Suite 1705
San Francisco, CA 94105
(415) 975-4160

Angel Luevano

1301 Clay Street, Suite 1080N
Oakland, CA 94612
(510) 637-2938

Vacant

5675 Ruffin Road, Suite 320
San Diego, CA 92123
(619) 557-6489

Alice Young

60 South Market Street, Suite 410
San Jose, CA 95113
(408) 291-7384

California/Los Angeles

Billy F. Beaver
1055 E. Colorado Boulevard
Suite 200
Pasadena, CA 91106
(626) 229-1000 (626) 229-1098 FAX

Colorado

Robert Mansanares, RD
U.S. Department of Labor, ESA/OWCP
1801 California Street, Suite 920
Denver, CO 80202-2614
(720) 264-3160

Connecticut

Jacqueline Currie
135 High Street, Room 311
Hartford, CT 06103
(860) 240-4277

District of Columbia

Betty Sledd-Stewart
Riddell Building, Suite 422
1730 K Street, N.W.
Washington, DC 20006
(202) 254-2501

Florida

Celso Peruyera
Brickell Plaza Federal Building
909 S.E. 1st Avenue, Suite 722
Miami, FL 33131
(305) 536-5670

Ronald Bailey

1851 Executive Center Drive, Suite 200
Jacksonville, FL 32207
(904) 232-3073

Alice Webster

Commodore Building, Suite 160
3444 McCrory Place
Orlando, FL 32803
(407) 648-6181

Nancy Ricker, RD

U.S. Department of Labor, ESA/OWCP
214 North Hogan Street, Suite 1026
Jacksonville, FL 32202
(904) 357-4725

Georgia

Millard Rutherford
61 Forsyth Street., Room 7B65
Atlanta, GA 30303
(404) 562-2444

Hawaii

Appendix IV: Agency Regional and Local Office Addresses

Trent Williams
300 Ala Moana Boulevard, Room 7326
P.O. Box 50149
Honolulu, HI 96850
(808) 541-2933

Illinois

Nancy Jensen, Acting RD
U.S. Department of Labor, ESA/OWCP
230 South Dearborn Street, Room 800
Chicago, IL 60604
(312) 596-7131

Patrick Nutter
230 South Dearborn, Room 434
Chicago, IL 60604
(312) 596-7046

Indiana

Phillip M. Stepteau
429 N. Pennsylvania St., Room 308
Indianapolis, IN 46204
(317) 226-5860

Kentucky

Carmen Rendon
Mazzoli Federal Building, Room 185
600 Martin Luther King Place
Louisville, KY 40202
(502) 582-6275

Louisiana

Elizabeth Laiche
701 Loyola Avenue, Room 13029
New Orleans, LA 70113
(504) 589-6575

Maryland

Victor S. Rodriguez
Appraisers' Stores Building
103 South Gay Street, Room 202
Baltimore, MD 21202
(410) 962-3572

Massachusetts

Roger O'Campio
JFK Federal Building, Room E-235
Boston, MA 02203
(617) 565-2055

Dorothy Reed, Deputy Regional Director
U.S. Department of Labor, ESA/OWCP
JFK Federal Building, Room E-260
Boston, MA 02203
(617) 565-2130

Michigan

Christopher S. Edwards
Patrick V. McNamara Federal Building
211 West Fort St., Room 1320
Detroit, MI 48226
(313) 226-3728

Christopher S. Edwards, Acting
50 Louis Street N.W.
2nd Floor, NW-c/o, Suite 300-HUD
Grand Rapids, MI 49503

(616) 456-2144

Minnesota

Patricia Simpson
Bridgeplace Building, Room 102
220 Second Street South
Minneapolis, MN 55401
(612) 370-3177

Mississippi

Vacant
Millsaps Building, Suite 700
201 West Capitol Street
Jackson, MS 39201
(601) 965-4668

Missouri

Charles O. Ketcham, Jr. RD
U.S. Department of Labor, ESA/OWCP
City Center Square
1100 Main Street, Suite 750
Kansas City, MO 64105
(816) 426-2196

New Jersey

Dianne Robinson, Acting
Diamond Head Building
200 Sheffield Street, Room 102
Mountainside, NJ 07092
(201) 645-6104

Michele Hodge

3131 Princeton Pike, Building 5, Room 203
Lawrenceville, NJ 08648
(609) 989-2380

New Mexico

Ms. Terri Molina
505 Marquette Avenue, N.W., Suite 810
Albuquerque, NM 87102
(505) 248-5015

New York

Kenneth Hamlett, RD
U.S. Department of Labor, ESA/OWCP
201 Varick Street, Room 750
New York, NY 10014
(212) 337-2033

Ms. Mary Ellen Bentivogli
Six Fountain Plaza, Suite 300
Buffalo, NY 14202
(716) 551-5065

Jacqueline Currie, Acting
Leo W. O'Brien Federal Building, Room 740
19 Aviation Road
Albany, NY 12205
(518) 435-0326

Stanley Wishnick
26 Federal Plaza, Room 36-116
New York, NY 10278
(212) 264-7742

North Carolina

Jerome Geathers
800 Briar Creek Road

Mart Office Building, Room BB-401
Charlotte, NC 28205
(704) 344-6113

Mary E. Akinkuotu
300 Fayetteville Street Mall, Suite 121
Raleigh, NC 27601
(919) 856-4058

Ohio

Ms. Sharon Barstow
200 North High Street, Room 409
Columbus, OH 43215
(614) 469-5831

George Opsincs
55 Renaissance Center
1350 Euclid Street, Suite 350
Cleveland, OH 44114
(216) 522-7472

Oklahoma

Richard Hernandez
51 Yale Building
5110 South Yale Avenue, Room 304
Tulsa, OK 74135
(918) 496-6772

Oregon

Laura L. Worden
Federal Office Building, Suite 1030
1515 S.W. 5th Avenue
Portland, OR 97201
(503) 326-4112

Pennsylvania

Jacqueline Bell
Robert N.C. Nix Sr. Federal Building
9th & Market Streets, Room 311
Philadelphia, PA 19107
(215) 597-4122

Mary Ann Sedlacek
Federal Building, Room 1132
1000 Liberty Avenue
Pittsburgh, PA 15222
(412) 395-6330

R. David Lotz, RD
U.S. Department of Labor, ESA/OWCP
Curtis Center, Suite 780 West
170 South Independence Mall West
Philadelphia, PA 19106-3313
(215) 861-5406

Puerto Rico

Hernan Rivera
San Patricio Office Center
7 Tabonuco Street, 4th Floor
Guaynabo, PR 00968
(787) 775-1901

Region I

Connecticut, Maine, Massachusetts, New
Hampshire, Rhode Island and Vermont
John M. Griffin, Jr.
JFK Federal Building, Room E-370
Boston, MA 02203

Appendix IV: Agency Regional and Local Office Addresses

(617) 565-2288
(617) 565-9171 FAX
E-Mail: griffin.@doleta.gov

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
James R. Turner, Acting
JFK Federal Building, Room E-235
One Congress Street
Boston, MA 02203
(617) 565-2055

Region II

(New Jersey, New York, Puerto Rico, Virgin Islands)
James R. Turner
201 Varick Street, Room 750
New York, NY 10014
(212) 337-2007

New Jersey, New York, Puerto Rico and the Virgin Islands
Mr. Albert Hudanish
201 Varick Street, Room 602
New York, NY 10014
(212) 337-2313
(212) 337-2317 FAX
E-Mail: ahudanish@doleta.gov

Region III

Delaware, Maryland, Pennsylvania, Virginia and West Virginia
John T. Hersh
3535 Market Street, Room 13240
Philadelphia, PA 19104
(215) 596-6417
(215) 596-0192 FAX
E-Mail: hershj@doleta.gov

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
Joseph J. DuBray, Jr.
Curtis Center, Suite 750 West
170 South Independence Mall West
Philadelphia, PA 19106-3309
(215) 861-5763

Region IV

Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, and Tennessee
Julian S. Palmer, Jr.
61 Forsyth Street, N.W., Room 6T71
Atlanta, GA 30303
(404) 562-2335
(404) 562-2329
E-Mail: palmerj@doleta.gov

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
Carol A. Gaudin
Atlanta Federal Center
61 Forsyth Street, S.W., Room 7B75
Atlanta, GA 30303
(404) 562-2424

Region IX

(Arizona, California, Guam, Hawaii, Nevada)
Woodrow Gilliland
71 Stevenson Street, Suite 1700
San Francisco, CA 94105
(415) 975-4720

Arizona, California, Hawaii, and Nevada
George F. Jones
Federal Building, Room 815
71 Stevenson Street
San Francisco, CA 94105
(415) 975-4007
(415) 975-4010 FAX
E-Mail: gjones@doleta.gov

Region V

(Illinois, Indiana, Iowa, Kansas, Michigan, Minnesota, Missouri, Nebraska, Ohio, Wisconsin)
Sandra S. Zeigler
H. Kluczynski Federal Building, Room 570
230 South Dearborn Street
Chicago, IL 60604
(312) 596-7010

Illinois, Indiana, Michigan, Minnesota, Ohio and Wisconsin
Terrence V. Benewich
230 South Dearborn Street, Room 708
Chicago, IL 60604
(312) 353-7205
(312) 353-5506 FAX
E-Mail: benewicht@doleta.gov

Region VI

Arkansas, Louisiana, New Mexico, Oklahoma and Texas
Sally S. Uber
Federal Building
525 Griffin Street, Room 311
Dallas, TX 75202
(214) 767-4993
(214) 767-4995 FAX
E-Mail: ubers@doleta.gov

(Arkansas, Colorado, Louisiana, Montana, New Mexico, North Dakota, Oklahoma, South Dakota, Texas, Utah, Wyoming)
Fred Azua, Jr.
Federal Building
525 South Griffin Street, Suite 840
Dallas, TX 75202
(214) 767-2804

Region VII

Iowa, Kansas, Missouri, and Nebraska
Isidore H. Gross, Jr.
1100 Main Street, Suite 1040
Kansas City, MO 64105-2112
(816) 426-3856
(816) 246-3664 FAX
E-Mail: grossi@doleta.gov

Region VIII

Colorado, Montana, North Dakota, South Dakota, Utah, and Wyoming
Carl K. Heninger
U.S. Custom House

721 19th Street, Room 465
Denver, CO 80202
(303) 844-4791
(303) 844-4701
E-Mail: cheninger@doleta.gov

Region X

Alaska, Idaho, Oregon and Washington
William F. Wadsworth
1111 Third Avenue, Room 925
Seattle, WA 98101-3212
(206) 553-5286
(206) 553-1689 FAX
E-Mail: wwadsworth@doleta.gov

(Alaska, Idaho, Oregon, Washington)
Woodrow Gilliland, Acting
1111 Third Avenue, Suite 745
Seattle, WA 98101
(206) 553-7182

South Carolina

Vacant
Strom Thurmond Federal Building
1835 Assembly Street, Suite 608
Columbia, SC 29201
(803) 765-5244

Tennessee

Frederick W. Norton
167 North Main Street, Suite 201
Memphis, TN 38103
(901) 544-3458

Larry E. Stanley
1321 Murfreesboro Road, Suite 301
Nashville, TN 37217
(615) 781-5395

Texas

Ronald D. Lipscomb
525 South Griffin Street, Room 512
Federal Office Building
Dallas, TX 75202
(214) 767-2911

E. Martin Walker, RD
U.S. Department of Labor, ESA/OWCP
525 South Griffin Street, Room 407
Dallas, TX 75202
(214) 767-4713

Lorenzo Garza
800 Dolorosa Street, Suite 200
San Antonio, TX 78207
(210) 472-5835

Vacant
2320 La Branch Street, Room 1103
Houston, TX 77004
(713) 718-3800

Utah

Normando R. DeHalle
Gateway Tower East 10 E. South Temple, Suite 1690
Salt Lake City, UT 84101
(801) 524-4470

Appendix IV: Agency Regional and Local Office Addresses

Virginia

William Thorpe
400 North 8th Street, Room 552
Richmond, VA 23240
(804) 771-2136

Washington

Donna Onodera, Acting RD
U.S. Department of Labor, ESA/OWCP
1111 Third Avenue, Suite 615
Seattle, WA 98101-3212
(206) 553-5508

Walt Trimble
1111 Third Avenue, Suite 745
Federal Office Building
Seattle, WA 98101
(206) 553-7182

Wisconsin

John Hertz
106 S. 15th Street, Room 808
Omaha, NE 68116
(402) 221-3381

Hector Sanchez
34 Civic Center Plaza, Room 406
Santa Ana, CA 92712
(714) 836-2784

Robert Potter
Federal Building, Suite 1115
310 West Wisconsin Avenue
Milwaukee, WI 53203
(414) 297-3821

Shirley E. Oderkirk
1100 Main Street, Room 860
Kansas City, MO 64105
(816) 426-3860

Maxine Karr, Acting
1222 Spruce Street, Room 10207
St. Louis, MO 63103
(314) 539-6394

Robert Gnidziejko
1244 Spee Boulevard, Room 620
Denver, CO 80204-3584
(303) 844-4481

BUREAU OF LABOR STATISTICS

REGIONAL OFFICES

Atlanta

(Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina, South Carolina,
Tennessee)

Bureau of Labor Statistics
Room 7T50
61 Forsyth Street, SW
Atlanta, GA 30303 United States
(404) 893-8300

Boston/New York

(Connecticut, Maine, Massachusetts, New
Hampshire, New York, Puerto Rico, Virgin

Islands, Rhode Island, Vermont)
Bureau of Labor Statistics
JFK Federal Building, Room E-310
Boston, MA 02203 United States
(617) 565-2331

Chicago

(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin, Nebraska, Iowa, North Dakota, South
Dakota)

Bureau of Labor Statistics
JCK Federal Office Building, 9th Floor
230 South Dearborn Street
Chicago, IL 60604 United States
(312) 353-7226

Dallas/Kansas City

Bureau of Labor Statistics
Federal Office Building
525 Griffin Street, Room 221
Dallas, TX 75202 United States
(972) 850-4882

Kansas City

(Arkansas, Louisiana, New Mexico, Oklahoma,
Texas, Colorado, Kansas, Missouri, Montana,
Utah, Wyoming)
Bureau of Labor Statistics
Two Pershing Square Building
2300 Main Street, Room 1190
Kansas City, MO 64108 United States
(816) 285-7018

New York

Bureau of Labor Statistics
201 Varick Street, Room 808
New York, NY 10014 United States
(212) 337-2500

Philadelphia

(Delaware, District of Columbia, Maryland,
Pennsylvania, New Jersey, Virginia, West
Virginia)

Bureau of Labor Statistics
The Curtis Center, Suite 610 East
170 South Independence Mall West
Philadelphia, PA 19106 United States
(215) 861-5600

San Francisco

(Alaska, Arizona, American Samoa, California,
Guam, Hawaii, Idaho, Nevada, Oregon,
Washington, Trust Territory of the Pacific Islands)

Bureau of Labor Statistics
SF Federal Building
14th Floor
90 7th Street
San Francisco, CA 94103 United States
(415) 625-2245

EMPLOYEE BENEFITS SECURITY ADMINISTRATION

California

Jean Ackerman - Director

90 7th St
Suite 11-300
San Francisco, CA 94105 United States
Tel (415) 625-2481; Fax (415) 625-2450

Crisanta Johnson - Director
1055 East Colorado Blvd
Suite 200
Pasadena, CA 91106 United States
Tel (626) 229-1000; Fax (626) 229-1098

District of Columbia

Elizabeth Bond - Supervisor
1335 East-West Highway
Suite 200
Silver Spring, MD 20910-3225 United States
Tel (202) 693-8700; Fax (202) 693-8736

Florida

Norman Rivera - Supervisor
1000 S Pine Island Road
Suite 100
Plantation, FL 33324 United States
Tel (954) 424-4022; Fax (954) 424-0548

Georgia

Isabel Colon - Director
61 Forsyth Street, SW
Suite 7B54
Atlanta, GA 30303 United States
Tel (404) 302-3900; Fax (404) 302-3975

Illinois

Donna Seermon - Acting Director
John C. Kluczynski Federal Bldg
230 S. Dearborn Street, Ste 2160
Chicago, IL 60604 United States
Tel (312) 353-0900; Fax (312) 353-1023

Kentucky

Joe Rivers - Director
1885 Dixie Hwy
Suite 210
Fort Wright, KY 41011-2664 United States
Tel (859) 578-4680; Fax (859) 578-4688

Massachusetts

Susan Hensley - Director
J.F.K. Building
15 New Sudbury St
Suite 575
Boston, MA 02203 United States
Tel (617) 565-9600; Fax (617) 565-9666

Michigan

211 West Fort St
Suite 1310
Detroit, MI 48226-3211 United States
Tel (313) 226-7450

Missouri

James Purcell - Director
2300 Main St
Suite 1100
Kansas City, MO 64108 United States
Tel (816) 285-1800; Fax (816) 285-1888

Appendix IV: Agency Regional and Local Office Addresses

Frank Wilson - Acting Supervisor
Robert A. Young Federal Bldg.
1222 Spruce St
Suite 6310
St. Louis, MO 63103-2818 United States
Tel (314) 539-2693; Fax (314) 539-2697

New York

Jonathan Kay - Director
33 Whitehall St
Suite 1200
New York, NY 10004 United States
Tel (212) 607-8600; Fax (212) 607-8681

Pennsylvania

Marc Machiz - Director
170 S Independence Mall West
Suite 870 West
Philadelphia, PA 19106-3317 United States
Tel (215) 861-5300; Fax (215) 861-5347

Texas

Deborah Perry - Director
525 South Griffin St
Suite 900
Dallas, TX 75202-5025 United States
Tel (972) 850-4500; Fax (214) 767-1055

Washington

Judy Owen - Supervisor
300 Fifth Avenue
Suite 1110
Seattle, WA 98101-3212 United States
Tel (206) 757-6781; Fax (206) 757-6662

EMPLOYMENT STANDARDS ADMINISTRATION

WAGE AND HOUR DIVISION

Alabama

Katie Turner-Pouchie, Assistant District Director
4001 Carmichael Road, Suite 215
Montgomery, AL 36106-3603 United States
(304) 223-7641

Kenneth Stripling, District Director,
Medical Forum Bldg., Suite 605,
950 North 22nd Street,
Birmingham, AL 35203 United States
(205) 397-7100

Arizona

Eric P. Murray, District Director,
230 N. First Avenue, Suite 402,
Phoenix, AZ 85003-1725 United States
(602) 514-7100

Arkansas

Robert Darling, District Director,
Danville Building #2, Suite 220,
10810 Executive Center Drive,
Little Rock, AR 72211 United States
(501) 223-9114

California

Kenneth Morrison, District Director,

550 Corporate Center,
550 West C Street, Suite 990
San Diego, CA 92101 United States
(619) 557-5110

Susanna Rincon, District Director,
90 7th Street, Suite 18-300,
San Francisco, CA 94103 United States
(415) 625-7720

Maria Jackson, Assistant District Director,
60 South Market St., Suite 420,
San Jose, CA 95113 United States
(408) 291-7730

(Vacant), District Director,
2800 Cottage Way, Room W-1836,
Sacramento, CA 95825 United States
(916) 978-6123

Priscilla Garcia, District Director
100 N. Barranca St., Suite 850,
West Covina, CA 91791 United States
(626) 966-0478

California-Los Angeles

Kim Chi Bui, District Director,
915 Wilshire Blvd., Suite 960,
Los Angeles, CA 90017 United States
(213) 894-6375

Colorado

Charles L. Frasier, District Director,
1999 Broadway, Suite 2445,
P.O. Box 46550,
Denver, CO 80202 United States
(720) 264-3250

Connecticut

Neil Patrick, Acting District Director
135 High Street, Room 210,
Hartford, CT 06103 United States
(860) 240-4160

Florida

James M. Schmidt, District Director,
Austin Laurel Building, Suite 300,
4905 West Laurel Street,
Tampa, FL 33607-3838 United States
(813) 288-1242

Will W. Garnitz, District Director,
10300 SW 72nd St.,
Sunset Center, Room 255,
Miami, FL 33173-3038 United States
(305) 598-6607

Nury Vergara, Assistant District Director
Federal Building, Room 408
299 East Broward Boulevard
Fort Lauderdale, FL 33301-1976 United States
(954) 356-6896

Michael D. Young, District Director,
400 West Bay Street, Room 956,
Jacksonville, FL 32202 United States
(904) 359-9292

Georgia

Larry Benjamin, Assistant District Director
Juliette Gordon Low Federal Building Complex
124 Barnard Street, Suite B-210
Savannah, GA 31401-3648 United States
(912) 652-4221, 4229

Janet Campbell, District Director,
Atlanta Federal Center,
61 Forsyth Street, S.W., Room 7M10,
Atlanta, GA 30303 United States
(404) 893-4600

Illinois

Thomas A. Gauza, District Director,
230 South Dearborn, Room 412,
Chicago, IL 60604 United States
(312) 596-7230

James Yochim, Assistant District Director
3161 W. White Oaks Drive, Suite 203
Springfield, IL 62704 United States
(217) 793-5028

Indiana

Daniell Kline, Assistant District Director
2420 Viridian Drive, Suite E
South Bend, IN 46628 United States
(219) 236-8331, 8332

Patricia A. Lewis, District Director,
U.S. Courthouse, Room 413,
46 East Ohio Street,
Indianapolis, IN 46204 United States
(317) 226-6801

Iowa

Michael Staebell, District Director,
Federal Building, Room 643,
210 Walnut Street,
Des Moines, IA 50309 United States
(515) 284-4625

Kansas

James F. Koren, District Director,
Gateway Tower II,
400 State Avenue, Suite 1010,
Kansas City, KS 66101 United States
(913) 551-5721

Kentucky

James F. Karn, District Director,
332 W. Broadway, Suite 410
Louisville, KY 40202 United States
(502) 582-5226

Louisiana

Frank McGriggs, District Director,
F. Edward Hebert Bldg., Room 615,
600 S. Maestri Place,
New Orleans, LA 70130 United States
(504) 589-6172

Maryland

John Kelly, Acting District Director,
Appraisers Stores Building,
103 South Gay Street, Room 207,
Baltimore, MD 21202 United States

Appendix IV: Agency Regional and Local Office Addresses

(410) 962-6211

Massachusetts

Vacant, Assistant District Director
104 Dean Street, Room 201
Taunton, MA 02780 United States
(508) 821-9106

George A. Rioux, District Director,
John F. Kennedy Federal Building, Room 525,
15 Sudbury St.,
Boston, MA 02203 United States
(617) 624-6700

Michigan

Harry O'Rourke, Assistant District Director
800 Monroe Avenue N.W., Suite 315
Grand Rapids, MI 49503 United States
(616) 456-2004

James R. Smith, District Director,
211 W. Fort Street, Room 517,
Detroit, MI 48226-3237 United States
(313) 226-7447

Midwest Region

Anne Baird-Bridges, Regional Administrator,
230 South Dearborn Street, Room 530,
Chicago, IL 60604 United States
(312) 596-7180

Minnesota

Jose Luis Medina, District Director,
TriTech Office Center, Suite 920,
331 Second Avenue South,
Minneapolis, MN 55401-2233 United States
(612) 370-3341

Mississippi

Eric Nash, Assistant District Director
Dr. A.H. McCoy Federal Building
100 W. Capitol Street, Suite 608
Jackson, MS 39269-1602 United States
(601) 965-4347,4348

Missouri

Norma Cervi, District Director
1222 Spruce Street, Room 9102 B,
St. Louis, MO 63103 United States
(314) 539-2706

Nebraska

Richard Tesarek, Assistant District Director
111 South 18th Plaza, Suite 2238
Omaha, NE 68102-1321 United States
(402) 221-4682

Nevada

Brian Taverner, District Director,
Alan Bible Federal Bldg., Suite 750,
600 Las Vegas Blvd. S.,
Las Vegas, NV 89101 United States
(702) 388-6001

New Hampshire

Daniel Cronin, Assistant District Director
1750 Elm St., Suite 111

Manchester, NH 03104 United States
(603) 666-7716

New Jersey

Patrick E. Reilly, District Director,
3131 Princeton Pike, Building 5, Room 216,
Lawrenceville, NJ 08648 United States
(609) 538-8310

Joseph Petrecca, District Director,
200 Sheffield Street, Suite 102,
Mountainside, NJ 07092 United States
(908) 317-8611

New Mexico

Patricia Davidson, District Director,
500 Gold, SW, Suite 12000
Albuquerque, NM 87102 United States
(505) 248-6108

New York

Christopher Martin, District Director
Leo W. O'Brien Federal Building
Room 822
Albany, NY 12207 United States
(518) 431-4278

Irving Miljoiner, District Director,
1400 Old Country Road, Suite 410,
Westbury, NY 11590-5119 United States
(516) 338-1890

Maria Rosado, District Director,
26 Federal Plaza, Room 3700,
New York, NY 10278 United States
(212) 264-8185

North Carolina

Maxine Mills, Assistant District Director
3800 Arco Corporate Drive, Suite 460
Charlotte, NC 28273-3436 United States
(704) 344-6298

Richard E. Blaylock, District Director,
4407 Bland Road, Suite 260,
Somerset Park Building,
Raleigh, NC 27609-6296 United States
(919) 790-2741, 2742

Northeast Region

George Ference, Regional Administrator,
Northeast Regional Office,
The Curtis Center, Suite 850 West,
170 S. Independence Mall West,
Philadelphia, PA 19106-3317 United States
(215) 861-5800

Ohio

Donald Harrison, Assistant District Director
550 Main Street, Room 10-409
Cincinnati, OH 45202 United States
(513) 684-2908

George L. Victory, District Director,
Federal Office Building, Room 646
200 North High Street,
Columbus, OH 43215-2408 United States
(614) 469-5678

David Rodenhause, Federal Office Building,
Room 817
1240 East Ninth Street
Cleveland, OH 44199-2054 United States
(216) 357-5400

Oregon

Jeffrey J. Genkos, District Director,
620 SW Main Street, Room 423,
Portland, OR 97205 United States
(503) 326-3057

Pennsylvania

Alfonso J. Gristina, District Director,
Stegmaier Building, Suite 373-M,
7 North Wilkes-Barre Boulevard,
Wilkes-Barre, PA 18702-3594 United States
(570) 826-6316

Stewart C. Bostic, District Director,
U.S. Custom House, Room 400,
Second and Chestnut Streets,
Philadelphia, PA 19106 United States
(215) 597-4950

John E. DuMont, District Director,
Federal Building, Suite 1416,
1000 Liberty Avenue,
Pittsburgh, PA 15222 United States
(412) 395-4996

Puerto Rico

Jose R. Vasquez, District Director,
7 Tabonuco Street,
San Patricio Office Center, Suite 402,
Guaynabo, PR 00968 United States
(787) 775-1924

Rhode Island

Daniel Weeks, Assistant District Director
380 Westminster Mall, Room 546
Providence, RI 02903 United States
(401) 528-4431

South Carolina

Scott K. Gear, District Director,
Federal Building, Room 1072,
1835 Assembly Street,
Columbia, SC 29201-9863 United States
(803) 765-5981

Southeast Region

Oliver Peebles, Regional Administrator,
Atlanta Federal Center,
61 Forsyth Street, S.W., Room 7M40,
Atlanta, GA 30303 United States
(404) 893-4531

Southwest Region

Cynthia Watson, Regional Administrator,
Federal Building, Suite 800,
525 South Griffin Street,
Dallas, TX 75202-5007 United States
(972) 850-2600

Tennessee

Marcia Collins, District Director,

Appendix IV: Agency Regional and Local Office Addresses

Executive Plaza,
1321 Murfreesboro Road, Suite 511,
Nashville, TN 37217-2626 United States
(615) 781-5344

Texas

Curtis L. Poer, District Director,
The Offices @ Brookhollow, Suite 270, Box 22,
1701 East Lamar Blvd.,
Arlington, TX 76006-7303 United States
(817) 861-2150

Juan G. Coria, District Director,
Northchase I Office Building, Suite 140,
10127 Morocco Street,
San Antonio, TX 78216 United States
(210) 308-4515

Robin R. Mallett, District Director,
Alliance Tower, Suite 1164,
8701 S. Gessner Drive,
Houston, TX 77074-2944 United States
(713) 339-5500

Utah

Lee Ann Dunbar, District Director,
150 E. Social Hall Avenue, Suite 695
Salt Lake City, UT 84111 United States
(801) 524-5706

Virginia

Bruce Clark, District Director,
Federal Building, Suite 416,
400 N. Eighth Street
Richmond, VA 23219 United States
(804) 771-2995

Washington

Donna M. Hart, District Director,
1111 Third Avenue, Suite 755,
Seattle, WA 98101-3216 United States
(206) 398-8039

West Virginia

Catherine Glencoe, Assistant District Director
500 Quarrier Street, Suite 120
Charleston, WV 25301 United States
(304) 347-5206

Western Region

George Friday, Regional Administrator,
90 7th Street, Suite 13-100,
San Francisco, CA 94103-6714 United States
(415) 625-7700

Wisconsin

740 Regent Street, Suite 102
Madison, WI 53715 United States
(608) 264-5221

Alabama

Charles Baker, Assistant District Director,
1141 Mortimar Drive,
Paramount Center Bldg., Suite 1008,
Mobile, AL 36609 United States
(251) 441-5311

California

Paul Chang, Assistant District Director,
770 The City Drive South, Suite 5710,
Orange, CA 92868 United States
(714) 621-1650

Connecticut

Neil Patrick, Assistant District Director,
150 Court Street, Room 208
New Haven, CT 06510 United States
(203) 773-2249

Florida

Joan Stiles, Assistant District Director,
1001 Executive Center Drive, Suite 103,
Orlando, FL 32803 United States
(407) 648-6471

Viengkeo Vilaylak, Assistant District Director,
227 North Bronough St., Rm. 4120,
Tallahassee, FL 32301 United States
(850) 942-8341

Hawaii

Terry Trotter, District Director,
300 Ala Moana Blvd., Suite 7225,
Honolulu, HI 96850 United States
(808) 541-1361

Kansas

Shannon Rebolledo, Assistant District Director,
U.S. Courthouse B-37,
401 North Market Street,
Wichita, KS 67202 United States
(316) 269-7166

Maryland

Bruce Dory, Assistant District Director,
6525 Belcrest Road, Suite 560,
Hyattsville, MD 20782 United States
(301) 436-6767

New York

Michael Fitzgerald, Assistant District Director,
130 S. Elmwood Ave., Room 534,
Buffalo, NY 14202 United States
(716) 842-2950

Catherine Quinn-Kay, Assistant District Director,
100 South Clinton Street, FOB Room 1373,
PO Box 7245
Syracuse, NY 13261 United States
(315) 448-0630

Dinah Solivan, Assistant District Director,
10 Metrotech Center,
625 Fulton Street, 7th Floor,
Brooklyn, NY 11201 United States
(718) 254-9410

Sonia Rybak, Assistant District Director,
140 Grand Street, Suite 304
White Plains, NY 10601 United States
(914) 682-6348

Oklahoma

Ray Birkhead, Assistant District Director,
1645 South 101 East Avenue, Suite 170,
Tulsa, OK 74128 United States

(918) 581-6303

Glynda Smith, District Director,
Old Post Office Bldg., Room 321,
215 Dean A. McGee Avenue,
Oklahoma City, OK 73102 United States
(405) 231-4158

Pennsylvania

Barbara Adams, Assistant District Director,
Federal Building, Room 357,
228 Walnut Street,
PO Box 1005,
Harrisburg, PA 17108 United States
(717) 221-4539

Tennessee

Nettie Lewis, Assistant District Director,
167 North Main Street, Room 484
Memphis, TN 38103 United States
(901) 544-3418

Sandra Sanders, Assistant District Director,
John Duncan Federal Bldg., Room 101,
710 Locust Street,
Knoxville, TN 37902 United States
(865) 545-4619

Texas

Vacant, Assistant District Director,
Wilson Plaza, 606 N. Carancahua,
Suite 705,
Corpus Christi, TX 78476 United States
(361) 888-3152

Eden Ramirez, District Director,
320 N. Main Street, Room 238,
McAllen, TX 78501 United States
(956) 682-4631

Virginia

Patricia Pickett, Assistant District Director,
Federal Bldg., Room 733,
200 Granby Street, Mail Drawer 491,
Norfolk, VA 23510 United States
(757) 441-3490

Vacant, Assistant District Director,
2300 Clarendon Blvd., Suite 1330,
Arlington, VA 22201 United States
(703) 235-1182

Wisconsin

Hector Lopez, Assistant District Director,
310 W. Wisconsin Avenue, Suite 1170,
Milwaukee, WI 53203 United States
(414) 297-1590

EMPLOYMENT TRAINING ADMINISTRATION

REGIONAL OFFICES

Alabama

Ed Castille, (WIOA)
Deputy Secretary for Workforce Development and
AIDT Director
Alabama Department of Commerce
Workforce Development Division

Appendix IV: Agency Regional and Local Office Addresses

Post Office Box 304106
Montgomery, AL 36130 United States
(334) 328-2523

Georgia

Mr. Ben Hames, Deputy Commissioner,(WIOA)
Georgia Department of Economic Development
Workforce Division
75 Fifth Street, NW
Suite 845
Atlanta, GA 30308 United States
(404) 962-4010 (404) 876-1181 Fax

Illinois

Mr. Sean McCarthy, Acting Director
Illinois Department of Commerce and Economic
Opportunity
500 E. Monroe Street, IL-12
Springfield, IL 62701 United States
(217) 785-6280

North Carolina

Danny Giddens, Acting (WIOA)
Director of Field Operations
North Carolina Department of Commerce
Division of Workforce Solutions
313 Chapanoke Road
Raleigh, NC 27603 United States
(919) 814-0325

Puerto Rico

Mr. Carlos Saavedra-Guitierrez, Esq. Secretary
Puerto Rico Department of Labor and Human
Resources
PO Box 195540
San Juan, PR 00919-5540 United States
(787) 754-2110 (787) 753-9550 FAX
STATE EMPLOYMENT SECURITY AGENCIES

Alabama

Mr. Fitzgerald Washington, Secretary of Labor
Alabama Department of Industrial Relations
Industrial Relations Building
649 Monroe Street
Montgomery, AL 36131 United States
(334) 242-8990 (334) 242-3960 FAX

Alaska

Ms. Heidi Drygas, Commissioner
AK Department of Labor and Workforce
Development
P.O. Box 111149
Juneau, AK 99811-1149 United States
(907) 465-2700 (907) 465-4537 FAX

Arizona

Mr. Michael Traylor, Director
Department of Economic Security
1789 West Jefferson, Mail Drop 1111
Site 010A
Phoenix, AZ 85005 United States
(602) 542-5757 (602) 542-5339 FAX

Arkansas

Mr. Daryl Bassett, Director
Arkansas Department of Workforce Services

P.O. Box 2981, # 2 Capitol Mall
Little Rock, AR 72201 United States
(501) 537-9687 (501) 682-2273 FAX

California

Patrick Henning, Director
Employment Development Department
800 Capitol Mall, P.O. Box 826880, MIC 83
Sacramento, CA 94280-0001 United States
(916) 654-8210 (916) 657-5294 FAX

Colorado

Ms. Ellen Golombek, Executive Director
Colorado Department of Labor and Employment
633 17th St, Suite 1200
Denver, CO 80202-3660 United States
(303) 318-8017 (303) 318-8049 FAX

Connecticut

Mr. Scott D. Jackson, Commissioner
Connecticut Department of Labor
200 Folly Brook Boulevard
Wethersfield, CT 06109-1153 United States
(860) 263-6505 (860) 263-6529 FAX

Delaware

Ms. Patrice Gilliam-Johnson, Secretary
Department of Labor
4425 N. Market Street
Wilmington, DE 19802 United States
(302) 761-8001

District of Columbia

Mr. Odie Donald II, Director
Department of Employment Services
4058 Minnesota Avenue, NE
Washington, DC 20019 United States
(202) 671-2871

Florida

Ms. Cissy Proctor
Executive Director
Florida Department of Economic Opportunity
107 East Madison Street, MSC 100
Tallahassee, FL 32399-4120 United States
(850) 245-7298 (850) 921-3223 FAX

Georgia

Mr. Mark Butler, Commissioner (ES)
Georgia Department of Labor Sussex Place
148 Andrew Young International Boulevard, N.E.,
Suite 600
Atlanta, GA 30303-1751 United States
(404) 232-7300 (404) 656-2683 FAX

Guam

Ms. Shirley Mabini, Director
Guam Department of Labor
710 West Marine Corps Drive, Suite 301, Bell
Tower Plaza
Hagatna, 2 96910 United States
671-475-7043

Hawaii

Linda Chu Takayama, Administrator
Department of Labor and Industrial Relations

830 Punchbowl Street, Room 321
Honolulu, HI 96813 United States
(808) 586-8844 (808) 586-9099 FAX

Idaho

Mr. Paul Spannkebel, Interim Director
Department of Labor
317 W. Main Street
Email: Kenneth.Edmunds@labor.idaho.gov
Boise, ID 83735 United States
(208) 334-6110 (208) 334-6430 FAX

Indiana

Steven J. Braun, Commissioner
Indiana Department of Workforce Development
10 North Senate Avenue, Room SE302
Indianapolis, IN 46204-2277 United States
(317) 232-7676 (317) 233-1670 FAX

Iowa

Ms. Beth Townsend, Director
Iowa Workforce Development
1000 East Grand Avenue
Des Moines, IA 50319-0209 United States
(515) 281-5365 (515) 218-4698 FAX

Kansas

Mr. Antonio Soave, Secretary
Kansas Department of Commerce
1000 SW Jackson, Suite 100
Topeka, KS 66612-1354 United States
(785) 296-2741 (785) 296-5055 FAX

Kentucky

Ms. Beth Kuhn, Commissioner
Department of Workforce Investment
Capital Tower
500 Metro Street, Third Floor
Frankfort, KY 40601 United States
(502) 564-0372 (502) 564-5959 FAX

Louisiana

Ms. Ava Dejoie, Executive Director
Louisiana Workforce Commission
P.O. Box 45249
1001 North 23rd Street
Baton Rouge, LA 70802-9094 United States
(225) 342-5899 (225) 342-3778 FAX

Maine

Mr. John Butera, Commissioner
Maine Department of Labor
45 Commerce Drive (Physical)
54 State House Station(mailing)
Augusta, ME 04333-0054 United States
(207) 621-5099 (207) 287-5292 FAX

Maryland

Ms. Kelly M. Schulz, Secretary
Department of Labor, Licensing and Regulation
500 North Calvert Street, 4th Floor
Baltimore, MD 21202 United States
(410) 230-6020

Massachusetts

Ms. Rosalin Acosta, Secretary

Appendix IV: Agency Regional and Local Office Addresses

Secretary for the Massachusetts Executive Office of Labor and Workforce Development
Charles F. Hurley Building, 3rd Floor
One Ashburton Place, 21st Floor
Boston, MA 02108-1578 United States
(617) 626-7104 (617) 727-1090 FAX

Michigan

Ms. Stephanie Beckhorn
Workforce Development Agency-Michigan
Department of Talent and Economic Development
201 N. Washington Square, 4th Floor
Lansing, MI 48913 United States
(517) 241-4078 (517) 373-2129 FAX

Minnesota

Ms. Shawntera Hardy, Commissioner
Department of Employment and Economic Development
332 Minnesota Street, E200
St. Paul, MN 55101-2146 United States
(651) 259-7112 (651) 296-4772 FAX

Mississippi

Mr. Mark Henry, Executive Director
Mississippi Department of Employment Security
P.O. Box 1699
Jackson, MS 39215 United States
(601) 321-6003 (601) 321-6040 FAX

Missouri

Mike Downing, Director
Department of Economic Development
PO Box 1157
301 W. High Street
Jefferson City, MO 65102-1157 United States
(573) 751-4770 (573) 526-7700 FAX

Montana

Ms. Pam Bucy, Commissioner
Montana Department of Labor and Industry
P.O. Box 1728
1315 E. Lockey Avenue
Helena, MT 59624-1728 United States
(406) 444-9091 (406) 444-1394 FAX

Nebraska

John Albin, Commissioner
Nebraska Department of Labor
P.O. Box 94600
550 South 16th Street
Lincoln, NE 68509-4600 United States
(402) 471-9912 (402) 471-9917 FAX

Nevada

Mr. Don Soderberg, Director
Department of Employment Training and Rehabilitation
500 East Third Street
Carson City, NV 89713 United States
(775) 684-3911 (775) 684-3908 FAX

New Hampshire

Mr. Jeffrey Rose, Commissioner
NH Department of Labor and Workforce Development (DRED)

172 Pembroke Road
P.O. Box 1826 (zip code 03302-1856 for P.O. Box)
Concord, NH 03301 United States
(603) 271-2411 (603) 271-2629 FAX

Ms. Jackie Heuser,
State Workforce Director, Office of Workforce Development
DRED, 172 Pembroke Road, PO Box 1856,
Concord, New Hampshire
03301 (or 03302-1856 for PO Box)
Concord, NH 03302-1856 United States
(603) 271-7275 (603) 271-2629 FAX

Mr. George N. Copadis, Commissioner
New Hampshire Department of Employment Security
32 South Main Street, Room 204
Concord, NH 03301-4817 United States
(603) 228-4000 (603) 228-4010 FAX

New Jersey

Mr. Aaron Fichtner, Commissioner
New Jersey Department of Labor and Workforce Development
John Fitch Plaza, 13th Floor
P.O. Box 110
Trenton, NJ 08625-0110 United States
(609) 292-2323 (609) 633-9271 FAX

New Mexico

Ms. Celina Bussey, Cabinet Secretary
Department of Workforce Solutions
401 Broadway NE
Mailing Address: P.O. Box 1928
Albuquerque, NM 87102 United States
(505) 841-8405 (505) 841-8491 FAX

New York

Ms. Roberta Reardon, Commissioner
New York State Department of Labor
State Campus Office, Building 12, Room 500
Albany, NY 12240 United States
(518) 457-2746 (518) 457-6908 FAX

North Carolina

Lockhart Taylor, Assistant Secretary (UI)
Department of Labor, Employment Training Administration
Division of Workforce Solutions, Department of Commerce
P.O. Box 25903
Raleigh, NC 27611 United States
(919) 706-1600 (919) 733-1129 FAX

North Dakota

Ms. Cheri L. Giesen, Executive Director
Job Service North Dakota
P.O. Box 5507, 1000 East Divide Avenue
Bismarck, ND 58506-5507 United States
(701) 328-2836 (701) 328-1612 FAX

Ohio

Ms. Cynthia C. Dungey, Director
Ohio Department of Job & Family Services
30 East Broad Street, 32nd Floor

Columbus, OH 43215 United States
(614) 466-6283 (614) 466-2815 FAX

Oklahoma

Mr. Richard McPherson, Executive Director
Oklahoma Employment Security Commission
Will Rogers Memorial Office Building
P.O. Box 52003
2401 North Lincoln Blvd.
Oklahoma City, OK 73105-2003 United States
(405) 557-5366 (405) 557-7174 FAX

Oregon

Ms Kay Erickson
Oregon Employment Department
875 Union Street, N.E.
Salem, OR 97311 United States
(503) 947-1477 (503) 947-1472 FAX

Pennsylvania

Mr. Robert V. O'Brien, Acting Secretary
Department of Labor and Industry
1700 Labor and Industry Building
901 North 7th Street, Suite 103
Harrisburg, PA 17120 United States
(717) 705-2630; (717) 787-8826 FAX

Puerto Rico

Mr. Manuel Laboy Rivera, Secretary
Departamento de Desarrollo Economico y Comercio
PO Box 362350
San Juan, PR 00936-2350 United States
(787) 765-2900 x4013

Rhode Island

Mr. Scott Jensen, Director
Rhode Island Department of Labor and Training
Center General Complex
1511 Pontiac Avenue, Building 72-2
Cranston, RI 02920-4407 United States
(401) 462-8870 (401) 462-8872 FAX

South Carolina

Ms. Cheryl Stanton, Agency Administrator
Department of Employment and Workforce
P.O. Box 995
Columbia, SC 29202 United States
(803) 737-2617 (803) 737-2629 FAX

South Dakota

Ms. Marcia Hultman, Secretary of Labor
South Dakota Department of Labor and Regulation

123 W. Missouri Avenue
Pierre, SD 57501-2291 United States
(605) 773-5395 (605) 773-6184 FAX

Tennessee

Mr. Burns Phillips, Commissioner
Tennessee Department of Labor and Workforce Development
220 French Landing Drive, 4th Floor, Building A
Nashville, TN 37243-1002 United States
(615) 741-6642 (615) 741-5078 FAX

Appendix IV: Agency Regional and Local Office Addresses

Texas

Mr. Larry Temple, Executive Director
Texas Workforce Commission
101 East 15th Street, Room 618
Austin, TX 78778-0001 United States
(512) 463-0735 (512) 475-2321 FAX

Utah

Mr. Jon Pierpont, Executive Director
Utah Department of Workforce Services
P.O. Box 45249
140 E. 300 South
Salt Lake City, UT 84145-0249 United States
(801) 526-9685 (801) 526-9211 FAX

Vermont

Ms. Lindsay Kurrle, Commissioner
Vermont Department of Labor
P.O. Box 488, 5 Green Mountain Drive
Montpelier, VT 05601-0488 United States
(802) 828-4301 (802) 828-4181 FAX

Virgin Islands

Ms. Catherine Hendry, Commissioner
Virgin Islands Department of Labor
2203 Church Street-Christiansted
St. Croix, 3 00820-4245 United States
(340) 773-1994 (340) 773-0094 FAX

Virginia

Ms. Ellen Marie Hess, Commissioner
Vice Chancellor for Workforce Development
300 Arboretum Place Suite 200
Richmond, VA 23226 United States
(804) 786-3001

Washington

Mr. Dale Peinecke, Commissioner
WA Employment Security Department
212 Maple Park
P.O. Box 9046
Email: dpeinecke@esd.wa.gov
Olympia, WA 98501 United States
(360) 902-9500

West Virginia

Mr. Russell Fry, Acting Executive Director
WORKFORCE West Virginia
112 California Avenue
Charleston, WV 25305-0112 United States
(304) 558-7024

Wisconsin

Mr. Raymond Allen, Secretary
Department of Workforce Development
201 East Washington Avenue
P.O. Box 7946
Madison, WI 53707 United States
(608)261-6694 (608) 266-1784 FAX

Wyoming

Mr. John Cox, Director
Department of Workforce Services
614 S. Greeley Highway
Cheyenne, WY 82002 United States

(307) 777-8728 (307) 777-5857 FAX

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)

Les Range, Regional Administrator
Sam Nunn Atlanta Federal Center, Room 6M12
61 Forsyth Street, S.W.
Atlanta, GA 30303 United States
(404) 302-5300; (404) 302-5382 FAX

(Alaska, American Samoa, Arizona, California, Guam, Hawaii, Idaho, Nevada, Oregon, Republic of Palau, Federated States of Micronesia, Commonwealth of Northern Mariana Islands, Washington)

Ms. Virginia Hamilton, Regional Administrator
90 7th Street, Suite 17-300
San Francisco, CA 94103-1516 United States
(415) 625-7910; (415) 625-7903 FAX

(Arkansas, Colorado, Louisiana, Montana, New Mexico, North Dakota, Oklahoma, South Dakota, Texas, Utah, Wyoming)

Mr. Nicholas E. Lalpui, Regional Administrator
U.S. Department of Labor
Employment and Training Administration
525 S. Griffin Street, Room 317
Dallas, TX 75202 United States
(972) 850-4603; (972) 850-4605 FAX

(Connecticut, Maine, Massachusetts, New Hampshire, New Jersey, New York, Puerto Rico, Rhode Island, the Virgin Islands, Vermont)

Ms. Holly C. O'Brien, Regional Administrator
JFK Federal Building, Room E-350
Boston, MA 02203 United States
(617) 788-0170; FAX (617) 788-0101

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)

Mr. Leo Miller, Regional Administrator
The Curtis Center
170 S. Independence Mall West, Suite 825 East
Philadelphia, PA 19106-3315 United States
(215) 861-5202

(Illinois, Indiana, Iowa, Kansas, Michigan, Minnesota, Missouri, Nebraska, Ohio, Wisconsin)

Ms. Christine Quinn, Regional Administrator
230 South Dearborn Street, 6th Floor
Chicago, IL 60604 United States
(312) 596-5403; (312) 596-5401 FAX

Oregon

Ms. Karen Humelbaugh
Oregon Department of Community Colleges and Workforce Development
255 Capitol Street, N.E.
Salem, OR 97310-1341 United States
(503) 551-9322 (503)378-8434 Fax

MINE SAFETY AND HEALTH ADMINISTRATION

COAL MINE SAFETY AND HEALTH

Alabama

District 11 (Florida, Mississippi, Puerto Rico, Virgin Islands, Alabama and Georgia).
Region IV
Richard Gates
135 Gemini Circle, Suite 213
Birmingham, AL 35209 United States
(205) 290-7300

Colorado

District No. 9 (All States west of the Mississippi River, except for Minnesota, Iowa and all counties of Missouri south of the Missouri River; including Alaska and Hawaii)
Federal Region VIII
District Manager (Vacant)
P.O. Box 25367, DFC
Denver, CO 80225-0367 United States
(303) 231-5458

Indiana

District No. 8 (Illinois, Indiana, Michigan, Minnesota, Wisconsin, Iowa and all counties of Missouri north of the Missouri River).
Federal Region V
A. Simms
2300 Willow Street, Suite 200
Vincennes, IN 47591 United States
(812) 882-7617

Kentucky

District No. 6 (Counties of Kentucky east of and including Mason, Robertson, Fleming, Knott, Rowan, Menifee, Morgan, Magoffin, Floyd, Pike, Letcher).
Federal Region IV
G. Page
100 Fae Ramsey Lane
Pikeville, KY 41501-3211 United States
(606) 432-0943

District No. 10 (Counties of Kentucky west of and including Gallatin, Owen, Franklin, Anderson, Mercer, Boyle, Casey, Russell And Cumberland).
Federal Region IV
Jim W. Langley
100 YMCA Drive
Madisonville, KY 42431-9019 United States
(270) 821-4180

District No. 7 (North Carolina, South Carolina, Tennessee, counties of Kentucky east of and including Boone, Grant, Scott, Woodford, Jessamine, Garrard, Lincols, Pulaski, and Cinton, up to the District 6 Boundary).
Irvin T. hooker
3837 S. U.S. Highway 25 E.
Barbourville, KY 40906 United States
(606) 546-5123

Pennsylvania

District No. 1 (Maine, New Hampshire, Vermont, Massachusetts, Rhode Island, Connecticut, New York, New Jersey, Delaware, and counties of Pennsylvania east of and including Susquehanna,

Appendix IV: Agency Regional and Local Office Addresses

Sullivan, Columbia, Montour, Northumberland, Dauphin, York).
Federal Region III
District Manager (Vacant)
The Stegmaier Building, Suite 034
7 North Wilkes-Barre Boulevard
Wilkes-Barre, PA 18702 United States
(570) 826-6321

District No. 2 (Counties of Pennsylvania west of and including Bradford, Lycoming, Union, Snyder, Juniata, Perry, Cumberland, Adams).
Federal Region III
Thomas Light
Paladin Professional Center
631 Excel Drive, Suite 100
Mt. Pleasant, PA 15666 United States
(724) 925-5150

Virginia

District No. 5 (Virginia)
Federal Region III
Gregory Meikle
P.O. Box 560
Norton, VA 24273 United States
(540) 679-0230

West Virginia

District No. 12 (Counties of Southern West Virginia including Logan, McDowell, Mercer, Mingo, Wany, Wyoming and Lincoln).
Federal Region III
Timothy Watkins
1301 Airport Road
Beaver, WV 25813 United States
(304) 253-5237

West Virginia

District No. 3 (Ohio, Maryland and counties of West Virginia north of and including Jackson, Mason, Roane, Calhoun, Braxton, Lewis, Upshur, Randolph, Pendleton).
Federal Region III
Bob Cornett
604 Cheat Road
Morgantown, WV 26508 United States
(304) 225-6800

District No. 4 (Counties of Southeastern West Virginia to include Boone, Clay, Fayette, Greenbriar, Kanawah, Monroe, Nicholas, Pocohontas, Putnam, Raleigh, Summers, Webster).
Federal Region III
David S. Mandeville
100 Bluestone Road
Mt. Hope, WV 25880 United States
(304) 877-3900

METAL AND NONMETAL MINE SAFETY AND HEALTH

Alabama

Southeastern (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, Puerto Rico, South Carolina, Staunton VA, Tennessee, Virgin Islands).
Doniece Schlick

135 Gemini Circle, Suite 212
Birmingham, AL 35209 United States
(205) 290-7294

California

Western (Alaska, California, Hawaii, Idaho, Nevada, Oregon, Washington).
Steve Cain
2060 Peabody Rd, Suite 610
Vacaville, CA 95687-6696 United States
(707) 447-8425

Colorado

Rocky Mountain (Arizona, Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming, Kansas, Nebraska).
Richard Laufenberg
P.O. Box 25367
Denver, CO 80225-0367 United States
(303) 231-5511

Minnesota

North Central (Illinois, Indiana, Iowa, Michigan, Minnesota, Ohio, Wisconsin).
Steve Richetta
515 West First Street, #333
Duluth, MN 55802-1302 United States
(218) 720-5448

Pennsylvania

Northeastern (Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, West Virginia)
Donald Foster
230 Executive Drive, Suite 2
Cranberry Township, PA 16066-6415 United States
(724) 772-2333

Texas

South Central (Arkansas, Louisiana, Missouri, New Mexico, Oklahoma, Texas).
Michael Davis
1100 Commerce Street, Room 4C50
Dallas, TX 75242-0499 United States
(214) 767-8401

NATIONAL MINE HEALTH AND SAFETY ACADEMY

West Virginia

National Mine Health and Safety Academy
Janet Bertinuson
1301 Airport Road
Beaver, WV 25813-9426 United States
(304) 256-3200

TECHNICAL SUPPORT

Pennsylvania

Safety and Health Technology Center
William J. Francart
Cochrans Mill Road
P.O. Box 18233
Pittsburgh, PA 15236 United States
(412) 386-6902

West Virginia

Approval and Certification Center
John P. Faini
R.R. 1, Box 251
Industrial Park Road
Triadelphia, WV 26059 United States
(304) 547-2029

OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION

Alabama

District Supervisor
3737 Government Boulevard, Suite 100
Mobile, AL 36693-4309
(251) 441-6131

Area Director
Todd Mall, 2047 Canyon Road
Birmingham, AL 35216-1981
(205) 731-1534, Ext. 133

Alaska

Area Director
301 West Northern Lights Boulevard, Room 407
Anchorage, AK 99503-7571
(907) 271-5152

Arizona

Area Director
3221 North 16th Street, Suite 100
Phoenix, AZ 85016
(602) 640-2007

Arkansas

Area Director
TCBY Building, Suite 450
425 West Capitol Avenue
Little Rock, AR 72201
(501) 324-6291

California

Resource Center
71 Stevenson Street, Suite 420
San Francisco, CA 94105
(415) 975-4316

Resource Center
101 El Camino Boulevard, Suite 105
Sacramento, CA 95815
(916) 566-7470

Resource Center
5675 Ruffin Road, Suite 330
San Diego, CA 92123
(619) 557-5904

Colorado

Area Director
1391 Speer Boulevard, Suite 210
Denver, CO 80204-2552
(303) 844-5285

Area Director
7935 E. Prentice Avenue, Suite 209
Englewood, CO 80111-2714
(303) 843-4500

Appendix IV: Agency Regional and Local Office Addresses

Connecticut

Area Director
Federal Office Building
450 Main Street, Room 613
Hartford, CT 06103
(860) 240-3152

Area Director
Clark Building
1057 Broad Street, 4th Floor
Bridgeport, CT 06604
(203) 579-5581

Delaware

Area Director
Caleb Boggs Federal Building
844 King Street, Room 2209
Wilmington, DE 19801
(302) 573-6518

Florida

Area Director
5807 Breckenridge Parkway, Suite A
Tampa, FL 33610
(813) 626-1177

Area Director
Ribault Building, Suite 227
1851 Executive Center Drive
Jacksonville, FL 32207
(904) 232-2895

Area Director
Building H100
8040 Peters Road
Fort Lauderdale, FL 33324
(954) 424-0242

Georgia

Area Director
450 Mall Boulevard, Suite J.
Savannah, GA 31406-1418
(912) 652-4393

Area Director
Building 7, Suite 110
2183 N. Lake Parkway
La Vista Perimeter Office Park
Tucker, GA 30084-4154
(770) 493-6644

Area Director
2400 Herodian Way, Suite 250
Smyrna, GA 30080-2968
(770) 984-8700

Hawaii

Resource Center
300 Ala Moana Boulevard, Suite 5-146
Honolulu, HI 96850
(808) 541-2685

Idaho

Area Director
1150 North Curtis Road, Suite 201
Boise, ID 83703
(208) 321-2960

Illinois

Area Director
1600 167th Street, Suite 12
Calumet City, IL 60409
(708) 891-3800

District Supervisor
11 Executive Drive, Suite 11
Fairview Heights, IL 62208
(618) 632-8612

Area Director
701 Lee Street
Suite 950
Des Plaines, IL 60016
(847) 803-4800

Area Director
2918 West Willow Knolls Road
Peoria, IL 61614
(309) 671-7033

Area Director
365 Smoke Tree Plaza
North Aurora, IL 60542
(630) 896-8700

Indiana

Area Director
U.S. Post Office and Courthouse
46 East Ohio Street, Room 423
Indianapolis, IN 46204
(317) 226-7290

Iowa

Area Director
210 Walnut Street, Room 815
Des Moines, IA 50309
(515) 284-4794

Kansas

Area Director
8600 Farley, Suite 105
Overland Park, KS 66212-4677
(913) 385-7380

Area Director
271 W. 3rd Street, Room 400
Wichita, KS 67202
(316) 269-6644

Kentucky

Area Director
U.S. Department of Labor-OSHA
John C. Watts Federal Building
330 West Broadway, Room 108
Frankfort, KY 40601-1922
(502) 227-7024

Louisiana

Area Director
202 Harlow Street, Room 211
Bangor, ME 04401-4906
(207) 941-8177

Area Director
9100 Bluebonnet Centre Boulevard, Suite 201
Baton Rouge, LA 70809

(225) 389-0474

Maine

District Supervisor
Edmund S. Muskie Federal Bldg.
40 Western Ave., RM G26
Augusta, ME 04330
(207) 626-9160

Maryland

Area Director
1099 Winterson Road, Suite 140
Linthicum, MD 21090
(410) 865-2055/2056

Massachusetts

Area Director
Valley Office Park
13 Branch Street, First Floor
Methuen, MA 01844
(617) 565-8110

Area Director
639 Granite Street, 4th Floor
Braintree, MA 02184
(617) 565-6924

Area Director
1441 Main Street, Room 550
Springfield, MA 01103-1493
(413) 785-0123

Michigan

Area Director
801 South Waverly Road, Suite 306
Lansing, MI 48917-4200
(517) 327-0904

Minnesota

Area Director
300 S. 4th Street, Suite 1205
Minneapolis, MN 55415
(612) 664-5460

Mississippi

Area Director
3780 I-55 North, Suite 210
Jackson, MS 39211-6323
(601) 965-4606

Missouri

Area Director
U.S. Department of Labor-OSHA
911 Washington Avenue, Room 420
St. Louis, MO 63101
(314) 425-4249

Area Director
6200 Connecticut Avenue, Suite 100
Kansas City, MO 64120
(816) 483-9531

Montana

Area Director
2900 4th Avenue, North, Suite 303
Billings, MT 59101
(406) 247-7494

Appendix IV: Agency Regional and Local Office Addresses

Nebraska

Area Director
Overland-Wolf Building, Room 100
6910 Pacific Street
Omaha, NE 68106
(402) 221-3182

Nevada

Resource Center
Federal Building, Room 204
705 North Plaza
Carson City, NV 89701
(775) 885-6963

New Hampshire

Area Director
55 Pleasant Street, Room 3901
Concord, NH 03301
(603) 225-1629

New Jersey

Area Director
Marlton Executive Park
701 Route 73 South, Building 2, Suite 120
Marlton, NJ 08053
(856) 757-5181

Area Director
500 Route 17 South, 2nd Floor
Hasbrouck Heights, NJ 07604
(201) 288-1700

Area Director
Plaza 35, Suite 205
1030 St. Georges Avenue
Avenel, NJ 07001
(732) 750-3270

Area Director
299 Cherry Hill Road, Suite 304
Parsippany, NJ 07054
(973) 263-1003

New Mexico

Area Director
Western Bank Building
505 Marquette Avenue, N.W., Suite 820
Albuquerque, NM 87102
(505) 248-5302

New York

Area Director
660 White Plains Road, 4th Floor
Tarrytown, NY 10591-5107
(914) 524-7510

Area Director
42-40 Bell Boulevard
Bayside, NY 11361
(718) 279-9060

Area Director
1400 Old Country Road, Suite 208
Westbury, NY 11590
(516) 334-3344

Area Director
3300 Vickery Road

North Syracuse, NY 13212
(315) 451-0808

Area Director
201 Varick Street, Room 905
New York, NY 10014
(212) 620-3200

Area Director
5360 Genesee Street
Bowmansville, NY 14026
(716) 684-3891

Area Director
John Tomich Federal Building
401 New Karner Road, Suite 300
Albany, NY 12205-3809
(518) 464-4338

North Carolina

Area Director
Century Station, Federal Building, Room 438
300 Fayetteville Mall
Raleigh, NC 27601-9998
(919) 856-4770

North Dakota

Area Director
Federal Office Building
1640 East Capitol Avenue
Bismarck, ND 58501
(701) 250-4521

Ohio

Area Director
Federal Office Building, Room 899
1240 East Ninth Street
Cleveland, OH 44199
(216) 522-3818

Area Director
Federal Office Building, Room 4028
36 Triangle Park Drive
Cincinnati, OH 45246
(513) 841-4132

Area Director
Ohio Building
420 Madison Avenue, Suite 600
Toledo, OH 43604
(419) 259-7542

Area Director
Federal Office Building
200 North High Street, Room 620
Columbus, OH 43215
(614) 469-5582

Oklahoma

Area Director
55 N. Robinson, Suite 315
Oklahoma City, OK 73102
(405) 278-9560

Oregon

Area Director
1220 Southwest Third Avenue, Room 640
Portland, OR 97204
(503) 326-2251

Pennsylvania

Area Director
Federal Building, Room 1428
1000 Liberty Avenue
Pittsburgh, PA 15522-4101
(412) 395-4903

Area Director
3939 West Ridge Road, Suite B-12
Erie, PA 16506
(814) 833-5758

Area Director
850 North 5th Street
Allentown, PA 18102
(610) 776-0592

Area Director
U.S. Customs House, Room 242
Second and Chestnut Streets
Philadelphia, PA 19106
(215) 597-4955

Area Director
49 North Progress Avenue
Progress Plaza
Harrisburg, PA 17109
(717) 782-3902

Area Director
7 North Wilkes-Barre Boulevard
Suite 410
Wilkes-Barre, PA 18702
(570) 826-6538

Puerto Rico

Area Director
BBV Plaza Building
1510 F.D. Roosevelt Avenue, Suite 5B
Guaynabo, PR 00968
(787) 277-1560

Region I

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
Marthe Kent, Regional Administrator
Department of Labor, OSHA
JFK Federal Building, Low Rise Building, Room E-340
Boston, MA 02114
(617) 565-9860

Region II

(New Jersey, New York, Puerto Rico, Virgin Islands)
Robert Kulick, Regional Administrator
Department of Labor, OSHA
201 Varick Street, Room 670
New York, NY 10014 United States
(212) 337-2378

Region III

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
John Hermanson, Regional Administrator
The Curtis Center - Suite 740 West
170 Independence Mall West

Appendix IV: Agency Regional and Local Office Addresses

Philadelphia, PA 19106-3309 United States
(215) 861-4900

Region IV

(Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina, South Carolina,
Tennessee)

Cindy Coe, Regional Administrator
61 Forsyth Street S.W., Room 6T50
Atlanta, GA 30303 United States
(404) 562-2300

Region IX

(Arizona, California, Commonwealth of Northern
Mariana Islands, Guam, Hawaii, and Nevada)

Ken Atha, Regional Administrator
Department of Labor, OSHA
71 Steveson Street, Room 420
San Francisco, CA 94105 United States
(415) 975-4310

Region V

(Illinois, Indiana, Minnesota, Michigan, Ohio,
Wisconsin)

Michael Connors, Regional Administrator
Department of Labor, OSHA
230 South Dearborn Street
32nd Floor, Room 3244
Chicago, IL 60604
(312) 353-2220

Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma,
Texas)

Dean McDaniel, Regional Administrator
Department of Labor, OSHA
525 Griffin Street, Room 602
Dallas, TX 75202 United States
(214) 767-4731

Region VII

(Iowa, Kansas, Missouri, Nebraska)

Charles E. Adkins, Regional Administrator
Department of Labor, OSHA
City Center Square
1100 Main Street, Suite 800
Kansas City, MO 64105 United States
(816) 426-5861

Region VIII

(Colorado, Montana, North Dakota, South Dakota,
Utah, Wyoming)

Greg Baxter, Regional Administrator
Department of Labor, OSHA
1999 Broadway Street, Room 1690
Denver, CO 80202-5716 United States
(303) 844-1600

Region X

(Alaska, Idaho, Oregon, Washington)

Richard Terrill, Regional Administrator
Department of Labor, OSHA
1111 Third Avenue, Suite 715
Seattle, WA 98101-3212
(206) 553-5930

Rhode Island

Area Director
380 Westminster Mall, Room 243
Providence, RI 02903
(401) 528-4669

South Carolina

Area Director
1835 Assembly Street, Room 1468
Columbia, SC 29201
(803) 765-5904

Tennessee

Area Director
2002 Richard Jones Road, Suite C-205
Nashville, TN 37215-2809
(615) 781-5423

Texas

Area Director
17625 El Camino Real, Suite 400
Houston, TX 77058
(281) 286-0583

Area Director
Corpus Christi Area Office
U.S. Department of Labor, OSHA
Wilson Plaza West
606 N. Carancahua, Suite 700
Corpus Christi, TX 78476
(361) 888-3420

Area Director
507 North Sam Houston Parkway East, Suite 400
Houston, TX 77060
(281) 591-2438

Area Director
Federal Building, Room 806
1205 Texas Avenue
Lubbock, TX 79401
(806) 472-7681

Area Director
700 E. San Antonio Street, Room C-408
EL Paso, TX 79901
(915) 534-6251

Area Director
903 San Jacinto Boulevard, Suite 319
Austin, TX 78701
(512) 916-5783

Area Director
8344 East R.L. Thornton Freeway, Suite 420
Dallas, TX 75228
(214) 320-2400

Area Director
North Star II, Suite 302
8713 Airport Freeway
Ft. Worth, TX 76180-7610
(817) 428-2470

Utah

Area Director
1781 South 300 West
P.O. Box 65200
Salt Lake City, UT 84165-0200

(801) 487-0521

Virginia

District Supervisor
200 Granby Street, Room 614
Norfolk, VA 23510
(757) 441-3820

Washington

Area Director
505 106th Avenue N.E., Suite 302
Bellevue, WA 98004
(206) 553-7520

West Virginia

Area Director
405 Capitol Street, Suite 407
Charleston, WV 25301
(304) 347-5937

Wisconsin

Area Director
Henry S. Reuss Building
310 Wisconsin Avenue, Suite 1180
Milwaukee, WI 53203
(414) 297-3315

Area Director
1648 Tri Park Way
Appleton, WI 54914
(920) 734-4521

District Supervisor
1310 West Clairmont Ave.
Eau Claire, WI 54701
(715) 832-9019

Area Director
4802 E. Broadway
Madison, WI 53716
(608) 441-5388

OFFICE OF LABOR-MANAGEMENT STANDARDS

REGIONAL OFFICES

California

90 7th Street
Room 2825
San Francisco, CA 94103-6701 United States
(415) 625-2661

Colorado

1244 Speer Boulevard
Room 415
Denver, CO 80204 United States
(720) 264-3232

District of Columbia

375 E Street SW
Washington, DC 20024 United States
2025137300

Ohio

36 East Seventh Street
Room 2550
Cincinnati, OH 45202-3168 United States
(513) 684-6840

Appendix IV: Agency Regional and Local Office Addresses

Washington

300 5th Avenue
Room 1290
Seattle, WA 98104-3308 United States
(206) 398-8099

Wisconsin

310 West Wisconsin Avenue
Room 1160W
Milwaukee, WI 53203-2213 United States
(414) 297-1504

California

915 Wilshire Boulevard
Suite 910
Los Angeles, CA 90017 United States
(213) 534-6405

District of Columbia

375 E Street SW
Washington, DC 20024 United States
(202) 513-7300

Georgia

Atlanta Federal Building
Room 8B85
61 Forsyth Street, S.W.
Atlanta, GA 30303-2219 United States
(404) 562-2083

Illinois

Federal Office Building
Suite 774
230 S. Dearborn Street
Chicago, IL 60604-1505 United States
(312) 596-7160

Louisiana

600 South Maestri Place
Room 604
New Orleans, LA 70130 United States
(504) 589-6174

Massachusetts

JFK Federal Building
Room E-365
Boston, MA 02203-0002 United States
(617) 624-6690

Michigan

211 West Fort Street
Suite 1313
Detroit, MI 48226-3237 United States
(313) 226-6200

Missouri

1222 Spruce Street
Suite 9.109E
St. Louis, MO 63103-2830 United States
(314) 539-2667

New York

201 Varick Street
Suite 878
New York, NY 10014 United States
(646) 264-3190

130 South Elmwood Street
Room 510
Buffalo, NY 14202-2465 United States
(716) 842-2900

Ohio

Federal Office Building
Suite 831
1240 East Ninth Street
Cleveland, OH 44199-2053 United States
(216) 357-5455

Pennsylvania

The Curtis Center
170 S. Independence Mall West
Room 760W
Philadelphia, PA 19106-3310 United States
(215) 861-4818

Federal Office Building

Room 1411
1000 Liberty Avenue
Pittsburgh, PA 15222-4004 United States
(412) 395-6925

Tennessee

233 Cumberland Bend Drive
Suite 110
Nashville, TN 37228-1809 United States
(615) 736-5906

Texas

A. Maceo Smith Federal Building
Suite 300
525 Griffin Street
Dallas, TX 75202-5007 United States
(972) 850-2500

OFFICE OF THE SECRETARY, WOMEN'S BUREAU

Region I

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
Jacqueline Cooke, Regional Administrator
JFK Federal Building, Room E-270
One Congress Street
Boston, MA 02114
(617) 565-1988 or 1-800-518-3585
Use the same number for FTS

Region II

(New Jersey, New York, Puerto Rico, Virgin Islands)
Mary C. Murphree, Regional Administrator
201 Varick Street, Room 601
New York, NY 10014
(212) 337-2389
Use the same number for FTS

Region III

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
Cornelia Moore, Regional Administrator
The Curtis Center, Suite 880 West
170 S. Independence Mall West

Philadelphia, PA 19104
(215) 861-4860 or 1-800-379-9042
Use the same number for FTS

Region IV

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
Delores Crockett, Regional Administrator
61 Forsyth Street, S.W., Suite 7T95
Atlanta, GA 30367
(404) 562-2336 or 1-800-672-8356
Use the same number for FTS

Region IX

(Arizona, California, Hawaii, Nevada)
Jenny Erwin, Regional Administrator
71 Stevenson Street, Room 927
San Francisco, CA 94105
(415) 975-4750
Use the same number for FTS

Region V

(Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
Nancy Chen, Regional Administrator
230 South Dearborn Street, Room 1022
Chicago, IL 60604
(312) 353-6985 or 1-800-648-8183
Use the same number for FTS

Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
Beverly Lyle, Regional Administrator
Suite 735, Federal Building
525 Griffin Street, Suite 735
Dallas, TX 75202
(214) 767-6985 or 1-888-887-6794
Use the same number for FTS

Region VII

(Iowa, Kansas, Missouri, Nebraska)
Rose A. Kemp, Regional Administrator
Center City Square Building
1100 Main Street, Suite 845
Kansas City, MO 64106
(816) 426-6108 or 1-800-252-4706
Use the same number for FTS

Region VIII

(Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)
Frances Jefferson, Regional Administrator
Federal Office Building
1801 California Street, Suite 905
Denver, CO 80202-2614
(303) 844-1286 or 1-800-299-0886
Use the same number for FTS

Region X

(Alaska, Idaho, Oregon, Washington)
Karen Furia, Regional Administrator
1111 Third Avenue, Room 925
Seattle, WA 98101-3211
(206) 553-1534 or 1-888-296-7011

Appendix IV: Agency Regional and Local Office Addresses

Use the same number for FTS

VETERANS' EMPLOYMENT AND TRAINING SERVICE

REGIONAL ADMINISTRATORS AND STATE DIRECTORS FOR VETERANS' EMPLOYMENT AND TRAINING

Region I

DVET Richard Gray
Veterans' Employment and Training Service
Department of Labor
P.O. Box 603
Post Office Building
87 State Street, Room 303
Montpelier, VT 05602
(802) 828-4441

DVET John Dunn
Veterans' Employment and Training Service
Department of Labor
57 Spruce Street
Westerly, RI 02891
(401) 528-5134

DVET Paul L. Desmond
Veterans' Employment and Training Service
Department of Labor
19 Staniford Street
C.F. Hurley Building, 2nd Floor, ES Operations
Section
Boston, MA 02114
(617) 626-6699

DVET William Mason
Veterans' Employment and Training Service
Department of Labor
CT Department of Labor Building
200 Folly Brook Boulevard
Wethersfield, CT 06109
(860) 263-6490

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
RAVET David Houle
Veterans' Employment and Training Service
Department of Labor
JFK Federal Building, Room E-315
Government Center
Boston, MA 02203
(617) 565-2080

DVET Jon Guay
Veterans' Employment and Training Service
Department of Labor
5 Mollison Way
P.O. Box 3106
Lewiston, ME 04243
(207) 753-9090

DVET Richard Ducey
Veterans' Employment and Training Service
Department of Labor
143 North Main Street, Room 208
Concord, NH 03301
(603) 225-1424

Region II

DVET James H. Hartman
Veterans' Employment and Training Service
Department of Labor
Harriman State Campus
Building 12, Room 518
Albany, NY 12240-0099
(518) 457-7465

(New Jersey, New York, Puerto Rico and Virgin Islands)
Veterans' Employment and Training Service
Department of Labor
201 Varick Street, Room 766
New York, NY 10014
(212) 337-2211

DVET Alan E. Grohs
Veterans' Employment and Training Service
Department of Labor
Labor Building, 11th Floor, CN058
Trenton, NJ 08625
(609) 292-2930

DVET Angel Mojica
Veterans' Employment and Training Service
Department of Labor
Puerto Rico Department of Labor and Human Services
198 Calle Guayama, 20th Floor
Hato Rey, PR 00917
(787) 754-5391

Region III

DVET Lawrence Babitts
Veterans' Employment and Training Service
Department of Labor
Labor and Industry Building, Room 1108
Seventh and Forster Streets
Harrisburg, PA 17121
(717) 787-5834

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia and West Virginia)
RAVET Joseph Hortiz, Jr.
Veterans' Employment and Training Service
Department of Labor
The Curtis Center, Suite 770 West
170 South Independence Mall West
Philadelphia, PA 19106
(215) 861-5390

DVET Roberto Pineda
Veterans' Employment and Training Service
Department of Labor
703 E. Main street, Room 118
Richmond, VA 23219
(804) 786-7269

DVET Gary Lobdell
Veterans' Employment and Training Service
Department of Labor
1100 North Eutaw Street, Room 210
Baltimore, MD 21201
(410) 767-2110

DVET Charles Stores, Jr.
Veterans' Employment and Training Service
Department of Labor

112 California Avenue
Capitol Complex - Room 204
Charleston, WV 25305-0112
(304) 558-4001

DVET Stanley Williams
Veterans' Employment and Training Service
Department of Labor
500 C Street, N.W., Room 108
Washington, DC 20001
(202) 724-7005

DVET David White
Veterans' Employment and Training Service
Department of Labor
4425 N. Market Street, Room 420
Wilmington, DE 19809-0828
(302) 761-8138

Region IV

DVET Richard E. Ritchie
Veterans' Employment and Training Service
Department of Labor
915 8th Avenue North
Nashville, TN 37219-3795
(615) 736-7680

DVET Thomas Karrh
Veterans' Employment and Training Service
Department of Labor
649 Monroe Street, Room 543
Montgomery, AL 36131-6300
(334) 223-7677

Derek W. Taylor
Veterans' Employment and Training Service
Department of Labor
P.O. Box 1527
Tallahassee, FL 32302-1527
(850) 942-8800

Ed Gresham
Veterans' Employment and Training Service
Department of Labor
Sussex Place, Suite 504
148 International Boulevard, N.E.
Atlanta, GA 30303-1751
(404) 656-3127

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
RAVET William Bolls, Jr.
Veterans' Employment and Training Service
Department of Labor
Sam Nunn Atlanta Federal Center
61 Forsyth Street, S.W., Room 6-T85
Atlanta, GA 30303
(404) 562-2305

DVET Charles R. Netherton
Veterans' Employment and Training Service
Department of Labor
C/O Department for Employment Services
275 East Main Street
Frankfort, KY 40621-2339
(502) 564-7062

DVET Angelo Terrell

Appendix IV: Agency Regional and Local Office Addresses

Veterans' Employment and Training Service
Department of Labor
1520 West Capitol Street
P.O. Box 1699
Jackson, MS 39215-1699
(601) 965-4204

DVET William C. Plowden, Jr.
Veterans' Employment and Training Service
Department of Labor
P.O. Box 1755
Columbia, SC 29202-1755
(803) 765-5195

DVET Steven W. Guess
Veterans' Employment and Training Service
Department of Labor
P.O. Box 27625
Raleigh, NC 27611-7625
(919) 733-7402, 733-7407

Region IX

DVET Rosendo A. Cuevas
Veterans' Employment and Training Service
Department of Labor
800 Capitol Mall, Room W-1142
P.O. Box 826880
Sacramento, CA 94280-0001
(916) 654-8178

(Arizona, California, Hawaii, and Nevada)
RAVET Rex A. Newell
Veterans' Employment and Training Service
Department of Labor
71 Stevenson Street, Suite 705
San Francisco, CA 94105
(415) 975-4700

DVET Michael Espinosa
Veterans' Employment and Training Service
Department of Labor
P.O. Box 6123-SC760E
1400 West Washington Street
Phoenix, AZ 85005
(602) 379-4961

DVET Judy A. Carlisle
Veterans' Employment and Training Service
Department of Labor
1923 North Carson Street, Room 205
Carson City, NV 89702
(702) 687-4632

DVET Gilbert N. Hough
Veterans' Employment and Training Service
Department of Labor
P.O. Box 3680
Honolulu, HI 96811
(808) 522-8216

Region V

DVET Michael D. Graham
Veterans' Employment and Training Service
Department of Labor
390 Robert Street North, 1st Floor
St. Paul, MN 55101
(651) 296-3665
DVET Kim Fulton

Veterans' Employment and Training Service
Department of Labor
7310 Woodward Avenue, Suite 407
Detroit, MI 48202
(313) 876-5613

DVET D. Bruce Redman
Veterans' Employment and Training Service
Department of Labor Richard A. Mock
10 North Senate Avenue, Room SE103
Indianapolis, IN 46204
(317) 232-6804

DVET Samuel L. Parks
Veterans' Employment and Training Service
Department of Labor
401 South State Street, 744 North
Chicago, IL 60605
(312) 793-3433

DVET Carl Price
Veterans' Employment and Training Service
Department of Labor
P.O. Box 1618
Columbus, OH 43216
(614) 644-3688

(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin)

RAVET Ronald G. Bachman
Veterans' Employment and Training Service
Department of Labor
230 South Dearborn, Room 1064
Chicago, IL 60604
(312) 353-0970

DVET James R. Gutowski
Veterans' Employment and Training Service
Department of Labor
P.O. Box 8310
Madison, WI 53708-8310
(608) 266-3110

Region VI

DVET Billy R. Threlkeld
Veterans' Employment and Training Service
Department of Labor
P.O. Box 128
Little Rock, AK 72203
(501) 682-3786

DVET Lester L. Parmenter
Veterans' Employment and Training Service
Department of Labor
P.O. Box 94094, Room 184
Baton Rouge, LA 70804-9094
(225) 389-0339

DVET John D. McKinny
Veterans' Employment and Training Service
Department of Labor
P.O. Box 1468
Austin, TX 78767
(512) 463-2814

DVET Darrell H. Hill
Veterans' Employment and Training Service
Department of Labor
201 North Lincoln Boulevard

P.O. Box 52003
Oklahoma City, OK 73152-2003
(405) 231-5088

Sharon I. Mitchell
Veterans' Employment and Training Service
Department of Labor
P.O. Box 25085
Albuquerque, NM 87125-5085
(505) 346-7502

(Arkansas, Louisiana, New Mexico, Oklahoma,
Texas)

RAVET Lester L. Williams, Jr.
Veterans' Employment and Training Service
Department of Labor
525 Griffin Street, Room 858
Dallas, TX 75202
(214) 767-4987

Region VII

DVET Mickey J. Jones
Veterans' Employment and Training Service
Department of Labor
P.O. Box 1087
421 East Dunklin Street
Jefferson City, MO 65102-1087
(573) 751-3921

DVET Gayle Gibson
Veterans' Employment and Training Service
Department of Labor
401 Topeka Boulevard
Topeka, KS 66603-3182
(913) 296-5032

DVET Anthony J. Smithhart
Veterans' Employment and Training Service
Department of Labor
150 Des Moines Street
Des Moines, IA 50309-5563
(515) 281-9061

DVET Richard Nelson
Veterans' Employment and Training Service
Department of Labor
P.O. Box 94600
550 South 16th Street
Lincoln, NE 68508
(402) 437-5289

(Iowa, Kansas, Missouri, and Nebraska)
RAVET Lester L. Williams, Jr.
Veterans' Employment and Training Service
Department of Labor
City Center Square Building
1100 Main Street, Suite 850
Kansas City, MO 64105-2112
(816) 426-7151

Region VIII

DVET David McNulty
Veterans' Employment and Training
Department of Labor
P.O. Box 2760
100 West Midwest Avenue
Casper, WY 82602-2760
(307) 261-5454

Appendix IV: Agency Regional and Local Office Addresses

(Colorado, Montana, North Dakota, South Dakota, Utah and Wyoming)
RAVET Ronald G. Bachman
Veterans' Employment and Training Service
Department of Labor
1999 Broadway, Suite 1730
Denver, CO 80202-2614
(303) 844-1175

DVET Dale Brockbank
Veterans' Employment and Training Service
Department of Labor
140 East 300 South
Salt Lake City, UT 84111-2333
(801) 524-5703

DVET Earl R. Schultz
Veterans' Employment and Training Service
Department of Labor
P.O. Box 4730
420 South Roosevelt Street
Aberdeen, SD 57402-4730
(605) 626-2325

DVET Mark McGinty
Veterans' Employment and Training Service
Department of Labor
1515 Arapahoe Street, 2 Park Central, Suite 400
Denver, CO 80202-2117
(303) 844-2151

DVET Jerry Meske
Veterans' Employment and Training Service
Department of Labor
P.O. Box 1632
1000 E. Divide Avenue
Bismarck, ND 58502-1632
(701) 328-2865

DVET Polly Latray-Halmes
Veterans' Employment and Training
Department of Labor
1215 8th Avenue
Helena, MT 59601-4144
(406) 449-5431

Region X

DVET Ron Cannon
Veterans' Employment and Training Service
Department of Labor
312 Employment Division Building, Room 108
875 Union Street, N.E.
Salem, OR 97311-0100
(503) 947-1490

DVET Daniel Travis
Veterans' Employment and Training Service
Department of Labor
P.O. Box 25509
1111 West 8th Street
Juneau, AK 99802-5509
(907) 465-2723

DVET Vacant
Veterans' Employment and Training Service
Department of Labor
P.O. Box 2697
Boise, ID 83701

(208) 334-6163
(Alaska, Idaho, Oregon and Washington)
RAVET Rex A. Newell
Veterans' Employment and Training Services
Department of Labor
1111 Third Avenue, Suite 900
Seattle, WA 98101-3212
(206) 553-4831

Thomas Pearson
Veterans' Employment and Training Service
Department of Labor
P.O. Box 165
Olympia, WA 98507-0165
(360) 438-4600

Contact any Veterans' Employment and Training Service Office concerning veterans' reemployment rights.

WAGE AND HOUR DIVISION

Alabama

Kenneth Stripling, District Director,
Medical Forum Bldg., Suite 605,
950 North 22nd Street,
Birmingham, AL 35203 United States
(205) 397-7100

Katie Turner-Pouchie, Assistant District Director,
4001 Carmichael Road, Suite 215,
Montgomery, AL 36106-3603 United States
(304) 223-7641

Charles Baker, Assistant District Director,
1141 Mortimar Drive,
Paramount Center Bldg., Suite 1008,
Mobile, AL 36609 United States
(251) 441-5311

Arizona

Eric P. Murray, District Director,
230 N. First Avenue, Suite 402,
Phoenix, AZ 85003-1725 United States
(602) 514-7100

Arkansas

Robert Darling, District Director,
Danville Building #2, Suite 220,
10810 Executive Center Drive,
Little Rock, AR 72211 United States
(501) 223-9114

California

Priscilla Garcia, District Director,
100 N. Barranca St., Suite 850,
West Covina, CA 91791 United States
(626) 966-0478

Kenneth Morrison, District Director,
550 Corporate Center,
550 West C Street, Suite 990,
San Diego, CA 92101 United States
(619) 557-5110

Maria Jackson, Assistant District Director,
60 South Market St., Suite 420,
San Jose, CA 95113 United States
(408) 291-7730

Paul Chang, Assistant District Director,
770 The City Drive South, Suite 5710,
Orange, CA 92868 United States
(714) 621-1650

Susanna Rincon, District Director,
90 7th Street, Suite 18-300,
San Francisco, CA 94103 United States
(415) 625-7720

(Vacant), District Director,
2800 Cottage Way, Room W-1836,
Sacramento, CA 95825 United States
(916) 978-6123

California - Los Angeles

Kim Chi Bui, District Director,
915 Wilshire Blvd., Suite 960,
Los Angeles, CA 90017 United States
(213) 894-6375

Colorado

Charles L. Frasier, District Director,
1999 Broadway, Suite 2445,
P.O. Box 46550,
Denver, CO 80202 United States
(720) 264-3250

Connecticut

Neil Patrick, Acting District Director,
135 High Street, Room 210,
Hartford, CT 06103 United States
(860) 240-4160

Neil Patrick, Assistant District Director,
150 Court Street, Room 208,
New Haven, CT 06510 United States
(203) 773-2249

Florida

James M. Schmidt, District Director,
Austin Laurel Building, Suite 300,
4905 West Laurel Street,
Tampa, FL 33607-3838 United States
(813) 288-1242

Michael D. Young, District Director,
400 West Bay Street, Room 956,
Jacksonville, FL 32202 United States
(904) 359-9292

Nury Vergara, Assistant District Director,
Federal Building, Room 408,
299 East Broward Boulevard,
Fort Lauderdale, FL 33301-1976 United States
(954) 356-6896

Viengkeo Vilaylak, Assistant District Director,
227 North Bronough St., Rm. 4120,
Tallahassee, FL 32301 United States
(850) 942-8341

Will W. Garnitz, District Director,
10300 SW 72nd St., Sunset Center, Room 255,
Miami, FL 33173-3038 United States
(305) 598-6607

Joan Stiles, Assistant District Director,
1001 Executive Center Drive, Suite 103,
Orlando, FL 32803 United States

Appendix IV: Agency Regional and Local Office Addresses

(407) 648-6471

Georgia

Larry Benjamin, Assistant District Director ,
Juliette Gordon Low Federal Building Complex,
124 Barnard Street, Suite B-210,
Savannah, GA 31401-3648 United States
(912) 652-4221, -4229

Janet Campbell, District Director,
Atlanta Federal Center,
61 Forsyth Street, S.W., Room 7M10,
Atlanta, GA 30303 United States
(404) 893-4600

Hawaii

Terry Trotter, District Director,
300 Ala Moana Blvd., Suite 7225,
Honolulu, HI 96850 United States
(808) 541-1361

Illinois

James Yochim, Assistant District Director,
3161 W. White Oaks Drive., Suite 203,
Springfield, IL 62704 United States
(217) 793-5028

Thomas A. Gauza, District Director,
230 South Dearborn, Room 412,
Chicago, IL 60604 United States
(312) 596-7230

Indiana

Daniell Kline, Assistant District Director,
2420 Viridian Drive, Suite E,
South Bend, IN 46628 United States
(219) 236-8331, -8332

Patricia A. Lewis, District Director,
U.S. Courthouse, Room 413,
46 East Ohio Street,
Indianapolis, IN 46204 United States
(317) 226-6801

Iowa

Michael Staebell, District Director,
Federal Building, Room 643,
210 Walnut Street,
Des Moines, IA 50309 United States
(515) 284-4625

Kansas

James F. Koren, District Director,
Gateway Tower II, 400 State Avenue, Suite 1010,
Kansas City, KS 66101 United States
(913) 551-5721

Shannon Rebollo, Assistant District Director,
U.S. Courthouse B-37,
401 North Market Street,
Wichita, KS 67202 United States
(316) 269-7166

Kentucky

James F. Karn, District Director,
332 W. Broadway, Suite 410,
Louisville, KY 40202 United States
(502) 582-5226

Louisiana

Frank McGriggs, District Director,
F. Edward Hebert Bldg., Room 615,
600 S. Maestri Place,
New Orleans, LA 70130 United States
(504) 589-6172

Maryland

John Kelly, Acting District Director,
Appraisers Stores Building,
103 South Gay Street, Room 207,
Baltimore, MD 21202 United States
(410) 962-6211

Bruce Dory, Assistant District Director,
6525 Belcrest Road, Suite 560,
Hyattsville, MD 20782 United States
(301) 436-6767

Massachusetts

George A. Rioux, District Director,
John F. Kennedy Federal Building, Room 525,
15 Sudbury St.,
Boston, MA 02203 United States
(617) 624-6700

Vacant, Assistant District Director,
104 Dean Street, Room 201
Taunton, MA 02780 United States
(508) 821-9106

Michigan

Harry O'Rourke, Assistant District Director,
800 Monroe Avenue N.W., Suite 315,
Grand Rapids, MI 49503 United States
(616) 456-2004

James R. Smith, District Director,
211 W. Fort Street, Room 517,
Detroit, MI 48226-3237 United States
(313) 226-7447

Midwest Region

Anne Baird-Bridges, Regional Administrator,
230 South Dearborn Street, Room 530,
Chicago, IL 60604 United States
(312) 596-7180

Minnesota

Jose Luis Medina, District Director,
TriTech Office Center, Suite 920,
331 Second Avenue South,
Minneapolis, MN 55401-2233 United States
(612) 370-3341

Mississippi

Eric Nash, Assistant District Director,
Dr. A.H. McCoy Federal Building,
100 W. Capitol Street, Suite 608,
Jackson, MS 39269-1602 United States
(601) 965-4347,4348

Missouri

Norma Cervi, District Director,
1222 Spruce Street, Room 9102 B,
St. Louis, MO 63103 United States
(314) 539-2706

Nebraska

Richard Tesarek, Assistant District Director,
111 South 18th Plaza, Suite 2238,
Omaha, NE 66102-1321 United States
(402) 221-4682

Nevada

Brian Taverner, District Director,
Alan Bible Federal Bldg., Suite 750,
600 Las Vegas Blvd. S.,
Las Vegas, NV 89101 United States
(702) 388-6001

New Hampshire

Daniel Cronin, Assistant District Director,
1750 Elm St., Suite 111,
Manchester, NH 03104 United States
(603) 666-7716

New Jersey

Joseph Petrecca, District Director,
200 Sheffield Street, Suite 102,
Mountainside, NJ 07092 United States
(908) 317-8611

Patrick E. Reilly, District Director,
3131 Princeton Pike, Building 5, Room 216,
Lawrenceville, NJ 08648 United States
(609) 538-8310

New Mexico

Patricia Davidson, District Director,
500 Gold, SW, Suite 12000,
Albuquerque, NM 87102 United States
(505) 248-6108

New York

Irving Miljoier, District Director,
1400 Old Country Road, Suite 410,
Westbury, NY 11590-5119 United States
(516) 338-1890

Catherine Quinn-Kay, Assistant District Director,
100 South Clinton Street, FOB Room 1373, PO
Box 7245,
Syracuse, NY 13261 United States
(315) 448-0630

Dinah Solivan, Assistant District Director,
10 Metrotech Center,
625 Fulton Street, 7th Floor,
Brooklyn, NY 11201 United States
(718) 254-9410

Maria Rosado, District Director,
26 Federal Plaza, Room 3700,
New York, NY 10278 United States
(212) 264-8185

Sonia Rybak, Assistant District Director, 140
Grand Street, Suite 304,
White Plains, NY 10601 United States
(914) 682-6348

Michael Fitzgerald, Assistant District Director,
130 S. Elmwood Ave., Room 534,
Buffalo, NY 14202 United States
(716) 842-2950

Appendix IV: Agency Regional and Local Office Addresses

Christopher Martin, District Director,
Leo W. O'Brien Federal Building,
Room 822,
Albany, NY 12207 United States
(518) 431-4278

North Carolina

Maxine Mills, Assistant District Director,
3800 Arco Corporate Drive, Suite 460,
Charlotte, NC 28273-3436 United States
(704) 344-6298

Richard E. Blaylock, District Director,
4407 Bland Road, Suite 260,
Somerset Park Building,
Raleigh, NC 27609-6296 United States
(919) 790-2741, 2742

Northeast Region

George Ference, Regional Administrator,
Northeast Regional Office,
The Curtis Center, Suite 850 West,
170 S. Independence Mall West,
Philadelphia, PA 19106-3317 United States
(215) 861-5800

Ohio

Donald Harrison, Assistant District Director,
550 Main Street, Room 10-409,
Cincinnati, OH 45202 United States
(513) 684-2908

David Rodenhansen,
Federal Office Building, Room 817,
1240 East Ninth Street,
Cleveland, OH 44199-2054 United States
(216) 357-5400

George L. Victory, District Director,
Federal Office Building, Room 646,
200 North High Street,
Columbus, OH 43215-2408 United States
(614) 469-5678

Oklahoma

Glynda Smith, District Director,
d Post Office Bldg., Room 321,
215 Dean A. McGee Avenue,
Oklahoma City, OK 73102 United States
(405) 231-4158

Ray Birkhead, Assistant District Director,
1645 South 101 East Avenue, Suite 170,
Tulsa, OK 74128 United States
(918) 581-6303

Oregon

Jeffrey J. Jenkos, District Director,
620 SW Main Street, Room 423,
Portland, OR 97205 United States
(503) 326-3057

Pennsylvania

Barbara Adams, Assistant District Director,
Federal Building, Room 357,
228 Walnut Street, PO Box 1005,
Harrisburg, PA 17108 United States
(717) 221-4539

Alfonso J. Gristina, District Director,
Stegmaier Building, Suite 373-M,
7 North Wilkes-Barre Boulevard,
Wilkes-Barre, PA 18702-3594 United States
(570) 826-6316

John E. DuMont, District Director,
Federal Building, Suite 1416,
1000 Liberty Avenue,
Pittsburgh, PA 15222 United States
(412) 395-4996

Stewart C. Bostic, District Director,
U.S. Custom House, Room 400,
Second and Chestnut Streets,
Philadelphia, PA 19106 United States
(215) 597-4950

Puerto Rico

Jose R. Vasquez, District Director,
7 Tabonuco Street,
San Patricio Office Center, Suite 402,
Guaynabo, PR 00968 United States
(787) 775-1924

Rhode Island

Daniel Weeks, Assistant District Director,
380 Westminster Mall, Room 546,
Providence, RI 02903 United States
(401) 528-4431

South Carolina

Scott K. Gear, District Director,
Federal Building, Room 1072,
1835 Assembly Street,
Columbia, SC 29201-9863 United States
(803) 765-5981

Southeast Region

Oliver Peebles, Regional Administrator, Atlanta
Federal Center,
61 Forsyth Street, S.W., Room 7M40,
Atlanta, GA 30303 United States
(404) 893-4531

Southwest Region

Cynthia Watson, Regional Administrator,
Federal Building, Suite 800,
525 South Griffin Street,
Dallas, TX 75202-5007 United States
(972) 850-2600

Tennessee

Nettie Lewis, Assistant District Director,
167 North Main Street, Room 484,
Memphis, TN 38103 United States
(901) 544-3418

Sandra Sanders, Assistant District Director,
John Duncan Federal Bldg., Room 101,
710 Locust Street,
Knoxville, TN 37902 United States
(865) 545-4619

Marcia Collins, District Director,
Executive Plaza,
1321 Murfreesboro Road, Suite 511,
Nashville, TN 37217-2626 United States

(615) 781-5344

Texas

Eden Ramirez, District Director,
320 N. Main Street, Room 238,
McAllen, TX 78501 United States
(956) 682-4631

Vacant, Assistant District Director,
Wilson Plaza,
606 N. Carancahua, Suite 705,
Corpus Christi, TX 78476 United States
(361) 888-3152

Juan G. Coria, District Director,
Northchase I Office Building, Suite 140,
10127 Morocco Street,
San Antonio, TX 78216 United States
(210) 308-4515

Curtis L. Poer, District Director,
The Offices @ Brookhollow, Suite 270,
Box 22, 1701 East Lamar Blvd.,
Arlington, TX 76006-7303 United States
(817) 861-2150

Robin R. Mallett, District Director,
Alliance Tower, Suite 1164,
8701 S. Gessner Drive,
Houston, TX 77074-2944 United States
(713) 339-5500

Utah

Lee Ann Dunbar, District Director,
150 E. Social Hall Avenue, Suite 695,
Salt Lake City, UT 84111 United States
(801) 524-5706

Virginia

Patricia Pickett, Assistant District Director,
Federal Bldg., Room 733,
200 Granby Street, Mail Drawer 491,
Norfolk, VA 23510 United States
(757) 441-3490

Bruce Clark, District Director,
Federal Building, Suite 416,
400 N. Eighth Street,
Richmond, VA 23219 United States
(804) 771-2995

Vacant, Assistant District Director,
2300 Clarendon Blvd., Suite 1330,
Arlington, VA 22201 United States
(703) 235-1182

Washington

Donna M. Hart, District Director,
1111 Third Avenue, Suite 755,
Seattle, WA 98101-3216 United States
(206) 398-8039

West Virginia

Catherine Glencoe, Assistant District Director,
500 Quarrier Street, Suite 120,
Charleston, WV 25301 United States
(304) 347-5206

Western Region

Appendix IV: Agency Regional and Local Office Addresses

George Friday, Regional Administrator,
90 7th Street, Suite 13-100,
San Francisco, CA 94103-6714 United States
(415) 625-7700

Wisconsin

740 Regent Street, Suite 102,
Madison, WI 53715 United States
(608) 264-5221

Hector Lopez, Assistant District Director,
310 W. Wisconsin Avenue, Suite 1170,
Milwaukee, WI 53203 United States
(414) 297-1590

DEPARTMENT OF TRANSPORTATION

FEDERAL AVIATION ADMINISTRATION (FAA)

NATIONAL MINE HEALTH AND SAFETY
ACADEMY

Alaska

AAL-600
20.106 AIRPORT IMPROVEMENT PROGRAM
Byron K. Huffman, Division Manager
222 W. 8th Ave, Rm A36,
Anchorage, AK 99513 United States
(907) 271-5438

20.100 AVIATION EDUCATION
Tom Vanderwest AHT-200
(202) 267-3436

Alaska Region

AAL-600
Aviation Education
Byron K. Huffman, Division Manager
222 W. 7th Ave., M/S #14
Anchorage, AK 99513 United States
(907) 271-5438

California

20.106 AIRPORT IMPROVEMENT PROGRAM
AWP
Federal Aviation Administration, Western-Pacific
Region Airports Division
15000 Aviation Boulevard, Room 3012
Lawndale, CA 90261 United States
(310) 725-3600

Office of the Division Manager
20.100 AVIATION EDUCATION
Mark McClardy - AWP -600 United States
(310) 725-3600

(Western-Pacific Region)
P.O. Box 92007
Worldway Postal Center
Los Angeles, CA 90009-2007 United States
(310) 725-3608

Georgia

20.106 AIRPORT IMPROVEMENT PROGRAM
ASO-600
Federal Aviation Administration, Southern
Region, Airports Division, Suite 540,

College Park, GA 30337 United States
(404) 305-6700

Atlanta District Office
20.100 AVIATION EDUCATION
Scott Seritt-- ASO
Federal Aviation Administration, Southern
Region, Atlanta ADO,
1701 Columbia Ave., Campus Bldg. 2-260
College Park, GA 30337-2747 United States
(404) 305-7150

Office of the Division Manager
(Southern Region)
1701 Columbia Avenue

For letter mail: P.O. Box 20636
Atlanta, GA 30320-0631 United States
(404) 305-6701

Illinois

(Great Lake Region)
Federal Aviation Administration, Chicago Airports
District Office, CHI-ADO-600,
O'Hare Lake Office Center
2300 East Devon Avenue
Des Plaines, IL 60018 United States
(847) 294-7272

Chicago Airports District Office
20.100 AVIATION EDUCATION
Jim Keefer -- AGL United States
(847) 294-7335

20.106 AIRPORT IMPROVEMENT PROGRAM
AGL-600

Federal Aviation Administration, Airports
Division, O'Hare Lake Office Center,
2300 East Devon Avenue,
Des Plaines, IL 60018 United States
(847) 294-7272

Massachusetts

20.106 AIRPORT IMPROVEMENT PROGRAM
ANE-600
Federal Aviation Administration, New England
Region,
12 New England Executive Park
Burlington, MA 01803 United States
(617) 238-7600

20.100 AVIATION EDUCATION
(New England Region)
12 New England Executive Park
Sheila Bauer, ANE-40
Burlington, MA 01803-5299
(781) 238-7378

Missouri

(Central Region)
601 East 12th Street
Kansas City, MO 64106-2808 United States
(816) 329-2600

20.106 AIRPORT IMPROVEMENT PROGRAM
ACE-600
Federal Aviation Administration, Airports
Division,
Rm 335, 901 Locust

Kansas City, MO 64106-2325 United States
(816) 329-2600

Office of the Division Manager
20.100 AVIATION EDUCATION
Jim A. Johnson - ACE-600 United States
(816) 329-2600

New Jersey

New York Airports District Office
Technical Center
Atlantic City International Airport
Atlantic City, NJ 08405 United States
(516) 227-3800

New York

20.106 AIRPORT IMPROVEMENT PROGRAM
AEA-600
Federal Aviation Administration, Airports
Division
Eastern Region, 159-30 Rockaway Blvd.
Jamaica, NY 11434 United States
(718) 553-3330

Office of the Division Manager
20.100 AVIATION EDUCATION
Debbie Roth -- AEA -600 United States
(718) 553-3330

Federal Aviation Administration, New York ADO,
600 Old Country Road, Suite 446,
Garden City, NY 11530 United States
(516) 227-3800

Oklahoma

Mike Monroney Aeronautical Center
P.O. Box 25082
Oklahoma City, OK 73135 United States
(817) 222-5600

Texas

Office of the Division Manager
20.100 AVIATION EDUCATION
Kelvin Solco -- ASW-600 United States
(817) 222-5600

20.106 AIRPORT IMPROVEMENT PROGRAM
ASW-600
2601 Meacham Boulevard
Fort Worth, TX 76137-4298 United States
(817) 222-5600

Office of the Division Manager
(Southwestern Region)
2601 Meacham Boulevard
Fort Worth, TX 76137-4298 United States
(817) 222-5600

Washington

Office of the Division Manager
20.100 AVIATION EDUCATION
Sarah Dalton -- ANM-600 United States
(425) 227-2600

20.106 AIRPORT IMPROVEMENT PROGRAM
ANM-600
Federal Aviation Administration, Northwest
Mountain Region, Airports Division,
1601 Lind Avenue, S.W., Suite 315

Appendix IV: Agency Regional and Local Office Addresses

Renton, WA 98057-3356 United States
(206) 227-2600

FEDERAL HIGHWAY ADMINISTRATION (FHWA)

Alabama

Joe D. Wilkerson
500 Eastern Boulevard, Suite 200
Montgomery, AL 36117-2018
(334) 223-7370

Alaska

David Miller
709 West 9th Street, Room 851
P.O. Box 21648
Juneau, AK 99802-1648
(907) 586-7180

Arizona

Robert E. Hollis
234 North Central Avenue, Suite 330
Phoenix, AZ 85004-2220
(602) 379-3646

Arkansas

Sandra L. Otto
700 West Capitol Avenue, Room 3130
Little Rock, AR 72201-3298
(501) 324-5625

California

Michael G. Ritchie
980 9th Street, Suite 400
Sacramento, CA 95814-2724
(916) 498-5001

Central

Larry C. Smith
555 Zang Street
Lakewood, CO 80228-1010
(303) 716-2000

Colorado

William C. Jones
555 Zang Street, Room 250
Lakewood, CO 80228-1097
(303) 969-6730, Ext. 3

Connecticut

Donald J. West
628-2 Hebron Avenue, Suite 303
Glastonbury, CT 06033-5007
(860) 659-6703, Ext. 3009

Delaware

Tommy D. Myers
300 South New Street, Room 2101
Dover, DE 19904-0726
(302) 734-5323

District of Columbia

Gary L. Henderson
Union Center Plaza
820 First Street, N.E., Suite 750
Washington, DC 20002-4205

(202) 523-0163

Eastern

Melisa L. Ridenour
21400 Ridgetop Circle
Loudoun Technical Center
Sterling, VA 20166-6511
(703) 404-6201

Florida

James E. St. James
227 North Bronough Street
Room 2015
Tallahassee, FL 32301-1330
(850) 942-9650

Georgia

Larry Dreihaupt
61 Forsyth Street, S.W., Suite 17T100
Atlanta, GA 30303-3104
(404) 562-3630

Hawaii

Abraham Y. Wong
Prince Jonah Kuhio
Kalaniana'ole Federal Building
300 Ala Moana Boulevard
P.O. Box 50206, Room 3-306
Honolulu, HI 96850-5000
(808) 541-2700, Ext. 312

Idaho

Stephen Moreno
3050 Lakeharbor Lane, Suite 126
Boise, ID 83703-6243
(208) 334-9180

Illinois

Norman R. Stoner
3250 Executive Park Drive
Springfield, IL 62703-4514
(217) 492-4640

Indiana

Robert F. Talley, Jr.
575 North Pennsylvania Street, Room 254
Indianapolis, IN 46204-1576
(317) 226-7475

Iowa

Phil Barnes
105 6th Street
Ames, IA 50010-6337
(515) 233-7300

Kansas

J. Michael Bowen
3300 South Topeka Boulevard, Suite 1
Topeka, KS 66611-2237
(785) 267-7281

Kentucky

John C. Watts
Federal Building
330 West Broadway
Frankfort, KY 40601-1922

(502) 223-6720

Louisiana

William A. Sussmann
5304 Flanders Drive, Suite A
Baton Rouge, LA 70808-4348
(225) 757-7600

Maine

Jonathan McDade
Edmund S. Muskie Federal Building
40 Western Avenue, Room 614
Augusta, ME 04330-6394
(207) 622-8487, Ext. 19

Maryland

Nelson Castellanos
711 West 40th Street, Suite 220
Baltimore, MD 21211-2108
(410) 962-4440

Massachusetts

Stanley Gee
55 Broadway, 10th Floor
Cambridge, MA 02142-1093
(617) 494-3657

Michigan

James J. Steele
Federal Building, Room 207
315 West Allegan Street, Room 207
Lansing, MI 48933-1528
(517) 377-1844

Minnesota

Alan R. Steger
Galtier Plaza, Box 75
380 Jackson Street, Suite 500
St. Paul, MN 55101-2904
(651) 291-6100

Mississippi

Andrew H. Hughes
666 North Street, Suite 105
Jackson, MS 39202-3199
(601) 965-4215

Missouri

Allen Masuda
209 Adams Street
Jefferson City, MO 65101-3203
(573) 636-7104

Montana

Janice W. Brown
2880 Skyway Drive
Helena, MT 59602-1230
(406) 449-5303, Ext. 235

Nebraska

William Brownell
Federal Building, Room 220
100 Centennial Mall North
Lincoln, NE 68508-3851
(402) 437-5765

Nevada

Appendix IV: Agency Regional and Local Office Addresses

Susan Kelepar
705 North Plaza Street, Suite 220
Carson City, NV 89701-0602
(775) 687-1204

New Hampshire

Kathleen O. Laffey
279 Pleasant Street, Suite 204
Concord, NH 03301-7502
(603) 228-0417

New Jersey

Dennis L. Merida
840 Bear Tavern Road, Suite 310
West Trenton, NJ 08628-1019
(609) 637-4200

New Mexico

J. Don Martinez
604 West San Mateo Road
Santa Fe, NM 87505-3920
(505) 820-2021

New York

Robert E. Arnold
Leo W. O'Brien Federal Building, Room 719
Clinton Avenue and N. Pearl Street
Albany, NY 12207
(518) 431-4125

North Carolina

John Sullivan
310 New Bern Avenue, Suite 410
Raleigh, NC 27601-1441
(919) 856-4346

North Dakota

Al Radliff
1471 Interstate Loop
Bismarck, ND 58503-0567
(701) 250-4204

Ohio

Dennis Decker
200 North High Street, Room 328
Columbus, OH 43215
(614) 280-6896

Oklahoma

Walter J. Kudzia
300 N. Meridian, Suite 105S
Oklahoma City, OK 73107-6560
(405) 605-6011

Oregon

David O. Cox
The Equitable Center
530 Center Street, N.E., Suite 100
Salem, OR 97301-3740
(503) 399-5749

Pennsylvania

James A. Cheatham
228 Walnut Street, Room 558
Harrisburg, PA 17101-1720
(717) 221-3461

Puerto Rico

Lubin M. Quipones
Frederico Degetau Federal Building
330 Carlos Chardon Ave., Room 210
San Juan, PR 00916
(787) 766-5600, Ext. 223

Rhode Island

Lucy Garliauskos
380 Westminster Mall, 5th Floor
Providence, RI 02903-3246
(401) 528-4560

South Carolina

Robert L. Lee
Strom Thurmond Federal Building
1835 Assembly Street, Suite 1270
Columbia, SC 29201-2483
(803) 765-5411

South Dakota

John Rohlff
116 East Dakota Avenue
Pierre, SD 57501-3110
(605) 224-8033

Tennessee

Bobby Blackmon
640 Grassmere Park Road, Suite 112
Nashville, TN 37211-3658
(615) 781-5770

Texas

Curtis Dan Reagan
Federal Office Building
300 East 8th Street, Room 826
Austin, TX 78701-3233
(512) 536-5900

Utah

David Gibbs
2520 West 4700 South, Suite 9A
Salt Lake City, UT 84118-1847
(801) 963-0182

Vermont

Charles E. Basner
Federal Building
87 State Street
PO Box 568
Montpelier, VT 05601-0568
(802) 828-4423

Virginia

Roberto Fonseca Martinez
400 North 8th Street, Room 750
PO Box 10249
Richmond, VA 23240-0249
(804) 775-3320

Washington

Daniel M. Mathis
Evergreen Plaza
711 South Capitol Way, Suite 501
Olympia, WA 98501-1284

(360) 753-9480

West Virginia

Thomas J. Smith
Geary Plaza
700 Washington Street East, Suite 200
Charleston, WV 25301-1604
(304) 347-5928

Western

Ronald W. Carmichael
610 East Fifth Street
Vancouver, WA 98661-3801
(360) 619-7700

Wisconsin

Bruce E. Matzke
Highpoint Office Park
567 D'Onofrio Drive
Madison, WI 53719-2814
(608) 829-7500

Wyoming

Philip E. Miller
2617 E. Lincolnway, Suite D
Cheyenne, WY 82001-5662
(307) 772-2101, Ext.40

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION (FMCSA)

Alabama

Judy VanLuchene
500 Eastern Boulevard, Suite 200
Montgomery, AL 36117-2018
(334) 223-7244

Alaska

John Quartuccio
605 W. 4th Avenue, Room 249
Historic Federal Building
Anchorage, AK 99501
(907) 271-4068

Arizona

Eric Ice
234 North Central Avenue, Suite 305
Phoenix, AZ 85004-2002
(602) 379-6851

Arkansas

J. Mark Westmoreland
700 West Capitol Avenue, Room 3130
Little Rock, AR 72201-3298
(501) 324-5050

California

Richard Brennan
980 9th Street
Sacramento, CA 95814-2724
(916) 498-5050

Colorado

William Copley
555 Zang Street, Room 264
Lakewood, CO 80228-1097

Appendix IV: Agency Regional and Local Office Addresses

(303) 969-6748

Connecticut

Jeffrey Cimachosky
628-2 Hebron Avenue, Suite 303
Glastonbury, CT 06033-5007
(860) 659-6700

Delaware

Veron Kirkendoll
300 South New Street, Room 2101
Dover, DE 19904-0726
(302) 734-8173

District of Columbia

Taft Kelly
Union Center Plaza
820 First Street, N.E., Suite 750
Washington, DC 20002-4205
(202) 523-0178

Florida

James Gregg
227 North Bronough Street
Room 2060
Tallahassee, FL 32301-1330
(850) 942-9338

Georgia

Tom Marlow
61 Forsyth Street, S.W., Suite 17T85
Atlanta, GA 30303-3104
(404) 562-3620

Hawaii

Wendy Burke
Prince Jonah Kuhio
Kalaniaanaole Federal Building
300 Ala Moana Boulevard, Room 3-243
P.O. Box 50206
Honolulu, HI 96850-5000
(808) 541-2700

Idaho

John Francis
3050 Lakeharbor Lane, Suite 126
Boise, ID 83703-6243
(208) 334-1842

Illinois

John Mulcare
3250 Executive Park Drive
Springfield, IL 62703-4514
(217) 492-4608

Indiana

Kenneth Stickland
575 North Pennsylvania Street, Room 261
Indianapolis, IN 46204-1570
(317) 226-7474

Iowa

Kent Fleming
105 6th Street
Ames, IA 50010-6337
(515) 233-7400

Kansas

Teri Graham
3300 South Topeka Boulevard, Suite 1
Topeka, KS 66611-2237
(785) 267-7288

Kentucky

Buddy Yount
330 West Broadway
Frankfort, KY 40601-1922
(502) 223-6779

Louisiana

Sterlin Williams
5304 Flanders Drive, Suite A
Baton Rouge, LA 70808-4348
(225) 757-7640

Maine

Gerald Amato, Acting
Edmund S. Muskie Federal Building
40 Western Avenue, Room 601
Augusta, ME 04330-6394
(207) 622-8358

Maryland

Terry Runge-Erle
711 West 40th Street, Suite 220
Baltimore, MD 21211-2100
(410) 962-2889

Massachusetts

Richard Bates
55 Broadway, Room I-35
Cambridge, MA 02142-1093
(617) 494-2770

Michigan

Patrick Muinich
315 West Allegan Street, Room 205
Lansing, MI 48933-1528
(517) 377-1866

Minnesota

Daniel Drexler
Galtier Plaza, Box 75
175 E. 5th Street, Suite 500
St. Paul, MN 55101-2904
(651) 291-6150

Mississippi

Benny Wood
666 North Street, Suite 103
Jackson, MS 39202-3199
(601) 965-4219

Missouri

Joseph Boyd
209 Adams Street
Jefferson City, MO 65101-3203
(573) 636-3246

Montana

Kristin Phillipps
2880 Skyway Drive
Helena, MT 59602-1230

(406) 449-5304

Nebraska

Elyse Mueller
100 Centennial Mall North, Room 220
Lincoln, NE 68508-3851
(402) 437-5986

Nevada

Bill Bensmiller
705 North Plaza Street, Suite 220
Carson City, NV 89701-0602
(775) 687-5335

New Hampshire

Timothy Cotter
279 Pleasant Street, Room 202
Federal Building
Concord, NH 03301-7502
(603) 228-3112

New Jersey

Christopher Rotondo
840 Bear Tavern Road, Suite 310
West Trenton, NJ 08628-1019
(609) 637-4222

New Mexico

Martha Brooks
2400 Louisiana Boulevard N.E.
AFC-5, Suite 520
Albuquerque, NM 87110
(505) 346-7858

New York

Brian Temperine
Leo W. O'Brien Federal Building, Room 719
Clinton Avenue and N. Pearl Street
Albany, NY 12207
(518) 431-4145

North Carolina

Christopher Harley
310 New Bern Avenue, Suite 468
Raleigh, NC 27601-1441
(919) 856-4378

North Dakota

Jeffrey Jensen
1471 Interstate Loop
Bismarck, ND 58503-0567
(701) 250-4346

Ohio

Steven Mattioli
200 North High Street, Room 328
Columbus, OH 43215
(614) 280-5657

Oklahoma

Mac Kirk
300 N. Meridan, Suite 106-S
Oklahoma City, OK 73107-6560
(405) 605-6047

Oregon

Appendix IV: Agency Regional and Local Office Addresses

Andrew Eno
530 Center Street, N.E., Suite 100
Salem, OR 97301-3740
(503) 399-5775

Pennsylvania

Patrick Quigley
228 Walnut Street, Room 536
Harrisburg, PA 17101-1720
(717) 221-4443

Puerto Rico

Enid Martinez
US Courthouse & Federal Building
Carlos Chardon Street, Room 329
Hato Rey, PR 00918
(787) 766-5985

Rhode Island

Robert Molla
380 Westminster Mall, Room 547
Providence, RI 02903-3246
(401) 528-4578

South Carolina

Curtis Thomas
1835 Assembly Street, Suite 1253
Columbia, SC 29201-2430
(803) 765-5414

South Dakota

Mark Gilmore
116 East Dakota Street
Pierre, SD 57501-3110
(605) 224-8202

Tennessee

Richard Gobbell
640 Grassmere Park Road, Suite 111
Nashville, TN 37211
(615) 781-5781

Texas

David Martin
Federal Office Building
300 East 8th Street, Room 826
Austin, TX 78701-3233
(512) 536-5980

Utah

Robert Kelleher
2520 West 4700 South, Suite 9B
Salt Lake City, UT 84118-1847
(801) 963-0096

Vermont

Gerard Amato
87 State Street, Room 216
Montpelier, VT 05602-2954
(802) 828-4480

Virginia

Craig Feister
400 North 8th Street, Room 750
Richmond, VA 23240
(804) 775-3322

Washington

Roger Kraft
Evergreen Plaza
711 South Capitol Way, Suite 501
Olympia, WA 98501-1284
(360) 753-9875

West Virginia

Michael Myers
700 Washington Street East, Suite 205
Charleston, WV 25301-1604
(304) 347-5935

Wisconsin

William Vickery
567 D'Onofrio Drive, Suite 101
Madison, WI 53719-2814
(608) 829-7534

Wyoming

Gary Lowe
1916 Evans Avenue
Cheyenne, WY 82001-3764
(307) 772-2305

FEDERAL RAILROAD ADMINISTRATION (FRA)

Region I (Northeastern)

(Connecticut, Maine, Massachusetts, New
Hampshire, New Jersey, New York, Rhode Island,
Vermont)
Mark H. McKeon, Regional Administrator
55 Broadway, Room 1077
Cambridge, MA 02142
(617) 494-2302
Use the same number for FTS

Region II (Eastern)

(Delaware, District of Columbia, Pennsylvania,
Maryland, Virginia, West Virginia, Ohio)
David R. Myers, Regional Administrator
2 International Plaza, Suite 550
Philadelphia, PA 19113
(610) 521-8200
Use the same number for FTS

Region III (Southern)

(Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina, South Carolina,
Tennessee)
L.F. Dennin, II, Regional Administrator
Atlanta Federal Center, Suite 16T20
61 Forsyth Street, S.W.
Atlanta, GA 30303-3104
(404) 562-3800
Use the same number for FTS

Region IV (Central)

(Illinois, Indiana, Michigan, Minnesota,
Wisconsin)
Hiram J. Walker, Regional Administrator
111 North Canal Street, Suite 655
Chicago, IL 60606
(312) 353-6203 Use the same number for FTS

Region V (Southwestern)

(Arkansas, Louisiana, New Mexico, Oklahoma,
Texas)
John F. Megary, Regional Administrator
8701 Bedford Eules Road, Suite 425
Hurst, TX 76053
(817) 284-8142
Use the same number for FTS

Region VI (Midwestern)

(Iowa, Kansas, Missouri, Nebraska, Colorado)
Darrell J. Tisor, Regional Administrator
901 Locust Street, Suite 464
Kansas City, MO 64106-2095
(816) 329-3840
Use the same number for FTS

Region VII (Western)

(Arizona, California, Nevada, Utah)
Alvin Settje, Regional Administrator
801 I Street, Suite 466
Sacramento, CA 95814-2559
(916) 498-6540

Region VIII (Northwestern)

(Alaska, Idaho, Montana, North Dakota, Oregon,
South Dakota, Washington, Wyoming)
Dick Clairmont, Regional Administrator
Murdock Executive Plaza, Suite 650
703 Broadway
Vancouver, WA 98660
(360) 696-7536
Use the same number for FTS

FEDERAL TRANSIT ADMINISTRATION (FTA)

Region I

(Connecticut, Maine, Massachusetts, New
Hampshire, Rhode Island, Vermont), Mary Beth
Mello - Regional Administrator Region I
Transportation Systems Center, Kendall Square
55 Broadway, Suite 921
Cambridge, MA 02142-1093 United States
(617) 494-2055

Region II

(New Jersey, New York, Virgin Islands)
Marilyn Shazor, Region II - New York, NY One
Bowling Green, Suite 429
New York, NY 10004-1415 United States
(212) 668-2170 Use the same number for FTS

Region III

(Delaware, District of Columbia, Maryland,
Pennsylvania, Virginia, West Virginia)
Brigid Hynes-Cherin: Regional Administrator for
Region III, 1760 Market Street, Suite 500
Philadelphia, PA 19103-4124 United States
(215) 656-7100 Use the same number for FTS

Region IV

(Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina, Puerto Rico, South
Carolina, Tennessee)

Appendix IV: Agency Regional and Local Office Addresses

Yvette G. Taylor, Regional Administrator for Region IV
230 Peachtree St., N.W., Suite 800
Atlanta, GA 30303-8917 United States
(404) 865-5600

Region IX

(Arizona, California, Hawaii, Nevada, Guam, American Samoa)
Leslie Rogers, Regional Administrator
201 Mission Street, Suite 2210
San Francisco, CA 94105-1926
(415) 744-3133
Use the same number for FTS

Region V

(Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
Marisol Simon, Regional Administrator Region V
200 West Adams Street
Suite 320
Chicago, IL 60606-5232 United States
(312) 353-2789 Use the same number for FTS

Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
Robert C. Patrick, Regional Administrator
Fritz Lanham Federal Building
819 Taylor Street, Suite 8A36
Fort Worth, TX 76102
(817) 978-0550
Use the same number for FTS

Region VII

(Iowa, Kansas, Missouri, Nebraska)
Mokhtee Ahmad, Regional Administrator
901 Locust Street, Room 404
Kansas City, MO 64106
(816) 329-3920
Use the same number for FTS

Region VIII

Note grant making activity for Arizona and Nevada falls under Region VIII
(Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)
Linda Gehrke: Regional Administrator for Region 8
12300 West Dakota Avenue
Suite 310
Lakewood, CO 80228-2583 United States
(720) 963-3300 Use the same number for FTS

Region X

(Alaska, Idaho, Oregon, Washington)
Rick Krochalis, Regional Administrator for Region X
Jackson Federal Building,
915 Second Avenue, Suite 3142
Seattle, WA 98174-1002 United States
(206) 220-7954 Use the same number for FTS

MARITIME ADMINISTRATION (MARAD)

California

NORTHERN CALIFORNIA GATEWAY (San Francisco/Oakland): (Hawaii; Guam; Nevada; Northern California, North of Point Conception; Utah)
JOHN HUMMER
US Department of Transportation
Maritime Administration
Northern California Gateway Office
201 Mission Street, Suite 1800
San Francisco, CA 94105 United States
(415) 744-2924 Use the same number for FTS

Florida

SOUTH ATLANTIC GATEWAY (Miami): (South Carolina, Georgia, Florida (except the Panhandle), Puerto Rico and the U.S. Virgin Islands)
LAUREN BRAND, DIRECTOR
US Department of Transportation
Maritime Administration
51 SW 1st Avenue, Suite 1305
Miami, FL 33130 United States
(305) 530-6420

Illinois

GREAT LAKES GATEWAY (Chicago): (Indiana; Michigan; Northern Illinois; Northern Minnesota north of the North and South Dakota Borders; Northern Iowa/Missouri Border; Ohio; areas surrounding the St Lawrence Seaway; Wisconsin)
Floyd Miras
US Department of Transportation
Maritime Administration
Great Lakes Gateway Office
1701 E. Woodfield Rd. Suite 203
Schaumburg, IL 60173 United States
(847) 995-0122 Use the same number for FTS

Louisiana

EASTERN GULF/LOWER MISSISSIPPI GATEWAY (New Orleans): (Alabama; Arkansas; Louisiana; Mississippi; Tennessee; Western Panhandle of Florida) WESTERN GULF GATEWAY (Houston): (Colorado; New Mexico; Oklahoma; Texas)
James Murphy
US Department of Transportation
Maritime Administration
Eastern Gulf/Lower Mississippi Gateway Office
500 Poydras Street, Room 1223
New Orleans, LA 70130-3394 United States
(504) 589-2000 ext. 229 Use the same number for FTS

Missouri

INLAND WATERWAYS GATEWAY (St Louis): (Iowa; Kansas; Kentucky; Missouri; Montana; Nebraska; North Dakota; South Dakota; Pennsylvania all areas west of Pittsburgh; Southern Illinois; Southern Minnesota; Wyoming)
ROBERT GOODWIN
US Department of Transportation

Maritime Administration
Upper Mississippi Office
1222 Spruce St. Suite 2.202F
St Louis, MO 63103-2818 United States
314-539-6783

New York

Shashi N. Kumar
United States Merchant Marine Academy
Kings Point, NY 11024-1699 United States
(516) 773-5000 Use the same number for FTS
(NORTH ATLANTIC GATEWAY (New York): Maine, New Hampshire, Vermont, Massachusetts, Rhode Island, and Connecticut, New York, New Jersey, and Pennsylvania)
Maritime Administration
One Bowling Green, Room 418
New York, NY 10004-1415 United States
(212) 668-3330 Use the same number for FTS

Southern California

(SOUTHERN CALIFORNIA GATEWAY (Long Beach): (Arizona, Southern California (south of Point Conception), and the west coast of Mexico)
ALAN HICKS
US Department of Transportation
Maritime Administration
Southern California Gateway Office
Glenn M. Anderson Federal Bldg
501 West Ocean Blvd, Room 5190
Long Beach, CA 90802 United States
562-628-0246

Virginia

MID ATLANTIC GATEWAY (Norfolk): (Maryland; North Carolina; Virginia; West Virginia)
FRANK MACH
US Department of Transportation
Maritime Administration
Mid Atlantic Gateway Office
7737 Hampton Blvd Bldg 19 Suite 300
Norfolk, VA 23505-1204 United States
(757) 322-5800 Use the same number for FTS

Washington

PACIFIC NORTHWEST/ALASKA GATEWAY (Seattle): (Alaska; Oregon; Idaho; Washington)
RANDY ROGERS
US Department of Transportation
Maritime Administration
Pacific Northwest Gateway Office
Henry M. Jackson Federal Building,
915 2nd Avenue
31st Floor, Room 3196
Seattle, WA 98174 United States
206-220-7717

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION (NHTSA)

Region I

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
George A. Luciano, Regional Administrator

Appendix IV: Agency Regional and Local Office Addresses

Transportation System Center
Kendall Square-Code 903
Cambridge, MA 02142
(617) 494-3427

Region II

(New Jersey, New York, Puerto Rico, Virgin Islands)
Thomas M. Louizou, Regional Administrator
222 Mamaroneck Avenue, Suite 204
White Plains, NY 10605
(914) 682-6162

Region III

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
Elizabeth A. Baker, Regional Administrator
The Crescent Building
10 South Howard Street, Suite 4000
Baltimore, MD 21201
(410) 962-0077

Region IV

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
Troy Ayers, Regional Administrator
61 Forsyth Street, S.W., Suite 17T30
Atlanta, GA 30303-3104
(404) 562-3739

Region IX

(Arizona, California, Hawaii, Nevada, Mariana Islands, Guam, American Samoa)
David Manning, Acting Regional Administrator
201 Mission Street, Suite 2230
San Francisco, CA 94105
(415) 744-3089

Region V

(Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
Donald J. McNamara, Regional Administrator
19900 Governors Drive, Suite 201
Olympia Fields, IL 60461
(708) 503-8822

Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas, Indian Nations)
Georgia S. Chakiris, Regional Administrator
819 Taylor Street, Room 8A38
Fort Worth, TX 76102-6177
(817) 978-3653

Region VII

(Iowa, Kansas, Missouri, Nebraska)
Romell W. Cook, Regional Administrator
P.O. Box 412515
Kansas City, MO 64141
(816) 822-7233
Use the same number for FTS

Region VIII

(Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)

Louis R. DeCarolis, Ph.D., Regional Administrator
555 Zang Street, Room 430
Lakewood, CO 80228
(303) 969-6917

Region X

(Alaska, Idaho, Oregon, Washington)
Curtis A. Winston, Regional Administrator
3140 Jackson Federal Building
915 Second Avenue
Seattle, WA 98174
(206) 220-7640

RESEARCH AND SPECIAL PROGRAMS ADMINISTRATION (RSPA)

Central Region

(Illinois, Indiana, Iowa, Kansas, Michigan, Minnesota, Missouri, Nebraska, North Dakota, South Dakota, Ohio, Wisconsin)
Ivan A. Huntoon
1900 Locust Street, Room 462
Kansas City, MO 64106
(816) 329-3800
(816) 329-3831 FAX
Use same 10-digit number for F

Eastern Region

(Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia)
William H. Gute
400 7th Street, S.W., Room 7130
Washington, DC 20590
(202) 366-4580
(202) 366-3274 FAX
Use same 10-digit number for F

Southern Region

(Alabama, Arkansas, Florida, Georgia, Kentucky, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee)
Frederick A. Joyner
61 Forsyth Street, Suite 16T15
Atlanta, GA 30303
(404) 562-3530
(404) 562-3569 FAX
Use same 10-digit number for F

Southwest Region

(Arizona, Louisiana, New Mexico, Oklahoma, Texas)
Rodrick M. Seeley
2320 LaBranch, Room 2100
Houston, TX 77004
(713) 718-3746
(713) 718-3724 FAX
Use same 10-digit number for F

Western Region

(California, Colorado, Idaho, Montana, Nevada, Oregon, Utah, Washington, Wyoming, Alaska, Hawaii)
Chris Hojidal
Golden Hills Centre, Suite A-250

12600 W. Colfax Avenue
Lakewood, CO 80215
(303) 231-5701
(303) 231-5711 FAX

APPALACHIAN REGIONAL COMMISSION

Alabama

Director
Department of Economic and Community Affairs
Box 5690, 401 Adams Avenue
Montgomery, AL 36103-5690
(334) 242-8672

Georgia

Director, Intergovernmental Relations
Office of the Governor
State Capital
Room 131
Atlanta, GA 30334
(404) 463-7775

Kentucky

Community Holding Company
220 Main Street
PO Box 331
Inez, KY 41224
(606) 298-3510

Maryland

Director, Maryland Office of Planning
301 West Preston Street, Room 1101
Baltimore, MD 21201
(410) 767-4510

Mississippi

Community Assistance
Mississippi Development Authority
PO Box 849
Jackson, MS 39205-0849
(601) 359-6622

New York

Secretary of State
Department of State
41 State Street
Albany, NY 12231
(518) 486-9844

North Carolina

Director
North Carolina Washington Office
444 North Capitol Street, Suite 332
Washington, DC 20001-1512
(202) 624-5833

Ohio

Director
Governor's Office of Appalachia
77 South High Street, 28th Floor, Box 1001
Columbus, OH 43266-1001
(614) 644-9228

Pennsylvania

Deputy Secretary, Community Affairs and

Appendix IV: Agency Regional and Local Office Addresses

Development
Department of Community and Economic
Development
400 North Street
4th Floor
Commonwealth Keystone Bldg.
Harrisburg, PA 17120
(717) 787-3003

South Carolina

Policy Advisor to the Governor
Office of the Governor
PO Box 12267
Columbia, SC 29211 United States
(803) 734-5166

Tennessee

Commissioner
Department of Economic & Community
Development
William R. Snodgrass Tennessee
312 Eighth Avenue, North
11th Floor
Nashville, TN 37243-0405
(615) 741-1888

Virginia

Virginia Department of Housing and Community
Development
Jackson Center
501 North 2nd Street
Richmond, VA 23219
(804) 371-7002

West Virginia

Director, Community Development Division
West Virginia Development Office
Building 6, Room 553
State Capitol Complex
Charleston, WV 25305
(304) 558-4010

OFFICE OF PERSONNEL MANAGEMENT

Puerto Rico

San Juan
Plaza Laz Americans Tower
525 Roosevelt Avenue, Room 1100
Hato Rey, PR 00918
(809) 766-5620

Region II

Alabama/Tennessee/Mississippi/Arkansas
Huntsville
520 Wynn Drive, N.W., 35816-3426
(205) 837-1271

Washington, Oregon, Idaho
Seattle
700 5th Avenue, Suite 5950
Seattle, WA 98104-5012
(206) 553-0870

Colorado, Montana, Utah, Wyoming, New
Mexico, Arizona
Denver
12345 West Alameda Parkway, Room 316
P.O. Box 25167
Denver, CO 80225-0001
(303) 969-6931

Kansas, Missouri, Nebraska, Iowa, Illinois
Kansas City
Federal Building, Room 131
601 East 12th Street
Kansas City, MO 64106-2826
(816) 426-5706

California, Nevada
San Francisco
20 Howard Street, Room 735
San Francisco, CA 94105-0001
(415) 281-7074

Minnesota, North Dakota, South Dakota,
Wisconsin
Twin Cities
Bishop Henry Whipple Federal Building, Room
503
One Federal Drive
Ft. Snelling, MN 55111-4007
(612) 725-3437

Ohio, Indiana, Kentucky, West Virginia
Dayton
U.S. Courthouse and Federal Building
200 West 2nd Street, Room 507
Dayton, OH 45402-0001
(513) 225-2576

Pennsylvania, New York, Maryland,
Massachusetts, New Hampshire, Connecticut,
Delaware, Maine, New Jersey, Rhode Island,
Vermont
Philadelphia
William J. Green, Jr. Federal Building
Federal Building, Room 3400
600 Arch Street
Philadelphia, PA 19106-1596
(215) 597-7670

Georgia, Florida
Atlanta
Richard B. Russell Federal Building, Suite 956
75 Spring Street, S.W.
Atlanta, GA 30303-3109
(404) 331-4588

Virginia
Norfolk
Federal Building, Room 500
200 Granby Street
Norfolk, VA 23510-1886
(804) 441-3373

North Carolina, South Carolina
Raleigh
4407 Bland Road, Suite 200, Somerset Park
Raleigh, NC 27609-6296
(919) 790-2817

Michigan, Indiana, Kentucky, Northern Ohio

Detroit
477 Michigan Avenue, Room 594
Detroit, MI 48226-2574
(313) 226-2095

Texas, Arkansas, Louisiana, Oklahoma, Nevada
San Antonio
8610 North Broadway, Room 305
San Antonio, TX 78217-0001
(210) 805-2423

Illinois
Chicago
John C. Kluzynski Federal Building-DPN 30-3
230 South Dearborn Street
Chicago, IL 60604-1687
(312) 353-6234

Hawaii, Alaska, Pacific Overseas
Honolulu (and Island of Oahu)
Federal Building
P.O. Box 50028
300 Ala Moana Boulevard
Honolulu, HI 96850-0001
(808) 541-2795

U.S. COMMISSION ON CIVIL RIGHTS

Central Regional Office

U.S. Commission on Civil Rights
Melvin L. Jenkins, Regional Director
Gateway Tower II
400 State Avenue, Suite 908
Kansas City, KS 66101
(913) 551-1400
(913) 551-1414 TDD

Eastern Regional Office

U.S. Commission on Civil Rights
Ki-Taek Chun, Regional Director
624 9th Street NW, Suite 500
Washington, DC 20425
(202) 376-7533
(202) 376-8116 TDD

Midwestern Regional Office

U.S. Commission on Civil Rights
Constance D. Davis, Regional Director
55 West Monroe Street, Suite 410
Chicago, IL 60603
(312) 353-8311
(312) 353-8362 TDD

Rocky Mountain Regional Office

U.S. Commission on Civil Rights
John F. Dulles, III
1700 Broadway, Suite 710
Denver, CO 80290
(303) 866-1040
(303) 866-1049 TDD

Southern Regional Office

U.S. Commission on Civil Rights
Bobby Doctor, Regional Director
61 Alabama Street, SW, Suite 1840T
Atlanta, GA 30303
(404) 562-7000

Appendix IV: Agency Regional and Local Office Addresses

(404) 562-7004 TDD

Western Regional Office

U.S. Commission on Civil Rights
Philip Montez, Regional Director
3660 Wilshire Boulevard, Room 810
Los Angeles, CA 90010
(213) 894-3437
(213) 894-3435 TDD

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

Alabama

Delner Franklin-Thomas, Director
1130 22nd Street, South, Suite 200
Birmingham, AL 35205 United States
(205) 212-2089

Arizona

Elizabeth T. Cadle, Acting Director
Norwest Tower
3300 N. Central Avenue, Suite 690
Phoenix, AZ 85012-2504 United States
(602) 640-5015

Arkansas

William A. Cash, Director
820 Louisiana Street, Suite 200
Little Rock, AR 72201 United States
(501) 324-5539

California

Dana C. Johnson, Director
1301 Clay Street, Suite 1170-N
Oakland, CA 94612-5217 United States
(510) 637-3242

William R. Tamayo, Director
Phillip Burton Federal Building, Suite 5000
450 Golden Gate Avenue
San Francisco, CA 94102-3661 United States
(415) 625-5611

Christopher Green, Director
555 West Beech Street, Suite 504
San Diego, CA 92101 United States
(619) 557-7235

Melissa Barrios, Director
2300 Tulare Street
Fresno, CA 93721 United States
(559) 487-5793 or (559) 487-5787

Terrie B. Brodie, Acting Director
96 North 3rd Street, Suite 200
San Jose, CA 95112 United States
(408) 291-7447

Rosa M. Viramontes, Director
255 E. Temple Avenue, 4th Floor
Los Angeles, CA 90012 United States
(213) 894-1000

Colorado

Amy Burkholder, Acting Director
303 East 17th Avenue, Suite 510

Denver, CO 80203-9634 United States
(303) 866-1311

District of Columbia

Mindy Weinstein, Acting Director
131 M Street, N.E.
Washington, DC 20507 United States
(202) 419-0711

Florida

Evangeline Hawthorne, Director
501 East Polk Street, Room 1000
Tampa, FL 33602 United States
(813) 228-7953 or (813) 228-7928

Michael J. Farrell, Director
100 SE 2nd Street, Ste. 1500
Miami, FL 33131 United States
(305) 808-1740

Georgia

Omayra Padilla, Director
7391 Hodgson, Suite 200
Savannah, GA 31406-2579 United States
(912) 652-4077

Bernice Williams-Kimbrough, Director
100 Alabama Street, Suite 4R30
Atlanta, GA 30303 United States
(404) 562-6930

Hawaii

Glory A. Gervacio-Saure, Director
300 Ala Moana Boulevard, Room 7-127
P.O. Box 50082
Honolulu, HI 96850-0051 United States
(808) 541-3118

Illinois

Julianne Bowman, Director
500 West Madison Street, Suite 2000
Chicago, IL 60661 United States
(312) 869-8000

Indiana

Lloyd J. Vasquez, Acting Director
101 West Ohio Street, Suite 1900
Indianapolis, IN 46204-4203 United States
(317) 226-7212

Kansas

Natascha DeGuire, Director
Gateway Tower II
400 State Avenue, Suite 905
Kansas City, KS 66101 United States
(913) 551-5692

Kentucky

Richard T. Burgamy, Director
U.S. Post Office and Courthouse
600 Martin Luther King Jr. Place, Suite 268
Louisville, KY 40202 United States
(502) 582-6082

Las Vegas

333 Las Vegas Blvd., South, Suite 8112
Las Vegas, NV 89101 United States

(702) 388-5013

Louisiana

Keith T. Hill, Director
Hale Boggs Federal
500 Poydras Street, Room 809
New Orleans, LA 70112 United States
(504) 595-2826 or (504) 595-2837

Maryland

Rosemarie Rhodes, Director
City Crescent Building
10 South Howard Street, 3rd Floor
Baltimore, MD 21201 United States
(410) 209-2624

Massachusetts

Feng K. An, Director
John F. Kennedy Federal Building Government
Center
4th Floor
1 Congress Street, Room 475
Boston, MA 02203-0506 United States
(617) 565-4805

Michigan

Gail Cober, Director
McNamara Federal Building
477 Michigan Avenue, Suite 865
Detroit, MI 48226-9704 United States
(313) 226-4600

Minnesota

Julie Schmid, Acting Director
330 South Second Avenue, Suite 720
Minneapolis, MN 55401-2224 United States
(612) 335-4040

Mississippi

Wilma Scott, Director
Dr. A. H. McCoy Federal Building
100 West Capitol Street, Suite 207
Jackson, MS 39269 United States
(601) 948-8400

Missouri

James R. Neely, Director
Robert A. Young Building
1222 Spruce Street, Room 8100
St. Louis, MO 63103 United States
(314) 539-7831

Mobile

Erika E. La'Cour, Director
63 South Royal Street, Suite 504
Mobile, AL 36602 United States
(251) 690-3001

New Jersey

John Waldinger, Director
One Newark Center, 21st Floor
Newark, NJ 07102-5233 United States
(973) 645-4689

New Mexico

Derrick Newton, Director

Appendix IV: Agency Regional and Local Office Addresses

505 Marquette Avenue, N.W., Suite 900
Albuquerque, NM 87102-2189 United States
(505) 248-5201

New York

John E. Thompson, Director
6 Fountain Plaza, Suite 350
Buffalo, NY 14202 United States
(716) 551-4442

Kevin J. Berry, Director
33 Whitehall Street, 5th Floor
New York, NY 10004-2112 United States
(212) 336-3705

North Carolina

Arlene M. Glover, Acting Director
2303 West Meadowview Road, Suite 201
Greensboro, NC 27407 United States
(336) 547-4188

Rueben Daniels, Director
129 West Trade Street, Suite 400
Charlotte, NC 28202 United States
(704) 954-6422

Thomas Colclough, Acting Director
434 Fayetteville Street, Ste. 700
Raleigh, NC 27601-1701 United States
(919) 856-4085

Ohio

Melanie L. Breen, Director
John W. Peck Federal Office Building
550 Main Street, Suite 10-019
Cincinnati, OH 45202-5202 United States
(513) 684-3967

Cheryl Mabry-Thomas, Director
Anthony J Celebrezze Federal Bldg.
1240 E. 9th Street, Ste. 3001
Cleveland, OH 44199 United States
(216) 522-7447

Oklahoma

Holly J. Cole, Director
215 Dean A. McGee Avenue, Suite 524
Oklahoma City, OK 73102-2265 United States
(405) 231-4356

Pennsylvania

Roosevelt L. Bryant, Acting Director
William S. Moorhead Federal Building
1000 Liberty Avenue, Suite 1112
Pittsburgh, PA 15222-4187 United States
(412) 395-5902

Spencer H. Lewis, Director
801 Market Street, 13th Floor
Philadelphia, PA 19103 United States
(215) 440-2624

Puerto Rico

William Sanchez, Director
Plaza Las Americas
525 F.D. Roosevelt Avenue, Suite 1202
San Juan, PR 00918-8001 United States
(787) 771-1464 or (787) 771-1432

South Carolina

Patricia Bynum-Fuller, Director
301 N. Main Street, Suite 1402
Greenville, SC 29601 United States
(864) 241-4407

Tennessee

Sarah Smith, Director
220 Anthens Way, Suite 350
Nashville, TN 37228 United States
(615) 736-5820

Katharine Kores, Director
1407 Union Avenue, 9th Floor
Memphis, TN 38104 United States
(901) 544-1051

Texas

Pedro Esquivel, Director
Travis G. Hicks, Director
5410 Fredericksburg Road, Suite 200
San Antonio, TX 78229-3555 United States
(210) 281-2550

Rayford O. Irvin, Director
Mickey Leland Federal Building
1919 Smith Street, 7th Floor
Houston, TX 77002 United States
(713) 651-4951

Shirley Richardson, Director
Belinda F. McCallister, Deputy Director
207 South Houston Street, 3rd Floor
Dallas, TX 75202-4726 United States
(214) 253-4726

Lucy V. Orta, Director
300 East Main Street, Suite 500
El Paso, TX 79901 United States
(915) 534-4192

Virginia

Norberto Rosa-Ramos, Director
Federal Building
200 Granby Street, Suite 739
Norfolk, VA 23510 United States
(757) 441-6678

Daron L. Calhoun, Director
400 North 8th Street, Ste 350
Richmond, VA 23219 United States
(804) 771-2200

Washington

Nancy A. Sienko, Director
Federal Office Building
909 First Avenue, Suite 400
Seattle, WA 98104-1061 United States
(206) 220-6870

Wisconsin

Rosemary J. Fox, Director
Henry S. Reuss Federal Plaza
310 West Wisconsin Avenue, Suite 500
Milwaukee, WI 53203-2292 United States
(414) 297-1112

FEDERAL COMMUNICATIONS COMMISSION

Beverly Baker, Chief

Field Operations Bureau
Federal Communications Commission
1919 M Street, N.W., Room 734
Washington, DC 20554-0001
(202) 418-1100
Use the same number for FTS

P.O. Box 1588

Gary P. Soulsby, EIC
Federal Communications Commission
11410 NE 122nd Way, Suite 312
Kirkland, WA 98034-6927
(206) 821-9037

Oliver K. Long, EIC
Federal Communications Commission
P.O. Box 632
Kingsville, TX 78363-0632
(512) 592-2531

James H. Berrie, Jr., EIC
Federal Communications Commission
Grand Islands, NE 68802-1588
(308) 382-4296 (recorded information at nights)

William C. Berry
Federal Communications Commission
U.S. Federal Building, Room 747
San Juan Field Office
747 Federal Building
Hato Rey, PR 00918-1731
(809) 766-5567

Watch Officer

Signal Analysis Branch
1919 M Street, N.W., Room 749
Enforcement Division
Washington, DC 20554
(202) 418-1180

Use the same number for FTS

William L. Kilpatrick, Chief

Equipment Construction and Installation Branch
Federal Communications Commission
3600 Hiram-Lithia Spring Road, S.W.
P.O. Box 65
Powder Springs, GA 30073
(404) 943-6425

Russell D. Monie
Federal Communications Commission
Park Ridge Office Center, Room 306
1550 Northwest Highway
Park Ridge, IL 60068-1460
(708) 298-5405

Use the same number for FTS

David Viglione, EIC
Federal Communications Commission
1307 Federal Building
111 West Huron Street
Buffalo, NY 14202-2398
(716) 551-4511 (recorded information)

Vacant

Appendix IV: Agency Regional and Local Office Addresses

Federal Communications Commission
P.O. Box 89
Allegan, MI 49010-9437
(616) 673-2063

George M. Moffitt, EIC
Federal Communications Commission
Park Ridge Office Center, Room 306
1550 Northwest Highway
Park Ridge, IL 60068-1460
(708) 298-5401/02
Use the same number for FTS

Charles W. Craig, EIC
Federal Communications Commission
1782 Federal Building
1220 S.W. Third Avenue
Portland, OR 97204-2898
(503) 326-4114/5

Vincent Kajunski, EIC
Federal Communications Commission
1 Battery March Park
Quincy, MA 02169-7495
(617) 770-4023

James A. Bridgewater
Federal Communications Commission
24897 Hathaway Street
Farmington Hills, MI 48335-1552
(810) 471-5605
Use the same number for FTS
(810) 471-0052 (for r

Jack Shedletsy, EIC
Federal Communications Commission
P.O. Box 1030
Waipahu, HI 96797-1030
(808) 677-3318

James R. Zoulek, EIC
Federal Communications Commission
18000 Studebaker Road, Room 660
Cerritos, CA 90701-3684
(310) 809-2096
(310) 865-0598

Serge Marti-Volkoff
Federal Communications Commission
3777 Depot Road, Room 420
Hayward, CA 94545-2756
(510) 732-9046

Dennis P. Carlton
Federal Communications Commission
Brywood Office Tower, Room 320
8800 East 63rd Street
Kansas City, MO 64133-4895
(816) 353-9035
Use the same number for FTS

Donald E. Taylor, EIC
Federal Communications Commission
P.O. Box 85
Powder Springs, GA 30073-0085
(770) 943-5420
(770) 242-0165

James C. Hawkins
Federal Communications Commission

800 West Commerce Road, Room 505
New Orleans, LA 70123-3333
(504) 589-2095

Marlene S. Windel
Federal Communications Commission
6721 Raspberry Road
Anchorage, AK 99502-1896
(907) 243-2153

Ralph M. Barlow, EIC
Federal Communications Commission
2203 North Lois Avenue, Room 1215
Tampa, FL 33607-2356
(813) 348-1502

Albert S. Jarratt, Jr., EIC
Federal Communications Commission
2025 Sloan Place, Suite 31
Maplewood, MN 55117-2058
(612) 774-5175

Leo Cirbo, EIC
Federal Communications Commission
165 South Union Boulevard, Suite 860
Lakewood, CO 80228-2213
(303) 969-6497
(303) 776-8026

Jack Shedletsy, EIC
Federal Communications Commission
P.O. Box 1030
Waipahu, HI 96797-1030
(808) 677-3318

Use the same number for FTS
Barry A Bohac, EIC
Federal Communications Commission
P.O. Box 470
Belfast, ME 04915-0470
(207) 338-4088

Fred L. Broce, EIC
Federal Communications Commission
Koger Center-Gwinnett
3575 Koger Boulevard, Room 320
Duluth, GA 30136-4958
(404) 279-4621

James A. Dailey, EIC
Federal Communications Commission
Brywood Office Tower, Room 320
8800 East 63rd Street
Kansas City, MO 64133-4895
(816) 353-3773

Use the same number for FTS
William H. Grigsby, EIC
Federal Communications Commission
Interstate Office Park
4542 Ruffner Street, Room 370
San Diego, CA 92111-2216
(619) 467-0549

Alexander J. Zimny, EIC
Federal Communications Commission
201 Varick Street
New York, NY 10014-4870
(212) 620-3437/8, 3435 (recorded information)
(212) 660-3437, 34

Phil Kane, EIC
Federal Communications Commission
3777 Depot Road, Room 420
Hayward, CA 94545-2725
(510) 732-9046
Use the same number for FTS

John L. Theimer, EIC
Federal Communications Commission
Rochester Building, Room 310
8390 N.W. 53rd Street
Miami, FL 33166-4668
(305) 526-7420

John Hudak, EIC
Federal Communications Commission
P.O. Box 250
Columbia, MD 21045-9998
(301) 725-3474

FEDERAL MEDIATION AND CONCILIATION SERVICE

Eastern

Cleveland, Ohio Regional Office
Mr. Robert Dittolo,
Director of Mediation Services,
6161 Oak Tree Boulevard,
Independence, OH 44131 United States
(216) 520-4811 Fax: (216) 520-4819

Eastern Region

Pittsburgh, Pennsylvania Field Office
Mr. John W. Pinto, Director of Mediation
Services, One Oxford Centre, Suite 2570, 301
Grant Street,
Pittsburgh, PA 15219-1408 United States
(412) 235-7440 Fax: (412) 644-4149

Cleveland, Ohio Field Office
Mr. John Buettner, Director of Field Operations,
6161 Oak Tree Boulevard, Suite 120,
Independence, OH 44131 United States
(216) 520-4805 Fax: (216) 520-4815

Chicago, Illinois Field Office
Mr. Thomas Summers, Director of Mediation
Services, Elm Plaza, Suite 203, 908 North Elm
Street
Hinsdale, IL 60521 United States
(630) 887-4750 Fax: (630) 887-7183

Orlando, Florida Field Office
Mr. Sergio Delgado, Director of Mediation
Service, 3452 Lake Lynda Drive, Suite 122,
Orlando, FL 32817-1472 United States
(407) 382-6598 Fax: (407) 382-3727

Philadelphia, Pennsylvania Field Office
Mr. Scott Blake, Director of Mediation Services,
William J. Green, Jr. Federal Building, Room
3456, 600 Arch Street,
Philadelphia, PA 19106 United States
(215) 717-7500 Fax: (215) 717-7508

Woodbridge, New Jersey Regional Office
Mr. John Sweeney, Director of Mediation
Services, Woodbridge Place Building, Suite 3020,

Appendix IV: Agency Regional and Local Office Addresses

517 US Highway 1 South,
Iselin, NJ 08830 United States
(732) 726-3121 Fax: (732) 726-3124

Western Region

Los Angeles, California Field Office
Ms. Linda Gonzalez, Director of Mediation
Services, Glendale Financial Square, Suite 410,
225 West Broadway,
Los Angeles, CA 91204 United States
(818) 409-1324 Fax: (818) 409-1321

Seattle, Washington Field Office
Ms. Beth Schindler, Director of Mediation
Services, Westin Building, Suite 2500, 2001 Sixth
Avenue,
Seattle, WA 98121 United States
(206) 553-5800 Fax: (206) 553-6653

St. Louis, Missouri Field Office
Mr. Eugene Bralley, Jr., Director of Mediation
Services, 12140 Woodcrest Executive Drive, Suite
325,
St. Louis, MO 63141-5013 United States
(816) 426-2032 Fax: (816) 426-2033

Minneapolis, Minnesota Field Office
Mr. Glenn Tarkowski, Director of Mediation
Services,
Broadway Place West, Suite 3950
1300 Godward Street
Minneapolis, MN 55413 United States
(612) 331-6667 Fax: (612) 331-5272

GENERAL SERVICES ADMINISTRATION

Central Office

General Services Administration
2200 Crystal Drive, Crystal Plaza 4, Room 706
Arlington, VA 22202 United States
(703) 605-5610 (703) 605-9833 FAX

Great Lakes Region

(Illinois, Indiana, Michigan, Minnesota, Ohio, and
Wisconsin)
230 South Dearborn Street
MS 34-6, Room 3430
Chicago, IL 60604-1696
(312) 886-8996

Greater Southwest Region

(Arkansas, Louisiana, New Mexico, Oklahoma,
and Texas)
819 Taylor Street, Room 7A07
Fort Worth, TX 76102-6105
(817) 978-3794

Heartland Region

(Iowa, Kansas, Missouri, and Nebraska)
1500 East Bannister Road, Room 1102
Kansas City, MO 64131
(816) 823-3700

Mid Atlantic (National Capital)

(National Capital Region - DC and Metro MD,
VA) 490 L'Enfant Plaza East SW, Suite 8214

Washington, DC 20407 United States
(202) 619-8986 (202) 619-8985 FAX

Mid Atlantic Region (National Capital)

(District of Columbia and the DC, Metropolitan
area: In Maryland, Montgomery and Prince
George's Counties. In Virginia: Arlington,
Fairfax, Loudon, and Prince Williams)

7th & D St. SW
Washington, DC 20407 United States
(202) 260-6438

Mid-Atlantic Region

(Delaware, Maryland, Pennsylvania, Virginia and
West Virginia (except those areas in the National
Capital Area)
Strawbridge's Building
20 North 8th St., 10th Floor
Philadelphia, PA 19107-3191
(215) 446-5065

National Capital Region (NCR)

(District of Columbia and the DC, Metropolitan
area: In Maryland, Montgomery and Prince
George's Counties. In Virginia: Arlington,
Fairfax, Loudon, and Prince Williams Counties and
the cities of Alexandria, Fairfax and Falls Church)
Business Service Center (WCAB)
7th & D Streets, SW, Room 1050
Washington, DC 20407
(202) 708-5804
(202) 205-2872 FAX

New England Region

(Connecticut, Maine, Massachusetts, New
Hampshire, Rhode Island, and Vermont)
Thomas P. O'Neill, Jr. Federal Building
10 Causeway Street, 3rd Floor, Room 347
Boston, MA 02222
(617) 565-7319

Northeast and Caribbean Region

(New Jersey, New York, Puerto Rico, and U.S.
Virgin Islands)
26 Federal Plaza, Room 20-112
New York, NY 10278
(212) 264-3300

Northwest/Arctic Region

(Alaska, Idaho, Oregon, and Washington)
400 15th Street, S.W.
Auburn, WA 98001-6599
(253) 931-7934

Pacific Rim Region

(American Samoa, Arizona, California,
Commonwealth of the Northern Mariana Islands,
Guam, Hawaii, and Nevada)
450 Golden Gate Avenue
San Francisco, CA 94102-3434
(415) 522-3029

Region 1

(New England Region- Connecticut, Maine,
Massachusetts, New Hampshire, Rhode Island,

Vermont) O'Neill Federal Building, 10 Causeway
Street, Room 1085
Boston, MA 02222-1047 United States
(617) 565-6573 (617) 565-5767 FAX

Region 10

(Northwest Arctic Region - Alaska, Idaho,
Oregon, Washington) 400 15th Street SW
Auburn, WA 98001-6599 United States
(253) 931-7021(253) 931-7824 FAX

Region 2

(Northeast and Caribbean Region - New Jersey,
New York, Puerto Rico, U.S. Virgin Islands) 26
Federal Plaza, Room 20-112
New York, NY 10278 United States
(212) 264-2626 (212) 264-9806 FAX

Region 3

(Mid-Atlantic Region - Delaware, Maryland and
Virginia (except District of Columbia
Metropolitan area), Pennsylvania, West Virginia)
The Strawbridge Building, 20 North Eighth Street,
10th Floor
Philadelphia, PA 19107 United States
(215) 446-5060 (215) 829-2760 FAX

Region 4

(Southeast Sunbelt Region - Alabama, Florida,
Georgia, Kentucky, Mississippi, North Carolina,
South Carolina, Tennessee) 401 West Peachtree
Street, Suite 2600
Atlanta, GA 30308 United States
(404) 332-3323(404) 331-1877 FAX

Region 5

(Great Lakes Region - Illinois, Indiana, Michigan,
Minnesota, Ohio, Wisconsin)
230 South Dearborn Street, MS 34-6, Room 3430
Chicago, IL 60604-1696 United States
(312) 886-8194(312) 886-8901 FAX

Region 6

(Heartland Region - Iowa, Kansas, Missouri,
Nebraska)
1500 East Bannister Road, Building 6, 1st Floor
Kansas City, MO 64131 United States
(816) 823-3719 (816) 823-3711 FAX

Region 7

(Greater Southwest Region - Arkansas, Louisiana,
New Mexico, Oklahoma, Texas)
819 Taylor Street
Room 13A05
Fort Worth, TX 76102 United States
(817) 850-8353 (817) 574-2366 FAX

Region 8

(Rocky Mountain Region - Colorado, Montana,
North Dakota, South Dakota, Utah, Wyoming)
Business Service Center (8AB)
Denver Federal Center
Building 41, Room 240
P.O. Box 25006
Denver, CO 80225
(303) 236-7408

Appendix IV: Agency Regional and Local Office Addresses

(303) 236-7403 FAX

(Rocky Mountain Region - Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)
Denver Federal Center Building 41
P.O. Box 25506
Denver, CO 80225-0506 United States
(303) 236-7700 (303) 236-7544 FAX

Region 9

(Pacific Rim Region - Arizona, California, Nevada, Hawaii, Guam, American Samoa, Northern Mariana Islands)
450 Golden Gate Avenue
San Francisco, CA 94102-3434 United States
(415) 522-3030 (415) (415) 522-3033 FAX

Region I

(Illinois, Indiana, Michigan, Wisconsin, Ohio, Minnesota, Maine, Vermont, New Hampshire, Rhode Island, Massachusetts, Connecticut, New York, New Jersey, Puerto Rico, and the Virgin Islands)
10 Causeway Street
Boston, MA 02222
(617) 565-5700

Region IV

(Maryland, Delaware, Virginia, Pennsylvania, West Virginia, North Carolina, Mississippi, South Carolina, Tennessee, Georgia, Alabama, Florida, Kentucky and the District of Columbia)
401 W. Peachtree Street
Atlanta, GA 30365-2550
(404) 331-5133

Region IX

(California, Nevada, Arizona, Hawaii, Guam, American Samoa, the Trust Territory of the Pacific Islands, Alaska, Idaho, Oregon, and Washington)
450 Golden Gate Avenue, 4th Floor East
San Francisco, CA 94102-3429
(415) 522-3429

Region VII

(Kansas, Missouri, Iowa, Nebraska, Arkansas, Louisiana, New Mexico, Oklahoma, Texas, Colorado, North Dakota, South Dakota, Montana, and Utah)
819 Taylor Street
Fort Worth, TX 76102
(817) 978-2331

Southeast Sunbelt Region

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, and Tennessee)
401 West Peachtree Street, Room 2600
Atlanta, GA 30365
(404) 331-0040
Satellite Office
General Services Administration
Small Business Center (9ADB-L)

NATIONAL LABOR RELATIONS

BOARD

Alabama

Information Officer
Ridge Park Place
1130 South Street, Suite 3400
Birmingham, AL 35205-2870 United States
(205) 933-3018 Use the same number for FTS

Alaska

Information Officer
Anchorage Federal Office Building
605 West 4th Avenue, Suite 210
Anchorage, AK 99501-3170 United States
(907) 271-5015 Use the same number for FTS

Arizona

Information Officer
2600 North Central Avenue, Suite 1800
Phoenix, AZ 85004-3099 United States
(602) 640-2160 Use the same number for FTS

Arkansas

Information Officer
Metropolitan Bank Building
425 West Capitol Avenue, Suite 1615
Little Rock, AR 72201-3489 United States
(501) 324-6311 Use the same number for FTS

California

Information Officer
Ronald V. Dellums Federal Building and Courthouse
1301 Clay Street, Suite 300N
Oakland, CA 94612-5224 United States
(510) 637-3300 Use the same number for FTS

Information Officer
901 Market Street, Suite 400
San Francisco, CA 94103-1735 United States
(415) 356-5130 Use the same number for FTS

Information Officer
888 Figueroa Street, 9th Floor
Los Angeles, CA 90017-5499 United States
(213) 894-5200 Use the same number for FTS

Information Officer
555 West Beech Street, Suite 418
San Diego, CA 92101-2939 United States
(619) 557-6184 Use the same number for FTS

Information Officer
11150 W. Olympic Boulevard, Suite 700
Los Angeles, CA 90064-1824 United States
(310) 235-7351 Use the same number for FTS

Colorado

Information Officer
600 17th Street, 7th Floor, North Tower
Denver, CO 80202-5433
(303) 844-3551
Use the same number for FTS

Connecticut

Information Officer
A.A. Ribicoff Federal Building
450 Main Street

Suite 410
Hartford, CT 06103-9972 United States
(860) 240-3522 Use the same number for FTS

District of Columbia

Information Officer
1099 14th Street, N.W., Suite 5530
Washington, DC 20570-0001
(202) 208-3000
Use the same number for FTS

Florida

Information Officer
550 Water Street, Room 340
Jacksonville, FL 32202-5177 United States
(904) 232-3768 Use the same number for FTS

Information Officer
201 E. Kennedy Boulevard, Suite 530
Tampa, FL 33602-5824 United States
(813) 228-2641 Use the same number for FTS

Information Officer
Federal Building
51 Southwest 1st Avenue, Room 1320
Miami, FL 33130-1623 United States
(305) 536-5391 Use the same number for FTS

Georgia

Information Officer
233 Peachtree Street, N.E., Suite 1000
Atlanta, GA 30303-1531 United States
(404) 331-2896 Use the same number for FTS

Hawaii

Information Officer
300 Ala Moana Boulevard - Room 7-245
Honolulu, HI 96850-4980 United States
(808) 541-2814 Use the same number for FTS

Illinois

Information Officer
300 Hamilton Boulevard, Suite 200
Peoria, IL 61602-1246 United States
(309) 671-7080 Use the same number for FTS

Information Officer
The Rookery Building
209 South LaSalle Street, Suite 900
Chicago, IL 60604-1219 United States
(312) 353-7570 Use the same number for FTS

Indiana

Information Officer
575 North Pennsylvania Street, Room 238
Indianapolis, IN 46204-1577 United States
(317) 226-7381 Use the same number for FTS

Iowa

Information Officer
210 Walnut Street, Suite 439
Des Moines, IA 50309-2103
(515) 284-4391 Use the same number for FTS

Kansas

Information Officer
8600 Farley Street, Suite 100
Overland Park, KS 66212-4677

Appendix IV: Agency Regional and Local Office Addresses

(913) 967-3000

Use the same number for FTS

Louisiana

Information Officer

600 South Maestri Place - 7th Floor
New Orleans, LA 70130-3408 United States
(504) 589-6361 Use the same number for FTS

Maryland

Information Officer

Bank of America Center, Tower II
100 South Charles Street
Baltimore, MD 2120-2700 United States
(410) 962-2822 Use the same number for FTS

Massachusetts

Information Officer

10 Causeway Street, 6th Floor
Boston, MA 02222-1072
(617) 565-6700

Use the same number for FTS

Michigan

Information Officer

477 Michigan Avenue, Room 300
Detroit, MI 48226-2569
(313) 226-3200

Use the same number for FTS

Information Officer

Gerald R. Ford Federal Building
110 Michigan Street NW
Room 299
Grand Rapids, MI 49503-2363 United States
(616) 456-2679 Use the same number for FTS

Minnesota

Information Officer

330 South Second Avenue, Suite 790
Minneapolis, MN 55401-2221
(612) 348-1757 Use the same number for FTS

Missouri

Information Officer

1222 Spruce Street, Room 8.302
St. Louis, MO 63103-2829 United States
(314) 539-7770 Use the same number for FTS

Nevada

Information Officer

600 Las Vegas Boulevard South, Suite 400
Las Vegas, NV 89101-6637
(702) 388-6416

Use the same number for FTS

New Jersey

Information Officer

20 Washington Place-5th Floor
Newark, NJ 07102-3110
(973) 645-2100 Use the same number for FTS

New Mexico

Information Officer

421 Gold Avenue SW, Suite 310
Albuquerque, NM 87102 United States
(505) 248-5125 Use the same number for FTS

New York

Information Officer

Clinton Avenue at N. Pearl Street, Room 342
Albany, NY 12207-2350
(518) 431-4156

Use the same number for FTS

Information Officer

26 Federal Plaza, Room 3614
New York, NY 10278-0104 United States
(212) 264-0300 Use the same number for FTS

Information Officer

Niagra Center Building
130 South Elmwood Avenue, Suite 630
Buffalo, NY 14202-2465 United States
(716) 551-4931 Use the same number for FTS

Information Officer

Two Metro Tech Center
Suite 5100
Brooklyn, NY 11201-3838 United States
(718) 330-7713 Use the same number for FTS

North Carolina

Information Officer

4035 University Parkway, Suite 200
Winston-Salem, NC 27106-3325 United States
(336) 631-5201 Use the same number for FTS

Ohio

Information Officer

550 Main Street, Room 3003
Cincinnati, OH 45202-3271
(513) 684-3686

Use the same number for FTS

Information Officer

1240 East 9th Street, Room 1695
Cleveland, OH 44199-2086 United States
(216) 522-3715 Use the same number for FTS

Oklahoma

Information Officer

224 South Boulder Avenue, Room 318
Tulsa, OK 74103-3027 United States
(918) 581-7951 Use the same number for FTS

Oregon

Information Officer

601 S.W. Second Avenue, Suite 1910
Portland, OR 97204-3170 United States
(503) 326-3085 Use the same number for FTS

Pennsylvania

Information Officer

615 Chestnut Street, 7th Floor
Philadelphia, PA 19016-4404
(215) 597-7601

Use the same number for FTS

Information Officer

William S. Moorehead Federal Building
1000 Liberty Avenue, Room 904
Pittsburgh, PA 15222-4111 United States
(412) 395-4400 Use the same number for FTS

Puerto Rico

Information Officer

525 F.D. Roosevelt Avenue, Suite 1002
San Juan, PR 00918-1002 United States
(787) 766-5347 Use the same number for FTS

Tennessee

Information Officer

810 Broadway, Suite 302
Nashville, TN 37203-3859 United States
(615) 736-5921 Use the same number for FTS

Information Officer

The Brinkley Plaza Building
80 Monroe Avenue
Memphis, TN 38103-2416 United States
(901) 544-0018 Use the same number for FTS

Texas

Information Officer

Garacia Federal Building
615 East Houston Street, Suite 401
San Antonio, TX 78205-2039 United States
(210) 472-6140 Use the same number for FTS

Information Officer

Mickey Leland Federal Building
1919 Smith Street, Suite 1545
Houston, TX 77002-2649 United States
(713) 209-4888 Use the same number for FTS

Information Officer

P.O. Box 971187
El Paso, TX 79997-1187 United States
(915) 592-6571 Use the same number for FTS

Information Officer

819 Taylor Street, Room 8A24
Fort Worth, TX 76102-6178 United States
(817) 978-2921 Use the same number for FTS

Washington

Information Officer

915 2nd Avenue, Room 2948
Seattle, WA 98174-1078
(206) 220-6300

Use the same number for FTS

Wisconsin

Information Officer

310 West Wisconsin Avenue, Suite 700W
Milwaukee, WI 53203-2211 United States
(414) 297-3861 Use the same number for FTS

SMALL BUSINESS ADMINISTRATION

Disaster Area 1 Office (Covers Regions I and II)

360 Rainbow Boulevard S., 3rd Floor
Niagara Falls, NY 14303-1192
(716) 282-4612

Use the same number for FTS

Disaster Area 2 Office (Covers Regions III, IV and V)

One Baltimore Place, Suite 300
Atlanta, GA 30308
(404) 347-3771

Appendix IV: Agency Regional and Local Office Addresses

Use the same number for FTS

Disaster Area 3 Office (Covers Regions VI and VII)

4400 Amon Carter Boulevard, Suite 102
Ft. Worth, TX 76155
(817) 885-7600

Use the same number for FTS

Disaster Area 4 Office (Covers Regions VIII, IX and X)

1825 Bell Street, Suite 208
Sacramento, CA 95825
(916) 566-7240

Use the same number for FTS

Region I

New Hampshire District Office
143 North Main Street, Suite 202
Concord, NH 03301-1257
(603) 225-1400

Use the same number for FTS

Connecticut District Office
Federal Building
330 Main Street, 2nd Floor
Hartford, CT 06106
(203) 240-4700

Use the same number for FTS

Maine District Office
Federal Building
40 Western Avenue, Room 512
Augusta, ME 04330
(207) 622-8378

Use the same number for FTS

Springfield Branch Office
1441 Main Street, Suite 410
Springfield, MA 01103
(413) 785-0268

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
10 Causeway Street, Suite 812
Boston, MA 02222
(617) 565-8415

Use the same number for FTS

Massachusetts District Office
10 Causeway Street, Room 265
Boston, MA 02222-1093
(617) 565-5590

Use the same number for FTS

Vermont District Office
Federal Building
87 State Street, Room 205
Montpelier, VT 05602
(802) 828-4422

Use the same number for FTS

Rhode Island District Office
380 Westminister Mall, 5th Floor
Providence, RI 02903
(401) 528-4561

Use the same number for FTS

Region II

New Jersey District Office
Two Gateway Center, 15th Floor
Newark, NJ 07102
(973) 645-2434

Use the same number for FTS

Puerto Rico and USVI District Office
Federico Degetau Federal Building
252 Ponce DeLeon Boulevard, Suite 201
San Juan, PR 00918
(787) 766-5272

Use the same number for FTS

Melville Branch Office
35 Pinelawn Road, Suite 207W
Melville, NY 11747
(516) 454-0750

Rochester Branch Office
100 State Street, Suite 410
Rochester, NY 14614
(716) 263-6700

New York District Office
26 Federal Plaza, Room 31-00
New York, NY 10278
(212) 264-2454

Use the same number for FTS

Buffalo District Office
Federal Building
111 West Huron Street, Room 1311
Buffalo, NY 14202
(716) 551-4301

Use the same number for FTS

(New Jersey, New York, Puerto Rico, Virgin Islands)

26 Federal Plaza, Suite 3108
New York, NY 10278
(212) 264-1450

Use the same number for FTS

Elmira Branch Office
333 E. Water Street, 4th Floor
Elmira, NY 14901
(607) 734-8130

Syracuse District Office
401 South Salina Street, 5th Floor
Syracuse, NY 13202
(315) 471-9393

Use the same number for FTS

Region III

West Virginia District Office
West Pike Street, Suite 330
Clarksburg, WV 26301
(304) 623-5631

Use the same number for FTS

Wilkes-Barre Branch Office
7 North Wilkes-Barre Boulevard
Wilkes-Barre, PA 18702
(570) 826-6497

Harrisburgh Branch Office
100 Chestnut Street, Room 108
Harrisburg, PA 17101
(717) 782-3840

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
900 Market Street, 5th Floor
Philadelphia, PA 19107

(215) 580-2SBA

Use the same number for FTS

Philadelphia District Office
900 Market Street, 5th Floor
Philadelphia, PA 19406
(215) 580-2SBA

Use the same number for FTS

Delaware District Office
824 N. Market Street, Suite 610
Wilmington, DE 19801
(302) 573-6294

Washington District Office
1110 Vermont Avenue, N.W., Suite 900
Washington, DC 20005
(202) 606-4000

Use the same number for FTS

Richmond District Office
400 North 8th Street, Suite 1150
Richmond, VA 23240-0126
(804) 771-2400

Use the same number for FTS

Baltimore District Office
10 South Howard Street, Suite 6220
Baltimore, MD 21201-2565
(410) 962-4392

Use the same number for FTS

Pittsburgh District Office
1000 Liberty Avenue, Room 1128
Pittsburgh, PA 15222
(412) 395-6560

Use the same number for FTS

Region IV

Georgia District Office
1720 Peachtree Road, N.W., 6th Floor
Atlanta, GA 30309
(404) 347-4147

Use the same number for FTS

North Florida District Office
7825 Baymeadows Way, Suite 100-B
Jacksonville, FL 32256-7504
(904) 443-1900

Use the same number for FTS

South Florida District Office
100 S. Biscayne Boulevard, 7th Floor
Miami, FL 33131
(305) 536-5521

Use the same number for FTS

Gulfport Branch Office
2909 13th Street, Suite 203
Gulfport, MS 39501
(228) 863-4449

North Carolina District Office
200 North College Street, Suite A2015
Charlotte, NC 28202-2137
(704) 344-6563

Appendix IV: Agency Regional and Local Office Addresses

Use the same number for FTS

Alabama District Office
2121 8th Avenue North, Suite 200
Birmingham, AL 35203-2398
(205) 731-1344

Use the same number for FTS

South Carolina District Office
1835 Assembly Street, Room 358
Columbia, SC 29201
(803) 765-5377

Use the same number for FTS

Kentucky District Office
Federal Building
600 Martin Luther King, Jr. Place, Room 188
Louisville, KY 40202
(502) 582-5971

Use the same number for FTS

(Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina, South Carolina,
Tennessee)
1720 Peachtree Road, N.W., Suite 496
Atlanta, GA 30309-2482
(404) 347-4999

Use the same number for FTS

Tennessee District Office
50 Vantage Way, Suite 201
Nashville, TN 37228-1500
(615) 736-5881

Use the same number for FTS

Mississippi District Office
101 West Capitol Street, Suite 400
Jackson, MS 39201
(601) 965-4378

Use the same number for FTS

Region IX

Guam Branch Office
400 Route 8
Mongmong, GU 96927 United States
(671) 472-7419 Use the same number for FTS

(Arizona, California, Hawaii, Nevada, Pacific
Islands)

455 Market Street, Suite 2200
San Francisco, CA 94105
(415) 744-2118

Use the same number for FTS

Sacramento District Office
660 J Street, Suite 215
Sacramento, CA 95814
(916) 498-6410

Use the same number for FTS

San Diego District Office
550 West "C" Street, Suite 550
San Diego, CA 92101-3540
(619) 557-7250

Use the same number for FTS

Santa Ana District Office
200 West Santa Ana Boulevard, Suite 700
Santa Ana, CA 92701
(714) 550-7420

Use the same number for FTS

San Francisco District Office
455 Market Street, 6th Floor
San Francisco, CA 94105
(415) 744-6820

Use the same number for FTS

Arizona District Office
2828 North Central Avenue, Suite 800
Phoenix, AZ 85004-1093
(602) 745-7200

Use the same number for FTS

Nevada District Office
300 Las Vegas Boulevard South, Suite 1100
Las Vegas, NV 89101
(702) 388-6611

Use the same number for FTS

Fresno District Office
2719 North Air Fresno Drive, Suite 200
Fresno, CA 93727-1547
(559) 487-5791

Use the same number for FTS

Hawaii District Office
300 Ala Moana Boulevard, Room 2-235
Honolulu, HI 96850-4981
(808) 541-2990

Use the same number for FTS

Los Angeles District Office
330 North Brand Boulevard, Suite 200
Glendale, CA 91203-2304
(818) 552-3210

Use the same number for FTS

Region V

Minnesota District Office
100 North 6th Street, Suite 610
Minneapolis, MN 55403-1563
(612) 370-2324

Use the same number for FTS

Indiana District Office
429 North Pennsylvania Street, Suite 100
Indianapolis, IN 46204-1873
(317) 226-7272

Use the same number for FTS

Columbus District Office
2 Nationwide Plaza, Suite 1400
Columbus, OH 43215-2592
(614) 469-6860

Use the same number for FTS

Illinois District Office
500 West Madison Street, Room 1250
Chicago, IL 60661-2511
(312) 353-4528

Use the same number for FTS

Cincinnati Branch Office
525 Vine Street, Suite 870
Cincinnati, OH 45202
(513) 684-2814

Wisconsin District-Milwaukee Office
310 W. Wisconsin Avenue, Suite 400
Milwaukee, WI 53202

(414) 297-3941

Marquette Branch Office
501 South Front Street
Marquette, MI 49855
(906) 225-1108

Springfield Branch Office
511 W. Capitol Avenue, Suite 302
Springfield, IL 62704
(217) 492-4416

Michigan District Office
477 Michigan Avenue, Room 515
Detroit, MI 48226
(313) 226-6075

Use the same number for FTS

Cleveland District Office
1111 Superior Avenue, Suite 630
Cleveland, OH 44114-2507
(216) 522-4180

Use the same number for FTS

Wisconsin District-Madison Office
740 Regent Street, Suite 100
Madison, WI 53715
(608) 264-5263

Use the same number for FTS

(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin)

Federal Building
500 West Madison Street, Suite 1240
Chicago, IL 60661-2511
(312) 353-0357

Use the same number for FTS

Region VI

Wichita District Office
100 East English Street, Suite 510
Wichita, KS 67202
(316) 269-6616

Use the same number for FTS

Corpus Christi Branch Office
606 N. Carancahua, Suite 1200
Corpus Christi, TX 78476
(361) 888-3331

Arkansas District Office
2120 Riverfront Drive, Suite 100
Little Rock, AR 72202
(501) 324-5871

Use the same number for FTS

Springfield Branch Office
620 S. Glenstone Street, Suite 110
Springfield, MO 65802
(417) 864-7670

Region VII
Des Moines District Office
New Federal Building
210 Walnut Street, Room 749
Des Moines, IA 50309
(515) 284-4422

Use the same number for FTS

San Antonio District Office
727 East Durango, Room A-527

Appendix IV: Agency Regional and Local Office Addresses

San Antonio, TX 78206
(210) 472-5900
Use the same number for FTS

Cedar Rapids District Office
215 4th Avenue, S.E., Suite 200
Cedar Rapids, IA 52401-1806
(319) 362-6405
Use the same number for FTS

New Mexico District Office
625 Silver Avenue, S.W., Suite 320
Albuquerque, NM 87102
(505) 346-7909
Use the same number for FTS

Houston District Office
9301 Southwest Freeway, Suite 550
Houston, TX 77074-1591
(713) 773-6500
Use the same number for FTS

Louisiana District Office
365 Canal Street, Suite 2250
New Orleans, LA 70130
(504) 589-6685
Use the same number for FTS

El Paso District Office
10737 Gateway West, Suite 320
El Paso, TX 79935
(915) 633-7001
Use the same number for FTS

(Arkansas, Louisiana, New Mexico, Oklahoma,
Texas)
4300 Amon Carter Boulevard, Suite 108
Ft. Worth, TX 76155
(817) 885-6581
Use the same number for FTS

Oklahoma District Office
210 Park Avenue, Suite 1300
Oklahoma City, OK 73102
(405) 231-5521
Use the same number for FTS

Dallas/Fort Worth District Office
4300 Amon Center Boulevard, Suite 114
Ft. Worth, TX 76155
(817) 885-6500
Use the same number for FTS

Lower Rio Grande Valley District Office
222 East Van Buren Street, Room 500
Harlingen, TX 78550
(956) 427-8533
Use the same number for FTS

Nebraska District Office
11145 Mill Valley Road
Omaha, NE 68154
(402) 221-4691
Use the same number for FTS

Kansas City District Office
323 West 8th Street, Suite 501
Kansas City, MO 64105
(816) 374-6708
Use the same number for FTS

Lubbock District Office
1205 Texas Avenue
Lubbock, TX 79401-2693
(806) 472-7462
Use the same number for FTS

St. Louis District Office
815 Olive Street, Room 242
St. Louis, MO 63101
(314) 539-6600
Use the same number for FTS

Region VII
(Iowa, Kansas, Missouri, Nebraska)
323 West 8th Street, Suite 307
Kansas City, MO 64105-1500
(816) 374-6380
Use the same number for FTS

Region VIII
Montana District Office
301 South Park, Room 334
Helena, MT 59626
(406) 441-1081
Use the same number for FTS

Utah District Office
Federal Building
125 South State Street, Room 2231
Salt Lake City, UT 84138-1195
(801) 524-5804
Use the same number for FTS

(Colorado, Montana, North Dakota, South Dakota,
Utah, Wyoming)
721 19th Street, Suite 400
Denver, CO 80202-2599
(303) 844-0500
Use the same number for FTS

Wyoming District Office
Federal Building
100 East B Street, Room 4001
Casper, WY 82602-2839
(307) 261-6500
Use the same number for FTS

North Dakota District Office
Federal Building
657 2nd Avenue, North, Room 219
Fargo, ND 58108-3086
(701) 239-5131
Use the same number for FTS

South Dakota District Office
110 South Phillips Avenue, Suite 200
Sioux Falls, SD 57104-6727
(605) 330-4243
Use the same number for FTS

Colorado District Office
721 19th Street, Room 426
Denver, CO 80202-2599 United States
(303) 844-2607 Use the same number for FTS

Region X
Boise District Office
1020 Main Street, Suite 290
Boise, ID 83702-5745

(208) 334-1696
Use the same number for FTS
(Alaska, Idaho, Oregon, Washington)
1200 6th Avenue, Suite 1805
Seattle, WA 98101-1128
(206) 553-5676
Use the same number for FTS

Spokane District Office
801 West Riverside Avenue, Suite 200
Spokane, WA 99201-0901
(509) 353-2809
Use the same number for FTS

Alaska District Office
222 West 8th Avenue, Room A36
Anchorage, AK 99513-7559
(907) 271-4022
Use the same number for FTS

Portland District Office
1515 S.W. Fifth Avenue, Suite 1050
Portland, OR 97201-5494
(503) 326-2682
Use the same number for FTS

Seattle District Office
1200 6th Avenue, Suite 1700
Seattle, WA 98101-1128
(206) 553-7310
Use the same number for FTS

DEPARTMENT OF VETERANS AFFAIRS

NATIONAL CEMETERY SYSTEM

Robert A. Wilk, Director
National Cemetery Area Office
730 Peachtree Street, N.E.
Atlanta, GA 30365
(404) 347-2121

Mr. Dan Banz, Supervisor
Memorial Programs Processing Site
Fort Leavenworth National Cemetery
395 Biddle Boulevard
Ft. Leavenworth, KS 66077-2307

Ms. Gina White, Supervisor
Memorial Programs Processing Site
Department of Veterans Affairs (403A2)
National Cemetery Administration
810 Vermont Avenue, N.W.
Washington, DC 20420
(202) 565-4257

Mr. Don Murphy, Supervisor
Memorial Programs Processing Site
Nashville National Cemetery
1420 Gallatin Road
Madison, TN 37115-4619
(615) 736-2840

Patrick J. Gartland, Director
National Cemetery Area Office
P.O. Box 11720
Philadelphia, PA 19101
(215) 381-3787

Appendix IV: Agency Regional and Local Office Addresses

Thomas G. Balsanek, Director
National Cemetery Area Office
P.O. Box 25126
Denver, CO 80225
(303) 914-5700

Mr. Wayne A. Simpson, Chief
Centralized Contracting Division
5101 Russell Road
Quantico, VA 22134-3903
(703) 441-3088

Mr. James Flanagan, Supervisor
Memorial Programs Processing Site
Indiantown Gap National Cemetery
R.R. #2
P.O. Box 484A
Annville, PA 17003-9618

VA HEALTH ADMINISTRATION CENTER

VETERANS MEDICAL FACILITIES

Alabama

VA Central Alabama Health Care System
215 Perry Hill Road
Montgomery, AL 36109-3798
(334) 272-4670
(700) 228-4115 FTS

VA Central Alabama Health Care System
Kenneth Rufe, Acting Director
Tuskegee, AL 36083
(304) 727-0550
FTS (700) 534-3550

Kenneth Ruyle, Director Acting
Tuscaloosa, AL 35404
(205) 554-2000
(700) 228-2760 FTS

Y.C. Paris, Director
700 South 19th Street
Birmingham, AL 35233
(205) 933-8101
(700) 534-6581 FTS

Alaska

Alex Spector
VA Medical/Regional Office Center
235 East 8th Avenue
Anchorage, AK 99508-2989
(907) 257-4700

Arizona

Patricia McKlem, Director
Prescott, AZ 86313-5000
(520) 445-4860
(700) 768-7000 FTS

Jonathan Gardner, Director
Tucson, AZ 85723-0001
(520) 792-1450
(700) 765-1450 FTS

John R. Fears, Director
7th Street at Indian School Road
Phoenix, AZ 85012
(602) 277-5551

(700) 761-7000 FTS

Arkansas

Richard Robinson
Fayetteville, AR 72703
(501) 443-4301
(700) 742-5555 FTS

George "Buzz" Gray, Jr., Director
300 East Roosevelt Road
Little Rock, AR 72205
(501) 660-1202
FTS (700) 742-2100

California

Brian O'Neill, MD., Acting
Northern CA Health Care System
2800 Contra Costa Boulevard
Pleasant Hill, CA 94523-3961
(510) 372-2000
(700) 454-2000 FTS

Vacant

VA Southern California System of Clinics West
Los Angeles HCS
Sepulveda, CA 91343-2099
(818) 891-7711
(818) 891-7711 FTS

Vacant

VA Southern California System of Clinics West
Los Angeles HCS
11301 Wilshire Boulevard
West Los Angeles, CA 90073-1002
(310) 478-3711
(700) 748-3711 FTS

Lawrence Stewart, Director
5901 East Seventh Street
Long Beach, CA 90822-5201
(310) 494-2611
(700) 966-2611 FTS

James Goff, Director
VA Palo Alto Health Care system
Livermore Division
Palo Alto Division
Livermore, CA 94550
(510) 447-2560
(700) 467-6489 FTS

Alan S. Perry
2615 East Clinton Avenue
Fresno, CA 93703-2223
(209) 225-6100
FTS (700) 466-5000

Dean R. Stordahl, Director
11201 Benton Street
Loma Linda, CA 90822-5201
(909) 825-7084
(700) 996-2811 FTS

Sheila M. Cullen
4150 Clement Street
San Francisco, CA 94121-1598
(415) 221-4810
(415) 221-4810 FTS

James Goff, Director

VA Palo Alto Health Care System
Livermore Division
Palo Alto Division
3801 Miranda Avenue
Palo Alto, CA 94304-1207
(415) 493-5000
FTS (700) 463-5000

Gary Rossio
3350 La Jolla Village Drive
San Diego, CA 92161-0001
(619) 552-8585
(700) 897-3100 FTS

Colorado

Stuart Collyer, Director
Fort Lyon, CO 81038-5000
(719) 384-3100

Edgar Thorsland, Jr., Director
1055 Clermont Street
Denver, CO 80220-0166
(303) 399-8020
(700) 322-3020 FTS

Kurt Schlegelmich, MD, Director
Grand Junction, CO 81501-6499
(303) 242-0731
(700) 322-0031 FTS

Connecticut

Paul McCool
VA Connecticut Health Care System
Newington Division
West Haven Division
West Spring Street
West Haven, CT 06516
(203) 932-5711
(700) 428-3811 FTS

Paul McCool
VA Connecticut Health Care System
Newington Division
West Haven Division
55 Willard Avenue
Newington, CT 06111
(860) 666-6951
(700) 643-1631 FTS

Delaware

Dexter Dix, Director
1601 Kirkwood Highway
Wilmington, DE 19805
(302) 994-2511
(700) 487-5598 FTS

District of Columbia

Sanford M. Garfunkel
50 Irving Street, N.W.
Washington, DC 20422
(202) 745-8000
(700) 921-8000 FTS

Florida

ZEdward H. Seiler
West Palm Beach, FL 33420-3207
(561) 882-6700
(561) 882-6700 FTS

Appendix IV: Agency Regional and Local Office Addresses

Thomas N. Weaver, Director
Bay Pines, FL 33744
(813) 398-6661
(700) 826-4011 FTS

VA North Florida and South Georgia HCS
Elwood Headley, MD., Director
Archer Road
Gainesville, FL 32608-1197
(325) 376-1611
(700) 947-6113 FTS

Eldwood Headley, MD
Lake City, FL 32055-5898
(904) 755-3016
(700) 947-2400 FTS

Thomas C. Doherty, Director
1201 N.W. 16th Street
Miami, FL 33125
(305) 324-4455
(700) 351-3111 FTS

Richard A. Silver
Bruce B. Downs Boulevard
Tampa, FL 33612
(813) 972-2000
(700) 822-6011 FTS

Georgia

Ellen DeGeorge-Smith
2460 Wrightsboro Road
Augusta, GA 30904-6285
(706) 733-0188
(700) 251-7000 FTS

James Trusley
2460 Wrightsboro Road
Dublin, GA 31021
(912) 272-1210
(700) 258-2000

Robert Perrault, Director
1670 Clairmont Road
Atlanta, GA 30033
(404) 321-6111
FTS (700) 248-6000

Idaho

Wayne C. Tippetts
Fifth and Fort Streets
Boise, ID 83702-4598
(208) 336-5100
(700) 442-1000 FTS

Illinois

Indianapolis
Robert H. Sasin, Acting Director
1481 West 10th Street
Indianapolis, IN 46202-2884
(317) 635-7401
(700) 332-2901 FTS

VA Chicago Health Care System
Vacant
333 East Huron Street
Chicago, IL 60611
(312) 943-6600
(312) 943-6600 FTS

James Jones, Director
Danville, IL 61832-5198
(217) 442-8000
(700) 951-4000 FTS

John J. DeNardo, Director
Hines, IL 60141-5000
(708) 343-7200
(700) 381-2500 FTS

VA Chicago Health Care System
Vacant
820 South Damen Avenue
Chicago, IL 60612
(312) 666-6500
(700) 388-2000 FTS

Alfred S. Pate, Director
North Chicago, IL 60064
(847) 688-1900
(700) 384-4700 FTS

Michael W. Murphy, Ph.D., Director
VA Northern Indiana Health Care System
1600 Randallia Drive
Fort Wayne, IN 46805-5100
(219) 426-5431
(700) 333-5300 FTS

Earl Falast, Director
2401 West Main Street
Marion, IL 62959
(618) 997-5311
(700) 276-0000 FTS

Kansas

Kent Hill
5500 East Kellogg
Wichita, KS 67218
(316) 685-2221
(700) 752-2221 FTS

Kentucky

Helen K. Cornish, Director
Leestown Road
Lexington, KY 40511-1093
(606) 233-4511
(700) 352-4000 FTS

Larry Sander
800 Zorn Avenue
Louisville, KY 40206-1499
(502) 895-3401
(700) 548-5000 FTS

Louisiana

Allen S. Goss, Director
Shreveport Highway
Alexandria, LA 71301
(318) 473-0010
(700) 497-0251 FTS

John D. Church, Jr.
1601 Perdido Street
New Orleans, LA 70146
(504) 568-0811
(700) 682-5811 FTS

Billy Valentine

510 East Stoner Avenue
Shreveport, LA 71101-4295
(318) 221-8411
(700) 493-6411 FTS

Maine

John "Jack" Sims, Director
Route 17 East
Togus, ME 04330
(207) 623-8411
(700) 833-5000 FTS

Maryland

Dennis Smith, Director
VA Maryland Health Care System
Baltimore Division, Ft. Howard Division, Perry
Point, Division
3900 Lock Raven Boulevard
Baltimore, MD 21201
(410) 605-7000
(700) 580-5261 FTS

Massachusetts

Michael Lawson
1400 Veterans of Foreign Wars Parkway
West Roxbury, MA 02401
(508) 583-4500
(700) 885-1000 FTS

William Conte, Director
200 Spring Road
Bedford, MA 01730
(617) 275-7500
(700) 687-2000 FTS

Michael Lawson
150 South Huntington Avenue
Boston, MA 02130
(617) 232-9500
(700) 839-5284 FTS

Bruce A. Gordon, Director
N. Main Street
Northampton, MA 01060-1288
(413) 584-4040
(700) 839-2990 FTS

Michael Lawson, Director
940 Belmont Street
Brockton, MA 02401
(508) 583-4500
(700) 885-1000 FTS

Michigan

Thomas Arnold
Iron Mountain, MI 49801
(906) 779-3150
(700) 779-3300 FTS

Carles B. Lott, Jr., Director
Detroit, MI 48201-1932
(313) 576-1000

Adam Tyllus, Acting Director
1500 Weiss Street
Saginaw, MI 48602-5298
(517) 793-2340
(700) 552-2340 FTS

Appendix IV: Agency Regional and Local Office Addresses

James Rosenborough, Director
2215 Fuller Road
Ann Arbor, MI 48105-2300
(313) 769-7100
(700) 374-5100 FTS

Michael K. Wheeler, Director
5500 Armstrong Road
Battle Creek, MI 49016-0544
(616) 966-5600
(700) 974-5600 FTS

Minnesota

Charles A. Milbrandt, Director
54th Street and 48th Avenue, South
Minneapolis, MN 55417
(612) 725-2000
(700) 780-2000 FTS

Barry T. Bahl
8th Street, North 44th Avenue
St. Cloud, MN 56303
(612) 252-1670
(700) 783-8001 FTS

Mississippi

Julie Catellier
Pass Road
Biloxi, MS 39531
(601) 388-5541
(700) 499-5541 FTS

Richard Miller, Director
1500 East Woodrow Wilson Drive
Jackson, MS 39216
(601) 362-4471
(601) 362-4471 FTS

Missouri

Gary Campbell
800 Stadium Road
Columbia, MO 65201
(573) 443-2511
(700) 276-6020 FTS

Nancy Arnold, R.N.
Highway 67 North
Poplar Bluff, MO 63901
(573) 686-4151
(700) 411-4200 FTS

Linda Kurz, Director
915 North Grand Boulevard
St. Louis, MO 63106
(314) 652-4100
(700) 278-4100 FTS

Linda Kurz, Director (JB)
Jefferson Barracks
St. Louis, MO 63125
(314) 487-0400
(700) 280-0400 FTS

Hugh F. Doran
4801 Linwood Boulevard
Kansas City, MO 64128
(816) 861-4700
(700) 754-1700 FTS

Montana

Richard Stanley, Director
210 South Winchester
Miles City, MT 59301-4798
(406) 232-3060
(700) 584-2000 FTS

Joseph M. Underkofler, Director
Wm. Street and Highway 12 West
Fort Harrison, MT 59636-1500
(406) 447-7900
(700) 585-7410 FTS

Nebraska

(VA Greater Nebraska Health Care System)
David Aspen, Director
2201 North Broad Well
Grand Island, NE 68803-2153
(308) 382-3660
(700) 864-2660 FTS

600 South 70th Street
Lincoln, NE 68510-2493
(402) 489-3802
(700) 865-6011 FTS

John J. Phillips, Director
4101 Woolworth Avenue
Omaha, NE 68105-1873
(402) 346-8800
(700) 860-4000 FTS

Nevada

Ramon J. Reeve, Director
1703 Charleston Boulevard
Las Vegas, NV 89102-2395
(702) 385-3700
(702) 385-3700 FTS

Gary Whitfield, Director
1000 Locust Street
Reno, NV 89520-0111
(702) 786-7200
(700) 520-1379 FTS

New Hampshire - Manchester

Vacant
718 Smyth Road
Manchester, NH 02104
(603) 624-4366
(700) 837-6011 FTS

New Jersey

VA New Jersey Health Care System
Valley and Knollcroft Road
Lyons, NJ 07939
(201) 647-0180
(700) 348-4180 FTS

VA New Jersey Health Care System
Kenneth Mizrach, Director
Tremont Avenue and South Center
East Orange, NJ 07018
(201) 676-1000
(700) 342-1000 FTS

New Mexico

Norman E. Browne

2100 Ridgcrest Drive, S.E.
Albuquerque, NM 87108-5138
(505) 256-2843
(700) 572-1711 FTS

New York

3495 Bailey Avenue
Buffalo, NY 14215
(716) 834-9200
(700) 432-2011 FTS

John J. Donnellan, Jr.
113 Holland Avenue
Albany, NY 12208
(518) 462-3311
(700) 641-3321 FTS

Philip Thomas
Irving Avenue at University Place
Syracuse, NY 13210
(315) 477-7461
(700) 203-2000 FTS

Redfield Parkway
Batavia, NY 14020
(716) 343-7500
(700) 546-7100 FTS

Old Albany Post Road
Montrose, NY 10548
(914) 737-1216
(700) 887-2011 FTS

John Donnellan, Jr., Director
First Avenue at East 24th Street
New York, NY 10010
(212) 951-5959
(700) 686-7500 FTS

W. David Smith, Director
Ft. Hill Avenue
Canandaigua, NY 14424
(716) 394-2000
(700) 952-3000 FTS

Mary A. Dowling
Long Island-Middleville Road
Northport, NY 11768
(516) 261-4400
(700) 663-2000 FTS

Michael Sabo, Director
VA Hudson Valley Health Care System
Castle Point, NY 12511
(914) 831-2000
(700) 882-2000 FTS

Maryanne Musumeci, Director
130 West Kingsbridge Road
Bronx, NY 10468
(718) 584-9000
(700) 884-4000 FTS

William Feeley
Western NY Healthcare System
Buffalo Division
Batavia Division

Vacant
Medical Center
Argonne Avenue

Appendix IV: Agency Regional and Local Office Addresses

Bath, NY 14810
(607) 776-2111
(700) 882-1111 FTS

John J. Donnellan
800 Poly Place
Brooklyn, NY 11209
(718) 630-3521
(700) 442-3500 FTS

North Carolina

James A. Christian, Director
Asheville, NC 28805
(704) 299-7431
(700) 875-5011 FTS

Vacant
1601 Brenner Avenue
Salisbury, NC 28144
(704) 638-9000
(700) 699-2000 FTS

Micheal Phaup
508 Fulton Street
Durham, NC 27705
(919) 286-0411
(700) 671-6011 FTS

Timothy May
2300 Ramsey Street
Fayetteville, NC 28301
(910) 822-7059
(700) 699-7000 FTS

North Dakota

Fargo, ND 58102
(701) 232-3241
(700) 783-3241 FTS

Douglas Kenyon, Director
2101 Elm Street

Ohio

Gary Nugent, Director
3200 Vine Street
Cincinnati, OH 45220
(513) 475-6300
(700) 773-4596 FTS

Steve Cohen, MD., Director
Medical Center Nursing Home and Domiciliary
4100 West 3rd Street
Dayton, OH 45428
(513) 262-2170
(700) 950-2611 FTS

William Montaque, Director
10701 East Boulevard
Cleveland, OH 44106-3800
(216) 791-3800
(700) 290-3800 FTS

Michael Walton, Director
17273 State Route 104
Chillicothe, OH 45601
(614) 773-1141
(700) 975-7111 FTS

Oklahoma

Steve J. Gentling, Director

921 N.E. 13th Street
Oklahoma City, OK 73104
(405) 270-0501
(700) 743-3011 FTS

Alen Colston, Director
125 South Main Street
Muskogee, OK 74401
(918) 683-3261
(700) 745-3011 FTS

Oregon

George Maxwell, Director
New Garden Valley Boulevard
Roseburg, OR 97470-6153
(541) 440-1000
(700) 422-4411 FTS

James Tuchschildt., MD, Director
3710 S.W., U.S. Veterans Hospital Road
Portland, OR 97207-1034
(503) 220-8262
(700) 424-7300 FTS

George H. Andries, Director
Domiciliary
White City, OR 97503-3207
(541) 826-2111
(700) 434-8000 FTS

Pennsylvania

Reedes Hurt, Director
1111 East End Boulevard
Wilkes-Barre, PA 18711-0026
(717) 824-3521
(700) 592-7521 FTS

Gerald L. Williams, Director
Pleasant Valley Boulevard
Altoona, PA 16602-4377
(814) 943-8164
(700) 727-7164 FTS

Michael Sullivan, Director
University and Woodland Avenue
Philadelphia, PA 19104
(215) 823-5800
(700) 481-6007 FTS

Michael Moreland Butler, Director
New Castle Road
Butler, PA 16001-2480
(412) 287-4781
(700) 721-4781 FTS

VA Pittsburgh Health Care System
Highland Drive
Pittsburgh, PA 15206
(412) 363-4900
(412) 365-4900 FTS

Charlene Szaso
South Lincoln Avenue
Lebanon, PA 17042
(717) 272-6621
(700) 592-4621 FTS

Gary W. Devansky, Director
Coatesville, PA 19320
(610) 384-7711

(700) 695-7711 FTS

VA Pittsburgh Health Care System
Thomas A. Cappello, Director
University Drive
Pittsburgh, PA 15240
(412) 688-6000

(700) 688-6000 FTS

Steve Lucas, Director
135 East 38th Street
Erie, PA 16504
(814) 868-8661
(700) 726-6200 FTS

Puerto Rico

James Palmer, Director
Barrio Monacillos
Rio Piedras, PR 00927-5800
(787) 758-7575
(787) 766-5564 FTS

Rhode Island

Vacant
380 Westminster Mall
Providence, RI 02908-4799
(401) 273-7100
(700) 838-3483 FTS

South Carolina

R. John Vogel, Director
109 Bee Street
Charleston, SC 29401-5799
(803) 577-5011
(700) 259-7000 FTS

Brian Heckert, Director
1801 Assembly Street
Columbia, SC 29201-1639
(803) 776-4000
(700) 677-6011 FTS

South Dakota

Kenneth L. Mulholland, Director
1030 Jefferson Avenue
Memphis, TN 38104-2193
(901) 523-8990
(700) 222-5110 FTS

R. Vincent Crawford, Director
2501 West 22nd Street
Sioux Falls, SD 57117
(605) 336-3230
(700) 789-6665 FTS

VA Black Hills Health Care System
Peter P. Henry, Director
I-90 and Highway 34
Fort Meade, SD 57741
(605) 745-2000
(700) 789-2000 FTS

Richard Citron, Acting Director
3400 Lebanon Road
Murfreesboro, TN 37129-1236
(615) 893-1360
(700) 852-3000 FTS

Carl J. Gerber, M.D.

Appendix IV: Agency Regional and Local Office Addresses

Mountain Home, TN 37684
(423) 926-1171
(700) 854-7341 FTS

William Mountcastle, Director
1310 24th Avenue, South
Nashville, TN 37212-2637
(615) 327-4751
(615) 327-4751 FTS

VA Black Hills Health Care System
Peter P. Henry, Director
5th Street
Hot Springs, SD 57757
(605) 745-2000
(700) 789-2000 FTS

Texas

Bryan Jaqua, Director
5919 Brook Hollow Drive
El Paso, TX 79925
(915) 564-6100

Dean S. Billik, Director
VA Central Texas Veterans Health Care System
1901 South First Street
Temple, TX 76504
(817) 778-4811
(700) 760-4811 FTS

Vacant

Sam Rayburn Memorial Veterans Center
Bonham, TX 75418
(903) 583-2111
(700) 760-6200 FTS

Wallace M. Hopkins, Director
6010 Amarillo Boulevard, West
Amarillo, TX 79106
(806) 355-9703
(700) 735-7711 FTS

Cary Brown, Director
2400 South Gregg Street
Big Spring, TX 79720
(915) 263-7361
(700) 728-7000 FTS

Jose R. Coronado, Director
VA South Texas Veterans Health Care System
7400 Merton Minter Boulevard
San Antonio, TX 78284
(210) 617-5300
(700) 779-5011 FTS

Alan G. Harper, Director
4500 South Lancaster Road
Dallas, TX 75216
(214) 376-5451
(700) 749-5011 FTS

David Whatley, Director
2002 Holcombe Boulevard
Houston, TX 77030
(713) 479-1414
(700) 528-7000 FTS

Jose R. Coronado, Director
VA South Texas Veterans Health Care System
Memorial Boulevard

Kerrville, TX 78028
(210) 896-2020
(700) 491-2000 FTS

Dean Billik
See VA Central Texas Veterans Health Care System
1016 Ward Street
Marlin, TX 76661
(817) 883-3511
(700) 540-4998 FTS

Vacant
See VA Central Texas Veterans Health Care System
4800 Memorial Drive
Waco, TX 76711
(817) 752-6581
(700) 734-6000 FTS

Utah

James Floyd, Director
500 Foothill Boulevard
Salt Lake City, UT 84148-0001
(801) 582-1565
(700) 539-1565 FTS

VA Central Iowa Health Care System

Donald Cooper
1515 West Pleasant Street
Knoxville, IA 50138-3399
(515) 842-3101
(700) 861-6000 FTS

VA Central Iowa Healthcare System

Gary L. Wilkinson, Director
Highway 6 West
Iowa City, IA 52246-2208
(319) 338-0581
(700) 863-6011 FTS

Donald Cooper
30th and Euclid Avenue
Des Moines, IA 50310-5774
(515) 699-5999
(700) 862-5173 FTS

VA Eastern Kansas Health Care System

Edgar L. Tucker, Director
2200 Gage Boulevard
Topeka, KS 66622
(913) 272-3111
(700) 277-4336 FTS

Edgar L. Tucker, Director
4201 S. 4th Street Traffic Way
Leavenworth, KS 66048
(913) 682-2000
(700) 758-0000 FTS

VA Northern Indiana Health Care System

Michael W. Murphy, Ph.D (Director)
46952 East 38th Street
Marion, IN 46953-4589
(317) 674-3321
(700) 364-3011 FTS

Vermont

Gary M. DeGasta, Director
White River Junction, VT 05001-0001
(802) 295-9363
(700) 829-6000 FTS

Virginia

James W. Dudley, Director
1201 Broad Rock Road
Richmond, VA 23249
(804) 230-0001
(804) 230-0001 FTS

Steven Lemmons, Director
1970 Roanoke Boulevard
Salem, VA 24153
(540) 982-2463
(700) 937-2021 FTS

Betty Story, Ph.D., Director
Emancipation Drive
Hampton, VA 23667
(804) 722-9961
(700) 959-3100 FTS

Washington

Timothy Williams, Director
VA Puget Sound Health Care System
American Lake, WA 98493
(206) 762-1010
(700) 396-1010 FTS

Vacant

77 Wainwright Drive
Walla Walla, WA 99362-3975
(509) 525-5200
(700) 434-2000 FTS

Timothy Williams, Director
VA Puget Sound Health Care System
1660 South Columbian Way
Seattle, WA 98108
(206) 762-1010
(700) 396-1010 FTS

Joseph Manley, Director
North 4816 Assembly Street
Spokane, WA 99205-6197
(509) 328-4521
(700) 327-4521 FTS

West Virginia

David Pennington, Director
1540 Spring Valley Drive
Huntington, WV 25704
(304) 429-6741
(700) 924-2411 FTS

George M. Moore, Jr., Director
Route 9
Martinsburg, WV 25401-9809
(304) 263-0811
(700) 940-0811 FTS

Gerald Husson, Director
200 Veterans Avenue
Beckley, WV 25801
(304) 255-2121
(700) 924-4011 FTS

Appendix IV: Agency Regional and Local Office Addresses

Michael Neusch, Director
Milford/Chestnut Streets
Clarksburg, WV 26301
(304) 623-3461
(700) 923-3461 FTS

Wisconsin

Nathan Geraths, Director
2500 Overlook Terrace
Madison, WI 53705
(608) 256-1901
(700) 364-1901 FTS

Glen Grippen, Director
5000 West National Avenue
Milwaukee, WI 53295
(414) 384-2000
(700) 383-1011 FTS

Stanley Q. Johnson, Director
Tomah, WI 54660
(608) 372-3971
(700) 769-6011 FTS

Wyoming

Richard Fry, Director
2360 West Pershing Boulevard
Cheyenne, WY 82001-5392
(307) 778-7300
(700) 328-7550 FTS

Maureen Humphreys, Director
Fort Road
Sheridan, WY 82801-8320
(307) 672-1675
(700) 329-3000 FTS

VETERANS BENEFITS ADMINISTRATION

NATIONAL MINE HEALTH AND SAFETY
ACADEMY

Alabama

VA Regional Office
345 Perry Hill Road
Montgomery, AL 36109-3798

Alaska

VA Outpatient Clinic and Regional Office
2925 DeBarr Road
Anchorage, AK 99508-2989

Arizona

VA Regional Office
3225 North Central Avenue
Phoenix, AZ 85012-2405

Arkansas

VA Regional Office
Building 65, Ft. Roots
P.O. Box 1280
North Little Rock, AR 72115-1280

California

VA Regional Office
8810 Rio San Diego Drive
San Diego, CA 92108-1508

VA Regional Office
Oakland Federal Building
1301 Clay Street
Oakland, CA 94612-5209

VA Regional Office
Federal Building
11000 Wilshire Boulevard
Los Angeles, CA 90024-3602

Colorado

VA Regional Office
155 Van Gordon Street
Lakewood, CO 80228-1709

Connecticut

VA Regional Office
450 Main Street
Hartford, CT 06103-3077

Delaware

VA Medical and Regional Office Center
1601 Kirkwood Highway
Wilmington, DE 19805-4988

District of Columbia

VA Regional Office
1120 Vermont Avenue, N.W.
Washington, DC 20421-1111

Florida

VA Office
312 Kenmore Road, Room IG250
Pensacola, FL 32503-7492

VA Office
Federal Building
51 S.W., 1st Avenue, Room 120
Miami, FL 33130

VA Regional Office
9500 Bay Pines Boulevard
P.O. Box 1437
St. Petersburg, FL 33708

VA Office
1833 Boulevard, Room 3109
Jacksonville, FL 32206

VA Office
5201 Raymond Street, Room 1704
Orlando, FL 32806

Georgia

VA Regional Office
1700 Clairmont Road
Decatur, GA 30033-4032

Hawaii

VA Outpatient Clinic and Regional Office
PJKK Federal Building
300 Ala Moana Boulevard
P.O. Box 50188
Honolulu, HI 96850

Idaho

VA Regional Office
805 West Franklin Street
Boise, ID 83702-5560

Illinois

VA Regional Office
536 South Clark Street
P.O. Box 8136
Chicago, IL 60605-1523

Indiana

VA Regional Office
575 North Pennsylvania Street
Indianapolis, IN 46204-1526

Iowa

VA Regional Office
210 Walnut Street, Room 1063
Des Moines, IA 50309-9825

Kansas

VA Medical and Regional Office Center
5500 East Kellogg
Wichita, KS 67218-1698

Kentucky

VA Regional Office
545 South Third Street
Louisville, KY 40202-3835

Louisiana

VA Regional Office
701 Loyola Avenue, Room 4210
New Orleans, LA 70113-1912

Maine

VA Office
475 Stevens Avenue
Building 248, Room 103
Portland, ME 04103

VA Medical and Regional Office Center
1 VA Center
Togus, ME 04330-6795

Maryland

VA Regional Office
Federal Building
31 Hopkins Plaza, Room 233
Baltimore, MD 21201-0001

Massachusetts

VA Regional Office
John F. Kennedy Federal Building
Government Center, Room 1265
Boston, MA 02203-0393

Michigan

VA Regional Office
Patrick V. McNamara
Federal Building
477 Michigan Avenue, Room 1400
Detroit, MI 48226-2591

Minnesota

VA Regional Office and Insurance Center
Federal Building, Fort Snelling
St. Paul, MN 55111-4050

Mississippi

Appendix IV: Agency Regional and Local Office Addresses

VA Regional Office
1600 E. Woodrow Wilson Avenue
Jackson, MS 39216-5102

Missouri

VA Office
Federal Office Building
601 East 12th Street, Room 120
Kansas City, MO 64106-0199

VA Regional Office
400 South 18th Street
St. Louis, MO 63103-2676

Montana

VA Medical and Regional Office Center
Williams Street
Fort Harrison, MT 59636-9999

Nebraska

VA Regional Office
5631 South 48th Street
Lincoln, NE 68516-4198

Nevada

VA Regional Office
1201 Terminal Way
Reno, NV 89520-0118

New Hampshire

VA Regional Office
Norris Cotton Federal Building
275 Chestnut Street
Manchester, NH 03101-2489

New Jersey

VA Regional Office
20 Washington Place
Newark, NJ 07102-3174

New Mexico

VA Regional Office
Dennis Chavez Federal Building
U.S. Courthouse
500 Gold Avenue, S.W.
Albuquerque, NM 87102-3118

New York

VA Office
Leo W. O'Brien Federal Building
Clinton Avenue and N. Pearl Street
Albany, NY 12207

VA Regional Office
245 West Houston Street
New York, NY 10014-4805

VA Office
344 West Genesee Street
Syracuse, NY 13202

VA Regional Office
Federal Building
111 West Huron Street
Buffalo, NY 14202-2368

VA Office
Federal Office Building and Courthouse
100 State Street

Rochester, NY 14614
(800) 827-0619

North Carolina

VA Regional Office
Federal Building
251 North Main Street
Winston-Salem, NC 27155-1000

North Dakota

VA Medical and Regional Office Center
2101 Elm Street
Fargo, ND 58102-2417

Ohio

VA Regional Office
Anthony J. Celebrezze Federal Building
1240 East Ninth Street
Cleveland, OH 44199-2001

VA Office
Federal Building, Room 309
200 North High Street
Columbus, OH 43215

VA Office
801-B W. 8th Street
Cincinnati, OH 45203-2001

Oklahoma

VA Office
215 Dean A. McGee Avenue, Room 276
Oklahoma City, OK 73102

VA Regional Office
Federal Building
125 South Main Street
Muskogee, OK 74401-7025

Oregon

VA Regional Office
Federal Building
1220 S.W. Third Avenue, Room 1217
Portland, OR 97204-2825

Pennsylvania

VA Office
1111 East End Boulevard
Wilkes-Barre, PA 18711

VA Regional Office and Insurance Center
P.O. Box 8079
5000 Wissahickon Avenue
Philadelphia, PA 19101-8079

VA Regional Office
1000 Liberty Avenue
Pittsburgh, PA 15222-4004

Philippines

VA Regional Office & Outpatient Clinic
Manila Regional Office
FPO, AP 96515-1100

Puerto Rico

VA Regional Office
U.S. Courthouse and Federal Building
Carlos E. Chardon Street
Hato Rey

GPO Box 364867
San Juan, PR 00936-4867
(809) 766-5510

Rhode Island

VA Regional Office
380 Westminster Mall
Providence, RI 02903-3246

South Carolina

VA Regional Office
1801 Assembly Street
Columbia, SC 29201-2495

South Dakota

VA Medical and Regional Office Center
P.O. Box 5046
2501 West 22nd Street
Sioux Falls, SD 57117-5046

Tennessee

Regional Office
U.S. Courthouse Federal Office Building
110 9th Avenue, South
Nashville, TN 37203-3817

Texas

VA Regional Office
6900 Alameda Road
Houston, TX 77030-4200

VA Office
4902 34th Street, Suite 10, Room 134
Lubbock, TX 79410-0001

VA Office
U.S. Courthouse and Federal Office Building
1114 Commerce Street
Dallas, TX 75242

VA Office
3601 Bluemel Road
San Antonio, TX 78229-2041

VA Regional Office
One Veteran Plaza
701 Clay Avenue
Waco, TX 76799-0001

Utah

VA Regional Office
Federal Building
P.O. Box 11500
125 South State Street
Salt Lake City, UT 84147-0500

Vermont

VA Medical and Regional Office Center
215 N. Main Street
White River Junction, VT 05009-0001

Virginia

VA Regional Office
210 Franklin Road, S.W.
Roanoke, VA 24011-2204

Washington

VA Regional Office

Appendix IV: Agency Regional and Local Office Addresses

Federal Building
915 2nd Avenue
Seattle, WA 98174-1060

West Virginia

VA Regional Office
640 Fourth Avenue
Huntington, WV 25701-1340

Wisconsin

VA Regional Office
Building 6
5000 West National Avenue
Milwaukee, WI 53295-0006

Wyoming

VA Office
2360 East Pershing Boulevard
Cheyenne, WY 82001-5356

The Veterans Benefits Administration provides toll-free telephone service throughout the 50 States, Washington, DC and Puerto Rico. The toll-free telephone number is (800) 827-1000.

ENVIRONMENTAL PROTECTION AGENCY

REGIONAL OFFICES

Region II

Grants Administration Branch
Rudnell O'Neal
Grants Administration Branch
New York, NY 10007 United States
(212) 637-3427

Headquarters

Grants
Grants Management Branch
EPA- Jill Young
1300 Pennsylvania Avenue, N.W.
M/C-3903-R
Washington, DC 20460 United States
202-564-1342

Region I

Grants
(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
Cheryl Scott
1 Congress Street
Suite 1100, Mail Code MGM
Boston, MA 02114-2023 United States
(617) 918-1972(617) 918-1929 FAXUse the same number for FTS

Region II

(New Jersey, New York, Puerto Rico, Virgin Islands)
Donna Vivian-McCabe, Chief
Grants Administration Branch, (OPM-GRA)
290 Broadway
New York, NY 10007-1866
(212) 637-3402
(212) 637-3518 FAX
Use the same number for FTS

Region III

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
Robert G. Reed
Grants Management Section
Office of the Comptroller, (3PM70)
1650 Arch Street
Philadelphia, PA 19103-2029
(215) 814-5410
(215) 814-5271 FAX

Region IX

(Arizona, California, Hawaii, Nevada, America Samoa, Guam, Trust Territories of Pacific Islands, Wake Island)
Melinda Taplin, Chief
75 Hawthorne Street
Grants and Finance Branch
San Francisco, CA 94105
(415) 744-1693
FTS 744-1678

Region V

Grants
(Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
Sharon Green Acquisition and Assistance Branch (MC-10J)
77 W. Jackson Boulevard
Chicago, IL 60604-3507 United States
(312) 886-2400(312) 353-9096 FAX

Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
First International Building
Brenda Durden, Chief
1445 Ross Avenue
Grants Audit Section (6M-PG)
Management Division
Dallas, TX 75202-2733 United States
(214) 665-6510(214) 665-7284 FAX

Region VII

(Iowa, Kansas, Missouri, Nebraska)
Debbie Titus
901 North 5th Street
Grants Administration Branch
Kansas City, KS 66101 United States
(913) 551-7346(913) 551-7579 FAXUse the same number for FTS

Region VIII

(Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)
Wayne Anthofer
Grants Administration Branch, 8PM-GFM
999 18th Street, Suite 500
Denver, CO 80202-2466
(303) 312-6305
(303) 312-6685 FAX

Region X

Michael Gearhead, Director
Office of Environmental Cleanup

1200 6th Avenue
Seattle, WA 98101
(206) 553-7151

(Alaska, Idaho, Oregon, Washington)
Deborah Flood, Manager
Grants Administration Unit
1200 6th Avenue
Seattle, WA 98101
(206) 553-2722
(206) 553-4957 FAX

Branch

EPA-Region-10 - Paula VanHaagen
1200 Sixth Avenue
M/C-OMP-173
Seattle, WA 98101 United States
206-553-6977

Grants

EPA-New England - Cheryl Scott
5 Post Office Square
Boston, MA
M/C - OARM05-5
Boston, MA 03109-3912 United States
617-981-1174

Grants

EPA - Region 5 - Sharon Green
77 West Jackson Boulevard
M/C - 105
Chicago, IL 60604-3507 United States
312-353-5661

Grants

EPA-Lenexa, KS - Debbie Titus
11201 Renner Blvd.
M/C - PLMGGGRMS
Lenexa, KS 66219 United States
913-551-7712

Grants

EPA Region-4 - Keva Lloyd
61 Forsyth Street
M/C-9T 25
Atlanta, GA 30303-8960 United States
404-562-8420

Grants

EPA-Region 9 - Craig Wills
75 Hawthorne Street
M/C - EMD-6-1
San Francisco, CA 94105 United States
415-972-3663

Grants

EPA -Region-2 - Rudnell O'Neal
290 Broadway
M/C-27th FL
New York, NY 10007-1866 United States
212-637-3427

Grants

Fellowships, IAs & SEEs
EPA - Tony Fournier
1300 Pennsylvania Avenue, N.W.,
MC/3093-R
Washington, DC 20460 United States
202-564-1021

Appendix IV: Agency Regional and Local Office Addresses

Grants
EPA-LVFC - Dany Levergne
4220 South Maryland Parkway
Bldg. C - Suite 503
M/C - LVFC
Las Vegas, NV 89119 United States
702-798-2483

Grants
EPA-Region 3 - Lisa White
1650 Arch Street
Philadelphia, PA
M/C - 3PM70
Philadelphia, PA 19103-2029 United States
215-814-2391

Grants
EPA-Region 6- Donna Miller
1445 Ross Avenue
Suite 1200
M/C-6MD
Dallas, TX 75202-2733 United States
214-665-8093

Grants
Grants and IAG Management Div
EPA-Mike Osinski
1300 Pennsylvania Ave
3903R
Washington, D.C. 20460
Washington, DC 20460 United States
2025643792

Grants
EPA -Region-8 - James Hageman
1595 Wynkoop Street
M/C-R08-OTMS
Denver, CO 80202-1129 United States
303-312-6005

COMMODITY FUTURES TRADING COMMISSION

California
(Western Region)
10900 Wilshire Boulevard, Suite 400
Los Angeles, CA 90024
(310) 235-6783
Use the same number for FTS

Illinois
(Central Region)
300 South Riverside Plaza, Suite 1600 North
Chicago, IL 60606
(312) 353-9000
Use the same number for FTS

Minnesota
(Central Region Sub Office)
510 Grain Exchange Building
Minneapolis, MN 55415
(612) 370-3255
Use the same number for FTS

Missouri
(Southwestern Region)
4900 Main Street, Suite 721

Kansas City, MO 64112
(816) 931-7600 Use the same number for FTS

New York
(Eastern Region)
One World Trade Center, Suite 3747
New York, NY 10048
(212) 466-2071
Use the same number for FTS

DEPARTMENT OF ENERGY

REGIONAL OFFICES

Colorado
Western Area Power Administration
PO Box 281213
Lakewood, CO 80228-2802 United States
720-962-7160

Golden Field Office
Energy Efficiency & Renewable Energy
Golden Field Office
15013 Denver West Parkway
Golden, CO 80401 United States
240-562-1800

Idaho
Idaho Operations Office
850 Energy Drive (MS-1221)
Idaho Falls, ID 83401-1563 United States
(208) 526-5277

Illinois
Chicago Operations Office
9800 South Cass Avenue
Argonne, IL 60439 United States
(630) 252-2339

Nevada
Office of Civilian Radioactive Waste Management

1551 Hillshire Drive
Las Vegas, NV 89143-6321 United States
702-794-1301

Ohio
Environmental Management Consolidated
Business Center (EMCBC)
Ohio Field Office
250 E. Fifth Street, Suite 500
Cincinnati, OH 45202 United States
513-246-0550

Tennessee
Office of Science
Oak Ridge Office
P.O. Box 2001
Oak Ridge, TN 37831 United States
(865) 576-0795

Washington
Richland Operations Office
825 Jadwin Avenue
P.O. Box 550, A7-80
Richland, WA 99352 United States
(509) 376-7271

West Virginia and Pennsylvania

National Energy Technology Laboratory
3610 Collins Ferry Road (MS-002)
P.O. Box 880
Morgantown, WV 26507-0880 United States
412-386-6073

SERVICE CENTER

New Mexico

National Nuclear Security Administration (NNSA)
Service Center
National Nuclear Security Administration (NNSA)
Service Center
P.O. Box 5400
Albuquerque, NM 87185-5400 United States
(505) 845-4745

South Carolina

Savannah River Operations Office
P.O. Box A
Aiken, SC 29802 United States
(803) 952-9345
Office of Headquarters Procurement Services
1000 Independence Ave, SW
Washington, DC 20585 United States
202-287-1500

DEPARTMENT OF EDUCATION

RESEARCH HEADQUARTERS

Region I

Jan Pashcal
McCormack P.O. and Courthouse
Room 540
Boston, MA 02109
(617) 223-9317

Region II

John Mahoney
75 Park Place, 12th Floor
New York, NY 10007
(212) 637-6283

Region III

Wilson Goode
100 Penn Square East, Suite 505
Philadelphia, PA 19107
(215) 656-6010

Region IV

Stan Williams
61 Forsyth Street S.W., Room 19T40
Atlanta, GA 30303
(404) 562-6225

Region IX

Loni Hancock
50 United Nations Plaza, Room 205
San Francisco, CA 94102-4987
(415) 437-7520

Region V

Stephanie Jones
111 North Canal Street, Room 1094

Appendix IV: Agency Regional and Local Office Addresses

Chicago, IL 60606
(312) 553-8192

Region VI

Sally Cain
1999 Bryan Street, Suite 2700
Dallas, TX 75201-6817
(214) 880-3011

Region VII

Sandra Walker
10220 North Executive Hills Boulevard, Suite 720
Kansas City, MO 64153-1367
(816) 880-4000

Region VIII

Lynn Simons
Federal Regional Office Building
1244 Speer Boulevard, Room 310
Denver, CO 80204-3582
(303) 844-3544

Region X

Carla Nuxoll
915 Second Avenue, Room 3362
Seattle, WA 98174-1099
(206) 220-7800

Eastern Zone Regions I, II, III, IV, V

Peter Wieczorek
McCormack P. O. and Courthouse, Room 536
Boston, MA 02109-4557
(617) 223-9321

Federal Real Property Assistance Program

David B. Hakola, Office of Administrator for
Management Services, Director for the Western
Zone (VI, VII, VIII, IX, X)
400 Maryland Avenue, S.W., Room 2C107
Washington, DC 20202
(202) 401-0506

Region I

Mr. John J. Szufnarowski
McCormack P.O. and Courthouse, Room 232
Boston, MA 02109-4557
(617) 223-4085

Mr. David C. Bayer, Regional Director (I-III)
5 Post Office Square, MS 01-0070
McCormack P.O. and Courthouse, Room 502
Boston, MA 02109
(617) 223-9328

Region II

Mr. John J. Szufarowski, Acting
75 Park Place, 12th Floor
New York, NY 10007
(212) 264-4016

Mr. Robert J. McKiernan, Chief
Institutional Review Branch
75 Park Place, 12th Floor
New York, NY 10007
(212) 637-6423

Region III

Dr. Ralph Pacinelli
100 Penn Square East, Suite 512

Nancy Klingler, Acting Regional Director
3535 Market Street, Room 16200, MS 03-2080
Philadelphia, PA 19104
(215) 596-1018

Region IV

Dr. Ralph Pacinelli
61 Forsyth Street, S.W., Room 18T91
Atlanta, GA 30303
(404) 562-6330

Ms. Judith G. Brantley, Acting Regional Director
P.O. Box 1692
Atlanta, GA 30303
(404) 331-0556

Region IX

Mr. Gilbert (Doc) Williams
Federal Office Building
50 United Nations Plaza, Room 215
San Francisco, CA 94102
(415) 437-7840

Ms. Jane Bryson, Regional Director (VIII-X)
50 United Nations Plaza, Room 227, MS 09-8080
San Francisco, CA 94102-4987
(415) 556-8382

Region V

Dr. Douglas L. Burleigh
10220 N. Executive Hills Boulevard
Kansas City, MO 64153-1367
(816) 880-4107

Mr. Douglas Parrott, Chief
401 South State Street, Room 700-D, MS 05-4080
Chicago, IL 60605
(312) 353-0375

Region VI

Mr. Loerance Deaver
1999 Bryan Street, Room 2740
Dallas, TX 75202
(214) 808-4927

W. Carl Hammack, Regional Director (IV-VII)
1200 Main Tower Building, Room 2150, MS
06-5080
Dallas, TX 75202
(214) 767-3811

Region VII

Douglas Burleigh
111 N. Canal Street, Suite 510
Chicago, IL 60606
(816) 880-4107

Mr. Steve Dorssom, Chief
Institutional Review Branch
10220 North Executive Hills Boulevard, 9th Floor
Kansas City, MO 64153
(816) 880-4054

Region VIII

Mr. Harry Shriver, Chief
Institutional Review Branch

1244 Speer Boulevard, Room 322
Denver, CO 80204
(303) 844-3676

Mr. Loerance Deaver
Harwood Center
1999 Byran Street
Dallas, TX 75201-6817
(214) 880-4927

Region X

Susan Bowder, Regional Director
1000 Second Avenue, Room 1200
Seattle, WA 98174-1099
(206) 287-1770

Richard Corbridge, Assistant Regional
Commissioner
915 Second Avenue, Room 2848
Seattle, WA 98174-1099
(206) 220-7840

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

Alaska

Candace Lein-Hayes, Regional Liaison
National Archives and Records Administration
Pacific Alaska Region (Anchorage)
654 West 3rd Avenue
Anchorage, AK 99501-2145 United States
(907) 261-7820 FAX (907)261-7813

Arkansas

Terri Garner, Director
Clinton Presidential Library
1200 President Clinton Avenue
Little Rock, AR 72201 United States
(501) 255-2884

California

R. Duke Blackwood, Director
Ronald Reagan Library
40 Presidential Drive
Simi Valley, CA 93065-0600 United States
(800) 410-8354

California-San Francisco

David Drake, Regional Liaison
National Archives and Records Administration
Pacific Region (San Francisco)
1000 Commodore Drive
San Bruno, CA 94066-2350 United States
(650) 238-3500 FAX: (650) 238-3511

Colorado

Barbara Voss, Regional Liaison
National Archives and Records Administration
Rocky Mountain Region
Denver Federal Center
Building 48
W. 6th Avenue & Kipling Street
P.O.Box 25307
Denver, CO 80225-0307 United States
(303) 407-5700 FAX: (303) 407-5704

District of Columbia

Appendix IV: Agency Regional and Local Office Addresses

Archives I Research Support Branch
Customer Services Division
Diane Dimkoff
700 Pennsylvania Avenue, NW
Washington, DC 20408 United States
(202) 357-5225

Georgia

Jay E. Hakes, Director
Jimmy Carter Library
441 Freedom Parkway
Atlanta, GA 30307-1498 United States
(404) 865-7100

Jim McSweeney, Regional Liaison
NARA's Southeast Region
4712 Southpark Blvd.
Ellenwood, GA 30294 United States
(770)968-2100 FAX (770)968-2547

Jim McSweeney, Regional Liaison
NARA's Southeast Region
5780 Jonesboro Road
Morrow, GA 30260 United States
(770)968-2100 FAX (770)968-2547

Illinois

Denis Paskauskas, Acting Regional Liaison
National Archives and Records Administration
Great Lakes Region (Chicago)
7358 South Pulaski Road
Chicago, IL 60629-5898 United States
(773) 948-9001 FAX (773) 948-9059

Iowa

Thomas Schwartz, Director
Herbert Hoover Library
210 Parkside Drive
P.O. Box 488
West Branch, IA 52358-0488 United States
(319) 643-5301

Kansas

Karl Weissenbach, Director
Dwight D. Eisenhower Library
200 S.E. 4th Street
Abilene, KS 67410-2900 United States
(785) 263-6700

Lenexa, Kansas

Theresa Cejka, Regional Liaison
Central Plains Region
17501 W. 98th Street
Suite 31-50
Lenexa, KS 66219 United States
(913)563-7600 FAX: (913) 825-7822

Maryland

Archives II Research Support Branch

Vernon Smith
National Archives and Records Administration
8601 Adelphi Road
College Park, MD 20740-6001 United States
(301) 837-3008

Massachusetts

Tom Putnam, Director
John F. Kennedy Library
Columbia Point
Boston, MA 02125-3398 United States
(617) 514-1569

Massachusetts-Boston

Diane LeBlanc, Regional Liaison
National Archives and Records Administration
Northeast Region (Boston)
380 Trapelo Road
Waltham, MA 02452-6399 United States
(781) 663-0130 FAX (781)663-0154

Massachusetts-Pittsfield

Diane LeBlanc, Regional Liaison
National Archives and Records Administration
Northeast Region (Pittsfield)
10 Conte Drive
Pittsfield, MA 01201-8230 United States
(413) 236-3600 FAX (413)236-3609

Michigan

Elaine Didier, Director
Gerald R. Ford Library
1000 Beal Avenue
Ann Arbor, MI 48109-2114 United States
(734) 205-0566

Elaine Didier, Director
Gerald R. Ford Museum
303 Pearl Street, N.W.
Grand Rapids, MI 49504-5353 United States
(616) 254-0400

Missouri

Michael Devine, Director
Harry S Truman Library
500 West U.S. Highway 24
Independence, MO 64050-1798 United States
(816) 268-8200

Missouri-Kansas City

Theresa Cejka, Regional Liaison
National Archives and Records Administration
Central Plains Region (Kansas City)
400 W. Pershing Road
Kansas City, MO 64108 United States
(816) 268-8000 FAX (816)268-8038

Missouri-Lee's Summit

Theresa Cejka, Regional Liaison
Central Plains Region (Lee's Summit)
200 Space Center Drive
Lee's Summit, MO 64064-1182 United States
(816) 268-8100 FAX (816) 268-8160

Missouri-St. Louis, Civilian Records

Kimberly Gentile, Assistant Director
National Archives and Records Administration
National Personnel Records Center (Civilian
Personnel Records)
1411 Boulder Boulevard
Valmeyer, IL 62295 United States
(314) 801-9250 FAX: (314) 801-9269

New York

Lynn Bassanese, Acting Director
Franklin D. Roosevelt Library
4079 Albany Post Road
Hyde Park, NY 12538-1999 United States
(845) 486-7770

Diane LeBlanc, Regional Liaison
National Archives and Records Administration
Northeast Region (New York City)
201 Varick Street
New York, NY 10014-4811 United States
(212) 401-1620 FAX (212) 401-1638

Ohio

Denis Paskauskas, Acting Regional Liaison
National Archives and Records Administration
Great Lakes Region
3150 Springboro Road
Dayton, OH 45439-1883 United States
(937) 425-0600 FAX (937)425-0650

Denis Paskauskas, Acting Regional Liaison
NARA's Great Lakes Region
8801 Kingsridge Drive
Miamisburg, OH 45458 United States
(937)425-0601 FAX (937)425-0650

Pennsylvania

V. Chapman Smith, Regional Liaison
National Archives and Records Administration
Mid Atlantic Region
14700 Townsend Road
Philadelphia, PA 19154-1096 United States
(215) 305-2000 FAX (215) 606-0116

V. Chapman Smith, Regional Liaison
National Archives and Records Administration
Mid Atlantic Region
900 Market Street
Philadelphia, PA 19107-4292 United States
(215) 606-0100 FAX (215)606-0116

Perris, California

David Drake, Regional Liaison
NARA's Pacific Region-San Francisco
23123 Cajalco Road
Perris, CA 92570 United States
(951) 956-2000 FAX: (951) 956-2009

Seattle, Washington

Candace Lein-Hayes, Regional Liaison
6125 Sand Point Way NE
Seattle, WA 98115-7999 United States
(206)336-5115

St. Louis, MO (Archival Programs)

Bryan McGraw, Assistant Director
NARA's National Personnel Records Center
Archival Programs Division
1 Archives Drive
St. Louis, MO 63138-1002 United States
(314) 801-0800 FAX: (314) 801-9195

St. Louis, Missouri

Scott Levins, Director
NARA's National Personnel Records Center
1 Archives Drive

Appendix IV: Agency Regional and Local Office Addresses

St. Louis, MO 63138-1002 United States
(314) 801-0800 FAX: (314) 801-0605

Texas

Mack Updegrave, Director
Lyndon B. Johnson Library
2313 Red River Street
Austin, TX 78705-5702 United States
(512) 721-0200

Preston Huff, Regional Liaison
National Archives and Records Administration
Southwest Region
1400 John Burgess Drive
Ft. Worth, TX 76140 United States
(817) 831-5620 FAX: (817) 568-9165

Texas - George Bush Library

Warren Finch, Director
1000 George Bush Drive West
College Station, TX 77845 United States
(979) 691-4000

Washington National Records Center

Judith Barnes
Reference Service Branch
Washington, DC 20409-0002 United States
(301) 457-7000

Washington, D.C.

Nancy Keagan Smith
Presidential Materials Staff
700 Pennsylvania Avenue N.W.
Room 104
Washington, DC 20408-0001 United States
(202) 501-5705

Yorba Linda, California

Timothy Naftali, Director
Nixon Presidential Library
18001 Yorba Linda Blvd.
Yorba Linda, CA 92886 United States
(714) 983-9120

Alan Lowe, Director
George W. Bush Library
1725 Lakepointe Drive
Lewisville, TX 75057 United States
972-353-0530

Archives I Research Room Support Branch
JoAnn Williamson
National Archives and Records Administration
700 Pennsylvania Avenue N.W.
Washington, DC 20408 United States
(202) 501-5403

DEPARTMENT OF HEALTH AND HUMAN SERVICES

FOOD AND DRUG ADMINISTRATION CENTERS FOR DISEASE CONTROL AND PREVENTION

Mildred Gerner, Grants Management Officer
2920 Brandywine Road, Room 3000
Atlanta, GA 30341 United States

(770) 488-2730

Mr. Jim Holt, Deputy Director
1600 Clifton Road NE
Atlanta, GA 30333
(770) 488-5269

Aberdeen Area (North Dakota, South Dakota, Nebraska, Iowa)

Donald Bruce Bad Moccasin, Director
Aberdeen Area Indian Health Service
Federal Building
115 Fourth Avenue, S.E.
Aberdeen, SD 57401
(605) 226-7581

Alaska Area (Alaska)

Chris Mandregan, Director
Alaska Area Native Health Service
4141 Ambassador Drive
Anchorage, AK 99508-5928
(907) 257-3686

Albuquerque Area (New Mexico, Colorado)

Duane L. Jeanotte, (Acting) Director
Albuquerque Area Indian Health Service
505 Marquette N.W., Suite 1502
Albuquerque, NM 87102-2163
(505) 248-4500

Bemidji Area (Minnesota, Wisconsin, Michigan)

Dr. Kathleen Annette, M.D., Director
Bemidji Area Indian Health Service
127 Federal Building
Bemidji, MN 56601
(218) 759-3412

Billings Area (Montana, Wyoming)

Duane L. Jeanotte, (Acting) Director
Billings Area Indian Health Service
P.O. Box 2143
2900 4th Genuire North
Billings, MT 59103
(406) 247-7107

California

Elaine Messa
19900 McArthur Boulevard, Suite 300
Irvine, CA 92715-2445
(714) 798-7714

Patricia Ziobro
1431 Harbor Bay Parkway
Alameda, CA 94502-7070
(510) 337-6783

California Area (California)

Margo Kerrigan, Director
California Area Indian Health Service
1825 Bell Street, Suite 200
Sacramento, CA 95825-1097
(916) 566-7101

Colorado

Gary C. Dean
Denver Federal Center

6th & Kipling Street
Denver, CO 80225-0087
(303) 236-3016

Florida

Douglas D. Tolen
7200 Lake Ellenor Drive, Suite 120
Orlando, FL 32809
(407) 648-6995

Georgia

Graham H. Ballard
60 Eighth Street, N.E.
Atlanta, GA 30309
(404) 347-4344

Headquarters Office

Michael H. Trujillo, M.D., M.P.H., Director
Indian Health Service
5600 Fishers Lane, Room 6-05
Rockville, MD 20857
(301) 443-1083

Headquarters West Office

Duane L. Jeanotte, (Acting) Director
Headquarters West Indian Health Service
5300 Homestead Road, N.E.
Albuquerque, NM 87110
(505) 248-4102

Illinois

Raymond V. Mlecko
300 South Riverside Plaza, Suite 550 South
Chicago, IL 60606
(312) 353-7379

Kansas

W. Michael Rogers
11630 West 80th Street
Lenexa, KS 66285-5905
(913) 752-2144

Louisiana

James Gamet
4298 Elysian Fields Avenue
New Orleans, LA 70122
(504) 589-2401, Ext. 124

Maryland

Elaine K. Cole
900 Madison Avenue
Baltimore, MD 21201
(410) 962-4012

Massachusetts

John R. Marzilli
One Montvale Avenue, 4th Floor
Stoneham, MA 02180
(617) 279-1675, Ext. 155

Michigan

Vacant
1560 East Jefferson Avenue
Detroit, MI 48207
(313) 226-6260, Ext. 101

Minnesota

Appendix IV: Agency Regional and Local Office Addresses

James Rahto
240 Hennepin Avenue
Minneapolis, MN 55401-1912
(612) 334-4100, Ext. 121

Nashville Area (Texas, Louisiana, Missouri, Arkansas, Mississippi, Alabama, Illinois, Indiana, Ohio, Kentucky, Tennessee, Georgia, Florida, South Carolina, North Carolina, Virginia, West Virginia, Maryland, Delaware, Pennsylvania, New York, New Jersey, Co

Michael Tiger, Director
Nashville Area Indian Health Service
711 Stewarts Ferry Pike
Nashville, TN 37214-2634
(615) 736-2400

Navajo Area (Arizona, New Mexico, Utah, Colorado)

John Hubbard, Director
Navajo Area Indian Health Service
P.O. Box 9020
Window Rock, AZ 86515-9020
(520) 871-5811

New Jersey

Doug Ellsworth
Waterview Corporate Center
10 Waterview Boulevard, 3rd Floor
Parsippany, NJ 07054
(973) 331-2901

New York

Brenda Holman
850 3rd Avenue
Brooklyn, NY 11232-1593
(718) 340-7000, Ext. 5301

Vacant
599 Delaware Avenue
Buffalo, NY 14202
(716) 551-4461

Ohio

Vacant
1141 Central Parkway
Cincinnati, OH 45202-1097
(513) 684-3504

Oklahoma City Area (Oklahoma, Kansas)

Robert Harry, DDS., Director
Oklahoma City Area Indian Health Service
3625 N.W. 56th Street
5 Corporate Plaza
Oklahoma City, OK 73112
(405) 951-3768

Pennsylvania

Diana Kolaitis
U.S. Customhouse, Room 900
2nd and Chestnut Street
Philadelphia, PA 19106
(215) 597-4390, Ext. 4200

Phoenix Area (Nevada, Arizona, Utah)

Don Davis, Director

Phoenix Area Indian Health Service
3738 North 16th Street, Suite A
Phoenix, AZ 85016-5981
(602) 640-2052

Portland Area (Oregon, Washington, Idaho)

Joyce Reyes, Acting Director
Portland Area Indian Health Service
1220 S.W. Third Avenue, Room 476
Portland, OR 97204-2892
(503) 326-2020

Puerto Rico

Samuel Jones
466 Fernandez Juncos Avenue
San Juan, PR 00901-3223
(787) 729-6842

Tennessee

Raymond K. Hedblad
297 Plus Park Boulevard
Nashville, TN 37217
(615) 781-5392, Ext. 128

Texas

Joseph R. Baca
3310 Live Oak Street
Dallas, TX 75204
(214) 655-5315, Ext. 302

Tucson Area (Arizona)

Richard Ramirez, Acting Director
Office of Health Program Research &
Development Indian Health Service
7900 South "J" Stock Road
Tucson, AZ 85746-9352
(520) 295-2406

Washington

Roger L. Lowell
P.O. Box 3012
Federal Office Building
22201 - 23rd Drive, S.E.
Bothell, WA 98041-3012
(425) 483-4950

OFFICE OF THE SECRETARY

RESEARCH HEADQUARTERS

Region I

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
Maureen Osolnik
Room 2100
John F. Kennedy Federal Building
Government Center
Boston, MA 02203
(617) 565-1500

Region II

(New York, New Jersey, Puerto Rico, Virgin Islands)
Anthony Marra
26 Federal Plaza, Room 3835
New York, NY 10278
(212) 264-4600

Region III

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
James Mengel
3535 Market Street, Room 11480
Gateway Building
Philadelphia, PA 19104
(215) 596-6492

Region IV

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
Jim Brannon
101 Marietta Tower, Suite 1515
Atlanta, GA 30323
(404) 331-2442

Region IX

(American Samoa, Arizona, California, Guam, Hawaii, Nevada, Northern Mariana Islands, Trust Territories of the Pacific Islands)
Emory Lee
Federal Office Building
50 United Nations Plaza, Room 431
San Francisco, CA 94102
(415) 437-8500

Region V

(Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
Suzanne Krohn
105 West Adams, 23rd Floor
Chicago, IL 60603
(312) 353-5160

Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
Don Perkins
1301 Young Street, Suite 1124
Dallas, TX 75202
(214) 767-3301

Region VII

(Iowa, Kansas, Missouri, Nebraska)
Bobbie Mowery
601 East 12th Street, Room 210
Kansas City, MO 64106
(816) 426-2821

Region VIII

(Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)
Paul Denham
Room 325, Federal Building
1961 Stout Street
Denver, CO 80294-3538
(303) 844-3372

Region X

(Alaska, Idaho, Oregon, Washington)
Elizabeth G. Healy
2201 Sixth Avenue, Room 1208
Seattle, WA 98121

Appendix IV: Agency Regional and Local Office Addresses

(206) 615-2010

PRESIDENT'S COUNCIL ON FITNESS, SPORTS, AND NUTRITION

United States
(240) 276-9567

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

Alabama

Nancy Reeder, Director
Medical Forum
950 22nd Street, North, Suite 428
Birmingham, AL 35203
(205) 731-0027
(205) 731-0031 FAX

Alaska

Billy Joe Caldwell, Director
Jackson Federal Building
915 Second Avenue, Suite 3190
Seattle, WA 98174-1103
(206) 220-7736
(206) 553-4415 FAX

Atlantic Cluster

(CT,DE,MA,MD,ME,NH,NJ,NY,PA,PR,RI,VT)
Rocco Gaudio, Director
801 Arch Street, Suite 103
Philadelphia, PA 19107-2416
(215) 597-9972
(215) 597-4933 FAX

Arizona

Richard Persely, Director
522 North Central, Room 205A
Phoenix, AZ 85004-2190
(602) 379-4825
(602) 379-4030 FAX

Arkansas

Opal Sims, Director
Federal Building, Room 2506
700 West Capitol Street
Little Rock, AR 72201
(501) 324-5234
(501) 324-6949 FAX

California

Javies Lafianza, Director
11150 W. Olympia Boulevard, Suite 170
Los Angeles, CA 90064
(310) 235-7421
(310) 235-7422 FAX

Colorado

James Byrnes Cacting, Director
999 18th Street, Suite 1440 South
Denver, CO 80202
(303) 312-7950
(303) 312-7954 FAX

Connecticut

Romero A. Cherry, Director

1 Commercial Plaza, 21st Floor
Hartford, CT 06103-3510
(860) 240-3237
(860) 240-3238 FAX

Delaware (and MD)

Jerry E. Yates, Director
Fallen Federal Building
31 Hopkins Plaza, Suite 400B
Baltimore, MD 21201
(410) 962-4443
(410) 962-3201 FAX

District of Columbia (and VA)

Thomas Harmon, Director
400 North 8th Street, Suite 446
P.O. Box 10066
Richmond, VA 23240-1832
(804) 771-2197
(804) 771-2157 FAX

Florida

Warren Smith, Director
3165 McCrory Street, Suite 115
Orlando, FL 32803-3750
(407) 648-6117
(407) 648-6116 FAX

Georgia

Daryl James, Director
75 Piedmont Avenue, N.E., Suite 982
Atlanta, GA 30303-2587
(404) 331-4646
(404) 331-2898 FAX

Hawaii/Guam/American Samoa

Lynn Dunn, Director
Federal Building, Room 6213
300 Ala Moana Boulevard
Honolulu, HI 96850-0001
(808) 541-2832
(808) 541-3603 FAX

Idaho

Van Kent Griffiths, Director
304 North 8th Street, Room 344
Boise, ID 83702-5835
(208) 334-1707
(208) 334-1421 FAX

Illinois

Timothy Krieger, Director
77 West Jackson Boulevard, Suite 442
Chicago, IL 60604-3511
(312) 353-3622
(312) 353-5343 FAX

Indiana

Thomas L. Haskett, Director
46 East Ohio Street, Room 457
Indianapolis, IN 46204-1922
(317) 226-6724
(317) 226-5437 FAX

Iowa

Joel Weinstein, Director

Federal Building, Room 917
210 Walnut Street
Des Moines, IA 50309-2195
(515) 284-4816
(515) 284-6640 FAX

Kansas

James M. Byrnes, Director
444 S.E. Quincy, Room 260
Topeka, KS 66683-3572
(785) 295-2540
(785) 295-2596 FAX

Kentucky

Betsy Irvin Wells, Director
Federal Building, Room 372-D
600 Martin Luther King Place
Louisville, KY 40202-2230
(502) 582-6384
(502) 582-6386 FAX

Louisiana

Willard L. Labrie, Director
707 Florida Street, Suite 316
Baton Rouge, LA 70801-1910
(504) 389-0473
(504) 389-0510 FAX

Maine (NH and VT)

Mal Coles, Director (Acting)
1 Pillsbury Street, Suite 201
Concordina, MN 03301-3556
(603) 225-1450
(603) 225-1459 FAX

Maryland (and DE)

Jerry E. Yates, Director
Fallon Federal Building
31 Hopkins Plaza, Suite 400B
Baltimore, MD 21201-3418
(410) 962-4443
(410) 962-3201 FAX

Massachusetts

Mal Coles, Director
10 Causeway Street, Room 473
Boston, MA 02222-1038
(617) 565-7000
(617) 565-7011 FAX

Michigan

Mary Pfeiler, Director
211 West Fort Street, Suite 1408
Detroit, MI 48226-2799
(313) 226-7848
(313) 226-2557 FAX

Minnesota

Robert Jackson, Director
431 South 7th Street, Room 2480
Minneapolis, MN 55415-1854
(612) 334-4083
(612) 334-4084 FAX

Mississippi

Roktabija Abdul-Azeez, Director

Appendix IV: Agency Regional and Local Office Addresses

100 West Capitol Street, Room 1005A
Jackson, MS 39269-1092
(601) 965-5664
(601) 965-4617 FAX

Missouri

John J. McDonald, Director
801 Walnut Street, Suite 504
Kansas City, MO 64106-2009
(816) 374-6300
(816) 374-6305 FAX

Montana

Junn Allen, Director
Capitol One Center
208 North Montana Avenue, Suite 206
Helena, MT 59601-3837
(406) 449-5404
(406) 449-5412 FAX

Nebraska

Anne C. Johnson, Director
Federal Building, Room 156
100 Centennial Mall North
Lincoln, NE 68508-3896
(402) 437-5493
(402) 437-5495 FAX

Nevada

Craig Warner, Director
4600 Kietzke Lane, Suite E-141
Reno, NV 89502-5033
(702) 784-5314
(702) 784-5026 FAX

New Hampshire (ME and VT)

Mal Coles, Acting Director
1 Pillsbury Street, Suite 201
Concord, NH 03301-3556
(603) 225-1450
(603) 225-1459 FAX

New Jersey

Stanley Gorland, Director
44 South Clinton Avenue, Room 702
Trenton, NJ 08609-1507
(609) 989-2243
(609) 989-2304 FAX

New Mexico

Ernesto Ramos, Director
120 S. Federal Place, Room 315
Santa Fe, NM 87501-2026
(505) 988-6577
(505) 988-6661 FAX

New York

Donna Smith, Director
Leo O'Brien Federal Building
Room 818, Clinton Avenue and Pearl Street
Albany, NY 12207
(518) 431-4150
(518) 431-4154 FAX

North Carolina

Robert L. Winston, Director

300 Fayetteville Street Mall, Room 131
Raleigh, NC 27601-1739
(919) 856-4731
(919) 856-4738 FAX

North Central Cluster

(IA,IL,IN,MI,MN,NE,ND,OH,SD,WI)
Mary Lubertozzi, Director
77 West Jackson Boulevard, Suite 442
Chicago, IL 60604-3511
(312) 353-7705
(312) 353-5343 FAX

North Dakota (and SD)

John Pohlman, Director
225 S. Pierre Street, Room 225
Pierre, SD 57501-2452
(605) 224-5996
(605) 224-9201 FAX

Ohio

Paul Schrader, Director
51 North High Street, Suite 451
Columbus, OH 43215
(614) 469-7441
(614) 469-2125 FAX

Oklahoma

H. Zeke Rodriguez, Director
215 Dean A. McGee, Suite 324
Oklahoma City, OK 73102
(405) 231-5201
(405) 231-4329 FAX

Oregon

Robin Sutherland, Director
2010 Lloyd Center
Portland, OR 97232
(503) 231-2103
(503) 231-2106 FAX

Pacific Cluster

(AK,CA,HI,ID,MT,NV,OR,UT,WA,WY)
Lee Spencer, Director
P.O. Box 29996
Presidio of San Francisco, CA 94129-0996
(415) 561-5960
(415) 561-5970 FAX

Pennsylvania

Jorina Ahmed, Director
Robert Nic Nix Federal Building
900 Market Street, Suite 229
Philadelphia, PA 19107
(215) 597-2806
(215) 597-2807 FAX

Puerto Rico/Virgin Island

Loretta de Cordova, Director
U.S. Federal Building, Suite 662
150 Carlos Chardon Avenue
Hato Rey, PR 00918-1737
(787) 766-5314
(787) 766-5189 FAX

Rhode Island

Vincent Marzullo, Director
400 Westminister Street, Room 203
Providence, RI 02903
(401) 528-5424
(401) 528-5220 FAX

South Carolina

Jerome J. Davis, Director
1835 Assembly Street, Suite 872
Columbia, SC 29201-2430
(803) 765-5771
(803) 765-5777 FAX

South Dakota (and ND)

John Pohlman, Director
225 S. Pierre Street, Room 225
Pierre, SD 57501-2452
(605) 224-5996
(605) 224-9201 FAX

Southern Cluster

(AL,DC,FL,GA,KY,MS,NC,SC,TN,VA,WV)
Harold Williams, Director
60 Forsyth Street, SW, Suite 3M40
Atlanta, GA 30323-2301
(404) 562-4055
(404) 562-4071 FAX

Southeast Cluster

(AR,AZ,CO,KS,LS,MO,NM,OK,TX)
James Parker, Director
1999 Bryan Street, Room 2050
Dallas, TX 75201
(214) 880-7050
(214) 880-7074 FAX

Tennessee

Jerry Herman, Director
265 Cumberland Bend Drive
Nashville, TN 37228
(615) 736-5561
(615) 736-7937 FAX

Texas

Jerry G. Thompson, Director
903 San Jacinto, Suite 130
Austin, TX 78701-3747
(512) 916-5671
(512) 916-5806 FAX

Utah

Rick Crawford, Director
350 South Main Street, Room 504
Salt Lake City, UT 84101-2198
(801) 524-5411
(801) 524-3599 FAX

Vermont (ME and NH)

Mal Coles, Director
10 Causeway Street, Room 473
Boston, MA 02222-1038
(617) 565-7001
(617) 565-7011 FAX

Virginia (and DC)

Thomas Harmon, Director

Appendix IV: Agency Regional and Local Office Addresses

400 North 8th Street, Suite 446
P.O. Box 10066
Richmond, VA 23240-1832
(804) 771-2197
(804) 771-2157 FAX

Washington

John Miller, Director
Jackson Federal Building, Suite 3190
915 Second Avenue
Seattle, WA 98174-1103
(206) 220-7745
(206) 553-4415 FAX

West Virginia

Judith Russell, Director
10 Hale Street, Suite 203
Charleston, WV 25301-1409
(304) 347-5246
(304) 347-5464 FAX

Wisconsin

Linda Sunde, Director
Henry Reuss Federal Plaza
310 W. Wisconsin Avenue, Room 1240
Milwaukee, WI 53203-2211
(414) 297-1118
(414) 297-1863 FAX

Wyoming

Patrick Gallizzi, Director
Federal Building, Room 1110
2120 Capitol Avenue
Cheyenne, WY 82001-3649
(307) 772-2385
(307) 772-2389 FAX

SOCIAL SECURITY ADMINISTRATION

Social security offices are located throughout cities and towns in the United States. There are approximately 1,296 district and branch offices now open. To find the address of the office near you, consult the telephone directory under Social Security Adm

DEPARTMENT OF HOMELAND SECURITY

Region I

(Boston)
Kenneth L. Horak
Acting Regional Director
99 High Street
6th Floor
Boston, MA 02110
(617) 223-9540

Region II

(New York)
Joseph Picciano, Acting Regional Director
26 Federal Plaza, Suite 1307
New York, NY 10278-0002
(212) 680-3600

Region III

(Philadelphia)
Patricia G. Arcuri,
Acting Regional Director
FEMA Region III
615 Chestnut Street
Philadelphia, PA 19106
(215) 931-5608

Region IV

(Atlanta)
Kenneth O. Burris, Jr.,
Regional Director,
FEMA Region IV
3003 Chamblee-Tucker Rd.
Atlanta, GA 30341
(770) 220-5200

Region IX

(Oakland)
Jeff Griffin
Regional Director,
FEMA Region IX
1111 Broadway, Suite 1200
Oakland, CA 94607
(510) 627-7100

Region V

(Chicago)
Edward G. Buikema,
Regional Director
FEMA Region V
536 S. Clark Street
Chicago, IL 60605
(312) 408-5500

Region VI

(Dallas)
Federal Regional Center
800 North Loop 288
Denton, TX 76201-3698 United States
(940) 898-5104

Region VII

(Kansas City)
Richard Hainje,
Regional Director,
FEMA Region VII
2323 Grand Boulevard, Suite 900
Kansas City, MO 64108-2670
(816) 283-7061

Region VIII

(Denver)
David Maurstad,
Regional Director,
FEMA Region VIII
Denver Federal Center, Building 710, Box 25267
Denver, CO 80225-0267
(303) 235-4800

Region X

(Seattle)
John Pennington,
Regional Director,
FEMA Region X

Federal Regional Center
130-228th Street, S.W.
Bothell, WA 98021-9796
(425) 487-4600

Appendix V: Historical Profile of Catalog Programs

The following list shows all programs printed in the Catalog of Federal Domestic Assistance beginning with the 1965 edition and the subsequent action taken related to that program. For the years 1965, 1967, and 1969 the programs did not have numbers. They were identified only by their titles. Therefore, the first part of each agency listing shows programs by their title if they were in existence before 1970. The program numbering system was instituted in 1970. The first line shows the number and title of the program when it was added to the Catalog. The second and subsequent lines show the year the program was added and any additional transactions, e.g., title changes, transfers, deletes, consolidations, agency reorganizations, number changes, etc., that may have followed. The alphabet B or U that follows a given year, indicates the transaction was made either in the basic (B) or the update (U) publication of the Catalog. In some instances, there is also a U1 and U2 behind the year. The U1 and U2 are the first and second updates respectively, published that year.

DEPARTMENT OF AGRICULTURE

Agricultural Stabilization Programs (1965B)

Agricultural Production Stabilization (1969B)

Archived (1970B)

Cooperative Agriculture and Forestry Research Program (1965B)

Cooperative Forestry Research (1969B)

Archived (1970B)

Cooperative Extension Service (1965B)

Split into 10.500, 10.501, 10.502, 10.503, 10.504, 10.505, 10.506, 10.507, 10.508, 10.509 (1970B)

Economic Research Service (1965B)

Archived (1970B)

Farmers Home Administration_Financial Assistance to Small Towns and Rural Groups (1965B)

Archived (1969B)

Farmers Home Administration_Housing for Senior Citizens (1965B)

Archived (1969B)

Farmers Home Administration_Loans for Forestry Purposes (1965B)

Farm Forestry Loans (1969B)

Archived (1970B)

Farmers Home Administration_Loans for Recreational Purposes (1965B)

Archived (1969B)

Farmers Home Administration_Rural Renewal Loans to Public Agencies (1965B)

Archived (1969B)

Forestry Research (1965B)

Archived (1969B)

Land Stabilization, Conservation, and Erosion Control in Appalachia (1965B)

Archived (1969B)

Timber Development Organizations in Appalachia (1965B)

Archived (1967B)

Administration and Research in Economic Development Programs in Appalachia (1965B)

Transferred to the Department of Commerce (1967B)

Cropland Adjustment Program (1967B)

Archived (1969B)

Payments to Counties, National Grasslands, and Land Utilization Projects (1967B)

Archived (1969B)

Payments to States with National Forests (1967B)

Archived (1969B)

Appalachian Region Conservation (1969B)

Archived (1970B)

Agricultural Market Supervision and Regulation (1969B)

Archived (1970B)

Agricultural Experiment Stations (1969B)

Archived (1970B)

National Forests_Shared Revenues (1969B)

Archived (1970B)

Timber Management (1969B)

Archived (1970B)

10.001

Agricultural Research Service (1965B)

Agricultural Research_Basic and Applied Research (1969B)

Number changed to 10.875 (1978B)

Number changed from 10.875 (1981U)

10.002

Plant and Animal Disease and Plant Control (1969B)

Number changed to 10.025 (1972B)

10.003

Morrill-Nelson Funds for Food and Agricultural Higher Education (1983U)

Transferred from 84.135 (1983U)

Transferred to 10.210 (1985U)

10.025

Plant and Animal Disease and Pest Control (1972B)

Number changed from 10.002 (1972B)

Plant and Animal Disease, Pest Control, and Animal Care (1990B)

10.026

Assistance to States for Intrastate Meat and Poultry Inspection (1972U)

Number changed from 10.151 (1972U)

Number changed to 10.475 (1977U)

10.027

Meat and Poultry Inspection (1972U)

Number changed from 10.157 (1972U)

Number changed to 10.477 (1977U)

10.028

Animal Damage Control (1986B)

Transferred from 15.601 (1986B)

Wildlife Services (1997U)

10.029

Avian Influenza Indemnity Program (2003B)

Archived (2010)

10.030

Indemnity Program (2012)

10.050

Agricultural Conservation Program (1965B)

Agricultural Conservation (1970B)

Rural Environmental Assistance (1971B)

Archived (1973B)

10.051

Price-Support Programs for the Agricultural Stabilization and Conservation Service (1965B)

Agricultural Price-Support (1969B)

Commodity Loans and Purchases (1970B)

Commodity Loans and Loan Deficiency Payments (1999B)

10.052

Cotton Production Stabilization (1970B)

Split from 10.055 (1970B)

Incorporated into 10.055 (1997B)

10.053

Dairy Farmer Indemnity Payments (1969B)

Dairy Indemnity Payments (1970B)

Dairy Indemnity Program (1984B)

10.054

Emergency Conservation Measures (1970B)

Emergency Conservation Program (1978U)

Appendix V: Historical Profile of Catalog Programs

10.055

Feed Grain Direct Payments (1970B)
Split into 10.052, 10.055, 10.058 (1970B)
Feed Grain Production Stabilization (1971B)
Production Flexibility Payments for Contract
Commodities (1997B)
Incorporated from 10.052, 10.058, 10.065 (1997B)
Direct and Counter-cyclical Payments Program
(2005)
Direct and Counter-cyclical Payments Program
(2005)
Direct and Counter-cyclical Payments Program
(2005)

10.056

Storage Facilities and Equipment Loans (1970B)
Archived (1989B)
Farm Storage Facility Loans, Reinstated (2001B)

10.057

Sugar Program (1969B)
Sugar Production Stabilization (1971B)
Archived (1975B)

10.058

Wheat Direct Payments (1970B)
Split from 10.055 (1970B)
Wheat Production Stabilization (1971B)
Incorporated into 10.055 (1997B)

10.059

Wool and Mohair Payments (1970B)
Shorn Wool and Unshorn Lambs (Pulled Wool) and
Mohair Payments (1971B)
National Wool Act Payments (1973B)
Archived (1997B)

10.060

Beekeeper Indemnity Payments (1971U)
Archived (1982B)

10.061

Emergency Livestock Feed Program (1972B)
Archived (1977B)

10.062

Water Bank Program (1972B)
Archived (1973B)
Reinstated (1974B)
Deleted (2006)

10.063

Rural Environmental Conservation Program
(1974B)
Agricultural Conservation Program (1975B)
Archived (1997B)

10.064

Forestry Incentives Program (1975B)

10.065

Rice Production Stabilization (1976U)
Incorporated into 10.055 (1997B)

10.066

Emergency Hay and Cattle Transportation
Assistance and Livestock Feed (1977B)
Emergency Feed Program (1978B)
Archived (1983B)
Emergency Livestock Assistance (1989B)
Archived (1997B)
Livestock Assistance Program, Reinstated (2000U)
Livestock Assistance Program (2006)
Archived (2010)

10.067

Grain Reserve Program (1978B)
Farmer Owned Reserve Program (1994U)
Archived (1997B)

10.068

Rural Clean Water Program (1980B)
Archived (1995B)

10.069

Conservation Reserve Program (1986B)

10.070

Colorado River Salinity Control (1987B)
Colorado River Basin Salinity Control Program
(1991B)
Archived (2010)

10.071

Federal-State Cooperation in Warehouse
Examination Agreement (1987U)
Archived (2000B)

10.072

Wetlands Reserve Program (1992B)

10.073

Crop Disaster Program (2000U)
Archived (2010)
Reinstated (2011)
Archived (2011)

10.075

Special Apple Program (2001B)

10.076

Emergency Loan for Seed Producers (2001B)

10.077

Livestock Compensation Program (2003B)
Archived (2010)

10.078

Bioenergy Program (2003B)
Archived (2009)

10.079

Bill Emerson Humanitarian Trust (2003B)
Archived (2010)

10.080

Milk Income Loss Contract Program (2004)
Archived (2017)

10.081

Lamb Meat Adjustment Assistance Program
(2004)
Archived (2009)

10.082

Tree Assistance Program (2004)
Archived (2010)

10.083

Tobacco Loss Assistance Program (2004)

10.084

Dairy Market Loss Assistance Program (2008)
Archived (2010)

10.085

Tobacco Transition Payment Program (2005)
Archived (2016)

10.086

Aquaculture Grants Program (AGP) (2009)
Archived (2012)

10.087

Biomass Crop Assistance Program (2011)

10.088

Livestock Indemnity Program (2010)
Archived (2014)

10.089

Livestock Forage Disaster Program (2010)
Archived (2014)
Archived (2014)

10.090

Supplemental Revenue Assistance Program (2010)

10.091

Emergency Assistance for Livestock, Honeybees,
and Farm-Raised Fish Program (2011)
Archived (2014)

10.092

Tree Assistance Program (2010)
Archived (2014)

10.093

Voluntary Public Access and Habitat Incentive
Program (2010)

Appendix V: Historical Profile of Catalog Programs

10.095 Durum Wheat Quality Program (2010) Archived (2014)	10.115 Dairy Product Donation (2015)	Marketing Services Matching_Fund Grants (1970B) Federal_State Marketing Improvement Program (1973B)
10.098 Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (2013)	10.116 The Margin Protection Program (2015)	10.157 Agricultural Futures Market Supervision (1969B) Meat and Poultry Inspection (1970B) Number changed to 10.027 (1972U)
10.099 Conservation Loans (2010)	10.117 Biofuel Infrastructure Partnership (2015)	10.158 Plentiful Foods Program (1969B) Plentiful Foods (1970B) Archived (1973U)
10.100 Agricultural Futures Markets Supervision (1971B) Transferred to 78.001 (1975U)	10.118 Cotton Ginning Cost Share Program (2016)	10.159 Livestock and Poultry Market Supervision (1978B) Number changed from 10.800 (1978B) Number changed to 10.800 (1981U)
10.101 Hawaii Sugar Disaster Program (2013) Archived (2014)	10.119 Minority Research and Teaching Grants (1986B) Minority Research and Teaching Program Grants (1990B) Minority Research and Teaching Programs Grants (1990U) Special Emphasis Outreach Programs Grants (1991B) Archived (1997B)	10.160 Voluntary Commodity Inspection and Grading Programs (1981U) Number changed from 10.478 (1981U) Archived (1982B)
10.102 Emergency Forest Restoration Program (2012)	10.120 Dairy Product Price Support Program (2012) Archived (2014)	10.161 Egg Products Inspection (1981U) Number changed from 10.476 (1981U) Archived (1982B)
10.103 2009 Aquaculture Grant Program (2011) Archived (2012)	10.121 Agricultural Product Grading (1969B) Consolidated into 10.162 (1982B)	10.162 Inspection Grading and Standardization (1982B)
10.104 Poultry Loss Contract Grant Assistance Program (2011) Archived (2012)	10.122 Assistance to States for Intrastate Meat and Poultry Inspection (1970B) Number changed to 10.026 (1972U)	10.163 Market Protection and Promotion (1982B)
10.105 Disaster Relief Appropriations Act, Emergency Conservation Program (2013)	10.123 Export Payments on Agricultural Products (1970B) Split from 10.157 (1970B) Archived (1973B)	10.164 Wholesale Market Development (1982B) Wholesale Farmers and Alternative Market Development (2005) Wholesale Farmers and Alternative Market Development (2005) Wholesale Farmers and Alternative Market Development (2005)
10.106 Disaster Relief Appropriations Act, Emergency Forest Restoration Program (2013)	10.124 Market News Service for Agricultural Products (1969B) Market News (1970B)	10.165 Perishable Agricultural Commodities Act (1982B)
10.108 Livestock Indemnity Program-2014 Farm Bill (2014)	10.125 Market Supervision (1970B) Market Supervision and Assistance (1982B) Market Development and Assistance (1983B) Incorporated into 10.163 (1984B)	10.166 Commodity Purchase Services (1985B) Archived (1987B)
10.109 Livestock Forage Program-2014 Farm Bill (2014)	10.126 Agricultural Marketing Agreements and Orders (1969B) Marketing Agreements and Orders (1970B)	10.167 Transportation Services (1991U)
10.110 Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program-2014 Farm Bill (2015)	10.127 Agricultural Marketing Studies and Services (1969B)	10.168 Farmers' Market Promotion Program (2006) Farmers' Market and Local Food Promotion Program (2014)
10.111 Tree Assistance Program-2014 Farm Bill (2014)		
10.112 Price Loss Coverage (2015)		
10.113 Agriculture Risk Coverage Program (2015)		

Appendix V: Historical Profile of Catalog Programs

Farmers Market Promotion Program (2017)

10.169

Specialty Crop Block Grant Program (2006)
Archived (2011)

10.170

Specialty Crop Block Grant Program - Farm Bill (2008)

10.171

Organic Certification Cost Share Programs (2011)

10.172

Local Food Promotion Program (2014)

10.173

Sheep Production and Marketing Grant Program (2014)

10.174

Acer Access Development Program (2017)

10.200

Scientific Research in Support of Agriculture (1969B)

Contracts and Grants for Scientific Research (1970B)

Grants for Scientific Research (1973B)

Split into 10.200, 10.880 (1978B)

Number changed to 10.876 (1978B)

Number changed from 10.876 (1981U)

Grants for Agricultural Research, Special Research Grants (1981U)

10.201

Agricultural Research Facilities (1969B)

Research Facilities (1970B)

Archived (1971B)

10.202

Grants for Cooperative Forestry Research (1970U)

Cooperative Forestry Research (1971B)

Number changed to 10.877 (1978B)

Number changed from 10.877 (1981U)

10.203

Payments to Agricultural Experiment Stations Under Hatch Act (1970U)

Number changed to 10.878 (1978B)

Number changed from 10.878 (1981U)

Payments to Agricultural Experiment Stations Under the Hatch Act (1997U)

10.204

Rural Development Research (1974B)

Number changed to 10.879 (1978B)

Number changed from 10.879 (1981U)

Archived (1982B)

10.205

Payments to 1890 Land-Grant Colleges and Tuskegee Institute (1981U)

Number changed from 10.880 (1981U)

Payments to 1890 Land-Grant Colleges and Tuskegee University (1986B)

10.206

Grants for Agricultural Research_Competitive Research_Grants (1981U)

Number changed from 10.884 (1981U)

Archived (2011)

Reinstated (2012)

10.207

Animal Health and Disease Research (1981U)

Number changed from 10.885 (1981U)

10.208

Alcohol Fuels Research (1982B)

Archived (1985B)

10.209

1890 Research Facilities (1983B)

Archived (1989B)

10.210

Food and Agricultural Sciences National Needs Graduate Fellowship Grants

Transferred from 10.003 (1985U)

Higher Education Graduate Fellowships Grant Program (2013)

10.211

Higher Education Strengthening Grants (1985U)

Archived (1993B)

10.212

Small Business Innovation Research (1986B)

10.213

Competitive Research Grants for Forest and Rangeland Renewable Resources

Transferred from 15.601 (1986B)

Archived (1990B)

10.214

Morrill-Nelson Funds for Food and Agricultural Higher Education

Split from 10.210 (1986B)

Archived (1996B)

10.215

Low Input Farming Systems_Research and Education (1988B)

Sustainable Agriculture Research and Education (1991B)

10.216

1890 Institution Capacity Building Grants (1990B)

10.217

Higher Education Challenge Grants (1990B)

Higher Education - Institution Challenge Grants Program (2013)

10.218

Buildings and Facilities Program (1992B)

10.219

Biotechnology Risk Assessment Research (1993B)

10.220

Food and Agricultural Sciences-All Americans Undergraduate Scholars Program (1994B)

Higher Education Multicultural Scholars Program (1995B)

Higher Education - Multicultural Scholars Grant Program (2013)

10.221

Tribal Colleges Education Equity Grants (1997B)

10.222

Tribal Colleges Endowment Program (1997B)

10.223

Hispanic Serving Institutions Education Grants (1997B)

10.224

Fund for Rural America_Research, Education, and Extension Activities (1997U)

Archived (2009)

10.225

Community Food Projects (1997B)

10.226

Secondary Agriculture Education Grants (1999B)

Secondary and Two-Year Postsecondary

Agriculture Education Challenge Grants (2003B)

10.227

1994 Institutions Research Program (2000U)

10.228

Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (2001B)

10.240

Alternative Agricultural Research and Commercialization Program (1993B)

Archived (2000B)

10.250

Agricultural Economic Research (1969B)

Agricultural and Rural Economic Research (1970B)

Agricultural and Rural Economic Research,

Cooperative Agreements and Collaborations (2012)

10.251

Appendix V: Historical Profile of Catalog Programs

Technical Assistance to Cooperatives (1978B) Number changed from 10.350 (1978B) Number changed to 10.350 (1981U)	10.305 International Science and Education Grants (2005) Archived (2017)	10.320 Sun Grant Program (2010)
10.252 Agricultural Statistical Reports (1978B) Number changed from 10.950 (1978B) Agriculture Statistical Reports (1980B) Number changed to 10.950 (1981U)	10.306 BIODIESEL (2005)	10.322 Distance Education Grants for Institutions of Higher Education in Insular Areas (2010)
10.253 Food Assistance and Nutrition Research Programs (FANRP) (2008) Archived (2014) Reinstated (2014) Consumer Data and Nutrition Research (2014)	10.307 Organic Agriculture Research and Extension Initiative (2005)	10.324 Afghanistan Agricultural Extension Project (AAEP) (2011) Archived (2016)
10.254 Program of Research on the Economic of Invasive Species Management (PREISM) (2008) Archived (2014)	10.308 Resident Instruction Grants for Insular Area Activities (2005)	10.325 Peoples Garden Grant Program (2011) Archived (2016)
10.255 Research Innovation and Development Grants in Economic (RIDGE) (2008)	10.309 Specialty Crop Research Initiative (2008)	10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (2012)
10.256 Consumer Data Initiative (CDI) (2007) Archived (2010) Reinstated (2010) Consumer Data and Information Program (2010) Archived (2014)	10.310 AGRICULTURE AND FOOD RESEARCH INITIATIVE (AFRI) (2009)	10.327 Common Bean Productivity Research for Global Food Security Competitive Program (2012) Archived (2017)
10.290 AGRICULTURAL MARKET AND ECONOMIC RESEARCH (2008) Agricultural Market and Economic Research (2008)	10.311 BEGINNING FARMER AND RANCHER DEVELOPMENT PROGRAM (2009)	10.328 National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Competitive Grants Program (2015)
10.291 Agricultural and Food Policy Research Centers (2014)	10.312 BIOMASS RESEARCH AND DEVELOPMENT INITIATIVE (2009)	10.329 Crop Protection and Pest Management Competitive Grants Program (2014)
10.300 CCC Export Credit Sales (1970B) Archived (1973B)	10.313 Veterinary Medicine Loan Repayment Program (2010)	10.330 Alfalfa and Forage Research Program (2014)
10.301 Export Payments (1970B) Archived (1973U)	10.314 NEW ERA RURAL TECHNOLOGY COMPETITIVE GRANTS PROGRAM (2009) Archived (2017)	10.331 Food Insecurity Nutrition Incentive Grants Program (2014)
10.302 Initiative for Future Agriculture and Food Systems (2000U)	10.315 Trade Adjustment Assistance for Farmers Training Coordination Program (TAAF) (2009) Archived (2016)	10.334 Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program (2017)
10.303 Integrated Programs (2000U)	10.316 Healthy Urban Food Enterprise Development Center (HUFED) (2009) Archived (2015)	10.350 Farmers Cooperative Service (1965B) Technical Assistance to Cooperatives (1970B) Number changed to 10.251 (1978B) Number changed from 10.251 (1981U)
10.304 Homeland Security_Agricultural (2004)	10.317 Food Aid Nutrition Enhancement Program (2010) Archived (2016)	10.351 Rural Business Development Grant (2014)
	10.318 Women and Minorities in Science, Technology, Engineering, and (2010)	10.352 Value-Added Producer Grants (2005)
	10.319 Farm Business Management and Benchmarking Competitive Grants Program (2010)	10.353 National Rural Development Partnership (2003B)
		10.375

Appendix V: Historical Profile of Catalog Programs

Human Nutrition Information Service (1982B)
Archived (1997B)

10.400

Comprehensive Areawide Water and Sewer
Planning Grants (1970B)
Archived (1973B)

10.401

Farmers Home Administration_Loans to
Cooperatives (1965B)
Economic Opportunity Loans to Cooperatives
(1969B)
Economic Opportunity Farm Operating Loans to
Cooperatives (1970B)
Archived (1971B)

10.402

Farmers Home Administration_Loans to Rural
Families with Small Incomes (1965B)
Economic Opportunity Loans to Rural Families
with Low Incomes (1969B)
Economic Opportunity Farm Resource Loans
(1970B)
Archived (1971B)

10.403

Economic Opportunity Nonfarm Enterprise Loans
(1970B)
Archived (1971B)

10.404

Farmers Home Administration_Emergency Loans
(1965B)
Farm Emergency Loans (1969B)
Emergency Loans (1970B)

10.405

Farmers Home Administration_Loans for Farm
Labor Housing (1965B)
Loans and Grants for Farm Labor Housing (1967B)
Farm Labor Housing (1969B)
Farm Labor Housing Loans and Grants (1970B)
Archived (1973B)
Reinstated (1975B)

10.406

Farmers Home Administration_Operating Loans
(1965B)
Farm Operating Loans (1969B)

10.407

Farmers Home Administration_Ownership Loans
(1965B)
Farm Ownership Loans (1969B)

10.408

Grazing Association Loans (1969B)
Archived (1983B)

10.409

Irrigation and Drainage System Loans (1969B)
Irrigation, Drainage and Other Soil and Water
Conservation Loans (1970B)
Archived (1983B)

10.410

Farmers Home Administration_Rural Housing
Loans (1965B)
Rural Housing Loans (1967B)
Rural Renewal Loans (1967B)
Low-to Moderate-Income Housing Loans (1970B)
Low Income Housing Loans (1983B)
Very Low and Low Income Housing Loans
(1984B)
Very Low to Moderate Income Housing Loans
(1991U)

10.411

Rural Self-Help Housing Loans (1969B)
Mutual and Self-Help Housing Loans (1970B)
Rural Housing Site Loans (1970U)
Rural Housing Site Loans and Self_Help Housing
Land Development Loans (1996B)
Archived (2012)
Reinstated (2017)

10.412

Rural Recreation Enterprises (1969B)
Recreation Association Loans (1970B)
Split into 10.412, 10.413 (1970B)
Archived (1971B)

10.413

Recreation Facility Loans (1970B)
Split from 10.412 (1970B)
Archived (1983B)

10.414

Soil Conservation Service_Resource Conservation
Development Projects (1965B)
Resource Conservation Development Projects
(1967B)
Resource Conservation and Development Loans
(1970B)
Split into 10.414, 10.901 (1970B)
Number changed to 10.764 (1993B)

10.415

Rental Housing (1967B)
Rental and Cooperative Housing for Rural People
(1969B)
Rural Rental Housing Loans (1970B)

10.416

Soil and Water Conservation (1969B)
Soil and Water Loans (1970B)
Split into 10.416, 10.902 (1970B)
Archived (1997B)

10.417

Very Low-Income Housing Repair Loans (1970B)
Split from 10.410 (1970B)
Very Low-Income Housing Repair Loans and
Grants (1977B)

10.418

Water and Waste Disposal Systems for Rural
Communities (1967B)
Number changed to 10.760 (1993B)

10.419

Multiple-Purpose Watershed Projects (1965B)
Watershed Development and Flood Prevention
(1969B)
Watershed Protection and Flood Prevention Loans
(1970B)
Split into 10.419, 10.904 (1970B)
Number changed to 10.765 (1993B)

10.420

Rural Self-Help Housing Technical Assistance
(1970U)

10.421

Indian Tribes and Tribal Corporation Loans
(1971B)

10.422

Business and Industrial Development Loans
(1974B)
Business and Industrial Loans (1976B)
Number changed to 10.768 (1993B)

10.423

Community Facilities Loans (1974B)
Number changed to 10.766 (1993B)

10.424

Industrial Development Grants (1974B)
Archived (1982B)
Reinstated (1988B)
Rural Development Grants (1992B)
Number changed to 10.769 (1993B)

10.425

Emergency Livestock Loans (1974U)
Archived (1979U2)

10.426

Area Development Assistance Planning Grants
(1978B)
Archived (1982B)

10.427

Rural Rental Assistance Payments (1978B)

10.428

Economic Emergency Loans (1978U)
Archived (1983B)

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Reinstated (1984B) Archived (1984U)	Emergency Community Water Assistance Grants (1989U) Number changed to 10.763 (1993B)	Archived (2009)
10.429 Above Moderate Income Housing Loans (1979B) Archived (1980U2) Guaranteed Rural Housing Loans_Demonstration Program (1989B) Archived (1990B)	10.441 Technical and Supervisory Assistance Grants (1993U) Archived (2011)	10.453 Fund for Rural America_Farm Ownership Loans (1997U) Archived (2000B)
10.430 Energy Impacted Area Development Assistance Program (1979U2) Archived (1983B)	10.442 Housing Application Packaging Grants (1994B) Archived (2011)	10.454 Dairy Options Pilot Program (1998U) Archived (2010)
10.431 Technical and Supervisory Assistance Grants (1979U2) Archived (1983B)	10.443 Outreach and Assistance Grants for Socially Disadvantaged Farmers and Ranchers (1994B) Small Farmer Outreach Training or Technical Assistance Program_"Outreach and Assistance Grants for Socially Disadvantaged Farmers and Ranchers" (1995B) Small Farmer Outreach Training and Technical Assistance Program (1995U) Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers (2001U) Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (2014)	10.455 Community Outreach and Assistance Partnership Program (2004) Archived (2012)
10.432 Biomass Energy and Alcohol Fuels Loan and Loan Guarantees (1981B) Archived (1982B)	10.444 Direct Housing_Natural Disaster Loans and Grants (1998B) Archived (2012)	10.456 Partnership Agreements to Develop Non-Insurance Risk Management Tools for Producers (Farmers) (2004) Archived (2016)
10.433 Rural Housing Preservation Grants Transferred from 13.664 (1986B)	10.445 Direct Housing_Natural Disaster (1998B) Archived (2011)	10.457 Commodity Partnerships for Risk Management Education (2004) Archived (2010)
10.434 Nonprofit National Corporations Loan and Grant Program (1987B) Archived (1993U)	10.446 Rural Community Development Initiative (2001U)	10.458 Crop Insurance Education in Targeted States (2004)
10.435 Agricultural Loan Mediation Program (1988B) Certified Mediation Program (1995B) State Mediation Grants (1997U)	10.447 The Rural Development (RD) Multi-Family Housing Preservation and Revitalization Restructuring Program (MPR) (2007)	10.459 Commodity Partnerships for Small Agricultural Risk Management Education Sessions (2005) Archived (2013)
10.436 Technical Assistance and Training Grants (1988U) Number changed to 10.761 (1993B)	10.448 Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program (2009)	10.460 Risk Management Education and Outreach Partnerships Program (2012) Risk Management Education Partnerships (2013)
10.437 Interest Rate Reduction Program (1989B) Interest Assistance Program (1991U) Archived (2014)	10.449 Boll Weevil Eradication Loan Program (2000U)	10.464 Socially Disadvantaged Farmers and Ranchers Policy Research Center (2014)
10.438 Farm Credit System Farm Land Acquisition Program (1989B) Archived (1992B) Section 538 Rural Rental Housing Guaranteed Loans (1997B)	10.450 Crop Insurance (1969B)	10.465 Farmworker Training Grant Program (2011) Archived (2013)
10.439 Intermediary Relending Program (1989B) Number changed to 10.767 (1993B)	10.451 Noninsured Crop Disaster Assistance (1997U) Noninsured Assistance (2001B)	10.475 Assistance to States for Intrastate Meat and Poultry Inspection (1977U) Number changed from 10.026 (1977U) Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (1980U2)
10.440	10.452 Disaster Reserve Assistance (1997U)	10.476 Egg Products Inspection (1977U) Number changed to 10.161 (1981U)

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Meat and Poultry Inspection (1977U) Number changed from 10.027 (1977U) Meat, Poultry, and Egg Inspection (1995B) Meat, Poultry, and Egg Products Inspection (1995U)	10.508 Extension Programs for Soil and Water Conservation (1970B) Incorporated into 10.500 (1972B)	10.540 Participant Research Innovation Laboratory for Enhancing WIC Services (2016)
10.478 Voluntary Commodity Inspection and Grading Programs (1977U) Number changed to 10.160 (1981U)	10.509 4-H Youth Development Programs (1970B) Incorporated into 10.500 (1972B)	10.541 Child Nutrition-Technology Innovation Grant (2016)
10.479 Food Safety Cooperative Agreements (2006)	10.510 Extension Programs for Environmental Improvement (1971B) Incorporated into 10.500 (1972B)	10.543 Healthier US School Challenge: Smarter Lunchrooms (2015)
10.500 Extension Programs for Assisting in Community Development (1970B) Extension Programs for Community Development (1971B) Cooperative Extension Service (1972B) Number changed to 10.881 (1978B) Number changed from 10.881 (1981U)	10.511 Smith-Lever Funding (Various Programs) (2017)	10.544 Healthy Body Healthy Spirit (2015)
10.501 Extension Programs for Forestry Production and Marketing (1970B) Incorporated into 10.500 (1972B) Agricultural Telecommunications Program (1994U) Archived (2000B)	10.512 Agriculture Extension at 1890 Land-grant Institutions (2017)	10.545 Farmers Market Supplemental Nutrition Assistance Program Support Grants (2015)
10.502 Extension Programs for Improved Family Living (1970B) Incorporated into 10.500 (1972B)	10.513 1890 Facilities Grants Program (2017)	10.546 Supplemental Nutrition Assistance Program (SNAP) Recipient Integrity Information Technology Grants (2015)
10.503 Extension Programs for Improved Nutrition (1970B) Incorporated into 10.500 (1972B)	10.514 Expanded Food and Nutrition Education Program (2017)	10.549 Rural Child Poverty Nutrition Center (2014)
10.504 Extension Programs for Improving Farm Income (1970B) Incorporated into 10.500 (1972B)	10.515 RENEWABLE RESOURCES EXTENSION ACT and NATIONAL FOCUS FUND PROJECTS (2017)	10.550 Commodity Distribution Program (1965B) Commodity Distribution (1970B) Food Distribution (1971U) Split into 10.550, 10.565 (1979U1) Deleted (2008)
10.505 Extension Programs for Marketing and Distribution (1970B) Incorporated into 10.500 (1972B)	10.516 Rural Health and Safety Education Competitive Grants Program (2017)	10.551 Food Stamp Program (1965B) Food Stamps (1970B) Supplemental Nutrition Assistance Program (2008)
10.506 Extension Programs for Pesticides Safety and Rural Civil Defense (1970B) Incorporated into 10.500 (1972B)	10.517 Tribal Colleges Extension Programs (2017)	10.552 Special Food Service Program for Children (1969B) Nonschool Food Program (1970B) Special Food Service Program for Children (1971B) Split into 10.558, 10.559 (1976B)
10.507 Extension Programs for Recreation, Wildlife, and Natural Beauty (1970B) Incorporated into 10.500 (1972B)	10.518 Food Animal Residue Avoidance Databank (2017)	10.553 Pilot School Breakfast Program (1967B) School Breakfasts (1969B) School Breakfast Program (1972B)
	10.520 Agriculture Risk Management Education Partnerships Competitive Grants Program (2017)	10.554 Equipment Program to Initiate or Expand School Food Service (1967B) School Lunch Program_Nonfood Assistance (1970B) Nonfood Assistance for School Food Service Programs (1972B) Equipment Assistance for School Food Service Programs (1978B)
	10.521 Children, Youth and Families At-Risk (2017)	
	10.536 CACFP Training Grants (2017)	
	10.537 Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) Data and Technical Assistance Grants (2017)	
	10.538 Mobile Technology for Child Nutrition Innovation Laboratory (2017)	

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Archived (1982B)

10.555

National School Lunch Program (1965B)
School Lunches (1969B)
National School Lunch Program (1971B)

10.556

Special Milk Program for Children (1965B)
School Milk (1969B)
Special Milk Program (1970B)
Special Milk Program for Children (1971B)

10.557

Special Supplemental Food Program for Women, Infants, and Children (1974B)
Special Supplemental Nutrition Program for Women, Infants, and Children (1995U)
WIC Special Supplemental Nutrition Program for Women, Infants, and Children (2017)

10.558

Child Care Food Program (1976B)
Split from 10.552 (1976B)
Child and Adult Care Food Program (1990B)
Incorporated from 10.573 (2000B)

10.559

Summer Food Service Program for Children (1976B)
Split from 10.552 (1976B)

10.560

State Administrative Expenses for Child Nutrition (1977U)

10.561

State Administrative Matching Grants for Food Stamp Program (1977U)
State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (2008)

10.562

Nutritional Training and Education (1977U)
Archived (1979B)

10.563

Nutrition Education Experimental or Demonstration Projects (1977U)
Archived (1980B)

10.564

Nutrition Education and Training Program (1978U)
Archived (2001U)

10.565

Commodity Supplemental Food Program (1979U1)
Split from 10.550 (1979U1)

10.566

Nutrition Assistance for Puerto Rico (1983B)

10.567

Food Distribution Program Commodities in Lieu of Food Stamps (Indian Reservations) (1983B)
Food Distribution Program Commodities on Indian Reservations (1983U)
Needy Family Program (1985B)
Food Distribution Program on Indian Reservations (1988B)

10.568

Temporary Emergency Food Assistance (Administrative Costs) (1984B)
Emergency Food Assistance Program (Administrative Costs) (1993U)

10.569

Temporary Emergency Food Assistance (Food Commodities) (1989B)
Emergency Food Assistance Program (Food Commodities) (1993U)

10.570

Nutrition Program for the Elderly (Commodities) (1989B)
Nutrition Program for the Elderly (2001U)
Nutrition Services Incentive (2002B)
Deleted (2005)

10.571

Food Commodities for Soup Kitchens (1989B)
Archived (1996U)

10.572

WIC Farmers' Market Nutrition Program (FMNP) (1993U)

10.573

Homeless Children Nutrition Program (1995U)
Incorporated into 10.558 (2000B)
Deleted Program no longer funded. (2000)

10.574

Team Nutrition Grants (1997U)

10.575

Farm to School Grant Program (2012)

10.576

Senior Farmers Market Nutrition Program (2004)

10.577

SNAP Partnership Grant (2011)

10.578

WIC Grants To States(WGS) (2004)

10.579

Child Nutrition Discretionary Grants Limited Availability (2004)

10.580

Food Stamp Program Research Grants to Improve Access Through New Technology and Partnerships (2004)

Food Stamp Program , Outreach Grants (2005)

Food Stamp Program Outreach Grants (2005)

Food Stamp Program Outreach/Participation Program (2008)

Special Nutrition Assistance Program Outreach/Participation Program (2008)

Supplemental Nutrition Assistance Program, Outreach/Participation Program (2009)

Supplemental Nutrition Assistance Program, Process and Technology Improvement Grants (2013)

10.581

WIC Discretionary Grant Funds (2004)

Deleted (2004)

10.582

Fresh Fruit and Vegetable Program (2005)

10.583

Hunger Free Communities (2010)

Archived (2015)

10.584

SNAP Research Grants (2012)

Archived (2013)

10.585

FNS Food Safety Grants (2010)

10.586

Office of Research and Analysis (ORA) Grants/Cooperative Agreements to Meet Food, Nutrition and Health Needs of Program Eligible Participants (2011)
Special Supplemental Nutrition Program for Women, Infants and Children; Nutrition Education Innovations (2012)

10.587

National Food Service Management Institute Administration and Staffing Grant (2012)

10.588

Assessment of Alternatives to Face-to-Face Interviews in SNAP (2011)

Archived (2015)

10.589

Child Nutrition Direct Certification Performance Awards (2012)

Archived (2016)

10.590

Disaster Relief Appropriations ActEmergency Food Assistance Program (Administrative Costs) (2015)

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Archived (2015)	10.602 CCC's Dairy Export Incentive Program (2012) Archived (2016)	Split into 10.655, 10.656, 10.657, 10.658, 10.659, 10.660 (1974B)
10.591 Disaster Relief Appropriations Act Emergency Food Assistance Program (Commodities) (2013) Archived (2015)	10.603 Emerging Markets Program (2002B)	10.651 Forestry Cooperative Research (1970U) Archived (1978U)
10.592 Healthy, Hunger-Free Kids Act of 2010 Childhood Hunger Research and Demonstration Projects (2013)	10.604 Technical Assistance for Specialty Crops Program (2004)	10.652 Forestry Research (1970U)
10.594 Food Distribution Program on Indian Reservations Nutrition Education Grants (2014)	10.605 Quality Samples Program (2004)	10.653 Land Adjustments (1970U) Land Exchange in the National Forests (1971B) Archived (1973B)
10.595 Farm to School Training and Technical Assistance (2014)	10.606 Food for Progress (2003B)	10.654 Forestry Cooperative Law Enforcement (1973U) Cooperative Law Enforcement (1975B) Archived (1977B)
10.596 Pilot Projects to Promote Work and Increase State Accountability in SNAP (2014) Pilot Projects to Reduce Dependency and Increase Work Requirements and Work Effort under SNAP (2014)	10.607 Section 416(b) (2003B) Archived (2010)	10.655 Assistance to States for Tree Planting and Reforestation (1974B) Split from 10.650 (1974B) Assistance to States for Tree Improvement (1977B) Consolidated into 10.664 (1978U)
10.597 Local Wellness Policy Surveillance System Cooperative Agreement (2014) School Wellness Policy Cooperative Agreement (2015)	10.608 Food for Education (2003B)	10.656 Cooperative Forest Fire Control (1974B) Split from 10.650 (1974B) Consolidated into 10.664 (1978U)
10.598 Supplemental Nutrition Assistance Program (SNAP) Recipient Trafficking Prevention Grants (2014)	10.609 Trade Adjustment Assistance (2004) Archived (2017)	10.657 Cooperation in Forest Management and Processing (1974B) Split from 10.650 (1974B) Consolidated into 10.664 (1978U)
10.599 South Carolina SNAP Recipient Trafficking Prosecution Pilot (2014)	10.610 Export Guarantee Program (2010)	10.658 Cooperative Forest Insect and Disease Control (1974B) Split from 10.650 (1974B) Cooperative Forest Insect and Disease Management (1976B) Consolidated into 10.664 (1978U)
10.600 Export Market Development (1967B) Agricultural Export Services (1969B) Foreign Market Development and Promotion (1970B) Foreign Agricultural Market Development and Promotion (1972B) Archived (1975B) Reinstated (1985U) Foreign Market Development Cooperation Program (1997B) Foreign Market Development Cooperator Program (1999B)	10.611 Regional Centers for the Transfer of Manufacturing Technology (1989B)	10.659 Cooperative Production and Distribution of Forest Tree Planting Stock (1974B) Split from 10.650 (1974B) Consolidated into 10.664 (1978U)
10.601 Targeted Export Assistance (1987B) Market Promotion Program (1991B) Market Access Program (1997B)	10.612 USDA Local and Regional Food Aid Procurement Pilot Project (2012) USDA Local and Regional Food Aid Procurement Program (2014)	10.660 General Forestry Assistance (1974B) Split from 10.650 (1974B) Consolidated into 10.664 (1978U)
	10.613 Faculty Exchange Program (2011)	10.661 Youth Conservation Corps_Grants to States (1974B)
	10.614 Scientific Cooperation Exchange Program with China (2011)	
	10.615 Pima Agriculture Cotton Trust Fund (2014)	
	10.616 Agriculture Wool Apparel Manufacturers Trust Fund (2014)	
	10.650 Forest Service Cooperative State and Private Programs (1965B) Forestry Cooperative Programs (1969B) State and Private Forestry Cooperation (1970B)	

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Archived (1982B)	Wood In Transportation Program (2003B)	10.688 Recovery Act of 2009: Wildland Fire Management (2009) Archived (2016)
10.662 Rural Community Fire Protection (1975U) Consolidated into 10.664 (1978U)	10.674 Technology Marketing Unit (2003B) Forest Products Lab: Technology Marketing Unit (TMU) (2005)	10.689 Community Forest and Open Space Conservation Program (CFP) (2009)
10.663 Young Adult Conservation Corps (1978B) Young Adult Conservation Corps_Grants to States (1978U) Archived (1983B)	Forest Products Lab: Technology Marketing Unit (TMU) (2005) Wood Utilization Assistance (2014)	10.690 Lake Tahoe Erosion Control Grant Program (2009)
10.664 Cooperative Forestry Assistance (1978U) Consolidated from 10.655, 10.656, 10.657, 10.658, 10.659, 10.660, 10.662 (1978U)	10.675 Urban and Community Forestry Program (2003B)	10.691 Good Neighbor Authority (2009)
10.665 Schools and Roads_Grants to States (1980U2) Secure Payments for States and Counties Containing Federal Land (2008) Schools and Roads - Grants to States (2009)	10.676 Forest Legacy Program (2003B)	10.692 Disaster Relief Appropriations Act for Emergency Forest Restoration Program (EFRP) (2013) Archived (2017)
10.666 Schools and Roads_Grants to Counties (1980U2)	10.677 Forest Land Enhancement Program (2003B) Archived (2010)	10.693 Watershed Restoration and Enhancement Agreement Authority (2009)
10.667 School Funds_Grants to Arizona and New Mexico (1980U2) School Funds_Grants to Arizona (1981B) Archived (1984B)	10.678 Forest Stewardship Program (2003B)	10.694 Southwest Forest Health and Wildfire Prevention (2010)
10.668 Additional Lands_Grants to Minnesota (1980U2) Archived (1995B)	10.679 Collaborative Forest Restoration (2005)	10.695 EASTERN NEVADA LANDSCAPE RESTORATION PROJECT (ENLRP) (2010) Archived (2011) Reinstated (2016) Archived (2017)
10.669 Accelerated Cooperative Assistance for Forest Programs on Certain Lands Adjacent to the Boundary Waters Canoe Area Archived (1992B)	10.680 Forest Health Protection (2005)	10.699 Partnership Agreements (2016)
10.670 National Forest_Dependent Rural Communities (1993B) Archived (2010)	10.681 WOOD EDUCATION AND RESOURCE CENTER (WERC) (2008) Wood Education and Resource Center (WERC) (2008)	10.700 National Agricultural Library Services (1969B) Number changed to 10.883 (1978B) Number changed from 10.883 (1981U) National Agricultural Library (1982B)
10.671 Southeast Alaska Economic Disaster Fund (1997U) Archived (2010)	10.682 National Forest Foundation (2009)	10.701 Stewardship Agreements (2016)
10.672 Rural Development, Forestry and Communities (2000B) Rural Development, Forestry, and Communities (2000U) Archived (2009) Reinstated (2009)	10.683 National Fish and Wildlife Foundation (2009)	10.702 Alaska National Interest Lands Conservation Act (ANILCA) Agreements (2016)
10.673	10.684 International Forestry Programs (2008)	10.703 Cooperative Fire Protection Agreement (2016)
	10.685 Community Wood Energy Program (2009) Archived (2010) Reinstated (2011) Archived (2016)	10.704 Law Enforcement Agreements (2016)
	10.686 Forest Biomass for Energy (2009) Archived (2010) Reinstated (2012) Archived (2013)	10.705 Cooperative Forest Road Agreements (2016)
	10.687 RECOVERY ACT OF 2009: CAPITAL IMPROVEMENT AND MAINTENANCE (2009) Archived (2016)	

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10.750 Information on Agricultural Activities (1969B) Archived (1978B)	(Section 306C) (1993B)	Guaranteed (2009) Archived (2012)
10.751 Rural Energy Savings Program (RESP) (2017)	10.771 Rural Technology Development Grants (1994U) Rural Cooperative Development Grants (1997B)	10.800 Livestock and Poultry Market Supervision (1969B) Number changed to 10.159 (1978B) Number changed from 10.159 (1981U) Livestock, Meat and Poultry Market Supervision (1984B) Deleted (2004)
10.759 PART 1774 Special Evaluation Assistance for Rural Communities and Households Program (SEARCH) (2009)	10.772 Empowerment Zones Program (1994U) Archived (2010)	10.825 Technical Assistance to Rural Areas (1975B) Archived (1977U)
10.760 Water and Waste Disposal Systems for Rural Communities Number changed from 10.418 (1993B)	10.773 Rural Business Opportunity Grants (1999B)	10.850 Rural Electrification Program (1965B) Rural Electrification Loans (1970B) Rural Electrification Loans and Loan Guarantees (1977B)
10.761 Technical Assistance and Training Grants Number changed from 10.436 (1993B)	10.774 National Sheep Industry Improvement Center (1998U) Archived (2010)	10.851 Rural Telephone Service (1969B) Rural Telephone Loans (1970B) Rural Telephone Loans and Loan Guarantees (1977B)
10.762 Solid Waste Management Grants (1993B)	10.775 Renewable Energy Systems and Energy Efficiency Improvements Program (2003B) Archived (2010)	10.852 Rural Telephone Bank Loans (1972B)
10.763 Emergency Community Water Assistance Grants Number changed from 10.440 (1993B)	10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (2010)	10.853 Community Antenna Television Loans and Loan Guarantees (1980B) Archived (2007)
10.764 Resource Conservation and Development Loans Number changed from 10.414 (1993B) Archived (1998U)	10.778 Research on the Economic Impact of Cooperatives (2006) Archived (2014)	10.854 Rural Economic Development Loans and Grants (1988U) Number changed from 10.853 (1989B)
10.765 Watershed Protection and Flood Prevention Loans Number changed from 10.419 (1993B) Archived (1998U)	10.780 Community Facilities Loans and Grants (2009) Archived (2012)	10.855 Distant Learning and Medical Link Grants (1992B) Distance Learning and Medical Link Grants (1993B) Distance Learning and Telemedicine Loans and Grants (1997B)
10.766 Community Facilities Loans Number changed from 10.423 (1993B) Community Facilities Loans (1997U) Community Facilities Loans and Grants (1998B)	10.781 Water and Waste Disposal Systems for Rural Communities - ARRA (2009) Archived (2015)	10.856 1890 Land Grant Institutions Rural Entrepreneurial Outreach Program (2004) Archived (2014)
10.767 Intermediary Relending Program Number changed from 10.439 (1993B)	10.782 Appropriate Technology Transfer for Rural Areas (2013)	10.857 State Bulk Fuel Revolving Fund Grants (2001U)
10.768 Business and Industrial Loans Number changed from 10.422 (1993B) Business and Industry Loans (1998B)	10.783 Rural Business Enterprise Grants - ARRA (2009) Archived (2012)	10.858 RUS Denali Commission Grants and Loans (2002B) Denali Commission Grants and Loans (2007) Denali Commission Grants and Loans (2011)
10.769 Rural Development Grants Number changed from 10.424 (1993B) Rural Business Enterprise Grants (2001B)	10.787 Broadband Initiatives Program (2009) Archived (2012)	
10.770 Water and Waste Disposal Loans and Grants	10.788 Very Low to Moderate Income Housing Loans - Direct (2009) Archived (2012)	
	10.789 Very Low to Moderate Income Housing Loans -	

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<p>10.859 Assistance to High Energy Cost Rural_Communities (2001U) Assistance to High Energy Cost_Rural Communities (2002B) Assistance to High Energy Cost Rural Communities (2007)</p>	<p>Grants (1978B) Number changed from 10.200 (1978B) Number changed to 10.200 (1981U)</p>	<p>10.900 Great Plains Conservation (1969B)</p>
<p>10.860 Rural Business Investment Program (2004) Archived (2010)</p>	<p>10.877 Cooperative Forestry Research (1978B) Number changed from 10.202 (1978B) Number changed to 10.202 (1981U)</p>	<p>10.901 Resource Conservation and Development (1970B) Archived (2014)</p>
<p>10.861 Public Television Station Digital Transition Grant Program (2005) Archived (2015)</p>	<p>10.878 Payments to Agricultural Experiment Stations Under Hatch Act (1978B) Number changed from 10.203 (1978B) Number changed to 10.203 (1981U)</p>	<p>10.902 Soil and Water Conservation (1970B) Split into 10.902, 10.905, 10.906, 10.907 (1971B) Consolidated from 10.908 (1990B)</p>
<p>10.862 Household Water Well System Grant Program (2004)</p>	<p>10.879 Rural Development Research (1978B) Number changed from 10.204 (1978B) Number changed to 10.204 (1981U)</p>	<p>10.903 National Cooperative Soil Survey (1969B) Soil Survey (1970B)</p>
<p>10.863 Community Connect Grant Program (2004)</p>	<p>10.880 Payments to 1890 Land-Grant Colleges and Tuskegee Institute (1978B) Split from 10.200 (1978B) Number changed to 10.205 (1981U)</p>	<p>10.904 Watershed Protection and Flood Prevention (1970B) Consolidated into 10.911 (1996B)</p>
<p>10.864 Grant Program to Establish a Fund for Financing Water and Wastewater Projects (2005)</p>	<p>10.881 Cooperative Extension Service (1978B) Number changed from 10.500 (1978B) Number changed to 10.500 (1981U)</p>	<p>10.905 Plant Materials for Conservation (1971B) Split from 10.902 (1971B)</p>
<p>10.865 Biorefinery Assistance (2009)</p>	<p>10.882 Higher Education_Land-Grant Colleges and Universities (1978B) Transferred from 13.453 (1978B) Number changed to 10.501 (1981U)</p>	<p>10.906 River Basin Surveys and Investigations (1971B) Split from 10.902 (1971B) Consolidated into 10.911 (1996B) Watershed Surveys and Planning (1997B) Archived (2010)</p>
<p>10.866 Repowering Assistance (2010)</p>	<p>10.883 Technical Information Systems (1978B) Number changed from 10.700 (1978B) Number changed to 10.700 (1981U)</p>	<p>10.907 Snow Survey and Water Supply Forecasting (1971B) Split from 10.902 (1971B)</p>
<p>10.867 BIOENERGY PROGRAM FOR ADVANCED BIOFUELS (2010)</p>	<p>10.884 Grants for Agricultural Research_Competitive Research_Grants (1978B) Number changed to 10.206 (1981U)</p>	<p>10.908 Land Inventory and Monitoring (1977B) Inventory and Monitoring (1978B) Resources Inventory (1983U) Consolidated into 10.902 (1990B)</p>
<p>10.868 Rural Energy for America Program (2009)</p>	<p>10.885 Animal Health and Disease Research (1980B) Number changed to 10.207 (1981U)</p>	<p>10.909 Resource Appraisal and Program Development (1979B) Archived (1983U)</p>
<p>10.870 Rural Microentrepreneur Assistance Program (2009)</p>	<p>10.886 Rural Broadband Access Loans and Loan Guarantees (2005)</p>	<p>10.910 Rural Abandoned Mine Program (1979U1) Archived (2010)</p>
<p>10.871 Small Socially- Disadvantaged Producer Grants (2013) Socially-Disadvantaged Groups Grant (2015)</p>	<p>10.887 Rural Development Cooperative Agreement Program (2015) Archived (2016) Reinstated (2016)</p>	<p>10.911 Watershed Surveys and Planning Consolidated from 10.904, 10.906 (1996B) Incorporated into 10.906 (1997B)</p>
<p>10.874 Delta Health Care Services Grant Program (2011)</p>		<p>10.912 Environmental Quality Incentives Program (1997B)</p>
<p>10.875 Agricultural Research_Basic and Applied Research (1978B) Number changed from 10.001 (1978B) Number changed to 10.001 (1981U)</p>		
<p>10.876 Grants for Agricultural Research, Special Research</p>		

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<p>10.913 Farm Land Protection Program (1997B) Farmland Protection Program (1997U)</p>	<p>10.932 Regional Conservation Partnership Program (2014)</p>	<p>Development Programs in Appalachia (1967B) Archived (1969B)</p>
<p>10.914 Wildlife Habitat Incentive Program (1997B)</p>	<p>10.933 Wetlands Mitigation Banking Program (2015)</p>	<p>Community Relations Service (1965B) Archived (1967B)</p>
<p>10.915 Fund for Rural America_Small Farmer Outreach Training and Technical Assistance (1998B) Archived (1999B)</p>	<p>10.950 Agricultural Statistical Reports (1969B) Number changed to 10.252 (1978B) Agriculture Statistical Reports (1981U) Agricultural Statistics Reports (1986U)</p>	<p>Development of Ports (1967B) Archived (1969B)</p> <p>Economic Development Districts (1967B) Consolidated into 11.300 (1969B)</p>
<p>10.916 Watershed Rehabilitation Program (2003B)</p>	<p>10.960 Technical Agricultural Assistance (1986B)</p>	<p>Grants and Loans for Public Works and Development Facilities (1967B) Consolidated into 11.300 (1969B)</p>
<p>10.917 Agricultural Management Assistance (2004)</p>	<p>10.961 International Agricultural Research_Collaborative Program (1986B) International Agricultural Research Program (1991U) Scientific Cooperation Program, Incorporated from 10.963 (1999B) Scientific Cooperation and Research (2001B)</p>	<p>Gross National Product Estimates (1967B) Archived (1969B)</p> <p>High Speed Ground Transportation (1965B) Highway Beautification (1965B) Transferred/Split into 20.202, 20.203, 20.204 (1970B)</p>
<p>10.918 Ground and Surface Water Conservation_ Environmental Quality Incentives Program (2004) Archived (2010)</p>	<p>10.962 International Training_Foreign Participant (1986B) Cochran Fellowship Program-International Training-Foreign Participant (2006)</p>	<p>Highway Planning and Construction (1965B) Transferred to 20.205 (1970B)</p> <p>Industry and Commodity Information (1965B) Archived (1967B)</p>
<p>10.919 Klamath Basin _ Environmental Quality Incentives Program (2004) Archived (2010)</p>	<p>10.963 Scientific and Technical Cooperation (1990B) Incorporated into 10.961 (1999B)</p>	<p>Regional Action Planning Commissions (1967B) Consolidated into 11.300 (1969B)</p>
<p>10.920 Grassland Reserve Program (2004)</p>	<p>10.994 Peanut Quota Buyout Program (2005) Archived (2009)</p>	<p>State Technical Services Program (1967B) Archived (1970B)</p>
<p>10.921 Conservation Security Program (2004)</p>	<p>10.995 Hard White Wheat Incentive Program (2005) Archived (2009)</p>	<p>Supplements to Federal Grant-in-Aid Programs in Appalachia (1965B) Supplemental Grants-in-Aid for Appalachia (1967B) Archived (1969B)</p>
<p>10.922 Healthy Forests Reserve Program (HFRP) (2007)</p>	<p>10.998 Long Term Standing Agreements for Storage, Transportation, and Lease (2011) Archived (2012) Reinstated (2012) Archived (2012)</p>	<p>11.001 Statistical Data for Small Areas (1965B) Census Data (1967B) Census and Statistical Reports (1969B) Census Bureau Data Products (1980U1) Archived (2016)</p>
<p>10.923 Emergency Watershed Protection Program (2007)</p>	<p>10.999 LONG TERM STANDING AGREEMENTS FOR STORAGE, TRANSPORTATION AND LEASE (2008) Archived (2011) Reinstated (2012) Archived (2016)</p>	<p>11.002 Census Data Access and Use Laboratory (1970B) Census Data User Services (1972B) Census Customer Services (1995U) Archived (2016)</p>
<p>10.924 Conservation Stewardship Program (2011)</p>	<p>DEPARTMENT OF COMMERCE Development Highways and Access Roads for the Appalachian Region (1965B) Administration and Research in Economic</p>	<p>11.003 Census Mapping and Statistical Areas (1969B) Census Geography (1970B) Archived (2016)</p>
<p>10.925 Agricultural Water Enhancement Program (2011)</p>		
<p>10.926 Chesapeake Bay Watershed Program (2011)</p>		
<p>10.928 Emergency Watershed Protection Program - Floodplain Easements Disaster Relief Appropriations Act (2013)</p>		
<p>10.929 Water Bank Program (2013)</p>		
<p>10.931 Agricultural Conservation Easement Program (2014)</p>		

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11.004 Census Intergovernmental Services (1970B) Archived (2016)	Cluster Grants. (2014)	11.105 Importation of Duty-Free Educational and Scientific Materials (1973B) Number changed from 11.201 (1973B) Archived (1986B)
11.005 Census Special Tabulations and Services (1969B) Archived (2016)	11.025 Measures and Analyses of the U.S. Economy (1976U) Number changed from 11.007 (1976U) Archived (2016)	11.106 Remedies for Unfair Foreign Trade Practices_Antidumping and Countervailing Duty Investigations (2004) Archived (2017)
11.006 Personal Census Age Search (1967B) Personal Census Search (1969B) Archived (2016)	11.026 National Trade Data Bank (NTDB) (1994B) STAT-USA: Key Business, Economic, and International Trade Information (2006) Archived (2016)	11.106A Trade Adjustment Assistance (1973B) Number changed from 11.202 (1973B) Number changed to 11.309 (1975U)
11.007 Measures and Analyses of U.S. Economy (1972B) Number changed from 11.700 (1972B) Number changed to 11.025 (1976U)	11.027 Business Assistance, Services, and Information (1980B) Number changed from 11.104 (1980B) Number changed to 11.050 (1980U2) Productivity and Economic Growth (1982B) Number changed to 11.980 (1989B) Economic Bulletin Board (EBB) (1994B) State of the Nation (2002B) Deleted (2006)	11.106B Antidumping Duties (1980B) Transferred from 21.200 (1980B) Remedies for Unfair Foreign Trade Practices_Antidumping Duty Investigations (1991B) Remedies for Unfair Foreign Trade Practices_Antidumping and Countervailing Duty Investigations (1996U)
11.008 NOAA Mission-Related Education Awards (2009)	11.030 Science and Research Park Development Grants. (2014)	11.107 Countervailing Duty on Imports (1980B) Transferred from 21.201 (1980B) Remedies for Unfair Foreign Trade Practices_Countervailing Duty Investigations (1991B) Archived (1997B)
11.009 TV Converter Box Coupon Program Consumer Education and Technical Assistance (2009) Archived (2010)	11.050 Business Assistance, Services, and Information (1980U2) Number changed from 11.027 (1980U2) Number changed to 11.110 (1984B)	11.108 International Trade Promotion (1980B) Consolidated from 11.101, 11.103 (1980B) International Trade (1984B) Export Promotion Services (1985B) Commercial Service (1995B) Archived (2017)
11.010 Community Trade Adjustment Assistance (2009)	11.100 Export Licensing Service and Information (1969B) Number changed to 11.150 (1988B)	11.109 Trade Adjustment Assistance (1980B) Archived (1986B) Reinstated (1988U) Number changed to 11.313 (1991B)
11.011 Ocean Exploration (2009)	11.101 International Trade Promotion (1967B) Export Trade Promotion (1969B) Consolidated into 11.108 (1980B)	11.110 Business Assistance, Services, and Information (1984B) Number changed from 11.050 (1984B) Trade Development (1985B) Manufacturing and Services (2005) Manufacturing and Services (2005) Industry and Analysis (2016) Archived (2017)
11.012 Integrated Ocean Observing System (IOOS) (2009)	11.102 Foreign Investment in the United States (1967B) Foreign Investment Development in the United States (1969B) Archived (1977U)	11.111
11.013 Education Quality Award Ambassadorship (2009)	11.103 Commercial Intelligence Service (1967B) International Commercial Information (1969B) Consolidated into 11.108 (1980B)	
11.014 Band 14 Incumbent Spectrum Relocation (2015)	11.104 Business Assistance, Services, and Information (1973B) Number changed from 11.200 (1973B) Number changed to 11.027 (1980B)	
11.015 Broad Agency Announcement (2015)		
11.016 Statistical, Research, and Methodology Assistance (2016)		
11.017 Ocean Acidification Program (OAP) (2016)		
11.018 11.802 (2016) Minority Business Resource Development (2016) Archived (2016)		
11.019 State Alternative Plan Program (2017)		
11.020		

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Foreign-Trade Zones in the United States (1986U)
Archived (2017)

11.112

Export Promotion_Market Development
Cooperator (1994B)
Export Promotion Market Development
Cooperation (2002B)
Market Development Cooperator Program (2007)

11.113

ITA Special Projects (1995B)
Archived (2016)

11.114

Special American Business Internship Training
Program (1997B)

11.115

American Business Center (1998U)
Archived (2001B)

11.150

Export Licensing Service and Information (1988B)
Number changed from 11.100 (1988B)

11.200

Information and Services to Industrial Community
(1967B)
Business Assistance, Services and Information
(1969B)
Number changed to 11.104 (1973B)

11.201

Importation of Duty_Free Educational and
Scientific Materials (1969B)
Number changed to 11.105 (1973B)

11.202

Trade Adjustment Assistance (1971U)
Number changed to 11.106 (1973B)

11.300

Public Works and Economic Development (1965B)
Economic Development_Grants and Loans for
Public Works and Development Facilities (1969B)
Split into 11.300, 11.301, 11.302, 11.303 (1969B)
Archived (1973B)
Reinstated (1973U)
Economic Development_Grants and Loans for
Public Works and Development Facilities (1973U)
Economic Development_Grants for Public Works
and Development Facilities (1981U)
Economic Development_Grants for Public Works
and Infrastructure Development (1995B)
Grants for Public Works and Economic
Development (1999B)
Grants for Public Works and Economic
Development Facilities (2002B)
Investments for Public Works and Economic

Development Facilities (2007)

11.301

Economic Development_Loans for Businesses and
Development Companies (1969B)
Split from 11.300 (1969B)
Archived (1973B)
Reinstated (1973U)
Economic Development_Business Development
Assistance (1975B)
Archived (1993U)

11.302

Economic Development_Assistance for Distressed
Areas (1969B)
Split from 11.300 (1969B)
Economic Development_Planning Assistance
(1970B)
Archived (1973B)
Reinstated (1973U)
Economic Development_Support for Planning
Organizations (1975B)
Archived (1999B)
Reinstated/Incorporated from 11.305 (2000B)

11.303

Economic Development_Technical Assistance
Research, and Information (1969B)
Split from 11.300 (1969B)
Economic Development_Technical Assistance
(1970B)
Archived (1973B)
Reinstated (1973U)

11.304

Economic Development_Public Works Impact
Projects (1972B)
Archived (1973B)
Reinstated (1973U)
Economic Development_Public Works Impact
Program (1990U)
Archived (1999B)

11.305

Economic Development_State and Local Economic
Development Planning (1975B)
Incorporated into 11.302 (2000B)

11.306

Economic Development_District Operational
Assistance (1975B)
Archived (1986B)

11.307

Economic Development_Special Economic
Development and Adjustment Assistance Program
(1975B)
Special Economic Development and Adjustment
Assistance Program_Long-Term Economic
Deterioration (1979B)

Split into 11.307, 11.311 (1979B)

Special Economic Development and Adjustment
Assistance Program_Sudden and Severe or
Long-Term Economic Deterioration (1983B)
Special Economic Development and Adjustment
Assistance Program_Sudden and Severe Economic
Dislocation or Long-Term Economic Deterioration
(1984B)
Special Economic Development and Adjustment
Assistance Program_Sudden and Severe Economic
Dislocation and Long-Term Economic
Deterioration (1986U)
Special Economic Development and Adjustment
Assistance Program_Sudden and Severe Economic
Dislocation (SSED) and Long-Term Economic
Deterioration (LTED) (1997B)
Special Economic Development and Adjustment
Assistance Program_Sudden and Severe Economic
Dislocation and Long-Term Economic
Deterioration (1997U)
Economic Adjustment Assistance (1999B)

11.308

Grants to States for Supplemental and Basic
Funding of Titles I, II, and IV Activities (1975U)
Grants to States for Supplemental and Basic
Funding of Titles I, II, III, IV and IX Activities
(1977B)
Archived (1981U)

11.309

Trade Adjustment Assistance (1975U)
Number changed from 11.106 (1975U)
Archived (1981U)

11.310

Redevelopment Area Loan Program (Section 204)
(1977U)
Incorporated into 11.307 (1978B)

11.311

Special Economic Development and Adjustment
Assistance Program_Sudden and Severe Economic
Dislocation (1979B)
Split from 11.307 (1979B)
Archived (1981U)

11.312

Research and Evaluation Program (1984B)

11.313

Trade Adjustment Assistance
Number changed from 11.109 (1991B)
Trade Adjustment Assistance for Firms (2007)

11.400

Geodetic Surveys and Services (Geodesy and
Applications of the National Geodetic Reference
System) (2004)

11.400A

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6Geodetic Control Surveys and Tideland Studies (1965B)
Geodesy (1970B)
Geodetic Control Surveys (1971B)

11.400B

6Geodetic Surveys and Services (1974U)
Archived (1989B)
Reinstated (1991B)

11.401

Nautical Charts and Related Data (1965B)
Archived (1986B)

11.402A

6Weather Bureau River and Flood Forecasting Service (1965B)
River and Flood Forecasting (1967B)
River and Flood Forecasts (1969B)
River and Flood Forecast and Warning Services (1974U)
Archived (1977U)

11.402B

6Marine Boundary Program (1981B)
Archived (1986B)

11.403

Seismological Investigations (1970B)
Archived (1973B)

11.404

Weather and Climate Guidance (1965B)
Weather and Marine Forecasts and Climate Guidance (1969B)
Weather Forecasts and Warnings (1970B)
Weather and River Forecasts and Warnings (1977U)
Archived (1979B)

11.405

Anadromous and Great Lakes Fisheries Conservation (1971B)
Transferred from 15.001 (1971B)
Anadromous Fish Conservation Act Program (1991U)
Archived (2009)

11.406

Commercial Fisheries Disaster Assistance (1971B)
Transferred from 15.002 (1971B)
Archived (1981B)

11.407

Commercial Fisheries Research and Development (1971B)
Transferred from 15.003 (1971B)
Interjurisdictional Fisheries Act of 1986 (1987U)

11.408

Current Economic Analysis of Food Fish, Shellfish, and Industrial Fishery Projects (1971B)
Archived (1973B)
Reinstated (1980B)
Fishermen's Contingency Fund (1980B)

11.409

Fisheries Loan Fund (1971B)
Transferred from 15.005 (1971B)
Incorporated into 11.415 (1974B)
Reinstated/Split from 11.415 (1980B)
Fishing Vessel and Gear Damage Compensation Fund (1980B)
Archived (1998B)

11.410

Fishermen_Reimbursement of Losses (1971B)
Transferred from 15.004 (1971B)
Fishermen's Guaranty Fund (1981B)
Number changed to 19.204 (1987B)

11.411

Fishery Cooperative Services (1971B)
Archived (1986B)

11.412

Fishery Marketing Assistance (1971B)
Transferred from 15.006 (1971B)
Archived (1973B)

11.413

Fishery Products Inspection and Certification (1971B)
Transferred from 15.007 (1971B)

11.414

Fishing Statistics and Market News (1971B)
Transferred from 15.008 (1971B)
Archived (1973B)

11.415

Fishing Vessel Mortgage and Loan Insurance (1971B)
Transferred from 15.009 (1971B)
Fishing Vessel Obligation Guarantees (1973B)
Federal Ship Financing Fund Liquidating Account (1992B)
Fisheries Obligation Guarantee Program (1994U)
Fisheries Finance Program (1998B)

11.416

Jellyfish Control (1971B)
Transferred from 15.010 (1971B)
Archived (1973B)

11.417

Sea Grant Institutional Support (1971B)
Transferred from 47.022 (1971B)
Sea Grant Support (1972B)

11.418

Sea Grant Project Support (1971B)
Transferred from 47.023 (1971B)
Incorporated into 11.417 (1972B)
Coastal Zone Management Program Development (1974B)
Reinstated/Split from 11.417 (1974B)
Archived (1980B)

11.419

Coastal Zone Management Program Administration (1974U)
Coastal Zone Management Program Administration Grants (1985B)
Coastal Zone Management Program Implementation and Enhancement Awards (1991B)
Coastal Zone Management Administration Awards (1992B)

11.420

Coastal Zone Management Estuarine Sanctuaries (1975B)
Coastal Zone Management Estuarine Research Reserves (1986U)

11.421

Coastal Energy Impact Program_Formula Grants (1977U)
Energy Impact_Formula Grants (1984B)
Archived (1986B)

11.422

Coastal Energy Impact Program_Planning Grants (1977U)
Coastal Energy Impact Grants (1985B)
Archived (1986B)

11.423

Coastal Energy Impact Program_Loans and Guarantees (1977U)
Archived (1985U)

11.424

Coastal Energy Impact Program_Environmental Grants (1977U)
Archived (1983B)

11.425

Coastal Energy Impact Program_Outer Continental Shelf State Participation Grants (1980B)
Archived (1985B)

11.426

Ocean Dumping and Monitoring Program (1980B)
Financial Assistance for Marine Pollution Research (1981B)
Archived (1990B)
Reinstated (1991B)
Financial Assistance for National Status and Trends Programs (1991B)

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Financial Assistance for Ocean Resources Conservation and Assessment Program (1991U)	West Coast Fisheries Data Program (1991B)	11.449
Financial Assistance for National Centers for Central Coastal Ocean Science (1999B)	Pacific Fisheries Data Program (1995B)	Independent Education and Science Projects and Programs (1992B)
Financial Assistance for National Centers for Coastal Ocean Science (1999U)	11.438	Archived (2012)
11.427	Pacific Salmon Treaty Program (1991B)	11.450
Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (1980B)	Pacific Coast Salmon Recovery_Pacific Salmon Treaty Program (2000B)	Integrated Flood Observing and Warning System (IFLOWS) (1993B)
11.428	11.439	Archived (2012)
Intergovernmental Climate_Demonstration Project (1980U1)	Marine Mammal Data Program (1991B)	11.451
Intergovernmental Climate_Programs (1983U)	11.440	Gulf Coast Ecosystem Restoration Science, Observation, Monitoring, and Technology (2014)
Intergovernmental Climate_Program (1995U)	Research in Remote Sensing of the Earth and Environment (1991B)	11.452
Intergovernmental Climate_Program (NESDIS) (1999B)	Environmental Sciences, Applications, Data, and Education (2002B)	Unallied Industry Projects (1993U)
Archived (2007)	11.441	11.454
11.429	Regional Fishery Management Councils (1991U)	Unallied Management Projects (1993B)
Marine Sanctuary Program (1985B)	11.442	11.455
11.430	Research in Oceanographic Data Base Management (1991U)	Cooperative Science and Education Program (1993U)
Undersea Research (1987B)	Archived (1999B)	Archived (2012)
Archived (2017)	11.443	Reinstated (2013)
11.431	Short-Term Climate Fluctuations (1992U)	11.457
Climate and Atmospheric Research (1987B)	Short_Term Climate Fluctuations (1995B)	Chesapeake Bay Studies (1992B)
Climate and Research (1992B)	Short Term Climate Fluctuations (1995U)	11.458
Split into 11.459 (1992B)	Archived (2012)	Alaska Salmon Enhancement (1993B)
Climate and Atmospheric Research (1992U)	11.444	Deleted (2004)
11.432	Mahimahi Aquaculture (1991U)	Consolidated into 11.438 (2004)
Environmental Research Laboratories Joint Institutes (1990B)	Aquaculture Program (1994U)	11.459
Environmental Research Laboratories Cooperative Institutes (1992B)	Direct Housing_Natural Disaster Loans and Grants (1999B)	Climate and Air Quality Research (1992B)
Office of Oceanic and Atmospheric Research (OAR) Cooperative Institutes (1999U)	Aquaculture Program (1999U)	Split from 11.431 (1992B)
Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes (2001B)	Hawaii Sustainable Fisheries Development (Aquaculture Program) (2004)	Weather and Air Quality Research (2002B)
National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (2010)	Archived (2012)	11.460
11.433	11.445	Congressionally Identified Program Needs (1992B)
Marine Fisheries Initiative (1990U)	Stock Enhancement of Marine Fish in the State of Hawaii (1991U)	Special Oceanic and Atmospheric Projects (1992U)
11.434	Hawaii Stock Management (2001B)	11.461
Cooperative Fishery Statistics (1991B)	Hawaii Stock Management Program (2002B)	Cooperative Institute (1992B)
11.435	Archived (2012)	Archived (1994U)
Southeast Area Monitoring and Assessment Program (1991B)	11.446	11.462
11.436	Antarctic Marine Living Resources (1991U)	Hydrologic Research (1994B)
Columbia River Fisheries Development Program (1991B)	Archived (1999B)	11.463
11.437	11.448	Habitat Conservation (1993B)
	Federal/State Cooperative Program in Atmospheric Modification Research (1992B)	11.464
	Federal/State Cooperative Program in Atmospheric Research (1995B)	Marine Research_Regional Programs (1993B)
	Archived (1997B)	Archived (1997B)
	Reinstated (1998B)	11.466
	Archived (1998U)	Marine Instrumentation Special Projects (1993B)
		Archived (1994B)

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11.467 Meteorologic and Hydrologic Modernization Development (1993U)	11.500 Construction_Differential Subsidies (1970B) Number changed to 20.800 (1981U)	11.512 Construction Reserve Fund (1981B) Number changed to 20.812 (1981U)
11.468 Applied Meteorology Research (1993B) Collaborative Applied Hydrometeorological Research Activities (1993U) Cooperative Institute for Applied Meteorological Studies (CIAMS) and Cooperative Institute for Tropical Meteorology (CITM) (1994B) Applied Meteorological Research (2002B)	11.501 Marine Development and Promotion Activities (1969B) Development and Promotion of Ports and Intermodal Transport Systems (1970B) Development and Promotion of Ports and Intermodal Transportation (1975B) Number changed to 20.801 (1981U)	11.549 State and Local Implementation Grant Program (2012)
11.469 Congressionally Identified Construction Projects (1993B) Congressionally Identified Awards and Projects (2004)	11.502 Aids to Shipping and Shipbuilding (1967B) Shipping and Shipbuilding Assistance (1969B) Federal Ship Mortgage Insurance (1970B) Federal Ship Financing Guarantees (1973B) Number changed to 20.802 (1981U)	11.550 Public Telecommunications Facilities (1979B) Transferred from 13.413 (1979B) Public Telecommunications Facilities_Construction and Planning (1986B) Public Telecommunications Facilities_Planning and Construction (1994B) Public Telecommunications Facilities Planning and Construction (2004) Archived (2013) Reinstated (2014) Reinstated (2014)
11.470 Office of Administration Special Programs (1993U) Deleted (2004) Consolidated into 11.481 (2004)	11.503 Maritime War Risk Insurance (1970B) Number changed to 20.803 (1981U)	11.551 Public Telecommunications Services Program (1980U1) Archived (1983B) National Endowment for Children's Educational Television (1993U) Archived (1997B)
11.472 Unallied Science Program (1994U)	11.504 Operating_Differential Subsidies (1970B) Number changed to 20.804 (1981U)	11.552 Telecommunications and Information Infrastructure Assistance Program (1994B) Technology Opportunities (2000B) Technology Opportunities Program (2004) Archived (2006)
11.473 Coastal Ecosystem Health (1995U) Coastal Services Center (1997B) Office for Coastal Management (2015)	11.505 Ship Exchange Program (1967B) Ships Exchange and Sales (1969B) Ship Sales (1972U) Number changed to 20.805 (1981U)	11.553 NYC 9/11 Digital Television Program (2008) Archived (2012) Reinstated (2014)
11.474 Atlantic Coastal Fisheries Cooperative Management Act (1995B)	11.506 State Marine Schools (1967B) Number changed to 20.806 (1981U)	11.554 Low-Power Television and Translator Digital-to-Analog Conversion (2007) Archived (2010)
11.477 Fisheries Disaster Relief (1999B) Archived (2012)	11.507 Maritime Manpower Training (1969B) U.S. Merchant Marine Academy (1970B) Number changed to 20.807 (1981U)	11.555 Public Safety Interoperable Communications Grant Program (2008) Archived (2010) Reinstated (2010) Archived (2013)
11.478 Coastal Ocean Program (1998B) Center for Sponsored Coastal Ocean Research_Costal Ocean Program (2000B)	11.508 Capital Construction Fund (1973U) Number changed to 20.808 (1981U)	11.556 TV Converter Box Coupon Program (2008) Archived (2010)
11.480 National Ocean Service Intern Program (1999B) Archived (2009)	11.509 Development and Promotion of Domestic Waterborne Transport Systems (1974U) Number changed to 20.809 (1981U)	11.557 Broadband Technology Opportunities Program (BTOP) (2009)
11.481 Educational Partnership Program (2001U)	11.510 Supplementary Training (1980U2) Number changed to 20.810 (1981U)	
11.482 Coral Reef Conservation Program (2010)	11.511 Research and Development Assistance (1981B) Number changed to 20.811 (1981U)	
11.483 NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (2013)		

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<p>11.558 State Broadband Data and Development Grant Program (2009) Archived (2017)</p>	<p>Standards Information Center (2017)</p>	<p>Economy (1971B) Number changed to 11.007 (1972B)</p>
<p>11.559 Low-Power Television and Translator Upgrade Program (2009) Archived (2013)</p>	<p>11.611 Manufacturing Technology Centers Program (1992U) Manufacturing Extension Program (1993B) Manufacturing Technology Centers (1993U) Manufacturing Extension Partnership (1994B) Consolidated from 11.613 (1995B)</p>	<p>11.700B 6Academy Planning Assistance (1977B) Transferred to 83.001 (1979B)</p>
<p>11.600 Building Code (Regulations) Assistance to States (1970B) Archived (1978U)</p>	<p>11.612 Advanced Technology Program (1990B) Archived (2009) Reinstated (2014)</p>	<p>11.701 Importation of Duty-Free Education and Scientific Materials (1969B) State Fire Incident Reporting Assistance (1977B) Transferred to 83.002 (1979B)</p>
<p>11.601 Calibration and Testing Services (1970B) Physical Measurement Services Program (1991U) Calibration Program (1992B)</p>	<p>11.613 State Technology Extension Program (1990B) Consolidated into 11.611 (1995B)</p>	<p>11.702 Internship Program for Postsecondary Students (1999B) Archived (2013)</p>
<p>11.602 Clearinghouse for Scientific and Technical Information (1967B) Clearinghouse for Federal Scientific and Technical Information (1970B) Number changed to 11.650 (1971B)</p>	<p>11.614 Experimental Program to Stimulate Competitive Technology (1999B) Archived (2002B)</p>	<p>11.750 Cooperative Generic Technology Program (1980U2) Archived (1982B)</p>
<p>11.603 Standard Reference Data (1969B) National Standard Reference Data System (1970B)</p>	<p>11.616 Technology Innovation Program (TIP) (2008)</p>	<p>11.800 Minority Business Enterprise (1970B) Minority Business Enterprise_Coordination and Technical Assistance (1971B) Minority Business Development_Coordination, Management, and Technical Assistance (1973B) Minority Business Development_Management and Technical Assistance (1978U) Minority Business Development Centers (1986U) Split into 11.801, 11.802, 11.803 (1986U) Basic Minority Business Development Centers (2000B) Minority Business Development Centers (2001B) Minority Business Enterprise Centers (2006) Archived (2012)</p>
<p>11.604 Standard Reference Materials (1969B)</p>	<p>11.617 Congressionally-Identified Projects (2002U) Archived (2015)</p>	
<p>11.605 State Invention Program (1970B) Archived (1975U)</p>	<p>11.618 National Institute of Standards and Technology Construction Grant Program (2008) Archived (2015)</p>	
<p>11.606 Weights, Measures, and Other Standards (1967B) Weights and Measures Service (1969B)</p>	<p>11.619 Arrangements for Interdisciplinary Research Infrastructure (2013)</p>	
<p>11.607 Experimental Technology Incentives Program (1973U) Archived (1975B)</p>	<p>11.620 Science, Technology, Business and/or Education Outreach (2014)</p>	
<p>11.608 Fire Research and Safety Program (1974U) Archived (1978B)</p>	<p>11.650 National Technical Information Service (1971B) Number changed from 11.602 (1971B) Archived (2013)</p>	
<p>11.609 Measurement and Engineering Research and Standards (1983B) Archived (2015) Reinstated (2015)</p>	<p>11.651 Commerce Summary Statistical Data (1972B) Incorporated into 11.650 (1973B) Reinstated/Split from 11.650 (1980U1) Science Information Exchange, Inc. (1980U1) Archived (1983B)</p>	
<p>11.610 National Center for Standards and Certification Information (1986U)</p>	<p>11.700A 6Measures and Analyses of the U.S. Economy (1969B) Measures and Analyses of the United States</p>	<p>11.801 American Indian Program (1986U) Native American Program (1993U) Native American Business Development Centers (2004) Native American Business Enterprise Centers (2006) Archived (2016)</p>
		<p>11.802 Minority Business and Industry Association_Minority Chambers of Commerce (1986U) Minority Business Resource Development (1991U) Minority Business Development (1998B) Deleted (2004)</p>
		<p>11.803 Minority Business Development_State and Local Government Program (1986U) Archived (1988B)</p>

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Reinstated (2002B) Archived (2012)	Hydroelectric Power Development (1965B) Archived (1969B)	12.102 Flood Control Works and Federally Authorized Coastal Protection Works, Rehabilitation (1984U) Emergency Rehabilitation of Flood Control Works and Federally Authorized Coastal Protection Works (1985U) Emergency Rehabilitation of Flood Control Works or Federally Authorized Coastal Protection Works (1986U)
11.804 MBDA Business Center - American Indian and Alaska Native (2012)	Information and Guidance on Flood Hazards (1967B) Archived (1969B)	
11.805 MBDA Business Center (2010)	Military Support of Community Projects (1967B) Archived (1969B)	
11.806 Minority Business Opportunity Committee Development (2000B) Minority Business Opportunity Committee (2002B) Archived (2003B)	Navigation in Rivers and Harbors (1967B) Archived (1969B)	12.103 Emergency Operations (1965B) Emergency Flood and Hurricane Operations (1969B) Flood Fighting and Rescue Operations, and Emergency Protection of Coastal Protective Works Federally Authorized (1970B) Flood Fighting and Rescue Operations (1986U) Emergency Operations Flood Response and Post Flood Response (1987U)
11.900 Patent and Trademark Assistance (1969B) Patent and Trademark Technical Information Dissemination (1970B) Archived (2017)	Nuclear Disaster Planning and Survival (1967B) Archived (1969B)	
11.950 Visitor Services (1969B) Community Visitor Services (1972B) Archived (1972U)	Recreation Facilities at Federal Water Resources Projects (1967B) Archived (1969B)	
11.951 Promotion of Travel from Abroad (1972B) Archived (1973U) Matching Funds (1974B) Reinstated (1974B) Matching Funds (1974B) Archived (1980U1)	Water Quality Control (1967B) Archived (1969B)	12.104 Flood Control (1965B) Flood Plain Management Services (1969B)
11.975 Telecommunications Management and Analysis (1971U) Archived (1973B)	Water Resources Development Program (1965B) Archived (1967B)	12.105 Protection of Essential Highways, Highway Bridge Approaches, and Public Works (1970B)
11.980 Productivity and Economic Growth Changed from 11.027 (1989B) Archived (1993B)	Water Resources Investigation (1967B) Archived (1969B)	12.106 Small Flood Control Projects (1970B) Flood Control Projects (1971B)
11.999 Marine Debris Program (2015)	Water Supply (1967B) Archived (1969B)	12.107 Limited Water Resources Development Projects (1967B) Small Navigation Projects (1969B) Navigation Projects (1971B)
DEPARTMENT OF DEFENSE Drainage Improvement (1965B) Archived (1969B)	12.000 Issue of Department Of Defense excess equipment (2005)	12.108 Snagging and Clearing for Flood Control (1970B)
Flood, Hurricane or Abnormal Tide Protection (1967B) Archived (1969B)	12.001 Machine Tool Loans to Vocational Schools. (1965B) Machine Tool Loan to Educational Institutions (1970B) Archived (1973B) Reinstated (1974B) Industrial Equipment Loans to Educational Institutions (1974B) Archived (1994B)	12.109 Snagging and Clearing for Navigation (1970U) Protection, Clearing and Straightening Channels (1978B)
Health Benefits for Uniformed Military Servicemen and Dependents (1967B) Archived (1969B)	12.002 Procurement Technical Assistance for Business Firms (1988B)	12.110 Planning Assistance to States (1975U)
	12.010 Youth Conservation Services (2015)	12.111 Flood Prevention (1983U) Emergency Advance Measures for Flood Prevention (1985U)
	12.100 Aquatic Plant Control (1967B)	12.112 Payments to States (1986B) Payments to States in Lieu of Real Estate Taxes (1987B)
	12.101 Beach Erosion Control (1967B) Beach Erosion Control Projects (1971B)	12.113

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<p>State Memorandum of Agreement Program for the Reimbursement of Technical Services (1991B)</p> <p>12.114 Collaborative Research and Development (1994U)</p> <p>12.116 DEPARTMENT OF DEFENSE APPROPRIATION ACT 2003 (2003B)</p> <p>12.117 ARRA Cooperative Agreements, New Mexico (2010) Archived (2016)</p> <p>12.118 North Dakota Environmental Infrastructure (Section 594) - ARRA (2010) Archived (2016)</p> <p>12.119 Northern Wisconsin Environmental Infrastructure (Section 154) - ARRA (2010) Archived (2016)</p> <p>12.120 Northeastern Minnesota Environmental Infrastructure (Section 569) - ARRA (2010) Archived (2016)</p> <p>12.121 Montana, Nevada, New Mexico, Utah, Idaho, & Wyoming Environmental Infrastructure (Section 595) - ARRA (2010) Archived (2016)</p> <p>12.122 Title VI - Cheyenne River Sioux Tribe, Lower Brule Sioux Tribe, and Terrestrial Wildlife Habitat Restoration, South Dakota - ARRA (2010) Archived (2016)</p> <p>12.123 South Central Pennsylvania Environmental Infrastructure (Section 313) - ARRA (2010) Archived (2016)</p> <p>12.124 Mississippi Environmental Infrastructure (Section 592) - ARRA (2010) Archived (2016)</p> <p>12.125 Southern WV Environmental Infrastructure (Section 340) - ARRA (2010) Archived (2016)</p> <p>12.126 Central WV Environmental Infrastructure (Section 571) - ARRA (2010) Archived (2016)</p>	<p>12.127 Southern and Eastern KY Environmental Infrastructure (Section 531) - ARRA (2010) Archived (2016)</p> <p>12.128 Florida Keys Water Quality Improvement Program (Section 109) - ARRA (2010) Archived (2016)</p> <p>12.129 Title VI - Cheyenne River Sioux Tribe, Lower Brule Sioux Tribe, and Terrestrial Wildlife Habitat Restoration, South Dakota (2010)</p> <p>12.130 Estuary Habitat Restoration Program (2012)</p> <p>12.200 Memorial Plots in National Cemeteries (1970B) Transferred to 64.200 (1973U)</p> <p>12.201 National Cemeteries (1969B) Transferred to 64.201 (1973U)</p> <p>12.202 Procurement of Headstones and Markers (1970B) Transferred to 64.202 (1973U)</p> <p>12.217 Electronic Absentee Systems for Elections (2011) Archived (2017)</p> <p>12.218 FVAP Policy Clearinghouse (2013) Archived (2017)</p> <p>12.219 EASE 2.0 (2013)</p> <p>12.225 Commercial Technologies for Maintenance Activities Program (2013)</p> <p>12.234 Civil Defense_Architect/Engineer Student Development (1972U)</p> <p>12.300 Natural Disasters_Warning, Survival and Rehabilitation (1967B) Civil Defense (1969B) Civil Defense_Architect/Engineer Faculty Development (1970B) Archived (1975B)</p> <p>12.301 Civil Defense_Architect/Engineer Professional Development (1970B)</p>	<p>Archived (1977B) Basic and Applied Scientific Research, Reinstated (1999B) Archived (2002B)</p> <p>12.302 Civil Defense_Community Action (1970B) Civil Defense_Community Preparedness (1974B) Archived (1977B)</p> <p>12.303 Civil Defense_Community Involvement (1970B) Incorporated into 12.302 (1971B)</p> <p>12.304 Civil Defense_Community Shelter Planning (1970B) Archived (1973B)</p> <p>12.305 Civil Defense_Emergency Operating Centers (1970B) Civil Defense_State and Local Emergency Operating Centers (1977B) Archived (1979B)</p> <p>12.306 Civil Defense_Emergency Water Supply Equipment (1970B) Archived (1976U)</p> <p>12.307 Civil Defense_Exhibits (1970B) Archived (1975B)</p> <p>12.308 Civil Defense_Federal Surplus Personal Property Donations (1970B) Archived (1977U)</p> <p>12.309 Civil Defense_Industrial Participation (1970B) Transferred to 83.205 (1980B)</p> <p>12.310 Inspection, Maintenance, and Calibration of Civil Defense Radiological Equipment (1970B) Civil Defense_Radiological Systems Maintenance and Calibration of Civil Defense Radiological Equipment (1974B) Civil Defense_Radiological Systems Maintenance and Training of Radiological Personnel (1976U) Transferred to 83.206 (1980B)</p> <p>12.311 Civil Defense_Marking and Stocking of Public Shelters (1970B) Archived (1971U)</p> <p>12.312</p>
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Civil Defense_National Fallout Shelter Survey (1970B) Civil Defense_National Shelter Survey (1975B) Transferred to 83.207 (1980B)	Civil Defense_Contributions Project Loan Program (1973B) Archived (1980B)	12.369 Marine Corps Systems Command Federal Assistance Program (2011)
12.313 Civil Defense_National Organizations Liaison (1970B) Archived (1973B)	12.323 Civil Defense_Education (1971) Consolidated into 12.325 (1974B)	12.400 National Guard Armories (1967B) Construction Program, Army National Guard (1970B) Construction, Army National Guard (1971B) Military Construction, Army National Guard (1974B) Military Construction, National Guard (1994B)
12.314 Civil Defense_Staff College (1970B) Transferred to 83.400 (1980B)	12.324 Civil Defense_Architect/Engineer Student Development (1972U) Transferred to 83.401 (1980B)	12.401 National Guard Military Operations and Maintenance (O&M) Projects (1996B)
12.315 Civil Defense_Personnel and Administrative Expenses (1970B) Civil Defense_State and Local Management (1977B) Transferred to 83.200 (1980B)	12.325 Civil Defense_State and Local Civil Preparedness Instruction Program (1974B) Consolidated from 12.320, 12.323 (1974B) Archived (1977B)	12.404 National Guard Civilian Youth Opportunities (2001B) National Guard ChalleNGe Program (2010)
12.316 Civil Defense_Radiation Shielding Design and Advisory Services (1970B) Civil Defense_Radiation Shielding Design (1978B) Deleted, Transferred to 83.208 (1980B)	12.330 Science, Technology, Engineering & Mathematics (STEM) for K-12 & Institutions of Higher Learning- NAVY (2011) Science, Technology, Engineering & Mathematics (STEM) Education, Outreach and Workforce Program (2014)	12.420 Military Medical Research and Development (1991B)
12.317 Civil Defense_Radiological Equipment Donation (1970B) Split from 12.300 (1970B) Archived (1974U)	12.335 Navy Command, Control, Communications, Computers, Intelligence, Surveillance, and Reconnaissance (2011)	12.431 Basic Scientific Research (1992U)
12.318 Civil Defense_Shelter Information (1970B) Archived (1973B)	12.340 Naval Medical Research and Development (2011)	12.432 U.S. Military Academy Athletic Programs at West Point (2016)
12.319 Civil Defense_Survival Supplies, Equipment and Training (1970B) Civil Defense_System Maintenance Services (1972B) Civil Defense_State and Local Maintenance and Services (1977B) Transferred to 83.201 (1980B)	12.350 Department of Defense HIV/AIDS Prevention Program (2006)	12.440 Dissertation Year Fellowship (2013)
12.320 Civil Defense_University Extension (1970B) Consolidated into 12.325 (1974B)	12.351 Basic Scientific Research - Combating Weapons of Mass Destruction (2007) Scientific Research - Combating Weapons of Mass Destruction (2016)	12.460 Fisher House Foundation (2017)
12.321 Civil Defense_State and Local Supporting Systems Equipment (1971B) Civil Defense_State and local Supporting Materials (1977B) Archived (1980B)	12.352 Scientific Research - Combating Weapons of Mass Destruction (2007) Archived (2016)	12.500 U.S. Soldiers Home (1970B) U.S. Soldiers' and Airmen's Home (1972U) U.S. Soldiers' and Airmen's Home (USSAH) and U.S. Naval Home (USNH) (1992B) Archived (1994B)
12.322 Civil Defense_Loans of Excess Federal Personal Property (1971B)	12.355 Pest Management and Vector Control Research (2015)	12.550 International Education_U.S. Colleges and Universities (1996U) National Flagship Language Program Grants To U.S. Institutions Of Higher Education (2006) The Language Flagship Grants to Institutions of Higher Education (2011)
	12.357 ROTC Language and Culture Training Grants (2010)	12.551 National Security Education_Scholarships (1998B) National Security Education Program David L. Boren Scholarships (2006)
	12.360 Research on Chemical and Biological Defense (2007)	12.552 National Security Education_Fellowships (1998B)

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National Security Education Program David L. Boren Fellowships (2006)
National Security Education Program David L. Boren Fellowships (2006)

12.553

National Flagship Language Program (NFLP) Fellowships (2006)
National Flagship Language Program Fellowships (2006)
The Language Flagship Fellowships (2011)
Archived (2017)

12.554

English for Heritage Language Speakers Grants to U.S. Institutions of Higher Education (2006)
Archived (2017)

12.555

English for Heritage Language Speakers Scholarships (2006)

12.556

Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools (2009)

12.557

Invitational Grants for Military-Connected Schools (2009)

12.558

Department of Defense Impact Aid (Supplement, CWSD, BRAC) (2009)

12.560

DOD, NDEP, DOTC-STEM Education Outreach Implementation (2010)

12.561

Community Partners in Suicide Prevention (2015)

12.579

Language Training Center (2012)

12.582

Office for Reintegration Programs (2017)

12.597

Task Force for Business & Stability Operations (2011)
Archived (2017)

12.598

Centers for Academic Excellence (2012)

12.599

Congressionally Directed Assistance (2010)

12.600

Community Economic Adjustment Program (1965B)

Community Economic Adjustment (1969B)
Deleted (2007)
Special Assistance (2012)
Community Investment (2014)

12.601

Procurement in Labor Surplus Areas (1965B)
Military Procurement from Labor Surplus Areas (1967B)
Contracting With Labor Surplus Area Concerns Program (1970B)
Contracting with Labor Surplus Area Concerns (1971B)
Archived (1973U)

12.602

Small Business Prime Contracting (1970B)
Archived (1973U)

12.603

Small Business Subcontracting Program (1970B)
Small Business Subcontracting (1971B)
Archived (1973U)

12.604

Community Economic Adjustment (2012)
Community Economic Adjustment Assistance for Reductions in Defense Spending (2015)

12.605

Small Business Subcontracting (1973B)
Archived (1973U)

12.606

Selected Reserve Educational Assistance Program (1980U1)
Archived (1985U)

12.607

Military Base Reuse Studies and Community Planning Assistance (1985B)
Community Economic Adjustment Planning Assistance (1994B)
COMMUNITY ECONOMIC ADJUSTMENT ASSISTANCE FOR ESTABLISHMENT, EXPANSION, REALIGNMENT, OR CLOSURE OF A MILITARY INSTALLATION (2007)
Community Economic Adjustment Assistance for Realignment or Closure of a Military Installation (2016)

12.608

Impact Assistance for Areas Affected by the East Coast Trident Program (1985B)
Archived (1994B)

12.609

Selected Reserve Educational Assistance Program (1985U)
Archived (1994B)

12.610

Joint Military/Community Comprehensive Land Use Plans (1989B)
Joint Land Use Studies (1991U)
Community Economic Adjustment Planning Assistance for Joint Land Use Studies (2004)
Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (2012)

12.611

Community Planning Assistance for Defense Industry Adjustments (1992B)
Community Economic Adjustment Planning Assistance Reduction in Defense Industry Employment (1994B)
Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (2012)

12.612

Community Base Reuse Plans (1994B)

12.613

Growth Management Planning Assistance (1994B)

12.614

Community Economic Adjustment Assistance for Advance Planning (2005)
COMMUNITY ECONOMIC ADJUSTMENT DIVERSIFICATION PLANNING (2007)
Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (2012)

12.615

RESEARCH AND TECHNICAL ASSISTANCE (2008)

12.617

Economic Adjustment Assistance for State Governments (2014)

12.630

Basic, Applied, and Advanced Research in Science and Engineering (1994U)

12.631

Science, Technology, Engineering and Mathematics (STEM) Educational Program: Science, Mathematics And Research for Transformation (SMART) (2010)

12.632

Legacy Resource Management Program (2015)

12.640

Integrated Helicopter Design Tools (1998B)

12.700

Donations/Loans of DOD Surplus Property (1971B)

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Donations/Loans of Obsolete DOD Property (1981U)	(1965B) Public Housing Program for American Indians (1967B) Archived (1969B)	14.003 Crime Insurance (1971U) Transferred to 83.102 (1980B)
12.750 Uniformed Services University Medical Research Projects (2011)	Homes Association Residential Subdivision (1967B) Archived (1969B)	14.004 Land Sales_Undeveloped Plots in Interstate Commerce (1972U) Land Sales_Parcel of Subdivided Land (1976B) Number changed to 14.801 (1980B)
12.800 Air Force Defense Research Sciences Program (1994B)	Low-Rent Public Housing (1965B) Incorporated into 14.146 (1970B)	14.005 Choice Neighborhoods Planning Grants (2011) Number changed to 14.892 (2012)
12.801 Air Force Academy Athletic Programs (2012)	Metropolitan Expeditors to Assist Local Officials (1965B) Archived (1969B)	14.006 Project Rental Assistance Demonstration (PRAD) Program of Section 811 Supportive Housing for Persons with Disabilities (2011) Number changed to 14.326 (2012)
12.810 Air Force Medical Research and Development (2015)	Supplementary Grants for Planned Metropolitan Development (1967B) Archived (1969B)	14.007 Performance Based Contract Administrator Program (2011) Number changed to 14.327 (2012)
12.840 Civil Air Patrol Program (2017)	Urban Information and Technical Assistance Services (1967B) Archived (1969B)	14.008 Transformation Initiative: Choice Neighborhoods Demonstration Small Research Grant Program (2012) Number changed from 14.008 (2012)
12.888 OPA Research Fellowship Program (2017)	Urban Mass Transportation (1965B) Transferred to the Department of Transportation (1969B)	14.100 College Housing Program (1965B) College Housing Debt Service (1970B) Split into 14.100, 14.101 (1970B) Archived (1973B)
12.900 Language Grant Program (1992U)	Urban Mass Transportation Demonstration Grants (1967B) Transferred to the Department of Transportation (1969B)	14.101 College Housing Direct Loans (1970B) Split from 14.100 (1970B) Incorporated into 14.100 (1971B)
12.901 Mathematical Sciences Grants Program (1992U)	Urban Renewal Rehabilitation and Code Enforcement (1965B) Archived (1969B)	14.102 Housing for Elderly or Handicapped (1964B) Housing Loans_Rental Housing for the Elderly and the Handicapped (1970B) Incorporated into 14.103 (1970U)
12.902 Information Security Grant Program (1995U)	Mortgage Insurance for Armed Services Housing_Civilian Employees (1969B) Archived (1970B)	14.103 Interest Supplements on Mortgages for Rental Housing for Lower Income Families (1969B) Interest Reduction Payments_Rental and Cooperative Housing for Lower Income Families (1970B) Archived (1994B) Reinstated (1999B) Interest Reduction Payments_Rental and Cooperative Housing for Lower Income Families (2005)
12.903 GenCyber Grants Program (2016)	Mortgage Insurance for Armed Services Housing_Impacted Areas (1969B) Archived (1970B)	
12.905 CyberSecurity Core Curriculum (2017)	Mortgage Insurance for Servicemen's Housing (1969B) Archived (1970B)	
12.910 Research and Technology Development (1992B)	Alaska Housing (1970B) Archived (1970B)	
12.911 Defense Technology Conversion, Reinvestment, and Transition Assistance (1994B) Archived (2002B)	14.001 Flood Insurance (1969B) Transferred to 83.100 (1980B)	
12.987 Upper San Pedro Partnership Support (2016)	14.002 Urban Property Protection and Reinsurance Program (1969B) Urban Property Insurance (1975B) Transferred to 83.101 (1980B)	
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT		
Advance Acquisition of Land (1965B) Archived (1969B)		
Advances for Public Works Planning (1965B) Public Works Planning Advances (1969B) Archived (1970B)		
American Indians_Public Housing Program		

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14.104

Interest Reduction_Acquisition and Rehabilitation of Homes for Resale to Lower Income Families (1970U)
Archived (1978U)

14.105

Interest Supplements on Mortgages for Home Ownership for Lower Income Families (1969B)
Interest Subsidy_Homes for Lower Income Families (1970B)
Archived (1983U)

14.106

Interest Reduction_Purchase of Rehabilitated Homes by Lower Income Families (1970B)
Archived (1978U)

14.107

Major Home Improvement Loan Insurance_Homes in Urban Renewal Areas (1970B)
Archived (1972B)

14.108

Major Home Improvement Loan Insurance_Housing Outside Urban Renewal Areas (1970B)
Rehabilitation Mortgage Insurance (1980B)
Rehabilitation Mortgage Insurance (2005)
Rehabilitation Mortgage Insurance (2005)
Rehabilitation Mortgage Insurance (2006)

14.109

Major Home Improvement Loan Insurance_Rental Housing in Urban Renewal Areas (1970B)
Archived (1972B)

14.110

Mobile Home Loan Insurance_Financing Purchase of Mobile Homes as Principal Residence (1970B)
Mobile Home Loan Insurance_Financing Purchase of Mobile Homes as Principal Residences of Borrowers (1976B)
Manufactured (Mobile) Home Insurance_Financing Purchase of Mobile Homes as Principal Residences of Borrowers (1981B)
Manufactured (Mobile) Home Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (1984B)
Manufactured Home Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (1985B)
Manufactured Home Loan Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (2005)
Manufactured Home Loan Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (2006)

14.111

Mortgage Insurance for Purchase and

Rehabilitation of Homes for Resale to Low-Income Purchasers (1967B)
Mortgage Insurance_Acquisition and Rehabilitation of Homes for Resale to Low Income Families (1970B)
Incorporated into 14.103 (1971B)

14.112

Mortgage Insurance_Construction or Rehabilitation of Condominium Projects (1970B)
Mortgage Insurance_Construction or Substantial Rehabilitation of Condominium Projects (1980B)
Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects (1989U)
Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects (2005)
Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects (2006)
Archived (2011)

14.113

Mortgage Insurance_Conversion of Below Market Rate Rental Housing to Condominium Ownership (1970B)
Archived (1973B)

14.114

Mortgage Insurance_Conversion of Below Market Rate Rental Housing to Cooperative Housing (1970B)
Archived (1971U)

14.115

Mortgage Insurance_Development of Sales Type Cooperative Projects (1970B)
Incorporated into 14.126 (1989B)

14.116

Mortgage Insurance for Group Practice Facilities (1969B)
Mortgage Insurance_Group Practice Facilities (1970B)
Consolidated into 14.128 (2002B)

14.117

Mortgage and Credit Insurance for Residential Rehabilitation and Home Purchase and Improvement (1967B)
Major Home Improvement (1969B)
Mortgage Insurance_Homes (1970B)
Split into 14.118, 14.119, 14.121 (1970B)
Mortgage Insurance_Homes (2005)
Mortgage Insurance_Homes (2006)

14.118

Mortgage Insurance_Homes for Certified Veterans (1970B)
Split from 14.117 (1970B)
Incorporated into 14.117 (1983U)

14.119

Mortgage Insurance for Replacement Housing for Victims of Natural Disasters (1967B)
Mortgage Insurance_Homes for Disaster Victims (1970B)
Split from 14.117 (1970B)
Mortgage Insurance_Homes for Disaster Victims (2005)
Mortgage Insurance_Homes for Disaster Victims (2006)

14.120

Mortgage Insurance for Housing for Families of Low and Moderate Income (1967B)
Mortgage Insurance for Moderate Income Homes (1969B)
Mortgage Insurance_Homes for Low and Moderate Income Families (1970B)
Archived (2001U)

14.121

Mortgage Insurance_Homes in Outlying Areas (1970B)
Split from 14.117 (1970B)

14.122

Mortgage Insurance_Homes in Urban Renewal Areas (1970B)
Mortgage Insurance_Homes in Urban Renewal Areas (2005)
Mortgage Insurance_Homes in Urban Renewal Areas (2006)

14.123

Mortgage Insurance in Older, Declining Neighborhoods (1969B)
Mortgage Insurance_Housing in Older, Declining Areas (1970B)
Mortgage Insurance_Housing in Older, Declining Areas (2005)
Mortgage Insurance_Housing in Older, Declining Areas (2006)

14.124

Mortgage Insurance_Investor Sponsored Cooperative Housing (1970B)
Incorporated into 14.126 (1989B)

14.125

Mortgage Insurance for Land Development and New Communities (1967B)
Mortgage Insurance_Land Development and New Communities (1970B)
Mortgage Insurance_Land Development (1983U)
Archived (1990B)

14.126

Mortgage Insurance_Management Type Cooperative Projects (1970B)
Mortgage Insurance_Cooperative Projects (1989B)

14.127

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Mortgage Insurance_Mobile Home Courts (1970B)
Mortgage Insurance_Manufactured (Mobile) Home Parks (1981B)
Archived (2016)

14.128

Mortgage Insurance_Nonprofit Hospitals (1965B)
Mortgage Insurance_Hospitals (1971B)

14.129

FHA Mortgage Insurance for Nursing Homes (1965B)
Mortgage Insurance_Nursing Home and Intermediate Care Facilities (1970B)
Mortgage Insurance_Nursing Homes, Intermediate Care Facilities and Board and Care Homes (1985U)
Mortgage Insurance_Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (1995B)

14.130

Mortgage Insurance for Purchase of Fee Simple Title (1969B)
Mortgage Insurance Purchased by Homeowners of Fee Simple Title From Lessors (1970B)
Consolidated into 14.11 (2002B)

14.131

Mortgage Insurance_Purchase of Rehabilitated Homes by Low Income Families (1970B)
Archived (1971B)

14.132

FHA Mortgage Insurance for Cooperative Housing (1965B)
Mortgage Insurance_Purchase of Sales Type Cooperative Housing Units (1970B)
Mortgage Insurance_Purchase of Sales-Type Cooperative Housing Units (1989U)
Deleted (2008)

14.133

FHA Mortgage Insurance for Condominiums (1965B)
Mortgage Insurance for Condominiums (1967B)
Mortgage Insurance for Condominium Housing (1969B)
Mortgage Insurance_Purchase of Units in Condominiums (1970B)

14.134

Mortgage Insurance_Rental Housing (1970B)

14.135

Mortgage Insurance_Rental Housing for Low and Moderate Income Families (1970B)
Mortgage Insurance_Rental Housing for Moderate Income Families (1971B)
Mortgage Insurance_Rental Housing for Moderate Income Families and Elderly, Market Interest Rate (1989B)

Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (1989U)

14.136

Mortgage Insurance for Rental Housing for Families of Low or Moderate Income (1967B)
Mortgage Insurance_Rental Housing for Low and Moderate Income Families, Below-Market Interest Rate (1970B)
Archived (1971B)

14.137

Mortgage Insurance for Low and Moderate Income Housing_Market Rate (1969B)
Mortgage Insurance_Rental Housing for Low and Moderate Income Families, Market Interest Rate (1970B)
Mortgage Insurance_Rental and Cooperative Housing for Low and Moderate Income Families, Market Interest Rate (1979U1)
Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families, Market Interest Rate (1984U)
Incorporated into 14.126 (1989B)

14.138

FHA Mortgage Insurance Rental Housing for the Elderly (1965B)
Mortgage Insurance for Senior Citizens Housing (1969B)
Mortgage Insurance_Rental Housing for the Elderly (1970B)

14.139

Mortgage Insurance for Urban Renewal (1965B)
Mortgage Insurance for Urban Renewal Housing (1969B)
Mortgage Insurance_Rental Housing in Urban Renewal Areas (1970B)

14.140

Mortgage Insurance for Assistance to Special Credit Risk Families (1969B)
Mortgage Insurance_Special Credit Risks (1970B)
Consolidated into 14.11 (2002B)

14.141

Low and Moderate Income Housing_Financial Assistance for Non-Profit Sponsors (1969B)
Nonprofit Housing Sponsor Loans_Planning Projects for Low and Moderate Income Families (1970B)
Nonprofit Sponsor Assistance Program (1980B)
Section 106(b) Nonprofit Sponsor Assistance Program (1987B)
Archived (1994B)

14.142

Property Improvement Loan Insurance_All Existing Structures (1970B)

Property Improvement Loan Insurance for Improving all Existing Structures and Building of New Nonresidential Structures (1976B)

14.143

Property Improvement Loan Insurance_Construction of Nonresidential Farm Structures (1970B)
Archived (1976B)

14.144

Property Improvement Loan Insurance_Construction of Nonresidential or Nonfarm Structures (1970B)
Archived (1976B)

14.145

Property Improvement Loan Insurance_Existing Multifamily Dwellings (1970B)
Archived (1976B)

14.146

Public Housing_Acquisition, Construction, Rehabilitation (1970B)
Low Income Housing_Assistance Program (Public Housing) (1977B)
Public Housing_Acquisition (Turnkey and Conventional Production Methods (1980U)
Public Housing (1983B)
Number changed to 14.850 (1983U)

14.147

Public Housing_Home Ownership (1970B)
Low Income Housing_Homeownership Opportunities for Low Income Families (1980U)
Number changed to 14.851 (1983U)

14.148

Low-Rent Public Housing_Leasing (1969B)
Public Housing_Leased (1970B)
Archived (1976B)

14.149

Rent Supplements for Disadvantaged Persons (1965B)
Rent Supplements (1969B)
Rent Supplements_Rental Housing for Lower Income Families (1970B)
Archived (1994B)
Reinstated (1999B)

14.150

Supplemental Loan Insurance_Management Type Cooperative Projects (1970B)
Archived (1971B)

14.151

FHA Mortgage Insurance for Multifamily Rental Housing (1969B)
Multifamily Housing Projects Supplemental Loans (1969B)

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Supplemental Loan Insurance_Multifamily Rental Housing (1970B)	Mortgage Insurance_Cooperative Financing (1979U2)	Section 223(f)_Coinsurance for Private Lenders (1983B)
14.152	Mortgage Insurance_Single Family Cooperative Housing (1990U)	Section 223(f)_Coinsurance for the Purchase or Refinancing of Existing Multifamily Projects (1983U)
Mortgage Insurance_Experimental Homes (1972B)	Archived (2016)	Multifamily Coinsurance (1987U)
Number changed to 14.507 (1984B)		Archived (1993B)
14.153	14.164	14.174
Mortgage Insurance_Experimental Projects Other Than Housing (1972B)	Operating Assistance for Troubled Multifamily Housing Projects (1980B)	Housing Development Grants (1984B)
Number changed to 14.508 (1984B)	Archived (2009)	Archived (1994B)
14.154	14.165	14.175
Mortgage Insurance_Experimental Rental Housing (1972B)	Mortgage Insurance_Homes_Military Impacted Areas (1980B)	Adjustable Rate Mortgages (1984U)
Number changed to 14.509 (1985B)		
14.155	14.166	14.176
Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (1975B)	Mortgage Insurance_Homes for Members of the Armed Services (1981B)	Section 221(d) Coinsurance for the Construction or Substantial Rehabilitation of Multifamily Housing Projects (1984U)
	Archived (2000B)	Consolidated into 14.173 (1987U)
14.156	14.167	14.177
Lower_Income Housing Assistance Program (1975B)	Mortgage Insurance_Two Year Operating Loss Loans, Section 223(d)(f) (1981U)	Housing Voucher Program (1986U)
Lower Income Housing Assistance Program_Section 8 Moderate Rehabilitation (1990U)	Archived (2014)	Section 8 Housing Voucher Program (1989U)
Number changed to 14.856 (1992B)		Section 8 Rental Voucher Program (1991B)
14.157	14.168	Number changed to 14.855 (1992B)
Housing for the Elderly or Handicapped (1977B)	Land Sales_Parcel of Subdivided Land (1982B)	14.178
Housing for the Elderly or Disabled (1991B)	Number changed from 14.801 (1982B)	Transitional Housing Demonstration Program (1987B)
Supportive Housing for the Elderly (1992U)	Land Sales_Certain Subdivided Land (1989B)	Supportive Housing Demonstration Program (1988B)
	Land Sales-Certain Subdivided Land (Interstate Land Sales Registration) and Real Estate Settlement Procedures Act (2009)	Number changed to 14.235 (1990B)
	Archived (2016)	
14.158	14.169	14.179
Public Housing_Modernization of Projects (1977B)	Housing Counseling Program (1982B)	Nehemiah Housing Opportunity Grant Program (1988U)
Number changed from 14.607 (1977B)	Number changed from 14.802 (1982B)	Archived (1997B)
Public Housing_Comprehensive Improvement Assistance Program (1977B)	Housing Counseling Assistance Program (1983B)	
Number changed to 14.852 (1983U)		14.180
14.159	14.170	Lower Income Housing Assistance_Section 8 Existing Housing Certificate Program (1990U)
Section 245 Graduated Payment Mortgage Program (1978U)	Congregate Housing Services Program (1982B)	Section 8 Rental Certificate Program (1991B)
Archived (2016)	Number changed from 14.803 (1982B)	Number changed to 14.857 (1992B)
	Archived (1998B)	
14.161	14.171	14.181
Single_Family Home Mortgage Coinsurance (1978U)	Manufactured Housing_Mobile Home Construction (1982B)	Supportive Housing for Persons with Disabilities (1991B)
Archived (1990B)	Number changed from 14.804 (1982B)	
14.162	Manufactured Housing Construction and Safety Standards (1983B)	14.182
Mortgage Insurance_Combination and Mobile Home Lot Loans (1979U1)	Manufactured Home Construction and Safety Standards (1986U)	Lower Income Housing Assistance Program_Section 8 New Construction/Substantial Rehabilitation (1991B)
Mortgage Insurance_Combination and Manufactured (Mobile) Home Lot Loans (1984B)	Manufactured Home Dispute Resolution (2011)	Archived (1997B)
Mortgage Insurance_Combination and Manufactured Home Lot Loans (1985B)		14.183
	14.172	Home Equity Conversion Mortgages (1991U)
	Mortgage Insurance_Growing Equity Mortgages (1983B)	
	Archived (2016)	14.184
14.163	14.173	Mortgages Insurance for Single Room Occupancy (SRO) Projects (1991U)

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<p>14.185 Homeownership and Opportunity for People Everywhere (1992B) Archived (1997B)</p>	<p>Multifamily Assisted Housing Reform and Affordability Act (1999B) Multifamily Assisted Housing Reform and Affordability Act (2005) Multifamily Assisted Housing Reform and Affordability Act (2006) Archived (2010)</p>	<p>14.208 New Communities (1969B) New Communities_Supplementary Grants (1970B) Archived (1973B)</p>
<p>14.186 Mortgage Insurance_Equity Loans (1992U) Archived (1997B)</p>	<p>14.198 Officer Next Door Sales Program (1999U) Good Neighbor Next Door Sales Program (2007)</p>	<p>14.209 Open Space Land Program (1965B) Open Space Land Acquisition and Development Grants (1970B) Archived (1971B)</p>
<p>14.187 Preservation of Affordable Housing (1993B) Consolidated into 14.194 (1998B)</p>	<p>14.199 Multifamily Property Disposition (2000B) Multifamily Property Disposition (2005) Multifamily Property Disposition (2006) Archived (2014)</p>	<p>14.210 Public Facility Loans (1965B) Archived (1971B)</p>
<p>14.188 HFA Risk Sharing Pilot Program (1994B) Housing Finance Agencies (HFA) Risk Sharing Pilot Program (1994U) Housing Finance Agencies (HFA) Risk Sharing (2002B)</p>	<p>14.200 Grants for Basic Water and Sewer Facilities (1965B) Water and Sewer Facilities Grants (1967B) Basic Water and Sewer Facilities (1969B) Basic Water and Sewer Facilities_Grants (1970B) Archived (1971B)</p>	<p>14.211 Surplus Land for Community Development (1969B) Surplus Land for Low and Moderate Income Housing (1980B) Archived (1983U)</p>
<p>14.189 Qualified Participating Entities (QPE) Risk Sharing Pilot Program (1994U) Qualified Participating Entities (QPE) Risk Sharing (2002B)</p>	<p>14.201 Fellowships for City Planning and Urban Studies (1967B) City Planning and Urban Studies (1969B) Archived (1971B)</p>	<p>14.212 Urban Beautification Program (1965B) Urban Beautification and Improvement Grants (1969B) Archived (1971B)</p>
<p>14.190 Section 8 Community Investment (Pension Fund) Demonstration (1995B) Archived (1997U)</p>	<p>14.202 Community Development Training and Research Grants (1967B) Community Development Training (1969B) Archived (1973B)</p>	<p>14.213 Urban Parks and Open Space Land in Build_Up Urban Areas (1970B) Archived (1970U)</p>
<p>14.191 Multifamily Housing Service Coordinators (1997U)</p>	<p>14.203 Urban Planning Assistance Program (1965B) Comprehensive Planning Assistance (1969B) Archived (1981U)</p>	<p>14.214 Urban Systems Engineering Demonstration Grants (1970B) Archived (1973U)</p>
<p>14.192 Safe Neighborhood Grants (1997U) Consolidated into 14.868 (1998B)</p>	<p>14.204 Historic Preservation Grants (1967B) Transferred to the Department of Interior (1970U) Archived (1971B)</p>	<p>14.215 Workable Program for Community Improvement (1969B) Archived (1971B)</p>
<p>14.193 Federally Assisted Low-Income Housing Drug Elimination (1997U)</p>	<p>14.205 HUD Clearinghouse Service (1970B)</p>	<p>14.216 Governmental Management_Technical Assistance and Information Services (1971B) Archived (1976B)</p>
<p>14.194 Portfolio Reengineering Demonstration Consolidated from 14.187 (1998B) Archived (1999B)</p>	<p>14.206 Neighborhood Facilities Program (1965B) Neighborhood Facilities Grants (1970B) Archived (1971B)</p>	<p>14.217 Urban Studies Fellowships (1972B) Archived (1973B)</p>
<p>14.195 Section 8 Housing Assistance Payments Program_Special Allocations (1998B) Section 8 Housing Assistance Payments Program (2009)</p>	<p>14.207 New Communities_Loan Guarantees (1970B) Archived (1983B)</p>	<p>14.218 Community Development Block Grants/Entitlement Grants (1975B)</p>
<p>14.196 Secondary Market for Non-Conforming Loans to Low-Wealth Borrowers Demonstration Program (1999B) Archived (2000B)</p>		<p>14.219 Community Development Block Grants/Discretionary Grants (1975B) Community Development Block Grants/Small</p>
<p>14.197</p>		

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Cities Program (1979B) Deleted (2008) Deleted (2008) Deleted (2008)	Community Development Block Grants/State's Program (1981U) Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (2007)	14.240 HOPE for Homeownership of Single Family Homes (1992B) Archived (1997B)
14.220 Section 312 Rehabilitation Loans (1975B) Number changed from 14.308 (1975B) Archived (1992B)	14.229 Community Development Block Grants/Secretary's Discretionary Fund (1982B) Split into 14.223, 14.225, 14.227 (1983U)	14.241 Housing Opportunities for Persons with AIDS (1992U)
14.221 Urban Development Action Grants (1978B) Archived (1989U)	14.230 Rental Housing Rehabilitation (1984B) Archived (1992B)	14.242 John Heinz Neighborhood Development Program (1993B) Number changed to 14.510 (1995B)
14.222 Urban Homesteading (1978U) Archived (1992B)	14.231 Emergency Shelter Grants Program (1987B) Emergency Solutions Grant Program (2012)	14.243 Opportunities for Youth_Youthbuild Program (1993U) Number changed to 17.274 (2007)
14.223 Indian Community Development Block Grant Program (1979U1) Consolidated into 14.229 (1982B) Reinstated/Split from 14.229 (1983U) Number changed to 14.862 (1994B)	14.232 Community Development Block Grant/Secretary's Discretionary Fund/Special Projects (1987U) Archived (1990B)	14.244 Empowerment Zones Program (1994B) Renewal Community and Empowerment Zone Programs (2009) Archived (2009)
14.224 Secretary's Discretionary Fund/Community Development Disaster Assistance (1980U) Archived (1981U)	14.234 Community Development Work-Study Program (1988U) Number changed to 14.512 (1995B)	14.245 Innovative Homeless Initiative Demonstration Program (1995U) Archived (1997B)
14.225 Secretary's Discretionary Fund/Territories Program (1980U) Consolidated into 14.229 (1982B) Reinstated/Split from 14.229 (1983U) Community Development Block Grants/Secretary's Discretionary Fund/Insular Areas (1983U) Community Development Block Grants/Special Purpose Grants/Insular Area (1990B)	14.235 Supportive Housing Demonstration Program (1990B) Number changed from 14.178 (1990B) Supportive Housing Program (1993B) Consolidated from 14.236 (1993U) Archived (2015) Archived (2015) Archived (2015) Reinstated (2017)	14.246 Community Development Block Grants/Economic Development Initiative (1996B) Community Development Block Grants/Brownfields Economic Development Initiative (2003B) Archived (2012)
14.226 Secretary's Discretionary Fund/Innovative Grants Program (1980U) Archived (1981U)	14.236 Supplemental Assistance for Facilities to Assist the Homeless (1990B) Number changed from 14.510 (1990B) Consolidated into 14.235 (1993U)	14.247 Self-Help Homeownership Opportunity Program (1997B)
14.227 Secretary's Discretionary Fund/Community Development Technical (1981U) Consolidated into 14.229 (1982B) Reinstated/Split from 14.229 (1983U) Community Development Block Grants/Secretary's Discretionary Fund/Technical Assistance Program (1983U) Community Development Block Grants/Special Purpose Grants/Insular Area (1990B) Archived (2011) Reinstated (2012) Archived (2013)	14.237 Historically Black Colleges and Universities Program (1991B) Number changed to 14.520 (2002B)	14.248 Community Development Block Grants_Section 108 Loan Guarantees (1997U)
14.228	14.238 Shelter Plus Care (1992B) Archived (2015) Reinstated (2017)	14.249 Section 8 Moderate Rehabilitation Single Room Occupancy (1998B) Archived (2015) Reinstated (2017)
	14.239 HOME Investment in Affordable Housing (1992B) HOME Investment Partnerships Program (1993U)	14.250 Rural Housing and Economic Development (1999B) Archived (2010) Reinstated (2017)
		14.251 Economic Development Initiative-Special Project, Neighborhood Initiative and Miscellaneous Grants

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(2008) Archived (2012)	Homeless Prevention and Rapid Re-Housing Program Technical Assistance (2010) Archived (2014)	Model Neighborhoods in Demonstration Cities (1967B) Model Cities Supplementary Grants (1970B) Archived (1973B)
14.252 Section 4 Capacity Building for Affordable Housing and Community Development Grants (2008) Section 4 Capacity Building for Community Development and Affordable Housing (2009)	14.263 Rural Innovation Fund (2010) Archived (2012)	14.301 Basic Water and Sewer Facilities_Grants (1971B) Archived (1973B)
14.253 Community Development Block Grant ARRA Entitlement Grants (CDBG-R)(Recovery Act Funded) (2009) Archived (2010)	14.264 Neighborhood Stabilization Program (2011) Archived (2013)	14.302 Neighborhood Facilities Grants (1971B) Archived (1973B)
14.254 Community Development Block Grants/Special Purpose Grants/Insular Areas (Recovery Act Funded) (2009) Archived (2010)	14.265 Rural Capacity Building for Community Development and Affordable Housing Grants (2012)	14.303 Open Space Land Programs (1971B) Archived (1973B)
14.255 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (Recovery Act Funded) (2009) Archived (2010)	14.266 Border Community Capital Initiative (2012)	14.304 Public Facility Loans (1971B) Archived (1973B)
14.256 Neighborhood Stabilization Program (Recovery Act Funded) (2009) Archived (2010)	14.267 Continuum of Care Program (2012)	14.305 Housing Rehabilitation Loans and Grants (1971B) Archived (1973B)
14.257 Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded) (2009) Archived (2010)	14.268 Rural Housing Stability Assistance Program (2012)	14.306 Neighborhood Development (1971B) Archived (1973B)
14.258 Tax Credit Assistance Program (Recovery Act Funded) (2009) Archived (2010)	14.269 Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG-DR) (2013)	14.307 Urban Renewal Projects (1971B) Archived (1973U)
14.259 CPD's Transformation Initiative Technical Assistance (2010) Community Compass Technical Assistance and Capacity Building (2014)	14.270 Appalachia Economic Development Initiative (2013)	14.308 Housing Rehabilitation Grants (1971U) Number changed to 14.220 (1975B)
14.260 Veterans Homelessness Prevention Demonstration Program (2010) Archived (2011) Reinstated (2011) Archived (2015)	14.271 Delta Community Capital Initiative (2013)	14.309 Code Enforcement Grants (1971B) Archived (1973B)
14.261 Homeless Management Information Systems Technical Assistance (2010)	14.272 National Resilient Disaster Recovery Competition (2014) National Disaster Resilience Competition (2015)	14.310 Teacher Next Door Initiative (2000B)
14.262	14.273 Pay for Success Permanent Supportive Housing Demonstration (2015)	14.311 Single Family Property Disposition (2000B)
	14.275 Housing Trust Fund (2016)	14.312 New Approach Anti-Drug Grants Consolidated from 14.868 (2000B)
	14.276 Youth Homelessness Demonstration Program (2016)	14.313 Dollar Home Sales (2000U)
	14.278 Veterans Home Rehabilitation Program (2016) Veterans Housing Rehabilitation and Modification Program (2017)	14.314 Section 202 Assisted Living Conversion Program (2000U) Assisted Living Conversion for Eligible Multifamily Housing Projects (2002B)
	14.300	

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<p>14.315 Emergency Capital Repair Grants for Multifamily Housing Projects Designated for Occupancy (2006) Archived (2012)</p> <p>14.316 Housing Counseling Training Program (2006)</p> <p>14.317 Section 8 Housing Assistance Payments Program Special Allocations (Recovery Act Funded) (2009) Archived (2016)</p> <p>14.318 Assisted Housing Stability and Energy and Green Retrofit Investments Program (Recovery Act Funded) (2009) Archived (2016)</p> <p>14.319 Multifamily Energy Innovation Fund (2011) Archived (2016) Reinstated (2017)</p> <p>14.321 FHA Technical Assistance Training Transformation Initiative (2010) Archived (2016)</p> <p>14.322 Tenant Resource Network Program (2011) Archived (2014) Reinstated (2017)</p> <p>14.323 Emergency Homeowners Loan Program (2011) Archived (2013)</p> <p>14.324 FHA Power Saver Home Energy Improvement Pilot Program (2016) Archived (2016)</p> <p>14.326 Project Rental Assistance Demonstration (PRAD) Program of Section 811 Supportive Housing for Persons with Disabilities (2012) Number changed from 14.006 (2012) Project Rental Assistance Demonstration (PRA Demo) Program of Section 811 Supportive Housing for Persons with Disabilities (2012)</p> <p>14.327 Performance Based Contract Administrator Program (2012) Number changed from 14.007 (2012)</p> <p>14.329 HUD Multifamily PFS pilot (2016)</p>	<p>14.400 Equal Opportunity in Housing (1969B) Archived (2015) Reinstated (2015) Reinstated (2015)</p> <p>14.401 Fair Housing Assistance Program (1980B) Fair Housing Assistance Program_State and Local (1983B)</p> <p>14.402 Non-Discrimination in Federally-Assisted Programs (On the Basis of Age) (1982) Archived (2009)</p> <p>14.403 Community Housing Resource Board Program (1982) Archived (1992B)</p> <p>14.404 Non-Discrimination in Federally Assisted Programs (On the Basis of Handicap) (1983U) Non-Discrimination in Federally Assisted and Conducted Programs (On the Basis of Handicap) (1988B) Non-Discrimination in Federally Assisted and Conducted Programs (On the Basis of Disability) (1993U) Archived (2009)</p> <p>14.405 Non-Discrimination in Federally Assisted Programs (On the Basis of Race, Color or National Origin) (1983U) Archived (2009)</p> <p>14.406 Non-Discrimination in the Community Development Block Grant Program (On the Basis of Race, Color, National Origin, Sex, Handicap or Age) (1983U) Non-Discrimination in the Community Development Block Grant Program (On the Basis of Race, Color, National Origin, Religion, Sex, Disability or Age) (1993U) Non-Discrimination in the Community Development Block Grant Program (On the Basis of Race, Color, National Origin, Religion, or Sex) (1996B) Archived (2009)</p> <p>14.407 Architectural Barriers Act Enforcement (1988B) Archived (2009)</p> <p>14.408 Fair Housing Initiative Program (FHIP) Administrative Enforcement Initiative (1988U) Fair Housing Initiatives and Administrative</p>	<p>Enforcement Initiative Program (1996B) Fair Housing Initiatives Program (2003B)</p> <p>14.409 Fair Housing Initiative Program (FHIP) Education and Outreach Initiative (1988U)</p> <p>14.410 Fair Housing Initiative Program (FHIP) Private Enforcement Initiative (1988U)</p> <p>14.411 Public Housing Affirmative Compliance Actions Program (1990B) Archived (1997B)</p> <p>14.412 Employment Opportunities for Lower Income Persons and Businesses (1992U) Archived (2009)</p> <p>14.413 Fair Housing Initiatives Program (FHIP) Fair Housing Organization Initiatives (1993B) Fair Housing Initiatives Program (FHIP) Fair Housing Organizations Initiative (1994U) Fair Housing Initiatives and Fair Housing Organizations Initiative Program (1996B)</p> <p>14.414 Non-Discrimination on the Basis of Disability by Public Entities (1993U) Archived (2009)</p> <p>14.415 Non-Discrimination on the Basis of Sex in Education Programs and Activities Receiving Federal Financial Assistance (2001B) Archived (2009)</p> <p>14.416 Education and Outreach Initiatives (2009) Education and Outreach Initiatives (2011)</p> <p>14.417 Fair Housing Organization Initiatives (2009) Fair Housing Organization Initiatives (2011)</p> <p>14.418 Private Enforcement Initiatives (2009)</p> <p>14.419 Section 3 Technical Assistance (2010) Section 3 Coordination and Implementation (2013) Archived (2014)</p> <p>14.420 Fair Housing Initiatives Program Enforcement Testing Technical Assistance (2010) Archived (2014)</p>
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<p>14.421 Limited English Proficiency Initiative (2011) Archived (2014)</p>	<p>Joint Community Development Program, Number changed from 14.242 (1995B) Archived (1997B)</p>	<p>Transformation Initiative Research Grants: Natural Experiments (2010)</p>
<p>14.500 Low Income Housing Demonstration Program (1965B) Archived (1970U)</p>	<p>14.511 Community Outreach Partnership Center Program (1995B)</p>	<p>14.525 Transformation Initiative Research Grants: Demonstration and Related Small Grants (2010)</p>
<p>14.501 Mortgage Insurance_Experimental Homes (1970B) Archived (1971B)</p>	<p>14.512 Community Development Work-Study Program Number changed from 14.234 (1995B) Deleted (2007)</p>	<p>14.529 Fellowship Placement Pilot Program (2011)</p>
<p>14.502 Mortgage Insurance_Experimental Projects Other Than Housing (1970B) Archived (1971B)</p>	<p>14.513 Hispanic-Serving Institutions Work-Study Program (1996U) Archived (2000U)</p>	<p>14.534 Strong Cities Strong Communities (SC2) National Resource Network (2012)</p>
<p>14.503 Mortgage Insurance for Experimental Housing (1969B) Mortgage Insurance_Experimental Rental Housing (1970B) Archived (1971B)</p>	<p>14.514 Hispanic-Serving Institutions Assisting Communities (1999B) Archived (2000B) Reinstated (2000U) Archived (2011)</p>	<p>14.535 Transformation Initiative: Rental Assistance Demonstration Small Research Grant Program (2013)</p>
<p>14.504 Urban Planning Research and Demonstration (1970B) Archived (1973B)</p>	<p>14.515 Alaska Native/Native Hawaiian Institutions Assisting Communities (2000B) Archived (2011)</p>	<p>14.536 Project Research and Evaluations, Demonstrations, and Data Analysis and Utilization (2014) Research and Evaluations, Demonstrations, and Data Analysis and Utilization (2014)</p>
<p>14.505 Urban Renewal Demonstration Grant Program (1965B) Archived (1971B)</p>	<p>14.516 Doctoral Dissertation Research Grants (2001B)</p>	<p>14.550 Solar Energy and Energy Conservation Bank (1983U) Incorporated into 14.126 (1989B)</p>
<p>14.506 General Research and Technology Activity (1972B)</p>	<p>14.517 Early Doctoral Student Research Grants (2001B) Archived (2010)</p>	<p>14.600 Certified Areas Grants (1970B) Archived (1971B)</p>
<p>14.507 Mortgage Insurance_Experimental Homes (1984B) Number changed from 14.152 (1984B) Archived (1997B)</p>	<p>14.518 HUD Urban Scholars Fellowship Grants (2001B)</p>	<p>14.601 Code Enforcement (1965B) Code Enforcement Grants (1970B) Archived (1971B)</p>
<p>14.508 Mortgage Insurance_Experimental Projects Other Than Housing (1984B) Number changed from 14.153 (1984B) Archived (1997B)</p>	<p>14.519 Tribal Colleges and Universities Program (2001B) Archived (2011)</p>	<p>14.602 Community Renewal Program (1965B) Community Renewal Planning Grants (1970B) Archived (1971B)</p>
<p>14.509 Mortgage Insurance_Experimental Rental Housing (1985B) Number changed from 14.154 (1985B) Archived (1997B)</p>	<p>14.520 Historically Black Colleges and Universities Program (2002B) Archived (2011) Reinstated (2017)</p>	<p>14.603 Demolition of Unsound Structures (1967B) Demolition Grants (1969B) Archived (1971B)</p>
<p>14.510 Supplemental Assistance for Facilities to Assist the Homeless (1988B) Number changed to 14.236 (1990B)</p>	<p>14.521 Universities Rebuilding America Program - Community Design (2005)</p>	<p>14.604 Housing Rehabilitation Grants (1969B) Housing Rehabilitation Loans and Grants (1970B) Archived (1971B)</p>
	<p>14.523 Transformation Initiative Research Grants: Sustainable Community Research Grant Program (2010)</p>	<p>14.605 Interim Assistance for Blighted Areas (1969B) Interim Assistance for Blighted Areas Grants (1970B) Archived (1971B)</p>
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14.606 Neighborhood Development Program (1969B) Archived (1971B)	Number changed to 14.170 (1982B)	14.858 HOPE for Public and Indian Housing Homeownership (1992B) Archived (1997B)
14.607 Low-Rent Public Housing_Modernization of Projects (1969B) Public Housing_Modernization of Projects (1970B) Number changed to 14.158 (1977B)	14.804 Manufactured Housing_Mobile Home Construction (1981U) Number changed to 14.171 (1982B)	14.859 Public and Indian Housing_Comprehensive Grant Program (1993U) Public Housing_Comprehensive Grant Program (1998B) Consolidated into 14.872 (2000B)
14.608 Relocation Assistance and Payments (1969B) Archived (1971B)	14.850 Public Housing (1983U) Number changed from 14.146 (1983U) Public and Indian Housing (1984B)	14.860 Public and Indian Housing Early Childhood Development (1994U) Head Start Public Housing Early Childhood/Development Demonstration (1995B) Archived (1996U)
14.609 Urban Renewal Projects (1965B) Archived (1971B)	14.851 Low Income Housing_Homeownership Opportunities for Low Income Families (1983U) Number changed from 14.147 (1983U) Consolidated into 14.867 (1998B)	14.861 Public and Indian Housing Family Investment Centers Program (1994B) Archived (1996U)
14.701 Disaster Assistance (1973U) Transferred to 83.300 (1980B)	14.852 Public Housing_Comprehensive Improvement Assistance Program (1983U) Number changed from 14.158 (1983U) Public and Indian Housing_Comprehensive Improvement Assistance Program (1984B) Public Housing_Comprehensive Improvement Assistance Program (1998B) Consolidated into 14.872 (2000B)	14.862 Indian Community Development Block Grant Program Number changed from 14.223 (1994B)
14.702 State Disaster Preparedness Grants (1973U) Transferred to 83.203 (1980B)	14.853 Public Housing Resident Management Program (1989B) Public and Indian Housing Tenant Opportunity Program (1995B) Public Housing_Tenant Opportunities Program (1998B) Consolidated into 14.870 (2001B)	14.863 Public and Indian Housing Youth Sports Program (1995B) Portion incorporated into 14.854 (1997B)
14.703 Sustainable Communities Regional Planning Grant Program (2010) Archived (2014)	14.854 Public Housing Drug Elimination Program (1989U) Public and Indian Housing Drug Elimination Program (1992B) Portion incorporated from 14.863 (1997B)	14.864 Economic Development and Supportive Services Program (1997B) Consolidated into 14.870 (2001B)
14.704 Community Challenge Planning Grants and the Department of Transportation's TIGER II Planning Grants (2010) Archived (2014)	14.855 Section 8 Rental Voucher Program Number changed from 14.177 (1992B) Consolidated into 14.871 (2000B)	14.865 Public and Indian Housing_Indian Loan Guarantee Program (1997B)
14.705 Capacity Building for Sustainable Communities (2011) Archived (2015)	14.856 Lower Income Housing Assistance Program_Section 8 Moderate Rehabilitation Number changed from 14.156 (1992B) Archived (1998B) Reinstated (1999B)	14.866 Revitalization of Severely Distressed Public Housing (1997U) Demolition and Revitalization of Severely Distressed Public Housing (1999U) Archived (2014) Reinstated (2015)
14.800 Neighborhood Self-Help Development (1980B) Archived (1981U)	14.857 Section 8 Rental Certificate Program Number changed from 14.180 (1992B) Consolidated into 14.871 (2000B)	14.867 Indian Housing Block Grants Consolidated from 14.851 (1998B)
14.801 Land Sales_Parcel of Subdivided Land (1980B) Number changed from 14.004 (1980B) Number changed to 14.168 (1982B)		14.868 New Approach Anti-Drug Grants Consolidated from 14.192 (1998B) Consolidated into 14.312 (2000B)
14.802 Housing Counseling Service (1969B) Archived (1970B) Reinstated (1980U) Housing Counseling Program (1980U) Number changed to 14.169 (1982B)		
14.803 Congregate Housing Services Program (1980U)		

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14.869 Title VI Federal Guarantees for Financing Tribal Housing Activities (1999B)	Native American Housing Block Grants (Formula) Recovery Act Funded (2009) Archived (2011)	Office of Native American Programs Training and Technical Assistance for Native Hawaiian Housing Block Grant Program (2012)
14.870 Resident Opportunity and Supportive Services (1999B) Consolidated from 14.853, 14.864 (2001B) Resident Opportunity and Supportive Services (2006) Resident Opportunity and Supportive Services - Homeownership and Family (2006) Resident Opportunity and Supportive Services - Service Coordinators (2008)	14.883 Native Hawaiian Housing Block Grants (Recovery Act Funded) (2009) Archived (2010)	14.895 Jobs-Plus Pilot Initiative (2014)
14.871 Section 8 Housing Choice Vouchers Consolidated from 14.855, 14.857 (2000B)	14.884 Public Housing Capital Fund Competitive (Recovery Act Funded) (2009) Archived (2012)	14.896 Family Self-Sufficiency Program (2014)
14.872 Public Housing Capital Fund Consolidated from 14.852, 14.859 (2000B)	14.885 Public Housing Capital Fund Stimulus (Formula) Recovery Act Funded (2009) Archived (2010)	14.897 Juvenile Reentry Assistance Program Juvenile Reentry Assistance Program (JRAP) (2015)
14.873 Native Hawaiian Housing Block Grants (2003B)	14.886 Indian Community Development Block Grant Program (Recovery Act Funded) (2009) Archived (2012)	14.898 ROSS Supportive Services Programs (2015)
14.874 Loan Guarantees for Native Hawaiian Housing (2004)	14.887 Native American Housing Block Grants (Competitive) Recovery Act Funded (2009) Archived (2010) Reinstated (2010) Archived (2014)	14.900 Lead-Based Paint Hazard Reduction Grant Program (1993U) Lead-Based Paint Hazard Control Program (1994B) Lead-Based Paint Hazard Control in Priority Housing (1996B) Lead-Based Paint Hazard Control in Privately-Owned Housing (1997B)
14.875 Public Housing Neighborhood Networks Grants (2005) Archived (2009)	14.888 Lead-Based Paint Capital Fund Program (2017)	14.901 Healthy Homes Initiative Grants (2000B) Healthy Homes Demonstration Grants (2003B) Healthy Homes Implementation Grant Program (2010) Healthy Homes Demonstration Grants (2010) Archived (2010)
14.876 Resident Opportunity and Supportive Services - Elderly and Persons with Disabilities (2006) Archived (2010)	14.889 Choice Neighborhoods (2010) Choice Neighborhoods Implementation Grants (2011)	14.902 Lead Technical Studies Grants (2003B) Archived (2009) Reinstated (2010)
14.877 Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services (2006)	14.890 Capital Fund Education and Training Community Facilities (2010) Archived (2011) Reinstated (2012) Archived (2014)	14.903 Operation Lead Elimination Action Program (2003B) Archived (2009)
14.878 Affordable Housing Development in Main Street Rejuvenation Projects (2006)	14.891 Public and Indian Housing Transformation Initiative (TI) Technical Assistance (TA) (2010)	14.904 Lead Outreach Grants (2003B) Archived (2009)
14.879 Mainstream Vouchers (2006)	14.892 Choice Neighborhoods Planning Grants (2012) Number changed from 14.005 (2012)	14.905 Lead Hazard Reduction Demonstration Grant Program (2003B)
14.880 Family Unification Program (FUP) (2008) Archived (2014) Reinstated (2017)	14.893 Office of Native American Programs Training and Technical Assistance for Indian Housing Block Grant Program (2012)	14.906 Healthy Homes Technical Studies Grants (2004) Lead and Healthy Homes Technical Studies Grants (2010) Healthy Homes Technical Studies Grants (2010)
14.881 Moving to Work Demonstration Program (2008)	14.894	14.907 Lead-Based Paint Hazard Control in Privately-Owned Housing (Recovery Act Funded)
14.882		

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(2009) Archived (2010)	Archived (1967B)	Transferred to 11.415 (1971B)
14.908 Healthy Homes Demonstration Grants (Recovery Act Funded) (2009) Archived (2010)	American Indian Education Financial Assistance (1965B) Archived (1967B)	15.010 Jellyfish Control (1969B) Transferred to 11.416 (1971B)
14.909 Lead Hazard Reduction Demonstration Grant Program (Recovery Act Funded) (2009) Archived (2010)	On-Reservation Adult Education Program for American Indians (1965B) Archived (1967B)	15.020 Aid to Tribal Governments (1997B)
14.910 Healthy Homes Technical Studies Grants (Recovery Act Funded) (2009) Archived (2010)	Post High School Training for American Indian Youth (1965B) Archived (1967B)	15.021 Consolidated Tribal Government Program (1997B) Archived (1999B) Reinstated (2002B) Archived (2010) Reinstated (2010) Consolidated Tribal Government (2016)
14.911 Green and Healthy Housing Technical Studies Grants (2009) Archived (2010)	Summer Programs for American Indian Students (1965B) Archived (1967B)	15.022 Tribal Self-Governance (1997B) Archived (2010) Reinstated (2010)
14.912 Lead Hazard Control Capacity Building (2009) Archived (2010)	Programs for American Indians (1969B) Split into "Indian Programs" (1970B)	15.023 Tribal Self-Governance Grants (1997B) Archived (2001B)
14.913 Healthy Homes Implementation Grant Program (2010) Healthy Homes Production Grant Program (2010) Healthy Homes Production Program (2013) Archived (2017)	15.001 Anadromous and Great Lakes Fisheries Conservation (1970B) Transferred to 11.405 (1971B)	15.024 Indian Self-Determination Contract Support (1997B) Archived (2010) Reinstated (2010)
14.914 Asthma Intervention in Multi-Family Housing Grant Program (2010) Asthma Interventions in Public and Assisted Multifamily Housing (2010) Archived (2017)	15.002 Commercial Fisheries Disaster Assistance (1970B) Transferred to 11.406 (1971B)	15.025 Services to Indian Children, Elderly and Families (1997B) Archived (2010) Reinstated (2010)
DEPARTMENT OF THE INTERIOR Appalachian Region_Sewage Treatment Works Grants (1967B) Archived (1969B)	15.003 Commercial Fisheries Research and Development (1969B) Transferred to 11.407 (1971B)	15.026 Indian Adult Education (1997B) Archived (2010) Reinstated (2010)
Solid Waste Disposal (1967B) Archived (1969B)	15.004 Fishermen_Reimbursement of Losses (1970B) Transferred to 11.410 (1971B)	15.027 Assistance to Tribally Controlled Community Colleges and Universities (1997B) Archived (2010) Reinstated (2010) Archived (2010) Reinstated (2011)
Identification of Mentally Retarded American Indian Children (1965B) Archived (1970B)	15.005 Fisheries Assistance (1965B) Fisheries Loan Fund (1970B) Transferred to 11.409 (1971B)	15.028 Tribally Controlled Community College Endowments (1997B) Archived (2010) Reinstated (2010)
Mining Area Restoration in the Appalachian Region (1965B) Appalachian Region_Mining Area Restoration (1967B) Archived (1970B)	15.006 Fishery Marketing Assistance (1970B) Transferred to 11.412 (1971B)	15.029 Tribal Courts (1997B) Archived (2010)
American Indian Credit and Financing Program (1965B)	15.007 Fishery Products Inspection and Certification (1970B) Transferred to 11.413 (1971B)	
	15.008 Fishing Statistics and Market News (1970B) Transferred to 11.414 (1971B)	
	15.009 Fishing Vessel Mortgage and Loan Insurance (1970B)	

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Reinstated (2010)	Indian School Equalization Program (1997B) Archived (2010) Reinstated (2010)	Conservation Act (1997B) Archived (2009)
15.030 Indian Law Enforcement (1997B) Archived (2010) Reinstated (2010)	Indian School Equalization (2016)	15.056 Waste Management_Indian Lands (1997B) Consolidated into 15.041 (1999B)
15.031 Indian Community Fire Protection (1997B) Archived (2010) Reinstated (2010)	15.043 Indian Child and Family Education (1997B) Archived (2010) Reinstated (2010)	15.057 Navajo-Hopi Indian Settlement Program (1997B) Archived (2010) Reinstated (2010) Navajo-Hopi Indian Settlement (2016)
15.032 Indian Economic Development (1997B) Archived (2010) Reinstated (2010)	15.044 Indian Schools_Student Transportation (1997B)	15.058 Indian Post Secondary Schools (1997B) Archived (2010) Reinstated (2010)
15.033 Road Maintenance_Indian Roads (1997B)	15.045 Assistance for Indian Children with Severe Disabilities (1997B) Archived (2010) Reinstated (2010) Archived (2013)	15.059 Indian Graduate Student Scholarships (1997B)
15.034 Agriculture on Indian Lands (1997B)	15.046 Administrative Cost Grants for Indian Schools (1997B)	15.060 Indian Vocational Training_United Tribes Technical College (1997B) Archived (2010) Reinstated (2010)
15.035 Forestry on Indian Lands (1997B) Archived (2010) Reinstated (2010)	15.047 Indian Education Facilities, Operations, and Maintenance (1997B) Archived (2010) Reinstated (2010)	15.061 Indian Job Placement_United Sioux Tribes Development Corporation (1997B) Archived (2001B) Reinstated (2002B)
15.036 Indian Rights Protection (1997B) Archived (2010) Reinstated (2010)	15.048 Bureau of Indian Affairs Facilities_Operations and Maintenance (1997B)	15.062 Replacement and Repair of Indian Schools (1997B)
15.037 Water Resources on Indian Lands (1997B) Archived (2010) Reinstated (2010)	15.049 Irrigation Operations and Maintenance on Indian Lands (1997B)	15.063 Construction and Repair of Indian Detention Facilities (1997B) Improvement and Repair of Indian Detention Facilities (1999B) Archived (2010) Reinstated (2010)
15.038 Minerals and Mining on Indian Lands (1997B) Archived (2010) Reinstated (2010)	15.050 Unresolved Indian Hunting and Fishing Rights (1997B)	15.064 Structural Fire Protection_Bureau of Indian Affairs Facilities (1997B) Archived (2010) Reinstated (2010) Archived (2010)
15.039 Fish, Wildlife, and Parks Programs on Indian Lands (1997B)	15.051 Endangered Species on Indian Lands (1997B) Archived (2010) Reinstated (2010)	15.065 Safety of Dams on Indian Lands (1997B)
15.040 Real Estate Programs_Indian Lands (1997B) Archived (2010) Reinstated (2010)	15.052 Litigation Support for Indian Rights (1997B)	15.066 Tribal Great Lakes Restoration Initiative (2015)
15.041 Environmental Quality Services_Indian Programs (1997B) Environmental Management_Indian Programs, Consolidated from 15.056 (1999B) Environmental Management_Indian (2016)	15.053 Attorney Fees_Indian Rights (1997B)	15.067 Strengthening Tribal Nations (2015)
15.042	15.054 Unresolved Indian Rights Issues (1997B) Archived (1999B)	
	15.055 Alaskan Indian Allotments and Subsistence Preference_Alaska National Interest Lands	

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15.100 Adult Vocational Training_American Indians (1965B) Indian_Adult Education (1970B) Indian Education_Adults (1972B) Archived (1978B)	Indian Employment Assistance (1972B)	Indian_Industrial and Tourism Development (1970B) Indian_Industrial and Tourism Development and On-the-Job Training (1971B) Indian Industrial and Tourism Development and On-the-Job Training (1972B) Indian Business Enterprise Development (1975B) Archived (1979U1)
15.101 Indian_Agricultural Extension (1970B) Indian Agricultural Extension (1972B) Archived (1978B)	15.109 Indian_Federal School Facilities_Dormitory Operations (1970B) Indian Education_Dormitory Operations (1972B) Archived (1978B)	15.118 Indian_Investment of Tribal Trust Funds and Individual Indian Monies (1970B) Indian Investments_Tribal Trust Funds and Individual Indian Monies (1972B) Archived (1978B)
15.102 Indian_Aid to Tribal Governments (1970B) Indian Tribal Government Operations (1972B) Archived (1978B)	15.110 Federal Schools for American Indians (1965B) Indian_Federal Schools (1970B) Indian Education_Federal Schools (1972B) Archived (1978B)	15.119 Indian_Range Management (1970B) Indian Lands_Range Management (1972B) Archived (1978B)
15.103 Social Services to American Indian Children (1965B) Indian_Child Welfare Assistance (1970B) Indian Social Services_Child Welfare Assistance (1972B) Consolidated into 15.113 (1999B)	15.111 Indian_Forest Fire Suppression and Emergency Rehabilitation (1970B) Indian Forests_Fire Suppression and Emergency Rehabilitation (1972B) Archived (1978B)	15.120 Indian_Real Estate Appraisal (1970B) Indian Lands_Real Estate Appraisal (1972B) Archived (1978B)
15.104 Indian_Community Development (1970B) Indian Community Development (1972B) Archived (1974B)	15.112 Indian_Forestry Development (1970B) Indian_Forest Management, Protection and Development (1971B) Indian Forests_Management, Protection, and Development (1972B) Archived (1978B)	15.121 Indian_Real Property Management (1970B) Indian Lands_Real Estate Services (1972B) Archived (1978B)
15.105 Indian_Contracts with Indian School Boards (1970B) Indian Education_Contracts with Indian School Boards (1972B) Indian Education_Contracts with Indian Tribal Organizations (1976B) Archived (1978B)	15.113 General Assistance and Social Services to American Indians (1965B) Indian_General Assistance (1970B) Indian Social Services_General Assistance (1972B) Consolidated from 15.103 (1999B) Indian Social Services_Welfare Assistance (2001B)	15.122 American Indians_Construction of Reservation Roads and Bridges (1967B) Indian_Reservation Roads and Bridges (1970B) Indian Roads_Reservation Roads and Bridges (1972B) Archived (1978B)
15.106 Indian_Construction, Maintenance, and Operation of Irrigation Projects and Related Power Systems (1970B) Indian Lands_Irrigation Construction, Maintenance, Operation, and Related Power Systems (1972B) Archived (1978B)	15.114 Scholarship Aid for American Indian Students in Higher Education (1965B) Indian_Higher Education (1970B) Indian Education_Colleges and Universities (1972B) Indian Education_Higher Education Grant Program (1982B) Indian Education_Higher Education Grant (2016)	15.123 Indian_Revolving Fund for Expert Assistance Loans (1970B) Indian Loans_Claims Assistance (1972B) Archived (1993B)
15.107 Indian_Engineering Technical Assistance (1970B) Indian Engineering Technical Assistance (1972B) Indian Technical Assistance (1973U) Indian Action Team Program (1976B) Archived (1981U)	15.115 Indian_Housing Development (1970B) Indian Housing_Development (1972B) Consolidated into 15.141 (1978B)	15.124 Indian_Revolving Fund for Loans (1970B) Indian Loans_Economic and Social Development (1972B) Indian Loans_Economic Development (1975B)
15.108 Direct Employment Assistance_American Indians (1965B) American Indians_Direct Employment Assistance (1967B) Indian_Employment Assistance (1970B)	15.116 Indian_Housing Improvement (1970B) Indian Housing_Improvement (1972B) Consolidated into 15.141 (1978B)	15.125 Indian_Roads Maintenance (1970B) Indian Roads_Maintenance (1972B) Archived (1978B)
	15.117 American Indian Industrial Development Program (1965B)	15.126 Indian_Soil and Moisture Conservation (1970B) Indian Lands_Soil and Moisture Conservation (1972B)

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Archived (1978B)	Archived (1978B)	FOCUS on Student Achievement (2016)
15.127 Indian_Transfer of Federally-Owned Buildings, Improvements, and/or Facilities (1970B) Indian Property Acquisition_Transfer of Federally Owned Buildings, Improvements, and/or Facilities (1972B) Archived (1978B)	15.136 Indian Lands_Environmental Quality Services (1975B) Archived (1978B)	15.150 Juvenile Detention Education (2011)
15.128 Indian_Transfer of Indian School Properties (1970B) Indian Property Acquisition_Transfer of Indian School Properties (1972B) Archived (1978B)	15.137 Facility School Construction Planning and Equipping (1976B) Archived (1978B)	15.151 Education Program Enhancements (2011) Education Enhancements (2016)
15.129 Indian_Tribal Accounting Services (1970B) Indian Accounting Services for Tribes (1972B) Archived (1978B)	15.138 Indian Lands_Minerals and Mining (1976B) Archived (1978B)	15.152 Land Buy-Back Program For Tribal Nations (2013) Land Buy-Back For Tribal Nations (2016)
15.130 Financial Assistance to School Districts for American Indian Education (1965B) American Indians_Financial Assistance to School Districts (1967B) Indians_Assistance to Non-Federal Schools (1970B) Indian Education_Assistance to Non-Federal Schools (1972B) Indian Education_Assistance to Schools (1976B)	15.139 Indian Tribal Judicial Services_Contracts with Indian Tribal Organizations (1977B) Split from 15.131 (1977B) Archived (1978B)	15.153 Hurricane Sandy Disaster Relief Coastal Resiliency Grants. (2013)
15.131 Indians_Law and Order (1970B) Indian_Judicial, Crime Prevention, and Enforcement Services (1971B) Indian Judicial, Prevention, and Enforcement Services (1972B) Indian Law Enforcement Services (1974B) Split into 15.131, 15.139 (1977B) Archived (1978B)	15.140 Indian Lands_Wildlife and Parks (1977B) Archived (1978B)	15.154 21st Century Conservation Service Corps (2014)
15.132 Indian Social Services_Counseling (1972B) Archived (1978B)	15.141 Indian Housing Assistance (1978B) Consolidated from 15.115, 15.116 (1978B)	15.155 Office of the Special Trustee for American Indians, Field Operations (2014)
15.133 Native American Business Development Institute (2008) Archived (2010) Reinstated (2012)	15.142 Self Determination Grants_Indian Tribal Governments (1978B) Archived (1996U)	15.156 Cooperative Landscape Conservation (2014) Tribal Climate Resilience (2016)
15.134 Indian Tribal Affairs Management (1972B) Incorporated into 15.102 (1974B)	15.143 Training and Technical Assistance_Indian Tribal Governments (1978B) Archived (1996U)	15.158 DOI National Fire Plan (2016)
15.135 Indian Water Rights (1973U) Indian Rights Protection (1974B)	15.144 Indian Child Welfare Act_Title II Grants (1980B)	15.159 Cultural Resources Management (2016)
	15.145 Indian Grants_Economic Development (Indian Grant Program) (1986B) Archived (1997B)	15.160 BIA Wildland Urban Interface Community Fire Assistance (2017)
	15.146 Ironworker Training Program (1993U) Ironworker Training (2016)	15.200 Land Lease for Airports (1970B) Archived (1979B)
	15.147 Tribal Courts_Trust Reform Initiative (2003B)	15.201 Leases, Permits, and Easements for Public Works (1970B) Archived (1977U)
	15.148 Tribal Energy Development Capacity Grants (2008)	15.202 Real Property for Public Parks, Public Recreational Areas, and Public Purposes (1965B) Public Land for Recreation, Public Purposes, and Historic Monuments (1970B) Archived (1979B)
	15.149 FOCUS on Student Achievement Project (2011)	15.203 Public Land for Rights-of-Way (1970B) Archived (1979B)
		15.204 Real Property Sales for Residential, Commercial,

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<p>Agricultural, Industrial, or Public Uses or Development (1965B) Split into 15.209, 15.211 (1971B)</p> <p>15.205 Exchange of Public Lands (1971B) Archived (1977B)</p> <p>15.206 Grazing Privileges (1971B) Grazing Preference (1977U) Archived (1979B) Reinstated (1981U) Mineral Privileges (1982B) Archived (1983B)</p> <p>15.207 Mineral Leasing (1971B) Archived (1979B) Reinstated (1981U) Archived (1983B)</p> <p>15.208 Non-Sale Disposals From Public Lands (1971B) Non-Sale Disposals of Material on Public Lands (1973B) Archived (1979B)</p> <p>15.209 Sale of Contiguous Public Lands (1971B) Split from 15.204 (1971B) Archived (1972B)</p> <p>15.210 Sale of Forest Products (1971B) Archived (1973B)</p> <p>15.211 Sale of Isolated Public Lands (1971B) Split from 15.204 (1971B) Archived (1977B)</p> <p>15.212 Sale of Public Lands Thought to be Private (1971B) Archived (1979B)</p> <p>15.213 Special Land Use Permits (1971B) Archived (1977B)</p> <p>15.214 Non-Sale Disposals of Mineral Material (1972B) Archived (1979B) Reinstated (1981U)</p> <p>15.215 Sale of Mineral Material (1972B) Archived (1979B) Reinstated (1981U) Archived (1983B)</p>	<p>15.217 Cooperative Agreements with Interpretive Associations (1981U) Cooperative Agreements with Cooperating Associations (1983B) Archived (1985B)</p> <p>15.218 Special Recreation Permits (1981U) Archived (1985B)</p> <p>15.219 Wildlife Habitat Management Technical Assistance (1981U) Archived (1995B)</p> <p>15.220 Law Enforcement (1981U) Archived (1983B)</p> <p>15.221 Cooperative Agreements for Research to Public Lands Management (1983U) Cooperative Agreements for Research in Public Lands Management (1985U) Archived (1994B)</p> <p>15.222 Cooperative Inspection Agreements with States and Tribes (1990B)</p> <p>15.223 Recreation and Cultural Resource Management (1992B) Split into 15.224, 15.225 (1994B)</p> <p>15.224 Cultural Resource Management Split from 15.223 (1994B) Cultural and Paleontological Resources Management (2016)</p> <p>15.225 Recreation Resource Management Split from 15.223 (1994B)</p> <p>15.226 Payments in Lieu of Taxes (1999B)</p> <p>15.227 Distribution of Receipts to State and Local Governments (2001B) Archived (2017)</p> <p>15.228 Wildland Urban Interface Community and Rural Fire Assistance (2001B) National Fire Plan - Wildland Urban Interface Community Fire Assistance (2005) National Fire Plan - Wildland Urban Interface Community Fire Assistance (2006)</p>	<p>BLM Wildland Urban Interface Community Fire Assistance (2016)</p> <p>15.229 Wild Horse and Burro Resource Management (2005)</p> <p>15.230 Invasive and Noxious Plant Management (2008)</p> <p>15.231 Fish, Wildlife and Plant Conservation Resource Management (2005)</p> <p>15.232 Wildland Fire Research and Studies Program (2008) Wildland Fire Research and Studies (2016)</p> <p>15.233 Forests and Woodlands Resource Management (2008)</p> <p>15.234 Secure Rural Schools and Community Self-Determination (2008)</p> <p>15.235 Southern Nevada Public Land Management (2008)</p> <p>15.236 Environmental Quality and Protection Resource Management (2008) Environmental Quality and Protection (2017)</p> <p>15.237 Rangeland Resource Management (2008)</p> <p>15.238 Challenge Cost Share (2008)</p> <p>15.239 Management Initiatives (2008)</p> <p>15.240 Helium Resource Management (2008)</p> <p>15.241 Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (2009)</p> <p>15.242 National Fire Plan - Rural Fire Assistance (2005) BLM Rural Fire Assistance (2016)</p> <p>15.250 Regulation of Surface Mining and Surface Effects of Underground Coal Mining (1978U) Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (1980U1)</p>
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15.251

Grants for Mining and Mineral Resources, Research Institutes, Mineral Research Projects, Scholarships and Fellowships (1978U)
Grants for Mining and Mineral Resources and Research Institutes, Mineral Research Projects, Scholarships and Fellowships (1980B)
Archived (1981U)

15.252

Abandoned Mine Land Reclamation Program (1979U2)
Abandoned Mine Land Reclamation (AMLR) Program (1982B)
Abandoned Mine Land Reclamation (AMLR) (2016)

15.253

Not-for-Profit AMD Reclamation (1999B)

15.254

Summer Watershed Intern (2002B)
Summer Intern and Year-Long OSM/VISTA Watershed Support (2011)
OSM/VISTA AmeriCorps Program (2013)
OSM/VISTA AmeriCorps (2016)

15.255

Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation (2007)
Science and Technology Projects Related to Coal Mining and Reclamation (2012)

15.260

Joint Abandoned Mine Land Reclamation Economic Development Pilot (2016)
Archived (2017)

15.300

Coal Mine Health and Safety (1970B)
Coal Mine Health and Safety Grants (1971B)
Number changed to 15.350 (1973U)

15.301

Control of Fires in Inactive Coal Deposits (1970B)
Archived (1979B)

15.302

Mine Health and Safety Counseling (1970B)
Mine Health and Safety Counseling and Technical Assistance (1971B)
Number changed to 15.351 (1973U)

15.303

Mineral Research_Grants and Contracts (1970B)
Mineral Resources and Environmental Development (1972B)
Mineral and Materials Research and Development (1974U)
Archived (1975U)

15.304

Mineral Research and Resource Information (1970B)
Mineral Research and Resource Information and Technical Assistance (1971B)
Archived (1979B)

15.305

Mine Health and Safety Education and Training (1971B)
Number changed to 15.352 (1973U)

15.306

Mine Health and Safety_Grants and Contracts (1971B)
Archived (1975U)

15.307

Energy Research_Grants and Contracts (1974U)
Transferred to 24.034 (1975B)

15.308

Grants for Mining and Mineral Resources and Research Institutes (1983B)
Archived (1995B)

15.350

Coal Mine Health and Safety Grants (1973U)
Number changed from 15.300 (1973U)
Transferred to 17.600 (1978B)

15.351

Mine Health and Safety Counseling and Technical Assistance (1973U)
Number changed from 15.302 (1973U)
Transferred to 17.601 (1978B)

15.352

Mine Health and Safety Education and Training (1973U)
Number changed from 15.305 (1973U)
Transferred to 17.602 (1978B)

15.400

Outdoor Recreation Program (1965B)
Outdoor Recreation_Financial Assistance (1969B)
Outdoor Recreation_Acquisition and Development (1971B)
Outdoor Recreation_Acquisition, Development and Planning (1974B)
Number changed to 15.916 (1981B)

15.401

Outdoor Recreation Assistance (1967B)
Outdoor Recreation Financial Assistance (1969B)
Outdoor Recreation Planning_Financial Assistance (1970B)
Outdoor Recreation State Planning_Financial Assistance (1971B)
Incorporated into 15.400 (1974B)

15.402

Outdoor Recreation Technical Assistance (1967B)
Recreation_Technical Assistance (1980U1)
Number changed to 15.917 (1981B)

15.403

Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments (1977B)
Number changed to 15.918 (1981B)

15.405

National Registry of Natural Landmarks (1978B)
Number changed from 15.910 (1978B)
National Natural Landmarks Program (1980B)
Number changed to 15.910 (1981B)

15.406

National Park Service Centennial Challenge. (2008)

15.407

Keweenaw National Historical Park (NHP) and Keweenaw NHP Advisory Commission Partner Enhancement Grants (2008)

15.408

Bureau of Ocean Energy Management Renewable Energy Program (2013)
Bureau of Ocean Energy Management Renewable Energy (2016)

15.410

Historic American Buildings Survey (1978B)
Number changed from 15.903 (1978B)
National Architectural and Engineering Record (1980U1)
Number changed to 15.909 (1981B)
Historic American Buildings Survey/Historic American Engineering Record (1982B)

15.411

Historic Preservation Grants-In-Aid (1978B)
Number changed from 15.904 (1978B)
Number changed to 15.904 (1981B)

15.412

Archeological Investigations and Salvage (1978B)
Number changed from 15.908 (1978B)
Archived (1980B)

15.413

Historic American Engineering Record (1978B)
Number changed from 15.909 (1978B)
Incorporated into 15.410 (1980U1)

15.414

National Historic Landmark (1978B)
Number changed from 15.912 (1978B)
Number changed to 15.912 (1981B)

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15.415 Technical Preservation Services (1978B) Number changed from 15.913 (1978B) Number changed to 15.915 (1981B)	15.427 Federal Oil and Gas Royalty Management (2008) Federal Oil and Gas Royalty Management State and Tribal Coordination (2013)	15.501 Irrigation and Drainage Systems Loans (1970B) Irrigation Systems Loans (1970U) Irrigation Distribution System Loans (1971B) Distribution System Loans (1984B) Archived (1994U)
15.416 National Register of Historic Places (1978B) Number changed from 15.914 (1978B) Number changed to 15.914 (1981B)	15.428 Marine Gas Hydrate Research Activities (2008)	15.502 Irrigation Systems Rehabilitation and Betterment (1970B) Archived (1994U)
15.417 Urban Park and Recreation Recovery Program (1979U2) Number changed to 15.919 (1981B)	15.429 State Select (2011)	15.503 Reclamation Projects (1965B) Water Resources Investigation and Small Reclamation Projects Loans (1967B) Small Reclamation Project Loans for Water Resource Projects (1969B) Small Reclamation Projects (1970B) Archived (1994U)
15.418 Park and Recreation Technical Assistance (1980B) Number changed from 15.906 (1980B) Incorporated into 15.402 (1980U1)	15.430 8(g) State Coastal Zone (2011)	15.504 Federal Reclamation Projects (1971B) Archived (1979B) Reclamation and Water Reuse Program, Reinstated (1998B) Water Reclamation and Reuse Program (2001B) Title XVI Water Reclamation and Reuse Program (2013) Title XVI Water Reclamation and Reuse (2016)
15.419 HCRS/NPRA Park Practice Program (1980B) Number changed from 15.907 (1980B) Incorporated into 15.402 (1980U1)	15.431 Alaska State Select (2011) Alaska Settlement Agreement (2013)	15.505 National Water Research and Development Program (1983B) Number changed from 15.950 (1983B) National Water Research Program (1984B) Archived (1984U)
15.420 Training Institute for Park and Recreation Management (1980B) Number changed from 15.911 (1980B) Incorporated into 15.402 (1980U1)	15.432 California Refuge Account (2011)	15.506 Water Desalination Research and Development Program (1999B) Water Desalination Research and Development (2016)
15.421 Alaska Coastal Marine Institute (2006)	15.433 Flood Control Act Lands (2011)	15.507 Water 2025 (2004) WaterSMART (Sustaining and Manage America's Resources for Tomorrow) (2010)
15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI) (2006)	15.434 Geothermal Resources (2011)	15.508 Providing Water to At-Risk Natural Desert Terminal Lakes (2004)
15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP) (2006) Bureau of Ocean Energy Management (BOEM) Environmental Studies Program (ESP) (2013) Bureau of Ocean Energy Management (BOEM) Environmental Studies (ES) (2016)	15.435 GoMESA (2011)	15.509 Colorado River Basin Salinity Control Program (2007) Title II, Colorado River Basin Salinity Control Program (2013) Title II, Colorado River Basin Salinity Control (2016)
15.424 Marine Minerals Activities (2006) Marine Minerals Activities - Hurricane Sandy (2013)	15.436 Late Disbursement Interest (2011)	
15.425 Offshore Research Technology Center (OTRC) Texas Engineering Experiment Station (TEES) (2006) Archived (2017)	15.437 Minerals Leasing Act (2011)	
15.426 Coastal Impact Assistance Program (CIAP) (2007) Archived (2013)	15.438 National Forest Acquired Lands (2011)	
	15.439 National Petroleum Reserve - Alaska (2011)	
	15.440 South Half of the Red River (2011)	
	15.441 Safety and Environmental Enforcement Research and Data Collection for Offshore Energy and Mineral Activities (2012)	
	15.442 Alaska Native Science and Engineering (2016)	
	15.444 Take Pride (2017)	
	15.500 Atmospheric Water Resources Research (1970B) Archived (1971B)	

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15.510 Colorado Ute Indian Water Rights Settlement Act (2007)	15.526 San Gabriel Basin Restoration Project (2007) Archived (2014) Reinstated (2015) San Gabriel Basin Restoration (2016)	Collaborative (2016)
15.511 Cultural Resources Management (2007)		15.538 Lower Colorado River Multi-Species Conservation Program. (2009) Lower Colorado River Multi-Species Conservation (2016)
15.512 Central Valley Project Improvement Act, Title XXXIV (2007) Central Valley Improvement Act, Title XXXIV (2016)	15.527 San Luis Unit, Central Valley Project (2007) San Luis Unit, Central Valley (2016)	15.539 Equus Beds Division Acquirer Storage Recharge Project (2009) Equus Beds Division Acquirer Storage Recharge (2016)
15.513 Dutch John Federal Property and Disposition Assistance Act (2007) Archived (2014)	15.528 St. Mary Storage Unit Facilities Rehabilitation Project (2007) Archived (2013)	15.540 Lake Mead/Las Vegas Wash Program (2009) Lake Mead/Las Vegas Wash (2016)
15.514 Reclamation States Emergency Drought Relief (2007) Archived (2013) Reinstated (2014)	15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs (2007) Upper Colorado and San Juan River Basins Endangered Fish Recovery (2016)	15.541 Colorado River Basin Projects Act of 1968 (2009) Archived (2014) Reinstated (2015) Colorado River Basin Act of 1968 (2016)
15.515 Federal Resource Protection (2008) Archived (2010)	15.530 Water Conservation Field Services Program (WCFSP) (2007) Water Conservation Field Services (WCFS) (2016)	15.542 Arizona Water Settlement Act of 2004 (2010)
15.516 Fort Peck Reservation Rural Water System (2007)	15.531 Yakima River Basin Water Enhancement Project (YRBWEP) (2007) Yakima River Basin Water Enhancement (YRBWE) (2016)	15.543 Lake Tahoe Regional Wetlands Development Program (2010) Lake Tahoe Regional Wetlands Development (2016)
15.517 Fish and Wildlife Coordination Act (2007)	15.532 Central Valley Project, Trinity River Division, Trinity River Fish and Wildlife Management (2007) Central Valley, Trinity River Division, Trinity River Fish and Wildlife Management (2016)	15.544 Platte River Recovery Implementation Program (2010) Platte River Recovery Implementation (2016)
15.518 Garrison Diversion Unit (2007)	15.533 California Water Security and Environmental Enhancement (2007)	15.545 Bunker Hill Groundwater Basin, Riverside-Corona Feeder Project (2010) Archived (2014) Reinstated (2015) Bunker Hill Groundwater Basin, Riverside-Corona Feeder (2016)
15.519 Indian Tribal Water Resources Development, Management, and Protection (2007)	15.534 Miscellaneous Public Law 93-638 Contracts, Grants, and Cooperative Agreements (2008)	15.546 Youth Conservation Program (2010) Youth Conservation (2016)
15.520 Lewis and Clark Rural Water System (2007)	15.535 Upper Colorado River Basin Fish and Wildlife Mitigation Program. (2009) Upper Colorado River Basin Fish and Wildlife Mitigation (2016)	15.547 San Jacinto Basin Restoration Fund (2011) Archived (2013)
15.521 Lower Rio Grande Valley Water Resources Conservation and Improvement (2007)	15.536 Deschutes River Conservancy (DRC) (2009) Archived (2012)	15.548 Reclamation Rural Water Supply Program (2011) Archived (2014) Reinstated (2015) Reinstated (2015) Reclamation Rural Water Supply (2016)
15.522 Mni Wiconi Rural Water Supply Project (2007)	15.537 Middle Rio Grande Endangered Species Collaborative Program (2009) Middle Rio Grande Endangered Species	
15.523 Perkins County Rural Water System (2007) Archived (2012)		
15.524 Recreation Resources Management (2008)		
15.525 Rocky Boy's/North Central Montana Regional Water System (2008)		

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<p>15.549 Integrated Regional Water Plan for the Central Valley of California (2011)</p>	<p>SECURE Water Act Research Agreements (2012)</p>	<p>15.606 Migratory Bird Banding and Data Analysis (1970B) Consolidated into 15.975, 15.976 (1994B)</p>
<p>15.550 Increasing Public Awareness of Recreational Opportunities at Reclamation Reservoirs through Fishing and Boating Events for Physically Challenged and Disadvantaged Children. (2011) Increasing Public Awareness of Recreational Opportunities at Reclamation Reservoirs for Physically Challenged and Disadvantaged Children (2011) Fishing Events for Children with Disabilities and Children Who Experience Disadvantages in Their Lives (2016) Fishing Events for Disadvantaged Children (2016)</p>	<p>15.561 Savage Rapids Pumping Plant Project (2012) Archived (2013) Reinstated (2015) Savage Rapids Pumping Plant (2016)</p>	<p>15.607 Pesticide Appraisal and Monitoring (1970B) Environmental Contaminant Evaluation (1978B) Resource Contaminant Assessment (1983U) Environmental Contaminant (1989B) Environmental Contaminants (1993U) Archived (1995B)</p>
<p>15.551 Madera Water Supply Enhancement Project (2011) Archived (2014) Reinstated (2014) Madera Water Supply Enhancement (2016)</p>	<p>15.562 Dixie Valley Water Export Study (2013)</p>	<p>15.608 Sport Fish Management (1965B) Sport Fish Technical Assistance (1974U) Fishery Resources Technical Assistance (1984U) Fish and Wildlife Management Assistance (1986U)</p>
<p>15.552 NAVAJO-GALLUP WATER SUPPLY PROJECT (2012) Navajo-Gallup Water Supply (2016)</p>	<p>15.563 Suisun Marsh Preservation Agreement (2013)</p>	<p>15.609 Wildlife Management (1967B) Wildlife Enhancement (1970B) Wildlife Technical Assistance (1974U) Archived (1983U)</p>
<p>15.553 Eastern New Mexico Rural Water System Project (2012) Eastern New Mexico Rural Water System (2016)</p>	<p>15.564 Central Valley Project Conservation Program (2013) Central Valley Project Conservation (2016)</p>	<p>15.610 Wildlife Research (1970B) Wildlife Research Information (1971B) Wildlife Ecology Research Information (1979B) Wildlife Research Information (1983B) Consolidated into 15.975, 15.976 (1994B)</p>
<p>15.554 Cooperative Watershed Management Program (2012) Cooperative Watershed Management (2016)</p>	<p>15.565 Implementation of the Taos Pueblo Indian water rights settlement (2016)</p>	<p>15.611 Wildlife Restoration (1970B) Wildlife Restoration and Basic Hunter Education (2011)</p>
<p>15.555 San Joaquin River Restoration Program (2012) San Joaquin River Restoration (2016)</p>	<p>15.566 Upper Klamath Basin Hydrolic Analyses (2017)</p>	<p>15.612 Rare and Endangered Species Conservation (1976B) Endangered Species Conservation (1977B) Archived (1995B)</p>
<p>15.556 Crow Tribe Water Rights Settlement (2012)</p>	<p>15.567 Colorado River Conservation System (Pilot) (2017)</p>	<p>15.613 Marine Mammal Grant Program (1979B) Archived (1983U)</p>
<p>15.557 Desert and Southern Rockies Landscape Conservation Cooperatives (2012)</p>	<p>15.600 Anadromous Fish Management (1967B) Anadromous Fish Conservation (1970B) Archived (1996B)</p>	<p>15.614 Coastal Wetlands Planning, Protection and Restoration Act (1992B) Coastal Wetlands Planning, Protection and Restoration Program (2014) Coastal Wetlands Planning, Protection and Restoration (2016)</p>
<p>15.558 White Mountain Apache Tribe Rural Water System (2012)</p>	<p>15.601 Animal Control (1965B) Animal Damage Control (1967B) Transferred to 10.213 (1986B)</p>	<p>15.615 Cooperative Endangered Species Conservation Fund (1992B)</p>
<p>15.559 New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure Project (2012) New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure (2016)</p>	<p>15.602 Conservation Law Enforcement Training Assistance (1970B) Archived (2009)</p>	<p>15.616</p>
<p>15.560</p>	<p>15.603 Farm Fish Pond Management (1965B) Archived (1986U)</p>	
	<p>15.604 Fish Research (1970B) Fishery Research Information (1971B) Consolidated into 15.975, 15.976 (1994B)</p>	
	<p>15.605 Sport Fish Restoration (1970B) Sport Fish Restoration Program (2007) Sport Fish Restoration (2016)</p>	

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Clean Vessel Act (1993U)	Coastal (2016)	Marine Turtle Conservation Fund (2005)
Clean Vessel Act Program (2014)		
Clean Vessel Act (2016)		
15.617	15.631	15.647
Wildlife Conservation and Appreciation (1994B)	Partners for Fish and Wildlife (2002B)	Migratory Bird Conservation (2005)
15.618	15.632	15.648
Administrative Grants for Federal Aid in Sport Fish and Wildlife Restoration (1995B)	Conservation Grants Private Stewardship for Imperiled Species (2002B)	Central Valley Project Improvement (CVPI) Anadromous Fish Restoration Program (AFRP) (2006)
Archived (2001B)	Archived (2009)	Central Valley Improvement (CVI) Anadromous Fish Restoration (AFR) (2016)
	Reinstated (2013)	
	Archived (2016)	
15.619	15.633	15.649
Rhinoceros and Tiger Conservation (1996U)	Landowner Incentive (2002B)	Service Training and Technical Assistance (Generic Training) (2007)
Rhinoceros and Tiger Conservation Fund (2005)	Landowner Incentive Program (2007)	
Rhinoceros and Tiger Conservation Fund (2006)	Archived (2009)	
	Reinstated (2010)	
	Archived (2010)	
	Reinstated (2011)	
	Landowner Incentive (2016)	
15.620	15.634	15.650
African Elephant Conservation (1996U)	State Wildlife Grants (2002B)	Research Grants (Generic) (2007)
African Elephant Conservation Fund (2005)		Research Grants (Generic) (2008)
African Elephant Conservation Fund (2006)		
	15.635	15.651
	Neotropical Migratory Bird Conservation (2003B)	Wildlife Without Borders-Africa Program (2007)
		Wildlife Without Borders-Africa (2016)
15.621	15.636	15.652
Asian Elephant Conservation (1999B)	Alaska Subsistence Management (2004)	Undesirable/Noxious Plant Species (2008)
Asian Elephant Conservation Fund (2005)		Invasive Species (2016)
Asian Elephant Conservation Fund (2006)		
	15.637	15.653
	Migratory Bird Joint Ventures (2004)	National Outreach and Communication Program (2007)
		National Outreach and Communication (2016)
15.622	15.638	15.654
Sportfishing and Boating Safety Act (1999B)	Tribal Landowner Incentive Program (2004)	Visitor Facility Enhancements - Vehicle Pullouts, Interpretive Trails, and Kiosks (2007)
	Archived (2009)	Visitor Facility Enhancements - Refuges and Wildlife (2011)
		National Wildlife Refuge System Enhancements (2016)
	15.639	
	Tribal Wildlife Grants Program (2004)	
	Tribal Wildlife Grants (2016)	
15.623	15.640	15.655
North American Wetlands Conservation Fund (1999B)	Wildlife Without Borders- Latin America and the Caribbean (2004)	Migratory Bird Monitoring, Assessment and Conservation (2008)
	15.641	15.656
	Wildlife Without Borders-Mexico (2004)	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (2009)
	15.642	15.657
	Challenge Cost Share (2004)	Endangered Species Conservation Recovery Implementation Funds (2009)
	15.643	15.658
	Alaska Migratory Bird Co-Management Council (2004)	Natural Resource Damage Assessment, Restoration and Implementation (2009)
	15.644	15.659
	Federal Junior Duck Stamp Conservation and Design (2004)	National Wildlife Refuge Fund (2009)
	15.645	15.660
15.624		
Multi-State Conservation Grants (2001B)		
Multi-State Conservation Grant Program (2007)		
Multistate Conservation Grant Program (2008)		
Multistate Conservation Grant (2016)		
15.625		
Wildlife Conservation and Restoration (2001B)		
Archived (2009)		
Reinstated (2011)		
15.626		
Hunter Education and Safety Program (2001B)		
Firearm and Bow Hunter Education and Safety Program (2009)		
Enhanced Hunter Education and Safety Program (2011)		
Enhanced Hunter Education and Safety (2016)		
15.627		
Great Apes Conservation (2001B)		
Great Apes Conservation Fund (2005)		
Great Apes Conservation Fund (2006)		
15.628		
Coastal Program (2002B)		

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<p>Endangered Species - Candidate Conservation Action Funds (2009)</p> <p>15.661 Lower Snake River Compensation Plan (2009)</p> <p>15.662 Great Lakes Restoration - Restoration, protection, and enhancement of Great Lakes fish, wildlife, and their habitats. (2010) Great Lakes Restoration (2011)</p> <p>15.663 National Fish and Wildlife Foundation (2010)</p> <p>15.664 Fish and Wildlife Coordination and Assistance Programs (2010) Fish and Wildlife Coordination and Assistance (2016)</p> <p>15.665 National Wetlands Inventory (2010)</p> <p>15.666 Endangered Species Conservation-Wolf Livestock Loss Compensation and Prevention (2010)</p> <p>15.667 Highlands Conservation Program (2011) Highlands Conservation (2016)</p> <p>15.668 Coastal Impact Assistance Program (2011) Coastal Impact Assistance (2016)</p> <p>15.669 Cooperative Landscape Conservation (2011)</p> <p>15.670 Adaptive Science (2011)</p> <p>15.671 Yukon River Salmon Research and Management Assistance (2011)</p> <p>15.672 Wildlife Without Borders Amphibians in Decline (2011)</p> <p>15.673 Wildlife Without Borders Critically Endangered Animal Conservation Fund (2011)</p> <p>15.674 National Fire Plan-Wildland Urban Interface Community Fire Assistance (2011)</p> <p>15.675 National Fire Plan- Rural Fire Assistance (2011) Archived (2013)</p>	<p>15.676 Youth Engagement, Education, and Employment Programs (2013) Youth Engagement, Education, and Employment (2016)</p> <p>15.677 Hurricane Sandy Disaster Relief Activities-FWS (2013)</p> <p>15.678 Cooperative Ecosystem Studies Units (2016)</p> <p>15.679 Combating Wildlife Trafficking (2016)</p> <p>15.680 Mexican Wolf Recovery (2017)</p> <p>15.681 Cooperative Agriculture (2017)</p> <p>15.700 Water Pollution Control_Waste Treatment Works Construction Grants (1967B) Construction Grants for Wastewater Treatment Works (1970B) Transferred to 66.400 (1971B)</p> <p>15.701 Water Pollution Control_Grants for Comprehensive Basin Planning (1967B) Water Pollution Control_Comprehensive Basin Planning Grants (1970B) Transferred to 66.401 (1971B)</p> <p>15.702 Water Pollution Control_Data Publications Services (1970B) Transferred to 66.402 (1971B)</p> <p>15.703 Water Pollution Control_Direct Training (1970B) Transferred to 66.403 (1971B)</p> <p>15.704 Water Pollution Control_Interstate Cooperation and Uniform Laws (1970B) Transferred to 66.404 (1971B)</p> <p>15.705 Water Pollution Control_Research, Development, and Demonstration Grants and Contracts (1965B) Water Pollution Control_Research, Development and Demonstration Program (1970) Transferred to 66.405 (1971B)</p> <p>15.706 Water Pollution Control_Training Grants, Contracts, and Research Fellowships (1967B) Water Pollution Control_Training Grants and</p>	<p>Research Training Fellowships (1970B) Split into 15.706, 15.710 (1970) Transferred to 66.406 (1971B)</p> <p>15.707 Water Pollution Control_State and Interstate Agency Support (1969B) Water Pollution Control_State and Interstate Program Grants (1970B) Transferred to 66.407 (1971B)</p> <p>15.708 Water Pollution Control_State and Local Manpower Development (1970B) Transferred to 66.408 (1971B)</p> <p>15.709 Water Pollution Control_Technical Assistance and Pollution Surveillance (1970B) Transferred to 66.409 (1971B)</p> <p>15.710 Water Pollution Control_Training Grants (1970B) Split from 15.706 (1970B) Transferred to 66.410 (1971B)</p> <p>15.711 Water Quality and Pollution Control Data (1970B) Transferred to 66.411 (1971B)</p> <p>15.712 Water Quality and Pollution Control_Orientation and Training Seminars (1970B) Transferred to 66.412 (1971B)</p> <p>15.713 Water Quality Management Technical Planning Assistance (1970B) Transferred to 66.413 (1971B)</p> <p>15.800 Resources Surveys, Water, Mineral, Fuels, Topographic Maps (1965B) Geologic, Mineral, Water Resource, and Topographic Surveys, Investigations, and Research (1967B) Split into 15.800, 15.801, 15.802, 15.803, 15.804 (1970B) Geologic and Mineral Resource Surveys and Mapping (1970B) Consolidated into 15.808 (1986B)</p> <p>15.801 Map Information (1970B) Split from 15.800 (1970B) Cartographic Information (1974U) Cartographic Information, Geologic Information, Hydrologic Information (1989B) Archived (1990B)</p>
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15.802

Minerals Discovery Loan Program (1970B)
Split from 15.800 (1970B)
Archived (1982B)

15.803

Topographic Surveys and Mapping (1970B)
Split from 15.800 (1970B)
National Mapping, Geography and Surveys (1980U1)
Consolidated into 15.808 (1986B)

15.804

Water Resources Investigations (1970B)
Split from 15.800 (1970B)
Consolidated into 15.808 (1986B)

15.805

Assistance to State Water Resources Research Institutes (1984B)
Number changed from 15.951 (1984B)

15.806

National Water Resources Research Program (1985B)
Archived (1996B)

15.807

Earthquake Hazards Reduction Program (1986B)
Consolidated from 15.800 (1986B)
Earthquake Hazards Research Grants (2014)
Earthquake Hazards Research and Monitoring Assistance (2015)
Earthquake Hazards Program Assistance (2016)

15.808

Geological Survey_Research and Data Acquisition (1986B)
Consolidated from 15.800, 15.803, 15.804 (1986B)
U.S. Geological Survey_Research and Data Acquisition, Consolidated from 15.977 (1998B)

15.809

National Spatial Data Infrastructure Competitive Cooperative Agreements Program (1997B)
National Spatial Data Infrastructure Cooperative Agreements Program (1998U)
National Spatial Data Infrastructure Cooperative Agreements (2016)

15.810

National Cooperative Geologic Mapping Program (1998B)
National Cooperative Geologic Mapping (2016)

15.811

Gap Analysis Program (2003B)
Gap Analysis (2016)

15.812

Cooperative Research Units Program (2003B)
Cooperative Research Units (2016)

15.813

COOPERATIVE RESEARCH UNITS TRAINING PROGRAM (2007)
Archived (2014)
Archived (2014)

15.814

National Geological and Geophysical Data Preservation Program (2008)
National Geological and Geophysical Data Preservation (2016)

15.815

National Land Remote Sensing_Education Outreach and Research (2008)

15.816

Minerals Resources External Research Program (2008)
Minerals Resources External Research (2016)
Archived (2016)

15.817

National Geospatial Program: Building The National Map (2009)

15.818

Volcano Hazards Program Research and Monitoring. (2009)

15.819

Energy Cooperatives to Support the National Coal Resources Data System (NCRDS) (2009)
Energy Cooperatives to Support the National Energy Resources Data System (2017)

15.820

National Climate Change and Wildlife Service Center (2009)
National Climate Change and Wildlife Science Center (2010)

15.850

Indian Arts and Crafts Development (1970B)
Archived (2017)

15.875

Economic and Political Development of the Territories and the Trust Territory of the Pacific Islands (1982)
Economic, Social, and Political Development of the Territories and the Freely Associated States (1995U)
Economic, Social, and Political Development of the Territories (2005)
Economic, Social, and Political Development of the Territories (2006)

15.900

Disposal of Surplus Wildlife (1970B)
Archived (1986B)

15.901

Domestic Travel Promotion (1970B)
Transferred/Incorporated into 11.950 (1971B)

15.902

Environmental Study Areas (1970B)
National Environmental Study Areas (1970U)
National Environmental Study Areas and National Environmental Education Development Programs (1975U)
National Environmental Study Areas (1980B)
Archived (1981B)

15.903

Historic American Buildings Survey (1969B)
Historic American Building Survey_Historic American Engineering Record (1970U)
Historic American Buildings Survey (1971B)
Split into 15.903, 15.909 (1971B)
Number changed to 15.410 (1978B)

15.904

Historic Preservation (1969B)
Number changed to 15.411 (1978B)
Historic Preservation Grants-in-Aid (1978B)
Number changed from 15.411 (1981B)
Historic Preservation Fund Grants-In-Aid (1985U)

15.905

National Environmental Education Development (1970B)
Archived (1971B)

15.906

Park Planning_Technical Assistance (1969B)
Park and Recreation Technical Assistance (1970B)
Park Technical Assistance (1979U1)
Number changed to 15.418 (1980B)

15.907

Park Practice Program (1970B)
Number changed to 15.419 (1980B)

15.908

Archeological Investigations and Salvage (1971B)
Number changed to 15.412 (1978B)

15.909

Historic American Engineering Record (1971B)
Split from 15.903 (1971B)
Number changed to 15.413 (1978B)
Number changed from 15.410 (1981B)
Historic American Buildings Survey/Historic American Engineering Record (1982B)
Archived (1996B)

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15.910 National Registry of Natural Landmarks (1971B) Number changed to 15.405 (1978B) Number changed from 15.405 (1981B) National Natural Landmarks Program (1981B) Deleted (2008)	Archived (1987B)	(2009) Archived (2015)
15.911 Training Institute for Park and Recreation Management (1974U) Number changed to 15.420 (1980B)	15.921 Rivers, Trails and Conservation Assistance (1994B)	15.937 Redwood National Park Cooperative Management with the State of California (2010)
15.912 National Historic Landmark (1972U) Number changed to 15.414 (1978B) Number changed from 15.414 (1981B)	15.922 Native American Graves Protection and Repatriation Act (1997U)	15.938 Boston African-American National Historic Site Cooperative Agreement with the Museum of African American History (2010)
15.913 Interagency Historic Architectural Services (1976U) Technical Preservation Services (1977U) Number changed to 15.415 (1978B)	15.923 National Center for Preservation Technology and Training (1997B)	15.939 National Heritage Area Federal Financial Assistance (2010)
15.914 National Register of Historic Places (1976U) Number changed to 15.416 (1978B) Number changed from 15.416 (1981B)	15.924 Historically Black Colleges and Universities Preservation Initiative (1997U)	15.940 New Bedford Whaling National Historic Park Cooperative Management (2010)
15.915 Technical Preservation Services (1981B) Number changed from 15.415 (1981B)	15.925 National Maritime Heritage Grants (1997U) National Maritime Heritage Grants (2016)	15.941 Mississippi National River and Recreation Area State and Local Assistance (2010)
15.916 Outdoor Recreation_Acquisition, Development and Planning (1981B) Number changed from 15.400 (1981B)	15.926 American Battlefield Protection (1997U)	15.942 Environmental Education and Conservation - North Cascades Bioregion (2010)
15.917 Recreation_Technical Assistance (1981B) Number changed from 15.402 (1981B) Archived (1984U)	15.927 Hydropower Recreation Assistance (2002B)	15.943 Challenge Cost Share (2010)
15.918 Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments (1981B) Number changed from 15.403 (1981B)	15.928 Civil War Battlefield Land Acquisition Grants (2003B)	15.944 Natural Resource Stewardship (2010)
15.919 Urban Park and Recreation Recovery Program (1981B) Number changed from 15.417 (1981B) Archived (1989B) Reinstated (1991B) Deleted (2005)	15.929 Save America's Treasures (2004)	15.945 Cooperative Research and Training Programs Resources of the National Park System (2011)
15.920 Land Acquisition and Development of Comprehensive Management Plan (CMP)_State of New Jersey_Pinelands National Reserve (1984B)	15.930 Chesapeake Bay Gateways Network (2007)	15.946 Cultural Resources Management (2011)
	15.931 Conservation Activities by Youth Service Organizations (2007)	15.947 Boston Harbor Islands Partnership (2011)
	15.932 Preservation of Historic Structures on the Campuses of Historically Black Colleges and Universities (HBCUs). (2009) Archived (2015)	15.948 National Fire Plan- Wildland Urban Interface Community Fire Assistance (2011)
	15.933 Preservation of Japanese American Confinement Sites (2009)	15.949 National Fire Plan - Rural Fire Assistance (2011)
	15.934 Abandoned Mine Hazard Mitigation (2009) Archived (2015)	15.950 Additional Water Resources Research (1970B) National Water Research and Development Program (1979B) Number changed to 15.505 (1983B)
	15.935 National Trails System Projects (2009)	15.951 Water Resource Research_Assistance to States for Institutes (1970B) Water Resources Research_Assistance to State
	15.936 Shenandoah Valley Battlefields National Historic District Battlefield Acquisition and Protection	

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Institutes (1975U)	Incorporated from 15.975 (1996B)	(1979B)
Water Resources Research and Technology_Assistance to State Institutes (1979B)	Consolidated into 15.808 (1998B)	Deleted (2008)
Water Research Assistance to State Institutes (1983B)	15.978	16.006
Number changed to 15.805 (1984B)	Upper Mississippi River System Long Term Resource Monitoring Program (1998B)	Municipal Fire and Emergency Services Domestic Preparedness Equipment Support Program (1999B)
15.952	Upper Mississippi River System Long Term Resource Monitoring (2016)	County and Municipal Agency Domestic Preparedness Equipment Support Program (1999U)
Water Resource Research_Matching Grants to State Institutes (1970B)	15.979	Archived (2001B)
Water Research and Technology_Matching Funds to State Institutes (1979B)	Hurricane Sandy Program (2014)	16.007
Archived (1983B)	Hurricane Sandy (2016)	State and Local Domestic Preparedness Equipment Support Program (1999B)
15.953	15.980	State Domestic Preparedness Equipment Support Program (1999U)
Water Resources Scientific Information Center (1972B)	National Ground-Water Monitoring Network (2015)	Transferred to 97.004 (2003B)
Archived (1983B)	15.981	16.008
15.954	Water Use and Data Research (2016)	State and Local Domestic Preparedness Training Program (2001B)
National Park Service Conservation, Protection, Outreach, and Education (2012)	DEPARTMENT OF JUSTICE	Transferred to 97.005 (2003B)
15.955	Criminal Justice Act of 1964 (1965B)	16.009
Martin Luther King Junior National Historic Site and Preservation District (2013)	Archived (1969B)	State and Local Domestic Preparedness Exercise Support (2001B)
15.956	Federal Prisoners Rehabilitation Program (1965B)	Transferred to 97.006 (2003B)
Ebeys Landing National Historical Reserve and Ebeys Landing National Historical Reserve Trust Board (2013)	Archived (1969B)	16.010
15.957	Law Enforcement Assistance (1965B)	State and Local Domestic Preparedness Technical Assistance (2001B)
Historic Preservation Fund Grants to Provide Disaster Relief to Historic Properties Damaged by Hurricane Sandy (2013)	Split into all of the Law Enforcement Assistance Programs (1969B)	Transferred to 97.007 (2003B)
15.958	National Conference on Bail and Criminal Justice and Follow-Up Programs (1965B)	16.011
Route 66 Corridor Preservation Program (2015)	Archived (1969B)	Urban Areas Security Initiative (2003B)
Route 66 Corridor Preservation (2016)	16.001	16.012
15.960	Law Enforcement Assistance_Narcotics and Dangerous Drugs_Laboratory Support (1969B)	Alcohol, Tobacco, and Firearms_Training Assistance (2004)
Tribal Technical Colleges (2015)	Law Enforcement Assistance_Narcotics and Dangerous Drugs_Laboratory Analysis (1970B)	Number changed from 21.052 (2004)
15.961	16.002	Number changed from 21.052 (2004)
Federal Historic Preservation Tax Incentive (2016)	Law Enforcement Assistance_Narcotics and Dangerous Drugs_State Legislation (1970B)	Archived (2017)
15.962	Archived (1991U)	16.013
National Wild and Scenic Rivers System (2016)	16.003	Violence Against Women Act Court Training and Improvement Grants (2008)
15.975	Law Enforcement Assistance_Narcotics and Dangerous Drugs Technical Laboratory Publications (1970B)	Archived (2017)
Research Information	16.004	16.014
Consolidated from 15.604, 15.610 (1994B)	Law Enforcement Assistance_Narcotics and Dangerous Drugs_Training (1969B)	Engaging Men and Youth in Preventing Domestic Violence, Dating Violence, Sexual Assault, and Stalking (2008)
Incorporated into 15.977 (1996B)	16.005	Archived (2012)
15.976	Narcotics and Dangerous Drugs_Public Education (1969B)	16.015
Migratory Bird Banding and Data Analysis (1994B)	Public Education on Drug Abuse_Technical Assistance (1970B)	Missing Alzheimer's Disease Patient Assistance Program (2009)
15.977	Public Education on Drug Abuse_Information	16.016
State Partnerships (1995B)		Culturally and Linguistically Specific Services Program (2008)

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16.017 Sexual Assault Services Program (2008) Sexual Assault Services Formula Program (2009)	Equal Employment of Public Accommodations (1970B) Incorporated into 16.105 (1979B)	16.202 Offender Reentry Program (2001U) Prisoner Reentry Initiative Demonstration (Offender Reentry) (2006) Archived (2010)
16.018 Services to Advocate for and Respond to Youth (2010) Archived (2012)	16.103 Fair Housing (1970B) Fair Housing and Equal Credit Opportunity (1977U) Archived (2017)	16.203 Sex Offender Management Discretionary Grant (2002B) Comprehensive Approaches to Sex Offender Management Discretionary Grant (CASOM) (2006) Promoting Evidence Integration in Sex Offender Management Discretionary Grant Program (2012)
16.019 Tribal Registry (2008)	16.104 Protection of Voting Rights_Civil Rights Acts of 1957, 1960, 1964, and 1965 (1965B) Protection of Voting Rights (1970B) Archived (2017)	16.220 Law Enforcement Assistance_Narcotics and Dangerous Drugs_Registration of Manufacturers, Distributors, and Dispensers of Controlled Substances (2004)
16.020 Children and Youth Exposed (2010) Archived (2012)	16.105 Desegregation of Public Facilities (1972B) Civil Rights of Institutionalized Persons (1981B) Archived (2017)	16.300 Police Training Programs (1967B) Law Enforcement Assistance_Advanced Police Training (1969B) Law Enforcement Assistance_FBI Advanced Police Training (1970B)
16.021 Justice Systems Response to Families (2013)	16.106 Indian Civil Rights (1974B) Archived (1981B)	16.301 Services of Federal Bureau of Investigation (1965B) Law Enforcement Assistance_Crime Laboratory Support (1969B) Law Enforcement Assistance_FBI Crime Laboratory Support (1970B)
16.023 Sexual Assault Services Culturally Specific Program (2014)	16.107 Special Civil Rights Litigation (1975U) Incorporated into 16.105 (1979B)	16.302 Law Enforcement Assistance_Field Police Training (1969B) Law Enforcement Assistance_FBI Field Police Training (1970B)
16.024 Tribal Sexual Assault Services Program (2014)	16.108 Americans With Disabilities Act Technical Assistance Program (1991U) Archived (2010)	16.303 Law Enforcement Assistance_Fingerprint Identification (1969B) Law Enforcement Assistance_FBI Fingerprint Identification (1970B)
16.025 Special Domestic Violence Criminal Jurisdiction Implementation (2015)	16.109 Civil Rights Prosecution (1992U) Archived (2017)	16.304 Law Enforcement Assistance_National Crime Information Center (1969B)
16.026 OVW Research and Evaluation Program (2016)	16.110 Education and Enforcement of the Antidiscrimination Provision of the Immigration and Nationality Act (1995U) Archived (2012)	16.305 Law Enforcement Assistance_Uniform Crime Reports (1969B)
16.027 National Clearinghouse on Sexual Assault of American Indian and Alaska Native Women (2016)	16.111 Joint Law Enforcement Operations (JLEO) (2013) Archived (2014)	16.306 DRUGFIRE (1996U) Archived (1998B)
16.028 Resource Center on Workplace Response to Domestic Violence, Dating Violence, Sexual Assault, and Stalking (2016)	16.123 Community-Based Violence Prevention Program (2010)	16.307 Combined DNA Index System (1996U)
16.029 Office on Violence Against Women Special Projects (2016)	16.200 Community Relations Service (1967B) Archived (2017)	
16.100 Desegregation of Public Education_Civil Rights Act of 1964 (1965B) Desegregation of Public Education (1970B) Archived (2017)	16.201 Cuban and Haitian Entrant Resettlement Program (1983U) Transferred to 97.009 (2003B)	

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<p>16.308 Indian County Investigations (1996U) Indian County Investigations (1997B)</p>	<p>Transferred to the Department of Education (1980B)</p>	<p>Development (1974B) Criminal Justice Systems Development (1975B) Number changed to 16.552 (1980B)</p>
<p>16.309 Law Enforcement Assistance_National Instant Criminal Background Check System (2000B)</p>	<p>16.505 Law Enforcement Assistance_Research Demonstrations and Special Projects (1969B) Law Enforcement Research and Development_Graduate Research Fellowships (1970B) Number changed to 16.562 (1980B)</p>	<p>16.516 Law Enforcement Assistance_Juvenile Justice and Delinquency Prevention_Allocation to States (1975U) Law Enforcement Assistance_Juvenile Justice and Delinquency Prevention Act Allocation to States (1978U) Juvenile Justice and Delinquency Prevention_Allocation to States (1979B) Number changed to 16.540 (1980B)</p>
<p>16.320 Services for Trafficking Victims (2004)</p>	<p>16.506 Law Enforcement Research and Development_Pilot Grants (1970B) Incorporated into 16.507 (1972B)</p>	<p>16.517 Law Enforcement Assistance Administration_JJDP Special Emphasis Prevention and Treatment (1975U) Law Enforcement Assistance Administration_OJJDP Special Emphasis Prevention and Treatment Programs (1977U) Juvenile Justice and Delinquency Prevention_Special Emphasis Prevention and Treatment Programs (1979B) Number changed to 16.541 (1980B)</p>
<p>16.321 Antiterrorism and Emergency Assistance Program (2002U) Antiterrorism Emergency Reserve (2005) Antiterrorism Emergency Reserve (2006)</p>	<p>16.507 Law Enforcement Research and Development_Project Grants (1970B) Number changed to 16.560 (1980B)</p>	<p>16.518 Law Enforcement Assistance Administration_JJDP National Institute (1975U) National Institute for Juvenile Justice and Delinquency Prevention (1979B) Number changed to 16.542 (1980B)</p>
<p>16.400 Citizenship Training (1965B) Citizenship Training and Information (1967B) Naturalization (1969B) Citizenship Education and Training (1970B) Transferred to 97.010 (2003B)</p>	<p>16.508 Law Enforcement Research and Development_Visiting Fellowships (1970B) Incorporated into 16.507 (1973B) Reinstated/Split from 16.507 (1975U) Number changed to 16.561 (1980B)</p>	<p>16.519 Law Enforcement Assistance_Office of Community Anti-Crime Programs (1978B) Number changed to 16.535 (1980B)</p>
<p>16.471 FORENSIC CASEWORK DNA BACKLOG REDUCTION PROGRAM (2005)</p>	<p>16.509 Law Enforcement Assistance_Statistics and Information Development_Project Grants (1971B) Law Enforcement Assistance_Statistics Development (1972B) Criminal Justice_Statistics Development (1973B) Number changed to 16.550 (1980B)</p>	<p>16.520 Public Safety Officers' Benefits Program (1978B) Number changed to 16.538 (1980B)</p>
<p>16.500 Law Enforcement Assistance_State Planning (1969B) Law Enforcement Assistance_Comprehensive Planning (1970B) Law Enforcement Assistance_Comprehensive Planning Grants (1971B) Consolidated into 16.530 (1980B)</p>	<p>16.510 Statistics on Crime and Criminal Justice (1971B) Number changed to 16.551 (1980B)</p>	<p>16.521 Crime Prevention_Mobilization of Public and Non-Public Resources (1978U) Number changed to 16.536 (1980B)</p>
<p>16.501 Law Enforcement Assistance_Discretionary Grants (1970B) Consolidated into 16.531 (1980B)</p>	<p>16.511 Law Enforcement Assistance_Educational Development (1971U) Number changed to 16.533 (1980B)</p>	<p>16.522 Privacy and Security of Criminal Justice Systems (1979B) Number changed to 16.553 (1980B)</p>
<p>16.502 Law Enforcement Assistance_Improving and Strengthening Law Enforcement (1969B) Law Enforcement Assistance_Improving and Strengthening Law Enforcement and Criminal Justice (1974B) Split into 16.530, 16.532 (1980B)</p>	<p>16.512 Law Enforcement Assistance_Internships (1972B) Archived (1980B)</p>	<p>16.523 Juvenile Accountability Incentive Block Grants (1998B) Juvenile Accountability Block Grants (2007)</p>
<p>16.503 Law Enforcement Assistance_Technical Assistance (1970B) Consolidated into 16.531 (1980B)</p>	<p>16.513 Law Enforcement Assistance_Training (1972B) Number changed to 16.534 (1980B)</p>	<p>16.524 Domestic Violence Victims' Civil Legal Assistance Program (1999B)</p>
<p>16.504 Law Enforcement Assistance_Students Loans and Tuition Aids (1969B) Law Enforcement Education Program_Student Financial Aid (1970B)</p>	<p>16.514 Organized Crime Prosecutorial Training (1973U) Consolidated into 16.531 (1980B)</p>	
	<p>16.515 Criminal Justice Systems Development (1973U) Criminal Justice Systems and Telecommunications</p>	

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Legal Assistance for Victims (2001B)	Archived (1981B)	Part D_Juvenile Gangs and Drug Abuse and Drug Trafficking (1990B)
16.525	16.534	Gang-Free Schools and Communities_Community-Based Gang Intervention (1996U)
Grants to Combat Violent Crimes Against Women on Campuses (1999B)	Law Enforcement Assistance_Training (1980B)	Youth Gang Prevention (2010)
Grants to Reduce Violent Crimes Against Women on Campus (2001B)	Number changed from 16.513 (1980B)	
Grants to Combat Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (2007)	Archived (1981B)	
Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (2008)	16.535	16.545
	Law Enforcement Assistance Administration_Office of Community Anti-Crime Programs (1980B)	Judicial Child Abuse Training (1992B)
	Number changed from 16.519 (1980B)	Combined into 16.547 (1994B)
	Archived (1981B)	
16.526	16.536	16.546
Technical Assistance and Training Initiative (2002B)	Crime Prevention_Mobilization of Public and Non-Public Resources (1980B)	Investigation and Prosecution of Child Abuse Through the Criminal Justice System
OVW Technical Assistance Initiative (2006)	Number changed from 16.521 (1980B)	Number changed from 16.584 (1993U)
Archived (2012)	Archived (1981B)	Combined into 16.547 (1994B)
Reinstated (2012)		
16.527	16.537	16.547
Supervised Visitation, Safe Havens for Children (2002B)	Urban Crime Prevention (1980B)	Victims of Child Abuse (1994B)
Archived (2017)	Archived (1981B)	Archived (2010)
16.528	16.538	16.548
Training Grants to Stop Abuse and Sexual Assault of Older Individuals or Individuals with Disabilities (2002B)	Public Safety Officers' Benefits Program (1980B)	Title V_Delinquency Prevention Program (1994B)
Enhanced Training and Services to End Violence and Abuse of Women Later in Life (2006)	Number changed from 16.520 (1980B)	
	Number changed to 26.571 (1982B)	
16.529	16.540	16.549
Education and Training to End Violence Against and Abuse of Women with Disabilities (2002B)	Juvenile Justice and Delinquency Prevention_Allocation to States (1980B)	Part E_State Challenge Activities (1995B)
Education, Training, and Enhanced Services to End Violence Against Women with Disabilities (2007)	Number changed from 16.516 (1980B)	
Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (2008)	Juvenile Justice and Delinquency Prevention Program (2017)	16.550
		Criminal Justice Statistics Development (1980B)
16.530	16.541	Number changed from 16.509 (1980B)
Criminal Justice_Part D Formula Grants (1980B)	Juvenile Justice and Delinquency Prevention_Special Emphasis and Technical Assistance (1980B)	State Justice Statistics Program for Statistical Analysis Centers (1997B)
Consolidated from 16.500, 16.502 (1980B)	Number changed from 16.517 (1980B)	
Archived (1981B)	Juvenile Justice and Delinquency Prevention_Special Emphasis (1989B)	16.551
16.531	Developing, Testing and Demonstrating Promising New Programs (2004)	Statistics on Crime and Criminal Justice (1980B)
Part F_Discretionary Grants (1980B)	Part E - Developing, Testing and Demonstrating Promising New Programs (2006)	Number changed from 16.510 (1980B)
Consolidated from 16.501, 16.503, 16.514 (1980B)		Archived (1983U)
Archived (1981B)	16.542	16.552
16.532	National Institute for Juvenile Justice and Delinquency Prevention (1980B)	Criminal Justice Systems Development (1980B)
Part E_National Priority Program Grants (1980B)	Number changed from 16.518 (1980B)	Number changed from 16.515 (1980B)
Split from 16.502 (1980B)	Part D - Research, Evaluation, Technical Assistance and Training (2004)	Archived (1981U)
Archived (1981B)	Deleted (2007)	16.553
16.533	16.543	Privacy and Security of Criminal Justice Systems (1980B)
Law Enforcement Assistance_Educational Development (1980B)	Missing Children's Assistance (1986B)	Number changed from 16.522 (1980B)
Number changed from 16.511 (1980B)		Federal Statistics and Information Policy (1981U)
	16.544	Archived (1983U)
	Grants to State Sexual Assault and Domestic	16.554
		National Criminal History Improvement Program (NCHIP) (1995B)
		16.555
		National Sex Offender Registry Assistance (1998B)
		Archived (1999B)
		16.556
		Grants to State Sexual Assault and Domestic

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Violence Coalitions (2008) State Domestic Violence and Sexual Assault Coalitions (2009) Archived (2012) Reinstated (2012)	(2004) Deleted (2006)	Archived (2012)
16.557 Tribal Domestic Violence and Sexual Assault Coalitions Program (2008) Tribal Domestic Violence and Sexual Assault Coalitions Grant Program (2009) Archived (2012) Reinstated (2012)	16.566 National Institute of Justice W.E.B. DuBois Post-Doctoral Fellowship Program (1999U) National Institute of Justice W.E.B. DuBois Fellowship Program (2000B)	16.580 Narcotics Control Discretionary Grant Program (1987U) Drug Control and System Improvement_Discretionary Grant (1989U) Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program (1995U) Archived (2012)
16.560 Justice Research and Development Project Grants (1980B) Number changed from 16.507 (1980B) Justice Research, Development, and Evaluation Project Grants (1991U) National Institute of Justice Research, Evaluation, and Development Project Grants (2000B)	16.570 Civil Rights Compliance Activities (1980B) Archived (1985U)	16.581 Drug Law Enforcement Program_Prison Capacity (1988B) Archived (1995U)
16.561 National Institute of Justice Visiting Fellowships (1980B) Number changed from 16.508 (1980B) Deleted (2006)	16.571 Public Safety Officers' Benefits Program (1982B) Number changed from 16.538 (1982B) Public Safety Officers' Death Benefits Program (1985U) Public Safety Officers' Benefit Program (1991U) Public Safety Officers' Benefits Program (1995U)	16.582 Crime Victim Assistance/Discretionary Grants (1988B)
16.562 Law Enforcement Research and Development_Graduate Research Fellowships (1980B) Number changed from 16.505 (1980B) Criminal Justice Research and Development_Graduate Research Fellowships (1980U2)	16.572 Mariel-Cubans (1984U) Archived (1996B) State Criminal Alien Assistance Program, Reinstated (1996U) Archived (1998B)	16.583 Children's Justice Act Discretionary Grants for Native American Indian Tribes (1989B) Children's Justice Act Discretionary Grants for Native Americans (1995U) Children's Justice Act Partnerships for Indian Communities (1998B)
16.563 Law Enforcement Family Support (1997B) Corrections and Law Enforcement Family Support (1999B) Deleted (2007)	16.573 Criminal Justice Block Grants (1985B) Incorporated into 16.579 (1990B)	16.584 Investigation and Prosecution of Child Abuse Through the Federal Criminal Justice System (1992B) Investigation and Prosecution of Child Abuse Through the Criminal Justice System (1993B) Number changed to 16.546 (1993U)
16.564 National Institute of Justice Forensic DNA Laboratory Improvement Program (1999U) National Institute of Justice Crime Laboratory Improvement Program (2000B) Crime Laboratory Improvement_Combined Offender DNA Index System Backlog Reduction (2001B) Deleted (2006)	16.574 Criminal Justice Discretionary Grants (1985B) Criminal Justice Discretionary Grant Program (1989B) Archived (1996B)	16.585 Drug Court Discretionary Grant Program (1995B)
16.565 National Institute of Justice Domestic Anti-Terrorism Technology Development Program (1999U) National Institute of Justice Domestic Anti-Terrorism Technology Development Program (Counterterrorism Research and Development)	16.575 Crime Victim Assistance (1985B)	16.586 Violent Offender Incarceration and Truth in Sentencing Incentive Grants (1995B) Archived (2010)
	16.576 Crime Victim Compensation (1985B)	16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments (1995B) Archived (2012) Reinstated (2012)
	16.577 Emergency Federal Law Enforcement Assistance (1986B)	16.588 Violence Against Women Formula Grants (1995B) Archived (2012) Reinstated (2012)
	16.578 Federal Surplus Property Transfer Program (1986B)	16.589 Rural Domestic Violence and Child Victimization Enforcement Grant Program (1996B) Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Grant Program (2007)
	16.579 State and Local Narcotics Control Assistance Anti-Drug Abuse Act of 1986 (1987B) Drug Control and System Improvement_Formula Grant (1989U) Byrne Formula Grant Program (1996U) Edward Byrne Memorial Formula Grant Program (2006)	

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Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (2008)	16.600 Correctional Services_Technical Assistance (1970U) Number changed to 16.603 (1976U)	Scams Targeting the Elderly (1999U)
16.590 Grants to Encourage Arrest Policies (1996B) Grants to Encourage Arrest Policies and Enforcement of Protection Orders (2001B) The Community-Defined Solutions to Violence Against Women Grant Program (2010) Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program (2011)	16.601 Corrections_Training and Staff Development (1976U)	16.614 State and Local Anti-Terrorism Training (1999U)
16.591 Managing Released Sex Offenders (1996B) Archived (1998B)	16.602 Corrections_Research and Evaluation (1976U) Corrections_Research and Evaluation and Policy Formulation (1981U)	16.615 Public Safety Officers' Educational Assistance (1999U)
16.592 Local Law Enforcement Block Grants Program (1996U) Local Law Enforcement Block Grant Program (2006) Deleted (2007) Deleted (2007)	16.603 Corrections_Technical Assistance (1976U) Number changed from 16.600 (1976U) Corrections_Technical Assistance/Clearinghouse (1988B)	16.616 Indian Country Alcohol and Drug Prevention (2001U)
16.593 Residential Substance Abuse Treatment for State Prisoners (1996U)	16.604 Corrections_Policy Formulation (1976U) Archived (1981U)	16.684 Supporting Teens through Education and Protection Act of 2005 (STEP Act) (2010) Archived (2012)
16.594 Prevention, Diagnosis, and Treatment of Tuberculosis in Correctional Institutions (1996U) Archived (1999B)	16.605 Corrections_Clearinghouse (1976U) Archived (1982B)	16.700 Antitrust State Enforcement (1978U) Archived (1981B)
16.595 Executive Office for Weed and Seed Number changed from 16.725 (1995B) Community Capacity Development Office (2005) Community Capacity Development Office (2006) Archived (2016)	16.606 State Criminal Alien Assistance Program (1998B)	16.710 Public Safety and Community Policing Grants (1995B) Public Safety Partnership and Community Policing Grants (1996B)
16.596 Correctional Grant Program for Indian Tribes (1996U) Tribal Justice Facilities Grant Program for Indian Tribes (2014) Justice System Infrastructure Program for Indian Tribes (2016)	16.607 Bulletproof Vest Partnership Program (1999B)	16.711 Troops to COPS (1995B) Deleted (2004)
16.597 Motor Vehicle Theft Protection Act Program (1997B) Archived (2009)	16.608 Tribal Court Assistance Program (1999B)	16.712 Police Corps (1996U)
16.598 State Identification Systems Grant Program (1997B)	16.609 Planning, Implementing, and Enhancing Strategies in Community Prosecution (1999U) Planning, Implementing, and Enhancing Strategies in Community Prosecution_Gun Violence Prosecution (2001U) Community Prosecution and Project Safe Neighborhoods (2002B) Project Safe Neighborhoods (2010)	16.725 Weed and Seed Program Fund (1993U) Number changed to 16.595 (1995B)
16.599 Local Firefighting and Emergency Services Training (1997U) Archived (1999B)	16.610 Regional Information Sharing Systems (1999U)	16.726 Juvenile Mentoring Program (1996B)
	16.611 Closed-Circuit Televising of Child Victims of Abuse (1999U) Archived (2013)	16.727 Combating Underage Drinking (1998B) Enforcing Underage Drinking Laws Program (1999U)
	16.612 National White Collar Crime Center (1999U) Archived (2009)	16.728 Drug Prevention Program (1998B)
	16.613	16.729 Drug-Free Communities Support Program Grants (1998B) Number changed to 93.276 (2004)
		16.730 Reduction and Prevention of Children's Exposure to Violence (1999B)
		16.731

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Tribal Youth Program (1999B)	Anti-Gang Initiative (2006) Archived (2010)	Advocacy Centers (2009)
16.732 National Evaluation of the Safe Schools/Healthy Students Initiative (1999U) National Evaluation of the Safe Schools_Healthy Students Initiative (2001B) Deleted (2007)	16.745 Criminal and Juvenile Justice and Mental Health Collaboration Program (2006)	16.800 Recovery Act - Internet Crimes against Children Task Force Program (ICAC) (2009) Archived (2017)
16.733 National Incident Based Reporting System (2000B)	16.746 Capital Case Litigation (2006) Capital Case Litigation Initiative (2014)	16.801 Recovery Act - State Victim Assistance Formula Grant Program (2009) Archived (2017)
16.734 Special Data Collections and Statistical Studies (2003B)	16.747 Crime Victims' Rights Act (2006) Archived (2009)	16.802 Recovery Act - State Victim Compensation Formula Grant Program (2009) Archived (2017)
16.735 PROTECTING INMATES AND SAFEGUARDING COMMUNITIES (2004) PREA Program: Demonstration Projects to Establish "Zero Tolerance" Cultures for Sexual Assault in Correctional Facilities (2014)	16.748 Convicted Offender and/or Arrestee DNA Backlog Reduction Program (In-House Analysis and Data Review) (2007) Convicted Offender and/or Arrestee DNA Backlog Reduction Program (2010) Archived (2012)	16.803 Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories (2009) Archived (2017)
16.736 Transitional Housing Assistance for Victims of Domestic Violence, Stalking, or Sexual Assault (2004) Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault (2008)	16.749 Radiation Exposure Compensation Program (2007) Archived (2012)	16.804 Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program / Grants To Units Of Local Government (2009) Archived (2017)
16.737 Gang Resistance Education and Training (2004) Number changed from 21.053 (2004)	16.750 SUPPORT FOR ADAM WALSH ACT IMPLEMENTATION GRANT PROGRAM (2008)	16.805 Recovery Act - Transitional Housing (2009) Archived (2012)
16.738 Edward Byrne Memorial Justice Assistance Grant Program (2005)	16.751 Edward Byrne Memorial Competitive Grant Program (2008)	16.806 Recovery Act - Violence Against Women Discretionary Grants For Indian Tribal Governments (2009) Archived (2012)
16.739 National Prison Rape Statistics Program (2005)	16.752 Economic High-Tech and Cyber Crime Prevention (2008)	16.807 Recovery Act - VOCA Crime Victim Assistance Discretionary Grant Program (2009) Archived (2017)
16.740 Statewide Automated Victim Information Notification (SAVIN) Program (2005)	16.753 Congressionally Recommended Awards (2008)	16.808 Recovery Act - Edward Byrne Memorial Competitive Grant Program (2009) Archived (2017)
16.741 FORENSIC DNA CAPACITY ENHANCEMENT PROGRAM (2005) Forensic DNA Backlog Reduction Program (2008) DNA Backlog Reduction Program (2012)	16.754 Harold Rogers Prescription Drug Monitoring Program (2008)	16.809 Recovery Act - State and Local Law Enforcement Assistance Program: Combating Criminal Narcotics Activity Stemming from the Southern Border of the United States Competitive Grant Program (2009) Archived (2017)
16.742 PAUL COVERDELL FORENSIC SCIENCES IMPROVEMENT GRANT PROGRAM (2005)	16.755 Southwest Border Prosecution Initiative (2008) Archived (2017)	
16.743 FORENSIC CASEWORK DNA BACKLOG REDUCTION PROGRAM (2005)	16.756 Court Appointed Special Advocates Program (2009)	16.810 Recovery Act Assistance to Rural Law Enforcement to Combat Crime and Drugs Competitive Grant Program (2009) Archived (2017)
16.744	16.757 Judicial Training on Child Maltreatment for Court Personnel (2009)	
	16.758 Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's	

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<p>16.811 Recovery Act - Correctional Facilities On Tribal Lands (2009) Archived (2017)</p>	<p>Vision 21 (2014)</p>	<p>Smaller Communities Program_Rural Areas (1969B) Archived (1970B)</p>
<p>16.812 Second Chance Act Prisoner Reentry Initiative (2009) Second Chance Act Reentry Initiative (2014)</p>	<p>16.827 Justice Reinvestment Initiative (2014)</p>	<p>Experimental and Demonstration Projects for the Mentally Retarded (1965B) Archived (1967B)</p>
<p>16.813 NICS Act Record Improvement Program (2009)</p>	<p>16.828 Swift and Certain Sanctions/Replicating the Concepts Behind Project HOPE (2014) Swift, Certain, and Fair (SCF) Sanctions program: Replicating the Concepts behind Project HOPE (2015)</p>	<p>Experimental and Demonstration Projects for Rural Persons (1965B) Archived (1967B)</p>
<p>16.814 Northern Border Prosecution Initiative Program (2009) Archived (2017)</p>	<p>16.829 Juvenile Justice Education Collaboration Assistance (2014)</p>	<p>Experimental and Demonstration Projects under MDTA (1965B) Archived (1967B)</p>
<p>16.815 Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (2010)</p>	<p>16.830 Girls in the Juvenile Justice System (2014)</p>	<p>Experimental and Demonstration Projects for Youth and Adults with Limited Ability to Read and Write (1965B) Archived (1967B)</p>
<p>16.816 John R. Justice Prosecutors and Defenders Incentive Act (2010)</p>	<p>16.831 Children of Incarcerated Parents (2014)</p>	<p>Farm Labor Services (1965B) Employment Services to Rural Areas (1969B) Archived (1970B)</p>
<p>16.817 Byrne Criminal Justice Innovation Program (2012)</p>	<p>16.832 Children of Incarcerated Parents Web Portal (2014)</p>	<p>Job Market Information (1965B) Archived (1970B)</p>
<p>16.818 Children Exposed to Violence (2012)</p>	<p>16.833 National Sexual Assault Kit Initiative (2015)</p>	<p>Job Training for Disadvantaged Persons (1965B) Archived (1969B)</p>
<p>16.819 National Forum on Youth Violence Prevention (2012)</p>	<p>16.834 Domestic Trafficking Victim Program (2016)</p>	<p>New Careers Program (1967B) Archived (1969B)</p>
<p>16.820 Postconviction DNA Testing Program (2012) Postconviction Testing of DNA Evidence to Exonerate the Innocent (2013) Postconviction Testing of DNA Evidence (2017)</p>	<p>16.835 Body Worn Camera Policy and Implementation (2016)</p>	<p>Occupational Training in Development Areas (1965B) Archived (1969B)</p>
<p>16.821 Juvenile Justice Reform and Reinvestment Demonstration Program (2012)</p>	<p>16.836 Indigent Defense (2016)</p>	<p>Physically and Mentally Handicapped_Employment Service (1965B) Archived (1969B)</p>
<p>16.822 National Center for Campus Public Safety (2013)</p>	<p>16.837 Building Community Trust (2016)</p>	<p>Special Impact Program (1967B) Special Impact (1969B) Archived (1970B)</p>
<p>16.823 Emergency Planning for Juvenile Justice Facilities (2013)</p>	<p>16.838 Comprehensive Opioid Abuse Site-Based Program (2017)</p>	<p>Trade Adjustment Allowances for Workers (1965B) Archived (1969B)</p>
<p>16.824 Emergency Law Enforcement Assistance Grant (2013)</p>	<p>16.888 Consolidated And Technical Assistance Grant Program to Address Children and Youth Experiencing Domestic and Sexual Violence and Engage Men and Boys as Allies (2012)</p>	<p>General Employment Services (1965B) U.S. Employment Services (1967B) Employment Service (1969B) Archived (1970B)</p>
<p>16.825 Smart Prosecution Initiative (2014)</p>	<p>16.889 Grants for Outreach and Services to Underserved Populations (2014)</p>	<p>Veterans Employment Priority Service (1967B) Archived (1969B)</p>
<p>16.826</p>	<p>16.922 Equitable Sharing Program (2012)</p>	<p>Youth Opportunity Centers (1965B) Archived (1970B)</p>
	<p>DEPARTMENT OF LABOR</p>	
	<p>Community Employment Development (1965B)</p>	

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American Indian Vocational Job Training (1965B) Archived (1967B)	Number changed to 17.140 (1984U)	Deleted (2006)
Employment Assistance and Training for Inmates of Correctional Institutions (1969B) Archived (1970B)	17.102 Reemployment Rights for Veterans (1969B) Veterans Reemployment Rights (1970B) Number changed to 17.803 (1984B)	17.203 Certification for Immigrant Nonagricultural Workers (1970B) Certification for Immigrant Workers (1979B) Labor Certification for Alien Workers (1983B) Deleted (2006)
Apprenticeship Information Centers (1969B) Archived (1970B)	17.103 Welfare and Pension Plan Reports (1969B) Employee Benefits Security (1975B) Pension and Welfare Benefit Programs (1977B) Number changed to 17.150 (1984U)	17.204 Concentrated Employment Program (1969B) Consolidated into 17.232 (1973B)
Manpower Recruitment Services (1969B) Archived (1970B)	17.104 Office of Construction Industry Services (1979U1) Archived (1982B)	17.205 Cooperative Area Manpower Planning System (1969B) Consolidated into 17.232 (1973B)
Older Worker Employment Assistance (1969B) Archived (1970B)	17.130 Labor-Management Relations and Cooperative Programs (1984U) Number changed from 17.100 (1984U) Archived (1992U)	17.206 Bonding Assistance (1969B) Employment Bonding Assistance (1970B) Archived (1970U) Reinstated (1971B) Federal Bonding Program (1971B) Archived (1972U)
Selective Service Rejectee Rehabilitation (1969B) Archived (1970B)	17.140 Labor Organization Reports (1984U) Number changed from 17.101 (1984U) Incorporated into 17.309 (2001U)	17.207 Employment Service_Industrial Services (1965B) Employment Services_Grants to States (1970B) Employment Service (1972B) Employment Service/Wagner-Peyser Funded Activities (2006)
17.001 Foreign Labor Data (1969B) Archived (1972B)	17.150 Welfare and Pension Plan Reports (1984U) Number changed from 17.103 (1984U) Employee Benefits Security Administration (2003B)	17.208 Exemplary Rehabilitation Certificates (1967B) Number changed to 17.800 (1982B)
17.002 Manpower and Employment Data (1969B) Labor Force Statistics (1977B)	17.151 Employee Benefits Security Administration (EBSA) (2009) Archived (2012)	17.209 Farm Labor Contractor Registration (1965B) Number changed to 17.308 (1972U)
17.003 Price and Cost of Living Data (1969B)	17.200 Apprenticeship Outreach (1970B) Consolidated into 17.243 (1979B)	17.210 Job Bank (1970B) Computerized Job Placement (1972B) Incorporated into 17.207 (1973B)
17.004 Productivity, Technology, and Growth Data (1969B) Productivity and Technology Data (1971B) Split into 17.004, 17.006 (1971B)	17.201 Apprenticeship and Training (1965B) Apprenticeship Training (1970B) Registered Apprenticeship Training (1997U) Registered Apprenticeship and Other Training (2000B) Registered Apprenticeship (2012)	17.211 Job Corps (1970B) Transferred from Office of Economic Opportunity (1970B) Incorporated into 17.232 (1974B) Reinstated/Split from 17.232 (1975B) Archived (1981U)
17.005 Wages and Industrial Relations Data (1969B) Compensation and Working Conditions Data (1988B) Compensation and Working Conditions (2000B)	17.202 Certification for Foreign Workers for Seasonal Agricultural Employment (1970B) Certification of Foreign Workers for Agricultural and Logging Employment (1971B) Certification of Foreign Workers for Temporary Agricultural and Logging Employment (1980U2) Certification of Foreign Workers for Temporary Agricultural Employment (1991B)	17.212 Job Opportunities in the Business Sector (1969B) Incorporated into 17.232 (1975B)
17.006 Economic Growth Data (1971B) Split from 17.004 (1971B) Economic Research Data (1972B) Economic Growth Data (1977B) Economic Growth and Employment Projections Data (1983B) Employment Projections Data (1989U) Deleted (2004) Incorporated into 17.002 (2004) Incorporated into 17.002 (2004)		17.213 Journeyman Training (1970B)
17.101 Labor Organization Reports (1969B)		

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Archived (1972U)	Consolidated into 17.232 (1973B)	Comprehensive Manpower Programs (1973U) Comprehensive Employment and Training Programs (1974B) Archived (1983U)
17.214 Labor Mobility-Relocation Assistance Allowances (1965B) Labor Mobility Demonstration Projects (1970B) Archived (1970U)	17.223 Operation Mainstream (1967B) National Older Workers Program_Operation Mainstream (1975B) Incorporated into 17.235 (1975U)	17.233 Manpower Research and Development Projects (1973U) Consolidated from 17.217, 17.220 (1973U) Employment and Training Research and Development Projects (1976B) Number changed to 17.248 (1983U)
17.215 Manpower Development and Training_Institutional Training (1970B) Incorporated into 17.232 (1973B)	17.224 Public Service Careers (1970B) Archived (1973U)	
17.216 Manpower Development and Training (1965B) Manpower Development and Training Act_On-the-Job Training Program (1970B) Number changed to 17.227 (1970U)	17.225 Unemployment Insurance_Grants to States (1965B) Unemployment Insurance (1979B)	17.234 Indian Manpower Programs (1975B) Indian Employment and Training Programs (1976B) Indian and Native American Employment and Training Programs (1977B) Employment and Training_Indians and Native Americans (1978B) Archived (1983U)
17.217 Manpower Experimental and Demonstration Projects (1969B) Consolidated into 17.233 (1973U)	17.226 Work Incentives Program (1969B) Work Incentive Program_Training and Allowances (1970B) Work Incentives Program_Training and Allowances (1973B) Work Incentives Program and Incentives (1974B) Work Incentives Program (1975B) Transferred to 13.646 (1978B)	17.235 Senior Community Service Employment Program (1975B)
17.218 Manpower Research Programs (1965B) Manpower Research_Doctoral Dissertation Grants (1970B) Employment and Training Research_Doctoral Dissertation Grants (1976B) Doctoral Dissertation and Small Grant Research Projects Programs (1977B) Doctoral Dissertation Program (1979U1) Archived (1980U1)	17.227 Job Opportunities in the Business Sector_Low Support (1970U) Number changed from 17.216 (1970U) Job Opportunities in the Business Sector_Optional Program (1971B) Consolidated into 17.232 (1973B)	17.236 New Initiatives in Apprenticeship (1978B) Archived (1979B)
17.219 Manpower Research_Institutional Grants (1970B) Manpower Institutional Grants (1974B) Employment and Training Institutional Grants (1976B) Institutional Grant Program (1978B) Archived (1982B)	17.228 National On-The-Job Training (1971B) Consolidated into 17.243 (1979B)	17.237 Youth Community Conservation Improvement Program (1978B) Incorporated into 17.232 (1979U1)
17.220 Manpower Research and Evaluation (1970B) Manpower Research_Contracts (1971B) Manpower Research_Projects (1973B) Consolidated into 17.233 (1973U)	17.229 Emergency Employment Assistance (1971U) Public Employment Program (1972B) Archived (1973B)	17.240 Youth Employment and Training (1978B) Consolidated into 17.232 (1979U1)
17.221 Manpower Research_Project Grants (1970B) Manpower Research_Small Grant Projects (1973B) Manpower Research_Small Grant Research Projects (1975B) Employment and Training Research_Small Grant Research Projects (1976B) Incorporated into 17.218 (1977B)	17.230 Migrant Workers (1972B) Transferred from (1972B) Farm Workers (1975B) Migrant and Seasonal Farm Workers (1977B) Migrant and Seasonal Farmworkers (1981U) Number changed to 17.247 (1983U)	17.241 Youth Incentive Entitlement Pilot Projects (1978B) Archived (1981B)
17.222 Neighborhood Youth Corps (1965B)	17.231 Technology Mobilization and Reemployment (1972B) Archived (1973B)	17.242 Summer Programs for Economically Disadvantaged Youth (1978B) Consolidated into 17.232 (1979U1)
	17.232 Manpower Revenue Sharing (1973B) Consolidated from 17.204, 17.205, 17.222, 17.227 (1973B)	17.243 Special Programs and Activities for the Disadvantaged (1979B) Consolidated from 17.200, 17.228 (1979B) Special National Programs and Activities for the Disadvantaged (1980U2) Special National Lever Programs (1983B) Number changed to 17.249 (1983U)
		17.244 Disabled Veterans Outreach Program (1981B)

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Number changed to 17.801 (1982B)	Deleted (2004)	17.267
17.245	Reinstated (2004)	WIA Incentive Grants_Section 503 Grants to States (2004)
Trade Adjustment Assistance_Workers (1981U)	Deleted (2005)	Incentive Grants - WIA Section 503 (2006)
Number changed from 17.400 (1981U)	Reinstated (2005)	
Trade Adjustment Assistance (2006)	Deleted (2006)	17.268
	17.258	H-1B High Growth Job Training Grants (2006)
17.246	WIA Adult Program	H-1B Job Training Grants (2007)
Employment and Training Assistance_Dislocated Workers (1983B)	Consolidated from 17.250, 17.255 (2001B)	
Consolidated into 17.260 (2001B)	WIA/WIOA Adult Program (2015)	17.269
	WIOA Adult Program (2017)	Community Based Job Training Grants (2006)
17.247	17.259	Archived (2011)
Migrant and Seasonal Farmworkers (1983U)	WIA Youth Activities	17.270
Number changed from 17.230 (1983U)	Consolidated from 17.250, 17.255 (2001B)	Prisoner Reentry (2006)
	WIA/WIOA Youth Activities (2015)	Reintegration of Ex-Offenders (2008)
17.248	WIOA Youth Activities (2017)	Reentry Employment Opportunities (2016)
Employment and Training Research and Development Projects (1983U)	17.260	17.271
Number changed from 17.233 (1983U)	WIA Dislocated Workers	Work Opportunity Tax Credit Program (WOTC) and Welfare-to-Work Tax Credit (WtWTC) (2006)
Employment and Training Evaluation Projects (2000B)	Consolidated from 17.246 (2001B)	Work Opportunity Tax Credit Program (WOTC) (2007)
Consolidated into 17.261 (2001U)	Archived (2011)	
	Reinstated (2011)	17.272
17.249	Archived (2016)	Permanent Labor Certification for Foreign Workers (2006)
Employment Services and Job Training_Pilot and Demonstration Programs (1983U)	17.261	17.273
Number changed from 17.243 (1983U)	Employment and Training Administration Pilots, Demonstrations, and Research Projects	Temporary Labor Certification for Foreign Workers (2006)
Employment Services and Job Training Pilots_Demonstrations and Research (2000B)	Consolidated from 17.248, 17.249 (2001B)	
Consolidated into 17.261, 17.263 (2001U)	WIA Pilots, Demonstrations, and Research Projects (2006)	17.274
	WIA/WIOA Pilots, Demonstrations, and Research Projects (2015)	YOUTHBUILD (2007)
17.250	WIOA Pilots, Demonstrations, and Research Projects (2017)	Number changed from 14.243 (2007)
Job Training Partnership Act (1983U)	17.262	17.275
Consolidated into 17.258, 17.259 (2001B)	Employment and Training Administration Evaluations (2001B)	PROGRAM OF COMPETITIVE GRANTS FOR WORKER TRAINING AND PLACEMENT IN HIGH GROWTH AND EMERGING INDUSTRY SECTORS (2009)
	Archived (2011)	Archived (2016)
17.251	17.263	17.276
Native American Employment and Training Programs (1984U)	Youth Opportunity Grants	Health Coverage Tax Credit (HCTC) (2009)
Consolidated into 17.26 (2002B)	Consolidated from 17.249 (2001B)	
	Deleted (2006)	17.277
17.252	17.264	Workforce Investment Act (WIA) National Emergency Grants (2009)
Attestations by Employers Using Alien Crewmembers for Longshore Activities in U.S. Ports (1993B)	Migrant and Seasonal Farmworkers (2002B)	WIOA National Dislocated Worker Grants / WIA National Emergency Grants (2015)
	Consolidated from 17.247 (2002B)	
17.253	National Farmworker Jobs Program (2006)	17.278
Welfare-to-Work Grants to States and Localities (1998B)	17.265	WIA Dislocated Worker Formula Grants (2009)
	Native American Employment and Training (2002B)	WIA/WIOA Dislocated Worker Formula Grants (2015)
17.254	Consolidated from 17.251 (2002B)	WIOA Dislocated Worker Formula Grants (2017)
Welfare-to-Work Grants to Federally Recognized Tribes and Alaska Natives (1998U)	17.266	17.279
	Work Incentives Grant (2002B)	Green Jobs Innovation Fund Grants (2010)
17.255	Work Incentive Grants (2006)	
Workforce Investment Act (1999B)	Archived (2011)	
Consolidated into 17.258, 17.259 (2001B)		
17.257		
One-Stop Career Initiative (1999B)		

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Archived (2014)	(1967B)	Number changed from 17.307A (1971U)
17.280	Minimum Wage and Hour Standards (1969B)	Number changed to 17.504 (1995B)
Workforce Investment Act (WIA) Dislocated Worker National Reserve Demonstration Grants (2010)	Split into 17.303, 30.010 (1979U2)	
WIA/WIOA Dislocated Worker National Reserve Demonstration Grants (2015)	Wage and Hour Standards (1996B)	17.501
WIOA Dislocated Worker National Reserve Demonstration Grants (2017)	Archived (2016)	Employee Assistance Programs_Drug, Alcohol Abuse (1990B)
		Archived (1991U)
17.281	17.304	17.502
Workforce Investment Act (WIA) Dislocated Worker National Reserve Technical Assistance and Training (2010)	Labor Standards (1965B)	Occupational Safety and Health_Training and Education (1991U)
WIA/WIOA Dislocated Worker National Reserve Technical Assistance and Training (2015)	Safety and Labor Standards (1969B)	Occupational Safety and Health_Susan Harwood Training Grants (1999B)
WIOA Dislocated Worker National Reserve Technical Assistance and Training (2017)	Workers Safety and Labor Standards (1970B)	
	Number changed to 17.307A (1971B)	17.503
		Occupational Safety and Health_State Program (1994B)
	17.305	
	Women_Special Programs and Assistance (1967B)	17.504
	Women's Special Employment Assistance (1970B)	Consultation Agreements
	Number changed to 17.700 (1978B)	Number changed from 17.500 (1995B)
	17.306	17.505
	Consumer Credit Protection (1970U)	OSHA Data Initiative (2003B)
	Archived (2016)	Archived (2017)
	17.307	17.506
	Coal Mine Workers' Compensation (2004)	Disaster Relief Appropriations Act Susan Harwood Training Grants (2013)
		Archived (2017)
	17.307A	17.600
	6Occupational Safety and Health (1971B)	Mine Health and Safety Grants (1978B)
	Number changed from 17.304 (1971B)	Transferred from 15.350 (1978B)
	Number changed to 17.500 (1971U)	
	17.307B	17.601
	6Coal Mine Workers' Compensation (1973B)	Mine Health and Safety Counseling and Technical Assistance (1978B)
		Transferred from 15.351 (1978B)
	17.308	17.602
	Farm Labor Contractor Registration (1972U)	Mine Health and Safety Education and Training (1978B)
	Number changed from 17.209 (1972U)	Transferred from 15.352 (1978B)
	Archived (2016)	
	17.309	17.603
	Labor Organization Reports (1999B)	Brookwood-Sago Grant (2007)
	Incorporated from 17.140 (2001U)	
	17.310	17.604
	Energy Employees Occupational Illness Compensation (2006)	Safety and Health Grants (2008)
		Archived (2013)
		Reinstated (2014)
		Reinstated (2014)
	17.311	17.700
	Federal Transit Employee Protection Certifications: Office of Labor-Management Standards (2009)	Women's Special Employment Assistance (1978B)
	Archived (2012)	Number changed from 17.305 (1978B)
		Women's Bureau (2003B)
	17.400	17.720
	Trade Adjustment Assistance_Workers (1971B)	
	Transferred from 61.003 (1971B)	
	Number changed to 17.245 (1981U)	
	17.401	
	International Labor Programs (2014)	
	17.500	
	Occupational Safety and Health (1971U)	

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Employment Programs for People with Disabilities (2002B)
Transferred from 53.001 (2002B)
Disability Employment Policy Development (2005)
Disability Employment Policy Development (2005)

17.791

Department of Labor Chief Evaluation Office (2016)

17.800

Exemplary Rehabilitation Certificates (1982B)
Number changed from 17.208 (1982B)
Archived (1983B)

17.801

Disabled Veterans Outreach Program (1982B)
Number changed from 17.244 (1982B)
Disabled Veterans Outreach Program (DVOP) (1992B)
Disabled Veterans' Outreach Program (DVOP) (1995B)

17.802

Veterans Employment Program (1984B)
Veterans' Employment Program (1995B)
Archived (2016)

17.803

Reemployment Rights for Veterans (1984B)
Number changed from 17.102 (1984B)
Veterans' Employment and Reemployment Rights (1995B)
Uniformed Services Employment and Reemployment Rights (1996B)

17.804

Local Veterans Employment Representative Program (1984B)
Local Veterans' Employment Representative Program (1995B)

17.805

Homeless Veterans Reintegration Project (1991U)
Archived (1996B)
Reinstated (1998B)
Homeless Veterans Reintegration Program (2017)

17.806

Veteran's Preference in Federal Employment (2000B)

U.S. POSTAL SERVICE

Lease Construction Program (1967B)
Post Office Lease Construction (1969B)
Archived (1970B)

18.001

Postal Academy Program (1970B)
Archived (1972U)

DEPARTMENT OF STATE

19.001

Foreign Currency Loans (1970B)
Transferred to 70.001 (1971B)

19.002

Foreign Housing Investment Guaranties (1970B)
Consolidated into 19.008 (1970U)

19.003

Foreign Housing Investment Guaranty Program_Latin America (1970B)
Consolidated into 19.008 (1970U)

19.004

Foreign Investment Guaranties (1970B)
Transferred to 70.002 (1971B)

19.005

Foreign Investment Insurance (1970B)
Transferred to 70.003 (1971B)

19.006

Institutional Centers to Aid Foreign Development (1969B)
Archived (1977U)

19.007

Technology Applications for Less-Developed Countries (1969B)
Archived (1978B)

19.008

Foreign Housing Investment Guaranty_Worldwide (1970U)
Consolidated from 19.002, 19.003 (1970U)
Housing Investment Guaranty_Worldwide (1971B)
Housing Guaranty_Worldwide (1973U)
Archived (1978B)

19.009

Academic Exchange Programs - Undergraduate Programs (2009)

19.012

Professional and Cultural Exchange Programs - Special Professional and Cultural Programs (2009)

19.013

Thomas R. Pickering Foreign Affairs Fellowship Program (2009)

19.014

One-Time International Exchange Grant Program (2009)
Archived (2014)
Reinstated (2014)
Archived (2015)

19.015

Cultural, Technical and Educational Centers (2009)

19.016

Iraq Assistance Programs (2009)
Iraq Assistance Program (2014)

19.017

Environmental and Scientific Partnerships and Programs (2009)

19.018

Overseas Processing Entities (OPEs) for U.S. Refugee Resettlement (2009)
Resettlement Support Centers (RSCs) for U.S. Refugee Resettlement (2013)

19.019

International Programs to Combat Human Trafficking (2009)

19.020

Charles B. Rangel International Affairs Program (2010)

19.021

Investing in People in The Middle East and North Africa (2010)

19.022

Educational and Cultural Exchange Programs
Appropriation Overseas Grants (2010)

19.023

Overseas Schools Program (2011)

19.024

Soft Target Program for Overseas Schools (2011)

19.025

U.S. Ambassadors Fund for Cultural Preservation (2010)

19.026

Global Peace Operations Initiative (2011)

19.027

Energy Governance and Reform Programs (2014)

19.029

The U.S. President's Emergency Plan for AIDS Relief Programs (2014)

19.030

Antiterrorism Assistance Domestic Training Programs (2012)

19.031

Research and Development - Physical Security Programs (2012)

19.032

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Global Engagement (2012)	Iran Assistance Program (2014)	Educational Partnerships Program (2003B) Deleted (2004) Consolidated into 19.424 (2004)
19.033 Global Threat Reduction (2012)	19.222 Trans-Sahara Counterterrorism Partnership (TSCTP) (2016)	19.406 College and University Affiliations Program Number changed from 82.011 (2000B) Educational Partnerships Program (2001U) Consolidated into 19.42 (2002B)
19.035 Cyber Capacity Building (2017)	19.224 Nonproliferation and Disarmament Fund (2013)	19.407 Teacher Exchange_New Independent States (NIS) Number changed from 82.012 (2000B) Deleted (2005)
19.040 Public Diplomacy Programs (2011)	19.300 Russian, Eurasian, and East European Research and Training (1992B) Program for Study of Eastern Europe and the Independent States of the Former Soviet Union (1995U)	19.408 Educational Exchange_Teachers from Secondary and Postsecondary Levels Number changed from 82.013 (2000B) Educational Exchange_Teachers from Secondary and Postsecondary Levels and School Administrators (2001B) Academic Exchange Programs - Teachers (2009)
19.087 International Fisheries Commissions (2011)	19.301 The Secretary's Office of the Global Partnership Initiative (S/GPI) Grant Programs (2012)	19.409 Creative Arts Grants Number changed from 82.015 (2000B) Arts Exchanges on International Issues (2005) Arts Exchanges on International Issues (2005) Archived (2009)
19.100 Cultural Presentations_Foreign Tours (1969B) Split into 19.100, 19.102 (1970B) Archived (1971B)	19.322 Economic Statecraft (2013)	19.410 Educational Exchange_Congress-Bundestag Youth Exchange Number changed from 82.016 (2000B) Archived (2009)
19.101 Exchange of Persons_Academic Grants (1969B) Educational Exchange_Graduate Students (1970B) Transferred to 82.001 (1978B)	19.345 International Programs to Support Democracy, Human Rights and Labor (2009)	19.411 Educational Exchange_NIS Secondary School Initiative Number changed from 82.018 (2000B) Deleted (2004)
19.102 Educational Exchange_University Lecturers (Professors) and Research Scholars (1970B) Split from 19.100 (1970B) Transferred to 82.002 (1978B)	19.400 Educational Exchange_Graduate Students Number changed from 82.001 (2000B) Academic Exchange Programs - Graduate Students (2009)	19.412 Educational Exchange_Postgraduates and Faculty Number changed from 82.026 (2000B) Archived (2002B)
19.121 Conflict and Stabilization Operations (2013)	19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars Number changed from 82.002 (2000B) Academic Exchange Programs - Scholars (2009)	19.413 Cultural Exchange (Performing Arts) Number changed from 82.030 (2000B) Deleted (2006)
19.123 EUR/ACE Humanitarian Assistance Program (2011)	19.402 International Visitors Program Number changed from 82.004 (2000B) Professional and Cultural Exchange Programs - International Visitor Leadership Program (2009)	19.414 Cultural Exchange (Visual Arts) Number changed from 82.032 (2000B) Deleted (2005)
19.124 U.S. Department of State (2010) East Asia and Pacific Grants Program (2011)	19.403 American Council of Young Political Leaders Number changed from 82.006 (2000B) Archived (2009)	19.415 Professional Exchange_Annual Open Grant
19.200 Claims Against Foreign Governments (1970B)	19.404 Professional Development_International Educators/Administrators Number changed from 82.009 (2000B) Deleted (2004) Consolidated into 19.424 (2004)	
19.201 Protection of Ships from Foreign Seizure (1970B)	19.405 College and University Partnerships Program Number changed from 82.010 (2000B)	
19.202 Special Domestic Assignments (1981B)		
19.203 Claims Against Foreign Missions (1984B) Archived (1990B)		
19.204 Fishermen's Guranty Fund Number changed from 11.410 (1987B)		
19.221 Near East Regional Democracy (2011)		

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Number changed from 82.033 (2000B) Professional Exchanges_Annual Open Grant (2001B) Professional and Cultural Exchange Programs - Citizen Exchanges (2009)	19.430 International Education Training and Research (2008) Archived (2009)	East and South Asia (2005)
19.416 Exchange_English Teaching Fellows Number changed from 82.034 (2000B) Consolidated into 19.421 (2001B)	19.431 Educational Exchange_Scholar-in-Residence (U.S. Institutions of Higher Education Host Lecturing Faculty From Abroad) (2005) Archived (2009)	19.520 Overseas Refugee Assistance Programs for Europe (2005)
19.417 Exchange_English as a Foreign Language (EFL) Fellows Number changed from 82.035 (2000B) Consolidated into 19.421 (2001B)	19.432 Overseas Educational Advising (2005) Academic Exchange Programs - Educational Advising and Student Services (2009)	19.522 Overseas Refugee Assistance Programs for Strategic Global Priorities (2005)
19.418 Educational Exchange_Fulbright American Studies Institutes Number changed from 82.038 (2000B) Educational Exchange, American Studies Institute (2006) Archived (2009)	19.440 IIP Individual Grants (2011)	19.600 Bureau of Near Eastern Affairs (NEA) (2011) Bureau of Near Eastern Affairs (2014)
19.419 Professional Development_Teacher Training (2000B) Deleted (2004)	19.441 IIP - American Spaces (2015)	19.601 NEA Syria Program (2014) Syria Assistance Program (2014)
19.420 Cooperative Grants (2000B) Deleted (2004)	19.450 ECA Individual Grants (2011)	19.666 EUR/ACE National Endowment for Democracy Small Grants (2011)
19.421 Exchange_English Language Fellow Program Consolidated from 19.416, 19.417 (2001B) Academic Exchange Programs - English Language Programs (2009)	19.451 Special International Exchange Grant Programs (2014)	19.700 General Department of State Assistance (2010)
19.422 Educational Exchange_Teaching Excellence Awards Program (2001B) Deleted (2004)	19.452 International Exchange Alumni Programs (2015)	19.701 Global Counterterrorism Programs (2012)
19.423 Exchange_English Language Specialist/Speaker Program (2001B) Archived (2009)	19.501 Public Diplomacy Programs for Afghanistan and Pakistan (2009)	19.703 CRIMINAL JUSTICE SYSTEMS (2011)
19.424 Educational Partnerships Program (2002B) Consolidated from 19.406 (2002B) Deleted (2005)	19.510 U.S. Refugee Admissions Program (2004)	19.704 COUNTERNARCOTICS (2011)
19.425 Benjamin Gilman International Scholarship (2002B) Archived (2009)	19.511 Overseas Refugee Assistance Programs for East Asia (2005)	19.705 TRANSNATIONAL CRIME (2011) Trans-National Crime (2014)
	19.515 Contributions to International Organizations for Overseas Assistance (2011)	19.750 Bureau of Western Hemisphere Affairs (WHA) Grant Programs (including Energy and Climate Partnership for the Americas) (2011)
	19.517 Overseas Refugee Assistance Programs for Africa (2005)	19.800 Weapons Removal and Abatement (2011)
	19.518 Overseas Refugee Assistance Programs for Western Hemisphere (2005)	19.801 Office of Global Women's Issues (2011)
	19.519 Overseas Refugee Assistance Program for Near	19.878 EUR-Other (2011)
		19.900 AEECA PD Programs (2012) AEECA/ESF PD Programs (2015)
		19.901 Export Control and Related Border Security (2011) Number changed from 19.999 (2011)

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19.979 Office of Security Affairs (2015)	Airport Development (1969B) Federal-Aid Airport Program (1970B) Number changed to 20.102 (1970U)	Consolidated into 20.214 (1974B)
19.999 Export Control and Related Border Security (2011) Number changed to 19.901 (2011)	20.102 Airport Development Aid Program (1970U) Number changed from 20.101 (1970U) Archived (1983B)	20.204 Highway Beautification_Landscaping and Scenic Enhancement (1970B) Split from 20.202 (1970B) Consolidated into 20.214 (1974B)
DEPARTMENT OF TRANSPORTATION Coast Guard Marine, Harbor, and Waterfront Services (1967B) Marine, Harbor, and Waterfront Services (1969B) Archived (1970B)	20.103 Airport Planning Grant Program (1971B) Archived (1983B)	20.205 Highway Planning and Construction (1967B) Transferred from the Department of Commerce (1970B) Highway Research, Planning, and Construction (1972B) Highway Planning and Construction (1981U) Revised (1998U)
Development Highways and Access Roads for the Appalachian Region (1967B) Archived (1969B)	20.104 Aviation War Risk Insurance (1971B) Archived (1977U)	20.206 Highway Studies (1967B) Highway Planning and Research Studies (1970B) Highway Planning and Research (1971B) Incorporated into 20.205 (1972B)
Urban Mass Transportation Capital Assistance (1969B) Incorporated into 20.500, 20.501 (1970B)	20.105 Loan Guarantees for Purchase of Aircraft and Spare Parts (1980B) Archived (1983U)	20.207 National Highway Safety Program (1965B) Split into 20.207, 20.208, 20.210 (1970B) Highway Safety Demonstration Projects (1970B) Consolidated into 20.600 (1971B)
20.001 Boating Safety (1970B) Transferred to 97.011 (2003B)	20.106 Airport Improvement Program (1983B)	20.208 Highway Safety Research Fellowships (1970B) Split from 20.207 (1970B) Consolidated into 20.600 (1971B)
20.002 Coast Guard Cooperative Marine Sciences Program (1970B) Archived (1986B)	20.107 Airway Science (1987B)	20.209 Public Lands Highways (1970B) Incorporated into 20.205 (1974B)
20.003 Student Shipboard Opportunities Program (1970B) Incorporated into 20.002 (1971B)	20.108 Aviation Research Grants (1993B)	20.210 State and Community Highway Safety (1970B) Split from 20.207 (1970B) Consolidated into 20.600 (1971B)
20.004 Boating Safety_Financial Assistance (1972U) Archived (1980B)	20.109 Air Transportation Centers of Excellence (1993B)	20.211 Traffic Operations Program to Increase Capacity and Safety (1970B) Incorporated into 20.205 (1972B)
20.005 Boating Safety Financial Assistance (1983U) Transferred to 97.012 (2003B)	20.110 Space Transportation Infrastructure Matching Grants (2014) Archived (2016)	20.212 Economic Growth Centers and Development Highway Demonstration Projects (1971U) Economic Growth Centers Development_Highways (1972B) Archived (1972U)
20.006 State Access to the Oil Spill Liability Trust Fund (1993B) Transferred to 97.013 (2003B)	20.200 Federal-Aid Highways_Emergency Relief (1970B) Incorporated into 20.205 (1974B)	20.213 Special Bridge Replacement (1971U) Federal-Aid Highways_Special Bridge Replacement (1973B) Incorporated into 20.205 (1974B)
20.007 Bridge Alteration (1993U) Transferred to 97.014 (2003B)	20.201 Forest Highways (1970B) Incorporated into 20.205 (1974B)	
20.008 E-911 Grant Program (2009)	20.202 Highway Beautification (1967B) Highway Beautification_Control of Junkyards (1970B) Transferred from the Department of Commerce (1970B) Split into 20.202, 20.203, 20.204 (1970B) Consolidated into 20.214 (1974B)	
20.100 Aviation Education (1969B) Archived (2009)	20.203 Highway Beautification_Control of Outdoor Advertising (1970B) Split from 20.202 (1970B)	
20.101 Airport Development Program (1965B)		

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<p>20.214 Highway Beautification_Control of Outdoor Advertising, Control of Junkyards, Landscaping and Scenic Enhancement (1974B) Consolidated from 20.202, 20.203, 20.204 (1974B) Highway Beautification_Control of Outdoor Advertising, and Control of Junkyards (1977B) Archived (1989B)</p>	<p>20.235 Commercial Motor Vehicle Operator Training Grants (2006) Commercial Motor Vehicle Operators Grants (2016) Commercial Motor Vehicle Operator Safety Training Grants (2017)</p>	<p>Consolidated into 20.304 (1974U)</p>
<p>20.215 Highway Training and Education (1980B)</p>	<p>20.236 Thermal Imaging Inspection System Demonstration Project (2006) Archived (2009)</p>	<p>20.303 Grants-in-Aid for Railroad Safety (1974U) Grants-in-Aid for Railroad Safety_State Participation (1975B) Archived (2009)</p>
<p>20.216 Highway Educational Grants (1980B) Archived (1985U)</p>	<p>20.237 Commercial Vehicle Information Systems and Networks (2006) Safety Data Improvement Program (2008) Commercial Vehicle Information Systems and Networks (2009) Motor Carrier Safety Assistance High Priority Activities Grants and Cooperative Agreements (2016)</p>	<p>20.304 Railroad Research and Development (1974U) Consolidated from 20.300, 20.302 (1974U) Archived (1977U)</p>
<p>20.217 Motor Carrier Safety (1980B)</p>	<p>20.238 Commercial Drivers License Information System (2007) Commercial Drivers License Information System (CDLIS) Modernization Grant (2010) Archived (2013)</p>	<p>20.305 Local Rail Service Continuation Payments (1976B) Local Rail Service Continuation Payments_Regional Program (1977B) Incorporated into 20.308 (1978B)</p>
<p>20.218 Motor Carrier Safety Assistance Program (1983U) National Motor Carrier Safety (1999B) Motor Carrier Safety Assistance (2016)</p>	<p>20.239 Motor Carrier Research and Technology Programs (2009) Archived (2017)</p>	<p>20.306 Rail Property Acquisition and Modernization Grant Assistance (1976B) Incorporated into 20.305 (1977B)</p>
<p>20.219 National Recreational Trails Program (1993U) National Recreational Trails Funding Program (1995B) Recreational Trails Program, Revised (1998U)</p>	<p>20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort (2006)</p>	<p>20.307 Transportation Test Center (1975U) Archived (1983B)</p>
<p>20.222 Highways for LIFE (2006)</p>	<p>20.241 Federal Motor Carrier Safety Administration National Training Center (2009) Archived (2011)</p>	<p>20.308 Local Rail Service Assistance_National Program (1977B) Local Rail Service Assistance (1978U) Local Rail Freight Assistance (1991U) Archived (2000B)</p>
<p>20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (2007)</p>	<p>20.242 Medical Programs (2010) Archived (2011)</p>	<p>20.309 Railroad Rehabilitation and Improvement_Guarantee of Obligations (1977B) Archived (1987B)</p>
<p>20.224 Federal Lands Access Program (2016)</p>	<p>20.243 High-Speed Ground Transportation (1967B) Transferred from the Department of Commerce (1967B) High-Speed Ground Transportation Research and Development (1970B) Consolidated into 20.304 (1974U)</p>	<p>20.310 Railroad Rehabilitation and Improvement_Redeemable Preference Shares (1977B) Railroad Rehabilitation and Improvement_Redeemable Preference Shares or Notes (1983U) Archived (1986B)</p>
<p>20.230 Crash Data Improvement Program (2005)</p>	<p>20.300 High-Speed Ground Transportation (1967B) Transferred from the Department of Commerce (1967B) High-Speed Ground Transportation Research and Development (1970B) Consolidated into 20.304 (1974U)</p>	<p>20.311 Railroad Labor/Management Cooperation Program (1987U) Archived (1988B)</p>
<p>20.231 Performance and Registration Information Systems Management (2006)</p>	<p>20.301 Railroad Safety (1971B)</p>	<p>20.312 High Speed Ground Transportation (1995B) High Speed Ground Transportation_Next Generation High Speed Rail Program (1998B) Archived (2009)</p>
<p>20.232 Commercial Driver License State Programs (2005) Commercial Driver's License Program Improvement Grant (2010) Commercial Driver's License Program Implementation Grant (2017)</p>	<p>20.302 Railroad Research (1973B)</p>	
<p>20.233 Border Enforcement Grants (2005)</p>		
<p>20.234 Safety Data Improvement Program (2006)</p>		

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<p>20.313 Railroad Research and Development (2000U)</p>	<p>Urban Mass Transportation Capital Improvement Grants (1970B) Federal Transit Capital Improvement Grants (1992B) Federal Transit_Capital Investment Grants (1999B)</p>	<p>Urban Mass Transportation Capital and Operating Assistance Formula Grants (1975B) Federal Transit Capital and Operating Assistance Formula Grants (1992B) Federal Transit_Formula Grants (1999B)</p>
<p>20.314 Railroad Development (2006) Archived (2013) Reinstated (2013)</p>	<p>20.501 Urban Mass Transportation Capital Improvement Loans (1970B) Archived (1981B)</p>	<p>20.508 Urban Mass Transportation Rail Passenger Service Assistance (1977B) Archived (1979B)</p>
<p>20.315 National Railroad Passenger Corporation Grants (2006)</p>	<p>20.502 Urban Mass Transportation Grants for University Research and Training (1970B) Incorporated into 20.512 (1984B) Federal Transit Grants for University Research and Training (1992B) Archived (2000B) Reinstated (2000U) Deleted (2005)</p>	<p>20.509 Public Transportation for Rural and Small Urban Areas (1979U2) Public Transportation for Nonurbanized Areas (1980U1) Formula Grants for Other Than Urbanized Areas (1999B) Formula Grants for Rural Areas (2013)</p>
<p>20.316 Railroad Rehabilitation and Improvement Financing Program (2007)</p>	<p>20.503 Urban Mass Transportation Managerial Training Grants (1969B) Urban Mass Transportation Managerial Training (1970B) Urban Mass Transportation Managerial Training Grants (1971B) Federal Transit Managerial Training Grants (1992B) Archived (2000B) Reinstated (2000U) Deleted (2005)</p>	<p>20.510 Urban Mass Transportation Planning Methods Research and Development (1980B) Consolidated into 20.512 (1984B)</p>
<p>20.317 Capital Assistance to States - Intercity Passenger Rail Service (2008)</p>	<p>20.511 Human Resource Program (1984B)</p>	<p>20.512 Urban Mass Transportation Technical Assistance (1984B) Consolidated from 20.504, 20.506, 20.510 (1984B) Federal Transit Technical Assistance (1992B) Deleted (2005)</p>
<p>20.318 Maglev Project Selection Program - SAFETEA-LU (2008) Archived (2013) Reinstated (2014)</p>	<p>20.504 Urban Mass Transportation Research, Development, and Demonstrations (1969B) Urban Mass Transportation Research, Development, and Demonstration Grants (1971B) Mass Transportation Technology (1974U) Split into 20.504, 20.506 (1974U) Consolidated into 20.512 (1984B)</p>	<p>20.513 Capital Assistance Program for Elderly and Handicapped Persons (1987U) Capital Assistance Program for Elderly Persons and Persons with Disabilities (1992B) Enhanced Mobility of Seniors and Individuals with Disabilities (2013)</p>
<p>20.319 High-Speed Rail Corridors and Intercity Passenger Rail Service Capital Assistance Grants (2009)</p>	<p>20.505 Urban Mass Transportation Technical Studies Grants (1969B) Federal Transit Technical Studies Grants (1992B) Federal Transit_Metropolitan Planning Grants (1999B) Metropolitan Transportation Planning (2010) Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research (2014)</p>	<p>20.514 Transit Planning and Research (1993B) Public Transportation Research (2006) Public Transportation Research, Technical Assistance, and Training (2015)</p>
<p>20.320 Rail Line Relocation and Improvement (2009)</p>	<p>20.506 Urban Mass Transportation Demonstration Grants (1974U) Split from 20.504 (1974U) Consolidated into 20.512 (1984B)</p>	<p>20.515 State Planning and Research (1994B) Split from 20.514 (1994B) Archived (2014) This program has been merged with CFDA #20.505. (2014)</p>
<p>20.321 RAILROAD SAFETY TECHNOLOGY GRANTS (2010)</p>	<p>20.507</p>	<p>20.516 Job Access_Reverse Commute (2000U)</p>
<p>20.323 Fiscal Year 2013 Hurricane Sandy Disaster Relief Grants to the National Railroad Passenger Corporation (2013)</p>		<p>20.518</p>
<p>20.324 Restoration and Enhancement (2017)</p>		
<p>20.325 Consolidated Rail Infrastructure and Safety Improvements (2017)</p>		
<p>20.326 Federal-State Partnership for State of Good Repair (2017)</p>		
<p>20.400 Transportation Planning Information_Environment and Urban Systems (1970B) Archived (1971B) Reinstated (1971U) Archived (1972B)</p>		
<p>20.500</p>		

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Capital and Training Assistance Program for Over-the-Road Bus Accessibility (2000U)	Archived (2015) Reinstated (2015)	Archived (2015) Reinstated (2015)
20.519 Clean Fuels (2006)	20.602 Motorcycle Helmets and Safety Belt Incentive Grants (1992B) Archived (1995B) Occupant Protection, Reinstated (2000U) Occupant Protection Incentive Grants (2009) Archived (2015) Reinstated (2015)	20.614 National Highway Transportation Safety Administration (NHTSA) Discretionary Safety Grants (2006) National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants (2010) National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants and Cooperative Agreements (2017)
20.520 Paul S. Sarbanes Transit in the Parks (2009)		
20.521 New Freedom Program (2006)		
20.522 Alternatives Analysis (2006)	20.603 Federal Highway Safety Data Improvements Incentive Grants (2000U)	20.615 E-911 Grant Program (2009) Archived (2010)
20.523 CAPITAL ASSISTANCE PROGRAM FOR REDUCING ENERGY CONSUMPTION AND GREENHOUSE GAS EMISSIONS (2009) Archived (2013) Reinstated (2015)	20.604 Safety Incentive Grants for Use of Seatbelts (2000U)	20.616 National Priority Safety Programs (2012)
20.524 Passenger Rail Investment and Improvement (PRIIA) Projects for Washington Metropolitan Area Transit Authority (WMATA) (2012)	20.605 Safety Incentives to Prevent Operation of Motor Vehicles by Intoxicated Persons (2000U) Archived (2009)	20.700 Gas Pipeline Safety (1971U) Pipeline Safety (1987B) Pipeline Safety Program Base Grants (2008) Pipeline Safety Program State Base Grant (2012)
20.525 State of Good Repair Grants Program (2012)	20.607 Alcohol Open Container Requirements (2004)	20.701 University Transportation Centers Program (1992B) Number changed from 20.902 (1992B)
20.526 Bus and Bus Facilities Formula Program (2012)	20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated (2004)	20.702 University Research Institutes Program (1993B) Archived (1999B)
20.527 Public Transportation Emergency Relief Program (2013)	20.609 Safety Belt Performance Grants (2006) Archived (2012) Reinstated (2012) Archived (2015) Reinstated (2015)	20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (1993B)
20.528 Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program (2013)	20.610 State Traffic Safety Information System Improvement Grants (2008) Archived (2015) Reinstated (2015)	20.704 RITA Hydrogen (2006) Archived (2010)
20.529 Bus Testing Facility (2015)		20.710 Technical Assistance Grants (2009)
20.530 Public Transportation Innovation (2016)	20.611 Incentive Grant Program to Prohibit Racial Profiling (2006) Archived (2015) Reinstated (2015)	20.714 National Pipeline Mapping System (2000U)
20.531 Technical Assistance and Workforce Development (2016)		20.720 State Damage Prevention Program Grants (2007)
20.600 State and Community Highway Safety (1971B) Consolidated from 20.207, 20.208, 20.210 (1971B)	20.612 Incentive Grant Program to Increase Motorcyclist Safety (2006) Archived (2015) Reinstated (2015)	20.721 PHMSA Pipeline Safety Program One Call Grant (2008)
20.601 Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants (1991U) Alcohol Impaired Driving Countermeasures Incentive Grants I (2009)	20.613 Child Safety and Child Booster Seats Incentive Grants (2006)	20.722 Technology Development Grant (2009) Archived (2011)

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<p>20.723 PHMSA Pipeline Safety Research and Development Other Transaction Agreements (2011)</p> <p>20.724 Pipeline Safety Research Competitive Academic Agreement Program (CAAP) (2013)</p> <p>20.725 PHMSA Pipeline Safety Underground Natural Gas Storage Grant (2017)</p> <p>20.750 Program of University Research (1973U) Archived (1975U)</p> <p>20.760 University Transportation Centers (2006) Archived (2009)</p> <p>20.761 Biobased Transportation Research (2008)</p> <p>20.762 Research Grants (2006)</p> <p>20.763 Research and Innovative Technology (RIT) Hydrogen Alternative Fuel Life Cycle (2008) Archived (2010)</p> <p>20.764 Hydrogen Storage Research and Development (2007) Archived (2015)</p> <p>20.800 Construction_Differential Subsidies (1981U) Transferred from 11.500 (1981U) Archived (1990B)</p> <p>20.801 Development and Promotion of Ports and Intermodal Transportation (1981U) Transferred from 11.501 (1981U) Deleted (2008)</p> <p>20.802 Federal Ship Financing Guarantees (1981U) Transferred from 11.502 (1981U)</p> <p>20.803 Maritime War Risk Insurance (1981U) Transferred from 11.503 (1981U) Archived (1985B)</p> <p>20.804 Operating_Differential Subsidies (1981U) Transferred from 11.504 (1981U)</p>	<p>Deleted (2004)</p> <p>20.805 Ship Sales (1981U) Transferred from 11.505 (1981U) Deleted (2005)</p> <p>20.806 State Marine Schools (1981U) Transferred from 11.506 (1981U) State Maritime Schools (2006)</p> <p>20.807 U.S. Merchant Marine Academy (1981U) Transferred from 11.507 (1981U)</p> <p>20.808 Capital Construction Fund (1981U) Transferred from 11.508 (1981U)</p> <p>20.809 Development and Promotion of Domestic Waterborne Transport Systems (1981U) Transferred from 11.509 (1981U) Archived (1985B)</p> <p>20.810 Supplementary Training (1981U) Transferred from 11.510 (1981U) Archived (2009)</p> <p>20.811 Research and Development Assistance (1981U) Transferred from 11.511 (1981U) Archived (1988B)</p> <p>20.812 Construction Reserve Fund (1981U) Transferred from 11.512 (1981U)</p> <p>20.813 Maritime Security Fleet Program (1997B) Ship Operations Cooperative Program (2015) Maritime Security Fleet Program or Ship Operations Cooperation Program (2017)</p> <p>20.814 Assistance to Small Shipyards (2008)</p> <p>20.815 Fireboat Construction (2008) Archived (2009)</p> <p>20.816 Americas Marine Highway Grants (2010) Archived (2013) Reinstated (2014)</p> <p>20.817 Air Emissions and Energy Initiative (2012)</p>	<p>20.818 Great Ships Initiative (2012)</p> <p>20.819 Ballast Water Treatment Technologies (2012)</p> <p>20.820 Maritime Studies and Innovations (2015)</p> <p>20.821 Women on the Water (WOW) (2015)</p> <p>20.822 Port of Guam Improvement Enterprise Program (2017)</p> <p>20.900 Air Transportation_Consumer Affairs (1985B) Transferred from 26.002 (1985B) Transportation_Consumer Affairs (1993U) Archived (2013)</p> <p>20.901 Payments for Essential Air Services (1985B) Transferred from 26.003 (1985B)</p> <p>20.902 University Transportation Centers Program (1987U) Number changed to 20.701 (1992B) Student Training and Education Program (1993B) Consolidated into 20.907 (1995B)</p> <p>20.903 Support Mechanisms for Disadvantaged Businesses (1993B)</p> <p>20.904 Disadvantaged Business Enterprises_Bonding Assistance Program (1993U) Bonding Assistance Program (1998U) Deleted (2005)</p> <p>20.905 Disadvantaged Business Enterprises_Short Term Lending Program (1993U)</p> <p>20.906 Hispanic Serving Institutions_Student Training and Education (1994B) Hispanic Serving Institutions_Entrepreneurial Training and Technical Assistance (1998U) Split into 20.908 (2000U) Deleted (2004) Consolidated into 20.907 (2006)</p> <p>20.907 Entrepreneurial Training and Technical Assistance Program Consolidated from 20.902 (1995B)</p>
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Historically Black Colleges and Universities_Entrepreneurial Training and Technical Assistance (1999B) Split into 20.908 (2000U) Deleted (2006) Entrepreneurial Training and Technical Assistance Women and Girls Program (2010) Archived (2013)	Analysis (1970B) Number changed to 21.051 (1972U)	(2010) Archived (2012)
20.908 Tribal Colleges_Entrepreneurial Training and Technical Assistance Split from 20.906, 20.907 (2000U) Deleted (2004)	21.002 Assistance in Law Enforcement (1967B) Law Enforcement Training Assistance (1969B) Alcohol, Tobacco, and Firearms_Training Assistance (1970B) Number changed to 21.052 (1972U)	21.014 Community Development Financial Institutions Bond Guarantee Program (2012)
20.910 Assistance to small and disadvantaged businesses (2007)	21.003 Taxpayer Assistance (1969B) Tax Information and Training (1970B) Tax Information and Education (1972B) Taxpayer Service (1981B) Archived (2013)	21.015 Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (2013)
20.920 Transportation Statistics Research Grants (2000U)	21.004 Federal Tax Information for State Tax Officials (1972B) Incorporated from 21.005 (1987B) Exchange of Federal Tax Information With State Tax Agencies (1987B)	21.016 Equitable Sharing (2017)
20.930 Payments for Small community Air Service Development (2004)	21.005 State Tax Model (1973U) Individual Tax Model (1978U) Incorporated into 21.004 (1987B)	21.020 Community Development Financial Institutions Program (1998B)
20.931 Transportation Planning, Research and Education (2006) Archived (2009) Reinstated (2010)	21.006 Tax Counseling for the Elderly (1980U1)	21.021 Bank Enterprise Award Program (1998B)
20.932 Surface Transportation _ Discretionary Grants for Capital Investment. (2009) Archived (2010) Reinstated (2011)	21.007 Availability of Tax Information to Certain Welfare Agencies (1987B) Availability of Tax Information to Certain Agencies Administering Needs-Based Programs (1991B) Archived (1999B)	21.051 Alcohol, Tobacco, and Firearms_Laboratory Analysis (1972U) Number changed from 21.001 (1972U) Archived (1990B)
20.933 Surface Transportation Infrastructure _ Discretionary Grants for Capital Investments II (2010) Surface Transportation Infrastructure _ Discretionary Grants for Capital Investments (2011) National Infrastructure Investments (2011)	21.008 Low-Income Taxpayer Clinics (1999B) Low Income Taxpayer Clinics (2009)	21.052 Alcohol, Tobacco, and Firearms_Training Assistance (1972U) Number changed from 21.002 (1972U) Number changed to 16.012 (2004)
20.934 Nationally Significant Freight and Highway Projects (2016)	21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program (2009)	21.053 Gang Resistance Education and Training (2000B) Number changed to 16.737 (2004)
64.129 Monthly Allowance for Certain Children of Vietnam Veterans (2004)	21.010 Financial Education and Counseling Pilot Program (2009)	21.100 Secret Service_Training Activities (1970B) Transferred to 97.015 (2003B)
DEPARTMENT OF THE TREASURY Narcotics Law Enforcement Training (1967B) Archived (1969B)	21.011 Capital Magnet Fund (2010)	21.200 Antidumping_Countervailing Duties (1971B) Antidumping Duties (1975B) Split into 21.200, 21.201 (1975B) Transferred to 11.106 (1980B)
21.001 Alcohol, Tobacco, and Firearms_Laboratory	21.012 Native Initiatives (2010)	21.201 Countervailing Duty on Imports (1975B) Split from 21.200 (1975B) Transferred to 11.107 (1980B)
	21.013 Qualifying Therapeutic Discovery Project Credit	21.300 State and Local Government Fiscal Assistance_General Revenue Sharing (1980B) State and Local Government Fiscal Assistance_Revenue Sharing (1985B) Archived (1987B)

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ADVISORY COMMISSION ON INTERGOVERNMENTAL RELATIONS

22.001

Intergovernmental Relations Advisory Service (1967B)
Archived (1974B)

APPALACHIAN REGIONAL COMMISSION

Regional Economic Development Commissions (1969B)
Split into individual Commissions (1970B)

17.807

Transition Assistance Program (2004)

23.001

Appalachian Regional Development (1969B)
Split into 23.001, 23.002, 23.003, 23.004, 23.005, 23.006, 23.007, 23.008, 23.009, 23.010, 23.011, 23.012 (1970B)

23.002

Appalachia_Supplements to Federal Grant-in-Aid (1970B)
Split from 23.001 (1970B)
Appalachian Supplements to Federal Grant-in-Aid (Community Development) (1978B)
Appalachian Supplements to Federal Grant-in-Aid (Area Development), Consolidated from 23.004, 23.005, 23.012, 23.013 (1996B)
Appalachian Area Development (1999B)

23.003

Appalachian Development Highway System (1970B)
Split from 23.001 (1970B)

23.004

Appalachian Health Demonstrations (1970B)
Split from 23.001 (1970B)
Appalachian Health Programs (1976B)
Consolidated into 23.002 (1996B)

23.005

Appalachian Housing Fund (1970B)
Split from 23.001 (1970B)
Appalachian Housing Planning Loan (1975B)
Appalachian Housing Planning Loan Fund (1975U)
Appalachian Housing Project Planning Loan and Site Development and Off-Site Improvement Grant: State Appalachian Housing Programs (1977B)
Appalachian Housing Project Planning Loan, Technical Assistance Grant and Site Development and Off-Site Improvement Grant: State Appalachian Housing Programs (1979B)
Consolidated into 23.002 (1996B)

23.006

Appalachian Housing Technical Assistance

(1970B)

Split from 23.001 (1970B)
Appalachian State Housing Technical Assistance (1975B)
Appalachian State Housing Technical Assistance Grants (1976U)
Incorporated into 23.005 (1979B)

23.007

Appalachian Land Stabilization, Conservation, and Erosion Control (1970B)
Split from 23.001 (1970B)
Archived (1970U)

23.008

Appalachian Local Access Roads (1970B)
Split from 23.001 (1970B)
Incorporated into 23.002 (2006)

23.009

Appalachian Local Development District Assistance (1970B)
Split from 23.001 (1970B)

23.010

Appalachian Mine Area Restoration (1970B)
Split from 23.001 (1970B)
Archived (1996B)

23.011

Appalachian State Research, Technical Assistance and Demonstration Projects (1970B)
Split from 23.001 (1970B)
Appalachian State Research, Technical Assistance, and Demonstration Projects (1971B)
Appalachian Research, Technical Assistance, and Demonstration Projects (2005)
Appalachian Research, Technical Assistance, and Demonstration Projects (2006)

23.012

Appalachian Vocational Education Facilities (1970B)
Split from 23.001 (1970B)
Appalachian Vocational Education Facilities and Operations (1972B)
Appalachian Vocational and Other Education Facilities and Operations (1976B)
Consolidated into 23.002 (1996B)

23.013

Appalachian Child Development (1970U)
Consolidated into 23.002 (1996B)

23.014

Appalachian Housing Site Development (1972B)
Appalachian Housing Site Development and Off Site Improvement Grants (1975B)
Incorporated into 23.005 (1977B)

23.016

Appalachian Vocational and Technical Education Demonstration Grants (1972B)
Incorporated into 23.012 (1976B)

23.017

Appalachian Special Transportation Related Planning, Research and Demonstration Program (1975B)
Archived (1989B)

ATOMIC ENERGY COMMISSION

Construction of Nuclear Power Stations (1967B)
Archived (1970B)

Licensing of Nuclear Power Stations (1967B)
Archived (1970B)

Nuclear Education and Training (1967B)
Split into "Nuclear Education, Training" Programs (1970B)

Research Support in the Biological, Medical, and Health Sciences (1967B)
Archived (1970B)

Research Support in the Physical Sciences (1967B)
Archived (1970B)

Surveys and Evaluation of Environmental Radiation Hazards (1967B)
Archived (1970B)

Training of State Personnel in AEC Regulatory Functions (1967B)
Archived (1970B)

Transferred to Industry of AEC Generated Technology (1967B)

Deleted (1970B)

24.001

Access Permits (1970B)
Transferred to 81.001 (1978B)

24.002

Atomic Energy Motion Picture Film Libraries (1970B)
Nuclear Science and Technology_Motion Picture Film Libraries (1974B)
Motion Picture Film Libraries (1975B)
Transferred to 81.002 (1978B)

24.003

Granting of Patent Licenses (1970B)
Transferred to 81.003 (1978B)

24.004

Nuclear Education and Training_Faculty Research Participation (1970B)

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Nuclear Education and Training_Faculty Research Participation_Laboratory Cooperative Program (1973U)

Nuclear Science and Technology_Faculty and Student Participation_Laboratory Cooperative Program (1974B)

Faculty and Student Participation_Laboratory Cooperative Program (1975B)
Transferred to 81.004 (1978B)

24.005

Nuclear Education and Training_Faculty-Student Conferences (1970B)
Archived (1973U)

24.006

Nuclear Education and Training_Faculty-Student Experiments (1970B)
Consolidated into 24.032 (1973B)

24.007

Nuclear Education and Training_Faculty Training Institutes (1970B)
Nuclear Science and Technology_Faculty Training Institutes and Workshops (1974B)
Faculty Training Institutes, Short Courses, and Workshops on Energy and Environmental Subjects (1975B)
Transferred to 81.007 (1978B)

24.008

Nuclear Education and Training_Faculty Workshops (1970B)
Consolidated into 24.032 (1973B)

24.009

Nuclear Education and Training_Honors Program for Senior Science Majors (1970B)
Incorporated into 24.019 (1973B)

24.010

Nuclear Education and Training_Laboratory Graduate Fellowships (1970B)
Nuclear Education and Training_Laboratory Graduate Participants (1971B)
Nuclear Education and Training_Laboratory Graduate Participants_Laboratory Cooperative Program (1973U)
Incorporated into 24.004 (1974B)

24.011

Nuclear Education and Training_Loan of Material to Nonprofit Institutions (1970B)
Nuclear Education and Training_Material for Nonprofit Institutions (1972B)
Nuclear Science and Technology_Research Reactor Materials Assistance (1974B)
Research Reactor and Materials Assistance (1975B)
Transferred to 81.011 (1978B)

24.012

Nuclear Education and Training_Medical Radioisotope Course (1970B)
Archived (1973B)

24.013

Nuclear Education and Training_Mobile Radioisotope Laboratory (1970B)
Archived (1974U)

24.014

Nuclear Education and Training_Nuclear Medical Technology Course (1970B)
Archived (1973B)

24.015

Nuclear Education and Training_Radioisotope Techniques Training Courses (1970B)
Archived (1973B)

24.016

Nuclear Education and Training_Reactor Fuel Cycle Assistance (1970B)
Incorporated into 24.011 (1974B)

24.017

Nuclear Education and Training_Special Fellowships in Nuclear Science and Engineering (1970B)
Archived (1973B)

24.018

Nuclear Education and Training_Special Fellowships in Radiation Science and Protection (1970B)
Nuclear Education and Training_Special Fellowships in Radiation Protection (1971B)
Archived (1973B)

24.019

Nuclear Education and Training_Summer Student Training (1970B)
Nuclear Education and Training_Student Research Participation (1972B)
Nuclear Education and Training_Student Research Participation_Laboratory Cooperative Program (1973U)
Incorporated into 24.004 (1974B)

24.020

Nuclear Education and Training_Traineeships for Graduate Students in Nuclear Engineering (1970B)
Archived (1973B)
Reinstated (1974B)
Nuclear Science and Technology_Traineeships for Graduate Students in Nuclear Engineering, Radiation Protection, and Environmental Engineering (1974B)
Nuclear Science and Technology_Traineeships for Graduate Students in Nuclear Engineering, Radiation Protection, and Environmental Sciences and Engineering (1974U)

Traineeships for Graduate Students in Nuclear Engineering, Radiation Protection, and Environmental Sciences and Engineering (1975B)
Traineeships for Graduate Students in Engineering Studies Related to Energy Conversion, Health and Safety, and Environmental Sciences and Engineering (1976B)
Transferred to 81.020 (1978B)

24.021

Nuclear Education and Training_University Reactor Sharing (1970B)
Incorporated into 24.011 (1974B)

24.022

Nuclear Education and Training_Used Nuclear-Type Equipment Grants (1970B)
Nuclear Science and Technology_Used Nuclear-Type Equipment Grants (1974B)
Used Nuclear-Type Equipment Grants (1975B)
Transferred to 81.022 (1978B)

24.023

Nuclear Science Information (1967B)
Nuclear Science and Technology_Literature and Information Services (1970B)
Nuclear Science and Technology_Literature, Exhibits, and Other Information Services (1974B)
Information Services_Exhibits, Publications, Reference, and Analysis (1975B)
Information Services_Exhibits, Public Speakers, Publications, Reference, and Analysis (1975U)
Transferred to 81.023 (1978B)

24.024

Nuclear Science and Technology_Research and Development (1969B)
Research and Development in Nuclear, Solar, Geothermal, and Advanced Energy Systems, and Physical, Biomedical, and Environmental Sciences (1975B)
Split into 24.024, 24.035 (1975B)
Transferred/Incorporated into 81.037, 81.046, 81.049 (1978B)

24.025

Nuclear Science Lecture Demonstration for Secondary Schools (1970B)
Archived (1973B)

24.026

Payments in Lieu of Property Taxes (1970B)
Transferred to 81.026 (1978B)

24.027

Radiation Control Training Assistance (1969B)
Radiation Control_Training Assistance and Advisory Counseling (1970B)
Transferred to 77.001 (1975B)

24.028

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Radiological Safety Assistance (1967B)
Radiological Emergency Assistance (1971B)
Transferred to 81.028 (1978B)

24.029

Uranium Industry Workshops (1970B)
Nuclear Industry Seminars (1972U)
Transferred to 81.029 (1978B)

24.030

Work Experience (1970B)
Transferred to 81.030 (1978B)

24.031

Nuclear Materials Safeguards Training (1970U)
Incorporated into 24.007 (1973B)

24.032

Nuclear Education and Training_Instructional
Activities (1973B)
Consolidated from 24.006, 24.008 (1973B)
Archived (1973U)

24.033

Nuclear Science and Technology_Citizens'
Workshops (1974B)
Citizens' Workshops (1975B)
Transferred to 81.033 (1978B)

24.034

Research and Development in Fossil Energy
(1975B)
Transferred from 15.307 (1975B)
Transferred/Split into 81.037, 81.046 (1978B)

24.035

Research and Development in Energy Conservation
(1975B)
Split from 24.024 (1975B)
Transferred to 81.035 (1978B)

24.036

Energy-Related Inventions (1976B)
Transferred to 81.036 (1978B)

CABINET COMMITTEE ON OPPORTUNITIES FOR SPANISH-SPEAKING PEOPLE

25.001

Spanish Surnamed Communities Assistance
(1969B)
Spanish Surnamed Americans Assistance (1970B)
Spanish-Speaking Americans Assistance (1970U)
Archived (1975B)

CIVIL AERONAUTICS BOARD

Air Transport_Community and Consumer Services
(1969B)
Archived (1970B)

26.001

Air Carrier Payments (1970B)
Archived (1981B)

26.002

Air Transportation_Consumer Complaints (1970U)
Air Transportation_Consumer Affairs (1972B)
Transferred to 20.900 (1985B)

26.003

Payments for Essential Air Services (1979B)
Transferred to 20.901 (1985B)

26.004

Public Participation (1979B)
Archived (1980B)

OFFICE OF PERSONNEL MANAGEMENT

27.001

Opportunities for Federal Civil Service
Employment (1967B)
Federal Civil Service Employment (1970B)
Archived (2017)

27.002

Employment Assistance for Veterans (1969B)
Federal Employment Assistance for Veterans
(1970B)
Archived (2017)

27.003

Stay in School Campaign_Youth Opportunity
(1967B)
Youth Employment (1969B)
Federal Employment for Disadvantaged
Youth_Part-Time (1970B)
Consolidated from 27.004 (1995B)
Federal Student Temporary Employment Program
(1996B)
Archived (2017)

27.004

Youth Summer Employment (1969B)
Federal Employment for Disadvantaged
Youth_Summer (1970B)
Consolidated into 27.003 (1995B)

27.005

Federal Employment for the Handicapped (1970B)
Archived (2017)

27.006

Federal Summer Employment (1970B)
Archived (2017)

27.007

Personnel Management Assistance to State and
Local Governments (1970B)
Archived (1971U)

27.008

Recruiting and Staffing Assistance to State and
Local Governments (1970B)
Intergovernmental Cooperation in Recruiting and
Examining (1971U)
Archived (1984U)

27.009

Training Assistance to State and Local
Governments (1970B)
Archived (1996U)

27.010

State Personnel Merit Systems_Technical
Assistance (1971B)
Transferred from 13.605 (1971B)
State and Local Personnel Merit Systems and Other
Technical Assistance (1978U)
State and Local Merit Personnel Systems and Other
Technical Assistance (1979U2)
Archived (1981U)

27.011

Intergovernmental Mobility of Federal, State, and
Local Employees (1971U)
Archived (2017)

27.012

Intergovernmental Personnel Grants (1971U)
Archived (1981U)

27.013

Presidential Management Intern Program (1978B)
Archived (2017)

COASTAL PLAINS REGIONAL COMMISSION

28.001

Coastal Plains Regional Economic Development
(1970B)
Archived (1973B)
Reinstated (1973U)
Archived (1981U)

28.002

Coastal Plains Technical and Planning Assistance
(1975B)
Archived (1981U)

28.003

Coastal Plains Supplements to Federal Grant-in-Aid
(1975B)
Archived (1981U)

28.004

Coastal Plains Regional Transportation (1977U)
Archived (1981U)

28.005

Coastal Plains Energy Demonstration Projects and

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Programs (1977U)
Archived (1981U)

28.007

Coastal Plains Health and Nutrition Demonstration
Projects (1977U)
Archived (1981U)

28.008

Coastal Plains Education Demonstration Projects
(1977U)
Archived (1981U)

U.S. COMMISSION ON CIVIL RIGHTS

Job Discrimination_Reports (1969B)
Archived (1970B)

29.001

Civil Rights (1967B)
Civil Rights Compliance and Information (1969B)
Clearinghouse Services and Civil Rights
Complaints (1970B)
Clearinghouse Services, Civil Rights and Sex
Discrimination Complaints (1973B)
Clearinghouse Services, Civil Rights
Discrimination Complaints (1981B)
Archived (2017)

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

Affirmative Action Program_Equal Employment
Opportunity (1967B)
Archived (1970B)

Equal Employment Opportunity Grants (1967B)
Archived (1970B)

Job Discrimination_Reports (1969B)
Archived (1970B)

30.001

Job Discrimination_Investigation and Conciliation
of Complaints (1969B)
Employment Discrimination_Investigation,
Conciliation and Litigation of Unlawful
Employment Practices (1975B)
Employment Discrimination_Settlement,
Investigation, Conciliation and Litigation of
Unlawful Employment Practices (1978B)
Employment Discrimination_Title VII of the Civil
Rights Act of 1964 (1986B)

30.002

Job Discrimination_Special Project Grants (1969B)
Employment Discrimination_Special Project Grants
and Contracts (1975B)
Employment Discrimination_Special Project
Contracts (1976B)
Employment Discrimination_State and Local
Anti-Discrimination Agency Contracts (1976U)

Employment Discrimination_State and Local Fair
Employment Practices Agency Contracts (1992B)
Archived (2010)

30.003

Job Discrimination_Technical Assistance to
Employers, Unions, and Employment Agencies
(1969B)

Job Discrimination_Technical Assistance (1973B)
Employment Discrimination_Technical Assistance
(1975B)
Archived (1978B)

30.004

Employment Discrimination_Program for the
Development of the Private Bar (1975U)
Archived (1977B)

30.005

Employment Discrimination_Project Grants and
Demonstration Grants (1978B)
Employment Discrimination_Private Bar Project
and Demonstration Contracts (1979U2)
Employment Discrimination_Private Bar Program
(1981U)

30.006

Employment Discrimination_Voluntary
Compliance by Public Employers (1978U)
Employment Discrimination_Voluntary
Compliance by Non-Federal Public Employers
(1979U2)
Employment Discrimination_Compliance Program
for Non-Federal Public Employers (1980U1)
Archived (1982B)

30.007

Employment Discrimination Project Grants_Tribal
Native Americans (1978U)
Archived (1979U2)

30.008

Employment Discrimination_Age Discrimination in
Employment (1979U1)
Transferred from 17.300 (1979U1)
Consolidated from 30.012 (1995U)

30.009

Employment Discrimination Project
Contracts_Indian Tribes (1979U2)
Archived (2010)

30.010

Employment Discrimination_Equal Pay Act
(1979U2)
Transferred/Split from 17.303 (1979U2)
Consolidated from 30.012 (1995U)
Women's Bureau (2004)
Employment Discrimination Equal Pay Act (2005)
Employment Discrimination Equal Pay Act (2005)

30.011

Employment Discrimination_Title I of The
Americans with Disabilities Act, Investigations
(1992B)
Employment Discrimination_Title I of The
Americans with Disabilities Act (1995B)
Consolidated from 30.012 (1995U)

30.012

Employment Discrimination_Title I of The
Americans with Disabilities Act, Technical
Assistance (1992B)
Consolidated into 30.008, 30.010, 30.011 (1995U)

30.013

Employment Discrimination-Title II of the Genetic
Information Nondiscrimination Act of 2008 (2011)

EXPORT - IMPORT BANK OF THE UNITED STATES

31.001

Medium-Term Guarantees to Commercial Banks
(1970B)
Split into 31.002, 31.004, 31.005 (1971B)

31.002

Commercial Bank Export Credit Loans (1971B)
Split from 31.001 (1971B)
Archived (1973B)

31.003

Export Credit Information Service for U.S.
Commercial Banks (1971B)
Archived (1973B)

31.004

Export Financing_Direct Loans and Participation
Financing (1971B)
Split from 31.001 (1971B)
Archived (1973B)

31.005

Export Financing_Guarantees and Insurance
(1971B)
Split from 31.001 (1971B)
Archived (1973B)

31.006

Export Financing_Preliminary Commitments
(1971B)
Archived (1973B)

31.007

Export - Loan Guarantee/Insured Loans (2009)

FEDERAL COMMUNICATIONS COMMISSION

32.001

Communications_Information, Regulation, and
Investigation of Complaints (1969B)

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Communications_Information and Investigation of Complaints (1972B)
Communications Information and Assistance and Investigation of Complaints (1983U)
Archived (2017)

FEDERAL MARITIME COMMISSION

33.001

Shipping_Investigation of Complaints (1969B)
Shipping Investigation of Complaints (1997B)
Shipping_Dispute Resolution and Investigation of Complaints (2006)
Archived (2017)

FEDERAL MEDIATION AND CONCILIATION SERVICE

34.001

Labor Mediation and Conciliation (1969B)
Archived (2017)

34.002

Labor-Management Cooperation (1982B)
Archived (1992B)
Labor Management Cooperation (1993B)
Deleted Program no longer funded (2008)

FEDERAL POWER COMMISSION

Fish Protection (1967B)
Archived (1969B)

Flood Control (1967B)
Archived (1969B)

Forestry, Tree Planting (1967B)
Archived (1969B)

Recreation Resource Development (1967B)
Archived (1969B)

Water Conservation Assistance (1967B)
Archived (1969B)

Water Pollution Assistance (1967B)
Archived (1969B)

35.001

Water Resources Development (1967B)
Archived (1973B)

35.002

Beautification of Hydroelectric Projects (1967B)
Wholesale Electric Power Service (1969B)
Archived (1973B)

35.003

Wholesale Natural Gas Service (1967B)
Archived (1973B)

FEDERAL TRADE COMMISSION

36.001

Consumer and Small Business Protection (1967B)
Consumer and Business Protection (1970B)
Fair Competition Counseling and Investigation of Complaints (1972B)
Archived (2017)

36.002

Public Rulemaking Participation (1976B)
Archived (1981U)

FOREIGN CLAIMS SETTLEMENT COMMISSION OF THE UNITED STATES

37.001

Claims Against the Government of Bulgaria (1970B)
Archived (1971B)

37.002

Claims Against the Government of Italy (1970B)
Archived (1971B)

37.003

Claims Against the Government of Rumania (1970B)
Archived (1971B)

37.004

Claims of Civilian American Citizens Interned in Southeast Asia (1970U)
Archived (1972B)

37.005

Claims of Prisoners of War in Vietnam (1970U)
Archived (1982B)

37.006

Claims of Civilian Internees in Vietnam (1973U)
Archived (1978B)

37.007

Second Hungarian Claims Program (1975B)
Archived (1977U)

37.008

German Democratic Republic Claims Program (1977U)
Archived (1981B)

37.009

Second China Claims Program (1979U1)
Archived (1981U)

37.010

Vietnam Claims Program (1981B)
Archived (1986B)

37.011

Second Czechoslovakian Claims Program (1982B)

Archived (1985B)

37.012

Ethiopian Claims Program (1986U)
Archived (1987U)

FOUR CORNERS REGIONAL COMMISSION

38.001

Four Corners Regional Economic Development (1970B)
Archived (1973B)
Reinstated (1973U)
Archived (1981U)

38.002

Four Corners Technical and Planning Assistance (1975B)
Archived (1981U)

38.003

Four Corners Supplements to Federal Grant-in-Aid (1975B)
Archived (1981U)

38.004

Four Corners Regional Transportation (1977U)
Archived (1981U)

38.005

Four Corners Energy Demonstration Projects and Programs (1977U)
Archived (1981U)

38.007

Four Corners Health and Nutrition Demonstration Projects (1977U)
Archived (1981U)

GENERAL SERVICES ADMINISTRATION

Payments to Small Lead and Zinc Mines (1967B)
Archived (1969B)

39.001

Non-Military Procurement from Labor Surplus and Redevelopment Areas (1967B)
Counseling on Doing Business with the Government (1969B)
Business Services (1970B)

39.002

Disposal of Federal Surplus Real Property (1965B)

39.003

Donation of Federal Surplus Personal Property (1965B)

39.004

National Archives Reference Services (1969B)

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Transferred to 89.001 (1985B)

39.005

Audiovisual Support Services (1969B)
National Audiovisual Center (1970B)
Transferred to 89.002 (1985B)

39.006

National Historical Sources Grants (1969B)
National Historical Publications Grants (1971B)
National Historical Publications and Records Grants (1976B)
Transferred to 89.003 (1985B)

39.007

Sale of Federal Surplus Personal Property (1965B)

39.008

Federal Information Center (1971B)
Consolidated into 39.009 (2000B)

39.009

Consumer Product Information Index (1972B)
Consumer Product Information Center (1973B)
Consumer Information Center (1974B)
Federal Consumer Information Center,
Consolidated from 39.008 (2000B)
Federal Citizen Information Center (2003B)
Archived (2010)

39.010

Continuity of Government and Management of Resources in Emergencies (1973U)
Transferred from 50.001 (1973U)
Archived (1976B)
Reinstated (1980B)
State Participation in Federal Telpaks (1980B)
Archived (1980U2)

39.012

Public Buildings Service (2008)
Archived (2016)

GOVERNMENT PUBLISHING OFFICE

40.001

Government Publications_Depository Library Distribution (1969B)
Depository Libraries for Government Publications (1970B)
Depository Libraries for Government Publications (2004)
Archived (2017)

40.002

Government Publications_Sales to the Public (1969B)
Government Publications_Sales and Distribution (1970B)
Government Publications Sales and Distribution (1992B)

Government Publications Sales and Distribution (2004)
Archived (2017)

INTERSTATE COMMERCE COMMISSION

41.001

Federal-State Highway Transportation Cooperative Economic Law Enforcement Agreements (1967B)
Interstate Commerce_Cooperative Enforcement Agreements with States (1969B)
Archived (1995B)

41.002

Interstate Commerce_Investigation of Complaints (1969B)
Archived (1996B)

LIBRARY OF CONGRESS

42.001

Talking Book Services Program (1965B)
Books and Talking Books for the Blind and the Physically Handicapped (1967B)
Books for the Blind and Physically Handicapped (1969B)
Books for the Blind and Physically Handicapped (2005)
Archived (2017)

42.002

Copyright Service (1969B)
Archived (2017)

42.003

Distribution of Library of Congress Catalog Cards (1969B)
Distribution of Library of Congress Cataloging (1976B)
Deleted (2005)

42.004

Library of Congress Photoduplication Service (1969B)
Archived (1978U)

42.005

Library of Congress Publications (1967B)

42.006

Library of Congress Reference and Bibliographic Services (1969B)
Library of Congress Research and Reader Services (1977B)
Library of Congress Research Services (1979U2)
Library of Congress Constituent and Collection Services (1990B)
Library of Congress_Library Services (1996B)
Deleted (2005)

42.007

Research and Referral Services in Science and Technology (1969B)
Reference and Referral Services in Science and Technology (1977B)
Reference Services in Science and Technology (1981B)
Reference Services in Science, Technology and Business (2000B)
Deleted (2005)

42.008

Reference and Consultation Services in Preservation, Restoration, and Protection of Library Materials (1971B)
Archived (1978U)
Semiconductor Chip Protection Service (1987B)
Archived (2017)

42.009

Vessel Hull Design Protection Service (2004)
Archived (2017)

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

Assistance for University Research and Training Activities (1969B)
Archived (1970B)

43.001

Space and Aeronautics Education and Information Services (1969B)
Space Science Education Project (1970B)
Aerospace Education Services Project (1977B)
Aerospace Education Services Program (1991U)
Science (2010)

43.002

Technology Utilization (1967B)
Transferred to Private Sector of Aerospace Technology (1969B)
Technology Utilization (1970B)
Technology Transfer (1978U)
Technology Utilization (1984B)
Technology Transfer (1993U)
Aeronautics (2010)

43.003

Exploration (2010)

43.004

Aeronautics, Recovery Act (2009)

43.005

Exploration, Recovery Act (2009)
Archived (2017)

43.006

Science, Recovery Act (2009)
Archived (2017)

Appendix V: Historical Profile of Catalog Programs

<p>43.007 Space Operations (2010)</p>	<p>Split from 45.301 (1998B) Archived (2013)</p>	<p>Split into 45.001 - 45.009 (1970B)</p>
<p>43.008 Education (2010)</p>	<p>45.303 Conservation Project Support Split from 45.301 (1998B) Archived (2013)</p>	<p>Progress in the Humanities (1969B) Split into 45.100 - 45.106 (1970B)</p>
<p>43.009 Cross Agency Support (2010)</p>	<p>45.304 Conservation Assessment Program Split from 45.301 (1998B) Archived (2013)</p>	<p>45.001 Promotion of the Arts_Architecture and Design (1970B) Promotion of the Arts_Architecture and Environmental Arts (1971U) Promotion of the Arts_Architecture, Planning, and Design (1978B) Promotion of the Arts_Design Arts (1979U2) Consolidated into 45.024 (1996B)</p>
<p>43.010 Construction & Environmental Compliance & Remediation (2010)</p>	<p>45.305 Professional Services Program Split from 45.301 (1998B) Consolidated into 45.312 (2001B)</p>	<p>45.002 Promotion of the Arts_Dance (1970B) Consolidated into 45.024 (1996B)</p>
<p>43.011 Office of Inspector General (2010)</p>	<p>45.306 Museum Leadership Initiatives Split from 45.301 (1998B) Consolidated into 45.312 (2001B)</p>	<p>45.003 Promotion of the Arts_Education (1970B) Promotion of the Arts_Artists-in-Schools (1979U2) Promotion of the Arts_Artists-in-Education (1981B) Promotion of the Arts_Arts in Education (1986U) Consolidated into 45.024, 45.025 (1996B)</p>
<p>43.012 Space Technology (2014)</p>	<p>45.307 21st Century Museum Professionals (2005) Archived (2013)</p>	<p>45.004 Promotion of the Arts_Literature (1970B) Consolidated into 45.024 (1996B)</p>
<p>NATIONAL CREDIT UNION ADMINISTRATION</p> <hr/> <p>44.001 Federal Credit Union Charter, Examination, and Supervision (1970B) Credit Union Charter, Examination, Supervision, and Insurance (1971B) Archived (2013)</p>	<p>45.308 Native American/Native Hawaiian Museum Services Program (2005)</p>	<p>45.005 Promotion of the Arts_Music (1970B) Split into 45.005, 45.014 (1978U) Consolidated into 45.024 (1996B)</p>
<p>44.002 Community Development Credit Union Revolving Loan Fund Number changed from 13.794 (1987B) Community Development Revolving Loan Program for Credit Unions (1988B) Community Development Revolving Loan Fund Program for Credit Unions (2005) Community Development Revolving Loan Fund Program for Credit Unions (2005)</p>	<p>45.310 State Library Program (1998B) Grants to States (2006)</p>	<p>45.006 Promotion of the Arts_Public Media (1970B) Promotion of the Arts_Media Arts: Film/Radio/Television (1977B) Consolidated into 45.024 (1996B)</p>
<p>FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES</p> <hr/> <p>45.201 Arts and Artifacts Idemnity (1976U)</p>	<p>45.311 Native American Library Services (1998B) Native American and Native Hawaiian Library Services (2004)</p>	<p>45.007 Promotion of the Arts_State and Community Operations (1970B) Promotion of the Arts_Federal-State Partnership (1973B) Promotion of the Arts_State Program/Partnership Coordination (1980B) Promotion of the Arts_State Programs/Partnership Coordination (1982B) Promotion of the Arts_Public Partnership (1983B) Promotion of the Arts_State Programs (1984B) Promotion of the Arts_States Program (1989U) Promotion of the Arts_State and Regional Program (1992B) Consolidated into 45.025 (1996B)</p>
<p>INSTITUTE OF MUSEUM AND LIBRARY SERVICES</p> <hr/> <p>45.301 Institute of Museum Services (1982B) Transferred from 84.115 (1982B) Institute of Museum and Library Services (1997B) Split into 45.302, 45.303, 45.304, 45.305, 45.306 (1998B) Institute of Museum and Library Services_General Operating Support (1998B) Institute of Museum and Library Services (2000B) Museum for America Grants (2004) Museums for America (2006)</p>	<p>45.312 Institute of Museum and Library Services_National Leadership Grants (1998B) National Leadership Grants (2004)</p>	<p>45.008 Promotion of the Arts_Theatre (1970B)</p>
<p>45.302 Museum Assessment Program</p>	<p>NATIONAL ENDOWMENT FOR THE ARTS</p> <hr/> <p>Grants for Progress in the Arts (1967B)</p>	

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Promotion of the Arts_Theater (1984B)
Consolidated into 45.024 (1996B)

45.009

Promotion of the Arts_Visual Arts (1970B)
Consolidated into 45.024 (1996B)

45.010

Promotion of the Arts_Expansion Arts (1971U)
Consolidated into 45.024, 45.025 (1996B)

45.011

Promotion of the Arts_Special Projects (1972B)
Split into 45.011, 45.015 (1978U)
Promotion of the Arts_Internship (Special Projects) (1978U)

Promotion of the Arts_Special Projects (1979B)
Split into 45.011, 45.020 (1980B)

Promotion of the Arts_Inter-Arts (1980U1)
Promotion of the Arts_Presenting and Commissioning (1992B)

Promotion of the Arts_Presenting (1995B)
Consolidated into 45.024, 45.025 (1996B)

45.012

Promotion of the Arts_Museums (1972B)
Consolidated into 45.024 (1996B)

45.013

Promotion of the Arts_Challenge Grants (1977U)
Split into 45.013, 45.022 (1983B)
Consolidated into 45.024 (1996B)

45.014

Promotion of the Arts_Opera and Musical Theatre (1978U)

Split from 45.005 (1978U)

Number changed to 45.015 (1979U2)

Promotion of the Arts_Folk Arts (1980B)

Promotion of the Arts_Opera and Musical Theatre (1980U1)

Promotion of the Arts_Opera and Musical Theater (1984B)

Promotion of the Arts_Opera-Musical Theater (1987B)

Consolidated into 45.024 (1996B)

45.015

Promotion of the Arts_Folk Arts (1978U)
Split from 45.011 (1978U)

Number changed to 45.014 (1979U2)

Promotion of the Arts_Opera and Musical Theatre (1980B)

Promotion of the Arts_Folk Arts (1980U1)

Promotion of the Arts_Folk and Traditional Arts (1995B)

Consolidated into 45.024, 45.025 (1996B)

45.020

Promotion of the Arts_National Endowment

Fellowship Program (1980B)

Split from 45.011 (1980B)

Number changed to 45.021 (1980B)

45.021

Promotion of the Arts_Policy, Planning and Research (1983B)

Number changed from 45.020 (1983B)

Promotion of the Arts_Fellowship Program for Arts Management (1984B)

Promotion of the Arts_Arts Management Fellowship Program (1985)

Promotion of the Arts_Arts Administration Fellows Program (1987U)

Archived (1996B)

45.022

Promotion of the Arts_Advancement Grants

Split from 45.013 (1983B)

Consolidated into 45.024 (1996B)

45.023

Promotion of the Arts_Test Program of Support for Local Arts Agencies (1983U)

Promotion of the Arts_Locals Program (1989U)

Promotion of the Arts_Local Arts Agencies Program (1992U)

Consolidated into 45.024, 45.025 (1996B)

45.024

Promotion of the Arts_Grants to Organizations and Individuals

Consolidated from 45.001 - 45.006, 45.008 - 45.015, 45.022, 45.023 (1996B)

45.025

Promotion of the Arts_Partnership Agreements

Consolidated from 45.003, 45.007, 45.010, 45.011, 45.015, 45.023 (1996B)

45.026

Promotion of the Arts_Leadership Initiatives (1996B)

Deleted (2004)

45.027

Promotion of the Arts_Challenge America Grants (2001B)

Deleted (2003)

NATIONAL ENDOWMENT FOR THE HUMANITIES

Consolidated into program 45.024 (2003B)

45.100

Promotion of the Humanities_Afro-American Fellowships (1970B)

Number changed to 45.107 (1970U)

45.101

Promotion of the Humanities_Education (1970B)
Archived (1971U)

45.102

Promotion of the Humanities_Fellowships and Summer Stipends for Younger Humanists (1970B)
Consolidated into 45.118, 45.121 (1974U)

45.103

Promotion of the Humanities_Fellowships_Faculty Development Grants (1970B)
Incorporated into 45.101 (1971B)

45.104

Promotion of the Humanities_Public Programs (1970B)

Promotion of the Humanities_Film/TV Grants (1973B)

Promotion of the Humanities_Media Grants (1974B)

Promotion of the Humanities_Media Humanities Projects (1980B)

Promotion of the Humanities_Humanities Projects in Media (1983U)

Consolidated into 45.164 (1996B)

45.105

Promotion of the Humanities_Research and Publication (1970B)

Promotion of the Humanities_Research Grants (1971U)

Promotion of the Humanities_Research Grants for Junior College Teachers (1974U)

Promotion of the Humanities_General Research Program (1976B)

Split into 45.140, 45.141 (1979U1)

45.106

Promotion of the Humanities_Senior Fellowships (1970B)

Consolidated into 45.118 (1974U)

45.107

Promotion of the Humanities_Fellowships for Guided Study in Selected Fields (1970U)

Number changed from 45.100 (1970U)

Promotion of the Humanities_Fellowships in Selected Fields (1973B)

Consolidated into 45.118, 45.119 (1974U)

45.108

Promotion of the Humanities_Fellowships for Junior College Teachers (1970U)

Promotion of the Humanities_Fellowships and Summer Stipends for Junior College Teachers (1973B)

Consolidated into 45.118, 45.119, 45.121 (1974U)

45.109

Promotion of the Humanities_Fellowships for the Professions (1971U)

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Promotion of the Humanities_Fellowships and Stipends for the Professions (1976B)
Split into 45.109, 45.134 (1978B)
Promotion of the Humanities_Fellowships for Journalists (1982B)
Archived (1983B)

45.110

Promotion of the Humanities_Education Planning and Development (1971U)
Promotion of the Humanities_Education Planning, Program, and Development (1973B)
Promotion of the Humanities_Education Pilot, Program, and Development (1976B)
Promotion of the Humanities_Education Pilot and Development Grants (1976U)
Split into 45.138, 45.139 (1979B)

45.111

Promotion of the Humanities_Education Projects (1971U)
Promotion of the Humanities_Higher Education Projects (1976U)
Split into 45.111, 45.127 (1976U)
Promotion of the Humanities_Higher Education/Regional and National Grants (1979U2)
Promotion of the Humanities_Exemplary Projects, Nontraditional Programs, and Teaching Materials (1983B)
Promotion of the Humanities_Exemplary Projects in Undergraduate and Graduate Education and Nontraditional Programs and Teaching Materials (1983U)
Promotion of the Humanities_Exemplary Projects and Humanities Programs for Nontraditional Learners (1984B)
Consolidated into 45.150 (1987U)

45.112

Promotion of the Humanities_Museum Personnel Development (1971U)
Consolidated into 45.125 (1976B)

45.113

Promotion of the Humanities_Regional Projects (1971U)
Promotion of the Humanities_Special Projects (1973B)
Promotion of the Humanities_Program Development (1974U)
Promotion of the Humanities_Public Program Development (1975U)
Promotion of the Humanities_Program Development (1979B)
Promotion of the Humanities_Special Projects: Program Development (1983U)
Promotion of the Humanities_Special Projects: Humanities Programs for Adults (1984B)
Promotion of the Humanities_Humanities Projects for Adults (1985B)
Promotion of the Humanities_Public Humanities

Projects (1986B)
Promotion of the Humanities_Special Projects (1994U)
Consolidated into 45.164 (1996B)

45.114

Promotion of the Humanities_Interpretive Museum Exhibitions (1972U)
Promotion of the Humanities_Interpretive Museum Exhibitions and Community Education Programs (1974B)
Promotion of the Humanities_Interpretive Exhibitions and Community Education Programs (1974U)
Consolidated into 45.125 (1976B)

45.115

Promotion of the Humanities_Youth Grants in the Humanities (1972U)
Promotion of the Humanities_Youth Programs (1976U)
Promotion of the Humanities_Youthgrants (1978B)
Split into 45.115, 45.135 (1978B)
Promotion of the Humanities_Youth Grants (1983B)
Promotion of the Humanities_Special Projects: Younger Scholars (1983U)
Promotion of the Humanities_Special Projects: Humanities Programs for Youth/Younger Scholars (1984B)
Promotion of the Humanities_Humanities Projects for Youth/Younger Scholars (1985B)
Promotion of the Humanities_Younger Scholars (1985U)
Archived (1995B)

45.116

Promotion of the Humanities_Summer Seminars for College Teachers (1973U)
Consolidated into 45.163 (1996B)

45.117

Promotion of the Humanities_Cultural Institutions (1974U)
Incorporated/Split into 45.125, 45.137 (1979B)
Reinstated/Split from 45.125, 45.137 (1979U1)
Incorporated/Split into 45.125, 45.137 (1980B)

45.118

Promotion of the Humanities_Fellowships for Independent Study and Research (1974U)
Consolidated from 45.102, 45.106, 45.107, 45.108 (1974U)
Consolidated into 45.136 (1978U)

45.119

Promotion of the Humanities_Fellowships in Residence for College Teachers (1974U)
Consolidated from 45.107, 45.108 (1974U)
Consolidated into 45.136 (1978U)

45.120

Promotion of the Humanities_National Humanities Institutes (1974U)
Promotion of the Humanities_Humanities Institutes (1976U)
Incorporated into 45.111 (1979B)

45.121

Promotion of the Humanities_Summer Stipends (1974U)
Consolidated from 45.102, 45.108 (1974U)
Consolidated from 45.152 (1992U)
Consolidated into 45.160 (1996B)

45.122

Promotion of the Humanities_Fellowships at Centers for Advanced Study (1975B)
Promotion of the Humanities_Regrants/Centers for Advanced Study (1985U)
Promotion of the Humanities_Centers for Advanced Study (1990B)
Promotion of the Humanities_Institutional Programs and Resources (1994U)
Promotion of the Humanities_Institutional Programs (1995U)
Consolidated into 45.161 (1996B)

45.123

Promotion of the Humanities_National Board of Consultants (1975B)
Promotion of the Humanities_Consultant Grant Program (1978U)
Consolidated into 45.150 (1983B)

45.124

Promotion of the Humanities_Centers of Research (1976B)
Promotion of the Humanities_Research Collections: Development, Access and Use (1977B)
Promotion of the Humanities_Research Collections (1978U)
Promotion of the Humanities_Research Resources (1979U2)
Promotion of the Humanities_Research Resource, Organization and Improvement Grants (1980U2)
Promotion of the Humanities_Research Resources (1981B)
Promotion of the Humanities_Research Resources_Organization and Improvement Grants (1982B)
Promotion of the Humanities_Research Resources/Access Grants (1983U)
Promotion of the Humanities_Reference Works/Access Grants (1985B)
Promotion of the Humanities_Reference Materials/Access Grants (1985U)
Promotion of the Humanities_Reference Materials/Guides (1991U)
Consolidated into 45.145 (1992B)

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45.125

Promotion of the Humanities_ Interpretive Museum Exhibitions and Interpretive Programs (1976B)
Consolidated from 45.112, 45.114 (1976B)
Promotion of the Humanities_ Museums and Historical Organizations Program (1977B)
Promotion of the Humanities_ Museums and Historical Organizations Humanities Projects (1980B)
Promotion of the Humanities_ Humanities Projects in Museums and Historical Organizations (1981B)
Consolidated into 45.164 (1996B)

45.126

Promotion of the Humanities_ Research Materials (1976B)
Split into 45.145, 45.146, 45.147 (1980B)

45.127

Promotion of the Humanities_ Elementary and Secondary Education Program (1976U)
Split from 45.111 (1976U)
Promotion of the Humanities_ Instruction in Elementary and Secondary Schools (1983B)
Promotion of the Humanities_ Humanities Instruction in Elementary and Secondary Schools (1984B)
Consolidated from 45.155 (1994U)
Consolidated into 45.162, 45.163 (1996B)

45.128

Promotion of the Humanities_ Planning and Analysis (1977B)
Promotion of the Humanities_ Planning and Assessment Studies (1977U)
Split into 45.128, 45.131, 45.133 (1977U)
Promotion of the Humanities_ Planning and Assessment Studies Program (1980B)
Promotion of the Humanities_ Humanities Studies Program (1984U)
Archived (1985U)

45.129

Promotion of the Humanities_ State-Based Program (1977B)
Promotion of the Humanities_ State Programs (1977U)
Promotion of the Humanities_ Federal-State Partnership (1995B)
Promotion of the Humanities_ Federal/State Partnership (1997B)

45.130

Promotion of the Humanities_ Challenge Grant Program (1977U)
Promotion of the Humanities_ Challenge Grants Program (1980U2)
Promotion of the Humanities_ Challenge Grants (1987B)

45.131

Promotion of the Humanities_ Special Projects (1977U)
Split from 45.128 (1977U)
Archived (1981U)

45.132

Promotion of the Humanities_ Research Publications (1977U)
Promotion of the Humanities_ Research Materials: Publications (1980B)
Promotion of the Humanities_ Research Resources/Publications (1983U)
Promotion of the Humanities_ Basic Research/Publications (1985B)
Promotion of the Humanities_ Texts/Publication Subvention (1985U)
Promotion of the Humanities_ Publication Subvention (1992B)
Promotion of the Humanities_ Subventions (1992U)
Promotion of the Humanities_ Scholarly Publications (1993U)
Consolidated from 45.146, 45.147 (1993U)
Consolidated into 45.161 (1996B)

45.133

Promotion of the Humanities_ Science, Technology and Human Values (1977U)
Split from 45.128 (1977U)
Promotion of the Humanities_ Humanities, Science, and Technology (1983B)
Promotion of the Humanities_ Basic Research/Humanities, Science and Technology (1984B)
Promotion of the Humanities_ Interpretive Research/Humanities, Science and Technology (1985U)
Promotion of the Humanities_ Interpretive Research/Humanities, Science, and Technology (1992B)
Consolidated into 45.140 (1993U)

45.134

Promotion of the Humanities_ Research Development (1978B)
Split from 45.109 (1978B)
Promotion of the Humanities_ Research Conferences (1979B)
Promotion of the Humanities_ Basic Research/Research Conferences (1984B)
Promotion of the Humanities_ Re-grants/Research Conferences (1985U)
Promotion of the Humanities_ Re-grants Conferences (1986U)
Promotion of the Humanities_ Re-grants/Conferences (1987B)
Promotion of the Humanities_ Conferences (1990B)
Consolidated into 45.140 (1993U)

45.135

Promotion of the Humanities_ NEH Youth Projects (1978B)

Split from 45.115 (1978B)

Promotion of the Humanities_ Youth Projects (1979U1)
Promotion of the Humanities_ Special Projects: Humanities Programs for Youth/Youth Projects (1984B)
Promotion of the Humanities_ Humanities Projects for Youth/Youth Projects (1985B)
Promotion of the Humanities_ Humanities Projects for Youth (1985U)
Promotion of the Humanities_ Projects for Youth, Incorporated into 45.104, 45.125, 45.133, 45.137 (1986B)

45.136

Promotion of the Humanities_ NEH Fellowships, Categories A, B, and C (1978U)
Consolidated from 45.118, 45.119 (1978U)
Promotion of the Humanities_ Category A, B, and C (1979U1)
Split into 45.142, 45.143, 45.144 (1980B)

45.137

Promotion of the Humanities_ Public Library Program (1979B)
Split from 45.117 (1979B)
Promotion of the Humanities_ Division of Public Programs, Libraries Humanities Projects (1979U2)
Promotion of the Humanities_ Division of Public Programs, Humanities Projects in Libraries (1982B)
Promotion of the Humanities_ Humanities Projects in Libraries (1983B)
Promotion of the Humanities_ Special Projects: Humanities Projects in Libraries (1984B)
Promotion of the Humanities_ Humanities Projects in Libraries (1985B)
Promotion of the Humanities_ Humanities Projects in Libraries and Archives (1988B)
Consolidated into 45.164 (1996B)

45.138

Promotion of the Humanities_ Higher Education Pilot Grants (1979B)
Split from 45.110 (1979B)
Promotion of the Humanities_ Education Pilot Grants (1979U1)
Consolidated into 45.150 (1983B)

45.139

Promotion of the Humanities_ Higher Education Development Grants (1979B)
Split from 45.110 (1979B)
Promotion of the Humanities_ Education Development Grants (1979U1)
Promotion of the Humanities_ Education Implementation Grants (1979U2)
Consolidated into 45.150 (1983B)

45.140

Promotion of the Humanities_ Basic Research

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(1979U1) Split from 45.105 (1979U1) Split into 45.140, 45.148 (1980U2) Promotion of the Humanities_Project Research (1983U) Promotion of the Humanities_Basic Research/Project Research (1984B) Promotion of the Humanities_Interpretive Research/Projects (1985U) Promotion of the Humanities_Interpretive Research/Collaborative Projects (1990U) Promotion of the Humanities_Interpretive Research Program (1993B) Consolidated from 45.133, 45.134 (1993U) Consolidated into 45.161 (1996B)	Promotion of the Humanities_Reference Materials (1992B) Consolidated from 45.124 (1992B) Consolidated into 45.149 (1996B)	the Humanities (1987U) Consolidated from 45.155 (1994U) Consolidated into 45.162, 45.163 (1996B)
45.141 Promotion of the Humanities_Regional and Local History (1979U1) Split from 45.105 (1979U1) Promotion of the Humanities_State, Local, and Regional Studies (1979U2) Promotion of the Humanities_Regional Studies (1983B) Incorporated into 45.140 (1983U)	45.146 Promotion of the Humanities_Research Materials: Editions (1980B) Split from 45.126 (1980B) Promotion of the Humanities_Research Materials/Editions (1980U1) Promotion of the Humanities_Reference Works/Editions (1983U) Promotion of the Humanities_Texts/Editions (1985U) Promotion of the Humanities_Editions (1992B) Consolidated into 45.132 (1993U)	45.151 Promotion of the Humanities_Summer Seminars for Secondary School Teachers (1983B) Consolidated into 45.163 (1996B)
45.142 Promotion of the Humanities_Fellowships for Independent Study and Research (1980B) Split from 45.136 (1980B) Promotion of the Humanities_Fellowships for University Teachers (1986B) Consolidated into 45.160 (1996B)	45.147 Promotion of the Humanities_Research Materials: Translations (1980B) Split from 45.126 (1980B) Promotion of the Humanities_Research Materials/Translations (1980U2) Promotion of the Humanities_Reference Works/Translations (1983U) Promotion of the Humanities_Texts/Translations (1985U) Promotion of the Humanities_Translations (1992B) Consolidated into 45.132 (1993U)	45.152 Promotion of the Humanities_Travel to Collections (1983U) Promotion of the Humanities_Basic Research/Travel to Collections (1984B) Promotion of the Humanities_Travel to Collections (1985U) Consolidated into 45.121 (1992U)
45.143 Promotion of the Humanities_Fellowships for College Teachers (1980B) Split from 45.136 (1980B) Promotion of the Humanities_Fellowships for College Teachers (1985U) Promotion of the Humanities_Fellowships for College Teachers and Independent Scholars (1986B) Consolidated into 45.160 (1996B)	45.148 Promotion of the Humanities_Intercultural Research (1980U2) Split from 45.140 (1980U2) Promotion of the Humanities_Intercultural Research Program (1981U) Promotion of the Humanities_Basic Research/Intercultural Research Program (1984B) Promotion of the Humanities_Research in Selected Areas/ Intercultural Research Program (1985B) Promotion of the Humanities_Regrants Program/International Research (1985U) Promotion of the Humanities_International Research (1990B) Archived (1994B)	45.153 Promotion of the Humanities_Regrants Program/Selected Areas (1986B) Promotion of the Humanities_Selected Areas (1990B) Archived (1992B)
45.144 Promotion of the Humanities_Residential Fellowships for College Teachers (1980B) Split from 45.136 (1980B) Archived (1982B)	45.149 Promotion of the Humanities_Research Resources Conservation and Preservation Grants (1980U2) Promotion of the Humanities_Research Resources/Preservation Grants (1983U) Promotion of the Humanities_Office of Preservation (1985B) Promotion of the Humanities_Division of Preservation and Access (1992B)	45.154 NEH/Reader's Digest Teacher-Scholar Program (1988B) NEH Teacher-Scholar Program (1991B) Promotion of the Humanities_NEH Teacher-Scholar Program (1991U) Promotion of the Humanities_NEH/Reader's Digest Teacher-Scholar Program (1992B) Promotion of the Humanities_NEH/Teacher-Scholar Program (1993U) Archived (1994U)
45.145 Promotion of the Humanities_Research Materials: Tools and Reference Works (1980B) Split from 45.126 (1980B) Promotion of the Humanities_Research Materials/Tools and Reference Works (1980U2) Promotion of the Humanities_Reference Works/Tools (1983U) Promotion of the Humanities_Reference Materials/Tools (1985U)	45.150 Promotion of the Humanities_Central Disciplines in Undergraduate Education (1983B) Promotion of the Humanities_Higher Education in	45.155 Foreign Language Education (1991B) Promotion of the Humanities_Foreign Language Education (1991U) Consolidated into 45.127, 45.150 (1994U)
		45.156 Promotion of the Humanities_Study Grants for College and University Teachers (1992B) Promotion of the Humanities_Study Grants for College Teachers (1993U) Archived (1995B)
		45.157 Promotion of the Humanities_Dissertation Grants (1992U) Archived (1996B)
		45.158 Leadership Opportunity in Science and Humanities Education (1993B) Promotion of the Humanities_Leadership Opportunity in Science and Humanities Education

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<p>(1994U) Promotion of the Humanities_Integrating Undergraduate Education (1995B) Promotion of the Humanities_Integrating Undergraduate Education (1995U) Consolidated into 45.162 (1996B)</p>	<p>Humanities (2008)</p>	<p>Consolidated into 47.047 (1975B)</p>
<p>45.160 Promotion of the Humanities_Fellowships and Stipends Consolidated from 45.121, 45.142, 45.143 (1996B)</p>	<p>PEACE CORPS <hr/>45.400 Peace Corps Global Health and PEPFAR Initiative Program (2014)</p>	<p>47.008 Environmental Sciences Research Project Support (1970B) Consolidated into 47.047 (1975B)</p>
<p>45.161 Promotion of the Humanities_Collaborative Research Consolidated from 45.122, 45.132, 45.140 (1996B) Promotion of the Humanities_Research (1997B)</p>	<p>NATIONAL LABOR RELATIONS BOARD <hr/>46.001 Labor-Management Relations (1969B) Archived (2013)</p>	<p>47.009 Fellowships and Traineeship Program (1970B) Graduate Fellowship Programs (1973B) Graduate Student Support (1974B) Science Manpower Improvement (1976B) Scientific Personnel Improvement (1978B) Graduate Research Fellowships (1983B) Consolidated into 47.076 (1992B)</p>
<p>45.162 Promotion of the Humanities_Education Development and Demonstration Consolidated from 45.127, 45.150, 45.158 (1996B) Promotion of the Humanities_Teaching and Learning Resources and Curriculum Development (2004)</p>	<p>NATIONAL SCIENCE FOUNDATION <hr/>Science Research Support Activities (1969B) Archived (1970B)</p>	<p>47.010 Graduate Instructional Program Development (1970B) Incorporated into 47.032, 47.033 (1973B)</p>
<p>45.163 Promotion of the Humanities_Seminars and Institutes Consolidated from 45.116, 45.127, 45.150, 45.151 (1996B) Promotion of the Humanities_Professional Development (2004)</p>	<p>47.001 Antarctic Research (1970B) Polar Research (1970U) Polar Research Programs (1971B) Consolidated into 47.050 (1976B)</p>	<p>47.011 Graduate Science Facilities (1970B) Archived (1971B)</p>
<p>45.164 Promotion of the Humanities_Public Programs Consolidated from 45.104, 45.113, 45.125, 45.137 (1996B)</p>	<p>47.002 Biological Sciences Research Project Support (1970B) Consolidated into 47.047 (1975B)</p>	<p>47.012 Institutional Support in Science (1967B) Institutional Grants for Science (1970B) Archived (1975U)</p>
<p>45.166 Promotion of the Humanities_Extending the Reach Grants to Underserved Areas (2000B) Consolidated into programs 45.164 and 45.149 (2003B) Deleted (2003)</p>	<p>47.003 Computers Activities in Education and Research (1969B) Computers_Research and Educational Activities (1970B) Computing Activities in Education and Research (1971B) Computing Activities (1973B) Computer Research (1974B) Consolidated into 47.047 (1975B)</p>	<p>47.013 Interdisciplinary Research Relevant to Problems of Our Society (1970B) Archived (1972B)</p>
<p>45.167 Promotion of the Humanities_Extending the Reach Grants to Presidentially-Designated Minority Institutions (2000B) Deleted (2004)</p>	<p>47.004 Departmental Science Development (1970B) Archived (1971B)</p>	<p>47.014 International Cooperative Science Activities (1969B) Consolidated into 47.053 (1977B)</p>
<p>45.168 Promotion of the Humanities_We the People (2004) Archived (2012)</p>	<p>47.005 Graduate Education in Science (1967B) Doctoral Dissertation Research in the Social Sciences (1970B) Doctoral Dissertation Research (1971B) Consolidated into 47.047 (1975B)</p>	<p>47.015 International Travel (1970B) Incorporated into 47.014 (1975B)</p>
<p>45.169 Promotion of the Humanities_Digital Humanities Initiative (2006) Promotion of the Humanities_Office of Digital</p>	<p>47.006 Engineering Sciences Research Initiation Grants (1970B) Engineering Research Initiation Grants (1973B) Consolidated into 47.047 (1975B)</p>	<p>47.016 Scientific Research Grants (1967B) Science Research Support Activities (1969B) Mathematical and Physical Sciences Research Project Support (1970B) Split into 47.016, 47.017 (1970B) Consolidated into 47.047 (1975B)</p>
	<p>47.007 Engineering Sciences Research Project Support (1970B) Engineering Research Project Support (1973B)</p>	<p>47.017 National and Special Research Programs (1970B) Split from 47.016 (1970B) Global Atmospheric Research Program (1974B) Consolidated into 47.050 (1976B)</p>
		<p>47.018 Oceanography_Basic Research Grants (1970B)</p>

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Oceanography_Scientific Research Project Support (1971B) Incorporated into 47.008 (1972B)	47.027 Science Planning and Policy Studies (1969B) University Science Planning and Policy Program (1971B) Science Policy Research (1972B) Incorporated into 47.029 (1973B)	Intergovernmental Science (1975B) Intergovernmental Program (1976B) Intergovernmental Programs (1978B) Intergovernmental Program (1979B) Intergovernmental Science and Technology Grants (1981B) Intergovernmental Science and Technology Program (1981U) Intergovernmental and Public Service Science and Technology Program (1982B) Intergovernmental Science and Technology Programs (1983B) Archived (1985U)
47.019 Pre-College Education in Science (1967B) Pre-College Instructional Personnel Development (1970B) Instructional Improvement Implementation_Pre-College (1973B) Consolidated into 47.048 (1975B)	47.028 Scientific Conference Grants (1970B) Consolidated into 47.047 (1975B)	
47.020 Pre-College Instructional Program Development (1970B) Science Education Materials and Methods Development Pre-College (1973B) Science Education Materials and Instruction Development (Pre-College) (1973U) Science Education Materials and Instruction Development_Pre-College (1974B) Consolidated into 47.048 (1975B)	47.029 Social Sciences Research Project Support (1970B) Consolidated into 47.047 (1975B)	
47.021 Pre-College Student Development (1970B) Archived (1971B)	47.030 Special Computer Services for Research and Science Education (1970B) Archived (1971B)	47.037 International Decade of Ocean Exploration (1970U) Consolidated into 47.050 (1976B)
47.022 Sea Grant Program (1969B) Sea Grant Institutional Support (1970B) Split into 47.022, 47.023 (1970B) Transferred to 11.417 (1971B)	47.031 Specialized Research Facilities and Equipment (1970B) Archived (1972B)	47.038 Public Understanding of Science Program (1971B) Science and Society Program (1976B) Science Education Communication Grants (1981B) Consolidated/Split into 47.038, 47.058 (1981B) Archived (1982B)
47.023 Sea Grant Project Support (1970B) Split from 47.022 (1970B) Transferred to 11.418 (1971B)	47.032 Undergraduate Education in Science (1967B) Undergraduate Instructional Personnel Development (1970B) Split into 47.032, 47.033, 47.034 (1970B) Instructional Improvement Implementation_Higher Education (1973B) Consolidated into 47.048 (1975B)	47.039 Scientific Activities (Special Foreign Currency Program) (1971B) Archived (1975B)
47.024 Science Information Activities_System Development and Improvement (1970B) Science Information Activities_Information Systems; Data Systems (1973B) Science Information Activities_National Information Systems; User Support (1974B) Science Information Activities (1975B) Consolidated into 47.053 (1977B)	47.033 Undergraduate Instructional Program Development (1970B) Split from 47.032 (1970B) Science Education Materials and Methods Development_Higher Education (1973B) Science Education Materials and Instruction Development (Higher Education) (1973U) Science Education Materials and Instruction Development_Higher Education (1974B) Consolidated into 47.048 (1975B)	47.040 Materials Research Project Support (1972B) Consolidated into 47.047 (1975B)
47.025 Science Information Research (1970B) Incorporated into 47.024 (1975B)	47.034 Undergraduate Student Development (1970B) Split from 47.032 (1970B) Student Oriented Programs (1973B) Consolidated into 47.048 (1975B)	47.041 Research Applied to National Needs (1973U) Applied Science and Research Applications (1978B) Engineering and Applied Science (1979U2) Engineering Grants (1981B) Consolidated/Split into 47.041, 47.058 (1981B)
47.026 Science Information Activities (1969B) Science Information Services and Publications (1970B) Science Information Activities_Publications (1973B) Incorporated into 47.024 (1974B)	47.035 University Science Development (1970B) Archived (1971B)	47.042 Experimental Research and Development Incentives Program (1973U) Incorporated into 47.041 (1976B)
	47.036 Intergovernmental Science Programs (1970U) Intergovernmental Science and Research Utilization (1973B)	47.043 National Research and Development Assessment Program (1973U) Number changed to 47.052 (1976B)
		47.044 Institutional Grants for Research Management Improvement (1973U) Archived (1975B)
		47.045 Technological Innovation in Education (1973U) Consolidated into 47.048 (1975B)

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<p>47.046 Science Education_Problem Assessment and Experimental Projects (1974B) Consolidated into 47.048 (1975B)</p>	<p>47.056 Research Initiation in Minority Institutions (1980B) Research Improvement in Minority Institutions (1981B) Number changed to 47.060 (1982B)</p>	<p>Materials Development, Research, and Informal Science Education (1986B) Materials Development and Informal Science Education (1987B) Materials Development, Research, and Informal Science Education (1988B) Consolidated into 47.076 (1992B)</p>
<p>47.047 Scientific Research Project Support (1975B) Consolidated from 47.002, 47.003, 47.005, 47.006, 47.007, 47.008, 47.016, 47.028, 47.029, 47.040 (1975B) Split into 47.049, 47.050, 47.051 (1976B)</p>	<p>47.057 Minority Research Initiation (1981B) Archived (1985B)</p>	<p>47.068 Studies and Program Assessment (1985B) Research, Studies, and Program Assessment (1987B) Studies and Program Assessment (1988B) Studies, Evaluation and Dissemination (1991B) Consolidated into 47.076 (1992B)</p>
<p>47.048 Science Education Improvement (1975B) Consolidated from 47.019, 47.020, 47.032, 47.033, 47.034, 47.045, 47.046 (1975B) Science Education Research and Development and Resources Improvement (1977B) Science Education Development and Research and Resources Improvement (1980B) Archived (1982B)</p>	<p>47.058 Public Service Science and Technology (1981B) Consolidated from 47.038, 47.041 (1981B) Archived (1982B)</p>	<p>47.069 Research Initiation and Improvement (1985B) Human Resource Development (1991B) Consolidated into 47.076 (1992B)</p>
<p>47.049 Mathematical and Physical Sciences and Engineering (1976B) Split from 47.047 (1976B) Mathematical and Physical Sciences (1979U2)</p>	<p>47.059 Visiting Professorships for Women in Science and Engineering (1982B) Visiting Professorships for Women (1983B) Archived (1985B)</p>	<p>47.070 Computer and Information Science and Engineering (2004)</p>
<p>47.050 Astronomical, Atmospheric, Earth and Ocean Sciences (1976B) Consolidated/Split from 47.001, 47.017, 47.037, 47.047 (1976B)</p>	<p>47.060 Research Improvement in Minority Institutions (1982B) Number changed from 47.056 (1982B) Archived (1985B)</p>	<p>47.071 Undergraduate Science, Mathematics, and Engineering Education (1987U) Undergraduate Science, Engineering, and Mathematics Education (1988B) Consolidated into 47.076 (1992B)</p>
<p>47.051 Biological, Behavioral, and Social Sciences (1976B) Split from 47.047 (1976B) Split into 47.074, 47.075 (1992B)</p>	<p>47.061 Precollege Science and Mathematics Teacher Development and Incentives (1983U) Consolidated into 47.063 (1984B)</p>	<p>47.072 Young Scholars (1987U) Consolidated into 47.076 (1992B)</p>
<p>47.052 Science and Technology Policy Research and Analysis (1976B) Number changed from 47.043 (1976B) Consolidated into 47.053 (1977B)</p>	<p>47.062 Precollege Science and Mathematics Materials Development (1983U) Consolidated into 47.063 (1984B)</p>	<p>47.073 Science and Technology Centers (1989B) Archived (1996B)</p>
<p>47.053 Scientific, Technological, and International Affairs (1977B) Consolidated from 47.014, 47.024, 47.052 (1977B) Consolidated into 47.075 (1992B)</p>	<p>47.063 Precollege Science and Mathematics Education (1984B) Consolidated from 47.061, 47.062 (1984B) Archived (1985B)</p>	<p>47.074 Biological Sciences (1992B) Split from 47.051 (1992B)</p>
<p>47.054 Industry/University Cooperative Research (1980B) Incorporated into 47.053 (1981B)</p>	<p>47.064 College Science Instrumentation Program (1984U) Incorporated into 47.071 (1987U)</p>	<p>47.075 Social, Behavioral, and Economic Sciences (1992B) Consolidated from 47.051, 47.053 (1992B)</p>
<p>47.055 Two-Year and Four-Year College Research Instrumentation (1980B) Archived (1984B)</p>	<p>47.065 Advanced Scientific Computing Resources (1984U) Archived (1986U)</p>	<p>47.076 Education and Human Resources (1992B) Consolidated from 47.009, 47.066, 47.067, 47.068, 47.069, 47.071, 47.072 (1992B)</p>
	<p>47.066 Teacher Enhancement and Informal Science Education (1985B) Teacher Preparation and Enhancement (1986B) Consolidated into 47.076 (1992B)</p>	<p>47.077 Academic Research Facilities and Instrumentation (1993B) Academic Research Infrastructure (1997B) Archived (1999B)</p>
	<p>47.067 Materials Development and Research (1985B)</p>	

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<p>47.078 Polar Programs (1997B)</p> <p>47.079 International Science and Engineering (OISE) (2005) Office of International and Integrative Activities (2013) Office of International Science and Engineering (2015)</p> <p>47.080 Office of Cyberinfrastructure (2008)</p> <p>47.081 Office of Experimental Program to Stimulate Competitive Research (2008) Archived (2016)</p> <p>47.082 Trans-NSF Recovery Act Research Support (2009)</p> <p>47.083 Office of Integrative Activities (2015)</p> <p>NEW ENGLAND REGIONAL COMMISSION</p> <hr/> <p>48.001 New England Regional Economic Development (1970B) Archived (1973B) Reinstated (1973U) Archived (1981U)</p> <p>48.002 New England Technical and Planning Assistance (1975B) Archived (1981U)</p> <p>48.003 New England Supplements to Federal Grant-in-Aid (1975B) Archived (1981U)</p> <p>48.004 New England Regional Transportation (1977U) Archived (1981U)</p> <p>48.005 New England Energy Demonstration Projects and Programs (1977U) Archived (1981U)</p> <p>COMMUNITY SERVICES ADMINISTRATION</p> <hr/> <p>Head Start (1967B) Child Development_Head Start (1970B) Transferred to the Department of Health Education, Welfare (1970B)</p>	<p>Establishment of Job Corps Centers (1965B) Job Corps (1967B) Transferred to the Department of Labor (1970B)</p> <p>Upward Bound (1967B) Transferred to the Department of Health Education, Welfare (1970B)</p> <p>49.001 Alcoholic Counseling and Recovery (1970B) Archived (1971U)</p> <p>49.002 Community Action Program (1965B) Community Action Operations (1970B) Community Action (1971B) Archived (1973B) Reinstated (1975B) Split into 49.002, 49.016, 49.018 (1980U1) Archived (1981U)</p> <p>49.003 Neighborhood Health Centers (1967B) Comprehensive Health Centers (1969B) Comprehensive Health Services (1970B) Archived (1973B)</p> <p>49.004 Drug Rehabilitation (1970B) Archived (1973B)</p> <p>49.005 Emergency Food and Medical Services (1969B) Archived (1971U) Reinstated (1972B) Archived (1973B) Reinstated (1975U) Community Food and Nutrition (1975U) Archived (1981U)</p> <p>49.006 Family Planning (1969B) Archived (1973B)</p> <p>49.007 Federal Information Exchange System (1969B) Archived (1972B)</p> <p>49.008 Legal Services (1967B) Archived (1973B) Reinstated (1975B) Archived (1976B)</p> <p>49.009 Community Action Program_Assistance for Migrant and Seasonal Farm Workers (1965B) Migrant and Seasonal Farmworkers Assistance (1969B) Transferred to (1972B)</p>	<p>49.010 Senior Opportunities and Services (1969B) Older Persons Opportunities and Services (1970B) Archived (1973B) Reinstated (1975B) Archived (1981U)</p> <p>49.011 Special Impact (1970B) Community Economic Development (1972U) Archived (1973B) Reinstated (1975B) Archived (1981U)</p> <p>49.012 Vista_Volunteers in Service to America_Opportunities for Individuals to Assist in the War on Poverty (1965B) Volunteers in Service to America (1970B) Archived (1971U)</p> <p>49.013 Economic Opportunity_Technical Assistance and Demonstrations (1972U) Archived (1973B) Reinstated (1975B) State Economic Opportunity Offices (1975B) Archived (1981U)</p> <p>49.014 Emergency Energy Conservation Services (1975U) Archived (1981B)</p> <p>49.015 Summer Youth Recreation Program (1976U) Summer Youth Recreation (1977B) Archived (1981B)</p> <p>49.016 National Youth Sports Program (1980U1) Split from 49.002 (1980U1) Archived (1981U)</p> <p>49.017 Rural Development Loan Fund (1980U1) Reinstated , previously under the Department of Agriculture (1980U1) Archived (1981U)</p> <p>49.018 Housing and Community Development (1980U1) Split from 49.002 (1980U1) Archived (1981U)</p> <p>OFFICE OF EMERGENCY PREPAREDNESS</p> <hr/> <p>Emergency Management of Resources (1967B) Incorporated into 50.001 (1970B)</p> <p>50.001</p>
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Appendix V: Historical Profile of Catalog Programs

Continuity of Government (1969B)
Continuity of Government and Management of Resources in Emergencies (1970B)
Continuity of Government in Emergencies (1970U)
Continuity of Government and Management of Resources in Emergencies (1971B)
Transferred to 39.010 (1973U)

50.002

Federal Disaster Relief (1967B)
Disaster Relief to States (1970B)
Disaster Assistance (1971B)
Transferred to 14.701 (1973U)

50.003

State Plans for Disaster Assistance to Individuals (1970B)
State Disaster Plans and Programs (1971B)
Transferred to 14.702 (1973U)

50.004

Federal-State Relations (1969B)
Telecommunications Management (1970B)
Archived (1970U)

OFFICE OF INTERGOVERNMENTAL RELATIONS

51.001

Intergovernmental Relations and Liaison (1970B)
Archived (1973B)

OSARKS REGIONAL ACTION PLANNING COMMISSION

52.001

Ozarks Regional Economic Development (1970B)
Archived (1973B)
Reinstated (1973U)
Archived (1981U)

52.002

Ozarks Technical and Planning Assistance (1975B)
Archived (1981U)

52.003

Ozarks Supplements to Federal Grant-in-Aid (1975B)
Archived (1981U)

52.004

Ozarks Regional Transportation (1977U)
Archived (1981U)

52.005

Ozarks Energy Demonstration Projects and Programs (1977U)
Archived (1981U)

52.007

Ozarks Health and Nutrition Demonstration Projects (1977U)

Archived (1981U)

PRESIDENT'S COMMITTEE ON CONSUMER INTERESTS

52.500

Consumer Affairs (1969B)
Transferred to 69.001 (1971B)

PRESIDENT'S COMMITTEE ON EMPLOYMENT OF PEOPLE WITH DISABILITIES

53.001

Handicapped Employment Promotion (1969B)
Transferred to 17.72 (2002B)

PRESIDENT'S COMMITTEE ON MENTAL RETARDATION

54.001

Mental Retardation Evaluation (1970B)
Transferred to 13.613 (1974B)

PRESIDENT'S COUNCIL ON PHYSICAL FITNESS AND SPORTS

55.001

Governors' Councils on Physical Fitness (1970B)
Transferred to 13.615 (1974B)

55.002

National Summer Youth Sports Program (1970B)
Archived (1972B)

55.003

Physical Fitness Programs (1967B)
Physical Fitness and Sports (1969B)
Physical Fitness and Sports Information (1970B)
Transferred to 13.616 (1974B)

55.004

Physical Fitness Clinics (1970B)
Transferred to 13.617 (1974B)

55.005

Physical Fitness Demonstration Center Schools (1970B)
Transferred to 13.618 (1974B)

55.006

Physical Fitness Program Development (1970B)
Transferred to 13.619 (1974B)

55.007

Presidential Physical Fitness Award (1970B)
Transferred to 13.620 (1974B)

55.008

Health-Exercise Symposia (1971B)
Transferred to 13.621 (1974B)

55.009

Presidential Sports Award (1973U)
Transferred to 13.622 (1974B)

PRESIDENT'S COUNCIL ON YOUTH OPPORTUNITY

56.001

Youth Opportunity (1969B)
Transferred/Split into HHS, Labor programs (1971B)

RAILROAD RETIREMENT BOARD

57.001

Social Insurance Program for Railroad Workers (1965B)
Social Insurance for Railroad Workers (1970B)

57.002

Benefits for Milwaukee Railroad Workers (1980U2)
Archived (1985U)

57.003

Benefits for Conrail Employees (1983B)
Archived (1988B)

57.004

Benefits for Rock Island Railroad Employees (1983U)
Archived (1984U)

57.005

Economic Recovery Payments (2009)
Archived (2014)

SECURITIES AND EXCHANGE COMMISSION

58.001

Investor Protection (1969B)
Securities Investigation of Complaints and SEC Information (1972B)
Archived (2017)

SMALL BUSINESS ADMINISTRATION

59.001

Displaced Small Business Loans (1969B)
Displaced Business Loans (1970B)
Archived (1981U)

59.002

Economic Injury Disaster Loans (1970B)
Reinstated (1984B)
Consolidated into 59.008 (1989B)
Reinstated (1989U)
Deleted (2008)

59.003

Economic Opportunity Loans for Small Businesses (1965B)
Loans for Small Businesses (1983B)

Appendix V: Historical Profile of Catalog Programs

Archived (1997U)	Product Disaster Loans (1970B) Archived (1982B)	Archived (1981U)
59.004 Lease Guarantees for Small Businesses (1969B) Archived (1977B)	59.011 Small Business Investment Company Program (1965B) Small Business Investment Companies (1970B)	59.023 Strategic Arms Economic Injury Loans (1975B) Archived (1981U)
59.005 Management Assistance to Small Businesses (1970B) Business Development Assistance to Small Business (1970B) Internet-Based Technical Assistance (2005) Internet-Based Technical Assistance (2005) Deleted (2008)	59.012 Small Business Financial Assistance Program (1965B) Small Business Loans (1970B) 7(a) Loan Guarantee (2007) 7(a) Loan Guarantees (2008)	59.024 Water Pollution Control Loans (1975B) Archived (1981U)
59.006 Minority Business Development (1970B) Minority Business Development_Procurement Assistance (1971B) Minority Business Development (1991B) 8(a) Business Development (1999B) 8(a) Business Development Program (2006)	59.013 Loans to State and Local Development Companies (1965B) State and Local Development Company Loans (1969B) Local Development Company Loans (1991B) Archived (1998U)	59.025 Air Pollution Control Loans (1975B) Archived (1981U)
59.007 Minority Enterprise_Technical Assistance (1970B) Management and Technical Assistance for Disadvantaged Businessmen_Research and Demonstration Grants (1971B) Management and Technical Assistance for Disadvantaged Businessmen (1973B) Management and Technical Assistance for Disadvantaged Businesses (1983B) Management and Technical Assistance for Socially and Economically Disadvantaged Businesses (1988B) Management and Technical Assistance (2001B) 7(i) Technical Assistance (2004) 7(j) Technical Assistance (2005) 7(j) Technical Assistance (2005) 7(j) Technical Assistance (2005)	59.014 Coal Mine Health and Safety Loans (1971B) Mine Safety and Health Loans (1978B) Archived (1981U)	59.026 Service Corps of Retired Executives and Active Corps of Executives (1976B) Transferred from 72.006 (1976B) Service Corps of Retired Executives Association (1988B) Service Corps of Retired Executives (2008) SCORE (2015)
59.008 Disaster Loans (1967B) Physical Disaster Loans (1970B) Consolidated from 59.002 (1989B) Disaster Assistance Loans (2007)	59.015 Trade Adjustment Loans (1971B) Archived (1971U)	59.027 Economic Dislocation Loans (1978B) Archived (1981U)
59.009 Management and Technical Assistance (1965B) Procurement and Management Assistance to Small Businesses (1967B) Procurement Assistance to Small Businesses (1970B) Procurement and Technical Assistance to Small Businesses (1976U) Procurement Assistance to Small Businesses (1985B) Archived (2015)	59.016 Bond Guarantees for Surety Companies (1971U) Surety Bond Guarantees (2008)	59.028 Regulatory Loans (1979B) Archived (1981U)
59.010	59.017 Meat and Poultry Inspection Loans (1972B) Consumer Protection Loans (1978B) Archived (1981U)	59.029 Disaster Assistance to Nonagricultural Businesses (Major Source of Employment) (1979U1) Incorporated into 59.008 (1982B)
	59.018 Occupational Safety and Health Loans (1972B) Archived (1981U)	59.030 Small Business Energy Loans (1979B) Consolidated from 59.031 (1989B) Incorporated into 59.012 (1990B)
	59.019 Minority Vendors Program (1974B) Incorporated into 59.035 (1980U1)	59.031 Small Business Pollution Control Financing Guarantee (1979B) Consolidated into 59.030 (1989B)
	59.020 Base Closing Economic Injury Loans (1975B) Archived (1981U)	59.032 Office of Women's Business Enterprise (1980U1) Archived (1968B) Office of Women's Business Ownership (1983B)
	59.021 Handicapped Assistance Loans (1975B) Archived (1997U)	59.033 Small Business and Capital Ownership Development (1980U1) Minority Small Business and Capital Ownership Development (1981B) Incorporated into 59.007 (1987B)
	59.022 Emergency Energy Shortage Economic Injury Loans (1975B)	59.034 Small Business Economic Research (1980U1)

Appendix V: Historical Profile of Catalog Programs

Archived (1983B)	59.047 Pre Disaster Mitigation Loan Program (2004)	Congressional Grants (2011) Archived (2015)
59.035 Procurement Automated Source System (1980U1) Incorporated from 59.019 (1980U1) Archived (1998B)	59.048 Military Reserve Economic Injury Loan (2004)	59.060 Small Business Teaming Pilot Program (2011) Archived (2015)
59.036 Certified Development Company Loans (1981U) Certified Development Company Loans (503 Loans) (1986U) Archived (1989B)	59.049 Office of Small Disadvantaged Business Certification and Eligibility (2000B) Small Disadvantaged Businesses (2007) Archived (2015)	59.061 State Trade and Export Promotion Pilot Grant Program (2011) State Trade Expansion (2016)
59.037 Small Business Development Center (1981U) Small Business Development Centers (2008)	59.050 Microenterprise Developments Grant (2001U) PRIME Technical Assistance (2007)	59.062 Intermediary Loan Program (2011)
59.038 Veterans Loan Program (1984B) Archived (1997U)	59.051 New Markets Venture Capital (2001U) NEW MARKETS VENTURE CAPITAL PROGRAM, OPERATIONAL ASSISTANCE (OA) GRANTS (2005) New Markets Venture Capital Program, Operational Assistance (OA) Grants (2005) New Markets Venture Capital Program, Operational Assistance (OA) Grants (2005) New Markets Venture Capital Program (2007) Archived (2015)	59.063 Disaster Assistance Loans (Disaster Relief Appropriations Act) (2013) Archived (2017)
59.039 Currency Devaluation Disaster Loans (1984U) Currency Fluctuation Economic Injury Loans (1985B) Archived (1986U)	59.052 Native American Economic Development Assistance (2005) Native American Outreach (2008)	59.064 Entrepreneurial Development Disaster Assistance (Disaster Relief Appropriations Act) (2013) Archived (2015) Reinstated (2016)
59.040 Federal Action Disaster Loans (1984U) Federal Action Economic Injury Loans (1985B) Archived (1986U)	59.053 Small Business and Agriculture Regulatory Enforcement Ombudsman and Small Business Regulatory Fairness Boards (2005) Ombudsman and Regulatory Fairness Boards (2007)	59.065 Growth Accelerator Fund Competition (2015) Archived (2017)
59.041 Certified Development Company Loans (504 Loans) (1986U) 504 Certified Development Loans (2008)	59.054 7(a) Loans (Export Loans) (2005) 7(a)Export Loan Guarantees (2008)	59.066 Transition Assistance Entrepreneurship Track (Boots to Business) (2015)
59.042 Business Loans for 8(a) Program Participants (1990B) Archived (1997U)	59.055 HUBZone Program (2008)	59.067 Regional Innovation Clusters (2015)
59.043 Women's Business Ownership Assistance (1990B)	59.056 Secondary Market Lending Authority (2009) Archived (2015)	59.068 ScaleUp America (2016) Archived (2017)
59.044 Veterans Entrepreneurial Training Assistance (1990B) Veterans Entrepreneurial Training and Counseling (1991U) Veterans Business Development (2008) Veterans Outreach Program (2015)	59.057 America's Recovery Capital Loans (2009) Archived (2015)	59.069 SBA Emerging Leaders initiative (2016) Archived (2017)
59.045 Natural Resource Development (1991B) Archived (1995U)	59.058 Federal and State Technology Partnership Program (2010)	59.070 Drug-Free Workplace Program (2008) Archived (2015)
59.046 Microloan Demonstration Program (1992U) Microloan Program (2004)	59.059	SMITHSONIAN INSTITUTION Smithsonian Institution_Visiting Research Appointments (1969B) Archived (1970B)
		60.001 Smithsonian Institution Programs in Basic Research and Public Education (1970B) Smithsonian Institution Programs in Basic Research in Collaboration with Smithsonian Institution Staff (1978B)

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Archived (1987B)

60.002

Academic Appointments (1970)
Incorporated into 60.001 (1978B)

60.003

Authentication and/or Repair of Oriental Objects and Translation of Related Inscriptions (1970B)
Incorporated into 60.001 (1972B)

60.004

Chesapeake Bay Center for Environmental Studies (1970B)
Incorporated into 60.001 (1978B)

60.005

Smithsonian Institution_Elementary and Secondary Educational Services (1969B)
Educational Services, Elementary and Secondary Education (1970B)
Archived (1978B)

60.006

International Exchange of Scientific and Literary Publications and Governmental Documents (1970B)
Archived (1978B)

60.007

Museum Assistance (1969B)
Museums_Assistance and Advice (1970B)
Archived (1986U)

60.008

Registral Programs (1970B)
Incorporated into 60.001 (1972B)

60.009

Science Information Exchange (1969B)
Smithsonian Science Information Exchange, Inc. (1973B)
Transferred to 11.651 (1980U1)

60.010

Smithsonian Associates (1970B)
Incorporated into 60.001 (1972B)

60.011

Smithsonian Center for Short-Lived Phenomena (1970B)
Center for Short-Lived Phenomena (1973B)
Archived (1976B)

60.012

Smithsonian Institution Libraries (1970B)
Incorporated into 60.001 (1972B)

60.013

Smithsonian Institution Traveling Exhibition

Service (1970B)
Archived (1987B)

60.014

Smithsonian Oceanographic Sorting Centers (1970B)
Archived (1978B)

60.015

Smithsonian Publications (1970B)
Incorporated into 60.001 (1972B)

60.016

Museum and Related Research_Special Foreign Currency Program (1969B)
Smithsonian Special Foreign Currency Grants for Museum Programs and Related Research (1970B)
Smithsonian Special Foreign Currency Grants for Museum Programs, Scientific and Cultural Research and Related Educational Activities (1976B)
Archived (1986U)

60.017

Smithsonian Special Public Affairs (1970B)
Incorporated into 60.001 (1972B)

60.018

Smithsonian Tropical Research Institute (1970B)
Incorporated into 60.001 (1978B)

60.019

Visiting Research Appointments in Astrophysics, Geodesy, Meteoritics, and Space Sciences (1970B)
Incorporated into 60.001 (1978B)

60.020

Woodrow Wilson International Center for Scholars Fellowship and Guest Scholar Programs (1970U)
Woodrow Wilson International Center for Scholars_Fellowships and Guest Scholar Programs (1971B)
Archived (1987B)

INTERNATIONAL TRADE COMMISSION

61.001

Tariff Adjustment (Industry) (1970B)
Import Relief (Industry) (1979B)
Archived (2000B)

61.002

Trade Adjustment Assistance_Firms (1970B)
Archived (1971U)

61.003

Trade Adjustment Assistance_Workers (1970B)
Transferred/Incorporated into 17.400 (1971U)

TENNESSEE VALLEY AUTHORITY

Adjustment to Local Flood Hazard_Tennessee River Basin (1967B)
Archived (1969B)

Electricity Supply and Utilization_Tennessee Valley Region (1967B)
Archived (1969B)

Forestry, Fish and Wildlife_Tennessee Valley Region (1967B)
Archived (1969B)

Industrial Development_Tennessee Valley Region (1967B)
Archived (1969B)

Local Planning Assistance_Tennessee Valley Region (1967B)
Archived (1969B)

Mineral Resources Development_Tennessee Valley Region (1967B)
Archived (1969B)

Navigation Development and Waterway Transportation_Tennessee River Basin (1967B)
Archived (1969B)

Recreation Development_Tennessee River Basin (1967B)
Archived (1969B)

Topographic and Navigation Maps_Tennessee Valley Region (1967B)
Archived (1969B)

Tributary Area Development_Tennessee River Basin (1967B)
Archived (1969B)

Unified Resource Development_Tennessee Valley Authority (1967B)
Archived (1969B)

Water Quality Control_Tennessee River Basin (1967B)
Archived (1969B)

62.001

Agricultural and Chemical Development_TVA (1967B)
Fertilizer and Munitions Development (1969B)
Fertilizer Development (1970B)
National Fertilizer Development (1977U)
National Fertilizer and Environmental Research Center (1992B)
TVA Environmental Research Center (1994B)
TVA Environmental Research and Services (1998B)
TVA Energy Research and Technology Applications (1999U)

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Archived (2001B)

62.002

Tennessee Valley Region_General Resources Development (1969B)
Consolidated into 62.004, 62.005, 62.006 (1980U1)

62.003

Multiple-Purpose Water Resource Development_Tennessee River Basin (1967B)
Tennessee Valley Region_Water Resources Development (1969B)
Consolidated into 62.005 (1980U1)

62.004

Tennessee Valley Region_Community Development (1980U1)
Consolidated from 62.002 (1980U1)
Tennessee Valley Region_Economic and Community Development (1982B)
Tennessee Valley Region Economic Development (1985U)
Tennessee Valley Region_Rural Development (1992B)
Tennessee Valley Region_Economic Development (1994U)
Archived (1998U)

62.005

Tennessee Valley Region_Natural Resources Development (1980U1)
Consolidated from 62.002, 62.003 (1980U1)
Tennessee Valley Region_Water and Land Resources (1992B)
Archived (1994U)

62.006

Tennessee Valley Region_Valley Agricultural Resources Development (1980U1)
Consolidated from 62.002 (1980U1)
Tennessee Valley Region_Valley Agricultural Institute (1988B)
Archived (1992B)

UPPER GREAT LAKES REGIONAL COMMISSION

63.001

Upper Great Lakes Regional Economic Development (1970B)
Archived (1973B)
Reinstated (1973U)
Archived (1981U)

63.002

Upper Great Lakes Technical and Planning Assistance (1975B)
Archived (1981U)

63.003

Upper Great Lakes Supplements to Federal

Grant-in-Aid (1975B)
Archived (1981U)

63.004

Upper Great Lakes Regional Transportation (1977U)
Archived (1981U)

63.005

Upper Great Lakes Energy Demonstration Projects and Programs (1977U)
Archived (1981U)

63.006

Upper Great Lakes Indigenous Arts and Crafts Demonstration Projects (1977U)
Archived (1981U)

63.007

Upper Great Lakes Health and Nutrition Demonstration Projects (1977U)
Archived (1981U)

63.008

Upper Great Lakes Education Demonstration Projects (1977U)
Archived (1981U)

DEPARTMENT OF VETERANS AFFAIRS

Educational Assistance for Veterans' Children (1967B)
Archived (1969B)

Guardianship Services for Veterans' Beneficiaries (1967B)
Archived (1969B)

Relocation Housing for Aged or Families Displaced by Urban Renewal (1967B)
Archived (1969B)

Veterans Restoration Centers (1967B)
Archived (1969B)

64.001

Biomedical Research (1967B)
Medical Research Support (1986B)
Archived (1991U)

64.002

Veterans' Community Nursing Home Care Program (1967B)
Community Contract Nursing Home Care (1970B)
Community Nursing Home Care (1971B)
Veterans Community Nursing Home Care (1979B)
Archived (1981U)

64.003

Education and Training of Health Service

Personnel (1969B)
Archived (1979B)

64.004

Exchange of Medical Information (1970B)
Archived (1982B)

64.005

Grant to States for Construction of State Nursing Home Care Facilities (1970B)
Grants to States for Construction of State Home Facilities (1978B)

64.006

Prosthetics Research (1969B)
Rehabilitative Engineering Research (Prosthetics) (1977B)
Rehabilitative Research (Prosthetics) (1977U)
Rehabilitative Research (1981B)
Archived (1981U)

64.007

Rehabilitation of Blind Veterans (1967B)
Blind Veterans Rehabilitation Centers (1971B)
Blind Veterans Rehabilitation Centers and Clinics (1987U)
Blind Rehabilitation Centers and Clinics (1995U)
Blind Rehabilitation Centers (1998U)
Archived (2017)

64.008

Veterans' Domiciliary Program (1965B)
Veterans Domiciliary Care and Restoration (1970B)
Veterans Domiciliary Care (1973B)

64.009

Veterans Hospitalization (1965B)
Revised
Veterans Medical Care Benefits (1997U)
Archived (2017)

64.010

Veterans Nursing Home Care Program (1967B)
Veterans Nursing Home Care (1970B)
Archived (2017)

64.011

Veterans' Outpatient Services (1967B)
Veterans Outpatient Care (1970B)
Veterans Dental Care (1999B)

64.012

Veterans Prescription Service (1967B)

64.013

Veterans Prosthetic Appliances (1967B)

64.014

Veterans State Home Program (1970B)

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Veterans State Domiciliary Care (1971B)	64.027 Post-9/11 Veterans Educational Assistance (2010)	VHA Mental Health Residential (2014)
64.015 Veterans State Nursing Home Care (1970B)	64.028 Post-9/11 Veterans Educational Assistance (2010)	64.044 VHA Home Care (2014)
64.016 Veterans State Home Hospital Care (1970U) Veterans State Hospital Care (1971B) Archived (2016)	64.029 Purchase Care Program (2010)	64.045 VHA Outpatient Ancillary Services (2014)
64.017 Grants to States for Remodeling of State Home Hospital/Domiciliary Facilities (1971B) Incorporated into 64.005 (1978B)	64.030 Life Insurance for Veterans Face Amount of New Life Insurance Policies Issued (2010)	64.046 VHA Inpatient Psychiatry (2014)
64.018 Sharing Specialized Medical Resources (1971B) Sharing Medical Facilities, Equipment, and Information (1975B) Sharing Specialized Medical Resources (1978B) Archived (2017)	64.031 Life Insurance for Veterans - Direct Payments for Insurance (2010)	64.047 VHA Primary Care (2014)
64.019 Veterans Rehabilitation_Alcohol and Drug Dependence (1971U) Veterans Rehabilitation_Alcohol and Drug Dependence (1976B) Archived (2017)	64.032 Montgomery GI Bill Selected Reserve; Reserve Educational Assistance Program (2011)	64.048 VHA Mental Health clinics (2014)
64.020 Assistance in the Establishment of New State Medical Schools (1974U) Archived (1979B)	64.033 VA Supportive Services for Veteran Families Program (2011)	64.049 VHA Community Living Center (2014)
64.021 Grants to Affiliated Medical Schools_Assistance to Health Manpower Training Institutions (1974U) Archived (1979B)	64.034 VA Assistance to United States Paralympic Integrated Adaptive Sports Program (2011) VA Grants for Adaptive Sports Programs for Disabled Veterans and Disabled Members of the Armed Forces (2016)	64.050 VHA Diagnostic Care (2014)
64.022 Hospital Based Home Care (1974U) Veterans Hospital Based Home Care (1979B) Veterans Home Based Primary Care (1997B) Archived (2017)	64.035 Veterans Transportation Program (2012)	64.051 Specially Adapted Housing Assistive Technology Grant Program (2015)
64.023 Health Professional Scholarships (1982B) Health Professional Scholarships_Nursing (1984B) Archived (1986B)	64.036 Veterans Retraining Assistance Program (VRAP) (2012) Archived (2017)	64.052 Veterans Employment Pay for Success Program (2016)
64.024 VA Homeless Providers Grant and Per Diem Program (1995B)	64.037 VA U.S. Paralympics Monthly Assistance Allowance Program (2012)	64.053 PAYMENTS TO STATES FOR PROGRAMS TO PROMOTE THE HIRING AND RETENTION OF NURSES AT STATE VETERANS HOMES (2016)
64.025 Transitional Housing Loan Program (1995B) Archived (2001B)	64.038 Grants for the Rural Veterans Coordination Pilot (2013)	64.054 Research and Development (2017)
64.026 Veterans State Adult Day Health Care (2008)	64.039 CHAMPVA (2014)	64.100 Veterans' Automobile Grants (1967B) Automobile Grants for Disabled Veterans (1970B) Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces (1971B)
	64.040 VHA Inpatient Medicine (2014)	64.101 Burial Benefits for Veterans (1967B) Burial Allowance for Veterans (1969B) Burial Expenses Allowance for Veterans (1981U)
	64.041 VHA Outpatient Specialty Care (2014)	64.102 Compensation for Service-Connected Deaths for Veterans' Dependents (1969B)
	64.042 VHA Inpatient Surgery (2014)	64.103 Life Insurance for Veterans and Servicemen (1967B) Life Insurance for Veterans (1970B)
	64.043	

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64.104

Veterans Disability Pension (1965B)
Pension for Nonservice-Connected Disability for Veterans (1969B)

64.105

Veterans' Death Pension (1965B)
Pension for Veterans Widows and Children (1969B)
Pension for Veterans Widows or Widowers and Children (1976B)
Pension to Veterans Surviving Spouses, and Children (1980U)

64.106

Specially Adapted Veterans Housing (1967B)
Specially Adapted Housing for Disabled Veterans (1970B)

64.107

Veterans Assistance Centers (1969B)
Archived (1977U)

64.108

Veterans' Business Loans (1967B)
Veterans Business Loans_Guaranteed and Insured Loans (1970B)
Archived (1975B)

64.109

Veterans' Disability Compensation (1965B)
Veterans Compensation for Service-Connected Disability (1970B)

64.110

Veterans' Dependency and Indemnity Compensation (1965B)
Dependency, Indemnity, and Death Compensation to Veterans' Dependents (1967B)
Veterans Dependency and Indemnity Compensation for Service-Connected Death (1970B)

64.111

Educational Assistance for Veterans (1969B)
Veterans Educational Assistance (1970B)
Archived (1990B)

64.112

Veterans Farm Loans (1969B)
Archived (1975B)

64.113

Veterans Housing_Direct Loans and Advances (1970B)
Archived (1981B)

64.114

Veterans' Home Loans (1965B)
Veterans Housing_Guaranteed and Insured Loans (1970B)

64.115

Veterans Information and Assistance (1967B)
Archived (2017)

64.116

Vocational Rehabilitation Training for Disabled Veterans (1965B)
Vocational Rehabilitation for Disabled Veterans (1970B)

64.117

War Orphans Education Program (1965B)
War Orphans and Widows Educational Assistance (1970B)
Dependents Educational Assistance (1974B)

64.118

Veterans Housing_Direct Loans for Disabled Veterans (1971B)
Veterans Housing_Direct Loans for Certain Disabled Veterans (1998B)

64.119

Veterans Housing_Mobile Home Loans (1971B)
Veterans Housing_Manufactured Home Loans (1983B)
Archived (2017)

64.120

Post-Vietnam Era Veterans' Educational Assistance (1977B)

64.121

Emergency Veterans Job Training (1984B)
Veterans' Job Training Act (1986B)
Archived (1990U)

64.122

Vocational Rehabilitation for Service-Disabled Veterans Receiving Unemployability VA Compensation (1985B)
Archived (1991U)

64.123

Vocational Training for Certain Veterans Receiving VA Pension (1985B)

64.124

All-Volunteer Force Educational Assistance (1986B)

64.125

Vocational and Educational Counseling for Servicemembers and Veterans (1992B)

64.126

Native American Veteran Direct Loan Program (1993B)

64.127

Monthly Allowance Under 38 U.S.C. 1805 for a

Child Born with Spina Bifida Who Is a Child of a Vietnam Veteran (1997B)
Monthly Allowance for Children of Vietnam Veterans Born with Spina Bifida (1997U)
Archived (2017)

64.128

Vocational Training and Rehabilitation for Vietnam Veterans' Children with Spina Bifida (1997U)
Vocational Training and Rehabilitation for Vietnam Veteran's Children with Spina Bifida or Other Covered Birth Defects (2002B)

64.200

Memorial Plots in National Cemeteries (1973U)
Transferred from 12.200 (1973U)
Memorial Plots and Markers in National Cemeteries (1974B)
Memorial Plots and Memorial Markers (1979B)
Consolidated into 64.201, 64.202 (1990B)

64.201

National Cemeteries (1973U)
Transferred from 12.201 (1973U)
Archived (2017)

64.202

Procurement of Headstones and Markers (1973U)
Transferred from 12.202 (1973U)
Procurement of Headstones and Markers and/or Presidential Memorial Certificates (1991U)
Archived (2017)

64.203

State Cemetery Grants (1979U2)
Veterans Cemetery Grants Program (2016)

WATER RESOURCES COUNCIL

65.001

Water Resources Planning (1967B)
Archived (1983B)

ENVIRONMENTAL PROTECTION AGENCY

66.001

Air Pollution Control Program Grants (1971B)
Transferred from 13.001 (1971B)
Air Pollution Control Program Support (1985U)

66.002

Air Pollution Fellowships (1971B)
Transferred from 13.002 (1971B)
Air Pollution Control Fellowships (1976B)
Archived (1979B)

66.003

Air Pollution Manpower Training Grants (1971B)
Transferred from 13.003 (1971B)
Air Pollution Control Manpower Training Grants (1976B)

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Air Pollution Control Manpower Training (1985U)
Archived (1999U)

66.004

Air Pollution Research Grants (1971B)
Transferred from 13.004 (1971B)
Air Pollution Control Research Grants (1972B)
Number changed to 66.501 (1973B)

66.005

Air Pollution Survey and Demonstration Grants (1971B)
Transferred from 13.005 (1971B)
Air Pollution Control Survey and Demonstration Grants (1976B)
Archived (1979B)

66.006

Air Pollution Control Technical Training (1971U)
Air Pollution Control_Technical Training (1973B)
Archived (1998B)

66.007

Air Pollution Control National Aerometric Data Information (1971U)
Air Pollution Control_National Ambient Air and Source Emission Data (1972U)
Archived (1996U)

66.008

Air Pollution Control Technical Assistance (1971U)
Air Pollution Control_Technical Assistance (1973B)
Archived (1985B)

66.009

Air Pollution Control Technical Information (1971U)
Air Pollution Control_Technical Information Services (1973B)
Air Pollution_Technical Information Services (1986B)
Air Information Center (1987U)
Protection of Children and the Aging as a Fundamental Goal of Public Health and Environmental Protection (2003)
Protection of Children and Older Adults (Elderly) from Environmental Health Risks (2004)
Deleted (2005)

66.010

Air Pollution Control_Interstate Cooperation and Uniform Laws (1972B)
Archived (1973U)

66.011

Solid Waste Technical Assistance, Training, and Information Services (1974B)
Number changed from 66.304 (1974B)

Solid Waste Technical Assistance, and Information Services (1975B)
Solid Waste Disposal Technical Assistance, and Information Services (1976B)
Solid Waste Management Technical Assistance, and Information Services (1976U)
Number changed to 66.450 (1977U)

66.012

Water Pollution Control Data Publications Services (1973B)
Number changed from 66.402 (1973B)
Number changed to 66.416 (1974B)

66.013

Water Pollution Control_Direct Training (1973B)
Number changed from 66.403 (1973B)
Number changed to 66.417 (1974B)

66.014

Water Pollution Control_Interstate Cooperation and Uniform Laws (1973B)
Number changed from 66.404 (1973B)
Incorporated into 66.650 (1973U)

66.015

Construction Grants for Wastewater Treatment Works (1973B)
Number changed from 66.400 (1973B)
Number changed to 66.418 (1974B)

66.017

Water Pollution Control_State and Interstate Program Grants (1973B)
Number changed from 66.407 (1973B)
Number changed to 66.419 (1974B)

66.018

Water Pollution Control_State and Local Manpower Development (1973B)
Number changed from 66.408 (1973B)
Number changed to 66.420 (1974B)

66.019

Water Pollution Control_Technical Assistance and Criteria Development (1973B)
Number changed from 66.409 (1973B)
Archived (1974B)

66.020

Water Pollution Control_Training Grants (1973B)
Number changed from 66.410 (1973B)
Number changed to 66.421 (1974B)

66.021

Water Quality Control_Monitoring Assistance (1973B)
Number changed from 66.411 (1973B)
Number changed to 66.422 (1974B)

66.022

Water Quality Control Information System_Orientation and Training Seminars (1973B)
Number changed from 66.412 (1973B)
Number changed to 66.423 (1974B)

66.023

Water Quality Management Technical Planning Assistance (1973B)
Number changed from 66.413 (1973B)
Archived (1974B)

66.025

Drinking Water Supply_Technical Assistance (1973B)
Number changed from 66.415 (1973B)
Number changed to 66.425 (1974B)

66.026

Solid Waste Training Grants (1975B)
Solid Waste Disposal Training Grants (1976B)
Number changed to 66.453 (1977U)

66.027

Solid Waste Demonstration and Planning Grants (1975B)
Solid Waste Planning Grants (1975U)
Split into 66.027, 66.028 (1975U)
Solid Waste Disposal Planning Grants (1976B)
Number changed to 66.451 (1977U)

66.028

Solid Waste Demonstration Grants (1975U)
Split from 66.027 (1975U)
Solid Waste Disposal Demonstration Grants (1976B)
Number changed to 66.452 (1977U)

66.030

Noise Pollution Control_Technical Assistance (1976B)
Archived (1981U)

66.031

Quiet Communities_State and Local Capacity Building Assistance (1979B)
Archived (1981U)

66.032

State Indoor Radon Grants (1989U)

66.033

Ozone Transport (1997U)
Ozone Transport Commission (2006)

66.034

Surveys, Studies Investigations, Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2002B)

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Surveys Studies, Investigations Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2003B)	Community Outreach and Education, Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (2013)	Archived (2013)
Surveys, Studies, Investigations, Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2005)	Archived (2016)	66.120 Puget Sound Watershed Management Assistance (2009) Archived (2013) Reinstated (2015)
Surveys, Studies, Investigations, Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2005)	66.050 Potomac Highlands Implementation Grants (2010) Archived (2013)	66.121 Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (2009)
Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (2008)	66.100 Pesticides Research Grants (1971B) Transferred from 13.102 (1971B) Number changed to 66.502 (1973B)	66.122 Puget Sound Action Agenda Outreach, Education and Stewardship Support Program (2009) Archived (2016)
66.035 Community Action for a Renewed Environment (CARE) Program (2005) Archived (2014)	66.101 Pesticides Science and Technology_Technical Information (1971U) Number changed to 66.427 (1974B)	66.123 Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (2009)
66.036 Clean School Bus USA (2005) Archived (2011)	66.110 Healthy Communities Grant Program (2005)	66.124 Coastal Wetlands Planning Protection and Restoration Act (2010)
66.037 Internships, Training, Workshops and Fellowships for the Office of Air and Radiation (2006) Internships, Training and Workshops for the Office of Air and Radiation (2008)	66.111 Regional Environmental Priority Projects (2005) Archived (2011)	66.125 Lake Pontchartrain Basin Restoration Program (PRP) (2010)
66.038 Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (2008) Archived (2012) Reinstated (2012)	66.112 Surveys, Studies, Investigations, Training, Demonstrations, and Special Purpose Grants for Regional Geographic Initiatives (2008) Archived (2009)	66.126 THE SAN FRANCISCO BAY WATER QUALITY IMPROVEMENT FUND (2010)
66.039 National Clean Diesel Funding Assistance Program (2008) National Clean Diesel Emissions Reduction Program (2010)	66.113 Region 3 Environmental Priority Projects (2006) Archived (2013)	66.127 Southeastern U.S. Regional Targeted Watershed Initiative (2011) Archived (2013)
66.040 State Clean Diesel Grant Program (2008)	66.114 Environmental Protection Consolidated Grants-Program Support (2006)	66.128 Southeastern Multi-Media and Geographic Priority Projects (2012)
66.041 Climate Showcase Communities Grant Program (2009) Archived (2012) Reinstated (2013) Archived (2014) Reinstated (2016)	66.115 Surveys, Studies, Investigations, Training, Demonstrations, and Special Purpose Grants for EPA Region 10 Regional Geographic Initiative (2006)	66.129 Southeast New England Coastal Watershed Restoration (2015)
66.042 Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (2010)	66.116 Regional Geographic Initiative/Environmental Priority Projects (2006) Archived (2009)	66.130 Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component (2017)
66.043 Regional Healthy Indoor Air Projects for	66.117 Region 9 multi-media and geographic priority projects (2007) Region 9 Multi-Media and Geographic Priority Projects (2009) Archived (2011)	66.200 Radiation Research Grants (1971B) Split/Transferred from 13.012 (1971B) Number changed to 66.503 (1973B)
	66.118 West Coast Estuaries Initiative (2008)	66.201 Radiation Training Grants (1971B) Split/Transferred from 13.013 (1971B) Archived (1973U)

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66.202 Congressionally Mandated Projects (2006)	Reinstated (2013)	66.404 Water Pollution Control_Interstate Cooperation and Uniform Laws (1971B) Transferred from 15.704 (1971B) Number changed to 66.014 (1973B)
66.203 Environmental Finance Center Grants (2008)	66.307 Environmental Justice Training and Fellowship Assistance (2005)	
66.204 Multipurpose Grants to States and Tribes (2016)	66.308 Environmental Justice Research Assistance (2005)	66.405 Water Pollution Control_Research, Development, and Demonstration Grants (1971B) Transferred from 15.705 (1971B) Water Pollution Control_Research, Development, and Demonstration (1972B) Number changed to 66.505 (1973B)
66.300 Solid Waste Demonstration and Resource Recovery System Grants (1971B) Transferred from 13.014 (1971B) Archived (1973B)	66.309 Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice (2005) Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (2011)	
66.301 Solid Waste Planning Grants (1971B) Transferred from 13.015 (1971B) Archived (1973B)	66.310 Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (2005)	66.406 Water Pollution Control Research Fellowships (1971B) Transferred from 15.706 (1971B) Archived (1973B)
66.302 Solid Waste Research Grants (1971B) Transferred from 13.016 (1971B) Number changed to 66.504 (1973B)	66.311 Assistance to Non-Profit Organizations with Activities Relating to Environmental Justice (2006)	66.407 Water Pollution Control_State and Interstate Program Grants (1971B) Transferred from 15.707 (1971B) Number changed to 66.017 (1973B)
66.303 Solid Waste Training Grants (1971B) Transferred from 13.017 (1971B) Archived (1973B)	66.312 State Environmental Justice Cooperative Agreement Program (2008) Archived (2011)	66.408 Water Pollution Control_State and Local Manpower Development (1971B) Transferred from 15.708 (1971B) Number changed to 66.018 (1973B)
66.304 Solid Waste Technical Assistance, Training, and Information Services (1971U) Number changed to 66.011 (1974B)	66.313 International Compliance and Enforcement Projects (2010)	66.409 Water Pollution Control_Technical Assistance and Pollution Surveillance (1971B) Transferred from 15.709 (1971B) Number changed to 66.019 (1973B)
66.305 Compliance Assistance_Support for Services to the Regulated Community and Other Assistance Providers (2002U) 66.476 Security Planning Grants for Large Drinking Water Utilities (2002U) Reinstated - Compliance Assistance_Support for Services to the Regulated Community and Other Assistance Providers (2003) Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (2005) Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (2005)	66.400 Construction Grants for Wastewater Treatment Works (1971B) Transferred from 15.700 (1971B) Number changed to 66.015 (1973B)	66.410 Water Pollution Control Training Grants (1971B) Transferred from 15.710 (1971B) Number changed to 66.020 (1973B)
66.306 Environmental Justice Collaborative Problem-Solving Grants Program (2004) Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (2005) Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (2005) Archived (2009)	66.401 Water Pollution Control Comprehensive Basin Planning Grants (1971B) Transferred from 15.701 (1971B) Water Pollution Control_Comprehensive Basin Planning Grants (1972B) Archived (1973B)	66.411 Water Quality Control Information System (1971B) Transferred from 15.711 (1971B) Number changed to 66.021 (1973B)
	66.402 Water Pollution Control Data Publications Services (1971B) Transferred from 15.702 (1971B) Number changed to 66.012 (1973B)	66.412 Water Quality and Pollution Control_Orientation and Training Seminars (1971B) Transferred from 15.712 (1971B) Number changed to 66.022 (1973B)
	66.403 Water Pollution Control_Direct Training (1971B) Transferred from 15.703 (1971B) Number changed to 66.013 (1973B)	66.413 Water Quality Management Technical Planning Assistance (1971B) Transferred from 15.713 (1971B) Number changed to 66.023 (1973B)

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66.414 Water Hygiene Research Grants (1971B) Transferred from 13.018 (1971B) Incorporated into 66.405 (1972B)	66.423 Water Quality Control Information System_Orientation and Training Seminars (1974B) Number changed from 66.022 (1974B) Water Quality Control Information System_Orientation/Training Seminars, Data and Monitoring Publications (1977B) Archived (1997B)	66.432 Grants for State Public Water System Supervision Programs (1975U) Public Water System Supervision_State Program Grants (1976B) State Public Water System Supervision Program Grants (1976U) State Public Water System Supervision (1985U)
66.415 Drinking Water Supply_Technical Assistance (1972B) Number changed to 66.025 (1973B)	66.424 Surveys, Studies, Demonstrations and Special Purpose_Section 1442 of the Safe Drinking Water Act (2003B) Surveys, Studies, Demonstrations and Special Purpose Grants - Section 1442 of the Safe Drinking Water Act (2004) Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (2008)	66.433 Grants for Underground Injection Control Programs (1975U) Underground Water Source Protection_State Program Grants (1976B) State Underground Water Source Protection Program Grants (1976U) State Underground Water Source Protection_Program Grants (1981B) State Underground Water Source Protection (1985U)
66.416 Water Pollution Control_Data Publications Services (1974B) Number changed from 66.012 (1974B) Incorporated into 66.423 (1977B)	66.425 Drinking Water Supply_Technical Assistance (1974B) Number changed from 66.025 (1974B) Archived (1983U)	66.434 Safe Drinking Water_State and Local Program Development Grants (1976U) Archived (1979B)
66.417 Water Pollution Control_Direct Training (1974B) Number changed from 66.013 (1974B) Archived (1982B)	66.426 Water Pollution Control_Area Waste Treatment Management Planning Grants (1974B) Water Pollution Control_State and Areawide Waste Treatment Management Planning Grants (1976U) Water Pollution Control_State and Areawide Water Quality Management Planning Agency (1977B) Archived (1983B)	66.435 Water Pollution Control_Lake Restoration Demonstration Grants (1976U) Water Pollution Control_Lake Restoration Cooperative Agreements (1980U1) Archived (1981U) Reinstated (1987U) Archived (1998B)
66.418 Construction Grants for Wastewater Treatment Works (1974B) Number changed from 66.015 (1974B) Archived (1992B) Reinstated (2000U)	66.427 Pesticides Science and Technology_Technical Information (1974B) Number changed from 66.101 (1974B) Archived (1979B)	66.436 Surveys, Studies, Investigations, Demonstrations and Training Grants and Cooperative Agreements_Section 1442 of the Clean Water Act (2003B) Surveys, Studies, Demonstrations and Special Purpose Grants - Section 1442 of the Safe Drinking Water Act (2004)
66.419 Water Pollution Control_State and Interstate Program Grants (1974B) Number changed from 66.017 (1974B) Water Pollution Control State and Interstate Program Support (1985U) Water Pollution Control State and Interstate Program Support (2004) Water Pollution Control State and Interstate Program Support (2004) Water Pollution Control State, Interstate, and Tribal Program Support (2006)	66.428 Water Pollution Control_Professional Training Grants (1974U) Split from 66.421 (1974U) Archived (1982B)	66.437 Long Island Sound Program (2004)
66.420 Water Pollution Control_State and Local Manpower Development (1974B) Number changed from 66.018 (1974B) Water Pollution Control_State and Local Manpower Program Development (1976B) Archived (1981U)	66.429 Water Pollution Control_Technical Training Grants (1974U) Split from 66.421 (1974U) Archived (1981B)	66.438 Construction Management Assistance Grants (1978U) Construction Management Assistance (1985U) Archived (1998B)
66.421 Water Pollution Control_Training Grants (1974B) Number changed from 66.020 (1974B) Split into 66.428, 66.429 (1974U)	66.430 Water Pollution Control Fellowships (1974U) Archived (1981U)	66.439 Targeted Watershed Initiative (2003B) Targeted Watershed Grants (2004) Targeted Watersheds Grants (2006) Archived (2017)
66.422 Water Quality Control_Monitoring Assistance (1974B) Number changed from 66.021 (1974B) Incorporated into 66.423 (1977B)	66.431 Water Pollution Control Loans (1974U) Transferred/Incorporated into 59.024 (1979B)	66.440 Urban Waters Small Grants (2011)

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66.441 Healthy Watersheds Consortium Grant Program (2014)	Nonpoint Source Implementation (1990B) Archived (1991B) Nonpoint Source Implementation Grants (1993B)	Archived (1998B)
66.450 Solid Waste Management Technical Assistance and Information Services (1977U) Number changed from 66.011 (1977U) Archived (1981U)	66.461 Wetlands Protection_State Development Grants (1989U) Wetlands Protection_State and Tribal Development Grants (1995U) Wetlands Protection_Development Grants (1997B) Wetlands Grants (2000U) Wetland Program Development Grants (2003B) Regional Wetland Program Development Grants (2005) Regional Wetland Program Development Grants (2005)	66.471 State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs (2001B) Archived (2014)
66.451 Solid and Hazardous Waste Management Program Support Grants (1977U) Number changed from 66.027 (1977U) Hazardous Waste Management Program Support Grants (1981U) Hazardous Waste Management Financial Assistance to States (1982B) Number changed to 66.801 (1983B)	66.462 National Wetland Program Development Grants (2005) National Wetland Program Development Grants and Five-Star Restoration Training Grant (2008)	66.472 Beach Monitoring and Notification Program Development Grants (2001B) Beach Monitoring and Notification Program Implementation Grants (2003B)
66.452 Solid Waste Management Demonstration Grants (1977U) Number changed from 66.028 (1977U) Archived (1981B)	66.463 National Pollutant Discharge Elimination System Related State Program Grants (1991U) Water Quality Cooperative Agreements (2000U) Archived (2015)	66.473 Direct Implementation Tribal Cooperative Agreements (2001U)
66.453 Solid Waste Management Training Grants (1977U) Number changed from 66.026 (1977U) Archived (1981U)	66.464 Near Coastal Waters (1991U) Archived (1994B)	66.474 Water Protection Coordination Grants to States (2002B) Water Protection Grants to the States (2003B)
66.454 Water Quality Management Planning (1983B)	66.465 Wellhead Protection Demonstration Projects (1994B) Archived (1995B)	66.475 Gulf of Mexico Program (2002B)
66.455 Marine Combined Sewer Overflow Special Fund (1984B) Construction Grants for Wastewater Treatment Works for Combined Sewer Overflows (1985U) Archived (1986B) Construction Grants for Abatement of Combined Sewer Overflow Pollution in Marine Bays and Estuaries, Reinstated (1988U) Archived (1989U)	66.466 Chesapeake Bay Program (1994B) Chesapeake Bay Program (2005) Chesapeake Bay Program (2005)	66.476 Vulnerability Assessments and Related Security Improvements at Large Drinking Water Utilities (2003B) Security Planning Grants for Large Drinking Water Utilities (2004) Deleted (2005)
66.456 Comprehensive Estuarine Management (1985U) National Estuary Program (1987U)	66.467 Wastewater Operator Training Grant Program (Technical Assistance) (1994U) Wastewater Operator Training Grant Program (2009) Archived (2016)	66.477 Security Grants for Privately-Owned Water Utilities (2002U)
66.458 Capitalization Grants for State Revolving Funds (1988B) Capitalization Grants for Clean Water State Revolving Funds (2003B)	66.468 Capitalization Grants for Drinking Water State Revolving Fund (1997B)	66.478 Water Security Training and Technical Assistance Grant Program (2003B) Water Security Training and Technical Assistance (2004) Water Security Training and Technical Assistance and Water Security Initiative Contamination Warning System Pilots (2007) Archived (2014) Archived (2014)
66.459 Nonpoint Source Reservation (1988B) Archived (1991B)	66.469 Great Lakes Program (1997B)	66.479 Wetland Program Grants - State/Tribal Environmental Outcome Wetland Demonstration Program (2005) Archived (2013)
66.460	66.470 Hardship Grants Program for Rural Communities (1997B)	66.480 Assessment and Watershed Protection Program Grants (2005) Archived (2013)
		66.481

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Lake Champlain Basin Program (2005)	Development, and Demonstration (1985U) Consolidated into 66.500 (1997B)	Science To Achieve Results (STAR) Fellowship Program (2004)
66.482 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds (2013)	66.506 Water Supply Research Grants (1973B) Water Research and Demonstration Grants (1975U) Safe Drinking Water Research and Demonstration (1985U) Consolidated into 66.500 (1997B)	66.515 Greater Opportunities Research Program (2004) Greater Opportunities: Research Program (2005) Greater Research Opportunities (GRO) Research Program (2008) Archived (2009)
66.483 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds (2013)	66.507 Toxic Substances Research Grants (1978B) Toxic Substances Research (1985U) Consolidated into 66.500 (1997B)	66.516 National Student Design Competition for Sustainability (2004) P3 Award: National Student Design Competition for Sustainability (2004)
66.500 Environmental Protection_Comprehensive Research Grants (1972U) Environmental Protection_Consolidated Research Grants (1974U) Environmental Protection_Consolidated Research (1985U) Consolidated from 66.501, 66.502, 66.504, 66.505, 66.506, 66.507 (1997B) Environmental Protection Consolidated Research (2002B) Environmental Protection_Consolidated Research (2003B) Deleted (2005) Deleted (2005)	66.508 Senior Environmental Employment Program (1986B)	66.517 Regional Applied Research Efforts (RARE) (2007)
66.501 Air Pollution Control Research Grants (1973B) Number changed from 66.004 (1973B) Air Pollution Control Research (1985U) Consolidated into 66.500 (1997B)	66.509 Science To Achieve Results (STAR) Program (2002U) Science To Achieve Results (STAR) Research Program (2005) Science To Achieve Results (STAR) Research Program (2005)	66.518 State Senior Environmental Employment Program (2005)
66.502 Pesticides Research Grants (1973B) Number changed from 66.100 (1973B) Pesticides Control Research Grants (1976B) Pesticides Control Research (1985U) Consolidated into 66.500 (1997B)	66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (2003B)	66.600 Environmental Protection_Consolidated Program Grants (1973B) Environmental Protection_Consolidated Program Support Grants (1975U) Environmental Protection Consolidated Grants_Program Support (1976B) Environmental Protection Consolidated Grants-Program Support (2004) Environmental Protection Consolidated Grants for the Insular Areas - Program Support (2008)
66.503 Radiation Research Grants (1973B) Number changed from 66.200 (1973B) Archived (1977B)	66.511 Consolidated Research (2002U) 66.511, 66.610 Surveys, Studies, Investigations and Special Purpose Grants (2002U) Office of Research and Development Consolidated Research (2003) Office of Research and Development Consolidated Research/Training (2005) Office of Research and Development Consolidated Research/Training (2005) Office of Research and Development Consolidated Research/Training/Fellowships (2008)	66.601 Environmental Financing Authority (1974U) Archived (1975U)
66.504 Solid Waste Research Grants (1973B) Number changed from 66.302 (1973B) Solid Waste Disposal Research Grants (1976B) Solid Waste Disposal Research (1985U) Consolidated into 66.500 (1997B)	66.512 Regional Environmental Monitoring and Assessment Program (REMAP) Research Projects (2005) Archived (2011)	66.602 Environmental Protection_Consolidated Special Purpose Grants (1975U) Environmental Protection Consolidated Grants_Special Purpose (1976B) Incorporated into 66.600 (1982B)
66.505 Water Pollution Control_Research, Development, and Demonstration (1973B) Number changed from 66.405 (1973B) Water Pollution Control_Research, Development, and Demonstration Grants (1975B) Wastewater Pollution Control_Research,	66.513 Greater Research Opportunities Fellowship Program (2004) Greater Research Opportunities (GRO) Fellowships For Undergraduate/Graduate Environmental Study (2006) Greater Research Opportunities (GRO) Fellowships For Undergraduate Environmental Study (2009)	66.603 Loan Guarantees for Construction of Treatment Works (1978U)
	66.514	66.604 Environmental Justice Grants to Small Community Groups (1994B) Environmental Justice Small Grant Program (2005) Environmental Justice Small Grant Program (2005)
		66.605 Performance Partnership Grants (1997B)

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<p>66.606 Surveys, Studies, Investigations and Special Purpose Grants (1997B)</p> <p>Incorporated into 66.202 (2006)</p>	<p>66.701 Toxic Substances Compliance Monitoring Cooperative Agreements (1984U) Toxic Substances Compliance Monitoring Program (1989U) Toxic Substances Compliance Monitoring Cooperative Agreements (1995B)</p>	<p>Environmental Justice Community/University Partnership Grants Program (1997B) Archived (2001B)</p>
<p>66.607 Training and Fellowships for the Environmental Protection Agency (1997B)</p>	<p>66.702 Asbestos Hazard Abatement School Assistance Program (1984U) Asbestos Hazards Abatement (Schools) Assistance (1985U) Archived (1994B)</p>	<p>66.711 Environmental Justice through Pollution Prevention Grants (1999B) Archived (2002B)</p>
<p>66.608 One Stop Reporting (1997U) State Information Grants (2002B) Environmental Information Exchange Network Grant Program and Related Assistance (2005)</p>	<p>66.703 Asbestos Inspection and Management Plan Assistance (1987U) Archived (1989B)</p>	<p>66.713 State and Tribal Environmental Justice (1998B) Archived (2001B)</p>
<p>66.609 Protection of Children And The Aging (Or Elderly Population) (2003B) Protection of Children and the Aging as a Fundamental Goal of Public Health and Environmental Protection (2003B) Protection of Children from Environmental Health Risks (2011)</p>	<p>66.704 Pesticides Certification Program (1988U) Incorporated into 66.700 (1990B)</p>	<p>66.714 Pesticide Environmental Stewardship_Regional Grants (1999U) Pesticide Environmental Stewardship Regional Grants (2003B) Pesticide Environmental Stewardship_Regional Grants (2004) Pesticide Environmental Stewardship Regional Grants (2005) Pesticide Environmental Stewardship Regional Grants (2005) Regional Agricultural IPM Grants (2011)</p>
<p>66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (2003B)</p>	<p>66.705 Toxic Release Inventory Data Quality Assurance Program (1990B) Toxic Release Inventory Data Quality Capabilities Program (1991B) Archived (1992B)</p>	<p>66.715 Childhood Blood-Lead Screening and Lead Awareness (Educational) Outreach for Indian Tribes (2001B) Tribal Educational Outreach on Lead Poisoning and Baseline Assessment of Tribal Children's Existing and Potential Exposure and Risks Associated With Lead (2006) Lead Educational Outreach and Baseline Assessment of Tribal Children's Exposure and Risks Associated With Lead (2008) Archived (2013)</p>
<p>66.611 Environmental Policy and Innovation Grants (2002U)</p>	<p>66.706 Enhancement Grants for State Asbestos Programs (1991B) Archived (1994B)</p>	<p>66.716 Surveys, Studies, Investigations, Training and Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (2007)</p>
<p>66.612 Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (2007)</p>	<p>66.707 TSCA Title IV State Lead Grants_Certification of Lead- Based Paint Professionals (1994B) TSCA Title IV State Lead Grants Certification of Lead_Based Paint Professionals (2003B) TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (2005) TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (2005)</p>	<p>66.717 Source Reduction Assistance (2002U)</p>
<p>66.650 Environmental Protection_Interstate Cooperation and Uniform Laws (1973U) Number changed from 66.014 (1973U) Archived (1978U)</p>	<p>66.708 Pollution Prevention Grants Program Number changed from 66.900 (1994B) Revised (1997U)</p>	<p>66.718 National Community-Based Lead Outreach and Training Grant Program (2007) Archived (2013)</p>
<p>66.651 Sustainable Development Challenge Grants (1997B) Innovative Community Partnership (2000B) Archived (2000U)</p>	<p>66.709 Capacity Building Grants and Cooperative Agreements for States and Tribes (2001B) Multi-Media Capacity Building Grants for States and Tribes (2005) Multi-Media Capacity Building Grants for States and Tribes (2005) Archived (2012)</p>	<p>66.719 Center of Excellence for Chemical Alternatives Assessment (2016) Archived (2016)</p>
<p>66.700 Pesticides Enforcement Program Grants (1977U) Pesticides Enforcement Program (1985U) Consolidated Pesticide Compliance Monitoring and Program Cooperative Agreements (1990B) Incorporated from 66.704 (1990B) Consolidated Pesticide Enforcement Cooperative Agreements (1997B)</p>	<p>66.710</p>	

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66.800 State Toxic Substances Control Projects (1979B) Archived (1981B)	Program (1988B) Deleted (2004)	Tanks (2002U) Headquarters and Regional Underground Storage Tanks Program (2005)
66.801 Hazardous Waste Management Financial Assistance to States (1983B) Number changed from 66.451 (1983B) Hazardous Waste Management State Program Support (1985U)	66.808 Solid Waste Management Assistance (1989U) Solid Waste Management Assistance Grants (2005) Solid Waste Management Assistance Grants (2005)	Headquarters and Regional Underground Storage Tanks Program (2005) Headquarters and Regional Underground Storage Tanks Program (2005)
66.802 Hazardous Substance Response Trust Fund (1983B) Superfund State Site-Specific Cooperative Agreements (1994U) Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (2002B) Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (2009)	66.809 Core Program Cooperative Agreements (1991B) Superfund State Core Program Cooperative Agreements (1994U) Superfund State and Indian Tribe Core Program Cooperative Agreements (2002B) Superfund State and Indian Tribe Core Program Cooperative Agreements (2003B)	66.817 State and Tribal Response Program Grants (2002U)
66.803 Hazardous Waste Management Financial Assistance (1984U) Archived (1985B)	66.810 Emergency Planning and Community Right-to-Know Technical Assistance Grants (1993U) Technical Assistance Grants Program (1994U) CEPP Technical Assistance Grants Program (1995U) Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program (2003B) Archived (2014)	66.818 Brownfield Assessment and Cleanup Cooperative Agreements (2002U)
66.804 State Underground Storage Tanks Program (1985U) State and Tribal Underground Storage Tanks Program (2000B) Underground Storage Tank Prevention, Detection and Compliance Program (2009)	66.811 Brownfield Pilots Cooperative Agreements (1997B) Revised (1998U)	66.819 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Leaking Underground Storage Tank Trust Fund Corrective Action Program (2013)
66.805 Underground Storage Tank Trust Fund Program (1987B) Leaking Underground Storage Tank Trust Fund Program (1995B) Leaking Underground Storage Tank Trust Fund (2002B) Leaking Underground Storage Tank Trust Fund Program (2003B) Leaking Underground Storage Tank Trust Fund Corrective Action Program (2009)	66.812 Hazardous Waste Management Grants for Tribes (2002B) Tribal Hazardous Waste Grants (2003B) Hazardous Waste Management Grant Program for Tribes (2005) Hazardous Waste Management Grant Program for Tribes (2005)	66.900 Pollution Prevention Incentives for States (1989U) Pollution Prevention Grants Program (1991U) Number changed to 66.708 (1994B)
66.806 Superfund Technical Assistance Grants for Citizen Groups at Priority Sites (1988B) Superfund Technical Assistance Grants (Tag) for Citizen Groups at Priority Sites (2002B) Superfund Technical Assistance Grants for Citizen Groups at Priority Sites (2003B) Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (2004)	66.813 Alternative/Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (2002U)	66.925 State/EPA Data Management Financial Assistance Program (1991B) Archived (1993U)
66.807 Superfund Innovative Technology Evaluation	66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (2003B)	66.926 Indian Environmental General Assistance Program (1993U) Indian Environmental General Assistance Program (GAP) (2003B)
	66.815 Brownfield Job Training Cooperative Agreements (2003B) Environmental Workforce Development and Job Training Cooperative Agreements (2011)	66.930 U.S.-Mexico Border Grants Program (1997B) Archived (2002B)
	66.816 Headquarters and Regional Underground Storage	66.931 International Financial Assistance Projects (2002U) Agency Request (2009) International Financial Assistance Projects Sponsored by the Office of International Affairs (2009) International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (2010)

Appendix V: Historical Profile of Catalog Programs

National Environmental Education Training Program (2010)

66.951

Environmental Education Grants (1992B)

66.952

National Network for Environmental Management Studies Fellowship Program (2005)
Archived (2016)

66.953

Building Capacity to Implement EPA National Guidelines for School Environmental Health Programs (2011)
Archived (2012)

66.954

Tribal ecoAmbassadors (2016)

NATIONAL COUNCIL ON INDIAN OPPORTUNITY

67.001

Indian Information and Counseling (1971B)
Indian Advice and Special Services (1973B)
Archived (1974B)

NATIONAL GALLERY OF ART

68.001

National Gallery of Art Extension Service (1971B)
Archived (2017)

OFFICE OF CONSUMER AFFAIRS

69.001

Consumer Affairs (1971B)
Transferred from 52.500 (1971B)
Archived (1973B)

OVERSEAS PRIVATE INVESTMENT CORPORATION

70.001

Foreign Currency Loans (1971B)
Transferred from 19.001 (1971B)
Archived (1979B)

70.002

Foreign Investment Guaranties (1971B)
Transferred from 19.004 (1971B)
Foreign Investment Financing (1994B)

70.003

Foreign Investment Insurance (1971B)
Transferred from 19.005 (1971B)

70.004

Pre-Investment Assistance (1971B)
Archived (1986U)

70.005

Direct Investment Loans (1971B)
Consolidated into 70.002 (1994B)

FEDERAL HOME LOAN BANK BOARD

71.001

Housing Opportunity Allowance Program (1971B)
Archived (1972B)

ACTION

72.001

Foster Grandparents (1971U)
The Foster Grandparents Program (1973B)
The Foster Grandparent Program (1977B)
Foster Grandparent Program (1984B)
Number changed to 94.011 (1995B)

72.002

Retired Senior Volunteers (1971U)
Retired Senior Volunteer Program (1973B)
Number changed to 94.002 (1995B)

72.003

Volunteers in Service to America (1971U)
Number changed to 94.013 (1995B)

72.004

University Year for ACTION (1973B)
Archived (1981U)

72.005

National Student Volunteer Program (1973B)
National Center for Service-Learning (1979U1)
Service-Learning Programs (1983B)
Student Community Service Program (1989U)
Archived (1994B)

72.006

Service Corps of Retired Executives/Active Corps of Executives (1972U)
Service Corps of Retired Executives and Active Corps of Executives (1973B)
Transferred to 59.026 (1976B)

72.007

ACTION Cooperative Volunteer Program (1974B)
Incorporated into 72.003 (1976U)

72.008

The Senior Companion Program (1974B)
Senior Companion Program (1984B)
Number changed to 94.016 (1995B)

72.009

The Youth Challenge Program (1975B)
Archived (1979B)

72.010

Mini-Grant Program (1976B)
Archived (1992B)

72.011

State Volunteer Services Coordinator Program (1977B)
State Office of Voluntary Citizen Participation (1979U1)
State Office of Voluntarism (1986B)
Archived (1989U)

72.012

Special Volunteer Programs (1979U1)
Volunteer Demonstration Program (1981U)
Archived (1991B)

72.013

Support Services Assistance (1980U1)
Technical Assistance Program (1981U)
Archived (1991B)

72.014

Drug Alliance (1989B)
Archived (1994B)

72.015

Literacy Corps (1989B)
Number changed to 94.010 (1995B)

SPECIAL ACTION OFFICE FOR DRUG ABUSE PREVENTION

73.001

Drug Abuse Prevention_Pharmacological Research (1973U)
Archived (1975B)

73.002

Drug Abuse Prevention_Planning and Coordinating Functions (1973U)
Archived (1975B)

73.003

Drug Abuse Prevention_Incentives Program (1973U)
Archived (1975B)

AMERICAN REVOLUTION

BICENTENNIAL ADMINISTRATION

74.001

Bicentennial Administration_Matching Project Grants (Non-Appropriated) (1974B)
Archived (1976B)

74.002

Bicentennial Administration_Matching Project Grants (Appropriated) (1974B)
Archived (1976B)

74.003

Bicentennial Administration_Direct Grants (1974B)
Archived (1976B)

OLD WEST REGIONAL COMMISSION

Appendix V: Historical Profile of Catalog Programs

75.001

Old West Regional Economic Development (1975B)
Archived (1981U)

75.002

Old West Technical and Planning Assistance (1975B)
Archived (1981U)

75.003

Old West Supplements to Federal Grant-in-Aid (1977B)
Archived (1981U)

75.007

Old West Health and Nutrition Demonstration Projects (1977U)
Archived (1981U)

PACIFIC NORTHWEST REGIONAL COMMISSION

76.001

Pacific Northwest Economic Development (1975B)
Pacific Northwest Regional Economic Development (1975U)
Archived (1981U)

76.002

Pacific Northwest Technical and Planning Assistance (1975B)
Archived (1981U)

76.004

Pacific Northwest Regional Transportation (1977U)
Archived (1981U)

76.005

Pacific Northwest Energy Demonstration Projects and Programs (1977U)
Archived (1981U)

76.008

Pacific Northwest Education Demonstration Projects (1977U)
Archived (1981U)

NUCLEAR REGULATORY COMMISSION

77.001

Radiation Control_Training Assistance and Advisory Counseling (1975B)
Transferred from 24.027 (1975B)
Deleted (2004)

77.002

Radiological Emergency Response_Training Assistance (1979B)
Transferred to 83.402 (1980B)

77.003

Enhance Technology Transfer and Dissemination of Nuclear Energy Process and Safety Information (1980U2)
Archived (1995U)
Reinstated (1997B)
Archived (1998B)

77.004

Enhance Technology Advancement of Nuclear Energy Safety (1980U2)
Archived (1982B)

77.005

Financial Assistance for NRC Local Public Document Rooms (LPDRs) (1990B)
Deleted (2004)

77.006

U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (2006)

77.007

U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (2008)

77.008

U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (2007)

77.009

Office of Research Program (2009)
U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (2009)

COMMODITY FUTURES TRADING COMMISSION

78.001

Agricultural Futures Markets Supervision (1975U)
Transferred from 10.100 (1975U)
Split into 78.002, 78.004 (1977B)

78.002

Commodity Futures Market Reports (1977B)
Split from 78.001 (1977B)
Archived (1985U)

78.003

Commodity Futures Consumer Information (1977B)
Archived (1981B)

78.004

Commodity Futures Customer Inquiries, Complaints, and Reparation Claims (1977B)
Split from 78.001 (1977B)
Commodity Futures Reparations Claims (1979B)
Archived (2017)

SOUTHWEST BORDER REGIONAL

COMMISSION

79.001

Southwest Border Regional Economic Development (1977U)
Archived (1981U)

79.002

Southwest Border Technical and Planning Assistance (1977U)
Archived (1981U)

FEDERAL ENERGY ADMINISTRATION

80.001

State Energy Conservation Program (1976U)
Transferred to 81.041 (1978B)

80.002

Weatherization Assistance Program for Low-Income Persons (1977U)
Transferred to 81.042 (1978B)

80.003

Supplemental State Energy Conservation Program (1977U)
Transferred to 81.043 (1978B)

DEPARTMENT OF ENERGY

81.001

Access Permits (1978B)
Transferred from 24.001 (1978B)
Archived (1979U1)

81.002

Motion Picture Film Libraries (1978B)
Transferred from 24.002 (1978B)
Archived (1983B)

81.003

Granting of Patent Licenses (1978B)
Transferred from 24.003 (1978B)

81.004

University-Laboratory Cooperative Program (1978B)
Transferred from 24.004 (1978B)
Consolidated from 81.097 (1997B)
Archived (1998B)

81.007

Faculty Training Institutes, Short Courses, and Workshops on Energy and Environmental Subjects (1978B)
Transferred from 24.007 (1978B)
Faculty Development Projects in Energy (1978U)
Teacher Development Projects in Energy (1979B)
Archived (1983U)

81.011

Reactor Sharing and Fuel Assistance (1978B)
Transferred from 24.011 (1978B)

Appendix V: Historical Profile of Catalog Programs

University Reactor Fuel Assistance (1980B) University Reactor Sharing and Fuel Assistance (1980U1) Consolidated into 81.049 (1992B)	81.036 Energy-Related Inventions (1978B) Transferred from 24.036 (1978B) Inventions and Innovations (2001U)	Archived (1980B)
81.020 Traineeships for Graduate Students in Energy Related Fields (1978B) Transferred from 24.020 (1978B) Archived (1979B)	81.037 Research and Development_Fission, Fossil, Solar, Geothermal, Electric and Storage Systems, Magnetic Fusion (1978B) Transferred/Consolidated from 24.024, 24.034 (1978B) Research and Development_Fission, Fossil, Solar, Geothermal, Electric and Storage Systems (1980B) Archived (1980U1)	81.046 Research and Development in Biomedical and Environmental Sciences (1978B) Transferred/Split from 24.034, 24.034 (1978B) Environmental Research and Impact Assessments (1978U) Archived (1980B)
81.022 Energy-Related Laboratory Equipment Grants (1978B) Transferred from 24.022 (1978B) Used Energy-Related Laboratory Equipment Grants (1981U) Laboratory Equipment Donation Program (2011) Archived (2017)	81.038 Energy Information Administration_Clearinghouse (EIAC) (1978B) Energy Information Administration_Clearinghouse (1980B) Archived (1982B)	81.047 PREFACE (Pre-Freshman and Cooperative Education for Minorities in Engineering) (1978B) PREFACE (Pre-Freshman and Cooperative Education for Minorities and Women in Engineering) (1978U) Pre-Freshman and Cooperative Education for Minorities and Women in Engineering (1980B) Pre-Freshman Education for Minorities and Women in Engineering (1980U1) Pre-Freshman Engineering for Minorities and Women (1980U2) Archived (1981B) Reinstated (1983U) Pre-Freshman Engineering (1983U) Pre-Freshman Enrichment (1990B) Consolidated into 81.049 (1992B)
81.023 Information Services_Exhibits, Public Speakers, Publications, Reference, and Analysis (1978B) Transferred from 24.023 (1978B) Information Services_Exhibits, Public Speakers, and Publications (1979B) Archived (1983B)	81.039 National Energy Information Center (NEIC) (1978B) National Energy Information Center (1980B) Deleted (2007)	81.048 Materials Allocation (1978B) Priorities and Allocations for Energy Programs and Projects (1980B) Archived (1996B)
81.026 Payments in Lieu of Property Taxes (1978B) Transferred from 24.026 (1978B) Archived (1979U1)	81.040 Grants for Offices of Consumer Services (1978B) Archived (1982B)	81.049 Basic Energy Sciences, High Energy and Nuclear Physics, and Advanced Technology and Assessment Projects (1978B) Transferred/Split from 24.024 (1978B) Basic Energy Sciences, High Energy/Nuclear Physics, Fusion Energy, and Program Analysis (1980B) Basic Energy Sciences, High Energy/Nuclear Physics, Fusion Energy, Program Analysis and Field Operations Management (1980U2) Basic Energy Sciences, High Energy/Nuclear Physics, Fusion Energy, Health and Environmental Research, Program Analysis and Field Operations Management (1981B) Incorporated into 81.049, 81.073, 81.074 (1981U) Basic Energy Sciences, High Energy/Nuclear Physics, Magnetic Fusion Energy, Health and Environmental Research, Program Analysis and Field Operations Management (1983U) Basic Energy Services, High Energy and Nuclear Physics, Magnetic Fusion Energy, Health and Environmental Research, Program Analysis and Field Operations Management (1985B) Basic Energy Sciences_University and Science Education (1991B) Consolidated from 81.011, 81.047 (1992B)
81.028 Radiological Emergency Assistance (1978B) Transferred from 24.028 (1978B) Archived (1991B)	81.041 State Energy Conservation Program (1978B) Transferred from 80.001 (1978B) State Energy Conservation (1980B) Consolidated from 81.052 (1995U) State Energy Program (1997B)	
81.029 Nuclear Industry Seminars (1978B) Transferred from 24.029 (1978B) Uranium Industry Seminars (1980B) Archived (1983U)	81.042 Weatherization Assistance Program for Low-Income Persons (1978B) Transferred from 80.002 (1978B) Weatherization Assistance for Low-Income Persons (1980B)	
81.030 Work Experience (1978B) Transferred from 24.030 (1978B) Archived (1980B)	81.043 Supplemental State Energy Conservation Program (1978B) Transferred from 80.003 (1978B) Supplemental State Energy Conservation (1980B) Archived (1983B)	
81.033 Citizens' Workshops (1978B) Transferred from 24.033 (1978B) Archived (1980U1)	81.044 Public Education in Energy (1978B) Archived (1980B)	
81.035 Research and Development in Energy Conservation (1978B) Transferred from 24.035 (1978B) Archived (1980U1)	81.045 Special Studies and Projects in Energy Education and Training (1978B)	

Appendix V: Historical Profile of Catalog Programs

Office of Energy Research Financial Assistance Program (1997B)	National Clubs, Organizations and Associations Energy Awareness Projects (1981B)	81.074 Alcohol Fuels Loan Guarantees (1981U) Split from 81.049 (1981U) Archived (1983B)
Office of Science Financial Assistance Program (1999B)	Archived (1983B)	
81.050 Energy Extension Service (1978B) Archived (1994B)	81.063 Office of Minority Economic Impact Loans (1980U2) Archived (1993U)	81.075 Energy Graduate Traineeship Program (1981U) Archived (1983U)
81.051 Appropriate Energy Technology (1979U1) Archived (1985U)	81.064 DOE Technical Information Center (1980U2) Office of Scientific and Technical Information (1984B) Archived (2016)	81.076 Indian Energy Resources (1983B) Archived (1986U)
81.052 Energy Conservation for Institutional Buildings (1979U1) Consolidated into 81.041 (1995U)	81.065 Research and Development Nuclear Energy Programs (1980U2) Nuclear Waste Disposal Siting (1983B) Archived (2013)	81.077 University Research Instrumentation (1983U) Archived (1996B)
81.053 Public Utility Regulatory Innovative Rates Support (1979U1) Archived (1982B)	81.066 American Indian Energy Production and Efficiency (1980U2) Archived (1982B)	81.078 Industrial Energy Conservation (1983U) Consolidated into 81.086 (1992U)
81.054 Public Utility Regulatory Support (1979U1) Archived (1981U)	81.067 Technical Assistance for Local and Tribal Governments (1980U2) Archived (1982B)	81.079 Biomass Energy Technology (1983U) Biofuels and Municipal Waste Technology (1985U) Regional Biomass Programs (1990U) Regional Biomass Energy Programs (1992U)
81.055 Small Hydroelectric Power Project Feasibility Studies (1980B) Archived (1981U)	81.068 Biomass Loan Guarantees (1980U2) Archived (1982B)	81.080 Energy Policy, Planning and Development (1983U) Domestic and International Energy Policy Development (1992B) Consolidated from 81.088 (1992B) Policy, Planning and Program Development (1993U) Office of Policy and Financial Assistance (1995B) Office of Policy Financial Assistance (1996B) Archived (1998B)
81.056 Coal Loan Guarantees (1980B) Archived (1987U)	81.069 Gasoline Rationing_State Preimplementation Activities (1980U2) Archived (1982B)	81.081 Energy Task Force for the Urban Consortium (1983U) Archived (2001B)
81.057 University Coal Research (1980B)	81.070 Energy Auditor Training and Certification Grants (1980U2) Archived (1982B)	81.082 Management and Technical Assistance for Minority Business Enterprises (1984B) Deleted (2004)
81.058 Geothermal Loan Guarantees (1980B) Archived (1983B)	81.071 Emergency Energy Conservation Act Plans (1980U2) Archived (1982B)	81.083 Minority Educational Institution Research Travel Fund (1984B) Archived (1995B)
81.059 Clearinghouse for Community Energy Efficiency (1980B) Archived (1982B)	81.072 Conservation Retrofit Delivery_Research and Development (1981U) Archived (1982B)	81.084 Minority Honors Vocational Training (1984B) Minority Honors Training and Industrial Assistance Program (1988B) Archived (1994B)
81.060 Electric and Hybrid Vehicle Loan Guaranties (1980B) Archived (1983B)	81.073 Geothermal Technologies_Research and Development (1981U) Split from 81.049 (1981U) Archived (1982B)	81.085

Appendix V: Historical Profile of Catalog Programs

National Energy Information Clearinghouse (1984B)	Clean Coal Technology Program (1990B) Archived (1994B)	81.107 Oil Recovery Demonstration (1993U) Archived (1996B)
National Minority Energy Information Clearinghouse (1985B)	81.097 Science and Engineering Research Semester (1989B) Consolidated into 81.004 (1997B)	81.108 Epidemiology and Other Health Studies Financial Assistance (1994B) Archived (1996B)
Minority Energy Information Clearinghouse (1992B)	81.098 Minority Undergraduate Training for Energy Related Careers (1991B) Archived (1995B)	Epidemiology and Other Health Studies Financial Assistance Program, Reinstated (1999B)
Archived (1997B)	81.099 Minority Math/Science Leadership Development Recognition (1991B) Archived (1993B)	81.109 Financial Assistance Program_Science Education and Technical Information (1994U) Archived (1997B)
81.086 Conservation Research and Development (1984U) Consolidated from 81.078 (1992U)	81.102 Academic Partnerships (1991B) Archived (1998B)	81.110 National Resource Center for Plutonium (1995B) Archived (2003B)
81.087 Renewable Energy Research and Development (1984U)	81.103 Technology Integration (1991B) Consolidated into 81.104 (1993U)	81.111 Alternative Fuel Transportation Program (1996B) Archived (1997B)
81.088 International Affairs and Energy Emergencies (1984U) Consolidated into 81.080 (1992B)	81.104 Technology Development for Environmental Restoration and Waste Management (1992U) Consolidated from 81.103 (1993U)	81.112 Inertial Fusion Science_Support of Stockpile Stewardship (1997U) Stewardship Science Grant Program (1999B)
81.089 Fossil Energy Research and Development (1984U)	Technology Development for Environmental Management (1994B)	81.113 Nonproliferation and National Security Research (1998B)
81.090 State Heating Oil Grants (1985B) Archived (1988B)	Office of Science and Technology for Environmental Management (2000B)	Defense Nuclear Nonproliferation Research (2000B)
81.091 Socioeconomic and Demographic Research, Data and Other Information (1985U) Socioeconomic and Demographic Research, Data Analytic Model Development and Information (1993U) Archived (1997B)	Office of Technology Development and Deployment for Environmental Management (2003B)	81.114 University Nuclear Science and Reactor Support (1998B) Archived (2009)
81.092 Remedial Action and Nuclear Waste Technology (1986B) Remedial Action and Waste Technology (1987U) Environmental Restoration (1990B) Archived (1995B)	81.104 OFFICE OF ENVIRONMENTAL WASTE PROCESSING (2008)	81.116 Science and Engineering Training to Support Diversity- Related Programs (1998U) Deleted (2004)
81.093 Nuclear Energy Policy, Planning and Development (1986U) Archived (1991B)	Office of Environmental Waste Processing (2008)	81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (1999B)
81.094 Minority Educational Institution Assistance (1986U) Archived (1991U)	Environmental Remediation and Waste Processing and Disposal (2013)	81.118 Solar Energy Partnership Support and Barrier Elimination (1999B) Archived (2001B)
81.095 Nuclear Energy, Reactor Systems, Development, and Technology (1987B) Archived (1995B)	81.105 National Industrial Competitiveness through Energy, Environment, and Economics (1993B)	81.119 State Energy Program Special Projects (2000B)
81.096 Innovative Clean Coal Technology (1988B)	81.106 Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns and Proposed Solutions (1993B)	81.120 Arms Control and Nonproliferations Policy Analysis (2001B)
	Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions, and Environmental Monitoring (1994U)	
	Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (1995B)	
	States and Tribal Concerns, Proposed Solutions (2003B)	

Appendix V: Historical Profile of Catalog Programs

Rescinded (2003B)
Deleted (2003)

81.121

Nuclear Energy Research Initiative (2001U)
Nuclear Energy Research, Development and Demonstration (2003B)

81.122

Electricity Delivery and Energy Reliability, Research, Development and Analysis (2005)

81.123

National Nuclear Security Administration (NNSA) Historically Black Colleges and Universities (HBCU) Program (2005)
National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program (2006)

81.124

PREDICTIVE SCIENCE ACADEMIC ALLIANCE PROGRAM (PSAAP) (2007)
Predictive Science Academic Alliance Program (2008)

81.126

Federal Loan Guarantees for Innovative Energy Technologies (2006)

81.127

Energy Efficient Appliance Rebate Program (EEARP) (2009)

81.128

Energy Efficiency and Conservation Block Grant Program (EECBG) (2009)

81.129

Energy Efficiency and Renewable Energy Technology Deployment, Demonstration and Commercialization (2009)

81.130

Carbon Capture and Storage-FutureGen 2.0 (2010)
Archived (2013)

81.131

Expand and Extend Clean Coal Power Initiative (2009)
Archived (2013)

81.132

Geologic Sequestration Site Characterization (2009)
Archived (2013)

81.133

Geologic Sequestration Training and Research Grant Program (2009)
Archived (2013)

81.134

Industrial Carbon Capture and Storage (CCS) Application (2009)
Archived (2013)

81.135

Advanced Research and Projects Agency Energy Financial Assistance Program (2009)
Advanced Research Projects Agency - Energy (2013)

81.136

Long-Term Surveillance and Maintenance (2009)

81.137

Minority Economic Impact (2012)

81.138

State Heating Oil and Propane Program (2012)

81.139

Environmental Management R&D for HEPA Filters, High-Level Tank Waste Mixing & Sampling (2012)
Environmental Management R&D and Validation Testing on High Efficient Particulate Air (HEPA) Filters (2014)
Environmental Management R&D and Validation Testing on High Efficiency Particulate Air (HEPA) Filters (2014)

81.140

Los Alamos National Laboratory - Fire Protection (2013)

81.214

Environmental Monitoring/Cleanup, Cultural and Resource Mgmt., Emergency Response Research, Outreach, Technical Analysis (2010)

81.250

Energy Policy and Systems Analysis (2016)

UNITED STATES INFORMATION AGENCY

82.001

Educational Exchange_Graduate Students
Transferred from 19.101 (1978B)
Number changed to 19.400 (2000B)

82.002

Educational Exchange_University Lecturers (Professors) and Research Scholars
Transferred from 19.102 (1978B)
Number changed to 19.401 (2000B)

82.003

Private Sector Programs (1980B)
Archived (1981B)

82.004

International Visitors Program (1998B)
Number changed to 19.402 (2000B)

82.005

Near and Middle East Research and Training Act (NMERTA) (1997U)
Foreign Language and Area Studies_U.S. Students and Scholars (1998B)
Archived (1999B)

82.006

American Council of Young Political Leaders (1999B)
Number changed to 19.403 (2000B)

82.009

Professional Development_International Educators/Administrators (1997U)
Number changed to 19.404 (2000B)

82.010

College and University Partnerships Program (1998U)
Number changed to 19.405 (2000B)

82.011

College and University Affiliations Program (1998B)
Number changed to 19.406 (2000B)

82.012

Teacher Exchange_New Independent States (NIS) (1998B)
Number changed to 19.407 (2000B)

82.013

Educational Exchange_Teachers from Secondary and Postsecondary Levels (1997U)
Number changed to 19.408 (2000B)

82.015

Creative Arts Grants (1998B)
Number changed to 19.409 (2000B)

82.016

Educational Exchange_Congress-Bundestag Youth Exchange (1998B)
Number changed to 19.410 (2000B)

82.018

Educational Exchange_NIS Secondary School Initiative (1998B)
Number changed to 19.411 (2000B)

82.021

Educational Exchange_Faculty (1997U)
Archived (1998B)

82.026

Educational Exchange_Postgraduates and Faculty (1997U)

Appendix V: Historical Profile of Catalog Programs

Number changed to 19.412 (2000B)

82.030

Cultural Exchange (Performing Arts) (1998U)
Number changed to 19.413 (2000B)

82.032

Cultural Exchange (1997U)
Cultural Exchange (Visual Arts) (1998U)
Number changed to 19.414 (2000B)

82.033

Professional Exchange_Annual Open Grant (1997U)
Number changed to 19.415 (2000B)

82.034

Exchange_English Teaching Fellows (1997U)
Number changed to 19.416 (2000B)

82.035

Exchange_English as a Foreign Language (EFL) Fellows (1997U)
Number changed to 19.417 (2000B)

82.038

Educational Exchange_American Studies Institutes (1997U)
Number changed to 19.418 (2000B)

FEDERAL EMERGENCY MANAGEMENT ADMINISTRATION

83.001

Academy Planning Assistance (1975B)
Transferred from 11.700 (1979B)
Number changed to 83.404 (1982B)

83.002

State Fire Incident Reporting Assistance (1977B)
Transferred from 11.701 (1979B)
Number changed to 83.407 (1983B)

83.003

Public Education Assistance Program (1979B)
Archived (1983B)

83.004

Technical Support Services (1980B)
Number changed to 83.408 (1983B)

83.005

Arson Task Force Assistance Program (1980U1)
Archived (1983B)

83.006

Student Stipend Program (1980U2)
Number changed to 83.405 (1982B)

83.007

Reimbursement for Firefighting on Federal

Property (1980U2)

Number changed to 83.409 (1983B)
Number changed from 83.409 (1989B)
Transferred to 97.016 (2003B)

83.008

Community-Based Anti-Arson Program (1984U)
Number changed from 83.410 (1989B)
Archived (1996B)

83.009

National Fire Academy_Training Assistance
Number changed from 83.405 (1991B)
National Fire Academy Training Assistance (1993B)
Archived (1996B)
Reinstated (1996U)
Transferred to 97.018 (2003B)

83.010

National Fire Academy Educational Program
Number changed from 83.411 (1991B)
Transferred to 97.019 (2003B)

83.011

Hazardous Materials Training Program for Implementation of the Superfund Amendment and Reauthorization Act (SARA) of 1986 (1993B)
Transferred/Split into 83.552 (2000B)
Transferred to 97.020 (2003B)

83.012

Hazardous Materials Exercise Assistance Program (1993B)
Hazardous Materials Assistance Program (1994B)
Consolidated into 83.534 (1996B)
Reinstated (2000B)
Transferred to 97.021 (2003B)

83.013

National Fire Academy Fire Executive Fellowship Program (1994B)
Archived (1995B)

83.100

Flood Insurance (1980B)
Transferred from 14.001 (1980B)
Transferred to 97.022 (2003B)

83.101

Urban Property Insurance (1980B)
Transferred from 14.002 (1980B)
Archived (1984U)

83.102

Crime Insurance (1980B)
Transferred from 14.003 (1980B)
Archived (1984U)

83.103

State Assistance Program_National Flood Insurance Program (1980U1)
Number changed to 83.501 (1982B)

83.104

Acquisition of Flood-Damaged Structures (1981B)
Number changed to 83.502 (1982B)
Number changed from 83.502 (1990U)
Archived (1995B)

83.105

Community Assistance Program_State Support Services Element (CAP-SSSE) (1993B)
Transferred to 97.023 (2003B)

83.200

Civil Defense_State and Local Management (1980B)
Transferred from 12.315 (1980B)
Emergency Management Assistance (1980U2)
Number changed to 83.503 (1982B)

83.201

Civil Defense_State and Local Maintenance and Services (1980B)
Transferred from 12.319 (1980B)
State and Local Maintenance and Services (1980U2)
Number changed to 83.504 (1982B)

83.203

State Disaster Preparedness Grants (1980B)
Transferred from 14.702 (1980B)
Number changed to 83.505 (1982B)

83.204

Earthquake and Hurricane Loss Study and Contingency Planning Grants (1980B)
Number changed to 83.506 (1982B)

83.205

Civil Defense_Industrial Preparedness Planning (1980B)
Transferred from 12.309 (1980B)
Industrial Preparedness Planning (1980U2)
Number changed to 83.507 (1982B)

83.206

Civil Defense_Radiological Instrument Maintenance and Calibration (1980B)
Transferred from 12.310 (1980B)
Radiological Instruments Maintenance and Calibration (1980U2)
Radiological Systems Maintenance (1981U)
Number changed to 83.508 (1982B)

83.207

Civil Defense_National Shelter Survey (1980B)
Transferred from 12.312 (1980B)
National Shelter Survey (1980U2)

Appendix V: Historical Profile of Catalog Programs

Number changed to 83.509 (1982B)	Emergency Management Institute_Field Studies Program (1984U) Number changed to 83.528 (1991B)	Demonstration Activity (1981U) Archived (1984U)
83.208 Civil Defense_Radiation Shielding Design (1980B) Transferred from 12.316 (1980B) Radiation Shielding Design (1980U2) Number changed to 83.510 (1982B)	83.404 Academy Planning Assistance (1982B) Number changed from 83.001 (1982B) Archived (1983B)	83.501 State Assistance Program (1982B) Number changed from 83.103 (1982B) Archived (1985U)
83.209 State Radiological Defense Officers (1981B) Number changed to 83.511 (1982B)	83.405 Student Stipend Program (1982B) Number changed from 83.006 (1982B) National Fire Academy Student Stipend Program (1983U) Number changed to 83.009 (1991B)	83.502 Acquisition of Flood-Damaged Structures (1982B) Number changed to 83.104 (1990U)
83.210 State and Local Emergency Operating Centers (1981B) Number changed to 83.512 (1982B)	83.406 Training and Fire Programs Educational Program (1983B) National Emergency Training Center_Training Program (1983U) Archived (1986B)	83.503 Emergency Management Assistance (1982B) Number changed from 83.200 (1982B) Civil Defense_State and Local Emergency Management Assistance (1987B) Consolidated into 83.534 (1996B)
83.211 Civil Defense_State and Local Supporting Materials (1981B) Number changed to 83.513 (1982B)	83.407 State Fire Incident Reporting Assistance (1977B) Number changed from 83.002 (1983B) Archived (1984U)	83.504 State and Local Maintenance and Services (1982B) Number changed from 83.201 (1982B) Other State and Local Direction, Control and Warning (1984U) Consolidated into 83.532 (1993B)
83.212 Nuclear Civil Protection Planning (1981U) Number changed to 83.514 (1982B)	83.408 Technical Support Services (1980B) Number changed from 83.004 (1983B) Archived (1984B)	83.505 State Disaster Preparedness Grants (1982B) Number changed from 83.203 (1982B) Consolidated into 83.552 (2000B)
83.300 Disaster Assistance (1980B) Transferred from 14.701 (1980B) Number changed to 83.516 (1982B)	83.409 Reimbursement for Firefighting on Federal Property (1980U2) Number changed from 83.007 (1983B) Number changed to 83.007 (1989B)	83.506 Earthquake and Hurricane Loss Study and Contingency Planning Grants (1982B) Number changed from 83.204 (1982B) Earthquake and Hurricane Preparedness Planning Grants (1983B) Earthquake and Hurricane Preparedness Grants (1984U) Archived (1988B)
83.400 Emergency Management Institute_Student Expense Program (1980B) Transferred from 12.314 (1980B) Emergency Management Institute_Training Assistance (1983U) Number changed to 83.527 (1991B)	83.410 Community-Based Anti-Arson Program (1984U) Number changed to 83.008 (1989B)	83.507 Industrial Preparedness Planning (1982B) Number changed from 83.205 (1982B) Archived (1983B)
83.401 Emergency Management_Architect/Engineer Student Development (1980B) Transferred from 12.324 (1980B) Emergency Management Institute_Architect/Engineer Student Program (1983U) Incorporated into 83.525 (1990B)	83.411 National Fire Academy Educational Program (1989U) Number changed to 83.010 (1991B)	83.508 Radiological Systems Maintenance (1982B) Number changed from 83.206 (1982B) Radiological Instrumentation (1984U) Archived (1988B)
83.402 Radiological Emergency Response_Training Assistance (1980B) Transferred from 77.002 (1980B) Emergency Management Institute Radiological Emergency Response_Training (1983U) Incorporated into 83.400 (1989U)	83.412 Emergency Management Institute (EMI)_Home Study Program (1989U) Number changed to 83.529 (1991B)	83.509 National Shelter Survey (1982B) Number changed from 83.207 (1982B) Facility Survey, Engineering and Development (1984B) Archived (1993B)
83.403 Training and Education Field Deployment (1981B) National Emergency Training Center_Field Training Program (1983U)	83.500 General Research, Development, and	83.510

Appendix V: Historical Profile of Catalog Programs

Radiation Shielding Design (1982B)
 Number changed from 83.208 (1982B)
 Shelter Development (1983B)
 Facility Survey Development (1986B)
 Archived (1993B)

83.511

State Radiological Defense Officers (1982B)
 Number changed from 83.209 (1982B)
 Radiological Protection Program (1985B)
 Radiological Protection Planning and Development (1986B)
 Radiological Defense Planning and Development (1987U)
 Archived (1988B)

83.512

State and local Emergency Operating Centers (1982B)
 Number changed from 83.210 (1982B)
 Consolidated into 83.532 (1993B)

83.513

State and Local Supporting Materials (1982B)
 Number changed from 83.211 (1982B)
 State and Local Warning and Communication Systems (1983B)
 Consolidated into 83.532 (1993B)

83.514

Nuclear Civil Protection Planning (1982B)
 Number changed from 83.212 (1982B)
 Population Protection Planning (1983B)
 Consolidated into 83.531 (1993B)

83.515

Broadcast Station Protection Program (1982B)
 Emergency Broadcast System Guidance and Assistance (1983B)
 Consolidated into 83.532 (1993B)

83.516

Disaster Assistance (1982B)
 Number changed from 83.300 (1982B)
 Split into 83.537, 83.538, 83.539, 83.540, 83.541, 83.542, 83.543, 83.544, 83.545, 83.548 (1997U)

83.517

Electromagnetic Pulse (EMP) Technical Support (1983B)
 Consolidated into 83.532 (1993B)

83.518

Emergency Public Information Competitive Challenge Grants (1984U)
 Archived (1988B)

83.519

Hazard Mitigation Assistance (1985U)
 Archived (1995B)

83.520

Hurricane Preparedness Grants (1988B)
 Hurricane Program (1994B)
 Consolidated into 83.535 (1997U)

83.521

Earthquake Hazards Reduction Grants (1988B)
 Consolidated into 83.535 (1997U)

83.522

Radiological Defense (1988B)
 Consolidated into 83.531 (1993B)

83.523

Federal Emergency Management Food and Shelter Program (1989B)
 Emergency Food and Shelter National Board Program (1996U)
 Transferred to 97.024 (2003B)

83.524

Superfund Permanent Relocation Assistance Program (1989U)
 Archived (1992U)

83.525

Shelter Survey Technician Program_Job Qualification Training (1990B)
 Archived (1993B)

83.526

National Urban Search and Rescue (US&R) Program (1991B)
 National Urban Search and Rescue (US&R) Response System (1995B)
 Transferred to 97.025 (2003B)

83.527

Emergency Management Institute_Training Assistance
 Number changed from 83.400 (1991B)
 Transferred to 97.026 (2003B)

83.528

Emergency Management Institute_Field Training Program
 Number changed from 83.403 (1991B)
 Consolidated into 83.534 (1996B)

83.529

Emergency Management Institute (EMI)_Home Study Program
 Number changed from 83.412 (1991B)
 Emergency Management Institute (EMI)_Independent Study Program (1993U)
 Transferred to 97.027 (2003B)

83.530

Emergency Management Institute (EMI)_Resident Educational Program

Number changed from 83.413 (1991B)
 Transferred to 97.028 (2003B)

83.531

State and Local Emergency Management Assistance_Other Assistance
 Consolidated from 83.514, 83.522 (1993B)
 Consolidated into 83.534 (1996B)

83.532

Facilities and Equipment
 Consolidated from 83.504, 83.512, 83.513, 83.515, 83.517 (1993B)
 Consolidated into 83.534 (1996B)

83.533

COOPs for Digitization of Flood Maps (1995B)
 Archived (1996B)

83.534

Emergency Management_State and Local Assistance
 Consolidated from 83.012, 83.503, 83.528, 83.531, 83.532 (1996B)
 Consolidated into 83.552 (2000B)

83.535

Mitigation Assistance
 Consolidated from 83.520, 83.521 (1997U)
 Consolidated into 83.552 (2000B)

83.536

Flood Mitigation Assistance (1997U)
 Transferred to 97.029 (2003B)

83.537

Community Disaster Loans
 Incorporated from 83.516 (1997U)
 Transferred to 97.030 (2003B)

83.538

Cora Brown Fund
 Incorporated from 83.516 (1997U)
 Transferred to 97.031 (2003B)

83.539

Crisis Counseling
 Incorporated from 83.516 (1997U)
 Transferred to 97.032 (2003B)

83.540

Disaster Legal Services
 Incorporated from 83.516 (1997U)
 Transferred to 97.033 (2003B)

83.541

Disaster Unemployment Assistance
 Incorporated from 83.516 (1997U)
 Transferred to 97.034 (2003B)

Appendix V: Historical Profile of Catalog Programs

<p>83.542 Fire Suppression Assistance Incorporated from 83.516 (1997U) Transferred to 83.55 (2002B)</p>	<p>83.554 Assistance to Firefighters Grant (2002B) Transferred to 97.044 (2003B)</p>	<p>(1980U1) Transferred from 13.400 (1980U1) Adult Education_State Administered Basic Grant Program (1988U) Adult Education_State Grant Program (1995U) Adult Education - Basic Grants to States (2008)</p>
<p>83.543 Individual and Family Grants Incorporated from 83.516 (1997U) Transferred to 97.035 (2003B)</p>	<p>83.555 Cooperating Technical Partners (2002B) Transferred to 97.045 (2003B)</p>	<p>84.003 Bilingual Education (1980U1) Transferred from 13.403 (1980U1) Archived (1998B)</p>
<p>83.544 Public Assistance Grants Incorporated from 83.516 (1997U) Transferred to 97.036 (2003B)</p>	<p>83.556 Fire Management Assistance Grant (2002B) Transferred to 97.046 (2003B)</p>	<p>84.004 Civil Rights Technical Assistance and Training (1980U1) Transferred from 13.405 (1980U1) Desegregation Assistance, Civil Rights Training, and Advisory Services (1989U) Civil Rights Training and Advisory Services (1997B) Civil Rights Training and Advisory Services (also known as Equity Assistance Centers) (2016)</p>
<p>83.545 Disaster Housing Program Incorporated from 83.516 (1997U) Transferred to 97.037 (2003B)</p>	<p>83.557 Pre-Disaster Mitigation (2002B) Transferred to 97.047 (2003B)</p>	<p>84.005 College Library Resources (1980U1) Transferred from 13.406 (1980U1) Archived (1985B)</p>
<p>83.546 National Arson Prevention Initiative (1997U) Archived (1998U)</p>	<p>83.558 Federal Assistance to Individuals and Households_Housing (2002B) Transferred to 97.048 (2003B)</p>	<p>84.006 Teacher Centers (1980U1) Transferred from 13.416 (1980U1) Archived (1982B)</p>
<p>83.547 First Responder Anti-Terrorism Training Assistance (1997U) First Responder Counter-Terrorism Training Assistance (1999B) Transferred to 97.038 (2003B)</p>	<p>83.559 Federal Assistance to Individuals and Households_Disaster Housing Operations (2002B) Transferred to 97.049 (2003B)</p>	<p>84.007 Supplemental Educational Opportunity Grants (1980U1) Transferred from 13.418 (1980U1) Federal Supplemental Education Opportunity Grants (1992U)</p>
<p>83.548 Hazard Mitigation Grant Incorporated from 83.516 (1997U) Transferred to 97.039 (2003B)</p>	<p>83.560 Federal Assistance to Individuals and Households_Other Needs (2002B) Transferred to 97.050 (2003B)</p>	<p>84.008 Alcohol and Drug Abuse Education Program (1980U1) Transferred from 13.420 (1980U1) Archived (1982B) Reinstated (1983U) Alcohol and Drug Abuse Education (1983U) Archived (1987B)</p>
<p>83.549 Chemical Stockpile Emergency Preparedness Program (1998U) Transferred to 97.040 (2003B)</p>	<p>83.562 State and Local All Hazards Emergency Operations Planning (2000B) Transferred to 97.051 (2003B)</p>	<p>84.009 Program for Education of Handicapped Children in State Operated or Supported Schools (1980U1) Transferred from 13.427 (1980U1) Education of Handicapped Children in State Operated or Supported Schools (1990U) Education of Children with Disabilities in State Operated or Supported Schools (1994B) Archived (1995U)</p>
<p>83.550 National Dam Safety Program (1998U) Transferred to 97.041 (2003B)</p>	<p>83.563 Emergency Operations Centers (2000B) Transferred to 97.052 (2003B)</p>	<p>84.010</p>
<p>83.551 Project Impact_Building Disaster Resistant Communities (1998U) Archived (2002B)</p>	<p>83.564 Citizen Corps (2000B) Transferred to 97.053 (2003B)</p>	
<p>83.552 Emergency Management Performance Grants (1999U) Consolidated from 83.011, 83.505, 83.534, 83.535 (2000B) Transferred to 97.042 (2003B)</p>	<p>83.565 Community Emergency Response Teams (2000B) Transferred to 97.054 (2003B)</p>	
<p>83.553 State Fire Training Systems Grants (2001B) Transferred to 97.043 (2003B)</p>	<p>83.566 Interoperable Communications Equipment (2000B) Transferred to 97.055 (2003B)</p>	
	DEPARTMENT OF EDUCATION	
	<p>84.001 Academic Facilities Reconstruction and Renovation for Removal of Architectural Barriers (1980U1) Archived (1981U)</p>	
	<p>84.002 Adult Education_State-Administered Program</p>	

Appendix V: Historical Profile of Catalog Programs

Educationally Deprived Children_Local Educational Agencies (1980U1) Transferred from 13.428 (1980U1) Chapter 1 Programs_Local Educational Agencies (1990U) Chapter 1 Grants to Local Educational Agencies (1994U) Title I Grants to Local Educational Agencies (1995B)	(2008)	84.022 Fulbright-Hays Training Grants_Doctoral Dissertation Research Abroad (1980U1) Transferred from 13.441 (1980U1) International: Overseas_Doctoral Dissertation (1994U) Overseas_Doctoral Dissertation (2003B) Overseas Programs - Doctoral Dissertation Research Abroad (2008)
84.011 Educationally Deprived Children_Migrants (1980U1) Transferred from 13.429 (1980U1) Migrant Education Program_State Formula Grant Program (1980U2) Migrant Education_Basic State Formula Grant Program (1981U) Migrant Education_Basic State Grant Program (1994U) Migrant Education_State Grant Program (2002B)	84.016 International Studies Programs (1980U1) Transferred from 13.435 (1980U1) International Studies Program (1981B) Undergraduate International Studies and Foreign Language Programs (1981U)	84.023 Handicapped_Research and Demonstration (1980U1) Transferred from 13.443 (1980U1) Research in the Education of the Handicapped (1981B) Handicapped_Research and Demonstration (1981U) Handicapped_Innovation and Development (1985B) Disabled_Innovation and Development (1991B) Special Education_Innovation and Development (1993B) Archived (1998B)
84.012 Educationally Deprived Children_State Administration (1980U1) Transferred from 13.430 (1980U1) Archived (1995U)	84.017 Foreign Language and Area Studies_Research (1980U1) Transferred from 13.436 (1980U1) International Research and Studies (1981B) Archived (2014) Reinstated (2017)	84.024 Handicapped Early Childhood Assistance (1980U1) Transferred from 13.444 (1980U1) Handicapped Early Childhood Education (1985B) Early Education for Children with Disabilities (1991B) Archived (1998B)
84.013 Educationally Deprived Children in State Administered Institutions Serving Neglected or Delinquent Children (1980U1) Transferred from 13.431 (1980U1) Neglected and Delinquent Children (1984B) Chapter 1 Program for Neglected and Delinquent Children (1990U) Title I Program for Neglected and Delinquent Children (1995B) Title I State Agency Program for Neglected and Delinquent Children (2010) Title I State Agency Program for Neglected and Delinquent Children and Youth (2011)	84.018 Teacher Exchange (1980U1) Transferred from 13.437 (1980U1) Summer Seminars Aboard (1984U) Fulbright-Hays Training Grants_Seminars Abroad (1986B) Fulbright-Hays Seminar Abroad_Special Bilateral Projects (1986U) Fulbright-Hays Seminars Abroad_Bilateral Projects (1989U) International: Overseas Seminars Abroad_Bilateral Projects (1994U) Overseas Seminars Abroad_Bilateral Projects (2003B) Overseas Programs_Special Bilateral Projects (2004)	84.025 Handicapped Innovative Programs_Deaf-Blind Centers (1980U1) Transferred from 13.445 (1980U1) Handicapped Innovative Programs_Deaf-Blind (1984B) Deaf-Blind Centers (1985B) Handicapped Education_Deaf-Blind Centers (1987U) Services for Deaf-Blind Children and Youth (1991B) Services for Children with Deaf-Blindness (1992B) Archived (1998B)
84.014 Follow Through (1980U1) Transferred from 13.433 (1980U1) Archived (1995U)	84.019 Fulbright-Hays Training Grants_Faculty Research Abroad (1980U1) Transferred from 13.438 (1980U1) International: Overseas_Faculty Research Abroad (1994U) Overseas_Faculty Research Abroad (2003B) Overseas Programs - Faculty Research Abroad (2008) Archived (2012)	84.026 Handicapped Media Services and Captioned Films (1980U1) Transferred from 13.446 (1980U1) Media and Captioning for Individuals with Disabilities (1991B) Media and Captioning Services for Individuals with Disabilities (1994B) Archived (1998B)
84.015 International Studies Centers and Foreign Language Area (1980U1) Transferred from 13.434 (1980U1) National Resource Centers and Fellowships in International Studies (1981B) National Resource Centers and Fellowships Program for Language and Area or Language and International Studies (1983B) National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	84.020 Fulbright-Hays Training Grants_Foreign Curriculum Consultants (1980U1) Transferred from 13.439 (1980U1) Archived (1988U)	84.027 Handicapped Preschool and School Programs (1980U1)
	84.021 Fulbright-Hays Training Grants_Group Projects Abroad (1980U1) Transferred from 13.440 (1980U1) International: Overseas_Group Projects Abroad (1994U) Overseas_Group Projects Abroad (2003B) Overseas Programs - Group Projects Abroad (2008)	

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Transferred from 13.449 (1980U1)
Handicapped_State Grants (1985U)
Special Education_State Grants (1991B)
Special Education_Grants to States (1994U)

84.028

Handicapped Regional Resource Centers (1980U1)
Transferred from 13.450 (1980U1)
Handicapped Regional Resource and Federal Centers (1987U)
Disabled_Regional Resource and Federal Centers (1991B)
Special Education_Regional Resource and Federal Centers (1993B)
Special Education Resource Centers (1994B)
Special Education_Regional Resource Centers (1994B)
Archived (1998B)

84.029

Handicapped Personnel Preparation (1980U1)
Transferred from 13.451 (1980U1)
Training Personnel for the Education of the Handicapped (1983B)
Special Education Personnel Development (1985B)
Handicapped Education_Special Education Personnel Development (1987U)
Special Education_Special Education Personnel Development (1991B)
Special Education_Special Education Personnel Development and Parent Training (1992B)
Special Education Personnel Development and Parent Training (1994B)
Special Education_Personnel Development and Parent Training (1994B)
Archived (1998B)

84.030

Handicapped Teacher Recruitment and Information (1980U1)
Transferred from 13.452 (1980U1)
Handicapped Teacher Recruitment and Information Dissemination (1984B)
Handicapped Teacher Recruitment and Information (1985B)
Clearinghouses for the Handicapped Program (1987U)
Clearinghouses for the Disabled (1991B)
Clearinghouses for Individuals with Disabilities (1992B)
Archived (1998B)

84.031

Higher Education_Strengthening Developing Institutions (1980U1)
Transferred from 13.454 (1980U1)
Higher Education_Institution Aid (1985B)

84.032

Higher Education Act Insured Loans (1980U1)

Transferred from 13.460 (1980U1)
Guaranteed Student Loans (1989B)
Federal Family Education Loans (1993U)
Archived (2012)

84.033

College Work-Study Program (1980U1)
Transferred from 13.463 (1980U1)
Federal Work-Study Program (1992U)

84.034

Public Library Services (1980U1)
Transferred from 13.464 (1980U1)
Library Services (1985U)
Public Library Services (1990U)
Archived (1997B)

84.035

Interlibrary Cooperation (1980U1)
Transferred from 13.465 (1980U1)
Interlibrary Cooperation and Resource Sharing (1986U)
Interlibrary Cooperation (1990U)
Interlibrary Cooperation and Resource Sharing (1991B)
Archived (1997B)

84.036

Library Training (1980U1)
Transferred from 13.468 (1980U1)
Library Career Training (1981U)
Library Education and Human Resource Development (1992U)
Library Education and Training (1993U)
Archived (1997B)

84.037

National Defense/Direct Student Loan Cancellations (1980U1)
Transferred from 13.470 (1980U1)
National Defense/National Direct/Perkins Loan Cancellations (1988B)
National Defense/National Direct/Federal Perkins Loan Cancellations (1992U)
Federal Perkins Loan Cancellations (1994B)
Loan Cancellations (2001B)
Perkins Loan Cancellations (2003B)
Archived (2012)

84.038

National Defense/Direct Student Loans (1980U1)
Transferred from 13.471 (1980U1)
Perkins Loans (1987B)
Perkins Loan Program (1990B)
Perkins Loan Program_Federal Capital Contributions (1990U)
Federal Perkins Loan Program_Federal Capital Contributions (1992U)
Archived (2010)

84.039

Library Research and Demonstration (1980U1)
Transferred from 13.475 (1980U1)
Archived (1997B)

84.040

School Assistance in Federally Affected Areas_Construction (1980U1)
Transferred from 13.477 (1980U1)
Impact Aid_Construction (1987U)
Impact Aid_Facilities Maintenance (1995U)

84.041

School Assistance in Federally Affected Areas_Maintenance and Operation (1980U1)
Transferred from 13.478 (1980U1)
Impact Aid_Maintenance and Operation (1987U)
Impact Aid_Maintenance and Operations (1990U)
Impact Aid (1995U)

84.042

Special Services for Disadvantaged Students (1980U1)
Transferred from 13.482 (1980U1)
Student Support Services (1987B)
TRIO_Student Support Services (1995U)

84.043

Strengthening State Educational Agency Management (1980U1)
Transferred from 13.486 (1980U1)
Archived (1982B)

84.044

Talent Search (1980U1)
Transferred from 13.488 (1980U1)
TRIO_Talent Search (1995U)

84.045

Teacher Corps_Operations and Training (1980U1)
Transferred from 13.489 (1980U1)
Archived (1982B)

84.046

Community Service and Continuing Education_State Grants (1980U1)
Transferred from 13.491 (1980U1)
Continuing Education Outreach_State Administered Program (1981B)
Archived (1983B)

84.047

Upward Bound (1980U1)
Transferred from 13.492 (1980U1)
TRIO_Upward Bound (1995U)

84.048

Vocational Education_Basic Grants to States (1980U1)
Transferred from 13.493 (1980U1)

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Career and Technical Education -- Basic Grants to States (2008)	Emergency School Aid Act_Grants to Nonprofit Organizations (1980U1) Transferred from 13.529 (1980U1) Archived (1982B)	84.065 Educational Television and Radio (Programming) (1980U1) Transferred from 13.541 (1980U1) Educational Television and Radio Programming (1981B) Archived (1983B)
84.049 Vocational Education_Consumer and Homemaking Education (1980U1) Transferred from 13.494 (1980U1) Vocational Education_Consumer and Homemaker Education (1985B) Archived (1996B)	84.058 Emergency School Aid Act_Educational Television and Radio (1980U1) Transferred from 13.530 (1980U1) Archived (1982B)	84.066 Educational Opportunity Centers (1980U1) Transferred from 13.543 (1980U1) TRIO_Educational Opportunity Centers (1995U)
84.050 Vocational Education_Program Improvement and Supportive Service (1980U1) Transferred from 13.495 (1980U1) Archived (1985B)	84.059 Emergency School Aid Act_Special Programs and Projects (1980U1) Transferred from 13.532 (1980U1) Split/Incorporated into 84.106, 84.107, 84.108, 84.109, 84.110 (1981B)	84.067 Use of Technology in Basic Skills Instruction (1980U1) Transferred from 13.544 (1980U1) Archived (1983B)
84.051 Vocational Education_Program Improvement Projects (1980U1) Transferred from 13.498 (1980U1) National Vocational Education Research (1985B) Vocational Education_National Centers for career and Technical Education (2002B) Vocational Education_National Programs (2004) Career and Technical Education -- National Programs (2008)	84.060 Development Awards Program_Indian Education_Local Educational Agencies and Tribal Schools (1980U1) Transferred from 13.534 (1980U1) Indian Education_Entitlement Grants to Local Educational Agencies and Tribal Schools (1981U) Indian Education_Formula Grants to Local Educational Agencies and Tribal Schools (1984U) Indian Education_Formula Grants to Local Educational Agencies (1988U) Indian Education_Grants to Local Educational Agencies (1994U)	84.068 Indochina Refugee Children Assistance (1980U1) Transferred from 13.545 (1980U1) Archived (1982B)
84.052 Vocational Education_Special Programs for the Disadvantaged (1980U1) Transferred from 13.499 (1980U1) Archived (1985B)	84.061 Indian Education_Special Programs and Projects (1980U1) Transferred from 13.535 (1980U1) Archived (1995U)	84.069 Grants to States for State Student Incentives (1980U1) Transferred from 13.548 (1980U1) State Student Incentives Grants (1994U) Leveraging Educational Assistance Partnership (1999B) Archived (2012)
84.053 Vocational Education_State Advisory Councils (1980U1) Transferred from 13.500 (1980U1) Vocational Education_State Councils (1985B) Archived (1997B)	84.062 Indian Education_Adult Indian Education (1980U1) Transferred from 13.536 (1980U1) Indian Education_Adult Education (1990U) Archived (1997B)	84.070 Ethnic Heritage Studies Program (1980U1) Transferred from 13.549 (1980U1) Archived (1982B)
84.054 Vocational Education_Leadership Development Awards Program (1980U1) Transferred from 13.503 (1980U1) Archived (1981B)	84.063 Basic Educational Opportunity Grant Program (1980U1) Transferred from 13.539 (1980U1) Pell Grant Program (1981B) Federal Pell Grant Program (1992U)	84.071 State Postsecondary Education Commissions Program_Intrastate Planning (1980U1) Transferred from 13.550 (1980U1) Incorporated into 84.046 (1981B)
84.055 Higher Education_Cooperative Education (1980U1) Transferred from 13.510 (1980U1) Archived (1997B)	84.064 Higher Education_Veterans' Cost of Instruction Program (1980U1) Transferred from 13.540 (1980U1) Higher Education_Veterans Education Outreach Program (1987B) Archived (1994B)	84.072 Indian Education_Grants to Indian-Controlled Schools (1980U1) Transferred from 13.551 (1980U1) Archived (1996B)
84.056 Emergency School Aid Act_Basic Grants to Local Educational Agencies (1980U1) Transferred from 13.525 (1980U1) Archived (1982B)		84.073 National Diffusion Program (National Diffusion Network) (1980U1) Transferred from 13.553 (1980U1) National Diffusion Program (1981B) National Diffusion Network (1981U) Archived (1997B)
84.057		84.074 Career Education (1980U1)

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Transferred from 13.554 (1980U1)
Archived (1982B)

84.075

Public Service Education_Institutional Grants and Fellowships (1980U1)
Transferred from 13.555 (1980U1)
Incorporated into 84.094 (1981B)

84.076

Community Service and Continuing Education_Special Projects (1980U1)
Transferred from 13.557 (1980U1)
Archived (1980U2)

84.077

Bilingual Vocational Training (1980U1)
Transferred from 13.558 (1980U1)
Archived (1996B)

84.078

Regional Education Programs for Deaf and Other Handicapped Persons (1980U1)
Transferred from 13.560 (1980U1)
Postsecondary Education Programs for Handicapped Persons (1984B)
Postsecondary Education Programs for Persons with Disabilities (1991B)
Special Education_Postsecondary Education Programs for Persons with Disabilities (1994U)
Archived (1998B)

84.079

Education for the Use of the Metric System of Measurement (1980U1)
Transferred from 13.561 (1980U1)
Archived (1982B)

84.080

Education for Gifted and Talented Children and Youth (1980U1)
Transferred from 13.562 (1980U1)
Archived (1982B)

84.081

Community Education (1980U1)
Transferred from 13.563 (1980U1)
Archived (1982B)

84.082

Consumers' Education (1980U1)
Transferred from 13.564 (1980U1)
Archived (1982B)

84.083

Women's Educational Equity (1980U1)
Transferred from 13.565 (1980U1)
Women's Educational Equity Act Program (1994U)
Women's Educational Equity Program (2010)
Archived (2012)

84.084

Elementary and Secondary School Education in the Arts (1980U1)
Transferred from 13.566 (1980U1)
Archived (1982B)

84.085

Domestic Mining and Mineral and Mineral Fuel Conservation Fellow (1980U1)
Transferred from 13.567 (1980U1)
Incorporated into 84.094 (1981B)

84.086

Innovative Programs for Severely Handicapped Children (1980U1)
Transferred from 13.568 (1980U1)
Handicapped Education_Severely Handicapped Program (1987U)
Special Education_Severely Disabled Program (1991B)
Special Education_Program for Severely Disabled Children (1994U)
Archived (1998B)

84.087

Indian Education_Fellowships for Indian Students (1980U1)
Transferred from 13.569 (1980U1)
Archived (1997B)

84.088

Instructional Materials and School Library Resources (1980U1)
Transferred from 13.570 (1980U1)
Archived (1982B)

84.089

Improvement in Local Educational Practice (1980U1)
Transferred from 13.571 (1980U1)
Archived (1982B)

84.090

Health Education Assistance Loans (1980U1)
Transferred from 13.574 (1980U1)
Transferred to 13.108 (1981B)

84.091

Strengthening Research Library Resources (1980U1)
Transferred from 13.576 (1980U1)
Improving Access to Research Library Resources (1992U)
Archived (1996B)

84.092

Guidance, Counseling, and Testing in Elementary/Secondary Schools (1980U1)
Transferred from 13.577 (1980U1)
Archived (1981B)

84.093

Emergency Adult Education Program for Indochina Refugees (1980U1)
Transferred from 13.579 (1980U1)
Archived (1982B)

84.094

Graduate and Professional Opportunities (1980U1)
Transferred from 13.580 (1980U1)
Graduate and Professional Study (1981B)
Patricia Roberts Harris Fellowships (1987B)
Harris Fellowships (1993U)
Archived (1997B)

84.095

Citizen Educational for Cultural Understanding Program (1980U1)
Transferred from 13.581 (1980U1)
International Understanding Program (1981B)
Archived (1982B)

84.096

Incentive Grants for State Student Financial Assistance Training (1980U1)
Transferred from 13.582 (1980U1)
Archived (1981U)

84.097

Law School Clinical Experience Program (1980U1)
Transferred from 13.584 (1980U1)
Archived (1997B)

84.098

Educational Information Centers (1980U1)
Transferred from 13.585 (1980U1)
Incorporated into 84.046 (1981B)

84.099

Bilingual Vocational Instructor Training (1980U1)
Transferred from 13.586 (1980U1)
Archived (1996B)

84.100

Bilingual Vocational Instructional Materials, Methods, and Techniques (1980U1)
Transferred from 13.587 (1980U1)
Bilingual Vocational Materials, Methods, and Techniques (1985B)
Archived (1996B)

84.101

Vocational Education_Program for Indian Tribes and Indian Organizations (1980U1)
Transferred from 13.588 (1980U1)
Vocational Education_Indian and Hawaiian Natives (1985B)
Vocational Education_Indians (1992U)
Vocational Education_Indians Set-aside (1994U)
Career and Technical Education - Indian Set-aside (2008)

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<p>Career and Technical Education - Grants to Native Americans and Alaska Natives (2010)</p> <p>84.102 Emergency School Aid Act_Magnet Schools, University/Business Cooperation and Neutral Site Planning (1980U1) Transferred from 13.589 (1980U1) Archived (1982B)</p> <p>84.103 Training for Special Programs Staff and Leadership Personnel (1980U1) Transferred from 13.592 (1980U1) Training Program for Federal TRIO Programs (1993B) Higher Education_TRIO Staff Training Program (1994U) TRIO Staff Training Program (2005) TRIO Staff Training Program (2005)</p> <p>84.104 Career Education State Allotment Program (1980U1) Transferred from 13.596 (1980U1) Archived (1982B)</p> <p>84.105 Basic Skills Improvements (1980U1) Transferred from 13.599 (1980U1) Basic Skills Improvement (1981B) Archived (1982B)</p> <p>84.106 Emergency School Aid Act_Planning Grants (1980U1) Transferred from 13.685 (1980U1) Archived (1982B)</p> <p>84.107 Emergency School Aid Act_Pre-Implementation Assistance Grants (1980U1) Transferred from 13.686 (1980U1) Archived (1982B)</p> <p>84.108 Emergency School Aid Act_Out-of-Cycle Grants (1980U1) Transferred from 13.687 (1980U1) Archived (1982B)</p> <p>84.109 Emergency School Aid Act_Special Discretionary Assistance Grants (1980U1) Transferred from 13.688 (1980U1) Archived (1982B)</p> <p>84.110 Emergency School Aid Act_State Agency Grants (1980U1)</p>	<p>Transferred from 13.689 (1980U1) Archived (1982B)</p> <p>84.111 Emergency School Aid Act_Grants for the Arts (1980U1) Transferred from 13.690 (1980U1) Archived (1982B)</p> <p>84.112 Biomedical Sciences for Talented Disadvantaged Secondary Students (1980U1) Transferred from 13.691 (1980U1) Archived (1982B)</p> <p>84.113 Adult Education Program for Adult Immigrants (1980U1) Transferred from 13.692 (1980U1) Archived (1982B)</p> <p>84.114 Capacity Building for Statistical Activities (1980U1) Transferred from 13.922 (1980U1) Capacity-Building Grants for Data Systems in State Agencies (1981U) Archived (1982B)</p> <p>84.115 Institute of Museum Services (1980U1) Transferred from 13.923 (1980U1) Transferred to 45.301 (1982B)</p> <p>84.116 Fund for the Improvement of Postsecondary Education (1980U1) Transferred from 13.925 (1980U1) Archived (2017)</p> <p>84.117 Educational Research and Development (1980U1) Transferred from 13.950 (1980U1) Archived (1996B)</p> <p>84.118 National Adult Education Development and Dissemination Program and Planning Grants (1980U1) Archived (1981B)</p> <p>84.119 Pre-College Teacher Development In Science Program (1980U1) Archived (1982B)</p> <p>84.120 Minority Institutions Science Improvement Program (1980U1) Minority Science Improvement (1987B)</p>	<p>Minority Science and Engineering Improvement (1999B)</p> <p>84.121 Vocational Education_State Planning and Evaluation (1980U1) Archived (1985B)</p> <p>84.122 Secretary's Discretionary Program (1980U1) Archived (1982B) Reinstated (1983U) Secretary's Discretionary (1983U) Archived (1990B)</p> <p>84.123 Law-Related Education (1980U1) Archived (1982B) Reinstated (1983U) Instruction in Civics, Government, and Law (1995B) Archived (1997B)</p> <p>84.124 Territorial Teacher Training Assistance Program (1980U1) Archived (1995U)</p> <p>84.125 Office for Handicapped Individuals (1980U1) Transferred from 13.603 (1980U1) Office of Information and Resources for the Handicapped (1980U2) Clearinghouse on the Handicapped (1981U) Clearinghouse on Disability Information (1989B) Archived (1996B)</p> <p>84.126 Rehabilitation Services_Basic Support (1980U1) Transferred from 13.624 (1980U1) Rehabilitation Services_Vocational Rehabilitation of State Grants (1994B) Rehabilitation Services_Vocational Rehabilitation Grants to States (1994U)</p> <p>84.127 Vocational Rehabilitation Services for Social Security Disability Beneficiaries (1980U1) Transferred from 13.625 (1980U1) Archived (1981B)</p> <p>84.128 Rehabilitation Services_Special Projects (1980U1) Transferred from 13.626 (1980U1) Rehabilitation Services_Service Projects (1984B) Archived (2015)</p> <p>84.129 Rehabilitation Training (1980U1) Transferred from 13.629 (1980U1)</p>
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Rehabilitation Long-Term Training (1993U)	Equivalency Program (1981B)	(1982B)
84.130	Migrant Education_High School Equivalency Program (1981U)	Archived (1984B)
Rehabilitation Services_Innovation and Expansion (1980U1)	84.142	84.153
Transferred from 13.649 (1980U1)	Housing for Educational Institutions (1980U2)	Business and International Education (1983B)
Archived (1981U)	Housing and Other Educational Facilities Loans (1987B)	International Business Education (2000B)
84.131	College Housing and other Educational Facilities Loans (1989B)	Business and International Education Projects (2001B)
Vocational Rehabilitation Services for Supplemental Security Income Beneficiaries (1980U1)	College Facilities Loans (1990B)	Archived (2013)
Transferred from 13.651 (1980U1)	College Housing and Academic Facilities Loans (1991B)	84.154
Archived (1981B)	Archived (1998B)	Library Services and Construction Act_Construction (1983B)
84.132	84.143	Public Library Construction (1985U)
Centers for Independent Living (1980U1)	Emergency School Aid Act_Evaluation Contracts (1980U2)	Public Library Construction and Technology Enhancement (1991B)
Transferred from 13.653 (1980U1)	Archived (1983B)	Archived (1997B)
Archived (2015)	84.144	84.155
84.133	Migrant Education_Discretionary Interstate/Intrastate Coordination Program (1980U2)	Removal of Architectural Barriers to the Handicapped (1983U)
National Institute of Handicapped Research (1980U1)	Migrant Education Program_Discretionary Interstate and Intrastate Coordination (1981B)	Archived (1990B)
Transferred from 13.654 (1980U1)	Migrant Education_Interstate and Intrastate Coordination Program (1981U)	84.157
National Institute on Disability and Rehabilitation Research (1987B)	Migrant Education_Coordination Program (1990B)	Secretary's Initiative to Improve the Quality of Chapter 1, ECIA Projects (1983U)
Archived (2015)	84.145	Archived (1984B)
84.134	Federal Real Property Assistance Program (1980U2)	84.158
Telecommunications Demonstrations for Health, Education and Other Social Services (1980U1)	84.146	Secondary Education and Transitional Services for Handicapped Youth (1984B)
Transferred from 13.680 (1980U1)	Transition Program for Refugee Children (1981B)	Secondary Education and Transitional Services for Youth with Disabilities (1991B)
Archived (1981U)	Archived (1991B)	Archived (1998B)
84.135	84.148	84.159
Aid to Land-Grant Colleges (1980U2)	Allen J. Ellender Fellowship Program (1981B)	Handicapped-Special Studies (1984B)
Transferred to 10.003 (1983U)	Archived (1988B)	Disabled_Special Studies and Evaluation (1991B)
84.136	84.149	Special Studies for Programs with Disabilities (1994B)
Legal Training for the Disadvantaged (1980U2)	Migrant Education Program_Part B_College Assistance Program (1981B)	Special Studies for Persons with Disabilities Program (1994B)
Archived (1997B)	Split from 84.141 (1981B)	Special Education_Special Studies for Persons with Disabilities (1994U)
84.138	Migrant Education_College Assistance Migrant Program (1981U)	Archived (1998B)
Educational Services to Cuban and Haitian Entrant Children (1980U2)	84.151	84.160
Archived (1981U)	Education Consolidation and Improvement Programs_Chapter 2 (1982B)	Training Interpreters for Deaf Individuals (1984B)
84.139	Improving Schools Programs_State Block Grants (1983B)	Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (1993B)
Cities in Schools (1980U2)	Federal, State, and Local Partnerships for Educational Improvement (1988U)	84.161
Archived (1982B)	Chapter 2_State Block Grants (1994U)	Client Assistance for Handicapped Individuals (1984B)
84.140	Archived (1995U)	Client Assistance for Individuals with Handicaps (1990U)
Push for Excellence (1980U2)	84.152	Client Assistance for Individuals with Disabilities (1991B)
Archived (1982B)	Neglected or Delinquent Transition Services	Rehabilitation Services_Client Assistance Program (1991U)
84.141		
High School Equivalency and College Assistance Migrant Program (1980U2)		
Split into 84.141, 84.149 (1981B)		
Migrant Education Program_Part A_High School		

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<p>84.162 Emergency Immigrant Education Assistance (1984U) Emergency Immigrant Education (1987U) Immigrant Education (1995B) Archived (2002B)</p> <p>84.163 Library Services for Indian Tribes and Hawaiian Natives (1985B) Archived (1997B)</p> <p>84.164 State Grants for Strengthening the Skills of Teachers and Instruction in Mathematics, Science, Foreign Languages, and Computer Learning (1985B) State Grants for Strengthening the Skills of Teachers and Instruction in Mathematics and Science (1989B) Mathematics and Science Education (1990B) Eisenhower Mathematics and Science Education_State Grants (1990U) Archived (1995U)</p> <p>84.165 Magnet Schools Assistance (1985B) Magnet Schools Assistance in Desegregating Districts (1991B) Magnet Schools Assistance (1994U)</p> <p>84.167 Library Literacy (1986B) Archived (1996U)</p> <p>84.168 Secretary's Discretionary Program for Mathematics, Science Computer Learning, and Critical Foreign Languages (1985B) National Programs for Strengthening, Teaching, and Administration in Mathematics and Science Programs (1989B) National Program for Mathematics and Science Education (1989U) Eisenhower National Program for Mathematics and Science Education (1991U) Dwight D. Eisenhower National Program for Mathematics and Science Education (1992B) Eisenhower Mathematics and Science Education_National Program (1994U) Eisenhower Professional Development_Federal Activities (1995B) Eisenhower Professional Development_National Activities (1995U) Eisenhower Professional Development_Federal Activities (1997U) Deleted (2004)</p> <p>84.169 Comprehensive Services for Independent Living (1985B)</p>	<p>Independent Living Services (1993B) Independent Living_State Grants (1993U) Archived (2015)</p> <p>84.170 National Graduate Fellows (1985B) Jacob K. Javits Fellowships (1987B) Javits Fellowships (1993U) Archived (2013)</p> <p>84.171 Excellence in Education (1985B) Archived (1988B)</p> <p>84.172 Construction, Reconstruction, and Renovation of Academic Facilities (1985B) Archived (1990B)</p> <p>84.173 Handicapped_Preschool Incentive Grants (1985U) Handicapped_Preschool Grants (1987U) Special Education_Preschool Grants (1991B)</p> <p>84.174 Vocational Education_Community Based Organizations (1986B) Archived (1996B)</p> <p>84.175 Rehabilitation Services_Innovation and Expansion (1986B) Archived (1986U)</p> <p>84.176 Carl D. Perkins Scholarships (1986U) Paul Douglas Teacher Scholarships (1987U) Douglas Teacher Scholarships (1992B) Archived (1997B)</p> <p>84.177 Rehabilitation Services_Independent Living for Older Blind Individuals (1986B) Rehabilitation Services_Independent Living Services for Older Blind Individuals (1987U) Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind (1993B)</p> <p>84.178 Leadership in Educational Administration Development (1986U) Archived (1995B)</p> <p>84.180 Technology, Educational Media and Materials for the Handicapped (1987B) Technology, Educational Media and Materials for Individuals with Disabilities (1991B) Technology Applications for Individuals with</p>	<p>Disabilities (1993B) Technology, Educational Media and Materials for Individuals with Disabilities (1994B) Technology Applications for Individuals with Disabilities (1995U) Archived (1998B)</p> <p>84.181 Handicapped Infants and Toddlers (1987B) Infants and Toddlers with Disabilities (1991B) Grants for Infants and Toddlers with Disabilities (1992U) Grants for Infants and Families with Disabilities (1993U) Special Education_Grants for Infants and Families with Disabilities (1994U) Special Education-Grants for Infants and Families (2008)</p> <p>84.184 National Program for Drug-Free Schools and Communities (1987B) Drug-Free Schools and Communities_National Programs (1990U) Safe and Drug-Free Schools and Communities_National Programs (1996U) School Safety National Activities (formerly, Safe and Drug-Free Schools and Communities-National Programs) (2016)</p> <p>84.185 Robert C. Byrd Honors Scholarships (1987B) Byrd Honors Scholarships (1993U) Archived (2012)</p> <p>84.186 Drug-Free Schools and Communities_State Grants (1987B) Safe and Drug-Free Schools_State Grants (1995B) Safe and Drug-Free Schools and Communities_State Grants (1996U) Archived (2012)</p> <p>84.187 Supported Employment Services for Individuals with Severe Handicaps (1987B) Supported Employment Services for Individuals with Severe Disabilities (1993B) Supported Employment Services for Individuals with Significant Disabilities (2008) Supported Employment Services for Individuals with the Most Significant Disabilities (2010)</p> <p>84.188 Drug-Free Schools and Communities_Regional Centers (1987B) Archived (1995U)</p> <p>84.190 Christa McAuliffe Fellowships (1987B) Archived (1997B)</p>
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<p>84.191 National Adult Education Discretionary Program (1987U) National Adult Education Research (1989B) National Adult Education Discretionary Program (1991B) Adult Education_National Programs (1994U) Adult Education_Evaluation and Technical Assistance (1997B) Adult Education_National Leadership Activities (1998U)</p>	<p>National Workplace Literacy Partnerships (1993U) Workplace Literacy Partnerships (1994U) Archived (1998B)</p>	<p>School Personnel Training (1990B) Drug-Free Schools and Communities_School Personnel Training (1990U) Archived (1995U)</p>
<p>84.192 Adult Education for the Homeless (1987U) Adult Education_Literacy Training for Homeless Adults (1994U) Archived (1997B)</p>	<p>84.199 Vocational Education_Cooperative Demonstration (1988B) Archived (1996B)</p>	<p>84.208 Native Hawaiian Model Curriculum Implementation Project (1988U) Native Hawaiian Model Curriculum Development (1989U) Archived (1995U)</p>
<p>84.193 Demonstration Centers for the Retraining of Dislocated Workers (1987U) Demonstration Centers for the Training of Dislocated Workers (1991B) Archived (1994B)</p>	<p>84.200 Graduate Assistance in Areas of National Need (1988B) Graduate Assistance in Areas of National Need (2005) Graduate Assistance in Areas of National Need (2005)</p>	<p>84.209 Native Hawaiian Family Based Education Centers (1988U) Deleted (2004)</p>
<p>84.194 Bilingual Education Support Services (1987U) Archived (2002B)</p>	<p>84.201 School Dropout Demonstration Assistance (1988B) Dropout Demonstration Assistance (1994U) Dropout Prevention Demonstration Assistance (1995U) Archived (1997B)</p>	<p>84.210 Native Hawaiian Gifted and Talented (1988U) Deleted (2004)</p>
<p>84.195 Bilingual Education Training Grants (1987U) Bilingual Education_Professional Development (1996B) Deleted (2004)</p>	<p>84.202 Grants to Institutions to Encourage Minority Participation in Graduate Education (1988B) Grants to Institutions to Encourage Women and Minority Participation in Graduate Education (1992U) Women and Minority Participation in Graduate Education (1994B) Archived (1996B)</p>	<p>84.211 FIRST Schools and Teachers (1988U) Archived (1995U)</p>
<p>84.196 State Activities_Education of Homeless Children and Youth (1987U) State Activities_Education for Homeless Children and Youth (1990B) Education for Homeless Children and Youth_Grants for State and Local Activities (1992B) Education for Homeless Children and Youth (1994U)</p>	<p>84.203 Star Schools Program (1988B) Star Schools (1994U) Archived (2009)</p>	<p>84.212 FIRST Family School Partnerships (1988U) FIRST Family School Partnership (1989U) Archived (1995U)</p>
<p>84.197 College Library Technology and Cooperation Grants (1988B) College Library Technology (1990U) College Library Technology and Cooperation Grants (1992U) Archived (1996B)</p>	<p>84.204 School, College, and University Partnership (1988U) School, College, and University Partnerships (1991B) Archived (1997B)</p>	<p>84.213 Even Start_Local Education (1988U) Even Start_Local Education Agencies (1989B) Even Start_Local Educational Agencies (1990U) Even Start_State Educational Agencies (1991U) Archived (2012)</p>
<p>84.198 Workplace Literacy Partnership (1988B) Workplace Literacy (1988U) Workplace Literacy Partnerships (1990U) National Workplace Literacy Partnerships (1991B) National Workplace Literacy Program (1991U)</p>	<p>84.206 Jacob K. Javits Gifted and Talented Students (1988U) Jacob K. Javits Gifted and Talented Students Education Grant Program (1991U) Javits Gifted and Talented Students Education Grant Program (1994U) Javits Gifted and Talented Students Education (2011) Archived (2012) Reinstated (2014)</p>	<p>84.214 Even Start_Migrant Education (1988U) Archived (2012)</p>
	<p>84.207 Educational Personnel Training (1989B)</p>	<p>84.215 Innovation in Education (1988U) The Secretary's Fund for Innovation in Education (1989B) Fund for the Improvement of Education (1994U)</p>
		<p>84.216 Private School_Capital Expenses (1988U) Archived (2003B)</p>
		<p>84.217 Ronald E. McNair Post-Baccalaureate Achievement (1989B) McNair Post-Baccalaureate Achievement (1993U) TRIO_McNair Post-Baccalaureate Achievement (2002B)</p>
		<p>84.218 State Improvement (1989B)</p>

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State Program Improvement Grants (1990B) State School Improvement Grants (1996B) Archived (1998B)	Archived (1994B)	84.240 Program of Protection and Advocacy (1991B) Program of Protection and Advocacy of Individual Rights (1992B)
84.219 Student Literacy Corps (1989B) Student Literacy Corps and Student Mentoring Corps Programs (1992U) Student Literacy Corps and Student Mentoring Corps (1994B) Archived (1995B)	84.231 Demonstration and Innovation Projects of National Significance in Technology-Related Assistance for Individuals with Disabilities (1990B) Demonstration and Innovation Projects of National Significance in Assistive Technology for Individuals with Disabilities (1994U) Archived (1995U)	84.241 Counselor Training (1991B) Drug-Free Schools_Counselor Training (1994U) Archived (1995U)
84.220 Center for International Business Education (1989B)	84.232 Mid-Career Teacher Training (1990B) Archived (1993B)	84.242 National Science Scholars (1991B) Archived (1997B)
84.221 Native Hawaiian Special Education (1989B) Deleted (2004)	84.233 Emergency Grants (1991B) Drug-Free Schools and Communities_Emergency Grants (1992B) Archived (1995U)	84.243 Tech-Prep Education (1991B) Archived (2012)
84.222 National School Volunteer Program (1989B) Archived (1991B)	84.234 Projects with Industry (1991B) Archived (2012)	84.244 Business and Education Standards (1991B) Archived (1997B)
84.223 State-Administered English Literacy (1989B) English Literacy Program (1989U) Archived (1995B)	84.235 Special Projects and Demonstrations for Providing Vocational Rehabilitation Services to Individuals with Severe Handicaps (1991B) Special Projects and Demonstrations for Providing Vocational Rehabilitation Services to Individuals with Severe Disabilities (1992B) Rehabilitation Services Demonstration and Training_Special Demonstration Programs (1999B) Rehabilitation Services Demonstration and Training Programs (2003B)	84.245 Tribally Controlled Postsecondary Vocational Institutions (1991B) Tribally Controlled Postsecondary Vocational and Technical Institutions (1999B) Tribally Controlled Postsecondary Career and Technical Institutions (2007)
84.224 State Grants for Technology-Related Assistance to Individuals with Disabilities (1989B) State Grants for Assistive Technology (1994U) Assistive Technology (1999B) Archived (2015)	84.236 Training and Public Awareness Projects in Technology Related Assistance for Individuals with Disabilities (1991B) Training and Public Awareness Projects in Assistive Technology for Individuals with Disabilities (1994U) Archived (1995U)	84.246 Rehabilitation Short-Term Training (1991B)
84.225 Education for Homeless Children and Youth_Exemplary Grants (1989U) Archived (1992B)	84.237 Children and Youth with Serious Emotional Disturbance (1991B) Special Education_Program for Children with Serious Emotional Disturbance (1994U) Archived (1998B)	84.247 Commercial Drivers Education (1991U) Archived (1994B)
84.226 Income Contingent Loan Program (1990B) Archived (1994B)	84.238 Training Programs for Educators_Alcohol Abuse (1991B) Archived (1995B)	84.248 Demonstration Projects for the Integration of Vocational and Academic Learning (1991U) Archived (1997B)
84.227 Secondary Schools_Basic Skills Demonstration Assistance (1990B) Archived (1992B)	84.239 Foreign Language Materials Acquisition (1991B) Archived (1995B)	84.249 Foreign Languages Assistance (1991U) Archived (1995U)
84.228 Educational Partnerships (1990B) Education Partnerships (1994U) Archived (1995B)		84.250 Rehabilitation Services_American Indians with Disabilities (1991U)
84.229 Language Resource Centers (1990B)		84.251 Periodicals Published Outside the United States (1992B) Foreign Periodicals (1993U) Archived (1996B)
84.230 Technology Education Demonstration (1990B)		84.252 Urban Community Services (1992B) Urban Community Service (1994B) Archived (2001B)

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<p>84.253 Supplementary State Grants for Facilities, Equipment, and Other Program Improvement Activities (1992B) Archived (1995B)</p>	<p>84.264 Rehabilitation Training_Continuing Education (1993B)</p>	<p>84.277 Safe Schools Discretionary Grants to Local Education Agencies (1995B) Archived (1995U)</p>
<p>84.254 State Literacy Resource Centers (1992B) Archived (1997B)</p>	<p>84.265 Rehabilitation Training_State Vocational Rehabilitation Unit In-Service Training (1993B) Archived (2015)</p>	<p>84.278 School to Work Opportunities (2001U) Archived (2002B)</p>
<p>84.255 Literacy for Incarcerated Adults (1992B) Literacy Programs for Prisoners (1994U) Deleted (2008)</p>	<p>84.266 Training in Early Childhood Education and Violence Counseling (1993B) Archived (1996B)</p>	<p>84.279 Goals 2000_Assessment Development and Evaluation Grants (1995B) Archived (1996U)</p>
<p>84.256 Territories_Freely Associated States Education Grant Program (1992B) Education Grant Program for the Freely Associated States (1994U) Freely Associated States_Education Grant Program (1997U) Territories and Freely Associated States Education Grant Program (2010)</p>	<p>84.267 State Postsecondary Review (1993U) Archived (1996B)</p>	<p>84.280 Goals 2000_Opportunity-To-Learn Development Grants (1995B) Archived (1996U)</p>
<p>84.257 National Institute for Literacy (1995B) Archived (2010)</p>	<p>84.268 Federal Direct Student Loan (1994B) Federal Direct Loan (1997U) Federal Direct Student Loans (2002B)</p>	<p>84.281 Eisenhower Professional Development State Grants (1995B) Deleted (2004)</p>
<p>84.258 Even Start_Indian Tribes and Tribal Organizations (1992U) Archived (2012)</p>	<p>84.269 Institute for International Public Policy (1994B) Archived (2013)</p>	<p>84.282 Public Charter Schools (1995B) Charter Schools (1997B)</p>
<p>84.259 Native Hawaiian Vocational Education (1992U) Native Hawaiian Career and Technical Education (2007)</p>	<p>84.270 Teacher Corps (1994B) Archived (1995U)</p>	<p>84.283 Comprehensive Regional Assistance Centers (1995B) Comprehensive Centers (2005) Comprehensive Centers (2005)</p>
<p>84.260 National Academy of Science, Space, and Technology (1993B) Archived (1995U)</p>	<p>84.271 Faculty Development Fellowship (1994B) Archived (1996B)</p>	<p>84.284 School Facilities Infrastructure Improvement (1995B) Archived (1995U)</p>
<p>84.261 Dwight D. Eisenhower Leadership Development (1993B) Eisenhower Leadership (1994B) Eisenhower Leadership Program (1994U) Archived (1996B)</p>	<p>84.272 National Early Intervention Scholarship and Partnership (1994B) National Early Intervention Scholarship and Partnership (NEISP) (1994U) National Early Intervention Scholarship and Partnership (1995U) Archived (1999B)</p>	<p>84.285 Family and Community Endeavor Schools Grant Program (1995B) Archived (1995U)</p>
<p>84.262 Minority Teacher Recruitment (1993U) Archived (1999B)</p>	<p>84.273 Demonstration Grants for Critical Language and Area Studies (1994B) Archived (1996U)</p>	<p>84.286 Telecommunications Demonstration Project for Mathematics (1995B) Ready to Teach (2003B) Ready to Teach (2005) Ready to Teach (2005) Archived (2012)</p>
<p>84.263 Rehabilitation Training_Experimental and Innovative Training (1993B) Archived (2012) Reinstated (2015)</p>	<p>84.274 American Overseas Research Centers (1994B)</p>	<p>84.287 21st Century Community Learning Centers Program (1995B) After School Learning Centers (1997B) Twenty-First Century Community Learning Centers (1998B)</p>
	<p>84.275 Rehabilitation Training_General Training (1995U) Archived (2014)</p>	<p>84.288</p>
	<p>84.276 Goals 2000_State and Local Education Systemic Improvement Grants (1995B) Archived (2002B)</p>	

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Bilingual Education_Program Development and Implementation Grants (1995B) Archived (2002B)	Development (1995B) Native Hawaiian and Alaska Native Culture and Arts Development (1996U) Archived (1997B)	84.309 National Institute on Postsecondary Education, Libraries, and Lifelong Learning (1995U) Deleted (2004)
84.289 Bilingual Education_Program Enhancement Grants (1995B) Archived (2002B)	84.301 Olympic Scholarships (1995B) Archived (1995U)	84.310 Goals 2000: Parental Assistance Program (1995U) Goals 2000: Parental Information Resource Centers (2000B) Goals 2000: Parental Assistance (2001B) Parental Assistance Centers (2002B) Parental Information and Resource Centers (2008) Archived (2012)
84.290 Bilingual Education_Comprehensive School Grants (1996U) Archived (2002B)	84.302 Technical Support and Professional Development Consortia for Technology (1995B) Eisenhower Regional Mathematics and Science Education Consortia (1996B) Regional Technical Support and Professional Development Consortia (1996U) Regional Technology in Education Consortia (2002B) Deleted (2005)	84.311 Goals 2000: Tribal Divisions of Education School Reform Program (1995U) Archived (1996U)
84.291 Bilingual Education_Systemwide Improvement Grants (1995B) Archived (2002B)	84.303 Challenge Grants for Technology in Education (1995B) Local Innovation_Challenge Grants for Technology in Education (1996B) Technology Challenge Grants (1997B) Technology Innovation Challenge Grants (1997U) Archived (2002B)	84.312 Goals 2000: Urban/Rural Local Reform Initiative (1995U) Archived (1996U)
84.292 Bilingual Education_Research Programs (1995B) Archived (2002B)	84.304 International Education Exchange (1995B) Cooperative Education Exchange Program (2002B) Civic Education_Cooperative Education Exchange Program (2003B) Civic Education - Cooperative Education Exchange Program (2004) Civic Education - We the People and the Cooperative Education Exchange Program (2010) Archived (2012)	84.313 Even Start_Family Literacy in Women's Prisons Program (1995U) Archived (1998B)
84.293 Foreign Languages Assistance (1995B) Foreign Language Assistance (1997U) Archived (2013)	84.305 National Institute on Student Achievement, Curriculum, and Assessment (1995U) Education Research, Development and Dissemination (2003B)	84.314 Even Start_Statewide Family Literacy Program (1995U) Deleted (2004)
84.294 Foreign Languages Assistance_Incentive Grants (1995B) Archived (1998B) Foreign Language Incentive Program (2002B) Reinstated (2002B) Deleted (2004)	84.306 National Institute on the Education of At-Risk Students (1995U) Deleted (2004)	84.315 Capacity Building for Traditionally Underserved Populations (1995U)
84.295 Ready-To-Learn Television (1995B)	84.307 National Institute on Early Childhood Development and Education (1995U) Deleted (2004)	84.316 Native Hawaiian Higher Education Program (1995U) Deleted (2004)
84.296 Native Hawaiian Community-Based Education Learning Centers (1995B) Deleted (2004)	84.308 National Institute on Educational Governance, Finance, Policymaking, and Management (1995U) Deleted (2004)	84.318 Technology Literacy Challenge Fund Grants (1998B) Education Technology State Grants (2003B) Education Technology State Grants (2005) Education Technology State Grants (2005) Educational Technology State Grants (2010) Archived (2012)
84.297 Native Hawaiian Curriculum Development, Teacher Training and Recruitment (1995B) Deleted (2004)		84.319 Eisenhower Regional Mathematics and Science Education Consortia (1997B) Educational Technology State Grants (2004) Eisenhower Regional Math and Science Consortia (2005) Eisenhower Regional Math and Science Consortia (2005)
84.298 Innovative Education Program Strategies (1995B) State Grants for Innovative Programs (2004) Archived (2009)		
84.299 Indian Education_Special Programs (1995B) Archived (1997B)		
84.300 Alaska and Native Hawaiian Cultural and Arts		

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Eisenhower Retional Math and Science Consortia (2005) Deleted (2006)	Parents of Children with Disabilities (1998B) Special Education_Parent Information Centers (2000B)	Reading Excellence (1999B) Archived (2003B)
84.320 Alaska Native Educational Planning, Curriculum Development, Teacher Training, and Recruitment Program (1997B) Deleted (2004)	84.329 Special Education_Studies and Evaluations (1998B)	84.339 Learning Anytime Anywhere Partnerships (1999B) Deleted (2004)
84.321 Alaska Native Home Based Education for Preschool Children (1997B) Deleted (2004)	84.330 Advanced Placement Test Fee Payment Program (1998B) Advanced Placement Incentive Program (1999B) Advanced Placement Program (2002B) Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (2008)	84.340 Class Size Reduction (1999B) Archived (2003B)
84.322 Alaska Native Student Enrichment Program (1997B) Deleted (2004)	84.331 Grants to States for Incarcerated Youth Offenders (1998B) Grants to States for Workplace and Community Transition Training for Incarcerated Individuals (2009) Archived (2013)	84.341 Community-Based Technology Centers (1999B) Community Technology Centers (2000B) Deleted (2008)
84.323 Special Education_State Program Improvement Grants for Children with Disabilities (1998B) Special Education - State Personnel Development (2005) Special Education - State Personnel Development (2005) Special Education - State Personnel Development (2005) Special Education - State Personnel Development (2005)	84.332 Comprehensive School Reform Demonstration (1999B) Archived (2012)	84.342 Preparing Tomorrow's Teachers to Use Technology (1999B)
84.324 Special Education_Research and Innovation to Improve Services and Results for Children with Disabilities (1998B) Research in Special Education (2005) Research in Special Education (2005)	84.333 Demonstration Projects to Ensure Students with Disabilities Receive a Higher Education (1999B) Demonstration Projects to Support Postsecondary Faculty, Staff, and Administrations in Educating Students with Disabilities (2009) Archived (2012)	84.343 Assistive Technology_State Grants for Protection and Advocacy (1999B) Archived (2015)
84.325 Special Education_Personnel Preparation to Improve Services and Results for Children with Disabilities (1998B) Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (2006)	84.334 Gaining Early Awareness and Readiness for Undergraduate Programs (1999B)	84.344 TRIO_Dissemination (2000U) TRIO_Dissemination Partnership Grants (2001B) Deleted (2008)
84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (1998B)	84.335 Child Care Access Means Parents in School (1999B)	84.345 Underground Railroad Educational and Cultural Program (2000U) Archived (2012)
84.327 Special Education_Technology and Media Services for Individuals with Disabilities (1998B) Special Education_Educational Technology Media, and Materials for Individuals with Disabilities (2013)	84.336 Teacher Quality Enhancement Grants for States and Partnerships (1999B) Teacher Quality Enhancement Grants (2000B) Teacher Quality Partnership Grants (2009)	84.346 Occupational and Employment Information State Grants (2000U) Vocational Education_Occupational and Employment Information State Grants (2003B) Deleted (2008)
84.328 Special Education_Training and Information for	84.337 Technological Innovation and Cooperation for Foreign Information Access (1999B) International Education_Technological Innovation and Cooperation for Foreign Information Access (2003B) Archived (2012)	84.347 Community Scholarship Mobilization (2000U) Archived (2002B)
	84.338	84.348 Title I Accountability Grants (2000U) Deleted (2004)
		84.349 Early Childhood Educator Professional Development (2001U) Archived (2009)
		84.350 Transition to Teaching (2001U) Archived (2017)
		84.351

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<p>Arts in Education (2001U)</p> <p>84.352 Grants for School Repair and Renovation (2001U) School Renovation Grants (2002B) Archived (2003B)</p> <p>84.353 Tech-Prep Demonstration Grants (2001U)</p> <p>84.354 Charter Schools Facilities Financing Demonstration (2002B) Credit Enhancement for Charter School Facilities (2005) Credit Enhancement for Charter School Facilities (2005)</p> <p>84.355 Child Care Provider Loan Forgiveness Demonstration (2002B)</p> <p>84.356 Alaska Native Educational Programs (2001U)</p> <p>84.357 Reading First State Grants (2002B) Archived (2010)</p> <p>84.358 Rural Education Achievement Program (2002B) Rural Education (2003B)</p> <p>84.359 Early Reading First (2002B) Archived (2012)</p> <p>84.360 Dropout Prevention Programs (2002B) School Dropout Prevention Program (2008) High School Graduation Initiative (2010) Archived (2016)</p> <p>84.361 Voluntary Public School Choice (2002B) Archived (2013)</p> <p>84.362 Native Hawaiian Education (2002B)</p> <p>84.363 School Leadership (2002B) School Leader Recruitment and Support (formerly School Leadership) (2016)</p> <p>84.364 Literacy through School Libraries (2002B) Improving Literacy Through School Libraries (2010) Archived (2012)</p>	<p>84.365 English Language Acquisition Grants (2004) English Language Acquisition State Grants (2012)</p> <p>84.366 Mathematics and Science Partnerships (2004)</p> <p>84.367 Improving Teacher Quality State Grants (2004) Supporting Effective Instruction State Grant (formerly Improving Teacher Quality State Grants) (2016) Supporting Effective Instruction State Grants (formerly Improving Teacher Quality State Grants) (2017)</p> <p>84.368 Grants for Enhanced Assessment Instruments (2003B) Deleted (2004) Grants for Enhanced Assessment Instruments (2010) Competitive Grants for State Assessments (formerly Grants for Enhanced Assessment Instruments) (2016)</p> <p>84.369 Grants for State Assessments and Related Activities (2004)</p> <p>84.370 DC School Choice Incentive Program (2005)</p> <p>84.371 Striving Readers (2006) Striving Readers/Comprehensive Literacy Development (2017)</p> <p>84.372 Statewide Data Systems (2006) Statewide Longitudinal Data Systems (2014)</p> <p>84.373 Special Education_Technical Assistance on State Data Collection (2006)</p> <p>84.374 Teacher Incentive Fund (2006) Teacher and School Leader Incentive Grants (formerly the Teacher Incentive Fund) (2016)</p> <p>84.375 Academic Competitiveness Grants (2006) Archived (2012)</p> <p>84.376 National Science and Mathematics Access to Retain Talent (SMART) Grants (2006) Archived (2012)</p> <p>84.377</p>	<p>School Improvement Grants (2008)</p> <p>84.378 College Access Challenge Grant Program (2008) Archived (2016)</p> <p>84.379 Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (2008)</p> <p>84.380 Special Education -- Olympic Education Programs (2008)</p> <p>84.381 Baccalaureate Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages and Master's Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages (2008) Archived (2012)</p> <p>84.382 Strengthening Minority-Serving Institutions (2008)</p> <p>84.383 Homeless Education Disaster Assistance Program (2009) Archived (2012)</p> <p>84.384 Statewide Data Systems, Recovery Act (2009) Archived (2011)</p> <p>84.385 Teacher Incentive Fund, Recovery Act (2009) Archived (2011)</p> <p>84.386 Education Technology State Grants, Recovery Act (2009) Archived (2011)</p> <p>84.387 Education for Homeless Children and Youth, Recovery Act (2009) Archived (2011)</p> <p>84.388 School Improvement Grants, Recovery Act (2009) Archived (2011)</p> <p>84.389 Title I Grants to Local Educational Agencies, Recovery Act (2009) Archived (2011)</p> <p>84.390 Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act (2009) Archived (2011)</p>
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<p>84.391 Special Education Grants to States, Recovery Act (2009) Archived (2011)</p>	<p>Archived (2011)</p>	<p>State Tribal Education Partnership (STEP) (2012)</p>
<p>84.392 Special Education - Preschool Grants, Recovery Act (2009) Archived (2011)</p>	<p>84.403 Consolidated Grant to the Outlying Areas (2009)</p>	<p>84.416 Race to the Top - District Grants (2012) Archived (2015)</p>
<p>84.393 Special Education - Grants for Infants and Families, Recovery Act (2009) Archived (2011)</p>	<p>84.404 Impact Aid -- School Construction Formula Grants, Recovery Act (2009) Archived (2011)</p>	<p>84.417 Directed Grants and Awards (2012)</p>
<p>84.394 State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act (2009) Archived (2011)</p>	<p>84.405 Teacher Quality Partnerships, Recovery Act (2009) Archived (2011)</p>	<p>84.418 Promoting Readiness of Minors in Supplemental Security Income (2013)</p>
<p>84.395 State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act (2009) Archived (2011)</p>	<p>84.406 Capacity Building for Traditionally Underserved Populations, Recovery Act. (2009) Archived (2011)</p>	<p>84.419 Preschool Development Grants (2014)</p>
<p>84.396 State Fiscal Stabilization Fund (SFSF) - What Works and Innovation Fund, Recovery Act (2009) State Fiscal Stabilization Fund (SFSF) - Investing in Innovation (i3) Fund, Recovery Act (2010) Archived (2011)</p>	<p>84.407 Transition Programs for Students with Intellectual Disabilities into Higher Education (2010)</p>	<p>84.420 Performance Partnership Pilots for Disconnected Youth (2014)</p>
<p>84.397 State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act (2009) Archived (2011)</p>	<p>84.408 Postsecondary Education Scholarships for Veteran's Dependents (2010) Postsecondary Education Scholarships for Veteran's Dependents (2010)</p>	<p>84.421 Disability Innovation Fund (DIF) (2015)</p>
<p>84.398 Independent Living State Grants, Recovery Act (2009) Archived (2011)</p>	<p>84.409 Civil Legal Assistance Attorney Student Loan Repayment Program (2010) Archived (2012)</p>	<p>84.422 Presidential and Congressional Academies for American History and Civics (2016) American History and Civics Education (2017)</p>
<p>84.399 Independent Living Services for Older Individuals Who are Blind, Recovery Act (2009) Archived (2011)</p>	<p>84.410 Education Jobs Fund (2010) Archived (2012)</p>	<p>84.423 Supporting Effective Educator Development Program (2016)</p>
<p>84.400 Centers for Independent Living, Recovery Act. (2009) Archived (2011)</p>	<p>84.411 State Fiscal Stabilization Fund (SFSF) - Investing in Innovation (i3) Fund (2011) Investing in Innovation (i3) Fund (2011) Education Innovation and Research (formerly Investing in Innovation (i3) Fund) (2017)</p>	<p>84.424 Student Support and Academic Enrichment Program (2017)</p>
<p>84.401 Impact Aid School Construction, Recovery Act (2009) Archived (2011)</p>	<p>84.412 Race to the Top Early Learning Challenge (2011) Archived (2015)</p>	<p>84.938 Hurricane Education Recovery (2008) Archived (2009)</p>
<p>84.402 Consolidated Grants to the Outlying Areas, Recovery Act (2009)</p>	<p>84.413 Race to the Top (2012) Archived (2013)</p>	<p>BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION <hr/>85.200 Barry M. Goldwater Scholarship Program (1997B) Barry Goldwater Scholarship Foundation (2017)</p>
	<p>84.414 Graduate Research Opportunities for Minority Students (2012) Graduate Research Opportunities for Minority Students (Minorities and Retirement Security Program) (2012)</p>	<p>CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION <hr/>85.100 Christopher Columbus Fellowship Program (1997B) Archived (2009)</p>
	<p>84.415</p>	<p>85.101 Homeland Security Award (2009) Archived (2013)</p>
		<p>85.102 Christopher Columbus Awards (2009)</p>

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Archived (2017)

85.103

Freida J. Riley Teacher Award (2009)
Archived (2010)

85.104

Life Sciences Awards (2009)
Archived (2017)

85.105

Agriscience Awards (2010)
Archived (2017)

HARRY S TRUMAN SCHOLARSHIP FOUNDATION

85.001

Harry S Truman Scholarship Program (1980U1)
Archived (2017)

JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION

85.500

James Madison Memorial Fellowship Program (1997B)
Archived (2017)

MILLENNIUM CHALLENGE CORPORATION

85.002

MCC Foreign Assistance for Overseas Programs (2010)

85.003

MCC Domestic Assistance for Overseas Programs (2010)
Archived (2017)

85.111

Rural Water Investment Evaluations (MOZMBIQUE) (2009)
Archived (2014)

MORRIS K. UDALL FOUNDATION

85.400

Morris K. Udall Scholarship Program (1997B)

85.401

Morris K. Udall Fellowship Program (1997B)
Archived (2013)

85.402

Morris K. Udall Native American Congressional Internship Program (1997B)

SMITHSONIAN INSTITUTION

85.601

Smithsonian Institution Fellowship Program (2008)
Archived (2017)

VIETNAM EDUCATION FOUNDATION

85.801

U.S. Faculty Scholar Grants (2013)

85.802

Fellowship Program (2013)

85.803

Visiting Scholar Grants (2013)

WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS

85.300

Woodrow Wilson Center Fellowships in the Humanities and Social Sciences (1997B)
Archived (2017)

PENSION BENEFIT GUARANTY CORPORATION

86.001

Pension Plan Termination Insurance (1980U2)

CONSUMER PRODUCT SAFETY COMMISSION

87.001

Voluntary Standards Assistance (1980U2)
Archived (1986U)

87.002

Virginia Graeme Baker Pool and Spa Safety (2014)

GULF COAST ECOSYSTEM RESTORATION COUNCIL

87.051

Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component Program (2014)

87.052

Gulf Coast Ecosystem Restoration Council Oil Spill Impact Program (2014)

ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD

88.001

Architectural and Transportation Barriers Compliance (1984U)
Archived (2017)

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

89.001

National Archives Reference Services (1985B)
Transferred from 39.004 (1985B)
National Archives Reference Services -- Historical Research (1988B)

89.002

National Audiovisual Center (1985B)

Transferred from 39.005 (1985B)
Multimedia and Publications Distribution (1993B)
Archived (1995B)

89.003

National Historical Publications and Records Grants (1985B)
Transferred from 39.006 (1985B)

89.005

Cooperative Agreements to Support the Programs of the National Archives and Records Administration (NARA) (2006)
Archived (2013)

BROADCASTING BOARD OF GOVERNORS

90.500

International Broadcasting Independent Grantee Organizations (2008)

COMMISSION ON THE BICENTENNIAL OF THE U.S. CONSTITUTION

90.001

Bicentennial Educational Grant Program (1987U)
Archived (1991B)

90.002

The U.S. Constitution_College-Community Forums (1990B)
Archived (1991B)

DELTA REGIONAL AUTHORITY

90.200

Delta Regional Development (2005)

90.201

Delta Area Economic Development (2005)

90.202

Delta Local Development District Assistance (2005)

90.203

90.201 (2017)
Delta Creative Place-Making Pilot Initiative (2017)

90.204

States' Economic Development Assistance Program (2017)

DENALI COMMISSION

90.100

Denali Commission Program (2000U)

90.199

Shared Services (2017)

JAPAN U.S. FRIENDSHIP COMMISSION

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90.300
Japan-US Friendship Commission Grants (2004)

U.S. ELECTION ASSISTANCE COMMISSION

90.400
Help American Vote College Pollworker Program (2004)
Help America Vote College Pollworker Program (2006)
Help America Vote College Program (2008)
Archived (2017)

90.401
Help America Vote Act Requirements Payments (2005)

90.402
Help America Vote Mock Election Program (2008)
Archived (2017)

90.403
U.S. Election Assistance Commission Research Grants (2010)
Archived (2017)

UNITED STATES INSTITUTE OF PEACE

91.001
International Peace and Conflict Management_Research and Education (1990B)
Unsolicited Grant Program (2000B)
Annual Grant Competition (2009)
Archived (2017)

91.002
International Peace and Conflict Management_Articles and Manuscripts (1990B)
Solicited Grant Program (2000B)
Priority Grant Competition (2009)
Archived (2017)

91.003
91.003 Annual Senior Fellowship Competition (2010)
Archived (2017)

91.004
Public Education for Peacebuilding Awards Program (2013)

NATIONAL COUNCIL ON DISABILITY

92.001
National Council on Disability (1992B)

92.002
National Council on Disability (2017)

DEPARTMENT OF HEALTH AND HUMAN SERVICES

93.001
Civil Rights Compliance Activities (1976B)
Number changed from 13.675 (1990U)
Civil Rights and Privacy Rule Compliance Activities (2004)

93.002
Consumer Affairs (1976B)
Number changed from 13.678 (1990U)
Archived (1999B)

93.003
Public Health and Social Services Emergency Fund (1992U)
Archived (1994B)
Public Health and Social Services Emergency Fund -- Reinstated (1995U)
Archived (2015)

93.004
Cooperative Agreements to Improve the Health Status of Minority Populations (1997U)

93.005
Project Grants for Facilities to Improve the Health Status of Minority Populations (1997U)

93.006
State and Territorial and Technical Assistance Capacity Development Minority HIV/AIDS Demonstration Program (2000B)
Archived (2016)

93.007
Public Awareness Campaigns on Embryo Adoption (2002B)

93.008
Medical Reserve Corps Small Grant Program (2004)

93.009
Compassion Capital Fund (2004)
Archived (2016)

93.010
Community-Based Abstinence Education (CBAE) (2005)
Archived (2015)

93.011
National Organizations of State and Local Officials (2011)

93.012
Improving, Enhancing, and Evaluating Outcomes of Comprehensive Heart Health Care Programs for High-Risk Women (2005)
Archived (2010)

93.013

Ambassadors for Change Program (2006)
Archived (2010)

93.014
Steps to Healthier Girls Program (2006)
Archived (2010)

93.015
HIV Prevention Programs for Women (2006)

93.016
Postal Model for Medical Countermeasures Delivery and Distribution (2010)
Archived (2014)

93.017
Strengthening the Management and Services of the Women's and Children's Hospitals in Kabul (2006)
Archived (2012)

93.018
Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (2006)

93.019
Technical Assistance and Provision for Foreign Hospitals and Health Organizations (2008)
Archived (2012)
Reinstated (2012)

93.020
Family Support Payments to States_Assistance Payments
Number changed from 13.780 (1990U)
Number changed to 93.560 (1992U)

93.021
Job Opportunities and Basic Skills Training
Number changed from 13.781 (1990U)
Number changed to 93.561 (1992U)

93.022
Assistance Payments_Research
Number changed from 13.782 (1990U)
Number changed to 93.562 (1992U)

93.023
Child Support Enforcement
Number changed from 13.783 (1990U)
Number changed to 93.563 (1992U)

93.024
Child Support Enforcement Research
Number changed from 13.784 (1990U)
Number changed to 93.564 (1992U)

93.025
State Legalization Impact Assistance Grants
Number changed from 13.786 (1990U)

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Number changed to 93.565 (1992U)

93.026

Refugee and Entrant Assistance_State Administered Programs
Number changed from 13.787 (1990U)
Number changed to 93.566 (1992U)

93.027

Refugee Assistance_Voluntary Agency Programs
Number changed from 13.788 (1990U)
Number changed to 93.567 (1992U)

93.028

Low-Income Home Energy Assistance
Number changed from 13.789 (1990U)
Number changed to 93.568 (1992U)

93.029

Work Incentive Program/WIN Demonstration Program
Number changed from 13.790 (1990U)
Archived (1991B)

93.030

Emergency Services and Shelter AFDC Transitional Housing Demonstration Program
Number changed from 13.791 (1990U)
Archived (1991B)

93.031

Community Services Block Grant
Number changed from 13.792 (1990U)
Number changed to 93.569 (1992U)

93.032

Community Services Block Grant_Discretionary Awards
Number changed from 13.793 (1990U)
Number changed to 93.570 (1992U)

93.033

Community Block Grant Discretionary Awards_Community Food and Nutrition
Number changed from 13.795 (1990U)
Number changed to 93.571 (1992U)

93.034

Emergency Community Services for the Homeless
Number changed from 13.796 (1990U)
Number changed to 93.572 (1992U)

93.035

Community Services Block Grant Discretionary Awards_Demonstration Partnerships
Number changed from 13.797 (1990U)
Number changed to 93.573 (1992U)

93.036

Child Care for Families At-Risk of Welfare

Dependency (1991B)
Number changed to 93.574 (1992U)

93.037

Payments to States for Day Care Assistance (1991B)
Payments to States for Child Care Assistance (1992B)
Number changed to 93.575 (1992U)

93.038

Refugee and Entrant Assistance_Discretionary Grants (1991U)
Number changed to 93.576 (1992U)

93.039

Child Care Improvement Grants (1991B)
Archived (1992B)

93.040

Family Support Centers Demonstration Program (1992B)
Number changed to 93.578 (1992U)

93.041

Special Programs for the Aging_Title III, Part G_Prevention of Abuse, Neglect, and Exploitation of Older Individuals
Number changed from 93.552 (1992U)
Special Programs for the Aging_Title VII, Chapter 3, Programs for Prevention of Elder Abuse, Neglect, and Exploitation (1993U)

93.042

Special Programs for the Aging_Title III, Part A_Long-Term Care Ombudsman Services for Older Individuals
Number changed from 93.553 (1992U)
Special Programs for the Aging_Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals (1993U)

93.043

Special Programs for the Aging_Title III, Part F_Disease Prevention and Health Promotion Services
Number changed from 93.555 (1992U)
Special Programs for the Aging_Title III, Part F_Disease Prevention and Health Promotion Services (1994B)
Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services (2002B)

93.044

Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers
Number changed from 93.633 (1992U)
Consolidated from 93.046 (2001B)

93.045

Special Programs for the Aging_Title III, Part C_Nutrition Services
Number changed from 93.635 (1992U)

93.046

Special Programs for the Aging_Title III, Part D_In-Home Services for Frail Older Individuals
Number changed from 93.641 (1992U)
Consolidated into 93.044 (2001B)

93.047

Special Programs for the Aging_Title VI, Part A, Indian Programs_Grants to Indian Tribes and Part B, Grants to Native Hawaiians
Number changed from 93.655 (1992U)
Special Programs for the Aging_Title VI, Part A, Grants to Indian Tribes_Part B, Grants to Native Hawaiians (2002B)

93.048

Special Programs for the Aging_Title IV_Training, Research and Discretionary Projects and Programs
Number changed from 93.668 (1992U)
Special Programs for the Aging_Title IV_and Title II_Discretionary Projects (2002B)

93.049

Special Programs for the Aging_Title VII, Chapter 6_Allotments for Vulnerable Elder Rights Protection Programs (1994B)
Archived (2000B)

93.050

Special Programs for the Aging_Title II_Preconference Programs for the White House Conference on Aging (1994U)
Archived (1995B)
Geosciences (2003)

93.051

New Demonstration Grants to States with Respect to Alzheimer's Disease (1999B)
Alzheimer's Disease Demonstration Grants to States (2002B)

93.052

Nation Family Caregiver Support Program (2001B)
National Family Caregiver Support (2002B)
National Family Caregiver Support, Title III, Part E (2007)

93.053

Nutrition Services Incentive Program (2003B)

93.054

National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (2007)

93.055

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Applied Leadership for Community Health Improvement (2011) PPHF 2012-Applied Leadership for Community Health Improvement (2012) PPHF - Applied Leadership for Community Health Improvement (2014) Archived (2016)	Prevention (2005) Chronic Diseases: Research, Control, and Prevention (2008) Archived (2013) Reinstated (2013)	93.083 Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (2013)
93.056 Initiative to Educate State and Territorial Officials about Maintaining and Strengthening Public Health in a Changing Environment (2011) Archived (2016)	93.069 Public Health Emergency Preparedness (2008)	93.084 Prevention of Disease, Disability, and Death by Infectious Diseases (2013)
93.057 National Resource Center for HIV Prevention Among Adolescents (2014)	93.070 Environmental Public Health and Emergency Response (2008)	93.085 Research of the Responsible Conduct of Research (RCR) (2013) Research on Research Integrity (2015)
93.058 Tobacco Regulation Awareness, Communication, and Education Program (2012) Archived (2014)	93.071 Medicare Enrollment Assistance Program (2008)	93.086 Healthy Marriage Promotion and Responsible Fatherhood Grants (2006)
93.059 Training in General, Pediatric, and Public Health Dentistry (2011)	93.072 Lifespan Respite Care Program (2009)	93.087 Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse (2007) Enhance Safety of Children Affected by Substance Abuse (2012)
93.060 Competitive Abstinence Education (CAE) (2012) Sexual Risk Avoidance Education (2016)	93.073 Birth Defects and Developmental Disabilities - Prevention and Surveillance (2010)	93.088 Advancing System Improvements to Support Targets for Healthy People 2010 (2008) Advancing System Improvements for Key Issues in Women's Health (2015)
93.061 Innovations in Applied Public Health Research (2004)	93.075 Systems Interoperability_Health and Human Services (2012) Archived (2016)	93.090 Guardianship Assistance (2009)
93.062 Biomonitoring Programs for State Public Health Laboratories (2008)	93.076 TANF Program Integrity Innovation Grants (2012) Archived (2016)	93.091 Social Services and Income Maintenance Benefits Enrollment Coordination Grants (2010) Archived (2016)
93.063 Centers for Genomics and Public Health (2004) Archived (2013)	93.077 Family Smoking Prevention and Tobacco Control Act Regulatory Research (2012)	93.092 Personal Responsibility Education Program (2010) Affordable Care Act (ACA) Personal Responsibility Education Program (2011)
93.064 Laboratory Training, Evaluation, and Quality Assurance Programs (2004)	93.078 Strengthening Emergency Care Delivery in the United States Healthcare System through Health Information and Promotion (2012)	93.093 Demonstration Projects to Address Health Professions Workforce Needs (2010) Health Profession Opportunity Grants (2011) Affordable Care Act (ACA) Health Profession Opportunity Grants (2011)
93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (2005)	93.079 Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (2013)	93.094 WELL-INTEGRATED SCREENING AND EVALUATION FOR WOMEN ACROSS THE NATION (2013)
93.066 Vital Statistics Re-engineering Program (2004) State Vital Statistics Improvement Program (2007)	93.080 Blood Disorder Program: Prevention, Surveillance, and Research (2013)	93.095 HHS Programs for Disaster Relief Appropriations Act - Non Construction (2013)
93.067 Global AIDS (2005)	93.081 Science Preparedness and Response Grants, Office of Policy and Planning, ASPR (2012) Archived (2013) Reinstated (2013) ASPR Science Preparedness and Response Grants (2013)	93.096
93.068 Chronic Diseases: Research, Control, and	93.082 Sodium Reduction in Communities (2013)	

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HHS Programs for Disaster Relief Appropriations Act - Construction (2013)

93.097

Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (2013)

93.098

Tribal Public Health Capacity Building and Quality Improvement (2013)

93.100

Field-Initiated Small Grants in Minority Health (1993B)

Archived (1995B)

Health Disparities Grants in Minority Health, Reinstated (2001B)

Health Disparities in Minority Health (2002B) Archived (2010)

93.101

Grants for Residential Treatment Programs for Pregnant and Postpartum Women (1993U) Archived (2002B)

93.102

Demonstration Grants for Residential Treatment for Women and Their Children (1993U) Archived (2001B)

93.103

Food and Drug Administration_Research Number changed from 13.103 (1990U)

93.104

Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (1993U)

93.105

Bilingual/Bicultural Service Demonstration Projects in Minority Health (1993U)

Bilingual/Biculture Service Demonstration Grants (2002B)

Archived (2012)

93.106

Minority International Research Training Grant in the Biomedical and Behavioral Sciences (1993U) Consolidated into 93.989 (2006)

93.107

Area Health Education Centers_Model Programs (1993U)

Model State-Supported Area Health Education Centers (1999U)

Area Health Education Centers Point of Service Maintenance and Enhancement Awards (2010)

Area Health Education Centers (2016)

93.108

Health Education Assistance Loans Number changed from 13.108 (1990U) Deleted (2005)

93.109

Linking Community-Based Primary Care, Substance Abuse, HIV/AIDS, and Mental Health Treatment Services (1993U)

Archived (1997B)

93.110

Maternal and Child Health Federal Consolidated Programs

Number changed from 13.110 (1990U)

93.111

Adolescent Family Life Research Grants Number changed from 13.111 (1990U)

Archived (2012)

93.112

Characterization of Environmental Health Hazards Number changed from 13.112 (1990U)

Archived (1991B)

93.113

Biological Response to Environmental Health Hazards

Number changed from 13.113 (1990U)

Environmental Health (2006)

93.114

Applied Toxicological Research and Testing Number changed from 13.114 (1990U)

Deleted (2006)

93.115

Biometry and Risk Estimation_Health Risks from Environmental Exposures

Number changed from 13.115 (1990U)

Deleted (2006)

93.116

Project Grants and Cooperative Agreements for Tuberculosis Control Programs

Number changed from 13.116 (1990U)

93.117

Grants for Preventive Medicine Residency Training Number changed from 13.117 (1990U)

Grants for Preventive Medicine and Dental Public Health (1993B)

Grants for Preventive Medicine (1998B)

Preventive Medicine Residency Program (2010)

Preventive Medicine and Public Health Residency Training Program, Integrative Medicine Program, and National Coordinating Center for Integrative Medicine (2012)

Preventive Medicine and Public Health Residency

Training Program, Integrative Medicine Program, and National Center for Integrative Primary Healthcare (2016)

93.118

Acquired Immunodeficiency Syndrome (AIDS) Activity

Number changed from 13.118 (1990U)

Incorporated from 93.160 (1993B)

93.119

Grants for Technical Assistance Activities Related to the Block Grant for Community Mental Health Services_Technical Assistance Centers for Evaluation (1993U)

Archived (1997B)

93.120

Mental Health Services for Cuban Entrants Number changed from 13.120 (1990U)

Archived (1996B)

93.121

Disorders of Craniofacial Structure and Function, and Behavioral Aspects of Dentistry Number changed from 13.121 (1990U)

Oral Diseases and Disorders Research (1990U)

93.122

Cooperative Agreements for Substance Abuse Treatment and Recovery Systems for Rural, Remote and Culturally Distinct Populations (1993U)

Archived (2000U)

93.123

Health Professions Pregraduate Scholarship Program for Indians

Number changed from 13.123 (1990U)

93.124

Nurse Anesthetist Traineeships

Number changed from 13.124 (1990U)

Nurse Anesthetist Traineeship (2016)

93.125

Mental Health Planning and Demonstration Projects

Number changed from 13.125 (1990U)

Archived (2002B)

93.126

Small Business Innovation Research

Number changed from 13.126 (1990U)

Small Business Innovation Research (NIAAA, NIDA, NIMH) (1992U)

Incorporated into 93.242, 93.273, 93.279 (1993B)

93.127

Emergency Medical Services for Children Number changed from 13.127 (1990U)

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93.128

Grants for Technical Assistance Activities Related to the Block Grant for Community Mental Health Services_Mental Health Statistics Improvement Program (1993U)
Archived (1999B)

93.129

Technical and Non-Financial Assistance to Community Health Centers
Number changed from 13.129 (1990U)
Technical and Non-Financial Assistance to Health Centers (1997B)
Technical and Non-Financial Assistance to Health Centers and National Health Service Corps (NHSC) Delivery Sites (1999U)
Technical and Non-Financial Assistance to Health Centers (2005)

93.130

Primary Care Services Planning and Development Cooperative Agreements
Number changed from 13.130 (1990U)
Primary Care Services_Resource Coordination and Development Primary Care Offices (1995B)
Primary Care Services_Resource Coordination and Development_Primary Care Offices (1999U)
Primary Care Services_Resource Coordination and Development (2002B)
Primary Care Services Resource Coordination and Development (2005)
Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (2007)

93.131

Cooperative Agreements for Addiction Treatment Training Centers (1993U)
Consolidated into 93.230 (1999B)

93.132

Managed Care Demonstration Models for SSI Beneficiaries Disabled Due to Addiction to Alcohol and Other Drugs (1993U)
Archived (1997B)

93.133

Health Services Delivery to Persons with AIDS_Demonstration Grants
Number changed from 13.133 (1990U)
Archived (1992B)

93.134

Assistance for Organ Procurement Organizations
Number changed from 13.134 (1990U)
Grants to Increase Organ Donations (1991B)

93.135

Centers for Research and Demonstration for Health Promotion and Disease Prevention
Number changed from 13.135 (1990U)

93.136

Injury Prevention and Control Research Projects, Injury Prevention Research Centers, Applied Methods in Surveillance Projects, and State and Community-Based Injury Control Projects
Number changed from 13.136 (1990U)
Injury Prevention and Control Research Projects (1990U)
Injury Prevention and Control Research and State Grants Projects (1992U)
Injury Prevention and Control Research and State and Community Based Programs (1996B)

93.137

Minority Community Health Coalition Demonstration
Number changed from 13.137 (1990U)
Community Programs to Improve Minority Health Grant Program (2001B)

93.138

Protection and Advocacy for Mentally Ill Individuals
Number changed from 13.138 (1990U)
Protection and Advocacy for Individuals with Mental Illness (1993B)

93.139

Financial Assistance for Disadvantaged Health Professions Students (FADHPS)
Number changed from 13.139 (1990U)
Financial Assistance for Disadvantaged Health Professions Students (1997B)
Consolidated into 93.925 (2001B)

93.140

Intramural Research Training Award
Number changed from 13.140 (1990U)

93.141

Special State Grant Program for Increasing the Availability of the 9-1-1 Emergency Telephone Number (1993U)
Special Initiatives Trauma Grant Program (1994U)
Archived (1997B)

93.142

NIEHS Hazardous Waste Worker Health and Safety Training
Number changed from 13.142 (1990U)

93.143

NIEHS Superfund Hazardous Substances_Basic Research and Education
Number changed from 13.143 (1990U)

93.144

Drug and Alcohol Abuse Prevention_High-Risk Youth Demonstration Grants
Number changed from 13.144 (1990U)
Demonstration Grants for the Prevention of

Alcohol and Other Drug Abuse Among High-Risk Youth (1991U)
Demonstration Grants for the Prevention of Alcohol and Other Drug Abuse Among High-Risk Youth (1992U)
Demonstration Grants for the Prevention of Alcohol and Other Drug Abuse Among High-Risk Populations (1995B)
Demonstration Grants for the Prevention of Alcohol and Drug Abuse Among High-Risk Populations (1996B)
Archived (2002B)

93.145

AIDS Education and Training Centers
Number changed from 13.145 (1990U)
National AIDS Education and Training Centers (1994U)
AIDS Education and Training Centers (1998B)
HIV-Related Training and Technical Assistance (2016)

93.146

Temporary AIDS Drug Reimbursements
Number changed from 13.146 (1990U)
Archived (1992B)

93.147

Post-Baccalaureate Faculty Fellowships
Number changed from 13.147 (1990U)
Archived (1991B)

93.148

Cooperative Agreements for Collaborative Demonstration Program for Homeless Individuals (1993U)
Archived (1997B)

93.149

Grants for Two-Year Programs of Schools of Medicine or Osteopathic Medicine
Number changed from 13.149 (1990U)
Archived (1993U)

93.150

Mental Health Services for the Homeless Block Grant
Number changed from 13.150 (1990U)
Projects for Assistance in Transition from Homelessness (PATH) (1991B)

93.151

Project Grants for Health Services to the Homeless
Number changed from 13.151 (1990U)
Health Center Grants for Homeless Populations (1997B)
Consolidated into 93.224 (2006)

93.152

Community Demonstration Grant Projects for Alcohol and Drug Abuse Treatment of Homeless

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<p>Individuals Number changed from 13.152 (1990U) Archived (1994)</p> <p>93.153 Pediatric AIDS Health Care Demonstration Program Number changed from 13.153 (1990U) Pediatric Family AIDS Health Care Demonstration Program (1993U) HIV Demonstration Program for Children, Adolescents, and Women (1994B) Coordinated HIV Services and Access to Research for Children, Youth, Women, and Families (2000B) Coordinated Services and Access to Research for Women, Infants, Children, and You (2002B)</p> <p>93.154 Special International Postdoctoral Research Program in Acquired Immunodeficiency Syndrome Number changed from 13.154 (1990U) Archived (2001B)</p> <p>93.155 Rural Health Research Centers Number changed from 13.155 (1990U)</p> <p>93.156 Grants for Faculty Training Projects in Geriatric Medicine and Dentistry Number changed from 13.156 (1990U) Geriatric Training Regarding Physicians and Dentists (1999U) Geriatric Training for Physicians, Dentists, and Behavioral/Mental Health Professionals (2002B) Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (2005) Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (2006)</p> <p>93.157 Excellence in Minority Health Education and Care Number changed from 13.157 (1990U) Programs for Excellence in Health Professions Education for Minorities (1991B) Programs of Excellence in Health Professions Education for Minorities (1991U) Centers of Excellence (1999U)</p> <p>93.158 Adolescent Health Centers for American Indians/Alaska Natives (1993U) Archived (1999B)</p> <p>93.159 Health Care Services in the Home Number changed from 13.159 (1990U) Archived (1994B)</p> <p>93.160</p>	<p>Minority AIDS Education/Prevention Grants Number changed from 13.160 (1990U) Minority AIDS and Related Risk Factors Education/Prevention Grants (1991B) Incorporated into 93.118 (1993B)</p> <p>93.161 Health Program for Toxic Substances and Disease Registry Number changed from 13.161 (1990U) Split into 93.200, 93.201, 93.203, 93.204, 93.205, 93.206, 93.207, 93.208 (1994B)</p> <p>93.162 National Health Service Corps Loan Repayment Number changed from 13.162 (1990U) National Health Service Corps Loan Repayment Program (1993U)</p> <p>93.163 Health Services in the Pacific Basin Number changed from 13.163 (1990U) Archived (1997B)</p> <p>93.164 Indian Health Service Educational Loan Repayment Number changed from 13.164 (1990U)</p> <p>93.165 Grants for State Loan Repayment Number changed from 13.165 (1990U) Grants To States for Loan Repayment Program (2005)</p> <p>93.166 Indian Health Service Health Promotion and Disease Prevention Demonstration Projects Number changed from 13.166 (1990U) Archived (1991B)</p> <p>93.167 Research Facilities Improvement Number changed from 13.167 (1990U) Archived (1991U)</p> <p>93.168 International Cooperative Biodiversity Groups Program (1995U) Consolidated into 93.989 (2006)</p> <p>93.169 Model Projects for Pregnant and Postpartum Women and Their Infants (Substance Abuse) Number changed from 13.169 (1990U) Demonstration Grants on Model Projects for Pregnant and Postpartum Women and Their Infants (Substance Abuse) (1991U) Archived (2000U)</p> <p>93.170</p>	<p>Community Youth Activity Demonstration Grants Number changed from 13.170 (1990U) Archived (1993B)</p> <p>93.171 Community Youth Activity Block Grants Number changed from 13.171 (1990U) Archived (1993B)</p> <p>93.172 Human Genome Research Number changed from 13.172 (1990U)</p> <p>93.173 Biological Research Related to Deafness and Communicative Disorders Number changed from 13.173 (1990U) Research Related to Deafness and Communication Disorders (1990U)</p> <p>93.174 Conference Grant (Substance Abuse) Number changed from 13.174 (1990U) Knowledge Dissemination Grants (Substance Abuse) (1996B) Archived (1999B)</p> <p>93.175 Drug Abuse Treatment Waiting List Reduction Grants Number changed from 13.175 (1990U) Archived (1992B)</p> <p>93.176 ADAMHA Small Instrumentation Program Grants Number changed from 13.176 (1990U) NIAAA, NIDA, and NIMH Small Instrumentation Program Grants (1992U) Incorporated into 93.242, 93.273, 93.279 (1993B)</p> <p>93.177 Integrated Community-Based Primary Care and Drug Abuse Treatment Services Number changed from 13.177 (1990U) Archived (1997B)</p> <p>93.178 Nursing Education Opportunities for Individuals from Disadvantaged Backgrounds Number changed from 13.178 (1990U) Nursing Workforce Diversity (2000B)</p> <p>93.179 State Data Collection_Uniform Alcohol and Drug Abuse Data Number changed from 13.179 (1990U) Archived (1993U)</p> <p>93.180 Medical Treatment Effectiveness Research</p>
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Number changed from 13.180 (1990U)
 Research on Health Care Outcomes and Quality
 (1997U)
 Consolidated into 93.226 (1999B)

93.181

Podiatric Primary Care Residency Training
 Number changed from 13.181 (1990U)
 Grants for Podiatric Primary Care Residency
 Training (1993B)
 Podiatric Residency in Primary Care (1999U)
 Podiatric Residency Training in Primary Care
 (2002B)
 Archived (2009)

93.182

Scholarships for the Undergraduate Education of
 Professional Nurses
 Number changed from 13.182 (1990U)
 Archived (1993B)

93.183

Federal Assistance for Rural Hospitals for the
 Advancement and Improvement of Health Care
 Services and the Enhancement of Quality Care
 Number changed from 13.183 (1990U)
 Archived (1991B)

93.184

Disabilities Prevention
 Number changed from 13.184 (1990U)

93.185

Immunization Research, Demonstration, Public
 Information and Education_Education, Training,
 and Clinical Skills Improvement Projects
 Number changed from 13.185 (1990U)
 Immunization Research, Demonstration, Public
 Information and Education_Training and Clinical
 Skills Improvement Projects (1994U)

93.186

National Research Services Awards
 Number changed from 13.186 (1990U)
 National Research Service Award in Primary Care
 Medicine (2005)
 National Research Service Award in Primary Care
 Medicine (2006)

93.187

Undergraduate Scholarship Program for Individuals
 from Disadvantaged Backgrounds (1995U)

93.188

Special Project Grants to Schools of Public Health
 Number changed from 13.188 (1990U)
 Public Health Training Centers (1999U)
 Archived (2002B)

93.189

Health Education and Training Centers
 Number changed from 13.189 (1990U)
 Health Education Training Centers Continuing
 Educational Support for Health Professionals
 Serving in Underserved Communities (2010)
 Archived (2012)

93.190

Model Education Projects for Health Professions
 Number changed from 13.190 (1990U)
 Archived (1993B)

93.191

Allied Health Project Grants
 Number changed from 13.191 (1990U)
 Allied Health Projects (1999U)
 Allied Health Special Projects (2002B)
 Patient Navigator and Chronic Disease Prevention
 Program (2010)
 Graduate Psychology Education Program and
 Patient Navigator and Chronic Disease Prevention
 Program (2012)
 Graduate Psychology Education (2016)

93.192

Interdisciplinary Training for Health Care for Rural
 Areas
 Number changed from 13.192 (1990U)
 Quentin N. Burdick Programs for Rural
 Interdisciplinary Training (1999U)
 Quentin N. Burdick Program for Rural
 Interdisciplinary Training (2005)
 Archived (2010)

93.193

Urban Indian Alcohol and Substance Abuse
 Prevention, Treatment, Rehabilitation and
 Education
 Number changed from 13.193 (1990U)
 Urban Indian Health Services (1991U)

93.194

Community Partnership Demonstration Grant
 Number changed from 13.194 (1990U)
 Community Partnership Study Demonstration
 Grant (1991U)
 Community Partnership Demonstration Grant
 (1993U)
 Community Prevention Coalitions (Partnership)
 Demonstration Grant (1996B)
 Archived (2002B)

93.195

Disaster Relief Assistance Grants for Drug Abuse
 Treatment
 Number changed from 13.195 (1990U)
 Archived (1991B)

93.196

Cooperative Agreements for Drug Abuse Treatment

Improvement Projects in Target Cities
 Number changed from 13.196 (1990U)
 Archived (2000U)

93.197

Childhood Lead Poisoning Prevention
 Projects_State and Community-Based Childhood
 Lead Poisoning Prevention
 Number changed from 13.197 (1990U)
 Childhood Lead Poisoning Prevention
 Projects_State and Community-Based Childhood
 Lead Poisoning Prevention Program (1991B)
 Childhood Lead Poisoning Prevention
 Projects_State and Community-Based Childhood
 Lead Poisoning Prevention and Surveillance of
 Blood Lead Levels in Children (1995B)
 Childhood Lead Poisoning Prevention
 Projects_State and Local Childhood Lead
 Poisoning Prevention and Surveillance of Blood
 Lead Levels in Children (2000B)

93.198

Biological Models and Materials Resources
 Number changed from 13.198 (1990U)
 Biological Models and Materials Research (1991B)
 Consolidated into 93.306 (1996B)

93.199

HIV Home and Community-Based Health Services
 Number changed from 13.199 (1990U)
 Archived (1992B)

93.200

Educating Health Professionals Regarding
 Environmentally Hazardous Substances
 Split from 93.161 (1994B)
 Environmental Health Education Activities for
 Health Professionals and Communities (1996B)
 Archived (1997B)

93.201

Public Health Assessments and Related
 Site-Specific Biological Testing
 Split from 93.161 (1994B)
 Archived (1997B)

93.202

Capacity Building Among American Indian Tribes
 (2002B)
 Archived (2012)

93.203

Health Activities Recommendation Panel Health
 Activities
 Split from 93.161 (1994B)
 Archived (1997B)

93.204

Surveillance of Hazardous Substance Emergency
 Events
 Split from 93.161 (1994B)

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Archived (2015)	Research and Training in Complementary and Integrative Health (2015)	the Homeless, and Public Housing Primary Care) (2013)
93.205 Health Outcome Studies to Hazardous Substances and Adverse Health Effects Split from 93.161 (1994B) Archived (1996B)	93.214 Extramural Research Facilities Construction Projects (1994B) Consolidated into 93.389 (1996B)	Health Center Program (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (2016)
93.206 Health Studies Initiative of Priority Health Conditions Split from 93.161 (1994B) Human Health Studies_Applied Research and Development (1997B) Archived (2009) Reinstated (2010) Archived (2012)	93.215 Hansen's Disease National Ambulatory Care Program (1995U)	93.225 National Research Service Awards_Health Services Research Training Number changed from 13.225 (1990U)
93.207 Surveillance of the Relationship Between Hazardous Substances Exposure and Adverse Health Outcomes Split from 93.161 (1994B) Archived (1996B)	93.216 HIV/AIDS Mental Health Services Demonstration Program (1994U) Archived (2000U)	93.226 Health Services Research and Development Grants Number changed from 13.226 (1990U) Health Care Systems Cost and Access Research and Development Grants (1998B) Consolidated from 93.180 (1999B) Research on Healthcare Costs, Quality and Outcomes (2000B)
93.208 Great Lakes Human Health Effects Research Split from 93.161 (1994B) Archived (2009)	93.217 Family Planning_Services Number changed from 13.217 (1990U)	93.227 Surveillance of the Complications of Hemophilia (1995U) Archived (2001B)
93.209 Contraception and Infertility Loan Repayment Program (1995U) Contraception and Infertility Research Loan Repayment Program (2000B)	93.218 Substance Abuse Treatment Conference Grants (1994U) Archived (1999B)	93.228 Indian Health Services_Health Management Development Program Number changed from 13.228 (1990U)
93.210 Tribal Self-Governance Demonstration Program: Planning and Negotiation Cooperative Agreements and IHS Compacts (1994B) Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreements and IHS Compacts/Funding Agreements (2004) Tribal Self-Governance Program: IHS Compacts/Funding Agreements (2008)	93.219 Matching Grants for Health Professions Scholarships to Indian Tribes (1994U)	93.229 Demonstration Cooperative Agreements for Development and Implementation of Criminal Justice Treatment Networks (1995B) Archived (2009)
93.211 Rural Telemedicine Grants (1994B) Telehealth Network Grants (2004) Telehealth Programs (2010)	93.220 Loan Repayment Program for Individuals from Disadvantaged Backgrounds (1994U) Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (1995B)	93.230 Consolidated Knowledge Development and Application Program (1996B) Consolidated from 93.131 (1999B) Consolidated Knowledge Development and Application (KD&A) Program (1999U) Archived (2009)
93.212 Chiropractic Demonstration Projects (1994B) Chiropractic Demonstration Project Grants (2002B) Archived (2014)	93.221 Junior National Health Service Corps/Junior Health Careers Opportunity Program (1994U) Split from 93.258, 93.822 (1994U) Archived (1997B)	93.231 Epidemiology Cooperative Agreements (1996U)
93.213 Research and Training in Alternative Medicine (1994B) Research and Training in Complementary and Alternative Medicine (2001B)	93.222 Centers for Medical Education Research (1994U) Archived (2000B)	93.232 Educational Loan Repayment Program for Research Professionals (1996U) Loan Repayment Program for Research (1997B) Loan Repayment Program for General Research (1997U)
	93.223 Development and Coordination of Rural Health Services (1994U) Split from 93.913 (1994U)	93.233 National Center on Sleep Disorders Research (1997B)
	93.224 Community Health Centers Number changed from 13.224 (1990U) Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers) (2005) Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for	93.234 Traumatic Brain Injury (1997U)

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Traumatic Brain Injury_State Demonstration Grant Program (2000B)	Deleted (2005)	Payment (2001U)
Traumatic Brain Injury State Demonstration Grant Program (2005)		Children's Hospitals Graduate Medical Education Payment Program (2013)
93.235	93.246	93.256
Abstinence Education (1997U)	Migrant Health Centers Grants	State Planning Grant_Health Care Access for the Uninsured (2001U)
Abstinence Education Program (2005)	Number changed from 13.246 (1990U)	State Planning Grants Health Care Access for the Uninsured (2005)
Abstinence Education Program (2006)	Health Centers Grants for Migratory and Seasonal Farmworkers (1997B)	State Planning Grants Health Care Access for the Uninsured (2006)
Affordable Care Act (ACA) Abstinence Education Program (2011)	Health Centers Grants for Migrant and Seasonal Farmworkers (1999U)	State Health Access Program (2010)
	Consolidated into 93.224 (2006)	Archived (2015)
93.236	93.247	93.257
Grants for Dental Public Health (1998B)	Advanced Education Nursing Grant Program (2000B)	Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (2002B)
Grants for Dental Public Health Residency Training (2000B)	Consolidated from 93.298, 93.299, 93.916 (2001B)	
Grants to States to Support Oral Health Workforce Activities (2011)	Advanced Nursing Education Grant Program (2010)	
	Advanced Nursing Education Workforce Grant Program (2017)	
93.237	93.248	93.258
Special Diabetes Program for Indians-Prevention and Treatment Projects (1998B)	Residencies in the Practice of Pediatric Dentistry (2000B)	National Health Service Corps
Special Diabetes Program for Indians_Diabetes Prevention and Treatment Projects (2001B)	Consolidated into 93.884 (2003B)	Number changed from 13.258 (1990U)
	Deleted (2003)	Split into 93.221 (1990U)
93.238	93.249	Archived (1997B)
Cooperative Agreements for State Treatment Outcomes and Performance Pilot Studies Enhancement (1998B)	Public Health Training Centers Grant Program (2000B)	93.259
Archived (2009)	Public Health Training Centers Program (2012)	Rural Access to Emergency Devices Grant (2002B)
	Archived (2015)	Rural Access to Emergency Devices Grant and Public Access to Defibrillation Demonstration Grant (2013)
93.239	93.250	93.260
Policy Research and Evaluation Grants (1998B)	Geriatric Academic Career Award (2000B)	Family Planning_Personnel Training
	Geriatric Academic Career Awards (2002B)	Number changed from 13.260 (1990U)
93.240	Archived (2016)	
State Capacity Building (1999B)	Reinstated (2017)	93.261
	93.251	National Diabetes Prevention Program: Preventing Type 2 Diabetes Among People at High Risk (2013)
93.241	Universal Newborn Hearing Screening (2000B)	Scaling the National Diabetes Prevention Program to Priority Populations (2017)
State Rural Hospital Flexibility Program (1999B)		
	93.252	93.262
93.242	Community Access Program (2000B)	Occupational Safety and Health Research Grants
Mental Health Research Grants	Healthy Community Access Program (2004)	Number changed from 13.262 (1990U)
Number changed from 13.242 (1990U)	Healthy Communities Access Program (2005)	Occupational Safety and Health Research Projects (2004)
Incorporated from 93.126, 93.176 (1993B)	Archived (2010)	Occupational Safety and Health Program (2005)
93.243	93.253	93.263
Substance Abuse and Mental Health Services_Projects of Regional and National Significance (2002B)	National Poison Control Systems Stabilization and Support (2000U)	Occupational Safety and Health_Training Grants
	Poison Control Stabilization and Enhancement Grants (2002B)	Number changed from 13.263 (1990U)
93.244	Poison Center Support and Enhancement Grant Program (2010)	Deleted (2005)
Mental Health Clinical or Service Related Training Grants		93.264
Number changed from 13.244 (1990U)	93.254	Nurse Faculty Loan Program (NFLP) (2003B)
Mental Health Clinical and AIDS Service-Related Training Grants (1995U)	Infant Adoption Awareness Training (2001B)	
Archived (2009)		93.265
93.245	93.255	Comprehensive Geriatric Education Program (CGEP) (2003B)
New Pilot Innovative Food Safety Projects (1999B)	Children's Hospitals Graduate Medical Education	
Innovative Food Safety Projects (2000B)		

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<p>93.266 Rapid Expansion of Antiretroviral Therapy Programs for HIV-Infected Persons in Selected Countries in Africa and the Caribbean Under the President's Emergency Plan for AIDS Relief (2004) Health Systems Strengthening and HIV/AIDS Prevention, Care and Treatment under the President's Emergency Plan for AIDS Relief (2012)</p>	<p>93.275 Substance Abuse and Mental Health Services-Access to Recovery (2004)</p>	<p>Prevention_Investigations and Technical Assistance (1993B) The Affordable Care Act: Centers for Disease Control and Prevention_Investigations and Technical Assistance (2012) Centers for Disease Control and Prevention_Investigations and Technical Assistance (2012)</p>
<p>93.267 State Grants for Protection and Advocacy Services (2004)</p>	<p>93.276 Drug-Free Communities Support Program Grants (2004)</p>	<p>93.284 Injury Prevention Program for American Indians and Alaskan Natives_Cooperative Agreements (2000B)</p>
<p>93.268 Childhood Immunization Grants Number changed from 13.268 (1990U) Immunization Grants (1997U) Immunization Cooperative Agreements (2012)</p>	<p>93.277 Drug Abuse Scientist Development Award for Clinicians_Scientist Development Awards and Research Scientist Awards Number changed from 13.277 (1990U) Drug Abuse Scientist Development Awards, Research Scientist Development Awards, and Research Scientist Awards (1994B) Career Development Awards (2002B) Consolidated into 93.279 (2003B) Deleted (2003)</p>	<p>93.285 National Institutes of Health Pediatric Research Loan Repayment Program (2004)</p>
<p>93.269 Complex Humanitarian Emergency and War-Related Injury Public Health Activities (2008)</p>	<p>93.278 Drug Abuse National Research Service Awards for Research Training Number changed from 13.278 (1990U) Consolidated into 93.279 (2003B) Deleted (2003)</p>	<p>93.286 Biomedical Imaging Research (2002B) Discovery and Applied Research for Technological Innovations to Improve Human Health (2005) Discovery and Applied Research for Technological Innovations to Improve Human Health (2006)</p>
<p>93.270 Adult Viral Hepatitis Prevention and Control (2008) Viral Hepatitis Prevention and Control (2017)</p>	<p>93.279 Drug Abuse Research Programs Number changed from 13.279 (1990U) Split into 93.949 (1992B) Incorporated from 93.126, 93.176 (1993B) Drug Abuse and Addiction Research Programs (2005)</p>	<p>93.287 Bioengineering Research (2002B) Deleted (2004)</p>
<p>93.271 Alcohol Scientist Development Award and Research Scientist Development Award for Clinicians Number changed from 13.271 (1990U) Alcohol Research and Development Awards for Scientists and Clinicians (1993B) Alcohol Research Career Development Awards for Scientists and Clinicians (1993U) Archived (2010)</p>	<p>93.280 National Institutes of Health Loan Repayment Program for Clinical Researchers (2003B)</p>	<p>93.288 National Health Service Corps Scholarship Program Number changed from 13.288 (1990U)</p>
<p>93.272 Alcohol National Research Service Awards for Research Training Number changed from 13.272 (1990U) Archived (2010)</p>	<p>93.281 Mental Research Scientist Development Award and Research Scientist Development Award for Clinicians Number changed from 13.281 (1990U) Scientist Development Award (SDA), Research Scientist Development Award (RSDA), Scientist Development Award for Clinicians (SDAC), and Research Scientist Award (RSA) (1992U) Mental Health Research Career/Scientist Development Awards (1995U)</p>	<p>93.289 President's Council on Physical Fitness and Sports Number changed from 13.289 (1990U) President's Council on Fitness, Sports, and Nutrition (2010)</p>
<p>93.273 Alcohol Research Programs Number changed from 13.273 (1990U) Incorporated from 93.126, 93.176 (1993B)</p>	<p>93.282 Mental Health National Research Service Awards for Research Training Number changed from 13.282 (1990U)</p>	<p>93.290 National Community Centers of Excellence in Women's Health (2000U)</p>
<p>93.274 Alcohol and Drug Abuse Clinical or Service-Related Training Number changed from 13.274 (1990U) Clinical Training Grant for Faculty Development in Alcohol and Other Drug Abuses (1994B) Clinical Training Grant for Faculty Development in Alcohol and Drug Abuses (1996B) Archived (2002B)</p>	<p>93.283 Centers for Disease Control_Investigations and Technical Assistance Number changed from 13.283 (1990U) Centers for Disease Control and</p>	<p>93.291 Surplus Property Utilization Number changed from 13.291 (1990U)</p>
		<p>93.292 National Public Health Improvement Initiative (2013)</p>
		<p>93.293 Supporting Permanent Placements of Foster Care Children Through Electronic Records Exchange (2013) Archived (2016)</p>
		<p>93.294 Mentoring Partnership Program - Protege (2006) Archived (2010)</p>

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93.295 Intergenerational Approaches to HIV/AIDS Prevention Education with Women across The Lifespan Pilot Program (2006) Archived (2012)	Deleted (2003)	93.320 Affordable Care Act (ACA) Research and Evaluation of the Maternal, Infant and Early Childhood Home Visiting Program (2016) Archived (2016)
93.296 State Partnership Grant Program to Improve Minority Health (2006)	93.307 Loan Repayment for Health Disparities Research (2002B)	93.321 Dietary Supplement Research Program (2014)
93.297 Teenage Pregnancy Prevention Program (2010)	93.308 Extramural Loan Repayment for individuals from Disadvantaged Backgrounds Conducting Clinical Research (2002B) National Institute on Minority Health and Health Disparities (NIMHD) Extramural Loan Repayment Programs (2011)	93.323 Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) (2014)
93.298 Nurse Practitioner and Nurse Midwife Education and Traineeships Number changed from 13.298 (1990U) Nurse Practitioner and Nurse-Midwifery Education Programs (1993U) Consolidated into 93.247 (2001B)	93.309 Bioinformatics and Computational Biology Research (2002B) Consolidated into 93.859 (2003B) Deleted (2003)	93.324 State Health Insurance Assistance Program (2014)
93.299 Advanced Nurse Education Number changed from 13.299 (1990U) Consolidated into 93.247 (2001B)	93.310 Trans-NIH Research Support (2006)	93.325 Paralysis Resource Center (2014)
93.300 National Center for Health Workforce Analysis (2002B)	93.311 Mobilization For Health: National Prevention Partnership Awards (NPPA) (2013) Mobilization For Health: National Prevention Partnership Awards (2013)	93.326 Protecting and Improving Health Globally: Strengthening Public Health through Surveillance, Epidemiologic Research, Disease Detection and Prevention (2014)
93.301 Small Rural Hospital Improvement Grants (2002B) Small Rural Hospital Improvement Grant Program (2005)	93.312 Child Development and, Surveillance, Research and Prevention (2013)	93.327 Demonstration Grants for Domestic Victims of Severe forms of Human Trafficking (2014) Demonstration Grants for Domestic Victims of Human Trafficking (2015)
93.302 Healthy Schools, Healthy Communities (2004) Incorporated into 93.224 (2006)	93.313 NIH Office of Research on Women's Health (2012)	93.328 National Implementation and Dissemination for Chronic Disease Prevention (2014)
93.303 Nursing Scholarship Program (2007) NURSE Corps Scholarship Program (2013)	93.314 Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program (2013)	93.329 Skills Training and Health Workforce Development of Paraprofessionals Grant Program (2014)
93.304 Racial and Ethnic Approaches to Community Health (2013)	93.315 Rare Disorders: Research, Surveillance, Health Promotion, and Education (2013)	93.330 Leadership in Public Health Social Work Education Grant Program (2014)
93.305 National State Based Tobacco Control Programs (2013)	93.316 Public Health Preparedness and Response Science, Research, and Practice (2014)	93.331 Partnerships to Improve Community Health (2014)
93.306 Laboratory Animal Sciences and Primate Research Number changed from 13.306 (1990U) Comparative Medicine Program (1991U) Comparative Medicine, Consolidated from 93.198 (1996B) Consolidated into 93.389 (2003B)	93.317 Emerging Infections Programs (2014)	93.332 Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Marketplaces (2014)
	93.318 Protecting and Improving Health Globally: Building and Strengthening Public Health Impact, Systems, Capacity and Security (2014)	93.333 General Clinical Research Centers Number changed from 13.333 (1990U) Clinical Research (1996B)
	93.319 Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas (2014)	93.334 The Healthy Brain Initiative: Technical Assistance to Implement Public Health Actions related to Cognitive Health, Cognitive Impairment, and Caregiving at the State and Local Levels (2014)

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93.335 Reducing the Effects Attributed to Commercial Tobacco use by Tribes (REACTT) (2014) Archived (2015)	Public Health Emergency Response: Cooperative Agreement for Emergency Response: Public Health Crisis Response (2017)	Initiative (2017)
93.336 Behavioral Risk Factor Surveillance System (2014)	93.355 Certified Health IT Surveillance Capacity and Infrastructure Improvement Cooperative Agreement Program (2017)	93.371 Biomedical Research Technology Number changed from 13.371 (1990U) Biomedical Technology, Consolidated from 93.337 (1996B) Consolidated into 93.389 (2003B) Deleted (2003)
93.337 Biomedical Research Support Number changed from 13.337 (1990U) Consolidated into 93.371, 93.389 (1996B)	93.358 Professional Nurse Traineeships Number changed from 13.358 (1990U) Advanced Education Nursing Traineeships (2000B) Advanced Nursing Education Traineeships (2010) Advanced Education Nursing Traineeships (2012)	93.372 21st Century Cures Act - Brain Research through Advancing Innovative Neurotechnologies (2017)
93.338 Foreign Public Health Construction (2010)	93.359 Nurse Training Improvement_Special Projects Number changed from 13.359 (1990U) Nursing_Special Projects (1998B) Basic Nurse Education and Practice Grants (2000B) Nurse Education, Practice and Retention Grants (2003B) Nurse Education, Practice Quality and Retention Grants (2012)	93.375 Minority Biomedical Research Support Number changed from 13.375 (1990U) Consolidated into 93.859 (2003B) Deleted (2003)
93.339 Public Health Conference Support (2011)	93.361 Nursing Research Number changed from 13.361 (1990U)	93.379 Grants for Graduate Training in Family Medicine Number changed from 13.379 (1990U) Consolidated into 93.884 (2001B)
93.340 Native American Community Research, Demonstration, and Pilot Projects (2014)	93.362 Flexible Alternatives for State Transformation Model (2017)	93.385 National Institutes of Health Pediatric Research Loan Repayment Program (2003B)
93.341 Analyses, Research and Studies to Address the Impact of CMS Programs on American Indian/Alaska Native (AI/AN) Beneficiaries and the Health Care System Serving these Beneficiaries (2014)	93.364 Nursing Student Loans Number changed from 13.364 (1990U)	93.389 Research Centers in Minority Institutions Number changed from 13.389 (1990U) Research Infrastructure (1996B) Consolidated from 93.337, 93.922 (2003)
93.342 Health Professions Student Loans Number changed from 13.342 (1990U) Health Professions Student Loans/Loans for Disadvantaged Students (1991B) Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (1993B)	93.365 Sickle Cell Treatment Demonstration Program (2006)	93.390 Academic Research Enhancement Award Number changed from 13.390 (1990U) Deleted (2006)
93.343 Public Health Service Evaluation Funds (2014)	93.366 State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes (2017)	93.391 Activities to Support State, Tribal, Local and Territorial (STLT) Health Department Response to Public Health or Healthcare Crises (2017)
93.344 Research, Monitoring and Outcomes Definitions for Vaccine Safety (2014)	93.368 21st Century Cures Act - Precision Medicine Initiative (2017)	93.392 Cancer Construction (1990U) Archived (2009)
93.350 National Center for Advancing Translational Sciences (2012)	93.369 ACL Independent Living State Grants (2015)	93.393 Cancer Cause and Prevention Research Number changed from 13.393 (1990U)
93.351 Research Infrastructure Programs (2012)	93.370 21st Century Cures Act: Regenerative Medicine	93.394 Cancer Detection and Diagnosis Research Number changed from 13.394 (1990U)
93.352 Construction Support (2012)		93.395 Cancer Treatment Research Number changed from 13.395 (1990U)

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<p>93.396 Cancer Biology Research Number changed from 13.396 (1990U)</p>	<p>Archived (2013) Reinstated (2017)</p>	<p>93.424 NON-ACA/PPHFBuilding Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations (2014)</p>
<p>93.397 Cancer Centers Support Number changed from 13.397 (1990U)</p>	<p>93.409 Faculty Loan Repayment Programs (2009) Archived (2016)</p>	<p>93.432 ACL Centers for Independent Living (2015)</p>
<p>93.398 Cancer Research Manpower Number changed from 13.398 (1990U)</p>	<p>93.410 ARRA Licensure Portability Grant Program (2009) Archived (2013)</p>	<p>93.433 ACL National Institute on Disability, Independent Living, and Rehabilitation Research (2015)</p>
<p>93.399 Cancer Control Number changed from 13.399 (1990U)</p>	<p>93.411 ARRA Equipment to Enhance Training for Health Professionals (2009) Archived (2013)</p>	<p>93.440 National Project Management of the Healthcare Communities Knowledge Gateway (2010) Archived (2015)</p>
<p>93.400 National Health Service Corps Scholarship Program (2009) Archived (2016)</p>	<p>93.412 ARRA - Preventive Medicine Residency Program (2009) Archived (2013)</p>	<p>93.441 Indian Self-Determination (2000U)</p>
<p>93.401 ARRA - National Health Service Corps Loan Repayment (2009) Archived (2016)</p>	<p>93.413 The State Flexibility to Stabilize the Market Grant Program (2017)</p>	<p>93.442 Special Diabetes Program for Indians (SDPI) Competitive Grant Program (2004) Special Diabetes Program for Indians (SDPI) Healthy Heart Initiative (2013) Special Diabetes Program for Indians (SDPI) Diabetes Prevention and Healthy Heart Initiative (2013)</p>
<p>93.402 ARRA - State Loan Repayment Program (2009) Archived (2014)</p>	<p>93.414 ARRA - State Primary Care Offices (2009) Archived (2014)</p>	<p>93.443 Health Promotion/Disease Prevention Program for American Indians and Alaska Natives (2008) Archived (2015)</p>
<p>93.403 ARRA - Training in Primary Care Medicine and Dentistry (2009) ARRA Grants for Training in Primary Care Medicine and Dentistry Training and Enhancement (2010) Archived (2016)</p>	<p>93.415 ARRA - Centers of Excellence (2009) Archived (2014)</p>	<p>93.444 Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (2008)</p>
<p>93.404 ARRA Dental Public Health Residency Training Grants (2009) Archived (2014)</p>	<p>93.416 ARRA - Health Careers Opportunity Program (2009) Archived (2013)</p>	<p>93.445 Indian Health Service Sanitation Facilities Construction Program (2010) Archived (2017)</p>
<p>93.405 ARRA - Public Health Traineeship Program (2009) Archived (2013)</p>	<p>93.417 ARRA - Nursing Workforce Diversity (2009) Archived (2013)</p>	<p>93.447 State Health Fraud Task Force Grants (2004)</p>
<p>93.406 Nursing Education Loan Repayment Program (NELRP) (2009) NURSE Corps Loan Repayment Program (2013) Archived (2016)</p>	<p>93.418 ARRA - Emergency Medical Services for Children (2009) Archived (2012)</p>	<p>93.448 Food Safety and Security Monitoring Project (2005)</p>
<p>93.407 ARRA - Scholarships for Disadvantaged Students (2009) Archived (2013)</p>	<p>93.419 ARRA - Maternal and Child Health (MCH) Pediatric Research Network Program (2009) Archived (2012)</p>	<p>93.449 Ruminant Feed Ban Support Project (2005)</p>
<p>93.408 ARRA - Nurse Faculty Loan Program (2009)</p>	<p>93.420 ARRA - Community Health Applied Research Network (2009) Archived (2014)</p>	<p>93.451 The Linkage to Life Program: Rebuilding Broken Bridges for Minority Families Impacted by HIV/AIDS. (2010) Archived (2013)</p>
	<p>93.423 1332 State Innovation Waivers (2017)</p>	<p>93.452 Health Improvement for Re-entering Ex-offenders</p>

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Initiative (HIRE) HIV/AIDS (2010)	93.506 ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (2010)	Affordable Care Act (ACA) Public Health Training Centers Program (2013) Public Health Training Centers Program (2016)
93.453 Coalition for a Healthier Community (2010) Archived (2013)	93.507 Strengthening Public Health Infrastructure for Improved Health Outcomes (2010) PPHF 2012 National Public Health Improvement Initiative (2012) PPHF National Public Health Improvement Initiative (2014)	93.517 Affordable Care Act Aging and Disability Resource Center (2010) Archived (2016)
93.456 CDC Undergraduate Public Health Scholars Program (CUPS): A Public Health Experience to Expose Undergraduates Interested in Minority Health to Public Health and the Public Health Professions (2017)	93.508 Affordable Care Act (ACA) Tribal Maternal, Infant, and Early Childhood Home Visiting Program (2010)	93.518 93.518 - Affordable Care Act - Medicare Improvements for Patients and Providers (2010) Archived (2016)
93.464 ACL Assistive Technology (2015)	93.509 Affordable Care Act (ACA) State Health Care Workforce Development Grants (2010) Archived (2015)	93.519 Affordable Care Act (ACA) Consumer Assistance Program Grants (2010)
93.465 Tobacco Prevention and Control Legal Technical Assistance (2015)	93.510 Affordable Care Act (ACA) Primary Care Residency Expansion Program (2010)	93.520 Centers for Disease Control and Prevention Affordable Care Act (ACA) Communities Putting Prevention to Work (2010) Archived (2017)
93.466 Building Capacity of the Public Health System to Address Ebola Through National Nonprofit Organizations (2016)	93.511 Affordable Care Act (ACA) Grants to States for Health Insurance Premium Review (2010)	93.521 The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements (2010) The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (2012)
93.475 TANF Policy Academy for Innovative Employment Strategies (PAIES) (2017)	93.512 Affordable Care Act (ACA) Personal and Home Care Aide State Training Program (PHCAST) (2010) Archived (2015)	93.522 Affordable Care Act (ACA) Prevention Center for Healthy Weight (2010) Archived (2013)
93.500 Pregnancy Assistance Fund Program (2010)	93.513 Affordable Care Act (ACA) Advanced Nursing Education Expansion Initiative (2010)	93.523 The Affordable Care Act: Human Immunodeficiency Virus (HIV) Prevention and Public Health Fund Activities (2010) Archived (2015)
93.501 Affordable Care Act (ACA) Grants for School-Based Health Center Capital Expenditures (2010) Grants for School-Based Health Center Capital Expenditures (2017)	93.514 Affordable Care Act (ACA) Expansion of Physician Assistant Training Program (2010)	93.524 The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance (2010) Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by 2013 Prevention and Public Health Funds (PPHF-2013) (2012) Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by Prevention and Public Health Funds (PPHF)
93.502 Affordable Care Act (ACA) Infrastructure to Expand Access to Care (2010) Archived (2016)	93.515 Affordable Care Act (ACA) Nurse-Managed Health Clinics (2010) Archived (2015)	
93.503 Affordable Care Act (ACA) Nursing and Home Health Aides Training Program (2010) Affordable Care Act (ACA) Nursing Assistant and Home Health Aide Program (2012) Archived (2015)	93.516 Affordable Care Act (ACA) Public Health Training Centers Program (2010) Prevention and Public Health Fund (PPHF ACA) Public Health Training Centers Program (2012) Affordable Care Act (ACA) Public Health Training Centers Program, Resources Development and Academic Support to the Public Health Training Centers Program and Public Health Infrastructure and Systems Support (2012)	
93.504 Affordable Care Act (ACA) Family to Family Health Information Centers (2010) Family to Family Health Information Centers (2014)		
93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (2010)		

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(2014)		
93.525	93.535	93.544
State Planning and Establishment Grants for the Affordable Care Act (ACA)s Exchanges (2010)	Affordable Care Act (ACA) Childhood Obesity Research Demonstration (2011)	The Patient Protection and Affordable Care Act of 2010 (Affordable Care Act) authorizes Coordinated Chronic Disease prevention and Health Promotion Program (2011) Archived (2017)
93.526	93.536	93.545
Affordable Care Act (ACA) Grants for Capital Development in Health Centers (2010) Grants for Capital Development in Health Centers (2016)	The Affordable Care Act Medicaid Incentives for Prevention of Chronic Disease Demonstration Project (2011)	Consumer Operated and Oriented Plan [CO-OP] Program (2011)
93.527	93.537	93.546
Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program (2010) Grants for New and Expanded Services under the Health Center Program (2016)	Affordable Care Act Medicaid Emergency Psychiatric Demonstration (2011)	Early Retiree Reinsurance Program (2011)
93.528	93.538	93.547
National Forum for State and Territorial Chief Executives (2011)	Affordable Care Act - National Environmental Public Health Tracking Program-Network Implementation (2011)	Affordable Care Act National Health Service Corps (2011) National Health Service Corps (2016)
93.529	93.539	93.548
Pre-existing Condition Insurance Program (PCIP) (2011)	Prevention and Public Health Fund (Affordable Care Act) - Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance (2011) PPHF 2012 - Prevention and Public Health Fund (Affordable Care Act) - Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by 2012 Prevention and Public Health Funds (2012) PPHF Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by Prevention and Public Health Funds (2014)	The Patient Protection and Affordable Care Act of 2010 (2010) Nutrition, Physical Activity and Obesity Program (2011) PPHF 2012: Nutrition, Physical Activity and Obesity Program - financed in part by 2012 Prevention and Public Health Funds (PPHF-2012) (2012) PPHF2013: State Nutrition, Physical Activity, and Obesity Programs - financed in part by 2013 PPHF (2013) PPHF: State Nutrition, Physical Activity, and Obesity Programs - financed in part by PPHF (2014)
93.530	93.540	93.549
Affordable Care Act - Teaching Health Center Graduate Medical Education Payments Program (2010) Affordable Care Act - Teaching Health Center Graduate Medical Education Payment (2016) Teaching Health Center Graduate Medical Education Payment (2017)	Affordable Care Act Streamlined surveillance for ventilator-associated pneumonia: Reducing burden and demonstrating preventability (2011) Affordable Care Act Streamlined surveillance for ventilator-associated pneumonia: Reducing burden and demonstrating preventability; and Prevention and Public Health Fund (2012)	Affordable Care Act (ACA) The Primary Care Services Resource Coordination and Development Program (2011) Archived (2017)
93.531	93.541	93.550
The Patient Protection and Affordable Care Act of 2010 (Affordable Care Act) authorizes Community Transformation Grants and National Dissemination and Support for Community Transformation Grants (2011) PPHF 2012: Community Transformation Grants and National Dissemination and Support for Community Transformation Grants - financed solely by 2012 Prevention and Public Health Funds (2012) PPHF - Community Transformation Grants and National Dissemination and Support for Community Transformation Grants - financed solely by Prevention and Public Health Funds (2014)	The Patient Protection and Affordable Care Act of 2010 (ACA) (2011) Archived (2017)	Administration for Children, Youth and Families_Transitional Living for Runaway and Homeless Youth Number changed from 13.550 (1990U) Transitional Living for Runaway and Homeless Youth (1991U) Transitional Living for Homeless Youth (1992U)
93.533	93.542	93.551
Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease (2011)	Health Promotion and Disease Prevention Research Centers: Affordable Care Act (ACA) Special Interest Project Competitive Supplements (SIPS) (2011) Health Promotion and Disease Prevention Research Centers: Affordable Care Act Projects (2011) Health Promotion and Disease Prevention Research Centers: PPHF 2012 - Affordable Care Act Projects (2012) Health Promotion and Disease Prevention Research Centers: PPHF - Affordable Care Act Projects (2014)	Administration for Children, Youth and Families_Abandoned Infants Number changed from 13.551 (1990U) Abandoned Infants (1991U)
93.534		93.552
Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (2010)		Special Programs for the Aging_Title III, Part G_Prevention of Abuse, Neglect, and Exploitation of Older Individuals (1991B) Number changed to 93.041 (1992U)

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<p>93.553 Special Programs for the Aging_ Title III, Part A_Long-Term Care Ombudsman Services for Older Individuals (1991B) Number changed to 93.042 (1992U)</p>	<p>Number changed from 93.025 (1992U) Archived (1997B)</p>	<p>Archived (1998B)</p>
<p>93.554 Emergency Protection Grants_Substance Abuse (1991B) Archived (1995B)</p>	<p>93.566 Refugee and Entrant Assistance_State Administered Programs Number changed from 93.026 (1992U) Split into 93.584 (1994U) Refugee and Entrant Assistance_State Administered Programs_State Replacement Designees and Wilson Fish (2017) Refugee and Entrant Assistance_State/Replacement Designee Administered Programs (2017)</p>	<p>93.575 Payments to States for Child Care Assistance Number changed from 93.037 (1992U) Child Care and Development Block Grant (1995B)</p>
<p>93.555 Special Programs for the Aging_ Title III, Part F_Preventive Health Services (1992B) Number changed to 93.043 (1992U)</p>	<p>93.567 Refugee Assistance_Voluntary Agency Programs Number changed from 93.027 (1992U) Refugee and Entrant Assistance_Voluntary Agency Programs (2000B)</p>	<p>93.576 Refugee and Entrant Assistance_Discretionary Grants Number changed from 93.038 (1992U) Consolidated from 93.987 (1997B)</p>
<p>93.556 Family Preservation and Support Services (1993U) Promoting Safe and Stable Families (2000B)</p>	<p>93.568 Low-Income Home Energy Assistance Number changed from 93.028 (1992U)</p>	<p>93.577 Early Learning Fund (2001U)</p>
<p>93.557 Education and Prevention to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (1999B)</p>	<p>93.569 Community Services Block Grant Number changed from 93.031 (1992U)</p>	<p>93.578 Family Support Centers Demonstration Program Number changed from 93.040 (1992U) Homeless Families Support Services Demonstration Program (1992U) Family Support Center and Gateway Demonstration Program (1993U) Archived (1997B)</p>
<p>93.558 Temporary Assistance for Needy Families (1997B)</p>	<p>93.570 Community Services Block Grant_Discretionary Awards Number changed from 93.032 (1992U)</p>	<p>93.579 U.S. Repatriate Program (1992U) U.S. Repatriation Program (1999U)</p>
<p>93.559 Federal Loans for State Welfare Programs (1997B) Archived (2000B)</p>	<p>93.571 Community Services Block Grant Discretionary Awards_Community Food and Nutrition Number changed from 93.033 (1992U) Community Services Block Grant Formula and Discretionary Awards Community Food and Nutrition Programs (2005) Community Services Block Grant Formula and Discretionary Awards Community Food and Nutrition Programs (2006) Deleted (2008)</p>	<p>93.580 Family Resource and Support Program (1993B) Family Resource Centers (1994B) Archived (1995B)</p>
<p>93.560 Family Support Payments to States_Assistance Payments Number changed from 93.020 (1992U)</p>	<p>93.572 Emergency Community Services for the Homeless Number changed from 93.034 (1992U) Archived (1997B)</p>	<p>93.581 Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (1994U)</p>
<p>93.561 Job Opportunities and Basic Skills Training Number changed from 93.021 (1992U) Archived (1998B)</p>	<p>93.573 Community Services Block Grant Discretionary Awards_Demonstration Partnerships Number changed from 93.035 (1992U) Archived (1997U)</p>	<p>93.582 Mitigation of Environmental Impacts to Indian Lands Due to Department of Defense Activities (1994U)</p>
<p>93.562 Assistance Payments_Research Number changed from 93.022 (1992U) Archived (1997B) Reinstated (1997U) Consolidated into 93.647 (1998B)</p>	<p>93.574 Child Care for Families At-Risk of Welfare Dependency Number changed from 93.036 (1992U)</p>	<p>93.583 Refugee and Entrant Assistance_Wilson/Fish Program (1994U)</p>
<p>93.563 Child Support Enforcement Number changed from 93.023 (1992U)</p>	<p>93.575 Refugee and Entrant Assistance_State Administered Programs Number changed from 93.026 (1992U) Split into 93.584 (1994U) Refugee and Entrant Assistance_State Administered Programs_State Replacement Designees and Wilson Fish (2017) Refugee and Entrant Assistance_State/Replacement Designee Administered Programs (2017)</p>	<p>93.584 Refugee and Entrant Assistance_Targeted Assistance (1994U) Split from 93.566 (1994U) Refugee and Entrant Assistance_Targeted Assistance Grants (2004)</p>
<p>93.564 Child Support Enforcement Research Number changed from 93.024 (1992U) Archived (1997B) Reinstated (1997U)</p>	<p>93.576 Refugee and Entrant Assistance_Discretionary Grants Number changed from 93.038 (1992U) Consolidated from 93.987 (1997B)</p>	<p>93.585 Empowerment Zones Program (1994U) Archived (1998B)</p>
<p>93.565 State Legalization Impact Assistance Grants</p>	<p>93.577 Early Learning Fund (2001U)</p>	<p>93.586</p>

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State Court Improvement Program (1994U)	Tribal Work Grants (1997B)	Archived (2014)
93.587 Promote the Survival and Vitality of Native American Languages (1994U) Promote the Survival and Continuing Vitality of Native American Languages (1995B)	93.595 Welfare Reform Research, Evaluations and National Studies (1997B)	93.608 Administration for Children, Youth and Families_Child Welfare Research and Demonstration Number changed from 13.608 (1990U) Child Welfare Research and Demonstration (1991U) Archived (1998B)
93.588 Community Schools Program (1995U) Community Schools Youth Services and Supervision Program (1996U) Archived (1999B)	93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund (1997B)	93.609 The Affordable Care Act Medicaid Adult Quality Grants (2012)
93.589 Refugee Assistance_Naturalization and Citizenship Activities (1995U) Archived (1999B)	93.597 Grants to States for Access and Visitation Programs (1997B)	93.610 Health Care Innovation Challenge (2011) Health Care Innovation Awards (HCIA) (2012)
93.590 Community-Based Resource Centers (1995U) Community-Based Family Resource and Support Grants (1998B) COMMUNITY-BASED CHILD ABUSE PREVENTION GRANTS (2005) Community-Based Child Abuse Prevention Grants (2006)	93.598 Assistance for Victims of Trafficking (2001U) Services to Victims of a Severe Form of Trafficking (2002B)	93.611 Strong Start for Mothers and Newborns (2012)
93.591 Family Violence Prevention and Services: Grants to State Domestic Violence Coalitions (1995U) Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to State Domestic Violence Coalitions (1997U) Family Violence Prevention and Services/Grants to State Domestic Violence Coalitions (2013) Family Violence Prevention and Services/Battered Women's Shelters_Grants to State Domestic Violence Coalitions (2013) Family Violence Prevention and Services/State Domestic Violence Coalitions (2014)	93.599 Chafee Education and Training Vouchers Program (ETV) (2003B)	93.612 Native American Programs Number changed from 13.612 (1990U)
93.592 Family Violence Prevention and Services: Discretionary Grants (1995U) Family Violence Prevention and Services/Grants for Battered Women's Shelters_Discretionary Grants (1997U) Family Violence Prevention and Services/Discretionary Grants (2013) Family Violence Prevention and Services/Battered Women's Shelters_Discretionary Grants (2013) Family Violence Prevention and Services/Discretionary (2014)	93.600 Administration for Children, Youth and Families_Head Start Number changed from 13.600 (1990U) Head Start (1991U)	93.613 Mental Retardation_President's Committee on Mental Retardation Number changed from 13.613 (1990U)
93.593 Job Opportunities for Low-Income Individuals (1995U) Archived (2016)	93.601 Child Support Enforcement Demonstration and Special Projects (1999B)	93.614 Child Development Associate Scholarships Number changed from 13.614 (1990U) Archived (1997B)
93.594	93.602 New Assets for Independence Demonstration Program (1999B) Assets for Independence Demonstration Program (2005) Assets for Independence Demonstration Program (2006)	93.615 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Research Programs (2012) Maternal, Infant, and Early Childhood Home Visiting Research Programs (2016)
	93.603 Adoption Incentive Payments (1999B) Adoption and Legal Guardianship Incentive Payments (2015)	93.616 Mentoring Children of Prisoners (2003B) Archived (2016)
	93.604 Assistance to Torture Victims (2000B) Assistance for Torture Victims (2005)	93.617 Voting Access for Individuals with Disabilities_Grants to States and Local Government (2003B) Voting Access for Individuals with Disabilities_Grants to States (2004) Archived (2016)
	93.605 Family Connection Grants (2008)	93.618 Voting Access for Individuals with Disabilities_Grants for Protection and Advocacy Systems (2003B) Voting Access for Individuals with Disabilities_Grants for Protection and Advocacy
	93.606 Affordable Care Act - Preparedness and Emergency Response Learning Centers (2011)	
	93.607 Affordable Care Act - Preparedness and Emergency Response Research Centers: A Public Health Systems Approach (2011)	

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<p>Systems (2004)</p> <p>93.621 Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents (2012)</p> <p>93.622 Affordable Care Act: Coordinating Center for Interprofessional Education and Collaborative Practice (2012) Coordinating Center for Interprofessional Education and Collaborative Practice (2016)</p> <p>93.623 Administration for Children, Youth and Families_Runaway and Homeless Youth Number changed from 13.623 (1990U) Runaway and Homeless Youth (1991U) Comprehensive Runaway and Homeless Youth (1995U) Runaway and Homeless Youth (1996B)</p> <p>93.624 ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance (2012)</p> <p>93.626 Affordable Care Act State Health Insurance Assistance Program (SHIP) and Aging and Disability Resource Center (ADRC) Options Counseling for Medicare-Medicaid Individuals in States with Approved Financial Alignment Models (2012)</p> <p>93.627 Affordable Care Act: Testing Experience and Functional Assessment Tools (2012)</p> <p>93.628 Affordable Care Act Implementation Support for State Demonstrations to Integrate Care for Medicare-Medicaid Enrollees (2012)</p> <p>93.629 Affordable Care Act Community Health Applied Research Network (CHARN) (2013) Archived (2013)</p> <p>93.630 Administration on Developmental Disabilities_Basic Support and Advocacy Grants Number changed from 13.630 (1990U) Developmental Disabilities Basic Support and Advocacy Grants (1991U)</p> <p>93.631 Administration on Developmental Disabilities_Projects of National Significance Number changed from 13.631 (1990U)</p>	<p>Developmental Disabilities Projects of National Significance (1991U)</p> <p>93.632 Administration on Developmental Disabilities_University Affiliated Programs Number changed from 13.632 (1990U) Developmental Disabilities University Affiliated Programs (1991U) University Centers for Excellence in Developmental Disabilities Education, Research, and Service (2002B)</p> <p>93.633 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers Number changed from 13.633 (1990U) Number changed to 93.044 (1992U)</p> <p>93.634 ACA Support for Demonstration Ombudsman Programs Serving Beneficiaries of State Demonstrations to Integrate Care for Medicare-Medicaid (2013)</p> <p>93.635 Special Programs for the Aging_Title III, Part C_Nutrition Services Number changed from 13.635 (1990U) Number changed to 93.045 (1992U)</p> <p>93.636 ACA - Reinvestment of Civil Money Penalties to Benefit Nursing Home Residents (2014)</p> <p>93.638 ACA-Transforming Clinical Practice Initiative: Practice Transformation Networks (PTNs) (2014)</p> <p>93.639 ACA-Transforming Clinical Practice Initiative: Support and Alignment Networks (SANs) (2014)</p> <p>93.640 Basic Health Program (Affordable Care Act) (2014)</p> <p>93.641 Special Programs for the Aging_Title III, Part D_In-Home Services for Frail Older Individuals Number changed from 13.641 (1990U) Number changed to 93.046 (1992U)</p> <p>93.643 Children's Justice Grants to States Number changed from 13.643 (1990U)</p> <p>93.644 Adult Medicaid Quality: Improving Maternal and Infant Health Outcomes in Medicaid and CHIP</p>	<p>(2015)</p> <p>93.645 Child Welfare Services_State Grants Number changed from 13.645 (1990U) Stephanie Tubbs Jones Child Welfare Services Program (2011)</p> <p>93.646 ACA Testing a Model of Data Aggregation under the Comprehensive Primary Care initiative (2015)</p> <p>93.647 Social Services Research and Demonstration Number changed from 13.647 (1990U) Consolidated from 93.562 (1998B)</p> <p>93.648 Child Welfare Services Training Grants Number changed from 13.648 (1990U) Child Welfare Research Training or Demonstration (2009)</p> <p>93.649 Nutrition and Physical Activity Programs (2015)</p> <p>93.650 Accountable Health Communities (2015)</p> <p>93.651 Transforming Clinical Practice Initiative (TCPI) Support and Alignment Network (SAN) 2.0 (2016)</p> <p>93.652 Administration for Children, Youth and Families_Adoption Opportunities Number changed from 13.652 (1990U) Adoption Opportunities (1991U)</p> <p>93.655 Special Programs for the Aging, Title VI_Part A, Indian Programs_Grants to Indian Tribes_Part B, Grants to Native Hawaiians Number changed from 13.655 (1990U) Special Programs for the Aging, Title VI_Part A, Indian Programs_Grants to Indian Tribes and Part B, Grants to Native Hawaiians (1991B) Number changed to 93.047 (1992U)</p> <p>93.656 Temporary Child Care and Crisis Nurseries Number changed from 13.656 (1990U) Archived (1998B)</p> <p>93.657 Drug Abuse Prevention and Education for Runaway and Homeless Youth Number changed from 13.657 (1990U) Drug Abuse Prevention Program for Runaway and Homeless Youth (1991B)</p>
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Drug Education and Prevention for Homeless Youth (1993U) Archived (1997B)	Services/Grants to States and Native American Tribes and Tribal Organizations (2013) Family Violence Prevention and Services/Battered Women's Shelters_Grants to States and Indian Tribes (2013) Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services (2014)	Aging Nutrition Services for Native Americans (2009) Archived (2016)
93.658 Foster Care_Title IV-E Number changed from 13.658 (1990U)	93.672 Child Abuse Challenge Grants Number changed from 13.672 (1990U) Child Abuse and Neglect State Prevention Grants (1992U) Community-Based Prevention Program (1993U) Archived (1995B)	93.707 Aging Congregate Nutrition Services for States (2009) Archived (2012)
93.659 Adoption Assistance Number changed from 13.659 (1990U)	93.673 Grants to States for Planning and Development of Dependent Care Programs Number changed from 13.673 (1990U) Archived (1997B)	93.708 ARRA - HEAD START (2009) Archived (2016)
93.660 Drug Abuse Prevention and Education Relating to Youth Gangs Number changed from 13.660 (1990U) Drug Education and Prevention Programs for Youth Gangs (1993U) Youth Initiative/Youth Gangs (1994B) Archived (1997B)	93.674 Independent Living Number changed from 13.674 (1990U) Chafee Foster Care Independent Living (2002B) Chafee Foster Care Independence Program (2005) Chafee Foster Care Independence Program (2006)	93.709 ARRA EARLY HEAD START (2009) Archived (2016)
93.666 Comprehensive Child Development Centers Number changed from 13.666 (1990U) Archived (1996B)	93.676 Unaccompanied Alien Children Program (2003B)	93.710 ARRA - COMMUNITY SERVICES BLOCK GRANT (2009) Archived (2016)
93.667 Social Services Block Grant Number changed from 13.667 (1990U)	93.677 IMR and NCCIM Programs (2012) Archived (2013)	93.711 ARRA STRENGTHENING COMMUNITIES FUND (2009) Archived (2016)
93.668 Special Programs for the Aging_Title IV_Training, Research and Discretionary Projects and Programs Number changed from 13.668 (1990U) Number changed to 93.048 (1992U)	93.701 Trans-NIH Recovery Act Research Support (2009)	93.712 ARRA - Immunization (2009) Archived (2013)
93.669 Administration for Children, Youth and Families_Child Abuse and Neglect State Grants Number changed from 13.669 (1990U) Child Abuse and Neglect State Grants (1991U)	93.702 National Center for Research Resources, Recovery Act Construction Support (2009)	93.713 ARRA CHILD CARE AND DEVELOPMENT BLOCK GRANT (2009) Archived (2016)
93.670 Administration for Children, Youth and Families_Child Abuse and Neglect Discretionary Activities Number changed from 13.670 (1990U) Child Abuse and Neglect Discretionary Activities (1991U)	93.703 ARRA Health Center Integrated Services Development Initiative[g1] (2009) ARRA Grants to Health Center Programs (2010) Archived (2017)	93.714 ARRA EMERGENCY CONTINGENCY FUND FOR TEMPORARY ASSISTANCE FOR NEEDY FAMILIES (TANF) STATE PROGRAMS (2009)
93.671 Family Violence and Prevention Services Number changed from 13.671 (1990U) Family Violence Prevention and Services_Grants to States and Indian Tribes (1995B) Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes (1997U) Family Violence Prevention and Services/Grants for Domestic Violence Shelters and Supportive	93.704 Trans-NIH Recovery Act Loan Repayment Support (2009)	93.715 Recovery Act Comparative Effectiveness Research - AHRQ (2009) Archived (2015)
	93.705 Aging Home-Delivered Nutrition Services for States (2009) Archived (2012)	93.716 ARRA TEMPORARY ASSISTANCE FOR NEEDY FAMILIES (TANF) SUPPLEMENTAL GRANTS (2009) Archived (2017)
	93.706	93.717 ARRA - Preventing Healthcare-Associated Infections (2009) Archived (2013)
		93.718 Health Information Technology Regional Extension Centers Program (2009)

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<p>93.719 ARRA State Grants to Promote Health Information Technology (2009) Advance Interoperable Health Information Technology Services to Support Health Information Exchange (2015)</p>	<p>Archived (2015)</p> <p>93.731 ARRA - Prevention and Wellness - Leveraging National Organizations (2010) Archived (2014)</p>	<p>93.738 PPHF 2012: Racial and Ethnic Approaches to Community Health Program financed solely by 2012 Public Prevention and Health Funds (2012) PPHF: Racial and Ethnic Approaches to Community Health Program financed solely by Public Prevention and Health Funds (2014)</p>
<p>93.720 ARRA - Survey and Certification Ambulatory Surgical Center Healthcare-Associated Infection (ASC-HAI) Prevention Initiative (2009) Archived (2015)</p>	<p>93.732 Mental and Behavioral Health Education and Training Grants (2012) PPHF-2012 Mental and Behavioral Health Education and Training Grants (2012) Mental and Behavioral Health Education and Training Grants (2012)</p>	<p>93.739 PPHF2012: Chronic disease Innovation Grants - financed solely by 2012 Public Prevention Health Funds (2012) PPHF: Chronic disease Innovation Grants - financed solely by Public Prevention Health Funds (2014)</p>
<p>93.721 ARRA - Health Information Technology Professionals in Health Care (2009)</p>	<p>93.733 Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by the Prevention and Public Health Fund (PPHF-2012) (2012) Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by the Prevention and Public Health Fund (PPHF) (2014)</p>	<p>93.740 Prevention Public Health Fund 2012: Viral Hepatitis Education (2012) Prevention Public Health Fund: Viral Hepatitis Education (2014) Archived (2015)</p>
<p>93.722 IHS ARRA Tribal Agreements (2009) ARRA - IHS Tribal Agreements (2009) Archived (2013)</p>	<p>93.734</p>	<p>93.741 Breastfeeding Promotion and Support Improving Maternity Care Practices project financed solely by 2012 Public Prevention and Health Funds (2012) Breastfeeding Promotion and Support Improving Maternity Care Practices project financed solely by Public Prevention and Health Funds (2014) Archived (2017)</p>
<p>93.723 ARRA - Prevention and Wellness-State, Territories and Pacific Islands (2009) Archived (2017)</p>	<p>Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs financed by 2012 Prevention and Public Health Funds (PPHF-2012) (2012) Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs financed by Prevention and Public Health Funds (PPHF) (2014)</p>	<p>93.742 PPHF 2012: Early Childcare and Education Obesity Prevention Program - Obesity Prevention in Young Children - financed solely by 2012 Public Prevention and Health Funds (2012) PPHF: Early Childcare and Education Obesity Prevention Program - Obesity Prevention in Young Children - financed solely by Public Prevention and Health Funds (2014)</p>
<p>93.724 ARRA - Prevention and Wellness -Communities Putting Prevention to Work Funding Opportunities Announcement (FOA) (2009) Archived (2017)</p>	<p>93.735 State Public Health Approaches for Ensuring Quitline Capacity Funded in part by 2012 Prevention and Public Health Funds (PPHF-2012) (2012)</p>	<p>93.743 Racial and Ethnic Approaches to Community Health: Obesity and Hypertension Demonstration Projects financed solely by 2012 Prevention and Public Health Funds (2012)</p>
<p>93.725 ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program (2009) Archived (2016)</p>	<p>State Public Health Approaches for Ensuring Quitline Capacity Funded in part by Prevention and Public Health Funds (PPHF) (2014)</p>	<p>Racial and Ethnic Approaches to Community Health: Obesity and Hypertension Demonstration Projects financed solely by Prevention and Public Health Funds (2014)</p>
<p>93.726 ARRA Accelerating Adoption of Comparative Effectiveness Research (CER) (2010) Archived (2015)</p>	<p>93.736 Prevention Public Health Fund 2012: Viral Hepatitis Prevention (2012) Prevention Public Health Fund: Viral Hepatitis Prevention (2014) Archived (2016)</p>	<p>93.744 PPHF 2012: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by 2012 Prevention and Public Health Funds (2012) PPHF: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by Prevention and Public Health Funds (2014)</p>
<p>93.727 ARRA - Health Information Technology - Beacon Communities (2009) Beacon Communities - Community Health Peer Learning Program (2015)</p>	<p>93.737 PPHF 2012: Community Transformation Grants -Small Communities Program financed solely by 2012 Public Prevention and Health Funds (2012) PPHF: Community Transformation Grants -Small Communities Program financed solely by Public Prevention and Health Funds (2014) Archived (2017)</p>	
<p>93.728 ARRA - Strategic Health IT Advanced Research Projects (SHARP) (2009)</p>		
<p>93.729 ARRA Health Information Technology and Public Health (2010) Archived (2013)</p>		
<p>93.730 ARRA Prevention Research Centers Comparative Effectiveness Research Program (2010)</p>		

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<p>93.745 PPHF-2012: Health Care Surveillance/Health Statistics Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by 2012 Prevention and Public Health Funds (PPHF-2012) (2012) PPHF: Health Care Surveillance/Health Statistics Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by Prevention and Public Health Fund (2014)</p>	<p>Promotion and Support of Optimal Breastfeeding Practices throughout the United States financed solely by Prevention and Public Health Funding (PPHF) (2014) Archived (2017)</p>	<p>Health Laboratories (2014) PPHF-CDC Partnership: Strengthening Public Health Laboratories (2015)</p>
<p>93.747 Elder Abuse Prevention Interventions Program (2012)</p>	<p>93.755 Surveillance for Diseases Among Immigrants and Refugees financed in part by 2014 Prevention and Public Health Funds (PPHF) (2014) Surveillance for Diseases Among Immigrants and Refugees financed in part by Prevention and Public Health Funds (PPHF) (2014)</p>	<p>93.766 Health Care Financing Research, Demonstrations and Evaluations Number changed from 13.779 (1990U)</p>
<p>93.748 PPHF-2012 Cooperative Agreements for Prescription Drug Monitoring Program Electronic Health Record (EHR) Integration and Interoperability Expansion (2012) PPHF Cooperative Agreements for Prescription Drug Monitoring Program Electronic Health Record (EHR) Integration and Interoperability Expansion (2014)</p>	<p>93.756 Nutrition and Physical Activity Program funded solely by Prevention and Public Health Funds (PPHF) (2014)</p>	<p>93.767 State Children's Insurance Program (1998B) Children's Health Insurance Program (2009)</p>
<p>93.749 PPHF 2012 Public Health Laboratory Infrastructure financed solely by 2012 Prevention and Public Health Fund (2012) PPHF Public Health Laboratory Infrastructure financed solely by Prevention and Public Health Fund (2014)</p>	<p>93.757 State Public Health Actions to Prevent and Control Diabetes, Heart Disease, Obesity and Associated Risk Factors and Promote School Health financed in part by Prevention and Public Health Funding (PPHF) (2014)</p>	<p>93.768 Medicaid Infrastructure Grants to Support the Competitive Employment of People with Disabilities (2000U) Archived (2015) Reinstated (2017)</p>
<p>93.750 PPHF 2013 - Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Exchanges (2013) PPHF Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Exchanges (2014) Archived (2015) Reinstated (2017)</p>	<p>93.758 Preventive Health and Health Services Block Grant funded solely with Prevention and Public Health Funds (PPHF) (2014)</p>	<p>93.769 Demonstration to Maintain Independence and Employment (2000U) Archived (2011)</p>
<p>93.751 PPHF2013: Consortium for Tobacco Use Cessation Technical Assistance financed by solely by 2013 Prevention and Public Health Funds (2013) PPHF: Consortium for Tobacco Use Cessation Technical Assistance financed by solely by Prevention and Public Health Funds (2014)</p>	<p>93.759 Consortium for Tobacco Use Cessation Technical Assistance financed solely by Prevention and Public Health Funds (2014)</p>	<p>93.770 Medicare_Prescription Drug Coverage (2005)</p>
<p>93.752 Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations financed in part by Prevention and Public Health Funds (2014)</p>	<p>93.760 Rural PACE (Program of All-Inclusive Care for the Elderly) Provider Grant Program (2006) Archived (2011)</p>	<p>93.772 Tribal Public Health Capacity Building and Quality Improvement Umbrella Cooperative Agreement (2017)</p>
<p>93.753 Child Lead Poisoning Prevention Surveillance financed in part by Prevention and Public Health (PPHF) Program (2014)</p>	<p>93.762 A Comprehensive Approach to Good Health and Wellness in Indian County financed solely by Prevention and Public Health (2014)</p>	<p>93.773 Medicare_Supplementary Medical Insurance Number changed from 13.773 (1990U)</p>
<p>93.754</p>	<p>93.763 Alzheimers Disease Initiative: Specialized Supportive Services Project (ADI-SSS) thru Prevention and Public Health Funds (PPHF) (2014)</p>	<p>93.774 Health Insurance for the Aged_Supplementary Medical Insurance Number changed from 13.774 (1990U)</p>
	<p>93.764 PPHF- Cooperative Agreements to Implement the National Strategy for Suicide Prevention (Short Title: National Strategy Grants) (2014)</p>	<p>93.775 State Medicaid Fraud Control Units Number changed from 13.775 (1990U)</p>
	<p>93.765 PPHF 2015 CSELS Partnerships: Enhancing Public Health Laboratories (2014) PPHF CSELS Partnerships: Enhancing Public Health Laboratories (2014) PPHF-CSELS Partnerships: Strengthening Public</p>	<p>93.776 Hurricane Katrina Relief (2006) Archived (2009)</p>
		<p>93.777 State Survey and Certification of Health Care Providers and Suppliers Number changed from 13.777 (1990U)</p>
		<p>93.778 Medical Assistance Program Number changed from 13.714 (1990U)</p>
		<p>93.779</p>

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Health Care Financing Research, Demonstrations and Evaluations Number changed from 13.766 (1990U) Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (2002B)	Reimbursement of State Costs for Provision of Part D Drugs (2006) Archived (2009)	93.810 Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (2015)
93.780 Grants to States for Operation of Qualified High-Risk Pools (2003B)	93.796 State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (2010)	93.812 Public Assistance Research Number changed from 13.812 (1990U) Number changed to 96.007 (1995B)
93.781 Seed Grants to States for Qualified High-Risk Pools (2003B) Archived (2009)	93.799 799 (2017)	93.813 Heart Disease & Stroke Prevention Program and Diabetes Prevention State and Local Public Health Actions to Prevent Obesity, Diabetes, and Heart Disease and Stroke (2015)
93.782 Medicare Transitional Drug Assistance Program for Territories (2004)	93.800 Organized Approaches to Increase Colorectal Cancer Screening (2014)	93.814 Partner support for heart disease and stroke prevention (2015)
93.783 Medicare Transitional Drug Assistance Program for States (2004) Archived (2009)	93.801 Ebola Healthcare Preparedness and Response for Select Cities with Enhanced Airport Entrance Screenings from Affected Countries in West Africa (2014)	93.815 Domestic Ebola Supplement to the Epidemiology and Laboratory Capacity for Infectious Diseases (ELC). (2015)
93.784 Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens (2004)	93.802 Social Security_Disability Insurance Number changed from 13.802 (1990U) Number changed to 96.001 (1995B)	93.816 Preventing Heart Attacks and Strokes in High Need Areas (2015)
93.785 Pilot Program for National and State Background Checks--Direct Patient Access for Long-Term Care (2004) Archived (2009)	93.803 Social Security_Retirement Insurance Number changed from 13.803 (1990U) Number changed to 96.002 (1995B)	93.817 Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities (2015)
93.786 State Pharmaceutical Assistance Programs (2004) Archived (2009)	93.804 Social Security_Special Benefits for Persons Aged 72 and Over Number changed from 13.804 (1990U) Number changed to 96.003 (1995B)	93.818 Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa (2015)
93.788 Opioid STR (2016)	93.805 Social Security_Survivors Insurance Number changed from 13.805 (1990U) Number changed to 96.004 (1995B)	93.819 National Laboratory Biosafety for Ebola and other Highly Infectious Diseases (2015) Archived (2015)
93.789 Alternatives to Psychiatric Residential Treatment Facilities for Children (2006) Archived (2013)	93.806 Special Benefits for Disabled Coal Miners Number changed from 13.806 (1990U) Number changed to 96.005 (1995B)	93.820 Scholarships for Students of Exceptional Financial Need Number changed from 13.820 (1990U) Archived (2002B)
93.790 Alternate Non-Emergency Service Providers or Networks (2006) Archived (2013)	93.807 Supplemental Security Income Number changed from 13.807 (1990U) Number changed to 96.006 (1995B)	93.821 Biophysics and Physiological Sciences Number changed from 13.821 (1990U) Incorporated from 93.863 (1995B) Cell Biology and Biophysics Research (1995B) Consolidated into 93.859 (2003B) Deleted (2003)
93.791 Money Follows the Person Rebalancing Demonstration (2006)	93.808 Increasing the Implementation of Evidence-Based Cancer Survivorship Interventions to Increase Quality and Duration of Life Among Cancer Patients (2014)	93.822 Health Careers Opportunity Program Number changed from 13.822 (1990U) Split into 93.221 (1994U)
93.793 Medicaid Transformation Grants (2006) Archived (2013)	93.809 National Organizations for Chronic Disease Prevention and Health Promotion (2014)	
93.794		

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93.823 Ebola Support: Transmission and prevention control, public health preparedness, vaccine development. (2015)	Lung Diseases Research Number changed from 13.838 (1990U)	Number changed from 13.853 (1990U) Consolidated from 93.854 (1999B) Extramural Research Programs in the Neurosciences and Neurological Disorders (2000B)
93.824 Area Health Education Centers Number changed from 13.824 (1990U) Basic/Core Area Health Education Centers (1999U) Area Health Education Centers Infrastructure Development Awards (2010)	93.839 Blood Diseases and Resources Research Number changed from 13.839 (1990U)	93.854 Biological Basis Research in the Neurosciences Number changed from 13.854 (1990U) Consolidated into 93.853 (1999B)
93.825 National Ebola Training and Education Center (NETEC) (2015)	93.840 Translation and Implementation Science Research for Heart, Lung, Blood Diseases, and Sleep Disorders (2015)	93.855 Allergy, Immunology and Transplantation Research Number changed from 13.855 (1990U) Allergy and Infectious Diseases Research (2015)
93.826 Closing the Gap Between Standards Development and Implementation (2015)	93.843 ACL Assistive Technology State Grants for Protection and Advocacy (2015)	93.856 Microbiology and Infectious Diseases Research Number changed from 13.856 (1990U)
93.827 Educating State-level Stakeholders on Strategies to Address Winnable Battles in Public Health (2015)	93.844 ACL Centers for Independent Living, Recovery Act (2015)	93.857 Measuring Interoperability Progress through Individuals Access and Use of the Electronic Health Data (2015)
93.829 Section 223 Demonstration Programs to Improve Community Mental Health Services (2015)	93.845 Promoting Population Health through Increased Capacity in Alcohol Epidemiology (2015)	93.858 National Collaboration to Support Health, Wellness and Academic Success of School-Age Children (2015)
93.830 Interoperability Roadmap: Public/Private Partnership (2015)	93.846 Arthritis, Musculoskeletal and Skin Diseases Research Number changed from 13.846 (1990U)	93.859 Pharmacological Sciences Number changed from 13.859 (1990U) Pharmacology and Biorelated Chemistry (1993U) Incorporated from 93.863 (1995B) Pharmacology, Physiology, and Biorelated Chemistry (1995B) Pharmacology, Physiology, and Biological Chemistry Research (1995U)
93.831 Standards Development Organization Collaboration to Enhance Standards Alignment, Testing, and Measurement (2015)	93.847 Diabetes, Endocrinology and Metabolism Research Number changed from 13.847 (1990U) Diabetes, Digestive, and Kidney Diseases Extramural Research (2008)	93.860 Emerging Infections Sentinel Networks (2015)
93.832 Promoting the Cancer Surveillance Workforce, Education and Data Use (2015)	93.848 Digestive Diseases and Nutrition Research Number changed from 13.848 (1990U) Deleted (2008)	93.861 Strengthening the Public Health System in US-affiliated Pacific Islands (PPHF) (2015)
93.833 Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States (2015)	93.849 Kidney Diseases, Urology and Hematology Research Number changed from 13.849 (1990U) Deleted (2008)	93.862 Genetics Research Number changed from 13.862 (1990U) Genetics and Developmental Biology Research (1995B) Genetics and Developmental Biology Research and Research Training (2000B) Consolidated into 93.859 (2003B) Archived (2003)
93.834 Capacity Building Assistance (CBA) for High-Impact HIV Prevention (2016) Archived (2017)	93.850 Improving Epilepsy Programs, Services, and Outcomes through National Partnerships (2015)	93.863 Cellular and Molecular Basis of Disease Research Number changed from 13.863 (1990U) Incorporated into 93.821, 93.859 (1995B)
93.835 Planning Grant for Healthcare and Public Health Sector Cybersecurity Information Sharing (2015)	93.851 Tracking Electronic Health Record Adoption and Capturing Related Insights in U.S. Hospitals (2015)	
93.837 Heart and Vascular Diseases Research Number changed from 13.837 (1990U) Cardiovascular Diseases Research (2008)	93.852 National Syndromic Surveillance Program Community of Practice (NSSP CoP) (2015)	
93.838	93.853 Clinical Research Related to Neurological Disorders	

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<p>93.864 Population Research Number changed from 13.864 (1990U) Consolidated into 93.865 (2003B) Deleted (2003)</p>	<p>Education, and Support (2016)</p>	<p>93.888 Specially Selected Health Projects (2004) Archived (2016)</p>
<p>93.865 Research for Mothers and Children Number changed from 13.865 (1990U) Center for Research for Mothers and Children (1998B) Child Health and Human Development Extramural Research (2004)</p>	<p>93.878 Enhance the Ability of Emergency Medical Services (EMS) to transport patients with highly infectious diseases (HID) (2016)</p>	<p>93.889 National Bioterrorism Hospital Preparedness Program (2004)</p>
<p>93.866 Aging Research Number changed from 13.866 (1990U)</p>	<p>93.879 Medical Library Assistance Number changed from 13.879 (1990U)</p>	<p>93.890 Healthy Communities Access Program (HCAP) Demonstration Authority (2004) Archived (2010)</p>
<p>93.867 Retinal and Choroidal Diseases Research Number changed from 13.867 (1990U) Incorporated from 93.868, 93.871 (1993B) Vision Research (1993B)</p>	<p>93.880 Minority Access to Research Careers Number changed from 13.880 (1990U) Consolidated into 93.859 (2003B) Deleted (2003)</p>	<p>93.891 Alcohol Research Center Grants Number changed from 13.891 (1990U) Archived (2010)</p>
<p>93.868 Anterior Segment Diseases Research Number changed from 13.868 (1990U) Incorporated into 93.867 (1993B)</p>	<p>93.881 Health Insurance Market Reforms (2016) The Health Insurance Enforcement and Consumer Protections Grant Program (2016)</p>	<p>93.893 HEALTH CARE AND PUBLIC HEALTH (HPH) SECTOR INFORMATION SHARING AND ANALYSIS ORGANIZATION (ISAO) (2016)</p>
<p>93.870 Maternal, Infant and Early Childhood Homevisiting Grant Program (2015)</p>	<p>93.883 Standards Explorations Award (2016) Standards Exploration Award (2016)</p>	<p>93.894 Resource and Manpower Development in the Environmental Health Services Number changed from 13.894 (1990U) Deleted (2006)</p>
<p>93.871 Strabismus, Amblyopia and Visual Processing Number changed from 13.871 (1990U) Incorporated into 93.867 (1993B)</p>	<p>93.884 Grants for Residency Training in General Internal Medicine and/or General Pediatrics Number changed from 13.884 (1990U) Consolidated from 93.379 (2001B) Grants for Residency Training in Primary Care Medicine and Dentistry (2004) Grants for Training in Primary Care Medicine and Dentistry (2005) Grants for Training in Primary Care Medicine and Dentistry (2006) Grants for Primary Care Training and Enhancement (2012)</p>	<p>93.895 Grants for Faculty Development in Family Medicine Number changed from 13.895 (1990U) Consolidated from 93.900 (2001B) Consolidated into 93.884 (2003B) Deleted (2003)</p>
<p>93.872 Tribal Maternal, Infant, and Early Childhood Home Visiting (2015)</p>	<p>93.886 Grants for Physician Assistant Training Program Number changed from 13.886 (1990U) Physician Assistant Training in Primary Care (1999U) Consolidated into 93.884 (2003B) Deleted (2003)</p>	<p>93.896 Grants for Predoctoral Training in Family Medicine Number changed from 13.896 (1990U) Predoctoral Training in Primary Care (Family Medicine, General Internal Medicine/General Pediatrics) (2000B) Consolidated into 93.884 (2003B) Deleted (2003)</p>
<p>93.873 State Grants for Protection and Advocacy Services (2015)</p>	<p>93.887 Project Grants for Non-Acute Care Intermediate and Long-Term Care Facilities for Patients with AIDS Number changed from 13.887 (1990U) Project Grants for Renovation or Construction of Non-Acute Health Care Facilities (1995U) Project Grants for Renovation or Construction of Non-Acute Health Care Facilities and Other Facilities (1999U) Health Care and Other Facilities (2002B) Archived (2016)</p>	<p>93.897 Residency Training and Advanced Education in the General Practice of Dentistry Number changed from 13.897 (1990U) Residencies and Advanced Education in the Practice of General Dentistry (1999U) Consolidated into 93.884 (2003B) Deleted (2003)</p>
<p>93.874 Strengthening the Public Health System in US-affiliated Pacific Islands (Non-PPHF) (2016)</p>	<p>93.888 Health Care and Other Facilities (2002B) Archived (2016)</p>	<p>93.898 Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations (2016)</p>
<p>93.875 Assistance for Oral Disease Prevention and Control (2016)</p>	<p>93.889 Archived (2016)</p>	<p>93.900</p>
<p>93.876 Antimicrobial Resistance Surveillance in Retail Food Specimens (2016)</p>		
<p>93.877 Autism Collaboration, Accountability, Research,</p>		

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Grants for Faculty Development in General Internal Medicine and/or General Pediatrics
Number changed from 13.900 (1990U)
Consolidated into 93.895 (2001B)

93.901

Communications Programs Aimed Toward the Prevention of Alcohol and Other Drug Problems
Number changed from 13.901 (1990U)
Communications Programs Aimed Toward the Prevention of Alcohol, Tobacco, and Other Drug Problems (1992U)
Communications Programs for Demonstrating the Prevention of Alcohol, Tobacco, and Other Drug Problems (1995B)
Communications Programs for Demonstrating the Prevention of Alcohol, and Drug Problems (1996B)
Archived (1999B)

93.902

Model Comprehensive Treatment Programs for Critical Populations (1990U)
Model Comprehensive Drug Abuse Treatment Programs for Critical Populations (1991B)
Archived (1999B)

93.903

Model Criminal Justice Drug Abuse Treatment_Incarcerated
Populations_Non-Incarcerated
Populations_Treatment Prison (1990U)
Model Criminal Justice Drug Abuse Treatment_Incarcerated
Populations_Non-Incarcerated
Populations_Juvenile Justice Populations (1991B)
Model Criminal Justice Drug Abuse Treatment for Incarcerated Populations, Non-Incarcerated Populations and Juvenile Justice Populations (1992U)
Archived (1997B)

93.904

Prevention and Primary Health Care Services to Persons with HIV Infection and/or AIDS in Community Health Facilities (1990U)
Archived (1991U)

93.905

Indian Health Service Research (1990U)
Archived (2001B)

93.906

Rural Health Medical Education Demonstration Projects (1990U)
Archived (2000B)

93.907

Grants for Nurse Anesthetist Faculty Fellowships (1990U)
Archived (2000B)

93.908

Nursing Education Loan Repayment Agreements for Registered Nurses Entering Employment at Eligible Health Facilities (1990U)
Nursing Education Loan Repayment Program for Registered Nurses Entering Employment at Eligible Health Facilities (1993U)
Nursing Education Loan Repayment Program (2005)
NURSE Corps Loan Repayment Program (2013)

93.909

Subacute Care Demonstration Grants (1990U)
Archived (1992B)

93.910

Community Coalition Projects to Support Health and Human Services Needs for Minority Males (1990U)
Community Coalition Intervention Demonstration Projects to Support Health and Human Services Needs for Minority Males (1991B)
Community Coalition Demonstration Projects to Support Health and Human Services Needs for Minority Males (1992B)
Family and Community Violence Prevention Program (1995B)

93.911

Cooperative Agreements for Drug Abuse Campus Treatment Demonstration Projects (1991B)
Archived (1995B)

93.912

Rural Health Services Outreach (1991B)
Rural Outreach_Rural Network Development Program (1997B)
Rural Health Outreach and Rural Network Development Program (2000B)
Rural Health Care Services Outreach and Rural Health Network Development Program (2005)
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (2010)

93.913

Grants to States for Operation of Offices of Rural Health (1991B)
Split into 93.223 (1994U)
Grants to States for Operation of State Offices of Rural Health (2016)

93.914

HIV Emergency Relief Project Grants (1991B)
Consolidated into 93.915 (1999B)
Reinstated (2000B)

93.915

HIV Emergency Relief Formula Grants (1991B)
Consolidated from 93.914 (1999B)
Archived (2000B)

93.916

Nurse Anesthetist Education Programs (1991B)
Consolidated into 93.247 (2001B)

93.917

HIV Care Formula Grants (1991B)

93.918

Grants to Provide Outpatient Early Prevention Services with Respect to HIV Disease (1991B)

93.919

Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Control Programs (1991B)
Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (1993B)

93.920

Facilities Construction Projects to Support Centers for Treatment and Research on Substance Abuse (1991B)
Archived (1992B)

93.921

ADAMHA Science Education Partnership Award (1991B)
NIAAA, NIDA and NIMH Science Education Partnership Awards (1992U)
Incorporated into 93.922 (1993B)

93.922

NIH Science Education Partnership Award (1991B)
Incorporated from 93.921 (1993B)
NCCR Science Education Award (1994B)
Consolidated into 93.389 (1996B)

93.923

Disadvantaged Health Professions Faculty Loan Repayments (1991B)
Disadvantaged Health Professions Faculty Loan Repayment and Fellowship Program (1993B)
Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP) (2005)
Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP) (2006)
Disadvantaged Health Professions Faculty Loan Repayment Program (FLRP) (2016)

93.924

HIV/AIDS Dental Reimbursements (1991B)
Ryan White HIV/AIDS Dental Reimbursements (1996U)
Ryan White HIV/AIDS Dental Reimbursements/Community Based Dental Partnership (2005)
Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants

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(2012)	National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (1992B)	Prevention and Control (2006) Archived (2011) Reinstated (2011)
93.925 Scholarships for Health Professions Students from Disadvantaged Backgrounds (1991B) Consolidated from 93.139 (2001B)	93.937 Comprehensive Residential Drug Prevention and Treatment Projects for Substance-Using Women and Their Children (1992B) Archived (1999B)	93.946 Cooperative Agreements to Support State-Based Pregnancy Risk Assessment Monitoring System (1992U) Split from 93.283 (1992U) Cooperative Agreements to Support State-Based Infant Health Initiative Programs (1993B) Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (2002B)
93.926 Maternal and Child Health-Targeted Infant Mortality Initiative (1991U) Healthy Start Initiative (1992B)	93.938 Cooperative Agreements to Support School Health Education to Prevent the Spread of Acquired Immunodeficiency Syndrome (1992U) Split from 93.118 (1992U) Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (1994B)	93.947 Tuberculosis Demonstration, Research, Public and Professional Education (1992U) Split from 93.116 (1992U)
93.927 Health Services to Residents of Public Housing (1991U) Residents of Public Housing Primary Care Program (1992U) Health Centers Grants for Residents of Public Housing (1997B) Incorporated into 93.224 (2006)	93.939 HIV Prevention Activities_Non-Governmental Organization Based (1992U) Split from 93.118 (1992U)	93.948 Pilot Clinical Pharmacology Training (1992B) Archived (2003B)
93.928 Special Projects of National Significance (1991U)	93.940 HIV Prevention Activities_Health Department Based (1992U) Split from 93.118 (1992U)	93.949 HIV/AIDS and Related Diseases Among Substance Abusers: Community-Based Outreach and Intervention Demonstration Program (1992B) Split from 93.279 (1992B) Community-Based Comprehensive HIV/STD/TB Outreach Services for High Risk Substance Abusers Demonstration Program (1996U) Archived (1999B)
93.929 Center for Medical Rehabilitation Research (1991U) Consolidated into 93.865 (2003B) Deleted (2003)	93.941 HIV Demonstration, Research, Public and Professional Education Projects (1992U) Split from 93.118 (1992U)	93.950 Capacity Expansion Program (1992B) Archived (1997B)
93.930 Nursing Education Loan Demonstration (1991U) Archived (1992B)	93.942 Research, Treatment and Education Programs on Lyme Disease in the United States (1992B) Research, Prevention, and Education Programs on Lyme Disease in the United States (2012)	93.951 Demonstration Grants to States with Respect to Alzheimer's Disease (1992U) Archived (2000B)
93.931 Demonstration Grants to States for Community Scholarship (1991U) Archived (2000B)	93.943 Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (1992U) Split from 93.118 (1992U)	93.952 Improving EMS/Trauma Care in Rural Areas (1992U) Archived (1997B) Reinstated (2001U) Trauma Care Systems Planning and Development (2005) Archived (2010)
93.932 Native Hawaiian Health Centers (1991U) Native Hawaiian Health Systems (1993B) Native Hawaiian Health Care Systems (2013)	93.944 Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (1992U) Split from 93.283 (1992U)	93.953 Modification of Trauma Care Component of State EMS Plan (1992U) Archived (1997B) Reinstated (2001U) Trauma Care Systems Planning and Development (2004) Consolidated into 93.952 (2005)
93.933 Research and Demonstration Projects for Indian Health (1991U) Demonstration Projects for Indian Health (2000B)	93.945 Assistance Program for Chronic Disease Prevention and Control (1992U) Split from 93.283 (1992U) Archived (1994U) Reinstated (2001B) Assistance Programs for Chronic Disease	
93.934 Fogarty International Research Collaboration Award (1992B) Consolidated into 93.989 (2006)		
93.935 Project Grants for Renovation or Construction at Tertiary Perinatal Facilities (1992B) Archived (1993U)		
93.936		

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<p>93.954 Tribal Recruitment and Retention of Health Professionals into Indian Health Programs (1992U) Archived (2013)</p>	<p>93.963 Graduate Programs in Health Administration Number changed from 13.963 (1990U) Archived (1993B)</p>	<p>93.974 Family Planning_Service Delivery Improvement Research Grants Number changed from 13.974 (1990U)</p>
<p>93.955 Health and Safety Programs for Construction Work and Model Construction Safety and Health (1992U) Split from 93.263, 93.962 (1992U) Health and Safety Programs for Construction Work (1995B) Deleted (2005)</p>	<p>93.964 Traineeships for Students in Schools of Public Health and Other Graduate Public Health Programs Number changed from 13.964 (1990U) Traineeships for Students in Schools of Public Health (1990U) Public Health Traineeships (1993B) Prevention and Public Health Fund (PPHF) Public Health Traineeships (2012)</p>	<p>93.975 National All Schedules Prescription Electronic Reporting Grant (2009) Archived (2015)</p>
<p>93.956 Centers for Agricultural Research, Education and Disease and Injury Prevention and Occupational Respiratory Disease and Musculoskeletal Disorders Evaluation and Rehabilitation (1992U) Split from 93.262, 93.283 (1992U) Agricultural Health and Safety Programs (1996B) Deleted (2005)</p>	<p>93.965 Coal Miners Respiratory Impairment Treatment Clinics and Services Number changed from 13.965 (1990U)</p>	<p>93.976 Primary Care Medicine and Dentistry Clinician Educator Career Development Awards Program (2016)</p>
<p>93.957 Occupational Health and Surveillance (1992U) Split from 93.262, 93.283 (1992U) Occupational Health and Surveillance_Fatality Assessment and Control Evaluation (1996B) Occupational Health and Surveillance Fatality Assessment and Control Evaluation (1998B) Occupational Health and Safety Surveillance (2004) Deleted (2005)</p>	<p>93.966 The Zika Health Care Services Program (2016)</p>	<p>93.977 Preventive Health Services_Sexually Transmitted Diseases Control Grants Number changed from 13.977 (1990U) Sexually Transmitted Diseases (STD) Prevention and Control Grants (2017)</p>
<p>93.958 Block Grants for Community Mental Health Services (1993B)</p>	<p>93.967 CDC's Collaboration with Academia to Strengthen Public Health (2017)</p>	<p>93.978 Preventive Health Services_Sexually Transmitted Diseases Research, Demonstrations and Public Education Grants Number changed from 13.978 (1990U) Archived (2012) Reinstated (2013) Sexually Transmitted Diseases (STD) Provider Education Grants (2017)</p>
<p>93.959 Block Grants for Prevention and Treatment of Substance Abuse (1993B)</p>	<p>93.968 Funding in Support of the Pennsylvania Rural Health Model (2016)</p>	<p>93.980 Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease (2017)</p>
<p>93.960 Special Minority Initiatives (1993B) Consolidated into 93.859 (2003B)</p>	<p>93.969 Grants for the Training of Health Professions in Geriatrics Number changed from 13.969 (1990U) Grants for Geriatric Education Centers (1993B) Geriatric Education Centers (2002B) PPHF-2012 Geriatric Education Centers (2012) PPHF Geriatric Education Centers (2014)</p>	<p>93.981 Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (2017)</p>
<p>93.961 One-Time Funding in Support of the Vermont All-Payer ACO Model (2016)</p>	<p>93.970 Health Professions Recruitment Program for Indians Number changed from 13.970 (1990U)</p>	<p>93.982 Mental Health Disaster Assistance and Emergency Mental Health Number changed from 13.982 (1990U)</p>
<p>93.962 Health Administration Graduate Traineeships Number changed from 13.962 (1990U) Health Administration Traineeships and Special Projects Program (1993B) Health Administration Traineeships Program (2005) Archived (2010)</p>	<p>93.971 Health Professions Preparatory Scholarship Program for Indians Number changed from 13.971 (1990U)</p>	<p>93.983 Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (2017)</p>
	<p>93.972 Health Professions Scholarship Program Number changed from 13.972 (1990U)</p>	<p>93.984 Grants for the Establishment of Departments of Family Medicine Number changed from 13.984 (1990U) Academic Administrative Units in Primary Care (1999U) Consolidated into 93.884 (2003B) Deleted (2003)</p>
	<p>93.973 Special Loans for National Health Service Corps Members to Enter Private Practice Number changed from 13.973 (1990U) Archived (1997B)</p>	

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93.985 Assistance for Oral Disease Prevention and Control (2015) Archived (2017)	Development Program (2003B) Archived (2010)	Number changed from 72.001 (1995B)
93.986 Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program (2017)	93.997 Assisted Outpatient Treatment (2016)	94.012 September 11th National Day of Service and Remembrance Grants (2016)
93.987 Health Programs for Refugees Number changed from 13.987 (1990U) Consolidated into 93.576 (1997B)	93.999 Test for Suppression Effects of Advanced Energy (2005)	94.013 Volunteers in Service to America Number changed from 72.003 (1995B)
93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems Number changed from 13.988 (1990U)	97.074 Law Enforcement Terrorism Prevention Program (LETPP) (2004) Archived (2010)	94.014 Martin Luther King Jr Day of Service Grants (2016)
93.989 Senior International Fellowships Number changed from 13.989 (1990U) International Research and Research Training (2004)	CORPORATION FOR NATIONAL AND COMMUNITY SERVICE	94.016 Senior Companion Program Number changed from 72.008 (1995B)
93.990 National Health Promotion Number changed from 13.990 (1990U)	94.002 Retired Senior Volunteer Program Number changed from 72.002 (1995B) Retired and Senior Volunteer Program (1996B)	94.017 Senior Demonstration Program (2009)
93.991 Preventive Health and Health Services Block Grant Number changed from 13.991 (1990U)	94.003 State Commission (1995B) State Commissions (1996B)	94.018 Learn and Serve America Innovative Community-Based Service-Learning Programs (2009) Archived (2012)
93.992 Alcohol and Drug Abuse and Mental Health Services Block Grant Number changed from 13.992 (1990U) Archived (1993B)	94.004 Learn and Serve America_School and Community Based Programs (1995B) Archived (2012)	94.019 Social Innovation Fund (2010)
93.993 Public Health Research Accreditation Project (2004) Archived (2011)	94.005 Learn and Serve America_Higher Education (1995B) Archived (2012)	94.020 CNCS Disaster Response Cooperative Agreement (2009)
93.994 Maternal and Child Health Services Block Grant Number changed from 13.994 (1990U) Maternal and Child Health Services Block Grant to the States (1993B)	94.006 AmeriCorps (1995B)	94.021 Volunteer Generation Fund (2010)
93.995 Adolescent Family Life_Demonstration Projects Number changed from 13.995 (1990U) Archived (2016)	94.007 Planning and Program Development Grants (1995B) Program Development and Innovation Grants (2009)	94.022 Nonprofit Capacity Building (2009) Archived (2013)
93.996 Bioterrorism Training and Curriculum	94.008 Commission Investment Fund (2017)	94.023 AmeriCorps VISTA Training & Logistics Support (2013)
	94.009 Training and Technical Assistance (1995B) Program combined into 94.004 (2014) Reinstated (2015)	94.024 Social Innovation Fund Pay for Success (2014)
	94.010 Literacy Corps Number changed from 72.015 (1995B) Archived (1997B)	94.025 Operation AmeriCorps (2014)
	94.011 Foster Grandparent Program	94.026 National Service and Civic Engagement Research Competition (2015)
		EXECUTIVE OFFICE OF THE PRESIDENT
		95.001 High Intensity Drug Trafficking Program (1993U) Archived (1994B)

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<p>95.002 Crime Prevention_Ounce of Prevention Grants (1996B) Archived (1996U)</p> <p>95.004 Anti-Doping Activities (2012)</p> <p>95.005 Drug Court Training and Technical Assistance (2012)</p> <p>95.006 Model State Drug Laws Initiative (2013)</p> <p>95.007 Research and Data Analysis (2015)</p> <p>95.008 Drug-Free Communities Support Program - National Youth Leadership Initiative (2015)</p>	<p>96.009 Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (2002B)</p> <p>96.020 Special Benefits for Certain World War II Veterans (2000U)</p> <p>96.021 SOCIAL SECURITY Economic Recovery Act Payments (2009) Archived (2017)</p> <p>DEPARTMENT OF HOMELAND SECURITY</p> <p>97.001 Special Projects (2003B) Pilot Demonstration or Earmarked Projects (2005) Deleted (2008)</p> <p>97.002 Research Projects (2003B)</p> <p>97.003 Agriculture Inspection (2003B)</p> <p>97.004 State Domestic Preparedness Equipment Support Program (2003B) Transferred from 16.007 (2003B) Homeland Security Grant Program (2004) Archived (2010) Reinstated (2014) Archived (2014)</p> <p>97.005 State and Local Domestic Preparedness Training Program (2003B) Transferred from 16.008 (2003B) State and Local Homeland Security Training Program (2004) State and Local Homeland Security National Training Program (2010)</p> <p>97.006 State and Local Domestic Preparedness Exercise Support (2003B) Transferred from 16.009 (2003B) State and Local Homeland Security Exercise Support (2004) Archived (2009)</p> <p>97.007 State and Local Domestic Preparedness Technical Assistance (2003B) Transferred from 16.010 (2003B) Homeland Security Preparedness Technical Assistance (2004) Homeland Security Preparedness Technical</p>	<p>Assistance Program (2006)</p> <p>97.008 Urban Areas Security Initiative (2003B) Transferred from 16.011 (2003B) Non-Profit Security Program (2010)</p> <p>97.009 Cuban and Haitian Entrant Resettlement Program (2003B) Transferred from 16.201 (2003B) Cuban/Haitian Entrant Program (2004)</p> <p>97.010 Citizenship Education and Training (2003B) Transferred from 16.400 (2003B)</p> <p>97.011 Boating Safety (2003B) Transferred from 20.001 (2003B) Archived (2010)</p> <p>97.012 Boating Safety Financial Assistance (2003B) Transferred from 20.005 (2003B)</p> <p>97.013 State Access to the Oil Spill Liability Trust Fund (2003B) Transferred from 20.006 (2003B) Archived (2012)</p> <p>97.014 Bridge Alteration (2003B) Transferred from 20.007 (2003B) Archived (2009)</p> <p>97.015 Secret Service_Training Activities (2003B) Transferred from 21.100 (2003B) Archived (2010)</p> <p>97.016 Reimbursement for Firefighting on Federal Property (2003B) Transferred from 83.007 (2003B) Archived (2014)</p> <p>97.017 Pre-Disaster Mitigation (PDM) Competitive Grants (2004)</p> <p>97.018 National Fire Academy Training Assistance (2003B) Transferred from 83.009 (2003B)</p> <p>97.019 National Fire Academy Educational Program (2003B)</p>
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Transferred from 83.010 (2003B)
National Fire Academy Fellowship Program (2010)
National Fire Academy Fellowship (2011)
Archived (2012)

97.020

Hazardous Materials Training Program (2003B)
Transferred from 83.011 (2003B)
Archived (2010)

97.021

Hazardous Materials Assistance Program (2003B)
Transferred from 83.012 (2003B)
Archived (2010)

97.022

Flood Insurance (2003B)
Transferred from 83.100 (2003B)

97.023

Community Assistance Program_State Support
Services Element (CAP-SSSE) (2003B)
Transferred from 83.105 (2003B)

97.024

Emergency Food and Shelter National Board
Program (2003B)
Transferred from 83.523 (2003B)

97.025

National Urban Search and Rescue (US&R)
Response System (2003B)
Transferred from 83.526 (2003B)

97.026

Emergency Management Institute_Training
Assistance (2003B)
Transferred from 83.527 (2003B)
Emergency Management Institute Training
Assistance (2013)

97.027

Emergency Management Institute
(EMI)_Independent Study Program (2003B)
Transferred from 83.529 (2003B)

97.028

Emergency Management Institute (EMI)_Resident
Educational Program (2003B)
Transferred from 83.530 (2003B)

97.029

Flood Mitigation Assistance (2003B)
Transferred from 83.536 (2003B)

97.030

Community Disaster Loans (2003B)
Transferred from 83.537 (2003B)

97.031

Cora Brown Fund (2003B)
Transferred from 83.538 (2003B)
Archived (2017)

97.032

Crisis Counseling (2003B)
Transferred from 83.539 (2003B)

97.033

Disaster Legal Services (2003B)
Transferred from 83.540 (2003B)

97.034

Disaster Unemployment Assistance (2003B)
Transferred from 83.541 (2003B)

97.035

Individual and Family Grants (2003B)
Transferred from 83.543 (2003B)
Deleted (2004)

97.036

Public Assistance Grants (2003B)
Transferred from 83.544 (2003B)
Disaster Grants - Public Assistance (Presidentially
Declared Disasters) (2006)

97.037

Disaster Housing Program (2003B)
Transferred from 83.545 (2003B)
Deleted (2004)

97.038

First Responder Counter-Terrorism Training
Assistance (2003B)
Transferred from 83.547 (2003B)
Deleted (2005)

97.039

Hazard Mitigation Grant (2003B)
Transferred from 83.548 (2003B)

97.040

Chemical Stockpile Emergency Preparedness
Program (2003B)
Transferred from 83.549 (2003B)

97.041

National Dam Safety Program (2003B)
Transferred from 83.550 (2003B)

97.042

Emergency Management Performance Grants
(2003B)
Transferred from 83.552 (2003B)

97.043

State Fire Training Systems Grants (2003B)
Transferred from 83.553 (2003B)

97.044

Assistance to Firefighters Grant (2003B)
Transferred from 83.554 (2003B)

97.045

Cooperating Technical Partners (2003B)
Transferred from 83.555 (2003B)

97.046

Fire Management Assistance Grant (2003B)
Transferred from 83.556 (2003B)

97.047

Pre-Disaster Mitigation (2003B)
Transferred from 83.557 (2003B)

97.048

Federal Assistance to Individuals and
Households_Housing (2003B)
Transferred from 83.558 (2003B)
Disaster Housing Assistance to Individuals and
Households in Presidential Declared Disaster Zones
(2006)
Disaster Housing Assistance to Individuals and
Households in Presidential Declared Disaster Areas
(2007)
Federal Disaster Assistance to Individuals and
Households in Presidential Declared Disaster Areas
(2010)

97.049

Federal Assistance to Individuals and
Households_Disaster Housing Operations (2003B)
Transferred from 83.559 (2003B)
Presidential Declared Disaster Assistance - Disaster
Housing Operations for Individuals and Households
(2006)
Archived (2015)

97.050

Federal Assistance to Individuals and
Households_Other Needs (2003B)
Transferred from 83.560 (2003B)
Presidential Declared Disaster Assistance to
Individuals and Households - Other Needs (2006)

97.051

State and Local All Hazards Emergency Operations
Planning (2003B)
Transferred from 83.562 (2003B)
Deleted (2005)

97.052

Emergency Operations Centers (2003B)
Transferred from 83.563 (2003B)
Emergency Operations Center (2011)

97.053

Citizen Corps (2003B)
Transferred from 83.564 (2003B)

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Citizens-Community Resilience Innovation Challenge (2012) Archived (2015)	Agency (2004) Archived (2015)	Homeland Security Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Detection (2007)
97.054 Community Emergency Response Teams (2003B) Incorporated into 97.053 (2006)	97.066 Homeland Security Information Technology and Evaluation Program (2004) Homeland Security Information Technology Research, Testing, Evaluation and Demonstration Program (2008) Archived (2014)	Homeland Security Research Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Detection (2008) Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection (2013)
Transferred from 83.565 (2003B)		
97.055 Interoperable Communications Equipment (2003B) Transferred from 83.566 (2003B) Interoperable Emergency Communications (2010)	97.067 Homeland Security Grant Program (2004)	97.078 Buffer Zone Protection Plan (BZPP) (2005) Buffer Zone Protection Program (BZPP) (2007)
97.056 Port Security Grants for Critical National Seaports (2003B) Port Security Grant Program for Critical National Seaports (2004) Port Security Grant Program (2005)	97.068 Competitive Training Grants (2004) Competitive Training Grant (2010) Competitive Training Grant (2010) Archived (2010) Reinstated (2014) Archived (2015)	97.079 Public Alert Radios for Schools (2005) Archived (2010)
97.057 Intercity Bus Security Grants (2003B)	97.069 Aviation Research Grants (2004) Archived (2014)	97.080 97.080 Information Analysis Infrastructure Protection (IAIP) Pilot Projects (2005) Information Analysis Infrastructure Protection (IAIP) and Critical Infrastructure Monitoring and Protection (2009) Archived (2017)
97.058 Operation Safe Commerce (OSC) (2003B) Operation Safe Commerce (OSC) Cooperative Agreement Program. (2004) Archived (2012)	97.070 Map Modernization Management Support (2004) Archived (2011) Reinstated (2014) Archived (2015) Reinstated (2017)	97.081 Law Enforcement Training and Technical Assistance (2005) Archived (2010)
97.059 Truck Security Program (2003B) Archived (2013)	97.071 Metropolitan Medical Response System (2004) Archived (2012)	97.082 Earthquake Consortium (2005)
97.060 Port Security Research and Development Grant (2004) Archived (2010)	97.072 National Explosives Detection Canine Team Program (2004) Archived (2012)	97.083 Staffing for Adequate Fire and Emergency Response (SAFER) (2005)
97.061 Centers for Homeland Security (2004)	97.073 State Homeland Security Program (SHSP) (2004) Archived (2012) Reinstated (2014) Archived (2015)	97.084 Hurricane Katrina Case Management Initiative Program (2005) Archived (2012)
97.062 Scholars and Fellows (2004) Scholars and Fellows, and Educational Programs (2007) Scientific Leadership Awards (2011)	97.075 RAIL AND TRANSIT SECURITY GRANT PROGRAM (2004)	97.085 9-11 Heroes Stamp Program (2005) Archived (2010)
97.063 97.063 Pre-Disaster Mitigation Disaster Resistant Universities (2004)	97.076 National Center for Missing and Exploited Children (NCMEC) (2005) CyberTipline (2013)	97.086 Homeland Security Outreach, Education, and Technical Assistance (2005) Archived (2012)
97.064 Debris Removal Insurance (2004) Archived (2010)	97.077 Homeland Security Testing, Evaluation, and Demonstration of Technologies (2005)	97.087 Alternative Housing Pilot Program (2006) Archived (2010) Reinstated (2014) Archived (2015)
97.065 Homeland Security Advanced Research Projects		97.088 Disaster Assistance Projects (2005)

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<p>97.089 Real ID Program (2005) Driver's License Security Grant Program (2010)</p>	<p>97.103 Degrees at a Distance Program (2007) Archived (2016)</p>	<p>TSA Airport Checked Baggage Inspection System Program (ARRA) (2009) Archived (2012)</p>
<p>97.090 Law Enforcement Officer Reimbursement Agreement Program (2006) Archived (2012)</p>	<p>97.104 Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program (2007)</p>	<p>97.118 Advanced Surveillance Program (ASP) (2009) Archived (2012)</p>
<p>97.091 Homeland Security Biowatch Program (2006)</p>	<p>97.105 Firefighter/Emergency Medical Services (EMS) Outreach, Technology Transfer, Information Dissemination, and Technical Assistance (2007) Firefighter Health and Safety (2010) Archived (2012)</p>	<p>97.119 2010 Olympics First Responder Training (2009) Archived (2012)</p>
<p>97.092 Repetitive Flood Claims (2008)</p>	<p>97.106 Securing the Cities (2007) Securing the Cities Program (2013)</p>	<p>97.120 Border Interoperability Demonstration Project (2010) Rural Emergency Medical Communications Demonstration Project (2016)</p>
<p>97.093 Fire Service Hazardous Materials Preparedness and Response (2006) Archived (2012) Reinstated (2014) Archived (2015)</p>	<p>97.107 National Incident Management System (NIMS) (2007)</p>	<p>97.121 Radiological/Nuclear Detection Pilot Evaluations Program (2010) Archived (2014) Archived (2014)</p>
<p>97.094 Prevention Advocacy Resources and Data Exchange Program (2006) Archived (2012)</p>	<p>97.108 Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (2007)</p>	<p>97.122 Bio-Preparedness Collaboratory (2010)</p>
<p>97.095 Safe Kids Worldwide (2006) Archived (2012)</p>	<p>97.109 Disaster Housing Assistance Grant (2007) Archived (2015)</p>	<p>97.123 Multi-State Information Sharing and Analysis Center (2010)</p>
<p>97.096 Commercial Equipment Direct Assistance Program (CEDAP) (2006) Archived (2010)</p>	<p>97.110 Severe Loss Repetitive Program (2008)</p>	<p>97.124 Interoperable Communications and Training Project (2010) Archived (2017)</p>
<p>97.097 Training Resource and Data Exchange (2006) Archived (2012) Reinstated (2014) Archived (2015)</p>	<p>97.111 Regional Catastrophic Preparedness Grant Program (RCPGP) (2008) Archived (2017)</p>	<p>97.125 Virginia Operational Integration Cyber Center of Excellence (2010) Archived (2012) Reinstated (2014) Archived (2014)</p>
<p>97.098 Disaster Donations Management Program (2006) Archived (2012)</p>	<p>97.112 Border Infrastructure Improvement Projects (2008) Archived (2012)</p>	<p>97.126 National Special Security Event (2011) Archived (2017)</p>
<p>97.099 Residential Fire Safety & Fire Sprinkler Initiatives (2007) Archived (2012)</p>	<p>97.113 Rail and Transit Security Grant Program (ARRA) (2009)</p>	<p>97.127 Upstate New York Cyber Initiative (2011) Cybersecurity Education and Training Assistance Program (CETAP) (2011)</p>
<p>97.100 Airport Checked Baggage Screening Program (2007) Archived (2010)</p>	<p>97.114 Emergency Food and Shelter National Board Program (ARRA) (2009) Archived (2017)</p>	<p>97.129 Securing Critical Underground Infrastructure Pilot Program (2011) Archived (2017)</p>
<p>97.101 National Fallen Firefighters Memorial (2011) Archived (2017)</p>	<p>97.115 Assistance to Firefighters Grant (ARRA) (2009)</p>	<p>97.130 National Nuclear Forensics Expertise Development Program (2012)</p>
	<p>97.116 Port Security Grant Program (ARRA) (2009)</p>	
	<p>97.117</p>	

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97.131

Emergency Management Baseline Assessments Grant (EMBAG) (2012)

USAID Development Partnerships for University Cooperation and Development (2005)

97.132

Financial Assistance for Countering Violent Extremism (2016)

97.133

Preparing for Emerging Threats and Hazards (2016)

97.134

Presidential Residence Protection Security Grant (2017)

AGENCY FOR INTERNATIONAL DEVELOPMENT

98.001

USAID Foreign Assistance for Programs Overseas (2004)

98.002

Cooperative Development Program (CDP) (2004)

98.003

Ocean Freight Reimbursement Program (OFR) (2004)

98.004

Non-Governmental Organization Strengthening (NGO) (2004)

98.005

Institutional Support Assistance (ISA) (2004)
Institutional Capacity Building (ICB) (2005)
Institutional Capacity Building (ICB) (2005)

98.006

Foreign Assistance to American Schools and Hospitals Abroad (ASHA) (2004)

98.007

Food for Peace Development Assistance Program (DAP) (2004)

98.008

USAID Food for Peace Emergency Program (EP) (2004)

98.009

The John Ogonowski Farmer-to-Farmer Program (2004)

98.010

Denton Program (2004)

98.011

Global Development Alliance (2004)

98.012